



證券及衍生產品市場 季報

2015年第三季

市場概況

主板

概況	2015 年 第 3 季	2014 年 第 3 季
上市公司數目	1,605	1,521
上市證券數目	10,009	8,955
股份		
普通股	1,606	1,522
優先股	7	3
認股權證	5,646	4,801
股份	13	7
衍生	5,633	4,794
股票掛鈎票據	0	0
牛熊證	1,871	1,918
單位信託及互惠基金	143	133
債券	736	578
非上市可交易股份數目		
Nasdaq 股份	6	7
iShares	2	2
交易日數	63	64
總成交金額(百萬港元)*	6,343,886.31	4,496,605.26
總成交股數(百萬股)	14,175,931.32	9,376,840.85
總成交宗數(宗數)	92,852,311	65,411,508
上市公司市價總值(百萬港元)	22,965,987.58	24,248,110.50
平均市盈率(倍)	9.40	10.69
平均週息率(百分率)	3.59	3.41
交易所參與者數目		
開業	505	499
非開業	35	37
成交金額(百萬港元)		
最高	235,970.30 (08/07)	100,486.84 (03/09)
最低	63,691.03 (15/09)	42,906.47 (21/07)
成交股數(百萬股)		
最高	315,750.42 (06/07)	194,955.84 (10/09)
最低	154,178.41 (07/09)	94,484.29 (21/07)
總成交宗數(宗數)		
最高	3,179,179 (08/07)	1,354,621 (03/09)
最低	990,482 (14/08)	706,292 (21/07)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2015 年 第 3 季	2014 年 第 3 季
上市公司數目	211	200
上市證券數目	212	200
股份	211	200
認股權證	1	0
交易日數	63	64
總成交金額(百萬港元)*	51,374.04	39,161.42
總成交股數(百萬股)	138,270.91	91,704.03
總成交宗數(宗數)	1,382,669	1,013,981
上市公司市價總值(百萬港元)	224,157.68	180,171.37
平均市盈率(倍)	68.53	11.52
平均週息率(百分率)	0.46	0.62
成交金額(百萬港元)		
最高	2,105.44 (06/07)	1,357.85 (25/09)
最低	316.14 (04/09)	371.61 (25/07)
成交股數(百萬股)		
最高	5,252.77 (06/07)	2,748.30 (25/09)
最低	1,077.71 (24/09)	825.32 (25/08)
總成交宗數(宗數)		
最高	59,485 (06/07)	26,215 (25/09)
最低	9,884 (04/09)	10,704 (14/07)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2015年第3季	2014年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	63.0	64.0
總合約成交量	27,928,170	16,183,661
股市指數產品	27,662,011	16,017,437
股票產品	170,322	123,371
利率產品	13	10
人民幣貨幣期貨	86,580	42,843
黃金期貨#	—	0
倫敦金屬期貨小型合約*	9,244	—
平均每日合約成交量	443,304	252,870
季末未平倉合約		
股市指數產品	3,043,778	1,964,462
股票產品	3,010,043	1,932,837
利率產品	17,768	20,040
利率產品	3	5
人民幣貨幣期貨	15,645	11,580
黃金期貨#	—	0
倫敦金屬期貨小型合約*	319	—

* 倫敦金屬期貨小型合約由2014年12月01日開始買賣

黃金期貨由2015年5月27日停止買賣

股票期權		
新開辦期權類別	0	3
股票期權數目(於季末數目)		
類別	82	77
系列	33,116	21,888
期權金總額(百萬港元)		
認購	22,706.73	11,835.64
認沽	7,921.17	6,183.52
認沽	14,785.56	5,652.12
平均每日期權金總額(百萬港元)	360.42	184.93
總合約成交量	23,674,156	19,462,284
認購	11,329,178	10,154,013
認沽	12,344,978	9,308,271
平均每日合約成交量	375,780	304,098
季末未平倉合約	6,679,004	6,016,138
認購	3,203,591	2,756,132
認沽	3,475,413	3,260,006
總成交宗數	1,063,632	776,920
平均每日成交宗數	16,883	12,139
已行使合約總數	2,975,292	1,773,366
每張合約平均期權金(港元)	959.14	608.13
每宗交易平均合約數目	22	25
認沽數量／認購數量	1.09	0.92
平均每日合約成交量／未平倉合約	5.6%	5.1%

所有期貨及期權產品		
總合約成交量	51,602,326	35,645,945
季末未平倉合約	9,722,782	7,980,600

市場概況

結算及交收

中央結算系統營運資料	2015年第3季	2014年第3季
經中央結算系統處理之聯交所交易(每日平均數)		
–交易宗數	1,449,054	1,037,135
–交易金額(十億港元)	98.7	70.7
–涉及股數(十億股)	226.6	147.9
經中央結算系統處理的交收指示(每日平均數)		
–交收指示數目	101,225	87,928
–交收指示涉及金額(十億港元)	244.0	206.3
–涉及股數(十億股)	58.3	57.8
經中央結算系統處理的投資者交收指示(每日平均數)		
–交收指示數目	390	406
–交收指示涉及金額(百萬港元)	220.3	166.2
–涉及股數(百萬股)	193.1	69.3
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.93	99.90
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
–涉及經紀數目	4	5
–補購宗數	4	5
–補購涉及金額(百萬港元)	4.2	2.6
存放於中央結算系統證券存管處的股份*		
–股數(十億股)	5,398.0	4,262.9
–獲納入系統的證券佔已發行股本總數的百分比	74.44	71.01
–股份價值(十億港元)	14,074.1	14,144.9
–獲納入系統的證券佔市價總值的百分比	56.13	52.56
衍生產品結算及交收系統營運資料		
季末未平倉合約		
–股市指數期貨	548,207	439,167
–股票期貨	17,768	20,040
–黃金期貨 [^]	–	0
–利率期貨	3	5
–人民幣貨幣期貨	15,645	11,580
–倫敦金屬期貨小型合約 [#]	319	–
–股市指數期權	2,461,836	1,493,670
–股票期權	6,679,004	6,016,138
累積行使股票期權		
–涉及股數(百萬股)	3,703	1,942
–涉及金額(十億港元)	67	41

* 股數及市價總值包括非港元證券

[^] 黃金期貨由2015年5月27日停止買賣

[#] 倫敦金屬期貨小型合約由2014年12月01日開始買賣

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2014	第 3 季	875,838.39	3,158,832.53	52,249,276
	第 4 季	946,664.36	3,489,289.62	56,518,377
2015	第 1 季	774,786.99	3,405,759.83	56,467,243
	第 2 季	2,486,785.70	6,796,873.72	94,261,256
	第 3 季	1,241,453.02	4,136,147.76	73,808,111

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2014	第 3 季	64	49,356.76	60,457
	第 4 季	62	56,278.86	61,737
2015	第 1 季	61	55,832.13	60,314
	第 2 季	60	113,281.23	72,107
	第 3 季	63	65,653.14	56,039

主 板 股 份

20種升幅最大之股份

2015年第3季

順次	股份代號	股份	收市價		升幅
			2015年9月底	2015年6月底	
1	01321	中國新城市	10.980	3.170	246.37
2	01048	新源控股	3.570	1.490	139.60
3	02212	高鵬礦業	2.840	1.220	132.79
4	01315	允升國際	5.600	2.820	98.58
5	00708	恒大健康	1.020	0.525	A 94.29
6	02098	卓爾發展	5.180	3.100	67.10
7	00530	高銀金融	16.500	9.980	65.33
8	01253	博大綠澤	1.700	1.053	A 61.44
9	06863	輝山乳業	2.790	1.770	57.63
10	00901	鷹力投資	1.020	0.680	50.00
11	00147	超越集團	0.660	0.450	46.67
12	03813	寶勝國際	1.310	0.900	45.56
13	00251	爪哇控股	8.830	6.230	41.73
14	01636	中國金屬利用	2.370	1.740	36.21
15	01368	特步國際	3.850	2.840	35.56
16	00776	捷豐家居	8.510	6.400	32.97
17	00676	創信國際	2.200	1.660	32.53
18	02066	盛京銀行	10.920	8.540	27.87
19	00819	天能動力	4.700	3.700	27.03
20	00628	華銀控股	1.570	1.260	24.60

A 經調整

20種跌幅最大之股份

2015年第3季

順次	股份代號	股份	收市價		跌幅
			2015年9月底	2015年6月底	
1	00736	中國置業投資	0.127	2.370	-94.64
2	01246	毅信控股	0.015	0.140	A -89.29
3	00269	中國資源交通	0.034	0.168	-79.76
4	00263	高富集團控股	0.920	4.430	-79.23
5	00943	意科控股	0.106	0.495	-78.59
6	01226	中國投融資	0.080	0.320	-75.00
7	00326	中國星集團	0.030	0.119	-74.79
8	00433	北方礦業	0.091	0.350	-74.00
9	01227	國盛投資	0.300	1.070	-71.96
10	01328	精英國際	0.228	0.800	-71.50
11	00149	中國農產品交易	0.116	0.390	-70.26
12	00205	財訊傳媒	0.130	0.420	-69.05
13	00805	GLENCORE-S	9.990	31.750	-68.54
14	00585	意馬國際	0.112	0.350	-68.00
15	00036	遠東控股國際	1.050	3.250	-67.69
16	01613	協同通信	0.158	0.485	-67.42
17	00401	萬嘉集團	0.580	1.700	-65.88
18	01280	匯銀智慧社區	0.670	1.950	-65.64
19	00847	哈薩克礦業-S	8.950	26.000	-65.58
20	00643	恆富控股	1.320	3.770	-64.99

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2015年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	200,323.35	4.84
2	02318	中國平安	151,924.15	3.67
3	00388	香港交易所	141,866.61	3.43
4	00939	建設銀行	118,883.29	2.87
5	01398	工商銀行	111,827.29	2.70
6	00941	中國移動	111,140.84	2.69
7	03988	中國銀行	101,329.60	2.45
8	01299	友邦保險	92,612.52	2.24
9	00005	匯豐控股	89,223.76	2.16
10	02628	中國人壽	85,465.69	2.07
11	06837	海通證券	54,911.25	1.33
12	00001	長和	54,068.20	1.31
13	03968	招商銀行	49,928.74	1.21
14	00857	中國石油股份	46,109.04	1.11
15	01113	長實地產	45,715.95	1.11
16	01928	金沙中國有限公司	43,299.98	1.05
17	00883	中國海洋石油	42,921.55	1.04
18	06030	中信証券	41,487.17	1.00
19	00688	中國海外發展	40,287.25	0.97
20	00027	銀河娛樂	36,633.05	0.89
總 額			1,659,959.27	40.13

20種成交最活躍股份(股數)

2015年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00261	中建置地	103,816.62	8.36
2	01246	毅信控股	32,020.61	2.58
3	03988	中國銀行	25,479.98	2.05
4	01398	工商銀行	21,867.32	1.76
5	00939	建設銀行	20,012.86	1.61
6	00364	坪山茶業	19,055.15	1.53
7	00433	北方礦業	16,283.19	1.31
8	01076	博華太平洋	14,697.71	1.18
9	00981	中芯國際	14,267.56	1.15
10	00136	馬斯葛集團	14,071.13	1.13
11	00736	中國置業投資	13,633.89	1.10
12	00326	中國星集團	11,421.16	0.92
13	00493	國美電器	10,778.46	0.87
14	01288	農業銀行	10,546.94	0.85
15	01217	中國創新投資	9,551.95	0.77
16	00582	藍鼎國際	9,196.44	0.74
17	00724	泰豐國際	8,969.02	0.72
18	01060	阿里影業	7,631.09	0.61
19	01102	環能國際	7,525.19	0.61
20	00397	君陽金融	7,469.72	0.60
總 數			378,296.00	30.47

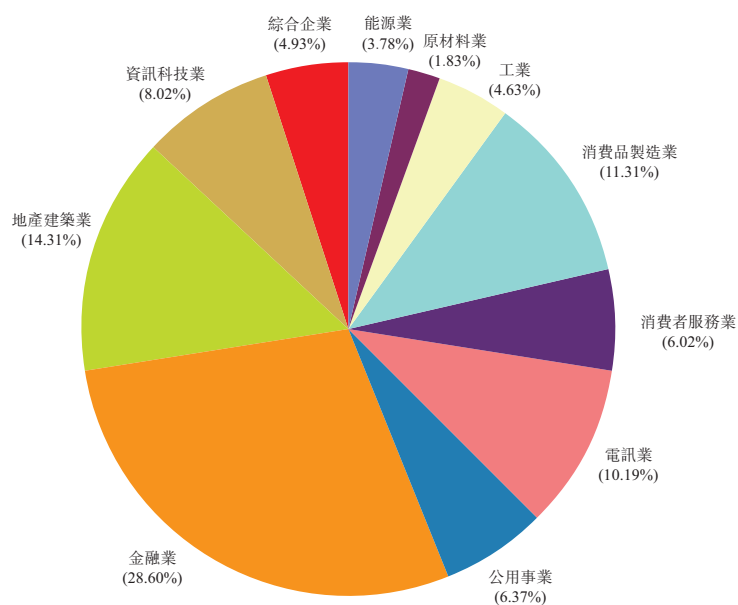
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2014	第 3 季	24,248,110.50
	第 4 季	24,892,419.04
2015	第 1 季	26,534,266.95
	第 2 季	28,754,383.61
	第 3 季	22,965,987.58

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2015年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,879,607.87	8.18
2	00939	中國建設銀行股份有限公司－H股	1,238,149.20	5.39
3	00700	騰訊控股有限公司	1,215,539.27	5.29
4	00005	匯豐控股有限公司	1,127,813.07	4.91
5	01299	友邦保險控股有限公司	482,511.04	2.10
6	00267	中國中信股份有限公司	410,172.70	1.79
7	02378	英國保誠有限公司*	–	407,515.43 N.A.
8	01398	中國工商銀行股份有限公司－H股	387,101.44	1.69
9	00001	長江和記實業有限公司	386,739.79	1.68
10	00883	中國海洋石油有限公司	354,054.33	1.54
11	00016	新鴻基地產發展有限公司	289,108.90	1.26
12	02318	中國平安保險(集團)股份有限公司－H股	285,614.57	1.24
13	03988	中國銀行股份有限公司－H股	278,462.18	1.21
14	00011	恒生銀行有限公司	266,128.51	1.16
15	02388	中銀香港(控股)有限公司	240,530.75	1.05
16	00762	中國聯合網絡通信(香港)股份有限公司	234,920.87	1.02
17	00945	Manulife Financial Corporation *	–	233,199.61 N.A.
18	00688	中國海外發展有限公司	230,737.60	1.00
19	01113	長江實業地產有限公司	217,492.88	0.95
20	00388	香港交易及結算所有限公司	213,669.22	0.93
21	02628	中國人壽保險股份有限公司－H股	199,423.49	0.87
22	00066	香港鐵路有限公司	196,526.59	0.86
23	02888	渣打集團有限公司	189,619.70	0.83
24	03328	交通銀行股份有限公司－H股	188,013.70	0.82
25	01928	金沙中國有限公司	188,010.89	0.82
26	01038	長江基建集團有限公司	174,483.06	0.76
27	00003	香港中華煤氣有限公司	167,879.33	0.73
28	00002	中電控股有限公司	167,124.71	0.73
29	00566	漢能薄膜發電集團有限公司	163,231.16	0.71
30	00006	電能實業有限公司	156,014.53	0.68
31	00012	恒基兆業地產有限公司	152,368.55	0.66
32	00805	Glencore plc *	–	132,501.42 N.A.
33	00004	九龍倉集團有限公司	131,849.69	0.57
34	00019	太古股份有限公司	125,998.87	0.55
35	01109	華潤置地有限公司	125,865.86	0.55
36	01972	太古地產有限公司	125,190.00	0.55
37	00386	中國石油化工股份有限公司－H股	120,168.30	0.52
38	00530	高銀金融(集團)有限公司	115,280.25	0.50
39	00857	中國石油天然氣股份有限公司－H股	113,301.09	0.49
40	00656	復星國際有限公司	102,977.89	0.45
41	01044	恒安國際集團有限公司	92,256.15	0.40
42	01288	中國農業銀行股份有限公司－H股	90,064.75	0.39
43	00966	中國太平保險控股有限公司	86,436.15	0.38
44	03968	招商銀行股份有限公司－H股	85,849.85	0.37
45	00836	華潤電力控股有限公司	84,858.09	0.37
46	00027	銀河娛樂集團有限公司	83,886.11	0.37
47	00151	中國旺旺控股有限公司	83,240.78	0.36
48	02601	中國太平洋保險(集團)股份有限公司－H股	79,512.35	0.35
49	00101	恒隆地產有限公司	78,066.46	0.34
50	01913	PRADA S.p.A.	75,997.07	0.33
總值			13,481,849.60	58.70
市場總值			22,965,987.58	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2014	第3季	464,325.10	4,535,766.69	10.24
	第4季	537,312.82	5,005,118.22	10.74
2015	第1季	502,684.62	5,272,006.50	9.53
	第2季	812,595.30	9,894,068.82	8.21
	第3季	673,434.03	6,395,260.35	10.53

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2014	第3季	45,864.62	9,468,544.88	0.48
	第4季	50,290.13	9,599,469.09	0.52
2015	第1季	42,342.54	11,464,887.20	0.37
	第2季	66,878.15	14,614,838.29	0.46
	第3季	63,796.36	14,314,202.23	0.45

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2014	第3季	27	2	48,806.13
	第4季	27	1	100,054.42
2015	第1季	16	3	19,653.73
	第2季	16	2	108,838.86
	第3季	19	7	26,086.55

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2015年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
03882	天彩控股有限公司	2015/07/02	800,319,000	677.08
02286	辰興發展控股有限公司	2015/07/03	500,000,000	300.00
03839	正大企業國際有限公司	2015/07/03	240,718,372	—
02193	萬景控股有限公司	2015/07/03	415,000,000	92.00
01986	彩客化學集團有限公司	2015/07/03	501,125,000	505.76
06878	鼎豐集團控股有限公司*	2015/07/06	1,000,000,000	—
01456	國聯證券股份有限公司—H股	2015/07/06	442,640,000	3,541.12
02699	新明中國控股有限公司	2015/07/06	1,880,000,000	672.10
01509	和美醫療控股有限公司	2015/07/07	770,324,085	1,614.98
00799	IGG Inc *	2015/07/07	1,394,753,599	—
01461	魯證期貨股份有限公司—H股	2015/07/07	277,090,000	919.94
06836	天韻國際控股有限公司	2015/07/07	1,000,000,000	320.00
01498	培力控股有限公司	2015/07/08	225,000,000	425.36
02666	環球醫療金融與技術諮詢服務有限公司	2015/07/08	1,716,304,580	3,654.32
03737	中智藥業控股有限公司	2015/07/13	800,000,000	492.00
06896	金嗓子控股集團有限公司	2015/07/15	749,183,000	940.30
01486	思城控股有限公司*	2015/08/03	180,000,000	—
03966	馬仕達國際控股有限公司*	2015/08/05	480,000,000	—
03969	中國鐵路通信信號股份有限公司—H股	2015/08/07	1,968,801,000	11,275.86
01718	宏基集團控股有限公司	2015/08/11	800,000,000	170.00
01483	譽宴集團控股有限公司*	2015/08/31	465,000,000	—
01499	前進控股集團有限公司	2015/09/02	2,288,000,000	143.00
00863	品牌中國集團有限公司*	2015/09/08	246,810,194	—
01683	良斯集團控股有限公司	2015/09/08	500,000,000	125.00
00974	中國順客隆控股有限公司	2015/09/10	290,457,000	217.73
02086	龍傑智能卡控股有限公司*	2015/09/29	284,057,682	—
總額				26,086.55

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2015 年 第 3 季

股 份 代 號	公 司 名 稱	最 後 買 賣 日 期	除 牌 日 期
06883	新濠博亞娛樂有限公司	2015/06/29	2015/07/06

主 板 股 份

公司名稱更改

2015 年第 3 季

股份代號	原有名稱	新名稱	生效日期	採用日期
00648	中國仁濟醫療集團有限公司	中國華仁醫療有限公司	2015/06/25	2015/07/03
00413	南華(中國)有限公司	南華集團控股有限公司	2015/06/09	2015/07/08
00589	寶姿時裝有限公司	寶國國際控股有限公司	2015/06/04	2015/07/15
01332	確利達國際控股有限公司	中國光電控股集團有限公司	2015/06/19	2015/07/16
00885	福方集團有限公司	仁天科技控股有限公司	2015/07/14	2015/07/20
00279	民豐企業控股有限公司#	民眾金服控股有限公司	2015/06/24	2015/07/20
00875	從玉農業控股有限公司	中國金控投資集團有限公司	2015/07/06	2015/07/22
00065	弘海有限公司	弘海高新資源有限公司	2015/06/16	2015/07/22
02211	金天醫藥集團股份有限公司	大健康國際集團控股有限公司	2015/06/18	2015/07/24
00428	亨亞有限公司	匯嘉中國控股有限公司	2015/06/29	2015/07/29
00273	威利國際控股有限公司	民信金控有限公司	2015/07/09	2015/07/29
01201	僑威集團有限公司	天臣控股有限公司	2015/06/30	2015/07/30
00915	林麥集團有限公司	道和環球集團有限公司	2015/06/23	2015/07/30
02389	正峰集團有限公司	北控醫療健康產業集團有限公司	2015/07/09	2015/08/03
02255	海昌控股有限公司	海昌海洋公園控股有限公司	2015/06/30	2015/08/03
01250	金彩控股有限公司	北控清潔能源集團有限公司	2015/06/26	2015/08/03
00472	金六福投資有限公司	新絲路文旅有限公司	2015/07/10	2015/08/03
00109	金威資源控股有限公司	天成國際集團控股有限公司	2015/06/29	2015/08/04
00334	唯冠國際控股有限公司	TCL 顯示科技控股有限公司	2015/06/25	2015/08/05
01027	集成傘業控股有限公司	中國集成控股有限公司	2015/06/29	2015/08/11
01280	匯銀家電(控股)有限公司	匯銀智慧社區有限公司	2015/07/16	2015/08/14
00611	中國核工業二三國際有限公司	中國核能科技集團有限公司	2015/07/14	2015/08/17
01322	CW Group Holdings Ltd. #	創達科技控股有限公司	2015/06/29	2015/08/19
00397	君陽太陽能電力投資有限公司	君陽金融控股有限公司	2015/08/04	2015/09/08
01269	中國車輛零部件科技控股有限公司	中國首控集團有限公司	2015/08/17	2015/09/14
00817	方興地產(中國)有限公司	中國金茂控股集團有限公司	2015/09/09	2015/09/16
00185	恒輝企業控股有限公司	正恒國際控股有限公司	2015/09/07	2015/09/16
01141	保興資本控股有限公司	天順證券集團有限公司	2015/08/13	2015/09/17
01365	中國潤東汽車集團有限公司	中國綠地潤東汽車集團有限公司	2015/08/14	2015/09/23
02080	Magnum Entertainment Group Holdings Ltd.	奧克斯國際控股有限公司	2015/08/27	2015/09/30

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2015年第3季

股份代號	公司	詳情	除淨日
03777	中國光纖網絡系統集團有限公司	10送2	2015/07/02
01513	麗珠醫藥集團股份有限公司－H股	10送3	2015/07/02
00239	白花油國際有限公司	5送1	2015/07/02
01103	上海棟華石油化工股份有限公司－H股	10送5	2015/07/02
01082	香港教育(國際)投資集團有限公司	每供2股送1股股份	2015/07/07
00885	仁天科技控股有限公司	1送9	2015/07/08
02341	中怡國際集團有限公司	10送1	2015/07/13
00738	萊爾斯丹控股有限公司	10送1	2015/07/16
02338	濰柴動力股份有限公司－H股	10送10	2015/07/16
01271	佳明集團控股有限公司	10送1	2015/07/27
02318	中國平安保險(集團)股份有限公司－H股	10送10	2015/07/27
01468	英裘(控股)有限公司	5送1	2015/07/29
01319	靄華押業信貸控股有限公司	1送3	2015/08/04
02228	中國節能海東青新材料集團有限公司	1送2	2015/08/11
01246	毅信控股有限公司	1送4	2015/08/21
01222	宏安集團有限公司	1送2	2015/08/25
00248	香港通訊國際控股有限公司	4送1	2015/08/27
00213	樂聲電子有限公司	10送1	2015/08/27
00896	興勝創建控股有限公司	4送1	2015/08/28
02333	長城汽車股份有限公司－H股	10送20	2015/09/30

主 板 股 份

股份拆細及合併

2015年第3季

股份代號	公司	詳情	生效日期
01699	中國普甜食品控股有限公司	1股分拆為2股	2015/07/02
02728	裕華能源控股有限公司	1股分拆為2股	2015/07/08
01069	中國農林低碳控股有限公司	1股分拆為5股	2015/07/09
00802	宏霸數碼集團(控股)有限公司	4股合併為1股	2015/07/20
01363	中滔環保集團有限公司	1股分拆為4股	2015/07/22
01326	天馬影視文化控股有限公司	1股分拆為4股	2015/07/22
00745	中國國家文化產業集團有限公司	2股合併為1股	2015/07/24
00413	南華集團控股有限公司	1股分拆為2股	2015/07/24
00931	中國天然氣集團有限公司	1股分拆為5股	2015/08/10
00854	威雅利電子(集團)有限公司	5股合併為1股	2015/08/17
03823	德普科技發展有限公司	1股分拆為4股	2015/08/18
01253	博大綠澤國際有限公司	1股分拆為4股	2015/08/19
00708	恒大健康產業集團有限公司	1股分拆為10股	2015/08/25
00378	事安集團有限公司	1股分拆為5股	2015/09/14
00299	中訊軟件集團股份有限公司	1股分拆為10股	2015/09/16
01360	Mega Expo Holdings Ltd.	1股分拆為5股	2015/09/22
01335	順泰控股集團有限公司	1股分拆為4股	2015/09/25

主 板 股 份

供股／公開售股

2015 年第 3 季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
7 月	01104	亞太資源有限公司	公開售股 2 供 1，每股供 0.10 港元	306.39
	00254	國家聯合資源控股有限公司	公開售股 2 供 1，每股供 0.26 港元	484.03
	01136	台泥國際集團有限公司	股權 2 供 1，每股供 2.20 港元	3,625.21
	02324	首都創投有限公司	公開售股 1 供 7，每股供 0.25 港元	342.35
			(5 股合併為 1 股後)	
	00275	錦興集團有限公司	股權 1 供 6，每股供 0.16 港元	770.55
			(2 股合併為 1 股後)	
	00582	藍鼎國際發展有限公司	股權 1 供 10，每股供 0.35 港元	6,543.69
			(10 股合併為 1 股後)	
	00305	五菱汽車集團控股有限公司	公開售股 5 供 1，每股供 0.70 港元	212.52
	01036	萬科置業(海外)有限公司	股權 2 供 1，每股供 8.04 港元	1,043.93
	00769	中國稀土控股有限公司	股權 5 供 2，每股供 0.60 港元	401.43
	01082	香港教育(國際)投資集團有限公司	股權 1 供 4，每股供 0.295 港元	384.61
			另每供 2 股送 1 股股份	
	01029	鐵江現貨有限公司	公開售股 15 供 4，每股供 0.315 港元	408.23
8 月	00313	裕田中國發展有限公司	公開售股 3 供 1，每股供 0.083 港元	246.65
	01046	寰宇國際控股有限公司	股權 1 供 2，每股供 0.202 港元	120.55
	00181	閩港控股有限公司	公開售股 2 供 1，每股供 0.40 港元	106.85
	00235	中策集團有限公司	股權 2 供 1，每股供 0.15 港元	499.39
	00764	永恒策略投資有限公司	股權 1 供 1，每股供 0.70 港元	413.00
	00802	宏霸數碼集團(控股)有限公司	公開售股 1 供 5，每股供 0.25 港元	313.28
			(4 股合併為 1 股後)	
	00905	慧德投資有限公司	公開售股 1 供 2，每股供 0.30 港元	280.56
	00745	中國國家文化產業集團有限公司	公開售股 1 供 1，每股供 0.10 港元	490.72
			(2 股合併為 1 股後)	
	01130	中國環境資源集團有限公司	公開售股 2 供 1，每股供 0.29 港元	136.71
	00456	新城市建設發展集團有限公司	公開售股 4 供 1，每股供 0.28 港元	178.14
9 月	00280	景福集團有限公司	股權 5 供 2，每股供 0.60 港元	156.63
	01049	時富投資集團有限公司	股權 2 供 1，每股供 0.40 港元	110.83
	02327	宇業集團控股有限公司	公開售股 2 供 1，每股供 0.26 港元	213.20
	00221	PNG 資源控股有限公司	股權 2 供 5，每股供 0.105 港元	348.32
	01111	創興銀行有限公司	股權 2 供 1，每股供 17.05 港元	3,708.38
	01303	滙力資源(集團)有限公司	公開售股 2 供 1，每股供 0.50 港元	250.00
總額				22,096.15

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2015					
7月	00800	A8新媒體	120,000,000	0.5700	68.40
	00630	雋泰控股	61,200,000	0.3280	20.07
	04605	BOCOM 15USDPREF	122,500,000	156.0000	19,110.00
	00718	百威國際	205,000,000	0.8800	180.40
	01008	貴聯控股	79,416,000	1.7000	135.01
	00048	中國汽車內飾	276,480,000	0.3450	95.39
	00149	中國農產品交易	387,000,000	0.2450	94.82
	00039	中國北大荒	39,800,000	2.4300	96.71
	00572	中國富佑集團	656,000,000	0.2600	170.56
	01041	中國新能源動力	390,600,000	0.2010	78.51
	00471	中播控股	730,615,382	0.2600	189.96
	00080	中國新經濟投資	103,020,000	0.3850	39.66
	00707	協盛協豐	338,840,000	0.4500	152.48
	01678	中國創意家居	180,000,000	1.2800	230.40
	01090	大明國際	103,750,000	3.6900	382.84
	00547	數字王國	210,000,000	0.4710	98.91
	06128	泛亞國際	20,000,000	1.0500	21.00
	00717	英皇證券	500,000,000	0.8800	440.00
	03360	遠東宏信	658,000,000	6.9000	4,540.20
	00801	金衛醫療	140,000,000	1.0000	140.00
	00474	昊天發展集團	240,000,000	0.9000	216.00
	00145	香港建屋貸款	50,000,000	0.8900	44.50
	01673	華章科技	33,000,000	3.6000	118.80
	01280	匯銀智慧社區	35,000,000	1.7700	61.95
	00397	君陽金融	889,300,000	0.1580	140.51
	00391	美亞娛樂資訊	280,000,000	1.0000	280.00
	01107	當代置業	320,000,000	1.0500	336.00
	00472	新絲路文旅	600,000,000	0.6600	396.00
	00055	中星集團控股	352,280,000	0.2400	84.55
	00166	新時代能源	415,000,000	0.2680	111.22
	00061	北亞資源	1,762,300,000	0.1450	255.53
	01011	泰凌醫藥	259,650,000	1.8600	482.95
	01968	匹克體育	280,000,000	2.4800	694.40
	01348	滙達富控股	30,000,000	2.9500	88.50
	02010	瑞年國際	59,000,000	2.0000	118.00
	00527	瑞風新能源	249,880,000	0.8000	199.90
	03822	三和建築集團	20,000,000	3.0100	60.20
	00485	實華發展	486,760,000	0.1240	60.36
	00198	星美控股	600,000,000	0.7500	450.00
	01166	蒙古礦業	226,780,000	0.1700	38.55
	01908	西南環保	60,000,000	3.8600	231.60
	00188	新華匯富金融	290,000,000	0.3500	101.50
	00058	新威國際	290,960,000	0.3350	97.47
	00621	壇金礦業	1,424,640,000	0.1070	152.44
	01300	俊知集團	261,000,000	2.2700	592.47
	01046	寰宇國際	586,350,000	0.3411	200.00
	01178	天年生物	225,000,000	0.1500	33.75
	00505	興業銅業	109,914,000	0.8800	96.72

主 板 股 份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
8月	02393	巨星國際控股	307,700,000	3.0000	923.10
	02213	益華百貨	31,630,000	2.7200	86.03
	01096	動感集團	60,000,000	0.7000	42.00
	01375	中州證券	592,119,000	4.2800	2,534.27
	00986	中國環保能源	620,000,000	0.1340	83.08
	00875	中國金控	173,552,043	0.3780	65.60
	00139	中國金海國際	4,000,000,000	0.2500	1,000.00
	00235	中策集團	7,000,000,000	0.1500	1,050.00
	00378	事安集團	35,000,000	7.7300	270.55
	00479	華建控股	250,000,000	0.1000	25.00
	00267	中信股份	859,218,000	13.9500	11,986.09
	00705	鼎和礦業	612,000,000	0.1850	113.22
	01884	E P R I N T集團	50,000,000	1.1220	56.10
	01822	宜租互聯網租車	500,000,000	0.3000	150.00
	00094	綠森集團	160,000,000	0.9300	148.80
	01365	綠地潤東	251,942,800	2.8900	728.11
	00145	香港建屋貸款	24,000,000	0.8900	21.36
	01280	匯銀智慧社區	163,850,000	1.0000	163.85
	01076	博華太平洋	3,466,000,000	0.2250	779.85
	01366	江南集團	370,806,000	1.9500	723.07
	00663	金山能源	668,000,000	0.2750	183.70
	01237	美麗家園	272,600,000	0.5500	149.93
	00276	蒙古能源	29,648,181	0.3400	10.08
	01140	東英金融投資	900,000,000	1.5000	1,350.00
	00810	華保亞洲	52,662,000	0.5100	26.86
	00886	銀基集團	166,000,000	1.3100	217.46
	00993	天行國際	1,702,435,038	0.2750	468.17
	01106	中國海景	59,830,000	1.5000	89.75
	02012	陽光油砂	111,214,210	0.7500	83.41
	00455	天大藥業	280,517,724	0.3900	109.40
	01327	時間由你	160,000,000	0.4000	64.00
	01468	英菱控股	405,000,000	0.2010	81.41
	01178	天年生物	108,680,000	0.1500	16.30
	01400	宏太控股	240,000,000	1.5000	360.00
9月	01282	世達科技	18,611,994,100	0.1800	3,350.16
	02213	益華百貨	6,380,000	2.7200	17.35
	02098	卓爾發展	53,448,000	2.9000	155.00
	01220	志道國際	330,000,000	0.9850	325.05
	01003	21控股	1,731,416,556	0.4000	692.57
	00030	佳訊控股	214,138,000	0.1890	40.47
	03344	互益集團	90,000,000	1.0500	94.50
	00959	奧瑪仕國際	25,000,000	0.6400	16.00
	00789	雅天妮	95,200,000	0.1050	10.00
	00039	中國北大荒	150,000,000	1.3365	200.48
	00670	中國東方航空股份	465,910,000	7.4900	3,489.67
	00612	中國投資基金公司	183,000,000	0.4000	73.20
	01019	康宏金融	491,700,000	0.3500	172.10
	00117	宇陽控股	81,100,000	1.1500	93.27
	00231	盛明國際控股	613,400,000	0.1630	99.98
	06108	新銳醫藥	241,000,000	0.2850	68.69
	00433	北方礦業	2,900,000,000	0.0800	232.00
	00850	中亞能源	100,000,000	0.1380	13.80

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	00981	中 芯 國 際	323,518,848	0.6593	213.30
	02012	陽 光 油 砂	100,000,000	0.5000	50.00
	00539	冠 華 國 際 控 股	186,460,000	1.0000	186.46
	00475	中 發 展 控 股	9,000,000	2.1000	18.90
總 額					65,802.67

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2014	第3季	1,251.17	626,632.51	627,883.68
	第4季	1,124.93	601,944.06	603,068.99
2015	第1季	1,242.98	897,585.47	898,828.45
	第2季	1,727.22	1,721,585.57	1,723,312.78
	第3季	723.63	1,090,657.45	1,091,381.08

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2014	第3季	7	3,338.72	4,794	76,683.23	4,801	80,021.95
	第4季	10	3,031.11	4,938	146,741.31	4,948	149,772.41
2015	第1季	12	2,484.83	4,806	148,902.89	4,818	151,387.72
	第2季	14	4,383.11	5,680	197,036.57	5,694	201,419.68
	第3季	13	2,472.60	5,633	84,057.90	5,646	86,530.49

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2014	第3季	2	1,951	44,515.12
	第4季	3	1,986	48,705.91
2015	第1季	2	1,498	40,081.11
	第2季	2	2,124	68,662.15
	第3季	1	1,552	46,018.14

新上市股份認股權證

2015年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01437	美麗華酒店企業有限公司2018年認股權證	1,558,524,375	2015/07/21	2018/01/19	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2015 年第 3 季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23242	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Mar 2016 A	100,000,000	2015/07/02	2016/03/02	25.00
23245	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Dec 2015 A	100,000,000	2015/07/02	2015/12/02	15.00
23243	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jan 2016 D	100,000,000	2015/07/02	2016/01/05	33.00
23262	Goldman Sachs SP (Asia) - China Cinda Euro Wts Apr 2017 A	300,000,000	2015/07/02	2017/04/28	45.00
23237	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jan 2016 B	80,000,000	2015/07/02	2016/01/04	36.48
23233	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jul 2017 A	500,000,000	2015/07/02	2017/07/18	75.00
23259	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jan 2016 A	50,000,000	2015/07/02	2016/01/04	58.90
23260	Goldman Sachs SP (Asia) - Guotai Junani European Wts Mar16A	150,000,000	2015/07/02	2016/03/30	37.50
23238	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2016 B	150,000,000	2015/07/02	2016/01/04	37.50
23231	Macquarie Bank Ltd. - Ali Pictures European Warrants Dec17 A	40,000,000	2015/07/02	2017/12/04	10.00
23258	Macquarie Bank Ltd. - CITIC Bank European Wts Jan 2016 B	17,000,000	2015/07/02	2016/01/05	10.01
23240	Macquarie Bank Ltd. - China Life European Wts Jan 2016 D	50,000,000	2015/07/02	2016/01/05	10.00
23227	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Jan 2016 A	40,000,000	2015/07/02	2016/01/04	10.00
23251	Macquarie Bank Ltd. - Sands China European Put Wts Mar 2016 A	40,000,000	2015/07/02	2016/03/02	10.00
23239	Macquarie Bank Ltd. - Tencent European Warrants Jan 2016 C	18,000,000	2015/07/02	2016/01/05	10.08
23228	Macquarie Bank Ltd. - Xinyi Glass European Wts Jun 2016 A	20,000,000	2015/07/02	2016/06/02	10.02
23250	Standard Chartered Bank - China Mobile Euro Wts Dec 2015 A	80,000,000	2015/07/02	2015/12/24	48.00
23246	Standard Chartered Bank - A50 China Euro Warrants Jun 2016 A	100,000,000	2015/07/02	2016/06/03	15.00
23247	Standard Chartered Bank - HS H-SHARE European Wts Dec 2015 B	50,000,000	2015/07/02	2015/12/24	31.50
23249	Standard Chartered Bank - PetroChina European Wts Dec 2015 A	60,000,000	2015/07/02	2015/12/29	25.80
23254	SGA Societe Generale Acceptance N.V. - Stanchart Eu Wt Mar16 A	6,000,000	2015/07/02	2016/03/03	10.02
23257	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jan 16 C	50,000,000	2015/07/02	2016/01/06	61.50
19052 #	Credit Suisse AG - A50 China European Warrants Nov 2015 B	150,000,000	2015/07/02	2015/11/30	15.45
21402 #	Credit Suisse AG - Ping An European Warrants Jun 2016 A	150,000,000	2015/07/02	2016/06/30	18.30
23264	BOCI Asia Ltd. - Galaxy Ent European Warrants Dec 2015 A	100,000,000	2015/07/03	2015/12/24	34.00
23265	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Dec 2015 B	100,000,000	2015/07/03	2015/12/14	29.00
23266	BOCI Asia Ltd. - Tencent Holdings European Wts Mar 2016 A	100,000,000	2015/07/03	2016/03/21	18.00
23275	BNP Paribas Arbit Issu B.V. - CITIC Euro Warrants Feb 2016 A	100,000,000	2015/07/03	2016/02/02	25.00
23273	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Jan 2016 B	50,000,000	2015/07/03	2016/01/05	16.50
23274	BNP Paribas Arbit Issu B.V. - ICBC European Wts Feb 2016 A	80,000,000	2015/07/03	2016/02/02	27.20
23272	BNP Paribas Arbit Issu B.V. - Ping An European Wt Aug 2016 A	150,000,000	2015/07/03	2016/08/02	28.50
23276	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jan 2016 A	60,000,000	2015/07/03	2016/01/05	15.00
23267	Credit Suisse AG - AIA European Put Warrants Jan 2016 A	50,000,000	2015/07/03	2016/01/04	12.50
23268	HK Bank - Foxconn European Warrants Jan 2016 A	50,000,000	2015/07/03	2016/01/04	12.50
23269	HK Bank - SMIC European Warrants Jun 2016 A	80,000,000	2015/07/03	2016/06/30	20.00
23271	HK Bank - Tencent European Warrants Nov 2015 A	50,000,000	2015/07/03	2015/11/30	45.00
23298	J P Morgan SP BV - China Shenhua European Wts Apr 2016 A	100,000,000	2015/07/03	2016/04/29	25.20
23263	Macquarie Bank Ltd. - CGS European Warrants Jul 2016 A	50,000,000	2015/07/03	2016/07/05	12.50
23278	Macquarie Bank Ltd. - CITIC Sec European Warrants Mar 2016 A	20,000,000	2015/07/03	2016/03/02	10.00
23279	Macquarie Bank Ltd. - Tencent European Put Wts Jan 2016 D	15,000,000	2015/07/03	2016/01/05	10.01
23277	Standard Chartered Bank - CGN Power European Warrants Dec15A	50,000,000	2015/07/03	2015/12/28	20.00
23285	UBS AG - Agricultural Bank European Warrants Jan 2016 A	50,000,000	2015/07/03	2016/01/07	11.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23290	UBS AG - BankComm European Warrants Dec 2015 A	40,000,000	2015/07/03	2015/12/22	27.20
23283	UBS AG - CITIC Bank European Warrants Dec 2015 B	40,000,000	2015/07/03	2015/12/11	23.60
23293	UBS AG - CITIC Sec European Warrants Nov 2015 B	70,000,000	2015/07/03	2015/11/30	32.90
23292	UBS AG - CM Bank European Warrants Dec 2015 A	100,000,000	2015/07/03	2015/12/23	23.00
23289	UBS AG - CSOP A50 ETF European Warrants Jan 2016 A	80,000,000	2015/07/03	2016/01/11	138.40
23288	UBS AG - Guotai Junani European Warrants Jan 2016 A	20,000,000	2015/07/03	2016/01/11	31.00
23294	UBS AG - Haitong Sec European Warrants Dec 2015 B	70,000,000	2015/07/03	2015/12/28	29.40
23281	UBS AG - Tencent Holdings European Warrants Mar 2016 C	500,000,000	2015/07/03	2016/03/29	85.00
23325	Credit Suisse AG - Ch Overseas European Warrants Dec 2015 B	70,000,000	2015/07/06	2015/12/30	16.80
23326	Credit Suisse AG - China Vanke European Warrants Jan 2016 A	70,000,000	2015/07/06	2016/01/05	18.90
23328	Credit Suisse AG - Wanda Comm European Warrants Jan 2016 A	70,000,000	2015/07/06	2016/01/05	61.60
23347	Bank of East Asia - Haitong Sec European Warrants Feb 2016 A	40,000,000	2015/07/06	2016/02/02	10.00
23336	J P Morgan SP BV - Anhui Conch European Warrants Feb 2016 A	80,000,000	2015/07/06	2016/02/02	20.00
23343	J P Morgan SP BV - CC Bank European Wts Nov 2015 C	100,000,000	2015/07/06	2015/11/30	34.50
23338	J P Morgan SP BV - CGN Power European Warrants Dec 2016 A	100,000,000	2015/07/06	2016/12/30	15.00
23341	J P Morgan SP BV - CPIC European Warrants Feb 2016 A	100,000,000	2015/07/06	2016/02/02	37.50
23330	J P Morgan SP BV - CSOP A50 ETF European Warrants Jan 2016 A	100,000,000	2015/07/06	2016/01/05	83.90
23331	J P Morgan SP BV - HS H-SHARE European Warrants Mar 2017 A	200,000,000	2015/07/06	2017/03/30	30.20
23329	J P Morgan SP BV - HSI European Warrants Feb 2016 A	300,000,000	2015/07/06	2016/02/26	75.30
23340	J P Morgan SP BV - China Vanke European Warrants Mar 2016 A	80,000,000	2015/07/06	2016/03/01	20.32
23305	Macquarie Bank Ltd. - CAM CSI300 European Wts Feb 2016 A	25,000,000	2015/07/06	2016/02/02	10.00
23299	Macquarie Bank Ltd. - China Shenhua European Wts Feb 2016 A	40,000,000	2015/07/06	2016/02/02	10.00
23302	Macquarie Bank Ltd. - China Tel European Warrants Mar 2016 A	40,000,000	2015/07/06	2016/03/02	10.04
23300	Macquarie Bank Ltd. - Stanchart European Warrants Mar 2016 A	16,000,000	2015/07/06	2016/03/02	10.03
23308	Standard Chartered Bank - Anhui Conch Euro Wts Jan 2016 A	80,000,000	2015/07/06	2016/01/26	12.00
23344	Standard Chartered Bank - CAM CSI300 European Wts Feb 2016 A	100,000,000	2015/07/06	2016/02/15	42.00
23307	Standard Chartered Bank - CITIC Bank European Wts Jan 2016 A	80,000,000	2015/07/06	2016/01/08	20.00
23323	UBS AG - Anhui Conch European Warrants Jan 2016 A	70,000,000	2015/07/06	2016/01/11	17.50
23322	UBS AG - CAM CSI300 European Warrants Jan 2016 A	40,000,000	2015/07/06	2016/01/11	24.80
23319	UBS AG - A50 China European Warrants Mar 2016 C	300,000,000	2015/07/06	2016/03/24	57.00
23320	UBS AG - HSBC European Warrants Jan 2016 B	100,000,000	2015/07/06	2016/01/11	27.00
23317	UBS AG - HSI European Warrants Jan 2016 C	300,000,000	2015/07/06	2016/01/28	45.00
23316	UBS AG - HSI European Put Warrants Jan 2016 B	300,000,000	2015/07/06	2016/01/28	75.00
23324	UBS AG - Ping An European Warrants Dec 2015 C	100,000,000	2015/07/06	2015/12/24	102.00
23311	UBS AG - China Vanke European Warrants Jan 2016 A	100,000,000	2015/07/06	2016/01/11	35.50
13889 #	Credit Suisse AG - HSBC European Wts Mar 2016	500,000,000	2015/07/06	2016/03/30	12.00
19049 #	Credit Suisse AG - CSOP A50 ETF European Warrants Oct 2015 A	150,000,000	2015/07/06	2015/10/30	16.20
23405	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Feb 2016 A	40,000,000	2015/07/07	2016/02/02	10.80
23400	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2016 A	50,000,000	2015/07/07	2016/02/02	27.50
23396	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jan 2016 A	40,000,000	2015/07/07	2016/01/05	19.60
23451	BNP Paribas Arbit Issu B.V. - CRRRC European Wts Feb 2016 A	50,000,000	2015/07/07	2016/02/02	17.00
23395	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Feb 2016 A	100,000,000	2015/07/07	2016/02/02	25.00
23465	Credit Suisse AG - CAM CSI300 European Warrants Jan 2016 A	70,000,000	2015/07/07	2016/01/11	39.20
23373	Credit Suisse AG - China Cinda European Warrants Jan 2016 A	70,000,000	2015/07/07	2016/01/11	21.70

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23457	Credit Suisse AG - CITIC European Warrants Jan 2016 B	70,000,000	2015/07/07	2016/01/29	12.60
23366	Credit Suisse AG - China Mobile European Warrants Jan 2016 A	80,000,000	2015/07/07	2016/01/11	57.60
23369	Credit Suisse AG - China Railway European Warrants Sep 2016A	70,000,000	2015/07/07	2016/09/30	17.50
23459	Credit Suisse AG - CRCC European Warrants Feb 2016 A	70,000,000	2015/07/07	2016/02/29	14.00
23361	Credit Suisse AG - CRRC European Warrants Jan 2016 A	70,000,000	2015/07/07	2016/01/11	17.50
23456	Credit Suisse AG - China Shenhua European Wts May 2016 A	70,000,000	2015/07/07	2016/05/30	12.60
23362	Credit Suisse AG - Fosun Intl European Warrants Jan 2016 A	70,000,000	2015/07/07	2016/01/11	17.50
23363	Credit Suisse AG - Galaxy Ent European Warrants Jan 2016 A	80,000,000	2015/07/07	2016/01/11	30.40
23372	Credit Suisse AG - HKEx European Warrants Dec 2015 H	150,000,000	2015/07/07	2015/12/30	52.50
23365	Credit Suisse AG - HSBC European Wts Jan 2016 B	80,000,000	2015/07/07	2016/01/11	36.00
23466	Credit Suisse AG - HSCEI European Warrants Nov 2015 C	128,000,000	2015/07/07	2015/11/27	19.20
23471	Credit Suisse AG - HSCEI European Put Warrants Dec 2015 E	128,000,000	2015/07/07	2015/12/30	20.48
23367	Credit Suisse AG - Haitong Sec European Warrants Jan 2016 A	70,000,000	2015/07/07	2016/01/11	24.50
23352	Credit Suisse AG - Ping An European Warrants Aug 2016 B	150,000,000	2015/07/07	2016/08/01	22.50
23371	Credit Suisse AG - Tencent Holdings European Wts Nov 2015 C	80,000,000	2015/07/07	2015/11/30	88.00
23370	Credit Suisse AG - Tencent Holdings European Wts Feb 2016 D	150,000,000	2015/07/07	2016/02/29	25.50
23454	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Jan 2016 A	60,000,000	2015/07/07	2016/01/08	27.30
23435	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2016 A	100,000,000	2015/07/07	2016/01/08	52.40
23437	Goldman Sachs SP (Asia) - China Life Euro Wts Nov 2015 B	100,000,000	2015/07/07	2015/11/25	30.30
23441	Goldman Sachs SP (Asia) - Fosun Intl European Wts Feb 2016 A	50,000,000	2015/07/07	2016/02/02	17.40
23411	Goldman Sachs SP (Asia) - HSCEI European Warrants Nov 2015 C	200,000,000	2015/07/07	2015/11/27	30.80
23412	Goldman Sachs SP (Asia) - HSCEI European Put Wts Dec 2015 G	200,000,000	2015/07/07	2015/12/30	30.80
23410	Goldman Sachs SP (Asia) - HSI European Warrants Nov 2015 E	200,000,000	2015/07/07	2015/11/27	47.20
23438	Goldman Sachs Sp (Asia) - ICBC European Warrants Jan 2016 A	80,000,000	2015/07/07	2016/01/07	31.04
23349	Macquarie Bank Ltd. - CRRC European Warrants Mar 2017 A	20,000,000	2015/07/07	2017/03/02	10.00
23348	Macquarie Bank Ltd. - Tencent European Warrants Jul 2016 B	60,000,000	2015/07/07	2016/07/05	12.24
23356	Standard Chartered Bank - CRRC European Warrants Jan 2016 A	100,000,000	2015/07/07	2016/01/18	25.00
23360	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Jan16B	50,000,000	2015/07/07	2016/01/06	23.25
23359	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Feb16A	50,000,000	2015/07/07	2016/02/03	57.50
23358	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jan 16 C	60,000,000	2015/07/07	2016/01/06	60.00
23432	UBS AG - CC Bank European Warrants Jan 2016 A	100,000,000	2015/07/07	2016/01/18	36.00
23429	UBS AG - CSOP A50 ETF European Warrants Oct 2016 A	300,000,000	2015/07/07	2016/10/31	87.00
23427	UBS AG - HSCEI European Warrants Nov 2015 C	100,000,000	2015/07/07	2015/11/27	15.00
23424	UBS AG - HSCEI European Warrants Feb 2016 A	100,000,000	2015/07/07	2016/02/26	15.00
23428	UBS AG - HSCEI European Put Warrants Mar 2016 C	100,000,000	2015/07/07	2016/03/30	25.50
23415	UBS AG - HSI European Warrants Nov 2015 G	300,000,000	2015/07/07	2015/11/27	68.10
23416	UBS AG - HSI European Warrants Dec 2015 J	300,000,000	2015/07/07	2015/12/30	45.00
23417	UBS AG - HSI European Put Warrants Jan 2016 D	300,000,000	2015/07/07	2016/01/28	75.00
23423	UBS AG - HSI European Put Warrants Jan 2016 E	300,000,000	2015/07/07	2016/01/28	75.00
23430	UBS AG - ICBC European Warrants Dec 2015 C	100,000,000	2015/07/07	2015/12/29	43.00
23431	UBS AG - ICBC European Warrants Jan 2016 A	100,000,000	2015/07/07	2016/01/06	55.00
23434	UBS AG - SPDR Gold Trust European Warrants Feb 2016 A	50,000,000	2015/07/07	2016/02/29	18.00
17477 #	Credit Suisse AG - CNOOC European Warrants Oct 2015 B	200,000,000	2015/07/07	2015/10/26	10.00
23504	Credit Suisse AG - CGS European Warrants Dec 2015 A	70,000,000	2015/07/08	2015/12/30	10.50

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		發行單位	上市日期	到期日	
23512	Credit Suisse AG - CITIC Bank European Warrants Dec 2015 A	70,000,000	2015/07/08	2015/12/11	25.20
23515	Credit Suisse AG - GCL-Poly Energy European Wts Jan 2016 A	70,000,000	2015/07/08	2016/01/11	21.00
23518	Credit Suisse AG - HS H-SHARE European Warrants Nov 2015 A	70,000,000	2015/07/08	2015/11/27	53.90
23516	Credit Suisse AG - Sands China European Warrants Jan 2016 A	70,000,000	2015/07/08	2016/01/11	17.50
23514	Credit Suisse AG - Shimao Property European Warrants Jan16 A	70,000,000	2015/07/08	2016/01/28	10.50
23500	Goldman Sachs SP (Asia) - CRRG European Warrants Jan 2016 A	80,000,000	2015/07/08	2016/01/11	20.40
23502	Goldman Sachs SP (Asia) - CRRG European Warrants Mar 2016 A	80,000,000	2015/07/08	2016/03/30	21.28
23505	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2016 B	200,000,000	2015/07/08	2016/01/29	92.00
23498	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Jan 2017 A	200,000,000	2015/07/08	2017/01/18	32.20
23499	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Mar 2017 A	200,000,000	2015/07/08	2017/03/30	30.00
23503	Goldman Sachs SP (Asia) - HSI European Put Wts Nov 2015 F	200,000,000	2015/07/08	2015/11/27	30.00
23497	HK Bank - CC Bank European Warrants Jan 2016 A	50,000,000	2015/07/08	2016/01/29	25.00
23490	HK Bank - China Life European Warrants Jan 2016 A	80,000,000	2015/07/08	2016/01/29	26.40
23492	HK Bank - Ping An European Warrants Jan 2016 A	80,000,000	2015/07/08	2016/01/29	80.00
23494	HK Bank - Tencent European Warrants Jan 2016 A	80,000,000	2015/07/08	2016/01/29	110.40
23473	Macquarie Bank Ltd. - BOCL European Warrants Feb 2016 B	22,000,000	2015/07/08	2016/02/02	10.03
23475	Macquarie Bank Ltd. - China Life European Wts Feb 2016 C	23,000,000	2015/07/08	2016/02/02	10.01
23507	SGA Societe Generale Acceptance N.V. - CRRG Euro Wts Feb16 A	60,000,000	2015/07/08	2016/02/03	15.00
23509	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Feb 2016 D	200,000,000	2015/07/08	2016/02/03	86.00
23510	UBS AG - CC Bank European Warrants Jan 2016 B	100,000,000	2015/07/08	2016/01/25	73.00
19095 #	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Apr 2016 A	100,000,000	2015/07/08	2016/04/25	14.20
23525	BNP Paribas Arbit Issu B.V. - Ch Comm Cons Eur Wt Mar 2016 A	100,000,000	2015/07/09	2016/03/02	21.00
23530	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Feb 2016 A	40,000,000	2015/07/09	2016/02/02	24.00
23529	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Feb 2016 A	50,000,000	2015/07/09	2016/02/02	18.50
23521	BNP Paribas Arbit Issu B.V. - Li & Fung Euro Wts Feb 2016 A	30,000,000	2015/07/09	2016/02/02	17.10
23528	BNP Paribas Arbit Issu B.V. - Sh Pharma Euro Wts Feb 2016 A	50,000,000	2015/07/09	2016/02/02	19.50
23536	Credit Suisse AG - CSOP A50 ETF European Warrants Feb 2016 A	150,000,000	2015/07/09	2016/02/26	28.50
23534	Credit Suisse AG - Galaxy Ent European Put Wts Dec 2015 D	60,000,000	2015/07/09	2015/12/01	15.00
23520	Credit Suisse AG - Sands China European Put Wts Jan 2016 B	60,000,000	2015/07/09	2016/01/11	23.40
23572	J P Morgan SP BV - CAM CSI300 European Warrants Mar 2016 A	100,000,000	2015/07/09	2016/03/01	37.50
23566	J P Morgan SP BV - CGN Power European Warrants Feb 2016 A	60,000,000	2015/07/09	2016/02/02	48.18
23563	J P Morgan SP BV - CITIC Bank European Warrants Feb 2016 B	100,000,000	2015/07/09	2016/02/02	42.30
23567	J P Morgan SP BV - CITIC European Warrants Feb 2016 A	100,000,000	2015/07/09	2016/02/02	15.10
23564	J P Morgan SP BV - CM Bank European Warrants Feb 2016 B	100,000,000	2015/07/09	2016/02/02	25.10
23570	J P Morgan SP BV - A50 China European Warrants Jan 2016 B	100,000,000	2015/07/09	2016/01/04	104.20
23561	J P Morgan SP BV - Galaxy Ent European Warrants Feb 2016 A	100,000,000	2015/07/09	2016/02/02	25.20
23562	J P Morgan SP BV - Galaxy Ent European Put Wts Feb 2016 B	100,000,000	2015/07/09	2016/02/02	25.30
23558	J P Morgan SP BV - HSCEI European Wts Feb 2016 E	200,000,000	2015/07/09	2016/02/26	35.20
23557	J P Morgan SP BV - HSI European Warrants Feb 2016 C	300,000,000	2015/07/09	2016/02/26	75.00
23565	J P Morgan SP BV - ICBC European Warrants Jan 2016 C	100,000,000	2015/07/09	2016/01/04	38.60
23568	J P Morgan SP BV - Ping An European Warrants Feb 2016 B	100,000,000	2015/07/09	2016/02/02	55.50
23573	J P Morgan SP BV - Sands China European Put Wts Feb 2016 B	100,000,000	2015/07/09	2016/02/02	25.10
23542	Macquarie Bank Ltd. - CC Bank European Warrants Feb 2016 A	31,000,000	2015/07/09	2016/02/02	10.14
23543	Macquarie Bank Ltd. - CPIC European Warrants Mar 2016 A	25,000,000	2015/07/09	2016/03/02	10.00

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23540	Macquarie Bank Ltd. - GAC European Wts Apr 2018 A	40,000,000	2015/07/09	2018/04/04	10.00
23539	Macquarie Bank Ltd. - Haitong Sec European Wts Feb 2016 A	37,000,000	2015/07/09	2016/02/02	10.14
23537	Macquarie Bank Ltd. - Lenovo European Warrants Oct 2017 A	40,000,000	2015/07/09	2017/10/03	10.00
23541	Macquarie Bank Ltd. - Sands China European Wts Apr 2016 A	40,000,000	2015/07/09	2016/04/05	10.00
23544	Standard Chartered Bank - CSOP A50 ETF Euro Wts Apr 2016 A	100,000,000	2015/07/09	2016/04/18	15.00
23545	Standard Chartered Bank - A50 China Euro Warrants Jun 2016 B	100,000,000	2015/07/09	2016/06/27	15.00
23533	SGA Societe Generale Acceptance NV - HSCEI Eu Put Wt Dec 2015G	150,000,000	2015/07/09	2015/12/30	22.50
23519	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan16 F	150,000,000	2015/07/09	2016/01/28	37.50
23546	UBS AG - CAM CSI300 European Warrants Dec 2015 A	40,000,000	2015/07/09	2015/12/28	24.00
23552	UBS AG - CSOP A50 ETF European Warrants Apr 2016 A	300,000,000	2015/07/09	2016/04/18	48.00
23554	UBS AG - Galaxy Ent European Put Warrants Nov 2015 B	60,000,000	2015/07/09	2015/11/24	24.00
23553	UBS AG - HKEx European Warrants Dec 2015 J	300,000,000	2015/07/09	2015/12/02	87.00
23555	UBS AG - Sands China European Put Warrants Jan 2016 B	60,000,000	2015/07/09	2016/01/08	22.50
23556	UBS AG - Wynn Macau European Warrants Oct 2016 A	40,000,000	2015/07/09	2016/10/07	10.00
23580	BOCI Asia Ltd. - China Life European Warrants Jan 2016 A	100,000,000	2015/07/10	2016/01/18	36.00
23581	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Mar 2016 B	100,000,000	2015/07/10	2016/03/07	17.00
23582	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Apr 2016 B	100,000,000	2015/07/10	2016/04/06	16.00
23584	BOCI Asia Ltd. - A50 China European Warrants Apr 2016 B	100,000,000	2015/07/10	2016/04/11	16.00
23578	BOCI Asia Ltd. - HSBC European Put Warrants Feb 2016 A	100,000,000	2015/07/10	2016/02/01	47.00
23602	BNP Paribas Arbit Issu B.V. - AIA European Wts Dec 2015 C	50,000,000	2015/07/10	2015/12/02	10.50
23608	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Jan 2016 A	80,000,000	2015/07/10	2016/01/05	14.40
23606	BNP Paribas Arbit Issu B.V. - HSBC European Wts Feb 2016 A	40,000,000	2015/07/10	2016/02/02	12.00
23607	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jan 2016 A	40,000,000	2015/07/10	2016/01/05	20.80
23594	Credit Suisse AG - CSOP A50 ETF European Warrants Mar 2016 B	150,000,000	2015/07/10	2016/03/30	30.00
23609	Credit Suisse AG - A50 China European Warrants Mar 2016 D	150,000,000	2015/07/10	2016/03/30	22.50
23610	Credit Suisse AG - HKEx European Warrants Nov 2015 H	150,000,000	2015/07/10	2015/11/27	37.50
23611	Credit Suisse AG - Ping An European Warrants Jan 2016 B	80,000,000	2015/07/10	2016/01/11	86.40
23592	HK Bank - Agricultural Bank European Warrants Jan 2016 A	80,000,000	2015/07/10	2016/01/29	20.00
23585	HK Bank - CAM CSI300 European Warrants Jan 2016 A	80,000,000	2015/07/10	2016/01/29	24.00
23590	HK Bank - BOCL European Warrants Jan 2016 B	80,000,000	2015/07/10	2016/01/29	32.00
23586	HK Bank - HSCEI European Put Warrants Dec 2015 F	180,000,000	2015/07/10	2015/12/30	27.00
23589	HK Bank - HSI European Warrants Dec 2015 F	180,000,000	2015/07/10	2015/12/30	27.00
23588	HK Bank - HSI European Warrants Jan 2016 B	180,000,000	2015/07/10	2016/01/28	45.00
23587	HK Bank - HSI European Put Warrants Jan 2016 A	180,000,000	2015/07/10	2016/01/28	45.00
23620	J P Morgan SP BV - SHK Ppt European Warrants Oct 2016 A	200,000,000	2015/07/10	2016/10/31	30.20
23615	Macquarie Bank Ltd. - Avichina European Warrants Mar 2017 A	40,000,000	2015/07/10	2017/03/02	10.00
23577	Macquarie Bank Ltd. - BOCL European Warrants Apr 2016 A	40,000,000	2015/07/10	2016/04/05	10.00
23614	Macquarie Bank Ltd. - China Life European Wts Mar 2016 A	40,000,000	2015/07/10	2016/03/02	10.00
23574	Macquarie Bank Ltd. - Wynn Macau European Wts Mar 2016 A	37,000,000	2015/07/10	2016/03/02	10.03
23598	Standard Chartered Bank - BOCL European Warrants Jan 2016 A	100,000,000	2015/07/10	2016/01/11	25.00
23596	Standard Chartered Bank - CC Bank European Wts Jan 2016 C	100,000,000	2015/07/10	2016/01/11	35.00
23624	Standard Chartered Bank - China Mobile Euro Wts Jul 2017 A	100,000,000	2015/07/10	2017/07/17	15.00
23597	Standard Chartered Bank - ICBC European Warrants Dec 2015 A	100,000,000	2015/07/10	2015/12/28	15.00
23623	Standard Chartered Bank - PetroChina European Wts Jul 2016 A	80,000,000	2015/07/10	2016/07/25	31.20

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		發行單位	上市日期	到期日	
23626	Standard Chartered Bank - Ping An European Wts Jan 2016 A	80,000,000	2015/07/10	2016/01/11	56.00
23622	Standard Chartered Bank - Sands China Euro Wts Dec 2015 A	80,000,000	2015/07/10	2015/12/24	20.00
23621	Standard Chartered Bank - China Vanke European Wts Feb 2016A	50,000,000	2015/07/10	2016/02/01	12.50
23593	SGA Societe Generale Acceptance N.V. - COVS Eu Wts Apr 2016 A	25,000,000	2015/07/10	2016/04/06	10.00
23612	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Jan16B	80,000,000	2015/07/10	2016/01/06	16.00
23616	UBS AG - CSCL European Warrants Jan 2016 A	30,000,000	2015/07/10	2016/01/11	11.10
23618	UBS AG - HSI European Warrants Jan 2016 F	300,000,000	2015/07/10	2016/01/28	45.00
23692	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2016 B	60,000,000	2015/07/13	2016/01/05	22.20
23693	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2016 B	50,000,000	2015/07/13	2016/02/02	41.50
23690	BNP Paribas Arbit Issu B.V. - HKEx European Wts Feb 2016 B	80,000,000	2015/07/13	2016/02/02	30.40
23691	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jun 2016 B	80,000,000	2015/07/13	2016/06/02	24.80
23659	Credit Suisse AG - China Life European Warrants Dec 2015 B	80,000,000	2015/07/13	2015/12/11	28.00
23660	Credit Suisse AG - HKEx European Warrants Dec 2015 I	150,000,000	2015/07/13	2015/12/30	34.50
23633	Credit Suisse AG - HSI European Warrants Nov 2015 G	128,000,000	2015/07/13	2015/11/27	23.04
23634	Credit Suisse AG - HSI European Warrants Nov 2015 H	128,000,000	2015/07/13	2015/11/27	21.76
23635	Credit Suisse AG - Ping An European Warrants Dec 2016 B	150,000,000	2015/07/13	2016/12/29	24.00
23686	Goldman Sachs SP (Asia) - BOCL European Warrants Jan 2016 B	100,000,000	2015/07/13	2016/01/05	43.60
23684	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2016 C	250,000,000	2015/07/13	2016/01/18	63.75
23687	Goldman Sachs SP (Asia) - Ping An European Wts Jan 2016 A	80,000,000	2015/07/13	2016/01/18	76.24
23669	J P Morgan SP BV - CITIC Sec European Warrants Jan 2016 A	100,000,000	2015/07/13	2016/01/04	28.60
23665	J P Morgan SP BV - China Life European Warrants Mar 2016 A	100,000,000	2015/07/13	2016/03/02	28.70
23672	J P Morgan SP BV - CRRC European Warrants Apr 2016 A	100,000,000	2015/07/13	2016/04/01	25.10
23628	J P Morgan SP BV - Guotai Junani European Warrants Oct 2016A	80,000,000	2015/07/13	2016/10/04	20.00
23663	J P Morgan SP BV - HKEx European Warrants Mar 2016 B	200,000,000	2015/07/13	2016/03/02	55.40
23662	J P Morgan SP BV - HKEx European Warrants Apr 2016 A	200,000,000	2015/07/13	2016/04/01	50.60
23661	J P Morgan SP BV - HSBC European Warrants Feb 2016 A	100,000,000	2015/07/13	2016/02/02	25.20
23667	J P Morgan SP BV - HSCEI European Wts Mar 2016 B	200,000,000	2015/07/13	2016/03/30	50.20
23668	J P Morgan SP BV - HSCEI European Put Warrants Jan 2016 D	200,000,000	2015/07/13	2016/01/28	50.40
23629	J P Morgan SP BV - HSI European Warrants Jan 2016 F	300,000,000	2015/07/13	2016/01/28	75.60
23666	J P Morgan SP BV - HSI European Put Warrants Jan 2016 G	300,000,000	2015/07/13	2016/01/28	75.00
23671	J P Morgan SP BV - Kingsoft European Warrants Apr 2016 A	80,000,000	2015/07/13	2016/04/05	27.52
23664	J P Morgan SP BV - Ping An European Warrants Jan 2016 B	100,000,000	2015/07/13	2016/01/05	56.60
23630	J P Morgan SP BV - Ping An European Warrants Jun 2017 A	200,000,000	2015/07/13	2017/06/01	30.40
23670	J P Morgan SP BV - Tencent European Warrants Dec 2015 F	100,000,000	2015/07/13	2015/12/02	86.70
23650	Macquarie Bank Ltd. - China Coal European Wts Apr 2016 A	18,000,000	2015/07/13	2016/04/05	10.01
23652	Standard Chartered Bank - HSCEI European Put Wts Jan 2016 C	100,000,000	2015/07/13	2016/01/28	25.00
23631	Standard Chartered Bank - HSI European Warrants Dec 2015 G	100,000,000	2015/07/13	2015/12/30	15.00
23632	Standard Chartered Bank - HSI European Put Wts Nov 2015 E	100,000,000	2015/07/13	2015/11/27	15.00
23637	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Feb16A	50,000,000	2015/07/13	2016/02/03	29.00
23643	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Feb16B	50,000,000	2015/07/13	2016/02/03	15.00
23657	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jan 2016 H	200,000,000	2015/07/13	2016/01/05	52.00
23645	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2016 G	150,000,000	2015/07/13	2016/01/28	38.25
23648	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Nov 15 E	150,000,000	2015/07/13	2015/11/27	27.75
23655	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt Feb16A	40,000,000	2015/07/13	2016/02/03	11.20

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		發行單位	上市日期	到期日	
23658	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Feb 16 A	60,000,000	2015/07/13	2016/02/03	57.00
23675	UBS AG - BYD European Warrants Jan 2016 A	50,000,000	2015/07/13	2016/01/18	34.00
23677	UBS AG - CITIC Sec European Warrants Jan 2016 A	50,000,000	2015/07/13	2016/01/05	12.50
23681	UBS AG - HSI European Warrants Jan 2016 G	300,000,000	2015/07/13	2016/01/28	75.00
23678	UBS AG - Haitong Sec European Warrants Dec 2015 C	70,000,000	2015/07/13	2015/12/07	10.50
23676	UBS AG - Kingsoft European Warrants Jan 2016 B	100,000,000	2015/07/13	2016/01/18	40.00
18308 #	HK Bank - CNOOC European Warrants Feb 2016 A	150,000,000	2015/07/13	2016/02/22	11.85
23704	BOCI Asia Ltd. - CAM CSI300 European Warrants Dec 2015 A	100,000,000	2015/07/14	2015/12/28	48.00
23706	BOCI Asia Ltd. - BOCL European Warrants Jan 2016 A	100,000,000	2015/07/14	2016/01/18	48.00
23703	BOCI Asia Ltd. - China Life European Warrants Jan 2016 B	100,000,000	2015/07/14	2016/01/11	43.00
23699	BOCI Asia Ltd. - China Mobile European Warrants Dec 2015 B	100,000,000	2015/07/14	2015/12/23	36.00
23698	BOCI Asia Ltd. - HSBC European Warrants Jan 2016 A	100,000,000	2015/07/14	2016/01/11	23.00
23701	BOCI Asia Ltd. - Lenovo European Warrants Jun 2016 A	100,000,000	2015/07/14	2016/06/27	15.00
23702	BOCI Asia Ltd. - Ping An European Warrants Dec 2015 D	100,000,000	2015/07/14	2015/12/22	60.00
23773	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Nov 2015 A	65,000,000	2015/07/14	2015/11/03	10.40
23775	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Feb 2016 A	60,000,000	2015/07/14	2016/02/02	10.20
23776	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Feb 2016 A	40,000,000	2015/07/14	2016/02/02	32.80
23707	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2016 B	100,000,000	2015/07/14	2016/01/28	25.00
23708	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2016 C	100,000,000	2015/07/14	2016/01/28	35.00
23774	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jul 2016 A	100,000,000	2015/07/14	2016/07/05	15.00
23765	Credit Suisse AG - Ping An European Warrants Jan 2016 C	70,000,000	2015/07/14	2016/01/28	61.60
23739	Credit Suisse AG - Tencent Holdings European Wts Jan 2016 C	150,000,000	2015/07/14	2016/01/28	25.50
23733	Goldman Sachs SP (Asia) - CITIC Sec European Wts Jan 2016 B	68,000,000	2015/07/14	2016/01/14	20.67
23736	Goldman Sachs SP (Asia) - CITIC Sec European Wts Jan 2016 C	68,000,000	2015/07/14	2016/01/14	17.00
23738	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Jan 2016 A	80,000,000	2015/07/14	2016/01/14	20.32
23709	HK Bank - China Life European Warrants Dec 2015 C	88,000,000	2015/07/14	2015/12/31	22.00
23722	HK Bank - HKEx European Warrants Dec 2015 J	180,000,000	2015/07/14	2015/12/31	36.00
23731	HK Bank - HSCEI European Warrants Jan 2016 A	180,000,000	2015/07/14	2016/01/28	45.00
23728	HK Bank - HSI European Warrants Dec 2015 G	180,000,000	2015/07/14	2015/12/30	39.60
23730	HK Bank - HSI European Put Warrants Jan 2016 C	180,000,000	2015/07/14	2016/01/28	45.00
23724	HK Bank - Haitong Sec European Warrants Jan 2016 A	80,000,000	2015/07/14	2016/01/29	20.00
23723	HK Bank - Lenovo European Warrants Jun 2016 C	128,000,000	2015/07/14	2016/06/27	19.20
23727	HK Bank - Ping An European Warrants Jan 2016 B	50,000,000	2015/07/14	2016/01/29	37.50
23768	J P Morgan SP BV - BYD European Warrants Mar 2018 A	200,000,000	2015/07/14	2018/03/29	30.00
23770	J P Morgan SP BV - Galaxy Ent European Warrants Jan 2016 B	100,000,000	2015/07/14	2016/01/05	20.80
23767	J P Morgan SP BV - Ping An European Warrants Feb 2016 C	100,000,000	2015/07/14	2016/02/01	72.20
23769	J P Morgan SP BV - Sands China European Warrants Jan 2016 B	100,000,000	2015/07/14	2016/01/05	23.00
23741	Macquarie Bank Ltd. - CNOOC European Warrants Mar 2016 A	15,000,000	2015/07/14	2016/03/02	10.01
23754	Macquarie Bank Ltd. - Sinopec Corp European Wts Apr 2016 A	25,000,000	2015/07/14	2016/04/05	10.00
23695	Macquarie Bank Ltd. - China Taiping European Wts Mar 2016 A	30,000,000	2015/07/14	2016/03/02	10.14
23747	Macquarie Bank Ltd. - HKEx European Warrants Mar 2016 A	40,000,000	2015/07/14	2016/03/02	10.00
23751	Macquarie Bank Ltd. - HKEx European Put Warrants Feb 2016 A	40,000,000	2015/07/14	2016/02/02	10.00
23761	Macquarie Bank Ltd. - HSBC European Warrants Apr 2016 B	26,000,000	2015/07/14	2016/04/05	10.48
23757	Macquarie Bank Ltd. - ICBC European Warrants Feb 2016 A	25,000,000	2015/07/14	2016/02/02	10.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
23697	Macquarie Bank Ltd. - Kingsoft European Warrants Mar 2016 B	30,000,000	2015/07/14	2016/03/02	10.02
23696	Macquarie Bank Ltd. - PICC Group European Wts Jun 2016 A	20,000,000	2015/07/14	2016/06/02	11.90
23760	Macquarie Bank Ltd. - Ping An European Warrants Feb 2016 B	25,000,000	2015/07/14	2016/02/02	10.00
23740	Macquarie Bank Ltd. - Tencent European Warrants Jul 2016 C	60,000,000	2015/07/14	2016/07/05	15.00
23742	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Feb 2016 E	200,000,000	2015/07/14	2016/02/03	50.00
23743	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Feb16B	35,000,000	2015/07/14	2016/02/03	11.73
23762	UBS AG - HKEx European Warrants Jan 2016 E	300,000,000	2015/07/14	2016/01/25	66.00
23763	UBS AG - HKEx European Warrants Jan 2016 F	300,000,000	2015/07/14	2016/01/18	75.00
23694	UBS AG - HSI European Put Warrants Oct 2015 F	300,000,000	2015/07/14	2015/10/29	45.00
23764	UBS AG - Ping An European Warrants Jan 2016 A	100,000,000	2015/07/14	2016/01/13	60.00
23919	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Dec 2015 A	40,000,000	2015/07/15	2015/12/02	13.60
23929	BNP Paribas Arbit Issu B.V. - BOCL European Wts Dec 2015 B	50,000,000	2015/07/15	2015/12/02	22.50
23928	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2016 B	40,000,000	2015/07/15	2016/02/02	20.00
23921	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2016 C	80,000,000	2015/07/15	2016/01/05	21.60
23942	BNP Paribas Arbit Issu B.V. - CM Bank Euro Warrants Jan 2016 B	60,000,000	2015/07/15	2016/01/05	14.40
23925	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jan 2017 B	100,000,000	2015/07/15	2017/01/04	16.00
23916	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Jan 2016 A	80,000,000	2015/07/15	2016/01/05	12.00
23940	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Feb 2016 B	100,000,000	2015/07/15	2016/02/26	30.00
23936	BNP Paribas Arbit Issu B.V. - HSI European Wts Feb 2016 B	100,000,000	2015/07/15	2016/02/26	31.00
23934	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Feb 2016 A	100,000,000	2015/07/15	2016/02/26	25.00
23930	BNP Paribas Arbit Issu B.V. - ICBC European Wts Feb 2016 B	50,000,000	2015/07/15	2016/02/02	29.50
23922	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jan 2016 B	40,000,000	2015/07/15	2016/01/05	19.20
23941	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2016 A	100,000,000	2015/07/15	2016/01/05	22.00
23887	Credit Suisse AG - HSCEI European Warrants Jan 2016 A	150,000,000	2015/07/15	2016/01/28	37.50
23888	Credit Suisse AG - HSCEI European Put Warrants Jan 2016 B	150,000,000	2015/07/15	2016/01/28	37.50
23816	Credit Suisse AG - HSI European Warrants Nov 2015 I	200,000,000	2015/07/15	2015/11/27	34.00
23812	Credit Suisse AG - HSI European Warrants Dec 2015 H	200,000,000	2015/07/15	2015/12/30	46.00
23818	Credit Suisse AG - HSI European Warrants Jan 2016 B	200,000,000	2015/07/15	2016/01/28	50.00
23865	Credit Suisse AG - HSI European Put Warrants Jan 2016 C	200,000,000	2015/07/15	2016/01/28	50.00
23795	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2016 C	120,000,000	2015/07/15	2016/01/15	39.12
23824	Goldman Sachs SP (Asia) - HSCEI European Warrants Feb 2016 B	150,000,000	2015/07/15	2016/02/26	33.75
23825	Goldman Sachs SP (Asia) - HSCEI European Put Wts Jan 2016 A	150,000,000	2015/07/15	2016/01/28	37.95
23794	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Jan 2016 C	80,000,000	2015/07/15	2016/01/20	53.60
23797	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Dec 2017 A	200,000,000	2015/07/15	2017/12/28	50.00
23822	Goldman Sachs SP (Asia) - HSI European Warrants Nov 2015 G	150,000,000	2015/07/15	2015/11/27	25.95
23823	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2016 B	150,000,000	2015/07/15	2016/01/28	38.25
23819	Goldman Sachs SP (Asia) - HSI European Put Wts Oct 2015 E	150,000,000	2015/07/15	2015/10/29	28.80
23821	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2016 A	150,000,000	2015/07/15	2016/01/28	37.65
23808	HK Bank - Agricultural Bank European Warrants Jul 2016 A	80,000,000	2015/07/15	2016/07/29	20.00
23809	HK Bank - CC Bank European Warrants Jan 2016 B	80,000,000	2015/07/15	2016/01/29	28.00
23811	HK Bank - CM Bank European Warrants Feb 2016 A	100,000,000	2015/07/15	2016/02/01	15.00
23806	HK Bank - CNOOC European Warrants Jun 2017 A	180,000,000	2015/07/15	2017/06/30	27.00
23782	HK Bank - HSI European Warrants Nov 2015 G	280,000,000	2015/07/15	2015/11/27	56.00
23783	HK Bank - HSI European Warrants Jan 2016 D	280,000,000	2015/07/15	2016/01/28	70.00

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23805	HK Bank - Tencent European Put Warrants Dec 2016 C	150,000,000	2015/07/15	2016/12/30	37.50
23894	J P Morgan SP BV - Agricultural Bk Euro Warrants Mar 2016 A	100,000,000	2015/07/15	2016/03/01	25.30
23914	J P Morgan SP BV - AIA European Warrants Jan 2016 A	100,000,000	2015/07/15	2016/01/05	25.10
23883	J P Morgan SP BV - CAM CSI300 European Warrants Dec 2015 B	100,000,000	2015/07/15	2015/12/02	27.20
23884	J P Morgan SP BV - CAM CSI300 European Warrants Feb 2016 B	100,000,000	2015/07/15	2016/02/01	25.10
23895	J P Morgan SP BV - BankComm European Warrants Feb 2016 A	100,000,000	2015/07/15	2016/02/01	70.80
23899	J P Morgan SP BV - CGS European Warrants Dec 2016 A	100,000,000	2015/07/15	2016/12/01	25.20
23898	J P Morgan SP BV - China Cinda European Warrants Feb 2016 A	80,000,000	2015/07/15	2016/02/02	21.92
23906	J P Morgan SP BV - CITIC Sec European Warrants Mar 2016 A	100,000,000	2015/07/15	2016/03/01	33.30
23892	J P Morgan SP BV - CKP European Warrants Feb 2016 A	100,000,000	2015/07/15	2016/02/01	39.10
23890	J P Morgan SP BV - China Mob European Wts Feb 2016 A	100,000,000	2015/07/15	2016/02/01	64.60
23902	J P Morgan SP BV - Ch Overseas European Warrants Mar 2016 A	100,000,000	2015/07/15	2016/03/01	25.10
23882	J P Morgan SP BV - CSOP A50 ETF European Warrants Jul 2016 B	100,000,000	2015/07/15	2016/07/29	15.50
23886	J P Morgan SP BV - HKEx European Warrants Feb 2016 C	300,000,000	2015/07/15	2016/02/01	75.90
23880	J P Morgan SP BV - HSCEI European Wts Oct 2015 E	200,000,000	2015/07/15	2015/10/29	30.20
23792	J P Morgan SP BV - HSCEI European Wts Jan 2016 E	200,000,000	2015/07/15	2016/01/28	50.00
23913	J P Morgan SP BV - HSCEI European Put Warrants Feb 2016 F	200,000,000	2015/07/15	2016/02/26	50.60
23881	J P Morgan SP BV - HS H-SHARE European Warrants Jan 2016 B	100,000,000	2015/07/15	2016/01/29	56.00
23791	J P Morgan SP BV - HSI European Warrants Jan 2016 H	300,000,000	2015/07/15	2016/01/28	75.30
23789	J P Morgan SP BV - HSI European Warrants Feb 2016 D	300,000,000	2015/07/15	2016/02/26	75.30
23788	J P Morgan SP BV - HSI European Warrants Mar 2016 B	300,000,000	2015/07/15	2016/03/30	75.00
23879	J P Morgan SP BV - HSI European Put Warrants Jan 2016 I	300,000,000	2015/07/15	2016/01/28	75.30
23908	J P Morgan SP BV - HSI European Put Warrants Feb 2016 E	300,000,000	2015/07/15	2016/02/26	75.00
23907	J P Morgan SP BV - Kingsoft European Warrants Mar 2016 A	100,000,000	2015/07/15	2016/03/01	25.80
23904	J P Morgan SP BV - Ping An European Warrants Feb 2016 D	100,000,000	2015/07/15	2016/02/03	99.70
23903	J P Morgan SP BV - Ping An European Warrants Mar 2016 A	100,000,000	2015/07/15	2016/03/02	86.30
23889	J P Morgan SP BV - Tencent European Warrants Feb 2016 C	100,000,000	2015/07/15	2016/02/02	130.20
23911	J P Morgan SP BV - Tracker Fund European Warrants Apr 2016 A	100,000,000	2015/07/15	2016/04/11	15.10
23910	J P Morgan SP BV - Wanda Comm European Warrants Jan 2016 A	60,000,000	2015/07/15	2016/01/29	49.26
23778	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Mar 2016 B	23,000,000	2015/07/15	2016/03/02	10.01
23802	Macquarie Bank Ltd. - CITIC Bank European Wts Apr 2016 A	28,000,000	2015/07/15	2016/04/05	10.02
23779	Macquarie Bank Ltd. - CKH European Warrants Jul 2017 A	40,000,000	2015/07/15	2017/07/04	10.00
23781	Macquarie Bank Ltd. - HKEx European Warrants Dec 2015 C	50,000,000	2015/07/15	2015/12/02	10.10
23780	Macquarie Bank Ltd. - HKEx European Warrants Feb 2016 B	30,000,000	2015/07/15	2016/02/02	10.20
23801	Standard Chartered Bank - China Life European Wts Aug 2016 A	80,000,000	2015/07/15	2016/08/08	35.20
23798	Standard Chartered Bank - HKEx European Warrants Jun 2016 B	100,000,000	2015/07/15	2016/06/03	48.00
23799	Standard Chartered Bank - HKEx European Put Wts Feb 2016 C	60,000,000	2015/07/15	2016/02/15	15.00
23800	Standard Chartered Bank - Kingsoft European Wts Feb 2016 A	60,000,000	2015/07/15	2016/02/29	15.00
23859	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jan 16 A	80,000,000	2015/07/15	2016/01/06	35.20
23855	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Feb 16 A	80,000,000	2015/07/15	2016/02/03	53.60
23854	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Feb 2016 A	100,000,000	2015/07/15	2016/02/03	43.00
23785	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Feb 2016C	150,000,000	2015/07/15	2016/02/26	37.50
23864	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Jan16C	150,000,000	2015/07/15	2016/01/28	37.50
23861	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Nov 2015 F	150,000,000	2015/07/15	2015/11/27	28.50

