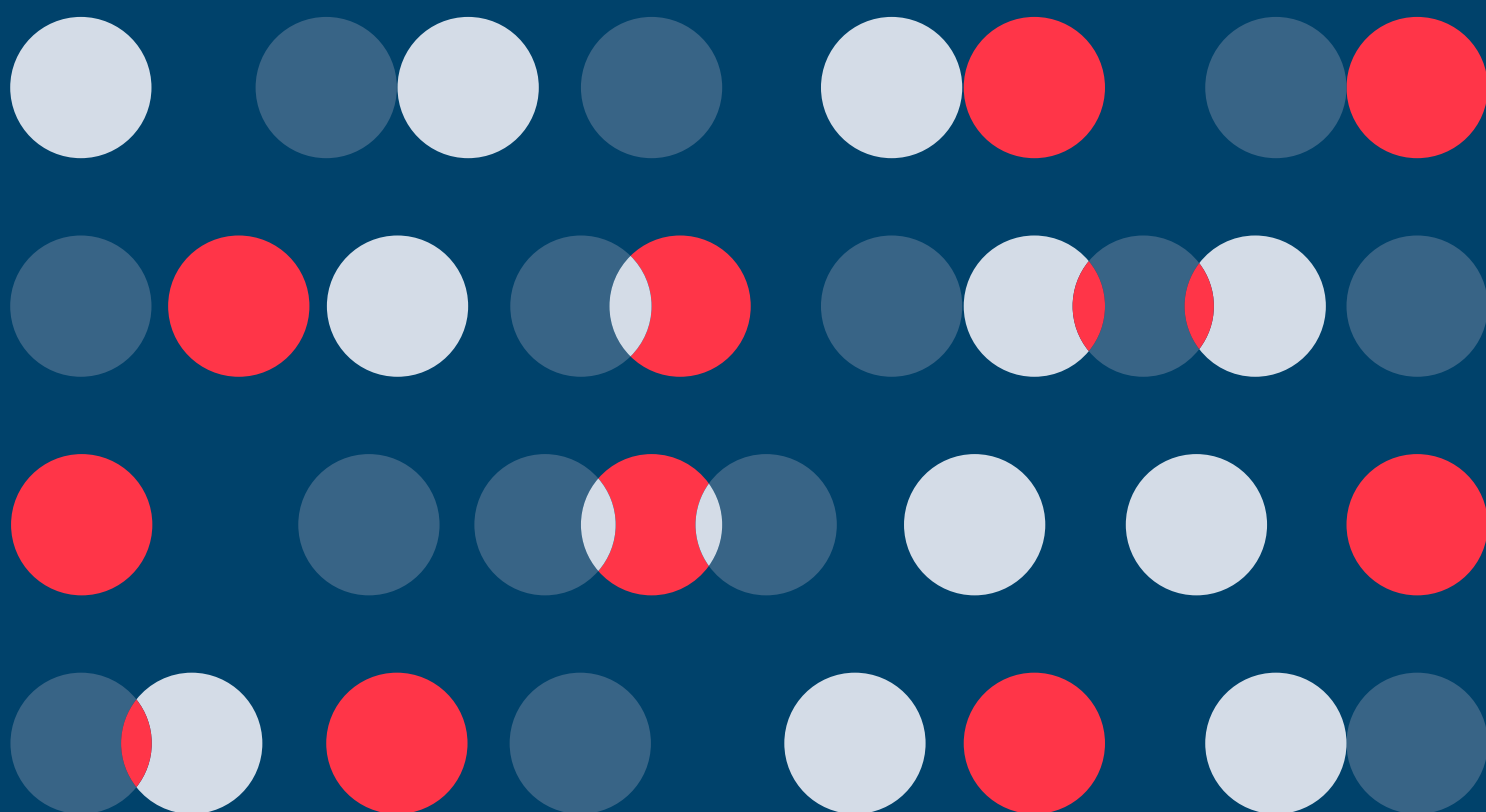


證券及衍生產品市場季報

2016年第三季



市場概況

主板

概況	2016年第3季	2015年第3季
上市公司數目	1,687	1,605
上市證券數目	8,816	10,009
股份		
普通股	1,688	1,606
優先股	7	7
認股權證	4,017	5,646
股份	11	13
衍生	4,006	5,633
股票掛鈎票據	0	0
牛熊證	2,105	1,871
單位信託及互惠基金	158	143
債券	841	736
非上市可交易股份數目		
Nasdaq 股份	6	6
iShares	2	2
交易日數	63	63
總成交金額(百萬港元)*	4,270,581.33	6,343,886.31
總成交股數(百萬股)	10,861,850.63	14,175,931.32
總成交宗數(宗數)	65,014,211	92,852,311
上市公司市價總值(百萬港元)	25,317,548.47	22,965,987.58
平均市盈率(倍)	10.89	9.40
平均週息率(百分率)	3.13	3.59
交易所參與者數目		
開業	547	505
非開業	36	35
成交金額(百萬港元)		
最高	116,801.74 (09/09)	235,970.30 (08/07)
最低	47,192.40 (08/07)	63,691.03 (15/09)
成交股數(百萬股)		
最高	216,736.38 (17/08)	315,750.42 (06/07)
最低	125,578.79 (15/09)	154,178.41 (07/09)
總成交宗數(宗數)		
最高	1,596,556 (09/09)	3,179,179 (08/07)
最低	773,985 (08/07)	990,482 (14/08)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2016年第3季	2015年第3季
上市公司數目	243	211
上市證券數目	244	212
股份	243	211
認股權證	1	1
交易日數	63	63
總成交金額(百萬港元)*	32,623.29	51,374.04
總成交股數(百萬股)	136,066.45	138,270.91
總成交宗數(宗數)	1,028,462	1,382,669
上市公司市價總值(百萬港元)	277,457.32	224,157.68
平均市盈率(倍)	62.27	68.53
平均週息率(百分率)	0.29	0.46
成交金額(百萬港元)		
最高	821.94 (20/07)	2,105.44 (06/07)
最低	312.35 (29/08)	316.14 (04/09)
成交股數(百萬股)		
最高	11,868.50 (06/07)	5,252.77 (06/07)
最低	905.05 (15/09)	1,077.71 (24/09)
總成交宗數(宗數)		
最高	36,664 (06/07)	59,485 (06/07)
最低	9,152 (15/09)	9,884 (04/09)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2016年第3季	2015年第3季
所有期貨及期權產品(股票期權除外)		
交易日數	64.0	63.0
總合約成交量	28,380,189	27,928,170
股市指數產品	28,250,195	27,662,011
股票產品	42,071	170,322
利率產品	0	13
人民幣貨幣期貨	77,153	86,580
倫敦金屬期貨小型合約	10,770	9,244
平均每日合約成交量	443,440	443,304
季末未平倉合約		
股市指數產品	3,596,543	3,043,778
股票產品	3,558,388	3,010,043
利率產品	4,040	17,768
利率產品	0	3
人民幣貨幣期貨	33,886	15,645
倫敦金屬期貨小型合約	229	319

股票期權		
新開辦期權類別	0	0
股票期權數目(於季末數目)		
類別	84	82
系列	27,834	33,116
期權金總額(百萬港元)	8,985.98	22,706.73
認購	4,961.87	7,921.17
認沽	4,024.10	14,785.56
平均每日期權金總額(百萬港元)	140.41	360.42
總合約成交量	19,509,020	23,674,156
認購	10,098,596	11,329,178
認沽	9,410,424	12,344,978
平均每日合約成交量	304,828	375,780
季末未平倉合約	6,045,816	6,679,004
認購	2,914,668	3,203,591
認沽	3,131,148	3,475,413
總成交宗數	773,549	1,063,632
平均每日成交宗數	12,087	16,883
已行使合約總數	1,889,025	2,975,292
每張合約平均期權金(港元)	460.61	959.14
每宗交易平均合約數目	25	22
認沽數量／認購數量	0.93	1.09
平均每日合約成交量／未平倉合約	5.0%	5.6%

所有期貨及期權產品		
總合約成交量	47,889,209	51,602,326
季末未平倉合約	9,642,359	9,722,782

市場概況

結算及交收

中央結算系統營運資料	2016年第3季	2015年第3季
經中央結算系統處理之聯交所交易(每日平均數)		
— 交易宗數	1,047,611	1,449,054
— 交易金額(十億港元)	68.2	98.7
— 涉及股數(十億股)	174.6	226.6
經中央結算系統處理的交收指示(每日平均數)		
— 交收指示數目	82,516	101,225
— 交收指示涉及金額(十億港元)	190.1	244.0
— 涉及股數(十億股)	47.1	58.3
經中央結算系統處理的投資者交收指示(每日平均數)		
— 交收指示數目	314	390
— 交收指示涉及金額(百萬港元)	148.4	220.3
— 涉及股數(百萬股)	62.0	193.1
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.91	99.93
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
— 涉及經紀數目	3	4
— 補購宗數	3	4
— 補購涉及金額(百萬港元)	1.2	4.2
存放於中央結算系統證券存管處的股份*		
— 股數(十億股)	5,865.3	5,398.0
— 獲納入系統的證券佔已發行股本總數的百分比	74.21	74.44
— 股份價值(十億港元)	15,979.6	14,074.1
— 獲納入系統的證券佔市價總值的百分比	57.22	56.13
衍生產品結算及交收系統營運資料		
季末未平倉合約		
— 股市指數期貨	686,003	548,207
— 股票期貨	4,040	17,768
— 利率期貨	0	3
— 人民幣貨幣期貨	33,886	15,645
— 倫敦金屬期貨小型合約	229	319
— 股市指數期權	2,872,385	2,461,836
— 股票期權	6,045,816	6,679,004
累積行使股票期權		
— 涉及股數(百萬股)	1,863	3,703
— 涉及金額(十億港元)	34	67

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2015	第 3 季	1,241,453.02	4,136,147.76	73,808,111
	第 4 季	944,007.06	2,888,836.92	56,185,286
2016	第 1 季	862,976.22	2,639,071.92	55,813,607
	第 2 季	781,041.26	2,563,892.49	50,058,306
	第 3 季	822,456.65	2,993,023.85	52,694,671

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2015	第 3 季	63	65,653.14	56,039
	第 4 季	63	45,854.55	51,416
2016	第 1 季	59	44,730.03	47,284
	第 2 季	62	41,353.10	51,218
	第 3 季	63	47,508.32	56,799

主 板 股 份

20種升幅最大之股份

2016年第3季

順次	股份代號	股份	收市價		升幅
			2016年9月底	2016年6月底	
1	00572	中達金融集團	0.860	0.211	307.58
2	00975	MONGOL MINING	0.315	0.091	246.15
3	01733	易大宗	0.770	0.260	196.15
4	01191	粵首環保	0.380	0.153	148.37
5	00612	中國投資基金公司	0.710	0.300	136.67
6	00015	盈信控股	1.420	0.620	129.03
7	00519	實力建業	0.820	0.360	127.78
8	02623	愛德新能源	0.270	0.119	126.89
9	00557	CITY E-SOLUTION	5.400	2.400	125.00
10	00918	第一德勝控股	0.970	0.440	120.45
11	01462	金誠控股	12.080	5.480	120.44
12	02198	中國三江化工	2.240	1.024 A	118.75
13	03882	天彩控股	1.830	0.880	107.95
14	01478	丘鈦科技	3.220	1.590	102.52
15	00921	海信科龍	7.160	3.540	102.26
16	00381	僑雄國際	0.104	0.052	100.00
17	00642	PALADIN PREF	0.620	0.310	100.00
18	00556	泛亞環保	1.790	0.900	98.89
19	01121	寶峰時尚	1.300	0.660	96.97
20	00283	高銀地產	6.070	3.140	93.31

A 經調整

20種跌幅最大之股份

2016年第3季

順次	股份代號	股份	收市價		跌幅
			2016年9月底	2016年6月底	
1	03823	德普科技	0.178	2.510	-92.91
2	01421	工蓋有限公司	0.445	2.950	-84.92
3	01190	航標控股	0.350	2.080	-83.17
4	00362	中國天化工	0.082	0.260	-68.46
5	00204	中國投資開發	0.038	0.109	-65.14
6	00997	普匯中金國際	0.118	0.330	-64.24
7	00648	中國華仁醫療	0.056	0.155	-63.87
8	01520	時尚環球	0.560	1.430	-60.84
9	01130	中國環境資源	0.245	0.570	-57.02
10	01380	中國金石	0.365	0.790 A	-53.80
11	01143	華夏健康產業	0.139	0.290	-52.07
12	00444	SINCEREWATCH HK	0.201	0.415	-51.57
13	01192	泰山石化	0.102	0.210	-51.43
14	00901	鷹力投資	0.405	0.820	-50.61
15	00897	位元堂	0.395	0.770 A	-48.70
16	00915	道和環球	3.250	6.300 A	-48.41
17	00080	中國新經濟投資	0.420	0.805 A	-47.83
18	01150	米蘭站	0.410	0.750	-45.33
19	06896	金嗓子	3.950	7.100	-44.37
20	00404	新昌集團控股	0.420	0.750	-44.00

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2016年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	176,736.87	5.90
2	00939	建設銀行	114,778.69	3.83
3	00005	匯豐控股	99,553.03	3.33
4	02318	中國平安	94,443.61	3.16
5	01398	工商銀行	92,320.16	3.08
6	00941	中國移動	84,586.72	2.83
7	00388	香港交易所	69,586.97	2.32
8	03988	中國銀行	65,450.74	2.19
9	02628	中國人壽	64,379.14	2.15
10	01299	友邦保險	58,655.13	1.96
11	00001	長和	37,416.36	1.25
12	00016	新鴻基地產	37,137.92	1.24
13	01928	金沙中國有限公司	36,988.48	1.24
14	00688	中國海外發展	35,426.54	1.18
15	00883	中國海洋石油	33,510.43	1.12
16	00027	銀河娛樂	30,077.06	1.00
17	00762	中國聯通	29,284.28	0.98
18	00386	中國石油化工股份	28,148.24	0.94
19	00857	中國石油股份	25,952.39	0.87
20	00175	吉利汽車	25,190.89	0.84
總 額			1,239,623.65	41.42

20種成交最活躍股份(股數)

2016年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00261	中建置地	28,073.28	3.41
2	03823	德普科技	25,985.60	3.16
3	00939	建設銀行	20,362.75	2.48
4	01398	工商銀行	19,450.41	2.36
5	03988	中國銀行	19,105.73	2.32
6	00061	北亞資源	16,493.81	2.01
7	00981	中芯國際	14,983.71	1.82
8	00364	坪山茶業	10,381.57	1.26
9	00911	前海健康	10,063.11	1.22
10	00619	南華金融	8,587.95	1.04
11	01288	農業銀行	7,794.55	0.95
12	00651	中海重工	7,608.29	0.93
13	01192	泰山石化	6,760.42	0.82
14	00648	中國華仁醫療	6,459.89	0.79
15	00204	中國投資開發	6,219.43	0.76
16	00493	國美電器	5,934.54	0.72
17	03800	保利協鑫能源	5,912.08	0.72
18	02233	西部水泥	5,468.97	0.66
19	01143	華夏健康產業	5,046.20	0.61
20	00386	中國石油化工股份	5,037.55	0.61
總 數			235,729.85	28.66

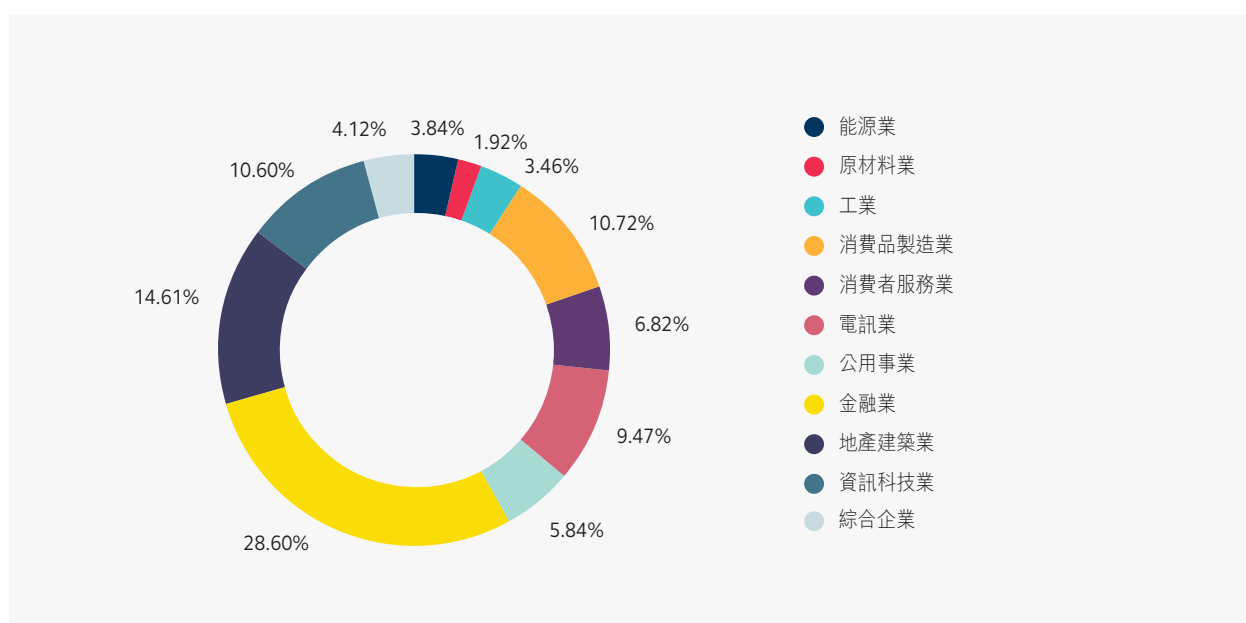
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2015	第 3 季	22,965,987.58
	第 4 季	24,425,554.96
2016	第 1 季	23,195,583.34
	第 2 季	22,796,551.70
	第 3 季	25,317,548.47

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2016年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00700	騰訊控股有限公司	2,016,351.33	7.96
2	00941	中國移動有限公司	1,921,624.07	7.59
3	00939	中國建設銀行股份有限公司－H股	1,382,399.59	5.46
4	00005	匯豐控股有限公司	1,146,744.53	4.53
5	01299	友邦保險控股有限公司	621,408.82	2.45
6	00883	中國海洋石油有限公司	429,508.53	1.70
7	01398	中國工商銀行股份有限公司－H股	420,951.12	1.66
8	00001	長江和記實業有限公司	380,564.30	1.50
9	02378	英國保誠有限公司*	–	352,308.69 N.A.
10	00016	新鴻基地產發展有限公司	339,022.80	1.34
11	00267	中國中信股份有限公司	321,738.30	1.27
12	02318	中國平安保險(集團)股份有限公司－H股	299,392.59	1.18
13	03988	中國銀行股份有限公司－H股	296,022.86	1.17
14	00688	中國海外發展有限公司	288,148.10	1.14
15	00805	Glencore plc *	–	281,184.20 N.A.
16	02388	中銀香港(控股)有限公司	277,006.84	1.09
17	01928	金沙中國有限公司	271,562.29	1.07
18	00011	恒生銀行有限公司	264,790.22	1.05
19	00066	香港鐵路有限公司	251,944.75	1.00
20	00388	香港交易及結算所有限公司	249,516.94	0.99
21	00762	中國聯合網絡通信(香港)股份有限公司	222,947.32	0.88
22	01113	長江實業地產有限公司	217,655.19	0.86
23	00945	Manulife Financial Corporation *	–	211,503.05 N.A.
24	03328	交通銀行股份有限公司－H股	206,920.11	0.82
25	02888	渣打集團有限公司	204,722.47	0.81
26	00002	中電控股有限公司	202,495.01	0.80
27	00003	香港中華煤氣有限公司	186,177.50	0.74
28	01038	長江基建集團有限公司	176,800.09	0.70
29	00004	九龍倉集團有限公司	171,146.65	0.68
30	00012	恒基兆業地產有限公司	167,320.66	0.66
31	00006	電能實業有限公司	161,350.18	0.64
32	01109	華潤置地有限公司	149,708.29	0.59
33	02628	中國人壽保險股份有限公司－H股	148,823.50	0.59
34	00386	中國石油化工股份有限公司－H股	144,150.93	0.57
35	01972	太古地產有限公司	132,795.00	0.52
36	00027	銀河娛樂集團有限公司	124,651.21	0.49
37	00019	太古股份有限公司	120,797.88	0.48
38	00857	中國石油天然氣股份有限公司－H股	107,393.40	0.42
39	01288	中國農業銀行股份有限公司－H股	102,052.89	0.40
40	00656	復星國際有限公司	100,245.72	0.40
41	02018	瑞聲科技控股有限公司	95,722.60	0.38
42	00017	新世界發展有限公司	94,672.68	0.37
43	00020	會德豐有限公司	93,071.48	0.37
44	01658	中國郵政儲蓄銀行股份有限公司－H股	93,043.24	0.37
45	00288	萬洲國際有限公司	91,406.15	0.36
46	02007	碧桂園控股有限公司	90,842.40	0.36
47	03968	招商銀行股份有限公司－H股	89,706.21	0.35
48	00083	信和置業有限公司	84,574.38	0.33
49	00023	東亞銀行有限公司	84,396.66	0.33
50	06823	香港電訊有限公司	82,683.43	0.33
總值			15,126,971.22	59.75
市場總值			25,317,548.47	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2015	第3季	673,434.03	6,395,260.35	10.53
	第4季	467,982.84	4,529,285.89	10.33
2016	第1季	529,347.58	4,288,073.96	12.34
	第2季	488,227.56	3,881,459.36	12.58
	第3季	490,674.64	4,303,204.62	11.40

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2015	第3季	63,796.36	14,314,202.23	0.45
	第4季	45,874.78	13,299,980.60	0.34
2016	第1季	54,180.01	13,043,825.48	0.42
	第2季	53,429.40	11,848,238.65	0.45
	第3季	53,475.70	10,997,917.09	0.49

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2015	第3季	19	7	26,086.55
	第4季	39	2	105,769.28
2016	第1季	13	1	29,916.67
	第2季	11	0	12,489.33
	第3季	22	3	90,079.45

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2016年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
01549	永豐集團控股有限公司	2016/07/06	1,400,000,000	110.25
03958	東方證券股份有限公司－H股	2016/07/08	1,027,080,000	8,370.70
01583	親親食品集團(開曼)股份有限公司	2016/07/08	475,696,557	–
01606	國銀金融租賃股份有限公司－H股	2016/07/11	3,456,618,000	6,284.76
01586	中國力鴻檢驗控股有限公司	2016/07/12	400,000,000	98.00
02869	綠城服務集團有限公司	2016/07/12	2,777,776,000	1,779.94
01573	中國優質能源集團有限公司	2016/07/13	718,000,000	212.40
01523	珩灣科技有限公司	2016/07/13	1,000,000,000	125.00
01560	星星地產集團(開曼群島)有限公司	2016/07/13	224,000,000	100.80
01612	永勝醫療控股有限公司	2016/07/13	638,000,000	127.60
01579	頤海國際控股有限公司	2016/07/13	1,046,900,000	880.77
01589	中國物流資產控股有限公司	2016/07/15	2,938,994,000	3,556.81
02278	海藍控股有限公司	2016/07/15	300,000,000	297.00
02136	利福中國集團有限公司	2016/07/15	1,602,586,500	–
06816	瑞港建設控股有限公司	2016/07/20	800,000,000	200.00
00436	新宇環保集團有限公司*	2016/08/01	2,955,697,018	–
01559	均安控股有限公司*	2016/08/15	960,000,000	–
06178	光大證券股份有限公司－H股	2016/08/18	704,088,800	8,927.85
02031	澳至尊國際控股有限公司	2016/09/12	750,000,000	103.13
01885	中國優材(控股)有限公司*	2016/09/12	1,000,000,000	–
03893	易緯集團控股有限公司	2016/09/12	2,400,000,000	90.00
02633	雅各臣科研製藥有限公司	2016/09/21	1,815,625,000	754.69
01658	中國郵政儲蓄銀行股份有限公司－H股	2016/09/28	19,505,921,000	57,627.36
01591	汛和集團控股有限公司	2016/09/28	4,000,000,000	130.00
01577	泉州匯鑫小額貸款股份有限公司－H股	2016/09/30	180,000,000	302.40
總額				90,079.45

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2016年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
06210	Vale S.A. – 普通預託證券	2016/06/27	2016/07/28
00917	新世界中國地產有限公司	2016/04/05	2016/08/04
03699	大連萬達商業地產股份有限公司－H股	2016/09/13	2016/09/21
00477	奧普集團控股有限公司	2016/09/15	2016/09/30

主 板 股 份

公司名稱更改

2016年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
01087	泓淋科技集團有限公司	威訊控股有限公司	2016/06/02	2016/07/06
06139	金茂(中國)投資控股有限公司	金茂(中國)酒店投資管理有限公司	2016/06/02	2016/07/06
00521	海航國際投資集團有限公司	海航實業集團股份有限公司	2016/06/27	2016/07/11
02289	創美藥業股份有限公司－H股*	創美藥業股份有限公司－H股	2016/07/05	2016/07/13
00724	泰豐國際集團有限公司	瑞鑫國際集團有限公司	2016/06/07	2016/07/13
03383	雅居樂地產控股有限公司	雅居樂集團控股有限公司	2016/06/21	2016/07/20
00264	卓高國際集團有限公司	中璽國際控股有限公司	2016/06/14	2016/07/20
01175	福記食品服務控股有限公司	鮮馳達控股集團有限公司	2016/05/31	2016/07/20
03333	恒大地產集團有限公司	中國恒大集團	2016/06/21	2016/07/26
00033	和協海峽金融集團有限公司	亞投金融集團有限公司	2016/06/16	2016/07/26
00570	中國中藥有限公司	中國中藥控股有限公司	2016/07/22	2016/07/27
00154	北京發展(香港)有限公司	北京控股環境集團有限公司	2016/07/22	2016/07/28
00117	宇陽控股(集團)有限公司	天利控股集團有限公司	2016/06/15	2016/07/28
00253	順豪資源集團有限公司	順豪控股有限公司	2016/07/26	2016/08/02
00219	順豪科技控股有限公司	順豪物業投資有限公司	2016/07/26	2016/08/02
01046	寰宇國際控股有限公司	寰宇國際金融控股有限公司	2016/07/08	2016/08/03
01237	美麗家園控股有限公司	中科生物控股有限公司	2016/06/02	2016/08/05
02623	中國中盛資源控股有限公司	愛德新能源投資控股集團有限公司	2016/07/20	2016/08/10
01281	隆基泰和控股有限公司	隆基泰和智慧能源控股有限公司	2016/07/14	2016/08/10
01019	康宏金融控股有限公司	康宏環球控股有限公司	2016/06/22	2016/08/15
00718	百威國際控股有限公司	太和控股有限公司	2016/07/15	2016/08/19
00144	招商局國際有限公司	招商局港口控股有限公司	2016/08/10	2016/08/19
01199	中遠太平洋有限公司	中遠海運港口有限公司	2016/07/22	2016/08/25
01312	聯合水泥控股有限公司	同方康泰產業集團有限公司	2016/07/20	2016/08/31
01041	中國新能源動力集團有限公司	林達控股有限公司	2016/08/01	2016/09/07
02366	星美文化集團控股有限公司	星美文化旅遊集團控股有限公司	2016/08/11	2016/09/14
01733	永暉實業控股股份有限公司	易大宗控股有限公司	2016/08/23	2016/09/23
00911	恒發洋參控股有限公司	前海健康控股有限公司	2016/08/31	2016/09/27

* 祇改英文名稱

主 板 股 份

紅股／紅利認股權證

2016年第3季

股份代號	公司	詳情	除淨日
01999	敏華控股有限公司	1送1	2016/07/18
00886	銀基集團控股有限公司	2送1	2016/07/20
02880	大連港股份有限公司－H股	10送13	2016/07/25
01663	漢港控股集團有限公司	1送1	2016/07/28
01271	佳明集團控股有限公司	10送1	2016/08/01
00248	香港通訊國際控股有限公司	4送1	2016/08/25
01103	上海大生農業金融科技股份 有限公司－H股	10送5	2016/08/26
00335	美建集團有限公司	1送1	2016/09/02
01297	中國擎天軟件科技集團有限公司	5送1	2016/09/06

股份拆細及合併

2016年第3季

股份代號	公司	詳情	生效日期
00913	合一投資控股有限公司	5股合併為1股	2016/07/06
00911	前海健康控股有限公司	4股合併為1股	2016/07/07
01041	林達控股有限公司	5股合併為1股	2016/08/01
01225	隆成金融集團有限公司	5股合併為1股	2016/08/15
00865	第一電訊集團有限公司	10股合併為1股	2016/08/23
02322	仁瑞投資控股有限公司	1股分拆為5股	2016/09/06
02309	伯明翰環球控股有限公司	20股合併為1股	2016/09/07
01380	中國金石礦業控股有限公司	10股合併為1股	2016/09/07
00080	中國新經濟投資有限公司	5股合併為1股	2016/09/23
00915	道和環球集團有限公司	10股合併為1股	2016/09/27

主 板 股 份

供股／公開售股

2016年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
7月	00750	中國興業太陽能技術控股有限公司	股權5供1，每股供2.60港元	361.43
	00428	匯嘉中國控股有限公司	股權1供1，每股供2.00港元	78.12
	01246	毅信控股有限公司	股權2供11，每股供0.165港元 (25股合併為1股後)	903.87
	01327	時間由你國際控股有限公司	股權1供2，每股供0.14港元	322.56
	00515	達進東方照明控股有限公司	公開售股2供1，每股供0.10港元	26.54
	03666	小南國餐飲控股有限公司	股權2供1，每股供0.41港元	302.45
	00736	中國置業投資控股有限公司	股權1供4，每股供0.141港元	547.94
	00619	南華金融控股有限公司	股權1供1，每股供0.066港元	497.78
	00913	合一投資控股有限公司	股權1供2，每股供0.25港元 (5股合併為1股後)	291.05
	01323	友川集團控股有限公司	公開售股2供3，每股供0.164港元	230.51
	00291	華潤啤酒(控股)有限公司	股權3供1，每股供11.73港元	9,513.55
	00585	意馬國際控股有限公司	公開售股2供1，每股供0.185港元	280.47
	00467	聯合能源集團有限公司	公開售股1供1，每股供0.20港元	2,620.40
	00674	文化地標投資有限公司	公開售股2供1，每股供0.30港元	107.78
	01201	天臣控股有限公司	公開售股4供3，每股供0.80港元	355.31
	01225	隆成金融集團有限公司	股權1供2，每股供0.20港元 (5股合併為1股後)	307.10
	00897	位元堂藥業控股有限公司	股權1供3，每股供0.43港元	408.01
	01046	寰宇國際金融控股有限公司	股權1供2，每股供0.60港元	213.33
	00263	高富集團控股有限公司	股權2供1，每股供0.25港元	116.83
	02309	伯明翰環球控股有限公司	公開售股2供1，每股供0.08港元 (20股合併為1股後)	19.36
總額				17,504.39

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2016					
7 月	00996	嘉年華國際	440,000,000	0.8800	387.20
	00810	中國互聯網投資	75,780,000	0.3500	26.52
	01332	中國新進	2,040,000,000	0.2000	408.00
	00512	遠大醫藥	122,428,000	1.4000	171.40
	00936	鵬程亞洲	160,000,000	1.2200	195.20
	00431	大中華金融	452,810,000	0.2700	122.26
	00007	凱富能源	50,000,000	0.7000	35.00
	00377	華君控股	397,900,000	0.7800	310.36
	01340	惠生國際	96,496,000	0.7000	67.55
	01280	匯銀智慧社區	262,000,000	0.8000	209.60
	01087	威訊控股	39,000,000	1.5500	60.45
	02358	久融控股	760,000,000	0.1250	95.00
	01227	國盛投資	82,000,000	0.2800	22.96
	00166	新時代能源	2,910,000,000	0.1540	448.14
	00770	SHANGHAI GROWTH	1,781,000	8.4240	15.00
	00650	順昌集團	1,269,414,575	0.6696	850.00
	01568	承達集團	158,210,000	3.8000	601.20
	02012	陽光油砂	96,400,000	0.7500	72.30
	02327	宇業控股	375,300,000	0.2840	106.59
	00690	聯康生物科技集團	88,280,000	0.1700	15.01
	00821	匯盈控股	110,000,000	0.8700	95.70
8 月	02623	愛德新能源	121,800,000	0.1100	13.40
	03344	互益集團	141,000,000	0.3150	44.42
	01250	北控清潔能源集團	4,045,000,000	0.1700	687.65
	01803	北京體育文化	200,000,000	0.7000	140.00
	00193	冠中地產	185,000,000	0.0770	14.25
	00904	中綠	5,750,000,000	0.1000	575.00
	01332	中國新進	420,738,550	0.2800	117.81
	00512	遠大醫藥	44,570,000	1.4000	62.40
	01636	中國金屬利用	135,000,000	2.5800	348.30
	00712	卡姆丹克太陽能	154,651,306	0.4600	71.14
	00943	意科控股	240,464,000	0.0830	19.96
	00729	五龍電動車	430,000,000	0.5000	215.00
	00181	閩港控股	344,186,000	0.4300	148.00
	00064	結好控股	1,342,042,000	0.2250	301.96
	00263	高富集團控股	155,768,530	0.2500	38.94
	02221	創業集團控股	80,000,000	2.1000	168.00
	01048	新源控股	20,680,000	2.3200	47.98
	00911	前海健康	1,000,000,000	0.1270	127.00
	00313	裕田中國	1,300,000,000	0.1000	130.00
	00482	聖馬丁國際	38,168,000	0.2620	10.00

主 板 股 份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
	01831	十方控股	241,388,000	0.4100	98.97
	00766	中盈集團控股	196,430,000	0.1680	33.00
	02012	陽光油砂	152,000,000	0.7500	114.00
	01991	大洋集團	86,450,000	1.3100	113.25
	01368	特步國際	5,000,000	4.5000	22.50
9 月	02623	愛德新能源	97,250,000	0.1240	12.06
	00048	中國汽車內飾	331,776,000	0.1450	48.11
	01848	中國飛機租賃	40,000,000	8.0000	320.00
	03966	中國寶豐國際	62,496,000	3.2000	199.99
	01226	中國投融资	376,000,000	0.2200	82.72
	00815	中國白銀集團	30,000,000	1.8000	54.00
	01566	華夏動漫	15,000,000	3.6000	54.00
	00910	中國三迪	159,996,000	0.2400	38.40
	00512	遠大醫藥	107,973,421	1.4000	151.16
	01240	青建國際	22,000,000	2.5300	55.66
	00906	中糧包裝	177,000,000	2.6000	460.20
	00400	科通芯城	160,420,232	12.5000	2,005.25
	00616	高山企業	223,000,000	0.1800	40.14
	01269	首控集團	132,698,000	6.0000	796.19
	00607	豐盛控股	538,357,500	3.7150	2,000.00
	00989	廣澤地產	170,000,000	1.0100	171.70
	01499	前進控股集團	175,000,000	0.5200	91.00
	00938	民生國際	317,528,000	0.6000	190.52
	01107	當代置業	172,872,000	1.0100	174.60
	03918	金界控股	190,000,000	5.0000	950.00
	06108	新銳醫藥	69,408,000	0.3400	23.60
	02222	雷士照明	85,000,000	0.8300	70.55
	00803	昌興國際	400,000,000	0.1680	67.20
	01520	時尚環球	120,000,000	0.5200	62.40
	00444	SINCEREWATCH HK	830,000,000	0.2000	166.00
	00628	華銀控股	2,066,342,340	0.7700	1,591.08
	00515	達進東方照明	127,377,552	0.1900	24.20
	00687	泰昇集團	202,500,000	4.0800	826.20
	00648	中國華仁醫療	778,057,500	0.0550	42.79
	01889	武夷藥業	376,000,000	0.2200	82.72
總 額					18,830.78

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2015	第3季	723.63	1,090,657.45	1,091,381.08
	第4季	242.28	794,250.41	794,492.69
2016	第1季	92.83	915,484.74	915,577.57
	第2季	64.04	677,965.49	678,029.53
	第3季	83.83	618,585.83	618,669.66

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2015	第3季	13	2,472.60	5,633	84,057.90	5,646	86,530.49
	第4季	12	1,836.69	4,590	60,433.79	4,602	62,270.48
2016	第1季	12	1,444.55	4,184	58,444.35	4,196	59,888.90
	第2季	11	1,018.90	4,070	51,518.27	4,081	52,537.17
	第3季	11	1,371.20	4,006	76,719.27	4,017	78,090.47

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2015	第3季	1	1,552	46,018.14
	第4季	2	1,162	27,608.36
2016	第1季	2	1,276	31,890.47
	第2季	2	1,045	21,652.05
	第3季	1	1,354	28,479.35

新上市股份認股權證

2016年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01537	新豐集團有限公司2019年認股權證	539,732,716	2016/07/07	2019/07/05	-
總額					-

主 板 認 股 權 證

新上市衍生權證

2016 年 第 3 季

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
11191	Goldman Sachs SP (Asia) - CC Bank European Wts Dec 2016 A	80,000,000	2016/07/04	2016/12/23	12.00
11190	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2016 C	80,000,000	2016/07/04	2016/12/28	17.76
11206	J P Morgan SP BV - CKI Holdings European Warrants Oct 2016 A	60,000,000	2016/07/04	2016/10/21	13.02
11207	J P Morgan SP BV - China Life European Warrants Feb 2017 A	100,000,000	2016/07/04	2017/02/28	20.20
11202	J P Morgan SP BV - Sinopec Corp European Warrants Jan 2017 A	100,000,000	2016/07/04	2017/01/03	25.40
11213	J P Morgan SP BV - HSBC European Warrants Oct 2016 C	100,000,000	2016/07/04	2016/10/07	25.60
11194	J P Morgan SP BV - HSBC European Warrants Jan 2017 A	100,000,000	2016/07/04	2017/01/03	25.40
11208	J P Morgan SP BV - HS H-SHARE European Warrants Jan 2017 A	100,000,000	2016/07/04	2017/01/03	26.90
11205	J P Morgan SP BV - PetroChina European Warrants Jan 2017 A	100,000,000	2016/07/04	2017/01/03	25.20
11200	J P Morgan SP BV - Stanchart European Warrants Jan 2017 A	60,000,000	2016/07/04	2017/01/03	24.90
11179	Macquarie Bank Ltd. - BOCL European Warrants Jan 2017 A	57,000,000	2016/07/04	2017/01/04	10.03
11187	SG Issuer - AIA European Warrants Jan 2017 A	100,000,000	2016/07/04	2017/01/05	27.00
11189	SG Issuer - CKI Holdings European Warrants Feb 2017 A	18,000,000	2016/07/04	2017/02/03	10.80
11181	SG Issuer - HSBC European Warrants Jan 2017 B	100,000,000	2016/07/04	2017/01/05	28.00
11180	SG Issuer - HSBC European Warrants Feb 2017 B	100,000,000	2016/07/04	2017/02/03	41.50
11186	SG Issuer - Stanchart European Warrants Aug 2018 A	150,000,000	2016/07/04	2018/08/03	37.50
11183	UBS AG - HSI European Warrants Dec 2016 F	300,000,000	2016/07/04	2016/12/29	66.00
11184	UBS AG - HSI European Warrants Jan 2017 A	300,000,000	2016/07/04	2017/01/26	75.30
11185	UBS AG - HSI European Warrants Mar 2017 B	300,000,000	2016/07/04	2017/03/30	75.30
11209	UBS AG - HSI European Put Warrants Dec 2016 G	300,000,000	2016/07/04	2016/12/29	47.70
11222	BNP Paribas Arbit Issu B.V. - CKH European Wts Feb 2017 A	40,000,000	2016/07/05	2017/02/02	13.20
11219	BNP Paribas Arbit Issu B.V. - HSBC European Wts Feb 2017 A	50,000,000	2016/07/05	2017/02/02	16.50
11221	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Feb 2017 B	50,000,000	2016/07/05	2017/02/02	15.00
11243	Credit Suisse AG - AIA European Warrants Nov 2016 C	70,000,000	2016/07/05	2016/11/30	14.00
11245	Credit Suisse AG - CKH Holdings European Warrants Jan 2017 A	40,000,000	2016/07/05	2017/01/05	10.00
11246	Credit Suisse AG - CKI Holdings European Warrants Jan 2017 A	30,000,000	2016/07/05	2017/01/05	15.00
11233	Credit Suisse AG - HSBC European Wts Dec 2016 B	100,000,000	2016/07/05	2016/12/30	17.00
11238	Credit Suisse AG - HSBC European Wts Jan 2017 A	100,000,000	2016/07/05	2017/01/05	25.00
11215	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2016 C	80,000,000	2016/07/05	2016/10/28	12.00
11223	Goldman Sachs SP (Asia) - HSI European Put Wts Dec 2016 D	200,000,000	2016/07/05	2016/12/29	30.00
11224	HK Bank - HSI European Warrants Dec 2016 D	180,000,000	2016/07/05	2016/12/29	28.80
11226	HK Bank - HSI European Put Warrants Oct 2016 E	180,000,000	2016/07/05	2016/10/28	28.80
11227	HK Bank - Tencent European Warrants Jul 2017 A	100,000,000	2016/07/05	2017/07/31	15.00
11263	J P Morgan SP BV - Cathay Pacific European Warrants Dec 17 A	60,000,000	2016/07/05	2017/12/29	15.24
11261	J P Morgan SP BV - HSI European Put Warrants Jan 2017 B	300,000,000	2016/07/05	2017/01/26	75.30
11262	J P Morgan SP BV - PWR Assets European Warrants Jan 2017 A	60,000,000	2016/07/05	2017/01/04	15.36
11248	Macquarie Bank Ltd. - CKH European Warrants Feb 2017 B	13,500,000	2016/07/05	2017/02/02	10.02
11217	Macquarie Bank Ltd. - China Mobile Euro Put Wts May 2017 A	40,000,000	2016/07/05	2017/05/04	10.04
11214	Macquarie Bank Ltd. - Chi Res Power European Wts Apr 2017 A	6,000,000	2016/07/05	2017/04/10	10.54
11216	Macquarie Bank Ltd. - HSBC European Warrants Jan 2017 A	40,000,000	2016/07/05	2017/01/04	10.00
11231	Macquarie Bank Ltd. - HSBC European Put Warrants Jan 2017 B	40,000,000	2016/07/05	2017/01/04	10.00
11247	Macquarie Bank Ltd. - Ping An European Warrants Jan 2017 D	40,000,000	2016/07/05	2017/01/04	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
11250	Macquarie Bank Ltd. - Tencent European Warrants Feb 2017 B	14,000,000	2016/07/05	2017/02/02	10.05
11255	SG Issuer - CKH European Put Warrants Jan 2017 C	30,000,000	2016/07/05	2017/01/05	12.60
11256	SG Issuer - HSBC European Put Warrants Jan 2017 C	100,000,000	2016/07/05	2017/01/05	15.00
11257	SG Issuer - Ping An European Warrants Jan 2017 C	120,000,000	2016/07/05	2017/01/05	30.00
11260	UBS AG - CKH European Warrants Jan 2017 A	30,000,000	2016/07/05	2017/01/10	17.22
11264	BOCI Asia Ltd. - CKH European Warrants Jan 2017 A	100,000,000	2016/07/06	2017/01/16	52.40
11269	BOCI Asia Ltd. - CNOOC European Put Warrants Nov 2017 A	100,000,000	2016/07/06	2017/11/30	15.00
11267	BOCI Asia Ltd. - HSBC European Warrants Jan 2017 A	200,000,000	2016/07/06	2017/01/10	50.00
11294	Goldman Sachs SP (Asia) - CKH European Wts Jun 2017 A	100,000,000	2016/07/06	2017/06/26	15.00
11272	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Mar 2017 A	40,000,000	2016/07/06	2017/03/30	10.00
11271	Goldman Sachs SP (Asia) - Fosun Intl European Wts Aug 2017 A	40,000,000	2016/07/06	2017/08/30	10.00
11289	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jan 2017 A	80,000,000	2016/07/06	2017/01/27	20.00
11291	Goldman Sachs SP (Asia) - HS Bank European Warrants Feb 17 A	50,000,000	2016/07/06	2017/02/28	24.55
11275	HK Bank - CC Bank European Warrants Jan 2017 A	80,000,000	2016/07/06	2017/01/05	20.80
11274	HK Bank - China Mobile European Warrants Jan 2017 B	50,000,000	2016/07/06	2017/01/05	25.00
11276	HK Bank - HSCEI European Warrants Mar 2017 A	180,000,000	2016/07/06	2017/03/30	27.00
11273	HK Bank - Ping An European Warrants Jan 2017 A	80,000,000	2016/07/06	2017/01/27	12.00
11287	J P Morgan SP BV - CKI Holdings European Warrants Mar 2017 A	60,000,000	2016/07/06	2017/03/02	23.04
11279	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Dec 2017 A	40,000,000	2016/07/06	2017/12/04	10.00
11281	Macquarie Bank Ltd. - Luk Fook Hold European Wts May 2017 A	40,000,000	2016/07/06	2017/05/04	10.00
11284	SG Issuer - HSBC European Warrants Jan 2017 D	100,000,000	2016/07/06	2017/01/05	32.50
11285	SG Issuer - Stanchart European Warrants Jan 2017 C	20,000,000	2016/07/06	2017/01/05	12.20
11286	SG Issuer - Tencent European Warrants Jan 2017 F	30,000,000	2016/07/06	2017/01/05	15.60
11277	UBS AG - CKI Holdings European Warrants Jan 2017 A	40,000,000	2016/07/06	2017/01/10	18.40
11278	UBS AG - HSI European Put Warrants Jan 2017 B	300,000,000	2016/07/06	2017/01/26	75.30
10406	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Mar 2017 A	50,000,000	2016/07/07	2017/03/24	12.50
10407	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Mar 2017 B	50,000,000	2016/07/07	2017/03/24	12.50
10404	BNP Paribas Arbit Issu B.V. - GBP/USD European Wts Mar 2017A	50,000,000	2016/07/07	2017/03/24	12.50
10405	BNP Paribas Arbit Issu B.V. - GBP/USD European Put Wt Mar17B	50,000,000	2016/07/07	2017/03/24	12.50
11311	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Feb 2017 A	40,000,000	2016/07/07	2017/02/02	10.00
10408	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Mar 2017 B	50,000,000	2016/07/07	2017/03/24	12.50
11325	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Jul 2017 A	60,000,000	2016/07/07	2017/07/27	10.14
11323	J P Morgan SP BV - Haitong Sec European Warrants Feb 2017 A	100,000,000	2016/07/07	2017/02/01	15.00
11296	Macquarie Bank Ltd. - China Coal European Wts Apr 2017 A	22,000,000	2016/07/07	2017/04/05	10.43
11319	Macquarie Bank Ltd. - CKP European Warrants May 2017 A	30,000,000	2016/07/07	2017/05/04	10.89
11318	Macquarie Bank Ltd. - China Mobile European Wts Feb 2017 B	35,000,000	2016/07/07	2017/02/02	10.12
11317	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Mar 2017 A	13,000,000	2016/07/07	2017/03/17	10.01
11316	Macquarie Bank Ltd. - PetroChina European Wts Feb 2017 A	28,000,000	2016/07/07	2017/02/02	10.02
11295	Macquarie Bank Ltd. - Swire Pacific A European Wts Apr17A	16,000,000	2016/07/07	2017/04/05	10.21
11314	Macquarie Bank Ltd. - Tencent European Put Wts Feb 2017 C	10,000,000	2016/07/07	2017/02/02	10.00
11301	UBS AG - CC Bank European Put Warrants Dec 2016 B	70,000,000	2016/07/07	2016/12/22	11.13
11300	UBS AG - Link REIT European Warrants Jan 2017 A	40,000,000	2016/07/07	2017/01/10	10.16
11346	Goldman Sachs SP (Asia) - Link REIT European Wts Feb 2017 A	40,000,000	2016/07/08	2017/02/02	10.00
11329	HK Bank - China Life European Warrants Feb 2017 A	80,000,000	2016/07/08	2017/02/27	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
11334	HK Bank - Galaxy Ent European Warrants Jan 2017 A	50,000,000	2016/07/08	2017/01/27	12.50
11335	HK Bank - HSI European Warrants Nov 2016 B	180,000,000	2016/07/08	2016/11/29	27.00
11327	HK Bank - Stanchart European Warrants Jan 2017 A	40,000,000	2016/07/08	2017/01/27	24.00
11345	J P Morgan SP BV - HSI European Put Warrants Feb 2017 B	300,000,000	2016/07/08	2017/02/27	75.60
11338	SG Issuer - Ping An European Warrants Feb 2017 A	120,000,000	2016/07/08	2017/02/03	19.80
11340	UBS AG - AIA European Put Warrants Jan 2017 A	50,000,000	2016/07/08	2017/01/09	13.70
11343	UBS AG - Minsheng Bank European Warrants Nov 2016 A	60,000,000	2016/07/08	2016/11/25	30.12
11344	UBS AG - Tencent Holdings European Warrants Jan 2017 B	50,000,000	2016/07/08	2017/01/09	13.25
11349	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Aug 2017 B	50,000,000	2016/07/11	2017/08/02	15.50
11350	BNP Paribas Arbit Issu B.V. - ICBC European Wts Aug 2017 B	50,000,000	2016/07/11	2017/08/02	14.00
11364	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 A	100,000,000	2016/07/11	2017/09/29	17.00
11375	Goldman Sachs SP (Asia) - China Life Euro Wts Feb 2017 A	100,000,000	2016/07/11	2017/02/23	15.00
11348	Goldman Sachs SP (Asia) - PICC European Warrants Jan 2017 A	60,000,000	2016/07/11	2017/01/23	10.02
11371	Goldman Sachs SP (Asia) - Tencent European Put Wt Mar 2017 A	100,000,000	2016/07/11	2017/03/28	15.00
11367	J P Morgan SP BV - ICBC European Put Wts Feb 2017 A	100,000,000	2016/07/11	2017/02/02	25.20
11355	Macquarie Bank Ltd. - China Life European Wts Feb 2017 A	8,000,000	2016/07/11	2017/02/02	10.01
11352	Macquarie Bank Ltd. - China Mobile European Wts May 2017 B	20,000,000	2016/07/11	2017/05/05	10.52
11359	Macquarie Bank Ltd. - PICC P&C European Warrants Apr 2017 A	15,000,000	2016/07/11	2017/04/05	10.29
11358	Macquarie Bank Ltd. - Ping An European Warrants Mar 2017 C	10,000,000	2016/07/11	2017/03/02	11.27
11357	Macquarie Bank Ltd. - Tencent European Warrants Feb 2017 D	40,000,000	2016/07/11	2017/02/02	10.00
11360	UBS AG - China Overseas European Warrants Nov 2016 A	70,000,000	2016/07/11	2016/11/07	12.81
11362	UBS AG - SPDR Gold Trust European Warrants Jan 2017 A	50,000,000	2016/07/11	2017/01/10	14.40
11384	BNP Paribas Arbit Issu B.V. - HSI European Wts Nov 2016 A	100,000,000	2016/07/12	2016/11/29	15.00
11383	BNP Paribas Arbit Issu B.V. - HSI European Wts Dec 2016 D	100,000,000	2016/07/12	2016/12/29	20.00
11388	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2017 A	100,000,000	2016/07/12	2017/01/26	19.00
11389	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jan 2017 B	100,000,000	2016/07/12	2017/01/26	21.00
11390	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jan 2017 C	100,000,000	2016/07/12	2017/01/26	16.00
11378	Credit Suisse AG - Kingsoft European Warrants Jan 2017 A	40,000,000	2016/07/12	2017/01/11	10.00
11381	Credit Suisse AG - Ping An European Warrants Feb 2017 A	80,000,000	2016/07/12	2017/02/02	12.00
11397	J P Morgan SP BV - Kingsoft European Warrants Dec 2016 A	70,000,000	2016/07/12	2016/12/29	10.50
11395	Macquarie Bank Ltd. - Bank of EA European Wts Jun 2017 A	40,000,000	2016/07/12	2017/06/02	10.00
11396	Macquarie Bank Ltd. - China Cinda European Wts Aug 2017 A	40,000,000	2016/07/12	2017/08/02	10.00
11393	Macquarie Bank Ltd. - Geely Auto European Wts Feb 2017 A	20,000,000	2016/07/12	2017/02/02	10.00
11376	Macquarie Bank Ltd. - HN Renewables European Wts Jun 2017 A	23,000,000	2016/07/12	2017/06/16	10.01
11380	SG Issuer - Kingsoft European Warrants Mar 2017 A	50,000,000	2016/07/12	2017/03/03	10.00
11405	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Sep 2017 A	60,000,000	2016/07/13	2017/09/04	15.00
11416	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2017 A	200,000,000	2016/07/13	2017/01/26	30.00
11417	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2017 B	200,000,000	2016/07/13	2017/01/26	30.00
11414	J P Morgan SP BV - GAC European Warrants Aug 2017 A	100,000,000	2016/07/13	2017/08/24	17.70
11415	J P Morgan SP BV - SMIC European Wts Dec 2018 A	100,000,000	2016/07/13	2018/12/31	25.20
11398	Macquarie Bank Ltd. - AIA European Warrants Feb 2017 A	26,000,000	2016/07/13	2017/02/02	10.14
11399	Macquarie Bank Ltd. - CC Bank European Warrants Jan 2017 B	20,000,000	2016/07/13	2017/01/04	10.12
11404	Macquarie Bank Ltd. - Kingsoft European Warrants Feb 2017 B	34,000,000	2016/07/13	2017/02/02	10.03
11406	SG Issuer - BYD European Warrants Feb 2017 A	20,000,000	2016/07/13	2017/02/03	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
11411	SG Issuer - CP&CC European Warrants Feb 2017 A	60,000,000	2016/07/13	2017/02/03	21.00
11409	SG Issuer - GAC European Warrantss Mar 2018 A	80,000,000	2016/07/13	2018/03/05	20.00
11410	SG Issuer - KECL European Warrants Feb 2017 A	17,000,000	2016/07/13	2017/02/03	10.03
11412	SG Issuer - SHK P European Warrants Feb 2017 A	30,000,000	2016/07/13	2017/02/03	10.05
11436	BOCI Asia Ltd. - China Life European Warrants Mar 2017 A	200,000,000	2016/07/14	2017/03/03	33.00
11441	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Mar 2017 A	100,000,000	2016/07/14	2017/03/10	27.70
11433	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2017 A	200,000,000	2016/07/14	2017/09/29	35.80
11435	BOCI Asia Ltd. - Tencent Holdings European Put Wts Mar 2017 A	100,000,000	2016/07/14	2017/03/31	17.10
11451	BNP Paribas Arbit Issu B.V. - BOCL European Wts Jun 2017 A	50,000,000	2016/07/14	2017/06/02	13.50
11463	Credit Suisse AG - Link REIT European Warrants Jan 2017 A	40,000,000	2016/07/14	2017/01/13	10.00
11460	Credit Suisse - SPDR Gold Trust European Warrants Jan 2017 A	20,000,000	2016/07/14	2017/01/13	10.00
11459	Credit Suisse AG - Zijin Mining European Warrants Jan 2017 A	40,000,000	2016/07/14	2017/01/13	10.00
11471	Bank of East Asia - Kingsoft European Warrants Mar 2017 A	40,000,000	2016/07/14	2017/03/21	10.00
11469	Bank of East Asia - PICC European Warrants Mar 2017 A	70,000,000	2016/07/14	2017/03/10	10.50
11450	HK Bank - Sinopec Corp European Warrants Jan 2017 A	60,000,000	2016/07/14	2017/01/27	18.00
11443	HK Bank - China Shenhua European Warrants Jul 2017 A	80,000,000	2016/07/14	2017/07/31	20.00
11445	HK Bank - PICC European Warrants Jan 2017 A	80,000,000	2016/07/14	2017/01/26	12.00
11449	HK Bank - Zijin Mining European Warrants Jan 2017 A	50,000,000	2016/07/14	2017/01/27	17.50
11432	Haitong Int'l Sec - China Life European Warrants Sep 2017 A	100,000,000	2016/07/14	2017/09/29	25.00
11429	Haitong Int'l Sec - CNOOC European Warrants Mar 2017 A	50,000,000	2016/07/14	2017/03/31	22.50
11419	Haitong Int'l Sec - Sinopec Corp European Wts Mar 2017 A	50,000,000	2016/07/14	2017/03/31	16.85
11420	Haitong Int'l Sec - HKEX European Warrants Dec 2017 A	80,000,000	2016/07/14	2017/12/29	20.08
11418	Haitong Int'l Sec - HSBC European Warrants Mar 2017 A	50,000,000	2016/07/14	2017/03/31	12.50
11431	Haitong Int'l Sec - PetroChina European Warrants Mar 2017 A	50,000,000	2016/07/14	2017/03/31	14.40
11430	Haitong Int'l Sec - Ping An European Warrants Jan 2017 A	100,000,000	2016/07/14	2017/01/18	15.00
11424	Haitong Int'l Sec - Tencent European Warrants May 2017 A	80,000,000	2016/07/14	2017/05/26	12.00
11427	Haitong Int'l Sec - Tencent European Warrants Jul 2017 A	100,000,000	2016/07/14	2017/07/25	15.00
11477	J P Morgan SP BV - CC Bank Euro Put Wts Jan 2017 B	100,000,000	2016/07/14	2017/01/27	25.40
11476	J P Morgan SP BV - HSI European Put Warrants Jan 2017 C	300,000,000	2016/07/14	2017/01/26	45.30
11452	Macquarie Bank Ltd. - BYD European Warrants Mar 2017 A	13,000,000	2016/07/14	2017/03/02	10.01
11454	Macquarie Bank Ltd. - Dongfeng Motor European Wts Jan 2018 A	40,000,000	2016/07/14	2018/01/03	10.00
11457	Macquarie Bank Ltd. - GAC European Wts Sep 2017 A	50,000,000	2016/07/14	2017/09/04	10.00
11458	Macquarie Bank Ltd. - Link REIT European Warrants Mar 2017 A	40,000,000	2016/07/14	2017/03/20	10.00
11464	SG Issuer - CRCC European Warrants Oct 2017 A	80,000,000	2016/07/14	2017/10/06	18.00
11468	SG Issuer - Zijin Mining European Warrants Feb 2017 A	26,000,000	2016/07/14	2017/02/03	10.01
11474	UBS AG - Tencent Holdings European Warrants Dec 2016 E	50,000,000	2016/07/14	2016/12/23	46.70
11483	Goldman Sachs SP (Asia) - Zijin Mining European Wts Jan17A	30,000,000	2016/07/15	2017/01/16	10.02
11485	J P Morgan SP BV - Yanzhou Coal European Warrants Dec 2017 A	100,000,000	2016/07/15	2017/12/22	17.40
11484	Macquarie Bank Ltd. - CITIC Sec European Warrants Mar 2017 A	40,000,000	2016/07/15	2017/03/02	10.00
11482	Macquarie Bank Ltd. - CKH European Warrants Mar 2017 A	21,000,000	2016/07/15	2017/03/02	10.02
11486	UBS AG - Galaxy Ent European Put Warrants Jan 2017 A	60,000,000	2016/07/15	2017/01/16	15.00
27394 #	J P Morgan SP BV - HSI European Put Warrants Oct 2016 E	300,000,000	2016/07/15	2016/10/28	19.50
10409	BNP Paribas Arbit Issu B.V. - Gold European Wts Mar 2017 A	50,000,000	2016/07/18	2017/03/24	12.50
10410	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Mar 17 B	50,000,000	2016/07/18	2017/03/24	12.50

