



證券及衍生產品市場 季報

2013年第三季

市場概況

主板

概況	2013 年 第 3 季	2012 年 第 3 季
上市公司數目	1,399	1,355
上市證券數目	8,196	6,732
股份		
普通股	1,400	1,356
優先股	3	3
認股權證	4,705	3,915
股份	9	12
衍生	4,696	3,903
股票掛鈎票據	0	0
牛熊證	1,596	1104
單位信託及互惠基金	125	107
債券	367	247
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	63	64
總成交金額(百萬港元)*	3,459,754.47	2,962,121.73
總成交股數(百萬股)	8,188,462.26	8,118,023.55
總成交宗數(宗數)	54,790,872	43,732,903
上市公司市價總值(百萬港元)	22,697,506.14	19,575,154.28
平均市盈率(倍)	10.69	9.44
平均週息率(百分率)	3.10	3.12
交易所參與者數目		
開業	506	506
非開業	40	41
成交金額(百萬港元)		
最高	78,240.06 (10/09)	93,238.05 (07/09)
最低	38,487.85 (22/07)	34,404.53 (04/09)
成交股數(百萬股)		
最高	167,566.30 (15/08)	161,881.87 (01/08)
最低	91,264.00 (19/09)	90,344.67 (26/09)
總成交宗數(宗數)		
最高	1,178,750 (02/07)	1,135,852 (14/09)
最低	628,186 (22/07)	523,912 (13/08)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2013 年 第 3 季	2012 年 第 3 季
上市公司數目	186	178
上市證券數目	186	179
股份	186	178
認股權證	0	1
交易日數	63	64
總成交金額(百萬港元)*	18,446.55	5,395.83
總成交股數(百萬股)	56,706.88	33,512.63
總成交宗數(宗數)	542,526	206,627
上市公司市價總值(百萬港元)	119,020.80	73,436.35
平均市盈率(倍)	41.33	17.17
平均週息率(百分率)	0.57	0.92
成交金額(百萬港元)		
最高	1,009.06 (27/09)	228.47 (21/08)
最低	150.17 (22/07)	53.83 (03/08)
成交股數(百萬股)		
最高	3,518.14 (27/09)	1,203.82 (25/09)
最低	344.56 (22/07)	248.35 (03/08)
總成交宗數(宗數)		
最高	15,466 (02/08)	5,920 (13/08)
最低	4,034 (22/07)	2,040 (03/08)

*成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2013年第3季	2012年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	63.0	63.5
總合約成交量	18,026,558	15,449,717
股市指數產品	17,913,611	15,378,758
股票產品	86,034	68,787
利率產品	45	—
人民幣貨幣期貨	26,868	2,172
黃金期貨	0	—
平均每日合約成交量	286,136	243,303
季末未平倉合約		
股市指數產品	1,940,499	1,357,033
股票產品	1,915,454	1,345,599
利率產品	15,524	10,353
利率產品	6	5
人民幣貨幣期貨	9,515	1,076
黃金期貨	0	0
股票期權		
新開辦期權類別	5	0
股票期權數目(於季末數目)		
類別	70	63
系列	16,546	14,352
期權金總額(百萬港元)		
認購	8,479.72	7,354.69
認沽	4,272.73	3,304.71
認沽	4,206.99	4,049.99
平均每日期權金總額(百萬港元)	134.60	115.82
總合約成交量	13,681,676	13,428,912
認購	6,560,094	6,406,909
認沽	7,121,582	7,022,003
平均每日合約成交量	217,169	211,479
季末未平倉合約	4,882,089	4,658,740
認購	2,217,919	2,096,887
認沽	2,664,170	2,561,853
總成交宗數	649,069	608,815
平均每日成交宗數	10,303	9,588
已行使合約總數	1,498,774	1,722,979
每張合約平均期權金(港元)	619.79	547.68
每宗交易平均合約數目	21	22
認沽數量／認購數量	1.09	1.10
平均每日合約成交量／未平倉合約	4.4%	4.5%
所有期貨及期權產品		
總合約成交量	31,708,234	28,878,629
季末未平倉合約	6,822,588	6,015,773

市場概況

結算及交收

中央結算系統營運資料	2013年第3季	2012年第3季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	877,568	686,075
-交易金額(十億港元)	55.1	46.3
-涉及股數(十億股)	130.9	127.4
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	76,390	66,152
-交收指示涉及金額(十億港元)	176.6	156.1
-涉及股數(十億股)	49.1	42.1
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	347	313
-交收指示涉及金額(百萬港元)	139.6	164.4
-涉及股數(百萬股)	46.6	96.4
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.91	99.91
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	4	4
-補購宗數	4	4
-補購涉及金額(百萬港元)	1.0	1.4
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	3,839.0	3,672.0
-獲納入系統的證券佔已發行股本總數的百分比	70.64	69.98
-股份價值(十億港元)	12,905.3	10,816.8
-獲納入系統的證券佔市價總值的百分比	51.98	50.71
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	446,460	357,089
-股票期貨	15,524	10,353
-黃金期貨	0	0
-利率期貨	6	5
-人民幣貨幣期貨	9,515	1,076
-股市指數期權	1,468,994	988,510
-股票期權	4,882,089	4,658,740
累積行使股票期權		
-涉及股數(百萬股)	1,663	1,781
-涉及金額(十億港元)	29	27

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2012	第 3 季	437,269.47	2,123,255.03	35,393,350
	第 4 季	524,830.38	2,473,053.10	40,125,188
2013	第 1 季	668,094.05	3,081,052.48	47,851,601
	第 2 季	535,314.29	2,706,214.49	47,274,428
	第 3 季	547,703.15	2,531,014.96	44,925,237

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2012	第 3 季	64	33,175.86	59,990
	第 4 季	61	40,541.85	61,633
2013	第 1 季	59	52,221.23	64,388
	第 2 季	60	45,103.57	57,245
	第 3 季	63	40,174.84	56,338

主 板 股 份

20種升幅最大之股份

2013年第3季

順次	股份代號	股份	收市價		升幅
			2013年9月底	2013年6月底	
1	01076	第一天然食品	5.100	0.830	514.46
2	00145	香港建屋貸款	0.850	0.190	347.37
3	01613	協同通信	1.740	0.520	234.62
4	01043	光宇國際集團科技	5.610	1.980	183.33
5	00395	中國龍新能源	0.285	0.101	182.18
6	01165	順風光電	3.170	1.160	173.28
7	00487	實德環球	0.475	0.176	169.89
8	00859	鎮科集團	1.750	0.660	165.15
9	00329	叁龍國際	1.900	0.740	156.76
10	01046	寰宇國際	0.310	0.124	150.00
11	00862	VISION VALUES	0.275	0.112	145.54
12	00132	中國興業控股	0.620	0.260	138.46
13	00566	漢能太陽能	1.400	0.600	133.33
14	00556	泛亞環保	1.380	0.610	126.23
15	00986	中國環保能源	1.810	0.810	A 123.46
16	01004	麗盛集團控股	0.670	0.305	119.67
17	00680	南海控股	0.067	0.031	116.13
18	01009	國際娛樂	2.360	1.110	112.61
19	00102	凱升控股	7.280	3.460	110.40
20	01145	勇利航業	0.560	0.270	107.41

A 經調整

20種跌幅最大之股份

2013年第3季

順次	股份代號	股份	收市價		跌幅
			2013年9月底	2013年6月底	
1	01195	京維集團	0.560	1.240	-54.84
2	01080	勝利管道	0.415	0.820	-49.39
3	02236	惠生工程	1.980	3.600	-45.00
4	00061	北亞資源	0.190	0.300	-36.67
5	00326	中國星集團	0.133	0.208	-36.06
6	00996	嘉年華國際	0.235	0.365	-35.62
7	00542	新嶺域集團	0.130	0.200	-35.00
8	00767	PACIFIC PLYWOOD	0.222	0.340	A -34.71
9	02218	安德利果汁	1.750	2.640	-33.71
10	01296	國電科環	1.570	2.360	-33.47
11	00279	民豐企業	0.620	0.920	-32.61
12	00616	永義實業	0.064	0.093	-31.18
13	00397	君陽太陽能	0.135	0.196	-31.12
14	00632	東方明珠石油	0.335	0.480	-30.21
15	00603	中油燃氣	1.100	1.570	-29.94
16	00531	順誠	1.020	1.450	-29.66
17	00210	達芙妮國際	4.730	6.640	-28.77
18	00499	華脈無線通信	0.900	1.260	-28.57
19	02178	百勤油服	3.720	5.100	-27.06
20	00651	中海船舶	0.095	0.130	-26.92

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2013年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00939	建設銀行	103,445.40	4.09
2	00700	騰訊控股	90,791.29	3.59
3	01398	工商銀行	83,508.45	3.30
4	00941	中國移動	82,404.57	3.26
5	00005	匯豐控股	71,902.43	2.84
6	03988	中國銀行	60,888.48	2.41
7	00857	中國石油股份	52,898.91	2.09
8	00883	中國海洋石油	52,601.86	2.08
9	01299	友邦保險	52,237.96	2.06
10	02318	中國平安	48,077.30	1.90
11	01988	民生銀行	39,587.34	1.56
12	02628	中國人壽	37,387.37	1.48
13	00013	和記黃埔	35,954.17	1.42
14	00386	中國石油化工股份	33,552.49	1.33
15	01088	中國神華	31,312.34	1.24
16	01288	農業銀行	30,236.16	1.19
17	00027	銀河娛樂	29,190.27	1.15
18	01928	金沙中國有限公司	28,049.23	1.11
19	00688	中國海外發展	27,570.78	1.09
20	00001	長江實業	26,345.16	1.04
總額			1,017,941.95	40.22

20種成交最活躍股份(股數)

2013年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	18,232.20	3.33
2	00939	建設銀行	17,947.36	3.28
3	01398	工商銀行	16,241.11	2.97
4	01288	農業銀行	9,052.69	1.65
5	00981	中芯國際	8,326.48	1.52
6	03800	保利協鑫能源	8,288.50	1.51
7	00566	漢能太陽能	8,178.19	1.49
8	00648	中國仁濟醫療	6,306.36	1.15
9	00493	國美電器	6,142.84	1.12
10	00857	中國石油股份	5,984.24	1.09
11	00702	中國油氣控股	5,962.22	1.09
12	00386	中國石油化工股份	5,784.59	1.06
13	00397	君陽太陽能	5,342.61	0.98
14	01988	民生銀行	4,700.36	0.86
15	00152	深圳國際	4,527.48	0.83
16	01094	中國公共採購	4,237.38	0.77
17	01051	國際資源	4,204.22	0.77
18	02899	紫金礦業	3,889.79	0.71
19	00175	吉利汽車	3,782.63	0.69
20	01898	中煤能源	3,699.67	0.68
總數			150,830.90	27.54

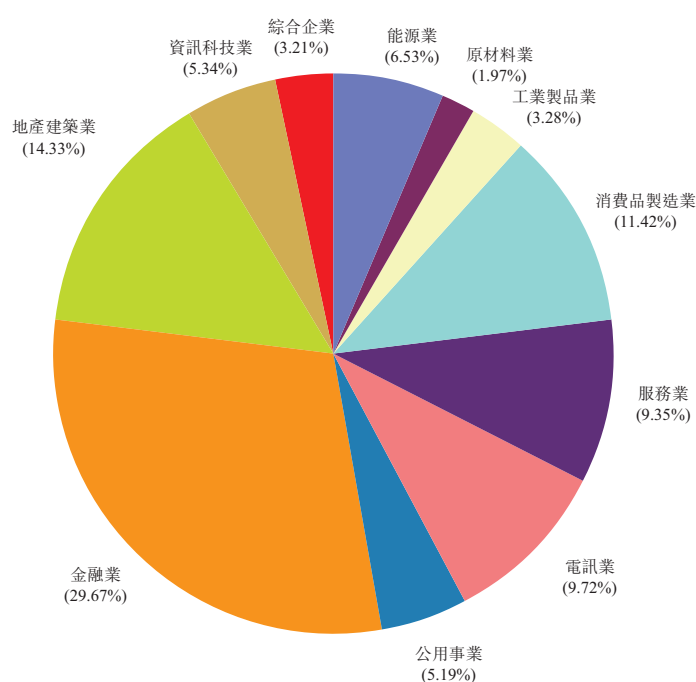
主 板 股 份

上市公司市價總值－主板

百 萬 港 元		
2012	第 3 季	19,575,154.28
	第 4 季	21,871,730.17
2013	第 1 季	21,865,919.62
	第 2 季	20,607,719.38
	第 3 季	22,697,506.14

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2013年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,741,770.47	7.67
2	00005	匯豐控股有限公司	1,573,627.70	6.93
3	00939	中國建設銀行股份有限公司—H股	1,435,291.40	6.32
4	00700	騰訊控股有限公司	756,026.85	3.33
5	00883	中國海洋石油有限公司	704,536.86	3.10
6	01398	中國工商銀行股份有限公司—H股	469,555.78	2.07
7	02888	渣打集團有限公司	454,248.97	2.00
8	01299	友邦保險控股有限公司	439,003.80	1.93
9	00013	和記黃埔有限公司	396,067.15	1.74
10	01928	金沙中國有限公司	386,491.97	1.70
11	02378	英國保誠有限公司*	—	372,378.64
12	03988	中國銀行股份有限公司—H股	296,022.86	1.30
13	00805	Glencore Xstrata plc *	—	290,061.70
14	00762	中國聯合網絡通信(香港)股份有限公司	286,907.01	1.26
15	00016	新鴻基地產發展有限公司	281,786.13	1.24
16	00001	長江實業(集團)有限公司	273,539.01	1.21
17	02388	中銀香港(控股)有限公司	263,262.23	1.16
18	00011	恒生銀行有限公司	241,848.11	1.07
19	00945	Manulife Financial Corporation *	—	237,226.48
20	00027	銀河娛樂集團有限公司	229,329.73	1.01
21	00004	九龍倉集團有限公司	203,617.84	0.90
22	03328	交通銀行股份有限公司—H股	199,567.62	0.88
23	01913	PRADA S.p.A.	192,295.62	0.85
24	00688	中國海外發展有限公司	186,744.28	0.82
25	00857	中國石油天然氣股份有限公司—H股	180,606.58	0.80
26	02318	中國平安保險(集團)股份有限公司—H股	180,429.08	0.79
27	00003	香港中華煤氣有限公司	178,383.45	0.79
28	00066	香港鐵路有限公司	177,992.10	0.78
29	00002	中電控股有限公司	159,545.35	0.70
30	00151	中國旺旺控股有限公司	155,775.65	0.69
31	00386	中國石油化工股份有限公司—H股	154,866.57	0.68
32	02628	中國人壽保險股份有限公司—H股	149,567.62	0.66
33	00006	電能實業有限公司	148,117.76	0.65
34	00388	香港交易及結算所有限公司	143,440.02	0.63
35	00019	太古股份有限公司	138,042.20	0.61
36	01128	永利澳門有限公司	137,210.70	0.60
37	01038	長江基建集團有限公司	134,151.69	0.59
38	06883	新濠博亞娛樂有限公司	133,635.10	0.59
39	00012	恒基兆業地產有限公司	128,542.22	0.57
40	01109	華潤置地有限公司	128,536.15	0.57
41	01972	太古地產有限公司	127,237.50	0.56
42	00880	澳門博彩控股有限公司	121,062.14	0.53
43	00101	恒隆地產有限公司	118,232.47	0.52
44	00322	康師傅控股有限公司	114,964.25	0.51
45	01044	恒安國際集團有限公司	111,686.59	0.49
46	01929	周大福珠寶集團有限公司	111,000.00	0.49
47	01288	中國農業銀行股份有限公司—H股	109,737.60	0.48
48	06808	高鑫零售有限公司	106,081.52	0.47
49	02282	美高梅中國控股有限公司	97,850.00	0.43
50	01880	百麗國際控股有限公司	94,969.46	0.42
總額			14,553,205.15	64.12
市場總值			22,697,506.14	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2012	第3季	295,053.14	2,967,517.56	9.94
	第4季	285,387.99	3,416,543.59	8.35
2013	第1季	407,011.47	4,387,021.75	9.28
	第2季	402,326.06	3,738,340.40	10.76
	第3季	362,504.10	3,478,201.02	10.42

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2012	第3季	29,541.26	8,151,536.18	0.36
	第4季	28,450.72	7,779,826.30	0.37
2013	第1季	39,479.17	10,186,659.76	0.39
	第2季	41,554.24	8,751,359.18	0.47
	第3季	38,306.44	8,245,169.14	0.46

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2012	第3季	12	2	13,628.71
	第4季	13	0	45,234.54
2013	第1季	10	0	8,124.40
	第2季	6	1	30,624.47
	第3季	15	2	18,210.31

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2013年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
02112	優庫資源有限公司	2013/07/03	1,500,000,000	487.50
01250	金彩控股有限公司	2013/07/05	320,000,000	65.60
01680	澳門勵駿創建有限公司	2013/07/05	6,232,177,876	2,196.84
01297	中國擎天軟件科技集團有限公司	2013/07/09	1,032,258,000	481.77
01255	港大零售國際控股有限公司	2013/07/11	200,000,000	106.50
01661	智美控股集團	2013/07/11	1,609,045,000	863.08
06898	中國鋁罐控股有限公司	2013/07/12	400,000,000	100.00
01107	當代置業(中國)有限公司	2013/07/12	1,600,000,000	596.00
01292	重慶長安民生物流股份有限公司－H股*	2013/07/18	55,000,000	—
01271	佳明集團控股有限公司	2013/08/09	400,000,000	111.00
01249	通力電子控股有限公司	2013/08/15	133,316,234	—
01355	枋濬國際集團控股有限公司*	2013/08/21	180,000,001	—
02183	利福地產發展有限公司	2013/09/12	419,114,000	172.09
01219	天喔國際控股有限公司	2013/09/17	2,073,612,000	1,806.88
01363	中滔環保集團有限公司	2013/09/25	1,360,000,000	503.20
01373	國際家居零售有限公司	2013/09/25	720,000,000	606.96
06863	中國輝山乳業控股有限公司	2013/09/27	14,407,788,000	10,112.88
總 額				18,210.31

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2013 年第 3 季

股份代號	公司名稱	最後買賣日期	除牌日期
00325	創生控股有限公司	2013/03/01	2013/07/15
00946	洪良國際控股有限公司	2010/03/29	2013/09/23

主 板 股 份

公司名稱更改

2013 年 第 3 季

股份代號	原有名稱	新名稱	生效日期	採用日期
00126	達成集團有限公司	佳寧娜集團控股有限公司	2013/05/20	2013/07/02
02038	富士康國際控股有限公司	富智康集團有限公司	2013/05/30	2013/07/03
01082	現代教育集團有限公司	香港教育(國際)投資集團有限公司	2013/06/24	2013/07/04
01833	銀泰百貨(集團)有限公司	銀泰商業(集團)有限公司	2013/06/04	2013/07/05
02083	中國地板控股有限公司	大自然地板控股有限公司	2013/06/05	2013/07/10
02128	中國聯塑集團控股有限公司*	中國聯塑集團控股有限公司	2013/07/02	2013/07/17
00169	恒力商業地產(集團)有限公司	萬達商業地產(集團)有限公司	2013/06/25	2013/08/09
00706	銀創控股有限公司	美麗中國控股有限公司	2013/07/09	2013/08/14
00923	福和集團控股有限公司	綜合環保集團有限公司	2013/07/03	2013/08/16
00922	中福控股發展有限公司	安賢園中國控股有限公司	2013/07/04	2013/08/21
00261	中建科技國際有限公司	中建置地集團有限公司	2013/07/08	2013/08/23
00138	中建電訊集團有限公司	中建富通集團有限公司	2013/07/08	2013/08/23
03399	廣東南粵物流股份有限公司－H股	廣東粵運交通股份有限公司－H股	2013/08/05	2013/08/23
00874	廣州藥業股份有限公司－H股	廣州白雲山醫藥集團股份有限公司－H股	2013/08/23	2013/08/29
00106	深圳科技控股有限公司	朗詩綠色地產有限公司	2013/08/05	2013/08/29
00582	嘉輝化工控股有限公司	藍鼎國際發展有限公司	2013/08/08	2013/09/11
01069	江晨國際控股有限公司	中國農林低碳控股有限公司	2013/08/28	2013/09/12
00377	新洲印刷集團有限公司	新洲發展控股有限公司	2013/08/19	2013/09/18

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2013年第3季

股份代號	公司	詳情	除淨日
03948	內蒙古伊泰煤炭股份有限公司－H股	10送10	2013/07/12
00337	盛高置地(控股)有限公司	1送4 (可選擇收取可轉換優先股)	2013/08/07
00248	香港通訊國際控股有限公司	10送1	2013/08/15
00627	佑威國際控股有限公司	1送2 (100股合併為1股後)	2013/08/27
00690	聯康生物科技集團有限公司	每供1股送1股股份 每供2股送1份紅利認股權證	2013/09/02
01966	中駿置業控股有限公司	10送2	2013/09/13
00065	弘海有限公司	10送2	2013/09/19

股份拆細及合併

2013年第3季

股份代號	公司	詳情	生效日期
02366	勤+緣媒體服務有限公司	10股合併為1股	2013/07/10
00986	中國環保能源投資有限公司	1股分拆為4股	2013/07/18
00705	南亞礦業有限公司	4股合併為1股	2013/07/30
00767	太平洋實業控股有限公司	4股合併為1股	2013/08/15
00337	盛高置地(控股)有限公司	5股合併為1股	2013/08/23
00627	佑威國際控股有限公司	100股合併為1股	2013/08/23
00628	多金控股有限公司	10股合併為1股	2013/09/02

主 板 股 份

供股／公開售股

2013 年 第 3 季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
7 月	00142	第一太平有限公司	股權 8 供 1，每股供 8.10 港元	3,889.58
	00279	民豐企業控股有限公司	股權 1 供 2，每股供 0.85 港元	162.51
	02324	首都創投有限公司	股權 2 供 1，每股供 0.65 港元	32.80
			(10 股合併為 1 股後)	
	00862	Vision Values Holdings Ltd.	股權 2 供 1，每股供 0.10 港元	70.52
8 月	02366	勤+緣媒體服務有限公司	股權 1 供 5，每股供 0.78 港元	365.66
			(10 股合併為 1 股後)	
	01227	國盛投資基金有限公司	股權 2 供 1，每股供 0.17 港元	18.79
9 月	00139	中國微電子科技集團有限公司	股權 1 供 2，每股供 0.10 港元	135.58
	00627	佑威國際控股有限公司	公開售股 1 供 5，每股供 0.15 港元	26.77
			(100 股合併為 1 股後)	
	03968	招商銀行股份有限公司－H 股	股權每 10H 股供 1.74H 股，每 H 股供 11.68 港元	7,947.34
	00690	聯康生物科技集團有限公司	公開售股 1 供 1，每股供 0.16 港元	250.38
			另每供 1 股送 1 股股份	
			及每供 2 股送 1 份紅利認股權證	
	01051	國際資源集團有限公司	股權 5 供 2，每股供 0.16 港元	1,210.97
總額				14,110.90

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
6月**	00959	奧瑪仕控股	9,600,000	0.830	7.97
	02882	香港資源控股	196,908,603	0.180	35.44
	01227	國盛投資	36,850,000	0.285	10.50
	00061	北亞資源	351,122,243	0.170	59.69
	02313	申洲國際	69,000,000	22.400	1,545.60
	00702	中國油氣控股	928,000,000	0.167	154.98
7月	00030	佳訊控股	211,660,000	0.150	31.75
	00899	亞洲資源	30,772,661	0.600	18.46
	01006	長壽花食品	26,310,000	5.800	152.60
	00651	中海船舶	200,000,000	0.102	20.40
	01060	文化中國傳播	582,630,000	0.460	268.01
	01175	福記食品服務	202,702,703	0.740	150.00
	00166	新時代能源	34,370,000	0.580	19.93
	03366	華僑城(亞洲)	140,000,000	4.050	567.00
	00755	上海証大	2,442,000,000	0.140	341.88
	01026	環球實業科技	192,000,000	0.580	111.36
	00570	盈天醫藥	125,000,000	3.100	387.50
	00595	AV CONCEPT HOLD	72,600,000	0.600	43.56
	00290	中國富強金融	252,300,000	0.100	25.23
	00986	中國環保能源	12,800,000	1.130	14.46
8月	00736	中國置業投資	50,000,000	0.136	6.80
	00155	中國源暢	122,380,000	0.168	20.56
	00471	中播控股	72,000,000	0.465	33.48
	01019	康宏理財	44,000,000	1.850	81.40
	00269	中國資源交通	2,500,000,000	0.300	750.00
	00689	長盈集團(控股)	650,000,000	0.190	123.50
	02700	格林國際控股	126,800,000	0.205	25.99
	00360	新焦點	2,323,235,000	0.300	696.97
	02668	百德國際	46,598,000	0.880	41.01
	01198	皇朝傢俬	232,000,000	0.339	78.65
	01166	蒙古礦業	75,000,000	0.300	22.50
	00337	盛高置地	685,374,853	1.900	1,302.21
9月	00204	中國投資開發	40,000,000	0.500	20.00
	00736	中國置業投資	58,000,000	0.140	8.12
	00705	南亞礦業	268,030,000	0.168	45.03
	00616	永義實業	329,540,000	0.063	20.76
	00566	漢能太陽能	6,000,000,000	0.100	600.00
	02882	香港資源控股	217,000,000	0.180	39.06
	02200	浩沙國際	54,500,000	2.850	155.33
	00404	新昌營造	1,350,000,000	1.000	1,350.00
	02322	仁瑞投資	120,000,000	0.280	33.60
	00810	華保亞洲	59,860,000	0.200	11.97
	01822	幻音數碼	186,750,000	0.176	32.87
	00802	宏霸數碼	139,230,000	0.250	34.81
	00395	中國龍新能源	100,000,000	0.215	21.50
	01666	同仁堂科技	52,392,000	23.000	1,205.02
	00627	佑威國際	970,000,000	0.150	145.50
	00868	信義玻璃	120,000,000	6.700	804.00

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	01191	粵首環保	169,385,540	0.200	33.88
	00948	融達控股	127,114,000	0.800	101.69
總 額					11,812.53

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2012	第3季	10.37	355,739.26	355,749.63
	第4季	18.06	382,100.50	382,118.56
2013	第1季	25.69	605,817.72	605,843.41
	第2季	5.48	428,993.08	428,998.56
	第3季	12.47	389,973.38	389,985.85

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2012	第3季	12	335.18	3,903	77,929.72	3,915	78,264.90
	第4季	10	521.90	3,747	105,257.03	3,757	105,778.92
2013	第1季	11	359.52	4,484	70,659.35	4,495	71,018.87
	第2季	10	231.90	4,895	56,917.10	4,905	57,149.00
	第3季	9	280.27	4,696	91,474.60	4,705	91,754.87

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2012	第3季	0	1,328	36,903.66
	第4季	0	1,605	42,042.21
2013	第1季	1	1,880	48,254.00
	第2季	2	1,684	41,005.85
	第3季	0	1,654	40,026.39

新上市股份認股權證

2013年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					-

主 板 認 股 權 證

新上市衍生權證

2013年第3季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25460	Credit Suisse AG - CSOP A50 ETF European Warrants Jun 2015 A	100,000,000	2013/07/02	2015/06/29	15.00
25462	Credit Suisse AG - A50 China European Warrants Jan 2014 A	70,000,000	2013/07/02	2014/01/03	25.20
25463	Credit Suisse AG - A50 China European Warrants Jan 2014 B	70,000,000	2013/07/02	2014/01/03	18.20
25467	Credit Suisse AG - HSCEI European Warrants Mar 2014 A	80,000,000	2013/07/02	2014/03/28	20.00
25466	Credit Suisse AG - HSI European Warrants Jan 2014 A	200,000,000	2013/07/02	2014/01/29	50.00
25465	Credit Suisse AG - Hutchison European Warrants Oct 2013 B	70,000,000	2013/07/02	2013/10/16	20.30
25461	Credit Suisse AG - Sands China European Warrants Oct 2013 B	70,000,000	2013/07/02	2013/10/31	10.50
25429	Daiwa Capital Mkt - Agricultural Bank European Wts Jan 2014 A	128,000,000	2013/07/02	2014/01/02	32.00
25430	Daiwa Capital Mkt - BOCL European Warrants Jan 2014 A	128,000,000	2013/07/02	2014/01/02	33.28
25428	Daiwa Capital Mkt - China Life European Warrants Dec 2013 A	168,000,000	2013/07/02	2013/12/23	31.92
25476	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2014 B	80,000,000	2013/07/02	2014/01/29	26.64
25478	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2013 B	120,000,000	2013/07/02	2013/12/30	18.24
25475	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2014 A	150,000,000	2013/07/02	2014/01/29	37.65
25447	J P Morgan SP BV - Sinopec Corp European Warrants Jan 2014 A	200,000,000	2013/07/02	2014/01/02	50.00
25446	J P Morgan SP BV - China Shenhua European Wts Feb 2014 B	200,000,000	2013/07/02	2014/02/28	50.00
25445	J P Morgan SP BV - HKEx European Warrants Jan 2014 A	100,000,000	2013/07/02	2014/01/02	33.90
25441	J P Morgan SP BV - HSBC European Warrants Jan 2014 A	200,000,000	2013/07/02	2014/01/02	52.20
25442	J P Morgan SP BV - HSBC European Warrants Jan 2014 B	200,000,000	2013/07/02	2014/01/02	50.00
25444	J P Morgan SP BV - KECL European Warrants Jan 2014 A	50,000,000	2013/07/02	2014/01/02	12.50
25424	Macquarie Bank Ltd. - Belle Int'l European Wts Oct 2014 A	50,000,000	2013/07/02	2014/10/06	12.50
25455	Macquarie Bank Ltd. - BankComm European Warrants Mar 2014 A	70,000,000	2013/07/02	2014/03/04	10.50
25456	Macquarie Bank Ltd. - CM Bank European Warrants Sep 2014 A	80,000,000	2013/07/02	2014/09/02	12.00
25457	Macquarie Bank Ltd. - A50 China European Warrants Jan 2014 C	40,000,000	2013/07/02	2014/01/03	10.00
25458	Macquarie Bank Ltd. - Galaxy Ent European Wts Nov 2013 B	50,000,000	2013/07/02	2013/11/04	10.00
25459	Macquarie Bank Ltd. - MTRC European Warrants Nov 2014 A	100,000,000	2013/07/02	2014/11/04	25.00
25438	Standard Chartered Bank - BOC HK European Wts Dec 2013 A	80,000,000	2013/07/02	2013/12/24	16.00
25433	Standard Chartered Bank - Minsheng Bank Euro Wts Mar 2014 A	50,000,000	2013/07/02	2014/03/03	20.00
25440	Standard Chartered Bank - China Overseas Euro Wt Dec 2013 A	100,000,000	2013/07/02	2013/12/24	15.00
25431	Standard Chartered Bank - Sands China Euro Wts May 2014 A	100,000,000	2013/07/02	2014/05/30	48.00
25432	Standard Chartered Bank - Sands China Eu Put Wts Jul 2014 A	100,000,000	2013/07/02	2014/07/03	44.00
25435	Standard Chartered Bank - Tencent Hldg Eu Put Wts Dec 2013 D	100,000,000	2013/07/02	2013/12/24	15.00
25427	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jan 2014 A	100,000,000	2013/07/02	2014/01/06	15.00
25453	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec2013 B	120,000,000	2013/07/02	2013/12/23	21.00
25449	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Jan14A	50,000,000	2013/07/02	2014/01/06	17.75
25425	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Dec 2013 B	120,000,000	2013/07/02	2013/12/02	20.40
25450	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Dec 2013 B	100,000,000	2013/07/02	2013/12/02	16.50
25452	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Dec 13 B	100,000,000	2013/07/02	2013/12/09	25.00
25470	UBS AG - BYD European Warrants Oct 2013 A	80,000,000	2013/07/02	2013/10/28	19.20
25483	UBS AG - CC Bank European Warrants Jan 2014 A	100,000,000	2013/07/02	2014/01/09	40.00
25471	UBS AG - China Mobile European Warrants Dec 2013 D	100,000,000	2013/07/02	2013/12/02	15.00
25473	UBS AG - CSOP A50 ETF European Warrants Jan 2014 A	100,000,000	2013/07/02	2014/01/09	50.00
25472	UBS AG - CSOP A50 ETF European Warrants Jun 2015 A	300,000,000	2013/07/02	2015/06/24	45.00

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25474	UBS AG - A50 China European Warrants Jan 2014 A	100,000,000	2013/07/02	2014/01/09	40.00
25469	UBS AG - HSCEI European Warrants Jan 2014 A	100,000,000	2013/07/02	2014/01/29	25.00
25486	UBS AG - HSI European Warrants Dec 2013 J	300,000,000	2013/07/02	2013/12/30	45.00
25485	UBS AG - HSI European Put Warrants Jan 2014 A	300,000,000	2013/07/02	2014/01/29	75.00
25479	UBS AG - Sands China European Warrants Jan 2014 A	60,000,000	2013/07/02	2014/01/09	18.00
21970 [#]	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Apr 2014 A	250,000,000	2013/07/02	2014/04/29	10.25
25570	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Jan 2014 B	100,000,000	2013/07/03	2014/01/03	25.00
25549	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Jan 2014 B	60,000,000	2013/07/03	2014/01/03	21.60
25550	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Jan 2014 B	60,000,000	2013/07/03	2014/01/03	18.60
25547	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Apr 2014 A	100,000,000	2013/07/03	2014/04/02	25.00
25554	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Jan 2014 A	100,000,000	2013/07/03	2014/01/03	29.00
25552	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jan 2016 A	100,000,000	2013/07/03	2016/01/05	16.00
25517	BNP Paribas Arbit Issu B.V. - Hang Lung Ppt Eu Wt Dec 2014 A	100,000,000	2013/07/03	2014/12/02	25.00
25557	BNP Paribas Arbit Issu B.V. - HSBC European Wts Jan 2014 C	100,000,000	2013/07/03	2014/01/03	28.00
25513	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt Nov 2014A	100,000,000	2013/07/03	2014/11/03	21.00
25555	BNP Paribas Arbit Issu B.V. - KECL European Wts Sep 2014 A	100,000,000	2013/07/03	2014/09/01	15.00
25515	BNP Paribas Arbit Issu B.V. - Luk Fook Hold Eu Wt Nov 2014 A	36,000,000	2013/07/03	2014/11/04	10.08
25556	BNP Paribas Arbit Issu B.V. - New World Dev Eu Wt Oct 2014 A	100,000,000	2013/07/03	2014/10/06	17.00
25551	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Jan 2014 C	100,000,000	2013/07/03	2014/01/03	32.00
25548	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jan 2014 B	100,000,000	2013/07/03	2014/01/03	25.00
25509	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Eur Wt Jan 2014 A	43,000,000	2013/07/03	2014/01/03	12.04
25523	Daiwa Capital Mkt - AIA European Warrants Jan 2014 A	108,000,000	2013/07/03	2014/01/02	30.24
25522	Daiwa Capital Mkt - BOC HK European Warrants Apr 2014 A	128,000,000	2013/07/03	2014/04/25	32.00
25524	Daiwa Capital Mkt - BOCL European Put Warrants Jan 2014 B	128,000,000	2013/07/03	2014/01/08	32.00
25525	Daiwa Capital Mkt - HSI European Put Warrants Jan 2014 A	200,000,000	2013/07/03	2014/01/29	50.00
25529	Daiwa Capital Mkt - HSI European Put Warrants Jan 2014 B	200,000,000	2013/07/03	2014/01/29	56.00
25567	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2014 A	100,000,000	2013/07/03	2014/03/31	25.00
25565	Goldman Sachs SP (Asia) - HKEx European Warrants Dec 2015 A	120,000,000	2013/07/03	2015/12/24	18.00
25562	Goldman Sachs Sp (Asia) - ICBC European Warrants Jan 2014 A	80,000,000	2013/07/03	2014/01/29	20.00
25566	Goldman Sachs SP (Asia) - Ping An European Wts Jan 2014 A	80,000,000	2013/07/03	2014/01/24	20.24
25508	J P Morgan SP BV - BOCL European Warrants Jan 2014 A	200,000,000	2013/07/03	2014/01/02	50.00
25507	J P Morgan SP BV - BYD European Warrants Jan 2014 B	60,000,000	2013/07/03	2014/01/02	15.00
25505	J P Morgan SP BV - China EB Int'l European Wts Mar 2014 A	50,000,000	2013/07/03	2014/03/03	15.55
25506	J P Morgan SP BV - CITIC Bank European Warrants Jul 2014 A	100,000,000	2013/07/03	2014/07/02	26.30
25561	J P Morgan SP BV - China Life European Warrants Jan 2014 C	300,000,000	2013/07/03	2014/01/02	75.00
25504	J P Morgan SP BV - CPIC European Warrants Jan 2014 A	100,000,000	2013/07/03	2014/01/02	25.00
25501	J P Morgan SP BV - China Tel European Warrants Jan 2014 A	100,000,000	2013/07/03	2014/01/02	29.20
25498	J P Morgan SP BV - HSI European Put Warrants Feb 2014 A	500,000,000	2013/07/03	2014/02/27	125.00
25496	J P Morgan SP BV - Sands China European Warrants Jan 2014 B	200,000,000	2013/07/03	2014/01/02	50.00
25494	J P Morgan SP BV - SJM Holdings European Warrants Feb 2014 A	100,000,000	2013/07/03	2014/02/05	25.00
25493	J P Morgan SP BV - Zoomlion European Warrants Mar 2014 A	50,000,000	2013/07/03	2014/03/03	13.75
25491	Macquarie Bank Ltd. - CAM CSI300 European Wts Apr 2014 A	50,000,000	2013/07/03	2014/04/02	12.50
25536	Macquarie Bank Ltd. - Ping An European Warrants Feb 2014 A	40,000,000	2013/07/03	2014/02/04	10.00
25521	Standard Chartered Bank - Chi Res Power Euro Wts Feb 2014 A	80,000,000	2013/07/03	2014/02/05	20.00

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25520	Standard Chartered Bank - Datang Power Euro Wts Aug 2014 A	50,000,000	2013/07/03	2014/08/08	15.00
25519	Standard Chartered Bank - Galaxy Ent European Wts Jun 2014 A	100,000,000	2013/07/03	2014/06/30	40.00
25530	Standard Chartered Bank - HSI European Put Wts May 2014 A	150,000,000	2013/07/03	2014/05/29	22.50
25487	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Jan14A	300,000,000	2013/07/03	2014/01/06	75.00
25490	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Feb14A	300,000,000	2013/07/03	2014/02/05	99.00
25532	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Dec13B	100,000,000	2013/07/03	2013/12/30	40.50
25518	UBS AG - CAM CSI300 European Warrants Jan 2014 A	200,000,000	2013/07/03	2014/01/09	50.00
25545	UBS AG - BYD European Warrants Jan 2014 A	80,000,000	2013/07/03	2014/01/09	24.00
25537	UBS AG - China Unicom European Warrants Dec 2014 A	100,000,000	2013/07/03	2014/12/22	16.50
25541	UBS AG - A50 China European Warrants Aug 2016 B	300,000,000	2013/07/03	2016/08/01	48.00
25544	UBS AG - HSBC European Warrants Dec 2013 A	100,000,000	2013/07/03	2013/12/16	29.50
25538	UBS AG - Hutchison European Warrants Dec 2013 A	200,000,000	2013/07/03	2013/12/23	79.00
25627	BNP Paribas Arbit Issu B.V. - CNBM European Wts Jan 2014 A	75,000,000	2013/07/04	2014/01/03	34.50
25633	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Jan 2014 B	85,000,000	2013/07/04	2014/01/03	28.90
25632	BNP Paribas Arbit Issu B.V. - HKEx Euro Put Wts Jan 2015 B	100,000,000	2013/07/04	2015/01/05	25.00
25629	BNP Paribas Arbit Issu B.V. - Link Reit Eu Put Wt Jan 2014 A	100,000,000	2013/07/04	2014/01/03	25.00
25625	BNP Paribas Arbit Issu B.V. - PICC Group Euro Wts Jan 2014 B	80,000,000	2013/07/04	2014/01/03	28.80
25620	Credit Suisse AG - China EB Int'l European Wts Jan 2014 A	70,000,000	2013/07/04	2014/01/03	31.50
25619	Credit Suisse AG - CNBM European Warrants Jan 2014 A	70,000,000	2013/07/04	2014/01/03	35.00
25602	Credit Suisse AG - CSOP A50 ETF European Warrants Oct 2013 B	80,000,000	2013/07/04	2013/10/16	12.00
25604	Credit Suisse AG - CSOP A50 ETF European Warrants Jan 2014 A	80,000,000	2013/07/04	2014/01/03	28.00
25617	Credit Suisse AG - China Shenhua European Wts Jan 2014 B	70,000,000	2013/07/04	2014/01/27	17.50
25621	Credit Suisse AG - Geely Auto European Warrants Jan 2014 A	70,000,000	2013/07/04	2014/01/03	18.20
25616	Credit Suisse AG - Galaxy Ent European Warrants Nov 2013 C	70,000,000	2013/07/04	2013/11/01	14.70
25606	Credit Suisse AG - HSCEI European Warrants Jan 2014 A	70,000,000	2013/07/04	2014/01/29	17.50
25611	Credit Suisse AG - HSCEI European Warrants Mar 2014 B	70,000,000	2013/07/04	2014/03/28	10.50
25615	Credit Suisse AG - KECL European Warrants Aug 2014 A	70,000,000	2013/07/04	2014/08/18	13.30
25618	Credit Suisse AG - Yanzhou Coal European Warrants Jan 2014 A	70,000,000	2013/07/04	2014/01/03	19.60
25575	Citigroup Global Mkt H Inc. - A50 China Euro Wts Jan 2014 A	100,000,000	2013/07/04	2014/01/30	50.00
25574	Citigroup Global Mkt H Inc. - A50 China Euro Wts Feb 2014 B	100,000,000	2013/07/04	2014/02/27	34.00
25622	Daiwa Capital Mkt - CCB European Put Warrants Jan 2014 A	80,000,000	2013/07/04	2014/01/03	20.00
25623	Daiwa Capital Mkt - China Life European Put Wts Mar 2014 A	80,000,000	2013/07/04	2014/03/18	20.00
25624	Daiwa Capital Mkt - ICBC European Put Warrants Jan 2014 A	80,000,000	2013/07/04	2014/01/15	20.00
25591	Bank of East Asia - China Life European Warrants Dec 2014 A	60,000,000	2013/07/04	2014/12/19	15.00
25587	Bank of East Asia - CSOP A50 ETF European Wts Jun 2015 A	90,000,000	2013/07/04	2015/06/29	13.50
25592	Bank of East Asia - New World Dev European Wts Oct 2014 A	60,000,000	2013/07/04	2014/10/06	11.40
25636	Goldman Sachs SP (Asia) - Agri Bk European Wts Jan 2014 A	80,000,000	2013/07/04	2014/01/06	12.00
25637	Goldman Sachs SP (Asia) - Ch Overseas European Wts Aug 2014 A	100,000,000	2013/07/04	2014/08/29	25.00
25638	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jan 2014 A	80,000,000	2013/07/04	2014/01/30	22.80
25635	Goldman Sachs SP (Asia) - China Tel European Wts Feb 2014 A	80,000,000	2013/07/04	2014/02/28	23.12
25634	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2014 A	100,000,000	2013/07/04	2014/02/28	27.70
25584	Macquarie Bank Ltd. - Anhui Conch European Wts Feb 2014 A	100,000,000	2013/07/04	2014/02/05	15.00
25583	Macquarie Bank Ltd. - Chi Res Power European Wts Apr 2014 A	100,000,000	2013/07/04	2014/04/02	25.00
25586	Macquarie Bank Ltd. - SJM Holdings European Wts Oct 2014 A	40,000,000	2013/07/04	2014/10/06	10.00

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25582	Macquarie Bank Ltd. - ZTE European Warrants Mar 2015 A	100,000,000	2013/07/04	2015/03/03	25.00
25581	Standard Chartered Bank - KECL European Warrants Aug 2014 A	80,000,000	2013/07/04	2014/08/18	12.00
25580	Standard Chartered Bank - Ping An European Wts Jan 2014 A	100,000,000	2013/07/04	2014/01/06	30.00
25577	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Dec13A	100,000,000	2013/07/04	2013/12/23	23.00
25599	UBS AG - Cheung Kong European Warrants Dec 2013 C	50,000,000	2013/07/04	2013/12/27	10.50
25594	UBS AG - HKEx European Warrants Dec 2015 A	300,000,000	2013/07/04	2015/12/23	45.00
25595	UBS AG - KECL European Warrants Dec 2013 A	50,000,000	2013/07/04	2013/12/13	18.50
25600	UBS AG - Ping An European Warrants Dec 2013 C	100,000,000	2013/07/04	2013/12/11	15.00
25598	UBS AG - Yurun Food European Warrants Dec 2013 A	50,000,000	2013/07/04	2013/12/24	20.75
25706	Credit Suisse AG - CC Bank European Warrants Dec 2013 C	70,000,000	2013/07/05	2013/12/24	11.20
25708	Credit Suisse AG - ICBC European Warrants Oct 2013 C	70,000,000	2013/07/05	2013/10/29	11.20
25709	Credit Suisse AG - Lenovo European Warrants Nov 2013 A	70,000,000	2013/07/05	2013/11/26	29.40
25710	Credit Suisse - SPDR Gold Trust European Warrants Jan 2014 A	70,000,000	2013/07/05	2014/01/06	22.40
25712	Credit Suisse AG - SPDR Gold Trust Euro Put Wts Jan 2014 B	70,000,000	2013/07/05	2014/01/06	19.60
25689	Daiwa Capital Mkt - HSCEI European Warrants Mar 2014 B	100,000,000	2013/07/05	2014/03/28	25.00
25690	Daiwa Capital Mkt - HSCEI European Warrants Mar 2014 C	100,000,000	2013/07/05	2014/03/28	18.00
25691	Daiwa Capital Mkt - HSI European Warrants Jan 2014 C	200,000,000	2013/07/05	2014/01/29	50.00
25692	Daiwa Capital Mkt - HSI European Warrants Jan 2014 D	200,000,000	2013/07/05	2014/01/29	50.00
25693	Daiwa Capital Mkt - ICBC European Warrants Feb 2014 A	128,000,000	2013/07/05	2014/02/12	32.00
25718	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Aug 2014 A	100,000,000	2013/07/05	2014/08/28	15.10
25713	Goldman Sachs SP (Asia) - New World Dev Euro Wts Mar 2014 A	68,000,000	2013/07/05	2014/03/31	39.98
25714	Goldman Sachs SP (Asia) - Sinopharm European Wts Feb 2014 A	100,000,000	2013/07/05	2014/02/28	15.70
25717	Goldman Sachs SP (Asia) - Shimao Ppt European Wts Dec 2014 A	100,000,000	2013/07/05	2014/12/30	25.10
25654	J P Morgan SP BV - CAM CSI300 European Warrants Feb 2014 A	200,000,000	2013/07/05	2014/02/17	50.00
25664	J P Morgan SP BV - CC Bank Euro Put Wts Jan 2014 C	200,000,000	2013/07/05	2014/01/06	86.40
25662	J P Morgan SP BV - China Life European Put Wts Mar 2014 A	200,000,000	2013/07/05	2014/03/03	50.00
25659	J P Morgan SP BV - China Mob European Put Wts Jan 2014 C	200,000,000	2013/07/05	2014/01/06	68.60
25655	J P Morgan SP BV - China Railway European Wts Jan 2014 A	50,000,000	2013/07/05	2014/01/06	12.50
25651	J P Morgan SP BV - A50 China European Put Wts Jan 2014 A	200,000,000	2013/07/05	2014/01/06	128.80
25653	J P Morgan SP BV - Huaneng Power European Wts Dec 2013 A	50,000,000	2013/07/05	2013/12/31	15.55
25652	J P Morgan SP BV - ICBC European Put Wts Jan 2014 C	200,000,000	2013/07/05	2014/01/06	50.00
25650	J P Morgan SP BV - Ping An European Put Warrants Jan 2014 A	200,000,000	2013/07/05	2014/01/06	68.60
25645	J P Morgan SP BV - Zhaojin Mining European Wts Jan 2014 A	40,000,000	2013/07/05	2014/01/06	11.88
25695	Macquarie Bank Ltd. - Ch Comm Cons European Wts Feb 2014 A	60,000,000	2013/07/05	2014/02/05	15.00
25698	Macquarie Bank Ltd. - Ch Oil & Gas European Warrants Mar14 A	50,000,000	2013/07/05	2014/03/04	12.50
25694	Macquarie Bank Ltd. - China Railway European Wts Dec 2013 A	70,000,000	2013/07/05	2013/12/02	10.50
25702	Macquarie Bank Ltd. - SUNAC European Warrants Jan 2014 A	60,000,000	2013/07/05	2014/01/06	16.38
25671	Macquarie Bank Ltd. - Yanzhou Coal European Wts Jan 2014 A	50,000,000	2013/07/05	2014/01/03	11.45
25675	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Dec 2013 B	40,000,000	2013/07/05	2013/12/20	13.20
25673	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Dec 2013 A	70,000,000	2013/07/05	2013/12/30	11.06
25682	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Dec 2014 A	70,000,000	2013/07/05	2014/12/01	10.50
25686	Merrill Lynch Int'l & Co. C.V. - Ch Shenhua Eu Wt Apr 2014 A	40,000,000	2013/07/05	2014/04/29	10.00
25643	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Oct 2013 G	100,000,000	2013/07/05	2013/10/30	15.00
25642	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2013 F	100,000,000	2013/07/05	2013/12/30	15.80

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25639	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2013 E	100,000,000	2013/07/05	2013/12/30	19.20
25684	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Dec 2013 C	40,000,000	2013/07/05	2013/12/23	12.20
25677	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Nov 13 C	70,000,000	2013/07/05	2013/11/26	10.50
25679	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Dec 13 D	70,000,000	2013/07/05	2013/12/24	10.50
25688	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 14 A	150,000,000	2013/07/05	2014/01/08	22.50
25669	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Dec 2013 A	100,000,000	2013/07/05	2013/12/02	18.50
25700	UBS AG - AIA European Warrants Jan 2014 B	100,000,000	2013/07/05	2014/01/07	25.00
25701	UBS AG - CC Bank European Warrants Dec 2013 C	100,000,000	2013/07/05	2013/12/06	15.00
89011	UBS AG - CSOP A50 ETF European Warrants Jan 2014 A	20,000,000	2013/07/05	2014/01/09	12.62
25699	UBS AG - HSCEI European Warrants Jan 2014 B	100,000,000	2013/07/05	2014/01/29	21.00
25776	Daiwa Capital Mkt - Sinopec Corp European Wts Dec 2013 A	80,000,000	2013/07/08	2013/12/20	12.00
25777	Daiwa Capital Mkt - Sinopec Corp European Put Wts Jan 2014 A	68,000,000	2013/07/08	2014/01/08	20.40
25775	Daiwa Capital Mkt - HSBC European Warrants Dec 2013 B	128,000,000	2013/07/08	2013/12/02	44.80
25768	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Jul 2014 A	100,000,000	2013/07/08	2014/07/28	25.30
25770	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2013 E	150,000,000	2013/07/08	2013/12/30	22.50
25771	Goldman Sachs SP (Asia) - HSI European Warrants Sep 2014 A	150,000,000	2013/07/08	2014/09/29	22.50
25769	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2014 A	150,000,000	2013/07/08	2014/02/27	37.50
25766	Goldman Sachs SP (Asia) - Yanzhou Coal Euro Wts Mar 2014 C	68,000,000	2013/07/08	2014/03/31	38.28
25781	Macquarie Bank Ltd. - Sands China European Wts Nov 2013 B	60,000,000	2013/07/08	2013/11/04	11.88
25740	Nomura Int'l plc - Angang Steel European Warrants Jan 2014 A	50,000,000	2013/07/08	2014/01/08	16.50
25756	Nomura Int'l plc - AviChina European Warrants Jan 2014 A	80,000,000	2013/07/08	2014/01/08	20.80
25737	Nomura Int'l plc - China EB Int'l European Wts Jan 2014 A	50,000,000	2013/07/08	2014/01/08	21.50
25734	Nomura Int'l plc - China EB Int'l European Wts Apr 2014 A	50,000,000	2013/07/08	2014/04/02	19.00
25738	Nomura Int'l plc - CITIC P European Warrants Oct 2014 A	80,000,000	2013/07/08	2014/10/28	12.00
25761	Nomura Int'l plc - CITIC Sec European Warrants May 2014 A	80,000,000	2013/07/08	2014/05/05	20.40
25728	Nomura Int'l plc - China Mer Hold European Wts Apr 2014 A	80,000,000	2013/07/08	2014/04/02	20.08
25752	Nomura Int'l plc - CNOOC European Warrants Dec 2014 A	80,000,000	2013/07/08	2014/12/24	12.00
25742	Nomura Int'l plc - Sinopec European Warrants Dec 2013 A	80,000,000	2013/07/08	2013/12/18	12.08
25745	Nomura Int'l plc - Sinopec European Warrants Jan 2014 A	50,000,000	2013/07/08	2014/01/08	18.80
25753	Nomura Int'l plc - China Shenhua European Wts Jan 2014 A	50,000,000	2013/07/08	2014/01/08	13.05
25754	Nomura Int'l plc - China Shenhua European Wts Mar 2014 A	50,000,000	2013/07/08	2014/03/31	12.55
25760	Nomura Int'l plc - Evergrande European Warrants Jan 2014 A	50,000,000	2013/07/08	2014/01/08	16.00
25726	Nomura Int'l plc - Henderson Land Dev Euro Wts Jan 2014 A	50,000,000	2013/07/08	2014/01/08	12.90
25722	Nomura Int'l plc - HSBC European Warrants Nov 2013 A	80,000,000	2013/07/08	2013/11/26	12.40
25723	Nomura Int'l plc - HSBC European Warrants Mar 2014 A	50,000,000	2013/07/08	2014/03/28	22.25
25774	Nomura Int'l plc - HSBC European Warrants Apr 2016 A	100,000,000	2013/07/08	2016/04/01	15.00
25727	Nomura Int'l plc - Hutchison European Warrants Jan 2014 A	50,000,000	2013/07/08	2014/01/08	21.35
25741	Nomura Int'l plc - JIANG European Warrants Feb 2014 A	80,000,000	2013/07/08	2014/02/18	12.00
25733	Nomura Int'l plc - Sa Sa Int'l European Warrants Dec 2014 A	80,000,000	2013/07/08	2014/12/24	17.44
25731	Nomura Int'l plc - Shenzhen Int'l European Wts Oct 2014 A	80,000,000	2013/07/08	2014/10/03	20.00
25749	Nomura Int'l plc - Tencent Holdings Euro Warrants Jan 2014 A	80,000,000	2013/07/08	2014/01/08	20.08
25747	Nomura Int'l plc - Tencent Holdings Euro Warrants Feb 2014 A	100,000,000	2013/07/08	2014/02/12	17.50
25757	Nomura Int'l plc - Zijin Mining European Warrants Mar 2014 A	50,000,000	2013/07/08	2014/03/31	12.70
25751	Nomura Int'l plc - ZTE European Warrants Jul 2014 A	80,000,000	2013/07/08	2014/07/02	20.16

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		發行單位	上市日期	到期日	
25765	Standard Chartered Bank - CSOP A50 ETF Euro Wts Mar 2014 A	50,000,000	2013/07/08	2014/03/31	18.25
25762	Standard Chartered Bank - China Shenhua Euro Wts Feb 2014 A	100,000,000	2013/07/08	2014/02/06	25.00
25764	Standard Chartered Bank - A50 China Euro Put Wts Mar 2014 A	50,000,000	2013/07/08	2014/03/31	12.50
25763	Standard Chartered Bank - Zijin Mining Euro Wts Feb 2014 A	80,000,000	2013/07/08	2014/02/05	20.00
25780	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Dec 2013 B	100,000,000	2013/07/08	2013/12/30	25.00
25720	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Mar 14 A	50,000,000	2013/07/08	2014/03/03	13.50
25721	SGA Societe Generale Acceptance N.V. - Yurun Food Eu Wt Jan14A	30,000,000	2013/07/08	2014/01/08	11.40
10221	BNP Paribas Arbit Issu B.V. - Nikkei 225 Index Eu Wt Dec13 B	100,000,000	2013/07/09	2013/12/13	18.00
25800	Macquarie Bank Ltd. - Agile Ppt European Warrants Aug 2014 A	100,000,000	2013/07/09	2014/08/04	15.00
25782	Macquarie Bank Ltd. - China Shenhua European Wts Mar 2014 A	100,000,000	2013/07/09	2014/03/04	16.40
25801	Macquarie Bank Ltd. - Evergrande European Wts Jan 2014 A	100,000,000	2013/07/09	2014/01/03	15.00
25794	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jan 2014 A	100,000,000	2013/07/09	2014/01/03	15.00
25785	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Dec2013 E	100,000,000	2013/07/09	2013/12/30	24.40
25784	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Feb2014 A	100,000,000	2013/07/09	2014/02/27	18.40
25783	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Dec 2013 B	40,000,000	2013/07/09	2013/12/20	10.00
25790	Standard Chartered Bank - CAM CSI300 European Wts Mar 2014 A	100,000,000	2013/07/09	2014/03/24	16.80
25786	Standard Chartered Bank - A50 China Euro Warrants Dec 2015 A	100,000,000	2013/07/09	2015/12/02	25.00
25791	Standard Chartered Bank - SPDR Gold Trust Euro Wt Mar 2014 A	50,000,000	2013/07/09	2014/03/28	12.50
25798	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Feb 14 B	100,000,000	2013/07/09	2014/02/24	15.00
25797	SGA Societe Generale Acceptance N.V. - JIANC Eu Wt Feb2014 A	100,000,000	2013/07/09	2014/02/24	15.00
25796	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Jan14A	80,000,000	2013/07/09	2014/01/27	19.60
25803	UBS AG - CAM CSI300 European Warrants Dec 2013 A	100,000,000	2013/07/09	2013/12/19	15.00
25804	UBS AG - China Life European Warrants Dec 2013 B	100,000,000	2013/07/09	2013/12/31	15.00
25802	UBS AG - China Shenhua European Warrants Feb 2014 A	80,000,000	2013/07/09	2014/02/21	13.20
25805	UBS AG - Galaxy Ent European Warrants Nov 2013 E	80,000,000	2013/07/09	2013/11/27	29.20
25807	BOCI Asia Ltd. - Agricultural Bk European Warrants Jan 2014A	100,000,000	2013/07/10	2014/01/09	15.00
25806	BOCI Asia Ltd. - CC Bank European Warrants Jan 2014 A	100,000,000	2013/07/10	2014/01/24	25.00
25808	BOCI Asia Ltd. - China Shenhua European Warrants Feb 2014 A	100,000,000	2013/07/10	2014/02/24	17.00
89012	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Jan 2016 A	78,000,000	2013/07/10	2016/01/05	19.72
25830	Credit Suisse AG - China Mobile European Warrants Oct 2013 D	70,000,000	2013/07/10	2013/10/28	10.50
25823	Credit Suisse AG - HSI European Put Warrants Jan 2014 B	200,000,000	2013/07/10	2014/01/29	36.00
25822	Credit Suisse AG - HSI European Put Warrants Feb 2014 A	200,000,000	2013/07/10	2014/02/27	40.00
25831	Credit Suisse AG - Ping An European Warrants Dec 2013 A	70,000,000	2013/07/10	2013/12/18	16.10
25839	Daiwa Capital Mkt - AIA European Warrants Jan 2014 B	128,000,000	2013/07/10	2014/01/20	32.00
25832	Daiwa Capital Mkt - CNOOC European Warrants Dec 2014 A	128,000,000	2013/07/10	2014/12/01	21.76
25840	Daiwa Capital Mkt - PetroChina European Warrants Jan 2014 A	98,000,000	2013/07/10	2014/01/02	27.44
25833	Daiwa Capital Mkt - PetroChina European Put Wts Jul 2014 A	80,000,000	2013/07/10	2014/07/02	20.00
25847	Goldman Sachs SP (Asia) - HSCEI European Warrants Dec 2013 C	150,000,000	2013/07/10	2013/12/30	24.15
25844	Goldman Sachs SP (Asia) - HSCEI European Warrants Jan 2014 A	150,000,000	2013/07/10	2014/01/29	22.95
25824	J P Morgan SP BV - CNOOC European Warrants Jan 2014 A	100,000,000	2013/07/10	2014/01/09	25.00
25825	J P Morgan SP BV - SPDR Gold Trust European Wts Feb 2014 A	100,000,000	2013/07/10	2014/02/04	33.00
25826	J P Morgan SP BV - Tencent European Warrants Feb 2014 B	200,000,000	2013/07/10	2014/02/04	50.00
25827	J P Morgan SP BV - Tencent European Put Warrants Feb 2014 C	200,000,000	2013/07/10	2014/02/04	50.40
25819	Macquarie Bank Ltd. - CQRC Bank European Warrants Feb 2014 A	50,000,000	2013/07/10	2014/02/05	12.50