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		發行單位	上市日期	到期日	
23863	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Dec 2015 F	150,000,000	2015/07/15	2015/12/30	27.75
23787	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2016 H	150,000,000	2015/07/15	2016/01/28	37.50
23862	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Oct 15 E	150,000,000	2015/07/15	2015/10/29	31.50
23860	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Feb 16 A	150,000,000	2015/07/15	2016/02/26	37.50
23853	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Feb 16 B	60,000,000	2015/07/15	2016/02/03	51.60
23850	UBS AG - BYD European Warrants Jan 2016 B	50,000,000	2015/07/15	2016/01/18	23.00
23851	UBS AG - CC Bank European Warrants Oct 2015 B	100,000,000	2015/07/15	2015/10/30	41.00
23852	UBS AG - CC Bank European Warrants Jan 2016 C	100,000,000	2015/07/15	2016/01/18	79.00
23832	UBS AG - China Mobile European Warrants Dec 2015 C	100,000,000	2015/07/15	2015/12/22	60.00
23835	UBS AG - China Mobile European Warrants Jan 2016 A	100,000,000	2015/07/15	2016/01/14	80.00
23842	UBS AG - Fosun Intl European Warrants Jan 2016 B	50,000,000	2015/07/15	2016/01/14	12.50
23849	UBS AG - A50 China European Warrants Jan 2016 A	300,000,000	2015/07/15	2016/01/25	75.00
23866	UBS AG - HKEx European Warrants Jan 2016 G	300,000,000	2015/07/15	2016/01/25	90.00
23846	UBS AG - HSCEI European Warrants Nov 2015 D	200,000,000	2015/07/15	2015/11/27	68.00
23830	UBS AG - HSCEI European Warrants Jan 2016 A	200,000,000	2015/07/15	2016/01/28	50.00
23847	UBS AG - HSCEI European Warrants Jan 2016 B	200,000,000	2015/07/15	2016/01/28	56.00
23831	UBS AG - HSCEI European Warrants Mar 2016 D	200,000,000	2015/07/15	2016/03/30	50.00
23874	UBS AG - HSCEI European Put Warrants Jan 2016 C	200,000,000	2015/07/15	2016/01/28	50.00
23873	UBS AG - HSCEI European Put Warrants Mar 2016 E	200,000,000	2015/07/15	2016/03/30	50.00
23843	UBS AG - HSI European Warrants Jan 2016 I	300,000,000	2015/07/15	2016/01/28	75.00
23845	UBS AG - HSI European Warrants Jan 2016 J	300,000,000	2015/07/15	2016/01/28	90.00
23829	UBS AG - HSI European Warrants Mar 2016 B	300,000,000	2015/07/15	2016/03/30	75.00
23868	UBS AG - HSI European Put Warrants Jan 2016 K	300,000,000	2015/07/15	2016/01/28	75.00
23869	UBS AG - HSI European Put Warrants Jan 2016 L	300,000,000	2015/07/15	2016/01/28	75.00
23867	UBS AG - PetroChina European Warrants Dec 2015 A	50,000,000	2015/07/15	2015/12/29	16.00
23953	BOCI Asia Ltd. - Agricultural Bk European Wts Dec 2015 A	100,000,000	2015/07/16	2015/12/31	22.00
23952	BOCI Asia Ltd. - BYD European Warrants Jan 2016 A	100,000,000	2015/07/16	2016/01/08	31.00
23949	BOCI Asia Ltd. - CC Bank European Warrants Jan 2016 A	100,000,000	2015/07/16	2016/01/25	38.00
23956	BOCI Asia Ltd. - CITIC Sec European Warrants Feb 2016 A	100,000,000	2015/07/16	2016/02/29	30.00
23951	BOCI Asia Ltd. - China Mobile European Warrants Dec 2015 C	100,000,000	2015/07/16	2015/12/31	47.00
23947	BOCI Asia Ltd. - HSBC European Warrants Jan 2016 B	100,000,000	2015/07/16	2016/01/18	29.00
23955	BOCI Asia Ltd. - Ping An European Warrants Jan 2016 A	100,000,000	2015/07/16	2016/01/25	65.00
23948	BOCI Asia Ltd. - Tencent Holdings European Wts Feb 2016 C	100,000,000	2015/07/16	2016/02/11	15.00
24052	Credit Suisse AG - BOCL European Warrants Jan 2016 A	80,000,000	2015/07/16	2016/01/28	30.40
24048	Credit Suisse AG - CC Bank European Warrants Jan 2016 A	80,000,000	2015/07/16	2016/01/28	50.40
24053	Credit Suisse AG - China Life European Warrants Jan 2016 A	80,000,000	2015/07/16	2016/01/28	30.40
24047	Credit Suisse AG - China Mobile European Warrants Jan 2016 B	80,000,000	2015/07/16	2016/01/28	62.40
24046	Credit Suisse AG - HSI European Put Warrants Jan 2016 D	200,000,000	2015/07/16	2016/01/28	50.00
24050	Credit Suisse AG - ICBC European Warrants Jan 2016 A	80,000,000	2015/07/16	2016/01/28	40.00
24056	Credit Suisse AG - Ping An European Warrants Jun 2017 A	150,000,000	2015/07/16	2017/06/30	22.50
24058	Credit Suisse AG - Ping An European Warrants Sep 2017 A	150,000,000	2015/07/16	2017/09/29	30.00
24055	Credit Suisse AG - Ping An European Put Warrants Jan 2016 D	80,000,000	2015/07/16	2016/01/28	68.00
23958	HK Bank - CAM CSI300 European Warrants Jan 2016 B	80,000,000	2015/07/16	2016/01/29	28.00
23972	HK Bank - BOCL European Warrants Jan 2016 C	80,000,000	2015/07/16	2016/01/29	24.00

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		發行單位	上市日期	到期日	
23971	HK Bank - China Life European Warrants Jan 2016 B	100,000,000	2015/07/16	2016/01/29	25.00
23966	HK Bank - China Mobile European Warrants Dec 2015 C	80,000,000	2015/07/16	2015/12/31	36.00
23965	HK Bank - HSCEI European Warrants Jan 2016 B	180,000,000	2015/07/16	2016/01/28	45.00
23959	HK Bank - HSI European Warrants Dec 2015 H	180,000,000	2015/07/16	2015/12/30	32.40
23973	HK Bank - PetroChina European Warrants Jan 2016 A	80,000,000	2015/07/16	2016/01/29	36.00
24042	J P Morgan SP BV - CHALCO European Warrants Mar 2016 A	60,000,000	2015/07/16	2016/03/01	19.44
24037	J P Morgan SP BV - China Coal European Warrants Mar 2016 A	60,000,000	2015/07/16	2016/03/01	28.86
24044	J P Morgan SP BV - China Gas Hold European Wts Oct 2016 A	100,000,000	2015/07/16	2016/10/03	25.10
24038	J P Morgan SP BV - China Tel European Warrants Apr 2016 A	80,000,000	2015/07/16	2016/04/01	27.68
24040	J P Morgan SP BV - Shimao Property European Wts Apr 2016 A	80,000,000	2015/07/16	2016/04/05	20.24
23995	Macquarie Bank Ltd. - Anhui Conch European Wts Apr 2016 A	34,000,000	2015/07/16	2016/04/05	10.20
23982	Macquarie Bank Ltd. - AIA European Warrants Feb 2016 B	25,000,000	2015/07/16	2016/02/02	10.00
24008	Macquarie Bank Ltd. - BOCHK European Warrants Oct 2016 A	35,000,000	2015/07/16	2016/10/04	10.19
24025	Macquarie Bank Ltd. - CGS European Warrants Jul 2017 A	30,000,000	2015/07/16	2017/07/04	10.05
24017	Macquarie Bank Ltd. - CITIC Sec European Warrants Jul 2016 A	20,000,000	2015/07/16	2016/07/05	10.04
23992	Macquarie Bank Ltd. - CKP European Warrants Apr 2016 B	20,000,000	2015/07/16	2016/04/05	10.26
24016	Macquarie Bank Ltd. - CM Bank European Warrants Jul 2016 A	40,000,000	2015/07/16	2016/07/05	10.00
23994	Macquarie Bank Ltd. - China Overseas European Wts Apr 2016 B	36,000,000	2015/07/16	2016/04/05	10.15
23978	Macquarie Bank Ltd. - Chi Res Land European Wts Mar 2016 A	40,000,000	2015/07/16	2016/03/02	10.00
24014	Macquarie Bank Ltd. - China Tel European Warrants Feb 2016 A	40,000,000	2015/07/16	2016/02/02	10.00
23991	Macquarie Bank Ltd. - China Taiping European Wts Feb 2016 B	40,000,000	2015/07/16	2016/02/02	10.00
24010	Macquarie Bank Ltd. - China Unicom European Wts Oct 2017 A	40,000,000	2015/07/16	2017/10/03	10.04
24009	Macquarie Bank Ltd. - Galaxy Ent European Wts Apr 2016 A	25,000,000	2015/07/16	2016/04/05	10.13
24001	Macquarie Bank Ltd. - HSBC European Warrants Feb 2016 A	27,000,000	2015/07/16	2016/02/02	10.26
23977	Macquarie Bank Ltd. - PetroChina European Wts Jul 2018 A	60,000,000	2015/07/16	2018/07/04	15.00
23990	Macquarie Bank Ltd. - PICC Group European Wts Apr 2016 A	25,000,000	2015/07/16	2016/04/05	10.00
23976	Macquarie Bank Ltd. - Tencent European Put Wts Oct 2016 A	60,000,000	2015/07/16	2016/10/04	15.00
24034	Standard Chartered Bank - CAM CSI300 European Wts Feb 2016 B	60,000,000	2015/07/16	2016/02/01	27.00
24002	Standard Chartered Bank - CC Bank European Wts Jul 2016 A	80,000,000	2015/07/16	2016/07/29	32.00
24003	Standard Chartered Bank - CITIC Sec European Wts Feb 2016 A	80,000,000	2015/07/16	2016/02/11	20.00
24030	Standard Chartered Bank - CSOP A50 ETF Euro Wts Apr 2016 B	100,000,000	2015/07/16	2016/04/05	25.00
24035	SGA Societe Generale Acceptance N.V. - CRR Euro Wts Mar16 A	60,000,000	2015/07/16	2016/03/03	15.00
24036	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt Mar16A	40,000,000	2015/07/16	2016/03/03	12.00
24004	UBS AG - CKH European Warrants Dec 2016 B	300,000,000	2015/07/16	2016/12/20	45.00
24007	UBS AG - Sinopec Corp European Warrants Jan 2016 A	70,000,000	2015/07/16	2016/01/18	23.10
24005	UBS AG - SHK Ppt European Warrants Oct 2016 A	200,000,000	2015/07/16	2016/10/24	30.00
24064	BOCI Asia Ltd. - HSCEI European Warrants Feb 2016 A	100,000,000	2015/07/17	2016/02/26	23.00
24060	BOCI Asia Ltd. - HSI European Warrants Dec 2015 C	100,000,000	2015/07/17	2015/12/30	21.00
24063	BOCI Asia Ltd. - HSI European Put Warrants Jan 2016 A	100,000,000	2015/07/17	2016/01/28	27.00
24077	Credit Suisse AG - CC Bank European Put Warrants Jan 2016 B	70,000,000	2015/07/17	2016/01/28	35.00
24079	Credit Suisse AG - China Life European Put Wts Jan 2016 B	70,000,000	2015/07/17	2016/01/28	21.00
24080	Credit Suisse AG - HKEx European Put Warrants Jan 2016 C	80,000,000	2015/07/17	2016/01/28	20.00
24078	Credit Suisse AG - HSBC European Put Warrants Jan 2016 C	70,000,000	2015/07/17	2016/01/28	25.20
24070	Goldman Sachs SP (Asia) - BOCL European Warrants Jan 2016 C	100,000,000	2015/07/17	2016/01/28	32.40

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		發行單位	上市日期	到期日	
24068	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2016 B	100,000,000	2015/07/17	2016/01/28	55.50
24071	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2016 B	300,000,000	2015/07/17	2016/06/29	54.90
24073	HK Bank - Anhui Conch European Warrants Jan 2016 A	80,000,000	2015/07/17	2016/01/29	20.00
24074	HK Bank - CRRC European Warrants Jan 2016 A	100,000,000	2015/07/17	2016/01/29	25.00
24072	HK Bank - China Tel European Warrants Jan 2016 A	80,000,000	2015/07/17	2016/01/29	20.00
24091	J P Morgan SP BV - China Life European Put Wts Apr 2016 A	100,000,000	2015/07/17	2016/04/05	28.80
24090	J P Morgan SP BV - HSBC European Put Warrants Feb 2016 B	100,000,000	2015/07/17	2016/02/03	38.00
24066	Macquarie Bank Ltd. - Minsheng Bank European Wts Apr 2017 A	50,000,000	2015/07/17	2017/04/04	12.50
24065	Macquarie Bank Ltd. - Lenovo European Warrants Feb 2016 A	10,000,000	2015/07/17	2016/02/02	10.00
24089	Standard Chartered Bank - HSBC European Warrants Apr 2016 A	100,000,000	2015/07/17	2016/04/11	25.00
24083	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Mar16C	300,000,000	2015/07/17	2016/03/03	55.50
24084	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jun16A	300,000,000	2015/07/17	2016/06/03	48.00
24087	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jul 2016A	300,000,000	2015/07/17	2016/07/06	49.50
24081	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2016 I	150,000,000	2015/07/17	2016/01/28	23.25
24082	UBS AG - CSOP A50 ETF European Warrants May 2016 C	300,000,000	2015/07/17	2016/05/23	45.00
24102	Credit Suisse AG - HSCEI European Warrants Jan 2016 C	128,000,000	2015/07/20	2016/01/28	32.00
24104	Credit Suisse AG - HSCEI European Warrants Feb 2016 A	128,000,000	2015/07/20	2016/02/26	32.00
24110	Credit Suisse AG - HSCEI European Put Warrants Jan 2016 D	128,000,000	2015/07/20	2016/01/28	38.40
24109	Credit Suisse AG - HSCEI European Put Warrants Mar 2016 B	128,000,000	2015/07/20	2016/03/30	64.00
24100	Credit Suisse AG - HS H-SHARE European Warrants Jan 2016 B	70,000,000	2015/07/20	2016/01/19	65.10
24127	J P Morgan SP BV - Greatwall Motor European Wts Apr 2016 A	100,000,000	2015/07/20	2016/04/05	58.70
24098	Macquarie Bank Ltd. - BankComm European Warrants Feb 2016 A	25,000,000	2015/07/20	2016/02/02	10.38
24123	Standard Chartered Bank - BOCL European Warrants Feb 2016 A	80,000,000	2015/07/20	2016/02/01	20.00
24126	Standard Chartered Bank - CGN Power European Warrants Feb16A	50,000,000	2015/07/20	2016/02/22	12.50
24118	Standard Chartered Bank - China Mobile Euro Wts Jan 2016 A	100,000,000	2015/07/20	2016/01/25	60.00
24116	Standard Chartered Bank - Haitong Sec Euro Wts Feb 2016 A	80,000,000	2015/07/20	2016/02/11	20.00
24125	Standard Chartered Bank - PetroChina European Wts Apr 2016 A	80,000,000	2015/07/20	2016/04/05	20.00
24092	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Feb 2016 A	100,000,000	2015/07/20	2016/02/03	38.00
24093	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Feb 2016 B	100,000,000	2015/07/20	2016/02/03	53.00
24099	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Feb16B	50,000,000	2015/07/20	2016/02/03	61.00
24097	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Feb 16 C	60,000,000	2015/07/20	2016/02/03	40.20
24159	BNP Paribas Arbit Issu B.V. - Avichina European Wts Feb 16 A	80,000,000	2015/07/21	2016/02/02	20.00
24160	BNP Paribas Arbit Issu B.V. - China Cinda Eur Wts Feb 2016 A	50,000,000	2015/07/21	2016/02/02	22.00
24169	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Feb 2016 A	60,000,000	2015/07/21	2016/02/02	24.60
24170	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Dec 2015 A	40,000,000	2015/07/21	2015/12/31	27.60
24179	BNP Paribas Arbit Issu B.V. - CNBM European Wts Feb 2016 A	30,000,000	2015/07/21	2016/02/02	24.60
24158	BNP Paribas Arbit Issu B.V. - Fosun Intl European Wts Feb16A	80,000,000	2015/07/21	2016/02/02	24.00
24164	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Feb 2016 A	60,000,000	2015/07/21	2016/02/02	29.40
24161	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Feb 2016 A	40,000,000	2015/07/21	2016/02/02	22.80
24165	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Feb 2016 A	60,000,000	2015/07/21	2016/02/02	19.80
24156	Credit Suisse AG - HSBC European Wts Dec 2015 D	80,000,000	2015/07/21	2015/12/30	12.80
24144	Credit Suisse AG - HSCEI European Warrants Feb 2016 B	128,000,000	2015/07/21	2016/02/26	19.20
24149	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jan 2016 B	80,000,000	2015/07/21	2016/01/29	29.60
24152	Goldman Sachs SP (Asia) - Sands China Euro Wts Jan 2016 A	80,000,000	2015/07/21	2016/01/29	23.52

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24141	HK Bank - CITIC Sec European Warrants Jan 2016 A	80,000,000	2015/07/21	2016/01/29	20.00
24132	HK Bank - CNOOC European Warrants Dec 2016 B	180,000,000	2015/07/21	2016/12/28	27.00
24135	HK Bank - Sinopec Corp European Warrants Jan 2016 A	80,000,000	2015/07/21	2016/01/29	36.00
24139	HK Bank - CPIC European Warrants Jan 2016 A	50,000,000	2015/07/21	2016/01/29	15.00
24140	HK Bank - HS H-SHARE European Warrants Jan 2016 A	50,000,000	2015/07/21	2016/01/29	30.00
24143	HK Bank - PetroChina European Warrants Jan 2016 B	80,000,000	2015/07/21	2016/01/29	28.00
24130	Macquarie Bank Ltd. - China Life European Put Warrants Feb16D	40,000,000	2015/07/21	2016/02/02	10.00
24178	Standard Chartered Bank - CAM CSI300 European Wts Apr 2016 A	80,000,000	2015/07/21	2016/04/01	24.00
24171	Standard Chartered Bank - China Overseas Euro Wt Jan 2016 A	80,000,000	2015/07/21	2016/01/12	12.00
24176	Standard Chartered Bank - Greatwall Motor Euro Wt Apr 2016 A	50,000,000	2015/07/21	2016/04/05	12.50
24177	Standard Chartered Bank - HSHI ETF European Put Wts May 16 A	40,000,000	2015/07/21	2016/05/09	18.00
24174	Standard Chartered Bank - ZTE European Warrants Feb 2016 A	50,000,000	2015/07/21	2016/02/24	12.50
24153	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Feb 2016 A	100,000,000	2015/07/21	2016/02/03	30.50
24155	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Feb16A	25,000,000	2015/07/21	2016/02/03	14.00
24154	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Sep 16 A	40,000,000	2015/07/21	2016/09/05	10.00
24145	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Feb16A	80,000,000	2015/07/21	2016/02/03	28.80
24146	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Feb16A	80,000,000	2015/07/21	2016/02/03	22.40
24147	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Mar16A	80,000,000	2015/07/21	2016/03/03	30.00
20906 #	Standard Chartered Bank - HKEx European Warrants Dec 2015 C	100,000,000	2015/07/21	2015/12/28	18.70
21778 #	Standard Chartered Bank - HKEx European Warrants Jan 2016 C	100,000,000	2015/07/21	2016/01/04	11.00
24186	Credit Suisse AG - CITIC Sec European Warrants Jan 2016 A	60,000,000	2015/07/22	2016/01/28	25.80
24185	Credit Suisse AG - CNOOC European Warrants Dec 2016 A	150,000,000	2015/07/22	2016/12/29	22.50
24187	Credit Suisse AG - HSI European Put Warrants Jan 2016 E	128,000,000	2015/07/22	2016/01/28	32.00
24189	Credit Suisse AG - HSI European Put Warrants Jan 2016 F	128,000,000	2015/07/22	2016/01/28	34.56
24209	Goldman Sachs SP (Asia) - China Life Euro Wts Jan 2016 A	80,000,000	2015/07/22	2016/01/28	26.48
24210	Goldman Sachs SP (Asia) - China Life Euro Wts Jan 2016 B	80,000,000	2015/07/22	2016/01/28	41.68
24212	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2016 A	80,000,000	2015/07/22	2016/12/29	20.08
24208	Goldman Sachs SP (Asia) - Ping An European Wts Jan 2016 B	80,000,000	2015/07/22	2016/01/28	87.20
24207	J P Morgan SP BV - A50 China European Warrants Oct 2016 A	200,000,000	2015/07/22	2016/10/21	33.80
24205	J P Morgan SP BV - PetroChina European Warrants Dec 2015 B	100,000,000	2015/07/22	2015/12/30	42.30
24180	Macquarie Bank Ltd. - BOCL European Put Warrants Feb 2016 C	35,000,000	2015/07/22	2016/02/02	10.01
24181	Macquarie Bank Ltd. - Greatwall Motor European Wts Feb 2016 B	30,000,000	2015/07/22	2016/02/02	10.02
24193	Standard Chartered Bank - China Life European Wts Jan 2016 B	100,000,000	2015/07/22	2016/01/25	20.00
24190	Standard Chartered Bank - Sinopec Corp Euro Wts Feb 2016 A	80,000,000	2015/07/22	2016/02/29	20.00
24204	Standard Chartered Bank - HSCEI European Put Wts Jan 2016 D	100,000,000	2015/07/22	2016/01/28	15.00
24194	Standard Chartered Bank - HSI European Warrants Jan 2016 A	100,000,000	2015/07/22	2016/01/28	25.00
24195	Standard Chartered Bank - HSI European Put Wts Jan 2016 B	100,000,000	2015/07/22	2016/01/28	25.00
24192	Standard Chartered Bank - Kingsoft European Wts Jan 2016 B	60,000,000	2015/07/22	2016/01/22	15.00
24200	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Feb 2016 A	100,000,000	2015/07/22	2016/02/03	32.50
24197	SGA Societe Generale Acceptance N.V. - CGS Euro Wts Jun 16 A	60,000,000	2015/07/22	2016/06/03	15.00
24184	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Feb16C	50,000,000	2015/07/22	2016/02/03	24.50
24201	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Feb 2016A	60,000,000	2015/07/22	2016/02/03	38.40
24182	UBS AG - BYD European Warrants Aug 2017 A	300,000,000	2015/07/22	2017/08/28	75.00
24183	UBS AG - Greatwall Motor European Warrants Jan 2016 A	30,000,000	2015/07/22	2016/01/25	14.40

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		發行單位	上市日期	到期日	
24203	UBS AG - Ping An European Warrants Jan 2016 B	100,000,000	2015/07/22	2016/01/21	100.00
24217	BOCI Asia Ltd. - CM Bank European Warrants Feb 2016 B	100,000,000	2015/07/23	2016/02/11	25.00
24218	BOCI Asia Ltd. - Haitong Sec European Warrants Jan 2016 A	100,000,000	2015/07/23	2016/01/18	27.00
24213	BOCI Asia Ltd. - PetroChina European Warrants Feb 2016 A	100,000,000	2015/07/23	2016/02/11	42.00
24246	Credit Suisse AG - China Life European Warrants Jan 2016 C	70,000,000	2015/07/23	2016/01/28	17.50
24221	HK Bank - Agricultural Bank European Warrants Jan 2016 B	80,000,000	2015/07/23	2016/01/29	20.00
24219	HK Bank - China Overseas European Warrants Dec 2015 A	100,000,000	2015/07/23	2015/12/31	15.00
24224	HK Bank - Kingsoft European Warrants Jan 2016 A	80,000,000	2015/07/23	2016/01/29	20.00
24234	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Feb16 B	12,000,000	2015/07/23	2016/02/03	10.44
24235	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Dec 15 B	80,000,000	2015/07/23	2015/12/03	28.00
24240	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Feb 2016A	80,000,000	2015/07/23	2016/02/03	38.00
24236	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Feb 2016 F	200,000,000	2015/07/23	2016/02/03	69.00
24239	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2016 A	100,000,000	2015/07/23	2016/05/05	46.00
24225	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Jan 2016D	150,000,000	2015/07/23	2016/01/28	36.00
24226	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Jan 2016E	150,000,000	2015/07/23	2016/01/28	29.25
24229	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Mar 2016B	150,000,000	2015/07/23	2016/03/30	24.75
24233	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Feb16D	150,000,000	2015/07/23	2016/02/26	22.50
24232	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Mar16C	150,000,000	2015/07/23	2016/03/30	22.50
24243	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Feb 2016 B	80,000,000	2015/07/23	2016/02/03	33.60
24245	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 16 A	500,000,000	2015/07/23	2016/02/03	77.50
24244	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jul 16 C	500,000,000	2015/07/23	2016/07/06	125.00
24271	BNP Paribas Arbit Issu B.V. - CHALCO European Wts Feb 2016 A	50,000,000	2015/07/24	2016/02/02	25.50
24270	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts Feb 2016 A	50,000,000	2015/07/24	2016/02/02	17.00
24273	Credit Suisse AG - Lenovo European Warrants Jun 2016 B	120,000,000	2015/07/24	2016/06/29	18.00
24275	Goldman Sachs SP (Asia) - AIA European Warrants Feb 2016 A	80,000,000	2015/07/24	2016/02/02	20.00
24274	Goldman Sachs SP (Asia) - China Cinda Euro Wts Jan 2016 B	80,000,000	2015/07/24	2016/01/28	33.60
24276	Goldman Sachs SP (Asia) - Guotai Junani European Wts Sep16 A	100,000,000	2015/07/24	2016/09/29	18.60
24268	Macquarie Bank Ltd. - HKEx European Warrants Jan 2016 E	55,000,000	2015/07/24	2016/01/05	10.01
24248	Macquarie Bank Ltd. - Yanzhou Coal European Wts Jan 2018 A	40,000,000	2015/07/24	2018/01/03	10.00
24269	Standard Chartered Bank - BYD European Warrants Feb 2018 A	50,000,000	2015/07/24	2018/02/26	12.50
24254	Standard Chartered Bank - China Coal European Wts Jul 2016 A	60,000,000	2015/07/24	2016/07/11	15.00
24258	Standard Chartered Bank - CRCC European Warrants Mar 2016 A	50,000,000	2015/07/24	2016/03/29	12.50
24253	Standard Chartered Bank - China Shenhua Euro Wts Apr 2016 A	80,000,000	2015/07/24	2016/04/22	12.00
24259	Standard Chartered Bank - YZ Coal European Wts Sep 2016 A	60,000,000	2015/07/24	2016/09/05	15.00
24255	Standard Chartered Bank - Zijin Mining Euro Wts Aug 2016 A	50,000,000	2015/07/24	2016/08/01	12.50
24250	SGA Societe Generale Acceptance N.V. - HS H-SH Eu Put Wt Feb16D	50,000,000	2015/07/24	2016/02/03	18.25
24252	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan 16 J	150,000,000	2015/07/24	2016/01/28	24.00
24249	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Feb16D	60,000,000	2015/07/24	2016/02/03	37.80
24260	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 16 B	60,000,000	2015/07/24	2016/02/03	100.80
24265	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Feb 16 C	60,000,000	2015/07/24	2016/02/03	68.40
24287	Credit Suisse AG - AIA European Warrants Dec 2015 B	80,000,000	2015/07/27	2015/12/31	25.20
24291	Goldman Sachs SP (Asia) - CGS European Warrants Apr 2016 A	80,000,000	2015/07/27	2016/04/15	20.00
24292	Goldman Sachs SP (Asia) - Ch Overseas European Wts Mar 2016 A	60,000,000	2015/07/27	2016/03/30	19.86
24281	HK Bank - CITIC Bank European Warrants Jan 2016 A	50,000,000	2015/07/27	2016/01/29	20.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24282	HK Bank - Greatwall Motor European Warrants Feb 2016 A	50,000,000	2015/07/27	2016/02/29	15.00
24285	HK Bank - HSCEI European Put Warrants Jan 2016 C	180,000,000	2015/07/27	2016/01/28	27.00
24278	HK Bank - Link REIT European Warrants Jan 2016 A	80,000,000	2015/07/27	2016/01/29	20.00
24277	HK Bank - PetroChina European Warrants Oct 2015 B	100,000,000	2015/07/27	2015/10/30	15.00
24286	Macquarie Bank Ltd. - CC Bank European Warrants Mar 2016 A	24,000,000	2015/07/27	2016/03/02	10.03
24290	Macquarie Bank Ltd. - CKH European Warrants Feb 2016 B	10,000,000	2015/07/27	2016/02/02	10.06
24288	Macquarie Bank Ltd. - China Mobile European Wts Dec 2015 A	55,000,000	2015/07/27	2015/12/02	10.07
17631 #	HK Bank - CNOOC European Warrants Oct 2015 A	420,000,000	2015/07/27	2015/10/30	18.06
24305	J P Morgan SP BV - China Unicom European Warrants Oct 2016 A	100,000,000	2015/07/28	2016/10/03	25.10
24299	Macquarie Bank Ltd. - Sinopec Corp European Wts Feb 2016 A	30,000,000	2015/07/28	2016/02/02	10.08
24293	Macquarie Bank Ltd. - Guotai Junani European Warrants Oct17A	40,000,000	2015/07/28	2017/10/03	10.00
24298	Standard Chartered Bank - CGS European Warrants May 2016 A	80,000,000	2015/07/28	2016/05/23	20.00
24294	Standard Chartered Bank - Evergrande European Wts Jul 2016 A	50,000,000	2015/07/28	2016/07/18	21.00
24297	Standard Chartered Bank - SPDR Gold Trust Euro Wt Jul 2016 A	50,000,000	2015/07/28	2016/07/28	36.50
24304	UBS AG - CITIC Sec European Warrants Jan 2016 B	50,000,000	2015/07/28	2016/01/27	18.50
24301	UBS AG - China Unicom European Warrants Feb 2017 A	100,000,000	2015/07/28	2017/02/13	25.00
10349	BNP Paribas Arbit Issu B.V. - Gold European Wts Jun 2016 A	100,000,000	2015/07/29	2016/06/16	25.00
10350	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Jun 16 B	100,000,000	2015/07/29	2016/06/16	25.00
10351	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt May 2016 A	100,000,000	2015/07/29	2016/05/18	25.00
10352	BNP Paribas Arbit Issu B.V. - Oil Futures Eu Put Wt May 16 B	100,000,000	2015/07/29	2016/05/18	25.00
24318	HK Bank - CHALCO European Warrants Jan 2016 A	40,000,000	2015/07/29	2016/01/29	10.00
24311	HK Bank - HSI European Warrants Jan 2016 F	250,000,000	2015/07/29	2016/01/28	62.50
24310	HK Bank - HSI European Put Warrants Jan 2016 E	250,000,000	2015/07/29	2016/01/28	62.50
24320	HK Bank - HSI European Put Warrants Jan 2016 G	250,000,000	2015/07/29	2016/01/28	62.50
24317	HK Bank - Zijin Mining European Warrants Feb 2016 A	40,000,000	2015/07/29	2016/02/29	10.00
24306	Macquarie Bank Ltd. - Tencent European Warrants Feb 2016 A	15,000,000	2015/07/29	2016/02/02	10.02
24309	Macquarie Bank Ltd. - Tencent European Warrants Feb 2016 B	12,000,000	2015/07/29	2016/02/02	10.04
24321	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Jan16B	80,000,000	2015/07/29	2016/01/06	22.80
24322	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Oct16 A	100,000,000	2015/07/29	2016/10/05	25.00
24326	BOCI Asia Ltd. - AIA European Warrants Feb 2016 A	100,000,000	2015/07/30	2016/02/15	38.00
24325	BOCI Asia Ltd. - Sinopec Corp European Warrants Feb 2016 A	100,000,000	2015/07/30	2016/02/05	31.00
24334	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Feb16A	40,000,000	2015/07/30	2016/02/02	14.00
24335	BNP Paribas Arbit Issu B.V. - BYD European Wts Feb 2016 A	40,000,000	2015/07/30	2016/02/02	20.40
24332	BNP Paribas Arbit Issu B.V. - CM Bank Euro Warrants Feb 2016 A	50,000,000	2015/07/30	2016/02/02	14.00
24333	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Feb 2016 A	50,000,000	2015/07/30	2016/02/02	12.50
24331	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Jan 2016 B	30,000,000	2015/07/30	2016/01/05	12.00
24324	BNP Paribas Arbit Issu B.V. - SPDR Gold Trust Euro Wt Feb16A	22,000,000	2015/07/30	2016/02/02	10.12
24355	J P Morgan SP BV - HSI European Put Warrants Jan 2016 J	300,000,000	2015/07/30	2016/01/28	45.30
24356	J P Morgan SP BV - Sands China European Put Wts Apr 2016 A	100,000,000	2015/07/30	2016/04/05	35.80
24351	J P Morgan SP BV - SPDR Gold Trust European Wts Feb 2016 A	50,000,000	2015/07/30	2016/02/23	25.45
24353	J P Morgan SP BV - SPDR Gold Trust European Wts Mar 2016 A	50,000,000	2015/07/30	2016/03/22	15.00
24323	Macquarie Bank Ltd. - Tencent European Put Wts Feb 2016 C	15,000,000	2015/07/30	2016/02/02	10.04
24337	Standard Chartered Bank - CTel European Warrants Sep 2016 A	60,000,000	2015/07/30	2016/09/26	30.00
24338	Standard Chartered Bank - China Unicom Euro Wts Mar 2016 A	60,000,000	2015/07/30	2016/03/29	39.00

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		發行單位	上市日期	到期日	
24346	SGA Societe Generale Acceptance NV - Ch Ship Cont Eu Wt Aug16A	30,000,000	2015/07/30	2016/08/03	20.40
24328	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Feb16G	200,000,000	2015/07/30	2016/02/03	30.00
24330	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Feb16 B	100,000,000	2015/07/30	2016/02/03	25.00
24339	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jan 2016 K	150,000,000	2015/07/30	2016/01/28	24.75
24340	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Feb 2016 B	150,000,000	2015/07/30	2016/02/26	22.50
24350	UBS AG - PetroChina European Warrants Dec 2015 B	50,000,000	2015/07/30	2015/12/23	17.50
24365	Credit Suisse AG - AIA European Warrants Feb 2016 A	80,000,000	2015/07/31	2016/02/01	20.00
24363	Goldman Sachs SP (Asia) - China Life Euro Wts Jan 2016 C	80,000,000	2015/07/31	2016/01/20	19.20
24360	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Feb 2016 A	80,000,000	2015/07/31	2016/02/02	36.24
24364	Goldman Sachs SP (Asia) - HKEx European Warrants Feb 2016 A	200,000,000	2015/07/31	2016/02/01	56.20
24359	Goldman Sachs Sp (Asia) - ICBC European Warrants Jan 2016 B	80,000,000	2015/07/31	2016/01/26	29.36
24361	Goldman Sachs SP (Asia) - PetroChina European Wts Feb 2016 A	80,000,000	2015/07/31	2016/02/01	41.76
24358	HK Bank - AIA European Warrants Feb 2016 A	80,000,000	2015/07/31	2016/02/01	20.00
24357	HK Bank - Sands China European Warrants Feb 2016 A	80,000,000	2015/07/31	2016/02/01	20.80
24379	BNP Paribas Arbit Issu B.V. - CKH European Wts Jan 2016 A	40,000,000	2015/08/03	2016/01/05	18.00
24378	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Mar 2016 A	40,000,000	2015/08/03	2016/03/02	24.80
24373	Credit Suisse AG - HKEx European Warrants Feb 2016 B	100,000,000	2015/08/03	2016/02/02	25.00
24439	Goldman Sachs SP (Asia) - HKEx European Warrants Feb 2016 B	250,000,000	2015/08/03	2016/02/05	40.00
24438	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2016 C	300,000,000	2015/08/03	2016/02/02	45.00
24431	J P Morgan SP BV - AIA European Warrants Feb 2016 A	100,000,000	2015/08/03	2016/02/02	44.90
24417	J P Morgan SP BV - BOCL European Warrants Feb 2016 A	100,000,000	2015/08/03	2016/02/02	40.20
24418	J P Morgan SP BV - China Life European Warrants Feb 2016 B	100,000,000	2015/08/03	2016/02/02	25.10
24421	J P Morgan SP BV - Sinopec Corp European Warrants Mar 2016 A	100,000,000	2015/08/03	2016/03/02	39.40
24428	J P Morgan SP BV - HSCEI European Wts Jan 2016 F	200,000,000	2015/08/03	2016/01/28	42.40
24437	J P Morgan SP BV - HSCEI European Put Warrants Jan 2016 G	200,000,000	2015/08/03	2016/01/28	32.00
24412	J P Morgan SP BV - HSI European Warrants Feb 2016 F	300,000,000	2015/08/03	2016/02/26	75.00
24413	J P Morgan SP BV - HSI European Warrants Mar 2016 C	300,000,000	2015/08/03	2016/03/30	76.50
24426	J P Morgan SP BV - HSI European Put Warrants Jan 2016 K	300,000,000	2015/08/03	2016/01/28	49.80
24414	J P Morgan SP BV - ICBC European Warrants Nov 2015 B	100,000,000	2015/08/03	2015/11/04	15.10
24420	J P Morgan SP BV - PetroChina European Warrants Feb 2016 B	100,000,000	2015/08/03	2016/02/02	44.80
24397	Macquarie Bank Ltd. - BOCL European Warrants Mar 2016 B	25,000,000	2015/08/03	2016/03/02	10.00
24393	Macquarie Bank Ltd. - CITIC Sec European Warrants Apr 2016 A	25,000,000	2015/08/03	2016/04/05	10.18
24400	Macquarie Bank Ltd. - China Life European Wts Mar 2016 B	40,000,000	2015/08/03	2016/03/02	10.00
24395	Macquarie Bank Ltd. - China Mobile European Put Wts Mar16A	35,000,000	2015/08/03	2016/03/02	10.08
24384	Macquarie Bank Ltd. - HKEx European Put Warrants Jul 2016 D	40,000,000	2015/08/03	2016/07/05	10.00
24407	Macquarie Bank Ltd. - Haitong Sec European Wts May 2016 B	30,000,000	2015/08/03	2016/05/04	10.08
24366	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Mar16A	50,000,000	2015/08/03	2016/03/03	25.00
24368	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Apr16A	50,000,000	2015/08/03	2016/04/06	14.50
24372	SGA Societe Generale Acceptance N.V. - HS H-SH Eu Put Wt Mar16B	50,000,000	2015/08/03	2016/03/03	47.00
24375	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar 16 A	120,000,000	2015/08/03	2016/03/03	49.80
24409	UBS AG - HSI European Warrants Nov 2015 H	300,000,000	2015/08/03	2015/11/27	48.00
24443	Credit Suisse AG - Tencent Holdings European Wts Feb 2016 E	80,000,000	2015/08/04	2016/02/04	104.80
24453	Macquarie Bank Ltd. - BYD European Warrants Mar 2016 A	12,000,000	2015/08/04	2016/03/02	10.01
24446	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Jul 2016 B	40,000,000	2015/08/04	2016/07/05	10.00

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24445	Macquarie Bank Ltd. - A50 China European Warrants Apr 2016 B	65,000,000	2015/08/04	2016/04/05	10.08
24447	Macquarie Bank Ltd. - HKEx European Warrants Jul 2016 E	40,000,000	2015/08/04	2016/07/05	10.00
24450	Macquarie Bank Ltd. - HSBC European Put Warrants Mar 2016 A	20,000,000	2015/08/04	2016/03/02	10.06
24444	Macquarie Bank Ltd. - PetroChina European Wts Feb 2016 C	20,000,000	2015/08/04	2016/02/02	10.00
24465	Standard Chartered Bank - HSCEI European Warrants Jan 2016 E	100,000,000	2015/08/04	2016/01/28	15.00
24468	Standard Chartered Bank - HSCEI European Put Wts Feb 2016 B	100,000,000	2015/08/04	2016/02/26	15.00
24454	Standard Chartered Bank - HSI European Warrants Jan 2016 C	100,000,000	2015/08/04	2016/01/28	15.00
24462	Standard Chartered Bank - HSI European Put Wts Jan 2016 D	100,000,000	2015/08/04	2016/01/28	15.00
24463	Standard Chartered Bank - HSI European Put Wts Jan 2016 E	100,000,000	2015/08/04	2016/01/28	20.00
24442	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Jan16F	150,000,000	2015/08/04	2016/01/28	22.50
24497	Credit Suisse AG - HSCEI European Put Warrants Mar 2016 C	150,000,000	2015/08/05	2016/03/30	22.50
24501	Credit Suisse AG - Ping An European Warrants Feb 2016 B	80,000,000	2015/08/05	2016/02/29	25.60
24481	Goldman Sachs SP (Asia) - A50 China European Wts Feb 2016 A	80,000,000	2015/08/05	2016/02/05	65.12
24482	Goldman Sachs SP (Asia) - A50 China European Wts Jun 2016 C	80,000,000	2015/08/05	2016/06/30	12.16
24477	HK Bank - Agricultural Bank European Warrants Feb 2016 A	80,000,000	2015/08/05	2016/02/29	20.00
24475	HK Bank - CC Bank European Warrants Feb 2016 A	80,000,000	2015/08/05	2016/02/29	32.00
24473	HK Bank - China Life European Warrants Dec 2015 D	100,000,000	2015/08/05	2015/12/28	18.00
24471	HK Bank - Ping An European Warrants Feb 2016 A	100,000,000	2015/08/05	2016/02/29	40.00
24474	HK Bank - Tencent European Warrants Jan 2016 B	180,000,000	2015/08/05	2016/01/29	27.00
24505	J P Morgan SP BV - Ch Ship Cont European Warrants Apr 2016 A	60,000,000	2015/08/05	2016/04/05	24.54
24504	J P Morgan SP BV - Geely Auto European Warrants Jul 2016 A	60,000,000	2015/08/05	2016/07/05	28.44
24502	J P Morgan SP BV - MCC European Warrants Apr 2016 A	60,000,000	2015/08/05	2016/04/05	34.02
24503	J P Morgan SP BV - New World Dev European Wts May 2016 A	50,000,000	2015/08/05	2016/05/04	35.35
24500	Macquarie Bank Ltd. - Greatwall Motor European Wts Mar 2016 A	30,000,000	2015/08/05	2016/03/02	10.02
24483	Standard Chartered Bank - Sinopec Corp Euro Wts Aug 2016 A	80,000,000	2015/08/05	2016/08/01	20.00
24488	Standard Chartered Bank - Galaxy Ent European Wts Feb 2016 B	80,000,000	2015/08/05	2016/02/05	12.00
24487	Standard Chartered Bank - Sands China Euro Wts Jan 2016 C	80,000,000	2015/08/05	2016/01/04	12.00
24492	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Mar16C	50,000,000	2015/08/05	2016/03/03	47.00
24510	HK Bank - CAM CSI300 European Warrants Feb 2016 A	60,000,000	2015/08/06	2016/02/29	22.80
24509	HK Bank - China Unicom European Warrants Sep 2016 A	100,000,000	2015/08/06	2016/09/30	15.00
24508	HK Bank - China Unicom European Warrants Feb 2017 A	100,000,000	2015/08/06	2017/02/06	15.00
24507	HK Bank - HKEx European Warrants Jan 2016 A	180,000,000	2015/08/06	2016/01/26	27.00
24506	HK Bank - Lenovo European Warrants Dec 2016 A	100,000,000	2015/08/06	2016/12/29	15.00
24512	Macquarie Bank Ltd. - China Life European Wts Dec 2015 B	45,000,000	2015/08/06	2015/12/02	10.04
24529	Macquarie Bank Ltd. - CNBM European Warrants Mar 2016 A	15,000,000	2015/08/06	2016/03/02	10.02
24536	Macquarie Bank Ltd. - Sinopec Corp European Wts Jan 2016 C	40,000,000	2015/08/06	2016/01/05	10.20
24531	Macquarie Bank Ltd. - Ping An European Warrants Jan 2016 A	25,000,000	2015/08/06	2016/01/05	10.00
24535	Macquarie Bank Ltd. - Sands China European Wts Dec 2015 A	52,000,000	2015/08/06	2015/12/02	10.04
24513	Standard Chartered Bank - AIA European Warrants Feb 2016 A	80,000,000	2015/08/06	2016/02/22	20.00
24520	Standard Chartered Bank - CAM CSI300 European Wts Mar 2016 A	80,000,000	2015/08/06	2016/03/31	25.60
24525	Standard Chartered Bank - CNOOC European Warrants Jun 2017 A	80,000,000	2015/08/06	2017/06/27	12.00
24518	Standard Chartered Bank - CSOP A50 ETF Euro Wts Dec 2015 C	50,000,000	2015/08/06	2015/12/28	19.50
24519	Standard Chartered Bank - A50 China Euro Warrants Mar 2016 C	80,000,000	2015/08/06	2016/03/21	12.00
24522	Standard Chartered Bank - HS H-SHARE European Wts Mar 2016 A	80,000,000	2015/08/06	2016/03/18	40.00

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		發行單位	上市日期	到期日	
24526	Standard Chartered Bank - Ping An European Wts Mar 2016 A	100,000,000	2015/08/06	2016/03/02	25.00
24527	Standard Chartered Bank - Tencent Hldg Euro Wts Mar 2016 A	100,000,000	2015/08/06	2016/03/02	71.00
24534	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Jan 17 A	80,000,000	2015/08/06	2017/01/05	12.00
24544	Goldman Sachs SP (Asia) - CITIC European Warrants Mar 2016 B	60,000,000	2015/08/07	2016/03/04	58.14
24545	Goldman Sachs SP (Asia) - Sands China Euro Wts Feb 2016 A	80,000,000	2015/08/07	2016/02/11	29.12
24555	J P Morgan SP BV - HKEx European Put Wts Feb 2016 D	100,000,000	2015/08/07	2016/02/02	15.50
24542	Macquarie Bank Ltd. - Anhui Conch European Wts Feb 2016 B	51,000,000	2015/08/07	2016/02/02	10.10
24546	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants May 2016 A	20,000,000	2015/08/07	2016/05/04	10.00
24554	Macquarie Bank Ltd. - China Shenhua European Wts Apr 2016 A	40,000,000	2015/08/07	2016/04/05	10.00
24540	Macquarie Bank Ltd. - HSBC European Warrants Dec 2015 A	30,000,000	2015/08/07	2015/12/07	10.14
24547	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Feb 2016E	150,000,000	2015/08/07	2016/02/26	33.00
24548	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Apr16A	150,000,000	2015/08/07	2016/04/28	37.50
24551	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2016 B	150,000,000	2015/08/07	2016/03/30	23.25
24552	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2016 C	150,000,000	2015/08/07	2016/03/30	24.00
24553	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan 16 L	150,000,000	2015/08/07	2016/01/28	26.25
24541	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jul16D	400,000,000	2015/08/07	2016/07/06	68.00
24588	Credit Suisse AG - Ping An European Warrants Feb 2016 C	80,000,000	2015/08/10	2016/02/29	34.40
24598	Goldman Sachs SP (Asia) - HSCEI European Warrants Jan 2016 B	150,000,000	2015/08/10	2016/01/28	26.85
24590	Goldman Sachs SP (Asia) - HSCEI European Put Wts Mar 2016 A	150,000,000	2015/08/10	2016/03/30	24.60
24600	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2016 C	150,000,000	2015/08/10	2016/01/28	24.60
24559	HK Bank - BOCL European Warrants Feb 2016 A	80,000,000	2015/08/10	2016/02/29	24.00
24556	HK Bank - China Shenhua European Warrants Aug 2016 A	80,000,000	2015/08/10	2016/08/31	14.40
24557	HK Bank - Ping An European Warrants Feb 2016 B	80,000,000	2015/08/10	2016/02/29	20.00
24605	J P Morgan SP BV - Haitong Int'l European Warrants Mar 2016A	60,000,000	2015/08/10	2016/03/01	54.12
24602	J P Morgan SP BV - Lenovo European Warrants Dec 2016 A	70,000,000	2015/08/10	2016/12/30	10.57
24603	J P Morgan SP BV - NCI European Warrants Mar 2016 A	60,000,000	2015/08/10	2016/03/03	22.80
24583	Standard Chartered Bank - CRRC European Warrants Feb 2016 A	100,000,000	2015/08/10	2016/02/26	19.00
24578	Standard Chartered Bank - Galaxy Ent Euro Put Wts Jan 2016 B	60,000,000	2015/08/10	2016/01/26	15.00
24582	Standard Chartered Bank - Sands China Eu Put Wts Mar 2016 A	60,000,000	2015/08/10	2016/03/01	15.00
24586	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Jun 2016 A	18,000,000	2015/08/10	2016/06/03	10.08
24562	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt Mar16A	80,000,000	2015/08/10	2016/03/03	48.80
24560	SGA Societe Generale Acceptance N.V. - HS H-SH Eu Put Wt May16A	50,000,000	2015/08/10	2016/05/05	51.50
24563	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Mar16B	120,000,000	2015/08/10	2016/03/03	30.00
24561	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Apr16A	80,000,000	2015/08/10	2016/04/06	36.40
24585	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Jun16A	16,000,000	2015/08/10	2016/06/03	10.08
24566	UBS AG - PetroChina European Warrants Jan 2016 A	50,000,000	2015/08/10	2016/01/26	19.50
24577	UBS AG - Ping An European Put Warrants Feb 2016 A	70,000,000	2015/08/10	2016/02/11	21.00
15898 #	Credit Suisse AG - Tencent Holdings European Wts Dec 2015 A	120,000,000	2015/08/10	2015/12/14	11.76
24637	Bank of East Asia - China Life European Warrants Jun 2016 A	40,000,000	2015/08/11	2016/06/30	10.00
24635	Bank of East Asia - Foxconn European Warrants Apr 2016 A	36,000,000	2015/08/11	2016/04/01	10.08
24633	Bank of East Asia - Galaxy Ent European Warrants Apr 2016 A	40,000,000	2015/08/11	2016/04/01	10.00
24627	Bank of East Asia - Great Wall Motor European Wts Feb 2016 A	40,000,000	2015/08/11	2016/02/16	10.00
24638	Bank of East Asia - HKEx European Warrants Jun 2016 A	40,000,000	2015/08/11	2016/06/08	10.00
24609	HK Bank - AIA European Warrants Feb 2016 B	80,000,000	2015/08/11	2016/02/29	28.00

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		發行單位	上市日期	到期日	
24612	HK Bank - CITIC Sec European Warrants Feb 2016 A	80,000,000	2015/08/11	2016/02/29	24.00
24616	HK Bank - China Life European Warrants Feb 2016 A	100,000,000	2015/08/11	2016/02/29	25.00
24610	HK Bank - Ch Ship Cont European Warrants Feb 2016 A	60,000,000	2015/08/11	2016/02/29	21.00
24611	HK Bank - GCL-Poly Energy European Warrants Feb 2016 A	60,000,000	2015/08/11	2016/02/29	15.00
24608	HK Bank - Galaxy Ent European Put Warrants Feb 2016 A	60,000,000	2015/08/11	2016/02/29	15.00
24626	HK Bank - HSCEI European Put Warrants Mar 2016 A	180,000,000	2015/08/11	2016/03/30	27.00
24613	HK Bank - PetroChina European Warrants Feb 2016 A	80,000,000	2015/08/11	2016/02/29	36.00
24617	HK Bank - PetroChina European Warrants Jul 2018 A	180,000,000	2015/08/11	2018/07/03	27.00
24606	Macquarie Bank Ltd. - CNOOC European Warrants Mar 2016 B	15,000,000	2015/08/11	2016/03/02	10.01
24607	Macquarie Bank Ltd. - NCI European Warrants Mar 2016 B	25,000,000	2015/08/11	2016/03/02	10.00
24640	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Jun 2016 A	26,000,000	2015/08/12	2016/06/02	10.01
24648	Macquarie Bank Ltd. - ICBC European Warrants Mar 2016 A	40,000,000	2015/08/12	2016/03/02	10.00
24642	Standard Chartered Bank - Angang Steel European Wts Mar 16 A	50,000,000	2015/08/12	2016/03/07	12.50
24647	Standard Chartered Bank - CGS European Warrants Apr 2016 A	80,000,000	2015/08/12	2016/04/22	12.00
24645	Standard Chartered Bank - Haitong Sec Euro Wts Mar 2016 A	80,000,000	2015/08/12	2016/03/14	20.00
24650	HK Bank - Geely Auto European Warrants Feb 2016 A	50,000,000	2015/08/13	2016/02/29	12.50
24649	HK Bank - Greatwall Motor European Warrants Jun 2016 A	60,000,000	2015/08/13	2016/06/30	15.00
24656	J P Morgan SP BV - CAM CSI300 European Warrants Jan 2016 B	100,000,000	2015/08/13	2016/01/04	15.40
24658	J P Morgan SP BV - ICBC European Warrants Mar 2016 A	100,000,000	2015/08/13	2016/03/01	35.50
24653	Macquarie Bank Ltd. - CEB Bank European Warrants Apr 2016 A	20,000,000	2015/08/13	2016/04/05	10.12
24651	Macquarie Bank Ltd. - Foxconn European Warrants Jun 2016 A	14,000,000	2015/08/13	2016/06/02	10.02
24655	Standard Chartered Bank - China Overseas Euro Wt Feb 2016 A	100,000,000	2015/08/13	2016/02/23	18.00
24654	Standard Chartered Bank - Ping An European Wts Feb 2016 A	100,000,000	2015/08/13	2016/02/15	25.00
24661	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2015 G	150,000,000	2015/08/14	2015/12/30	22.50
24660	J P Morgan SP BV - Ping An European Put Warrants Feb 2016 E	100,000,000	2015/08/14	2016/02/01	59.90
24659	Macquarie Bank Ltd. - Lenovo European Warrants Mar 2016 A	10,000,000	2015/08/14	2016/03/02	10.01
24664	Credit Suisse AG - Sands China European Warrants Feb 2016 A	80,000,000	2015/08/17	2016/02/29	20.00
24666	Credit Suisse AG - Sands China European Put Wts Feb 2016 B	70,000,000	2015/08/17	2016/02/29	21.00
24673	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2016 A	80,000,000	2015/08/17	2016/03/04	30.16
24672	J P Morgan SP BV - CC Bank European Wts Mar 2016 A	150,000,000	2015/08/17	2016/03/01	37.65
24671	J P Morgan SP BV - Sands China European Warrants Mar 2016 A	120,000,000	2015/08/17	2016/03/02	30.24
19194 #	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2015 B	150,000,000	2015/08/17	2015/12/14	10.35
24681	Credit Suisse AG - HSBC European Wts Feb 2016 A	80,000,000	2015/08/18	2016/02/29	20.80
24678	Macquarie Bank Ltd. - Ch Southern Air Euro Wts Feb 2017 A	40,000,000	2015/08/18	2017/02/02	10.00
24676	Macquarie Bank Ltd. - Sands China European Wts Mar 2016 B	40,000,000	2015/08/18	2016/03/02	10.16
24677	Macquarie Bank Ltd. - Tencent European Warrants Oct 2016 B	60,000,000	2015/08/18	2016/10/04	15.00
23948 #	BOCI Asia Ltd. - Tencent Holdings European Wts Feb 2016 C	120,000,000	2015/08/18	2016/02/11	10.68
24710	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Mar 2016 A	60,000,000	2015/08/19	2016/03/02	28.80
24711	BNP Paribas Arbit Issu B.V. - Ch Unicom Euro Wt Oct 2016 A	80,000,000	2015/08/19	2016/10/04	13.60
24704	BNP Paribas Arbit Issu B.V. - Ping An European Wt Mar 2016 A	60,000,000	2015/08/19	2016/03/02	18.60
24713	Bank of East Asia - CNBM European Warrants Nov 2017 A	56,000,000	2015/08/19	2017/11/29	10.08
24716	Bank of East Asia - Galaxy Ent European Put Wts Mar 2016 A	40,000,000	2015/08/19	2016/03/31	10.00
24718	Bank of East Asia - Li & Fung European Warrants Mar 2016 A	32,000,000	2015/08/19	2016/03/30	10.24
24719	Bank of East Asia - Tencent Holdings European Wts Dec 2016 A	40,000,000	2015/08/19	2016/12/28	10.00

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		發行單位	上市日期	到期日	
24717	Bank of East Asia - Yanzhou Coal European Wts Feb 2016 A	38,000,000	2015/08/19	2016/02/29	10.26
24698	J P Morgan SP BV - Agricultural Bk Euro Warrants Mar 2016 B	100,000,000	2015/08/19	2016/03/02	26.40
24700	J P Morgan SP BV - CC Bank European Wts Mar 2016 B	100,000,000	2015/08/19	2016/03/01	35.20
24701	J P Morgan SP BV - CITIC Bank European Warrants Mar 2016 A	100,000,000	2015/08/19	2016/03/02	31.30
24689	J P Morgan SP BV - HKEx European Warrants Apr 2016 B	300,000,000	2015/08/19	2016/04/01	77.10
24699	J P Morgan SP BV - HSCEI European Put Warrants Mar 2016 C	200,000,000	2015/08/19	2016/03/30	30.20
24696	J P Morgan SP BV - HSI European Warrants Dec 2015 F	300,000,000	2015/08/19	2015/12/30	53.10
24692	J P Morgan SP BV - China Mengniu European Wts Apr 2016 A	50,000,000	2015/08/19	2016/04/05	14.00
24693	J P Morgan SP BV - Ping An European Warrants Apr 2016 A	100,000,000	2015/08/19	2016/04/01	25.90
24702	J P Morgan SP BV - Tencent European Warrants Jun 2016 A	300,000,000	2015/08/19	2016/06/30	46.50
24682	Macquarie Bank Ltd. - CC Bank European Warrants Mar 2016 B	24,000,000	2015/08/19	2016/03/02	10.06
24683	Macquarie Bank Ltd. - CITIC Bank European Wts Mar 2016 A	20,000,000	2015/08/19	2016/03/02	10.14
24685	Macquarie Bank Ltd. - HKEx European Warrants Dec 2015 D	45,000,000	2015/08/19	2015/12/02	10.04
24687	Standard Chartered Bank - HSBC European Warrants Jan 2016 B	80,000,000	2015/08/19	2016/01/04	19.20
24686	Standard Chartered Bank - Techtronic Ind Euro Wts Feb 2016 A	50,000,000	2015/08/19	2016/02/29	12.50
24756	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Mar 2016 A	40,000,000	2015/08/20	2016/03/02	18.80
24752	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Jul 2016 B	100,000,000	2015/08/20	2016/07/05	15.00
24753	BNP Paribas Arbit Issu B.V. - KECL European Wts Mar 2016 A	20,000,000	2015/08/20	2016/03/02	10.80
24778	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Feb 2016 A	80,000,000	2015/08/20	2016/02/26	70.40
24720	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2016 D	150,000,000	2015/08/20	2016/01/28	23.55
24775	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2016 A	80,000,000	2015/08/20	2016/02/26	24.48
24777	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2016 B	80,000,000	2015/08/20	2016/02/26	34.72
24736	HK Bank - China Mobile European Warrants Feb 2016 A	80,000,000	2015/08/20	2016/02/29	24.00
24723	HK Bank - China Overseas European Warrants Feb 2016 A	80,000,000	2015/08/20	2016/02/29	20.00
24733	HK Bank - HSCEI European Warrants Jan 2016 D	180,000,000	2015/08/20	2016/01/28	27.00
24735	HK Bank - HSCEI European Warrants Feb 2016 A	180,000,000	2015/08/20	2016/02/26	45.00
24727	HK Bank - HSI European Warrants Jan 2016 I	180,000,000	2015/08/20	2016/01/28	27.00
24729	HK Bank - HSI European Warrants Jan 2016 J	180,000,000	2015/08/20	2016/01/28	27.00
24731	HK Bank - HSI European Warrants Feb 2016 B	180,000,000	2015/08/20	2016/02/26	45.00
24725	HK Bank - HSI European Put Warrants Jan 2016 H	180,000,000	2015/08/20	2016/01/28	27.00
24724	HK Bank - HSI European Put Warrants Feb 2016 A	180,000,000	2015/08/20	2016/02/26	45.00
24737	HK Bank - Yanzhou Coal European Warrants Dec 2017 A	80,000,000	2015/08/20	2017/12/22	14.40
24759	J P Morgan SP BV - Ch Oilfield Euro Wts Mar 2016 A	80,000,000	2015/08/20	2016/03/09	12.00
24772	J P Morgan SP BV - Greatwall Motor European Wts Jun 2016 A	80,000,000	2015/08/20	2016/06/01	20.08
24765	J P Morgan SP BV - Techtronic European Warrants Apr 2016 A	40,000,000	2015/08/20	2016/04/01	10.40
24742	Macquarie Bank Ltd. - HKEx European Warrants Jan 2016 F	67,000,000	2015/08/20	2016/01/04	10.05
24738	Macquarie Bank Ltd. - HKEx European Put Warrants Mar 2016 B	40,000,000	2015/08/20	2016/03/02	10.00
24722	Macquarie Bank Ltd. - Tencent European Warrants Feb 2016 D	12,000,000	2015/08/20	2016/02/02	10.01
24744	UBS AG - CKP European Warrants Jan 2016 B	50,000,000	2015/08/20	2016/01/25	16.75
24745	UBS AG - Lenovo European Warrants Feb 2016 A	15,000,000	2015/08/20	2016/02/22	15.30
24743	UBS AG - Tencent Holdings European Warrants Jan 2016 B	500,000,000	2015/08/20	2016/01/26	75.00
15627 #	Credit Suisse AG - HKEx European Warrants Dec 2015 B	100,000,000	2015/08/20	2015/12/21	10.60
24791	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Mar 2016 A	60,000,000	2015/08/21	2016/03/31	34.44
24792	Goldman Sachs SP (Asia) - PetroChina European Wts Mar 2016 A	60,000,000	2015/08/21	2016/03/04	34.98

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24788	Goldman Sachs SP (Asia) - Sands China Eur Put Wts Mar 2016 A	60,000,000	2015/08/21	2016/03/31	31.74
24779	HK Bank - Lenovo European Warrants Dec 2016 B	100,000,000	2015/08/21	2016/12/12	20.00
24782	HK Bank - Lenovo European Warrants Dec 2017 A	100,000,000	2015/08/21	2017/12/29	25.00
24783	J P Morgan SP BV - Lenovo European Warrants Dec 2016 B	150,000,000	2015/08/21	2016/12/12	22.65
24781	Macquarie Bank Ltd. - PetroChina European Wts Apr 2016 A	15,000,000	2015/08/21	2016/04/05	10.02
24796	BOCI Asia Ltd. - HKEx European Warrants Feb 2016 A	100,000,000	2015/08/24	2016/02/15	19.00
24802	BOCI Asia Ltd. - ICBC European Warrants Mar 2016 A	100,000,000	2015/08/24	2016/03/07	36.00
24804	BOCI Asia Ltd. - Sands China European Put Wts Apr 2016 B	100,000,000	2015/08/24	2016/04/06	36.00
24797	BOCI Asia Ltd. - Tencent Holdings European Wts Jul 2016 C	100,000,000	2015/08/24	2016/07/11	19.00
24935	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Mar 2016 A	60,000,000	2015/08/24	2016/03/02	22.80
24936	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Apr 2016 A	60,000,000	2015/08/24	2016/04/05	13.20
24929	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts Mar 2016 A	60,000,000	2015/08/24	2016/03/02	28.80
24939	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Dec 2016 B	100,000,000	2015/08/24	2016/12/28	16.00
24876	Credit Suisse AG - Lenovo European Warrants Feb 2016 A	70,000,000	2015/08/24	2016/02/29	42.00
24875	Credit Suisse AG - Lenovo European Warrants Dec 2016 A	130,000,000	2015/08/24	2016/12/12	22.10
24943	Bank of East Asia - BYD European Warrants Mar 2016 A	40,000,000	2015/08/24	2016/03/31	12.00
24940	Bank of East Asia - Lenovo European Warrants Dec 2016 B	62,500,000	2015/08/24	2016/12/28	10.00
24871	Bank of East Asia - Lenovo European Warrants Dec 2017 A	50,000,000	2015/08/24	2017/12/29	12.50
24923	Goldman Sachs SP (Asia) - Agri Bk European Wts Feb 2016 A	100,000,000	2015/08/24	2016/02/29	25.50
24921	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2016 B	80,000,000	2015/08/24	2016/03/04	36.08
24922	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2016 C	300,000,000	2015/08/24	2016/06/10	46.20
24822	HK Bank - Foxconn European Warrants Feb 2016 A	50,000,000	2015/08/24	2016/02/29	15.00
24814	HK Bank - Kingsoft European Warrants Feb 2016 A	60,000,000	2015/08/24	2016/02/29	18.00
24823	HK Bank - Tencent European Warrants Feb 2016 E	50,000,000	2015/08/24	2016/02/29	56.50
24820	HK Bank - Tencent European Warrants May 2016 A	180,000,000	2015/08/24	2016/05/30	27.00
24891	J P Morgan SP BV - AIA European Put Warrants Apr 2016 A	100,000,000	2015/08/24	2016/04/05	27.00
24902	J P Morgan SP BV - BEA European Warrants Jun 2016 A	70,000,000	2015/08/24	2016/06/30	10.64
24904	J P Morgan SP BV - Minsheng Bank European Wts Mar 2017 A	100,000,000	2015/08/24	2017/03/31	15.10
24890	J P Morgan SP BV - China Shenhua European Wts Apr 2016 B	100,000,000	2015/08/24	2016/04/01	17.50
24901	J P Morgan SP BV - HS H-SHARE European Warrants Dec 2017 A	200,000,000	2015/08/24	2017/12/28	31.60
24847	Macquarie Bank Ltd. - CITIC European Warrants Aug 2016 A	40,000,000	2015/08/24	2016/08/02	10.00
24851	Macquarie Bank Ltd. - Lenovo European Warrants Feb 2018 A	50,000,000	2015/08/24	2018/02/02	12.50
24849	Macquarie Bank Ltd. - China Vanke European Warrants May 16 A	40,000,000	2015/08/24	2016/05/04	10.00
24834	Standard Chartered Bank - CITIC European Warrants Feb 2016 A	80,000,000	2015/08/24	2016/02/01	12.00
24827	Standard Chartered Bank - HSI European Warrants Dec 2015 H	100,000,000	2015/08/24	2015/12/30	19.00
24829	Standard Chartered Bank - HSI European Put Wts Mar 2016 B	100,000,000	2015/08/24	2016/03/30	25.00
24846	Standard Chartered Bank - Lenovo European Wts Dec 2016 A	100,000,000	2015/08/24	2016/12/12	15.00
24856	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Apr 2016 A	100,000,000	2015/08/24	2016/04/06	31.50
24857	SGA Societe Generale Acceptance N.V. - BOCom Eu Wt Jun 2016A	12,000,000	2015/08/24	2016/06/03	10.44
24862	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Mar 2016 A	100,000,000	2015/08/24	2016/03/03	55.00
24860	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt May 16 A	15,000,000	2015/08/24	2016/05/05	10.20
24864	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 16 B	80,000,000	2015/08/24	2016/03/03	31.20
24865	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Mar 2016 B	100,000,000	2015/08/24	2016/03/03	25.50
24809	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Mar 2016D	150,000,000	2015/08/24	2016/03/30	37.50

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24805	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Jan18A	300,000,000	2015/08/24	2018/01/05	46.50
24812	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Feb 2016 C	150,000,000	2015/08/24	2016/02/26	37.50
24867	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Mar 2016 A	100,000,000	2015/08/24	2016/03/03	41.50
24807	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar 16 C	120,000,000	2015/08/24	2016/03/03	51.60
24806	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Apr 16 A	120,000,000	2015/08/24	2016/04/06	84.00
24808	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Apr 16 B	120,000,000	2015/08/24	2016/04/06	30.00
24886	UBS AG - Sinopec Corp European Warrants Jan 2016 B	70,000,000	2015/08/24	2016/01/25	19.95
24885	UBS AG - China Tel European Warrants Jan 2016 A	40,000,000	2015/08/24	2016/01/22	11.00
24884	UBS AG - China Unicom European Warrants Sep 2016 A	100,000,000	2015/08/24	2016/09/26	15.00
24882	UBS AG - Ping An European Warrants Feb 2016 B	150,000,000	2015/08/24	2016/02/22	63.00
24965	Credit Suisse AG - Link REIT European Warrants Feb 2016 A	80,000,000	2015/08/25	2016/02/29	20.00
25022	Goldman Sachs SP (Asia) - BOCL European Warrants Mar 2016 A	80,000,000	2015/08/25	2016/03/31	29.84
25020	Goldman Sachs SP (Asia) - CC Bank European Wts Mar 2016 A	80,000,000	2015/08/25	2016/03/31	43.20
25019	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2015 H	100,000,000	2015/08/25	2015/12/30	15.30
25023	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2016 C	80,000,000	2015/08/25	2016/02/26	36.32
24950	HK Bank - Sinopec Corp European Warrants Feb 2016 A	80,000,000	2015/08/25	2016/02/29	32.00
24951	HK Bank - Galaxy Ent European Warrants Feb 2016 B	60,000,000	2015/08/25	2016/02/29	24.00
24949	HK Bank - HKEx European Warrants Jan 2016 B	180,000,000	2015/08/25	2016/01/29	28.80
24953	HK Bank - Ping An European Warrants Feb 2016 C	80,000,000	2015/08/25	2016/02/29	32.00
25016	J P Morgan SP BV - BankComm European Warrants Apr 2016 A	100,000,000	2015/08/25	2016/04/01	48.50
24973	J P Morgan SP BV - China Life European Warrants Mar 2016 B	100,000,000	2015/08/25	2016/03/02	25.00
25014	J P Morgan SP BV - HSBC European Warrants Feb 2016 C	100,000,000	2015/08/25	2016/02/02	35.70
24968	J P Morgan SP BV - HSCEI European Wts Mar 2016 D	200,000,000	2015/08/25	2016/03/30	50.00
24960	Macquarie Bank Ltd. - BankComm European Warrants Mar 2016 A	15,000,000	2015/08/25	2016/03/02	10.01
24964	Macquarie Bank Ltd. - China Res Gas European Wts Mar 2016 A	30,000,000	2015/08/25	2016/03/02	10.02
24963	Macquarie Bank Ltd. - SHK Ppt European Warrants Apr 2016 A	19,000,000	2015/08/25	2016/04/05	10.36
24961	Macquarie Bank Ltd. - Tencent European Warrants Mar 2016 A	12,000,000	2015/08/25	2016/03/02	10.01
24962	Macquarie Bank Ltd. - Tencent European Warrants Mar 2016 B	16,000,000	2015/08/25	2016/03/02	10.00
24975	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Mar16D	300,000,000	2015/08/25	2016/03/03	45.00
24977	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jul16B	300,000,000	2015/08/25	2016/07/06	45.00
24979	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2016E	300,000,000	2015/08/25	2016/04/06	45.00
24982	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Dec 2016B	300,000,000	2015/08/25	2016/12/05	75.00
25007	UBS AG - AIA European Warrants Jan 2016 A	100,000,000	2015/08/25	2016/01/26	26.50
25011	UBS AG - CAM CSI300 European Warrants Jan 2016 B	40,000,000	2015/08/25	2016/01/27	22.00
25000	UBS AG - CC Bank European Warrants Jan 2016 D	100,000,000	2015/08/25	2016/01/29	24.00
25009	UBS AG - ICBC European Warrants Jan 2016 B	100,000,000	2015/08/25	2016/01/21	16.00
25044	BOCI Asia Ltd. - Anhui Conch European Warrants Mar 2016 A	100,000,000	2015/08/26	2016/03/29	26.00
25049	BOCI Asia Ltd. - BOC HK European Warrants Dec 2016 A	100,000,000	2015/08/26	2016/12/19	30.00
25052	BOCI Asia Ltd. - BOCL European Warrants Feb 2016 A	100,000,000	2015/08/26	2016/02/11	21.00
25046	BOCI Asia Ltd. - CC Bank European Warrants Mar 2016 A	100,000,000	2015/08/26	2016/03/07	44.00
25048	BOCI Asia Ltd. - CKP European Warrants Feb 2016 A	100,000,000	2015/08/26	2016/02/01	29.00
25051	BOCI Asia Ltd. - China Life European Warrants Feb 2016 A	100,000,000	2015/08/26	2016/02/29	28.00
25047	BOCI Asia Ltd. - Lenovo European Warrants Dec 2016 A	100,000,000	2015/08/26	2016/12/12	15.00
25042	BOCI Asia Ltd. - PetroChina European Warrants Mar 2016 A	100,000,000	2015/08/26	2016/03/03	40.00

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		發行單位	上市日期	到期日	
25068	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Mar 2016 A	50,000,000	2015/08/26	2016/03/02	14.50
25072	BNP Paribas Arbit Issu B.V. - BOCL European Wts Mar 2016 A	50,000,000	2015/08/26	2016/03/02	20.50
25075	BNP Paribas Arbit Issu B.V. - CKP European Warrants Mar 16 A	40,000,000	2015/08/26	2016/03/02	12.40
25067	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Feb 2016 A	50,000,000	2015/08/26	2016/02/02	15.50
25069	BNP Paribas Arbit Issu B.V. - Ping An European Wt Mar 2016 B	50,000,000	2015/08/26	2016/03/02	12.50
25087	Credit Suisse AG - AIA European Warrants Jan 2016 B	80,000,000	2015/08/26	2016/01/29	18.40
25089	Credit Suisse AG - BOCL European Warrants Jan 2016 B	70,000,000	2015/08/26	2016/01/29	11.90
25091	Credit Suisse AG - Sinopec Corp European Warrants Jan 2016 A	70,000,000	2015/08/26	2016/01/29	13.30
25088	Credit Suisse AG - ChiUnicom European Warrants Sep 2016 B	70,000,000	2015/08/26	2016/09/30	12.60
25086	Credit Suisse AG - Fosun Intl European Warrants Jan 2016 B	70,000,000	2015/08/26	2016/01/29	10.50
25085	Credit Suisse AG - HSBC European Wts Jan 2016 D	80,000,000	2015/08/26	2016/01/29	30.40
25084	Credit Suisse AG - HSCEI European Warrants Feb 2016 C	150,000,000	2015/08/26	2016/02/26	22.50
25081	Credit Suisse AG - HSI European Warrants Jan 2016 G	150,000,000	2015/08/26	2016/01/28	22.50
25083	Credit Suisse AG - HSI European Put Warrants Jan 2016 H	150,000,000	2015/08/26	2016/01/28	22.50
25106	Credit Suisse AG - Haitong Sec European Warrants Feb 2016 A	70,000,000	2015/08/26	2016/02/29	17.50
25096	Credit Suisse AG - ICBC European Warrants Feb 2016 A	70,000,000	2015/08/26	2016/02/29	21.00
25105	Credit Suisse AG - Kingsoft European Warrants Mar 2016 A	70,000,000	2015/08/26	2016/03/31	17.50
25100	Credit Suisse AG - Ping An European Warrants Mar 2016 A	80,000,000	2015/08/26	2016/03/14	28.00
25032	HK Bank - BOC HK European Warrants Apr 2016 A	80,000,000	2015/08/26	2016/04/29	12.00
25027	HK Bank - BOCL European Warrants Jul 2016 A	80,000,000	2015/08/26	2016/07/29	24.00
25025	HK Bank - HS H-SHARE European Warrants Dec 2017 A	100,000,000	2015/08/26	2017/12/29	15.00
25031	HK Bank - Lenovo European Warrants Feb 2016 A	50,000,000	2015/08/26	2016/02/29	25.00
25035	HK Bank - SHK Ppt European Warrants Feb 2017 A	100,000,000	2015/08/26	2017/02/01	15.00
25126	J P Morgan SP BV - CSOP A50 ETF European Warrants Oct 2016 B	200,000,000	2015/08/26	2016/10/31	30.00
25117	J P Morgan SP BV - Galaxy Ent European Warrants Mar 2016 A	100,000,000	2015/08/26	2016/03/02	25.00
25121	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2016 A	100,000,000	2015/08/26	2016/04/01	25.10
25124	J P Morgan SP BV - Sands China European Warrants May 2016 A	100,000,000	2015/08/26	2016/05/04	25.20
25108	Macquarie Bank Ltd. - China Life European Wts Feb 2016 E	37,000,000	2015/08/26	2016/02/02	10.14
25111	Macquarie Bank Ltd. - A50 China European Warrants Feb 2016 A	67,000,000	2015/08/26	2016/02/01	10.05
25109	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Apr 2016 B	40,000,000	2015/08/26	2016/04/05	10.00
25056	Macquarie Bank Ltd. - Ping An European Warrants Mar 2016 A	40,000,000	2015/08/26	2016/03/02	10.00
25058	Standard Chartered Bank - CC Bank European Wts Feb 2016 A	80,000,000	2015/08/26	2016/02/29	19.20
25062	Standard Chartered Bank - Sinopec Corp Euro Wts Mar 2016 B	60,000,000	2015/08/26	2016/03/07	15.00
25063	Standard Chartered Bank - China Unicom Euro Wts Sep 2016 A	80,000,000	2015/08/26	2016/09/30	12.00
25066	Standard Chartered Bank - ICBC European Warrants Feb 2016 A	80,000,000	2015/08/26	2016/02/29	16.80
25059	Standard Chartered Bank - Ping An European Wts Feb 2016 B	80,000,000	2015/08/26	2016/02/29	20.00
25054	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2016 B	150,000,000	2015/08/26	2016/01/06	24.00
25112	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jan 16 M	150,000,000	2015/08/26	2016/01/28	23.25
25101	UBS AG - CSOP A50 ETF European Warrants Jun 2016 E	300,000,000	2015/08/26	2016/06/27	45.00
25102	UBS AG - Galaxy Ent European Warrants Jan 2016 A	70,000,000	2015/08/26	2016/01/04	21.00
25104	UBS AG - HSCEI European Put Warrants Sep 2016 A	100,000,000	2015/08/26	2016/09/29	25.00
25132	BOCI Asia Ltd. - AIA European Warrants Mar 2016 A	100,000,000	2015/08/27	2016/03/14	33.00
25136	BOCI Asia Ltd. - HSI European Warrants Mar 2016 A	100,000,000	2015/08/27	2016/03/30	15.00
25137	BOCI Asia Ltd. - HSI European Put Warrants Feb 2016 A	100,000,000	2015/08/27	2016/02/26	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25135	BOCI Asia Ltd. - Ping An European Warrants Mar 2016 A	100,000,000	2015/08/27	2016/03/14	32.00
25156	Credit Suisse AG - Agricultural Bank European Wts Feb 2016 A	70,000,000	2015/08/27	2016/02/29	11.20
25144	Credit Suisse AG - BYD European Warrants Jan 2016 A	70,000,000	2015/08/27	2016/01/29	26.60
25151	Credit Suisse AG - China Cinda European Warrants Feb 2016 A	70,000,000	2015/08/27	2016/02/29	17.50
25150	Credit Suisse AG - CITIC Sec European Warrants Feb 2016 A	70,000,000	2015/08/27	2016/02/29	28.00
25149	Credit Suisse AG - Greatwall Motor European Wts Feb 2016 A	70,000,000	2015/08/27	2016/02/29	21.00
25181	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2016 A	120,000,000	2015/08/27	2016/04/08	44.40
25177	Goldman Sachs SP (Asia) - HSCEI European Put Wts Jun 2016 A	100,000,000	2015/08/27	2016/06/29	25.70
25172	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2016 E	100,000,000	2015/08/27	2016/01/28	18.30
25170	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2016 A	100,000,000	2015/08/27	2016/03/30	25.20
25180	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2016 B	80,000,000	2015/08/27	2016/12/12	12.00
25130	HK Bank - HKEx European Warrants Feb 2016 A	180,000,000	2015/08/27	2016/02/29	45.00
25131	HK Bank - HSI European Warrants Feb 2016 C	180,000,000	2015/08/27	2016/02/26	45.00
25143	HK Bank - HSI European Put Warrants Jan 2016 K	180,000,000	2015/08/27	2016/01/28	27.00
25129	HK Bank - Sands China European Warrants Mar 2016 A	80,000,000	2015/08/27	2016/03/02	24.00
25197	J P Morgan SP BV - CGN Power European Warrants Apr 2016 A	60,000,000	2015/08/27	2016/04/05	34.08
25194	J P Morgan SP BV - Sinopec Corp European Warrants Apr 2016 A	100,000,000	2015/08/27	2016/04/01	53.20
25200	J P Morgan SP BV - Cathay Pacific European Warrants Dec 16 A	60,000,000	2015/08/27	2016/12/01	15.00
25187	J P Morgan SP BV - CSOP A50 ETF European Warrants Mar 2016 A	200,000,000	2015/08/27	2016/03/31	30.20
25188	J P Morgan SP BV - A50 China European Warrants Mar 2017 A	200,000,000	2015/08/27	2017/03/31	33.20
25199	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2016 B	100,000,000	2015/08/27	2016/04/05	31.40
25185	J P Morgan SP BV - HSCEI European Wts Nov 2015 F	200,000,000	2015/08/27	2015/11/27	30.00
25183	J P Morgan SP BV - HSI European Warrants Jan 2016 L	200,000,000	2015/08/27	2016/01/28	30.60
25139	J P Morgan SP BV - HSI European Warrants Mar 2016 D	200,000,000	2015/08/27	2016/03/30	50.20
25191	J P Morgan SP BV - PetroChina European Warrants Apr 2016 A	100,000,000	2015/08/27	2016/04/01	56.90
25190	J P Morgan SP BV - PetroChina European Warrants Jun 2018 A	200,000,000	2015/08/27	2018/06/29	30.00
25154	Standard Chartered Bank - Fosun Intl European Warrants Aug16A	80,000,000	2015/08/27	2016/08/29	20.00
25160	UBS AG - CAM CSI300 European Warrants Mar 2016 A	40,000,000	2015/08/27	2016/03/08	27.20
25167	UBS AG - A50 China European Warrants Dec 2016 B	300,000,000	2015/08/27	2016/12/30	57.00
25161	UBS AG - HSI European Warrants Feb 2016 A	300,000,000	2015/08/27	2016/02/26	56.10
25164	UBS AG - HSI European Warrants Mar 2016 C	300,000,000	2015/08/27	2016/03/30	75.00
25166	UBS AG - HSI European Put Warrants Mar 2016 D	300,000,000	2015/08/27	2016/03/30	75.00
25264	BNP Paribas Arbit Issu B.V. - AIA European Wts Mar 2016 B	60,000,000	2015/08/28	2016/03/02	15.00
25244	BNP Paribas Arbit Issu B.V. - BJ Ent Water Euro Wts Mar 16 A	25,000,000	2015/08/28	2016/03/02	13.50
25259	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Mar 2016 A	100,000,000	2015/08/28	2016/03/02	25.00
25268	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Mar 2016 A	30,000,000	2015/08/28	2016/03/02	12.90
25248	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Mar 16 A	40,000,000	2015/08/28	2016/03/02	11.60
25254	BNP Paribas Arbit Issu B.V. - HKEx European Wts Mar 2016 D	40,000,000	2015/08/28	2016/03/02	10.00
25258	BNP Paribas Arbit Issu B.V. - HSBC European Wts Mar 2016 A	40,000,000	2015/08/28	2016/03/02	10.40
25273	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Mar 2016 C	100,000,000	2015/08/28	2016/03/30	25.00
25271	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2017 A	100,000,000	2015/08/28	2017/03/30	34.00
25274	BNP Paribas Arbit Issu B.V. - ICBC European Wts Mar 2016 A	50,000,000	2015/08/28	2016/03/02	16.50
25303	Credit Suisse AG - China Life European Warrants Jan 2016 D	80,000,000	2015/08/28	2016/01/28	14.40
25301	Credit Suisse AG - HSI European Warrants Jan 2016 I	150,000,000	2015/08/28	2016/01/28	22.50

