



證券及衍生產品市場 季報

2014年第三季

市場概況

主板

概況	2014 年第 3 季	2013 年第 3 季
上市公司數目	1,521	1,399
上市證券數目	8,955	8,196
股份		
普通股	1,522	1,400
優先股	3	3
認股權證	4,801	4,705
股份	7	9
衍生	4,794	4,696
股票掛鈎票據	0	0
牛熊證	1,918	1,596
單位信託及互惠基金	133	125
債券	578	367
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	64	63
總成交金額(百萬港元)*	4,496,605.26	3,459,754.47
總成交股數(百萬股)	9,376,840.85	8,188,462.26
總成交宗數(宗數)	65,411,508	54,790,872
上市公司市價總值(百萬港元)	24,248,110.50	22,697,506.14
平均市盈率(倍)	10.69	10.69
平均週息率(百分率)	3.41	3.10
交易所參與者數目		
開業	499	506
非開業	37	40
成交金額(百萬港元)		
最高	100,486.84 (03/09)	78,240.06 (10/09)
最低	42,906.47 (21/07)	38,487.85 (22/07)
成交股數(百萬股)		
最高	194,955.84 (10/09)	167,566.30 (15/08)
最低	94,484.29 (21/07)	91,264.00 (19/09)
總成交宗數(宗數)		
最高	1,354,621 (03/09)	1,178,750 (02/07)
最低	706,292 (21/07)	628,186 (22/07)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2014 年第 3 季	2013 年第 3 季
上市公司數目	200	186
上市證券數目	200	186
股份	200	186
認股權證	0	0
交易日數	64	63
總成交金額(百萬港元)*	39,161.42	18,446.55
總成交股數(百萬股)	91,704.03	56,706.88
總成交宗數(宗數)	1,013,981	542,526
上市公司市價總值(百萬港元)	180,171.37	119,020.80
平均市盈率(倍)	11.52	41.33
平均週息率(百分率)	0.62	0.57
成交金額(百萬港元)		
最高	1,357.85 (25/09)	1,009.06 (27/09)
最低	371.61 (25/07)	150.17 (22/07)
成交股數(百萬股)		
最高	2,748.30 (25/09)	3,518.14 (27/09)
最低	825.32 (25/08)	344.56 (22/07)
總成交宗數(宗數)		
最高	26,215 (25/09)	15,466 (02/08)
最低	10,704 (14/07)	4,034 (22/07)

*成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2014年第3季	2013年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	64.0	63.0
總合約成交量	16,183,661	18,026,558
股市指數產品	16,017,437	17,913,611
股票產品	123,371	86,034
利率產品	10	45
人民幣貨幣期貨	42,843	26,868
黃金期貨	0	0
平均每日合約成交量	252,870	286,136
季末未平倉合約		
股市指數產品	1,964,462	1,940,499
股票產品	1,932,837	1,915,454
利率產品	20,040	15,524
利率產品	5	6
人民幣貨幣期貨	11,580	9,515
黃金期貨	0	0
股票期權		
新開辦期權類別	3	5
股票期權數目(於季末數目)		
類別	77	70
系列	21,888	16,546
期權金總額(百萬港元)		
認購	11,835.64	8,479.72
認沽	6,183.52	4,272.73
認沽	5,652.12	4,206.99
平均每日期權金總額(百萬港元)	184.93	134.60
總合約成交量	19,462,284	13,681,676
認購	10,154,013	6,560,094
認沽	9,308,271	7,121,582
平均每日合約成交量	304,098	217,169
季末未平倉合約	6,016,138	4,882,089
認購	2,756,132	2,217,919
認沽	3,260,006	2,664,170
總成交宗數	776,920	649,069
平均每日成交宗數	12,139	10,303
已行使合約總數	1,773,366	1,498,774
每張合約平均期權金(港元)	608.13	619.79
每宗交易平均合約數目	25	21
認沽數量／認購數量	0.92	1.09
平均每日合約成交量／未平倉合約	5.1%	4.4%
所有期貨及期權產品		
總合約成交量	35,645,945	31,708,234
季末未平倉合約	7,980,600	6,822,588

市場概況

結算及交收

中央結算系統營運資料	2014年第3季	2013年第3季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	1,037,135	877,568
-交易金額(十億港元)	70.7	55.1
-涉及股數(十億股)	147.9	130.9
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	87,928	76,390
-交收指示涉及金額(十億港元)	206.3	176.6
-涉及股數(十億股)	57.8	49.1
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	406	347
-交收指示涉及金額(百萬港元)	166.2	139.6
-涉及股數(百萬股)	69.3	46.6
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.90	99.91
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	5	4
-補購宗數	5	4
-補購涉及金額(百萬港元)	2.6	1.0
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	4,262.9	3,839.0
-獲納入系統的證券佔已發行股本總數的百分比	71.01	70.64
-股份價值(十億港元)	14,144.9	12,905.3
-獲納入系統的證券佔市價總值的百分比	52.56	51.98
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	439,167	446,460
-股票期貨	20,040	15,524
-黃金期貨	0	0
-利率期貨	5	6
-人民幣貨幣期貨	11,580	9,515
-股市指數期權	1,493,670	1,468,994
-股票期權	6,016,138	4,882,089
累積行使股票期權		
-涉及股數(百萬股)	1,942	1,663
-涉及金額(十億港元)	41	29

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2013	第 3 季	547,703.15	2,531,014.96	44,925,237
	第 4 季	696,519.78	2,824,736.45	47,763,753
2014	第 1 季	823,979.44	3,181,471.21	56,265,350
	第 2 季	639,726.12	2,641,701.39	45,496,255
	第 3 季	875,838.39	3,158,832.53	52,249,276

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2013	第 3 季	63	40,174.84	56,338
	第 4 季	62	45,560.27	59,140
2014	第 1 季	61	52,155.27	56,544
	第 2 季	60	44,028.36	58,064
	第 3 季	64	49,356.76	60,457

主 板 股 份

20種升幅最大之股份

2014年第3季

順次	股份代號	股份	收市價		升幅
			2014年9月底	2014年6月底	
1	01332	確利達國際	6.280	0.770	715.58
2	00572	中國包裝集團	0.400	0.061	555.74
3	00139	中國微電子	0.900	0.145	520.69
4	02623	中國中盛資源	7.080	1.370	416.79
5	00439	光啟科學	5.660	1.100	414.55
6	00412	漢基控股	2.100	0.453	A 363.58
7	00167	IDT INT'L	0.680	0.167	307.19
8	00471	中播控股	0.420	0.119	A 252.94
9	00827	玖源集團	0.275	0.078	252.56
10	00091	標準資源控股	0.445	0.130	242.31
11	01041	中國新能源動力	0.430	0.147	192.52
12	01076	博華太平洋	1.640	0.570	187.72
13	00747	瀋陽公用發展股份	1.470	0.530	177.36
14	00232	中國航空工業國際	0.770	0.290	165.52
15	00431	大中華實業	1.130	0.430	162.79
16	00205	財訊傳媒	0.770	0.305	152.46
17	00211	STYLAND HOLD	0.275	0.121	127.27
18	01159	中國綠能國際	12.020	5.300	A 126.79
19	02393	巨星國際控股	9.250	4.180	121.29
20	01328	精英國際	1.130	0.520	117.31

A 經調整

20種跌幅最大之股份

2014年第3季

順次	股份代號	股份	收市價		跌幅
			2014年9月底	2014年6月底	
1	01355	枋濬國際	0.660	2.550	-74.12
2	00356	INCUTECH INVEST	0.128	0.480	-73.33
3	01063	新確科技	0.134	0.435	-69.20
4	00913	合一投資	0.570	1.800	-68.33
5	02366	星美文化	0.395	1.050	-62.38
6	03337	安東油田服務	2.300	5.280	-56.44
7	01353	諾奇	1.000	2.170	-53.92
8	02309	伯明翰環球	0.063	0.119	-47.06
9	00065	弘海有限公司	0.155	0.285	-45.61
10	01262	蠟筆小新食品	1.090	1.990	-45.23
11	00102	凱升控股	3.250	5.900	-44.92
12	00484	雲遊控股	14.840	26.650	-44.32
13	01803	瀚洋物流	0.730	1.310	-44.27
14	00894	萬裕科技	1.590	2.850	-44.21
15	01112	合生元	24.150	43.000	-43.84
16	00630	雋泰控股	0.152	0.270	-43.70
17	00616	永義實業	0.200	0.355	-43.66
18	01180	匯彩控股	2.880	5.090	-43.42
19	02312	中國金融租賃	1.730	2.880	-39.93
20	00869	彩星玩具	1.780	2.940	-39.46

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2014年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	157,073.30	4.97
2	00941	中國移動	124,301.26	3.94
3	00388	香港交易所	81,393.41	2.58
4	01398	工商銀行	76,504.42	2.42
5	00005	匯豐控股	73,202.19	2.32
6	00939	建設銀行	71,143.97	2.25
7	03988	中國銀行	65,721.67	2.08
8	00883	中國海洋石油	57,997.07	1.84
9	01928	金沙中國有限公司	57,537.66	1.82
10	00857	中國石油股份	57,412.16	1.82
11	02318	中國平安	54,393.73	1.72
12	01299	友邦保險	52,207.54	1.65
13	00386	中國石油化工股份	50,361.65	1.59
14	00027	銀河娛樂	46,795.16	1.48
15	02628	中國人壽	42,277.11	1.34
16	00013	和記黃埔	40,625.34	1.29
17	00688	中國海外發展	32,752.25	1.04
18	00001	長江實業	32,234.26	1.02
19	00016	新鴻基地產	31,468.48	1.00
20	01288	農業銀行	28,905.26	0.92
總額			1,234,307.85	39.07

20種成交最活躍股份(股數)

2014年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	18,154.12	2.07
2	01398	工商銀行	14,884.59	1.70
3	00745	中國國家文化產業	14,799.88	1.69
4	00981	中芯國際	13,783.21	1.57
5	00572	中國包裝集團	12,803.82	1.46
6	00939	建設銀行	12,343.59	1.41
7	00931	中國天然氣	10,999.51	1.26
8	00585	意馬國際	10,628.64	1.21
9	00827	玖源集團	10,461.33	1.19
10	00986	中國環保能源	10,127.01	1.16
11	00471	中播控股	9,684.64	1.11
12	01288	農業銀行	7,956.21	0.91
13	00566	漢能太陽能	7,912.97	0.90
14	03800	保利協鑫能源	7,415.14	0.85
15	00613	YUGANG INT'L	7,184.52	0.82
16	00353	能源國際投資	6,744.22	0.77
17	00386	中國石油化工股份	6,736.31	0.77
18	00076	南海石油	6,110.76	0.70
19	01041	中國新能源動力	6,019.44	0.69
20	01371	華彩控股	5,931.96	0.68
總數			200,681.86	22.91

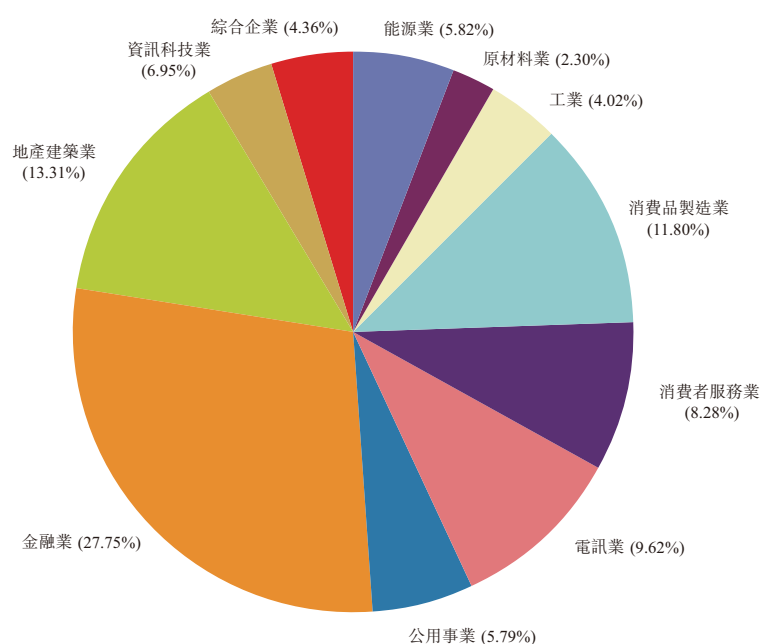
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2013	第3季	22,697,506.14
	第4季	23,908,803.80
2014	第1季	22,892,041.53
	第2季	23,780,215.41
	第3季	24,248,110.50

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2014年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,828,191.95	7.54
2	00005	匯豐控股有限公司	1,523,562.90	6.28
3	00939	中國建設銀行股份有限公司—H股	1,307,870.22	5.39
4	00700	騰訊控股有限公司	1,081,525.94	4.46
5	00883	中國海洋石油有限公司	594,704.11	2.45
6	00805	Glencore plc *	—	570,326.44 N.A.
7	01299	友邦保險控股有限公司	483,610.30	1.99
8	02378	英國保誠有限公司 *	—	458,136.35 N.A.
9	01398	中國工商銀行股份有限公司—H股	420,083.18	1.73
10	00013	和記黃埔有限公司	400,756.85	1.65
11	02888	渣打集團有限公司	360,942.41	1.49
12	01928	金沙中國有限公司	326,672.16	1.35
13	00267	中國中信股份有限公司	322,747.07	1.33
14	00016	新鴻基地產發展有限公司	300,082.40	1.24
15	00001	長江實業(集團)有限公司	296,237.42	1.22
16	03988	中國銀行股份有限公司—H股	291,005.52	1.20
17	00945	Manulife Financial Corporation *	—	279,479.75 N.A.
18	00762	中國聯合網絡通信(香港)股份有限公司	277,336.13	1.14
19	02388	中銀香港(控股)有限公司	261,676.31	1.08
20	00011	恒生銀行有限公司	238,406.79	0.98
21	00857	中國石油天然氣股份有限公司—H股	209,934.06	0.87
22	00388	香港交易及結算所有限公司	195,176.08	0.80
23	00027	銀河娛樂集團有限公司	191,363.53	0.79
24	03328	交通銀行股份有限公司—H股	189,414.18	0.78
25	02318	中國平安保險(集團)股份有限公司—H股	182,463.40	0.75
26	00003	香港中華煤氣有限公司	177,083.34	0.73
27	00066	香港鐵路有限公司	176,877.53	0.73
28	00386	中國石油化工股份有限公司—H股	173,491.38	0.72
29	00004	九龍倉集團有限公司	167,263.03	0.69
30	00688	中國海外發展有限公司	163,316.03	0.67
31	02628	中國人壽保險股份有限公司—H股	160,357.32	0.66
32	00002	中電控股有限公司	157,524.19	0.65
33	00012	恒基兆業地產有限公司	150,896.65	0.62
34	00019	太古股份有限公司	146,628.37	0.60
35	00006	電能實業有限公司	146,517.06	0.60
36	01972	太古地產有限公司	141,570.00	0.58
37	01038	長江基建集團有限公司	132,958.80	0.55
38	01128	永利澳門有限公司	128,329.47	0.53
39	00151	中國旺旺控股有限公司	127,737.53	0.53
40	01913	PRADA S.p.A.	120,648.55	0.50
41	00992	聯想集團有限公司	120,311.25	0.50
42	00322	康師傅控股有限公司	114,294.66	0.47
43	06883	新濠博亞娛樂有限公司	108,889.92	0.45
44	01288	中國農業銀行股份有限公司—H股	105,741.55	0.44
45	01929	周大福珠寶集團有限公司	101,000.00	0.42
46	00836	華潤電力控股有限公司	100,457.25	0.41
47	00101	恒隆地產有限公司	99,123.75	0.41
48	01044	恒安國際集團有限公司	93,666.12	0.39
49	00288	萬洲國際有限公司	93,456.93	0.39
50	01109	華潤置地有限公司	93,299.50	0.38
總額			14,585,203.10	60.15
市場總值			24,248,110.50	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2013	第3季	362,504.10	3,478,201.02	10.42
	第4季	327,062.28	3,661,066.79	8.93
2014	第1季	413,654.80	4,167,426.78	9.93
	第2季	355,541.15	3,447,418.64	10.31
	第3季	464,325.10	4,535,766.69	10.24

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2013	第3季	38,306.44	8,245,169.14	0.46
	第4季	34,177.79	7,256,548.86	0.47
2014	第1季	41,100.03	8,443,354.93	0.49
	第2季	36,449.08	6,775,296.43	0.54
	第3季	45,864.62	9,468,544.88	0.48

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2013	第3季	15	2	19,845.52
	第4季	48	5	107,181.69
2014	第1季	18	2	45,540.23
	第2季	24	2	35,955.89
	第3季	27	2	48,750.63

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2014年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
06139	金茂投資與金茂(中國)投資控股有限公司－SS	2014/07/02	2,000,000,000	3,581.27
01446	鴻福堂集團控股有限公司	2014/07/04	655,700,000	236.21
06136	康達國際環保有限公司	2014/07/04	2,067,515,000	1,589.04
01450	世紀睿科控股有限公司	2014/07/07	1,000,000,000	270.00
02329	國瑞置業有限公司	2014/07/07	4,431,634,000	1,622.29
06188	北京迪信通商貿股份有限公司－H股	2014/07/08	328,967,000	883.34
01599	北京城建設計發展集團股份有限公司－H股	2014/07/08	387,937,000	1,066.83
00787	利標品牌有限公司	2014/07/09	8,360,398,306	–
02186	綠葉製藥集團有限公司	2014/07/09	3,321,073,843	6,805.55
01980	天鵲互動控股有限公司	2014/07/09	1,262,707,000	1,847.51
01321	中國新城市商業發展有限公司	2014/07/10	1,738,000,000	608.40
01418	盛諾集團有限公司	2014/07/10	1,650,002,000	795.00
01848	中國飛機租賃集團控股有限公司	2014/07/11	585,781,000	728.85
01856	依波路控股有限公司	2014/07/11	347,000,000	198.00
01367	恒寶企業控股有限公司	2014/07/11	480,000,000	62.40
06123	先達國際物流控股有限公司	2014/07/11	415,000,000	135.70
01432	中國聖牧有機奶業有限公司	2014/07/15	6,354,400,000	1,063.07
02399	中國虎都控股有限公司	2014/07/16	480,000,000	468.00
00400	科通芯城集團	2014/07/18	1,374,000,000	1,375.20
01253	博大綠澤國際有限公司	2014/07/21	767,214,000	279.78
06168	中國優通控股有限公司*	2014/08/01	1,695,120,000	–
00288	萬洲國際有限公司	2014/08/05	14,648,421,111	18,305.56
01365	中國潤東汽車集團有限公司	2014/08/12	1,074,474,000	961.66
06166	中國宏泰產業市鎮發展有限公司	2014/08/25	1,637,641,000	1,133.49
00048	中國汽車內飾集團有限公司*	2014/08/25	1,152,000,000	–
00699	神州租車有限公司	2014/09/19	2,357,512,070	4,167.48
02221	創業集團(控股)有限公司	2014/09/19	400,000,000	86.00
01285	嘉士利集團有限公司	2014/09/25	400,000,000	370.00
01395	強泰環保控股有限公司	2014/09/26	800,000,000	110.00
總額				48,750.63

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2014年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
00074	長城科技股份有限公司－H股	2014/07/04	2014/07/11
06813	凱德商用產業有限公司	2014/06/10	2014/07/22
01997	峻凌國際控股有限公司	2014/07/24	2014/08/07

主 板 股 份

公司名稱更改

2014 年第 3 季

股份代號	原有名稱	新名稱	生效日期	採用日期
01076	第一天然食品有限公司	博華太平洋國際控股有限公司	2014/05/22	2014/07/02
00112	勒泰控股有限公司	勒泰商業地產有限公司	2014/06/24	2014/07/02
00256	中國海澱集團有限公司	冠城鐘錶珠寶集團有限公司	2014/05/26	2014/07/07
02083	大自然地板控股有限公司	大自然家居控股有限公司	2014/06/09	2014/07/09
01259	青蛙王子國際控股有限公司	中國兒童護理有限公司	2014/06/06	2014/07/11
01733	永暉焦煤股份有限公司	永暉實業控股股份有限公司	2014/06/25	2014/07/23
06828	中國威力印刷集團有限公司	藍天威力控股有限公司	2014/06/30	2014/07/24
00082	第一視頻集團有限公司	第一視頻集團有限公司	2014/06/13	2014/07/28
02358	三九東傑(控股)有限公司	久融控股有限公司	2014/06/30	2014/08/04
01060	文化中國傳播集團有限公司	阿里巴巴影業集團有限公司	2014/06/30	2014/08/08
00705	南亞礦業有限公司	鼎和礦業控股有限公司	2014/08/01	2014/08/11
00904	中國綠色食品(控股)有限公司	中國粗糧王飲品控股有限公司	2014/07/01	2014/08/15
00727	卓越金融有限公司	皇冠環球集團有限公司	2014/08/11	2014/08/29
00267	中信泰富有限公司	中國中信股份有限公司	2014/08/26	2014/09/01
01682	福源集團控股有限公司	高銳中國物聯網國際有限公司	2014/08/04	2014/09/01
01196	昌明投資有限公司	偉祿集團控股有限公司	2014/08/28	2014/09/17
01019	康宏理財控股有限公司	康宏金融控股有限公司	2014/08/20	2014/09/18
01240	新利控股有限公司	青建國際控股有限公司	2014/08/13	2014/09/19
00439	英發國際有限公司	光啟科學有限公司	2014/08/22	2014/09/22
00095	新澤控股有限公司	綠景(中國)地產投資有限公司	2014/09/01	2014/09/29

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2014年第3季

股份代號	公司	詳情	除淨日
01103	上海棟華石油化工股份有限公司—H股	10送5	2014/07/02
00471	中國移動多媒體廣播控股有限公司	每供2股送1股股份	2014/07/04
00474	昊天發展集團有限公司	每供1股送1股股份	2014/08/01
01271	佳明集團控股有限公司	10送1	2014/08/04
01141	保興資本控股有限公司	4送1	2014/08/18
00412	漢基控股有限公司	2送1	2014/08/20
00248	香港通訊國際控股有限公司	8送1	2014/08/28
00279	民豐企業控股有限公司	1送1	2014/09/01
00329	叁龍國際有限公司	1送4	2014/09/03
00273	威利國際控股有限公司	4送1	2014/09/11
00190	香港建設(控股)有限公司	5股送1份認股權證	2014/09/30

股份拆細及合併

2014年第3季

股份代號	公司	詳情	生效日期
00120	四海國際集團有限公司	10股合併為1股	2014/07/15
00179	德昌電機控股有限公司	4股合併為1股	2014/07/15
00943	意科控股有限公司	10股合併為1股	2014/07/29
00474	昊天發展集團有限公司	10股合併為1股	2014/07/31
00397	君陽太陽能電力投資有限公司	4股合併為1股	2014/08/12
00221	PNG資源控股有限公司	10股合併為1股	2014/08/20
00444	Sincere Watch (Hong Kong) Ltd.	1股分拆為5股	2014/09/02
01159	中國綠能國際集團有限公司	5股合併為1股	2014/09/12
00876	永利控股有限公司	1股分拆為8股	2014/09/23

主 板 股 份

供股／公開售股

2014年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	06823	香港電訊信託與 香港電訊有限公司－SS	股權100供18，每股份合訂單位供6.84港元	7,900.28
	01226	中國投融资集團有限公司	公開售股2供1，每股供0.20港元	58.16
	00471	中國移動多媒體廣播控股有限公司	股權1供2，每股供0.15港元 另每供2股送1股股份	276.36
8月	00120	四海國際集團有限公司	公開售股1供2(合併後)@HKD 0.10 (可選擇收取可換股優先股， 按一換一基準替代其全部或部分 公開發售股份配額)	205.13
	00519	實力建業集團有限公司	股權2供1，每股供0.139港元	80.60
	02700	格林國際控股有限公司	公開售股10供3，每股供0.45港元	179.69
	00356	Incutech Investments Ltd.	公開售股2供15 @HKD 0.10 (僅向合資格股東提呈)	42.75
	00943	意科控股有限公司	股權1供16，每股供0.50港元 (10股合併為1股後)	262.05
	00474	昊天發展集團有限公司	公開售股1供2，每股供0.25港元 另每供1股送1股股份 (10股合併為1股後)	198.60
	00859	鎮科集團控股有限公司	公開售股5供1，每股供0.85港元	126.31
	00720	意達利控股有限公司	公開售股2供1，每股供0.065港元	112.43
	00164	中國伽瑪集團有限公司	股權2供1，每股供0.068港元	149.52
	00904	中國粗糧王飲品控股有限公司	公開售股2供1，每股供0.25港元	132.61
	00080	中國新經濟投資有限公司	股權2供1，每股供0.28港元	42.42
	00810	華保亞洲發展有限公司	股權1供4，每股供0.10港元	210.66
9月	01788	國泰君安國際控股有限公司	股權5供1，每股供5.30港元	2,006.37
	00648	中國仁濟醫療集團有限公司	股權2供1，每股供0.27港元	100.54
總額				12,084.48

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2014					
7 月	00706	美麗中國控股	426,000,000	0.4000	170.40
	00735	中國電力新能源	339,400,110	0.7500	254.55
	00228	中能控股	1,584,224,000	0.1010	160.01
	01043	光宇國際集團科技	30,000,000	5.4000	162.00
	00727	皇冠環球集團	300,000,000	0.3600	108.00
	00705	鼎和礦業	321,636,000	0.1500	48.25
	02389	正峰集團	400,000,000	0.3250	130.00
	01082	香港教育國際	394,080,000	0.1450	57.14
	01071	華電國際電力股份	286,205,600	4.9200	1,408.13
	00139	中國微電子	406,747,565	0.1250	50.84
	01833	銀泰商業	220,541,892	7.5335	1,661.45
	00872	錦恒汽車安全	102,300,000	0.3700	37.85
	00397	君陽太陽能	1,500,000,000	0.1000	150.00
	03303	巨濤海洋石油服務	40,000,000	1.8500	74.00
	00582	藍鼎國際	500,000,000	0.5000	250.00
	00556	泛亞環保	40,000,000	1.6300	65.20
	01822	幻音數碼	616,275,000	0.1680	103.53
	00376	瑞東集團	17,021,277	2.3500	40.00
	00521	首長科技	418,144,000	0.3000	125.44
	00039	中國釀酒集團	82,000,000	0.7000	57.40
	00702	中國油氣控股	2,900,000,000	0.2050	594.50
	01020	華耐控股	110,000,000	0.5700	62.70
	00598	中國外運	357,481,000	4.8000	1,715.91
	00395	中國智能集團	260,000,000	0.3100	80.60
	01046	寰宇國際	343,200,000	0.1000	34.32
	00959	奧瑪仕國際	6,400,000	1.2000	7.68
	00835	亞洲煤業	202,000,000	0.1000	20.20
	01069	中國農林低碳	47,504,000	1.6500	78.38
	00209	中國大亨飲品	337,280,000	0.2050	69.14
	01380	中國金石	40,000,000	0.2500	10.00
	00204	中國投資開發	22,000,000	1.4500	31.90
	00245	中國七星控股	110,000,000	0.1690	18.59
	00267	中信股份	3,952,114,000	13.4800	53,274.50
	00745	中國國家文化產業	709,000,000	0.3000	212.70
	01363	中滔環保	60,000,000	5.9000	354.00
	00616	永義實業	78,000,000	0.3000	23.40
	02389	正峰集團	3,984,000,000	0.2500	996.00
	00033	和協海峽金融集團	357,000,000	0.1000	35.70
	00295	江山控股	6,528,080,000	0.3600	2,350.11
	00439	光啟科學	1,666,666,668	0.0800	133.33
	01355	枋濬國際	43,500,000	0.7900	34.37
	01227	國盛投資	132,660,000	0.6000	79.60
	01868	真明麗	1,000,000,000	0.9000	900.00
	00055	中星集團控股	203,860,000	0.1630	33.23
	00444	SINCEREWATCH HK	80,000,000	1.3400	107.20
	00897	位元堂	586,000,000	0.1860	109.00
	00968	信義光能	380,000,000	2.0700	786.60

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
9 月	00922	安賢園中國	320,000,000	0.1700	54.40
	00048	中國汽車內飾	230,400,000	0.3800	87.55
	03777	中國光纖	291,000,000	2.4200	704.22
	00149	中國農產品交易	250,000,000	0.3300	82.50
	00085	中國電子	338,312,000	1.6300	551.45
	00235	中策集團	739,800,000	0.1600	118.37
	06168	中國優通	62,500,000	1.6000	100.00
	01378	中國宏橋	275,880,000	6.1900	1,707.70
	00497	資本策略地產	1,300,000,000	0.3500	455.00
	01808	企展控股	293,477,920	0.2380	69.85
	00566	漢能太陽能	9,000,000,000	0.1000	900.00
	00275	錦興集團	224,000,000	0.3600	80.64
	01689	華禧控股	28,000,000	1.7000	47.60
	01366	江南集團	317,950,000	1.9500	620.00
	00439	光啟科學	289,900,000	5.3860	1,561.40
	00136	馬斯葛集團	847,015,679	0.0900	76.23
	01227	國盛投資	132,679,325	0.7000	92.88
	00221	PNG資源	184,000,000	0.3250	59.80
	01348	滙達富控股	48,000,000	1.2500	60.00
	01159	中國綠能國際	325,000,000	0.3500	113.75
	00198	星美國際	780,000,000	0.2850	222.30
	00852	海峽石油化工	57,794,409	1.1000	63.57
	00086	新鴻基公司	160,000,000	6.3000	1,008.00
	00307	優派能源發展	575,100,000	1.0000	575.10
	00309	新華通訊頻媒	65,200,000	0.3250	21.19
總 額					76,701.35

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬元)	衍生權證 (百萬元)	總額 (百萬元)
2013	第3季	12.47	389,973.38	389,985.85
	第4季	37.19	358,530.50	358,567.70
2014	第1季	30.09	431,689.18	431,719.27
	第2季	651.85	384,246.51	384,898.36
	第3季	1,251.17	626,632.51	627,883.68

認股權證市價總值

		數目	股份認股權證 (百萬元)	數目	衍生權證 (百萬元)	總數	總額 (百萬元)
2013	第3季	9	280.27	4,696	91,474.60	4,705	91,754.87
	第4季	8	356.40	4,715	95,733.93	4,723	96,090.32
2014	第1季	7	336.27	5,335	76,633.31	5,342	76,969.58
	第2季	5	2,493.01	5,054	67,588.71	5,059	70,081.72
	第3季	7	3,338.72	4,794	76,683.23	4,801	80,021.95

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬元)
2013	第3季	0	1,654	40,026.39
	第4季	0	2,046	45,648.98
2014	第1季	1	2,188	51,909.16
	第2季	1	1,435	33,081.98
	第3季	2	1,951	44,515.12

新上市股份認股權證

2014年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬元)
00481	金衛醫療集團有限公司2015年認股權證	434,965,146	2014/08/01	2015/07/30	—
01453	文化傳信集團有限公司2016年認股權證	118,125,000	2014/09/22	2016/09/21	25.20
總額					25.20

主 板 認 股 權 證

新上市衍生權證

2014 年 第 3 季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27215	BOCI Asia Ltd. - Galaxy Ent European Warrants Nov 2014 C	100,000,000	2014/07/02	2014/11/17	31.00
27205	BOCI Asia Ltd. - Kingsoft European Put Warrants Dec 2014 A	100,000,000	2014/07/02	2014/12/24	31.00
27211	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2015 A	100,000,000	2014/07/02	2015/10/26	17.00
27212	BOCI Asia Ltd. - Tencent Holdings European Put Wts Dec 2015 A	100,000,000	2014/07/02	2015/12/22	15.00
27166	Goldman Sachs SP (Asia) - BEA European Warrants Nov 2015 A	80,000,000	2014/07/02	2015/11/30	20.24
27202	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Jun 2015 A	80,000,000	2014/07/02	2015/06/17	14.32
27220	Goldman Sachs SP (Asia) - Huadian Power European Wts Jan15B	50,000,000	2014/07/02	2015/01/30	19.90
27167	Goldman Sachs SP (Asia) - PetroChina European Wts Jan 2015 A	80,000,000	2014/07/02	2015/01/30	20.64
27161	Goldman Sachs SP (Asia) - Shanghai Ind Euro Wts Apr 2015 A	80,000,000	2014/07/02	2015/04/30	20.24
27226	J P Morgan SP BV - AIA European Warrants Feb 2015 A	200,000,000	2014/07/02	2015/02/09	31.00
27224	J P Morgan SP BV - Ch Overseas European Put Wts May 2015 A	200,000,000	2014/07/02	2015/05/04	51.00
27228	J P Morgan SP BV - Dongfeng Motor European Wts Sep 2015 A	150,000,000	2014/07/02	2015/09/30	22.95
27158	J P Morgan SP BV - HSI European Put Warrants Nov 2014 B	500,000,000	2014/07/02	2014/11/27	75.00
27265	Daiwa Capital Mkt - BOCL European Warrants Jan 2015 A	108,000,000	2014/07/03	2015/01/02	23.76
27255	Daiwa Capital Mkt - Ch Overseas European Warrants Mar 2015 B	80,000,000	2014/07/03	2015/03/02	20.00
27461	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2014 C	80,000,000	2014/07/03	2014/12/22	30.08
27452	Goldman Sachs SP (Asia) - Tencent European Put Wt Dec 2014 B	80,000,000	2014/07/03	2014/12/01	15.04
27462	Goldman Sachs SP (Asia) - Xinyi Glass European Wts Jan 2015A	80,000,000	2014/07/03	2015/01/05	31.12
27269	HK Bank - BYD European Warrants Jan 2015 A	60,000,000	2014/07/03	2015/01/05	16.80
27288	HK Bank - Mengniu European Warrants Jan 2015 A	60,000,000	2014/07/03	2015/01/30	15.00
27482	J P Morgan SP BV - Hutchison European Put Wts Jan 2015 C	80,000,000	2014/07/03	2015/01/05	27.12
27295	Macquarie Bank Ltd. - AIA European Warrants Jan 2015 B	40,000,000	2014/07/03	2015/01/05	10.40
27250	Macquarie Bank Ltd. - Foxconn European Warrants Jan 2015 A	25,000,000	2014/07/03	2015/01/05	10.00
27451	Macquarie Bank Ltd. - Golden Eagle European Wts Jul 2015 A	68,000,000	2014/07/03	2015/07/03	10.20
27237	Macquarie Bank Ltd. - Hutchison European Warrants Jan 2015 A	30,000,000	2014/07/03	2015/01/05	10.08
27431	Standard Chartered Bank - HSBC European Warrants Dec 2014 C	100,000,000	2014/07/03	2014/12/02	16.00
27446	Standard Chartered Bank - HSI European Warrants Nov 2014 C	100,000,000	2014/07/03	2014/11/27	15.00
27303	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Mar 15 A	40,000,000	2014/07/03	2015/03/04	10.00
27344	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Jan16B	80,000,000	2014/07/03	2016/01/04	12.00
27375	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2015 A	100,000,000	2014/07/03	2015/10/05	15.00
27377	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Jan15 B	40,000,000	2014/07/03	2015/01/05	11.00
27399	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Jan 2015C	70,000,000	2014/07/03	2015/01/05	17.50
27323	SGA Societe Generale Acceptance NV - Ch Ship Cont Eu Wt Feb15A	60,000,000	2014/07/03	2015/02/04	10.20
27354	SGA Societe Generale Acceptance N.V. - Dongfeng Eu Wt Oct15A	100,000,000	2014/07/03	2015/10/05	17.50
27366	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jan15A	80,000,000	2014/07/03	2015/01/05	39.60
27371	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Jan15B	70,000,000	2014/07/03	2015/01/05	21.00
27393	SGA Societe Generale Acceptance N.V. - Li & Fung Eu Wt Jul16 A	100,000,000	2014/07/03	2016/07/04	17.00
27479	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jan 15 A	100,000,000	2014/07/03	2015/01/05	16.50
27395	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Jan15B	80,000,000	2014/07/03	2015/01/05	21.60
27405	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Jan 2015B	40,000,000	2014/07/03	2015/01/05	10.00
27468	UBS AG - Sinopec Corp European Warrants Nov 2014 C	60,000,000	2014/07/03	2014/11/05	20.40
27464	UBS AG - Ping An European Warrants Nov 2014 B	70,000,000	2014/07/03	2014/11/24	10.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27590	Credit Suisse AG - China Mobile European Warrants Nov 2014 B	80,000,000	2014/07/04	2014/11/03	12.00
27587	Credit Suisse AG - HSBC European Wts Dec 2014 A	80,000,000	2014/07/04	2014/12/01	12.00
27595	Credit Suisse AG - Yanzhou Coal European Warrants Dec 2014 A	70,000,000	2014/07/04	2014/12/01	14.00
27578	Daiwa Capital Mkt - Galaxy Ent European Warrants Jan 2015 A	108,000,000	2014/07/04	2015/01/16	27.00
27585	Daiwa Capital Mkt - HKEx European Put Warrants Mar 2015 A	108,000,000	2014/07/04	2015/03/02	27.00
27580	Daiwa Capital Mkt - Sands China European Put Wts Jan 2015 A	80,000,000	2014/07/04	2015/01/05	28.00
27586	Daiwa Capital Mkt - Tencent Holdings European Wts Jan 2015 B	108,000,000	2014/07/04	2015/01/02	24.84
27610	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2014 B	150,000,000	2014/07/04	2014/12/30	31.65
27507	Macquarie Bank Ltd. - Sinopec Corp European Wts Feb 2015 A	40,000,000	2014/07/04	2015/02/03	10.00
27565	Standard Chartered Bank - China Life European Wts Dec 2014 B	100,000,000	2014/07/04	2014/12/12	16.00
27568	Standard Chartered Bank - China Life Euro Put Wts Mar 2015 A	100,000,000	2014/07/04	2015/03/25	15.00
27574	Standard Chartered Bank - Ping An European Wts Nov 2014 B	60,000,000	2014/07/04	2014/11/25	16.20
27577	Standard Chartered Bank - Ping An Euro Put Wts Dec 2014 A	60,000,000	2014/07/04	2014/12/24	18.60
27618	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Sep 2015 A	100,000,000	2014/07/04	2015/09/02	22.00
27620	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Apr 2015 A	100,000,000	2014/07/04	2015/04/08	25.00
27621	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Jan15A	40,000,000	2014/07/04	2015/01/05	13.60
27628	SGA Societe Generale Acceptance N.V. - Esprit Eu Wt Apr 16 A	100,000,000	2014/07/04	2016/04/06	20.00
27511	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 15 A	150,000,000	2014/07/04	2015/01/29	39.00
27521	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan 15 B	150,000,000	2014/07/04	2015/01/29	37.50
27630	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jan 2015 A	50,000,000	2014/07/04	2015/01/05	13.75
27631	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt Jan15B	50,000,000	2014/07/04	2015/01/05	20.50
27642	SGA Societe Generale Acceptance N.V. - PICC Eu Wts Apr 2015A	50,000,000	2014/07/04	2015/04/08	12.50
27523	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt May 15 B	120,000,000	2014/07/04	2015/05/06	30.00
27522	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jul 16 A	500,000,000	2014/07/04	2016/07/06	125.00
27538	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jan16A	500,000,000	2014/07/04	2016/01/04	75.00
27646	UBS AG - Galaxy Ent European Put Warrants Dec 2014 B	40,000,000	2014/07/04	2014/12/15	12.00
27651	UBS AG - Wynn Macau European Put Warrants Jan 2015 A	40,000,000	2014/07/04	2015/01/09	10.00
27652	Bank of East Asia - BYD European Warrants Jan 2015 A	35,000,000	2014/07/07	2015/01/07	10.71
27686	J P Morgan SP BV - Esprit European Wts Jan 2016 A	60,000,000	2014/07/07	2016/01/05	15.12
27685	Macquarie Bank Ltd. - China Gas Hold Euro Wts Jun 2015 B	40,000,000	2014/07/07	2015/06/30	10.00
27656	Standard Chartered Bank - BYD European Warrants Dec 2014 B	50,000,000	2014/07/07	2014/12/31	21.50
27673	Standard Chartered Bank - China Gas Hold European Wts Jun15A	60,000,000	2014/07/07	2015/06/01	15.00
27676	Standard Chartered Bank - Sinopec Corp Euro Wts Feb 2015 A	100,000,000	2014/07/07	2015/02/02	25.00
27684	Standard Chartered Bank - HKEx European Warrants Nov 2015 B	100,000,000	2014/07/07	2015/11/26	25.00
27675	Standard Chartered Bank - Shunfeng Pv European Wts Feb15A	60,000,000	2014/07/07	2015/02/02	10.80
27653	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Feb 2015 B	100,000,000	2014/07/07	2015/02/04	15.00
27654	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt May 2015A	100,000,000	2014/07/07	2015/05/06	17.00
27655	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Jun 2015A	60,000,000	2014/07/07	2015/06/03	15.00
27707	Credit Suisse - SMIC European Warrants Jan 2016 A	50,000,000	2014/07/08	2016/01/18	12.50
27767	J P Morgan SP BV - AIA European Put Warrants Apr 2015 A	100,000,000	2014/07/08	2015/04/30	25.50
27715	J P Morgan SP BV - A50 China European Warrants Feb 2015 A	200,000,000	2014/07/08	2015/02/03	50.20
27731	J P Morgan SP BV - A50 China European Put Wts Feb 2015 B	100,000,000	2014/07/08	2015/02/03	39.60
27728	J P Morgan SP BV - Sands China European Put Wts Feb 2015 A	100,000,000	2014/07/08	2015/02/03	25.10
27704	Macquarie Bank Ltd. - MGM China European Warrants Feb 2015 A	40,000,000	2014/07/08	2015/02/03	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27695	SGA Societe Generale Acceptance N.V - Ch Gas Hold Eu Wt Jun15A	70,000,000	2014/07/08	2015/06/03	17.50
27703	SGA Societe Generale Acceptance N.V - Ch Gas Hold Eu Wt Oct15A	70,000,000	2014/07/08	2015/10/05	17.50
27850	Credit Suisse AG - Sinopec Corp European Warrants Dec 2014 A	70,000,000	2014/07/09	2014/12/23	21.00
27834	Credit Suisse AG - Galaxy Ent European Put Wts Oct 2014 C	70,000,000	2014/07/09	2014/10/10	22.40
27831	Credit Suisse AG - Hutchison European Warrants Dec 2014 A	70,000,000	2014/07/09	2014/12/22	15.40
27832	Credit Suisse AG - Hutchison European Put Wts Dec 2014 B	70,000,000	2014/07/09	2014/12/08	12.60
27838	Credit Suisse AG - Sands China European Put Wts Nov 2014 A	70,000,000	2014/07/09	2014/11/24	16.80
27842	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2015 A	70,000,000	2014/07/09	2015/04/30	11.20
27768	Goldman Sachs SP (Asia) - SHK Ppt European Wts Jan 2015 A	80,000,000	2014/07/09	2015/01/12	20.00
27896	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Jan 2015 C	300,000,000	2014/07/09	2015/01/29	75.00
27892	J P Morgan SP BV - HSCEI European Put Warrants Jan 2015 B	300,000,000	2014/07/09	2015/01/29	75.60
27897	J P Morgan SP BV - MGM China European Put Wts Feb 2015 A	50,000,000	2014/07/09	2015/02/03	12.70
27900	J P Morgan SP BV - SJM Holdings European Put Warrants Mar15A	60,000,000	2014/07/09	2015/03/03	15.24
27899	J P Morgan SP BV - Wynn Macau European Put Warrants Feb 15 A	60,000,000	2014/07/09	2015/02/03	15.24
27885	Standard Chartered Bank - A50 China Euro Warrants Feb 2015 A	60,000,000	2014/07/09	2015/02/27	15.00
27887	Standard Chartered Bank - Galaxy Ent European Wts Jan 2015 A	50,000,000	2014/07/09	2015/01/30	26.50
27792	Standard Chartered Bank - HSI European Warrants Mar 2015 A	100,000,000	2014/07/09	2015/03/30	15.00
27798	Standard Chartered Bank - HSI European Put Wts Dec 2014 B	100,000,000	2014/07/09	2014/12/30	16.00
27883	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Nov 2015 B	150,000,000	2014/07/09	2015/11/04	23.25
27881	UBS AG - A50 China European Warrants Nov 2014 A	70,000,000	2014/07/09	2014/11/21	16.80
27851	UBS AG - Galaxy Ent European Put Warrants Nov 2014 D	45,000,000	2014/07/09	2014/11/26	10.35
27857	UBS AG - HSI European Put Warrants Dec 2014 E	300,000,000	2014/07/09	2014/12/30	51.00
27872	UBS AG - HSI European Put Warrants Dec 2014 F	300,000,000	2014/07/09	2014/12/30	49.50
27786	UBS AG - Tencent Holdings European Put Warrants Feb 2015 A	500,000,000	2014/07/09	2015/02/27	75.00
27790	UBS AG - Tencent Holdings European Put Warrants Dec 2015 A	500,000,000	2014/07/09	2015/12/22	75.00
27946	BOCI Asia Ltd. - CITIC Sec European Warrants Mar 2015 A	100,000,000	2014/07/10	2015/03/30	19.00
27999	BOCI Asia Ltd. - Sinopec Corp European Warrants Dec 2014 A	100,000,000	2014/07/10	2014/12/24	26.00
27943	BOCI Asia Ltd. - HSBC European Warrants Dec 2014 A	100,000,000	2014/07/10	2014/12/08	19.00
27948	BOCI Asia Ltd. - PetroChina European Warrants Jan 2015 A	100,000,000	2014/07/10	2015/01/26	33.00
27947	BOCI Asia Ltd. - Sands China European Put Wts Jan 2015 A	100,000,000	2014/07/10	2015/01/19	28.00
28013	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Dec 2014 C	100,000,000	2014/07/10	2014/12/02	15.00
27927	Daiwa Capital Mkt - HSBC European Warrants Jan 2015 A	108,000,000	2014/07/10	2015/01/15	27.00
28015	Goldman Sachs SP (Asia) - A50 China European Wts Jan 2015 A	80,000,000	2014/07/10	2015/01/28	20.88
27936	HK Bank - HSI European Warrants Oct 2014 D	200,000,000	2014/07/10	2014/10/30	32.00
27932	HK Bank - HSI European Warrants Dec 2014 G	200,000,000	2014/07/10	2014/12/30	30.00
28029	J P Morgan SP BV - HSBC European Warrants Dec 2014 B	200,000,000	2014/07/10	2014/12/01	31.00
27907	Standard Chartered Bank - HSI European Warrants Feb 2015 A	100,000,000	2014/07/10	2015/02/26	25.00
27913	Standard Chartered Bank - HSI European Warrants Mar 2015 B	100,000,000	2014/07/10	2015/03/30	18.00
28027	Standard Chartered Bank - Tencent Hldg Eu Put Wts Apr 2015 A	150,000,000	2014/07/10	2015/04/28	22.50
27902	Standard Chartered Bank - Tracker Fund Euro Wts Dec 2016 A	100,000,000	2014/07/10	2016/12/29	25.00
27903	Standard Chartered Bank - Tracker Fund Eu Put Wt May 2016 A	100,000,000	2014/07/10	2016/05/27	25.00
28026	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Feb15A	80,000,000	2014/07/10	2015/02/04	25.60
28022	UBS AG - HSBC European Warrants Nov 2014 B	100,000,000	2014/07/10	2014/11/26	18.00
28018	UBS AG - China Mengniu European Warrants Dec 2014 A	55,000,000	2014/07/10	2014/12/24	10.18

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28023	UBS AG - Sands China European Put Warrants Dec 2014 B	65,000,000	2014/07/10	2014/12/24	10.40
28102	BOCI Asia Ltd. - A50 China European Warrants Apr 2016 A	100,000,000	2014/07/11	2016/04/01	15.00
28103	BOCI Asia Ltd. - Tracker Fund European Put Wts Apr 2016 A	100,000,000	2014/07/11	2016/04/01	25.00
28110	Goldman Sachs SP (Asia) - CMS European Warrants Jun 2016 A	80,000,000	2014/07/11	2016/06/30	20.00
28133	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Jan 2015 A	80,000,000	2014/07/11	2015/01/30	31.84
28107	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2015 A	80,000,000	2014/07/11	2015/01/30	43.84
28132	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2015 A	80,000,000	2014/07/11	2015/01/30	20.00
28104	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2014 C	150,000,000	2014/07/11	2014/12/30	23.85
28122	Goldman Sachs SP (Asia) - Tracker Fund European Wts Jan15A	80,000,000	2014/07/11	2015/01/30	20.64
28146	J P Morgan SP BV - China Mob European Wts Feb 2015 A	150,000,000	2014/07/11	2015/02/03	38.85
28148	J P Morgan SP BV - Galaxy Ent European Put Wts Jan 2015 A	100,000,000	2014/07/11	2015/01/30	38.30
28155	J P Morgan SP BV - HSBC European Put Warrants Dec 2014 C	100,000,000	2014/07/11	2014/12/01	15.00
28037	J P Morgan SP BV - HSI European Warrants Dec 2014 F	500,000,000	2014/07/11	2014/12/30	75.00
28145	J P Morgan SP BV - Sands China European Warrants Nov 2014 A	150,000,000	2014/07/11	2014/11/03	22.80
28185	J P Morgan SP BV - Tencent European Warrants Dec 2014 E	200,000,000	2014/07/11	2014/12/01	67.60
28183	J P Morgan SP BV - Tencent European Put Warrants Apr 2015 A	150,000,000	2014/07/11	2015/04/30	22.50
28042	Macquarie Bank Ltd. - KECL European Warrants Jan 2016 A	50,000,000	2014/07/11	2016/01/05	12.50
28039	Macquarie Bank Ltd. - Kingsoft European Warrants Dec 2014 A	35,000,000	2014/07/11	2014/12/02	10.01
28091	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Jan 2015 A	40,000,000	2014/07/11	2015/01/12	10.00
28047	Merrill Lynch Int'l & Co. C.V. - Sinopec Eu Put Wt Dec 2014B	60,000,000	2014/07/11	2014/12/24	11.04
28045	Merrill Lynch Int'l & Co. C.V. - Galaxy Ent Eu Put Wt Nov14A	70,000,000	2014/07/11	2014/11/26	11.55
28097	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Jan 2015 A	40,000,000	2014/07/11	2015/01/12	10.20
28054	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jan 2015 A	100,000,000	2014/07/11	2015/01/29	26.00
28064	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Dec 2014 C	100,000,000	2014/07/11	2014/12/30	16.40
28063	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jan 2015 B	100,000,000	2014/07/11	2015/01/29	26.00
28048	Merrill Lynch Int'l & Co. C.V. - Sands China Eu Put Wt Nov14C	60,000,000	2014/07/11	2014/11/21	10.26
28099	Standard Chartered Bank - Dongfeng European Wts Aug 2015 A	50,000,000	2014/07/11	2015/08/28	12.50
28157	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Feb15A	40,000,000	2014/07/11	2015/02/04	11.60
28134	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jan15A	50,000,000	2014/07/11	2015/01/05	14.00
28138	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2014C	60,000,000	2014/07/11	2014/12/03	16.20
28143	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Feb 2015A	60,000,000	2014/07/11	2015/02/04	15.00
28175	SGA Societe Generale Acceptance N.V. - Shimao Ppt Eu Wt Feb16A	100,000,000	2014/07/11	2016/02/03	25.00
28178	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Nov 15 A	500,000,000	2014/07/11	2015/11/04	100.00
28075	UBS AG - CNOOC European Warrants Jul 2016 A	100,000,000	2014/07/11	2016/07/26	15.00
15714#	Credit Suisse AG - HKEx European Warrants Jun 2015 A	120,000,000	2014/07/11	2015/06/30	13.80
28270	Credit Suisse AG - HKEx European Put Warrants Jan 2015 A	60,000,000	2014/07/14	2015/01/27	15.00
28277	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Nov 2014 A	60,000,000	2014/07/14	2014/11/03	15.00
28275	J P Morgan SP BV - GCL-Poly European Warrants Feb 2015 A	100,000,000	2014/07/14	2015/02/03	25.20
28201	Macquarie Bank Ltd. - Anhui Conch European Wts Apr 2015 A	40,000,000	2014/07/14	2015/04/02	10.00
28202	Macquarie Bank Ltd. - Tencent European Put Wts Feb 2015 B	30,000,000	2014/07/14	2015/02/03	10.02
28213	Merrill Lynch Int'l & Co. C.V. - CC Bank Eu Put Wt Feb 2015A	40,000,000	2014/07/14	2015/02/05	10.40
28238	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Dec 2014 D	60,000,000	2014/07/14	2014/12/24	11.46
28237	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Dec 14 C	50,000,000	2014/07/14	2014/12/29	10.35
28204	Merrill Lynch Int'l & Co. C.V. - A50 China Eur Wt Nov 2014 A	40,000,000	2014/07/14	2014/11/21	10.00

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		發行單位	上市日期	到期日	
28209	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Put Wt Feb 2015 A	40,000,000	2014/07/14	2015/02/05	11.00
28228	Merrill Lynch Int'l & Co. C.V. - Lenovo Euro Wts Feb 2015 A	40,000,000	2014/07/14	2015/02/05	14.00
28227	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Put Wts Feb15A	40,000,000	2014/07/14	2015/02/05	11.20
28266	Standard Chartered Bank - Anhui Conch Euro Wts Apr 2015 A	50,000,000	2014/07/14	2015/04/10	12.50
28241	Standard Chartered Bank - Cheung Kong European Put Wt Feb15A	30,000,000	2014/07/14	2015/02/03	10.50
28269	Standard Chartered Bank - China Railway Euro Wts Jun 2015 A	50,000,000	2014/07/14	2015/06/29	12.50
28262	Standard Chartered Bank - Chow Tai Fook Euro Wts May 2015 A	80,000,000	2014/07/14	2015/05/15	20.00
28267	Standard Chartered Bank - Jiangxi Copper Euro Wts Mar 2015 A	50,000,000	2014/07/14	2015/03/30	12.50
28265	Standard Chartered Bank - China Mengniu Euro Wts Jan 2015 A	60,000,000	2014/07/14	2015/01/19	15.00
28256	Standard Chartered Bank - PICC Group European Wts Feb 2015 A	60,000,000	2014/07/14	2015/02/03	15.00
28239	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Apr 2017 A	100,000,000	2014/07/14	2017/04/03	25.00
28268	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Sep15A	400,000,000	2014/07/14	2015/09/02	102.00
28428	BNP Paribas Arbit Issu B.V. - Agile Ppt Euro Wt Feb 2015 A	19,000,000	2014/07/15	2015/02/03	10.45
28468	BNP Paribas Arbit Issu B.V. - Biostime Euro Wts Mar 2015 A	23,000,000	2014/07/15	2015/03/03	11.04
28436	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jan 2015 B	82,000,000	2014/07/15	2015/01/05	16.40
28433	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2015 A	100,000,000	2014/07/15	2015/07/03	15.00
28443	BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2014 A	100,000,000	2014/07/15	2014/12/30	15.00
28467	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2015 A	100,000,000	2014/07/15	2015/03/30	15.00
28416	Credit Suisse AG - BYD European Warrants Jan 2015 A	50,000,000	2014/07/15	2015/01/26	17.00
28414	Credit Suisse AG - Ch Overseas European Warrants Mar 2015 A	60,000,000	2014/07/15	2015/03/30	10.80
28404	Credit Suisse - Foxconn European Warrants Dec 2014 A	50,000,000	2014/07/15	2014/12/24	13.50
28400	Credit Suisse AG - A50 China European Warrants Nov 2014 B	80,000,000	2014/07/15	2014/11/24	17.60
28423	Credit Suisse AG - China Mengniu European Wts Jan 2015 A	50,000,000	2014/07/15	2015/01/26	12.50
28415	Credit Suisse AG - Xinyi Glass European Warrants Jan 2015 A	50,000,000	2014/07/15	2015/01/26	17.50
28482	Citigroup Global Mkt H Inc. - AIA European Wts Mar 2015 A	100,000,000	2014/07/15	2015/03/26	16.50
28475	Citigroup Global Mkt H Inc. - CITIC Sec Euro Wts Jun 2015 A	100,000,000	2014/07/15	2015/06/12	27.00
28484	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Dec 2014 A	100,000,000	2014/07/15	2014/12/24	28.00
28497	Citigroup Global Mkt H Inc. - HKEx European Wts Nov 2014 A	50,000,000	2014/07/15	2014/11/25	13.00
28499	Citigroup Global Mkt H Inc. - HKEx European Wts Jan 2015 A	50,000,000	2014/07/15	2015/01/14	15.00
28485	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Dec 2014 A	80,000,000	2014/07/15	2014/12/22	16.00
28496	Citigroup Global Mkt H Inc. - Ping An Euro Wts Dec 2014 A	100,000,000	2014/07/15	2014/12/24	17.50
28303	HK Bank - China Shenhua European Warrants Mar 2015 A	80,000,000	2014/07/15	2015/03/27	12.00
28304	HK Bank - GCL-Poly Energy European Warrants Jan 2015 A	80,000,000	2014/07/15	2015/01/30	26.40
28331	HK Bank - Galaxy Ent European Warrants Dec 2014 A	80,000,000	2014/07/15	2014/12/17	27.20
28308	HK Bank - HKEx European Warrants Oct 2015 A	150,000,000	2014/07/15	2015/10/26	22.50
28327	HK Bank - HWL European Warrants Dec 2014 A	80,000,000	2014/07/15	2014/12/23	21.60
28313	HK Bank - Sands China European Warrants Dec 2014 A	80,000,000	2014/07/15	2014/12/24	28.00
28471	J P Morgan SP BV - Ch Railway Cons European Wts Feb 2015 A	40,000,000	2014/07/15	2015/02/03	15.12
28334	J P Morgan SP BV - A50 China European Warrants Nov 2014 A	200,000,000	2014/07/15	2014/11/21	39.20
28295	Macquarie Bank Ltd. - Bank of EA European Wts Jul 2015 A	40,000,000	2014/07/15	2015/07/03	10.00
28289	Macquarie Bank Ltd. - Cheung Kong European Wts Jan 2015 A	60,000,000	2014/07/15	2015/01/05	10.14
28377	Macquarie Bank Ltd. - Lenovo European Warrants Feb 2015 A	30,000,000	2014/07/15	2015/02/03	10.02
28372	Macquarie Bank Ltd. - Tencent European Warrants Dec 2014 A	30,000,000	2014/07/15	2014/12/02	10.02
28340	Standard Chartered Bank - China Railway Euro Wts Feb 2015 A	50,000,000	2014/07/15	2015/02/27	12.50

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28344	Standard Chartered Bank - HKEx European Warrants Jul 2015 A	150,000,000	2014/07/15	2015/07/30	37.50
28470	Standard Chartered Bank - HSI European Put Wts Dec 2014 C	100,000,000	2014/07/15	2014/12/30	15.00
28352	SGA Societe Generale Acceptance N.V. - CSR Euro Wts Oct 16 A	150,000,000	2014/07/15	2016/10/05	22.50
28371	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2015 A	300,000,000	2014/07/15	2015/07/06	45.00
28370	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Sep 2015 A	300,000,000	2014/07/15	2015/09/07	54.00
28369	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Apr 2015 A	150,000,000	2014/07/15	2015/04/29	27.00
28395	UBS AG - CC Bank European Warrants Jan 2015 A	100,000,000	2014/07/15	2015/01/14	25.00
28397	UBS AG - HKEx European Warrants Dec 2014 D	40,000,000	2014/07/15	2014/12/24	22.40
28279	UBS AG - HKEx European Warrants Jun 2015 A	200,000,000	2014/07/15	2015/06/23	30.00
28379	UBS AG - Tencent Holdings European Warrants Oct 2015 A	500,000,000	2014/07/15	2015/10/26	105.00
28582	Credit Suisse AG - CC Bank European Warrants Jan 2015 A	60,000,000	2014/07/16	2015/01/30	11.40
28591	Credit Suisse AG - CITIC Sec European Warrants Mar 2015 A	60,000,000	2014/07/16	2015/03/30	13.80
28585	Credit Suisse AG - CNBM European Warrants Dec 2014 A	60,000,000	2014/07/16	2014/12/03	19.80
28583	Credit Suisse AG - Kingsoft European Warrants Nov 2014 B	60,000,000	2014/07/16	2014/11/24	13.20
28578	Credit Suisse AG - Lenovo European Warrants Dec 2014 A	60,000,000	2014/07/16	2014/12/22	33.00
28617	Goldman Sachs SP (Asia) - HKEx European Put Wts Mar 2015 A	80,000,000	2014/07/16	2015/03/02	14.80
28624	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2014 D	80,000,000	2014/07/16	2014/12/16	20.80
28607	Goldman Sachs SP (Asia) - Tencent European Put Wt May 2015 A	80,000,000	2014/07/16	2015/05/06	12.00
28514	HK Bank - A50 China European Warrants Dec 2014 C	80,000,000	2014/07/16	2014/12/31	12.00
28523	HK Bank - A50 China European Warrants Jan 2015 A	80,000,000	2014/07/16	2015/01/30	20.00
28532	HK Bank - HKEx European Warrants Dec 2014 C	80,000,000	2014/07/16	2014/12/01	52.00
28537	HK Bank - HKEx European Warrants Jan 2015 A	80,000,000	2014/07/16	2015/01/30	32.00
28539	HK Bank - HKEx European Put Warrants Mar 2015 A	100,000,000	2014/07/16	2015/03/09	17.00
28509	HK Bank - Tencent European Warrants Nov 2014 C	80,000,000	2014/07/16	2014/11/18	25.60
28512	HK Bank - Tencent European Warrants Dec 2014 B	80,000,000	2014/07/16	2014/12/31	24.00
28606	J P Morgan SP BV - Datang European Wts Feb 2015 A	38,000,000	2014/07/16	2015/02/03	10.94
28593	J P Morgan SP BV - HKEx European Warrants Dec 2014 B	80,000,000	2014/07/16	2014/12/03	33.92
28501	Macquarie Bank Ltd. - AIA European Warrants Apr 2015 A	70,000,000	2014/07/16	2015/04/02	10.50
28540	Macquarie Bank Ltd. - Huaneng Power European Wts Feb 2015 A	30,000,000	2014/07/16	2015/02/03	10.53
28503	Macquarie Bank Ltd. - Sands China European Wts Feb 2015 A	40,000,000	2014/07/16	2015/02/03	10.00
28555	Standard Chartered Bank - Ch Overseas Eur Put Wts Feb 2015 A	70,000,000	2014/07/16	2015/02/26	10.50
28556	Standard Chartered Bank - China Res Gas Euro Wts Jul 2015 A	50,000,000	2014/07/16	2015/07/31	13.00
28559	Standard Chartered Bank - HSI European Put Wts Dec 2014 D	100,000,000	2014/07/16	2014/12/30	15.00
28554	Standard Chartered Bank - Lenovo European Wts Feb 2015 A	30,000,000	2014/07/16	2015/02/03	21.00
28553	Standard Chartered Bank - Lenovo European Put Wts Aug 2015 A	100,000,000	2014/07/16	2015/08/04	25.00
28562	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Jan 2015 A	30,000,000	2014/07/16	2015/01/05	10.05
28545	SGA Societe Generale Acceptance NV - Ch Cinda Eu Put Wt Feb15A	40,000,000	2014/07/16	2015/02/04	10.00
28575	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2015A	70,000,000	2014/07/16	2015/01/05	17.50
28550	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Nov 2015 A	300,000,000	2014/07/16	2015/11/04	45.00
28592	UBS AG - Kingsoft European Warrants Nov 2014 D	100,000,000	2014/07/16	2014/11/28	25.00
28661	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Dec 2014 A	80,000,000	2014/07/17	2014/12/17	18.40
28660	Citigroup Global Mkt H Inc. - Tencent European Wts Oct 2014 B	100,000,000	2014/07/17	2014/10/27	22.00
28651	Daiwa Capital Mkt - HKEx European Warrants Nov 2014 A	128,000,000	2014/07/17	2014/11/03	40.96
28648	HK Bank - Foxconn European Warrants Jan 2015 A	60,000,000	2014/07/17	2015/01/30	24.00

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28636	HK Bank - Haitong Sec European Warrants Feb 2015 A	80,000,000	2014/07/17	2015/02/25	12.00
28640	HK Bank - Ping An European Warrants Dec 2014 A	80,000,000	2014/07/17	2014/12/31	12.00
28647	HK Bank - Tencent European Warrants Oct 2015 A	80,000,000	2014/07/17	2015/10/29	14.40
28658	J P Morgan SP BV - China Cinda European Put Wts Feb 2015 A	100,000,000	2014/07/17	2015/02/03	25.20
28653	Macquarie Bank Ltd. - Esprit European Warrants Jan 2016 A	40,000,000	2014/07/17	2016/01/05	10.00
28631	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Feb 2015 A	35,000,000	2014/07/17	2015/02/03	10.01
28650	Macquarie Bank Ltd. - Greatwall Motor European Wts Feb 2015 A	40,000,000	2014/07/17	2015/02/03	10.00
28652	Macquarie Bank Ltd. - HK Gas European Warrants Jul 2016 A	40,000,000	2014/07/17	2016/07/05	10.00
28627	Macquarie Bank Ltd. - HN Renewables European Wts Feb 2015 A	35,000,000	2014/07/17	2015/02/17	10.01
28654	UBS AG - BYD European Warrants Dec 2014 B	50,000,000	2014/07/17	2014/12/24	22.00
28655	UBS AG - Li & Fung European Warrants Jan 2015 B	40,000,000	2014/07/17	2015/01/16	16.00
28731	Credit Suisse AG - New World Dev European Wts Jan 2015 A	70,000,000	2014/07/18	2015/01/29	36.40
28726	Credit Suisse AG - Tencent Holdings European Wts Nov 2015 A	100,000,000	2014/07/18	2015/11/02	19.00
28734	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Dec 2014 A	80,000,000	2014/07/18	2014/12/02	16.80
28732	Citigroup Global Mkt H Inc. - A50 China Euro Wts Jan 2015 A	80,000,000	2014/07/18	2015/01/19	26.40
28701	J P Morgan SP BV - Ch Comm Cons European Warrants Feb 2015 A	40,000,000	2014/07/18	2015/02/02	10.00
28736	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2014 A	100,000,000	2014/07/18	2014/12/31	15.30
28735	J P Morgan SP BV - Li & Fung European Warrants Feb 2015 A	45,000,000	2014/07/18	2015/02/03	16.20
28667	Macquarie Bank Ltd. - CITIC Bank European Wts Dec 2014 A	70,000,000	2014/07/18	2014/12/02	10.50
28676	Nomura Int'l plc - CITIC Bank European Warrants Feb 2015 A	50,000,000	2014/07/18	2015/02/27	13.00
28681	Nomura Int'l plc - Ch Ship Dev European Warrants Mar 2015 A	50,000,000	2014/07/18	2015/03/30	24.00
28687	Nomura Int'l plc - Chow Tai Fook European Wts Dec 2015 A	50,000,000	2014/07/18	2015/12/18	12.50
28689	Nomura Int'l plc - A50 China European Warrants Jan 2015 A	80,000,000	2014/07/18	2015/01/30	28.80
28691	Nomura Int'l plc - A50 China European Warrants Jan 2015 B	80,000,000	2014/07/18	2015/01/30	22.40
28692	Nomura Int'l plc - A50 China European Warrants Mar 2015 A	80,000,000	2014/07/18	2015/03/30	21.20
28695	Nomura Int'l plc - A50 China European Warrants Jun 2015 A	50,000,000	2014/07/18	2015/06/22	15.50
28686	Nomura Int'l plc - SUNAC European Warrants Mar 2015 A	50,000,000	2014/07/18	2015/03/30	24.00
28679	Nomura Int'l plc - Yanzhou Coal European Warrants Jan 2015 A	50,000,000	2014/07/18	2015/01/30	19.00
28719	Standard Chartered Bank - Geely Auto European Wts Mar 2015 A	50,000,000	2014/07/18	2015/03/09	12.50
28723	Standard Chartered Bank - HKEx European Warrants Apr 2015 A	50,000,000	2014/07/18	2015/04/28	25.00
28725	Standard Chartered Bank - HKEx European Warrants Jul 2015 B	50,000,000	2014/07/18	2015/07/29	25.00
28720	Standard Chartered Bank - HKEx European Warrants Jul 2016 A	100,000,000	2014/07/18	2016/07/29	25.00
28717	Standard Chartered Bank - HSCEI European Warrants Jan 2015 B	100,000,000	2014/07/18	2015/01/29	21.50
28714	Standard Chartered Bank - HSCEI European Put Wts Jan 2015 A	100,000,000	2014/07/18	2015/01/29	16.50
28718	Standard Chartered Bank - Li & Fung European Wts Feb 2015 A	40,000,000	2014/07/18	2015/02/03	14.00
28706	UBS AG - China Cinda European Warrants Mar 2015 A	100,000,000	2014/07/18	2015/03/16	25.00
28702	UBS AG - Cheung Kong European Put Warrants Dec 2014 C	50,000,000	2014/07/18	2014/12/29	10.00
28777	Credit Suisse AG - Sands China European Warrants Nov 2014 B	60,000,000	2014/07/21	2014/11/20	13.02
28775	Credit Suisse AG - Tencent Holdings European Wts Nov 2014 G	100,000,000	2014/07/21	2014/11/17	40.00
28774	Daiwa Capital Mkt - BYD European Warrants Jan 2015 A	80,000,000	2014/07/21	2015/01/20	20.00
28764	Daiwa Capital Mkt - A50 China European Warrants Dec 2014 A	108,000,000	2014/07/21	2014/12/01	23.76
28766	Daiwa Capital Mkt - A50 China European Warrants Apr 2015 A	128,000,000	2014/07/21	2015/04/08	32.00
28792	J P Morgan SP BV - Ch Ship Cont European Warrants Apr 2015 A	45,000,000	2014/07/21	2015/04/02	11.43
28749	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Feb15A	70,000,000	2014/07/21	2015/02/04	21.70

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28763	UBS AG - BYD European Warrants Jan 2015 A	50,000,000	2014/07/21	2015/01/20	16.50
28760	UBS AG - China Mobile European Warrants Dec 2014 B	100,000,000	2014/07/21	2014/12/24	20.50
28755	UBS AG - China Tel European Warrants Dec 2014 A	70,000,000	2014/07/21	2014/12/22	10.50
28854	BOCI Asia Ltd. - AIA European Warrants Mar 2015 A	100,000,000	2014/07/22	2015/03/30	15.00
28856	BOCI Asia Ltd. - Cheung Kong European Warrants Jan 2015 A	100,000,000	2014/07/22	2015/01/09	26.00
28855	BOCI Asia Ltd. - China Mobile European Put Wts Dec 2014 A	100,000,000	2014/07/22	2014/12/29	17.00
28974	BNP Paribas Arbit Issu B.V. - BYD European Wts Mar 2015 A	94,000,000	2014/07/22	2015/03/03	23.50
28963	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Mar 2015 B	100,000,000	2014/07/22	2015/03/03	17.00
28973	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Dec 2015 A	100,000,000	2014/07/22	2015/12/02	25.00
28832	Credit Suisse AG - China Mobile European Warrants Dec 2014 B	70,000,000	2014/07/22	2014/12/24	10.50
28858	Credit Suisse AG - China Mobile European Warrants Jan 2015 A	70,000,000	2014/07/22	2015/01/29	17.50
28823	Credit Suisse AG - China Mobile European Put Wts Dec 2014 A	70,000,000	2014/07/22	2014/12/24	10.50
28946	Credit Suisse AG - ChiUnicom European Warrants Jan 2015 A	70,000,000	2014/07/22	2015/01/29	30.80
28956	Credit Suisse AG - Ping An European Warrants Dec 2014 A	70,000,000	2014/07/22	2014/12/22	10.50
28962	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Nov 2014 A	100,000,000	2014/07/22	2014/11/24	15.00
28961	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Dec 2014 A	100,000,000	2014/07/22	2014/12/24	38.00
28821	Daiwa Capital Mkt - China Mobile European Put Wts Jan 2015 B	80,000,000	2014/07/22	2015/01/02	12.00
28888	Bank of East Asia - Galaxy Ent European Put Warrants Feb15 A	40,000,000	2014/07/22	2015/02/12	10.00
28860	Bank of East Asia - Lenovo European Put Warrants Jun 2015 A	70,000,000	2014/07/22	2015/06/26	10.50
28870	Bank of East Asia - Tencent Holdings European Wts Oct 2015 A	60,000,000	2014/07/22	2015/10/29	11.40
28793	HK Bank - Ch Comm Cons European Warrants Jan 2015 A	60,000,000	2014/07/22	2015/01/30	15.00
28798	HK Bank - China Railway European Warrants Jan 2015 A	60,000,000	2014/07/22	2015/01/30	15.00
28976	J P Morgan SP BV - China Mob European Wts Feb 2015 B	200,000,000	2014/07/22	2015/02/03	50.20
28978	J P Morgan SP BV - China Mob European Put Wts Feb 2015 C	180,000,000	2014/07/22	2015/02/03	45.18
28979	J P Morgan SP BV - Geely Auto European Warrants Nov 2014 A	80,000,000	2014/07/22	2014/11/28	12.40
28982	J P Morgan SP BV - Galaxy Ent European Warrants Feb 2015 A	150,000,000	2014/07/22	2015/02/03	38.85
28843	Macquarie Bank Ltd. - BYD European Warrants Feb 2015 A	25,000,000	2014/07/22	2015/02/03	10.00
28853	Macquarie Bank Ltd. - China Mobile European Wts Jan 2015 B	40,000,000	2014/07/22	2015/01/05	10.00
28811	Standard Chartered Bank - A50 China Euro Warrants Nov 2014 C	80,000,000	2014/07/22	2014/11/21	16.00
28817	Standard Chartered Bank - A50 China Euro Warrants Feb 2015 B	80,000,000	2014/07/22	2015/02/02	28.80
28810	Standard Chartered Bank - HKEx European Put Wts Feb 2015 A	100,000,000	2014/07/22	2015/02/27	25.00
28807	Standard Chartered Bank - Hutchison Euro Euro Wts Dec 2014 A	100,000,000	2014/07/22	2014/12/23	22.40
28802	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Apr 2015 A	100,000,000	2014/07/22	2015/04/01	18.00
28936	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 14 B	100,000,000	2014/07/22	2014/12/03	15.00
28803	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2015 A	100,000,000	2014/07/22	2015/02/04	18.00
28806	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Dec 14 B	100,000,000	2014/07/22	2014/12/03	23.50
28942	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt Mar 2015 B	100,000,000	2014/07/22	2015/03/04	19.00
28902	UBS AG - Anhui Conch European Warrants Jan 2015 A	50,000,000	2014/07/22	2015/01/28	10.25
28819	UBS AG - China Mobile European Put Warrants Jan 2015 A	60,000,000	2014/07/22	2015/01/21	18.00
28889	UBS AG - HSI European Warrants Jun 2015 A	300,000,000	2014/07/22	2015/06/29	75.00
28897	UBS AG - KECL European Warrants Dec 2015 A	100,000,000	2014/07/22	2015/12/28	21.50
28935	UBS AG - ZTE European Warrants Jan 2015 A	70,000,000	2014/07/22	2015/01/26	10.50
29044	BOCI Asia Ltd. - BYD European Warrants Jan 2015 A	100,000,000	2014/07/23	2015/01/26	45.00
29047	BOCI Asia Ltd. - China Mobile European Warrants Dec 2014 B	100,000,000	2014/07/23	2014/12/29	23.00