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11499	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2017 A	50,000,000	2016/07/18	2017/12/04	14.00
11488	Goldman Sachs SP (Asia) - AIA European Warrants Dec 2016 A	70,000,000	2016/07/18	2016/12/23	10.50
11490	Goldman Sachs SP (Asia) - Kingsoft European Wts Nov 2016 B	60,000,000	2016/07/18	2016/11/28	10.02
11500	Macquarie Bank Ltd. - Sands China European Wts Nov 2016 B	43,000,000	2016/07/18	2016/11/02	10.02
11502	Macquarie Bank Ltd. - Sands China European Put Wts Mar 2017 A	40,000,000	2016/07/18	2017/03/02	10.00
11491	Macquarie Bank Ltd. - Tencent European Warrants Feb 2017 E	10,000,000	2016/07/18	2017/02/02	16.07
11494	SG Issuer - HS H-SHARE European Warrants Feb 2017 A	50,000,000	2016/07/18	2017/02/03	30.00
11496	SG Issuer - Ping An European Put Warrants Jan 2017 D	120,000,000	2016/07/18	2017/01/05	26.40
11497	SG Issuer - Weichai Power European Warrants Nov 2017 A	80,000,000	2016/07/18	2017/11/03	20.00
11506	UBS AG - Sands China European Put Warrants Nov 2016 A	70,000,000	2016/07/18	2016/11/25	10.50
11504	UBS AG - Zijin Mining European Warrants Jan 2017 A	40,000,000	2016/07/18	2017/01/17	10.96
11525	Credit Suisse AG - HSBC European Put Warrants Jan 2017 B	50,000,000	2016/07/19	2017/01/04	11.50
11543	Goldman Sachs SP (Asia) - CHALCO European Wts Jan 2017 A	30,000,000	2016/07/19	2017/01/26	10.02
11540	Goldman Sachs SP (Asia) - Ch Overseas European Wts Dec 2016 A	50,000,000	2016/07/19	2016/12/30	10.00
11512	Goldman Sachs SP (Asia) - China Tel European Wts Feb 2017 A	60,000,000	2016/07/19	2017/02/02	15.00
11538	Goldman Sachs SP (Asia) - A50 China European Wts Feb 2017 A	50,000,000	2016/07/19	2017/02/28	12.50
11507	Goldman Sachs SP (Asia) - HSBC European Put Wts Dec 2016 D	70,000,000	2016/07/19	2016/12/23	10.50
11536	Goldman Sachs SP (Asia) - NCI European Warrants Jun 2017 A	40,000,000	2016/07/19	2017/06/29	11.12
11547	J P Morgan SP BV - HSI European Warrants Apr 2017 A	300,000,000	2016/07/19	2017/04/27	75.30
11517	Macquarie Bank Ltd. - Fosun Intl European Warrants Dec 2017A	40,000,000	2016/07/19	2017/12/04	10.00
11516	Macquarie Bank Ltd. - Li & Fung European Warrants May 2017 A	40,000,000	2016/07/19	2017/05/04	10.00
11513	Macquarie Bank Ltd. - PICC Group European Wts Mar 2017 A	25,000,000	2016/07/19	2017/03/02	10.00
11515	Macquarie Bank Ltd. - Ping An European Warrants May 2017 A	40,000,000	2016/07/19	2017/05/04	10.00
11518	SG Issuer - CCoal European Warrants Feb 2017 A	20,000,000	2016/07/19	2017/02/03	10.60
11519	SG Issuer - HSI European Warrants Jan 2017 D	150,000,000	2016/07/19	2017/01/26	25.50
11520	SG Issuer - Kingsoft European Warrants Jan 2017 B	60,000,000	2016/07/19	2017/01/05	10.20
11521	SG Issuer - NewWorld Dev Eu Wt Mar 2017 A	20,000,000	2016/07/19	2017/03/03	10.00
11523	SG Issuer - Yanzhou Coal Eu Wt Jan 2018 A	120,000,000	2016/07/19	2018/01/04	18.00
11530	UBS AG - Link REIT European Put Warrants Jan 2017 B	40,000,000	2016/07/19	2017/01/18	10.00
11533	UBS AG - Tencent Holdings European Put Warrants Dec 2016 F	20,000,000	2016/07/19	2016/12/02	10.02
11535	UBS AG - Tencent Holdings European Put Warrants Jan 2017 C	200,000,000	2016/07/19	2017/01/18	50.00
26944 *	J P Morgan SP BV - Tencent European Put Warrants Dec 2016 F	250,000,000	2016/07/19	2016/12/02	10.00
11583	Goldman Sachs SP (Asia) - AIA European Warrants Nov 2016 B	80,000,000	2016/07/20	2016/11/28	12.00
11550	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Jan 2017 B	80,000,000	2016/07/20	2017/01/27	20.24
11551	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2017 A	200,000,000	2016/07/20	2017/02/27	30.00
11549	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Mar 2017 A	40,000,000	2016/07/20	2017/03/30	15.16
11559	HK Bank - CRRC European Warrants Sep 2017 A	80,000,000	2016/07/20	2017/09/25	12.00
11561	HK Bank - China Tel European Warrants Jan 2017 A	50,000,000	2016/07/20	2017/01/27	12.50
11570	HK Bank - HSI European Warrants Jan 2017 B	100,000,000	2016/07/20	2017/01/26	25.00
11568	HK Bank - HSI European Put Warrants Nov 2016 C	180,000,000	2016/07/20	2016/11/29	27.00
11562	HK Bank - HSI European Put Warrants Jan 2017 A	180,000,000	2016/07/20	2017/01/26	30.60
11558	HK Bank - Tencent European Warrants Oct 2016 A	40,000,000	2016/07/20	2016/10/31	16.00
11580	J P Morgan SP BV - Anhui Conch European Warrants Apr 2017 A	80,000,000	2016/07/20	2017/04/28	20.08
11579	J P Morgan SP BV - Galaxy Ent European Put Wts Feb 2017 A	100,000,000	2016/07/20	2017/02/01	25.00

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11582	J P Morgan SP BV - HSI European Put Warrants Jan 2017 D	300,000,000	2016/07/20	2017/01/26	52.80
11578	J P Morgan SP BV - Ping An European Warrants Jan 2017 A	100,000,000	2016/07/20	2017/01/16	15.10
11553	Macquarie Bank Ltd. - China COSCO European Wts May 2017 A	20,000,000	2016/07/20	2017/05/04	10.00
11555	Macquarie Bank Ltd. - CKI Holdings European Warrants Mar17 A	22,000,000	2016/07/20	2017/03/02	10.14
11556	Macquarie Bank Ltd. - PetroChina European Put Warrants Feb17B	27,000,000	2016/07/20	2017/02/02	10.02
11576	UBS AG - ICBC European Put Warrants Jan 2017 A	70,000,000	2016/07/20	2017/01/19	17.71
11577	UBS AG - PetroChina European Warrants Dec 2016 A	50,000,000	2016/07/20	2016/12/21	12.60
11594	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2017 A	50,000,000	2016/07/21	2017/04/05	12.50
11587	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Nov 2016 B	100,000,000	2016/07/21	2016/11/29	15.00
11589	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jun 2017 A	40,000,000	2016/07/21	2017/06/02	17.60
11593	BNP Paribas Arbit Issu B.V. - Stanchart Euro Wts Feb 2017 A	40,000,000	2016/07/21	2017/02/02	16.40
11592	Credit Suisse AG - China Shenhua European Wts Jun 2017 A	50,000,000	2016/07/21	2017/06/09	12.50
11591	Credit Suisse AG - Greatwall Motor European Wts Feb 2017 A	70,000,000	2016/07/21	2017/02/27	10.50
11601	J P Morgan SP BV - Sinopec Corp Eu Put Wt Jan 2017 B	100,000,000	2016/07/21	2017/01/27	29.50
11600	J P Morgan SP BV - Fosun Intl European Warrants Feb 2017 A	70,000,000	2016/07/21	2017/02/21	10.50
11599	J P Morgan SP BV - SPDR Gold Trust European Wts Dec 2016 A	30,000,000	2016/07/21	2016/12/07	10.62
11586	Macquarie Bank Ltd. - China EB Ltd Euro Warrants Jul 2017 A	40,000,000	2016/07/21	2017/07/04	10.00
11596	Macquarie Bank Ltd. - Ch Southern Air Euro Wts Jun 2019 A	40,000,000	2016/07/21	2019/06/04	10.00
11585	SG Issuer - KECL European Warrants Jul 2018 A	100,000,000	2016/07/21	2018/07/05	16.00
11605	BOCI Asia Ltd. - HSBC European Warrants Jan 2017 B	200,000,000	2016/07/22	2017/01/03	32.00
11607	BOCI Asia Ltd. - HSI European Warrants Mar 2017 A	100,000,000	2016/07/22	2017/03/30	16.00
11608	BOCI Asia Ltd. - HSI European Put Warrants Jan 2017 A	100,000,000	2016/07/22	2017/01/26	15.00
11619	Credit Suisse AG - AIA European Put Warrants Dec 2016 B	70,000,000	2016/07/22	2016/12/01	10.50
11621	Credit Suisse AG - CC Bank European Warrants Feb 2017 A	50,000,000	2016/07/22	2017/02/06	12.50
11610	Credit Suisse AG - Tencent Holdings European Wts Jan 2017 A	50,000,000	2016/07/22	2017/01/04	16.00
11611	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2017 C	90,000,000	2016/07/22	2017/04/05	22.50
11602	Goldman Sachs SP (Asia) - HSI European Warrants Dec 2016 E	200,000,000	2016/07/22	2016/12/29	30.00
11638	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2017 C	200,000,000	2016/07/22	2017/01/26	31.80
11647	Goldman Sachs SP (Asia) - Stanchart European Wts Dec 2016 A	40,000,000	2016/07/22	2016/12/28	14.72
11640	J P Morgan SP BV - China Mob European Wts Jan 2017 B	100,000,000	2016/07/22	2017/01/27	29.40
11643	J P Morgan SP BV - China Mob European Put Wts Jun 2018 A	200,000,000	2016/07/22	2018/06/29	30.00
11644	J P Morgan SP BV - China Shenhua European Wts May 2017 A	80,000,000	2016/07/22	2017/05/19	20.16
11645	J P Morgan SP BV - HSI European Put Warrants Mar 2017 B	300,000,000	2016/07/22	2017/03/30	75.00
11622	SG Issuer - Tencent European Put Warrants Jan 2017 G	30,000,000	2016/07/22	2017/01/05	25.80
11636	UBS AG - BYD European Warrants Jan 2017 A	20,000,000	2016/07/22	2017/01/23	12.76
11629	UBS AG - CC Bank European Warrants Jan 2017 A	70,000,000	2016/07/22	2017/01/23	18.41
11628	UBS AG - HSCEI European Put Warrants Dec 2016 E	100,000,000	2016/07/22	2016/12/29	15.60
11623	UBS AG - HSI European Warrants Jan 2017 C	300,000,000	2016/07/22	2017/01/26	75.00
11625	UBS AG - HSI European Put Warrants Jan 2017 D	300,000,000	2016/07/22	2017/01/26	58.20
11633	UBS AG - Tencent Holdings European Warrants Dec 2016 G	50,000,000	2016/07/22	2016/12/28	10.85
11652	Credit Suisse AG - Geely Auto European Warrants Jan 2017 A	40,000,000	2016/07/25	2017/01/24	13.20
11653	Credit Suisse AG - Sino Biopharm European Wts Jan 2017 A	20,000,000	2016/07/25	2017/01/24	17.60
11656	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2016 C	80,000,000	2016/07/25	2016/12/28	12.00
11664	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2016 A	60,000,000	2016/07/25	2016/12/20	10.08

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11662	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2016 G	60,000,000	2016/07/25	2016/12/21	24.90
11657	J P Morgan SP BV - Henderson Land Dev European Wt Jan 2017 A	60,000,000	2016/07/25	2017/01/27	15.30
11658	J P Morgan SP BV - HSCEI European Put Warrants Dec 2016 D	200,000,000	2016/07/25	2016/12/29	33.80
11648	Macquarie Bank Ltd. - BYD European Warrants Feb 2017 B	15,000,000	2016/07/25	2017/02/02	10.01
11649	Macquarie Bank Ltd. - Sinopec Corp European Wts Mar 2017 B	30,000,000	2016/07/25	2017/03/02	10.77
11650	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Feb 2017 A	40,000,000	2016/07/25	2017/02/02	10.08
11654	SG Issuer - China Life European Warrants Jan 2017 A	150,000,000	2016/07/25	2017/01/05	22.50
11655	SG Issuer - Tencent European Put Warrants Apr 2017 B	300,000,000	2016/07/25	2017/04/06	45.00
11661	UBS AG - AIA European Warrants Nov 2016 B	100,000,000	2016/07/25	2016/11/28	15.10
11659	UBS AG - CKH European Put Warrants Jan 2017 B	20,000,000	2016/07/25	2017/01/24	10.04
11660	UBS AG - HSI European Warrants Oct 2016 D	300,000,000	2016/07/25	2016/10/28	45.60
11689	BOCI Asia Ltd. - Ping An European Warrants Jan 2017 B	200,000,000	2016/07/26	2017/01/27	45.80
11688	BOCI Asia Ltd. - Tencent Holdings European Wts Dec 2016 F	200,000,000	2016/07/26	2016/12/09	89.60
11687	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2017 B	200,000,000	2016/07/26	2017/09/29	50.00
11711	BNP Paribas Arbit Issu B.V. - CKP European Wts Mar 2017 A	40,000,000	2016/07/26	2017/03/02	16.80
11707	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Feb 2017 A	40,000,000	2016/07/26	2017/02/02	14.40
11709	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Apr 2017 A	40,000,000	2016/07/26	2017/04/05	18.40
11665	Credit Suisse AG - China Life European Warrants Dec 2016 A	90,000,000	2016/07/26	2016/12/21	13.50
11703	Goldman Sachs SP (Asia) - CITIC European Warrants Dec 2017 A	70,000,000	2016/07/26	2017/12/20	10.50
11702	Goldman Sachs SP (Asia) - CPIC European Warrants May 2017 A	50,000,000	2016/07/26	2017/05/29	12.50
11682	Haitong Int'l Sec - BOC HK European Warrants Mar 2017 A	50,000,000	2016/07/26	2017/03/30	12.50
11681	Haitong Int'l Sec - BOC HK European Put Warrants Jun 2017 A	50,000,000	2016/07/26	2017/06/30	12.85
11684	Haitong Int'l Sec - BOCL European Warrants Dec 2016 A	70,000,000	2016/07/26	2016/12/28	10.50
11677	Haitong Int'l Sec - BYD European Warrants Dec 2018 A	70,000,000	2016/07/26	2018/12/31	17.50
11671	Haitong Int'l Sec - CC Bank European Warrants Feb 2017 A	50,000,000	2016/07/26	2017/02/01	12.85
11673	Haitong Int'l Sec - CC Bank European Warrants Sep 2017 A	50,000,000	2016/07/26	2017/09/29	13.40
11674	Haitong Int'l Sec - China Mobile European Warrants Jun 2017A	50,000,000	2016/07/26	2017/06/30	12.80
11675	Haitong Int'l Sec - China Mobile European Put Wts Jun 2017 B	50,000,000	2016/07/26	2017/06/30	13.75
11668	Haitong Int'l Sec - HKEX European Put Warrants May 2017 A	80,000,000	2016/07/26	2017/05/25	12.00
11666	Haitong Int'l Sec - HSBC European Put Warrants Jun 2017 A	50,000,000	2016/07/26	2017/06/30	13.90
11667	Haitong Int'l Sec - PWR Assets European Put Wts May 2017 A	40,000,000	2016/07/26	2017/05/25	13.76
11716	J P Morgan SP BV - CM Bank European Warrants Jun 2017 A	100,000,000	2016/07/26	2017/06/02	25.10
11715	J P Morgan SP BV - HSI European Warrants Feb 2017 C	300,000,000	2016/07/26	2017/02/27	75.00
11713	Macquarie Bank Ltd. - AAC Acoustic European Wts Jun 2017 A	9,500,000	2016/07/26	2017/06/16	10.00
11712	Macquarie Bank Ltd. - AIA European Warrants Feb 2017 B	40,000,000	2016/07/26	2017/02/02	10.20
11686	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Oct 2018 A	45,000,000	2016/07/26	2018/10/03	10.04
11698	SG Issuer - BOCL European Warrants Jan 2017 A	100,000,000	2016/07/26	2017/01/05	16.50
11695	SG Issuer - CITIC Sec European Warrants Mar 2017 A	50,000,000	2016/07/26	2017/03/03	10.00
11692	SG Issuer - China Life European Warrants Oct 2017 A	150,000,000	2016/07/26	2017/10/06	32.25
11700	SG Issuer - HLand European Warrants Feb 2017 A	30,000,000	2016/07/26	2017/02/03	10.05
11697	SG Issuer - Ping An European Warrants Jan 2017 E	120,000,000	2016/07/26	2017/01/05	18.60
11690	SG Issuer - Tracker Fund European Warrants Jan 2018 A	100,000,000	2016/07/26	2018/01/04	16.50
11729	Credit Suisse AG - HKEX European Warrants Apr 2017 A	150,000,000	2016/07/27	2017/04/05	37.50
11732	Credit Suisse AG - HSI European Put Warrants Jan 2017 A	120,000,000	2016/07/27	2017/01/26	18.00

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11724	Goldman Sachs SP (Asia) - HSBC European Warrants Dec 2016 E	80,000,000	2016/07/27	2016/12/23	12.00
11725	Goldman Sachs SP (Asia) - HSBC European Warrants Mar 2017 A	70,000,000	2016/07/27	2017/03/29	10.50
11726	Goldman Sachs Sp (Asia) - ICBC European Warrants Feb 2017 A	70,000,000	2016/07/27	2017/02/23	10.50
11717	HK Bank - AIA European Warrants Nov 2016 B	80,000,000	2016/07/27	2016/11/30	12.00
11719	HK Bank - AIA European Put Warrants Dec 2016 A	70,000,000	2016/07/27	2016/12/30	10.50
11721	HK Bank - CSOP A50 ETF European Warrants Sep 2018 A	100,000,000	2016/07/27	2018/09/27	15.00
11723	HK Bank - ICBC European Warrants Jan 2017 A	80,000,000	2016/07/27	2017/01/26	14.40
11722	HK Bank - ICBC European Warrants Jul 2017 A	60,000,000	2016/07/27	2017/07/31	19.20
11746	J P Morgan SP BV - AIA European Warrants Jan 2017 A	100,000,000	2016/07/27	2017/01/27	25.10
11745	J P Morgan SP BV - HSI European Warrants Jan 2017 E	300,000,000	2016/07/27	2017/01/26	75.00
11743	Macquarie Bank Ltd. - Lenovo European Warrants Feb 2017 B	30,000,000	2016/07/27	2017/02/02	10.02
11748	SG Issuer - CCB European Put Warrants Mar 2017 B	50,000,000	2016/07/27	2017/03/03	12.50
11750	SG Issuer - CP&CC European Put Warrants Mar 2017 A	50,000,000	2016/07/27	2017/03/03	16.50
11733	UBS AG - Geely Auto European Warrants Jan 2017 A	40,000,000	2016/07/27	2017/01/26	20.24
11734	UBS AG - Galaxy Ent European Warrants Jan 2017 B	70,000,000	2016/07/27	2017/01/26	17.64
11740	UBS AG - Lenovo European Warrants Jan 2017 A	30,000,000	2016/07/27	2017/01/26	10.02
11738	UBS AG - Sands China European Warrants Dec 2016 A	70,000,000	2016/07/27	2016/12/28	14.00
11757	BNP Paribas Arbit Issu B.V. - HSI European Wts May 2017 A	100,000,000	2016/07/28	2017/05/29	19.00
11758	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Feb 2017 E	100,000,000	2016/07/28	2017/02/27	25.00
11764	Credit Suisse AG - China Mobile European Put Wts Feb 2017 A	40,000,000	2016/07/28	2017/02/03	12.40
11756	HK Bank - HSCEI European Put Warrants Dec 2016 F	180,000,000	2016/07/28	2016/12/29	28.80
11755	HK Bank - HSI European Warrants Feb 2017 A	180,000,000	2016/07/28	2017/02/27	45.00
11754	HK Bank - HSI European Put Warrants Jan 2017 C	180,000,000	2016/07/28	2017/01/26	27.00
11753	HK Bank - Tencent European Warrants Dec 2016 D	40,000,000	2016/07/28	2016/12/28	20.00
11768	J P Morgan SP BV - China Mob European Wts Dec 2016 D	100,000,000	2016/07/28	2016/12/02	24.40
11772	J P Morgan SP BV - HSI European Warrants Mar 2017 C	300,000,000	2016/07/28	2017/03/30	75.30
11767	J P Morgan SP BV - HSI European Put Warrants Feb 2017 D	300,000,000	2016/07/28	2017/02/27	75.60
11770	J P Morgan SP BV - ICBC European Warrants Jan 2017 A	100,000,000	2016/07/28	2017/01/27	20.20
11766	Macquarie Bank Ltd. - CGS European Warrants Aug 2018 A	40,000,000	2016/07/28	2018/08/02	10.00
11763	Macquarie Bank Ltd. - China Shenhua European Wts Nov 2017 A	40,000,000	2016/07/28	2017/11/02	10.00
11761	Macquarie Bank Ltd. - Haitong Sec European Wts Sep 2017 A	40,000,000	2016/07/28	2017/09/04	10.00
11752	Macquarie Bank Ltd. - SHK Ppt European Put Wts Mar 2017 A	24,000,000	2016/07/28	2017/03/02	10.25
11781	UBS AG - CKP European Warrants Feb 2017 A	70,000,000	2016/07/28	2017/02/01	27.65
11777	UBS AG - China Mobile European Warrants Nov 2016 B	100,000,000	2016/07/28	2016/11/25	23.30
11779	UBS AG - China Mobile European Put Warrants Jun 2018 A	200,000,000	2016/07/28	2018/06/26	30.40
11784	UBS AG - HSI European Put Warrants Jan 2017 E	300,000,000	2016/07/28	2017/01/26	45.90
11773	UBS AG - Tencent Holdings European Warrants Nov 2016 B	50,000,000	2016/07/28	2016/11/25	15.65
11792	BOCI Asia Ltd. - AIA European Warrants Feb 2017 A	200,000,000	2016/07/29	2017/02/06	53.00
11793	BOCI Asia Ltd. - AIA European Put Warrants Feb 2017 B	100,000,000	2016/07/29	2017/02/13	25.00
11790	BOCI Asia Ltd. - China Mobile European Warrants Feb 2017 A	200,000,000	2016/07/29	2017/02/10	100.00
11791	BOCI Asia Ltd. - SHK Ppt European Warrants Feb 2017 A	100,000,000	2016/07/29	2017/02/20	48.00
11799	J P Morgan SP BV - AAC Acoustic European Warrants Aug 2018 A	80,000,000	2016/07/29	2018/08/02	20.16
11805	J P Morgan SP BV - Air China European Warrants Nov 2017 A	80,000,000	2016/07/29	2017/11/30	12.08
11804	J P Morgan SP BV - Ali Health European Warrants Apr 2017 A	40,000,000	2016/07/29	2017/04/25	10.96

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11808	J P Morgan SP BV - China Everbright European Wts Jun 2017 A	50,000,000	2016/07/29	2017/06/27	10.80
11801	J P Morgan SP BV - China Mob European Put Wts Feb 2017 A	100,000,000	2016/07/29	2017/02/01	31.80
11806	J P Morgan SP BV - Ch Oilfield Euro Wts Nov 2017 A	60,000,000	2016/07/29	2017/11/28	11.04
11813	J P Morgan SP BV - Ch Overseas European Warrants Jan 2017 A	100,000,000	2016/07/29	2017/01/04	16.70
11798	J P Morgan SP BV - Minth Group European Warrants Feb 2017 A	40,000,000	2016/07/29	2017/02/01	14.72
11800	J P Morgan SP BV - Sunny Optical European Warrants Feb 2017A	40,000,000	2016/07/29	2017/02/28	19.64
11787	SG Issuer - CMB European Warrants Sep 2017 A	100,000,000	2016/07/29	2017/09/05	25.00
11789	SG Issuer - Ch Mob European Warrants Feb 2017 A	80,000,000	2016/07/29	2017/02/03	20.00
11795	SG Issuer - CMob European Put Warrants Jul 2018 A	500,000,000	2016/07/29	2018/07/05	75.00
11797	UBS AG - HSI European Put Warrants Feb 2017 A	300,000,000	2016/07/29	2017/02/27	77.70
11816	Credit Suisse AG - Henganintl European Warrants Feb 2017 A	25,000,000	2016/08/01	2017/02/03	15.00
11817	Credit Suisse AG - Huaneng Power European Warrants Feb 2017A	25,000,000	2016/08/01	2017/02/03	11.50
11832	J P Morgan SP BV - Tencent European Warrants Jan 2017 A	100,000,000	2016/08/01	2017/01/03	34.60
11836	J P Morgan SP BV - Tencent European Put Warrants Jan 2017 B	200,000,000	2016/08/01	2017/01/11	30.20
11818	Macquarie Bank Ltd. - Dongfeng Motor European Wts Dec 2017 A	40,000,000	2016/08/01	2017/12/04	10.00
11820	Macquarie Bank Ltd. - GAC European Wts Nov 2017 A	40,000,000	2016/08/01	2017/11/02	10.00
11823	Macquarie Bank Ltd. - Tencent European Warrants Mar 2017 A	40,000,000	2016/08/01	2017/03/02	10.00
11814	SG Issuer - GWMC European Warrants Mar 2017 A	100,000,000	2016/08/01	2017/03/03	15.00
11829	UBS AG - HKEX European Warrants Nov 2016 C	50,000,000	2016/08/01	2016/11/25	11.75
11831	UBS AG - HKEX European Put Warrants Nov 2016 D	100,000,000	2016/08/01	2016/11/25	15.00
11828	UBS AG - HSBC European Put Warrants Nov 2016 F	100,000,000	2016/08/01	2016/11/01	15.60
11824	UBS AG - ICBC European Warrants Feb 2017 A	70,000,000	2016/08/01	2017/02/23	10.50
11837	BOCI Asia Ltd. - CC Bank European Put Warrants Jan 2017 B	100,000,000	2016/08/02	2017/01/27	17.10
11838	BOCI Asia Ltd. - ICBC European Put Warrants Feb 2017 B	100,000,000	2016/08/02	2017/02/02	15.20
11862	J P Morgan SP BV - Galaxy Ent European Put Wts Feb 2017 B	100,000,000	2016/08/02	2017/02/03	25.10
11866	J P Morgan SP BV - Sands China European Warrants Apr 2017 B	100,000,000	2016/08/02	2017/04/28	25.10
11867	J P Morgan SP BV - Sands China European Put Wts Feb 2017 A	100,000,000	2016/08/02	2017/02/28	25.30
11868	J P Morgan SP BV - Wynn Macau European Warrants Apr 2017 A	80,000,000	2016/08/02	2017/04/28	15.28
11845	Macquarie Bank Ltd. - CNOOC European Warrants Mar 2017 A	40,000,000	2016/08/02	2017/03/02	10.00
11844	Macquarie Bank Ltd. - CNOOC European Put Warrants May 2017 A	12,000,000	2016/08/02	2017/05/02	10.06
11843	Macquarie Bank Ltd. - Geely Auto European Wts Feb 2017 B	40,000,000	2016/08/02	2017/02/02	10.00
11849	Macquarie Bank Ltd. - Galaxy Ent European Wts Jan 2017 A	51,000,000	2016/08/02	2017/01/04	10.05
11850	Macquarie Bank Ltd. - Sands China European Put Wts Feb 2017 B	40,000,000	2016/08/02	2017/02/02	10.00
11842	Macquarie Bank Ltd. - Wynn Macau European Wts Oct 2017 A	40,000,000	2016/08/02	2017/10/04	10.00
11851	SG Issuer - AIA European Warrants May 2017 A	100,000,000	2016/08/02	2017/05/05	25.00
11853	SG Issuer - Galaxy European Put Warrants Feb 2017 A	80,000,000	2016/08/02	2017/02/03	20.00
11840	SG Issuer - HS H-SHARE European Warrants Feb 2017 B	50,000,000	2016/08/02	2017/02/03	12.50
11855	SG Issuer - Sands Ch Eu Put Wt Jan 2017 B	80,000,000	2016/08/02	2017/01/05	12.00
11839	SG Issuer - Tencent European Put Warrants Feb 2017 C	300,000,000	2016/08/02	2017/02/03	75.00
11860	UBS AG - Evergrande European Warrants Feb 2017 A	20,000,000	2016/08/02	2017/02/01	12.08
11859	UBS AG - Galaxy Ent European Warrants Dec 2016 A	70,000,000	2016/08/02	2016/12/21	10.57
11858	UBS AG - Sands China European Warrants Dec 2016 B	70,000,000	2016/08/02	2016/12/21	13.44
11857	UBS AG - SJM Holdings European Warrants Feb 2017 A	40,000,000	2016/08/02	2017/02/01	27.80
11861	UBS AG - Wynn Macau European Warrants Mar 2017 A	40,000,000	2016/08/02	2017/03/27	10.00

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28625 #	J P Morgan SP BV - HSI European Put Warrants Nov 2016 D	300,000,000	2016/08/02	2016/11/29	19.50
11869	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Mar 2017 B	40,000,000	2016/08/03	2017/03/02	17.20
11870	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jun 2018 A	100,000,000	2016/08/03	2018/06/04	15.00
11871	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Jun 2017 A	60,000,000	2016/08/03	2017/06/02	10.80
11899	Credit Suisse AG - Galaxy Ent European Warrants Dec 2016 A	70,000,000	2016/08/03	2016/12/21	10.50
11903	Credit Suisse AG - Galaxy Ent European Put Wts Feb 2017 A	40,000,000	2016/08/03	2017/02/03	10.00
11897	Credit Suisse AG - Sands China European Warrants Dec 2016 A	70,000,000	2016/08/03	2016/12/21	11.20
11896	Credit Suisse AG - Tencent Holdings European Wts Dec 2016 E	50,000,000	2016/08/03	2016/12/02	17.00
11877	HK Bank - BOC HK European Warrants Jul 2017 A	80,000,000	2016/08/03	2017/07/10	16.00
11875	HK Bank - Dongfeng Motor European Warrants Sep 2017 A	80,000,000	2016/08/03	2017/09/29	20.00
11881	HK Bank - Galaxy Ent European Warrants Feb 2017 A	80,000,000	2016/08/03	2017/02/02	20.00
11882	HK Bank - Sands China European Warrants Mar 2017 A	80,000,000	2016/08/03	2017/03/02	20.00
11872	HK Bank - Tencent European Put Warrants Jan 2017 B	100,000,000	2016/08/03	2017/01/11	15.00
11905	J P Morgan SP BV - CC Bank European Wts Feb 2017 A	100,000,000	2016/08/03	2017/02/28	18.50
11906	J P Morgan SP BV - Galaxy Ent European Warrants Feb 2017 C	100,000,000	2016/08/03	2017/02/28	15.00
11884	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Apr 2017 A	35,000,000	2016/08/03	2017/04/05	10.01
11887	Macquarie Bank Ltd. - A50 China European Warrants Mar 2017 A	40,000,000	2016/08/03	2017/03/02	10.00
11891	Macquarie Bank Ltd. - Tencent European Warrants Mar 2017 B	23,000,000	2016/08/03	2017/03/02	10.01
11890	Macquarie Bank Ltd. - Xinyi Glass European Wts Sep 2018 A	40,000,000	2016/08/03	2018/09/04	10.00
11892	SG Issuer - AIA European Warrants Feb 2017 A	100,000,000	2016/08/03	2017/02/03	17.50
11893	SG Issuer - China Life European Put Warrants Jan 2017 B	150,000,000	2016/08/03	2017/01/05	26.25
11894	SG Issuer - CSOP A50 ETF European Warrants Feb 2017 A	35,000,000	2016/08/03	2017/02/03	10.15
11895	SG Issuer - A50 China European Warrants Feb 2017 A	40,000,000	2016/08/03	2017/02/03	10.00
11925	BNP Paribas Arbit Issu B.V. - HKEX European Wts Mar 2017 A	80,000,000	2016/08/04	2017/03/02	12.00
11927	BNP Paribas Arbit Issu B.V. - HSI European Wts Jan 2017 D	100,000,000	2016/08/04	2017/01/26	23.00
11928	Credit Suisse AG - AIA European Warrants Dec 2016 C	70,000,000	2016/08/04	2016/12/29	16.80
11929	Credit Suisse AG - AIA European Warrants Dec 2016 D	70,000,000	2016/08/04	2016/12/05	10.50
11911	Haitong Int'l Sec - CITIC Bank European Warrants Mar 2017 A	60,000,000	2016/08/04	2017/03/31	15.12
11908	Haitong Int'l Sec - Galaxy Ent European Warrants Mar 2017 A	50,000,000	2016/08/04	2017/03/30	12.85
11909	Haitong Int'l Sec - Galaxy Ent European Put Warrants Jan 17A	70,000,000	2016/08/04	2017/01/23	10.50
11916	Haitong Int'l Sec - MTRC European Put Warrants Jun 2017 A	40,000,000	2016/08/04	2017/06/30	10.20
11914	Haitong Int'l Sec - Sands China European Warrants Apr 2017 A	40,000,000	2016/08/04	2017/04/28	10.00
11915	Haitong Int'l Sec - Sh Electric European Warrants Mar 2017 A	22,000,000	2016/08/04	2017/03/31	10.96
11910	Haitong Int'l Sec - Tencent European Warrants Sep 2017 B	60,000,000	2016/08/04	2017/09/29	15.00
11930	Macquarie Bank Ltd. - Belle Int'l European Wts Jul 2019 A	40,000,000	2016/08/04	2019/07/03	10.00
11922	Macquarie Bank Ltd. - China Overseas European Wts Aug 2017 A	40,000,000	2016/08/04	2017/08/02	10.12
11931	Macquarie Bank Ltd. - Chow Tai Fook European Wts Feb 2019 A	40,000,000	2016/08/04	2019/02/04	10.00
11920	Macquarie Bank Ltd. - Tencent European Warrants Mar 2017 C	11,000,000	2016/08/04	2017/03/02	10.01
11921	Macquarie Bank Ltd. - Zijin Mining European Wts Jun 2017 A	40,000,000	2016/08/04	2017/06/02	10.00
11923	SG Issuer - Dongfeng European Warrants Dec 2017 A	70,000,000	2016/08/04	2017/12/05	17.50
11924	SG Issuer - HSBC European Put Warrants Feb 2017 C	100,000,000	2016/08/04	2017/02/03	28.00
11919	SG Issuer - SHKP European Put Warrants May 2017 A	200,000,000	2016/08/04	2017/05/02	30.00
27465 #	J P Morgan SP BV - HSI European Put Warrants Oct 2016 F	300,000,000	2016/08/04	2016/10/28	20.40
11940	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Mar 2017 A	50,000,000	2016/08/05	2017/03/02	16.00

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11945	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Apr 2017 B	50,000,000	2016/08/05	2017/04/05	14.50
11944	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Mar 2017 A	50,000,000	2016/08/05	2017/03/02	16.00
11949	Credit Suisse AG - CHALCO European Wts Feb 2017 A	40,000,000	2016/08/05	2017/02/06	10.00
11947	Credit Suisse AG - China EB Ltd European Warrants Jun 2017 A	40,000,000	2016/08/05	2017/06/30	10.00
11948	Credit Suisse AG - Minsheng Bank European Wts Dec 2016 C	30,000,000	2016/08/05	2016/12/30	15.60
11946	Credit Suisse AG - Ch Overseas European Warrants Jan 2017 A	70,000,000	2016/08/05	2017/01/05	10.50
11950	Credit Suisse AG - CSOP A50 ETF European Warrants Feb 2017 A	40,000,000	2016/08/05	2017/02/06	10.00
11933	HK Bank - HSI European Warrants Jan 2017 D	180,000,000	2016/08/05	2017/01/26	27.00
11932	HK Bank - HSI European Warrants Mar 2017 B	180,000,000	2016/08/05	2017/03/30	27.00
11935	HK Bank - HSI European Put Warrants Mar 2017 C	180,000,000	2016/08/05	2017/03/30	27.00
11952	J P Morgan SP BV - CAM CSI300 European Warrants Jan 2017 A	100,000,000	2016/08/05	2017/01/13	15.20
11954	J P Morgan SP BV - Tracker Fund European Put Warrants Nov17A	100,000,000	2016/08/05	2017/11/30	25.10
11937	Macquarie Bank Ltd. - BBMG European Warrants Sep 2017 A	15,000,000	2016/08/05	2017/09/15	10.01
11936	Macquarie Bank Ltd. - Greatwall Motor European Wts Mar 2017 A	50,000,000	2016/08/05	2017/03/02	10.00
11939	SG Issuer - Tracker Fund European Put Warrants Jun 2017 A	100,000,000	2016/08/05	2017/06/05	25.00
10411	UBS AG - Nikkei 225 European Warrants Mar 2017 A	100,000,000	2016/08/05	2017/03/10	15.60
10413	UBS AG - Nikkei 225 European Warrants Mar 2017 B	100,000,000	2016/08/05	2017/03/10	25.00
10412	UBS AG - Nikkei 225 European Warrants Jun 2017 A	100,000,000	2016/08/05	2017/06/09	25.30
10414	UBS AG - Nikkei 225 European Put Warrants Mar 2017 C	100,000,000	2016/08/05	2017/03/10	15.00
10415	UBS AG - Nikkei 225 European Put Warrants Mar 2017 D	100,000,000	2016/08/05	2017/03/10	25.00
11972	Goldman Sachs SP (Asia) - Geely Auto European Wts Feb 2017 B	30,000,000	2016/08/08	2017/02/27	12.84
11974	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Aug 2017 A	70,000,000	2016/08/08	2017/08/28	10.50
11964	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2017 D	200,000,000	2016/08/08	2017/01/26	30.00
11973	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2016 H	70,000,000	2016/08/08	2016/12/28	10.50
11971	Goldman Sachs SP (Asia) - China Vanke European Wts Apr 2017A	50,000,000	2016/08/08	2017/04/28	12.50
11962	HK Bank - CC Bank European Warrants Jul 2017 A	50,000,000	2016/08/08	2017/07/31	12.50
11960	HK Bank - China Life European Warrants Jul 2017 A	100,000,000	2016/08/08	2017/07/28	15.00
11956	HK Bank - Haitong Sec European Warrants Feb 2017 A	80,000,000	2016/08/08	2017/02/28	12.00
11984	J P Morgan SP BV - Ch Molybdenum European Warrants Mar 2017A	60,000,000	2016/08/08	2017/03/02	17.34
11978	J P Morgan SP BV - HSI European Warrants Jan 2017 G	300,000,000	2016/08/08	2017/01/26	58.80
11976	J P Morgan SP BV - HSI European Warrants Feb 2017 E	300,000,000	2016/08/08	2017/02/27	75.00
11977	J P Morgan SP BV - HSI European Put Warrants Jan 2017 F	300,000,000	2016/08/08	2017/01/26	45.00
11983	J P Morgan SP BV - China Vanke European Warrants Mar 2017 A	80,000,000	2016/08/08	2017/03/02	20.16
11982	J P Morgan SP BV - Zhaojin Mining European Wts Jun 2017 A	60,000,000	2016/08/08	2017/06/30	15.12
11955	Macquarie Bank Ltd. - CHALCO European Warrants Mar 2017 A	28,000,000	2016/08/08	2017/03/02	10.05
11965	Macquarie Bank Ltd. - Tencent European Put Wts Mar 2017 D	10,000,000	2016/08/08	2017/03/02	14.69
11969	SG Issuer - CKH European Warrants Mar 2017 A	30,000,000	2016/08/08	2017/03/03	10.05
11966	SG Issuer - CKP European Warrants Feb 2017 A	50,000,000	2016/08/08	2017/02/03	20.25
11967	SG Issuer - China Overseas European Warrants Apr 2017 A	60,000,000	2016/08/08	2017/04/06	10.20
11970	SG Issuer - Galaxy Ent European Warrants Mar 2017 A	80,000,000	2016/08/08	2017/03/03	12.80
11968	SG Issuer - China Vanke European Warrants Apr 2017 A	50,000,000	2016/08/08	2017/04/06	10.00
12212	Bank of East Asia - CNOOC European Put Warrants Dec 2017 A	70,000,000	2016/08/10	2017/12/12	10.50
12218	Bank of East Asia - HSBC European Warrants Jul 2017 A	40,000,000	2016/08/10	2017/07/05	10.00
12174	Bank of East Asia - Zhaojin Mining European Wts Jun 2017 A	40,000,000	2016/08/10	2017/06/12	10.00

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12201	Bank of East Asia - Zhaojin Mining European Wts Dec 2017 A	35,000,000	2016/08/10	2017/12/18	10.15
11986	HK Bank - HSI European Warrants Jan 2017 E	180,000,000	2016/08/10	2017/01/26	27.00
11999	HK Bank - HSI European Put Warrants Feb 2017 B	180,000,000	2016/08/10	2017/02/27	45.00
11995	Haitong Int'l Sec - Ch Molybdenum European Warrants Feb 17A	50,000,000	2016/08/10	2017/02/27	12.70
11993	Haitong Int'l Sec - CNBM European Warrants Aug 2017 A	25,000,000	2016/08/10	2017/08/08	12.75
11991	Haitong Int'l Sec - Haier Elec European Warrants Oct 2017 A	45,000,000	2016/08/10	2017/10/30	11.25
11990	Haitong Int'l Sec - Jiangxi Copper European Warrants Nov 17A	68,000,000	2016/08/10	2017/11/24	10.40
11989	Haitong Int'l Sec - Sh Petrochem European Warrants Jun 2017A	25,000,000	2016/08/10	2017/06/30	13.80
11996	Haitong Int'l Sec - China Vanke European Warrants Feb 2017 A	50,000,000	2016/08/10	2017/02/27	12.50
11997	Haitong Int'l Sec - China Vanke European Put Warrants May17A	40,000,000	2016/08/10	2017/05/31	10.00
11992	Haitong Int'l Sec - Zhaojin Mining European Warrants Oct 17A	50,000,000	2016/08/10	2017/10/30	12.50
11998	Macquarie Bank Ltd. - BOCL European Warrants Mar 2017 A	43,000,000	2016/08/10	2017/03/02	10.02
12000	Macquarie Bank Ltd. - China Life European Wts Mar 2018 A	40,000,000	2016/08/10	2018/03/02	10.00
12104	Macquarie Bank Ltd. - Ping An European Put Warrants Jul 17A	40,000,000	2016/08/10	2017/07/04	10.00
12155	SG Issuer - Petch European Warrants Mar 2017 A	60,000,000	2016/08/10	2017/03/03	22.80
12158	SG Issuer - Tencent European Warrants Oct 2017 B	400,000,000	2016/08/10	2017/10/06	66.00
12107	UBS AG - SHK Ppt European Warrants Feb 2017 A	30,000,000	2016/08/10	2017/02/09	11.43
12140	UBS AG - SPDR Gold Trust European Put Warrants Feb 2017 A	20,000,000	2016/08/10	2017/02/09	11.72
12112	UBS AG - Stanchart European Warrants Feb 2017 A	50,000,000	2016/08/10	2017/02/09	16.25
12111	UBS AG - China Vanke European Warrants Dec 2016 A	100,000,000	2016/08/10	2016/12/23	17.40
12250	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Aug 2017 A	60,000,000	2016/08/11	2017/08/02	15.00
12248	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Mar 2017 A	40,000,000	2016/08/11	2017/03/02	16.40
12236	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Mar 2017 B	40,000,000	2016/08/11	2017/03/02	11.20
12220	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Mar 2017 A	50,000,000	2016/08/11	2017/03/02	13.50
12281	Credit Suisse AG - CNOOC European Warrants Dec 2016 B	40,000,000	2016/08/11	2016/12/05	11.20
12288	Credit Suisse AG - Sinopec Corp European Warrants Mar 2017 A	40,000,000	2016/08/11	2017/03/03	10.40
12301	Credit Suisse AG - HSBC European Wts Dec 2016 C	100,000,000	2016/08/11	2016/12/02	15.00
12269	Credit Suisse AG - HSI European Warrants Jan 2017 C	120,000,000	2016/08/11	2017/01/26	18.00
12270	Credit Suisse AG - HSI European Warrants Mar 2017 A	120,000,000	2016/08/11	2017/03/30	18.00
12260	Credit Suisse AG - HSI European Put Warrants Jan 2017 B	120,000,000	2016/08/11	2017/01/26	18.00
12302	J P Morgan SP BV - Ali Pictures European Warrants Feb 2017 A	60,000,000	2016/08/11	2017/02/10	17.70
12303	J P Morgan SP BV - HSBC European Put Warrants Jan 2017 B	100,000,000	2016/08/11	2017/01/27	16.10
12304	J P Morgan SP BV - Tencent European Warrants Sep 2017 B	200,000,000	2016/08/11	2017/09/22	30.60
12223	Macquarie Bank Ltd. - Tencent European Warrants Mar 2017 E	10,000,000	2016/08/11	2017/03/02	12.60
12300	UBS AG - CNOOC European Warrants Nov 2016 B	50,000,000	2016/08/11	2016/11/28	14.55
12328	Credit Suisse AG - HSBC European Put Warrants Feb 2017 A	40,000,000	2016/08/12	2017/02/27	10.00
12324	Goldman Sachs SP (Asia) - CITIC Sec European Wts Feb 2017 A	70,000,000	2016/08/12	2017/02/27	10.50
12317	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2017 A	70,000,000	2016/08/12	2017/01/25	10.50
12315	Goldman Sachs SP (Asia) - Kingsoft European Wts Feb 2017 A	70,000,000	2016/08/12	2017/02/23	10.50
12312	HK Bank - CNOOC European Warrants Feb 2017 A	40,000,000	2016/08/12	2017/02/28	22.00
12310	HK Bank - Tencent European Warrants Sep 2017 B	150,000,000	2016/08/12	2017/09/25	22.50
12329	J P Morgan SP BV - Link REIT European Warrants Feb 2017 A	100,000,000	2016/08/12	2017/02/17	25.10
12332	J P Morgan SP BV - New World Dev European Wts Mar 2017 A	30,000,000	2016/08/12	2017/03/02	10.95
12330	J P Morgan SP BV - SHK Ppt European Warrants Mar 2017 A	60,000,000	2016/08/12	2017/03/02	19.56