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		發行單位	上市日期	到期日	
25817	Macquarie Bank Ltd. - China Taiping European Wts Sep 2014 A	50,000,000	2013/07/10	2014/09/02	12.50
25818	Macquarie Bank Ltd. - Dongyue Group European Wts Feb 2014 A	40,000,000	2013/07/10	2014/02/04	10.00
25815	Standard Chartered Bank - Sinopec Corp Euro Wts Jun 2014 A	80,000,000	2013/07/10	2014/06/03	32.00
25810	Standard Chartered Bank - PetroChina European Wts Mar 2014 A	80,000,000	2013/07/10	2014/03/07	30.80
25811	Standard Chartered Bank - PetroChina European Wts Nov 2014 A	100,000,000	2013/07/10	2014/11/07	25.00
25812	Standard Chartered Bank - PetroChina Euro Put Wts Jun 2014 A	80,000,000	2013/07/10	2014/06/10	38.40
25820	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Jan14A	25,000,000	2013/07/10	2014/01/29	11.75
25842	UBS AG - China Life European Warrants Jan 2014 B	200,000,000	2013/07/10	2014/01/09	50.00
25841	UBS AG - China National Building European Wts Jan 2014 B	30,000,000	2013/07/10	2014/01/09	10.50
25809	UBS AG - KECL European Warrants Nov 2013 B	100,000,000	2013/07/10	2013/11/15	16.00
25843	UBS AG - PetroChina European Warrants Dec 2013 C	70,000,000	2013/07/10	2013/12/27	21.70
25821	UBS AG - Tencent Holdings European Warrants Jan 2014 B	100,000,000	2013/07/10	2014/01/24	15.00
25816	UBS AG - ZTE European Warrants Jun 2014 A	100,000,000	2013/07/10	2014/06/16	25.00
25859	BOCI Asia Ltd. - Sinopec Corp European Warrants Jan 2014 A	100,000,000	2013/07/11	2014/01/27	26.00
25858	BOCI Asia Ltd. - A50 China European Warrants Jan 2014 A	100,000,000	2013/07/11	2014/01/20	30.00
25861	Credit Suisse AG - HSI European Warrants Dec 2013 H	200,000,000	2013/07/11	2013/12/30	30.00
25860	Daiwa Capital Mkt - HSCEI European Put Warrants Mar 2014 D	100,000,000	2013/07/11	2014/03/28	25.00
25864	Goldman Sachs SP (Asia) - Angang Steel Euro Wts Jun 2014 A	80,000,000	2013/07/11	2014/06/27	34.48
25866	Goldman Sachs SP (Asia) - BOCL European Warrants Feb 2014 A	80,000,000	2013/07/11	2014/02/28	20.00
25865	Goldman Sachs SP (Asia) - CITIC Sec European Wts May 2014 A	80,000,000	2013/07/11	2014/05/29	20.00
25862	Goldman Sachs SP (Asia) - CNBM European Warrants Jan 2014 B	80,000,000	2013/07/11	2014/01/29	40.88
25863	Goldman Sachs SP (Asia) - KECL European Warrants Aug 2014 A	120,000,000	2013/07/11	2014/08/18	18.00
25872	J P Morgan SP BV - BOC HK European Warrants Aug 2014 A	150,000,000	2013/07/11	2014/08/01	37.50
25874	J P Morgan SP BV - BankComm European Warrants Feb 2014 A	60,000,000	2013/07/11	2014/02/04	21.84
25871	J P Morgan SP BV - Evergrande European Warrants Feb 2014 A	80,000,000	2013/07/11	2014/02/04	21.44
25870	J P Morgan SP BV - Geely Auto European Warrants Feb 2014 A	100,000,000	2013/07/11	2014/02/04	27.20
25869	J P Morgan SP BV - Haitong Sec European Warrants Dec 2014 A	150,000,000	2013/07/11	2014/12/01	37.50
25884	Macquarie Bank Ltd. - KECL European Warrants Aug 2014 A	100,000,000	2013/07/11	2014/08/18	15.00
25848	Nomura Int'l plc - CSOP A50 ETF European Warrants Feb 2014 A	50,000,000	2013/07/11	2014/02/04	17.75
25849	Nomura Int'l plc - CSOP A50 ETF European Warrants Oct 2015 A	100,000,000	2013/07/11	2015/10/02	15.40
25853	Nomura Int'l plc - A50 China European Warrants Jan 2014 A	50,000,000	2013/07/11	2014/01/13	17.50
25850	Nomura Int'l plc - A50 China European Warrants Feb 2014 A	80,000,000	2013/07/11	2014/02/05	20.80
25851	Nomura Int'l plc - A50 China European Warrants Oct 2014 B	100,000,000	2013/07/11	2014/10/28	15.50
25854	Nomura Int'l plc - A50 China European Put Wts Mar 2014 B	80,000,000	2013/07/11	2014/03/31	21.20
25856	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Jan 2014 A	30,000,000	2013/07/11	2014/01/13	14.70
25885	UBS AG - Jiangxi Copper European Warrants Feb 2014 A	70,000,000	2013/07/11	2014/02/17	10.50
25909	BOCI Asia Ltd. - HSBC European Warrants Dec 2013 B	100,000,000	2013/07/12	2013/12/09	16.00
25908	BOCI Asia Ltd. - HSI European Warrants Dec 2013 E	100,000,000	2013/07/12	2013/12/30	25.00
25907	BOCI Asia Ltd. - HSI European Put Warrants Feb 2014 A	100,000,000	2013/07/12	2014/02/27	18.00
25925	Goldman Sachs SP (Asia) - Geely Auto European Wts Jun 2014 A	60,000,000	2013/07/12	2014/06/30	17.70
25905	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2014 B	150,000,000	2013/07/12	2014/01/29	39.60
25906	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2014 C	150,000,000	2013/07/12	2014/01/29	22.65
25892	J P Morgan SP BV - China Mob European Wts Feb 2014 A	200,000,000	2013/07/12	2014/02/04	50.20
25890	J P Morgan SP BV - Xinyi Glass European Warrants Feb 2014 A	30,000,000	2013/07/12	2014/02/04	10.74

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		發行單位	上市日期	到期日	
25903	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Nov 2013 C	70,000,000	2013/07/12	2013/11/26	11.69
25894	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Dec 2013 B	40,000,000	2013/07/12	2013/12/16	11.40
25893	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jan 2014 A	40,000,000	2013/07/12	2014/01/13	10.00
25898	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Dec 2013 F	100,000,000	2013/07/12	2013/12/30	17.30
25901	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Jan 2014 A	100,000,000	2013/07/12	2014/01/29	15.70
25895	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2013 G	100,000,000	2013/07/12	2013/12/30	15.20
25902	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2013 H	100,000,000	2013/07/12	2013/12/30	15.20
25896	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jan 2014 B	100,000,000	2013/07/12	2014/01/29	16.90
25912	Standard Chartered Bank - China Life European Wts Mar 2014 A	100,000,000	2013/07/12	2014/03/07	28.00
25910	Standard Chartered Bank - Zijin Mining Euro Wts Jan 2014 A	60,000,000	2013/07/12	2014/01/27	10.74
25911	Standard Chartered Bank - Zijin Mining Euro Wts Jun 2014 A	60,000,000	2013/07/12	2014/06/03	22.80
25913	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec 2013C	120,000,000	2013/07/12	2013/12/30	18.00
25916	UBS AG - China Coal European Warrants Dec 2013 A	40,000,000	2013/07/12	2013/12/24	16.00
25919	UBS AG - CITIC Sec European Warrants Mar 2014 A	80,000,000	2013/07/12	2014/03/17	20.00
25917	UBS AG - CPIC European Warrants Jan 2014 A	80,000,000	2013/07/12	2014/01/13	20.00
25922	UBS AG - Geely Auto European Warrants Dec 2013 A	60,000,000	2013/07/12	2013/12/24	10.80
25924	UBS AG - HSBC European Put Warrants Nov 2013 D	100,000,000	2013/07/12	2013/11/25	29.00
25920	UBS AG - Haitong Sec European Warrants Aug 2014 A	80,000,000	2013/07/12	2014/08/25	12.00
25921	UBS AG - SJM Holdings European Warrants Jan 2014 A	80,000,000	2013/07/12	2014/01/13	20.00
25915	UBS AG - Yanzhou Coal European Wts Jan 2014 A	30,000,000	2013/07/12	2014/01/13	12.00
25928	Citigroup Global Mkt H Inc. - Tencent European Wts Jan 2014 B	100,000,000	2013/07/15	2014/01/17	25.00
25927	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Jan 2014 A	70,000,000	2013/07/15	2014/01/17	23.80
25934	Daiwa Capital Mkt - A50 China European Warrants Nov 2014 A	308,000,000	2013/07/15	2014/11/03	49.28
25933	Daiwa Capital Mkt - Hutchison European Warrants Jan 2014 A	128,000,000	2013/07/15	2014/01/14	49.92
25931	UBS AG - Anhui Conch European Warrants Dec 2013 A	100,000,000	2013/07/15	2013/12/24	21.00
25929	UBS AG - Zhaojin Mining European Warrants Dec 2013 A	35,000,000	2013/07/15	2013/12/27	10.50
25926	UBS AG - Zijin Mining European Warrants Jan 2014 A	60,000,000	2013/07/15	2014/01/27	10.20
25936	BOCI Asia Ltd. - CAM CSI300 European Warrants Feb 2014 A	100,000,000	2013/07/16	2014/02/17	16.00
25935	BOCI Asia Ltd. - HSI European Warrants Dec 2013 F	100,000,000	2013/07/16	2013/12/30	15.00
25940	BOCI Asia Ltd. - Ping An European Warrants Jan 2014 A	100,000,000	2013/07/16	2014/01/27	25.00
25943	Credit Suisse AG - Tencent Holdings European Wts Nov 2013 E	80,000,000	2013/07/16	2013/11/25	12.80
25947	Credit Suisse AG - Tencent Holdings European Wts Dec 2013 C	80,000,000	2013/07/16	2013/12/20	13.20
25941	UBS AG - China Shenhua European Warrants Dec 2013 A	80,000,000	2013/07/16	2013/12/31	12.80
25969	BOCI Asia Ltd. - AIA European Warrants Feb 2014 A	100,000,000	2013/07/17	2014/02/07	15.00
25970	BOCI Asia Ltd. - BOCL European Warrants Feb 2014 A	100,000,000	2013/07/17	2014/02/24	25.00
25971	BOCI Asia Ltd. - China Mobile European Warrants Jan 2014 B	100,000,000	2013/07/17	2014/01/20	17.00
25978	Credit Suisse AG - HSI European Put Warrants Dec 2013 I	200,000,000	2013/07/17	2013/12/30	30.00
25956	Citigroup Global Mkt H Inc. - AIA European Wts Feb 2014 A	100,000,000	2013/07/17	2014/02/27	25.00
25953	Citigroup Global Mkt H Inc. - AIA European Put Wt Dec 2013 C	70,000,000	2013/07/17	2013/12/27	11.06
25983	Daiwa Capital Mkt - AIA European Warrants Jan 2014 C	128,000,000	2013/07/17	2014/01/02	19.20
25981	Bank of East Asia - CNBM European Warrants Feb 2014 A	20,000,000	2013/07/17	2014/02/21	10.34
25982	Bank of East Asia - A50 China European Warrants Nov 2014 A	120,000,000	2013/07/17	2014/11/03	19.44
25980	Bank of East Asia - Nine Dragons European Wts Feb 2014 A	40,000,000	2013/07/17	2014/02/04	11.44
25976	Macquarie Bank Ltd. - AAC Acoustic European Wts Feb 2014 A	100,000,000	2013/07/17	2014/02/05	28.30

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		發行單位	上市日期	到期日	
25975	Macquarie Bank Ltd. - BYD European Warrants Feb 2014 A	40,000,000	2013/07/17	2014/02/04	10.00
25974	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Apr 2014 A	40,000,000	2013/07/17	2014/04/02	10.00
25977	Macquarie Bank Ltd. - Greatwall Motor European Wts Feb 2014 A	40,000,000	2013/07/17	2014/02/04	10.00
25964	Standard Chartered Bank - BOCL European Warrants Dec 2013 B	100,000,000	2013/07/17	2013/12/20	17.00
25965	Standard Chartered Bank - CITIC Sec European Wts Mar 2014 A	100,000,000	2013/07/17	2014/03/26	20.00
25967	Standard Chartered Bank - CNBM European Warrants Jan 2014 B	50,000,000	2013/07/17	2014/01/16	22.00
25968	Standard Chartered Bank - HSI European Put Wts Dec 2013 C	100,000,000	2013/07/17	2013/12/30	18.00
25963	Standard Chartered Bank - KECL European Warrants Jul 2014 A	100,000,000	2013/07/17	2014/07/03	25.00
25957	Standard Chartered Bank - Ping An European Wts Dec 2013 A	100,000,000	2013/07/17	2013/12/20	15.00
25950	SGA Societe Generale Acceptance N.V - Anhui Conch Eu Wt Dec13C	100,000,000	2013/07/17	2013/12/30	21.50
25952	SGA Societe Generale Acceptance N.V - Evergrande Eu Wt Jan14 A	50,000,000	2013/07/17	2014/01/20	13.00
25973	UBS AG - Esprit European Warrants May 2014 A	100,000,000	2013/07/17	2014/05/12	25.00
25972	UBS AG - Tencent Holdings European Warrants Feb 2014 A	100,000,000	2013/07/17	2014/02/11	25.00
25502#	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2013 B	100,000,000	2013/07/17	2013/12/30	11.10
25985	Daiwa Capital Mkt - CAM CSI300 European Warrants Jan 2014 A	100,000,000	2013/07/18	2014/01/02	15.00
25997	Daiwa Capital Mkt - BOCL European Warrants Feb 2014 A	128,000,000	2013/07/18	2014/02/20	32.00
25986	Daiwa Capital Mkt - Ping An European Warrants Jan 2014 A	108,000,000	2013/07/18	2014/01/02	18.36
25987	Daiwa Capital Mkt - Tencent Holdings European Wts Jun 2014 A	158,000,000	2013/07/18	2014/06/18	39.50
25984	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Feb 2014 A	80,000,000	2013/07/18	2014/02/28	16.96
25988	Macquarie Bank Ltd. - China Unicom European Wts May 2014 A	150,000,000	2013/07/18	2014/05/05	22.50
25994	UBS AG - CSOP A50 ETF European Warrants Dec 2013 B	100,000,000	2013/07/18	2013/12/20	35.00
25993	UBS AG - CSOP A50 ETF European Warrants Nov 2015 B	300,000,000	2013/07/18	2015/11/26	45.00
25992	UBS AG - A50 China European Warrants Jan 2014 B	100,000,000	2013/07/18	2014/01/06	34.00
25990	UBS AG - HSI European Put Warrants Nov 2013 C	300,000,000	2013/07/18	2013/11/28	75.00
25989	UBS AG - HSI European Put Warrants Dec 2013 K	300,000,000	2013/07/18	2013/12/30	78.00
25995	UBS AG - ICBC European Warrants Nov 2013 B	100,000,000	2013/07/18	2013/11/25	15.00
25996	UBS AG - Ping An European Warrants Nov 2013 C	100,000,000	2013/07/18	2013/11/28	15.00
25991	UBS AG - Tencent Holdings European Put Warrants Dec 2013 C	100,000,000	2013/07/18	2013/12/19	22.00
26049	BOCI Asia Ltd. - Anhui Conch European Warrants Feb 2014 A	100,000,000	2013/07/19	2014/02/04	16.00
26051	BOCI Asia Ltd. - Galaxy Ent European Warrants Jan 2014 A	100,000,000	2013/07/19	2014/01/30	28.00
26047	BOCI Asia Ltd. - HSI European Warrants Dec 2013 G	100,000,000	2013/07/19	2013/12/30	15.00
26045	Credit Suisse AG - Link REIT European Warrants Feb 2014 A	70,000,000	2013/07/19	2014/02/28	10.50
26068	Daiwa Capital Mkt - BOC HK European Warrants Dec 2014 A	108,000,000	2013/07/19	2014/12/15	16.20
26063	Daiwa Capital Mkt - China Life European Warrants Dec 2013 B	168,000,000	2013/07/19	2013/12/24	25.20
26069	Daiwa Capital Mkt - HSI European Put Warrants Jan 2014 E	200,000,000	2013/07/19	2014/01/29	50.00
26062	Bank of East Asia - CNBM European Warrants Oct 2015 A	100,000,000	2013/07/19	2015/10/26	25.00
26059	J P Morgan SP BV - HSI European Put Warrants Dec 2013 I	500,000,000	2013/07/19	2013/12/30	78.00
26060	J P Morgan SP BV - HSI European Put Warrants Jan 2014 C	500,000,000	2013/07/19	2014/01/29	125.00
26061	J P Morgan SP BV - HSI European Put Warrants Jan 2014 D	500,000,000	2013/07/19	2014/01/29	125.00
26044	Nomura Int'l plc - BOCL European Warrants Mar 2014 A	80,000,000	2013/07/19	2014/03/31	20.16
26043	Nomura Int'l plc - BOCL European Warrants Apr 2014 A	80,000,000	2013/07/19	2014/04/30	15.84
26027	Nomura Int'l plc - BYD European Warrants Feb 2014 A	50,000,000	2013/07/19	2014/02/05	14.00
26023	Nomura Int'l plc - CITIC Bank European Warrants Feb 2014 A	80,000,000	2013/07/19	2014/02/28	22.00
26024	Nomura Int'l plc - CITIC Bank European Warrants Mar 2014 A	80,000,000	2013/07/19	2014/03/21	12.40

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		發行單位	上市日期	到期日	
26031	Nomura Int'l plc - Minsheng Bank European Wts Feb 2014 A	50,000,000	2013/07/19	2014/02/05	14.00
26032	Nomura Int'l plc - Minsheng Bank European Wts Jan 2015 A	200,000,000	2013/07/19	2015/01/08	30.00
26019	Nomura Int'l plc - China Mobile European Warrants Jan 2014 A	80,000,000	2013/07/19	2014/01/13	13.28
26020	Nomura Int'l plc - China Mobile European Warrants Mar 2014 A	80,000,000	2013/07/19	2014/03/31	20.08
26018	Nomura Int'l plc - China Mobile European Warrants Jun 2015 A	300,000,000	2013/07/19	2015/06/29	45.00
26057	Nomura Int'l plc - CMS European Warrants Jul 2017 A	50,000,000	2013/07/19	2017/07/31	12.50
26042	Nomura Int'l plc - CNBM European Warrants Jan 2014 B	50,000,000	2013/07/19	2014/01/30	52.85
26041	Nomura Int'l plc - CNBM European Warrants Dec 2016 A	80,000,000	2013/07/19	2016/12/30	20.08
26016	Nomura Int'l plc - CNOOC European Warrants Dec 2014 B	80,000,000	2013/07/19	2014/12/08	20.24
26017	Nomura Int'l plc - CNOOC European Warrants Dec 2016 A	80,000,000	2013/07/19	2016/12/08	20.00
26007	Nomura Int'l plc - Sinopec European Warrants Feb 2014 B	80,000,000	2013/07/19	2014/02/28	24.24
26006	Nomura Int'l plc - Sinopec European Put Warrants Feb 2014 A	50,000,000	2013/07/19	2014/02/28	16.00
26021	Nomura Int'l plc - China Taiping European Warrants Jan 2015A	50,000,000	2013/07/19	2015/01/05	12.50
26013	Nomura Int'l plc - Digital China European Wts Apr 2016 A	50,000,000	2013/07/19	2016/04/06	13.00
26033	Nomura Int'l plc - Foxconn European Warrants Apr 2014 A	50,000,000	2013/07/19	2014/04/02	22.00
26026	Nomura Int'l plc - Haier Elec European Warrants Jan 2015 A	50,000,000	2013/07/19	2015/01/06	12.75
26008	Nomura Int'l plc - HKEx European Put Warrants Dec 2014 B	80,000,000	2013/07/19	2014/12/24	12.08
26002	Nomura Int'l plc - Hutchison European Warrants Mar 2014 A	50,000,000	2013/07/19	2014/03/31	26.15
26003	Nomura Int'l plc - Hutchison European Warrants Mar 2014 B	50,000,000	2013/07/19	2014/03/31	13.00
26004	Nomura Int'l plc - Hutchison European Put Warrants Mar 2014C	50,000,000	2013/07/19	2014/03/31	12.90
26028	Nomura Int'l plc - ICBC European Warrants Jan 2014 A	50,000,000	2013/07/19	2014/01/20	13.00
26034	Nomura Int'l plc - Lee & Man Paper European Wts Jan 2014 A	50,000,000	2013/07/19	2014/01/20	16.00
26022	Nomura Int'l plc - Lenovo European Warrants Apr 2014 A	50,000,000	2013/07/19	2014/04/02	33.00
26005	Nomura Int'l plc - Lumena Newmat European Wts Jan 2015 A	50,000,000	2013/07/19	2015/01/06	13.00
26037	Nomura Int'l plc - Nine Dragons European Warrants Feb 2014 A	50,000,000	2013/07/19	2014/02/05	15.75
26035	Nomura Int'l plc - Ping An European Warrants Dec 2013 C	80,000,000	2013/07/19	2013/12/31	26.72
26036	Nomura Int'l plc - Ping An European Warrants Jan 2014 A	50,000,000	2013/07/19	2014/01/20	12.70
26038	Nomura Int'l plc - Sh Electric European Warrants Jan 2015 A	50,000,000	2013/07/19	2015/01/06	12.50
26058	Nomura Int'l plc - Stanchart European Put Wts Sep 2014 A	50,000,000	2013/07/19	2014/09/30	12.90
26012	Nomura Int'l plc - Tencent Holdings Euro Put Wts Mar 2014 B	80,000,000	2013/07/19	2014/03/21	15.04
26015	Nomura Int'l plc - Xinyi Glass European Warrants Jan 2014 A	50,000,000	2013/07/19	2014/01/20	15.00
26025	Nomura Int'l plc - Zoomlion European Warrants Feb 2014 A	50,000,000	2013/07/19	2014/02/05	13.50
26029	Nomura Int'l plc - Zhaojin Mining European Wts Jul 2014 A	50,000,000	2013/07/19	2014/07/03	14.00
26039	Nomura Int'l plc - Zijin Mining European Warrants Jan 2014 A	80,000,000	2013/07/19	2014/01/27	12.80
26056	Nomura Int'l plc - Zhejiangexpress European Wts Dec 2017 A	50,000,000	2013/07/19	2017/12/28	12.50
26052	Standard Chartered Bank - CTel European Warrants Nov 2013 B	80,000,000	2013/07/19	2013/11/25	12.00
26055	Standard Chartered Bank - HSI European Warrants Dec 2013 D	100,000,000	2013/07/19	2013/12/30	15.00
26054	Standard Chartered Bank - YZ Coal European Wts Dec 2013 C	60,000,000	2013/07/19	2013/12/31	10.20
25999	UBS AG - China Life European Warrants Jan 2014 C	100,000,000	2013/07/19	2014/01/08	15.00
25998	UBS AG - China Mobile European Warrants Jan 2014 A	100,000,000	2013/07/19	2014/01/27	25.00
26000	UBS AG - HKEx European Warrants Nov 2013 B	100,000,000	2013/07/19	2013/11/11	15.00
10222	BNP Paribas Arbit Issu B.V. - AUD/USD European Wts Mar 2014 A	100,000,000	2013/07/22	2014/03/21	25.00
10223	BNP Paribas Arbit Issu B.V. - AUD/USD Euro Put Wts Mar 2014 B	100,000,000	2013/07/22	2014/03/21	25.00
26075	BNP Paribas Arbit Issu B.V. - CITIC Pacific Eu Wt Nov 2014 A	100,000,000	2013/07/22	2014/11/03	16.00

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		發行單位	上市日期	到期日	
26079	BNP Paribas Arbit Issu B.V. - HLand Dev Euro Wts Jul 2014 A	78,000,000	2013/07/22	2014/07/03	24.96
26080	BNP Paribas Arbit Issu B.V. - HSBC European Wts Mar 2014 A	100,000,000	2013/07/22	2014/03/04	16.00
26076	BNP Paribas Arbit Issu B.V. - Sa Sa Int'l Eu Wts Jan 2015 A	83,000,000	2013/07/22	2015/01/05	20.75
26078	BNP Paribas Arbit Issu B.V. - Swire Pacific A Eu Wt Feb 14 A	24,000,000	2013/07/22	2014/02/05	10.08
26085	Credit Suisse AG - Tencent Holdings Euro Put Wts Dec 2013 D	80,000,000	2013/07/22	2013/12/03	14.40
26082	Citigroup Global Mkt H Inc. - Lenovo European Wts Jan 2014 B	100,000,000	2013/07/22	2014/01/30	47.00
26081	Citigroup Global Mkt H Inc. - Lenovo Euro Put Wts Jan 2014 A	100,000,000	2013/07/22	2014/01/30	69.00
26091	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2014 A	150,000,000	2013/07/22	2014/01/30	37.65
26072	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Feb 2014 A	200,000,000	2013/07/22	2014/02/04	50.00
26084	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Apr 2014 A	40,000,000	2013/07/22	2014/04/02	10.00
26083	UBS AG - Link REIT European Warrants Feb 2014 A	80,000,000	2013/07/22	2014/02/25	12.00
26088	UBS AG - Tencent Holdings European Warrants Feb 2014 B	100,000,000	2013/07/22	2014/02/28	25.00
26096	BNP Paribas Arbit Issu B.V. - Ch Railway Euro Wts Aug 2014 A	27,000,000	2013/07/23	2014/08/04	10.53
26094	BNP Paribas Arbit Issu B.V. - ICBC European Wts Mar 2014 A	100,000,000	2013/07/23	2014/03/04	25.00
26095	BNP Paribas Arbit Issu B.V. - NCI European Wts Feb 2014 A	58,000,000	2013/07/23	2014/02/05	14.50
26092	BNP Paribas Arbit Issu B.V. - SUNAC European Wts Feb 2014 A	29,000,000	2013/07/23	2014/02/05	14.21
26099	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jul 2014 A	100,000,000	2013/07/23	2014/07/03	25.00
26104	Credit Suisse AG - China EB Int'l European Wts Jan 2014 B	70,000,000	2013/07/23	2014/01/24	31.50
26102	Credit Suisse - China Railway European Warrants Dec 2013 A	70,000,000	2013/07/23	2013/12/02	10.50
26103	Credit Suisse AG - Datang European Warrants Jan 2014 A	70,000,000	2013/07/23	2014/01/24	18.20
26105	Credit Suisse AG - Tencent Holdings European Wts Jan 2014 B	100,000,000	2013/07/23	2014/01/24	25.00
26107	Citigroup Global Mkt H Inc. - Lenovo European Wts Mar 2014 A	100,000,000	2013/07/23	2014/03/28	44.00
26106	Citigroup Global Mkt H Inc. - Tencent European Wts Mar 2014 A	100,000,000	2013/07/23	2014/03/28	25.00
26108	Goldman Sachs SP (Asia) - Tencent European Put Wt Feb 2014 B	120,000,000	2013/07/23	2014/02/27	32.88
26100	J P Morgan SP BV - Esprit European Wts Jun 2014 A	150,000,000	2013/07/23	2014/06/03	37.50
26136	Credit Suisse AG - Anhui Conch European Warrants Dec 2013 A	70,000,000	2013/07/24	2013/12/09	10.50
26117	Credit Suisse AG - China Mobile European Warrants Jan 2014 A	80,000,000	2013/07/24	2014/01/13	14.40
26135	Credit Suisse AG - GCL-Poly Energy European Wts Jan 2014 A	70,000,000	2013/07/24	2014/01/13	12.60
26129	Credit Suisse AG - Hutchison European Warrants Oct 2013 C	80,000,000	2013/07/24	2013/10/25	12.80
26131	Credit Suisse AG - Jiangxi Copper European Wts Feb 2014 A	70,000,000	2013/07/24	2014/02/24	10.50
26118	Credit Suisse AG - Ping An European Warrants Dec 2013 B	80,000,000	2013/07/24	2013/12/03	16.80
26137	Credit Suisse AG - SHK Ppt European Put Warrants Dec 2013 A	70,000,000	2013/07/24	2013/12/24	15.40
26134	Credit Suisse AG - SJM Holdings European Warrants Jan 2014 A	70,000,000	2013/07/24	2014/01/10	16.10
26124	J P Morgan SP BV - Tencent European Warrants Mar 2014 A	200,000,000	2013/07/24	2014/03/10	50.00
26125	J P Morgan SP BV - Tencent European Warrants Jun 2014 A	200,000,000	2013/07/24	2014/06/03	50.00
26121	Macquarie Bank Ltd. - China Coal European Wts Feb 2014 A	40,000,000	2013/07/24	2014/02/05	10.00
26123	Macquarie Bank Ltd. - Ch LongYuan European Wts Feb 2014 A	40,000,000	2013/07/24	2014/02/05	10.00
26119	Macquarie Bank Ltd. - R&F Ppt European Warrants Jan 2015 A	100,000,000	2013/07/24	2015/01/05	15.00
26120	Macquarie Bank Ltd. - Jiangxi Copper European Wts Jul 2014 A	70,000,000	2013/07/24	2014/07/03	10.50
26122	Macquarie Bank Ltd. - Zijin Mining European Wts Apr 2014 A	40,000,000	2013/07/24	2014/04/02	10.00
26112	Standard Chartered Bank - AIA European Warrants Dec 2013 B	100,000,000	2013/07/24	2013/12/24	19.00
26114	Standard Chartered Bank - HKEx European Warrants Dec 2013 B	80,000,000	2013/07/24	2013/12/24	40.00
26116	Standard Chartered Bank - Tencent Hldg Euro Wts Jan 2014 B	100,000,000	2013/07/24	2014/01/23	25.00
26110	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 14 A	200,000,000	2013/07/24	2014/02/05	50.00

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		發行單位	上市日期	到期日	
26111	SGA Societe Generale Acceptance N.V - Tencent Eu Put Wt Dec13A	200,000,000	2013/07/24	2013/12/02	40.00
26126	UBS AG - CC Bank European Warrants Jan 2014 B	100,000,000	2013/07/24	2014/01/23	25.00
26128	UBS AG - Esprit European Warrants May 2014 B	100,000,000	2013/07/24	2014/05/19	18.00
26127	UBS AG - Tencent Holdings European Put Warrants Nov 2013 C	100,000,000	2013/07/24	2013/11/28	20.00
26141	Daiwa Capital Mkt - HSBC European Warrants Feb 2014 A	128,000,000	2013/07/25	2014/02/04	37.12
26142	Daiwa Capital Mkt - HSBC European Put Warrants Jan 2014 A	98,000,000	2013/07/25	2014/01/24	41.16
26138	Daiwa Capital Mkt - Hutchison European Warrants Jan 2014 B	128,000,000	2013/07/25	2014/01/24	39.68
26140	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Dec 2013 B	108,000,000	2013/07/25	2013/12/17	17.28
26139	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Mar 2014 A	108,000,000	2013/07/25	2014/03/03	32.40
26149	Macquarie Bank Ltd. - A50 China European Warrants Apr 2014 A	40,000,000	2013/07/25	2014/04/02	10.00
26146	Standard Chartered Bank - A50 China Euro Warrants Apr 2016 A	100,000,000	2013/07/25	2016/04/01	25.00
26145	Standard Chartered Bank - A50 China Euro Put Wts Jun 2014 A	100,000,000	2013/07/25	2014/06/24	16.40
26143	Standard Chartered Bank - Tencent Hldg Eu Put Wts Feb 2014 A	100,000,000	2013/07/25	2014/02/04	31.00
26195	BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2013 A	100,000,000	2013/07/26	2013/12/30	17.00
26196	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jan 2014 A	100,000,000	2013/07/26	2014/01/29	15.00
26159	Macquarie Bank Ltd. - CNBM European Warrants Apr 2014 A	40,000,000	2013/07/26	2014/04/02	10.00
26155	Macquarie Bank Ltd. - China Mengniu European Wts Apr 2014 A	40,000,000	2013/07/26	2014/04/02	10.00
26154	Macquarie Bank Ltd. - SMIC European Warrants Jul 2015 A	40,000,000	2013/07/26	2015/07/03	10.00
26172	Nomura Int'l plc - Anhui Conch European Warrants Jun 2014 A	50,000,000	2013/07/26	2014/06/18	12.60
26182	Nomura Int'l plc - CAM CSI300 European Warrants Mar 2014 A	80,000,000	2013/07/26	2014/03/24	12.96
26183	Nomura Int'l plc - CAM CSI300 European Warrants Jan 2015 A	50,000,000	2013/07/26	2015/01/02	12.70
26186	Nomura Int'l plc - CAM CSI300 European Put Warrants Jun 14 A	50,000,000	2013/07/26	2014/06/04	13.90
26164	Nomura Int'l plc - BJ Ent Water European Warrants Jan 2015 A	38,000,000	2013/07/26	2015/01/05	20.14
26176	Nomura Int'l plc - Brilliance Chi European Warrants Apr 14 A	80,000,000	2013/07/26	2014/04/09	12.16
26173	Nomura Int'l plc - CC Bank European Warrants Feb 2014 A	50,000,000	2013/07/26	2014/02/18	17.05
26189	Nomura Int'l plc - CC Bank European Warrants Sep 2014 A	50,000,000	2013/07/26	2014/09/18	12.85
26165	Nomura Int'l plc - Ch Com Service European Wts Jan 2015 A	28,000,000	2013/07/26	2015/01/05	17.22
26175	Nomura Int'l plc - China Mobile European Warrants Jan 2014 B	50,000,000	2013/07/26	2014/01/28	22.00
26166	Nomura Int'l plc - Ch Overseas European Warrants Mar 2014 A	50,000,000	2013/07/26	2014/03/28	12.50
26167	Nomura Int'l plc - Ch Overseas European Warrants Dec 2014 A	50,000,000	2013/07/26	2014/12/18	12.55
26163	Nomura Int'l plc - Chi Resources European Warrants May2014 A	50,000,000	2013/07/26	2014/05/05	12.75
26177	Nomura Int'l plc - GAC European Warrants Nov 2014 A	80,000,000	2013/07/26	2014/11/27	12.08
26162	Nomura Int'l plc - Geely Auto European Warrants Dec 2013 A	80,000,000	2013/07/26	2013/12/24	16.32
26180	Nomura Int'l plc - Greatwall Motor European Wts Feb 2014 A	50,000,000	2013/07/26	2014/02/05	15.50
26171	Nomura Int'l plc - PetroChina European Warrants Mar 2014 A	50,000,000	2013/07/26	2014/03/28	13.60
26188	Nomura Int'l plc - PetroChina European Put Wts Mar 2014 B	50,000,000	2013/07/26	2014/03/28	21.10
26179	Nomura Int'l plc - PICC European Warrants Dec 2015 A	50,000,000	2013/07/26	2015/12/31	12.55
26160	Nomura Int'l plc - Poly European Warrants Feb 2014 A	50,000,000	2013/07/26	2014/02/05	15.50
26168	Nomura Int'l plc - Tencent Holdings Euro Warrants Feb 2014 B	50,000,000	2013/07/26	2014/02/18	13.00
26169	Nomura Int'l plc - Tencent Holdings Euro Warrants Apr 2014 A	50,000,000	2013/07/26	2014/04/28	12.50
26161	Nomura Int'l plc - Want Want China European Wts Jul 2014 A	80,000,000	2013/07/26	2014/07/31	12.00
26153	Standard Chartered Bank - Hutchison Euro Euro Wts Feb 2014 A	100,000,000	2013/07/26	2014/02/26	25.00
26190	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Jan14A	70,000,000	2013/07/26	2014/01/27	20.30
26191	UBS AG - Evergrande European Warrants Jan 2014 A	50,000,000	2013/07/26	2014/01/13	13.75

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26197	UBS AG - Greatwall Motor European Warrants Nov 2013 A	60,000,000	2013/07/26	2013/11/26	18.60
26193	UBS AG - Hutchison European Warrants Nov 2013 A	100,000,000	2013/07/26	2013/11/25	25.00
26192	UBS AG - Tencent Holdings European Put Warrants Jan 2014 C	100,000,000	2013/07/26	2014/01/27	32.00
26211	BNP Paribas Arbit Issu B.V. - China Life Euro Wts May 2014 A	100,000,000	2013/07/29	2014/05/05	26.00
26207	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Jan 2014 B	100,000,000	2013/07/29	2014/01/03	18.00
26212	BNP Paribas Arbit Issu B.V. - Shui On Land Eu Wt May 2014 A	28,000,000	2013/07/29	2014/05/05	10.36
26208	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Aug 2014 A	100,000,000	2013/07/29	2014/08/04	25.00
26209	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Feb 2014 B	100,000,000	2013/07/29	2014/02/05	25.00
26199	Credit Suisse AG - Tencent Holdings European Wts Jan 2014 C	80,000,000	2013/07/29	2014/01/28	20.00
26200	Credit Suisse AG - Tencent Holdings European Wts Feb 2014 A	80,000,000	2013/07/29	2014/02/28	20.00
26206	Citigroup Global Mkt H Inc. - MGM China Euro Wts Jan 2014 A	100,000,000	2013/07/29	2014/01/30	28.00
26205	Citigroup Global Mkt H Inc. - Ping An Euro Wts Feb 2014 A	100,000,000	2013/07/29	2014/02/27	50.00
26202	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Sep 2014 A	40,000,000	2013/07/29	2014/09/02	10.00
26201	Macquarie Bank Ltd. - Tencent European Warrants Feb 2014 A	250,000,000	2013/07/29	2014/02/05	64.00
26198	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Feb 2014 A	100,000,000	2013/07/29	2014/02/05	46.00
26204	UBS AG - AIA European Warrants Jan 2014 C	100,000,000	2013/07/29	2014/01/20	16.00
26203	UBS AG - Hutchison European Warrants Jan 2014 A	100,000,000	2013/07/29	2014/01/28	45.00
24415 [#]	HK Bank - A50 China European Warrants Jan 2014 A	350,000,000	2013/07/29	2014/01/02	10.15
26244	Credit Suisse AG - Angang Steel European Warrants Dec 2013 A	50,000,000	2013/07/30	2013/12/24	12.50
26247	Credit Suisse AG - CC Bank European Warrants Dec 2013 D	70,000,000	2013/07/30	2013/12/06	11.90
26240	Credit Suisse AG - CITIC Sec European Warrants Apr 2014 A	70,000,000	2013/07/30	2014/04/28	10.50
26239	Credit Suisse AG - Greatwall Motor European Wts Jan 2014 A	50,000,000	2013/07/30	2014/01/27	12.50
26242	Credit Suisse AG - HSBC European Wts Nov 2013 A	70,000,000	2013/07/30	2013/11/18	11.20
26245	Credit Suisse AG - ICBC European Warrants Nov 2013 C	70,000,000	2013/07/30	2013/11/25	10.50
26241	Credit Suisse AG - Sinopec Corp European Warrants Jun 2014 A	70,000,000	2013/07/30	2014/06/30	10.50
26238	Credit Suisse AG - SUNAC European Warrants Dec 2013 A	50,000,000	2013/07/30	2013/12/27	23.00
26243	Credit Suisse AG - Yurun Food European Warrants Dec 2013 A	50,000,000	2013/07/30	2013/12/31	22.50
26224	HK Bank - Minsheng Bank European Warrants Jan 2014 A	68,000,000	2013/07/30	2014/01/30	38.08
26222	HK Bank - HSCEI European Put Warrants Feb 2014 A	180,000,000	2013/07/30	2014/02/27	27.00
26215	HK Bank - HSI European Warrants Oct 2013 F	180,000,000	2013/07/30	2013/10/30	27.00
26218	HK Bank - HSI European Warrants Jan 2014 B	150,000,000	2013/07/30	2014/01/29	37.50
26220	HK Bank - HSI European Put Warrants Oct 2013 G	180,000,000	2013/07/30	2013/10/30	27.00
26229	HK Bank - Ping An European Warrants Dec 2013 A	100,000,000	2013/07/30	2013/12/03	16.00
26230	HK Bank - Ping An European Warrants Dec 2013 B	100,000,000	2013/07/30	2013/12/19	20.00
26225	HK Bank - Tencent European Warrants Jan 2014 A	100,000,000	2013/07/30	2014/01/30	25.00
26227	HK Bank - Tencent European Put Warrants Dec 2013 E	150,000,000	2013/07/30	2013/12/19	24.00
26232	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Dec13A	300,000,000	2013/07/30	2013/12/23	45.00
26235	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Apr14A	300,000,000	2013/07/30	2014/04/02	45.00
26231	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan14B	150,000,000	2013/07/30	2014/01/13	27.00
26236	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jan14B	80,000,000	2013/07/30	2014/01/06	22.80
26237	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan2014 B	80,000,000	2013/07/30	2014/01/06	20.00
26213	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2014 A	100,000,000	2013/07/30	2014/02/24	18.00
26254	UBS AG - Anhui Conch European Warrants Dec 2013 B	100,000,000	2013/07/30	2013/12/09	15.00
26248	UBS AG - CC Bank European Put Warrants Dec 2013 D	100,000,000	2013/07/30	2013/12/27	37.00

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		發行單位	上市日期	到期日	
26253	UBS AG - HSI European Warrants Jan 2014 B	300,000,000	2013/07/30	2014/01/29	75.00
26250	UBS AG - HSI European Put Warrants Dec 2013 L	300,000,000	2013/07/30	2013/12/30	75.00
26261	HK Bank - China Life European Warrants Dec 2013 A	150,000,000	2013/07/31	2013/12/20	24.00
26273	HK Bank - China Life European Warrants Jan 2014 A	150,000,000	2013/07/31	2014/01/06	22.50
26277	HK Bank - CSOP A50 ETF European Warrants Jan 2014 A	80,000,000	2013/07/31	2014/01/30	20.80
26269	HK Bank - Galaxy Ent European Warrants Dec 2013 B	100,000,000	2013/07/31	2013/12/24	26.00
26265	HK Bank - HSI European Warrants Nov 2013 D	200,000,000	2013/07/31	2013/11/28	30.00
26272	HK Bank - HSI European Put Warrants Jan 2014 C	200,000,000	2013/07/31	2014/01/29	30.00
26263	HK Bank - HSI European Put Warrants Mar 2014 B	200,000,000	2013/07/31	2014/03/28	32.00
26262	HK Bank - HWL European Warrants Nov 2013 A	80,000,000	2013/07/31	2013/11/25	20.80
26257	HK Bank - ICBC European Warrants Nov 2013 B	100,000,000	2013/07/31	2013/11/26	15.00
26280	Macquarie Bank Ltd. - BJ Ent Water European Wts Feb 2014 A	40,000,000	2013/07/31	2014/02/05	10.00
26278	Macquarie Bank Ltd. - BOCL European Warrants Sep 2014 A	70,000,000	2013/07/31	2014/09/02	17.50
26279	Macquarie Bank Ltd. - HSBC European Warrants Feb 2014 A	120,000,000	2013/07/31	2014/02/05	30.00
26276	Standard Chartered Bank - NCI European Warrants Jan 2014 B	80,000,000	2013/07/31	2014/01/29	14.40
26275	Standard Chartered Bank - ZTE European Warrants May 2014 A	80,000,000	2013/07/31	2014/05/30	18.40
26255	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 K	300,000,000	2013/07/31	2013/12/30	48.00
26256	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2013 L	300,000,000	2013/07/31	2013/12/30	45.00
26284	UBS AG - BOCL European Warrants Jan 2014 B	100,000,000	2013/07/31	2014/01/13	15.00
26285	UBS AG - Minsheng Bank European Warrants Mar 2016 A	60,000,000	2013/07/31	2016/03/08	15.00
26281	UBS AG - HSBC European Warrants Jan 2014 A	100,000,000	2013/07/31	2014/01/30	25.00
26283	UBS AG - Sands China European Warrants Dec 2013 B	60,000,000	2013/07/31	2013/12/31	16.80
24376#	Credit Suisse AG - A50 China European Warrants Apr 2014 A	220,000,000	2013/07/31	2014/04/28	10.12
26305	BNP Paribas Arbit Issu B.V. - CQRC Bank Euro Wts Jul 2014 A	29,000,000	2013/08/01	2014/07/03	10.44
26307	BNP Paribas Arbit Issu B.V. - Samsonite Euro Wts Mar 2014 A	91,000,000	2013/08/01	2014/03/04	23.66
26309	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt May 2014 A	100,000,000	2013/08/01	2014/05/05	34.00
26306	BNP Paribas Arbit Issu B.V. - Sunart Retail Eu Wt Jul 2015A	93,000,000	2013/08/01	2015/07/03	23.25
26304	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2014 D	100,000,000	2013/08/01	2014/01/30	18.00
26291	HK Bank - AIA European Warrants Nov 2013 A	150,000,000	2013/08/01	2013/11/25	22.50
26292	HK Bank - AIA European Put Warrants Nov 2013 B	100,000,000	2013/08/01	2013/11/25	20.00
26296	HK Bank - CAM CSI300 European Warrants Dec 2013 B	150,000,000	2013/08/01	2013/12/23	22.50
26299	HK Bank - CC Bank European Warrants Feb 2014 A	80,000,000	2013/08/01	2014/02/04	20.00
26297	HK Bank - A50 China European Warrants Feb 2014 A	80,000,000	2013/08/01	2014/02/04	20.00
26298	HK Bank - Tencent European Warrants Jan 2014 B	150,000,000	2013/08/01	2014/01/16	22.50
26295	HK Bank - Yanzhou Coal European Warrants Feb 2014 A	68,000,000	2013/08/01	2014/02/04	27.20
26289	J P Morgan SP BV - AIA European Warrants Jan 2014 C	200,000,000	2013/08/01	2014/01/20	30.00
26300	Standard Chartered Bank - CC Bank European Wts Mar 2014 A	100,000,000	2013/08/01	2014/03/05	25.00
26302	Standard Chartered Bank - HSCEI European Warrants Jan 2014 A	100,000,000	2013/08/01	2014/01/29	22.50
26301	Standard Chartered Bank - HSCEI European Put Wts Dec 2013 A	100,000,000	2013/08/01	2013/12/30	15.00
26303	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Jan14A	100,000,000	2013/08/01	2014/01/06	23.00
26310	UBS AG - Tencent Holdings European Warrants Jan 2014 D	100,000,000	2013/08/01	2014/01/17	21.00
26336	Credit Suisse AG - Tencent Holdings European Wts Feb 2014 B	80,000,000	2013/08/02	2014/02/04	20.00
26333	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2013 F	80,000,000	2013/08/02	2013/11/29	12.00
26335	Credit Suisse AG - Tencent Holdings Euro Put Wts Jan 2014 D	80,000,000	2013/08/02	2014/01/20	20.00

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26346	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Feb 2014 A	100,000,000	2013/08/02	2014/02/04	26.40
26348	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Feb 2014 B	100,000,000	2013/08/02	2014/02/27	38.00
26318	HK Bank - China Overseas European Warrants Jan 2014 A	100,000,000	2013/08/02	2014/01/24	15.00
26314	HK Bank - Henderson Land Dev European Warrants Feb 2014 A	68,000,000	2013/08/02	2014/02/04	17.00
26317	HK Bank - PICC Group European Warrants Dec 2013 C	100,000,000	2013/08/02	2013/12/18	16.00
26319	HK Bank - Sands China European Warrants Feb 2014 A	80,000,000	2013/08/02	2014/02/04	20.00
26320	HK Bank - Tencent European Put Warrants Jan 2014 C	100,000,000	2013/08/02	2014/01/24	29.00
26313	J P Morgan SP BV - HSI European Warrants Nov 2013 B	500,000,000	2013/08/02	2013/11/28	75.00
26344	J P Morgan SP BV - Tencent European Put Warrants Mar 2014 B	200,000,000	2013/08/02	2014/03/03	50.00
26343	J P Morgan SP BV - Tencent European Put Warrants Apr 2014 A	200,000,000	2013/08/02	2014/04/01	70.00
26340	Macquarie Bank Ltd. - BYD European Warrants Dec 2013 A	70,000,000	2013/08/02	2013/12/03	10.50
26322	Macquarie Bank Ltd. - Longfor PPT European Wts Apr 2015 A	120,000,000	2013/08/02	2015/04/02	30.24
26342	Macquarie Bank Ltd. - Xinyi Glass European Wts Feb 2014 A	40,000,000	2013/08/02	2014/02/05	10.04
26323	Standard Chartered Bank - PetroChina European Wts Feb 2014 B	80,000,000	2013/08/02	2014/02/07	20.00
26332	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Apr14A	100,000,000	2013/08/02	2014/04/30	15.50
26326	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 14 B	200,000,000	2013/08/02	2014/02/05	51.00
26325	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Apr 14 A	200,000,000	2013/08/02	2014/04/02	50.00
26329	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Feb14C	200,000,000	2013/08/02	2014/02/05	55.00
26331	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Feb14D	200,000,000	2013/08/02	2014/02/05	75.00
26338	UBS AG - HSCEI European Put Warrants Dec 2013 E	100,000,000	2013/08/02	2013/12/30	15.00
26339	UBS AG - Tencent Holdings European Warrants Feb 2014 C	100,000,000	2013/08/02	2014/02/11	25.00
26337	UBS AG - ZTE European Warrants May 2014 A	100,000,000	2013/08/02	2014/05/23	22.00
26362	Credit Suisse AG - HN Renewables European Wts Feb 2014 A	60,000,000	2013/08/05	2014/02/04	19.20
26363	Credit Suisse AG - Kingsoft European Warrants Feb 2014 A	60,000,000	2013/08/05	2014/02/04	15.00
26377	Credit Suisse AG - Tencent Holdings Euro Put Wts Jan 2014 E	80,000,000	2013/08/05	2014/01/20	17.60
26378	Goldman Sachs SP (Asia) - A50 China European Wts Dec 2016 A	100,000,000	2013/08/05	2016/12/28	15.80
26380	Goldman Sachs SP (Asia) - Tencent European Wt May 2014 A	150,000,000	2013/08/05	2014/05/29	37.80
26379	Goldman Sachs SP (Asia) - Tencent European Put Wt Feb 2014 C	150,000,000	2013/08/05	2014/02/27	41.55
26353	HK Bank - China Railway European Warrants Feb 2014 A	68,000,000	2013/08/05	2014/02/05	19.72
26352	HK Bank - CRCC European Warrants Dec 2014 A	100,000,000	2013/08/05	2014/12/24	15.00
26351	HK Bank - KECL European Warrants Aug 2014 A	120,000,000	2013/08/05	2014/08/19	18.00
26354	HK Bank - Tencent European Put Warrants Feb 2014 A	100,000,000	2013/08/05	2014/02/28	35.00
26374	J P Morgan SP BV - Cheung Kong European Warrants Feb 2014 A	100,000,000	2013/08/05	2014/02/04	25.00
26373	J P Morgan SP BV - Ch LongYuan European Warrants Nov 2015 A	200,000,000	2013/08/05	2015/11/02	50.00
26372	J P Morgan SP BV - Greatwall Motor European Wts Feb 2014 A	100,000,000	2013/08/05	2014/02/04	25.00
26371	J P Morgan SP BV - Hutchison European Warrants Feb 2014 A	100,000,000	2013/08/05	2014/02/04	25.00
26361	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Feb 2014 A	40,000,000	2013/08/05	2014/02/05	10.04
26370	Macquarie Bank Ltd. - Geely Auto European Wts Mar 2014 A	40,000,000	2013/08/05	2014/03/04	10.00
26358	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Feb 2014 A	40,000,000	2013/08/05	2014/02/05	14.20
26355	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Dec 2013 B	50,000,000	2013/08/05	2013/12/02	12.50
26359	Standard Chartered Bank - Tencent Hldg Euro Wts Feb 2014 B	100,000,000	2013/08/05	2014/02/04	25.00
26349	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Nov 2013 B	120,000,000	2013/08/05	2013/11/20	24.60
26365	UBS AG - Hutchison European Warrants Feb 2014 A	100,000,000	2013/08/05	2014/02/04	30.00
26367	UBS AG - KECL European Warrants Jun 2014 A	100,000,000	2013/08/05	2014/06/25	17.00

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		發行單位	上市日期	到期日	
26369	UBS AG - Tencent Holdings European Put Warrants Feb 2014 D	100,000,000	2013/08/05	2014/02/04	35.00
20218#	HK Bank - CNBM European Warrants Dec 2013 A	258,000,000	2013/08/05	2013/12/17	10.06
26399	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2013 C	100,000,000	2013/08/06	2013/12/24	15.00
26398	Goldman Sachs SP (Asia) - Tencent European Put Wt Feb 2014 D	120,000,000	2013/08/06	2014/02/27	32.16
26382	HK Bank - Anhui Conch European Warrants Feb 2014 A	80,000,000	2013/08/06	2014/02/28	20.00
26385	HK Bank - China Shenhua European Warrants Feb 2014 B	100,000,000	2013/08/06	2014/02/28	25.00
26384	HK Bank - CSR Times European Warrants Feb 2014 A	80,000,000	2013/08/06	2014/02/28	20.00
26383	HK Bank - A50 China European Warrants Oct 2014 B	200,000,000	2013/08/06	2014/10/29	32.00
26381	HK Bank - GCL-Poly Energy European Warrants Feb 2014 A	80,000,000	2013/08/06	2014/02/06	20.00
26388	HK Bank - HKEx European Warrants Feb 2014 A	68,000,000	2013/08/06	2014/02/06	23.80
26402	J P Morgan SP BV - AIA European Warrants Feb 2014 A	200,000,000	2013/08/06	2014/02/05	50.00
26401	J P Morgan SP BV - HSBC European Warrants Nov 2013 A	200,000,000	2013/08/06	2013/11/18	30.00
26400	J P Morgan SP BV - Kingsoft European Warrants Feb 2014 A	200,000,000	2013/08/06	2014/02/05	50.00
26390	Standard Chartered Bank - China Overseas Euro Wt Feb 2014 A	80,000,000	2013/08/06	2014/02/24	12.00
26389	Standard Chartered Bank - Tencent Hldg Eu Put Wts Feb 2014 C	100,000,000	2013/08/06	2014/02/05	33.00
26395	UBS AG - Yanzhou Coal European Wts Jan 2014 B	30,000,000	2013/08/06	2014/01/22	15.00
26420	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Mar 2014 A	100,000,000	2013/08/07	2014/03/04	25.00
26428	Credit Suisse AG - KECL European Warrants Feb 2014 A	70,000,000	2013/08/07	2014/02/10	28.70
26427	Credit Suisse AG - KECL European Warrants Jun 2014 A	70,000,000	2013/08/07	2014/06/30	10.50
26429	Citigroup Global Mkt H Inc. - Tencent European Wts Feb 2014 D	100,000,000	2013/08/07	2014/02/06	26.00
26418	Citigroup Global Mkt H Inc. - Tencent European Wts May 2014 A	100,000,000	2013/08/07	2014/05/19	25.00
26416	Daiwa Capital Mkt - Tencent Holdings European Wts Mar 2014 B	128,000,000	2013/08/07	2014/03/03	32.00
26417	HK Bank - CITIC Sec European Warrants Jan 2014 A	100,000,000	2013/08/07	2014/01/06	15.00
26410	HK Bank - CNBM European Warrants Jun 2014 A	68,000,000	2013/08/07	2014/06/30	34.00
26409	HK Bank - Ch Ship Cont European Warrants Mar 2014 A	80,000,000	2013/08/07	2014/03/03	20.00
26405	HK Bank - CSR European Warrants Feb 2014 A	68,000,000	2013/08/07	2014/02/28	20.40
26406	HK Bank - Geely Auto European Warrants Feb 2014 A	80,000,000	2013/08/07	2014/02/28	20.00
26404	HK Bank - Haitong Sec European Warrants May 2014 A	100,000,000	2013/08/07	2014/05/20	15.00
26403	HK Bank - Jiangxi Copper European Warrants Feb 2014 A	128,000,000	2013/08/07	2014/02/19	19.20
26407	HK Bank - Tencent European Warrants Feb 2014 B	100,000,000	2013/08/07	2014/02/28	25.00
26408	HK Bank - Tencent European Put Warrants Feb 2014 C	80,000,000	2013/08/07	2014/02/07	32.00
26426	J P Morgan SP BV - Anton Oilfield European Wts Feb 2014 A	50,000,000	2013/08/07	2014/02/06	23.70
26430	J P Morgan SP BV - Li & Fung European Warrants Dec 2014 A	200,000,000	2013/08/07	2014/12/24	30.00
26425	J P Morgan SP BV - Yanzhou Coal European Warrants Jan 2014 A	50,000,000	2013/08/07	2014/01/22	15.45
26423	Macquarie Bank Ltd. - HN Renewables European Wts Mar 2014 A	40,000,000	2013/08/07	2014/03/04	10.00
26412	Standard Chartered Bank - AIA European Warrants Apr 2014 A	100,000,000	2013/08/07	2014/04/16	15.00
26413	Standard Chartered Bank - YZ Coal European Wts Jan 2014 A	60,000,000	2013/08/07	2014/01/22	24.00
26421	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2014 B	120,000,000	2013/08/07	2014/02/05	25.80
26424	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Dec 2013 B	100,000,000	2013/08/07	2013/12/23	26.50
26422	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Feb 14 A	100,000,000	2013/08/07	2014/02/05	16.00
26411	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt May 14 A	200,000,000	2013/08/07	2014/05/05	50.00
24927#	Goldman Sachs SP (Asia) - A50 China European Wts Aug 2014 A	128,000,000	2013/08/07	2014/08/01	11.65
26456	Credit Suisse AG - Minsheng Bank European Wts Feb 2014 A	60,000,000	2013/08/08	2014/02/10	18.60
26452	Credit Suisse AG - HSI European Warrants Dec 2013 J	200,000,000	2013/08/08	2013/12/30	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
26453	Credit Suisse AG - HSI European Put Warrants Nov 2013 C	200,000,000	2013/08/08	2013/11/28	36.00
26455	Credit Suisse AG - HSI European Put Warrants Dec 2013 K	200,000,000	2013/08/08	2013/12/30	30.00
26440	HK Bank - Agricultural Bank European Warrants Feb 2014 A	80,000,000	2013/08/08	2014/02/28	20.00
26438	HK Bank - China Coal European Warrants Mar 2014 A	68,000,000	2013/08/08	2014/03/03	17.68
26433	HK Bank - CPIC European Warrants Jun 2014 A	80,000,000	2013/08/08	2014/06/30	20.00
26434	HK Bank - Evergrande European Warrants Mar 2014 A	100,000,000	2013/08/08	2014/03/03	25.00
26437	HK Bank - HSCEI European Warrants Jan 2014 A	200,000,000	2013/08/08	2014/01/29	40.00
26435	HK Bank - HSCEI European Warrants Jun 2014 A	200,000,000	2013/08/08	2014/06/27	50.00
26436	HK Bank - HSCEI European Put Warrants Dec 2013 D	150,000,000	2013/08/08	2013/12/30	22.50
26432	HK Bank - Lenovo European Warrants Mar 2014 A	80,000,000	2013/08/08	2014/03/31	26.40
26450	Macquarie Bank Ltd. - China EB Int'l European Wts Mar 2014 A	40,000,000	2013/08/08	2014/03/03	14.28
26444	Standard Chartered Bank - Sands China Euro Wts Mar 2014 A	100,000,000	2013/08/08	2014/03/07	35.00
26441	Standard Chartered Bank - Tencent Hldg Euro Wts Jan 2014 C	150,000,000	2013/08/08	2014/01/21	42.00
26443	Standard Chartered Bank - Tencent Hldg Eu Put Wts Feb 2014 D	150,000,000	2013/08/08	2014/02/20	25.50
26449	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Mar16 A	200,000,000	2013/08/08	2016/03/07	46.00
26446	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Nov 2014A	300,000,000	2013/08/08	2014/11/17	45.00
26448	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Jul 2014 A	100,000,000	2013/08/08	2014/07/02	15.00
26497	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Oct15A	100,000,000	2013/08/09	2015/10/05	32.00
26498	BNP Paribas Arbit Issu B.V. - Anta Sports Euro Wt Apr 2016 A	100,000,000	2013/08/09	2016/04/05	25.00
26496	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Apr2014 A	100,000,000	2013/08/09	2014/04/02	25.00
26500	BNP Paribas Arbit Issu B.V. - Ch State Con Eu Wts Apr 2015 A	100,000,000	2013/08/09	2015/04/02	25.00
26499	BNP Paribas Arbit Issu B.V. - MGM China Euro Wts Mar 2014 A	75,000,000	2013/08/09	2014/03/04	21.00
26477	Credit Suisse AG - Hutchison European Warrants Dec 2013 A	80,000,000	2013/08/09	2013/12/16	31.20
26478	Credit Suisse AG - Hutchison European Warrants Feb 2014 A	80,000,000	2013/08/09	2014/02/10	29.60
26479	Credit Suisse AG - Hutchison European Put Wts Nov 2013 A	80,000,000	2013/08/09	2013/11/25	12.80
26492	Citigroup Global Mkt H Inc. - MGM China Euro Wts Feb 2014 A	50,000,000	2013/08/09	2014/02/10	12.50
26495	Citigroup Global Mkt H Inc. - Wynn Macau Euro Wts Feb 2014 A	50,000,000	2013/08/09	2014/02/10	12.50
26475	Daiwa Capital Mkt - HSI European Warrants Feb 2014 A	200,000,000	2013/08/09	2014/02/27	50.00
26476	Daiwa Capital Mkt - Hutchison European Warrants Feb 2014 A	128,000,000	2013/08/09	2014/02/10	35.84
26466	Goldman Sachs SP (Asia) - AIA European Warrants Apr 2014 A	120,000,000	2013/08/09	2014/04/28	18.00
26469	HK Bank - CITIC Bank European Warrants Dec 2013 B	80,000,000	2013/08/09	2013/12/16	12.00
26470	HK Bank - China Mobile European Warrants Feb 2014 A	100,000,000	2013/08/09	2014/02/28	25.00
26467	HK Bank - China Tel European Warrants Mar 2014 A	68,000,000	2013/08/09	2014/03/03	17.00
26468	HK Bank - Huaneng Power European Warrants Mar 2014 A	50,000,000	2013/08/09	2014/03/03	25.00
26474	HK Bank - HWL European Warrants Dec 2013 C	100,000,000	2013/08/09	2013/12/16	21.00
26491	J P Morgan SP BV - AIA European Put Warrants Feb 2014 B	150,000,000	2013/08/09	2014/02/10	37.50
26490	J P Morgan SP BV - CSOP A50 ETF European Warrants Feb 2014 A	200,000,000	2013/08/09	2014/02/10	63.80
26488	J P Morgan SP BV - HSI European Warrants Feb 2014 B	300,000,000	2013/08/09	2014/02/27	75.00
26489	J P Morgan SP BV - HSI European Put Warrants Feb 2014 C	300,000,000	2013/08/09	2014/02/27	76.50
26487	J P Morgan SP BV - Ping An European Warrants Mar 2014 A	200,000,000	2013/08/09	2014/03/03	50.00
26485	J P Morgan SP BV - Sands China European Put Wts Feb 2014 A	150,000,000	2013/08/09	2014/02/10	37.50
26481	Macquarie Bank Ltd. - Hutchison European Warrants Mar 2014 A	100,000,000	2013/08/09	2014/03/04	25.00
26480	Macquarie Bank Ltd. - MGM China European Warrants Mar 2014 A	40,000,000	2013/08/09	2014/03/04	10.00
26460	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Dec 2013 I	100,000,000	2013/08/09	2013/12/30	15.00

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		發行單位	上市日期	到期日	
26459	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jan 2014 E	100,000,000	2013/08/09	2014/01/29	18.60
26463	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jan 2014 F	100,000,000	2013/08/09	2014/01/29	21.50
26462	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Feb 2014 B	100,000,000	2013/08/09	2014/02/27	25.00
26457	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jan 2014 C	100,000,000	2013/08/09	2014/01/29	15.00
26458	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jan 2014 D	100,000,000	2013/08/09	2014/01/29	15.20
26482	UBS AG - Cheung Kong European Warrants Feb 2014 A	100,000,000	2013/08/09	2014/02/10	25.00
26484	UBS AG - Hutchison European Warrants Feb 2014 B	100,000,000	2013/08/09	2014/02/10	40.00
26483	UBS AG - SPDR Gold Trust European Warrants Jan 2014 A	100,000,000	2013/08/09	2014/01/24	66.00
26515	BNP Paribas Arbit Issu B.V. - Evergrande Euro Wts Aug 2014 A	95,000,000	2013/08/12	2014/08/04	23.75
26510	BNP Paribas Arbit Issu B.V. - HKEx European Wts Apr 2014 A	60,000,000	2013/08/12	2014/04/02	16.20
26505	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Nov 2013 B	100,000,000	2013/08/12	2013/11/28	17.00
26512	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Apr 2014 A	100,000,000	2013/08/12	2014/04/02	25.00
26513	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Apr 2014 B	100,000,000	2013/08/12	2014/04/02	25.00
26516	Credit Suisse AG - Kingsoft European Warrants Feb 2014 B	60,000,000	2013/08/12	2014/02/11	17.40
26517	Credit Suisse AG - Tencent Holdings European Wts Feb 2014 C	100,000,000	2013/08/12	2014/02/24	25.00
26518	Credit Suisse AG - Tencent Holdings European Wts Feb 2014 D	100,000,000	2013/08/12	2014/02/11	25.00
26519	Credit Suisse AG - Tencent Holdings Euro Put Wts Jan 2014 F	100,000,000	2013/08/12	2014/01/27	27.00
26525	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Feb 2014 E	80,000,000	2013/08/12	2014/02/14	35.20
26509	Daiwa Capital Mkt - HSBC European Warrants Feb 2014 B	128,000,000	2013/08/12	2014/02/21	23.04
26530	Goldman Sachs SP (Asia) - BOCL European Warrants Apr 2014 A	80,000,000	2013/08/12	2014/04/30	14.24
26526	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2014 B	120,000,000	2013/08/12	2014/01/23	18.00
26527	Goldman Sachs Sp (Asia) - ICBC European Warrants Feb 2014 A	80,000,000	2013/08/12	2014/02/27	20.16
26504	HK Bank - Esprit European Warrants Jul 2014 A	100,000,000	2013/08/12	2014/07/31	25.00
26501	HK Bank - HWL European Warrants Feb 2014 A	88,000,000	2013/08/12	2014/02/12	22.00
26503	HK Bank - HWL European Warrants Feb 2014 B	88,000,000	2013/08/12	2014/02/12	26.40
26506	UBS AG - China Overseas European Warrants Jan 2014 A	100,000,000	2013/08/12	2014/01/24	17.00
26524	UBS AG - HSI European Warrants Feb 2014 C	300,000,000	2013/08/12	2014/02/27	75.00
26507	UBS AG - HSI European Put Warrants Jan 2014 C	300,000,000	2013/08/12	2014/01/29	45.00
26508	UBS AG - HSI European Put Warrants Feb 2014 B	300,000,000	2013/08/12	2014/02/27	45.00
26521	UBS AG - Tencent Holdings European Warrants Feb 2014 E	100,000,000	2013/08/12	2014/02/18	25.00
26542	Citigroup Global Mkt H Inc. - HSBC European Wts Jan 2014 A	80,000,000	2013/08/13	2014/01/24	12.80
26567	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2014 D	150,000,000	2013/08/13	2014/01/29	22.50
26566	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2014 B	150,000,000	2013/08/13	2014/04/29	25.05
26561	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2013 F	150,000,000	2013/08/13	2013/12/30	34.20
26562	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2014 B	150,000,000	2013/08/13	2014/02/27	38.55
26537	HK Bank - BYD European Warrants Jun 2014 A	50,000,000	2013/08/13	2014/06/30	17.50
26538	HK Bank - Cheung Kong European Warrants Feb 2014 A	80,000,000	2013/08/13	2014/02/28	24.00
26539	HK Bank - China Mobile European Warrants Jan 2014 A	100,000,000	2013/08/13	2014/01/20	17.00
26540	HK Bank - China Mobile European Warrants Jan 2014 B	100,000,000	2013/08/13	2014/01/13	15.00
26541	HK Bank - HSI European Warrants Jan 2014 D	200,000,000	2013/08/13	2014/01/29	30.00
26548	J P Morgan SP BV - MGM China European Warrants Feb 2014 B	60,000,000	2013/08/13	2014/02/12	15.12
26547	J P Morgan SP BV - MGM China European Put Wts Feb 2014 A	60,000,000	2013/08/13	2014/02/12	15.06
26546	J P Morgan SP BV - Tencent European Warrants Feb 2014 E	200,000,000	2013/08/13	2014/02/12	50.00
26544	J P Morgan SP BV - Tencent European Put Warrants Feb 2014 D	200,000,000	2013/08/13	2014/02/12	71.60