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		發行單位	上市日期	到期日	
29045	BOCI Asia Ltd. - China Mobile European Warrants Feb 2015 A	100,000,000	2014/07/23	2015/02/02	19.00
29041	BOCI Asia Ltd. - KECL European Warrants Dec 2015 A	100,000,000	2014/07/23	2015/12/28	17.00
29036	BOCI Asia Ltd. - Ping An European Put Warrants Dec 2014 B	100,000,000	2014/07/23	2014/12/29	31.00
29066	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Nov 2015 A	27,000,000	2014/07/23	2015/11/03	10.26
29060	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Jan 2015 A	80,000,000	2014/07/23	2015/01/29	21.12
29062	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jan 2015 A	80,000,000	2014/07/23	2015/01/29	25.04
29061	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jan 2015 A	80,000,000	2014/07/23	2015/01/29	33.68
29027	HK Bank - China Mobile European Warrants Feb 2015 A	80,000,000	2014/07/23	2015/02/23	12.00
29028	HK Bank - China Mobile European Put Warrants Jan 2015 A	80,000,000	2014/07/23	2015/01/30	20.00
29017	HK Bank - HSCEI European Put Warrants Jan 2015 A	150,000,000	2014/07/23	2015/01/29	24.00
29022	HK Bank - HSI European Put Warrants Dec 2014 H	150,000,000	2014/07/23	2014/12/30	22.50
29031	HK Bank - PICC Group European Warrants Dec 2014 A	80,000,000	2014/07/23	2014/12/31	12.00
29053	J P Morgan SP BV - Sihuan Pharm European Warrants Apr 2015 A	120,000,000	2014/07/23	2015/04/01	19.32
29005	Nomura Int'l plc - CHALCO European Warrants Mar 2015 A	50,000,000	2014/07/23	2015/03/30	12.50
28992	Nomura Int'l plc - BYD European Warrants Mar 2015 A	50,000,000	2014/07/23	2015/03/30	24.60
28993	Nomura Int'l plc - BYD European Warrants Mar 2015 B	50,000,000	2014/07/23	2015/03/30	12.50
28983	Nomura Int'l plc - Sinopec European Warrants Feb 2015 A	50,000,000	2014/07/23	2015/02/18	12.50
28987	Nomura Int'l plc - Ch South Air European Warrants Mar 2015 A	50,000,000	2014/07/23	2015/03/30	12.50
29057	Nomura Int'l plc - Dongfeng European Warrants Aug 2015 A	50,000,000	2014/07/23	2015/08/18	12.50
29059	Nomura Int'l plc - Foxconn European Warrants Mar 2015 A	50,000,000	2014/07/23	2015/03/30	21.50
29009	Nomura Int'l plc - Kingsoft European Warrants Jan 2015 A	50,000,000	2014/07/23	2015/01/30	12.50
29012	Nomura Int'l plc - Kingsoft European Put Warrants Jan 2015 B	50,000,000	2014/07/23	2015/01/30	12.50
28998	Nomura Int'l plc - China Mengniu European Warrants Jan 2015A	50,000,000	2014/07/23	2015/01/30	12.50
29069	Standard Chartered Bank - Anhui Conch Euro Wts Aug 2015 A	100,000,000	2014/07/23	2015/08/31	25.00
29070	Standard Chartered Bank - China Mobile Euro Wts Dec 2014 C	80,000,000	2014/07/23	2014/12/24	20.00
29072	Standard Chartered Bank - China Mobile Eur Put Wt Feb 2015 A	80,000,000	2014/07/23	2015/02/04	20.00
29073	Standard Chartered Bank - CNBM European Put Warrants Feb15 A	30,000,000	2014/07/23	2015/02/04	18.90
29075	Standard Chartered Bank - Yurun Food Euro Warrants Jun 2015A	30,000,000	2014/07/23	2015/06/30	10.20
29032	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Apr 2015 B	100,000,000	2014/07/23	2015/04/01	15.00
29064	UBS AG - PetroChina European Warrants Jan 2015 A	50,000,000	2014/07/23	2015/01/22	12.50
29065	UBS AG - SPDR Gold Trust European Warrants Jan 2015 A	40,000,000	2014/07/23	2015/01/22	11.60
29121	Credit Suisse AG - AIA European Put Warrants Feb 2015 A	70,000,000	2014/07/24	2015/02/27	17.50
29110	Credit Suisse AG - China Life European Warrants Sep 2015 A	80,000,000	2014/07/24	2015/09/29	12.00
29120	Credit Suisse AG - China Mobile European Put Wts Jan 2015 B	70,000,000	2014/07/24	2015/01/30	17.50
29116	Credit Suisse AG - Sinopec Corp European Warrants Jan 2015 A	70,000,000	2014/07/24	2015/01/30	17.50
29112	Credit Suisse AG - HKEx European Warrants Nov 2014 A	70,000,000	2014/07/24	2014/11/28	17.50
29114	Credit Suisse AG - HKEx European Put Warrants Feb 2015 A	70,000,000	2014/07/24	2015/02/27	12.60
29106	Credit Suisse AG - PetroChina European Warrants Jan 2015 A	70,000,000	2014/07/24	2015/01/30	17.50
29118	Credit Suisse AG - Tencent Holdings European Wts Oct 2014 E	100,000,000	2014/07/24	2014/10/31	22.00
29119	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 H	100,000,000	2014/07/24	2014/12/22	25.00
29128	Citigroup Global Mkt H Inc. - Avichina European Wts Jan15A	50,000,000	2014/07/24	2015/01/26	20.00
29127	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Dec 2014 A	60,000,000	2014/07/24	2014/12/01	11.70
29122	Goldman Sachs SP (Asia) - AIA European Put Wts Apr 2015 A	80,000,000	2014/07/24	2015/04/30	20.16
29123	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2014 D	150,000,000	2014/07/24	2014/12/30	22.65

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29125	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2015 A	150,000,000	2014/07/24	2015/01/29	37.50
29126	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2015 B	150,000,000	2014/07/24	2015/01/29	42.30
29092	J P Morgan SP BV - CNBM European Warrants Feb 2015 A	60,000,000	2014/07/24	2015/02/03	18.36
29101	J P Morgan SP BV - Cathay Pacific European Warrants Oct 15 A	70,000,000	2014/07/24	2015/10/02	17.57
29085	J P Morgan SP BV - HSI European Warrants Jan 2015 A	500,000,000	2014/07/24	2015/01/29	125.50
29087	J P Morgan SP BV - Huaneng Power European Wts Feb 2015 A	60,000,000	2014/07/24	2015/02/03	21.66
29088	J P Morgan SP BV - Ping An European Warrants Feb 2015 A	200,000,000	2014/07/24	2015/02/03	51.40
29099	J P Morgan SP BV - Weigao Group European Warrants Aug 2015 A	150,000,000	2014/07/24	2015/08/03	22.65
29097	J P Morgan SP BV - Sinopharm European Warrants Apr 2015 A	80,000,000	2014/07/24	2015/04/01	20.24
29078	Macquarie Bank Ltd. - Ch Oilfield European Wts Mar 2015 A	40,000,000	2014/07/24	2015/03/17	10.00
29080	Macquarie Bank Ltd. - China Unicom European Wts Feb 2015 A	20,000,000	2014/07/24	2015/02/03	10.00
29102	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Mar 2015 A	40,000,000	2014/07/24	2015/03/04	13.60
29104	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Feb15B	25,000,000	2014/07/24	2015/02/04	10.13
29176	J P Morgan SP BV - CITIC Pacific Euro Wts May 2015 A	150,000,000	2014/07/25	2015/05/05	22.50
29139	J P Morgan SP BV - Ch Overseas European Warrants Aug 2015 A	200,000,000	2014/07/25	2015/08/03	50.00
29142	J P Morgan SP BV - China Railway European Wts Feb 2015 A	40,000,000	2014/07/25	2015/02/02	10.04
29172	J P Morgan SP BV - Chinares Cement Euro Warrants Feb 2015 A	40,000,000	2014/07/25	2015/02/03	10.08
29143	J P Morgan SP BV - Henderson Land Dev European Wt Mar 2015 A	68,000,000	2014/07/25	2015/03/02	17.14
29156	J P Morgan SP BV - HSI European Put Warrants Jan 2015 B	600,000,000	2014/07/25	2015/01/29	150.60
29171	J P Morgan SP BV - PICC Group European Warrants Jun 2015 A	50,000,000	2014/07/25	2015/06/02	12.60
29173	J P Morgan SP BV - Shunfeng Pv European Warrants Feb 2015 A	100,000,000	2014/07/25	2015/02/27	25.10
29138	J P Morgan SP BV - SHK Ppt European Warrants Feb 2015 A	68,000,000	2014/07/25	2015/02/02	17.07
29145	J P Morgan SP BV - Wharf European Warrants Feb 2015 A	100,000,000	2014/07/25	2015/02/02	25.00
29129	Macquarie Bank Ltd. - CM Bank European Warrants Dec 2014 A	60,000,000	2014/07/25	2014/12/16	10.44
29136	Macquarie Bank Ltd. - Chinares Cement European Wt Feb 2015 A	30,000,000	2014/07/25	2015/02/17	10.05
29133	Macquarie Bank Ltd. - HSBC European Warrants Jan 2015 A	70,000,000	2014/07/25	2015/01/08	10.50
29147	Nomura Int'l plc - Geely Auto European Warrants Feb 2015 A	50,000,000	2014/07/25	2015/02/27	13.50
29154	Nomura Int'l plc - Haier Elec European Warrants Mar 2015 A	50,000,000	2014/07/25	2015/03/30	13.25
29153	Nomura Int'l plc - Sinopharm European Warrants Mar 2015 A	50,000,000	2014/07/25	2015/03/30	15.25
29148	Nomura Int'l plc - ZTE European Warrants Jan 2015 A	80,000,000	2014/07/25	2015/01/26	12.00
29161	Standard Chartered Bank - AIA European Warrants Mar 2015 A	80,000,000	2014/07/25	2015/03/30	12.00
29168	Standard Chartered Bank - Brilliance Chi Euro Wts Mar 2015 A	100,000,000	2014/07/25	2015/03/26	16.00
29167	Standard Chartered Bank - CITIC Pacific Euro Put Wt Oct15A	80,000,000	2014/07/25	2015/10/06	20.00
29162	Standard Chartered Bank - China Unicom Euro Wts Feb 2015 A	50,000,000	2014/07/25	2015/02/03	13.50
29166	Standard Chartered Bank - Sands China Euro Wts Dec 2014 A	80,000,000	2014/07/25	2014/12/24	13.60
29182	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Dec 2016 A	100,000,000	2014/07/28	2016/12/02	25.00
29185	BNP Paribas Arbit Issu B.V. - Esprit European Wts Sep 2016 A	55,000,000	2014/07/28	2016/09/02	13.75
29194	Credit Suisse AG - CITIC Pacific European Wts May 2015 A	70,000,000	2014/07/28	2015/05/04	10.50
29197	Credit Suisse AG - Macau Legend European Warrants Jan 2016 A	70,000,000	2014/07/28	2016/01/29	10.50
29181	HK Bank - PetroChina European Warrants Jan 2015 A	60,000,000	2014/07/28	2015/01/30	15.00
29180	HK Bank - Ping An European Warrants Nov 2014 B	80,000,000	2014/07/28	2014/11/28	12.00
29192	J P Morgan SP BV - Haier Elec European Warrants Jun 2015 A	100,000,000	2014/07/28	2015/06/02	25.60
29191	J P Morgan SP BV - Sunny Optical European Warrants Jun 2015A	100,000,000	2014/07/28	2015/06/02	25.40
29177	Macquarie Bank Ltd. - Cheung Kong European Wts Dec 2014 A	70,000,000	2014/07/28	2014/12/02	10.50

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29178	Macquarie Bank Ltd. - Cheung Kong Euro Put Wts Feb 2015 A	40,000,000	2014/07/28	2015/02/05	10.00
29186	Macquarie Bank Ltd. - China Mobile European Wts Mar 2015 A	40,000,000	2014/07/28	2015/03/03	10.00
29190	UBS AG - BOCL European Warrants Mar 2015 A	100,000,000	2014/07/28	2015/03/24	15.00
29224	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Feb 2015 A	100,000,000	2014/07/29	2015/02/03	15.00
29230	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Feb 2015 A	100,000,000	2014/07/29	2015/02/03	25.00
29213	BNP Paribas Arbit Issu B.V. - HSBC European Wts Feb 2015 A	100,000,000	2014/07/29	2015/02/03	22.00
29214	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Feb 2015 A	100,000,000	2014/07/29	2015/02/03	15.00
29274	Credit Suisse AG - Anton Oilfield European Warrants Jan 15 A	70,000,000	2014/07/29	2015/01/30	24.50
29272	Credit Suisse AG - China Life European Put Warrants Mar 15 A	70,000,000	2014/07/29	2015/03/30	10.50
29258	Credit Suisse AG - HSBC European Wts Jan 2015 A	70,000,000	2014/07/29	2015/01/26	14.00
29250	Credit Suisse AG - HSI European Warrants Mar 2015 A	150,000,000	2014/07/29	2015/03/30	30.00
29251	Credit Suisse AG - HSI European Put Warrants Dec 2014 C	150,000,000	2014/07/29	2014/12/30	22.50
29255	Credit Suisse AG - HSI European Put Warrants Dec 2014 D	150,000,000	2014/07/29	2014/12/30	24.00
29273	Credit Suisse AG - Kingsoft European Put Warrants Jan 2015 A	50,000,000	2014/07/29	2015/01/30	12.50
29260	Credit Suisse AG - Ping An European Put Warrants Dec 2014 B	70,000,000	2014/07/29	2014/12/24	18.20
29248	Citigroup Global Mkt H Inc. - CC Bank European Wt Jan 2015 A	100,000,000	2014/07/29	2015/01/28	25.00
29301	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Feb 2015 A	100,000,000	2014/07/29	2015/02/23	20.00
29244	Citigroup Global Mkt H Inc. - ICBC European Wts Dec 2014 A	100,000,000	2014/07/29	2014/12/24	20.00
29302	Citigroup Global Mkt H Inc. - Tencent European Wts Nov 2014 C	100,000,000	2014/07/29	2014/11/25	16.00
29205	HK Bank - CITIC Pacific European Warrants Dec 2015 B	80,000,000	2014/07/29	2015/12/28	12.80
29206	HK Bank - Ch Ship Cont European Warrants Feb 2015 A	60,000,000	2014/07/29	2015/02/27	15.00
29207	HK Bank - Datang Power European Warrants Jan 2015 A	60,000,000	2014/07/29	2015/01/30	15.00
29202	HK Bank - Lenovo European Warrants Jan 2015 A	80,000,000	2014/07/29	2015/01/30	28.00
29203	HK Bank - Link REIT European Warrants Jul 2015 A	60,000,000	2014/07/29	2015/07/31	15.00
29303	J P Morgan SP BV - Cheung Kong European Warrants Jan 2015 A	80,000,000	2014/07/29	2015/01/02	12.48
29316	J P Morgan SP BV - A50 China European Warrants Dec 2014 C	200,000,000	2014/07/29	2014/12/12	31.00
29319	J P Morgan SP BV - HKEx European Warrants Dec 2014 C	80,000,000	2014/07/29	2014/12/01	12.64
29315	J P Morgan SP BV - HSI European Warrants Jan 2015 C	500,000,000	2014/07/29	2015/01/29	75.00
29308	J P Morgan SP BV - HSI European Put Warrants Dec 2014 G	500,000,000	2014/07/29	2014/12/30	76.50
29249	Macquarie Bank Ltd. - CHALCO European Warrants Jan 2015 B	70,000,000	2014/07/29	2015/01/05	10.50
29198	Macquarie Bank Ltd. - Tencent European Warrants Jan 2015 B	40,000,000	2014/07/29	2015/01/05	14.36
29231	Standard Chartered Bank - BYD European Warrants Mar 2015 A	50,000,000	2014/07/29	2015/03/02	12.50
29236	Standard Chartered Bank - Cheung Kong Euro Wts Feb 2015 B	40,000,000	2014/07/29	2015/02/04	10.00
29237	Standard Chartered Bank - China Life European Wts Dec 2015 A	80,000,000	2014/07/29	2015/12/30	20.00
29233	Standard Chartered Bank - MGM China European Wts Apr 2015 A	60,000,000	2014/07/29	2015/04/08	15.00
29232	Standard Chartered Bank - ZTE European Warrants Jul 2015 A	80,000,000	2014/07/29	2015/07/13	20.00
29210	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Jun15A	100,000,000	2014/07/29	2015/06/03	17.00
29209	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Dec 2016 A	150,000,000	2014/07/29	2016/12/05	22.50
29211	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Jan 2016A	500,000,000	2014/07/29	2016/01/06	75.00
29212	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt Apr15A	80,000,000	2014/07/29	2015/04/08	16.40
29293	UBS AG - AIA European Warrants Feb 2015 A	100,000,000	2014/07/29	2015/02/09	19.00
29300	UBS AG - China Mobile European Warrants Feb 2015 B	100,000,000	2014/07/29	2015/02/23	22.00
29276	UBS AG - HKEx European Warrants Jan 2015 A	40,000,000	2014/07/29	2015/01/23	22.80
29281	UBS AG - HSBC European Put Warrants Jan 2015 A	50,000,000	2014/07/29	2015/01/05	10.50

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		發行單位	上市日期	到期日	
29277	UBS AG - HSI European Warrants Jan 2015 A	300,000,000	2014/07/29	2015/01/29	60.00
29280	UBS AG - HSI European Put Warrants Dec 2014 G	300,000,000	2014/07/29	2014/12/30	45.00
29279	UBS AG - HSI European Put Warrants Jan 2015 B	300,000,000	2014/07/29	2015/01/29	75.00
15759 [#]	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2014 D	190,000,000	2014/07/29	2014/10/30	10.26
29329	BOCI Asia Ltd. - Anhui Conch European Warrants Feb 2015 A	100,000,000	2014/07/30	2015/02/09	23.00
29327	BOCI Asia Ltd. - China Mobile European Put Wts Feb 2015 B	100,000,000	2014/07/30	2015/02/02	20.00
29326	BOCI Asia Ltd. - Li & Fung European Warrants Feb 2015 A	100,000,000	2014/07/30	2015/02/02	44.00
29328	BOCI Asia Ltd. - Tencent Holdings European Put Wts Apr 2015 A	100,000,000	2014/07/30	2015/04/30	15.00
29331	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Dec 2015 B	100,000,000	2014/07/30	2015/12/02	25.00
29333	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2015 A	100,000,000	2014/07/30	2015/12/02	25.00
29370	Credit Suisse AG - China Cinda European Warrants Jan 2015 A	70,000,000	2014/07/30	2015/01/29	17.50
29369	Credit Suisse AG - Hutchison European Warrants Nov 2014 C	80,000,000	2014/07/30	2014/11/28	20.80
29359	Daiwa Capital Mkt - AIA European Put Warrants Apr 2015 A	80,000,000	2014/07/30	2015/04/23	12.00
29357	Daiwa Capital Mkt - China Cinda European Warrants Mar 2015 A	68,000,000	2014/07/30	2015/03/02	17.00
29376	Goldman Sachs SP (Asia) - CHALCO European Wts Jun 2015 A	50,000,000	2014/07/30	2015/06/30	18.00
29374	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2015 A	80,000,000	2014/07/30	2015/01/29	19.68
29381	Goldman Sachs SP (Asia) - A50 China Euro Put Wts Feb 2015 A	80,000,000	2014/07/30	2015/02/03	28.88
29375	Goldman Sachs SP (Asia) - Hutchison Euro Put Wts Feb 2015 A	80,000,000	2014/07/30	2015/02/03	30.80
29380	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Feb 2015 A	80,000,000	2014/07/30	2015/02/03	23.52
29377	Goldman Sachs SP (Asia) - Sh Electric European Wts Jun 2015A	50,000,000	2014/07/30	2015/06/30	17.45
29352	HK Bank - Agricultural Bank European Warrants Jul 2015 A	80,000,000	2014/07/30	2015/07/27	14.40
29349	HK Bank - AIA European Warrants Mar 2015 A	80,000,000	2014/07/30	2015/03/31	12.80
29350	HK Bank - AIA European Put Warrants Jan 2015 A	80,000,000	2014/07/30	2015/01/30	20.00
29351	HK Bank - HKEx European Warrants Nov 2014 A	80,000,000	2014/07/30	2014/11/25	25.60
29345	HK Bank - HSI European Warrants Jun 2015 A	200,000,000	2014/07/30	2015/06/29	50.00
29340	HK Bank - HSI European Put Warrants Dec 2014 I	200,000,000	2014/07/30	2014/12/30	30.00
29362	J P Morgan SP BV - Brilliance Chi European Wts Apr 2015 A	100,000,000	2014/07/30	2015/04/01	15.20
29323	J P Morgan SP BV - China Mob European Wts Nov 2014 A	200,000,000	2014/07/30	2014/11/24	30.20
29390	J P Morgan SP BV - China Mob European Wts Feb 2015 D	200,000,000	2014/07/30	2015/02/03	50.00
29389	J P Morgan SP BV - Ch Overseas European Warrants Aug 2015 B	200,000,000	2014/07/30	2015/08/03	50.00
29364	J P Morgan SP BV - CPIC European Warrants Apr 2015 B	100,000,000	2014/07/30	2015/04/02	25.10
29366	J P Morgan SP BV - Chi Res Power European Wts Jul 2015 A	100,000,000	2014/07/30	2015/07/31	25.10
29365	J P Morgan SP BV - China Tel European Warrants Feb 2015 A	120,000,000	2014/07/30	2015/02/03	30.60
29391	J P Morgan SP BV - HSI European Warrants Jan 2015 E	500,000,000	2014/07/30	2015/01/29	125.00
29324	J P Morgan SP BV - HSI European Put Warrants Jan 2015 D	500,000,000	2014/07/30	2015/01/29	125.50
29368	J P Morgan SP BV - Zijin Mining European Warrants Aug 2015 A	70,000,000	2014/07/30	2015/08/03	11.13
29373	Macquarie Bank Ltd. - CPIC European Warrants Jul 2015 A	40,000,000	2014/07/30	2015/07/03	10.00
29321	Macquarie Bank Ltd. - Yanzhou Coal European Wts Feb 2015 A	40,000,000	2014/07/30	2015/02/03	10.00
29355	Standard Chartered Bank - CC Bank European Wts Jan 2015 A	80,000,000	2014/07/30	2015/01/28	12.00
29354	Standard Chartered Bank - CNOOC European Warrants Aug 2015 A	50,000,000	2014/07/30	2015/08/10	15.00
29356	Standard Chartered Bank - China Overseas Euro Wt Jun 2015 A	80,000,000	2014/07/30	2015/06/05	20.00
29372	Standard Chartered Bank - Ch Ship Cont Euro Wts Oct 2015 A	50,000,000	2014/07/30	2015/10/27	20.50
29353	Standard Chartered Bank - Hutchison Euro Put Wt Jun 2015 A	80,000,000	2014/07/30	2015/06/01	52.80
29334	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2015 C	150,000,000	2014/07/30	2015/01/29	22.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29339	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2015 A	150,000,000	2014/07/30	2015/06/29	24.75
29371	UBS AG - China Mobile European Put Warrants Jan 2015 B	100,000,000	2014/07/30	2015/01/29	32.00
29431	BOCI Asia Ltd. - CNOOC European Warrants Aug 2016 A	100,000,000	2014/07/31	2016/08/01	15.00
29430	BOCI Asia Ltd. - A50 China European Warrants Aug 2016 A	100,000,000	2014/07/31	2016/08/01	15.00
29429	BOCI Asia Ltd. - HSBC European Put Warrants Jan 2015 A	100,000,000	2014/07/31	2015/01/05	18.00
29427	BOCI Asia Ltd. - HSI European Warrants Dec 2014 C	100,000,000	2014/07/31	2014/12/30	17.00
29426	BOCI Asia Ltd. - HSI European Put Warrants Dec 2014 B	100,000,000	2014/07/31	2014/12/30	18.00
29425	BOCI Asia Ltd. - HSI European Put Warrants Jan 2015 A	100,000,000	2014/07/31	2015/01/29	15.00
29428	BOCI Asia Ltd. - Tracker Fund European Warrants Apr 2016 B	100,000,000	2014/07/31	2016/04/18	25.00
29452	BNP Paribas Arbit Issu B.V. - AIA European Wts Dec 2015 A	100,000,000	2014/07/31	2015/12/02	25.00
29488	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2015 A	100,000,000	2014/07/31	2015/02/03	25.00
29487	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Feb 2015 A	66,000,000	2014/07/31	2015/02/03	20.46
29451	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Feb 2015 B	100,000,000	2014/07/31	2015/02/03	17.00
29490	BNP Paribas Arbit Issu B.V. - HKEx European Wts Nov 2015 A	100,000,000	2014/07/31	2015/11/03	16.00
29491	BNP Paribas Arbit Issu B.V. - HKEx Euro Put Wts Mar 2015 A	100,000,000	2014/07/31	2015/03/03	20.00
29450	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jan 2015 A	100,000,000	2014/07/31	2015/01/29	15.00
29489	BNP Paribas Arbit Issu B.V. - ICBC European Wts Dec 2014 A	100,000,000	2014/07/31	2014/12/02	17.00
29438	Credit Suisse AG - Minsheng Bank European Wts Jan 2015 B	70,000,000	2014/07/31	2015/01/30	21.70
29439	Credit Suisse AG - China Mobile European Warrants Jan 2015 C	80,000,000	2014/07/31	2015/01/30	20.00
29442	Credit Suisse AG - China Mobile European Put Wts Jan 2015 D	80,000,000	2014/07/31	2015/01/30	28.00
29422	Credit Suisse AG - CSOP A50 ETF Euro Put Wts Oct 2014 A	70,000,000	2014/07/31	2014/10/31	10.50
29456	Credit Suisse - CSCL European Warrants Mar 2015 A	60,000,000	2014/07/31	2015/03/30	15.00
29421	Credit Suisse AG - A50 China European Put Wts Jan 2015 A	70,000,000	2014/07/31	2015/01/29	23.80
29417	Credit Suisse AG - A50 China European Put Wts Aug 2016 B	70,000,000	2014/07/31	2016/08/29	12.60
29443	Credit Suisse AG - ICBC European Warrants Nov 2014 A	80,000,000	2014/07/31	2014/11/28	12.00
29433	Credit Suisse AG - PICC Group European Warrants Feb 2015 A	70,000,000	2014/07/31	2015/02/26	17.50
29455	Credit Suisse AG - Ping An European Warrants Jan 2015 A	80,000,000	2014/07/31	2015/01/30	20.00
29445	Daiwa Capital Mkt - China Mobile European Wts Feb 2015 A	108,000,000	2014/07/31	2015/02/02	27.00
29492	Daiwa Capital Mkt - A50 China European Warrants Mar 2015 A	128,000,000	2014/07/31	2015/03/02	32.00
29446	Daiwa Capital Mkt - HKEx European Warrants Jan 2015 B	98,000,000	2014/07/31	2015/01/08	29.40
29444	Daiwa Capital Mkt - ICBC European Warrants Mar 2015 A	128,000,000	2014/07/31	2015/03/02	32.00
29493	Daiwa Capital Mkt - Ping An European Put Warrants Feb 2015 A	80,000,000	2014/07/31	2015/02/02	28.80
29513	Bank of East Asia - China Agri European Warrants Jul 2015 A	40,000,000	2014/07/31	2015/07/02	10.00
29516	Bank of East Asia - Ch Mobile European Put Warrants Oct 15 A	40,000,000	2014/07/31	2015/10/09	10.00
29515	Bank of East Asia - Tencent Holdings European Put Wts May15A	70,000,000	2014/07/31	2015/05/06	10.50
29405	HK Bank - China Life European Warrants Sep 2015 A	80,000,000	2014/07/31	2015/09/30	12.00
29392	HK Bank - China Mobile European Warrants Nov 2014 B	80,000,000	2014/07/31	2014/11/28	16.00
29400	HK Bank - CPIC European Warrants Oct 2015 A	60,000,000	2014/07/31	2015/10/30	15.00
29404	HK Bank - HSCEI European Warrants Jul 2015 A	200,000,000	2014/07/31	2015/07/30	50.00
29399	HK Bank - HWL European Warrants Jan 2015 A	60,000,000	2014/07/31	2015/01/30	18.00
29508	J P Morgan SP BV - China Life European Warrants Oct 2015 A	200,000,000	2014/07/31	2015/10/02	31.40
29503	J P Morgan SP BV - China Life European Put Wts Mar 2015 A	200,000,000	2014/07/31	2015/03/03	50.20
29504	J P Morgan SP BV - HKEx European Put Wts Mar 2015 A	200,000,000	2014/07/31	2015/03/02	30.40
29501	J P Morgan SP BV - ICBC European Warrants Dec 2014 B	200,000,000	2014/07/31	2014/12/01	31.80

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29511	J P Morgan SP BV - Ping An European Warrants Jan 2015 B	150,000,000	2014/07/31	2015/01/30	23.55
29506	J P Morgan SP BV - Ping An European Put Warrants Feb 2015 B	150,000,000	2014/07/31	2015/02/03	38.85
29453	Macquarie Bank Ltd. - Ch Southern Air Euro Wts Jul 2015 A	40,000,000	2014/07/31	2015/07/03	10.00
29454	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Feb 2015 A	40,000,000	2014/07/31	2015/02/03	10.00
29479	Macquarie Bank Ltd. - Jiangxi Copper European Wts Apr 2015 A	70,000,000	2014/07/31	2015/04/15	10.50
29423	Standard Chartered Bank - CITIC Pacific Euro Wts May 2015 B	80,000,000	2014/07/31	2015/05/15	12.00
29448	Standard Chartered Bank - China Mobile Euro Wts Jan 2015 A	80,000,000	2014/07/31	2015/01/22	16.00
29449	Standard Chartered Bank - China Mobile Eur Put Wt Jan 2015 B	80,000,000	2014/07/31	2015/01/30	20.00
29408	Standard Chartered Bank - Foxconn European Wts Nov 2014 B	40,000,000	2014/07/31	2014/11/26	19.20
29406	Standard Chartered Bank - GCL-Poly Energy Eur Wts Feb 2015 A	50,000,000	2014/07/31	2015/02/03	10.00
29411	Standard Chartered Bank - HSCEI European Put Wts Feb 2015 A	100,000,000	2014/07/31	2015/02/26	25.00
29500	Standard Chartered Bank - HSI European Warrants Apr 2015 A	100,000,000	2014/07/31	2015/04/29	25.00
29485	Standard Chartered Bank - HSI European Put Wts Jan 2015 A	100,000,000	2014/07/31	2015/01/29	15.00
29410	Standard Chartered Bank - Tencent Hldg Euro Wts Oct 2015 A	200,000,000	2014/07/31	2015/10/26	38.00
29460	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Feb 15 B	70,000,000	2014/07/31	2015/02/04	14.70
29471	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Feb 15 A	100,000,000	2014/07/31	2015/02/04	15.50
29498	UBS AG - China Life European Warrants Dec 2015 A	100,000,000	2014/07/31	2015/12/28	25.00
29472	UBS AG - A50 China European Warrants Dec 2014 B	50,000,000	2014/07/31	2014/12/12	10.00
29474	UBS AG - A50 China European Warrants Mar 2015 A	50,000,000	2014/07/31	2015/03/30	12.50
29478	UBS AG - Ping An European Warrants Jan 2015 A	60,000,000	2014/07/31	2015/01/23	12.00
29475	UBS AG - Ping An European Put Warrants Dec 2014 A	100,000,000	2014/07/31	2014/12/24	15.00
29532	BOCI Asia Ltd. - CC Bank European Warrants Feb 2015 A	100,000,000	2014/08/01	2015/02/09	23.00
29530	BOCI Asia Ltd. - China Cinda European Warrants Dec 2016 A	100,000,000	2014/08/01	2016/12/29	15.00
29535	BOCI Asia Ltd. - HKEx European Put Warrants Mar 2015 A	100,000,000	2014/08/01	2015/03/02	15.00
29534	BOCI Asia Ltd. - PetroChina European Warrants Feb 2015 A	100,000,000	2014/08/01	2015/02/16	26.00
29529	BOCI Asia Ltd. - Ping An European Warrants Jan 2015 A	100,000,000	2014/08/01	2015/01/05	23.00
29594	BNP Paribas Arbit Issu B.V. - China Coal Euro Wts Aug 2015 A	27,000,000	2014/08/01	2015/08/04	13.77
29545	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Aug 2016 A	100,000,000	2014/08/01	2016/08/02	15.00
29599	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Aug 2016 A	100,000,000	2014/08/01	2016/08/02	15.00
29543	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Aug 2015 A	100,000,000	2014/08/01	2015/08/04	25.00
29544	BNP Paribas Arbit Issu B.V. - A50 China Euro Put Wt Sep 16 A	100,000,000	2014/08/01	2016/09/02	19.00
29597	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Feb 2015 A	100,000,000	2014/08/01	2015/02/03	25.00
29547	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Feb 2015 A	100,000,000	2014/08/01	2015/02/03	26.00
29569	Credit Suisse AG - China EB Int'l European Wts Jun 2015 A	70,000,000	2014/08/01	2015/06/29	10.50
29573	Credit Suisse AG - CSOP A50 ETF European Warrants Feb 2015 A	80,000,000	2014/08/01	2015/02/02	20.00
29572	Credit Suisse AG - A50 China European Warrants Feb 2015 A	80,000,000	2014/08/01	2015/02/02	20.00
29570	Credit Suisse AG - HSBC European Wts Jan 2015 B	70,000,000	2014/08/01	2015/01/12	11.20
29571	Credit Suisse AG - SHK Ppt European Warrants Dec 2014 B	70,000,000	2014/08/01	2014/12/24	16.80
29553	Daiwa Capital Mkt - China Life European Put Wts Apr 2015 A	80,000,000	2014/08/01	2015/04/01	20.00
29552	Daiwa Capital Mkt - China Mobile European Put Wts Feb 2015 B	80,000,000	2014/08/01	2015/02/02	31.20
29551	Daiwa Capital Mkt - Ch Overseas European Warrants Apr 2015 A	80,000,000	2014/08/01	2015/04/08	20.00
29549	Daiwa Capital Mkt - Hutchison European Warrants Feb 2015 A	128,000,000	2014/08/01	2015/02/02	32.00
29548	Daiwa Capital Mkt - ICBC European Put Warrants Feb 2015 A	80,000,000	2014/08/01	2015/02/02	24.80
29601	Goldman Sachs SP (Asia) - AIA European Warrants Nov 2015 A	80,000,000	2014/08/01	2015/11/27	20.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29612	Goldman Sachs SP (Asia) - China Cinda Euro Wts Feb 2015 A	80,000,000	2014/08/01	2015/02/04	20.00
29526	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2015 A	150,000,000	2014/08/01	2015/03/30	37.65
29600	Goldman Sachs SP (Asia) - Ping An European Wts Jan 2015 A	80,000,000	2014/08/01	2015/01/30	18.00
29628	J P Morgan SP BV - Minsheng Bank European Wts Feb 2015 A	80,000,000	2014/08/01	2015/02/03	20.64
29634	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2014 B	100,000,000	2014/08/01	2014/12/02	15.90
29637	J P Morgan SP BV - HKEx European Warrants Nov 2014 B	80,000,000	2014/08/01	2014/11/03	12.08
29627	J P Morgan SP BV - Lenovo European Warrants Aug 2016 A	200,000,000	2014/08/01	2016/08/01	50.20
29574	Macquarie Bank Ltd. - CITIC Sec European Warrants Mar 2015 A	40,000,000	2014/08/01	2015/03/03	10.00
29537	Macquarie Bank Ltd. - China Life European Wts Oct 2015 A	50,000,000	2014/08/01	2015/10/05	10.10
29538	Macquarie Bank Ltd. - China Mobile European Wts Apr 2015 A	40,000,000	2014/08/01	2015/04/02	10.00
29592	Macquarie Bank Ltd. - Stanchart European Warrants Jul 2015 B	70,000,000	2014/08/01	2015/07/20	10.50
29575	Macquarie Bank Ltd. - SUNAC European Warrants Feb 2015 A	40,000,000	2014/08/01	2015/02/03	10.32
29541	Standard Chartered Bank - HSBC European Warrants Apr 2015 A	100,000,000	2014/08/01	2015/04/28	25.00
29540	Standard Chartered Bank - HSBC European Warrants Nov 2015 A	100,000,000	2014/08/01	2015/11/30	25.00
29568	Standard Chartered Bank - HSI European Put Wts Jan 2015 B	100,000,000	2014/08/01	2015/01/29	15.00
29566	Standard Chartered Bank - NCI European Warrants Apr 2015 A	50,000,000	2014/08/01	2015/04/08	12.50
29567	Standard Chartered Bank - Ping An European Wts Dec 2014 B	80,000,000	2014/08/01	2014/12/22	20.00
29519	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Mar15A	50,000,000	2014/08/01	2015/03/04	12.75
29520	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Mar 2015A	70,000,000	2014/08/01	2015/03/04	17.50
29554	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jan 2015 A	100,000,000	2014/08/01	2015/01/05	15.00
29557	UBS AG - China Mobile European Warrants Jan 2015 C	100,000,000	2014/08/01	2015/01/27	27.00
29563	UBS AG - HSBC European Warrants Jan 2015 B	100,000,000	2014/08/01	2015/01/23	24.50
29558	UBS AG - Hutchison European Warrants Dec 2014 A	100,000,000	2014/08/01	2014/12/24	17.50
29672	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Dec15A	100,000,000	2014/08/04	2015/12/02	25.00
29670	BNP Paribas Arbit Issu B.V. - AIA European Put Wt Dec 2015 B	100,000,000	2014/08/04	2015/12/02	25.00
29761	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Aug 2015A	100,000,000	2014/08/04	2015/08/04	25.00
29768	BNP Paribas Arbit Issu B.V. - Chi Res Land Eu Wt Aug 2015 A	100,000,000	2014/08/04	2015/08/04	20.00
29778	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Mar 2015 A	57,000,000	2014/08/04	2015/03/03	14.25
29660	BNP Paribas Arbit Issu B.V. - Hutchison Eu Put Wt Mar 2015 A	76,000,000	2014/08/04	2015/03/03	19.00
29655	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jun 2015 A	58,000,000	2014/08/04	2015/06/02	14.50
29658	BNP Paribas Arbit Issu B.V. - SHK Ppt Eu Put Wts Jun 2015 B	58,000,000	2014/08/04	2015/06/02	14.50
29762	BNP Paribas Arbit Issu B.V. - Yanzhou Coal Eur Wt Sep 2018 A	100,000,000	2014/08/04	2018/09/04	25.00
29751	Credit Suisse AG - CSOP A50 ETF European Warrants Feb 2015 B	80,000,000	2014/08/04	2015/02/27	20.80
29750	Credit Suisse AG - A50 China European Warrants Feb 2015 B	80,000,000	2014/08/04	2015/02/27	20.80
29725	Credit Suisse AG - HKEx European Warrants Jan 2015 B	80,000,000	2014/08/04	2015/01/12	42.40
29726	Credit Suisse AG - HSBC European Wts Feb 2015 A	80,000,000	2014/08/04	2015/02/27	20.00
29736	Credit Suisse AG - HSBC European Put Warrants Feb 2015 B	80,000,000	2014/08/04	2015/02/27	44.00
29720	Credit Suisse AG - HSI European Warrants Mar 2015 B	150,000,000	2014/08/04	2015/03/30	37.50
29718	Credit Suisse AG - HSI European Put Warrants Jan 2015 A	150,000,000	2014/08/04	2015/01/29	25.50
29749	Credit Suisse AG - Kingsoft European Warrants Feb 2015 A	80,000,000	2014/08/04	2015/02/06	20.00
29676	Daiwa Capital Mkt - BYD European Warrants Jan 2015 B	68,000,000	2014/08/04	2015/01/13	23.80
29674	Daiwa Capital Mkt - Sinopec Corp European Wts Mar 2015 A	80,000,000	2014/08/04	2015/03/02	20.00
29756	Daiwa Capital Mkt - HKEx European Warrants Feb 2015 A	128,000,000	2014/08/04	2015/02/11	32.00
29681	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Feb 2015 A	80,000,000	2014/08/04	2015/02/27	29.36

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		發行單位	上市日期	到期日	
29682	Goldman Sachs SP (Asia) - A50 China European Wts Feb 2015 B	80,000,000	2014/08/04	2015/02/27	28.80
29760	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2015 B	80,000,000	2014/08/04	2015/06/29	70.88
29758	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2015 A	80,000,000	2014/08/04	2015/06/29	20.00
29684	Goldman Sachs SP (Asia) - PetroChina European Wts Mar 2015 A	80,000,000	2014/08/04	2015/03/30	20.00
29650	HK Bank - Sinopec Corp European Warrants Feb 2015 A	60,000,000	2014/08/04	2015/02/09	15.00
29649	HK Bank - CSOP A50 ETF European Warrants Feb 2015 A	60,000,000	2014/08/04	2015/02/09	22.80
29648	HK Bank - A50 China European Warrants Feb 2015 A	60,000,000	2014/08/04	2015/02/09	16.20
29641	HK Bank - HSI European Put Warrants Jan 2015 A	200,000,000	2014/08/04	2015/01/29	40.00
29653	HK Bank - Ping An European Warrants Jan 2015 A	80,000,000	2014/08/04	2015/01/23	19.20
29786	J P Morgan SP BV - CSOP A50 ETF European Warrants Mar 2015 A	100,000,000	2014/08/04	2015/03/03	25.10
29787	J P Morgan SP BV - A50 China European Warrants Mar 2015 A	100,000,000	2014/08/04	2015/03/03	25.30
29782	J P Morgan SP BV - HSBC European Warrants Apr 2015 A	200,000,000	2014/08/04	2015/04/02	50.20
29789	J P Morgan SP BV - Tencent European Warrants Dec 2014 F	200,000,000	2014/08/04	2014/12/22	66.80
29788	J P Morgan SP BV - Tencent European Warrants Jan 2015 E	200,000,000	2014/08/04	2015/01/02	48.40
29679	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Mar 2015 A	40,000,000	2014/08/04	2015/03/03	10.00
29757	Macquarie Bank Ltd. - A50 China European Warrants Mar 2015 A	40,000,000	2014/08/04	2015/03/03	10.00
29680	Standard Chartered Bank - HKEx European Warrants Nov 2014 B	100,000,000	2014/08/04	2014/11/24	45.00
29703	SGA Societe Generale Acceptance N.V. - CCB Eur Wt May 2015 A	80,000,000	2014/08/04	2015/05/06	20.00
29705	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Mar15 A	40,000,000	2014/08/04	2015/03/04	16.60
29706	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt May15 A	40,000,000	2014/08/04	2015/05/06	12.80
29654	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Mar15A	300,000,000	2014/08/04	2015/03/04	46.50
29709	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2015 A	100,000,000	2014/08/04	2015/04/08	25.00
29708	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan 15 D	150,000,000	2014/08/04	2015/01/29	27.00
29710	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Apr 2015 A	50,000,000	2014/08/04	2015/04/08	12.50
29711	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Jan 2016 A	100,000,000	2014/08/04	2016/01/06	20.00
29714	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Dec 14 D	150,000,000	2014/08/04	2014/12/31	48.00
29697	UBS AG - CC Bank European Warrants Feb 2015 A	100,000,000	2014/08/04	2015/02/09	25.00
29696	UBS AG - China Cinda European Warrants Feb 2015 A	100,000,000	2014/08/04	2015/02/09	25.00
29702	UBS AG - HKEx European Warrants Nov 2014 A	80,000,000	2014/08/04	2014/11/04	24.00
29701	UBS AG - HKEx European Warrants Feb 2015 A	80,000,000	2014/08/04	2015/02/09	40.00
29890	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Mar 2015 A	100,000,000	2014/08/05	2015/03/23	29.00
29889	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Aug 2016 A	100,000,000	2014/08/05	2016/08/01	16.00
29883	BOCI Asia Ltd. - A50 China European Warrants Mar 2015 A	100,000,000	2014/08/05	2015/03/02	25.00
29887	BOCI Asia Ltd. - A50 China European Put Warrants Aug 2016 B	100,000,000	2014/08/05	2016/08/29	15.00
29844	BNP Paribas Arbit Issu B.V. - HKEx European Wts Mar 2015 B	78,000,000	2014/08/05	2015/03/03	19.50
29897	Credit Suisse AG - CNOOC European Warrants Jul 2016 A	70,000,000	2014/08/05	2016/07/29	10.50
29896	Credit Suisse AG - Ping An European Warrants Dec 2014 C	80,000,000	2014/08/05	2014/12/22	12.00
29899	Credit Suisse AG - Sinotrans European Warrants Feb 2015 A	50,000,000	2014/08/05	2015/02/09	42.50
29904	Credit Suisse AG - Tencent Holdings Euro Put Wts Aug 2015 A	80,000,000	2014/08/05	2015/08/31	20.00
29942	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Wts Feb 2015 A	100,000,000	2014/08/05	2015/02/04	25.00
29949	Citigroup Global Mkt H Inc. - A50 China Euro Wts Feb 2015 A	100,000,000	2014/08/05	2015/02/04	25.00
29938	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2015 C	80,000,000	2014/08/05	2015/09/07	20.00
29925	Daiwa Capital Mkt - HSBC European Warrants Mar 2015 A	108,000,000	2014/08/05	2015/03/02	27.00
29927	Goldman Sachs SP (Asia) - BOCL European Warrants Sep 2016 B	80,000,000	2014/08/05	2016/09/30	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29926	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2015 B	150,000,000	2014/08/05	2015/03/30	37.95
29805	HK Bank - BOCL European Warrants Jul 2015 A	60,000,000	2014/08/05	2015/07/31	15.00
29814	HK Bank - CITIC Bank European Warrants Feb 2015 A	50,000,000	2014/08/05	2015/02/27	15.50
29806	HK Bank - Cheung Kong European Warrants Feb 2015 A	80,000,000	2014/08/05	2015/02/09	23.20
29802	HK Bank - Minsheng Bank European Warrants Feb 2015 A	50,000,000	2014/08/05	2015/02/09	14.50
29813	HK Bank - Haitong Sec European Warrants Aug 2015 A	80,000,000	2014/08/05	2015/08/11	14.40
29934	J P Morgan SP BV - AIA European Warrants May 2015 A	200,000,000	2014/08/05	2015/05/04	50.20
29862	J P Morgan SP BV - BankComm European Warrants Mar 2015 A	40,000,000	2014/08/05	2015/03/03	10.12
29928	J P Morgan SP BV - BYD European Warrants Mar 2015 A	100,000,000	2014/08/05	2015/03/03	37.20
29861	J P Morgan SP BV - China Coal European Warrants Mar 2015 A	50,000,000	2014/08/05	2015/03/03	12.50
29930	J P Morgan SP BV - Cheung Kong European Warrants Mar 2015 A	80,000,000	2014/08/05	2015/03/03	20.48
29932	J P Morgan SP BV - China Life European Warrants Dec 2015 A	200,000,000	2014/08/05	2015/12/03	52.00
29864	J P Morgan SP BV - Chi Res Land European Warrants Aug 2015 A	150,000,000	2014/08/05	2015/08/03	37.95
29859	J P Morgan SP BV - Chow Tai Fook European Wts Dec 2015 A	100,000,000	2014/08/05	2015/12/02	25.10
29933	J P Morgan SP BV - HKEx European Warrants Dec 2015 A	300,000,000	2014/08/05	2015/12/03	76.80
29936	J P Morgan SP BV - HSI European Warrants Feb 2015 A	500,000,000	2014/08/05	2015/02/26	125.00
29937	J P Morgan SP BV - HSI European Warrants Feb 2015 B	500,000,000	2014/08/05	2015/02/26	125.00
29931	J P Morgan SP BV - Hutchison European Warrants Mar 2015 A	80,000,000	2014/08/05	2015/03/03	20.08
29858	J P Morgan SP BV - JX Copper European Warrants Apr 2015 A	100,000,000	2014/08/05	2015/04/22	15.20
29860	J P Morgan SP BV - New World Dev European Wts May 2015 A	50,000,000	2014/08/05	2015/05/04	15.50
29929	J P Morgan SP BV - PetroChina European Warrants Mar 2015 A	100,000,000	2014/08/05	2015/03/03	25.40
29866	J P Morgan SP BV - Shimao Property European Wts Aug 2015 A	150,000,000	2014/08/05	2015/08/03	38.25
29874	Macquarie Bank Ltd. - China Life European Wts Jan 2016 A	50,000,000	2014/08/05	2016/01/05	12.50
29879	Macquarie Bank Ltd. - Kingsoft European Warrants Mar 2015 A	35,000,000	2014/08/05	2015/03/03	10.01
29815	Macquarie Bank Ltd. - Luk Fook Hold European Wts May 2015 A	40,000,000	2014/08/05	2015/05/29	10.00
29867	Macquarie Bank Ltd. - Sh Electric European Wts Feb 2015 B	40,000,000	2014/08/05	2015/02/27	10.00
29870	Macquarie Bank Ltd. - Tencent European Warrants Nov 2014 D	50,000,000	2014/08/05	2014/11/05	10.00
29816	Standard Chartered Bank - BankComm European Warrants Mar15 A	50,000,000	2014/08/05	2015/03/30	12.50
29820	Standard Chartered Bank - CC Bank European Wts Mar 2015 A	60,000,000	2014/08/05	2015/03/02	15.00
29819	Standard Chartered Bank - China Life European Wts Sep 2015 A	100,000,000	2014/08/05	2015/09/29	17.00
29801	Standard Chartered Bank - Chi Res Land European Wts Jun15A	100,000,000	2014/08/05	2015/06/01	25.00
29822	Standard Chartered Bank - A50 China Euro Warrants Nov 2014 D	80,000,000	2014/08/05	2014/11/28	12.00
29798	Standard Chartered Bank - HKEx European Warrants Jun 2015 B	100,000,000	2014/08/05	2015/06/05	37.50
29848	Standard Chartered Bank - HSCEI European Warrants Jun 2015 A	100,000,000	2014/08/05	2015/06/29	15.00
29857	Standard Chartered Bank - Ping An European Wts Dec 2014 C	80,000,000	2014/08/05	2014/12/30	12.00
29826	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Apr15A	50,000,000	2014/08/05	2015/04/08	10.00
29840	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Nov15A	100,000,000	2014/08/05	2015/11/04	17.00
29918	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Mar 2015 A	30,000,000	2014/08/05	2015/03/04	10.20
29841	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Apr15A	50,000,000	2014/08/05	2015/04/08	12.50
29843	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2015A	70,000,000	2014/08/05	2015/04/08	17.50
29919	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Apr15A	50,000,000	2014/08/05	2015/04/08	12.50
29827	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Feb 2015 C	30,000,000	2014/08/05	2015/02/04	12.30
29828	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jan 2015 B	100,000,000	2014/08/05	2015/01/05	15.00
29920	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt Aug15A	100,000,000	2014/08/05	2015/08/12	15.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29830	SGA Societe Generale Acceptance N.V. - MGM China Eu Wt Jun15A	50,000,000	2014/08/05	2015/06/03	12.50
29831	SGA Societe Generale Acceptance N.V. - PICC Eu Wts Jun 2015A	40,000,000	2014/08/05	2015/06/03	10.00
29923	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Apr 2015A	40,000,000	2014/08/05	2015/04/08	10.00
29838	SGA Societe Generale Acceptance N.V. - Wynn Macau Eu Wt Apr15A	50,000,000	2014/08/05	2015/04/08	10.00
29914	UBS AG - China Life European Warrants Dec 2015 B	100,000,000	2014/08/05	2015/12/08	25.00
29913	UBS AG - Minsheng Bank European Warrants Feb 2015 A	40,000,000	2014/08/05	2015/02/04	10.00
29915	UBS AG - CSOP A50 ETF European Warrants Feb 2015 A	40,000,000	2014/08/05	2015/02/04	12.80
29917	UBS AG - HKEx European Put Warrants Feb 2015 B	100,000,000	2014/08/05	2015/02/18	15.00
29908	UBS AG - HSI European Warrants Mar 2015 B	300,000,000	2014/08/05	2015/03/30	75.00
29909	UBS AG - HSI European Warrants Mar 2015 C	300,000,000	2014/08/05	2015/03/30	75.00
29911	UBS AG - Hutchison European Warrants Feb 2015 A	100,000,000	2014/08/05	2015/02/04	55.00
29907	UBS AG - Tencent Holdings European Put Warrants Aug 2015 B	500,000,000	2014/08/05	2015/08/26	115.00
29966	BOCI Asia Ltd. - Minsheng Bank European Warrants Mar 2015 A	100,000,000	2014/08/06	2015/03/09	47.00
29968	BOCI Asia Ltd. - China Mobile European Warrants Feb 2015 C	100,000,000	2014/08/06	2015/02/23	26.00
29975	BOCI Asia Ltd. - Galaxy Ent European Warrants Dec 2014 A	100,000,000	2014/08/06	2014/12/22	33.00
29971	BOCI Asia Ltd. - HKEx European Warrants Jan 2015 A	100,000,000	2014/08/06	2015/01/12	68.00
29973	BOCI Asia Ltd. - HKEx European Warrants Dec 2015 B	100,000,000	2014/08/06	2015/12/28	25.00
29976	BOCI Asia Ltd. - HSBC European Warrants Jan 2015 B	100,000,000	2014/08/06	2015/01/12	18.00
29967	BOCI Asia Ltd. - ICBC European Warrants Mar 2015 A	100,000,000	2014/08/06	2015/03/02	25.00
29993	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Apr 2015 A	52,000,000	2014/08/06	2015/04/02	13.00
29994	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Aug 2015 A	78,000,000	2014/08/06	2015/08/04	19.50
29995	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Dec 2015 A	100,000,000	2014/08/06	2015/12/02	25.00
11402	Credit Suisse AG - CHALCO European Wts Feb 2015 A	50,000,000	2014/08/06	2015/02/06	12.50
11401	Credit Suisse AG - Angang Steel European Warrants Feb 2015 A	50,000,000	2014/08/06	2015/02/06	12.50
11581	Credit Suisse AG - CC Bank European Put Warrants Jan 2015 B	60,000,000	2014/08/06	2015/01/30	10.20
11466	Credit Suisse AG - CITIC Sec European Warrants Dec 2014 A	70,000,000	2014/08/06	2014/12/24	10.50
11472	Credit Suisse AG - Cheung Kong European Warrants Jan 2015 A	60,000,000	2014/08/06	2015/01/05	18.00
11473	Credit Suisse - Cheung Kong European Put Warrants Feb 2015 A	60,000,000	2014/08/06	2015/02/27	17.40
11439	Credit Suisse AG - Ch LongYuan European Warrants Feb 2015 A	50,000,000	2014/08/06	2015/02/06	22.50
11565	Credit Suisse AG - China Mobile European Warrants Feb 2015 A	80,000,000	2014/08/06	2015/02/27	20.00
11522	Credit Suisse AG - HKEx European Warrants Nov 2014 B	70,000,000	2014/08/06	2014/11/06	28.00
11532	Credit Suisse AG - HKEx European Warrants Feb 2015 C	70,000,000	2014/08/06	2015/02/27	36.40
29980	Credit Suisse AG - HKEx European Put Warrants Feb 2015 B	80,000,000	2014/08/06	2015/02/27	12.00
11541	Credit Suisse AG - HSBC European Wts Nov 2014 B	70,000,000	2014/08/06	2014/11/24	10.50
11603	Credit Suisse AG - HSI European Warrants Feb 2015 A	150,000,000	2014/08/06	2015/02/26	37.50
11609	Credit Suisse AG - HSI European Put Warrants Jan 2015 B	150,000,000	2014/08/06	2015/01/29	25.50
11465	Credit Suisse AG - Haitong Sec European Warrants Aug 2015 A	70,000,000	2014/08/06	2015/08/11	10.50
11542	Credit Suisse AG - Hutchison European Warrants Nov 2014 D	80,000,000	2014/08/06	2014/11/24	15.20
11554	Credit Suisse AG - Hutchison European Warrants Feb 2015 A	80,000,000	2014/08/06	2015/02/27	20.00
11557	Credit Suisse AG - Hutchison European Put Wts Dec 2014 C	70,000,000	2014/08/06	2014/12/24	23.10
11379	Credit Suisse AG - ICBC European Warrants Feb 2015 A	50,000,000	2014/08/06	2015/02/06	12.50
11590	Credit Suisse AG - ICBC European Put Warrants Jan 2015 A	60,000,000	2014/08/06	2015/01/30	11.40
29981	Credit Suisse AG - Jiangxi Copper European Wts Apr 2015 A	70,000,000	2014/08/06	2015/04/20	10.50
11511	Credit Suisse AG - SHK Ppt European Warrants Feb 2015 C	60,000,000	2014/08/06	2015/02/27	24.00

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		發行單位	上市日期	到期日	
11481	Credit Suisse AG - SHK Ppt European Put Warrants Feb 2015 B	60,000,000	2014/08/06	2015/02/27	27.00
11455	Credit Suisse AG - Zijin Mining European Warrants Jul 2015 A	60,000,000	2014/08/06	2015/07/31	10.80
11075	Daiwa Capital Mkt - Agricultural Bank European Wts Mar 2015 A	108,000,000	2014/08/06	2015/03/02	27.00
29956	Daiwa Capital Mkt - BOC HK European Warrants May 2015 A	108,000,000	2014/08/06	2015/05/04	27.00
11088	Daiwa Capital Mkt - BOCL European Warrants Apr 2015 A	108,000,000	2014/08/06	2015/04/08	27.00
11108	Daiwa Capital Mkt - BOCL European Put Warrants Mar 2015 A	68,000,000	2014/08/06	2015/03/10	17.00
29958	Daiwa Capital Mkt - Minsheng Bk European Warrants Feb 2015 A	58,000,000	2014/08/06	2015/02/05	14.50
29951	Daiwa Capital Mkt - HKEx European Warrants Mar 2015 B	258,000,000	2014/08/06	2015/03/18	64.50
29953	Daiwa Capital Mkt - HKEx European Warrants May 2015 A	208,000,000	2014/08/06	2015/05/04	74.88
29954	Daiwa Capital Mkt - HKEx European Put Warrants Mar 2015 C	128,000,000	2014/08/06	2015/03/02	32.00
29991	Goldman Sachs SP (Asia) - CITIC Pacific Euro Wts Dec 2015 B	80,000,000	2014/08/06	2015/12/30	20.56
29990	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts Feb 2015 A	80,000,000	2014/08/06	2015/02/27	31.12
29950	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2015 C	150,000,000	2014/08/06	2015/01/29	25.65
29989	Goldman Sachs SP (Asia) - Hutchison European Wts Jan 2015 A	80,000,000	2014/08/06	2015/01/05	17.04
29992	Goldman Sachs SP (Asia) - Shimao Ppt European Wts Jun 2015 A	80,000,000	2014/08/06	2015/06/01	20.00
29961	HK Bank - HSI European Warrants Feb 2015 B	200,000,000	2014/08/06	2015/02/26	50.00
29962	HK Bank - HSI European Warrants Mar 2015 A	200,000,000	2014/08/06	2015/03/30	50.00
29965	HK Bank - HSI European Put Warrants Jan 2015 B	200,000,000	2014/08/06	2015/01/29	38.00
11254	J P Morgan SP BV - CC Bank Euro Put Wts May 2015 A	200,000,000	2014/08/06	2015/05/04	50.20
11339	J P Morgan SP BV - Cheung Kong European Put Warrants Mar 15B	35,000,000	2014/08/06	2015/03/03	13.13
11268	J P Morgan Int'l Der. Ltd. - Sinopec Corp Eu Put Wt Mar 15 A	100,000,000	2014/08/06	2015/03/03	25.60
11283	J P Morgan SP BV - Greatwall Motor European Wts Mar 2015 A	100,000,000	2014/08/06	2015/03/03	25.30
11304	J P Morgan SP BV - HKEx European Warrants Mar 2015 B	80,000,000	2014/08/06	2015/03/03	20.24
11320	J P Morgan SP BV - HKEx European Warrants Dec 2015 B	300,000,000	2014/08/06	2015/12/02	75.30
11365	J P Morgan SP BV - Henderson Land Dev European Wt Jul 2015 A	50,000,000	2014/08/06	2015/07/03	12.50
11324	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Feb 2015 B	300,000,000	2014/08/06	2015/02/26	75.00
11322	J P Morgan SP BV - HSCEI European Put Warrants Feb 2015 A	300,000,000	2014/08/06	2015/02/26	75.30
11370	J P Morgan SP BV - HSI European Warrants Mar 2015 B	500,000,000	2014/08/06	2015/03/30	125.00
11244	J P Morgan SP BV - ICBC European Put Wts May 2015 A	200,000,000	2014/08/06	2015/05/04	50.60
11266	J P Morgan SP BV - Sands China European Warrants Mar 2015 A	100,000,000	2014/08/06	2015/03/03	25.00
11366	J P Morgan SP BV - SHK Ppt European Warrants Mar 2015 B	60,000,000	2014/08/06	2015/03/03	15.06
11363	J P Morgan SP BV - SHK Ppt European Put Warrants Mar 2015 A	35,000,000	2014/08/06	2015/03/03	12.64
11265	J P Morgan SP BV - Wynn Macau European Warrants May 2015 A	80,000,000	2014/08/06	2015/05/04	20.32
29978	Standard Chartered Bank - CITIC Sec European Wts Dec 2014 A	80,000,000	2014/08/06	2014/12/24	12.00
11241	Standard Chartered Bank - CSOP A50 ETF Euro Wts Mar 2015 B	80,000,000	2014/08/06	2015/03/27	20.00
29977	Standard Chartered Bank - HKEx European Put Wts Jun 2015 C	100,000,000	2014/08/06	2015/06/30	25.00
11242	Standard Chartered Bank - HSI European Warrants Apr 2015 B	100,000,000	2014/08/06	2015/04/29	25.00
11234	Standard Chartered Bank - HSI European Put Wts Feb 2015 B	100,000,000	2014/08/06	2015/02/26	25.00
29979	Standard Chartered Bank - Haitong Sec Euro Wts Aug 2015 A	80,000,000	2014/08/06	2015/08/11	12.80
11064	Standard Chartered Bank - Tencent Hldg Euro Wts Dec 2014 C	80,000,000	2014/08/06	2014/12/22	15.20
11112	SGA Societe Generale Acceptance N.V. - BOCom Eu Wt Mar 2015A	30,000,000	2014/08/06	2015/03/04	10.80
11129	SGA Societe Generale Acceptance N.V. - Ch Cinda Eu Wt Mar15A	35,000,000	2014/08/06	2015/03/04	10.15
11115	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Mar 2015A	40,000,000	2014/08/06	2015/03/04	11.20
11132	SGA Societe Generale Acceptance N.V. - COVS Eu Wts Aug 2015 A	60,000,000	2014/08/06	2015/08/05	15.00

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		發行單位	上市日期	到期日	
11188	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan 15 E	150,000,000	2014/08/06	2015/01/29	23.25
11197	SGA Societe Generale Acceptance NV - NewWorld Dev Eu Wt Apr15A	25,000,000	2014/08/06	2015/04/08	10.25
11211	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Apr 15 A	100,000,000	2014/08/06	2015/04/08	25.00
29998	UBS AG - Cheung Kong European Warrants Feb 2015 A	50,000,000	2014/08/06	2015/02/09	16.50
11063	UBS AG - Cheung Kong European Put Warrants Jan 2015 A	50,000,000	2014/08/06	2015/01/27	12.50
29986	UBS AG - China Life European Warrants May 2015 A	100,000,000	2014/08/06	2015/05/27	15.00
29996	UBS AG - A50 China European Put Warrants Aug 2016 C	100,000,000	2014/08/06	2016/08/23	15.00
29982	UBS AG - HSCEI European Put Warrants Feb 2015 A	100,000,000	2014/08/06	2015/02/26	25.00
29983	UBS AG - HSCEI European Put Warrants Mar 2015 A	100,000,000	2014/08/06	2015/03/30	25.00
29988	UBS AG - HSI European Warrants Feb 2015 B	300,000,000	2014/08/06	2015/02/26	75.00
29999	UBS AG - HSI European Warrants Jun 2015 B	300,000,000	2014/08/06	2015/06/29	75.00
29985	UBS AG - HSI European Put Warrants Jan 2015 C	300,000,000	2014/08/06	2015/01/29	55.50
29984	UBS AG - HSI European Put Warrants Feb 2015 A	300,000,000	2014/08/06	2015/02/26	75.00
29997	UBS AG - Hutchison European Warrants Feb 2015 B	100,000,000	2014/08/06	2015/02/09	25.00
11045	UBS AG - Hutchison European Put Warrants Dec 2014 B	50,000,000	2014/08/06	2014/12/24	15.00
29987	UBS AG - SHK Ppt European Warrants Feb 2015 A	50,000,000	2014/08/06	2015/02/09	15.00
12204	BNP Paribas Arbit Issu B.V. - BOCL European Wts Mar 2015 A	100,000,000	2014/08/07	2015/03/03	25.00
12211	BNP Paribas Arbit Issu B.V. - CM Bank Euro Warrants Jun 2016 A	100,000,000	2014/08/07	2016/06/02	25.00
12209	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt May 2016A	100,000,000	2014/08/07	2016/05/04	25.00
11864	Credit Suisse AG - Agricultural Bank European Wts Feb 2015 A	60,000,000	2014/08/07	2015/02/27	15.00
11879	Credit Suisse AG - BOCL European Warrants Mar 2015 B	60,000,000	2014/08/07	2015/03/30	15.00
12170	Credit Suisse AG - BankComm European Warrants Feb 2015 A	60,000,000	2014/08/07	2015/02/27	19.80
11848	Credit Suisse AG - CC Bank European Warrants Feb 2015 A	60,000,000	2014/08/07	2015/02/09	15.00
11957	Credit Suisse AG - China Coal European Warrants Dec 2014 A	70,000,000	2014/08/07	2014/12/24	10.50
12156	Credit Suisse AG - Minsheng Bank European Wts Feb 2015 A	60,000,000	2014/08/07	2015/02/27	25.20
12171	Credit Suisse AG - CSOP A50 ETF European Warrants Feb 2015 C	80,000,000	2014/08/07	2015/02/27	20.00
12184	Credit Suisse AG - A50 China European Warrants Feb 2015 C	80,000,000	2014/08/07	2015/02/27	20.00
12154	Credit Suisse AG - Galaxy Ent European Warrants Jan 2015 A	70,000,000	2014/08/07	2015/01/30	21.70
11898	Credit Suisse AG - HKEx European Warrants Feb 2015 D	80,000,000	2014/08/07	2015/02/09	29.60
11907	Credit Suisse AG - HKEx European Warrants Feb 2015 E	80,000,000	2014/08/07	2015/02/27	22.40
12147	Credit Suisse AG - Sands China European Warrants Dec 2014 A	70,000,000	2014/08/07	2014/12/24	16.80
12161	Credit Suisse AG - SHK Ppt European Warrants Feb 2015 D	60,000,000	2014/08/07	2015/02/27	16.20
11917	Credit Suisse AG - Yanzhou Coal European Warrants Feb 2015 A	70,000,000	2014/08/07	2015/02/27	18.20
11841	Daiwa Capital Mkt - Ch Overseas European Put Wts May 2015 A	80,000,000	2014/08/07	2015/05/04	20.00
11809	Daiwa Capital Mkt - CSOP A50 ETF European Wts Feb 2015 A	68,000,000	2014/08/07	2015/02/06	17.00
12225	Bank of East Asia - CM Bank European Warrants Jun 2015 B	40,000,000	2014/08/07	2015/06/18	10.00
12226	Bank of East Asia - HKEx European Warrants Sep 2015 B	40,000,000	2014/08/07	2015/09/30	10.00
12217	Goldman Sachs SP (Asia) - China Agri European Warrants Feb15A	60,000,000	2014/08/07	2015/02/26	15.00
12216	Goldman Sachs SP (Asia) - Chi Resources Euro Wt Mar 2015 A	80,000,000	2014/08/07	2015/03/30	20.00
12214	Goldman Sachs SP (Asia) - HKEx European Warrants Feb 2015 A	60,000,000	2014/08/07	2015/02/09	36.24
11847	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2015 B	180,000,000	2014/08/07	2015/07/30	46.08
11710	HK Bank - China Life European Warrants Jun 2015 A	100,000,000	2014/08/07	2015/06/30	25.00
11616	HK Bank - China Mobile European Put Warrants Feb 2015 B	80,000,000	2014/08/07	2015/02/09	20.00
11718	HK Bank - HSI European Warrants Mar 2015 B	200,000,000	2014/08/07	2015/03/30	50.00