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		發行單位	上市日期	到期日	
25302	Credit Suisse AG - HSI European Put Warrants Mar 2016 A	150,000,000	2015/08/28	2016/03/30	37.50
25220	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2016 A	100,000,000	2015/08/28	2016/02/29	56.90
25202	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2016 D	300,000,000	2015/08/28	2016/01/20	58.80
25209	Goldman Sachs SP (Asia) - HKEx European Warrants Jan 2016 E	300,000,000	2015/08/28	2016/01/29	45.90
25470	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2016 B	100,000,000	2015/08/28	2016/03/30	26.70
25477	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2016 A	100,000,000	2015/08/28	2016/04/28	25.10
25223	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2016 D	300,000,000	2015/08/28	2016/06/30	46.80
25293	HK Bank - AIA European Warrants Mar 2016 A	80,000,000	2015/08/28	2016/03/31	24.00
25299	HK Bank - CC Bank European Warrants Feb 2016 B	80,000,000	2015/08/28	2016/02/29	28.00
25290	HK Bank - HSCEI European Warrants Nov 2015 D	180,000,000	2015/08/28	2015/11/27	27.00
25289	HK Bank - HSCEI European Warrants Mar 2016 B	180,000,000	2015/08/28	2016/03/30	45.00
25292	HK Bank - PetroChina European Warrants Feb 2016 B	80,000,000	2015/08/28	2016/02/29	28.00
25294	HK Bank - Ping An European Warrants Feb 2016 D	80,000,000	2015/08/28	2016/02/29	24.00
25416	J P Morgan SP BV - CKH European Warrants Apr 2016 A	100,000,000	2015/08/28	2016/04/05	56.10
25414	J P Morgan SP BV - A50 China European Warrants Dec 2016 B	200,000,000	2015/08/28	2016/12/30	32.60
25451	J P Morgan SP BV - HKEx European Warrants May 2016 A	200,000,000	2015/08/28	2016/05/31	50.20
25454	J P Morgan SP BV - HKEx European Put Wts Jun 2016 A	200,000,000	2015/08/28	2016/06/30	50.00
25439	J P Morgan SP BV - HSBC European Warrants Apr 2016 A	100,000,000	2015/08/28	2016/04/01	25.10
25411	J P Morgan SP BV - HSCEI European Put Warrants Jul 2016 A	200,000,000	2015/08/28	2016/07/28	50.20
25228	J P Morgan SP BV - HSI European Warrants Apr 2016 A	300,000,000	2015/08/28	2016/04/28	75.30
25398	J P Morgan SP BV - HSI European Put Warrants Mar 2016 E	300,000,000	2015/08/28	2016/03/30	75.00
25458	J P Morgan SP BV - ICBC European Warrants May 2016 A	100,000,000	2015/08/28	2016/05/03	40.90
25461	J P Morgan SP BV - Link REIT European Warrants Jul 2016 A	80,000,000	2015/08/28	2016/07/05	27.36
25464	J P Morgan SP BV - Sinopharm European Warrants May 2016 A	60,000,000	2015/08/28	2016/05/03	29.52
25465	J P Morgan SP BV - Tencent European Put Warrants Dec 2016 C	200,000,000	2015/08/28	2016/12/30	36.00
25413	J P Morgan SP BV - Tracker Fund European Warrants Dec 2016 A	100,000,000	2015/08/28	2016/12/29	17.90
25243	Macquarie Bank Ltd. - CC Bank European Warrants Jan 2016 C	32,000,000	2015/08/28	2016/01/11	10.02
25384	Macquarie Bank Ltd. - Ch Comm Cons European Put Wts Oct16A	40,000,000	2015/08/28	2016/10/04	10.00
25393	Macquarie Bank Ltd. - CM Bank European Put Warrants Jul 16 B	40,000,000	2015/08/28	2016/07/05	10.00
25365	Macquarie Bank Ltd. - China Mobile European Wts Mar 2016 B	40,000,000	2015/08/28	2016/03/02	10.00
25233	Macquarie Bank Ltd. - CNOOC European Warrants Aug 2016 A	40,000,000	2015/08/28	2016/08/02	10.00
25392	Macquarie Bank Ltd. - A50 China European Put Wts Dec 2016 B	40,000,000	2015/08/28	2016/12/02	10.00
25391	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Mar 2016 A	40,000,000	2015/08/28	2016/03/02	10.00
25367	Macquarie Bank Ltd. - HKEx European Put Warrants Mar 2016 C	40,000,000	2015/08/28	2016/03/02	10.00
25229	Macquarie Bank Ltd. - ICBC European Warrants Feb 2016 B	40,000,000	2015/08/28	2016/02/02	10.00
25389	Macquarie Bank Ltd. - Tencent European Warrants Mar 2016 D	12,000,000	2015/08/28	2016/03/02	18.43
25386	Macquarie Bank Ltd. - Tencent European Put Wts Mar 2016 C	13,000,000	2015/08/28	2016/03/02	10.01
25482	Standard Chartered Bank - AIA European Warrants Jan 2016 A	80,000,000	2015/08/28	2016/01/26	12.00
25480	Standard Chartered Bank - CGN Power European Warrants Aug16A	40,000,000	2015/08/28	2016/08/19	28.80
25285	Standard Chartered Bank - HSCEI European Warrants Feb 2016 C	100,000,000	2015/08/28	2016/02/26	15.00
25286	Standard Chartered Bank - HSCEI European Put Wts Mar 2016 B	100,000,000	2015/08/28	2016/03/30	15.00
25277	Standard Chartered Bank - HSI European Warrants Jan 2016 F	100,000,000	2015/08/28	2016/01/28	20.00
25279	Standard Chartered Bank - HSI European Put Wts Mar 2016 C	100,000,000	2015/08/28	2016/03/30	25.00
25481	Standard Chartered Bank - PetroChina European Wts Mar 2016 A	60,000,000	2015/08/28	2016/03/07	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25319	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Mar16A	50,000,000	2015/08/28	2016/03/03	23.00
25338	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Apr 2016 B	200,000,000	2015/08/28	2016/04/06	50.00
25325	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Mar16D	50,000,000	2015/08/28	2016/03/03	49.50
25332	SGA Societe Generale Acceptance N.V. - HS H-SHARE Eu Wts Apr16B	50,000,000	2015/08/28	2016/04/06	33.50
25333	SGA Societe Generale Acceptance N.V. - HS H-SH Eu Put Wt Apr16C	50,000,000	2015/08/28	2016/04/06	23.75
25350	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Dec 16 A	70,000,000	2015/08/28	2016/12/28	10.50
25361	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Jan 18 A	70,000,000	2015/08/28	2018/01/04	10.50
25308	UBS AG - AIA European Warrants Feb 2016 A	100,000,000	2015/08/28	2016/02/29	35.00
25313	UBS AG - CC Bank European Warrants Feb 2016 A	70,000,000	2015/08/28	2016/02/29	35.00
25317	UBS AG - HKEx European Put Warrants May 2016 A	100,000,000	2015/08/28	2016/05/26	21.00
25311	UBS AG - HSCEI European Warrants Mar 2016 F	100,000,000	2015/08/28	2016/03/30	25.00
25309	UBS AG - PetroChina European Warrants Jun 2018 A	300,000,000	2015/08/28	2018/06/27	45.00
25304	UBS AG - Tencent Holdings European Warrants Feb 2016 C	50,000,000	2015/08/28	2016/02/02	35.50
25704	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Mar 2016 A	40,000,000	2015/08/31	2016/03/02	25.20
25656	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Mar 2016 B	80,000,000	2015/08/31	2016/03/02	20.00
25665	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Mar 2016 B	30,000,000	2015/08/31	2016/03/02	13.80
25661	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Dec 2016 B	80,000,000	2015/08/31	2016/12/02	20.00
25668	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Mar 2016 B	50,000,000	2015/08/31	2016/03/02	15.00
25571	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Dec 2016 A	100,000,000	2015/08/31	2016/12/02	16.00
25687	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Mar 2016 A	80,000,000	2015/08/31	2016/03/02	23.20
25578	BNP Paribas Arbit Issu B.V. - HKEx European Wts Feb 2016 C	80,000,000	2015/08/31	2016/02/01	16.80
25697	BNP Paribas Arbit Issu B.V. - ICBC European Wts Mar 2016 B	60,000,000	2015/08/31	2016/03/02	27.00
25667	BNP Paribas Arbit Issu B.V. - Ping An European Wt Mar 2016 C	80,000,000	2015/08/31	2016/03/02	27.20
25703	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Mar 2016 A	80,000,000	2015/08/31	2016/03/02	26.40
25836	Credit Suisse AG - AIA European Warrants Mar 2016 A	80,000,000	2015/08/31	2016/03/01	24.00
25837	Credit Suisse AG - CC Bank European Warrants Mar 2016 A	80,000,000	2015/08/31	2016/03/01	30.40
25834	Credit Suisse AG - China Life European Warrants Mar 2016 A	80,000,000	2015/08/31	2016/03/01	20.00
25814	Credit Suisse AG - CSOP A50 ETF European Warrants Dec 2016 B	150,000,000	2015/08/31	2016/12/30	22.50
25828	Credit Suisse AG - HKEx European Warrants Mar 2016 B	150,000,000	2015/08/31	2016/03/31	27.00
25829	Credit Suisse AG - HKEx European Warrants Mar 2016 C	150,000,000	2015/08/31	2016/03/01	37.50
25830	Credit Suisse AG - HKEx European Put Warrants Jun 2016 B	100,000,000	2015/08/31	2016/06/30	15.00
25799	Credit Suisse AG - HSCEI European Warrants Mar 2016 D	108,000,000	2015/08/31	2016/03/30	27.00
25789	Credit Suisse AG - HSI European Warrants Mar 2016 B	150,000,000	2015/08/31	2016/03/30	37.50
25795	Credit Suisse AG - HSI European Put Warrants Mar 2016 C	150,000,000	2015/08/31	2016/03/30	37.50
25835	Credit Suisse AG - Ping An European Warrants Mar 2016 B	80,000,000	2015/08/31	2016/03/01	32.00
25904	Goldman Sachs SP (Asia) - China Life Euro Wts Mar 2016 A	100,000,000	2015/08/31	2016/03/31	32.30
25889	Goldman Sachs SP (Asia) - CNOOC European Warrants Mar 2016 A	80,000,000	2015/08/31	2016/03/31	56.48
25882	Goldman Sachs SP (Asia) - A50 China European Wts May 2016 A	100,000,000	2015/08/31	2016/05/30	95.50
25958	Goldman Sachs SP (Asia) - A50 China European Wts Dec 2017 A	100,000,000	2015/08/31	2017/12/29	25.00
25888	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Mar 2016 B	80,000,000	2015/08/31	2016/03/31	26.80
25868	Goldman Sachs SP (Asia) - HSCEI European Warrants Mar 2016 B	100,000,000	2015/08/31	2016/03/30	25.10
25876	Goldman Sachs SP (Asia) - HSCEI European Put Wts Mar 2016 C	100,000,000	2015/08/31	2016/03/30	25.20
25877	Goldman Sachs SP (Asia) - HSCEI European Put Wts May 2016 A	100,000,000	2015/08/31	2016/05/30	25.00
25881	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Mar 2016 A	100,000,000	2015/08/31	2016/03/30	54.70

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		發行單位	上市日期	到期日	
25878	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Sep 2018 A	100,000,000	2015/08/31	2018/09/27	25.00
25937	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2016 C	100,000,000	2015/08/31	2016/03/30	25.00
25942	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2016 D	100,000,000	2015/08/31	2016/03/30	25.00
25891	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2016 A	100,000,000	2015/08/31	2016/03/30	35.10
25607	HK Bank - China Life European Warrants Mar 2016 A	100,000,000	2015/08/31	2016/03/01	25.00
25609	HK Bank - China Mobile European Warrants Mar 2016 A	60,000,000	2015/08/31	2016/03/01	42.00
25564	HK Bank - A50 China European Warrants Dec 2016 C	180,000,000	2015/08/31	2016/12/30	27.00
25605	HK Bank - Galaxy Ent European Warrants Mar 2016 A	60,000,000	2015/08/31	2016/03/31	15.00
25516	HK Bank - HSCEI European Warrants Mar 2016 C	180,000,000	2015/08/31	2016/03/30	27.00
25558	HK Bank - HSCEI European Warrants Mar 2016 D	180,000,000	2015/08/31	2016/03/30	45.00
25568	HK Bank - HSI European Warrants Jan 2016 L	180,000,000	2015/08/31	2016/01/28	27.00
25528	HK Bank - HSI European Warrants Mar 2016 A	180,000,000	2015/08/31	2016/03/30	45.00
25560	HK Bank - HSI European Warrants Mar 2016 B	180,000,000	2015/08/31	2016/03/30	45.00
25608	HK Bank - Tencent European Warrants Mar 2016 C	60,000,000	2015/08/31	2016/03/01	48.00
25961	J P Morgan SP BV - AIA European Warrants Apr 2016 B	100,000,000	2015/08/31	2016/04/05	25.70
26048	J P Morgan SP BV - CAM CSI300 European Warrants Apr 2016 A	100,000,000	2015/08/31	2016/04/05	29.80
26070	J P Morgan SP BV - BOCL European Warrants May 2016 A	100,000,000	2015/08/31	2016/05/04	25.50
26067	J P Morgan SP BV - CC Bank European Wts Apr 2016 A	100,000,000	2015/08/31	2016/04/29	41.50
26130	J P Morgan SP BV - CKP European Warrants May 2016 A	100,000,000	2015/08/31	2016/05/03	31.40
25602	J P Morgan SP BV - China Life European Warrants Jan 2016 D	100,000,000	2015/08/31	2016/01/04	31.80
26097	J P Morgan SP BV - China Mob European Wts Apr 2016 A	100,000,000	2015/08/31	2016/04/01	49.20
26129	J P Morgan SP BV - Ch Overseas European Warrants Jul 2016 A	100,000,000	2015/08/31	2016/07/04	25.40
25597	J P Morgan SP BV - CSOP A50 ETF European Warrants Mar 2016 B	200,000,000	2015/08/31	2016/03/31	32.60
26040	J P Morgan SP BV - A50 China European Warrants Mar 2016 A	100,000,000	2015/08/31	2016/03/01	42.20
26074	J P Morgan SP BV - Galaxy Ent European Warrants May 2016 A	100,000,000	2015/08/31	2016/05/03	27.90
26066	J P Morgan SP BV - HKEx European Warrants Jun 2016 B	200,000,000	2015/08/31	2016/06/02	57.40
26065	J P Morgan SP BV - HSBC European Warrants May 2016 A	100,000,000	2015/08/31	2016/05/03	34.00
25593	J P Morgan SP BV - HSCEI European Wts Mar 2016 E	200,000,000	2015/08/31	2016/03/30	50.00
26050	J P Morgan SP BV - HS H-SHARE European Warrants Mar 2016 A	100,000,000	2015/08/31	2016/03/01	53.70
25588	J P Morgan SP BV - HSI European Warrants Mar 2016 F	300,000,000	2015/08/31	2016/03/30	77.10
26014	J P Morgan SP BV - HSI European Put Warrants Jun 2016 A	300,000,000	2015/08/31	2016/06/29	75.60
26071	J P Morgan SP BV - PetroChina European Warrants Mar 2016 A	100,000,000	2015/08/31	2016/03/02	45.70
25962	J P Morgan SP BV - Ping An European Warrants Apr 2016 B	100,000,000	2015/08/31	2016/04/05	25.20
26077	J P Morgan SP BV - Sands China European Warrants Apr 2016 B	100,000,000	2015/08/31	2016/04/05	30.40
26150	Macquarie Bank Ltd. - China Mobile European Put Wts Jul 18 A	40,000,000	2015/08/31	2018/07/03	10.00
25955	Macquarie Bank Ltd. - A50 China European Put Wts Jan 2018 A	40,000,000	2015/08/31	2018/01/03	10.00
26132	Macquarie Bank Ltd. - HKEx European Put Warrants Apr 2016 B	40,000,000	2015/08/31	2016/04/05	10.00
25612	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Mar 2016 A	100,000,000	2015/08/31	2016/03/03	22.00
25610	SGA Societe Generale Acceptance N.V. - AIA Eur Wt May 2016 A	100,000,000	2015/08/31	2016/05/05	44.50
25614	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Apr16A	50,000,000	2015/08/31	2016/04/06	31.00
25613	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Mar 2016 B	150,000,000	2015/08/31	2016/03/03	48.00
25708	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 16 C	80,000,000	2015/08/31	2016/03/03	52.80
25484	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Dec16A	300,000,000	2015/08/31	2016/12/05	75.00
25514	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Nov 2016B	300,000,000	2015/08/31	2016/11/03	75.00

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		發行單位	上市日期	到期日	
25716	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Mar16A	80,000,000	2015/08/31	2016/03/03	24.40
25736	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt May16A	80,000,000	2015/08/31	2016/05/05	20.00
25755	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Mar 2016 C	200,000,000	2015/08/31	2016/03/03	51.00
25781	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Mar 2016 C	100,000,000	2015/08/31	2016/03/03	44.00
25759	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2016 B	100,000,000	2015/08/31	2016/05/05	58.00
25512	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Apr 2016 A	150,000,000	2015/08/31	2016/04/28	38.25
25489	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2016 A	150,000,000	2015/08/31	2016/06/29	37.50
25788	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar 16 D	120,000,000	2015/08/31	2016/03/03	60.00
25646	UBS AG - BOCL European Warrants Apr 2016 A	70,000,000	2015/08/31	2016/04/22	21.00
25648	UBS AG - Galaxy Ent European Warrants Mar 2016 A	70,000,000	2015/08/31	2016/03/01	21.00
25643	UBS AG - HSBC European Warrants Mar 2016 B	100,000,000	2015/08/31	2016/03/01	46.00
25647	UBS AG - HSCEI European Warrants Mar 2016 G	100,000,000	2015/08/31	2016/03/30	25.00
25616	UBS AG - HSI European Warrants Mar 2016 E	300,000,000	2015/08/31	2016/03/30	75.00
25641	UBS AG - HSI European Put Warrants Mar 2016 F	300,000,000	2015/08/31	2016/03/30	75.00
25644	UBS AG - Ping An European Warrants Mar 2016 A	150,000,000	2015/08/31	2016/03/08	42.00
23845 #	UBS AG - HSI European Warrants Jan 2016 J	200,000,000	2015/08/31	2016/01/28	21.80
26445	Credit Suisse AG - China Mobile European Put Wts Mar 2016 A	70,000,000	2015/09/01	2016/03/02	28.00
26442	Credit Suisse AG - HSBC European Wts Mar 2016 A	80,000,000	2015/09/01	2016/03/02	46.40
26454	Credit Suisse AG - Ping An European Put Warrants Mar 2016 C	70,000,000	2015/09/01	2016/03/02	17.50
26534	Goldman Sachs SP (Asia) - Tencent European Put Wt Dec 2016 D	60,000,000	2015/09/01	2016/12/30	15.00
26228	HK Bank - CM Bank European Warrants Apr 2016 A	80,000,000	2015/09/01	2016/04/29	20.00
26216	HK Bank - CNOOC European Warrants Feb 2016 B	50,000,000	2015/09/01	2016/02/29	25.00
26221	HK Bank - Sinopec Corp European Warrants Feb 2016 B	80,000,000	2015/09/01	2016/02/29	28.00
26252	HK Bank - HKEx European Warrants Mar 2016 B	180,000,000	2015/09/01	2016/03/31	45.00
26220	HK Bank - Haitong Sec European Warrants Apr 2016 A	80,000,000	2015/09/01	2016/04/29	20.00
26810	J P Morgan SP BV - Anhui Conch European Warrants Jul 2016 A	80,000,000	2015/09/01	2016/07/05	20.08
26738	J P Morgan SP BV - CNOOC European Warrants Jun 2016 A	100,000,000	2015/09/01	2016/06/30	47.40
26692	J P Morgan SP BV - Sinopec Corp European Warrants Jun 2016 A	100,000,000	2015/09/01	2016/06/30	48.90
26786	J P Morgan SP BV - CSOP A50 ETF European Warrants Dec 2016 A	200,000,000	2015/09/01	2016/12/30	30.00
26791	J P Morgan SP BV - Henderson Land Dev European Wt Jul 2016 A	60,000,000	2015/09/01	2016/07/04	19.80
26645	J P Morgan SP BV - HSCEI European Wts Apr 2016 A	200,000,000	2015/09/01	2016/04/28	51.60
26644	J P Morgan SP BV - HSI European Warrants Apr 2016 B	300,000,000	2015/09/01	2016/04/28	75.60
26271	J P Morgan SP BV - Ping An European Warrants Dec 2016 A	100,000,000	2015/09/01	2016/12/30	15.20
26809	J P Morgan SP BV - SHK Ppt European Warrants Apr 2016 A	60,000,000	2015/09/01	2016/04/05	17.34
26259	Macquarie Bank Ltd. - CM Bank European Warrants May 2016 A	67,000,000	2015/09/01	2016/05/04	10.05
26270	Macquarie Bank Ltd. - HKEx European Warrants Apr 2016 C	40,000,000	2015/09/01	2016/04/05	10.00
26471	Macquarie Bank Ltd. - Ping An European Warrants May 2016 A	30,000,000	2015/09/01	2016/05/04	10.02
26178	Standard Chartered Bank - CAM CSI300 European Wts Apr 2016 B	60,000,000	2015/09/01	2016/04/29	33.00
26158	Standard Chartered Bank - China Mobile Euro Wts Feb 2016 A	80,000,000	2015/09/01	2016/02/05	36.80
26215	Standard Chartered Bank - CSOP A50 ETF Euro Wts Jan 2017 B	80,000,000	2015/09/01	2017/01/06	12.00
26156	Standard Chartered Bank - Galaxy Ent European Wts Aug 2016 A	80,000,000	2015/09/01	2016/08/08	24.80
26294	Standard Chartered Bank - HKEx European Warrants Apr 2016 B	150,000,000	2015/09/01	2016/04/01	37.50
26184	Standard Chartered Bank - Tencent Hldg Euro Wts Jun 2016 A	200,000,000	2015/09/01	2016/06/20	30.00
26321	SGA Societe Generale Acceptance N.V. - CKH Euro Wt Mar 2016A	30,000,000	2015/09/01	2016/03/03	23.70

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26312	SGA Societe Generale Acceptance N.V. - CKH Euro Wt Dec 2017A	300,000,000	2015/09/01	2017/12/05	75.00
26274	SGA Societe Generale Acceptance N.V. - COVS Eu Wts Sep 2016 A	25,000,000	2015/09/01	2016/09/05	10.00
26439	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Mar 2016A	60,000,000	2015/09/01	2016/03/03	23.70
26328	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Apr 2016A	60,000,000	2015/09/01	2016/04/06	48.00
26287	SGA Societe Generale Acceptance N.V. - PICC Eu Wts Aug 2016A	15,000,000	2015/09/01	2016/08/03	10.20
26560	UBS AG - Sinopec Corp European Warrants Mar 2016 A	60,000,000	2015/09/01	2016/03/08	26.40
26552	UBS AG - HSCEI European Put Warrants Mar 2016 H	200,000,000	2015/09/01	2016/03/30	50.00
26535	UBS AG - HSI European Warrants Mar 2016 G	300,000,000	2015/09/01	2016/03/30	88.50
26536	UBS AG - HSI European Warrants Mar 2016 H	300,000,000	2015/09/01	2016/03/30	75.00
26543	UBS AG - HSI European Warrants Mar 2016 I	300,000,000	2015/09/01	2016/03/30	75.00
26551	UBS AG - HSI European Put Warrants Mar 2016 J	300,000,000	2015/09/01	2016/03/30	75.00
26629	UBS AG - ICBC European Warrants Feb 2016 A	100,000,000	2015/09/01	2016/02/25	26.00
26563	UBS AG - PetroChina European Warrants Mar 2016 A	50,000,000	2015/09/01	2016/03/08	21.00
26949	BOCI Asia Ltd. - CAM CSI300 European Warrants Mar 2016 A	100,000,000	2015/09/02	2016/03/14	44.00
26914	BOCI Asia Ltd. - Sinopec Corp European Warrants Mar 2016 A	100,000,000	2015/09/02	2016/03/31	41.00
26945	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Dec 2016 C	100,000,000	2015/09/02	2016/12/22	15.00
26882	BOCI Asia Ltd. - Galaxy Ent European Warrants Mar 2016 A	100,000,000	2015/09/02	2016/03/29	29.00
26883	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Apr 2016 A	100,000,000	2015/09/02	2016/04/05	27.00
26877	BOCI Asia Ltd. - HSBC European Warrants Mar 2016 A	100,000,000	2015/09/02	2016/03/24	33.00
26916	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2016 C	100,000,000	2015/09/02	2016/06/03	17.00
26927	BOCI Asia Ltd. - Tencent Holdings European Put Wts Dec 2016 B	100,000,000	2015/09/02	2016/12/21	21.00
26979	Credit Suisse AG - Galaxy Ent European Warrants Mar 2016 A	80,000,000	2015/09/02	2016/03/02	21.60
26956	Credit Suisse AG - HSCEI European Put Warrants Mar 2016 E	108,000,000	2015/09/02	2016/03/30	27.00
27029	Credit Suisse AG - PetroChina European Warrants Mar 2016 A	80,000,000	2015/09/02	2016/03/02	28.00
27404	Bank of East Asia - CM Bank European Warrants Nov 2016 A	40,000,000	2015/09/02	2016/11/28	10.00
27391	Bank of East Asia - CSOP A50 ETF European Wts Dec 2016 A	70,000,000	2015/09/02	2016/12/30	10.50
27454	Bank of East Asia - HKEx European Put Warrants Jul 2016 A	40,000,000	2015/09/02	2016/07/07	10.00
27412	Bank of East Asia - HS H-SHARE European Warrants Dec 2017 A	70,000,000	2015/09/02	2017/12/28	10.50
27420	Bank of East Asia - Tencent Holdings European Put Wts Oct16A	40,000,000	2015/09/02	2016/10/20	10.00
27248	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Mar 2016 A	80,000,000	2015/09/02	2016/03/31	33.92
27217	Goldman Sachs SP (Asia) - HKEx European Warrants Mar 2016 A	150,000,000	2015/09/02	2016/03/31	43.50
27223	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2016 B	100,000,000	2015/09/02	2016/04/08	46.10
26858	HK Bank - HSCEI European Put Warrants Mar 2016 E	180,000,000	2015/09/02	2016/03/30	45.00
26854	HK Bank - HSI European Put Warrants Mar 2016 C	180,000,000	2015/09/02	2016/03/30	45.00
27049	J P Morgan SP BV - CAM CSI300 European Warrants May 2016 A	100,000,000	2015/09/02	2016/05/03	26.00
27216	J P Morgan SP BV - CITIC Sec European Warrants May 2016 A	100,000,000	2015/09/02	2016/05/04	33.60
27089	J P Morgan SP BV - Galaxy Ent European Warrants Mar 2016 B	100,000,000	2015/09/02	2016/03/01	25.40
27170	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2016 C	100,000,000	2015/09/02	2016/04/05	25.20
27182	J P Morgan SP BV - Sands China European Warrants Mar 2016 B	100,000,000	2015/09/02	2016/03/01	34.70
27025	Macquarie Bank Ltd. - Sinopec Corp European Wts Apr 2016 B	25,000,000	2015/09/02	2016/04/05	10.00
27024	Macquarie Bank Ltd. - Galaxy Ent European Wts Apr 2016 C	40,000,000	2015/09/02	2016/04/05	10.00
27022	Macquarie Bank Ltd. - Galaxy Ent European Put Wts May 2016 A	40,000,000	2015/09/02	2016/05/04	10.00
27020	Macquarie Bank Ltd. - Greatwall Motor European Wts Apr 2016 A	40,000,000	2015/09/02	2016/04/05	10.00
26817	Macquarie Bank Ltd. - HSBC European Warrants Apr 2016 C	27,000,000	2015/09/02	2016/04/05	10.37

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26816	Macquarie Bank Ltd. - Sands China European Wts Apr 2016 B	36,000,000	2015/09/02	2016/04/05	10.01
27311	Standard Chartered Bank - Agricultural Bk Eur Wts Feb 2016 A	80,000,000	2015/09/02	2016/02/24	12.00
27339	Standard Chartered Bank - BOCL European Warrants Mar 2016 B	80,000,000	2015/09/02	2016/03/22	16.00
27312	Standard Chartered Bank - CITIC Sec European Wts Mar 2016 A	80,000,000	2015/09/02	2016/03/21	20.00
27301	Standard Chartered Bank - CKP European Warrants May 2016 A	60,000,000	2015/09/02	2016/05/03	15.00
27318	Standard Chartered Bank - HSBC European Warrants Apr 2016 B	80,000,000	2015/09/02	2016/04/18	20.00
27313	Standard Chartered Bank - Haitong Sec Euro Wts Mar 2016 B	80,000,000	2015/09/02	2016/03/21	20.00
27267	Standard Chartered Bank - SHK P European Warrants Mar 2016 A	60,000,000	2015/09/02	2016/03/07	15.00
27687	Goldman Sachs SP (Asia) - HKEx European Put Wts May 2016 B	80,000,000	2015/09/04	2016/05/30	20.32
27634	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2016 F	100,000,000	2015/09/04	2016/03/30	39.10
27537	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2016 A	100,000,000	2015/09/04	2016/02/26	25.90
27612	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2016 E	100,000,000	2015/09/04	2016/03/30	25.70
27773	J P Morgan SP BV - China Cinda European Warrants Apr 2016 A	80,000,000	2015/09/04	2016/04/01	20.40
27501	Macquarie Bank Ltd. - A50 China European Warrants Dec 2016 C	67,000,000	2015/09/04	2016/12/02	10.05
27495	Standard Chartered Bank - HSCEI European Warrants Mar 2016 C	100,000,000	2015/09/04	2016/03/30	25.00
27456	Standard Chartered Bank - HSCEI European Put Wts Apr 2016 A	100,000,000	2015/09/04	2016/04/28	25.00
27458	Standard Chartered Bank - HSI European Warrants Mar 2016 E	100,000,000	2015/09/04	2016/03/30	25.00
27455	Standard Chartered Bank - HSI European Put Wts Mar 2016 D	100,000,000	2015/09/04	2016/03/30	25.00
27718	UBS AG - China Mobile European Put Warrants Mar 2016 A	100,000,000	2015/09/04	2016/03/08	47.50
27688	UBS AG - HSBC European Put Warrants Mar 2016 C	80,000,000	2015/09/04	2016/03/08	39.60
27766	UBS AG - Tencent Holdings European Put Warrants Dec 2016 A	500,000,000	2015/09/04	2016/12/30	125.00
28181	Goldman Sachs SP (Asia) - HSCEI European Warrants Mar 2016 D	100,000,000	2015/09/07	2016/03/30	15.30
28221	J P Morgan SP BV - AviChina European Warrants Sep 2017 A	60,000,000	2015/09/07	2017/09/04	15.00
28224	J P Morgan SP BV - BankComm European Warrants Jul 2016 A	100,000,000	2015/09/07	2016/07/29	58.20
28229	J P Morgan SP BV - Brilliance Chi European Wts Dec 2016 A	80,000,000	2015/09/07	2016/12/30	20.08
28254	J P Morgan SP BV - Ping An European Put Warrants May 2016 A	100,000,000	2015/09/07	2016/05/03	33.40
27839	Standard Chartered Bank - China Life European Wts Feb 2016 A	100,000,000	2015/09/07	2016/02/22	30.00
27911	Standard Chartered Bank - CNOOC European Warrants Mar 2016 A	60,000,000	2015/09/07	2016/03/24	30.00
27859	Standard Chartered Bank - Sinopec Corp Euro Wts Mar 2016 C	60,000,000	2015/09/07	2016/03/18	15.00
27917	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Mar 2016 A	100,000,000	2015/09/07	2016/03/03	20.50
27916	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Jun 2016 A	100,000,000	2015/09/07	2016/06/03	42.50
27918	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jun 2016 A	100,000,000	2015/09/07	2016/06/03	65.00
28154	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Apr 2016A	80,000,000	2015/09/07	2016/04/06	28.00
28108	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Jun 2016A	80,000,000	2015/09/07	2016/06/03	52.00
28096	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jun 2016 A	100,000,000	2015/09/07	2016/06/03	52.00
28176	SGA Societe Generale Acceptance N.V. - Stanchart Eu Wt Oct16A	10,000,000	2015/09/07	2016/10/05	10.00
28516	Credit Suisse AG - BOCL European Warrants Mar 2016 A	80,000,000	2015/09/08	2016/03/31	20.00
28635	J P Morgan SP BV - Agricultural Bk Euro Warrants Jul 2016 A	100,000,000	2015/09/08	2016/07/29	25.10
28649	J P Morgan SP BV - CITIC Bank European Warrants Jun 2016 A	100,000,000	2015/09/08	2016/06/30	48.80
28587	J P Morgan SP BV - HSBC European Put Warrants May 2016 B	100,000,000	2015/09/08	2016/05/03	39.80
28483	SGA Societe Generale Acceptance N.V. - CGS Euro Wts Dec 17 A	100,000,000	2015/09/08	2017/12/04	20.00
28465	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt May16A	40,000,000	2015/09/08	2016/05/05	15.20
28435	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Jun16A	40,000,000	2015/09/08	2016/06/03	11.40
28427	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jul 2017A	300,000,000	2015/09/08	2017/07/05	45.00

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		發行單位	上市日期	到期日	
28359	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt May16A	150,000,000	2015/09/08	2016/05/30	37.50
28367	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2016 D	150,000,000	2015/09/08	2016/03/30	28.50
28387	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Mar 16 E	150,000,000	2015/09/08	2016/03/30	32.25
10353	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Sep 16 A	150,000,000	2015/09/08	2016/09/09	37.50
10354	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Mar 17 A	150,000,000	2015/09/08	2017/03/10	37.50
10355	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Sep 2016 B	150,000,000	2015/09/08	2016/09/09	37.50
29046	Credit Suisse AG - BOC HK European Warrants Oct 2016 A	70,000,000	2015/09/09	2016/10/03	10.50
28781	Goldman Sachs SP (Asia) - China Cinda Euro Wts Apr 2016 A	50,000,000	2015/09/09	2016/04/29	18.85
28900	Goldman Sachs SP (Asia) - CITIC Sec European Wts Apr 2016 A	80,000,000	2015/09/09	2016/04/29	20.88
28981	Goldman Sachs SP (Asia) - Ch Overseas European Wts Apr 2016 A	80,000,000	2015/09/09	2016/04/29	24.00
29074	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Apr 2016 B	50,000,000	2015/09/09	2016/04/29	52.85
29226	Goldman Sachs SP (Asia) - HSCEI European Warrants Mar 2016 E	100,000,000	2015/09/09	2016/03/30	27.40
29238	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2016 G	100,000,000	2015/09/09	2016/03/30	26.00
29239	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2016 B	100,000,000	2015/09/09	2016/04/28	25.00
28848	Goldman Sachs SP (Asia) - PetroChina European Wts Apr 2016 A	50,000,000	2015/09/09	2016/04/29	31.50
29083	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2016 B	100,000,000	2015/09/09	2016/03/30	78.10
28664	HK Bank - BOCL European Warrants Jul 2016 B	80,000,000	2015/09/09	2016/07/29	24.00
28779	HK Bank - HSCEI European Warrants Mar 2016 F	180,000,000	2015/09/09	2016/03/30	45.00
28710	HK Bank - HSI European Warrants Mar 2016 D	180,000,000	2015/09/09	2016/03/30	45.00
28662	HK Bank - Lenovo European Warrants Dec 2017 B	100,000,000	2015/09/09	2017/12/27	15.00
28709	HK Bank - Ping An European Warrants Dec 2016 B	180,000,000	2015/09/09	2016/12/30	27.00
29135	J P Morgan SP BV - China Railway European Wts Aug 2017 A	60,000,000	2015/09/09	2017/08/02	15.06
29165	J P Morgan SP BV - HSCEI European Put Warrants May 2016 A	200,000,000	2015/09/09	2016/05/30	52.60
29179	J P Morgan SP BV - HSI European Put Warrants May 2016 A	300,000,000	2015/09/09	2016/05/30	75.00
29084	J P Morgan SP BV - Tencent European Put Warrants Dec 2016 D	200,000,000	2015/09/09	2016/12/01	30.00
29004	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Apr16A	40,000,000	2015/09/09	2016/04/06	16.00
29035	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Apr16B	80,000,000	2015/09/09	2016/04/06	21.60
29505	BOCI Asia Ltd. - HSCEI European Warrants Mar 2016 A	100,000,000	2015/09/10	2016/03/30	16.00
29539	BOCI Asia Ltd. - HSCEI European Put Warrants May 2016 A	100,000,000	2015/09/10	2016/05/30	20.00
29484	BOCI Asia Ltd. - HSI European Warrants Mar 2016 B	100,000,000	2015/09/10	2016/03/30	18.00
29486	BOCI Asia Ltd. - HSI European Put Warrants Mar 2016 C	100,000,000	2015/09/10	2016/03/30	18.00
29704	Credit Suisse AG - CITIC Sec European Warrants Mar 2016 A	50,000,000	2015/09/10	2016/03/14	16.50
29707	Credit Suisse AG - Haitong Sec European Warrants Mar 2016 A	50,000,000	2015/09/10	2016/03/14	12.50
29852	J P Morgan SP BV - BOCL European Warrants Jul 2016 A	100,000,000	2015/09/10	2016/07/29	27.90
29781	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2016 A	100,000,000	2015/09/10	2016/06/01	39.00
29856	J P Morgan SP BV - ICBC European Warrants Jul 2016 A	100,000,000	2015/09/10	2016/07/29	40.60
29636	Macquarie Bank Ltd. - CPIC European Warrants May 2016 A	30,000,000	2015/09/10	2016/05/04	10.05
29763	Macquarie Bank Ltd. - Evergrande European Wts Jan 2018 A	100,000,000	2015/09/10	2018/01/03	25.00
29623	Macquarie Bank Ltd. - ICBC European Warrants May 2016 A	30,000,000	2015/09/10	2016/05/04	10.05
29387	Standard Chartered Bank - CNOOC European Put Wts Nov 2016 B	100,000,000	2015/09/10	2016/11/07	16.50
29348	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Aug 2016B	50,000,000	2015/09/10	2016/08/03	14.00
29639	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt May16B	80,000,000	2015/09/10	2016/05/05	32.00
29593	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Apr16 A	100,000,000	2015/09/10	2016/04/06	40.00
29382	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt Jun16A	40,000,000	2015/09/10	2016/06/03	10.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
29596	SGA Societe Generale Acceptance N.V - Ping An Eu Put Wt May16A	120,000,000	2015/09/10	2016/05/05	33.00
29603	SGA Societe Generale Acceptance N.V - Tencent Eu Put Wt Dec16A	500,000,000	2015/09/10	2016/12/05	125.00
29640	UBS AG - CNOOC European Warrants Jul 2018 A	100,000,000	2015/09/10	2018/07/26	21.00
29659	UBS AG - Sinopec Corp European Warrants Mar 2016 B	60,000,000	2015/09/10	2016/03/15	31.20
29644	UBS AG - Galaxy Ent European Warrants Feb 2016 A	70,000,000	2015/09/10	2016/02/23	19.25
29647	UBS AG - Galaxy Ent European Put Warrants Mar 2016 B	60,000,000	2015/09/10	2016/03/09	15.00
29657	UBS AG - PetroChina European Warrants Mar 2016 B	50,000,000	2015/09/10	2016/03/15	31.00
29651	UBS AG - Sands China European Put Warrants Mar 2016 A	60,000,000	2015/09/10	2016/03/09	15.00
29935	BOCI Asia Ltd. - Agricultural Bk European Wts May 2016 A	100,000,000	2015/09/11	2016/05/30	25.00
11011	BOCI Asia Ltd. - BOCL European Warrants May 2016 A	100,000,000	2015/09/11	2016/05/23	29.00
29863	BOCI Asia Ltd. - CC Bank European Warrants May 2016 A	100,000,000	2015/09/11	2016/05/03	40.00
11010	BOCI Asia Ltd. - ICBC European Warrants May 2016 A	100,000,000	2015/09/11	2016/05/10	36.00
11014	Goldman Sachs SP (Asia) - HSCEI European Put Wts May 2016 B	100,000,000	2015/09/11	2016/05/30	25.30
11012	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2016 C	100,000,000	2015/09/11	2016/04/28	25.40
11020	Goldman Sachs Sp (Asia) - ICBC European Warrants Mar 2016 A	80,000,000	2015/09/11	2016/03/31	24.96
11058	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Mar 2016 C	60,000,000	2015/09/11	2016/03/31	24.90
11061	J P Morgan SP BV - China Life European Put Wts May 2016 A	100,000,000	2015/09/11	2016/05/03	25.10
11070	J P Morgan SP BV - Guotai Junani European Warrants Apr 2016A	60,000,000	2015/09/11	2016/04/05	39.60
11060	J P Morgan SP BV - HSBC European Warrants Jun 2016 A	100,000,000	2015/09/11	2016/06/02	43.10
11062	J P Morgan SP BV - HSI European Warrants May 2016 B	300,000,000	2015/09/11	2016/05/30	75.30
11069	J P Morgan SP BV - HSI European Warrants May 2016 C	300,000,000	2015/09/11	2016/05/30	75.60
11019	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Mar 2016E	150,000,000	2015/09/11	2016/03/30	22.50
11018	SGA Societe Generale Acceptance N.V. - HSCEI Eu Wt Apr 2016B	150,000,000	2015/09/11	2016/04/28	37.50
11029	UBS AG - Agricultural Bank European Warrants Mar 2016 A	50,000,000	2015/09/11	2016/03/10	12.50
11028	UBS AG - China Cinda European Warrants Mar 2016 A	50,000,000	2015/09/11	2016/03/10	14.00
11040	UBS AG - CNOOC European Warrants Mar 2016 A	100,000,000	2015/09/11	2016/03/10	74.00
11026	UBS AG - HSBC European Warrants Feb 2016 A	100,000,000	2015/09/11	2016/02/24	18.00
11057	UBS AG - China Mengniu European Warrants Jul 2016 A	70,000,000	2015/09/11	2016/07/11	32.20
11056	UBS AG - Tencent Holdings European Warrants Feb 2016 D	500,000,000	2015/09/11	2016/02/22	75.00
11120	BNP Paribas Arbit Issu B.V. - AIA European Wts Apr 2016 B	50,000,000	2015/09/14	2016/04/05	15.50
11114	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2016 B	40,000,000	2015/09/14	2016/04/05	17.60
11092	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Mar 2016 D	100,000,000	2015/09/14	2016/03/30	27.00
11095	BNP Paribas Arbit Issu B.V. - HSCEI Euro Put Wts Mar 2016 E	100,000,000	2015/09/14	2016/03/30	25.00
11083	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2016 A	80,000,000	2015/09/14	2016/03/30	20.00
11090	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Mar 2016 B	80,000,000	2015/09/14	2016/03/30	21.60
11101	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Mar 2016 B	40,000,000	2015/09/14	2016/03/02	15.20
11136	Credit Suisse AG - HS H-SHARE European Warrants Feb 2016 A	80,000,000	2015/09/14	2016/02/26	32.00
11079	Macquarie Bank Ltd. - BOCL European Warrants Apr 2016 B	40,000,000	2015/09/14	2016/04/05	10.00
11073	Macquarie Bank Ltd. - CC Bank European Warrants Apr 2016 B	30,000,000	2015/09/14	2016/04/05	10.02
11077	Macquarie Bank Ltd. - China Life European Wts Apr 2016 B	40,000,000	2015/09/14	2016/04/05	10.00
11123	Macquarie Bank Ltd. - Minsheng Bank European Wts Apr 2016 A	16,000,000	2015/09/14	2016/04/05	10.08
11122	Macquarie Bank Ltd. - Kingsoft European Warrants May 2016 A	26,000,000	2015/09/14	2016/05/04	10.01
11128	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Jun 2016 A	100,000,000	2015/09/14	2016/06/03	34.50
11131	SGA Societe Generale Acceptance N.V. - CLife Eu Wt May 2016 A	150,000,000	2015/09/14	2016/05/05	48.75

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11133	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Jul16A	150,000,000	2015/09/14	2016/07/06	47.25
11168	UBS AG - CC Bank European Warrants Mar 2016 A	100,000,000	2015/09/14	2016/03/14	43.00
11149	UBS AG - CITIC Sec European Warrants Mar 2016 A	40,000,000	2015/09/14	2016/03/14	10.00
11143	UBS AG - China Overseas European Warrants Mar 2016 A	70,000,000	2015/09/14	2016/03/24	10.50
11142	UBS AG - HSBC European Warrants Apr 2016 A	100,000,000	2015/09/14	2016/04/11	45.00
11153	UBS AG - HSI European Warrants Jun 2016 A	300,000,000	2015/09/14	2016/06/29	75.00
11158	UBS AG - HSI European Put Warrants Jun 2016 B	300,000,000	2015/09/14	2016/06/29	75.00
11146	UBS AG - Stanchart European Warrants Apr 2016 A	20,000,000	2015/09/14	2016/04/11	10.00
25942 #	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2016 D	100,000,000	2015/09/14	2016/03/30	20.10
11369	Credit Suisse AG - BOC HK European Warrants Mar 2016 B	70,000,000	2015/09/15	2016/03/14	17.50
11400	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2016 C	80,000,000	2015/09/15	2016/03/31	38.32
11394	Goldman Sachs SP (Asia) - CC Bank European Wts Apr 2016 A	80,000,000	2015/09/15	2016/04/29	42.00
11302	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jan 2016 C	80,000,000	2015/09/15	2016/01/29	50.08
11292	Goldman Sachs SP (Asia) - China Mobile Euro Wts Mar 2017 A	300,000,000	2015/09/15	2017/03/23	45.00
11403	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Mar 2016 C	80,000,000	2015/09/15	2016/03/31	30.32
11258	Goldman Sachs SP (Asia) - HKEx European Warrants Apr 2016 B	300,000,000	2015/09/15	2016/04/15	75.00
11407	Goldman Sachs SP (Asia) - Sands China Euro Wts Apr 2016 A	80,000,000	2015/09/15	2016/04/29	20.16
11203	HK Bank - CC Bank European Warrants Jul 2016 A	80,000,000	2015/09/15	2016/07/29	28.00
11237	HK Bank - CITIC Sec European Warrants Jul 2016 A	80,000,000	2015/09/15	2016/07/29	20.00
11240	HK Bank - CKP European Warrants Jun 2016 A	60,000,000	2015/09/15	2016/06/30	22.80
11239	HK Bank - Galaxy Ent European Warrants Jun 2016 A	80,000,000	2015/09/15	2016/06/30	20.00
11235	HK Bank - HSCEI European Put Warrants Apr 2016 A	180,000,000	2015/09/15	2016/04/28	45.00
11220	HK Bank - HSI European Put Warrants Mar 2016 E	180,000,000	2015/09/15	2016/03/30	45.00
11204	HK Bank - PetroChina European Warrants Mar 2016 A	60,000,000	2015/09/15	2016/03/31	22.80
11423	J P Morgan SP BV - HSI European Put Warrants Mar 2016 G	300,000,000	2015/09/15	2016/03/30	46.50
11426	J P Morgan SP BV - HSI European Put Warrants Apr 2016 C	300,000,000	2015/09/15	2016/04/28	75.00
11361	Macquarie Bank Ltd. - China Life European Put Warrants Apr16C	40,000,000	2015/09/15	2016/04/05	10.00
11377	Macquarie Bank Ltd. - CNBM European Warrants May 2016 A	15,000,000	2015/09/15	2016/05/04	10.50
11368	Macquarie Bank Ltd. - KECL European Warrants Jul 2018 A	40,000,000	2015/09/15	2018/07/04	10.00
11387	Standard Chartered Bank - BOC HK European Wts May 2016 B	60,000,000	2015/09/15	2016/05/09	15.00
11331	Standard Chartered Bank - CC Bank European Wts Mar 2016 A	80,000,000	2015/09/15	2016/03/14	22.40
11336	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Dec17 A	100,000,000	2015/09/15	2017/12/05	25.00
11337	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2016 A	200,000,000	2015/09/15	2016/06/03	50.00
11351	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Mar 2017 A	200,000,000	2015/09/15	2017/03/03	50.00
11308	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Mar16F	150,000,000	2015/09/15	2016/03/30	35.25
11307	SGA Societe Generale Acceptance N.V. - HSCEI Eu Put Wt Dec16A	150,000,000	2015/09/15	2016/12/29	37.50
11312	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Apr 16 B	150,000,000	2015/09/15	2016/04/28	37.50
11321	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt May 16 A	150,000,000	2015/09/15	2016/05/30	37.50
11353	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Sep 16 B	500,000,000	2015/09/15	2016/09/05	125.00
11440	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2016 A	50,000,000	2015/09/16	2016/04/05	19.00
11438	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Jul 2016 A	50,000,000	2015/09/16	2016/07/05	20.50
11434	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Apr 2016 A	30,000,000	2015/09/16	2016/04/05	15.60
11448	Credit Suisse AG - Ch Overseas European Warrants Mar 2016 A	70,000,000	2015/09/16	2016/03/24	12.60
11453	Credit Suisse AG - HKEx European Put Warrants Feb 2016 C	70,000,000	2015/09/16	2016/02/26	14.70

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		發行單位	上市日期	到期日	
10356	J P Morgan SP BV - N225 Index Euro Wts Mar 2016 A	100,000,000	2015/09/16	2016/03/11	15.30
11442	UBS AG - Greatwall Motor European Warrants Mar 2016 A	30,000,000	2015/09/16	2016/03/15	10.35
11446	UBS AG - HSI European Warrants Mar 2016 K	300,000,000	2015/09/16	2016/03/30	45.00
11489	BOCI Asia Ltd. - BOC HK European Warrants Oct 2016 A	100,000,000	2015/09/17	2016/10/11	25.00
11480	BOCI Asia Ltd. - China Cinda European Warrants Apr 2016 A	100,000,000	2015/09/17	2016/04/25	38.00
11495	BOCI Asia Ltd. - CITIC Sec European Warrants May 2016 A	100,000,000	2015/09/17	2016/05/03	35.00
11498	BOCI Asia Ltd. - Haitong Sec European Warrants Aug 2016 A	100,000,000	2015/09/17	2016/08/29	31.00
11487	BOCI Asia Ltd. - Sands China European Put Wts May 2016 A	100,000,000	2015/09/17	2016/05/10	33.00
11639	Credit Suisse AG - AIA European Warrants May 2016 A	80,000,000	2015/09/17	2016/05/30	20.00
11509	HK Bank - AIA European Warrants Mar 2016 B	80,000,000	2015/09/17	2016/03/31	20.00
11510	HK Bank - China Life European Warrants Feb 2016 B	100,000,000	2015/09/17	2016/02/29	15.00
11508	HK Bank - China Mobile European Warrants Mar 2016 B	60,000,000	2015/09/17	2016/03/31	24.00
11501	HK Bank - HSI European Warrants Mar 2016 F	180,000,000	2015/09/17	2016/03/30	27.00
11503	HK Bank - HSI European Warrants Mar 2016 G	180,000,000	2015/09/17	2016/03/30	45.00
11634	HK Bank - HSI European Put Warrants Mar 2016 H	180,000,000	2015/09/17	2016/03/30	45.00
11534	HK Bank - Tencent European Warrants Jun 2016 D	100,000,000	2015/09/17	2016/06/29	16.00
10357	J P Morgan SP BV - N225 Idx Euro Put Wts Sep 2016 A	100,000,000	2015/09/17	2016/09/09	25.70
11470	Macquarie Bank Ltd. - BOCL European Put Warrants Apr 2016 C	40,000,000	2015/09/17	2016/04/05	10.00
11478	Macquarie Bank Ltd. - BYD European Warrants May 2016 A	17,000,000	2015/09/17	2016/05/04	10.01
11467	Macquarie Bank Ltd. - Kingdee Int'l European Wts May 2016 A	22,000,000	2015/09/17	2016/05/04	10.01
11630	Standard Chartered Bank - BYD European Warrants Aug 2016 A	50,000,000	2015/09/17	2016/08/08	40.00
11624	Standard Chartered Bank - HSI European Warrants Mar 2016 F	100,000,000	2015/09/17	2016/03/30	25.00
11631	Standard Chartered Bank - Kingsoft European Wts Aug 2016 A	50,000,000	2015/09/17	2016/08/08	14.75
11546	SGA Societe Generale Acceptance N.V. - CITIC Euro Wt May17 A	40,000,000	2015/09/17	2017/05/04	10.00
11567	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Nov 2016 A	200,000,000	2015/09/17	2016/11/03	50.00
11588	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2017 A	200,000,000	2015/09/17	2017/06/05	52.00
11635	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Mar 2016 F	150,000,000	2015/09/17	2016/03/30	25.50
11597	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Dec 2016A	60,000,000	2015/09/17	2016/12/05	15.00
11606	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Sep17A	150,000,000	2015/09/17	2017/09/05	22.50
11632	UBS AG - CKH European Warrants Jun 2017 B	300,000,000	2015/09/17	2017/06/23	45.00
11676	Credit Suisse AG - China Life European Warrants Feb 2016 A	80,000,000	2015/09/18	2016/02/26	12.00
11672	Standard Chartered Bank - HSI European Put Wts Feb 2016 A	100,000,000	2015/09/18	2016/02/26	31.00
11720	Goldman Sachs SP (Asia) - Agri Bk European Wts May 2016 A	100,000,000	2015/09/21	2016/05/30	25.30
11735	Goldman Sachs SP (Asia) - BOCL European Warrants Jul 2016 A	100,000,000	2015/09/21	2016/07/22	34.80
11736	Goldman Sachs SP (Asia) - PetroChina European Wts May 2016 A	50,000,000	2015/09/21	2016/05/04	39.05
11741	Goldman Sachs SP (Asia) - Sands China Euro Wts May 2016 A	80,000,000	2015/09/21	2016/05/31	30.40
11762	J P Morgan SP BV - CPIC European Warrants Jul 2016 A	80,000,000	2015/09/21	2016/07/04	23.52
11744	J P Morgan SP BV - JX Copper European Warrants Mar 2017 A	80,000,000	2015/09/21	2017/03/03	20.08
11704	Macquarie Bank Ltd. - AIA European Warrants Apr 2016 A	30,000,000	2015/09/21	2016/04/05	10.02
11714	Macquarie Bank Ltd. - Lenovo European Warrants May 2018 A	40,000,000	2015/09/21	2018/05/03	10.00
11699	Standard Chartered Bank - Haitong Sec Euro Wts May 2016 A	80,000,000	2015/09/21	2016/05/23	20.00
11680	Standard Chartered Bank - PetroChina European Wts Aug 2016 A	80,000,000	2015/09/21	2016/08/01	33.60
11683	Standard Chartered Bank - Sands China Euro Wts Mar 2016 B	80,000,000	2015/09/21	2016/03/24	12.80
10363	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Jun 2016 B	150,000,000	2015/09/21	2016/06/10	37.50

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		發行單位	上市日期	到期日	
10358	UBS AG - Nikkei 225 European Warrants Mar 2016 A	100,000,000	2015/09/21	2016/03/11	17.00
10360	UBS AG - Nikkei 225 European Warrants Jun 2016 A	100,000,000	2015/09/21	2016/06/10	25.00
10359	UBS AG - Nikkei 225 European Warrants Dec 2016 A	100,000,000	2015/09/21	2016/12/09	25.00
10361	UBS AG - Nikkei 225 European Put Warrants Jun 2016 B	100,000,000	2015/09/21	2016/06/10	25.00
10362	UBS AG - Nikkei 225 European Put Warrants Dec 2016 B	100,000,000	2015/09/21	2016/12/09	25.00
25795 #	Credit Suisse AG - HSI European Put Warrants Mar 2016 C	130,000,000	2015/09/21	2016/03/30	15.47
11846	Credit Suisse AG - HSBC European Put Warrants Mar 2016 B	50,000,000	2015/09/22	2016/03/30	17.50
11776	HK Bank - CNOOC European Warrants Jul 2018 A	180,000,000	2015/09/22	2018/07/31	32.40
11769	HK Bank - CSOP A50 ETF European Warrants Dec 2016 A	100,000,000	2015/09/22	2016/12/29	15.00
11796	HK Bank - HSCEI European Warrants Mar 2016 H	180,000,000	2015/09/22	2016/03/30	45.00
11765	HK Bank - HSCEI European Put Warrants Mar 2016 G	180,000,000	2015/09/22	2016/03/30	45.00
11774	HK Bank - Sands China European Warrants Jul 2016 A	60,000,000	2015/09/22	2016/07/29	18.00
11830	Macquarie Bank Ltd. - China Life European Wts Apr 2016 D	40,000,000	2015/09/22	2016/04/05	10.00
11812	Standard Chartered Bank - HKEx European Put Wts May 2016 A	80,000,000	2015/09/22	2016/05/26	14.40
11803	Standard Chartered Bank - Jiangxi Copper Euro Wts Nov 2016 A	80,000,000	2015/09/22	2016/11/07	20.00
11942	Credit Suisse AG - Fosun Intl European Warrants Mar 2016 B	70,000,000	2015/09/23	2016/03/22	17.50
11902	Macquarie Bank Ltd. - CKH European Warrants Apr 2016 A	15,000,000	2015/09/23	2016/04/05	10.01
11938	Macquarie Bank Ltd. - Fosun Intl European Warrants Jul 2016A	40,000,000	2015/09/23	2016/07/05	10.00
11876	Standard Chartered Bank - AIA European Warrants Mar 2016 A	80,000,000	2015/09/23	2016/03/22	23.20
11878	Standard Chartered Bank - AIA European Put Wts Apr 2016 A	60,000,000	2015/09/23	2016/04/05	15.00
11880	Standard Chartered Bank - CKH European Warrants Apr 2016 A	80,000,000	2015/09/23	2016/04/01	30.40
11854	Standard Chartered Bank - China Life Euro Put Wts Mar 2016 A	100,000,000	2015/09/23	2016/03/24	20.00
11987	UBS AG - CITIC European Warrants Apr 2016 A	70,000,000	2015/09/23	2016/04/26	10.50
11975	UBS AG - Galaxy Ent European Put Warrants Feb 2016 B	60,000,000	2015/09/23	2016/02/24	24.00
11953	UBS AG - Haitong Sec European Warrants May 2016 A	70,000,000	2015/09/23	2016/05/11	17.50
12113	BOCI Asia Ltd. - China Life European Put Warrants Apr 2016 A	100,000,000	2015/09/24	2016/04/28	30.00
12106	BOCI Asia Ltd. - HSBC European Put Warrants May 2016 A	100,000,000	2015/09/24	2016/05/06	41.00
12109	BOCI Asia Ltd. - PetroChina European Warrants Apr 2016 A	100,000,000	2015/09/24	2016/04/06	44.00
12110	BOCI Asia Ltd. - Ping An European Put Warrants May 2016 A	100,000,000	2015/09/24	2016/05/03	31.00
12127	Credit Suisse AG - China Cinda European Warrants Apr 2016 A	70,000,000	2015/09/24	2016/04/29	17.50
12126	Credit Suisse AG - CRRC European Warrants Mar 2016 A	70,000,000	2015/09/24	2016/03/21	11.20
12129	J P Morgan SP BV - BOCL European Put Wts Apr 2016 A	80,000,000	2015/09/24	2016/04/05	20.08
12128	J P Morgan SP BV - CC Bank Euro Put Wts Apr 2016 B	80,000,000	2015/09/24	2016/04/05	28.48
12130	Macquarie Bank Ltd. - CRRC European Warrants Sep 2016 A	40,000,000	2015/09/24	2016/09/29	10.08
11988	Standard Chartered Bank - CRRC European Warrants Mar 2016 A	80,000,000	2015/09/24	2016/03/23	12.80
12114	SGA Societe Generale Acceptance N.V. - BOCL Eu Put Wt May16A	100,000,000	2015/09/24	2016/05/05	27.00
12115	SGA Societe Generale Acceptance N.V. - CCB Euro Put Wt May16A	100,000,000	2015/09/24	2016/05/05	35.50
12116	SGA Societe Generale Acceptance N.V. - Fosun Intl Eu Wt Jul16A	40,000,000	2015/09/24	2016/07/06	11.00
12118	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Jun16B	200,000,000	2015/09/24	2016/06/03	38.00
12120	SGA Societe Generale Acceptance N.V. - ICBC Eu Put Wt May16A	100,000,000	2015/09/24	2016/05/05	35.50
12121	UBS AG - Ping An European Warrants Feb 2016 C	150,000,000	2015/09/24	2016/02/22	22.50
12122	UBS AG - Sands China European Warrants Feb 2016 A	70,000,000	2015/09/24	2016/02/29	11.90
12124	UBS AG - Sands China European Put Warrants Mar 2016 B	60,000,000	2015/09/24	2016/03/23	22.50
12145	BNP Paribas Arbit Issu B.V. - R&F Ppt Euro Wts Mar 2017 A	60,000,000	2015/09/25	2017/03/02	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12146	BNP Paribas Arbit Issu B.V. - Jiangxi Copper Eu Wt Nov 2016A	60,000,000	2015/09/25	2016/11/02	15.00
12143	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Apr 2016 A	30,000,000	2015/09/25	2016/04/05	13.80
12151	BNP Paribas Arbit Issu B.V. - Tracker Fund Euro Wts Jan 17 A	70,000,000	2015/09/25	2017/01/04	10.50
12144	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts Jul 2016 A	60,000,000	2015/09/25	2016/07/05	15.00
12142	Credit Suisse AG - AIA European Put Warrants Feb 2016 B	50,000,000	2015/09/25	2016/02/29	12.50
12148	Credit Suisse AG - HSBC European Wts Apr 2016 A	80,000,000	2015/09/25	2016/04/29	12.00
12135	Credit Suisse AG - Ping An European Put Warrants Mar 2016 D	70,000,000	2015/09/25	2016/03/31	19.60
12133	Credit Suisse AG - Tencent Holdings Euro Put Wts Nov 2016 A	100,000,000	2015/09/25	2016/11/30	17.00
12152	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Dec 2016 A	60,000,000	2015/09/25	2016/12/29	15.00
12131	Macquarie Bank Ltd. - Tencent European Warrants Apr 2016 B	10,000,000	2015/09/25	2016/04/05	10.00
12132	Macquarie Bank Ltd. - Tencent European Warrants Apr 2016 C	17,000,000	2015/09/25	2016/04/05	10.01
12149	SGA Societe Generale Acceptance N.V. - CRRC Euro Wts Aug16 A	60,000,000	2015/09/25	2016/08/03	15.00
12150	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Apr16A	80,000,000	2015/09/25	2016/04/06	20.00
12164	J P Morgan SP BV - CRRC European Warrants Sep 2016 A	100,000,000	2015/09/29	2016/09/01	25.10
12153	Macquarie Bank Ltd. - Tencent European Put Wts Apr 2016 D	21,000,000	2015/09/29	2016/04/05	10.04
12163	Macquarie Bank Ltd. - China Vanke European Warrants May 16 B	40,000,000	2015/09/29	2016/05/04	10.00
12159	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Apr16C	30,000,000	2015/09/29	2016/04/06	25.20
12160	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt May16B	30,000,000	2015/09/29	2016/05/05	21.00
12162	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2016F	30,000,000	2015/09/29	2016/04/06	31.20
12169	Bank of East Asia - Ch Comm Cons European Wts Nov 2016 A	40,000,000	2015/09/30	2016/11/18	10.00
12167	Bank of East Asia - CITIC European Put Warrants Sep 2016 B	40,000,000	2015/09/30	2016/09/30	10.00
12168	Bank of East Asia - CNOOC European Put Warrants Jan 2017 A	40,000,000	2015/09/30	2017/01/03	10.00
12180	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2016 H	100,000,000	2015/09/30	2016/03/30	17.00
12179	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2016 D	100,000,000	2015/09/30	2016/04/28	25.20
12166	Macquarie Bank Ltd. - CC Bank European Warrants Apr 2016 C	40,000,000	2015/09/30	2016/04/05	10.00
12165	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Apr 16 E	50,000,000	2015/09/30	2016/04/06	56.50
12172	SGA Societe Generale Acceptance N.V. - Ch Vanke Eu Wts Apr16 A	40,000,000	2015/09/30	2016/04/06	10.40
12176	UBS AG - China Mobile European Warrants Mar 2016 B	100,000,000	2015/09/30	2016/03/23	66.00
12173	UBS AG - Galaxy Ent European Put Warrants Mar 2016 C	60,000,000	2015/09/30	2016/03/29	15.00
12177	UBS AG - Lenovo European Warrants Dec 2017 A	100,000,000	2015/09/30	2017/12/20	19.50
12175	UBS AG - Tencent Holdings European Warrants Jul 2016 A	300,000,000	2015/09/30	2016/07/05	45.00
總額					46,018.14

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2014	第3季	—	3,414.81
	第4季	—	1,264.59
2015	第1季	—	1,023.80
	第2季	—	2,000.30
	第3季	—	5,208.52

債券面值

		發行數目	面值(百萬港元)
2014	第3季	578	1,990,202.04
	第4季	640	2,221,339.57
2015	第1季	665	2,393,288.98
	第2季	708	2,637,617.58
	第3季	736	2,750,133.27

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2014	第3季	61	199,702.38
	第4季	75	267,144.56
2015	第1季	38	186,536.69
	第2季	59	307,836.43
	第3季	37	141,193.57

主板 債務證券

新上市債券

2015年第3季

股份 股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
05536	中國銀行股份有限公司2.125%票據2018年	USD 1,000,000,000	2015/07/02	2018/06/30	7,781.98
05537	中國銀行股份有限公司2.875%票據2020年	USD 800,000,000	2015/07/02	2020/06/30	6,223.59
05538	中國銀行股份有限公司3.875%票據2025年	USD 500,000,000	2015/07/02	2025/06/30	3,873.79
05539	中駿置業控股有限公司10.00%優先票據2020年	USD 350,000,000	2015/07/03	2020/07/02	2,703.82
85718	Unican Ltd. 5.15%有擔保人民幣票據2018年	RMB 1,000,000,000	2015/07/03	2018/07/02	1,249.80
05540	中國人壽保險股份有限公司不定期二級資本證券	USD 1,280,000,000	2015/07/06	-	9,984.00
05970 #	五洲國際控股有限公司13.75%優先票據2018年	USD 100,000,000	2015/07/07	2018/09/26	780.00
05541	China Overseas Land Int'l (Cayman) Ltd. 1.75%有擔保票據2019年	EUR 600,000,000	2015/07/16	2019/07/15	5,096.74
05542	騰訊控股有限公司4.70%優先票據2035年	USD 100,000,000	2015/07/16	2035/07/15	775.00
04227	中華人民共和國香港特別行政區2.13%債券2030年	HKD 600,000,000	2015/07/17	2030/07/16	589.14
05821 #	天譽置業(控股)有限公司0.10%債券2024年	HKD 20,000,000	2015/07/17	2024/09/12	-
05543	YONGDA Investment Ltd. 3.75%有擔保債券2020年	USD 400,000,000	2015/07/22	2020/07/21	3,105.24
05544	茂昇投資有限公司3.25%信用增強債券2020年	USD 300,000,000	2015/07/24	2020/07/23	2,327.30
05546	Zhaohai Investment (BVI) Ltd. 3.10%有擔保債券2018年	USD 300,000,000	2015/07/24	2018/07/23	2,326.22
05547	Zhaohai Investment (BVI) Ltd. 4.00%有擔保債券2020年	USD 500,000,000	2015/07/24	2020/07/23	3,878.01
05545	Goldwind New Energy (HK) Invest 2.50%信用增強債券2018年	USD 300,000,000	2015/07/27	2018/07/24	2,329.75
05855 #	天譽置業(控股)有限公司0.10%債券2031年	HKD 20,000,000	2015/07/28	2031/11/14	-
05548	CCBL (Cayman) Corporation Ltd. 3.25%有擔保票據2020年	USD 500,000,000	2015/07/29	2020/07/28	3,834.91
05549	Eastern Creation II Investment Holdings Ltd. 1.50%票據2019年	EUR 600,000,000	2015/07/30	2019/07/29	5,115.52
05550	COSL Singapore Capital Ltd. 3.50%有擔保票據2020	USD 500,000,000	2015/07/31	2020/07/30	3,881.24
05551	COSL Singapore Capital Ltd. 4.50%有擔保票據2025	USD 500,000,000	2015/07/31	2025/07/30	3,876.13
05552	MINMETALS BOUNTEOUS FINANCE (BVI) LTD 3.50%有擔保債券2020	USD 500,000,000	2015/07/31	2020/07/30	3,880.54
05553	MINMETALS BOUNTEOUS FINANCE (BVI) LTD 4.75%有擔保債券2025	USD 500,000,000	2015/07/31	2025/07/30	3,894.46
85719	Rosy Capital Global Ltd. 5.25%有擔保人民幣債券2018年	RMB 1,300,000,000	2015/07/31	2018/07/30	1,622.40
05554	China Merchants Finance Co. Ltd. 3.50%有擔保票據2020	USD 200,000,000	2015/08/04	2020/08/03	1,556.80
05555	China Merchants Finance Co. Ltd. 4.75%有擔保票據2025	USD 500,000,000	2015/08/04	2025/08/03	3,890.17
85705 #	東方鴻盛有限公司6.50%有擔保人民幣債券2017年	RMB 620,000,000	2015/08/06	2017/11/26	778.60
05855 #	天譽置業(控股)有限公司0.10%債券2031年	HKD 30,000,000	2015/08/07	2031/11/14	-
04228	中華人民共和國香港特別行政區政府通脹掛鉤債券2018年	HKD 10,000,000,000	2015/08/10	2018/08/07	10,000.00
05821 #	天譽置業(控股)有限公司0.10%債券2024年	HKD 10,000,000	2015/08/11	2024/09/12	-
05855 #	天譽置業(控股)有限公司0.10%債券2031年	HKD 10,000,000	2015/08/11	2031/11/14	-
05556	神州租車有限公司6.00%優先票據2021年	USD 300,000,000	2015/08/12	2021/02/11	2,313.11
05557	泛海控股國際2015有限公司9.625%有擔保優先票據2020年	USD 400,000,000	2015/08/12	2020/08/11	3,120.00
05558	Shanghai Electric Power Finance Ltd. 3.625%有擔保債券2020年	USD 500,000,000	2015/08/12	2020/08/11	3,883.43
05559	綠城中國控股有限公司5.875%優先票據2020年	USD 500,000,000	2015/08/12	2020/08/11	548.36
05524 #	旭勝有限公司5.50%有擔保債券2018年	USD 185,000,000	2015/08/12	2018/06/05	1,446.61
85720	HNA Tourism Finance Ltd. 9.25%有擔保人民幣票據2017年	RMB 450,000,000	2015/08/13	2017/08/12	552.20
04061	香港金融管理局0.46%外匯基金票據2017年8月	HKD 1,200,000,000	2015/08/20	2017/08/21	1,199.52
05831 #	Eastern Creation II Invest Holdings 2.625%有擔保票據2017年	USD 225,000,000	2015/08/21	2017/11/20	1,754.28
05560	Azure Orbit II Int'l Finance Ltd. 3.125%有擔保票據2020年	USD 385,000,000	2015/08/24	2020/08/21	2,991.71
05561	Azure Orbit II Int'l Finance Ltd. 浮息有擔保票據2018年	EUR 100,000,000	2015/08/24	2018/08/21	870.78

主 板 債 務 證 券

股份代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
05821 #	天譽置業(控股)有限公司0.10%債券2024年	HKD	10,000,000	2015/09/10	2024/09/12	-
05855 #	天譽置業(控股)有限公司0.10%債券2031年	HKD	20,000,000	2015/09/10	2031/11/14	-
05562	Greenland Global Investment Ltd. 4.30%票據2017年	USD	300,000,000	2015/09/17	2017/09/16	2,340.00
05563	Shanghai Pudong Dev Bank Co., Ltd. HK Branch 2.50%票據2018年	USD	500,000,000	2015/09/18	2018/09/17	3,890.49
05564	CSCI Finance (2015) Co. Ltd. 3.125%信用增強債券2020年	USD	200,000,000	2015/09/18	2020/09/17	1,558.64
05565	Swire Pacific MTN Financing Ltd. 3.875%有擔保票據2025年	USD	500,000,000	2015/09/22	2025/09/21	3,895.83
05566	中國工商銀行股份有限公司4.875%二級資本後償票據2025年	USD	2,000,000,000	2015/09/22	2025/09/21	15,473.48
總額						141,193.57

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬元)	房地產投資 信託基金 (REITs) (百萬元)	其他 (百萬元)	合計 (百萬元)	佔市場 百分比
2014	第3季	350,528.48	17,291.58	78.56	367,898.62	8.18
	第4季	516,125.20	24,602.84	100.91	540,828.95	10.88
2015	第1季	534,465.77	20,224.94	146.89	554,837.60	10.59
	第2季	780,241.86	25,576.54	775.90	806,594.30	8.26
	第3季	568,942.55	22,063.78	92.32	591,098.64	9.32

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬元)		房地產投資 信託基金 (REIT) (百萬元)		其他 (百萬元)		合計 (百萬元)	
		發行數目		發行數目		發行數目		發行數目	
2014	第3季	121	582,204.26	11	191,896.58	1	1,418.33	133	775,519.16
	第4季	122	559,491.12	11	205,636.83	1	1,764.52	134	766,892.47
2015	第1季	126	566,607.94	11	207,324.24	1	2,077.14	138	776,009.32
	第2季	130	565,937.82	11	204,798.09	1	2,635.24	142	773,371.15
	第3季	131	483,672.20	11	187,754.18	1	1,739.34	143	673,165.72

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬元)
2014	第3季	1	487.50
	第4季	3	—
2015	第1季	5	—
	第2季	4	—
	第3季	1	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2015年第3季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83156/03156	廣發國際MSCI中國A股國際指數ETF	廣發國際資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2015/07/29

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2014	第3季	1,918	61,358.71	338,575.61
	第4季	1,579	55,419.48	336,442.50
2015	第1季	1,641	51,783.06	379,789.64
	第2季	1,905	84,638.00	433,656.16
	第3季	1,871	104,265.78	520,050.32

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2014	第3季	2,307	111,530.32
	第4季	2,482	122,022.54
2015	第1季	2,287	101,545.07
	第2季	3,066	133,613.20
	第3季	3,799	161,333.51

主板 可收回牛熊證

新上市牛熊證

2015 年第 3 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60106	BNP Paribas Arbit Issu B.V. - CC Bank R Bull CBBC Dec 2015 B	100,000,000	2015/07/02	2015/12/30	25.00
60197	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 R	200,000,000	2015/07/02	2016/02/26	50.00
60117	BNP Paribas Arbit Issu B.V. - TCH R Bear CBBC Dec 2015 B	50,000,000	2015/07/02	2015/12/30	12.50
60075	Credit Suisse AG - HSCEI R Bull CBBC Nov 2015 J	108,000,000	2015/07/02	2015/11/27	27.00
60077	Credit Suisse AG - HSCEI R Bull CBBC Nov 2015 K	108,000,000	2015/07/02	2015/11/27	27.00
60196	Credit Suisse AG - HSI R Bull CBBC Jan 2016 A	200,000,000	2015/07/02	2016/01/28	50.00
60088	Credit Suisse AG - HSI R Bull CBBC Jan 2016 X	200,000,000	2015/07/02	2016/01/28	50.00
60093	Credit Suisse AG - HSI R Bull CBBC Jan 2016 Y	200,000,000	2015/07/02	2016/01/28	50.00
60074	Credit Suisse AG - HSI R Bear CBBC Nov 2015 Q	200,000,000	2015/07/02	2015/11/27	50.00
60080	Credit Suisse AG - HSI R Bear CBBC Nov 2015 S	200,000,000	2015/07/02	2015/11/27	50.00
69996	HK Bank - China Mobile R Bull CBBC Jul 2016 A	120,000,000	2015/07/02	2016/07/18	30.00
69981	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2016 J	200,000,000	2015/07/02	2016/03/14	50.00
69983	HK Bank - A50 R Bull CBBC Mar 2016 I	200,000,000	2015/07/02	2016/03/14	50.00
69985	HK Bank - HKEx R Bull CBBC Jul 2016 D	80,000,000	2015/07/02	2016/07/18	20.00
60013	HK Bank - HSCEI R Bull CBBC Mar 2016 G	100,000,000	2015/07/02	2016/03/30	25.00
69979	HK Bank - HSI R Bull CBBC Jul 2016 D	150,000,000	2015/07/02	2016/07/28	37.50
69977	HK Bank - TCH R Bull CBBC Jun 2016 C	100,000,000	2015/07/02	2016/06/27	25.00
60189	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 U	200,000,000	2015/07/02	2016/03/30	50.00
60192	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 V	200,000,000	2015/07/02	2016/03/30	50.00
60194	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 B	200,000,000	2015/07/02	2015/10/29	50.00
60195	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 G	200,000,000	2015/07/02	2015/11/27	50.00
60047	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 P	100,000,000	2015/07/02	2015/10/29	25.00
60069	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 Q	100,000,000	2015/07/02	2015/10/29	25.00
60184	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 R	100,000,000	2015/07/02	2015/10/29	25.00
60065	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 D	100,000,000	2015/07/02	2015/10/29	25.00
60027	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 Q	200,000,000	2015/07/02	2016/02/26	50.00
60043	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov15 A	200,000,000	2015/07/02	2015/11/27	50.00
60144	UBS AG - HSCEI R Bull CBBC Dec 2015 M	200,000,000	2015/07/02	2015/12/30	50.00
60170	UBS AG - HSI R Bull CBBC Jan 2016 Q	200,000,000	2015/07/02	2016/01/28	50.00
60168	UBS AG - HSI R Bull CBBC Feb 2016 T	200,000,000	2015/07/02	2016/02/26	50.00
60147	UBS AG - HSI R Bull CBBC Mar 2016 C	200,000,000	2015/07/02	2016/03/30	50.00
60169	UBS AG - HSI R Bull CBBC Mar 2016 D	200,000,000	2015/07/02	2016/03/30	50.00
60125	UBS AG - HSI R Bear CBBC Oct 2015 E	200,000,000	2015/07/02	2015/10/29	50.00
60124	UBS AG - HSI R Bear CBBC Oct 2015 W	200,000,000	2015/07/02	2015/10/29	50.00
60258	Credit Suisse AG - HSI R Bull CBBC Mar 2016 J	200,000,000	2015/07/03	2016/03/30	50.00
60277	Credit Suisse AG - HSI R Bull CBBC Mar 2016 K	200,000,000	2015/07/03	2016/03/30	50.00
60300	Credit Suisse AG - HSI R Bull CBBC Mar 2016 L	200,000,000	2015/07/03	2016/03/30	50.00
60325	Credit Suisse AG - HSI R Bear CBBC Oct 2015 E	200,000,000	2015/07/03	2015/10/29	50.00
60314	Credit Suisse AG - HSI R Bear CBBC Oct 2015 W	200,000,000	2015/07/03	2015/10/29	50.00
60216	HK Bank - CNOOC R Bull CBBC Jul 2016 A	60,000,000	2015/07/03	2016/07/25	15.00
60209	HK Bank - Sinopec Corp R Bull CBBC Jul 2016 B	80,000,000	2015/07/03	2016/07/25	20.00
60235	HK Bank - Lenovo R Bull CBBC Sep 2016 B	60,000,000	2015/07/03	2016/09/12	15.00
60213	HK Bank - PetCh R Bull CBBC Jul 2016 A	60,000,000	2015/07/03	2016/07/25	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60221	HK Bank - Ping An R Bull CBBC Apr 2016 I	188,000,000	2015/07/03	2016/04/18	47.00
60257	HK Bank - Sands China R Bear CBBC Aug 2016 A	60,000,000	2015/07/03	2016/08/22	30.00
60243	HK Bank - TCH R Bull CBBC Apr 2016 B	100,000,000	2015/07/03	2016/04/18	25.00
60245	HK Bank - TCH R Bull CBBC Apr 2016 C	100,000,000	2015/07/03	2016/04/25	25.00
60242	HK Bank - TCH R Bull CBBC Jul 2016 A	100,000,000	2015/07/03	2016/07/11	25.00
60397	J P Morgan SP BV - HSCEI R Bear CBBC Dec 2015 I	100,000,000	2015/07/03	2015/12/30	25.00
60199	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 Q	200,000,000	2015/07/03	2016/02/26	50.00
60355	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 W	200,000,000	2015/07/03	2016/03/30	50.00
60380	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 D	200,000,000	2015/07/03	2015/10/29	50.00
60383	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 H	200,000,000	2015/07/03	2015/11/27	50.00
60302	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 S	100,000,000	2015/07/03	2015/10/29	25.00
60313	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 E	100,000,000	2015/07/03	2015/10/29	25.00
60207	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 Q	200,000,000	2015/07/03	2016/01/28	50.00
60312	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 U	200,000,000	2015/07/03	2016/01/28	50.00
60354	UBS AG - HSCEI R Bear CBBC Oct 2015 L	200,000,000	2015/07/03	2015/10/29	50.00
60334	UBS AG - HSI R Bull CBBC Jan 2016 X	200,000,000	2015/07/03	2016/01/28	50.00
60349	UBS AG - HSI R Bull CBBC Feb 2016 F	200,000,000	2015/07/03	2016/02/26	50.00
60331	UBS AG - HSI R Bull CBBC Feb 2016 U	200,000,000	2015/07/03	2016/02/26	50.00
60350	UBS AG - HSI R Bear CBBC Nov 2015 Q	200,000,000	2015/07/03	2015/11/27	50.00
60352	UBS AG - HSI R Bear CBBC Nov 2015 R	200,000,000	2015/07/03	2015/11/27	50.00
60353	UBS AG - HSI R Bear CBBC Nov 2015 S	200,000,000	2015/07/03	2015/11/27	50.00
60609	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bear CBBC Oct15A	100,000,000	2015/07/06	2015/10/29	25.00
60604	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Nov 2015 E	100,000,000	2015/07/06	2015/11/27	25.00
60591	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2015 W	100,000,000	2015/07/06	2015/12/30	25.00
60412	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 P	200,000,000	2015/07/06	2016/01/28	50.00
60550	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 Q	200,000,000	2015/07/06	2016/01/28	50.00
60405	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 S	200,000,000	2015/07/06	2016/02/26	50.00
60545	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 T	200,000,000	2015/07/06	2016/02/26	50.00
60553	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 I	200,000,000	2015/07/06	2015/10/29	50.00
60587	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 J	200,000,000	2015/07/06	2015/10/29	50.00
60585	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 F	200,000,000	2015/07/06	2015/11/27	50.00
61003	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 G	200,000,000	2015/07/06	2015/11/27	50.00
60951	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2016 E	100,000,000	2015/07/06	2016/01/28	25.00
60957	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Nov 2015 E	100,000,000	2015/07/06	2015/11/27	25.00
60958	Credit Suisse AG - A50 China R Bull CBBC Jan 2016 E	100,000,000	2015/07/06	2016/01/28	25.00
60998	Credit Suisse AG - A50 China R Bear CBBC Nov 2015 E	100,000,000	2015/07/06	2015/11/27	25.00
60431	Credit Suisse AG - HSI R Bull CBBC Mar 2016 M	200,000,000	2015/07/06	2016/03/30	50.00
60436	Credit Suisse AG - HSI R Bull CBBC Mar 2016 N	200,000,000	2015/07/06	2016/03/30	50.00
60451	Credit Suisse AG - HSI R Bull CBBC Mar 2016 O	200,000,000	2015/07/06	2016/03/30	50.00
60615	Credit Suisse AG - HSI R Bull CBBC Mar 2016 P	200,000,000	2015/07/06	2016/03/30	50.00
60636	Credit Suisse AG - HSI R Bull CBBC Mar 2016 Q	200,000,000	2015/07/06	2016/03/30	50.00
60637	Credit Suisse AG - HSI R Bear CBBC Oct 2015 D	200,000,000	2015/07/06	2015/10/29	50.00
60950	Credit Suisse AG - HSI R Bear CBBC Oct 2015 H	200,000,000	2015/07/06	2015/10/29	50.00
60639	Credit Suisse AG - HSI R Bear CBBC Oct 2015 J	200,000,000	2015/07/06	2015/10/29	50.00
60644	Credit Suisse AG - HSI R Bear CBBC Oct 2015 X	200,000,000	2015/07/06	2015/10/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61007	Bank of East Asia - CSOP A50 ETF R Bull CBBC Mar 2016 B	60,000,000	2015/07/06	2016/03/16	15.00
61009	Bank of East Asia - A50 China R Bull CBBC Apr 2016 B	60,000,000	2015/07/06	2016/04/21	15.00
61015	Bank of East Asia - Haitong Sec R Bull CBBC May 2016 A	30,000,000	2015/07/06	2016/05/26	18.00
61013	Bank of East Asia - Lenovo R Bull CBBC Sep 2016 A	40,000,000	2015/07/06	2016/09/22	10.00
60404	HK Bank - China Mobile R Bull CBBC Oct 2016 A	120,000,000	2015/07/06	2016/10/24	30.00
60401	HK Bank - CC Bank R Bull CBBC Sep 2016 E	100,000,000	2015/07/06	2016/09/26	25.00
60399	HK Bank - CITIC Sec R Bull CBBC Jul 2016 A	60,000,000	2015/07/06	2016/07/25	27.00
60846	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Mar 2016 B	100,000,000	2015/07/06	2016/03/02	25.00
60859	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Apr 2016 A	100,000,000	2015/07/06	2016/04/01	25.00
60868	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC May 2016 A	100,000,000	2015/07/06	2016/05/04	27.00
60899	J P Morgan SP BV - A50 China R Bull CBBC May 2016 A	100,000,000	2015/07/06	2016/05/04	25.00
60536	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2015 G	100,000,000	2015/07/06	2015/12/30	25.00
60718	J P Morgan SP BV - HSCEI R Bear CBBC Feb 2016 C	100,000,000	2015/07/06	2016/02/26	25.00
60428	J P Morgan SP BV - HSI R Bull CBBC Jan 2016 N	200,000,000	2015/07/06	2016/01/28	50.00
60532	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 X	200,000,000	2015/07/06	2016/03/30	50.00
60737	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 Y	200,000,000	2015/07/06	2016/03/30	50.00
60738	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 U	200,000,000	2015/07/06	2015/10/29	50.00
60745	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 V	200,000,000	2015/07/06	2015/10/29	50.00
60760	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 I	200,000,000	2015/07/06	2015/11/27	50.00
60928	J P Morgan SP BV - Ping An R Bull CBBC Mar 2016 C	100,000,000	2015/07/06	2016/03/02	25.00
60942	J P Morgan SP BV - Ping An R Bull CBBC May 2016 A	100,000,000	2015/07/06	2016/05/04	25.00
60943	J P Morgan SP BV - Tencent R Bull CBBC Jul 2016 A	100,000,000	2015/07/06	2016/07/04	25.00
60506	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 T	100,000,000	2015/07/06	2015/10/29	25.00
60513	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 U	100,000,000	2015/07/06	2015/10/29	25.00
60515	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 V	100,000,000	2015/07/06	2015/10/29	25.00
60452	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 F	100,000,000	2015/07/06	2015/10/29	25.00
60478	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 G	100,000,000	2015/07/06	2015/10/29	25.00
60525	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 H	100,000,000	2015/07/06	2015/10/29	25.00
60772	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Jan16C	100,000,000	2015/07/06	2016/01/28	25.00
60802	SGA Societe Generale Acceptance N.V. - CSA50 R Bear CBBC Jan16D	100,000,000	2015/07/06	2016/01/28	30.00
60809	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jan16B	100,000,000	2015/07/06	2016/01/28	25.00
60810	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Jan16C	100,000,000	2015/07/06	2016/01/28	30.00
60424	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan 16V	200,000,000	2015/07/06	2016/01/28	50.00
60481	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan 16W	200,000,000	2015/07/06	2016/01/28	50.00
60812	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15T	200,000,000	2015/07/06	2015/12/30	50.00
60679	UBS AG - HSCEI R Bull CBBC Jan 2016 I	200,000,000	2015/07/06	2016/01/28	50.00
60844	UBS AG - HSCEI R Bear CBBC Oct 2015 M	200,000,000	2015/07/06	2015/10/29	50.00
60645	UBS AG - HSI R Bull CBBC Feb 2016 M	200,000,000	2015/07/06	2016/02/26	50.00
60655	UBS AG - HSI R Bull CBBC Feb 2016 R	200,000,000	2015/07/06	2016/02/26	50.00
60656	UBS AG - HSI R Bull CBBC Feb 2016 V	200,000,000	2015/07/06	2016/02/26	50.00
60713	UBS AG - HSI R Bull CBBC Feb 2016 W	200,000,000	2015/07/06	2016/02/26	50.00
60706	UBS AG - HSI R Bull CBBC Mar 2016 E	200,000,000	2015/07/06	2016/03/30	50.00
60827	UBS AG - HSI R Bear CBBC Oct 2015 F	200,000,000	2015/07/06	2015/10/29	50.00
60840	UBS AG - HSI R Bear CBBC Oct 2015 V	200,000,000	2015/07/06	2015/10/29	50.00
60834	UBS AG - HSI R Bear CBBC Oct 2015 X	200,000,000	2015/07/06	2015/10/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60841	UBS AG - HSI R Bear CBBC Nov 2015 T	200,000,000	2015/07/06	2015/11/27	50.00
60842	UBS AG - HSI R Bear CBBC Nov 2015 U	200,000,000	2015/07/06	2015/11/27	50.00
61386	BNP Paribas Arbit Issu B.V. - CC Bank R Bull CBBC Dec 2015 C	100,000,000	2015/07/07	2015/12/30	25.00
61394	BNP Paribas Arbit Issu B.V. - CC Bank R Bear CBBC Dec 2015 B	100,000,000	2015/07/07	2015/12/30	25.00
61347	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15Q	150,000,000	2015/07/07	2015/12/30	37.50
61349	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bear CBBC Oct15B	100,000,000	2015/07/07	2015/10/29	25.00
61366	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Dec 2015 E	40,000,000	2015/07/07	2015/12/30	10.00
61355	BNP Paribas Arbit Issu B.V. - HS H-SH ETF R Bull CBBC Jan16B	60,000,000	2015/07/07	2016/01/28	15.00
61333	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 E	100,000,000	2015/07/07	2015/11/27	25.00
61340	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 F	100,000,000	2015/07/07	2015/11/27	25.00
61343	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2015 X	100,000,000	2015/07/07	2015/12/30	25.00
61078	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 A	250,000,000	2015/07/07	2016/01/28	62.50
61320	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 B	250,000,000	2015/07/07	2016/01/28	62.50
61321	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 L	250,000,000	2015/07/07	2016/01/28	62.50
61077	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 V	250,000,000	2015/07/07	2016/01/28	62.50
61332	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 A	200,000,000	2015/07/07	2015/10/29	50.00
61326	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 K	200,000,000	2015/07/07	2015/10/29	50.00
61329	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 L	200,000,000	2015/07/07	2015/10/29	50.00
61330	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 M	200,000,000	2015/07/07	2015/10/29	50.00
61382	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2015 E	68,000,000	2015/07/07	2015/12/30	17.00
61383	BNP Paribas Arbit Issu B.V. - Ping An R Bear CBBC Dec 2015 B	68,000,000	2015/07/07	2015/12/30	17.00
61381	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2015 D	50,000,000	2015/07/07	2015/12/30	14.00
61211	Credit Suisse AG - China Mobile R Bull CBBC Jan 2016 A	50,000,000	2015/07/07	2016/01/28	12.50
61231	Credit Suisse AG - China Life R Bull CBBC Mar 2016 A	50,000,000	2015/07/07	2016/03/30	12.50
61208	Credit Suisse AG - HS H-SH ETF R Bull CBBC Jan 2016 C	50,000,000	2015/07/07	2016/01/28	12.50
61156	Credit Suisse AG - HSCEI R Bull CBBC Dec 2015 N	128,000,000	2015/07/07	2015/12/30	32.00
61157	Credit Suisse AG - HSCEI R Bear CBBC Oct 2015 I	128,000,000	2015/07/07	2015/10/29	32.00
61160	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 C	128,000,000	2015/07/07	2015/11/27	32.00
61072	Credit Suisse AG - HSI R Bull CBBC Feb 2016 A	200,000,000	2015/07/07	2016/02/26	50.00
61073	Credit Suisse AG - HSI R Bull CBBC Feb 2016 B	200,000,000	2015/07/07	2016/02/26	50.00
61066	Credit Suisse AG - HSI R Bull CBBC Feb 2016 C	200,000,000	2015/07/07	2016/02/26	50.00
61074	Credit Suisse AG - HSI R Bull CBBC Feb 2016 D	200,000,000	2015/07/07	2016/02/26	50.00
61411	Credit Suisse AG - HSI R Bull CBBC Feb 2016 E	200,000,000	2015/07/07	2016/02/26	50.00
61419	Credit Suisse AG - HSI R Bull CBBC Feb 2016 F	200,000,000	2015/07/07	2016/02/26	50.00
61426	Credit Suisse AG - HSI R Bull CBBC Feb 2016 G	200,000,000	2015/07/07	2016/02/26	50.00
61060	Credit Suisse AG - HSI R Bull CBBC Feb 2016 Z	200,000,000	2015/07/07	2016/02/26	50.00
61405	Credit Suisse AG - HSI R Bear CBBC Oct 2015 V	200,000,000	2015/07/07	2015/10/29	50.00
61429	Credit Suisse AG - HSI R Bear CBBC Nov 2015 P	200,000,000	2015/07/07	2015/11/27	50.00
61432	Credit Suisse AG - HSI R Bear CBBC Nov 2015 R	200,000,000	2015/07/07	2015/11/27	50.00
61427	Credit Suisse AG - HSI R Bear CBBC Nov 2015 T	200,000,000	2015/07/07	2015/11/27	50.00
61428	Credit Suisse AG - HSI R Bear CBBC Dec 2015 R	200,000,000	2015/07/07	2015/12/30	50.00
61431	Credit Suisse AG - HSI R Bear CBBC Dec 2015 S	200,000,000	2015/07/07	2015/12/30	50.00
61442	Credit Suisse AG - HSI R Bear CBBC Dec 2015 T	200,000,000	2015/07/07	2015/12/30	50.00
61234	Credit Suisse AG - Ping An R Bull CBBC Jan 2016 C	50,000,000	2015/07/07	2016/01/28	12.50
61229	Credit Suisse AG - TCH R Bull CBBC Jan 2016 A	50,000,000	2015/07/07	2016/01/28	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61016	J P Morgan SP BV - HSI R Bull CBBC Jan 2016 O	200,000,000	2015/07/07	2016/01/28	50.00
61022	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 J	200,000,000	2015/07/07	2016/02/26	50.00
61097	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 Z	200,000,000	2015/07/07	2016/03/30	50.00
61088	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 W	100,000,000	2015/07/07	2015/10/29	25.00
61089	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 X	100,000,000	2015/07/07	2015/10/29	25.00
61293	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 Y	100,000,000	2015/07/07	2015/10/29	25.00
61318	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 Z	100,000,000	2015/07/07	2015/10/29	25.00
61079	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 I	100,000,000	2015/07/07	2015/10/29	25.00
61081	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 J	100,000,000	2015/07/07	2015/10/29	25.00
61319	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 K	100,000,000	2015/07/07	2015/10/29	25.00
61253	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 P	200,000,000	2015/07/07	2016/01/28	50.00
61264	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 R	200,000,000	2015/07/07	2016/01/28	50.00
61025	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 X	200,000,000	2015/07/07	2016/01/28	50.00
61024	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 R	200,000,000	2015/07/07	2016/02/26	50.00
61042	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 S	200,000,000	2015/07/07	2016/02/26	50.00
61059	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 T	200,000,000	2015/07/07	2016/02/26	50.00
61241	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 U	200,000,000	2015/07/07	2016/02/26	50.00
61248	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 V	200,000,000	2015/07/07	2016/02/26	50.00
61256	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 W	200,000,000	2015/07/07	2016/02/26	50.00
61267	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 X	200,000,000	2015/07/07	2016/02/26	50.00
61287	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov15 D	200,000,000	2015/07/07	2015/11/27	50.00
61274	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec15 U	200,000,000	2015/07/07	2015/12/30	50.00
61288	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec15 V	200,000,000	2015/07/07	2015/12/30	50.00
61473	UBS AG - HSCEI R Bull CBBC Jan 2016 J	200,000,000	2015/07/07	2016/01/28	50.00
61467	UBS AG - HSCEI R Bull CBBC Feb 2016 G	200,000,000	2015/07/07	2016/02/26	50.00
61505	UBS AG - HSCEI R Bull CBBC Feb 2016 H	200,000,000	2015/07/07	2016/02/26	50.00
61502	UBS AG - HSCEI R Bull CBBC Mar 2016 C	200,000,000	2015/07/07	2016/03/30	50.00
61591	UBS AG - HSCEI R Bear CBBC Oct 2015 N	200,000,000	2015/07/07	2015/10/29	50.00
61565	UBS AG - HSCEI R Bear CBBC Nov 2015 I	200,000,000	2015/07/07	2015/11/27	50.00
61566	UBS AG - HSCEI R Bear CBBC Nov 2015 J	200,000,000	2015/07/07	2015/11/27	50.00
61497	UBS AG - HSI R Bull CBBC Feb 2016 A	200,000,000	2015/07/07	2016/02/26	50.00
61615	UBS AG - HSI R Bull CBBC Feb 2016 D	200,000,000	2015/07/07	2016/02/26	50.00
61461	UBS AG - HSI R Bull CBBC Feb 2016 X	200,000,000	2015/07/07	2016/02/26	50.00
61459	UBS AG - HSI R Bull CBBC Mar 2016 F	200,000,000	2015/07/07	2016/03/30	50.00
61482	UBS AG - HSI R Bull CBBC Mar 2016 G	200,000,000	2015/07/07	2016/03/30	50.00
61500	UBS AG - HSI R Bull CBBC Mar 2016 H	200,000,000	2015/07/07	2016/03/30	50.00
61594	UBS AG - HSI R Bull CBBC Mar 2016 I	200,000,000	2015/07/07	2016/03/30	50.00
61519	UBS AG - HSI R Bear CBBC Oct 2015 J	200,000,000	2015/07/07	2015/10/29	50.00
61525	UBS AG - HSI R Bear CBBC Oct 2015 N	200,000,000	2015/07/07	2015/10/29	50.00
61551	UBS AG - HSI R Bear CBBC Oct 2015 Q	200,000,000	2015/07/07	2015/10/29	50.00
61522	UBS AG - HSI R Bear CBBC Nov 2015 V	200,000,000	2015/07/07	2015/11/27	50.00
61541	UBS AG - HSI R Bear CBBC Nov 2015 W	200,000,000	2015/07/07	2015/11/27	50.00
61544	UBS AG - HSI R Bear CBBC Nov 2015 X	200,000,000	2015/07/07	2015/11/27	50.00
61548	UBS AG - HSI R Bear CBBC Nov 2015 Y	200,000,000	2015/07/07	2015/11/27	50.00
61556	UBS AG - HSI R Bear CBBC Nov 2015 Z	200,000,000	2015/07/07	2015/11/27	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61761	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15R	150,000,000	2015/07/08	2015/12/30	37.50
61762	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15S	150,000,000	2015/07/08	2015/12/30	37.50
61768	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 M	150,000,000	2015/07/08	2015/12/30	37.50
61773	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 N	150,000,000	2015/07/08	2015/12/30	37.50
61758	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 G	100,000,000	2015/07/08	2015/11/27	25.00
61759	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2015 X	100,000,000	2015/07/08	2015/12/30	25.00
61725	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Nov 2015 D	100,000,000	2015/07/08	2015/11/27	25.00
61723	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 U	250,000,000	2015/07/08	2016/02/26	62.50
61739	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 V	250,000,000	2015/07/08	2016/02/26	62.50
61740	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 W	250,000,000	2015/07/08	2016/02/26	62.50
61724	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 H	200,000,000	2015/07/08	2015/11/27	50.00
61783	Credit Suisse AG - HSI R Bull CBBC Jan 2016 B	200,000,000	2015/07/08	2016/01/28	50.00
61785	Credit Suisse AG - HSI R Bull CBBC Jan 2016 C	200,000,000	2015/07/08	2016/01/28	50.00
61790	Credit Suisse AG - HSI R Bull CBBC Jan 2016 D	200,000,000	2015/07/08	2016/01/28	50.00
61793	Credit Suisse AG - HSI R Bull CBBC Jan 2016 G	200,000,000	2015/07/08	2016/01/28	50.00
61697	Credit Suisse AG - HSI R Bear CBBC Nov 2015 U	200,000,000	2015/07/08	2015/11/27	50.00
61700	Credit Suisse AG - HSI R Bear CBBC Nov 2015 V	200,000,000	2015/07/08	2015/11/27	50.00
61703	Credit Suisse AG - HSI R Bear CBBC Nov 2015 Z	200,000,000	2015/07/08	2015/11/27	50.00
61695	Credit Suisse AG - HSI R Bear CBBC Dec 2015 U	200,000,000	2015/07/08	2015/12/30	50.00
61699	Credit Suisse AG - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/07/08	2015/12/30	50.00
61701	Credit Suisse AG - HSI R Bear CBBC Dec 2015 W	200,000,000	2015/07/08	2015/12/30	50.00
61781	Bank of East Asia - CC Bank R Bull CBBC May 2016 C	60,000,000	2015/07/08	2016/05/26	15.00
61782	Bank of East Asia - CSOP A50 ETF R Bull CBBC May 2016 A	60,000,000	2015/07/08	2016/05/12	15.00
61778	Bank of East Asia - HKEx R Bull CBBC Apr 2016 B	40,000,000	2015/07/08	2016/04/19	13.20
61779	Bank of East Asia - HS H-SH ETF R Bull CBBC May 2016 B	40,000,000	2015/07/08	2016/05/24	10.00
61780	Bank of East Asia - Ping An R Bull CBBC May 2016 C	40,000,000	2015/07/08	2016/05/27	10.00
61667	HK Bank - CC Bank R Bear CBBC Sep 2016 A	40,000,000	2015/07/08	2016/09/05	10.00
61672	HK Bank - China Life R Bear CBBC Apr 2016 A	50,000,000	2015/07/08	2016/04/25	25.50
61665	HK Bank - A50 R Bull CBBC Apr 2016 F	200,000,000	2015/07/08	2016/04/25	60.00
61662	HK Bank - A50 R Bull CBBC May 2016 C	200,000,000	2015/07/08	2016/05/30	50.00
61677	HK Bank - HKEx R Bull CBBC Jan 2016 I	80,000,000	2015/07/08	2016/01/25	20.00
61678	HK Bank - HKEx R Bull CBBC Jun 2016 J	80,000,000	2015/07/08	2016/06/20	25.60
61675	HK Bank - HKEx R Bear CBBC Jul 2016 C	50,000,000	2015/07/08	2016/07/11	12.50
61655	HK Bank - HSCEI R Bull CBBC Mar 2016 H	100,000,000	2015/07/08	2016/03/30	25.00
61673	HK Bank - HSCEI R Bull CBBC Mar 2016 I	100,000,000	2015/07/08	2016/03/30	25.00
61652	HK Bank - HSCEI R Bull CBBC Apr 2016 E	100,000,000	2015/07/08	2016/04/28	25.00
61629	HK Bank - HSCEI R Bear CBBC Mar 2016 J	68,000,000	2015/07/08	2016/03/30	17.00
61642	HK Bank - HSCEI R Bear CBBC Apr 2016 I	68,000,000	2015/07/08	2016/04/28	17.00
61616	HK Bank - HSI R Bull CBBC Jan 2016 N	150,000,000	2015/07/08	2016/01/28	37.50
61661	HK Bank - HSI R Bull CBBC Jul 2016 E	400,000,000	2015/07/08	2016/07/28	100.00
61658	HK Bank - HSI R Bull CBBC Aug 2016 A	400,000,000	2015/07/08	2016/08/30	100.00
61625	HK Bank - HSI R Bear CBBC Jan 2016 F	150,000,000	2015/07/08	2016/01/28	37.50
61617	HK Bank - HSI R Bear CBBC Mar 2016 U	100,000,000	2015/07/08	2016/03/30	25.00
61624	HK Bank - HSI R Bear CBBC Mar 2016 V	100,000,000	2015/07/08	2016/03/30	25.00
61777	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2015 A	40,000,000	2015/07/08	2015/10/29	10.04