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		發行單位	上市日期	到期日	
12306	Macquarie Bank Ltd. - SHK Ppt European Warrants Mar 2017 B	28,000,000	2016/08/12	2017/03/02	10.08
12319	Macquarie Bank Ltd. - Stanchart European Warrants May 2017 A	20,000,000	2016/08/12	2017/05/04	10.00
12321	Macquarie Bank Ltd. - Sunny Optical European Warrants Jun17A	15,000,000	2016/08/12	2017/06/23	10.20
12325	SG Issuer - China Cinda European Warrants Sep 2017 A	40,000,000	2016/08/12	2017/09/05	10.00
12326	SG Issuer - China Res Land European Warrants Mar 2017 A	60,000,000	2016/08/12	2017/03/03	10.20
12313	SG Issuer - HSBC European Put Warrants Apr 2017 B	100,000,000	2016/08/12	2017/04/06	25.00
12327	SG Issuer - Sands China European Warrants May 2017 A	80,000,000	2016/08/12	2017/05/05	13.20
12305	UBS AG - HSBC European Warrants Jan 2017 A	100,000,000	2016/08/12	2017/01/25	15.00
12347	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 B	100,000,000	2016/08/15	2017/09/29	18.00
12333	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2017 B	200,000,000	2016/08/15	2017/03/30	30.00
12339	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2017 B	200,000,000	2016/08/15	2017/02/27	30.00
12338	HK Bank - China Vanke European Warrants Feb 2017 A	50,000,000	2016/08/15	2017/02/28	12.50
12349	J P Morgan SP BV - Geely Auto European Warrants Feb 2017 A	80,000,000	2016/08/15	2017/02/28	37.52
12348	J P Morgan SP BV - Lenovo European Warrants Apr 2017 A	60,000,000	2016/08/15	2017/04/03	15.60
12340	Macquarie Bank Ltd. - CLP European Warrants Aug 2017 A	30,000,000	2016/08/15	2017/08/02	10.62
12342	Macquarie Bank Ltd. - HSBC European Warrants Jun 2017 A	40,000,000	2016/08/15	2017/06/02	10.00
12341	Macquarie Bank Ltd. - HSBC European Put Warrants May 2017 A	40,000,000	2016/08/15	2017/05/04	10.00
12343	Macquarie Bank Ltd. - Kingsoft European Warrants Jun 2017 A	40,000,000	2016/08/15	2017/06/02	10.00
12334	SG Issuer - AIA European Put Warrants Mar 2017 B	100,000,000	2016/08/15	2017/03/03	28.00
12344	SG Issuer - CHALCO European Warrants Mar 2017 A	30,000,000	2016/08/15	2017/03/03	10.05
12336	SG Issuer - CMob European Put Warrants Mar 2017 B	80,000,000	2016/08/15	2017/03/03	40.00
12337	SG Issuer - HSBC European Put Warrants Feb 2017 D	100,000,000	2016/08/15	2017/02/28	38.50
12345	SG Issuer - Lenovo European Warrants Mar 2017 A	25,000,000	2016/08/15	2017/03/03	10.00
12346	SG Issuer - NewWorld Dev Eu Wt Apr 2017 A	20,000,000	2016/08/15	2017/04/06	10.00
12353	UBS AG - HSBC European Put Warrants Jan 2017 B	100,000,000	2016/08/15	2017/01/25	15.10
12354	UBS AG - HSI European Warrants Mar 2017 C	300,000,000	2016/08/15	2017/03/30	46.80
12365	UBS AG - HSI European Put Warrants Feb 2017 B	300,000,000	2016/08/15	2017/02/27	75.30
12382	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Jun17A	60,000,000	2016/08/16	2017/06/02	10.80
12381	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2016 B	50,000,000	2016/08/16	2016/12/02	12.50
12379	BNP Paribas Arbit Issu B.V. - CKP European Wts Apr 2017 A	40,000,000	2016/08/16	2017/04/05	15.20
12376	BNP Paribas Arbit Issu B.V. - HSBC European Wts Mar 2017 B	50,000,000	2016/08/16	2017/03/02	12.50
12387	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Apr 2017 A	40,000,000	2016/08/16	2017/04/05	10.00
12388	BNP Paribas Arbit Issu B.V. - Zijin Mining Eu Wts Mar 2017 A	40,000,000	2016/08/16	2017/03/02	12.80
12408	Credit Suisse AG - Anta Sports European Warrants Feb 2017 B	40,000,000	2016/08/16	2017/02/16	10.00
12409	Credit Suisse AG - CM Bank European Warrants Jun 2017 A	70,000,000	2016/08/16	2017/06/02	10.50
12407	Credit Suisse AG - Chi Res Land European Warrants Mar 2017 A	40,000,000	2016/08/16	2017/03/03	10.40
12405	Credit Suisse AG - Lenovo European Warrants Mar 2017 A	30,000,000	2016/08/16	2017/03/03	11.40
12410	Credit Suisse AG - Ping An European Warrants Jan 2017 B	80,000,000	2016/08/16	2017/01/05	12.00
12369	Haitong Int'l Sec - Anhui Conch European Warrants Jan 2017 A	68,000,000	2016/08/16	2017/01/27	10.34
12371	Haitong Int'l Sec - CGN Power European Warrants Mar 2017 A	38,000,000	2016/08/16	2017/03/30	10.83
12370	Haitong Int'l Sec - China Mobile European Put Wts Feb 2017 A	80,000,000	2016/08/16	2017/02/27	20.00
12372	Haitong Int'l Sec - Evergrande European Warrants Dec 2017 A	68,000,000	2016/08/16	2017/12/27	10.20
12368	Haitong Int'l Sec - Shimao Property European Warrants Jul17A	68,000,000	2016/08/16	2017/07/28	10.20
12366	Haitong Int'l Sec - Tencent European Warrants Sep 2017 C	80,000,000	2016/08/16	2017/09/22	12.56

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12373	Haitong Int'l Sec - Want Want China European Warrants Jun17A	25,000,000	2016/08/16	2017/06/28	10.55
12416	J P Morgan SP BV - Guotai Junani European Warrants Feb 2017A	60,000,000	2016/08/16	2017/02/27	25.44
12374	J P Morgan SP BV - HSBC European Warrants Jul 2017 A	100,000,000	2016/08/16	2017/07/04	15.30
12418	J P Morgan SP BV - Huaneng Power European Wts Mar 2017 A	60,000,000	2016/08/16	2017/03/02	20.28
12413	J P Morgan SP BV - Kingdee Intl European Warrants Mar 2017 A	40,000,000	2016/08/16	2017/03/02	11.36
12393	Macquarie Bank Ltd. - Huaneng Power European Wts Mar 2017 A	20,000,000	2016/08/16	2017/03/02	10.10
12397	Macquarie Bank Ltd. - PCCW European Warrants Apr 2017 A	40,000,000	2016/08/16	2017/04/05	10.00
12392	Macquarie Bank Ltd. - PICC Group European Wts Jun 2017 A	30,000,000	2016/08/16	2017/06/02	10.02
12398	SG Issuer - CGS European Warrants Aug 2018 A	100,000,000	2016/08/16	2018/08/03	17.00
12390	SG Issuer - HSBC European Warrants Apr 2017 C	100,000,000	2016/08/16	2017/04/06	25.00
12400	SG Issuer - HSI European Warrants Nov 2016 B	150,000,000	2016/08/16	2016/11/29	23.25
12403	SG Issuer - HSI European Warrants Jan 2017 E	150,000,000	2016/08/16	2017/01/26	24.75
12404	SG Issuer - HSI European Warrants Mar 2017 C	150,000,000	2016/08/16	2017/03/30	22.50
12391	SG Issuer - ICBC European Put Wt Mar 2017 A	80,000,000	2016/08/16	2017/03/03	20.00
12412	SG Issuer - PICC European Warrants Mar 2017 A	30,000,000	2016/08/16	2017/03/03	10.05
12420	UBS AG - Agricultural Bank European Warrants Feb 2017 A	70,000,000	2016/08/16	2017/02/24	13.37
12422	UBS AG - Ping An European Warrants Dec 2016 C	100,000,000	2016/08/16	2016/12/05	15.00
12423	BOCI Asia Ltd. - HSBC European Warrants Feb 2017 A	200,000,000	2016/08/17	2017/02/03	30.00
12424	BOCI Asia Ltd. - HSBC European Put Warrants Feb 2017 B	200,000,000	2016/08/17	2017/02/03	30.00
12426	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Jun 2017 A	40,000,000	2016/08/17	2017/06/02	10.00
12425	BNP Paribas Arbit Issu B.V. - ICBC European Wts Dec 2017 A	50,000,000	2016/08/17	2017/12/04	16.00
12440	Credit Suisse AG - Kingsoft European Warrants Mar 2017 A	50,000,000	2016/08/17	2017/03/02	10.00
12439	Credit Suisse AG - Sands China European Put Wts Mar 2017 A	40,000,000	2016/08/17	2017/03/03	10.40
12431	HK Bank - China Mobile European Warrants Feb 2017 A	60,000,000	2016/08/17	2017/02/27	15.00
12430	HK Bank - Tencent European Warrants Nov 2016 C	40,000,000	2016/08/17	2016/11/28	12.00
12443	J P Morgan SP BV - BOCL European Warrants Mar 2017 A	100,000,000	2016/08/17	2017/03/29	15.60
12441	J P Morgan SP BV - CC Bank European Wts Apr 2017 A	100,000,000	2016/08/17	2017/04/03	25.30
12442	J P Morgan SP BV - ICBC European Warrants Mar 2017 A	100,000,000	2016/08/17	2017/03/02	25.00
12433	SG Issuer - CITIC Bank European Warrants May 2017 A	28,000,000	2016/08/17	2017/05/05	10.08
12435	SG Issuer - Minsheng Bank European Warrants Mar 2017 A	16,000,000	2016/08/17	2017/03/03	10.08
12437	SG Issuer - China Tel European Warrants Mar 2017 A	35,000,000	2016/08/17	2017/03/03	10.15
12427	SG Issuer - Geely Auto European Warrants Mar 2017 A	18,000,000	2016/08/17	2017/03/03	10.08
12428	SG Issuer - Sands China European Put Warrants Mar 2017 A	80,000,000	2016/08/17	2017/03/03	21.60
12468	BNP Paribas Arbit Issu B.V. - HKEX European Wts Dec 2017 B	60,000,000	2016/08/18	2017/12/04	15.00
12464	Credit Suisse AG - BOCL European Warrants Apr 2017 A	70,000,000	2016/08/18	2017/04/03	10.50
12463	Credit Suisse AG - ICBC European Warrants Mar 2017 A	40,000,000	2016/08/18	2017/03/03	10.00
12470	Credit Suisse AG - China Mengniu European Wts Jun 2017 A	40,000,000	2016/08/18	2017/06/05	10.00
12472	Credit Suisse AG - Sinopharm European Warrants Mar 2017 A	25,000,000	2016/08/18	2017/03/03	10.25
12476	Credit Suisse AG - Xinyi Solar European Warrants Feb 2017 A	30,000,000	2016/08/18	2017/02/17	11.10
12477	Credit Suisse AG - Yuexiu Property European Wts Sep 2017 A	40,000,000	2016/08/18	2017/09/29	10.00
12452	HK Bank - HKEX European Warrants Nov 2016 C	60,000,000	2016/08/18	2016/11/30	10.80
12448	HK Bank - HSCEI European Warrants Nov 2016 A	150,000,000	2016/08/18	2016/11/29	22.50
12449	HK Bank - HSCEI European Warrants Jul 2017 A	150,000,000	2016/08/18	2017/07/28	37.50
12445	HK Bank - HSI European Warrants Feb 2017 C	150,000,000	2016/08/18	2017/02/27	37.50

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12447	HK Bank - HSI European Put Warrants Feb 2017 D	150,000,000	2016/08/18	2017/02/27	24.00
12469	J P Morgan SP BV - Haitong Sec European Warrants Aug 2017 A	100,000,000	2016/08/18	2017/08/28	19.60
12451	Macquarie Bank Ltd. - Minsheng Bank European Wts Mar 2017 A	30,000,000	2016/08/18	2017/03/02	10.11
12444	Macquarie Bank Ltd. - Wharf European Warrants Jun 2017 A	26,000,000	2016/08/18	2017/06/02	10.11
12459	SG Issuer - HKEX European Put Warrants Mar 2017 D	200,000,000	2016/08/18	2017/03/03	50.00
12457	SG Issuer - HSI European Put Warrants Feb 2017 B	150,000,000	2016/08/18	2017/02/27	22.50
12458	SG Issuer - HSI European Put Warrants Feb 2017 C	150,000,000	2016/08/18	2017/02/27	24.00
12455	SG Issuer - HSI European Put Warrants Mar 2017 D	150,000,000	2016/08/18	2017/03/30	22.50
12460	SG Issuer - Ping An European Put Warrants Mar 2017 C	120,000,000	2016/08/18	2017/03/03	30.00
12482	BOCI Asia Ltd. - HSI European Warrants Jan 2017 B	100,000,000	2016/08/19	2017/01/26	18.50
12483	BOCI Asia Ltd. - HSI European Put Warrants Feb 2017 A	100,000,000	2016/08/19	2017/02/27	15.00
12514	Credit Suisse AG - AIA European Warrants Feb 2017 A	70,000,000	2016/08/19	2017/02/03	10.50
12506	Credit Suisse AG - China Life European Put Wts Jan 2017 A	70,000,000	2016/08/19	2017/01/05	10.50
12509	Credit Suisse AG - China Mobile European Warrants Mar 2017 B	60,000,000	2016/08/19	2017/03/03	18.00
12504	Credit Suisse AG - HSBC European Wts May 2017 A	100,000,000	2016/08/19	2017/05/04	25.00
12479	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2017 C	80,000,000	2016/08/19	2017/01/23	14.16
12478	Goldman Sachs SP (Asia) - China Unicom Euro Wts Apr 2017 A	50,000,000	2016/08/19	2017/04/21	15.45
12481	Goldman Sachs SP (Asia) - HSBC European Put Wts Feb 2017 A	80,000,000	2016/08/19	2017/02/20	20.00
12515	Goldman Sachs SP (Asia) - HSI European Put Wts Feb 2017 C	200,000,000	2016/08/19	2017/02/27	30.00
12480	Goldman Sachs SP (Asia) - Ping An European Wts Dec 2016 E	80,000,000	2016/08/19	2016/12/21	12.00
12516	J P Morgan SP BV - China Mob European Wts Jan 2017 C	100,000,000	2016/08/19	2017/01/26	19.90
12517	J P Morgan SP BV - Tencent European Warrants Jan 2017 C	100,000,000	2016/08/19	2017/01/27	27.10
12490	Macquarie Bank Ltd. - HKEX European Warrants Mar 2017 D	12,000,000	2016/08/19	2017/03/02	10.01
12487	Macquarie Bank Ltd. - HKEX European Put Warrants Mar 2017 C	15,000,000	2016/08/19	2017/03/02	10.58
12486	Macquarie Bank Ltd. - JNCEC European Warrants Jun 2017 A	20,000,000	2016/08/19	2017/06/16	10.00
12495	SG Issuer - AGBK European Warrants Jun 2017 B	100,000,000	2016/08/19	2017/06/05	19.00
12496	SG Issuer - BOCL European Warrants Apr 2017 A	100,000,000	2016/08/19	2017/04/06	17.50
12497	SG Issuer - BOCm European Warrants Mar 2017 A	23,000,000	2016/08/19	2017/03/03	10.01
12499	SG Issuer - CMB European Warrants Jun 2017 B	100,000,000	2016/08/19	2017/06/05	17.00
12501	SG Issuer - Ch Mob European Warrants Jan 2017 D	80,000,000	2016/08/19	2017/01/05	27.60
12500	SG Issuer - HSBC European Warrants Jul 2017 A	100,000,000	2016/08/19	2017/07/05	15.00
12484	SG Issuer - HSI European Put Warrants Mar 2017 E	150,000,000	2016/08/19	2017/03/30	37.50
14958 #	J P Morgan SP BV - China Unicom European Warrants Dec 2016 A	320,000,000	2016/08/19	2016/12/12	10.56
12570	BNP Paribas Arbit Issu B.V. - AIA European Wts May 2017 A	50,000,000	2016/08/22	2017/05/04	10.00
12546	BNP Paribas Arbit Issu B.V. - CAM CSI300 Euro Wts Aug 2017 B	50,000,000	2016/08/22	2017/08/02	12.50
12567	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Feb 2018 A	50,000,000	2016/08/22	2018/02/02	12.50
12573	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Feb 2017 A	50,000,000	2016/08/22	2017/02/03	10.00
12545	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Apr 2017 A	50,000,000	2016/08/22	2017/04/05	10.00
12544	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts May 2017 A	40,000,000	2016/08/22	2017/05/04	10.40
12543	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts May 2017 A	40,000,000	2016/08/22	2017/05/04	10.00
12540	BNP Paribas Arbit Issu B.V. - HSI European Wts Apr 2017 A	100,000,000	2016/08/22	2017/04/27	25.00
12542	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Apr 2017 B	100,000,000	2016/08/22	2017/04/27	25.00
12569	BNP Paribas Arbit Issu B.V. - ICBC European Wts Mar 2018 A	50,000,000	2016/08/22	2018/03/02	13.00
12566	BNP Paribas Arbit Issu B.V. - Ping An European Wt Apr 2017 A	60,000,000	2016/08/22	2017/04/05	12.00

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12571	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Aug 2018 A	100,000,000	2016/08/22	2018/08/02	25.00
12551	Credit Suisse AG - AAC Acoustic European Warrants Dec 2017 A	70,000,000	2016/08/22	2017/12/04	17.50
12558	Credit Suisse AG - CSOP A50 ETF European Warrants Mar 2017 A	40,000,000	2016/08/22	2017/03/03	10.00
12553	Credit Suisse AG - HKEX European Warrants Dec 2016 F	60,000,000	2016/08/22	2016/12/02	17.40
12555	Credit Suisse AG - HKEX European Warrants Mar 2017 B	60,000,000	2016/08/22	2017/03/03	15.00
12556	Credit Suisse AG - HKEX European Put Warrants Jun 2017 C	80,000,000	2016/08/22	2017/06/02	12.00
12549	Credit Suisse AG - HSI European Put Warrants Feb 2017 A	120,000,000	2016/08/22	2017/02/27	18.00
12557	Credit Suisse AG - Ping An European Put Warrants Mar 2017 A	40,000,000	2016/08/22	2017/03/03	10.00
12531	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2017 B	200,000,000	2016/08/22	2017/09/22	30.00
12591	J P Morgan SP BV - AIA European Put Warrants Feb 2017 A	100,000,000	2016/08/22	2017/02/06	18.00
12590	J P Morgan SP BV - BOCL European Put Wts May 2017 B	80,000,000	2016/08/22	2017/05/04	20.16
12575	J P Morgan SP BV - CC Bank Euro Put Wts Mar 2017 A	80,000,000	2016/08/22	2017/03/31	20.08
12583	J P Morgan SP BV - HKEX European Put Wts May 2017 C	200,000,000	2016/08/22	2017/05/31	30.40
12518	J P Morgan SP BV - HSI European Put Warrants Feb 2017 F	300,000,000	2016/08/22	2017/02/27	48.90
12579	J P Morgan SP BV - ICBC European Put Wts Apr 2017 A	80,000,000	2016/08/22	2017/04/03	20.40
12588	J P Morgan SP BV - Ping An European Warrants Dec 2016 D	100,000,000	2016/08/22	2016/12/02	15.40
12581	J P Morgan SP BV - Ping An European Put Warrants Mar 2017 A	100,000,000	2016/08/22	2017/03/02	25.00
12527	Macquarie Bank Ltd. - Foxconn European Warrants Jun 2017 A	38,500,000	2016/08/22	2017/06/02	10.01
12530	Macquarie Bank Ltd. - HKEX European Warrants Jan 2017 B	55,000,000	2016/08/22	2017/01/04	10.07
12529	Macquarie Bank Ltd. - NCI European Warrants May 2017 A	30,000,000	2016/08/22	2017/05/04	10.20
12548	Macquarie Bank Ltd. - PetroChina European Wts Apr 2017 A	40,000,000	2016/08/22	2017/04/05	10.00
12533	SG Issuer - CCB European Warrants Apr 2017 A	80,000,000	2016/08/22	2017/04/06	20.00
12532	SG Issuer - CKI Holdings European Warrants Jul 2017 A	22,000,000	2016/08/22	2017/07/05	10.01
12534	SG Issuer - China Life European Warrants Mar 2017 B	24,000,000	2016/08/22	2017/03/03	10.20
12539	SG Issuer - CP&CC European Warrants Mar 2017 B	60,000,000	2016/08/22	2017/03/03	12.00
12519	SG Issuer - CSOP A50 ETF European Warrants Mar 2017 A	40,000,000	2016/08/22	2017/03/03	10.00
12521	SG Issuer - A50 China European Warrants Mar 2017 A	40,000,000	2016/08/22	2017/03/03	10.00
12520	SG Issuer - HS H-SHARE European Warrants Mar 2017 A	50,000,000	2016/08/22	2017/03/03	12.50
12535	SG Issuer - ICBC European Warrants Mar 2017 B	80,000,000	2016/08/22	2017/03/03	20.00
12538	SG Issuer - Ping An European Warrants May 2017 A	120,000,000	2016/08/22	2017/05/05	30.00
12563	UBS AG - CAM CSI300 European Warrants Apr 2017 A	50,000,000	2016/08/22	2017/04/06	12.50
12562	UBS AG - BOCL European Warrants May 2017 A	70,000,000	2016/08/22	2017/05/24	17.64
12559	UBS AG - CC Bank European Warrants Feb 2017 A	70,000,000	2016/08/22	2017/02/21	17.71
12561	UBS AG - A50 China European Warrants Feb 2017 B	40,000,000	2016/08/22	2017/02/21	12.80
12565	UBS AG - HSCEI European Warrants Sep 2017 A	100,000,000	2016/08/22	2017/09/28	25.00
12560	UBS AG - ICBC European Warrants Mar 2017 A	70,000,000	2016/08/22	2017/03/02	17.50
12611	BOCI Asia Ltd. - CC Bank European Warrants Apr 2017 A	100,000,000	2016/08/23	2017/04/03	25.00
12613	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Apr 2017 A	100,000,000	2016/08/23	2017/04/03	22.80
12614	BOCI Asia Ltd. - A50 China European Warrants Mar 2017 B	100,000,000	2016/08/23	2017/03/06	24.20
12612	BOCI Asia Ltd. - HKEX European Warrants Jun 2017 B	200,000,000	2016/08/23	2017/06/12	155.80
12627	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2017 A	100,000,000	2016/08/23	2017/08/30	25.00
12630	Credit Suisse AG - BOC HK European Warrants Apr 2017 A	70,000,000	2016/08/23	2017/04/03	10.50
12632	Credit Suisse AG - Bank of China European Put Wts Mar 2017 A	40,000,000	2016/08/23	2017/03/03	10.00
12625	Credit Suisse AG - China Life European Warrants Dec 2016 B	80,000,000	2016/08/23	2016/12/30	12.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
12658	Credit Suisse AG - PICC European Warrants Feb 2017 A	70,000,000	2016/08/23	2017/02/02	10.50
12628	Credit Suisse AG - Tencent Holdings European Wts Jan 2017 B	50,000,000	2016/08/23	2017/01/27	30.00
12652	Goldman Sachs SP (Asia) - China Life Euro Wts Apr 2017 A	80,000,000	2016/08/23	2017/04/24	12.00
12620	HK Bank - CAM CSI300 European Warrants Feb 2017 A	80,000,000	2016/08/23	2017/02/28	20.00
12619	HK Bank - BOCL European Warrants Jul 2017 A	80,000,000	2016/08/23	2017/07/31	20.00
12617	HK Bank - CC Bank European Warrants Feb 2017 A	80,000,000	2016/08/23	2017/02/28	20.00
12618	HK Bank - ICBC European Warrants Feb 2017 A	80,000,000	2016/08/23	2017/02/28	20.00
12616	HK Bank - Sands China European Put Warrants Feb 2017 A	50,000,000	2016/08/23	2017/02/28	12.50
12602	Haitong Int'l Sec - AIA European Warrants Jan 2017 A	68,000,000	2016/08/23	2017/01/27	10.20
12595	Haitong Int'l Sec - Geely Auto European Warrants Feb 2017 A	25,000,000	2016/08/23	2017/02/24	11.00
12607	Haitong Int'l Sec - Mengniu Dairy European Wts Jun 2017 A	40,000,000	2016/08/23	2017/06/02	10.00
12601	Haitong Int'l Sec - Shenzhen Inv European Warrants Apr 2017A	25,000,000	2016/08/23	2017/04/28	12.28
12593	Haitong Int'l Sec - Yuexiu Ppt European Warrants Sep 2017 A	40,000,000	2016/08/23	2017/09/29	10.00
12660	J P Morgan SP BV - NCI European Warrants Mar 2017 A	60,000,000	2016/08/23	2017/03/01	15.12
12659	J P Morgan SP BV - PICC Group European Warrants Mar 2017 A	60,000,000	2016/08/23	2017/03/01	15.12
12664	J P Morgan SP BV - Sunny Optical European Warrants May 2017A	60,000,000	2016/08/23	2017/05/02	24.42
12663	J P Morgan SP BV - Tencent European Put Warrants Jan 2017 D	200,000,000	2016/08/23	2017/01/27	32.00
12624	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Aug 2017 A	40,000,000	2016/08/23	2017/08/02	10.08
12634	Macquarie Bank Ltd. - BOCL European Warrants May 2017 A	39,000,000	2016/08/23	2017/05/04	10.26
12615	Macquarie Bank Ltd. - CC Bank European Warrants Apr 2017 A	39,000,000	2016/08/23	2017/04/05	10.06
12635	Macquarie Bank Ltd. - CM Bank European Warrants Apr 2018 A	40,000,000	2016/08/23	2018/04/04	10.00
12622	Macquarie Bank Ltd. - Li Ning European Warrants Apr 2017 A	21,000,000	2016/08/23	2017/04/18	10.02
12621	Macquarie Bank Ltd. - PetroChina European Wts Apr 2017 B	40,000,000	2016/08/23	2017/04/05	10.00
12623	Macquarie Bank Ltd. - Sands China European Put Wts Apr 2017 B	40,000,000	2016/08/23	2017/04/05	19.60
12638	SG Issuer - CAM CSI300 European Warrants Mar 2017 A	50,000,000	2016/08/23	2017/03/03	12.50
12642	SG Issuer - CNOOC European Warrants Mar 2017 A	32,000,000	2016/08/23	2017/03/03	10.08
12644	SG Issuer - HKEX European Warrants Mar 2017 E	22,000,000	2016/08/23	2017/03/03	10.01
12643	SG Issuer - HKEX European Warrants Mar 2018 A	200,000,000	2016/08/23	2018/03/05	50.00
12645	SG Issuer - HKEX European Put Warrants Jun 2017 C	200,000,000	2016/08/23	2017/06/05	30.00
12646	SG Issuer - Petch European Warrants Mar 2017 B	60,000,000	2016/08/23	2017/03/03	15.00
12651	SG Issuer - Tencent European Warrants Feb 2017 D	35,000,000	2016/08/23	2017/02/03	15.05
12649	SG Issuer - Tencent European Warrants Mar 2017 C	35,000,000	2016/08/23	2017/03/03	26.60
12648	SG Issuer - Tencent European Warrants Mar 2018 A	400,000,000	2016/08/23	2018/03/05	100.00
12665	UBS AG - BYD European Warrants Feb 2017 B	30,000,000	2016/08/23	2017/02/22	10.92
12671	UBS AG - CITIC Sec European Warrants Sep 2017 A	60,000,000	2016/08/23	2017/09/25	15.00
12656	UBS AG - Ping An European Warrants Apr 2017 A	100,000,000	2016/08/23	2017/04/27	25.00
12657	UBS AG - Ping An European Put Warrants Feb 2017 A	70,000,000	2016/08/23	2017/02/22	20.02
12654	UBS AG - Sands China European Put Warrants Jan 2017 A	70,000,000	2016/08/23	2017/01/24	10.92
12653	UBS AG - Tencent Holdings European Warrants Feb 2017 B	50,000,000	2016/08/23	2017/02/22	43.90
12669	UBS AG - China Vanke European Warrants Apr 2017 A	50,000,000	2016/08/23	2017/04/03	12.50
12719	Credit Suisse AG - CKH European Put Warrants Mar 2017 A	40,000,000	2016/08/24	2017/03/03	10.00
12693	HK Bank - CM Bank European Warrants May 2017 A	80,000,000	2016/08/24	2017/05/29	12.00
12691	HK Bank - CRCC European Warrants Jun 2017 A	80,000,000	2016/08/24	2017/06/29	12.00
12699	HK Bank - PICC European Warrants Feb 2017 A	80,000,000	2016/08/24	2017/02/03	12.00

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12673	Haitong Int'l Sec - Air China European Warrants Nov 2017 A	68,000,000	2016/08/24	2017/11/27	10.20
12689	Haitong Int'l Sec - Ch Oilfield European Warrants Sep 2018 A	40,000,000	2016/08/24	2018/09/28	10.00
12678	Haitong Int'l Sec - Ch Southern Air European Warrants Dec18A	40,000,000	2016/08/24	2018/12/18	10.00
12684	Haitong Int'l Sec - CSCL European Warrants Aug 2017 A	32,000,000	2016/08/24	2017/08/08	10.11
12683	Haitong Int'l Sec - GWMC European Warrants Sep 2017 A	68,000,000	2016/08/24	2017/09/04	10.20
12681	Haitong Int'l Sec - MCC European Warrants Aug 2017 A	30,000,000	2016/08/24	2017/08/08	10.65
12720	J P Morgan SP BV - HKEX European Warrants Dec 2016 E	100,000,000	2016/08/24	2016/12/02	31.20
12705	J P Morgan SP BV - HSI European Warrants May 2017 B	300,000,000	2016/08/24	2017/05/29	75.00
12700	Macquarie Bank Ltd. - China Life European Wts Apr 2017 A	16,000,000	2016/08/24	2017/04/05	10.00
12702	Macquarie Bank Ltd. - China Res Gas European Wts Apr 2017 A	33,000,000	2016/08/24	2017/04/12	10.26
12701	Macquarie Bank Ltd. - China Mengniu European Wts Mar 2018 A	40,000,000	2016/08/24	2018/03/02	10.00
12706	SG Issuer - BYD European Warrants Apr 2017 A	20,000,000	2016/08/24	2017/04/06	10.20
12698	SG Issuer - HKEX European Warrants Dec 2017 A	200,000,000	2016/08/24	2017/12/05	50.00
12707	SG Issuer - HSCEI European Warrants Apr 2017 A	150,000,000	2016/08/24	2017/04/27	37.50
12711	SG Issuer - HSI European Warrants Jul 2017 A	150,000,000	2016/08/24	2017/07/28	37.50
12712	SG Issuer - MTRC European Warrants May 2017 A	32,000,000	2016/08/24	2017/05/05	10.08
12713	SG Issuer - PCCW European Warrants Mar 2017 A	26,000,000	2016/08/24	2017/03/03	10.01
12714	SG Issuer - SHK P European Warrants May 2017 B	30,000,000	2016/08/24	2017/05/05	12.30
12697	SG Issuer - China Vanke European Warrants Jun 2017 A	50,000,000	2016/08/24	2017/06/05	14.25
12715	SG Issuer - Wharf European Warrants Mar 2017 A	25,000,000	2016/08/24	2017/03/03	10.00
12718	UBS AG - CKH European Warrants Feb 2017 A	50,000,000	2016/08/24	2017/02/23	16.50
27682 #	Credit Suisse AG - HKEX European Warrants Nov 2016 E	360,000,000	2016/08/24	2016/11/29	10.08
12731	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Nov 2018 A	60,000,000	2016/08/25	2018/11/02	15.00
12730	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jun 2017 A	100,000,000	2016/08/25	2017/06/29	26.00
12749	Credit Suisse AG - Tencent Holdings European Wts Jun 2017 B	100,000,000	2016/08/25	2017/06/02	25.00
12750	Credit Suisse AG - Tencent Holdings Euro Put Wts Feb 2017 C	90,000,000	2016/08/25	2017/02/03	13.50
12734	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2016 I	50,000,000	2016/08/25	2016/12/28	19.65
12727	HK Bank - Minsheng Bank European Warrants Feb 2017 A	50,000,000	2016/08/25	2017/02/28	20.00
12728	HK Bank - HSCEI European Put Warrants Mar 2017 B	180,000,000	2016/08/25	2017/03/30	45.00
12725	HK Bank - HSI European Put Warrants Feb 2017 E	180,000,000	2016/08/25	2017/02/27	32.40
12738	HK Bank - Tencent European Warrants Dec 2016 E	40,000,000	2016/08/25	2016/12/30	22.40
12729	HK Bank - Tencent European Put Warrants Jan 2017 C	100,000,000	2016/08/25	2017/01/27	18.00
12724	Haitong Int'l Sec - GAC European Warrants Aug 2017 A	40,000,000	2016/08/25	2017/08/08	10.00
12723	Haitong Int'l Sec - PetroChina European Warrants Mar 2017 B	50,000,000	2016/08/25	2017/03/31	12.50
12733	Haitong Int'l Sec - Ping An European Warrants Apr 2017 A	40,000,000	2016/08/25	2017/04/28	10.00
12721	Haitong Int'l Sec - Tsingtao Brew European Warrants Aug 17 A	24,000,000	2016/08/25	2017/08/08	10.39
12756	J P Morgan SP BV - CKH European Warrants Feb 2017 A	100,000,000	2016/08/25	2017/02/01	31.10
12737	J P Morgan SP BV - China Life European Put Wts Apr 2017 B	100,000,000	2016/08/25	2017/04/28	25.00
12757	J P Morgan SP BV - Ch Overseas European Put Wts May 2017 A	60,000,000	2016/08/25	2017/05/05	15.06
12758	J P Morgan SP BV - HSI European Warrants Feb 2017 G	300,000,000	2016/08/25	2017/02/27	56.10
12748	J P Morgan SP BV - HSI European Warrants Jun 2017 A	300,000,000	2016/08/25	2017/06/29	75.00
12763	J P Morgan SP BV - Kingsoft European Warrants May 2017 A	60,000,000	2016/08/25	2017/05/29	13.32
12759	J P Morgan SP BV - PCCW European Warrants Mar 2017 A	30,000,000	2016/08/25	2017/03/31	10.71
12754	J P Morgan SP BV - Ping An European Warrants Apr 2017 C	100,000,000	2016/08/25	2017/04/03	25.10

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12762	J P Morgan SP BV - Tencent European Warrants Feb 2017 D	100,000,000	2016/08/25	2017/02/28	34.80
12760	J P Morgan SP BV - Tencent European Put Warrants Jun 2017 B	200,000,000	2016/08/25	2017/06/02	50.40
12755	J P Morgan SP BV - China Vanke European Put Warrants Apr17 A	60,000,000	2016/08/25	2017/04/03	15.06
12735	Macquarie Bank Ltd. - Kingdee Int'l European Wts Jul 2017 A	20,000,000	2016/08/25	2017/07/18	10.00
12741	Macquarie Bank Ltd. - New World Dev European Wts Aug 2017 A	40,000,000	2016/08/25	2017/08/02	10.00
12742	SG Issuer - China Life European Warrants Nov 2017 A	150,000,000	2016/08/25	2017/11/03	37.50
12745	SG Issuer - HKEX European Warrants Apr 2017 B	200,000,000	2016/08/25	2017/04/06	37.00
12743	SG Issuer - HSCEI European Warrants Dec 2017 A	150,000,000	2016/08/25	2017/12/28	37.50
12746	SG Issuer - ICBC European Warrants Jun 2017 A	80,000,000	2016/08/25	2017/06/05	20.00
12747	SG Issuer - Tencent European Warrants Jul 2017 B	400,000,000	2016/08/25	2017/07/05	100.00
12753	UBS AG - Geely Auto European Warrants Feb 2017 A	40,000,000	2016/08/25	2017/02/21	17.72
12751	UBS AG - Tencent Holdings European Warrants Dec 2016 H	50,000,000	2016/08/25	2016/12/23	14.50
12752	UBS AG - Tencent Holdings European Put Warrants Jan 2017 D	200,000,000	2016/08/25	2017/01/25	30.80
12774	BOCI Asia Ltd. - HKEX European Warrants Dec 2017 A	200,000,000	2016/08/26	2017/12/08	36.60
12775	BOCI Asia Ltd. - Ping An European Put Warrants Mar 2017 A	100,000,000	2016/08/26	2017/03/03	16.50
12772	BOCI Asia Ltd. - Tencent Holdings European Wts Feb 2017 D	200,000,000	2016/08/26	2017/02/02	104.00
12770	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2017 C	200,000,000	2016/08/26	2017/06/30	50.00
12771	BOCI Asia Ltd. - Tencent Holdings European Wts Sep 2017 C	200,000,000	2016/08/26	2017/09/29	50.00
12773	BOCI Asia Ltd. - Tencent Holdings European Put Wts Feb 2017 E	100,000,000	2016/08/26	2017/02/03	16.50
12794	BNP Paribas Arbit Issu B.V. - AAC Acoustic Euro Wts Sep18A	100,000,000	2016/08/26	2018/09/04	25.00
12778	Credit Suisse AG - CC Bank European Warrants Mar 2017 A	50,000,000	2016/08/26	2017/03/03	12.50
12777	Credit Suisse AG - Geely Auto European Warrants Mar 2017 A	40,000,000	2016/08/26	2017/03/03	18.00
12776	Credit Suisse AG - PCCW European Warrants Mar 2017 A	40,000,000	2016/08/26	2017/03/03	10.00
12792	Credit Suisse AG - Ping An European Warrants Apr 2017 A	80,000,000	2016/08/26	2017/04/03	20.00
12789	J P Morgan SP BV - China Life European Warrants Jan 2017 A	80,000,000	2016/08/26	2017/01/04	28.08
12790	J P Morgan SP BV - Dongfeng Motor European Wts Sep 2017 A	100,000,000	2016/08/26	2017/09/29	15.30
12787	J P Morgan SP BV - Lenovo European Warrants Feb 2017 B	60,000,000	2016/08/26	2017/02/02	11.40
12769	Macquarie Bank Ltd. - Tencent European Warrants Jan 2018 A	27,000,000	2016/08/26	2018/01/02	10.02
12779	SG Issuer - BOCHK European Warrants Jun 2017 A	50,000,000	2016/08/26	2017/06/05	12.50
12780	SG Issuer - CITIC Sec European Warrants May 2017 A	60,000,000	2016/08/26	2017/05/05	15.00
12764	SG Issuer - Galaxy Ent European Warrants Feb 2017 B	80,000,000	2016/08/26	2017/02/03	19.20
12781	SG Issuer - Haitong Sec European Warrants Sep 2017 A	70,000,000	2016/08/26	2017/09/05	11.20
12782	SG Issuer - Lenovo European Warrants May 2017 A	20,000,000	2016/08/26	2017/05/05	12.40
12768	SG Issuer - Sands China European Warrants Feb 2017 A	80,000,000	2016/08/26	2017/02/03	20.40
12783	SG Issuer - Tencent European Warrants Mar 2017 D	35,000,000	2016/08/26	2017/03/03	11.73
12784	SG Issuer - Tencent European Put Warrants Apr 2017 C	400,000,000	2016/08/26	2017/04/06	100.00
12818	BNP Paribas Arbit Issu B.V. - BOCL European Wts Apr 2017 B	50,000,000	2016/08/29	2017/04/05	12.50
12815	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2017 A	50,000,000	2016/08/29	2017/04/05	12.50
12819	BNP Paribas Arbit Issu B.V. - Minsheng Bk Euro Wt Apr 2017 A	40,000,000	2016/08/29	2017/04/05	11.60
12817	BNP Paribas Arbit Issu B.V. - ICBC European Wts Mar 2017 A	50,000,000	2016/08/29	2017/03/02	12.50
12821	Credit Suisse AG - CKH Holdings European Warrants Mar 2017 B	40,000,000	2016/08/29	2017/03/03	14.40
12811	HK Bank - Agricultural Bank European Warrants Jul 2017 A	80,000,000	2016/08/29	2017/07/31	20.00
12810	HK Bank - Geely Auto European Warrants Feb 2017 A	40,000,000	2016/08/29	2017/02/28	24.40
12800	Haitong Int'l Sec - China Mobile European Warrants Mar 2017A	40,000,000	2016/08/29	2017/03/30	10.00

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12801	Haitong Int'l Sec - China Taiping European Warrants Mar 17 A	40,000,000	2016/08/29	2017/03/30	10.00
12795	Haitong Int'l Sec - HKEX European Warrants Jun 2017 A	68,000,000	2016/08/29	2017/06/09	10.20
12802	Haitong Int'l Sec - PICC Group European Warrants Jun 2017 A	30,000,000	2016/08/29	2017/06/28	11.16
12798	Haitong Int'l Sec - Tencent European Put Warrants Jan 2017 B	68,000,000	2016/08/29	2017/01/27	10.20
12799	Haitong Int'l Sec - Xinyi Glass European Warrants Aug 2018 A	40,000,000	2016/08/29	2018/08/08	10.00
12839	J P Morgan SP BV - Ch State Con European Warrants Dec 2017 A	80,000,000	2016/08/29	2017/12/19	12.00
12837	J P Morgan SP BV - Tencent European Warrants Sep 2017 C	200,000,000	2016/08/29	2017/09/01	50.40
12812	Macquarie Bank Ltd. - CEB Bank European Warrants Aug 2017 A	20,000,000	2016/08/29	2017/08/15	10.00
12809	Macquarie Bank Ltd. - Goldwind European Warrants Jun 2017 A	40,000,000	2016/08/29	2017/06/16	10.00
12826	SG Issuer - CKH European Put Warrants Mar 2017 B	30,000,000	2016/08/29	2017/03/03	11.85
12825	SG Issuer - China Life European Warrants Jun 2017 B	150,000,000	2016/08/29	2017/06/05	37.50
12803	SG Issuer - China Overseas European Warrants Mar 2017 A	40,000,000	2016/08/29	2017/03/03	10.40
12805	SG Issuer - China Overseas European Put Warrants Apr 2017 B	40,000,000	2016/08/29	2017/04/06	10.00
12829	SG Issuer - HSBC European Warrants May 2017 A	100,000,000	2016/08/29	2017/05/05	15.00
12827	SG Issuer - HSCEI European Warrants Sep 2017 A	150,000,000	2016/08/29	2017/09/28	24.75
12828	SG Issuer - HSI European Warrants Feb 2017 D	150,000,000	2016/08/29	2017/02/27	27.00
12831	SG Issuer - Huaneng Power European Warrants Mar 2017 A	20,000,000	2016/08/29	2017/03/03	11.40
12808	SG Issuer - Ping An European Warrants Apr 2017 A	120,000,000	2016/08/29	2017/04/06	30.00
12834	SG Issuer - Stanchart European Warrants Mar 2017 A	20,000,000	2016/08/29	2017/03/03	11.00
12813	UBS AG - China Mobile European Put Warrants Jan 2017 B	100,000,000	2016/08/29	2017/01/25	26.30
12835	UBS AG - Kingsoft European Warrants Mar 2017 A	100,000,000	2016/08/29	2017/03/31	25.10
27262 #	J P Morgan SP BV - China Life European Warrants Dec 2016 B	250,000,000	2016/08/29	2016/12/30	10.50
12850	BOCI Asia Ltd. - China Life European Put Warrants Jan 2017 A	100,000,000	2016/08/30	2017/01/05	15.00
12848	BOCI Asia Ltd. - CNOOC European Warrants Mar 2017 A	100,000,000	2016/08/30	2017/03/02	46.30
12845	BOCI Asia Ltd. - Sinopec Corp European Warrants Mar 2017 A	100,000,000	2016/08/30	2017/03/06	41.40
12843	BOCI Asia Ltd. - Galaxy Ent European Warrants Mar 2017 B	100,000,000	2016/08/30	2017/03/06	25.10
12844	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Mar 2017 C	100,000,000	2016/08/30	2017/03/13	25.00
12842	BOCI Asia Ltd. - HSBC European Put Warrants Mar 2017 A	100,000,000	2016/08/30	2017/03/20	26.90
12847	BOCI Asia Ltd. - PetroChina European Warrants Mar 2017 A	100,000,000	2016/08/30	2017/03/03	30.60
12851	BOCI Asia Ltd. - Sands China European Warrants Mar 2017 B	100,000,000	2016/08/30	2017/03/03	25.00
12849	BOCI Asia Ltd. - Sands China European Put Wts Mar 2017 A	100,000,000	2016/08/30	2017/03/10	26.60
12869	Credit Suisse AG - HSBC European Wts Mar 2017 A	100,000,000	2016/08/30	2017/03/03	25.00
12853	HK Bank - Agricultural Bank European Warrants Jul 2017 B	80,000,000	2016/08/30	2017/07/26	12.00
12854	HK Bank - HKEX European Put Warrants Sep 2017 A	150,000,000	2016/08/30	2017/09/25	22.50
12872	J P Morgan SP BV - Car Inc European Warrants Jun 2018 A	60,000,000	2016/08/30	2018/06/29	15.06
12873	J P Morgan SP BV - CRRC Times European Warrants Mar 2017 A	50,000,000	2016/08/30	2017/03/02	23.35
12859	Macquarie Bank Ltd. - Anhui Conch European Wts Apr 2017 A	30,000,000	2016/08/30	2017/04/05	10.02
12856	Macquarie Bank Ltd. - China Overseas European Wts Nov 2017 A	40,000,000	2016/08/30	2017/11/02	10.00
12857	Macquarie Bank Ltd. - CPIC European Warrants Apr 2017 A	40,000,000	2016/08/30	2017/04/05	10.00
12860	Macquarie Bank Ltd. - ICBC European Put Warrants Aug 2017 A	36,000,000	2016/08/30	2017/08/02	10.19
12855	Macquarie Bank Ltd. - Tencent European Warrants Jul 2018 A	40,000,000	2016/08/30	2018/07/04	10.00
12861	SG Issuer - AGBK European Warrants Aug 2017 A	80,000,000	2016/08/30	2017/08/03	12.00
12864	SG Issuer - BOCL European Warrants Sep 2017 A	80,000,000	2016/08/30	2017/09/05	20.00
12865	SG Issuer - BOCL European Put Warrants Mar 2017 B	80,000,000	2016/08/30	2017/03/03	16.00

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12840	SG Issuer - Kingsoft European Warrants Jul 2017 A	40,000,000	2016/08/30	2017/07/05	10.00
12866	SG Issuer - Tencent European Warrants Mar 2017 E	400,000,000	2016/08/30	2017/03/03	100.00
12841	SG Issuer - Tencent European Warrants May 2017 A	400,000,000	2016/08/30	2017/05/05	100.00
12868	SG Issuer - Tencent European Warrants Aug 2017 B	400,000,000	2016/08/30	2017/08/03	100.00
12870	UBS AG - China Life European Warrants Jul 2017 A	100,000,000	2016/08/30	2017/07/26	16.90
12871	UBS AG - Link REIT European Warrants Feb 2017 A	70,000,000	2016/08/30	2017/02/10	10.92
12881	Credit Suisse AG - Kingsoft European Warrants May 2017 A	50,000,000	2016/08/31	2017/05/02	12.50
12878	Credit Suisse AG - Link REIT European Warrants Mar 2017 A	40,000,000	2016/08/31	2017/03/03	10.00
12875	Bank of East Asia - R&F Ppt European Warrants Sep 2017 A	40,000,000	2016/08/31	2017/09/07	10.00
12876	Bank of East Asia - Tencent Holdings European Wts Oct 2017 A	40,000,000	2016/08/31	2017/10/20	10.00
12884	J P Morgan SP BV - Agricultural Bk Euro Warrants Aug 2017 A	100,000,000	2016/08/31	2017/08/01	15.10
12887	J P Morgan SP BV - Shenzhou Intl European Warrants Mar 2017A	40,000,000	2016/08/31	2017/03/02	28.60
12874	Macquarie Bank Ltd. - CNBM European Warrants May 2017 A	20,000,000	2016/08/31	2017/05/04	10.00
12882	Macquarie Bank Ltd. - Ch State Con European Wts Jan 2018 A	40,000,000	2016/08/31	2018/01/03	10.00
12877	Macquarie Bank Ltd. - China Vanke European Put Wts Apr17B	40,000,000	2016/08/31	2017/04/05	10.00
11582 #	J P Morgan SP BV - HSI European Put Warrants Jan 2017 D	300,000,000	2016/08/31	2017/01/26	22.80
12891	BNP Paribas Arbit Issu B.V. - Ping An European Wt Mar 2017 A	60,000,000	2016/09/01	2017/03/02	15.00
12889	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Oct 2017 A	100,000,000	2016/09/01	2017/10/03	24.00
12892	Credit Suisse AG - Car Inc European Warrants Dec 2017 A	40,000,000	2016/09/01	2017/12/29	10.00
12896	Credit Suisse AG - CKP European Warrants Mar 2017 A	40,000,000	2016/09/01	2017/03/03	10.00
12894	Credit Suisse AG - CRCC European Warrants Jul 2017 A	70,000,000	2016/09/01	2017/07/05	10.50
12895	Credit Suisse AG - China Tel European Warrants Mar 2017 A	40,000,000	2016/09/01	2017/03/03	10.00
12901	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2017 A	100,000,000	2016/09/01	2017/04/03	16.00
12898	J P Morgan SP BV - China Mengniu European Wts Sep 2017 A	60,000,000	2016/09/01	2017/09/04	15.06
12888	Macquarie Bank Ltd. - Xinyi Solar European Warrants Jul 17 A	20,000,000	2016/09/01	2017/07/18	10.00
12919	Credit Suisse AG - Tencent Holdings European Wts Mar 2017 B	50,000,000	2016/09/02	2017/03/03	34.00
12913	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2017 A	80,000,000	2016/09/02	2017/03/28	20.00
12914	Goldman Sachs SP (Asia) - Tencent European Put Wt Jan 2017 D	100,000,000	2016/09/02	2017/01/25	15.00
12906	HK Bank - AIA European Warrants Jan 2017 A	80,000,000	2016/09/02	2017/01/27	12.00
12910	HK Bank - Sinopec Corp European Warrants Mar 2017 A	50,000,000	2016/09/02	2017/03/01	20.00
12908	HK Bank - Lenovo European Warrants Mar 2017 A	50,000,000	2016/09/02	2017/03/01	20.00
12904	Macquarie Bank Ltd. - Car Inc European Warrants May 2018 A	40,000,000	2016/09/02	2018/05/18	10.08
12912	Macquarie Bank Ltd. - China Life European Put Warrants Apr17B	9,000,000	2016/09/02	2017/04/05	10.02
12905	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Apr 2017 A	40,000,000	2016/09/02	2017/04/05	10.00
12902	SG Issuer - Anhui Conch European Warrants Apr 2017 A	40,000,000	2016/09/02	2017/04/06	10.00
12915	SG Issuer - China Life European Put Warrants May 2017 A	150,000,000	2016/09/02	2017/05/05	25.50
12917	SG Issuer - HSCEI European Put Warrants Mar 2017 B	150,000,000	2016/09/02	2017/03/30	30.00
12903	SG Issuer - Link REIT European Warrants May 2017 A	30,000,000	2016/09/02	2017/05/05	10.05
12918	SG Issuer - Wynn European Warrants Sep 2017 A	60,000,000	2016/09/02	2017/09/05	15.00
12923	BOCI Asia Ltd. - CGS European Warrants Aug 2018 A	100,000,000	2016/09/05	2018/08/02	18.60
12924	BOCI Asia Ltd. - CM Bank European Warrants Jun 2017 A	100,000,000	2016/09/05	2017/06/02	18.00
12920	BOCI Asia Ltd. - Ch Overseas European Warrants Jul 2017 A	100,000,000	2016/09/05	2017/07/31	25.30
12921	BOCI Asia Ltd. - Chi Res Land European Warrants Mar 2017 A	100,000,000	2016/09/05	2017/03/02	18.60
12922	BOCI Asia Ltd. - Haitong Sec European Warrants Mar 2017 A	100,000,000	2016/09/05	2017/03/02	15.10