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		發行單位	上市日期	到期日	
11694	HK Bank - SHK Ppt European Warrants Apr 2015 A	80,000,000	2014/08/07	2015/04/30	20.00
12185	J P Morgan SP BV - HSI European Put Warrants Feb 2015 C	500,000,000	2014/08/07	2015/02/26	125.00
11612	Macquarie Bank Ltd. - HKEx European Warrants Jan 2015 C	30,000,000	2014/08/07	2015/01/07	10.59
11613	Macquarie Bank Ltd. - HKEx European Warrants Jul 2016 A	40,000,000	2014/08/07	2016/07/05	10.00
11782	Standard Chartered Bank - China Overseas Euro Wt Jul 2015 A	100,000,000	2014/08/07	2015/07/27	22.00
12105	Standard Chartered Bank - Ch Overseas Eur Put Wts Apr 2015 A	100,000,000	2014/08/07	2015/04/08	25.00
12103	Standard Chartered Bank - Sinopec Corp Euro Wts Mar 2015 A	100,000,000	2014/08/07	2015/03/23	23.00
12102	Standard Chartered Bank - Sinopec Corp Eu Put Wt Jun 2016 A	100,000,000	2014/08/07	2016/06/03	25.00
11771	Standard Chartered Bank - HSCEI European Warrants Feb 2015 B	100,000,000	2014/08/07	2015/02/26	25.00
12101	Standard Chartered Bank - ICBC European Warrants Mar 2015 A	100,000,000	2014/08/07	2015/03/23	25.00
12141	Standard Chartered Bank - ICBC European Put Wts Jan 2015 A	100,000,000	2014/08/07	2015/01/29	19.60
11783	Standard Chartered Bank - Tencent Hldg Euro Wts Dec 2014 D	80,000,000	2014/08/07	2014/12/16	25.60
12134	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2016 A	100,000,000	2014/08/07	2016/01/04	20.50
12136	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Mar15A	100,000,000	2014/08/07	2015/03/04	19.50
12137	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Feb 15 C	70,000,000	2014/08/07	2015/02/04	12.25
12125	SGA Societe Generale Acceptance NV - Ch Ship Cont Eu Wt Oct15A	55,000,000	2014/08/07	2015/10/05	15.40
12108	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Put Wt Sep16A	300,000,000	2014/08/07	2016/09/05	46.50
12138	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Feb15B	70,000,000	2014/08/07	2015/02/04	29.40
12119	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Dec 2014 B	30,000,000	2014/08/07	2014/12/03	18.60
12139	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Mar 2015 A	80,000,000	2014/08/07	2015/03/04	20.00
12198	UBS AG - CAM CSI300 European Warrants Aug 2015 A	40,000,000	2014/08/07	2015/08/24	10.00
12199	UBS AG - BankComm European Warrants Feb 2015 A	40,000,000	2014/08/07	2015/02/09	10.00
12194	UBS AG - A50 China European Warrants Feb 2015 A	50,000,000	2014/08/07	2015/02/09	12.50
12380	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Mar 2015 A	100,000,000	2014/08/08	2015/03/03	25.00
12367	BNP Paribas Arbit Issu B.V. - HSI European Wts Apr 2015 A	100,000,000	2014/08/08	2015/04/29	25.00
12378	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jan 2015 B	100,000,000	2014/08/08	2015/01/29	19.00
12406	Credit Suisse AG - AIA European Warrants Mar 2015 A	80,000,000	2014/08/08	2015/03/30	17.60
12432	Credit Suisse AG - AIA European Warrants Apr 2015 A	80,000,000	2014/08/08	2015/04/20	20.00
12385	Credit Suisse AG - China EB Int'l European Wts Mar 2015 B	70,000,000	2014/08/08	2015/03/30	10.50
12434	Credit Suisse AG - CITIC Bank European Warrants Feb 2015 A	70,000,000	2014/08/08	2015/02/27	20.30
12383	Credit Suisse AG - Cheung Kong European Warrants Dec 2014 C	70,000,000	2014/08/08	2014/12/24	19.60
12485	Credit Suisse AG - China Shenhua European Wts Apr 2015 A	70,000,000	2014/08/08	2015/04/29	17.50
12399	Credit Suisse AG - Esprit European Warrants Dec 2015 A	60,000,000	2014/08/08	2015/12/28	13.80
12307	Credit Suisse AG - HKEx European Warrants Jun 2015 C	120,000,000	2014/08/08	2015/06/29	30.00
12308	Credit Suisse AG - HKEx European Warrants Sep 2015 C	120,000,000	2014/08/08	2015/09/30	30.00
12290	Daiwa Capital Mkt - A50 China European Warrants Nov 2014 D	108,000,000	2014/08/08	2014/11/25	16.20
12257	Goldman Sachs SP (Asia) - BankComm European Wts Apr 2015 A	80,000,000	2014/08/08	2015/04/30	23.84
12256	Goldman Sachs SP (Asia) - Ch Ship Cont European Wts Mar 15 A	80,000,000	2014/08/08	2015/03/30	23.44
12505	Goldman Sachs SP (Asia) - CSR Times European Wts Mar 2015 A	80,000,000	2014/08/08	2015/03/30	24.64
12258	Goldman Sachs SP (Asia) - A50 China European Wts Nov 2014 A	80,000,000	2014/08/08	2014/11/25	12.96
12493	Goldman Sachs Sp (Asia) - ICBC European Warrants Sep 2016 A	80,000,000	2014/08/08	2016/09/30	36.00
12253	Goldman Sachs SP (Asia) - Shunfeng Pv European Wts Jun15A	40,000,000	2014/08/08	2015/06/30	10.60
12513	Goldman Sachs SP (Asia) - SHK Ppt European Wts Feb 2015 B	80,000,000	2014/08/08	2015/02/27	20.00
12491	J P Morgan SP BV - China Unicom European Warrants Dec 2016 B	200,000,000	2014/08/08	2016/12/30	50.20

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12323	J P Morgan SP BV - A50 China European Warrants Dec 2014 D	100,000,000	2014/08/08	2014/12/01	15.10
12314	J P Morgan SP BV - HKEx European Warrants Aug 2018 A	300,000,000	2014/08/08	2018/08/01	75.60
12232	Macquarie Bank Ltd. - New World Dev European Wts May 2015 A	40,000,000	2014/08/08	2015/05/05	10.00
12229	Macquarie Bank Ltd. - SHK Ppt European Warrants May 2015 A	40,000,000	2014/08/08	2015/05/05	10.00
12282	Standard Chartered Bank - Sinopec Corp Eu Put Wt May 2015 A	60,000,000	2014/08/08	2015/05/04	18.00
12261	Standard Chartered Bank - PetroChina European Wts Jan 2015 A	80,000,000	2014/08/08	2015/01/28	14.40
12280	Standard Chartered Bank - PetroChina Euro Put Wts May 2015 A	50,000,000	2014/08/08	2015/05/04	27.50
12242	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Oct18A	300,000,000	2014/08/08	2018/10/03	45.00
12252	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2014D	70,000,000	2014/08/08	2014/12/03	10.50
12363	UBS AG - China Overseas European Warrants Jul 2015 B	50,000,000	2014/08/08	2015/07/13	12.50
12364	UBS AG - Evergrande European Warrants Feb 2015 A	40,000,000	2014/08/08	2015/02/09	14.00
12335	UBS AG - Geely Auto European Warrants Feb 2015 A	40,000,000	2014/08/08	2015/02/09	10.00
12739	BNP Paribas Arbit Issu B.V. - China EB Ltd Eu Wt Dec 2016 A	40,000,000	2014/08/11	2016/12/02	10.00
12740	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Mar 2015 A	56,000,000	2014/08/11	2015/03/03	14.00
12785	BNP Paribas Arbit Issu B.V. - Shunfeng Pv Euro Wts Jul15A	88,000,000	2014/08/11	2015/07/03	22.00
12824	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jan 2015 A	100,000,000	2014/08/11	2015/01/23	15.00
12788	Citigroup Global Mkt H Inc. - HKEx European Wts Nov 2015 A	200,000,000	2014/08/11	2015/11/23	50.00
12814	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Jan 2015 A	80,000,000	2014/08/11	2015/01/15	17.60
12696	Goldman Sachs SP (Asia) - CGS European Warrants Mar 2015 A	50,000,000	2014/08/11	2015/03/30	30.10
12732	Goldman Sachs SP (Asia) - CITIC Sec European Wts May 2015 A	80,000,000	2014/08/11	2015/05/28	20.00
12587	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2015 D	150,000,000	2014/08/11	2015/01/29	28.35
12726	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Aug 2015 A	80,000,000	2014/08/11	2015/08/11	12.96
12550	HK Bank - BYD European Warrants Feb 2015 A	60,000,000	2014/08/11	2015/02/16	17.40
12528	HK Bank - China Mobile European Warrants Jan 2015 B	80,000,000	2014/08/11	2015/01/23	12.80
12564	HK Bank - CSOP A50 ETF European Warrants Mar 2015 A	60,000,000	2014/08/11	2015/03/02	16.80
12537	HK Bank - China Tel European Warrants Jan 2015 A	50,000,000	2014/08/11	2015/01/27	12.50
12547	HK Bank - ICBC European Warrants Feb 2015 A	60,000,000	2014/08/11	2015/02/23	15.60
12655	J P Morgan SP BV - CHALCO European Warrants Mar 2015 A	40,000,000	2014/08/11	2015/03/03	10.04
12686	J P Morgan SP BV - Hanergy Solar European Warrants Mar 2015A	100,000,000	2014/08/11	2015/03/03	25.30
12680	J P Morgan SP BV - Singyes Solar European Warrants May 2015A	80,000,000	2014/08/11	2015/05/04	20.32
12594	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Apr 2015 A	40,000,000	2014/08/11	2015/04/02	10.00
12626	Macquarie Bank Ltd. - A50 China European Warrants Apr 2015 A	40,000,000	2014/08/11	2015/04/02	10.00
12585	Macquarie Bank Ltd. - MTRC European Warrants Mar 2016 A	40,000,000	2014/08/11	2016/03/02	10.00
12586	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Feb 2016 A	40,000,000	2014/08/11	2016/02/02	10.00
12940	BOCI Asia Ltd. - BYD European Warrants Dec 2016 A	100,000,000	2014/08/12	2016/12/21	18.00
12916	BOCI Asia Ltd. - China Life European Put Warrants Feb 2015 A	100,000,000	2014/08/12	2015/02/24	17.00
12900	BOCI Asia Ltd. - Kingsoft European Warrants Feb 2015 A	100,000,000	2014/08/12	2015/02/23	26.00
12933	BOCI Asia Ltd. - SHK Ppt European Warrants May 2015 A	100,000,000	2014/08/12	2015/05/26	30.00
12941	BOCI Asia Ltd. - Tencent Holdings European Wts Feb 2015 A	100,000,000	2014/08/12	2015/02/02	35.00
13047	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Mar 2015 A	39,000,000	2014/08/12	2015/03/03	10.53
13036	BNP Paribas Arbit Issu B.V. - HSBC European Wts May 2015 A	100,000,000	2014/08/12	2015/05/05	26.00
12863	Credit Suisse AG - BYD European Warrants Feb 2015 A	60,000,000	2014/08/12	2015/02/11	19.20
12862	Credit Suisse AG - China Life European Warrants May 2015 A	70,000,000	2014/08/12	2015/05/29	10.50
13027	Credit Suisse AG - Singyes Solar European Warrants Apr 2015A	50,000,000	2014/08/12	2015/04/27	12.50

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13057	Credit Suisse AG - Tencent Holdings European Wts Nov 2014 H	100,000,000	2014/08/12	2014/11/28	21.00
13111	Credit Suisse AG - Tencent Holdings European Wts Jan 2015 C	100,000,000	2014/08/12	2015/01/30	15.00
13028	Daiwa Capital Mkt - Kingsoft European Warrants Mar 2015 A	80,000,000	2014/08/12	2015/03/10	20.00
13030	Goldman Sachs SP (Asia) - CM Bank European Wts Dec 2016 A	80,000,000	2014/08/12	2016/12/28	20.08
13034	Goldman Sachs SP (Asia) - New World Dev Euro Wts Feb 2015 A	80,000,000	2014/08/12	2015/02/27	29.36
13021	HK Bank - A50 China European Warrants Jan 2015 B	60,000,000	2014/08/12	2015/01/28	18.60
13003	HK Bank - HKEx European Warrants Jan 2015 B	60,000,000	2014/08/12	2015/01/07	43.20
13004	HK Bank - HKEx European Warrants Feb 2015 A	60,000,000	2014/08/12	2015/02/16	27.00
13194	J P Morgan SP BV - Evergrande European Warrants Mar 2015 A	100,000,000	2014/08/12	2015/03/03	25.40
13165	J P Morgan SP BV - R&F Ppt European Warrants Jun 2016 A	100,000,000	2014/08/12	2016/06/02	25.10
13164	J P Morgan SP BV - HSBC European Warrants Dec 2014 D	200,000,000	2014/08/12	2014/12/30	30.80
13159	J P Morgan SP BV - Tencent European Put Warrants Aug 2015 A	300,000,000	2014/08/12	2015/08/31	55.80
13196	J P Morgan SP BV - Yanzhou Coal European Warrants Mar 2015 A	36,000,000	2014/08/12	2015/03/03	10.26
12830	Macquarie Bank Ltd. - CNBM European Warrants Mar 2015 A	25,000,000	2014/08/12	2015/03/03	10.00
12832	Macquarie Bank Ltd. - Tencent European Put Wts Mar 2015 A	25,000,000	2014/08/12	2015/03/03	10.00
12952	Standard Chartered Bank - AIA European Put Wts Jan 2015 A	80,000,000	2014/08/12	2015/01/28	12.00
12982	Standard Chartered Bank - China Coal European Wts Mar 2015 A	40,000,000	2014/08/12	2015/03/04	12.00
12986	Standard Chartered Bank - CGS European Warrants Nov 2015 A	100,000,000	2014/08/12	2015/11/25	15.00
13002	Standard Chartered Bank - CSOP A50 ETF Euro Wts Feb 2015 A	80,000,000	2014/08/12	2015/02/26	19.20
13137	UBS AG - HSBC European Warrants Jan 2015 C	100,000,000	2014/08/12	2015/01/08	18.00
13127	UBS AG - Kingsoft European Warrants Jan 2015 A	100,000,000	2014/08/12	2015/01/23	15.00
13128	UBS AG - Tencent Holdings European Warrants Nov 2014 F	100,000,000	2014/08/12	2014/11/25	23.00
13407	Credit Suisse AG - China Mobile European Put Wts Jan 2015 E	70,000,000	2014/08/13	2015/01/30	10.50
13295	Credit Suisse AG - HKEx European Warrants Mar 2015 A	120,000,000	2014/08/13	2015/03/11	25.20
13373	Credit Suisse AG - HSBC European Put Warrants Dec 2014 B	70,000,000	2014/08/13	2014/12/22	10.50
13409	Goldman Sachs SP (Asia) - China Coal European Wts Feb 2015 A	80,000,000	2014/08/13	2015/02/27	24.64
13410	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jan 2015 B	80,000,000	2014/08/13	2015/01/30	12.00
13271	HK Bank - Minsheng Bank European Warrants Feb 2015 B	50,000,000	2014/08/13	2015/02/23	13.00
13263	HK Bank - CSOP A50 ETF European Warrants Sep 2015 A	150,000,000	2014/08/13	2015/09/30	22.50
13264	HK Bank - Greatwall Motor European Warrants Feb 2015 A	60,000,000	2014/08/13	2015/02/27	15.00
13412	J P Morgan SP BV - China Everbright European Wts Nov 2015 A	80,000,000	2014/08/13	2015/11/03	20.40
13413	J P Morgan SP BV - CM Bank European Warrants Oct 2016 A	100,000,000	2014/08/13	2016/10/04	25.20
13253	J P Morgan SP BV - Tencent European Warrants Oct 2015 A	500,000,000	2014/08/13	2015/10/02	125.00
13368	J P Morgan SP BV - Tencent European Put Warrants Apr 2015 B	300,000,000	2014/08/13	2015/04/01	75.00
13240	Macquarie Bank Ltd. - China Shenhua European Wts Jun 2015 A	40,000,000	2014/08/13	2015/06/02	10.00
13307	Standard Chartered Bank - Sinopec Corp Euro Wts Dec 2014 B	100,000,000	2014/08/13	2014/12/24	22.00
13327	Standard Chartered Bank - Chi Resources European Wts Mar15A	80,000,000	2014/08/13	2015/03/16	20.00
13325	Standard Chartered Bank - HSBC European Warrants Apr 2015 B	100,000,000	2014/08/13	2015/04/01	25.00
13330	Standard Chartered Bank - Hang Seng Bank European Wts Jun15A	40,000,000	2014/08/13	2015/06/30	10.40
13337	UBS AG - CSOP A50 ETF European Warrants Feb 2015 B	40,000,000	2014/08/13	2015/02/23	10.00
13294	UBS AG - China Unicom European Warrants Nov 2016 A	100,000,000	2014/08/13	2016/11/14	25.00
13371	UBS AG - Sands China European Warrants Dec 2014 C	60,000,000	2014/08/13	2014/12/31	10.80
13338	UBS AG - Shunfeng Pv European Warrants Feb 2015 A	50,000,000	2014/08/13	2015/02/18	11.50
13370	UBS AG - Tencent Holdings European Put Warrants Feb 2015 B	50,000,000	2014/08/13	2015/02/13	20.00

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15995#	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2015 A	150,000,000	2014/08/13	2015/06/30	36.75
13456	BOCI Asia Ltd. - Agricultural Bk European Wts Mar 2015 A	100,000,000	2014/08/14	2015/03/02	22.00
13462	BOCI Asia Ltd. - BOCL European Warrants Mar 2015 A	100,000,000	2014/08/14	2015/03/30	22.00
13445	BOCI Asia Ltd. - CM Bank European Warrants Feb 2016 A	100,000,000	2014/08/14	2016/02/29	25.00
13446	BOCI Asia Ltd. - HKEx European Warrants Nov 2015 B	100,000,000	2014/08/14	2015/11/30	18.00
13483	BOCI Asia Ltd. - HSCEI European Warrants Feb 2015 A	100,000,000	2014/08/14	2015/02/26	18.00
13485	BOCI Asia Ltd. - HSCEI European Put Warrants Feb 2015 B	100,000,000	2014/08/14	2015/02/26	19.00
13469	BOCI Asia Ltd. - HSI European Warrants Jan 2015 B	100,000,000	2014/08/14	2015/01/29	19.00
13482	BOCI Asia Ltd. - HSI European Put Warrants Jan 2015 C	100,000,000	2014/08/14	2015/01/29	15.00
13559	Credit Suisse AG - Galaxy Ent European Warrants Dec 2014 A	80,000,000	2014/08/14	2014/12/24	22.40
13561	Credit Suisse AG - Sands China European Warrants Feb 2015 A	80,000,000	2014/08/14	2015/02/27	20.80
13668	Citigroup Global Mkt H Inc. - Ping An Euro Wts Jan 2015 A	100,000,000	2014/08/14	2015/01/23	18.00
13568	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Apr 2015 A	98,000,000	2014/08/14	2015/04/15	24.50
13625	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Mar 2015 A	80,000,000	2014/08/14	2015/03/27	20.56
13623	Goldman Sachs SP (Asia) - Brilliance Chi Euro Wts Sep 2015 A	80,000,000	2014/08/14	2015/09/29	20.32
13658	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Jan 2015 B	80,000,000	2014/08/14	2015/01/05	41.04
13624	Goldman Sachs SP (Asia) - Poly HK Inv Euro Wts Mar 2015 A	80,000,000	2014/08/14	2015/03/27	21.68
13665	Goldman Sachs SP (Asia) - Sands China Euro Wts Feb 2015 A	80,000,000	2014/08/14	2015/02/27	30.80
13592	J P Morgan SP BV - HKEx European Warrants Feb 2015 A	80,000,000	2014/08/14	2015/02/03	22.40
13441	Macquarie Bank Ltd. - BankComm European Warrants Mar 2015 A	40,000,000	2014/08/14	2015/03/03	10.00
13423	Macquarie Bank Ltd. - CGS European Warrants Dec 2015 A	70,000,000	2014/08/14	2015/12/02	10.50
13440	Macquarie Bank Ltd. - Minsheng Bank European Wts Mar 2015 A	40,000,000	2014/08/14	2015/03/03	10.00
13531	Macquarie Bank Ltd. - Galaxy Ent European Wts Feb 2015 B	50,000,000	2014/08/14	2015/02/03	10.05
13433	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jul 2015 A	40,000,000	2014/08/14	2015/07/03	10.00
13424	Macquarie Bank Ltd. - New World Dev European Wts Apr 2015 A	40,000,000	2014/08/14	2015/04/02	10.00
13542	Macquarie Bank Ltd. - Sands China European Wts Jan 2015 A	50,000,000	2014/08/14	2015/01/05	10.00
13504	Standard Chartered Bank - CHALCO European Warrants Feb 2015A	40,000,000	2014/08/14	2015/02/17	10.00
13486	Standard Chartered Bank - Angang Steel European Wts Feb15A	40,000,000	2014/08/14	2015/02/17	10.00
13584	Standard Chartered Bank - China EB Int'l Euro Wts Mar 2015 A	80,000,000	2014/08/14	2015/03/30	12.00
13580	Standard Chartered Bank - CITIC Pacific Euro Wts Sep 2015 A	80,000,000	2014/08/14	2015/09/03	20.00
13593	Standard Chartered Bank - Galaxy Ent European Wts Nov 2014 B	60,000,000	2014/08/14	2014/11/14	16.20
13505	Standard Chartered Bank - HSI European Warrants Dec 2014 E	100,000,000	2014/08/14	2014/12/30	17.00
13602	Standard Chartered Bank - Sands China Euro Wts Nov 2014 B	80,000,000	2014/08/14	2014/11/24	20.00
13524	UBS AG - CITIC Bank European Warrants Feb 2015 A	40,000,000	2014/08/14	2015/02/13	10.00
13414	UBS AG - Sinopec Corp European Warrants Dec 2014 B	70,000,000	2014/08/14	2014/12/24	10.50
13523	UBS AG - HKEx European Warrants Feb 2015 C	80,000,000	2014/08/14	2015/02/16	32.00
13525	UBS AG - SJM Holdings European Warrants May 2015 A	40,000,000	2014/08/14	2015/05/11	10.00
13522	UBS AG - Tencent Holdings European Warrants Jan 2015 B	100,000,000	2014/08/14	2015/01/05	15.00
13679	BOCI Asia Ltd. - Galaxy Ent European Warrants Jan 2015 A	100,000,000	2014/08/15	2015/01/05	35.00
13677	BOCI Asia Ltd. - Sands China European Warrants Feb 2015 A	100,000,000	2014/08/15	2015/02/23	36.00
13678	BOCI Asia Ltd. - Tencent Holdings European Wts Dec 2014 C	100,000,000	2014/08/15	2014/12/29	25.00
13771	Daiwa Capital Mkt - China Telecom European Wts Feb 2015 A	80,000,000	2014/08/15	2015/02/11	12.00
13762	Daiwa Capital Mkt - Galaxy Ent European Warrants Feb 2015 A	98,000,000	2014/08/15	2015/02/16	34.30
13766	Daiwa Capital Mkt - Galaxy Ent European Put Wts Mar 2015 A	80,000,000	2014/08/15	2015/03/02	20.00

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13792	Daiwa Capital Mkt - Sands China European Warrants Feb 2015 A	98,000,000	2014/08/15	2015/02/25	34.30
13793	Daiwa Capital Mkt - Sands China European Put Wts Feb 2015 B	80,000,000	2014/08/15	2015/02/16	20.00
13794	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2015 B	80,000,000	2014/08/15	2015/01/07	60.88
13795	Goldman Sachs SP (Asia) - HSBC European Warrants Feb 2015 A	80,000,000	2014/08/15	2015/02/27	20.00
13724	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Mar 2015 A	40,000,000	2014/08/15	2015/03/03	10.00
13738	Macquarie Bank Ltd. - BOCHK European Warrants Mar 2015 A	40,000,000	2014/08/15	2015/03/02	10.00
13719	Macquarie Bank Ltd. - China EB Ltd Euro Warrants Aug 2015 A	40,000,000	2014/08/15	2015/08/04	10.00
13736	Macquarie Bank Ltd. - CM Bank European Warrants Mar 2015 A	40,000,000	2014/08/15	2015/03/02	10.00
13717	Macquarie Bank Ltd. - China Overseas European Wts Oct 2015 A	40,000,000	2014/08/15	2015/10/05	10.00
13672	Macquarie Bank Ltd. - Foxconn European Warrants Mar 2015 A	25,000,000	2014/08/15	2015/03/03	10.00
13673	Macquarie Bank Ltd. - Sinopec Seg European Wts Jun 2016 A	40,000,000	2014/08/15	2016/06/02	10.00
13716	Standard Chartered Bank - HKEx European Warrants Jun 2015 D	100,000,000	2014/08/15	2015/06/22	25.00
13702	Standard Chartered Bank - HKEx European Warrants Aug 2015 A	100,000,000	2014/08/15	2015/08/18	25.00
13699	Standard Chartered Bank - Shimao Ppt European Wts Jul 2015 A	100,000,000	2014/08/15	2015/07/02	25.00
13756	UBS AG - CITIC Pacific European Warrants Dec 2015 B	40,000,000	2014/08/15	2015/12/14	10.00
13755	UBS AG - Cheung Kong European Warrants Dec 2014 D	50,000,000	2014/08/15	2014/12/22	21.00
13675	UBS AG - Tencent Holdings European Put Warrants Apr 2015 A	500,000,000	2014/08/15	2015/04/29	75.00
13871	Credit Suisse AG - Fosun Intl European Warrants Aug 2015 A	70,000,000	2014/08/18	2015/08/11	10.50
13895	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Feb 2015 A	50,000,000	2014/08/18	2015/02/26	12.50
13882	Goldman Sachs SP (Asia) - AIA European Warrants Feb 2015 A	80,000,000	2014/08/18	2015/02/27	20.24
13877	Goldman Sachs SP (Asia) - Ch Overseas European Wts Jun 2015 A	80,000,000	2014/08/18	2015/06/30	20.08
13874	Goldman Sachs SP (Asia) - HKEx European Put Wts Jun 2015 C	80,000,000	2014/08/18	2015/06/30	20.40
13848	HK Bank - Galaxy Ent European Warrants Feb 2015 A	60,000,000	2014/08/18	2015/02/27	15.00
13834	HK Bank - HSI European Warrants Jan 2015 C	200,000,000	2014/08/18	2015/01/29	30.00
13820	HK Bank - HSI European Warrants Feb 2015 C	200,000,000	2014/08/18	2015/02/26	50.00
13829	HK Bank - HSI European Warrants Feb 2015 D	200,000,000	2014/08/18	2015/02/26	50.00
13837	HK Bank - HSI European Put Warrants Jan 2015 D	200,000,000	2014/08/18	2015/01/29	30.00
13863	HK Bank - Sands China European Warrants Mar 2015 A	60,000,000	2014/08/18	2015/03/02	15.00
13886	J P Morgan SP BV - Sinopec Corp European Warrants Feb 2015 A	100,000,000	2014/08/18	2015/02/02	26.70
13884	J P Morgan SP BV - Galaxy Ent European Put Wts Mar 2015 A	100,000,000	2014/08/18	2015/03/03	39.10
13796	Macquarie Bank Ltd. - AAC Acoustic European Wts Mar 2015 A	25,000,000	2014/08/18	2015/03/03	10.00
13797	Macquarie Bank Ltd. - Hutchison European Warrants Mar 2015 A	30,000,000	2014/08/18	2015/03/03	10.02
13733 [#]	J P Morgan SP BV - Galaxy Ent European Warrants Jul 2015 A	300,000,000	2014/08/18	2015/07/02	18.90
14021	Credit Suisse AG - Galaxy Ent European Put Wts Jan 2015 B	60,000,000	2014/08/19	2015/01/30	13.80
14031	Credit Suisse - Huaneng Power European Warrants Jan 2015 A	60,000,000	2014/08/19	2015/01/27	16.80
14023	Credit Suisse AG - Lenovo European Warrants Feb 2015 A	60,000,000	2014/08/19	2015/02/27	27.60
14022	Credit Suisse AG - Sands China European Put Wts Feb 2015 B	60,000,000	2014/08/19	2015/02/27	15.00
14035	Citigroup Global Mkt H Inc. - AIA European Wts Dec 2014 A	100,000,000	2014/08/19	2014/12/24	15.00
14050	Citigroup Global Mkt H Inc. - A50 China Euro Wts Dec 2014 C	100,000,000	2014/08/19	2014/12/12	35.00
14014	Citigroup Global Mkt H Inc. - HKEx European Wts Jan 2015 B	50,000,000	2014/08/19	2015/01/28	30.00
13998	Citigroup Global Mkt H Inc. - HKEx European Wts Feb 2015 A	50,000,000	2014/08/19	2015/02/18	25.00
14066	Citigroup Global Mkt H Inc. - HKEx European Wts Dec 2015 B	200,000,000	2014/08/19	2015/12/24	50.00
13915	HK Bank - China Overseas European Warrants Jun 2015 A	60,000,000	2014/08/19	2015/06/30	15.00
13914	HK Bank - China Unicom European Warrants Dec 2016 A	60,000,000	2014/08/19	2016/12/21	11.40

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		發行單位	上市日期	到期日	
13901	HK Bank - HKEx European Warrants Nov 2015 A	150,000,000	2014/08/19	2015/11/25	34.50
13921	HK Bank - Zijin Mining European Warrants Jul 2015 A	40,000,000	2014/08/19	2015/07/31	10.00
14071	J P Morgan SP BV - CSOP A50 ETF European Warrants Oct 2018 A	300,000,000	2014/08/19	2018/10/02	47.70
14076	J P Morgan SP BV - A50 China European Warrants Oct 2018 A	300,000,000	2014/08/19	2018/10/02	45.00
14068	J P Morgan SP BV - ICBC European Warrants Mar 2015 A	100,000,000	2014/08/19	2015/03/02	15.60
13955	Standard Chartered Bank - Agricultural Bk Eur Wts Feb 2015 B	80,000,000	2014/08/19	2015/02/18	12.00
13950	Standard Chartered Bank - CC Bank European Put Wts Apr 2015A	60,000,000	2014/08/19	2015/04/01	15.00
13967	Standard Chartered Bank - HSCEI European Put Wts Mar 2015 A	100,000,000	2014/08/19	2015/03/30	25.00
14020	Standard Chartered Bank - KECL European Put Warrants Aug 15A	80,000,000	2014/08/19	2015/08/04	20.00
13946	Standard Chartered Bank - Tencent Hldg Eu Put Wts Aug 2015 A	120,000,000	2014/08/19	2015/08/24	26.40
13987	Standard Chartered Bank - YZ Coal European Wts Jan 2015 A	50,000,000	2014/08/19	2015/01/28	10.00
13930	UBS AG - Kingsoft European Put Warrants Dec 2014 B	60,000,000	2014/08/19	2014/12/24	10.20
13926	UBS AG - Lenovo European Warrants Feb 2015 A	40,000,000	2014/08/19	2015/02/18	13.20
10297	BNP Paribas Arbit Issu B.V. - Nikkei 225 Index Eu Wt Dec14 A	100,000,000	2014/08/20	2014/12/12	21.00
10296	BNP Paribas Arbit Issu B.V. - Nikkei 225 Eu Put Wt Mar 2015A	100,000,000	2014/08/20	2015/03/13	38.00
14161	Credit Suisse AG - HKEx European Put Warrants Feb 2015 F	100,000,000	2014/08/20	2015/02/27	18.00
14369	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Feb 2015 B	100,000,000	2014/08/20	2015/02/23	25.00
14314	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Dec 2014 B	100,000,000	2014/08/20	2014/12/24	15.00
14325	Citigroup Global Mkt H Inc. - HKEx European Wts Feb 2015 B	60,000,000	2014/08/20	2015/02/23	15.00
14318	Citigroup Global Mkt H Inc. - HSBC European Wts Feb 2015 A	100,000,000	2014/08/20	2015/02/23	17.00
14332	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Feb 2015 A	80,000,000	2014/08/20	2015/02/09	16.00
14305	Citigroup Global Mkt H Inc. - Tencent European Wts Dec 2014 B	100,000,000	2014/08/20	2014/12/24	19.00
14337	Citigroup Global Mkt H Inc. - Tencent European Wts Dec 2015 A	300,000,000	2014/08/20	2015/12/21	75.00
14182	Goldman Sachs SP (Asia) - BYD European Warrants Mar 2015 A	80,000,000	2014/08/20	2015/03/30	45.12
14175	Goldman Sachs SP (Asia) - CITIC Bank European Wts Feb 2015 A	80,000,000	2014/08/20	2015/02/27	20.56
14304	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Feb 2015 A	80,000,000	2014/08/20	2015/02/27	28.48
14220	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Feb 2015 B	80,000,000	2014/08/20	2015/02/27	23.20
14187	Goldman Sachs SP (Asia) - Fosun Intl European Wts Jun15A	80,000,000	2014/08/20	2015/06/30	12.16
14113	HK Bank - China Cinda European Warrants Mar 2015 A	40,000,000	2014/08/20	2015/03/02	10.00
14078	HK Bank - HWL European Warrants Feb 2015 A	80,000,000	2014/08/20	2015/02/24	24.80
14133	HK Bank - Lenovo European Warrants Aug 2016 A	60,000,000	2014/08/20	2016/08/01	14.40
14274	J P Morgan SP BV - AIA European Warrants Mar 2015 A	100,000,000	2014/08/20	2015/03/03	25.40
14283	J P Morgan SP BV - China Mob European Wts Jan 2015 A	200,000,000	2014/08/20	2015/01/30	30.40
14288	J P Morgan SP BV - China Mob European Put Wts Mar 2015 A	200,000,000	2014/08/20	2015/03/03	50.00
14282	J P Morgan SP BV - Sinopec Corp European Warrants Mar 2015 B	100,000,000	2014/08/20	2015/03/03	25.70
14278	J P Morgan SP BV - Ping An European Warrants Mar 2015 A	150,000,000	2014/08/20	2015/03/03	37.80
14299	J P Morgan SP BV - Tencent European Warrants Feb 2015 A	200,000,000	2014/08/20	2015/02/02	78.00
14077	Macquarie Bank Ltd. - China Coal European Wts Mar 2015 A	30,000,000	2014/08/20	2015/03/03	10.05
14157	Standard Chartered Bank - A50 China Euro Warrants Jan 2015 B	80,000,000	2014/08/20	2015/01/28	15.20
14173	UBS AG - AIA European Warrants Dec 2014 B	100,000,000	2014/08/20	2014/12/24	15.00
14255	UBS AG - China Mobile European Warrants Feb 2015 D	100,000,000	2014/08/20	2015/02/03	21.00
14232	UBS AG - China Mobile European Put Warrants Feb 2015 C	100,000,000	2014/08/20	2015/02/23	40.00
14171	UBS AG - CSOP A50 ETF European Warrants Sep 2018 A	300,000,000	2014/08/20	2018/09/26	45.00
14271	UBS AG - HKEx European Warrants Sep 2015 C	300,000,000	2014/08/20	2015/09/29	75.00

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14268	UBS AG - Lenovo European Warrants Jun 2016 A	100,000,000	2014/08/20	2016/06/13	25.00
14433	BOCI Asia Ltd. - Sinopec Corp European Warrants Feb 2015 A	100,000,000	2014/08/21	2015/02/06	32.00
14442	BOCI Asia Ltd. - Hutchison European Warrants Feb 2015 A	100,000,000	2014/08/21	2015/02/09	39.00
14444	BOCI Asia Ltd. - Lenovo European Warrants Jul 2016 A	100,000,000	2014/08/21	2016/07/25	21.00
14518	Citigroup Global Mkt H Inc. - Tencent European Wts Jan 2015 A	100,000,000	2014/08/21	2015/01/27	38.00
14524	Citigroup Global Mkt H Inc. - Tencent European Wts Jan 2015 B	100,000,000	2014/08/21	2015/01/28	48.50
14400	HK Bank - AIA European Warrants Apr 2015 A	80,000,000	2014/08/21	2015/04/13	20.00
14402	HK Bank - PetroChina European Warrants Feb 2015 A	60,000,000	2014/08/21	2015/02/09	11.40
14370	HK Bank - Yanzhou Coal European Warrants Mar 2015 A	40,000,000	2014/08/21	2015/03/02	18.00
14510	Standard Chartered Bank - CITIC Pacific Euro Wts Nov 2015 A	80,000,000	2014/08/21	2015/11/04	20.00
14512	Standard Chartered Bank - China Mobile Euro Wts Jan 2015 C	80,000,000	2014/08/21	2015/01/26	12.00
14432	Standard Chartered Bank - HSI European Warrants Jan 2015 C	100,000,000	2014/08/21	2015/01/29	17.00
14516	Standard Chartered Bank - Singyes Solar European Wts Mar15A	60,000,000	2014/08/21	2015/03/31	15.00
14507	Standard Chartered Bank - Tencent Hldg Eu Put Wts Feb 2015 C	80,000,000	2014/08/21	2015/02/06	22.40
14405	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt May15A	70,000,000	2014/08/21	2015/05/06	12.60
14453	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 15 B	70,000,000	2014/08/21	2015/03/04	10.50
14463	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Mar15C	70,000,000	2014/08/21	2015/03/04	26.25
14430	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Feb 2015A	70,000,000	2014/08/21	2015/02/04	20.65
14417	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Feb15A	50,000,000	2014/08/21	2015/02/04	14.50
14471	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Jun 15 A	100,000,000	2014/08/21	2015/06/03	18.50
14475	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Feb15C	70,000,000	2014/08/21	2015/02/04	17.50
14476	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Feb 2015 A	50,000,000	2014/08/21	2015/02/04	22.00
14429	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Aug 16 A	120,000,000	2014/08/21	2016/08/03	25.80
14505	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Apr 2015A	50,000,000	2014/08/21	2015/04/08	12.50
14452	UBS AG - AIA European Put Warrants Jan 2015 A	70,000,000	2014/08/21	2015/01/23	10.50
14628	BOCI Asia Ltd. - China Life European Warrants Jun 2015 A	100,000,000	2014/08/22	2015/06/29	19.00
14634	BOCI Asia Ltd. - China Mobile European Warrants Feb 2015 D	100,000,000	2014/08/22	2015/02/18	25.00
14631	BOCI Asia Ltd. - China Mobile European Put Wts Mar 2015 A	100,000,000	2014/08/22	2015/03/02	30.00
14598	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Dec 2015 C	100,000,000	2014/08/22	2015/12/02	25.00
14602	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Mar 2015 C	100,000,000	2014/08/22	2015/03/03	26.00
14614	BNP Paribas Arbit Issu B.V. - HKEx European Wts Mar 2015 C	92,000,000	2014/08/22	2015/03/03	23.00
14612	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2015 B	100,000,000	2014/08/22	2015/12/02	25.00
14645	Credit Suisse AG - China Mobile European Warrants Feb 2015 D	80,000,000	2014/08/22	2015/02/27	20.00
14646	Credit Suisse AG - China Mobile European Warrants Feb 2015 E	80,000,000	2014/08/22	2015/02/27	20.00
14647	Credit Suisse AG - China Mobile European Warrants Feb 2015 F	80,000,000	2014/08/22	2015/02/23	28.00
14636	Credit Suisse AG - China Mobile European Put Wts Feb 2015 B	60,000,000	2014/08/22	2015/02/27	15.00
14638	Credit Suisse AG - China Mobile European Put Wts Feb 2015 C	60,000,000	2014/08/22	2015/02/27	20.40
14651	Credit Suisse AG - HKEx European Warrants Mar 2015 B	100,000,000	2014/08/22	2015/03/31	25.00
14653	Credit Suisse AG - Tencent Holdings European Wts Feb 2015 B	100,000,000	2014/08/22	2015/02/27	18.00
14652	Credit Suisse AG - Tencent Holdings European Wts Sep 2015 A	100,000,000	2014/08/22	2015/09/30	20.00
14726	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Feb 2015 C	100,000,000	2014/08/22	2015/02/23	25.00
14580	Daiwa Capital Mkt - China Mobile European Wts Feb 2015 C	108,000,000	2014/08/22	2015/02/23	27.00
14585	Daiwa Capital Mkt - HKEx European Warrants Apr 2015 A	258,000,000	2014/08/22	2015/04/01	64.50
14714	J P Morgan SP BV - China Life European Warrants Jun 2015 A	200,000,000	2014/08/22	2015/06/30	30.20

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		發行單位	上市日期	到期日	
14576	J P Morgan SP BV - China Mob European Wts Mar 2015 C	200,000,000	2014/08/22	2015/03/02	50.00
14577	J P Morgan SP BV - China Mob European Wts Mar 2015 D	200,000,000	2014/08/22	2015/03/02	55.00
14558	J P Morgan SP BV - China Mob European Put Wts Mar 2015 B	200,000,000	2014/08/22	2015/03/02	50.20
14715	J P Morgan SP BV - HKEx European Put Wts Jun 2015 B	300,000,000	2014/08/22	2015/06/30	45.30
14716	J P Morgan SP BV - HSBC European Put Warrants Mar 2015 A	200,000,000	2014/08/22	2015/03/03	50.00
14706	J P Morgan SP BV - Techtronic Ind European Warrants Mar 15 A	100,000,000	2014/08/22	2015/03/23	25.10
14527	Macquarie Bank Ltd. - BBMG European Warrants Nov 2016 A	40,000,000	2014/08/22	2016/11/16	10.00
14589	Macquarie Bank Ltd. - China Mobile European Wts Apr 2015 B	40,000,000	2014/08/22	2015/04/02	10.00
14532	Macquarie Bank Ltd. - Lenovo European Warrants Mar 2015 A	30,000,000	2014/08/22	2015/03/04	10.02
14693	Standard Chartered Bank - China Mobile Euro Wts Feb 2015 B	80,000,000	2014/08/22	2015/02/18	16.00
14697	Standard Chartered Bank - China Mobile Eur Put Wt Mar 2015 A	80,000,000	2014/08/22	2015/03/03	20.00
14705	Standard Chartered Bank - HSBC European Put Wts Jun 2015 A	80,000,000	2014/08/22	2015/06/19	28.00
14704	Standard Chartered Bank - SJM Hold European Wts Aug 2015 A	50,000,000	2014/08/22	2015/08/28	12.50
14681	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt May 15 A	70,000,000	2014/08/22	2015/05/06	17.50
14687	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Feb 2015B	70,000,000	2014/08/22	2015/02/04	17.85
14538	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2015 C	30,000,000	2014/08/22	2015/01/07	24.30
14535	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2015 B	300,000,000	2014/08/22	2015/07/06	55.50
14542	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Dec 2015 A	300,000,000	2014/08/22	2015/12/02	54.00
14684	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Mar 2015 A	100,000,000	2014/08/22	2015/03/04	15.50
14686	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Sep 2015 A	100,000,000	2014/08/22	2015/09/02	25.00
14545	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Apr15A	50,000,000	2014/08/22	2015/04/08	12.50
14691	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jan 15 C	150,000,000	2014/08/22	2015/01/05	39.00
14659	UBS AG - China Mobile European Warrants Feb 2015 E	100,000,000	2014/08/22	2015/02/27	25.00
14660	UBS AG - China Mobile European Put Warrants Mar 2015 A	100,000,000	2014/08/22	2015/03/02	51.00
14534	UBS AG - China Mobile European Put Warrants Jan 2017 A	500,000,000	2014/08/22	2017/01/16	125.00
14658	UBS AG - A50 China European Warrants Sep 2018 A	300,000,000	2014/08/22	2018/09/26	45.00
14677	UBS AG - HKEx European Warrants Feb 2015 D	80,000,000	2014/08/22	2015/02/23	20.00
14661	UBS AG - HKEx European Warrants Feb 2016 B	300,000,000	2014/08/22	2016/02/29	75.00
14680	UBS AG - HKEx European Put Warrants Feb 2015 E	100,000,000	2014/08/22	2015/02/23	17.00
14887	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2016 A	100,000,000	2014/08/25	2016/02/02	25.00
14895	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Jul 2015 A	100,000,000	2014/08/25	2015/07/03	29.00
14888	BNP Paribas Arbit Issu B.V. - Link REIT Euro Wts Sep 2015 A	54,000,000	2014/08/25	2015/09/02	13.50
14881	Credit Suisse AG - China Mobile European Warrants Feb 2015 H	60,000,000	2014/08/25	2015/02/27	15.00
14799	Credit Suisse AG - China Mobile European Put Wts Feb 2015 G	60,000,000	2014/08/25	2015/02/27	23.40
14803	Credit Suisse AG - HSBC European Wts Dec 2014 C	70,000,000	2014/08/25	2014/12/19	10.50
14797	Daiwa Capital Mkt - China Mobile European Put Wts Feb 2015 D	80,000,000	2014/08/25	2015/02/24	24.00
14748	HK Bank - China Mobile European Warrants Feb 2015 C	80,000,000	2014/08/25	2015/02/27	20.00
14749	HK Bank - China Mobile European Put Warrants Feb 2015 D	80,000,000	2014/08/25	2015/02/27	20.00
14750	HK Bank - Tencent European Warrants Jan 2015 A	80,000,000	2014/08/25	2015/01/02	16.80
14754	HK Bank - Tencent European Warrants Jan 2015 B	80,000,000	2014/08/25	2015/01/28	36.00
14909	J P Morgan SP BV - China Mob European Wts Mar 2015 E	200,000,000	2014/08/25	2015/03/02	50.20
14908	J P Morgan SP BV - China Mob European Put Wts Apr 2015 A	150,000,000	2014/08/25	2015/04/01	70.50
14762	Macquarie Bank Ltd. - Yurun Food European Wts Mar 2015 A	40,000,000	2014/08/25	2015/03/05	10.00
14764	Macquarie Bank Ltd. - Zijin Mining European Wts Aug 2015 A	40,000,000	2014/08/25	2015/08/04	10.00

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		發行單位	上市日期	到期日	
14782	Standard Chartered Bank - Link REIT European Wts Jun 2015 A	50,000,000	2014/08/25	2015/06/01	12.50
14828	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Aug 2015 A	100,000,000	2014/08/25	2015/08/03	16.50
14841	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Aug 2016A	300,000,000	2014/08/25	2016/08/03	45.00
14868	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Apr 2015A	80,000,000	2014/08/25	2015/04/08	12.00
14844	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt May15A	45,000,000	2014/08/25	2015/05/06	11.25
14845	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Mar15 B	100,000,000	2014/08/25	2015/03/04	29.50
14846	SGA Societe Generale Acceptance N.V. - Link REIT Eu Wt Aug15A	40,000,000	2014/08/25	2015/08/05	10.00
14773	UBS AG - China Mobile European Warrants Mar 2015 B	100,000,000	2014/08/25	2015/03/06	25.00
14759	UBS AG - CNOOC European Put Warrants Aug 2015 A	50,000,000	2014/08/25	2015/08/17	12.50
14768	UBS AG - Fosun Pharma European Warrants Jun 2015 A	70,000,000	2014/08/25	2015/06/24	10.50
14780	UBS AG - Lenovo European Warrants Feb 2015 B	40,000,000	2014/08/25	2015/02/27	10.00
14772	UBS AG - Link REIT European Warrants Feb 2015 A	40,000,000	2014/08/25	2015/02/24	10.00
15095	Credit Suisse AG - CNOOC European Put Warrants Oct 2015 A	80,000,000	2014/08/26	2015/10/30	20.00
15086	Credit Suisse AG - China Tel European Warrants Feb 2015 A	60,000,000	2014/08/26	2015/02/26	15.00
15087	Credit Suisse AG - HKEx European Warrants May 2015 A	100,000,000	2014/08/26	2015/05/29	25.00
15107	Credit Suisse AG - HSI European Warrants Feb 2015 C	150,000,000	2014/08/26	2015/02/26	22.50
15106	Credit Suisse AG - HSI European Put Warrants Feb 2015 B	150,000,000	2014/08/26	2015/02/26	22.50
15105	Credit Suisse AG - Link REIT European Warrants Feb 2015 A	60,000,000	2014/08/26	2015/02/26	15.00
15084	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 I	100,000,000	2014/08/26	2014/12/29	23.00
15081	Credit Suisse AG - Tencent Holdings European Wts Jan 2015 D	100,000,000	2014/08/26	2015/01/30	36.00
14920	Citigroup Global Mkt H Inc. - CGS European Wts Mar 2015 A	30,000,000	2014/08/26	2015/03/02	12.00
14921	Citigroup Global Mkt H Inc. - CITIC Sec Euro Wts May 2015 A	100,000,000	2014/08/26	2015/05/21	19.00
14919	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Mar 2015 A	100,000,000	2014/08/26	2015/03/02	25.00
14923	Citigroup Global Mkt H Inc. - Enn Energy European Wts Mar15A	50,000,000	2014/08/26	2015/03/09	22.00
14918	Citigroup Global Mkt H Inc. - HSBC European Wts Feb 2015 B	100,000,000	2014/08/26	2015/02/18	20.00
15071	Daiwa Capital Mkt - CNOOC European Warrants May 2015 A	98,000,000	2014/08/26	2015/05/22	24.50
15068	Daiwa Capital Mkt - PetroChina European Warrants Mar 2015 A	80,000,000	2014/08/26	2015/03/18	20.00
15074	Daiwa Capital Mkt - SHK Ppt European Put Warrants Feb 2015 A	68,000,000	2014/08/26	2015/02/18	10.20
14947	HK Bank - HSCEI European Warrants Feb 2015 B	150,000,000	2014/08/26	2015/02/26	22.50
14944	HK Bank - HSCEI European Put Warrants Feb 2015 A	150,000,000	2014/08/26	2015/02/26	22.50
14936	HK Bank - HSI European Warrants Feb 2015 F	150,000,000	2014/08/26	2015/02/26	22.50
14939	HK Bank - HSI European Warrants Feb 2015 G	150,000,000	2014/08/26	2015/02/26	37.50
14930	HK Bank - HSI European Put Warrants Feb 2015 E	150,000,000	2014/08/26	2015/02/26	37.50
15117	J P Morgan SP BV - BOCL European Warrants Apr 2015 A	200,000,000	2014/08/26	2015/04/02	30.60
15116	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Mar 2015 A	200,000,000	2014/08/26	2015/03/02	31.40
15029	J P Morgan SP BV - China Mob European Wts Dec 2018 A	500,000,000	2014/08/26	2018/12/03	125.00
15114	J P Morgan SP BV - CNOOC European Warrants Mar 2015 A	80,000,000	2014/08/26	2015/03/02	20.24
15112	J P Morgan SP BV - Lenovo European Warrants Apr 2016 A	200,000,000	2014/08/26	2016/04/05	50.00
15108	J P Morgan SP BV - Lenovo European Put Warrants Aug 2015 B	200,000,000	2014/08/26	2015/08/03	30.00
15056	J P Morgan SP BV - Link Reit European Warrants Mar 2015 A	50,000,000	2014/08/26	2015/03/02	12.50
15021	Macquarie Bank Ltd. - China Tel European Warrants Apr 2015 A	35,000,000	2014/08/26	2015/04/02	10.01
14917	Macquarie Bank Ltd. - Techtronic Ind European Wts Apr15A	40,000,000	2014/08/26	2015/04/20	10.00
14972	Standard Chartered Bank - BOCL European Warrants Mar 2015 A	80,000,000	2014/08/26	2015/03/20	12.00
15120	Standard Chartered Bank - CITIC Pacific Euro Put Wt Sep 15 B	80,000,000	2014/08/26	2015/09/14	20.80

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		發行單位	上市日期	到期日	
14948	Standard Chartered Bank - China Life European Wts Jun 2015 A	100,000,000	2014/08/26	2015/06/10	15.00
14951	Standard Chartered Bank - China Life European Wts Dec 2015 B	100,000,000	2014/08/26	2015/12/28	20.00
15019	Standard Chartered Bank - China Mobile Euro Wts Mar 2015 B	80,000,000	2014/08/26	2015/03/04	20.00
15020	Standard Chartered Bank - China Mobile Eur Put Wt Feb 2015 C	80,000,000	2014/08/26	2015/02/26	23.20
14963	Standard Chartered Bank - CRCC European Warrants Jul 2015 A	40,000,000	2014/08/26	2015/07/31	21.60
14954	Standard Chartered Bank - China Shenhua Euro Wts Apr 2015 A	80,000,000	2014/08/26	2015/04/27	12.00
14989	Standard Chartered Bank - HSI European Warrants Mar 2015 C	100,000,000	2014/08/26	2015/03/30	15.00
14992	Standard Chartered Bank - HSI European Warrants Mar 2015 D	100,000,000	2014/08/26	2015/03/30	15.00
14997	Standard Chartered Bank - HSI European Put Wts Feb 2015 C	100,000,000	2014/08/26	2015/02/26	19.00
15018	Standard Chartered Bank - HSI European Put Wts Feb 2015 D	100,000,000	2014/08/26	2015/02/26	25.00
14964	Standard Chartered Bank - NewWorld Dev European Wts May 15 A	70,000,000	2014/08/26	2015/05/04	10.50
14965	Standard Chartered Bank - Tracker Fund Euro Wts Mar 2015 B	50,000,000	2014/08/26	2015/03/06	12.50
15032	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Dec 2015A	60,000,000	2014/08/26	2015/12/02	15.00
15076	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Sep15A	70,000,000	2014/08/26	2015/09/02	12.95
15039	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Jan17 A	100,000,000	2014/08/26	2017/01/04	20.00
15048	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Oct 2018A	500,000,000	2014/08/26	2018/10/03	75.00
15041	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2015 A	150,000,000	2014/08/26	2015/03/30	24.75
15045	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2015 B	150,000,000	2014/08/26	2015/06/29	22.50
15077	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Feb 15 A	150,000,000	2014/08/26	2015/02/26	29.25
15055	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Oct 15 B	500,000,000	2014/08/26	2015/10/05	82.50
15080	UBS AG - BYD European Warrants Feb 2015 A	50,000,000	2014/08/26	2015/02/09	20.75
15078	UBS AG - Ping An European Warrants Mar 2015 A	70,000,000	2014/08/26	2015/03/27	12.60
15134	Daiwa Capital Mkt - China Life European Warrants Apr 2015 B	128,000,000	2014/08/27	2015/04/01	32.00
15133	HK Bank - Anhui Conch European Put Warrants Feb 2015 A	60,000,000	2014/08/27	2015/02/27	15.00
15123	HK Bank - CAM CSI300 European Warrants Aug 2015 A	100,000,000	2014/08/27	2015/08/17	20.00
15131	HK Bank - China Life European Put Warrants Apr 2015 A	80,000,000	2014/08/27	2015/04/09	12.80
15126	HK Bank - Sinopec Corp European Warrants Feb 2015 B	80,000,000	2014/08/27	2015/02/27	28.00
15121	HK Bank - HKEx European Put Warrants Feb 2015 B	100,000,000	2014/08/27	2015/02/23	15.00
15128	HK Bank - Ping An European Put Warrants Jan 2015 B	80,000,000	2014/08/27	2015/01/30	12.00
15227	J P Morgan SP BV - AIA European Put Warrants Apr 2015 B	200,000,000	2014/08/27	2015/04/02	50.20
15232	J P Morgan SP BV - BBMG European Warrants Dec 2016 A	80,000,000	2014/08/27	2016/12/02	20.00
15192	J P Morgan SP BV - HSI European Warrants Dec 2015 A	500,000,000	2014/08/27	2015/12/30	125.00
15148	Macquarie Bank Ltd. - Biostime European Warrants Feb 2015 A	30,000,000	2014/08/27	2015/02/27	10.38
15145	Macquarie Bank Ltd. - CMS European Warrants Mar 2016 A	40,000,000	2014/08/27	2016/03/02	10.00
15221	Macquarie Bank Ltd. - Ch Ship Cont European Wts May 2015 A	40,000,000	2014/08/27	2015/05/05	10.00
15184	Standard Chartered Bank - Lenovo European Wts Feb 2015 B	30,000,000	2014/08/27	2015/02/27	13.50
15149	SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Apr 15 A	40,000,000	2014/08/27	2015/04/08	10.00
15153	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Jun 15 A	35,000,000	2014/08/27	2015/06/03	11.20
15168	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Mar 15 A	40,000,000	2014/08/27	2015/03/04	10.00
15155	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Oct 2016 A	100,000,000	2014/08/27	2016/10/05	16.00
15174	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Mar 2015 B	30,000,000	2014/08/27	2015/03/04	12.30
15163	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt May 2016A	80,000,000	2014/08/27	2016/05/04	16.00
15175	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Mar15A	100,000,000	2014/08/27	2015/03/04	25.50
15179	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Mar15A	80,000,000	2014/08/27	2015/03/04	25.60

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15183	SGA Societe Generale Acceptance N.V - Sands China Eu Wt Apr15A	80,000,000	2014/08/27	2015/04/08	20.00
15225	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt May 2015A	50,000,000	2014/08/27	2015/05/06	12.50
15210	UBS AG - Geely Auto European Warrants Feb 2015 B	40,000,000	2014/08/27	2015/02/26	12.00
15209	UBS AG - Galaxy Ent European Warrants Feb 2015 A	40,000,000	2014/08/27	2015/02/26	12.00
15216	UBS AG - Kingsoft European Warrants Feb 2015 A	100,000,000	2014/08/27	2015/02/26	28.00
15193	UBS AG - Tencent Holdings European Warrants Jan 2015 C	100,000,000	2014/08/27	2015/01/07	21.00
22674#	Standard Chartered Bank - CSOP A50 ETF Euro Wts Mar 2015 A	230,000,000	2014/08/27	2015/03/06	10.12
15254	BOCI Asia Ltd. - BOC HK European Warrants May 2015 A	100,000,000	2014/08/28	2015/05/04	15.00
15271	BOCI Asia Ltd. - HKEx European Put Warrants Jun 2015 B	100,000,000	2014/08/28	2015/06/29	18.00
15270	BOCI Asia Ltd. - Lenovo European Put Warrants Aug 2015 A	100,000,000	2014/08/28	2015/08/10	15.00
15313	Credit Suisse AG - GCL-Poly Energy European Wts Mar 2015 A	60,000,000	2014/08/28	2015/03/02	15.00
15310	Credit Suisse AG - Kingsoft European Warrants Mar 2015 A	70,000,000	2014/08/28	2015/03/03	11.90
15272	Daiwa Capital Mkt - BOC HK European Warrants Apr 2015 A	108,000,000	2014/08/28	2015/04/27	16.20
15277	HK Bank - CHALCO European Warrants Feb 2015 A	80,000,000	2014/08/28	2015/02/27	20.00
15279	HK Bank - China COSCO European Warrants Mar 2015 A	80,000,000	2014/08/28	2015/03/02	20.00
15273	HK Bank - Geely Auto European Warrants Feb 2015 A	80,000,000	2014/08/28	2015/02/27	20.00
15281	HK Bank - Jiangxi Copper European Warrants Apr 2016 A	80,000,000	2014/08/28	2016/04/26	15.20
15274	HK Bank - Sh Petrochem European Warrants Mar 2015 A	60,000,000	2014/08/28	2015/03/02	18.00
15324	J P Morgan SP BV - Biostime European Warrants Mar 2015 A	40,000,000	2014/08/28	2015/03/03	10.12
15246	J P Morgan SP BV - BOC HK European Warrants Dec 2016 A	150,000,000	2014/08/28	2016/12/30	37.65
15244	J P Morgan SP BV - CITIC Sec European Warrants May 2015 A	80,000,000	2014/08/28	2015/05/28	12.08
15317	J P Morgan SP BV - China Taiping European Wts Apr 2015 A	60,000,000	2014/08/28	2015/04/02	15.06
15314	J P Morgan SP BV - HSI European Warrants Feb 2015 D	500,000,000	2014/08/28	2015/02/26	75.00
15243	J P Morgan SP BV - Hutchison European Warrants Dec 2014 A	80,000,000	2014/08/28	2014/12/31	12.88
15315	J P Morgan SP BV - Kingsoft European Warrants Mar 2015 A	150,000,000	2014/08/28	2015/03/02	37.50
15245	J P Morgan SP BV - MTRC European Warrants Feb 2016 A	40,000,000	2014/08/28	2016/02/02	10.00
15236	J P Morgan SP BV - Tracker Fund European Warrants Dec 2015 A	200,000,000	2014/08/28	2015/12/02	50.00
15305	Macquarie Bank Ltd. - Greatwall Motor European Wts Apr 2015 A	46,000,000	2014/08/28	2015/04/02	10.12
15309	Macquarie Bank Ltd. - Sands China European Wts Apr 2015 A	40,000,000	2014/08/28	2015/04/02	10.00
15233	Macquarie Bank Ltd. - Tencent European Warrants Dec 2014 B	40,000,000	2014/08/28	2014/12/02	10.00
15302	Standard Chartered Bank - BOC HK European Wts Feb 2015 A	60,000,000	2014/08/28	2015/02/23	22.20
15300	Standard Chartered Bank - Techtronic Ind Euro Wts Mar15A	50,000,000	2014/08/28	2015/03/27	12.50
15406	Credit Suisse AG - Sinopec Corp European Warrants Mar 2015 A	70,000,000	2014/08/29	2015/03/02	17.50
15383	Credit Suisse AG - ChiUnicom European Warrants Nov 2016 A	70,000,000	2014/08/29	2016/11/07	15.40
15396	Credit Suisse AG - Kingsoft European Warrants Mar 2015 B	70,000,000	2014/08/29	2015/03/02	17.50
15395	Credit Suisse AG - Ping An European Warrants Dec 2014 D	80,000,000	2014/08/29	2014/12/24	19.20
15385	Credit Suisse AG - ZTE European Warrants Jan 2015 A	70,000,000	2014/08/29	2015/01/26	10.50
15429	Bank of East Asia - CHALCO European Warrants Apr 2015 A	40,000,000	2014/08/29	2015/04/16	10.00
15419	Bank of East Asia - BOCHK European Warrants Dec 2015 A	40,000,000	2014/08/29	2015/12/30	10.00
15421	Bank of East Asia - BOCHK European Put Warrants Oct 2015 A	40,000,000	2014/08/29	2015/10/05	10.00
15427	Bank of East Asia - HSBC European Warrants Jul 2015 A	40,000,000	2014/08/29	2015/07/29	10.00
15430	Bank of East Asia - Lenovo European Warrants Jun 2016 A	50,000,000	2014/08/29	2016/06/22	12.50
15334	HK Bank - Ch Oilfield European Warrants Mar 2015 A	80,000,000	2014/08/29	2015/03/10	12.00
15333	HK Bank - Shanghai Electric European Warrants Mar 2015 A	60,000,000	2014/08/29	2015/03/02	15.00

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15432	J P Morgan SP BV - PetroChina European Warrants Mar 2015 B	100,000,000	2014/08/29	2015/03/02	25.00
15341	Macquarie Bank Ltd. - AIA European Warrants Jul 2015 A	40,000,000	2014/08/29	2015/07/03	10.00
15382	Macquarie Bank Ltd. - China Taiping European Wts Apr 2015 A	40,000,000	2014/08/29	2015/04/02	10.00
15339	Macquarie Bank Ltd. - Li & Fung European Warrants Mar 2015 A	40,000,000	2014/08/29	2015/03/03	10.00
15327	Macquarie Bank Ltd. - Tencent European Warrants Dec 2014 C	25,000,000	2014/08/29	2014/12/01	12.45
15411	Macquarie Bank Ltd. - Tencent European Warrants Mar 2015 B	25,000,000	2014/08/29	2015/03/03	33.63
15412	Macquarie Bank Ltd. - Tencent European Warrants Mar 2015 C	25,000,000	2014/08/29	2015/03/03	17.60
15416	Macquarie Bank Ltd. - Tencent European Warrants Mar 2015 D	25,000,000	2014/08/29	2015/03/03	10.08
15342	Standard Chartered Bank - CNOOC European Put Wts Sep 2015 A	80,000,000	2014/08/29	2015/09/03	20.00
15347	Standard Chartered Bank - Chi Res Power Euro Wts Jun 2015 A	80,000,000	2014/08/29	2015/06/30	20.00
15369	Standard Chartered Bank - CSOP A50 ETF Euro Wts Jan 2015 A	60,000,000	2014/08/29	2015/01/28	15.00
15350	Standard Chartered Bank - Esprit European Wts Feb 2016 A	80,000,000	2014/08/29	2016/02/01	20.00
15368	Standard Chartered Bank - A50 China Euro Warrants Dec 2014 B	60,000,000	2014/08/29	2014/12/31	12.00
15379	Standard Chartered Bank - Galaxy Ent European Wts Dec 2014 B	60,000,000	2014/08/29	2014/12/29	30.60
15367	Standard Chartered Bank - MTRC European Warrants Dec 2015 A	60,000,000	2014/08/29	2015/12/31	15.00
15362	Standard Chartered Bank - SPDR Gold Trust Euro Wt Jun 2015 A	60,000,000	2014/08/29	2015/06/30	19.80
15352	Standard Chartered Bank - Zijin Mining Euro Wts Jul 2015 A	70,000,000	2014/08/29	2015/07/24	10.50
15487	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2015 B	100,000,000	2014/09/01	2015/02/03	15.00
15492	BNP Paribas Arbit Issu B.V. - HSI European Wts Jul 2015 A	100,000,000	2014/09/01	2015/07/30	15.00
15498	BNP Paribas Arbit Issu B.V. - ICBC European Wts Jul 2016 A	100,000,000	2014/09/01	2016/07/05	25.00
15457	Credit Suisse AG - Sinopec Corp European Warrants Mar 2015 B	70,000,000	2014/09/01	2015/03/02	17.50
15473	Credit Suisse AG - PetroChina European Warrants Mar 2015 A	70,000,000	2014/09/01	2015/03/02	17.50
15515	Citigroup Global Mkt H Inc. - AIA European Wts Jun 2015 A	80,000,000	2014/09/01	2015/06/29	20.00
15514	Citigroup Global Mkt H Inc. - Sinopec Corp Eu Wts Dec 2014 C	60,000,000	2014/09/01	2014/12/05	12.60
15448	Daiwa Capital Mkt - CAM CSI300 European Warrants Jun 2015 A	98,000,000	2014/09/01	2015/06/01	24.50
15452	Daiwa Capital Mkt - Sinopec Corp European Put Wts Mar 2015 B	80,000,000	2014/09/01	2015/03/02	21.60
15449	Daiwa Capital Mkt - CSOP A50 ETF European Wts Mar 2015 A	108,000,000	2014/09/01	2015/03/02	17.28
15446	Daiwa Capital Mkt - A50 China European Warrants Feb 2015 A	128,000,000	2014/09/01	2015/02/02	19.20
15433	HK Bank - Agricultural Bank European Put Warrants May 2015 A	80,000,000	2014/09/01	2015/05/29	20.00
15434	HK Bank - China Shenhua European Put Warrants Sep 2015 A	80,000,000	2014/09/01	2015/09/30	20.00
15435	HK Bank - HKEx European Warrants Mar 2015 B	150,000,000	2014/09/01	2015/03/24	24.00
15508	J P Morgan SP BV - BOC HK European Warrants May 2015 A	180,000,000	2014/09/01	2015/05/04	27.00
15439	Macquarie Bank Ltd. - China Res Gas European Wts Mar 2015 A	40,000,000	2014/09/01	2015/03/04	10.00
15453	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Oct 2016A	60,000,000	2014/09/01	2016/10/05	15.00
15437	SGA Societe Generale Acceptance NV - CITIC P Eu Put Wt Oct15A	70,000,000	2014/09/01	2015/10/05	10.50
15456	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Mar 2015A	80,000,000	2014/09/01	2015/03/04	24.80
15438	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Mar15A	50,000,000	2014/09/01	2015/03/04	13.50
15484	UBS AG - AIA European Warrants Jul 2015 A	100,000,000	2014/09/01	2015/07/20	25.00
15503	UBS AG - Sinopec Corp European Warrants Mar 2015 B	70,000,000	2014/09/01	2015/03/02	17.50
15483	UBS AG - Sinopec Corp European Put Warrants Mar 2015 A	40,000,000	2014/09/01	2015/03/02	13.20
15479	UBS AG - PetroChina European Warrants Mar 2015 A	50,000,000	2014/09/01	2015/03/02	12.50
15531	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Mar 2015 A	100,000,000	2014/09/02	2015/03/30	17.00
15527	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Aug 2015 A	100,000,000	2014/09/02	2015/08/04	26.00
15541	BNP Paribas Arbit Issu B.V. - HKEx European Wts Oct 2015 A	100,000,000	2014/09/02	2015/10/05	16.00

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		發行單位	上市日期	到期日	
15529	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jun 2016 A	100,000,000	2014/09/02	2016/06/02	25.00
15544	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Jul 2015 A	100,000,000	2014/09/02	2015/07/03	30.00
15553	Credit Suisse - Foxconn European Warrants Mar 2015 A	60,000,000	2014/09/02	2015/03/02	15.00
15557	Credit Suisse AG - Ping An European Warrants Feb 2015 A	70,000,000	2014/09/02	2015/02/27	10.50
15555	Credit Suisse AG - Sinopharm European Warrants Mar 2015 A	60,000,000	2014/09/02	2015/03/02	15.00
15526	Citigroup Global Mkt H Inc. - PetroChina Euro Wts Mar 2015 A	50,000,000	2014/09/02	2015/03/16	12.50
15522	Daiwa Capital Mkt - HKEx European Warrants May 2015 B	258,000,000	2014/09/02	2015/05/21	38.70
15521	Macquarie Bank Ltd. - CITIC Pacific European Wts Sep 2015 A	70,000,000	2014/09/02	2015/09/02	10.50
15519	Standard Chartered Bank - Henganintl European Wts Oct15A	100,000,000	2014/09/02	2015/10/02	15.00
15520	Standard Chartered Bank - Hutchison Euro Euro Wts Jan 2015 A	80,000,000	2014/09/02	2015/01/29	20.00
15558	Standard Chartered Bank - PetroChina European Wts Feb 2015 A	60,000,000	2014/09/02	2015/02/09	15.00
15525	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Apr15A	20,000,000	2014/09/02	2015/04/08	10.20
25846 [#]	J P Morgan SP BV - Geely Auto European Warrants Jan 2015 A	80,000,000	2014/09/02	2015/01/05	16.96
15562	BOCI Asia Ltd. - CNOOC European Put Warrants Aug 2015 A	100,000,000	2014/09/03	2015/08/10	20.00
15559	BOCI Asia Ltd. - Sinopec Corp European Put Warrants Mar 15 A	100,000,000	2014/09/03	2015/03/09	29.00
15565	BOCI Asia Ltd. - A50 China European Warrants Dec 2016 A	100,000,000	2014/09/03	2016/12/28	15.00
15564	BOCI Asia Ltd. - Lenovo European Warrants Mar 2016 A	100,000,000	2014/09/03	2016/03/24	20.00
15561	BOCI Asia Ltd. - PetroChina European Put Warrants Apr 2015 A	100,000,000	2014/09/03	2015/04/27	33.00
15579	Credit Suisse AG - AIA European Warrants Jun 2015 A	80,000,000	2014/09/03	2015/06/29	20.00
15580	Credit Suisse - SMIC European Warrants Jul 2015 A	70,000,000	2014/09/03	2015/07/31	10.50
15582	Daiwa Capital Mkt - PetroChina European Warrants May 2015 A	98,000,000	2014/09/03	2015/05/08	24.50
15586	Daiwa Capital Mkt - PetroChina European Put Wts Mar 2015 B	68,000,000	2014/09/03	2015/03/02	23.12
15568	HK Bank - CC Bank European Put Warrants Apr 2015 A	80,000,000	2014/09/03	2015/04/30	20.00
15566	HK Bank - A50 China European Put Warrants Aug 2016 C	100,000,000	2014/09/03	2016/08/23	15.00
15567	HK Bank - HSI European Put Warrants Feb 2015 H	180,000,000	2014/09/03	2015/02/26	28.80
15569	HK Bank - ICBC European Put Warrants Apr 2015 A	80,000,000	2014/09/03	2015/04/30	20.00
15598	Macquarie Bank Ltd. - Galaxy Ent European Wts Feb 2015 C	50,000,000	2014/09/03	2015/02/03	10.00
15587	Macquarie Bank Ltd. - SHK Ppt European Warrants May 2015 B	70,000,000	2014/09/03	2015/05/05	10.50
15575	Standard Chartered Bank - Kingsoft European Wts Jan 2015 A	80,000,000	2014/09/03	2015/01/28	12.80
15576	Standard Chartered Bank - Kingsoft European Put Wts Mar 15 A	80,000,000	2014/09/03	2015/03/04	20.80
15570	Standard Chartered Bank - Sands China Euro Wts Mar 2015 A	80,000,000	2014/09/03	2015/03/02	17.60
15610	SGA Societe Generale Acceptance N.V. - Ch Oilfield Eu Wt Jul15A	50,000,000	2014/09/03	2015/07/06	15.50
15589	SGA Societe Generale Acceptance N.V. - GAC Eur Wts Feb 2017A	120,000,000	2014/09/03	2017/02/06	30.00
15577	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Mar15A	70,000,000	2014/09/03	2015/03/04	32.90
15606	UBS AG - BYD European Warrants Mar 2015 A	50,000,000	2014/09/03	2015/03/09	12.50
15604	UBS AG - CSOP A50 ETF European Warrants Mar 2015 A	60,000,000	2014/09/03	2015/03/09	27.00
15602	UBS AG - China Tel European Warrants Mar 2015 A	40,000,000	2014/09/03	2015/03/09	10.00
13716 [#]	Standard Chartered Bank - HKEx European Warrants Jun 2015 D	100,000,000	2014/09/03	2015/06/22	15.00
15651	BNP Paribas Arbit Issu B.V. - Biostime Euro Wts Apr 2015 A	33,000,000	2014/09/04	2015/04/02	10.89
15649	BNP Paribas Arbit Issu B.V. - BOCHK European Wts Dec 2016 A	100,000,000	2014/09/04	2016/12/02	26.00
15650	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Apr 2015 A	76,000,000	2014/09/04	2015/04/02	19.00
15648	BNP Paribas Arbit Issu B.V. - Ping An European Wt Apr 2015 A	100,000,000	2014/09/04	2015/04/02	15.00
15629	Credit Suisse AG - HKEx European Warrants Aug 2015 A	100,000,000	2014/09/04	2015/08/11	17.00
15626	Credit Suisse AG - HKEx European Warrants Sep 2015 D	100,000,000	2014/09/04	2015/09/21	15.00