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		發行單位	上市日期	到期日	
26558	Macquarie Bank Ltd. - Kingsoft European Warrants Feb 2014 A	80,000,000	2013/08/13	2014/02/13	20.00
26549	Standard Chartered Bank - China Life European Wts Mar 2014 B	100,000,000	2013/08/13	2014/03/28	25.00
26550	Standard Chartered Bank - China Shenhua Euro Wts Mar 2014 A	100,000,000	2013/08/13	2014/03/05	25.00
26554	Standard Chartered Bank - HSI European Put Wts Dec 2013 E	100,000,000	2013/08/13	2013/12/30	20.00
26553	Standard Chartered Bank - Tencent Hldg Euro Wts Feb 2014 E	150,000,000	2013/08/13	2014/02/12	37.50
26531	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Dec2013 D	120,000,000	2013/08/13	2013/12/30	20.40
26559	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Feb 2014 B	30,000,000	2013/08/13	2014/02/12	13.95
26532	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jan 2014 A	120,000,000	2013/08/13	2014/01/27	62.40
26555	UBS AG - HSBC European Warrants Dec 2013 B	100,000,000	2013/08/13	2013/12/24	17.00
26556	UBS AG - HSBC European Warrants Feb 2014 A	100,000,000	2013/08/13	2014/02/07	16.00
26591	Credit Suisse AG - AIA European Warrants Jan 2014 C	80,000,000	2013/08/14	2014/01/27	12.80
26590	Credit Suisse AG - China Life European Warrants Dec 2013 A	80,000,000	2013/08/14	2013/12/23	12.00
26589	Credit Suisse AG - HSBC European Wts Nov 2013 B	80,000,000	2013/08/14	2013/11/29	12.00
26577	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Dec 2013 C	80,000,000	2013/08/14	2013/12/16	16.96
26576	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Feb 14 A	60,000,000	2013/08/14	2014/02/14	19.80
26575	Citigroup Global Mkt H Inc. - MGM China Eu Put Wt Feb 2014 B	80,000,000	2013/08/14	2014/02/13	20.00
26587	Bank of East Asia - Brilliance Chi European Wts Jul 2014 A	60,000,000	2013/08/14	2014/07/22	15.48
26584	Bank of East Asia - SJM Holdings European Wts Jul 2014 A	40,000,000	2013/08/14	2014/07/22	10.16
26568	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Jun 2014 A	80,000,000	2013/08/14	2014/06/30	20.08
26572	Goldman Sachs SP (Asia) - A50 China European Wts Feb 2014 A	80,000,000	2013/08/14	2014/02/28	20.08
26569	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Dec 2013 B	80,000,000	2013/08/14	2013/12/16	20.08
26570	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2014 E	120,000,000	2013/08/14	2014/02/27	30.24
26574	J P Morgan SP BV - HSBC European Warrants Jan 2014 C	200,000,000	2013/08/14	2014/01/27	30.00
26582	J P Morgan SP BV - Kingsoft European Warrants Feb 2014 B	200,000,000	2013/08/14	2014/02/13	50.00
26573	J P Morgan SP BV - Melco Int'l Dev European Wts Mar 2014 A	70,000,000	2013/08/14	2014/03/04	17.50
26585	Macquarie Bank Ltd. - Tencent European Warrants Mar 2014 A	150,000,000	2013/08/14	2014/03/04	37.50
26578	Standard Chartered Bank - China Mobile Euro Wts Jan 2014 A	100,000,000	2013/08/14	2014/01/06	19.00
26579	Standard Chartered Bank - HKEx European Warrants Feb 2014 B	100,000,000	2013/08/14	2014/02/21	25.00
26580	Standard Chartered Bank - Tencent Hldg Eu Put Wts Feb 2014 F	150,000,000	2013/08/14	2014/02/13	46.50
26592	UBS AG - Tencent Holdings European Put Warrants Feb 2014 F	100,000,000	2013/08/14	2014/02/07	15.00
26595	HK Bank - Minsheng Bank European Warrants Feb 2014 A	68,000,000	2013/08/15	2014/02/28	23.80
26596	HK Bank - Sinopec Corp European Warrants Jan 2014 B	68,000,000	2013/08/15	2014/01/20	23.12
26594	HK Bank - Foxconn European Warrants Mar 2014 A	50,000,000	2013/08/15	2014/03/03	20.00
26598	HK Bank - PetroChina European Warrants Jan 2014 B	68,000,000	2013/08/15	2014/01/23	23.80
26602	Macquarie Bank Ltd. - Datang European Warrants Apr 2014 A	40,000,000	2013/08/15	2014/04/02	10.00
26603	Macquarie Bank Ltd. - Esprit European Warrants Aug 2014 A	100,000,000	2013/08/15	2014/08/04	25.00
26601	Macquarie Bank Ltd. - Nine Dragons European Wts Feb 2014 A	50,000,000	2013/08/15	2014/02/05	11.00
26599	Standard Chartered Bank - Anhui Conch Euro Wts Mar 2014 B	100,000,000	2013/08/15	2014/03/17	25.00
26604	UBS AG - China Mobile European Warrants Jan 2014 B	100,000,000	2013/08/15	2014/01/06	20.00
26600	UBS AG - HSCEI European Warrants Jun 2014 A	100,000,000	2013/08/15	2014/06/27	17.00
26607	UBS AG - HSCEI European Warrants Jun 2014 B	100,000,000	2013/08/15	2014/06/27	25.00
26605	UBS AG - Ping An European Warrants Jan 2014 A	100,000,000	2013/08/15	2014/01/13	15.00
26606	UBS AG - Tencent Holdings European Warrants Jan 2014 E	100,000,000	2013/08/15	2014/01/30	33.00
26336#	Credit Suisse AG - Tencent Holdings European Wts Feb 2014 B	120,000,000	2013/08/15	2014/02/04	23.52

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		發行單位	上市日期	到期日	
26622	BNP Paribas Arbit Issu B.V. - Angang Steel Eur Wt Jul 2014 A	26,000,000	2013/08/16	2014/07/03	14.82
26623	BNP Paribas Arbit Issu B.V. - China Gas Hold Euro Wt Nov14 A	100,000,000	2013/08/16	2014/11/04	16.00
26624	BNP Paribas Arbit Issu B.V. - Ch Oil & Gas Eur Wt Jul 2014 A	57,000,000	2013/08/16	2014/07/03	15.39
26621	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Mar 2014 A	100,000,000	2013/08/16	2014/03/04	26.00
26625	BNP Paribas Arbit Issu B.V. - ZTE European Wts Aug 2014 A	87,000,000	2013/08/16	2014/08/04	23.49
26620	Credit Suisse - Yanzhou Coal European Put Wts Feb 2014 A	50,000,000	2013/08/16	2014/02/17	21.50
26626	Goldman Sachs SP (Asia) - Ch Overseas European Wts Sep 2014 A	120,000,000	2013/08/16	2014/09/29	30.00
26608	HK Bank - AIA European Put Warrants Mar 2014 C	80,000,000	2013/08/16	2014/03/24	12.00
26609	HK Bank - Tencent European Warrants Jan 2014 D	100,000,000	2013/08/16	2014/01/24	18.00
26615	Standard Chartered Bank - Agricultural Bk Eur Wts Feb 2014 A	80,000,000	2013/08/16	2014/02/21	13.60
26614	Standard Chartered Bank - China Mobile Eur Put Wt Feb 2014 A	100,000,000	2013/08/16	2014/02/27	25.00
26613	Standard Chartered Bank - Kingsoft European Wts Feb 2014 A	50,000,000	2013/08/16	2014/02/17	12.50
26617	Standard Chartered Bank - Tencent Hldg Euro Wts Apr 2014 A	100,000,000	2013/08/16	2014/04/25	21.20
26618	Standard Chartered Bank - Tencent Hldg Euro Wts Aug 2014 A	100,000,000	2013/08/16	2014/08/08	25.00
26619	Standard Chartered Bank - Tencent Hldg Eu Put Wts Jun 2014 A	100,000,000	2013/08/16	2014/06/03	35.50
26611	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Feb 2014 A	100,000,000	2013/08/16	2014/02/05	20.00
26610	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jan 14 A	100,000,000	2013/08/16	2014/01/06	23.50
26612	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Mar 14 A	100,000,000	2013/08/16	2014/03/03	25.00
26647	Credit Suisse AG - Kingsoft European Warrants Feb 2014 C	60,000,000	2013/08/19	2014/02/24	15.60
26638	Standard Chartered Bank - AIA European Warrants Jul 2014 A	100,000,000	2013/08/19	2014/07/18	25.00
26633	Standard Chartered Bank - Galaxy Ent European Wts Aug 2014 A	100,000,000	2013/08/19	2014/08/08	38.00
26636	Standard Chartered Bank - Ping An European Wts Feb 2014 B	100,000,000	2013/08/19	2014/02/24	19.00
26632	Standard Chartered Bank - Sands China Euro Wts Aug 2014 A	100,000,000	2013/08/19	2014/08/08	38.00
26634	Standard Chartered Bank - SHK P European Warrants Jan 2014 A	80,000,000	2013/08/19	2014/01/13	12.40
26635	Standard Chartered Bank - SHK P European Warrants Jun 2014 A	50,000,000	2013/08/19	2014/06/30	12.50
26628	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Feb14A	60,000,000	2013/08/19	2014/02/05	11.40
26641	UBS AG - HSI European Warrants Dec 2013 M	300,000,000	2013/08/19	2013/12/30	45.00
26639	UBS AG - HSI European Warrants Mar 2014 A	300,000,000	2013/08/19	2014/03/28	75.00
26631	UBS AG - HSI European Put Warrants Feb 2014 D	300,000,000	2013/08/19	2014/02/27	45.00
26643	UBS AG - Hutchison European Warrants Feb 2014 C	100,000,000	2013/08/19	2014/02/18	30.00
26676	Credit Suisse AG - China Life European Warrants Dec 2013 B	80,000,000	2013/08/20	2013/12/24	12.00
26677	Credit Suisse AG - A50 China European Warrants Dec 2013 A	80,000,000	2013/08/20	2013/12/27	17.60
26673	Credit Suisse AG - Ping An European Warrants Nov 2013 A	80,000,000	2013/08/20	2013/11/25	12.00
26674	Credit Suisse AG - Ping An European Warrants Dec 2013 C	80,000,000	2013/08/20	2013/12/16	12.00
26675	Credit Suisse AG - SJM Holdings European Warrants Dec 2013 A	70,000,000	2013/08/20	2013/12/16	10.50
26668	Daiwa Capital Mkt - Agricultural Bank European Wts Mar 2014 A	108,000,000	2013/08/20	2014/03/03	27.00
26678	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2014 B	120,000,000	2013/08/20	2014/01/30	32.40
26680	Goldman Sachs SP (Asia) - Tencent European Put Wt Feb 2014 F	48,000,000	2013/08/20	2014/02/07	21.31
26657	HK Bank - Anhui Conch European Warrants Jan 2014 A	100,000,000	2013/08/20	2014/01/10	15.00
26653	HK Bank - AIA European Put Warrants Jan 2014 B	80,000,000	2013/08/20	2014/01/30	14.40
26655	HK Bank - China Unicom European Warrants Sep 2014 A	100,000,000	2013/08/20	2014/09/29	15.00
26650	HK Bank - HSI European Put Warrants Dec 2013 I	180,000,000	2013/08/20	2013/12/30	32.40
26649	HK Bank - HSI European Put Warrants Jan 2014 E	200,000,000	2013/08/20	2014/01/29	30.00
26656	HK Bank - Jiangxi Copper European Warrants Jan 2014 A	100,000,000	2013/08/20	2014/01/20	15.00

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		發行單位	上市日期	到期日	
26654	HK Bank - Ping An European Warrants Dec 2013 C	80,000,000	2013/08/20	2013/12/19	12.00
26667	J P Morgan SP BV - BOCL European Warrants Mar 2014 A	200,000,000	2013/08/20	2014/03/04	50.00
26659	J P Morgan SP BV - ICBC European Warrants Mar 2014 A	200,000,000	2013/08/20	2014/03/03	50.00
26660	J P Morgan SP BV - Yurun Food European Warrants Jun 2014 A	50,000,000	2013/08/20	2014/06/17	12.50
26663	Standard Chartered Bank - CSOP A50 ETF Euro Wts May 2014 A	100,000,000	2013/08/20	2014/05/09	25.00
26664	Standard Chartered Bank - CSOP A50 ETF Euro Wts Jun 2015 A	80,000,000	2013/08/20	2015/06/25	14.32
26661	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Feb 2014 A	100,000,000	2013/08/20	2014/02/05	20.00
26662	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Mar 2014 A	100,000,000	2013/08/20	2014/03/03	19.00
26670	UBS AG - China National Building European Wts Feb 2014 A	30,000,000	2013/08/20	2014/02/19	14.40
26671	UBS AG - A50 China European Warrants Feb 2014 A	100,000,000	2013/08/20	2014/02/19	25.00
26672	UBS AG - HSCEI European Warrants Mar 2014 B	100,000,000	2013/08/20	2014/03/28	25.00
26669	UBS AG - Tencent Holdings European Warrants Mar 2014 A	100,000,000	2013/08/20	2014/03/26	19.00
26724	Citigroup Global Mkt H Inc. - Minsheng Bank Euro Wts Dec14 A	200,000,000	2013/08/22	2014/12/23	32.00
26729	Citigroup Global Mkt H Inc. - Minsheng Bank Eu Put Wt Dec14B	200,000,000	2013/08/22	2014/12/22	40.00
26730	Citigroup Global Mkt H Inc. - Ch Overseas Euro Wt Mar 2014 A	80,000,000	2013/08/22	2014/03/27	16.80
26728	Citigroup Global Mkt H Inc. - Ch Overseas Eu Put Wt Feb 14 A	80,000,000	2013/08/22	2014/02/21	20.40
26707	Goldman Sachs SP (Asia) - CNBM European Warrants Feb 2014 A	48,000,000	2013/08/22	2014/02/05	24.96
26706	Goldman Sachs SP (Asia) - Kingsoft European Wts Mar 2014 A	80,000,000	2013/08/22	2014/03/31	20.16
26695	HK Bank - Agricultural Bank European Warrants Jul 2014 A	80,000,000	2013/08/22	2014/07/31	20.00
26694	HK Bank - CM Bank European Warrants Aug 2014 A	100,000,000	2013/08/22	2014/08/26	15.00
26696	HK Bank - China Overseas European Warrants Mar 2014 A	88,000,000	2013/08/22	2014/03/26	15.84
26697	HK Bank - HKEx European Warrants Dec 2015 A	120,000,000	2013/08/22	2015/12/24	20.40
26689	HK Bank - HSI European Warrants Feb 2014 A	180,000,000	2013/08/22	2014/02/27	32.40
26693	HK Bank - HSI European Warrants Apr 2014 A	180,000,000	2013/08/22	2014/04/29	27.00
26688	J P Morgan SP BV - Anhui Conch European Warrants Mar 2014 A	200,000,000	2013/08/22	2014/03/04	50.00
26687	J P Morgan SP BV - CPIC European Warrants Mar 2014 A	150,000,000	2013/08/22	2014/03/03	37.50
26685	J P Morgan SP BV - HKEx European Put Wts Dec 2014 A	300,000,000	2013/08/22	2014/12/31	45.00
26686	J P Morgan SP BV - HSI European Put Warrants Feb 2014 D	300,000,000	2013/08/22	2014/02/27	75.00
26683	J P Morgan SP BV - Hutchison European Put Wts Mar 2014 A	80,000,000	2013/08/22	2014/03/03	20.00
26682	J P Morgan SP BV - ICBC European Warrants Mar 2014 B	200,000,000	2013/08/22	2014/03/03	50.00
26681	J P Morgan SP BV - Sands China European Warrants Mar 2014 A	200,000,000	2013/08/22	2014/03/04	50.00
26720	Macquarie Bank Ltd. - Chinares Cement European Wt Apr 2014 A	40,000,000	2013/08/22	2014/04/02	10.00
26708	Macquarie Bank Ltd. - HKEx European Put Warrants Jan 2015 B	250,000,000	2013/08/22	2015/01/05	37.50
26723	Macquarie Bank Ltd. - SUNAC European Warrants Feb 2014 A	50,000,000	2013/08/22	2014/02/24	22.10
26712	Standard Chartered Bank - China Coal European Wts Mar 2014 A	50,000,000	2013/08/22	2014/03/03	17.50
26711	Standard Chartered Bank - China Shenhua Euro Wts Apr 2014 A	100,000,000	2013/08/22	2014/04/08	25.00
26710	Standard Chartered Bank - HSCEI European Warrants Mar 2014 B	100,000,000	2013/08/22	2014/03/28	25.00
26709	Standard Chartered Bank - HSCEI European Put Wts Mar 2014 A	100,000,000	2013/08/22	2014/03/28	25.00
26713	Standard Chartered Bank - Jiangxi Copper Euro Wts Mar 2014 A	100,000,000	2013/08/22	2014/03/10	25.00
26714	Standard Chartered Bank - Jiangxi Copper Euro Wts Jul 2014 A	100,000,000	2013/08/22	2014/07/28	16.00
26704	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Apr 14 A	60,000,000	2013/08/22	2014/04/02	10.80
26716	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Feb 14 A	150,000,000	2013/08/22	2014/02/05	27.00
26702	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Jan 2014A	70,000,000	2013/08/22	2014/01/06	15.75
26698	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2014 A	200,000,000	2013/08/22	2014/01/29	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26700	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Feb 2014 B	100,000,000	2013/08/22	2014/02/05	24.00
26705	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Apr 2014 A	100,000,000	2013/08/22	2014/04/02	15.50
26701	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Sep 2014 A	120,000,000	2013/08/22	2014/09/01	19.80
26703	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Mar 14 A	150,000,000	2013/08/22	2014/03/03	27.75
26717	UBS AG - AIA European Put Warrants Jan 2014 D	50,000,000	2013/08/22	2014/01/30	11.50
26719	UBS AG - CC Bank European Put Warrants Feb 2014 A	50,000,000	2013/08/22	2014/02/21	19.00
26718	UBS AG - ICBC European Put Warrants Feb 2014 A	50,000,000	2013/08/22	2014/02/21	12.50
26760	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2015 A	100,000,000	2013/08/23	2015/01/05	25.00
26761	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Mar 2014 A	100,000,000	2013/08/23	2014/03/04	25.00
26764	BNP Paribas Arbit Issu B.V. - Hang Seng Bank Eu Wt Mar 2014A	23,000,000	2013/08/23	2014/03/04	10.12
26763	BNP Paribas Arbit Issu B.V. - China Mengniu Eu Wt Mar 2014 A	100,000,000	2013/08/23	2014/03/04	28.00
26762	BNP Paribas Arbit Issu B.V. - Ping An European Wt Mar 2014 A	100,000,000	2013/08/23	2014/03/04	29.00
26766	Bank of East Asia - BYD European Warrants May 2014 A	40,000,000	2013/08/23	2014/05/16	10.04
26765	Bank of East Asia - Kingsoft European Warrants May 2014 A	40,000,000	2013/08/23	2014/05/16	10.00
26745	Goldman Sachs SP (Asia) - HSI European Warrants Feb 2014 C	150,000,000	2013/08/23	2014/02/27	37.80
26753	HK Bank - AIA European Warrants Feb 2014 A	120,000,000	2013/08/23	2014/02/24	18.00
26750	HK Bank - BOCL European Warrants Aug 2014 A	80,000,000	2013/08/23	2014/08/26	12.00
26751	HK Bank - Zijin Mining European Warrants Jul 2014 A	80,000,000	2013/08/23	2014/07/31	20.00
26734	J P Morgan SP BV - Minsheng Bank European Wts Mar 2014 A	40,000,000	2013/08/23	2014/03/04	10.00
26733	J P Morgan SP BV - Shimao Property European Wts May 2014 A	100,000,000	2013/08/23	2014/05/02	25.00
26732	J P Morgan SP BV - ZTE European Warrants Jul 2014 B	80,000,000	2013/08/23	2014/07/02	20.00
26737	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Jan 2014 A	50,000,000	2013/08/23	2014/01/06	10.45
26735	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Feb 2014 A	50,000,000	2013/08/23	2014/02/26	10.15
26736	Merrill Lynch Int'l & Co. C.V. - CC Bank Eu Put Wt Feb 2014B	40,000,000	2013/08/23	2014/02/24	12.60
26742	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Feb 2014 B	40,000,000	2013/08/23	2014/02/24	12.00
26739	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Feb 14 A	40,000,000	2013/08/23	2014/02/25	10.40
26743	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jan 2014 B	70,000,000	2013/08/23	2014/01/23	10.57
26744	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Jan 2014 C	70,000,000	2013/08/23	2014/01/27	10.71
26748	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Feb14 A	30,000,000	2013/08/23	2014/02/05	13.05
26746	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Apr 15 A	100,000,000	2013/08/23	2015/04/01	15.00
26747	SGA Societe Generale Acceptance N.V. - PICC Gp Eu Wts Apr14A	60,000,000	2013/08/23	2014/04/02	10.80
26756	UBS AG - China Coal European Warrants Jan 2014 A	65,000,000	2013/08/23	2014/01/02	10.40
26754	UBS AG - China Life European Warrants Apr 2014 A	100,000,000	2013/08/23	2014/04/28	25.00
26757	UBS AG - PICC Group European Warrants Feb 2014 A	60,000,000	2013/08/23	2014/02/24	15.00
26759	UBS AG - SPDR Gold Trust European Warrants Feb 2014 A	100,000,000	2013/08/23	2014/02/24	25.00
26758	UBS AG - Yanzhou Coal European Wts Feb 2014 A	30,000,000	2013/08/23	2014/02/24	14.40
26778	Goldman Sachs SP (Asia) - CNOOC European Warrants Feb 2014 A	80,000,000	2013/08/26	2014/02/28	27.20
26777	Goldman Sachs SP (Asia) - Shimao Ppt European Wts May 2014 A	80,000,000	2013/08/26	2014/05/30	20.00
26771	HK Bank - Minsheng Bank European Warrants Sep 2015 A	200,000,000	2013/08/26	2015/09/30	50.00
26770	HK Bank - CSOP A50 ETF European Warrants Dec 2015 C	150,000,000	2013/08/26	2015/12/10	22.50
26769	HK Bank - A50 China European Warrants Aug 2016 B	200,000,000	2013/08/26	2016/08/02	40.00
26768	HK Bank - Lenovo European Warrants Apr 2015 A	150,000,000	2013/08/26	2015/04/08	22.50
26767	J P Morgan SP BV - PetroChina European Warrants Mar 2014 A	100,000,000	2013/08/26	2014/03/03	25.30
26773	Macquarie Bank Ltd. - Anhui Conch European Wts Apr 2014 A	40,000,000	2013/08/26	2014/04/02	10.00

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		發行單位	上市日期	到期日	
26775	Macquarie Bank Ltd. - Geely Auto European Wts Apr 2014 A	40,000,000	2013/08/26	2014/04/02	10.00
26774	Macquarie Bank Ltd. - Greatwall Motor European Wts Apr 2014 A	40,000,000	2013/08/26	2014/04/02	10.00
26779	UBS AG - AIA European Warrants Feb 2014 B	100,000,000	2013/08/26	2014/02/25	25.00
17773#	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Dec 2013 D	260,000,000	2013/08/26	2013/12/23	10.14
26800	Credit Suisse AG - HSI European Warrants Jan 2014 C	200,000,000	2013/08/27	2014/01/29	30.00
26801	Credit Suisse AG - HSI European Warrants Feb 2014 B	200,000,000	2013/08/27	2014/02/27	30.00
26802	Credit Suisse AG - HSI European Put Warrants Feb 2014 C	200,000,000	2013/08/27	2014/02/27	30.00
26805	Citigroup Global Mkt H Inc. - China Shenhua Eu Wt Jan 2014 A	80,000,000	2013/08/27	2014/01/28	12.00
26804	Citigroup Global Mkt H Inc. - China Shenhua Eu Wt Feb 2014 A	80,000,000	2013/08/27	2014/02/26	20.00
26797	Bank of East Asia - AAC Acoustic European Warrants Feb2014 A	40,000,000	2013/08/27	2014/02/26	10.60
26795	Bank of East Asia - CITIC Bank European Warrants Mar 2014 A	60,000,000	2013/08/27	2014/03/21	10.80
26794	Bank of East Asia - CM Bank European Warrants Aug 2014 A	70,000,000	2013/08/27	2014/08/26	10.50
26803	Bank of East Asia - Galaxy Ent European Warrants Feb 2014 B	40,000,000	2013/08/27	2014/02/26	10.00
26798	Bank of East Asia - JIANG European Put Warrants Oct 2014 A	60,000,000	2013/08/27	2014/10/10	15.00
26792	Bank of East Asia - Li & Fung European Warrants Jan 2015 A	60,000,000	2013/08/27	2015/01/29	15.00
26793	Bank of East Asia - Li & Fung European Put Wts Jan 2015 B	60,000,000	2013/08/27	2015/01/29	15.00
26799	Bank of East Asia - Tencent Holdings European Wts Jun 2014 A	40,000,000	2013/08/27	2014/06/20	10.00
26790	J P Morgan SP BV - Geely Auto European Warrants Mar 2014 A	100,000,000	2013/08/27	2014/03/03	25.00
26789	J P Morgan SP BV - Lenovo European Warrants Mar 2014 A	100,000,000	2013/08/27	2014/03/04	25.00
26788	J P Morgan SP BV - Link Reit European Warrants Apr 2014 A	80,000,000	2013/08/27	2014/04/01	20.00
26807	Macquarie Bank Ltd. - CNBM European Warrants Apr 2014 B	40,000,000	2013/08/27	2014/04/02	10.00
26781	Merrill Lynch Int'l & Co. C.V. - AIA Euro Put Wts Mar 2014 B	80,000,000	2013/08/27	2014/03/21	12.32
26780	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Dec 2013 D	50,000,000	2013/08/27	2013/12/30	10.05
26784	Merrill Lynch Int'l & Co. C.V. - A50 China Eu Put Wt Jan14 A	40,000,000	2013/08/27	2014/01/02	10.20
26782	Merrill Lynch Int'l & Co. C.V. - HKEx Eur Put Wts Dec 2014 B	80,000,000	2013/08/27	2014/12/24	12.08
26787	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Feb 2014 A	40,000,000	2013/08/27	2014/02/27	24.00
26785	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Feb 2014 A	50,000,000	2013/08/27	2014/02/20	14.25
26806	UBS AG - AIA European Warrants Mar 2014 A	100,000,000	2013/08/27	2014/03/26	15.00
26808	UBS AG - Galaxy Ent European Warrants Jan 2014 A	100,000,000	2013/08/27	2014/01/03	38.00
26811	UBS AG - Zhaojin Mining European Warrants Feb 2014 A	35,000,000	2013/08/27	2014/02/26	18.55
26339#	UBS AG - Tencent Holdings European Warrants Feb 2014 C	100,000,000	2013/08/27	2014/02/11	17.20
26812	BOCI Asia Ltd. - HSI European Put Warrants Jan 2014 A	100,000,000	2013/08/28	2014/01/29	15.00
26813	BOCI Asia Ltd. - Hutchison European Warrants Mar 2014 A	100,000,000	2013/08/28	2014/03/10	25.00
26815	BOCI Asia Ltd. - Tencent Holdings European Wts Feb 2014 B	100,000,000	2013/08/28	2014/02/11	15.00
26814	BOCI Asia Ltd. - Tencent Holdings European Put Wts Feb 2014 A	100,000,000	2013/08/28	2014/02/24	15.00
26834	Credit Suisse AG - Anhui Conch European Warrants Dec 2013 B	70,000,000	2013/08/28	2013/12/24	10.50
26836	Credit Suisse AG - CAM CSI300 European Warrants Mar 2014 A	80,000,000	2013/08/28	2014/03/24	12.00
26822	Credit Suisse AG - CC Bank European Warrants Jan 2014 A	80,000,000	2013/08/28	2014/01/27	12.00
26824	Credit Suisse AG - China Coal European Warrants Dec 2013 A	70,000,000	2013/08/28	2013/12/27	10.50
26833	Credit Suisse - Cheung Kong European Put Warrants Dec 2013 C	70,000,000	2013/08/28	2013/12/24	10.50
26829	Credit Suisse AG - China Mobile European Warrants Jan 2014 B	80,000,000	2013/08/28	2014/01/06	12.80
26825	Credit Suisse AG - CNOOC European Warrants Feb 2014 B	80,000,000	2013/08/28	2014/02/28	34.40
26827	Credit Suisse AG - CNOOC European Put Warrants Mar 2014 A	80,000,000	2013/08/28	2014/03/24	12.00
26831	Credit Suisse AG - HKEx European Warrants Jan 2014 B	80,000,000	2013/08/28	2014/01/30	28.00

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		發行單位	上市日期	到期日	
26832	Credit Suisse AG - HKEx European Put Warrants Feb 2014 A	80,000,000	2013/08/28	2014/02/28	26.40
26823	Credit Suisse AG - ICBC European Warrants Feb 2014 A	80,000,000	2013/08/28	2014/02/24	15.20
26830	Credit Suisse AG - SHK Ppt European Warrants Feb 2014 A	70,000,000	2013/08/28	2014/02/24	11.20
26838	Citigroup Global Mkt H Inc. - AIA European Put Wt Feb 2014 B	50,000,000	2013/08/28	2014/02/27	12.50
26840	Citigroup Global Mkt H Inc. - Yanzhou Coal Eur Wts Feb 2014 B	30,000,000	2013/08/28	2014/02/27	10.80
26837	Citigroup Global Mkt H Inc. - YZ Coal Euro Put Wt Feb 2014 A	30,000,000	2013/08/28	2014/02/27	10.20
26828	Macquarie Bank Ltd. - Digital China European Wts Jul 2015 A	120,000,000	2013/08/28	2015/07/03	30.00
26821	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Feb 2014 A	80,000,000	2013/08/28	2014/02/05	12.00
26819	UBS AG - AIA European Warrants Mar 2014 B	100,000,000	2013/08/28	2014/03/04	26.00
26818	UBS AG - China Life European Warrants Feb 2014 A	100,000,000	2013/08/28	2014/02/27	25.00
26820	UBS AG - HSI European Warrants Feb 2014 E	300,000,000	2013/08/28	2014/02/27	75.00
26866	BOCI Asia Ltd. - China Shenhua European Warrants Mar 2014 A	100,000,000	2013/08/29	2014/03/03	15.00
26869	BOCI Asia Ltd. - Hutchison European Put Warrants Mar 2014 B	100,000,000	2013/08/29	2014/03/10	25.00
26867	BOCI Asia Ltd. - Sands China European Warrants Feb 2014 A	100,000,000	2013/08/29	2014/02/24	25.00
26864	BNP Paribas Arbit Issu B.V. - BOCL European Wts Mar 2014 B	100,000,000	2013/08/29	2014/03/04	15.00
26860	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2014 A	100,000,000	2013/08/29	2014/02/05	15.00
26861	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Mar 2014 A	73,000,000	2013/08/29	2014/03/04	21.90
26862	BNP Paribas Arbit Issu B.V. - Country Garden Eu Wt Mar 2014A	41,000,000	2013/08/29	2014/03/04	13.94
26863	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Aug 2014 A	100,000,000	2013/08/29	2014/08/04	25.00
26874	Credit Suisse AG - CSOP A50 ETF European Warrants Dec 2013 A	70,000,000	2013/08/29	2013/12/20	10.50
26878	Citigroup Global Mkt H Inc. - A50 China Euro Wts Apr 2014 A	50,000,000	2013/08/29	2014/04/25	23.50
26876	Citigroup Global Mkt H Inc. - A50 China Eu Put Wt Nov 2014 A	200,000,000	2013/08/29	2014/11/25	50.00
26879	Citigroup Global Mkt H Inc. - HSBC European Wts Jan 2014 B	80,000,000	2013/08/29	2014/01/24	19.20
26889	Goldman Sachs SP (Asia) - CAM CSI300 European Wts May 2014 A	120,000,000	2013/08/29	2014/05/29	30.72
26859	Goldman Sachs SP (Asia) - China Life Euro Wts Jun 2014 A	120,000,000	2013/08/29	2014/06/27	30.00
26849	HK Bank - HSI European Warrants Feb 2014 B	180,000,000	2013/08/29	2014/02/27	30.60
26852	HK Bank - HSI European Put Warrants Dec 2013 J	180,000,000	2013/08/29	2013/12/30	27.00
26850	HK Bank - HSI European Put Warrants Jan 2014 F	180,000,000	2013/08/29	2014/01/29	27.00
26843	J P Morgan SP BV - AIA European Warrants Mar 2014 A	200,000,000	2013/08/29	2014/03/03	50.00
26865	Macquarie Bank Ltd. - Ch Ship Cont European Wts May 2014 A	40,000,000	2013/08/29	2014/05/05	10.00
26844	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2013 C	40,000,000	2013/08/29	2013/12/30	10.00
26845	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Wts Jun 2014 A	100,000,000	2013/08/29	2014/06/27	15.20
26848	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Feb 2014 E	100,000,000	2013/08/29	2014/02/27	15.00
26846	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Feb 2014 C	100,000,000	2013/08/29	2014/02/27	25.50
26847	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Feb 2014 D	100,000,000	2013/08/29	2014/02/27	15.80
26871	Standard Chartered Bank - AIA European Warrants Mar 2014 B	100,000,000	2013/08/29	2014/03/28	17.00
89014	Standard Chartered Bank - CAM CSI300 Euro Wts Apr 2014 A	100,000,000	2013/08/29	2014/04/08	31.65
26872	Standard Chartered Bank - CNOOC European Warrants Mar 2014 A	80,000,000	2013/08/29	2014/03/05	20.00
89013	Standard Chartered Bank - CSOP A50 ETF Euro Wts Jan 2016 A	100,000,000	2013/08/29	2016/01/08	25.45
26856	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Jan14C	150,000,000	2013/08/29	2014/01/06	22.50
26855	SGA Societe Generale Acceptance N.V. - Esprit Eu Wt Aug 14 A	150,000,000	2013/08/29	2014/08/04	28.50
26857	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Mar14A	70,000,000	2013/08/29	2014/03/05	17.50
26853	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Jan14 B	100,000,000	2013/08/29	2014/01/06	15.00
26886	UBS AG - Greatwall Motor European Warrants Jan 2014 A	60,000,000	2013/08/29	2014/01/27	20.40

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		發行單位	上市日期	到期日	
26880	UBS AG - HSI European Put Warrants Mar 2014 B	300,000,000	2013/08/29	2014/03/28	45.00
26881	UBS AG - KECL European Warrants Jul 2014 B	100,000,000	2013/08/29	2014/07/28	25.00
26885	UBS AG - SHK Ppt European Warrants Feb 2014 A	60,000,000	2013/08/29	2014/02/24	10.20
23794#	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Oct 2013 C	200,000,000	2013/08/29	2013/10/30	10.40
26902	Credit Suisse AG - Tencent Holdings European Wts Jan 2014 G	100,000,000	2013/08/30	2014/01/16	22.00
26895	Daiwa Capital Mkt - Galaxy Ent European Warrants Jan 2014 A	80,000,000	2013/08/30	2014/01/02	16.80
26896	Daiwa Capital Mkt - Galaxy Ent European Put Wts Mar 2014 A	80,000,000	2013/08/30	2014/03/03	23.20
26890	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2013 G	150,000,000	2013/08/30	2013/12/30	22.50
26897	Macquarie Bank Ltd. - Minsheng Bank European Wts Mar 2014 A	80,000,000	2013/08/30	2014/03/04	20.00
26899	Macquarie Bank Ltd. - Yurun Food European Wts Mar 2014 A	40,000,000	2013/08/30	2014/03/04	11.80
26892	Standard Chartered Bank - China Railway Euro Wts Jul 2014 A	50,000,000	2013/08/30	2014/07/04	18.50
26893	Standard Chartered Bank - Geely Auto European Wts Mar 2014 A	50,000,000	2013/08/30	2014/03/10	16.75
26894	Standard Chartered Bank - HKEx European Put Wts Apr 2014 A	100,000,000	2013/08/30	2014/04/03	25.00
26891	Standard Chartered Bank - Hutchison Euro Euro Wts Mar 2014 A	100,000,000	2013/08/30	2014/03/28	30.00
26907	Credit Suisse AG - Jiangxi Copper Euro Put Wts Jun 2014 A	60,000,000	2013/09/02	2014/06/06	15.00
26905	Credit Suisse AG - SPDR Gold Trust Euro Put Wts Dec 2013 A	60,000,000	2013/09/02	2013/12/11	10.20
26906	Credit Suisse AG - ZTE European Warrants Jun 2014 A	60,000,000	2013/09/02	2014/06/30	14.40
26904	HK Bank - SHK Ppt European Warrants Mar 2014 A	60,000,000	2013/09/02	2014/03/31	15.00
26903	HK Bank - Yanzhou Coal European Warrants Mar 2014 A	50,000,000	2013/09/02	2014/03/03	22.50
26908	UBS AG - Agricultural Bank European Warrants Mar 2014 A	100,000,000	2013/09/02	2014/03/10	25.00
26909	UBS AG - BYD European Warrants Jan 2014 B	80,000,000	2013/09/02	2014/01/27	12.00
27149#	HK Bank - A50 China European Warrants Aug 2014 A	180,000,000	2013/09/02	2014/08/01	18.90
26913	BOCI Asia Ltd. - BOC HK European Warrants Jul 2014 A	100,000,000	2013/09/03	2014/07/28	17.00
26910	BOCI Asia Ltd. - Li & Fung European Warrants Jun 2014 A	100,000,000	2013/09/03	2014/06/09	15.00
26932	Credit Suisse AG - AIA European Warrants Feb 2014 A	70,000,000	2013/09/03	2014/02/18	10.50
26935	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Mar 2014 A	60,000,000	2013/09/03	2014/03/03	24.00
26933	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Mar 2014 A	80,000,000	2013/09/03	2014/03/03	27.20
26922	J P Morgan SP BV - AAC Acoustic European Warrants Jul 2014 A	80,000,000	2013/09/03	2014/07/02	28.80
26921	J P Morgan SP BV - China Life European Warrants Apr 2014 A	200,000,000	2013/09/03	2014/04/17	30.00
26920	J P Morgan SP BV - Galaxy Ent European Warrants Mar 2014 A	200,000,000	2013/09/03	2014/03/04	50.00
26919	J P Morgan SP BV - Henderson Land Dev European Wt Mar 2014 A	60,000,000	2013/09/03	2014/03/03	15.00
26915	J P Morgan SP BV - Yanzhou Coal European Put Wts Mar 2014 A	50,000,000	2013/09/03	2014/03/04	12.50
26925	Standard Chartered Bank - CSOP A50 ETF Euro Wts Dec 2013 B	80,000,000	2013/09/03	2013/12/24	12.00
26926	Standard Chartered Bank - A50 China Euro Warrants Jan 2014 B	50,000,000	2013/09/03	2014/01/24	13.50
26929	Standard Chartered Bank - Hutchison Euro Put Wt May 2014 A	100,000,000	2013/09/03	2014/05/30	40.00
26923	Standard Chartered Bank - Tracker Fund Euro Wts Mar 2014 A	100,000,000	2013/09/03	2014/03/18	25.00
26924	Standard Chartered Bank - Tracker Fund Eu Put Wt Jun 2014 A	100,000,000	2013/09/03	2014/06/03	25.00
26931	UBS AG - China National Building European Wts Nov 2015 A	100,000,000	2013/09/03	2015/11/09	25.00
26930	UBS AG - Geely Auto European Warrants Jan 2014 A	60,000,000	2013/09/03	2014/01/23	19.20
26957	Credit Suisse AG - CC Bank European Warrants Dec 2013 E	70,000,000	2013/09/04	2013/12/30	10.50
26938	Goldman Sachs SP (Asia) - Esprit European Wts Jul 2014 A	80,000,000	2013/09/04	2014/07/24	12.08
26937	Goldman Sachs SP (Asia) - HSBC European Put Wts Mar 2014 A	80,000,000	2013/09/04	2014/03/28	24.88
26936	HK Bank - Galaxy Ent European Warrants Jan 2014 A	80,000,000	2013/09/04	2014/01/27	24.00
26955	J P Morgan SP BV - KECL European Warrants Jun 2014 A	200,000,000	2013/09/04	2014/06/25	30.20

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26939	Macquarie Bank Ltd. - Galaxy Ent European Wts Apr 2014 A	40,000,000	2013/09/04	2014/04/02	10.04
26943	Macquarie Bank Ltd. - Longfor PPT European Wts Dec 2014 A	120,000,000	2013/09/04	2014/12/02	30.00
26941	Macquarie Bank Ltd. - SHK Ppt European Warrants Jun 2014 A	120,000,000	2013/09/04	2014/06/04	30.00
26942	Macquarie Bank Ltd. - Shimao Ppt European Wts Aug 2014 A	120,000,000	2013/09/04	2014/08/04	30.00
26940	Macquarie Bank Ltd. - Wharf European Warrants May 2014 A	120,000,000	2013/09/04	2014/05/05	30.00
26950	Standard Chartered Bank - AIA European Warrants Dec 2013 C	100,000,000	2013/09/04	2013/12/27	20.00
26951	Standard Chartered Bank - AIA European Warrants Apr 2014 B	100,000,000	2013/09/04	2014/04/30	30.00
26944	Standard Chartered Bank - HKEx European Put Wts May 2014 A	100,000,000	2013/09/04	2014/05/05	25.00
26952	Standard Chartered Bank - Li & Fung European Wts Nov 2014 A	100,000,000	2013/09/04	2014/11/03	25.00
26953	Standard Chartered Bank - Li & Fung Euro Put Wts May 2014 A	100,000,000	2013/09/04	2014/05/30	25.00
26960	UBS AG - HSI European Put Warrants Jan 2014 D	300,000,000	2013/09/04	2014/01/29	45.00
26958	UBS AG - PetroChina European Warrants Jan 2014 A	70,000,000	2013/09/04	2014/01/08	22.40
26959	UBS AG - PetroChina European Warrants Feb 2014 A	70,000,000	2013/09/04	2014/02/24	10.50
26963	BOCI Asia Ltd. - ICBC European Warrants Feb 2014 A	100,000,000	2013/09/05	2014/02/24	24.00
26962	BOCI Asia Ltd. - ICBC European Put Warrants Mar 2014 A	100,000,000	2013/09/05	2014/03/17	25.00
26964	BOCI Asia Ltd. - PetroChina European Warrants Feb 2014 A	100,000,000	2013/09/05	2014/02/04	20.00
26961	BOCI Asia Ltd. - Ping An European Warrants Mar 2014 A	100,000,000	2013/09/05	2014/03/17	25.00
10225	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt May 2014 A	100,000,000	2013/09/05	2014/05/16	25.00
10224	BNP Paribas Arbit Issu B.V. - Oil Futures Eu Put Wt Nov 14 A	100,000,000	2013/09/05	2014/11/18	25.00
26987	Credit Suisse AG - Geely Auto European Warrants Dec 2013 A	60,000,000	2013/09/05	2013/12/24	15.00
26988	Credit Suisse AG - Kingsoft European Put Warrants Mar 2014 A	60,000,000	2013/09/05	2014/03/10	15.00
26968	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2013 H	150,000,000	2013/09/05	2013/12/30	23.55
26989	Goldman Sachs SP (Asia) - PICC European Warrants Jul 2014 A	80,000,000	2013/09/05	2014/07/28	12.00
26966	Macquarie Bank Ltd. - Sinopharm European Warrants Mar 2014 A	80,000,000	2013/09/05	2014/03/04	12.00
26985	Macquarie Bank Ltd. - Sino Ocean Land European Wts May 2014 A	80,000,000	2013/09/05	2014/05/05	20.40
26973	Standard Chartered Bank - China Life European Wts Feb 2014 A	100,000,000	2013/09/05	2014/02/20	22.00
26975	Standard Chartered Bank - HSBC European Warrants Aug 2014 A	80,000,000	2013/09/05	2014/08/08	20.00
26974	Standard Chartered Bank - HSBC European Put Wts Mar 2014 A	80,000,000	2013/09/05	2014/03/31	22.40
26978	Standard Chartered Bank - PetroChina European Wts Dec 2013 C	80,000,000	2013/09/05	2013/12/27	24.00
26983	Standard Chartered Bank - PetroChina European Wts May 2014 A	60,000,000	2013/09/05	2014/05/28	24.00
26980	Standard Chartered Bank - Ping An European Wts Aug 2014 A	80,000,000	2013/09/05	2014/08/08	21.60
26981	Standard Chartered Bank - Ping An Euro Put Wts Oct 2014 A	80,000,000	2013/09/05	2014/10/06	28.40
26969	UBS AG - CC Bank European Warrants Jan 2014 C	100,000,000	2013/09/05	2014/01/06	15.00
26971	UBS AG - ICBC European Warrants Jan 2014 A	100,000,000	2013/09/05	2014/01/13	21.00
26970	UBS AG - ICBC European Warrants Feb 2014 B	100,000,000	2013/09/05	2014/02/24	17.00
26990	BOCI Asia Ltd. - Haitong Sec European Warrants May 2014 A	100,000,000	2013/09/06	2014/05/19	15.00
26992	BOCI Asia Ltd. - KECL European Warrants Jun 2014 A	100,000,000	2013/09/06	2014/06/30	15.00
26991	BOCI Asia Ltd. - Ping An European Put Warrants Mar 2014 B	100,000,000	2013/09/06	2014/03/24	25.00
27009	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Mar 2014 B	50,000,000	2013/09/06	2014/03/07	21.50
27010	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Feb 2014 A	100,000,000	2013/09/06	2014/02/21	26.00
27008	Citigroup Global Mkt H Inc. - A50 China Eu Put Wt Mar 2014 A	60,000,000	2013/09/06	2014/03/07	27.60
27004	Bank of East Asia - Ch Ship Cont European Wts May 2014 A	40,000,000	2013/09/06	2014/05/26	10.00
27002	Bank of East Asia - Foxconn European Warrants Sep 2015 A	100,000,000	2013/09/06	2015/09/29	15.00
27006	Goldman Sachs SP (Asia) - CITIC Bank European Wts Jun 2014 A	68,000,000	2013/09/06	2014/06/30	17.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26998	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Mar 2014 A	80,000,000	2013/09/06	2014/03/28	21.92
26999	Goldman Sachs SP (Asia) - HKEx European Put Wts Dec 2014 A	80,000,000	2013/09/06	2014/12/29	12.16
26997	Goldman Sachs SP (Asia) - Wharf European Warrants Jun 2014 A	68,000,000	2013/09/06	2014/06/30	17.27
27007	Goldman Sachs SP (Asia) - Zoomlion European Wts Jul 2014 A	68,000,000	2013/09/06	2014/07/07	27.61
27012	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2014 A	150,000,000	2013/09/06	2014/04/02	37.50
27011	J P Morgan SP BV - Kingsoft European Put Warrants Mar 2014 A	100,000,000	2013/09/06	2014/03/10	25.00
26993	UBS AG - Sinopec Corp European Warrants Jan 2014 A	100,000,000	2013/09/06	2014/01/03	15.00
27001	UBS AG - Galaxy Ent European Warrants Mar 2014 A	80,000,000	2013/09/06	2014/03/05	20.00
27005	UBS AG - HSBC European Warrants Jan 2014 B	100,000,000	2013/09/06	2014/01/23	20.00
26996	UBS AG - Lenovo European Warrants Mar 2014 A	100,000,000	2013/09/06	2014/03/05	32.00
26995	UBS AG - Sands China European Warrants Jan 2014 B	60,000,000	2013/09/06	2014/01/24	21.00
27045	Credit Suisse AG - Galaxy Ent European Warrants Mar 2014 A	80,000,000	2013/09/09	2014/03/10	20.00
27044	Credit Suisse AG - Galaxy Ent European Put Wts Feb 2014 A	80,000,000	2013/09/09	2014/02/10	12.00
27051	Credit Suisse AG - HSI European Put Warrants Jan 2014 D	200,000,000	2013/09/09	2014/01/29	30.00
27046	Credit Suisse AG - HSI European Put Warrants Feb 2014 D	200,000,000	2013/09/09	2014/02/27	30.00
27042	Credit Suisse AG - Sands China European Warrants Mar 2014 A	80,000,000	2013/09/09	2014/03/10	20.00
27040	Credit Suisse AG - Sands China European Put Wts Jan 2014 A	80,000,000	2013/09/09	2014/01/30	14.40
27052	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Mar 2014 B	60,000,000	2013/09/09	2014/03/10	18.60
27030	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jan 2014 B	100,000,000	2013/09/09	2014/01/24	21.00
27053	Citigroup Global Mkt H Inc. - Sands China Euro Wt Mar 2014 A	60,000,000	2013/09/09	2014/03/10	15.00
27027	Citigroup Global Mkt H Inc. - Tencent European Wts Feb 2014 F	100,000,000	2013/09/09	2014/02/24	20.00
27036	Daiwa Capital Mkt - BOCL European Warrants Aug 2014 A	128,000,000	2013/09/09	2014/08/26	23.04
27034	Daiwa Capital Mkt - China Life European Warrants Apr 2014 A	128,000,000	2013/09/09	2014/04/17	19.20
27035	Daiwa Capital Mkt - China Life European Put Wts Apr 2014 B	80,000,000	2013/09/09	2014/04/15	20.00
27031	Daiwa Capital Mkt - Galaxy Ent European Warrants Mar 2014 B	80,000,000	2013/09/09	2014/03/10	20.00
27037	Daiwa Capital Mkt - Tencent Holdings European Wts Apr 2014 A	80,000,000	2013/09/09	2014/04/03	13.60
27059	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Mar 2014 A	80,000,000	2013/09/09	2014/03/31	31.92
27058	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Mar 2014 B	80,000,000	2013/09/09	2014/03/31	27.04
27013	HK Bank - Foxconn European Warrants Sep 2015 A	120,000,000	2013/09/09	2015/09/24	18.00
27065	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2014 B	150,000,000	2013/09/09	2014/04/02	37.50
27064	J P Morgan SP BV - Ping An European Warrants Apr 2014 A	200,000,000	2013/09/09	2014/04/02	50.00
27062	J P Morgan SP BV - Sands China European Warrants Apr 2014 B	150,000,000	2013/09/09	2014/04/02	37.50
27061	J P Morgan SP BV - Sands China European Put Wts Apr 2014 A	150,000,000	2013/09/09	2014/04/02	56.40
27060	Macquarie Bank Ltd. - Zijin Mining European Wts May 2014 A	40,000,000	2013/09/09	2014/05/05	10.00
27014	Standard Chartered Bank - Sinopec Corp Euro Wts Apr 2014 A	80,000,000	2013/09/09	2014/04/30	20.00
27038	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Apr14A	100,000,000	2013/09/09	2014/04/02	25.00
27039	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Apr14A	100,000,000	2013/09/09	2014/04/02	25.00
27017	UBS AG - China Mobile European Put Warrants Feb 2014 A	100,000,000	2013/09/09	2014/02/20	15.00
27057	UBS AG - Esprit European Warrants Jul 2014 A	100,000,000	2013/09/09	2014/07/28	17.00
27016	UBS AG - HSBC European Put Warrants Jan 2014 C	100,000,000	2013/09/09	2014/01/02	15.00
27018	UBS AG - HSCEI European Put Warrants Mar 2014 C	100,000,000	2013/09/09	2014/03/28	25.00
27054	UBS AG - HSI European Warrants Mar 2014 C	300,000,000	2013/09/09	2014/03/28	75.00
27055	UBS AG - Sands China European Warrants Mar 2014 A	60,000,000	2013/09/09	2014/03/10	15.00
27056	UBS AG - Tencent Holdings European Warrants Mar 2014 B	100,000,000	2013/09/09	2014/03/17	27.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27090	Credit Suisse AG - CC Bank European Warrants Jan 2014 B	80,000,000	2013/09/10	2014/01/20	12.80
27092	Credit Suisse AG - China Mobile European Warrants Jan 2014 C	80,000,000	2013/09/10	2014/01/20	18.40
27093	Credit Suisse AG - HSBC European Wts Jan 2014 A	80,000,000	2013/09/10	2014/01/27	12.00
27091	Credit Suisse AG - ICBC European Warrants Feb 2014 B	80,000,000	2013/09/10	2014/02/24	12.00
27095	Credit Suisse AG - Lenovo European Warrants Feb 2014 A	80,000,000	2013/09/10	2014/02/25	30.40
27096	Credit Suisse AG - Tencent Holdings European Wts Mar 2014 A	100,000,000	2013/09/10	2014/03/10	25.00
27074	Daiwa Capital Mkt - CAM CSI300 European Warrants May 2014 A	100,000,000	2013/09/10	2014/05/22	25.00
27076	Daiwa Capital Mkt - CCB European Warrants Mar 2014 A	128,000,000	2013/09/10	2014/03/05	20.48
27072	Daiwa Capital Mkt - A50 China European Warrants Dec 2013 A	128,000,000	2013/09/10	2013/12/31	26.88
27073	Daiwa Capital Mkt - A50 China European Warrants Feb 2014 A	208,000,000	2013/09/10	2014/02/17	31.20
27075	Daiwa Capital Mkt - ICBC European Warrants Mar 2014 A	128,000,000	2013/09/10	2014/03/03	19.20
27066	Goldman Sachs SP (Asia) - China Life Euro Wts Apr 2014 A	100,000,000	2013/09/10	2014/04/25	15.10
27101	Goldman Sachs SP (Asia) - KECL European Warrants Jun 2014 A	100,000,000	2013/09/10	2014/06/25	15.00
27102	Goldman Sachs SP (Asia) - Sh Pharma European Wts Jun 2014 A	68,000,000	2013/09/10	2014/06/30	17.07
27069	HK Bank - Agricultural Bank European Warrants Mar 2014 A	80,000,000	2013/09/10	2014/03/03	15.20
27067	HK Bank - HSI European Put Warrants Feb 2014 C	180,000,000	2013/09/10	2014/02/27	28.80
27068	HK Bank - Sands China European Warrants Mar 2014 A	68,000,000	2013/09/10	2014/03/10	17.00
27070	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Apr 2014 B	40,000,000	2013/09/10	2014/04/02	10.00
27083	Standard Chartered Bank - Anhui Conch Eur Put Wt Jul 2014 A	100,000,000	2013/09/10	2014/07/02	25.00
27085	Standard Chartered Bank - CNOOC European Put Wts Oct 2014 A	100,000,000	2013/09/10	2014/10/31	15.00
27088	Standard Chartered Bank - Sinopec Corp Eu Put Wt May 2014 A	50,000,000	2013/09/10	2014/05/30	14.25
27084	Standard Chartered Bank - Galaxy Ent European Wts Mar 2014 B	100,000,000	2013/09/10	2014/03/25	25.00
27081	Standard Chartered Bank - Galaxy Ent Euro Put Wts Feb 2014 A	100,000,000	2013/09/10	2014/02/24	16.00
27082	Standard Chartered Bank - Sands China Eu Put Wts Jan 2014 B	100,000,000	2013/09/10	2014/01/30	16.50
27071	SGA Societe Generale Acceptance N.V. - CLife Eu Wt May 2014 A	120,000,000	2013/09/10	2014/05/05	18.00
27080	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Apr 14 A	70,000,000	2013/09/10	2014/04/02	17.50
27098	UBS AG - BOCL European Warrants Feb 2014 A	100,000,000	2013/09/10	2014/02/25	16.00
27097	UBS AG - CC Bank European Warrants Mar 2014 A	100,000,000	2013/09/10	2014/03/10	25.00
27099	UBS AG - China Mobile European Warrants Feb 2014 B	100,000,000	2013/09/10	2014/02/05	20.00
27078	UBS AG - HSI European Put Warrants Feb 2014 F	300,000,000	2013/09/10	2014/02/27	57.00
27100	UBS AG - Kingsoft European Warrants Mar 2014 A	100,000,000	2013/09/10	2014/03/10	25.00
27079	UBS AG - Lenovo European Warrants Mar 2015 A	100,000,000	2013/09/10	2015/03/23	15.00
27077	UBS AG - Tencent Holdings European Put Warrants Feb 2014 G	100,000,000	2013/09/10	2014/02/11	28.50
25188#	BOCI Asia Ltd. - HSI European Put Warrants Oct 2013 B	245,000,000	2013/09/10	2013/10/30	10.05
27121	BOCI Asia Ltd. - Galaxy Ent European Warrants Mar 2014 A	100,000,000	2013/09/11	2014/03/31	25.00
27108	BNP Paribas Arbit Issu B.V. - Ch Comm Cons Eur Wt Sep 2014 A	37,000,000	2013/09/11	2014/09/02	19.61
27103	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Apr 2014 A	100,000,000	2013/09/11	2014/04/02	32.00
27104	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jul 2014 A	100,000,000	2013/09/11	2014/07/03	34.00
27107	BNP Paribas Arbit Issu B.V. - SJM Holdings Eu Wts Oct 2014 A	100,000,000	2013/09/11	2014/10/06	26.00
27106	BNP Paribas Arbit Issu B.V. - Wharf European Wts Oct 2014 A	79,000,000	2013/09/11	2014/10/06	37.92
27130	Credit Suisse AG - CITIC Pacific European Wts Dec 2013 A	70,000,000	2013/09/11	2013/12/24	10.50
27133	Credit Suisse - Foxconn European Warrants Mar 2014 A	60,000,000	2013/09/11	2014/03/10	28.80
27131	Credit Suisse AG - Kingsoft European Warrants Mar 2014 B	60,000,000	2013/09/11	2014/03/10	15.00
27129	Credit Suisse AG - Sinopharm European Warrants Feb 2014 A	70,000,000	2013/09/11	2014/02/25	10.50