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12925	BOCI Asia Ltd. - Ping An European Warrants Apr 2017 A	200,000,000	2016/09/05	2017/04/03	50.00
12926	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Dec 2017A	40,000,000	2016/09/05	2017/12/04	10.00
12939	Haitong Int'l Sec - China Life European Warrants Dec 2016 B	68,000,000	2016/09/05	2016/12/30	10.20
12942	Haitong Int'l Sec - China Life European Warrants Oct 2018 A	68,000,000	2016/09/05	2018/10/26	17.00
12936	Haitong Int'l Sec - Sinopec Corp European Wts Mar 2017 B	40,000,000	2016/09/05	2017/03/06	10.00
12935	Haitong Int'l Sec - Sinopec Corp European Wts Oct 2017 A	40,000,000	2016/09/05	2017/10/31	10.36
12937	Haitong Int'l Sec - Tencent European Warrants Dec 2016 B	35,000,000	2016/09/05	2016/12/28	10.12
12938	Haitong Int'l Sec - Tencent European Put Warrants Mar 2018 A	50,000,000	2016/09/05	2018/03/27	12.50
12943	Haitong Int'l Sec - CRRC Times European Warrants Mar 2017 A	40,000,000	2016/09/05	2017/03/08	10.72
12944	J P Morgan SP BV - AAC Acoustic European Warrants Mar 2018 A	100,000,000	2016/09/05	2018/03/05	25.20
12945	J P Morgan SP BV - Li Ning European Warrants Apr 2018 A	100,000,000	2016/09/05	2018/04/16	15.00
12927	Macquarie Bank Ltd. - Kingsoft European Warrants Apr 2017 A	34,000,000	2016/09/05	2017/04/05	10.03
12928	SG Issuer - Fosun Intl European Warrants Dec 2017 A	80,000,000	2016/09/05	2017/12/05	14.80
12931	SG Issuer - HSBC European Warrants Oct 2017 A	100,000,000	2016/09/05	2017/10/06	25.00
12934	SG Issuer - Petch European Put Warrants Apr 2017 A	60,000,000	2016/09/05	2017/04/06	18.60
12954	Credit Suisse AG - AAC Acoustic European Warrants Oct 2017 A	70,000,000	2016/09/06	2017/10/10	17.50
12955	J P Morgan SP BV - A50 China European Warrants Mar 2017 B	100,000,000	2016/09/06	2017/03/02	15.30
12957	J P Morgan SP BV - HSBC European Put Warrants Apr 2017 A	100,000,000	2016/09/06	2017/04/03	30.30
12959	J P Morgan SP BV - HSI European Put Warrants Mar 2017 D	300,000,000	2016/09/06	2017/03/30	75.00
12958	J P Morgan SP BV - HSI European Put Warrants Apr 2017 B	500,000,000	2016/09/06	2017/04/27	75.00
12956	J P Morgan SP BV - China Vanke European Warrants Apr 2017 B	100,000,000	2016/09/06	2017/04/05	25.00
12946	Macquarie Bank Ltd. - BankComm European Warrants Sep 2017 A	40,000,000	2016/09/06	2017/09/04	10.00
12947	Macquarie Bank Ltd. - SMIC European Warrants Oct 2018 A	40,000,000	2016/09/06	2018/10/16	10.00
12948	Macquarie Bank Ltd. - Tencent European Put Wts Apr 2017 A	10,000,000	2016/09/06	2017/04/05	17.54
12949	SG Issuer - CSOP A50 ETF European Warrants Mar 2017 B	30,000,000	2016/09/06	2017/03/03	16.50
12950	SG Issuer - A50 China European Warrants Mar 2017 B	30,000,000	2016/09/06	2017/03/03	15.30
12951	SG Issuer - A50 China European Put Warrants Feb 2017 B	300,000,000	2016/09/06	2017/02/03	45.00
12953	SG Issuer - HKEX European Put Warrants Oct 2017 A	200,000,000	2016/09/06	2017/10/06	30.00
12963	BNP Paribas Arbit Issu B.V. - BOCL European Wts Sep 2018 A	50,000,000	2016/09/07	2018/09/04	12.50
12961	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Dec 2017 B	50,000,000	2016/09/07	2017/12/04	12.50
12966	BNP Paribas Arbit Issu B.V. - HSBC European Wts Dec 2017 B	50,000,000	2016/09/07	2017/12/04	12.50
12962	BNP Paribas Arbit Issu B.V. - ICBC European Wts Dec 2017 B	50,000,000	2016/09/07	2017/12/04	12.50
10416	BNP Paribas Arbit Issu B.V. - Oil Futures Euro Wt May 2017 A	50,000,000	2016/09/07	2017/05/18	12.50
10417	BNP Paribas Arbit Issu B.V. - Oil Futures Eu Put Wt May 17 B	50,000,000	2016/09/07	2017/05/18	12.50
12964	BNP Paribas Arbit Issu B.V. - Ping An European Wt May 2017 A	60,000,000	2016/09/07	2017/05/04	10.20
12988	Credit Suisse AG - China Railway European Warrants Mar 2017A	30,000,000	2016/09/07	2017/03/07	14.40
12991	Credit Suisse AG - HSBC European Wts Apr 2017 B	100,000,000	2016/09/07	2017/04/05	25.00
12985	Credit Suisse AG - HSBC European Put Warrants Mar 2017 B	40,000,000	2016/09/07	2017/03/31	10.00
12990	Credit Suisse AG - Sunny Optical European Warrants Mar 2017A	30,000,000	2016/09/07	2017/03/07	15.60
12969	Haitong Int'l Sec - Chi Res Power European Warrants Jun 17 A	40,000,000	2016/09/07	2017/06/30	10.00
12970	Haitong Int'l Sec - CSPC Pharma European Warrants Jun 2018 A	40,000,000	2016/09/07	2018/06/28	10.00
12967	Haitong Int'l Sec - Galaxy Ent European Warrants Jan 2017 B	68,000,000	2016/09/07	2017/01/20	12.31
12968	Haitong Int'l Sec - HKEX European Warrants Aug 2017 A	68,000,000	2016/09/07	2017/08/29	10.20
12971	Haitong Int'l Sec - Ping An European Warrants Mar 2017 A	68,000,000	2016/09/07	2017/03/29	12.58

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12983	J P Morgan SP BV - CGN Power European Warrants Apr 2017 A	60,000,000	2016/09/07	2017/04/05	16.20
12972	Macquarie Bank Ltd. - BYD European Warrants May 2017 A	24,000,000	2016/09/07	2017/05/04	10.01
12975	Macquarie Bank Ltd. - Sands China European Wts Apr 2017 C	9,000,000	2016/09/07	2017/04/05	11.19
12973	Macquarie Bank Ltd. - China Vanke European Warrants May 17 A	40,000,000	2016/09/07	2017/05/04	10.00
12960	SG Issuer - AAC Tech European Warrants Dec 2017 A	60,000,000	2016/09/07	2017/12/05	15.00
12976	SG Issuer - PICC P&C European Warrants Apr 2017 A	10,000,000	2016/09/07	2017/04/06	10.00
12981	UBS AG - Galaxy Ent European Warrants Mar 2017 A	70,000,000	2016/09/07	2017/03/23	10.50
12979	UBS AG - HSBC European Warrants Jun 2017 A	100,000,000	2016/09/07	2017/06/02	16.40
12980	UBS AG - HSBC European Put Warrants Feb 2017 A	100,000,000	2016/09/07	2017/02/13	15.00
12996	BOCI Asia Ltd. - China Life European Warrants Aug 2017 A	200,000,000	2016/09/08	2017/08/02	33.20
12992	BOCI Asia Ltd. - HSBC European Warrants Apr 2017 A	200,000,000	2016/09/08	2017/04/05	50.00
12995	BOCI Asia Ltd. - HSBC European Put Warrants Apr 2017 B	200,000,000	2016/09/08	2017/04/05	80.00
13009	BNP Paribas Arbit Issu B.V. - HKEX European Wts Mar 2018 A	70,000,000	2016/09/08	2018/03/02	12.60
13022	BNP Paribas Arbit Issu B.V. - HSBC European Wts Mar 2018 A	50,000,000	2016/09/08	2018/03/02	12.50
13019	BNP Paribas Arbit Issu B.V. - HSI European Wts Mar 2017 D	100,000,000	2016/09/08	2017/03/30	15.00
13018	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts May 2017 B	100,000,000	2016/09/08	2017/05/29	25.00
13017	BNP Paribas Arbit Issu B.V. - Sunny Optical Euro Wts Apr17A	40,000,000	2016/09/08	2017/04/05	21.20
13010	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Dec 2018 A	70,000,000	2016/09/08	2018/12/04	17.50
13049	Credit Suisse AG - HSBC European Wts Mar 2017 D	100,000,000	2016/09/08	2017/03/31	25.00
13048	Credit Suisse AG - HSBC European Put Warrants Apr 2017 D	40,000,000	2016/09/08	2017/04/03	11.20
13043	Credit Suisse AG - PetroChina European Warrants Mar 2017 A	40,000,000	2016/09/08	2017/03/08	10.00
13044	Credit Suisse AG - Ping An European Warrants Mar 2017 B	80,000,000	2016/09/08	2017/03/08	20.00
13001	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Mar 2017 A	60,000,000	2016/09/08	2017/03/30	15.00
13000	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2017 A	80,000,000	2016/09/08	2017/04/28	20.00
13046	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2017 C	200,000,000	2016/09/08	2017/03/30	32.80
13006	Goldman Sachs Sp (Asia) - ICBC European Warrants May 2017 A	60,000,000	2016/09/08	2017/05/31	15.00
13055	J P Morgan SP BV - BOCL European Warrants May 2017 C	100,000,000	2016/09/08	2017/05/17	15.10
13054	J P Morgan SP BV - CSOP A50 ETF European Warrants Apr 2017 A	100,000,000	2016/09/08	2017/04/03	25.50
13050	J P Morgan SP BV - HSBC European Warrants Apr 2017 B	100,000,000	2016/09/08	2017/04/03	25.20
13052	J P Morgan SP BV - HSBC European Warrants Aug 2017 A	100,000,000	2016/09/08	2017/08/04	25.40
13053	J P Morgan SP BV - Sands China European Put Wts Apr 2017 C	100,000,000	2016/09/08	2017/04/05	25.10
13008	Macquarie Bank Ltd. - China Taiping European Wts Apr 2017 A	39,000,000	2016/09/08	2017/04/05	10.18
13026	Macquarie Bank Ltd. - HSBC European Warrants Apr 2017 B	40,000,000	2016/09/08	2017/04/05	10.00
13024	Macquarie Bank Ltd. - HSBC European Put Warrants Apr 2017 A	40,000,000	2016/09/08	2017/04/05	10.00
13007	Macquarie Bank Ltd. - Kingsoft European Warrants Feb 2018 A	40,000,000	2016/09/08	2018/02/02	10.00
12999	Macquarie Bank Ltd. - Tencent European Warrants Apr 2019 A	40,000,000	2016/09/08	2019/04/02	10.00
13032	SG Issuer - Ch Mob European Warrants Mar 2017 C	80,000,000	2016/09/08	2017/03/03	17.60
13031	SG Issuer - Ch Mob European Warrants Apr 2017 B	80,000,000	2016/09/08	2017/04/06	41.60
13033	SG Issuer - HSBC European Warrants Apr 2017 D	100,000,000	2016/09/08	2017/04/06	25.00
13037	SG Issuer - HSBC European Put Warrants Apr 2017 E	100,000,000	2016/09/08	2017/04/06	25.00
13038	SG Issuer - HSBC European Put Warrants Apr 2017 F	100,000,000	2016/09/08	2017/04/06	41.50
13040	UBS AG - CNOOC European Warrants Mar 2017 A	50,000,000	2016/09/08	2017/03/08	13.10
13039	UBS AG - ICBC European Warrants May 2017 A	70,000,000	2016/09/08	2017/05/08	17.64
13080	Credit Suisse AG - Sands China European Warrants Apr 2017 A	60,000,000	2016/09/09	2017/04/05	15.00

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13083	Goldman Sachs SP (Asia) - Geely Auto European Wts Mar 2017 A	40,000,000	2016/09/09	2017/03/31	15.44
13067	HK Bank - China Mobile European Warrants Jan 2017 C	80,000,000	2016/09/09	2017/01/23	24.00
13065	HK Bank - Galaxy Ent European Warrants Mar 2017 B	80,000,000	2016/09/09	2017/03/30	20.00
13064	HK Bank - HSCEI European Warrants Sep 2017 A	180,000,000	2016/09/09	2017/09/28	27.00
13066	HK Bank - Sands China European Warrants Mar 2017 B	80,000,000	2016/09/09	2017/03/30	20.00
13061	Haitong Int'l Sec - HKEX European Warrants Feb 2018 A	68,000,000	2016/09/09	2018/02/27	11.15
13059	Haitong Int'l Sec - HSBC European Warrants Apr 2017 A	40,000,000	2016/09/09	2017/04/10	10.00
13060	Haitong Int'l Sec - HSBC European Put Warrants Apr 2017 B	40,000,000	2016/09/09	2017/04/10	10.80
13062	Haitong Int'l Sec - ICBC European Warrants Apr 2017 A	40,000,000	2016/09/09	2017/04/18	10.00
13063	Haitong Int'l Sec - Ping An European Warrants Mar 2017 B	68,000,000	2016/09/09	2017/03/28	10.20
13090	J P Morgan SP BV - CITIC Bank European Warrants Apr 2017 A	100,000,000	2016/09/09	2017/04/05	25.30
13087	J P Morgan SP BV - CNOOC European Warrants Feb 2017 A	100,000,000	2016/09/09	2017/02/28	27.80
13092	J P Morgan SP BV - GF Sec European Warrants Feb 2017 A	70,000,000	2016/09/09	2017/02/02	10.50
13086	J P Morgan SP BV - HSBC European Put Warrants May 2017 A	100,000,000	2016/09/09	2017/05/02	36.80
13084	J P Morgan SP BV - HSCEI European Wts Sep 2017 A	200,000,000	2016/09/09	2017/09/28	30.40
13091	J P Morgan SP BV - HTSC European Warrants Mar 2017 A	60,000,000	2016/09/09	2017/03/31	11.04
13085	J P Morgan SP BV - Sands China European Put Wts May 2017 A	100,000,000	2016/09/09	2017/05/02	29.20
13089	J P Morgan SP BV - Techtronic European Warrants Jul 2017 A	60,000,000	2016/09/09	2017/07/03	20.88
13056	Macquarie Bank Ltd. - CC Bank European Put Warrants Apr 17 B	40,000,000	2016/09/09	2017/04/05	10.04
13081	Macquarie Bank Ltd. - China EB Int'l European Wts Dec 2017 A	40,000,000	2016/09/09	2017/12/18	10.00
13071	Macquarie Bank Ltd. - CKH European Warrants Apr 2017 A	21,000,000	2016/09/09	2017/04/05	10.02
13082	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Feb 2018 A	40,000,000	2016/09/09	2018/02/02	10.00
13058	Macquarie Bank Ltd. - PCCW European Warrants Apr 2017 B	39,000,000	2016/09/09	2017/04/05	10.14
13068	Macquarie Bank Ltd. - Ping An European Warrants May 2017 B	12,000,000	2016/09/09	2017/05/04	10.72
13069	Macquarie Bank Ltd. - Ping An European Put Warrants Jun 17 A	40,000,000	2016/09/09	2017/06/02	10.00
13070	Macquarie Bank Ltd. - Yuexiu Property Euro Wts Dec 2017 A	40,000,000	2016/09/09	2017/12/14	10.00
13072	SG Issuer - CCB European Warrants May 2017 A	80,000,000	2016/09/09	2017/05/05	20.00
13073	SG Issuer - CKH European Warrants Apr 2017 B	30,000,000	2016/09/09	2017/04/06	11.70
13075	SG Issuer - HSBC European Warrants May 2017 B	100,000,000	2016/09/09	2017/05/05	25.00
13074	SG Issuer - HSI European Warrants May 2017 B	150,000,000	2016/09/09	2017/05/29	22.50
13079	SG Issuer - Ping An European Put Warrants Apr 2017 B	120,000,000	2016/09/09	2017/04/06	37.80
12328 #	Credit Suisse AG - HSBC European Put Warrants Feb 2017 A	150,000,000	2016/09/09	2017/02/27	10.05
13102	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Dec 2017 A	40,000,000	2016/09/12	2017/12/04	10.00
13101	BNP Paribas Arbit Issu B.V. - CKH European Wts Apr 2017 B	40,000,000	2016/09/12	2017/04/05	13.20
13104	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Dec 2017 A	50,000,000	2016/09/12	2017/12/04	12.50
13129	Credit Suisse AG - AIA European Put Warrants Feb 2017 B	60,000,000	2016/09/12	2017/02/06	10.80
13136	Credit Suisse AG - BOCL European Warrants May 2017 A	60,000,000	2016/09/12	2017/05/24	11.40
13134	Credit Suisse AG - CITIC European Warrants Mar 2017 A	30,000,000	2016/09/12	2017/03/13	15.00
13133	Credit Suisse AG - HKEX European Warrants Apr 2017 B	60,000,000	2016/09/12	2017/04/06	25.80
13135	Credit Suisse AG - SHK Ppt European Warrants Mar 2017 A	40,000,000	2016/09/12	2017/03/02	11.20
13130	Credit Suisse AG - Tencent Holdings European Wts Dec 2016 F	50,000,000	2016/09/12	2016/12/30	14.50
13131	Credit Suisse AG - Tencent Holdings European Wts Mar 2017 C	50,000,000	2016/09/12	2017/03/02	17.00
13132	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2017 D	90,000,000	2016/09/12	2017/04/05	17.10
13095	Goldman Sachs SP (Asia) - BOCL European Warrants May 2017 B	80,000,000	2016/09/12	2017/05/17	12.00

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		發行單位	上市日期	到期日	
13094	Goldman Sachs SP (Asia) - CKH European Wts Mar 2017 A	30,000,000	2016/09/12	2017/03/31	11.70
13096	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 B	200,000,000	2016/09/12	2017/06/08	34.00
13098	HK Bank - HSI European Warrants Mar 2017 D	180,000,000	2016/09/12	2017/03/30	45.00
13097	HK Bank - HSI European Warrants Jun 2017 C	180,000,000	2016/09/12	2017/06/29	28.80
13099	HK Bank - HSI European Put Warrants Mar 2017 E	180,000,000	2016/09/12	2017/03/30	27.00
13139	J P Morgan SP BV - HKEX European Warrants Mar 2018 A	200,000,000	2016/09/12	2018/03/01	33.60
13107	J P Morgan SP BV - HSI European Put Warrants Apr 2017 C	500,000,000	2016/09/12	2017/04/27	125.50
13140	J P Morgan SP BV - Tencent European Warrants Oct 2017 A	200,000,000	2016/09/12	2017/10/13	36.40
13105	Macquarie Bank Ltd. - AIA European Warrants Apr 2017 A	40,000,000	2016/09/12	2017/04/05	10.00
13106	Macquarie Bank Ltd. - HSBC European Warrants Apr 2017 C	40,000,000	2016/09/12	2017/04/05	10.00
13108	SG Issuer - China Life European Warrants Oct 2018 A	150,000,000	2016/09/12	2018/10/04	37.50
13093	SG Issuer - HKEX European Warrants Apr 2017 C	20,000,000	2016/09/12	2017/04/06	10.80
13114	SG Issuer - HSBC European Warrants Oct 2017 B	100,000,000	2016/09/12	2017/10/06	25.00
13109	SG Issuer - HSI European Warrants Apr 2017 A	150,000,000	2016/09/12	2017/04/27	37.50
13113	SG Issuer - HSI European Put Warrants Apr 2017 B	150,000,000	2016/09/12	2017/04/27	37.50
13115	SG Issuer - Tencent European Warrants Oct 2017 C	400,000,000	2016/09/12	2017/10/06	100.00
13116	SG Issuer - Tencent European Warrants Dec 2017 A	400,000,000	2016/09/12	2017/12/05	100.00
13117	SG Issuer - Tencent European Put Warrants Jul 2017 C	400,000,000	2016/09/12	2017/07/05	100.00
13119	UBS AG - China Life European Warrants Jan 2017 A	30,000,000	2016/09/12	2017/01/06	15.66
13121	UBS AG - HKEX European Warrants Mar 2017 A	50,000,000	2016/09/12	2017/03/13	24.75
13120	UBS AG - HSBC European Warrants Mar 2017 A	100,000,000	2016/09/12	2017/03/20	25.30
13126	UBS AG - HSI European Warrants Mar 2017 E	300,000,000	2016/09/12	2017/03/30	76.20
13118	UBS AG - HSI European Put Warrants Mar 2017 D	300,000,000	2016/09/12	2017/03/30	75.00
13123	UBS AG - Tencent Holdings European Warrants Jan 2017 E	50,000,000	2016/09/12	2017/01/24	35.00
13122	UBS AG - Tencent Holdings European Put Warrants Mar 2017 B	200,000,000	2016/09/12	2017/03/13	51.80
20166 #	J P Morgan SP BV - Evergrande European Warrants Jul 2017 A	200,000,000	2016/09/12	2017/07/03	10.40
13146	BOCI Asia Ltd. - Agricultural Bk European Wts Jul 2017 A	100,000,000	2016/09/13	2017/07/31	18.00
13147	BOCI Asia Ltd. - CKH European Warrants Apr 2017 A	100,000,000	2016/09/13	2017/04/07	41.90
13143	BOCI Asia Ltd. - HKEX European Warrants Dec 2017 B	200,000,000	2016/09/13	2017/12/18	50.00
13144	BOCI Asia Ltd. - HKEX European Put Warrants Dec 2017 C	200,000,000	2016/09/13	2017/12/27	50.00
13145	BOCI Asia Ltd. - Tencent Holdings European Wts Oct 2017 A	200,000,000	2016/09/13	2017/10/16	40.00
13152	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Apr 2017 B	50,000,000	2016/09/13	2017/04/05	10.50
13153	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts May 2017 A	40,000,000	2016/09/13	2017/05/04	14.40
13150	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Mar 2018 A	100,000,000	2016/09/13	2018/03/02	25.00
13174	Credit Suisse AG - China Cinda European Warrants Apr 2017 A	40,000,000	2016/09/13	2017/04/06	10.00
13171	Credit Suisse AG - Fosun Intl European Warrants Nov 2017 A	50,000,000	2016/09/13	2017/11/30	12.50
13173	Credit Suisse AG - Geely Auto European Warrants Mar 2017 B	40,000,000	2016/09/13	2017/03/13	23.60
13170	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 B	100,000,000	2016/09/13	2017/07/05	25.00
13172	Credit Suisse AG - CSR Times European Warrants Mar 2017 A	40,000,000	2016/09/13	2017/03/13	16.00
13142	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2017 B	200,000,000	2016/09/13	2017/06/29	30.00
13168	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2017 B	80,000,000	2016/09/13	2017/03/27	12.00
13169	Goldman Sachs SP (Asia) - Tencent European Wt Dec 2016 J	50,000,000	2016/09/13	2016/12/23	11.85
13156	HK Bank - China Life European Warrants Oct 2017 A	80,000,000	2016/09/13	2017/10/30	14.40
13155	HK Bank - Foxconn European Warrants Jun 2017 A	50,000,000	2016/09/13	2017/06/30	12.50
13158	HK Bank - Tencent European Warrants Jan 2017 D	50,000,000	2016/09/13	2017/01/24	25.00

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13157	HK Bank - Tencent European Put Warrants Mar 2017 B	80,000,000	2016/09/13	2017/03/31	12.00
13178	J P Morgan SP BV - AIA European Warrants May 2017 A	100,000,000	2016/09/13	2017/05/02	15.20
13180	J P Morgan SP BV - BYD European Warrants Apr 2017 A	60,000,000	2016/09/13	2017/04/03	26.64
13181	J P Morgan SP BV - Geely Auto European Warrants Jan 2017 A	60,000,000	2016/09/13	2017/01/27	30.84
13176	J P Morgan SP BV - HKEX European Warrants Mar 2017 B	100,000,000	2016/09/13	2017/03/31	79.70
13175	J P Morgan SP BV - ICBC European Warrants Jun 2017 A	100,000,000	2016/09/13	2017/06/01	18.90
13179	J P Morgan SP BV - Tencent European Warrants Dec 2017 B	200,000,000	2016/09/13	2017/12/01	50.20
13183	J P Morgan SP BV - Tencent European Put Warrants Apr 2017 B	200,000,000	2016/09/13	2017/04/05	50.00
13177	J P Morgan SP BV - Tracker Fund European Put Warrants Jun17A	80,000,000	2016/09/13	2017/06/02	12.16
13148	Macquarie Bank Ltd. - Chi Res Land European Wts Oct 2017 A	40,000,000	2016/09/13	2017/10/06	10.00
13161	Macquarie Bank Ltd. - Ping An European Warrants Jan 2018 A	10,000,000	2016/09/13	2018/01/03	11.86
13163	SG Issuer - HKEX European Warrants Jun 2018 A	200,000,000	2016/09/13	2018/06/05	50.00
13162	SG Issuer - HSI European Put Warrants Apr 2017 C	150,000,000	2016/09/13	2017/04/27	37.50
13167	SG Issuer - SHK P European Warrants May 2017 C	30,000,000	2016/09/13	2017/05/05	10.20
13166	SG Issuer - Stanchart European Warrants Apr 2017 A	20,000,000	2016/09/13	2017/04/06	10.00
13187	UBS AG - China Mobile European Warrants Feb 2017 A	100,000,000	2016/09/13	2017/02/24	27.00
13188	UBS AG - Fosun Intl European Warrants Nov 2017 A	70,000,000	2016/09/13	2017/11/27	17.50
13184	UBS AG - HKEX European Warrants Mar 2017 B	50,000,000	2016/09/13	2017/03/20	12.70
13185	UBS AG - HKEX European Put Warrants Feb 2017 B	100,000,000	2016/09/13	2017/02/24	16.90
13189	UBS AG - HSI European Warrants May 2017 A	300,000,000	2016/09/13	2017/05/29	75.00
13186	UBS AG - Tencent Holdings European Warrants Jul 2017 A	300,000,000	2016/09/13	2017/07/24	75.60
13205	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Apr 2017 A	30,000,000	2016/09/14	2017/04/05	18.60
13206	BNP Paribas Arbit Issu B.V. - HSCEI European Wts Dec 2017 A	100,000,000	2016/09/14	2017/12/28	20.00
13202	BNP Paribas Arbit Issu B.V. - HSI European Wts Jun 2017 B	100,000,000	2016/09/14	2017/06/29	17.00
13203	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Mar 2017 E	100,000,000	2016/09/14	2017/03/30	25.00
13204	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Aug 2017 A	100,000,000	2016/09/14	2017/08/02	23.00
13225	Credit Suisse AG - AIA European Warrants May 2017 A	70,000,000	2016/09/14	2017/05/04	11.20
13228	Credit Suisse AG - BYD European Warrants Apr 2017 A	30,000,000	2016/09/14	2017/04/03	19.50
13224	Credit Suisse AG - China Life European Warrants Apr 2017 A	80,000,000	2016/09/14	2017/04/28	12.00
13222	Credit Suisse AG - HKEX European Put Warrants Mar 2017 C	80,000,000	2016/09/14	2017/03/03	14.40
13221	Credit Suisse AG - HS H-SHARE European Warrants Mar 2017 B	70,000,000	2016/09/14	2017/03/03	14.00
13220	Credit Suisse AG - HSI European Put Warrants Mar 2017 B	120,000,000	2016/09/14	2017/03/30	18.00
13226	Credit Suisse AG - Jiangxi Copper European Wts Apr 2017 A	30,000,000	2016/09/14	2017/04/06	20.40
13227	Credit Suisse AG - Ping An European Warrants Jun 2017 B	80,000,000	2016/09/14	2017/06/05	20.00
13208	HK Bank - Kingsoft European Warrants Apr 2017 A	80,000,000	2016/09/14	2017/04/24	16.00
13191	HK Bank - Tencent European Warrants Jan 2017 E	180,000,000	2016/09/14	2017/01/27	27.00
13193	HK Bank - Tencent European Warrants Sep 2017 C	180,000,000	2016/09/14	2017/09/29	45.00
13230	J P Morgan SP BV - China Life European Warrants Nov 2017 A	200,000,000	2016/09/14	2017/11/01	50.00
13232	J P Morgan SP BV - China Mob European Wts Apr 2017 B	100,000,000	2016/09/14	2017/04/03	26.30
13229	J P Morgan SP BV - Evergrande European Warrants Jan 2018 A	100,000,000	2016/09/14	2018/01/10	15.00
13231	J P Morgan SP BV - Ping An European Warrants May 2017 A	100,000,000	2016/09/14	2017/05/04	25.00
13190	Macquarie Bank Ltd. - AIA European Put Warrants Mar 2017 A	40,000,000	2016/09/14	2017/03/02	10.04
13209	SG Issuer - AGBK European Warrants Apr 2017 A	80,000,000	2016/09/14	2017/04/06	20.00
13210	SG Issuer - BOCL European Warrants May 2017 A	80,000,000	2016/09/14	2017/05/05	20.00
13198	SG Issuer - CCB European Put Warrants Apr 2017 B	60,000,000	2016/09/14	2017/04/06	15.30

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13199	SG Issuer - China Life European Put Warrants Apr 2017 A	150,000,000	2016/09/14	2017/04/06	37.50
13211	SG Issuer - Galaxy Ent European Warrants Aug 2017 A	80,000,000	2016/09/14	2017/08/03	20.00
13200	SG Issuer - ICBC European Put Wt Apr 2017 A	60,000,000	2016/09/14	2017/04/06	15.00
13212	SG Issuer - PICC P&C European Warrants Aug 2017 A	70,000,000	2016/09/14	2017/08/03	10.50
13213	SG Issuer - Ping An European Warrants Jun 2017 B	120,000,000	2016/09/14	2017/06/05	30.00
13201	SG Issuer - Tencent European Warrants Feb 2017 E	400,000,000	2016/09/14	2017/02/03	64.00
13215	UBS AG - China Life European Warrants May 2017 A	100,000,000	2016/09/14	2017/05/29	15.00
13214	UBS AG - Geely Auto European Warrants Mar 2017 A	40,000,000	2016/09/14	2017/03/13	20.04
13217	UBS AG - HSCEI European Warrants Dec 2017 A	100,000,000	2016/09/14	2017/12/28	25.00
13218	UBS AG - HSCEI European Put Warrants Mar 2017 A	100,000,000	2016/09/14	2017/03/30	19.10
13216	UBS AG - Ping An European Warrants Apr 2017 B	100,000,000	2016/09/14	2017/04/13	25.00
13219	UBS AG - Tencent Holdings European Warrants Feb 2017 C	50,000,000	2016/09/14	2017/02/24	19.70
27071 #	Credit Suisse AG - HKEX European Warrants Feb 2017 A	100,000,000	2016/09/14	2017/02/28	14.90
13244	BOCI Asia Ltd. - BOC HK European Warrants Apr 2017 B	100,000,000	2016/09/15	2017/04/10	15.80
13245	BOCI Asia Ltd. - BOCL European Warrants May 2017 A	100,000,000	2016/09/15	2017/05/22	25.00
13243	BOCI Asia Ltd. - China Life European Warrants May 2017 A	200,000,000	2016/09/15	2017/05/02	30.00
13246	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Sep 2018 A	100,000,000	2016/09/15	2018/09/21	15.00
13250	BOCI Asia Ltd. - HSCEI European Warrants Dec 2017 A	100,000,000	2016/09/15	2017/12/28	19.70
13248	BOCI Asia Ltd. - HSI European Warrants Apr 2017 A	100,000,000	2016/09/15	2017/04/27	25.00
13249	BOCI Asia Ltd. - HSI European Put Warrants Mar 2017 B	100,000,000	2016/09/15	2017/03/30	15.00
13242	BOCI Asia Ltd. - ICBC European Warrants May 2017 A	100,000,000	2016/09/15	2017/05/15	30.90
13247	BOCI Asia Ltd. - Ping An European Warrants May 2017 A	200,000,000	2016/09/15	2017/05/05	50.00
13241	BNP Paribas Arbit Issu B.V. - BYD European Wts Apr 2017 A	30,000,000	2016/09/15	2017/04/05	19.20
13235	BNP Paribas Arbit Issu B.V. - China Life Euro Wts May 2017 A	70,000,000	2016/09/15	2017/05/04	10.50
13238	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Dec 2018 A	60,000,000	2016/09/15	2018/12/04	15.00
13266	Credit Suisse AG - China Life European Put Wts Apr 2017 B	50,000,000	2016/09/15	2017/04/05	12.50
13269	Credit Suisse AG - GAC European Warrants Sep 2017 A	40,000,000	2016/09/15	2017/09/25	10.00
13268	Credit Suisse AG - GCL-Poly Energy European Wts Jun 2017 A	70,000,000	2016/09/15	2017/06/30	17.50
13265	J P Morgan SP BV - China Life European Warrants Dec 2017 A	100,000,000	2016/09/15	2017/12/29	25.10
13262	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2017 A	100,000,000	2016/09/15	2017/06/01	25.10
13260	J P Morgan SP BV - Sands China European Warrants Jul 2017 A	100,000,000	2016/09/15	2017/07/03	25.10
13257	Macquarie Bank Ltd. - Geely Auto European Wts Apr 2017 A	18,000,000	2016/09/15	2017/04/05	10.04
13254	SG Issuer - China Life European Warrants May 2017 B	150,000,000	2016/09/15	2017/05/05	22.50
13252	SG Issuer - China Life European Warrants Jul 2017 A	150,000,000	2016/09/15	2017/07/05	22.50
13255	SG Issuer - Tencent European Warrants Jul 2017 D	400,000,000	2016/09/15	2017/07/05	100.00
13300	BNP Paribas Arbit Issu B.V. - AIA European Wts Dec 2017 A	50,000,000	2016/09/19	2017/12/04	12.50
13304	BNP Paribas Arbit Issu B.V. - BOCL European Wts Dec 2017 B	50,000,000	2016/09/19	2017/12/04	12.50
13301	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Sep 2017 A	50,000,000	2016/09/19	2017/09/04	13.50
13297	BNP Paribas Arbit Issu B.V. - HKEX European Wts Mar 2017 B	30,000,000	2016/09/19	2017/03/02	19.20
13299	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2017 C	50,000,000	2016/09/19	2017/04/05	10.50
13306	BNP Paribas Arbit Issu B.V. - HS H-SHARE Euro Wts Sep 2017 A	40,000,000	2016/09/19	2017/09/04	12.40
13308	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2017 B	100,000,000	2016/09/19	2017/08/30	25.00
13310	BNP Paribas Arbit Issu B.V. - HSI European Wts Oct 2017 A	100,000,000	2016/09/19	2017/10/30	25.00
13305	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts Sep 2017 A	50,000,000	2016/09/19	2017/09/04	10.00
13351	Credit Suisse AG - CITIC Sec European Warrants Aug 2017 A	40,000,000	2016/09/19	2017/08/28	10.00

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13347	Credit Suisse AG - China Life European Warrants Sep 2017 A	80,000,000	2016/09/19	2017/09/08	20.00
13348	Credit Suisse AG - CPIC European Warrants Apr 2017 A	40,000,000	2016/09/19	2017/04/07	10.00
13353	Credit Suisse AG - HKEX European Warrants Mar 2017 D	60,000,000	2016/09/19	2017/03/31	81.00
13346	Credit Suisse AG - Haitong Sec European Warrants Aug 2017 A	50,000,000	2016/09/19	2017/08/31	10.50
13350	Credit Suisse AG - New World Dev European Wts Apr 2017 A	40,000,000	2016/09/19	2017/04/07	10.00
13345	Credit Suisse AG - Tencent Holdings European Wts Apr 2017 E	50,000,000	2016/09/19	2017/04/06	50.00
13344	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 C	100,000,000	2016/09/19	2017/09/05	25.00
13278	Goldman Sachs SP (Asia) - CC Bank European Wts Feb 2017 A	80,000,000	2016/09/19	2017/02/14	12.00
13274	Goldman Sachs SP (Asia) - HSBC European Put Wts Mar 2017 B	60,000,000	2016/09/19	2017/03/30	10.08
13291	HK Bank - China Life European Warrants Apr 2017 A	100,000,000	2016/09/19	2017/04/24	15.00
13292	HK Bank - HKEX European Put Warrants Feb 2017 C	100,000,000	2016/09/19	2017/02/24	15.00
13290	HK Bank - HSCEI European Warrants Mar 2017 C	180,000,000	2016/09/19	2017/03/30	45.00
13289	HK Bank - HSI European Warrants Apr 2017 A	180,000,000	2016/09/19	2017/04/27	45.00
13287	J P Morgan SP BV - China Life European Put Wts Jul 2017 B	100,000,000	2016/09/19	2017/07/04	25.00
13340	J P Morgan SP BV - China Tel European Warrants Mar 2017 A	100,000,000	2016/09/19	2017/03/02	15.20
13336	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2017 B	100,000,000	2016/09/19	2017/04/03	25.00
13334	J P Morgan SP BV - HKEX European Warrants Mar 2017 C	100,000,000	2016/09/19	2017/03/31	42.80
13332	J P Morgan SP BV - HKEX European Warrants Oct 2017 C	200,000,000	2016/09/19	2017/10/03	50.00
13285	J P Morgan SP BV - HKEX European Put Wts Jun 2017 A	200,000,000	2016/09/19	2017/06/01	50.40
13284	J P Morgan SP BV - HKEX European Put Wts Oct 2017 B	200,000,000	2016/09/19	2017/10/03	50.00
13339	J P Morgan SP BV - HSI European Warrants Apr 2017 D	300,000,000	2016/09/19	2017/04/27	75.30
13286	J P Morgan SP BV - HSI European Put Warrants Mar 2017 E	300,000,000	2016/09/19	2017/03/30	75.00
13288	J P Morgan SP BV - HSI European Put Warrants May 2017 C	300,000,000	2016/09/19	2017/05/29	75.30
13335	J P Morgan SP BV - Ping An European Put Warrants Jun 2017 B	100,000,000	2016/09/19	2017/06/02	30.00
13296	Macquarie Bank Ltd. - China Life European Wts Jun 2017 A	15,000,000	2016/09/19	2017/06/26	10.79
13293	Macquarie Bank Ltd. - CPIC European Warrants Aug 2017 A	35,000,000	2016/09/19	2017/08/02	10.68
13326	Macquarie Bank Ltd. - HKEX European Warrants May 2017 A	13,000,000	2016/09/19	2017/05/04	10.48
13322	Macquarie Bank Ltd. - HKEX European Warrants Oct 2017 B	40,000,000	2016/09/19	2017/10/04	10.00
13321	Macquarie Bank Ltd. - HKEX European Put Warrants Oct 2017 A	40,000,000	2016/09/19	2017/10/04	10.00
13328	SG Issuer - China Life European Warrants Dec 2017 A	150,000,000	2016/09/19	2017/12/05	37.50
13279	SG Issuer - China Life European Put Warrants Jul 2017 B	150,000,000	2016/09/19	2017/07/05	37.50
13329	SG Issuer - Galaxy Ent European Warrants May 2017 A	80,000,000	2016/09/19	2017/05/05	20.00
13281	SG Issuer - Galaxy Ent European Put Warrants Apr 2017 A	80,000,000	2016/09/19	2017/04/06	24.40
13311	SG Issuer - HKEX European Warrants Jul 2017 B	200,000,000	2016/09/19	2017/07/05	50.00
13312	SG Issuer - HKEX European Warrants Dec 2017 B	200,000,000	2016/09/19	2017/12/05	50.00
13282	SG Issuer - HKEX European Put Warrants Apr 2017 D	200,000,000	2016/09/19	2017/04/06	50.00
13331	SG Issuer - Sands China European Warrants Apr 2017 C	80,000,000	2016/09/19	2017/04/06	20.00
13283	SG Issuer - Sands China European Put Warrants Apr 2017 B	80,000,000	2016/09/19	2017/04/06	26.00
13319	UBS AG - AIA European Warrants Apr 2017 A	100,000,000	2016/09/19	2017/04/13	25.00
13317	UBS AG - China Mobile European Warrants Mar 2017 C	100,000,000	2016/09/19	2017/03/20	25.20
13313	UBS AG - Galaxy Ent European Warrants Apr 2017 A	70,000,000	2016/09/19	2017/04/24	17.57
13314	UBS AG - Galaxy Ent European Put Warrants Mar 2017 B	70,000,000	2016/09/19	2017/03/20	17.50
13318	UBS AG - HSI European Put Warrants Mar 2017 F	300,000,000	2016/09/19	2017/03/30	75.90
13315	UBS AG - Sands China European Warrants Mar 2017 A	70,000,000	2016/09/19	2017/03/27	17.78
13316	UBS AG - Sands China European Put Warrants Mar 2017 B	70,000,000	2016/09/19	2017/03/20	17.85

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13379	BNP Paribas Arbit Issu B.V. - HKEX European Wts Mar 2017 C	80,000,000	2016/09/20	2017/03/02	12.00
13357	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Feb 2017 A	80,000,000	2016/09/20	2017/02/22	12.00
13355	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2017 A	80,000,000	2016/09/20	2017/09/05	20.00
13354	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Aug 2017 A	60,000,000	2016/09/20	2017/08/28	10.02
13356	Goldman Sachs SP (Asia) - PetroChina European Wts Feb 2017 A	70,000,000	2016/09/20	2017/02/23	10.50
13382	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2017 E	150,000,000	2016/09/20	2017/01/24	22.50
13358	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2017 B	50,000,000	2016/09/20	2017/02/24	48.45
13366	Haitong Int'l Sec - BOCL European Warrants May 2017 A	45,000,000	2016/09/20	2017/05/17	10.80
13363	Haitong Int'l Sec - China Life European Warrants Apr 2017 A	65,000,000	2016/09/20	2017/04/24	10.40
13364	Haitong Int'l Sec - CM Bank European Warrants May 2017 A	50,000,000	2016/09/20	2017/05/29	11.00
13376	Haitong Int'l Sec - Minsheng Bank European Warrants May 19 A	40,000,000	2016/09/20	2019/05/24	10.00
13365	Haitong Int'l Sec - Galaxy Ent European Warrants Mar 2017 B	40,000,000	2016/09/20	2017/03/30	10.00
13360	Haitong Int'l Sec - HKEX European Warrants Jul 2017 A	40,000,000	2016/09/20	2017/07/25	10.00
13359	Haitong Int'l Sec - HSBC European Warrants Jan 2017 A	38,000,000	2016/09/20	2017/01/25	11.78
13367	Haitong Int'l Sec - Sands China European Warrants Jul 2017 A	40,000,000	2016/09/20	2017/07/28	10.00
13361	Haitong Int'l Sec - Tencent European Warrants Jun 2017 A	40,000,000	2016/09/20	2017/06/07	10.00
13362	Haitong Int'l Sec - Tencent European Warrants Nov 2017 A	40,000,000	2016/09/20	2017/11/07	10.00
13381	J P Morgan SP BV - HKEX European Warrants Aug 2017 A	200,000,000	2016/09/20	2017/08/02	50.00
13369	SG Issuer - China Life European Warrants Feb 2018 A	150,000,000	2016/09/20	2018/02/05	37.50
13372	SG Issuer - China Life European Warrants May 2018 A	150,000,000	2016/09/20	2018/05/04	37.50
13375	SG Issuer - HKEX European Warrants Aug 2017 A	200,000,000	2016/09/20	2017/08/03	50.00
13380	SG Issuer - HS H-SHARE European Warrants Apr 2017 B	50,000,000	2016/09/20	2017/04/06	26.50
13374	SG Issuer - HSI European Warrants Jun 2017 C	150,000,000	2016/09/20	2017/06/29	37.50
13385	J P Morgan SP BV - CITIC Sec European Warrants Apr 2017 A	100,000,000	2016/09/21	2017/04/28	15.00
13384	J P Morgan SP BV - Tencent European Put Warrants Oct 2017 B	200,000,000	2016/09/21	2017/10/31	50.00
13383	SG Issuer - HKEX European Warrants Apr 2017 E	200,000,000	2016/09/21	2017/04/06	50.00
13397	Goldman Sachs SP (Asia) - CM Bank European Wts May 2017 B	70,000,000	2016/09/22	2017/05/25	10.50
13390	Haitong Int'l Sec - China Mobile European Warrants Feb 2017B	55,000,000	2016/09/22	2017/02/27	10.29
13393	Haitong Int'l Sec - Chi Res Land European Warrants Mar 2017A	40,000,000	2016/09/22	2017/03/31	10.00
13386	Haitong Int'l Sec - Huaneng European Warrants Mar 2017 A	36,000,000	2016/09/22	2017/03/31	10.04
13392	Haitong Int'l Sec - Tencent European Warrants May 2017 B	60,000,000	2016/09/22	2017/05/25	10.08
13401	J P Morgan SP BV - China Cinda European Warrants Apr 2017 A	70,000,000	2016/09/22	2017/04/03	10.50
13400	J P Morgan SP BV - China Tel European Put Warrants Jul 2017A	100,000,000	2016/09/22	2017/07/03	27.30
13399	Macquarie Bank Ltd. - HSBC European Warrants May 2017 B	10,000,000	2016/09/22	2017/05/04	11.03
13398	Macquarie Bank Ltd. - Ping An European Warrants Aug 2017 A	10,000,000	2016/09/22	2017/08/02	10.45
13395	UBS AG - CC Bank European Warrants Feb 2017 B	100,000,000	2016/09/22	2017/02/02	36.50
13396	UBS AG - HSI European Put Warrants Apr 2017 A	300,000,000	2016/09/22	2017/04/27	51.00
13394	UBS AG - PetroChina European Warrants Feb 2017 A	50,000,000	2016/09/22	2017/02/24	10.15
13403	Goldman Sachs SP (Asia) - China Life Euro Wts Jun 2017 A	70,000,000	2016/09/23	2017/06/28	10.50
13402	Goldman Sachs SP (Asia) - A50 China European Wts Apr 2017 A	60,000,000	2016/09/23	2017/04/28	15.00
13404	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2017 D	200,000,000	2016/09/23	2017/03/30	30.00
13429	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 C	200,000,000	2016/09/23	2017/06/30	50.00
13415	HK Bank - China Life European Warrants Jun 2017 A	100,000,000	2016/09/23	2017/06/12	15.00
13406	HK Bank - HKEX European Warrants Mar 2017 A	40,000,000	2016/09/23	2017/03/31	36.00
13405	HK Bank - ICBC European Warrants Jul 2017 B	80,000,000	2016/09/23	2017/07/31	20.80