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		發行單位	上市日期	到期日	
15627	Credit Suisse AG - HKEx European Warrants Dec 2015 B	100,000,000	2014/09/04	2015/12/21	15.00
15641	Bank of East Asia - R&F Ppt European Warrants Feb 2016 A	70,000,000	2014/09/04	2016/02/24	10.99
15642	Bank of East Asia - Henganintl European Warrants May 2015 A	25,000,000	2014/09/04	2015/05/05	11.63
15625	HK Bank - BOC HK European Warrants Oct 2016 A	80,000,000	2014/09/04	2016/10/04	20.00
15623	HK Bank - CITIC Sec European Warrants Feb 2015 A	80,000,000	2014/09/04	2015/02/27	12.00
15612	HK Bank - Lenovo European Warrants Mar 2016 A	100,000,000	2014/09/04	2016/03/31	18.00
15617	HK Bank - Tencent European Warrants Sep 2015 A	100,000,000	2014/09/04	2015/09/23	15.00
15622	HK Bank - Tencent European Warrants Dec 2015 B	100,000,000	2014/09/04	2015/12/14	15.00
15653	J P Morgan SP BV - HKEx European Warrants Jan 2015 B	88,000,000	2014/09/04	2015/01/07	35.02
15652	J P Morgan SP BV - Sands China European Warrants Mar 2015 B	200,000,000	2014/09/04	2015/03/03	50.20
15630	Macquarie Bank Ltd. - A50 China European Warrants Feb 2015 A	40,000,000	2014/09/04	2015/02/03	10.00
15639	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Feb15B	50,000,000	2014/09/04	2015/02/04	18.25
15640	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Apr 16 A	120,000,000	2014/09/04	2016/04/06	23.40
15643	UBS AG - A50 China European Warrants Jan 2015 A	50,000,000	2014/09/04	2015/01/27	19.00
15647	UBS AG - Lenovo European Put Warrants Aug 2015 B	70,000,000	2014/09/04	2015/08/04	10.50
15645	UBS AG - Sands China European Warrants Mar 2015 A	40,000,000	2014/09/04	2015/03/03	10.80
15644	UBS AG - Tencent Holdings European Warrants Dec 2015 B	500,000,000	2014/09/04	2015/12/14	87.50
15695	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2016 A	100,000,000	2014/09/05	2016/01/05	17.00
15696	BNP Paribas Arbit Issu B.V. - China Unicom Euro Wt Dec 2016A	100,000,000	2014/09/05	2016/12/02	25.00
15691	BNP Paribas Arbit Issu B.V. - HKEx European Wts Apr 2015 A	100,000,000	2014/09/05	2015/04/02	17.00
15692	BNP Paribas Arbit Issu B.V. - China Mengniu Eu Wt Jul 2015 A	80,000,000	2014/09/05	2015/07/03	24.00
15677	Credit Suisse AG - CHALCO European Wts Mar 2015 A	60,000,000	2014/09/05	2015/03/30	15.00
15663	Credit Suisse AG - KECL European Warrants Dec 2015 A	60,000,000	2014/09/05	2015/12/28	10.80
15700	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2015 B	80,000,000	2014/09/05	2015/02/23	22.08
15702	Goldman Sachs SP (Asia) - HKEx European Warrants Apr 2015 A	120,000,000	2014/09/05	2015/04/01	18.12
15699	J P Morgan SP BV - China Cinda European Warrants Mar 2015 A	80,000,000	2014/09/05	2015/03/04	20.00
15698	J P Morgan SP BV - CSOP A50 ETF European Warrants Jan 2015 A	100,000,000	2014/09/05	2015/01/26	25.00
15661	Standard Chartered Bank - CTel European Warrants Jun 2015 A	60,000,000	2014/09/05	2015/06/30	18.60
15659	Standard Chartered Bank - Datang Power Euro Wts Mar 2015 A	40,000,000	2014/09/05	2015/03/17	10.00
15660	Standard Chartered Bank - Greatwall Motor Euro Wt Feb 2015 A	60,000,000	2014/09/05	2015/02/24	12.00
15658	Standard Chartered Bank - Sands China Euro Wts Feb 2015 A	80,000,000	2014/09/05	2015/02/18	23.20
15654	Standard Chartered Bank - SHK P European Warrants Feb 2015 B	80,000,000	2014/09/05	2015/02/23	12.00
15684	SGA Societe Generale Acceptance N.V. - AIA Eur Wt May 2015 A	100,000,000	2014/09/05	2015/05/06	16.50
16551 #	J P Morgan SP BV - Sands China European Warrants Dec 2014 B	150,000,000	2014/09/05	2014/12/01	10.20
18069 #	Standard Chartered Bank - Evergrande European Wts May 2015 A	250,000,000	2014/09/05	2015/05/28	10.50
15724	BOCI Asia Ltd. - GCL-Poly Energy European Warrants Mar 2015A	50,000,000	2014/09/08	2015/03/30	19.50
15728	BOCI Asia Ltd. - HKEx European Warrants Mar 2015 B	100,000,000	2014/09/08	2015/03/24	16.00
15725	BOCI Asia Ltd. - SMIC European Warrants Dec 2015 A	50,000,000	2014/09/08	2015/12/28	12.50
15726	BOCI Asia Ltd. - Tencent Holdings European Wts Jan 2015 A	100,000,000	2014/09/08	2015/01/28	36.00
15737	Credit Suisse AG - Galaxy Ent European Warrants Dec 2014 B	70,000,000	2014/09/08	2014/12/24	19.60
15740	Credit Suisse AG - Sands China European Warrants Mar 2015 A	70,000,000	2014/09/08	2015/03/09	17.50
15732	Daiwa Capital Mkt - China Mobile European Wts Mar 2015 A	108,000,000	2014/09/08	2015/03/03	16.20
15721	Bank of East Asia - CITIC European Put Warrants Oct 2015 A	40,000,000	2014/09/08	2015/10/26	10.00
15766	Goldman Sachs SP (Asia) - China Life Euro Wts Jun 2015 A	80,000,000	2014/09/08	2015/06/30	12.00

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15764	Goldman Sachs SP (Asia) - China Tel European Wts Mar 2015 A	80,000,000	2014/09/08	2015/03/30	24.72
15717	Goldman Sachs SP (Asia) - HSCEI European Warrants Feb 2015 A	150,000,000	2014/09/08	2015/02/26	22.50
15715	Goldman Sachs SP (Asia) - HSCEI European Warrants Nov 2015 A	150,000,000	2014/09/08	2015/11/27	37.50
15763	Goldman Sachs SP (Asia) - Hutchison European Wts Jan 2015 B	80,000,000	2014/09/08	2015/01/30	25.04
15767	Goldman Sachs SP (Asia) - Kingsoft European Wts Feb 2015 A	80,000,000	2014/09/08	2015/02/27	15.60
15765	Goldman Sachs SP (Asia) - ZTE European Warrants Jul 2015 A	80,000,000	2014/09/08	2015/07/30	20.00
15719	HK Bank - CRCC European Warrants Mar 2015 A	60,000,000	2014/09/08	2015/03/09	15.00
15720	HK Bank - HKEx European Warrants May 2015 A	100,000,000	2014/09/08	2015/05/26	15.00
15718	HK Bank - PetroChina European Warrants Mar 2015 A	60,000,000	2014/09/08	2015/03/09	18.00
15761	J P Morgan SP BV - CNOOC European Put Warrants Aug 2015 A	200,000,000	2014/09/08	2015/08/10	30.00
15762	J P Morgan SP BV - Ch Oilfield Euro Wts Jul 2015 A	80,000,000	2014/09/08	2015/07/02	20.00
15708	J P Morgan SP BV - China Tel European Warrants Mar 2015 A	80,000,000	2014/09/08	2015/03/09	20.00
15704	J P Morgan SP BV - China Unicom European Warrants Dec 2016 C	300,000,000	2014/09/08	2016/12/30	75.00
15703	J P Morgan SP BV - Ping An European Warrants Dec 2014 B	150,000,000	2014/09/08	2014/12/24	22.50
15734	Macquarie Bank Ltd. - Anta Sports European Wts Jun 2015 A	50,000,000	2014/09/08	2015/06/29	12.50
15733	Macquarie Bank Ltd. - Chi Res Power European Wts May 2015 A	50,000,000	2014/09/08	2015/05/29	12.50
15736	Macquarie Bank Ltd. - CSR Times European Warrants Mar 2015 A	50,000,000	2014/09/08	2015/03/16	12.50
15756	Standard Chartered Bank - China Unicom Euro Wts Dec 2016 A	100,000,000	2014/09/08	2016/12/21	21.00
15755	Standard Chartered Bank - KECL European Warrants Dec 2015 A	80,000,000	2014/09/08	2015/12/28	12.00
15722	Standard Chartered Bank - SMIC European Warrants Jan 2016 A	50,000,000	2014/09/08	2016/01/18	10.00
15748	UBS AG - China Unicom European Warrants Mar 2015 A	25,000,000	2014/09/08	2015/03/09	10.00
15749	UBS AG - ICBC European Warrants Feb 2015 A	70,000,000	2014/09/08	2015/02/23	10.50
15751	UBS AG - Ping An European Warrants Jan 2015 B	70,000,000	2014/09/08	2015/01/05	10.50
15753	UBS AG - Sands China European Warrants Mar 2015 B	60,000,000	2014/09/08	2015/03/10	18.60
15826	Credit Suisse AG - China Cinda European Warrants Mar 2015 A	60,000,000	2014/09/10	2015/03/09	15.00
15813	Credit Suisse AG - China Mobile European Warrants Feb 2015 I	80,000,000	2014/09/10	2015/02/27	12.00
15822	Credit Suisse AG - China Tel European Warrants Mar 2015 B	60,000,000	2014/09/10	2015/03/09	15.00
15821	Credit Suisse AG - China Tel European Put Warrants Mar 2015A	60,000,000	2014/09/10	2015/03/09	15.00
15814	Credit Suisse AG - Hutchison European Warrants Jan 2015 A	80,000,000	2014/09/10	2015/01/30	21.60
15815	Credit Suisse AG - Lenovo European Put Warrants Jul 2015 A	70,000,000	2014/09/10	2015/07/31	10.50
15843	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Feb 2015 D	80,000,000	2014/09/10	2015/02/18	18.40
15841	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Jan 2015 A	80,000,000	2014/09/10	2015/01/23	18.40
15836	Daiwa Capital Mkt - AIA European Warrants Apr 2015 B	98,000,000	2014/09/10	2015/04/13	18.62
15830	Daiwa Capital Mkt - China Telecom European Wts Mar 2015 A	128,000,000	2014/09/10	2015/03/10	32.00
15837	Daiwa Capital Mkt - HSBC European Put Warrants Apr 2015 A	80,000,000	2014/09/10	2015/04/15	20.00
15839	Daiwa Capital Mkt - Hutchison European Warrants Mar 2015 A	98,000,000	2014/09/10	2015/03/09	24.50
15768	Goldman Sachs SP (Asia) - HSCEI European Put Wts Feb 2015 B	150,000,000	2014/09/10	2015/02/26	26.10
15769	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2015 A	150,000,000	2014/09/10	2015/02/26	27.00
15770	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2015 C	150,000,000	2014/09/10	2015/03/30	37.50
15784	HK Bank - Galaxy Ent European Warrants Jan 2015 A	80,000,000	2014/09/10	2015/01/26	17.60
15776	HK Bank - Kingsoft European Warrants Feb 2015 A	60,000,000	2014/09/10	2015/02/23	10.80
15783	HK Bank - Sands China European Warrants Mar 2015 B	80,000,000	2014/09/10	2015/03/31	20.00
15789	Macquarie Bank Ltd. - PetroChina European Wts Mar 2015 A	70,000,000	2014/09/10	2015/03/03	10.50
15801	Standard Chartered Bank - CITIC European Warrants Jul 2015 A	80,000,000	2014/09/10	2015/07/03	20.00

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		發行單位	上市日期	到期日	
15800	Standard Chartered Bank - Evergrande European Wts Feb 2015 A	60,000,000	2014/09/10	2015/02/24	10.20
15792	Standard Chartered Bank - HKEx European Warrants Feb 2015 B	50,000,000	2014/09/10	2015/02/02	15.00
15840	Standard Chartered Bank - HSI European Warrants Jun 2015 A	100,000,000	2014/09/10	2015/06/29	15.00
15793	Standard Chartered Bank - Sands China Euro Wts Mar 2015 B	80,000,000	2014/09/10	2015/03/09	22.40
15799	Standard Chartered Bank - Sands China Eu Put Wts Feb 2015 B	80,000,000	2014/09/10	2015/02/18	15.20
15802	Standard Chartered Bank - Want Want Ch European Wts Jun16A	80,000,000	2014/09/10	2016/06/27	12.00
15803	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Apr 2015 A	80,000,000	2014/09/10	2015/04/08	20.00
15786	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 15 D	70,000,000	2014/09/10	2015/03/04	10.50
15807	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Mar15E	70,000,000	2014/09/10	2015/03/04	25.55
15809	SGA Societe Generale Acceptance N.V. - CTel Eu Wt May 2015 A	40,000,000	2014/09/10	2015/05/06	10.00
15812	SGA Societe Generale Acceptance N.V - Sands China Eu Wt Apr15B	80,000,000	2014/09/10	2015/04/08	24.40
15791	UBS AG - GCL-Poly Energy European Warrants Jan 2015 A	60,000,000	2014/09/10	2015/01/27	10.20
15978	BOCI Asia Ltd. - China Mobile European Warrants Mar 2015 B	100,000,000	2014/09/11	2015/03/09	36.00
15977	BOCI Asia Ltd. - China Mobile European Warrants Dec 2016 A	100,000,000	2014/09/11	2016/12/28	25.00
15980	BOCI Asia Ltd. - Galaxy Ent European Warrants Mar 2015 A	100,000,000	2014/09/11	2015/03/16	44.00
15975	BOCI Asia Ltd. - HSI European Warrants Mar 2015 B	100,000,000	2014/09/11	2015/03/30	20.00
15976	BOCI Asia Ltd. - Sands China European Warrants Mar 2015 A	100,000,000	2014/09/11	2015/03/23	32.00
15984	BNP Paribas Arbit Issu B.V. - AIA European Wts Sep 2015 A	100,000,000	2014/09/11	2015/09/02	25.00
15981	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Sep 2015 A	100,000,000	2014/09/11	2015/09/02	25.00
15982	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Nov 2016 A	100,000,000	2014/09/11	2016/11/02	26.00
15983	BNP Paribas Arbit Issu B.V. - HLand Dev Euro Wts Jun 2015 A	51,000,000	2014/09/11	2015/06/02	13.26
15900	Credit Suisse AG - China Mobile European Put Wts Mar 2015 A	60,000,000	2014/09/11	2015/03/10	15.00
15902	Credit Suisse AG - HSI European Put Warrants Feb 2015 D	150,000,000	2014/09/11	2015/02/26	22.50
15898	Credit Suisse AG - Tencent Holdings European Wts Dec 2015 A	100,000,000	2014/09/11	2015/12/14	17.00
15970	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Mar 2015 B	80,000,000	2014/09/11	2015/03/10	22.40
15971	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Mar 2015 C	80,000,000	2014/09/11	2015/03/10	20.00
15847	Daiwa Capital Mkt - Minsheng Bk European Warrants Mar 2015 A	68,000,000	2014/09/11	2015/03/02	10.88
15846	Daiwa Capital Mkt - China Unicom European Warrants Mar 2015A	58,000,000	2014/09/11	2015/03/10	35.96
15845	Daiwa Capital Mkt - China Unicom European Warrants Jun 2015A	98,000,000	2014/09/11	2015/06/08	24.50
15909	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2015 C	80,000,000	2014/09/11	2015/02/25	16.24
15919	Goldman Sachs SP (Asia) - CNOOC European Warrants Mar 2015 A	80,000,000	2014/09/11	2015/03/02	34.72
15918	Goldman Sachs SP (Asia) - Huadian Power European Wts Mar15 A	50,000,000	2014/09/11	2015/03/31	24.75
15912	Goldman Sachs SP (Asia) - HKEx European Warrants Dec 2015 B	80,000,000	2014/09/11	2015/12/24	12.80
15910	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2015 A	80,000,000	2014/09/11	2015/12/30	20.40
15854	HK Bank - China Mobile European Warrants Feb 2015 E	80,000,000	2014/09/11	2015/02/23	14.40
15855	HK Bank - China Mobile European Put Warrants Feb 2015 F	80,000,000	2014/09/11	2015/02/17	17.60
15863	HK Bank - China Mobile European Put Warrants Jan 2017 A	150,000,000	2014/09/11	2017/01/09	25.50
15850	HK Bank - MGM China European Warrants Jun 2015 A	80,000,000	2014/09/11	2015/06/30	20.00
15864	HK Bank - SJM Holdings European Warrants Jun 2015 A	80,000,000	2014/09/11	2015/06/30	20.00
15934	J P Morgan SP BV - AIA European Warrants Jun 2015 A	100,000,000	2014/09/11	2015/06/29	15.30
15921	J P Morgan SP BV - Cheung Kong European Warrants Apr 2015 A	60,000,000	2014/09/11	2015/04/01	21.78
15872	J P Morgan SP BV - China Mob European Wts Feb 2015 E	200,000,000	2014/09/11	2015/02/23	30.20
15941	J P Morgan SP BV - China Mob European Put Wts Apr 2015 B	200,000,000	2014/09/11	2015/04/02	77.00
15939	J P Morgan SP BV - China Mob European Put Wts Jan 2017 A	500,000,000	2014/09/11	2017/01/09	77.00

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		發行單位	上市日期	到期日	
15935	J P Morgan SP BV - PICC European Warrants Jan 2016 B	150,000,000	2014/09/11	2016/01/04	23.40
15944	J P Morgan SP BV - Sands China European Warrants Apr 2015 A	150,000,000	2014/09/11	2015/04/02	38.40
15874	Macquarie Bank Ltd. - China Unicom European Wts Apr 2016 A	50,000,000	2014/09/11	2016/04/05	12.50
15908	Standard Chartered Bank - CITIC Bank European Wts Feb 2015 A	70,000,000	2014/09/11	2015/02/24	10.50
15868	Standard Chartered Bank - CITIC European Warrants Dec 2015 B	80,000,000	2014/09/11	2015/12/28	14.40
15972	Standard Chartered Bank - China Mobile Euro Wts Mar 2015 C	80,000,000	2014/09/11	2015/03/10	20.00
15973	Standard Chartered Bank - China Mobile Eur Put Wt Mar 2015 D	80,000,000	2014/09/11	2015/03/10	28.00
15865	Standard Chartered Bank - China Unicom Euro Wts Apr 2015 A	60,000,000	2014/09/11	2015/04/10	18.00
15907	Standard Chartered Bank - China Unicom Euro Put Wts Feb 16 A	100,000,000	2014/09/11	2016/02/02	25.00
15867	Standard Chartered Bank - Shunfeng Pv European Wts Feb 2015B	60,000,000	2014/09/11	2015/02/18	10.80
15876	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Jun15B	80,000,000	2014/09/11	2015/06/03	13.60
15875	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Apr 15 A	70,000,000	2014/09/11	2015/04/08	17.85
15899	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Mar 2015 C	100,000,000	2014/09/11	2015/03/04	17.00
15901	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Mar 2015 A	50,000,000	2014/09/11	2015/03/04	10.75
15906	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar 15 B	100,000,000	2014/09/11	2015/03/04	15.00
15969	UBS AG - Cheung Kong European Warrants Mar 2015 A	70,000,000	2014/09/11	2015/03/26	10.50
15963	UBS AG - China Mobile European Warrants Mar 2015 D	100,000,000	2014/09/11	2015/03/10	25.00
15957	UBS AG - China Mobile European Warrants Mar 2017 A	500,000,000	2014/09/11	2017/03/13	125.00
15948	UBS AG - China Mobile European Put Warrants Mar 2015 C	100,000,000	2014/09/11	2015/03/10	25.00
15871	UBS AG - Galaxy Ent European Warrants Feb 2015 B	40,000,000	2014/09/11	2015/02/25	13.20
15848	UBS AG - HSCEI European Put Warrants Feb 2015 B	100,000,000	2014/09/11	2015/02/26	15.00
16031	Credit Suisse AG - AIA European Put Warrants Mar 2015 B	60,000,000	2014/09/12	2015/03/30	10.80
16033	Credit Suisse AG - China Life European Put Warrants Feb 15 A	70,000,000	2014/09/12	2015/02/27	10.50
16034	Credit Suisse AG - China Mobile European Warrants Mar 2015 B	80,000,000	2014/09/12	2015/03/11	20.00
16037	Credit Suisse AG - China Mobile European Put Wts Jan 2017 A	60,000,000	2014/09/12	2017/01/09	10.20
16032	Credit Suisse AG - Ping An European Put Warrants Jan 2015 B	60,000,000	2014/09/12	2015/01/30	10.80
16014	Daiwa Capital Mkt - China Life European Warrants Jun 2015 A	158,000,000	2014/09/12	2015/06/12	39.50
16017	Daiwa Capital Mkt - China Mobile European Put Wts Mar 2015 B	80,000,000	2014/09/12	2015/03/11	32.00
16021	Daiwa Capital Mkt - Tencent Holdings European Wts Feb 2015 B	128,000,000	2014/09/12	2015/02/11	44.80
16024	Daiwa Capital Mkt - Tencent Holdings European Wts Apr 2015 B	128,000,000	2014/09/12	2015/04/23	33.28
16012	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Mar 2015 A	98,000,000	2014/09/12	2015/03/11	24.50
16010	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Sep 2015 A	80,000,000	2014/09/12	2015/09/30	20.48
16038	Goldman Sachs SP (Asia) - China Mobile Eu Put Wts Mar 2015 B	80,000,000	2014/09/12	2015/03/27	31.12
16008	Goldman Sachs SP (Asia) - CMS European Warrants Dec 2015 A	80,000,000	2014/09/12	2015/12/30	20.00
16009	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Oct 2015 A	80,000,000	2014/09/12	2015/10/30	20.00
16007	Goldman Sachs SP (Asia) - Link REIT European Wts Aug 2015 A	80,000,000	2014/09/12	2015/08/28	20.56
16042	Goldman Sachs SP (Asia) - PICC European Warrants Jan 2016 A	80,000,000	2014/09/12	2016/01/05	16.56
16043	Goldman Sachs SP (Asia) - PICC Group European Wts Feb 2015 A	80,000,000	2014/09/12	2015/02/23	16.08
16025	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2015 A	80,000,000	2014/09/12	2015/02/02	22.80
15990	HK Bank - China Life European Warrants Dec 2015 A	100,000,000	2014/09/12	2015/12/28	17.00
15988	HK Bank - Minsheng Bank European Warrants Jan 2015 B	60,000,000	2014/09/12	2015/01/27	15.00
15989	HK Bank - China Tel European Warrants Mar 2015 A	60,000,000	2014/09/12	2015/03/11	15.00
15986	HK Bank - Lenovo European Warrants Mar 2015 A	60,000,000	2014/09/12	2015/03/31	30.00
15996	Standard Chartered Bank - CSOP A50 ETF Euro Wts Apr 2015 A	80,000,000	2014/09/12	2015/04/29	20.00

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15993	Standard Chartered Bank - A50 China Euro Warrants Feb 2015 C	80,000,000	2014/09/12	2015/02/26	12.00
15994	Standard Chartered Bank - A50 China Euro Warrants Mar 2015 A	80,000,000	2014/09/12	2015/03/31	21.60
15992	Standard Chartered Bank - HuanengPower Euro Wts Mar 2015 A	50,000,000	2014/09/12	2015/03/31	12.50
15997	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Mar 2015A	40,000,000	2014/09/12	2015/03/04	15.80
15998	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Apr 2015 A	40,000,000	2014/09/12	2015/04/08	18.00
15999	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Sep15B	300,000,000	2014/09/12	2015/09/02	75.00
16006	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Dec 15 A	500,000,000	2014/09/12	2015/12/28	80.00
16029	UBS AG - China Tel European Warrants May 2015 A	40,000,000	2014/09/12	2015/05/11	10.00
16046	UBS AG - HSI European Warrants Sep 2015 A	300,000,000	2014/09/12	2015/09/29	75.00
16030	UBS AG - HSI European Warrants Dec 2015 A	300,000,000	2014/09/12	2015/12/30	45.00
16044	UBS AG - PetroChina European Warrants Apr 2015 A	50,000,000	2014/09/12	2015/04/30	11.50
16026	UBS AG - Wynn Macau European Warrants Jun 2015 A	40,000,000	2014/09/12	2015/06/22	10.00
16028	UBS AG - ZTE European Warrants Jul 2015 A	40,000,000	2014/09/12	2015/07/20	10.00
16078	Credit Suisse AG - HSI European Warrants Mar 2015 C	150,000,000	2014/09/15	2015/03/30	22.50
16073	Daiwa Capital Mkt - CCB European Warrants Feb 2015 B	128,000,000	2014/09/15	2015/02/16	19.20
16074	Daiwa Capital Mkt - CCB European Put Warrants Apr 2015 A	80,000,000	2014/09/15	2015/04/15	20.00
16051	Daiwa Capital Mkt - China Life European Warrants May 2015 A	168,000,000	2014/09/15	2015/05/27	25.20
16075	Daiwa Capital Mkt - Ping An European Warrants Feb 2015 B	108,000,000	2014/09/15	2015/02/24	16.20
16085	Goldman Sachs SP (Asia) - China Gas Hold Euro Wts May15A	65,000,000	2014/09/15	2015/05/27	10.47
16072	HK Bank - CC Bank European Warrants Feb 2015 A	80,000,000	2014/09/15	2015/02/23	12.00
16070	HK Bank - CC Bank European Warrants Jul 2015 A	80,000,000	2014/09/15	2015/07/31	24.00
16064	HK Bank - China Life European Warrants Jun 2015 B	80,000,000	2014/09/15	2015/06/10	12.00
16071	HK Bank - China Life European Warrants Jul 2015 A	80,000,000	2014/09/15	2015/07/31	20.00
16069	HK Bank - PICC Group European Warrants Feb 2015 A	80,000,000	2014/09/15	2015/02/17	13.60
16052	J P Morgan SP BV - China Life European Warrants Jun 2015 B	100,000,000	2014/09/15	2015/06/01	15.10
16101	J P Morgan SP BV - HSBC European Warrants Feb 2015 A	200,000,000	2014/09/15	2015/02/26	30.40
16103	J P Morgan SP BV - Kingsoft European Warrants Feb 2015 A	150,000,000	2014/09/15	2015/02/26	23.40
16084	Macquarie Bank Ltd. - Sands China European Wts Mar 2015 A	70,000,000	2014/09/15	2015/03/03	10.50
16076	Standard Chartered Bank - AIA European Warrants Jun 2015 A	80,000,000	2014/09/15	2015/06/22	14.40
16088	Standard Chartered Bank - CSR European Warrants Mar 2015 A	40,000,000	2014/09/15	2015/03/20	10.00
16077	Standard Chartered Bank - PetroChina European Wts May 2015 B	60,000,000	2014/09/15	2015/05/05	15.00
16079	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jul 2015 A	100,000,000	2014/09/15	2015/07/06	15.50
16087	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Feb 2016 A	80,000,000	2014/09/15	2016/02/03	20.00
16080	SGA Societe Generale Acceptance N.V. - Petch Eu Wt May 2015A	60,000,000	2014/09/15	2015/05/06	12.00
16082	UBS AG - Cheung Kong European Warrants Dec 2016 A	300,000,000	2014/09/15	2016/12/28	75.00
16083	UBS AG - CSOP A50 ETF European Warrants Mar 2015 B	80,000,000	2014/09/15	2015/03/02	26.40
16081	UBS AG - Kingsoft European Warrants Mar 2015 A	100,000,000	2014/09/15	2015/03/16	25.00
29938 [#]	Citigroup Global Mkt H Inc. - HKEx European Wts Sep 2015 C	120,000,000	2014/09/15	2015/09/07	26.64
16133	Credit Suisse AG - CC Bank European Put Warrants Mar 2015 A	60,000,000	2014/09/16	2015/03/16	15.00
16132	Credit Suisse - SMIC European Warrants Dec 2015 A	60,000,000	2014/09/16	2015/12/21	12.00
16108	Daiwa Capital Mkt - China Cinda European Warrants Jan 2015 A	68,000,000	2014/09/16	2015/01/05	14.96
16107	Daiwa Capital Mkt - Kingsoft European Warrants Feb 2015 A	80,000,000	2014/09/16	2015/02/17	12.80
16123	Goldman Sachs SP (Asia) - AIA European Warrants Apr 2015 B	80,000,000	2014/09/16	2015/04/13	12.08
16125	Goldman Sachs SP (Asia) - China Life Euro Wts May 2015 B	80,000,000	2014/09/16	2015/05/27	12.16

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16126	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2015 A	80,000,000	2014/09/16	2015/03/30	27.84
16128	Goldman Sachs SP (Asia) - Ping An European Wts Apr 2015 A	80,000,000	2014/09/16	2015/04/02	12.32
16114	HK Bank - CGS European Warrants Dec 2015 A	80,000,000	2014/09/16	2015/12/09	12.00
16116	HK Bank - PICC European Warrants Dec 2015 B	80,000,000	2014/09/16	2015/12/28	14.40
16109	HK Bank - Ping An European Warrants Feb 2015 A	80,000,000	2014/09/16	2015/02/24	12.00
16118	HK Bank - Ping An European Warrants Mar 2015 A	80,000,000	2014/09/16	2015/03/31	24.00
16137	J P Morgan SP BV - Avichina European Warrants Jan 2015 A	35,000,000	2014/09/16	2015/01/30	12.50
16134	J P Morgan SP BV - CMS European Warrants Nov 2015 A	40,000,000	2014/09/16	2015/11/03	10.20
16136	J P Morgan Int'l Der. Ltd. - Ch Res Ent Euro Wts Mar 2015 A	70,000,000	2014/09/16	2015/03/03	10.57
16135	J P Morgan Int'l Der. Ltd. - SMIC European Wts Dec 2015 A	100,000,000	2014/09/16	2015/12/21	15.30
16139	J P Morgan SP BV - ZTE European Warrants Jun 2015 A	40,000,000	2014/09/16	2015/06/30	10.04
16122	Macquarie Bank Ltd. - CC Bank European Warrants Feb 2015 A	70,000,000	2014/09/16	2015/02/03	10.50
16121	Macquarie Bank Ltd. - ICBC European Warrants Feb 2015 A	70,000,000	2014/09/16	2015/02/03	10.50
16130	Macquarie Bank Ltd. - PWR Assets European Wts Jul 2015 A	40,000,000	2014/09/16	2015/07/03	10.00
16129	Standard Chartered Bank - HSBC European Warrants Feb 2015 A	100,000,000	2014/09/16	2015/02/18	15.00
16119	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul 2015 A	100,000,000	2014/09/16	2015/07/06	20.50
16120	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Apr15A	80,000,000	2014/09/16	2015/04/08	42.40
16196	BOCI Asia Ltd. - SMIC European Warrants Mar 2016 A	50,000,000	2014/09/17	2016/03/21	12.50
16175	Credit Suisse AG - Kingsoft European Warrants Mar 2015 C	60,000,000	2014/09/17	2015/03/16	15.00
16198	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Dec 2014 B	80,000,000	2014/09/17	2014/12/24	20.00
16190	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Feb 2015 A	80,000,000	2014/09/17	2015/02/02	21.68
16192	Goldman Sachs SP (Asia) - Cathay Pacific Euro Wts Sep 2015 A	80,000,000	2014/09/17	2015/09/25	12.00
16191	Goldman Sachs SP (Asia) - CSR European Warrants Sep 2016 A	80,000,000	2014/09/17	2016/09/27	12.80
16194	Goldman Sachs Sp (Asia) - ICBC European Warrants Mar 2015 A	80,000,000	2014/09/17	2015/03/30	20.00
16193	Goldman Sachs SP (Asia) - Li & Fung European Wts Mar 2015 A	80,000,000	2014/09/17	2015/03/30	36.72
16188	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2015 A	150,000,000	2014/09/17	2015/04/01	37.80
16187	J P Morgan SP BV - Melco Int'l Dev European Wts Aug 2015 A	80,000,000	2014/09/17	2015/08/04	20.08
16189	J P Morgan SP BV - MGM China European Warrants Jun 2015 A	80,000,000	2014/09/17	2015/06/02	20.24
16165	J P Morgan SP BV - Sands China European Warrants Feb 2015 B	150,000,000	2014/09/17	2015/02/26	22.50
16168	Macquarie Bank Ltd. - CHALCO European Warrants Mar 2015 A	50,000,000	2014/09/17	2015/03/03	10.65
16169	Macquarie Bank Ltd. - Anton Oilfield European Wts Mar 2015 A	25,000,000	2014/09/17	2015/03/17	10.00
16170	Macquarie Bank Ltd. - Kingsoft European Warrants Mar 2015 B	50,000,000	2014/09/17	2015/03/03	10.00
16159	Nomura Int'l plc - AviChina European Warrants Jan 2015 A	80,000,000	2014/09/17	2015/01/27	27.20
16140	Nomura Int'l plc - China Mer Hold European Wts Jun 2015 A	50,000,000	2014/09/17	2015/06/30	12.75
16156	Nomura Int'l plc - CMS European Warrants Oct 2015 A	50,000,000	2014/09/17	2015/10/28	12.50
16158	Nomura Int'l plc - COSCO Pacific European Warrants Mar 2015A	15,000,000	2014/09/17	2015/03/30	10.65
16142	Nomura Int'l plc - Chi Resources European Warrants Mar 2015A	80,000,000	2014/09/17	2015/03/02	12.00
16161	Nomura Int'l plc - Ch Ship Cont European Warrants Mar 2015 A	50,000,000	2014/09/17	2015/03/30	12.50
16147	Nomura Int'l plc - China Unicom European Warrants Mar 2015 A	50,000,000	2014/09/17	2015/03/30	36.25
16148	Nomura Int'l plc - China Unicom European Put Wts Sep 2016 A	80,000,000	2014/09/17	2016/09/29	20.00
16143	Nomura Int'l plc - Hanergy Solar European Warrants Jun 2015A	80,000,000	2014/09/17	2015/06/30	20.00
16157	Nomura Int'l plc - SMIC European Warrants Dec 2015 B	80,000,000	2014/09/17	2015/12/18	12.00
16144	Nomura Int'l plc - Sinotrans European Warrants Mar 2015 A	20,000,000	2014/09/17	2015/03/30	15.00
16141	Nomura Int'l plc - Shenzhen Int'l European Wts Dec 2015 A	50,000,000	2014/09/17	2015/12/28	13.10

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		發行單位	上市日期	到期日	
16150	Nomura Int'l plc - ZTE European Warrants Jun 2015 A	50,000,000	2014/09/17	2015/06/29	12.50
16185	Standard Chartered Bank - HSCEI European Warrants Nov 2015 A	100,000,000	2014/09/17	2015/11/27	19.00
16176	Standard Chartered Bank - Ping An European Wts Jan 2015 A	80,000,000	2014/09/17	2015/01/23	12.00
16172	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt Apr 2015 A	30,000,000	2014/09/17	2015/04/08	10.05
16171	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt Apr 2015A	40,000,000	2014/09/17	2015/04/08	16.40
16173	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 15 F	70,000,000	2014/09/17	2015/03/02	26.95
16162	SGA Societe Generale Acceptance N.V. - CTel Eu Wt Jun 2015 A	40,000,000	2014/09/17	2015/06/03	10.00
16163	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Dec15A	60,000,000	2014/09/17	2015/12/02	15.00
16174	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 15 C	150,000,000	2014/09/17	2015/02/04	87.00
16182	UBS AG - CC Bank European Warrants Mar 2015 B	100,000,000	2014/09/17	2015/03/16	40.00
16183	UBS AG - Sihuan Pharm European Warrants Mar 2015 A	100,000,000	2014/09/17	2015/03/26	18.00
16181	UBS AG - Tencent Holdings European Warrants Dec 2014 C	100,000,000	2014/09/17	2014/12/23	23.00
16184	UBS AG - Want Want Ch European Warrants Jun 2016 A	100,000,000	2014/09/17	2016/06/23	15.00
16219	Credit Suisse AG - CC Bank European Warrants Jan 2015 C	70,000,000	2014/09/18	2015/01/30	10.50
16220	Credit Suisse AG - CC Bank European Warrants Mar 2015 B	70,000,000	2014/09/18	2015/03/30	11.90
16221	Credit Suisse AG - ICBC European Warrants Feb 2015 B	70,000,000	2014/09/18	2015/02/27	10.50
16222	Credit Suisse AG - Lenovo European Warrants Mar 2016 A	70,000,000	2014/09/18	2016/03/29	11.90
16225	Credit Suisse AG - Tencent Holdings European Wts Dec 2014 J	100,000,000	2014/09/18	2014/12/29	35.00
16224	Credit Suisse AG - Tencent Holdings European Wts Dec 2015 B	150,000,000	2014/09/18	2015/12/28	37.50
16223	Credit Suisse AG - ZTE European Warrants Jun 2015 A	60,000,000	2014/09/18	2015/06/29	15.00
16214	Goldman Sachs SP (Asia) - Sands China Euro Wts Mar 2015 A	80,000,000	2014/09/18	2015/03/30	26.24
16206	Goldman Sachs SP (Asia) - Tencent European Wt Mar 2015 A	80,000,000	2014/09/18	2015/03/02	39.12
16230	Macquarie Bank Ltd. - Anhui Conch European Wts Apr 2015 B	40,000,000	2014/09/18	2015/04/02	10.00
16227	Macquarie Bank Ltd. - Avichina European Warrants Apr 2015 A	40,000,000	2014/09/18	2015/04/02	10.00
16228	Macquarie Bank Ltd. - China Mobile European Wts Mar 2015 B	40,000,000	2014/09/18	2015/03/03	10.00
16229	Macquarie Bank Ltd. - Galaxy Ent European Wts Apr 2015 A	40,000,000	2014/09/18	2015/04/02	10.00
16202	Standard Chartered Bank - China Life Euro Put Wts Feb 2015 A	100,000,000	2014/09/18	2015/02/24	15.00
16201	Standard Chartered Bank - HSCEI European Warrants Mar 2015 B	100,000,000	2014/09/18	2015/03/30	25.00
16199	Standard Chartered Bank - PICC Group European Wts Feb 2015 B	60,000,000	2014/09/18	2015/02/17	10.80
16200	Standard Chartered Bank - Wynn Macau European Wts Jun 2015 A	50,000,000	2014/09/18	2015/06/29	12.50
16226	UBS AG - Galaxy Ent European Warrants Mar 2015 A	60,000,000	2014/09/18	2015/03/17	27.60
16203	UBS AG - HSCEI European Warrants Feb 2015 C	100,000,000	2014/09/18	2015/02/26	15.00
16262	Credit Suisse AG - A50 China European Warrants Mar 2015 A	80,000,000	2014/09/19	2015/03/23	25.60
16254	Goldman Sachs SP (Asia) - Avichina European Warrants Mar15 A	80,000,000	2014/09/19	2015/03/30	29.04
16260	Goldman Sachs SP (Asia) - China Cinda Euro Wts Feb 2015 B	80,000,000	2014/09/19	2015/02/27	20.08
16256	Goldman Sachs SP (Asia) - CITIC European Warrants Oct 2015 A	80,000,000	2014/09/19	2015/10/30	20.08
16255	Goldman Sachs SP (Asia) - MGM China European Warrants Apr15A	80,000,000	2014/09/19	2015/04/30	20.08
16261	Goldman Sachs SP (Asia) - NCI European Warrants Mar 2015 A	80,000,000	2014/09/19	2015/03/30	21.12
16233	HK Bank - Galaxy Ent European Warrants Mar 2015 A	80,000,000	2014/09/19	2015/03/31	28.00
16234	HK Bank - Sands China European Warrants Mar 2015 C	80,000,000	2014/09/19	2015/03/31	22.40
16232	HK Bank - Tencent European Warrants Dec 2014 C	80,000,000	2014/09/19	2014/12/31	14.40
16231	HK Bank - Tencent European Warrants Jan 2015 C	80,000,000	2014/09/19	2015/01/28	46.40
16235	HK Bank - Tencent European Warrants Feb 2015 B	80,000,000	2014/09/19	2015/02/23	36.00
16236	Nomura Int'l plc - Baiyunshan Ph European Warrants Mar 2015A	40,000,000	2014/09/19	2015/03/20	14.80

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16237	Nomura Int'l plc - Sinopharm European Warrants Mar 2015 B	50,000,000	2014/09/19	2015/03/30	12.80
16238	Nomura Int'l plc - Sihuan Pharm European Warrants Mar 2015 B	80,000,000	2014/09/19	2015/03/26	15.84
16240	Standard Chartered Bank - CNBM European Warrants Mar 2015 A	40,000,000	2014/09/19	2015/03/30	16.00
16239	Standard Chartered Bank - GCL-Poly Energy Eur Wts Jun 2015 A	50,000,000	2014/09/19	2015/06/15	12.50
16242	Standard Chartered Bank - Galaxy Ent European Wts Apr 2015 A	60,000,000	2014/09/19	2015/04/08	21.90
16243	Standard Chartered Bank - HKEx European Warrants Sep 2015 A	150,000,000	2014/09/19	2015/09/22	22.50
16246	Standard Chartered Bank - HKEx European Put Wts Mar 2015 A	120,000,000	2014/09/19	2015/03/09	18.00
16247	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Apr 2015B	80,000,000	2014/09/19	2015/04/08	38.00
16248	UBS AG - CNOOC European Warrants Oct 2016 A	80,000,000	2014/09/19	2016/10/24	20.00
16253	UBS AG - Sinopec Corp European Warrants Jan 2015 A	70,000,000	2014/09/19	2015/01/26	14.70
16252	UBS AG - Lenovo European Warrants Mar 2016 A	100,000,000	2014/09/19	2016/03/29	19.00
16269	Credit Suisse AG - Galaxy Ent European Warrants Mar 2015 A	80,000,000	2014/09/22	2015/03/23	26.40
16287	Goldman Sachs SP (Asia) - Chi Resources Euro Wt Jul 2015 A	80,000,000	2014/09/22	2015/07/30	20.00
16288	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Mar 2015 A	80,000,000	2014/09/22	2015/03/31	23.60
16289	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2016 A	120,000,000	2014/09/22	2016/12/28	30.60
16266	HK Bank - Agricultural Bank European Warrants Jul 2015 B	80,000,000	2014/09/22	2015/07/31	20.00
16265	HK Bank - Agricultural Bank European Warrants Aug 2015 A	80,000,000	2014/09/22	2015/08/24	15.20
16264	HK Bank - China Mobile European Warrants Feb 2015 G	80,000,000	2014/09/22	2015/02/12	24.00
16283	J P Morgan SP BV - AAC Acoustic European Warrants Apr 2015 A	60,000,000	2014/09/22	2015/04/02	21.60
16285	J P Morgan SP BV - Geely Auto European Warrants Apr 2015 A	100,000,000	2014/09/22	2015/04/02	25.60
16286	J P Morgan SP BV - Hutchison European Warrants Apr 2015 A	80,000,000	2014/09/22	2015/04/02	20.16
16284	J P Morgan SP BV - Sands China European Warrants Dec 2018 A	300,000,000	2014/09/22	2018/12/31	45.30
16281	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Apr15 A	40,000,000	2014/09/22	2015/04/08	12.20
16263	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt May 2015A	300,000,000	2014/09/22	2015/05/27	45.00
16282	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Apr 15 B	100,000,000	2014/09/22	2015/04/08	30.50
16267	UBS AG - CNOOC European Warrants May 2015 A	80,000,000	2014/09/22	2015/05/15	12.00
16268	UBS AG - Sinopec Corp European Warrants Jan 2015 B	70,000,000	2014/09/22	2015/01/05	15.40
15753 [#]	UBS AG - Sands China European Warrants Mar 2015 B	106,000,000	2014/09/22	2015/03/10	10.07
16317	Credit Suisse AG - China Mobile European Warrants Feb 2015 J	80,000,000	2014/09/23	2015/02/16	24.00
16318	Credit Suisse AG - Sinopec Corp European Warrants Mar 2015 C	80,000,000	2014/09/23	2015/03/23	28.80
16319	Credit Suisse AG - Sands China European Warrants Mar 2015 B	80,000,000	2014/09/23	2015/03/23	25.60
16300	Daiwa Capital Mkt - Sinopec Corp European Wts Feb 2015 A	80,000,000	2014/09/23	2015/02/02	12.80
16291	Daiwa Capital Mkt - Galaxy Ent European Warrants Mar 2015 B	98,000,000	2014/09/23	2015/03/23	29.40
16296	Daiwa Capital Mkt - Galaxy Ent European Warrants Mar 2015 C	98,000,000	2014/09/23	2015/03/11	14.70
16290	Daiwa Capital Mkt - HSBC European Warrants Apr 2015 B	108,000,000	2014/09/23	2015/04/02	31.32
16299	Daiwa Capital Mkt - Sands China European Warrants Mar 2015 A	98,000,000	2014/09/23	2015/03/02	19.60
16308	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Mar 2015 A	80,000,000	2014/09/23	2015/03/02	36.32
16301	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2015 D	150,000,000	2014/09/23	2015/03/30	24.90
16305	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2015 E	150,000,000	2014/09/23	2015/03/30	37.50
16307	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2015 A	80,000,000	2014/09/23	2015/01/28	22.80
16327	J P Morgan SP BV - Minsheng Bank European Wts Apr 2015 A	80,000,000	2014/09/23	2015/04/02	20.48
16328	J P Morgan SP BV - Sinopec Corp European Warrants Apr 2015 A	100,000,000	2014/09/23	2015/04/02	25.90
16329	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2015 B	100,000,000	2014/09/23	2015/04/02	25.30
16330	J P Morgan SP BV - Sands China European Warrants Apr 2015 B	150,000,000	2014/09/23	2015/04/02	37.65

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		發行單位	上市日期	到期日	
16315	Macquarie Bank Ltd. - China Cinda European Wts May 2015 A	50,000,000	2014/09/23	2015/05/05	12.50
16313	Macquarie Bank Ltd. - China Tel European Warrants May 2015 A	35,000,000	2014/09/23	2015/05/05	10.01
16309	Macquarie Bank Ltd. - Galaxy Ent European Wts Apr 2015 B	40,000,000	2014/09/23	2015/04/02	10.00
16310	Macquarie Bank Ltd. - Sands China European Wts Apr 2015 B	40,000,000	2014/09/23	2015/04/02	10.00
16322	Standard Chartered Bank - China Life European Wts Jun 2015 B	100,000,000	2014/09/23	2015/06/19	15.00
16316	Standard Chartered Bank - Sinopec Corp Euro Wts May 2015 B	80,000,000	2014/09/23	2015/05/11	39.20
16324	Standard Chartered Bank - Galaxy Ent European Wts Apr 2015 B	80,000,000	2014/09/23	2015/04/08	25.60
16326	Standard Chartered Bank - Kingsoft European Wts Apr 2015 A	80,000,000	2014/09/23	2015/04/08	20.00
16323	Standard Chartered Bank - Sands China Euro Wts Apr 2015 A	80,000,000	2014/09/23	2015/04/08	20.00
16321	UBS AG - Sands China European Warrants Mar 2015 C	60,000,000	2014/09/23	2015/03/23	15.00
16366	BOCI Asia Ltd. - Cheung Kong European Warrants Dec 2016 A	100,000,000	2014/09/24	2016/12/19	23.00
16358	BOCI Asia Ltd. - CNOOC European Warrants May 2015 A	100,000,000	2014/09/24	2015/05/18	15.00
16365	BOCI Asia Ltd. - Sinopec Corp European Warrants Mar 2015 B	100,000,000	2014/09/24	2015/03/30	32.00
16364	BOCI Asia Ltd. - Sinopec Corp European Warrants Dec 2015 A	100,000,000	2014/09/24	2015/12/28	15.00
16360	BOCI Asia Ltd. - HKEx European Warrants Aug 2015 A	100,000,000	2014/09/24	2015/08/11	18.00
16357	BOCI Asia Ltd. - Kingsoft European Warrants Mar 2015 A	100,000,000	2014/09/24	2015/03/16	23.00
16359	BOCI Asia Ltd. - Tencent Holdings European Wts Mar 2015 A	100,000,000	2014/09/24	2015/03/09	44.00
16371	Credit Suisse AG - HSI European Put Warrants Jan 2015 C	150,000,000	2014/09/24	2015/01/29	22.50
16367	Daiwa Capital Mkt - Ping An European Warrants Mar 2015 A	98,000,000	2014/09/24	2015/03/23	24.50
16388	Goldman Sachs SP (Asia) - CHALCO European Wts Mar 2015 A	80,000,000	2014/09/24	2015/03/30	24.32
16384	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Mar 2015 A	80,000,000	2014/09/24	2015/03/31	31.28
16386	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jun 2015 A	80,000,000	2014/09/24	2015/06/01	12.00
16380	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Mar 2015 B	80,000,000	2014/09/24	2015/03/30	30.88
16387	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Mar 2015 A	80,000,000	2014/09/24	2015/03/30	22.80
16385	Goldman Sachs SP (Asia) - Baiyunshan Ph European Wts Mar15 A	40,000,000	2014/09/24	2015/03/31	19.36
16382	Goldman Sachs SP (Asia) - Sands China Euro Wts Mar 2015 B	80,000,000	2014/09/24	2015/03/30	24.32
16333	HK Bank - China Mobile European Warrants Dec 2016 A	150,000,000	2014/09/24	2016/12/19	22.50
16334	HK Bank - Sinopec Corp European Warrants Mar 2015 A	80,000,000	2014/09/24	2015/03/31	28.00
16335	HK Bank - Galaxy Ent European Warrants Mar 2015 B	80,000,000	2014/09/24	2015/03/31	24.00
16337	HK Bank - Galaxy Ent European Warrants Mar 2015 C	80,000,000	2014/09/24	2015/03/31	32.00
16336	HK Bank - Sands China European Warrants Mar 2015 D	80,000,000	2014/09/24	2015/03/31	24.00
16391	J P Morgan SP BV - Anhui Conch European Warrants Jul 2015 A	100,000,000	2014/09/24	2015/07/02	25.30
16389	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2015 C	100,000,000	2014/09/24	2015/04/02	25.50
16390	J P Morgan SP BV - Sands China European Put Wts Apr 2015 C	100,000,000	2014/09/24	2015/04/02	25.80
16331	J P Morgan SP BV - SJM Holdings European Warrants Oct 2015 A	100,000,000	2014/09/24	2015/10/30	25.30
16345	J P Morgan SP BV - Wynn Macau European Warrants Apr 2015 A	80,000,000	2014/09/24	2015/04/02	20.08
16376	Macquarie Bank Ltd. - Angang Steel European Wts May 2015 A	40,000,000	2014/09/24	2015/05/04	11.00
16339	Macquarie Bank Ltd. - Hanergy Solar European Warrants Apr15A	30,000,000	2014/09/24	2015/04/16	10.02
16377	Macquarie Bank Ltd. - PICC Group European Wts Aug 2015 A	40,000,000	2014/09/24	2015/08/04	10.00
16338	Macquarie Bank Ltd. - Shunfeng Pv European Warrants May 15 A	40,000,000	2014/09/24	2015/05/18	10.00
16340	Standard Chartered Bank - HSI European Warrants Mar 2015 E	100,000,000	2014/09/24	2015/03/30	25.00
16341	Standard Chartered Bank - HSI European Warrants Mar 2015 F	100,000,000	2014/09/24	2015/03/30	27.00
16343	Standard Chartered Bank - HSI European Put Wts Jan 2015 D	100,000,000	2014/09/24	2015/01/29	16.00
16375	Standard Chartered Bank - Sands China Euro Wts Mar 2015 C	80,000,000	2014/09/24	2015/03/24	22.40

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16373	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Apr15B	70,000,000	2014/09/24	2015/04/08	25.55
16374	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2015B	70,000,000	2014/09/24	2015/04/08	25.20
16353	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Apr15B	80,000,000	2014/09/24	2015/04/08	21.20
16354	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt May15A	80,000,000	2014/09/24	2015/05/06	28.40
16368	UBS AG - Galaxy Ent European Warrants Mar 2015 B	60,000,000	2014/09/24	2015/03/24	24.00
16370	UBS AG - MGM China European Warrants Mar 2015 A	40,000,000	2014/09/24	2015/03/23	10.00
16369	UBS AG - Sands China European Warrants Mar 2015 D	60,000,000	2014/09/24	2015/03/30	21.00
16332	UBS AG - SJM Holdings European Warrants Jun 2015 A	40,000,000	2014/09/24	2015/06/29	10.00
16433	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Mar 2015 B	100,000,000	2014/09/25	2015/03/03	19.00
16434	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Apr 2015 A	100,000,000	2014/09/25	2015/04/02	26.00
16435	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Apr 2015 A	100,000,000	2014/09/25	2015/04/02	25.00
16422	Credit Suisse AG - China Mobile European Warrants Mar 2017 A	80,000,000	2014/09/25	2017/03/06	12.00
16423	Credit Suisse AG - Galaxy Ent European Warrants Mar 2015 B	80,000,000	2014/09/25	2015/03/30	32.00
16421	Credit Suisse AG - HSI European Warrants Feb 2015 E	150,000,000	2014/09/25	2015/02/26	22.50
16420	Credit Suisse AG - HSI European Warrants Apr 2015 A	150,000,000	2014/09/25	2015/04/29	22.50
16432	Credit Suisse AG - Ping An European Warrants Mar 2015 A	80,000,000	2014/09/25	2015/03/30	20.00
16424	Credit Suisse AG - Sands China European Warrants Mar 2015 C	80,000,000	2014/09/25	2015/03/30	20.80
16405	HK Bank - CNOOC European Warrants Jun 2015 A	100,000,000	2014/09/25	2015/06/01	15.00
16409	HK Bank - Esprit European Warrants Dec 2015 A	60,000,000	2014/09/25	2015/12/31	15.00
16404	HK Bank - ICBC European Warrants Mar 2015 A	80,000,000	2014/09/25	2015/03/23	16.00
16403	HK Bank - ICBC European Warrants Jul 2015 A	80,000,000	2014/09/25	2015/07/06	20.00
16408	HK Bank - ZTE European Warrants Jun 2015 A	60,000,000	2014/09/25	2015/06/30	15.00
16442	J P Morgan SP BV - GAC European Warrants Dec 2016 A	100,000,000	2014/09/25	2016/12/01	25.00
16440	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2015 D	100,000,000	2014/09/25	2015/04/02	30.70
16441	J P Morgan SP BV - Kingsoft European Warrants Apr 2015 A	100,000,000	2014/09/25	2015/04/02	25.20
16436	J P Morgan SP BV - Sands China European Warrants Apr 2015 D	100,000,000	2014/09/25	2015/04/02	25.60
16430	Macquarie Bank Ltd. - MGM China European Warrants Jul 2015 A	40,000,000	2014/09/25	2015/07/03	10.00
16392	Nomura Int'l plc - China EB Ltd European Warrants Mar 2016 A	50,000,000	2014/09/25	2016/03/29	13.25
16402	Nomura Int'l plc - Chsouthcity European Warrants Mar 2015 A	50,000,000	2014/09/25	2015/03/30	21.50
16394	Nomura Int'l plc - Fosun Intl European Warrants Jun 2015 A	80,000,000	2014/09/25	2015/06/24	12.00
16393	Nomura Int'l plc - Geely Auto European Warrants Mar 2015 A	50,000,000	2014/09/25	2015/03/30	13.00
16401	Nomura Int'l plc - HN Renewables European Warrants May 2015A	50,000,000	2014/09/25	2015/05/28	12.50
16396	Nomura Int'l plc - Xinyi Glass European Warrants Mar 2015 A	50,000,000	2014/09/25	2015/03/30	20.75
16426	Standard Chartered Bank - CNOOC European Put Wts Jun 2015 A	50,000,000	2014/09/25	2015/06/22	35.00
16425	Standard Chartered Bank - CNOOC European Put Wts Jun 2016 A	150,000,000	2014/09/25	2016/06/22	37.50
16412	Standard Chartered Bank - MGM China European Wts May 2015 A	80,000,000	2014/09/25	2015/05/26	12.00
16414	Standard Chartered Bank - Sands China Eu Put Wts Apr 2015 B	80,000,000	2014/09/25	2015/04/08	20.00
16415	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2015 B	150,000,000	2014/09/25	2015/03/30	22.50
16419	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Apr15B	50,000,000	2014/09/25	2015/04/08	12.50
16416	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Apr15C	80,000,000	2014/09/25	2015/04/08	27.60
16418	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 15 D	150,000,000	2014/09/25	2015/02/04	29.25
16417	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jun 15 A	150,000,000	2014/09/25	2015/06/03	71.25
16429	UBS AG - Galaxy Ent European Put Warrants Mar 2015 C	60,000,000	2014/09/25	2015/03/24	15.00
16428	UBS AG - Sands China European Put Warrants Mar 2015 E	60,000,000	2014/09/25	2015/03/24	15.00

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		發行單位	上市日期	到期日	
16672 #	Macquarie Bank Ltd. - China Unicom European Wts Jul 2015 A	75,000,000	2014/09/25	2015/07/03	10.50
16445	HK Bank - BOCL European Warrants Sep 2016 A	80,000,000	2014/09/26	2016/09/30	28.00
16444	HK Bank - HWL European Warrants Mar 2015 A	80,000,000	2014/09/26	2015/03/30	25.60
16448	HK Bank - Kingsoft European Warrants Mar 2015 A	80,000,000	2014/09/26	2015/03/09	12.00
16443	HK Bank - Ping An European Warrants Jan 2015 C	80,000,000	2014/09/26	2015/01/27	16.80
16446	HK Bank - Shimao Ppt European Warrants Aug 2015 A	60,000,000	2014/09/26	2015/08/31	15.00
16447	HK Bank - SMIC European Warrants Sep 2015 A	60,000,000	2014/09/26	2015/09/30	15.00
16458	Standard Chartered Bank - CHALCO European Warrants Mar 2015A	50,000,000	2014/09/26	2015/03/25	12.50
16457	Standard Chartered Bank - Cheung Kong Euro Wts Mar 2015 A	40,000,000	2014/09/26	2015/03/25	14.00
16459	Standard Chartered Bank - Galaxy Ent European Wts Apr 2015 C	50,000,000	2014/09/26	2015/04/09	23.50
16451	Standard Chartered Bank - Ping An European Wts Mar 2015 A	80,000,000	2014/09/26	2015/03/23	17.60
16449	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt May15A	50,000,000	2014/09/26	2015/05/06	13.00
16450	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Apr 2015 B	50,000,000	2014/09/26	2015/04/08	19.00
16489	Credit Suisse AG - Anton Oilfield European Warrants Mar 15 A	60,000,000	2014/09/29	2015/03/30	15.60
16483	Credit Suisse AG - China Mobile European Warrants Mar 2015 C	80,000,000	2014/09/29	2015/03/30	13.60
16487	Credit Suisse AG - Sinopec Corp European Warrants Mar 2015 D	70,000,000	2014/09/29	2015/03/30	25.90
16486	Credit Suisse AG - HSBC European Wts Feb 2015 C	80,000,000	2014/09/29	2015/02/23	12.00
16490	Daiwa Capital Mkt - Tencent Holdings European Wts Sep 2015 A	158,000,000	2014/09/29	2015/09/21	34.76
16460	HK Bank - HKEx European Warrants Mar 2015 C	180,000,000	2014/09/29	2015/03/31	27.00
16461	HK Bank - HSI European Warrants Mar 2015 C	180,000,000	2014/09/29	2015/03/30	27.00
16493	J P Morgan SP BV - KECL European Warrants Dec 2015 A	150,000,000	2014/09/29	2015/12/31	22.50
16479	Macquarie Bank Ltd. - Li Ning European Warrants Apr 2015 A	30,000,000	2014/09/29	2015/04/30	10.38
16480	Macquarie Bank Ltd. - Ping An European Warrants May 2015 A	40,000,000	2014/09/29	2015/05/05	10.00
16463	Standard Chartered Bank - CC Bank European Wts Apr 2015 B	50,000,000	2014/09/29	2015/04/02	12.50
16467	Standard Chartered Bank - China Cinda Euro Wts Feb 2015 A	80,000,000	2014/09/29	2015/02/27	12.80
16491	Standard Chartered Bank - CSOP A50 ETF Euro Wts Feb 2015 B	60,000,000	2014/09/29	2015/02/02	12.60
16492	Standard Chartered Bank - CSOP A50 ETF Euro Wts Mar 2015 C	60,000,000	2014/09/29	2015/03/30	21.60
16465	Standard Chartered Bank - Tencent Hldg Euro Wts Jan 2015 D	80,000,000	2014/09/29	2015/01/28	23.20
16466	Standard Chartered Bank - Tencent Hldg Euro Wts Apr 2015 B	80,000,000	2014/09/29	2015/04/23	20.00
16481	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Apr 2015C	80,000,000	2014/09/29	2015/04/08	30.40
16476	UBS AG - HSBC European Warrants Feb 2015 A	100,000,000	2014/09/29	2015/02/23	15.00
16472	UBS AG - Ping An European Warrants Mar 2015 B	100,000,000	2014/09/29	2015/03/30	34.00
16473	UBS AG - Ping An European Warrants Mar 2015 C	100,000,000	2014/09/29	2015/03/23	18.50
29430 #	BOCI Asia Ltd. - A50 China European Warrants Aug 2016 A	100,000,000	2014/09/29	2016/08/01	11.70
16513	BOCI Asia Ltd. - CC Bank European Warrants Apr 2015 A	100,000,000	2014/09/30	2015/04/08	29.00
16517	BOCI Asia Ltd. - Galaxy Ent European Warrants Apr 2015 A	100,000,000	2014/09/30	2015/04/01	35.00
16519	BOCI Asia Ltd. - Hutchison European Warrants Mar 2015 A	100,000,000	2014/09/30	2015/03/30	38.00
16514	BOCI Asia Ltd. - Ping An European Warrants Apr 2015 A	100,000,000	2014/09/30	2015/04/13	30.00
16516	BOCI Asia Ltd. - Sands China European Warrants Mar 2015 B	100,000,000	2014/09/30	2015/03/31	29.00
16529	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Apr 2015 A	100,000,000	2014/09/30	2015/04/02	17.00
16528	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Apr 2015 B	100,000,000	2014/09/30	2015/04/02	27.00
16524	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Feb 2015 B	100,000,000	2014/09/30	2015/02/03	15.00
16525	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Nov 2015 A	100,000,000	2014/09/30	2015/11/03	15.00
16531	Credit Suisse AG - Tencent Holdings European Wts Sep 2015 B	100,000,000	2014/09/30	2015/09/21	20.00

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		發行單位	上市日期	到期日	
16534	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2015 C	70,000,000	2014/09/30	2015/02/06	25.90
16521	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts Mar 2015 A	80,000,000	2014/09/30	2015/03/26	15.20
16520	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts May 2015 A	80,000,000	2014/09/30	2015/05/22	14.40
16547	Citigroup Global Mkt H Inc. - Tencent European Wts Dec 2015 B	300,000,000	2014/09/30	2015/12/18	57.00
16512	Daiwa Capital Mkt - Galaxy Ent European Put Wts May 2015 A	68,000,000	2014/09/30	2015/05/29	17.00
16496	Daiwa Capital Mkt - HKEx European Warrants Jul 2015 A	208,000,000	2014/09/30	2015/07/02	31.20
16498	Daiwa Capital Mkt - HKEx European Put Warrants Jun 2015 A	128,000,000	2014/09/30	2015/06/01	35.84
16495	Daiwa Capital Mkt - Hutchison European Warrants Mar 2015 B	98,000,000	2014/09/30	2015/03/30	32.34
16494	Daiwa Capital Mkt - Sands China European Put Wts May 2015 A	68,000,000	2014/09/30	2015/05/15	17.00
16535	Bank of East Asia - Galaxy Ent European Put Warrants May15 A	40,000,000	2014/09/30	2015/05/29	10.00
16537	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Mar 2015 A	80,000,000	2014/09/30	2015/03/31	27.92
16536	Goldman Sachs SP (Asia) - KECL European Warrants May 2016 A	80,000,000	2014/09/30	2016/05/30	20.00
16540	Goldman Sachs SP (Asia) - Stanchart European Wts Jun 2015 A	100,000,000	2014/09/30	2015/06/30	15.00
16539	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2015 B	80,000,000	2014/09/30	2015/01/29	38.80
16506	HK Bank - HSI European Warrants Dec 2014 J	150,000,000	2014/09/30	2014/12/30	28.50
16505	HK Bank - HSI European Warrants Mar 2015 F	100,000,000	2014/09/30	2015/03/30	25.00
16503	HK Bank - HSI European Put Warrants Mar 2015 D	100,000,000	2014/09/30	2015/03/30	25.00
16504	HK Bank - HSI European Put Warrants Mar 2015 E	100,000,000	2014/09/30	2015/03/30	25.00
16507	HK Bank - HSI European Put Warrants Mar 2015 G	100,000,000	2014/09/30	2015/03/30	25.00
16543	J P Morgan SP BV - Anton Oilfield European Wts Apr 2015 A	40,000,000	2014/09/30	2015/04/02	10.04
16542	J P Morgan SP BV - CSOP A50 ETF European Warrants Apr 2015 A	100,000,000	2014/09/30	2015/04/02	25.60
16541	J P Morgan SP BV - China Mengniu European Warrants Apr 2015A	100,000,000	2014/09/30	2015/04/02	25.80
16544	J P Morgan SP BV - Tencent European Warrants Feb 2015 B	200,000,000	2014/09/30	2015/02/02	30.80
16545	J P Morgan SP BV - Tencent European Put Warrants Feb 2015 C	200,000,000	2014/09/30	2015/02/06	35.00
16530	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Mar 2015 B	40,000,000	2014/09/30	2015/03/03	10.00
16501	Macquarie Bank Ltd. - HKEx European Warrants Oct 2015 B	70,000,000	2014/09/30	2015/10/05	10.50
16508	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 17 A	700,000,000	2014/09/30	2017/03/06	105.00
16522	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Mar 15 C	150,000,000	2014/09/30	2015/03/30	30.00
16523	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Mar 15 B	150,000,000	2014/09/30	2015/03/04	62.25
16509	UBS AG - Tencent Holdings European Warrants Feb 2015 C	100,000,000	2014/09/30	2015/02/23	39.50
28143 [#]	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Feb 2015A	60,000,000	2014/09/30	2015/02/04	16.80
總 額					44,489.92

[#] 進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2013	第3季	—	1,171.98
	第4季	—	886.67
2014	第1季	—	695.99
	第2季	—	711.36
	第3季	—	3,414.81

債券面值

		發行數目	面值(百萬港元)
2013	第3季	367	1,212,064.53
	第4季	403	1,378,794.08
2014	第1季	456	1,541,903.06
	第2季	531	1,839,650.08
	第3季	578	1,990,202.04

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2013	第3季	16	34,683.76
	第4季	48	190,108.73
2014	第1季	62	175,574.56
	第2季	83	318,930.32
	第3季	61	199,702.38

主板 債務證券

新上市債券

2014年第3季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
85980	華夏銀行股份有限公司4.95%人民幣債券2017年	RMB 1,000,000,000	2014/07/02	2017/06/30	1,246.50
05767	中國建設銀行(亞洲)股份有限公司3.25%票據2019年	USD 400,000,000	2014/07/03	2019/07/02	3,115.44
05766	Wheelock Finance Ltd. 2.75%有擔保票據2017年	USD 500,000,000	2014/07/03	2017/07/02	3,885.30
05755#	中國農產品交易有限公司1.00%票據2024年	HKD 20,000,000	2014/07/03	2024/09/30	-
85981	Hang Seng Bank (China) Ltd. 3.25%人民幣票據2017年	RMB 1,000,000,000	2014/07/04	2017/07/03	1,248.00
85982	中國城市建設(國際)有限公司5.35%人民幣債券2017年	RMB 2,500,000,000	2014/07/04	2017/07/03	3,120.00
05768	Greenland Global Investment Ltd. 4.375%有擔保債券2019年	USD 400,000,000	2014/07/04	2019/07/03	3,105.34
05769	Greenland Global Investment Ltd. 5.875%有擔保債券2024年	USD 600,000,000	2014/07/04	2024/07/03	4,653.84
05755#	中國農產品交易有限公司1.00%票據2024年	HKD 40,000,000	2014/07/11	2024/09/30	-
04219#	中華人民共和國香港特別行政區1.47%債券2019年	HKD 2,000,000,000	2014/07/11	2019/02/20	1,982.40
05771	香港科技園公司2.12%有擔保票據2019年	HKD 855,000,000	2014/07/14	2019/07/11	855.00
05772	香港科技園公司3.20%有擔保票據2024年	HKD 852,000,000	2014/07/14	2024/07/11	852.00
85983	時代地產控股有限公司10.375%人民幣優先票據2017年	RMB 900,000,000	2014/07/17	2017/07/16	1,123.65
05773	Huarong Finance Co., Ltd. 3.00%有擔保票據2017年	USD 300,000,000	2014/07/18	2017/07/17	2,331.48
05774	Huarong Finance Co., Ltd. 4.00%有擔保票據2019年	USD 1,200,000,000	2014/07/18	2019/07/17	9,327.71
05775	Skyland Mining (BVI) Ltd. 3.50%有擔保債券2017年	USD 500,000,000	2014/07/18	2017/07/17	3,885.73
85984	Jinchuan Group Co., Ltd. 4.75%人民幣債券2017年	RMB 1,500,000,000	2014/07/18	2017/07/17	1,869.08
85985	ITNL International Pte. Ltd. 8.00%人民幣債券2017年	RMB 575,000,000	2014/07/18	2017/07/17	718.46
05770	中國永達汽車服務控股有限公司1.50%美元結算可換股債券2019年	RMB 1,000,000,000	2014/07/21	2019/07/18	1,249.50
05776	中國中信股份有限公司4.70%票據2024年	USD 110,000,000	2014/07/21	2024/01/18	858.00
04570#	中國中信股份有限公司6.80%票據2023年	USD 400,000,000	2014/07/21	2023/01/17	3,571.12
05755#	中國農產品交易有限公司1.00%票據2024年	HKD 40,000,000	2014/07/21	2024/09/30	-
05767#	中國建設銀行(亞洲)股份有限公司3.25%票據2019年	USD 200,000,000	2014/07/24	2019/07/02	1,573.90
85986	Lai Sun Garment Int'l Fin (2014) 7.70%有抵押有擔保人民幣票據2018年	RMB 650,000,000	2014/07/25	2018/07/24	812.83
85987	中國聯合網絡通信(香港)股份有限公司3.80%優先人民幣票據2016年	RMB 2,500,000,000	2014/07/25	2016/07/24	3,126.25
05777	Shine Power Int'l Ltd. 零息有擔保可交換債券2019年	HKD 4,312,000,000	2014/07/29	2019/07/28	4,312.00
05755#	中國農產品交易有限公司1.00%票據2024年	HKD 40,000,000	2014/07/30	2024/09/30	-
05781	Sino-Ocean Land Treasure Fin I Ltd. 4.625%有擔保票據2019年	USD 500,000,000	2014/07/31	2019/07/30	3,879.37
05782	Sino-Ocean Land Treasure Fin I Ltd. 6.00%有擔保票據2024年	USD 700,000,000	2014/07/31	2024/07/30	5,399.51
05779	中國進出口銀行2.50%優先票據2019年	USD 1,500,000,000	2014/08/01	2019/07/31	11,642.21
05780	中國進出口銀行3.625%優先票據2024年	USD 1,500,000,000	2014/08/01	2024/07/31	11,609.79
85988	怡錦投資有限公司5.15%有擔保人民幣債券2017年	RMB 1,000,000,000	2014/08/01	2017/07/31	1,255.80
05778	鐵建宇鵬有限公司有擔保高級永續證券	USD 800,000,000	2014/08/04	-	6,240.00
05783	力高地產集團有限公司13.75%優先票據2019年	USD 125,000,000	2014/08/04	2019/08/01	975.00
05785	合景泰富地產控股有限公司8.25%優先票據2019年	USD 400,000,000	2014/08/06	2019/08/05	3,120.00
85989	China Development Bank Corporation 4.35%人民幣票據2024年	RMB 500,000,000	2014/08/07	2024/08/06	628.00
05784	香港按揭證券有限公司浮息票據2015年	GBP 75,000,000	2014/08/07	2015/08/06	981.19
05786	綠地香港控股有限公司4.375%票據2017年	USD 500,000,000	2014/08/08	2017/08/07	3,872.98
04221	中華人民共和國香港特別行政區2.22%債券2024年	HKD 1,000,000,000	2014/08/08	2024/08/07	977.80
05787	景瑞控股有限公司13.625%優先票據2019年	USD 150,000,000	2014/08/11	2019/08/08	1,154.59
05788	Asia View Ltd. 1.50%有擔保可交換債券2019年	USD 200,000,000	2014/08/11	2019/08/08	1,560.00