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		發行單位	上市日期	到期日	
27140	Citigroup Global Mkt H Inc. - China Shenhua Eu Put Wt Mar14A	80,000,000	2013/09/11	2014/03/11	20.00
27142	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Mar 14 C	60,000,000	2013/09/11	2014/03/11	17.40
27128	Daiwa Capital Mkt - Agricultural Bank European Wts Mar 2014 B	108,000,000	2013/09/11	2014/03/05	17.28
27123	Daiwa Capital Mkt - China Mobile European Wts Feb 2014 A	128,000,000	2013/09/11	2014/02/04	28.16
27125	Daiwa Capital Mkt - China Mobile European Wts Apr 2014 A	128,000,000	2013/09/11	2014/04/01	20.48
27126	Daiwa Capital Mkt - China Mobile European Put Wts Mar 2014 A	80,000,000	2013/09/11	2014/03/03	20.00
27127	Daiwa Capital Mkt - Ch Overseas European Warrants Jun 2014 A	80,000,000	2013/09/11	2014/06/30	20.00
27148	Goldman Sachs SP (Asia) - AAC Acoustic Euro Wts Jun 2014 A	68,000,000	2013/09/11	2014/06/03	20.40
27145	Goldman Sachs SP (Asia) - AIA European Warrants Apr 2014 B	80,000,000	2013/09/11	2014/04/28	20.08
27144	Goldman Sachs SP (Asia) - Link REIT European Wts May 2014 B	80,000,000	2013/09/11	2014/05/30	12.08
27143	Goldman Sachs SP (Asia) - Sino Land European Wts Jul 2014 A	48,000,000	2013/09/11	2014/07/30	19.34
27150	Goldman Sachs SP (Asia) - ZTE European Warrants Jul 2014 A	68,000,000	2013/09/11	2014/07/30	17.00
27112	HK Bank - China Life European Warrants Apr 2014 A	100,000,000	2013/09/11	2014/04/29	15.00
27111	HK Bank - Tencent European Warrants Mar 2014 A	100,000,000	2013/09/11	2014/03/26	18.00
27110	J P Morgan SP BV - Chi Res Power European Wts Apr 2014 A	120,000,000	2013/09/11	2014/04/01	30.00
27109	J P Morgan SP BV - Wynn Macau European Warrants Apr 2014 A	80,000,000	2013/09/11	2014/04/01	20.00
27137	Macquarie Bank Ltd. - Foxconn European Warrants Apr 2014 A	50,000,000	2013/09/11	2014/04/02	21.30
27135	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Jul 2014 A	40,000,000	2013/09/11	2014/07/03	10.00
27134	Macquarie Bank Ltd. - PetroChina European Wts May 2014 A	80,000,000	2013/09/11	2014/05/05	20.00
27122	Macquarie Bank Ltd. - Tencent European Put Wts Jul 2014 A	150,000,000	2013/09/11	2014/07/03	37.50
27118	Standard Chartered Bank - MTRC European Warrants Jun 2014 A	100,000,000	2013/09/11	2014/06/06	25.00
27120	Standard Chartered Bank - Sands China Euro Wts Apr 2014 B	100,000,000	2013/09/11	2014/04/08	25.00
27116	Standard Chartered Bank - Sands China Euro Wts Jun 2014 A	100,000,000	2013/09/11	2014/06/06	25.00
27115	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Mar 2014 A	100,000,000	2013/09/11	2014/03/05	15.00
27114	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2014 A	300,000,000	2013/09/11	2014/03/28	51.00
27138	UBS AG - Galaxy Ent European Warrants Mar 2014 B	100,000,000	2013/09/11	2014/03/12	25.00
27139	UBS AG - Galaxy Ent European Put Warrants Mar 2014 C	40,000,000	2013/09/11	2014/03/10	10.00
27153	BOCI Asia Ltd. - China Life European Warrants Apr 2014 A	100,000,000	2013/09/12	2014/04/17	15.00
27152	BOCI Asia Ltd. - Tencent Holdings European Put Wts Feb 2014 C	100,000,000	2013/09/12	2014/02/10	20.00
27185	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Apr 2014 A	100,000,000	2013/09/12	2014/04/02	28.00
27183	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Apr 2014 C	100,000,000	2013/09/12	2014/04/02	25.00
27184	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Apr 2014 D	100,000,000	2013/09/12	2014/04/02	25.00
10226	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Jun 2014 A	100,000,000	2013/09/12	2014/06/20	25.00
10227	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Jun 2014 B	100,000,000	2013/09/12	2014/06/20	25.00
27189	Credit Suisse AG - HSBC European Put Warrants Dec 2013 A	60,000,000	2013/09/12	2013/12/31	13.80
27186	Credit Suisse AG - HSI European Warrants Feb 2014 E	200,000,000	2013/09/12	2014/02/27	36.00
27187	Credit Suisse AG - HSI European Warrants Apr 2014 A	200,000,000	2013/09/12	2014/04/29	30.00
27188	Credit Suisse AG - HSI European Put Warrants Dec 2013 L	200,000,000	2013/09/12	2013/12/30	30.00
27190	Citigroup Global Mkt H Inc. - Sands China Eu Put Wt Mar 14 B	60,000,000	2013/09/12	2014/03/12	21.60
27192	Citigroup Global Mkt H Inc. - SJM Holdings Eu Put Wt Mar14 A	80,000,000	2013/09/12	2014/03/12	20.00
27191	Citigroup Global Mkt H Inc. - Wynn Macau Eu Put Wt Mar 14 A	50,000,000	2013/09/12	2014/03/12	12.50
27163	Bank of East Asia - Agricultural Bank Euro Wts Jul 2014 A	40,000,000	2013/09/12	2014/07/25	10.00
27164	Bank of East Asia - Agricultural Bk Euro Put Wts May 2014 A	40,000,000	2013/09/12	2014/05/30	10.00
27165	Bank of East Asia - China Life European Put Wts May 2014 A	60,000,000	2013/09/12	2014/05/30	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
27162	Bank of East Asia - HKEx European Put Warrants Sep 2015 A	100,000,000	2013/09/12	2015/09/29	25.00
27168	Bank of East Asia - HSBC European Warrants Apr 2014 A	40,000,000	2013/09/12	2014/04/30	10.00
27159	Bank of East Asia - Ping An European Warrants Mar 2014 A	40,000,000	2013/09/12	2014/03/28	10.00
27157	Bank of East Asia - Ping An European Put Warrants Apr 2014 A	40,000,000	2013/09/12	2014/04/30	10.00
27174	J P Morgan SP BV - Kingsoft European Warrants Apr 2014 A	80,000,000	2013/09/12	2014/04/11	20.00
27173	J P Morgan SP BV - Ping An European Put Warrants Apr 2014 B	100,000,000	2013/09/12	2014/04/02	25.00
27172	J P Morgan SP BV - SHK Ppt European Warrants Jun 2014 A	60,000,000	2013/09/12	2014/06/03	15.00
27171	J P Morgan SP BV - Tencent European Warrants Apr 2014 B	200,000,000	2013/09/12	2014/04/02	50.00
27169	J P Morgan SP BV - Wharf European Warrants May 2014 A	60,000,000	2013/09/12	2014/05/02	17.22
27176	Standard Chartered Bank - CLP European Warrants Aug 2014 A	50,000,000	2013/09/12	2014/08/01	12.50
27177	Standard Chartered Bank - China Mobile Euro Wts Mar 2014 A	100,000,000	2013/09/12	2014/03/31	15.00
27178	Standard Chartered Bank - China Shenhua Eu Put Wt Mar 2014 B	100,000,000	2013/09/12	2014/03/21	25.00
27181	Standard Chartered Bank - Evergrande European Wts Mar 2014 A	50,000,000	2013/09/12	2014/03/17	12.50
27154	Standard Chartered Bank - Nine Dragons Euro Wts Sep 2015 A	50,000,000	2013/09/12	2015/09/08	12.50
27193	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Mar14A	100,000,000	2013/09/12	2014/03/05	18.00
27195	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jun 2014 A	100,000,000	2013/09/12	2014/06/04	25.00
27194	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Apr 2014 A	40,000,000	2013/09/12	2014/04/02	14.00
27196	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2016 A	200,000,000	2013/09/12	2016/01/04	37.00
27200	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2014 B	300,000,000	2013/09/12	2014/03/28	93.00
27201	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2014 C	300,000,000	2013/09/12	2014/03/28	78.00
27203	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Apr 2014 A	300,000,000	2013/09/12	2014/04/29	46.50
27204	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Feb 14 A	300,000,000	2013/09/12	2014/02/27	55.50
27206	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Mar 14 D	300,000,000	2013/09/12	2014/03/28	76.50
27207	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Mar 14 E	300,000,000	2013/09/12	2014/03/28	82.50
27208	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Mar 14 F	300,000,000	2013/09/12	2014/03/28	99.00
27197	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Mar 2014 A	100,000,000	2013/09/12	2014/03/05	25.50
27198	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Aug2014 A	100,000,000	2013/09/12	2014/08/04	15.50
27199	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt Sep14A	70,000,000	2013/09/12	2014/09/03	10.50
27151	UBS AG - HSI European Warrants Apr 2014 A	300,000,000	2013/09/12	2014/04/29	45.00
27175	UBS AG - Link REIT European Warrants Mar 2014 A	100,000,000	2013/09/12	2014/03/25	15.00
27222	BOCI Asia Ltd. - Lenovo European Warrants Mar 2014 A	100,000,000	2013/09/13	2014/03/03	28.00
27219	BOCI Asia Ltd. - Yanzhou Coal European Warrants Aug 2014 A	100,000,000	2013/09/13	2014/08/26	15.00
27234	Citigroup Global Mkt H Inc. - Agricultural Bk Eu Wt Mar 14 A	100,000,000	2013/09/13	2014/03/12	25.00
27231	Citigroup Global Mkt H Inc. - China Coal Euro Wts Mar 2014 B	50,000,000	2013/09/13	2014/03/13	23.00
27230	Citigroup Global Mkt H Inc. - China Coal Eur Put Wts Mar14 A	50,000,000	2013/09/13	2014/03/13	19.50
27235	Citigroup Global Mkt H Inc. - Ch Mobile Eu Put Wt Mar 2014 A	60,000,000	2013/09/13	2014/03/12	16.20
27236	Goldman Sachs SP (Asia) - CC Bank European Wts Mar 2014 A	80,000,000	2013/09/13	2014/03/28	22.72
27238	Goldman Sachs SP (Asia) - CC Bank European Wts Mar 2014 B	80,000,000	2013/09/13	2014/03/28	20.08
27239	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Mar 2014 C	68,000,000	2013/09/13	2014/03/28	22.71
27218	Macquarie Bank Ltd. - Ch Oilfield European Wts May 2014 A	100,000,000	2013/09/13	2014/05/05	25.00
27233	Macquarie Bank Ltd. - Link REIT European Warrants Jul 2014 A	100,000,000	2013/09/13	2014/07/03	25.00
27232	Macquarie Bank Ltd. - Sands China European Wts Apr 2014 A	40,000,000	2013/09/13	2014/04/02	10.00
27214	Standard Chartered Bank - China COSCO European Wt Mar 2014 A	50,000,000	2013/09/13	2014/03/21	12.50
27209	Standard Chartered Bank - China COSCO European Wt Aug 2014 A	50,000,000	2013/09/13	2014/08/08	12.50

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27229	Standard Chartered Bank - Ch Ship Cont Euro Wts May 2014 A	50,000,000	2013/09/13	2014/05/30	12.50
27227	Standard Chartered Bank - Ch Ship Cont Euro Wts Sep 2014 A	50,000,000	2013/09/13	2014/09/08	12.50
27213	Standard Chartered Bank - Foxconn European Wts May 2014 A	50,000,000	2013/09/13	2014/05/08	23.00
27210	Standard Chartered Bank - Foxconn European Wts Aug 2014 A	50,000,000	2013/09/13	2014/08/08	20.00
27225	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Apr 14 A	150,000,000	2013/09/13	2014/04/02	22.50
10230	UBS AG - Nikkei 225 European Warrants Mar 2014 C	100,000,000	2013/09/13	2014/03/14	25.00
10231	UBS AG - Nikkei 225 European Warrants Mar 2014 D	100,000,000	2013/09/13	2014/03/14	25.00
10232	UBS AG - Nikkei 225 European Warrants Mar 2014 E	100,000,000	2013/09/13	2014/03/14	25.00
10233	UBS AG - Nikkei 225 European Warrants Mar 2014 F	100,000,000	2013/09/13	2014/03/14	25.00
10228	UBS AG - Nikkei 225 European Put Warrants Mar 2014 A	100,000,000	2013/09/13	2014/03/14	25.00
10229	UBS AG - Nikkei 225 European Put Warrants Mar 2014 B	100,000,000	2013/09/13	2014/03/14	25.00
27243	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Mar 2014 B	100,000,000	2013/09/16	2014/03/31	25.00
27241	BOCI Asia Ltd. - HSI European Warrants Apr 2014 A	100,000,000	2013/09/16	2014/04/29	15.00
27272	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Apr 2014 A	100,000,000	2013/09/16	2014/04/02	17.00
27273	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Mar 2014 A	100,000,000	2013/09/16	2014/03/04	18.00
27275	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Apr 2014 A	100,000,000	2013/09/16	2014/04/02	27.00
27271	BNP Paribas Arbit Issu B.V. - HSI European Wts Apr 2014 B	100,000,000	2013/09/16	2014/04/29	15.00
27274	BNP Paribas Arbit Issu B.V. - Link REIT Euro Wts Oct 2014 A	100,000,000	2013/09/16	2014/10/06	26.00
27280	Credit Suisse AG - A50 China European Put Wts Feb 2014 A	80,000,000	2013/09/16	2014/02/28	19.20
27283	Credit Suisse AG - Galaxy Ent European Warrants Mar 2014 B	80,000,000	2013/09/16	2014/03/17	20.80
27279	Credit Suisse AG - HSI European Warrants Feb 2014 F	200,000,000	2013/09/16	2014/02/27	30.00
27282	Credit Suisse AG - Tencent Holdings European Wts Mar 2014 B	80,000,000	2013/09/16	2014/03/17	20.00
27281	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2014 E	80,000,000	2013/09/16	2014/02/06	20.80
27263	Citigroup Global Mkt H Inc. - HSBC European Wts Mar 2014 A	80,000,000	2013/09/16	2014/03/17	20.00
27264	Citigroup Global Mkt H Inc. - Ping An Euro Put Wt Mar 2014 A	80,000,000	2013/09/16	2014/03/17	22.80
27270	Citigroup Global Mkt H Inc. - SPDR Gold T Euro Wt Mar 2014 B	60,000,000	2013/09/16	2014/03/17	33.00
27266	Citigroup Global Mkt H Inc. - SPDR Gold T Eu Put Wts Mar14 A	60,000,000	2013/09/16	2014/03/17	30.00
27253	Daiwa Capital Mkt - CCB European Warrants Apr 2014 A	128,000,000	2013/09/16	2014/04/15	32.00
27258	Daiwa Capital Mkt - HSBC European Warrants Apr 2014 A	128,000,000	2013/09/16	2014/04/15	32.00
27256	Daiwa Capital Mkt - Hutchison European Put Wts Mar 2014 A	80,000,000	2013/09/16	2014/03/14	17.60
27254	Daiwa Capital Mkt - ICBC European Put Warrants Mar 2014 B	80,000,000	2013/09/16	2014/03/18	20.00
27259	Daiwa Capital Mkt - Ping An European Warrants Jun 2014 A	98,000,000	2013/09/16	2014/06/25	14.70
27240	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2014 C	150,000,000	2013/09/16	2014/04/29	22.65
27260	J P Morgan SP BV - China Life European Warrants Sep 2014 A	300,000,000	2013/09/16	2014/09/01	75.00
27262	J P Morgan SP BV - China Mob European Wts Apr 2014 B	200,000,000	2013/09/16	2014/04/01	50.00
27261	J P Morgan SP BV - China Mob European Put Wts Apr 2014 A	200,000,000	2013/09/16	2014/04/01	50.00
27289	J P Morgan SP BV - HSI European Warrants Apr 2014 D	300,000,000	2013/09/16	2014/04/29	75.90
27290	J P Morgan SP BV - HSI European Warrants Apr 2014 E	300,000,000	2013/09/16	2014/04/29	75.00
27286	J P Morgan SP BV - HSI European Put Warrants Apr 2014 B	300,000,000	2013/09/16	2014/04/29	75.00
27287	J P Morgan SP BV - HSI European Put Warrants Apr 2014 C	300,000,000	2013/09/16	2014/04/29	75.00
27277	Macquarie Bank Ltd. - A50 China European Warrants Feb 2014 A	50,000,000	2013/09/16	2014/02/05	10.10
27276	Macquarie Bank Ltd. - Sh Electric European Wts Jul 2014 A	40,000,000	2013/09/16	2014/07/03	10.00
27247	Standard Chartered Bank - BYD European Warrants Feb 2014 A	50,000,000	2013/09/16	2014/02/05	10.00
27252	Standard Chartered Bank - Greatwall Motor Euro Wt Mar 2014 A	50,000,000	2013/09/16	2014/03/05	16.75

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		發行單位	上市日期	到期日	
27244	Standard Chartered Bank - HSI European Warrants Jan 2014 A	100,000,000	2013/09/16	2014/01/29	15.00
27245	Standard Chartered Bank - HSI European Put Wts Mar 2014 A	100,000,000	2013/09/16	2014/03/28	25.00
27249	Standard Chartered Bank - ICBC European Warrants Feb 2014 A	100,000,000	2013/09/16	2014/02/24	24.00
27246	Standard Chartered Bank - Xinyi Glass Euro Wts Apr 2014 A	50,000,000	2013/09/16	2014/04/30	17.50
27284	UBS AG - HSCEI European Put Warrants Mar 2014 D	100,000,000	2013/09/16	2014/03/28	17.00
27285	UBS AG - HSI European Put Warrants Mar 2014 D	300,000,000	2013/09/16	2014/03/28	75.00
27306	BOCI Asia Ltd. - China Mobile European Put Wts Mar 2014 A	100,000,000	2013/09/17	2014/03/24	25.00
27307	BOCI Asia Ltd. - A50 China European Warrants Aug 2014 B	100,000,000	2013/09/17	2014/08/04	15.00
27343	Credit Suisse AG - AIA European Put Warrants Mar 2014 A	70,000,000	2013/09/17	2014/03/21	10.50
27342	Credit Suisse AG - China Mobile European Put Wts Feb 2014 A	70,000,000	2013/09/17	2014/02/18	11.20
27345	Credit Suisse AG - Esprit European Warrants May 2014 A	70,000,000	2013/09/17	2014/05/13	10.50
27348	Credit Suisse AG - Evergrande European Warrants Mar 2014 A	70,000,000	2013/09/17	2014/03/17	17.50
27347	Credit Suisse AG - KECL European Warrants Mar 2014 A	70,000,000	2013/09/17	2014/03/17	19.60
27341	Credit Suisse AG - Ping An European Put Warrants Mar 2014 A	70,000,000	2013/09/17	2014/03/17	10.50
27346	Credit Suisse AG - Yanzhou Coal European Warrants Dec 2013 A	70,000,000	2013/09/17	2013/12/20	14.00
27352	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jan 2014 C	100,000,000	2013/09/17	2014/01/20	20.00
27351	Citigroup Global Mkt H Inc. - A50 China Euro Wts Mar 2014 B	50,000,000	2013/09/17	2014/03/17	21.50
27308	Citigroup Global Mkt H Inc. - Tencent European Wts Mar 2014 B	100,000,000	2013/09/17	2014/03/03	20.00
27315	Daiwa Capital Mkt - A50 China European Warrants Mar 2014 A	128,000,000	2013/09/17	2014/03/24	19.20
27316	Daiwa Capital Mkt - HSI European Warrants Feb 2014 B	200,000,000	2013/09/17	2014/02/27	40.00
27320	Daiwa Capital Mkt - HSI European Warrants Mar 2014 A	200,000,000	2013/09/17	2014/03/28	50.00
27319	Daiwa Capital Mkt - HSI European Put Warrants Feb 2014 C	200,000,000	2013/09/17	2014/02/27	30.00
27321	Daiwa Capital Mkt - HSI European Put Warrants Feb 2014 D	200,000,000	2013/09/17	2014/02/27	36.00
27340	Bank of East Asia - Minsheng Bank Euro Put Wts Dec 2014 A	80,000,000	2013/09/17	2014/12/22	14.40
27338	Bank of East Asia - Yanzhou Coal European Wts Aug 2014 A	80,000,000	2013/09/17	2014/08/26	13.60
27299	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2013 A	68,000,000	2013/09/17	2013/12/24	10.34
27355	Goldman Sachs SP (Asia) - HSI European Warrants Oct 2014 A	150,000,000	2013/09/17	2014/10/30	38.10
27298	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2014 A	68,000,000	2013/09/17	2014/03/31	17.14
27297	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jun 2014 A	50,000,000	2013/09/17	2014/06/30	15.40
27294	HK Bank - CAM CSI300 European Warrants Aug 2014 A	80,000,000	2013/09/17	2014/08/29	20.00
27291	HK Bank - CSOP A50 ETF European Warrants Aug 2014 A	180,000,000	2013/09/17	2014/08/04	27.00
27293	HK Bank - A50 China European Warrants Mar 2014 A	60,000,000	2013/09/17	2014/03/31	15.00
27296	HK Bank - HSI European Warrants Feb 2014 D	200,000,000	2013/09/17	2014/02/27	30.00
27292	HK Bank - ICBC European Warrants Jul 2014 A	80,000,000	2013/09/17	2014/07/08	20.00
27336	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Apr 2014 B	300,000,000	2013/09/17	2014/04/29	75.30
27337	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Apr 2014 C	300,000,000	2013/09/17	2014/04/29	75.00
27334	J P Morgan SP BV - HSCEI European Put Warrants Apr 2014 A	300,000,000	2013/09/17	2014/04/29	76.20
27300	Standard Chartered Bank - Sinopec Corp Euro Wts Apr 2014 B	80,000,000	2013/09/17	2014/04/30	20.00
27304	Standard Chartered Bank - CRCC European Warrants Dec 2014 A	100,000,000	2013/09/17	2014/12/23	15.00
27302	Standard Chartered Bank - Zijin Mining Euro Wts Aug 2014 A	80,000,000	2013/09/17	2014/08/26	12.00
27325	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt May 14 A	40,000,000	2013/09/17	2014/05/05	10.00
27327	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Oct15 A	120,000,000	2013/09/17	2015/10/05	19.80
27324	SGA Societe Generale Acceptance N.V - Ch Overseas Eu Wt Apr14A	100,000,000	2013/09/17	2014/04/02	15.00
27328	SGA Societe Generale Acceptance N.V - Evergrande Eu Wt Apr14 A	50,000,000	2013/09/17	2014/04/02	15.50

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		發行單位	上市日期	到期日	
27322	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Apr 2015A	100,000,000	2013/09/17	2015/04/08	23.00
27326	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Mar14A	25,000,000	2013/09/17	2014/03/05	16.50
27349	UBS AG - China Mobile European Warrants Mar 2014 A	100,000,000	2013/09/17	2014/03/17	25.00
27330	UBS AG - CSOP A50 ETF European Warrants Mar 2014 A	100,000,000	2013/09/17	2014/03/17	25.00
27333	UBS AG - A50 China European Warrants Mar 2014 A	100,000,000	2013/09/17	2014/03/17	25.00
27314	UBS AG - HSCEI European Put Warrants Mar 2014 E	100,000,000	2013/09/17	2014/03/28	25.00
27329	UBS AG - HSI European Warrants Mar 2014 E	300,000,000	2013/09/17	2014/03/28	75.00
27309	UBS AG - Link REIT European Warrants Mar 2014 B	100,000,000	2013/09/17	2014/03/17	25.00
27424	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Apr 2014 A	100,000,000	2013/09/18	2014/04/02	25.00
27429	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Jun 2014 A	100,000,000	2013/09/18	2014/06/04	25.00
27428	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Jul 2014 A	60,000,000	2013/09/18	2014/07/03	15.00
27426	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Apr 2014 A	100,000,000	2013/09/18	2014/04/02	25.00
27423	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Apr 2014 A	100,000,000	2013/09/18	2014/04/02	25.00
27425	BNP Paribas Arbit Issu B.V. - Nine Dragons Eur Wt Apr 2016 A	100,000,000	2013/09/18	2016/04/05	25.00
27403	Credit Suisse AG - Agricultural Bank European Wts Jan 2014 B	70,000,000	2013/09/18	2014/01/27	10.50
27406	Credit Suisse AG - CC Bank European Put Warrants Jan 2014 C	80,000,000	2013/09/18	2014/01/27	12.00
27400	Credit Suisse AG - Minsheng Bank European Wts Jan 2014 A	70,000,000	2013/09/18	2014/01/27	17.50
27401	Credit Suisse AG - Minsheng Bank Euro Put Wts Dec 2014 A	70,000,000	2013/09/18	2014/12/22	11.20
27408	Credit Suisse AG - CSOP A50 ETF European Warrants Dec 2013 B	80,000,000	2013/09/18	2013/12/24	14.40
27407	Credit Suisse AG - Galaxy Ent European Put Wts Mar 2014 C	70,000,000	2013/09/18	2014/03/24	10.50
27409	Credit Suisse AG - HSI European Put Warrants Feb 2014 G	200,000,000	2013/09/18	2014/02/27	30.00
27402	Credit Suisse AG - Ping An European Warrants Mar 2014 B	80,000,000	2013/09/18	2014/03/17	20.80
27422	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Mar 2014 C	80,000,000	2013/09/18	2014/03/17	20.00
27421	Citigroup Global Mkt H Inc. - SJM Holdings Eu Put Wts Mar14B	80,000,000	2013/09/18	2014/03/17	20.00
27390	Daiwa Capital Mkt - HSCEI European Warrants Jun 2014 A	80,000,000	2013/09/18	2014/06/27	20.00
27392	Daiwa Capital Mkt - HSCEI European Put Warrants Jun 2014 B	80,000,000	2013/09/18	2014/06/27	20.00
27389	Daiwa Capital Mkt - Ping An European Put Warrants Mar 2014 A	80,000,000	2013/09/18	2014/03/17	22.40
27387	Daiwa Capital Mkt - Tencent Holdings European Wts Apr 2014 B	108,000,000	2013/09/18	2014/04/15	27.00
27388	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Feb 2014 C	80,000,000	2013/09/18	2014/02/05	20.00
27430	Goldman Sachs SP (Asia) - Kingsoft European Wts May 2014 A	48,000,000	2013/09/18	2014/05/30	12.05
27432	Goldman Sachs SP (Asia) - Tencent European Wt Apr 2014 A	80,000,000	2013/09/18	2014/04/29	20.00
27357	HK Bank - CITIC Sec European Warrants Jul 2014 A	100,000,000	2013/09/18	2014/07/31	25.00
27358	HK Bank - Minsheng Bank European Warrants Mar 2014 A	60,000,000	2013/09/18	2014/03/17	40.80
27363	HK Bank - Minsheng Bank European Warrants Sep 2015 B	180,000,000	2013/09/18	2015/09/29	27.00
27360	HK Bank - China Mobile European Warrants Mar 2014 A	100,000,000	2013/09/18	2014/03/24	15.00
27362	HK Bank - HSCEI European Put Warrants Mar 2014 B	180,000,000	2013/09/18	2014/03/28	27.00
27356	HK Bank - Haitong Sec European Warrants Apr 2014 A	100,000,000	2013/09/18	2014/04/29	15.00
27359	HK Bank - Tencent European Warrants Apr 2014 A	100,000,000	2013/09/18	2014/04/30	25.00
27378	J P Morgan SP BV - China Life European Put Wts Aug 2014 A	200,000,000	2013/09/18	2014/08/01	50.00
27376	J P Morgan SP BV - Ch Overseas European Put Wts Jul 2014 B	200,000,000	2013/09/18	2014/07/02	50.00
27374	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2014 D	150,000,000	2013/09/18	2014/04/01	37.65
27372	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2014 C	100,000,000	2013/09/18	2014/04/01	41.60
27379	J P Morgan SP BV - HSI European Warrants Apr 2014 F	300,000,000	2013/09/18	2014/04/29	75.00
27370	J P Morgan SP BV - Hutchison European Warrants Apr 2014 A	80,000,000	2013/09/18	2014/04/01	20.08

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
27369	J P Morgan SP BV - ICBC European Put Wts Mar 2014 C	100,000,000	2013/09/18	2014/03/17	25.00
27382	Macquarie Bank Ltd. - Ch Merchants European Wts May 2014 A	80,000,000	2013/09/18	2014/05/05	20.00
27380	Macquarie Bank Ltd. - China Tel European Warrants May 2014 A	70,000,000	2013/09/18	2014/05/05	17.50
27381	Macquarie Bank Ltd. - Evergrande European Wts May 2014 A	70,000,000	2013/09/18	2014/05/05	17.50
27419	Macquarie Bank Ltd. - KECL European Warrants Jul 2014 A	70,000,000	2013/09/18	2014/07/03	10.50
27383	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Mar 2014 B	100,000,000	2013/09/18	2014/03/28	26.00
27384	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Mar 2014 C	100,000,000	2013/09/18	2014/03/28	26.50
27386	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Apr 2014 A	100,000,000	2013/09/18	2014/04/29	15.90
27385	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Mar 2014 D	100,000,000	2013/09/18	2014/03/28	25.50
27397	Standard Chartered Bank - China Mobile Eur Put Wt Feb 2014 B	100,000,000	2013/09/18	2014/02/18	19.00
27398	Standard Chartered Bank - Link REIT European Wts Mar 2014 A	80,000,000	2013/09/18	2014/03/28	20.00
27394	Standard Chartered Bank - Tencent Hldg Euro Wts Mar 2014 A	100,000,000	2013/09/18	2014/03/03	28.00
27396	Standard Chartered Bank - Tencent Hldg Euro Wts Mar 2014 B	100,000,000	2013/09/18	2014/03/17	25.00
27367	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Jan15A	150,000,000	2013/09/18	2015/01/05	30.75
27414	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Apr 14 A	50,000,000	2013/09/18	2014/04/02	15.00
27416	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Dec14A	100,000,000	2013/09/18	2014/12/03	25.00
27365	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt May14A	50,000,000	2013/09/18	2014/05/05	12.50
27364	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt May2014 A	80,000,000	2013/09/18	2014/05/05	21.20
27418	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt May14B	100,000,000	2013/09/18	2014/05/05	15.00
27413	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Mar2014 A	60,000,000	2013/09/18	2014/03/05	12.90
27415	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Feb 14 B	70,000,000	2013/09/18	2014/02/05	10.50
27368	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Apr14B	150,000,000	2013/09/18	2014/04/02	44.25
27411	UBS AG - ZTE European Warrants Jun 2014 B	100,000,000	2013/09/18	2014/06/24	19.00
22007#	HK Bank - A50 China European Warrants Sep 2014 A	280,000,000	2013/09/18	2014/09/15	23.80
27437	BOCI Asia Ltd. - CC Bank European Put Warrants Mar 2014 A	100,000,000	2013/09/19	2014/03/24	25.00
27439	BOCI Asia Ltd. - China Mobile European Warrants Jan 2014 C	100,000,000	2013/09/19	2014/01/30	16.00
27438	BOCI Asia Ltd. - Ch Overseas European Warrants Mar 2014 A	100,000,000	2013/09/19	2014/03/03	15.00
27436	BOCI Asia Ltd. - Ping An European Warrants Mar 2014 C	100,000,000	2013/09/19	2014/03/26	25.00
27473	Credit Suisse AG - CNBM European Warrants Feb 2014 A	60,000,000	2013/09/19	2014/02/12	22.80
27474	Credit Suisse AG - CNBM European Put Warrants Mar 2014 A	60,000,000	2013/09/19	2014/03/18	22.80
27477	Credit Suisse AG - A50 China European Warrants Dec 2013 B	80,000,000	2013/09/19	2013/12/23	12.80
27476	Credit Suisse AG - Kingsoft European Warrants Mar 2014 C	60,000,000	2013/09/19	2014/03/18	15.00
27475	Credit Suisse AG - Tencent Holdings European Wts Mar 2014 C	100,000,000	2013/09/19	2014/03/18	25.00
27490	Citigroup Global Mkt H Inc. - China Life Euro Wts Apr 2014 A	120,000,000	2013/09/19	2014/04/17	24.60
27492	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Mar 2014 D	60,000,000	2013/09/19	2014/03/05	15.60
27489	Citigroup Global Mkt H Inc. - HSBC Euro Put Wts Mar 2014 B	50,000,000	2013/09/19	2014/03/18	13.50
27485	Daiwa Capital Mkt - Sinopec Corp European Wts Mar 2014 A	80,000,000	2013/09/19	2014/03/18	20.00
27486	Daiwa Capital Mkt - Sinopec Corp European Put Wts May 2014 A	68,000,000	2013/09/19	2014/05/02	19.72
27484	Daiwa Capital Mkt - Galaxy Ent European Warrants Mar 2014 C	80,000,000	2013/09/19	2014/03/18	20.00
27483	Daiwa Capital Mkt - Hutchison European Warrants Apr 2014 A	128,000,000	2013/09/19	2014/04/02	32.00
27487	Daiwa Capital Mkt - Sands China European Warrants Mar 2014 A	80,000,000	2013/09/19	2014/03/03	12.80
27493	Goldman Sachs SP (Asia) - Tencent European Put Wt Apr 2014 B	80,000,000	2013/09/19	2014/04/29	30.48
27434	HK Bank - HSI European Put Warrants Feb 2014 E	200,000,000	2013/09/19	2014/02/27	30.00
27433	HK Bank - HSI European Put Warrants Mar 2014 C	150,000,000	2013/09/19	2014/03/28	37.50

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27435	HK Bank - PICC Group European Warrants Apr 2014 A	80,000,000	2013/09/19	2014/04/30	20.00
27453	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Apr 2014 A	200,000,000	2013/09/19	2014/04/01	50.00
27449	J P Morgan SP BV - Tencent European Warrants Apr 2014 C	200,000,000	2013/09/19	2014/04/01	50.00
27450	J P Morgan SP BV - Tencent European Put Warrants Apr 2014 D	200,000,000	2013/09/19	2014/04/01	65.20
27459	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Apr 2014 B	40,000,000	2013/09/19	2014/04/02	10.08
27457	Macquarie Bank Ltd. - A50 China European Warrants Apr 2014 B	40,000,000	2013/09/19	2014/04/02	10.00
27465	Macquarie Bank Ltd. - Galaxy Ent European Wts Apr 2014 C	40,000,000	2013/09/19	2014/04/02	10.00
27440	Macquarie Bank Ltd. - Kingsoft European Warrants Apr 2014 A	40,000,000	2013/09/19	2014/04/02	10.00
27441	Macquarie Bank Ltd. - Tencent European Warrants Apr 2014 A	40,000,000	2013/09/19	2014/04/02	10.00
27447	Standard Chartered Bank - Ping An European Wts Jan 2014 B	100,000,000	2013/09/19	2014/01/06	21.00
27445	Standard Chartered Bank - Tencent Hldg Eu Put Wts Mar 2014 C	100,000,000	2013/09/19	2014/03/18	30.00
27472	SGA Societe Generale Acceptance N.V. - CPIC Eur Wt Aug 2014 A	100,000,000	2013/09/19	2014/08/04	25.00
27443	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Mar 2014 A	60,000,000	2013/09/19	2014/03/03	15.30
27442	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Oct 2014 A	60,000,000	2013/09/19	2014/10/06	15.00
27444	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Oct14 A	150,000,000	2013/09/19	2014/10/06	26.25
27469	SGA Societe Generale Acceptance N.V. - Dongfeng Eu Wt Dec14A	150,000,000	2013/09/19	2014/12/03	24.75
27467	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Apr14A	50,000,000	2013/09/19	2014/04/02	10.00
27471	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Apr 14 C	150,000,000	2013/09/19	2014/04/02	36.00
27481	UBS AG - SJM Holdings European Warrants Apr 2014 A	80,000,000	2013/09/19	2014/04/14	20.00
27478	UBS AG - Tencent Holdings European Put Warrants Feb 2014 H	100,000,000	2013/09/19	2014/02/21	15.00
27480	UBS AG - Tencent Holdings European Put Warrants Mar 2014 D	100,000,000	2013/09/19	2014/03/18	32.00
20485 [#]	Daiwa Capital Mkt - HKEx European Warrants Jun 2014 A	300,000,000	2013/09/19	2014/06/20	24.60
27505	BOCI Asia Ltd. - Agricultural Bk European Wts May 2014 A	100,000,000	2013/09/23	2014/05/12	25.00
27500	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Jul 2014 A	67,000,000	2013/09/23	2014/07/03	28.14
27503	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Apr 2014 B	100,000,000	2013/09/23	2014/04/02	27.00
27499	BNP Paribas Arbit Issu B.V. - Haier Elec Euro Wts Nov 2014 A	73,000,000	2013/09/23	2014/11/04	18.98
27498	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Apr 2014 C	100,000,000	2013/09/23	2014/04/29	27.00
27502	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jun 2014 A	68,000,000	2013/09/23	2014/06/04	17.68
27504	Bank of East Asia - CITIC Sec European Warrants Aug 2014 A	40,000,000	2013/09/23	2014/08/26	10.00
27519	Bank of East Asia - Kingsoft European Warrants Apr 2014 A	40,000,000	2013/09/23	2014/04/01	10.00
27496	HK Bank - A50 China European Warrants Dec 2015 A	180,000,000	2013/09/23	2015/12/31	45.00
27494	HK Bank - HSI European Warrants Feb 2014 F	180,000,000	2013/09/23	2014/02/27	36.00
27506	Macquarie Bank Ltd. - CAM CSI300 European Wts Oct 2014 A	40,000,000	2013/09/23	2014/10/06	10.00
27508	Macquarie Bank Ltd. - CMS European Warrants Apr 2014 A	40,000,000	2013/09/23	2014/04/02	10.00
27515	Standard Chartered Bank - Anhui Conch Euro Wts Aug 2014 A	100,000,000	2013/09/23	2014/08/08	25.00
27516	Standard Chartered Bank - Agricultural Bk Eur Wts Jun 2014 A	100,000,000	2013/09/23	2014/06/10	25.00
27513	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Apr14A	100,000,000	2013/09/23	2014/04/09	16.00
27512	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Mar14A	100,000,000	2013/09/23	2014/03/05	22.00
27510	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Mar14A	60,000,000	2013/09/23	2014/03/05	11.10
27509	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Apr14 A	100,000,000	2013/09/23	2014/04/02	16.00
27514	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Apr14 B	100,000,000	2013/09/23	2014/04/02	26.50
10234	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Mar 14 A	150,000,000	2013/09/23	2014/03/14	30.75
10235	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Mar 14 B	150,000,000	2013/09/23	2014/03/14	28.50
10236	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Mar 2014 C	150,000,000	2013/09/23	2014/03/14	23.25

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27547	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2015 B	100,000,000	2013/09/24	2015/01/05	25.00
27543	BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2014 A	100,000,000	2013/09/24	2014/10/03	25.00
27531	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Apr 2014 E	100,000,000	2013/09/24	2014/04/02	25.00
27548	Citigroup Global Mkt H Inc. - Minsheng Bank Euro Wts Jan15 A	200,000,000	2013/09/24	2015/01/19	30.00
27541	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Mar 2014 B	100,000,000	2013/09/24	2014/03/24	25.00
27536	Citigroup Global Mkt H Inc. - Galaxy Ent Eu Put Wt Mar 14 E	60,000,000	2013/09/24	2014/03/24	22.20
27540	Citigroup Global Mkt H Inc. - Tencent European Wts Mar 2014 C	100,000,000	2013/09/24	2014/03/24	25.00
27527	J P Morgan SP BV - CITIC Bank European Warrants Apr 2014 A	100,000,000	2013/09/24	2014/04/02	25.00
27549	J P Morgan SP BV - China Tel European Warrants Apr 2014 A	100,000,000	2013/09/24	2014/04/01	25.00
27525	J P Morgan SP BV - Yurun Food European Warrants Mar 2014 A	40,000,000	2013/09/24	2014/03/24	10.00
27524	J P Morgan SP BV - Yanzhou Coal European Warrants Apr 2014 A	100,000,000	2013/09/24	2014/04/02	48.20
27520	J P Morgan SP BV - Zhaojin Mining European Wts Mar 2014 A	30,000,000	2013/09/24	2014/03/28	17.34
27528	Standard Chartered Bank - Tencent Hldg Euro Wts Apr 2014 B	100,000,000	2013/09/24	2014/04/02	25.00
27529	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Mar14A	80,000,000	2013/09/24	2014/03/05	22.00
27532	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt May 14 B	150,000,000	2013/09/24	2014/05/07	37.50
27530	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Apr14D	150,000,000	2013/09/24	2014/04/02	57.00
27534	UBS AG - CITIC Sec European Warrants May 2014 A	80,000,000	2013/09/24	2014/05/08	20.00
27533	UBS AG - China Life European Put Warrants Apr 2014 B	100,000,000	2013/09/24	2014/04/08	15.00
27535	UBS AG - Haitong Sec European Warrants Apr 2014 A	80,000,000	2013/09/24	2014/04/25	12.00
27542	UBS AG - Tencent Holdings European Warrants Apr 2014 A	100,000,000	2013/09/24	2014/04/02	25.00
27554	BOCI Asia Ltd. - CITIC Sec European Warrants Apr 2014 A	100,000,000	2013/09/25	2014/04/29	21.00
27557	BOCI Asia Ltd. - Sands China European Warrants Mar 2014 A	100,000,000	2013/09/25	2014/03/31	25.00
27556	BOCI Asia Ltd. - Tencent Holdings European Wts Apr 2014 A	100,000,000	2013/09/25	2014/04/07	25.00
27597	Credit Suisse AG - A50 China European Warrants Mar 2014 A	80,000,000	2013/09/25	2014/03/31	28.00
27594	Credit Suisse AG - Tencent Holdings European Wts Mar 2014 D	100,000,000	2013/09/25	2014/03/24	25.00
27592	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2014 F	100,000,000	2013/09/25	2014/02/07	22.00
27596	Credit Suisse AG - Xinyi Glass European Warrants Mar 2014 A	60,000,000	2013/09/25	2014/03/24	19.20
27575	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Mar 2014 F	60,000,000	2013/09/25	2014/03/03	22.50
27576	Citigroup Global Mkt H Inc. - Tencent European Wts Jul 2014 A	80,000,000	2013/09/25	2014/07/07	20.00
27558	Goldman Sachs SP (Asia) - HSI European Warrants Feb 2014 D	150,000,000	2013/09/25	2014/02/27	22.95
27559	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2014 E	150,000,000	2013/09/25	2014/02/27	22.80
27562	HK Bank - China Mobile European Warrants Mar 2014 B	80,000,000	2013/09/25	2014/03/31	20.00
27561	HK Bank - Galaxy Ent European Warrants Mar 2014 A	60,000,000	2013/09/25	2014/03/31	15.00
27560	HK Bank - Tencent European Put Warrants Apr 2014 B	100,000,000	2013/09/25	2014/04/30	32.00
27571	J P Morgan SP BV - CSOP A50 ETF European Warrants Apr 2014 A	150,000,000	2013/09/25	2014/04/02	37.50
27570	J P Morgan SP BV - A50 China European Warrants Apr 2014 A	200,000,000	2013/09/25	2014/04/02	50.00
27602	J P Morgan SP BV - HSBC European Put Warrants Apr 2014 A	200,000,000	2013/09/25	2014/04/02	95.80
27591	Macquarie Bank Ltd. - Ping An European Warrants Feb 2014 B	67,000,000	2013/09/25	2014/02/05	10.05
27552	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Jan 2014 C	60,000,000	2013/09/25	2014/01/23	10.50
27553	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Mar 2014 A	70,000,000	2013/09/25	2014/03/24	11.06
27551	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Feb 2014 A	70,000,000	2013/09/25	2014/02/04	10.78
27550	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Mar 2014 A	40,000,000	2013/09/25	2014/03/26	10.60
27566	Standard Chartered Bank - Galaxy Ent European Wts May 2014 C	100,000,000	2013/09/25	2014/05/09	25.00
27564	Standard Chartered Bank - Galaxy Ent Euro Put Wts Mar 2014 C	100,000,000	2013/09/25	2014/03/26	16.00

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27563	Standard Chartered Bank - HSCEI European Warrants Dec 2014 A	100,000,000	2013/09/25	2014/12/30	15.00
27589	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Mar 2014 A	100,000,000	2013/09/25	2014/03/05	22.00
27569	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Mar 2014 A	100,000,000	2013/09/25	2014/03/03	26.50
27588	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Apr2014 A	50,000,000	2013/09/25	2014/04/02	15.50
27582	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Jun15 A	150,000,000	2013/09/25	2015/06/03	24.00
27567	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Aug2014 A	300,000,000	2013/09/25	2014/08/04	46.50
27601	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Jul 14 A	60,000,000	2013/09/25	2014/07/02	11.70
27600	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Apr14A	60,000,000	2013/09/25	2014/04/14	15.30
27599	SGA Societe Generale Acceptance N.V. - Link REIT Eu Wt Apr14B	70,000,000	2013/09/25	2014/04/02	10.50
27598	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt Jul 2014 A	80,000,000	2013/09/25	2014/07/02	14.40
27573	UBS AG - A50 China European Put Warrants Dec 2014 A	100,000,000	2013/09/25	2014/12/01	16.00
27637	Goldman Sachs SP (Asia) - Brilliance Chi Euro Wts Dec 2014 A	80,000,000	2013/09/26	2014/12/30	20.00
27635	Goldman Sachs SP (Asia) - A50 China European Wts Feb 2014 B	80,000,000	2013/09/26	2014/02/05	12.00
27636	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Mar 2014 D	80,000,000	2013/09/26	2014/03/31	20.08
27619	J P Morgan SP BV - CAM CSI300 European Warrants Dec 2014 A	200,000,000	2013/09/26	2014/12/31	37.40
27638	J P Morgan SP BV - BOCL European Warrants May 2014 A	200,000,000	2013/09/26	2014/05/30	50.00
27639	J P Morgan SP BV - Minsheng Bank European Wts Jan 2015 A	200,000,000	2013/09/26	2015/01/19	30.00
27622	J P Morgan SP BV - Sinopec Corp European Warrants Apr 2014 A	200,000,000	2013/09/26	2014/04/01	50.00
27603	J P Morgan SP BV - A50 China European Put Wts Dec 2014 A	200,000,000	2013/09/26	2014/12/01	30.00
27604	J P Morgan SP BV - HSBC European Warrants Apr 2014 B	200,000,000	2013/09/26	2014/04/01	50.40
27611	Macquarie Bank Ltd. - Bank of EA European Wts Jun 2014 A	100,000,000	2013/09/26	2014/06/04	25.00
27609	Standard Chartered Bank - CC Bank European Wts Apr 2014 A	100,000,000	2013/09/26	2014/04/15	22.00
27607	Standard Chartered Bank - HSI European Put Wts Feb 2014 A	100,000,000	2013/09/26	2014/02/27	15.00
27605	Standard Chartered Bank - Tracker Fund Euro Wts Dec 2014 A	100,000,000	2013/09/26	2014/12/29	25.00
27608	Standard Chartered Bank - YZ Coal European Wts Apr 2014 A	60,000,000	2013/09/26	2014/04/11	30.00
27625	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Apr 2014 A	100,000,000	2013/09/26	2014/04/02	18.00
27626	SGA Societe Generale Acceptance N.V. - AIA Eu Put Wt Mar14 B	100,000,000	2013/09/26	2014/03/05	16.50
27615	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Sep 2014 A	100,000,000	2013/09/26	2014/09/03	19.50
27627	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Mar14 A	40,000,000	2013/09/26	2014/03/05	32.40
27614	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Apr14 A	60,000,000	2013/09/26	2014/04/02	31.80
27624	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Apr14B	100,000,000	2013/09/26	2014/04/02	15.00
27613	SGA Societe Generale Acceptance N.V. - HWL Eur Wt May 2014 A	50,000,000	2013/09/26	2014/05/05	12.50
27623	SGA Societe Generale Acceptance N.V. - PICC P&C Eu Wt Jul14A	150,000,000	2013/09/26	2014/07/02	22.50
27617	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt May14A	80,000,000	2013/09/26	2014/05/05	20.00
27629	UBS AG - Galaxy Ent European Warrants Mar 2014 D	80,000,000	2013/09/26	2014/03/25	32.00
27632	UBS AG - HSI European Put Warrants Mar 2014 F	300,000,000	2013/09/26	2014/03/28	75.00
27633	UBS AG - Kingsoft European Warrants Feb 2014 A	100,000,000	2013/09/26	2014/02/17	31.00
27641	BOCI Asia Ltd. - China Life European Put Warrants Apr 2014 B	100,000,000	2013/09/27	2014/04/08	15.00
27659	Credit Suisse AG - Cheung Kong European Warrants Feb 2014 A	70,000,000	2013/09/27	2014/02/10	23.80
27658	Credit Suisse - Cheung Kong European Put Warrants Mar 2014 A	70,000,000	2013/09/27	2014/03/26	17.50
27649	Credit Suisse AG - HSI European Put Warrants Feb 2014 H	200,000,000	2013/09/27	2014/02/27	30.00
27650	Credit Suisse AG - HSI European Put Warrants Mar 2014 C	200,000,000	2013/09/27	2014/03/28	38.80
27660	Credit Suisse AG - SHK Ppt European Put Warrants Feb 2014 B	70,000,000	2013/09/27	2014/02/07	30.80
27661	Credit Suisse - Yanzhou Coal European Put Wts Mar 2014 A	50,000,000	2013/09/27	2014/03/26	12.50

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		發行單位	上市日期	到期日	
27679	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Mar 2014 A	50,000,000	2013/09/27	2014/03/26	20.25
27680	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Dec 2015 A	300,000,000	2013/09/27	2015/12/03	46.50
27681	Citigroup Global Mkt H Inc. - HKEx European Wts Mar 2014 A	50,000,000	2013/09/27	2014/03/26	20.75
27682	Citigroup Global Mkt H Inc. - Link Reit Euro Wts Mar 2014 A	60,000,000	2013/09/27	2014/03/26	15.00
27678	Citigroup Global Mkt H Inc. - Ping An Euro Wts Mar 2014 B	80,000,000	2013/09/27	2014/03/26	20.00
27643	HK Bank - Foxconn European Warrants Apr 2014 A	50,000,000	2013/09/27	2014/04/30	24.00
27644	HK Bank - Tencent European Warrants Mar 2014 B	100,000,000	2013/09/27	2014/03/10	19.00
27691	J P Morgan SP BV - Cheung Kong European Warrants May 2014 A	100,000,000	2013/09/27	2014/05/02	30.00
27690	J P Morgan SP BV - Ping An European Warrants Apr 2014 C	200,000,000	2013/09/27	2014/04/02	50.00
27689	J P Morgan SP BV - SPDR Gold Trust European Wts Jun 2014 A	100,000,000	2013/09/27	2014/06/03	26.10
27648	Macquarie Bank Ltd. - China Res Gas European Wts Oct 2014 A	40,000,000	2013/09/27	2014/10/06	10.00
27647	Macquarie Bank Ltd. - Tencent European Put Wts Jul 2014 B	150,000,000	2013/09/27	2014/07/03	42.15
27662	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Apr 2014 B	100,000,000	2013/09/27	2014/04/30	15.50
27663	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Apr 2014 A	100,000,000	2013/09/27	2014/04/02	20.50
27666	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Apr 2014 A	50,000,000	2013/09/27	2014/04/02	16.25
27665	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Feb 14 A	60,000,000	2013/09/27	2014/02/05	10.50
27645	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2014 G	200,000,000	2013/09/27	2014/03/28	50.00
27664	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2014 H	200,000,000	2013/09/27	2014/03/28	50.00
27667	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2014 A	100,000,000	2013/09/27	2014/08/04	18.50
27668	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Apr14B	100,000,000	2013/09/27	2014/04/02	25.00
27670	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt May2014 A	50,000,000	2013/09/27	2014/05/07	12.50
27669	SGA Societe Generale Acceptance N.V. - Sino Land Eu Wt May14A	30,000,000	2013/09/27	2014/05/07	12.45
27671	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt May14A	70,000,000	2013/09/27	2014/05/07	12.95
27677	UBS AG - China Mobile European Put Warrants Mar 2014 B	100,000,000	2013/09/27	2014/03/05	19.50
27674	UBS AG - HSBC European Put Warrants Jan 2014 D	100,000,000	2013/09/27	2014/01/09	15.00
27683	UBS AG - HSCEI European Warrants Mar 2014 F	100,000,000	2013/09/27	2014/03/28	25.00
27672	UBS AG - Ping An European Put Warrants Mar 2014 A	100,000,000	2013/09/27	2014/03/10	23.00
27701	Credit Suisse AG - Sands China European Put Wts Mar 2014 B	60,000,000	2013/09/30	2014/03/05	16.80
27709	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Apr 2014 A	50,000,000	2013/09/30	2014/04/28	11.50
27706	Citigroup Global Mkt H Inc. - Link Reit Euro Wts Mar 2014 B	50,000,000	2013/09/30	2014/03/31	17.00
27708	Citigroup Global Mkt H Inc. - Link Reit Euro Wts Mar 2014 C	60,000,000	2013/09/30	2014/03/25	10.50
27702	Citigroup Global Mkt H Inc. - Link Reit Euro Wts May 2014 A	70,000,000	2013/09/30	2014/05/27	10.50
27692	Daiwa Capital Mkt - CCB European Put Warrants Mar 2014 B	80,000,000	2013/09/30	2014/03/18	14.40
27710	J P Morgan SP BV - AIA European Warrants May 2014 A	200,000,000	2013/09/30	2014/05/02	50.00
27711	J P Morgan SP BV - AIA European Warrants May 2014 B	200,000,000	2013/09/30	2014/05/02	30.00
27694	Standard Chartered Bank - Cheung Kong Euro Wts Apr 2014 A	60,000,000	2013/09/30	2014/04/30	15.00
27696	Standard Chartered Bank - HSI European Warrants Feb 2014 B	100,000,000	2013/09/30	2014/02/27	18.00
27693	Standard Chartered Bank - SHK P European Put Wts Apr 2014 A	60,000,000	2013/09/30	2014/04/11	15.00
10237	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Wt Mar14 A	50,000,000	2013/09/30	2014/03/31	12.50
10238	SGA Societe Generale Acceptance N.V. - USD/YEN Eu Put Wt Mar14B	50,000,000	2013/09/30	2014/03/31	12.50
27699	UBS AG - BOCL European Warrants Mar 2014 A	100,000,000	2013/09/30	2014/03/31	25.00
27700	UBS AG - ICBC European Warrants Feb 2014 C	100,000,000	2013/09/30	2014/02/24	17.00
總 額					40,026.39

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2012	第3季	—	742.85
	第4季	—	567.60
2013	第1季	—	585.65
	第2季	—	1,818.61
	第3季	—	1,171.98

債券面值

		發行數目	面值(百萬港元)
2012	第3季	247	811,545.76
	第4季	269	883,784.51
2013	第1季	299	960,682.29
	第2季	355	1,185,676.77
	第3季	367	1,212,064.53

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2012	第3季	26	77,396.31
	第4季	29	80,894.77
2013	第1季	37	113,959.19
	第2季	69	237,646.45
	第3季	16	34,683.76

主板 債務證券

新上市債券

2013年第3季

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05962	Societe Generale可贖回零息票據2043年7月	USD 20,000,000	2013/07/10	2043/07/10	156.00
04216 [#]	中華人民共和國香港特別行政區0.61%債券2018年	HKD 2,000,000,000	2013/07/19	2018/02/05	1,927.20
85928	Swire Pacific MTN Financing Ltd. 3.90%有擔保人民幣票據2023年	RMB 300,000,000	2013/07/23	2023/07/22	379.05
05963	Poly Real Estate Finance Ltd. 4.50%有擔保債券2018年	USD 500,000,000	2013/08/06	2018/08/06	3,863.38
85929	遠東宏信有限公司4.50%人民幣票據2016年	RMB 500,000,000	2013/08/08	2016/03/22	—
85929 [#]	遠東宏信有限公司4.50%人民幣票據2016年	RMB 300,000,000	2013/08/08	2016/03/22	375.39
04215 [#]	中華人民共和國香港特別行政區1.10%債券2023年	HKD 1,500,000,000	2013/08/09	2023/01/17	1,294.80
04041	香港金融管理局0.32%外匯基金票據2015年	HKD 1,200,000,000	2013/08/20	2015/08/19	1,198.92
05964	Meiyya Power Co. Ltd. 4.00%債券2018年	USD 350,000,000	2013/08/20	2018/08/19	2,721.43
85930	Swire Pacific MTN Financing Ltd. 4.00%有擔保人民幣票據2023年8月	RMB 150,000,000	2013/08/22	2023/08/21	189.87
04042	香港金融管理局2.49%外匯基金票據2028年	HKD 600,000,000	2013/08/23	2028/08/22	584.28
04206 [#]	中華人民共和國香港特別行政區政府1.43%債券2015年	HKD 3,500,000,000	2013/09/06	2015/12/02	3,553.55
85931	Swire Pacific MTN Financing Ltd. 4.00%有擔保人民幣票據2023年9月	RMB 100,000,000	2013/09/10	2023/09/09	126.80
05965	AVIC Int'l Finance & Investment Ltd. 4.75%有擔保債券2018年	USD 300,000,000	2013/09/13	2018/09/12	2,333.73
05966	AVIC Int'l Finance & Investment Ltd. 6.00%有擔保債券2023年	USD 200,000,000	2013/09/13	2023/09/12	1,560.00
05967	中國正通汽車服務控股有限公司4.50%信用增強債券2018年	USD 335,000,000	2013/09/17	2018/06/16	2,606.41
05920 [#]	旭輝控股(集團)有限公司12.25%優先票據2018年	USD 125,000,000	2013/09/19	2018/04/15	1,825.20
05968	Century Master Investment Co. Ltd. 4.75%有擔保債券2018年	USD 600,000,000	2013/09/23	2018/09/19	4,653.09
05969	綠城中國控股有限公司8.00%優先票據2019年	USD 300,000,000	2013/09/25	2019/03/24	2,340.00
04043	香港金融管理局1.52%外匯基金票據2018年	HKD 1,000,000,000	2013/09/26	2018/09/25	1,014.30
04019 [#]	香港金融管理局0.79%外匯基金票據2016年	HKD 1,200,000,000	2013/09/26	2016/09/19	1,207.20
05970	五洲國際控股有限公司13.75%優先票據2018年	USD 100,000,000	2013/09/27	2018/09/26	773.15
總額					34,683.76

[#] 進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬元)	房地產投資 信託基金 (REITs) (百萬元)	其他 (百萬元)	合計 (百萬元)	佔市場 百分比
2012	第3季	99,979.83	14,391.15	105.35	114,476.33	3.86
	第4季	213,637.42	22,489.26	51.26	236,177.94	6.93
2013	第1季	309,073.10	22,090.25	84.66	331,248.01	7.57
	第2季	232,045.35	27,829.00	41.10	259,915.45	6.98
	第3季	187,460.35	19,308.78	32.94	206,802.07	5.98

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬元)	房地產投資 信託基金 (REIT) (百萬元)	其他 (百萬元)	合計 (百萬元)
		發行數目	發行數目	發行數目	發行數目
2012	第3季	96	9	2	107
	第4季	100	9	2	111
2013	第1季	100	9	2	111
	第2季	110	9	2	121
	第3季	113	10	2	125

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬元)
2012	第3季	4	—
	第4季	4	—
2013	第1季	—	—
	第2季	10	—
	第3季	4	675.50

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2013年第3季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83008/ 03008	添富共享滬深300指數ETF	匯添富資產管理(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2013/07/08
01275	開元產業投資信託基金	開元資產管理有限公司	3.500	193,000,000	675.50	0.584	2013/07/10
83180/ 03180	華夏中華交易服務中國A80指數ETF	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2013/08/26
83137/ 03137	南方東英中華A80 ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2013/09/23

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2012	第3季	1,104	49,099.81	367,897.88
	第4季	1,214	53,414.12	315,589.20
2013	第1季	1,276	45,190.12	355,912.23
	第2季	1,222	52,676.48	326,008.67
	第3季	1,596	68,940.37	330,779.62

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2012	第3季	1,656	94,844.71
	第4季	1,451	80,018.42
2013	第1季	1,922	100,336.26
	第2季	2,415	132,125.62
	第3季	2,455	137,493.48