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13430	J P Morgan SP BV - Wynn Macau European Warrants Sep 2017 A	80,000,000	2016/09/23	2017/09/29	20.00
13408	Macquarie Bank Ltd. - China Cinda European Wts May 2017 A	30,000,000	2016/09/23	2017/05/04	10.02
13411	Macquarie Bank Ltd. - Cathay Pacific European Wts Mar 2018 A	40,000,000	2016/09/23	2018/03/02	10.00
13425	Macquarie Bank Ltd. - Fosun Intl European Warrants Mar 2018A	36,000,000	2016/09/23	2018/03/02	10.01
13426	Macquarie Bank Ltd. - MGM China European Warrants Oct 2017 A	40,000,000	2016/09/23	2017/10/06	10.00
13427	Macquarie Bank Ltd. - Sands China European Wts Jul 2017 A	40,000,000	2016/09/23	2017/07/04	10.04
13428	SG Issuer - CNOOC European Warrants Mar 2017 B	30,000,000	2016/09/23	2017/03/03	16.20
13421	UBS AG - AAC Acoustic European Warrants Oct 2017 A	70,000,000	2016/09/23	2017/10/30	17.50
13420	UBS AG - China Overseas European Warrants Mar 2017 A	70,000,000	2016/09/23	2017/03/28	10.57
13419	UBS AG - Sinopec Corp European Warrants Feb 2017 A	40,000,000	2016/09/23	2017/02/27	10.00
13170 #	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 B	100,000,000	2016/09/23	2017/07/05	18.50
13443	Credit Suisse AG - CNBM European Warrants Mar 2017 A	40,000,000	2016/09/26	2017/03/31	10.00
13439	Credit Suisse AG - HSBC European Wts Apr 2017 E	100,000,000	2016/09/26	2017/04/03	18.00
13436	Macquarie Bank Ltd. - CITIC Bank European Wts Jul 2017 A	30,000,000	2016/09/26	2017/07/04	10.50
13431	Macquarie Bank Ltd. - Kingsoft European Warrants Jun 2017 B	40,000,000	2016/09/26	2017/06/02	10.00
13435	Macquarie Bank Ltd. - PetroChina European Wts Apr 2017 C	22,000,000	2016/09/26	2017/04/05	10.03
13438	SG Issuer - Geely Auto European Warrants Apr 2017 A	14,000,000	2016/09/26	2017/04/06	10.22
13447	BOCI Asia Ltd. - Galaxy Ent European Warrants Apr 2017 A	100,000,000	2016/09/27	2017/04/25	25.00
13448	BOCI Asia Ltd. - Galaxy Ent European Put Warrants May 2017 A	100,000,000	2016/09/27	2017/05/10	27.50
13451	BOCI Asia Ltd. - HSBC European Warrants Apr 2017 C	200,000,000	2016/09/27	2017/04/12	30.00
13449	BOCI Asia Ltd. - Sands China European Warrants Apr 2017 A	100,000,000	2016/09/27	2017/04/24	25.00
13450	BOCI Asia Ltd. - Sands China European Put Wts May 2017 A	100,000,000	2016/09/27	2017/05/02	32.80
13444	Goldman Sachs SP (Asia) - Tencent European Wt Aug 2017 A	200,000,000	2016/09/27	2017/08/29	30.00
13455	Macquarie Bank Ltd. - Foxconn European Warrants Sep 2017 A	20,000,000	2016/09/27	2017/09/04	10.00
13459	Macquarie Bank Ltd. - Galaxy Ent European Wts Jun 2017 A	40,000,000	2016/09/27	2017/06/02	10.00
13457	Macquarie Bank Ltd. - HSBC European Warrants Oct 2017 A	10,000,000	2016/09/27	2017/10/04	14.50
13472	Macquarie Bank Ltd. - Tencent European Warrants Apr 2017 B	20,000,000	2016/09/27	2017/04/05	10.92
13452	SG Issuer - China Life European Warrants Apr 2017 B	150,000,000	2016/09/27	2017/04/06	37.50
13453	SG Issuer - Fosun Intl European Warrants Oct 2017 A	80,000,000	2016/09/27	2017/10/06	20.00
13454	SG Issuer - Kingsoft European Warrants May 2017 A	40,000,000	2016/09/27	2017/05/05	10.00
13461	SG Issuer - Wynn European Warrants Oct 2017 A	70,000,000	2016/09/27	2017/10/06	10.85
13463	UBS AG - HKEX European Warrants Mar 2017 C	300,000,000	2016/09/27	2017/03/01	47.10
13468	UBS AG - HSI European Warrants Apr 2017 B	300,000,000	2016/09/27	2017/04/27	45.00
13464	UBS AG - Link REIT European Warrants Mar 2017 A	50,000,000	2016/09/27	2017/03/27	13.65
13465	UBS AG - China Vanke European Warrants Mar 2017 A	100,000,000	2016/09/27	2017/03/28	17.70
13474	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Apr 2017 A	40,000,000	2016/09/28	2017/04/28	12.00
13473	Goldman Sachs SP (Asia) - HKEX European Warrants Jul 2017 A	200,000,000	2016/09/28	2017/07/18	30.00
13476	HK Bank - Galaxy Ent European Warrants Apr 2017 A	60,000,000	2016/09/28	2017/04/13	10.80
13477	HK Bank - Sands China European Warrants Mar 2017 C	60,000,000	2016/09/28	2017/03/31	15.00
13475	HK Bank - Tencent European Warrants May 2017 B	150,000,000	2016/09/28	2017/05/31	24.00
13487	J P Morgan SP BV - Kingsoft European Warrants Apr 2017 A	80,000,000	2016/09/28	2017/04/05	20.00
13489	J P Morgan SP BV - PetroChina European Warrants Mar 2017 A	100,000,000	2016/09/28	2017/03/01	19.60
13484	Macquarie Bank Ltd. - CMS European Warrants Jun 2018 A	20,000,000	2016/09/28	2018/06/19	10.00
13481	Macquarie Bank Ltd. - Haier Elec European Wts Sep 2017 A	38,000,000	2016/09/28	2017/09/18	10.03
13479	SG Issuer - China Res Land European Warrants Apr 2017 A	60,000,000	2016/09/28	2017/04/06	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
13490	UBS AG - Kingsoft European Warrants Jun 2017 A	100,000,000	2016/09/28	2017/06/19	25.00
13491	UBS AG - Wynn Macau European Warrants Sep 2017 A	50,000,000	2016/09/28	2017/09/25	12.50
13224 #	Credit Suisse AG - China Life European Warrants Apr 2017 A	120,000,000	2016/09/28	2017/04/28	16.56
13506	BOCI Asia Ltd. - China Mobile European Warrants Apr 2017 A	200,000,000	2016/09/29	2017/04/12	74.00
13501	BOCI Asia Ltd. - HKEX European Warrants Jul 2017 A	200,000,000	2016/09/29	2017/07/31	38.00
13502	BOCI Asia Ltd. - Tencent Holdings European Wts Aug 2017 A	200,000,000	2016/09/29	2017/08/30	40.00
13521	Credit Suisse AG - CKI Holdings European Warrants Apr 2017 A	40,000,000	2016/09/29	2017/04/06	14.00
13520	Credit Suisse AG - Geely Auto European Warrants Mar 2017 C	40,000,000	2016/09/29	2017/03/31	20.40
13526	Credit Suisse AG - Galaxy Ent European Warrants Apr 2017 A	50,000,000	2016/09/29	2017/04/05	12.50
13527	Credit Suisse AG - HKEX European Warrants Oct 2017 A	150,000,000	2016/09/29	2017/10/04	27.30
13536	Goldman Sachs SP (Asia) - Kingsoft European Wts Mar 2017 A	40,000,000	2016/09/29	2017/03/30	10.00
13535	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2017 F	40,000,000	2016/09/29	2017/01/24	21.28
13512	HK Bank - China Life European Warrants Aug 2017 A	100,000,000	2016/09/29	2017/08/29	15.00
13513	HK Bank - China Life European Warrants Jan 2018 A	100,000,000	2016/09/29	2018/01/29	15.00
13510	HK Bank - HKEX European Warrants Jul 2017 A	100,000,000	2016/09/29	2017/07/27	18.00
13509	HK Bank - HKEX European Warrants Sep 2017 B	100,000,000	2016/09/29	2017/09/26	16.00
13511	HK Bank - Kingsoft European Warrants Apr 2017 B	50,000,000	2016/09/29	2017/04/28	12.50
13532	J P Morgan SP BV - HSI European Put Warrants May 2017 D	300,000,000	2016/09/29	2017/05/29	75.30
13500	Macquarie Bank Ltd. - BOCL European Warrants May 2017 B	48,000,000	2016/09/29	2017/05/04	10.03
13498	Macquarie Bank Ltd. - CC Bank European Warrants Feb 2017 B	29,000,000	2016/09/29	2017/02/02	10.06
13514	Macquarie Bank Ltd. - Kingsoft European Warrants Apr 2017 B	40,000,000	2016/09/29	2017/04/05	10.00
13507	SG Issuer - China Life European Put Warrants May 2017 C	150,000,000	2016/09/29	2017/05/05	37.50
13518	SG Issuer - HSCEI European Warrants Mar 2017 C	150,000,000	2016/09/29	2017/03/30	24.00
13508	SG Issuer - HSCEI European Put Warrants Apr 2017 B	150,000,000	2016/09/29	2017/04/27	37.50
13519	SG Issuer - Kingsoft European Warrants Jul 2017 B	50,000,000	2016/09/29	2017/07/05	12.50
13533	UBS AG - China Life European Warrants Jun 2017 A	100,000,000	2016/09/29	2017/06/26	25.00
13534	UBS AG - CSOP A50 ETF European Warrants Jan 2017 B	70,000,000	2016/09/29	2017/01/25	10.50
13547	BNP Paribas Arbit Issu B.V. - Tencent European Wts Dec 2017 A	100,000,000	2016/09/30	2017/12/04	25.00
13553	Credit Suisse AG - Anhui Conch European Warrants May 2017 A	50,000,000	2016/09/30	2017/05/05	12.50
13551	Credit Suisse AG - China Life European Warrants Jun 2017 A	80,000,000	2016/09/30	2017/06/02	13.36
13554	Credit Suisse AG - Kingsoft European Warrants Mar 2017 B	50,000,000	2016/09/30	2017/03/29	12.50
13562	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2017 A	60,000,000	2016/09/30	2017/03/31	15.00
13565	Goldman Sachs SP (Asia) - Sands China Euro Wts Mar 2017 A	60,000,000	2016/09/30	2017/03/31	15.00
13543	HK Bank - BOCL European Warrants Aug 2017 A	80,000,000	2016/09/30	2017/08/29	12.80
13540	HK Bank - Galaxy Ent European Put Warrants Mar 2017 C	50,000,000	2016/09/30	2017/03/29	12.50
13571	J P Morgan SP BV - CGS European Warrants Dec 2018 A	150,000,000	2016/09/30	2018/12/31	37.50
13570	J P Morgan SP BV - Tencent European Warrants Sep 2017 D	200,000,000	2016/09/30	2017/09/29	50.20
13544	Macquarie Bank Ltd. - Tencent European Warrants Apr 2017 C	10,000,000	2016/09/30	2017/04/05	13.89
13545	Macquarie Bank Ltd. - Tencent European Warrants Apr 2017 D	30,000,000	2016/09/30	2017/04/05	10.02
13537	SG Issuer - China Life European Warrants Sep 2017 A	150,000,000	2016/09/30	2017/09/05	24.75
13549	SG Issuer - ChiUnicom European Warrants Apr 2017 A	16,000,000	2016/09/30	2017/04/06	10.08
13538	SG Issuer - KECL European Warrants May 2017 A	25,000,000	2016/09/30	2017/05/05	10.00
13550	SG Issuer - Tencent European Warrants Nov 2017 B	400,000,000	2016/09/30	2017/11/03	100.00
總 額					28,479.35

進一步發行

主 板 債 務 證 券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2015	第3季	–	5,208.52
	第4季	–	1,147.96
2016	第1季	–	2,120.63
	第2季	–	6,070.66
	第3季	–	6,333.92

債券面值

		發行數目	面值(百萬港元)
2015	第3季	736	2,750,133.27
	第4季	762	2,741,871.80
2016	第1季	769	2,777,917.26
	第2季	797	2,923,871.68
	第3季	841	3,089,402.31

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2015	第3季	37	141,193.57
	第4季	43	115,190.75
2016	第1季	26	89,996.61
	第2季	59	253,671.85
	第3季	51	191,245.48

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新上市債券

2016年第3季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
86637	中華人民共和國財政部2.90%人民幣債券2019年	RMB 7,000,000,000	2016/07/05	2019/07/04	8,157.80
86638	中華人民共和國財政部3.25%人民幣債券2021年	RMB 4,500,000,000	2016/07/05	2021/07/04	5,244.30
86639	中華人民共和國財政部3.30%人民幣債券2023年	RMB 1,000,000,000	2016/07/05	2023/07/04	1,165.40
86640	中華人民共和國財政部3.38%人民幣債券2026年	RMB 1,000,000,000	2016/07/05	2026/07/04	1,165.40
86641	中華人民共和國財政部3.90%人民幣債券2036年	RMB 500,000,000	2016/07/05	2036/07/04	582.70
04230 #	中華人民共和國香港特別行政區1.68%債券2026年	HKD 1,200,000,000	2016/07/08	2026/01/21	1,273.44
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 30,000,000	2016/07/08	2032/11/14	-
05681	China Development Bank Corporation浮息票據2019年	USD 600,000,000	2016/07/11	2019/07/08	4,680.00
85724	Bank of China Ltd, New York Branch 3.60%人民幣票據2018年	RMB 1,500,000,000	2016/07/13	2018/07/12	1,738.35
05682	Caiyun International Investment Ltd. 3.125%有擔保債券2019年	USD 500,000,000	2016/07/13	2019/07/12	3,883.86
05683	Xiangyu Investment (BVI) Co., Ltd. 4.75%有擔保債券2019年	USD 300,000,000	2016/07/15	2019/07/14	2,317.49
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/07/18	2032/11/14	-
05684	深圳高速公路股份有限公司2.875%債券2021年	USD 300,000,000	2016/07/19	2021/07/18	2,327.36
05687	RH International Finance Ltd. 3.875%有擔保票據2021年	USD 350,000,000	2016/07/21	2021/07/20	2,720.80
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 50,000,000	2016/07/21	2032/11/14	-
05685	The Link Finance (Cayman) 2009 Ltd. 2.875%票據2026年	USD 500,000,000	2016/07/22	2026/07/21	3,865.80
05686	中國工商銀行(亞洲)有限公司4.25%非累積次級額外 一級資本證券	USD 1,000,000,000	2016/07/22	-	7,800.00
05690	昆侖能源有限公司1.625%美元結算可交換債券2019年	RMB 3,350,000,000	2016/07/26	2019/07/25	3,891.03
05688	MINMETALS BOUNTEOUS FINANCE (BVI) LTD 3.125% 有擔保債券2021	USD 300,000,000	2016/07/28	2021/07/27	2,332.07
05689	MINMETALS BOUNTEOUS FINANCE (BVI) LTD 4.20% 有擔保債券2026	USD 700,000,000	2016/07/28	2026/07/27	5,440.56
05693	香港鐵路有限公司2.875%定息票據2046年	USD 30,000,000	2016/07/28	2046/07/27	234.00
05691	綠地香港控股有限公司3.875%票據2019年	USD 450,000,000	2016/07/29	2019/07/28	3,485.47
05692	中鐵迅捷有限公司3.25%有擔保票據2026年	USD 500,000,000	2016/07/29	2026/07/28	3,883.86
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 20,000,000	2016/08/01	2032/11/14	-
05626 #	天譽置業(控股)有限公司0.10%債券2025年	HKD 10,000,000	2016/08/01	2025/09/12	-
05694	CDBI Treasure I Ltd. 2.25%有擔保票據2021年	USD 500,000,000	2016/08/03	2021/08/02	3,890.29
05606 #	Blue Sky Fliers Co. Ltd. 6.90%有擔保票據2019年	USD 250,000,000	2016/08/05	2019/01/20	2,005.21
05695	RKI Overseas Finance 2016 (A) Ltd. 5.00%有擔保優先 票據2019年	USD 450,000,000	2016/08/10	2019/08/09	3,510.00
05697	Silver Sparkle Ltd. 7.875%有擔保優先票據2019年	USD 150,000,000	2016/08/11	2019/08/10	1,158.55
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 40,000,000	2016/08/11	2032/11/14	-
05696	陽光100中國控股有限公司6.50%可換股債券2021年	USD 200,000,000	2016/08/12	2021/08/11	1,560.00
05698	Bank of Communications Co., Ltd. HK Branch浮息票據2019年	USD 550,000,000	2016/08/17	2019/08/16	4,290.00
05699	重慶市南岸區城市建設發展(集團)有限公司4.50%債券2026年	USD 200,000,000	2016/08/18	2026/08/17	1,523.17
04065	香港金融管理局0.50%外匯基金票據2018年	HKD 1,200,000,000	2016/08/19	2018/08/20	1,201.56
04305	渣打集團有限公司永久後償或有可轉換證券	USD 2,000,000,000	2016/08/19	-	15,600.00
04300	CALC Bond 2 Ltd. 4.90%有擔保債券2021	USD 300,000,000	2016/08/23	2021/08/22	2,340.00
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD 50,000,000	2016/08/23	2032/11/14	-
05626 #	天譽置業(控股)有限公司0.10%債券2025年	HKD 10,000,000	2016/08/23	2025/09/12	-
04301	Chinalco Capital Holdings Ltd. 4.00%有擔保債券2021	USD 800,000,000	2016/08/26	2021/08/25	6,240.00

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股份代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
04304	Charming Light Investments Ltd. 2.375%票據2021	USD	650,000,000	2016/08/31	2021/08/30	5,031.87
04308	Greenland Global Investment Ltd. 3.50%票據2019年	USD	300,000,000	2016/09/07	2019/09/06	2,333.40
04309	RKI Overseas Finance 2016 (B) Ltd. 4.70%有擔保優先票據2021年	USD	500,000,000	2016/09/07	2021/09/06	3,900.00
04313	Mulpha SPV Ltd. 6.00%票據2019年	USD	90,000,000	2016/09/08	2019/09/06	702.00
04314	中國中信股份有限公司3.98%票據2031年	USD	200,000,000	2016/09/08	2031/09/07	1,556.51
04317	中國中信股份有限公司4.485%票據2046年	USD	250,000,000	2016/09/08	2046/09/07	1,945.20
04310	遠東發展有限公司3.75%票據2021年	USD	300,000,000	2016/09/09	2021/09/08	2,340.00
04227 #	中華人民共和國香港特別行政區2.13%債券2030年	HKD	600,000,000	2016/09/09	2030/07/16	689.82
05603 #	ZHIYUAN Group (BVI) Co., Ltd. 6.20%有擔保債券2019年	USD	100,000,000	2016/09/14	2019/01/11	810.25
04321	Huarong Finance II Co Ltd. 非次級擔保永久證券	USD	500,000,000	2016/09/15	-	3,900.00
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	30,000,000	2016/09/15	2032/11/14	-
04324	渣打集團有限公司0.523%定息債券2021年	JPY	45,000,000,000	2016/09/19	2021/09/15	3,402.00
04329	佳源國際控股有限公司9.75%優先有抵押票據2018年	USD	100,000,000	2016/09/19	2018/09/15	780.00
04500	China Everbright Bank Co. Ltd., HK Branch 2.00%票據2019年	USD	500,000,000	2016/09/19	2019/09/15	3,891.66
04508	巨星國際控股有限公司6.90%優先票據2021年	USD	200,000,000	2016/09/19	2021/09/15	1,560.00
05626 #	天譽置業(控股)有限公司0.10%債券2025年	HKD	10,000,000	2016/09/19	2025/09/12	-
04322	合眾人壽保險股份有限公司3.00%票據2021年	USD	500,000,000	2016/09/20	2021/09/19	3,837.99
04326	英皇集團(國際)有限公司4.00%票據2021年	USD	200,000,000	2016/09/20	2021/09/19	1,560.00
04509	Industrial Bank Co., Ltd. Hong Kong Branch 2.00%票據2019年	USD	700,000,000	2016/09/22	2019/09/21	5,453.18
04517	Industrial Bank Co., Ltd. Hong Kong Branch 2.375%票據2021年	USD	300,000,000	2016/09/22	2021/09/21	2,331.67
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	50,000,000	2016/09/23	2032/11/14	-
05602 #	天譽置業(控股)有限公司0.10%債券2032年	HKD	50,000,000	2016/09/29	2032/11/14	-
04521	Sinopec Gp Overseas Gp Dev (2016) Ltd. 1.75%優先票據2019年	USD	1,100,000,000	2016/09/30	2019/09/29	8,546.63
04524	Sinopec Gp Overseas Gp Dev (2016) Ltd. 2.00%優先票據2021年	USD	1,300,000,000	2016/09/30	2021/09/29	10,085.45
04525	Sinopec Gp Overseas Gp Dev (2016) Ltd 2.75%優先票據2026年	USD	600,000,000	2016/09/30	2026/09/29	4,632.68
04538	Haichuan Int'l Investment Co., Ltd. 5.35%有擔保債券2019年	USD	200,000,000	2016/09/30	2019/09/29	1,560.00
04540	ICBCIL Finance Co. Ltd. 2.125%票據2019年	USD	700,000,000	2016/09/30	2019/09/29	5,443.29
04545	ICBCIL Finance Co. Ltd. 2.50%票據2021年	USD	700,000,000	2016/09/30	2021/09/29	5,443.46
總額						191,245.48

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2015	第3季	568,942.55	-	22,063.78	92.32	591,098.64	9.32
	第4季	287,309.24	-	14,162.34	88.40	301,559.98	6.72
2016	第1季	304,713.11	-	21,668.39	40.27	326,421.77	7.66
	第2季	237,211.91	65.38	18,190.19	20.96	255,488.43	6.64
	第3季	269,308.99	153.00	25,916.93	23.08	295,402.00	6.92

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) 發行數目	槓桿及 反向產品 (L&I Products) 發行數目	房地產投資 信託基金 (REITs) 發行數目	其他 發行數目	合計 發行數目
		(百萬港元)	(百萬港元)	(百萬港元)	(百萬港元)	(百萬港元)
2015	第3季	131 483,672.20	-	11 187,754.18	1 1,739.34	143 673,165.72
	第4季	133 477,142.97	-	11 199,652.72	1 2,236.60	145 679,032.29
2016	第1季	137 535,139.73	-	11 199,170.18	1 1,342.80	149 735,652.71
	第2季	143 589,881.25	4 111.01	11 219,952.15	1 1,401.55	159 811,345.96
	第3季	138 627,842.20	8 434.09	11 235,115.93	1 1,188.38	158 864,580.60

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2015	第3季	1	-
	第4季	4	-
2016	第1季	5	-
	第2季	16	-
	第3季	4	-

主 板 單 位 信 託 及 互 惠 基 金

新上市單位信託及互惠基金

2016 年 第 3 季

股份代號	單位信託及互惠基金	基金經理	上市時之		集資金額		上市日期
			發行價(港元)	發行單位	(百萬港元)	認購倍數	
07202	南方東英Nifty 50每日槓桿(2x)產品	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2016/07/20
07335	南方東英Nifty 50每日反向(-1x)產品	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2016/07/20
07261	華夏Direxion納斯達克100指數每日槓桿(2x)產品	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/09/28
07331	華夏Direxion納斯達克100指數每日反向(-1x)產品	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/09/28

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2015	第3季	1,871	104,265.78	520,050.32
	第4季	1,630	56,424.40	503,357.75
2016	第1季	1,613	48,512.71	379,212.60
	第2季	1,547	44,132.86	346,430.63
	第3季	2,105	74,015.13	357,151.89

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2015	第3季	3,799	161,333.51
	第4季	2,061	87,034.75
2016	第1季	2,421	94,755.60
	第2季	1,985	84,611.84
	第3季	2,489	105,390.41

主板 可收回牛熊證

新上市牛熊證

2016年第3季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60067	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 A	250,000,000	2016/07/04	2017/09/28	62.50
60183	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 B	250,000,000	2016/07/04	2017/09/28	62.50
60186	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 C	250,000,000	2016/07/04	2017/09/28	62.50
69991	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 A	250,000,000	2016/07/04	2017/10/30	62.50
60070	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 B	250,000,000	2016/07/04	2017/10/30	62.50
60185	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 C	250,000,000	2016/07/04	2017/10/30	62.50
60187	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 D	250,000,000	2016/07/04	2017/10/30	62.50
69985	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 T	250,000,000	2016/07/04	2017/02/27	62.50
60078	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Y	250,000,000	2016/07/04	2017/02/27	62.50
60079	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/07/04	2017/03/30	62.50
60074	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 R	250,000,000	2016/07/04	2017/03/30	62.50
69984	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/07/04	2017/03/30	62.50
60080	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Y	250,000,000	2016/07/04	2017/03/30	62.50
60081	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/07/04	2017/03/30	62.50
60092	Credit Suisse AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/07/04	2017/11/29	50.00
60094	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/07/04	2017/11/29	50.00
60095	Credit Suisse AG - HSI R Bull CBBC Nov 2017 M	200,000,000	2016/07/04	2017/11/29	50.00
60096	Credit Suisse AG - HSI R Bull CBBC Nov 2017 N	200,000,000	2016/07/04	2017/11/29	50.00
60098	Credit Suisse AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/07/04	2017/11/29	50.00
60131	Credit Suisse AG - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/04	2016/10/28	50.00
60145	Credit Suisse AG - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/04	2016/10/28	50.00
60124	Credit Suisse AG - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/04	2016/10/28	50.00
60125	Credit Suisse AG - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/04	2016/10/28	50.00
60137	Credit Suisse AG - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/07/04	2016/11/29	50.00
60008	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/07/04	2017/09/28	50.60
69996	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/07/04	2017/10/30	50.60
69995	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/07/04	2017/11/29	50.20
60083	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/07/04	2016/10/28	50.40
60089	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/07/04	2016/10/28	50.20
60091	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/04	2016/10/28	50.20
60082	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/07/04	2016/10/28	50.40
60238	J P Morgan SP BV - Galaxy Ent R Bear CBBC Jun 2017 A	100,000,000	2016/07/04	2017/06/16	25.00
60224	J P Morgan SP BV - HSBC R Bear CBBC Feb 2017 B	100,000,000	2016/07/04	2017/02/17	25.00
60206	J P Morgan SP BV - HSI R Bull CBBC Aug 2017 X	200,000,000	2016/07/04	2017/08/30	50.00
60211	J P Morgan SP BV - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/07/04	2017/09/28	50.00
60194	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/07/04	2017/10/30	50.00
60195	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 M	200,000,000	2016/07/04	2017/11/29	50.00
60220	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/07/04	2017/12/28	50.00
60212	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/04	2016/10/28	50.00
60215	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/07/04	2016/10/28	50.00
60217	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/07/04	2016/11/29	50.00
60218	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/07/04	2016/11/29	50.00
60219	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/07/04	2016/12/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60246	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 E	40,000,000	2016/07/04	2017/03/30	10.00
60058	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 F	40,000,000	2016/07/04	2017/02/27	10.60
60063	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 G	40,000,000	2016/07/04	2017/02/27	10.40
60065	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 H	40,000,000	2016/07/04	2017/02/27	10.16
60066	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 B	40,000,000	2016/07/04	2017/03/30	10.00
60010	SG Issuer - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/07/04	2017/10/30	50.00
60020	SG Issuer - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/07/04	2017/10/30	50.00
60009	SG Issuer - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/07/04	2017/11/29	50.00
60016	SG Issuer - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/07/04	2017/11/29	50.00
60017	SG Issuer - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/07/04	2017/11/29	50.00
60019	SG Issuer - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/07/04	2017/11/29	50.00
60025	SG Issuer - HSI R Bull CBBC Nov 2017 M	200,000,000	2016/07/04	2017/11/29	50.00
60033	SG Issuer - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/04	2016/10/28	50.00
60052	SG Issuer - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/07/04	2016/10/28	50.00
60040	SG Issuer - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/07/04	2016/11/29	50.00
60041	SG Issuer - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/07/04	2016/11/29	50.00
60043	SG Issuer - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/07/04	2016/11/29	50.00
60050	SG Issuer - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/07/04	2016/11/29	50.00
60174	UBS AG - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/07/04	2017/10/30	50.00
60155	UBS AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/07/04	2017/11/29	50.00
60171	UBS AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/07/04	2017/11/29	50.00
60173	UBS AG - HSI R Bull CBBC Nov 2017 T	200,000,000	2016/07/04	2017/11/29	50.00
60151	UBS AG - HSI R Bull CBBC Dec 2017 E	200,000,000	2016/07/04	2017/12/28	50.00
60176	UBS AG - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/07/04	2016/10/28	50.00
60178	UBS AG - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/07/04	2016/10/28	50.00
60181	UBS AG - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/07/04	2016/10/28	50.00
60180	UBS AG - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/07/04	2017/01/26	50.00
60182	UBS AG - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/07/04	2017/02/27	50.00
60353	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 S	250,000,000	2016/07/05	2017/02/27	62.50
60354	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Z	250,000,000	2016/07/05	2017/02/27	62.50
60363	Credit Suisse AG - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/07/05	2017/10/30	50.00
60364	Credit Suisse AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/07/05	2017/10/30	50.00
60372	Credit Suisse AG - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/07/05	2017/10/30	50.00
60383	Credit Suisse AG - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/05	2016/10/28	50.00
60375	Credit Suisse AG - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/07/05	2016/11/29	50.00
60380	Credit Suisse AG - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/07/05	2016/11/29	50.00
60385	Credit Suisse AG - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/07/05	2016/11/29	50.00
60282	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 M	200,000,000	2016/07/05	2017/09/28	50.40
60280	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/07/05	2017/11/29	50.20
60284	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/07/05	2017/11/29	50.20
60340	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/05	2016/10/28	50.20
60350	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/05	2016/10/28	50.40
60352	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/05	2016/10/28	50.60
60299	HK Bank - HSCEI R Bear CBBC Feb 2017 D	50,000,000	2016/07/05	2017/02/27	12.50
60286	HK Bank - HSI R Bull CBBC Jun 2017 C	150,000,000	2016/07/05	2017/06/29	37.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60285	HK Bank - HSI R Bull CBBC Jul 2017 C	150,000,000	2016/07/05	2017/07/28	37.50
60295	HK Bank - HSI R Bear CBBC Feb 2017 K	150,000,000	2016/07/05	2017/02/27	37.50
60291	HK Bank - HSI R Bear CBBC Mar 2017 H	150,000,000	2016/07/05	2017/03/30	37.50
60296	HK Bank - HSI R Bear CBBC Mar 2017 I	150,000,000	2016/07/05	2017/03/30	37.50
60313	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/07/05	2017/10/30	50.00
60301	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 A	200,000,000	2016/07/05	2017/12/28	50.00
60305	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 B	200,000,000	2016/07/05	2017/12/28	50.00
60310	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/07/05	2017/12/28	50.20
60319	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/07/05	2016/10/28	50.00
60325	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/05	2016/10/28	50.80
60314	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 A	200,000,000	2016/07/05	2016/11/29	50.40
60318	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/07/05	2016/11/29	51.40
60443	J P Morgan SP BV - CKH R Bull CBBC Jun 2017 D	40,000,000	2016/07/05	2017/06/16	10.00
60435	J P Morgan SP BV - HKEX R Bull CBBC Jun 2017 D	40,000,000	2016/07/05	2017/06/16	10.00
60437	J P Morgan SP BV - HKEX R Bull CBBC Jul 2017 B	40,000,000	2016/07/05	2017/07/21	10.00
60445	J P Morgan SP BV - HSBC R Bull CBBC Jun 2017 E	100,000,000	2016/07/05	2017/06/16	25.00
60419	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/07/05	2017/10/30	50.00
60441	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 N	200,000,000	2016/07/05	2017/11/29	50.00
60442	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/07/05	2017/12/28	50.00
60423	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/07/05	2016/10/28	50.00
60426	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/05	2016/10/28	50.00
60434	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/07/05	2016/10/28	50.00
60433	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/07/05	2016/11/29	50.00
60438	J P Morgan SP BV - Tencent R Bull CBBC Jun 2017 D	60,000,000	2016/07/05	2017/06/16	15.00
60261	SG Issuer - HSI R Bull CBBC Nov 2017 N	200,000,000	2016/07/05	2017/11/29	50.00
60264	SG Issuer - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/07/05	2017/11/29	50.00
60278	SG Issuer - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/07/05	2017/11/29	50.00
60356	SG Issuer - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/07/05	2016/11/29	50.00
60400	UBS AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/07/05	2017/09/28	50.00
60402	UBS AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/07/05	2017/09/28	50.00
60386	UBS AG - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/07/05	2017/10/30	50.00
60392	UBS AG - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/07/05	2017/12/28	50.00
60407	UBS AG - HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/07/05	2016/10/28	50.00
60408	UBS AG - HSI R Bear CBBC Oct 2016 R	200,000,000	2016/07/05	2016/10/28	50.00
60415	UBS AG - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/07/05	2016/10/28	50.00
60418	UBS AG - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/05	2016/10/28	50.00
60409	UBS AG - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/07/05	2016/11/29	50.00
60529	Credit Suisse AG - HSI R Bull CBBC Sep 2017 M	200,000,000	2016/07/06	2017/09/28	50.00
60540	Credit Suisse AG - HSI R Bull CBBC Sep 2017 N	200,000,000	2016/07/06	2017/09/28	50.00
60543	Credit Suisse AG - HSI R Bull CBBC Sep 2017 O	200,000,000	2016/07/06	2017/09/28	50.00
60552	Credit Suisse AG - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/06	2016/10/28	50.00
60546	Credit Suisse AG - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/07/06	2016/11/29	50.00
60553	Credit Suisse AG - HSI R Bear CBBC Nov 2016 N	200,000,000	2016/07/06	2016/11/29	50.00
60547	Credit Suisse AG - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/07/06	2016/12/29	50.00
60479	Bank of East Asia - CKH R Bull CBBC Jan 2018 A	40,000,000	2016/07/06	2018/01/11	10.00

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60486	Bank of East Asia - Galaxy Ent R Bear CBBC Mar 2017 A	60,000,000	2016/07/06	2017/03/13	15.00
60478	Bank of East Asia - Lenovo R Bull CBBC Sep 2018 A	60,000,000	2016/07/06	2018/09/03	15.00
60579	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/07/06	2017/11/29	50.60
60580	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 A	200,000,000	2016/07/06	2017/12/28	50.20
60583	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/06	2016/10/28	50.80
60455	HK Bank - CKH R Bull CBBC Jul 2017 B	40,000,000	2016/07/06	2017/07/17	10.00
60457	HK Bank - CKH R Bull CBBC Oct 2017 A	40,000,000	2016/07/06	2017/10/31	10.00
60462	HK Bank - Galaxy Ent R Bear CBBC May 2017 A	40,000,000	2016/07/06	2017/05/29	10.00
60465	HK Bank - HSI R Bear CBBC Dec 2016 Q	150,000,000	2016/07/06	2016/12/29	37.50
60463	HK Bank - Sands China R Bear CBBC Apr 2017 D	40,000,000	2016/07/06	2017/04/28	10.00
60467	HK Bank - Tencent R Bull CBBC Jun 2017 D	50,000,000	2016/07/06	2017/06/30	12.50
60454	HK Bank - Tencent R Bull CBBC Aug 2017 A	50,000,000	2016/07/06	2017/08/31	12.50
60468	HK Bank - Tencent R Bull CBBC Aug 2017 B	50,000,000	2016/07/06	2017/08/28	12.50
60572	J P Morgan SP BV - CKH R Bull CBBC Jul 2017 B	40,000,000	2016/07/06	2017/07/21	10.00
60569	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 B	100,000,000	2016/07/06	2017/11/17	25.00
60563	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/07/06	2017/11/29	50.00
60557	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 K	200,000,000	2016/07/06	2017/12/28	50.00
60561	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/07/06	2016/10/28	50.00
60562	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/07/06	2016/12/29	50.00
60565	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 E	60,000,000	2016/07/06	2017/07/21	15.00
60564	J P Morgan SP BV - Tencent R Bear CBBC Apr 2017 C	60,000,000	2016/07/06	2017/04/21	15.00
60488	SG Issuer - CKH R Bull CBBC Dec 2017 A	100,000,000	2016/07/06	2017/12/28	25.00
60489	SG Issuer - CKH R Bull CBBC Dec 2017 B	100,000,000	2016/07/06	2017/12/28	25.00
60497	SG Issuer - CKH R Bear CBBC Mar 2017 A	100,000,000	2016/07/06	2017/03/30	25.00
60471	SG Issuer - CKP R Bull CBBC Oct 2017 A	100,000,000	2016/07/06	2017/10/30	25.00
60470	SG Issuer - CKP R Bull CBBC Dec 2017 A	100,000,000	2016/07/06	2017/12/28	25.00
60476	SG Issuer - HSBC R Bull CBBC Sep 2018 A	100,000,000	2016/07/06	2018/09/27	25.00
60472	SG Issuer - HSBC R Bull CBBC Nov 2018 A	100,000,000	2016/07/06	2018/11/29	25.00
60477	SG Issuer - HSBC R Bear CBBC Jan 2017 A	100,000,000	2016/07/06	2017/01/26	25.00
60446	SG Issuer - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/07/06	2017/10/30	50.00
60452	SG Issuer - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/07/06	2017/10/30	50.00
60453	SG Issuer - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/07/06	2017/10/30	50.00
60502	SG Issuer - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/06	2016/10/28	50.00
60500	SG Issuer - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/07/06	2016/11/29	50.00
60501	SG Issuer - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/07/06	2016/11/29	50.00
60503	SG Issuer - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/07/06	2016/11/29	50.00
60525	UBS AG - HSBC R Bull CBBC Jun 2017 B	100,000,000	2016/07/06	2017/06/12	25.00
60526	UBS AG - HSBC R Bull CBBC Jun 2017 C	100,000,000	2016/07/06	2017/06/26	25.00
60504	UBS AG - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/07/06	2017/10/30	50.00
60507	UBS AG - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/07/06	2017/12/28	50.00
60520	UBS AG - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/07/06	2016/10/28	50.00
60523	UBS AG - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/07/06	2016/10/28	50.00
60522	UBS AG - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/07/06	2016/11/29	50.00
60516	UBS AG - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/07/06	2017/01/26	50.00
69847#	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 C	157,000,000	2016/07/06	2017/03/30	10.05

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60639	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 D	250,000,000	2016/07/07	2017/09/28	62.50
60628	Credit Suisse AG - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/07/07	2017/09/28	50.00
60637	Credit Suisse AG - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/07/07	2017/09/28	50.00
60612	Credit Suisse AG - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/07/07	2016/10/28	50.00
60620	Credit Suisse AG - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/07/07	2016/11/29	50.00
60694	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/07/07	2017/11/29	50.40
60696	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/07	2016/10/28	50.40
60665	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 D	40,000,000	2016/07/07	2017/09/15	10.00
60673	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/07/07	2017/11/29	50.00
60693	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 L	200,000,000	2016/07/07	2017/12/28	50.00
60664	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/07/07	2016/12/29	50.00
60670	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/07/07	2016/12/29	50.00
60669	J P Morgan SP BV - Ping An R Bull CBBC Apr 2017 D	100,000,000	2016/07/07	2017/04/21	25.00
60640	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 F	40,000,000	2016/07/07	2017/03/30	10.00
60585	SG Issuer - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/07/07	2017/11/29	50.00
60587	SG Issuer - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/07/07	2017/11/29	50.00
60602	SG Issuer - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/07/07	2016/10/28	50.00
60609	SG Issuer - HSI R Bear CBBC Nov 2016 N	200,000,000	2016/07/07	2016/11/29	50.00
60610	SG Issuer - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/07/07	2016/11/29	50.00
60660	UBS AG - HSI R Bull CBBC Sep 2017 C	200,000,000	2016/07/07	2017/09/28	50.00
60648	UBS AG - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/07/07	2017/10/30	50.00
60663	UBS AG - HSI R Bull CBBC Nov 2017 U	200,000,000	2016/07/07	2017/11/29	50.00
60646	UBS AG - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/07	2016/10/28	50.00
60644	UBS AG - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/07/07	2017/02/27	50.00
60758	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 E	250,000,000	2016/07/08	2017/09/28	62.50
60761	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 F	250,000,000	2016/07/08	2017/09/28	62.50
60760	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 E	250,000,000	2016/07/08	2017/10/30	62.50
60700	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/07/08	2017/02/27	62.50
60710	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 R	250,000,000	2016/07/08	2017/02/27	62.50
60708	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 A	250,000,000	2016/07/08	2017/03/30	62.50
60787	Credit Suisse AG - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/07/08	2017/10/30	50.00
60789	Credit Suisse AG - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/07/08	2017/11/29	50.00
60791	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/07/08	2017/11/29	50.00
60795	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/07/08	2017/11/29	50.00
60780	Credit Suisse AG - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/07/08	2016/10/28	50.00
60784	Credit Suisse AG - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/07/08	2016/10/28	50.00
60781	Credit Suisse AG - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/07/08	2016/11/29	50.00
60762	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/07/08	2017/11/29	50.20
60765	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/07/08	2017/11/29	50.60
60712	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/08	2016/10/28	50.60
60731	HK Bank - HKEX R Bull CBBC May 2017 A	50,000,000	2016/07/08	2017/05/31	12.50
60732	HK Bank - HKEX R Bull CBBC May 2017 B	50,000,000	2016/07/08	2017/05/24	12.50
60745	HK Bank - HSI R Bull CBBC Jul 2017 D	150,000,000	2016/07/08	2017/07/28	37.50
60740	HK Bank - HSI R Bull CBBC Aug 2017 C	150,000,000	2016/07/08	2017/08/30	37.50
60738	HK Bank - HSI R Bull CBBC Sep 2017 A	150,000,000	2016/07/08	2017/09/28	37.50

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60715	Haitong Int'l Sec - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/07/08	2017/09/28	50.80
60716	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/07/08	2017/10/30	50.80
60717	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/07/08	2017/10/30	50.40
60719	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/07/08	2017/10/30	50.00
60721	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/07/08	2017/10/30	50.40
60722	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/08	2016/10/28	50.40
60728	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/08	2016/10/28	50.40
60838	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2017 A	100,000,000	2016/07/08	2017/07/21	25.00
60834	J P Morgan SP BV - HSBC R Bull CBBC Jul 2017 B	100,000,000	2016/07/08	2017/07/21	25.00
60827	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/07/08	2017/10/30	50.00
60840	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/07/08	2017/10/30	50.00
60830	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/07/08	2017/11/29	50.00
60833	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 M	200,000,000	2016/07/08	2017/12/28	50.00
60821	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/08	2016/10/28	50.00
60820	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/07/08	2016/10/28	50.00
60799	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 G	40,000,000	2016/07/08	2017/03/30	10.00
60804	Macquarie Bank Ltd. - HSI R Bear CBBC Feb 2017 I	40,000,000	2016/07/08	2017/02/27	10.20
60801	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 C	40,000,000	2016/07/08	2017/03/30	10.00
60768	SG Issuer - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/07/08	2017/10/30	50.00
60774	SG Issuer - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/07/08	2017/10/30	50.00
60775	SG Issuer - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/07/08	2017/10/30	50.00
60777	SG Issuer - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/07/08	2016/11/29	50.00
60817	UBS AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/07/08	2017/09/28	50.00
60815	UBS AG - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/07/08	2017/10/30	50.00
60816	UBS AG - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/07/08	2017/11/29	50.00
60814	UBS AG - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/07/08	2017/12/28	50.00
60805	UBS AG - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/07/08	2016/10/28	50.00
60808	UBS AG - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/07/08	2016/10/28	50.00
60806	UBS AG - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/07/08	2017/01/26	50.00
60950	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 G	250,000,000	2016/07/11	2017/09/28	62.50
60946	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 F	250,000,000	2016/07/11	2017/10/30	62.50
60953	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 G	250,000,000	2016/07/11	2017/10/30	62.50
60842	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/07/11	2017/02/27	62.50
60841	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 O	250,000,000	2016/07/11	2017/03/30	62.50
60844	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 P	250,000,000	2016/07/11	2017/03/30	62.50
60982	Credit Suisse AG - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/07/11	2017/10/30	50.00
60983	Credit Suisse AG - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/07/11	2017/10/30	50.00
60987	Credit Suisse AG - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/07/11	2017/10/30	50.00
60992	Credit Suisse AG - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/07/11	2017/10/30	50.00
60978	Credit Suisse AG - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/07/11	2016/10/28	50.00
60973	Credit Suisse AG - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/07/11	2016/11/29	50.00
60956	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/07/11	2017/10/30	50.20
60959	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/07/11	2017/10/30	50.60
60954	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/07/11	2016/10/28	50.80
60955	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 A	200,000,000	2016/07/11	2016/11/29	50.80

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60906	HK Bank - AIA R Bull CBBC Jun 2017 C	40,000,000	2016/07/11	2017/06/30	18.00
60905	HK Bank - CC Bank R Bull CBBC Jul 2017 A	50,000,000	2016/07/11	2017/07/31	12.50
60913	HK Bank - HSI R Bull CBBC Jun 2017 D	150,000,000	2016/07/11	2017/06/29	37.50
60907	HK Bank - HSI R Bull CBBC Jul 2017 E	150,000,000	2016/07/11	2017/07/28	37.50
60904	HK Bank - Tencent R Bull CBBC Jul 2017 D	50,000,000	2016/07/11	2017/07/24	12.50
61014	J P Morgan SP BV - A50 China R Bull CBBC Aug 2017 A	100,000,000	2016/07/11	2017/08/18	25.00
61000	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/07/11	2017/10/30	50.00
61003	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/07/11	2017/11/29	50.00
61005	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/07/11	2017/12/28	50.00
60993	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/11	2016/10/28	50.00
60997	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/11	2016/10/28	50.00
61011	J P Morgan SP BV - Tencent R Bull CBBC Apr 2017 C	60,000,000	2016/07/11	2017/04/21	15.00
60921	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 H	40,000,000	2016/07/11	2017/03/30	10.20
60924	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 I	40,000,000	2016/07/11	2017/03/30	10.00
60940	SG Issuer - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/07/11	2017/10/30	50.00
60944	SG Issuer - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/07/11	2017/10/30	50.00
60937	SG Issuer - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/07/11	2017/11/29	50.00
60941	SG Issuer - HSI R Bull CBBC Nov 2017 T	200,000,000	2016/07/11	2017/11/29	50.00
60902	SG Issuer - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/11	2016/10/28	50.00
60903	SG Issuer - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/07/11	2016/11/29	50.00
60969	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/07/11	2017/10/30	50.00
60970	UBS AG - HSI R Bull CBBC Nov 2017 W	200,000,000	2016/07/11	2017/11/29	50.00
60971	UBS AG - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/07/11	2017/11/29	50.00
60966	UBS AG - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/07/11	2017/12/28	50.00
60965	UBS AG - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/11	2016/10/28	50.00
60964	UBS AG - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/07/11	2016/10/28	50.00
60962	UBS AG - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/07/11	2017/01/26	50.00
61057	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Jun 2017 A	50,000,000	2016/07/12	2017/06/30	12.50
61055	BNP Paribas Arbit Issu B.V. - HKEX R Bull CBBC Jun 2017 A	40,000,000	2016/07/12	2017/06/30	10.00
61053	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Sep 2017 A	100,000,000	2016/07/12	2017/09/28	25.00
61065	Credit Suisse AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/07/12	2017/09/28	50.00
61069	Credit Suisse AG - HSI R Bull CBBC Sep 2017 S	200,000,000	2016/07/12	2017/09/28	50.00
61079	Credit Suisse AG - HSI R Bull CBBC Sep 2017 T	200,000,000	2016/07/12	2017/09/28	50.00
61081	Credit Suisse AG - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/07/12	2016/10/28	50.00
61112	Credit Suisse AG - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/12	2016/10/28	50.00
61034	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/07/12	2017/10/30	50.40
61181	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/07/12	2016/10/28	50.60
61182	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/07/12	2016/10/28	50.40
61039	HK Bank - China Mobile R Bull CBBC Oct 2017 A	50,000,000	2016/07/12	2017/10/30	12.50
61051	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2017 A	50,000,000	2016/07/12	2017/04/24	12.50
61052	HK Bank - A50 R Bull CBBC Apr 2017 A	50,000,000	2016/07/12	2017/04/24	12.50
61037	HK Bank - Ping An R Bull CBBC May 2017 A	50,000,000	2016/07/12	2017/05/29	12.50
61050	HK Bank - Tencent R Bull CBBC Jun 2017 E	50,000,000	2016/07/12	2017/06/23	12.50
61175	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/07/12	2017/11/29	50.00
61169	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/07/12	2017/12/28	50.00

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61177	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/07/12	2017/12/28	50.00
61184	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/07/12	2016/10/28	50.00
61185	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/07/12	2016/11/29	50.00
61217	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/07/12	2016/12/29	50.00
61023	SG Issuer - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/07/12	2017/10/30	50.00
61029	SG Issuer - HSI R Bull CBBC Nov 2017 U	200,000,000	2016/07/12	2017/11/29	50.00
61030	SG Issuer - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/07/12	2017/11/29	50.00
61062	SG Issuer - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/07/12	2016/10/28	50.00
61160	UBS AG - HSCEI R Bull CBBC Oct 2017 A	100,000,000	2016/07/12	2017/10/30	25.00
61128	UBS AG - HSI R Bull CBBC Sep 2017 S	200,000,000	2016/07/12	2017/09/28	50.00
61121	UBS AG - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/07/12	2017/10/30	50.00
61126	UBS AG - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/07/12	2017/10/30	50.00
61114	UBS AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/07/12	2017/11/29	50.00
61167	UBS AG - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/12	2016/10/28	50.00
61166	UBS AG - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/12	2016/10/28	50.00
61157	UBS AG - Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/07/12	2017/06/26	12.50
61240	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 H	250,000,000	2016/07/13	2017/10/30	62.50
61425	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 M	250,000,000	2016/07/13	2017/02/27	62.50
61427	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/07/13	2017/03/30	62.50
61400	Credit Suisse AG - HSI R Bull CBBC Sep 2017 U	200,000,000	2016/07/13	2017/09/28	50.00
61405	Credit Suisse AG - HSI R Bull CBBC Nov 2017 T	200,000,000	2016/07/13	2017/11/29	50.00
61407	Credit Suisse AG - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/07/13	2016/10/28	50.00
61418	Credit Suisse AG - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/07/13	2016/10/28	50.00
61406	Credit Suisse AG - HSI R Bear CBBC Nov 2016 R	200,000,000	2016/07/13	2016/11/29	50.00
61417	Credit Suisse AG - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/07/13	2016/12/29	50.00
61433	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/07/13	2017/11/29	50.20
61332	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/07/13	2016/11/29	50.20
61367	HK Bank - HSI R Bear CBBC Feb 2017 L	150,000,000	2016/07/13	2017/02/27	37.50
61363	HK Bank - HSI R Bear CBBC Mar 2017 J	150,000,000	2016/07/13	2017/03/30	37.50
61362	HK Bank - HSI R Bear CBBC Apr 2017 C	150,000,000	2016/07/13	2017/04/27	37.50
61344	HK Bank - Tencent R Bear CBBC Apr 2017 B	40,000,000	2016/07/13	2017/04/21	10.00
61359	HK Bank - Tencent R Bear CBBC Apr 2017 C	40,000,000	2016/07/13	2017/04/28	10.00
61228	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/07/13	2017/10/30	50.20
61238	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/07/13	2017/10/30	50.20
61302	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/13	2016/10/28	50.00
61309	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/13	2016/10/28	50.20
61319	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/13	2016/10/28	50.20
61324	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/13	2016/10/28	50.00
61326	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/07/13	2016/10/28	50.20
61329	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/07/13	2016/10/28	50.00
61330	Haitong Int'l Sec - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/07/13	2016/10/28	50.00
61451	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 T	200,000,000	2016/07/13	2017/11/29	50.00
61453	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 U	200,000,000	2016/07/13	2017/11/29	50.00
61469	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/13	2016/10/28	50.00
61470	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/07/13	2016/11/29	50.00

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61471	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/07/13	2016/12/29	50.00
61387	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 D	40,000,000	2016/07/13	2017/03/30	10.00
61244	SG Issuer - HSI R Bull CBBC Nov 2017 W	200,000,000	2016/07/13	2017/11/29	50.00
61287	SG Issuer - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/07/13	2017/11/29	50.00
61291	SG Issuer - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/07/13	2017/11/29	50.00
61432	SG Issuer - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/07/13	2016/10/28	50.00
61301	SG Issuer - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/13	2016/10/28	50.00
61294	SG Issuer - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/07/13	2016/10/28	50.00
61429	SG Issuer - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/07/13	2016/10/28	50.00
61434	UBS AG - HSI R Bull CBBC Sep 2017 T	200,000,000	2016/07/13	2017/09/28	50.00
61437	UBS AG - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/07/13	2017/11/29	50.00
61439	UBS AG - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/13	2016/10/28	50.00
61447	UBS AG - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/13	2016/10/28	50.00
61441	UBS AG - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/07/13	2016/11/29	50.00
61521	Credit Suisse AG - HSI R Bull CBBC Aug 2017 A	200,000,000	2016/07/14	2017/08/30	50.00
61520	Credit Suisse AG - HSI R Bull CBBC Sep 2017 V	200,000,000	2016/07/14	2017/09/28	50.00
61519	Credit Suisse AG - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/07/14	2016/10/28	50.00
61523	Bank of East Asia - HKEX R Bull CBBC Dec 2017 A	40,000,000	2016/07/14	2017/12/08	10.00
61522	Bank of East Asia - HSBC Holdings R Bull CBBC Jan 2018 A	60,000,000	2016/07/14	2018/01/05	15.00
61524	Bank of East Asia - Tencent R Bull CBBC Jan 2018 A	40,000,000	2016/07/14	2018/01/08	10.00
61486	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/07/14	2017/11/29	50.40
61548	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 M	200,000,000	2016/07/14	2017/11/29	50.60
61474	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/07/14	2016/11/29	50.80
61481	HK Bank - HSCEI R Bear CBBC Feb 2017 E	50,000,000	2016/07/14	2017/02/27	12.50
61531	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/07/14	2017/12/28	50.00
61541	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 B	200,000,000	2016/07/14	2018/01/30	50.00
61528	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/07/14	2016/11/29	50.00
61530	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/07/14	2016/12/29	50.00
61544	J P Morgan SP BV - Tencent R Bull CBBC Aug 2017 B	60,000,000	2016/07/14	2017/08/18	15.00
61510	SG Issuer - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/07/14	2017/11/29	50.00
61512	SG Issuer - HSI R Bear CBBC Oct 2016 B	200,000,000	2016/07/14	2016/10/28	50.00
61527	UBS AG - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/07/14	2017/10/30	50.00
61526	UBS AG - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/14	2016/10/28	50.00
61525	UBS AG - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/14	2016/10/28	50.00
61556	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 H	250,000,000	2016/07/15	2017/09/28	62.50
61659	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 I	250,000,000	2016/07/15	2017/09/28	62.50
61551	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 I	250,000,000	2016/07/15	2017/10/30	62.50
61608	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 X	250,000,000	2016/07/15	2017/02/27	62.50
61610	Credit Suisse AG - HSI R Bull CBBC Nov 2017 U	200,000,000	2016/07/15	2017/11/29	50.00
61648	Credit Suisse AG - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/07/15	2016/11/29	50.00
61654	Credit Suisse AG - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/07/15	2016/11/29	50.00
61565	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/07/15	2017/10/30	50.80
61612	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/07/15	2016/10/28	50.40
61638	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/07/15	2017/10/30	50.00
61639	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 C	200,000,000	2016/07/15	2018/01/30	50.00