主 板 債 務 證 券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05790	中國興業太陽能技術控股有限公司5.00%有擔保可交換債券2019年	RMB 930,000,000	2014/08/11	2019/08/08	1,169.94
05789	Airvessel Finance Holding Ltd. 3.25%有擔保票據2019年	USD 500,000,000	2014/08/12	2019/08/11	3,892.67
04222	中華人民共和國香港特別行政區政府通脹掛鉤債券2017年	HKD 10,000,000,000	2014/08/12	2017/08/11	10,000.00
04053	香港金融管理局0.44%外匯基金票據2016年	HKD 1,200,000,000	2014/08/19	2016/08/18	1,202.28
05791	遠東宏信有限公司6.125%票據2019年	AUD 200,000,000	2014/08/20	2019/08/19	1,440.66
05792	中國建設銀行(亞洲)股份有限公司二級資本後償票據2024年	USD 750,000,000	2014/08/21	2024/08/20	5,825.26
05755#	中國農產品交易有限公司1.00%票據2024年	HKD 40,000,000	2014/08/27	2024/09/30	-
04054	香港金融管理局2.24%外匯基金票據2029年	HKD 600,000,000	2014/08/28	2029/08/27	610.02
05793	盈德氣體投資有限公司7.25%優先票據2020年	USD 250,000,000	2014/08/29	2020/02/28	1,937.07
05794	The Link Finance (Cayman) 2009 Ltd. 3.60%票據2024年	USD 500,000,000	2014/09/04	2024/09/03	3,872.16
05795	Charming Light Investments Ltd. 3.75%有擔保票據2019年	USD 600,000,000	2014/09/04	2019/09/03	4,645.23
05796	Charming Light Investments Ltd. 5.00%有擔保票據2024年	USD 400,000,000	2014/09/04	2024/09/03	3,087.15
04211#	中華人民共和國香港特別行政區政府0.97%債券2016年	HKD 3,500,000,000	2014/09/05	2016/12/08	3,515.05
05798	泛海建設國際控股有限公司11.75%有擔保優先票據2019年	USD 320,000,000	2014/09/10	2019/09/08	2,473.04
05797	ICBC (Macau) Ltd. 後償票據2024年	USD 320,000,000	2014/09/11	2024/09/10	2,487.01
05799	中國太平保險控股有限公司永續次級資本證券	USD 600,000,000	2014/09/11	-	4,680.00
85990	Sinochem Offshore Cap Co. Ltd. 4.00%有擔保人民幣優先票據2021年	RMB 300,000,000	2014/09/11	2021/09/10	378.90
85991	Qilu Int'l Finance (BVI) Co. Ltd. 6.25%優先人民幣債券2017年	RMB 1,500,000,000	2014/09/11	2017/09/10	1,894.50
05800	中國西部水泥有限公司6.50%優先票據2019年	USD 400,000,000	2014/09/12	2019/09/11	3,120.00
05801	Haitong International Finance 2014 Ltd. 3.99%有擔保債券2019年	USD 600,000,000	2014/09/12	2019/09/11	4,624.26
85992	361度國際有限公司7.50%優先人民幣票據2017年	RMB 1,500,000,000	2014/09/15	2017/09/12	1,886.73
85993	Charter Style International Ltd. 5.80%有擔保人民幣債券2024年	RMB 2,030,000,000	2014/09/16	2024/09/15	2,536.18
05802	Ch Great Wall Int'l Holdings Ltd. 2.50%信用增強債券2017年	USD 500,000,000	2014/09/18	2017/09/17	3,880.58
04223	Hong Kong Sukuk 2014 Ltd. 伊斯蘭債券2019年	USD 1,000,000,000	2014/09/19	2019/09/18	7,800.00
85994	Unican Ltd. 5.60%有擔保人民幣票據2017年	RMB 1,600,000,000	2014/09/19	2017/09/18	2,020.00
05803	FWD Ltd. 5.00%票據2024年	USD 325,000,000	2014/09/25	2024/09/24	2,522.58
05804	創興銀行有限公司無期限非累積次級額外一級資本證券	USD 300,000,000	2014/09/26	-	2,340.00
05805	宏華集團有限公司7.45%優先票據2019年	USD 200,000,000	2014/09/26	2019/09/25	1,560.00
04055	香港金融管理局1.49%外匯基金票據2019年	HKD 1,000,000,000	2014/09/30	2019/09/30	1,003.10
04031#	香港金融管理局0.25%外匯基金票據2017年	HKD 1,200,000,000	2014/09/30	2017/09/18	1,178.28
總額					199,702.38

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2013	第3季	187,460.35	19,308.78	32.94	206,802.07	5.98
	第4季	174,554.43	13,129.54	37.59	187,721.56	5.17
2014	第1季	166,595.89	12,531.06	52.35	179,179.30	4.37
	第2季	134,427.45	12,993.49	36.04	147,456.99	4.31
	第3季	350,528.48	17,291.58	78.56	367,898.62	8.18

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2013	第3季	113	592,893.27	10	175,966.45	2	1,319.72	125	770,179.44
	第4季	116	538,892.05	11	177,310.63	2	1,376.37	129	717,579.05
2014	第1季	119	539,592.84	11	175,108.28	1	1,246.28	131	715,947.41
	第2季	124	550,831.24	11	185,309.13	1	1,239.99	136	737,380.36
	第3季	121	582,204.26	11	191,896.58	1	1,418.33	133	775,519.16

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2013	第3季	4	1,650.50
	第4季	4	1,674.50
2014	第1季	3	—
	第2季	5	—
	第3季	1	487.50

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2014年第3季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83127/ 03127	Horizons 滬深300 ETF	未來資產環球投資 (香港)有限公司	n.a.	n.a.	n.a.	n.a.	2014/09/26

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2013	第3季	1,596	68,940.37	330,779.62
	第4季	1,620	68,388.12	256,527.75
2014	第1季	1,799	59,586.41	310,519.71
	第2季	1,846	52,720.61	244,418.59
	第3季	1,918	61,358.71	338,575.61

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2013	第3季	2,455	137,493.48
	第4季	2,156	112,648.01
2014	第1季	3,115	146,272.13
	第2季	2,079	93,001.85
	第3季	2,307	111,530.32

主板 可收回牛熊證

新上市牛熊證

2014 年第 3 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67256	Credit Suisse AG - HSI R Bull CBBC Oct 2014 P	238,000,000	2014/07/02	2014/10/30	59.50
67216	Credit Suisse AG - HSI R Bear CBBC Oct 2014 C	238,000,000	2014/07/02	2014/10/30	59.50
67217	Credit Suisse AG - HSI R Bear CBBC Oct 2014 D	238,000,000	2014/07/02	2014/10/30	59.50
67219	Credit Suisse AG - HSI R Bear CBBC Oct 2014 E	238,000,000	2014/07/02	2014/10/30	59.50
67195	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2014 A	150,000,000	2014/07/02	2014/10/30	37.80
67201	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2014 B	150,000,000	2014/07/02	2014/10/30	37.65
67191	HK Bank - HSI R Bear CBBC Feb 2015 F	100,000,000	2014/07/02	2015/02/26	25.00
67192	HK Bank - HSI R Bear CBBC Mar 2015 C	100,000,000	2014/07/02	2015/03/30	25.00
67194	HK Bank - HSI R Bear CBBC Mar 2015 D	100,000,000	2014/07/02	2015/03/30	25.00
67190	HK Bank - HSI R Bear CBBC Apr 2015 A	100,000,000	2014/07/02	2015/04/29	25.00
67269	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 C	300,000,000	2014/07/02	2014/10/30	75.00
67270	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 D	300,000,000	2014/07/02	2014/10/30	75.00
67211	Standard Chartered Bank - Minsheng Bank R Bull CBBC Feb 15 A	50,000,000	2014/07/02	2015/02/23	12.50
67206	Standard Chartered Bank - Minsheng Bank R Bear CBBC Mar 15 A	50,000,000	2014/07/02	2015/03/20	12.50
67188	Standard Chartered Bank - HSI R Bear CBBC Jun 2015 E	100,000,000	2014/07/02	2015/06/29	25.00
67207	Standard Chartered Bank - Lenovo R Bear CBBC Apr 2015 A	50,000,000	2014/07/02	2015/04/10	12.50
67205	Standard Chartered Bank - Sands China R Bear CBBC Apr 2015 A	50,000,000	2014/07/02	2015/04/10	12.50
67222	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 Y	200,000,000	2014/07/02	2014/10/30	50.00
67232	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 P	200,000,000	2014/07/02	2014/10/30	50.00
67233	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 Q	200,000,000	2014/07/02	2014/10/30	50.00
67268	UBS AG - HSI R Bull CBBC Jan 2015 N	300,000,000	2014/07/02	2015/01/29	75.00
67215	UBS AG - HSI R Bear CBBC Oct 2014 F	300,000,000	2014/07/02	2014/10/30	75.00
67213	UBS AG - HSI R Bear CBBC Oct 2014 N	300,000,000	2014/07/02	2014/10/30	75.00
67288	Credit Suisse AG - HSI R Bull CBBC Jan 2015 S	238,000,000	2014/07/03	2015/01/29	59.50
67277	Credit Suisse AG - HSI R Bear CBBC Nov 2014 H	238,000,000	2014/07/03	2014/11/27	59.50
67278	Credit Suisse AG - HSI R Bear CBBC Nov 2014 I	238,000,000	2014/07/03	2014/11/27	59.50
67279	Credit Suisse AG - HSI R Bear CBBC Nov 2014 J	238,000,000	2014/07/03	2014/11/27	59.50
67272	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 C	100,000,000	2014/07/03	2015/06/29	25.00
67273	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 D	100,000,000	2014/07/03	2015/06/29	25.00
67287	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 A	100,000,000	2014/07/03	2015/02/26	25.00
67296	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 R	200,000,000	2014/07/03	2014/10/30	50.00
67291	UBS AG - HSI R Bull CBBC Feb 2015 I	300,000,000	2014/07/03	2015/02/26	75.00
67283	UBS AG - HSI R Bear CBBC Nov 2014 M	300,000,000	2014/07/03	2014/11/27	75.00
67295	UBS AG - HSI R Bear CBBC Jan 2015 B	350,000,000	2014/07/03	2015/01/29	87.50
67329	Credit Suisse AG - HSI R Bull CBBC Mar 2015 A	238,000,000	2014/07/04	2015/03/30	59.50
67340	Credit Suisse AG - HSI R Bull CBBC Mar 2015 B	238,000,000	2014/07/04	2015/03/30	59.50
67347	Credit Suisse AG - HSI R Bull CBBC Mar 2015 C	238,000,000	2014/07/04	2015/03/30	59.50
67301	Credit Suisse AG - HSI R Bear CBBC Oct 2014 F	238,000,000	2014/07/04	2014/10/30	59.50
67343	Credit Suisse AG - HSI R Bear CBBC Oct 2014 G	238,000,000	2014/07/04	2014/10/30	59.50
67364	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Mar 2015 A	50,000,000	2014/07/04	2015/03/30	12.50
67366	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Mar 2015 B	50,000,000	2014/07/04	2015/03/30	12.50
67369	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Mar 2015 A	50,000,000	2014/07/04	2015/03/30	12.50
67370	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Mar 2015 B	50,000,000	2014/07/04	2015/03/30	12.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67380	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2014 A	150,000,000	2014/07/04	2014/10/30	38.70
67391	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2014 B	150,000,000	2014/07/04	2014/10/30	38.10
67392	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2014 C	150,000,000	2014/07/04	2014/10/30	40.20
67393	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2014 C	150,000,000	2014/07/04	2014/10/30	37.50
67394	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 A	150,000,000	2014/07/04	2014/11/27	44.70
67429	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 N	300,000,000	2014/07/04	2015/01/29	75.00
67425	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 E	300,000,000	2014/07/04	2015/02/26	75.00
67426	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 F	300,000,000	2014/07/04	2015/03/30	75.00
67423	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 E	300,000,000	2014/07/04	2014/10/30	75.00
67424	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 F	300,000,000	2014/07/04	2014/10/30	75.00
67307	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 E	100,000,000	2014/07/04	2015/06/29	25.00
67319	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 G	200,000,000	2014/07/04	2014/10/30	50.00
67327	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 C	200,000,000	2014/07/04	2014/12/30	50.00
67377	UBS AG - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/07/04	2014/12/30	75.00
67375	UBS AG - HSI R Bull CBBC Jan 2015 O	300,000,000	2014/07/04	2015/01/29	75.00
67373	UBS AG - HSI R Bear CBBC Nov 2014 N	300,000,000	2014/07/04	2014/11/27	75.00
67305	UBS AG - HSI R Bear CBBC Dec 2014 F	300,000,000	2014/07/04	2014/12/30	75.00
67516	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 I	200,000,000	2014/07/07	2015/01/29	50.00
67523	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Y	238,000,000	2014/07/07	2014/12/30	59.50
67521	Credit Suisse AG - HSI R Bear CBBC Oct 2014 H	238,000,000	2014/07/07	2014/10/30	59.50
67522	Credit Suisse AG - HSI R Bear CBBC Oct 2014 L	238,000,000	2014/07/07	2014/10/30	59.50
67503	Bank of East Asia - COVS R Bear CBBC Jan 2015 A	30,000,000	2014/07/07	2015/01/26	14.40
67507	Bank of East Asia - Galaxy Ent R Bull CBBC Jun 2015 A	100,000,000	2014/07/07	2015/06/12	25.00
67495	Bank of East Asia - Galaxy Ent R Bear CBBC Feb 2015 A	100,000,000	2014/07/07	2015/02/02	25.00
67505	Bank of East Asia - Hutchison R Bull CBBC Apr 2015 A	50,000,000	2014/07/07	2015/04/22	12.50
67440	HK Bank - Galaxy Ent R Bull CBBC Mar 2015 B	80,000,000	2014/07/07	2015/03/23	20.00
67439	HK Bank - HSI R Bull CBBC Jan 2015 M	100,000,000	2014/07/07	2015/01/29	25.00
67438	HK Bank - HSI R Bull CBBC Feb 2015 E	100,000,000	2014/07/07	2015/02/26	25.00
67446	HK Bank - Lenovo R Bull CBBC Aug 2015 A	60,000,000	2014/07/07	2015/08/24	15.00
67442	HK Bank - PetCh R Bull CBBC Jul 2015 A	60,000,000	2014/07/07	2015/07/06	15.00
67441	HK Bank - Sands China R Bull CBBC Apr 2015 A	80,000,000	2014/07/07	2015/04/13	20.00
67448	HK Bank - TCH R Bull CBBC Jan 2015 E	100,000,000	2014/07/07	2015/01/30	25.00
67524	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 G	300,000,000	2014/07/07	2015/03/30	75.00
67525	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 H	300,000,000	2014/07/07	2015/03/30	75.00
67529	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 I	300,000,000	2014/07/07	2015/03/30	75.00
67483	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 D	50,000,000	2014/07/07	2014/11/27	12.50
67467	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 F	100,000,000	2014/07/07	2015/06/29	25.00
67518	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 G	100,000,000	2014/07/07	2015/06/29	25.00
67471	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct14 H	200,000,000	2014/07/07	2014/10/30	50.00
67472	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 S	200,000,000	2014/07/07	2014/10/30	50.00
67474	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Oct14 T	200,000,000	2014/07/07	2014/10/30	50.00
67531	UBS AG - HSI R Bull CBBC Dec 2014 N	300,000,000	2014/07/07	2014/12/30	75.00
67546	UBS AG - HSI R Bull CBBC Jul 2015 B	350,000,000	2014/07/07	2015/07/30	87.50
67540	UBS AG - HSI R Bear CBBC Oct 2014 M	300,000,000	2014/07/07	2014/10/30	75.00
67579	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 O	200,000,000	2014/07/08	2014/12/30	50.00

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67581	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Q	200,000,000	2014/07/08	2014/12/30	50.00
67578	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 J	200,000,000	2014/07/08	2015/01/29	50.00
67605	Credit Suisse AG - HSI R Bull CBBC Feb 2015 J	238,000,000	2014/07/08	2015/02/26	59.50
67607	Credit Suisse AG - HSI R Bull CBBC Feb 2015 K	238,000,000	2014/07/08	2015/02/26	59.50
67608	Credit Suisse AG - HSI R Bull CBBC Feb 2015 L	238,000,000	2014/07/08	2015/02/26	59.50
67576	Credit Suisse AG - HSI R Bear CBBC Nov 2014 L	238,000,000	2014/07/08	2014/11/27	59.50
67609	Credit Suisse AG - HSI R Bear CBBC Nov 2014 M	238,000,000	2014/07/08	2014/11/27	59.50
67611	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 O	300,000,000	2014/07/08	2015/01/29	75.00
67613	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 G	300,000,000	2014/07/08	2014/10/30	75.00
67565	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 B	100,000,000	2014/07/08	2015/02/26	25.00
67566	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 C	100,000,000	2014/07/08	2015/02/26	25.00
67582	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14C	200,000,000	2014/07/08	2014/11/27	50.00
67554	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14A	200,000,000	2014/07/08	2014/11/27	50.00
67584	UBS AG - HSBC R Bull CBBC Sep 2015 B	40,000,000	2014/07/08	2015/09/21	10.00
67594	UBS AG - HSI R Bull CBBC Oct 2014 T	300,000,000	2014/07/08	2014/10/30	75.00
67595	UBS AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/07/08	2014/12/30	75.00
67567	UBS AG - HSI R Bear CBBC Nov 2014 O	300,000,000	2014/07/08	2014/11/27	75.00
67610	UBS AG - HSI R Bear CBBC Jan 2015 D	350,000,000	2014/07/08	2015/01/29	87.50
67761	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 C	200,000,000	2014/07/09	2014/12/30	50.00
67763	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 E	200,000,000	2014/07/09	2014/12/30	50.00
67764	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 L	200,000,000	2014/07/09	2014/12/30	50.00
67625	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 K	200,000,000	2014/07/09	2015/01/29	50.00
67758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 L	200,000,000	2014/07/09	2015/01/29	50.00
67768	Credit Suisse AG - HSI R Bull CBBC Jan 2015 T	238,000,000	2014/07/09	2015/01/29	59.50
67770	Credit Suisse AG - HSI R Bull CBBC Jan 2015 U	238,000,000	2014/07/09	2015/01/29	59.50
67772	Credit Suisse AG - HSI R Bull CBBC Jan 2015 V	238,000,000	2014/07/09	2015/01/29	59.50
67780	Credit Suisse AG - HSI R Bull CBBC Jan 2015 W	238,000,000	2014/07/09	2015/01/29	59.50
67630	Credit Suisse AG - HSI R Bear CBBC Dec 2014 E	238,000,000	2014/07/09	2014/12/30	59.50
67634	Credit Suisse AG - HSI R Bear CBBC Dec 2014 F	238,000,000	2014/07/09	2014/12/30	59.50
67635	Credit Suisse AG - HSI R Bear CBBC Dec 2014 G	238,000,000	2014/07/09	2014/12/30	59.50
67664	Credit Suisse AG - HSI R Bear CBBC Dec 2014 H	238,000,000	2014/07/09	2014/12/30	59.50
67677	Credit Suisse AG - HSI R Bear CBBC Dec 2014 I	238,000,000	2014/07/09	2014/12/30	59.50
67753	Credit Suisse AG - HSI R Bear CBBC Dec 2014 J	238,000,000	2014/07/09	2014/12/30	59.50
67681	Credit Suisse AG - HSI R Bear CBBC Jan 2015 A	238,000,000	2014/07/09	2015/01/29	59.50
67685	Credit Suisse AG - HSI R Bear CBBC Jan 2015 B	238,000,000	2014/07/09	2015/01/29	59.50
67686	Credit Suisse AG - HSI R Bear CBBC Jan 2015 C	238,000,000	2014/07/09	2015/01/29	59.50
67688	Credit Suisse AG - HSI R Bear CBBC Jan 2015 D	238,000,000	2014/07/09	2015/01/29	59.50
67843	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Apr 15A	60,000,000	2014/07/09	2015/04/15	15.00
67844	Citigroup Global Mkt H Inc. - Galaxy Ent R Bull CBBC Apr 15B	60,000,000	2014/07/09	2015/04/15	15.00
67846	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Apr 15A	60,000,000	2014/07/09	2015/04/15	15.00
67841	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 F	200,000,000	2014/07/09	2015/01/29	50.00
67847	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Apr15A	60,000,000	2014/07/09	2015/04/15	15.00
67851	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Apr15B	60,000,000	2014/07/09	2015/04/15	15.00
67812	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2014 D	150,000,000	2014/07/09	2014/10/30	37.95
67689	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 B	150,000,000	2014/07/09	2014/11/27	37.80

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67690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 C	150,000,000	2014/07/09	2014/11/27	46.35
67698	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 P	300,000,000	2014/07/09	2015/01/29	75.00
67697	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 F	300,000,000	2014/07/09	2015/02/26	75.00
67700	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 J	300,000,000	2014/07/09	2015/03/30	75.00
67839	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 K	300,000,000	2014/07/09	2015/03/30	75.00
67620	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 H	300,000,000	2014/07/09	2014/10/30	75.00
67623	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 I	300,000,000	2014/07/09	2014/10/30	75.00
67695	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 J	300,000,000	2014/07/09	2014/10/30	75.00
67691	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 A	300,000,000	2014/07/09	2014/11/27	75.00
67692	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 B	300,000,000	2014/07/09	2014/11/27	75.00
67694	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 C	300,000,000	2014/07/09	2014/11/27	75.00
67837	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 D	300,000,000	2014/07/09	2014/11/27	75.00
67731	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 H	100,000,000	2014/07/09	2015/06/29	25.00
67734	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 I	100,000,000	2014/07/09	2015/06/29	25.00
67737	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 D	100,000,000	2014/07/09	2015/02/26	25.00
67720	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14D	200,000,000	2014/07/09	2014/11/27	50.00
67721	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14H	200,000,000	2014/07/09	2014/11/27	50.00
67781	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14O	200,000,000	2014/07/09	2014/11/27	50.00
67624	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14B	200,000,000	2014/07/09	2014/11/27	50.00
67722	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14C	200,000,000	2014/07/09	2014/11/27	50.00
67724	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14D	200,000,000	2014/07/09	2014/11/27	50.00
67729	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14E	200,000,000	2014/07/09	2014/11/27	50.00
67786	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14D	200,000,000	2014/07/09	2014/12/30	50.00
67808	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/07/09	2014/11/27	75.00
67809	UBS AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/07/09	2014/12/30	75.00
67789	UBS AG - HSI R Bull CBBC Jan 2015 P	300,000,000	2014/07/09	2015/01/29	75.00
67788	UBS AG - HSI R Bull CBBC Jul 2015 C	300,000,000	2014/07/09	2015/07/30	75.00
67766	UBS AG - HSI R Bear CBBC Oct 2014 J	300,000,000	2014/07/09	2014/10/30	75.00
67636	UBS AG - HSI R Bear CBBC Nov 2014 P	300,000,000	2014/07/09	2014/11/27	75.00
67653	UBS AG - HSI R Bear CBBC Dec 2014 G	300,000,000	2014/07/09	2014/12/30	75.00
67702	UBS AG - TCH R Bull CBBC Sep 2015 B	100,000,000	2014/07/09	2015/09/21	25.00
67701	UBS AG - TCH R Bear CBBC Nov 2014 E	100,000,000	2014/07/09	2014/11/24	25.00
67939	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 U	200,000,000	2014/07/10	2014/12/30	50.00
67941	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 M	200,000,000	2014/07/10	2015/01/29	50.00
67970	Credit Suisse AG - HSI R Bull CBBC Nov 2014 W	238,000,000	2014/07/10	2014/11/27	59.50
67951	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/07/10	2014/12/30	59.50
67952	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/07/10	2014/12/30	59.50
67972	Credit Suisse AG - HSI R Bear CBBC Dec 2014 K	238,000,000	2014/07/10	2014/12/30	59.50
67880	HK Bank - Galaxy Ent R Bull CBBC Feb 2015 B	80,000,000	2014/07/10	2015/02/16	20.00
67881	HK Bank - Galaxy Ent R Bull CBBC Jun 2015 A	80,000,000	2014/07/10	2015/06/08	20.00
67910	HK Bank - HSI R Bull CBBC Dec 2014 W	400,000,000	2014/07/10	2014/12/30	100.00
67890	HK Bank - HSI R Bull CBBC Jan 2015 N	400,000,000	2014/07/10	2015/01/29	100.00
67906	HK Bank - HSI R Bull CBBC Feb 2015 F	100,000,000	2014/07/10	2015/02/26	25.00
67908	HK Bank - HSI R Bull CBBC Mar 2015 C	100,000,000	2014/07/10	2015/03/30	25.00
67886	HK Bank - Sands China R Bull CBBC Mar 2015 B	80,000,000	2014/07/10	2015/03/16	20.00

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67879	HK Bank - TCH R Bull CBBC Feb 2015 D	100,000,000	2014/07/10	2015/02/16	25.00
67911	HK Bank - TCH R Bull CBBC Jun 2015 B	100,000,000	2014/07/10	2015/06/15	25.00
67938	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 Q	300,000,000	2014/07/10	2015/01/29	75.00
67935	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 G	300,000,000	2014/07/10	2015/02/26	75.00
67937	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 L	300,000,000	2014/07/10	2015/03/30	75.00
67934	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 E	300,000,000	2014/07/10	2014/11/27	75.00
67933	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 C	300,000,000	2014/07/10	2014/12/30	75.00
67923	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2014 C	50,000,000	2014/07/10	2014/11/27	12.50
67931	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 A	50,000,000	2014/07/10	2014/12/30	12.50
67925	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 E	50,000,000	2014/07/10	2014/11/27	12.50
67929	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 F	50,000,000	2014/07/10	2014/11/27	12.50
67918	Standard Chartered Bank - Galaxy Ent R Bull CBBC Mar 2015 A	50,000,000	2014/07/10	2015/03/31	12.50
67912	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 J	100,000,000	2014/07/10	2015/06/29	25.00
67914	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 E	100,000,000	2014/07/10	2015/02/26	25.00
67920	Standard Chartered Bank - PetCh R Bear CBBC Mar 2015 A	50,000,000	2014/07/10	2015/03/31	12.50
67949	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 R	200,000,000	2014/07/10	2014/12/30	50.00
67948	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 Z	200,000,000	2014/07/10	2014/12/30	50.00
67950	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 F	200,000,000	2014/07/10	2014/11/27	50.00
67974	UBS AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2014/07/10	2014/10/30	75.00
67973	UBS AG - HSI R Bull CBBC Jan 2015 R	350,000,000	2014/07/10	2015/01/29	87.50
67953	UBS AG - HSI R Bear CBBC Jan 2015 E	350,000,000	2014/07/10	2015/01/29	87.50
68035	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 N	200,000,000	2014/07/11	2015/01/29	50.00
68074	Credit Suisse AG - HSI R Bull CBBC Mar 2015 D	238,000,000	2014/07/11	2015/03/30	59.50
68078	Credit Suisse AG - HSI R Bull CBBC Mar 2015 E	238,000,000	2014/07/11	2015/03/30	59.50
68085	Credit Suisse AG - HSI R Bull CBBC Mar 2015 F	238,000,000	2014/07/11	2015/03/30	59.50
68036	Credit Suisse AG - HSI R Bear CBBC Nov 2014 N	238,000,000	2014/07/11	2014/11/27	59.50
68044	Credit Suisse AG - HSI R Bear CBBC Nov 2014 O	238,000,000	2014/07/11	2014/11/27	59.50
68086	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Apr15A	100,000,000	2014/07/11	2015/04/15	25.00
68087	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 15 A	100,000,000	2014/07/11	2015/04/15	25.00
68090	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 15 B	100,000,000	2014/07/11	2015/04/15	25.00
68057	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Apr 2015 A	200,000,000	2014/07/11	2015/04/15	50.00
68059	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Apr 2015 B	200,000,000	2014/07/11	2015/04/15	50.00
68060	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Apr 2015 C	200,000,000	2014/07/11	2015/04/15	50.00
68073	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Apr 2015 D	200,000,000	2014/07/11	2015/04/15	50.00
68003	HK Bank - HSI R Bull CBBC Jan 2015 O	100,000,000	2014/07/11	2015/01/29	25.00
67978	HK Bank - HSI R Bull CBBC Feb 2015 G	100,000,000	2014/07/11	2015/02/26	25.00
67987	HK Bank - HSI R Bear CBBC Jan 2015 H	100,000,000	2014/07/11	2015/01/29	25.00
67983	HK Bank - HSI R Bear CBBC Feb 2015 G	100,000,000	2014/07/11	2015/02/26	25.00
67991	HK Bank - HSI R Bear CBBC Mar 2015 E	100,000,000	2014/07/11	2015/03/30	25.00
67979	HK Bank - HSI R Bear CBBC Apr 2015 B	400,000,000	2014/07/11	2015/04/29	100.00
67981	HK Bank - TCH R Bull CBBC Dec 2014 L	100,000,000	2014/07/11	2014/12/15	25.00
67993	HK Bank - TCH R Bear CBBC Feb 2015 A	100,000,000	2014/07/11	2015/02/16	25.00
67986	HK Bank - TCH R Bear CBBC Apr 2015 A	100,000,000	2014/07/11	2015/04/20	25.00
67990	HK Bank - TCH R Bear CBBC Jun 2015 A	100,000,000	2014/07/11	2015/06/29	40.00
68105	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 R	300,000,000	2014/07/11	2015/01/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68056	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 F	300,000,000	2014/07/11	2014/11/27	75.00
68111	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 G	300,000,000	2014/07/11	2014/11/27	75.00
68013	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 F	100,000,000	2014/07/11	2015/02/26	25.00
68097	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 G	200,000,000	2014/07/11	2014/11/27	50.00
68098	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 E	200,000,000	2014/07/11	2014/12/30	50.00
68095	UBS AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/07/11	2014/11/27	75.00
68094	UBS AG - HSI R Bull CBBC Jan 2015 S	300,000,000	2014/07/11	2015/01/29	75.00
68091	UBS AG - HSI R Bear CBBC Oct 2014 G	300,000,000	2014/07/11	2014/10/30	75.00
68131	Credit Suisse AG - HSI R Bull CBBC Feb 2015 M	238,000,000	2014/07/14	2015/02/26	59.50
68213	Credit Suisse AG - HSI R Bear CBBC Jan 2015 E	238,000,000	2014/07/14	2015/01/29	59.50
68126	Credit Suisse AG - TCH R Bull CBBC Jan 2015 D	50,000,000	2014/07/14	2015/01/30	12.50
68128	Credit Suisse AG - TCH R Bull CBBC Jan 2015 E	50,000,000	2014/07/14	2015/01/30	12.50
68248	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Nov14B	100,000,000	2014/07/14	2014/11/28	25.00
68252	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Nov14C	100,000,000	2014/07/14	2014/11/28	25.00
68275	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Apr 2015 E	200,000,000	2014/07/14	2015/04/15	50.00
68150	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 A	300,000,000	2014/07/14	2015/06/29	75.00
68152	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 K	300,000,000	2014/07/14	2014/10/30	75.00
68133	Standard Chartered Bank - Anhui Conch R Bull CBBC Sep 2015 A	50,000,000	2014/07/14	2015/09/15	12.50
68141	Standard Chartered Bank - Sands China R Bull CBBC Apr 2015 A	50,000,000	2014/07/14	2015/04/15	12.50
68148	Standard Chartered Bank - TCH R Bull CBBC Jun 2015 A	80,000,000	2014/07/14	2015/06/15	20.00
68177	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 O	200,000,000	2014/07/14	2014/12/30	50.00
68178	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 F	200,000,000	2014/07/14	2014/12/30	50.00
68182	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 G	200,000,000	2014/07/14	2014/12/30	50.00
68210	UBS AG - A50 China R Bull CBBC Apr 2015 A	100,000,000	2014/07/14	2015/04/20	25.00
68187	UBS AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2014/07/14	2014/10/30	75.00
68218	UBS AG - HSI R Bear CBBC Oct 2014 Q	300,000,000	2014/07/14	2014/10/30	75.00
68205	UBS AG - TCH R Bear CBBC Dec 2014 A	100,000,000	2014/07/14	2014/12/15	25.00
68396	Credit Suisse AG - HSI R Bull CBBC Jan 2015 X	238,000,000	2014/07/15	2015/01/29	59.50
68401	Credit Suisse AG - HSI R Bear CBBC Jan 2015 F	238,000,000	2014/07/15	2015/01/29	59.50
68405	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Apr 2015 A	100,000,000	2014/07/15	2015/04/20	25.00
68417	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Apr15A	60,000,000	2014/07/15	2015/04/20	15.00
68408	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Apr 2015 A	50,000,000	2014/07/15	2015/04/20	12.50
68418	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Apr 2015 A	80,000,000	2014/07/15	2015/04/20	20.00
68335	HK Bank - Anhui Conch R Bull CBBC Jul 2015 C	50,000,000	2014/07/15	2015/07/27	12.50
68320	HK Bank - A50 R Bull CBBC Feb 2015 E	200,000,000	2014/07/15	2015/02/23	50.00
68317	HK Bank - A50 R Bull CBBC Apr 2015 B	200,000,000	2014/07/15	2015/04/27	50.00
68384	HK Bank - GCL-Poly Energy R Bull CBBC Oct 2015 A	40,000,000	2014/07/15	2015/10/26	10.00
68304	HK Bank - HSCEI R Bull CBBC Jan 2015 B	100,000,000	2014/07/15	2015/01/29	25.00
68305	HK Bank - HSCEI R Bear CBBC Mar 2015 A	68,000,000	2014/07/15	2015/03/30	17.00
68330	HK Bank - Hutchison R Bull CBBC Jan 2015 A	60,000,000	2014/07/15	2015/01/19	15.00
68325	HK Bank - Hutchison R Bear CBBC Apr 2015 A	50,000,000	2014/07/15	2015/04/27	12.50
68323	HK Bank - Sands China R Bear CBBC May 2015 A	60,000,000	2014/07/15	2015/05/04	15.00
68391	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 K	100,000,000	2014/07/15	2015/06/29	25.00
68392	UBS AG - HSI R Bull CBBC Mar 2015 H	300,000,000	2014/07/15	2015/03/30	75.00
68510	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 A	200,000,000	2014/07/16	2014/12/30	50.00

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68464	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 S	200,000,000	2014/07/16	2014/12/30	50.00
68511	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 O	200,000,000	2014/07/16	2015/01/29	50.00
68512	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 P	200,000,000	2014/07/16	2015/01/29	50.00
68469	Credit Suisse AG - HSI R Bull CBBC Jan 2015 K	238,000,000	2014/07/16	2015/01/29	59.50
68470	Credit Suisse AG - HSI R Bull CBBC Jan 2015 L	238,000,000	2014/07/16	2015/01/29	59.50
68514	Credit Suisse AG - HSI R Bull CBBC Jan 2015 N	238,000,000	2014/07/16	2015/01/29	59.50
68467	Credit Suisse AG - HSI R Bull CBBC Jan 2015 Y	238,000,000	2014/07/16	2015/01/29	59.50
68468	Credit Suisse AG - HSI R Bull CBBC Jan 2015 Z	238,000,000	2014/07/16	2015/01/29	59.50
68516	Credit Suisse AG - HSI R Bear CBBC Oct 2014 M	238,000,000	2014/07/16	2014/10/30	59.50
68517	Credit Suisse AG - HSI R Bear CBBC Oct 2014 N	238,000,000	2014/07/16	2014/10/30	59.50
68523	Credit Suisse AG - HSI R Bear CBBC Oct 2014 P	238,000,000	2014/07/16	2014/10/30	59.50
68538	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15A	80,000,000	2014/07/16	2015/04/20	20.00
68539	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Apr15A	100,000,000	2014/07/16	2015/04/20	25.00
68540	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 15 A	100,000,000	2014/07/16	2015/04/20	25.00
68545	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 A	150,000,000	2014/07/16	2014/11/27	39.30
68542	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 D	150,000,000	2014/07/16	2014/11/27	38.40
68544	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 E	150,000,000	2014/07/16	2014/11/27	38.25
68459	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 S	300,000,000	2014/07/16	2015/01/29	75.00
68449	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 M	300,000,000	2014/07/16	2015/03/30	75.00
68446	J P Morgan SP BV - HSI R Bull CBBC May 2015 A	300,000,000	2014/07/16	2015/05/28	75.00
68546	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 L	300,000,000	2014/07/16	2014/10/30	75.00
68553	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 M	300,000,000	2014/07/16	2014/10/30	75.00
68513	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 G	100,000,000	2014/07/16	2015/02/26	25.00
68502	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov 14W	200,000,000	2014/07/16	2014/11/27	50.00
68503	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14H	200,000,000	2014/07/16	2014/11/27	50.00
68508	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14I	200,000,000	2014/07/16	2014/11/27	50.00
68479	UBS AG - HSCEI R Bear CBBC Feb 2015 A	100,000,000	2014/07/16	2015/02/26	25.00
68472	UBS AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/07/16	2014/12/30	75.00
68471	UBS AG - HSI R Bull CBBC Jan 2015 T	300,000,000	2014/07/16	2015/01/29	75.00
68473	UBS AG - HSI R Bull CBBC Jan 2015 U	300,000,000	2014/07/16	2015/01/29	75.00
68532	UBS AG - HSI R Bear CBBC Oct 2014 T	300,000,000	2014/07/16	2014/10/30	75.00
68530	UBS AG - HSI R Bear CBBC Nov 2014 Q	300,000,000	2014/07/16	2014/11/27	75.00
68525	UBS AG - HSI R Bear CBBC Dec 2014 H	300,000,000	2014/07/16	2014/12/30	75.00
68490	UBS AG - Hutchison R Bull CBBC Jun 2015 C	50,000,000	2014/07/16	2015/06/15	12.50
68620	Credit Suisse AG - HSI R Bull CBBC Feb 2015 N	238,000,000	2014/07/17	2015/02/26	59.50
68621	Credit Suisse AG - HSI R Bull CBBC Feb 2015 O	238,000,000	2014/07/17	2015/02/26	59.50
68628	Credit Suisse AG - HSI R Bull CBBC Feb 2015 P	238,000,000	2014/07/17	2015/02/26	59.50
68637	Credit Suisse AG - HSI R Bull CBBC Feb 2015 Q	238,000,000	2014/07/17	2015/02/26	59.50
68707	Credit Suisse AG - HSI R Bull CBBC Feb 2015 R	238,000,000	2014/07/17	2015/02/26	59.50
68708	Credit Suisse AG - HSI R Bull CBBC Feb 2015 S	238,000,000	2014/07/17	2015/02/26	59.50
68700	Credit Suisse AG - HSI R Bear CBBC Nov 2014 P	238,000,000	2014/07/17	2014/11/27	59.50
68704	Credit Suisse AG - HSI R Bear CBBC Nov 2014 Q	238,000,000	2014/07/17	2014/11/27	59.50
68663	Credit Suisse AG - HSI R Bear CBBC Feb 2015 A	238,000,000	2014/07/17	2015/02/26	59.50
68681	Credit Suisse AG - HSI R Bear CBBC Feb 2015 B	238,000,000	2014/07/17	2015/02/26	59.50
68685	Credit Suisse AG - HSI R Bear CBBC Feb 2015 C	238,000,000	2014/07/17	2015/02/26	59.50

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68694	Credit Suisse AG - HSI R Bear CBBC Feb 2015 D	238,000,000	2014/07/17	2015/02/26	59.50
68596	HK Bank - Minsheng Bank R Bull CBBC Feb 2015 A	40,000,000	2014/07/17	2015/02/23	10.00
68582	HK Bank - HSI R Bull CBBC Jan 2015 P	400,000,000	2014/07/17	2015/01/29	100.00
68554	HK Bank - HSI R Bull CBBC Feb 2015 H	400,000,000	2014/07/17	2015/02/26	100.00
68592	HK Bank - HSI R Bear CBBC Jan 2015 I	100,000,000	2014/07/17	2015/01/29	25.00
68593	HK Bank - HSI R Bear CBBC Feb 2015 H	100,000,000	2014/07/17	2015/02/26	25.00
68589	HK Bank - HSI R Bear CBBC Mar 2015 F	100,000,000	2014/07/17	2015/03/30	25.00
68594	HK Bank - Lenovo R Bull CBBC Feb 2015 A	60,000,000	2014/07/17	2015/02/23	15.00
68595	HK Bank - TCH R Bear CBBC Apr 2015 B	100,000,000	2014/07/17	2015/04/13	25.00
68724	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 H	300,000,000	2014/07/17	2015/02/26	75.00
68730	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 N	300,000,000	2014/07/17	2014/10/30	75.00
68729	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 H	300,000,000	2014/07/17	2014/11/27	75.00
68618	Standard Chartered Bank - HSI R Bull CBBC Feb 2015 C	100,000,000	2014/07/17	2015/02/26	25.00
68597	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec 14N	200,000,000	2014/07/17	2014/12/30	50.00
68603	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14J	200,000,000	2014/07/17	2014/11/27	50.00
68722	UBS AG - HSI R Bull CBBC Jan 2015 V	300,000,000	2014/07/17	2015/01/29	75.00
68723	UBS AG - HSI R Bull CBBC Feb 2015 J	300,000,000	2014/07/17	2015/02/26	75.00
68705	UBS AG - HSI R Bear CBBC Oct 2014 R	300,000,000	2014/07/17	2014/10/30	75.00
68706	UBS AG - HSI R Bear CBBC Nov 2014 R	300,000,000	2014/07/17	2014/11/27	75.00
68745	Credit Suisse AG - HSI R Bull CBBC Jan 2015 O	238,000,000	2014/07/18	2015/01/29	59.50
68746	Credit Suisse AG - HSI R Bear CBBC Nov 2014 R	238,000,000	2014/07/18	2014/11/27	59.50
68839	Credit Suisse AG - HSI R Bear CBBC Nov 2014 S	238,000,000	2014/07/18	2014/11/27	59.50
68864	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Apr15 B	60,000,000	2014/07/18	2015/04/20	15.00
68863	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Apr15A	60,000,000	2014/07/18	2015/04/20	15.00
68852	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 B	150,000,000	2014/07/18	2014/11/27	40.65
68851	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 I	300,000,000	2014/07/18	2015/02/26	75.00
68848	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 I	300,000,000	2014/07/18	2014/11/27	75.00
68755	UBS AG - China Mobile R Bear CBBC Mar 2015 A	50,000,000	2014/07/18	2015/03/02	12.50
68762	UBS AG - CNOOC R Bear CBBC Jan 2015 B	50,000,000	2014/07/18	2015/01/19	12.50
68809	UBS AG - HSBC R Bull CBBC Jun 2015 B	50,000,000	2014/07/18	2015/06/15	12.50
68750	UBS AG - HSI R Bull CBBC Nov 2014 N	300,000,000	2014/07/18	2014/11/27	75.00
68739	UBS AG - HSI R Bear CBBC Oct 2014 S	300,000,000	2014/07/18	2014/10/30	75.00
68777	UBS AG - Hutchison R Bear CBBC Feb 2015 A	50,000,000	2014/07/18	2015/02/02	12.50
68945	Credit Suisse AG - HSI R Bull CBBC Jan 2015 I	238,000,000	2014/07/21	2015/01/29	59.50
68947	Credit Suisse AG - HSI R Bear CBBC Nov 2014 T	238,000,000	2014/07/21	2014/11/27	59.50
68889	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 N	300,000,000	2014/07/21	2015/03/30	75.00
68930	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 P	200,000,000	2014/07/21	2014/12/30	50.00
68940	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 K	200,000,000	2014/07/21	2014/11/27	50.00
68953	UBS AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2014/07/21	2014/10/30	75.00
68911	UBS AG - HSI R Bull CBBC Oct 2015 A	300,000,000	2014/07/21	2015/10/29	75.00
68868	UBS AG - HSI R Bear CBBC Nov 2014 S	300,000,000	2014/07/21	2014/11/27	75.00
68888	UBS AG - HSI R Bear CBBC Dec 2014 I	350,000,000	2014/07/21	2014/12/30	87.50
68906	UBS AG - HSI R Bear CBBC May 2015 A	300,000,000	2014/07/21	2015/05/28	75.00
66994 [#]	HK Bank - HSI R Bear CBBC Oct 2014 B	280,000,000	2014/07/21	2014/10/30	28.56
69053	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2014 E	100,000,000	2014/07/22	2014/12/30	25.00

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69050	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 B	200,000,000	2014/07/22	2014/12/30	50.00
69051	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 Q	200,000,000	2014/07/22	2015/01/29	50.00
69019	Credit Suisse AG - HSI R Bull CBBC Feb 2015 T	238,000,000	2014/07/22	2015/02/26	59.50
69025	Credit Suisse AG - HSI R Bull CBBC Feb 2015 U	238,000,000	2014/07/22	2015/02/26	59.50
69009	Credit Suisse AG - HSI R Bear CBBC Oct 2014 Q	238,000,000	2014/07/22	2014/10/30	59.50
69010	Credit Suisse AG - HSI R Bear CBBC Oct 2014 R	238,000,000	2014/07/22	2014/10/30	59.50
69033	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Apr15A	80,000,000	2014/07/22	2015/04/20	20.00
69035	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Apr15B	80,000,000	2014/07/22	2015/04/20	20.00
69036	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15B	80,000,000	2014/07/22	2015/04/20	20.00
69018	Bank of East Asia - Sinopec Corp R Bull CBBC Feb 2015 A	50,000,000	2014/07/22	2015/02/26	12.50
69080	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 T	300,000,000	2014/07/22	2015/01/29	75.00
69079	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 J	300,000,000	2014/07/22	2015/02/26	75.00
69077	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 J	300,000,000	2014/07/22	2014/11/27	75.00
68978	Standard Chartered Bank - China Mobile R Bull CBBC Jul 2015A	50,000,000	2014/07/22	2015/07/16	12.50
68979	Standard Chartered Bank - China Mobile R Bear CBBC Mar 2015A	50,000,000	2014/07/22	2015/03/06	12.50
69013	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 H	100,000,000	2014/07/22	2015/02/26	25.00
68956	Standard Chartered Bank - Lenovo R Bull CBBC Sep 2015 A	50,000,000	2014/07/22	2015/09/08	12.50
68976	Standard Chartered Bank - Lenovo R Bear CBBC Apr 2015 B	50,000,000	2014/07/22	2015/04/14	12.50
69012	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 W	200,000,000	2014/07/22	2014/12/30	50.00
69072	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/07/22	2014/11/27	75.00
69059	UBS AG - HSI R Bull CBBC Dec 2014 L	300,000,000	2014/07/22	2014/12/30	75.00
69008	UBS AG - HSI R Bear CBBC Dec 2014 J	350,000,000	2014/07/22	2014/12/30	87.50
69226	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 Z	200,000,000	2014/07/23	2014/12/30	50.00
69134	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 R	200,000,000	2014/07/23	2015/01/29	50.00
69181	Credit Suisse AG - HSI R Bull CBBC Jan 2015 M	238,000,000	2014/07/23	2015/01/29	59.50
69186	Credit Suisse AG - HSI R Bull CBBC Jan 2015 R	238,000,000	2014/07/23	2015/01/29	59.50
69138	Credit Suisse AG - HSI R Bear CBBC Oct 2014 S	238,000,000	2014/07/23	2014/10/30	59.50
69139	Credit Suisse AG - HSI R Bear CBBC Oct 2014 T	238,000,000	2014/07/23	2014/10/30	59.50
69145	Credit Suisse AG - HSI R Bear CBBC Jan 2015 G	238,000,000	2014/07/23	2015/01/29	59.50
69156	Credit Suisse AG - HSI R Bear CBBC Jan 2015 H	238,000,000	2014/07/23	2015/01/29	59.50
69143	Credit Suisse AG - HSI R Bear CBBC Feb 2015 E	238,000,000	2014/07/23	2015/02/26	59.50
69149	Credit Suisse AG - HSI R Bear CBBC Feb 2015 F	238,000,000	2014/07/23	2015/02/26	59.50
69161	Credit Suisse AG - HSI R Bear CBBC Feb 2015 G	238,000,000	2014/07/23	2015/02/26	59.50
69173	Credit Suisse AG - HSI R Bear CBBC Feb 2015 H	238,000,000	2014/07/23	2015/02/26	64.26
69222	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 C	150,000,000	2014/07/23	2014/11/27	43.20
69124	HK Bank - AIA R Bull CBBC Jun 2015 B	60,000,000	2014/07/23	2015/06/15	15.00
69127	HK Bank - AIA R Bear CBBC Mar 2015 A	40,000,000	2014/07/23	2015/03/30	10.00
69128	HK Bank - AIA R Bear CBBC Apr 2015 B	40,000,000	2014/07/23	2015/04/13	10.00
69090	HK Bank - China Mobile R Bull CBBC Jun 2015 A	120,000,000	2014/07/23	2015/06/29	30.00
69113	HK Bank - China Mobile R Bull CBBC Jun 2015 B	120,000,000	2014/07/23	2015/06/22	30.00
69115	HK Bank - China Mobile R Bear CBBC Apr 2015 C	40,000,000	2014/07/23	2015/04/27	10.00
69084	HK Bank - HSI R Bull CBBC Jan 2015 Q	100,000,000	2014/07/23	2015/01/29	25.00
69085	HK Bank - HSI R Bull CBBC Feb 2015 I	100,000,000	2014/07/23	2015/02/26	25.00
69083	HK Bank - HSI R Bull CBBC Mar 2015 D	100,000,000	2014/07/23	2015/03/30	25.00
69220	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 K	300,000,000	2014/07/23	2015/02/26	75.00

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69221	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 O	300,000,000	2014/07/23	2015/03/30	75.00
69200	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 K	300,000,000	2014/07/23	2014/11/27	75.00
69219	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 L	300,000,000	2014/07/23	2014/11/27	75.00
69133	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 I	100,000,000	2014/07/23	2015/02/26	25.00
69188	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 A	200,000,000	2014/07/23	2015/02/26	50.00
69195	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 L	200,000,000	2014/07/23	2014/11/27	50.00
69179	UBS AG - China Mobile R Bear CBBC Apr 2015 A	50,000,000	2014/07/23	2015/04/13	12.50
69187	UBS AG - HSI R Bull CBBC Jan 2015 W	300,000,000	2014/07/23	2015/01/29	75.00
69140	UBS AG - HSI R Bear CBBC Dec 2014 K	300,000,000	2014/07/23	2014/12/30	75.00
69280	Credit Suisse AG - HSI R Bull CBBC Mar 2015 G	238,000,000	2014/07/24	2015/03/30	59.50
69281	Credit Suisse AG - HSI R Bear CBBC Nov 2014 U	238,000,000	2014/07/24	2014/11/27	59.50
69256	HK Bank - BOCL R Bear CBBC May 2015 B	40,000,000	2014/07/24	2015/05/04	10.00
69246	HK Bank - China Mobile R Bull CBBC Jun 2015 C	120,000,000	2014/07/24	2015/06/26	30.00
69227	HK Bank - China Mobile R Bear CBBC Jul 2015 A	60,000,000	2014/07/24	2015/07/13	15.00
69228	HK Bank - China Mobile R Bear CBBC Jul 2015 B	60,000,000	2014/07/24	2015/07/27	15.00
69229	HK Bank - China Mobile R Bear CBBC Jul 2015 C	60,000,000	2014/07/24	2015/07/20	15.00
69249	HK Bank - Minsheng Bank R Bear CBBC May 2015 A	40,000,000	2014/07/24	2015/05/11	10.00
69250	HK Bank - Sands China R Bear CBBC Apr 2015 A	60,000,000	2014/07/24	2015/04/27	15.00
69288	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 P	300,000,000	2014/07/24	2015/03/30	75.00
69287	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 M	300,000,000	2014/07/24	2014/11/27	75.00
69286	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 A	300,000,000	2014/07/24	2015/02/26	75.00
69257	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 M	100,000,000	2014/07/24	2015/04/29	25.00
69265	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 N	100,000,000	2014/07/24	2014/11/27	25.00
69274	UBS AG - China Mobile R Bull CBBC Jul 2015 B	50,000,000	2014/07/24	2015/07/06	12.50
69273	UBS AG - HSI R Bull CBBC Dec 2014 M	300,000,000	2014/07/24	2014/12/30	75.00
69284	UBS AG - HSI R Bear CBBC Oct 2014 U	300,000,000	2014/07/24	2014/10/30	75.00
69285	UBS AG - HSI R Bear CBBC Dec 2014 L	350,000,000	2014/07/24	2014/12/30	87.50
69322	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2014 G	200,000,000	2014/07/25	2014/12/30	50.00
69315	Credit Suisse AG - HSI R Bull CBBC Mar 2015 H	238,000,000	2014/07/25	2015/03/30	59.50
69317	Credit Suisse AG - HSI R Bull CBBC Mar 2015 I	238,000,000	2014/07/25	2015/03/30	59.50
69318	Credit Suisse AG - HSI R Bull CBBC Mar 2015 J	238,000,000	2014/07/25	2015/03/30	59.50
69367	Credit Suisse AG - HSI R Bear CBBC Oct 2014 V	238,000,000	2014/07/25	2014/10/30	59.50
69292	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 L	300,000,000	2014/07/25	2015/02/26	75.00
69290	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 Q	300,000,000	2014/07/25	2015/03/30	75.00
69373	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 D	300,000,000	2014/07/25	2014/12/30	75.00
69295	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 N	100,000,000	2014/07/25	2015/04/29	25.00
69302	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 O	100,000,000	2014/07/25	2015/04/29	25.00
69346	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 M	200,000,000	2014/07/25	2014/11/27	50.00
69325	UBS AG - HSI R Bull CBBC Oct 2014 H	350,000,000	2014/07/25	2014/10/30	87.50
69324	UBS AG - HSI R Bull CBBC Dec 2014 H	300,000,000	2014/07/25	2014/12/30	75.00
69323	UBS AG - HSI R Bull CBBC Jan 2015 X	300,000,000	2014/07/25	2015/01/29	75.00
69347	UBS AG - HSI R Bear CBBC Nov 2014 T	300,000,000	2014/07/25	2014/11/27	75.00
69366	UBS AG - HSI R Bear CBBC Nov 2014 U	350,000,000	2014/07/25	2014/11/27	87.50
69391	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 S	200,000,000	2014/07/28	2015/01/29	50.00
69376	Credit Suisse AG - HSI R Bull CBBC Jan 2015 Q	238,000,000	2014/07/28	2015/01/29	59.50

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69377	Credit Suisse AG - HSI R Bear CBBC Jan 2015 I	238,000,000	2014/07/28	2015/01/29	59.50
69402	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Apr 2015 A	50,000,000	2014/07/28	2015/04/20	12.50
69405	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Apr 2015 B	50,000,000	2014/07/28	2015/04/20	12.50
69401	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Apr 2015 B	50,000,000	2014/07/28	2015/04/20	12.50
69394	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 M	300,000,000	2014/07/28	2015/02/26	75.00
69397	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 N	300,000,000	2014/07/28	2014/11/27	75.00
69398	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 E	300,000,000	2014/07/28	2014/12/30	75.00
69378	UBS AG - HSI R Bull CBBC Jan 2015 Y	300,000,000	2014/07/28	2015/01/29	75.00
69379	UBS AG - HSI R Bear CBBC Oct 2014 W	300,000,000	2014/07/28	2014/10/30	75.00
69481	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 A	200,000,000	2014/07/29	2015/06/29	50.00
69516	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 B	200,000,000	2014/07/29	2015/06/29	50.00
69419	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 T	200,000,000	2014/07/29	2015/01/29	50.00
69421	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 U	200,000,000	2014/07/29	2015/01/29	50.00
69482	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 V	200,000,000	2014/07/29	2015/01/29	50.00
69517	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 W	200,000,000	2014/07/29	2015/01/29	50.00
69518	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 X	200,000,000	2014/07/29	2015/01/29	50.00
69510	Credit Suisse AG - China Mobile R Bull CBBC Jan 2015 A	50,000,000	2014/07/29	2015/01/30	12.50
69511	Credit Suisse AG - China Mobile R Bull CBBC Jan 2015 B	50,000,000	2014/07/29	2015/01/30	12.50
69512	Credit Suisse AG - China Mobile R Bull CBBC Jan 2015 C	50,000,000	2014/07/29	2015/01/30	12.50
69513	Credit Suisse AG - China Mobile R Bear CBBC Jan 2015 A	50,000,000	2014/07/29	2015/01/30	12.50
69514	Credit Suisse AG - China Mobile R Bear CBBC Jan 2015 B	50,000,000	2014/07/29	2015/01/30	12.50
69515	Credit Suisse AG - China Mobile R Bear CBBC Jan 2015 C	50,000,000	2014/07/29	2015/01/30	12.50
69497	Credit Suisse AG - A50 China R Bull CBBC Jan 2015 A	50,000,000	2014/07/29	2015/01/30	12.50
69498	Credit Suisse AG - A50 China R Bull CBBC Jan 2015 B	50,000,000	2014/07/29	2015/01/30	12.50
69499	Credit Suisse AG - A50 China R Bull CBBC Jan 2015 C	50,000,000	2014/07/29	2015/01/30	12.50
69500	Credit Suisse AG - A50 China R Bear CBBC Dec 2014 A	50,000,000	2014/07/29	2014/12/30	12.50
69501	Credit Suisse AG - A50 China R Bear CBBC Dec 2014 B	50,000,000	2014/07/29	2014/12/30	12.50
69507	Credit Suisse AG - HSBC R Bull CBBC Jan 2015 A	50,000,000	2014/07/29	2015/01/30	12.50
69509	Credit Suisse AG - HSBC R Bull CBBC Jan 2015 B	50,000,000	2014/07/29	2015/01/30	12.50
69519	Credit Suisse AG - HSI R Bull CBBC Feb 2015 V	238,000,000	2014/07/29	2015/02/26	59.50
69520	Credit Suisse AG - HSI R Bull CBBC Feb 2015 W	238,000,000	2014/07/29	2015/02/26	59.50
69521	Credit Suisse AG - HSI R Bull CBBC Feb 2015 X	238,000,000	2014/07/29	2015/02/26	59.50
69490	Credit Suisse AG - HSI R Bear CBBC Oct 2014 C	238,000,000	2014/07/29	2014/10/30	59.50
69496	Credit Suisse AG - HSI R Bear CBBC Oct 2014 D	238,000,000	2014/07/29	2014/10/30	59.50
69443	Credit Suisse AG - HSI R Bear CBBC Oct 2014 U	238,000,000	2014/07/29	2014/10/30	59.50
69432	Credit Suisse AG - HSI R Bear CBBC Oct 2014 W	238,000,000	2014/07/29	2014/10/30	59.50
69446	Credit Suisse AG - HSI R Bear CBBC Oct 2014 Z	238,000,000	2014/07/29	2014/10/30	59.50
69523	Credit Suisse AG - HSI R Bear CBBC Mar 2015 A	238,000,000	2014/07/29	2015/03/30	59.50
69530	Credit Suisse AG - HSI R Bear CBBC Mar 2015 B	238,000,000	2014/07/29	2015/03/30	59.50
69502	Credit Suisse AG - Hutchison R Bull CBBC Jan 2015 A	50,000,000	2014/07/29	2015/01/30	12.50
69504	Credit Suisse AG - Hutchison R Bull CBBC Jan 2015 B	50,000,000	2014/07/29	2015/01/30	12.50
69449	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15C	80,000,000	2014/07/29	2015/04/20	20.00
69450	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15D	80,000,000	2014/07/29	2015/04/20	20.00
69590	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 G	200,000,000	2014/07/29	2015/01/29	50.00
69591	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2015 H	200,000,000	2014/07/29	2015/01/29	50.00

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69592	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 F	200,000,000	2014/07/29	2015/01/29	50.00
69594	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 G	200,000,000	2014/07/29	2015/01/29	50.00
69599	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 H	200,000,000	2014/07/29	2015/01/29	50.00
69604	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2015 I	200,000,000	2014/07/29	2015/01/29	50.00
69548	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 D	150,000,000	2014/07/29	2014/11/27	37.50
69549	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 F	150,000,000	2014/07/29	2014/11/27	45.15
69550	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 G	150,000,000	2014/07/29	2014/11/27	47.25
69418	HK Bank - HSI R Bear CBBC Feb 2015 I	100,000,000	2014/07/29	2015/02/26	25.00
69408	HK Bank - PetCh R Bull CBBC Jul 2015 B	60,000,000	2014/07/29	2015/07/20	15.00
69406	HK Bank - PetCh R Bear CBBC Jul 2015 A	40,000,000	2014/07/29	2015/07/27	11.60
69611	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 U	300,000,000	2014/07/29	2015/01/29	75.00
69471	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 N	300,000,000	2014/07/29	2015/02/26	75.00
69472	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 R	300,000,000	2014/07/29	2015/03/30	75.00
69609	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 S	300,000,000	2014/07/29	2015/03/30	75.00
69469	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 O	300,000,000	2014/07/29	2014/10/30	75.00
69473	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 P	300,000,000	2014/07/29	2014/10/30	75.00
69606	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 Q	300,000,000	2014/07/29	2014/10/30	75.00
69468	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 F	300,000,000	2014/07/29	2014/12/30	75.00
69466	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 A	300,000,000	2014/07/29	2015/01/29	75.00
69580	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 B	50,000,000	2014/07/29	2014/12/30	12.50
69584	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 C	50,000,000	2014/07/29	2014/12/30	12.50
69587	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 D	50,000,000	2014/07/29	2014/12/30	12.50
69579	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2014 A	50,000,000	2014/07/29	2014/12/30	12.50
69552	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 P	100,000,000	2014/07/29	2015/04/29	25.00
69425	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 L	100,000,000	2014/07/29	2015/06/29	25.00
69566	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 O	100,000,000	2014/07/29	2014/11/27	25.00
69474	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 B	200,000,000	2014/07/29	2015/02/26	50.00
69547	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 C	200,000,000	2014/07/29	2015/02/26	50.00
69479	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 N	200,000,000	2014/07/29	2014/11/27	50.00
69480	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 A	200,000,000	2014/07/29	2015/01/29	50.00
69575	UBS AG - A50 China R Bull CBBC Feb 2015 E	100,000,000	2014/07/29	2015/02/09	25.00
69456	UBS AG - HSCEI R Bear CBBC Jan 2015 A	100,000,000	2014/07/29	2015/01/29	25.00
69576	UBS AG - HSI R Bull CBBC Nov 2014 L	300,000,000	2014/07/29	2014/11/27	75.00
69577	UBS AG - HSI R Bull CBBC Dec 2014 E	300,000,000	2014/07/29	2014/12/30	75.00
69460	UBS AG - HSI R Bear CBBC Oct 2014 B	300,000,000	2014/07/29	2014/10/30	75.00
69457	UBS AG - HSI R Bear CBBC Oct 2014 X	300,000,000	2014/07/29	2014/10/30	75.00
69578	UBS AG - HSI R Bear CBBC Nov 2014 V	300,000,000	2014/07/29	2014/11/27	75.00
69452	UBS AG - HSI R Bear CBBC Dec 2014 M	300,000,000	2014/07/29	2014/12/30	75.00
69463	UBS AG - HSI R Bear CBBC Dec 2014 N	350,000,000	2014/07/29	2014/12/30	87.50
69716	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2014 A	100,000,000	2014/07/30	2014/12/30	25.00
69712	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 C	200,000,000	2014/07/30	2015/06/29	50.00
69715	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 D	200,000,000	2014/07/30	2015/06/29	50.00
69648	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 C	200,000,000	2014/07/30	2015/01/29	50.00
69637	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 E	200,000,000	2014/07/30	2015/01/29	50.00
69634	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 Y	200,000,000	2014/07/30	2015/01/29	50.00

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69636	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 Z	200,000,000	2014/07/30	2015/01/29	50.00
69721	Credit Suisse AG - HSI R Bull CBBC Mar 2015 K	238,000,000	2014/07/30	2015/03/30	59.50
69722	Credit Suisse AG - HSI R Bull CBBC Mar 2015 L	238,000,000	2014/07/30	2015/03/30	59.50
69723	Credit Suisse AG - HSI R Bull CBBC Mar 2015 M	238,000,000	2014/07/30	2015/03/30	59.50
69724	Credit Suisse AG - HSI R Bull CBBC Mar 2015 N	238,000,000	2014/07/30	2015/03/30	59.50
69703	Credit Suisse AG - HSI R Bull CBBC Apr 2015 A	238,000,000	2014/07/30	2015/04/29	59.50
69688	Credit Suisse AG - HSI R Bear CBBC Nov 2014 V	238,000,000	2014/07/30	2014/11/27	59.50
69689	Credit Suisse AG - HSI R Bear CBBC Nov 2014 W	238,000,000	2014/07/30	2014/11/27	59.50
69691	Credit Suisse AG - HSI R Bear CBBC Nov 2014 X	238,000,000	2014/07/30	2014/11/27	59.50
69694	Credit Suisse AG - HSI R Bear CBBC Nov 2014 Y	238,000,000	2014/07/30	2014/11/27	59.50
69700	Credit Suisse AG - HSI R Bear CBBC Nov 2014 Z	238,000,000	2014/07/30	2014/11/27	59.50
69696	Credit Suisse AG - HSI R Bear CBBC Dec 2014 L	238,000,000	2014/07/30	2014/12/30	59.50
69698	Credit Suisse AG - HSI R Bear CBBC Jan 2015 J	238,000,000	2014/07/30	2015/01/29	59.50
69702	Credit Suisse AG - HSI R Bear CBBC Jan 2015 K	238,000,000	2014/07/30	2015/01/29	59.50
69701	Credit Suisse AG - HSI R Bear CBBC Feb 2015 I	238,000,000	2014/07/30	2015/02/26	59.50
69704	Credit Suisse AG - HSI R Bear CBBC Apr 2015 A	238,000,000	2014/07/30	2015/04/29	59.50
69736	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 H	150,000,000	2014/07/30	2014/11/27	47.40
69623	HK Bank - AIA R Bear CBBC Apr 2015 C	40,000,000	2014/07/30	2015/04/08	10.00
69627	HK Bank - COVS R Bull CBBC Oct 2015 A	50,000,000	2014/07/30	2015/10/26	18.00
69615	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2015 C	80,000,000	2014/07/30	2015/03/30	20.00
69618	HK Bank - A50 R Bull CBBC Mar 2015 F	200,000,000	2014/07/30	2015/03/30	50.00
69630	HK Bank - HSI R Bull CBBC Jan 2015 R	100,000,000	2014/07/30	2015/01/29	25.00
69628	HK Bank - HSI R Bull CBBC Feb 2015 J	100,000,000	2014/07/30	2015/02/26	25.00
69612	HK Bank - HSI R Bear CBBC Mar 2015 G	100,000,000	2014/07/30	2015/03/30	25.00
69629	HK Bank - HSI R Bear CBBC Apr 2015 C	100,000,000	2014/07/30	2015/04/29	25.00
69613	HK Bank - HSI R Bear CBBC May 2015 A	400,000,000	2014/07/30	2015/05/28	100.00
69622	HK Bank - TCH R Bull CBBC Apr 2015 A	100,000,000	2014/07/30	2015/04/13	25.00
69747	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 O	300,000,000	2014/07/30	2015/02/26	75.00
69746	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 T	300,000,000	2014/07/30	2015/03/30	75.00
69744	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 A	300,000,000	2014/07/30	2015/04/29	75.00
69745	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 B	300,000,000	2014/07/30	2015/04/29	75.00
69632	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 R	300,000,000	2014/07/30	2014/10/30	75.00
69633	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 S	300,000,000	2014/07/30	2014/10/30	75.00
69742	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 T	300,000,000	2014/07/30	2014/10/30	75.00
69743	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 U	300,000,000	2014/07/30	2014/10/30	75.00
69741	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 O	300,000,000	2014/07/30	2014/11/27	75.00
69631	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 G	300,000,000	2014/07/30	2014/12/30	75.00
69740	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 H	300,000,000	2014/07/30	2014/12/30	75.00
69739	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 B	300,000,000	2014/07/30	2015/01/29	75.00
69720	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 E	50,000,000	2014/07/30	2014/12/30	12.50
69717	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 G	50,000,000	2014/07/30	2014/11/27	12.50
69718	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 H	50,000,000	2014/07/30	2014/11/27	12.50
69719	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 I	50,000,000	2014/07/30	2014/11/27	12.50
69649	Standard Chartered Bank - China Mobile R Bull CBBC Jul 2015B	50,000,000	2014/07/30	2015/07/15	12.50
69663	Standard Chartered Bank - China Mobile R Bear CBBC Mar 2015B	50,000,000	2014/07/30	2015/03/05	12.50

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69664	Standard Chartered Bank - Minsheng Bank R Bull CBBC Jul 15 A	50,000,000	2014/07/30	2015/07/17	12.50
69674	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 J	100,000,000	2014/07/30	2014/12/30	25.00
69690	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 Q	100,000,000	2014/07/30	2015/04/29	25.00
69676	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 P	100,000,000	2014/07/30	2014/11/27	25.00
69685	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 D	200,000,000	2014/07/30	2015/02/26	50.00
69686	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 E	200,000,000	2014/07/30	2015/02/26	50.00
69708	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 F	200,000,000	2014/07/30	2015/02/26	50.00
69705	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 A	200,000,000	2014/07/30	2015/04/29	50.00
69707	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 B	200,000,000	2014/07/30	2015/04/29	50.00
69687	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 O	200,000,000	2014/07/30	2014/11/27	50.00
69735	UBS AG - Cheung Kong R Bull CBBC Jun 2015 A	50,000,000	2014/07/30	2015/06/15	12.50
69734	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2015 D	50,000,000	2014/07/30	2015/02/16	12.50
69733	UBS AG - HSCEI R Bull CBBC Feb 2015 B	100,000,000	2014/07/30	2015/02/26	25.00
69730	UBS AG - HSCEI R Bear CBBC Jan 2015 B	100,000,000	2014/07/30	2015/01/29	25.00
69725	UBS AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2014/07/30	2014/10/30	75.00
69727	UBS AG - HSI R Bull CBBC Jan 2015 A	300,000,000	2014/07/30	2015/01/29	75.00
69726	UBS AG - HSI R Bull CBBC Jan 2015 Z	300,000,000	2014/07/30	2015/01/29	75.00
69678	UBS AG - HSI R Bear CBBC Oct 2014 O	300,000,000	2014/07/30	2014/10/30	75.00
69683	UBS AG - HSI R Bear CBBC Nov 2014 W	350,000,000	2014/07/30	2014/11/27	87.50
69729	UBS AG - HSI R Bear CBBC Jan 2015 F	300,000,000	2014/07/30	2015/01/29	75.00
69841	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 E	200,000,000	2014/07/31	2015/06/29	50.00
69842	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 F	200,000,000	2014/07/31	2015/06/29	50.00
69845	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 D	200,000,000	2014/07/31	2015/01/29	50.00
69763	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 F	200,000,000	2014/07/31	2015/01/29	50.00
69764	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 G	200,000,000	2014/07/31	2015/01/29	50.00
69767	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 H	200,000,000	2014/07/31	2015/01/29	50.00
69846	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 I	200,000,000	2014/07/31	2015/01/29	50.00
69824	Credit Suisse AG - HSI R Bull CBBC Jan 2015 U	238,000,000	2014/07/31	2015/01/29	59.50
69825	Credit Suisse AG - HSI R Bull CBBC Jan 2015 V	238,000,000	2014/07/31	2015/01/29	59.50
69826	Credit Suisse AG - HSI R Bull CBBC Jan 2015 W	238,000,000	2014/07/31	2015/01/29	59.50
69827	Credit Suisse AG - HSI R Bull CBBC Jan 2015 X	238,000,000	2014/07/31	2015/01/29	59.50
69828	Credit Suisse AG - HSI R Bull CBBC Jan 2015 Y	238,000,000	2014/07/31	2015/01/29	59.50
69823	Credit Suisse AG - HSI R Bear CBBC Oct 2014 B	238,000,000	2014/07/31	2014/10/30	59.50
69770	Credit Suisse AG - HSI R Bear CBBC Oct 2014 E	238,000,000	2014/07/31	2014/10/30	59.50
69771	Credit Suisse AG - HSI R Bear CBBC Oct 2014 O	238,000,000	2014/07/31	2014/10/30	59.50
69772	Credit Suisse AG - HSI R Bear CBBC Apr 2015 B	238,000,000	2014/07/31	2015/04/29	59.50
69773	Credit Suisse AG - HSI R Bear CBBC Apr 2015 C	238,000,000	2014/07/31	2015/04/29	61.88
69774	Credit Suisse AG - HSI R Bear CBBC Apr 2015 D	238,000,000	2014/07/31	2015/04/29	71.40
69851	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 E	150,000,000	2014/07/31	2014/11/27	38.55
69852	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 I	150,000,000	2014/07/31	2014/11/27	51.15
69855	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 J	150,000,000	2014/07/31	2014/11/27	52.95
69759	HK Bank - ABC R Bull CBBC Aug 2015 C	80,000,000	2014/07/31	2015/08/03	20.00
69758	HK Bank - BOCL R Bull CBBC Jul 2015 B	80,000,000	2014/07/31	2015/07/20	20.00
69760	HK Bank - China Cinda R Bull CBBC Apr 2015 A	50,000,000	2014/07/31	2015/04/27	12.50
69757	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2015 D	80,000,000	2014/07/31	2015/03/16	20.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69756	HK Bank - A50 R Bull CBBC Mar 2015 G	200,000,000	2014/07/31	2015/03/16	50.00
69753	HK Bank - HSCEI R Bull CBBC Mar 2015 A	100,000,000	2014/07/31	2015/03/30	25.00
69754	HK Bank - HSCEI R Bear CBBC Apr 2015 A	68,000,000	2014/07/31	2015/04/29	17.00
69755	HK Bank - HSCEI R Bear CBBC May 2015 A	100,000,000	2014/07/31	2015/05/28	25.00
69749	HK Bank - HSI R Bull CBBC Dec 2014 X	100,000,000	2014/07/31	2014/12/30	25.00
69750	HK Bank - HSI R Bull CBBC Feb 2015 K	400,000,000	2014/07/31	2015/02/26	100.00
69748	HK Bank - HSI R Bull CBBC Mar 2015 E	100,000,000	2014/07/31	2015/03/30	25.00
69751	HK Bank - HSI R Bear CBBC Mar 2015 H	100,000,000	2014/07/31	2015/03/30	25.00
69752	HK Bank - HSI R Bear CBBC Mar 2015 I	400,000,000	2014/07/31	2015/03/30	100.00
69849	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 V	300,000,000	2014/07/31	2015/01/29	75.00
69785	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 U	300,000,000	2014/07/31	2015/03/30	75.00
69791	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 V	300,000,000	2014/07/31	2015/03/30	75.00
69783	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 C	300,000,000	2014/07/31	2015/04/29	75.00
69782	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 V	300,000,000	2014/07/31	2014/10/30	75.00
69848	J P Morgan SP BV - HSI R Bear CBBC Oct 2014 W	300,000,000	2014/07/31	2014/10/30	75.00
69847	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 P	300,000,000	2014/07/31	2014/11/27	75.00
69781	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 I	300,000,000	2014/07/31	2014/12/30	75.00
69793	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 F	50,000,000	2014/07/31	2014/12/30	12.50
69798	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 J	50,000,000	2014/07/31	2014/11/27	12.50
69799	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2014 K	50,000,000	2014/07/31	2014/11/27	12.50
69769	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 R	100,000,000	2014/07/31	2015/04/29	25.00
69838	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 S	100,000,000	2014/07/31	2015/04/29	25.00
69768	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 Q	100,000,000	2014/07/31	2014/11/27	25.00
69829	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 R	100,000,000	2014/07/31	2014/11/27	25.00
69832	Standard Chartered Bank - HSI R Bear CBBC Nov 2014 S	100,000,000	2014/07/31	2014/11/27	25.00
69800	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 G	200,000,000	2014/07/31	2015/02/26	50.00
69801	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 H	200,000,000	2014/07/31	2015/02/26	50.00
69850	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 I	200,000,000	2014/07/31	2015/02/26	50.00
69804	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 P	200,000,000	2014/07/31	2014/11/27	50.00
69805	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 H	200,000,000	2014/07/31	2014/12/30	50.00
69806	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 I	200,000,000	2014/07/31	2014/12/30	50.00
69807	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 A	400,000,000	2014/07/31	2015/02/26	100.00
69821	UBS AG - China Mobile R Bear CBBC May 2015 A	50,000,000	2014/07/31	2015/05/04	12.50
69820	UBS AG - HSCEI R Bull CBBC Mar 2015 A	100,000,000	2014/07/31	2015/03/30	25.00
69818	UBS AG - HSCEI R Bear CBBC Feb 2015 B	100,000,000	2014/07/31	2015/02/26	25.00
69858	UBS AG - HSI R Bull CBBC Dec 2014 X	300,000,000	2014/07/31	2014/12/30	75.00
69856	UBS AG - HSI R Bull CBBC Jan 2015 B	300,000,000	2014/07/31	2015/01/29	75.00
69857	UBS AG - HSI R Bull CBBC Feb 2015 K	300,000,000	2014/07/31	2015/02/26	75.00
69860	UBS AG - HSI R Bull CBBC Feb 2015 L	300,000,000	2014/07/31	2015/02/26	75.00
69817	UBS AG - HSI R Bull CBBC Jul 2015 D	300,000,000	2014/07/31	2015/07/30	75.00
69779	UBS AG - HSI R Bear CBBC Dec 2014 O	300,000,000	2014/07/31	2014/12/30	75.00
69778	UBS AG - HSI R Bear CBBC Jan 2015 G	300,000,000	2014/07/31	2015/01/29	75.00
69780	UBS AG - HSI R Bear CBBC Jan 2015 H	350,000,000	2014/07/31	2015/01/29	87.50
69815	UBS AG - HSI R Bear CBBC Feb 2015 A	300,000,000	2014/07/31	2015/02/26	75.00
69814	UBS AG - HSI R Bear CBBC Mar 2015 B	300,000,000	2014/07/31	2015/03/30	94.50