主板 可收回牛熊證

新上市牛熊證

2013 年第 3 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61977	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 H	200,000,000	2013/07/02	2013/12/30	50.00
61947	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 M	300,000,000	2013/07/02	2014/02/27	75.00
61990	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/07/02	2014/02/27	75.00
61991	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/07/02	2014/02/27	75.00
61992	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/07/02	2014/02/27	75.00
61993	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/07/02	2014/02/27	75.00
61986	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 Q	300,000,000	2013/07/02	2014/04/29	75.00
61987	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/07/02	2014/04/29	75.00
61989	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 X	300,000,000	2013/07/02	2014/04/29	75.00
61978	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/07/02	2014/01/29	75.00
61979	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/07/02	2014/01/29	75.00
61981	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/07/02	2014/01/29	75.00
61982	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/07/02	2014/01/29	75.00
61983	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/07/02	2014/01/29	75.00
61984	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/07/02	2014/01/29	75.00
61985	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/07/02	2014/01/29	75.00
62059	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/07/02	2014/01/29	75.00
62078	Credit Suisse AG - HSCEI R Bull CBBC Oct 2013 D	150,000,000	2013/07/02	2013/10/30	37.50
62079	Credit Suisse AG - HSCEI R Bull CBBC Oct 2013 E	150,000,000	2013/07/02	2013/10/30	37.50
62080	Credit Suisse AG - HSCEI R Bear CBBC Oct 2013 C	150,000,000	2013/07/02	2013/10/30	37.50
62081	Credit Suisse AG - HSCEI R Bear CBBC Oct 2013 D	150,000,000	2013/07/02	2013/10/30	37.50
61948	Credit Suisse AG - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/07/02	2014/06/27	75.00
61949	Credit Suisse AG - HSI R Bull CBBC Jun 2014 G	300,000,000	2013/07/02	2014/06/27	75.00
61950	Credit Suisse AG - HSI R Bull CBBC Jun 2014 H	300,000,000	2013/07/02	2014/06/27	75.00
61951	Credit Suisse AG - HSI R Bull CBBC Jun 2014 I	300,000,000	2013/07/02	2014/06/27	75.00
61952	Credit Suisse AG - HSI R Bull CBBC Jun 2014 J	300,000,000	2013/07/02	2014/06/27	75.00
61997	Credit Suisse AG - HSI R Bull CBBC Jun 2014 K	300,000,000	2013/07/02	2014/06/27	135.00
61998	Credit Suisse AG - HSI R Bull CBBC Jun 2014 L	300,000,000	2013/07/02	2014/06/27	144.00
62013	Credit Suisse AG - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/07/02	2014/06/27	75.00
62014	Credit Suisse AG - HSI R Bull CBBC Jun 2014 N	300,000,000	2013/07/02	2014/06/27	75.00
62015	Credit Suisse AG - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/07/02	2014/06/27	93.00
62016	Credit Suisse AG - HSI R Bull CBBC Jun 2014 P	300,000,000	2013/07/02	2014/06/27	108.00
62018	Credit Suisse AG - HSI R Bull CBBC Jun 2014 Q	300,000,000	2013/07/02	2014/06/27	114.00
62019	Credit Suisse AG - HSI R Bull CBBC Jun 2014 R	300,000,000	2013/07/02	2014/06/27	117.00
62020	Credit Suisse AG - HSI R Bull CBBC Jun 2014 S	300,000,000	2013/07/02	2014/06/27	123.00
62024	Credit Suisse AG - HSI R Bull CBBC Jun 2014 T	300,000,000	2013/07/02	2014/06/27	126.00
62025	Credit Suisse AG - HSI R Bull CBBC Jun 2014 U	300,000,000	2013/07/02	2014/06/27	129.00
62077	Credit Suisse AG - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/07/02	2013/11/28	75.00
61999	Credit Suisse AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/07/02	2013/12/30	75.00
62000	Credit Suisse AG - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/07/02	2013/12/30	75.00
62002	Credit Suisse AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/07/02	2013/12/30	75.00
62003	Credit Suisse AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/07/02	2013/12/30	75.00
62074	Credit Suisse AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/07/02	2013/12/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61975	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 S	120,000,000	2013/07/02	2013/10/30	30.00
62062	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 K	120,000,000	2013/07/02	2014/03/28	30.00
62063	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 L	120,000,000	2013/07/02	2014/03/28	30.00
62064	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 M	120,000,000	2013/07/02	2014/03/28	30.00
62086	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 A	150,000,000	2013/07/02	2013/11/28	38.85
62095	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 B	150,000,000	2013/07/02	2013/11/28	38.10
62097	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 C	150,000,000	2013/07/02	2013/11/28	39.00
62098	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 D	150,000,000	2013/07/02	2013/11/28	38.70
62099	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 N	300,000,000	2013/07/02	2014/01/29	75.00
62111	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 O	300,000,000	2013/07/02	2014/01/29	75.00
62112	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 P	300,000,000	2013/07/02	2014/01/29	75.00
62119	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 Q	300,000,000	2013/07/02	2014/01/29	75.00
62110	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/07/02	2014/02/27	75.00
62118	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/07/02	2014/02/27	75.00
62115	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/07/02	2014/03/28	75.00
62102	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/07/02	2014/01/29	75.00
62105	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/07/02	2014/01/29	75.00
62101	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/07/02	2014/02/27	75.00
62104	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/07/02	2014/02/27	75.00
62100	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 A	300,000,000	2013/07/02	2014/03/28	75.00
62103	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 B	300,000,000	2013/07/02	2014/03/28	75.00
62108	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/07/02	2014/03/28	75.00
61944	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 I	100,000,000	2013/07/02	2013/11/28	25.00
61945	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 J	100,000,000	2013/07/02	2013/11/28	25.00
61946	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 P	100,000,000	2013/07/02	2013/10/30	25.00
61967	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 A	200,000,000	2013/07/02	2013/10/30	50.00
61970	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 B	200,000,000	2013/07/02	2013/10/30	50.00
62061	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 C	200,000,000	2013/07/02	2013/10/30	50.00
61955	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 V	200,000,000	2013/07/02	2013/10/30	50.00
61956	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 W	200,000,000	2013/07/02	2013/10/30	50.00
61957	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 X	200,000,000	2013/07/02	2013/10/30	50.00
61958	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 Y	200,000,000	2013/07/02	2013/10/30	50.00
61966	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 Z	200,000,000	2013/07/02	2013/10/30	50.00
61972	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 Q	200,000,000	2013/07/02	2013/10/30	50.00
61974	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 R	200,000,000	2013/07/02	2013/10/30	50.00
62060	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 S	200,000,000	2013/07/02	2013/10/30	50.00
62044	UBS AG - CAM CSI300 R Bull CBBC Dec 2013 B	40,000,000	2013/07/02	2013/12/09	18.20
62054	UBS AG - CNOOC R Bull CBBC Jan 2014 A	100,000,000	2013/07/02	2014/01/20	25.00
62057	UBS AG - Sinopec Corp R Bull CBBC Feb 2014 A	100,000,000	2013/07/02	2014/02/10	25.00
62050	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2014 A	100,000,000	2013/07/02	2014/04/14	25.00
62051	UBS AG - CSOP A50 ETF R Bull CBBC May 2014 A	100,000,000	2013/07/02	2014/05/12	25.00
62049	UBS AG - CSOP A50 ETF R Bear CBBC Jan 2014 A	100,000,000	2013/07/02	2014/01/27	25.00
62047	UBS AG - A50 China R Bull CBBC Apr 2014 B	100,000,000	2013/07/02	2014/04/28	25.00
62048	UBS AG - A50 China R Bull CBBC Apr 2014 C	100,000,000	2013/07/02	2014/04/28	25.00
62045	UBS AG - A50 China R Bear CBBC Jan 2014 A	100,000,000	2013/07/02	2014/01/20	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62055	UBS AG - HKEX R Bull CBBC Jan 2014 A	100,000,000	2013/07/02	2014/01/20	25.00
62043	UBS AG - HSCEI R Bull CBBC Jun 2014 C	100,000,000	2013/07/02	2014/06/27	25.00
62041	UBS AG - HSCEI R Bear CBBC Nov 2013 B	100,000,000	2013/07/02	2013/11/28	25.00
61961	UBS AG - HSI R Bull CBBC Mar 2014 H	300,000,000	2013/07/02	2014/03/28	75.00
61962	UBS AG - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/07/02	2014/03/28	75.00
61963	UBS AG - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/07/02	2014/03/28	75.00
62040	UBS AG - HSI R Bull CBBC Apr 2014 L	300,000,000	2013/07/02	2014/04/29	75.00
62032	UBS AG - HSI R Bull CBBC May 2014 G	300,000,000	2013/07/02	2014/05/29	84.00
62036	UBS AG - HSI R Bull CBBC May 2014 H	300,000,000	2013/07/02	2014/05/29	75.00
62030	UBS AG - HSI R Bull CBBC Jun 2014 E	300,000,000	2013/07/02	2014/06/27	90.00
62033	UBS AG - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/07/02	2014/06/27	75.00
62039	UBS AG - HSI R Bull CBBC Jun 2014 G	300,000,000	2013/07/02	2014/06/27	75.00
62026	UBS AG - HSI R Bull CBBC Oct 2014 A	300,000,000	2013/07/02	2014/10/30	126.00
62072	UBS AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/07/02	2013/11/28	75.00
62073	UBS AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/07/02	2013/11/28	75.00
62066	UBS AG - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/07/02	2013/12/30	75.00
62069	UBS AG - HSI R Bear CBBC Dec 2013 T	300,000,000	2013/07/02	2013/12/30	75.00
62071	UBS AG - HSI R Bear CBBC Dec 2013 U	300,000,000	2013/07/02	2013/12/30	75.00
62065	UBS AG - HSI R Bear CBBC Feb 2014 C	300,000,000	2013/07/02	2014/02/27	75.00
62053	UBS AG - Ping An R Bull CBBC Jul 2014 A	100,000,000	2013/07/02	2014/07/14	25.00
62142	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/07/03	2014/02/27	75.00
62145	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/07/03	2014/02/27	75.00
62183	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 T	300,000,000	2013/07/03	2014/02/27	75.00
62164	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/07/03	2014/01/29	75.00
62165	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/07/03	2014/01/29	75.00
62233	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/07/03	2014/02/27	75.00
62184	Credit Suisse AG - A50 China R Bull CBBC Jan 2014 C	50,000,000	2013/07/03	2014/01/24	12.50
62185	Credit Suisse AG - A50 China R Bull CBBC Jan 2014 D	50,000,000	2013/07/03	2014/01/24	12.50
62188	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 C	50,000,000	2013/07/03	2014/01/24	12.50
62189	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 D	50,000,000	2013/07/03	2014/01/24	12.50
62240	Credit Suisse AG - HSI R Bull CBBC May 2014 D	300,000,000	2013/07/03	2014/05/29	75.00
62244	Credit Suisse AG - HSI R Bull CBBC May 2014 E	300,000,000	2013/07/03	2014/05/29	75.00
62245	Credit Suisse AG - HSI R Bull CBBC May 2014 F	300,000,000	2013/07/03	2014/05/29	75.00
62135	Credit Suisse AG - HSI R Bull CBBC Jun 2014 V	300,000,000	2013/07/03	2014/06/27	75.00
62137	Credit Suisse AG - HSI R Bull CBBC Jun 2014 W	300,000,000	2013/07/03	2014/06/27	75.00
62138	Credit Suisse AG - HSI R Bull CBBC Jun 2014 X	300,000,000	2013/07/03	2014/06/27	75.00
62173	Credit Suisse AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/07/03	2013/12/30	75.00
62174	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/07/03	2013/12/30	75.00
62177	Credit Suisse AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/07/03	2013/12/30	75.00
62178	Credit Suisse AG - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/07/03	2013/12/30	75.00
62179	Credit Suisse AG - HSI R Bear CBBC Dec 2013 T	300,000,000	2013/07/03	2013/12/30	75.00
62180	Credit Suisse AG - HSI R Bear CBBC Dec 2013 U	300,000,000	2013/07/03	2013/12/30	75.00
62181	Credit Suisse AG - HSI R Bear CBBC Dec 2013 V	300,000,000	2013/07/03	2013/12/30	75.00
62182	Credit Suisse AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/07/03	2013/12/30	75.00
62146	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 T	120,000,000	2013/07/03	2013/10/30	30.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62147	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 U	120,000,000	2013/07/03	2013/10/30	30.00
62246	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 I	120,000,000	2013/07/03	2014/01/29	30.00
62247	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 J	120,000,000	2013/07/03	2014/01/29	30.00
62235	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 Q	120,000,000	2013/07/03	2013/10/30	30.00
62239	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 R	120,000,000	2013/07/03	2013/10/30	30.00
62270	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 E	150,000,000	2013/07/03	2013/11/28	38.40
62271	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 F	150,000,000	2013/07/03	2013/11/28	37.95
62272	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 G	150,000,000	2013/07/03	2013/11/28	38.85
62273	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 H	150,000,000	2013/07/03	2013/11/28	38.55
62274	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 I	150,000,000	2013/07/03	2013/11/28	38.10
62269	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 L	300,000,000	2013/07/03	2014/03/28	75.00
62259	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 Q	100,000,000	2013/07/03	2013/12/30	25.00
62263	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 R	100,000,000	2013/07/03	2013/12/30	25.00
62264	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 S	100,000,000	2013/07/03	2013/12/30	25.00
62265	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 T	100,000,000	2013/07/03	2013/12/30	25.00
62248	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 P	100,000,000	2013/07/03	2013/12/30	25.00
62255	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 Q	100,000,000	2013/07/03	2013/12/30	25.00
62257	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 R	100,000,000	2013/07/03	2013/12/30	25.00
62258	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 S	100,000,000	2013/07/03	2013/12/30	25.00
62123	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 K	100,000,000	2013/07/03	2013/11/28	25.00
62125	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 L	100,000,000	2013/07/03	2013/11/28	25.00
62126	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 M	100,000,000	2013/07/03	2013/11/28	25.00
62120	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 Q	100,000,000	2013/07/03	2013/10/30	25.00
62150	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13D	200,000,000	2013/07/03	2013/10/30	50.00
62151	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13E	200,000,000	2013/07/03	2013/10/30	50.00
62152	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13F	200,000,000	2013/07/03	2013/10/30	50.00
62153	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13G	200,000,000	2013/07/03	2013/10/30	50.00
62266	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13H	200,000,000	2013/07/03	2013/10/30	50.00
62268	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct 13I	200,000,000	2013/07/03	2013/10/30	50.00
62154	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 13A	200,000,000	2013/07/03	2013/11/28	50.00
62155	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 13B	200,000,000	2013/07/03	2013/11/28	50.00
62157	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 13C	200,000,000	2013/07/03	2013/11/28	50.00
62159	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct 13T	200,000,000	2013/07/03	2013/10/30	50.00
62162	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct 13U	200,000,000	2013/07/03	2013/10/30	50.00
62231	UBS AG - CC Bank R Bull CBBC Jul 2014 A	100,000,000	2013/07/03	2014/07/21	25.00
62226	UBS AG - China Life R Bull CBBC Jul 2014 A	100,000,000	2013/07/03	2014/07/14	42.00
62225	UBS AG - China Life R Bear CBBC Jan 2014 B	100,000,000	2013/07/03	2014/01/20	28.50
62228	UBS AG - Minsheng Bank R Bull CBBC Mar 2014 A	50,000,000	2013/07/03	2014/03/10	12.50
62222	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2014 A	100,000,000	2013/07/03	2014/06/09	25.00
62221	UBS AG - A50 China R Bull CBBC Jun 2014 A	100,000,000	2013/07/03	2014/06/23	25.00
62217	UBS AG - A50 China R Bear CBBC Nov 2013 B	100,000,000	2013/07/03	2013/11/18	25.00
62232	UBS AG - HKEx R Bull CBBC Jun 2014 A	100,000,000	2013/07/03	2014/06/09	25.00
62216	UBS AG - HSCEI R Bull CBBC Apr 2014 B	100,000,000	2013/07/03	2014/04/29	25.00
62215	UBS AG - HSCEI R Bear CBBC Oct 2013 E	100,000,000	2013/07/03	2013/10/30	25.00
62149	UBS AG - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/07/03	2013/12/30	75.00

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62211	UBS AG - HSI R Bull CBBC Feb 2014 T	300,000,000	2013/07/03	2014/02/27	75.00
62212	UBS AG - HSI R Bull CBBC Feb 2014 U	300,000,000	2013/07/03	2014/02/27	75.00
62213	UBS AG - HSI R Bull CBBC Feb 2014 V	300,000,000	2013/07/03	2014/02/27	75.00
62214	UBS AG - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/07/03	2014/03/28	75.00
62148	UBS AG - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/07/03	2014/03/28	75.00
62202	UBS AG - HSI R Bull CBBC Apr 2014 M	300,000,000	2013/07/03	2014/04/29	79.50
62210	UBS AG - HSI R Bull CBBC Apr 2014 N	300,000,000	2013/07/03	2014/04/29	75.00
62201	UBS AG - HSI R Bull CBBC Jun 2014 H	300,000,000	2013/07/03	2014/06/27	88.50
62200	UBS AG - HSI R Bull CBBC Jul 2014 E	300,000,000	2013/07/03	2014/07/30	103.50
62198	UBS AG - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/07/03	2014/09/29	75.00
62190	UBS AG - HSI R Bear CBBC Nov 2013 D	300,000,000	2013/07/03	2013/11/28	75.00
62196	UBS AG - HSI R Bear CBBC Nov 2013 E	300,000,000	2013/07/03	2013/11/28	75.00
62191	UBS AG - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/07/03	2013/11/28	75.00
62194	UBS AG - HSI R Bear CBBC Dec 2013 V	300,000,000	2013/07/03	2013/12/30	75.00
62195	UBS AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/07/03	2013/12/30	75.00
62197	UBS AG - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/07/03	2014/02/27	75.00
62229	UBS AG - ICBC R Bull CBBC Jun 2014 B	100,000,000	2013/07/03	2014/06/30	25.00
62230	UBS AG - PICC Group R Bull CBBC Jul 2014 A	50,000,000	2013/07/03	2014/07/14	12.50
62227	UBS AG - Ping An R Bull CBBC Apr 2014 A	100,000,000	2013/07/03	2014/04/14	25.00
62289	Credit Suisse AG - HSI R Bull CBBC Apr 2014 K	300,000,000	2013/07/04	2014/04/29	75.00
62290	Credit Suisse AG - HSI R Bull CBBC Apr 2014 L	300,000,000	2013/07/04	2014/04/29	75.00
62291	Credit Suisse AG - HSI R Bull CBBC Apr 2014 M	300,000,000	2013/07/04	2014/04/29	75.00
62292	Credit Suisse AG - HSI R Bull CBBC Apr 2014 N	300,000,000	2013/07/04	2014/04/29	75.00
62294	Credit Suisse AG - HSI R Bull CBBC Apr 2014 O	300,000,000	2013/07/04	2014/04/29	75.00
62296	Credit Suisse AG - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/07/04	2014/04/29	75.00
62297	Credit Suisse AG - HSI R Bull CBBC Apr 2014 Q	300,000,000	2013/07/04	2014/04/29	75.00
62276	Credit Suisse AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/07/04	2013/11/28	75.00
62275	Credit Suisse AG - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/07/04	2014/01/29	75.00
62277	Credit Suisse AG - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/07/04	2014/01/29	75.00
62308	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 V	120,000,000	2013/07/04	2013/10/30	30.00
62309	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 W	120,000,000	2013/07/04	2013/10/30	30.00
62316	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 S	120,000,000	2013/07/04	2013/10/30	30.00
62350	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 C	150,000,000	2013/07/04	2013/11/28	38.10
62351	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 D	150,000,000	2013/07/04	2013/11/28	37.65
62355	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/07/04	2014/02/27	75.00
62354	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/07/04	2014/03/28	75.00
62352	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/07/04	2014/01/29	75.00
62299	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 U	100,000,000	2013/07/04	2013/12/30	25.00
62301	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 V	100,000,000	2013/07/04	2013/12/30	25.00
62302	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 W	100,000,000	2013/07/04	2013/12/30	25.00
62303	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 X	100,000,000	2013/07/04	2013/12/30	25.00
62304	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 Y	100,000,000	2013/07/04	2013/12/30	25.00
62306	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 Z	100,000,000	2013/07/04	2013/12/30	25.00
62287	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 N	200,000,000	2013/07/04	2013/10/30	50.00
62288	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 V	200,000,000	2013/07/04	2013/10/30	50.00

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62326	UBS AG - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/07/04	2013/12/30	75.00
62328	UBS AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/07/04	2013/12/30	75.00
62339	UBS AG - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/07/04	2013/12/30	75.00
62320	UBS AG - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/07/04	2013/12/30	75.00
62323	UBS AG - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/07/04	2013/12/30	75.00
62318	UBS AG - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/07/04	2013/12/30	75.00
62319	UBS AG - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/07/04	2013/12/30	75.00
62325	UBS AG - HSI R Bull CBBC Feb 2014 W	300,000,000	2013/07/04	2014/02/27	75.00
62338	UBS AG - HSI R Bull CBBC Feb 2014 X	300,000,000	2013/07/04	2014/02/27	75.00
62279	UBS AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/07/04	2013/12/30	75.00
62280	UBS AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/07/04	2013/12/30	75.00
62286	UBS AG - HSI R Bear CBBC Feb 2014 E	350,000,000	2013/07/04	2014/02/27	87.50
62317	UBS AG - TCH R Bull CBBC Dec 2013 F	100,000,000	2013/07/04	2013/12/02	29.00
62368	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 U	300,000,000	2013/07/05	2014/02/27	75.00
62369	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 V	300,000,000	2013/07/05	2014/02/27	75.00
62370	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 W	300,000,000	2013/07/05	2014/02/27	75.00
62408	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 X	300,000,000	2013/07/05	2014/02/27	75.00
62396	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/07/05	2014/03/28	75.00
62398	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 R	300,000,000	2013/07/05	2014/03/28	75.00
62371	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/07/05	2014/01/29	75.00
62372	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/07/05	2014/01/29	75.00
62373	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/07/05	2014/01/29	75.00
62374	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/07/05	2014/01/29	75.00
62399	Credit Suisse AG - HSCEI R Bull CBBC Nov 2013 A	150,000,000	2013/07/05	2013/11/28	37.50
62400	Credit Suisse AG - HSCEI R Bear CBBC Nov 2013 A	150,000,000	2013/07/05	2013/11/28	37.50
62402	Credit Suisse AG - HSI R Bull CBBC May 2014 G	300,000,000	2013/07/05	2014/05/29	75.00
62405	Credit Suisse AG - HSI R Bull CBBC May 2014 H	300,000,000	2013/07/05	2014/05/29	75.00
62406	Credit Suisse AG - HSI R Bull CBBC May 2014 I	300,000,000	2013/07/05	2014/05/29	75.00
62407	Credit Suisse AG - HSI R Bull CBBC May 2014 J	300,000,000	2013/07/05	2014/05/29	75.00
62382	Credit Suisse AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/07/05	2013/11/28	75.00
62383	Credit Suisse AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/07/05	2013/12/30	75.00
62385	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/07/05	2013/12/30	75.00
62401	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/07/05	2013/12/30	75.00
62410	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 C	120,000,000	2013/07/05	2013/10/30	30.00
62409	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Z	120,000,000	2013/07/05	2013/10/30	30.00
62422	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 T	120,000,000	2013/07/05	2013/10/30	30.00
62425	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 J	150,000,000	2013/07/05	2013/11/28	38.25
62426	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 K	150,000,000	2013/07/05	2013/11/28	38.25
62427	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 L	150,000,000	2013/07/05	2013/11/28	38.25
62429	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 M	150,000,000	2013/07/05	2013/11/28	38.10
62432	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 N	150,000,000	2013/07/05	2013/11/28	37.95
62435	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/07/05	2014/02/27	75.00
62434	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/07/05	2014/03/28	75.00
62433	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 C	300,000,000	2013/07/05	2014/02/27	75.00
62356	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 N	100,000,000	2013/07/05	2013/11/28	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62389	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 J	200,000,000	2013/07/05	2013/10/30	50.00
62394	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 D	200,000,000	2013/07/05	2013/11/28	50.00
62357	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 W	200,000,000	2013/07/05	2013/10/30	50.00
62365	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 X	200,000,000	2013/07/05	2013/10/30	50.00
62421	UBS AG - HSI R Bull CBBC Nov 2013 D	300,000,000	2013/07/05	2013/11/28	75.00
62419	UBS AG - HSI R Bull CBBC Nov 2013 P	300,000,000	2013/07/05	2013/11/28	75.00
62420	UBS AG - HSI R Bull CBBC Nov 2013 S	300,000,000	2013/07/05	2013/11/28	75.00
62418	UBS AG - HSI R Bull CBBC Dec 2013 U	300,000,000	2013/07/05	2013/12/30	75.00
62411	UBS AG - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/07/05	2014/03/28	75.00
62375	UBS AG - HSI R Bear CBBC Nov 2013 G	300,000,000	2013/07/05	2013/11/28	75.00
62376	UBS AG - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/07/05	2013/11/28	75.00
62377	UBS AG - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/07/05	2014/01/29	75.00
62493	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/07/08	2014/03/28	75.00
62494	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/07/08	2014/03/28	75.00
62499	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/07/08	2014/03/28	75.00
62501	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/07/08	2014/03/28	75.00
62460	Credit Suisse AG - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/07/08	2013/12/30	75.00
62461	Credit Suisse AG - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/07/08	2013/12/30	75.00
62476	Credit Suisse AG - HSI R Bull CBBC Dec 2013 O	300,000,000	2013/07/08	2013/12/30	75.00
62481	Credit Suisse AG - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/07/08	2013/12/30	75.00
62439	Credit Suisse AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/07/08	2013/11/28	75.00
62436	Credit Suisse AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/07/08	2013/12/30	75.00
62440	Credit Suisse AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/07/08	2013/12/30	75.00
62454	Credit Suisse AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/07/08	2013/12/30	75.00
62482	Credit Suisse AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/07/08	2013/12/30	75.00
62487	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 B	120,000,000	2013/07/08	2013/10/30	30.00
62488	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 D	120,000,000	2013/07/08	2013/10/30	30.00
62492	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 U	120,000,000	2013/07/08	2013/10/30	30.00
62513	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 O	150,000,000	2013/07/08	2013/11/28	38.25
62514	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 P	150,000,000	2013/07/08	2013/11/28	37.95
62515	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 Q	150,000,000	2013/07/08	2013/11/28	38.70
62516	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 R	150,000,000	2013/07/08	2013/11/28	38.10
62517	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 S	150,000,000	2013/07/08	2013/11/28	37.80
62524	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/07/08	2014/02/27	75.00
62527	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 T	300,000,000	2013/07/08	2014/02/27	75.00
62530	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 U	300,000,000	2013/07/08	2014/02/27	75.00
62531	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 V	300,000,000	2013/07/08	2014/02/27	75.00
62533	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 W	300,000,000	2013/07/08	2014/02/27	75.00
62523	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/07/08	2014/03/28	75.00
62529	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/07/08	2014/03/28	75.00
62532	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/07/08	2014/03/28	75.00
62518	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/07/08	2014/02/27	75.00
62484	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 A	100,000,000	2013/07/08	2013/12/30	25.00
62485	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 B	100,000,000	2013/07/08	2013/12/30	25.00
62483	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 C	100,000,000	2013/07/08	2013/12/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62486	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 G	100,000,000	2013/07/08	2013/12/30	25.00
62445	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 E	200,000,000	2013/07/08	2013/11/28	50.00
62450	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 F	200,000,000	2013/07/08	2013/11/28	50.00
62447	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 Y	200,000,000	2013/07/08	2013/10/30	50.00
62472	UBS AG - CC Bank R Bull CBBC Mar 2014 B	100,000,000	2013/07/08	2014/03/17	25.00
62468	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2014 B	100,000,000	2013/07/08	2014/03/24	25.00
62466	UBS AG - A50 China R Bull CBBC Mar 2014 B	100,000,000	2013/07/08	2014/03/17	25.00
62506	UBS AG - HSCEI R Bull CBBC Mar 2014 A	100,000,000	2013/07/08	2014/03/28	25.00
62505	UBS AG - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/07/08	2013/12/30	75.00
62502	UBS AG - HSI R Bull CBBC Feb 2014 Y	300,000,000	2013/07/08	2014/02/27	75.00
62504	UBS AG - HSI R Bull CBBC Feb 2014 Z	300,000,000	2013/07/08	2014/02/27	75.00
62443	UBS AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/07/08	2013/12/30	75.00
62441	UBS AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/07/08	2013/12/30	75.00
62444	UBS AG - HSI R Bear CBBC Feb 2014 F	350,000,000	2013/07/08	2014/02/27	87.50
62469	UBS AG - ICBC R Bull CBBC Mar 2014 A	100,000,000	2013/07/08	2014/03/03	25.00
62534	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/07/09	2013/11/28	75.00
62538	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/07/09	2013/11/28	75.00
62572	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/07/09	2013/11/28	75.00
62571	Credit Suisse AG - HSI R Bull CBBC Jan 2014 A	300,000,000	2013/07/09	2014/01/29	75.00
62569	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Y	300,000,000	2013/07/09	2014/01/29	75.00
62570	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Z	300,000,000	2013/07/09	2014/01/29	75.00
62587	Credit Suisse AG - HSI R Bear CBBC Oct 2013 P	300,000,000	2013/07/09	2013/10/30	75.00
62586	Credit Suisse AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/07/09	2013/10/30	75.00
62589	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/07/09	2013/11/28	75.00
62590	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/07/09	2013/11/28	75.00
62583	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 E	120,000,000	2013/07/09	2013/10/30	30.00
62591	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 V	120,000,000	2013/07/09	2013/10/30	30.00
62593	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 W	120,000,000	2013/07/09	2013/10/30	30.00
62598	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 T	150,000,000	2013/07/09	2013/11/28	37.80
62599	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 U	150,000,000	2013/07/09	2013/11/28	37.95
62594	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/07/09	2014/02/27	75.00
62595	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/07/09	2014/03/28	75.00
62544	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct13 K	200,000,000	2013/07/09	2013/10/30	50.00
62545	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 G	200,000,000	2013/07/09	2013/11/28	50.00
62548	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 K	200,000,000	2013/07/09	2013/10/30	50.00
62547	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 Z	200,000,000	2013/07/09	2013/10/30	50.00
62567	UBS AG - HSI R Bull CBBC Nov 2013 E	300,000,000	2013/07/09	2013/11/28	75.00
62549	UBS AG - HSI R Bull CBBC Nov 2013 Q	300,000,000	2013/07/09	2013/11/28	75.00
62566	UBS AG - HSI R Bull CBBC Nov 2013 T	300,000,000	2013/07/09	2013/11/28	75.00
62553	UBS AG - HSI R Bull CBBC Apr 2014 O	300,000,000	2013/07/09	2014/04/29	75.00
62568	UBS AG - HSI R Bull CBBC Apr 2014 P	350,000,000	2013/07/09	2014/04/29	87.50
62573	UBS AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/07/09	2013/12/30	75.00
62574	UBS AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/07/09	2013/12/30	75.00
62584	UBS AG - HSI R Bear CBBC Dec 2013 G	350,000,000	2013/07/09	2013/12/30	87.50
62618	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2014 Y	300,000,000	2013/07/10	2014/02/27	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62627	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/07/10	2014/03/28	75.00
62616	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/07/10	2014/01/29	75.00
62617	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/07/10	2014/01/29	75.00
62625	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/07/10	2014/01/29	75.00
62655	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/07/10	2014/01/29	75.00
62656	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/07/10	2014/01/29	75.00
62604	Credit Suisse AG - China Mobile R Bull CBBC Nov 2013 A	50,000,000	2013/07/10	2013/11/29	12.50
62607	Credit Suisse AG - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/07/10	2014/03/28	75.00
62611	Credit Suisse AG - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/07/10	2014/03/28	75.00
62612	Credit Suisse AG - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/07/10	2014/03/28	75.00
62613	Credit Suisse AG - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/07/10	2014/03/28	75.00
62614	Credit Suisse AG - HSI R Bull CBBC Mar 2014 R	300,000,000	2013/07/10	2014/03/28	75.00
62630	Credit Suisse AG - HSI R Bull CBBC Mar 2014 S	300,000,000	2013/07/10	2014/03/28	75.00
62631	Credit Suisse AG - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/07/10	2013/11/28	75.00
62636	Credit Suisse AG - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/07/10	2013/11/28	75.00
62637	Credit Suisse AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/07/10	2013/11/28	75.00
62652	Credit Suisse AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/07/10	2013/11/28	75.00
62653	Credit Suisse AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/07/10	2013/11/28	75.00
62606	Credit Suisse AG - Ping An R Bull CBBC Nov 2013 A	50,000,000	2013/07/10	2013/11/29	12.50
62685	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 F	120,000,000	2013/07/10	2013/10/30	30.00
62673	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 X	120,000,000	2013/07/10	2013/10/30	30.00
62669	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 E	120,000,000	2013/07/10	2013/11/28	30.36
62670	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 F	120,000,000	2013/07/10	2013/11/28	30.12
62671	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 G	120,000,000	2013/07/10	2013/11/28	30.36
62672	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 H	120,000,000	2013/07/10	2013/11/28	30.12
62665	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/07/10	2014/01/29	75.00
62666	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/07/10	2014/01/29	75.00
62667	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/07/10	2014/02/27	75.00
62668	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/07/10	2014/03/28	75.00
62600	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 H	200,000,000	2013/07/10	2013/11/28	50.00
62623	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 A	200,000,000	2013/07/10	2013/10/30	50.00
62603	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 L	200,000,000	2013/07/10	2013/10/30	50.00
62624	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 M	200,000,000	2013/07/10	2013/10/30	50.00
62626	UBS AG - A50 China R Bull CBBC Mar 2014 C	100,000,000	2013/07/10	2014/03/03	25.00
62638	UBS AG - HSI R Bull CBBC Dec 2013 C	300,000,000	2013/07/10	2013/12/30	75.00
62622	UBS AG - HSI R Bull CBBC Dec 2013 W	350,000,000	2013/07/10	2013/12/30	87.50
62620	UBS AG - HSI R Bull CBBC Jan 2014 J	300,000,000	2013/07/10	2014/01/29	75.00
62621	UBS AG - HSI R Bull CBBC Feb 2014 C	300,000,000	2013/07/10	2014/02/27	75.00
62640	UBS AG - HSI R Bull CBBC Mar 2014 R	300,000,000	2013/07/10	2014/03/28	75.00
62659	UBS AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/07/10	2013/10/30	75.00
62657	UBS AG - HSI R Bear CBBC Oct 2013 T	300,000,000	2013/07/10	2013/10/30	75.00
62658	UBS AG - HSI R Bear CBBC Nov 2013 I	300,000,000	2013/07/10	2013/11/28	75.00
62661	UBS AG - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/07/10	2013/11/28	75.00
62662	UBS AG - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/07/10	2013/11/28	75.00
62660	UBS AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/07/10	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62740	Credit Suisse AG - HSI R Bull CBBC Feb 2014 I	300,000,000	2013/07/11	2014/02/27	75.00
62741	Credit Suisse AG - HSI R Bull CBBC Feb 2014 J	300,000,000	2013/07/11	2014/02/27	75.00
62687	Credit Suisse AG - HSI R Bear CBBC Oct 2013 E	300,000,000	2013/07/11	2013/10/30	75.00
62697	Credit Suisse AG - HSI R Bear CBBC Oct 2013 J	300,000,000	2013/07/11	2013/10/30	75.00
62704	Credit Suisse AG - HSI R Bear CBBC Oct 2013 K	300,000,000	2013/07/11	2013/10/30	75.00
62703	Credit Suisse AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/07/11	2013/11/28	75.00
62744	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 G	120,000,000	2013/07/11	2013/10/30	30.00
62745	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 Y	120,000,000	2013/07/11	2013/10/30	30.00
62746	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 T	100,000,000	2013/07/11	2013/12/30	25.00
62747	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 U	100,000,000	2013/07/11	2013/12/30	25.00
62712	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 I	200,000,000	2013/07/11	2013/11/28	50.00
62713	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 J	200,000,000	2013/07/11	2013/11/28	50.00
62706	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 N	200,000,000	2013/07/11	2013/10/30	50.00
62739	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 O	200,000,000	2013/07/11	2013/10/30	50.00
62742	UBS AG - HSI R Bull CBBC Dec 2013 D	300,000,000	2013/07/11	2013/12/30	75.00
62743	UBS AG - HSI R Bull CBBC Jun 2014 I	350,000,000	2013/07/11	2014/06/27	87.50
62711	UBS AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/07/11	2013/11/28	75.00
62708	UBS AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/07/11	2013/12/30	75.00
62766	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/07/12	2014/01/29	75.00
62767	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/07/12	2014/01/29	75.00
62768	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/07/12	2014/01/29	75.00
62774	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2014 A	50,000,000	2013/07/12	2014/01/24	12.50
62775	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Jan 2014 A	50,000,000	2013/07/12	2014/01/24	12.50
62792	Credit Suisse AG - HSI R Bull CBBC Mar 2014 T	300,000,000	2013/07/12	2014/03/28	75.00
62794	Credit Suisse AG - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/07/12	2014/03/28	75.00
62785	Credit Suisse AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/07/12	2013/12/30	75.00
62782	Credit Suisse AG - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/07/12	2013/12/30	75.00
62786	Credit Suisse AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/07/12	2013/12/30	75.00
62798	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 X	120,000,000	2013/07/12	2013/10/30	30.00
62805	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 Z	120,000,000	2013/07/12	2013/10/30	30.00
62765	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 O	100,000,000	2013/07/12	2013/11/28	25.00
62759	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 R	100,000,000	2013/07/12	2013/10/30	25.00
62787	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 K	200,000,000	2013/07/12	2013/11/28	50.00
62788	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 L	200,000,000	2013/07/12	2013/11/28	50.00
62769	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 B	200,000,000	2013/07/12	2013/10/30	50.00
62791	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 H	200,000,000	2013/07/12	2013/10/30	50.00
62797	UBS AG - HSI R Bull CBBC Mar 2014 S	300,000,000	2013/07/12	2014/03/28	75.00
62771	UBS AG - HSI R Bear CBBC Oct 2013 U	300,000,000	2013/07/12	2013/10/30	75.00
62770	UBS AG - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/07/12	2013/11/28	75.00
62772	UBS AG - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/07/12	2014/01/29	75.00
62773	UBS AG - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/07/12	2014/01/29	75.00
62829	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/07/15	2014/03/28	75.00
62826	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 L	300,000,000	2013/07/15	2014/03/28	75.00
62827	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 V	300,000,000	2013/07/15	2014/03/28	75.00
62828	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/07/15	2014/03/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62837	Credit Suisse AG - HSI R Bull CBBC Apr 2014 R	300,000,000	2013/07/15	2014/04/29	75.00
62838	Credit Suisse AG - HSI R Bull CBBC Apr 2014 S	300,000,000	2013/07/15	2014/04/29	75.00
62839	Credit Suisse AG - HSI R Bull CBBC Apr 2014 T	300,000,000	2013/07/15	2014/04/29	75.00
62840	Credit Suisse AG - HSI R Bull CBBC Apr 2014 U	300,000,000	2013/07/15	2014/04/29	75.00
62841	Credit Suisse AG - HSI R Bull CBBC Apr 2014 V	300,000,000	2013/07/15	2014/04/29	75.00
62853	Credit Suisse AG - HSI R Bear CBBC Oct 2013 L	300,000,000	2013/07/15	2013/10/30	75.00
62854	Credit Suisse AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/07/15	2013/10/30	75.00
62859	Credit Suisse AG - HSI R Bear CBBC Oct 2013 Q	300,000,000	2013/07/15	2013/10/30	75.00
62851	Credit Suisse AG - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/07/15	2013/11/28	75.00
62830	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 A	120,000,000	2013/07/15	2013/10/30	30.00
62874	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 J	120,000,000	2013/07/15	2013/10/30	30.00
62873	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 L	120,000,000	2013/07/15	2013/10/30	30.00
62866	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 V	150,000,000	2013/07/15	2013/11/28	37.50
62867	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 W	150,000,000	2013/07/15	2013/11/28	37.50
62811	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 P	100,000,000	2013/07/15	2013/11/28	25.00
62817	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 Q	100,000,000	2013/07/15	2013/11/28	25.00
62818	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 C	200,000,000	2013/07/15	2013/12/30	50.00
62819	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 S	100,000,000	2013/07/15	2013/10/30	25.00
62806	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 M	200,000,000	2013/07/15	2013/11/28	50.00
62807	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 N	200,000,000	2013/07/15	2013/11/28	50.00
62850	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 Q	200,000,000	2013/07/15	2013/10/30	50.00
62848	UBS AG - HSI R Bull CBBC Nov 2013 C	300,000,000	2013/07/15	2013/11/28	75.00
62842	UBS AG - HSI R Bull CBBC Dec 2013 E	300,000,000	2013/07/15	2013/12/30	75.00
62843	UBS AG - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/07/15	2014/02/27	75.00
62844	UBS AG - HSI R Bull CBBC Mar 2014 L	300,000,000	2013/07/15	2014/03/28	75.00
62863	UBS AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/07/15	2013/11/28	75.00
62860	UBS AG - HSI R Bear CBBC Feb 2014 G	350,000,000	2013/07/15	2014/02/27	87.50
62891	Credit Suisse AG - HSI R Bull CBBC Feb 2014 K	300,000,000	2013/07/16	2014/02/27	75.00
62892	Credit Suisse AG - HSI R Bull CBBC Feb 2014 L	300,000,000	2013/07/16	2014/02/27	75.00
62878	Credit Suisse AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/07/16	2013/11/28	75.00
62881	Credit Suisse AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/07/16	2013/11/28	75.00
62893	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 K	120,000,000	2013/07/16	2013/10/30	30.00
62894	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 M	120,000,000	2013/07/16	2013/10/30	30.00
62895	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 X	120,000,000	2013/07/16	2013/11/28	30.00
62897	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 Y	120,000,000	2013/07/16	2013/11/28	30.36
62898	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2013 I	120,000,000	2013/07/16	2013/11/28	30.00
62877	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 D	200,000,000	2013/07/16	2013/12/30	50.00
62875	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 T	100,000,000	2013/07/16	2013/10/30	25.00
62889	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 O	200,000,000	2013/07/16	2013/11/28	50.00
62906	UBS AG - AIA R Bull CBBC Jun 2014 B	100,000,000	2013/07/16	2014/06/23	25.00
62901	UBS AG - China Mobile R Bull CBBC Dec 2013 B	100,000,000	2013/07/16	2013/12/16	25.00
62899	UBS AG - Cheung Kong R Bull CBBC Jun 2014 A	100,000,000	2013/07/16	2014/06/23	25.00
62902	UBS AG - HKEx R Bull CBBC May 2014 A	100,000,000	2013/07/16	2014/05/19	25.00
62909	UBS AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/07/16	2013/12/30	75.00
62908	UBS AG - HSI R Bull CBBC Apr 2014 Q	300,000,000	2013/07/16	2014/04/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62910	UBS AG - HSI R Bull CBBC Jun 2014 J	300,000,000	2013/07/16	2014/06/27	75.00
62886	UBS AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/07/16	2013/12/30	75.00
62882	UBS AG - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/07/16	2014/01/29	75.00
62903	UBS AG - Lenovo R Bull CBBC Dec 2013 E	100,000,000	2013/07/16	2013/12/16	25.00
62904	UBS AG - TCH R Bull CBBC Jun 2014 A	100,000,000	2013/07/16	2014/06/23	30.00
62951	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/07/17	2014/03/28	75.00
62954	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 P	300,000,000	2013/07/17	2014/03/28	75.00
62956	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 X	300,000,000	2013/07/17	2014/03/28	75.00
62919	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/07/17	2014/01/29	75.00
62920	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/07/17	2014/01/29	75.00
62926	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/07/17	2014/01/29	75.00
62946	Credit Suisse AG - HSI R Bull CBBC Jan 2014 B	300,000,000	2013/07/17	2014/01/29	75.00
62942	Credit Suisse AG - HSI R Bull CBBC Jan 2014 D	300,000,000	2013/07/17	2014/01/29	75.00
62947	Credit Suisse AG - HSI R Bull CBBC Jan 2014 E	300,000,000	2013/07/17	2014/01/29	75.00
62943	Credit Suisse AG - HSI R Bull CBBC Jan 2014 X	300,000,000	2013/07/17	2014/01/29	75.00
62911	Credit Suisse AG - HSI R Bull CBBC May 2014 K	300,000,000	2013/07/17	2014/05/29	75.00
62912	Credit Suisse AG - HSI R Bull CBBC May 2014 L	300,000,000	2013/07/17	2014/05/29	75.00
62917	Credit Suisse AG - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/07/17	2013/11/28	75.00
62913	Credit Suisse AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/07/17	2013/11/28	75.00
62916	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/07/17	2013/11/28	75.00
62950	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 H	120,000,000	2013/07/17	2013/10/30	30.00
62949	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 L	120,000,000	2013/07/17	2013/10/30	30.00
62968	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 J	150,000,000	2013/07/17	2013/11/28	38.25
62969	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 O	150,000,000	2013/07/17	2013/11/28	37.95
62971	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 P	150,000,000	2013/07/17	2013/11/28	38.55
62972	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 R	150,000,000	2013/07/17	2013/11/28	37.50
62965	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 Z	150,000,000	2013/07/17	2013/11/28	37.80
62974	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 R	300,000,000	2013/07/17	2014/01/29	75.00
62975	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 S	300,000,000	2013/07/17	2014/01/29	75.00
62976	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 X	300,000,000	2013/07/17	2014/02/27	75.00
62977	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 R	300,000,000	2013/07/17	2014/03/28	75.00
62948	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 P	200,000,000	2013/07/17	2013/11/28	50.00
62938	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 R	200,000,000	2013/07/17	2013/10/30	50.00
62939	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 S	200,000,000	2013/07/17	2013/10/30	50.00
62964	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2014 A	100,000,000	2013/07/17	2014/02/17	25.00
62936	UBS AG - China Shenhua R Bull CBBC Jul 2014 B	30,000,000	2013/07/17	2014/07/21	10.80
62960	UBS AG - HSI R Bull CBBC Nov 2013 R	300,000,000	2013/07/17	2013/11/28	75.00
62957	UBS AG - HSI R Bull CBBC Dec 2013 B	300,000,000	2013/07/17	2013/12/30	75.00
62963	UBS AG - HSI R Bull CBBC Jan 2014 K	300,000,000	2013/07/17	2014/01/29	75.00
62928	UBS AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/07/17	2013/12/30	75.00
62932	UBS AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/07/17	2013/12/30	75.00
62933	UBS AG - HSI R Bear CBBC Apr 2014 B	350,000,000	2013/07/17	2014/04/29	87.50
62999	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/07/18	2014/03/28	75.00
63000	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/07/18	2014/03/28	75.00
63001	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 O	300,000,000	2013/07/18	2014/03/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62997	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 Y	300,000,000	2013/07/18	2014/03/28	75.00
62998	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2014 Z	300,000,000	2013/07/18	2014/03/28	75.00
63017	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/07/18	2014/04/29	75.00
63019	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/07/18	2014/04/29	75.00
63021	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/07/18	2014/04/29	75.00
63022	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/07/18	2014/04/29	75.00
63046	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/07/18	2014/04/29	75.00
63016	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 Y	300,000,000	2013/07/18	2014/04/29	75.00
63018	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 Z	300,000,000	2013/07/18	2014/04/29	75.00
63004	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/07/18	2014/01/29	75.00
63023	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/07/18	2014/01/29	75.00
63024	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/07/18	2014/01/29	75.00
63002	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/07/18	2014/01/29	75.00
63003	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/07/18	2014/01/29	75.00
63034	Credit Suisse AG - HSI R Bull CBBC May 2014 M	300,000,000	2013/07/18	2014/05/29	75.00
63035	Credit Suisse AG - HSI R Bull CBBC May 2014 N	300,000,000	2013/07/18	2014/05/29	75.00
63036	Credit Suisse AG - HSI R Bull CBBC May 2014 O	300,000,000	2013/07/18	2014/05/29	75.00
63037	Credit Suisse AG - HSI R Bull CBBC May 2014 P	300,000,000	2013/07/18	2014/05/29	75.00
63039	Credit Suisse AG - HSI R Bull CBBC May 2014 Q	300,000,000	2013/07/18	2014/05/29	75.00
63040	Credit Suisse AG - HSI R Bull CBBC May 2014 R	300,000,000	2013/07/18	2014/05/29	75.00
63041	Credit Suisse AG - HSI R Bull CBBC May 2014 S	300,000,000	2013/07/18	2014/05/29	75.00
62987	Credit Suisse AG - HSI R Bear CBBC Oct 2013 E	300,000,000	2013/07/18	2013/10/30	75.00
63027	Credit Suisse AG - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/07/18	2013/10/30	75.00
62984	Credit Suisse AG - HSI R Bear CBBC Oct 2013 P	300,000,000	2013/07/18	2013/10/30	75.00
62986	Credit Suisse AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/07/18	2013/10/30	75.00
62983	Credit Suisse AG - HSI R Bear CBBC Oct 2013 S	300,000,000	2013/07/18	2013/10/30	75.00
63028	Credit Suisse AG - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/07/18	2013/11/28	75.00
63043	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 I	120,000,000	2013/07/18	2013/10/30	30.00
63044	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 M	120,000,000	2013/07/18	2013/10/30	30.00
63045	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 N	120,000,000	2013/07/18	2013/10/30	30.00
63005	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 N	120,000,000	2013/07/18	2013/10/30	30.00
63006	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 O	120,000,000	2013/07/18	2013/10/30	30.00
63025	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 P	120,000,000	2013/07/18	2013/10/30	30.00
63026	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 Q	120,000,000	2013/07/18	2013/10/30	30.00
63048	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 S	150,000,000	2013/07/18	2013/11/28	38.10
63049	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2013 U	150,000,000	2013/07/18	2013/11/28	38.10
63281	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 A	150,000,000	2013/07/18	2013/12/30	38.25
63321	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 B	150,000,000	2013/07/18	2013/12/30	38.25
63222	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 T	300,000,000	2013/07/18	2014/01/29	75.00
63239	J P Morgan SP BV - HSI R Bull CBBC Jan 2014 U	300,000,000	2013/07/18	2014/01/29	75.00
63232	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 Y	300,000,000	2013/07/18	2014/02/27	75.00
63252	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 Z	300,000,000	2013/07/18	2014/02/27	75.00
63238	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 S	300,000,000	2013/07/18	2014/03/28	75.00
63253	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 T	300,000,000	2013/07/18	2014/03/28	75.00
62988	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 Q	200,000,000	2013/07/18	2013/11/28	50.00

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63013	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 R	200,000,000	2013/07/18	2013/11/28	50.00
63014	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 S	200,000,000	2013/07/18	2013/11/28	50.00
63015	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 T	200,000,000	2013/07/18	2013/11/28	50.00
63042	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 U	200,000,000	2013/07/18	2013/11/28	50.00
62989	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 T	200,000,000	2013/07/18	2013/10/30	50.00
62990	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 U	200,000,000	2013/07/18	2013/10/30	50.00
63011	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 V	200,000,000	2013/07/18	2013/10/30	50.00
63012	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 W	200,000,000	2013/07/18	2013/10/30	50.00
62996	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 A	200,000,000	2013/07/18	2013/11/28	50.00
63142	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2014 C	100,000,000	2013/07/18	2014/01/20	25.00
63150	UBS AG - CSOP A50 ETF R Bear CBBC Jan 2014 B	100,000,000	2013/07/18	2014/01/27	25.00
63129	UBS AG - A50 China R Bull CBBC Jan 2014 F	100,000,000	2013/07/18	2014/01/20	25.00
63183	UBS AG - HSCEI R Bull CBBC Jun 2014 D	100,000,000	2013/07/18	2014/06/27	25.00
63190	UBS AG - HSCEI R Bear CBBC Dec 2013 A	100,000,000	2013/07/18	2013/12/30	25.00
63069	UBS AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/07/18	2013/12/30	75.00
63056	UBS AG - HSI R Bull CBBC Jan 2014 L	300,000,000	2013/07/18	2014/01/29	75.00
63052	UBS AG - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/07/18	2014/02/27	75.00
63063	UBS AG - HSI R Bull CBBC Feb 2014 E	350,000,000	2013/07/18	2014/02/27	87.50
63058	UBS AG - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/07/18	2014/03/28	75.00
63050	UBS AG - HSI R Bull CBBC Mar 2014 T	300,000,000	2013/07/18	2014/03/28	75.00
63008	UBS AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/07/18	2013/10/30	75.00
63029	UBS AG - HSI R Bear CBBC Oct 2013 T	300,000,000	2013/07/18	2013/10/30	75.00
63007	UBS AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/07/18	2013/11/28	75.00
63010	UBS AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/07/18	2013/12/30	75.00
63111	UBS AG - Hutchison R Bull CBBC Jun 2014 A	100,000,000	2013/07/18	2014/06/16	25.00
63161	UBS AG - Petrochina R Bull CBBC Dec 2013 B	100,000,000	2013/07/18	2013/12/30	25.00
63079	UBS AG - TCH R Bull CBBC Jun 2014 B	100,000,000	2013/07/18	2014/06/30	29.00
63503	Credit Suisse AG - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/07/19	2014/04/29	75.00
63456	Credit Suisse AG - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/07/19	2014/04/29	75.00
63487	Credit Suisse AG - HSI R Bull CBBC Apr 2014 X	300,000,000	2013/07/19	2014/04/29	75.00
63488	Credit Suisse AG - HSI R Bull CBBC Apr 2014 Y	300,000,000	2013/07/19	2014/04/29	75.00
63496	Credit Suisse AG - HSI R Bull CBBC Apr 2014 Z	300,000,000	2013/07/19	2014/04/29	75.00
63821	Credit Suisse AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/07/19	2013/11/28	75.00
63849	Credit Suisse AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/07/19	2013/11/28	75.00
63850	Credit Suisse AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/07/19	2013/11/28	75.00
63851	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/07/19	2013/11/28	75.00
63862	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 O	120,000,000	2013/07/19	2013/10/30	30.00
63867	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Q	120,000,000	2013/07/19	2013/10/30	30.00
63881	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 R	120,000,000	2013/07/19	2013/10/30	30.00
63882	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 S	120,000,000	2013/07/19	2013/10/30	30.00
63889	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 A	150,000,000	2013/07/19	2013/12/30	37.95
63890	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 B	150,000,000	2013/07/19	2013/12/30	37.80
63758	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 R	100,000,000	2013/07/19	2013/11/28	25.00
63765	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 S	100,000,000	2013/07/19	2013/11/28	25.00
63508	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 V	200,000,000	2013/07/19	2013/11/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63610	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 W	200,000,000	2013/07/19	2013/11/28	50.00
63768	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 X	200,000,000	2013/07/19	2013/10/30	50.00
63348	UBS AG - HSI R Bull CBBC Nov 2013 U	300,000,000	2013/07/19	2013/11/28	75.00
63322	UBS AG - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/07/19	2013/12/30	75.00
63359	UBS AG - HSI R Bull CBBC Dec 2013 K	300,000,000	2013/07/19	2013/12/30	75.00
63404	UBS AG - HSI R Bull CBBC Jan 2014 M	300,000,000	2013/07/19	2014/01/29	75.00
63858	UBS AG - HSI R Bull CBBC Feb 2014 F	300,000,000	2013/07/19	2014/02/27	75.00
63852	UBS AG - HSI R Bear CBBC Oct 2013 K	300,000,000	2013/07/19	2013/10/30	75.00
63855	UBS AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/07/19	2013/10/30	75.00
63945	Credit Suisse AG - HSI R Bull CBBC Dec 2013 Q	300,000,000	2013/07/22	2013/12/30	75.00
63947	Credit Suisse AG - HSI R Bull CBBC Dec 2013 R	300,000,000	2013/07/22	2013/12/30	75.00
63953	Credit Suisse AG - HSI R Bull CBBC Dec 2013 S	300,000,000	2013/07/22	2013/12/30	75.00
63914	Credit Suisse AG - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/07/22	2013/12/30	75.00
63916	Credit Suisse AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/07/22	2013/12/30	75.00
63970	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 P	120,000,000	2013/07/22	2013/10/30	30.00
63966	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Y	120,000,000	2013/07/22	2013/10/30	30.00
63974	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 T	120,000,000	2013/07/22	2013/10/30	30.00
63912	Bank of East Asia - A50 China R Bull CBBC Jun 2014 A	60,000,000	2013/07/22	2014/06/26	15.00
63913	Bank of East Asia - ICBC R Bull CBBC Jun 2014 A	60,000,000	2013/07/22	2014/06/26	15.00
63911	Bank of East Asia - Ping An R Bull CBBC Jun 2014 B	60,000,000	2013/07/22	2014/06/26	15.00
63978	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 C	150,000,000	2013/07/22	2013/12/30	37.95
63960	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/07/22	2014/02/27	75.00
63962	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/07/22	2014/03/28	75.00
63959	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/07/22	2014/01/29	75.00
63956	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 E	100,000,000	2013/07/22	2013/12/30	25.00
63957	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 F	100,000,000	2013/07/22	2013/12/30	25.00
63955	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 H	100,000,000	2013/07/22	2013/12/30	25.00
63958	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Dec 2013 I	100,000,000	2013/07/22	2013/12/30	25.00
63954	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Dec 2013 V	100,000,000	2013/07/22	2013/12/30	25.00
63891	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 T	100,000,000	2013/07/22	2013/11/28	25.00
63904	Standard Chartered Bank - HSI R Bear CBBC Oct 2013 U	100,000,000	2013/07/22	2013/10/30	25.00
63941	UBS AG - HSI R Bull CBBC Nov 2013 A	300,000,000	2013/07/22	2013/11/28	75.00
63922	UBS AG - HSI R Bull CBBC Jan 2014 N	300,000,000	2013/07/22	2014/01/29	75.00
63923	UBS AG - HSI R Bull CBBC Mar 2014 M	300,000,000	2013/07/22	2014/03/28	75.00
63921	UBS AG - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/07/22	2013/11/28	75.00
63943	UBS AG - TCH R Bull CBBC Jan 2014 B	100,000,000	2013/07/22	2014/01/13	31.00
63942	UBS AG - TCH R Bear CBBC Jun 2014 A	100,000,000	2013/07/22	2014/06/16	37.00
64018	Credit Suisse AG - HSI R Bull CBBC Dec 2013 T	300,000,000	2013/07/23	2013/12/30	75.00
64020	Credit Suisse AG - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/07/23	2013/12/30	75.00
63994	Credit Suisse AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/07/23	2013/12/30	75.00
64003	Credit Suisse AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/07/23	2013/12/30	75.00
64030	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 B	120,000,000	2013/07/23	2013/10/30	30.00
64033	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 V	120,000,000	2013/07/23	2013/10/30	30.00
63989	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 X	200,000,000	2013/07/23	2013/11/28	50.00
63991	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 Y	200,000,000	2013/07/23	2013/10/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64024	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2014 D	100,000,000	2013/07/23	2014/01/13	25.00
64021	UBS AG - A50 China R Bull CBBC Jan 2014 G	100,000,000	2013/07/23	2014/01/13	25.00
64029	UBS AG - HSI R Bull CBBC Apr 2014 S	300,000,000	2013/07/23	2014/04/29	75.00
64005	UBS AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/07/23	2013/12/30	75.00
64009	UBS AG - HSI R Bear CBBC Jan 2014 F	350,000,000	2013/07/23	2014/01/29	87.50
64027	UBS AG - TCH R Bull CBBC Jun 2014 C	100,000,000	2013/07/23	2014/06/26	25.00
64026	UBS AG - TCH R Bear CBBC Dec 2013 D	100,000,000	2013/07/23	2013/12/16	59.00
64025	UBS AG - TCH R Bear CBBC Jan 2014 A	100,000,000	2013/07/23	2014/01/06	79.00
64042	Credit Suisse AG - HSI R Bull CBBC Jan 2014 F	300,000,000	2013/07/24	2014/01/29	75.00
64039	Credit Suisse AG - HSI R Bear CBBC Oct 2013 H	300,000,000	2013/07/24	2013/10/30	75.00
64047	J P Morgan SP BV - HSI R Bull CBBC Feb 2014 C	300,000,000	2013/07/24	2014/02/27	75.00
64049	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 V	300,000,000	2013/07/24	2014/03/28	75.00
64046	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/07/24	2014/01/29	75.00
64037	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 U	100,000,000	2013/07/24	2013/11/28	25.00
64045	UBS AG - HSI R Bull CBBC May 2014 I	350,000,000	2013/07/24	2014/05/29	87.50
64040	UBS AG - HSI R Bear CBBC Oct 2013 P	300,000,000	2013/07/24	2013/10/30	75.00
64050	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 N	300,000,000	2013/07/25	2014/04/29	75.00
64057	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/07/25	2014/01/29	75.00
64065	Credit Suisse AG - HSI R Bull CBBC Feb 2014 M	300,000,000	2013/07/25	2014/02/27	75.00
64061	Credit Suisse AG - HSI R Bear CBBC Oct 2013 J	300,000,000	2013/07/25	2013/10/30	75.00
64064	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 Y	200,000,000	2013/07/25	2013/11/28	50.00
64090	UBS AG - HKEx R Bull CBBC Jun 2014 B	100,000,000	2013/07/25	2014/06/09	25.00
64105	UBS AG - HSBC R Bull CBBC May 2014 A	100,000,000	2013/07/25	2014/05/05	25.00
64086	UBS AG - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/07/25	2014/02/27	75.00
64107	UBS AG - HSI R Bear CBBC Oct 2013 U	350,000,000	2013/07/25	2013/10/30	87.50
64098	UBS AG - Hutchison R Bear CBBC Feb 2014 A	100,000,000	2013/07/25	2014/02/10	25.00
64128	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Mar 2014 A	200,000,000	2013/07/26	2014/03/28	50.00
64129	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2013 I	200,000,000	2013/07/26	2013/12/30	50.00
64131	Credit Suisse AG - HSI R Bull CBBC Jan 2014 G	300,000,000	2013/07/26	2014/01/29	75.00
64134	Credit Suisse AG - HSI R Bull CBBC Jan 2014 J	300,000,000	2013/07/26	2014/01/29	75.00
64125	Credit Suisse AG - HSI R Bear CBBC Oct 2013 K	300,000,000	2013/07/26	2013/10/30	75.00
64141	Credit Suisse AG - HSI R Bear CBBC Oct 2013 L	300,000,000	2013/07/26	2013/10/30	75.00
64145	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 C	120,000,000	2013/07/26	2013/10/30	30.00
64146	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 U	120,000,000	2013/07/26	2013/10/30	30.00
64108	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 Z	200,000,000	2013/07/26	2013/11/28	50.00
64111	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 A	200,000,000	2013/07/26	2013/10/30	50.00
64139	UBS AG - China Mobile R Bear CBBC Jan 2014 A	100,000,000	2013/07/26	2014/01/06	25.00
64137	UBS AG - HSI R Bull CBBC Jan 2014 O	300,000,000	2013/07/26	2014/01/29	75.00
64126	UBS AG - HSI R Bear CBBC Dec 2013 S	350,000,000	2013/07/26	2013/12/30	87.50
64138	UBS AG - Ping An R Bull CBBC Jun 2014 A	100,000,000	2013/07/26	2014/06/23	25.00
64233	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 U	300,000,000	2013/07/29	2014/04/29	75.00
64230	Credit Suisse AG - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/07/29	2014/02/27	75.00
64210	Credit Suisse AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/07/29	2013/10/30	75.00
64234	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 D	150,000,000	2013/07/29	2013/12/30	37.80
64237	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 E	150,000,000	2013/07/29	2013/12/30	38.70

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64172	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2014 B	80,000,000	2013/07/29	2014/01/20	20.00
64174	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2014 C	80,000,000	2013/07/29	2014/01/27	20.00
64170	HK Bank - A50 R Bull CBBC Jan 2014 D	100,000,000	2013/07/29	2014/01/22	25.00
64171	HK Bank - A50 R Bull CBBC Jan 2014 E	100,000,000	2013/07/29	2014/01/20	25.00
64168	HK Bank - HSCEI R Bull CBBC Dec 2013 D	100,000,000	2013/07/29	2013/12/30	25.00
64166	HK Bank - HSCEI R Bull CBBC Jan 2014 B	100,000,000	2013/07/29	2014/01/29	25.00
64160	HK Bank - HSI R Bull CBBC Nov 2013 Q	100,000,000	2013/07/29	2013/11/28	25.00
64161	HK Bank - HSI R Bull CBBC Nov 2013 R	100,000,000	2013/07/29	2013/11/28	25.00
64153	HK Bank - HSI R Bull CBBC Dec 2013 N	100,000,000	2013/07/29	2013/12/30	25.00
64158	HK Bank - HSI R Bull CBBC Dec 2013 O	100,000,000	2013/07/29	2013/12/30	25.00
64165	HK Bank - HSI R Bull CBBC Jan 2014 G	400,000,000	2013/07/29	2014/01/29	100.00
64175	HK Bank - TCH R Bull CBBC Jan 2014 A	50,000,000	2013/07/29	2014/01/13	18.50
64176	HK Bank - TCH R Bull CBBC Feb 2014 A	50,000,000	2013/07/29	2014/02/24	29.00
64177	HK Bank - TCH R Bear CBBC Jan 2014 A	50,000,000	2013/07/29	2014/01/13	20.50
64182	HK Bank - TCH R Bear CBBC Feb 2014 A	50,000,000	2013/07/29	2014/02/24	27.50
64208	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct13 L	200,000,000	2013/07/29	2013/10/30	50.00
64231	UBS AG - HSI R Bull CBBC May 2014 J	300,000,000	2013/07/29	2014/05/29	75.00
64221	UBS AG - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/07/29	2013/11/28	75.00
64222	UBS AG - HSI R Bear CBBC Jan 2014 G	350,000,000	2013/07/29	2014/01/29	87.50
64223	UBS AG - TCH R Bull CBBC Jun 2014 D	50,000,000	2013/07/29	2014/06/16	12.50
64484	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/07/30	2014/04/29	75.00
64489	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/07/30	2014/04/29	75.00
64736	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/07/30	2014/04/29	75.00
64706	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 K	300,000,000	2013/07/30	2014/04/29	75.00
64707	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 O	300,000,000	2013/07/30	2014/04/29	75.00
64874	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 R	300,000,000	2013/07/30	2014/04/29	75.00
64849	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 S	300,000,000	2013/07/30	2014/04/29	75.00
64733	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 T	300,000,000	2013/07/30	2014/04/29	75.00
64482	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2014 V	300,000,000	2013/07/30	2014/04/29	75.00
64523	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/07/30	2014/01/29	75.00
64556	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/07/30	2014/01/29	75.00
64567	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/07/30	2014/01/29	75.00
64583	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/07/30	2014/01/29	75.00
64739	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/07/30	2014/01/29	75.00
64640	Credit Suisse AG - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/07/30	2014/04/29	75.00
64753	Credit Suisse AG - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/07/30	2014/04/29	75.00
64757	Credit Suisse AG - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/07/30	2014/04/29	75.00
64754	Credit Suisse AG - HSI R Bull CBBC Apr 2014 Q	300,000,000	2013/07/30	2014/04/29	75.00
64804	Credit Suisse AG - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/07/30	2014/04/29	75.00
64805	Credit Suisse AG - HSI R Bull CBBC Apr 2014 X	300,000,000	2013/07/30	2014/04/29	75.00
64589	Credit Suisse AG - HSI R Bear CBBC Oct 2013 E	300,000,000	2013/07/30	2013/10/30	75.00
64590	Credit Suisse AG - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/07/30	2013/10/30	75.00
64633	Credit Suisse AG - HSI R Bear CBBC Oct 2013 P	300,000,000	2013/07/30	2013/10/30	75.00
64584	Credit Suisse AG - HSI R Bear CBBC Oct 2013 Q	300,000,000	2013/07/30	2013/10/30	75.00
64639	Credit Suisse AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/07/30	2013/10/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65130	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 D	120,000,000	2013/07/30	2013/10/30	30.00
65141	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 W	120,000,000	2013/07/30	2013/10/30	30.00
65165	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Oct 2013 Z	120,000,000	2013/07/30	2013/10/30	30.00
64327	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 W	120,000,000	2013/07/30	2013/10/30	30.00
64336	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Oct 2013 X	120,000,000	2013/07/30	2013/10/30	30.00
65079	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 F	150,000,000	2013/07/30	2013/12/30	38.70
65083	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 G	150,000,000	2013/07/30	2013/12/30	38.85
65108	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 H	150,000,000	2013/07/30	2013/12/30	38.55
65110	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 I	150,000,000	2013/07/30	2013/12/30	39.30
65127	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 J	150,000,000	2013/07/30	2013/12/30	39.00
64272	HK Bank - China Mobile R Bull CBBC Jan 2014 A	120,000,000	2013/07/30	2014/01/06	30.00
64286	HK Bank - COVS R Bull CBBC Jan 2014 A	50,000,000	2013/07/30	2014/01/13	16.50
64283	HK Bank - Minsheng Bank R Bear CBBC Dec 2013 B	40,000,000	2013/07/30	2013/12/02	10.00
64289	HK Bank - China Shenhua R Bull CBBC Feb 2014 B	60,000,000	2013/07/30	2014/02/17	33.60
64259	HK Bank - A50 R Bear CBBC Dec 2013 A	50,000,000	2013/07/30	2013/12/09	12.50
64256	HK Bank - HSCEI R Bear CBBC Dec 2013 D	68,000,000	2013/07/30	2013/12/30	17.00
64246	HK Bank - HSI R Bull CBBC Dec 2013 P	100,000,000	2013/07/30	2013/12/30	25.00
64255	HK Bank - HSI R Bull CBBC Dec 2013 Q	100,000,000	2013/07/30	2013/12/30	25.00
64245	HK Bank - HSI R Bull CBBC Jan 2014 H	100,000,000	2013/07/30	2014/01/29	25.00
64254	HK Bank - HSI R Bull CBBC Feb 2014 C	400,000,000	2013/07/30	2014/02/27	100.00
64280	HK Bank - Hutchison R Bull CBBC Jan 2014 A	60,000,000	2013/07/30	2014/01/20	15.00
65199	J P Morgan SP BV - HSI R Bull CBBC May 2014 A	300,000,000	2013/07/30	2014/05/29	75.00
65335	J P Morgan SP BV - HSI R Bull CBBC May 2014 B	300,000,000	2013/07/30	2014/05/29	75.00
65340	J P Morgan SP BV - HSI R Bull CBBC May 2014 C	300,000,000	2013/07/30	2014/05/29	75.00
65466	J P Morgan SP BV - HSI R Bull CBBC May 2014 D	300,000,000	2013/07/30	2014/05/29	75.00
65530	J P Morgan SP BV - HSI R Bull CBBC May 2014 E	300,000,000	2013/07/30	2014/05/29	75.00
65531	J P Morgan SP BV - HSI R Bull CBBC May 2014 F	300,000,000	2013/07/30	2014/05/29	75.00
65166	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 U	300,000,000	2013/07/30	2013/12/30	75.00
65182	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 V	300,000,000	2013/07/30	2013/12/30	75.00
64244	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 V	100,000,000	2013/07/30	2013/11/28	25.00
64654	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 F	200,000,000	2013/07/30	2013/11/28	50.00
64655	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 U	200,000,000	2013/07/30	2013/11/28	50.00
64659	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov13 V	200,000,000	2013/07/30	2013/11/28	50.00
64291	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 B	200,000,000	2013/07/30	2013/11/28	50.00
64295	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 C	200,000,000	2013/07/30	2013/11/28	50.00
64299	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 D	200,000,000	2013/07/30	2013/11/28	50.00
64322	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 E	200,000,000	2013/07/30	2013/11/28	50.00
64817	UBS AG - AIA R Bull CBBC Jun 2014 C	100,000,000	2013/07/30	2014/06/09	25.00
64843	UBS AG - China Mobile R Bull CBBC Apr 2014 A	100,000,000	2013/07/30	2014/04/28	25.00
64847	UBS AG - CC Bank R Bull CBBC Apr 2014 A	100,000,000	2013/07/30	2014/04/14	25.00
64806	UBS AG - China Life R Bull CBBC Apr 2014 A	100,000,000	2013/07/30	2014/04/28	25.00
64962	UBS AG - HSCEI R Bull CBBC May 2014 D	100,000,000	2013/07/30	2014/05/29	25.00
65054	UBS AG - HSI R Bull CBBC Mar 2014 F	300,000,000	2013/07/30	2014/03/28	75.00
65036	UBS AG - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/07/30	2014/03/28	75.00
65023	UBS AG - HSI R Bull CBBC Apr 2014 T	300,000,000	2013/07/30	2014/04/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65024	UBS AG - HSI R Bull CBBC May 2014 K	300,000,000	2013/07/30	2014/05/29	75.00
65072	UBS AG - HSI R Bull CBBC May 2014 L	300,000,000	2013/07/30	2014/05/29	75.00
64480	UBS AG - HSI R Bear CBBC Oct 2013 G	300,000,000	2013/07/30	2013/10/30	75.00
64472	UBS AG - HSI R Bear CBBC Oct 2013 O	300,000,000	2013/07/30	2013/10/30	75.00
64459	UBS AG - HSI R Bear CBBC Oct 2013 Q	300,000,000	2013/07/30	2013/10/30	75.00
64466	UBS AG - HSI R Bear CBBC Oct 2013 R	300,000,000	2013/07/30	2013/10/30	75.00
64479	UBS AG - HSI R Bear CBBC Oct 2013 T	300,000,000	2013/07/30	2013/10/30	75.00
64337	UBS AG - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/07/30	2014/01/29	81.00
64338	UBS AG - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/07/30	2014/01/29	76.50
64351	UBS AG - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/07/30	2014/01/29	75.00
64364	UBS AG - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/07/30	2014/01/29	75.00
64382	UBS AG - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/07/30	2014/01/29	75.00
64393	UBS AG - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/07/30	2014/01/29	75.00
64400	UBS AG - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/07/30	2014/01/29	75.00
64457	UBS AG - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/07/30	2014/01/29	75.00
64808	UBS AG - ICBC R Bull CBBC Apr 2014 A	100,000,000	2013/07/30	2014/04/07	25.00
65958	Credit Suisse AG - HSI R Bull CBBC Mar 2014 A	300,000,000	2013/07/31	2014/03/28	75.00
65819	Credit Suisse AG - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/07/31	2014/03/28	75.00
65821	Credit Suisse AG - HSI R Bull CBBC Mar 2014 X	300,000,000	2013/07/31	2014/03/28	75.00
65834	Credit Suisse AG - HSI R Bull CBBC Mar 2014 Y	300,000,000	2013/07/31	2014/03/28	75.00
65835	Credit Suisse AG - HSI R Bull CBBC Mar 2014 Z	300,000,000	2013/07/31	2014/03/28	75.00
65957	Credit Suisse AG - HSI R Bull CBBC Apr 2014 Y	300,000,000	2013/07/31	2014/04/29	75.00
65932	Credit Suisse AG - HSI R Bear CBBC Oct 2013 A	300,000,000	2013/07/31	2013/10/30	75.00
65952	Credit Suisse AG - HSI R Bear CBBC Oct 2013 B	300,000,000	2013/07/31	2013/10/30	75.00
65927	Credit Suisse AG - HSI R Bear CBBC Oct 2013 S	300,000,000	2013/07/31	2013/10/30	75.00
65988	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 N	120,000,000	2013/07/31	2013/11/28	30.00
65989	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 N	120,000,000	2013/07/31	2013/11/28	30.00
65688	HK Bank - Cheung Kong R Bull CBBC Feb 2014 A	60,000,000	2013/07/31	2014/02/24	15.00
65701	HK Bank - CNOOC R Bull CBBC Jan 2014 A	60,000,000	2013/07/31	2014/01/27	15.00
65699	HK Bank - Sinopec Corp R Bull CBBC Feb 2014 A	80,000,000	2013/07/31	2014/02/24	20.00
65611	HK Bank - HSCEI R Bull CBBC Nov 2013 E	100,000,000	2013/07/31	2013/11/28	25.00
65576	HK Bank - HSI R Bull CBBC Dec 2013 R	100,000,000	2013/07/31	2013/12/30	25.00
65571	HK Bank - HSI R Bull CBBC Jan 2014 I	100,000,000	2013/07/31	2014/01/29	25.00
65536	HK Bank - HSI R Bull CBBC Feb 2014 D	100,000,000	2013/07/31	2014/02/27	25.00
65704	HK Bank - ICBC R Bull CBBC Jan 2014 A	80,000,000	2013/07/31	2014/01/20	20.00
65700	HK Bank - PetCh R Bull CBBC Jan 2014 A	60,000,000	2013/07/31	2014/01/27	15.00
65691	HK Bank - Sands China R Bull CBBC Apr 2014 A	60,000,000	2013/07/31	2014/04/24	15.00
65695	HK Bank - SHK Ppt R Bull CBBC Jan 2014 B	60,000,000	2013/07/31	2014/01/20	15.00
65994	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/07/31	2014/06/27	75.00
65996	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/07/31	2014/06/27	75.00
65708	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 W	100,000,000	2013/07/31	2013/11/28	25.00
65839	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 A	200,000,000	2013/07/31	2013/12/30	50.00
65840	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 B	200,000,000	2013/07/31	2013/12/30	50.00
65841	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 F	200,000,000	2013/07/31	2013/11/28	50.00
65842	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 G	200,000,000	2013/07/31	2013/11/28	50.00