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61640	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/07/15	2016/12/29	50.00
61643	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/07/15	2016/12/29	50.00
61566	SG Issuer - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/07/15	2017/11/29	50.00
61591	SG Issuer - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/15	2016/10/28	50.00
61696	UBS AG - HSI R Bull CBBC Sep 2017 U	200,000,000	2016/07/15	2017/09/28	50.00
61697	UBS AG - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/07/15	2016/10/28	50.00
61760	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 J	250,000,000	2016/07/18	2017/09/28	62.50
61737	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/07/18	2017/03/30	62.50
61736	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 V	250,000,000	2016/07/18	2017/03/30	62.50
61786	Credit Suisse AG - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/07/18	2017/11/29	50.00
61796	Credit Suisse AG - HSI R Bull CBBC Nov 2017 W	200,000,000	2016/07/18	2017/11/29	50.00
61776	Credit Suisse AG - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/07/18	2016/10/28	50.00
61774	Credit Suisse AG - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/07/18	2016/12/29	50.00
61766	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 N	200,000,000	2016/07/18	2017/11/29	50.20
61742	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/07/18	2016/11/29	50.80
61745	HK Bank - HSI R Bull CBBC Aug 2017 D	150,000,000	2016/07/18	2017/08/30	37.50
61805	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/07/18	2017/10/30	50.40
61813	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/07/18	2017/10/30	50.00
61824	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/07/18	2017/10/30	50.20
61828	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/07/18	2017/10/30	50.40
61833	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/07/18	2016/12/29	50.00
61880	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/07/18	2016/12/29	51.20
61881	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/07/18	2016/12/29	51.40
61890	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/07/18	2016/12/29	51.40
61903	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/07/18	2017/10/30	50.00
61909	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/07/18	2017/11/29	50.00
61916	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/07/18	2017/12/28	50.00
61901	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/18	2016/10/28	50.00
61700	SG Issuer - CMob R Bull CBBC Oct 2017 C	100,000,000	2016/07/18	2017/10/30	25.00
61717	SG Issuer - CNOOC R Bull CBBC Jul 2017 A	40,000,000	2016/07/18	2017/07/28	10.00
61721	SG Issuer - CNOOC R Bull CBBC Jul 2017 B	40,000,000	2016/07/18	2017/07/28	10.00
61703	SG Issuer - CNOOC R Bull CBBC Oct 2017 A	40,000,000	2016/07/18	2017/10/30	10.00
61716	SG Issuer - CNOOC R Bull CBBC Oct 2017 B	40,000,000	2016/07/18	2017/10/30	10.00
61725	SG Issuer - HKEX R Bull CBBC Sep 2017 G	100,000,000	2016/07/18	2017/09/28	25.00
61749	SG Issuer - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/07/18	2017/11/29	50.00
61722	SG Issuer - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/18	2016/10/28	50.00
61724	SG Issuer - HSI R Bear CBBC Nov 2016 R	200,000,000	2016/07/18	2016/11/29	50.00
61731	SG Issuer - Tencent R Bull CBBC Aug 2017 E	100,000,000	2016/07/18	2017/08/30	25.00
61732	SG Issuer - Tencent R Bull CBBC Aug 2017 F	100,000,000	2016/07/18	2017/08/30	25.00
61924	UBS AG - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/07/18	2017/12/28	50.00
61917	UBS AG - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/07/18	2016/10/28	50.00
61922	UBS AG - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/07/18	2016/10/28	50.00
61923	UBS AG - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/07/18	2016/11/29	50.00
62070	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 K	250,000,000	2016/07/19	2017/09/28	62.50
62034	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 J	250,000,000	2016/07/19	2017/10/30	62.50

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62074	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 K	250,000,000	2016/07/19	2017/10/30	62.50
61929	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 N	250,000,000	2016/07/19	2017/02/27	62.50
61945	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 U	250,000,000	2016/07/19	2017/02/27	62.50
61939	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/07/19	2017/03/30	62.50
62013	Credit Suisse AG - HSI R Bull CBBC Dec 2017 A	200,000,000	2016/07/19	2017/12/28	50.00
62023	Credit Suisse AG - HSI R Bull CBBC Dec 2017 B	200,000,000	2016/07/19	2017/12/28	50.00
62025	Credit Suisse AG - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/07/19	2017/12/28	50.00
61965	Credit Suisse AG - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/19	2016/10/28	50.00
61966	Credit Suisse AG - HSI R Bear CBBC Nov 2016 U	200,000,000	2016/07/19	2016/11/29	50.00
61968	Credit Suisse AG - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/07/19	2016/11/29	50.00
61973	Credit Suisse AG - HSI R Bear CBBC Nov 2016 W	200,000,000	2016/07/19	2016/11/29	50.00
62008	Credit Suisse AG - HSI R Bear CBBC Nov 2016 X	200,000,000	2016/07/19	2016/11/29	50.00
61948	Credit Suisse AG - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/07/19	2016/12/29	50.00
61976	Credit Suisse AG - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/07/19	2016/12/29	50.00
61987	Credit Suisse AG - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/07/19	2016/12/29	50.00
61999	Credit Suisse AG - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/07/19	2016/12/29	50.00
62110	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/07/19	2017/10/30	50.40
62099	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/07/19	2017/11/29	50.20
62100	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/07/19	2017/11/29	50.20
61931	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/07/19	2016/10/28	50.40
61932	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/07/19	2016/11/29	50.60
61933	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/07/19	2016/11/29	50.40
62318	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 E	40,000,000	2016/07/19	2017/09/15	10.00
62320	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 W	200,000,000	2016/07/19	2017/11/29	50.00
62328	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 S	200,000,000	2016/07/19	2017/12/28	50.00
62336	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/07/19	2017/12/28	50.00
62250	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/19	2016/10/28	50.00
62248	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/07/19	2016/12/29	50.00
62311	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/07/19	2016/12/29	50.00
62274	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/07/19	2017/01/26	50.00
62295	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/07/19	2017/01/26	50.00
62315	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 F	60,000,000	2016/07/19	2017/07/21	15.00
62317	J P Morgan SP BV - Tencent R Bear CBBC Apr 2017 D	60,000,000	2016/07/19	2017/04/21	17.40
62205	SG Issuer - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/07/19	2017/10/30	50.00
61946	SG Issuer - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/07/19	2017/10/30	50.00
61947	SG Issuer - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/07/19	2017/10/30	50.00
61928	SG Issuer - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/19	2016/10/28	50.00
62216	SG Issuer - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/07/19	2016/10/28	50.00
61927	SG Issuer - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/07/19	2016/11/29	50.00
62149	UBS AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/07/19	2017/11/29	50.00
62190	UBS AG - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/07/19	2017/11/29	50.00
62202	UBS AG - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/07/19	2017/11/29	50.00
62172	UBS AG - HSI R Bull CBBC Dec 2017 K	200,000,000	2016/07/19	2017/12/28	50.00
62119	UBS AG - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/07/19	2016/10/28	50.00
62120	UBS AG - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/07/19	2016/10/28	50.00

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62134	UBS AG - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/07/19	2016/10/28	50.00
62139	UBS AG - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/07/19	2016/10/28	50.00
62140	UBS AG - HSI R Bear CBBC Oct 2016 Q	200,000,000	2016/07/19	2016/10/28	50.00
62383	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Jun17A	80,000,000	2016/07/20	2017/06/30	20.00
62384	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2017 A	80,000,000	2016/07/20	2017/06/30	20.00
62380	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 L	250,000,000	2016/07/20	2017/09/28	62.50
62345	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 I	250,000,000	2016/07/20	2017/02/27	62.50
62344	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 W	250,000,000	2016/07/20	2017/03/30	62.50
62490	Credit Suisse AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/07/20	2017/10/30	50.00
62492	Credit Suisse AG - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/07/20	2017/10/30	50.00
62494	Credit Suisse AG - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/07/20	2017/10/30	50.00
62489	Credit Suisse AG - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/20	2016/10/28	50.00
62482	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 B	200,000,000	2016/07/20	2017/12/28	50.40
62488	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/07/20	2017/12/28	50.60
62338	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/07/20	2016/11/29	50.20
62371	HK Bank - HSCEI R Bull CBBC Jun 2017 A	60,000,000	2016/07/20	2017/06/29	15.00
62347	HK Bank - HSI R Bull CBBC Jun 2017 E	150,000,000	2016/07/20	2017/06/29	37.50
62350	HK Bank - HSI R Bull CBBC Jul 2017 F	150,000,000	2016/07/20	2017/07/28	37.50
62537	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Aug 2017 A	100,000,000	2016/07/20	2017/08/18	25.00
62569	J P Morgan SP BV - A50 China R Bull CBBC Sep 2017 A	100,000,000	2016/07/20	2017/09/15	25.00
62503	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/07/20	2017/11/29	50.00
62515	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/07/20	2017/12/28	50.00
62535	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 D	200,000,000	2016/07/20	2018/01/30	50.00
62502	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/20	2016/10/28	50.00
62372	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 J	40,000,000	2016/07/20	2017/03/30	10.00
62387	SG Issuer - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/07/20	2017/11/29	50.00
62423	SG Issuer - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/07/20	2017/11/29	50.00
62428	SG Issuer - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/07/20	2017/11/29	50.00
62430	SG Issuer - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/07/20	2016/10/28	50.00
62450	UBS AG - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/07/20	2017/10/30	50.00
62451	UBS AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/07/20	2017/10/30	50.00
62460	UBS AG - HSI R Bull CBBC Dec 2017 L	200,000,000	2016/07/20	2017/12/28	50.00
62437	UBS AG - HSI R Bear CBBC Oct 2016 R	200,000,000	2016/07/20	2016/10/28	50.00
62438	UBS AG - HSI R Bear CBBC Oct 2016 S	200,000,000	2016/07/20	2016/10/28	50.00
62439	UBS AG - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/20	2016/10/28	50.00
62447	UBS AG - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/07/20	2016/10/28	50.00
62710	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Oct 2017 A	100,000,000	2016/07/21	2017/10/30	25.00
62892	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 M	250,000,000	2016/07/21	2017/09/28	62.50
62885	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 L	250,000,000	2016/07/21	2017/10/30	62.50
62691	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 V	250,000,000	2016/07/21	2017/02/27	62.50
62709	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 W	250,000,000	2016/07/21	2017/02/27	67.50
62682	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/07/21	2017/03/30	62.50
62684	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/07/21	2017/03/30	62.50
62778	Credit Suisse AG - HSCEI R Bull CBBC Sep 2017 A	100,000,000	2016/07/21	2017/09/28	25.00
62779	Credit Suisse AG - HSCEI R Bear CBBC Nov 2016 A	100,000,000	2016/07/21	2016/11/29	25.00

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62882	Credit Suisse AG - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/07/21	2017/11/29	50.00
62939	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/07/21	2017/11/29	50.00
62953	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/07/21	2017/11/29	50.00
62780	Credit Suisse AG - HSI R Bear CBBC Nov 2016 Y	200,000,000	2016/07/21	2016/11/29	50.00
62833	Credit Suisse AG - HSI R Bear CBBC Nov 2016 Z	200,000,000	2016/07/21	2016/11/29	50.00
62834	Credit Suisse AG - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/07/21	2017/01/26	50.00
62881	Credit Suisse AG - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/07/21	2017/01/26	50.00
63054	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/07/21	2017/12/28	50.40
63059	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 E	200,000,000	2016/07/21	2017/12/28	50.40
62677	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/21	2016/10/28	50.20
62722	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/07/21	2016/11/29	50.00
62750	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/07/21	2016/11/29	50.00
62775	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/07/21	2016/11/29	50.20
62777	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/07/21	2016/11/29	50.40
63095	J P Morgan SP BV - China Life R Bull CBBC Aug 2017 A	50,000,000	2016/07/21	2017/08/18	12.50
62908	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/07/21	2017/11/29	50.00
62919	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/07/21	2017/12/28	50.00
63169	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 W	200,000,000	2016/07/21	2017/12/28	50.00
62926	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/07/21	2016/10/28	50.00
62920	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/07/21	2016/12/29	50.00
62921	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/07/21	2017/01/26	50.00
62937	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/07/21	2017/01/26	50.00
63168	J P Morgan SP BV - Ping An R Bull CBBC Aug 2017 C	100,000,000	2016/07/21	2017/08/18	25.00
63086	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 K	40,000,000	2016/07/21	2017/03/30	10.20
63093	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 L	40,000,000	2016/07/21	2017/03/30	10.00
62615	SG Issuer - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/07/21	2017/10/30	50.00
62623	SG Issuer - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/07/21	2017/10/30	50.00
63037	SG Issuer - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/07/21	2017/10/30	50.00
63011	SG Issuer - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/07/21	2017/11/29	50.00
62624	SG Issuer - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/07/21	2016/11/29	50.00
62626	SG Issuer - HSI R Bear CBBC Nov 2016 U	400,000,000	2016/07/21	2016/11/29	100.00
62666	SG Issuer - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/07/21	2016/11/29	50.00
62668	SG Issuer - HSI R Bear CBBC Nov 2016 W	400,000,000	2016/07/21	2016/11/29	100.00
62671	SG Issuer - HSI R Bear CBBC Nov 2016 X	400,000,000	2016/07/21	2016/11/29	100.00
63004	UBS AG - HSI R Bull CBBC Sep 2017 V	200,000,000	2016/07/21	2017/09/28	50.00
63007	UBS AG - HSI R Bull CBBC Sep 2017 W	200,000,000	2016/07/21	2017/09/28	50.00
63010	UBS AG - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/07/21	2017/10/30	50.00
62989	UBS AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/07/21	2017/11/29	50.00
62956	UBS AG - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/07/21	2016/10/28	50.00
62954	UBS AG - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/07/21	2016/10/28	50.00
62957	UBS AG - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/07/21	2016/10/28	50.00
62988	UBS AG - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/07/21	2017/01/26	50.00
63800	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 M	250,000,000	2016/07/22	2017/10/30	62.50
63264	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 S	250,000,000	2016/07/22	2017/02/27	72.50
63210	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 T	250,000,000	2016/07/22	2017/02/27	62.50

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63262	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Y	250,000,000	2016/07/22	2017/02/27	62.50
63234	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/07/22	2017/03/30	62.50
63259	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/07/22	2017/03/30	62.50
63710	Credit Suisse AG - HSI R Bull CBBC Sep 2017 W	200,000,000	2016/07/22	2017/09/28	50.00
63717	Credit Suisse AG - HSI R Bull CBBC Sep 2017 X	200,000,000	2016/07/22	2017/09/28	50.00
63719	Credit Suisse AG - HSI R Bull CBBC Sep 2017 Y	200,000,000	2016/07/22	2017/09/28	50.00
63703	Credit Suisse AG - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/07/22	2016/11/29	50.00
63701	Credit Suisse AG - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/07/22	2016/12/29	50.00
63705	Credit Suisse AG - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/07/22	2016/12/29	50.00
63708	Credit Suisse AG - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/07/22	2016/12/29	50.00
63709	Credit Suisse AG - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/07/22	2017/01/26	51.00
63831	Bank of East Asia - COVS R Bull CBBC Nov 2017 A	25,000,000	2016/07/22	2017/11/06	10.00
63847	Bank of East Asia - China Life R Bull CBBC Nov 2017 A	40,000,000	2016/07/22	2017/11/27	10.00
63826	Bank of East Asia - Tencent R Bull CBBC Dec 2017 A	40,000,000	2016/07/22	2017/12/07	10.00
63825	Bank of East Asia - Tencent R Bear CBBC Mar 2017 B	40,000,000	2016/07/22	2017/03/07	10.00
63432	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/07/22	2017/11/29	50.80
63433	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/07/22	2017/11/29	51.20
63192	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/07/22	2016/10/28	50.40
63203	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 V	200,000,000	2016/07/22	2016/10/28	50.60
63204	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/22	2016/10/28	50.60
63418	HK Bank - China Mobile R Bull CBBC Sep 2017 A	50,000,000	2016/07/22	2017/09/29	12.50
63350	HK Bank - CNOOC R Bull CBBC Jul 2017 B	50,000,000	2016/07/22	2017/07/31	12.50
63408	HK Bank - Sinopec Corp R Bull CBBC Jul 2017 B	50,000,000	2016/07/22	2017/07/31	12.50
63415	HK Bank - HKEX R Bull CBBC Oct 2017 A	50,000,000	2016/07/22	2017/10/09	12.50
63391	HK Bank - HSCEI R Bull CBBC Jul 2017 D	60,000,000	2016/07/22	2017/07/28	15.00
63377	HK Bank - HSI R Bull CBBC Jun 2017 F	150,000,000	2016/07/22	2017/06/29	37.50
63333	HK Bank - HSI R Bull CBBC Jul 2017 G	150,000,000	2016/07/22	2017/07/28	37.50
63403	HK Bank - HSI R Bear CBBC Jan 2017 C	150,000,000	2016/07/22	2017/01/26	37.50
63365	HK Bank - Sands China R Bull CBBC Jul 2017 D	40,000,000	2016/07/22	2017/07/31	14.00
63417	HK Bank - Tencent R Bull CBBC Jul 2017 E	50,000,000	2016/07/22	2017/07/31	12.50
63787	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/07/22	2017/10/30	50.00
63824	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/07/22	2017/10/30	50.00
63722	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/07/22	2016/10/28	50.00
63724	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/07/22	2016/11/29	50.00
63734	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/07/22	2016/12/29	50.00
63752	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/07/22	2017/01/26	50.00
63776	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/07/22	2017/02/27	50.00
63781	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/07/22	2017/02/27	50.00
63816	J P Morgan SP BV - Ping An R Bull CBBC Jun 2017 A	100,000,000	2016/07/22	2017/06/16	25.00
63807	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 A	60,000,000	2016/07/22	2017/09/15	15.00
63431	SG Issuer - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/07/22	2017/10/30	50.00
63423	SG Issuer - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/07/22	2017/11/29	50.00
63429	SG Issuer - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/07/22	2017/11/29	50.00
63296	SG Issuer - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/22	2016/10/28	50.00
63287	SG Issuer - HSI R Bear CBBC Nov 2016 Y	200,000,000	2016/07/22	2016/11/29	50.00

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63655	UBS AG - HSCEI R Bull CBBC Sep 2017 D	100,000,000	2016/07/22	2017/09/28	25.00
63689	UBS AG - HSCEI R Bear CBBC Jan 2017 A	100,000,000	2016/07/22	2017/01/26	25.00
63654	UBS AG - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/07/22	2017/10/30	50.00
63604	UBS AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/07/22	2017/11/29	50.00
63650	UBS AG - HSI R Bull CBBC Dec 2017 M	200,000,000	2016/07/22	2017/12/28	50.00
63454	UBS AG - HSI R Bear CBBC Oct 2016 C	200,000,000	2016/07/22	2016/10/28	50.00
63551	UBS AG - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/22	2016/10/28	50.00
63564	UBS AG - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/22	2016/10/28	50.00
63571	UBS AG - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/07/22	2017/01/26	50.00
63575	UBS AG - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/07/22	2017/02/27	50.00
63581	UBS AG - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/07/22	2017/02/27	50.00
63788	UBS AG - Tencent R Bull CBBC Jun 2017 D	50,000,000	2016/07/22	2017/06/19	12.50
63797	UBS AG - Tencent R Bear CBBC Apr 2017 A	50,000,000	2016/07/22	2017/04/03	12.50
63799	UBS AG - Tencent R Bear CBBC Apr 2017 B	50,000,000	2016/07/22	2017/04/10	12.50
64009	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 N	250,000,000	2016/07/25	2017/09/28	62.50
64021	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 N	250,000,000	2016/07/25	2017/10/30	62.50
63974	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Z	250,000,000	2016/07/25	2017/02/27	62.50
64027	Credit Suisse AG - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/07/25	2017/12/28	50.00
64034	Credit Suisse AG - HSI R Bull CBBC Dec 2017 E	200,000,000	2016/07/25	2017/12/28	50.00
64035	Credit Suisse AG - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/07/25	2017/12/28	50.00
64001	Credit Suisse AG - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/07/25	2016/12/29	50.00
64189	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/07/25	2017/11/29	51.20
64192	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 T	200,000,000	2016/07/25	2017/11/29	51.60
63977	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/07/25	2016/10/28	50.80
64186	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/07/25	2016/12/29	50.20
64187	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/07/25	2016/12/29	50.20
63916	HK Bank - CC Bank R Bear CBBC Apr 2017 B	40,000,000	2016/07/25	2017/04/28	10.00
63920	HK Bank - China Life R Bull CBBC Jul 2017 C	50,000,000	2016/07/25	2017/07/31	12.50
63913	HK Bank - Galaxy Ent R Bull CBBC Jun 2017 D	40,000,000	2016/07/25	2017/06/30	10.00
63938	HK Bank - HKEX R Bear CBBC Jan 2017 E	40,000,000	2016/07/25	2017/01/27	10.00
63919	HK Bank - HKEX R Bear CBBC Apr 2017 A	40,000,000	2016/07/25	2017/04/10	10.00
63936	HK Bank - HSI R Bear CBBC Mar 2017 K	150,000,000	2016/07/25	2017/03/30	37.50
63940	HK Bank - HSI R Bear CBBC Apr 2017 D	150,000,000	2016/07/25	2017/04/27	37.50
63939	HK Bank - HSI R Bear CBBC Aug 2017 A	200,000,000	2016/07/25	2017/08/30	50.00
63910	HK Bank - Tencent R Bear CBBC Jun 2017 A	40,000,000	2016/07/25	2017/06/30	10.00
63901	HK Bank - Tencent R Bear CBBC Jul 2017 A	40,000,000	2016/07/25	2017/07/31	14.00
63848	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/07/25	2017/10/30	50.40
63865	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/07/25	2017/10/30	50.40
63869	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/07/25	2016/12/29	50.20
63873	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/07/25	2016/12/29	50.20
63878	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/07/25	2016/12/29	50.40
63880	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/07/25	2017/02/27	50.40
63894	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/07/25	2017/02/27	50.20
64111	J P Morgan SP BV - China Mobile R Bull CBBC Oct 2017 B	100,000,000	2016/07/25	2017/10/20	25.00
64091	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 C	40,000,000	2016/07/25	2017/10/20	11.60

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64157	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/07/25	2017/11/29	50.00
64178	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 X	200,000,000	2016/07/25	2017/12/28	50.00
64180	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 E	200,000,000	2016/07/25	2018/01/30	50.00
64036	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/07/25	2016/11/29	50.00
64181	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/07/25	2017/02/27	50.00
64182	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/07/25	2017/02/27	50.00
64102	J P Morgan SP BV - Lenovo R Bull CBBC Sep 2017 A	100,000,000	2016/07/25	2017/09/15	25.00
63973	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 M	40,000,000	2016/07/25	2017/03/30	10.00
63979	SG Issuer - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/07/25	2017/10/30	50.00
63978	SG Issuer - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/07/25	2017/11/29	50.00
63985	SG Issuer - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/07/25	2017/11/29	50.00
63988	SG Issuer - HSI R Bear CBBC Nov 2016 Z	200,000,000	2016/07/25	2016/11/29	50.00
64038	UBS AG - HSBC R Bull CBBC Jul 2017 A	50,000,000	2016/07/25	2017/07/10	12.50
64079	UBS AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/07/25	2017/10/30	50.00
64082	UBS AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/07/25	2017/11/29	50.00
64075	UBS AG - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/07/25	2017/12/28	50.00
64066	UBS AG - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/25	2016/10/28	50.00
64043	UBS AG - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/25	2016/10/28	50.00
64057	UBS AG - HSI R Bear CBBC Oct 2016 Z	200,000,000	2016/07/25	2016/10/28	50.00
64040	UBS AG - Ping An R Bull CBBC Aug 2017 A	50,000,000	2016/07/25	2017/08/14	12.50
64287	Credit Suisse AG - HSI R Bull CBBC Aug 2017 B	200,000,000	2016/07/26	2017/08/30	50.00
64288	Credit Suisse AG - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/07/26	2017/11/29	50.00
64292	Credit Suisse AG - HSI R Bear CBBC Oct 2016 E	200,000,000	2016/07/26	2016/10/28	50.00
64290	Credit Suisse AG - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/07/26	2016/11/29	50.00
64356	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 U	200,000,000	2016/07/26	2017/11/29	50.20
64345	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/07/26	2016/10/28	50.20
64360	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/07/26	2017/01/26	50.80
64361	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/07/26	2017/01/26	50.40
64249	HK Bank - AIA R Bull CBBC Jun 2017 D	40,000,000	2016/07/26	2017/06/19	16.00
64239	HK Bank - HSI R Bear CBBC May 2017 A	150,000,000	2016/07/26	2017/05/29	37.50
64243	HK Bank - ICBC R Bull CBBC Sep 2017 A	50,000,000	2016/07/26	2017/09/29	12.50
64236	HK Bank - Lenovo R Bull CBBC Sep 2017 A	40,000,000	2016/07/26	2017/09/29	10.00
64317	J P Morgan SP BV - HSBC R Bull CBBC Sep 2017 C	100,000,000	2016/07/26	2017/09/15	25.00
64324	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/07/26	2017/11/29	50.00
64297	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 F	200,000,000	2016/07/26	2018/01/30	50.00
64321	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/07/26	2016/12/29	50.00
64322	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/07/26	2017/01/26	50.00
64266	SG Issuer - CKH R Bull CBBC Dec 2017 C	100,000,000	2016/07/26	2017/12/28	25.00
64259	SG Issuer - China Life R Bull CBBC Oct 2017 B	50,000,000	2016/07/26	2017/10/30	12.50
64260	SG Issuer - China Life R Bull CBBC Oct 2017 C	50,000,000	2016/07/26	2017/10/30	12.50
64276	SG Issuer - HKEX R Bull CBBC Sep 2017 H	100,000,000	2016/07/26	2017/09/28	25.00
64280	SG Issuer - HSBC R Bull CBBC Jun 2018 B	100,000,000	2016/07/26	2018/06/28	25.00
64193	SG Issuer - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/07/26	2017/11/29	50.00
64194	SG Issuer - HSI R Bear CBBC Nov 2016 A	200,000,000	2016/07/26	2016/11/29	50.00
64195	SG Issuer - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/07/26	2016/11/29	50.00

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64208	SG Issuer - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/07/26	2016/11/29	50.00
64219	SG Issuer - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/07/26	2016/11/29	50.00
64222	SG Issuer - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/07/26	2016/11/29	50.00
64223	SG Issuer - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/07/26	2016/11/29	50.00
64224	SG Issuer - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/07/26	2016/11/29	50.00
64228	SG Issuer - HSI R Bear CBBC Nov 2016 K	400,000,000	2016/07/26	2016/11/29	100.00
64227	SG Issuer - HSI R Bear CBBC Dec 2016 A	400,000,000	2016/07/26	2016/12/29	100.00
64281	SG Issuer - Lenovo R Bull CBBC Dec 2017 B	40,000,000	2016/07/26	2017/12/28	10.00
64282	SG Issuer - Ping An R Bull CBBC Sep 2017 D	100,000,000	2016/07/26	2017/09/28	25.00
64285	SG Issuer - Tencent R Bull CBBC Aug 2017 G	100,000,000	2016/07/26	2017/08/30	25.00
64328	UBS AG - HSI R Bull CBBC Sep 2017 X	200,000,000	2016/07/26	2017/09/28	50.00
64327	UBS AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/07/26	2017/11/29	50.00
64343	UBS AG - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/26	2016/10/28	50.00
64329	UBS AG - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/26	2016/10/28	50.00
64340	UBS AG - HSI R Bear CBBC Feb 2017 L	200,000,000	2016/07/26	2017/02/27	50.00
64452	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jan 2017 A	250,000,000	2016/07/27	2017/01/26	62.50
64400	Credit Suisse AG - HSI R Bull CBBC Aug 2017 C	200,000,000	2016/07/27	2017/08/30	50.00
64397	Credit Suisse AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/07/27	2017/11/29	50.00
64394	Credit Suisse AG - HSI R Bear CBBC Oct 2016 F	200,000,000	2016/07/27	2016/10/28	50.00
64395	Credit Suisse AG - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/07/27	2017/01/26	50.00
64454	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/07/27	2017/11/29	50.40
64455	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 W	200,000,000	2016/07/27	2017/11/29	50.40
64457	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/07/27	2016/11/29	50.60
64458	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/07/27	2016/12/29	50.80
64362	HK Bank - BOCL R Bull CBBC Jul 2017 B	50,000,000	2016/07/27	2017/07/31	12.50
64364	HK Bank - HKEX R Bull CBBC Oct 2017 B	50,000,000	2016/07/27	2017/10/09	12.50
64369	HK Bank - HSI R Bull CBBC Aug 2017 E	150,000,000	2016/07/27	2017/08/30	37.50
64379	HK Bank - Tencent R Bull CBBC Aug 2017 C	50,000,000	2016/07/27	2017/08/24	12.50
64463	J P Morgan SP BV - AIA R Bull CBBC Sep 2017 B	100,000,000	2016/07/27	2017/09/15	25.00
64459	J P Morgan SP BV - HSBC R Bull CBBC Sep 2017 D	100,000,000	2016/07/27	2017/09/15	25.00
64471	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/07/27	2017/11/29	50.00
64472	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/07/27	2017/12/28	50.00
64451	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/07/27	2016/10/28	50.00
64464	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/07/27	2017/01/26	50.00
64465	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/07/27	2017/02/27	50.00
64468	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/07/27	2017/02/27	50.00
64380	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 E	40,000,000	2016/07/27	2017/03/30	10.00
64381	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 F	40,000,000	2016/07/27	2017/03/30	10.20
64383	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 G	40,000,000	2016/07/27	2017/03/30	10.40
64384	SG Issuer - HSI R Bull CBBC Nov 2017 T	200,000,000	2016/07/27	2017/11/29	50.00
64388	SG Issuer - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/07/27	2017/11/29	50.00
64392	SG Issuer - HSI R Bear CBBC Oct 2016 D	200,000,000	2016/07/27	2016/10/28	50.00
64389	SG Issuer - HSI R Bear CBBC Oct 2016 U	200,000,000	2016/07/27	2016/10/28	50.00
64390	SG Issuer - HSI R Bear CBBC Oct 2016 W	200,000,000	2016/07/27	2016/10/28	50.00
64393	SG Issuer - HSI R Bear CBBC Oct 2016 X	200,000,000	2016/07/27	2016/10/28	50.00

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64484	UBS AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/07/27	2017/10/30	50.00
64475	UBS AG - HSI R Bear CBBC Oct 2016 I	200,000,000	2016/07/27	2016/10/28	50.00
64481	UBS AG - HSI R Bear CBBC Jan 2017 R	200,000,000	2016/07/27	2017/01/26	50.00
64483	UBS AG - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/07/27	2017/02/27	50.00
64634	BNP Paribas Arbit Issu B.V. - Ch Mob R Bull CBBC Jun 2017 B	50,000,000	2016/07/28	2017/06/30	12.50
64630	BNP Paribas Arbit Issu B.V. - HKEX R Bull CBBC Jun 2017 B	40,000,000	2016/07/28	2017/06/30	10.00
64624	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 E	100,000,000	2016/07/28	2017/03/30	25.00
64545	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 O	250,000,000	2016/07/28	2017/09/28	62.50
64548	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 O	250,000,000	2016/07/28	2017/10/30	62.50
64537	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/07/28	2017/02/27	62.50
64620	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 R	250,000,000	2016/07/28	2017/02/27	80.00
64616	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 A	250,000,000	2016/07/28	2017/03/30	67.50
64592	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 R	250,000,000	2016/07/28	2017/03/30	62.50
64605	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/07/28	2017/03/30	62.50
64606	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Y	250,000,000	2016/07/28	2017/03/30	62.50
64613	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/07/28	2017/03/30	62.50
64633	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Jun 2017 A	50,000,000	2016/07/28	2017/06/30	12.50
64769	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/07/28	2017/11/29	50.00
64733	Credit Suisse AG - HSI R Bear CBBC Oct 2016 G	200,000,000	2016/07/28	2016/10/28	50.00
64759	Credit Suisse AG - HSI R Bear CBBC Oct 2016 T	200,000,000	2016/07/28	2016/10/28	50.00
64737	Credit Suisse AG - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/07/28	2016/11/29	50.00
64723	Credit Suisse AG - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/07/28	2016/12/29	50.00
64728	Credit Suisse AG - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/07/28	2016/12/29	50.00
64704	Credit Suisse AG - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/07/28	2017/02/27	56.00
64692	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/07/28	2017/11/29	50.60
64536	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/07/28	2016/11/29	51.20
64686	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/07/28	2016/12/29	122.80
64688	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/07/28	2016/12/29	132.20
64690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/07/28	2016/12/29	147.00
64487	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/07/28	2017/10/30	50.60
64490	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/07/28	2017/10/30	50.80
64505	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/07/28	2016/11/29	51.00
64513	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/07/28	2016/11/29	50.00
64527	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/07/28	2016/11/29	50.20
64534	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/07/28	2016/11/29	50.20
64535	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/07/28	2016/12/29	50.40
64810	J P Morgan SP BV - CUni R Bull CBBC Jul 2017 A	60,000,000	2016/07/28	2017/07/21	15.00
64828	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 F	40,000,000	2016/07/28	2017/09/15	10.00
64807	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/07/28	2017/10/30	50.00
64805	J P Morgan SP BV - HSI R Bear CBBC Oct 2016 O	200,000,000	2016/07/28	2016/10/28	50.00
64806	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/07/28	2017/02/27	50.00
64812	J P Morgan SP BV - Lenovo R Bull CBBC Oct 2017 A	100,000,000	2016/07/28	2017/10/20	25.00
64811	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 G	40,000,000	2016/07/28	2017/07/21	10.00
64538	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 N	40,000,000	2016/07/28	2017/03/30	10.00
64544	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 H	40,000,000	2016/07/28	2017/03/30	10.00

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64655	SG Issuer - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/07/28	2017/10/30	50.00
64658	SG Issuer - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/07/28	2016/10/28	50.00
64679	SG Issuer - HSI R Bear CBBC Oct 2016 H	200,000,000	2016/07/28	2016/10/28	50.00
64683	SG Issuer - HSI R Bear CBBC Oct 2016 P	200,000,000	2016/07/28	2016/10/28	50.00
64667	SG Issuer - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/07/28	2016/11/29	50.00
64684	SG Issuer - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/07/28	2016/11/29	50.00
64672	SG Issuer - HSI R Bear CBBC Nov 2016 N	200,000,000	2016/07/28	2016/11/29	50.00
64685	SG Issuer - HSI R Bear CBBC Dec 2016 B	400,000,000	2016/07/28	2016/12/29	100.00
64796	UBS AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/07/28	2017/09/28	50.00
64791	UBS AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/07/28	2017/10/30	50.00
64773	UBS AG - HSI R Bear CBBC Oct 2016 J	200,000,000	2016/07/28	2016/10/28	50.00
64784	UBS AG - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/07/28	2016/12/29	50.00
64841	Credit Suisse AG - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/07/29	2017/09/28	50.00
64843	Credit Suisse AG - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/07/29	2016/11/29	50.00
64838	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/07/29	2016/11/29	50.20
64911	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/07/29	2016/12/29	50.00
64916	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/07/29	2017/01/26	50.00
64921	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/07/29	2017/01/26	50.00
64923	J P Morgan SP BV - China Mobile R Bull CBBC Nov 2017 B	100,000,000	2016/07/29	2017/11/17	25.00
64961	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/07/29	2017/12/28	50.00
64931	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 Z	200,000,000	2016/07/29	2017/12/28	50.00
64938	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/07/29	2016/11/29	50.00
64833	SG Issuer - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/07/29	2017/10/30	50.00
64836	SG Issuer - HSI R Bear CBBC Oct 2016 Y	200,000,000	2016/07/29	2016/10/28	50.00
64834	SG Issuer - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/07/29	2016/11/29	50.00
64853	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/07/29	2017/11/29	50.00
64855	UBS AG - HSI R Bear CBBC Oct 2016 A	200,000,000	2016/07/29	2016/10/28	50.00
64856	UBS AG - HSI R Bear CBBC Oct 2016 K	200,000,000	2016/07/29	2016/10/28	50.00
64860	UBS AG - HSI R Bear CBBC Oct 2016 L	200,000,000	2016/07/29	2016/10/28	50.00
64865	UBS AG - HSI R Bear CBBC Oct 2016 M	200,000,000	2016/07/29	2016/10/28	50.00
64881	UBS AG - HSI R Bear CBBC Oct 2016 N	200,000,000	2016/07/29	2016/10/28	50.00
64883	UBS AG - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/07/29	2016/12/29	50.00
64888	UBS AG - HSI R Bear CBBC Jan 2017 S	200,000,000	2016/07/29	2017/01/26	53.00
65026	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/08/01	2017/11/29	50.00
65020	Credit Suisse AG - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/08/01	2016/11/29	50.00
65153	Bank of East Asia - CKH R Bull CBBC Nov 2017 A	40,000,000	2016/08/01	2017/11/10	10.00
65146	Bank of East Asia - HKEX R Bull CBBC Dec 2017 B	40,000,000	2016/08/01	2017/12/04	10.00
65145	Bank of East Asia - Lenovo R Bull CBBC Oct 2017 A	40,000,000	2016/08/01	2017/10/30	10.00
65147	Bank of East Asia - Ping An R Bull CBBC Oct 2017 A	22,000,000	2016/08/01	2017/10/31	10.34
65080	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/08/01	2016/12/29	50.00
65107	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/08/01	2016/12/29	50.00
65111	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/08/01	2016/12/29	50.00
64988	HK Bank - China Mobile R Bull CBBC Jun 2017 A	50,000,000	2016/08/01	2017/06/30	12.50
65008	HK Bank - China Mobile R Bear CBBC Mar 2017 A	40,000,000	2016/08/01	2017/03/31	10.00
65004	HK Bank - CUni R Bull CBBC Jun 2017 B	40,000,000	2016/08/01	2017/06/30	10.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65115	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/08/01	2017/12/28	50.00
65112	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/08/01	2016/12/29	50.00
65144	J P Morgan SP BV - Tencent R Bull CBBC Aug 2017 C	40,000,000	2016/08/01	2017/08/18	10.00
64969	SG Issuer - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/08/01	2017/10/30	50.00
64972	SG Issuer - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/08/01	2016/11/29	50.00
65011	SG Issuer - Tencent R Bear CBBC Feb 2017 C	100,000,000	2016/08/01	2017/02/27	25.00
65017	SG Issuer - Tencent R Bear CBBC Feb 2017 D	100,000,000	2016/08/01	2017/02/27	25.00
65045	UBS AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/08/01	2017/12/28	50.00
65049	UBS AG - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/08/01	2017/02/27	50.00
65302	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 P	250,000,000	2016/08/02	2017/09/28	62.50
65204	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 J	250,000,000	2016/08/02	2017/02/27	62.50
65322	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 O	250,000,000	2016/08/02	2017/03/30	62.50
65410	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/08/02	2017/11/29	50.00
65406	Credit Suisse AG - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/08/02	2016/11/29	50.00
65401	Credit Suisse AG - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/08/02	2017/01/26	50.00
65399	Credit Suisse AG - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/08/02	2017/03/30	52.00
65400	Credit Suisse AG - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/08/02	2017/03/30	50.00
65395	Credit Suisse AG - HSI R Bear CBBC Apr 2017 A	200,000,000	2016/08/02	2017/04/27	66.00
65339	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/08/02	2017/12/28	50.00
65360	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/08/02	2017/12/28	50.00
65182	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/08/02	2016/11/29	50.00
65287	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/08/02	2016/11/29	50.00
65290	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/08/02	2017/02/27	50.00
65291	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/08/02	2017/02/27	52.20
65275	HK Bank - HKEX R Bull CBBC Jun 2017 E	50,000,000	2016/08/02	2017/06/30	12.50
65273	HK Bank - HSI R Bull CBBC Sep 2017 B	150,000,000	2016/08/02	2017/09/28	37.50
65274	HK Bank - HSI R Bear CBBC Aug 2017 B	200,000,000	2016/08/02	2017/08/30	50.00
65271	HK Bank - Tencent R Bull CBBC Aug 2017 D	50,000,000	2016/08/02	2017/08/14	12.50
65266	HK Bank - Tencent R Bull CBBC Sep 2017 A	50,000,000	2016/08/02	2017/09/29	12.50
65154	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/08/02	2017/12/28	50.60
65417	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 E	200,000,000	2016/08/02	2017/12/28	50.00
65155	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/08/02	2016/12/29	50.00
65179	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/08/02	2016/12/29	50.60
65421	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/08/02	2017/02/27	50.20
65422	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/08/02	2017/02/27	51.20
65451	J P Morgan SP BV - China Life R Bull CBBC Sep 2017 A	60,000,000	2016/08/02	2017/09/15	15.00
65424	J P Morgan SP BV - Galaxy Ent R Bull CBBC Oct 2017 A	100,000,000	2016/08/02	2017/10/20	25.00
65366	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/08/02	2017/11/29	50.00
65367	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/08/02	2017/12/28	50.00
65362	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 N	200,000,000	2016/08/02	2016/11/29	50.00
65431	J P Morgan SP BV - Sands China R Bull CBBC Aug 2017 A	100,000,000	2016/08/02	2017/08/18	25.00
65295	Macquarie Bank Ltd. - HSI R Bull CBBC Mar 2017 O	40,000,000	2016/08/02	2017/03/30	10.00
65239	SG Issuer - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/08/02	2016/11/29	50.00
65227	SG Issuer - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/08/02	2016/11/29	50.00
65249	SG Issuer - HSI R Bear CBBC Nov 2016 R	200,000,000	2016/08/02	2016/11/29	50.00

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65394	UBS AG - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/08/02	2017/12/28	50.00
65369	UBS AG - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/08/02	2016/12/29	50.00
65379	UBS AG - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/08/02	2016/12/29	50.00
65370	UBS AG - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/08/02	2017/01/26	50.00
65384	UBS AG - HSI R Bear CBBC Jan 2017 U	200,000,000	2016/08/02	2017/01/26	50.00
65386	UBS AG - HSI R Bear CBBC Jan 2017 V	200,000,000	2016/08/02	2017/01/26	50.00
65387	UBS AG - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/08/02	2017/02/27	50.00
65389	UBS AG - Tencent R Bull CBBC Jun 2017 E	50,000,000	2016/08/02	2017/06/12	12.50
65393	UBS AG - Tencent R Bear CBBC Apr 2017 C	50,000,000	2016/08/02	2017/04/24	12.50
65557	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 P	250,000,000	2016/08/03	2017/10/30	62.50
65554	Credit Suisse AG - HSI R Bull CBBC Aug 2017 D	200,000,000	2016/08/03	2017/08/30	50.00
65542	Credit Suisse AG - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/08/03	2016/11/29	50.00
65552	Credit Suisse AG - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/08/03	2016/11/29	50.00
65579	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/08/03	2017/12/28	50.00
65580	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/08/03	2017/12/28	50.00
65512	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/08/03	2016/11/29	50.00
65484	HK Bank - Galaxy Ent R Bull CBBC Nov 2017 A	40,000,000	2016/08/03	2017/11/06	12.00
65485	HK Bank - HSCEI R Bull CBBC Aug 2017 B	60,000,000	2016/08/03	2017/08/30	15.00
65480	HK Bank - HSI R Bull CBBC Jul 2017 H	150,000,000	2016/08/03	2017/07/28	37.50
65474	HK Bank - HSI R Bear CBBC Sep 2017 A	150,000,000	2016/08/03	2017/09/28	37.50
65509	HK Bank - HSI R Bear CBBC Oct 2017 A	150,000,000	2016/08/03	2017/10/30	37.50
65469	HK Bank - HSI R Bear CBBC Nov 2017 A	150,000,000	2016/08/03	2017/11/29	37.50
65507	HK Bank - HSI R Bear CBBC Dec 2017 A	200,000,000	2016/08/03	2017/12/28	50.00
65501	HK Bank - Sands China R Bull CBBC Aug 2017 A	40,000,000	2016/08/03	2017/08/31	12.00
65591	J P Morgan SP BV - CUni R Bull CBBC Aug 2017 A	60,000,000	2016/08/03	2017/08/18	15.00
65578	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/08/03	2017/10/30	50.00
65541	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/08/03	2017/11/29	50.00
65534	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 T	200,000,000	2016/08/03	2016/12/29	50.00
65539	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/08/03	2017/02/27	50.00
65592	J P Morgan SP BV - Ping An R Bull CBBC Sep 2017 B	100,000,000	2016/08/03	2017/09/15	25.00
65584	J P Morgan SP BV - Tencent R Bear CBBC Mar 2017 C	40,000,000	2016/08/03	2017/03/17	10.00
65510	SG Issuer - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/08/03	2017/10/30	50.00
65511	SG Issuer - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/08/03	2017/10/30	50.00
65522	SG Issuer - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/08/03	2016/11/29	50.00
65515	SG Issuer - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/08/03	2016/11/29	50.00
65567	UBS AG - HSCEI R Bear CBBC Feb 2017 A	100,000,000	2016/08/03	2017/02/27	25.00
65576	UBS AG - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/08/03	2017/11/29	50.00
65561	UBS AG - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/08/03	2016/12/29	50.00
65565	UBS AG - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/08/03	2016/12/29	50.00
65658	Credit Suisse AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/08/04	2017/09/28	50.00
65668	Credit Suisse AG - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/08/04	2016/12/29	50.00
65673	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 N	200,000,000	2016/08/04	2016/11/29	50.00
65614	HK Bank - CAM CSI300 R Bull CBBC Sep 2017 A	60,000,000	2016/08/04	2017/09/29	15.00
65616	HK Bank - CAM CSI300 R Bull CBBC Oct 2017 A	80,000,000	2016/08/04	2017/10/31	20.00
65613	HK Bank - China Res Land R Bull CBBC Jul 2017 B	40,000,000	2016/08/04	2017/07/31	16.00

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65608	HK Bank - HSI R Bull CBBC Sep 2017 C	150,000,000	2016/08/04	2017/09/28	37.50
65607	HK Bank - HSI R Bull CBBC Oct 2017 A	150,000,000	2016/08/04	2017/10/30	37.50
65677	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/08/04	2016/11/29	50.00
65690	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/08/04	2017/02/27	50.00
65601	SG Issuer - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/08/04	2017/10/30	50.00
65596	SG Issuer - HSI R Bull CBBC Dec 2017 A	200,000,000	2016/08/04	2017/12/28	50.00
65597	SG Issuer - HSI R Bull CBBC Dec 2017 B	200,000,000	2016/08/04	2017/12/28	50.00
65618	SG Issuer - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/08/04	2016/12/29	50.00
65620	SG Issuer - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/08/04	2016/12/29	50.00
65624	UBS AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/08/04	2017/10/30	50.00
65626	UBS AG - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/08/04	2016/11/29	50.00
65711	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 Q	250,000,000	2016/08/05	2017/09/28	62.50
65697	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 Q	250,000,000	2016/08/05	2017/10/30	62.50
65714	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/08/05	2017/02/27	62.50
65754	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/08/05	2017/03/30	62.50
65716	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 P	250,000,000	2016/08/05	2017/03/30	62.50
65749	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/08/05	2017/11/29	50.00
65753	Credit Suisse AG - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/08/05	2017/11/29	50.00
65774	Credit Suisse AG - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/08/05	2016/11/29	50.00
65779	Credit Suisse AG - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/08/05	2017/01/26	50.00
65746	Bank of East Asia - CUni R Bull CBBC Jul 2017 A	40,000,000	2016/08/05	2017/07/06	10.00
65739	Bank of East Asia - China Res Land R Bull CBBC Nov 2017 A	30,000,000	2016/08/05	2017/11/09	10.50
65743	Bank of East Asia - China Res Land R Bull CBBC Dec 2017 A	20,000,000	2016/08/05	2017/12/08	11.00
65707	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/08/05	2017/12/28	50.00
65793	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/08/05	2016/11/29	50.00
65796	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/08/05	2016/11/29	50.00
65783	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 G	200,000,000	2016/08/05	2018/01/30	50.00
65785	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 H	200,000,000	2016/08/05	2018/01/30	50.00
65790	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/08/05	2016/11/29	50.00
65789	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/08/05	2017/01/26	50.00
65792	J P Morgan SP BV - Ping An R Bull CBBC Sep 2017 C	100,000,000	2016/08/05	2017/09/15	25.00
65698	SG Issuer - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/08/05	2017/12/28	50.00
65706	SG Issuer - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/08/05	2017/12/28	50.00
65708	SG Issuer - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/08/05	2016/12/29	50.00
65770	UBS AG - CAM CSI300 R Bull CBBC Aug 2017 B	50,000,000	2016/08/05	2017/08/21	12.50
65757	UBS AG - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/08/05	2017/10/30	50.00
65755	UBS AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/08/05	2017/11/29	50.00
65761	UBS AG - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/08/05	2016/11/29	50.00
65760	UBS AG - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/08/05	2017/02/27	50.00
65839	Credit Suisse AG - HSI R Bull CBBC Aug 2017 E	200,000,000	2016/08/08	2017/08/30	50.00
65842	Credit Suisse AG - HSI R Bull CBBC Sep 2017 B	200,000,000	2016/08/08	2017/09/28	50.00
65837	Credit Suisse AG - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/08/08	2016/11/29	50.00
65820	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 K	200,000,000	2016/08/08	2017/12/28	50.00
65825	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/08/08	2017/12/28	50.00
65826	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 I	200,000,000	2016/08/08	2018/01/30	50.00