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69816	UBS AG - HSI R Bear CBBC Mar 2015 C	300,000,000	2014/07/31	2015/03/30	75.00
69958	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 G	200,000,000	2014/08/01	2015/06/29	50.00
69886	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 J	200,000,000	2014/08/01	2015/01/29	50.00
69887	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 K	200,000,000	2014/08/01	2015/01/29	50.00
69888	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 L	200,000,000	2014/08/01	2015/01/29	50.00
69889	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 O	200,000,000	2014/08/01	2015/01/29	54.00
69956	Credit Suisse AG - HSI R Bull CBBC Feb 2015 B	238,000,000	2014/08/01	2015/02/26	59.50
69950	Credit Suisse AG - HSI R Bull CBBC Feb 2015 Y	238,000,000	2014/08/01	2015/02/26	59.50
69951	Credit Suisse AG - HSI R Bull CBBC Feb 2015 Z	238,000,000	2014/08/01	2015/02/26	59.50
69946	Credit Suisse AG - HSI R Bear CBBC Dec 2014 M	238,000,000	2014/08/01	2014/12/30	59.50
69947	Credit Suisse AG - HSI R Bear CBBC Dec 2014 N	238,000,000	2014/08/01	2014/12/30	59.50
69966	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Apr15B	100,000,000	2014/08/01	2015/04/20	25.00
69971	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 15 C	100,000,000	2014/08/01	2015/04/20	25.00
69972	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 15 D	100,000,000	2014/08/01	2015/04/20	25.00
69879	HK Bank - China Mobile R Bull CBBC Jul 2015 E	120,000,000	2014/08/01	2015/07/06	30.00
69880	HK Bank - China Mobile R Bear CBBC Jul 2015 D	60,000,000	2014/08/01	2015/07/23	15.00
69871	HK Bank - China Life R Bull CBBC Jul 2015 A	60,000,000	2014/08/01	2015/07/06	15.00
69869	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 B	80,000,000	2014/08/01	2015/04/13	20.00
69866	HK Bank - A50 R Bull CBBC Apr 2015 C	200,000,000	2014/08/01	2015/04/17	50.00
69867	HK Bank - A50 R Bull CBBC Apr 2015 D	200,000,000	2014/08/01	2015/04/13	50.00
69862	HK Bank - HSCEI R Bull CBBC Apr 2015 A	100,000,000	2014/08/01	2015/04/29	25.00
69874	HK Bank - HSI R Bull CBBC Jan 2015 S	100,000,000	2014/08/01	2015/01/29	25.00
69873	HK Bank - HSI R Bull CBBC Feb 2015 L	100,000,000	2014/08/01	2015/02/26	25.00
69872	HK Bank - HSI R Bull CBBC Mar 2015 F	100,000,000	2014/08/01	2015/03/30	25.00
69875	HK Bank - HSI R Bear CBBC Feb 2015 J	100,000,000	2014/08/01	2015/02/26	25.00
69861	HK Bank - HSI R Bear CBBC Mar 2015 J	400,000,000	2014/08/01	2015/03/30	100.00
69877	HK Bank - Hutchison R Bull CBBC Jun 2015 B	60,000,000	2014/08/01	2015/06/22	15.00
69876	HK Bank - Hutchison R Bear CBBC Apr 2015 B	50,000,000	2014/08/01	2015/04/20	12.50
69908	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 W	300,000,000	2014/08/01	2015/01/29	75.00
69909	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 X	300,000,000	2014/08/01	2015/01/29	75.00
69965	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 Y	300,000,000	2014/08/01	2015/01/29	75.00
69907	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 Q	300,000,000	2014/08/01	2014/11/27	75.00
69963	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 J	300,000,000	2014/08/01	2014/12/30	75.00
69964	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 K	300,000,000	2014/08/01	2014/12/30	75.00
69944	Standard Chartered Bank - HSI R Bull CBBC Apr 2015 T	100,000,000	2014/08/01	2015/04/29	25.00
69896	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 G	100,000,000	2014/08/01	2014/12/30	25.00
69898	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 H	100,000,000	2014/08/01	2014/12/30	25.00
69945	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 I	100,000,000	2014/08/01	2014/12/30	25.00
69915	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar15 A	100,000,000	2014/08/01	2015/03/30	25.00
69917	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar15 B	100,000,000	2014/08/01	2015/03/30	25.00
69910	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jun15 A	100,000,000	2014/08/01	2015/06/29	25.00
69918	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jun15 B	100,000,000	2014/08/01	2015/06/29	25.00
69919	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Mar15A	100,000,000	2014/08/01	2015/03/30	25.00
69926	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Mar15B	100,000,000	2014/08/01	2015/03/30	25.00
69923	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jun15A	100,000,000	2014/08/01	2015/06/29	25.00

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69928	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jun15B	100,000,000	2014/08/01	2015/06/29	25.00
69890	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 J	200,000,000	2014/08/01	2015/02/26	50.00
69894	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 Q	200,000,000	2014/08/01	2014/11/27	50.00
69895	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 J	200,000,000	2014/08/01	2014/12/30	50.00
69939	UBS AG - Minsheng Bank R Bull CBBC Jun 2015 A	50,000,000	2014/08/01	2015/06/22	12.50
69901	UBS AG - HSI R Bull CBBC Jan 2015 I	300,000,000	2014/08/01	2015/01/29	75.00
69961	UBS AG - HSI R Bull CBBC Jan 2015 J	300,000,000	2014/08/01	2015/01/29	75.00
69960	UBS AG - HSI R Bull CBBC Feb 2015 M	300,000,000	2014/08/01	2015/02/26	75.00
69900	UBS AG - HSI R Bear CBBC Nov 2014 X	350,000,000	2014/08/01	2014/11/27	87.50
69948	UBS AG - HSI R Bear CBBC Dec 2014 P	300,000,000	2014/08/01	2014/12/30	75.00
69933	UBS AG - HSI R Bear CBBC Feb 2015 B	300,000,000	2014/08/01	2015/02/26	78.00
69931	UBS AG - HSI R Bear CBBC Mar 2015 D	300,000,000	2014/08/01	2015/03/30	75.00
60343	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2015 A	100,000,000	2014/08/04	2015/06/29	25.00
60344	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2015 B	100,000,000	2014/08/04	2015/06/29	25.00
60288	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 H	200,000,000	2014/08/04	2015/06/29	50.00
60301	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 I	200,000,000	2014/08/04	2015/06/29	50.00
60041	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 N	200,000,000	2014/08/04	2015/01/29	50.00
60037	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2015 P	200,000,000	2014/08/04	2015/01/29	50.00
60309	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 A	200,000,000	2014/08/04	2015/02/26	50.00
60311	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 B	200,000,000	2014/08/04	2015/02/26	50.00
60320	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 C	200,000,000	2014/08/04	2015/02/26	50.00
60336	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 D	200,000,000	2014/08/04	2015/02/26	56.00
60341	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 E	200,000,000	2014/08/04	2015/02/26	60.00
60501	Credit Suisse AG - A50 China R Bull CBBC Jan 2015 D	50,000,000	2014/08/04	2015/01/30	12.50
60504	Credit Suisse AG - A50 China R Bull CBBC Jan 2015 E	50,000,000	2014/08/04	2015/01/30	12.50
60506	Credit Suisse AG - A50 China R Bear CBBC Jan 2015 A	50,000,000	2014/08/04	2015/01/30	12.50
60467	Credit Suisse AG - HSI R Bull CBBC Feb 2015 C	238,000,000	2014/08/04	2015/02/26	59.50
60469	Credit Suisse AG - HSI R Bull CBBC Mar 2015 O	238,000,000	2014/08/04	2015/03/30	59.50
60470	Credit Suisse AG - HSI R Bull CBBC Mar 2015 P	238,000,000	2014/08/04	2015/03/30	59.50
60472	Credit Suisse AG - HSI R Bull CBBC Mar 2015 Q	238,000,000	2014/08/04	2015/03/30	59.50
60499	Credit Suisse AG - HSI R Bull CBBC Mar 2015 R	238,000,000	2014/08/04	2015/03/30	59.50
69987	Credit Suisse AG - HSI R Bear CBBC Nov 2014 B	238,000,000	2014/08/04	2014/11/27	59.50
69990	Credit Suisse AG - HSI R Bear CBBC Nov 2014 C	238,000,000	2014/08/04	2014/11/27	59.50
69992	Credit Suisse AG - HSI R Bear CBBC Nov 2014 G	238,000,000	2014/08/04	2014/11/27	59.50
69993	Credit Suisse AG - HSI R Bear CBBC Nov 2014 K	238,000,000	2014/08/04	2014/11/27	59.50
60447	Credit Suisse AG - HSI R Bear CBBC Feb 2015 J	238,000,000	2014/08/04	2015/02/26	59.50
60453	Credit Suisse AG - HSI R Bear CBBC Feb 2015 K	238,000,000	2014/08/04	2015/02/26	59.50
60438	Credit Suisse AG - HSI R Bear CBBC Mar 2015 C	238,000,000	2014/08/04	2015/03/30	59.50
60443	Credit Suisse AG - HSI R Bear CBBC Mar 2015 D	238,000,000	2014/08/04	2015/03/30	59.50
60445	Credit Suisse AG - HSI R Bear CBBC Mar 2015 E	238,000,000	2014/08/04	2015/03/30	61.88
60446	Credit Suisse AG - HSI R Bear CBBC Mar 2015 F	238,000,000	2014/08/04	2015/03/30	71.40
60411	Credit Suisse AG - HSI R Bear CBBC Apr 2015 E	238,000,000	2014/08/04	2015/04/29	59.50
60416	Credit Suisse AG - HSI R Bear CBBC Apr 2015 F	238,000,000	2014/08/04	2015/04/29	59.50
60420	Credit Suisse AG - HSI R Bear CBBC Apr 2015 G	238,000,000	2014/08/04	2015/04/29	59.50
60423	Credit Suisse AG - HSI R Bear CBBC Apr 2015 H	238,000,000	2014/08/04	2015/04/29	59.50

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60542	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Apr15C	100,000,000	2014/08/04	2015/04/27	25.00
60543	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 15 E	100,000,000	2014/08/04	2015/04/27	25.00
60048	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 F	150,000,000	2014/08/04	2014/11/27	40.05
60047	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 K	150,000,000	2014/08/04	2014/11/27	44.10
69985	HK Bank - CC Bank R Bull CBBC Aug 2015 B	80,000,000	2014/08/04	2015/08/17	20.00
69979	HK Bank - Cheung Kong R Bull CBBC Jul 2015 A	60,000,000	2014/08/04	2015/07/06	15.00
69983	HK Bank - Minsheng Bank R Bull CBBC Jul 2015 B	40,000,000	2014/08/04	2015/07/27	10.00
69981	HK Bank - Sinopec Corp R Bull CBBC Jul 2015 B	80,000,000	2014/08/04	2015/07/20	20.00
69984	HK Bank - Sinopec Corp R Bear CBBC Aug 2015 A	40,000,000	2014/08/04	2015/08/03	10.00
69977	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 C	80,000,000	2014/08/04	2015/04/08	20.00
69976	HK Bank - A50 R Bull CBBC Apr 2015 E	200,000,000	2014/08/04	2015/04/08	50.00
69978	HK Bank - A50 R Bear CBBC Oct 2015 A	50,000,000	2014/08/04	2015/10/26	12.50
69975	HK Bank - HSI R Bull CBBC Mar 2015 G	100,000,000	2014/08/04	2015/03/30	25.00
69982	HK Bank - Hutchison R Bull CBBC Jul 2015 A	60,000,000	2014/08/04	2015/07/06	15.00
60050	J P Morgan SP BV - HSI R Bull CBBC Jan 2015 Z	300,000,000	2014/08/04	2015/01/29	75.00
60056	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 W	300,000,000	2014/08/04	2015/03/30	75.00
60058	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 X	300,000,000	2014/08/04	2015/03/30	75.00
60095	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 R	300,000,000	2014/08/04	2014/11/27	75.00
60099	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 S	300,000,000	2014/08/04	2014/11/27	75.00
60105	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 T	300,000,000	2014/08/04	2014/11/27	75.00
69973	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 L	300,000,000	2014/08/04	2014/12/30	75.00
69974	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 M	300,000,000	2014/08/04	2014/12/30	75.00
60074	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 N	300,000,000	2014/08/04	2014/12/30	75.00
60088	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 O	300,000,000	2014/08/04	2014/12/30	75.00
60093	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 P	300,000,000	2014/08/04	2014/12/30	75.00
60068	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 C	300,000,000	2014/08/04	2015/01/29	75.00
60073	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 D	300,000,000	2014/08/04	2015/01/29	75.00
60108	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 G	50,000,000	2014/08/04	2014/12/30	12.50
60115	Macquarie Bank Ltd. - HSI R Bull CBBC Dec 2014 H	50,000,000	2014/08/04	2014/12/30	12.50
60116	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 A	50,000,000	2014/08/04	2015/01/29	12.50
60121	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 M	100,000,000	2014/08/04	2015/06/29	25.00
60128	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 N	100,000,000	2014/08/04	2015/06/29	25.00
60124	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 J	100,000,000	2014/08/04	2014/12/30	25.00
60125	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 K	100,000,000	2014/08/04	2014/12/30	25.00
60204	Standard Chartered Bank - Hutchison R Bull CBBC Oct 2015 A	50,000,000	2014/08/04	2015/10/02	12.50
60359	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 K	200,000,000	2014/08/04	2015/02/26	50.00
60363	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 L	200,000,000	2014/08/04	2015/02/26	50.00
60364	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 M	200,000,000	2014/08/04	2015/02/26	50.00
60345	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 C	400,000,000	2014/08/04	2015/04/29	100.00
60046	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 R	200,000,000	2014/08/04	2014/11/27	50.00
60508	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 S	200,000,000	2014/08/04	2014/11/27	50.00
60366	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 K	200,000,000	2014/08/04	2014/12/30	50.00
60372	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 L	200,000,000	2014/08/04	2014/12/30	50.00
60380	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 M	200,000,000	2014/08/04	2014/12/30	50.00
60383	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 N	200,000,000	2014/08/04	2014/12/30	50.00

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60379	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 B	200,000,000	2014/08/04	2015/01/29	50.00
60391	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 C	200,000,000	2014/08/04	2015/01/29	50.00
60393	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 D	200,000,000	2014/08/04	2015/01/29	50.00
60410	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 E	400,000,000	2014/08/04	2015/01/29	100.00
60518	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 F	200,000,000	2014/08/04	2015/01/29	50.00
60519	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 G	200,000,000	2014/08/04	2015/01/29	50.00
60522	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 H	200,000,000	2014/08/04	2015/01/29	50.00
60534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 I	200,000,000	2014/08/04	2015/01/29	50.00
60539	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 J	200,000,000	2014/08/04	2015/01/29	50.00
60286	UBS AG - China Mobile R Bear CBBC Apr 2015 B	50,000,000	2014/08/04	2015/04/20	13.00
60232	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2015 C	50,000,000	2014/08/04	2015/03/23	12.50
60216	UBS AG - CSOP A50 ETF R Bear CBBC Dec 2014 A	50,000,000	2014/08/04	2014/12/08	12.50
60215	UBS AG - A50 China R Bull CBBC Apr 2015 B	100,000,000	2014/08/04	2015/04/13	26.00
60207	UBS AG - A50 China R Bear CBBC Dec 2014 A	50,000,000	2014/08/04	2014/12/01	12.50
60287	UBS AG - HSBC R Bull CBBC Jun 2015 C	100,000,000	2014/08/04	2015/06/29	25.00
60206	UBS AG - HSCEI R Bull CBBC Feb 2015 C	100,000,000	2014/08/04	2015/02/26	25.00
60205	UBS AG - HSCEI R Bear CBBC Jan 2015 C	100,000,000	2014/08/04	2015/01/29	25.00
60494	UBS AG - HSI R Bull CBBC Mar 2015 I	300,000,000	2014/08/04	2015/03/30	75.00
60500	UBS AG - HSI R Bull CBBC Mar 2015 J	300,000,000	2014/08/04	2015/03/30	75.00
60009	UBS AG - HSI R Bear CBBC Nov 2014 Y	300,000,000	2014/08/04	2014/11/27	78.00
60015	UBS AG - HSI R Bear CBBC Nov 2014 Z	300,000,000	2014/08/04	2014/11/27	75.00
60540	UBS AG - HSI R Bear CBBC Jan 2015 I	300,000,000	2014/08/04	2015/01/29	75.00
60541	UBS AG - HSI R Bear CBBC Jan 2015 J	300,000,000	2014/08/04	2015/01/29	75.00
60018	UBS AG - HSI R Bear CBBC Feb 2015 C	350,000,000	2014/08/04	2015/02/26	87.50
60483	UBS AG - HSI R Bear CBBC Feb 2015 D	300,000,000	2014/08/04	2015/02/26	75.00
60493	UBS AG - HSI R Bear CBBC Mar 2015 E	300,000,000	2014/08/04	2015/03/30	75.00
65466 [#]	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2015 I	200,000,000	2014/08/04	2015/01/29	29.20
66257 [#]	HK Bank - HSI R Bear CBBC Jan 2015 G	280,000,000	2014/08/04	2015/01/29	24.08
67979 [#]	HK Bank - HSI R Bear CBBC Apr 2015 B	280,000,000	2014/08/04	2015/04/29	30.52
60988	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 J	200,000,000	2014/08/05	2015/06/29	50.00
60994	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 K	200,000,000	2014/08/05	2015/06/29	50.00
60945	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 F	200,000,000	2014/08/05	2015/02/26	50.00
60946	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 G	200,000,000	2014/08/05	2015/02/26	50.00
60953	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 H	200,000,000	2014/08/05	2015/02/26	66.00
60777	Credit Suisse AG - China Mobile R Bull CBBC Feb 2015 A	50,000,000	2014/08/05	2015/02/27	12.50
60778	Credit Suisse AG - China Mobile R Bear CBBC Feb 2015 A	50,000,000	2014/08/05	2015/02/27	12.50
60780	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2015 A	50,000,000	2014/08/05	2015/02/27	12.50
60791	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2015 B	50,000,000	2014/08/05	2015/02/27	12.50
60796	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2015 C	50,000,000	2014/08/05	2015/02/27	12.50
60802	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Feb 2015 A	50,000,000	2014/08/05	2015/02/27	12.50
60809	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Feb 2015 B	50,000,000	2014/08/05	2015/02/27	12.50
60978	Credit Suisse AG - HSI R Bull CBBC Feb 2015 A	238,000,000	2014/08/05	2015/02/26	59.50
60979	Credit Suisse AG - HSI R Bull CBBC Feb 2015 D	238,000,000	2014/08/05	2015/02/26	59.50
60983	Credit Suisse AG - HSI R Bull CBBC Feb 2015 E	238,000,000	2014/08/05	2015/02/26	59.50
60985	Credit Suisse AG - HSI R Bull CBBC Feb 2015 F	238,000,000	2014/08/05	2015/02/26	59.50

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60729	Credit Suisse AG - HSI R Bear CBBC Nov 2014 H	238,000,000	2014/08/05	2014/11/27	59.50
60731	Credit Suisse AG - HSI R Bear CBBC Nov 2014 I	238,000,000	2014/08/05	2014/11/27	59.50
60740	Credit Suisse AG - HSI R Bear CBBC Nov 2014 J	238,000,000	2014/08/05	2014/11/27	59.50
60966	Credit Suisse AG - HSI R Bear CBBC Nov 2014 L	238,000,000	2014/08/05	2014/11/27	59.50
60973	Credit Suisse AG - HSI R Bear CBBC Nov 2014 M	238,000,000	2014/08/05	2014/11/27	59.50
60738	Credit Suisse AG - HSI R Bear CBBC Dec 2014 O	238,000,000	2014/08/05	2014/12/30	59.50
60957	Credit Suisse AG - HSI R Bear CBBC Dec 2014 P	238,000,000	2014/08/05	2014/12/30	59.50
60958	Credit Suisse AG - HSI R Bear CBBC Dec 2014 Q	238,000,000	2014/08/05	2014/12/30	59.50
60747	Credit Suisse AG - HSI R Bear CBBC Mar 2015 G	238,000,000	2014/08/05	2015/03/30	59.50
60965	Credit Suisse AG - HSI R Bear CBBC Apr 2015 I	238,000,000	2014/08/05	2015/04/29	59.50
60765	Credit Suisse AG - TCH R Bull CBBC Feb 2015 A	60,000,000	2014/08/05	2015/02/27	15.00
60773	Credit Suisse AG - TCH R Bear CBBC Feb 2015 A	60,000,000	2014/08/05	2015/02/27	15.00
60776	Credit Suisse AG - TCH R Bear CBBC Feb 2015 B	60,000,000	2014/08/05	2015/02/27	15.00
61070	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Apr15D	100,000,000	2014/08/05	2015/04/27	25.00
61077	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Apr15B	100,000,000	2014/08/05	2015/04/27	25.00
61071	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 15 F	100,000,000	2014/08/05	2015/04/27	25.00
61078	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 15 B	100,000,000	2014/08/05	2015/04/27	25.00
61069	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Apr 2015 F	200,000,000	2014/08/05	2015/04/27	50.00
61003	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 L	150,000,000	2014/08/05	2014/11/27	38.25
61007	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 M	150,000,000	2014/08/05	2014/11/27	38.40
60622	HK Bank - BOCL R Bull CBBC Jul 2015 C	80,000,000	2014/08/05	2015/07/27	20.00
60616	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 D	40,000,000	2014/08/05	2015/04/27	10.00
60620	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 E	80,000,000	2014/08/05	2015/04/20	20.00
60612	HK Bank - A50 R Bull CBBC Apr 2015 F	200,000,000	2014/08/05	2015/04/27	50.00
60611	HK Bank - A50 R Bull CBBC May 2015 A	200,000,000	2014/08/05	2015/05/04	50.00
60681	HK Bank - Galaxy Ent R Bull CBBC Apr 2015 A	80,000,000	2014/08/05	2015/04/13	20.00
60573	HK Bank - HSI R Bull CBBC Jan 2015 T	100,000,000	2014/08/05	2015/01/29	25.00
60555	HK Bank - HSI R Bull CBBC Feb 2015 M	100,000,000	2014/08/05	2015/02/26	25.00
60576	HK Bank - HSI R Bear CBBC Apr 2015 D	100,000,000	2014/08/05	2015/04/29	25.00
60599	HK Bank - HSI R Bear CBBC Apr 2015 E	400,000,000	2014/08/05	2015/04/29	100.00
60610	HK Bank - HSI R Bear CBBC May 2015 B	400,000,000	2014/08/05	2015/05/28	100.00
60682	HK Bank - ICBC R Bull CBBC Jul 2015 B	80,000,000	2014/08/05	2015/07/27	20.00
60680	HK Bank - PetCh R Bull CBBC Jul 2015 C	60,000,000	2014/08/05	2015/07/27	15.00
60628	HK Bank - Ping An R Bull CBBC Jul 2015 A	60,000,000	2014/08/05	2015/07/27	15.00
60621	HK Bank - TCH R Bull CBBC Jun 2015 C	100,000,000	2014/08/05	2015/06/29	25.00
60673	HK Bank - TCH R Bull CBBC Jun 2015 D	100,000,000	2014/08/05	2015/06/22	25.00
60679	HK Bank - TCH R Bull CBBC Jun 2015 E	100,000,000	2014/08/05	2015/06/30	25.00
61038	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 I	300,000,000	2014/08/05	2015/03/30	75.00
60853	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 Y	300,000,000	2014/08/05	2015/03/30	75.00
60867	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 Z	300,000,000	2014/08/05	2015/03/30	75.00
60860	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 B	300,000,000	2014/08/05	2015/06/29	75.00
61030	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 C	300,000,000	2014/08/05	2015/06/29	75.00
60832	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 U	300,000,000	2014/08/05	2014/11/27	75.00
61026	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 V	300,000,000	2014/08/05	2014/11/27	75.00
61029	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 W	300,000,000	2014/08/05	2014/11/27	75.00

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60824	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Q	300,000,000	2014/08/05	2014/12/30	75.00
61025	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 R	300,000,000	2014/08/05	2014/12/30	75.00
60823	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 E	300,000,000	2014/08/05	2015/01/29	75.00
61023	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 F	300,000,000	2014/08/05	2015/01/29	75.00
60822	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 B	300,000,000	2014/08/05	2015/02/26	75.00
61021	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 C	300,000,000	2014/08/05	2015/02/26	75.00
60912	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 A	50,000,000	2014/08/05	2015/01/29	12.50
60913	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 B	50,000,000	2014/08/05	2015/01/29	12.55
60926	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 C	50,000,000	2014/08/05	2015/01/29	12.50
60937	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 D	50,000,000	2014/08/05	2015/01/29	13.00
60939	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 E	50,000,000	2014/08/05	2015/01/29	12.50
60546	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Mar 2015B	80,000,000	2014/08/05	2015/03/25	20.00
60545	Standard Chartered Bank - CSOP A50 ETF R Bear CBBC Feb 2015A	50,000,000	2014/08/05	2015/02/02	12.50
60554	Standard Chartered Bank - A50 China R Bull CBBC Feb 2015 B	80,000,000	2014/08/05	2015/02/12	20.00
60553	Standard Chartered Bank - A50 China R Bear CBBC Dec 2014 A	50,000,000	2014/08/05	2014/12/01	12.50
60999	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 O	100,000,000	2014/08/05	2015/06/29	25.00
60998	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 L	100,000,000	2014/08/05	2014/12/30	25.00
60699	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 N	200,000,000	2014/08/05	2015/02/26	50.00
60887	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 O	200,000,000	2014/08/05	2015/02/26	50.00
60911	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 T	200,000,000	2014/08/05	2014/11/27	50.00
60704	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 B	200,000,000	2014/08/05	2015/02/26	50.00
60705	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 C	200,000,000	2014/08/05	2015/02/26	50.00
60710	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 D	400,000,000	2014/08/05	2015/02/26	100.00
60715	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 E	200,000,000	2014/08/05	2015/02/26	50.00
60717	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr15 A	400,000,000	2014/08/05	2015/04/29	100.00
60722	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr15 B	400,000,000	2014/08/05	2015/04/29	100.00
60883	UBS AG - China Mobile R Bull CBBC Jun 2015 C	50,000,000	2014/08/05	2015/06/29	12.50
61065	UBS AG - Cheung Kong R Bear CBBC Mar 2015 B	50,000,000	2014/08/05	2015/03/30	12.50
61054	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/08/05	2014/11/27	75.00
61040	UBS AG - HSI R Bull CBBC Nov 2014 U	300,000,000	2014/08/05	2014/11/27	75.00
61061	UBS AG - HSI R Bull CBBC Dec 2014 D	300,000,000	2014/08/05	2014/12/30	75.00
61044	UBS AG - HSI R Bull CBBC Jan 2015 L	300,000,000	2014/08/05	2015/01/29	75.00
60882	UBS AG - HSI R Bear CBBC Nov 2014 F	300,000,000	2014/08/05	2014/11/27	75.00
60977	UBS AG - HSI R Bear CBBC Nov 2014 H	300,000,000	2014/08/05	2014/11/27	75.00
60683	UBS AG - HSI R Bear CBBC Dec 2014 Q	300,000,000	2014/08/05	2014/12/30	75.00
60876	UBS AG - HSI R Bear CBBC Jan 2015 K	300,000,000	2014/08/05	2015/01/29	75.00
60684	UBS AG - HSI R Bear CBBC Feb 2015 E	350,000,000	2014/08/05	2015/02/26	87.50
60875	UBS AG - HSI R Bear CBBC Apr 2015 A	300,000,000	2014/08/05	2015/04/29	75.00
60873	UBS AG - HSI R Bear CBBC May 2015 B	300,000,000	2014/08/05	2015/05/28	75.00
60869	UBS AG - TCH R Bull CBBC Jun 2015 A	100,000,000	2014/08/05	2015/06/22	25.00
61131	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Jun 2015 A	100,000,000	2014/08/06	2015/06/29	25.00
61945	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 L	200,000,000	2014/08/06	2015/06/29	50.00
61965	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 M	200,000,000	2014/08/06	2015/06/29	50.00
61103	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 I	200,000,000	2014/08/06	2015/02/26	50.00
61112	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 J	200,000,000	2014/08/06	2015/02/26	50.00

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61120	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 K	200,000,000	2014/08/06	2015/02/26	50.00
61121	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 L	200,000,000	2014/08/06	2015/02/26	50.00
61124	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 M	200,000,000	2014/08/06	2015/02/26	50.00
61125	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 N	200,000,000	2014/08/06	2015/02/26	56.00
61126	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 O	200,000,000	2014/08/06	2015/02/26	70.00
61128	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 P	200,000,000	2014/08/06	2015/02/26	74.00
61807	Credit Suisse AG - HSI R Bull CBBC Apr 2015 B	238,000,000	2014/08/06	2015/04/29	59.50
61840	Credit Suisse AG - HSI R Bull CBBC Apr 2015 C	238,000,000	2014/08/06	2015/04/29	59.50
61915	Credit Suisse AG - HSI R Bull CBBC Apr 2015 D	238,000,000	2014/08/06	2015/04/29	59.50
61916	Credit Suisse AG - HSI R Bull CBBC Apr 2015 E	238,000,000	2014/08/06	2015/04/29	59.50
61917	Credit Suisse AG - HSI R Bull CBBC Apr 2015 F	238,000,000	2014/08/06	2015/04/29	59.50
61235	Credit Suisse AG - HSI R Bear CBBC Dec 2014 R	238,000,000	2014/08/06	2014/12/30	59.50
61242	Credit Suisse AG - HSI R Bear CBBC Dec 2014 S	238,000,000	2014/08/06	2014/12/30	59.50
61243	Credit Suisse AG - HSI R Bear CBBC Dec 2014 T	238,000,000	2014/08/06	2014/12/30	59.50
61250	Credit Suisse AG - HSI R Bear CBBC Dec 2014 U	238,000,000	2014/08/06	2014/12/30	59.50
61253	Credit Suisse AG - HSI R Bear CBBC Dec 2014 V	238,000,000	2014/08/06	2014/12/30	59.50
61261	Credit Suisse AG - HSI R Bear CBBC Jan 2015 L	238,000,000	2014/08/06	2015/01/29	59.50
61805	Credit Suisse AG - HSI R Bear CBBC Jan 2015 M	238,000,000	2014/08/06	2015/01/29	59.50
61292	Credit Suisse AG - HSI R Bear CBBC Feb 2015 L	238,000,000	2014/08/06	2015/02/26	59.50
61808	Credit Suisse AG - HSI R Bear CBBC Feb 2015 M	238,000,000	2014/08/06	2015/02/26	59.50
61294	Credit Suisse AG - HSI R Bear CBBC Mar 2015 H	238,000,000	2014/08/06	2015/03/30	73.78
61296	Credit Suisse AG - HSI R Bear CBBC Mar 2015 I	238,000,000	2014/08/06	2015/03/30	78.54
61297	Credit Suisse AG - HSI R Bear CBBC Mar 2015 J	238,000,000	2014/08/06	2015/03/30	59.50
61152	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 F	200,000,000	2014/08/06	2015/04/22	50.00
61147	HK Bank - A50 R Bull CBBC Apr 2015 G	200,000,000	2014/08/06	2015/04/22	50.00
61148	HK Bank - A50 R Bull CBBC Apr 2015 H	200,000,000	2014/08/06	2015/04/15	50.00
61140	HK Bank - HSCEI R Bull CBBC Feb 2015 C	100,000,000	2014/08/06	2015/02/26	25.00
61135	HK Bank - HSI R Bull CBBC Feb 2015 N	100,000,000	2014/08/06	2015/02/26	25.00
61138	HK Bank - HSI R Bull CBBC Mar 2015 H	100,000,000	2014/08/06	2015/03/30	25.00
61626	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 J	300,000,000	2014/08/06	2015/03/30	75.00
61518	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 D	300,000,000	2014/08/06	2015/04/29	75.00
61492	J P Morgan SP BV - HSI R Bull CBBC May 2015 B	300,000,000	2014/08/06	2015/05/28	75.00
61517	J P Morgan SP BV - HSI R Bull CBBC May 2015 C	300,000,000	2014/08/06	2015/05/28	75.00
61514	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 D	300,000,000	2014/08/06	2015/06/29	75.00
61098	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 X	300,000,000	2014/08/06	2014/11/27	75.00
61099	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 Y	300,000,000	2014/08/06	2014/11/27	75.00
61102	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 Z	300,000,000	2014/08/06	2014/11/27	75.00
61088	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 S	300,000,000	2014/08/06	2014/12/30	75.00
61097	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 T	300,000,000	2014/08/06	2014/12/30	75.00
61080	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 G	300,000,000	2014/08/06	2015/01/29	75.00
61087	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 H	300,000,000	2014/08/06	2015/01/29	75.00
61084	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 D	300,000,000	2014/08/06	2015/02/26	75.00
61233	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 P	100,000,000	2014/08/06	2015/06/29	25.00
61734	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 Q	100,000,000	2014/08/06	2015/06/29	25.00
61208	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 M	100,000,000	2014/08/06	2014/12/30	25.00

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61211	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 N	100,000,000	2014/08/06	2014/12/30	25.00
61230	Standard Chartered Bank - HSI R Bear CBBC Dec 2014 O	100,000,000	2014/08/06	2014/12/30	25.00
61155	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 P	200,000,000	2014/08/06	2015/02/26	50.00
61968	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 Q	200,000,000	2014/08/06	2015/02/26	50.00
61971	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 R	200,000,000	2014/08/06	2015/02/26	50.00
61159	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 U	200,000,000	2014/08/06	2014/11/27	50.00
61177	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 V	200,000,000	2014/08/06	2014/11/27	50.00
61179	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 W	200,000,000	2014/08/06	2014/11/27	50.00
61977	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 X	200,000,000	2014/08/06	2014/11/27	50.00
61185	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 F	200,000,000	2014/08/06	2015/02/26	50.00
61194	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 G	200,000,000	2014/08/06	2015/02/26	50.00
61206	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 H	200,000,000	2014/08/06	2015/02/26	50.00
61207	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 I	200,000,000	2014/08/06	2015/02/26	50.00
62021	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 J	200,000,000	2014/08/06	2015/02/26	50.00
62044	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar15 A	200,000,000	2014/08/06	2015/03/30	50.00
61657	UBS AG - CC Bank R Bull CBBC Aug 2015 B	50,000,000	2014/08/06	2015/08/24	12.50
61694	UBS AG - Galaxy Ent R Bull CBBC Jun 2015 C	40,000,000	2014/08/06	2015/06/29	10.00
61627	UBS AG - HSCEI R Bull CBBC Mar 2015 B	100,000,000	2014/08/06	2015/03/30	25.00
61789	UBS AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2014/08/06	2014/12/30	75.00
61797	UBS AG - HSI R Bull CBBC Jan 2015 H	300,000,000	2014/08/06	2015/01/29	75.00
61791	UBS AG - HSI R Bull CBBC Feb 2015 N	300,000,000	2014/08/06	2015/02/26	75.00
61792	UBS AG - HSI R Bull CBBC Feb 2015 O	300,000,000	2014/08/06	2015/02/26	75.00
61804	UBS AG - HSI R Bull CBBC Feb 2015 P	300,000,000	2014/08/06	2015/02/26	75.00
61788	UBS AG - HSI R Bull CBBC Jun 2015 A	300,000,000	2014/08/06	2015/06/29	75.00
61382	UBS AG - HSI R Bear CBBC Nov 2014 I	300,000,000	2014/08/06	2014/11/27	75.00
61440	UBS AG - HSI R Bear CBBC Nov 2014 K	300,000,000	2014/08/06	2014/11/27	75.00
61446	UBS AG - HSI R Bear CBBC Nov 2014 L	300,000,000	2014/08/06	2014/11/27	75.00
61464	UBS AG - HSI R Bear CBBC Nov 2014 M	300,000,000	2014/08/06	2014/11/27	75.00
61349	UBS AG - HSI R Bear CBBC Dec 2014 R	300,000,000	2014/08/06	2014/12/30	75.00
61432	UBS AG - HSI R Bear CBBC Dec 2014 S	300,000,000	2014/08/06	2014/12/30	75.00
61445	UBS AG - HSI R Bear CBBC Dec 2014 T	300,000,000	2014/08/06	2014/12/30	75.00
61309	UBS AG - HSI R Bear CBBC Jan 2015 L	300,000,000	2014/08/06	2015/01/29	93.00
61334	UBS AG - HSI R Bear CBBC Jan 2015 M	300,000,000	2014/08/06	2015/01/29	75.00
61344	UBS AG - HSI R Bear CBBC Jan 2015 N	300,000,000	2014/08/06	2015/01/29	75.00
61345	UBS AG - HSI R Bear CBBC Jan 2015 O	300,000,000	2014/08/06	2015/01/29	75.00
61298	UBS AG - HSI R Bear CBBC Feb 2015 F	300,000,000	2014/08/06	2015/02/26	75.00
61300	UBS AG - HSI R Bear CBBC Feb 2015 G	300,000,000	2014/08/06	2015/02/26	108.00
61656	UBS AG - ICBC R Bull CBBC Jul 2015 C	50,000,000	2014/08/06	2015/07/13	12.50
61630	UBS AG - Ping An R Bull CBBC Jul 2015 C	50,000,000	2014/08/06	2015/07/20	12.50
61629	UBS AG - Ping An R Bear CBBC May 2015 A	50,000,000	2014/08/06	2015/05/04	12.50
61732	UBS AG - SHK Ppt R Bear CBBC Feb 2015 B	40,000,000	2014/08/06	2015/02/02	10.00
61692	UBS AG - TCH R Bear CBBC Mar 2015 C	100,000,000	2014/08/06	2015/03/16	25.00
66412*	HK Bank - A50 R Bull CBBC Mar 2015 E	200,000,000	2014/08/06	2015/03/09	33.60
62270	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/08/07	2014/12/30	59.50
62317	Credit Suisse AG - HSI R Bull CBBC Dec 2014 U	238,000,000	2014/08/07	2014/12/30	59.50

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62305	Credit Suisse AG - HSI R Bear CBBC Nov 2014 N	238,000,000	2014/08/07	2014/11/27	59.50
62306	Credit Suisse AG - HSI R Bear CBBC Nov 2014 P	238,000,000	2014/08/07	2014/11/27	59.50
62307	Credit Suisse AG - HSI R Bear CBBC Nov 2014 Q	238,000,000	2014/08/07	2014/11/27	59.50
62272	Credit Suisse AG - HSI R Bear CBBC Feb 2015 N	238,000,000	2014/08/07	2015/02/26	59.50
62271	Credit Suisse AG - HSI R Bear CBBC Apr 2015 J	238,000,000	2014/08/07	2015/04/29	59.50
62273	Credit Suisse AG - HSI R Bear CBBC Apr 2015 K	238,000,000	2014/08/07	2015/04/29	66.64
62274	Credit Suisse AG - HSI R Bear CBBC Apr 2015 L	238,000,000	2014/08/07	2015/04/29	59.50
62301	Credit Suisse AG - HSI R Bear CBBC Apr 2015 M	238,000,000	2014/08/07	2015/04/29	90.44
62356	Bank of East Asia - A50 China R Bull CBBC Jun 2015 A	40,000,000	2014/08/07	2015/06/26	10.00
62361	Bank of East Asia - GCL-Poly Energy R Bull CBBC Dec 2015 A	200,000,000	2014/08/07	2015/12/22	50.00
62362	Bank of East Asia - GCL-Poly Energy R Bear CBBC Feb 2015 A	200,000,000	2014/08/07	2015/02/26	50.00
62086	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 G	150,000,000	2014/08/07	2014/11/27	37.80
62172	HK Bank - China Mobile R Bear CBBC Oct 2015 A	60,000,000	2014/08/07	2015/10/30	16.20
62157	HK Bank - Cheung Kong R Bull CBBC Jun 2015 B	60,000,000	2014/08/07	2015/06/30	15.00
62128	HK Bank - HSCEI R Bear CBBC May 2015 B	68,000,000	2014/08/07	2015/05/28	17.00
62149	HK Bank - HSCEI R Bear CBBC May 2015 C	68,000,000	2014/08/07	2015/05/28	17.00
62140	HK Bank - HSCEI R Bear CBBC Aug 2015 A	68,000,000	2014/08/07	2015/08/28	17.00
62126	HK Bank - HSI R Bull CBBC Mar 2015 I	100,000,000	2014/08/07	2015/03/30	25.00
62097	HK Bank - HSI R Bull CBBC Jun 2015 A	400,000,000	2014/08/07	2015/06/29	100.00
62124	HK Bank - HSI R Bear CBBC Feb 2015 K	100,000,000	2014/08/07	2015/02/26	25.00
62125	HK Bank - HSI R Bear CBBC Feb 2015 L	100,000,000	2014/08/07	2015/02/26	25.00
62095	HK Bank - HSI R Bear CBBC Mar 2015 K	100,000,000	2014/08/07	2015/03/30	25.00
62123	HK Bank - HSI R Bear CBBC Mar 2015 L	100,000,000	2014/08/07	2015/03/30	25.00
62098	HK Bank - HSI R Bear CBBC Apr 2015 F	100,000,000	2014/08/07	2015/04/29	25.00
62155	HK Bank - Hutchison R Bull CBBC Jun 2015 C	60,000,000	2014/08/07	2015/06/26	15.00
62154	HK Bank - SHK Ppt R Bull CBBC May 2015 B	60,000,000	2014/08/07	2015/05/29	15.00
62336	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 K	300,000,000	2014/08/07	2015/03/30	75.00
62335	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 E	300,000,000	2014/08/07	2015/04/29	75.00
62328	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 H	300,000,000	2014/08/07	2014/11/27	75.00
62326	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 U	300,000,000	2014/08/07	2014/12/30	75.00
62323	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 I	300,000,000	2014/08/07	2015/01/29	75.00
62303	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 R	100,000,000	2014/08/07	2015/06/29	25.00
62302	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 L	100,000,000	2014/08/07	2015/01/29	25.00
62320	UBS AG - China Cinda R Bull CBBC Mar 2015 A	40,000,000	2014/08/07	2015/03/23	10.00
62318	UBS AG - HSI R Bull CBBC Jan 2015 K	300,000,000	2014/08/07	2015/01/29	75.00
62319	UBS AG - HSI R Bull CBBC Feb 2015 Q	300,000,000	2014/08/07	2015/02/26	75.00
62263	UBS AG - HSI R Bear CBBC Dec 2014 U	300,000,000	2014/08/07	2014/12/30	75.00
62187	UBS AG - HSI R Bear CBBC Jan 2015 P	300,000,000	2014/08/07	2015/01/29	75.00
62238	UBS AG - HSI R Bear CBBC Jan 2015 Q	300,000,000	2014/08/07	2015/01/29	75.00
62259	UBS AG - HSI R Bear CBBC Jan 2015 R	300,000,000	2014/08/07	2015/01/29	75.00
62186	UBS AG - HSI R Bear CBBC Feb 2015 H	300,000,000	2014/08/07	2015/02/26	75.00
62254	UBS AG - HSI R Bear CBBC Feb 2015 I	300,000,000	2014/08/07	2015/02/26	75.00
62243	UBS AG - HSI R Bear CBBC Mar 2015 F	300,000,000	2014/08/07	2015/03/30	75.00
62304	UBS AG - HSI R Bear CBBC Mar 2015 G	350,000,000	2014/08/07	2015/03/30	87.50
62424	Credit Suisse AG - HSI R Bull CBBC Nov 2014 D	238,000,000	2014/08/08	2014/11/27	59.50

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62420	Credit Suisse AG - HSI R Bull CBBC Nov 2014 O	238,000,000	2014/08/08	2014/11/27	59.50
62490	Credit Suisse AG - HSI R Bull CBBC Nov 2014 X	238,000,000	2014/08/08	2014/11/27	59.50
62515	Credit Suisse AG - HSI R Bear CBBC Jan 2015 N	238,000,000	2014/08/08	2015/01/29	59.50
62535	Credit Suisse AG - HSI R Bear CBBC Jan 2015 O	238,000,000	2014/08/08	2015/01/29	59.50
62566	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2014 A	150,000,000	2014/08/08	2014/12/30	39.60
62394	HK Bank - China Mobile R Bear CBBC Oct 2015 B	60,000,000	2014/08/08	2015/10/30	16.20
62384	HK Bank - China Cinda R Bull CBBC Jul 2015 A	50,000,000	2014/08/08	2015/07/27	12.50
62379	HK Bank - A50 R Bull CBBC Jan 2015 M	200,000,000	2014/08/08	2015/01/26	50.00
62390	HK Bank - GCL-Poly Energy R Bull CBBC Dec 2015 A	40,000,000	2014/08/08	2015/12/28	10.00
62419	HK Bank - HSI R Bear CBBC Feb 2015 M	100,000,000	2014/08/08	2015/02/26	25.00
62378	HK Bank - HSI R Bear CBBC Mar 2015 M	100,000,000	2014/08/08	2015/03/30	25.00
62399	HK Bank - HSI R Bear CBBC Apr 2015 G	100,000,000	2014/08/08	2015/04/29	25.00
62412	HK Bank - HSI R Bear CBBC May 2015 C	100,000,000	2014/08/08	2015/05/28	25.00
62414	HK Bank - HSI R Bear CBBC Jul 2015 A	100,000,000	2014/08/08	2015/07/30	25.00
62446	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 L	300,000,000	2014/08/08	2015/03/30	75.00
62449	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 M	300,000,000	2014/08/08	2015/03/30	75.00
62537	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 F	300,000,000	2014/08/08	2014/11/27	75.00
62462	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 I	300,000,000	2014/08/08	2014/11/27	75.00
62459	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 V	300,000,000	2014/08/08	2014/12/30	75.00
62489	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2014 B	50,000,000	2014/08/08	2014/12/30	12.50
62429	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jun15 C	100,000,000	2014/08/08	2015/06/29	25.00
62432	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jun15 D	100,000,000	2014/08/08	2015/06/29	25.00
62437	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jun15C	100,000,000	2014/08/08	2015/06/29	25.00
62438	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jun15D	100,000,000	2014/08/08	2015/06/29	25.00
62445	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jun15E	100,000,000	2014/08/08	2015/06/29	25.00
62545	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb15 S	200,000,000	2014/08/08	2015/02/26	50.00
62546	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 Y	200,000,000	2014/08/08	2014/11/27	50.00
62427	UBS AG - HSI R Bull CBBC Jan 2015 M	350,000,000	2014/08/08	2015/01/29	87.50
62426	UBS AG - HSI R Bull CBBC Feb 2015 R	300,000,000	2014/08/08	2015/02/26	75.00
62554	UBS AG - HSI R Bear CBBC Dec 2014 V	300,000,000	2014/08/08	2014/12/30	75.00
62549	UBS AG - HSI R Bear CBBC Mar 2015 H	350,000,000	2014/08/08	2015/03/30	87.50
62556	UBS AG - TCH R Bear CBBC Feb 2015 B	100,000,000	2014/08/08	2015/02/09	25.00
62678	Credit Suisse AG - HKEx R Bull CBBC Feb 2015 B	50,000,000	2014/08/11	2015/02/27	12.50
62681	Credit Suisse AG - HKEx R Bull CBBC Feb 2015 C	50,000,000	2014/08/11	2015/02/27	12.50
62680	Credit Suisse AG - HKEx R Bull CBBC Mar 2015 A	50,000,000	2014/08/11	2015/03/31	12.50
62684	Credit Suisse AG - HKEx R Bull CBBC Mar 2015 B	50,000,000	2014/08/11	2015/03/31	16.00
62688	Credit Suisse AG - HKEx R Bear CBBC Dec 2014 A	50,000,000	2014/08/11	2014/12/30	12.50
62700	Credit Suisse AG - HSI R Bull CBBC Feb 2015 G	238,000,000	2014/08/11	2015/02/26	59.50
62674	Credit Suisse AG - HSI R Bear CBBC Feb 2015 O	238,000,000	2014/08/11	2015/02/26	59.50
62689	Credit Suisse AG - HSI R Bear CBBC Feb 2015 P	238,000,000	2014/08/11	2015/02/26	59.50
62698	Credit Suisse AG - HSI R Bear CBBC Feb 2015 Q	238,000,000	2014/08/11	2015/02/26	59.50
62749	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15A	80,000,000	2014/08/11	2015/06/29	20.00
62751	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15E	80,000,000	2014/08/11	2015/04/20	20.00
62752	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15F	80,000,000	2014/08/11	2015/04/20	20.00
62730	Citigroup Global Mkt H Inc. - COVS R Bull CBBC Sep 2015 A	50,000,000	2014/08/11	2015/09/07	17.50

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62731	Citigroup Global Mkt H Inc. - COVS R Bull CBBC Sep 2015 B	50,000,000	2014/08/11	2015/09/07	12.50
62753	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC May15A	100,000,000	2014/08/11	2015/05/11	25.00
62755	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC May 15 A	100,000,000	2014/08/11	2015/05/11	25.00
62733	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2015 A	50,000,000	2014/08/11	2015/05/11	13.50
62736	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2015 B	50,000,000	2014/08/11	2015/05/11	12.50
62737	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2015 C	50,000,000	2014/08/11	2015/05/11	12.50
62738	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2015 D	50,000,000	2014/08/11	2015/05/11	12.50
62742	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Mar 2015 A	50,000,000	2014/08/11	2015/03/23	19.00
62748	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Mar 2015 B	50,000,000	2014/08/11	2015/03/23	20.50
62725	Citigroup Global Mkt H Inc. - Kingsoft R Bear CBBC Mar 15 F	40,000,000	2014/08/11	2015/03/23	16.40
62713	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 P	300,000,000	2014/08/11	2015/02/26	75.00
62716	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 Q	300,000,000	2014/08/11	2015/02/26	75.00
62702	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 J	300,000,000	2014/08/11	2014/11/27	75.00
62712	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 M	300,000,000	2014/08/11	2014/11/27	75.00
62646	Standard Chartered Bank - Minsheng Bank R Bull CBBC Apr 15 A	50,000,000	2014/08/11	2015/04/14	12.50
62648	Standard Chartered Bank - Minsheng Bank R Bear CBBC Apr 15 A	50,000,000	2014/08/11	2015/04/14	12.50
62629	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 M	100,000,000	2014/08/11	2015/01/29	25.00
62638	Standard Chartered Bank - Ping An R Bull CBBC Mar 2015 A	50,000,000	2014/08/11	2015/03/18	12.50
62644	Standard Chartered Bank - Ping An R Bear CBBC Mar 2015 A	50,000,000	2014/08/11	2015/03/18	12.50
69757 [#]	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2015 D	200,000,000	2014/08/11	2015/03/16	23.00
62806	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 N	200,000,000	2014/08/12	2015/06/29	50.00
62817	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 O	200,000,000	2014/08/12	2015/06/29	50.00
62818	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Q	200,000,000	2014/08/12	2015/02/26	50.00
62823	Credit Suisse AG - HSI R Bull CBBC Jan 2015 K	238,000,000	2014/08/12	2015/01/29	59.50
62824	Credit Suisse AG - HSI R Bull CBBC Jan 2015 L	238,000,000	2014/08/12	2015/01/29	59.50
62820	Credit Suisse AG - HSI R Bear CBBC Nov 2014 R	238,000,000	2014/08/12	2014/11/27	59.50
62848	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Jul 2015 A	80,000,000	2014/08/12	2015/07/20	20.00
62862	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Mar 2015 B	80,000,000	2014/08/12	2015/03/23	20.00
62866	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Mar 2015 C	80,000,000	2014/08/12	2015/03/23	20.00
62803	HK Bank - COVS R Bull CBBC Apr 2015 A	50,000,000	2014/08/12	2015/04/30	15.00
62788	HK Bank - CUni R Bull CBBC Jun 2015 A	50,000,000	2014/08/12	2015/06/29	12.50
62787	HK Bank - CUni R Bull CBBC Jul 2015 A	50,000,000	2014/08/12	2015/07/27	17.00
62758	HK Bank - HKEx R Bull CBBC May 2015 B	60,000,000	2014/08/12	2015/05/29	19.20
62761	HK Bank - HKEx R Bull CBBC May 2015 C	60,000,000	2014/08/12	2015/05/29	15.00
62756	HK Bank - HKEx R Bull CBBC Jun 2015 A	60,000,000	2014/08/12	2015/06/29	23.40
62760	HK Bank - HKEx R Bull CBBC Jun 2015 B	60,000,000	2014/08/12	2015/06/29	15.00
62762	HK Bank - HKEx R Bull CBBC Jul 2015 A	60,000,000	2014/08/12	2015/07/27	15.00
62765	HK Bank - HKEx R Bear CBBC May 2015 A	50,000,000	2014/08/12	2015/05/29	14.00
62776	HK Bank - HKEx R Bear CBBC Jun 2015 A	50,000,000	2014/08/12	2015/06/29	17.50
62764	HK Bank - HKEx R Bear CBBC Jul 2015 A	50,000,000	2014/08/12	2015/07/27	12.50
62778	HK Bank - HKEx R Bear CBBC Jul 2015 B	50,000,000	2014/08/12	2015/07/27	21.00
62790	HK Bank - TCH R Bull CBBC Jul 2015 C	100,000,000	2014/08/12	2015/07/27	25.00
62781	HK Bank - Wharf Holdings R Bull CBBC Jun 2015 A	40,000,000	2014/08/12	2015/06/29	10.00
62780	HK Bank - Wharf Holdings R Bull CBBC Jul 2015 A	40,000,000	2014/08/12	2015/07/27	10.00
62814	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 S	100,000,000	2014/08/12	2015/06/29	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62807	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 N	100,000,000	2014/08/12	2015/01/29	25.00
62808	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 O	100,000,000	2014/08/12	2015/01/29	25.00
62833	UBS AG - HKEx R Bull CBBC Sep 2015 C	100,000,000	2014/08/12	2015/09/21	31.00
62831	UBS AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2014/08/12	2014/11/27	75.00
62842	UBS AG - HSI R Bull CBBC Mar 2015 L	350,000,000	2014/08/12	2015/03/30	87.50
62825	UBS AG - HSI R Bear CBBC Nov 2014 O	350,000,000	2014/08/12	2014/11/27	87.50
62903	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 P	200,000,000	2014/08/13	2015/06/29	50.00
62969	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 R	200,000,000	2014/08/13	2015/02/26	50.00
62909	Credit Suisse AG - HSI R Bull CBBC Apr 2015 G	238,000,000	2014/08/13	2015/04/29	59.50
62930	Credit Suisse AG - HSI R Bull CBBC Apr 2015 H	238,000,000	2014/08/13	2015/04/29	59.50
62931	Credit Suisse AG - HSI R Bull CBBC Apr 2015 I	238,000,000	2014/08/13	2015/04/29	59.50
62940	Credit Suisse AG - HSI R Bull CBBC Apr 2015 J	238,000,000	2014/08/13	2015/04/29	59.50
63013	Credit Suisse AG - HSI R Bear CBBC Nov 2014 F	238,000,000	2014/08/13	2014/11/27	59.50
62994	Credit Suisse AG - HSI R Bear CBBC Nov 2014 S	238,000,000	2014/08/13	2014/11/27	59.50
63009	Credit Suisse AG - HSI R Bear CBBC Nov 2014 T	238,000,000	2014/08/13	2014/11/27	59.50
63047	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Apr 2015 A	80,000,000	2014/08/13	2015/04/20	20.00
63048	Citigroup Global Mkt H Inc. - HSBC R Bear CBBC Apr 2015 B	80,000,000	2014/08/13	2015/04/20	20.00
63049	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jun 2015 A	200,000,000	2014/08/13	2015/06/15	50.00
63051	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jun 2015 B	200,000,000	2014/08/13	2015/06/15	50.00
63053	Citigroup Global Mkt H Inc. - TCH R Bull CBBC Jun 2015 C	200,000,000	2014/08/13	2015/06/15	50.00
63054	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Apr 2015 A	200,000,000	2014/08/13	2015/04/20	50.00
63060	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Apr 2015 B	200,000,000	2014/08/13	2015/04/20	50.00
63061	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Apr 2015 C	200,000,000	2014/08/13	2015/04/20	50.00
63068	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Apr 2015 D	200,000,000	2014/08/13	2015/04/20	50.00
62887	HK Bank - Minsheng Bank R Bull CBBC May 2015 A	40,000,000	2014/08/13	2015/05/04	10.00
62877	HK Bank - CSOP A50 ETF R Bear CBBC Oct 2015 A	40,000,000	2014/08/13	2015/10/30	10.00
62895	HK Bank - HSCEI R Bear CBBC Jul 2015 A	68,000,000	2014/08/13	2015/07/30	17.00
62889	HK Bank - HSI R Bear CBBC Apr 2015 H	100,000,000	2014/08/13	2015/04/29	25.00
62897	HK Bank - TCH R Bull CBBC Apr 2015 B	100,000,000	2014/08/13	2015/04/20	25.00
62901	HK Bank - TCH R Bull CBBC Apr 2015 C	100,000,000	2014/08/13	2015/04/13	25.00
62867	HK Bank - TCH R Bear CBBC Apr 2015 C	100,000,000	2014/08/13	2015/04/23	25.00
63015	J P Morgan SP BV - HSI R Bull CBBC Mar 2015 I	300,000,000	2014/08/13	2015/03/30	75.00
63020	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 E	300,000,000	2014/08/13	2015/06/29	75.00
63014	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 C	300,000,000	2014/08/13	2014/11/27	75.00
62948	Standard Chartered Bank - HKEx R Bull CBBC Apr 2015 A	50,000,000	2014/08/13	2015/04/20	12.50
62957	Standard Chartered Bank - HKEx R Bull CBBC Jun 2015 A	50,000,000	2014/08/13	2015/06/01	12.50
62960	Standard Chartered Bank - TCH R Bull CBBC Jun 2015 B	80,000,000	2014/08/13	2015/06/23	20.00
62965	Standard Chartered Bank - TCH R Bear CBBC Jan 2015 A	80,000,000	2014/08/13	2015/01/19	20.00
62993	UBS AG - HKEx R Bull CBBC Sep 2015 D	100,000,000	2014/08/13	2015/09/07	25.00
62988	UBS AG - HKEx R Bull CBBC Oct 2015 A	100,000,000	2014/08/13	2015/10/05	25.00
62966	UBS AG - HSI R Bull CBBC Feb 2015 S	300,000,000	2014/08/13	2015/02/26	75.00
62968	UBS AG - HSI R Bull CBBC Feb 2015 T	300,000,000	2014/08/13	2015/02/26	75.00
62972	UBS AG - HSI R Bear CBBC Nov 2014 P	300,000,000	2014/08/13	2014/11/27	75.00
62982	UBS AG - HSI R Bear CBBC Nov 2014 Q	300,000,000	2014/08/13	2014/11/27	75.00
62971	UBS AG - TCH R Bull CBBC Jul 2015 C	100,000,000	2014/08/13	2015/07/06	25.00

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63108	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 S	200,000,000	2014/08/14	2015/02/26	50.00
63083	Credit Suisse AG - HSI R Bull CBBC Feb 2015 J	238,000,000	2014/08/14	2015/02/26	59.50
63088	Credit Suisse AG - HSI R Bull CBBC Feb 2015 K	238,000,000	2014/08/14	2015/02/26	59.50
63096	Credit Suisse AG - HSI R Bear CBBC Nov 2014 O	238,000,000	2014/08/14	2014/11/27	59.50
63164	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 H	150,000,000	2014/08/14	2014/11/27	42.75
63171	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 I	150,000,000	2014/08/14	2014/11/27	39.15
63153	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2015 A	150,000,000	2014/08/14	2015/01/29	39.00
63069	J P Morgan SP BV - HSI R Bull CBBC Feb 2015 R	300,000,000	2014/08/14	2015/02/26	75.00
63135	J P Morgan SP BV - HSI R Bull CBBC May 2015 D	300,000,000	2014/08/14	2015/05/28	75.00
63126	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 B	300,000,000	2014/08/14	2014/11/27	75.00
63125	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 W	300,000,000	2014/08/14	2014/12/30	75.00
63118	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2014 C	50,000,000	2014/08/14	2014/12/30	12.50
63082	UBS AG - HSI R Bull CBBC Jan 2015 Q	300,000,000	2014/08/14	2015/01/29	75.00
63072	UBS AG - HSI R Bull CBBC Mar 2015 M	300,000,000	2014/08/14	2015/03/30	75.00
63123	UBS AG - HSI R Bear CBBC Nov 2014 R	300,000,000	2014/08/14	2014/11/27	75.00
63124	UBS AG - HSI R Bear CBBC Nov 2014 S	300,000,000	2014/08/14	2014/11/27	75.00
63237	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 Q	200,000,000	2014/08/15	2015/06/29	50.00
63246	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 R	200,000,000	2014/08/15	2015/06/29	50.00
63202	Credit Suisse AG - HSI R Bull CBBC Mar 2015 S	238,000,000	2014/08/15	2015/03/30	59.50
63206	Credit Suisse AG - HSI R Bull CBBC Mar 2015 T	238,000,000	2014/08/15	2015/03/30	59.50
63207	Credit Suisse AG - HSI R Bull CBBC Mar 2015 U	238,000,000	2014/08/15	2015/03/30	59.50
63294	Credit Suisse AG - HSI R Bear CBBC Dec 2014 W	238,000,000	2014/08/15	2014/12/30	59.50
63297	Credit Suisse AG - HSI R Bear CBBC Dec 2014 X	238,000,000	2014/08/15	2014/12/30	59.50
63197	HK Bank - Galaxy Ent R Bear CBBC Jun 2015 A	60,000,000	2014/08/15	2015/06/29	15.00
63181	HK Bank - HSI R Bear CBBC Feb 2015 N	100,000,000	2014/08/15	2015/02/26	25.00
63186	HK Bank - HSI R Bear CBBC Jun 2015 A	100,000,000	2014/08/15	2015/06/29	25.00
63199	HK Bank - Sands China R Bear CBBC Jul 2015 A	60,000,000	2014/08/15	2015/07/29	15.00
63188	HK Bank - TCH R Bear CBBC Feb 2015 B	100,000,000	2014/08/15	2015/02/23	25.00
63192	HK Bank - TCH R Bear CBBC Jun 2015 B	100,000,000	2014/08/15	2015/06/29	25.00
63190	HK Bank - TCH R Bear CBBC Jul 2015 A	100,000,000	2014/08/15	2015/07/27	25.00
63305	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 F	300,000,000	2014/08/15	2015/04/29	75.00
63201	J P Morgan SP BV - HSI R Bull CBBC May 2015 E	300,000,000	2014/08/15	2015/05/28	75.00
63200	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 F	300,000,000	2014/08/15	2015/06/29	75.00
63301	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 E	300,000,000	2014/08/15	2014/11/27	75.00
63300	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 X	300,000,000	2014/08/15	2014/12/30	75.00
63250	Standard Chartered Bank - AIA R Bear CBBC Jun 2015 A	80,000,000	2014/08/15	2015/06/29	20.00
63256	Standard Chartered Bank - China Life R Bear CBBC Jun 2015 A	50,000,000	2014/08/15	2015/06/01	12.50
63262	Standard Chartered Bank - Galaxy Ent R Bear CBBC Mar 2015 A	50,000,000	2014/08/15	2015/03/30	12.50
63248	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 P	100,000,000	2014/08/15	2015/01/29	25.00
63254	Standard Chartered Bank - SHK Ppt R Bear CBBC Aug 2015 A	50,000,000	2014/08/15	2015/08/03	12.50
63217	UBS AG - HSI R Bull CBBC Jan 2015 P	300,000,000	2014/08/15	2015/01/29	75.00
63227	UBS AG - HSI R Bull CBBC Jan 2015 R	300,000,000	2014/08/15	2015/01/29	75.00
63265	UBS AG - HSI R Bear CBBC Nov 2014 D	300,000,000	2014/08/15	2014/11/27	75.00
63293	UBS AG - HSI R Bear CBBC Nov 2014 T	300,000,000	2014/08/15	2014/11/27	75.00
63209	UBS AG - TCH R Bull CBBC Jul 2015 D	100,000,000	2014/08/15	2015/07/20	25.00

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63389	Credit Suisse AG - HSI R Bull CBBC Feb 2015 M	238,000,000	2014/08/18	2015/02/26	59.50
63400	Credit Suisse AG - HSI R Bull CBBC Feb 2015 U	238,000,000	2014/08/18	2015/02/26	59.50
63321	Credit Suisse AG - HSI R Bear CBBC Nov 2014 A	238,000,000	2014/08/18	2014/11/27	59.50
63322	Credit Suisse AG - HSI R Bear CBBC Nov 2014 D	238,000,000	2014/08/18	2014/11/27	59.50
63324	Credit Suisse AG - HSI R Bear CBBC Nov 2014 E	238,000,000	2014/08/18	2014/11/27	59.50
63316	Credit Suisse AG - HSI R Bear CBBC Nov 2014 U	238,000,000	2014/08/18	2014/11/27	59.50
63460	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Mar 2015 C	50,000,000	2014/08/18	2015/03/02	12.50
63440	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC Mar 2015 C	50,000,000	2014/08/18	2015/03/02	12.50
63419	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 J	150,000,000	2014/08/18	2014/11/27	39.00
63427	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2014 K	150,000,000	2014/08/18	2014/11/27	39.90
63381	J P Morgan SP BV - HSI R Bull CBBC May 2015 F	300,000,000	2014/08/18	2015/05/28	75.00
63362	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 G	300,000,000	2014/08/18	2015/06/29	75.00
63384	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 H	300,000,000	2014/08/18	2015/06/29	75.00
63354	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 L	300,000,000	2014/08/18	2014/11/27	75.00
63348	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Y	300,000,000	2014/08/18	2014/12/30	75.00
63341	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 J	300,000,000	2014/08/18	2015/01/29	75.00
63386	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 T	100,000,000	2014/08/18	2015/06/29	25.00
63311	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 J	100,000,000	2014/08/18	2015/02/26	25.00
63313	Standard Chartered Bank - Sands China R Bear CBBC Jan 2015 A	50,000,000	2014/08/18	2015/01/16	12.50
63405	UBS AG - HSI R Bull CBBC Dec 2014 K	300,000,000	2014/08/18	2014/12/30	75.00
63414	UBS AG - HSI R Bull CBBC Feb 2015 U	300,000,000	2014/08/18	2015/02/26	75.00
63335	UBS AG - HSI R Bear CBBC Nov 2014 J	300,000,000	2014/08/18	2014/11/27	75.00
63332	UBS AG - HSI R Bear CBBC Nov 2014 U	300,000,000	2014/08/18	2014/11/27	75.00
63338	UBS AG - HSI R Bear CBBC Nov 2014 V	350,000,000	2014/08/18	2014/11/27	87.50
63491	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 S	200,000,000	2014/08/19	2015/06/29	50.00
63534	Credit Suisse AG - HKEx R Bull CBBC Mar 2015 D	60,000,000	2014/08/19	2015/03/31	15.00
63497	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 E	60,000,000	2014/08/19	2015/01/30	18.60
63516	Credit Suisse AG - HSI R Bull CBBC Jan 2015 K	238,000,000	2014/08/19	2015/01/29	59.50
63527	Credit Suisse AG - HSI R Bull CBBC Jan 2015 L	238,000,000	2014/08/19	2015/01/29	59.50
63566	Credit Suisse AG - HSI R Bull CBBC Jan 2015 Z	238,000,000	2014/08/19	2015/01/29	59.50
63565	Credit Suisse AG - HSI R Bear CBBC Jan 2015 P	238,000,000	2014/08/19	2015/01/29	59.50
63569	Credit Suisse AG - HSI R Bear CBBC Jan 2015 Q	238,000,000	2014/08/19	2015/01/29	59.50
63512	Credit Suisse AG - TCH R Bull CBBC Mar 2015 A	60,000,000	2014/08/19	2015/03/31	15.00
63513	Credit Suisse AG - TCH R Bear CBBC Jan 2015 A	60,000,000	2014/08/19	2015/01/30	16.20
63586	Citigroup Global Mkt H Inc. - AIA R Bull CBBC Jun 2015 A	100,000,000	2014/08/19	2015/06/08	25.00
63592	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Mar 15 A	100,000,000	2014/08/19	2015/03/16	25.00
63593	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Mar 15 A	100,000,000	2014/08/19	2015/03/16	25.00
63595	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2015 E	50,000,000	2014/08/19	2015/05/11	12.50
63463	HK Bank - Cheung Kong R Bear CBBC Jun 2015 A	50,000,000	2014/08/19	2015/06/29	12.50
63471	HK Bank - China Life R Bull CBBC Jul 2015 B	60,000,000	2014/08/19	2015/07/27	16.80
63470	HK Bank - CNOOC R Bull CBBC Oct 2015 B	60,000,000	2014/08/19	2015/10/26	15.00
63466	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 G	200,000,000	2014/08/19	2015/04/27	50.00
63465	HK Bank - A50 R Bull CBBC Jun 2015 A	200,000,000	2014/08/19	2015/06/29	50.00
63462	HK Bank - HSI R Bull CBBC Mar 2015 J	100,000,000	2014/08/19	2015/03/30	25.00
63479	HK Bank - HSI R Bull CBBC Jun 2015 B	100,000,000	2014/08/19	2015/06/29	25.00