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65719	UBS AG - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/07/31	2014/03/28	75.00
65735	UBS AG - HSI R Bull CBBC Apr 2014 U	300,000,000	2013/07/31	2014/04/29	75.00
65742	UBS AG - HSI R Bull CBBC Apr 2014 V	300,000,000	2013/07/31	2014/04/29	75.00
65741	UBS AG - HSI R Bull CBBC May 2014 M	300,000,000	2013/07/31	2014/05/29	75.00
65982	UBS AG - HSI R Bull CBBC Jun 2014 K	300,000,000	2013/07/31	2014/06/27	75.00
65713	UBS AG - HSI R Bull CBBC Jul 2014 F	300,000,000	2013/07/31	2014/07/30	75.00
65906	UBS AG - HSI R Bear CBBC Nov 2013 Y	350,000,000	2013/07/31	2013/11/28	87.50
65845	UBS AG - HSI R Bear CBBC Dec 2013 T	300,000,000	2013/07/31	2013/12/30	75.00
65856	UBS AG - HSI R Bear CBBC Dec 2013 U	300,000,000	2013/07/31	2013/12/30	75.00
65873	UBS AG - HSI R Bear CBBC Dec 2013 V	300,000,000	2013/07/31	2013/12/30	75.00
65843	UBS AG - HSI R Bear CBBC Feb 2014 H	300,000,000	2013/07/31	2014/02/27	75.00
65852	UBS AG - HSI R Bear CBBC Feb 2014 I	300,000,000	2013/07/31	2014/02/27	75.00
65872	UBS AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/07/31	2014/02/27	75.00
65886	UBS AG - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/07/31	2014/02/27	75.00
66041	Credit Suisse AG - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/08/01	2014/02/27	75.00
66061	Credit Suisse AG - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/08/01	2014/02/27	75.00
66043	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/08/01	2014/02/27	75.00
66045	Credit Suisse AG - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/08/01	2014/02/27	75.00
66133	Credit Suisse AG - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/08/01	2013/11/28	75.00
66171	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 K	150,000,000	2013/08/01	2013/12/30	38.10
66034	HK Bank - BOCL R Bull CBBC Aug 2014 A	80,000,000	2013/08/01	2014/08/28	20.00
66016	HK Bank - COVS R Bear CBBC Jan 2014 A	40,000,000	2013/08/01	2014/01/20	12.00
66030	HK Bank - CC Bank R Bull CBBC Feb 2014 A	80,000,000	2013/08/01	2014/02/24	20.00
66037	HK Bank - China Life R Bull CBBC Feb 2014 A	60,000,000	2013/08/01	2014/02/24	15.00
66021	HK Bank - Minsheng Bank R Bull CBBC Jan 2014 A	40,000,000	2013/08/01	2014/01/13	10.00
66029	HK Bank - Minsheng Bank R Bull CBBC Mar 2014 A	40,000,000	2013/08/01	2014/03/24	12.40
66015	HK Bank - A50 R Bull CBBC Feb 2014 A	100,000,000	2013/08/01	2014/02/24	25.00
66000	HK Bank - HSI R Bull CBBC Feb 2014 E	100,000,000	2013/08/01	2014/02/27	25.00
65999	HK Bank - HSI R Bull CBBC Mar 2014 A	100,000,000	2013/08/01	2014/03/28	25.00
66172	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/08/01	2014/06/27	75.00
66173	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/08/01	2014/06/27	75.00
66098	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 X	100,000,000	2013/08/01	2013/11/28	25.00
66125	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec 13C	200,000,000	2013/08/01	2013/12/30	50.00
66127	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec 13D	200,000,000	2013/08/01	2013/12/30	50.00
66129	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 13H	200,000,000	2013/08/01	2013/11/28	50.00
66102	UBS AG - HSI R Bull CBBC Dec 2013 L	300,000,000	2013/08/01	2013/12/30	75.00
66116	UBS AG - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/08/01	2013/12/30	75.00
66113	UBS AG - HSI R Bull CBBC Jan 2014 P	300,000,000	2013/08/01	2014/01/29	75.00
66103	UBS AG - HSI R Bull CBBC Feb 2014 I	300,000,000	2013/08/01	2014/02/27	75.00
66105	UBS AG - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/08/01	2014/02/27	75.00
66163	UBS AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/08/01	2013/12/30	75.00
66167	UBS AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/08/01	2013/12/30	75.00
66164	UBS AG - HSI R Bear CBBC Feb 2014 L	300,000,000	2013/08/01	2014/02/27	84.00
66170	UBS AG - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/08/01	2014/02/27	75.00
66261	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/08/02	2014/01/29	75.00

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66413	Credit Suisse AG - HSI R Bull CBBC Dec 2013 X	300,000,000	2013/08/02	2013/12/30	75.00
66426	Credit Suisse AG - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/08/02	2013/12/30	75.00
66257	Credit Suisse AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/08/02	2013/12/30	75.00
66258	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/08/02	2013/12/30	75.00
66492	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 P	120,000,000	2013/08/02	2013/11/28	30.00
66505	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 R	120,000,000	2013/08/02	2013/11/28	30.00
66516	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 O	120,000,000	2013/08/02	2013/11/28	30.00
66254	HK Bank - AIA R Bull CBBC Mar 2014 A	60,000,000	2013/08/02	2014/03/24	21.00
66190	HK Bank - CAM CSI300 R Bull CBBC Mar 2014 A	60,000,000	2013/08/02	2014/03/17	27.60
66206	HK Bank - CAM CSI300 R Bull CBBC Apr 2014 A	60,000,000	2013/08/02	2014/04/14	37.20
66217	HK Bank - CPIC R Bull CBBC Mar 2014 A	60,000,000	2013/08/02	2014/03/03	24.00
66240	HK Bank - Evergrande R Bull CBBC Apr 2014 A	60,000,000	2013/08/02	2014/04/07	15.00
66241	HK Bank - Evergrande R Bull CBBC Sep 2014 A	60,000,000	2013/08/02	2014/09/24	15.00
66189	HK Bank - PICC Group R Bull CBBC Apr 2014 A	50,000,000	2013/08/02	2014/04/28	12.50
66284	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 E	200,000,000	2013/08/02	2013/12/30	50.00
66347	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 I	200,000,000	2013/08/02	2013/11/28	50.00
66359	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 J	200,000,000	2013/08/02	2013/11/28	50.00
66277	UBS AG - CPIC R Bull CBBC Jan 2014 A	30,000,000	2013/08/02	2014/01/13	15.30
66361	UBS AG - Evergrande R Bull CBBC Jul 2014 A	100,000,000	2013/08/02	2014/07/21	25.00
66411	UBS AG - Evergrande R Bull CBBC Jul 2014 B	100,000,000	2013/08/02	2014/07/08	25.00
66360	UBS AG - Evergrande R Bear CBBC Feb 2014 A	100,000,000	2013/08/02	2014/02/10	25.00
66431	UBS AG - HSI R Bull CBBC Apr 2014 W	350,000,000	2013/08/02	2014/04/29	87.50
66262	UBS AG - HSI R Bear CBBC Nov 2013 Z	350,000,000	2013/08/02	2013/11/28	87.50
66475	UBS AG - Hutchison R Bear CBBC Jan 2014 A	100,000,000	2013/08/02	2014/01/20	25.00
66459	UBS AG - TCH R Bull CBBC Jul 2014 A	100,000,000	2013/08/02	2014/07/07	25.00
66434	UBS AG - TCH R Bear CBBC Mar 2014 A	100,000,000	2013/08/02	2014/03/24	80.00
66710	Credit Suisse AG - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/08/05	2014/06/27	75.00
66714	Credit Suisse AG - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/08/05	2014/06/27	75.00
66692	Credit Suisse AG - HSI R Bear CBBC Nov 2013 I	300,000,000	2013/08/05	2013/11/28	75.00
66693	Credit Suisse AG - HSI R Bear CBBC Nov 2013 M	300,000,000	2013/08/05	2013/11/28	75.00
66694	Credit Suisse AG - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/08/05	2013/11/28	75.00
66761	Credit Suisse AG - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/08/05	2013/11/28	75.00
66660	Credit Suisse AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/08/05	2013/12/30	75.00
66682	Credit Suisse AG - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/08/05	2013/12/30	75.00
66687	Credit Suisse AG - HSI R Bear CBBC Dec 2013 T	300,000,000	2013/08/05	2013/12/30	75.00
66689	Credit Suisse AG - HSI R Bear CBBC Dec 2013 U	300,000,000	2013/08/05	2013/12/30	75.00
66691	Credit Suisse AG - HSI R Bear CBBC Dec 2013 V	300,000,000	2013/08/05	2013/12/30	75.00
66695	Credit Suisse AG - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/08/05	2014/01/29	75.00
66700	Credit Suisse AG - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/08/05	2014/01/29	75.00
66701	Credit Suisse AG - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/08/05	2014/01/29	75.00
66702	Credit Suisse AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/08/05	2014/02/27	75.00
66707	Credit Suisse AG - HSI R Bear CBBC Feb 2014 C	300,000,000	2013/08/05	2014/02/27	75.00
66762	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 S	120,000,000	2013/08/05	2013/11/28	30.00
66766	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 P	120,000,000	2013/08/05	2013/11/28	30.00
66628	HK Bank - Anhui Conch R Bull CBBC Sep 2014 C	50,000,000	2013/08/05	2014/09/18	12.50

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66626	HK Bank - Anhui Conch R Bull CBBC Oct 2014 A	50,000,000	2013/08/05	2014/10/16	12.50
66561	HK Bank - China Mobile R Bull CBBC Dec 2013 E	120,000,000	2013/08/05	2013/12/23	30.00
66519	HK Bank - CNBM R Bull CBBC Jan 2014 A	50,000,000	2013/08/05	2014/01/20	12.50
66517	HK Bank - CNBM R Bull CBBC Feb 2014 A	50,000,000	2013/08/05	2014/02/24	12.50
66624	HK Bank - Galaxy Ent R Bull CBBC Jan 2014 A	50,000,000	2013/08/05	2014/01/16	35.00
66623	HK Bank - Galaxy Ent R Bull CBBC Mar 2014 A	50,000,000	2013/08/05	2014/03/17	19.00
66641	HK Bank - Galaxy Ent R Bear CBBC Jan 2014 B	40,000,000	2013/08/05	2014/01/22	36.80
66659	HK Bank - Galaxy Ent R Bear CBBC Mar 2014 A	40,000,000	2013/08/05	2014/03/17	24.80
66527	HK Bank - Sands China R Bull CBBC May 2014 A	60,000,000	2013/08/05	2014/05/19	15.00
66619	HK Bank - TCH R Bull CBBC Feb 2014 B	50,000,000	2013/08/05	2014/02/10	17.50
66640	HK Bank - TCH R Bull CBBC Feb 2014 C	50,000,000	2013/08/05	2014/02/10	12.50
66632	HK Bank - TCH R Bear CBBC Feb 2014 B	50,000,000	2013/08/05	2014/02/10	28.00
66639	HK Bank - TCH R Bear CBBC Mar 2014 A	50,000,000	2013/08/05	2014/03/17	36.00
66592	HK Bank - Wharf Holdings R Bull CBBC Dec 2013 A	40,000,000	2013/08/05	2013/12/23	10.00
66708	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 A	100,000,000	2013/08/05	2014/01/29	25.00
66717	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 K	200,000,000	2013/08/05	2013/11/28	50.00
66754	UBS AG - Anhui Conch R Bull CBBC Dec 2014 A	100,000,000	2013/08/05	2014/12/29	25.00
66755	UBS AG - Anhui Conch R Bull CBBC Dec 2014 B	100,000,000	2013/08/05	2014/12/22	25.00
66719	UBS AG - CNBM R Bull CBBC Feb 2014 A	50,000,000	2013/08/05	2014/02/10	12.50
66742	UBS AG - CNBM R Bull CBBC Mar 2014 A	50,000,000	2013/08/05	2014/03/03	12.50
66718	UBS AG - CNBM R Bear CBBC Mar 2014 A	50,000,000	2013/08/05	2014/03/17	12.50
66757	UBS AG - Galaxy Ent R Bull CBBC Jan 2014 A	50,000,000	2013/08/05	2014/01/20	28.50
66716	UBS AG - HSI R Bull CBBC Feb 2014 J	350,000,000	2013/08/05	2014/02/27	87.50
66759	UBS AG - HSI R Bear CBBC Nov 2013 D	300,000,000	2013/08/05	2013/11/28	75.00
66760	UBS AG - HSI R Bear CBBC Dec 2013 Y	350,000,000	2013/08/05	2013/12/30	87.50
66931	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/08/06	2014/06/27	75.00
66860	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/08/06	2014/01/29	75.00
66932	Credit Suisse AG - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/08/06	2014/02/27	75.00
66934	Credit Suisse AG - HSI R Bull CBBC Feb 2014 T	300,000,000	2013/08/06	2014/02/27	75.00
66901	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/08/06	2013/11/28	75.00
66905	Credit Suisse AG - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/08/06	2013/11/28	75.00
66957	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 U	120,000,000	2013/08/06	2013/11/28	30.00
66960	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 V	120,000,000	2013/08/06	2013/11/28	30.00
66972	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 Q	120,000,000	2013/08/06	2013/11/28	30.00
66954	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 L	150,000,000	2013/08/06	2013/12/30	38.10
66849	HK Bank - ABC R Bull CBBC Apr 2014 A	80,000,000	2013/08/06	2014/04/28	20.00
66851	HK Bank - ABC R Bear CBBC Mar 2014 A	40,000,000	2013/08/06	2014/03/26	10.00
66772	HK Bank - China Mobile R Bull CBBC Feb 2014 B	120,000,000	2013/08/06	2014/02/24	30.00
66773	HK Bank - China Mobile R Bull CBBC Feb 2014 C	120,000,000	2013/08/06	2014/02/10	30.00
66771	HK Bank - China Mobile R Bull CBBC Mar 2014 A	120,000,000	2013/08/06	2014/03/10	30.00
66794	HK Bank - China Mobile R Bear CBBC Apr 2014 A	50,000,000	2013/08/06	2014/04/07	12.50
66791	HK Bank - COVS R Bear CBBC Mar 2014 A	40,000,000	2013/08/06	2014/03/31	12.00
66859	HK Bank - Minsheng Bank R Bear CBBC Feb 2014 A	40,000,000	2013/08/06	2014/02/20	10.00
66769	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2014 A	80,000,000	2013/08/06	2014/03/10	20.00
66770	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2014 B	80,000,000	2013/08/06	2014/03/31	20.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66848	HK Bank - China Shenhua R Bear CBBC Mar 2014 A	40,000,000	2013/08/06	2014/03/17	20.80
66767	HK Bank - A50 R Bull CBBC Feb 2014 B	100,000,000	2013/08/06	2014/02/17	25.00
66768	HK Bank - A50 R Bull CBBC Mar 2014 A	100,000,000	2013/08/06	2014/03/24	25.00
66783	HK Bank - HKEx R Bull CBBC Jan 2014 A	60,000,000	2013/08/06	2014/01/13	15.00
66777	HK Bank - Hutchison R Bull CBBC Dec 2013 C	60,000,000	2013/08/06	2013/12/30	15.00
66852	HK Bank - ICBC R Bear CBBC Jan 2014 A	40,000,000	2013/08/06	2014/01/27	10.00
66847	HK Bank - Lenovo R Bull CBBC Apr 2014 A	60,000,000	2013/08/06	2014/04/28	15.00
66855	HK Bank - Sands China R Bear CBBC Jan 2014 A	50,000,000	2013/08/06	2014/01/13	12.50
66912	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 E	200,000,000	2013/08/06	2013/12/30	50.00
66916	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 B	100,000,000	2013/08/06	2014/01/29	25.00
66925	UBS AG - Galaxy Ent R Bull CBBC Mar 2014 A	50,000,000	2013/08/06	2014/03/10	18.00
66924	UBS AG - Galaxy Ent R Bear CBBC Feb 2014 A	50,000,000	2013/08/06	2014/02/24	27.50
66953	UBS AG - HSI R Bull CBBC Jan 2014 Q	300,000,000	2013/08/06	2014/01/29	75.00
66947	UBS AG - HSI R Bull CBBC Mar 2014 V	350,000,000	2013/08/06	2014/03/28	87.50
66952	UBS AG - HSI R Bull CBBC Apr 2014 X	350,000,000	2013/08/06	2014/04/29	87.50
66883	UBS AG - HSI R Bear CBBC Nov 2013 E	300,000,000	2013/08/06	2013/11/28	75.00
66863	UBS AG - HSI R Bear CBBC Dec 2013 D	350,000,000	2013/08/06	2013/12/30	87.50
66929	UBS AG - Hutchison R Bull CBBC Feb 2014 A	100,000,000	2013/08/06	2014/02/10	25.00
66923	UBS AG - TCH R Bull CBBC Mar 2014 A	50,000,000	2013/08/06	2014/03/03	12.50
66943	UBS AG - TCH R Bear CBBC Dec 2013 E	50,000,000	2013/08/06	2013/12/30	22.50
66918	UBS AG - TCH R Bear CBBC Jan 2014 B	50,000,000	2013/08/06	2014/01/20	12.50
66917	UBS AG - TCH R Bear CBBC Apr 2014 A	50,000,000	2013/08/06	2014/04/28	47.50
67103	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/08/07	2014/06/27	75.00
67116	Credit Suisse AG - HSI R Bull CBBC Jan 2014 H	300,000,000	2013/08/07	2014/01/29	75.00
67115	Credit Suisse AG - HSI R Bull CBBC Jan 2014 L	300,000,000	2013/08/07	2014/01/29	75.00
67046	Credit Suisse AG - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/08/07	2013/11/28	75.00
67047	Credit Suisse AG - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/08/07	2013/11/28	75.00
67118	Credit Suisse AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/08/07	2013/11/28	75.00
67051	Credit Suisse AG - TCH R Bear CBBC Dec 2013 C	80,000,000	2013/08/07	2013/12/20	20.00
67053	Credit Suisse AG - TCH R Bear CBBC Dec 2013 D	80,000,000	2013/08/07	2013/12/20	33.60
67032	HK Bank - China Life R Bear CBBC Jan 2014 A	50,000,000	2013/08/07	2014/01/27	15.00
67038	HK Bank - CNBM R Bull CBBC Mar 2014 A	50,000,000	2013/08/07	2014/03/03	13.00
67035	HK Bank - CNBM R Bear CBBC Mar 2014 A	50,000,000	2013/08/07	2014/03/03	12.50
67036	HK Bank - CNBM R Bear CBBC Apr 2014 A	50,000,000	2013/08/07	2014/04/07	17.50
66973	HK Bank - HSI R Bull CBBC Jan 2014 J	100,000,000	2013/08/07	2014/01/29	25.00
66976	HK Bank - HSI R Bull CBBC Feb 2014 F	100,000,000	2013/08/07	2014/02/27	25.00
66978	HK Bank - HSI R Bull CBBC Mar 2014 B	400,000,000	2013/08/07	2014/03/28	100.00
66979	HK Bank - HSI R Bull CBBC Apr 2014 A	400,000,000	2013/08/07	2014/04/29	100.00
67016	HK Bank - Ping An R Bull CBBC Apr 2014 A	60,000,000	2013/08/07	2014/04/28	15.00
66982	HK Bank - Ping An R Bear CBBC Feb 2014 A	40,000,000	2013/08/07	2014/02/24	10.00
67039	HK Bank - TCH R Bull CBBC Mar 2014 A	50,000,000	2013/08/07	2014/03/18	19.50
67040	HK Bank - TCH R Bear CBBC Mar 2014 B	50,000,000	2013/08/07	2014/03/06	34.00
67041	HK Bank - TCH R Bear CBBC Mar 2014 C	50,000,000	2013/08/07	2014/03/18	39.50
67055	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 F	200,000,000	2013/08/07	2013/12/30	50.00
67057	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 L	200,000,000	2013/08/07	2013/11/28	50.00

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67059	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 M	200,000,000	2013/08/07	2013/11/28	50.00
67061	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 N	200,000,000	2013/08/07	2013/11/28	50.00
67069	UBS AG - ABC R Bear CBBC Mar 2014 A	100,000,000	2013/08/07	2014/03/17	25.00
67078	UBS AG - China Mobile R Bull CBBC Feb 2014 A	100,000,000	2013/08/07	2014/02/10	25.00
67089	UBS AG - COVS R Bull CBBC Jan 2014 A	50,000,000	2013/08/07	2014/01/06	27.00
67082	UBS AG - COVS R Bear CBBC Feb 2014 A	50,000,000	2013/08/07	2014/02/24	19.00
67076	UBS AG - China Shenhua R Bull CBBC Dec 2014 A	100,000,000	2013/08/07	2014/12/15	25.00
67075	UBS AG - China Shenhua R Bear CBBC Jun 2014 A	100,000,000	2013/08/07	2014/06/09	25.00
67110	UBS AG - HSI R Bull CBBC Apr 2014 Y	300,000,000	2013/08/07	2014/04/29	75.00
67104	UBS AG - HSI R Bull CBBC May 2014 N	350,000,000	2013/08/07	2014/05/29	87.50
67105	UBS AG - HSI R Bull CBBC May 2014 O	350,000,000	2013/08/07	2014/05/29	87.50
67120	UBS AG - HSI R Bear CBBC Nov 2013 F	350,000,000	2013/08/07	2013/11/28	87.50
67043	UBS AG - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/08/07	2014/01/29	75.00
67067	UBS AG - Sands China R Bull CBBC Jul 2014 A	100,000,000	2013/08/07	2014/07/07	25.00
67068	UBS AG - Sands China R Bull CBBC Aug 2014 A	100,000,000	2013/08/07	2014/08/11	25.00
67065	UBS AG - Sands China R Bear CBBC Feb 2014 A	100,000,000	2013/08/07	2014/02/17	25.00
67163	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/08/08	2014/06/27	75.00
67165	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/08/08	2014/01/29	75.00
67194	Credit Suisse AG - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/08/08	2014/03/28	75.00
67195	Credit Suisse AG - HSI R Bull CBBC Mar 2014 H	300,000,000	2013/08/08	2014/03/28	75.00
67188	Credit Suisse AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/08/08	2013/11/28	75.00
67169	Credit Suisse AG - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/08/08	2013/11/28	75.00
67167	Credit Suisse AG - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/08/08	2013/11/28	75.00
67216	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 W	120,000,000	2013/08/08	2013/11/28	30.00
67217	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 R	120,000,000	2013/08/08	2013/11/28	30.00
67215	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 M	150,000,000	2013/08/08	2013/12/30	37.80
67137	HK Bank - ABC R Bull CBBC Aug 2014 A	80,000,000	2013/08/08	2014/08/28	20.00
67139	HK Bank - ABC R Bear CBBC Apr 2014 A	40,000,000	2013/08/08	2014/04/28	10.00
67136	HK Bank - AIA R Bull CBBC Mar 2014 B	60,000,000	2013/08/08	2014/03/17	18.60
67127	HK Bank - AIA R Bear CBBC Apr 2014 A	50,000,000	2013/08/08	2014/04/07	26.00
67162	HK Bank - COVS R Bull CBBC Feb 2014 A	50,000,000	2013/08/08	2014/02/24	17.00
67147	HK Bank - CNOOC R Bear CBBC Apr 2014 A	40,000,000	2013/08/08	2014/04/07	12.80
67121	HK Bank - CSOP A50 ETF R Bear CBBC Mar 2014 A	40,000,000	2013/08/08	2014/03/24	10.00
67146	HK Bank - China Shenhua R Bull CBBC Mar 2014 C	60,000,000	2013/08/08	2014/03/10	22.20
67148	HK Bank - TCH R Bear CBBC Jan 2014 B	50,000,000	2013/08/08	2014/01/13	12.50
67219	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 E	300,000,000	2013/08/08	2014/06/27	75.00
67222	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/08/08	2014/06/27	75.00
67192	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 C	100,000,000	2013/08/08	2014/01/29	25.00
67206	UBS AG - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/08/08	2014/03/28	75.00
67213	UBS AG - HSI R Bull CBBC May 2014 P	300,000,000	2013/08/08	2014/05/29	75.00
67202	UBS AG - HSI R Bull CBBC Nov 2014 A	300,000,000	2013/08/08	2014/11/27	75.00
67190	UBS AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/08/08	2013/12/30	75.00
67191	UBS AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/08/08	2013/12/30	75.00
67200	UBS AG - HSI R Bear CBBC Nov 2014 A	300,000,000	2013/08/08	2014/11/27	75.00
67214	UBS AG - Ping An R Bull CBBC Oct 2014 A	100,000,000	2013/08/08	2014/10/21	25.00

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67268	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/08/09	2014/06/27	75.00
67269	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 E	300,000,000	2013/08/09	2014/06/27	75.00
67277	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/08/09	2014/06/27	75.00
67278	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 G	300,000,000	2013/08/09	2014/06/27	75.00
67270	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/08/09	2014/01/29	75.00
67235	Credit Suisse AG - HSI R Bull CBBC Feb 2014 U	300,000,000	2013/08/09	2014/02/27	75.00
67287	Credit Suisse AG - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/08/09	2014/04/29	75.00
67288	Credit Suisse AG - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/08/09	2014/04/29	75.00
67291	Credit Suisse AG - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/08/09	2014/04/29	75.00
67283	Credit Suisse AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/08/09	2013/11/28	75.00
67286	Credit Suisse AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/08/09	2013/11/28	75.00
67280	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/08/09	2013/11/28	75.00
67236	Credit Suisse AG - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/08/09	2014/02/27	75.00
67237	Credit Suisse AG - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/08/09	2014/02/27	75.00
67239	Credit Suisse AG - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/08/09	2014/02/27	78.00
67243	Credit Suisse AG - HSI R Bear CBBC Feb 2014 G	300,000,000	2013/08/09	2014/02/27	84.00
67245	Credit Suisse AG - HSI R Bear CBBC Feb 2014 H	300,000,000	2013/08/09	2014/02/27	90.00
67246	Credit Suisse AG - HSI R Bear CBBC Feb 2014 I	300,000,000	2013/08/09	2014/02/27	96.00
67301	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 N	150,000,000	2013/08/09	2013/12/30	38.10
67307	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 O	150,000,000	2013/08/09	2013/12/30	38.40
67305	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 C	150,000,000	2013/08/09	2013/12/30	37.65
67228	HK Bank - HSI R Bull CBBC Jan 2014 K	100,000,000	2013/08/09	2014/01/29	25.00
67231	HK Bank - HSI R Bull CBBC Jan 2014 L	100,000,000	2013/08/09	2014/01/29	25.00
67224	HK Bank - HSI R Bull CBBC Apr 2014 B	400,000,000	2013/08/09	2014/04/29	100.00
67319	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 G	300,000,000	2013/08/09	2014/06/27	75.00
67340	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 H	300,000,000	2013/08/09	2014/06/27	75.00
67343	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 I	300,000,000	2013/08/09	2014/06/27	75.00
67329	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/08/09	2013/11/28	75.00
67232	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 G	200,000,000	2013/08/09	2013/12/30	50.00
67233	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 O	200,000,000	2013/08/09	2013/11/28	50.00
67279	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 P	200,000,000	2013/08/09	2013/11/28	50.00
67234	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 A	200,000,000	2013/08/09	2013/12/30	50.00
67298	UBS AG - COVS R Bull CBBC Feb 2014 A	50,000,000	2013/08/09	2014/02/10	18.75
67295	UBS AG - HSI R Bull CBBC Mar 2014 X	300,000,000	2013/08/09	2014/03/28	75.00
67296	UBS AG - HSI R Bull CBBC Mar 2014 Y	300,000,000	2013/08/09	2014/03/28	75.00
67272	UBS AG - HSI R Bear CBBC Nov 2013 G	300,000,000	2013/08/09	2013/11/28	75.00
67273	UBS AG - HSI R Bear CBBC Nov 2013 H	350,000,000	2013/08/09	2013/11/28	87.50
66709 [#]	HK Bank - A50 R Bull CBBC Nov 2013 B	200,000,000	2013/08/09	2013/11/06	26.00
67373	Credit Suisse AG - HSI R Bull CBBC Dec 2013 G	300,000,000	2013/08/12	2013/12/30	75.00
67377	Credit Suisse AG - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/08/12	2013/12/30	75.00
67347	Credit Suisse AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/08/12	2013/12/30	75.00
67366	Credit Suisse AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/08/12	2013/12/30	75.00
67394	J P Morgan SP BV - HSI R Bull CBBC May 2014 G	300,000,000	2013/08/12	2014/05/29	75.00
67392	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 J	300,000,000	2013/08/12	2014/06/27	75.00
67393	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/08/12	2014/01/29	75.00

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67370	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 H	200,000,000	2013/08/12	2013/12/30	50.00
67345	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 Q	200,000,000	2013/08/12	2013/11/28	50.00
67372	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 R	200,000,000	2013/08/12	2013/11/28	50.00
67380	UBS AG - HSI R Bull CBBC Feb 2014 K	300,000,000	2013/08/12	2014/02/27	75.00
67391	UBS AG - HSI R Bull CBBC Dec 2014 C	300,000,000	2013/08/12	2014/12/30	75.00
67369	UBS AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/08/12	2013/12/30	75.00
67423	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 H	300,000,000	2013/08/13	2014/06/27	75.00
67491	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/08/13	2014/01/29	75.00
67495	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/08/13	2014/01/29	75.00
67426	Credit Suisse AG - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/08/13	2014/02/27	75.00
67429	Credit Suisse AG - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/08/13	2014/02/27	75.00
67438	Credit Suisse AG - HSI R Bull CBBC Feb 2014 C	300,000,000	2013/08/13	2014/02/27	75.00
67439	Credit Suisse AG - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/08/13	2014/02/27	75.00
67483	Credit Suisse AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/08/13	2013/12/30	75.00
67472	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/08/13	2013/12/30	75.00
67474	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/08/13	2013/12/30	75.00
67524	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 X	120,000,000	2013/08/13	2013/11/28	30.00
67525	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 S	120,000,000	2013/08/13	2013/11/28	30.00
67521	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 D	150,000,000	2013/08/13	2013/12/30	38.10
67522	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 E	150,000,000	2013/08/13	2013/12/30	37.50
67523	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 F	150,000,000	2013/08/13	2013/12/30	37.80
67413	HK Bank - Hutchison R Bear CBBC Feb 2014 A	50,000,000	2013/08/13	2014/02/07	12.50
67404	HK Bank - TCH R Bull CBBC Mar 2014 B	50,000,000	2013/08/13	2014/03/10	17.50
67467	HK Bank - TCH R Bull CBBC Mar 2014 C	50,000,000	2013/08/13	2014/03/10	15.00
67471	HK Bank - TCH R Bull CBBC Jul 2014 A	50,000,000	2013/08/13	2014/07/18	12.50
67425	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 Y	100,000,000	2013/08/13	2013/11/28	25.00
67487	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 A	100,000,000	2013/08/13	2014/02/27	25.00
67488	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 A	100,000,000	2013/08/13	2014/02/27	25.00
67424	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 I	200,000,000	2013/08/13	2013/12/30	50.00
67446	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 S	200,000,000	2013/08/13	2013/11/28	50.00
67448	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 T	200,000,000	2013/08/13	2013/11/28	50.00
67440	UBS AG - HSI R Bull CBBC Dec 2013 A	300,000,000	2013/08/13	2013/12/30	75.00
67441	UBS AG - HSI R Bull CBBC Feb 2014 M	350,000,000	2013/08/13	2014/02/27	87.50
67442	UBS AG - HSI R Bull CBBC Apr 2014 Z	350,000,000	2013/08/13	2014/04/29	87.50
67505	UBS AG - HSI R Bear CBBC Nov 2013 I	300,000,000	2013/08/13	2013/11/28	75.00
67507	UBS AG - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/08/13	2013/11/28	75.00
67503	UBS AG - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/08/13	2014/01/29	75.00
67520	UBS AG - Hutchison R Bear CBBC Mar 2014 A	100,000,000	2013/08/13	2014/03/31	25.00
67516	UBS AG - Ping An R Bear CBBC Apr 2014 A	100,000,000	2013/08/13	2014/04/07	25.00
67518	UBS AG - TCH R Bull CBBC Jun 2014 E	50,000,000	2013/08/13	2014/06/23	12.50
67578	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 I	300,000,000	2013/08/14	2014/06/27	75.00
67608	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 J	300,000,000	2013/08/14	2014/06/27	75.00
67609	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 K	300,000,000	2013/08/14	2014/06/27	75.00
67611	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/08/14	2014/01/29	75.00
67698	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/08/14	2014/01/29	75.00

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67700	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/08/14	2014/01/29	75.00
67701	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/08/14	2014/01/29	75.00
67702	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/08/14	2014/01/29	75.00
67625	Credit Suisse AG - HSI R Bull CBBC Jan 2014 C	300,000,000	2013/08/14	2014/01/29	75.00
67582	Credit Suisse AG - HSI R Bull CBBC Jan 2014 I	300,000,000	2013/08/14	2014/01/29	75.00
67584	Credit Suisse AG - HSI R Bull CBBC Jan 2014 P	300,000,000	2013/08/14	2014/01/29	75.00
67624	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Q	300,000,000	2013/08/14	2014/01/29	75.00
67688	Credit Suisse AG - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/08/14	2013/11/28	75.00
67685	Credit Suisse AG - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/08/14	2013/11/28	75.00
67686	Credit Suisse AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/08/14	2013/11/28	75.00
67681	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/08/14	2013/11/28	75.00
67653	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 A	120,000,000	2013/08/14	2013/11/28	30.00
67694	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 K	120,000,000	2013/08/14	2013/11/28	30.00
67635	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 Y	120,000,000	2013/08/14	2013/11/28	30.00
67697	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 T	120,000,000	2013/08/14	2013/11/28	30.00
67722	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 G	150,000,000	2013/08/14	2013/12/30	37.95
67729	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 H	150,000,000	2013/08/14	2013/12/30	38.85
67731	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 I	150,000,000	2013/08/14	2013/12/30	37.50
67734	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 J	150,000,000	2013/08/14	2013/12/30	37.65
67737	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 K	150,000,000	2013/08/14	2013/12/30	37.65
67530	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2014 D	80,000,000	2013/08/14	2014/01/13	20.00
67526	HK Bank - A50 R Bull CBBC Mar 2014 B	100,000,000	2013/08/14	2014/03/10	25.00
67565	HK Bank - HKEx R Bear CBBC Mar 2014 A	50,000,000	2013/08/14	2014/03/17	12.50
67566	HK Bank - HSI R Bear CBBC Jan 2014 A	100,000,000	2013/08/14	2014/01/29	25.00
67557	HK Bank - Hutchison R Bull CBBC Feb 2014 A	60,000,000	2013/08/14	2014/02/24	15.00
67531	HK Bank - TCH R Bear CBBC Apr 2014 A	50,000,000	2013/08/14	2014/04/07	38.50
67540	HK Bank - TCH R Bear CBBC Apr 2014 B	50,000,000	2013/08/14	2014/04/14	43.50
67740	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/08/14	2014/04/29	75.00
67750	J P Morgan SP BV - HSI R Bull CBBC May 2014 H	300,000,000	2013/08/14	2014/05/29	75.00
67752	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 K	300,000,000	2013/08/14	2014/06/27	75.00
67766	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/08/14	2013/11/28	75.00
67770	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/08/14	2013/11/28	75.00
67753	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/08/14	2013/11/28	75.00
67758	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/08/14	2013/11/28	75.00
67761	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/08/14	2013/11/28	75.00
67764	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/08/14	2013/11/28	75.00
67576	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 D	100,000,000	2013/08/14	2014/01/29	25.00
67567	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 J	200,000,000	2013/08/14	2013/12/30	50.00
67720	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 K	200,000,000	2013/08/14	2013/12/30	50.00
67573	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 U	200,000,000	2013/08/14	2013/11/28	50.00
67574	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 V	200,000,000	2013/08/14	2013/11/28	50.00
67721	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 W	200,000,000	2013/08/14	2013/11/28	50.00
67581	UBS AG - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/08/14	2014/02/27	75.00
67579	UBS AG - HSI R Bull CBBC Mar 2014 E	300,000,000	2013/08/14	2014/03/28	75.00
67623	UBS AG - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/08/14	2014/03/28	75.00

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67692	UBS AG - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/08/14	2013/11/28	75.00
67689	UBS AG - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/08/14	2013/11/28	75.00
67691	UBS AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/08/14	2013/12/30	75.00
67690	UBS AG - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/08/14	2014/01/29	75.00
67620	UBS AG - TCH R Bear CBBC Dec 2013 F	50,000,000	2013/08/14	2013/12/16	12.50
67890	Credit Suisse AG - HSI R Bull CBBC Dec 2013 F	300,000,000	2013/08/15	2013/12/30	75.00
67886	Credit Suisse AG - HSI R Bull CBBC Dec 2013 Z	300,000,000	2013/08/15	2013/12/30	75.00
67841	Credit Suisse AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/08/15	2013/12/30	75.00
67843	Credit Suisse AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/08/15	2013/12/30	75.00
67846	Credit Suisse AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/08/15	2013/12/30	75.00
67910	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 U	120,000,000	2013/08/15	2013/11/28	30.00
67911	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 V	120,000,000	2013/08/15	2013/11/28	30.00
67912	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 P	150,000,000	2013/08/15	2013/12/30	38.55
67914	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 L	150,000,000	2013/08/15	2013/12/30	37.65
67789	HK Bank - Sinopec Corp R Bear CBBC Apr 2014 A	40,000,000	2013/08/15	2014/04/14	10.00
67788	HK Bank - China Shenhua R Bear CBBC Mar 2014 B	40,000,000	2013/08/15	2014/03/24	18.00
67777	HK Bank - HSI R Bull CBBC Feb 2014 G	100,000,000	2013/08/15	2014/02/27	25.00
67772	HK Bank - HSI R Bear CBBC Jan 2014 B	100,000,000	2013/08/15	2014/01/29	25.00
67780	HK Bank - HSI R Bear CBBC Jan 2014 C	100,000,000	2013/08/15	2014/01/29	25.00
67786	HK Bank - HSI R Bear CBBC Jan 2014 D	100,000,000	2013/08/15	2014/01/29	25.00
67781	HK Bank - HSI R Bear CBBC Feb 2014 C	100,000,000	2013/08/15	2014/02/27	25.00
67805	HK Bank - Lenovo R Bull CBBC Mar 2014 A	60,000,000	2013/08/15	2014/03/24	15.00
67797	HK Bank - PetCh R Bear CBBC Apr 2014 A	40,000,000	2013/08/15	2014/04/14	10.00
67804	HK Bank - SHK Ppt R Bear CBBC Feb 2014 A	50,000,000	2013/08/15	2014/02/06	12.50
67918	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/08/15	2014/04/29	75.00
67923	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/08/15	2013/11/28	75.00
67929	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/08/15	2013/11/28	75.00
67920	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/08/15	2014/01/29	75.00
67925	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/08/15	2014/01/29	75.00
67847	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 E	100,000,000	2013/08/15	2014/01/29	25.00
67851	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 F	100,000,000	2013/08/15	2014/01/29	25.00
67879	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 B	100,000,000	2013/08/15	2014/02/27	25.00
67880	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 L	200,000,000	2013/08/15	2013/12/30	50.00
67881	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 X	200,000,000	2013/08/15	2013/11/28	50.00
67906	UBS AG - HSI R Bull CBBC Dec 2013 M	300,000,000	2013/08/15	2013/12/30	75.00
67908	UBS AG - HSI R Bull CBBC Dec 2013 N	300,000,000	2013/08/15	2013/12/30	75.00
67809	UBS AG - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/08/15	2014/01/29	75.00
67808	UBS AG - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/08/15	2014/02/27	75.00
67909	UBS AG - Hutchison R Bull CBBC Feb 2014 B	100,000,000	2013/08/15	2014/02/17	25.00
67903	UBS AG - TCH R Bull CBBC Jun 2014 F	50,000,000	2013/08/15	2014/06/09	17.50
67938	Credit Suisse AG - HSI R Bull CBBC Jan 2014 M	300,000,000	2013/08/16	2014/01/29	75.00
67939	Credit Suisse AG - HSI R Bull CBBC Jan 2014 O	300,000,000	2013/08/16	2014/01/29	75.00
67933	Credit Suisse AG - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/08/16	2014/01/29	75.00
67934	Credit Suisse AG - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/08/16	2014/01/29	75.00
67949	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 Q	150,000,000	2013/08/16	2013/12/30	37.50

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67950	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 R	150,000,000	2013/08/16	2013/12/30	37.50
67931	HK Bank - TCH R Bear CBBC Jan 2014 C	50,000,000	2013/08/16	2014/01/20	18.00
67948	UBS AG - HSI R Bull CBBC Dec 2013 T	350,000,000	2013/08/16	2013/12/30	87.50
67941	UBS AG - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/08/16	2014/02/27	75.00
67935	UBS AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/08/16	2013/11/28	75.00
67937	UBS AG - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/08/16	2013/11/28	75.00
67990	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 L	300,000,000	2013/08/19	2014/06/27	75.00
67991	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/08/19	2014/06/27	75.00
68087	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 N	300,000,000	2013/08/19	2014/06/27	75.00
67952	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/08/19	2014/01/29	75.00
67953	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/08/19	2014/01/29	75.00
68073	Credit Suisse AG - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/08/19	2014/03/28	75.00
68074	Credit Suisse AG - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/08/19	2014/03/28	75.00
68078	Credit Suisse AG - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/08/19	2014/03/28	75.00
68085	Credit Suisse AG - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/08/19	2014/03/28	75.00
67957	Credit Suisse AG - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/08/19	2013/11/28	75.00
67973	Credit Suisse AG - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/08/19	2013/11/28	75.00
68059	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/08/19	2013/11/28	75.00
67970	Credit Suisse AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/08/19	2013/11/28	75.00
67972	Credit Suisse AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/08/19	2013/11/28	75.00
68060	Credit Suisse AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/08/19	2014/02/27	75.00
68062	Credit Suisse AG - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/08/19	2014/02/27	75.00
68065	Credit Suisse AG - HSI R Bear CBBC Feb 2014 L	300,000,000	2013/08/19	2014/02/27	75.00
68066	Credit Suisse AG - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/08/19	2014/02/27	87.00
68068	Credit Suisse AG - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/08/19	2014/02/27	93.00
68069	Credit Suisse AG - HSI R Bear CBBC Feb 2014 O	300,000,000	2013/08/19	2014/02/27	102.00
68090	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 S	150,000,000	2013/08/19	2013/12/30	37.50
68091	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 T	150,000,000	2013/08/19	2013/12/30	37.50
68094	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 U	150,000,000	2013/08/19	2013/12/30	37.95
68095	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 V	150,000,000	2013/08/19	2013/12/30	37.95
68097	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 W	150,000,000	2013/08/19	2013/12/30	38.40
68105	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/08/19	2014/04/29	75.00
68128	J P Morgan SP BV - HSI R Bull CBBC May 2014 I	300,000,000	2013/08/19	2014/05/29	75.00
68098	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 L	300,000,000	2013/08/19	2014/06/27	75.00
68111	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/08/19	2014/06/27	75.00
67981	Standard Chartered Bank - HSI R Bull CBBC Nov 2013 Z	100,000,000	2013/08/19	2013/11/28	25.00
68133	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 B	100,000,000	2013/08/19	2014/02/27	25.00
67983	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 C	100,000,000	2013/08/19	2014/02/27	25.00
67986	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 M	200,000,000	2013/08/19	2013/12/30	50.00
67987	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 N	200,000,000	2013/08/19	2013/12/30	50.00
68086	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 O	200,000,000	2013/08/19	2013/12/30	50.00
67951	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 Y	200,000,000	2013/08/19	2013/11/28	50.00
67998	UBS AG - HSCEI R Bull CBBC Jul 2014 A	100,000,000	2013/08/19	2014/07/30	25.00
68057	UBS AG - HSI R Bull CBBC Dec 2013 Y	300,000,000	2013/08/19	2013/12/30	75.00
68035	UBS AG - HSI R Bull CBBC Jan 2014 R	300,000,000	2013/08/19	2014/01/29	75.00

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68036	UBS AG - HSI R Bull CBBC Jan 2014 S	300,000,000	2013/08/19	2014/01/29	75.00
68044	UBS AG - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/08/19	2014/02/27	75.00
67978	UBS AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/08/19	2013/12/30	75.00
67979	UBS AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/08/19	2013/12/30	75.00
67993	UBS AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/08/19	2013/12/30	75.00
67974	UBS AG - HSI R Bear CBBC Feb 2014 O	350,000,000	2013/08/19	2014/02/27	87.50
68013	UBS AG - Hutchison R Bull CBBC Jun 2014 B	100,000,000	2013/08/19	2014/06/23	25.00
68004	UBS AG - Hutchison R Bear CBBC Aug 2014 A	100,000,000	2013/08/19	2014/08/11	25.00
68000	UBS AG - Ping An R Bull CBBC Jun 2014 B	100,000,000	2013/08/19	2014/06/09	25.00
68003	UBS AG - TCH R Bear CBBC Feb 2014 B	50,000,000	2013/08/19	2014/02/24	27.00
68211	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2014 A	200,000,000	2013/08/20	2014/06/27	50.00
68330	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/08/20	2014/06/27	75.00
68335	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 P	300,000,000	2013/08/20	2014/06/27	75.00
68384	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 Q	300,000,000	2013/08/20	2014/06/27	75.00
68391	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 R	300,000,000	2013/08/20	2014/06/27	75.00
68392	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 S	300,000,000	2013/08/20	2014/06/27	75.00
68470	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 T	300,000,000	2013/08/20	2014/06/27	75.00
68401	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/08/20	2014/01/29	75.00
68408	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/08/20	2014/01/29	75.00
68414	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/08/20	2014/01/29	75.00
68415	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/08/20	2014/01/29	75.00
68205	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/08/20	2014/01/29	75.00
68210	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/08/20	2014/01/29	75.00
68467	Credit Suisse AG - HSI R Bull CBBC Dec 2013 H	300,000,000	2013/08/20	2013/12/30	75.00
68468	Credit Suisse AG - HSI R Bull CBBC Dec 2013 I	300,000,000	2013/08/20	2013/12/30	75.00
68473	Credit Suisse AG - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/08/20	2014/04/29	75.00
68479	Credit Suisse AG - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/08/20	2014/04/29	75.00
68471	Credit Suisse AG - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/08/20	2014/04/29	75.00
68472	Credit Suisse AG - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/08/20	2014/04/29	75.00
68469	Credit Suisse AG - HSI R Bull CBBC Apr 2014 X	300,000,000	2013/08/20	2014/04/29	75.00
68187	Credit Suisse AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/08/20	2013/11/28	75.00
68182	Credit Suisse AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/08/20	2013/11/28	75.00
68466	Credit Suisse AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/08/20	2013/12/30	75.00
68418	Credit Suisse AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/08/20	2013/12/30	75.00
68417	Credit Suisse AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/08/20	2013/12/30	75.00
68446	Credit Suisse AG - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/08/20	2013/12/30	75.00
68459	Credit Suisse AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/08/20	2013/12/30	75.00
68464	Credit Suisse AG - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/08/20	2013/12/30	75.00
68546	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 C	120,000,000	2013/08/20	2013/11/28	30.00
68553	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 D	120,000,000	2013/08/20	2013/11/28	30.00
68545	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 Z	120,000,000	2013/08/20	2013/11/28	30.00
68248	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 W	120,000,000	2013/08/20	2013/11/28	30.00
68512	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 X	150,000,000	2013/08/20	2013/12/30	37.50
68513	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 Y	150,000,000	2013/08/20	2013/12/30	38.10
68514	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 Z	150,000,000	2013/08/20	2013/12/30	38.85

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68160	HK Bank - Anhui Conch R Bull CBBC Nov 2014 A	50,000,000	2013/08/20	2014/11/17	12.50
68154	HK Bank - COVS R Bull CBBC Mar 2014 A	50,000,000	2013/08/20	2014/03/31	15.50
68153	HK Bank - A50 R Bull CBBC Mar 2014 C	100,000,000	2013/08/20	2014/03/03	25.00
68168	HK Bank - Galaxy Ent R Bull CBBC May 2014 A	50,000,000	2013/08/20	2014/05/19	17.00
68162	HK Bank - HKEX R Bull CBBC Mar 2014 A	60,000,000	2013/08/20	2014/03/17	15.00
68141	HK Bank - HSI R Bull CBBC Jan 2014 M	100,000,000	2013/08/20	2014/01/29	25.00
68150	HK Bank - HSI R Bull CBBC Jan 2014 N	100,000,000	2013/08/20	2014/01/29	25.00
68148	HK Bank - HSI R Bull CBBC Feb 2014 H	100,000,000	2013/08/20	2014/02/27	25.00
68152	HK Bank - HSI R Bull CBBC Mar 2014 C	400,000,000	2013/08/20	2014/03/28	100.00
68156	HK Bank - Sands China R Bull CBBC Apr 2014 B	60,000,000	2013/08/20	2014/04/07	15.00
68540	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 D	300,000,000	2013/08/20	2014/04/29	75.00
68538	J P Morgan SP BV - HSI R Bull CBBC May 2014 J	300,000,000	2013/08/20	2014/05/29	75.00
68542	J P Morgan SP BV - HSI R Bull CBBC May 2014 K	300,000,000	2013/08/20	2014/05/29	75.00
68539	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 N	300,000,000	2013/08/20	2014/06/27	75.00
68544	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/08/20	2014/06/27	75.00
68517	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/08/20	2013/11/28	75.00
68530	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/08/20	2013/11/28	75.00
68515	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/08/20	2014/01/29	75.00
68516	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/08/20	2014/01/29	75.00
68304	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 C	100,000,000	2013/08/20	2014/02/27	25.00
68511	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 D	100,000,000	2013/08/20	2014/02/27	25.00
68305	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 D	100,000,000	2013/08/20	2014/02/27	25.00
68177	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 P	200,000,000	2013/08/20	2013/12/30	50.00
68252	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 Q	200,000,000	2013/08/20	2013/12/30	50.00
68275	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 R	200,000,000	2013/08/20	2013/12/30	50.00
68282	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 B	200,000,000	2013/08/20	2013/11/28	50.00
68283	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 C	200,000,000	2013/08/20	2013/11/28	50.00
68286	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 F	200,000,000	2013/08/20	2013/11/28	50.00
68325	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 I	200,000,000	2013/08/20	2013/11/28	50.00
68178	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 Z	200,000,000	2013/08/20	2013/11/28	50.00
68291	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 B	200,000,000	2013/08/20	2013/12/30	50.00
68303	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 C	200,000,000	2013/08/20	2013/12/30	50.00
68324	UBS AG - COVS R Bear CBBC May 2014 A	50,000,000	2013/08/20	2014/05/19	22.75
68323	UBS AG - China Life R Bull CBBC Jul 2014 B	100,000,000	2013/08/20	2014/07/21	25.00
68320	UBS AG - HSCEI R Bull CBBC Jun 2014 E	100,000,000	2013/08/20	2014/06/27	25.00
68490	UBS AG - HSI R Bull CBBC Nov 2013 X	300,000,000	2013/08/20	2013/11/28	75.00
68503	UBS AG - HSI R Bull CBBC Jan 2014 T	300,000,000	2013/08/20	2014/01/29	75.00
68502	UBS AG - HSI R Bull CBBC Feb 2014 L	300,000,000	2013/08/20	2014/02/27	75.00
68508	UBS AG - HSI R Bull CBBC Apr 2014 C	300,000,000	2013/08/20	2014/04/29	75.00
68510	UBS AG - HSI R Bull CBBC Apr 2014 D	300,000,000	2013/08/20	2014/04/29	75.00
68487	UBS AG - HSI R Bull CBBC Jun 2014 L	300,000,000	2013/08/20	2014/06/27	75.00
68213	UBS AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/08/20	2013/11/28	75.00
68317	UBS AG - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/08/20	2013/11/28	75.00
68310	UBS AG - HSI R Bear CBBC Dec 2013 L	300,000,000	2013/08/20	2013/12/30	75.00
68316	UBS AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/08/20	2013/12/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68218	UBS AG - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/08/20	2014/01/29	75.00
68313	UBS AG - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/08/20	2014/01/29	75.00
68315	UBS AG - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/08/20	2014/01/29	75.00
68309	UBS AG - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/08/20	2014/02/27	84.00
68314	UBS AG - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/08/20	2014/02/27	75.00
68306	UBS AG - HSI R Bear CBBC Mar 2014 A	300,000,000	2013/08/20	2014/03/28	114.00
68307	UBS AG - HSI R Bear CBBC Mar 2014 B	300,000,000	2013/08/20	2014/03/28	99.00
68311	UBS AG - HSI R Bear CBBC Jun 2014 A	300,000,000	2013/08/20	2014/06/27	75.00
68593	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 U	300,000,000	2013/08/22	2014/06/27	75.00
68594	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/08/22	2014/01/29	75.00
68705	Credit Suisse AG - HSI R Bull CBBC Mar 2014 A	300,000,000	2013/08/22	2014/03/28	75.00
68704	Credit Suisse AG - HSI R Bull CBBC Mar 2014 V	300,000,000	2013/08/22	2014/03/28	75.00
68706	Credit Suisse AG - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/08/22	2014/03/28	75.00
68707	Credit Suisse AG - HSI R Bull CBBC Mar 2014 X	300,000,000	2013/08/22	2014/03/28	75.00
68708	Credit Suisse AG - HSI R Bull CBBC Mar 2014 Y	300,000,000	2013/08/22	2014/03/28	75.00
68592	Credit Suisse AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/08/22	2013/11/28	75.00
68730	Credit Suisse AG - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/08/22	2013/11/28	75.00
68777	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 B	120,000,000	2013/08/22	2013/11/28	30.00
68762	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 F	120,000,000	2013/08/22	2013/11/28	30.00
68778	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 X	120,000,000	2013/08/22	2013/11/28	30.00
68787	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 Y	120,000,000	2013/08/22	2013/11/28	30.00
68597	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 M	150,000,000	2013/08/22	2013/12/30	38.25
68603	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 N	150,000,000	2013/08/22	2013/12/30	38.55
68608	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 O	150,000,000	2013/08/22	2013/12/30	38.55
68610	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 P	150,000,000	2013/08/22	2013/12/30	37.80
68613	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 Q	150,000,000	2013/08/22	2013/12/30	37.95
68579	HK Bank - COVS R Bear CBBC Mar 2014 B	40,000,000	2013/08/22	2014/03/31	18.00
68577	HK Bank - CC Bank R Bull CBBC Mar 2014 A	80,000,000	2013/08/22	2014/03/10	20.00
68581	HK Bank - Minsheng Bank R Bull CBBC Mar 2014 B	40,000,000	2013/08/22	2014/03/10	10.00
68578	HK Bank - China Shenhua R Bull CBBC Mar 2014 D	60,000,000	2013/08/22	2014/03/03	30.00
68554	HK Bank - HSI R Bull CBBC Feb 2014 I	100,000,000	2013/08/22	2014/02/27	25.00
68560	HK Bank - HSI R Bear CBBC Jan 2014 E	100,000,000	2013/08/22	2014/01/29	25.00
68561	HK Bank - HSI R Bear CBBC Feb 2014 D	100,000,000	2013/08/22	2014/02/27	25.00
68565	HK Bank - HSI R Bear CBBC Mar 2014 B	100,000,000	2013/08/22	2014/03/28	25.00
68568	HK Bank - HSI R Bear CBBC Apr 2014 A	100,000,000	2013/08/22	2014/04/29	25.00
68589	HK Bank - Sands China R Bear CBBC Apr 2014 A	50,000,000	2013/08/22	2014/04/07	12.50
68745	J P Morgan SP BV - HSI R Bull CBBC May 2014 L	300,000,000	2013/08/22	2014/05/29	75.00
68750	J P Morgan SP BV - HSI R Bull CBBC May 2014 M	300,000,000	2013/08/22	2014/05/29	75.00
68739	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 P	300,000,000	2013/08/22	2014/06/27	75.00
68746	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 Q	300,000,000	2013/08/22	2014/06/27	75.00
68755	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/08/22	2013/11/28	75.00
68757	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/08/22	2014/01/29	75.00
68620	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 S	200,000,000	2013/08/22	2013/12/30	50.00
68621	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 T	200,000,000	2013/08/22	2013/12/30	50.00
68628	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 K	200,000,000	2013/08/22	2013/11/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68671	UBS AG - Anhui Conch R Bull CBBC Dec 2014 C	100,000,000	2013/08/22	2014/12/15	25.00
68649	UBS AG - CC Bank R Bull CBBC Apr 2014 B	100,000,000	2013/08/22	2014/04/28	25.00
68647	UBS AG - CC Bank R Bear CBBC Feb 2014 A	100,000,000	2013/08/22	2014/02/24	25.00
68633	UBS AG - Minsheng Bank R Bull CBBC Feb 2014 A	50,000,000	2013/08/22	2014/02/17	12.50
68680	UBS AG - CNOOC R Bull CBBC Jun 2014 A	100,000,000	2013/08/22	2014/06/09	25.00
68702	UBS AG - Sinopec Corp R Bull CBBC Jun 2014 A	100,000,000	2013/08/22	2014/06/16	25.00
68632	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2014 B	100,000,000	2013/08/22	2014/04/07	25.00
68629	UBS AG - A50 China R Bull CBBC Feb 2014 D	100,000,000	2013/08/22	2014/02/24	25.00
68703	UBS AG - Galaxy Ent R Bull CBBC Mar 2014 B	30,000,000	2013/08/22	2014/03/24	11.85
68700	UBS AG - HKEx R Bull CBBC Jun 2014 C	100,000,000	2013/08/22	2014/06/16	25.00
68724	UBS AG - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/08/22	2014/02/27	75.00
68729	UBS AG - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/08/22	2014/02/27	75.00
68723	UBS AG - HSI R Bull CBBC Mar 2014 A	300,000,000	2013/08/22	2014/03/28	75.00
68722	UBS AG - HSI R Bull CBBC Mar 2014 Z	300,000,000	2013/08/22	2014/03/28	75.00
68596	UBS AG - HSI R Bear CBBC Nov 2013 W	350,000,000	2013/08/22	2013/11/28	87.50
68595	UBS AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/08/22	2013/12/30	75.00
68637	UBS AG - ICBC R Bull CBBC Feb 2014 C	100,000,000	2013/08/22	2014/02/24	25.00
68636	UBS AG - ICBC R Bear CBBC Apr 2014 A	100,000,000	2013/08/22	2014/04/14	25.00
68635	UBS AG - Sands China R Bull CBBC Jul 2014 B	100,000,000	2013/08/22	2014/07/14	25.00
68681	UBS AG - TCH R Bull CBBC Jun 2014 G	50,000,000	2013/08/22	2014/06/23	12.50
68956	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 V	300,000,000	2013/08/23	2014/06/27	75.00
68945	Credit Suisse AG - HSI R Bull CBBC Jan 2014 A	300,000,000	2013/08/23	2014/01/29	75.00
68940	Credit Suisse AG - HSI R Bull CBBC Jan 2014 R	300,000,000	2013/08/23	2014/01/29	75.00
68906	Credit Suisse AG - HSI R Bull CBBC Jan 2014 V	300,000,000	2013/08/23	2014/01/29	75.00
68930	Credit Suisse AG - HSI R Bull CBBC Jan 2014 W	300,000,000	2013/08/23	2014/01/29	75.00
68888	Credit Suisse AG - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/08/23	2013/11/28	75.00
68889	Credit Suisse AG - HSI R Bear CBBC Nov 2013 V	300,000,000	2013/08/23	2013/11/28	75.00
69050	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/08/23	2013/11/28	75.00
69051	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 A	150,000,000	2013/08/23	2013/12/30	38.40
69053	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2013 L	150,000,000	2013/08/23	2013/12/30	38.25
69059	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 R	150,000,000	2013/08/23	2013/12/30	37.95
69064	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2013 S	150,000,000	2013/08/23	2013/12/30	47.25
69068	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 A	150,000,000	2013/08/23	2014/01/29	38.25
68851	HK Bank - AIA R Bear CBBC Mar 2014 A	50,000,000	2013/08/23	2014/03/17	15.00
68852	HK Bank - China Mobile R Bear CBBC Mar 2014 A	50,000,000	2013/08/23	2014/03/28	12.50
68844	HK Bank - Minsheng Bank R Bull CBBC Apr 2014 A	40,000,000	2013/08/23	2014/04/28	12.80
68848	HK Bank - CNBM R Bull CBBC Jun 2014 A	50,000,000	2013/08/23	2014/06/23	12.50
68849	HK Bank - CNBM R Bear CBBC Mar 2014 B	50,000,000	2013/08/23	2014/03/18	12.50
68789	HK Bank - HSCEI R Bull CBBC Feb 2014 B	100,000,000	2013/08/23	2014/02/27	25.00
68809	HK Bank - HSI R Bear CBBC Jan 2014 F	100,000,000	2013/08/23	2014/01/29	25.00
68839	HK Bank - HSI R Bear CBBC Feb 2014 E	100,000,000	2013/08/23	2014/02/27	25.00
68803	HK Bank - HSI R Bear CBBC Mar 2014 C	400,000,000	2013/08/23	2014/03/28	100.00
68804	HK Bank - HSI R Bear CBBC Apr 2014 B	400,000,000	2013/08/23	2014/04/29	100.00
68808	HK Bank - HSI R Bear CBBC May 2014 A	400,000,000	2013/08/23	2014/05/29	100.00
68947	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 E	100,000,000	2013/08/23	2014/02/27	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68863	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 E	100,000,000	2013/08/23	2014/02/27	25.00
69018	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb14 A	200,000,000	2013/08/23	2014/02/27	50.00
69019	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 A	200,000,000	2013/08/23	2013/11/28	50.00
69017	UBS AG - A50 China R Bear CBBC Apr 2014 A	100,000,000	2013/08/23	2014/04/28	25.00
68976	UBS AG - HSI R Bull CBBC Jan 2014 U	300,000,000	2013/08/23	2014/01/29	75.00
68979	UBS AG - HSI R Bull CBBC Jan 2014 V	300,000,000	2013/08/23	2014/01/29	75.00
68978	UBS AG - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/08/23	2014/06/27	75.00
69033	UBS AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/08/23	2013/12/30	75.00
68864	UBS AG - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/08/23	2014/01/29	75.00
69016	UBS AG - Lenovo R Bull CBBC Mar 2014 A	100,000,000	2013/08/23	2014/03/24	25.00
69086	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/08/26	2014/01/29	75.00
69087	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/08/26	2014/01/29	75.00
69088	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/08/26	2014/01/29	75.00
69089	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/08/26	2014/01/29	75.00
69077	Credit Suisse AG - HSI R Bull CBBC Feb 2014 F	300,000,000	2013/08/26	2014/02/27	75.00
69090	Credit Suisse AG - HSI R Bull CBBC Feb 2014 W	300,000,000	2013/08/26	2014/02/27	75.00
69127	Credit Suisse AG - HSI R Bear CBBC Nov 2013 F	300,000,000	2013/08/26	2013/11/28	75.00
69124	Credit Suisse AG - HSI R Bear CBBC Nov 2013 P	300,000,000	2013/08/26	2013/11/28	75.00
69149	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 G	120,000,000	2013/08/26	2013/11/28	30.00
69143	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 Z	120,000,000	2013/08/26	2013/11/28	30.00
69138	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 B	150,000,000	2013/08/26	2014/01/29	43.35
69139	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 C	150,000,000	2013/08/26	2014/01/29	41.55
69140	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 D	150,000,000	2013/08/26	2014/01/29	42.75
69141	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 E	150,000,000	2013/08/26	2014/01/29	42.75
69142	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 F	150,000,000	2013/08/26	2014/01/29	41.85
69080	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 P	200,000,000	2013/08/26	2013/11/28	50.00
69084	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 S	200,000,000	2013/08/26	2013/11/28	50.00
69085	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 T	200,000,000	2013/08/26	2013/11/28	50.00
69079	UBS AG - HSI R Bull CBBC May 2014 Q	300,000,000	2013/08/26	2014/05/29	75.00
69128	UBS AG - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/08/26	2014/01/29	75.00
69391	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/08/27	2014/06/27	75.00
69221	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 W	300,000,000	2013/08/27	2014/06/27	75.00
69222	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 X	300,000,000	2013/08/27	2014/06/27	75.00
69227	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/08/27	2014/06/27	75.00
69228	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/08/27	2014/06/27	75.00
69402	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/08/27	2014/01/29	75.00
69481	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/08/27	2014/01/29	75.00
69482	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/08/27	2014/01/29	75.00
69490	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/08/27	2014/01/29	75.00
69499	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/08/27	2014/01/29	75.00
69394	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/08/27	2014/01/29	75.00
69401	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/08/27	2014/01/29	75.00
69280	Credit Suisse AG - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/08/27	2014/04/29	75.00
69281	Credit Suisse AG - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/08/27	2014/04/29	75.00
69274	Credit Suisse AG - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/08/27	2014/04/29	75.00