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65821	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/08/08	2016/11/29	50.00
65823	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 K	200,000,000	2016/08/08	2017/01/26	50.00
65802	SG Issuer - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/08/08	2016/11/29	50.00
65804	SG Issuer - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/08/08	2016/12/29	50.00
65805	SG Issuer - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/08/08	2016/12/29	50.00
65814	SG Issuer - HSI R Bear CBBC Dec 2016 H	400,000,000	2016/08/08	2016/12/29	100.00
65811	SG Issuer - HSI R Bear CBBC Jan 2017 A	400,000,000	2016/08/08	2017/01/26	100.00
65817	SG Issuer - HSI R Bear CBBC Jan 2017 B	400,000,000	2016/08/08	2017/01/26	100.00
65832	UBS AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/08/08	2017/09/28	50.00
65833	UBS AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/08/08	2017/11/29	50.00
65830	UBS AG - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/08/08	2016/12/29	50.00
65865	J P Morgan SP BV - China Mobile R Bear CBBC Apr 2017 B	100,000,000	2016/08/09	2017/04/21	25.00
65848	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/08/09	2017/11/29	50.00
65856	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 J	200,000,000	2016/08/09	2018/01/30	50.00
65864	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 L	200,000,000	2016/08/09	2017/02/27	70.00
65868	J P Morgan SP BV - Tencent R Bear CBBC May 2017 B	50,000,000	2016/08/09	2017/05/12	12.50
65910	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 R	250,000,000	2016/08/10	2017/09/28	62.50
65908	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 R	250,000,000	2016/08/10	2017/10/30	62.50
65940	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 M	250,000,000	2016/08/10	2017/02/27	62.50
66069	Credit Suisse AG - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/08/10	2017/10/30	50.00
66082	Credit Suisse AG - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/08/10	2017/10/30	50.00
66066	Credit Suisse AG - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/08/10	2017/10/30	50.00
66095	Credit Suisse AG - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/08/10	2016/12/29	50.00
66097	Credit Suisse AG - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/08/10	2016/12/29	50.00
65881	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/08/10	2017/11/29	50.00
65876	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 L	200,000,000	2016/08/10	2017/12/28	50.00
65896	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 M	200,000,000	2016/08/10	2017/12/28	50.00
66016	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/08/10	2016/11/29	50.00
66025	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 R	200,000,000	2016/08/10	2016/11/29	50.00
65936	HK Bank - HSI R Bear CBBC Apr 2017 E	150,000,000	2016/08/10	2017/04/27	37.50
65935	HK Bank - HSI R Bear CBBC May 2017 B	150,000,000	2016/08/10	2017/05/29	37.50
66027	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/08/10	2017/11/29	50.00
66045	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/08/10	2017/12/28	50.00
66054	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 A	200,000,000	2016/08/10	2018/02/27	50.00
66159	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/08/10	2016/11/29	50.00
66050	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/08/10	2016/12/29	50.00
66012	SG Issuer - CMob R Bear CBBC Mar 2017 A	100,000,000	2016/08/10	2017/03/30	25.00
66006	SG Issuer - CP&CC R Bull CBBC Jul 2017 A	40,000,000	2016/08/10	2017/07/28	10.00
66000	SG Issuer - CP&CC R Bull CBBC Oct 2017 A	40,000,000	2016/08/10	2017/10/30	10.00
65957	SG Issuer - HSBC R Bull CBBC Sep 2018 B	100,000,000	2016/08/10	2018/09/27	25.00
65911	SG Issuer - HSI R Bull CBBC Jan 2018 A	200,000,000	2016/08/10	2018/01/30	50.00
65915	SG Issuer - HSI R Bull CBBC Jan 2018 B	200,000,000	2016/08/10	2018/01/30	50.00
65932	SG Issuer - HSI R Bull CBBC Jan 2018 C	200,000,000	2016/08/10	2018/01/30	50.00
65943	SG Issuer - HSI R Bear CBBC Nov 2016 U	200,000,000	2016/08/10	2016/11/29	50.00
65956	SG Issuer - HSI R Bear CBBC Nov 2016 Y	200,000,000	2016/08/10	2016/11/29	50.00

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65955	SG Issuer - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/08/10	2016/12/29	50.00
65993	SG Issuer - PICC P&C R Bull CBBC Sep 2017 A	40,000,000	2016/08/10	2017/09/28	10.00
65967	SG Issuer - PICC P&C R Bull CBBC Dec 2017 A	40,000,000	2016/08/10	2017/12/28	11.20
66099	UBS AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/08/10	2017/10/30	50.00
66103	UBS AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/08/10	2017/11/29	50.00
66120	UBS AG - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/08/10	2017/12/28	50.00
66143	UBS AG - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/08/10	2016/12/29	50.00
66136	UBS AG - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/08/10	2017/02/27	50.00
66276	Credit Suisse AG - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/08/11	2017/11/29	50.00
66239	Credit Suisse AG - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/08/11	2016/12/29	50.00
66268	Credit Suisse AG - Ping An R Bull CBBC Sep 2017 A	50,000,000	2016/08/11	2017/09/29	12.50
66275	Credit Suisse AG - Ping An R Bull CBBC Sep 2017 B	50,000,000	2016/08/11	2017/09/29	12.50
66259	Credit Suisse AG - Tencent R Bull CBBC Jun 2017 A	40,000,000	2016/08/11	2017/06/30	10.00
66261	Credit Suisse AG - Tencent R Bull CBBC Jun 2017 B	40,000,000	2016/08/11	2017/06/30	10.00
66264	Credit Suisse AG - Tencent R Bear CBBC Mar 2017 A	40,000,000	2016/08/11	2017/03/30	10.00
66265	Credit Suisse AG - Tencent R Bear CBBC Mar 2017 B	40,000,000	2016/08/11	2017/03/30	10.00
66238	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/08/11	2017/11/29	50.00
66166	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/08/11	2016/11/29	50.00
66163	Haitong Int'l Sec - HSI R Bull CBBC Feb 2018 A	200,000,000	2016/08/11	2018/02/27	50.20
66165	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/08/11	2017/02/27	50.00
66307	J P Morgan SP BV - CNOOC R Bear CBBC May 2017 A	60,000,000	2016/08/11	2017/05/19	15.00
66308	J P Morgan SP BV - HSBC R Bull CBBC Jul 2017 C	100,000,000	2016/08/11	2017/07/21	25.00
66317	J P Morgan SP BV - HSBC R Bear CBBC May 2017 A	100,000,000	2016/08/11	2017/05/12	25.00
66213	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 K	200,000,000	2016/08/11	2018/01/30	50.00
66189	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/08/11	2017/01/26	50.00
66207	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/08/11	2017/01/26	50.00
66216	SG Issuer - HSI R Bull CBBC Jan 2018 D	400,000,000	2016/08/11	2018/01/30	100.00
66218	SG Issuer - HSI R Bull CBBC Jan 2018 E	400,000,000	2016/08/11	2018/01/30	100.00
66227	SG Issuer - HSI R Bull CBBC Jan 2018 F	200,000,000	2016/08/11	2018/01/30	50.00
66176	SG Issuer - HSI R Bear CBBC Nov 2016 Z	200,000,000	2016/08/11	2016/11/29	50.00
66175	SG Issuer - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/08/11	2016/12/29	50.00
66295	UBS AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/08/11	2017/10/30	50.00
66291	UBS AG - HSI R Bear CBBC Jan 2017 W	200,000,000	2016/08/11	2017/01/26	50.00
66389	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 S	250,000,000	2016/08/12	2017/10/30	62.50
66325	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 P	250,000,000	2016/08/12	2017/02/27	62.50
66326	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 J	250,000,000	2016/08/12	2017/03/30	62.50
66378	Credit Suisse AG - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/08/12	2017/12/28	50.00
66381	Credit Suisse AG - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/08/12	2017/12/28	50.00
66387	Credit Suisse AG - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/08/12	2017/12/28	50.00
66407	Credit Suisse AG - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/08/12	2016/11/29	50.00
66369	Credit Suisse AG - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/08/12	2016/11/29	50.00
66397	Credit Suisse AG - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/08/12	2016/11/29	50.00
66374	Credit Suisse AG - HSI R Bear CBBC Dec 2016 T	200,000,000	2016/08/12	2016/12/29	50.00
66359	Credit Suisse AG - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/08/12	2017/01/26	50.00
66404	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/08/12	2017/11/29	50.00

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66342	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/08/12	2016/11/29	50.00
66343	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/08/12	2016/12/29	50.00
66328	HK Bank - Tencent R Bull CBBC Jul 2017 F	50,000,000	2016/08/12	2017/07/03	12.50
66329	HK Bank - Tencent R Bull CBBC Jul 2017 G	50,000,000	2016/08/12	2017/07/10	12.50
66327	HK Bank - Tencent R Bear CBBC Aug 2017 A	40,000,000	2016/08/12	2017/08/17	10.00
66431	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 C	40,000,000	2016/08/12	2017/11/17	10.00
66448	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/08/12	2017/11/29	50.00
66445	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 L	200,000,000	2016/08/12	2018/01/30	50.00
66412	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/08/12	2016/11/29	50.00
66408	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 V	200,000,000	2016/08/12	2016/12/29	50.00
66444	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/08/12	2017/01/26	50.00
66434	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/08/12	2017/02/27	50.00
66430	J P Morgan SP BV - Tencent R Bull CBBC Aug 2017 D	60,000,000	2016/08/12	2017/08/18	15.00
66347	SG Issuer - HSI R Bull CBBC Dec 2017 E	200,000,000	2016/08/12	2017/12/28	50.00
66348	SG Issuer - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/08/12	2017/12/28	50.00
66339	SG Issuer - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/08/12	2016/11/29	50.00
66341	SG Issuer - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/08/12	2016/12/29	50.00
66454	UBS AG - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/08/12	2017/12/28	50.00
66458	UBS AG - HSI R Bull CBBC Dec 2017 S	200,000,000	2016/08/12	2017/12/28	50.00
66451	UBS AG - HSI R Bear CBBC Nov 2016 H	200,000,000	2016/08/12	2016/11/29	50.00
66449	UBS AG - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/08/12	2017/02/27	50.00
66569	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Sep 2017 B	100,000,000	2016/08/15	2017/09/28	25.00
66552	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 S	250,000,000	2016/08/15	2017/09/28	62.50
66549	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 T	250,000,000	2016/08/15	2017/10/30	62.50
66557	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 U	250,000,000	2016/08/15	2017/10/30	62.50
66511	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Q	250,000,000	2016/08/15	2017/02/27	62.50
66518	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 X	250,000,000	2016/08/15	2017/02/27	62.50
66566	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 D	250,000,000	2016/08/15	2017/03/30	62.50
66514	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 N	250,000,000	2016/08/15	2017/03/30	62.50
66667	Credit Suisse AG - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/08/15	2017/12/28	50.00
66668	Credit Suisse AG - HSI R Bull CBBC Dec 2017 K	200,000,000	2016/08/15	2017/12/28	50.00
66616	Credit Suisse AG - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/08/15	2016/11/29	50.00
66625	Credit Suisse AG - HSI R Bear CBBC Nov 2016 R	200,000,000	2016/08/15	2016/11/29	50.00
66630	Credit Suisse AG - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/08/15	2016/12/29	50.00
66637	Credit Suisse AG - HSI R Bear CBBC Dec 2016 V	200,000,000	2016/08/15	2016/12/29	50.00
66647	Credit Suisse AG - HSI R Bear CBBC Dec 2016 W	200,000,000	2016/08/15	2016/12/29	50.00
66659	Credit Suisse AG - HSI R Bear CBBC Dec 2016 X	200,000,000	2016/08/15	2016/12/29	50.00
66660	Credit Suisse AG - HSI R Bear CBBC Dec 2016 Y	200,000,000	2016/08/15	2016/12/29	54.00
66665	Credit Suisse AG - HSI R Bear CBBC Dec 2016 Z	200,000,000	2016/08/15	2016/12/29	50.00
66727	Bank of East Asia - China Mobile R Bull CBBC Nov 2017 A	40,000,000	2016/08/15	2017/11/09	10.00
66718	Bank of East Asia - HSBC Holdings R Bull CBBC Jan 2018 B	40,000,000	2016/08/15	2018/01/25	10.00
66726	Bank of East Asia - HSBC Holdings R Bear CBBC Feb 2017 B	40,000,000	2016/08/15	2017/02/28	10.00
66732	Bank of East Asia - PICC P&C R Bull CBBC Oct 2017 A	40,000,000	2016/08/15	2017/10/23	10.00
66730	Bank of East Asia - Tencent R Bear CBBC Apr 2017 B	40,000,000	2016/08/15	2017/04/07	10.00
66702	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/08/15	2017/12/28	50.00

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66712	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/08/15	2017/12/28	50.00
66485	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 U	200,000,000	2016/08/15	2016/11/29	50.00
66583	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/08/15	2016/11/29	50.00
66488	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/08/15	2016/12/29	50.00
66470	HK Bank - HSI R Bull CBBC Jul 2017 I	150,000,000	2016/08/15	2017/07/28	37.50
66507	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/08/15	2017/10/30	52.80
66495	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/08/15	2017/02/27	50.80
66501	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/08/15	2017/02/27	50.40
66505	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/08/15	2017/02/27	50.80
66759	J P Morgan SP BV - HKEX R Bull CBBC Jun 2017 E	40,000,000	2016/08/15	2017/06/16	10.00
66761	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/08/15	2017/11/29	50.00
66760	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 M	200,000,000	2016/08/15	2018/01/30	50.00
66763	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 B	200,000,000	2016/08/15	2018/02/27	50.00
66743	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 U	200,000,000	2016/08/15	2016/11/29	50.00
66737	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 W	200,000,000	2016/08/15	2016/12/29	50.00
66747	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/08/15	2017/01/26	50.00
66749	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/08/15	2017/02/27	60.00
66753	J P Morgan SP BV - Tencent R Bull CBBC Apr 2017 D	40,000,000	2016/08/15	2017/04/21	10.00
66752	J P Morgan SP BV - Tencent R Bear CBBC May 2017 C	40,000,000	2016/08/15	2017/05/12	12.00
66535	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 A	40,000,000	2016/08/15	2017/04/27	10.00
66585	SG Issuer - HSI R Bull CBBC Jan 2018 G	200,000,000	2016/08/15	2018/01/30	50.00
66591	SG Issuer - HSI R Bull CBBC Jan 2018 H	200,000,000	2016/08/15	2018/01/30	50.00
66599	SG Issuer - HSI R Bull CBBC Jan 2018 I	200,000,000	2016/08/15	2018/01/30	50.00
66533	SG Issuer - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/08/15	2016/11/29	50.00
66529	SG Issuer - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/08/15	2016/11/29	50.00
66604	SG Issuer - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/08/15	2016/12/29	50.00
66694	UBS AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/08/15	2017/10/30	50.00
66692	UBS AG - HSI R Bull CBBC Nov 2017 M	200,000,000	2016/08/15	2017/11/29	50.00
66698	UBS AG - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/08/15	2017/12/28	50.00
66677	UBS AG - HSI R Bear CBBC Nov 2016 I	200,000,000	2016/08/15	2016/11/29	50.00
66679	UBS AG - HSI R Bear CBBC Nov 2016 J	200,000,000	2016/08/15	2016/11/29	50.00
66686	UBS AG - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/08/15	2016/11/29	50.00
66866	Credit Suisse AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/08/16	2017/11/29	50.00
66877	Credit Suisse AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/08/16	2017/11/29	50.00
66883	Credit Suisse AG - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/08/16	2016/12/29	50.00
66884	Credit Suisse AG - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/08/16	2016/12/29	50.00
66961	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/08/16	2017/10/30	50.00
66967	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/08/16	2017/10/30	50.00
66973	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 W	200,000,000	2016/08/16	2016/11/29	50.00
66796	HK Bank - CC Bank R Bull CBBC Aug 2017 B	50,000,000	2016/08/16	2017/08/31	12.50
66783	HK Bank - HKEX R Bull CBBC Sep 2017 D	50,000,000	2016/08/16	2017/09/25	12.50
66828	HK Bank - HSCEI R Bull CBBC Aug 2017 C	60,000,000	2016/08/16	2017/08/30	15.00
66769	HK Bank - HSI R Bull CBBC Jun 2017 G	150,000,000	2016/08/16	2017/06/29	37.50
66765	HK Bank - HSI R Bull CBBC Aug 2017 F	150,000,000	2016/08/16	2017/08/30	37.50
66807	HK Bank - ICBC R Bull CBBC Jul 2017 B	50,000,000	2016/08/16	2017/07/31	12.50

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66777	HK Bank - Lenovo R Bull CBBC Aug 2017 C	40,000,000	2016/08/16	2017/08/14	10.00
66784	HK Bank - Tencent R Bull CBBC Jun 2017 F	50,000,000	2016/08/16	2017/06/30	12.50
66957	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/08/16	2017/11/29	50.00
66960	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 N	200,000,000	2016/08/16	2018/01/30	50.00
66941	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/08/16	2016/11/29	50.00
66947	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/08/16	2017/01/26	50.00
66885	J P Morgan SP BV - Lenovo R Bull CBBC Dec 2017 A	100,000,000	2016/08/16	2017/12/15	25.00
66891	J P Morgan SP BV - Ping An R Bull CBBC Oct 2017 A	100,000,000	2016/08/16	2017/10/20	25.00
66830	SG Issuer - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/08/16	2017/12/28	50.00
66834	SG Issuer - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/08/16	2017/12/28	50.00
66837	SG Issuer - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/08/16	2017/12/28	50.00
66865	SG Issuer - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/08/16	2016/12/29	50.00
66894	UBS AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/08/16	2017/09/28	50.00
66893	UBS AG - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/08/16	2017/12/28	50.00
66904	UBS AG - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/08/16	2016/11/29	50.00
66901	UBS AG - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/08/16	2016/12/29	50.00
66924	UBS AG - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/08/16	2016/12/29	50.00
66907	UBS AG - HSI R Bear CBBC Jan 2017 X	200,000,000	2016/08/16	2017/01/26	50.00
66905	UBS AG - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/08/16	2017/02/27	50.00
66914	UBS AG - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/08/16	2017/02/27	52.00
66917	UBS AG - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/08/16	2017/02/27	66.00
67081	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 T	250,000,000	2016/08/17	2017/09/28	62.50
67027	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 B	250,000,000	2016/08/17	2017/02/27	62.50
67091	Credit Suisse AG - HSI R Bull CBBC Dec 2017 L	200,000,000	2016/08/17	2017/12/28	50.00
67107	Credit Suisse AG - HSI R Bull CBBC Dec 2017 M	200,000,000	2016/08/17	2017/12/28	50.00
67090	Credit Suisse AG - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/08/17	2016/12/29	50.00
67088	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/08/17	2017/12/28	50.00
67108	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 X	200,000,000	2016/08/17	2016/11/29	50.00
67034	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2017 A	50,000,000	2016/08/17	2017/07/31	12.50
67036	HK Bank - HS H-SH ETF R Bull CBBC Jul 2017 C	50,000,000	2016/08/17	2017/07/31	12.50
67037	HK Bank - HSI R Bear CBBC Oct 2017 B	250,000,000	2016/08/17	2017/10/30	75.00
67059	Haitong Int'l Sec - HSCEI R Bull CBBC Nov 2017 A	200,000,000	2016/08/17	2017/11/29	50.60
67058	Haitong Int'l Sec - HSCEI R Bull CBBC Dec 2017 A	200,000,000	2016/08/17	2017/12/28	50.40
67075	Haitong Int'l Sec - HSCEI R Bear CBBC Nov 2016 A	200,000,000	2016/08/17	2016/11/29	50.20
67074	Haitong Int'l Sec - HSCEI R Bear CBBC Dec 2016 A	200,000,000	2016/08/17	2016/12/29	50.40
66990	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/08/17	2017/11/29	50.40
66976	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/08/17	2017/12/28	51.20
66995	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 K	200,000,000	2016/08/17	2016/11/29	54.40
67006	Haitong Int'l Sec - HSI R Bear CBBC Nov 2016 L	200,000,000	2016/08/17	2016/11/29	54.60
67016	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/08/17	2017/02/27	50.00
67018	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/08/17	2017/02/27	53.40
67204	J P Morgan SP BV - CC Bank R Bull CBBC Aug 2017 A	100,000,000	2016/08/17	2017/08/18	25.00
67209	J P Morgan SP BV - CNOOC R Bull CBBC Sep 2017 A	100,000,000	2016/08/17	2017/09/21	25.00
67225	J P Morgan SP BV - HSCEI R Bull CBBC Jun 2017 D	100,000,000	2016/08/17	2017/06/29	25.00
67232	J P Morgan SP BV - HSCEI R Bull CBBC Jul 2017 B	100,000,000	2016/08/17	2017/07/28	25.00

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67203	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 O	200,000,000	2016/08/17	2018/01/30	50.00
67219	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 P	200,000,000	2016/08/17	2018/01/30	50.00
67189	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 W	200,000,000	2016/08/17	2016/11/29	50.00
67222	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/08/17	2017/01/26	50.00
67224	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 R	200,000,000	2016/08/17	2017/01/26	64.00
67043	SG Issuer - HKEX R Bull CBBC Oct 2017 A	100,000,000	2016/08/17	2017/10/30	25.00
67046	SG Issuer - HKEX R Bull CBBC Nov 2017 A	100,000,000	2016/08/17	2017/11/29	25.00
67047	SG Issuer - HKEX R Bear CBBC Mar 2017 A	100,000,000	2016/08/17	2017/03/30	25.00
67051	SG Issuer - HKEX R Bear CBBC Mar 2017 B	100,000,000	2016/08/17	2017/03/30	25.00
67039	SG Issuer - HSI R Bull CBBC Jan 2018 J	200,000,000	2016/08/17	2018/01/30	50.00
67080	SG Issuer - HSI R Bull CBBC Jan 2018 K	200,000,000	2016/08/17	2018/01/30	50.00
67041	SG Issuer - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/08/17	2016/11/29	50.00
67042	SG Issuer - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/08/17	2016/12/29	50.00
67053	SG Issuer - Tencent R Bull CBBC Sep 2017 A	100,000,000	2016/08/17	2017/09/28	25.00
67055	SG Issuer - Tencent R Bull CBBC Oct 2017 A	100,000,000	2016/08/17	2017/10/30	25.00
67057	SG Issuer - Tencent R Bear CBBC Mar 2017 A	100,000,000	2016/08/17	2017/03/30	25.00
67162	UBS AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/08/17	2017/09/28	50.00
67154	UBS AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/08/17	2017/10/30	50.00
67144	UBS AG - HSI R Bull CBBC Nov 2017 N	200,000,000	2016/08/17	2017/11/29	50.00
67128	UBS AG - HSI R Bear CBBC Nov 2016 M	200,000,000	2016/08/17	2016/11/29	50.00
67133	UBS AG - HSI R Bear CBBC Nov 2016 N	200,000,000	2016/08/17	2016/11/29	50.00
67135	UBS AG - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/08/17	2016/12/29	50.00
67138	UBS AG - HSI R Bear CBBC Jan 2017 Y	200,000,000	2016/08/17	2017/01/26	50.00
67140	UBS AG - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/08/17	2017/02/27	50.00
67279	BNP Paribas Arbit Issu B.V. - HKEX R Bull CBBC Jun 2017 C	40,000,000	2016/08/18	2017/06/30	10.00
67278	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Oct 2017 B	100,000,000	2016/08/18	2017/10/30	25.00
67277	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 N	250,000,000	2016/08/18	2017/02/27	62.50
67270	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/08/18	2017/03/30	62.50
67318	Credit Suisse AG - HSBC R Bull CBBC Sep 2017 A	50,000,000	2016/08/18	2017/09/28	12.50
67324	Credit Suisse AG - HSBC R Bear CBBC Feb 2017 A	50,000,000	2016/08/18	2017/02/27	12.50
67407	Credit Suisse AG - HSCEI R Bull CBBC Nov 2017 A	100,000,000	2016/08/18	2017/11/29	25.00
67412	Credit Suisse AG - HSCEI R Bear CBBC Dec 2016 A	100,000,000	2016/08/18	2016/12/29	25.00
67317	Credit Suisse AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/08/18	2017/11/29	50.00
67306	Credit Suisse AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/08/18	2017/11/29	50.00
67335	Credit Suisse AG - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/08/18	2017/12/28	50.00
67295	Credit Suisse AG - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/08/18	2016/11/29	50.00
67283	Credit Suisse AG - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/08/18	2016/12/29	50.00
67303	Credit Suisse AG - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/08/18	2016/12/29	50.00
67305	Credit Suisse AG - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/08/18	2016/12/29	50.00
67340	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/08/18	2017/12/28	50.00
67341	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 Y	200,000,000	2016/08/18	2016/11/29	50.00
67252	HK Bank - CNOOC R Bull CBBC Oct 2017 A	50,000,000	2016/08/18	2017/10/30	12.50
67244	HK Bank - Galaxy Ent R Bull CBBC Aug 2017 B	40,000,000	2016/08/18	2017/08/31	10.00
67325	HK Bank - HKEX R Bull CBBC Sep 2017 E	50,000,000	2016/08/18	2017/09/29	12.50
67328	HK Bank - HKEX R Bull CBBC Oct 2017 C	50,000,000	2016/08/18	2017/10/31	12.50

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67332	HK Bank - HKEX R Bear CBBC Jul 2017 A	50,000,000	2016/08/18	2017/07/31	12.50
67233	HK Bank - Sands China R Bull CBBC Aug 2017 B	40,000,000	2016/08/18	2017/08/17	10.00
67346	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Sep 2017 A	100,000,000	2016/08/18	2017/09/15	25.00
67349	J P Morgan SP BV - A50 China R Bull CBBC Oct 2017 A	100,000,000	2016/08/18	2017/10/20	25.00
67368	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 G	40,000,000	2016/08/18	2017/09/15	10.00
67352	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 C	100,000,000	2016/08/18	2017/11/17	25.00
67389	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/08/18	2017/10/30	50.00
67384	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/08/18	2017/11/29	50.00
67344	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 X	200,000,000	2016/08/18	2016/12/29	50.00
67379	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 Y	200,000,000	2016/08/18	2016/12/29	50.00
67370	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 S	200,000,000	2016/08/18	2017/01/26	80.00
67373	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/08/18	2017/01/26	50.00
67383	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 U	200,000,000	2016/08/18	2017/01/26	50.00
67268	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 B	40,000,000	2016/08/18	2017/04/27	10.00
67253	SG Issuer - CSA50 R Bull CBBC Jun 2017 A	100,000,000	2016/08/18	2017/06/29	25.00
67264	SG Issuer - A50 R Bull CBBC Jun 2017 A	100,000,000	2016/08/18	2017/06/29	25.00
67254	SG Issuer - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/08/18	2017/12/28	50.00
67256	SG Issuer - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/08/18	2016/12/29	50.00
67405	UBS AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/08/18	2017/09/28	50.00
67406	UBS AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/08/18	2017/10/30	50.00
67394	UBS AG - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/08/18	2017/01/26	50.00
67393	UBS AG - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/08/18	2017/02/27	50.00
67399	UBS AG - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/08/18	2017/02/27	50.00
67400	UBS AG - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/08/18	2017/02/27	50.00
67547	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 G	100,000,000	2016/08/19	2017/02/27	25.00
67539	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 U	250,000,000	2016/08/19	2017/09/28	62.50
67535	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 V	250,000,000	2016/08/19	2017/10/30	62.50
67416	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 U	250,000,000	2016/08/19	2017/02/27	62.50
67413	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 V	250,000,000	2016/08/19	2017/03/30	62.50
67550	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Jun 2017 A	50,000,000	2016/08/19	2017/06/30	12.50
67581	Credit Suisse AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/08/19	2017/12/28	50.00
67596	Credit Suisse AG - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/08/19	2017/12/28	50.00
67571	Credit Suisse AG - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/08/19	2016/12/29	50.00
67577	Credit Suisse AG - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/08/19	2016/12/29	50.00
67557	Bank of East Asia - HKEX R Bull CBBC Nov 2017 A	40,000,000	2016/08/19	2017/11/08	10.00
67568	Bank of East Asia - Tencent R Bull CBBC Nov 2017 A	40,000,000	2016/08/19	2017/11/07	10.00
67603	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/08/19	2017/12/28	50.00
67609	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 S	200,000,000	2016/08/19	2017/12/28	50.00
67498	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 Z	200,000,000	2016/08/19	2016/11/29	50.00
67488	HK Bank - HKEX R Bear CBBC Jul 2017 B	40,000,000	2016/08/19	2017/07/31	14.00
67469	HK Bank - HSCEI R Bull CBBC Aug 2017 D	60,000,000	2016/08/19	2017/08/30	15.00
67471	HK Bank - HSI R Bull CBBC Aug 2017 G	150,000,000	2016/08/19	2017/08/30	37.50
67465	HK Bank - Tencent R Bull CBBC Sep 2017 B	50,000,000	2016/08/19	2017/09/18	12.50
67681	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2017 A	100,000,000	2016/08/19	2017/11/17	25.00
67682	J P Morgan SP BV - HSBC R Bull CBBC Dec 2017 B	100,000,000	2016/08/19	2017/12/15	25.00

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67670	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/08/19	2017/10/30	50.00
67652	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Q	200,000,000	2016/08/19	2018/01/30	50.00
67650	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 X	200,000,000	2016/08/19	2016/11/29	80.00
67671	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 Y	200,000,000	2016/08/19	2016/11/29	50.00
67672	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 Z	200,000,000	2016/08/19	2016/11/29	50.00
67673	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 V	200,000,000	2016/08/19	2017/01/26	50.00
67674	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 W	200,000,000	2016/08/19	2017/01/26	50.00
67675	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 A	200,000,000	2016/08/19	2017/04/27	70.00
67680	J P Morgan SP BV - Sands China R Bull CBBC Sep 2017 A	100,000,000	2016/08/19	2017/09/15	25.00
67518	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 I	40,000,000	2016/08/19	2017/03/30	10.40
67523	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 J	40,000,000	2016/08/19	2017/03/30	10.00
67601	SG Issuer - HSI R Bull CBBC Dec 2017 K	200,000,000	2016/08/19	2017/12/28	50.00
67599	SG Issuer - HSI R Bull CBBC Jan 2018 L	200,000,000	2016/08/19	2018/01/30	50.00
67600	SG Issuer - HSI R Bull CBBC Jan 2018 M	200,000,000	2016/08/19	2018/01/30	50.00
67503	SG Issuer - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/08/19	2016/11/29	50.00
67505	SG Issuer - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/08/19	2016/12/29	50.00
67514	SG Issuer - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/08/19	2017/01/26	50.00
67516	SG Issuer - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/08/19	2017/01/26	50.00
67646	UBS AG - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/08/19	2017/10/30	50.00
67642	UBS AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/08/19	2017/11/29	50.00
67610	UBS AG - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/08/19	2017/01/26	50.00
67614	UBS AG - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/08/19	2017/01/26	50.00
67616	UBS AG - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/08/19	2017/01/26	50.00
67631	UBS AG - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/08/19	2017/01/26	50.00
67635	UBS AG - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/08/19	2017/03/30	69.00
67777	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF R Bull CBBC Jun17B	80,000,000	2016/08/22	2017/06/30	20.00
67778	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2017 B	80,000,000	2016/08/22	2017/06/30	20.00
67785	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Sep 2017 C	100,000,000	2016/08/22	2017/09/28	25.00
67792	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 H	100,000,000	2016/08/22	2017/02/27	25.00
67787	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 F	100,000,000	2016/08/22	2017/03/30	25.00
67795	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 G	100,000,000	2016/08/22	2017/03/30	25.00
67780	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2017 V	250,000,000	2016/08/22	2017/09/28	62.50
67779	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Oct 2017 W	250,000,000	2016/08/22	2017/10/30	62.50
67784	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 C	250,000,000	2016/08/22	2017/02/27	62.50
67782	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 I	250,000,000	2016/08/22	2017/02/27	62.50
67718	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/08/22	2017/03/30	62.50
67830	Credit Suisse AG - HSCEI R Bear CBBC Jan 2017 A	100,000,000	2016/08/22	2017/01/26	25.00
67853	Credit Suisse AG - HSI R Bull CBBC Sep 2017 C	200,000,000	2016/08/22	2017/09/28	50.00
67854	Credit Suisse AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/08/22	2017/09/28	50.00
67851	Credit Suisse AG - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/08/22	2016/11/29	50.00
67852	Credit Suisse AG - HSI R Bear CBBC Nov 2016 U	200,000,000	2016/08/22	2016/11/29	50.00
67841	Credit Suisse AG - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/08/22	2016/12/29	52.00
67838	Credit Suisse AG - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/08/22	2017/01/26	58.00
67845	Credit Suisse AG - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/08/22	2017/01/26	50.00
67849	Credit Suisse AG - HSI R Bear CBBC Jan 2017 K	200,000,000	2016/08/22	2017/01/26	50.00

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67831	Credit Suisse AG - HSI R Bear CBBC May 2017 A	200,000,000	2016/08/22	2017/05/29	56.00
67860	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/08/22	2017/11/29	50.00
67863	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/08/22	2017/11/29	50.00
67719	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/08/22	2016/11/29	50.00
67696	HK Bank - CC Bank R Bear CBBC Apr 2017 C	40,000,000	2016/08/22	2017/04/28	10.00
67700	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2017 A	50,000,000	2016/08/22	2017/06/30	12.50
67705	HK Bank - A50 R Bull CBBC Jul 2017 A	50,000,000	2016/08/22	2017/07/28	12.50
67758	HK Bank - HKEX R Bull CBBC Oct 2017 D	50,000,000	2016/08/22	2017/10/16	12.50
67694	HK Bank - HSCEI R Bull CBBC Jul 2017 E	60,000,000	2016/08/22	2017/07/28	15.00
67761	HK Bank - HSCEI R Bull CBBC Sep 2017 B	60,000,000	2016/08/22	2017/09/28	15.00
67711	HK Bank - HSI R Bull CBBC Jul 2017 J	150,000,000	2016/08/22	2017/07/28	37.50
67759	HK Bank - HSI R Bull CBBC Sep 2017 D	150,000,000	2016/08/22	2017/09/28	37.50
67756	HK Bank - Tencent R Bull CBBC Aug 2017 E	50,000,000	2016/08/22	2017/08/31	12.50
67689	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/08/22	2017/10/30	50.60
67690	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/08/22	2017/10/30	50.40
67691	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/08/22	2017/01/26	50.20
67692	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/08/22	2017/01/26	50.20
67894	J P Morgan SP BV - China Life R Bull CBBC Oct 2017 A	60,000,000	2016/08/22	2017/10/20	15.00
67905	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Oct 2017 A	100,000,000	2016/08/22	2017/10/20	25.00
67895	J P Morgan SP BV - A50 China R Bull CBBC Nov 2017 A	100,000,000	2016/08/22	2017/11/17	25.00
67904	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 D	40,000,000	2016/08/22	2017/10/20	10.00
67909	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2017 B	100,000,000	2016/08/22	2017/12/28	25.00
67879	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/08/22	2017/11/29	50.00
67872	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 R	200,000,000	2016/08/22	2018/01/30	50.00
67752	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/08/22	2016/11/29	50.00
67888	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/08/22	2016/12/29	50.00
67876	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 Z	200,000,000	2016/08/22	2016/12/29	50.00
67870	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 X	200,000,000	2016/08/22	2017/01/26	50.00
67873	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Y	200,000,000	2016/08/22	2017/01/26	50.00
67907	J P Morgan SP BV - Ping An R Bull CBBC Oct 2017 B	100,000,000	2016/08/22	2017/10/20	25.00
67896	J P Morgan SP BV - Tencent R Bull CBBC Jun 2017 E	40,000,000	2016/08/22	2017/06/16	10.00
67769	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 C	40,000,000	2016/08/22	2017/04/27	10.00
67724	SG Issuer - CSA50 R Bull CBBC Aug 2017 A	100,000,000	2016/08/22	2017/08/30	25.00
67728	SG Issuer - CSA50 R Bear CBBC Mar 2017 A	100,000,000	2016/08/22	2017/03/30	25.00
67742	SG Issuer - A50 R Bull CBBC Aug 2017 A	100,000,000	2016/08/22	2017/08/30	25.00
67743	SG Issuer - A50 R Bear CBBC Mar 2017 A	100,000,000	2016/08/22	2017/03/30	25.00
67734	SG Issuer - HKEX R Bull CBBC Oct 2017 B	100,000,000	2016/08/22	2017/10/30	25.00
67741	SG Issuer - HKEX R Bull CBBC Nov 2017 B	100,000,000	2016/08/22	2017/11/29	25.00
67771	SG Issuer - HSI R Bull CBBC Jan 2018 N	200,000,000	2016/08/22	2018/01/30	50.00
67772	SG Issuer - HSI R Bull CBBC Jan 2018 O	200,000,000	2016/08/22	2018/01/30	50.00
67776	SG Issuer - HSI R Bull CBBC Jan 2018 P	200,000,000	2016/08/22	2018/01/30	50.00
67730	SG Issuer - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/08/22	2016/11/29	50.00
67733	SG Issuer - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/08/22	2016/12/29	50.00
67748	SG Issuer - Tencent R Bull CBBC Sep 2017 B	100,000,000	2016/08/22	2017/09/28	25.00
67749	SG Issuer - Tencent R Bear CBBC May 2017 A	100,000,000	2016/08/22	2017/05/29	25.00

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67808	UBS AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/08/22	2017/10/30	50.00
67809	UBS AG - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/08/22	2017/10/30	50.00
67807	UBS AG - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/08/22	2017/11/29	50.00
67804	UBS AG - HSI R Bear CBBC Nov 2016 O	200,000,000	2016/08/22	2016/11/29	50.00
67810	UBS AG - HSI R Bear CBBC Nov 2016 P	200,000,000	2016/08/22	2016/11/29	50.00
67805	UBS AG - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/08/22	2016/12/29	50.00
67812	UBS AG - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/08/22	2016/12/29	50.00
67813	UBS AG - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/08/22	2016/12/29	50.00
67816	UBS AG - HSI R Bear CBBC Feb 2017 Z	200,000,000	2016/08/22	2017/02/27	50.00
67942	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 T	250,000,000	2016/08/23	2017/02/27	62.50
67943	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Z	250,000,000	2016/08/23	2017/02/27	62.50
67953	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 W	250,000,000	2016/08/23	2017/03/30	70.00
68042	Credit Suisse AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/08/23	2017/10/30	50.00
68053	Credit Suisse AG - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/08/23	2016/12/29	50.00
68039	Credit Suisse AG - Ping An R Bull CBBC Oct 2017 A	50,000,000	2016/08/23	2017/10/31	12.50
68040	Credit Suisse AG - Tencent R Bull CBBC Jul 2017 A	40,000,000	2016/08/23	2017/07/28	10.00
68041	Credit Suisse AG - Tencent R Bear CBBC Apr 2017 A	40,000,000	2016/08/23	2017/04/28	10.00
68050	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/08/23	2017/12/28	50.00
68049	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 C	200,000,000	2016/08/23	2016/11/29	50.00
68012	HK Bank - ABC R Bull CBBC Jul 2017 A	50,000,000	2016/08/23	2017/07/31	12.50
68037	HK Bank - BOCL R Bull CBBC Aug 2017 A	50,000,000	2016/08/23	2017/08/28	12.50
68020	HK Bank - China Life R Bull CBBC Aug 2017 A	50,000,000	2016/08/23	2017/08/28	12.50
68013	HK Bank - HSCEI R Bear CBBC May 2017 A	50,000,000	2016/08/23	2017/05/29	12.50
68009	HK Bank - HSI R Bear CBBC Feb 2017 M	150,000,000	2016/08/23	2017/02/27	37.50
68003	HK Bank - HSI R Bear CBBC Mar 2017 L	150,000,000	2016/08/23	2017/03/30	37.50
68004	HK Bank - HSI R Bear CBBC Apr 2017 F	150,000,000	2016/08/23	2017/04/27	37.50
68007	HK Bank - HSI R Bear CBBC Oct 2017 C	150,000,000	2016/08/23	2017/10/30	37.50
68036	HK Bank - Tencent R Bull CBBC Aug 2017 F	50,000,000	2016/08/23	2017/08/28	12.50
68030	HK Bank - Tencent R Bull CBBC Oct 2017 A	40,000,000	2016/08/23	2017/10/30	10.00
67922	Haitong Int'l Sec - HSCEI R Bull CBBC Sep 2017 A	100,000,000	2016/08/23	2017/09/28	25.20
67924	Haitong Int'l Sec - HSCEI R Bear CBBC Jan 2017 A	100,000,000	2016/08/23	2017/01/26	25.10
67926	Haitong Int'l Sec - HSCEI R Bear CBBC Jan 2017 B	100,000,000	2016/08/23	2017/01/26	25.20
67927	Haitong Int'l Sec - HSCEI R Bear CBBC Mar 2017 A	100,000,000	2016/08/23	2017/03/30	25.20
67912	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/08/23	2017/11/29	50.60
67915	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/08/23	2017/11/29	50.80
67916	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/08/23	2017/01/26	50.20
67919	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/08/23	2017/01/26	50.00
67921	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/08/23	2017/01/26	50.20
68087	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 S	200,000,000	2016/08/23	2018/01/30	50.00
68098	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 T	200,000,000	2016/08/23	2018/01/30	50.00
68099	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/08/23	2016/11/29	50.00
68101	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/08/23	2017/01/26	50.00
68100	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/08/23	2017/01/26	50.00
68097	J P Morgan SP BV - Ping An R Bull CBBC Nov 2017 A	100,000,000	2016/08/23	2017/11/17	25.00
68088	J P Morgan SP BV - Tencent R Bull CBBC Aug 2017 E	40,000,000	2016/08/23	2017/08/18	10.00

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68093	J P Morgan SP BV - Tencent R Bear CBBC Jun 2017 A	40,000,000	2016/08/23	2017/06/16	10.40
67972	SG Issuer - CMob R Bull CBBC Sep 2017 A	100,000,000	2016/08/23	2017/09/28	25.00
67974	SG Issuer - CMob R Bull CBBC Oct 2017 D	100,000,000	2016/08/23	2017/10/30	25.00
67973	SG Issuer - CMob R Bull CBBC Nov 2017 A	100,000,000	2016/08/23	2017/11/29	25.00
67975	SG Issuer - CMob R Bull CBBC Dec 2017 A	100,000,000	2016/08/23	2017/12/28	25.00
67955	SG Issuer - CCB R Bull CBBC Aug 2017 A	40,000,000	2016/08/23	2017/08/30	10.00
67958	SG Issuer - CCB R Bull CBBC Oct 2017 A	40,000,000	2016/08/23	2017/10/30	10.00
67962	SG Issuer - CCB R Bear CBBC Apr 2017 A	40,000,000	2016/08/23	2017/04/27	10.00
68002	SG Issuer - China Life R Bull CBBC Sep 2018 A	100,000,000	2016/08/23	2018/09/27	25.00
68001	SG Issuer - China Life R Bull CBBC Nov 2018 A	100,000,000	2016/08/23	2018/11/29	25.00
67992	SG Issuer - HS H-SH ETF R Bull CBBC Oct 2017 A	100,000,000	2016/08/23	2017/10/30	25.00
67990	SG Issuer - HS H-SH ETF R Bull CBBC Dec 2017 A	100,000,000	2016/08/23	2017/12/28	25.00
67991	SG Issuer - HS H-SH ETF R Bull CBBC Jan 2018 A	100,000,000	2016/08/23	2018/01/30	25.00
67993	SG Issuer - HSBC R Bull CBBC Mar 2018 C	100,000,000	2016/08/23	2018/03/28	25.00
67995	SG Issuer - HSBC R Bull CBBC Sep 2018 C	100,000,000	2016/08/23	2018/09/27	25.00
67996	SG Issuer - HSBC R Bull CBBC Nov 2018 B	100,000,000	2016/08/23	2018/11/29	25.00
68043	SG Issuer - HSCEI R Bull CBBC Nov 2017 A	200,000,000	2016/08/23	2017/11/29	50.00
68047	SG Issuer - HSCEI R Bull CBBC Nov 2017 B	200,000,000	2016/08/23	2017/11/29	50.00
68044	SG Issuer - HSCEI R Bull CBBC Dec 2017 A	200,000,000	2016/08/23	2017/12/28	50.00
68048	SG Issuer - HSCEI R Bull CBBC Dec 2017 B	200,000,000	2016/08/23	2017/12/28	50.00
67978	SG Issuer - HSI R Bull CBBC Dec 2017 L	200,000,000	2016/08/23	2017/12/28	50.00
67979	SG Issuer - HSI R Bull CBBC Jan 2018 Q	200,000,000	2016/08/23	2018/01/30	50.00
67981	SG Issuer - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/08/23	2016/11/29	50.00
67986	SG Issuer - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/08/23	2016/12/29	50.00
68102	SG Issuer - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/08/23	2017/02/27	50.00
68107	SG Issuer - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/08/23	2017/02/27	50.00
68112	SG Issuer - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/08/23	2017/02/27	50.00
68113	SG Issuer - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/08/23	2017/02/27	50.00
67997	SG Issuer - Ping An R Bull CBBC Oct 2017 A	100,000,000	2016/08/23	2017/10/30	25.00
67998	SG Issuer - Ping An R Bull CBBC Nov 2017 B	100,000,000	2016/08/23	2017/11/29	25.00
67999	SG Issuer - Ping An R Bull CBBC Dec 2017 B	100,000,000	2016/08/23	2017/12/28	25.00
68000	SG Issuer - Tencent R Bull CBBC Sep 2017 C	100,000,000	2016/08/23	2017/09/28	25.00
68064	UBS AG - HSCEI R Bull CBBC Oct 2017 B	100,000,000	2016/08/23	2017/10/30	25.00
68055	UBS AG - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/08/23	2017/12/28	50.00
68086	UBS AG - HSI R Bull CBBC Dec 2017 W	200,000,000	2016/08/23	2017/12/28	50.00
68066	UBS AG - HSI R Bear CBBC Nov 2016 Q	200,000,000	2016/08/23	2016/11/29	50.00
68065	UBS AG - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/08/23	2016/12/29	50.00
68074	UBS AG - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/08/23	2017/01/26	50.00
68080	UBS AG - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/08/23	2017/03/30	50.00
68082	UBS AG - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/08/23	2017/03/30	50.00
68085	UBS AG - HSI R Bear CBBC Apr 2017 A	200,000,000	2016/08/23	2017/04/27	76.00
68132	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/08/24	2017/11/29	50.00
68134	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/08/24	2017/11/29	50.00
68135	Credit Suisse AG - HSI R Bear CBBC Nov 2016 X	200,000,000	2016/08/24	2016/11/29	50.00
68137	Credit Suisse AG - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/08/24	2016/12/29	50.00

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68204	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 D	200,000,000	2016/08/24	2016/11/29	50.00
68123	HK Bank - CC Bank R Bear CBBC May 2017 A	40,000,000	2016/08/24	2017/05/15	10.00
68115	HK Bank - CM Bank R Bull CBBC Aug 2017 A	40,000,000	2016/08/24	2017/08/31	14.00
68119	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2017 A	50,000,000	2016/08/24	2017/10/31	12.50
68116	HK Bank - A50 R Bull CBBC Aug 2017 A	50,000,000	2016/08/24	2017/08/31	12.50
68120	HK Bank - PICC P&C R Bull CBBC Sep 2017 A	40,000,000	2016/08/24	2017/09/29	10.00
68122	HK Bank - Tencent R Bear CBBC Aug 2017 B	40,000,000	2016/08/24	2017/08/31	14.00
68146	J P Morgan SP BV - HKEX R Bear CBBC Aug 2017 A	40,000,000	2016/08/24	2017/08/18	10.00
68149	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/08/24	2017/11/29	50.00
68140	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 C	200,000,000	2016/08/24	2018/02/27	50.00
68155	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/08/24	2017/01/26	50.00
68162	SG Issuer - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/08/24	2017/02/27	50.00
68165	SG Issuer - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/08/24	2017/02/27	50.00
68171	SG Issuer - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/08/24	2017/02/27	50.00
68181	SG Issuer - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/08/24	2017/02/27	50.00
68183	SG Issuer - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/08/24	2017/02/27	50.00
68186	SG Issuer - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/08/24	2017/02/27	50.00
68188	SG Issuer - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/08/24	2017/02/27	50.00
68197	SG Issuer - HSI R Bear CBBC Feb 2017 L	200,000,000	2016/08/24	2017/02/27	50.00
68199	SG Issuer - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/08/24	2017/02/27	50.00
68200	SG Issuer - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/08/24	2017/02/27	50.00
68131	UBS AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/08/24	2017/11/29	50.00
68129	UBS AG - HSI R Bear CBBC Nov 2016 R	200,000,000	2016/08/24	2016/11/29	50.00
68125	UBS AG - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/08/24	2017/01/26	50.00
68235	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 A	250,000,000	2016/08/25	2017/11/29	62.50
68234	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 A	250,000,000	2016/08/25	2017/12/28	62.50
68209	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 B	250,000,000	2016/08/25	2017/03/30	62.50
68237	BNP Paribas Arbit Issu B.V. - Ping An R Bull CBBC Jun 2017 B	50,000,000	2016/08/25	2017/06/30	12.50
68236	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Jun 2017 B	50,000,000	2016/08/25	2017/06/30	12.50
68283	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/08/25	2017/11/29	50.00
68280	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/08/25	2017/11/29	50.00
68307	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/08/25	2017/12/28	50.00
68271	Credit Suisse AG - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/08/25	2016/12/29	50.00
68275	Credit Suisse AG - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/08/25	2016/12/29	50.00
68297	Credit Suisse AG - Ping An R Bull CBBC Sep 2017 C	50,000,000	2016/08/25	2017/09/28	12.50
68298	Credit Suisse AG - Ping An R Bull CBBC Sep 2017 D	50,000,000	2016/08/25	2017/09/28	12.50
68296	Credit Suisse AG - Ping An R Bear CBBC Mar 2017 A	40,000,000	2016/08/25	2017/03/30	10.00
68293	Credit Suisse AG - Ping An R Bear CBBC Apr 2017 A	40,000,000	2016/08/25	2017/04/27	10.00
68292	Credit Suisse AG - Tencent R Bull CBBC Jul 2017 B	40,000,000	2016/08/25	2017/07/28	10.00
68284	Credit Suisse AG - Tencent R Bear CBBC Apr 2017 B	40,000,000	2016/08/25	2017/04/06	10.00
68233	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 A	200,000,000	2016/08/25	2018/01/30	50.00
68208	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 E	200,000,000	2016/08/25	2016/11/29	50.00
68325	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/08/25	2017/01/26	50.00
68214	HK Bank - CC Bank R Bull CBBC Aug 2017 C	50,000,000	2016/08/25	2017/08/21	12.50
68216	HK Bank - HKEX R Bear CBBC Mar 2017 A	40,000,000	2016/08/25	2017/03/27	10.00