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63477	HK Bank - HSI R Bull CBBC Jul 2015 A	100,000,000	2014/08/19	2015/07/30	25.00
63597	J P Morgan SP BV - HSI R Bull CBBC May 2015 G	300,000,000	2014/08/19	2015/05/28	75.00
63598	J P Morgan SP BV - HSI R Bull CBBC May 2015 H	300,000,000	2014/08/19	2015/05/28	75.00
63609	J P Morgan SP BV - HSI R Bull CBBC May 2015 I	300,000,000	2014/08/19	2015/05/28	75.00
63596	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Z	300,000,000	2014/08/19	2014/12/30	75.00
63585	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 B	50,000,000	2014/08/19	2015/01/29	12.50
63529	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 K	100,000,000	2014/08/19	2015/02/26	25.00
63530	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 L	100,000,000	2014/08/19	2015/02/26	25.00
63532	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2015 D	100,000,000	2014/08/19	2015/03/30	25.00
63533	UBS AG - A50 China R Bull CBBC Mar 2015 H	100,000,000	2014/08/19	2015/03/16	25.00
63531	UBS AG - HKEx R Bull CBBC Sep 2015 E	100,000,000	2014/08/19	2015/09/21	25.00
63494	UBS AG - HSI R Bull CBBC Feb 2015 V	300,000,000	2014/08/19	2015/02/26	75.00
63572	UBS AG - HSI R Bull CBBC Mar 2015 O	300,000,000	2014/08/19	2015/03/30	75.00
63558	UBS AG - HSI R Bear CBBC Dec 2014 W	350,000,000	2014/08/19	2014/12/30	87.50
63836	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 T	200,000,000	2014/08/20	2015/06/29	50.00
63844	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 U	200,000,000	2014/08/20	2015/06/29	50.00
63750	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 T	200,000,000	2014/08/20	2015/02/26	50.00
63758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 U	200,000,000	2014/08/20	2015/02/26	50.00
63834	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 V	200,000,000	2014/08/20	2015/02/26	50.00
63817	Credit Suisse AG - HSI R Bull CBBC Mar 2015 V	238,000,000	2014/08/20	2015/03/30	59.50
63832	Credit Suisse AG - HSI R Bull CBBC Mar 2015 W	238,000,000	2014/08/20	2015/03/30	59.50
63810	Credit Suisse AG - HSI R Bear CBBC Nov 2014 V	238,000,000	2014/08/20	2014/11/27	59.50
63811	Credit Suisse AG - HSI R Bear CBBC Nov 2014 W	238,000,000	2014/08/20	2014/11/27	59.50
63813	Credit Suisse AG - HSI R Bear CBBC Nov 2014 X	238,000,000	2014/08/20	2014/11/27	59.50
63957	Citigroup Global Mkt H Inc. - Cheung Kong R Bull CBBC Jun15A	60,000,000	2014/08/20	2015/06/15	15.00
63958	Citigroup Global Mkt H Inc. - Cheung Kong R Bear CBBC Apr15A	60,000,000	2014/08/20	2015/04/20	15.00
63955	Citigroup Global Mkt H Inc. - CNOOC R Bull CBBC Jul 2015 A	50,000,000	2014/08/20	2015/07/13	12.50
63956	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Jun15A	60,000,000	2014/08/20	2015/06/15	15.00
63945	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Jul15A	60,000,000	2014/08/20	2015/07/13	15.00
63947	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC May15A	60,000,000	2014/08/20	2015/05/18	15.00
63943	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2014 N	150,000,000	2014/08/20	2014/11/27	37.65
63614	HK Bank - COVS R Bear CBBC Apr 2015 A	40,000,000	2014/08/20	2015/04/30	14.00
63610	HK Bank - HSI R Bull CBBC Apr 2015 A	100,000,000	2014/08/20	2015/04/29	25.00
63664	HK Bank - Lenovo R Bull CBBC Apr 2015 B	60,000,000	2014/08/20	2015/04/30	15.00
63688	HK Bank - Lenovo R Bear CBBC Jun 2015 C	40,000,000	2014/08/20	2015/06/15	10.00
63620	HK Bank - TCH R Bull CBBC Apr 2015 D	100,000,000	2014/08/20	2015/04/28	25.00
63631	HK Bank - TCH R Bull CBBC Jun 2015 F	100,000,000	2014/08/20	2015/06/23	25.00
63898	J P Morgan SP BV - HSI R Bull CBBC May 2015 J	300,000,000	2014/08/20	2015/05/28	75.00
63937	J P Morgan SP BV - HSI R Bull CBBC May 2015 K	300,000,000	2014/08/20	2015/05/28	75.00
63935	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 I	300,000,000	2014/08/20	2015/06/29	75.00
63893	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 G	300,000,000	2014/08/20	2014/11/27	75.00
63892	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 N	300,000,000	2014/08/20	2014/11/27	75.00
63885	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 A	300,000,000	2014/08/20	2014/12/30	75.00
63891	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 B	300,000,000	2014/08/20	2014/12/30	75.00
63879	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 K	300,000,000	2014/08/20	2015/01/29	75.00

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63875	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 E	300,000,000	2014/08/20	2015/02/26	75.00
63720	Standard Chartered Bank - HKEx R Bear CBBC Mar 2015 A	50,000,000	2014/08/20	2015/03/16	15.00
63740	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 A	100,000,000	2014/08/20	2015/08/28	25.00
63741	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 B	100,000,000	2014/08/20	2015/08/28	25.00
63742	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 D	200,000,000	2014/08/20	2015/04/29	50.00
63743	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 E	200,000,000	2014/08/20	2015/04/29	50.00
63745	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 F	200,000,000	2014/08/20	2015/04/29	50.00
63746	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 G	200,000,000	2014/08/20	2015/04/29	50.00
63748	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 Z	200,000,000	2014/08/20	2014/11/27	50.00
63707	UBS AG - HSI R Bull CBBC Dec 2014 V	300,000,000	2014/08/20	2014/12/30	75.00
63704	UBS AG - HSI R Bull CBBC Feb 2015 W	300,000,000	2014/08/20	2015/02/26	75.00
63864	UBS AG - HSI R Bull CBBC Feb 2015 X	300,000,000	2014/08/20	2015/02/26	75.00
63868	UBS AG - HSI R Bull CBBC Apr 2015 A	300,000,000	2014/08/20	2015/04/29	75.00
63771	UBS AG - HSI R Bear CBBC Dec 2014 X	300,000,000	2014/08/20	2014/12/30	75.00
63791	UBS AG - HSI R Bear CBBC Dec 2014 Y	300,000,000	2014/08/20	2014/12/30	75.00
64081	Credit Suisse AG - HSI R Bull CBBC Feb 2015 D	238,000,000	2014/08/21	2015/02/26	59.50
64058	Credit Suisse AG - HSI R Bull CBBC Feb 2015 E	238,000,000	2014/08/21	2015/02/26	59.50
64080	Credit Suisse AG - HSI R Bull CBBC Feb 2015 F	238,000,000	2014/08/21	2015/02/26	59.50
64044	Credit Suisse AG - HSI R Bull CBBC Mar 2015 X	238,000,000	2014/08/21	2015/03/30	59.50
64084	Credit Suisse AG - HSI R Bear CBBC Nov 2014 B	238,000,000	2014/08/21	2014/11/27	59.50
64085	Credit Suisse AG - HSI R Bear CBBC Nov 2014 C	238,000,000	2014/08/21	2014/11/27	59.50
64089	Credit Suisse AG - HSI R Bear CBBC Nov 2014 G	238,000,000	2014/08/21	2014/11/27	59.50
64056	Credit Suisse AG - HSI R Bear CBBC Nov 2014 Y	238,000,000	2014/08/21	2014/11/27	59.50
63961	HK Bank - AIA R Bull CBBC Sep 2015 A	60,000,000	2014/08/21	2015/09/29	15.00
63998	HK Bank - AIA R Bear CBBC Apr 2015 D	40,000,000	2014/08/21	2015/04/20	10.00
64001	HK Bank - AIA R Bear CBBC Apr 2015 E	40,000,000	2014/08/21	2015/04/27	10.00
63981	HK Bank - HKEx R Bull CBBC Jun 2015 C	60,000,000	2014/08/21	2015/06/08	15.00
63997	HK Bank - HSCEI R Bull CBBC Jun 2015 A	100,000,000	2014/08/21	2015/06/29	25.00
63993	HK Bank - HSI R Bull CBBC Feb 2015 O	100,000,000	2014/08/21	2015/02/26	25.00
63989	HK Bank - HSI R Bull CBBC Mar 2015 K	100,000,000	2014/08/21	2015/03/30	25.00
63978	HK Bank - SHK Ppt R Bear CBBC Jun 2015 A	50,000,000	2014/08/21	2015/06/30	12.50
64140	J P Morgan SP BV - HSI R Bull CBBC Apr 2015 G	300,000,000	2014/08/21	2015/04/29	75.00
64153	J P Morgan SP BV - HSI R Bull CBBC May 2015 L	300,000,000	2014/08/21	2015/05/28	75.00
64155	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 J	300,000,000	2014/08/21	2015/06/29	75.00
64136	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 K	300,000,000	2014/08/21	2014/11/27	75.00
64004	J P Morgan SP BV - Tencent R Bull CBBC Jul 2015 A	100,000,000	2014/08/21	2015/07/24	25.00
64059	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 C	50,000,000	2014/08/21	2015/01/29	12.50
64020	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 M	100,000,000	2014/08/21	2015/02/26	25.00
64021	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 N	100,000,000	2014/08/21	2015/02/26	25.00
64108	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Apr15A	100,000,000	2014/08/21	2015/04/29	25.00
64121	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Apr15B	100,000,000	2014/08/21	2015/04/29	25.00
64101	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Jun15B	100,000,000	2014/08/21	2015/06/29	25.00
64109	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Jun15C	100,000,000	2014/08/21	2015/06/29	25.00
64127	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Apr15A	100,000,000	2014/08/21	2015/04/29	25.00
64129	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Jun15B	100,000,000	2014/08/21	2015/06/29	25.00

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64011	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 H	200,000,000	2014/08/21	2015/04/29	50.00
64013	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 I	200,000,000	2014/08/21	2015/04/29	50.00
64014	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 J	200,000,000	2014/08/21	2015/04/29	50.00
64018	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 K	200,000,000	2014/08/21	2015/04/29	50.00
64100	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 A	200,000,000	2014/08/21	2014/11/27	50.00
64158	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 B	200,000,000	2014/08/21	2014/11/27	50.00
64064	UBS AG - China Mobile R Bull CBBC Jul 2015 C	100,000,000	2014/08/21	2015/07/13	25.00
64037	UBS AG - HSI R Bull CBBC Mar 2015 P	300,000,000	2014/08/21	2015/03/30	75.00
64133	UBS AG - HSI R Bear CBBC Nov 2014 G	300,000,000	2014/08/21	2014/11/27	75.00
64135	UBS AG - HSI R Bear CBBC Nov 2014 W	350,000,000	2014/08/21	2014/11/27	87.50
64072	UBS AG - Tencent R Bear CBBC Mar 2015 D	100,000,000	2014/08/21	2015/03/02	25.00
64272	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 V	200,000,000	2014/08/22	2015/06/29	50.00
64279	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 W	200,000,000	2014/08/22	2015/02/26	50.00
64195	Credit Suisse AG - China Mobile R Bull CBBC Mar 2015 A	50,000,000	2014/08/22	2015/03/31	12.50
64234	Credit Suisse AG - China Mobile R Bull CBBC Mar 2015 B	50,000,000	2014/08/22	2015/03/31	12.50
64237	Credit Suisse AG - China Mobile R Bear CBBC Jan 2015 D	50,000,000	2014/08/22	2015/01/30	12.50
64246	Credit Suisse AG - China Mobile R Bear CBBC Jan 2015 E	50,000,000	2014/08/22	2015/01/30	12.50
64244	Credit Suisse AG - China Mobile R Bear CBBC Feb 2015 B	50,000,000	2014/08/22	2015/02/27	12.50
64178	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Mar 2015 A	80,000,000	2014/08/22	2015/03/31	20.00
64189	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Mar 2015 B	80,000,000	2014/08/22	2015/03/31	20.00
64193	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Mar 2015 C	80,000,000	2014/08/22	2015/03/31	20.00
64175	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Mar 2015 A	80,000,000	2014/08/22	2015/03/31	20.00
64500	Credit Suisse AG - HSI R Bull CBBC Apr 2015 K	238,000,000	2014/08/22	2015/04/29	59.50
64504	Credit Suisse AG - HSI R Bull CBBC Apr 2015 L	238,000,000	2014/08/22	2015/04/29	59.50
64163	Credit Suisse AG - HSI R Bear CBBC Dec 2014 Y	238,000,000	2014/08/22	2014/12/30	59.50
64168	Credit Suisse AG - HSI R Bear CBBC Dec 2014 Z	238,000,000	2014/08/22	2014/12/30	59.50
64478	Credit Suisse AG - HSI R Bear CBBC Feb 2015 R	238,000,000	2014/08/22	2015/02/26	59.50
64485	Credit Suisse AG - HSI R Bear CBBC Feb 2015 S	238,000,000	2014/08/22	2015/02/26	59.50
64493	Credit Suisse AG - HSI R Bear CBBC Feb 2015 T	238,000,000	2014/08/22	2015/02/26	61.88
64499	Credit Suisse AG - HSI R Bear CBBC Feb 2015 U	238,000,000	2014/08/22	2015/02/26	73.78
64543	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15B	80,000,000	2014/08/22	2015/06/15	20.00
64552	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15C	80,000,000	2014/08/22	2015/06/15	20.00
64554	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15D	80,000,000	2014/08/22	2015/06/15	20.00
64557	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15G	80,000,000	2014/08/22	2015/04/20	20.00
64558	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15H	80,000,000	2014/08/22	2015/04/20	20.00
64559	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15I	80,000,000	2014/08/22	2015/04/20	20.00
64560	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15J	80,000,000	2014/08/22	2015/04/20	20.00
64562	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15K	80,000,000	2014/08/22	2015/04/20	20.00
64541	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2015 F	50,000,000	2014/08/22	2015/05/11	20.00
64160	J P Morgan SP BV - HSI R Bull CBBC May 2015 M	300,000,000	2014/08/22	2015/05/28	75.00
64253	J P Morgan SP BV - HSI R Bull CBBC May 2015 N	300,000,000	2014/08/22	2015/05/28	75.00
64159	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 A	300,000,000	2014/08/22	2014/11/27	75.00
64252	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 O	300,000,000	2014/08/22	2014/11/27	75.00
64526	Standard Chartered Bank - China Mobile R Bull CBBC Jul 2015C	50,000,000	2014/08/22	2015/07/15	12.50
64531	Standard Chartered Bank - China Mobile R Bear CBBC Mar 2015C	50,000,000	2014/08/22	2015/03/04	12.50

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64539	Standard Chartered Bank - China Mobile R Bear CBBC Mar 2015D	50,000,000	2014/08/22	2015/03/10	12.50
64525	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 C	100,000,000	2014/08/22	2015/08/28	25.00
64521	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 O	100,000,000	2014/08/22	2015/02/26	25.00
64351	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 L	200,000,000	2014/08/22	2015/04/29	50.00
64474	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 M	200,000,000	2014/08/22	2015/04/29	50.00
64270	UBS AG - China Mobile R Bear CBBC May 2015 B	50,000,000	2014/08/22	2015/05/11	12.50
64271	UBS AG - HKEx R Bull CBBC Oct 2015 B	100,000,000	2014/08/22	2015/10/12	25.00
64265	UBS AG - HSCEI R Bear CBBC Feb 2015 C	100,000,000	2014/08/22	2015/02/26	26.00
64512	UBS AG - HSI R Bull CBBC Jan 2015 S	300,000,000	2014/08/22	2015/01/29	75.00
64519	UBS AG - HSI R Bull CBBC Mar 2015 Q	300,000,000	2014/08/22	2015/03/30	75.00
64508	UBS AG - HSI R Bull CBBC Jun 2015 B	300,000,000	2014/08/22	2015/06/29	75.00
64326	UBS AG - HSI R Bear CBBC Nov 2014 F	300,000,000	2014/08/22	2014/11/27	75.00
64296	UBS AG - HSI R Bear CBBC Nov 2014 H	300,000,000	2014/08/22	2014/11/27	75.00
64337	UBS AG - HSI R Bear CBBC Nov 2014 L	300,000,000	2014/08/22	2014/11/27	75.00
64338	UBS AG - HSI R Bear CBBC Nov 2014 M	300,000,000	2014/08/22	2014/11/27	75.00
64261	UBS AG - HSI R Bear CBBC Nov 2014 N	300,000,000	2014/08/22	2014/11/27	75.00
64298	UBS AG - HSI R Bear CBBC Nov 2014 O	300,000,000	2014/08/22	2014/11/27	75.00
64161	UBS AG - HSI R Bear CBBC Nov 2014 X	300,000,000	2014/08/22	2014/11/27	75.00
64263	UBS AG - HSI R Bear CBBC Nov 2014 Y	300,000,000	2014/08/22	2014/11/27	75.00
64286	UBS AG - HSI R Bear CBBC Nov 2014 Z	300,000,000	2014/08/22	2014/11/27	79.50
64349	UBS AG - HSI R Bear CBBC Dec 2014 C	300,000,000	2014/08/22	2014/12/30	75.00
64255	UBS AG - HSI R Bear CBBC Dec 2014 Z	300,000,000	2014/08/22	2014/12/30	75.00
68384 [#]	HK Bank - GCL-Poly Energy R Bull CBBC Oct 2015 A	160,000,000	2014/08/22	2015/10/26	10.72
69977 [#]	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 C	200,000,000	2014/08/22	2015/04/08	18.40
64586	Credit Suisse AG - HSI R Bull CBBC Apr 2015 M	238,000,000	2014/08/25	2015/04/29	59.50
64627	Credit Suisse AG - HSI R Bull CBBC Apr 2015 N	238,000,000	2014/08/25	2015/04/29	59.50
64578	Credit Suisse AG - HSI R Bear CBBC Dec 2014 E	238,000,000	2014/08/25	2014/12/30	59.50
64579	Credit Suisse AG - HSI R Bear CBBC Dec 2014 F	238,000,000	2014/08/25	2014/12/30	59.50
64585	Credit Suisse AG - HSI R Bear CBBC Dec 2014 G	238,000,000	2014/08/25	2014/12/30	59.50
64563	HK Bank - China Mobile R Bull CBBC Jul 2015 F	120,000,000	2014/08/25	2015/07/27	30.00
64564	HK Bank - China Mobile R Bull CBBC Jul 2015 G	120,000,000	2014/08/25	2015/07/20	30.00
64568	HK Bank - China Mobile R Bull CBBC Jul 2015 H	120,000,000	2014/08/25	2015/07/13	30.00
64572	HK Bank - TCH R Bull CBBC Jul 2015 D	100,000,000	2014/08/25	2015/07/27	25.00
64575	HK Bank - TCH R Bull CBBC Jul 2015 E	100,000,000	2014/08/25	2015/07/27	25.00
64577	HK Bank - TCH R Bull CBBC Aug 2015 A	100,000,000	2014/08/25	2015/08/31	25.00
64632	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 K	300,000,000	2014/08/25	2015/06/29	75.00
64629	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 Q	300,000,000	2014/08/25	2014/11/27	75.00
64619	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 15N	400,000,000	2014/08/25	2015/04/29	100.00
64623	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov 14F	200,000,000	2014/08/25	2014/11/27	50.00
64617	UBS AG - China Mobile R Bull CBBC Oct 2015 A	100,000,000	2014/08/25	2015/10/12	25.00
64616	UBS AG - China Mobile R Bear CBBC Apr 2015 C	50,000,000	2014/08/25	2015/04/27	12.50
64593	UBS AG - HSI R Bull CBBC Jan 2015 U	300,000,000	2014/08/25	2015/01/29	75.00
64612	UBS AG - HSI R Bear CBBC Nov 2014 D	300,000,000	2014/08/25	2014/11/27	75.00
64615	UBS AG - HSI R Bear CBBC Nov 2014 E	300,000,000	2014/08/25	2014/11/27	75.00
64600	UBS AG - HSI R Bear CBBC Nov 2014 P	300,000,000	2014/08/25	2014/11/27	75.00

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64601	UBS AG - HSI R Bear CBBC Nov 2014 Q	300,000,000	2014/08/25	2014/11/27	75.00
64603	UBS AG - HSI R Bear CBBC Nov 2014 R	300,000,000	2014/08/25	2014/11/27	75.00
64607	UBS AG - HSI R Bear CBBC Nov 2014 S	300,000,000	2014/08/25	2014/11/27	75.00
64599	UBS AG - HSI R Bear CBBC Dec 2014 D	300,000,000	2014/08/25	2014/12/30	81.00
64625	UBS AG - HSI R Bear CBBC Dec 2014 E	300,000,000	2014/08/25	2014/12/30	75.00
64626	UBS AG - HSI R Bear CBBC Dec 2014 F	350,000,000	2014/08/25	2014/12/30	87.50
64618	UBS AG - TCH R Bull CBBC Jun 2015 B	100,000,000	2014/08/25	2015/06/29	25.00
64781	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 W	200,000,000	2014/08/26	2015/06/29	50.00
64785	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 X	200,000,000	2014/08/26	2015/06/29	50.00
64750	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 X	200,000,000	2014/08/26	2015/02/26	50.00
64755	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Y	200,000,000	2014/08/26	2015/02/26	50.00
64771	Credit Suisse AG - HSI R Bull CBBC Feb 2015 A	238,000,000	2014/08/26	2015/02/26	59.50
64776	Credit Suisse AG - HSI R Bull CBBC Feb 2015 B	238,000,000	2014/08/26	2015/02/26	59.50
64756	Credit Suisse AG - HSI R Bear CBBC Nov 2014 J	238,000,000	2014/08/26	2014/11/27	59.50
64698	Credit Suisse AG - HSI R Bear CBBC Nov 2014 K	238,000,000	2014/08/26	2014/11/27	59.50
64770	Credit Suisse AG - HSI R Bear CBBC Nov 2014 L	238,000,000	2014/08/26	2014/11/27	59.50
64691	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Apr15C	120,000,000	2014/08/26	2015/04/24	30.00
64682	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15L	120,000,000	2014/08/26	2015/04/17	30.00
64684	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15M	120,000,000	2014/08/26	2015/04/17	30.00
64687	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15N	120,000,000	2014/08/26	2015/04/24	30.00
64710	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Jun15A	100,000,000	2014/08/26	2015/06/15	25.00
64711	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Jun15B	100,000,000	2014/08/26	2015/06/15	25.00
64718	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Apr15C	100,000,000	2014/08/26	2015/04/17	25.00
64721	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Apr15D	100,000,000	2014/08/26	2015/04/24	25.00
64724	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC May15A	100,000,000	2014/08/26	2015/05/18	25.00
64725	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 15 C	100,000,000	2014/08/26	2015/04/17	25.00
64729	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 15 D	100,000,000	2014/08/26	2015/04/24	25.00
64809	Bank of East Asia - China Mobile R Bull CBBC Jul 2015 A	40,000,000	2014/08/26	2015/07/03	10.00
64810	Bank of East Asia - China Mobile R Bear CBBC Jun 2015 A	40,000,000	2014/08/26	2015/06/03	10.00
64800	Bank of East Asia - CSOP A50 ETF R Bull CBBC Dec 2015 A	100,000,000	2014/08/26	2015/12/23	25.00
64799	Bank of East Asia - A50 China R Bull CBBC Dec 2015 A	100,000,000	2014/08/26	2015/12/30	25.00
64801	Bank of East Asia - TCH R Bull CBBC Jun 2015 A	40,000,000	2014/08/26	2015/06/26	10.00
64675	HK Bank - China Mobile R Bull CBBC Jul 2015 I	120,000,000	2014/08/26	2015/07/27	30.00
64658	HK Bank - China Mobile R Bear CBBC Jul 2015 E	60,000,000	2014/08/26	2015/07/27	15.00
64678	HK Bank - CNOOC R Bull CBBC Oct 2015 C	60,000,000	2014/08/26	2015/10/26	15.00
64679	HK Bank - CNOOC R Bear CBBC Apr 2015 A	40,000,000	2014/08/26	2015/04/30	12.00
64654	HK Bank - HSI R Bull CBBC Mar 2015 L	400,000,000	2014/08/26	2015/03/30	100.00
64636	HK Bank - HSI R Bear CBBC Apr 2015 I	100,000,000	2014/08/26	2015/04/29	25.00
64699	J P Morgan SP BV - HSI R Bull CBBC May 2015 O	300,000,000	2014/08/26	2015/05/28	75.00
64793	J P Morgan SP BV - HSI R Bull CBBC May 2015 P	300,000,000	2014/08/26	2015/05/28	75.00
64795	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 L	300,000,000	2014/08/26	2015/06/29	75.00
64701	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 T	300,000,000	2014/08/26	2014/11/27	75.00
64794	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 W	300,000,000	2014/08/26	2014/11/27	75.00
64798	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 D	300,000,000	2014/08/26	2014/12/30	75.00
64797	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 L	300,000,000	2014/08/26	2015/01/29	75.00

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64791	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 F	300,000,000	2014/08/26	2015/02/26	75.00
64792	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 G	300,000,000	2014/08/26	2015/02/26	75.00
64741	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 D	100,000,000	2014/08/26	2015/08/28	25.00
64787	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 O	200,000,000	2014/08/26	2015/04/29	50.00
64740	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 H	200,000,000	2014/08/26	2014/11/27	50.00
64788	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 I	200,000,000	2014/08/26	2014/11/27	50.00
64745	UBS AG - HSI R Bull CBBC Mar 2015 R	300,000,000	2014/08/26	2015/03/30	75.00
64743	UBS AG - HSI R Bull CBBC Apr 2015 B	300,000,000	2014/08/26	2015/04/29	75.00
64730	UBS AG - HSI R Bear CBBC Nov 2014 J	300,000,000	2014/08/26	2014/11/27	75.00
64697	UBS AG - HSI R Bear CBBC Nov 2014 T	350,000,000	2014/08/26	2014/11/27	87.50
64693	UBS AG - HSI R Bear CBBC Dec 2014 G	300,000,000	2014/08/26	2014/12/30	75.00
64734	UBS AG - HSI R Bear CBBC Dec 2014 H	300,000,000	2014/08/26	2014/12/30	75.00
64857	Credit Suisse AG - China Mobile R Bull CBBC Feb 2015 B	50,000,000	2014/08/27	2015/02/27	12.50
64859	Credit Suisse AG - China Mobile R Bear CBBC Feb 2015 C	50,000,000	2014/08/27	2015/02/27	12.50
64860	Credit Suisse AG - China Mobile R Bear CBBC Mar 2015 A	50,000,000	2014/08/27	2015/03/31	12.50
64886	Credit Suisse AG - HSI R Bull CBBC Dec 2014 Y	238,000,000	2014/08/27	2014/12/30	59.50
64887	Credit Suisse AG - HSI R Bull CBBC Mar 2015 Y	238,000,000	2014/08/27	2015/03/30	59.50
64894	Credit Suisse AG - HSI R Bull CBBC Mar 2015 Z	238,000,000	2014/08/27	2015/03/30	59.50
64850	Credit Suisse AG - HSI R Bear CBBC Nov 2014 M	238,000,000	2014/08/27	2014/11/27	59.50
64853	Credit Suisse AG - HSI R Bear CBBC Jan 2015 R	238,000,000	2014/08/27	2015/01/29	59.50
64854	Credit Suisse AG - HSI R Bear CBBC Jan 2015 S	238,000,000	2014/08/27	2015/01/29	59.50
64855	Credit Suisse AG - HSI R Bear CBBC Jan 2015 T	238,000,000	2014/08/27	2015/01/29	59.50
64856	Credit Suisse AG - HSI R Bear CBBC Jan 2015 U	238,000,000	2014/08/27	2015/01/29	59.50
64828	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 H	200,000,000	2014/08/27	2015/04/27	50.00
64814	HK Bank - HSI R Bull CBBC Apr 2015 B	100,000,000	2014/08/27	2015/04/29	25.00
64824	HK Bank - HSI R Bear CBBC Mar 2015 N	100,000,000	2014/08/27	2015/03/30	25.00
64818	HK Bank - HSI R Bear CBBC Apr 2015 J	400,000,000	2014/08/27	2015/04/29	100.00
64832	HK Bank - Lenovo R Bull CBBC Aug 2015 B	60,000,000	2014/08/27	2015/08/31	15.00
64834	HK Bank - Lenovo R Bear CBBC Jun 2015 D	40,000,000	2014/08/27	2015/06/08	10.00
64839	J P Morgan SP BV - HSI R Bull CBBC May 2015 Q	300,000,000	2014/08/27	2015/05/28	75.00
64845	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 M	300,000,000	2014/08/27	2015/06/29	75.00
64902	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 F	300,000,000	2014/08/27	2014/11/27	75.00
64896	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 E	300,000,000	2014/08/27	2014/12/30	75.00
64846	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 D	50,000,000	2014/08/27	2015/01/29	12.50
64882	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 E	100,000,000	2014/08/27	2015/08/28	25.00
64866	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 P	100,000,000	2014/08/27	2015/02/26	25.00
64879	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 Q	100,000,000	2014/08/27	2015/02/26	25.00
64884	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 P	200,000,000	2014/08/27	2015/04/29	50.00
64885	UBS AG - HSI R Bull CBBC Feb 2015 Z	300,000,000	2014/08/27	2015/02/26	75.00
64862	UBS AG - HSI R Bear CBBC Nov 2014 U	300,000,000	2014/08/27	2014/11/27	75.00
64903	Credit Suisse AG - HSI R Bull CBBC Mar 2015 C	238,000,000	2014/08/28	2015/03/30	59.50
64905	Credit Suisse AG - HSI R Bull CBBC Mar 2015 D	238,000,000	2014/08/28	2015/03/30	59.50
64999	Credit Suisse AG - HSI R Bear CBBC Nov 2014 F	238,000,000	2014/08/28	2014/11/27	59.50
65000	Credit Suisse AG - HSI R Bear CBBC Nov 2014 N	238,000,000	2014/08/28	2014/11/27	59.50
64997	Credit Suisse AG - HSI R Bear CBBC Nov 2014 R	238,000,000	2014/08/28	2014/11/27	59.50

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64988	Bank of East Asia - COVS R Bull CBBC Sep 2015 A	30,000,000	2014/08/28	2015/09/30	13.50
64991	Bank of East Asia - Galaxy Ent R Bull CBBC Apr 2015 A	70,000,000	2014/08/28	2015/04/30	17.50
64994	Bank of East Asia - HSBC Holdings R Bull CBBC Apr 2015 A	50,000,000	2014/08/28	2015/04/30	12.50
64989	Bank of East Asia - Hutchison R Bull CBBC Jul 2015 A	40,000,000	2014/08/28	2015/07/24	10.00
64996	Bank of East Asia - Lenovo R Bull CBBC May 2015 A	40,000,000	2014/08/28	2015/05/22	10.00
64908	J P Morgan SP BV - HSI R Bull CBBC May 2015 R	300,000,000	2014/08/28	2015/05/28	75.00
64970	J P Morgan SP BV - HSI R Bull CBBC May 2015 S	300,000,000	2014/08/28	2015/05/28	75.00
64960	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 C	300,000,000	2014/08/28	2014/11/27	75.00
64963	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 H	300,000,000	2014/08/28	2014/11/27	75.00
64942	J P Morgan SP BV - HSI R Bear CBBC Nov 2014 I	300,000,000	2014/08/28	2014/11/27	75.00
64971	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov14 J	200,000,000	2014/08/28	2014/11/27	50.00
64982	UBS AG - ABC R Bull CBBC Jul 2015 B	50,000,000	2014/08/28	2015/07/06	12.50
64976	UBS AG - AIA R Bull CBBC Jun 2015 D	100,000,000	2014/08/28	2015/06/29	25.00
64974	UBS AG - Minsheng Bank R Bear CBBC Aug 2015 A	50,000,000	2014/08/28	2015/08/04	12.50
64928	UBS AG - HSI R Bull CBBC Jan 2015 T	300,000,000	2014/08/28	2015/01/29	75.00
64912	UBS AG - HSI R Bull CBBC Mar 2015 S	300,000,000	2014/08/28	2015/03/30	75.00
65002	UBS AG - HSI R Bear CBBC Dec 2014 I	300,000,000	2014/08/28	2014/12/30	75.00
65003	UBS AG - HSI R Bear CBBC Dec 2014 J	350,000,000	2014/08/28	2014/12/30	87.50
65016	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Z	200,000,000	2014/08/29	2015/02/26	50.00
65028	Credit Suisse AG - HSI R Bull CBBC Jun 2015 A	238,000,000	2014/08/29	2015/06/29	59.50
65029	Credit Suisse AG - HSI R Bull CBBC Jun 2015 B	238,000,000	2014/08/29	2015/06/29	59.50
65013	Credit Suisse AG - HSI R Bear CBBC Dec 2014 J	238,000,000	2014/08/29	2014/12/30	59.50
65014	Credit Suisse AG - HSI R Bear CBBC Dec 2014 K	238,000,000	2014/08/29	2014/12/30	59.50
65012	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 F	300,000,000	2014/08/29	2014/12/30	75.00
65030	UBS AG - HSI R Bull CBBC Feb 2015 H	300,000,000	2014/08/29	2015/02/26	75.00
65015	UBS AG - HSI R Bear CBBC Jan 2015 S	300,000,000	2014/08/29	2015/01/29	75.00
65065	Credit Suisse AG - HSI R Bull CBBC May 2015 A	238,000,000	2014/09/01	2015/05/28	59.50
65066	Credit Suisse AG - HSI R Bull CBBC May 2015 B	238,000,000	2014/09/01	2015/05/28	59.50
65070	Credit Suisse AG - HSI R Bull CBBC May 2015 C	238,000,000	2014/09/01	2015/05/28	59.50
65060	Credit Suisse AG - HSI R Bear CBBC Jan 2015 V	238,000,000	2014/09/01	2015/01/29	59.50
65061	Credit Suisse AG - HSI R Bear CBBC Jan 2015 W	238,000,000	2014/09/01	2015/01/29	59.50
65092	Citigroup Global Mkt H Inc. - Sinopec Corp R Bull CBBC Apr15B	80,000,000	2014/09/01	2015/04/24	20.00
65098	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Apr15A	80,000,000	2014/09/01	2015/04/24	20.00
65100	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Apr15B	80,000,000	2014/09/01	2015/04/24	20.00
65045	HK Bank - Cheung Kong R Bear CBBC Jul 2015 A	50,000,000	2014/09/01	2015/07/31	15.00
65031	HK Bank - HSCEI R Bear CBBC Mar 2015 B	68,000,000	2014/09/01	2015/03/30	17.00
65032	HK Bank - HSI R Bull CBBC Mar 2015 M	100,000,000	2014/09/01	2015/03/30	25.00
65038	HK Bank - HSI R Bull CBBC Apr 2015 C	100,000,000	2014/09/01	2015/04/29	25.00
65039	HK Bank - TCH R Bull CBBC Jul 2015 F	100,000,000	2014/09/01	2015/07/06	25.00
65089	J P Morgan SP BV - HSI R Bull CBBC May 2015 T	300,000,000	2014/09/01	2015/05/28	75.00
65058	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 G	300,000,000	2014/09/01	2014/12/30	75.00
65086	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 L	300,000,000	2014/09/01	2014/12/30	75.00
65057	J P Morgan SP BV - Tencent R Bear CBBC Dec 2014 A	100,000,000	2014/09/01	2014/12/30	25.00
65046	UBS AG - HSI R Bull CBBC Dec 2014 I	300,000,000	2014/09/01	2014/12/30	75.00
65073	UBS AG - HSI R Bull CBBC Mar 2015 T	300,000,000	2014/09/01	2015/03/30	75.00

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65082	UBS AG - HSI R Bear CBBC Dec 2014 K	350,000,000	2014/09/01	2014/12/30	87.50
65131	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 Y	200,000,000	2014/09/02	2015/06/29	50.00
65142	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 F	200,000,000	2014/09/02	2015/02/26	50.00
65137	Credit Suisse AG - HSI R Bull CBBC Apr 2015 O	238,000,000	2014/09/02	2015/04/29	59.50
65138	Credit Suisse AG - HSI R Bear CBBC Dec 2014 A	238,000,000	2014/09/02	2014/12/30	59.50
65117	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC May 15 B	150,000,000	2014/09/02	2015/05/18	37.50
65119	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC May 15 C	150,000,000	2014/09/02	2015/05/18	37.50
65124	Citigroup Global Mkt H Inc. - PetCh R Bull CBBC Jun 2015 A	50,000,000	2014/09/02	2015/06/15	12.50
65127	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC May 2015 A	50,000,000	2014/09/02	2015/05/11	12.50
65128	Citigroup Global Mkt H Inc. - PetCh R Bear CBBC May 2015 B	50,000,000	2014/09/02	2015/05/11	12.50
65106	J P Morgan SP BV - HSI R Bull CBBC May 2015 U	300,000,000	2014/09/02	2015/05/28	75.00
65102	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 E	50,000,000	2014/09/02	2015/01/29	12.50
65120	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 Q	200,000,000	2014/09/02	2015/04/29	50.00
65121	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 O	200,000,000	2014/09/02	2014/12/30	50.00
65134	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2015 E	100,000,000	2014/09/02	2015/02/02	25.00
65123	UBS AG - HSCEI R Bull CBBC Jun 2015 A	100,000,000	2014/09/02	2015/06/29	25.00
65122	UBS AG - HSI R Bull CBBC Feb 2015 N	300,000,000	2014/09/02	2015/02/26	75.00
65139	UBS AG - HSI R Bear CBBC Dec 2014 L	300,000,000	2014/09/02	2014/12/30	75.00
65135	UBS AG - Kingsoft R Bull CBBC Nov 2015 A	50,000,000	2014/09/02	2015/11/16	12.50
65171	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 J	200,000,000	2014/09/03	2015/06/29	50.00
65170	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 Z	200,000,000	2014/09/03	2015/06/29	50.00
65167	Credit Suisse AG - HSI R Bull CBBC May 2015 D	238,000,000	2014/09/03	2015/05/28	59.50
65169	Credit Suisse AG - HSI R Bull CBBC May 2015 E	238,000,000	2014/09/03	2015/05/28	59.50
65174	Credit Suisse AG - HSI R Bear CBBC Dec 2014 B	238,000,000	2014/09/03	2014/12/30	59.50
65172	Credit Suisse AG - HSI R Bear CBBC Dec 2014 H	238,000,000	2014/09/03	2014/12/30	59.50
65143	HK Bank - AIA R Bull CBBC Jun 2015 C	60,000,000	2014/09/03	2015/06/29	15.00
65148	HK Bank - HSCEI R Bull CBBC Jul 2015 A	100,000,000	2014/09/03	2015/07/30	25.00
65144	HK Bank - HSI R Bear CBBC Apr 2015 K	100,000,000	2014/09/03	2015/04/29	25.00
65147	HK Bank - HSI R Bear CBBC May 2015 D	100,000,000	2014/09/03	2015/05/28	25.00
65145	HK Bank - HSI R Bear CBBC Jul 2015 B	100,000,000	2014/09/03	2015/07/30	25.00
65146	HK Bank - HSI R Bear CBBC Aug 2015 A	100,000,000	2014/09/03	2015/08/28	25.00
65150	HK Bank - PetCh R Bull CBBC Jun 2015 B	60,000,000	2014/09/03	2015/06/29	15.00
65151	HK Bank - PetCh R Bull CBBC Jun 2015 C	60,000,000	2014/09/03	2015/06/29	15.00
65161	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 N	300,000,000	2014/09/03	2015/06/29	75.00
65160	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 A	300,000,000	2014/09/03	2015/07/30	75.00
65188	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 M	300,000,000	2014/09/03	2014/12/30	75.00
65184	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 R	100,000,000	2014/09/03	2015/02/26	25.00
65153	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun15 A	400,000,000	2014/09/03	2015/06/29	100.00
65154	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun15 B	400,000,000	2014/09/03	2015/06/29	100.00
65155	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun15 C	400,000,000	2014/09/03	2015/06/29	100.00
65152	UBS AG - HSI R Bull CBBC Apr 2015 C	300,000,000	2014/09/03	2015/04/29	75.00
65164	UBS AG - HSI R Bull CBBC Apr 2015 D	300,000,000	2014/09/03	2015/04/29	75.00
65177	UBS AG - HSI R Bear CBBC Dec 2014 A	300,000,000	2014/09/03	2014/12/30	75.00
65156	UBS AG - SHK Ppt R Bull CBBC Jun 2015 A	50,000,000	2014/09/03	2015/06/01	12.50
65157	UBS AG - TCH R Bull CBBC Jul 2015 E	100,000,000	2014/09/03	2015/07/13	25.00

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65209	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 I	200,000,000	2014/09/04	2015/06/29	50.00
65208	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 K	200,000,000	2014/09/04	2015/06/29	50.00
65227	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 I	200,000,000	2014/09/04	2015/02/26	50.00
65226	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Q	200,000,000	2014/09/04	2015/02/26	50.00
65219	Credit Suisse AG - HSI R Bull CBBC Dec 2014 K	238,000,000	2014/09/04	2014/12/30	59.50
65202	Credit Suisse AG - HSI R Bull CBBC Apr 2015 P	238,000,000	2014/09/04	2015/04/29	59.50
65203	Credit Suisse AG - HSI R Bull CBBC Apr 2015 Q	238,000,000	2014/09/04	2015/04/29	59.50
65204	Credit Suisse AG - HSI R Bull CBBC Apr 2015 R	238,000,000	2014/09/04	2015/04/29	59.50
65205	Credit Suisse AG - HSI R Bull CBBC Apr 2015 S	238,000,000	2014/09/04	2015/04/29	59.50
65216	Credit Suisse AG - HSI R Bear CBBC Dec 2014 L	238,000,000	2014/09/04	2014/12/30	59.50
65218	Credit Suisse AG - HSI R Bear CBBC Dec 2014 M	238,000,000	2014/09/04	2014/12/30	59.50
65220	Credit Suisse AG - HSI R Bear CBBC Dec 2014 N	238,000,000	2014/09/04	2014/12/30	59.50
65215	Credit Suisse AG - HSI R Bear CBBC Jan 2015 X	238,000,000	2014/09/04	2015/01/29	59.50
65190	HK Bank - HSI R Bear CBBC Mar 2015 O	100,000,000	2014/09/04	2015/03/30	25.00
65191	HK Bank - HSI R Bear CBBC Apr 2015 L	100,000,000	2014/09/04	2015/04/29	25.00
65189	HK Bank - PetCh R Bear CBBC Jul 2015 B	40,000,000	2014/09/04	2015/07/20	10.00
65206	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 O	300,000,000	2014/09/04	2015/06/29	75.00
65207	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 P	300,000,000	2014/09/04	2015/06/29	75.00
65230	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 V	300,000,000	2014/09/04	2014/12/30	75.00
65231	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 W	300,000,000	2014/09/04	2014/12/30	75.00
65232	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 X	300,000,000	2014/09/04	2014/12/30	75.00
65214	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 F	40,000,000	2014/09/04	2015/01/29	10.00
65233	Standard Chartered Bank - Anhui Conch R Bull CBBC Oct 2015 A	50,000,000	2014/09/04	2015/10/08	12.50
65234	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 F	100,000,000	2014/09/04	2015/08/28	25.00
65210	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 S	100,000,000	2014/09/04	2015/02/26	25.00
65192	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 15R	200,000,000	2014/09/04	2015/04/29	50.00
65212	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 15S	200,000,000	2014/09/04	2015/04/29	50.00
65193	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14P	200,000,000	2014/09/04	2014/12/30	50.00
65194	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14Q	200,000,000	2014/09/04	2014/12/30	50.00
65229	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14R	200,000,000	2014/09/04	2014/12/30	50.00
65224	UBS AG - HSI R Bull CBBC Dec 2014 D	350,000,000	2014/09/04	2014/12/30	87.50
65201	UBS AG - HSI R Bull CBBC Jan 2015 J	350,000,000	2014/09/04	2015/01/29	87.50
65200	UBS AG - HSI R Bull CBBC Jan 2015 K	300,000,000	2014/09/04	2015/01/29	75.00
65221	UBS AG - HSI R Bear CBBC Dec 2014 B	300,000,000	2014/09/04	2014/12/30	75.00
65195	UBS AG - HSI R Bear CBBC Dec 2014 N	300,000,000	2014/09/04	2014/12/30	75.00
65223	UBS AG - HSI R Bear CBBC Dec 2014 O	300,000,000	2014/09/04	2014/12/30	75.00
65274	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 J	200,000,000	2014/09/05	2015/02/26	50.00
65264	Credit Suisse AG - HKEx R Bull CBBC Jan 2015 C	50,000,000	2014/09/05	2015/01/30	12.50
65265	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 F	50,000,000	2014/09/05	2015/01/30	12.50
65266	Credit Suisse AG - HKEx R Bear CBBC Jan 2015 G	50,000,000	2014/09/05	2015/01/30	12.50
65275	Credit Suisse AG - HSI R Bull CBBC Jun 2015 E	238,000,000	2014/09/05	2015/06/29	59.50
65277	Credit Suisse AG - HSI R Bull CBBC Jun 2015 F	238,000,000	2014/09/05	2015/06/29	59.50
65278	Credit Suisse AG - HSI R Bull CBBC Jun 2015 G	238,000,000	2014/09/05	2015/06/29	59.50
65272	Credit Suisse AG - HSI R Bear CBBC Jan 2015 Y	238,000,000	2014/09/05	2015/01/29	59.50
65273	Credit Suisse AG - HSI R Bear CBBC Jan 2015 Z	238,000,000	2014/09/05	2015/01/29	59.50

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65262	Credit Suisse AG - TCH R Bear CBBC Jan 2015 B	50,000,000	2014/09/05	2015/01/30	12.50
65263	Credit Suisse AG - TCH R Bear CBBC Jan 2015 C	50,000,000	2014/09/05	2015/01/30	12.50
65284	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 Q	300,000,000	2014/09/05	2014/12/30	75.00
65282	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 H	300,000,000	2014/09/05	2014/12/30	75.00
65279	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Y	300,000,000	2014/09/05	2014/12/30	75.00
65245	Standard Chartered Bank - ABC R Bear CBBC Jun 2015 A	50,000,000	2014/09/05	2015/06/08	12.50
65248	Standard Chartered Bank - AIA R Bear CBBC Apr 2015 B	60,000,000	2014/09/05	2015/04/28	15.00
65239	Standard Chartered Bank - Lenovo R Bear CBBC Apr 2015 C	50,000,000	2014/09/05	2015/04/16	12.50
65240	Standard Chartered Bank - Lenovo R Bear CBBC Apr 2015 D	50,000,000	2014/09/05	2015/04/17	14.50
65249	Standard Chartered Bank - PetCh R Bear CBBC May 2015 A	50,000,000	2014/09/05	2015/05/06	12.50
65237	Standard Chartered Bank - Sands China R Bull CBBC Apr 2015 B	50,000,000	2014/09/05	2015/04/09	12.50
65238	Standard Chartered Bank - Sands China R Bull CBBC Apr 2015 C	50,000,000	2014/09/05	2015/04/10	12.50
65267	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Jun15D	100,000,000	2014/09/05	2015/06/29	25.00
65268	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Jun15E	100,000,000	2014/09/05	2015/06/29	25.00
65235	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 T	200,000,000	2014/09/05	2015/04/29	50.00
65236	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 S	200,000,000	2014/09/05	2014/12/30	50.00
65269	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 T	200,000,000	2014/09/05	2014/12/30	50.00
65301	Credit Suisse AG - HSI R Bull CBBC May 2015 F	238,000,000	2014/09/08	2015/05/28	59.50
65309	Credit Suisse AG - HSI R Bull CBBC May 2015 G	238,000,000	2014/09/08	2015/05/28	59.50
65289	Credit Suisse AG - HSI R Bear CBBC Dec 2014 R	238,000,000	2014/09/08	2014/12/30	59.50
65291	Credit Suisse AG - HSI R Bear CBBC Dec 2014 S	238,000,000	2014/09/08	2014/12/30	59.50
65292	Credit Suisse AG - HSI R Bear CBBC Dec 2014 T	238,000,000	2014/09/08	2014/12/30	59.50
65315	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC May15 A	60,000,000	2014/09/08	2015/05/18	15.00
65316	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Mar15B	60,000,000	2014/09/08	2015/03/16	15.00
65317	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC May15B	60,000,000	2014/09/08	2015/05/11	15.00
65322	Bank of East Asia - Galaxy Ent R Bear CBBC Apr 2015 A	60,000,000	2014/09/08	2015/04/02	15.00
65323	Bank of East Asia - Sands China R Bull CBBC May 2015 A	100,000,000	2014/09/08	2015/05/06	25.00
65300	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 A	150,000,000	2014/09/08	2014/12/30	38.85
65298	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2014 B	150,000,000	2014/09/08	2014/12/30	37.80
65313	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 Q	300,000,000	2014/09/08	2015/06/29	75.00
65314	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 R	300,000,000	2014/09/08	2015/06/29	75.00
65312	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 I	300,000,000	2014/09/08	2014/12/30	75.00
65288	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 T	100,000,000	2014/09/08	2015/02/26	25.00
65295	UBS AG - HKEx R Bull CBBC Sep 2015 F	100,000,000	2014/09/08	2015/09/21	25.00
65297	UBS AG - HKEx R Bull CBBC Sep 2015 G	100,000,000	2014/09/08	2015/09/07	40.50
65311	UBS AG - HSI R Bull CBBC Feb 2015 O	300,000,000	2014/09/08	2015/02/26	75.00
65310	UBS AG - HSI R Bull CBBC Mar 2015 U	300,000,000	2014/09/08	2015/03/30	75.00
65293	UBS AG - HSI R Bear CBBC Dec 2014 P	300,000,000	2014/09/08	2014/12/30	75.00
65294	UBS AG - HSI R Bear CBBC Dec 2014 Q	300,000,000	2014/09/08	2014/12/30	75.00
65360	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 L	200,000,000	2014/09/10	2015/06/29	50.00
65361	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 K	200,000,000	2014/09/10	2015/02/26	50.00
65362	Credit Suisse AG - HSI R Bull CBBC Mar 2015 E	238,000,000	2014/09/10	2015/03/30	59.50
65363	Credit Suisse AG - HSI R Bull CBBC Mar 2015 F	238,000,000	2014/09/10	2015/03/30	59.50
65397	Credit Suisse AG - HSI R Bear CBBC Dec 2014 U	238,000,000	2014/09/10	2014/12/30	59.50
65399	Credit Suisse AG - HSI R Bear CBBC Dec 2014 W	238,000,000	2014/09/10	2014/12/30	59.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65416	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Jun15A	100,000,000	2014/09/10	2015/06/08	25.00
65417	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Jun 15 A	100,000,000	2014/09/10	2015/06/08	25.00
65421	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Jun 15 A	100,000,000	2014/09/10	2015/06/08	25.00
65411	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Mar 2015 C	50,000,000	2014/09/10	2015/03/23	12.50
65415	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Jul 2015 B	80,000,000	2014/09/10	2015/07/13	20.00
65412	Citigroup Global Mkt H Inc. - TCH R Bear CBBC Apr 2015 E	200,000,000	2014/09/10	2015/04/15	50.00
65341	HK Bank - CSOP A50 ETF R Bull CBBC May 2015 A	200,000,000	2014/09/10	2015/05/28	50.00
65342	HK Bank - CSOP A50 ETF R Bull CBBC May 2015 B	200,000,000	2014/09/10	2015/05/28	50.00
65349	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2015 A	200,000,000	2014/09/10	2015/06/29	50.00
65350	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2015 A	200,000,000	2014/09/10	2015/07/30	50.00
65352	HK Bank - A50 R Bull CBBC May 2015 B	200,000,000	2014/09/10	2015/05/28	50.00
65337	HK Bank - Galaxy Ent R Bear CBBC Jul 2015 A	60,000,000	2014/09/10	2015/07/30	15.00
65339	HK Bank - Sands China R Bear CBBC Mar 2015 A	60,000,000	2014/09/10	2015/03/30	15.00
65338	HK Bank - Sands China R Bear CBBC Aug 2015 A	60,000,000	2014/09/10	2015/08/28	15.00
65333	HK Bank - TCH R Bull CBBC Aug 2015 B	100,000,000	2014/09/10	2015/08/28	25.00
65331	HK Bank - TCH R Bear CBBC Jun 2015 C	100,000,000	2014/09/10	2015/06/29	25.00
65332	HK Bank - TCH R Bear CBBC Jul 2015 B	100,000,000	2014/09/10	2015/07/30	25.00
65384	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 S	300,000,000	2014/09/10	2015/06/29	75.00
65376	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Q	300,000,000	2014/09/10	2014/12/30	75.00
65379	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Z	300,000,000	2014/09/10	2014/12/30	75.00
65401	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Mar 2015C	50,000,000	2014/09/10	2015/03/27	12.50
65406	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 G	100,000,000	2014/09/10	2015/08/28	25.00
65386	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC May15A	100,000,000	2014/09/10	2015/05/28	25.00
65355	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 15U	200,000,000	2014/09/10	2015/04/29	50.00
65357	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 15V	200,000,000	2014/09/10	2015/04/29	50.00
65387	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14U	200,000,000	2014/09/10	2014/12/30	50.00
65358	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 15K	400,000,000	2014/09/10	2015/02/26	100.00
65375	UBS AG - HSI R Bull CBBC Apr 2015 E	300,000,000	2014/09/10	2015/04/29	75.00
65370	UBS AG - HSI R Bull CBBC May 2015 B	300,000,000	2014/09/10	2015/05/28	75.00
65394	UBS AG - HSI R Bear CBBC Dec 2014 V	300,000,000	2014/09/10	2014/12/30	75.00
65389	UBS AG - HSI R Bear CBBC Jan 2015 T	300,000,000	2014/09/10	2015/01/29	75.00
65400	UBS AG - TCH R Bull CBBC Jun 2015 C	100,000,000	2014/09/10	2015/06/15	25.00
65521	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 G	200,000,000	2014/09/11	2015/06/29	50.00
65589	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 H	200,000,000	2014/09/11	2015/06/29	50.00
65518	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 M	200,000,000	2014/09/11	2015/06/29	50.00
65591	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 N	200,000,000	2014/09/11	2015/06/29	50.00
65592	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 W	200,000,000	2014/09/11	2015/06/29	50.00
65451	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 R	200,000,000	2014/09/11	2015/02/26	50.00
65522	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 S	200,000,000	2014/09/11	2015/02/26	50.00
65524	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 T	200,000,000	2014/09/11	2015/02/26	50.00
65529	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 U	200,000,000	2014/09/11	2015/02/26	50.00
65580	Credit Suisse AG - HSI R Bull CBBC Jun 2015 H	238,000,000	2014/09/11	2015/06/29	59.50
65584	Credit Suisse AG - HSI R Bull CBBC Jun 2015 I	238,000,000	2014/09/11	2015/06/29	59.50
65585	Credit Suisse AG - HSI R Bull CBBC Jun 2015 J	238,000,000	2014/09/11	2015/06/29	59.50
65586	Credit Suisse AG - HSI R Bull CBBC Jun 2015 K	238,000,000	2014/09/11	2015/06/29	59.50

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65588	Credit Suisse AG - HSI R Bull CBBC Jun 2015 L	238,000,000	2014/09/11	2015/06/29	59.50
65506	Credit Suisse AG - HSI R Bear CBBC Jan 2015 A	238,000,000	2014/09/11	2015/01/29	59.50
65463	Credit Suisse AG - HSI R Bear CBBC Jan 2015 E	238,000,000	2014/09/11	2015/01/29	59.50
65465	Credit Suisse AG - HSI R Bear CBBC Jan 2015 F	238,000,000	2014/09/11	2015/01/29	59.50
65468	Credit Suisse AG - HSI R Bear CBBC Jan 2015 G	238,000,000	2014/09/11	2015/01/29	59.50
65505	Credit Suisse AG - HSI R Bear CBBC Jan 2015 H	238,000,000	2014/09/11	2015/01/29	59.50
65472	Credit Suisse AG - HSI R Bear CBBC Jan 2015 I	238,000,000	2014/09/11	2015/01/29	59.50
65511	Credit Suisse AG - HSI R Bear CBBC Jan 2015 J	238,000,000	2014/09/11	2015/01/29	69.02
65510	Credit Suisse AG - HSI R Bear CBBC Feb 2015 W	238,000,000	2014/09/11	2015/02/26	59.50
65507	Credit Suisse AG - HSI R Bear CBBC Mar 2015 K	238,000,000	2014/09/11	2015/03/30	59.50
65512	Credit Suisse AG - HSI R Bear CBBC Mar 2015 L	238,000,000	2014/09/11	2015/03/30	78.54
65475	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15E	80,000,000	2014/09/11	2015/06/29	20.00
65476	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15F	80,000,000	2014/09/11	2015/06/29	20.00
65480	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun15G	80,000,000	2014/09/11	2015/06/29	20.00
65481	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15O	80,000,000	2014/09/11	2015/04/27	20.00
65483	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15P	80,000,000	2014/09/11	2015/04/27	20.00
65422	HK Bank - China Mobile R Bull CBBC Jun 2015 D	120,000,000	2014/09/11	2015/06/25	30.00
65424	HK Bank - China Mobile R Bear CBBC Jul 2015 F	60,000,000	2014/09/11	2015/07/14	15.00
65427	HK Bank - CNOOC R Bull CBBC Jul 2015 B	60,000,000	2014/09/11	2015/07/27	15.00
65431	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2015 E	200,000,000	2014/09/11	2015/03/23	50.00
65432	HK Bank - A50 R Bull CBBC Mar 2015 H	200,000,000	2014/09/11	2015/03/23	50.00
65488	J P Morgan SP BV - HSI R Bull CBBC May 2015 V	300,000,000	2014/09/11	2015/05/28	75.00
65611	J P Morgan SP BV - HSI R Bull CBBC May 2015 W	300,000,000	2014/09/11	2015/05/28	75.00
65490	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 T	300,000,000	2014/09/11	2015/06/29	75.00
65613	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 B	300,000,000	2014/09/11	2015/07/30	75.00
65436	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 M	300,000,000	2014/09/11	2014/12/30	75.00
65437	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 M	300,000,000	2014/09/11	2015/01/29	75.00
65438	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 N	300,000,000	2014/09/11	2015/01/29	75.00
65484	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 O	300,000,000	2014/09/11	2015/01/29	75.00
65493	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 P	300,000,000	2014/09/11	2015/01/29	75.00
65619	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 Q	300,000,000	2014/09/11	2015/01/29	75.00
65620	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 R	300,000,000	2014/09/11	2015/01/29	75.00
65615	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 H	300,000,000	2014/09/11	2015/02/26	75.00
65616	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 I	300,000,000	2014/09/11	2015/02/26	75.00
65618	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 J	300,000,000	2014/09/11	2015/02/26	75.00
65577	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 F	50,000,000	2014/09/11	2015/01/29	12.50
65578	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 H	100,000,000	2014/09/11	2015/08/28	25.00
65579	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 I	100,000,000	2014/09/11	2015/08/28	25.00
65600	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 M	200,000,000	2014/09/11	2015/04/29	50.00
65495	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 W	200,000,000	2014/09/11	2015/04/29	50.00
65498	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 X	200,000,000	2014/09/11	2015/04/29	50.00
65499	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 Y	200,000,000	2014/09/11	2015/04/29	50.00
65597	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 Z	200,000,000	2014/09/11	2015/04/29	50.00
65596	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun15 A	400,000,000	2014/09/11	2015/06/29	100.00
65500	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 V	200,000,000	2014/09/11	2014/12/30	50.00

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65503	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 W	200,000,000	2014/09/11	2014/12/30	50.00
65569	UBS AG - China Mobile R Bull CBBC Oct 2015 B	100,000,000	2014/09/11	2015/10/05	25.00
65566	UBS AG - China Mobile R Bear CBBC Apr 2015 D	100,000,000	2014/09/11	2015/04/13	25.00
65565	UBS AG - China Mobile R Bear CBBC May 2015 C	100,000,000	2014/09/11	2015/05/04	25.00
65555	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2015 E	100,000,000	2014/09/11	2015/03/02	25.00
65551	UBS AG - A50 China R Bull CBBC Mar 2015 I	100,000,000	2014/09/11	2015/03/23	25.00
65575	UBS AG - Galaxy Ent R Bear CBBC Mar 2015 A	50,000,000	2014/09/11	2015/03/09	12.50
65570	UBS AG - HKEx R Bull CBBC Oct 2015 C	100,000,000	2014/09/11	2015/10/05	25.00
65532	UBS AG - HSI R Bull CBBC Mar 2015 V	300,000,000	2014/09/11	2015/03/30	75.00
65545	UBS AG - HSI R Bull CBBC Apr 2015 F	300,000,000	2014/09/11	2015/04/29	75.00
65537	UBS AG - HSI R Bull CBBC May 2015 C	300,000,000	2014/09/11	2015/05/28	75.00
65535	UBS AG - HSI R Bull CBBC Jun 2015 C	300,000,000	2014/09/11	2015/06/29	75.00
65443	UBS AG - HSI R Bear CBBC Dec 2014 T	300,000,000	2014/09/11	2014/12/30	75.00
65440	UBS AG - HSI R Bear CBBC Dec 2014 U	300,000,000	2014/09/11	2014/12/30	75.00
65550	UBS AG - HSI R Bear CBBC Dec 2014 W	300,000,000	2014/09/11	2014/12/30	75.00
65449	UBS AG - HSI R Bear CBBC Jan 2015 U	350,000,000	2014/09/11	2015/01/29	87.50
65548	UBS AG - HSI R Bear CBBC Jan 2015 V	300,000,000	2014/09/11	2015/01/29	75.00
65546	UBS AG - HSI R Bear CBBC Feb 2015 J	300,000,000	2014/09/11	2015/02/26	75.00
65557	UBS AG - Sands China R Bear CBBC Apr 2015 A	50,000,000	2014/09/11	2015/04/20	12.50
65640	Credit Suisse AG - HSI R Bull CBBC May 2015 H	238,000,000	2014/09/12	2015/05/28	59.50
65641	Credit Suisse AG - HSI R Bull CBBC May 2015 I	238,000,000	2014/09/12	2015/05/28	59.50
65644	Credit Suisse AG - HSI R Bull CBBC May 2015 J	238,000,000	2014/09/12	2015/05/28	59.50
65646	Credit Suisse AG - HSI R Bull CBBC May 2015 K	238,000,000	2014/09/12	2015/05/28	59.50
65672	Credit Suisse AG - HSI R Bear CBBC Dec 2014 C	238,000,000	2014/09/12	2014/12/30	59.50
65670	Credit Suisse AG - HSI R Bear CBBC Dec 2014 X	238,000,000	2014/09/12	2014/12/30	59.50
65697	Credit Suisse AG - HSI R Bear CBBC Apr 2015 N	238,000,000	2014/09/12	2015/04/29	59.50
65703	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 B	150,000,000	2014/09/12	2014/12/30	46.80
65705	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 C	150,000,000	2014/09/12	2014/12/30	44.55
65625	HK Bank - ABC R Bear CBBC Apr 2015 A	40,000,000	2014/09/12	2015/04/30	10.00
65647	HK Bank - China Mobile R Bull CBBC Jul 2015 J	120,000,000	2014/09/12	2015/07/16	30.00
65648	HK Bank - China Mobile R Bear CBBC Jul 2015 G	60,000,000	2014/09/12	2015/07/16	15.00
65637	HK Bank - CC Bank R Bear CBBC Apr 2015 A	40,000,000	2014/09/12	2015/04/30	10.00
65627	HK Bank - China Life R Bear CBBC Jul 2015 A	50,000,000	2014/09/12	2015/07/31	20.00
65631	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 I	200,000,000	2014/09/12	2015/04/02	50.00
65632	HK Bank - A50 R Bull CBBC Apr 2015 I	200,000,000	2014/09/12	2015/04/02	50.00
65635	HK Bank - HKEx R Bull CBBC Jun 2015 D	60,000,000	2014/09/12	2015/06/08	15.00
65621	HK Bank - HSI R Bull CBBC Apr 2015 D	100,000,000	2014/09/12	2015/04/29	25.00
65624	HK Bank - HSI R Bull CBBC May 2015 A	100,000,000	2014/09/12	2015/05/28	25.00
65626	HK Bank - HSI R Bull CBBC Jun 2015 C	100,000,000	2014/09/12	2015/06/29	25.00
65629	HK Bank - HSI R Bull CBBC Jul 2015 B	100,000,000	2014/09/12	2015/07/30	25.00
65636	HK Bank - Ping An R Bear CBBC Jul 2015 A	40,000,000	2014/09/12	2015/07/31	10.00
65633	HK Bank - TCH R Bull CBBC Apr 2015 E	100,000,000	2014/09/12	2015/04/20	25.00
65665	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 U	300,000,000	2014/09/12	2015/06/29	75.00
65660	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 C	300,000,000	2014/09/12	2015/07/30	75.00
65669	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 D	300,000,000	2014/09/12	2015/07/30	75.00

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65702	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 F	300,000,000	2014/09/12	2014/12/30	75.00
65698	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 K	300,000,000	2014/09/12	2015/02/26	75.00
65657	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC May15 A	100,000,000	2014/09/12	2015/05/28	25.00
65656	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 K	200,000,000	2014/09/12	2015/04/29	50.00
65650	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 O	200,000,000	2014/09/12	2015/04/29	50.00
65689	UBS AG - Cheung Kong R Bull CBBC Sep 2015 A	100,000,000	2014/09/12	2015/09/21	25.00
65692	UBS AG - HSI R Bull CBBC Jan 2015 L	300,000,000	2014/09/12	2015/01/29	75.00
65694	UBS AG - HSI R Bull CBBC Feb 2015 P	300,000,000	2014/09/12	2015/02/26	75.00
65696	UBS AG - HSI R Bull CBBC May 2015 D	300,000,000	2014/09/12	2015/05/28	75.00
65680	UBS AG - HSI R Bear CBBC Dec 2014 X	300,000,000	2014/09/12	2014/12/30	75.00
65730	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Jun15A	100,000,000	2014/09/15	2015/06/29	25.00
65731	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Jun15B	100,000,000	2014/09/15	2015/06/29	25.00
65732	Credit Suisse AG - HSI R Bull CBBC Apr 2015 T	238,000,000	2014/09/15	2015/04/29	59.50
65738	Credit Suisse AG - HSI R Bull CBBC Apr 2015 U	238,000,000	2014/09/15	2015/04/29	59.50
65748	Credit Suisse AG - HSI R Bull CBBC Apr 2015 V	238,000,000	2014/09/15	2015/04/29	59.50
65751	Credit Suisse AG - HSI R Bear CBBC Feb 2015 X	238,000,000	2014/09/15	2015/02/26	59.50
65753	Credit Suisse AG - HSI R Bear CBBC Feb 2015 Y	238,000,000	2014/09/15	2015/02/26	59.50
65723	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2015 J	200,000,000	2014/09/15	2015/04/15	50.00
65722	HK Bank - A50 R Bull CBBC Apr 2015 J	200,000,000	2014/09/15	2015/04/13	50.00
65717	HK Bank - HSCEI R Bull CBBC Jun 2015 B	100,000,000	2014/09/15	2015/06/29	25.00
65725	HK Bank - HSI R Bull CBBC Mar 2015 N	100,000,000	2014/09/15	2015/03/30	25.00
65726	HK Bank - HSI R Bull CBBC May 2015 B	100,000,000	2014/09/15	2015/05/28	25.00
65716	HK Bank - HSI R Bear CBBC Oct 2015 A	400,000,000	2014/09/15	2015/10/29	104.00
65710	J P Morgan SP BV - HSI R Bull CBBC May 2015 X	300,000,000	2014/09/15	2015/05/28	75.00
65712	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 E	300,000,000	2014/09/15	2015/07/30	75.00
65709	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 G	300,000,000	2014/09/15	2014/12/30	75.00
65739	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 G	100,000,000	2014/09/15	2015/03/30	25.00
65743	Standard Chartered Bank - HSI R Bear CBBC Mar 2015 H	100,000,000	2014/09/15	2015/03/30	25.00
65745	UBS AG - HSI R Bull CBBC Jan 2015 M	300,000,000	2014/09/15	2015/01/29	75.00
65747	UBS AG - HSI R Bull CBBC Mar 2015 W	300,000,000	2014/09/15	2015/03/30	75.00
65754	UBS AG - HSI R Bear CBBC Dec 2014 Y	300,000,000	2014/09/15	2014/12/30	75.00
65755	UBS AG - HSI R Bear CBBC Jan 2015 W	350,000,000	2014/09/15	2015/01/29	87.50
65761	Credit Suisse AG - HSI R Bull CBBC Feb 2015 G	238,000,000	2014/09/16	2015/02/26	59.50
65792	Credit Suisse AG - HSI R Bear CBBC Feb 2015 Z	238,000,000	2014/09/16	2015/02/26	59.50
65770	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 V	300,000,000	2014/09/16	2015/06/29	75.00
65773	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 F	300,000,000	2014/09/16	2015/07/30	75.00
65765	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 K	300,000,000	2014/09/16	2014/12/30	75.00
65778	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2015 G	50,000,000	2014/09/16	2015/01/29	12.50
65785	Standard Chartered Bank - HSBC R Bull CBBC Mar 2015 A	50,000,000	2014/09/16	2015/03/03	12.50
65780	Standard Chartered Bank - HSBC R Bear CBBC Feb 2015 A	50,000,000	2014/09/16	2015/02/11	12.50
65786	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14X	200,000,000	2014/09/16	2014/12/30	50.00
65787	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 14Y	200,000,000	2014/09/16	2014/12/30	50.00
65756	UBS AG - HSI R Bull CBBC Feb 2015 Q	350,000,000	2014/09/16	2015/02/26	87.50
65790	UBS AG - HSI R Bear CBBC Dec 2014 E	300,000,000	2014/09/16	2014/12/30	75.00
65791	UBS AG - HSI R Bear CBBC Dec 2014 F	300,000,000	2014/09/16	2014/12/30	75.00

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69975#	HK Bank - HSI R Bull CBBC Mar 2015 G	250,000,000	2014/09/16	2015/03/30	11.00
65816	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 V	200,000,000	2014/09/17	2015/06/29	50.00
65907	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 X	200,000,000	2014/09/17	2015/06/29	50.00
65901	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 V	200,000,000	2014/09/17	2015/02/26	50.00
65904	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 W	200,000,000	2014/09/17	2015/02/26	50.00
65823	Credit Suisse AG - HSI R Bull CBBC Jul 2015 A	238,000,000	2014/09/17	2015/07/30	59.50
65825	Credit Suisse AG - HSI R Bull CBBC Jul 2015 B	238,000,000	2014/09/17	2015/07/30	59.50
65826	Credit Suisse AG - HSI R Bull CBBC Jul 2015 C	238,000,000	2014/09/17	2015/07/30	59.50
65830	Credit Suisse AG - HSI R Bull CBBC Jul 2015 D	238,000,000	2014/09/17	2015/07/30	59.50
65836	Credit Suisse AG - HSI R Bull CBBC Jul 2015 E	238,000,000	2014/09/17	2015/07/30	59.50
65900	Credit Suisse AG - HSI R Bull CBBC Jul 2015 F	238,000,000	2014/09/17	2015/07/30	59.50
65934	Credit Suisse AG - HSI R Bear CBBC Dec 2014 A	238,000,000	2014/09/17	2014/12/30	59.50
65920	Credit Suisse AG - HSI R Bear CBBC Dec 2014 E	238,000,000	2014/09/17	2014/12/30	59.50
65922	Credit Suisse AG - HSI R Bear CBBC Dec 2014 F	238,000,000	2014/09/17	2014/12/30	59.50
65933	Credit Suisse AG - HSI R Bear CBBC Dec 2014 I	238,000,000	2014/09/17	2014/12/30	59.50
65915	Credit Suisse AG - HSI R Bear CBBC Dec 2014 Y	238,000,000	2014/09/17	2014/12/30	59.50
65916	Credit Suisse AG - HSI R Bear CBBC Dec 2014 Z	238,000,000	2014/09/17	2014/12/30	59.50
65942	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Mar 15E	60,000,000	2014/09/17	2015/03/23	15.00
65943	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Jul15B	60,000,000	2014/09/17	2015/07/09	15.00
65944	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC May15C	60,000,000	2014/09/17	2015/05/11	15.00
65935	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2014 C	150,000,000	2014/09/17	2014/12/30	38.55
65937	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2014 D	150,000,000	2014/09/17	2014/12/30	38.40
65799	HK Bank - CSOP A50 ETF R Bull CBBC May 2015 C	200,000,000	2014/09/17	2015/05/11	50.00
65798	HK Bank - A50 R Bull CBBC Apr 2015 K	200,000,000	2014/09/17	2015/04/30	50.00
65797	HK Bank - A50 R Bull CBBC May 2015 C	200,000,000	2014/09/17	2015/05/11	50.00
65795	HK Bank - HSI R Bull CBBC Apr 2015 E	100,000,000	2014/09/17	2015/04/29	25.00
65793	HK Bank - HSI R Bull CBBC Jun 2015 D	100,000,000	2014/09/17	2015/06/29	25.00
65796	HK Bank - HSI R Bear CBBC May 2015 E	100,000,000	2014/09/17	2015/05/28	25.00
65811	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 W	300,000,000	2014/09/17	2015/06/29	75.00
65801	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 G	300,000,000	2014/09/17	2015/07/30	75.00
65804	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 H	300,000,000	2014/09/17	2015/07/30	75.00
65855	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 U	300,000,000	2014/09/17	2014/12/30	75.00
65857	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 V	300,000,000	2014/09/17	2014/12/30	75.00
65865	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 S	300,000,000	2014/09/17	2015/01/29	75.00
65908	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 G	50,000,000	2014/09/17	2015/01/29	12.50
65817	Standard Chartered Bank - HSI R Bull CBBC Sep 2015 A	100,000,000	2014/09/17	2015/09/29	25.00
65910	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 Q	100,000,000	2014/09/17	2015/01/29	25.00
65911	Standard Chartered Bank - HSI R Bear CBBC Jan 2015 R	100,000,000	2014/09/17	2015/01/29	25.00
65875	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 A	200,000,000	2014/09/17	2014/12/30	50.00
65874	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 C	200,000,000	2014/09/17	2014/12/30	50.00
65871	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 Z	200,000,000	2014/09/17	2014/12/30	50.00
65897	UBS AG - Cheung Kong R Bull CBBC Jul 2015 A	50,000,000	2014/09/17	2015/07/20	12.75
65879	UBS AG - CNOOC R Bull CBBC Oct 2015 B	50,000,000	2014/09/17	2015/10/26	14.75
65896	UBS AG - Sinopec Corp R Bull CBBC Oct 2015 A	100,000,000	2014/09/17	2015/10/12	25.00
65852	UBS AG - HSI R Bull CBBC Jan 2015 H	350,000,000	2014/09/17	2015/01/29	87.50