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69317	Credit Suisse AG - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/08/27	2014/04/29	75.00
69318	Credit Suisse AG - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/08/27	2014/04/29	75.00
69418	Credit Suisse AG - HSI R Bull CBBC Apr 2014 X	300,000,000	2013/08/27	2014/04/29	75.00
69450	Credit Suisse AG - HSI R Bear CBBC Nov 2013 A	300,000,000	2013/08/27	2013/11/28	75.00
69421	Credit Suisse AG - HSI R Bear CBBC Nov 2013 H	300,000,000	2013/08/27	2013/11/28	75.00
69432	Credit Suisse AG - HSI R Bear CBBC Nov 2013 J	300,000,000	2013/08/27	2013/11/28	75.00
69443	Credit Suisse AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/08/27	2013/11/28	75.00
69449	Credit Suisse AG - HSI R Bear CBBC Nov 2013 S	300,000,000	2013/08/27	2013/11/28	75.00
69446	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/08/27	2013/11/28	75.00
69500	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 F	120,000,000	2013/08/27	2013/11/28	30.00
69504	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 H	120,000,000	2013/08/27	2013/11/28	30.00
69622	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 G	150,000,000	2013/08/27	2014/01/29	38.85
69623	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 H	150,000,000	2013/08/27	2014/01/29	39.60
69663	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 I	150,000,000	2013/08/27	2014/01/29	39.15
69664	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 J	150,000,000	2013/08/27	2014/01/29	38.70
69683	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 K	150,000,000	2013/08/27	2014/01/29	38.40
69205	HK Bank - CNOOC R Bull CBBC Apr 2014 A	60,000,000	2013/08/27	2014/04/28	15.00
69202	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2014 C	80,000,000	2013/08/27	2014/03/03	20.00
69180	HK Bank - A50 R Bull CBBC Mar 2014 D	100,000,000	2013/08/27	2014/03/17	25.00
69179	HK Bank - HSCEI R Bull CBBC Jan 2014 C	100,000,000	2013/08/27	2014/01/29	25.00
69173	HK Bank - HSI R Bear CBBC Feb 2014 F	100,000,000	2013/08/27	2014/02/27	25.00
69178	HK Bank - HSI R Bear CBBC Jun 2014 A	100,000,000	2013/08/27	2014/06/27	25.00
69204	HK Bank - PetCh R Bull CBBC Apr 2014 A	60,000,000	2013/08/27	2014/04/14	15.00
69520	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/08/27	2013/11/28	75.00
69523	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/08/27	2013/11/28	75.00
69566	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 T	300,000,000	2013/08/27	2013/11/28	75.00
69507	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/08/27	2014/01/29	75.00
69521	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/08/27	2014/01/29	75.00
69219	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 F	100,000,000	2013/08/27	2014/02/27	25.00
69220	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 G	100,000,000	2013/08/27	2014/02/27	25.00
69288	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 H	100,000,000	2013/08/27	2014/02/27	25.00
69419	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 I	100,000,000	2013/08/27	2014/02/27	25.00
69315	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 F	100,000,000	2013/08/27	2014/02/27	25.00
69584	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 G	100,000,000	2013/08/27	2014/02/27	25.00
69609	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 H	100,000,000	2013/08/27	2014/02/27	25.00
69290	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec13 U	200,000,000	2013/08/27	2013/12/30	50.00
69292	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 D	200,000,000	2013/08/27	2013/11/28	50.00
69302	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 U	200,000,000	2013/08/27	2013/11/28	50.00
69480	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov13 V	200,000,000	2013/08/27	2013/11/28	50.00
69414	UBS AG - Cheung Kong R Bull CBBC Feb 2014 A	100,000,000	2013/08/27	2014/02/17	25.00
69406	UBS AG - CNBM R Bull CBBC Jan 2014 A	50,000,000	2013/08/27	2014/01/20	12.50
69287	UBS AG - HSI R Bull CBBC Apr 2014 B	300,000,000	2013/08/27	2014/04/29	75.00
69285	UBS AG - HSI R Bull CBBC Apr 2014 E	300,000,000	2013/08/27	2014/04/29	75.00
69286	UBS AG - HSI R Bull CBBC May 2014 R	350,000,000	2013/08/27	2014/05/29	87.50
69284	UBS AG - HSI R Bull CBBC Jun 2014 N	300,000,000	2013/08/27	2014/06/27	75.00

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69405	UBS AG - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/08/27	2014/06/27	75.00
69466	UBS AG - HSI R Bear CBBC Nov 2013 N	300,000,000	2013/08/27	2013/11/28	75.00
69457	UBS AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/08/27	2013/11/28	75.00
69463	UBS AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/08/27	2013/12/30	75.00
69452	UBS AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/08/27	2013/12/30	75.00
69456	UBS AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/08/27	2013/12/30	75.00
69702	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/08/28	2014/06/27	75.00
69703	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/08/28	2014/06/27	75.00
69707	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 G	300,000,000	2013/08/28	2014/06/27	75.00
69755	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/08/28	2014/01/29	75.00
69757	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/08/28	2014/01/29	75.00
69758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/08/28	2014/01/29	75.00
69769	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/08/28	2014/01/29	75.00
69720	Credit Suisse AG - HSI R Bull CBBC Mar 2014 G	300,000,000	2013/08/28	2014/03/28	75.00
69725	Credit Suisse AG - HSI R Bull CBBC Mar 2014 H	300,000,000	2013/08/28	2014/03/28	75.00
69726	Credit Suisse AG - HSI R Bull CBBC Mar 2014 J	300,000,000	2013/08/28	2014/03/28	75.00
69727	Credit Suisse AG - HSI R Bull CBBC Mar 2014 K	300,000,000	2013/08/28	2014/03/28	75.00
69805	Credit Suisse AG - HSI R Bear CBBC Nov 2013 B	300,000,000	2013/08/28	2013/11/28	75.00
69799	Credit Suisse AG - HSI R Bear CBBC Nov 2013 O	300,000,000	2013/08/28	2013/11/28	75.00
69800	Credit Suisse AG - HSI R Bear CBBC Nov 2013 Q	300,000,000	2013/08/28	2013/11/28	75.00
69806	Credit Suisse AG - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/08/28	2013/11/28	75.00
69801	Credit Suisse AG - HSI R Bear CBBC Nov 2013 W	300,000,000	2013/08/28	2013/11/28	75.00
69804	Credit Suisse AG - HSI R Bear CBBC Nov 2013 X	300,000,000	2013/08/28	2013/11/28	75.00
69821	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Nov 2013 D	120,000,000	2013/08/28	2013/11/28	30.00
69828	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 A	150,000,000	2013/08/28	2014/01/29	37.50
69846	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 L	150,000,000	2013/08/28	2014/01/29	38.85
69847	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 M	150,000,000	2013/08/28	2014/01/29	37.80
69848	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 N	150,000,000	2013/08/28	2014/01/29	39.00
69807	J P Morgan SP BV - HSI R Bull CBBC May 2014 N	300,000,000	2013/08/28	2014/05/29	75.00
69856	J P Morgan SP BV - HSI R Bull CBBC May 2014 O	300,000,000	2013/08/28	2014/05/29	75.00
69820	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 R	300,000,000	2013/08/28	2014/06/27	75.00
69857	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 S	300,000,000	2013/08/28	2014/06/27	75.00
69855	J P Morgan SP BV - HSI R Bear CBBC Nov 2013 U	300,000,000	2013/08/28	2013/11/28	75.00
69852	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/08/28	2014/01/29	75.00
69745	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 J	100,000,000	2013/08/28	2014/02/27	25.00
69694	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 A	200,000,000	2013/08/28	2014/05/29	50.00
69696	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 B	200,000,000	2013/08/28	2014/05/29	50.00
69698	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 D	200,000,000	2013/08/28	2013/12/30	50.00
69701	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 E	200,000,000	2013/08/28	2013/12/30	50.00
69751	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 F	200,000,000	2013/08/28	2013/12/30	50.00
69728	UBS AG - AIA R Bull CBBC Jun 2014 D	100,000,000	2013/08/28	2014/06/09	25.00
69792	UBS AG - CSOP A50 ETF R Bull CBBC Jan 2014 E	100,000,000	2013/08/28	2014/01/06	25.00
69790	UBS AG - A50 China R Bull CBBC Feb 2014 E	100,000,000	2013/08/28	2014/02/17	25.00
69741	UBS AG - HSI R Bull CBBC Dec 2013 P	300,000,000	2013/08/28	2013/12/30	75.00
69740	UBS AG - HSI R Bull CBBC Jan 2014 W	300,000,000	2013/08/28	2014/01/29	75.00

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69729	UBS AG - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/08/28	2014/02/27	75.00
69770	UBS AG - HSI R Bear CBBC Nov 2013 K	300,000,000	2013/08/28	2013/11/28	75.00
69771	UBS AG - HSI R Bear CBBC Nov 2013 L	300,000,000	2013/08/28	2013/11/28	75.00
69783	UBS AG - HSI R Bear CBBC Nov 2013 M	300,000,000	2013/08/28	2013/11/28	75.00
69785	UBS AG - HSI R Bear CBBC Nov 2013 R	300,000,000	2013/08/28	2013/11/28	75.00
69773	UBS AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/08/28	2013/12/30	75.00
69796	UBS AG - Petrochina R Bull CBBC Jun 2014 A	100,000,000	2013/08/28	2014/06/23	25.00
69866	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 L	300,000,000	2013/08/29	2014/06/27	75.00
69894	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/08/29	2014/01/29	75.00
69895	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/08/29	2014/01/29	75.00
69917	Credit Suisse AG - HSI R Bull CBBC Dec 2013 J	300,000,000	2013/08/29	2013/12/30	75.00
69873	Credit Suisse AG - HSI R Bull CBBC Feb 2014 E	300,000,000	2013/08/29	2014/02/27	75.00
69870	Credit Suisse AG - HSI R Bull CBBC Feb 2014 X	300,000,000	2013/08/29	2014/02/27	75.00
69871	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Y	300,000,000	2013/08/29	2014/02/27	75.00
69872	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Z	300,000,000	2013/08/29	2014/02/27	75.00
69910	Credit Suisse AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/08/29	2013/12/30	75.00
69907	Credit Suisse AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/08/29	2013/12/30	75.00
69908	Credit Suisse AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/08/29	2013/12/30	75.00
69909	Credit Suisse AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/08/29	2013/12/30	75.00
69915	Credit Suisse AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/08/29	2013/12/30	75.00
69919	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Nov 2013 E	120,000,000	2013/08/29	2013/11/28	30.00
69923	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 B	150,000,000	2013/08/29	2014/01/29	37.80
69926	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 C	150,000,000	2013/08/29	2014/01/29	38.55
69927	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 O	150,000,000	2013/08/29	2014/01/29	43.20
69864	HK Bank - Cheung Kong R Bull CBBC Apr 2014 A	60,000,000	2013/08/29	2014/04/28	15.00
69863	HK Bank - A50 R Bull CBBC Mar 2014 E	100,000,000	2013/08/29	2014/03/17	25.00
69862	HK Bank - HSI R Bear CBBC Jan 2014 G	100,000,000	2013/08/29	2014/01/29	25.00
69860	HK Bank - HSI R Bear CBBC Feb 2014 G	100,000,000	2013/08/29	2014/02/27	25.00
69861	HK Bank - HSI R Bear CBBC Feb 2014 H	100,000,000	2013/08/29	2014/02/27	25.00
69858	HK Bank - HSI R Bear CBBC Mar 2014 D	100,000,000	2013/08/29	2014/03/28	25.00
69865	HK Bank - Ping An R Bull CBBC Apr 2014 B	60,000,000	2013/08/29	2014/04/14	15.00
69869	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 K	100,000,000	2013/08/29	2014/02/27	25.00
69931	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 I	100,000,000	2013/08/29	2014/02/27	25.00
69889	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 C	200,000,000	2013/08/29	2014/05/29	50.00
69890	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 G	200,000,000	2013/08/29	2013/12/30	50.00
69874	UBS AG - HSCEI R Bear CBBC Nov 2013 C	100,000,000	2013/08/29	2013/11/28	25.00
69918	UBS AG - HSI R Bull CBBC Dec 2013 V	300,000,000	2013/08/29	2013/12/30	75.00
69867	UBS AG - HSI R Bull CBBC Jan 2014 X	300,000,000	2013/08/29	2014/01/29	75.00
69877	UBS AG - HSI R Bear CBBC Nov 2013 Y	300,000,000	2013/08/29	2013/11/28	75.00
69880	UBS AG - HSI R Bear CBBC Nov 2013 Z	300,000,000	2013/08/29	2013/11/28	75.00
69879	UBS AG - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/08/29	2013/12/30	75.00
69887	UBS AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/08/29	2013/12/30	75.00
69896	UBS AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/08/29	2013/12/30	75.00
69886	UBS AG - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/08/29	2014/01/29	75.00
69875	UBS AG - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/08/29	2014/01/29	75.00

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69876	UBS AG - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/08/29	2014/01/29	75.00
69888	UBS AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/08/29	2014/02/27	75.00
69958	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Y	300,000,000	2013/08/30	2014/01/29	75.00
69960	Credit Suisse AG - HSI R Bull CBBC Jan 2014 Z	300,000,000	2013/08/30	2014/01/29	75.00
69933	Credit Suisse AG - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/08/30	2014/01/29	75.00
69975	Credit Suisse AG - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/08/30	2014/01/29	75.00
69978	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 H	120,000,000	2013/08/30	2013/12/30	30.00
69979	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 N	120,000,000	2013/08/30	2013/12/30	30.00
69945	J P Morgan SP BV - HSI R Bull CBBC May 2014 P	300,000,000	2013/08/30	2014/05/29	75.00
69946	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 T	300,000,000	2013/08/30	2014/06/27	75.00
69939	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/08/30	2013/12/30	75.00
69944	J P Morgan SP BV - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/08/30	2013/12/30	75.00
69961	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 L	100,000,000	2013/08/30	2014/02/27	25.00
69963	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 G	200,000,000	2013/08/30	2013/12/30	50.00
69947	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 D	200,000,000	2013/08/30	2014/05/29	50.00
69948	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 E	200,000,000	2013/08/30	2014/05/29	50.00
69956	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 H	200,000,000	2013/08/30	2013/12/30	50.00
69965	UBS AG - HSI R Bull CBBC May 2014 S	300,000,000	2013/08/30	2014/05/29	75.00
69966	UBS AG - HSI R Bull CBBC May 2014 T	350,000,000	2013/08/30	2014/05/29	87.50
69964	UBS AG - HSI R Bull CBBC Jun 2014 P	300,000,000	2013/08/30	2014/06/27	75.00
69977	UBS AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/08/30	2013/12/30	75.00
69976	UBS AG - HSI R Bear CBBC Feb 2014 S	350,000,000	2013/08/30	2014/02/27	87.50
60138	Credit Suisse AG - HSI R Bull CBBC Feb 2014 G	300,000,000	2013/09/02	2014/02/27	75.00
60143	Credit Suisse AG - HSI R Bull CBBC Feb 2014 H	300,000,000	2013/09/02	2014/02/27	75.00
60145	Credit Suisse AG - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/09/02	2014/02/27	75.00
69992	Credit Suisse AG - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/09/02	2014/02/27	75.00
69993	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/09/02	2014/02/27	75.00
69994	Bank of East Asia - A50 China R Bull CBBC Aug 2014 A	50,000,000	2013/09/02	2014/08/22	12.50
60132	Bank of East Asia - GCL-Poly Energy R Bull CBBC Jul 2014 A	30,000,000	2013/09/02	2014/07/22	15.00
69998	Bank of East Asia - Galaxy Ent R Bull CBBC Jun 2014 A	60,000,000	2013/09/02	2014/06/27	15.00
69997	Bank of East Asia - HKEx R Bull CBBC Aug 2014 A	60,000,000	2013/09/02	2014/08/22	15.00
60055	Bank of East Asia - Hutchison R Bull CBBC Jun 2014 A	60,000,000	2013/09/02	2014/06/13	15.00
60052	Bank of East Asia - Lenovo R Bull CBBC Sep 2014 A	60,000,000	2013/09/02	2014/09/08	15.00
69996	Bank of East Asia - Ping An R Bull CBBC Aug 2014 A	60,000,000	2013/09/02	2014/08/22	15.00
60054	Bank of East Asia - Sands China R Bull CBBC Aug 2014 A	60,000,000	2013/09/02	2014/08/28	15.00
60328	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 D	150,000,000	2013/09/02	2014/01/29	37.50
60331	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 E	150,000,000	2013/09/02	2014/01/29	37.65
69990	HK Bank - AIA R Bear CBBC Mar 2014 B	50,000,000	2013/09/02	2014/03/10	15.00
69991	HK Bank - CNOOC R Bull CBBC Apr 2014 B	60,000,000	2013/09/02	2014/04/07	15.00
69980	HK Bank - HSI R Bull CBBC Feb 2014 J	100,000,000	2013/09/02	2014/02/27	25.00
69983	HK Bank - HSI R Bear CBBC Apr 2014 C	400,000,000	2013/09/02	2014/04/29	100.00
69989	HK Bank - PetCh R Bull CBBC Jun 2014 A	60,000,000	2013/09/02	2014/06/30	15.00
69987	HK Bank - TCH R Bear CBBC Feb 2014 C	50,000,000	2013/09/02	2014/02/24	13.00
60313	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 U	300,000,000	2013/09/02	2014/06/27	75.00
60327	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 V	300,000,000	2013/09/02	2014/06/27	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60136	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 J	100,000,000	2013/09/02	2014/02/27	25.00
60218	UBS AG - HSCEI R Bull CBBC Jun 2014 F	100,000,000	2013/09/02	2014/06/27	25.00
60232	UBS AG - HSI R Bull CBBC Dec 2013 W	300,000,000	2013/09/02	2013/12/30	75.00
60263	UBS AG - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/09/02	2014/04/29	75.00
60260	UBS AG - HSI R Bull CBBC Jul 2014 G	300,000,000	2013/09/02	2014/07/30	75.00
60134	UBS AG - HSI R Bear CBBC Apr 2014 C	350,000,000	2013/09/02	2014/04/29	87.50
60311	UBS AG - Wharf Holdings R Bull CBBC Dec 2013 A	50,000,000	2013/09/02	2013/12/16	12.50
60353	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/09/03	2014/06/27	75.00
60375	Credit Suisse AG - HSI R Bull CBBC Feb 2014 Q	300,000,000	2013/09/03	2014/02/27	75.00
60389	Credit Suisse AG - HSI R Bull CBBC Feb 2014 R	300,000,000	2013/09/03	2014/02/27	75.00
60354	Credit Suisse AG - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/09/03	2014/02/27	75.00
60390	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/09/03	2013/12/30	75.00
60397	Credit Suisse AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/09/03	2013/12/30	75.00
60435	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/09/03	2014/03/28	75.00
60461	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 W	300,000,000	2013/09/03	2014/06/27	75.00
60433	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/09/03	2014/01/29	75.00
60434	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/09/03	2014/01/29	75.00
60415	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 A	100,000,000	2013/09/03	2014/06/27	25.00
60432	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 G	100,000,000	2013/09/03	2014/01/29	25.00
60411	UBS AG - CNBM R Bear CBBC Jan 2014 A	50,000,000	2013/09/03	2014/01/20	12.50
60412	UBS AG - CNOOC R Bear CBBC Jan 2014 A	100,000,000	2013/09/03	2014/01/27	25.00
60406	UBS AG - Galaxy Ent R Bull CBBC Jun 2014 A	100,000,000	2013/09/03	2014/06/09	25.00
60413	UBS AG - HKEx R Bull CBBC Jul 2014 A	100,000,000	2013/09/03	2014/07/21	25.00
60352	UBS AG - HSI R Bull CBBC Jun 2014 Q	300,000,000	2013/09/03	2014/06/27	75.00
60407	UBS AG - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/09/03	2014/03/28	75.00
60523	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 N	300,000,000	2013/09/04	2014/06/27	75.00
60524	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 Q	300,000,000	2013/09/04	2014/06/27	75.00
60561	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 R	300,000,000	2013/09/04	2014/06/27	75.00
60566	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/09/04	2013/12/30	75.00
60565	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/09/04	2013/12/30	75.00
60525	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/09/04	2014/01/29	75.00
60547	Credit Suisse AG - HSI R Bull CBBC May 2014 T	300,000,000	2013/09/04	2014/05/29	75.00
60548	Credit Suisse AG - HSI R Bull CBBC May 2014 U	300,000,000	2013/09/04	2014/05/29	75.00
60558	Credit Suisse AG - HSI R Bull CBBC May 2014 W	300,000,000	2013/09/04	2014/05/29	75.00
60608	Credit Suisse AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/09/04	2013/12/30	75.00
60632	Credit Suisse AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/09/04	2013/12/30	75.00
60638	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/09/04	2013/12/30	75.00
60724	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 F	150,000,000	2013/09/04	2014/01/29	40.05
60562	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 P	150,000,000	2013/09/04	2014/01/29	37.80
60564	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 A	150,000,000	2013/09/04	2014/02/27	37.80
60563	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 A	150,000,000	2013/09/04	2014/03/28	37.95
60495	HK Bank - CC Bank R Bear CBBC Apr 2014 A	40,000,000	2013/09/04	2014/04/14	10.00
60496	HK Bank - China Life R Bull CBBC Mar 2014 A	60,000,000	2013/09/04	2014/03/17	15.00
60476	HK Bank - CNOOC R Bear CBBC Apr 2014 B	40,000,000	2013/09/04	2014/04/28	10.40
60462	HK Bank - Galaxy Ent R Bull CBBC Apr 2014 A	50,000,000	2013/09/04	2014/04/14	20.00

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60468	HK Bank - Galaxy Ent R Bear CBBC Apr 2014 A	40,000,000	2013/09/04	2014/04/14	26.00
60497	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 F	200,000,000	2013/09/04	2014/05/29	50.00
60567	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 G	200,000,000	2013/09/04	2014/05/29	50.00
60575	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 I	200,000,000	2013/09/04	2013/12/30	50.00
60577	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 J	200,000,000	2013/09/04	2013/12/30	50.00
60702	UBS AG - HSCEI R Bear CBBC Dec 2013 B	100,000,000	2013/09/04	2013/12/30	25.00
60498	UBS AG - HSI R Bull CBBC Feb 2014 S	300,000,000	2013/09/04	2014/02/27	75.00
60503	UBS AG - HSI R Bull CBBC Feb 2014 Y	300,000,000	2013/09/04	2014/02/27	75.00
60502	UBS AG - HSI R Bull CBBC Jul 2014 H	300,000,000	2013/09/04	2014/07/30	75.00
60701	UBS AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/09/04	2013/12/30	75.00
60700	UBS AG - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/09/04	2014/01/29	75.00
60703	UBS AG - TCH R Bear CBBC Jan 2014 C	50,000,000	2013/09/04	2014/01/13	12.50
60789	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 S	300,000,000	2013/09/05	2014/06/27	75.00
60790	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/09/05	2013/12/30	75.00
60787	Credit Suisse AG - HSI R Bull CBBC Jan 2014 K	300,000,000	2013/09/05	2014/01/29	75.00
60812	Credit Suisse AG - HSI R Bull CBBC Jan 2014 L	300,000,000	2013/09/05	2014/01/29	75.00
60764	Credit Suisse AG - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/09/05	2014/01/29	75.00
60770	Credit Suisse AG - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/09/05	2014/01/29	75.00
60786	Credit Suisse AG - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/09/05	2014/01/29	75.00
60813	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 G	150,000,000	2013/09/05	2014/01/29	38.40
60816	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 H	150,000,000	2013/09/05	2014/01/29	38.25
60725	HK Bank - ABC R Bull CBBC Aug 2014 B	80,000,000	2013/09/05	2014/08/18	20.00
60726	HK Bank - Wharf Holdings R Bull CBBC Apr 2014 A	40,000,000	2013/09/05	2014/04/28	10.00
60820	J P Morgan SP BV - HSI R Bull CBBC Mar 2014 X	300,000,000	2013/09/05	2014/03/28	75.00
60748	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/09/05	2014/01/29	75.00
60749	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/09/05	2014/01/29	75.00
60750	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/09/05	2014/01/29	75.00
60773	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 F	200,000,000	2013/09/05	2013/12/30	50.00
60783	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 K	100,000,000	2013/09/05	2014/02/27	25.00
60784	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 H	200,000,000	2013/09/05	2014/05/29	50.00
60785	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 K	200,000,000	2013/09/05	2013/12/30	50.00
60808	UBS AG - HSI R Bull CBBC Mar 2014 B	350,000,000	2013/09/05	2014/03/28	87.50
60806	UBS AG - HSI R Bull CBBC Jul 2014 I	300,000,000	2013/09/05	2014/07/30	75.00
60751	UBS AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/09/05	2014/02/27	75.00
60752	UBS AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/09/05	2014/02/27	75.00
60831	Credit Suisse AG - HSI R Bull CBBC Jan 2014 I	300,000,000	2013/09/06	2014/01/29	75.00
60834	Credit Suisse AG - HSI R Bull CBBC Jan 2014 M	300,000,000	2013/09/06	2014/01/29	75.00
60828	Credit Suisse AG - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/09/06	2014/01/29	75.00
60960	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 O	120,000,000	2013/09/06	2013/12/30	30.00
60974	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 P	120,000,000	2013/09/06	2013/12/30	30.00
60917	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 E	300,000,000	2013/09/06	2014/04/29	75.00
60941	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/09/06	2014/01/29	75.00
60859	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 M	100,000,000	2013/09/06	2014/02/27	25.00
60870	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 N	100,000,000	2013/09/06	2014/02/27	25.00
60857	UBS AG - HSI R Bull CBBC Feb 2014 Z	300,000,000	2013/09/06	2014/02/27	75.00

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60855	UBS AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/09/06	2013/12/30	75.00
61458	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 E	300,000,000	2013/09/09	2014/06/27	75.00
61463	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 H	300,000,000	2013/09/09	2014/06/27	75.00
61438	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 T	300,000,000	2013/09/09	2014/06/27	75.00
61249	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/09/09	2014/01/29	75.00
61160	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/09/09	2014/01/29	75.00
61164	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/09/09	2014/01/29	75.00
61162	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/09/09	2014/01/29	75.00
61163	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/09/09	2014/01/29	75.00
61727	Credit Suisse AG - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/09/09	2014/04/29	75.00
61741	Credit Suisse AG - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/09/09	2014/04/29	75.00
61764	Credit Suisse AG - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/09/09	2014/04/29	75.00
61724	Credit Suisse AG - HSI R Bull CBBC Apr 2014 Q	300,000,000	2013/09/09	2014/04/29	75.00
61273	Credit Suisse AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/09/09	2013/12/30	75.00
61302	Credit Suisse AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/09/09	2013/12/30	75.00
61303	Credit Suisse AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/09/09	2013/12/30	75.00
61335	Credit Suisse AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/09/09	2013/12/30	75.00
61252	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/09/09	2013/12/30	75.00
61696	Credit Suisse AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/09/09	2014/02/27	75.00
61788	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 E	120,000,000	2013/09/09	2013/12/30	30.00
61789	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 S	120,000,000	2013/09/09	2013/12/30	30.00
61786	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 Q	120,000,000	2013/09/09	2013/12/30	30.00
61412	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 I	150,000,000	2013/09/09	2014/01/29	38.55
61415	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 J	150,000,000	2013/09/09	2014/01/29	37.95
61437	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 K	150,000,000	2013/09/09	2014/01/29	37.80
61771	J P Morgan SP BV - HSI R Bull CBBC May 2014 Q	300,000,000	2013/09/09	2014/05/29	75.00
61783	J P Morgan SP BV - HSI R Bull CBBC May 2014 R	300,000,000	2013/09/09	2014/05/29	75.00
61785	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/09/09	2014/01/29	75.00
61382	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 G	200,000,000	2013/09/09	2013/12/30	50.00
61387	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 B	100,000,000	2013/09/09	2014/06/27	25.00
61100	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 H	100,000,000	2013/09/09	2014/01/29	25.00
61076	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 L	100,000,000	2013/09/09	2014/02/27	25.00
61368	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 I	200,000,000	2013/09/09	2014/05/29	50.00
61381	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 J	200,000,000	2013/09/09	2014/05/29	50.00
60993	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 L	200,000,000	2013/09/09	2013/12/30	50.00
60997	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 M	200,000,000	2013/09/09	2013/12/30	50.00
61075	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 N	200,000,000	2013/09/09	2013/12/30	50.00
61649	UBS AG - HSI R Bull CBBC Jan 2014 Y	300,000,000	2013/09/09	2014/01/29	75.00
61648	UBS AG - HSI R Bull CBBC Mar 2014 I	300,000,000	2013/09/09	2014/03/28	75.00
61638	UBS AG - HSI R Bull CBBC Apr 2014 A	300,000,000	2013/09/09	2014/04/29	75.00
61667	UBS AG - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/09/09	2014/04/29	75.00
61665	UBS AG - HSI R Bull CBBC Jun 2014 R	300,000,000	2013/09/09	2014/06/27	75.00
61115	UBS AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/09/09	2013/12/30	75.00
61511	UBS AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/09/09	2013/12/30	75.00
61562	UBS AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/09/09	2013/12/30	75.00

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61114	UBS AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/09/09	2013/12/30	75.00
61101	UBS AG - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/09/09	2014/01/29	75.00
61137	UBS AG - HSI R Bear CBBC Mar 2014 D	350,000,000	2013/09/09	2014/03/28	87.50
61595	UBS AG - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/09/09	2014/03/28	75.00
61604	UBS AG - HSI R Bear CBBC Apr 2014 D	300,000,000	2013/09/09	2014/04/29	75.00
61621	UBS AG - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/09/09	2014/04/29	75.00
61464	UBS AG - Ping An R Bear CBBC Feb 2014 A	100,000,000	2013/09/09	2014/02/10	25.00
62038	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/09/10	2014/06/27	75.00
62028	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 I	300,000,000	2013/09/10	2014/06/27	75.00
62042	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 J	300,000,000	2013/09/10	2014/06/27	75.00
62029	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 K	300,000,000	2013/09/10	2014/06/27	75.00
62034	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/09/10	2014/06/27	75.00
62035	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 P	300,000,000	2013/09/10	2014/06/27	75.00
61889	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/09/10	2014/01/29	75.00
61891	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/09/10	2014/01/29	75.00
62091	Credit Suisse AG - HSI R Bull CBBC Jul 2014 C	300,000,000	2013/09/10	2014/07/30	75.00
62096	Credit Suisse AG - HSI R Bull CBBC Jul 2014 D	300,000,000	2013/09/10	2014/07/30	75.00
62117	Credit Suisse AG - HSI R Bull CBBC Jul 2014 E	300,000,000	2013/09/10	2014/07/30	75.00
61965	Credit Suisse AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/09/10	2013/12/30	75.00
61918	Credit Suisse AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/09/10	2013/12/30	75.00
61968	Credit Suisse AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/09/10	2013/12/30	75.00
61928	Credit Suisse AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/09/10	2013/12/30	75.00
61896	Credit Suisse AG - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/09/10	2014/01/29	75.00
61900	Credit Suisse AG - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/09/10	2014/01/29	75.00
61911	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/09/10	2014/01/29	75.00
61959	Credit Suisse AG - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/09/10	2014/01/29	75.00
61960	Credit Suisse AG - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/09/10	2014/01/29	75.00
61971	Credit Suisse AG - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/09/10	2014/01/29	75.00
61927	Credit Suisse AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/09/10	2014/02/27	75.00
61931	Credit Suisse AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/09/10	2014/02/27	75.00
62052	Credit Suisse AG - HSI R Bear CBBC Apr 2014 A	300,000,000	2013/09/10	2014/04/29	78.00
62058	Credit Suisse AG - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/09/10	2014/04/29	96.00
62070	Credit Suisse AG - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/09/10	2014/04/29	105.00
62082	Credit Suisse AG - HSI R Bear CBBC Apr 2014 D	300,000,000	2013/09/10	2014/04/29	114.00
62084	Credit Suisse AG - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/09/10	2014/04/29	120.00
62172	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 Q	120,000,000	2013/09/10	2013/12/30	30.00
62187	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 U	120,000,000	2013/09/10	2013/12/30	30.00
62186	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 V	120,000,000	2013/09/10	2013/12/30	30.00
62205	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 R	120,000,000	2013/09/10	2013/12/30	30.00
62206	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 L	150,000,000	2013/09/10	2014/01/29	38.25
62207	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 M	150,000,000	2013/09/10	2014/01/29	38.40
62208	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 N	150,000,000	2013/09/10	2014/01/29	38.40
61810	HK Bank - Galaxy Ent R Bull CBBC May 2014 B	40,000,000	2013/09/10	2014/05/19	20.00
61819	HK Bank - Galaxy Ent R Bear CBBC Jul 2014 A	80,000,000	2013/09/10	2014/07/28	20.00
61790	HK Bank - HSI R Bull CBBC Jan 2014 O	100,000,000	2013/09/10	2014/01/29	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61791	HK Bank - HSI R Bear CBBC Feb 2014 I	100,000,000	2013/09/10	2014/02/27	25.00
61822	HK Bank - Sands China R Bull CBBC Mar 2014 A	60,000,000	2013/09/10	2014/03/17	15.00
61828	HK Bank - Sands China R Bear CBBC Apr 2014 B	50,000,000	2013/09/10	2014/04/28	12.50
61829	HK Bank - TCH R Bull CBBC Apr 2014 A	50,000,000	2013/09/10	2014/04/07	15.00
62004	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 X	300,000,000	2013/09/10	2014/06/27	75.00
62006	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 A	300,000,000	2013/09/10	2014/07/30	75.00
61834	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/09/10	2014/01/29	75.00
61833	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/09/10	2014/01/29	75.00
61862	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 O	100,000,000	2013/09/10	2014/02/27	25.00
61864	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 I	100,000,000	2013/09/10	2014/01/29	25.00
61865	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 J	100,000,000	2013/09/10	2014/01/29	25.00
62008	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 K	100,000,000	2013/09/10	2014/01/29	25.00
62021	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 L	100,000,000	2013/09/10	2014/01/29	25.00
62209	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 M	100,000,000	2013/09/10	2014/02/27	25.00
62218	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 N	100,000,000	2013/09/10	2014/02/27	25.00
62022	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 K	200,000,000	2013/09/10	2014/05/29	50.00
62023	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 L	200,000,000	2013/09/10	2014/05/29	50.00
62027	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 M	200,000,000	2013/09/10	2014/05/29	50.00
61894	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 O	200,000,000	2013/09/10	2013/12/30	50.00
62161	UBS AG - BOCL R Bull CBBC Aug 2014 A	100,000,000	2013/09/10	2014/08/25	25.00
62166	UBS AG - China Life R Bull CBBC Jul 2014 C	100,000,000	2013/09/10	2014/07/21	25.00
62167	UBS AG - China Shenhua R Bear CBBC Jul 2014 A	100,000,000	2013/09/10	2014/07/21	25.00
62143	UBS AG - HSCEI R Bull CBBC Jul 2014 B	100,000,000	2013/09/10	2014/07/30	25.00
62140	UBS AG - HSCEI R Bear CBBC Jan 2014 A	100,000,000	2013/09/10	2014/01/29	25.00
62136	UBS AG - HSI R Bull CBBC Mar 2014 N	300,000,000	2013/09/10	2014/03/28	75.00
62131	UBS AG - HSI R Bull CBBC Apr 2014 K	300,000,000	2013/09/10	2014/04/29	75.00
62139	UBS AG - HSI R Bull CBBC May 2014 U	300,000,000	2013/09/10	2014/05/29	75.00
62129	UBS AG - HSI R Bull CBBC Jun 2014 S	300,000,000	2013/09/10	2014/06/27	75.00
62134	UBS AG - HSI R Bull CBBC Jul 2014 J	300,000,000	2013/09/10	2014/07/30	75.00
61976	UBS AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/09/10	2013/12/30	75.00
61973	UBS AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/09/10	2013/12/30	75.00
62128	UBS AG - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/09/10	2014/02/27	75.00
62124	UBS AG - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/09/10	2014/03/28	75.00
62171	UBS AG - TCH R Bull CBBC Jun 2014 H	50,000,000	2013/09/10	2014/06/23	12.50
62261	Credit Suisse AG - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/09/11	2014/06/27	75.00
62267	Credit Suisse AG - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/09/11	2014/06/27	75.00
62282	Credit Suisse AG - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/09/11	2014/06/27	75.00
62298	Credit Suisse AG - HSI R Bull CBBC Jul 2014 F	300,000,000	2013/09/11	2014/07/30	75.00
62311	Credit Suisse AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/09/11	2013/12/30	75.00
62310	Credit Suisse AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/09/11	2013/12/30	75.00
62256	Credit Suisse AG - HSI R Bear CBBC Apr 2014 F	300,000,000	2013/09/11	2014/04/29	75.00
62305	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 Q	150,000,000	2013/09/11	2014/01/29	70.20
62307	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 R	150,000,000	2013/09/11	2014/01/29	37.65
62237	HK Bank - ABC R Bull CBBC Jul 2014 A	80,000,000	2013/09/11	2014/07/28	20.00
62242	HK Bank - AIA R Bull CBBC Apr 2014 A	60,000,000	2013/09/11	2014/04/07	19.20

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62220	HK Bank - HSI R Bull CBBC Feb 2014 K	100,000,000	2013/09/11	2014/02/27	25.00
62219	HK Bank - HSI R Bull CBBC Mar 2014 D	100,000,000	2013/09/11	2014/03/28	25.00
62236	HK Bank - HSI R Bull CBBC Mar 2014 E	400,000,000	2013/09/11	2014/03/28	100.00
62238	HK Bank - ICBC R Bull CBBC Jul 2014 A	80,000,000	2013/09/11	2014/07/28	20.00
62241	HK Bank - SHK Ppt R Bull CBBC Apr 2014 A	60,000,000	2013/09/11	2014/04/15	15.00
62249	HK Bank - TCH R Bull CBBC Apr 2014 B	50,000,000	2013/09/11	2014/04/14	12.50
62250	J P Morgan SP BV - HSI R Bull CBBC May 2014 S	300,000,000	2013/09/11	2014/05/29	75.00
62322	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/09/11	2014/06/27	75.00
62252	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 P	100,000,000	2013/09/11	2014/02/27	25.00
62253	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 Q	100,000,000	2013/09/11	2014/02/27	25.00
62251	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 N	200,000,000	2013/09/11	2014/05/29	50.00
62300	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 P	200,000,000	2013/09/11	2013/12/30	50.00
62321	UBS AG - Galaxy Ent R Bear CBBC Mar 2014 A	100,000,000	2013/09/11	2014/03/10	25.00
62283	UBS AG - HSI R Bull CBBC Feb 2014 D	300,000,000	2013/09/11	2014/02/27	75.00
62293	UBS AG - HSI R Bull CBBC May 2014 V	300,000,000	2013/09/11	2014/05/29	75.00
62285	UBS AG - HSI R Bull CBBC Jul 2014 K	300,000,000	2013/09/11	2014/07/30	75.00
62295	UBS AG - HSI R Bull CBBC Jul 2014 L	300,000,000	2013/09/11	2014/07/30	75.00
62312	UBS AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/09/11	2014/03/28	75.00
62314	UBS AG - Sands China R Bear CBBC Mar 2014 A	100,000,000	2013/09/11	2014/03/17	25.00
62315	UBS AG - TCH R Bull CBBC Jun 2014 I	50,000,000	2013/09/11	2014/06/16	16.75
62361	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/09/12	2014/06/27	75.00
62359	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 U	300,000,000	2013/09/12	2014/06/27	75.00
62360	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 V	300,000,000	2013/09/12	2014/06/27	75.00
62362	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 W	300,000,000	2013/09/12	2014/06/27	75.00
62392	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/09/12	2014/01/29	75.00
62412	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/09/12	2014/01/29	75.00
62330	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/09/12	2014/01/29	75.00
62331	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/09/12	2014/01/29	75.00
62413	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/09/12	2014/01/29	75.00
62381	Credit Suisse AG - HSI R Bull CBBC Feb 2014 A	300,000,000	2013/09/12	2014/02/27	75.00
62384	Credit Suisse AG - HSI R Bull CBBC Feb 2014 B	300,000,000	2013/09/12	2014/02/27	75.00
62380	Credit Suisse AG - HSI R Bull CBBC Feb 2014 T	300,000,000	2013/09/12	2014/02/27	75.00
62347	Credit Suisse AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/09/12	2014/02/27	75.00
62348	Credit Suisse AG - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/09/12	2014/02/27	75.00
62349	Credit Suisse AG - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/09/12	2014/02/27	75.00
62364	Credit Suisse AG - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/09/12	2014/02/27	75.00
62449	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 F	120,000,000	2013/09/12	2013/12/30	30.00
62446	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 T	120,000,000	2013/09/12	2013/12/30	30.00
62462	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 O	150,000,000	2013/09/12	2014/01/29	38.25
62489	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 P	150,000,000	2013/09/12	2014/01/29	37.50
62490	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 S	150,000,000	2013/09/12	2014/01/29	39.30
62503	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 T	150,000,000	2013/09/12	2014/01/29	38.10
62451	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 F	300,000,000	2013/09/12	2014/04/29	75.00
62459	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 G	300,000,000	2013/09/12	2014/04/29	75.00
62329	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/09/12	2014/01/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62390	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 C	100,000,000	2013/09/12	2014/06/27	25.00
62342	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 H	200,000,000	2013/09/12	2013/12/30	50.00
62343	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 O	100,000,000	2013/09/12	2014/02/27	25.00
62386	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 P	100,000,000	2013/09/12	2014/02/27	25.00
62387	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 Q	100,000,000	2013/09/12	2014/02/27	25.00
62438	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 O	200,000,000	2013/09/12	2014/05/29	50.00
62353	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 Q	200,000,000	2013/09/12	2013/12/30	50.00
62431	UBS AG - HSI R Bull CBBC Feb 2014 J	300,000,000	2013/09/12	2014/02/27	75.00
62428	UBS AG - HSI R Bull CBBC Mar 2014 Q	300,000,000	2013/09/12	2014/03/28	75.00
62414	UBS AG - HSI R Bull CBBC Jun 2014 T	300,000,000	2013/09/12	2014/06/27	75.00
62430	UBS AG - HSI R Bull CBBC Jun 2014 U	300,000,000	2013/09/12	2014/06/27	75.00
62344	UBS AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/09/12	2013/12/30	75.00
62346	UBS AG - HSI R Bear CBBC Jan 2014 D	350,000,000	2013/09/12	2014/01/29	87.50
62415	UBS AG - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/09/12	2014/01/29	76.50
62423	UBS AG - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/09/12	2014/01/29	75.00
62345	UBS AG - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/09/12	2014/02/27	75.00
62416	UBS AG - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/09/12	2014/02/27	75.00
62417	UBS AG - HSI R Bear CBBC Mar 2014 H	300,000,000	2013/09/12	2014/03/28	75.00
62424	UBS AG - HSI R Bear CBBC Mar 2014 I	300,000,000	2013/09/12	2014/03/28	75.00
62437	UBS AG - ICBC R Bull CBBC Jul 2014 A	100,000,000	2013/09/12	2014/07/21	25.00
62576	Credit Suisse AG - HSI R Bull CBBC Aug 2014 E	300,000,000	2013/09/13	2014/08/28	75.00
62644	Credit Suisse AG - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/09/13	2014/08/28	75.00
62645	Credit Suisse AG - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/09/13	2014/08/28	75.00
62577	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/09/13	2013/12/30	75.00
62578	Credit Suisse AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/09/13	2013/12/30	75.00
62646	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 K	120,000,000	2013/09/13	2013/12/30	30.00
62654	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 U	150,000,000	2013/09/13	2014/01/29	37.80
62663	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 V	150,000,000	2013/09/13	2014/01/29	38.70
62512	HK Bank - Anhui Conch R Bull CBBC Sep 2014 D	60,000,000	2013/09/13	2014/09/29	15.00
62511	HK Bank - China Shenhua R Bull CBBC Jul 2014 B	60,000,000	2013/09/13	2014/07/28	21.00
62526	HK Bank - HSCEI R Bull CBBC Mar 2014 B	100,000,000	2013/09/13	2014/03/28	25.00
62508	HK Bank - HSI R Bull CBBC Feb 2014 L	100,000,000	2013/09/13	2014/02/27	25.00
62507	HK Bank - HSI R Bull CBBC Mar 2014 F	100,000,000	2013/09/13	2014/03/28	25.00
62510	HK Bank - SHK Ppt R Bear CBBC Feb 2014 B	50,000,000	2013/09/13	2014/02/24	12.50
62676	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 H	300,000,000	2013/09/13	2014/04/29	75.00
62564	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/09/13	2014/01/29	75.00
62535	Standard Chartered Bank - HSI R Bull CBBC Dec 2013 H	200,000,000	2013/09/13	2013/12/30	50.00
62536	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 M	100,000,000	2013/09/13	2014/01/29	25.00
62537	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 N	100,000,000	2013/09/13	2014/01/29	25.00
62551	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb14 B	200,000,000	2013/09/13	2014/02/27	50.00
62552	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb14 C	200,000,000	2013/09/13	2014/02/27	50.00
62546	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 P	200,000,000	2013/09/13	2014/05/29	50.00
62550	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 Q	200,000,000	2013/09/13	2014/05/29	50.00
62554	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 R	200,000,000	2013/09/13	2013/12/30	50.00
62556	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 S	200,000,000	2013/09/13	2013/12/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62557	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 T	200,000,000	2013/09/13	2013/12/30	50.00
62642	UBS AG - AIA R Bull CBBC Aug 2014 A	100,000,000	2013/09/13	2014/08/25	25.00
62615	UBS AG - China Mobile R Bull CBBC Jun 2014 C	100,000,000	2013/09/13	2014/06/23	25.00
62639	UBS AG - China Life R Bull CBBC Jul 2014 D	100,000,000	2013/09/13	2014/07/07	25.00
62635	UBS AG - HSI R Bull CBBC Apr 2014 P	300,000,000	2013/09/13	2014/04/29	75.00
62664	UBS AG - HSI R Bull CBBC Apr 2014 R	300,000,000	2013/09/13	2014/04/29	75.00
62674	UBS AG - HSI R Bull CBBC Jun 2014 V	300,000,000	2013/09/13	2014/06/27	75.00
62632	UBS AG - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/09/13	2014/01/29	75.00
62561	UBS AG - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/09/13	2014/02/27	75.00
62562	UBS AG - HSI R Bear CBBC Apr 2014 F	350,000,000	2013/09/13	2014/04/29	87.50
62633	UBS AG - HSI R Bear CBBC Apr 2014 G	300,000,000	2013/09/13	2014/04/29	75.00
62634	UBS AG - HSI R Bear CBBC Apr 2014 H	300,000,000	2013/09/13	2014/04/29	75.00
62605	UBS AG - Ping An R Bull CBBC Jul 2014 B	100,000,000	2013/09/13	2014/07/28	25.00
62643	UBS AG - TCH R Bear CBBC Feb 2014 C	50,000,000	2013/09/13	2014/02/10	27.00
62688	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2014 B	200,000,000	2013/09/16	2014/06/27	50.00
62689	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2014 C	200,000,000	2013/09/16	2014/06/27	50.00
62727	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Jun 2014 A	200,000,000	2013/09/16	2014/06/27	50.00
62723	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 X	300,000,000	2013/09/16	2014/06/27	75.00
62724	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/09/16	2014/06/27	75.00
62726	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/09/16	2014/01/29	75.00
62725	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/09/16	2014/01/29	75.00
62682	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/09/16	2014/01/29	75.00
62683	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/09/16	2014/01/29	75.00
62730	Credit Suisse AG - HSI R Bull CBBC Jul 2014 G	300,000,000	2013/09/16	2014/07/30	75.00
62731	Credit Suisse AG - HSI R Bull CBBC Jul 2014 H	300,000,000	2013/09/16	2014/07/30	75.00
62732	Credit Suisse AG - HSI R Bull CBBC Jul 2014 I	300,000,000	2013/09/16	2014/07/30	75.00
62690	Credit Suisse AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/09/16	2013/12/30	75.00
62691	Credit Suisse AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/09/16	2013/12/30	75.00
62778	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 R	120,000,000	2013/09/16	2013/12/30	30.00
62779	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 S	120,000,000	2013/09/16	2013/12/30	30.00
62709	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 Q	150,000,000	2013/09/16	2014/01/29	38.25
62717	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 R	150,000,000	2013/09/16	2014/01/29	38.70
62737	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/09/16	2014/06/27	75.00
62722	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 E	300,000,000	2013/09/16	2014/01/29	75.00
62729	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 R	100,000,000	2013/09/16	2014/02/27	25.00
62679	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 I	200,000,000	2013/09/16	2013/12/30	50.00
62728	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 O	100,000,000	2013/09/16	2014/01/29	25.00
62680	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 R	100,000,000	2013/09/16	2014/02/27	25.00
62681	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 S	100,000,000	2013/09/16	2014/02/27	25.00
62705	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May14 R	200,000,000	2013/09/16	2014/05/29	50.00
62677	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 U	200,000,000	2013/09/16	2013/12/30	50.00
62678	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 V	200,000,000	2013/09/16	2013/12/30	50.00
62707	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 W	200,000,000	2013/09/16	2013/12/30	50.00
62748	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2014 C	100,000,000	2013/09/16	2014/04/28	25.00
62738	UBS AG - A50 China R Bull CBBC Mar 2014 D	100,000,000	2013/09/16	2014/03/24	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62777	UBS AG - HSCEI R Bear CBBC Feb 2014 A	100,000,000	2013/09/16	2014/02/27	25.00
62734	UBS AG - HSI R Bull CBBC Mar 2014 F	350,000,000	2013/09/16	2014/03/28	87.50
62733	UBS AG - HSI R Bull CBBC Mar 2014 T	300,000,000	2013/09/16	2014/03/28	75.00
62735	UBS AG - HSI R Bull CBBC May 2014 W	300,000,000	2013/09/16	2014/05/29	75.00
62694	UBS AG - HSI R Bear CBBC Mar 2014 J	300,000,000	2013/09/16	2014/03/28	75.00
62695	UBS AG - HSI R Bear CBBC Apr 2014 I	350,000,000	2013/09/16	2014/04/29	87.50
68561 #	HK Bank - HSI R Bear CBBC Feb 2014 D	180,000,000	2013/09/16	2014/02/27	14.76
62981	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/09/17	2014/06/27	75.00
62955	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/09/17	2014/06/27	75.00
62952	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 A	300,000,000	2013/09/17	2014/08/28	75.00
62953	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 B	300,000,000	2013/09/17	2014/08/28	75.00
62822	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/09/17	2014/01/29	75.00
62823	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/09/17	2014/01/29	75.00
62825	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/09/17	2014/01/29	75.00
62958	Credit Suisse AG - HSI R Bull CBBC May 2014 X	300,000,000	2013/09/17	2014/05/29	75.00
62959	Credit Suisse AG - HSI R Bull CBBC May 2014 Y	300,000,000	2013/09/17	2014/05/29	75.00
62962	Credit Suisse AG - HSI R Bull CBBC May 2014 Z	300,000,000	2013/09/17	2014/05/29	75.00
62834	Credit Suisse AG - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/09/17	2014/01/29	75.00
62845	Credit Suisse AG - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/09/17	2014/01/29	75.00
62849	Credit Suisse AG - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/09/17	2014/01/29	75.00
62864	Credit Suisse AG - HSI R Bear CBBC Mar 2014 A	300,000,000	2013/09/17	2014/03/28	78.00
62865	Credit Suisse AG - HSI R Bear CBBC Mar 2014 B	300,000,000	2013/09/17	2014/03/28	87.00
62868	Credit Suisse AG - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/09/17	2014/03/28	93.00
62871	Credit Suisse AG - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/09/17	2014/03/28	102.00
62870	Credit Suisse AG - HSI R Bear CBBC May 2014 A	300,000,000	2013/09/17	2014/05/29	96.00
62879	Credit Suisse AG - HSI R Bear CBBC May 2014 B	300,000,000	2013/09/17	2014/05/29	129.00
62993	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Dec 2013 A	120,000,000	2013/09/17	2013/12/30	30.00
63009	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 T	120,000,000	2013/09/17	2013/12/30	30.00
63020	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Dec 2013 U	120,000,000	2013/09/17	2013/12/30	30.00
62937	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 W	150,000,000	2013/09/17	2014/01/29	39.00
62944	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 X	150,000,000	2013/09/17	2014/01/29	45.30
62945	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 Y	150,000,000	2013/09/17	2014/01/29	42.90
62821	HK Bank - China Mobile R Bull CBBC Apr 2014 A	120,000,000	2013/09/17	2014/04/28	30.00
62801	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2014 A	80,000,000	2013/09/17	2014/04/14	20.00
62802	HK Bank - CSOP A50 ETF R Bear CBBC Apr 2014 A	40,000,000	2013/09/17	2014/04/14	10.00
62790	HK Bank - A50 R Bull CBBC Apr 2014 A	100,000,000	2013/09/17	2014/04/28	25.00
62793	HK Bank - A50 R Bull CBBC Apr 2014 B	100,000,000	2013/09/17	2014/04/07	25.00
62796	HK Bank - A50 R Bear CBBC Apr 2014 A	50,000,000	2013/09/17	2014/04/07	12.50
62799	HK Bank - A50 R Bear CBBC Apr 2014 B	50,000,000	2013/09/17	2014/04/28	12.50
62800	HK Bank - A50 R Bear CBBC May 2014 A	50,000,000	2013/09/17	2014/05/26	12.50
62813	HK Bank - Galaxy Ent R Bull CBBC Aug 2014 A	50,000,000	2013/09/17	2014/08/25	12.50
62804	HK Bank - Galaxy Ent R Bear CBBC Aug 2014 A	40,000,000	2013/09/17	2014/08/25	10.00
62789	HK Bank - HSCEI R Bull CBBC Feb 2014 C	100,000,000	2013/09/17	2014/02/27	25.00
62780	HK Bank - HSI R Bull CBBC Feb 2014 M	100,000,000	2013/09/17	2014/02/27	25.00
62783	HK Bank - HSI R Bull CBBC May 2014 A	400,000,000	2013/09/17	2014/05/29	100.00