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61774	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2015 A	40,000,000	2015/07/08	2015/10/29	10.00
61733	Standard Chartered Bank - Galaxy Ent R Bull CBBC Mar 2016 A	50,000,000	2015/07/08	2016/03/02	27.50
61729	Standard Chartered Bank - HKEx R Bull CBBC Mar 2016 A	50,000,000	2015/07/08	2016/03/24	12.50
61731	Standard Chartered Bank - HKEx R Bear CBBC Feb 2016 B	50,000,000	2015/07/08	2016/02/11	12.50
61735	Standard Chartered Bank - HSCEI R Bull CBBC Oct 2015 J	100,000,000	2015/07/08	2015/10/29	25.00
61736	Standard Chartered Bank - HSCEI R Bear CBBC Oct 2015 F	100,000,000	2015/07/08	2015/10/29	25.00
61737	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 L	100,000,000	2015/07/08	2015/10/29	25.00
61679	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jan16G	200,000,000	2015/07/08	2016/01/28	50.00
61680	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16I	200,000,000	2015/07/08	2016/02/26	50.00
61681	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16J	200,000,000	2015/07/08	2016/02/26	50.00
61683	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15H	200,000,000	2015/07/08	2015/12/30	50.00
61688	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15I	200,000,000	2015/07/08	2015/12/30	50.00
61691	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15J	200,000,000	2015/07/08	2015/12/30	50.00
61682	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16C	200,000,000	2015/07/08	2016/01/28	50.00
61684	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16D	200,000,000	2015/07/08	2016/01/28	50.00
61690	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16E	200,000,000	2015/07/08	2016/01/28	50.00
61722	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov15 B	200,000,000	2015/07/08	2015/11/27	50.00
61721	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov15 Y	200,000,000	2015/07/08	2015/11/27	50.00
61838	UBS AG - HSI R Bull CBBC Dec 2015 X	200,000,000	2015/07/08	2015/12/30	50.00
61841	UBS AG - HSI R Bull CBBC Dec 2015 Y	200,000,000	2015/07/08	2015/12/30	50.00
61836	UBS AG - HSI R Bull CBBC Jan 2016 Y	200,000,000	2015/07/08	2016/01/28	50.00
61837	UBS AG - HSI R Bull CBBC Jan 2016 Z	200,000,000	2015/07/08	2016/01/28	50.00
61842	UBS AG - HSI R Bull CBBC Feb 2016 Y	200,000,000	2015/07/08	2016/02/26	50.00
61813	UBS AG - HSI R Bear CBBC Oct 2015 R	200,000,000	2015/07/08	2015/10/29	50.00
61796	UBS AG - HSI R Bear CBBC Nov 2015 A	200,000,000	2015/07/08	2015/11/27	50.00
61833	UBS AG - HSI R Bear CBBC Nov 2015 G	200,000,000	2015/07/08	2015/11/27	50.00
61811	UBS AG - HSI R Bear CBBC Dec 2015 A	200,000,000	2015/07/08	2015/12/30	50.00
61812	UBS AG - HSI R Bear CBBC Dec 2015 B	200,000,000	2015/07/08	2015/12/30	50.00
61821	UBS AG - HSI R Bear CBBC Dec 2015 C	200,000,000	2015/07/08	2015/12/30	50.00
61902	Credit Suisse AG - HKEx R Bull CBBC Dec 2015 G	100,000,000	2015/07/09	2015/12/30	25.00
61904	Credit Suisse AG - HKEx R Bull CBBC Dec 2015 H	100,000,000	2015/07/09	2015/12/30	38.00
61903	Credit Suisse AG - HKEx R Bear CBBC Nov 2015 D	100,000,000	2015/07/09	2015/11/27	32.00
61892	Credit Suisse AG - HSCEI R Bull CBBC Nov 2015 L	108,000,000	2015/07/09	2015/11/27	27.00
61899	Credit Suisse AG - HSCEI R Bull CBBC Nov 2015 M	108,000,000	2015/07/09	2015/11/27	27.00
61910	Credit Suisse AG - HSI R Bull CBBC Jan 2016 F	200,000,000	2015/07/09	2016/01/28	50.00
61913	Credit Suisse AG - HSI R Bull CBBC Jan 2016 H	200,000,000	2015/07/09	2016/01/28	50.00
61914	Credit Suisse AG - HSI R Bull CBBC Jan 2016 I	200,000,000	2015/07/09	2016/01/28	50.00
61920	Credit Suisse AG - HSI R Bull CBBC Jan 2016 J	200,000,000	2015/07/09	2016/01/28	50.00
61880	Credit Suisse AG - HSI R Bear CBBC Nov 2015 A	200,000,000	2015/07/09	2015/11/27	50.00
61881	Credit Suisse AG - HSI R Bear CBBC Nov 2015 B	200,000,000	2015/07/09	2015/11/27	50.00
61992	Bank of East Asia - Galaxy Ent R Bull CBBC Feb 2016 A	120,000,000	2015/07/09	2016/02/29	30.00
61990	Bank of East Asia - HKEx R Bull CBBC May 2016 A	40,000,000	2015/07/09	2016/05/06	10.00
61991	Bank of East Asia - HKEx R Bear CBBC May 2016 A	40,000,000	2015/07/09	2016/05/09	10.00
61993	Bank of East Asia - Sands China R Bull CBBC Jun 2016 A	120,000,000	2015/07/09	2016/06/03	30.00
61999	Bank of East Asia - Sands China R Bull CBBC Aug 2016 A	120,000,000	2015/07/09	2016/08/09	30.00

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61847	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2016 K	200,000,000	2015/07/09	2016/03/07	50.00
61849	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2016 E	200,000,000	2015/07/09	2016/04/11	50.00
61856	HK Bank - CSOP A50 ETF R Bull CBBC May 2016 C	200,000,000	2015/07/09	2016/05/06	50.00
61858	HK Bank - A50 R Bull CBBC Apr 2016 G	200,000,000	2015/07/09	2016/04/11	50.00
61857	HK Bank - A50 R Bull CBBC May 2016 D	200,000,000	2015/07/09	2016/05/09	50.00
61862	HK Bank - HKEx R Bull CBBC Jul 2016 E	80,000,000	2015/07/09	2016/07/04	20.00
61848	HK Bank - HSCEI R Bull CBBC Jul 2016 B	100,000,000	2015/07/09	2016/07/28	25.00
61867	HK Bank - HSI R Bull CBBC Apr 2016 O	150,000,000	2015/07/09	2016/04/28	37.50
61866	HK Bank - HSI R Bear CBBC May 2016 K	100,000,000	2015/07/09	2016/05/30	25.00
61878	HK Bank - HSI R Bear CBBC Jun 2016 E	100,000,000	2015/07/09	2016/06/29	25.00
61853	HK Bank - HSI R Bear CBBC Jul 2016 A	100,000,000	2015/07/09	2016/07/28	25.00
61879	HK Bank - HSI R Bear CBBC Aug 2016 A	400,000,000	2015/07/09	2016/08/30	100.00
61860	HK Bank - Ping An R Bull CBBC Feb 2016 I	188,000,000	2015/07/09	2016/02/29	47.00
61846	HK Bank - Ping An R Bull CBBC Apr 2016 J	188,000,000	2015/07/09	2016/04/13	47.00
61985	J P Morgan SP BV - China Life R Bull CBBC Mar 2016 B	100,000,000	2015/07/09	2016/03/30	27.00
61979	J P Morgan SP BV - A50 China R Bull CBBC Jan 2016 D	100,000,000	2015/07/09	2016/01/28	25.00
61981	J P Morgan SP BV - HKEx R Bull CBBC Jan 2016 A	100,000,000	2015/07/09	2016/01/28	25.00
61984	J P Morgan SP BV - HKEx R Bull CBBC Feb 2016 A	100,000,000	2015/07/09	2016/02/26	25.00
61972	J P Morgan SP BV - HSCEI R Bull CBBC Mar 2016 G	100,000,000	2015/07/09	2016/03/30	25.00
61978	J P Morgan SP BV - HSCEI R Bear CBBC Jan 2016 F	100,000,000	2015/07/09	2016/01/28	25.00
61974	J P Morgan SP BV - HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/07/09	2016/03/30	25.00
61958	J P Morgan SP BV - HSI R Bull CBBC Dec 2015 Z	200,000,000	2015/07/09	2015/12/30	50.00
61961	J P Morgan SP BV - HSI R Bull CBBC Jan 2016 P	200,000,000	2015/07/09	2016/01/28	50.00
61962	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 I	200,000,000	2015/07/09	2016/02/26	50.00
61963	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 F	200,000,000	2015/07/09	2016/03/30	50.00
61946	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 A	200,000,000	2015/07/09	2015/10/29	50.00
61947	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 W	200,000,000	2015/07/09	2015/10/29	50.00
61948	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 K	200,000,000	2015/07/09	2015/11/27	50.00
61887	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 B	100,000,000	2015/07/09	2015/10/29	25.00
61890	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 M	100,000,000	2015/07/09	2015/10/29	25.00
61908	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 Y	200,000,000	2015/07/09	2016/01/28	50.00
61909	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov15 Z	200,000,000	2015/07/09	2015/11/27	50.00
61942	UBS AG - HKEx R Bull CBBC Aug 2016 A	50,000,000	2015/07/09	2016/08/15	20.25
61938	UBS AG - HSI R Bull CBBC Jan 2016 A	200,000,000	2015/07/09	2016/01/28	50.00
61941	UBS AG - HSI R Bull CBBC Jan 2016 B	200,000,000	2015/07/09	2016/01/28	50.00
61937	UBS AG - HSI R Bull CBBC Feb 2016 C	200,000,000	2015/07/09	2016/02/26	50.00
61935	UBS AG - HSI R Bull CBBC Feb 2016 Z	200,000,000	2015/07/09	2016/02/26	50.00
61936	UBS AG - HSI R Bull CBBC Mar 2016 J	200,000,000	2015/07/09	2016/03/30	50.00
61929	UBS AG - HSI R Bear CBBC Oct 2015 T	200,000,000	2015/07/09	2015/10/29	50.00
61933	UBS AG - HSI R Bear CBBC Nov 2015 E	200,000,000	2015/07/09	2015/11/27	50.00
61932	UBS AG - HSI R Bear CBBC Nov 2015 I	200,000,000	2015/07/09	2015/11/27	50.00
61934	UBS AG - HSI R Bear CBBC Dec 2015 D	200,000,000	2015/07/09	2015/12/30	50.00
62162	BNP Paribas Arbit Issu B.V. - Ch Mob R Bear CBBC Dec 2015 B	75,000,000	2015/07/10	2015/12/31	18.75
62136	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15T	150,000,000	2015/07/10	2015/12/31	46.50
62139	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Nov 2015 F	100,000,000	2015/07/10	2015/11/30	25.00

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62143	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Dec 2015 F	40,000,000	2015/07/10	2015/12/31	13.60
62142	BNP Paribas Arbit Issu B.V. - HS H-SH ETF R Bull CBBC Jan16C	60,000,000	2015/07/10	2016/01/29	15.00
62134	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 I	200,000,000	2015/07/10	2015/11/27	50.00
62161	BNP Paribas Arbit Issu B.V. - TCH R Bear CBBC Dec 2015 C	50,000,000	2015/07/10	2015/12/31	19.00
62065	Credit Suisse AG - BOCL R Bull CBBC Feb 2016 A	60,000,000	2015/07/10	2016/02/25	15.00
62063	Credit Suisse AG - CCB R Bull CBBC Feb 2016 A	60,000,000	2015/07/10	2016/02/25	15.00
62039	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2016 A	100,000,000	2015/07/10	2016/02/25	32.00
62041	Credit Suisse AG - A50 China R Bull CBBC Feb 2016 A	100,000,000	2015/07/10	2016/02/25	25.00
62042	Credit Suisse AG - A50 China R Bull CBBC Feb 2016 B	100,000,000	2015/07/10	2016/02/25	31.00
62050	Credit Suisse AG - A50 China R Bull CBBC Feb 2016 C	100,000,000	2015/07/10	2016/02/25	38.00
62054	Credit Suisse AG - HKEx R Bull CBBC Feb 2016 A	100,000,000	2015/07/10	2016/02/25	45.00
62059	Credit Suisse AG - HKEx R Bull CBBC Feb 2016 B	100,000,000	2015/07/10	2016/02/25	25.00
62101	Credit Suisse AG - HKEx R Bull CBBC Mar 2016 A	100,000,000	2015/07/10	2016/03/31	47.00
62102	Credit Suisse AG - HKEx R Bull CBBC Mar 2016 B	100,000,000	2015/07/10	2016/03/31	65.00
62036	Credit Suisse AG - HS H-SH ETF R Bull CBBC Feb 2016 A	60,000,000	2015/07/10	2016/02/25	15.00
62071	Credit Suisse AG - HSI R Bull CBBC Feb 2016 H	200,000,000	2015/07/10	2016/02/26	50.00
62072	Credit Suisse AG - HSI R Bull CBBC Feb 2016 I	200,000,000	2015/07/10	2016/02/26	50.00
62073	Credit Suisse AG - HSI R Bull CBBC Feb 2016 J	200,000,000	2015/07/10	2016/02/26	50.00
62110	Credit Suisse AG - HSI R Bear CBBC Nov 2015 C	200,000,000	2015/07/10	2015/11/27	50.00
62103	Credit Suisse AG - HSI R Bear CBBC Dec 2015 X	200,000,000	2015/07/10	2015/12/30	50.00
62111	Credit Suisse AG - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/07/10	2015/12/30	50.00
62112	Credit Suisse AG - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/07/10	2016/01/28	50.00
62064	Credit Suisse AG - ICBC R Bull CBBC Feb 2016 A	60,000,000	2015/07/10	2016/02/25	15.00
62028	HK Bank - CSOP A50 ETF R Bear CBBC Jul 2016 B	40,000,000	2015/07/10	2016/07/25	10.00
62004	HK Bank - A50 R Bear CBBC Jul 2016 A	50,000,000	2015/07/10	2016/07/25	12.50
62002	HK Bank - Galaxy Ent R Bull CBBC Jun 2016 A	50,000,000	2015/07/10	2016/06/27	26.00
62027	HK Bank - HKEx R Bear CBBC Jun 2016 B	50,000,000	2015/07/10	2016/06/30	12.50
62029	HK Bank - HSI R Bull CBBC Sep 2016 A	400,000,000	2015/07/10	2016/09/29	100.00
62030	HK Bank - HSI R Bull CBBC Sep 2016 B	150,000,000	2015/07/10	2016/09/29	37.50
62032	HK Bank - HSI R Bull CBBC Sep 2016 C	150,000,000	2015/07/10	2016/09/29	37.50
62003	HK Bank - Sands China R Bull CBBC Jul 2016 A	50,000,000	2015/07/10	2016/07/29	19.50
62000	HK Bank - TCH R Bear CBBC Apr 2016 D	100,000,000	2015/07/10	2016/04/18	25.00
62129	J P Morgan SP BV - HSCEI R Bull CBBC Apr 2016 A	100,000,000	2015/07/10	2016/04/28	25.00
62131	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 A	100,000,000	2015/07/10	2016/04/28	25.00
62115	J P Morgan SP BV - HSI R Bull CBBC Jan 2016 W	200,000,000	2015/07/10	2016/01/28	50.00
62033	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 L	200,000,000	2015/07/10	2016/02/26	50.00
62117	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 M	200,000,000	2015/07/10	2016/02/26	50.00
62118	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 B	200,000,000	2015/07/10	2016/03/30	50.00
62119	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 X	200,000,000	2015/07/10	2015/10/29	50.00
62120	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 L	200,000,000	2015/07/10	2015/11/27	50.00
62128	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 F	200,000,000	2015/07/10	2015/12/30	50.00
62035	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 C	100,000,000	2015/07/10	2015/10/29	25.00
62034	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 N	100,000,000	2015/07/10	2015/10/29	25.00
62074	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Nov15 S	200,000,000	2015/07/10	2015/11/27	50.00
62081	UBS AG - HSCEI R Bull CBBC Mar 2016 D	200,000,000	2015/07/10	2016/03/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62096	UBS AG - HSCEI R Bull CBBC Mar 2016 E	200,000,000	2015/07/10	2016/03/30	50.00
62077	UBS AG - HSI R Bull CBBC Jan 2016 C	200,000,000	2015/07/10	2016/01/28	50.00
62080	UBS AG - HSI R Bull CBBC Jan 2016 T	200,000,000	2015/07/10	2016/01/28	50.00
62100	UBS AG - HSI R Bear CBBC Nov 2015 F	200,000,000	2015/07/10	2015/11/27	50.00
62099	UBS AG - HSI R Bear CBBC Nov 2015 H	200,000,000	2015/07/10	2015/11/27	50.00
62472	BNP Paribas Arbit Issu B.V. - CC Bank R Bull CBBC Dec 2015 D	100,000,000	2015/07/13	2015/12/31	25.00
62445	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 O	150,000,000	2015/07/13	2015/12/31	46.50
62466	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Dec 2015 G	40,000,000	2015/07/13	2015/12/31	10.00
62468	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Dec 2015 H	40,000,000	2015/07/13	2015/12/31	18.00
62440	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 H	100,000,000	2015/07/13	2015/11/27	25.00
62441	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2015 Y	100,000,000	2015/07/13	2015/12/30	25.00
62215	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2015 B	250,000,000	2015/07/13	2015/12/30	62.50
62434	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2015 C	250,000,000	2015/07/13	2015/12/30	62.50
62219	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2015 F	250,000,000	2015/07/13	2015/12/30	62.50
62431	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2015 W	250,000,000	2015/07/13	2015/12/30	62.50
62433	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 C	250,000,000	2015/07/13	2016/01/28	62.50
62214	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 W	250,000,000	2015/07/13	2016/01/28	62.50
62217	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 X	250,000,000	2015/07/13	2016/01/28	62.50
62435	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 J	200,000,000	2015/07/13	2015/11/27	50.00
62436	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 K	200,000,000	2015/07/13	2015/11/27	50.00
62437	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 L	200,000,000	2015/07/13	2015/11/27	50.00
62438	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 M	200,000,000	2015/07/13	2015/11/27	50.00
62439	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 N	200,000,000	2015/07/13	2015/11/27	50.00
62469	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2015 E	50,000,000	2015/07/13	2015/12/31	15.00
62368	Credit Suisse AG - China Life R Bull CBBC Apr 2016 A	70,000,000	2015/07/13	2016/04/28	17.50
62354	Credit Suisse AG - HKEx R Bull CBBC Feb 2016 C	100,000,000	2015/07/13	2016/02/25	47.00
62359	Credit Suisse AG - HKEx R Bull CBBC Feb 2016 D	100,000,000	2015/07/13	2016/02/25	32.00
62361	Credit Suisse AG - HKEx R Bear CBBC Dec 2015 B	100,000,000	2015/07/13	2015/12/30	53.00
62365	Credit Suisse AG - HKEx R Bear CBBC Dec 2015 C	100,000,000	2015/07/13	2015/12/30	32.00
62334	Credit Suisse AG - HSCEI R Bull CBBC Jan 2016 E	108,000,000	2015/07/13	2016/01/28	27.00
62337	Credit Suisse AG - HSCEI R Bull CBBC Jan 2016 F	108,000,000	2015/07/13	2016/01/28	27.00
62338	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 D	108,000,000	2015/07/13	2015/11/27	27.00
62350	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 E	108,000,000	2015/07/13	2015/11/27	27.00
62220	Credit Suisse AG - HSI R Bull CBBC Feb 2016 K	200,000,000	2015/07/13	2016/02/26	50.00
62225	Credit Suisse AG - HSI R Bull CBBC Feb 2016 L	200,000,000	2015/07/13	2016/02/26	50.00
62227	Credit Suisse AG - HSI R Bull CBBC Feb 2016 M	200,000,000	2015/07/13	2016/02/26	50.00
62235	Credit Suisse AG - HSI R Bull CBBC Feb 2016 N	200,000,000	2015/07/13	2016/02/26	50.00
62236	Credit Suisse AG - HSI R Bull CBBC Feb 2016 O	200,000,000	2015/07/13	2016/02/26	50.00
62237	Credit Suisse AG - HSI R Bull CBBC Feb 2016 P	200,000,000	2015/07/13	2016/02/26	50.00
62239	Credit Suisse AG - HSI R Bull CBBC Feb 2016 Q	200,000,000	2015/07/13	2016/02/26	50.00
62246	Credit Suisse AG - HSI R Bull CBBC Feb 2016 T	200,000,000	2015/07/13	2016/02/26	68.00
62332	Credit Suisse AG - HSI R Bull CBBC Feb 2016 U	200,000,000	2015/07/13	2016/02/26	50.00
62506	Credit Suisse AG - HSI R Bull CBBC Feb 2016 V	200,000,000	2015/07/13	2016/02/26	56.00
62492	Credit Suisse AG - HSI R Bear CBBC Nov 2015 F	200,000,000	2015/07/13	2015/11/27	50.00
62486	Credit Suisse AG - HSI R Bear CBBC Nov 2015 O	200,000,000	2015/07/13	2015/11/27	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62487	Credit Suisse AG - HSI R Bear CBBC Nov 2015 W	200,000,000	2015/07/13	2015/11/27	50.00
62488	Credit Suisse AG - HSI R Bear CBBC Nov 2015 X	200,000,000	2015/07/13	2015/11/27	50.00
62369	Credit Suisse AG - TCH R Bull CBBC Feb 2016 A	100,000,000	2015/07/13	2016/02/25	31.00
62370	Credit Suisse AG - TCH R Bear CBBC Dec 2015 A	100,000,000	2015/07/13	2015/12/30	31.00
62173	HK Bank - HKEx R Bull CBBC Sep 2016 A	80,000,000	2015/07/13	2016/09/26	30.40
62165	HK Bank - HKEx R Bull CBBC Oct 2016 A	80,000,000	2015/07/13	2016/10/12	20.00
62174	HK Bank - HKEx R Bull CBBC Oct 2016 B	80,000,000	2015/07/13	2016/10/05	24.00
62164	HK Bank - HKEx R Bear CBBC May 2016 A	50,000,000	2015/07/13	2016/05/30	12.50
62179	HK Bank - HSI R Bull CBBC Jun 2016 K	150,000,000	2015/07/13	2016/06/29	37.50
62177	HK Bank - HSI R Bull CBBC Jul 2016 F	150,000,000	2015/07/13	2016/07/28	37.50
62175	HK Bank - TCH R Bull CBBC Feb 2016 I	100,000,000	2015/07/13	2016/02/22	25.00
62176	HK Bank - TCH R Bull CBBC Feb 2016 J	100,000,000	2015/07/13	2016/02/01	25.00
62178	HK Bank - TCH R Bull CBBC Jun 2016 D	100,000,000	2015/07/13	2016/06/27	25.00
62180	HK Bank - TCH R Bear CBBC Jun 2016 D	100,000,000	2015/07/13	2016/06/13	25.00
62211	J P Morgan SP BV - HSCEI R Bull CBBC Apr 2016 B	100,000,000	2015/07/13	2016/04/28	25.00
62501	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 B	100,000,000	2015/07/13	2016/04/28	25.00
62212	J P Morgan SP BV - HSI R Bull CBBC Jan 2016 L	200,000,000	2015/07/13	2016/01/28	50.00
62376	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 A	200,000,000	2015/07/13	2016/03/30	50.00
62213	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 G	200,000,000	2015/07/13	2016/03/30	50.00
62210	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 E	200,000,000	2015/07/13	2016/04/28	50.00
62377	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 F	200,000,000	2015/07/13	2016/04/28	50.00
62499	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 G	200,000,000	2015/07/13	2016/04/28	50.00
62375	J P Morgan SP BV - HSI R Bear CBBC Oct 2015 Z	200,000,000	2015/07/13	2015/10/29	50.00
62380	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 M	200,000,000	2015/07/13	2015/11/27	50.00
62382	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 N	200,000,000	2015/07/13	2015/11/27	50.00
62383	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 O	200,000,000	2015/07/13	2015/11/27	50.00
62381	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 B	200,000,000	2015/07/13	2016/01/28	50.00
62493	Standard Chartered Bank - HKEx R Bull CBBC May 2016 A	50,000,000	2015/07/13	2016/05/30	17.50
62494	Standard Chartered Bank - HKEx R Bear CBBC Mar 2016 C	50,000,000	2015/07/13	2016/03/29	16.00
62250	Standard Chartered Bank - HSCEI R Bear CBBC Oct 2015 G	100,000,000	2015/07/13	2015/10/29	25.00
62247	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 D	100,000,000	2015/07/13	2015/10/29	25.00
62251	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 F	100,000,000	2015/07/13	2015/10/29	25.00
62252	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 I	100,000,000	2015/07/13	2015/10/29	25.00
62374	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 J	100,000,000	2015/07/13	2015/10/29	25.00
62483	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 L	100,000,000	2015/07/13	2015/10/29	25.00
62476	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 M	100,000,000	2015/07/13	2015/10/29	25.00
62248	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 O	100,000,000	2015/07/13	2015/10/29	25.00
62371	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 P	100,000,000	2015/07/13	2015/10/29	25.00
62372	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 Q	100,000,000	2015/07/13	2015/10/29	25.00
62485	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 R	100,000,000	2015/07/13	2015/10/29	25.00
62181	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jan16H	200,000,000	2015/07/13	2016/01/28	50.00
62185	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jan16I	200,000,000	2015/07/13	2016/01/28	50.00
62188	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jan16J	200,000,000	2015/07/13	2016/01/28	50.00
62253	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16A	200,000,000	2015/07/13	2016/03/30	66.00
62255	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16B	200,000,000	2015/07/13	2016/03/30	58.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62257	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16F	200,000,000	2015/07/13	2016/01/28	50.00
62275	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16I	200,000,000	2015/07/13	2016/01/28	50.00
62277	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan 16N	200,000,000	2015/07/13	2016/01/28	50.00
62269	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan 16S	200,000,000	2015/07/13	2016/01/28	50.00
62191	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan 16Z	200,000,000	2015/07/13	2016/01/28	50.00
62279	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb 16A	200,000,000	2015/07/13	2016/02/26	50.00
62280	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb 16E	200,000,000	2015/07/13	2016/02/26	50.00
62282	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb 16F	200,000,000	2015/07/13	2016/02/26	50.00
62196	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 16E	200,000,000	2015/07/13	2016/03/30	50.00
62197	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 16F	200,000,000	2015/07/13	2016/03/30	50.00
62258	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 16G	400,000,000	2015/07/13	2016/03/30	100.00
62267	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 16H	400,000,000	2015/07/13	2016/03/30	100.00
62261	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 16A	400,000,000	2015/07/13	2016/04/28	100.00
62276	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 16B	400,000,000	2015/07/13	2016/04/28	100.00
62285	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15L	200,000,000	2015/07/13	2015/12/30	50.00
62288	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15M	200,000,000	2015/07/13	2015/12/30	50.00
62293	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15O	200,000,000	2015/07/13	2015/12/30	50.00
62206	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15W	200,000,000	2015/07/13	2015/12/30	50.00
62207	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15X	400,000,000	2015/07/13	2015/12/30	100.00
62208	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15Y	400,000,000	2015/07/13	2015/12/30	100.00
62283	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Dec 15Z	200,000,000	2015/07/13	2015/12/30	50.00
62297	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 16G	400,000,000	2015/07/13	2016/01/28	100.00
62316	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 16H	400,000,000	2015/07/13	2016/01/28	100.00
62325	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 16I	400,000,000	2015/07/13	2016/01/28	100.00
62416	UBS AG - HSCEI R Bull CBBC Jan 2016 K	200,000,000	2015/07/13	2016/01/28	50.00
62409	UBS AG - HSCEI R Bull CBBC Feb 2016 I	200,000,000	2015/07/13	2016/02/26	50.00
62410	UBS AG - HSCEI R Bull CBBC Feb 2016 J	200,000,000	2015/07/13	2016/02/26	50.00
62411	UBS AG - HSCEI R Bull CBBC Mar 2016 F	200,000,000	2015/07/13	2016/03/30	50.00
62428	UBS AG - HSCEI R Bear CBBC Oct 2015 O	200,000,000	2015/07/13	2015/10/29	50.00
62430	UBS AG - HSCEI R Bear CBBC Nov 2015 K	200,000,000	2015/07/13	2015/11/27	50.00
62389	UBS AG - HSI R Bull CBBC Feb 2016 B	200,000,000	2015/07/13	2016/02/26	50.00
62398	UBS AG - HSI R Bull CBBC Feb 2016 E	200,000,000	2015/07/13	2016/02/26	50.00
62393	UBS AG - HSI R Bull CBBC Feb 2016 G	200,000,000	2015/07/13	2016/02/26	50.00
62415	UBS AG - HSI R Bull CBBC Feb 2016 H	200,000,000	2015/07/13	2016/02/26	50.00
62385	UBS AG - HSI R Bull CBBC Mar 2016 K	200,000,000	2015/07/13	2016/03/30	50.00
62392	UBS AG - HSI R Bull CBBC Mar 2016 L	200,000,000	2015/07/13	2016/03/30	50.00
62396	UBS AG - HSI R Bull CBBC Mar 2016 M	200,000,000	2015/07/13	2016/03/30	50.00
62413	UBS AG - HSI R Bull CBBC Mar 2016 N	200,000,000	2015/07/13	2016/03/30	50.00
62400	UBS AG - HSI R Bull CBBC Apr 2016 A	200,000,000	2015/07/13	2016/04/28	50.00
62401	UBS AG - HSI R Bull CBBC Apr 2016 B	200,000,000	2015/07/13	2016/04/28	50.00
62406	UBS AG - HSI R Bull CBBC Apr 2016 C	200,000,000	2015/07/13	2016/04/28	50.00
62417	UBS AG - HSI R Bear CBBC Oct 2015 Y	200,000,000	2015/07/13	2015/10/29	50.00
62418	UBS AG - HSI R Bear CBBC Nov 2015 J	200,000,000	2015/07/13	2015/11/27	50.00
62423	UBS AG - HSI R Bear CBBC Nov 2015 K	200,000,000	2015/07/13	2015/11/27	50.00
62421	UBS AG - HSI R Bear CBBC Dec 2015 E	200,000,000	2015/07/13	2015/12/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62422	UBS AG - HSI R Bear CBBC Dec 2015 F	200,000,000	2015/07/13	2015/12/30	50.00
62425	UBS AG - HSI R Bear CBBC Dec 2015 G	200,000,000	2015/07/13	2015/12/30	50.00
62669	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2015 C	75,000,000	2015/07/14	2015/12/31	18.75
62668	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Nov 2015 E	100,000,000	2015/07/14	2015/11/27	25.00
62667	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2015 Z	100,000,000	2015/07/14	2015/12/30	25.00
62666	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 B	200,000,000	2015/07/14	2015/10/29	50.00
62665	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 C	200,000,000	2015/07/14	2015/10/29	50.00
62663	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 O	200,000,000	2015/07/14	2015/10/29	50.00
62661	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 P	200,000,000	2015/07/14	2015/10/29	50.00
62662	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 Q	200,000,000	2015/07/14	2015/10/29	50.00
62670	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2015 F	68,000,000	2015/07/14	2015/12/31	17.00
62611	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 A	128,000,000	2015/07/14	2016/03/30	32.00
62613	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 B	128,000,000	2015/07/14	2016/03/30	34.56
62612	Credit Suisse AG - HSCEI R Bull CBBC Apr 2016 A	128,000,000	2015/07/14	2016/04/28	32.00
62614	Credit Suisse AG - HSCEI R Bear CBBC Oct 2015 J	128,000,000	2015/07/14	2015/10/29	32.00
62595	Credit Suisse AG - HSI R Bull CBBC Mar 2016 R	200,000,000	2015/07/14	2016/03/30	50.00
62599	Credit Suisse AG - HSI R Bull CBBC Mar 2016 S	200,000,000	2015/07/14	2016/03/30	50.00
62607	Credit Suisse AG - HSI R Bull CBBC Mar 2016 T	200,000,000	2015/07/14	2016/03/30	50.00
62616	Credit Suisse AG - HSI R Bull CBBC Mar 2016 U	200,000,000	2015/07/14	2016/03/30	50.00
62631	Credit Suisse AG - HSI R Bull CBBC Apr 2016 D	200,000,000	2015/07/14	2016/04/28	50.00
62632	Credit Suisse AG - HSI R Bull CBBC Apr 2016 E	200,000,000	2015/07/14	2016/04/28	50.00
62635	Credit Suisse AG - HSI R Bull CBBC Apr 2016 F	200,000,000	2015/07/14	2016/04/28	50.00
62636	Credit Suisse AG - HSI R Bull CBBC Apr 2016 G	200,000,000	2015/07/14	2016/04/28	56.00
62688	Credit Suisse AG - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/07/14	2015/12/30	50.00
62693	Credit Suisse AG - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/07/14	2015/12/30	50.00
62697	Credit Suisse AG - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/07/14	2015/12/30	50.00
62687	Credit Suisse AG - HSI R Bear CBBC Dec 2015 Z	200,000,000	2015/07/14	2015/12/30	50.00
62617	Credit Suisse AG - HSI R Bear CBBC Jan 2016 F	200,000,000	2015/07/14	2016/01/28	50.00
62618	Credit Suisse AG - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/07/14	2016/01/28	50.00
62620	Credit Suisse AG - HSI R Bear CBBC Jan 2016 H	200,000,000	2015/07/14	2016/01/28	50.00
62513	HK Bank - China Life R Bull CBBC Oct 2016 A	60,000,000	2015/07/14	2016/10/31	39.00
62514	HK Bank - China Life R Bull CBBC Nov 2016 A	60,000,000	2015/07/14	2016/11/28	48.00
62517	HK Bank - HKEx R Bear CBBC Jun 2016 C	50,000,000	2015/07/14	2016/06/27	16.00
62552	HK Bank - HSCEI R Bull CBBC Aug 2016 D	100,000,000	2015/07/14	2016/08/30	25.00
62562	HK Bank - HSCEI R Bull CBBC Sep 2016 A	100,000,000	2015/07/14	2016/09/29	25.00
62564	HK Bank - HSCEI R Bear CBBC May 2016 G	68,000,000	2015/07/14	2016/05/30	17.00
62527	HK Bank - HSI R Bull CBBC Jul 2016 G	150,000,000	2015/07/14	2016/07/28	37.50
62529	HK Bank - HSI R Bull CBBC Aug 2016 B	150,000,000	2015/07/14	2016/08/30	37.50
62530	HK Bank - HSI R Bull CBBC Sep 2016 D	150,000,000	2015/07/14	2016/09/29	37.50
62531	HK Bank - HSI R Bull CBBC Oct 2016 A	150,000,000	2015/07/14	2016/10/28	37.50
62551	HK Bank - HSI R Bear CBBC Apr 2016 R	100,000,000	2015/07/14	2016/04/28	25.00
62532	HK Bank - HSI R Bear CBBC May 2016 L	100,000,000	2015/07/14	2016/05/30	25.00
62550	HK Bank - HSI R Bear CBBC Jun 2016 F	100,000,000	2015/07/14	2016/06/29	25.00
62523	HK Bank - Haitong Sec R Bull CBBC Sep 2016 A	60,000,000	2015/07/14	2016/09/26	25.20
62508	HK Bank - Lenovo R Bull CBBC Aug 2016 C	60,000,000	2015/07/14	2016/08/29	16.20

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62507	HK Bank - Ping An R Bull CBBC Oct 2016 B	188,000,000	2015/07/14	2016/10/31	47.00
62526	HK Bank - TCH R Bull CBBC Jan 2016 L	100,000,000	2015/07/14	2016/01/25	25.00
62518	HK Bank - TCH R Bear CBBC Jul 2016 B	100,000,000	2015/07/14	2016/07/18	25.00
62853	J P Morgan SP BV - HKEs R Bull CBBC Oct 2016 A	100,000,000	2015/07/14	2016/10/28	25.00
62819	J P Morgan SP BV - HKEs R Bull CBBC Dec 2016 A	100,000,000	2015/07/14	2016/12/30	39.00
62587	J P Morgan SP BV - HSCEI R Bull CBBC Apr 2016 C	100,000,000	2015/07/14	2016/04/28	25.00
62589	J P Morgan SP BV - HSCEI R Bull CBBC Apr 2016 D	100,000,000	2015/07/14	2016/04/28	25.00
62826	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 A	100,000,000	2015/07/14	2016/07/28	25.00
62827	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 B	100,000,000	2015/07/14	2016/07/28	25.00
62828	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2016 A	100,000,000	2015/07/14	2016/08/30	25.00
62844	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2016 B	100,000,000	2015/07/14	2016/08/30	25.00
62849	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 C	100,000,000	2015/07/14	2016/04/28	25.00
62850	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 D	100,000,000	2015/07/14	2016/04/28	25.00
62590	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 N	200,000,000	2015/07/14	2016/02/26	50.00
62591	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 H	200,000,000	2015/07/14	2016/04/28	50.00
62637	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 P	200,000,000	2015/07/14	2015/11/27	50.00
62640	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Q	200,000,000	2015/07/14	2015/11/27	50.00
62643	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 G	200,000,000	2015/07/14	2015/12/30	50.00
62644	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 C	200,000,000	2015/07/14	2016/01/28	50.00
62645	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 D	200,000,000	2015/07/14	2016/01/28	50.00
62647	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 H	200,000,000	2015/07/14	2016/02/26	50.00
62851	J P Morgan SP BV - Ping An R Bull CBBC Oct 2016 A	100,000,000	2015/07/14	2016/10/28	25.00
62792	J P Morgan SP BV - Ping An R Bull CBBC Dec 2016 A	100,000,000	2015/07/14	2016/12/30	25.00
62794	J P Morgan SP BV - Tencent R Bull CBBC Jun 2016 A	100,000,000	2015/07/14	2016/06/30	25.00
62802	J P Morgan SP BV - Tencent R Bull CBBC Aug 2016 A	100,000,000	2015/07/14	2016/08/31	25.00
62621	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2015 B	40,000,000	2015/07/14	2015/10/29	10.40
62622	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2015 C	40,000,000	2015/07/14	2015/10/29	10.00
62623	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2015 B	40,000,000	2015/07/14	2015/10/29	10.40
62624	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2015 C	40,000,000	2015/07/14	2015/10/29	10.20
62630	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2015 A	40,000,000	2015/07/14	2015/11/27	10.00
62593	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Jan 2016B	50,000,000	2015/07/14	2016/01/22	12.50
62594	Standard Chartered Bank - A50 China R Bull CBBC Feb 2016 A	50,000,000	2015/07/14	2016/02/26	12.50
62573	Standard Chartered Bank - HSCEI R Bull CBBC Oct 2015 K	100,000,000	2015/07/14	2015/10/29	25.00
62570	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 E	150,000,000	2015/07/14	2015/10/29	37.50
62571	Standard Chartered Bank - HSI R Bull CBBC Oct 2015 H	150,000,000	2015/07/14	2015/10/29	37.50
62569	Standard Chartered Bank - HSI R Bear CBBC Oct 2015 S	150,000,000	2015/07/14	2015/10/29	37.50
62648	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16K	200,000,000	2015/07/14	2016/02/26	50.00
62652	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16L	200,000,000	2015/07/14	2016/02/26	50.00
62653	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16M	200,000,000	2015/07/14	2016/02/26	50.00
62656	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15K	200,000,000	2015/07/14	2015/12/30	50.00
62655	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16G	200,000,000	2015/07/14	2016/01/28	50.00
62576	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 M	200,000,000	2015/07/14	2016/01/28	50.00
62574	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 I	200,000,000	2015/07/14	2016/03/30	50.00
62583	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 J	200,000,000	2015/07/14	2016/03/30	50.00
62657	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 K	400,000,000	2015/07/14	2016/03/30	100.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62584	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 J	200,000,000	2015/07/14	2016/01/28	50.00
62586	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 K	200,000,000	2015/07/14	2016/01/28	50.00
62658	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 L	200,000,000	2015/07/14	2016/01/28	50.00
62659	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 M	200,000,000	2015/07/14	2016/01/28	50.00
62660	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 N	400,000,000	2015/07/14	2016/01/28	100.00
62786	UBS AG - China Mobile R Bull CBBC Jul 2016 C	50,000,000	2015/07/14	2016/07/25	12.50
62771	UBS AG - HKEx R Bull CBBC Aug 2016 B	50,000,000	2015/07/14	2016/08/22	13.50
62772	UBS AG - HKEx R Bull CBBC Sep 2016 A	50,000,000	2015/07/14	2016/09/05	18.50
62783	UBS AG - HSCEI R Bull CBBC Jan 2016 L	200,000,000	2015/07/14	2016/01/28	50.00
62740	UBS AG - HSCEI R Bull CBBC Feb 2016 K	200,000,000	2015/07/14	2016/02/26	50.00
62741	UBS AG - HSCEI R Bull CBBC Mar 2016 G	200,000,000	2015/07/14	2016/03/30	50.00
62777	UBS AG - HSCEI R Bear CBBC Oct 2015 P	200,000,000	2015/07/14	2015/10/29	50.00
62782	UBS AG - HSCEI R Bear CBBC Nov 2015 L	200,000,000	2015/07/14	2015/11/27	50.00
62701	UBS AG - HSI R Bull CBBC Feb 2016 J	200,000,000	2015/07/14	2016/02/26	50.00
62706	UBS AG - HSI R Bull CBBC Feb 2016 K	200,000,000	2015/07/14	2016/02/26	50.00
62704	UBS AG - HSI R Bull CBBC Mar 2016 O	200,000,000	2015/07/14	2016/03/30	50.00
62711	UBS AG - HSI R Bull CBBC Mar 2016 P	200,000,000	2015/07/14	2016/03/30	50.00
62726	UBS AG - HSI R Bull CBBC Apr 2016 D	200,000,000	2015/07/14	2016/04/28	50.00
62739	UBS AG - HSI R Bull CBBC Apr 2016 E	200,000,000	2015/07/14	2016/04/28	50.00
62714	UBS AG - HSI R Bull CBBC May 2016 A	200,000,000	2015/07/14	2016/05/30	50.00
62718	UBS AG - HSI R Bull CBBC May 2016 B	200,000,000	2015/07/14	2016/05/30	50.00
62742	UBS AG - HSI R Bear CBBC Nov 2015 L	200,000,000	2015/07/14	2015/11/27	50.00
62748	UBS AG - HSI R Bear CBBC Nov 2015 M	200,000,000	2015/07/14	2015/11/27	50.00
62745	UBS AG - HSI R Bear CBBC Dec 2015 H	200,000,000	2015/07/14	2015/12/30	50.00
62746	UBS AG - HSI R Bear CBBC Dec 2015 I	200,000,000	2015/07/14	2015/12/30	50.00
62764	UBS AG - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/07/14	2015/12/30	50.00
62769	UBS AG - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/07/14	2015/12/30	50.00
62770	UBS AG - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/07/14	2015/12/30	50.00
62785	UBS AG - TCH R Bull CBBC Oct 2016 A	50,000,000	2015/07/14	2016/10/17	13.75
63730	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2015 D	75,000,000	2015/07/15	2015/12/31	18.75
63731	BNP Paribas Arbit Issu B.V. - CC Bank R Bull CBBC Dec 2015 E	100,000,000	2015/07/15	2015/12/31	25.00
63645	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15U	150,000,000	2015/07/15	2015/12/31	51.00
63649	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15V	150,000,000	2015/07/15	2015/12/31	37.50
63658	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15W	150,000,000	2015/07/15	2015/12/31	37.50
63661	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bear CBBC Oct15C	100,000,000	2015/07/15	2015/10/29	25.00
63665	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 P	150,000,000	2015/07/15	2015/12/31	49.50
63678	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 Q	150,000,000	2015/07/15	2015/12/31	37.50
63686	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 R	150,000,000	2015/07/15	2015/12/31	37.50
63698	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Nov 2015 G	100,000,000	2015/07/15	2015/11/30	25.00
63712	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Dec 2015 I	40,000,000	2015/07/15	2015/12/31	13.60
63711	BNP Paribas Arbit Issu B.V. - HS H-SH ETF R Bull CBBC Jan16D	60,000,000	2015/07/15	2016/01/29	15.00
63472	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 I	100,000,000	2015/07/15	2015/11/27	25.00
63473	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 J	100,000,000	2015/07/15	2015/11/27	25.00
63475	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 K	100,000,000	2015/07/15	2015/11/27	25.00
63481	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 L	100,000,000	2015/07/15	2015/11/27	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63478	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2015 Y	100,000,000	2015/07/15	2015/12/30	25.00
63482	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2015 Z	100,000,000	2015/07/15	2015/12/30	25.00
63486	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2015 A	100,000,000	2015/07/15	2015/12/30	25.00
63487	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Dec 2015 M	100,000,000	2015/07/15	2015/12/30	25.00
63604	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 D	250,000,000	2015/07/15	2016/01/28	82.50
63421	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 A	250,000,000	2015/07/15	2016/02/26	62.50
63439	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 B	250,000,000	2015/07/15	2016/02/26	62.50
63441	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 C	250,000,000	2015/07/15	2016/02/26	62.50
63443	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 D	250,000,000	2015/07/15	2016/02/26	62.50
63605	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 E	250,000,000	2015/07/15	2016/02/26	75.00
63606	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 F	250,000,000	2015/07/15	2016/02/26	65.00
63611	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 G	250,000,000	2015/07/15	2016/02/26	62.50
63614	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 H	250,000,000	2015/07/15	2016/02/26	62.50
63000	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 X	250,000,000	2015/07/15	2016/02/26	62.50
63001	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 Y	250,000,000	2015/07/15	2016/02/26	62.50
63021	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 Z	250,000,000	2015/07/15	2016/02/26	62.50
63458	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 O	200,000,000	2015/07/15	2015/11/27	50.00
63459	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 P	200,000,000	2015/07/15	2015/11/27	50.00
63464	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 Q	200,000,000	2015/07/15	2015/11/27	50.00
63467	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 R	200,000,000	2015/07/15	2015/11/27	50.00
63468	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 S	200,000,000	2015/07/15	2015/11/27	50.00
63469	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 T	200,000,000	2015/07/15	2015/11/27	50.00
63638	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 U	200,000,000	2015/07/15	2015/11/27	50.00
63639	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 V	200,000,000	2015/07/15	2015/11/27	50.00
63718	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2015 G	68,000,000	2015/07/15	2015/12/31	17.00
63050	Credit Suisse AG - HSCEI R Bull CBBC Apr 2016 B	128,000,000	2015/07/15	2016/04/28	32.00
63052	Credit Suisse AG - HSCEI R Bull CBBC Apr 2016 C	128,000,000	2015/07/15	2016/04/28	32.00
63056	Credit Suisse AG - HSCEI R Bull CBBC Apr 2016 D	128,000,000	2015/07/15	2016/04/28	37.12
63058	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 F	128,000,000	2015/07/15	2015/11/27	32.00
63025	Credit Suisse AG - HSI R Bull CBBC Apr 2016 H	200,000,000	2015/07/15	2016/04/28	50.00
63027	Credit Suisse AG - HSI R Bull CBBC Apr 2016 I	200,000,000	2015/07/15	2016/04/28	50.00
63028	Credit Suisse AG - HSI R Bull CBBC Apr 2016 J	200,000,000	2015/07/15	2016/04/28	50.00
63036	Credit Suisse AG - HSI R Bull CBBC Apr 2016 K	200,000,000	2015/07/15	2016/04/28	50.00
63038	Credit Suisse AG - HSI R Bull CBBC Apr 2016 L	200,000,000	2015/07/15	2016/04/28	50.00
63048	Credit Suisse AG - HSI R Bull CBBC Apr 2016 M	200,000,000	2015/07/15	2016/04/28	50.00
63060	Credit Suisse AG - HSI R Bull CBBC May 2016 A	200,000,000	2015/07/15	2016/05/30	50.00
63061	Credit Suisse AG - HSI R Bull CBBC May 2016 B	200,000,000	2015/07/15	2016/05/30	50.00
63063	Credit Suisse AG - HSI R Bull CBBC May 2016 C	200,000,000	2015/07/15	2016/05/30	50.00
63065	Credit Suisse AG - HSI R Bull CBBC May 2016 D	200,000,000	2015/07/15	2016/05/30	56.00
63067	Credit Suisse AG - HSI R Bull CBBC May 2016 E	200,000,000	2015/07/15	2016/05/30	50.00
63176	Credit Suisse AG - HSI R Bull CBBC May 2016 F	200,000,000	2015/07/15	2016/05/30	70.00
63577	Credit Suisse AG - HSI R Bear CBBC Jan 2016 I	200,000,000	2015/07/15	2016/01/28	50.00
63579	Credit Suisse AG - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/07/15	2016/01/28	50.00
63580	Credit Suisse AG - HSI R Bear CBBC Jan 2016 K	200,000,000	2015/07/15	2016/01/28	50.00
63582	Credit Suisse AG - HSI R Bear CBBC Jan 2016 L	200,000,000	2015/07/15	2016/01/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63587	Credit Suisse AG - HSI R Bear CBBC Jan 2016 M	200,000,000	2015/07/15	2016/01/28	50.00
63593	Credit Suisse AG - HSI R Bear CBBC Jan 2016 N	200,000,000	2015/07/15	2016/01/28	50.00
63594	Credit Suisse AG - HSI R Bear CBBC Jan 2016 O	200,000,000	2015/07/15	2016/01/28	50.00
63603	Credit Suisse AG - HSI R Bear CBBC Jan 2016 P	200,000,000	2015/07/15	2016/01/28	50.00
62888	HK Bank - ABC R Bull CBBC Aug 2016 B	80,000,000	2015/07/15	2016/08/29	20.00
62873	HK Bank - CITIC Sec R Bull CBBC Aug 2016 B	60,000,000	2015/07/15	2016/08/29	39.00
62933	HK Bank - HKEx R Bull CBBC Jun 2016 K	80,000,000	2015/07/15	2016/06/27	20.00
62925	HK Bank - HKEx R Bull CBBC Jul 2016 F	80,000,000	2015/07/15	2016/07/11	20.00
62866	HK Bank - HKEx R Bear CBBC Feb 2016 E	40,000,000	2015/07/15	2016/02/01	14.00
62891	HK Bank - HKEx R Bear CBBC Jul 2016 D	50,000,000	2015/07/15	2016/07/18	26.50
62865	HK Bank - HS H-SH ETF R Bull CBBC Jul 2016 A	100,000,000	2015/07/15	2016/07/28	25.00
62863	HK Bank - HS H-SH ETF R Bull CBBC Sep 2016 B	100,000,000	2015/07/15	2016/09/29	25.00
62860	HK Bank - HSCEI R Bull CBBC Jul 2016 C	100,000,000	2015/07/15	2016/07/28	25.00
62861	HK Bank - HSCEI R Bull CBBC Aug 2016 E	100,000,000	2015/07/15	2016/08/30	25.00
62924	HK Bank - HSCEI R Bull CBBC Sep 2016 B	100,000,000	2015/07/15	2016/09/29	25.00
62859	HK Bank - HSCEI R Bear CBBC Apr 2016 J	68,000,000	2015/07/15	2016/04/28	17.00
62856	HK Bank - HSI R Bull CBBC Mar 2016 M	150,000,000	2015/07/15	2016/03/30	37.50
62854	HK Bank - HSI R Bull CBBC Jul 2016 H	150,000,000	2015/07/15	2016/07/28	37.50
62857	HK Bank - HSI R Bull CBBC Aug 2016 C	400,000,000	2015/07/15	2016/08/30	100.00
62917	HK Bank - HSI R Bull CBBC Aug 2016 D	150,000,000	2015/07/15	2016/08/30	37.50
62914	HK Bank - HSI R Bull CBBC Sep 2016 E	150,000,000	2015/07/15	2016/09/29	37.50
62862	HK Bank - HSI R Bear CBBC Mar 2016 W	100,000,000	2015/07/15	2016/03/30	25.00
62858	HK Bank - HSI R Bear CBBC Jul 2016 B	400,000,000	2015/07/15	2016/07/28	100.00
62877	HK Bank - Ping An R Bear CBBC Apr 2016 B	40,000,000	2015/07/15	2016/04/29	10.00
62938	HK Bank - TCH R Bull CBBC Jun 2016 E	100,000,000	2015/07/15	2016/06/27	25.00
62935	HK Bank - TCH R Bull CBBC Jul 2016 B	100,000,000	2015/07/15	2016/07/25	25.00
62890	HK Bank - TCH R Bear CBBC Jul 2016 C	100,000,000	2015/07/15	2016/07/11	25.00
62999	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2016 A	100,000,000	2015/07/15	2016/06/29	25.00
63177	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2016 B	100,000,000	2015/07/15	2016/06/29	25.00
63519	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2016 A	100,000,000	2015/07/15	2016/12/29	25.00
63523	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2016 B	100,000,000	2015/07/15	2016/12/29	25.00
63524	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 E	100,000,000	2015/07/15	2016/04/28	25.00
62976	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 H	200,000,000	2015/07/15	2016/03/30	50.00
62977	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 I	200,000,000	2015/07/15	2016/04/28	50.00
63185	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 J	200,000,000	2015/07/15	2016/04/28	50.00
63187	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 K	200,000,000	2015/07/15	2016/04/28	50.00
62980	J P Morgan SP BV - HSI R Bull CBBC May 2016 A	200,000,000	2015/07/15	2016/05/30	50.00
62997	J P Morgan SP BV - HSI R Bull CBBC May 2016 B	200,000,000	2015/07/15	2016/05/30	50.00
63190	J P Morgan SP BV - HSI R Bull CBBC May 2016 C	200,000,000	2015/07/15	2016/05/30	50.00
63208	J P Morgan SP BV - HSI R Bull CBBC May 2016 D	200,000,000	2015/07/15	2016/05/30	50.00
62998	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 A	200,000,000	2015/07/15	2016/06/29	50.00
63211	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 B	200,000,000	2015/07/15	2016/06/29	50.00
63212	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 C	200,000,000	2015/07/15	2016/06/29	50.00
63218	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 D	200,000,000	2015/07/15	2016/06/29	60.00
63219	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 A	200,000,000	2015/07/15	2016/07/28	70.00

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63220	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 A	200,000,000	2015/07/15	2016/10/28	90.00
63222	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 A	200,000,000	2015/07/15	2016/11/29	100.00
63231	J P Morgan SP BV - HSI R Bull CBBC Dec 2016 A	200,000,000	2015/07/15	2016/12/29	110.00
63232	J P Morgan SP BV - HSI R Bull CBBC Dec 2016 B	200,000,000	2015/07/15	2016/12/29	130.00
63501	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 R	200,000,000	2015/07/15	2015/11/27	50.00
63504	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 S	200,000,000	2015/07/15	2015/11/27	50.00
63506	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 H	200,000,000	2015/07/15	2015/12/30	50.00
63510	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 I	200,000,000	2015/07/15	2015/12/30	50.00
63515	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/07/15	2016/01/28	50.00
63517	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 F	200,000,000	2015/07/15	2016/01/28	50.00
63084	Standard Chartered Bank - HSCEI R Bull CBBC Nov 2015 A	100,000,000	2015/07/15	2015/11/27	25.00
63085	Standard Chartered Bank - HSCEI R Bull CBBC Nov 2015 B	100,000,000	2015/07/15	2015/11/27	25.00
63086	Standard Chartered Bank - HSCEI R Bear CBBC Nov 2015 A	100,000,000	2015/07/15	2015/11/27	25.00
63076	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 E	100,000,000	2015/07/15	2015/11/27	25.00
63080	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 F	100,000,000	2015/07/15	2015/11/27	25.00
63081	Standard Chartered Bank - HSI R Bull CBBC Dec 2015 A	100,000,000	2015/07/15	2015/12/30	28.00
63498	Standard Chartered Bank - HSI R Bull CBBC Dec 2015 B	150,000,000	2015/07/15	2015/12/30	37.50
63488	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 A	100,000,000	2015/07/15	2015/11/27	25.00
63489	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 B	150,000,000	2015/07/15	2015/11/27	37.50
63496	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 C	100,000,000	2015/07/15	2015/11/27	25.00
63500	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 D	150,000,000	2015/07/15	2015/11/27	37.50
62974	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jan16K	200,000,000	2015/07/15	2016/01/28	50.00
62952	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16N	200,000,000	2015/07/15	2016/02/26	54.00
62970	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16O	200,000,000	2015/07/15	2016/02/26	50.00
62975	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16P	200,000,000	2015/07/15	2016/02/26	50.00
63087	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16C	200,000,000	2015/07/15	2016/03/30	54.00
63089	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16H	200,000,000	2015/07/15	2016/01/28	50.00
63091	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16I	200,000,000	2015/07/15	2016/01/28	50.00
62944	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 B	200,000,000	2015/07/15	2016/01/28	50.00
62945	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 F	200,000,000	2015/07/15	2016/01/28	50.00
62946	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 G	200,000,000	2015/07/15	2016/01/28	50.00
62947	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 H	200,000,000	2015/07/15	2016/01/28	50.00
62941	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 J	200,000,000	2015/07/15	2016/01/28	50.00
62942	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 T	200,000,000	2015/07/15	2016/01/28	50.00
63560	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 B	200,000,000	2015/07/15	2016/02/26	50.00
63119	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 C	200,000,000	2015/07/15	2016/02/26	50.00
63131	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 I	200,000,000	2015/07/15	2016/02/26	50.00
63139	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 J	200,000,000	2015/07/15	2016/02/26	50.00
63150	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 K	200,000,000	2015/07/15	2016/02/26	50.00
63152	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 L	200,000,000	2015/07/15	2016/02/26	50.00
63548	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 M	200,000,000	2015/07/15	2016/02/26	50.00
63120	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 Y	200,000,000	2015/07/15	2016/02/26	50.00
63130	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 Z	200,000,000	2015/07/15	2016/02/26	50.00
62943	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 L	400,000,000	2015/07/15	2016/03/30	100.00
63114	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 M	400,000,000	2015/07/15	2016/03/30	116.00

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63552	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 N	200,000,000	2015/07/15	2016/03/30	50.00
63553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 O	400,000,000	2015/07/15	2016/03/30	112.00
63554	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 P	200,000,000	2015/07/15	2016/03/30	50.00
63563	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 Q	200,000,000	2015/07/15	2016/03/30	50.00
63115	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 C	400,000,000	2015/07/15	2016/04/28	112.00
63555	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 D	400,000,000	2015/07/15	2016/04/28	100.00
63568	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 E	400,000,000	2015/07/15	2016/04/28	100.00
62951	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 O	400,000,000	2015/07/15	2016/01/28	100.00
63097	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 P	200,000,000	2015/07/15	2016/01/28	50.00
63102	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 Q	200,000,000	2015/07/15	2016/01/28	50.00
63106	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 R	200,000,000	2015/07/15	2016/01/28	50.00
63109	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 S	200,000,000	2015/07/15	2016/01/28	50.00
63113	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 T	200,000,000	2015/07/15	2016/01/28	50.00
63525	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 U	200,000,000	2015/07/15	2016/01/28	50.00
63526	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 V	200,000,000	2015/07/15	2016/01/28	50.00
63121	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 A	400,000,000	2015/07/15	2016/02/26	124.00
63134	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 B	400,000,000	2015/07/15	2016/02/26	120.00
63528	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 C	400,000,000	2015/07/15	2016/02/26	100.00
63546	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 D	400,000,000	2015/07/15	2016/02/26	100.00
63279	UBS AG - HSCEI R Bull CBBC Mar 2016 H	200,000,000	2015/07/15	2016/03/30	50.00
63314	UBS AG - HSCEI R Bull CBBC Apr 2016 A	200,000,000	2015/07/15	2016/04/28	50.00
63277	UBS AG - HSCEI R Bull CBBC May 2016 A	200,000,000	2015/07/15	2016/05/30	50.00
63284	UBS AG - HSCEI R Bull CBBC Jun 2016 A	200,000,000	2015/07/15	2016/06/29	50.00
63286	UBS AG - HSCEI R Bull CBBC Jun 2016 B	200,000,000	2015/07/15	2016/06/29	55.00
63326	UBS AG - HSCEI R Bull CBBC Jun 2016 C	200,000,000	2015/07/15	2016/06/29	55.00
63402	UBS AG - HSCEI R Bear CBBC Oct 2015 Q	200,000,000	2015/07/15	2015/10/29	50.00
63412	UBS AG - HSCEI R Bear CBBC Oct 2015 R	200,000,000	2015/07/15	2015/10/29	50.00
63407	UBS AG - HSCEI R Bear CBBC Nov 2015 M	200,000,000	2015/07/15	2015/11/27	50.00
63238	UBS AG - HSI R Bull CBBC Apr 2016 F	200,000,000	2015/07/15	2016/04/28	50.00
63242	UBS AG - HSI R Bull CBBC Apr 2016 G	200,000,000	2015/07/15	2016/04/28	50.00
63243	UBS AG - HSI R Bull CBBC May 2016 C	200,000,000	2015/07/15	2016/05/30	50.00
63245	UBS AG - HSI R Bull CBBC May 2016 D	200,000,000	2015/07/15	2016/05/30	50.00
63249	UBS AG - HSI R Bull CBBC May 2016 E	200,000,000	2015/07/15	2016/05/30	50.00
63239	UBS AG - HSI R Bull CBBC Jun 2016 A	200,000,000	2015/07/15	2016/06/29	50.00
63244	UBS AG - HSI R Bull CBBC Jun 2016 B	200,000,000	2015/07/15	2016/06/29	50.00
63247	UBS AG - HSI R Bull CBBC Jun 2016 C	200,000,000	2015/07/15	2016/06/29	50.00
63253	UBS AG - HSI R Bull CBBC Jun 2016 D	200,000,000	2015/07/15	2016/06/29	50.00
63266	UBS AG - HSI R Bull CBBC Jun 2016 E	200,000,000	2015/07/15	2016/06/29	50.00
63268	UBS AG - HSI R Bull CBBC Jun 2016 F	200,000,000	2015/07/15	2016/06/29	54.00
63270	UBS AG - HSI R Bull CBBC Jun 2016 G	200,000,000	2015/07/15	2016/06/29	58.00
63275	UBS AG - HSI R Bull CBBC Jun 2016 H	200,000,000	2015/07/15	2016/06/29	74.00
63258	UBS AG - HSI R Bull CBBC Jul 2016 A	200,000,000	2015/07/15	2016/07/28	50.00
63267	UBS AG - HSI R Bull CBBC Jul 2016 B	200,000,000	2015/07/15	2016/07/28	50.00
63269	UBS AG - HSI R Bull CBBC Jul 2016 C	200,000,000	2015/07/15	2016/07/28	54.00
63272	UBS AG - HSI R Bull CBBC Jul 2016 D	200,000,000	2015/07/15	2016/07/28	66.00

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63276	UBS AG - HSI R Bull CBBC Jul 2016 E	200,000,000	2015/07/15	2016/07/28	78.00
63288	UBS AG - HSI R Bull CBBC Jul 2016 F	200,000,000	2015/07/15	2016/07/28	50.00
63296	UBS AG - HSI R Bull CBBC Jul 2016 G	200,000,000	2015/07/15	2016/07/28	73.00
63304	UBS AG - HSI R Bull CBBC Aug 2016 A	200,000,000	2015/07/15	2016/08/30	93.00
63308	UBS AG - HSI R Bull CBBC Aug 2016 B	200,000,000	2015/07/15	2016/08/30	104.00
63309	UBS AG - HSI R Bull CBBC Aug 2016 C	200,000,000	2015/07/15	2016/08/30	53.00
63368	UBS AG - HSI R Bear CBBC Nov 2015 N	200,000,000	2015/07/15	2015/11/27	50.00
63327	UBS AG - HSI R Bear CBBC Dec 2015 M	200,000,000	2015/07/15	2015/12/30	50.00
63334	UBS AG - HSI R Bear CBBC Dec 2015 N	200,000,000	2015/07/15	2015/12/30	50.00
63352	UBS AG - HSI R Bear CBBC Dec 2015 O	200,000,000	2015/07/15	2015/12/30	50.00
63366	UBS AG - HSI R Bear CBBC Dec 2015 P	200,000,000	2015/07/15	2015/12/30	50.00
63385	UBS AG - HSI R Bear CBBC Dec 2015 Q	200,000,000	2015/07/15	2015/12/30	50.00
63393	UBS AG - HSI R Bear CBBC Dec 2015 R	200,000,000	2015/07/15	2015/12/30	50.00
63331	UBS AG - HSI R Bear CBBC Jan 2016 A	200,000,000	2015/07/15	2016/01/28	50.00
63342	UBS AG - HSI R Bear CBBC Jan 2016 B	200,000,000	2015/07/15	2016/01/28	50.00
63349	UBS AG - HSI R Bear CBBC Jan 2016 C	200,000,000	2015/07/15	2016/01/28	50.00
63353	UBS AG - HSI R Bear CBBC Jan 2016 D	200,000,000	2015/07/15	2016/01/28	50.00
63361	UBS AG - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/07/15	2016/01/28	50.00
63367	UBS AG - HSI R Bear CBBC Jan 2016 F	200,000,000	2015/07/15	2016/01/28	50.00
63379	UBS AG - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/07/15	2016/01/28	50.00
63382	UBS AG - HSI R Bear CBBC Jan 2016 H	200,000,000	2015/07/15	2016/01/28	50.00
63388	UBS AG - HSI R Bear CBBC Jan 2016 I	200,000,000	2015/07/15	2016/01/28	50.00
63397	UBS AG - HSI R Bear CBBC Feb 2016 A	200,000,000	2015/07/15	2016/02/26	50.00
63399	UBS AG - HSI R Bear CBBC Feb 2016 B	200,000,000	2015/07/15	2016/02/26	50.00
63398	UBS AG - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/07/15	2016/03/30	50.00
63401	UBS AG - HSI R Bear CBBC Mar 2016 B	200,000,000	2015/07/15	2016/03/30	50.00
64296	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2015 E	75,000,000	2015/07/16	2015/12/31	18.75
64286	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15X	150,000,000	2015/07/16	2015/12/31	37.50
64289	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15Y	150,000,000	2015/07/16	2015/12/31	37.50
64293	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 S	150,000,000	2015/07/16	2015/12/31	37.50
64278	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 M	100,000,000	2015/07/16	2015/11/27	25.00
64261	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2015 B	100,000,000	2015/07/16	2015/12/30	25.00
64280	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Nov 2015 F	100,000,000	2015/07/16	2015/11/27	25.00
64281	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Nov 2015 G	100,000,000	2015/07/16	2015/11/27	25.00
64233	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 E	250,000,000	2015/07/16	2016/01/28	62.50
64245	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 F	250,000,000	2015/07/16	2016/01/28	62.50
64254	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 J	250,000,000	2015/07/16	2016/01/28	62.50
64255	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 K	250,000,000	2015/07/16	2016/01/28	62.50
64256	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 D	200,000,000	2015/07/16	2015/10/29	50.00
64137	Credit Suisse AG - BOCL R Bull CBBC Jan 2016 A	80,000,000	2015/07/16	2016/01/28	20.00
64144	Credit Suisse AG - China Mobile R Bull CBBC Jan 2016 B	80,000,000	2015/07/16	2016/01/28	20.00
64154	Credit Suisse AG - China Mobile R Bull CBBC Jan 2016 C	80,000,000	2015/07/16	2016/01/28	20.00
64165	Credit Suisse AG - China Mobile R Bull CBBC Feb 2016 A	80,000,000	2015/07/16	2016/02/26	20.00
64131	Credit Suisse AG - CCB R Bull CBBC Jan 2016 A	80,000,000	2015/07/16	2016/01/28	20.00
64130	Credit Suisse AG - China Life R Bull CBBC Jun 2016 A	100,000,000	2015/07/16	2016/06/29	25.00

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64116	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2016 F	100,000,000	2015/07/16	2016/01/28	25.00
64118	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jan 2016 G	100,000,000	2015/07/16	2016/01/28	25.00
64120	Credit Suisse AG - A50 China R Bull CBBC Jan 2016 F	100,000,000	2015/07/16	2016/01/28	25.00
64123	Credit Suisse AG - A50 China R Bull CBBC Jan 2016 G	100,000,000	2015/07/16	2016/01/28	25.00
63980	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 A	128,000,000	2015/07/16	2016/02/26	32.00
63983	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 B	128,000,000	2015/07/16	2016/02/26	32.00
63966	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 G	128,000,000	2015/07/16	2015/11/27	32.00
64056	Credit Suisse AG - HSI R Bull CBBC Feb 2016 A	200,000,000	2015/07/16	2016/02/26	50.00
64065	Credit Suisse AG - HSI R Bull CBBC Feb 2016 B	200,000,000	2015/07/16	2016/02/26	50.00
64067	Credit Suisse AG - HSI R Bull CBBC Feb 2016 C	200,000,000	2015/07/16	2016/02/26	50.00
64304	Credit Suisse AG - HSI R Bull CBBC Feb 2016 D	200,000,000	2015/07/16	2016/02/26	50.00
64054	Credit Suisse AG - HSI R Bull CBBC Feb 2016 R	200,000,000	2015/07/16	2016/02/26	50.00
64042	Credit Suisse AG - HSI R Bull CBBC Feb 2016 S	200,000,000	2015/07/16	2016/02/26	56.00
64047	Credit Suisse AG - HSI R Bull CBBC Feb 2016 W	200,000,000	2015/07/16	2016/02/26	50.00
64049	Credit Suisse AG - HSI R Bull CBBC Feb 2016 X	200,000,000	2015/07/16	2016/02/26	50.00
64050	Credit Suisse AG - HSI R Bull CBBC Feb 2016 Y	200,000,000	2015/07/16	2016/02/26	50.00
64025	Credit Suisse AG - HSI R Bull CBBC Jul 2016 A	200,000,000	2015/07/16	2016/07/28	72.00
64029	Credit Suisse AG - HSI R Bull CBBC Jul 2016 B	200,000,000	2015/07/16	2016/07/28	122.00
64033	Credit Suisse AG - HSI R Bull CBBC Jul 2016 C	200,000,000	2015/07/16	2016/07/28	104.00
63994	Credit Suisse AG - HSI R Bear CBBC Jan 2016 Q	200,000,000	2015/07/16	2016/01/28	50.00
63999	Credit Suisse AG - HSI R Bear CBBC Jan 2016 R	200,000,000	2015/07/16	2016/01/28	50.00
64000	Credit Suisse AG - HSI R Bear CBBC Jan 2016 S	200,000,000	2015/07/16	2016/01/28	50.00
64008	Credit Suisse AG - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/07/16	2016/01/28	50.00
64023	Credit Suisse AG - HSI R Bear CBBC Jan 2016 U	200,000,000	2015/07/16	2016/01/28	50.00
63951	Credit Suisse AG - HSI R Bear CBBC Feb 2016 C	200,000,000	2015/07/16	2016/02/26	50.00
63958	Credit Suisse AG - HSI R Bear CBBC Feb 2016 D	200,000,000	2015/07/16	2016/02/26	50.00
63960	Credit Suisse AG - HSI R Bear CBBC Feb 2016 E	200,000,000	2015/07/16	2016/02/26	50.00
63962	Credit Suisse AG - HSI R Bear CBBC Feb 2016 F	200,000,000	2015/07/16	2016/02/26	50.00
64134	Credit Suisse AG - ICBC R Bull CBBC Jan 2016 A	80,000,000	2015/07/16	2016/01/28	20.00
64127	Credit Suisse AG - Ping An R Bull CBBC Jan 2016 D	100,000,000	2015/07/16	2016/01/28	25.00
64129	Credit Suisse AG - Ping An R Bull CBBC Jan 2016 E	100,000,000	2015/07/16	2016/01/28	25.00
64124	Credit Suisse AG - TCH R Bull CBBC Jan 2016 B	80,000,000	2015/07/16	2016/01/28	20.00
63845	HK Bank - BOCL R Bull CBBC Sep 2016 A	100,000,000	2015/07/16	2016/09/29	25.00
63856	HK Bank - BOCL R Bull CBBC Oct 2016 A	100,000,000	2015/07/16	2016/10/31	25.00
63792	HK Bank - Minsheng Bank R Bull CBBC Oct 2016 B	60,000,000	2015/07/16	2016/10/31	15.00
63887	HK Bank - CSOP A50 ETF R Bear CBBC Jul 2016 C	40,000,000	2015/07/16	2016/07/25	10.00
63793	HK Bank - A50 R Bull CBBC Jun 2016 B	200,000,000	2015/07/16	2016/06/27	50.00
63866	HK Bank - A50 R Bull CBBC Jul 2016 A	200,000,000	2015/07/16	2016/07/25	60.00
63876	HK Bank - A50 R Bull CBBC Aug 2016 A	200,000,000	2015/07/16	2016/08/29	80.00
63885	HK Bank - A50 R Bear CBBC Aug 2016 A	50,000,000	2015/07/16	2016/08/29	12.50
63802	HK Bank - HKEx R Bull CBBC Jun 2016 L	80,000,000	2015/07/16	2016/06/30	44.80
63803	HK Bank - HKEx R Bull CBBC Jul 2016 G	80,000,000	2015/07/16	2016/07/06	52.80
63819	HK Bank - HKEx R Bull CBBC Sep 2016 B	80,000,000	2015/07/16	2016/09/30	68.80
63789	HK Bank - HSCEI R Bull CBBC Sep 2016 C	100,000,000	2015/07/16	2016/09/29	25.00
63790	HK Bank - HSCEI R Bull CBBC Oct 2016 A	100,000,000	2015/07/16	2016/10/28	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63767	HK Bank - HSCEI R Bull CBBC Nov 2016 A	100,000,000	2015/07/16	2016/11/29	28.00
63773	HK Bank - HSCEI R Bull CBBC Dec 2016 A	100,000,000	2015/07/16	2016/12/29	44.00
63801	HK Bank - HSCEI R Bear CBBC May 2016 H	68,000,000	2015/07/16	2016/05/30	17.00
63749	HK Bank - HSI R Bull CBBC Aug 2016 E	150,000,000	2015/07/16	2016/08/30	37.50
63755	HK Bank - HSI R Bull CBBC Sep 2016 F	150,000,000	2015/07/16	2016/09/29	37.50
63756	HK Bank - HSI R Bull CBBC Sep 2016 G	150,000,000	2015/07/16	2016/09/29	37.50
63757	HK Bank - HSI R Bull CBBC Oct 2016 B	400,000,000	2015/07/16	2016/10/28	100.00
63760	HK Bank - HSI R Bull CBBC Oct 2016 C	400,000,000	2015/07/16	2016/10/28	100.00
63735	HK Bank - HSI R Bull CBBC Nov 2016 A	150,000,000	2015/07/16	2016/11/29	37.50
63759	HK Bank - HSI R Bull CBBC Nov 2016 B	400,000,000	2015/07/16	2016/11/29	104.00
63766	HK Bank - HSI R Bull CBBC Nov 2016 C	400,000,000	2015/07/16	2016/11/29	100.00
63747	HK Bank - HSI R Bull CBBC Dec 2016 A	150,000,000	2015/07/16	2016/12/29	37.50
63763	HK Bank - HSI R Bull CBBC Dec 2016 B	400,000,000	2015/07/16	2016/12/29	128.00
63774	HK Bank - HSI R Bull CBBC Dec 2016 C	400,000,000	2015/07/16	2016/12/29	152.00
63780	HK Bank - HSI R Bear CBBC Apr 2016 S	100,000,000	2015/07/16	2016/04/28	25.00
63778	HK Bank - HSI R Bear CBBC May 2016 M	100,000,000	2015/07/16	2016/05/30	25.00
63777	HK Bank - HSI R Bear CBBC Jun 2016 G	100,000,000	2015/07/16	2016/06/29	25.00
63786	HK Bank - HSI R Bear CBBC Aug 2016 B	400,000,000	2015/07/16	2016/08/30	100.00
63784	HK Bank - HSI R Bear CBBC Sep 2016 A	400,000,000	2015/07/16	2016/09/29	100.00
63827	HK Bank - TCH R Bull CBBC Jul 2016 C	100,000,000	2015/07/16	2016/07/11	25.00
63835	HK Bank - TCH R Bull CBBC Aug 2016 A	100,000,000	2015/07/16	2016/08/29	44.00
63967	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 I	200,000,000	2015/07/16	2016/03/30	50.00
63968	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 L	200,000,000	2015/07/16	2016/04/28	50.00
63969	J P Morgan SP BV - HSI R Bull CBBC May 2016 E	200,000,000	2015/07/16	2016/05/30	50.00
63975	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 B	200,000,000	2015/07/16	2016/07/28	50.00
63891	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 T	200,000,000	2015/07/16	2015/11/27	50.00
63892	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/07/16	2015/12/30	50.00
63895	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/07/16	2015/12/30	50.00
63896	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/07/16	2016/01/28	50.00
64298	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Feb 2016A	50,000,000	2015/07/16	2016/02/19	12.50
64300	Standard Chartered Bank - A50 China R Bull CBBC Mar 2016 A	50,000,000	2015/07/16	2016/03/11	12.50
63947	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 G	100,000,000	2015/07/16	2015/11/27	25.00
63950	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 H	100,000,000	2015/07/16	2015/11/27	25.00
63946	Standard Chartered Bank - HSI R Bull CBBC Dec 2015 C	150,000,000	2015/07/16	2015/12/30	37.50
63942	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 E	100,000,000	2015/07/16	2015/11/27	25.00
63944	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 F	150,000,000	2015/07/16	2015/11/27	37.50
63931	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 A	150,000,000	2015/07/16	2015/12/30	37.50
64107	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15L	200,000,000	2015/07/16	2015/12/30	50.00
64110	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15M	200,000,000	2015/07/16	2015/12/30	50.00
63907	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 D	200,000,000	2015/07/16	2016/02/26	50.00
63897	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 R	200,000,000	2015/07/16	2016/03/30	50.00
63903	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 S	200,000,000	2015/07/16	2016/03/30	50.00
63904	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 T	200,000,000	2015/07/16	2016/03/30	50.00
64113	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 U	200,000,000	2015/07/16	2016/03/30	50.00
64114	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 V	200,000,000	2015/07/16	2016/03/30	50.00