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65845	UBS AG - HSI R Bull CBBC Mar 2015 X	300,000,000	2014/09/17	2015/03/30	75.00
65843	UBS AG - HSI R Bull CBBC Apr 2015 G	300,000,000	2014/09/17	2015/04/29	75.00
65914	UBS AG - HSI R Bull CBBC May 2015 E	300,000,000	2014/09/17	2015/05/28	75.00
65939	UBS AG - HSI R Bear CBBC Dec 2014 H	300,000,000	2014/09/17	2014/12/30	75.00
65941	UBS AG - HSI R Bear CBBC Dec 2014 J	300,000,000	2014/09/17	2014/12/30	75.00
65940	UBS AG - HSI R Bear CBBC Jan 2015 X	300,000,000	2014/09/17	2015/01/29	75.00
65880	UBS AG - Petrochina R Bull CBBC Oct 2015 A	50,000,000	2014/09/17	2015/10/12	12.50
65876	UBS AG - Sands China R Bull CBBC Jul 2015 A	100,000,000	2014/09/17	2015/07/27	25.00
65892	UBS AG - TCH R Bull CBBC Jul 2015 F	100,000,000	2014/09/17	2015/07/13	25.00
65885	UBS AG - Tencent R Bear CBBC Mar 2015 E	100,000,000	2014/09/17	2015/03/23	25.00
66017	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 T	200,000,000	2014/09/18	2015/06/29	50.00
66081	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Y	200,000,000	2014/09/18	2015/02/26	50.00
66031	Credit Suisse AG - China Mobile R Bull CBBC Jan 2015 D	50,000,000	2014/09/18	2015/01/30	12.50
66036	Credit Suisse AG - China Mobile R Bull CBBC Jan 2015 E	50,000,000	2014/09/18	2015/01/30	12.50
66040	Credit Suisse AG - China Mobile R Bear CBBC Jan 2015 F	50,000,000	2014/09/18	2015/01/30	12.50
66057	Credit Suisse AG - HSI R Bull CBBC Mar 2015 P	238,000,000	2014/09/18	2015/03/30	59.50
66063	Credit Suisse AG - HSI R Bull CBBC Mar 2015 Q	238,000,000	2014/09/18	2015/03/30	59.50
66050	Credit Suisse AG - HSI R Bull CBBC Mar 2015 R	238,000,000	2014/09/18	2015/03/30	59.50
65992	Credit Suisse AG - HSI R Bear CBBC Jan 2015 B	238,000,000	2014/09/18	2015/01/29	59.50
65993	Credit Suisse AG - HSI R Bear CBBC Jan 2015 C	238,000,000	2014/09/18	2015/01/29	59.50
65991	Credit Suisse AG - HSI R Bear CBBC Jan 2015 O	238,000,000	2014/09/18	2015/01/29	59.50
66077	Credit Suisse AG - HSI R Bear CBBC Jan 2015 P	238,000,000	2014/09/18	2015/01/29	59.50
66021	Credit Suisse AG - TCH R Bull CBBC Feb 2015 B	50,000,000	2014/09/18	2015/02/27	12.50
66028	Credit Suisse AG - TCH R Bear CBBC Feb 2015 C	50,000,000	2014/09/18	2015/02/27	12.50
65969	HK Bank - Galaxy Ent R Bear CBBC Apr 2015 A	60,000,000	2014/09/18	2015/04/13	15.00
65973	HK Bank - Great Wall Motor R Bull CBBC Dec 2015 E	40,000,000	2014/09/18	2015/12/31	10.00
65946	HK Bank - HSI R Bear CBBC Apr 2015 M	100,000,000	2014/09/18	2015/04/29	25.00
65950	HK Bank - HSI R Bear CBBC Apr 2015 N	100,000,000	2014/09/18	2015/04/29	25.00
65951	HK Bank - HSI R Bear CBBC Apr 2015 O	100,000,000	2014/09/18	2015/04/29	25.00
65971	HK Bank - Kingsoft R Bear CBBC Jul 2015 A	40,000,000	2014/09/18	2015/07/27	20.80
65970	HK Bank - Sands China R Bull CBBC Jul 2015 A	80,000,000	2014/09/18	2015/07/06	20.00
65956	HK Bank - TCH R Bear CBBC Jul 2015 C	100,000,000	2014/09/18	2015/07/06	25.00
65965	HK Bank - TCH R Bear CBBC Jul 2015 D	100,000,000	2014/09/18	2015/07/13	25.00
65974	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 X	300,000,000	2014/09/18	2015/06/29	75.00
66078	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 W	300,000,000	2014/09/18	2014/12/30	75.00
66080	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 X	300,000,000	2014/09/18	2014/12/30	75.00
66079	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 T	300,000,000	2014/09/18	2015/01/29	75.00
65979	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 H	50,000,000	2014/09/18	2015/01/29	12.50
65997	Standard Chartered Bank - HSI R Bull CBBC Mar 2015 C	100,000,000	2014/09/18	2015/03/30	25.00
66006	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 U	100,000,000	2014/09/18	2015/02/26	25.00
66084	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 B	200,000,000	2014/09/18	2014/12/30	50.00
66085	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 D	200,000,000	2014/09/18	2014/12/30	50.00
66088	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 E	200,000,000	2014/09/18	2014/12/30	50.00
66068	UBS AG - HSI R Bull CBBC Feb 2015 R	350,000,000	2014/09/18	2015/02/26	87.50
66067	UBS AG - HSI R Bull CBBC Mar 2015 Y	300,000,000	2014/09/18	2015/03/30	75.00

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65980	UBS AG - HSI R Bear CBBC Dec 2014 A	300,000,000	2014/09/18	2014/12/30	75.00
66071	UBS AG - HSI R Bear CBBC Dec 2014 I	300,000,000	2014/09/18	2014/12/30	75.00
66072	UBS AG - HSI R Bear CBBC Dec 2014 K	300,000,000	2014/09/18	2014/12/30	75.00
66076	UBS AG - HSI R Bear CBBC Dec 2014 L	300,000,000	2014/09/18	2014/12/30	75.00
66118	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 U	200,000,000	2014/09/19	2015/06/29	50.00
66198	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 F	200,000,000	2014/09/19	2015/02/26	50.00
66107	Credit Suisse AG - HSI R Bull CBBC May 2015 L	238,000,000	2014/09/19	2015/05/28	59.50
66110	Credit Suisse AG - HSI R Bull CBBC May 2015 M	238,000,000	2014/09/19	2015/05/28	59.50
66117	Credit Suisse AG - HSI R Bull CBBC May 2015 N	238,000,000	2014/09/19	2015/05/28	59.50
66283	Credit Suisse AG - HSI R Bear CBBC Dec 2014 B	238,000,000	2014/09/19	2014/12/30	59.50
66284	Credit Suisse AG - HSI R Bear CBBC Dec 2014 D	238,000,000	2014/09/19	2014/12/30	59.50
66090	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 I	300,000,000	2014/09/19	2015/07/30	75.00
66157	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 J	300,000,000	2014/09/19	2015/07/30	75.00
66094	J P Morgan SP BV - HSI R Bull CBBC Aug 2015 A	300,000,000	2014/09/19	2015/08/28	75.00
66158	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 H	300,000,000	2014/09/19	2014/12/30	75.00
66162	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Y	300,000,000	2014/09/19	2014/12/30	75.00
66167	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 U	300,000,000	2014/09/19	2015/01/29	75.00
66099	Standard Chartered Bank - China Mobile R Bear CBBC Apr 2015A	50,000,000	2014/09/19	2015/04/13	12.50
66100	Standard Chartered Bank - CSOP A50 ETF R Bear CBBC Jun 2015A	50,000,000	2014/09/19	2015/06/29	12.50
66101	Standard Chartered Bank - A50 China R Bear CBBC Jun 2015 A	50,000,000	2014/09/19	2015/06/29	12.50
66126	Standard Chartered Bank - HSI R Bull CBBC Mar 2015 D	100,000,000	2014/09/19	2015/03/30	25.00
66134	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 V	100,000,000	2014/09/19	2015/02/26	25.00
66097	Standard Chartered Bank - Lenovo R Bull CBBC Sep 2015 B	50,000,000	2014/09/19	2015/09/09	12.50
66102	Standard Chartered Bank - PetCh R Bear CBBC May 2015 B	50,000,000	2014/09/19	2015/05/18	12.50
66095	Standard Chartered Bank - Sands China R Bull CBBC Jul 2015 A	50,000,000	2014/09/19	2015/07/15	12.50
66096	Standard Chartered Bank - Sands China R Bear CBBC Apr 2015 B	50,000,000	2014/09/19	2015/04/09	12.50
66104	Standard Chartered Bank - TCH R Bear CBBC Apr 2015 A	80,000,000	2014/09/19	2015/04/15	20.00
66151	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 J	200,000,000	2014/09/19	2015/04/29	50.00
66138	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 P	200,000,000	2014/09/19	2015/04/29	50.00
66136	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun15 B	200,000,000	2014/09/19	2015/06/29	50.00
66137	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun15 C	200,000,000	2014/09/19	2015/06/29	50.00
66174	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 F	200,000,000	2014/09/19	2014/12/30	50.00
66277	UBS AG - HSI R Bull CBBC May 2015 F	300,000,000	2014/09/19	2015/05/28	75.00
66281	UBS AG - HSI R Bull CBBC Jun 2015 D	300,000,000	2014/09/19	2015/06/29	75.00
66224	UBS AG - HSI R Bear CBBC Dec 2014 B	300,000,000	2014/09/19	2014/12/30	75.00
66232	UBS AG - HSI R Bear CBBC Dec 2014 N	300,000,000	2014/09/19	2014/12/30	75.00
66510	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 X	200,000,000	2014/09/22	2015/02/26	50.00
66491	Credit Suisse AG - A50 China R Bull CBBC Feb 2015 A	50,000,000	2014/09/22	2015/02/27	12.50
66492	Credit Suisse AG - A50 China R Bull CBBC Feb 2015 B	50,000,000	2014/09/22	2015/02/27	12.50
66494	Credit Suisse AG - A50 China R Bull CBBC Feb 2015 C	50,000,000	2014/09/22	2015/02/27	12.50
66304	Credit Suisse AG - HSI R Bull CBBC Apr 2015 W	238,000,000	2014/09/22	2015/04/29	59.50
66308	Credit Suisse AG - HSI R Bull CBBC Apr 2015 X	238,000,000	2014/09/22	2015/04/29	59.50
66317	Credit Suisse AG - HSI R Bull CBBC Apr 2015 Y	238,000,000	2014/09/22	2015/04/29	59.50
66554	Credit Suisse AG - HSI R Bear CBBC Dec 2014 H	238,000,000	2014/09/22	2014/12/30	59.50
66565	Credit Suisse AG - HSI R Bear CBBC Dec 2014 J	238,000,000	2014/09/22	2014/12/30	59.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66568	Credit Suisse AG - HSI R Bear CBBC Dec 2014 K	238,000,000	2014/09/22	2014/12/30	59.50
66570	Citigroup Global Mkt H Inc. - Sinopec Corp R Bear CBBC Apr15C	60,000,000	2014/09/22	2015/04/23	15.00
66592	Citigroup Global Mkt H Inc. - Galaxy Ent R Bear CBBC Mar15 F	60,000,000	2014/09/22	2015/03/23	15.00
66596	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 D	150,000,000	2014/09/22	2014/12/30	40.65
66599	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 E	150,000,000	2014/09/22	2014/12/30	37.95
66604	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 F	150,000,000	2014/09/22	2014/12/30	40.65
66593	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2015 B	150,000,000	2014/09/22	2015/01/29	38.85
66286	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 K	300,000,000	2014/09/22	2015/07/30	75.00
66287	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 L	300,000,000	2014/09/22	2015/07/30	75.00
66455	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 I	300,000,000	2014/09/22	2014/12/30	75.00
66475	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Q	300,000,000	2014/09/22	2014/12/30	75.00
66443	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 V	300,000,000	2014/09/22	2015/01/29	75.00
66511	Standard Chartered Bank - Galaxy Ent R Bull CBBC Jul 2015 A	50,000,000	2014/09/22	2015/07/03	12.50
66533	Standard Chartered Bank - Galaxy Ent R Bear CBBC Mar 2015 B	50,000,000	2014/09/22	2015/03/03	12.50
66331	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 J	100,000,000	2014/09/22	2015/08/28	25.00
66342	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 W	100,000,000	2014/09/22	2015/02/26	25.00
66534	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 X	100,000,000	2014/09/22	2015/02/26	25.00
66349	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Apr15C	100,000,000	2014/09/22	2015/04/29	25.00
66361	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Apr15B	100,000,000	2014/09/22	2015/04/29	25.00
66292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 I	200,000,000	2014/09/22	2015/04/29	50.00
66290	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 L	200,000,000	2014/09/22	2015/04/29	50.00
66411	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun15 D	200,000,000	2014/09/22	2015/06/29	50.00
66421	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun15 E	200,000,000	2014/09/22	2015/06/29	50.00
66435	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun15 F	200,000,000	2014/09/22	2015/06/29	50.00
66436	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 G	200,000,000	2014/09/22	2014/12/30	50.00
66348	UBS AG - HSI R Bull CBBC Jan 2015 U	350,000,000	2014/09/22	2015/01/29	87.50
66345	UBS AG - HSI R Bull CBBC Mar 2015 Z	300,000,000	2014/09/22	2015/03/30	75.00
66545	UBS AG - HSI R Bear CBBC Dec 2014 O	300,000,000	2014/09/22	2014/12/30	75.00
66552	UBS AG - HSI R Bear CBBC Dec 2014 P	300,000,000	2014/09/22	2014/12/30	75.00
66720	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 S	200,000,000	2014/09/23	2015/06/29	50.00
66730	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 Y	200,000,000	2014/09/23	2015/06/29	50.00
66892	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 I	200,000,000	2014/09/23	2015/02/26	50.00
66914	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Q	200,000,000	2014/09/23	2015/02/26	50.00
66737	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 Z	200,000,000	2014/09/23	2015/02/26	50.00
66749	Credit Suisse AG - HSI R Bull CBBC May 2015 O	238,000,000	2014/09/23	2015/05/28	59.50
66752	Credit Suisse AG - HSI R Bull CBBC May 2015 P	238,000,000	2014/09/23	2015/05/28	59.50
66777	Credit Suisse AG - HSI R Bull CBBC Jun 2015 M	238,000,000	2014/09/23	2015/06/29	59.50
66780	Credit Suisse AG - HSI R Bull CBBC Jun 2015 N	238,000,000	2014/09/23	2015/06/29	59.50
66783	Credit Suisse AG - HSI R Bull CBBC Jun 2015 O	238,000,000	2014/09/23	2015/06/29	59.50
66816	Credit Suisse AG - HSI R Bear CBBC Jan 2015 Q	238,000,000	2014/09/23	2015/01/29	59.50
66817	Credit Suisse AG - HSI R Bear CBBC Jan 2015 V	238,000,000	2014/09/23	2015/01/29	59.50
66830	Credit Suisse AG - HSI R Bear CBBC Jan 2015 W	238,000,000	2014/09/23	2015/01/29	59.50
66831	Credit Suisse AG - HSI R Bear CBBC Jan 2015 X	238,000,000	2014/09/23	2015/01/29	59.50
66753	Credit Suisse AG - HSI R Bear CBBC May 2015 A	238,000,000	2014/09/23	2015/05/28	59.50
66772	Credit Suisse AG - HSI R Bear CBBC May 2015 B	238,000,000	2014/09/23	2015/05/28	59.50

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66919	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15Q	80,000,000	2014/09/23	2015/04/24	20.00
66920	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr15R	80,000,000	2014/09/23	2015/04/24	20.00
66606	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 Y	300,000,000	2014/09/23	2015/06/29	75.00
66664	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 Z	300,000,000	2014/09/23	2015/06/29	75.00
66681	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 M	300,000,000	2014/09/23	2015/07/30	75.00
66877	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 N	300,000,000	2014/09/23	2015/07/30	75.00
66887	J P Morgan SP BV - HSI R Bear CBBC Dec 2014 Z	300,000,000	2014/09/23	2014/12/30	75.00
66691	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 W	300,000,000	2014/09/23	2015/01/29	75.00
66921	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 X	300,000,000	2014/09/23	2015/01/29	75.00
66694	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 I	50,000,000	2014/09/23	2015/01/29	12.50
66743	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 K	100,000,000	2014/09/23	2015/08/28	25.00
66740	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 Y	100,000,000	2014/09/23	2015/02/26	25.00
66741	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 Z	100,000,000	2014/09/23	2015/02/26	25.00
66701	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 G	200,000,000	2014/09/23	2015/04/29	50.00
66707	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 H	200,000,000	2014/09/23	2014/12/30	50.00
66709	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 I	200,000,000	2014/09/23	2014/12/30	50.00
66843	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 J	200,000,000	2014/09/23	2014/12/30	50.00
66796	UBS AG - HSI R Bull CBBC Mar 2015 A	300,000,000	2014/09/23	2015/03/30	75.00
66801	UBS AG - HSI R Bull CBBC Apr 2015 H	300,000,000	2014/09/23	2015/04/29	75.00
66867	UBS AG - HSI R Bear CBBC Dec 2014 Q	300,000,000	2014/09/23	2014/12/30	75.00
66864	UBS AG - HSI R Bear CBBC Jan 2015 A	300,000,000	2014/09/23	2015/01/29	75.00
66853	UBS AG - HSI R Bear CBBC Jan 2015 Z	300,000,000	2014/09/23	2015/01/29	75.00
67164	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Jun 2015 A	100,000,000	2014/09/24	2015/06/29	25.00
67166	Credit Suisse AG - HSI R Bull CBBC May 2015 Q	238,000,000	2014/09/24	2015/05/28	59.50
67173	Credit Suisse AG - HSI R Bull CBBC May 2015 R	238,000,000	2014/09/24	2015/05/28	59.50
67193	Credit Suisse AG - HSI R Bull CBBC May 2015 S	238,000,000	2014/09/24	2015/05/28	59.50
67204	Credit Suisse AG - HSI R Bull CBBC May 2015 T	238,000,000	2014/09/24	2015/05/28	59.50
67090	Credit Suisse AG - HSI R Bear CBBC Dec 2014 G	238,000,000	2014/09/24	2014/12/30	59.50
67095	Credit Suisse AG - HSI R Bear CBBC Dec 2014 L	238,000,000	2014/09/24	2014/12/30	59.50
67102	Credit Suisse AG - HSI R Bear CBBC Dec 2014 M	238,000,000	2014/09/24	2014/12/30	59.50
67109	Credit Suisse AG - HSI R Bear CBBC Dec 2014 N	238,000,000	2014/09/24	2014/12/30	59.50
66992	HK Bank - CNOOC R Bear CBBC Jul 2015 A	40,000,000	2014/09/24	2015/07/27	10.00
66989	HK Bank - Sinopec Corp R Bull CBBC Jun 2015 A	80,000,000	2014/09/24	2015/06/29	20.00
67006	HK Bank - Galaxy Ent R Bull CBBC Jul 2015 A	80,000,000	2014/09/24	2015/07/14	20.00
67007	HK Bank - Galaxy Ent R Bull CBBC Jul 2015 B	80,000,000	2014/09/24	2015/07/28	20.00
67000	HK Bank - Galaxy Ent R Bear CBBC Jul 2015 B	60,000,000	2014/09/24	2015/07/27	15.00
66971	HK Bank - HSCEI R Bear CBBC Apr 2015 B	68,000,000	2014/09/24	2015/04/29	17.00
66922	HK Bank - HSCEI R Bear CBBC May 2015 D	68,000,000	2014/09/24	2015/05/28	17.00
66930	HK Bank - HSI R Bear CBBC Mar 2015 P	100,000,000	2014/09/24	2015/03/30	25.00
66941	HK Bank - HSI R Bear CBBC Mar 2015 Q	100,000,000	2014/09/24	2015/03/30	25.00
66957	HK Bank - HSI R Bear CBBC Mar 2015 R	100,000,000	2014/09/24	2015/03/30	25.00
66970	HK Bank - HSI R Bear CBBC Mar 2015 S	100,000,000	2014/09/24	2015/03/30	25.00
67029	HK Bank - ICBC R Bear CBBC Apr 2015 B	40,000,000	2014/09/24	2015/04/30	10.00
67018	HK Bank - Sands China R Bull CBBC Jul 2015 B	80,000,000	2014/09/24	2015/07/13	20.00
67009	HK Bank - Sands China R Bear CBBC May 2015 B	60,000,000	2014/09/24	2015/05/04	15.00

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67140	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 O	300,000,000	2014/09/24	2015/07/30	75.00
67138	J P Morgan SP BV - HSI R Bull CBBC Aug 2015 B	300,000,000	2014/09/24	2015/08/28	75.00
67033	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 Y	300,000,000	2014/09/24	2015/01/29	75.00
67266	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 Z	300,000,000	2014/09/24	2015/01/29	75.00
67031	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 L	300,000,000	2014/09/24	2015/02/26	75.00
67237	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 M	300,000,000	2014/09/24	2015/02/26	75.00
67144	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 L	100,000,000	2014/09/24	2015/08/28	25.00
67143	Standard Chartered Bank - Sands China R Bear CBBC May 2015 A	50,000,000	2014/09/24	2015/05/11	12.50
67111	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 Q	200,000,000	2014/09/24	2015/04/29	50.00
67112	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 R	200,000,000	2014/09/24	2015/04/29	50.00
67078	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 K	200,000,000	2014/09/24	2014/12/30	50.00
67081	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec14 L	200,000,000	2014/09/24	2014/12/30	50.00
67089	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar15 B	400,000,000	2014/09/24	2015/03/30	100.00
67128	UBS AG - Sinopec Corp R Bull CBBC Jun 2015 A	100,000,000	2014/09/24	2015/06/22	25.00
67130	UBS AG - Galaxy Ent R Bull CBBC Oct 2015 A	100,000,000	2014/09/24	2015/10/05	25.00
67129	UBS AG - Galaxy Ent R Bear CBBC Jan 2015 A	100,000,000	2014/09/24	2015/01/26	25.00
67234	UBS AG - HSI R Bull CBBC Jan 2015 S	300,000,000	2014/09/24	2015/01/29	75.00
67212	UBS AG - HSI R Bull CBBC May 2015 G	300,000,000	2014/09/24	2015/05/28	75.00
67050	UBS AG - HSI R Bear CBBC Jan 2015 B	300,000,000	2014/09/24	2015/01/29	75.00
67048	UBS AG - HSI R Bear CBBC Jan 2015 C	300,000,000	2014/09/24	2015/01/29	75.00
67061	UBS AG - HSI R Bear CBBC Jan 2015 D	300,000,000	2014/09/24	2015/01/29	75.00
67071	UBS AG - HSI R Bear CBBC Jan 2015 E	300,000,000	2014/09/24	2015/01/29	75.00
67133	UBS AG - Hutchison R Bull CBBC Sep 2015 A	100,000,000	2014/09/24	2015/09/21	25.00
67122	UBS AG - Petrochina R Bull CBBC Jun 2015 A	50,000,000	2014/09/24	2015/06/29	12.50
67114	UBS AG - Sands China R Bull CBBC Jul 2015 B	100,000,000	2014/09/24	2015/07/06	25.00
67113	UBS AG - Sands China R Bear CBBC Jan 2015 A	100,000,000	2014/09/24	2015/01/26	25.00
67372	Credit Suisse AG - HSI R Bull CBBC Feb 2015 A	238,000,000	2014/09/25	2015/02/26	59.50
67368	Credit Suisse AG - HSI R Bull CBBC Feb 2015 B	238,000,000	2014/09/25	2015/02/26	59.50
67398	Credit Suisse AG - HSI R Bear CBBC Dec 2014 R	238,000,000	2014/09/25	2014/12/30	59.50
67402	Credit Suisse AG - HSI R Bear CBBC Dec 2014 S	238,000,000	2014/09/25	2014/12/30	59.50
67346	HK Bank - Sinopec Corp R Bear CBBC Apr 2015 D	40,000,000	2014/09/25	2015/04/20	10.00
67306	HK Bank - Galaxy Ent R Bull CBBC Jul 2015 C	80,000,000	2014/09/25	2015/07/21	20.00
67328	HK Bank - Galaxy Ent R Bull CBBC Aug 2015 A	80,000,000	2014/09/25	2015/08/03	20.00
67289	HK Bank - HSCEI R Bear CBBC May 2015 E	68,000,000	2014/09/25	2015/05/28	17.00
67293	HK Bank - HSI R Bear CBBC May 2015 F	100,000,000	2014/09/25	2015/05/28	25.00
67298	HK Bank - HSI R Bear CBBC May 2015 G	100,000,000	2014/09/25	2015/05/28	25.00
67300	HK Bank - HSI R Bear CBBC May 2015 H	100,000,000	2014/09/25	2015/05/28	25.00
67345	HK Bank - Sands China R Bull CBBC Jul 2015 C	80,000,000	2014/09/25	2015/07/20	20.00
67344	HK Bank - Sands China R Bear CBBC May 2015 C	60,000,000	2014/09/25	2015/05/11	15.00
67274	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 A	300,000,000	2014/09/25	2015/06/29	75.00
67407	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 N	300,000,000	2014/09/25	2015/02/26	75.00
67406	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 J	50,000,000	2014/09/25	2015/01/29	12.50
67386	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 A	100,000,000	2014/09/25	2015/02/26	25.00
67348	UBS AG - HSI R Bull CBBC Feb 2015 Y	300,000,000	2014/09/25	2015/02/26	75.00
67352	UBS AG - HSI R Bull CBBC Feb 2015 Z	300,000,000	2014/09/25	2015/02/26	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67365	UBS AG - HSI R Bull CBBC Mar 2015 F	350,000,000	2014/09/25	2015/03/30	87.50
67395	UBS AG - HSI R Bear CBBC Feb 2015 K	350,000,000	2014/09/25	2015/02/26	87.50
67577	Credit Suisse AG - HSI R Bull CBBC Mar 2015 W	238,000,000	2014/09/26	2015/03/30	59.50
67580	Credit Suisse AG - HSI R Bull CBBC Mar 2015 Y	238,000,000	2014/09/26	2015/03/30	59.50
67527	Credit Suisse AG - HSI R Bear CBBC Jan 2015 Y	238,000,000	2014/09/26	2015/01/29	59.50
67530	Credit Suisse AG - HSI R Bear CBBC Jan 2015 Z	238,000,000	2014/09/26	2015/01/29	59.50
67583	Bank of East Asia - Galaxy Ent R Bull CBBC Jun 2015 B	100,000,000	2014/09/26	2015/06/30	25.00
67585	Bank of East Asia - HKEx R Bull CBBC Sep 2015 A	40,000,000	2014/09/26	2015/09/25	10.00
67586	Bank of East Asia - Ping An R Bull CBBC Sep 2015 A	80,000,000	2014/09/26	2015/09/22	20.00
67615	Bank of East Asia - Sands China R Bull CBBC Apr 2015 A	100,000,000	2014/09/26	2015/04/27	25.00
67616	Bank of East Asia - Sands China R Bear CBBC Apr 2015 A	100,000,000	2014/09/26	2015/04/27	25.00
67526	HK Bank - BOCL R Bull CBBC Aug 2015 A	80,000,000	2014/09/26	2015/08/03	20.00
67413	HK Bank - Minsheng Bank R Bull CBBC Sep 2015 A	40,000,000	2014/09/26	2015/09/30	10.00
67408	HK Bank - HSI R Bear CBBC Apr 2015 P	100,000,000	2014/09/26	2015/04/29	25.00
67409	HK Bank - HSI R Bear CBBC May 2015 I	400,000,000	2014/09/26	2015/05/28	100.00
67500	HK Bank - Hutchison R Bull CBBC Jun 2015 D	60,000,000	2014/09/26	2015/06/22	15.00
67502	HK Bank - Hutchison R Bull CBBC Jun 2015 E	60,000,000	2014/09/26	2015/06/29	15.00
67520	HK Bank - Hutchison R Bull CBBC Jul 2015 B	60,000,000	2014/09/26	2015/07/06	15.00
67490	HK Bank - Kingsoft R Bull CBBC Sep 2015 C	50,000,000	2014/09/26	2015/09/30	34.00
67410	HK Bank - PetCh R Bear CBBC Aug 2015 A	40,000,000	2014/09/26	2015/08/03	10.00
67553	J P Morgan SP BV - HSI R Bear CBBC Jan 2015 A	300,000,000	2014/09/26	2015/01/29	75.00
67552	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 O	300,000,000	2014/09/26	2015/02/26	75.00
67559	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 P	300,000,000	2014/09/26	2015/02/26	75.00
67562	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May15 A	400,000,000	2014/09/26	2015/05/28	100.00
67568	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May15 B	400,000,000	2014/09/26	2015/05/28	100.00
67569	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 L	400,000,000	2014/09/26	2015/02/26	100.00
67575	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb15 M	400,000,000	2014/09/26	2015/02/26	100.00
67533	UBS AG - HSI R Bear CBBC Dec 2014 V	300,000,000	2014/09/26	2014/12/30	75.00
67738	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 J	200,000,000	2014/09/29	2015/06/29	50.00
67739	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 Z	200,000,000	2014/09/29	2015/06/29	50.00
67966	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2015 J	200,000,000	2014/09/29	2015/02/26	50.00
68014	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2015 A	200,000,000	2014/09/29	2015/03/30	50.00
67767	Credit Suisse AG - HSI R Bull CBBC Apr 2015 C	238,000,000	2014/09/29	2015/04/29	59.50
67769	Credit Suisse AG - HSI R Bull CBBC Apr 2015 D	238,000,000	2014/09/29	2015/04/29	59.50
67771	Credit Suisse AG - HSI R Bull CBBC Apr 2015 E	238,000,000	2014/09/29	2015/04/29	59.50
67762	Credit Suisse AG - HSI R Bull CBBC Apr 2015 Z	238,000,000	2014/09/29	2015/04/29	59.50
67989	Credit Suisse AG - HSI R Bear CBBC Dec 2014 T	238,000,000	2014/09/29	2014/12/30	59.50
68002	Credit Suisse AG - HSI R Bear CBBC Dec 2014 U	238,000,000	2014/09/29	2014/12/30	59.50
68005	Credit Suisse AG - HSI R Bear CBBC Dec 2014 W	238,000,000	2014/09/29	2014/12/30	59.50
67818	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 G	150,000,000	2014/09/29	2014/12/30	48.60
67823	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2014 H	150,000,000	2014/09/29	2014/12/30	54.90
67838	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2015 A	150,000,000	2014/09/29	2015/01/29	49.95
67892	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2015 C	150,000,000	2014/09/29	2015/01/29	39.60
67643	HK Bank - China Mobile R Bear CBBC Jun 2015 A	60,000,000	2014/09/29	2015/06/30	15.00
67622	HK Bank - China Life R Bear CBBC May 2015 A	50,000,000	2014/09/29	2015/05/04	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67618	HK Bank - CNOOC R Bull CBBC Jul 2015 C	60,000,000	2014/09/29	2015/07/13	19.20
67631	HK Bank - Galaxy Ent R Bull CBBC Jul 2015 D	80,000,000	2014/09/29	2015/07/23	20.00
67626	HK Bank - HSI R Bull CBBC Mar 2015 O	100,000,000	2014/09/29	2015/03/30	25.00
67619	HK Bank - ICBC R Bull CBBC Aug 2015 A	80,000,000	2014/09/29	2015/08/03	20.00
67642	HK Bank - Sands China R Bull CBBC Jun 2015 A	80,000,000	2014/09/29	2015/06/30	20.00
67629	HK Bank - TCH R Bull CBBC Jun 2015 G	100,000,000	2014/09/29	2015/06/18	25.00
67710	J P Morgan SP BV - HSI R Bull CBBC May 2015 Y	300,000,000	2014/09/29	2015/05/28	75.00
67706	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 P	300,000,000	2014/09/29	2015/07/30	75.00
67727	J P Morgan SP BV - HSI R Bull CBBC Jul 2015 Q	300,000,000	2014/09/29	2015/07/30	75.00
67900	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 Q	300,000,000	2014/09/29	2015/02/26	75.00
68117	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 R	300,000,000	2014/09/29	2015/02/26	75.00
67927	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2015 K	50,000,000	2014/09/29	2015/01/29	12.50
67907	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2015 A	50,000,000	2014/09/29	2015/02/26	12.50
67736	Standard Chartered Bank - HSI R Bull CBBC Jun 2015 U	100,000,000	2014/09/29	2015/06/29	25.00
67901	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 D	100,000,000	2014/09/29	2015/02/26	25.00
67704	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 S	200,000,000	2014/09/29	2015/04/29	50.00
67655	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May15 C	200,000,000	2014/09/29	2015/05/28	50.00
67679	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May15 D	200,000,000	2014/09/29	2015/05/28	50.00
67684	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May15 E	200,000,000	2014/09/29	2015/05/28	50.00
67956	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul15 A	400,000,000	2014/09/29	2015/07/30	100.00
67960	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul15 B	400,000,000	2014/09/29	2015/07/30	100.00
67963	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 K	200,000,000	2014/09/29	2015/01/29	50.00
68079	UBS AG - BOCL R Bear CBBC Apr 2015 A	100,000,000	2014/09/29	2015/04/20	25.00
68109	UBS AG - Sinopec Corp R Bull CBBC Oct 2015 B	100,000,000	2014/09/29	2015/10/19	25.00
68106	UBS AG - CSOP A50 ETF R Bear CBBC Jan 2015 A	100,000,000	2014/09/29	2015/01/26	25.00
68103	UBS AG - A50 China R Bear CBBC Feb 2015 A	100,000,000	2014/09/29	2015/02/09	25.00
68116	UBS AG - HSBC R Bear CBBC Mar 2015 A	100,000,000	2014/09/29	2015/03/23	25.00
68052	UBS AG - HSCEI R Bear CBBC Jan 2015 D	100,000,000	2014/09/29	2015/01/29	25.00
67814	UBS AG - HSI R Bull CBBC Jan 2015 T	350,000,000	2014/09/29	2015/01/29	87.50
67774	UBS AG - HSI R Bull CBBC Mar 2015 G	300,000,000	2014/09/29	2015/03/30	75.00
67783	UBS AG - HSI R Bull CBBC Apr 2015 I	300,000,000	2014/09/29	2015/04/29	75.00
68077	UBS AG - HSI R Bull CBBC Apr 2015 J	300,000,000	2014/09/29	2015/04/29	75.00
68067	UBS AG - HSI R Bull CBBC May 2015 H	300,000,000	2014/09/29	2015/05/28	75.00
68072	UBS AG - HSI R Bull CBBC Jun 2015 E	300,000,000	2014/09/29	2015/06/29	75.00
68034	UBS AG - HSI R Bear CBBC Jan 2015 G	300,000,000	2014/09/29	2015/01/29	75.00
68051	UBS AG - HSI R Bear CBBC Feb 2015 L	300,000,000	2014/09/29	2015/02/26	75.00
68092	UBS AG - Kingsoft R Bull CBBC Jun 2016 A	50,000,000	2014/09/29	2016/06/20	12.50
68177#	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec14 O	200,000,000	2014/09/29	2014/12/30	13.00
68188	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2015 I	200,000,000	2014/09/30	2015/06/29	50.00
68164	Credit Suisse AG - HSI R Bull CBBC Jun 2015 P	238,000,000	2014/09/30	2015/06/29	59.50
68166	Credit Suisse AG - HSI R Bull CBBC Jun 2015 Q	238,000,000	2014/09/30	2015/06/29	59.50
68216	Credit Suisse AG - HSI R Bear CBBC Feb 2015 A	238,000,000	2014/09/30	2015/02/26	59.50
68225	Credit Suisse AG - HSI R Bear CBBC Feb 2015 B	238,000,000	2014/09/30	2015/02/26	59.50
68222	Credit Suisse AG - HSI R Bear CBBC Feb 2015 E	238,000,000	2014/09/30	2015/02/26	59.50
68239	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Mar15A	100,000,000	2014/09/30	2015/03/30	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68240	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Mar 15 B	100,000,000	2014/09/30	2015/03/30	25.00
68211	Bank of East Asia - China Life R Bull CBBC Sep 2015 A	40,000,000	2014/09/30	2015/09/18	10.00
68190	Bank of East Asia - Galaxy Ent R Bear CBBC Jun 2015 A	60,000,000	2014/09/30	2015/06/26	15.00
68203	Bank of East Asia - TCH R Bull CBBC Aug 2015 B	40,000,000	2014/09/30	2015/08/24	10.00
68158	HK Bank - CC Bank R Bull CBBC Aug 2015 C	80,000,000	2014/09/30	2015/08/10	20.00
68154	HK Bank - A50 R Bear CBBC Sep 2015 A	50,000,000	2014/09/30	2015/09/29	12.50
68153	HK Bank - HSCEI R Bear CBBC May 2015 F	68,000,000	2014/09/30	2015/05/28	17.00
68130	HK Bank - HSI R Bull CBBC Jun 2015 E	400,000,000	2014/09/30	2015/06/29	100.00
68136	HK Bank - HSI R Bull CBBC Jun 2015 F	400,000,000	2014/09/30	2015/06/29	100.00
68138	HK Bank - HSI R Bull CBBC Jun 2015 G	400,000,000	2014/09/30	2015/06/29	100.00
68127	HK Bank - HSI R Bear CBBC May 2015 J	100,000,000	2014/09/30	2015/05/28	25.00
68155	HK Bank - TCH R Bear CBBC Jul 2015 E	100,000,000	2014/09/30	2015/07/20	25.00
68121	J P Morgan SP BV - HSI R Bull CBBC Jun 2015 C	300,000,000	2014/09/30	2015/06/29	75.00
68241	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 S	300,000,000	2014/09/30	2015/02/26	75.00
68242	J P Morgan SP BV - HSI R Bear CBBC Feb 2015 T	300,000,000	2014/09/30	2015/02/26	75.00
68189	Standard Chartered Bank - HSI R Bull CBBC Aug 2015 M	100,000,000	2014/09/30	2015/08/28	25.00
68163	Standard Chartered Bank - HSI R Bear CBBC Feb 2015 G	100,000,000	2014/09/30	2015/02/26	25.00
68181	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr15 M	200,000,000	2014/09/30	2015/04/29	50.00
68185	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan15 L	200,000,000	2014/09/30	2015/01/29	50.00
68169	UBS AG - HSI R Bull CBBC May 2015 I	300,000,000	2014/09/30	2015/05/28	75.00
68172	UBS AG - HSI R Bull CBBC May 2015 J	300,000,000	2014/09/30	2015/05/28	75.00
68179	UBS AG - HSI R Bull CBBC May 2015 K	300,000,000	2014/09/30	2015/05/28	75.00
68227	UBS AG - HSI R Bear CBBC Jan 2015 H	300,000,000	2014/09/30	2015/01/29	75.00
68229	UBS AG - HSI R Bear CBBC Jan 2015 J	300,000,000	2014/09/30	2015/01/29	75.00
總額					111,530.32

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2013	第3季	4	7.69
	第4季	4	5.78
2014	第1季	5	276.71
	第2季	5	68.00
	第3季	4	24.18

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2013	第3季	—	—
	第4季	—	—
2014	第1季	—	—
	第2季	—	—
	第3季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2013	第3季	7	0.08
	第4季	7	0.03
2014	第1季	7	0.39
	第2季	7	1.28
	第3季	7	0.63

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2013	第3季	2	—
	第4季	2	—
2014	第1季	2	—
	第2季	2	—
	第3季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2013	第3季	56,706.88	18,446.55	542,526
	第4季	78,234.46	32,626.67	914,205
2014	第1季	108,376.84	63,841.30	1,599,170
	第2季	80,562.77	28,231.96	844,565
	第3季	91,704.03	39,161.42	1,013,981

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2013	第3季	63	292.80	34,001
	第4季	62	526.24	35,689
2014	第1季	61	1,046.58	39,922
	第2季	60	470.53	33,428
	第3季	64	611.90	38,621

20種升幅最大之創業板股份

2014年第3季

順次	股份代號	股份	收市價		升幅
			2014年9月底	2014年6月底	
1	08202	匯創控股	0.300	0.085	252.94
2	08309	時尚環球	2.780	0.820	239.02
3	08117	中國基礎資源	1.000	0.330	203.03
4	08055	中國網絡教育	0.490	0.166	195.18
5	08201	寶聯控股	2.020	0.900	124.44
6	08148	奧栢中國	0.790	0.355	122.54
7	08060	國聯通信	0.220	0.102	115.69
8	08193	漢華專業服務	0.790	0.387 A	104.13
9	08007	數碼香港	2.020	1.020	98.04
10	08066	品創控股	0.242	0.124	95.16
11	08165	華普智通	0.116	0.063 A	84.13
12	08003	世大控股	0.131	0.072	81.94
13	08123	首華財經網絡	0.550	0.305	80.33
14	08112	FOCUS MEDIA	0.900	0.500	80.00
15	08256	金利通	0.217	0.121	79.34
16	08046	恒芯中國	0.220	0.127	73.23
17	08081	中國手遊文化	0.233	0.135	72.59
18	08019	皓文控股	0.300	0.174	72.41
19	08018	匯財軟件	2.310	1.370	68.61
20	08311	圓美光電	3.140	1.870	67.91

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2014年第3季

順次	股份代號	股份	收市價		跌幅
			2014年9月底	2014年6月底	
1	08063	和滙集團	0.350	0.750	-53.33
2	08078	中國3D數碼	0.049	0.100 A	-51.00
3	08212	譽滿國際控股	0.147	0.289 A	-49.13
4	08225	萬全科技藥業	0.184	0.345	-46.67
5	08198	新濠環彩	0.730	1.360	-46.32
6	08021	匯隆控股	0.186	0.344 A	-45.93
7	08071	中彩網通控股	0.157	0.290	-45.86
8	08295	卓亞資本	0.195	0.355	-45.07
9	08163	萬德移動	0.227	0.400 A	-43.25
10	08072	羅馬集團	0.013	0.021	-38.10
11	08150	無縫綠色	0.500	0.800	-37.50
12	08006	華泰瑞銀	2.560	3.890	-34.19
13	08108	名家國際	0.215	0.325	-33.85
14	08050	雲博產業	4.000	5.940	-32.66
15	08192	環球能源資源	0.194	0.280	-30.71
16	08215	FIRST CREDIT	0.063	0.090	-30.00
17	08255	神州數字	1.290	1.840	-29.89
18	08200	修身堂	0.136	0.190 A	-28.42
19	08135	正美豐業	1.190	1.650	-27.88
20	08206	神通電信服務	0.390	0.540	-27.78

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2014年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	08083	中國創新支付	3,690.08	9.42
2	08002	IGG	3,283.70	8.39
3	08047	宇恒供應鏈	2,381.01	6.08
4	08292	慧聰網	2,166.85	5.53
5	08201	寶聯控股	1,670.05	4.26
6	08018	匯財軟件	1,184.17	3.02
7	08078	中國3D數碼	1,114.14	2.84
8	08123	首華財經網絡	1,083.98	2.77
9	08207	中國信貸	909.79	2.32
10	08138	同仁堂國藥	683.67	1.75
11	08325	中國支付通	670.56	1.71
12	08055	中國網絡教育	646.52	1.65
13	08311	圓美光電	612.92	1.57
14	08156	眾彩股份	601.36	1.54
15	08081	中國手遊文化	566.60	1.45
16	08132	中油港燃	546.85	1.40
17	08032	非凡中國	539.92	1.38
18	08321	中國汽車內飾	502.90	1.28
19	08009	華夏能源控股	495.87	1.27
20	08050	雲博產業	478.86	1.22
總額			23,829.79	60.85

創業板 股份

20種成交最活躍創業板股份(股數)

2014年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08072	羅馬集團	14,957.86	16.31
2	08078	中國3D數碼	8,245.27	8.99
3	08083	中國創新支付	4,720.16	5.15
4	08132	中油港燃	4,225.81	4.61
5	08163	萬德移動	4,161.84	4.54
6	08081	中國手遊文化	2,742.87	2.99
7	08123	首華財經網絡	2,507.09	2.73
8	08009	華夏能源控股	2,068.49	2.26
9	08215	FIRST CREDIT	1,850.07	2.02
10	08100	聯夢活力世界	1,846.95	2.01
11	08047	宇恒供應鏈	1,763.84	1.92
12	08055	中國網絡教育	1,453.62	1.59
13	08212	譽滿國際控股	1,393.48	1.52
14	08019	皓文控股	1,272.57	1.39
15	08158	中國生物醫學	1,271.21	1.39
16	08266	金達集團國際	1,240.24	1.35
17	08269	富譽控股	1,190.08	1.30
18	08021	匯隆控股	1,133.61	1.24
19	08120	東麟農業	1,122.75	1.22
20	08201	寶聯控股	1,070.56	1.17
總數			60,238.39	65.69

創業板 股份

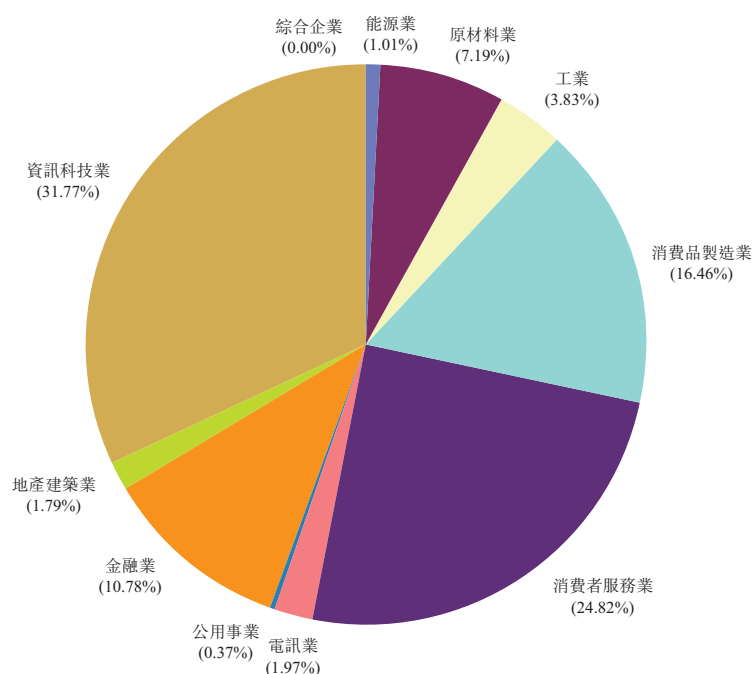
創業板公司市價總值

截至季末

		百萬港元
2013	第3季	119,020.80
	第4季	134,002.06
2014	第1季	172,776.82
	第2季	164,166.52
	第3季	180,171.37

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2014年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	9,235.95	5.13
2	08292	慧聰網有限公司	9,226.41	5.12
3	08138	北京同仁堂國藥有限公司	8,681.80	4.82
4	08237	華星控股有限公司	7,756.00	4.30
5	08032	非凡中國控股有限公司	7,138.91	3.96
6	08008	新意網集團有限公司	6,270.32	3.48
7	08279	亞博科技控股有限公司	5,563.82	3.09
8	08207	中國信貸控股有限公司	5,443.75	3.02
9	08050	雲博產業集團有限公司	5,425.00	3.01
10	08002	IGG Inc	4,760.67	2.64
11	08018	匯財軟件公司	4,620.00	2.56
12	08311	圓美光電有限公司	4,144.80	2.30
13	08083	中國創新支付集團有限公司	3,974.17	2.21
14	08158	中國生物醫學再生科技有限公司	2,906.74	1.61
15	08123	首華財經網絡集團有限公司	2,892.43	1.61
16	08179	喜尚控股有限公司	2,720.00	1.51
17	08156	眾彩科技股份有限公司	2,532.56	1.41
18	08058	山東羅欣藥業集團股份有限公司-H股	2,392.70	1.33
19	08198	新濠環彩有限公司	2,296.17	1.27
20	08201	寶聯控股有限公司	2,020.00	1.12
21	08147	Millennium Pacific Group Holdings Ltd.	2,014.76	1.12
22	08090	中國融保金融集團有限公司	1,945.90	1.08
23	08005	裕興科技投資控股有限公司	1,899.64	1.05
24	08222	壹照明集團控股有限公司	1,776.00	0.99
25	08016	長虹佳華控股有限公司	1,672.85	0.93
26	08309	時尚環球控股有限公司	1,668.00	0.93
27	08006	中國華泰瑞銀控股有限公司	1,646.19	0.91
28	08056	鼎豐集團控股有限公司	1,580.00	0.88
29	08356	中國新華電視控股有限公司	1,495.79	0.83
30	08020	川盟金融集團有限公司	1,332.00	0.74
31	08155	南華置地有限公司	1,307.88	0.73
32	08325	中國支付通集團控股有限公司	1,287.85	0.71
33	08250	中國天然投資有限公司	1,235.82	0.69
34	08099	中國優材(控股)有限公司	1,180.00	0.65
35	08055	中國網絡教育集團有限公司	1,138.07	0.63
36	08326	JC Group Holdings Ltd.	1,132.00	0.63
37	08128	中國地能產業集團有限公司	1,118.17	0.62
38	08132	中油港燃能源集團控股有限公司	1,067.88	0.59
39	08220	比高集團控股有限公司	1,060.27	0.59
40	08315	冠輝保安控股有限公司	1,056.00	0.59
42	08019	皓文控股有限公司	926.70	0.51
43	08167	中國新電信集團有限公司	922.90	0.51
44	08086	DX.com控股有限公司	907.84	0.50
45	08066	品創控股有限公司	823.89	0.46
46	08117	中國基礎資源控股有限公司	820.90	0.46
47	08276	華章科技控股有限公司	816.00	0.45
48	08185	御藥堂集團控股有限公司	813.70	0.45
49	08077	昆侖國際金融集團有限公司	790.00	0.44
50	08046	恒芯中國控股有限公司	760.89	0.42
總值			137,142.49	76.12
市場總值			180,171.37	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2013	第3季	5	362.29
	第4季	12	1,873.36
2014	第1季	5	496.33
	第2季	1	100.00
	第3季	8	480.88

創業板新上市公司

2014第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08237	華星控股有限公司	2014/07/07	280,000,000	122.50
08216	百本醫護控股有限公司	2014/07/08	400,000,000	50.00
08125	中持基業控股有限公司	2014/07/18	300,000,000	45.00
08147	Millennium Pacific Group Holdings Ltd.	2014/07/18	104,556,000	46.58
08162	港銀控股有限公司	2014/08/05	400,000,000	43.20
08059	朝威控股有限公司	2014/08/15	620,000,000	62.00
08315	冠輝保安控股有限公司	2014/08/20	640,000,000	61.60
08222	壹照明集團控股有限公司	2014/09/29	400,000,000	50.00
總額				480.88

除牌上市公司－創業板

2014年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08232	中國優通控股有限公司*	2014/07/31	2014/08/01 §
08321	中國汽車內飾集團有限公司*	2014/08/22	2014/08/25 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2014年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08172	中國傳媒影視控股有限公司	中國星文化產業集團有限公司	2014/06/20	2014/08/06
08058	山東羅欣藥業股份有限公司－H股	山東羅欣藥業集團股份有限公司－H股	2014/08/13	2014/08/14
08047	昇力集團控股有限公司	宇恒供應鏈集團有限公司	2014/07/22	2014/08/21
08039	天馬娛樂控股有限公司#	天馬影視文化控股有限公司	2014/07/08	2014/08/27

祇改中文名稱

創業板 股份

創業板紅股／紅利認股權證

2014年第3季

股份代號	公司	詳情	除淨日
08168	英裘(控股)有限公司	5送1	2014/07/23
08078	中國3D數碼娛樂有限公司	1送10 (5股合併為1股後)	2014/09/03

創業板股份拆細及合併

2014年第3季

股份代號	公司	詳情	生效日期
08228	國藝娛樂文化集團有限公司	10股合併為1股	2014/07/07
08100	聯夢活力世界有限公司	4股合併為1股	2014/07/18
08212	譽滿國際(控股)有限公司	10股合併為1股	2014/08/12
08021	匯隆控股有限公司	4股合併為1股	2014/08/21
08082	仁智國際集團有限公司	10股合併為1股	2014/09/01
08078	中國3D數碼娛樂有限公司	5股合併為1股	2014/09/02
08163	萬德移動集團有限公司	8股合併為1股	2014/09/29
08119	即時科研集團有限公司	10股合併為1股	2014/09/29

創業板供股／公開售股

2014年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
7月	08163	萬德移動集團有限公司	股權1供4，每股供0.04港元	98.06
	08228	國藝娛樂文化集團有限公司	股權1供6，每股供0.188港元 (10股合併為1股後)	465.44
	08176	EDS Wellness Holdings Ltd.	公開售股2供1，每股供3.00港元	57.18
8月	08193	漢華專業服務有限公司	公開售股2供1，每股供0.20港元	50.46
9月	08212	譽滿國際(控股)有限公司	公開售股1供8，每股供0.20港元 (10股合併為1股後)	176.74
	08009	華夏能源控股有限公司	公開售股2供1，每股供0.10港元	63.53
	08200	修身堂控股有限公司	股權1供3，每股供0.10港元	89.39
總額				1,000.80

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2014					
7月	08078	中國3D數碼	1,500,000,000	0.070	105.00
	08356	中國新華電視	334,900,000	0.250	83.73
	08310	伽瑪物流集團	160,000,000	0.250	40.00
	08351	俊文寶石	561,730,000	0.100	56.17
	08037	銳康藥業	111,000,000	0.180	19.98
	08082	仁智國際集團	258,450,000	0.044	11.37
	08119	即時科研	172,965,360	0.100	17.30
	08063	和滙集團	29,980,000	0.650	19.49
	08130	智城控股	47,000,000	0.400	18.80
	08009	華夏能源控股	211,760,000	0.180	38.12
8月	08081	中國手遊文化	209,592,000	0.134	28.09
	08081	中國手遊文化	381,078,000	0.118	44.97
	08176	EDS WELLNESS	2,620,000	3.150	8.25
	08158	中國生物醫學	150,000,000	0.260	39.00
9月	08117	中國基礎資源	96,576,196	0.400	38.63
	08325	中國支付通	104,310,000	1.460	152.29
	08132	中油港燃	1,452,900,000	0.100	145.29
	08242	宏創高科	48,000,000	0.400	19.20
	08039	天馬影視	50,000,000	1.260	63.00
	08072	羅馬集團	925,000,000	0.016	14.34
	08150	無縫綠色	115,000,000	0.440	50.60
	08269	富譽控股	317,000,000	0.297	94.15
	08269	富譽控股	237,000,000	0.270	63.99
總額					1,171.75

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2013	第3季	0	0.00	0.00
	第4季	0	0.00	0.00
2014	第1季	0	0.00	0.00
	第2季	0	0.00	0.00
	第3季	0	0.00	0.00

創業板新上市股份認股權證

2014年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2014年9月底 收市	2014年6月底 收市	升跌
標準普爾／香港交易所大型股指數	27881.60	28252.13	－ 1.31%
標準普爾／香港交易所創業板指數	507.18	515.55	－ 1.62%
恒生指數	22932.98	23190.72	－ 1.11%
分類指數			
金融	31081.75	30850.73	＋ 0.75%
公用事業	51358.27	51913.66	－ 1.07%
地產	29006.34	29052.75	－ 0.16%
工商業	13903.46	14383.16	－ 3.34%
恒生香港中資企業指數	4402.05	4369.01	＋ 0.76%
恒生中國企業指數	10310.40	10335.03	－ 0.24%

股票市場指數

世界指數

指數	2014年9月底 收市	2014年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	27881.60	28252.13	- 1.31%
恒生指數	22932.98	23190.72	- 1.11%
澳洲			
普通股指數	5296.76	5382.03	- 1.58%
雅加達			
綜合指數	5137.58	4878.58	+ 5.31%
日本			
日經指數(225)	16173.52	15162.10	+ 6.67%
韓國			
綜合指數	2020.09	2002.21	+ 0.89%
吉隆坡			
綜合指數	1846.31	1882.71	- 1.93%
馬尼拉			
綜合指數	7283.07	6844.31	+ 6.41%
紐西蘭			
證交所指數(50)	5255.04	5141.48	+ 2.21%
上海			
A股指數	2474.59	2144.75	+ 15.38%
B股指數	268.16	221.27	+ 21.19%
深圳			
A股指數	1393.01	1145.36	+ 21.62%
B股指數	979.63	842.57	+ 16.27%
新加坡			
FTSE海峽時報指數	3276.74	3255.67	+ 0.65%
台灣			
台北加權股份指數	8966.92	9393.07	- 4.54%
泰國			
證交所指數	1585.67	1485.75	+ 6.73%
紐約			
杜瓊斯工業平均指數	17042.90	16826.60	+ 1.29%
Nasdaq綜合指數	4493.39	4408.18	+ 1.93%
多倫多			
S&P/TSX綜合指數	14960.51	15146.01	- 1.22%
德國			
法蘭克福指數	4874.28	5058.86	- 3.65%
倫敦			
富時指數(100)	6622.72	6743.94	- 1.80%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2014年第3季	2013年第3季
恒生指數期貨	4,270,694	4,926,071
恒生指數期權	1,912,755	1,959,869
小型恒生指數期貨	1,644,415	2,237,418
小型恒生指數期權	251,411	292,768
H股指數期貨	5,067,604	5,534,747
H股指數期權	2,013,385	2,240,055
小型H股指數期貨	779,761	655,817
自訂條款恒生指數期權	200	100
自訂條款H股指數期權	15,861	3,300
恒指股息點指數期貨	5,404	3,701
恒生國企股息點指數期貨	44,905	33,075
恒指波幅指數期貨	107	378
中華交易服務中國120指數期貨 ¹	10,935	26,312
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	123,371	86,034
股票期權	19,462,284	13,681,676
三個月港元利率期貨	10	0
一個月港元利率期貨	0	20
三年期外匯基金債券期貨	0	25
人民幣貨幣期貨—美元兌人民幣(香港)期貨	42,843	26,868
黃金期貨	0	0
總數	35,645,945	31,708,234

¹ 中華交易服務中國120指數期貨由2013年8月12日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第3季	4,926,071	123,253
	第4季	4,314,395	107,304
2014年	第1季	4,368,013	104,527
	第2季	3,893,812	126,964
	第3季	4,270,694	131,566

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第3季	2,237,418	8,969
	第4季	1,573,318	5,835
2014年	第1季	1,648,258	7,441
	第2季	1,536,157	7,067
	第3季	1,644,415	9,081

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第3季	1,959,869	293,948
	第4季	1,774,047	173,176
2014年	第1季	1,759,351	201,802
	第2季	1,728,591	184,741
	第3季	1,912,755	254,144

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2013年	第3季	5,534,747	214,299
	第4季	5,527,741	217,646
2014年	第1季	5,647,145	199,566
	第2季	4,656,807	217,624
	第3季	5,067,604	209,592

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2013年	第3季	8,479.72	13,681,676	4,882,089
	第4季	10,051.31	15,367,765	4,740,818
2014年	第1季	14,497.65	16,760,161	5,458,789
	第2季	10,523.18	15,082,507	4,767,976
	第3季	11,835.64	19,462,284	6,016,138

衍生產品市場 期貨及期權

各期權類別之期權金總額

2014年第3季

期權類別		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	1,124.18	9.50%
農業銀行	ABC/XAB	54.63	0.46%
安徽海螺水泥股份	ACC	146.09	1.23%
友邦保險	AIA	247.16	2.09%
中國鋁業	ALC	15.25	0.13%
華夏滬深三百	AMC	81.09	0.69%
中國銀行	BCL/XBC	73.04	0.62%
交通銀行	BCM	27.16	0.23%
東亞銀行	BEA	2.38	0.02%
百麗國際	BIH	18.14	0.15%
中銀香港	BOC	32.30	0.27%
比亞迪股份	BYD	22.58	0.19%
建設銀行	CCB/XCC	179.08	1.51%
中國交通建設	CCC	6.72	0.06%
中國中煤能源	CCE	10.85	0.09%
中國遠洋	CCS	2.96	0.03%
中國信達	CDA	31.19	0.26%
中國移動	CHT	966.76	8.17%
中國聯通	CHU	78.57	0.66%
中信股份	CIT	108.96	0.92%
長江實業	CKH	400.19	3.38%
中國人壽	CLI	247.23	2.09%
中電控股	CLP	9.80	0.08%
招商銀行	CMB	59.38	0.50%
中國海洋石油	CNC	162.53	1.37%
中國海外發展	COL	170.00	1.44%
國泰航空	CPA	2.21	0.02%
中國石油化工股份	CPC	195.33	1.65%
中國太保	CPI	95.78	0.81%
中國鐵建	CRC	3.10	0.03%
中國中鐵	CRG	0.98	0.01%
X標智滬深300	CS3	0.19	0.00%
南方A50	CSA	530.89	4.49%
中國神華	CSE	56.90	0.48%
中信銀行	CTB	42.63	0.36%
中國電信	CTC	18.41	0.16%
東風集團股份	DFM	15.16	0.13%
思捷環球	ESP	1.97	0.02%
富智康集團	FIH	5.03	0.04%
銀河娛樂	GLX	712.43	6.02%
長城汽車	GWM	38.32	0.32%
電能實業	HEH	18.09	0.15%
香港交易所	HEX	1,121.31	9.47%
恒安國際	HGN	9.43	0.08%
匯豐控股	HKB	470.79	3.98%
香港中華煤氣	HKG	10.42	0.09%
恒基地產	HLD	60.91	0.51%
華能國際電力股份	HNP	20.80	0.18%
恒生銀行	HSB	8.01	0.07%
和記黃埔	HWL	415.29	3.51%
工商銀行	ICB/XIC	122.14	1.03%
江西銅業	JXC	29.71	0.25%
昆侖能源	KLE	50.45	0.43%
金山軟件	KSO	71.89	0.61%
聯想集團	LEN	48.91	0.41%
利豐	LIF	43.24	0.37%
美高梅中國	MGM	6.97	0.06%
民生銀行	MSB	82.13	0.69%

衍生產品市場 期貨及期權

期權類別		期權金額(百萬港元)	佔市場百分比
港鐵公司	MTR	5.16	0.04%
中國建材	NBM	38.05	0.32%
新世界發展	NWD	34.07	0.29%
中國平安	PAI	415.84	3.51%
中國石油股份	PEC	260.92	2.20%
中國人民財產保險	PIC	30.07	0.25%
中國人民保險集團	PIN	9.40	0.08%
保利協鑫能源	PLE	5.03	0.04%
富力地產	RFP	5.87	0.05%
金沙中國有限公司	SAN	350.13	2.96%
新鴻基地產	SHK	277.49	2.34%
渣打集團	STC	6.09	0.05%
太古股份公司A	SWA	25.73	0.22%
騰訊控股	TCH	1,681.80	14.21%
盈富基金	TRF	8.86	0.07%
九龍倉集團	WHL	36.18	0.31%
中國旺旺	WWC	8.11	0.07%
兗州煤業股份	YZC	43.42	0.37%
紫金礦業	ZJM	5.40	0.05%
總額		11,835.64	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2014年第3季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	845,217	4.34%
農業銀行	ABC/XAB	50,358	0.26%
安徽海螺水泥股份	ACC	269,172	1.38%
友邦保險	AIA	342,229	1.76%
中國鋁業	ALC	52,318	0.27%
華夏滬深三百	AMC	53,389	0.27%
中國銀行	BCL/XBC	873,866	4.49%
交通銀行	BCM	171,341	0.88%
東亞銀行	BEA	17,909	0.09%
百麗國際	BIH	31,037	0.16%
中銀香港	BOC	115,958	0.60%
比亞迪股份	BYD	22,190	0.11%
建設銀行	CCB/XCC	1,235,561	6.35%
中國交通建設	CCC	37,013	0.19%
中國中煤能源	CCE	59,151	0.30%
中國遠洋	CCS	35,520	0.18%
中國信達	CDA	33,156	0.17%
中國移動	CHT	941,222	4.84%
中國聯通	CHU	80,477	0.41%
中信股份	CIT	207,486	1.07%
長江實業	CKH	155,528	0.80%
中國人壽	CLI	414,895	2.13%
中電控股	CLP	18,558	0.10%
招商銀行	CMB	197,194	1.01%
中國海洋石油	CNC	418,447	2.15%
中國海外發展	COL	140,449	0.72%
國泰航空	CPA	3,547	0.02%
中國石油化工股份	CPC	398,352	2.05%
中國太保	CPI	111,860	0.57%
中國鐵建	CRC	24,887	0.13%
中國中鐵	CRG	8,649	0.04%
X標智滬深300	CS3	114	0.00%
南方A50	CSA	317,746	1.63%
中國神華	CSE	158,306	0.81%
中信銀行	CTB	176,562	0.91%
中國電信	CTC	58,230	0.30%
東風集團股份	DFM	15,648	0.08%
思捷環球	ESP	37,276	0.19%
富智康集團	FIH	19,553	0.10%
銀河娛樂	GLX	402,145	2.07%
長城汽車	GWM	83,651	0.43%
電能實業	HEH	26,485	0.14%
香港交易所	HEX	2,513,277	12.91%
恒安國際	HGN	11,054	0.06%
匯豐控股	HKB	830,096	4.27%
香港中華煤氣	HKG	26,331	0.14%
恒基地產	HLD	38,995	0.20%
華能國際電力股份	HNP	42,088	0.22%
恒生銀行	HSB	35,940	0.18%
和記黃埔	HWL	226,732	1.16%
工商銀行	ICB/XIC	1,035,958	5.32%
江西銅業	JXC	63,669	0.33%
昆侖能源	KLE	53,449	0.27%
金山軟件	KSO	74,519	0.38%
聯想集團	LEN	79,896	0.41%
利豐	LIF	57,553	0.30%
美高梅中國	MGM	17,318	0.09%
民生銀行	MSB	110,424	0.57%
港鐵公司	MTR	19,673	0.10%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百份比
中國建材	NBM	76,406	0.39%
新世界發展	NWD	74,529	0.38%
中國平安	PAI	525,861	2.70%
中國石油股份	PEC	375,742	1.93%
中國人民財產保險	PIC	34,304	0.18%
中國人民保險集團	PIN	20,605	0.11%
保利協鑫能源	PLE	8,610	0.04%
富力地產	RFP	31,220	0.16%
金沙中國有限公司	SAN	576,846	2.96%
新鴻基地產	SHK	109,395	0.56%
渣打集團	STC	23,419	0.12%
太古股份公司 A	SWA	28,240	0.15%
騰訊控股	TCH	3,488,800	17.93%
盈富基金	TRF	27,221	0.14%
九龍倉集團	WHL	27,972	0.14%
中國旺旺	WWC	22,034	0.11%
兗州煤業股份	YZC	85,344	0.44%
紫金礦業	ZJM	26,112	0.13%
總數		19,462,284	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2013 第3季	76,390	49.11	176.60
第4季	84,326	52.14	201.87
2014 第1季	89,857	51.46	200.37
第2季	84,454	51.80	191.05
第3季	87,928	57.80	206.26

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2013 第3季	347	46.57	139.57
第4季	412	143.96	203.73
2014 第1季	418	195.82	302.92
第2季	334	169.36	188.57
第3季	406	69.28	166.15

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10 億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2013 第3季	3,839.01	70.64	12,905.25	51.98
第4季	3,955.16	70.87	13,878.91	52.68
2014 第1季	4,058.32	70.36	13,423.17	52.82
第2季	4,100.74	70.42	13,911.39	50.86
第3季	4,262.88	71.01	14,144.85	52.56

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年/月		買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2013	第3季	877,568	100	130,868.07	100	55,128.00	100
	第4季	933,875	100	117,032.40	100	58,954.27	100
2014	第1季	1,120,215	100	138,407.50	100	68,237.10	100
	第2季	928,429	100	112,913.91	100	57,388.97	100
	第3季	1,037,135	100	147,929.94	100	70,720.94	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2013	第3季	65,100	95.99	18,908.50	92.59
	第4季	72,380	95.83	22,299.70	90.28
2014	第1季	77,656	96.29	23,984.86	91.21
	第2季	67,488	96.09	19,979.41	91.05
	第3季	79,378	95.92	25,196.56	91.36

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月		交收效率(%)	
		到期交收日	到期交收日翌日
2013	第3季	99.91	99.99
	第4季	99.91	99.99
2014	第1季	99.91	99.99
	第2季	99.90	99.99
	第3季	99.90	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2014年第3季	2013年第3季
恒生指數期貨	131,566	123,253
恒生指數期權	254,144	293,948
小型恒生指數期貨	9,081	8,969
小型恒生指數期權	7,945	4,698
H股指數期貨	209,592	214,299
H股指數期權	1,194,591	1,140,213
小型H股指數期貨	4,703	2,772
自訂條款恒生指數期權	50	2,694
自訂條款H股指數期權	36,940	27,441
恒指股息點指數期貨	6,979	8,009
恒生國企股息點指數期貨	77,187	88,814
恒指波幅指數期貨	14	128
中華交易服務中國120指數期貨 ¹	45	216
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	20,040	15,524
股票期權	6,016,138	4,882,089
三個月港元利率期貨	5	0
一個月港元利率期貨	0	6
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	11,580	9,515
黃金期貨	0	0
總數	7,980,600	6,822,588

¹ 中華交易服務中國120指數期貨由2013年8月12日開始買賣

結算及交收

各期權類別之未平倉合約

2014年第3季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	324,040	5.39
農業銀行	ABC/XAB	29,251	0.49
安徽海螺水泥股份	ACC	59,355	0.99
友邦保險	AIA	67,326	1.12
中國鋁業	ALC	8,583	0.14
華夏滬深三百	AMC	17,227	0.29
中國銀行	BCL/XBC	617,840	10.27
交通銀行	BCM	41,664	0.69
東亞銀行	BEA	5,736	0.10
百麗國際	BIH	14,364	0.24
中銀香港	BOC	46,016	0.76
比亞迪股份	BYD	17,378	0.29
建設銀行	CCB/XCC	583,869	9.71
中國交通建設	CCC	13,289	0.22
中國中煤能源	CCE	34,313	0.57
中國遠洋	CCS	20,866	0.35
中國信達	CDA	10,380	0.17
中國移動	CHT	185,244	3.08
中國聯通	CHU	38,399	0.64
中信股份	CIT	47,990	0.80
長江實業	CKH	27,775	0.46
中國人壽	CLI	117,787	1.96
中電控股	CLP	5,065	0.08
招商銀行	CMB	64,477	1.07
中國海洋石油	CNC	139,914	2.33
中國海外發展	COL	36,576	0.61
國泰航空	CPA	1,543	0.03
中國石油化工股份	CPC	192,070	3.19
中國太保	CPI	24,490	0.41
中國鐵建	CRC	8,476	0.14
中國中鐵	CRG	2,111	0.04
X標智滬深300	CS3	354	0.01
南方A50	CSA	118,922	1.98
中國神華	CSE	73,041	1.21
中信銀行	CTB	119,814	1.99
中國電信	CTC	18,884	0.31
東風集團股份	DFM	4,183	0.07
思捷環球	ESP	11,633	0.19
富智康集團	FIH	3,831	0.06
銀河娛樂	GLX	70,208	1.17
長城汽車	GWM	19,237	0.32
電能實業	HEH	5,597	0.09
香港交易所	HEX	505,850	8.41
恒安國際	HGN	1,196	0.02

結算及交收

期權類別		未平倉合約	佔市場百份比
匯豐控股	HKB	209,896	3.49
香港中華煤氣	HKG	9,336	0.16
恒基地產	HLD	6,751	0.11
華能國際電力股份	HNP	6,967	0.12
恒生銀行	HSB	14,132	0.23
和記黃埔	HWL	43,158	0.72
工商銀行	ICB/XIC	520,453	8.65
江西銅業	JXC	16,281	0.27
昆侖能源	KLE	16,030	0.27
金山軟件	KSO	23,744	0.39
聯想集團	LEN	19,429	0.32
利豐	LIF	23,240	0.39
美高梅中國	MGM	3,703	0.06
民生銀行	MSB	73,067	1.21
港鐵公司	MTR	5,682	0.09
中國建材	NBM	25,285	0.42
新世界發展	NWD	19,337	0.32
中國平安	PAI	107,173	1.78
中國石油股份	PEC	145,746	2.42
中國人民財產保險	PIC	11,630	0.19
中國人民保險集團	PIN	5,694	0.09
保利協鑫能源	PLE	1,118	0.02
富力地產	RFP	17,518	0.29
金沙中國有限公司	SAN	126,035	2.09
新鴻基地產	SHK	27,540	0.46
渣打集團	STC	13,833	0.23
太古股份公司A	SWA	8,519	0.14
騰訊控股	TCH	696,184	11.57
盈富基金	TRF	12,330	0.20
九龍倉集團	WHL	8,425	0.14
中國旺旺	WWC	5,489	0.09
兗州煤業股份	YZC	29,410	0.49
紫金礦業	ZJM	6,839	0.11
總數		6,016,138	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2013	第3季	566 (982)	506 (915)	40 (47)	20 (20)
	第4季	563 (978)	504 (912)	39 (46)	20 (20)
2014	第1季	561 (975)	503 (909)	38 (46)	20 (20)
	第2季	558 (970)	501 (907)	37 (43)	20 (20)
	第3季	556 (967)	499 (904)	37 (43)	20 (20)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2013	第3季	232 (256)	179 (201)	—	53 (55)
	第4季	232 (256)	179 (201)	—	53 (55)
2014	第1季	231 (255)	179 (201)	—	52 (54)
	第2季	229 (253)	178 (200)	—	51 (53)
	第3季	229 (253)	177 (199)	—	52 (54)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2013 第3季	152	9
第4季	152	9
2014 第1季	151	9
第2季	150	9
第3季	150	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2013 第3季	62	9
第4季	62	9
2014 第1季	62	9
第2季	63	9
第3季	63	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2013 第3季	486	9	43
第4季	485	9	43
2014 第1季	486	9	43
第2季	481	9	43
第3季	480	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券