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62815	HK Bank - Ping An R Bull CBBC Apr 2014 C	60,000,000	2013/09/17	2014/04/07	15.00
62820	HK Bank - Ping An R Bear CBBC Apr 2014 A	40,000,000	2013/09/17	2014/04/07	10.00
62982	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 I	300,000,000	2013/09/17	2014/04/29	75.00
62985	J P Morgan SP BV - HSI R Bull CBBC May 2014 T	300,000,000	2013/09/17	2014/05/29	75.00
62992	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/09/17	2014/06/27	75.00
62915	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 S	100,000,000	2013/09/17	2014/02/27	25.00
62918	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 T	100,000,000	2013/09/17	2014/02/27	25.00
62914	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 2014 A	200,000,000	2013/09/17	2014/06/27	50.00
62880	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 2013 X	200,000,000	2013/09/17	2013/12/30	50.00
62887	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 2013 Y	200,000,000	2013/09/17	2013/12/30	50.00
62888	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 2014 A	200,000,000	2013/09/17	2014/01/29	50.00
62907	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 2014 B	200,000,000	2013/09/17	2014/01/29	50.00
62934	UBS AG - HSCEI R Bull CBBC Jun 2014 G	100,000,000	2013/09/17	2014/06/27	25.00
62978	UBS AG - HSI R Bull CBBC Feb 2014 O	300,000,000	2013/09/17	2014/02/27	75.00
62970	UBS AG - HSI R Bull CBBC Jun 2014 W	300,000,000	2013/09/17	2014/06/27	75.00
62979	UBS AG - HSI R Bull CBBC Jul 2014 M	300,000,000	2013/09/17	2014/07/30	75.00
62980	UBS AG - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/09/17	2014/09/29	75.00
62852	UBS AG - HSI R Bear CBBC Dec 2013 C	300,000,000	2013/09/17	2013/12/30	75.00
62855	UBS AG - HSI R Bear CBBC Jan 2014 P	350,000,000	2013/09/17	2014/01/29	87.50
62925	UBS AG - HSI R Bear CBBC Feb 2014 C	300,000,000	2013/09/17	2014/02/27	75.00
62923	UBS AG - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/09/17	2014/02/27	76.50
62922	UBS AG - HSI R Bear CBBC Mar 2014 K	300,000,000	2013/09/17	2014/03/28	82.50
62924	UBS AG - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/09/17	2014/03/28	75.00
62929	UBS AG - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/09/17	2014/03/28	75.00
62921	UBS AG - TCH R Bear CBBC Mar 2014 B	50,000,000	2013/09/17	2014/03/31	31.50
63104	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/09/18	2014/01/29	75.00
63105	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/09/18	2014/01/29	75.00
63106	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/09/18	2014/02/27	75.00
63107	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 C	300,000,000	2013/09/18	2014/02/27	75.00
63109	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/09/18	2014/02/27	75.00
63110	Credit Suisse AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/09/18	2014/08/28	75.00
63112	Credit Suisse AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/09/18	2014/08/28	75.00
63116	Credit Suisse AG - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/09/18	2014/08/28	75.00
63138	Credit Suisse AG - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/09/18	2014/08/28	75.00
63133	Credit Suisse AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/09/18	2013/12/30	75.00
63145	Credit Suisse AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/09/18	2013/12/30	75.00
63131	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Y	300,000,000	2013/09/18	2013/12/30	75.00
63147	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 S	150,000,000	2013/09/18	2014/01/29	38.70
63149	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 G	150,000,000	2013/09/18	2014/01/29	37.50
63148	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 Z	150,000,000	2013/09/18	2014/01/29	39.00
63071	HK Bank - ABC R Bear CBBC May 2014 A	40,000,000	2013/09/18	2014/05/26	10.00
63072	HK Bank - ABC R Bear CBBC May 2014 B	40,000,000	2013/09/18	2014/05/12	10.00
63067	HK Bank - BOCL R Bull CBBC Jul 2014 A	80,000,000	2013/09/18	2014/07/28	20.00
63059	HK Bank - China Life R Bull CBBC Apr 2014 A	60,000,000	2013/09/18	2014/04/14	15.00
63060	HK Bank - China Life R Bear CBBC Mar 2014 A	50,000,000	2013/09/18	2014/03/17	14.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63061	HK Bank - China Life R Bear CBBC Apr 2014 A	50,000,000	2013/09/18	2014/04/14	27.00
63068	HK Bank - Minsheng Bank R Bull CBBC Jul 2014 A	40,000,000	2013/09/18	2014/07/07	10.00
63073	HK Bank - CNOOC R Bear CBBC Aug 2014 A	40,000,000	2013/09/18	2014/08/11	12.40
63074	HK Bank - HKEx R Bear CBBC Mar 2014 B	50,000,000	2013/09/18	2014/03/24	12.50
63076	HK Bank - HKEx R Bear CBBC Apr 2014 A	50,000,000	2013/09/18	2014/04/07	17.50
63055	HK Bank - HSI R Bull CBBC Mar 2014 G	100,000,000	2013/09/18	2014/03/28	25.00
63062	HK Bank - Lenovo R Bull CBBC Aug 2014 A	60,000,000	2013/09/18	2014/08/25	15.00
63064	HK Bank - Lenovo R Bear CBBC Jun 2014 A	40,000,000	2013/09/18	2014/06/23	10.80
63070	HK Bank - Sands China R Bull CBBC Jul 2014 A	60,000,000	2013/09/18	2014/07/07	15.00
63057	HK Bank - TCH R Bull CBBC Jun 2014 A	50,000,000	2013/09/18	2014/06/16	16.50
63155	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/09/18	2014/06/27	75.00
63154	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/09/18	2014/01/29	75.00
63153	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 G	300,000,000	2013/09/18	2014/02/27	75.00
63152	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/09/18	2014/03/28	75.00
63092	Standard Chartered Bank - HSI R Bull CBBC Feb 2014 T	100,000,000	2013/09/18	2014/02/27	25.00
63151	Standard Chartered Bank - HSI R Bear CBBC Dec 2013 J	200,000,000	2013/09/18	2013/12/30	50.00
63118	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb14 D	200,000,000	2013/09/18	2014/02/27	50.00
63078	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 B	200,000,000	2013/09/18	2014/06/27	50.00
63080	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 Z	200,000,000	2013/09/18	2013/12/30	50.00
63084	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 C	200,000,000	2013/09/18	2014/01/29	50.00
63085	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 D	200,000,000	2013/09/18	2014/01/29	50.00
63086	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 E	200,000,000	2013/09/18	2014/01/29	50.00
63087	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 F	200,000,000	2013/09/18	2014/01/29	50.00
63119	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 G	200,000,000	2013/09/18	2014/01/29	50.00
63120	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 H	200,000,000	2013/09/18	2014/01/29	50.00
63089	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 A	200,000,000	2013/09/18	2014/02/27	50.00
63124	UBS AG - ABC R Bear CBBC May 2014 A	100,000,000	2013/09/18	2014/05/05	25.00
63123	UBS AG - China Life R Bear CBBC Feb 2014 A	100,000,000	2013/09/18	2014/02/10	38.00
63122	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2014 C	100,000,000	2013/09/18	2014/03/17	25.00
63121	UBS AG - A50 China R Bull CBBC Mar 2014 E	100,000,000	2013/09/18	2014/03/03	25.00
63130	UBS AG - Galaxy Ent R Bull CBBC Mar 2014 C	100,000,000	2013/09/18	2014/03/24	25.00
63128	UBS AG - HKEx R Bull CBBC Jun 2014 D	100,000,000	2013/09/18	2014/06/09	25.00
63101	UBS AG - HSI R Bull CBBC Feb 2014 K	350,000,000	2013/09/18	2014/02/27	87.50
63098	UBS AG - HSI R Bull CBBC Mar 2014 U	300,000,000	2013/09/18	2014/03/28	75.00
63099	UBS AG - HSI R Bull CBBC Jul 2014 N	300,000,000	2013/09/18	2014/07/30	75.00
63093	UBS AG - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/09/18	2014/01/29	76.50
63144	UBS AG - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/09/18	2014/01/29	75.00
63140	UBS AG - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/09/18	2014/02/27	75.00
63097	UBS AG - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/09/18	2014/03/28	75.00
63094	UBS AG - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/09/18	2014/04/29	75.00
63127	UBS AG - TCH R Bull CBBC Jun 2014 J	50,000,000	2013/09/18	2014/06/23	16.00
63126	UBS AG - TCH R Bear CBBC Apr 2014 B	50,000,000	2013/09/18	2014/04/14	34.50
63125	UBS AG - TCH R Bear CBBC Jun 2014 B	50,000,000	2013/09/18	2014/06/23	44.50
63170	Credit Suisse AG - A50 China R Bull CBBC Jan 2014 E	60,000,000	2013/09/19	2014/01/24	15.00
63171	Credit Suisse AG - A50 China R Bull CBBC Jan 2014 F	60,000,000	2013/09/19	2014/01/24	15.00

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63172	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 E	60,000,000	2013/09/19	2014/01/24	15.00
63174	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 F	60,000,000	2013/09/19	2014/01/24	15.00
63175	Credit Suisse AG - A50 China R Bear CBBC Jan 2014 G	60,000,000	2013/09/19	2014/01/24	15.00
63176	Credit Suisse AG - HSI R Bull CBBC Jul 2014 J	300,000,000	2013/09/19	2014/07/30	75.00
63177	Credit Suisse AG - HSI R Bull CBBC Jul 2014 K	300,000,000	2013/09/19	2014/07/30	75.00
63166	Credit Suisse AG - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/09/19	2014/01/29	75.00
63167	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/09/19	2014/01/29	75.00
63188	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 D	120,000,000	2013/09/19	2014/01/29	30.00
63187	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 K	120,000,000	2013/09/19	2014/01/29	30.00
63185	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 F	120,000,000	2013/09/19	2014/01/29	30.00
63186	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 G	120,000,000	2013/09/19	2014/01/29	30.00
63165	HK Bank - Cheung Kong R Bear CBBC Apr 2014 A	50,000,000	2013/09/19	2014/04/28	16.00
63159	HK Bank - Minsheng Bank R Bear CBBC May 2014 A	40,000,000	2013/09/19	2014/05/26	10.80
63162	HK Bank - Sinopec Corp R Bear CBBC May 2014 A	40,000,000	2013/09/19	2014/05/19	10.00
63158	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2014 B	80,000,000	2013/09/19	2014/04/14	20.00
63157	HK Bank - A50 R Bull CBBC Mar 2014 F	100,000,000	2013/09/19	2014/03/31	25.00
63156	HK Bank - HSI R Bear CBBC Mar 2014 E	100,000,000	2013/09/19	2014/03/28	25.00
63160	HK Bank - ICBC R Bear CBBC May 2014 A	40,000,000	2013/09/19	2014/05/12	10.00
63164	HK Bank - TCH R Bull CBBC Jun 2014 B	50,000,000	2013/09/19	2014/06/09	13.00
63189	J P Morgan SP BV - HSI R Bull CBBC Apr 2014 J	300,000,000	2013/09/19	2014/04/29	75.00
63191	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/09/19	2014/01/29	75.00
63178	UBS AG - A50 China R Bull CBBC Apr 2014 D	100,000,000	2013/09/19	2014/04/07	25.00
63184	UBS AG - HSI R Bull CBBC May 2014 X	300,000,000	2013/09/19	2014/05/29	75.00
63182	UBS AG - HSI R Bull CBBC Jun 2014 X	300,000,000	2013/09/19	2014/06/27	75.00
63169	UBS AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/09/19	2013/12/30	75.00
63168	UBS AG - HSI R Bear CBBC Apr 2014 K	350,000,000	2013/09/19	2014/04/29	87.50
63181	UBS AG - TCH R Bull CBBC Jul 2014 B	50,000,000	2013/09/19	2014/07/21	15.25
63211	Credit Suisse AG - HSI R Bull CBBC Jul 2014 L	300,000,000	2013/09/23	2014/07/30	75.00
63212	Credit Suisse AG - HSI R Bull CBBC Jul 2014 M	300,000,000	2013/09/23	2014/07/30	75.00
63234	Credit Suisse AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/09/23	2013/12/30	75.00
63242	Credit Suisse AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/09/23	2013/12/30	75.00
63245	Credit Suisse AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/09/23	2013/12/30	75.00
63255	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 H	120,000,000	2013/09/23	2014/01/29	30.00
63210	HK Bank - CC Bank R Bear CBBC May 2014 A	40,000,000	2013/09/23	2014/05/26	10.00
63203	HK Bank - A50 R Bull CBBC Jun 2014 A	50,000,000	2013/09/23	2014/06/23	12.50
63204	HK Bank - A50 R Bull CBBC Jul 2014 A	100,000,000	2013/09/23	2014/07/07	25.00
63199	HK Bank - HSCEI R Bear CBBC Mar 2014 A	68,000,000	2013/09/23	2014/03/28	17.00
63192	HK Bank - HSCEI R Bear CBBC Apr 2014 A	68,000,000	2013/09/23	2014/04/29	17.00
63195	HK Bank - HSCEI R Bear CBBC Apr 2014 B	68,000,000	2013/09/23	2014/04/29	17.00
63193	HK Bank - HSCEI R Bear CBBC May 2014 A	68,000,000	2013/09/23	2014/05/29	17.00
63198	HK Bank - HSCEI R Bear CBBC May 2014 B	68,000,000	2013/09/23	2014/05/29	17.00
63209	HK Bank - TCH R Bear CBBC Mar 2014 D	50,000,000	2013/09/23	2014/03/31	29.50
63206	HK Bank - TCH R Bear CBBC Apr 2014 C	40,000,000	2013/09/23	2014/04/22	27.60
63207	HK Bank - TCH R Bear CBBC Jun 2014 A	40,000,000	2013/09/23	2014/06/16	36.00
63208	HK Bank - TCH R Bear CBBC Jun 2014 B	40,000,000	2013/09/23	2014/06/30	44.00

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63216	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/09/23	2014/01/29	75.00
63220	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb14 E	200,000,000	2013/09/23	2014/02/27	50.00
63224	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 D	200,000,000	2013/09/23	2013/12/30	50.00
63231	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 B	200,000,000	2013/09/23	2014/02/27	50.00
63213	UBS AG - HSI R Bull CBBC Feb 2014 A	350,000,000	2013/09/23	2014/02/27	87.50
63215	UBS AG - HSI R Bull CBBC Mar 2014 V	300,000,000	2013/09/23	2014/03/28	75.00
63247	UBS AG - HSI R Bear CBBC Dec 2013 R	300,000,000	2013/09/23	2013/12/30	75.00
63249	UBS AG - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/09/23	2014/01/29	75.00
63251	UBS AG - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/09/23	2014/01/29	75.00
63309	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 C	300,000,000	2013/09/24	2014/08/28	75.00
63316	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 D	300,000,000	2013/09/24	2014/08/28	75.00
63317	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 E	300,000,000	2013/09/24	2014/08/28	75.00
63318	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/09/24	2014/08/28	75.00
63319	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/09/24	2014/08/28	75.00
63346	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/09/24	2014/08/28	75.00
63259	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/09/24	2014/01/29	75.00
63262	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/09/24	2014/02/27	75.00
63265	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/09/24	2014/02/27	75.00
63266	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 G	300,000,000	2013/09/24	2014/02/27	75.00
63268	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 H	300,000,000	2013/09/24	2014/02/27	75.00
63269	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 I	300,000,000	2013/09/24	2014/02/27	75.00
63272	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/09/24	2014/02/27	75.00
63273	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/09/24	2014/02/27	90.00
63277	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 L	300,000,000	2013/09/24	2014/02/27	105.00
63349	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/09/24	2014/02/27	75.00
63339	Credit Suisse AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/09/24	2014/08/28	75.00
63340	Credit Suisse AG - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/09/24	2014/08/28	75.00
63343	Credit Suisse AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/09/24	2014/08/28	75.00
63280	Credit Suisse AG - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/09/24	2014/01/29	75.00
63282	Credit Suisse AG - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/09/24	2014/01/29	75.00
63278	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/09/24	2014/01/29	75.00
63364	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 L	120,000,000	2013/09/24	2014/01/29	30.00
63365	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 I	120,000,000	2013/09/24	2014/01/29	30.00
63366	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 A	120,000,000	2013/09/24	2014/02/27	30.00
63368	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 B	120,000,000	2013/09/24	2014/02/27	30.00
63320	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 T	150,000,000	2013/09/24	2014/01/29	39.00
63325	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 U	150,000,000	2013/09/24	2014/01/29	37.50
63298	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 F	300,000,000	2013/09/24	2014/06/27	75.00
63292	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 B	300,000,000	2013/09/24	2014/07/30	75.00
63355	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 C	300,000,000	2013/09/24	2014/07/30	75.00
63354	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/09/24	2014/01/29	75.00
63353	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 H	300,000,000	2013/09/24	2014/02/27	75.00
63352	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/09/24	2014/03/28	75.00
63350	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 A	300,000,000	2013/09/24	2014/04/29	75.00
63351	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/09/24	2014/04/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63283	Standard Chartered Bank - HSI R Bull CBBC Mar 2014 A	100,000,000	2013/09/24	2014/03/28	25.00
63356	Standard Chartered Bank - HSI R Bull CBBC Mar 2014 B	100,000,000	2013/09/24	2014/03/28	25.00
63363	Standard Chartered Bank - HSI R Bull CBBC Mar 2014 C	100,000,000	2013/09/24	2014/03/28	25.00
63285	Standard Chartered Bank - HSI R Bear CBBC Jan 2014 P	100,000,000	2013/09/24	2014/01/29	25.00
63287	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 U	100,000,000	2013/09/24	2014/02/27	25.00
63289	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 V	100,000,000	2013/09/24	2014/02/27	25.00
63299	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 C	200,000,000	2013/09/24	2014/06/27	50.00
63257	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 F	200,000,000	2013/09/24	2013/12/30	50.00
63302	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 I	200,000,000	2013/09/24	2014/01/29	50.00
63304	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 J	200,000,000	2013/09/24	2014/01/29	50.00
63258	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 C	200,000,000	2013/09/24	2014/02/27	50.00
63327	UBS AG - China Mobile R Bear CBBC Apr 2014 A	100,000,000	2013/09/24	2014/04/07	25.00
63328	UBS AG - China Mobile R Bear CBBC Apr 2014 B	100,000,000	2013/09/24	2014/04/28	25.00
63332	UBS AG - A50 China R Bear CBBC Jan 2014 B	100,000,000	2013/09/24	2014/01/06	25.00
63331	UBS AG - Galaxy Ent R Bear CBBC Mar 2014 B	100,000,000	2013/09/24	2014/03/24	25.00
63326	UBS AG - HSCEI R Bear CBBC Feb 2014 B	100,000,000	2013/09/24	2014/02/27	25.00
63344	UBS AG - HSI R Bull CBBC Mar 2014 W	300,000,000	2013/09/24	2014/03/28	75.00
63345	UBS AG - HSI R Bull CBBC Jul 2014 O	300,000,000	2013/09/24	2014/07/30	75.00
63291	UBS AG - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/09/24	2014/01/29	75.00
63290	UBS AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/09/24	2014/03/28	75.00
63329	UBS AG - ICBC R Bull CBBC Jul 2014 B	100,000,000	2013/09/24	2014/07/07	25.00
63330	UBS AG - Sands China R Bull CBBC Jul 2014 C	100,000,000	2013/09/24	2014/07/21	25.00
63337	UBS AG - TCH R Bull CBBC Jul 2014 C	50,000,000	2013/09/24	2014/07/07	14.00
63338	UBS AG - TCH R Bear CBBC Jun 2014 C	50,000,000	2013/09/24	2014/06/16	46.50
63391	Credit Suisse AG - HSI R Bull CBBC Sep 2014 A	300,000,000	2013/09/25	2014/09/29	75.00
63392	Credit Suisse AG - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/09/25	2014/09/29	75.00
63393	Credit Suisse AG - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/09/25	2014/09/29	75.00
63418	Credit Suisse AG - HSI R Bear CBBC Dec 2013 A	300,000,000	2013/09/25	2013/12/30	75.00
63417	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/09/25	2013/12/30	75.00
63424	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 M	120,000,000	2013/09/25	2014/01/29	30.00
63398	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 I	150,000,000	2013/09/25	2014/01/29	42.15
63399	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 J	150,000,000	2013/09/25	2014/01/29	47.55
63401	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 L	150,000,000	2013/09/25	2014/01/29	52.80
63402	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 M	150,000,000	2013/09/25	2014/01/29	57.75
63387	HK Bank - AIA R Bull CBBC Jun 2014 A	60,000,000	2013/09/25	2014/06/09	19.20
63380	HK Bank - China Mobile R Bull CBBC Jun 2014 A	120,000,000	2013/09/25	2014/06/30	30.00
63378	HK Bank - CC Bank R Bull CBBC Jul 2014 A	80,000,000	2013/09/25	2014/07/14	20.00
63375	HK Bank - Galaxy Ent R Bull CBBC Jul 2014 A	50,000,000	2013/09/25	2014/07/28	28.00
63376	HK Bank - Galaxy Ent R Bear CBBC Sep 2014 A	40,000,000	2013/09/25	2014/09/29	26.00
63369	HK Bank - HSI R Bull CBBC Apr 2014 C	100,000,000	2013/09/25	2014/04/29	25.00
63370	HK Bank - HSI R Bear CBBC May 2014 B	100,000,000	2013/09/25	2014/05/29	25.00
63372	HK Bank - HSI R Bear CBBC May 2014 C	100,000,000	2013/09/25	2014/05/29	25.00
63374	HK Bank - HSI R Bear CBBC Jun 2014 B	100,000,000	2013/09/25	2014/06/27	25.00
63373	HK Bank - HSI R Bear CBBC Jul 2014 A	100,000,000	2013/09/25	2014/07/30	25.00
63390	HK Bank - Hutchison R Bull CBBC Jun 2014 A	60,000,000	2013/09/25	2014/06/23	15.00

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63379	HK Bank - ICBC R Bull CBBC Jul 2014 B	80,000,000	2013/09/25	2014/07/07	20.00
63377	HK Bank - Sands China R Bear CBBC May 2014 A	50,000,000	2013/09/25	2014/05/12	12.50
63381	HK Bank - TCH R Bull CBBC Jun 2014 C	40,000,000	2013/09/25	2014/06/03	14.80
63382	HK Bank - TCH R Bear CBBC Jul 2014 A	40,000,000	2013/09/25	2014/07/14	48.80
63423	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 D	300,000,000	2013/09/25	2014/07/30	75.00
63422	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 A	300,000,000	2013/09/25	2014/08/28	75.00
63421	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 H	300,000,000	2013/09/25	2014/03/28	75.00
63403	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 D	200,000,000	2013/09/25	2014/06/27	50.00
63407	UBS AG - HKEx R Bear CBBC Mar 2014 A	100,000,000	2013/09/25	2014/03/03	25.00
63397	UBS AG - HSI R Bull CBBC Dec 2013 Z	350,000,000	2013/09/25	2013/12/30	87.50
63396	UBS AG - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/09/25	2014/06/27	75.00
63395	UBS AG - HSI R Bull CBBC Jul 2014 P	300,000,000	2013/09/25	2014/07/30	75.00
63420	UBS AG - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/09/25	2014/02/27	75.00
63408	UBS AG - HSI R Bear CBBC Apr 2014 L	300,000,000	2013/09/25	2014/04/29	106.50
63415	UBS AG - HSI R Bear CBBC Apr 2014 M	300,000,000	2013/09/25	2014/04/29	85.50
63429	Credit Suisse AG - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/09/26	2014/09/29	75.00
63431	Credit Suisse AG - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/09/26	2014/09/29	75.00
63432	Credit Suisse AG - HSI R Bull CBBC Sep 2014 F	300,000,000	2013/09/26	2014/09/29	75.00
63433	Credit Suisse AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/09/26	2013/12/30	75.00
63436	Credit Suisse AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/09/26	2013/12/30	75.00
63428	HK Bank - Sinopec Corp R Bull CBBC Jul 2014 A	80,000,000	2013/09/26	2014/07/14	20.00
63426	HK Bank - HKEx R Bull CBBC May 2014 A	60,000,000	2013/09/26	2014/05/19	15.00
63425	HK Bank - Lenovo R Bull CBBC Sep 2014 A	60,000,000	2013/09/26	2014/09/15	15.00
63440	UBS AG - Sinopec Corp R Bear CBBC Mar 2014 A	100,000,000	2013/09/26	2014/03/17	25.00
63434	UBS AG - HSI R Bull CBBC Jan 2014 Z	300,000,000	2013/09/26	2014/01/29	75.00
63435	UBS AG - HSI R Bull CBBC May 2014 Y	300,000,000	2013/09/26	2014/05/29	75.00
63439	UBS AG - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/09/26	2014/02/27	75.00
63441	UBS AG - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/09/26	2014/03/28	75.00
63490	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/09/27	2014/08/28	75.00
63492	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/09/27	2014/08/28	75.00
63501	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/09/27	2014/08/28	75.00
63502	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/09/27	2014/08/28	75.00
63504	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/09/27	2014/08/28	75.00
63505	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/09/27	2014/08/28	75.00
63449	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/09/27	2014/02/27	75.00
63450	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 O	300,000,000	2013/09/27	2014/02/27	75.00
63451	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/09/27	2014/02/27	75.00
63506	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/09/27	2014/02/27	75.00
63509	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/09/27	2014/02/27	75.00
63510	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/09/27	2014/02/27	75.00
63517	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/09/27	2014/02/27	75.00
63521	Credit Suisse AG - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/09/27	2014/09/29	75.00
63522	Credit Suisse AG - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/09/27	2014/09/29	75.00
63539	Credit Suisse AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2013/09/27	2014/09/29	75.00
63442	Credit Suisse AG - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/09/27	2013/12/30	75.00

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63444	Credit Suisse AG - HSI R Bear CBBC Dec 2013 M	300,000,000	2013/09/27	2013/12/30	75.00
63445	Credit Suisse AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/09/27	2013/12/30	75.00
63446	Credit Suisse AG - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/09/27	2013/12/30	75.00
63541	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 N	120,000,000	2013/09/27	2014/01/29	30.00
63544	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 O	120,000,000	2013/09/27	2014/01/29	30.00
63548	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 J	120,000,000	2013/09/27	2014/01/29	30.00
63549	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 K	120,000,000	2013/09/27	2014/01/29	30.00
63483	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 V	100,000,000	2013/09/27	2014/01/29	25.30
63484	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 W	100,000,000	2013/09/27	2014/01/29	25.00
63485	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 X	100,000,000	2013/09/27	2014/01/29	25.40
63486	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2014 Y	100,000,000	2013/09/27	2014/01/29	25.40
63481	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2014 H	100,000,000	2013/09/27	2014/01/29	27.70
63557	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 E	300,000,000	2013/09/27	2014/07/30	75.00
63553	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 B	300,000,000	2013/09/27	2014/08/28	75.00
63561	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 C	300,000,000	2013/09/27	2014/08/28	75.00
63552	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/09/27	2014/01/29	75.00
63551	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 I	300,000,000	2013/09/27	2014/03/28	75.00
63550	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/09/27	2014/04/29	75.00
63461	Standard Chartered Bank - HSI R Bull CBBC Apr 2014 A	100,000,000	2013/09/27	2014/04/29	25.00
63447	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 A	100,000,000	2013/09/27	2014/03/28	25.00
63448	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 B	100,000,000	2013/09/27	2014/03/28	25.00
63476	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 E	200,000,000	2013/09/27	2014/06/27	50.00
63480	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 F	200,000,000	2013/09/27	2014/06/27	50.00
63454	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 E	200,000,000	2013/09/27	2013/12/30	50.00
63453	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 G	200,000,000	2013/09/27	2013/12/30	50.00
63468	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 K	200,000,000	2013/09/27	2014/01/29	50.00
63475	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 L	200,000,000	2013/09/27	2014/01/29	50.00
63537	UBS AG - HSI R Bull CBBC Feb 2014 L	300,000,000	2013/09/27	2014/02/27	75.00
63538	UBS AG - HSI R Bull CBBC Jul 2014 Q	300,000,000	2013/09/27	2014/07/30	75.00
63535	UBS AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2013/09/27	2014/09/29	75.00
63536	UBS AG - HSI R Bull CBBC Sep 2014 J	300,000,000	2013/09/27	2014/09/29	75.00
63532	UBS AG - HSI R Bear CBBC Dec 2013 B	300,000,000	2013/09/27	2013/12/30	75.00
63531	UBS AG - HSI R Bear CBBC Dec 2013 G	300,000,000	2013/09/27	2013/12/30	75.00
63533	UBS AG - HSI R Bear CBBC Dec 2013 N	300,000,000	2013/09/27	2013/12/30	75.00
63534	UBS AG - HSI R Bear CBBC Dec 2013 O	300,000,000	2013/09/27	2013/12/30	75.00
63530	UBS AG - HSI R Bear CBBC Dec 2013 P	300,000,000	2013/09/27	2013/12/30	78.00
63455	UBS AG - HSI R Bear CBBC Dec 2013 S	300,000,000	2013/09/27	2013/12/30	75.00
63458	UBS AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/09/27	2013/12/30	75.00
63526	UBS AG - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/09/27	2014/01/29	75.00
63457	UBS AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/09/27	2014/02/27	75.00
63523	UBS AG - HSI R Bear CBBC Feb 2014 G	300,000,000	2013/09/27	2014/02/27	78.00
63528	UBS AG - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/09/27	2014/02/27	75.00
63459	UBS AG - HSI R Bear CBBC Mar 2014 Q	350,000,000	2013/09/27	2014/03/28	87.50
63567	Credit Suisse AG - HSI R Bull CBBC Sep 2014 J	300,000,000	2013/09/30	2014/09/29	75.00
63587	Credit Suisse AG - HSI R Bull CBBC Sep 2014 K	300,000,000	2013/09/30	2014/09/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63589	Credit Suisse AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2013/09/30	2014/09/29	75.00
63590	Credit Suisse AG - HSI R Bull CBBC Sep 2014 M	300,000,000	2013/09/30	2014/09/29	75.00
63586	Credit Suisse AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/09/30	2013/12/30	75.00
63617	Credit Suisse AG - HSI R Bear CBBC Dec 2013 K	300,000,000	2013/09/30	2013/12/30	75.00
63569	Credit Suisse AG - HSI R Bear CBBC Dec 2013 Q	300,000,000	2013/09/30	2013/12/30	75.00
63585	Credit Suisse AG - HSI R Bear CBBC Dec 2013 W	300,000,000	2013/09/30	2013/12/30	75.00
63619	Credit Suisse AG - HSI R Bear CBBC Dec 2013 X	300,000,000	2013/09/30	2013/12/30	75.00
63654	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 N	120,000,000	2013/09/30	2014/03/28	30.00
63657	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2014 A	120,000,000	2013/09/30	2014/03/28	30.00
63651	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 B	150,000,000	2013/09/30	2014/02/27	37.50
63665	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/09/30	2014/01/29	75.00
63658	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 J	300,000,000	2013/09/30	2014/03/28	75.00
63649	Standard Chartered Bank - HSI R Bull CBBC Mar 2014 D	100,000,000	2013/09/30	2014/03/28	25.00
63562	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 G	200,000,000	2013/09/30	2014/06/27	50.00
63564	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 H	200,000,000	2013/09/30	2014/06/27	50.00
63646	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec13 I	200,000,000	2013/09/30	2013/12/30	50.00
63615	UBS AG - CC Bank R Bull CBBC Jul 2014 B	100,000,000	2013/09/30	2014/07/07	25.00
63613	UBS AG - HSI R Bull CBBC Feb 2014 M	300,000,000	2013/09/30	2014/02/27	75.00
63612	UBS AG - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/09/30	2014/06/27	75.00
63602	UBS AG - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/09/30	2014/06/27	75.00
63620	UBS AG - HSI R Bear CBBC Dec 2013 D	300,000,000	2013/09/30	2013/12/30	75.00
63593	UBS AG - HSI R Bear CBBC Dec 2013 E	300,000,000	2013/09/30	2013/12/30	78.00
63596	UBS AG - HSI R Bear CBBC Dec 2013 F	300,000,000	2013/09/30	2013/12/30	75.00
63626	UBS AG - HSI R Bear CBBC Dec 2013 H	300,000,000	2013/09/30	2013/12/30	75.00
63597	UBS AG - HSI R Bear CBBC Dec 2013 I	300,000,000	2013/09/30	2013/12/30	75.00
63598	UBS AG - HSI R Bear CBBC Dec 2013 J	300,000,000	2013/09/30	2013/12/30	75.00
63643	UBS AG - HSI R Bear CBBC Dec 2013 K	350,000,000	2013/09/30	2013/12/30	87.50
63592	UBS AG - HSI R Bear CBBC Dec 2013 Z	300,000,000	2013/09/30	2013/12/30	81.00
63616	UBS AG - Hutchison R Bull CBBC Jun 2014 C	100,000,000	2013/09/30	2014/06/09	25.00
總額					137,493.48

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2012	第3季	4	10.47
	第4季	4	18.62
2013	第1季	4	15.07
	第2季	4	20.85
	第3季	4	7.69

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2012	第3季	—	—
	第4季	—	—
2013	第1季	—	—
	第2季	—	—
	第3季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第3季	7	0.49
	第4季	7	0.00
2013	第1季	7	0.25
	第2季	7	0.03
	第3季	7	0.08

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第3季	2	—
	第4季	2	—
2013	第1季	2	—
	第2季	2	—
	第3季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2012	第3季	33,483.43	5,395.70	206,623
	第4季	45,943.00	9,037.19	329,420
2013	第1季	55,775.21	12,379.11	371,122
	第2季	38,483.69	15,384.62	413,646
	第3季	56,706.88	18,446.55	542,526

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2012	第3季	64	84.31	26,114
	第4季	61	148.15	27,434
2013	第1季	59	209.82	33,356
	第2季	60	256.41	37,193
	第3季	63	292.80	34,001

20種升幅最大之創業板股份

2013年第3季

順次	股份代號	股份	收市價		升幅
			2013年9月底	2013年6月底	
1	08132	鉦皓控股	1.920	0.163	1,077.91
2	08115	上海青浦消防	0.980	0.190	415.79
3	08050	雲博產業	0.810	0.285	184.21
4	08321	中國汽車內飾	0.620	0.219	183.11
5	08131	辰罡科技	0.450	0.160	181.25
6	08019	皓文控股	0.320	0.118 A	171.19
7	08116	中國幸福投資	0.231	0.092	151.09
8	08085	香港生命科學	0.475	0.197	141.12
9	08236	寶德科技集團	0.700	0.300	133.33
10	08266	流動電訊	0.265	0.119	122.69
11	08260	施伯樂策略控股	2.060	0.940	119.15
12	08123	首華財經網絡	0.310	0.143	116.78
13	08286	長城微光	0.455	0.210	116.67
14	08276	華章科技	2.710	1.300	108.46
15	08250	中國天然投資	0.250	0.121	106.61
16	08006	中華網	9.290	4.910	89.21
17	08095	北大青鳥環宇	0.600	0.320	87.50
18	08156	眾彩股份	0.470	0.265	77.36
19	08212	譽滿國際控股	0.900	0.510	76.47
20	08179	喜尚控股	0.230	0.132	74.24

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2013年第3季

順次	股份代號	股份	收市價		跌幅
			2013年9月底	2013年6月底	
1	08108	名家國際	0.211	0.550 A	-61.64
2	08081	駿科網絡訊息	0.209	0.510	-59.02
3	08041	薈萃國際(控股)	0.032	0.063	-49.21
4	08120	東麟農業	0.255	0.445	-42.70
5	08270	中國聯盛	0.330	0.495	-33.33
6	08078	中國3D數碼	0.024	0.034 A	-29.41
7	08029	太陽國際	0.395	0.540	-26.85
8	08030	匯聯金融服務	0.470	0.640	-26.56
9	08112	FOCUS MEDIA	0.570	0.750	-24.00
10	08100	聯夢活力世界	0.089	0.117	-23.93
11	08055	中國網絡教育	0.128	0.162	-20.99
12	08306	中國有色金屬	0.280	0.350	-20.00
13	08225	萬全科技藥業	0.325	0.400	-18.75
14	08256	金利通	0.128	0.153	-16.34
15	08079	無限創意控股	0.275	0.315	-12.70
16	08086	易寶	0.420	0.480	-12.50
17	08020	川盟金融	0.660	0.750	-12.00
18	08167	中國新電信	0.370	0.420	-11.90
19	08356	中國新華電視	0.690	0.780	-11.54
20	08082	仁智國際集團	0.088	0.099	-11.11

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2013年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08138	同仁堂國藥	1,663.71	9.02
2	08150	無縫綠色	1,392.27	7.55
3	08292	慧聰網	997.51	5.41
4	08132	鉦皓控股	956.80	5.19
5	08078	中國3D數碼	634.51	3.44
6	08085	香港生命科學	569.85	3.09
7	08017	百齡國際	526.84	2.86
8	08356	中國新華電視	519.58	2.82
9	08212	譽滿國際控股	491.35	2.66
10	08198	新濠環彩	459.58	2.49
11	08260	施伯樂策略控股	447.71	2.43
12	08081	駿科網絡訊息	424.70	2.30
13	08266	流動電訊	409.97	2.22
14	08270	中國聯盛	340.18	1.84
15	08037	朗力福集團	324.83	1.76
16	08100	聯夢活力世界	306.89	1.66
17	08102	上海復旦	294.54	1.60
18	08231	復旦張江	285.45	1.55
19	08239	明基控股	281.96	1.53
20	08137	洪橋集團	277.71	1.51
總額			11,605.93	62.92

創業板 股份

20種成交最活躍創業板股份(股數)

2013年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08078	中國3D數碼	9,243.79	16.30
2	08019	皓文控股	3,234.05	5.70
3	08037	朗力福集團	3,041.08	5.36
4	08100	聯夢活力世界	2,665.29	4.70
5	08041	薈萃國際(控股)	2,093.23	3.69
6	08266	流動電訊	2,004.91	3.54
7	08171	中國趨勢	1,896.32	3.34
8	08085	香港生命科學	1,806.58	3.19
9	08161	華彩控股	1,782.08	3.14
10	08081	駿科網絡訊息	1,690.08	2.98
11	08250	中國天然投資	1,300.43	2.29
12	08075	寰亞傳媒	1,246.17	2.20
13	08108	名家國際	1,185.28	2.09
14	08116	中國幸福投資	1,074.84	1.90
15	08270	中國聯盛	1,058.88	1.87
16	08132	鉦皓控股	865.88	1.53
17	08082	仁智國際集團	829.40	1.46
18	08356	中國新華電視	751.57	1.33
19	08228	國藝娛樂	704.55	1.24
20	08212	譽滿國際控股	686.54	1.21
總數			39,160.94	69.06

創業板 股份

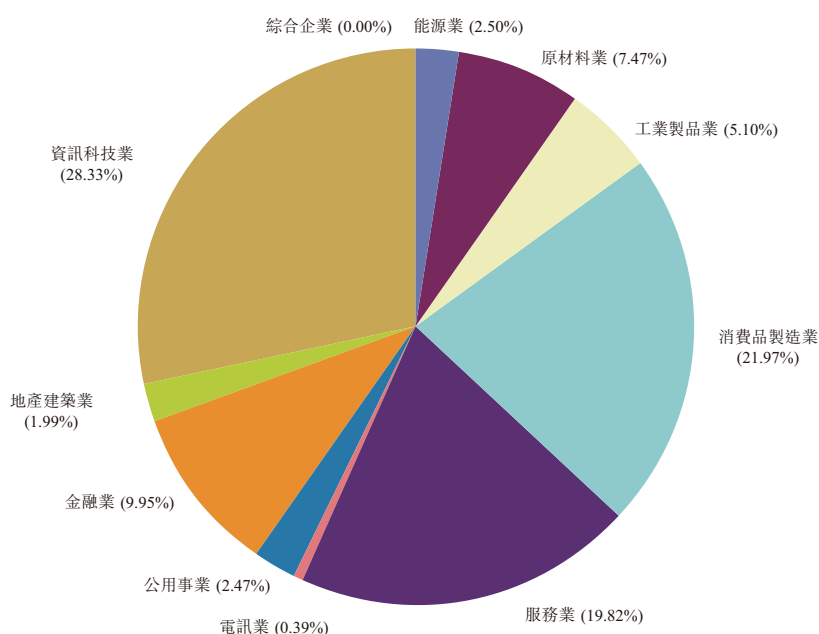
創業板公司市價總值

截至季末

		百 萬 港 元
2012	第 3 季	73,436.35
	第 4 季	78,398.98
2013	第 1 季	87,318.43
	第 2 季	98,730.52
	第 3 季	119,020.80

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2013年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08138	北京同仁堂國藥有限公司	11,205.00	9.41
2	08137	洪橋集團有限公司	5,842.74	4.91
3	08292	慧聰網有限公司	5,300.03	4.45
4	08008	新意網集團有限公司	5,271.71	4.43
5	08122	先健科技公司	2,900.00	2.44
6	08279	亞博科技控股有限公司	2,759.94	2.32
7	08032	非凡中國控股有限公司	2,643.23	2.22
8	08035	濱海投資有限公司	2,307.23	1.94
9	08090	中國融保金融集團有限公司	2,298.83	1.93
10	08198	新濠環彩有限公司	2,195.84	1.84
11	08086	易寶有限公司	2,139.70	1.8
12	08155	南華置地有限公司	2,101.56	1.77
13	08158	中國生物醫學再生科技有限公司	1,946.57	1.64
14	08085	香港生命科學技術集團有限公司	1,876.41	1.58
15	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	1,802.37	1.51
16	08231	上海復旦張江生物醫藥股份有限公司－H股	1,774.80	1.49
17	08102	上海復旦微電子集團股份有限公司－H股	1,735.08	1.46
18	08207	中國信貸控股有限公司	1,701.40	1.43
19	08072	羅馬集團有限公司	1,480.00	1.24
20	08020	川盟金融集團有限公司	1,465.20	1.23
21	08232	中國優通控股有限公司	1,428.00	1.2
22	08058	山東羅欣藥業股份有限公司－H股	1,410.28	1.18
23	08123	首華財經網絡集團有限公司	1,382.28	1.16
24	08005	裕興科技投資控股有限公司	1,379.93	1.16
25	08128	中國地能產業集團有限公司	1,277.24	1.07
26	08132	鉦皓控股有限公司	1,267.20	1.06
27	08083	中國創新支付集團有限公司	1,247.39	1.05
28	08250	中國天然投資有限公司	1,165.63	0.98
29	08356	中國新華電視控股有限公司	1,155.57	0.97
30	08018	匯財軟件公司	1,108.00	0.93
31	08050	雲博產業集團有限公司	1,098.56	0.92
32	08006	中華網科技公司	995.64	0.84
33	08167	中國新電信集團有限公司	945.32	0.79
34	08161	華彩控股有限公司	902.84	0.76
35	08219	品牌中國集團有限公司	888.52	0.75
36	08150	無縫綠色中國(集團)有限公司	839.25	0.71
37	08017	百齡國際(控股)有限公司	834.63	0.7
38	08260	施伯樂策略控股有限公司	824.00	0.69
39	08240	中國城市軌道交通科技控股有限公司	820.61	0.69
40	08066	品創控股有限公司	806.27	0.68
41	08075	寰亞傳媒集團有限公司	777.39	0.65
42	08077	昆侖國際金融集團有限公司	770.00	0.65
43	08025	亞洲資產(控股)有限公司	757.61	0.64
44	08276	華章科技控股有限公司	737.12	0.62
45	08179	喜尚控股有限公司	736.00	0.62
46	08325	奧思知集團控股有限公司	732.00	0.62
47	08109	科瑞控股有限公司	721.88	0.61
48	08321	中國汽車內飾集團有限公司	714.24	0.6
49	08175	中國數碼文化(集團)有限公司	709.61	0.6
50	08046	恒芯中國控股有限公司	708.99	0.6
總額			89,889.64	75.52
市場總值			119,020.80	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2012	第3季	3	179.40
	第4季	2	139.50
2013	第1季	1	60.00
	第2季	5	887.80
	第3季	5	362.29

創業板新上市公司

2013年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08077	昆侖國際金融集團有限公司	2013/07/03	2,000,000,000	155.19
08310	伽瑪物流集團	2013/08/22	800,000,000	50.00
08135	正美豐業汽車玻璃服務有限公司	2013/09/03	400,000,000	54.00
08036	晶芯科技控股有限公司	2013/09/09	249,000,000	62.10
08018	匯財軟件公司	2013/09/26	200,000,000	41.00
總額				362.29

除牌上市公司－創業板

2013年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08217	重慶長安民生物流股份有限公司－H股*	2013/07/17	2013/07/18 §
08160	枋濬國際集團控股有限公司*	2013/08/20	2013/08/21 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》

經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2013年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08228	國藝控股有限公司	國藝娛樂文化集團有限公司	2013/06/21	2013/07/04
08089	中國鐵路貨運有限公司	華人策略控股有限公司	2013/06/12	2013/07/22
08175	中國數碼版權(集團)有限公司	中國數碼文化(集團)有限公司	2013/06/28	2013/08/14
08128	中國地能有限公司	中國地能產業集團有限公司	2013/06/25	2013/08/27
08047	中國能效科技(集團)有限公司	昇力集團控股有限公司	2013/08/06	2013/09/18

創業板 股份

創業板紅股／紅利認股權證

2013年第3季

股份代號	公司	詳情	除淨日
08168	英裘(控股)有限公司	5送1	2013/07/03
08078	中國3D數碼娛樂有限公司	1送5	2013/09/10

創業板股份拆細及合併

2013年第3季

股份代號	公司	詳情	生效日期
08117	中國基礎資源控股有限公司	5股合併為1股	2013/07/05
08108	名家國際控股有限公司	10股合併為1股	2013/08/29
08019	皓文控股有限公司	10股合併為1股	2013/09/18
08037	朗力福集團控股有限公司	5股合併為1股	2013/09/19

創業板供股／公開售股

2013年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	08239	明基控股有限公司	股權10供3，每股供0.20港元	30.27
	08163	萬德資源集團有限公司	股權5供2，每股供0.40港元	36.67
總額				66.94

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
7月	08132	鉦皓控股	110,000,000	0.120	13.20
	08351	俊文寶石	172,970,900	0.220	38.05
	08150	無縫綠色	70,000,000	0.800	56.00
	08168	英裘控股	230,400,000	0.280	64.51
	08130	智城控股	59,060,000	0.427	25.22
8月	08108	名家國際	70,000,000	0.045	3.12
	08123	首華財經網絡	429,996,000	0.121	52.03
	08085	香港生命科學	658,380,000	0.160	105.34
	08242	宏創高科	40,000,000	0.250	10.00
	08167	中國新電信	109,000,000	0.330	35.97
	08039	天馬娛樂	70,000,000	0.900	63.00
	08053	比優集團	50,000,000	0.185	9.25
	08150	無縫綠色	2,900,000	2.230	6.47
	08079	無限創意控股	20,960,000	0.225	4.72
	08050	雲博產業	450,000,000	0.225	101.25
	08166	中國農業生態	586,000,000	0.042	24.61
	08046	恒芯中國	200,000,000	0.250	50.00
	08047	昇力集團	73,000,000	0.850	62.05
總額					724.79

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2012	第3季	1	0.13	8.00
	第4季	1	0.01	8.00
2013	第1季	0	0.85	0.00
	第2季	0	0.00	0.00
	第3季	0	0.00	0.00

創業板新上市股份認股權證

2013年第3季

股份代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2013年9月底 收市	2013年6月底 收市	升跌
標準普爾／香港交易所大型股指數	27386.46	24823.71	+ 10.32%
標準普爾／香港交易所創業板指數	450.16	392.10	+ 14.81%
恒生指數	22859.86	20803.29	+ 9.89%
分類指數			
金融	31603.12	29297.68	+ 7.87%
公用事業	51148.58	50801.22	+ 0.68%
地產	30297.15	28436.00	+ 6.55%
工商業	13368.91	11653.99	+ 14.72%
恒生香港中資企業指數	4380.23	4028.72	+ 8.73%
恒生中國企業指數	10316.12	9311.44	+ 10.79%

股票市場指數

世界指數

指數	2013年9月底 收市	2013年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	27,386.46	24,823.71	+ 10.32%
恒生指數	22,859.80	20,803.29	+ 9.89%
澳洲			
普通股指數	5,217.74	4,775.41	+ 9.26%
雅加達			
綜合指數	4,316.18	4,818.90	- 10.43%
日本			
日經指數(225)	14,455.80	13,677.32	+ 5.69%
韓國			
綜合指數	1,996.96	1,863.32	+ 7.17%
吉隆坡			
綜合指數	1,768.62	1,773.54	- 0.28%
馬尼拉			
綜合指數	6,191.80	6,465.28	- 4.23%
紐西蘭			
證交所指數(50)	4,736.39	4,440.17	+ 6.67%
上海			
A股指數	2,276.27	2,071.26	+ 9.90%
B股指數	252.55	238.66	+ 5.82%
深圳			
A股指數	1,103.18	925.75	+ 19.17%
B股指數	827.64	775.94	+ 6.66%
新加坡			
FTSE海峽時報指數	3,167.87	3,150.44	+ 0.55%
台灣			
台北加權股份指數	8,173.87	8,062.21	+ 1.38%
泰國			
證交所指數	1,383.16	1,451.90	- 4.73%
紐約			
杜瓊斯工業平均指數	15,129.67	14,909.60	+ 1.48%
Nasdaq綜合指數	3,771.48	3,403.25	+ 10.82%
多倫多			
S&P/TSX綜合指數	12,787.19	12,129.11	+ 5.43%
德國			
法蘭克福指數	4,545.36	4,209.43	+ 7.98%
倫敦			
富時指數(100)	6,462.22	6,215.47	+ 3.97%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2013年第3季	2012年第3季
恒生指數期貨	4,926,071	4,924,352
恒生指數期權	1,959,869	2,289,204
小型恒生指數期貨	2,237,418	2,086,235
小型恒生指數期權	292,768	309,341
H股指數期貨	5,534,747	3,813,494
H股指數期權	2,240,055	1,536,898
小型H股指數期貨	655,817	335,449
自訂條款恒生指數期權	100	2,200
自訂條款H股指數期權	3,300	1,956
恒指股息點指數期貨	3,701	10,760
恒生國企股息點指數期貨	33,075	68,524
恒指波幅指數期貨	378	324
中華交易服務中國120指數期貨 ²	26,312	—
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	21
FTSE/JSE Top40期貨	0	0
股票期貨	86,034	68,787
股票期權	13,681,676	13,428,912
三個月港元利率期貨	0	—
一個月港元利率期貨	20	0
三年期外匯基金債券期貨	25	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ¹	26,868	2,172
黃金期貨	0	0
總數	31,708,234	28,878,629

¹ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

² 中華交易服務中國120指數期貨由2013年8月12日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第3季	4,924,352	124,794
	第4季	4,882,303	139,344
2013年	第1季	4,871,620	107,939
	第2季	5,468,244	101,093
	第3季	4,926,071	123,253

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第3季	2,086,235	7,350
	第4季	1,832,074	6,638
2013年	第1季	1,873,375	6,897
	第2季	2,169,689	7,096
	第3季	2,237,418	8,969

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第3季	2,289,204	364,960
	第4季	2,115,315	260,785
2013年	第1季	2,269,370	316,226
	第2季	2,598,223	282,528
	第3季	1,959,869	293,948

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第3季	3,813,494	142,443
	第4季	4,276,867	181,909
2013年	第1季	4,549,577	147,677
	第2季	5,259,192	197,763
	第3季	5,534,747	214,299

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2012年	第3季	7,354.69	13,428,912	4,658,740
	第4季	7,341.35	14,366,259	4,031,961
2013年	第1季	10,840.90	16,408,792	4,758,454
	第2季	9,361.17	15,369,742	4,810,169
	第3季	8,479.72	13,681,676	4,882,089

衍生產品市場 期貨及期權

各期權類別之期權金總額

2013年第3季

期權類別	期權金額(百萬港元)	佔市場百分比	
X 安碩 A50 中國	A50	529.01	6.24%
農銀 A50	ABC	98.45	1.16%
安海銀 水泥股份	ACC	76.14	0.90%
友邦保險	AIA	302.62	3.57%
中國鋁業	ALC	9.97	0.12%
華夏滬深三百	AMC	37.03	0.44%
中國銀行	BCL	102.95	1.21%
交通銀行	BCM	16.90	0.20%
東亞銀行	BEA	7.44	0.09%
百麗國際	BIH	55.05	0.65%
中銀香港	BOC	42.30	0.50%
比亞迪股份	BYD	17.52	0.21%
建設銀行	CCB	228.85	2.70%
中國交通建設	CCC	16.60	0.20%
中國中煤能源	CCE	38.51	0.45%
中國遠洋	CCS	3.03	0.04%
中國移動	CHT	439.70	5.19%
中國聯通	CHU	46.05	0.54%
中信實業	CIT	15.82	0.19%
長江人壽	CKH	218.98	2.58%
中國電控	CLI	331.50	3.91%
招商銀行	CLP	14.13	0.17%
中國海洋石油	CMB	50.26	0.59%
中國海外發展	CNC	110.70	1.31%
中國泰航	COL	176.14	2.08%
中國石化股份	CPA	1.93	0.02%
中國太保	CPC	75.35	0.89%
中國鐵建	CPI	104.65	1.23%
中國中鐵	CRC	16.89	0.20%
X 標智滬深300	CRG	3.80	0.04%
南方 A50	CS3	1.33	0.02%
中國神華	CSA	51.56	0.61%
中信銀行	CSE	109.93	1.30%
中國電信	CTB	8.75	0.10%
中國環球	CTC	16.34	0.19%
思捷環球集團	ESP	4.45	0.05%
富智康集團	FIH	4.37	0.05%
銀河娛樂	GLX	513.60	6.06%
長城汽車	GWM	12.13	0.14%
電能實業	HEH	26.64	0.31%
香港交易所	HEX	209.44	2.47%
匯豐控股	HKB	393.87	4.64%
香港中華煤氣	HKG	16.50	0.19%
恒基地產	HLD	36.10	0.43%
華能國際電力股份	HNP	23.74	0.28%
恒生銀行	HSB	16.38	0.19%
和記黃埔	HWL	301.38	3.55%
工商銀行	ICB	187.67	2.21%
江西銅業	JXC	58.70	0.69%
聯想集團	LEN	22.22	0.26%
利豐	LIF	73.00	0.86%
美國梅中國	MGM	4.01	0.05%
民生銀行	MSB	108.46	1.28%
地鐵公司	MTR	15.04	0.18%
中國建材	NBM	98.84	1.17%
新世界發展	NWD	29.68	0.35%
中國平安	PAI	430.01	5.07%
中國石油股份	PEC	183.78	2.17%
中國人民財產保險	PIC	41.13	0.48%
中國人民保險集團	PIN	7.20	0.08%
富力地產	RFP	3.68	0.04%
金沙中國有限公司	SAN	266.39	3.14%
新鴻基地產	SHK	286.92	3.38%
渣打集團	STC	7.29	0.09%
太古股份	SWA	41.15	0.49%
騰訊控股	TCH	1,408.16	16.61%
盈富基金	TRF	8.87	0.10%
九龍倉集團	WHL	75.83	0.89%
兗州煤業股份	YZC	140.35	1.66%
紫金礦業	ZJM	46.54	0.55%
總額		8,479.72	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2013年第3季

期權類別		合約成交量	佔市場百分比
X 安碩 A50 中國	A50	385,308	2.82%
農銀 A50	ABC	84,749	0.62%
安海銀 水泥股份	ACC	131,119	0.96%
友邦保險	AIA	393,561	2.88%
中國鋁業	ALC	26,907	0.20%
華夏滬深三百	AMC	24,891	0.18%
中國銀行	BCL	981,241	7.17%
交通銀行	BCM	96,703	0.71%
東亞銀行	BEA	46,815	0.34%
百麗國際	BIH	93,398	0.68%
中銀香港	BOC	131,129	0.96%
比亞迪股份	BYD	21,198	0.15%
建設銀行	CCB	1,331,699	9.73%
中國交通建設	CCC	68,077	0.50%
中國中煤能源	CCE	137,692	1.01%
中國遠洋	CCS	25,189	0.18%
中國移動	CHT	541,972	3.96%
中國聯通	CHU	68,329	0.50%
中信實業	CIT	47,614	0.35%
長江人壽	CKH	112,230	0.82%
中國電控	CLI	522,881	3.82%
招商銀行	CLP	32,007	0.23%
中國海洋石油	CMB	218,665	1.60%
中國海外發展	CNC	285,899	2.09%
中國泰航	COL	133,694	0.98%
中國石油化工股份	CPA	4,637	0.03%
中國太保	CPC	199,749	1.46%
中國鐵建	CPI	101,005	0.74%
中國中鐵	CRC	53,770	0.39%
X 標智滬深 300	CRG	17,185	0.13%
南方 A50	CS3	527	0.00%
中國神華	CSA	44,737	0.33%
中信銀行	CSE	194,182	1.42%
中國電信	CTB	45,635	0.33%
中國電訊	CTC	58,097	0.42%
思捷環球	ESP	88,134	0.64%
富智康集團	FIH	16,445	0.12%
銀河娛樂	GLX	437,317	3.20%
長城汽車	GWM	15,313	0.11%
電能實業	HEH	43,811	0.32%
香港交易所	HEX	631,726	4.62%
匯豐控股	HKB	691,649	5.06%
香港中華煤氣	HKG	38,593	0.28%
恒基地產	HLD	37,329	0.27%
華能國際電力股份	HNP	40,880	0.30%
恒生銀行	HSB	50,678	0.37%
和記黃埔	HWL	180,973	1.32%
工商銀行	ICB	1,081,954	7.91%
江西銅業	JXC	107,179	0.78%
聯想集團	LEN	34,594	0.25%
利豐	LIF	95,045	0.69%
美國梅中國	MGM	9,354	0.07%
民生銀行	MSB	109,206	0.80%
地鐵公司	MTR	34,098	0.25%
中國建材	NBM	120,694	0.88%
新世界發展	NWD	69,000	0.50%
中國平安	PAI	453,776	3.32%
中國石油股份	PEC	329,792	2.41%
中國人壽保險	PIC	53,445	0.39%
中國人壽保險集團	PIN	11,672	0.09%
富力地產有限公司	RFP	15,479	0.11%
金沙中國	SAN	613,576	4.48%
新鴻基地產	SHK	122,070	0.89%
渣打集團	STC	33,432	0.24%
太古股份	SWA	38,353	0.28%
騰訊控股	TCH	1,151,359	8.42%
盈富基金	TRF	28,957	0.21%
九龍倉集團	WHL	42,595	0.31%
兗州煤業股份	YZC	128,582	0.94%
紫金礦業	ZJM	62,125	0.45%
總數		13,681,676	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2012 第3季	66,152	42.10	156.14
第4季	76,640	50.63	198.54
2013 第1季	89,261	59.01	268.79
第2季	81,848	58.43	218.85
第3季	76,390	49.11	176.60

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2012 第3季	313	96.41	164.42
第4季	378	114.69	213.72
2013 第1季	463	240.85	251.98
第2季	386	70.50	229.88
第3季	347	46.57	139.57

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10 億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2012 第3季	3,672.03	69.98	10,816.76	50.71
第4季	3,663.66	70.30	12,330.06	51.73
2013 第1季	3,673.74	69.58	12,345.00	51.66
第2季	3,726.30	70.11	11,616.39	51.72
第3季	3,839.01	70.64	12,905.25	51.98

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2012	第3季	686,075	100	127,363.30	100	46,310.30	100
	第4季	804,910	100	127,520.75	100	55,786.39	100
2013	第1季	990,740	100	172,627.79	100	74,164.38	100
	第2季	960,186	100	145,842.29	100	62,161.42	100
	第3季	877,568	100	130,868.07	100	55,128.00	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2012	第3季	51,862	95.93	15,577.24	93.76
	第4季	61,879	95.79	19,248.65	92.00
2013	第1季	71,626	96.07	24,342.25	92.71
	第2季	65,358	96.26	20,347.75	92.80
	第3季	65,100	95.99	18,908.50	92.59

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2012	第3季	99.91	99.99
	第4季	99.90	99.99
2013	第1季	99.91	99.99
	第2季	99.89	99.99
	第3季	99.91	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2013年第3季	2012年第3季
恒生指數期貨	123,253	124,794
恒生指數期權	293,948	364,960
小型恒生指數期貨	8,969	7,350
小型恒生指數期權	4,698	10,384
H股指數期貨	214,299	142,443
H股指數期權	1,140,213	591,725
小型H股指數期貨	2,772	2,354
自訂條款恒生指數期權	2,694	13,010
自訂條款H股指數期權	27,441	8,431
恒指股息點指數期貨	8,009	7,724
恒生國企股息點指數期貨	88,814	72,355
恒指波幅指數期貨	128	69
中華交易服務中國120指數期貨 ²	216	—
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	—
FTSE/JSE Top40期貨	0	0
股票期貨	15,524	10,353
股票期權	4,882,089	4,658,740
三個月港元利率期貨	0	5
一個月港元利率期貨	6	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ¹	9,515	1,076
黃金期貨	0	0
總數	6,822,588	6,015,773

¹ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

² 中華交易服務中國120指數期貨由2013年8月12日開始買賣

結算及交收

各期權類別之未平倉合約

2013年第3季末

期權類別		未平倉合約	佔市場百份比
× 安碩 A50 中國	A50	106,999	2.19
農業銀行	ABC	44,580	0.91
安徽海螺水泥股份	ACC	34,836	0.71
友邦保險	AIA	90,287	1.85
中國鋁業	ALC	16,326	0.33
華夏滬深三百	AMC	8,883	0.18
中國銀行	BCL	642,698	13.16
交通銀行	BCM	58,944	1.21
東亞銀行	BEA	20,966	0.43
百麗國際	BIH	21,996	0.45
中銀香港	BOC	47,508	0.97
比亞迪股份	BYD	6,055	0.12
建設銀行	CCB	605,744	12.41
中國交通建設	CCC	23,956	0.49
中國中煤能源	CCE	56,307	1.15
中國遠洋	CCS	14,013	0.29
中國移動	CHT	140,941	2.89
中國聯通	CHU	27,561	0.56
中信泰富	CIT	13,847	0.28
長江實業	CKH	23,958	0.49
中國人壽	CLI	128,976	2.64
中電控股	CLP	10,634	0.22
招商銀行	CMB	70,634	1.45
中國海洋石油	CNC	126,670	2.59
中國海外發展	COL	41,678	0.85
國泰航空	CPA	2,898	0.06
中國石油化工股份	CPC	116,045	2.38
中國太保	CPI	28,436	0.58
中國鐵建	CRC	28,766	0.59
中國中鐵	CRG	10,770	0.22
× 標智滬深 300	CS3	381	0.01
南方 A50	CSA	16,698	0.34
中國神華	CSE	79,012	1.62
中信銀行	CTB	29,368	0.60
中國電信	CTC	39,598	0.81
思捷環球	ESP	17,134	0.35
富智康集團	FIH	3,849	0.08
銀河娛樂	GLX	76,086	1.56
長城汽車	GWM	4,645	0.10
電能實業	HEH	11,915	0.24
香港交易所	HEX	193,873	3.97
匯豐控股	HKB	178,515	3.66
香港中華煤氣	HKG	13,388	0.27
恒基地產	HLD	5,547	0.11

結算及交收

期權類別		未平倉合約	佔市場百分比
華能國際電力股份	HNP	15,677	0.32
恒生銀行	HSB	18,723	0.38
和記黃埔	HWL	32,230	0.66
工商銀行	ICB	589,910	12.08
江西銅業	JXC	19,549	0.40
聯想集團	LEN	18,348	0.38
利豐	LIF	29,025	0.59
美高梅中國	MGM	3,970	0.08
民生銀行	MSB	43,990	0.90
地鐵公司	MTR	10,236	0.21
中國建材	NBM	32,306	0.66
新世界發展	NWD	23,451	0.48
中國平安	PAI	162,759	3.33
中國石油股份	PEC	114,479	2.34
中國人民財產保險	PIC	18,902	0.39
中國人民保險集團	PIN	3,930	0.08
富力地產	RFP	4,807	0.10
金沙中國有限公司	SAN	135,488	2.78
新鴻基地產	SHK	27,771	0.57
渣打集團	STC	9,746	0.20
太古股份公司A	SWA	10,997	0.23
騰訊控股	TCH	210,052	4.30
盈富基金	TRF	17,093	0.35
九龍倉集團	WHL	9,061	0.19
兗州煤業股份	YZC	43,685	0.89
紫金礦業	ZJM	33,983	0.70
總數		4,882,089	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第3季	568 (994)	506 (923)	41 (50)	21 (21)
	第4季	571 (996)	511 (928)	39 (47)	21 (21)
2013	第1季	572 (997)	509 (924)	42 (52)	21 (21)
	第2季	569 (987)	507 (918)	42 (49)	20 (20)
	第3季	566 (982)	506 (915)	40 (47)	20 (20)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第3季	235 (259)	185 (207)	—	50 (52)
	第4季	234 (258)	185 (207)	—	49 (51)
2013	第1季	230 (254)	181 (203)	—	49 (51)
	第2季	233 (257)	180 (202)	—	53 (55)
	第3季	232 (256)	179 (201)	—	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2012 第3季	158	9
第4季	158	9
2013 第1季	154	8
第2季	154	8
第3季	152	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2012 第3季	62	8
第4季	63	9
2013 第1季	63	9
第2季	62	9
第3季	62	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2012 第3季	491	9	47
第4季	493	9	46
2013 第1季	491	9	45
第2季	488	9	44
第3季	486	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券