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63912	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 E	200,000,000	2015/07/16	2016/02/26	50.00
63915	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 F	200,000,000	2015/07/16	2016/02/26	50.00
63926	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 G	200,000,000	2015/07/16	2016/02/26	50.00
63927	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 H	200,000,000	2015/07/16	2016/02/26	50.00
63928	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 I	200,000,000	2015/07/16	2016/02/26	50.00
63930	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 J	200,000,000	2015/07/16	2016/02/26	50.00
64106	UBS AG - HSCEI R Bear CBBC Nov 2015 N	200,000,000	2015/07/16	2015/11/27	50.00
64175	UBS AG - HSI R Bull CBBC Jan 2016 D	200,000,000	2015/07/16	2016/01/28	50.00
64170	UBS AG - HSI R Bull CBBC Jan 2016 I	200,000,000	2015/07/16	2016/01/28	50.00
64217	UBS AG - HSI R Bull CBBC Feb 2016 I	200,000,000	2015/07/16	2016/02/26	50.00
64174	UBS AG - HSI R Bull CBBC Feb 2016 N	200,000,000	2015/07/16	2016/02/26	50.00
64176	UBS AG - HSI R Bull CBBC Feb 2016 O	200,000,000	2015/07/16	2016/02/26	50.00
64204	UBS AG - HSI R Bull CBBC Feb 2016 P	200,000,000	2015/07/16	2016/02/26	50.00
64199	UBS AG - HSI R Bull CBBC Mar 2016 Q	200,000,000	2015/07/16	2016/03/30	50.00
64215	UBS AG - HSI R Bull CBBC Mar 2016 R	200,000,000	2015/07/16	2016/03/30	50.00
64225	UBS AG - HSI R Bull CBBC Mar 2016 S	200,000,000	2015/07/16	2016/03/30	50.00
64313	UBS AG - HSI R Bull CBBC Mar 2016 T	200,000,000	2015/07/16	2016/03/30	50.00
64226	UBS AG - HSI R Bull CBBC Apr 2016 H	200,000,000	2015/07/16	2016/04/28	50.00
64230	UBS AG - HSI R Bull CBBC Jul 2016 H	200,000,000	2015/07/16	2016/07/28	50.00
64231	UBS AG - HSI R Bull CBBC Jul 2016 I	200,000,000	2015/07/16	2016/07/28	50.00
64069	UBS AG - HSI R Bear CBBC Dec 2015 S	200,000,000	2015/07/16	2015/12/30	50.00
64083	UBS AG - HSI R Bear CBBC Dec 2015 T	200,000,000	2015/07/16	2015/12/30	50.00
64087	UBS AG - HSI R Bear CBBC Dec 2015 U	200,000,000	2015/07/16	2015/12/30	50.00
64095	UBS AG - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/07/16	2015/12/30	50.00
64068	UBS AG - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/07/16	2016/01/28	50.00
64076	UBS AG - HSI R Bear CBBC Jan 2016 K	200,000,000	2015/07/16	2016/01/28	50.00
64086	UBS AG - HSI R Bear CBBC Jan 2016 L	200,000,000	2015/07/16	2016/01/28	50.00
64088	UBS AG - HSI R Bear CBBC Jan 2016 M	200,000,000	2015/07/16	2016/01/28	50.00
64097	UBS AG - HSI R Bear CBBC Jan 2016 N	200,000,000	2015/07/16	2016/01/28	50.00
64103	UBS AG - HSI R Bear CBBC Jan 2016 O	200,000,000	2015/07/16	2016/01/28	50.00
64105	UBS AG - HSI R Bear CBBC Jan 2016 P	200,000,000	2015/07/16	2016/01/28	50.00
64738	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15C	150,000,000	2015/07/17	2015/12/31	37.50
64735	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Dec15Z	150,000,000	2015/07/17	2015/12/31	37.50
64744	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2015 T	150,000,000	2015/07/17	2015/12/31	37.50
64731	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2015 C	100,000,000	2015/07/17	2015/12/30	25.00
64729	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 M	250,000,000	2015/07/17	2016/01/28	62.50
64725	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 N	250,000,000	2015/07/17	2016/01/28	62.50
64722	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 R	250,000,000	2015/07/17	2016/01/28	62.50
64724	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 I	250,000,000	2015/07/17	2016/02/26	62.50
64727	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 J	250,000,000	2015/07/17	2016/02/26	62.50
64753	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2015 H	68,000,000	2015/07/17	2015/12/31	17.00
64574	Credit Suisse AG - China Life R Bull CBBC May 2016 A	80,000,000	2015/07/17	2016/05/31	30.40
64560	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2016 B	100,000,000	2015/07/17	2016/02/25	25.00
64561	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2016 C	100,000,000	2015/07/17	2016/02/25	25.00
64562	Credit Suisse AG - A50 China R Bull CBBC Feb 2016 D	100,000,000	2015/07/17	2016/02/25	25.00

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64718	Credit Suisse AG - HS H-SH ETF R Bull CBBC Jan 2016 D	100,000,000	2015/07/17	2016/01/28	25.00
64721	Credit Suisse AG - HS H-SH ETF R Bull CBBC Jan 2016 E	100,000,000	2015/07/17	2016/01/28	25.00
64694	Credit Suisse AG - HSI R Bull CBBC Mar 2016 E	200,000,000	2015/07/17	2016/03/30	50.00
64827	Credit Suisse AG - HSI R Bull CBBC Mar 2016 F	200,000,000	2015/07/17	2016/03/30	50.00
64835	Credit Suisse AG - HSI R Bull CBBC Mar 2016 G	200,000,000	2015/07/17	2016/03/30	50.00
64842	Credit Suisse AG - HSI R Bull CBBC Mar 2016 H	200,000,000	2015/07/17	2016/03/30	50.00
64587	Credit Suisse AG - HSI R Bull CBBC Mar 2016 V	200,000,000	2015/07/17	2016/03/30	50.00
64599	Credit Suisse AG - HSI R Bull CBBC Mar 2016 W	200,000,000	2015/07/17	2016/03/30	50.00
64600	Credit Suisse AG - HSI R Bull CBBC Mar 2016 X	200,000,000	2015/07/17	2016/03/30	50.00
64601	Credit Suisse AG - HSI R Bull CBBC Mar 2016 Y	200,000,000	2015/07/17	2016/03/30	50.00
64603	Credit Suisse AG - HSI R Bull CBBC Mar 2016 Z	200,000,000	2015/07/17	2016/03/30	50.00
64496	Credit Suisse AG - HSI R Bear CBBC Feb 2016 G	200,000,000	2015/07/17	2016/02/26	50.00
64514	Credit Suisse AG - HSI R Bear CBBC Feb 2016 H	200,000,000	2015/07/17	2016/02/26	50.00
64515	Credit Suisse AG - HSI R Bear CBBC Feb 2016 I	200,000,000	2015/07/17	2016/02/26	50.00
64522	Credit Suisse AG - HSI R Bear CBBC Feb 2016 J	200,000,000	2015/07/17	2016/02/26	50.00
64530	Credit Suisse AG - HSI R Bear CBBC Feb 2016 K	200,000,000	2015/07/17	2016/02/26	50.00
64532	Credit Suisse AG - HSI R Bear CBBC Feb 2016 L	200,000,000	2015/07/17	2016/02/26	50.00
64581	Credit Suisse AG - Ping An R Bull CBBC Feb 2016 A	100,000,000	2015/07/17	2016/02/25	25.00
64573	Credit Suisse AG - TCH R Bull CBBC Feb 2016 B	100,000,000	2015/07/17	2016/02/25	25.00
64848	Bank of East Asia - China Mobile R Bull CBBC Aug 2016 A	40,000,000	2015/07/17	2016/08/08	10.00
64849	Bank of East Asia - COVS R Bull CBBC Jul 2016 A	40,000,000	2015/07/17	2016/07/14	20.00
64847	Bank of East Asia - CITIC Sec R Bull CBBC Dec 2016 A	40,000,000	2015/07/17	2016/12/06	24.00
64844	Bank of East Asia - Haitong Sec R Bull CBBC Dec 2016 A	40,000,000	2015/07/17	2016/12/08	18.00
64454	HK Bank - China Mobile R Bull CBBC Jul 2016 B	120,000,000	2015/07/17	2016/07/05	30.00
64377	HK Bank - China Mobile R Bull CBBC Oct 2016 B	120,000,000	2015/07/17	2016/10/05	30.00
64396	HK Bank - China Mobile R Bull CBBC Nov 2016 A	120,000,000	2015/07/17	2016/11/07	30.00
64348	HK Bank - China Life R Bull CBBC Jul 2016 A	60,000,000	2015/07/17	2016/07/14	46.20
64353	HK Bank - CSOP A50 ETF R Bull CBBC Feb 2016 L	200,000,000	2015/07/17	2016/02/29	50.00
64355	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2016 L	200,000,000	2015/07/17	2016/03/07	50.00
64325	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2016 F	200,000,000	2015/07/17	2016/04/18	50.00
64326	HK Bank - CSOP A50 ETF R Bull CBBC May 2016 D	200,000,000	2015/07/17	2016/05/30	50.00
64376	HK Bank - A50 R Bull CBBC Mar 2016 J	200,000,000	2015/07/17	2016/03/07	50.00
64371	HK Bank - A50 R Bull CBBC Apr 2016 H	50,000,000	2015/07/17	2016/04/18	12.50
64358	HK Bank - A50 R Bull CBBC Jun 2016 C	200,000,000	2015/07/17	2016/06/06	50.00
64352	HK Bank - HKEx R Bull CBBC Feb 2016 F	80,000,000	2015/07/17	2016/02/01	20.00
64337	HK Bank - HSCEI R Bear CBBC Apr 2016 K	68,000,000	2015/07/17	2016/04/28	17.00
64331	HK Bank - HSI R Bear CBBC Dec 2016 A	100,000,000	2015/07/17	2016/12/29	25.00
64338	HK Bank - Ping An R Bull CBBC Nov 2016 A	188,000,000	2015/07/17	2016/11/29	47.00
64349	HK Bank - TCH R Bull CBBC Jan 2016 M	100,000,000	2015/07/17	2016/01/25	25.00
64772	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 K	200,000,000	2015/07/17	2016/03/30	50.00
64786	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 M	200,000,000	2015/07/17	2016/04/28	50.00
64779	J P Morgan SP BV - HSI R Bull CBBC May 2016 F	200,000,000	2015/07/17	2016/05/30	50.00
64798	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 E	200,000,000	2015/07/17	2016/06/29	50.00
64808	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 C	200,000,000	2015/07/17	2016/07/28	50.00
64555	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 U	200,000,000	2015/07/17	2015/11/27	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64556	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/07/17	2015/12/30	50.00
64819	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 M	200,000,000	2015/07/17	2015/12/30	50.00
64822	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 H	200,000,000	2015/07/17	2016/01/28	50.00
64547	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 I	150,000,000	2015/07/17	2015/11/27	37.50
64553	Standard Chartered Bank - HSI R Bull CBBC Dec 2015 D	100,000,000	2015/07/17	2015/12/30	25.00
64533	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 G	100,000,000	2015/07/17	2015/11/27	25.00
64544	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 H	100,000,000	2015/07/17	2015/11/27	25.00
64461	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 W	200,000,000	2015/07/17	2016/03/30	50.00
64470	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 X	200,000,000	2015/07/17	2016/03/30	50.00
64825	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 Y	200,000,000	2015/07/17	2016/03/30	50.00
64488	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 K	200,000,000	2015/07/17	2016/02/26	50.00
64489	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 L	200,000,000	2015/07/17	2016/02/26	50.00
64651	UBS AG - HSI R Bull CBBC Feb 2016 L	200,000,000	2015/07/17	2016/02/26	50.00
64680	UBS AG - HSI R Bull CBBC Feb 2016 Q	200,000,000	2015/07/17	2016/02/26	50.00
64664	UBS AG - HSI R Bull CBBC Mar 2016 U	200,000,000	2015/07/17	2016/03/30	50.00
64629	UBS AG - HSI R Bull CBBC Mar 2016 V	200,000,000	2015/07/17	2016/03/30	50.00
64659	UBS AG - HSI R Bull CBBC Mar 2016 W	200,000,000	2015/07/17	2016/03/30	50.00
64673	UBS AG - HSI R Bull CBBC Mar 2016 X	200,000,000	2015/07/17	2016/03/30	50.00
64682	UBS AG - HSI R Bull CBBC Apr 2016 I	200,000,000	2015/07/17	2016/04/28	50.00
64687	UBS AG - HSI R Bull CBBC May 2016 F	200,000,000	2015/07/17	2016/05/30	50.00
64693	UBS AG - HSI R Bull CBBC Jun 2016 I	200,000,000	2015/07/17	2016/06/29	50.00
64607	UBS AG - HSI R Bear CBBC Dec 2015 W	200,000,000	2015/07/17	2015/12/30	50.00
64628	UBS AG - HSI R Bear CBBC Dec 2015 X	200,000,000	2015/07/17	2015/12/30	50.00
64611	UBS AG - HSI R Bear CBBC Jan 2016 Q	200,000,000	2015/07/17	2016/01/28	50.00
64612	UBS AG - HSI R Bear CBBC Jan 2016 R	200,000,000	2015/07/17	2016/01/28	50.00
64621	UBS AG - HSI R Bear CBBC Jan 2016 S	200,000,000	2015/07/17	2016/01/28	50.00
65047	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2015 N	100,000,000	2015/07/20	2015/11/27	25.00
65023	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jan 2016 S	250,000,000	2015/07/20	2016/01/28	62.50
65025	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 K	250,000,000	2015/07/20	2016/02/26	62.50
65041	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 L	250,000,000	2015/07/20	2016/02/26	62.50
65043	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Feb 2016 M	250,000,000	2015/07/20	2016/02/26	62.50
64946	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 C	108,000,000	2015/07/20	2016/03/30	27.00
64948	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 D	108,000,000	2015/07/20	2016/03/30	27.00
64949	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 B	108,000,000	2015/07/20	2015/12/30	27.00
64952	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 C	108,000,000	2015/07/20	2015/12/30	27.00
64957	Credit Suisse AG - HSI R Bull CBBC Mar 2016 A	200,000,000	2015/07/20	2016/03/30	50.00
64953	Credit Suisse AG - HSI R Bull CBBC Apr 2016 N	200,000,000	2015/07/20	2016/04/28	50.00
64956	Credit Suisse AG - HSI R Bull CBBC Apr 2016 O	200,000,000	2015/07/20	2016/04/28	50.00
64959	Credit Suisse AG - HSI R Bull CBBC Apr 2016 P	200,000,000	2015/07/20	2016/04/28	50.00
64965	Credit Suisse AG - HSI R Bull CBBC Apr 2016 Q	200,000,000	2015/07/20	2016/04/28	50.00
64973	Credit Suisse AG - HSI R Bull CBBC Apr 2016 R	200,000,000	2015/07/20	2016/04/28	50.00
64913	Credit Suisse AG - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/07/20	2016/01/28	50.00
64915	Credit Suisse AG - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/07/20	2016/01/28	50.00
64906	Credit Suisse AG - HSI R Bear CBBC Feb 2016 M	200,000,000	2015/07/20	2016/02/26	50.00
64914	Credit Suisse AG - HSI R Bear CBBC Feb 2016 N	200,000,000	2015/07/20	2016/02/26	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64919	Credit Suisse AG - HSI R Bear CBBC Feb 2016 O	200,000,000	2015/07/20	2016/02/26	50.00
64878	HK Bank - AIA R Bull CBBC Sep 2016 A	60,000,000	2015/07/20	2016/09/26	30.00
64875	HK Bank - BOCL R Bull CBBC Sep 2016 B	100,000,000	2015/07/20	2016/09/12	25.00
64870	HK Bank - CITIC Sec R Bull CBBC Sep 2016 A	60,000,000	2015/07/20	2016/09/26	42.00
64872	HK Bank - Minsheng Bank R Bull CBBC Oct 2016 C	60,000,000	2015/07/20	2016/10/04	15.00
64876	HK Bank - CNOOC R Bull CBBC Jul 2016 B	60,000,000	2015/07/20	2016/07/25	15.00
64852	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2016 G	200,000,000	2015/07/20	2016/04/25	50.00
64869	HK Bank - A50 R Bull CBBC Apr 2016 I	200,000,000	2015/07/20	2016/04/25	50.00
64851	HK Bank - HSI R Bull CBBC Sep 2016 H	400,000,000	2015/07/20	2016/09/29	100.00
64877	HK Bank - Lenovo R Bull CBBC Sep 2016 C	60,000,000	2015/07/20	2016/09/26	15.00
64889	HK Bank - Ping An R Bull CBBC Oct 2016 C	188,000,000	2015/07/20	2016/10/17	47.00
65001	J P Morgan SP BV - HSI R Bull CBBC May 2016 G	200,000,000	2015/07/20	2016/05/30	50.00
65005	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 F	200,000,000	2015/07/20	2016/06/29	50.00
65006	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 D	200,000,000	2015/07/20	2016/07/28	50.00
64943	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 V	200,000,000	2015/07/20	2015/11/27	50.00
64944	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 N	200,000,000	2015/07/20	2015/12/30	50.00
65007	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 I	200,000,000	2015/07/20	2016/01/28	50.00
64890	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 J	100,000,000	2015/07/20	2015/11/27	25.00
64891	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 K	150,000,000	2015/07/20	2015/11/27	37.50
64896	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 I	150,000,000	2015/07/20	2015/11/27	37.50
64898	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 J	100,000,000	2015/07/20	2015/11/27	25.00
64937	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Feb16E	200,000,000	2015/07/20	2016/02/26	50.00
64922	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Mar16D	200,000,000	2015/07/20	2016/03/30	66.00
64920	SGA Societe Generale Acceptance N.V. - HKEx R Bull CBBC Apr16A	200,000,000	2015/07/20	2016/04/28	86.00
64923	SGA Societe Generale Acceptance N.V. - HKEx R Bear CBBC Nov15D	200,000,000	2015/07/20	2015/11/27	94.00
64924	SGA Societe Generale Acceptance N.V. - HKEx R Bear CBBC Dec15B	200,000,000	2015/07/20	2015/12/30	134.00
64899	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jan16L	200,000,000	2015/07/20	2016/01/28	50.00
64902	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 G	200,000,000	2015/07/20	2016/02/26	50.00
64904	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 H	200,000,000	2015/07/20	2016/02/26	50.00
65000	UBS AG - HSCEI R Bull CBBC Apr 2016 B	200,000,000	2015/07/20	2016/04/28	50.00
64974	UBS AG - HSI R Bull CBBC May 2016 G	200,000,000	2015/07/20	2016/05/30	50.00
64985	UBS AG - HSI R Bull CBBC May 2016 H	200,000,000	2015/07/20	2016/05/30	50.00
64995	UBS AG - HSI R Bull CBBC May 2016 I	200,000,000	2015/07/20	2016/05/30	50.00
64998	UBS AG - HSI R Bull CBBC May 2016 J	200,000,000	2015/07/20	2016/05/30	50.00
64992	UBS AG - HSI R Bull CBBC Jun 2016 J	200,000,000	2015/07/20	2016/06/29	50.00
64996	UBS AG - HSI R Bull CBBC Jun 2016 K	200,000,000	2015/07/20	2016/06/29	50.00
64939	UBS AG - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/07/20	2016/01/28	50.00
64940	UBS AG - HSI R Bear CBBC Jan 2016 U	200,000,000	2015/07/20	2016/01/28	50.00
64941	UBS AG - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/07/20	2016/01/28	50.00
62030 [#]	HK Bank - HSI R Bull CBBC Sep 2016 B	200,000,000	2015/07/20	2016/09/29	10.40
65299	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 A	250,000,000	2015/07/21	2016/12/29	62.50
65303	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 B	250,000,000	2015/07/21	2016/12/29	62.50
65304	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Dec 2015 F	50,000,000	2015/07/21	2015/12/31	12.50
65222	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 C	108,000,000	2015/07/21	2016/02/26	27.00
65225	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 D	108,000,000	2015/07/21	2016/02/26	27.00

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65228	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 D	108,000,000	2015/07/21	2015/12/30	27.00
65185	Credit Suisse AG - HSI R Bull CBBC Feb 2016 E	200,000,000	2015/07/21	2016/02/26	50.00
65199	Credit Suisse AG - HSI R Bull CBBC Feb 2016 F	200,000,000	2015/07/21	2016/02/26	50.00
65211	Credit Suisse AG - HSI R Bull CBBC Feb 2016 G	200,000,000	2015/07/21	2016/02/26	50.00
65217	Credit Suisse AG - HSI R Bull CBBC Feb 2016 Z	200,000,000	2015/07/21	2016/02/26	50.00
65262	Credit Suisse AG - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/07/21	2015/12/30	50.00
65241	Credit Suisse AG - HSI R Bear CBBC Dec 2015 M	200,000,000	2015/07/21	2015/12/30	50.00
65242	Credit Suisse AG - HSI R Bear CBBC Dec 2015 N	200,000,000	2015/07/21	2015/12/30	50.00
65251	Bank of East Asia - CC Bank R Bull CBBC Jul 2016 A	60,000,000	2015/07/21	2016/07/19	15.00
65246	Bank of East Asia - CSOP A50 ETF R Bull CBBC May 2016 B	60,000,000	2015/07/21	2016/05/12	15.00
65245	Bank of East Asia - HKEx R Bull CBBC Jun 2016 A	40,000,000	2015/07/21	2016/06/24	16.00
65243	Bank of East Asia - HS H-SH ETF R Bull CBBC May 2016 C	40,000,000	2015/07/21	2016/05/24	10.00
65248	Bank of East Asia - Ping An R Bull CBBC May 2016 D	40,000,000	2015/07/21	2016/05/27	10.00
65071	HK Bank - China Mobile R Bull CBBC Oct 2016 C	120,000,000	2015/07/21	2016/10/19	30.00
65091	HK Bank - China Life R Bull CBBC Jul 2016 B	60,000,000	2015/07/21	2016/07/25	30.00
65086	HK Bank - CSOP A50 ETF R Bull CBBC May 2016 E	200,000,000	2015/07/21	2016/05/09	50.00
65088	HK Bank - CSOP A50 ETF R Bull CBBC May 2016 F	200,000,000	2015/07/21	2016/05/23	50.00
65090	HK Bank - A50 R Bull CBBC May 2016 E	200,000,000	2015/07/21	2016/05/09	50.00
65056	HK Bank - HSCEI R Bull CBBC Jul 2016 D	100,000,000	2015/07/21	2016/07/28	25.00
65068	HK Bank - HSI R Bull CBBC Jul 2016 I	400,000,000	2015/07/21	2016/07/28	100.00
65057	HK Bank - HSI R Bull CBBC Aug 2016 F	150,000,000	2015/07/21	2016/08/30	37.50
65093	HK Bank - Ping An R Bull CBBC Nov 2016 B	188,000,000	2015/07/21	2016/11/07	47.00
65259	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 C	100,000,000	2015/07/21	2016/07/28	25.00
65252	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 N	200,000,000	2015/07/21	2016/04/28	50.00
65253	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 G	200,000,000	2015/07/21	2016/06/29	50.00
65257	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 W	200,000,000	2015/07/21	2015/11/27	50.00
65315	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 O	200,000,000	2015/07/21	2015/12/30	50.00
65111	Standard Chartered Bank - HSCEI R Bear CBBC Nov 2015 B	100,000,000	2015/07/21	2015/11/27	25.00
65098	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 L	100,000,000	2015/07/21	2015/11/27	25.00
65100	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 M	100,000,000	2015/07/21	2015/11/27	25.00
65107	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 K	150,000,000	2015/07/21	2015/11/27	37.50
65183	SGA Societe Generale Acceptance N.V. - BOCL R Bull CBBC Mar16A	100,000,000	2015/07/21	2016/03/30	25.00
65176	SGA Societe Generale Acceptance N.V. - BOCL R Bull CBBC Aug16A	100,000,000	2015/07/21	2016/08/30	25.00
65113	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Jan16F	100,000,000	2015/07/21	2016/01/28	34.00
65119	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Jan16G	100,000,000	2015/07/21	2016/01/28	25.00
65118	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Feb16F	100,000,000	2015/07/21	2016/02/26	25.00
65117	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Mar16D	100,000,000	2015/07/21	2016/03/30	26.00
65127	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jan16 E	100,000,000	2015/07/21	2016/01/28	25.00
65126	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Feb16 F	100,000,000	2015/07/21	2016/02/26	25.00
65125	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Mar16 C	100,000,000	2015/07/21	2016/03/30	25.00
65128	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Dec15A	100,000,000	2015/07/21	2015/12/30	25.00
65132	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 Z	200,000,000	2015/07/21	2016/03/30	50.00
65136	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 W	200,000,000	2015/07/21	2016/01/28	50.00
65163	SGA Societe Generale Acceptance N.V. - ICBC R Bull CBBC Jul16A	100,000,000	2015/07/21	2016/07/28	25.00
65168	SGA Societe Generale Acceptance NV - PingAn R Bull CBBC Mar16B	200,000,000	2015/07/21	2016/03/30	50.00

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65175	SGA Societe Generale Acceptance NV - PingAn R Bull CBBC Apr16A	200,000,000	2015/07/21	2016/04/28	50.00
65149	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Feb16A	200,000,000	2015/07/21	2016/02/26	64.00
65159	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Mar16A	200,000,000	2015/07/21	2016/03/30	50.00
65158	SGA Societe Generale Acceptance N.V. - TCH R Bull CBBC Apr16A	200,000,000	2015/07/21	2016/04/28	50.00
65162	SGA Societe Generale Acceptance N.V. - TCH R Bear CBBC Feb16A	200,000,000	2015/07/21	2016/02/26	50.00
65283	UBS AG - HSCEI R Bull CBBC Feb 2016 L	200,000,000	2015/07/21	2016/02/26	50.00
65287	UBS AG - HSCEI R Bear CBBC Nov 2015 O	200,000,000	2015/07/21	2015/11/27	50.00
65276	UBS AG - HSI R Bull CBBC Apr 2016 J	200,000,000	2015/07/21	2016/04/28	50.00
65281	UBS AG - HSI R Bull CBBC Apr 2016 K	200,000,000	2015/07/21	2016/04/28	50.00
65265	UBS AG - HSI R Bull CBBC Jun 2016 L	200,000,000	2015/07/21	2016/06/29	50.00
65286	UBS AG - HSI R Bear CBBC Oct 2015 Y	200,000,000	2015/07/21	2015/10/29	50.00
65285	UBS AG - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/07/21	2016/01/28	50.00
65307	UBS AG - Ping An R Bull CBBC Aug 2016 D	50,000,000	2015/07/21	2016/08/15	12.50
65392	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2016 D	100,000,000	2015/07/22	2016/02/25	25.00
65402	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Mar 2016 A	100,000,000	2015/07/22	2016/03/31	25.00
65404	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Jan 2016 A	100,000,000	2015/07/22	2016/01/28	25.00
65407	Credit Suisse AG - A50 China R Bull CBBC Mar 2016 A	100,000,000	2015/07/22	2016/03/31	25.00
65409	Credit Suisse AG - A50 China R Bear CBBC Jan 2016 A	100,000,000	2015/07/22	2016/01/28	25.00
65411	Credit Suisse AG - A50 China R Bear CBBC Jan 2016 B	100,000,000	2015/07/22	2016/01/28	25.00
65412	Credit Suisse AG - HKEx R Bear CBBC Jan 2016 A	100,000,000	2015/07/22	2016/01/28	25.00
65364	Credit Suisse AG - HSI R Bull CBBC Mar 2016 B	200,000,000	2015/07/22	2016/03/30	50.00
65388	Credit Suisse AG - HSI R Bull CBBC Mar 2016 C	200,000,000	2015/07/22	2016/03/30	50.00
65391	Credit Suisse AG - HSI R Bull CBBC Mar 2016 I	200,000,000	2015/07/22	2016/03/30	50.00
65418	Credit Suisse AG - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/07/22	2016/01/28	50.00
65420	Credit Suisse AG - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/07/22	2016/03/30	50.00
65416	Credit Suisse AG - Ping An R Bull CBBC Mar 2016 A	100,000,000	2015/07/22	2016/03/31	25.00
65414	Credit Suisse AG - TCH R Bull CBBC Mar 2016 A	100,000,000	2015/07/22	2016/03/31	25.00
65325	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2016 A	40,000,000	2015/07/22	2016/08/08	10.00
65326	HK Bank - A50 R Bear CBBC Sep 2016 A	50,000,000	2015/07/22	2016/09/26	12.50
65319	HK Bank - Galaxy Ent R Bull CBBC Oct 2016 A	50,000,000	2015/07/22	2016/10/31	25.00
65321	HK Bank - HKEx R Bull CBBC Jul 2016 H	100,000,000	2015/07/22	2016/07/06	32.00
65320	HK Bank - HKEx R Bull CBBC Oct 2016 C	100,000,000	2015/07/22	2016/10/12	45.00
65324	HK Bank - Ping An R Bull CBBC Dec 2016 A	188,000,000	2015/07/22	2016/12/19	47.00
65317	HK Bank - Sands China R Bull CBBC Aug 2016 A	50,000,000	2015/07/22	2016/08/29	26.00
65327	HK Bank - TCH R Bull CBBC Jul 2016 D	100,000,000	2015/07/22	2016/07/18	25.00
65331	HK Bank - TCH R Bull CBBC Jul 2016 E	100,000,000	2015/07/22	2016/07/05	25.00
65336	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 H	200,000,000	2015/07/22	2016/06/29	50.00
65344	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 E	200,000,000	2015/07/22	2016/07/28	50.00
65450	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 X	200,000,000	2015/07/22	2015/11/27	50.00
65452	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 P	200,000,000	2015/07/22	2015/12/30	50.00
65346	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 N	150,000,000	2015/07/22	2015/11/27	37.50
65347	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 O	100,000,000	2015/07/22	2015/11/27	25.00
65345	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 L	100,000,000	2015/07/22	2015/11/27	25.00
65335	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 K	200,000,000	2015/07/22	2016/01/28	50.00
65332	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 F	400,000,000	2015/07/22	2016/04/28	100.00

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65446	UBS AG - A50 China R Bull CBBC Feb 2016 E	50,000,000	2015/07/22	2016/02/22	12.50
65442	UBS AG - HSCEI R Bear CBBC Oct 2015 S	200,000,000	2015/07/22	2015/10/29	50.00
65423	UBS AG - HSI R Bull CBBC May 2016 K	200,000,000	2015/07/22	2016/05/30	50.00
65429	UBS AG - HSI R Bull CBBC May 2016 L	200,000,000	2015/07/22	2016/05/30	50.00
65430	UBS AG - HSI R Bull CBBC Sep 2016 A	200,000,000	2015/07/22	2016/09/29	68.00
65440	UBS AG - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/07/22	2015/12/30	50.00
65436	UBS AG - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/07/22	2016/01/28	50.00
65443	UBS AG - TCH R Bull CBBC Sep 2016 B	50,000,000	2015/07/22	2016/09/12	12.50
65444	UBS AG - Tencent R Bear CBBC May 2016 A	50,000,000	2015/07/22	2016/05/23	12.50
65666	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 C	250,000,000	2015/07/23	2016/12/29	62.50
65670	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 E	200,000,000	2015/07/23	2015/10/29	50.00
65531	Credit Suisse AG - China Mobile R Bull CBBC Feb 2016 B	70,000,000	2015/07/23	2016/02/26	17.50
65530	Credit Suisse AG - China Mobile R Bull CBBC Mar 2016 A	70,000,000	2015/07/23	2016/03/31	17.50
65540	Credit Suisse AG - HS H-SH ETF R Bull CBBC Mar 2016 A	60,000,000	2015/07/23	2016/03/31	15.00
65639	Credit Suisse AG - HSI R Bull CBBC May 2016 G	200,000,000	2015/07/23	2016/05/30	50.00
65662	Credit Suisse AG - HSI R Bull CBBC May 2016 H	200,000,000	2015/07/23	2016/05/30	50.00
65543	Credit Suisse AG - HSI R Bear CBBC Nov 2015 M	200,000,000	2015/07/23	2015/11/27	50.00
65547	Credit Suisse AG - HSI R Bear CBBC Nov 2015 O	200,000,000	2015/07/23	2015/11/27	50.00
65533	Credit Suisse AG - Ping An R Bull CBBC Mar 2016 B	100,000,000	2015/07/23	2016/03/31	25.00
65536	Credit Suisse AG - Ping An R Bull CBBC Mar 2016 C	100,000,000	2015/07/23	2016/03/31	25.00
65467	HK Bank - China Mobile R Bear CBBC Jul 2016 B	60,000,000	2015/07/23	2016/07/05	15.00
65528	HK Bank - COVS R Bull CBBC Sep 2016 A	50,000,000	2015/07/23	2016/09/30	15.00
65527	HK Bank - COVS R Bull CBBC Oct 2016 A	50,000,000	2015/07/23	2016/10/31	25.00
65464	HK Bank - CITIC Sec R Bull CBBC Aug 2016 C	60,000,000	2015/07/23	2016/08/15	33.60
65483	HK Bank - CNOOC R Bear CBBC Jul 2016 A	40,000,000	2015/07/23	2016/07/25	10.00
65478	HK Bank - Sinopec Corp R Bear CBBC Jul 2016 B	40,000,000	2015/07/23	2016/07/25	10.00
65454	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2016 H	200,000,000	2015/07/23	2016/04/06	50.00
65455	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2016 I	200,000,000	2015/07/23	2016/04/11	50.00
65459	HK Bank - A50 R Bull CBBC Apr 2016 J	200,000,000	2015/07/23	2016/04/06	50.00
65460	HK Bank - A50 R Bull CBBC Apr 2016 K	200,000,000	2015/07/23	2016/04/11	50.00
65489	HK Bank - HSCEI R Bull CBBC Oct 2016 B	100,000,000	2015/07/23	2016/10/28	25.00
65491	HK Bank - HSI R Bull CBBC Oct 2016 D	150,000,000	2015/07/23	2016/10/28	37.50
65500	HK Bank - HSI R Bull CBBC Nov 2016 D	150,000,000	2015/07/23	2016/11/29	37.50
65503	HK Bank - HSI R Bull CBBC Dec 2016 D	150,000,000	2015/07/23	2016/12/29	37.50
65525	HK Bank - HSI R Bear CBBC Mar 2016 X	100,000,000	2015/07/23	2016/03/30	25.00
65526	HK Bank - HSI R Bear CBBC Mar 2016 Y	100,000,000	2015/07/23	2016/03/30	25.00
65481	HK Bank - PetCh R Bear CBBC Jul 2016 A	40,000,000	2015/07/23	2016/07/25	10.00
65678	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 L	200,000,000	2015/07/23	2016/03/30	50.00
65679	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 O	200,000,000	2015/07/23	2016/04/28	50.00
65672	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Y	200,000,000	2015/07/23	2015/11/27	50.00
65676	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Q	200,000,000	2015/07/23	2015/12/30	50.00
65560	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2015 D	40,000,000	2015/07/23	2015/10/29	10.20
65568	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2015 A	40,000,000	2015/07/23	2015/11/27	10.00
65549	Standard Chartered Bank - Galaxy Ent R Bull CBBC Jul 2016 A	50,000,000	2015/07/23	2016/07/04	35.00
65550	Standard Chartered Bank - HKEx R Bull CBBC Jun 2016 A	50,000,000	2015/07/23	2016/06/27	12.50

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65558	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 P	100,000,000	2015/07/23	2015/11/27	25.00
65559	Standard Chartered Bank - HSI R Bull CBBC Dec 2015 E	150,000,000	2015/07/23	2015/12/30	37.50
65552	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 B	150,000,000	2015/07/23	2015/12/30	37.50
65574	UBS AG - China Mobile R Bear CBBC Apr 2016 A	50,000,000	2015/07/23	2016/04/18	12.50
65625	UBS AG - A50 China R Bull CBBC Mar 2016 B	50,000,000	2015/07/23	2016/03/14	12.50
65572	UBS AG - HKEx R Bull CBBC Sep 2016 B	50,000,000	2015/07/23	2016/09/19	12.50
65610	UBS AG - HSCEI R Bull CBBC Jun 2016 D	200,000,000	2015/07/23	2016/06/29	50.00
65637	UBS AG - HSI R Bull CBBC Apr 2016 L	200,000,000	2015/07/23	2016/04/28	50.00
65599	UBS AG - HSI R Bull CBBC Jun 2016 M	200,000,000	2015/07/23	2016/06/29	50.00
65638	UBS AG - HSI R Bull CBBC Jul 2016 J	200,000,000	2015/07/23	2016/07/28	50.00
65609	UBS AG - HSI R Bull CBBC Aug 2016 D	200,000,000	2015/07/23	2016/08/30	79.00
65598	UBS AG - HSI R Bear CBBC Dec 2015 Z	200,000,000	2015/07/23	2015/12/30	50.00
65595	UBS AG - Ping An R Bull CBBC Sep 2016 B	50,000,000	2015/07/23	2016/09/05	12.50
65627	UBS AG - TCH R Bull CBBC Oct 2016 B	50,000,000	2015/07/23	2016/10/03	12.50
65636	UBS AG - Tencent R Bear CBBC Jun 2016 A	50,000,000	2015/07/23	2016/06/13	12.50
65835	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 A	250,000,000	2015/07/24	2016/11/29	62.50
65831	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 D	250,000,000	2015/07/24	2016/12/29	62.50
65754	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Oct 2015 Z	200,000,000	2015/07/24	2015/10/29	50.00
65746	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 W	200,000,000	2015/07/24	2015/11/27	50.00
65837	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Nov 2015 X	200,000,000	2015/07/24	2015/11/27	50.00
65786	Credit Suisse AG - HKEx R Bull CBBC Jan 2016 C	100,000,000	2015/07/24	2016/01/28	25.00
65782	Credit Suisse AG - HKEx R Bull CBBC Feb 2016 E	100,000,000	2015/07/24	2016/02/25	25.00
65787	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 E	108,000,000	2015/07/24	2016/03/30	27.00
65790	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 H	108,000,000	2015/07/24	2015/11/27	27.00
65854	Credit Suisse AG - HSI R Bull CBBC Jun 2016 A	200,000,000	2015/07/24	2016/06/29	50.00
65855	Credit Suisse AG - HSI R Bull CBBC Jun 2016 B	200,000,000	2015/07/24	2016/06/29	50.00
65857	Credit Suisse AG - HSI R Bull CBBC Jun 2016 C	200,000,000	2015/07/24	2016/06/29	50.00
65898	Credit Suisse AG - HSI R Bull CBBC Jun 2016 D	200,000,000	2015/07/24	2016/06/29	50.00
65849	Credit Suisse AG - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/07/24	2016/01/28	50.00
65850	Credit Suisse AG - HSI R Bear CBBC Jan 2016 K	200,000,000	2015/07/24	2016/01/28	50.00
65791	Credit Suisse AG - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/07/24	2016/01/28	50.00
65800	Credit Suisse AG - HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/07/24	2016/01/28	50.00
65684	HK Bank - ABC R Bull CBBC Oct 2016 C	80,000,000	2015/07/24	2016/10/31	20.00
65695	HK Bank - BOCL R Bull CBBC Nov 2016 A	100,000,000	2015/07/24	2016/11/28	25.00
65681	HK Bank - China Mobile R Bull CBBC Oct 2016 D	120,000,000	2015/07/24	2016/10/12	30.00
65680	HK Bank - Minsheng Bank R Bull CBBC Oct 2016 D	60,000,000	2015/07/24	2016/10/18	15.00
65702	HK Bank - CNOOC R Bull CBBC Jul 2016 C	60,000,000	2015/07/24	2016/07/25	15.00
65700	HK Bank - Sinopec Corp R Bull CBBC Jul 2016 C	80,000,000	2015/07/24	2016/07/25	20.00
65701	HK Bank - Sinopec Corp R Bull CBBC Oct 2016 A	80,000,000	2015/07/24	2016/10/31	20.00
65740	HK Bank - A50 R Bull CBBC Aug 2016 B	200,000,000	2015/07/24	2016/08/01	76.00
65724	HK Bank - HKEx R Bull CBBC Sep 2016 C	100,000,000	2015/07/24	2016/09/26	50.00
65737	HK Bank - HKEx R Bull CBBC Oct 2016 D	100,000,000	2015/07/24	2016/10/31	77.00
65718	HK Bank - HSCEI R Bear CBBC Aug 2016 B	68,000,000	2015/07/24	2016/08/30	17.00
65719	HK Bank - HSCEI R Bear CBBC Aug 2016 C	68,000,000	2015/07/24	2016/08/30	17.00
65704	HK Bank - HSI R Bull CBBC Dec 2016 E	400,000,000	2015/07/24	2016/12/29	100.00

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65709	HK Bank - HSI R Bear CBBC Aug 2016 C	100,000,000	2015/07/24	2016/08/30	25.00
65688	HK Bank - PetCh R Bull CBBC Jul 2016 B	60,000,000	2015/07/24	2016/07/25	15.00
65699	HK Bank - PetCh R Bull CBBC Oct 2016 A	60,000,000	2015/07/24	2016/10/31	15.00
65685	HK Bank - SHK Ppt R Bull CBBC Dec 2016 A	60,000,000	2015/07/24	2016/12/30	15.00
65899	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Apr 2016 B	100,000,000	2015/07/24	2016/04/15	25.00
65903	J P Morgan SP BV - A50 China R Bull CBBC Apr 2016 A	100,000,000	2015/07/24	2016/04/15	25.00
65906	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 M	200,000,000	2015/07/24	2016/03/30	50.00
65912	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 P	200,000,000	2015/07/24	2016/04/28	50.00
65915	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 A	200,000,000	2015/07/24	2015/11/27	50.00
65772	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Z	200,000,000	2015/07/24	2015/11/27	50.00
65916	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 I	200,000,000	2015/07/24	2016/02/26	50.00
65781	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2015 B	40,000,000	2015/07/24	2015/11/27	10.00
65838	Standard Chartered Bank - HSI R Bull CBBC Nov 2015 Q	150,000,000	2015/07/24	2015/11/27	37.50
65765	Standard Chartered Bank - HSI R Bull CBBC Jan 2016 A	150,000,000	2015/07/24	2016/01/28	37.50
65771	Standard Chartered Bank - HSI R Bull CBBC Feb 2016 A	100,000,000	2015/07/24	2016/02/26	25.00
65760	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 M	150,000,000	2015/07/24	2015/11/27	37.50
65829	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 L	200,000,000	2015/07/24	2016/01/28	50.00
65828	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 A	200,000,000	2015/07/24	2016/03/30	50.00
65744	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 X	200,000,000	2015/07/24	2016/01/28	50.00
65871	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2016 A	50,000,000	2015/07/24	2016/03/21	12.50
65866	UBS AG - HSBC R Bull CBBC Nov 2016 A	50,000,000	2015/07/24	2016/11/21	12.50
65875	UBS AG - HSI R Bull CBBC May 2016 M	200,000,000	2015/07/24	2016/05/30	50.00
65885	UBS AG - HSI R Bull CBBC May 2016 N	200,000,000	2015/07/24	2016/05/30	50.00
65877	UBS AG - HSI R Bull CBBC Jun 2016 N	200,000,000	2015/07/24	2016/06/29	50.00
65874	UBS AG - HSI R Bull CBBC Sep 2016 B	200,000,000	2015/07/24	2016/09/29	90.00
65864	UBS AG - HSI R Bear CBBC Nov 2015 L	200,000,000	2015/07/24	2015/11/27	50.00
65858	UBS AG - HSI R Bear CBBC Dec 2015 H	200,000,000	2015/07/24	2015/12/30	50.00
65861	UBS AG - HSI R Bear CBBC Dec 2015 I	200,000,000	2015/07/24	2015/12/30	50.00
65937	Credit Suisse AG - HSI R Bull CBBC Apr 2016 S	200,000,000	2015/07/27	2016/04/28	50.00
65938	Credit Suisse AG - HSI R Bull CBBC Apr 2016 T	200,000,000	2015/07/27	2016/04/28	50.00
65939	Credit Suisse AG - HSI R Bear CBBC Jan 2016 L	200,000,000	2015/07/27	2016/01/28	50.00
65941	Credit Suisse AG - HSI R Bear CBBC Jan 2016 M	200,000,000	2015/07/27	2016/01/28	50.00
65958	Credit Suisse AG - HSI R Bear CBBC Jan 2016 N	200,000,000	2015/07/27	2016/01/28	50.00
65921	HK Bank - CUni R Bull CBBC Sep 2016 A	50,000,000	2015/07/27	2016/09/26	12.50
65920	HK Bank - HKEx R Bull CBBC Jul 2016 I	100,000,000	2015/07/27	2016/07/05	25.00
65918	HK Bank - HSI R Bull CBBC Jun 2016 L	150,000,000	2015/07/27	2016/06/29	37.50
65917	HK Bank - HSI R Bull CBBC Jul 2016 J	150,000,000	2015/07/27	2016/07/28	37.50
65919	HK Bank - TCH R Bull CBBC Aug 2016 B	100,000,000	2015/07/27	2016/08/08	25.00
66014	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC May 2016 B	100,000,000	2015/07/27	2016/05/27	25.00
66015	J P Morgan SP BV - A50 China R Bull CBBC May 2016 B	100,000,000	2015/07/27	2016/05/27	25.00
65984	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 F	100,000,000	2015/07/27	2016/04/28	25.00
65929	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 Q	200,000,000	2015/07/27	2016/04/28	50.00
65999	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 F	200,000,000	2015/07/27	2016/07/28	50.00
65981	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 R	200,000,000	2015/07/27	2015/12/30	50.00
66002	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 S	200,000,000	2015/07/27	2015/12/30	50.00

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66019	J P Morgan SP BV - Tencent R Bull CBBC Jul 2016 B	100,000,000	2015/07/27	2016/07/22	25.00
65931	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC May 2016A	50,000,000	2015/07/27	2016/05/30	12.50
65933	Standard Chartered Bank - A50 China R Bull CBBC Apr 2016 A	50,000,000	2015/07/27	2016/04/25	12.50
65936	Standard Chartered Bank - HSCEI R Bear CBBC Jan 2016 A	100,000,000	2015/07/27	2016/01/28	25.00
65934	Standard Chartered Bank - HSI R Bull CBBC Feb 2016 B	100,000,000	2015/07/27	2016/02/26	25.00
65935	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 N	100,000,000	2015/07/27	2015/11/27	25.00
65922	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 N	200,000,000	2015/07/27	2016/02/26	50.00
65928	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 M	200,000,000	2015/07/27	2016/02/26	50.00
65968	UBS AG - China Mobile R Bull CBBC Jul 2016 D	50,000,000	2015/07/27	2016/07/18	12.50
65963	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2016 A	50,000,000	2015/07/27	2016/04/11	14.25
65975	UBS AG - HSI R Bull CBBC May 2016 O	200,000,000	2015/07/27	2016/05/30	50.00
65965	UBS AG - HSI R Bull CBBC Jul 2016 K	200,000,000	2015/07/27	2016/07/28	50.00
65976	UBS AG - HSI R Bear CBBC Dec 2015 M	200,000,000	2015/07/27	2015/12/30	50.00
65980	UBS AG - HSI R Bear CBBC Dec 2015 N	200,000,000	2015/07/27	2015/12/30	50.00
66037	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 E	250,000,000	2015/07/28	2016/12/29	62.50
66042	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2015 I	200,000,000	2015/07/28	2015/12/30	50.00
66030	Credit Suisse AG - HSI R Bull CBBC Apr 2016 U	200,000,000	2015/07/28	2016/04/28	50.00
66044	Credit Suisse AG - HSI R Bull CBBC Apr 2016 V	200,000,000	2015/07/28	2016/04/28	50.00
66023	Credit Suisse AG - HSI R Bear CBBC Jan 2016 Q	200,000,000	2015/07/28	2016/01/28	50.00
66029	Credit Suisse AG - HSI R Bear CBBC Jan 2016 R	200,000,000	2015/07/28	2016/01/28	50.00
66059	Bank of East Asia - China Mobile R Bull CBBC Nov 2016 A	40,000,000	2015/07/28	2016/11/25	10.00
66058	Bank of East Asia - HKEx R Bull CBBC Jul 2016 A	40,000,000	2015/07/28	2016/07/14	10.00
66055	Bank of East Asia - HSBC Holdings R Bull CBBC Jun 2016 A	60,000,000	2015/07/28	2016/06/21	15.00
66060	Bank of East Asia - PetCh R Bull CBBC Jun 2016 A	60,000,000	2015/07/28	2016/06/06	15.00
66056	Bank of East Asia - TCH R Bull CBBC Jun 2016 A	40,000,000	2015/07/28	2016/06/22	10.00
66078	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jun 2016 A	100,000,000	2015/07/28	2016/06/16	25.00
66080	J P Morgan SP BV - A50 China R Bull CBBC Jun 2016 A	100,000,000	2015/07/28	2016/06/16	25.00
66084	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 Q	200,000,000	2015/07/28	2016/03/30	50.00
66083	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 S	200,000,000	2015/07/28	2016/04/28	50.00
66022	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 T	200,000,000	2015/07/28	2015/12/30	50.00
66085	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 U	200,000,000	2015/07/28	2015/12/30	50.00
66020	Standard Chartered Bank - HKEx R Bull CBBC Sep 2016 A	50,000,000	2015/07/28	2016/09/30	22.50
66024	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 B	400,000,000	2015/07/28	2016/03/30	100.00
66026	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 G	400,000,000	2015/07/28	2016/04/28	100.00
66028	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 Y	200,000,000	2015/07/28	2016/01/28	50.00
66074	UBS AG - China Mobile R Bull CBBC Aug 2016 A	50,000,000	2015/07/28	2016/08/08	12.50
66071	UBS AG - HSI R Bull CBBC Apr 2016 M	200,000,000	2015/07/28	2016/04/28	50.00
66072	UBS AG - HSI R Bull CBBC May 2016 P	200,000,000	2015/07/28	2016/05/30	50.00
66049	UBS AG - HSI R Bear CBBC Oct 2015 Y	200,000,000	2015/07/28	2015/10/29	50.00
66053	UBS AG - HSI R Bear CBBC Dec 2015 O	200,000,000	2015/07/28	2015/12/30	50.00
66052	UBS AG - TCH R Bull CBBC Oct 2016 C	50,000,000	2015/07/28	2016/10/17	12.50
66123	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2015 F	75,000,000	2015/07/29	2015/12/31	18.75
66122	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 B	250,000,000	2015/07/29	2016/11/29	62.50
66158	Credit Suisse AG - HKEx R Bear CBBC Jan 2016 B	100,000,000	2015/07/29	2016/01/28	25.00
66121	Credit Suisse AG - HSI R Bull CBBC Apr 2016 W	200,000,000	2015/07/29	2016/04/28	50.00

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66129	Credit Suisse AG - HSI R Bear CBBC Jan 2016 I	200,000,000	2015/07/29	2016/01/28	50.00
66144	Credit Suisse AG - HSI R Bear CBBC Jan 2016 O	200,000,000	2015/07/29	2016/01/28	50.00
66145	Credit Suisse AG - HSI R Bear CBBC Jan 2016 S	200,000,000	2015/07/29	2016/01/28	50.00
66100	HK Bank - AIA R Bull CBBC Sep 2016 B	60,000,000	2015/07/29	2016/09/12	27.00
66106	HK Bank - China Mobile R Bull CBBC Jul 2016 C	120,000,000	2015/07/29	2016/07/12	30.00
66088	HK Bank - CITIC Sec R Bull CBBC Jul 2016 B	60,000,000	2015/07/29	2016/07/29	31.20
66101	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2016 M	200,000,000	2015/07/29	2016/03/21	50.00
66104	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 A	200,000,000	2015/07/29	2016/07/25	50.00
66105	HK Bank - A50 R Bull CBBC Mar 2016 K	200,000,000	2015/07/29	2016/03/21	50.00
66086	HK Bank - HSCEI R Bull CBBC Jul 2016 E	100,000,000	2015/07/29	2016/07/28	25.00
66108	HK Bank - HSCEI R Bull CBBC Aug 2016 F	100,000,000	2015/07/29	2016/08/30	25.00
66089	HK Bank - Haitong Sec R Bull CBBC Nov 2016 A	60,000,000	2015/07/29	2016/11/01	17.40
66098	HK Bank - TCH R Bull CBBC Aug 2016 C	100,000,000	2015/07/29	2016/08/15	25.00
66162	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2016 A	100,000,000	2015/07/29	2016/07/15	28.00
66168	J P Morgan SP BV - A50 China R Bull CBBC Jul 2016 A	100,000,000	2015/07/29	2016/07/15	25.00
66119	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 I	200,000,000	2015/07/29	2016/06/29	50.00
66174	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 G	200,000,000	2015/07/29	2016/07/28	50.00
66175	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 B	200,000,000	2015/07/29	2015/11/27	50.00
66161	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/07/29	2015/12/30	50.00
66115	Standard Chartered Bank - HSI R Bull CBBC Feb 2016 C	100,000,000	2015/07/29	2016/02/26	25.00
66120	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 O	150,000,000	2015/07/29	2015/11/27	37.50
66109	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 C	200,000,000	2015/07/29	2016/03/30	50.00
66152	UBS AG - HSI R Bull CBBC Apr 2016 N	200,000,000	2015/07/29	2016/04/28	50.00
66147	UBS AG - HSI R Bull CBBC Jun 2016 O	200,000,000	2015/07/29	2016/06/29	50.00
66153	UBS AG - HSI R Bear CBBC Dec 2015 P	200,000,000	2015/07/29	2015/12/30	50.00
66154	UBS AG - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/07/29	2016/01/28	50.00
66183	Credit Suisse AG - HSI R Bull CBBC Apr 2016 X	200,000,000	2015/07/30	2016/04/28	50.00
66180	Credit Suisse AG - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/07/30	2016/01/28	50.00
66182	Credit Suisse AG - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/07/30	2016/01/28	50.00
66195	Bank of East Asia - BOCL R Bull CBBC Jul 2016 A	50,000,000	2015/07/30	2016/07/22	12.50
66184	Bank of East Asia - COVS R Bull CBBC Nov 2016 A	30,000,000	2015/07/30	2016/11/11	11.70
66185	Bank of East Asia - CKH R Bull CBBC Apr 2016 A	40,000,000	2015/07/30	2016/04/20	10.00
66190	Bank of East Asia - China Life R Bull CBBC Jul 2016 A	120,000,000	2015/07/30	2016/07/28	30.00
66186	Bank of East Asia - Haitong Sec R Bull CBBC Dec 2016 B	35,000,000	2015/07/30	2016/12/16	10.85
66206	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Aug 2016 A	100,000,000	2015/07/30	2016/08/12	25.00
66208	J P Morgan SP BV - A50 China R Bear CBBC Apr 2016 A	100,000,000	2015/07/30	2016/04/15	25.00
66209	J P Morgan SP BV - A50 China R Bear CBBC May 2016 A	100,000,000	2015/07/30	2016/05/13	34.00
66215	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 D	100,000,000	2015/07/30	2016/07/28	25.00
66197	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 H	200,000,000	2015/07/30	2016/07/28	50.00
66200	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/07/30	2016/01/28	50.00
66177	Standard Chartered Bank - HSI R Bull CBBC Feb 2016 D	100,000,000	2015/07/30	2016/02/26	25.00
66178	Standard Chartered Bank - HSI R Bull CBBC Feb 2016 E	100,000,000	2015/07/30	2016/02/26	25.00
66176	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 P	100,000,000	2015/07/30	2015/11/27	25.00
66232	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 F	250,000,000	2015/07/31	2016/12/29	62.50
66233	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 G	250,000,000	2015/07/31	2016/12/29	62.50

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66254	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/07/31	2015/12/30	50.00
66248	BNP Paribas Arbit Issu B.V. - Petrochina R Bull CBBC Jan16 A	60,000,000	2015/07/31	2016/01/29	15.00
66252	BNP Paribas Arbit Issu B.V. - Petrochina R Bear CBBC Jan16 A	40,000,000	2015/07/31	2016/01/29	10.00
66245	Credit Suisse AG - HSI R Bull CBBC Apr 2016 A	200,000,000	2015/07/31	2016/04/28	50.00
66243	Credit Suisse AG - HSI R Bull CBBC Apr 2016 Y	200,000,000	2015/07/31	2016/04/28	50.00
66244	Credit Suisse AG - HSI R Bull CBBC Apr 2016 Z	200,000,000	2015/07/31	2016/04/28	50.00
66246	Credit Suisse AG - HSI R Bear CBBC Jan 2016 P	200,000,000	2015/07/31	2016/01/28	50.00
66247	Credit Suisse AG - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/07/31	2016/01/28	50.00
66255	Credit Suisse AG - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/07/31	2016/01/28	50.00
66221	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2016 J	200,000,000	2015/07/31	2016/04/25	50.00
66222	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2016 B	200,000,000	2015/07/31	2016/06/27	62.00
66217	HK Bank - A50 R Bull CBBC May 2016 F	200,000,000	2015/07/31	2016/05/31	50.00
66229	HK Bank - HKEx R Bear CBBC Mar 2016 B	50,000,000	2015/07/31	2016/03/31	12.50
66224	HK Bank - HS H-SH ETF R Bull CBBC Nov 2016 A	100,000,000	2015/07/31	2016/11/29	30.00
66278	J P Morgan SP BV - A50 China R Bear CBBC Jun 2016 A	100,000,000	2015/07/31	2016/06/17	25.00
66271	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 G	100,000,000	2015/07/31	2016/04/28	25.00
66273	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 T	200,000,000	2015/07/31	2016/04/28	50.00
66230	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 J	200,000,000	2015/07/31	2016/06/29	50.00
66272	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 I	200,000,000	2015/07/31	2016/07/28	50.00
66275	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 D	200,000,000	2015/07/31	2015/11/27	50.00
66276	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 E	200,000,000	2015/07/31	2015/11/27	50.00
66235	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 A	100,000,000	2015/07/31	2016/04/28	25.00
66236	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 B	100,000,000	2015/07/31	2016/04/28	25.00
66237	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 C	150,000,000	2015/07/31	2016/04/28	37.50
66238	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 Q	100,000,000	2015/07/31	2015/11/27	25.00
66239	Standard Chartered Bank - HSI R Bear CBBC Nov 2015 R	150,000,000	2015/07/31	2015/11/27	37.50
66240	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 O	200,000,000	2015/07/31	2016/02/26	50.00
66241	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 Z	200,000,000	2015/07/31	2016/01/28	50.00
66263	UBS AG - HSI R Bull CBBC May 2016 Q	200,000,000	2015/07/31	2016/05/30	50.00
66256	UBS AG - HSI R Bull CBBC Jun 2016 P	200,000,000	2015/07/31	2016/06/29	50.00
66264	UBS AG - HSI R Bear CBBC Nov 2015 N	200,000,000	2015/07/31	2015/11/27	50.00
66265	UBS AG - HSI R Bear CBBC Feb 2016 C	200,000,000	2015/07/31	2016/02/26	50.00
66407	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bear CBBC Nov15A	100,000,000	2015/08/03	2015/11/30	25.00
66408	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Nov 2015 H	100,000,000	2015/08/03	2015/11/30	25.00
66406	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/08/03	2016/03/30	25.00
66405	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2016 A	100,000,000	2015/08/03	2016/04/28	25.00
66305	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 C	250,000,000	2015/08/03	2016/11/29	62.50
66310	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 D	250,000,000	2015/08/03	2016/11/29	62.50
66390	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 E	250,000,000	2015/08/03	2016/11/29	62.50
66309	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 H	250,000,000	2015/08/03	2016/12/29	62.50
66311	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 I	250,000,000	2015/08/03	2016/12/29	62.50
66377	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 J	250,000,000	2015/08/03	2016/12/29	62.50
66383	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 K	250,000,000	2015/08/03	2016/12/29	62.50
66388	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 L	250,000,000	2015/08/03	2016/12/29	62.50
66391	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/08/03	2016/03/30	50.00

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66394	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 B	200,000,000	2015/08/03	2016/03/30	50.00
66395	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 C	200,000,000	2015/08/03	2016/03/30	50.00
66399	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 D	200,000,000	2015/08/03	2016/03/30	50.00
66398	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 A	200,000,000	2015/08/03	2016/04/28	50.00
66312	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 F	108,000,000	2015/08/03	2016/03/30	27.00
66283	Credit Suisse AG - HSI R Bull CBBC Apr 2016 B	200,000,000	2015/08/03	2016/04/28	50.00
66284	Credit Suisse AG - HSI R Bull CBBC Apr 2016 C	200,000,000	2015/08/03	2016/04/28	50.00
66288	Credit Suisse AG - HSI R Bull CBBC Jun 2016 E	200,000,000	2015/08/03	2016/06/29	50.00
66293	Credit Suisse AG - HSI R Bull CBBC Jun 2016 F	200,000,000	2015/08/03	2016/06/29	50.00
66313	Credit Suisse AG - HSI R Bull CBBC Jun 2016 G	200,000,000	2015/08/03	2016/06/29	50.00
66319	Credit Suisse AG - HSI R Bull CBBC Jun 2016 H	200,000,000	2015/08/03	2016/06/29	50.00
66350	Credit Suisse AG - HSI R Bull CBBC Jun 2016 I	200,000,000	2015/08/03	2016/06/29	50.00
66352	Credit Suisse AG - HSI R Bear CBBC Feb 2016 P	200,000,000	2015/08/03	2016/02/26	50.00
66353	Credit Suisse AG - HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/08/03	2016/02/26	50.00
66355	Credit Suisse AG - HSI R Bear CBBC Feb 2016 R	200,000,000	2015/08/03	2016/02/26	50.00
66356	Credit Suisse AG - HSI R Bear CBBC Feb 2016 S	200,000,000	2015/08/03	2016/02/26	50.00
66360	Credit Suisse AG - HSI R Bear CBBC Feb 2016 T	200,000,000	2015/08/03	2016/02/26	50.00
66361	Credit Suisse AG - HSI R Bear CBBC Feb 2016 U	200,000,000	2015/08/03	2016/02/26	50.00
66410	Credit Suisse AG - HSI R Bear CBBC Feb 2016 V	200,000,000	2015/08/03	2016/02/26	50.00
66414	Credit Suisse AG - HSI R Bear CBBC Feb 2016 W	200,000,000	2015/08/03	2016/02/26	50.00
66415	Credit Suisse AG - HSI R Bear CBBC Feb 2016 X	200,000,000	2015/08/03	2016/02/26	50.00
66462	J P Morgan SP BV - A50 China R Bull CBBC May 2016 C	100,000,000	2015/08/03	2016/05/19	25.00
66466	J P Morgan SP BV - A50 China R Bear CBBC May 2016 B	100,000,000	2015/08/03	2016/05/19	25.00
66467	J P Morgan SP BV - HKEx R Bull CBBC Oct 2016 B	100,000,000	2015/08/03	2016/10/14	25.00
66300	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2016 C	100,000,000	2015/08/03	2016/06/29	25.00
66457	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 E	100,000,000	2015/08/03	2016/07/28	25.00
66468	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 H	100,000,000	2015/08/03	2016/04/28	25.00
66301	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 K	200,000,000	2015/08/03	2016/06/29	50.00
66474	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 L	200,000,000	2015/08/03	2016/06/29	50.00
66303	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 J	200,000,000	2015/08/03	2016/07/28	50.00
66460	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 K	200,000,000	2015/08/03	2016/07/28	50.00
66475	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 L	200,000,000	2015/08/03	2016/07/28	50.00
66476	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 M	200,000,000	2015/08/03	2016/07/28	50.00
66477	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 A	200,000,000	2015/08/03	2016/09/29	50.00
66469	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 F	200,000,000	2015/08/03	2015/11/27	50.00
66471	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 W	200,000,000	2015/08/03	2015/12/30	50.00
66472	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 X	200,000,000	2015/08/03	2015/12/30	50.00
66334	Standard Chartered Bank - HKEx R Bull CBBC Oct 2016 A	50,000,000	2015/08/03	2016/10/03	12.50
66332	Standard Chartered Bank - HKEx R Bear CBBC Jan 2016 B	50,000,000	2015/08/03	2016/01/22	12.50
66346	Standard Chartered Bank - HSCEI R Bull CBBC Jun 2016 A	100,000,000	2015/08/03	2016/06/29	25.00
66321	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 D	150,000,000	2015/08/03	2016/04/28	37.50
66322	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 E	100,000,000	2015/08/03	2016/04/28	25.00
66330	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 A	100,000,000	2015/08/03	2016/06/29	25.00
66339	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 C	100,000,000	2015/08/03	2015/12/30	25.00
66340	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 D	100,000,000	2015/08/03	2015/12/30	25.00

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66344	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 E	150,000,000	2015/08/03	2015/12/30	37.50
66279	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 P	200,000,000	2015/08/03	2016/02/26	50.00
66280	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 Q	200,000,000	2015/08/03	2016/02/26	50.00
66335	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 R	200,000,000	2015/08/03	2016/02/26	50.00
66336	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 L	200,000,000	2015/08/03	2016/01/28	50.00
66337	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 M	200,000,000	2015/08/03	2016/01/28	50.00
66338	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 P	200,000,000	2015/08/03	2016/01/28	50.00
66455	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 Q	200,000,000	2015/08/03	2016/01/28	50.00
66456	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 R	400,000,000	2015/08/03	2016/01/28	100.00
66282	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 N	200,000,000	2015/08/03	2016/02/26	50.00
66423	UBS AG - HSCEI R Bull CBBC Apr 2016 C	200,000,000	2015/08/03	2016/04/28	50.00
66454	UBS AG - HSCEI R Bear CBBC Nov 2015 P	200,000,000	2015/08/03	2015/11/27	50.00
66416	UBS AG - HSI R Bull CBBC Apr 2016 O	200,000,000	2015/08/03	2016/04/28	50.00
66422	UBS AG - HSI R Bull CBBC Apr 2016 P	200,000,000	2015/08/03	2016/04/28	50.00
66425	UBS AG - HSI R Bull CBBC Apr 2016 Q	200,000,000	2015/08/03	2016/04/28	50.00
66418	UBS AG - HSI R Bull CBBC May 2016 R	200,000,000	2015/08/03	2016/05/30	50.00
66424	UBS AG - HSI R Bull CBBC May 2016 S	200,000,000	2015/08/03	2016/05/30	50.00
66427	UBS AG - HSI R Bull CBBC May 2016 T	200,000,000	2015/08/03	2016/05/30	50.00
66433	UBS AG - HSI R Bull CBBC May 2016 U	200,000,000	2015/08/03	2016/05/30	65.00
66417	UBS AG - HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/08/03	2016/06/29	50.00
66420	UBS AG - HSI R Bull CBBC Jun 2016 R	200,000,000	2015/08/03	2016/06/29	50.00
66428	UBS AG - HSI R Bull CBBC Jun 2016 S	200,000,000	2015/08/03	2016/06/29	53.00
66436	UBS AG - HSI R Bull CBBC Jun 2016 T	200,000,000	2015/08/03	2016/06/29	73.00
66432	UBS AG - HSI R Bull CBBC Jul 2016 L	200,000,000	2015/08/03	2016/07/28	59.00
66440	UBS AG - HSI R Bear CBBC Nov 2015 J	200,000,000	2015/08/03	2015/11/27	50.00
66451	UBS AG - HSI R Bear CBBC Nov 2015 M	200,000,000	2015/08/03	2015/11/27	50.00
66437	UBS AG - HSI R Bear CBBC Dec 2015 S	200,000,000	2015/08/03	2015/12/30	50.00
66446	UBS AG - HSI R Bear CBBC Dec 2015 T	200,000,000	2015/08/03	2015/12/30	50.00
66450	UBS AG - HSI R Bear CBBC Dec 2015 U	200,000,000	2015/08/03	2015/12/30	50.00
66453	UBS AG - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/08/03	2015/12/30	50.00
66543	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Feb 2016 E	100,000,000	2015/08/04	2016/02/25	35.00
66542	Credit Suisse AG - CSOP A50 ETF R Bear CBBC Jan 2016 B	80,000,000	2015/08/04	2016/01/28	20.00
66548	Credit Suisse AG - A50 China R Bull CBBC Feb 2016 F	100,000,000	2015/08/04	2016/02/25	30.00
66545	Credit Suisse AG - A50 China R Bear CBBC Jan 2016 C	80,000,000	2015/08/04	2016/01/28	20.00
66547	Credit Suisse AG - A50 China R Bear CBBC Jan 2016 D	80,000,000	2015/08/04	2016/01/28	20.00
66550	Credit Suisse AG - HKEx R Bear CBBC Jan 2016 C	100,000,000	2015/08/04	2016/01/28	25.00
66620	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 G	108,000,000	2015/08/04	2016/03/30	27.00
66617	Credit Suisse AG - HSCEI R Bear CBBC Jan 2016 B	108,000,000	2015/08/04	2016/01/28	27.00
66619	Credit Suisse AG - HSCEI R Bear CBBC Jan 2016 C	108,000,000	2015/08/04	2016/01/28	27.00
66611	Credit Suisse AG - HSI R Bull CBBC Apr 2016 H	200,000,000	2015/08/04	2016/04/28	50.00
66613	Credit Suisse AG - HSI R Bull CBBC Apr 2016 I	200,000,000	2015/08/04	2016/04/28	50.00
66612	Credit Suisse AG - HSI R Bull CBBC Apr 2016 R	200,000,000	2015/08/04	2016/04/28	50.00
66614	Credit Suisse AG - HSI R Bull CBBC Jun 2016 J	200,000,000	2015/08/04	2016/06/29	50.00
66615	Credit Suisse AG - HSI R Bull CBBC Jun 2016 K	200,000,000	2015/08/04	2016/06/29	50.00
66621	Credit Suisse AG - HSI R Bull CBBC Jun 2016 L	200,000,000	2015/08/04	2016/06/29	60.00

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66622	Credit Suisse AG - HSI R Bull CBBC Jun 2016 M	200,000,000	2015/08/04	2016/06/29	60.00
66532	Credit Suisse AG - HSI R Bear CBBC Feb 2016 C	200,000,000	2015/08/04	2016/02/26	50.00
66538	Credit Suisse AG - HSI R Bear CBBC Feb 2016 D	200,000,000	2015/08/04	2016/02/26	50.00
66540	Credit Suisse AG - HSI R Bear CBBC Feb 2016 F	200,000,000	2015/08/04	2016/02/26	50.00
66610	Credit Suisse AG - HSI R Bear CBBC Feb 2016 G	200,000,000	2015/08/04	2016/02/26	50.00
66530	Credit Suisse AG - HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/08/04	2016/02/26	50.00
66531	Credit Suisse AG - HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/08/04	2016/02/26	50.00
66552	Credit Suisse AG - TCH R Bear CBBC Jan 2016 A	60,000,000	2015/08/04	2016/01/28	15.00
66497	HK Bank - CC Bank R Bull CBBC Dec 2016 A	100,000,000	2015/08/04	2016/12/19	25.00
66504	HK Bank - CNOOC R Bull CBBC Oct 2016 B	60,000,000	2015/08/04	2016/10/31	15.00
66498	HK Bank - Sinopec Corp R Bull CBBC Jul 2016 D	80,000,000	2015/08/04	2016/07/18	20.00
66478	HK Bank - Galaxy Ent R Bull CBBC Oct 2016 B	50,000,000	2015/08/04	2016/10/31	34.00
66487	HK Bank - HKEx R Bear CBBC Jun 2016 D	50,000,000	2015/08/04	2016/06/13	12.50
66486	HK Bank - HSCEI R Bear CBBC May 2016 I	68,000,000	2015/08/04	2016/05/30	17.00
66483	HK Bank - HSI R Bull CBBC Jul 2016 K	150,000,000	2015/08/04	2016/07/28	37.50
66479	HK Bank - HSI R Bear CBBC Mar 2016 Z	100,000,000	2015/08/04	2016/03/30	25.00
66480	HK Bank - HSI R Bear CBBC Apr 2016 T	100,000,000	2015/08/04	2016/04/28	25.00
66482	HK Bank - HSI R Bear CBBC May 2016 N	100,000,000	2015/08/04	2016/05/30	25.00
66484	HK Bank - HSI R Bear CBBC Sep 2016 B	400,000,000	2015/08/04	2016/09/29	100.00
66509	HK Bank - Haitong Sec R Bull CBBC Oct 2016 A	60,000,000	2015/08/04	2016/10/31	21.00
66506	HK Bank - Lenovo R Bull CBBC Dec 2016 A	60,000,000	2015/08/04	2016/12/19	16.20
66500	HK Bank - PetCh R Bull CBBC Jul 2016 C	60,000,000	2015/08/04	2016/07/25	15.00
66496	HK Bank - Ping An R Bull CBBC Oct 2016 D	188,000,000	2015/08/04	2016/10/31	47.00
66493	HK Bank - Ping An R Bull CBBC Nov 2016 C	188,000,000	2015/08/04	2016/11/28	47.00
66490	HK Bank - Ping An R Bull CBBC Dec 2016 B	188,000,000	2015/08/04	2016/12/19	47.00
66489	HK Bank - SHK Ppt R Bull CBBC Dec 2016 B	60,000,000	2015/08/04	2016/12/19	21.00
66485	HK Bank - TCH R Bear CBBC Mar 2016 A	100,000,000	2015/08/04	2016/03/31	25.00
66488	HK Bank - TCH R Bear CBBC Jun 2016 E	100,000,000	2015/08/04	2016/06/27	25.00
66685	J P Morgan SP BV - HSCEI R Bull CBBC Sep 2016 A	100,000,000	2015/08/04	2016/09/29	25.00
66658	J P Morgan SP BV - HSI R Bull CBBC May 2016 H	200,000,000	2015/08/04	2016/05/30	50.00
66666	J P Morgan SP BV - HSI R Bull CBBC May 2016 I	200,000,000	2015/08/04	2016/05/30	50.00
66669	J P Morgan SP BV - HSI R Bull CBBC May 2016 J	200,000,000	2015/08/04	2016/05/30	50.00
66605	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 J	200,000,000	2015/08/04	2015/11/27	50.00
66607	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/08/04	2015/12/30	50.00
66673	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 K	200,000,000	2015/08/04	2016/01/28	50.00
66591	Standard Chartered Bank - HSCEI R Bear CBBC Dec 2015 A	100,000,000	2015/08/04	2015/12/30	25.00
66595	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 F	150,000,000	2015/08/04	2016/04/28	37.50
66592	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 B	100,000,000	2015/08/04	2016/06/29	25.00
66594	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 F	100,000,000	2015/08/04	2015/12/30	25.00
66554	SGA Societe Generale Acceptance N.V - CSA50 R Bear CBBC Feb16A	100,000,000	2015/08/04	2016/02/26	25.00
66570	SGA Societe Generale Acceptance NV - HS HI R Bull CBBC Apr16A	100,000,000	2015/08/04	2016/04/28	25.00
66568	SGA Societe Generale Acceptance NV - HS HI R Bull CBBC Jul16A	100,000,000	2015/08/04	2016/07/28	25.00
66567	SGA Societe Generale Acceptance NV - HS HI R Bull CBBC Oct16A	100,000,000	2015/08/04	2016/10/28	25.00
66578	SGA Societe Generale Acceptance NV - HS HI R Bear CBBC Feb16A	100,000,000	2015/08/04	2016/02/26	25.00
66582	SGA Societe Generale Acceptance NV - HS HI R Bear CBBC Apr16A	100,000,000	2015/08/04	2016/04/28	25.00

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66512	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16D	200,000,000	2015/08/04	2016/03/30	50.00
66515	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16J	200,000,000	2015/08/04	2016/01/28	50.00
66565	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jan16 O	200,000,000	2015/08/04	2016/01/28	50.00
66523	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 D	200,000,000	2015/08/04	2016/03/30	50.00
66524	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 O	200,000,000	2015/08/04	2016/02/26	50.00
66528	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 P	200,000,000	2015/08/04	2016/02/26	50.00
66640	UBS AG - HSI R Bull CBBC Apr 2016 R	200,000,000	2015/08/04	2016/04/28	50.00
66656	UBS AG - HSI R Bull CBBC Apr 2016 S	200,000,000	2015/08/04	2016/04/28	50.00
66653	UBS AG - HSI R Bull CBBC May 2016 V	200,000,000	2015/08/04	2016/05/30	50.00
66657	UBS AG - HSI R Bull CBBC May 2016 W	200,000,000	2015/08/04	2016/05/30	50.00
66655	UBS AG - HSI R Bull CBBC Jun 2016 U	200,000,000	2015/08/04	2016/06/29	50.00
66623	UBS AG - HSI R Bear CBBC Dec 2015 E	200,000,000	2015/08/04	2015/12/30	50.00
66627	UBS AG - HSI R Bear CBBC Dec 2015 Q	200,000,000	2015/08/04	2015/12/30	50.00
66635	UBS AG - HSI R Bear CBBC Dec 2015 W	200,000,000	2015/08/04	2015/12/30	50.00
66631	UBS AG - HSI R Bear CBBC Jan 2016 A	200,000,000	2015/08/04	2016/01/28	50.00
66633	UBS AG - HSI R Bear CBBC Jan 2016 B	200,000,000	2015/08/04	2016/01/28	50.00
66624	UBS AG - HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/08/04	2016/01/28	50.00
66843	Credit Suisse AG - HSI R Bull CBBC Apr 2016 J	200,000,000	2015/08/05	2016/04/28	50.00
66845	Credit Suisse AG - HSI R Bull CBBC Apr 2016 N	200,000,000	2015/08/05	2016/04/28	50.00
66846	Credit Suisse AG - HSI R Bull CBBC Apr 2016 O	200,000,000	2015/08/05	2016/04/28	50.00
66841	Credit Suisse AG - HSI R Bear CBBC Feb 2016 H	200,000,000	2015/08/05	2016/02/26	50.00
66842	Credit Suisse AG - HSI R Bear CBBC Feb 2016 I	200,000,000	2015/08/05	2016/02/26	50.00
66850	Credit Suisse AG - HSI R Bear CBBC Feb 2016 J	200,000,000	2015/08/05	2016/02/26	50.00
66921	Bank of East Asia - CITIC Sec R Bull CBBC Aug 2016 A	20,000,000	2015/08/05	2016/08/08	10.40
66938	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2016 A	120,000,000	2015/08/05	2016/12/23	30.00
66925	Bank of East Asia - HKEx R Bull CBBC Jun 2016 B	40,000,000	2015/08/05	2016/06/14	10.00
66919	Bank of East Asia - Haitong Sec R Bull CBBC Dec 2016 C	25,000,000	2015/08/05	2016/12/22	10.75
66922	Bank of East Asia - Lenovo R Bull CBBC Dec 2016 A	40,000,000	2015/08/05	2016/12/29	10.00
66722	HK Bank - CUni R Bull CBBC Oct 2016 A	50,000,000	2015/08/05	2016/10/31	13.50
66710	HK Bank - CUni R Bull CBBC Nov 2016 A	50,000,000	2015/08/05	2016/11/28	20.00
66707	HK Bank - China Life R Bear CBBC Sep 2016 A	50,000,000	2015/08/05	2016/09/12	25.00
66804	HK Bank - Minsheng Bank R Bull CBBC Oct 2016 E	60,000,000	2015/08/05	2016/10/31	18.00
66803	HK Bank - Minsheng Bank R Bear CBBC Oct 2016 A	40,000,000	2015/08/05	2016/10/31	10.00
66755	HK Bank - CSOP A50 ETF R Bear CBBC Jun 2016 D	40,000,000	2015/08/05	2016/06/06	10.00
66776	HK Bank - A50 R Bull CBBC Apr 2016 L	200,000,000	2015/08/05	2016/04/25	50.00
66756	HK Bank - A50 R Bull CBBC Sep 2016 A	200,000,000	2015/08/05	2016/09/05	50.00
66757	HK Bank - A50 R Bear CBBC Mar 2016 E	50,000,000	2015/08/05	2016/03/23	12.50
66799	HK Bank - Galaxy Ent R Bull CBBC Nov 2016 A	50,000,000	2015/08/05	2016/11/28	41.50
66709	HK Bank - HSI R Bull CBBC Jul 2016 L	150,000,000	2015/08/05	2016/07/28	37.50
66782	HK Bank - Lenovo R Bull CBBC Oct 2016 A	60,000,000	2015/08/05	2016/10/03	19.80
66688	HK Bank - Ping An R Bear CBBC Jun 2016 B	40,000,000	2015/08/05	2016/06/06	10.00
66786	HK Bank - Sands China R Bull CBBC Sep 2016 A	50,000,000	2015/08/05	2016/09/26	12.50
66748	HK Bank - TCH R Bull CBBC Jun 2016 F	100,000,000	2015/08/05	2016/06/29	25.00
66754	HK Bank - TCH R Bull CBBC Aug 2016 D	100,000,000	2015/08/05	2016/08/08	30.00
66887	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Apr 2016 C	100,000,000	2015/08/05	2016/04/15	25.00

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66892	J P Morgan SP BV - HKEx R Bull CBBC Nov 2016 A	100,000,000	2015/08/05	2016/11/18	25.00
66815	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 M	200,000,000	2015/08/05	2016/06/29	50.00
66876	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 N	200,000,000	2015/08/05	2016/06/29	50.00
66817	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 P	200,000,000	2015/08/05	2015/11/27	50.00
66816	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Z	200,000,000	2015/08/05	2015/12/30	50.00
66914	J P Morgan SP BV - Tencent R Bear CBBC Mar 2016 A	100,000,000	2015/08/05	2016/03/18	25.00
66916	J P Morgan SP BV - Tencent R Bear CBBC Apr 2016 A	100,000,000	2015/08/05	2016/04/15	26.00
66809	Standard Chartered Bank - HKEx R Bull CBBC Feb 2016 C	50,000,000	2015/08/05	2016/02/29	17.50
66807	Standard Chartered Bank - HKEx R Bear CBBC Dec 2015 A	50,000,000	2015/08/05	2015/12/31	20.00
66813	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 G	150,000,000	2015/08/05	2016/04/28	37.50
66814	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 C	150,000,000	2015/08/05	2016/06/29	37.50
66811	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 G	100,000,000	2015/08/05	2015/12/30	25.00
66767	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb16 S	200,000,000	2015/08/05	2016/02/26	50.00
66768	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 Q	200,000,000	2015/08/05	2016/02/26	50.00
66771	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 R	200,000,000	2015/08/05	2016/02/26	50.00
66861	UBS AG - HSBC R Bull CBBC Sep 2016 A	50,000,000	2015/08/05	2016/09/19	12.50
66870	UBS AG - HSI R Bull CBBC Mar 2016 Y	200,000,000	2015/08/05	2016/03/30	50.00
66854	UBS AG - HSI R Bull CBBC Apr 2016 T	200,000,000	2015/08/05	2016/04/28	50.00
66867	UBS AG - HSI R Bull CBBC Apr 2016 U	200,000,000	2015/08/05	2016/04/28	50.00
66873	UBS AG - HSI R Bull CBBC Apr 2016 V	200,000,000	2015/08/05	2016/04/28	50.00
66857	UBS AG - HSI R Bull CBBC Aug 2016 E	200,000,000	2015/08/05	2016/08/30	63.00
66858	UBS AG - HSI R Bear CBBC Jan 2016 C	200,000,000	2015/08/05	2016/01/28	50.00
66862	UBS AG - HSI R Bear CBBC Jan 2016 D	200,000,000	2015/08/05	2016/01/28	50.00
66864	UBS AG - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/08/05	2016/01/28	50.00
67010	Credit Suisse AG - HSI R Bull CBBC Feb 2016 H	200,000,000	2015/08/06	2016/02/26	50.00
67011	Credit Suisse AG - HSI R Bull CBBC Feb 2016 I	200,000,000	2015/08/06	2016/02/26	50.00
67012	Credit Suisse AG - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/08/06	2015/12/30	50.00
66971	HK Bank - COVS R Bull CBBC Sep 2016 B	50,000,000	2015/08/06	2016/09/26	29.00
66946	HK Bank - Galaxy Ent R Bull CBBC Nov 2016 B	50,000,000	2015/08/06	2016/11/14	12.50
66970	HK Bank - Galaxy Ent R Bear CBBC Nov 2016 A	50,000,000	2015/08/06	2016/11/14	12.50
66989	HK Bank - HSI R Bull CBBC Aug 2016 G	150,000,000	2015/08/06	2016/08/30	37.50
66987	HK Bank - Sands China R Bear CBBC Nov 2016 A	50,000,000	2015/08/06	2016/11/14	12.50
66988	HK Bank - Sands China R Bear CBBC Dec 2016 B	50,000,000	2015/08/06	2016/12/19	12.50
66942	HK Bank - SHK Ppt R Bull CBBC Jul 2016 A	60,000,000	2015/08/06	2016/07/25	15.00
66943	HK Bank - SHK Ppt R Bear CBBC Oct 2016 A	50,000,000	2015/08/06	2016/10/05	12.50
66995	HK Bank - TCH R Bear CBBC Jul 2016 D	100,000,000	2015/08/06	2016/07/05	25.00
67054	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jun 2016 B	100,000,000	2015/08/06	2016/06/17	25.00
67038	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 U	200,000,000	2015/08/06	2016/04/28	50.00
67049	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 N	200,000,000	2015/08/06	2016/07/28	50.00
67004	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Q	200,000,000	2015/08/06	2015/11/27	50.00
67061	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 L	200,000,000	2015/08/06	2016/01/28	50.00
67056	J P Morgan SP BV - Tencent R Bull CBBC Jul 2016 C	100,000,000	2015/08/06	2016/07/15	25.00
67058	J P Morgan SP BV - Tencent R Bear CBBC Apr 2016 B	100,000,000	2015/08/06	2016/04/15	25.00
67001	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Jul 2016A	50,000,000	2015/08/06	2016/07/04	12.50
67002	Standard Chartered Bank - CSOP A50 ETF R Bear CBBC Mar 2016A	50,000,000	2015/08/06	2016/03/14	12.50

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67003	Standard Chartered Bank - A50 China R Bull CBBC Jul 2016 A	50,000,000	2015/08/06	2016/07/04	12.50
67005	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 H	100,000,000	2015/08/06	2016/04/28	25.00
67007	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 D	150,000,000	2015/08/06	2016/06/29	37.50
67017	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 H	300,000,000	2015/08/06	2016/04/28	75.00
67020	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 I	300,000,000	2015/08/06	2016/04/28	75.00
67015	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 A	300,000,000	2015/08/06	2016/05/30	75.00
67019	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 B	300,000,000	2015/08/06	2016/05/30	75.00
67022	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 A	300,000,000	2015/08/06	2016/03/30	75.00
67029	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 B	300,000,000	2015/08/06	2016/03/30	75.00
67028	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 A	300,000,000	2015/08/06	2016/04/28	75.00
67033	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 B	300,000,000	2015/08/06	2016/04/28	75.00
67014	UBS AG - HSI R Bull CBBC Apr 2016 W	200,000,000	2015/08/06	2016/04/28	50.00
67013	UBS AG - HSI R Bear CBBC Jan 2016 F	200,000,000	2015/08/06	2016/01/28	50.00
67130	Credit Suisse AG - HSI R Bull CBBC Feb 2016 J	200,000,000	2015/08/07	2016/02/26	50.00
67129	Credit Suisse AG - Ping An R Bull CBBC Feb 2016 B	60,000,000	2015/08/07	2016/02/25	15.00
67168	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2016 B	20,000,000	2015/08/07	2016/12/30	10.60
67163	Bank of East Asia - Galaxy Ent R Bear CBBC Jun 2016 A	12,000,000	2015/08/07	2016/06/27	10.32
67172	Bank of East Asia - Haitong Sec R Bull CBBC Dec 2016 D	40,000,000	2015/08/07	2016/12/16	10.00
67175	Bank of East Asia - Lenovo R Bull CBBC Dec 2016 B	40,000,000	2015/08/07	2016/12/09	10.00
67171	Bank of East Asia - Sands China R Bull CBBC Dec 2016 A	15,000,000	2015/08/07	2016/12/19	10.65
67170	Bank of East Asia - Sands China R Bear CBBC Dec 2016 A	12,000,000	2015/08/07	2016/12/19	11.76
67078	HK Bank - ABC R Bear CBBC Sep 2016 A	40,000,000	2015/08/07	2016/09/19	10.00
67071	HK Bank - BOCL R Bear CBBC Sep 2016 A	40,000,000	2015/08/07	2016/09/19	10.00
67081	HK Bank - Galaxy Ent R Bull CBBC Dec 2016 A	50,000,000	2015/08/07	2016/12/19	12.50
67064	HK Bank - Galaxy Ent R Bear CBBC Dec 2016 A	50,000,000	2015/08/07	2016/12/19	45.50
67160	HK Bank - Sands China R Bear CBBC Nov 2016 B	50,000,000	2015/08/07	2016/11/04	12.50
67134	J P Morgan SP BV - HSI R Bull CBBC May 2016 K	200,000,000	2015/08/07	2016/05/30	50.00
67084	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 O	200,000,000	2015/08/07	2016/07/28	50.00
67083	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 R	200,000,000	2015/08/07	2015/11/27	50.00
67132	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 M	200,000,000	2015/08/07	2016/01/28	50.00
67097	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 E	100,000,000	2015/08/07	2016/06/29	25.00
67098	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 A	100,000,000	2015/08/07	2016/08/30	25.00
67090	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 H	150,000,000	2015/08/07	2015/12/30	37.50
67095	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 I	150,000,000	2015/08/07	2015/12/30	37.50
67107	UBS AG - CUni R Bull CBBC Dec 2015 A	50,000,000	2015/08/07	2015/12/21	12.50
67106	UBS AG - Galaxy Ent R Bull CBBC Jun 2016 A	100,000,000	2015/08/07	2016/06/20	25.00
67114	UBS AG - HSI R Bull CBBC Feb 2016 S	200,000,000	2015/08/07	2016/02/26	50.00
67102	UBS AG - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/08/07	2016/01/28	50.00
67109	UBS AG - Sands China R Bull CBBC Mar 2016 A	100,000,000	2015/08/07	2016/03/21	25.00
67367	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Mar 2016 B	100,000,000	2015/08/10	2016/03/31	25.00
67371	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Mar 2016 C	100,000,000	2015/08/10	2016/03/31	25.00
67364	Credit Suisse AG - HS H-SH ETF R Bull CBBC Mar 2016 B	80,000,000	2015/08/10	2016/03/31	20.00
67321	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 E	108,000,000	2015/08/10	2016/02/26	27.00
67355	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 F	108,000,000	2015/08/10	2016/02/26	27.00
67360	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 E	108,000,000	2015/08/10	2015/12/30	27.00

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67362	Credit Suisse AG - HSI R Bull CBBC Mar 2016 D	200,000,000	2015/08/10	2016/03/30	50.00
67311	Credit Suisse AG - HSI R Bull CBBC Mar 2016 J	200,000,000	2015/08/10	2016/03/30	50.00
67312	Credit Suisse AG - HSI R Bull CBBC Mar 2016 K	200,000,000	2015/08/10	2016/03/30	50.00
67314	Credit Suisse AG - HSI R Bull CBBC Mar 2016 L	200,000,000	2015/08/10	2016/03/30	50.00
67363	Credit Suisse AG - HSI R Bull CBBC Apr 2016 P	200,000,000	2015/08/10	2016/04/28	64.00
67374	Credit Suisse AG - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/08/10	2015/12/30	50.00
67381	Credit Suisse AG - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/08/10	2015/12/30	50.00
67257	HK Bank - CAM CSI300 R Bull CBBC Sep 2016 B	100,000,000	2015/08/10	2016/09/26	48.00
67258	HK Bank - CAM CSI300 R Bull CBBC Oct 2016 A	100,000,000	2015/08/10	2016/10/31	94.00
67246	HK Bank - CGN Power R Bull CBBC Dec 2016 A	40,000,000	2015/08/10	2016/12/19	10.00
67261	HK Bank - CM Bank R Bull CBBC Sep 2016 A	40,000,000	2015/08/10	2016/09/26	11.20
67243	HK Bank - CRRC R Bull CBBC Dec 2016 A	40,000,000	2015/08/10	2016/12/19	10.00
67259	HK Bank - Evergrande R Bull CBBC Nov 2016 A	60,000,000	2015/08/10	2016/11/28	15.00
67260	HK Bank - Evergrande R Bull CBBC Dec 2016 A	60,000,000	2015/08/10	2016/12/19	15.00
67202	HK Bank - Gome R Bull CBBC Dec 2016 A	40,000,000	2015/08/10	2016/12/19	16.40
67200	HK Bank - HKEx R Bull CBBC Oct 2016 E	100,000,000	2015/08/10	2016/10/24	35.00
67176	HK Bank - HKEx R Bull CBBC Nov 2016 A	100,000,000	2015/08/10	2016/11/07	44.00
67449	J P Morgan SP BV - CAM CSI300 R Bull CBBC Aug 2016 A	100,000,000	2015/08/10	2016/08/19	25.00
67450	J P Morgan SP BV - CAM CSI300 R Bull CBBC Sep 2016 A	100,000,000	2015/08/10	2016/09/15	25.00
67276	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 F	100,000,000	2015/08/10	2016/07/28	25.00
67282	J P Morgan SP BV - HSI R Bull CBBC May 2016 L	200,000,000	2015/08/10	2016/05/30	50.00
67281	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 P	200,000,000	2015/08/10	2016/07/28	50.00
67451	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 S	200,000,000	2015/08/10	2015/11/27	50.00
67462	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 A	200,000,000	2015/08/10	2015/12/30	50.00
67289	Standard Chartered Bank - CAM CSI300 R Bull CBBC Aug 2016 A	50,000,000	2015/08/10	2016/08/31	20.00
67292	Standard Chartered Bank - CAM CSI300 R Bull CBBC Sep 2016 A	50,000,000	2015/08/10	2016/09/29	32.50
67302	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 F	150,000,000	2015/08/10	2016/06/29	37.50
67308	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 G	150,000,000	2015/08/10	2016/06/29	37.50
67320	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 J	150,000,000	2015/08/10	2015/12/30	37.50
67262	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Feb16Q	200,000,000	2015/08/10	2016/02/26	50.00
67263	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Dec15N	200,000,000	2015/08/10	2015/12/30	50.00
67275	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 E	200,000,000	2015/08/10	2016/03/30	50.00
67309	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 S	200,000,000	2015/08/10	2016/01/28	50.00
67310	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 T	400,000,000	2015/08/10	2016/01/28	100.00
67408	UBS AG - HSCEI R Bull CBBC Dec 2015 N	200,000,000	2015/08/10	2015/12/30	50.00
67444	UBS AG - HSCEI R Bear CBBC Nov 2015 Q	200,000,000	2015/08/10	2015/11/27	50.00
67395	UBS AG - HSI R Bull CBBC Apr 2016 X	200,000,000	2015/08/10	2016/04/28	50.00
67402	UBS AG - HSI R Bull CBBC May 2016 X	200,000,000	2015/08/10	2016/05/30	50.00
67398	UBS AG - HSI R Bull CBBC Jul 2016 M	200,000,000	2015/08/10	2016/07/28	50.00
67443	UBS AG - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/08/10	2016/01/28	50.00
67442	UBS AG - HSI R Bear CBBC Feb 2016 D	200,000,000	2015/08/10	2016/02/26	50.00
67527	Credit Suisse AG - CAM CSI300 R Bull CBBC Jun 2016 A	80,000,000	2015/08/11	2016/06/29	34.40
67533	Credit Suisse AG - CAM CSI300 R Bull CBBC Jun 2016 B	80,000,000	2015/08/11	2016/06/29	20.00
67534	Credit Suisse AG - CAM CSI300 R Bull CBBC Jun 2016 C	80,000,000	2015/08/11	2016/06/29	20.00
67519	Credit Suisse AG - CGN Power R Bull CBBC Aug 2016 A	40,000,000	2015/08/11	2016/08/30	10.00

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67517	Credit Suisse AG - CRRR R Bull CBBC Mar 2016 A	40,000,000	2015/08/11	2016/03/30	10.00
67515	Credit Suisse AG - Gome R Bull CBBC Jan 2016 A	40,000,000	2015/08/11	2016/01/28	13.60
67542	Credit Suisse AG - HSI R Bull CBBC Apr 2016 Q	200,000,000	2015/08/11	2016/04/28	50.00
67543	Credit Suisse AG - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/08/11	2016/01/28	50.00
67463	HK Bank - AIA R Bull CBBC Sep 2016 C	60,000,000	2015/08/11	2016/09/26	15.00
67494	HK Bank - China Life R Bull CBBC Sep 2016 A	60,000,000	2015/08/11	2016/09/26	48.60
67478	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 B	200,000,000	2015/08/11	2016/07/25	56.00
67486	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2016 A	200,000,000	2015/08/11	2016/08/15	64.00
67464	HK Bank - A50 R Bear CBBC Oct 2016 A	50,000,000	2015/08/11	2016/10/31	12.50
67490	HK Bank - HSCEI R Bull CBBC Sep 2016 D	100,000,000	2015/08/11	2016/09/29	25.00
67493	HK Bank - HSCEI R Bull CBBC Oct 2016 C	100,000,000	2015/08/11	2016/10/28	25.00
67500	HK Bank - HSCEI R Bull CBBC Nov 2016 B	100,000,000	2015/08/11	2016/11/29	25.00
67501	HK Bank - HSCEI R Bear CBBC Aug 2016 D	68,000,000	2015/08/11	2016/08/30	17.00
67497	HK Bank - PetCh R Bear CBBC Oct 2016 B	40,000,000	2015/08/11	2016/10/31	10.00
67508	HK Bank - Sh Electric R Bull CBBC Dec 2016 A	40,000,000	2015/08/11	2016/12/19	10.00
67496	HK Bank - TCH R Bull CBBC Aug 2016 E	100,000,000	2015/08/11	2016/08/29	25.00
67512	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 I	100,000,000	2015/08/11	2016/04/28	25.00
67513	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 B	150,000,000	2015/08/11	2016/08/30	37.50
67509	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 K	100,000,000	2015/08/11	2015/12/30	25.00
67537	UBS AG - HSI R Bull CBBC Mar 2016 Z	200,000,000	2015/08/11	2016/03/30	50.00
67541	UBS AG - ICBC R Bull CBBC Jul 2016 A	100,000,000	2015/08/11	2016/07/25	25.00
67560	Credit Suisse AG - China Comm Constr R Bull CBBC Apr 2016 A	50,000,000	2015/08/12	2016/04/29	12.50
67563	Credit Suisse AG - HSI R Bull CBBC Feb 2016 K	200,000,000	2015/08/12	2016/02/26	50.00
67559	Credit Suisse AG - HSI R Bear CBBC Feb 2016 K	200,000,000	2015/08/12	2016/02/26	50.00
67561	Credit Suisse AG - HSI R Bear CBBC Mar 2016 B	200,000,000	2015/08/12	2016/03/30	50.00
67557	HK Bank - CNOOC R Bull CBBC Dec 2016 A	60,000,000	2015/08/12	2016/12/19	15.00
67548	HK Bank - Sinopec Corp R Bull CBBC Nov 2016 A	80,000,000	2015/08/12	2016/11/28	20.00
67555	HK Bank - Sinopec Corp R Bull CBBC Dec 2016 A	80,000,000	2015/08/12	2016/12/19	20.00
67544	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2016 B	100,000,000	2015/08/12	2016/08/10	25.00
67556	HK Bank - PetCh R Bull CBBC Dec 2016 A	60,000,000	2015/08/12	2016/12/19	15.00
67583	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 O	200,000,000	2015/08/12	2016/06/29	50.00
67587	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/08/12	2016/07/28	50.00
67572	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 B	200,000,000	2015/08/12	2015/12/30	50.00
67589	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 H	200,000,000	2015/08/12	2015/12/30	50.00
67558	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 J	150,000,000	2015/08/12	2016/04/28	37.50
67570	UBS AG - HSI R Bull CBBC Jun 2016 V	200,000,000	2015/08/12	2016/06/29	50.00
67564	UBS AG - HSI R Bear CBBC Dec 2015 X	200,000,000	2015/08/12	2015/12/30	50.00
67621	Credit Suisse AG - HSI R Bull CBBC Jan 2016 K	200,000,000	2015/08/13	2016/01/28	50.00
67622	Credit Suisse AG - HSI R Bear CBBC Dec 2015 M	200,000,000	2015/08/13	2015/12/30	50.00
67617	Bank of East Asia - CAM CSI300 R Bull CBBC Jul 2016 A	50,000,000	2015/08/13	2016/07/05	12.50
67592	Bank of East Asia - China Comm Constr R Bull CBBC Jun 2016 A	40,000,000	2015/08/13	2016/06/02	10.00
67615	Bank of East Asia - CGN Power R Bull CBBC Jul 2016 A	100,000,000	2015/08/13	2016/07/06	25.00
67597	Bank of East Asia - CRRR R Bull CBBC Dec 2016 A	40,000,000	2015/08/13	2016/12/16	10.00
67591	Bank of East Asia - PetCh R Bull CBBC Jun 2016 B	40,000,000	2015/08/13	2016/06/17	10.00
67669	J P Morgan SP BV - AIA R Bull CBBC Sep 2016 A	100,000,000	2015/08/13	2016/09/15	25.00

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67657	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Apr 2016 D	100,000,000	2015/08/13	2016/04/15	25.00
67666	J P Morgan SP BV - A50 China R Bull CBBC Jun 2016 B	100,000,000	2015/08/13	2016/06/17	25.00
67656	J P Morgan SP BV - HKEx R Bull CBBC Nov 2016 B	100,000,000	2015/08/13	2016/11/18	32.00
67652	J P Morgan SP BV - HKEx R Bear CBBC Mar 2016 A	100,000,000	2015/08/13	2016/03/31	25.00
67654	J P Morgan SP BV - HKEx R Bear CBBC Mar 2016 B	100,000,000	2015/08/13	2016/03/31	32.00
67641	J P Morgan SP BV - HSBC R Bull CBBC Aug 2016 A	100,000,000	2015/08/13	2016/08/31	25.00
67645	J P Morgan SP BV - HSBC R Bull CBBC Oct 2016 A	100,000,000	2015/08/13	2016/10/28	25.00
67647	J P Morgan SP BV - HSBC R Bear CBBC Apr 2016 A	100,000,000	2015/08/13	2016/04/15	25.00
67590	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 P	200,000,000	2015/08/13	2016/06/29	50.00
67674	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 N	200,000,000	2015/08/13	2015/11/27	50.00
67670	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 T	200,000,000	2015/08/13	2015/11/27	50.00
67658	J P Morgan SP BV - Ping An R Bull CBBC Sep 2016 A	100,000,000	2015/08/13	2016/09/15	25.00
67663	J P Morgan SP BV - Ping An R Bull CBBC Oct 2016 B	100,000,000	2015/08/13	2016/10/14	25.00
67665	J P Morgan SP BV - Tencent R Bull CBBC Sep 2016 A	100,000,000	2015/08/13	2016/09/15	25.00
67638	UBS AG - HSI R Bull CBBC Apr 2016 Y	200,000,000	2015/08/13	2016/04/28	50.00
67639	UBS AG - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/08/13	2015/12/30	50.00
67732	Credit Suisse AG - HSI R Bull CBBC Mar 2016 M	200,000,000	2015/08/14	2016/03/30	50.00
67730	Credit Suisse AG - HSI R Bear CBBC Nov 2015 M	200,000,000	2015/08/14	2015/11/27	50.00
67728	Credit Suisse AG - HSI R Bear CBBC Nov 2015 W	200,000,000	2015/08/14	2015/11/27	50.00
67701	HK Bank - CAM CSI300 R Bull CBBC Jun 2016 A	100,000,000	2015/08/14	2016/06/06	35.00
67693	HK Bank - CAM CSI300 R Bull CBBC Aug 2016 B	100,000,000	2015/08/14	2016/08/22	74.00
67716	HK Bank - CGN Power R Bull CBBC Aug 2016 A	40,000,000	2015/08/14	2016/08/22	10.00
67725	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2016 B	40,000,000	2015/08/14	2016/08/01	10.00
67687	HK Bank - HS H-SH ETF R Bull CBBC Aug 2016 B	100,000,000	2015/08/14	2016/08/08	25.00
67726	HK Bank - HSI R Bear CBBC Jun 2016 H	100,000,000	2015/08/14	2016/06/29	25.00
67676	HK Bank - Ping An R Bear CBBC Jun 2016 C	40,000,000	2015/08/14	2016/06/06	10.00
67675	HK Bank - TCH R Bear CBBC Aug 2016 A	100,000,000	2015/08/14	2016/08/22	25.00
67757	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jun 2016 C	100,000,000	2015/08/14	2016/06/17	25.00
67751	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/08/14	2016/06/29	50.00
67747	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 R	200,000,000	2015/08/14	2016/07/28	50.00
67759	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 U	200,000,000	2015/08/14	2015/11/27	50.00
67760	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 I	200,000,000	2015/08/14	2015/12/30	50.00
67735	UBS AG - HSI R Bull CBBC Jun 2016 W	200,000,000	2015/08/14	2016/06/29	50.00
67733	UBS AG - HSI R Bear CBBC Nov 2015 J	200,000,000	2015/08/14	2015/11/27	50.00
67794	Credit Suisse AG - HSI R Bull CBBC Feb 2016 L	200,000,000	2015/08/17	2016/02/26	50.00
67796	Credit Suisse AG - HSI R Bull CBBC Feb 2016 M	200,000,000	2015/08/17	2016/02/26	50.00
67798	Credit Suisse AG - HSI R Bull CBBC Feb 2016 N	200,000,000	2015/08/17	2016/02/26	50.00
67797	Credit Suisse AG - HSI R Bear CBBC Dec 2015 N	200,000,000	2015/08/17	2015/12/30	50.00
67791	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 S	200,000,000	2015/08/17	2016/07/28	50.00
67804	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 V	200,000,000	2015/08/17	2015/11/27	50.00
67792	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2015 B	40,000,000	2015/08/17	2015/11/27	10.20
67790	Standard Chartered Bank - HSCEI R Bear CBBC Jan 2016 B	100,000,000	2015/08/17	2016/01/28	25.00
67773	Standard Chartered Bank - HSI R Bull CBBC May 2016 A	100,000,000	2015/08/17	2016/05/30	25.00
67775	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 C	100,000,000	2015/08/17	2016/08/30	29.50
67801	UBS AG - HSI R Bull CBBC May 2016 G	200,000,000	2015/08/17	2016/05/30	50.00

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67802	UBS AG - HSI R Bull CBBC May 2016 H	200,000,000	2015/08/17	2016/05/30	50.00
67799	UBS AG - HSI R Bull CBBC May 2016 Y	200,000,000	2015/08/17	2016/05/30	50.00
67800	UBS AG - HSI R Bull CBBC May 2016 Z	200,000,000	2015/08/17	2016/05/30	50.00
67803	UBS AG - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/08/17	2015/12/30	50.00
67821	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 G	108,000,000	2015/08/18	2016/02/26	27.00
67822	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 H	108,000,000	2015/08/18	2016/03/30	27.00
67823	Credit Suisse AG - HSI R Bull CBBC Mar 2016 N	200,000,000	2015/08/18	2016/03/30	50.00
67824	Credit Suisse AG - HSI R Bull CBBC Mar 2016 O	200,000,000	2015/08/18	2016/03/30	50.00
67825	Credit Suisse AG - HSI R Bull CBBC Mar 2016 P	200,000,000	2015/08/18	2016/03/30	50.00
67826	Credit Suisse AG - HSI R Bull CBBC Mar 2016 Q	200,000,000	2015/08/18	2016/03/30	50.00
67819	Credit Suisse AG - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/08/18	2015/12/30	50.00
67820	Credit Suisse AG - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/08/18	2015/12/30	50.00
67866	Credit Suisse AG - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/08/18	2015/12/30	50.00
67868	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2016 D	100,000,000	2015/08/18	2016/06/29	25.00
67867	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 I	100,000,000	2015/08/18	2016/04/28	25.00
67869	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 R	200,000,000	2015/08/18	2016/06/29	50.00
67885	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 T	200,000,000	2015/08/18	2016/07/28	50.00
67805	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 W	200,000,000	2015/08/18	2015/11/27	50.00
67806	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 J	200,000,000	2015/08/18	2015/12/30	50.00
67818	Standard Chartered Bank - HSI R Bull CBBC Apr 2016 K	150,000,000	2015/08/18	2016/04/28	37.50
67807	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 L	100,000,000	2015/08/18	2015/12/30	25.00
67861	UBS AG - CAM CSI300 R Bull CBBC Aug 2016 A	50,000,000	2015/08/18	2016/08/22	12.50
67865	UBS AG - HSI R Bull CBBC Apr 2016 F	200,000,000	2015/08/18	2016/04/28	50.00
67864	UBS AG - HSI R Bull CBBC Apr 2016 Z	200,000,000	2015/08/18	2016/04/28	50.00
67862	UBS AG - HSI R Bull CBBC Jun 2016 X	200,000,000	2015/08/18	2016/06/29	50.00
67834	UBS AG - HSI R Bear CBBC Dec 2015 H	200,000,000	2015/08/18	2015/12/30	50.00
67827	UBS AG - HSI R Bear CBBC Dec 2015 Z	200,000,000	2015/08/18	2015/12/30	50.00
67846	UBS AG - TCH R Bull CBBC Sep 2016 C	50,000,000	2015/08/18	2016/09/19	12.50
68245	BNP Paribas Arbit Issu B.V. - HKEx R Bear CBBC Dec 2015 C	40,000,000	2015/08/19	2015/12/31	10.00
68240	BNP Paribas Arbit Issu B.V. - HS H ETF R Bull CBBC Jan 2016E	60,000,000	2015/08/19	2016/01/29	15.00
68061	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 F	250,000,000	2015/08/19	2016/11/29	62.50
68063	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 G	250,000,000	2015/08/19	2016/11/29	62.50
68076	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 H	250,000,000	2015/08/19	2016/11/29	62.50
68062	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 M	250,000,000	2015/08/19	2016/12/29	62.50
68079	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 N	250,000,000	2015/08/19	2016/12/29	62.50
68584	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 O	250,000,000	2015/08/19	2016/12/29	62.50
68068	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 E	200,000,000	2015/08/19	2016/03/30	50.00
68070	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 F	200,000,000	2015/08/19	2016/03/30	50.00
68075	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 G	200,000,000	2015/08/19	2016/03/30	50.00
68089	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 H	200,000,000	2015/08/19	2016/03/30	50.00
68103	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 I	200,000,000	2015/08/19	2016/03/30	50.00
68069	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 B	200,000,000	2015/08/19	2016/04/28	50.00
68071	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 C	200,000,000	2015/08/19	2016/04/28	50.00
68096	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 D	200,000,000	2015/08/19	2016/04/28	50.00
68106	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 E	200,000,000	2015/08/19	2016/04/28	50.00

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68594	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 F	200,000,000	2015/08/19	2016/04/28	50.00
68270	BNP Paribas Arbit Issu B.V. - Petroch R Bull CBBC Jan 2016 B	60,000,000	2015/08/19	2016/01/29	15.00
68252	BNP Paribas Arbit Issu B.V. - Ping An R Bear CBBC Dec 2015 C	40,000,000	2015/08/19	2015/12/31	10.00
68248	BNP Paribas Arbit Issu B.V. - TCH R Bear CBBC Dec 2015 D	50,000,000	2015/08/19	2015/12/31	12.50
68052	Credit Suisse AG - HSI R Bull CBBC Apr 2016 S	200,000,000	2015/08/19	2016/04/28	50.00
68054	Credit Suisse AG - HSI R Bull CBBC Apr 2016 T	200,000,000	2015/08/19	2016/04/28	50.00
68058	Credit Suisse AG - HSI R Bull CBBC Apr 2016 U	200,000,000	2015/08/19	2016/04/28	50.00
68203	Credit Suisse AG - HSI R Bull CBBC May 2016 I	200,000,000	2015/08/19	2016/05/30	50.00
68205	Credit Suisse AG - HSI R Bull CBBC May 2016 J	200,000,000	2015/08/19	2016/05/30	50.00
68239	Credit Suisse AG - HSI R Bull CBBC May 2016 K	200,000,000	2015/08/19	2016/05/30	50.00
68278	Credit Suisse AG - HSI R Bull CBBC May 2016 L	200,000,000	2015/08/19	2016/05/30	50.00
68282	Credit Suisse AG - HSI R Bull CBBC May 2016 M	200,000,000	2015/08/19	2016/05/30	50.00
68338	Credit Suisse AG - HSI R Bear CBBC Feb 2016 E	200,000,000	2015/08/19	2016/02/26	50.00
68305	Credit Suisse AG - HSI R Bear CBBC Feb 2016 M	200,000,000	2015/08/19	2016/02/26	50.00
68328	Credit Suisse AG - HSI R Bear CBBC Feb 2016 N	200,000,000	2015/08/19	2016/02/26	50.00
68375	Credit Suisse AG - HSI R Bear CBBC Feb 2016 P	200,000,000	2015/08/19	2016/02/26	50.00
68384	Credit Suisse AG - HSI R Bear CBBC Feb 2016 V	200,000,000	2015/08/19	2016/02/26	50.00
68388	Credit Suisse AG - HSI R Bear CBBC Feb 2016 W	200,000,000	2015/08/19	2016/02/26	50.00
67959	HK Bank - CAM CSI300 R Bull CBBC Oct 2016 B	100,000,000	2015/08/19	2016/10/17	38.00
67984	HK Bank - China Mobile R Bull CBBC Nov 2016 B	120,000,000	2015/08/19	2016/11/14	30.00
68006	HK Bank - China Life R Bull CBBC Sep 2016 B	60,000,000	2015/08/19	2016/09/12	15.00
67982	HK Bank - CRRR R Bull CBBC Nov 2016 A	40,000,000	2015/08/19	2016/11/21	10.00
67901	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2016 C	100,000,000	2015/08/19	2016/06/27	25.00
67933	HK Bank - A50 R Bull CBBC Jun 2016 D	100,000,000	2015/08/19	2016/06/27	25.00
67980	HK Bank - HKEx R Bull CBBC Oct 2016 F	100,000,000	2015/08/19	2016/10/05	25.00
67892	HK Bank - HSCEI R Bull CBBC Jul 2016 F	100,000,000	2015/08/19	2016/07/28	25.00
67968	HK Bank - HSI R Bull CBBC Jul 2016 M	150,000,000	2015/08/19	2016/07/28	37.50
67971	HK Bank - HSI R Bear CBBC May 2016 O	100,000,000	2015/08/19	2016/05/30	25.00
67994	HK Bank - Ping An R Bull CBBC Nov 2016 D	188,000,000	2015/08/19	2016/11/07	47.00
67887	HK Bank - Sh Electric R Bull CBBC Nov 2016 A	40,000,000	2015/08/19	2016/11/21	10.00
67977	HK Bank - TCH R Bull CBBC Jul 2016 F	100,000,000	2015/08/19	2016/07/04	25.00
68400	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 G	100,000,000	2015/08/19	2016/07/28	25.00
68025	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 S	200,000,000	2015/08/19	2016/06/29	50.00
68580	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 T	200,000,000	2015/08/19	2016/06/29	50.00
68393	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 U	200,000,000	2015/08/19	2016/07/28	50.00
68557	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 V	200,000,000	2015/08/19	2016/07/28	50.00
68555	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 A	200,000,000	2015/08/19	2016/08/30	50.00
68519	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 B	200,000,000	2015/08/19	2016/09/29	50.00
68548	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 X	200,000,000	2015/08/19	2015/11/27	50.00
68478	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 K	200,000,000	2015/08/19	2015/12/30	50.00
68479	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 N	200,000,000	2015/08/19	2016/01/28	50.00
68477	J P Morgan SP BV - Tencent R Bear CBBC Mar 2016 B	100,000,000	2015/08/19	2016/03/30	25.00
68046	Standard Chartered Bank - HSI R Bull CBBC May 2016 B	100,000,000	2015/08/19	2016/05/30	25.00
68109	Standard Chartered Bank - HSI R Bull CBBC May 2016 C	150,000,000	2015/08/19	2016/05/30	37.50
68154	Standard Chartered Bank - HSI R Bull CBBC May 2016 D	100,000,000	2015/08/19	2016/05/30	25.00

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68027	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 H	100,000,000	2015/08/19	2016/06/29	25.00
68047	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 M	150,000,000	2015/08/19	2015/12/30	37.50
68049	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 N	100,000,000	2015/08/19	2015/12/30	25.00
68155	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 O	100,000,000	2015/08/19	2015/12/30	25.00
68160	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 P	150,000,000	2015/08/19	2015/12/30	37.50
68435	UBS AG - CAM CSI300 R Bull CBBC Sep 2016 A	50,000,000	2015/08/19	2016/09/26	12.50
68438	UBS AG - HSI R Bull CBBC May 2016 K	200,000,000	2015/08/19	2016/05/30	50.00
68433	UBS AG - HSI R Bull CBBC Jun 2016 L	200,000,000	2015/08/19	2016/06/29	50.00
68427	UBS AG - HSI R Bull CBBC Jun 2016 Y	200,000,000	2015/08/19	2016/06/29	50.00
68429	UBS AG - HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/08/19	2016/06/29	50.00
68437	UBS AG - HSI R Bull CBBC Jul 2016 N	200,000,000	2015/08/19	2016/07/28	50.00
68474	UBS AG - HSI R Bear CBBC Dec 2015 E	200,000,000	2015/08/19	2015/12/30	50.00
68450	UBS AG - HSI R Bear CBBC Dec 2015 S	200,000,000	2015/08/19	2015/12/30	50.00
68455	UBS AG - HSI R Bear CBBC Jan 2016 K	200,000,000	2015/08/19	2016/01/28	50.00
68457	UBS AG - HSI R Bear CBBC Feb 2016 E	200,000,000	2015/08/19	2016/02/26	50.00
68465	UBS AG - HSI R Bear CBBC Feb 2016 F	200,000,000	2015/08/19	2016/02/26	50.00
68475	UBS AG - HSI R Bear CBBC Feb 2016 G	200,000,000	2015/08/19	2016/02/26	50.00
68769	Credit Suisse AG - HSI R Bull CBBC Jun 2016 N	200,000,000	2015/08/20	2016/06/29	50.00
68770	Credit Suisse AG - HSI R Bull CBBC Jun 2016 O	200,000,000	2015/08/20	2016/06/29	50.00
68716	Credit Suisse AG - HSI R Bear CBBC Mar 2016 C	200,000,000	2015/08/20	2016/03/30	50.00
68718	Credit Suisse AG - HSI R Bear CBBC Mar 2016 D	200,000,000	2015/08/20	2016/03/30	50.00
68719	Credit Suisse AG - HSI R Bear CBBC Mar 2016 E	200,000,000	2015/08/20	2016/03/30	50.00
68720	Credit Suisse AG - HSI R Bear CBBC Mar 2016 F	200,000,000	2015/08/20	2016/03/30	50.00
68726	Credit Suisse AG - HSI R Bear CBBC Mar 2016 G	200,000,000	2015/08/20	2016/03/30	50.00
68599	HK Bank - AIA R Bull CBBC Oct 2016 A	60,000,000	2015/08/20	2016/10/17	15.00
68664	HK Bank - COVS R Bull CBBC Oct 2016 B	50,000,000	2015/08/20	2016/10/17	29.50
68638	HK Bank - Sinopec Corp R Bear CBBC Jul 2016 C	40,000,000	2015/08/20	2016/07/27	10.00
68611	HK Bank - HKEx R Bull CBBC Sep 2016 D	100,000,000	2015/08/20	2016/09/29	55.00
68600	HK Bank - HKEx R Bull CBBC Nov 2016 B	100,000,000	2015/08/20	2016/11/07	46.00
68623	HK Bank - HKEx R Bear CBBC Jul 2016 E	50,000,000	2015/08/20	2016/07/18	12.50
68673	HK Bank - HSI R Bull CBBC Jul 2016 N	150,000,000	2015/08/20	2016/07/28	37.50
68674	HK Bank - HSI R Bull CBBC Oct 2016 E	150,000,000	2015/08/20	2016/10/28	37.50
68695	HK Bank - HSI R Bear CBBC Jun 2016 I	100,000,000	2015/08/20	2016/06/29	25.00
68672	HK Bank - HSI R Bear CBBC Jul 2016 C	400,000,000	2015/08/20	2016/07/28	100.00
68666	HK Bank - TCH R Bull CBBC Jul 2016 G	100,000,000	2015/08/20	2016/07/25	27.00
68671	HK Bank - TCH R Bear CBBC Jul 2016 E	100,000,000	2015/08/20	2016/07/25	25.00
68699	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 U	200,000,000	2015/08/20	2016/06/29	50.00
68772	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 B	200,000,000	2015/08/20	2016/08/30	50.00
68697	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Y	200,000,000	2015/08/20	2015/11/27	50.00
68771	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 G	200,000,000	2015/08/20	2015/12/30	50.00
68794	J P Morgan SP BV - Tencent R Bull CBBC Jun 2016 B	100,000,000	2015/08/20	2016/06/29	25.00
68711	Standard Chartered Bank - HSI R Bull CBBC May 2016 E	100,000,000	2015/08/20	2016/05/30	25.00
68712	Standard Chartered Bank - HSI R Bull CBBC May 2016 F	100,000,000	2015/08/20	2016/05/30	25.00
68713	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 Q	150,000,000	2015/08/20	2015/12/30	37.50
68715	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 R	100,000,000	2015/08/20	2015/12/30	25.00

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68733	UBS AG - HSI R Bull CBBC Apr 2016 L	200,000,000	2015/08/20	2016/04/28	50.00
68749	UBS AG - HSI R Bear CBBC Dec 2015 Q	200,000,000	2015/08/20	2015/12/30	50.00
68727	UBS AG - HSI R Bear CBBC Jan 2016 L	200,000,000	2015/08/20	2016/01/28	50.00
68761	UBS AG - HSI R Bear CBBC Jan 2016 M	200,000,000	2015/08/20	2016/01/28	50.00
68765	UBS AG - HSI R Bear CBBC Jan 2016 N	200,000,000	2015/08/20	2016/01/28	50.00
68768	UBS AG - HSI R Bear CBBC Feb 2016 H	200,000,000	2015/08/20	2016/02/26	50.00
68728	UBS AG - TCH R Bull CBBC Oct 2016 D	50,000,000	2015/08/20	2016/10/17	12.50
68869	Credit Suisse AG - HSI R Bull CBBC Jul 2016 D	200,000,000	2015/08/21	2016/07/28	50.00
68863	Credit Suisse AG - HSI R Bear CBBC Apr 2016 B	200,000,000	2015/08/21	2016/04/28	50.00
68866	Credit Suisse AG - HSI R Bear CBBC Apr 2016 C	200,000,000	2015/08/21	2016/04/28	50.00
68903	Credit Suisse AG - HSI R Bear CBBC Apr 2016 E	200,000,000	2015/08/21	2016/04/28	50.00
68829	HK Bank - CNOOC R Bull CBBC Nov 2016 A	60,000,000	2015/08/21	2016/11/07	15.00
68852	HK Bank - HSI R Bull CBBC Jul 2016 O	150,000,000	2015/08/21	2016/07/28	37.50
68813	HK Bank - Ping An R Bull CBBC Nov 2016 E	188,000,000	2015/08/21	2016/11/28	47.00
68795	HK Bank - TCH R Bull CBBC Jul 2016 H	100,000,000	2015/08/21	2016/07/25	25.00
68809	HK Bank - TCH R Bull CBBC Aug 2016 F	100,000,000	2015/08/21	2016/08/22	25.00
68941	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2016 C	100,000,000	2015/08/21	2016/08/30	25.00
68858	J P Morgan SP BV - HSI R Bull CBBC May 2016 M	200,000,000	2015/08/21	2016/05/30	50.00
68917	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 C	200,000,000	2015/08/21	2016/08/30	50.00
68859	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/08/21	2015/12/30	50.00
68918	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 O	200,000,000	2015/08/21	2016/01/28	50.00
68857	Standard Chartered Bank - A50 China R Bull CBBC Jun 2016 A	50,000,000	2015/08/21	2016/06/27	12.50
68855	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 I	100,000,000	2015/08/21	2016/06/29	25.00
68856	Standard Chartered Bank - HSI R Bear CBBC Dec 2015 S	150,000,000	2015/08/21	2015/12/30	37.50
68949	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 J	200,000,000	2015/08/21	2016/04/28	50.00
68951	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 K	200,000,000	2015/08/21	2016/04/28	50.00
68966	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 J	200,000,000	2015/08/21	2016/01/28	50.00
68972	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 O	200,000,000	2015/08/21	2016/01/28	50.00
68956	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 U	200,000,000	2015/08/21	2016/01/28	50.00
68963	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 V	200,000,000	2015/08/21	2016/01/28	50.00
68870	UBS AG - HSI R Bull CBBC Jun 2016 N	200,000,000	2015/08/21	2016/06/29	50.00
68876	UBS AG - HSI R Bear CBBC Jan 2016 O	200,000,000	2015/08/21	2016/01/28	50.00
68873	UBS AG - HSI R Bear CBBC Feb 2016 I	200,000,000	2015/08/21	2016/02/26	50.00
68881	UBS AG - HSI R Bear CBBC Feb 2016 J	200,000,000	2015/08/21	2016/02/26	50.00
68893	UBS AG - Ping An R Bull CBBC Nov 2016 A	100,000,000	2015/08/21	2016/11/14	25.00
69362	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2016 A	100,000,000	2015/08/24	2016/12/29	25.00
69363	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2016 B	100,000,000	2015/08/24	2016/03/30	25.00
69037	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 I	250,000,000	2015/08/24	2016/11/29	62.50
69038	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 P	250,000,000	2015/08/24	2016/12/29	62.50
69334	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 J	200,000,000	2015/08/24	2016/03/30	50.00
69313	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 G	200,000,000	2015/08/24	2016/04/28	50.00
69365	Credit Suisse AG - HSI R Bull CBBC Jul 2016 E	200,000,000	2015/08/24	2016/07/28	50.00
69368	Credit Suisse AG - HSI R Bull CBBC Jul 2016 F	200,000,000	2015/08/24	2016/07/28	50.00
69375	Credit Suisse AG - HSI R Bull CBBC Jul 2016 G	200,000,000	2015/08/24	2016/07/28	50.00
69381	Credit Suisse AG - HSI R Bull CBBC Jul 2016 H	200,000,000	2015/08/24	2016/07/28	50.00

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69382	Credit Suisse AG - HSI R Bull CBBC Jul 2016 I	200,000,000	2015/08/24	2016/07/28	50.00
69384	Credit Suisse AG - HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/08/24	2016/02/26	50.00
69395	Credit Suisse AG - HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/08/24	2016/02/26	50.00
69233	J P Morgan SP BV - HSI R Bull CBBC Feb 2016 U	200,000,000	2015/08/24	2016/02/26	50.00
69249	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 S	200,000,000	2015/08/24	2016/03/30	50.00
68981	J P Morgan SP BV - HSI R Bull CBBC May 2016 N	200,000,000	2015/08/24	2016/05/30	50.00
69256	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Z	200,000,000	2015/08/24	2015/11/27	50.00
69296	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 M	200,000,000	2015/08/24	2015/12/30	50.00
69299	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 P	200,000,000	2015/08/24	2016/01/28	50.00
69053	Standard Chartered Bank - HSCEI R Bull CBBC Aug 2016 A	100,000,000	2015/08/24	2016/08/30	25.00
69058	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 J	100,000,000	2015/08/24	2016/06/29	25.00
69073	Standard Chartered Bank - HSI R Bull CBBC Oct 2016 A	100,000,000	2015/08/24	2016/10/28	26.00
69095	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 A	150,000,000	2015/08/24	2016/01/28	37.50
69096	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 B	100,000,000	2015/08/24	2016/01/28	25.00
69108	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 L	300,000,000	2015/08/24	2016/04/28	75.00
69007	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 C	200,000,000	2015/08/24	2016/05/30	50.00
69128	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 W	200,000,000	2015/08/24	2016/01/28	50.00
69018	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 S	200,000,000	2015/08/24	2016/02/26	50.00
69030	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 T	200,000,000	2015/08/24	2016/02/26	50.00
69033	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 U	200,000,000	2015/08/24	2016/02/26	50.00
69137	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 V	200,000,000	2015/08/24	2016/02/26	50.00
69144	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 W	400,000,000	2015/08/24	2016/02/26	100.00
69204	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 C	300,000,000	2015/08/24	2016/04/28	75.00
69500	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2016 B	50,000,000	2015/08/24	2016/03/07	12.50
69456	UBS AG - A50 China R Bull CBBC Mar 2016 C	50,000,000	2015/08/24	2016/03/14	12.50
69452	UBS AG - HSI R Bull CBBC Apr 2016 A	200,000,000	2015/08/24	2016/04/28	50.00
69506	UBS AG - HSI R Bear CBBC Jan 2016 P	200,000,000	2015/08/24	2016/01/28	50.00
69507	UBS AG - HSI R Bear CBBC Feb 2016 K	200,000,000	2015/08/24	2016/02/26	50.00
69501	UBS AG - TCH R Bull CBBC Dec 2016 A	50,000,000	2015/08/24	2016/12/19	12.50
60149	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bear CBBC Mar16A	100,000,000	2015/08/25	2016/03/31	25.00
60152	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Mar 2016 A	100,000,000	2015/08/25	2016/03/31	25.00
60156	BNP Paribas Arbit Issu B.V. - A50 R Bear CBBC Mar 2016 A	100,000,000	2015/08/25	2016/03/31	25.00
60140	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2016 B	100,000,000	2015/08/25	2016/12/29	25.00
60141	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2016 B	100,000,000	2015/08/25	2016/04/28	25.00
60126	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 J	250,000,000	2015/08/25	2016/11/29	62.50
60132	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 Q	250,000,000	2015/08/25	2016/12/29	62.50
60135	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 K	200,000,000	2015/08/25	2016/03/30	50.00
60139	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 L	200,000,000	2015/08/25	2016/03/30	50.00
60136	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 H	200,000,000	2015/08/25	2016/04/28	50.00
69926	Credit Suisse AG - HSCEI R Bull CBBC Feb 2016 H	108,000,000	2015/08/25	2016/02/26	27.00
69928	Credit Suisse AG - HSCEI R Bear CBBC Nov 2015 I	108,000,000	2015/08/25	2015/11/27	27.00
69963	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 F	108,000,000	2015/08/25	2015/12/30	27.00
69971	Credit Suisse AG - HSI R Bull CBBC Apr 2016 V	200,000,000	2015/08/25	2016/04/28	50.00
69978	Credit Suisse AG - HSI R Bull CBBC May 2016 N	200,000,000	2015/08/25	2016/05/30	50.00
69991	Credit Suisse AG - HSI R Bull CBBC May 2016 O	200,000,000	2015/08/25	2016/05/30	50.00

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69982	Credit Suisse AG - HSI R Bull CBBC Jun 2016 P	200,000,000	2015/08/25	2016/06/29	50.00
60003	Credit Suisse AG - HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/08/25	2016/06/29	58.00
60004	Credit Suisse AG - HSI R Bull CBBC Jun 2016 R	200,000,000	2015/08/25	2016/06/29	64.00
60001	Credit Suisse AG - HSI R Bull CBBC Jul 2016 J	200,000,000	2015/08/25	2016/07/28	50.00
60016	Credit Suisse AG - HSI R Bull CBBC Jul 2016 K	200,000,000	2015/08/25	2016/07/28	74.00
60017	Credit Suisse AG - HSI R Bear CBBC Nov 2015 O	200,000,000	2015/08/25	2015/11/27	50.00
60018	Credit Suisse AG - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/08/25	2016/01/28	50.00
60023	Credit Suisse AG - HSI R Bear CBBC Feb 2016 C	200,000,000	2015/08/25	2016/02/26	50.00
69551	HK Bank - CC Bank R Bear CBBC Oct 2016 A	40,000,000	2015/08/25	2016/10/03	10.00
69553	HK Bank - A50 R Bull CBBC May 2016 G	100,000,000	2015/08/25	2016/05/09	25.00
69557	HK Bank - HSCEI R Bull CBBC Oct 2016 D	100,000,000	2015/08/25	2016/10/28	25.00
69568	HK Bank - HSCEI R Bear CBBC Apr 2016 L	68,000,000	2015/08/25	2016/04/28	17.00
69532	HK Bank - HSI R Bull CBBC Jul 2016 P	150,000,000	2015/08/25	2016/07/28	37.50
69572	HK Bank - TCH R Bull CBBC Jul 2016 I	100,000,000	2015/08/25	2016/07/18	25.00
69509	HK Bank - TCH R Bull CBBC Aug 2016 G	100,000,000	2015/08/25	2016/08/01	25.00
69798	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jun 2016 D	100,000,000	2015/08/25	2016/06/17	25.00
69830	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2016 B	100,000,000	2015/08/25	2016/07/15	25.00
69852	J P Morgan SP BV - A50 China R Bull CBBC Jul 2016 B	100,000,000	2015/08/25	2016/07/15	25.00
60053	J P Morgan SP BV - A50 China R Bull CBBC Aug 2016 A	100,000,000	2015/08/25	2016/08/19	25.00
69855	J P Morgan SP BV - A50 China R Bear CBBC Jul 2016 A	100,000,000	2015/08/25	2016/07/15	25.00
60059	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2016 C	100,000,000	2015/08/25	2016/12/29	25.00
60055	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 J	100,000,000	2015/08/25	2016/04/28	25.00
69789	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 C	200,000,000	2015/08/25	2016/03/30	50.00
60072	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 V	200,000,000	2015/08/25	2016/04/28	50.00
60062	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 V	200,000,000	2015/08/25	2016/06/29	50.00
60068	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 D	200,000,000	2015/08/25	2016/08/30	50.00
60071	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 E	200,000,000	2015/08/25	2016/08/30	50.00
60073	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 B	200,000,000	2015/08/25	2016/10/28	50.00
60081	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 F	200,000,000	2015/08/25	2015/11/27	50.00
69583	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 N	200,000,000	2015/08/25	2015/12/30	50.00
60038	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2015 C	40,000,000	2015/08/25	2015/11/27	10.20
60039	Macquarie Bank Ltd. - HSI R Bull CBBC Nov 2015 D	40,000,000	2015/08/25	2015/11/27	10.00
60041	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2015 C	40,000,000	2015/08/25	2015/11/27	10.20
60044	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2015 A	40,000,000	2015/08/25	2015/12/30	10.00
69896	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Jun 2016A	50,000,000	2015/08/25	2016/06/20	12.50
69897	Standard Chartered Bank - A50 China R Bull CBBC Jun 2016 B	50,000,000	2015/08/25	2016/06/13	12.50
69588	Standard Chartered Bank - HSI R Bull CBBC May 2016 G	100,000,000	2015/08/25	2016/05/30	25.00
69893	Standard Chartered Bank - HSI R Bull CBBC May 2016 H	150,000,000	2015/08/25	2016/05/30	37.50
69585	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 C	100,000,000	2015/08/25	2016/01/28	25.00
69923	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 D	100,000,000	2015/08/25	2016/01/28	25.00
69657	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16E	200,000,000	2015/08/25	2016/03/30	50.00
69658	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16K	200,000,000	2015/08/25	2016/01/28	50.00
69754	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 16M	200,000,000	2015/08/25	2016/04/28	50.00
69600	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 16D	200,000,000	2015/08/25	2016/05/30	50.00
69671	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 16E	200,000,000	2015/08/25	2016/05/30	50.00

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69716	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 16F	200,000,000	2015/08/25	2016/05/30	50.00
69736	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 16G	200,000,000	2015/08/25	2016/05/30	50.00
69755	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 16Q	200,000,000	2015/08/25	2016/01/28	50.00
60051	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 16A	200,000,000	2015/08/25	2016/02/26	50.00
69601	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 16X	200,000,000	2015/08/25	2016/02/26	50.00
69655	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 16Y	200,000,000	2015/08/25	2016/02/26	50.00
60046	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 16Z	200,000,000	2015/08/25	2016/02/26	50.00
60116	UBS AG - HSCEI R Bull CBBC Sep 2016 A	200,000,000	2015/08/25	2016/09/29	50.00
60101	UBS AG - HSI R Bull CBBC Apr 2016 D	200,000,000	2015/08/25	2016/04/28	50.00
60086	UBS AG - HSI R Bull CBBC Jun 2016 A	200,000,000	2015/08/25	2016/06/29	50.00
60102	UBS AG - HSI R Bull CBBC Aug 2016 F	200,000,000	2015/08/25	2016/08/30	50.00
60118	UBS AG - HSI R Bear CBBC Dec 2015 T	200,000,000	2015/08/25	2015/12/30	50.00
60122	UBS AG - HSI R Bear CBBC Jan 2016 H	200,000,000	2015/08/25	2016/01/28	50.00
60123	UBS AG - HSI R Bear CBBC Jan 2016 Q	200,000,000	2015/08/25	2016/01/28	50.00
60456	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Mar16B	100,000,000	2015/08/26	2016/03/31	28.00
60304	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2016 A	100,000,000	2015/08/26	2016/11/29	25.00
60306	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2016 C	100,000,000	2015/08/26	2016/03/30	25.00
60205	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 K	250,000,000	2015/08/26	2016/11/29	62.50
60200	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 R	250,000,000	2015/08/26	2016/12/29	62.50
60214	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 S	250,000,000	2015/08/26	2016/12/29	62.50
60295	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 M	200,000,000	2015/08/26	2016/03/30	50.00
60296	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 I	200,000,000	2015/08/26	2016/04/28	50.00
60453	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 J	200,000,000	2015/08/26	2016/04/28	50.00
60362	Credit Suisse AG - China Life R Bull CBBC Sep 2016 A	80,000,000	2015/08/26	2016/09/30	20.00
60348	Credit Suisse AG - A50 China R Bull CBBC Mar 2016 C	100,000,000	2015/08/26	2016/03/31	25.00
60447	Credit Suisse AG - A50 China R Bull CBBC Mar 2016 D	100,000,000	2015/08/26	2016/03/31	26.00
60317	Credit Suisse AG - HSI R Bull CBBC May 2016 P	200,000,000	2015/08/26	2016/05/30	50.00
60328	Credit Suisse AG - HSI R Bull CBBC May 2016 Q	200,000,000	2015/08/26	2016/05/30	50.00
60336	Credit Suisse AG - HSI R Bull CBBC May 2016 R	200,000,000	2015/08/26	2016/05/30	50.00
60311	Credit Suisse AG - HSI R Bull CBBC Jun 2016 S	200,000,000	2015/08/26	2016/06/29	50.00
60320	Credit Suisse AG - HSI R Bull CBBC Jun 2016 T	200,000,000	2015/08/26	2016/06/29	50.00
60327	Credit Suisse AG - HSI R Bull CBBC Jun 2016 U	200,000,000	2015/08/26	2016/06/29	50.00
60337	Credit Suisse AG - HSI R Bull CBBC Jun 2016 V	200,000,000	2015/08/26	2016/06/29	50.00
60309	Credit Suisse AG - HSI R Bull CBBC Jul 2016 L	200,000,000	2015/08/26	2016/07/28	50.00
60338	Credit Suisse AG - HSI R Bull CBBC Jul 2016 M	200,000,000	2015/08/26	2016/07/28	50.00
60339	Credit Suisse AG - HSI R Bull CBBC Aug 2016 A	200,000,000	2015/08/26	2016/08/30	54.00
60341	Credit Suisse AG - HSI R Bull CBBC Aug 2016 B	200,000,000	2015/08/26	2016/08/30	76.00
60430	Credit Suisse AG - HSI R Bear CBBC Mar 2016 H	200,000,000	2015/08/26	2016/03/30	50.00
60432	Credit Suisse AG - HSI R Bear CBBC Mar 2016 I	200,000,000	2015/08/26	2016/03/30	50.00
60433	Credit Suisse AG - HSI R Bear CBBC Mar 2016 J	200,000,000	2015/08/26	2016/03/30	50.00
60434	Credit Suisse AG - HSI R Bear CBBC Mar 2016 K	200,000,000	2015/08/26	2016/03/30	50.00
60442	Credit Suisse AG - HSI R Bear CBBC Mar 2016 L	200,000,000	2015/08/26	2016/03/30	50.00
60361	Credit Suisse AG - Ping An R Bull CBBC May 2016 A	100,000,000	2015/08/26	2016/05/31	25.00
60357	Credit Suisse AG - TCH R Bull CBBC Jan 2016 C	80,000,000	2015/08/26	2016/01/29	20.00
60180	HK Bank - China Life R Bear CBBC Oct 2016 A	50,000,000	2015/08/26	2016/10/31	18.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60158	HK Bank - CNOOC R Bear CBBC Apr 2016 A	40,000,000	2015/08/26	2016/04/25	10.00
60161	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2016 C	100,000,000	2015/08/26	2016/08/01	33.00
60160	HK Bank - Gome R Bull CBBC Nov 2016 A	40,000,000	2015/08/26	2016/11/21	21.60
60179	HK Bank - HSCEI R Bull CBBC Aug 2016 G	100,000,000	2015/08/26	2016/08/30	28.00
60175	HK Bank - HSCEI R Bear CBBC May 2016 J	68,000,000	2015/08/26	2016/05/30	17.00
60164	HK Bank - HSI R Bull CBBC Sep 2016 I	150,000,000	2015/08/26	2016/09/29	37.50
60165	HK Bank - HSI R Bull CBBC Nov 2016 E	150,000,000	2015/08/26	2016/11/29	37.50
60166	HK Bank - HSI R Bull CBBC Dec 2016 F	150,000,000	2015/08/26	2016/12/29	37.50
60172	HK Bank - HSI R Bear CBBC Jun 2016 J	100,000,000	2015/08/26	2016/06/29	25.00
60157	HK Bank - HSI R Bear CBBC Aug 2016 D	100,000,000	2015/08/26	2016/08/30	25.00
60163	HK Bank - Haitong Sec R Bull CBBC Sep 2016 B	60,000,000	2015/08/26	2016/09/26	27.60
60162	HK Bank - Ping An R Bull CBBC Nov 2016 F	188,000,000	2015/08/26	2016/11/14	47.00
60159	HK Bank - TCH R Bull CBBC Aug 2016 H	100,000,000	2015/08/26	2016/08/15	28.00
60464	J P Morgan SP BV - A50 China R Bull CBBC Sep 2016 A	100,000,000	2015/08/26	2016/09/15	25.00
60463	J P Morgan SP BV - A50 China R Bear CBBC Jun 2016 B	100,000,000	2015/08/26	2016/06/17	25.00
60465	J P Morgan SP BV - HKEx R Bear CBBC Mar 2016 C	100,000,000	2015/08/26	2016/03/18	25.00
60475	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 H	100,000,000	2015/08/26	2016/07/28	25.00
60466	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 K	100,000,000	2015/08/26	2016/04/28	25.00
60233	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 E	200,000,000	2015/08/26	2016/03/30	50.00
60483	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 J	200,000,000	2015/08/26	2016/03/30	50.00
60491	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 W	200,000,000	2015/08/26	2016/07/28	50.00
60487	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 F	200,000,000	2015/08/26	2016/08/30	50.00
60490	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 C	200,000,000	2015/08/26	2016/09/29	50.00
60508	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 B	200,000,000	2015/08/26	2016/11/29	50.00
60476	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 J	200,000,000	2015/08/26	2015/11/27	50.00
60477	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 O	200,000,000	2015/08/26	2015/12/30	50.00
60480	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 Q	200,000,000	2015/08/26	2016/01/28	50.00
60427	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2015 B	40,000,000	2015/08/26	2015/12/30	10.00
60255	Standard Chartered Bank - HSI R Bull CBBC May 2016 I	150,000,000	2015/08/26	2016/05/30	37.50
60248	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 A	100,000,000	2015/08/26	2016/07/28	25.00
60239	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 E	150,000,000	2015/08/26	2016/01/28	37.50
60294	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 F	100,000,000	2015/08/26	2016/01/28	25.00
60222	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 N	200,000,000	2015/08/26	2016/04/28	50.00
60223	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 H	200,000,000	2015/08/26	2016/05/30	50.00
60226	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 I	200,000,000	2015/08/26	2016/05/30	50.00
60281	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 J	200,000,000	2015/08/26	2016/05/30	50.00
60283	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 K	200,000,000	2015/08/26	2016/05/30	50.00
60289	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 L	200,000,000	2015/08/26	2016/05/30	50.00
60290	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 M	200,000,000	2015/08/26	2016/05/30	50.00
60292	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan16 L	200,000,000	2015/08/26	2016/01/28	50.00
60291	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 C	200,000,000	2015/08/26	2016/02/26	50.00
60293	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 C	400,000,000	2015/08/26	2016/03/30	100.00
60403	UBS AG - CAM CSI300 R Bull CBBC Oct 2016 A	100,000,000	2015/08/26	2016/10/24	25.00
60395	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2016 A	50,000,000	2015/08/26	2016/02/22	12.50
60398	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2016 B	50,000,000	2015/08/26	2016/04/11	12.50

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60391	UBS AG - A50 China R Bull CBBC Apr 2016 A	50,000,000	2015/08/26	2016/04/18	12.50
60393	UBS AG - A50 China R Bull CBBC Jun 2016 A	50,000,000	2015/08/26	2016/06/13	12.50
60388	UBS AG - HSI R Bull CBBC Apr 2016 G	200,000,000	2015/08/26	2016/04/28	50.00
60378	UBS AG - HSI R Bull CBBC May 2016 M	200,000,000	2015/08/26	2016/05/30	50.00
60410	UBS AG - HSI R Bull CBBC May 2016 N	200,000,000	2015/08/26	2016/05/30	50.00
60390	UBS AG - HSI R Bull CBBC Jul 2016 O	200,000,000	2015/08/26	2016/07/28	50.00
60376	UBS AG - HSI R Bull CBBC Aug 2016 G	200,000,000	2015/08/26	2016/08/30	50.00
60377	UBS AG - HSI R Bull CBBC Aug 2016 H	200,000,000	2015/08/26	2016/08/30	50.00
60407	UBS AG - HSI R Bear CBBC Jan 2016 R	200,000,000	2015/08/26	2016/01/28	50.00
60418	UBS AG - HSI R Bear CBBC Jan 2016 S	200,000,000	2015/08/26	2016/01/28	50.00
60419	UBS AG - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/08/26	2016/01/28	50.00
60406	UBS AG - HSI R Bear CBBC Feb 2016 L	200,000,000	2015/08/26	2016/02/26	50.00
60426	UBS AG - HSI R Bear CBBC Feb 2016 M	200,000,000	2015/08/26	2016/02/26	50.00
60822	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Mar 2016 B	100,000,000	2015/08/27	2016/03/31	29.00
60743	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2016 B	100,000,000	2015/08/27	2016/11/29	27.00
60748	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2016 C	100,000,000	2015/08/27	2016/11/29	25.00
60749	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2016 C	100,000,000	2015/08/27	2016/12/29	25.00
60761	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2016 C	100,000,000	2015/08/27	2016/04/28	25.00
60586	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 L	250,000,000	2015/08/27	2016/11/29	62.50
60593	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 M	250,000,000	2015/08/27	2016/11/29	62.50
60704	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 N	250,000,000	2015/08/27	2016/11/29	62.50
60705	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 O	250,000,000	2015/08/27	2016/11/29	62.50
60707	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 P	250,000,000	2015/08/27	2016/11/29	62.50
60581	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 T	250,000,000	2015/08/27	2016/12/29	62.50
60590	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 U	250,000,000	2015/08/27	2016/12/29	62.50
60702	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 V	250,000,000	2015/08/27	2016/12/29	77.50
60703	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 W	250,000,000	2015/08/27	2016/12/29	72.50
60710	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 N	200,000,000	2015/08/27	2016/03/30	50.00
60716	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 O	200,000,000	2015/08/27	2016/03/30	50.00
60715	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 K	200,000,000	2015/08/27	2016/04/28	50.00
60821	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 L	200,000,000	2015/08/27	2016/04/28	50.00
60828	BNP Paribas Arbit Issu B.V. - Petroch R Bull CBBC Jan 2016 C	60,000,000	2015/08/27	2016/01/29	15.00
60823	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Mar 2016 A	40,000,000	2015/08/27	2016/03/31	10.00
60776	Credit Suisse AG - HSCEI R Bull CBBC Jun 2016 A	108,000,000	2015/08/27	2016/06/29	27.00
60777	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 G	108,000,000	2015/08/27	2015/12/30	27.00
60764	Credit Suisse AG - HSI R Bull CBBC Apr 2016 W	200,000,000	2015/08/27	2016/04/28	50.00
60771	Credit Suisse AG - HSI R Bull CBBC Jun 2016 A	200,000,000	2015/08/27	2016/06/29	74.00
60763	Credit Suisse AG - HSI R Bull CBBC Jun 2016 W	200,000,000	2015/08/27	2016/06/29	50.00
60766	Credit Suisse AG - HSI R Bull CBBC Jun 2016 X	200,000,000	2015/08/27	2016/06/29	50.00
60767	Credit Suisse AG - HSI R Bull CBBC Jun 2016 Y	200,000,000	2015/08/27	2016/06/29	50.00
60770	Credit Suisse AG - HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/08/27	2016/06/29	60.00
60769	Credit Suisse AG - HSI R Bull CBBC Jul 2016 N	200,000,000	2015/08/27	2016/07/28	50.00
60773	Credit Suisse AG - HSI R Bull CBBC Aug 2016 C	200,000,000	2015/08/27	2016/08/30	92.00
60798	Credit Suisse AG - HSI R Bear CBBC Feb 2016 J	200,000,000	2015/08/27	2016/02/26	50.00
60804	Credit Suisse AG - HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/08/27	2016/02/26	50.00

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60805	Credit Suisse AG - HSI R Bear CBBC Mar 2016 M	200,000,000	2015/08/27	2016/03/30	50.00
60808	Credit Suisse AG - HSI R Bear CBBC Mar 2016 N	200,000,000	2015/08/27	2016/03/30	50.00
60801	Credit Suisse AG - HSI R Bear CBBC Apr 2016 F	200,000,000	2015/08/27	2016/04/28	50.00
60814	Credit Suisse AG - HSI R Bear CBBC Apr 2016 G	200,000,000	2015/08/27	2016/04/28	50.00
60534	HK Bank - AIA R Bull CBBC Nov 2016 A	60,000,000	2015/08/27	2016/11/01	15.00
60528	HK Bank - CM Bank R Bull CBBC Oct 2016 A	40,000,000	2015/08/27	2016/10/03	20.40
60556	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2016 D	100,000,000	2015/08/27	2016/06/27	27.00
60566	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2016 D	100,000,000	2015/08/27	2016/08/08	31.00
60510	HK Bank - A50 R Bull CBBC Jul 2016 B	100,000,000	2015/08/27	2016/07/18	28.00
60511	HK Bank - A50 R Bull CBBC Aug 2016 C	100,000,000	2015/08/27	2016/08/15	25.00
60533	HK Bank - HS H-SH ETF R Bull CBBC Oct 2016 A	100,000,000	2015/08/27	2016/10/31	25.00
60539	HK Bank - HSCEI R Bull CBBC Oct 2016 E	100,000,000	2015/08/27	2016/10/28	32.00
60535	HK Bank - HSI R Bull CBBC Dec 2016 G	40,000,000	2015/08/27	2016/12/29	10.00
60552	HK Bank - HSI R Bear CBBC May 2016 P	100,000,000	2015/08/27	2016/05/30	25.00
60530	HK Bank - PetCh R Bull CBBC Oct 2016 B	60,000,000	2015/08/27	2016/10/19	15.00
60522	HK Bank - PetCh R Bull CBBC Nov 2016 A	60,000,000	2015/08/27	2016/11/23	15.00
60514	HK Bank - Ping An R Bull CBBC Oct 2016 E	188,000,000	2015/08/27	2016/10/31	47.00
60518	HK Bank - Ping An R Bull CBBC Nov 2016 G	188,000,000	2015/08/27	2016/11/07	47.00
60568	HK Bank - TCH R Bull CBBC Apr 2016 D	100,000,000	2015/08/27	2016/04/18	25.00
60519	HK Bank - TCH R Bull CBBC Jul 2016 J	100,000,000	2015/08/27	2016/07/18	25.00
60922	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Aug 2016 B	100,000,000	2015/08/27	2016/08/19	25.00
60919	J P Morgan SP BV - A50 China R Bull CBBC Oct 2016 A	100,000,000	2015/08/27	2016/10/14	25.00
60921	J P Morgan SP BV - A50 China R Bear CBBC Aug 2016 A	100,000,000	2015/08/27	2016/08/19	25.00
60908	J P Morgan SP BV - HKEx R Bull CBBC Oct 2016 C	100,000,000	2015/08/27	2016/10/14	25.00
60913	J P Morgan SP BV - HKEx R Bear CBBC Feb 2016 A	100,000,000	2015/08/27	2016/02/19	25.00
60613	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2016 D	100,000,000	2015/08/27	2016/08/30	25.00
60937	J P Morgan SP BV - HSCEI R Bear CBBC Mar 2016 B	100,000,000	2015/08/27	2016/03/30	25.00
60614	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 N	200,000,000	2015/08/27	2016/03/30	50.00
60782	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 O	200,000,000	2015/08/27	2016/03/30	50.00
60626	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 W	200,000,000	2015/08/27	2016/06/29	50.00
60783	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 X	200,000,000	2015/08/27	2016/06/29	50.00
60792	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 X	200,000,000	2015/08/27	2016/07/28	50.00
60785	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 G	200,000,000	2015/08/27	2016/08/30	50.00
60786	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 D	200,000,000	2015/08/27	2016/09/29	50.00
60790	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 C	200,000,000	2015/08/27	2016/10/28	50.00
60793	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 R	200,000,000	2015/08/27	2015/11/27	50.00
60924	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 A	200,000,000	2015/08/27	2015/12/30	50.00
60795	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Z	200,000,000	2015/08/27	2015/12/30	50.00
60796	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 R	200,000,000	2015/08/27	2016/01/28	50.00
60926	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 J	200,000,000	2015/08/27	2016/02/26	50.00
60898	J P Morgan SP BV - Tencent R Bull CBBC Jun 2016 C	100,000,000	2015/08/27	2016/06/17	25.00
60903	J P Morgan SP BV - Tencent R Bear CBBC Apr 2016 C	100,000,000	2015/08/27	2016/04/15	25.00
60652	Standard Chartered Bank - CAM CSI300 R Bull CBBC Aug 2016 B	50,000,000	2015/08/27	2016/08/31	16.50
60661	Standard Chartered Bank - HKEx R Bull CBBC Jun 2016 B	50,000,000	2015/08/27	2016/06/27	13.00
60665	Standard Chartered Bank - HKEx R Bear CBBC Mar 2016 D	50,000,000	2015/08/27	2016/03/07	13.50

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60632	Standard Chartered Bank - HSCEI R Bull CBBC Jul 2016 A	100,000,000	2015/08/27	2016/07/28	25.00
60648	Standard Chartered Bank - HSCEI R Bear CBBC Jan 2016 C	100,000,000	2015/08/27	2016/01/28	25.00
60627	Standard Chartered Bank - HSI R Bull CBBC May 2016 J	100,000,000	2015/08/27	2016/05/30	25.00
60701	Standard Chartered Bank - HSI R Bull CBBC May 2016 K	150,000,000	2015/08/27	2016/05/30	37.50
60629	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 B	150,000,000	2015/08/27	2016/07/28	37.50
60630	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 C	100,000,000	2015/08/27	2016/07/28	25.00
60696	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 G	100,000,000	2015/08/27	2016/01/28	25.00
60700	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 H	100,000,000	2015/08/27	2016/01/28	25.00
60574	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 N	200,000,000	2015/08/27	2016/05/30	50.00
60575	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 O	200,000,000	2015/08/27	2016/05/30	50.00
60666	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 P	200,000,000	2015/08/27	2016/05/30	60.00
60668	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 Q	200,000,000	2015/08/27	2016/05/30	56.00
60669	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 R	200,000,000	2015/08/27	2016/05/30	52.00
60674	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 S	200,000,000	2015/08/27	2016/05/30	50.00
60675	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 T	200,000,000	2015/08/27	2016/05/30	50.00
60692	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 U	200,000,000	2015/08/27	2016/05/30	50.00
60693	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 D	200,000,000	2015/08/27	2016/02/26	50.00
60694	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 E	200,000,000	2015/08/27	2016/02/26	50.00
60893	UBS AG - HKEx R Bull CBBC Oct 2016 B	50,000,000	2015/08/27	2016/10/17	12.50
60948	UBS AG - HSCEI R Bull CBBC May 2016 B	200,000,000	2015/08/27	2016/05/30	50.00
60949	UBS AG - HSCEI R Bear CBBC Dec 2015 C	100,000,000	2015/08/27	2015/12/30	25.00
60829	UBS AG - HSI R Bull CBBC May 2016 C	200,000,000	2015/08/27	2016/05/30	50.00
60894	UBS AG - HSI R Bull CBBC May 2016 D	200,000,000	2015/08/27	2016/05/30	50.00
60839	UBS AG - HSI R Bull CBBC May 2016 I	200,000,000	2015/08/27	2016/05/30	50.00
60847	UBS AG - HSI R Bull CBBC May 2016 J	200,000,000	2015/08/27	2016/05/30	50.00
60849	UBS AG - HSI R Bull CBBC May 2016 L	200,000,000	2015/08/27	2016/05/30	50.00
60855	UBS AG - HSI R Bull CBBC May 2016 O	200,000,000	2015/08/27	2016/05/30	50.00
60897	UBS AG - HSI R Bull CBBC Jun 2016 B	200,000,000	2015/08/27	2016/06/29	50.00
60843	UBS AG - HSI R Bull CBBC Jul 2016 P	200,000,000	2015/08/27	2016/07/28	50.00
60865	UBS AG - HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/08/27	2016/07/28	50.00
60891	UBS AG - HSI R Bull CBBC Jul 2016 R	200,000,000	2015/08/27	2016/07/28	50.00
60866	UBS AG - HSI R Bull CBBC Aug 2016 I	200,000,000	2015/08/27	2016/08/30	62.00
60877	UBS AG - HSI R Bull CBBC Sep 2016 C	200,000,000	2015/08/27	2016/09/29	86.00
60878	UBS AG - HSI R Bull CBBC Sep 2016 D	200,000,000	2015/08/27	2016/09/29	96.00
60941	UBS AG - HSI R Bear CBBC Dec 2015 U	200,000,000	2015/08/27	2015/12/30	50.00
60940	UBS AG - HSI R Bear CBBC Jan 2016 U	200,000,000	2015/08/27	2016/01/28	50.00
60944	UBS AG - HSI R Bear CBBC Feb 2016 N	200,000,000	2015/08/27	2016/02/26	50.00
60946	UBS AG - HSI R Bear CBBC Feb 2016 O	200,000,000	2015/08/27	2016/02/26	50.00
60947	UBS AG - HSI R Bear CBBC Feb 2016 P	200,000,000	2015/08/27	2016/02/26	50.00
60939	UBS AG - Ping An R Bull CBBC Oct 2016 B	100,000,000	2015/08/27	2016/10/24	25.00
61448	BNP Paribas Arbit Issu B.V. - CAM CSI300 R Bull CBBC Sep16 A	100,000,000	2015/08/28	2016/09/30	25.00
61450	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Dec 2015 J	40,000,000	2015/08/28	2015/12/31	14.00
61451	BNP Paribas Arbit Issu B.V. - HKEx R Bear CBBC Dec 2015 D	40,000,000	2015/08/28	2015/12/31	10.00
61449	BNP Paribas Arbit Issu B.V. - HS H ETF R Bull CBBC Jan 2016F	60,000,000	2015/08/28	2016/01/29	15.00
61447	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2016 D	100,000,000	2015/08/28	2016/03/30	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61192	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/08/28	2016/11/29	62.50
61195	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 R	250,000,000	2015/08/28	2016/11/29	62.50
61334	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 S	250,000,000	2015/08/28	2016/11/29	75.00
61354	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 T	250,000,000	2015/08/28	2016/11/29	62.50
61364	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 U	250,000,000	2015/08/28	2016/11/29	62.50
61379	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 V	250,000,000	2015/08/28	2016/11/29	62.50
61384	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 C	250,000,000	2015/08/28	2016/12/29	62.50
61194	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 X	250,000,000	2015/08/28	2016/12/29	62.50
61344	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 Y	250,000,000	2015/08/28	2016/12/29	62.50
61345	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 Z	250,000,000	2015/08/28	2016/12/29	62.50
61413	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 P	200,000,000	2015/08/28	2016/03/30	50.00
61417	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/08/28	2016/03/30	50.00
61441	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 R	200,000,000	2015/08/28	2016/03/30	50.00
61416	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 M	200,000,000	2015/08/28	2016/04/28	50.00
61418	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 N	200,000,000	2015/08/28	2016/04/28	50.00
61580	Credit Suisse AG - CAM CSI300 R Bull CBBC Jun 2016 D	80,000,000	2015/08/28	2016/06/30	20.00
61726	Credit Suisse AG - BOCL R Bull CBBC Apr 2016 A	70,000,000	2015/08/28	2016/04/29	17.50
61714	Credit Suisse AG - CCB R Bull CBBC Apr 2016 A	70,000,000	2015/08/28	2016/04/29	17.50
61592	Credit Suisse AG - China Life R Bull CBBC Nov 2016 A	80,000,000	2015/08/28	2016/11/30	20.00
61606	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Apr 2016 A	100,000,000	2015/08/28	2016/04/29	26.00
61621	Credit Suisse AG - A50 China R Bull CBBC Apr 2016 A	100,000,000	2015/08/28	2016/04/29	27.00
61601	Credit Suisse AG - HKEx R Bull CBBC Mar 2016 C	100,000,000	2015/08/28	2016/03/31	28.00
61595	Credit Suisse AG - HKEx R Bull CBBC Jun 2016 A	100,000,000	2015/08/28	2016/06/30	36.00
61593	Credit Suisse AG - HKEx R Bull CBBC Jul 2016 A	100,000,000	2015/08/28	2016/07/29	44.00
61574	Credit Suisse AG - HS H-SH ETF R Bull CBBC Mar 2016 C	80,000,000	2015/08/28	2016/03/31	20.00
61579	Credit Suisse AG - HS H-SH ETF R Bull CBBC Apr 2016 A	80,000,000	2015/08/28	2016/04/29	20.00
61647	Credit Suisse AG - HSCEI R Bull CBBC Jul 2016 A	108,000,000	2015/08/28	2016/07/28	27.00
61644	Credit Suisse AG - HSCEI R Bull CBBC Aug 2016 A	108,000,000	2015/08/28	2016/08/30	27.00
61654	Credit Suisse AG - HSCEI R Bear CBBC Jan 2016 D	108,000,000	2015/08/28	2016/01/28	27.00
61534	Credit Suisse AG - HSI R Bull CBBC Jun 2016 B	200,000,000	2015/08/28	2016/06/29	50.00
61543	Credit Suisse AG - HSI R Bull CBBC Jun 2016 C	200,000,000	2015/08/28	2016/06/29	50.00
61516	Credit Suisse AG - HSI R Bull CBBC Jul 2016 O	200,000,000	2015/08/28	2016/07/28	50.00
61542	Credit Suisse AG - HSI R Bull CBBC Jul 2016 P	200,000,000	2015/08/28	2016/07/28	50.00
61554	Credit Suisse AG - HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/08/28	2016/07/28	50.00
61728	Credit Suisse AG - HSI R Bull CBBC Jul 2016 R	200,000,000	2015/08/28	2016/07/28	50.00
61538	Credit Suisse AG - HSI R Bull CBBC Aug 2016 D	200,000,000	2015/08/28	2016/08/30	50.00
61546	Credit Suisse AG - HSI R Bull CBBC Aug 2016 E	200,000,000	2015/08/28	2016/08/30	50.00
61562	Credit Suisse AG - HSI R Bull CBBC Aug 2016 F	200,000,000	2015/08/28	2016/08/30	50.00
61571	Credit Suisse AG - HSI R Bull CBBC Sep 2016 A	200,000,000	2015/08/28	2016/09/29	50.00
61659	Credit Suisse AG - HSI R Bull CBBC Oct 2016 A	200,000,000	2015/08/28	2016/10/28	50.00
61664	Credit Suisse AG - HSI R Bull CBBC Oct 2016 B	200,000,000	2015/08/28	2016/10/28	54.00
61710	Credit Suisse AG - HSI R Bull CBBC Oct 2016 C	200,000,000	2015/08/28	2016/10/28	78.00
61570	Credit Suisse AG - HSI R Bull CBBC Nov 2016 A	200,000,000	2015/08/28	2016/11/29	50.00
61660	Credit Suisse AG - HSI R Bull CBBC Nov 2016 B	200,000,000	2015/08/28	2016/11/29	50.00
61692	Credit Suisse AG - HSI R Bull CBBC Nov 2016 C	200,000,000	2015/08/28	2016/11/29	60.00

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61711	Credit Suisse AG - HSI R Bull CBBC Nov 2016 D	200,000,000	2015/08/28	2016/11/29	88.00
61709	Credit Suisse AG - HSI R Bull CBBC Dec 2016 A	200,000,000	2015/08/28	2016/12/29	68.00
61713	Credit Suisse AG - HSI R Bull CBBC Dec 2016 B	200,000,000	2015/08/28	2016/12/29	94.00
61766	Credit Suisse AG - HSI R Bear CBBC Feb 2016 D	200,000,000	2015/08/28	2016/02/26	50.00
61808	Credit Suisse AG - HSI R Bear CBBC Feb 2016 F	200,000,000	2015/08/28	2016/02/26	50.00
61786	Credit Suisse AG - HSI R Bear CBBC Mar 2016 O	200,000,000	2015/08/28	2016/03/30	50.00
61805	Credit Suisse AG - HSI R Bear CBBC Mar 2016 P	200,000,000	2015/08/28	2016/03/30	50.00
61732	Credit Suisse AG - HSI R Bear CBBC Apr 2016 H	200,000,000	2015/08/28	2016/04/28	50.00
61760	Credit Suisse AG - HSI R Bear CBBC Apr 2016 I	200,000,000	2015/08/28	2016/04/28	50.00
61810	Credit Suisse AG - HSI R Bear CBBC Apr 2016 J	200,000,000	2015/08/28	2016/04/28	50.00
61715	Credit Suisse AG - ICBC R Bull CBBC Apr 2016 A	70,000,000	2015/08/28	2016/04/29	17.50
61587	Credit Suisse AG - Ping An R Bull CBBC Mar 2016 D	100,000,000	2015/08/28	2016/03/31	25.00
61588	Credit Suisse AG - Ping An R Bull CBBC Apr 2016 A	100,000,000	2015/08/28	2016/04/29	25.00
61602	Credit Suisse AG - TCH R Bull CBBC Mar 2016 B	100,000,000	2015/08/28	2016/03/31	30.00
61084	HK Bank - CC Bank R Bull CBBC Dec 2016 B	100,000,000	2015/08/28	2016/12/30	25.00
61075	HK Bank - CNOOC R Bull CBBC Nov 2016 B	60,000,000	2015/08/28	2016/11/28	17.40
61076	HK Bank - Sinopec Corp R Bull CBBC Nov 2016 B	80,000,000	2015/08/28	2016/11/28	20.00
61086	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 C	100,000,000	2015/08/28	2016/07/18	41.00
61005	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2016 C	40,000,000	2015/08/28	2016/08/15	10.00
61010	HK Bank - A50 R Bull CBBC Dec 2016 A	100,000,000	2015/08/28	2016/12/30	35.00
61021	HK Bank - A50 R Bear CBBC Oct 2016 B	50,000,000	2015/08/28	2016/10/31	12.50
61023	HK Bank - HKEx R Bear CBBC Jun 2016 E	50,000,000	2015/08/28	2016/06/27	12.50
60996	HK Bank - HSCEI R Bull CBBC Nov 2016 C	100,000,000	2015/08/28	2016/11/29	32.00
60997	HK Bank - HSCEI R Bear CBBC Jun 2016 A	68,000,000	2015/08/28	2016/06/29	17.00
60968	HK Bank - HSI R Bull CBBC Jul 2016 Q	150,000,000	2015/08/28	2016/07/28	37.50
60990	HK Bank - HSI R Bull CBBC Aug 2016 H	150,000,000	2015/08/28	2016/08/30	37.50
60960	HK Bank - HSI R Bull CBBC Nov 2016 F	150,000,000	2015/08/28	2016/11/29	37.50
60993	HK Bank - HSI R Bear CBBC Apr 2016 U	100,000,000	2015/08/28	2016/04/28	25.00
60995	HK Bank - HSI R Bear CBBC May 2016 Q	100,000,000	2015/08/28	2016/05/30	25.00
60953	HK Bank - HSI R Bear CBBC Jul 2016 D	100,000,000	2015/08/28	2016/07/28	25.00
60967	HK Bank - HSI R Bear CBBC Nov 2016 A	400,000,000	2015/08/28	2016/11/29	100.00
61080	HK Bank - PetCh R Bull CBBC Nov 2016 B	60,000,000	2015/08/28	2016/11/28	15.00
61027	HK Bank - TCH R Bull CBBC Aug 2016 I	100,000,000	2015/08/28	2016/08/15	37.00
61055	HK Bank - TCH R Bear CBBC Aug 2016 B	100,000,000	2015/08/28	2016/08/15	25.00
61957	J P Morgan SP BV - HSCEI R Bull CBBC Oct 2016 A	100,000,000	2015/08/28	2016/10/28	25.00
61959	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2016 A	100,000,000	2015/08/28	2016/11/29	25.00
61973	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 L	100,000,000	2015/08/28	2016/04/28	25.00
61197	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 P	200,000,000	2015/08/28	2016/03/30	50.00
61199	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 D	200,000,000	2015/08/28	2016/07/28	50.00
61196	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/08/28	2016/07/28	50.00
61198	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/08/28	2016/07/28	50.00
61206	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 E	200,000,000	2015/08/28	2016/09/29	50.00
61207	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 D	200,000,000	2015/08/28	2016/10/28	50.00
61205	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 C	200,000,000	2015/08/28	2016/11/29	50.00
61214	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 D	200,000,000	2015/08/28	2016/11/29	60.00

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61220	J P Morgan SP BV - HSI R Bull CBBC Dec 2016 C	200,000,000	2015/08/28	2016/12/29	70.00
61975	J P Morgan SP BV - HSI R Bull CBBC Jan 2017 A	200,000,000	2015/08/28	2017/01/26	96.00
61453	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 P	200,000,000	2015/08/28	2015/11/27	50.00
61474	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 Q	200,000,000	2015/08/28	2015/11/27	50.00
61452	J P Morgan SP BV - HSI R Bear CBBC Nov 2015 S	200,000,000	2015/08/28	2015/11/27	50.00
61486	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 W	200,000,000	2015/08/28	2015/12/30	50.00
61510	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 S	200,000,000	2015/08/28	2016/01/28	50.00
61511	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 K	200,000,000	2015/08/28	2016/02/26	50.00
61247	Standard Chartered Bank - HSI R Bull CBBC May 2016 L	100,000,000	2015/08/28	2016/05/30	25.00
61249	Standard Chartered Bank - HSI R Bull CBBC May 2016 M	100,000,000	2015/08/28	2016/05/30	25.00
61251	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 K	100,000,000	2015/08/28	2016/06/29	25.00
61257	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 L	150,000,000	2015/08/28	2016/06/29	37.50
61824	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 I	150,000,000	2015/08/28	2016/01/28	37.50
61828	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 J	100,000,000	2015/08/28	2016/01/28	25.00
61276	SGA Societe Generale Acceptance N.V - CSA50 R Bull CBBC Jun16A	100,000,000	2015/08/28	2016/06/29	25.00
61273	SGA Societe Generale Acceptance N.V - CSA50 R Bull CBBC Jul16A	100,000,000	2015/08/28	2016/07/28	25.00
61271	SGA Societe Generale Acceptance N.V - CSA50 R Bull CBBC Aug16A	100,000,000	2015/08/28	2016/08/30	25.00
61269	SGA Societe Generale Acceptance N.V - CSA50 R Bull CBBC Sep16A	100,000,000	2015/08/28	2016/09/29	25.00
61261	SGA Societe Generale Acceptance N.V - CSA50 R Bull CBBC Oct16A	100,000,000	2015/08/28	2016/10/28	25.00
61277	SGA Societe Generale Acceptance N.V - CSA50 R Bear CBBC Mar16A	100,000,000	2015/08/28	2016/03/30	29.00
61283	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jun16 A	100,000,000	2015/08/28	2016/06/29	25.00
61282	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jul16 A	100,000,000	2015/08/28	2016/07/28	25.00
61280	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug16 A	100,000,000	2015/08/28	2016/08/30	25.00
61278	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Sep16 A	100,000,000	2015/08/28	2016/09/29	25.00
61291	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Mar16A	100,000,000	2015/08/28	2016/03/30	25.00
61292	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC Apr16A	100,000,000	2015/08/28	2016/04/28	25.00
61298	SGA Societe Generale Acceptance N.V. - A50 R Bear CBBC May16A	100,000,000	2015/08/28	2016/05/30	30.50
61115	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16F	200,000,000	2015/08/28	2016/03/30	50.00
61117	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16G	200,000,000	2015/08/28	2016/03/30	50.00
61300	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Mar16H	200,000,000	2015/08/28	2016/03/30	50.00
61092	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Apr16A	200,000,000	2015/08/28	2016/04/28	50.00
61108	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Apr16B	200,000,000	2015/08/28	2016/04/28	50.00
61087	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC May16A	200,000,000	2015/08/28	2016/05/30	53.00
61095	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC May16B	200,000,000	2015/08/28	2016/05/30	50.00
61121	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16L	200,000,000	2015/08/28	2016/01/28	50.00
61122	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Jan16M	200,000,000	2015/08/28	2016/01/28	50.00
61309	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Feb16A	200,000,000	2015/08/28	2016/02/26	50.00
61134	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 A	200,000,000	2015/08/28	2016/03/30	50.00
61125	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 F	200,000,000	2015/08/28	2016/03/30	55.00
61137	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 I	200,000,000	2015/08/28	2016/03/30	50.00
61128	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 J	200,000,000	2015/08/28	2016/03/30	51.00
61142	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar16 V	200,000,000	2015/08/28	2016/03/30	50.00
61146	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 O	200,000,000	2015/08/28	2016/04/28	50.00
61153	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 P	200,000,000	2015/08/28	2016/04/28	50.00
61163	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 Q	200,000,000	2015/08/28	2016/04/28	50.00

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61165	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 R	200,000,000	2015/08/28	2016/04/28	50.00
61819	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 S	200,000,000	2015/08/28	2016/04/28	50.00
61823	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 T	200,000,000	2015/08/28	2016/04/28	50.00
61124	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 V	400,000,000	2015/08/28	2016/05/30	100.00
61126	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 W	400,000,000	2015/08/28	2016/05/30	100.00
61162	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 X	400,000,000	2015/08/28	2016/05/30	100.00
61313	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 F	200,000,000	2015/08/28	2016/02/26	50.00
61166	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 D	200,000,000	2015/08/28	2016/03/30	50.00
61169	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 E	200,000,000	2015/08/28	2016/03/30	50.00
61184	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 F	200,000,000	2015/08/28	2016/03/30	50.00
61185	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 G	200,000,000	2015/08/28	2016/03/30	50.00
61186	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 H	400,000,000	2015/08/28	2016/03/30	100.00
61322	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 I	200,000,000	2015/08/28	2016/03/30	50.00
61324	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 J	200,000,000	2015/08/28	2016/03/30	50.00
61191	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 D	400,000,000	2015/08/28	2016/04/28	100.00
61951	UBS AG - CSOP A50 ETF R Bull CBBC Feb 2016 B	50,000,000	2015/08/28	2016/02/22	12.50
61952	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2016 C	50,000,000	2015/08/28	2016/03/14	12.50
61955	UBS AG - A50 China R Bull CBBC Mar 2016 D	50,000,000	2015/08/28	2016/03/07	12.50
61956	UBS AG - A50 China R Bull CBBC Mar 2016 E	50,000,000	2015/08/28	2016/03/21	12.50
61950	UBS AG - HKEx R Bull CBBC Nov 2016 B	100,000,000	2015/08/28	2016/11/28	28.00
61911	UBS AG - HSCEI R Bull CBBC Oct 2016 A	200,000,000	2015/08/28	2016/10/28	50.00
61874	UBS AG - HSI R Bull CBBC May 2016 P	200,000,000	2015/08/28	2016/05/30	50.00
61883	UBS AG - HSI R Bull CBBC May 2016 Q	200,000,000	2015/08/28	2016/05/30	50.00
61889	UBS AG - HSI R Bull CBBC May 2016 R	200,000,000	2015/08/28	2016/05/30	50.00
61891	UBS AG - HSI R Bull CBBC May 2016 S	200,000,000	2015/08/28	2016/05/30	50.00
61834	UBS AG - HSI R Bull CBBC Jun 2016 J	200,000,000	2015/08/28	2016/06/29	50.00
61872	UBS AG - HSI R Bull CBBC Jun 2016 K	200,000,000	2015/08/28	2016/06/29	50.00
61873	UBS AG - HSI R Bull CBBC Jun 2016 O	200,000,000	2015/08/28	2016/06/29	50.00
61905	UBS AG - HSI R Bull CBBC Jun 2016 P	200,000,000	2015/08/28	2016/06/29	50.00
61907	UBS AG - HSI R Bull CBBC Sep 2016 E	200,000,000	2015/08/28	2016/09/29	63.00
61875	UBS AG - HSI R Bull CBBC Oct 2016 A	200,000,000	2015/08/28	2016/10/28	89.00
61877	UBS AG - HSI R Bull CBBC Oct 2016 B	200,000,000	2015/08/28	2016/10/28	110.00
61906	UBS AG - HSI R Bull CBBC Oct 2016 C	200,000,000	2015/08/28	2016/10/28	53.00
61928	UBS AG - HSI R Bear CBBC Jan 2016 I	200,000,000	2015/08/28	2016/01/28	50.00
61918	UBS AG - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/08/28	2016/01/28	50.00
61949	UBS AG - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/08/28	2016/01/28	50.00
61919	UBS AG - HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/08/28	2016/02/26	50.00
61922	UBS AG - HSI R Bear CBBC Feb 2016 R	200,000,000	2015/08/28	2016/02/26	50.00
61923	UBS AG - HSI R Bear CBBC Feb 2016 S	200,000,000	2015/08/28	2016/02/26	50.00
61931	UBS AG - HSI R Bear CBBC Feb 2016 T	200,000,000	2015/08/28	2016/02/26	50.00
62561	BNP Paribas Arbit Issu B.V. - CAM CSI300 R Bull CBBC Sep16 B	100,000,000	2015/08/31	2016/09/30	25.00
62548	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Mar16C	100,000,000	2015/08/31	2016/03/31	25.00
62553	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Mar16D	100,000,000	2015/08/31	2016/03/31	30.00
62557	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Mar 2016 C	100,000,000	2015/08/31	2016/03/31	26.00
62567	BNP Paribas Arbit Issu B.V. - HS H ETF R Bull CBBC Jan 2016G	60,000,000	2015/08/31	2016/01/29	15.00

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62547	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2016 D	100,000,000	2015/08/31	2016/04/28	25.00
62240	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 A	250,000,000	2015/08/31	2016/11/29	62.50
62218	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 W	250,000,000	2015/08/31	2016/11/29	105.00
62230	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 X	250,000,000	2015/08/31	2016/11/29	65.00
62231	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 Y	250,000,000	2015/08/31	2016/11/29	62.50
62238	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 Z	250,000,000	2015/08/31	2016/11/29	62.50
62226	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 B	250,000,000	2015/08/31	2016/12/29	90.00
62222	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 D	250,000,000	2015/08/31	2016/12/29	95.00
62229	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 E	250,000,000	2015/08/31	2016/12/29	72.50
62232	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 F	250,000,000	2015/08/31	2016/12/29	62.50
62536	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 G	250,000,000	2015/08/31	2016/12/29	62.50
62538	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 S	200,000,000	2015/08/31	2016/03/30	50.00
62542	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 T	200,000,000	2015/08/31	2016/03/30	50.00
62543	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 U	200,000,000	2015/08/31	2016/03/30	50.00
62544	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 V	200,000,000	2015/08/31	2016/03/30	50.00
62572	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Sep 2016 A	50,000,000	2015/08/31	2016/09/30	12.50
62744	Credit Suisse AG - HSCEI R Bull CBBC Sep 2016 A	108,000,000	2015/08/31	2016/09/29	27.00
62747	Credit Suisse AG - HSCEI R Bull CBBC Oct 2016 A	108,000,000	2015/08/31	2016/10/28	31.32
62577	Credit Suisse AG - HSI R Bull CBBC Jun 2016 D	200,000,000	2015/08/31	2016/06/29	50.00
62625	Credit Suisse AG - HSI R Bull CBBC Jun 2016 E	200,000,000	2015/08/31	2016/06/29	50.00
62634	Credit Suisse AG - HSI R Bull CBBC Jun 2016 F	200,000,000	2015/08/31	2016/06/29	50.00
62641	Credit Suisse AG - HSI R Bull CBBC Jun 2016 G	200,000,000	2015/08/31	2016/06/29	50.00
62578	Credit Suisse AG - HSI R Bull CBBC Jul 2016 S	200,000,000	2015/08/31	2016/07/28	50.00
62603	Credit Suisse AG - HSI R Bull CBBC Aug 2016 G	200,000,000	2015/08/31	2016/08/30	50.00
62605	Credit Suisse AG - HSI R Bull CBBC Aug 2016 H	200,000,000	2015/08/31	2016/08/30	50.00
62639	Credit Suisse AG - HSI R Bull CBBC Aug 2016 I	200,000,000	2015/08/31	2016/08/30	50.00
62649	Credit Suisse AG - HSI R Bull CBBC Sep 2016 B	200,000,000	2015/08/31	2016/09/29	50.00
62651	Credit Suisse AG - HSI R Bull CBBC Sep 2016 C	200,000,000	2015/08/31	2016/09/29	50.00
62654	Credit Suisse AG - HSI R Bull CBBC Sep 2016 D	200,000,000	2015/08/31	2016/09/29	50.00
62692	Credit Suisse AG - HSI R Bull CBBC Sep 2016 E	200,000,000	2015/08/31	2016/09/29	58.00
62908	Credit Suisse AG - HSI R Bull CBBC Sep 2016 F	200,000,000	2015/08/31	2016/09/29	50.00
62650	Credit Suisse AG - HSI R Bull CBBC Oct 2016 D	200,000,000	2015/08/31	2016/10/28	50.00
62681	Credit Suisse AG - HSI R Bull CBBC Oct 2016 E	200,000,000	2015/08/31	2016/10/28	50.00
62683	Credit Suisse AG - HSI R Bull CBBC Oct 2016 F	200,000,000	2015/08/31	2016/10/28	50.00
62690	Credit Suisse AG - HSI R Bull CBBC Oct 2016 G	200,000,000	2015/08/31	2016/10/28	50.00
62707	Credit Suisse AG - HSI R Bull CBBC Oct 2016 H	200,000,000	2015/08/31	2016/10/28	56.00
62717	Credit Suisse AG - HSI R Bull CBBC Oct 2016 I	200,000,000	2015/08/31	2016/10/28	72.00
62734	Credit Suisse AG - HSI R Bull CBBC Oct 2016 J	200,000,000	2015/08/31	2016/10/28	96.00
62910	Credit Suisse AG - HSI R Bull CBBC Oct 2016 K	200,000,000	2015/08/31	2016/10/28	50.00
62911	Credit Suisse AG - HSI R Bull CBBC Oct 2016 L	200,000,000	2015/08/31	2016/10/28	50.00
62685	Credit Suisse AG - HSI R Bull CBBC Nov 2016 E	200,000,000	2015/08/31	2016/11/29	50.00
62728	Credit Suisse AG - HSI R Bull CBBC Nov 2016 F	200,000,000	2015/08/31	2016/11/29	78.00
62732	Credit Suisse AG - HSI R Bull CBBC Nov 2016 G	200,000,000	2015/08/31	2016/11/29	76.00
62735	Credit Suisse AG - HSI R Bull CBBC Nov 2016 H	200,000,000	2015/08/31	2016/11/29	106.00
62729	Credit Suisse AG - HSI R Bull CBBC Dec 2016 C	200,000,000	2015/08/31	2016/12/29	84.00

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62743	Credit Suisse AG - HSI R Bull CBBC Dec 2016 D	200,000,000	2015/08/31	2016/12/29	116.00
62784	Credit Suisse AG - HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/08/31	2016/03/30	50.00
62798	Credit Suisse AG - HSI R Bear CBBC Mar 2016 R	200,000,000	2015/08/31	2016/03/30	50.00
62775	Credit Suisse AG - HSI R Bear CBBC Apr 2016 K	200,000,000	2015/08/31	2016/04/28	50.00
62789	Credit Suisse AG - HSI R Bear CBBC Apr 2016 L	200,000,000	2015/08/31	2016/04/28	50.00
62795	Credit Suisse AG - HSI R Bear CBBC Apr 2016 M	200,000,000	2015/08/31	2016/04/28	50.00
62799	Credit Suisse AG - HSI R Bear CBBC Apr 2016 N	200,000,000	2015/08/31	2016/04/28	50.00
62750	Credit Suisse AG - HSI R Bear CBBC May 2016 A	200,000,000	2015/08/31	2016/05/30	50.00
62779	Credit Suisse AG - HSI R Bear CBBC May 2016 B	200,000,000	2015/08/31	2016/05/30	50.00
62793	Credit Suisse AG - HSI R Bear CBBC May 2016 C	200,000,000	2015/08/31	2016/05/30	50.00
62800	Credit Suisse AG - HSI R Bear CBBC May 2016 D	200,000,000	2015/08/31	2016/05/30	50.00
62020	HK Bank - AIA R Bear CBBC Jun 2016 A	40,000,000	2015/08/31	2016/06/27	27.20
62053	HK Bank - CAM CSI300 R Bull CBBC Sep 2016 C	100,000,000	2015/08/31	2016/09/26	25.00
62045	HK Bank - BOCL R Bull CBBC Dec 2016 A	100,000,000	2015/08/31	2016/12/30	25.00
62082	HK Bank - China Mobile R Bull CBBC Nov 2016 C	120,000,000	2015/08/31	2016/11/28	43.20
62040	HK Bank - CC Bank R Bull CBBC Nov 2016 C	100,000,000	2015/08/31	2016/11/28	25.00
62058	HK Bank - China Life R Bull CBBC Aug 2016 A	60,000,000	2015/08/31	2016/08/29	45.00
62052	HK Bank - Evergrande R Bull CBBC Nov 2016 B	60,000,000	2015/08/31	2016/11/28	15.00
62187	HK Bank - HSCEI R Bull CBBC Aug 2016 H	100,000,000	2015/08/31	2016/08/30	25.00
62016	HK Bank - HSCEI R Bull CBBC Dec 2016 B	100,000,000	2015/08/31	2016/12/29	25.00
62205	HK Bank - HSCEI R Bear CBBC Jul 2016 A	68,000,000	2015/08/31	2016/07/28	17.00
62202	HK Bank - HSCEI R Bear CBBC Oct 2016 A	68,000,000	2015/08/31	2016/10/28	17.00
62014	HK Bank - HSI R Bull CBBC Jul 2016 R	150,000,000	2015/08/31	2016/07/28	37.50
62021	HK Bank - HSI R Bull CBBC Jul 2016 S	150,000,000	2015/08/31	2016/07/28	37.50
62186	HK Bank - HSI R Bull CBBC Jul 2016 T	150,000,000	2015/08/31	2016/07/28	37.50
62024	HK Bank - HSI R Bull CBBC Aug 2016 I	150,000,000	2015/08/31	2016/08/30	37.50
62182	HK Bank - HSI R Bull CBBC Aug 2016 J	150,000,000	2015/08/31	2016/08/30	37.50
62015	HK Bank - HSI R Bull CBBC Oct 2016 F	150,000,000	2015/08/31	2016/10/28	37.50
61976	HK Bank - HSI R Bear CBBC Apr 2016 V	100,000,000	2015/08/31	2016/04/28	25.00
61986	HK Bank - HSI R Bear CBBC May 2016 R	100,000,000	2015/08/31	2016/05/30	25.00
62008	HK Bank - HSI R Bear CBBC Jul 2016 E	100,000,000	2015/08/31	2016/07/28	25.00
61987	HK Bank - HSI R Bear CBBC Oct 2016 A	400,000,000	2015/08/31	2016/10/28	100.00
62216	HK Bank - HSI R Bear CBBC Oct 2016 B	100,000,000	2015/08/31	2016/10/28	25.00
62079	HK Bank - Ping An R Bull CBBC Nov 2016 H	188,000,000	2015/08/31	2016/11/28	47.00
62025	HK Bank - TCH R Bear CBBC Sep 2016 A	100,000,000	2015/08/31	2016/09/12	25.00
62254	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 I	100,000,000	2015/08/31	2016/07/28	25.00
62256	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2016 D	100,000,000	2015/08/31	2016/12/29	25.00
62266	J P Morgan SP BV - HSCEI R Bull CBBC Jan 2017 A	100,000,000	2015/08/31	2017/01/26	25.00
62949	J P Morgan SP BV - HSCEI R Bull CBBC Jan 2017 B	100,000,000	2015/08/31	2017/01/26	25.00
62950	J P Morgan SP BV - HSCEI R Bear CBBC Mar 2016 C	100,000,000	2015/08/31	2016/03/30	25.00
62953	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 M	100,000,000	2015/08/31	2016/04/28	25.00
62272	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 R	200,000,000	2015/08/31	2016/03/30	50.00
62922	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 T	200,000,000	2015/08/31	2016/03/30	50.00
62926	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 U	200,000,000	2015/08/31	2016/03/30	50.00
62287	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 Y	200,000,000	2015/08/31	2016/06/29	50.00

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62268	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 E	200,000,000	2015/08/31	2016/07/28	50.00
62923	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 F	200,000,000	2015/08/31	2016/07/28	50.00
62927	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 G	200,000,000	2015/08/31	2016/07/28	50.00
62932	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 H	200,000,000	2015/08/31	2016/07/28	50.00
62289	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 H	200,000,000	2015/08/31	2016/08/30	50.00
62928	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 I	200,000,000	2015/08/31	2016/08/30	50.00
62934	J P Morgan SP BV - HSI R Bull CBBC Jan 2017 B	200,000,000	2015/08/31	2017/01/26	50.00
62936	J P Morgan SP BV - HSI R Bull CBBC Feb 2017 A	200,000,000	2015/08/31	2017/02/27	60.00
62955	J P Morgan SP BV - HSI R Bull CBBC Mar 2017 A	200,000,000	2015/08/31	2017/03/30	84.00
62913	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/08/31	2015/12/30	50.00
62915	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/08/31	2016/01/28	50.00
62916	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 U	200,000,000	2015/08/31	2016/01/28	50.00
62918	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 L	200,000,000	2015/08/31	2016/02/26	50.00
62919	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 M	200,000,000	2015/08/31	2016/02/26	50.00
62920	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 N	200,000,000	2015/08/31	2016/02/26	50.00
62921	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 O	200,000,000	2015/08/31	2016/02/26	50.00
62386	Standard Chartered Bank - HSCEI R Bull CBBC Jul 2016 B	100,000,000	2015/08/31	2016/07/28	25.00
62387	Standard Chartered Bank - HSCEI R Bear CBBC Jan 2016 D	100,000,000	2015/08/31	2016/01/28	25.00
62209	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 M	100,000,000	2015/08/31	2016/06/29	25.00
62407	Standard Chartered Bank - HSI R Bull CBBC Jun 2016 N	150,000,000	2015/08/31	2016/06/29	37.50
62419	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 D	100,000,000	2015/08/31	2016/07/28	25.00
62482	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 E	150,000,000	2015/08/31	2016/07/28	37.50
62502	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 F	100,000,000	2015/08/31	2016/07/28	25.00
62503	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 K	100,000,000	2015/08/31	2016/01/28	25.00
62533	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 L	150,000,000	2015/08/31	2016/01/28	37.50
62534	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 M	100,000,000	2015/08/31	2016/01/28	25.00
62104	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC May16C	200,000,000	2015/08/31	2016/05/30	50.00
62348	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jun16A	200,000,000	2015/08/31	2016/06/29	64.00
62360	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jun16B	200,000,000	2015/08/31	2016/06/29	50.00
62362	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jun16C	200,000,000	2015/08/31	2016/06/29	50.00
62349	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Jul16A	200,000,000	2015/08/31	2016/07/28	56.00
62353	SGA Societe Generale Acceptance NV - HSCEI R Bull CBBC Aug16A	200,000,000	2015/08/31	2016/08/30	50.00
62364	SGA Societe Generale Acceptance NV - HSCEI R Bear CBBC Mar16A	200,000,000	2015/08/31	2016/03/30	50.00
62145	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 U	200,000,000	2015/08/31	2016/04/28	50.00
62148	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 V	200,000,000	2015/08/31	2016/04/28	50.00
62321	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 W	200,000,000	2015/08/31	2016/04/28	50.00
62323	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr16 X	200,000,000	2015/08/31	2016/04/28	50.00
62125	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 A	200,000,000	2015/08/31	2016/06/29	50.00
62150	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 B	200,000,000	2015/08/31	2016/06/29	50.00
62153	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 C	200,000,000	2015/08/31	2016/06/29	50.00
62159	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 D	200,000,000	2015/08/31	2016/06/29	50.00
62167	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 E	200,000,000	2015/08/31	2016/06/29	50.00
62312	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 F	200,000,000	2015/08/31	2016/06/29	50.00
62314	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 G	200,000,000	2015/08/31	2016/06/29	50.00
62315	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 H	200,000,000	2015/08/31	2016/06/29	50.00

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62124	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 A	400,000,000	2015/08/31	2016/07/28	100.00
62291	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 B	200,000,000	2015/08/31	2016/07/28	74.00
62298	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 C	200,000,000	2015/08/31	2016/07/28	62.00
62302	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 D	200,000,000	2015/08/31	2016/07/28	50.00
62310	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 E	200,000,000	2015/08/31	2016/07/28	50.00
62108	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 A	400,000,000	2015/08/31	2016/08/30	100.00
62294	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 B	200,000,000	2015/08/31	2016/08/30	66.00
62300	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 C	200,000,000	2015/08/31	2016/08/30	54.00
62309	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 D	200,000,000	2015/08/31	2016/08/30	50.00
62105	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 A	400,000,000	2015/08/31	2016/09/29	100.00
62292	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 B	200,000,000	2015/08/31	2016/09/29	70.00
62299	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 C	200,000,000	2015/08/31	2016/09/29	58.00
62308	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 D	200,000,000	2015/08/31	2016/09/29	50.00
62329	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 K	200,000,000	2015/08/31	2016/03/30	50.00
62330	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 L	200,000,000	2015/08/31	2016/03/30	50.00
62331	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 M	200,000,000	2015/08/31	2016/03/30	50.00
62342	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 N	200,000,000	2015/08/31	2016/03/30	50.00
62343	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 O	200,000,000	2015/08/31	2016/03/30	50.00
62344	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 E	400,000,000	2015/08/31	2016/04/28	100.00
62347	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 F	400,000,000	2015/08/31	2016/04/28	100.00
62345	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 A	400,000,000	2015/08/31	2016/05/30	100.00
62912	UBS AG - CAM CSI300 R Bull CBBC Oct 2016 B	100,000,000	2015/08/31	2016/10/31	25.00
62894	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2016 C	50,000,000	2015/08/31	2016/04/18	12.50
62898	UBS AG - CSOP A50 ETF R Bull CBBC May 2016 A	50,000,000	2015/08/31	2016/05/09	12.50
62902	UBS AG - A50 China R Bull CBBC Apr 2016 B	50,000,000	2015/08/31	2016/04/11	15.00
62900	UBS AG - A50 China R Bull CBBC May 2016 A	50,000,000	2015/08/31	2016/05/09	12.50
62886	UBS AG - HKEx R Bull CBBC Oct 2016 C	100,000,000	2015/08/31	2016/10/03	36.00
62845	UBS AG - HSCEI R Bull CBBC Sep 2016 B	200,000,000	2015/08/31	2016/09/29	50.00
62855	UBS AG - HSCEI R Bull CBBC Oct 2016 B	200,000,000	2015/08/31	2016/10/28	50.00
62883	UBS AG - HSCEI R Bear CBBC Dec 2015 D	200,000,000	2015/08/31	2015/12/30	50.00
62885	UBS AG - HSCEI R Bear CBBC Dec 2015 E	200,000,000	2015/08/31	2015/12/30	50.00
62801	UBS AG - HSI R Bull CBBC Apr 2016 J	200,000,000	2015/08/31	2016/04/28	50.00
62804	UBS AG - HSI R Bull CBBC Apr 2016 K	200,000,000	2015/08/31	2016/04/28	50.00
62807	UBS AG - HSI R Bull CBBC May 2016 V	200,000,000	2015/08/31	2016/05/30	50.00
62805	UBS AG - HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/08/31	2016/06/29	50.00
62821	UBS AG - HSI R Bull CBBC Jun 2016 R	200,000,000	2015/08/31	2016/06/29	50.00
62808	UBS AG - HSI R Bull CBBC Jul 2016 S	200,000,000	2015/08/31	2016/07/28	50.00
62835	UBS AG - HSI R Bull CBBC Jul 2016 T	200,000,000	2015/08/31	2016/07/28	97.00
62837	UBS AG - HSI R Bull CBBC Jul 2016 U	200,000,000	2015/08/31	2016/07/28	61.00
62843	UBS AG - HSI R Bull CBBC Jul 2016 V	200,000,000	2015/08/31	2016/07/28	50.00
62834	UBS AG - HSI R Bull CBBC Aug 2016 J	200,000,000	2015/08/31	2016/08/30	69.00
62822	UBS AG - HSI R Bull CBBC Sep 2016 F	200,000,000	2015/08/31	2016/09/29	50.00
62830	UBS AG - HSI R Bull CBBC Sep 2016 G	200,000,000	2015/08/31	2016/09/29	61.00
62829	UBS AG - HSI R Bull CBBC Oct 2016 D	200,000,000	2015/08/31	2016/10/28	55.00
62839	UBS AG - HSI R Bull CBBC Oct 2016 E	200,000,000	2015/08/31	2016/10/28	97.00

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62840	UBS AG - HSI R Bull CBBC Oct 2016 F	200,000,000	2015/08/31	2016/10/28	108.00
62841	UBS AG - HSI R Bull CBBC Oct 2016 G	200,000,000	2015/08/31	2016/10/28	154.00
62836	UBS AG - HSI R Bull CBBC Nov 2016 A	200,000,000	2015/08/31	2016/11/29	55.00
62838	UBS AG - HSI R Bull CBBC Nov 2016 B	200,000,000	2015/08/31	2016/11/29	69.00
62875	UBS AG - HSI R Bear CBBC Jan 2016 C	200,000,000	2015/08/31	2016/01/28	50.00
62881	UBS AG - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/08/31	2016/01/28	50.00
62868	UBS AG - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/08/31	2016/01/28	50.00
62870	UBS AG - HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/08/31	2016/01/28	50.00
62869	UBS AG - HSI R Bear CBBC Feb 2016 U	200,000,000	2015/08/31	2016/02/26	50.00
62880	UBS AG - HSI R Bear CBBC Feb 2016 V	200,000,000	2015/08/31	2016/02/26	50.00
62882	UBS AG - HSI R Bear CBBC Feb 2016 W	200,000,000	2015/08/31	2016/02/26	50.00
62904	UBS AG - HSI R Bear CBBC Feb 2016 X	200,000,000	2015/08/31	2016/02/26	50.00
62906	UBS AG - Ping An R Bull CBBC Oct 2016 C	100,000,000	2015/08/31	2016/10/31	25.00
62907	UBS AG - Ping An R Bull CBBC Nov 2016 B	100,000,000	2015/08/31	2016/11/07	25.00
62893	UBS AG - TCH R Bull CBBC Feb 2016 A	50,000,000	2015/08/31	2016/02/15	12.50
63151	BNP Paribas Arbit Issu B.V. - CAM CSI300 R Bull CBBC Sep16 C	100,000,000	2015/09/01	2016/09/30	25.00
63142	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Mar16E	100,000,000	2015/09/01	2016/03/31	25.00
63149	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Mar 2016 D	100,000,000	2015/09/01	2016/03/31	25.00
63154	BNP Paribas Arbit Issu B.V. - HS H ETF R Bull CBBC Jan 2016H	60,000,000	2015/09/01	2016/01/29	15.00
63140	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2016 D	100,000,000	2015/09/01	2016/11/29	25.00
63122	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 B	250,000,000	2015/09/01	2016/11/29	62.50
63127	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 C	250,000,000	2015/09/01	2016/11/29	62.50
63112	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 H	250,000,000	2015/09/01	2016/12/29	97.50
63116	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 I	250,000,000	2015/09/01	2016/12/29	77.50
63128	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 K	250,000,000	2015/09/01	2016/12/29	62.50
63117	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 L	250,000,000	2015/09/01	2016/12/29	62.50
63129	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 O	200,000,000	2015/09/01	2016/04/28	50.00
63137	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 P	200,000,000	2015/09/01	2016/04/28	50.00
63138	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/09/01	2016/04/28	50.00
63156	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Mar 2016 B	68,000,000	2015/09/01	2016/03/31	17.00
63170	Credit Suisse AG - CSOP A50 ETF R Bull CBBC May 2016 A	100,000,000	2015/09/01	2016/05/31	28.00
63172	Credit Suisse AG - A50 China R Bull CBBC May 2016 A	100,000,000	2015/09/01	2016/05/31	27.00
63174	Credit Suisse AG - HS H-SH ETF R Bull CBBC May 2016 A	80,000,000	2015/09/01	2016/05/31	20.00
63183	Credit Suisse AG - HSI R Bull CBBC Aug 2016 J	200,000,000	2015/09/01	2016/08/30	50.00
63189	Credit Suisse AG - HSI R Bull CBBC Aug 2016 K	200,000,000	2015/09/01	2016/08/30	50.00
63175	Credit Suisse AG - HSI R Bull CBBC Sep 2016 G	200,000,000	2015/09/01	2016/09/29	66.00
63179	Credit Suisse AG - HSI R Bull CBBC Sep 2016 H	200,000,000	2015/09/01	2016/09/29	58.00
63180	Credit Suisse AG - HSI R Bull CBBC Sep 2016 I	200,000,000	2015/09/01	2016/09/29	50.00
63195	Credit Suisse AG - HSI R Bull CBBC Sep 2016 J	200,000,000	2015/09/01	2016/09/29	50.00
63196	Credit Suisse AG - HSI R Bull CBBC Sep 2016 K	200,000,000	2015/09/01	2016/09/29	50.00
63198	Credit Suisse AG - HSI R Bull CBBC Sep 2016 L	200,000,000	2015/09/01	2016/09/29	50.00
63182	Credit Suisse AG - HSI R Bull CBBC Oct 2016 M	200,000,000	2015/09/01	2016/10/28	50.00
63193	Credit Suisse AG - HSI R Bull CBBC Oct 2016 N	200,000,000	2015/09/01	2016/10/28	50.00
63159	Credit Suisse AG - HSI R Bear CBBC Mar 2016 S	200,000,000	2015/09/01	2016/03/30	50.00
63166	Credit Suisse AG - HSI R Bear CBBC Mar 2016 T	200,000,000	2015/09/01	2016/03/30	50.00

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63165	Credit Suisse AG - HSI R Bear CBBC Apr 2016 O	200,000,000	2015/09/01	2016/04/28	50.00
63160	Credit Suisse AG - HSI R Bear CBBC May 2016 E	200,000,000	2015/09/01	2016/05/30	50.00
63162	Credit Suisse AG - HSI R Bear CBBC May 2016 F	200,000,000	2015/09/01	2016/05/30	50.00
63167	Credit Suisse AG - HSI R Bear CBBC May 2016 G	200,000,000	2015/09/01	2016/05/30	50.00
63168	Credit Suisse AG - HSI R Bear CBBC May 2016 H	200,000,000	2015/09/01	2016/05/30	50.00
63169	Credit Suisse AG - HSI R Bear CBBC May 2016 I	200,000,000	2015/09/01	2016/05/30	50.00
62987	HK Bank - AIA R Bull CBBC Sep 2016 D	60,000,000	2015/09/01	2016/09/26	15.00
62958	HK Bank - CAM CSI300 R Bull CBBC Oct 2016 C	100,000,000	2015/09/01	2016/10/17	25.00
62959	HK Bank - CAM CSI300 R Bull CBBC Nov 2016 A	100,000,000	2015/09/01	2016/11/28	25.00
62965	HK Bank - BOCL R Bull CBBC Dec 2016 B	100,000,000	2015/09/01	2016/12/12	25.00
63002	HK Bank - China Mobile R Bull CBBC Dec 2016 A	120,000,000	2015/09/01	2016/12/12	38.40
62992	HK Bank - CC Bank R Bull CBBC Dec 2016 C	100,000,000	2015/09/01	2016/12/12	25.00
62989	HK Bank - CITIC Sec R Bull CBBC Jul 2016 C	60,000,000	2015/09/01	2016/07/25	33.60
62995	HK Bank - CM Bank R Bull CBBC Dec 2016 A	40,000,000	2015/09/01	2016/12/12	20.40
62986	HK Bank - CNOOC R Bull CBBC Dec 2016 B	60,000,000	2015/09/01	2016/12/12	15.00
62962	HK Bank - Sinopec Corp R Bull CBBC Dec 2016 B	80,000,000	2015/09/01	2016/12/12	20.00
62996	HK Bank - HS H-SH ETF R Bull CBBC Dec 2016 A	100,000,000	2015/09/01	2016/12/30	25.00
62990	HK Bank - Haitong Sec R Bull CBBC Oct 2016 B	60,000,000	2015/09/01	2016/10/31	22.20
62981	HK Bank - TCH R Bull CBBC Apr 2016 E	100,000,000	2015/09/01	2016/04/18	25.00
62983	HK Bank - TCH R Bull CBBC Sep 2016 A	100,000,000	2015/09/01	2016/09/26	31.00
62984	HK Bank - TCH R Bull CBBC Oct 2016 A	100,000,000	2015/09/01	2016/10/17	39.00
62979	HK Bank - TCH R Bear CBBC Sep 2016 B	100,000,000	2015/09/01	2016/09/26	25.00
62978	HK Bank - TCH R Bear CBBC Oct 2016 A	100,000,000	2015/09/01	2016/10/17	25.00
63044	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 V	200,000,000	2015/09/01	2016/03/30	50.00
63046	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 W	200,000,000	2015/09/01	2016/04/28	50.00
63057	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 X	200,000,000	2015/09/01	2016/04/28	50.00
63054	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 I	200,000,000	2015/09/01	2016/07/28	50.00
63066	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 E	200,000,000	2015/09/01	2016/10/28	50.00
63070	J P Morgan SP BV - HSI R Bull CBBC Feb 2017 B	200,000,000	2015/09/01	2017/02/27	56.00
63071	J P Morgan SP BV - HSI R Bull CBBC Mar 2017 B	200,000,000	2015/09/01	2017/03/30	76.00
63090	J P Morgan SP BV - HSI R Bull CBBC Apr 2017 A	200,000,000	2015/09/01	2017/04/27	100.00
63092	J P Morgan SP BV - HSI R Bull CBBC May 2017 A	200,000,000	2015/09/01	2017/05/29	120.00
63094	J P Morgan SP BV - HSI R Bull CBBC Jun 2017 A	200,000,000	2015/09/01	2017/06/29	140.00
63045	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 A	200,000,000	2015/09/01	2017/09/28	50.00
63039	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/09/01	2016/01/28	50.00
63040	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/09/01	2016/01/28	50.00
63041	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 P	200,000,000	2015/09/01	2016/02/26	50.00
63043	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/09/01	2016/02/26	50.00
63203	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 R	200,000,000	2015/09/01	2016/02/26	50.00
63204	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 S	200,000,000	2015/09/01	2016/02/26	50.00
63016	Standard Chartered Bank - CAM CSI300 R Bull CBBC Aug 2016 C	50,000,000	2015/09/01	2016/08/22	18.50
63011	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Jun 2016B	50,000,000	2015/09/01	2016/06/20	12.50
63012	Standard Chartered Bank - A50 China R Bull CBBC Jun 2016 C	50,000,000	2015/09/01	2016/06/13	12.50
63157	Standard Chartered Bank - HKEx R Bull CBBC Jul 2016 A	50,000,000	2015/09/01	2016/07/04	13.75
63005	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 G	100,000,000	2015/09/01	2016/07/28	25.00

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63006	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 H	100,000,000	2015/09/01	2016/07/28	25.00
63007	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 D	100,000,000	2015/09/01	2016/08/30	25.00
63010	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 E	100,000,000	2015/09/01	2016/08/30	34.00
63003	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 N	100,000,000	2015/09/01	2016/01/28	25.00
63004	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 A	150,000,000	2015/09/01	2016/02/26	37.50
63017	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 I	200,000,000	2015/09/01	2016/06/29	50.00
63024	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 F	200,000,000	2015/09/01	2016/07/28	50.00
63110	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 G	200,000,000	2015/09/01	2016/07/28	50.00
63111	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 H	200,000,000	2015/09/01	2016/07/28	50.00
63023	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 E	200,000,000	2015/09/01	2016/08/30	50.00
63018	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 E	200,000,000	2015/09/01	2016/09/29	50.00
63107	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Oct16 A	400,000,000	2015/09/01	2016/10/28	100.00
63105	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Nov16 A	400,000,000	2015/09/01	2016/11/29	100.00
63103	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Dec16 A	400,000,000	2015/09/01	2016/12/29	100.00
63099	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb17 A	400,000,000	2015/09/01	2017/02/27	120.00
63101	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb17 B	400,000,000	2015/09/01	2017/02/27	104.00
63098	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar17 A	400,000,000	2015/09/01	2017/03/30	128.00
63100	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar17 B	400,000,000	2015/09/01	2017/03/30	112.00
63026	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb16 G	200,000,000	2015/09/01	2016/02/26	50.00
63029	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 G	200,000,000	2015/09/01	2016/04/28	50.00
63034	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 H	200,000,000	2015/09/01	2016/04/28	50.00
63037	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 I	200,000,000	2015/09/01	2016/04/28	50.00
63328	UBS AG - China Mobile R Bull CBBC Oct 2016 B	50,000,000	2015/09/01	2016/10/17	12.50
63329	UBS AG - CSOP A50 ETF R Bull CBBC Apr 2016 D	50,000,000	2015/09/01	2016/04/11	16.50
63330	UBS AG - A50 China R Bull CBBC Apr 2016 C	50,000,000	2015/09/01	2016/04/25	12.50
63320	UBS AG - HKEx R Bull CBBC Dec 2016 A	100,000,000	2015/09/01	2016/12/05	27.50
63282	UBS AG - HSI R Bull CBBC Jun 2016 C	200,000,000	2015/09/01	2016/06/29	50.00
63273	UBS AG - HSI R Bull CBBC Jun 2016 U	200,000,000	2015/09/01	2016/06/29	50.00
63283	UBS AG - HSI R Bull CBBC Jul 2016 W	200,000,000	2015/09/01	2016/07/28	50.00
63298	UBS AG - HSI R Bull CBBC Jul 2016 X	200,000,000	2015/09/01	2016/07/28	50.00
63299	UBS AG - HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/09/01	2016/07/28	55.00
63278	UBS AG - HSI R Bull CBBC Aug 2016 K	200,000,000	2015/09/01	2016/08/30	50.00
63281	UBS AG - HSI R Bull CBBC Aug 2016 L	200,000,000	2015/09/01	2016/08/30	50.00
63303	UBS AG - HSI R Bull CBBC Aug 2016 M	200,000,000	2015/09/01	2016/08/30	65.00
63313	UBS AG - HSI R Bull CBBC Aug 2016 N	200,000,000	2015/09/01	2016/08/30	75.00
63280	UBS AG - HSI R Bull CBBC Sep 2016 H	200,000,000	2015/09/01	2016/09/29	50.00
63295	UBS AG - HSI R Bull CBBC Sep 2016 I	200,000,000	2015/09/01	2016/09/29	50.00
63302	UBS AG - HSI R Bull CBBC Oct 2016 H	200,000,000	2015/09/01	2016/10/28	59.00
63312	UBS AG - HSI R Bull CBBC Oct 2016 I	200,000,000	2015/09/01	2016/10/28	69.00
63318	UBS AG - HSI R Bull CBBC Nov 2016 C	200,000,000	2015/09/01	2016/11/29	85.00
63319	UBS AG - HSI R Bull CBBC Nov 2016 D	200,000,000	2015/09/01	2016/11/29	102.00
63252	UBS AG - HSI R Bear CBBC Jan 2016 A	200,000,000	2015/09/01	2016/01/28	50.00
63255	UBS AG - HSI R Bear CBBC Jan 2016 D	200,000,000	2015/09/01	2016/01/28	50.00
63213	UBS AG - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/09/01	2016/01/28	50.00
63259	UBS AG - HSI R Bear CBBC Feb 2016 A	200,000,000	2015/09/01	2016/02/26	50.00

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63264	UBS AG - HSI R Bear CBBC Feb 2016 B	200,000,000	2015/09/01	2016/02/26	50.00
63215	UBS AG - HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/09/01	2016/02/26	50.00
63254	UBS AG - HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/09/01	2016/02/26	50.00
63325	UBS AG - TCH R Bull CBBC Aug 2016 B	50,000,000	2015/09/01	2016/08/15	12.50
63562	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2016 E	100,000,000	2015/09/02	2016/11/29	25.00
63544	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 D	250,000,000	2015/09/02	2016/11/29	62.50
63545	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 E	250,000,000	2015/09/02	2016/11/29	62.50
63547	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 M	250,000,000	2015/09/02	2016/12/29	62.50
63549	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 W	200,000,000	2015/09/02	2016/03/30	50.00
63550	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 X	200,000,000	2015/09/02	2016/03/30	50.00
63559	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 R	200,000,000	2015/09/02	2016/04/28	50.00
63442	Credit Suisse AG - CAM CSI300 R Bull CBBC May 2016 A	80,000,000	2015/09/02	2016/05/31	20.00
63445	Credit Suisse AG - HSI R Bull CBBC Aug 2016 L	200,000,000	2015/09/02	2016/08/30	50.00
63444	Credit Suisse AG - HSI R Bull CBBC Sep 2016 M	200,000,000	2015/09/02	2016/09/29	50.00
63446	Credit Suisse AG - HSI R Bull CBBC Sep 2016 N	200,000,000	2015/09/02	2016/09/29	50.00
63456	Credit Suisse AG - HSI R Bull CBBC Sep 2016 O	200,000,000	2015/09/02	2016/09/29	70.00
63457	Credit Suisse AG - HSI R Bull CBBC Nov 2016 I	200,000,000	2015/09/02	2016/11/29	76.00
63447	Credit Suisse AG - HSI R Bull CBBC Dec 2016 E	200,000,000	2015/09/02	2016/12/29	92.00
63461	Credit Suisse AG - HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/09/02	2016/04/28	50.00
63484	Credit Suisse AG - HSI R Bear CBBC Apr 2016 R	200,000,000	2015/09/02	2016/04/28	50.00
63505	Credit Suisse AG - HSI R Bear CBBC Apr 2016 S	200,000,000	2015/09/02	2016/04/28	50.00
63463	Credit Suisse AG - HSI R Bear CBBC May 2016 J	200,000,000	2015/09/02	2016/05/30	50.00
63474	Credit Suisse AG - HSI R Bear CBBC Jun 2016 A	200,000,000	2015/09/02	2016/06/29	50.00
63480	Credit Suisse AG - HSI R Bear CBBC Jun 2016 B	200,000,000	2015/09/02	2016/06/29	50.00
63509	Credit Suisse AG - HSI R Bear CBBC Jun 2016 C	200,000,000	2015/09/02	2016/06/29	50.00
63502	Credit Suisse AG - HSI R Bear CBBC Jul 2016 A	200,000,000	2015/09/02	2016/07/28	50.00
63380	HK Bank - CAM CSI300 R Bull CBBC Dec 2016 A	100,000,000	2015/09/02	2016/12/21	126.00
63387	HK Bank - China Mobile R Bear CBBC Nov 2016 A	60,000,000	2015/09/02	2016/11/29	15.00
63395	HK Bank - CNOOC R Bull CBBC Dec 2016 C	60,000,000	2015/09/02	2016/12/05	16.80
63372	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 D	100,000,000	2015/09/02	2016/07/25	25.00
63371	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2016 E	100,000,000	2015/09/02	2016/08/08	26.00
63373	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2016 F	100,000,000	2015/09/02	2016/08/15	25.00
63374	HK Bank - A50 R Bull CBBC Oct 2016 A	100,000,000	2015/09/02	2016/10/24	25.00
63375	HK Bank - A50 R Bull CBBC Dec 2016 B	100,000,000	2015/09/02	2016/12/29	26.00
63403	HK Bank - A50 R Bear CBBC Jul 2016 B	50,000,000	2015/09/02	2016/07/18	12.50
63390	HK Bank - HKEx R Bull CBBC Oct 2016 G	100,000,000	2015/09/02	2016/10/17	51.00
63392	HK Bank - HKEx R Bull CBBC Oct 2016 H	100,000,000	2015/09/02	2016/10/12	36.00
63391	HK Bank - HKEx R Bear CBBC Jul 2016 F	50,000,000	2015/09/02	2016/07/11	12.50
63369	HK Bank - HSCEI R Bull CBBC Nov 2016 D	100,000,000	2015/09/02	2016/11/29	29.00
63370	HK Bank - HSCEI R Bull CBBC Dec 2016 C	100,000,000	2015/09/02	2016/12/29	35.00
63356	HK Bank - HSCEI R Bear CBBC Sep 2016 A	68,000,000	2015/09/02	2016/09/29	17.00
63359	HK Bank - HSI R Bull CBBC Jul 2016 U	150,000,000	2015/09/02	2016/07/28	37.50
63345	HK Bank - HSI R Bull CBBC Aug 2016 K	400,000,000	2015/09/02	2016/08/30	100.00
63363	HK Bank - HSI R Bull CBBC Aug 2016 L	150,000,000	2015/09/02	2016/08/30	37.50
63336	HK Bank - HSI R Bull CBBC Sep 2016 J	150,000,000	2015/09/02	2016/09/29	37.50

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63340	HK Bank - HSI R Bull CBBC Sep 2016 K	150,000,000	2015/09/02	2016/09/29	37.50
63346	HK Bank - HSI R Bull CBBC Nov 2016 G	400,000,000	2015/09/02	2016/11/29	100.00
63341	HK Bank - HSI R Bull CBBC Dec 2016 H	400,000,000	2015/09/02	2016/12/29	124.00
63355	HK Bank - HSI R Bear CBBC Sep 2016 C	100,000,000	2015/09/02	2016/09/29	25.00
63351	HK Bank - HSI R Bear CBBC Oct 2016 C	100,000,000	2015/09/02	2016/10/28	25.00
63376	HK Bank - Ping An R Bull CBBC Nov 2016 I	188,000,000	2015/09/02	2016/11/21	47.00
63364	HK Bank - Ping An R Bull CBBC Dec 2016 C	188,000,000	2015/09/02	2016/12/05	47.00
63365	HK Bank - Ping An R Bear CBBC Oct 2016 A	40,000,000	2015/09/02	2016/10/31	10.00
63589	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC May 2016 C	100,000,000	2015/09/02	2016/05/20	25.00
63590	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jun 2016 E	100,000,000	2015/09/02	2016/06/17	25.00
63616	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2016 C	100,000,000	2015/09/02	2016/07/15	25.00
63636	J P Morgan SP BV - A50 China R Bull CBBC Apr 2016 B	100,000,000	2015/09/02	2016/04/15	25.00
63637	J P Morgan SP BV - A50 China R Bull CBBC May 2016 D	100,000,000	2015/09/02	2016/05/20	25.00
63646	J P Morgan SP BV - A50 China R Bull CBBC Jun 2016 C	100,000,000	2015/09/02	2016/06/17	25.00
63650	J P Morgan SP BV - A50 China R Bear CBBC Jul 2016 B	100,000,000	2015/09/02	2016/07/15	25.00
63652	J P Morgan SP BV - A50 China R Bear CBBC Aug 2016 B	100,000,000	2015/09/02	2016/08/12	25.00
63657	J P Morgan SP BV - HKEx R Bull CBBC Nov 2016 C	100,000,000	2015/09/02	2016/11/18	25.00
63669	J P Morgan SP BV - HKEx R Bull CBBC Dec 2016 B	100,000,000	2015/09/02	2016/12/16	25.00
63485	J P Morgan SP BV - HSCEI R Bull CBBC Aug 2016 E	100,000,000	2015/09/02	2016/08/30	25.00
63492	J P Morgan SP BV - HSCEI R Bull CBBC Mar 2017 A	100,000,000	2015/09/02	2017/03/30	25.00
63497	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 N	100,000,000	2015/09/02	2016/04/28	25.00
63425	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 W	200,000,000	2015/09/02	2016/03/30	50.00
63424	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 Y	200,000,000	2015/09/02	2016/04/28	50.00
63428	J P Morgan SP BV - HSI R Bull CBBC May 2016 O	200,000,000	2015/09/02	2016/05/30	50.00
63429	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 J	200,000,000	2015/09/02	2016/08/30	50.00
63434	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 F	200,000,000	2015/09/02	2016/10/28	50.00
63435	J P Morgan SP BV - HSI R Bull CBBC Dec 2016 D	200,000,000	2015/09/02	2016/12/29	50.00
63436	J P Morgan SP BV - HSI R Bull CBBC Jul 2017 A	200,000,000	2015/09/02	2017/07/28	110.00
63691	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/09/02	2016/01/28	50.00
63700	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/09/02	2016/01/28	50.00
63706	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/09/02	2016/01/28	50.00
63720	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 T	200,000,000	2015/09/02	2016/02/26	50.00
63725	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 U	200,000,000	2015/09/02	2016/02/26	50.00
63679	J P Morgan SP BV - Ping An R Bull CBBC Nov 2016 A	100,000,000	2015/09/02	2016/11/18	25.00
63653	J P Morgan SP BV - Tencent R Bull CBBC Oct 2016 A	100,000,000	2015/09/02	2016/10/14	25.00
63680	J P Morgan SP BV - Tencent R Bull CBBC Nov 2016 A	100,000,000	2015/09/02	2016/11/18	25.00
63654	J P Morgan SP BV - Tencent R Bear CBBC Jul 2016 A	100,000,000	2015/09/02	2016/07/15	25.00
63404	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 I	150,000,000	2015/09/02	2016/07/28	37.50
63408	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 J	100,000,000	2015/09/02	2016/07/28	25.00
63415	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 K	150,000,000	2015/09/02	2016/07/28	37.50
63417	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 F	150,000,000	2015/09/02	2016/08/30	37.50
63422	Standard Chartered Bank - HSI R Bear CBBC Jan 2016 O	100,000,000	2015/09/02	2016/01/28	25.00
63450	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 J	200,000,000	2015/09/02	2016/06/29	50.00
63451	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 J	200,000,000	2015/09/02	2016/04/28	50.00
63453	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 K	200,000,000	2015/09/02	2016/04/28	50.00

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63454	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 L	200,000,000	2015/09/02	2016/04/28	50.00
63518	UBS AG - HSI R Bull CBBC Jun 2016 V	200,000,000	2015/09/02	2016/06/29	50.00
63539	UBS AG - HSI R Bull CBBC Jun 2016 W	200,000,000	2015/09/02	2016/06/29	50.00
63513	UBS AG - HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/09/02	2016/07/28	50.00
63511	UBS AG - HSI R Bull CBBC Sep 2016 J	200,000,000	2015/09/02	2016/09/29	50.00
63536	UBS AG - HSI R Bull CBBC Sep 2016 K	200,000,000	2015/09/02	2016/09/29	50.00
63540	UBS AG - HSI R Bear CBBC Mar 2016 C	200,000,000	2015/09/02	2016/03/30	50.00
63541	UBS AG - HSI R Bear CBBC Mar 2016 D	200,000,000	2015/09/02	2016/03/30	50.00
63542	UBS AG - HSI R Bear CBBC Mar 2016 E	200,000,000	2015/09/02	2016/03/30	50.00
63543	UBS AG - HSI R Bear CBBC Mar 2016 F	200,000,000	2015/09/02	2016/03/30	50.00
63959	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Mar16F	100,000,000	2015/09/04	2016/03/31	25.00
63970	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Mar 2016 E	100,000,000	2015/09/04	2016/03/31	25.00
63934	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2016 D	100,000,000	2015/09/04	2016/12/29	25.00
63940	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2016 E	100,000,000	2015/09/04	2016/04/28	25.00
63925	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 F	250,000,000	2015/09/04	2016/11/29	62.50
63918	Credit Suisse AG - HSI R Bull CBBC Feb 2016 O	200,000,000	2015/09/04	2016/02/26	50.00
63917	Credit Suisse AG - HSI R Bull CBBC Mar 2016 R	200,000,000	2015/09/04	2016/03/30	50.00
63914	Credit Suisse AG - HSI R Bull CBBC Apr 2016 X	200,000,000	2015/09/04	2016/04/28	50.00
63881	Credit Suisse AG - HSI R Bear CBBC Mar 2016 U	200,000,000	2015/09/04	2016/03/30	50.00
63882	Credit Suisse AG - HSI R Bear CBBC Mar 2016 V	200,000,000	2015/09/04	2016/03/30	50.00
63877	Credit Suisse AG - HSI R Bear CBBC May 2016 K	200,000,000	2015/09/04	2016/05/30	50.00
63879	Credit Suisse AG - HSI R Bear CBBC May 2016 L	200,000,000	2015/09/04	2016/05/30	50.00
63890	Credit Suisse AG - HSI R Bear CBBC May 2016 M	200,000,000	2015/09/04	2016/05/30	50.00
63875	Bank of East Asia - China Comm Constr R Bull CBBC Dec 2016 A	40,000,000	2015/09/04	2016/12/16	10.00
63857	Bank of East Asia - CKH R Bull CBBC Dec 2016 A	40,000,000	2015/09/04	2016/12/30	10.00
63872	Bank of East Asia - Sinopec Corp R Bull CBBC Dec 2016 A	40,000,000	2015/09/04	2016/12/30	10.00
63874	Bank of East Asia - Haitong Sec R Bull CBBC Dec 2016 E	30,000,000	2015/09/04	2016/12/08	10.50
63873	Bank of East Asia - PetCh R Bull CBBC Dec 2016 A	40,000,000	2015/09/04	2016/12/30	10.00
63776	HK Bank - AIA R Bull CBBC Sep 2016 E	60,000,000	2015/09/04	2016/09/26	19.80
63794	HK Bank - China Life R Bull CBBC Aug 2016 B	60,000,000	2015/09/04	2016/08/29	23.40
63768	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2016 E	100,000,000	2015/09/04	2016/06/13	25.00
63795	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2016 F	100,000,000	2015/09/04	2016/06/27	25.00
63796	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2016 D	40,000,000	2015/09/04	2016/08/01	10.00
63798	HK Bank - A50 R Bull CBBC Oct 2016 B	100,000,000	2015/09/04	2016/10/24	32.00
63812	HK Bank - A50 R Bull CBBC Oct 2016 C	100,000,000	2015/09/04	2016/10/17	25.00
63762	HK Bank - HSCEI R Bull CBBC Oct 2016 F	100,000,000	2015/09/04	2016/10/28	31.00
63752	HK Bank - HSI R Bull CBBC Sep 2016 L	150,000,000	2015/09/04	2016/09/29	37.50
63751	HK Bank - HSI R Bull CBBC Oct 2016 G	150,000,000	2015/09/04	2016/10/28	37.50
63753	HK Bank - HSI R Bull CBBC Oct 2016 H	150,000,000	2015/09/04	2016/10/28	37.50
63737	HK Bank - HSI R Bear CBBC Aug 2016 E	150,000,000	2015/09/04	2016/08/30	37.50
63775	HK Bank - PetCh R Bull CBBC Dec 2016 B	60,000,000	2015/09/04	2016/12/12	15.00
63781	HK Bank - Ping An R Bear CBBC Oct 2016 B	40,000,000	2015/09/04	2016/10/31	10.00
63830	HK Bank - TCH R Bull CBBC Jun 2016 G	100,000,000	2015/09/04	2016/06/27	25.00
64147	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Apr 2016 E	100,000,000	2015/09/04	2016/04/15	25.00
64150	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Aug 2016 C	100,000,000	2015/09/04	2016/08/19	25.00

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64151	J P Morgan SP BV - A50 China R Bull CBBC Jul 2016 C	100,000,000	2015/09/04	2016/07/15	25.00
64096	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 D	200,000,000	2015/09/04	2016/03/30	50.00
64112	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 X	200,000,000	2015/09/04	2016/03/30	50.00
64119	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 J	200,000,000	2015/09/04	2016/07/28	50.00
64146	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 F	200,000,000	2015/09/04	2016/09/29	50.00
64138	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 E	200,000,000	2015/09/04	2016/11/29	50.00
63851	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/09/04	2016/01/28	50.00
64075	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 F	200,000,000	2015/09/04	2016/01/28	50.00
64074	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/09/04	2016/01/28	50.00
64079	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 H	200,000,000	2015/09/04	2016/01/28	50.00
63849	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 V	200,000,000	2015/09/04	2016/02/26	50.00
63850	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 W	200,000,000	2015/09/04	2016/02/26	50.00
64082	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 X	200,000,000	2015/09/04	2016/02/26	50.00
64169	J P Morgan SP BV - Ping An R Bull CBBC Sep 2016 B	100,000,000	2015/09/04	2016/09/15	25.00
64164	J P Morgan SP BV - Ping An R Bull CBBC Oct 2016 C	100,000,000	2015/09/04	2016/10/14	25.00
64162	J P Morgan SP BV - Tencent R Bull CBBC Jul 2016 D	100,000,000	2015/09/04	2016/07/15	25.00
63846	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 L	100,000,000	2015/09/04	2016/07/28	25.00
63852	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 M	150,000,000	2015/09/04	2016/07/28	37.50
63847	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 G	100,000,000	2015/09/04	2016/08/30	25.00
63831	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 B	100,000,000	2015/09/04	2016/02/26	25.00
63833	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 C	150,000,000	2015/09/04	2016/02/26	37.50
63853	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 Y	200,000,000	2015/09/04	2016/05/30	50.00
63921	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 Z	200,000,000	2015/09/04	2016/05/30	50.00
63854	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 B	200,000,000	2015/09/04	2016/05/30	50.00
63855	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 C	200,000,000	2015/09/04	2016/05/30	50.00
64071	UBS AG - CAM CSI300 R Bull CBBC Sep 2016 B	100,000,000	2015/09/04	2016/09/12	25.00
64063	UBS AG - CSOP A50 ETF R Bull CBBC Mar 2016 D	50,000,000	2015/09/04	2016/03/14	12.50
64070	UBS AG - A50 China R Bull CBBC Mar 2016 F	50,000,000	2015/09/04	2016/03/21	13.50
64045	UBS AG - HSI R Bull CBBC May 2016 W	200,000,000	2015/09/04	2016/05/30	50.00
64021	UBS AG - HSI R Bull CBBC Jun 2016 M	200,000,000	2015/09/04	2016/06/29	50.00
64061	UBS AG - HSI R Bull CBBC Jul 2016 F	200,000,000	2015/09/04	2016/07/28	50.00
63990	UBS AG - HSI R Bull CBBC Jul 2016 J	200,000,000	2015/09/04	2016/07/28	50.00
63992	UBS AG - HSI R Bull CBBC Jul 2016 K	200,000,000	2015/09/04	2016/07/28	50.00
64020	UBS AG - HSI R Bull CBBC Jul 2016 M	200,000,000	2015/09/04	2016/07/28	50.00
63998	UBS AG - HSI R Bull CBBC Aug 2016 O	200,000,000	2015/09/04	2016/08/30	50.00
63971	UBS AG - HSI R Bear CBBC Mar 2016 G	200,000,000	2015/09/04	2016/03/30	50.00
63972	UBS AG - HSI R Bear CBBC Mar 2016 H	200,000,000	2015/09/04	2016/03/30	50.00
63977	UBS AG - HSI R Bear CBBC Mar 2016 I	200,000,000	2015/09/04	2016/03/30	50.00
63988	UBS AG - HSI R Bear CBBC Mar 2016 J	200,000,000	2015/09/04	2016/03/30	50.00
64072	UBS AG - Ping An R Bull CBBC Nov 2016 C	100,000,000	2015/09/04	2016/11/14	25.00
64299	BNP Paribas Arbit Issu B.V. - HKEx R Bull CBBC Sep 2016 A	40,000,000	2015/09/07	2016/09/30	10.00
64265	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 N	250,000,000	2015/09/07	2016/12/29	62.50
64270	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 O	250,000,000	2015/09/07	2016/12/29	62.50
64290	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/09/07	2016/03/30	50.00
64292	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 S	200,000,000	2015/09/07	2016/04/28	50.00

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64301	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2016 A	68,000,000	2015/09/07	2016/12/30	17.00
64308	Credit Suisse AG - HSCEI R Bull CBBC Mar 2016 I	108,000,000	2015/09/07	2016/03/30	27.00
64307	Credit Suisse AG - HSCEI R Bull CBBC May 2016 A	108,000,000	2015/09/07	2016/05/30	27.00
64346	Credit Suisse AG - HSI R Bull CBBC Feb 2016 U	200,000,000	2015/09/07	2016/02/26	50.00
64339	Credit Suisse AG - HSI R Bull CBBC Mar 2016 S	200,000,000	2015/09/07	2016/03/30	50.00
64336	Credit Suisse AG - HSI R Bull CBBC Apr 2016 A	200,000,000	2015/09/07	2016/04/28	50.00
64335	Credit Suisse AG - HSI R Bear CBBC Feb 2016 H	200,000,000	2015/09/07	2016/02/26	50.00
64321	Credit Suisse AG - HSI R Bear CBBC Apr 2016 T	200,000,000	2015/09/07	2016/04/28	50.00
64327	Credit Suisse AG - HSI R Bear CBBC Apr 2016 U	200,000,000	2015/09/07	2016/04/28	50.00
64354	Credit Suisse AG - HSI R Bear CBBC Apr 2016 V	200,000,000	2015/09/07	2016/04/28	50.00
64357	Credit Suisse AG - HSI R Bear CBBC May 2016 N	200,000,000	2015/09/07	2016/05/30	50.00
64206	HK Bank - CITIC Sec R Bull CBBC Sep 2016 B	60,000,000	2015/09/07	2016/09/26	27.00
64198	HK Bank - CNOOC R Bull CBBC Oct 2016 C	60,000,000	2015/09/07	2016/10/26	15.00
64203	HK Bank - CNOOC R Bull CBBC Nov 2016 C	60,000,000	2015/09/07	2016/11/14	15.00
64210	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 E	100,000,000	2015/09/07	2016/07/11	25.00
64211	HK Bank - A50 R Bull CBBC Aug 2016 D	100,000,000	2015/09/07	2016/08/15	25.00
64216	HK Bank - A50 R Bull CBBC Oct 2016 D	100,000,000	2015/09/07	2016/10/17	25.00
64172	HK Bank - HKEx R Bull CBBC Oct 2016 I	100,000,000	2015/09/07	2016/10/17	25.00
64196	HK Bank - HSCEI R Bull CBBC Sep 2016 E	100,000,000	2015/09/07	2016/09/29	25.00
64177	HK Bank - HSI R Bull CBBC Jul 2016 V	150,000,000	2015/09/07	2016/07/28	37.50
64180	HK Bank - HSI R Bear CBBC Jul 2016 F	100,000,000	2015/09/07	2016/07/28	25.00
64243	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2016 D	100,000,000	2015/09/07	2016/07/15	25.00
64246	J P Morgan SP BV - A50 China R Bull CBBC Jun 2016 D	100,000,000	2015/09/07	2016/06/17	25.00
64248	J P Morgan SP BV - HKEx R Bull CBBC Oct 2016 D	100,000,000	2015/09/07	2016/10/14	25.00
64241	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2016 E	100,000,000	2015/09/07	2016/06/29	25.00
64363	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 Y	200,000,000	2015/09/07	2016/03/30	50.00
64365	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 Z	200,000,000	2015/09/07	2016/04/28	50.00
64367	J P Morgan SP BV - HSI R Bull CBBC Feb 2017 C	200,000,000	2015/09/07	2017/02/27	50.00
64368	J P Morgan SP BV - HSI R Bull CBBC Mar 2017 C	200,000,000	2015/09/07	2017/03/30	50.00
64218	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/09/07	2016/02/26	50.00
64221	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/09/07	2016/02/26	50.00
64222	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/09/07	2016/03/30	50.00
64223	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 B	200,000,000	2015/09/07	2016/03/30	50.00
64303	Standard Chartered Bank - HKEx R Bull CBBC Jun 2016 C	50,000,000	2015/09/07	2016/06/27	12.50
64224	Standard Chartered Bank - HSCEI R Bear CBBC Feb 2016 A	100,000,000	2015/09/07	2016/02/26	25.00
64229	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 N	150,000,000	2015/09/07	2016/07/28	37.50
64232	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 O	150,000,000	2015/09/07	2016/07/28	37.50
64227	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 D	100,000,000	2015/09/07	2016/02/26	25.00
64228	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 E	150,000,000	2015/09/07	2016/02/26	37.50
64235	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 B	200,000,000	2015/09/07	2016/05/30	50.00
64238	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 D	200,000,000	2015/09/07	2016/05/30	50.00
64239	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 E	200,000,000	2015/09/07	2016/05/30	50.00
64359	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 F	200,000,000	2015/09/07	2016/05/30	50.00
64306	UBS AG - HSI R Bull CBBC Jun 2016 D	200,000,000	2015/09/07	2016/06/29	50.00
64305	UBS AG - HSI R Bull CBBC Jun 2016 X	200,000,000	2015/09/07	2016/06/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64513	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2016 E	100,000,000	2015/09/08	2016/03/30	25.00
64510	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 A	250,000,000	2015/09/08	2016/12/29	62.50
64511	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/09/08	2016/03/30	50.00
64518	Credit Suisse AG - HSI R Bull CBBC May 2016 S	200,000,000	2015/09/08	2016/05/30	50.00
64539	Credit Suisse AG - HSI R Bull CBBC May 2016 T	200,000,000	2015/09/08	2016/05/30	50.00
64523	Credit Suisse AG - HSI R Bear CBBC Feb 2016 R	200,000,000	2015/09/08	2016/02/26	50.00
64524	Credit Suisse AG - HSI R Bear CBBC Feb 2016 S	200,000,000	2015/09/08	2016/02/26	50.00
64453	HK Bank - China Life R Bull CBBC Aug 2016 C	60,000,000	2015/09/08	2016/08/08	21.00
64465	HK Bank - CNOOC R Bull CBBC Dec 2016 D	60,000,000	2015/09/08	2016/12/08	15.00
64466	HK Bank - CNOOC R Bear CBBC Dec 2016 A	40,000,000	2015/09/08	2016/12/08	10.00
64400	HK Bank - Sinopec Corp R Bull CBBC Dec 2016 C	80,000,000	2015/09/08	2016/12/08	20.00
64382	HK Bank - PetCh R Bear CBBC Dec 2016 A	40,000,000	2015/09/08	2016/12/08	10.00
64491	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 K	200,000,000	2015/09/08	2016/08/30	50.00
64736	J P Morgan SP BV - HSI R Bull CBBC Jan 2017 C	200,000,000	2015/09/08	2017/01/26	50.00
64739	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/09/08	2015/12/30	50.00
64640	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/09/08	2016/01/28	50.00
64672	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 U	200,000,000	2015/09/08	2016/01/28	50.00
64684	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 C	200,000,000	2015/09/08	2016/03/30	50.00
64733	J P Morgan SP BV - HSI R Bear CBBC Apr 2016 A	200,000,000	2015/09/08	2016/04/28	50.00
64485	Standard Chartered Bank - CSOP A50 ETF R Bull CBBC Jun 2016C	50,000,000	2015/09/08	2016/06/27	12.50
64490	Standard Chartered Bank - A50 China R Bull CBBC Jun 2016 D	50,000,000	2015/09/08	2016/06/20	12.50
64480	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 P	100,000,000	2015/09/08	2016/07/28	25.00
64483	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 Q	150,000,000	2015/09/08	2016/07/28	37.50
64468	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 F	150,000,000	2015/09/08	2016/02/26	37.50
64478	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 G	100,000,000	2015/09/08	2016/02/26	25.00
64509	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Feb17A	100,000,000	2015/09/08	2017/02/27	25.00
64507	SGA Societe Generale Acceptance N.V. - CSA50 R Bull CBBC Apr17A	100,000,000	2015/09/08	2017/04/27	29.00
64506	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Apr16 B	100,000,000	2015/09/08	2016/04/28	25.00
64499	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Jun16 B	100,000,000	2015/09/08	2016/06/29	25.00
64493	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Aug16 B	100,000,000	2015/09/08	2016/08/30	25.00
64492	SGA Societe Generale Acceptance N.V. - A50 R Bull CBBC Oct16 A	100,000,000	2015/09/08	2016/10/28	25.00
64467	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 K	200,000,000	2015/09/08	2016/06/29	50.00
64516	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 G	200,000,000	2015/09/08	2016/05/30	50.00
64540	UBS AG - CC Bank R Bull CBBC Jul 2016 A	50,000,000	2015/09/08	2016/07/18	12.50
64598	UBS AG - HSI R Bull CBBC Jul 2016 A	200,000,000	2015/09/08	2016/07/28	50.00
64559	UBS AG - HSI R Bear CBBC Feb 2016 D	200,000,000	2015/09/08	2016/02/26	50.00
64549	UBS AG - ICBC R Bull CBBC Aug 2016 A	50,000,000	2015/09/08	2016/08/22	12.50
65080	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 G	250,000,000	2015/09/09	2016/11/29	62.50
65468	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/09/09	2016/03/30	50.00
65466	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 T	200,000,000	2015/09/09	2016/04/28	50.00
65087	BNP Paribas Arbit Issu B.V. - Petroch R Bull CBBC Jan 2016 D	60,000,000	2015/09/09	2016/01/29	15.00
65112	Credit Suisse AG - HSI R Bull CBBC Mar 2016 A	200,000,000	2015/09/09	2016/03/30	50.00
65109	Credit Suisse AG - HSI R Bull CBBC Mar 2016 B	200,000,000	2015/09/09	2016/03/30	50.00
65182	Credit Suisse AG - HSI R Bull CBBC Mar 2016 C	200,000,000	2015/09/09	2016/03/30	50.00
65099	Credit Suisse AG - HSI R Bull CBBC Mar 2016 T	200,000,000	2015/09/09	2016/03/30	50.00

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65334	Credit Suisse AG - HSI R Bear CBBC Mar 2016 W	200,000,000	2015/09/09	2016/03/30	50.00
65358	Credit Suisse AG - HSI R Bear CBBC Mar 2016 X	200,000,000	2015/09/09	2016/03/30	50.00
65361	Credit Suisse AG - HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/09/09	2016/03/30	50.00
64840	HK Bank - CGN Power R Bull CBBC Dec 2016 B	40,000,000	2015/09/09	2016/12/21	10.00
64816	HK Bank - CITIC Sec R Bull CBBC Oct 2016 A	60,000,000	2015/09/09	2016/10/31	33.00
64773	HK Bank - A50 R Bear CBBC Jul 2016 C	50,000,000	2015/09/09	2016/07/11	12.50
64810	HK Bank - HKEx R Bull CBBC Nov 2016 C	100,000,000	2015/09/09	2016/11/14	25.00
64766	HK Bank - Ping An R Bull CBBC Oct 2016 F	188,000,000	2015/09/09	2016/10/24	47.00
64791	HK Bank - TCH R Bull CBBC Jul 2016 K	100,000,000	2015/09/09	2016/07/04	25.00
64792	HK Bank - TCH R Bull CBBC Aug 2016 J	100,000,000	2015/09/09	2016/08/22	25.00
64797	HK Bank - TCH R Bull CBBC Sep 2016 B	100,000,000	2015/09/09	2016/09/05	25.00
65463	J P Morgan SP BV - A50 China R Bear CBBC Jun 2016 C	100,000,000	2015/09/09	2016/06/17	25.00
65457	J P Morgan SP BV - HKEx R Bear CBBC Apr 2016 A	100,000,000	2015/09/09	2016/04/15	25.00
65451	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 J	100,000,000	2015/09/09	2016/07/28	25.00
65506	J P Morgan SP BV - HSCEI R Bear CBBC Mar 2016 D	100,000,000	2015/09/09	2016/03/30	25.00
65510	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 A	200,000,000	2015/09/09	2016/04/28	50.00
64858	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 K	200,000,000	2015/09/09	2016/07/28	50.00
65511	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 O	200,000,000	2015/09/09	2016/07/28	50.00
65522	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 F	200,000,000	2015/09/09	2016/11/29	50.00
65524	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/09/09	2016/01/28	50.00
65529	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/09/09	2016/01/28	50.00
64893	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2016 A	40,000,000	2015/09/09	2016/03/30	10.60
64961	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2016 B	40,000,000	2015/09/09	2016/03/30	10.00
64972	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2016 C	40,000,000	2015/09/09	2016/03/30	10.20
65063	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2016 D	40,000,000	2015/09/09	2016/03/30	10.40
64895	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2016 A	40,000,000	2015/09/09	2016/03/30	10.00
64921	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2016 B	40,000,000	2015/09/09	2016/03/30	10.20
64938	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2016 C	40,000,000	2015/09/09	2016/03/30	10.40
64951	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2016 D	40,000,000	2015/09/09	2016/03/30	10.60
64854	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 R	100,000,000	2015/09/09	2016/07/28	25.00
64855	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 H	100,000,000	2015/09/09	2016/08/30	25.00
64856	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 H	150,000,000	2015/09/09	2016/02/26	37.50
64853	Standard Chartered Bank - Ping An R Bull CBBC Aug 2016 A	30,000,000	2015/09/09	2016/08/19	22.50
64841	Standard Chartered Bank - TCH R Bear CBBC Jun 2016 A	50,000,000	2015/09/09	2016/06/06	12.50
64859	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 I	200,000,000	2015/09/09	2016/07/28	50.00
64866	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 M	200,000,000	2015/09/09	2016/04/28	50.00
65365	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 H	200,000,000	2015/09/09	2016/05/30	50.00
65437	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 I	200,000,000	2015/09/09	2016/05/30	50.00
65472	UBS AG - HSI R Bull CBBC Jun 2016 I	200,000,000	2015/09/09	2016/06/29	50.00
65484	UBS AG - HSI R Bull CBBC Jul 2016 N	200,000,000	2015/09/09	2016/07/28	50.00
65474	UBS AG - HSI R Bull CBBC Aug 2016 P	200,000,000	2015/09/09	2016/08/30	50.00
65493	UBS AG - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/09/09	2016/01/28	50.00
65505	UBS AG - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/09/09	2016/01/28	50.00
67081#	HK Bank - Galaxy Ent R Bull CBBC Dec 2016 A	180,000,000	2015/09/09	2016/12/19	10.98
65991	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2016 F	100,000,000	2015/09/10	2016/03/30	25.00

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65706	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 H	250,000,000	2015/09/10	2016/11/29	62.50
65708	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 I	250,000,000	2015/09/10	2016/11/29	62.50
65698	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 J	250,000,000	2015/09/10	2016/12/29	62.50
65751	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 U	200,000,000	2015/09/10	2016/04/28	50.00
65979	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 V	200,000,000	2015/09/10	2016/04/28	50.00
65978	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 H	100,000,000	2015/09/10	2015/12/30	25.00
65911	Credit Suisse AG - HSI R Bull CBBC Mar 2016 E	200,000,000	2015/09/10	2016/03/30	50.00
65946	Credit Suisse AG - HSI R Bull CBBC Mar 2016 U	200,000,000	2015/09/10	2016/03/30	50.00
65942	Credit Suisse AG - HSI R Bull CBBC Apr 2016 B	200,000,000	2015/09/10	2016/04/28	50.00
65908	Credit Suisse AG - HSI R Bull CBBC Apr 2016 Y	200,000,000	2015/09/10	2016/04/28	50.00
65940	Credit Suisse AG - HSI R Bull CBBC Apr 2016 Z	200,000,000	2015/09/10	2016/04/28	50.00
65910	Credit Suisse AG - HSI R Bull CBBC May 2016 U	200,000,000	2015/09/10	2016/05/30	50.00
65950	Credit Suisse AG - HSI R Bull CBBC May 2016 V	200,000,000	2015/09/10	2016/05/30	50.00
65951	Credit Suisse AG - HSI R Bear CBBC Apr 2016 W	200,000,000	2015/09/10	2016/04/28	50.00
65970	Credit Suisse AG - HSI R Bear CBBC Apr 2016 X	200,000,000	2015/09/10	2016/04/28	50.00
65977	Credit Suisse AG - HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/09/10	2016/04/28	50.00
65575	HK Bank - HSI R Bull CBBC Nov 2016 H	400,000,000	2015/09/10	2016/11/29	100.00
65615	HK Bank - HSI R Bull CBBC Dec 2016 I	400,000,000	2015/09/10	2016/12/29	120.00
65548	HK Bank - HSI R Bear CBBC Aug 2016 F	100,000,000	2015/09/10	2016/08/30	25.00
65546	HK Bank - HSI R Bear CBBC Sep 2016 D	100,000,000	2015/09/10	2016/09/29	25.00
66069	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2016 K	100,000,000	2015/09/10	2016/07/28	25.00
66040	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 O	100,000,000	2015/09/10	2016/04/28	25.00
65993	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 B	200,000,000	2015/09/10	2016/04/28	50.00
66006	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 N	200,000,000	2015/09/10	2016/07/28	50.00
66077	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 P	200,000,000	2015/09/10	2016/07/28	50.00
66079	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 L	200,000,000	2015/09/10	2016/08/30	50.00
66073	J P Morgan SP BV - HSI R Bull CBBC Jan 2017 D	200,000,000	2015/09/10	2017/01/26	50.00
66081	J P Morgan SP BV - HSI R Bull CBBC Feb 2017 D	200,000,000	2015/09/10	2017/02/27	50.00
66112	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/09/10	2016/01/28	50.00
66130	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 D	200,000,000	2015/09/10	2016/03/30	50.00
66134	J P Morgan SP BV - HSI R Bear CBBC Apr 2016 B	200,000,000	2015/09/10	2016/04/28	50.00
66027	J P Morgan SP BV - Tencent R Bear CBBC May 2016 A	100,000,000	2015/09/10	2016/05/20	25.00
65753	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 S	150,000,000	2015/09/10	2016/07/28	37.50
65755	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 T	150,000,000	2015/09/10	2016/07/28	37.50
65780	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 I	100,000,000	2015/09/10	2016/02/26	25.00
65618	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 A	200,000,000	2015/09/10	2016/05/30	50.00
65616	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 L	200,000,000	2015/09/10	2016/06/29	50.00
65619	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 N	200,000,000	2015/09/10	2016/04/28	50.00
65620	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 J	400,000,000	2015/09/10	2016/05/30	100.00
65992	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 K	200,000,000	2015/09/10	2016/05/30	50.00
65865	UBS AG - HSI R Bull CBBC Jun 2016 L	200,000,000	2015/09/10	2016/06/29	50.00
65904	UBS AG - HSI R Bull CBBC Jun 2016 Y	200,000,000	2015/09/10	2016/06/29	50.00
65792	UBS AG - HSI R Bull CBBC Jul 2016 B	200,000,000	2015/09/10	2016/07/28	50.00
65868	UBS AG - HSI R Bull CBBC Aug 2016 Q	200,000,000	2015/09/10	2016/08/30	50.00
65901	UBS AG - HSI R Bull CBBC Aug 2016 R	200,000,000	2015/09/10	2016/08/30	50.00

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65890	UBS AG - HSI R Bear CBBC Mar 2016 K	200,000,000	2015/09/10	2016/03/30	50.00
66494	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2016 E	100,000,000	2015/09/11	2016/12/29	25.00
66510	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2016 F	100,000,000	2015/09/11	2016/04/28	25.00
66491	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 B	200,000,000	2015/09/11	2016/03/30	50.00
66492	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 C	200,000,000	2015/09/11	2016/03/30	50.00
66533	BNP Paribas Arbit Issu B.V. - Petroch R Bull CBBC Jan 2016 E	60,000,000	2015/09/11	2016/01/29	15.00
66740	Credit Suisse AG - HSCEI R Bull CBBC Jun 2016 B	100,000,000	2015/09/11	2016/06/29	29.00
66741	Credit Suisse AG - HSCEI R Bull CBBC Jul 2016 B	100,000,000	2015/09/11	2016/07/28	34.00
66772	Credit Suisse AG - HSCEI R Bear CBBC Dec 2015 I	100,000,000	2015/09/11	2015/12/30	25.00
66694	Credit Suisse AG - HSI R Bull CBBC Jun 2016 H	200,000,000	2015/09/11	2016/06/29	50.00
66737	Credit Suisse AG - HSI R Bull CBBC Jun 2016 I	200,000,000	2015/09/11	2016/06/29	50.00
66830	Credit Suisse AG - HSI R Bull CBBC Jun 2016 J	200,000,000	2015/09/11	2016/06/29	50.00
66853	Credit Suisse AG - HSI R Bear CBBC Feb 2016 F	200,000,000	2015/09/11	2016/02/26	50.00
66831	Credit Suisse AG - HSI R Bear CBBC Feb 2016 T	200,000,000	2015/09/11	2016/02/26	50.00
66930	Credit Suisse AG - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/09/11	2016/03/30	50.00
66920	Credit Suisse AG - HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/09/11	2016/03/30	50.00
66167	HK Bank - AIA R Bull CBBC Oct 2016 B	60,000,000	2015/09/11	2016/10/24	15.00
66172	HK Bank - AIA R Bull CBBC Nov 2016 B	60,000,000	2015/09/11	2016/11/28	15.00
66212	HK Bank - CAM CSI300 R Bull CBBC Dec 2016 B	40,000,000	2015/09/11	2016/12/07	22.00
66198	HK Bank - CM Bank R Bull CBBC Nov 2016 A	40,000,000	2015/09/11	2016/11/28	16.00
66150	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 F	100,000,000	2015/09/11	2016/07/25	25.00
66173	HK Bank - Evergrande R Bull CBBC Dec 2016 B	60,000,000	2015/09/11	2016/12/07	15.00
67293	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2016 F	100,000,000	2015/09/11	2016/06/29	25.00
67298	J P Morgan SP BV - HSCEI R Bull CBBC Sep 2016 B	100,000,000	2015/09/11	2016/09/29	25.00
67266	J P Morgan SP BV - HSCEI R Bear CBBC May 2016 A	100,000,000	2015/09/11	2016/05/30	25.00
67135	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 C	200,000,000	2015/09/11	2016/04/28	50.00
66342	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/09/11	2016/06/29	50.00
67241	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 R	200,000,000	2015/09/11	2016/07/28	50.00
67211	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 G	200,000,000	2015/09/11	2016/11/29	50.00
67237	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 H	200,000,000	2015/09/11	2016/11/29	50.00
67242	J P Morgan SP BV - HSI R Bull CBBC Dec 2016 E	200,000,000	2015/09/11	2016/12/29	50.00
67250	J P Morgan SP BV - HSI R Bull CBBC Jan 2017 E	200,000,000	2015/09/11	2017/01/26	50.00
66351	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 E	200,000,000	2015/09/11	2016/03/30	50.00
67089	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 F	200,000,000	2015/09/11	2016/03/30	50.00
67113	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 G	200,000,000	2015/09/11	2016/03/30	50.00
67306	Standard Chartered Bank - CAM CSI300 R Bull CBBC Apr 2016 A	50,000,000	2015/09/11	2016/04/29	20.00
67317	Standard Chartered Bank - China Life R Bull CBBC May 2016 A	50,000,000	2015/09/11	2016/05/03	22.50
66216	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 U	100,000,000	2015/09/11	2016/07/28	25.00
66257	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 J	100,000,000	2015/09/11	2016/02/26	25.00
66412	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 M	200,000,000	2015/09/11	2016/06/29	50.00
66541	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 J	200,000,000	2015/09/11	2016/07/28	50.00
66691	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 K	200,000,000	2015/09/11	2016/07/28	50.00
66534	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 F	200,000,000	2015/09/11	2016/08/30	50.00
66645	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 G	200,000,000	2015/09/11	2016/08/30	50.00
66593	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 F	200,000,000	2015/09/11	2016/09/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66439	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 O	200,000,000	2015/09/11	2016/04/28	50.00
66443	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 P	200,000,000	2015/09/11	2016/04/28	50.00
67044	UBS AG - HSCEI R Bull CBBC Nov 2016 A	200,000,000	2015/09/11	2016/11/29	50.00
66941	UBS AG - HSI R Bull CBBC Jul 2016 C	200,000,000	2015/09/11	2016/07/28	50.00
66957	UBS AG - HSI R Bull CBBC Sep 2016 L	200,000,000	2015/09/11	2016/09/29	50.00
66977	UBS AG - HSI R Bull CBBC Oct 2016 J	200,000,000	2015/09/11	2016/10/28	50.00
66985	UBS AG - HSI R Bull CBBC Nov 2016 E	200,000,000	2015/09/11	2016/11/29	57.00
67031	UBS AG - HSI R Bull CBBC Nov 2016 F	200,000,000	2015/09/11	2016/11/29	102.00
67048	UBS AG - HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/09/11	2016/02/26	50.00
67050	UBS AG - HSI R Bear CBBC Feb 2016 U	200,000,000	2015/09/11	2016/02/26	50.00
67072	UBS AG - HSI R Bear CBBC Feb 2016 X	200,000,000	2015/09/11	2016/02/26	50.00
67383	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 J	250,000,000	2015/09/14	2016/11/29	62.50
67495	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 K	250,000,000	2015/09/14	2016/11/29	62.50
67473	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 P	250,000,000	2015/09/14	2016/12/29	65.00
67475	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 Q	250,000,000	2015/09/14	2016/12/29	62.50
67504	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 W	200,000,000	2015/09/14	2016/04/28	50.00
67530	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 X	200,000,000	2015/09/14	2016/04/28	50.00
67405	Credit Suisse AG - HSI R Bull CBBC May 2016 W	200,000,000	2015/09/14	2016/05/30	50.00
67406	Credit Suisse AG - HSI R Bull CBBC May 2016 X	200,000,000	2015/09/14	2016/05/30	50.00
67407	Credit Suisse AG - HSI R Bull CBBC May 2016 Y	200,000,000	2015/09/14	2016/05/30	50.00
67456	Credit Suisse AG - HSI R Bear CBBC Mar 2016 R	200,000,000	2015/09/14	2016/03/30	50.00
67411	Credit Suisse AG - HSI R Bear CBBC Apr 2016 A	200,000,000	2015/09/14	2016/04/28	50.00
67409	Credit Suisse AG - HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/09/14	2016/04/28	50.00
67461	Credit Suisse AG - HSI R Bear CBBC May 2016 O	200,000,000	2015/09/14	2016/05/30	50.00
67341	HK Bank - Sinopec Corp R Bear CBBC Oct 2016 A	40,000,000	2015/09/14	2016/10/31	10.00
67331	HK Bank - HSCEI R Bear CBBC May 2016 K	68,000,000	2015/09/14	2016/05/30	17.00
67376	HK Bank - HSI R Bull CBBC Aug 2016 M	150,000,000	2015/09/14	2016/08/30	37.50
67342	HK Bank - HSI R Bull CBBC Sep 2016 M	150,000,000	2015/09/14	2016/09/29	37.50
67330	HK Bank - PetCh R Bull CBBC Dec 2016 C	60,000,000	2015/09/14	2016/12/19	15.00
67400	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 G	200,000,000	2015/09/14	2016/09/29	50.00
67662	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 G	200,000,000	2015/09/14	2016/10/28	50.00
67660	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 V	200,000,000	2015/09/14	2016/02/26	50.00
67661	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 H	200,000,000	2015/09/14	2016/03/30	50.00
67384	Standard Chartered Bank - HSCEI R Bull CBBC Jul 2016 C	100,000,000	2015/09/14	2016/07/28	25.00
67385	Standard Chartered Bank - HSCEI R Bear CBBC Feb 2016 B	100,000,000	2015/09/14	2016/02/26	25.00
67399	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 I	150,000,000	2015/09/14	2016/08/30	37.50
67386	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 K	150,000,000	2015/09/14	2016/02/26	37.50
67388	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 L	150,000,000	2015/09/14	2016/02/26	37.50
67328	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 C	200,000,000	2015/09/14	2016/05/30	50.00
67552	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 L	200,000,000	2015/09/14	2016/07/28	74.00
67575	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 M	200,000,000	2015/09/14	2016/07/28	62.00
67598	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 N	200,000,000	2015/09/14	2016/07/28	50.00
67569	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 H	200,000,000	2015/09/14	2016/08/30	66.00
67593	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 I	200,000,000	2015/09/14	2016/08/30	54.00
67553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 G	200,000,000	2015/09/14	2016/09/29	70.00

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67584	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 H	200,000,000	2015/09/14	2016/09/29	58.00
67628	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 I	200,000,000	2015/09/14	2016/09/29	50.00
67401	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 P	200,000,000	2015/09/14	2016/03/30	50.00
67643	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 Q	200,000,000	2015/09/14	2016/04/28	50.00
67640	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 L	200,000,000	2015/09/14	2016/05/30	50.00
67469	UBS AG - HSI R Bull CBBC Jun 2016 E	200,000,000	2015/09/14	2016/06/29	50.00
67465	UBS AG - HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/09/14	2016/06/29	50.00
67468	UBS AG - HSI R Bear CBBC Dec 2015 B	200,000,000	2015/09/14	2015/12/30	50.00
67470	UBS AG - HSI R Bear CBBC Dec 2015 C	200,000,000	2015/09/14	2015/12/30	50.00
67940	BNP Paribas Arbit Issu B.V. - CAM CSI300 R Bull CBBC Sep16 D	100,000,000	2015/09/15	2016/09/30	25.00
67928	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Mar16G	100,000,000	2015/09/15	2016/03/31	25.00
67924	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 R	250,000,000	2015/09/15	2016/12/29	62.50
67926	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 S	250,000,000	2015/09/15	2016/12/29	62.50
67927	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 T	250,000,000	2015/09/15	2016/12/29	62.50
67705	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 P	200,000,000	2015/09/15	2016/03/30	50.00
67756	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 S	200,000,000	2015/09/15	2016/03/30	50.00
67830	Credit Suisse AG - HSI R Bull CBBC Mar 2016 X	200,000,000	2015/09/15	2016/03/30	50.00
67782	Credit Suisse AG - HSI R Bull CBBC Apr 2016 C	200,000,000	2015/09/15	2016/04/28	50.00
67784	Credit Suisse AG - HSI R Bull CBBC Apr 2016 I	200,000,000	2015/09/15	2016/04/28	50.00
67795	Credit Suisse AG - HSI R Bull CBBC Apr 2016 O	200,000,000	2015/09/15	2016/04/28	50.00
67787	Credit Suisse AG - HSI R Bull CBBC Apr 2016 R	200,000,000	2015/09/15	2016/04/28	50.00
67776	Credit Suisse AG - HSI R Bear CBBC Apr 2016 D	200,000,000	2015/09/15	2016/04/28	50.00
67778	Credit Suisse AG - HSI R Bear CBBC Apr 2016 J	200,000,000	2015/09/15	2016/04/28	50.00
67779	Credit Suisse AG - HSI R Bear CBBC Apr 2016 M	200,000,000	2015/09/15	2016/04/28	50.00
67831	Credit Suisse AG - HSI R Bear CBBC Apr 2016 N	200,000,000	2015/09/15	2016/04/28	50.00
67777	Credit Suisse AG - HSI R Bear CBBC Apr 2016 P	200,000,000	2015/09/15	2016/04/28	50.00
67678	HK Bank - HSI R Bull CBBC Oct 2016 I	150,000,000	2015/09/15	2016/10/28	37.50
67688	HK Bank - HSI R Bull CBBC Nov 2016 I	150,000,000	2015/09/15	2016/11/29	37.50
67673	HK Bank - HSI R Bear CBBC May 2016 S	100,000,000	2015/09/15	2016/05/30	25.00
67696	HK Bank - HSI R Bear CBBC Dec 2016 B	400,000,000	2015/09/15	2016/12/29	100.00
67904	J P Morgan SP BV - HSI R Bull CBBC Mar 2016 Z	200,000,000	2015/09/15	2016/03/30	50.00
67905	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 D	200,000,000	2015/09/15	2016/04/28	50.00
67873	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 I	200,000,000	2015/09/15	2016/03/30	50.00
67876	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 J	200,000,000	2015/09/15	2016/03/30	50.00
67907	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 K	200,000,000	2015/09/15	2016/03/30	50.00
67894	J P Morgan SP BV - HSI R Bear CBBC Apr 2016 C	200,000,000	2015/09/15	2016/04/28	50.00
67711	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 V	150,000,000	2015/09/15	2016/07/28	37.50
67718	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 J	100,000,000	2015/09/15	2016/08/30	25.00
67719	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 K	100,000,000	2015/09/15	2016/08/30	25.00
67741	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 L	150,000,000	2015/09/15	2016/08/30	37.50
67748	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 M	100,000,000	2015/09/15	2016/02/26	25.00
67852	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May16 D	200,000,000	2015/09/15	2016/05/30	50.00
67838	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 N	200,000,000	2015/09/15	2016/06/29	50.00
67853	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 O	200,000,000	2015/09/15	2016/06/29	50.00
67870	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 P	200,000,000	2015/09/15	2016/06/29	50.00

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67872	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 Q	200,000,000	2015/09/15	2016/03/30	50.00
67916	UBS AG - HSI R Bull CBBC Jun 2016 F	200,000,000	2015/09/15	2016/06/29	50.00
67922	UBS AG - HSI R Bull CBBC Jun 2016 G	200,000,000	2015/09/15	2016/06/29	50.00
67919	UBS AG - HSI R Bull CBBC Aug 2016 S	200,000,000	2015/09/15	2016/08/30	50.00
67921	UBS AG - HSI R Bull CBBC Aug 2016 T	200,000,000	2015/09/15	2016/08/30	50.00
67915	UBS AG - HSI R Bear CBBC Dec 2015 D	200,000,000	2015/09/15	2015/12/30	50.00
67909	UBS AG - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/09/15	2016/01/28	50.00
68175	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Mar 2016 F	100,000,000	2015/09/16	2016/03/31	25.00
68180	BNP Paribas Arbit Issu B.V. - HS H ETF R Bull CBBC Jan 2016 I	60,000,000	2015/09/16	2016/01/29	15.00
68162	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2016 F	100,000,000	2015/09/16	2016/11/29	25.00
68165	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2016 F	100,000,000	2015/09/16	2016/12/29	25.00
68348	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 L	250,000,000	2015/09/16	2016/11/29	62.50
68134	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 C	250,000,000	2015/09/16	2016/12/29	62.50
68347	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 F	250,000,000	2015/09/16	2016/12/29	62.50
68125	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 U	250,000,000	2015/09/16	2016/12/29	62.50
68135	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 X	250,000,000	2015/09/16	2016/12/29	62.50
68146	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 A	200,000,000	2015/09/16	2016/03/30	50.00
68039	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 T	200,000,000	2015/09/16	2016/03/30	50.00
68040	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 W	200,000,000	2015/09/16	2016/03/30	50.00
68042	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 X	200,000,000	2015/09/16	2016/03/30	50.00
68140	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/09/16	2016/04/28	50.00
68149	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/09/16	2016/04/28	50.00
68183	BNP Paribas Arbit Issu B.V. - TCH R Bull CBBC Sep 2016 B	50,000,000	2015/09/16	2016/09/30	12.50
68217	Credit Suisse AG - HSCEI R Bull CBBC May 2016 B	100,000,000	2015/09/16	2016/05/30	25.00
68219	Credit Suisse AG - HSCEI R Bull CBBC May 2016 C	100,000,000	2015/09/16	2016/05/30	25.00
68253	Credit Suisse AG - HSI R Bull CBBC Apr 2016 H	200,000,000	2015/09/16	2016/04/28	50.00
68259	Credit Suisse AG - HSI R Bull CBBC Apr 2016 J	200,000,000	2015/09/16	2016/04/28	50.00
68267	Credit Suisse AG - HSI R Bull CBBC May 2016 G	200,000,000	2015/09/16	2016/05/30	50.00
68256	Credit Suisse AG - HSI R Bull CBBC May 2016 H	200,000,000	2015/09/16	2016/05/30	50.00
68238	Credit Suisse AG - HSI R Bull CBBC May 2016 Z	200,000,000	2015/09/16	2016/05/30	50.00
68237	Credit Suisse AG - HSI R Bull CBBC Jun 2016 K	200,000,000	2015/09/16	2016/06/29	50.00
68249	Credit Suisse AG - HSI R Bull CBBC Jun 2016 N	200,000,000	2015/09/16	2016/06/29	50.00
68258	Credit Suisse AG - HSI R Bull CBBC Jun 2016 O	200,000,000	2015/09/16	2016/06/29	50.00
68208	Credit Suisse AG - HSI R Bear CBBC Feb 2016 H	200,000,000	2015/09/16	2016/02/26	50.00
68212	Credit Suisse AG - HSI R Bear CBBC Feb 2016 R	200,000,000	2015/09/16	2016/02/26	50.00
68230	Credit Suisse AG - HSI R Bear CBBC Feb 2016 S	200,000,000	2015/09/16	2016/02/26	50.00
68214	Credit Suisse AG - HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/09/16	2016/03/30	50.00
68209	Credit Suisse AG - HSI R Bear CBBC Mar 2016 S	200,000,000	2015/09/16	2016/03/30	50.00
68220	Credit Suisse AG - HSI R Bear CBBC Mar 2016 T	200,000,000	2015/09/16	2016/03/30	50.00
68223	Credit Suisse AG - HSI R Bear CBBC Mar 2016 U	200,000,000	2015/09/16	2016/03/30	50.00
68221	Credit Suisse AG - HSI R Bear CBBC Apr 2016 L	200,000,000	2015/09/16	2016/04/28	50.00
68224	Credit Suisse AG - HSI R Bear CBBC Apr 2016 O	200,000,000	2015/09/16	2016/04/28	50.00
68228	Credit Suisse AG - HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/09/16	2016/04/28	50.00
68215	Credit Suisse AG - HSI R Bear CBBC Apr 2016 S	200,000,000	2015/09/16	2016/04/28	50.00
68207	Bank of East Asia - ABC R Bull CBBC Dec 2016 A	80,000,000	2015/09/16	2016/12/19	20.00

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68195	Bank of East Asia - AIA R Bull CBBC Dec 2016 A	60,000,000	2015/09/16	2016/12/29	15.00
68193	Bank of East Asia - BOCL R Bull CBBC Dec 2016 A	60,000,000	2015/09/16	2016/12/08	15.00
68206	Bank of East Asia - CC Bank R Bull CBBC Dec 2016 A	50,000,000	2015/09/16	2016/12/09	12.50
68201	Bank of East Asia - China Life R Bull CBBC Dec 2016 A	80,000,000	2015/09/16	2016/12/28	20.00
67962	HK Bank - China Mobile R Bear CBBC Jul 2016 C	60,000,000	2015/09/16	2016/07/18	15.00
67985	HK Bank - A50 R Bull CBBC Sep 2016 B	100,000,000	2015/09/16	2016/09/05	25.00
67955	HK Bank - HSCEI R Bull CBBC Sep 2016 F	100,000,000	2015/09/16	2016/09/29	25.00
67943	HK Bank - HSI R Bull CBBC Sep 2016 N	150,000,000	2015/09/16	2016/09/29	37.50
67992	HK Bank - HSI R Bull CBBC Oct 2016 J	150,000,000	2015/09/16	2016/10/28	37.50
67964	HK Bank - PetCh R Bear CBBC Aug 2016 B	40,000,000	2015/09/16	2016/08/01	10.00
68065	J P Morgan SP BV - HSCEI R Bear CBBC Mar 2016 E	100,000,000	2015/09/16	2016/03/30	25.00
68382	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 E	200,000,000	2015/09/16	2016/04/28	50.00
68380	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 H	200,000,000	2015/09/16	2016/06/29	50.00
68373	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 S	200,000,000	2015/09/16	2016/07/28	50.00
68386	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 M	200,000,000	2015/09/16	2016/08/30	50.00
68055	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/09/16	2016/01/28	50.00
68354	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 G	200,000,000	2015/09/16	2016/01/28	50.00
68365	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 T	200,000,000	2015/09/16	2016/01/28	50.00
68043	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/09/16	2016/01/28	50.00
68372	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 L	200,000,000	2015/09/16	2016/02/26	50.00
68064	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 P	200,000,000	2015/09/16	2016/02/26	50.00
68390	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2016 E	40,000,000	2015/09/16	2016/03/30	10.00
68031	Standard Chartered Bank - CAM CSI300 R Bull CBBC May 2016 A	50,000,000	2015/09/16	2016/05/06	20.50
68024	Standard Chartered Bank - CSOP A50 R Bull CBBC Jul 2016 B	50,000,000	2015/09/16	2016/07/07	12.50
68030	Standard Chartered Bank - A50 China R Bull CBBC Jul 2016 B	50,000,000	2015/09/16	2016/07/27	12.50
68012	Standard Chartered Bank - HSCEI R Bull CBBC Jul 2016 D	100,000,000	2015/09/16	2016/07/28	25.00
68100	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 W	100,000,000	2015/09/16	2016/07/28	25.00
68102	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 X	150,000,000	2015/09/16	2016/07/28	37.50
68107	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 Y	100,000,000	2015/09/16	2016/07/28	25.00
68233	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 Z	100,000,000	2015/09/16	2016/07/28	25.00
67998	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 N	150,000,000	2015/09/16	2016/02/26	37.50
67999	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 O	100,000,000	2015/09/16	2016/02/26	25.00
68122	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 P	100,000,000	2015/09/16	2016/02/26	25.00
68018	Standard Chartered Bank - TCH R Bull CBBC Jun 2016 A	50,000,000	2015/09/16	2016/06/30	12.50
68066	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 16Q	400,000,000	2015/09/16	2016/06/29	100.00
68080	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 16O	200,000,000	2015/09/16	2016/07/28	50.00
68088	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 16P	200,000,000	2015/09/16	2016/07/28	50.00
68093	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 16Q	200,000,000	2015/09/16	2016/07/28	50.00
68271	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 16R	200,000,000	2015/09/16	2016/07/28	50.00
67995	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 16R	200,000,000	2015/09/16	2016/04/28	50.00
67997	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 16S	200,000,000	2015/09/16	2016/04/28	50.00
67996	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 16M	200,000,000	2015/09/16	2016/05/30	50.00
68099	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 16N	200,000,000	2015/09/16	2016/05/30	50.00
68280	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 16O	200,000,000	2015/09/16	2016/05/30	50.00
68337	UBS AG - HSCEI R Bull CBBC Oct 2016 C	200,000,000	2015/09/16	2016/10/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68336	UBS AG - HSCEI R Bull CBBC Nov 2016 B	200,000,000	2015/09/16	2016/11/29	50.00
68332	UBS AG - HSI R Bull CBBC Jun 2016 N	200,000,000	2015/09/16	2016/06/29	50.00
68326	UBS AG - HSI R Bull CBBC Jul 2016 H	200,000,000	2015/09/16	2016/07/28	50.00
68322	UBS AG - HSI R Bull CBBC Aug 2016 U	200,000,000	2015/09/16	2016/08/30	50.00
68333	UBS AG - HSI R Bull CBBC Aug 2016 V	200,000,000	2015/09/16	2016/08/30	50.00
68321	UBS AG - HSI R Bull CBBC Sep 2016 M	200,000,000	2015/09/16	2016/09/29	50.00
68331	UBS AG - HSI R Bull CBBC Sep 2016 N	200,000,000	2015/09/16	2016/09/29	50.00
68292	UBS AG - HSI R Bear CBBC Dec 2015 E	200,000,000	2015/09/16	2015/12/30	50.00
68293	UBS AG - HSI R Bear CBBC Dec 2015 G	200,000,000	2015/09/16	2015/12/30	50.00
68296	UBS AG - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/09/16	2015/12/30	50.00
68307	UBS AG - HSI R Bear CBBC Dec 2015 O	200,000,000	2015/09/16	2015/12/30	50.00
68313	UBS AG - HSI R Bear CBBC Dec 2015 R	200,000,000	2015/09/16	2015/12/30	50.00
68314	UBS AG - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/09/16	2015/12/30	50.00
68315	UBS AG - HSI R Bear CBBC Dec 2015 W	200,000,000	2015/09/16	2015/12/30	50.00
68320	UBS AG - HSI R Bear CBBC Jan 2016 J	200,000,000	2015/09/16	2016/01/28	50.00
68506	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 M	250,000,000	2015/09/17	2016/11/29	62.50
68507	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 M	200,000,000	2015/09/17	2016/04/28	50.00
68551	Credit Suisse AG - HSI R Bull CBBC Jul 2016 T	200,000,000	2015/09/17	2016/07/28	50.00
68554	Credit Suisse AG - HSI R Bull CBBC Jul 2016 U	200,000,000	2015/09/17	2016/07/28	50.00
68566	Credit Suisse AG - HSI R Bull CBBC Jul 2016 V	200,000,000	2015/09/17	2016/07/28	50.00
68567	Credit Suisse AG - HSI R Bull CBBC Jul 2016 W	200,000,000	2015/09/17	2016/07/28	50.00
68571	Credit Suisse AG - HSI R Bull CBBC Jul 2016 X	200,000,000	2015/09/17	2016/07/28	50.00
68572	Credit Suisse AG - HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/09/17	2016/07/28	50.00
68579	Credit Suisse AG - HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/09/17	2016/07/28	50.00
68585	Credit Suisse AG - HSI R Bear CBBC Mar 2016 V	200,000,000	2015/09/17	2016/03/30	50.00
68582	Credit Suisse AG - HSI R Bear CBBC Apr 2016 R	200,000,000	2015/09/17	2016/04/28	50.00
68586	Credit Suisse AG - HSI R Bear CBBC Apr 2016 V	200,000,000	2015/09/17	2016/04/28	50.00
68581	Credit Suisse AG - HSI R Bear CBBC May 2016 P	200,000,000	2015/09/17	2016/05/30	50.00
68590	Credit Suisse AG - HSI R Bear CBBC May 2016 R	200,000,000	2015/09/17	2016/05/30	50.00
68422	HK Bank - BOCL R Bull CBBC Aug 2016 E	100,000,000	2015/09/17	2016/08/29	25.00
68431	HK Bank - CC Bank R Bull CBBC Aug 2016 E	100,000,000	2015/09/17	2016/08/22	25.00
68425	HK Bank - China Life R Bull CBBC Aug 2016 D	60,000,000	2015/09/17	2016/08/22	15.00
68436	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2016 G	100,000,000	2015/09/17	2016/07/08	25.00
68432	HK Bank - HKEx R Bull CBBC Sep 2016 E	100,000,000	2015/09/17	2016/09/29	25.00
68405	HK Bank - HSCEI R Bull CBBC Dec 2016 D	100,000,000	2015/09/17	2016/12/29	25.00
68397	HK Bank - HSI R Bull CBBC Dec 2016 J	150,000,000	2015/09/17	2016/12/29	37.50
68434	HK Bank - HSI R Bear CBBC Dec 2016 C	400,000,000	2015/09/17	2016/12/29	100.00
68424	HK Bank - Ping An R Bull CBBC Oct 2016 G	188,000,000	2015/09/17	2016/10/31	47.00
68416	HK Bank - TCH R Bull CBBC Jul 2016 L	100,000,000	2015/09/17	2016/07/25	25.00
68640	J P Morgan SP BV - HSCEI R Bear CBBC Jan 2016 G	100,000,000	2015/09/17	2016/01/28	25.00
68527	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 L	200,000,000	2015/09/17	2016/07/28	50.00
68541	J P Morgan SP BV - HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/09/17	2016/07/28	50.00
68529	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 N	200,000,000	2015/09/17	2016/08/30	50.00
68549	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 H	200,000,000	2015/09/17	2016/10/28	50.00
68641	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 V	200,000,000	2015/09/17	2016/01/28	50.00

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68643	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 L	200,000,000	2015/09/17	2016/03/30	50.00
68461	Standard Chartered Bank - HKEx R Bull CBBC Aug 2016 B	50,000,000	2015/09/17	2016/08/08	12.50
68462	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 A	150,000,000	2015/09/17	2016/07/28	37.50
68483	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 B	150,000,000	2015/09/17	2016/07/28	37.50
68486	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 C	100,000,000	2015/09/17	2016/07/28	25.00
68520	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 Q	150,000,000	2015/09/17	2016/02/26	37.50
68521	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 R	150,000,000	2015/09/17	2016/02/26	37.50
68444	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 R	200,000,000	2015/09/17	2016/06/29	50.00
68453	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 J	200,000,000	2015/09/17	2016/08/30	50.00
68454	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 K	200,000,000	2015/09/17	2016/08/30	50.00
68456	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 L	200,000,000	2015/09/17	2016/08/30	50.00
68458	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 M	200,000,000	2015/09/17	2016/08/30	50.00
68496	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 T	200,000,000	2015/09/17	2016/04/28	50.00
68505	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 U	200,000,000	2015/09/17	2016/04/28	50.00
68487	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 P	200,000,000	2015/09/17	2016/05/30	50.00
68604	UBS AG - HSI R Bull CBBC Jun 2016 H	200,000,000	2015/09/17	2016/06/29	50.00
68614	UBS AG - HSI R Bull CBBC Jul 2016 D	200,000,000	2015/09/17	2016/07/28	50.00
68618	UBS AG - HSI R Bull CBBC Jul 2016 E	200,000,000	2015/09/17	2016/07/28	50.00
68620	UBS AG - HSI R Bull CBBC Jul 2016 I	200,000,000	2015/09/17	2016/07/28	50.00
68608	UBS AG - HSI R Bull CBBC Aug 2016 W	200,000,000	2015/09/17	2016/08/30	50.00
68616	UBS AG - HSI R Bull CBBC Aug 2016 X	200,000,000	2015/09/17	2016/08/30	50.00
68596	UBS AG - HSI R Bull CBBC Sep 2016 O	200,000,000	2015/09/17	2016/09/29	50.00
68621	UBS AG - HSI R Bull CBBC Oct 2016 K	200,000,000	2015/09/17	2016/10/28	50.00
68624	UBS AG - HSI R Bear CBBC Jan 2016 F	200,000,000	2015/09/17	2016/01/28	50.00
68636	UBS AG - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/09/17	2016/01/28	50.00
68639	UBS AG - HSI R Bear CBBC Feb 2016 D	200,000,000	2015/09/17	2016/02/26	50.00
68628	UBS AG - HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/09/17	2016/02/26	50.00
68735	Credit Suisse AG - China Life R Bull CBBC Apr 2016 B	80,000,000	2015/09/18	2016/04/29	35.20
68731	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jun 2016 A	100,000,000	2015/09/18	2016/06/30	25.00
68710	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jul 2016 A	100,000,000	2015/09/18	2016/07/29	25.00
68734	Credit Suisse AG - A50 China R Bull CBBC Jun 2016 A	100,000,000	2015/09/18	2016/06/30	25.00
68738	Credit Suisse AG - HKEx R Bull CBBC May 2016 A	80,000,000	2015/09/18	2016/05/31	20.00
68741	Credit Suisse AG - HKEx R Bear CBBC Mar 2016 A	80,000,000	2015/09/18	2016/03/31	20.00
68766	Credit Suisse AG - HSI R Bull CBBC Mar 2016 I	200,000,000	2015/09/18	2016/03/30	50.00
68758	Credit Suisse AG - HSI R Bull CBBC Mar 2016 Y	200,000,000	2015/09/18	2016/03/30	50.00
68759	Credit Suisse AG - HSI R Bull CBBC Mar 2016 Z	200,000,000	2015/09/18	2016/03/30	50.00
68753	Credit Suisse AG - HSI R Bear CBBC Feb 2016 F	200,000,000	2015/09/18	2016/02/26	50.00
68767	Credit Suisse AG - HSI R Bear CBBC Feb 2016 T	200,000,000	2015/09/18	2016/02/26	50.00
68748	Credit Suisse AG - HSI R Bear CBBC Mar 2016 W	200,000,000	2015/09/18	2016/03/30	50.00
68754	Credit Suisse AG - HSI R Bear CBBC Mar 2016 X	200,000,000	2015/09/18	2016/03/30	50.00
68751	Credit Suisse AG - HSI R Bear CBBC Apr 2016 W	200,000,000	2015/09/18	2016/04/28	50.00
68736	Credit Suisse AG - Ping An R Bull CBBC Jul 2016 A	100,000,000	2015/09/18	2016/07/29	25.00
68747	Credit Suisse AG - TCH R Bull CBBC Jun 2016 A	80,000,000	2015/09/18	2016/06/30	20.00
68655	HK Bank - HSI R Bull CBBC Nov 2016 J	150,000,000	2015/09/18	2016/11/29	37.50
68662	HK Bank - HSI R Bear CBBC Sep 2016 E	100,000,000	2015/09/18	2016/09/29	25.00

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68660	HK Bank - HSI R Bear CBBC Oct 2016 D	100,000,000	2015/09/18	2016/10/28	25.00
68650	HK Bank - Haitong Sec R Bull CBBC Aug 2016 B	60,000,000	2015/09/18	2016/08/29	21.00
68648	HK Bank - TCH R Bear CBBC Oct 2016 B	100,000,000	2015/09/18	2016/10/31	25.00
68779	J P Morgan SP BV - A50 China R Bull CBBC Aug 2016 B	100,000,000	2015/09/18	2016/08/12	25.00
68780	J P Morgan SP BV - HKEx R Bull CBBC Oct 2016 E	100,000,000	2015/09/18	2016/10/14	25.00
68777	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 O	200,000,000	2015/09/18	2016/08/30	50.00
68783	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 P	200,000,000	2015/09/18	2016/08/30	50.00
68788	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 H	200,000,000	2015/09/18	2016/09/29	50.00
68781	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 I	200,000,000	2015/09/18	2016/10/28	50.00
68683	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 W	200,000,000	2015/09/18	2016/01/28	50.00
68686	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 M	200,000,000	2015/09/18	2016/03/30	50.00
68688	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 G	150,000,000	2015/09/18	2016/07/28	37.50
68690	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 I	150,000,000	2015/09/18	2016/07/28	37.50
68679	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 S	100,000,000	2015/09/18	2016/02/26	25.00
68682	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 T	150,000,000	2015/09/18	2016/02/26	37.50
68698	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 S	200,000,000	2015/09/18	2016/06/29	50.00
68709	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 T	200,000,000	2015/09/18	2016/06/29	50.00
68692	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 S	200,000,000	2015/09/18	2016/07/28	50.00
68670	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 R	200,000,000	2015/09/18	2016/03/30	50.00
68677	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar16 S	200,000,000	2015/09/18	2016/03/30	50.00
68668	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 V	200,000,000	2015/09/18	2016/04/28	50.00
68669	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 W	200,000,000	2015/09/18	2016/04/28	50.00
68776	UBS AG - HSCEI R Bear CBBC Jan 2016 A	100,000,000	2015/09/18	2016/01/28	25.00
68775	UBS AG - HSI R Bull CBBC Jun 2016 S	200,000,000	2015/09/18	2016/06/29	50.00
68773	UBS AG - HSI R Bear CBBC Jan 2016 A	200,000,000	2015/09/18	2016/01/28	50.00
68774	UBS AG - HSI R Bear CBBC Jan 2016 C	200,000,000	2015/09/18	2016/01/28	50.00
68807	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 O	200,000,000	2015/09/21	2016/04/28	50.00
68821	Credit Suisse AG - HSI R Bull CBBC Jun 2016 L	200,000,000	2015/09/21	2016/06/29	50.00
68820	Credit Suisse AG - HSI R Bear CBBC Apr 2016 X	200,000,000	2015/09/21	2016/04/28	50.00
68854	J P Morgan SP BV - HSI R Bull CBBC Apr 2016 F	200,000,000	2015/09/21	2016/04/28	50.00
68853	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 Q	200,000,000	2015/09/21	2016/08/30	50.00
68826	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/09/21	2016/02/26	50.00
68828	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 T	200,000,000	2015/09/21	2016/02/26	50.00
68850	J P Morgan SP BV - HSI R Bear CBBC Apr 2016 D	200,000,000	2015/09/21	2016/04/28	50.00
68806	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2016 F	35,000,000	2015/09/21	2016/03/30	10.64
68802	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2016 E	35,000,000	2015/09/21	2016/03/30	10.22
68799	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 M	100,000,000	2015/09/21	2016/07/28	25.00
68814	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 N	200,000,000	2015/09/21	2016/08/30	50.00
68818	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 O	200,000,000	2015/09/21	2016/08/30	50.00
68797	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 Q	200,000,000	2015/09/21	2016/05/30	50.00
68796	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun16 A	200,000,000	2015/09/21	2016/06/29	50.00
68822	UBS AG - HSI R Bull CBBC Jun 2016 A	200,000,000	2015/09/21	2016/06/29	50.00
68899	Credit Suisse AG - HSI R Bull CBBC Feb 2016 E	200,000,000	2015/09/22	2016/02/26	50.00
68900	Credit Suisse AG - HSI R Bear CBBC Dec 2015 B	200,000,000	2015/09/22	2015/12/30	50.00
68919	Bank of East Asia - CAM CSI300 R Bull CBBC Dec 2016 A	80,000,000	2015/09/22	2016/12/30	20.00

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68920	Bank of East Asia - China Mobile R Bull CBBC Jan 2017 A	40,000,000	2015/09/22	2017/01/04	10.00
68909	Bank of East Asia - HS H-SH ETF R Bull CBBC Dec 2016 A	40,000,000	2015/09/22	2016/12/28	10.00
68901	Bank of East Asia - HSBC Holdings R Bull CBBC Dec 2016 A	50,000,000	2015/09/22	2016/12/05	12.50
68921	Bank of East Asia - PetCh R Bull CBBC Jan 2017 A	50,000,000	2015/09/22	2017/01/06	12.50
68877	HK Bank - China Mobile R Bull CBBC Nov 2016 D	120,000,000	2015/09/22	2016/11/14	30.00
68884	HK Bank - CM Bank R Bull CBBC Oct 2016 B	40,000,000	2015/09/22	2016/10/03	10.00
68871	HK Bank - CNOOC R Bull CBBC Oct 2016 D	60,000,000	2015/09/22	2016/10/31	15.00
68872	HK Bank - CNOOC R Bear CBBC Dec 2016 B	40,000,000	2015/09/22	2016/12/01	10.00
68883	HK Bank - HKEx R Bull CBBC Oct 2016 J	100,000,000	2015/09/22	2016/10/24	51.00
68886	HK Bank - PetCh R Bull CBBC Dec 2016 D	60,000,000	2015/09/22	2016/12/19	15.00
68885	HK Bank - Ping An R Bull CBBC Nov 2016 J	188,000,000	2015/09/22	2016/11/21	47.00
68879	HK Bank - TCH R Bull CBBC Oct 2016 B	100,000,000	2015/09/22	2016/10/31	28.00
68878	HK Bank - TCH R Bear CBBC Oct 2016 C	100,000,000	2015/09/22	2016/10/24	25.00
68929	J P Morgan SP BV - CAM CSI300 R Bull CBBC Aug 2016 B	100,000,000	2015/09/22	2016/08/19	25.00
68894	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 I	200,000,000	2015/09/22	2016/09/29	50.00
68934	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 J	200,000,000	2015/09/22	2016/10/28	50.00
68931	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 I	200,000,000	2015/09/22	2016/11/29	50.00
68965	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/09/22	2016/01/28	50.00
68935	J P Morgan SP BV - HSI R Bear CBBC Apr 2016 E	200,000,000	2015/09/22	2016/04/28	50.00
68887	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 L	100,000,000	2015/09/22	2016/07/28	25.00
68890	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 N	150,000,000	2015/09/22	2016/07/28	37.50
68891	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 U	150,000,000	2015/09/22	2016/02/26	37.50
68892	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 V	150,000,000	2015/09/22	2016/02/26	37.50
68898	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 J	200,000,000	2015/09/22	2016/09/29	50.00
68924	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun16 B	200,000,000	2015/09/22	2016/06/29	50.00
69097	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 O	250,000,000	2015/09/23	2016/11/29	62.50
69104	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2016 P	250,000,000	2015/09/23	2016/11/29	62.50
69099	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 G	250,000,000	2015/09/23	2016/12/29	62.50
68998	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 U	200,000,000	2015/09/23	2016/03/30	50.00
69000	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 V	200,000,000	2015/09/23	2016/03/30	50.00
68999	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 P	200,000,000	2015/09/23	2016/04/28	50.00
69061	Credit Suisse AG - HSI R Bull CBBC Jun 2016 M	200,000,000	2015/09/23	2016/06/29	50.00
69062	Credit Suisse AG - HSI R Bull CBBC Jun 2016 P	200,000,000	2015/09/23	2016/06/29	50.00
69065	Credit Suisse AG - HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/09/23	2016/06/29	50.00
69003	Credit Suisse AG - HSI R Bear CBBC Dec 2015 C	200,000,000	2015/09/23	2015/12/30	50.00
69024	Credit Suisse AG - HSI R Bear CBBC Dec 2015 D	200,000,000	2015/09/23	2015/12/30	50.00
69039	Credit Suisse AG - HSI R Bear CBBC Dec 2015 G	200,000,000	2015/09/23	2015/12/30	50.00
69052	Credit Suisse AG - HSI R Bear CBBC Dec 2015 H	200,000,000	2015/09/23	2015/12/30	50.00
69120	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 J	200,000,000	2015/09/23	2016/09/29	50.00
69123	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 K	200,000,000	2015/09/23	2016/10/28	50.00
69130	J P Morgan SP BV - HSI R Bull CBBC Dec 2016 F	200,000,000	2015/09/23	2016/12/29	50.00
68992	J P Morgan SP BV - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/09/23	2015/12/30	50.00
69106	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 X	200,000,000	2015/09/23	2016/01/28	50.00
69110	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 U	200,000,000	2015/09/23	2016/02/26	50.00
69117	J P Morgan SP BV - HSI R Bear CBBC Apr 2016 F	200,000,000	2015/09/23	2016/04/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68989	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 O	100,000,000	2015/09/23	2016/07/28	25.00
69131	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 P	100,000,000	2015/09/23	2016/07/28	25.00
68982	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 W	100,000,000	2015/09/23	2016/02/26	25.00
68991	Standard Chartered Bank - Ping An R Bull CBBC Aug 2016 B	50,000,000	2015/09/23	2016/08/26	12.50
69066	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 T	200,000,000	2015/09/23	2016/07/28	50.00
69067	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul16 U	200,000,000	2015/09/23	2016/07/28	50.00
69001	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 R	200,000,000	2015/09/23	2016/05/30	50.00
68969	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun16 C	200,000,000	2015/09/23	2016/06/29	50.00
69002	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun16 D	200,000,000	2015/09/23	2016/06/29	50.00
69089	UBS AG - HSI R Bull CBBC Jul 2016 L	200,000,000	2015/09/23	2016/07/28	50.00
69091	UBS AG - HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/09/23	2016/08/30	50.00
69068	UBS AG - HSI R Bear CBBC Dec 2015 B	200,000,000	2015/09/23	2015/12/30	50.00
69070	UBS AG - HSI R Bear CBBC Dec 2015 C	200,000,000	2015/09/23	2015/12/30	50.00
69075	UBS AG - HSI R Bear CBBC Dec 2015 D	200,000,000	2015/09/23	2015/12/30	50.00
69082	UBS AG - HSI R Bear CBBC Dec 2015 E	200,000,000	2015/09/23	2015/12/30	50.00
69242	BNP Paribas Arbit Issu B.V. - HS H ETF R Bull CBBC Jan 2016J	60,000,000	2015/09/24	2016/01/29	15.00
69223	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 K	250,000,000	2015/09/24	2016/12/29	62.50
69235	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/09/24	2016/04/28	50.00
69244	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Dec 2016 B	68,000,000	2015/09/24	2016/12/30	17.00
69253	Credit Suisse AG - CCB R Bull CBBC May 2016 A	70,000,000	2015/09/24	2016/05/27	17.50
69251	Credit Suisse AG - China Life R Bull CBBC May 2016 B	80,000,000	2015/09/24	2016/05/27	29.60
69258	Credit Suisse AG - CRRC R Bull CBBC May 2016 A	70,000,000	2015/09/24	2016/05/27	17.50
69261	Credit Suisse AG - HSI R Bull CBBC May 2016 E	200,000,000	2015/09/24	2016/05/30	50.00
69262	Credit Suisse AG - HSI R Bull CBBC May 2016 I	200,000,000	2015/09/24	2016/05/30	50.00
69289	Credit Suisse AG - HSI R Bull CBBC May 2016 J	200,000,000	2015/09/24	2016/05/30	50.00
69293	Credit Suisse AG - HSI R Bull CBBC May 2016 K	200,000,000	2015/09/24	2016/05/30	50.00
69245	Credit Suisse AG - HSI R Bear CBBC Dec 2015 I	200,000,000	2015/09/24	2015/12/30	50.00
69297	Credit Suisse AG - HSI R Bear CBBC Dec 2015 Q	200,000,000	2015/09/24	2015/12/30	50.00
69300	Credit Suisse AG - HSI R Bear CBBC Dec 2015 T	200,000,000	2015/09/24	2015/12/30	50.00
69252	Credit Suisse AG - ICBC R Bull CBBC May 2016 A	70,000,000	2015/09/24	2016/05/27	17.50
69259	Credit Suisse AG - TCH R Bull CBBC Apr 2016 A	80,000,000	2015/09/24	2016/04/29	20.00
69151	HK Bank - CAM CSI300 R Bull CBBC Sep 2016 D	100,000,000	2015/09/24	2016/09/19	32.00
69152	HK Bank - BOCL R Bear CBBC Oct 2016 A	40,000,000	2015/09/24	2016/10/17	10.00
69173	HK Bank - CSOP A50 ETF R Bull CBBC May 2016 G	100,000,000	2015/09/24	2016/05/31	25.00
69175	HK Bank - A50 R Bull CBBC Jul 2016 C	100,000,000	2015/09/24	2016/07/29	25.00
69155	HK Bank - HKEx R Bull CBBC Sep 2016 F	100,000,000	2015/09/24	2016/09/30	25.00
69142	HK Bank - HSCEI R Bull CBBC Oct 2016 G	100,000,000	2015/09/24	2016/10/28	25.00
69177	HK Bank - HSI R Bull CBBC Sep 2016 O	150,000,000	2015/09/24	2016/09/29	37.50
69160	HK Bank - Ping An R Bull CBBC Oct 2016 H	40,000,000	2015/09/24	2016/10/26	10.00
69153	HK Bank - TCH R Bull CBBC Sep 2016 C	100,000,000	2015/09/24	2016/09/12	25.00
69330	J P Morgan SP BV - HSI R Bull CBBC Oct 2016 L	200,000,000	2015/09/24	2016/10/28	50.00
69329	J P Morgan SP BV - HSI R Bull CBBC Nov 2016 J	200,000,000	2015/09/24	2016/11/29	50.00
69211	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2016 F	40,000,000	2015/09/24	2016/03/30	10.00
69198	Standard Chartered Bank - China Life R Bull CBBC Aug 2016 A	50,000,000	2015/09/24	2016/08/22	12.50
69191	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 R	100,000,000	2015/09/24	2016/07/28	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69207	Standard Chartered Bank - HSI R Bull CBBC Jul 2016 Y	100,000,000	2015/09/24	2016/07/28	25.00
69182	Standard Chartered Bank - HSI R Bear CBBC Feb 2016 X	100,000,000	2015/09/24	2016/02/26	25.00
69192	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 U	200,000,000	2015/09/24	2016/06/29	50.00
69194	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 P	200,000,000	2015/09/24	2016/08/30	50.00
69197	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 S	200,000,000	2015/09/24	2016/05/30	50.00
69328	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 T	200,000,000	2015/09/24	2016/05/30	50.00
69196	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun16 E	200,000,000	2015/09/24	2016/06/29	50.00
69311	UBS AG - HSCEI R Bull CBBC Jun 2016 E	200,000,000	2015/09/24	2016/06/29	50.00
69319	UBS AG - HSI R Bull CBBC Jun 2016 T	200,000,000	2015/09/24	2016/06/29	50.00
69320	UBS AG - HSI R Bull CBBC Jul 2016 O	200,000,000	2015/09/24	2016/07/28	50.00
69327	UBS AG - HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/09/24	2016/08/30	50.00
69306	UBS AG - HSI R Bear CBBC Dec 2015 G	200,000,000	2015/09/24	2015/12/30	50.00
69388	Credit Suisse AG - HSI R Bull CBBC May 2016 A	200,000,000	2015/09/25	2016/05/30	50.00
69387	Credit Suisse AG - HSI R Bull CBBC May 2016 M	200,000,000	2015/09/25	2016/05/30	50.00
69385	Credit Suisse AG - HSI R Bear CBBC Jan 2016 D	200,000,000	2015/09/25	2016/01/28	50.00
69386	Credit Suisse AG - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/09/25	2016/01/28	50.00
69389	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 R	200,000,000	2015/09/25	2016/08/30	50.00
69407	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 N	200,000,000	2015/09/25	2016/03/30	50.00
69383	Standard Chartered Bank - HSI R Bull CBBC Aug 2016 M	150,000,000	2015/09/25	2016/08/30	37.50
69331	Standard Chartered Bank - HSI R Bear CBBC Mar 2016 A	150,000,000	2015/09/25	2016/03/30	37.50
69340	Standard Chartered Bank - HSI R Bear CBBC Mar 2016 B	100,000,000	2015/09/25	2016/03/30	25.00
69341	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 Q	200,000,000	2015/09/25	2016/08/30	50.00
69372	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 X	200,000,000	2015/09/25	2016/04/28	50.00
69403	UBS AG - HSI R Bull CBBC Jun 2016 B	200,000,000	2015/09/25	2016/06/29	50.00
69404	UBS AG - HSI R Bull CBBC Jul 2016 P	200,000,000	2015/09/25	2016/07/28	50.00
69399	UBS AG - HSI R Bear CBBC Dec 2015 L	200,000,000	2015/09/25	2015/12/30	50.00
69400	UBS AG - HSI R Bear CBBC Dec 2015 O	200,000,000	2015/09/25	2015/12/30	50.00
69414	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2016 N	250,000,000	2015/09/29	2016/12/29	62.50
69498	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/09/29	2016/03/30	50.00
69499	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2016 R	200,000,000	2015/09/29	2016/04/28	50.00
69445	Credit Suisse AG - HSI R Bull CBBC Apr 2016 K	200,000,000	2015/09/29	2016/04/28	50.00
69444	Credit Suisse AG - HSI R Bull CBBC Apr 2016 N	200,000,000	2015/09/29	2016/04/28	50.00
69510	Credit Suisse AG - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/09/29	2015/12/30	50.00
69511	Credit Suisse AG - HSI R Bear CBBC Dec 2015 Y	200,000,000	2015/09/29	2015/12/30	50.00
69512	J P Morgan SP BV - HSCEI R Bull CBBC Sep 2016 C	100,000,000	2015/09/29	2016/09/29	25.00
69518	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2016 P	100,000,000	2015/09/29	2016/04/28	25.00
69427	J P Morgan SP BV - HSI R Bull CBBC Jun 2016 F	200,000,000	2015/09/29	2016/06/29	50.00
69439	J P Morgan SP BV - HSI R Bull CBBC Sep 2016 K	200,000,000	2015/09/29	2016/09/29	50.00
69535	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 E	200,000,000	2015/09/29	2016/01/28	50.00
69525	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 W	200,000,000	2015/09/29	2016/02/26	50.00
69417	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Aug16 R	200,000,000	2015/09/29	2016/08/30	50.00
69416	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 K	200,000,000	2015/09/29	2016/09/29	50.00
69426	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Sep16 L	200,000,000	2015/09/29	2016/09/29	50.00
69475	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 Y	200,000,000	2015/09/29	2016/04/28	50.00
69497	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr16 Z	200,000,000	2015/09/29	2016/04/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69538	UBS AG - HSI R Bull CBBC Jun 2016 J	200,000,000	2015/09/29	2016/06/29	50.00
69537	UBS AG - HSI R Bull CBBC Aug 2016 E	200,000,000	2015/09/29	2016/08/30	50.00
69540	UBS AG - HSI R Bear CBBC Dec 2015 R	200,000,000	2015/09/29	2015/12/30	50.00
69554	UBS AG - HSI R Bear CBBC Dec 2015 V	200,000,000	2015/09/29	2015/12/30	50.00
69544	UBS AG - HSI R Bear CBBC Jan 2016 D	200,000,000	2015/09/29	2016/01/28	50.00
69558	UBS AG - TCH R Bull CBBC Jun 2016 B	50,000,000	2015/09/29	2016/06/13	12.50
69734	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Dec 2016 A	75,000,000	2015/09/30	2016/12/30	18.75
69623	Credit Suisse AG - HSI R Bull CBBC Jul 2016 D	200,000,000	2015/09/30	2016/07/28	50.00
69637	Credit Suisse AG - HSI R Bull CBBC Jul 2016 E	200,000,000	2015/09/30	2016/07/28	50.00
69589	Credit Suisse AG - HSI R Bear CBBC Dec 2015 B	200,000,000	2015/09/30	2015/12/30	50.00
69582	Credit Suisse AG - HSI R Bear CBBC Feb 2016 A	200,000,000	2015/09/30	2016/02/26	50.00
69739	Bank of East Asia - COVS R Bull CBBC Dec 2016 A	20,000,000	2015/09/30	2016/12/08	10.00
69662	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 S	200,000,000	2015/09/30	2016/08/30	50.00
69729	J P Morgan SP BV - HSI R Bull CBBC Aug 2016 T	200,000,000	2015/09/30	2016/08/30	50.00
69574	J P Morgan SP BV - HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/09/30	2016/01/28	50.00
69701	J P Morgan SP BV - HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/09/30	2016/02/26	50.00
69702	J P Morgan SP BV - HSI R Bear CBBC Mar 2016 O	200,000,000	2015/09/30	2016/03/30	50.00
69561	Standard Chartered Bank - HSI R Bear CBBC Mar 2016 C	150,000,000	2015/09/30	2016/03/30	37.50
69575	Standard Chartered Bank - HSI R Bear CBBC Mar 2016 D	100,000,000	2015/09/30	2016/03/30	25.00
69648	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun16 V	200,000,000	2015/09/30	2016/06/29	50.00
69571	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May16 U	200,000,000	2015/09/30	2016/05/30	50.00
69562	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jun16 F	200,000,000	2015/09/30	2016/06/29	50.00
69581	UBS AG - HSI R Bull CBBC Jul 2016 R	200,000,000	2015/09/30	2016/07/28	50.00
總額					161,333.51

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2014	第3季	4	24.18
	第4季	4	81.70
2015	第1季	4	43.92
	第2季	4	107.08
	第3季	4	67.99

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2014	第3季	—	—
	第4季	—	—
2015	第1季	—	—
	第2季	—	—
	第3季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2014	第3季	7	0.63
	第4季	7	1.27
2015	第1季	7	0.20
	第2季	6	0.48
	第3季	6	0.01

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2014	第3季	2	0.01
	第4季	2	—
2015	第1季	2	—
	第2季	2	—
	第3季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2014	第3季	91,704.03	39,161.42	1,013,981
	第4季	77,002.94	34,223.56	888,648
2015	第1季	74,102.98	31,767.18	912,664
	第2季	254,155.08	131,631.55	2,815,024
	第3季	137,580.38	51,332.73	1,377,832

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2014	第3季	64	611.90	38,621
	第4季	62	551.99	38,512
2015	第1季	61	520.77	34,807
	第2季	60	2,193.86	46,760
	第3季	63	814.81	37,256

20種升幅最大之創業板股份

2015年第3季

順次	股份代號	股份	收市價			升幅
			2015年9月底	2015年6月底		
1	08006	華泰瑞銀	0.690	0.233	A	196.14
2	08078	中國3D數碼	1.880	1.000		88.00
3	08147	匯思太平洋	12.400	7.000		77.14
4	08218	毅高國際控股	2.600	1.540	A	68.83
5	08279	亞博科技控股	1.680	1.110		51.35
6	08260	施伯樂策略控股	1.380	0.990		39.39
7	08195	L&A INTL HOLD	3.150	2.320		35.78
8	08207	中國信貸	2.000	1.630		22.70
9	08246	北方新能源	4.260	3.550		20.00
10	08326	JC GROUP HLDG	2.730	2.350		16.17
11	08036	晶芯科技控股	2.670	2.400		11.25
12	08163	萬德移動	0.186	0.170	A	9.41
13	08189	泰達生物	1.230	1.150		6.96
14	08099	中國優材	2.590	2.500		3.60
15	08295	卓亞資本	0.370	0.365		1.37

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2015年第3季

順次	股份代號	股份	收市價		跌幅
			2015年9月底	2015年6月底	
1	08355	超智能控股	0.305	5.000	-93.90
2	08238	惠陶集團	0.037	0.550	-93.27
3	08018	匯財金融投資	0.078	0.900	-91.33
4	08212	譽滿國際控股	0.066	0.710	-90.70
5	08153	科地農業	0.158	0.760 A	-79.21
6	08021	匯隆控股	0.250	1.050	-76.19
7	08081	中國手遊文化	0.051	0.202	-74.75
8	08019	皓文控股	0.027	0.098	-72.45
9	08242	宏創高科	0.218	0.790	-72.41
10	08215	FIRST CREDIT	0.099	0.345	-71.30
11	08086	DX.COM控股	0.042	0.145	-71.03
12	08029	太陽國際	0.780	2.525 A	-69.11
13	08125	中持基業	2.900	8.980	-67.71
14	08148	奧栢中國	0.081	0.248	-67.34
15	08181	港深聯合	0.400	1.180	-66.10
16	08132	中油港燃	0.129	0.380	-66.05
17	08201	寶聯控股	0.038	0.108	-64.81
18	08173	寰亞礦業	0.106	0.290	-63.45
19	08226	中昱科技	0.255	0.690	-63.04
20	08112	FOCUS MEDIA	0.610	1.600	-61.88

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2015年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08207	中國信貸	7,050.41	13.73
2	08132	中油港燃	2,023.45	3.94
3	08018	匯財金融投資	1,912.97	3.73
4	08083	中國創新支付	1,819.41	3.54
5	08167	中國新電信	1,566.51	3.05
6	08137	洪橋集團	1,449.94	2.82
7	08325	中國支付通	1,411.73	2.75
8	08195	L&A INTL HOLD	1,392.51	2.71
9	08355	超智能控股	1,333.41	2.60
10	08109	科瑞控股	1,212.95	2.36
11	08158	中國再生醫學	1,201.67	2.34
12	08029	太陽國際	956.11	1.86
13	08007	環球戰略集團	903.98	1.76
14	08021	匯隆控股	764.50	1.49
15	08005	裕興科技	755.64	1.47
16	08238	惠陶集團	713.70	1.39
17	08081	中國手遊文化	688.10	1.34
18	08226	中昱科技	666.64	1.30
19	08369	立基工程控股	651.06	1.27
20	08315	冠輝保安	598.50	1.17
總額			29,073.19	56.64

創業板 股份

20種成交最活躍創業板股份(股數)

2015年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08018	匯財金融投資	10,332.46	7.51
2	08132	中油港燃	10,033.66	7.29
3	08238	惠陶集團	8,401.75	6.11
4	08081	中國手遊文化	7,141.79	5.19
5	08201	寶聯控股	4,407.10	3.20
6	08171	中國趨勢	4,293.20	3.12
7	08207	中國信貸	4,014.31	2.92
8	08109	科瑞控股	3,957.82	2.88
9	08086	DX.COM控股	3,772.88	2.74
10	08212	譽滿國際控股	3,392.23	2.47
11	08007	環球戰略集團	3,378.21	2.46
12	08148	奧栢中國	2,986.74	2.17
13	08083	中國創新支付	2,859.77	2.08
14	08019	皓文控股	2,846.09	2.07
15	08158	中國再生醫學	2,693.07	1.96
16	08173	寰亞礦業	2,027.81	1.47
17	08120	中國神農投資	2,013.49	1.46
18	08203	凱順能源	1,840.18	1.34
19	08167	中國新電信	1,753.84	1.27
20	08021	匯隆控股	1,694.57	1.23
總數			83,840.98	60.94

創業板 股份

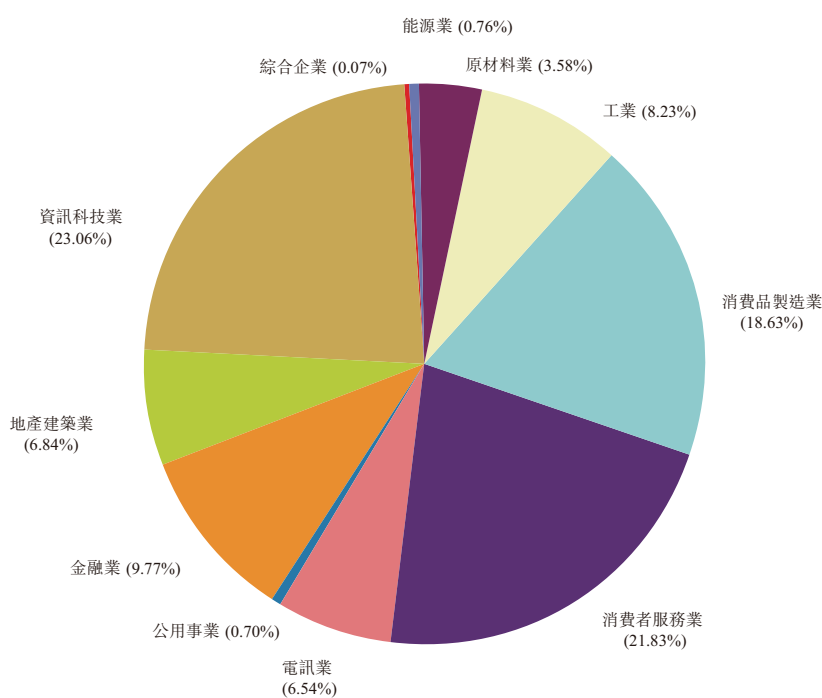
創業板公司市價總值

截至季末

		百 萬 港 元
2014	第 3 季	180,171.37
	第 4 季	179,409.87
2015	第 1 季	207,611.93
	第 2 季	326,139.64
	第 3 季	224,157.68

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註： 新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2015年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08147	匯思太平洋集團控股有限公司	12,964.94	5.78
2	08195	樂亞國際控股有限公司	12,600.00	5.62
3	08137	洪橋集團有限公司	8,333.53	3.72
4	08279	亞博科技控股有限公司	7,646.61	3.41
5	08158	中國再生醫學國際有限公司	7,476.68	3.34
6	08032	非凡中國控股有限公司	7,340.01	3.27
7	08138	北京同仁堂國藥有限公司	7,165.58	3.20
8	08207	中國信貸控股有限公司	6,659.52	2.97
9	08008	新意網集團有限公司	5,550.47	2.48
10	08006	中國華泰瑞銀控股有限公司	4,436.99	1.98
11	08167	中國新電信集團有限公司	4,192.69	1.87
12	08316	柏榮集團(控股)有限公司	4,080.00	1.82
13	08005	裕興科技投資控股有限公司	3,836.96	1.71
14	08050	雲博產業集團有限公司	3,526.25	1.57
15	08170	KSL Holdings Ltd.	3,495.20	1.56
16	08245	安悅國際控股有限公司	3,408.00	1.52
17	08172	拉近網娛集團有限公司	3,237.02	1.44
18	08156	眾彩科技股份有限公司	3,145.70	1.40
19	08140	揚科集團有限公司	2,950.00	1.32
20	08083	中國創新支付集團有限公司	2,856.88	1.27
21	08237	華星控股有限公司	2,716.00	1.21
22	08315	冠輝保安控股有限公司	2,688.00	1.20
23	08099	中國優材(控股)有限公司	2,590.00	1.16
24	08267	藍港互動集團有限公司	2,549.81	1.14
25	08097	鼎石資本有限公司	2,544.00	1.13
26	08361	中國育兒網絡控股有限公司	2,176.18	0.97
27	08218	毅高(國際)控股集團有限公司	2,080.00	0.93
28	08058	山東羅欣藥業集團股份有限公司－H股	2,007.63	0.90
29	08325	中國支付通集團控股有限公司	1,915.33	0.85
30	08246	北方新能源控股有限公司	1,828.22	0.82
31	08078	中國3D數碼娛樂有限公司	1,801.40	0.80
32	08311	圓美光電有限公司	1,765.59	0.79
33	08016	長虹佳華控股有限公司	1,745.58	0.78
34	08123	首華財經網絡集團有限公司	1,722.22	0.77
35	08090	中國融保金融集團有限公司	1,696.36	0.76
36	08155	南華置地有限公司	1,576.17	0.70
37	08261	海天水電國際有限公司	1,572.48	0.70
38	08276	滙友資本控股有限公司	1,522.20	0.68
39	08248	豐展控股有限公司	1,416.80	0.63
40	08143	華夏醫療集團有限公司	1,409.98	0.63
41	08077	昆侖國際金融集團有限公司	1,402.80	0.63
42	08068	新宇國際實業(集團)有限公司	1,389.18	0.62
43	08075	寰亞傳媒集團有限公司	1,367.08	0.61
44	08041	薈萃國際(控股)有限公司	1,356.24	0.61
45	08021	匯隆控股有限公司	1,271.78	0.57
46	08132	中油港燃能源集團控股有限公司	1,234.45	0.55
47	08209	美捷滙控股有限公司	1,152.00	0.51
48	08066	品創控股有限公司	1,129.79	0.50
49	08020	川盟金融集團有限公司	1,110.00	0.50
50	08189	天津泰達生物醫學工程股份有限公司－H股	1,103.93	0.49
總值			166,744.20	74.39
市場總值			224,157.68	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2014	第3季	8	480.88
	第4季	5	1,083.24
2015	第1季	9	626.75
	第2季	5	404.40
	第3季	7	777.74

創業板新上市公司

2015第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08361	中國育兒網絡控股有限公司	2015/07/08	1,026,500,000	384.34
08027	吉輝控股有限公司	2015/07/10	400,000,000	50.00
08316	柏榮集團(控股)有限公司	2015/08/10	800,000,000	70.00
08369	立基工程(控股)有限公司	2015/09/25	1,280,000,000	80.00
08177	REF Holdings Ltd.	2015/09/25	256,000,000	48.00
08248	豐展控股有限公司	2015/09/30	1,232,000,000	77.00
08245	安悅國際控股有限公司	2015/09/30	480,000,000	68.40
總額				777.74

除牌上市公司－創業板

2015年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08056	鼎豐集團控股有限公司*	2015/07/03	2015/07/06 §
08002	IGG Inc *	2015/07/06	2015/07/07 §
08320	思城控股有限公司*	2015/07/31	2015/08/03 §
08146	馬仕達國際控股有限公司*	2015/08/04	2015/08/05 §
08010	成報傳媒集團有限公司	2005/04/28	2015/08/18
08107	譽宴集團控股有限公司*	2015/08/28	2015/08/31 §
08219	品牌中國集團有限公司*	2015/09/07	2015/09/08 §
08253	三門峽天元鋁業股份有限公司－H股	2012/03/29	2015/09/10
08210	龍傑智能卡控股有限公司*	2015/09/25	2015/09/29 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2015年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08179	喜尚控股有限公司	新煮意控股有限公司	2015/06/29	2015/07/08
08147	Millennium Pacific Group Holdings Ltd. #	匯思太平洋集團控股有限公司	2015/05/29	2015/07/08
08120	東麟農業集團有限公司	中國神農投資有限公司	2015/06/02	2015/07/13
08267	藍港互動有限公司	藍港互動集團有限公司	2015/07/21	2015/07/31
08018	匯財軟件公司	匯財金融投資控股有限公司	2015/06/08	2015/08/03
08172	中國星文化產業集團有限公司	拉近網娛集團有限公司	2015/07/03	2015/08/07
08186	神州東盟資源有限公司	同仁資源有限公司	2015/08/11	2015/08/31
08250	中國天然投資有限公司	絲路能源服務集團有限公司	2015/07/30	2015/08/31
08246	名軒(中國)控股有限公司	北方新能源控股有限公司	2015/08/25	2015/09/17

祇改中文名稱

創業板紅股／紅利認股權證

2015年第3季

股份代號	公司	詳情	除淨日
08006	中國華泰瑞銀控股有限公司	1送9	2015/09/15
08268	迪臣建設國際集團有限公司	4送1	2015/09/22
08109	科瑞控股有限公司	2送1	2015/09/30

創業板股份拆細及合併

2015年第3季

股份代號	公司	詳情	生效日期
08218	毅高(國際)控股集團有限公司	1股分拆為4股	2015/07/15
08029	太陽國際資源有限公司	1股分拆為2股	2015/07/27
08051	千里眼控股有限公司	1股分拆為50股	2015/08/14
08315	冠輝保安控股有限公司	1股分拆為10股	2015/08/17
08153	科地農業控股有限公司	10股合併為1股	2015/08/24
08103	泰盛國際(控股)有限公司	2股合併為1股	2015/09/09

創業板供股／公開售股

2015年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	08212	譽滿國際(控股)有限公司	公開售股1供30，每股供0.105港元 (20股合併為1股後)	225.47
	08009	華夏能源控股有限公司	公開售股1供1，每股供0.15港元 (2股合併為1股後)	162.00
	08163	萬德移動集團有限公司	公開售股1供2，每股供0.09港元	68.95
9月	08179	新煮意控股有限公司	股權2供1，每股供0.105港元	201.60
	08037	銳康藥業集團投資有限公司	股權1供4，每股供0.18港元	181.93
	08200	修身堂控股有限公司	公開售股1供1，每股供0.10港元	182.11
	08087	中國三三傳媒集團有限公司	公開售股1供7，每股供0.10港元	504.00
總額				1,526.06

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2015					
7月	08090	中國融保金融集團	18,860,000	1.5900	29.99
	08166	中國農業生態	629,000,000	0.2000	125.80
	08325	中國支付通	63,953,488	2.1500	137.50
	08109	科瑞控股	190,000,000	0.1650	31.35
	08207	中國信貸	94,112,000	1.7000	159.99
	08158	中國再生醫學	1,150,000,000	0.4000	460.00
	08100	智易控股	39,080,000	0.4900	19.15
	08003	世大控股	65,000,000	0.6200	40.30
	08256	金利通	30,000,000	0.2420	7.26
	08265	宏峰太平洋	35,200,000	0.7250	25.52
	08103	泰盛國際控股	216,644,771	0.0850	18.41
8月	08088	滙友資本	4,005,392,000	0.2200	881.19
	08081	中國手遊文化	2,500,002,000	0.1000	250.00
	08356	中國新華電視	260,000,000	0.2500	65.00
	08046	恒芯中國	240,000,000	0.1000	24.00
	08163	萬德移動	76,000,000	0.1200	9.12
	08256	金利通	20,000,000	0.1790	3.58
	08026	長達科技	136,270,000	0.4300	58.60
	08047	宇恒供應鏈	88,363,469	0.1720	15.20
	08226	中昱科技	103,623,000	0.4300	44.56
9月	08078	中國3D數碼	800,000,000	0.2500	200.00
	08266	金達集團國際	447,000,000	0.0950	42.47
	08046	恒芯中國	30,000,000	0.1000	3.00
	08172	拉近網娛	459,934,954	1.0500	482.93
	08150	無縫綠色	212,300,000	0.1280	27.17
	08047	宇恒供應鏈	265,000,000	0.1500	39.75
	08269	富譽控股	380,000,000	0.1070	40.66
	08135	正美豐業	106,000,000	0.4700	49.82
總額					3,292.31

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2014	第3季	0	0.00	0.00
	第4季	0	0.00	0.00
2015	第1季	0	0.00	0.00
	第2季	0	0.00	0.00
	第3季	1	41.31	18.74

創業板新上市股份認股權證

2015年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
08151	薈萃國際(控股)有限公司 2016年認股權證	177,544,576	2015/07/14	2016/07/12	—
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2015年9月底 收市	2015年6月底 收市	升跌
標準普爾／香港交易所大型股指數	25563.43	32097.85	– 20.36%
標準普爾／香港交易所創業板指數	461.58	759.52	– 39.23%
恒生指數	20846.30	26250.03	– 20.59%
分類指數			
金融	28532.36	37847.38	– 24.61%
公用事業	51590.14	53858.18	– 4.21%
地產	29418.68	35144.58	– 16.29%
工商業	11973.08	14701.88	– 18.56%
恒生香港中資企業指數	3901.65	4876.74	– 19.99%
恒生中國企業指數	9405.50	12981.23	– 27.55%

股票市場指數

世界指數

指數	2015年9月底 收市	2015年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	25563.43	32097.85	– 20.36%
恒生指數	20846.30	26250.03	– 20.59%
澳洲			
普通股指數	5058.60	5451.20	– 7.20%
雅加達			
綜合指數	4223.91	4910.66	– 13.98%
日本			
日經指數(225)	17388.15	20235.73	– 14.07%
韓國			
綜合指數	1962.81	2074.20	– 5.37%
吉隆坡			
綜合指數	1621.04	1706.64	– 5.02%
馬尼拉			
綜合指數	6893.98	7564.50	– 8.86%
紐西蘭			
證交所指數(50)	5593.36	5726.96	– 2.33%
上海			
A股指數	3197.37	4479.90	– 28.63%
B股指數	309.71	431.85	– 28.28%
深圳			
A股指數	1795.36	2577.47	– 30.34%
B股指數	1050.74	1462.21	– 28.14%
新加坡			
FTSE海峽時報指數	2790.89	3317.33	– 15.87%
台灣			
台北加權股份指數	8181.24	9323.02	– 12.25%
泰國			
證交所指數	1349.00	1504.55	– 10.34%
紐約			
杜瓊斯工業平均指數	16284.70	17619.51	– 7.58%
Nasdaq綜合指數	4620.17	4986.87	– 7.35%
多倫多			
S&P/TSX綜合指數	13306.96	14553.33	– 8.56%
德國			
法蘭克福指數	4847.12	5491.63	– 11.74%
倫敦			
富時指數(100)	6061.61	6520.98	– 7.04%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2015年第3季	2014年第3季
恒生指數期貨	5,212,839	4,270,694
恒生指數期權	2,093,981	1,912,755
小型恒生指數期貨	3,064,596	1,644,415
小型恒生指數期權	264,749	251,411
H股指數期貨	9,576,380	5,067,604
H股指數期權	5,215,983	2,013,385
小型H股指數期貨	2,164,280	779,761
自訂條款恒生指數期權	1,300	200
自訂條款H股指數期權	5,347	15,861
恒指股息點指數期貨	2,102	5,404
恒生國企股息點指數期貨	56,952	44,905
恒指波幅指數期貨	139	107
中華交易服務中國120指數期貨	3,363	10,935
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	170,322	123,371
股票期權	23,674,156	19,462,284
三個月港元利率期貨	10	10
一個月港元利率期貨	3	0
三年期外匯基金債券期貨 ¹	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	86,580	42,843
黃金期貨 ²	—	0
倫敦鋁期貨小型合約 ³	1,864	—
倫敦鋅期貨小型合約 ⁴	2,664	—
倫敦銅期貨小型合約 ⁵	4,716	—
總數	51,602,326	35,645,945

¹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

² 黃金期貨由2015年5月27日停止買賣

³ 倫敦鋁期貨小型合約由2014年12月01日開始買賣

⁴ 倫敦鋅期貨小型合約由2014年12月01日開始買賣

⁵ 倫敦銅期貨小型合約由2014年12月01日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第3季	4,270,694	131,566
	第4季	4,534,728	99,195
2015年	第1季	3,925,409	115,396
	第2季	4,892,457	120,329
	第3季	5,212,839	102,582

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第3季	1,644,415	9,081
	第4季	2,131,008	7,472
2015年	第1季	1,834,431	7,247
	第2季	2,535,820	10,316
	第3季	3,064,596	7,431

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第3季	1,912,755	254,144
	第4季	2,118,013	169,950
2015年	第1季	1,691,364	203,839
	第2季	2,107,749	202,300
	第3季	2,093,981	257,268

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第3季	5,067,604	209,592
	第4季	6,612,741	259,173
2015年	第1季	6,635,210	303,145
	第2季	9,273,172	317,137
	第3季	9,576,380	349,891

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2014年	第3季	11,835.64	19,462,284	6,016,138
	第4季	15,471.60	23,238,909	6,320,147
2015年	第1季	17,410.66	22,162,657	6,545,827
	第2季	30,404.09	31,431,575	7,635,003
	第3季	22,706.73	23,674,156	6,679,004

衍生產品市場 期貨及期權

各期權類別之期權金總額

2015年第3季

期權金額		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	2,996.92	13.20%
安徽海螺水泥股份	ACC	194.06	0.85%
友邦保險	AIA	778.28	3.43%
中國鋁業	ALC	15.12	0.07%
華夏滬深三百	AMC	877.56	3.86%
交通銀行	BCM	51.80	0.23%
東亞銀行	BEA	7.90	0.03%
百麗國際	BIH	15.27	0.07%
中銀香港	BOC	109.22	0.48%
比亞迪股份	BYD	18.21	0.08%
中國交通建設	CCC	125.37	0.55%
中國中煤能源	CCE	9.41	0.04%
中國遠洋	CCS	2.13	0.01%
中國信達	CDA	26.66	0.12%
中國移動	CHT	1,026.83	4.52%
中國聯通	CHU	163.28	0.72%
中信股份	CIT	121.87	0.54%
長和	CKH	283.60	1.25%
中國人壽	CLI	1,086.89	4.79%
中電控股	CLP	14.26	0.06%
招商銀行	CMB	214.43	0.94%
中國海洋石油	CNC	231.29	1.02%
中國海外發展	COL	235.38	1.04%
國泰航空	CPA	3.03	0.01%
中國石油化工股份	CPC	143.97	0.63%
中國太保	CPI	146.86	0.65%
中國鐵建	CRC	18.56	0.08%
中國中鐵	CRG	26.91	0.12%
華潤置地	CRL	31.95	0.14%
X標智滬深300	CS3	0.24	0.00%
南方A50	CSA	2,719.38	11.98%
中國神華	CSE	118.51	0.52%
中信銀行	CTB	49.05	0.22%
中國電信	CTC	48.12	0.21%
中信証券	CTS	21.08	0.09%
東風集團股份	DFM	16.65	0.07%
思捷環球	ESP	1.12	0.01%
富智康集團	FIH	0.54	0.00%
銀河娛樂	GLX	443.75	1.95%
長城汽車	GWM	76.60	0.34%
海通證券	HAI	55.48	0.24%
恒生H股ETF	HCF	29.66	0.13%
電能實業	HEH	31.02	0.14%
香港交易所	HEX	3,226.52	14.21%
恒安國際	HGN	12.29	0.05%
匯豐控股	HKB	636.60	2.80%
香港中華煤氣	HKG	14.80	0.07%
恒基地產	HLD	51.54	0.23%
華能國際電力股份	HNP	22.70	0.10%
恒生銀行	HSB	42.79	0.19%
江西銅業	JXC	61.26	0.27%
昆侖能源	KLE	29.48	0.13%
金山軟件	KSO	63.05	0.28%
聯想集團	LEN	140.85	0.62%
利豐	LIF	33.82	0.15%
蒙牛乳業	MEN	8.07	0.04%
美高梅中國	MGM	2.29	0.01%
民生銀行	MSB	122.34	0.54%

衍生產品市場 期貨及期權

期權金額		期權金額(百萬港元)	佔市場百分比
港鐵公司	MTR	14.87	0.07%
中國建材	NBM	51.64	0.23%
新華保險	NCL	13.48	0.06%
新世界發展	NWD	13.57	0.06%
中國平安	PAI	1,649.36	7.26%
中國石油股份	PEC	347.56	1.53%
中國人民財產保險	PIC	85.62	0.38%
中國人民保險集團	PIN	28.54	0.13%
保利協鑫能源	PLE	4.63	0.02%
富力地產	RFP	43.69	0.19%
金沙中國有限公司	SAN	229.80	1.01%
新鴻基地產	SHK	621.20	2.74%
渣打集團	STC	16.12	0.07%
太古股份公司A	SWA	52.97	0.23%
騰訊控股	TCH	1,417.72	6.24%
盈富基金	TRF	20.17	0.09%
九龍倉集團	WHL	64.56	0.28%
中國旺旺	WWC	7.75	0.03%
農業銀行	XAB	112.94	0.50%
中國銀行	XBC	204.26	0.90%
建設銀行	XCC	394.91	1.74%
工商銀行	XIC	212.77	0.94%
兗州煤業股份	YZC	38.28	0.17%
紫金礦業	ZJM	3.67	0.02%
總額		22,706.73	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2015年第3季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	1,170,032	4.94%
安徽海螺水泥股份	ACC	156,595	0.66%
友邦保險	AIA	606,545	2.56%
中國鋁業	ALC	22,721	0.10%
華夏滬深三百	AMC	177,978	0.75%
交通銀行	BCM	155,669	0.66%
東亞銀行	BEA	33,404	0.14%
百麗國際	BIH	26,784	0.11%
中銀香港	BOC	226,107	0.96%
比亞迪股份	BYD	10,999	0.05%
中國交通建設	CCC	214,063	0.90%
中國中煤能源	CCE	51,004	0.22%
中國遠洋	CCS	9,656	0.04%
中國信達	CDA	17,667	0.07%
中國移動	CHT	704,460	2.98%
中國聯通	CHU	179,798	0.76%
中信股份	CIT	253,271	1.07%
長和	CKH	192,446	0.81%
中國人壽	CLI	920,468	3.89%
中電控股	CLP	26,943	0.11%
招商銀行	CMB	376,076	1.59%
中國海洋石油	CNC	522,916	2.21%
中國海外發展	COL	137,866	0.58%
國泰航空	CPA	4,401	0.02%
中國石油化工股份	CPC	295,699	1.25%
中國太保	CPI	122,136	0.52%
中國鐵建	CRC	37,041	0.16%
中國中鐵	CRG	53,172	0.22%
華潤置地	CRL	13,217	0.06%
X標智滬深300	CS3	551	0.00%
南方A50	CSA	810,422	3.42%
中國神華	CSE	274,209	1.16%
中信銀行	CTB	142,586	0.60%
中國電信	CTC	105,215	0.44%
中信証券	CTS	23,605	0.10%
東風集團股份	DFM	17,513	0.07%
思捷環球	ESP	19,728	0.08%
富智康集團	FIH	2,344	0.01%
銀河娛樂	GLX	291,827	1.23%
長城汽車	GWM	113,557	0.48%
海通證券	HAI	27,114	0.11%
恒生H股ETF	HCF	7,353	0.03%
電能實業	HEH	44,130	0.19%
香港交易所	HEX	3,270,655	13.82%
恒安國際	HGN	10,980	0.05%
匯豐控股	HKB	942,787	3.98%
香港中華煤氣	HKG	36,355	0.15%
恒基地產	HLD	31,749	0.13%
華能國際電力股份	HNP	28,599	0.12%
恒生銀行	HSB	102,388	0.43%
江西銅業	JXC	104,673	0.44%
昆侖能源	KLE	35,396	0.15%
金山軟件	KSO	30,089	0.13%
聯想集團	LEN	105,393	0.45%
利豐	LIF	57,251	0.24%
蒙牛乳業	MEN	6,723	0.03%
美高梅中國	MGM	5,626	0.02%
民生銀行	MSB	95,160	0.40%
港鐵公司	MTR	34,839	0.15%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
中國建材	NBM	48,345	0.20%
新華保險	NCL	8,723	0.04%
新世界發展	NWD	29,108	0.12%
中國平安	PAI	1,498,459	6.33%
中國石油股份	PEC	505,077	2.13%
中國人民財產保險	PIC	71,798	0.30%
中國人民保險集團	PIN	17,602	0.07%
保利協鑫能源	PLE	5,462	0.02%
富力地產	RFP	60,118	0.25%
金沙中國有限公司	SAN	493,381	2.08%
新鴻基地產	SHK	188,637	0.80%
渣打集團	STC	54,789	0.23%
太古股份公司A	SWA	48,904	0.21%
騰訊控股	TCH	2,796,169	11.81%
盈富基金	TRF	43,994	0.19%
九龍倉集團	WHL	37,157	0.16%
中國旺旺	WWC	25,713	0.11%
農業銀行	XAB	98,299	0.42%
中國銀行	XBC	1,186,469	5.01%
建設銀行	XCC	1,675,914	7.08%
工商銀行	XIC	1,235,233	5.22%
兗州煤業股份	YZC	38,328	0.16%
紫金礦業	ZJM	8,526	0.04%
總數		23,674,156	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2014 第3季	87,928	57.80	206.26
第4季	92,208	58.24	220.11
2015 第1季	90,242	44.99	209.79
第2季	122,363	72.45	372.55
第3季	101,225	58.26	243.97

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2014 第3季	406	69.28	166.15
第4季	415	651.43	249.41
2015 第1季	401	49.48	165.36
第2季	716	231.32	289.16
第3季	390	193.07	220.27

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10 億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2014 第3季	4,262.88	71.01	14,144.85	52.56
第4季	4,450.01	71.29	14,911.90	54.09
2015 第1季	4,489.32	71.67	15,975.62	54.46
第2季	4,952.17	73.78	17,891.63	57.24
第3季	5,397.98	74.44	14,074.11	56.13

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2014	第3季	1,037,135	100	147,929.94	100	70,720.94	100
	第4季	1,151,087	100	154,712.04	100	80,060.20	100
2015	第1季	1,182,398	100	187,686.03	100	85,005.00	100
	第2季	1,864,260	100	242,342.57	100	158,049.69	100
	第3季	1,449,054	100	226,605.64	100	98,705.62	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2014	第3季	79,378	95.92	25,196.56	91.36
	第4季	79,394	96.28	26,827.51	91.18
2015	第1季	78,902	96.40	24,508.05	93.29
	第2季	119,864	96.54	51,102.24	88.81
	第3季	85,219	96.81	30,285.88	93.26

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2014	第3季	99.90	99.99
	第4季	99.88	99.99
2015	第1季	99.93	99.99
	第2季	99.96	99.99
	第3季	99.93	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2015年第3季	2014年第3季
恒生指數期貨	102,582	131,566
恒生指數期權	257,268	254,144
小型恒生指數期貨	7,431	9,081
小型恒生指數期權	7,571	7,945
H股指數期貨	349,891	209,592
H股指數期權	2,165,685	1,194,591
小型H股指數期貨	5,022	4,703
自訂條款恒生指數期權	1,400	50
自訂條款H股指數期權	29,912	36,940
恒指股息點指數期貨	6,381	6,979
恒生國企股息點指數期貨	76,873	77,187
恒指波幅指數期貨	0	14
中華交易服務中國120指數期貨	27	45
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	17,768	20,040
股票期權	6,679,004	6,016,138
三個月港元利率期貨	0	5
一個月港元利率期貨	3	0
三年期外匯基金債券期貨 ¹	—	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	15,645	11,580
黃金期貨 ²	—	0
倫敦鋁期貨小型合約 ³	84	—
倫敦鋅期貨小型合約 ⁴	106	—
倫敦銅期貨小型合約 ⁵	129	—
總數	9,722,782	7,980,600

¹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

² 黃金期貨由2015年5月27日停止買賣

³ 倫敦鋁期貨小型合約由2014年12月01日開始買賣

⁴ 倫敦鋅期貨小型合約由2014年12月01日開始買賣

⁵ 倫敦銅期貨小型合約由2014年12月01日開始買賣

結算及交收

各期權類別之未平倉合約

2015年第3季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	292,912	4.39
安徽海螺水泥股份	ACC	42,948	0.64
友邦保險	AIA	92,258	1.38
中國鋁業	ALC	6,685	0.10
華夏滬深三百	AMC	17,174	0.26
交通銀行	BCM	61,234	0.92
東亞銀行	BEA	9,983	0.15
百麗國際	BIH	9,948	0.15
中銀香港	BOC	59,688	0.89
比亞迪股份	BYD	3,315	0.05
中國交通建設	CCC	39,771	0.60
中國中煤能源	CCE	12,337	0.18
中國遠洋	CCS	11,049	0.17
中國信達	CDA	5,306	0.08
中國移動	CHT	122,524	1.83
中國聯通	CHU	43,281	0.65
中信股份	CIT	81,923	1.23
長和	CKH	39,367	0.59
中國人壽	CLI	185,808	2.78
中電控股	CLP	7,094	0.11
招商銀行	CMB	132,660	1.99
中國海洋石油	CNC	193,491	2.90
中國海外發展	COL	41,625	0.62
國泰航空	CPA	1,434	0.02
中國石油化工股份	CPC	127,031	1.90
中國太保	CPI	17,358	0.26
中國鐵建	CRC	12,186	0.18
中國中鐵	CRG	16,330	0.24
華潤置地	CRL	7,085	0.11
X標智滬深300	CS3	6	0.00
南方A50	CSA	157,751	2.36
中國神華	CSE	87,886	1.32
中信銀行	CTB	104,047	1.56
中國電信	CTC	43,535	0.65
中信証券	CTS	6,169	0.09
東風集團股份	DFM	6,121	0.09
思捷環球	ESP	13,595	0.20
富智康集團	FIH	1,313	0.02
銀河娛樂	GLX	50,285	0.75
長城汽車	GWM	28,697	0.43
海通證券	HAI	10,486	0.16
恒生H股ETF	HCF	1,920	0.03
電能實業	HEH	8,474	0.13

結算及交收

期權類別		未平倉合約	佔市場百份比
香港交易所	HEX	650,903	9.75
恒安國際	HGN	2,398	0.04
匯豐控股	HKB	342,785	5.13
香港中華煤氣	HKG	10,083	0.15
恒基地產	HLD	12,066	0.18
華能國際電力股份	HNP	6,565	0.10
恒生銀行	HSB	66,694	1.00
江西銅業	JXC	26,462	0.40
昆侖能源	KLE	18,149	0.27
金山軟件	KSO	13,728	0.21
聯想集團	LEN	32,950	0.49
利豐	LIF	13,128	0.20
蒙牛乳業	MEN	1,103	0.02
美高梅中國	MGM	2,358	0.04
民生銀行	MSB	40,363	0.60
港鐵公司	MTR	10,040	0.15
中國建材	NBM	27,632	0.41
新華保險	NCL	1,918	0.03
新世界發展	NWD	11,575	0.17
中國平安	PAI	378,634	5.67
中國石油股份	PEC	143,133	2.14
中國人民財產保險	PIC	18,368	0.28
中國人民保險集團	PIN	6,110	0.09
保利協鑫能源	PLE	1,550	0.02
富力地產	RFP	15,491	0.23
金沙中國有限公司	SAN	83,446	1.25
新鴻基地產	SHK	34,374	0.51
渣打集團	STC	30,066	0.45
太古股份公司A	SWA	9,586	0.14
騰訊控股	TCH	633,552	9.49
盈富基金	TRF	16,985	0.25
九龍倉集團	WHL	8,916	0.13
中國旺旺	WWC	4,740	0.07
農業銀行	XAB	59,545	0.89
中國銀行	XBC	642,793	9.62
建設銀行	XCC	568,149	8.51
工商銀行	XIC	499,290	7.48
兗州煤業股份	YZC	15,423	0.23
紫金礦業	ZJM	3,863	0.06
總數		6,679,004	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2014	第3季	556 (967)	499 (904)	37 (43)	20 (20)
	第4季	558 (969)	500 (904)	40 (47)	18 (18)
2015	第1季	553 (962)	500 (902)	36 (43)	17 (17)
	第2季	553 (962)	500 (900)	37 (46)	16 (16)
	第3季	556 (965)	505 (905)	35 (44)	16 (16)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2014	第3季	229 (253)	177 (199)	—	52 (54)
	第4季	231 (255)	179 (201)	—	52 (54)
2015	第1季	231 (255)	179 (201)	—	52 (54)
	第2季	230 (254)	177 (199)	—	53 (55)
	第3季	230 (254)	177 (199)	—	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2014 第3季	150	9
第4季	152	9
2015 第1季	152	9
第2季	150	9
第3季	149	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2014 第3季	63	9
第4季	65	9
2015 第1季	66	9
第2季	68	9
第3季	67	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2014 第3季	480	9	43
第4季	481	10	42
2015 第1季	477	10	42
第2季	478	10	42
第3季	482	10	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券