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68217	HK Bank - HKEX R Bear CBBC Jun 2017 D	40,000,000	2016/08/25	2017/06/30	10.00
68221	HK Bank - HSI R Bull CBBC Aug 2017 H	150,000,000	2016/08/25	2017/08/30	37.50
68215	HK Bank - ICBC R Bull CBBC Aug 2017 C	50,000,000	2016/08/25	2017/08/31	12.50
68224	HK Bank - Tencent R Bull CBBC Aug 2017 G	50,000,000	2016/08/25	2017/08/21	12.50
68228	HK Bank - Tencent R Bull CBBC Aug 2017 H	50,000,000	2016/08/25	2017/08/14	12.50
68219	HK Bank - Tencent R Bear CBBC Feb 2017 E	40,000,000	2016/08/25	2017/02/27	10.00
68223	HK Bank - Tencent R Bear CBBC Mar 2017 A	40,000,000	2016/08/25	2017/03/31	10.00
68220	HK Bank - Tencent R Bear CBBC Apr 2017 D	40,000,000	2016/08/25	2017/04/28	12.00
68329	J P Morgan SP BV - CUni R Bull CBBC Sep 2017 A	50,000,000	2016/08/25	2017/09/15	12.50
68238	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/08/25	2017/10/30	50.00
68248	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 U	200,000,000	2016/08/25	2018/01/30	50.00
68211	J P Morgan SP BV - HSI R Bear CBBC Nov 2016 B	200,000,000	2016/08/25	2016/11/29	50.00
68249	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/08/25	2017/01/26	50.00
68212	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/08/25	2017/01/26	50.00
68250	J P Morgan SP BV - Ping An R Bull CBBC Dec 2017 A	150,000,000	2016/08/25	2017/12/15	37.50
68332	J P Morgan SP BV - Tencent R Bull CBBC Jul 2017 H	40,000,000	2016/08/25	2017/07/21	10.00
68326	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 B	40,000,000	2016/08/25	2017/09/15	10.00
68331	J P Morgan SP BV - Tencent R Bear CBBC Jul 2017 A	40,000,000	2016/08/25	2017/07/21	10.00
68230	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 D	40,000,000	2016/08/25	2017/04/27	10.00
68323	SG Issuer - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/08/25	2017/02/27	50.00
68253	SG Issuer - Tencent R Bull CBBC Sep 2017 D	100,000,000	2016/08/25	2017/09/28	25.00
68258	SG Issuer - Tencent R Bull CBBC Oct 2017 B	100,000,000	2016/08/25	2017/10/30	25.00
68256	SG Issuer - Tencent R Bull CBBC Nov 2017 A	100,000,000	2016/08/25	2017/11/29	25.00
68259	SG Issuer - Tencent R Bear CBBC Feb 2017 E	100,000,000	2016/08/25	2017/02/27	25.00
68264	SG Issuer - Tencent R Bear CBBC Mar 2017 B	100,000,000	2016/08/25	2017/03/30	25.00
68267	SG Issuer - Tencent R Bear CBBC May 2017 B	100,000,000	2016/08/25	2017/05/29	25.00
68321	UBS AG - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/08/25	2017/09/28	50.00
68317	UBS AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/08/25	2017/11/29	50.00
68320	UBS AG - HSI R Bull CBBC Dec 2017 X	200,000,000	2016/08/25	2017/12/28	50.00
68312	UBS AG - HSI R Bear CBBC Nov 2016 S	200,000,000	2016/08/25	2016/11/29	50.00
68313	UBS AG - HSI R Bear CBBC Nov 2016 T	200,000,000	2016/08/25	2016/11/29	50.00
68315	UBS AG - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/08/25	2016/12/29	50.00
68314	UBS AG - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/08/25	2017/01/26	50.00
68322	UBS AG - Tencent R Bull CBBC Jun 2017 F	50,000,000	2016/08/25	2017/06/26	12.50
68403	Credit Suisse AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/08/26	2017/09/28	50.00
68410	Credit Suisse AG - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/08/26	2016/11/29	50.00
68411	Credit Suisse AG - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/08/26	2017/01/26	50.00
68405	Credit Suisse AG - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/08/26	2017/03/30	50.00
68333	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 B	200,000,000	2016/08/26	2018/01/30	50.00
68425	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 F	200,000,000	2016/08/26	2016/11/29	50.00
68337	HK Bank - CUni R Bull CBBC Aug 2017 A	40,000,000	2016/08/26	2017/08/07	10.00
68348	HK Bank - HKEX R Bull CBBC Jul 2017 B	40,000,000	2016/08/26	2017/07/17	10.00
68347	HK Bank - HSI R Bear CBBC Apr 2017 G	150,000,000	2016/08/26	2017/04/27	37.50
68354	HK Bank - Tencent R Bear CBBC Oct 2017 A	40,000,000	2016/08/26	2017/10/31	18.00
68365	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/08/26	2017/10/30	50.40

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68372	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/08/26	2017/10/30	50.40
68373	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/08/26	2017/10/30	50.20
68380	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/08/26	2017/01/26	50.00
68382	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/08/26	2017/01/26	50.00
68422	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/08/26	2017/12/28	50.00
68383	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 K	40,000,000	2016/08/26	2017/03/30	10.20
68385	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 L	40,000,000	2016/08/26	2017/03/30	10.00
68336	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/08/26	2018/03/28	50.00
68416	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/08/26	2018/03/28	50.00
68417	SG Issuer - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/08/26	2017/02/27	50.00
68418	SG Issuer - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/08/26	2017/02/27	50.00
68386	UBS AG - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/08/26	2017/12/28	50.00
68397	UBS AG - HSI R Bear CBBC Nov 2016 U	200,000,000	2016/08/26	2016/11/29	50.00
68399	UBS AG - HSI R Bear CBBC Nov 2016 V	200,000,000	2016/08/26	2016/11/29	50.00
68394	UBS AG - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/08/26	2016/12/29	50.00
68398	UBS AG - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/08/26	2016/12/29	50.00
68390	UBS AG - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/08/26	2017/01/26	50.00
68431	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 B	250,000,000	2016/08/29	2017/11/29	62.50
68428	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 B	250,000,000	2016/08/29	2017/12/28	62.50
68436	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 E	250,000,000	2016/08/29	2017/03/30	62.50
68440	Credit Suisse AG - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/08/29	2017/12/28	50.00
68441	Credit Suisse AG - HSI R Bear CBBC Nov 2016 Y	200,000,000	2016/08/29	2016/11/29	50.00
68457	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 C	200,000,000	2016/08/29	2018/01/30	50.00
68443	Goldman Sachs SP (Asia) - HSI R Bear CBBC Nov 2016 G	200,000,000	2016/08/29	2016/11/29	50.00
68461	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 D	40,000,000	2016/08/29	2017/11/17	10.00
68469	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/08/29	2017/11/29	50.00
68458	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/08/29	2016/12/29	50.00
68462	J P Morgan SP BV - Tencent R Bull CBBC Jun 2017 F	40,000,000	2016/08/29	2017/06/16	10.00
68465	J P Morgan SP BV - Tencent R Bear CBBC Aug 2017 A	40,000,000	2016/08/29	2017/08/18	10.00
68432	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/08/29	2018/03/28	50.00
68444	SG Issuer - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/08/29	2017/02/27	50.00
68449	UBS AG - HSI R Bull CBBC Dec 2017 Z	200,000,000	2016/08/29	2017/12/28	50.00
68454	UBS AG - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/08/29	2016/12/29	50.00
68456	UBS AG - HSI R Bear CBBC Jan 2017 K	200,000,000	2016/08/29	2017/01/26	50.00
68453	UBS AG - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/08/29	2017/02/27	50.00
68505	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/08/30	2017/11/29	50.00
68506	Credit Suisse AG - HSI R Bear CBBC Nov 2016 Z	200,000,000	2016/08/30	2016/11/29	50.00
68511	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/08/30	2016/12/29	50.00
68470	HK Bank - HKEX R Bear CBBC Mar 2017 B	40,000,000	2016/08/30	2017/03/31	10.00
68477	HK Bank - HSCEI R Bear CBBC May 2017 B	50,000,000	2016/08/30	2017/05/29	12.50
68482	HK Bank - HSI R Bear CBBC Mar 2017 M	150,000,000	2016/08/30	2017/03/30	37.50
68473	HK Bank - ICBC R Bear CBBC Apr 2017 B	40,000,000	2016/08/30	2017/04/28	10.00
68475	HK Bank - Sands China R Bear CBBC Apr 2017 E	40,000,000	2016/08/30	2017/04/28	10.00
68548	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/08/30	2017/11/29	50.00
68550	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/08/30	2016/12/29	50.00

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68549	J P Morgan SP BV - Ping An R Bull CBBC Sep 2017 D	40,000,000	2016/08/30	2017/09/15	10.00
68483	SG Issuer - CCB R Bull CBBC Sep 2017 B	40,000,000	2016/08/30	2017/09/28	10.00
68486	SG Issuer - CCB R Bear CBBC Jun 2017 A	40,000,000	2016/08/30	2017/06/29	10.00
68487	SG Issuer - China Life R Bear CBBC Dec 2017 A	100,000,000	2016/08/30	2017/12/28	25.00
68496	SG Issuer - HSBC R Bear CBBC May 2017 A	100,000,000	2016/08/30	2017/05/29	25.00
68520	SG Issuer - HSI R Bull CBBC Mar 2018 D	200,000,000	2016/08/30	2018/03/28	50.00
68521	SG Issuer - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/08/30	2017/02/27	50.00
68527	SG Issuer - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/08/30	2017/02/27	50.00
68529	SG Issuer - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/08/30	2017/02/27	50.00
68541	SG Issuer - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/08/30	2017/02/27	50.00
68499	SG Issuer - Ping An R Bear CBBC Sep 2017 A	100,000,000	2016/08/30	2017/09/28	25.00
68507	UBS AG - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/08/30	2017/11/29	50.00
68509	UBS AG - HSI R Bear CBBC Nov 2016 W	200,000,000	2016/08/30	2016/11/29	50.00
68566	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 D	250,000,000	2016/08/31	2017/02/27	62.50
68553	Credit Suisse AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/08/31	2017/10/30	50.00
68554	Credit Suisse AG - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/08/31	2017/10/30	50.00
68565	Credit Suisse AG - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/08/31	2016/12/29	50.00
68563	Credit Suisse AG - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/08/31	2016/12/29	50.00
68551	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/08/31	2017/11/29	50.00
68590	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/08/31	2016/12/29	50.00
68595	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/08/31	2016/12/29	50.00
68596	J P Morgan SP BV - HKEX R Bear CBBC Jul 2017 A	40,000,000	2016/08/31	2017/07/21	10.00
68581	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/08/31	2017/10/30	50.00
68579	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/08/31	2017/10/30	50.00
68603	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/08/31	2016/12/29	50.00
68604	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/08/31	2016/12/29	50.00
68582	SG Issuer - HSI R Bull CBBC Mar 2018 E	200,000,000	2016/08/31	2018/03/28	50.00
68585	SG Issuer - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/08/31	2017/02/27	50.00
68586	SG Issuer - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/08/31	2017/02/27	50.00
68571	UBS AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/08/31	2017/10/30	50.00
68567	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/08/31	2017/10/30	50.00
68572	UBS AG - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/08/31	2017/01/26	50.00
68616	Credit Suisse AG - HSBC R Bull CBBC Nov 2017 A	50,000,000	2016/09/01	2017/11/24	12.50
68615	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/09/01	2017/11/29	50.00
68613	Bank of East Asia - CSOP A50 ETF R Bull CBBC Sep 2017 A	40,000,000	2016/09/01	2017/09/22	10.00
68614	Bank of East Asia - A50 China R Bull CBBC Sep 2017 A	40,000,000	2016/09/01	2017/09/22	10.00
68608	Bank of East Asia - Ping An R Bull CBBC Dec 2017 A	29,000,000	2016/09/01	2017/12/22	10.15
68610	Bank of East Asia - Tencent R Bull CBBC Jun 2017 A	40,000,000	2016/09/01	2017/06/26	10.00
68609	Bank of East Asia - Tencent R Bear CBBC Apr 2017 C	40,000,000	2016/09/01	2017/04/07	10.00
68628	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/09/01	2017/11/29	50.00
68635	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/09/01	2016/12/29	50.00
68636	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/09/01	2016/12/29	50.00
68639	UBS AG - HSI R Bull CBBC Dec 2017 B	200,000,000	2016/09/01	2017/12/28	50.00
68619	UBS AG - HSI R Bear CBBC Dec 2016 T	200,000,000	2016/09/01	2016/12/29	50.00
68621	UBS AG - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/09/01	2016/12/29	50.00

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68620	UBS AG - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/09/01	2017/03/30	50.00
68647	Credit Suisse AG - HSI R Bull CBBC Aug 2017 F	200,000,000	2016/09/02	2017/08/30	50.00
68643	Credit Suisse AG - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/09/02	2016/12/29	50.00
68660	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/09/02	2017/11/29	50.00
68640	HK Bank - Lenovo R Bull CBBC Aug 2017 D	40,000,000	2016/09/02	2017/08/31	10.00
68641	HK Bank - Tencent R Bear CBBC Apr 2017 E	40,000,000	2016/09/02	2017/04/28	10.00
68655	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 D	200,000,000	2016/09/02	2018/02/27	50.00
68653	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/09/02	2016/12/29	50.00
68662	SG Issuer - HSI R Bull CBBC Mar 2018 G	200,000,000	2016/09/02	2018/03/28	50.00
68663	SG Issuer - HSI R Bull CBBC Mar 2018 H	200,000,000	2016/09/02	2018/03/28	50.00
68667	SG Issuer - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/09/02	2017/01/26	54.00
68668	SG Issuer - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/09/02	2017/01/26	60.00
68648	UBS AG - HSI R Bear CBBC Dec 2016 V	200,000,000	2016/09/02	2016/12/29	50.00
68670	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 C	250,000,000	2016/09/05	2017/11/29	62.50
68669	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 C	250,000,000	2016/09/05	2017/12/28	62.50
68676	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/09/05	2017/03/30	62.50
68677	Credit Suisse AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/09/05	2017/09/28	50.00
68679	Credit Suisse AG - HSI R Bear CBBC Dec 2016 Z	200,000,000	2016/09/05	2016/12/29	50.00
68671	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/09/05	2017/12/28	50.00
68681	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/09/05	2017/10/30	50.00
68682	SG Issuer - HSI R Bull CBBC Mar 2018 I	200,000,000	2016/09/05	2018/03/28	50.00
68683	SG Issuer - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/09/05	2017/02/27	50.00
68686	UBS AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/09/05	2017/11/29	50.00
68688	UBS AG - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/09/05	2017/02/27	50.00
68766	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 D	250,000,000	2016/09/06	2017/12/28	62.50
68776	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/09/06	2017/11/29	50.00
68775	Credit Suisse AG - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/09/06	2017/01/26	50.00
68754	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/09/06	2017/01/26	50.00
68758	HK Bank - China Life R Bull CBBC Jul 2017 D	50,000,000	2016/09/06	2017/07/31	12.50
68759	HK Bank - Galaxy Ent R Bear CBBC Jul 2017 A	40,000,000	2016/09/06	2017/07/31	10.00
68765	HK Bank - Tencent R Bull CBBC Jul 2017 H	50,000,000	2016/09/06	2017/07/31	12.50
68784	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/09/06	2017/10/30	50.60
68785	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/09/06	2017/10/30	50.40
68788	Haitong Int'l Sec - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/09/06	2017/10/30	50.00
68791	Haitong Int'l Sec - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/09/06	2016/12/29	50.60
68792	Haitong Int'l Sec - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/09/06	2017/03/30	50.60
68797	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 E	40,000,000	2016/09/06	2017/11/17	10.00
68799	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/09/06	2017/10/30	50.00
68793	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/09/06	2016/12/29	50.00
68796	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 C	40,000,000	2016/09/06	2017/09/15	10.00
68690	SG Issuer - CNOOC R Bull CBBC Aug 2017 A	40,000,000	2016/09/06	2017/08/30	10.00
68738	SG Issuer - CP&CC R Bull CBBC Aug 2017 A	40,000,000	2016/09/06	2017/08/30	10.00
68692	SG Issuer - Galaxy R Bull CBBC Jun 2017 A	40,000,000	2016/09/06	2017/06/29	17.20
68697	SG Issuer - Galaxy R Bull CBBC Aug 2017 A	40,000,000	2016/09/06	2017/08/30	10.00
68698	SG Issuer - Galaxy R Bear CBBC Sep 2017 A	100,000,000	2016/09/06	2017/09/28	25.00

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68741	SG Issuer - HKEX R Bear CBBC Apr 2017 A	100,000,000	2016/09/06	2017/04/27	25.00
68748	SG Issuer - HKEX R Bear CBBC Apr 2017 B	100,000,000	2016/09/06	2017/04/27	25.00
68751	SG Issuer - HKEX R Bear CBBC Aug 2017 A	100,000,000	2016/09/06	2017/08/30	31.00
68781	SG Issuer - HSI R Bull CBBC Dec 2017 M	200,000,000	2016/09/06	2017/12/28	50.00
68777	SG Issuer - HSI R Bull CBBC Mar 2018 J	200,000,000	2016/09/06	2018/03/28	50.00
68783	SG Issuer - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/09/06	2017/01/26	50.00
68703	SG Issuer - PetroChina R Bull CBBC Aug 2017 A	40,000,000	2016/09/06	2017/08/30	10.00
68709	SG Issuer - Sands R Bull CBBC May 2017 A	40,000,000	2016/09/06	2017/05/29	19.20
68713	SG Issuer - Sands R Bull CBBC Jan 2018 A	40,000,000	2016/09/06	2018/01/30	11.60
68724	SG Issuer - Sands R Bear CBBC Feb 2017 A	40,000,000	2016/09/06	2017/02/27	23.60
68731	SG Issuer - Sands R Bear CBBC Jun 2017 A	100,000,000	2016/09/06	2017/06/29	25.00
68752	SG Issuer - Tencent R Bull CBBC Oct 2017 C	100,000,000	2016/09/06	2017/10/30	25.00
68753	SG Issuer - Tencent R Bull CBBC Dec 2017 A	100,000,000	2016/09/06	2017/12/28	25.00
68774	UBS AG - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/09/06	2017/09/28	50.00
68773	UBS AG - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/09/06	2017/12/28	50.00
68767	UBS AG - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/09/06	2017/01/26	50.00
68807	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/09/07	2017/02/27	62.50
68827	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 A	50,000,000	2016/09/07	2017/12/29	12.50
68828	Credit Suisse AG - HSBC R Bear CBBC Jan 2017 A	50,000,000	2016/09/07	2017/01/27	12.50
68830	Credit Suisse AG - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/09/07	2017/10/30	50.00
68831	Credit Suisse AG - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/09/07	2017/01/26	50.00
68802	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/09/07	2017/12/28	50.00
68814	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/09/07	2017/01/26	50.00
68821	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 D	100,000,000	2016/09/07	2017/11/17	25.00
68822	J P Morgan SP BV - HSBC R Bear CBBC Feb 2017 C	100,000,000	2016/09/07	2017/02/17	25.00
68820	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/09/07	2017/11/29	50.00
68826	SG Issuer - HSI R Bull CBBC Mar 2018 K	200,000,000	2016/09/07	2018/03/28	50.00
68818	UBS AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/09/07	2017/10/30	50.00
68815	UBS AG - HSI R Bear CBBC Dec 2016 W	200,000,000	2016/09/07	2016/12/29	50.00
68861	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 D	250,000,000	2016/09/08	2017/11/29	62.50
68835	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/09/08	2017/03/30	62.50
68847	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 B	50,000,000	2016/09/08	2017/12/15	12.50
68850	Credit Suisse AG - HSBC R Bear CBBC Feb 2017 B	50,000,000	2016/09/08	2017/02/10	12.50
68878	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/09/08	2017/11/29	50.00
68873	Credit Suisse AG - HSI R Bull CBBC Dec 2017 S	200,000,000	2016/09/08	2017/12/28	50.00
68853	Credit Suisse AG - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/09/08	2016/12/29	50.00
68854	Credit Suisse AG - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/09/08	2017/01/26	50.00
68962	Bank of East Asia - CKH R Bull CBBC Dec 2017 A	40,000,000	2016/09/08	2017/12/15	10.00
68965	Bank of East Asia - China Life R Bull CBBC Sep 2017 A	40,000,000	2016/09/08	2017/09/28	10.00
68967	Bank of East Asia - Galaxy Ent R Bull CBBC Sep 2017 A	25,000,000	2016/09/08	2017/09/28	10.00
68936	Bank of East Asia - HSBC Holdings R Bull CBBC Dec 2017 A	40,000,000	2016/09/08	2017/12/15	10.00
68935	Bank of East Asia - HSBC Holdings R Bear CBBC Mar 2017 A	40,000,000	2016/09/08	2017/03/01	10.00
68887	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 A	200,000,000	2016/09/08	2018/02/27	50.00
68881	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/09/08	2017/03/30	50.00
68884	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/09/08	2017/03/30	50.00

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68839	Goldman Sachs SP (Asia) - HSI R Bear CBBC Dec 2017 A	200,000,000	2016/09/08	2017/12/28	50.00
68846	HK Bank - HSCEI R Bull CBBC Jul 2017 F	60,000,000	2016/09/08	2017/07/28	15.00
68842	HK Bank - HSI R Bull CBBC Jun 2017 H	150,000,000	2016/09/08	2017/06/29	37.50
68933	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2017 B	100,000,000	2016/09/08	2017/11/17	25.00
68924	J P Morgan SP BV - HSBC R Bull CBBC Dec 2017 C	100,000,000	2016/09/08	2017/12/15	25.00
68928	J P Morgan SP BV - HSBC R Bear CBBC Feb 2017 D	100,000,000	2016/09/08	2017/02/17	25.00
68934	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/09/08	2017/11/29	50.00
68906	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/09/08	2016/12/29	50.00
68931	J P Morgan SP BV - Sands China R Bull CBBC Sep 2017 B	100,000,000	2016/09/08	2017/09/15	25.00
68894	SG Issuer - HSI R Bull CBBC Mar 2018 L	200,000,000	2016/09/08	2018/03/28	50.00
68898	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/09/08	2018/03/28	50.00
68899	SG Issuer - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/09/08	2017/01/26	50.00
68900	SG Issuer - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/09/08	2017/01/26	50.00
68892	UBS AG - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/09/08	2017/11/29	50.00
68891	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/09/08	2017/11/29	50.00
68890	UBS AG - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/09/08	2017/01/26	50.00
69003	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 E	250,000,000	2016/09/09	2017/12/28	62.50
68968	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/09/09	2017/02/27	62.50
68969	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 F	250,000,000	2016/09/09	2017/03/30	62.50
69006	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 R	250,000,000	2016/09/09	2017/03/30	62.50
69032	Credit Suisse AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/09/09	2017/10/30	50.00
69034	Credit Suisse AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/09/09	2017/10/30	50.00
69017	Credit Suisse AG - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/09/09	2016/12/29	50.00
69024	Credit Suisse AG - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/09/09	2016/12/29	50.00
69021	Credit Suisse AG - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/09/09	2017/01/26	50.00
69025	Credit Suisse AG - HSI R Bear CBBC Jan 2017 R	200,000,000	2016/09/09	2017/01/26	50.00
69026	Credit Suisse AG - HSI R Bear CBBC Jan 2017 S	200,000,000	2016/09/09	2017/01/26	50.00
69027	Credit Suisse AG - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/09/09	2017/03/30	50.00
69031	Credit Suisse AG - HSI R Bear CBBC Apr 2017 B	200,000,000	2016/09/09	2017/04/27	54.00
69056	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2018 B	200,000,000	2016/09/09	2018/02/27	50.00
68971	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/09/09	2017/02/27	50.00
69054	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/09/09	2017/02/27	50.00
69055	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/09/09	2017/02/27	50.00
69001	HK Bank - ABC R Bull CBBC Aug 2017 C	50,000,000	2016/09/09	2017/08/11	12.50
69002	HK Bank - ABC R Bear CBBC May 2017 A	40,000,000	2016/09/09	2017/05/08	10.00
68983	HK Bank - BOCL R Bear CBBC May 2017 A	40,000,000	2016/09/09	2017/05/08	10.00
69000	HK Bank - CC Bank R Bear CBBC May 2017 B	40,000,000	2016/09/09	2017/05/08	10.00
68995	HK Bank - HSI R Bull CBBC Jun 2017 I	150,000,000	2016/09/09	2017/06/29	37.50
68998	HK Bank - HSI R Bull CBBC Jun 2017 J	150,000,000	2016/09/09	2017/06/29	37.50
68989	HK Bank - HSI R Bull CBBC Jul 2017 K	150,000,000	2016/09/09	2017/07/28	37.50
68992	HK Bank - HSI R Bull CBBC Aug 2017 I	150,000,000	2016/09/09	2017/08/30	37.50
68999	HK Bank - Sands China R Bull CBBC Aug 2017 C	40,000,000	2016/09/09	2017/08/11	10.00
68973	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 G	125,000,000	2016/09/09	2017/12/28	31.63
68979	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 H	125,000,000	2016/09/09	2017/12/28	31.63
68980	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 H	125,000,000	2016/09/09	2017/01/26	31.25

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68982	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 I	125,000,000	2016/09/09	2017/01/26	31.25
69067	J P Morgan SP BV - CKH R Bull CBBC Oct 2017 A	80,000,000	2016/09/09	2017/10/20	20.00
69062	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/09/09	2017/10/30	50.00
69065	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/09/09	2017/10/30	50.00
69057	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/09/09	2016/12/29	50.00
69066	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/09/09	2017/01/26	50.00
69061	J P Morgan SP BV - Ping An R Bull CBBC Dec 2017 B	100,000,000	2016/09/09	2017/12/15	25.00
69009	SG Issuer - HSBC R Bull CBBC Sep 2018 D	100,000,000	2016/09/09	2018/09/27	25.00
69010	SG Issuer - HSBC R Bull CBBC Nov 2018 C	100,000,000	2016/09/09	2018/11/29	25.00
69015	SG Issuer - HSBC R Bear CBBC May 2017 B	100,000,000	2016/09/09	2017/05/29	25.00
69016	SG Issuer - HSBC R Bear CBBC May 2017 C	100,000,000	2016/09/09	2017/05/29	25.00
69035	SG Issuer - HSI R Bull CBBC Mar 2018 N	200,000,000	2016/09/09	2018/03/28	50.00
69039	SG Issuer - HSI R Bull CBBC Mar 2018 O	200,000,000	2016/09/09	2018/03/28	50.00
69042	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2016/09/09	2018/03/28	50.00
69043	SG Issuer - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/09/09	2017/01/26	50.00
69045	SG Issuer - HSI R Bear CBBC Jan 2017 K	200,000,000	2016/09/09	2017/01/26	50.00
69046	SG Issuer - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/09/09	2017/01/26	50.00
69052	UBS AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/09/09	2017/10/30	50.00
69051	UBS AG - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/09/09	2017/12/28	50.00
69048	UBS AG - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/09/09	2017/01/26	50.00
69049	UBS AG - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/09/09	2017/02/27	50.00
69153	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 A	100,000,000	2016/09/12	2017/12/28	25.00
69155	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 H	100,000,000	2016/09/12	2017/03/30	25.00
69123	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 E	250,000,000	2016/09/12	2017/11/29	62.50
69130	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 F	250,000,000	2016/09/12	2017/11/29	62.50
69127	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 F	250,000,000	2016/09/12	2017/12/28	62.50
69131	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 G	250,000,000	2016/09/12	2017/12/28	62.50
69082	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 E	250,000,000	2016/09/12	2017/02/27	62.50
69140	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 F	250,000,000	2016/09/12	2017/02/27	62.50
69091	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 J	250,000,000	2016/09/12	2017/02/27	62.50
69142	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 M	250,000,000	2016/09/12	2017/02/27	65.00
69089	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/09/12	2017/03/30	62.50
69138	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 G	250,000,000	2016/09/12	2017/03/30	62.50
69139	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 O	250,000,000	2016/09/12	2017/03/30	62.50
69133	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 P	250,000,000	2016/09/12	2017/03/30	62.50
69231	Credit Suisse AG - HSBC R Bull CBBC Nov 2017 B	60,000,000	2016/09/12	2017/11/10	15.00
69235	Credit Suisse AG - HSBC R Bear CBBC Apr 2017 A	60,000,000	2016/09/12	2017/04/13	15.00
69250	Credit Suisse AG - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/09/12	2017/12/28	50.00
69251	Credit Suisse AG - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/09/12	2017/12/28	50.00
69252	Credit Suisse AG - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/09/12	2017/12/28	50.00
69253	Credit Suisse AG - HSI R Bull CBBC Dec 2017 W	200,000,000	2016/09/12	2017/12/28	50.00
69197	Credit Suisse AG - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/09/12	2016/12/29	50.00
69198	Credit Suisse AG - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/09/12	2016/12/29	50.00
69201	Credit Suisse AG - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/09/12	2016/12/29	50.00
69200	Credit Suisse AG - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/09/12	2017/01/26	50.00

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69207	Credit Suisse AG - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/09/12	2017/02/27	50.00
69211	Credit Suisse AG - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/09/12	2017/02/27	50.00
69223	Credit Suisse AG - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/09/12	2017/02/27	50.00
69230	Credit Suisse AG - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/09/12	2017/03/30	64.00
69244	Credit Suisse AG - Ping An R Bull CBBC Oct 2017 B	40,000,000	2016/09/12	2017/10/27	10.00
69245	Credit Suisse AG - Ping An R Bear CBBC May 2017 A	40,000,000	2016/09/12	2017/05/26	10.00
69242	Credit Suisse AG - Tencent R Bull CBBC Aug 2017 A	40,000,000	2016/09/12	2017/08/25	10.00
69243	Credit Suisse AG - Tencent R Bear CBBC Mar 2017 C	40,000,000	2016/09/12	2017/03/24	10.00
69179	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/09/12	2017/10/30	50.00
69196	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/09/12	2017/10/30	50.00
69068	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/09/12	2017/02/27	50.00
69070	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/09/12	2017/02/27	50.00
69120	HK Bank - HKEX R Bull CBBC Sep 2017 F	50,000,000	2016/09/12	2017/09/28	12.50
69117	HK Bank - HKEX R Bull CBBC Oct 2017 E	50,000,000	2016/09/12	2017/10/30	12.50
69102	HK Bank - HSCEI R Bull CBBC Jun 2017 B	60,000,000	2016/09/12	2017/06/29	15.00
69104	HK Bank - HSCEI R Bear CBBC May 2017 C	50,000,000	2016/09/12	2017/05/29	12.50
69093	HK Bank - HSI R Bull CBBC Aug 2017 J	150,000,000	2016/09/12	2017/08/30	37.50
69096	HK Bank - HSI R Bull CBBC Sep 2017 E	150,000,000	2016/09/12	2017/09/28	37.50
69097	HK Bank - HSI R Bear CBBC Mar 2017 N	150,000,000	2016/09/12	2017/03/30	37.50
69099	HK Bank - HSI R Bear CBBC Apr 2017 H	150,000,000	2016/09/12	2017/04/27	37.50
69106	HK Bank - Tencent R Bull CBBC Jul 2017 I	50,000,000	2016/09/12	2017/07/17	12.50
69110	HK Bank - Tencent R Bear CBBC Aug 2017 C	40,000,000	2016/09/12	2017/08/31	14.00
69072	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 I	150,000,000	2016/09/12	2017/12/28	37.65
69075	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 J	150,000,000	2016/09/12	2017/12/28	37.50
69076	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 J	150,000,000	2016/09/12	2017/01/26	37.65
69077	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 K	150,000,000	2016/09/12	2017/01/26	37.95
69080	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 L	150,000,000	2016/09/12	2017/01/26	37.65
69308	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 A	40,000,000	2016/09/12	2017/12/15	10.00
69310	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 E	100,000,000	2016/09/12	2017/11/17	25.00
69193	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/09/12	2017/10/30	50.00
69194	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/09/12	2017/11/29	50.00
69195	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/09/12	2017/12/28	50.00
69182	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/09/12	2016/12/29	50.00
69185	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/09/12	2016/12/29	50.00
69191	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/09/12	2016/12/29	50.00
69192	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/09/12	2017/01/26	50.00
69311	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 A	40,000,000	2016/09/12	2017/10/20	10.00
69307	J P Morgan SP BV - Tencent R Bear CBBC Sep 2017 A	40,000,000	2016/09/12	2017/09/15	10.00
69156	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 E	40,000,000	2016/09/12	2017/04/27	10.00
69157	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 F	40,000,000	2016/09/12	2017/04/27	10.20
69158	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 M	40,000,000	2016/09/12	2017/03/30	10.00
69162	SG Issuer - CKH R Bull CBBC Sep 2017 A	100,000,000	2016/09/12	2017/09/28	25.00
69161	SG Issuer - CKH R Bull CBBC Oct 2017 A	100,000,000	2016/09/12	2017/10/30	25.00
69160	SG Issuer - CKH R Bull CBBC Nov 2017 A	100,000,000	2016/09/12	2017/11/29	25.00
69159	SG Issuer - CKH R Bull CBBC Dec 2017 D	100,000,000	2016/09/12	2017/12/28	25.00

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69164	SG Issuer - CKH R Bear CBBC May 2018 A	100,000,000	2016/09/12	2018/05/30	25.00
69282	SG Issuer - HSI R Bull CBBC Jan 2018 R	200,000,000	2016/09/12	2018/01/30	50.00
69289	SG Issuer - HSI R Bull CBBC Jan 2018 S	200,000,000	2016/09/12	2018/01/30	50.00
69281	SG Issuer - HSI R Bull CBBC Mar 2018 Q	200,000,000	2016/09/12	2018/03/28	50.00
69290	SG Issuer - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/09/12	2017/01/26	50.00
69293	SG Issuer - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/09/12	2017/01/26	50.00
69297	SG Issuer - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/09/12	2017/01/26	50.00
69298	SG Issuer - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/09/12	2017/01/26	50.00
69300	SG Issuer - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/09/12	2017/01/26	50.00
69302	SG Issuer - HSI R Bear CBBC Jan 2017 R	200,000,000	2016/09/12	2017/01/26	50.00
69303	SG Issuer - HSI R Bear CBBC Jan 2017 S	200,000,000	2016/09/12	2017/01/26	50.00
69306	SG Issuer - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/09/12	2017/01/26	50.00
69305	SG Issuer - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/09/12	2017/02/27	53.00
69304	SG Issuer - HSI R Bear CBBC Feb 2017 Z	200,000,000	2016/09/12	2017/02/27	50.00
69165	SG Issuer - Ping An R Bull CBBC Nov 2017 C	100,000,000	2016/09/12	2017/11/29	25.00
69167	SG Issuer - Ping An R Bear CBBC Jul 2017 A	100,000,000	2016/09/12	2017/07/28	25.00
69168	SG Issuer - Ping An R Bear CBBC Sep 2017 B	100,000,000	2016/09/12	2017/09/28	25.00
69173	SG Issuer - Tencent R Bull CBBC Sep 2017 E	100,000,000	2016/09/12	2017/09/28	25.00
69169	SG Issuer - Tencent R Bull CBBC Nov 2017 B	100,000,000	2016/09/12	2017/11/29	25.00
69177	SG Issuer - Tencent R Bear CBBC Jun 2017 A	100,000,000	2016/09/12	2017/06/29	25.00
69262	UBS AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/09/12	2017/09/28	50.00
69280	UBS AG - HSI R Bull CBBC Sep 2017 M	200,000,000	2016/09/12	2017/09/28	50.00
69275	UBS AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/09/12	2017/10/30	50.00
69272	UBS AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/09/12	2017/11/29	50.00
69279	UBS AG - HSI R Bull CBBC Dec 2017 E	200,000,000	2016/09/12	2017/12/28	50.00
69258	UBS AG - HSI R Bear CBBC Dec 2016 X	200,000,000	2016/09/12	2016/12/29	50.00
69261	UBS AG - HSI R Bear CBBC Dec 2016 Y	200,000,000	2016/09/12	2016/12/29	50.00
69259	UBS AG - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/09/12	2017/02/27	50.00
69256	UBS AG - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/09/12	2017/03/30	50.00
69330	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 G	250,000,000	2016/09/13	2017/11/29	62.50
69331	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 G	250,000,000	2016/09/13	2017/02/27	62.50
69312	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 J	250,000,000	2016/09/13	2017/03/30	62.50
69380	Credit Suisse AG - HKEX R Bull CBBC Sep 2017 A	50,000,000	2016/09/13	2017/09/29	12.50
69339	Credit Suisse AG - HSCEI R Bull CBBC Nov 2017 B	100,000,000	2016/09/13	2017/11/29	25.00
69340	Credit Suisse AG - HSCEI R Bear CBBC Feb 2017 A	100,000,000	2016/09/13	2017/02/27	25.00
69385	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/09/13	2017/11/29	50.00
69387	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/09/13	2017/11/29	50.00
69383	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/09/13	2017/11/29	50.00
69386	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/09/13	2017/11/29	50.00
69341	Credit Suisse AG - HSI R Bear CBBC Dec 2016 P	200,000,000	2016/09/13	2016/12/29	50.00
69342	Credit Suisse AG - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/09/13	2017/02/27	50.00
69353	Credit Suisse AG - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/09/13	2017/02/27	50.00
69355	Credit Suisse AG - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/09/13	2017/03/30	50.00
69356	Credit Suisse AG - HSI R Bear CBBC Apr 2017 C	200,000,000	2016/09/13	2017/04/27	52.00
69364	Credit Suisse AG - Tencent R Bull CBBC Sep 2017 A	50,000,000	2016/09/13	2017/09/29	12.50

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69372	Credit Suisse AG - Tencent R Bear CBBC Apr 2017 C	40,000,000	2016/09/13	2017/04/28	12.00
69388	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/09/13	2017/10/30	50.00
69389	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 D	200,000,000	2016/09/13	2018/01/30	50.00
69328	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/09/13	2017/02/27	50.00
69391	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/09/13	2017/03/30	50.00
69399	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/09/13	2017/03/30	50.00
69400	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/09/13	2017/03/30	50.00
69316	HK Bank - HKEX R Bull CBBC Sep 2017 G	50,000,000	2016/09/13	2017/09/28	12.50
69314	HK Bank - HSI R Bear CBBC May 2017 C	150,000,000	2016/09/13	2017/05/29	37.50
69320	HK Bank - HSI R Bear CBBC May 2017 D	200,000,000	2016/09/13	2017/05/29	50.00
69321	HK Bank - Tencent R Bull CBBC Jul 2017 J	50,000,000	2016/09/13	2017/07/24	12.50
69327	HK Bank - Tencent R Bull CBBC Aug 2017 I	50,000,000	2016/09/13	2017/08/31	12.50
69319	HK Bank - Tencent R Bear CBBC Nov 2017 A	40,000,000	2016/09/13	2017/11/30	18.00
69326	HK Bank - Tencent R Bear CBBC Nov 2017 B	40,000,000	2016/09/13	2017/11/30	20.00
69478	J P Morgan SP BV - AIA R Bull CBBC Oct 2017 A	100,000,000	2016/09/13	2017/10/20	25.00
69489	J P Morgan SP BV - China Life R Bull CBBC Jan 2018 A	100,000,000	2016/09/13	2018/01/19	25.00
69482	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 E	40,000,000	2016/09/13	2017/10/20	10.00
69477	J P Morgan SP BV - HSCEI R Bull CBBC Sep 2017 D	100,000,000	2016/09/13	2017/09/28	25.00
69476	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2017 A	100,000,000	2016/09/13	2017/04/27	25.00
69475	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/09/13	2017/10/30	50.00
69473	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 V	200,000,000	2016/09/13	2018/01/30	50.00
69470	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 E	200,000,000	2016/09/13	2018/02/27	50.00
69466	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/09/13	2016/12/29	50.00
69462	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 T	200,000,000	2016/09/13	2016/12/29	50.00
69464	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/09/13	2017/01/26	50.00
69465	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 K	200,000,000	2016/09/13	2017/01/26	50.00
69463	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 B	200,000,000	2016/09/13	2017/04/27	61.00
69479	J P Morgan SP BV - Ping An R Bull CBBC Nov 2017 B	150,000,000	2016/09/13	2017/11/17	37.50
69484	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 A	40,000,000	2016/09/13	2017/12/15	10.00
69483	J P Morgan SP BV - Tencent R Bear CBBC Oct 2017 A	40,000,000	2016/09/13	2017/10/20	10.00
69329	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 G	40,000,000	2016/09/13	2017/04/27	10.00
69426	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2016/09/13	2018/03/28	50.00
69427	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/09/13	2018/03/28	50.00
69437	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/09/13	2018/03/28	50.00
69439	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2016/09/13	2018/03/28	50.00
69444	SG Issuer - HSI R Bear CBBC Jan 2017 U	200,000,000	2016/09/13	2017/01/26	50.00
69445	SG Issuer - HSI R Bear CBBC Jan 2017 V	200,000,000	2016/09/13	2017/01/26	50.00
69454	SG Issuer - HSI R Bear CBBC Jan 2017 W	200,000,000	2016/09/13	2017/01/26	50.00
69457	SG Issuer - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/09/13	2017/02/27	51.00
69458	SG Issuer - Tencent R Bull CBBC Nov 2017 C	100,000,000	2016/09/13	2017/11/29	25.00
69459	SG Issuer - Tencent R Bear CBBC May 2017 C	100,000,000	2016/09/13	2017/05/29	25.00
69460	SG Issuer - Tencent R Bear CBBC Jul 2017 A	100,000,000	2016/09/13	2017/07/28	25.00
69417	UBS AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/09/13	2017/09/28	50.00
69416	UBS AG - HSI R Bull CBBC Sep 2017 N	200,000,000	2016/09/13	2017/09/28	50.00
69419	UBS AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/09/13	2017/11/29	50.00

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69414	UBS AG - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/09/13	2017/12/28	50.00
69404	UBS AG - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/09/13	2017/01/26	50.00
69403	UBS AG - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/09/13	2017/01/26	50.00
69407	UBS AG - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/09/13	2017/02/27	50.00
69410	UBS AG - HSI R Bear CBBC Apr 2017 B	200,000,000	2016/09/13	2017/04/27	50.00
69499	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 P	250,000,000	2016/09/14	2017/02/27	62.50
69562	Credit Suisse AG - China Life R Bull CBBC Aug 2017 A	40,000,000	2016/09/14	2017/08/31	10.00
69571	Credit Suisse AG - China Life R Bear CBBC Mar 2017 A	40,000,000	2016/09/14	2017/03/31	16.00
69546	Credit Suisse AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/09/14	2017/10/30	50.00
69549	Credit Suisse AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/09/14	2017/10/30	50.00
69554	Credit Suisse AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/09/14	2017/10/30	50.00
69573	Credit Suisse AG - HSI R Bear CBBC Jan 2017 U	200,000,000	2016/09/14	2017/01/26	50.00
69574	Credit Suisse AG - HSI R Bear CBBC Jan 2017 V	200,000,000	2016/09/14	2017/01/26	50.00
69559	Credit Suisse AG - Ping An R Bull CBBC Nov 2017 A	40,000,000	2016/09/14	2017/11/30	10.00
69561	Credit Suisse AG - Ping An R Bear CBBC Mar 2017 B	40,000,000	2016/09/14	2017/03/31	10.00
69517	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/09/14	2017/10/30	50.00
69512	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/09/14	2017/02/27	50.00
69505	HK Bank - AIA R Bull CBBC Sep 2017 A	40,000,000	2016/09/14	2017/09/29	10.00
69511	HK Bank - Lenovo R Bull CBBC Aug 2017 E	40,000,000	2016/09/14	2017/08/31	10.00
69510	HK Bank - Tencent R Bear CBBC Dec 2017 A	40,000,000	2016/09/14	2017/12/22	22.00
69490	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 M	150,000,000	2016/09/14	2017/01/26	37.50
69491	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 N	150,000,000	2016/09/14	2017/01/26	37.50
69492	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 O	150,000,000	2016/09/14	2017/01/26	37.50
69497	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 P	150,000,000	2016/09/14	2017/01/26	37.65
69498	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 Q	150,000,000	2016/09/14	2017/01/26	37.65
69613	J P Morgan SP BV - China Life R Bull CBBC Dec 2017 A	100,000,000	2016/09/14	2017/12/15	25.00
69589	J P Morgan SP BV - A50 China R Bull CBBC Dec 2017 A	100,000,000	2016/09/14	2017/12/19	25.00
69616	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/09/14	2017/10/30	50.00
69582	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 W	200,000,000	2016/09/14	2018/01/30	50.00
69617	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/09/14	2016/12/29	50.00
69585	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/09/14	2016/12/29	50.00
69599	J P Morgan SP BV - Ping An R Bull CBBC Dec 2017 C	100,000,000	2016/09/14	2017/12/15	25.00
69615	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 A	40,000,000	2016/09/14	2017/11/17	10.00
69537	SG Issuer - China Life R Bear CBBC Mar 2017 A	40,000,000	2016/09/14	2017/03/30	10.00
69538	SG Issuer - China Life R Bear CBBC Apr 2017 B	40,000,000	2016/09/14	2017/04/27	13.60
69540	SG Issuer - HKEX R Bull CBBC Oct 2017 C	100,000,000	2016/09/14	2017/10/30	25.00
69542	SG Issuer - HKEX R Bull CBBC Nov 2017 C	100,000,000	2016/09/14	2017/11/29	25.00
69544	SG Issuer - HKEX R Bear CBBC Apr 2017 C	100,000,000	2016/09/14	2017/04/27	28.00
69575	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/09/14	2018/03/28	50.00
69578	SG Issuer - HSI R Bull CBBC Mar 2018 W	200,000,000	2016/09/14	2018/03/28	50.00
69579	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/09/14	2018/03/28	50.00
69581	SG Issuer - HSI R Bear CBBC Jan 2017 X	200,000,000	2016/09/14	2017/01/26	50.00
69520	UBS AG - HSCEI R Bear CBBC Jan 2017 B	100,000,000	2016/09/14	2017/01/26	25.00
69535	UBS AG - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/09/14	2017/09/28	50.00
69518	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/09/14	2017/10/30	50.00

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69530	UBS AG - HSI R Bull CBBC Nov 2017 P	200,000,000	2016/09/14	2017/11/29	50.00
69525	UBS AG - HSI R Bear CBBC Dec 2016 Z	200,000,000	2016/09/14	2016/12/29	50.00
69521	UBS AG - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/09/14	2017/02/27	50.00
69524	UBS AG - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/09/14	2017/03/30	50.00
69523	UBS AG - HSI R Bear CBBC Apr 2017 C	200,000,000	2016/09/14	2017/04/27	50.00
69636	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2017 A	100,000,000	2016/09/15	2017/11/29	25.00
69618	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 H	250,000,000	2016/09/15	2017/11/29	62.50
69672	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 I	250,000,000	2016/09/15	2017/11/29	62.50
69627	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Q	250,000,000	2016/09/15	2017/02/27	62.50
69629	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 X	250,000,000	2016/09/15	2017/02/27	65.00
69623	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 L	250,000,000	2016/09/15	2017/03/30	62.50
69697	Credit Suisse AG - HSI R Bull CBBC Dec 2017 X	200,000,000	2016/09/15	2017/12/28	50.00
69691	Credit Suisse AG - HSI R Bear CBBC Jan 2017 W	200,000,000	2016/09/15	2017/01/26	50.00
69696	Credit Suisse AG - HSI R Bear CBBC Jan 2017 X	200,000,000	2016/09/15	2017/01/26	50.00
69683	Credit Suisse AG - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/09/15	2017/02/27	50.00
69689	Credit Suisse AG - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/09/15	2017/03/30	50.00
69694	Credit Suisse AG - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/09/15	2017/03/30	50.00
69650	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/09/15	2017/10/30	50.00
69652	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/09/15	2017/10/30	50.00
69637	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/09/15	2017/03/30	50.00
69676	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jan 2018 A	100,000,000	2016/09/15	2018/01/19	25.00
69679	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/09/15	2017/10/30	50.00
69682	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 X	200,000,000	2016/09/15	2018/01/30	50.00
69681	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 F	200,000,000	2016/09/15	2018/02/27	50.00
69673	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/09/15	2017/01/26	50.00
69678	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 D	40,000,000	2016/09/15	2017/09/15	10.00
69639	SG Issuer - Galaxy R Bull CBBC Jun 2017 B	40,000,000	2016/09/15	2017/06/29	15.20
69640	SG Issuer - Galaxy R Bull CBBC Aug 2017 B	40,000,000	2016/09/15	2017/08/30	10.00
69641	SG Issuer - HSI R Bull CBBC Mar 2018 Y	200,000,000	2016/09/15	2018/03/28	50.00
69642	SG Issuer - HSI R Bull CBBC Mar 2018 Z	200,000,000	2016/09/15	2018/03/28	50.00
69644	SG Issuer - HSI R Bear CBBC Jan 2017 Y	200,000,000	2016/09/15	2017/01/26	50.00
69645	SG Issuer - Sands R Bull CBBC Jul 2017 B	40,000,000	2016/09/15	2017/07/28	21.60
69648	SG Issuer - Sands R Bull CBBC Jan 2018 B	40,000,000	2016/09/15	2018/01/30	10.00
69662	UBS AG - HSI R Bull CBBC Sep 2017 Y	200,000,000	2016/09/15	2017/09/28	50.00
69667	UBS AG - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/09/15	2017/12/28	50.00
69654	UBS AG - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/09/15	2017/02/27	50.00
69656	UBS AG - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/09/15	2017/03/30	50.00
69659	UBS AG - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/09/15	2017/03/30	50.00
69660	UBS AG - HSI R Bear CBBC Apr 2017 D	200,000,000	2016/09/15	2017/04/27	50.00
69661	UBS AG - HSI R Bear CBBC Apr 2017 E	200,000,000	2016/09/15	2017/04/27	50.00
69811	BNP Paribas Arbit Issu B.V. - HKEK R Bull CBBC Jun 2017 D	40,000,000	2016/09/19	2017/06/30	10.00
69809	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 I	100,000,000	2016/09/19	2017/03/30	25.00
69785	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 J	250,000,000	2016/09/19	2017/11/29	62.50
69784	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 H	250,000,000	2016/09/19	2017/12/28	62.50
69786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 B	250,000,000	2016/09/19	2017/02/27	62.50

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69729	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 H	250,000,000	2016/09/19	2017/02/27	62.50
69735	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 D	250,000,000	2016/09/19	2017/03/30	62.50
69800	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 M	250,000,000	2016/09/19	2017/03/30	62.50
69802	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 N	250,000,000	2016/09/19	2017/03/30	62.50
69804	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/09/19	2017/03/30	62.50
69807	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 V	250,000,000	2016/09/19	2017/03/30	65.00
69950	Credit Suisse AG - HKEX R Bull CBBC Sep 2017 B	60,000,000	2016/09/19	2017/09/29	15.00
69954	Credit Suisse AG - HKEX R Bear CBBC Feb 2017 A	60,000,000	2016/09/19	2017/02/28	15.00
69962	Credit Suisse AG - HKEX R Bear CBBC Mar 2017 A	60,000,000	2016/09/19	2017/03/31	15.00
69966	Credit Suisse AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/09/19	2017/10/30	50.00
69968	Credit Suisse AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/09/19	2017/10/30	50.00
69972	Credit Suisse AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/09/19	2017/10/30	50.00
69894	Credit Suisse AG - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/09/19	2016/12/29	50.00
69900	Credit Suisse AG - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/09/19	2016/12/29	50.00
69909	Credit Suisse AG - HSI R Bear CBBC Jan 2017 Y	200,000,000	2016/09/19	2017/01/26	50.00
69928	Credit Suisse AG - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/09/19	2017/01/26	50.00
69904	Credit Suisse AG - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/09/19	2017/02/27	50.00
69905	Credit Suisse AG - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/09/19	2017/02/27	50.00
69906	Credit Suisse AG - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/09/19	2017/02/27	50.00
69927	Credit Suisse AG - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/09/19	2017/02/27	50.00
69931	Credit Suisse AG - HSI R Bear CBBC Feb 2017 L	200,000,000	2016/09/19	2017/02/27	54.00
69917	Credit Suisse AG - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/09/19	2017/03/30	60.00
69933	Credit Suisse AG - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/09/19	2017/03/30	64.00
69911	Credit Suisse AG - HSI R Bear CBBC Apr 2017 D	200,000,000	2016/09/19	2017/04/27	56.00
69923	Credit Suisse AG - HSI R Bear CBBC Apr 2017 E	200,000,000	2016/09/19	2017/04/27	70.00
69892	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/09/19	2017/10/30	50.00
69734	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/09/19	2017/02/27	50.00
69848	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/09/19	2017/02/27	50.00
69854	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 L	200,000,000	2016/09/19	2017/02/27	50.00
69858	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/09/19	2017/02/27	50.00
69980	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/09/19	2017/02/27	50.00
69993	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/09/19	2017/02/27	50.00
69731	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/09/19	2017/03/30	50.00
69777	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/09/19	2017/03/30	50.00
69779	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/09/19	2017/03/30	50.00
69780	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/09/19	2017/03/30	50.00
69782	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/09/19	2017/03/30	50.00
69846	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 O	200,000,000	2016/09/19	2017/03/30	50.00
69752	HK Bank - China Life R Bull CBBC Sep 2017 A	50,000,000	2016/09/19	2017/09/25	12.50
69758	HK Bank - A50 R Bull CBBC Jun 2017 A	50,000,000	2016/09/19	2017/06/30	12.50
69742	HK Bank - A50 R Bull CBBC Oct 2017 A	50,000,000	2016/09/19	2017/10/30	12.50
69750	HK Bank - HKEX R Bull CBBC Oct 2017 F	50,000,000	2016/09/19	2017/10/30	12.50
69751	HK Bank - HKEX R Bull CBBC Nov 2017 A	50,000,000	2016/09/19	2017/11/29	12.50
69744	HK Bank - HSCEI R Bull CBBC Oct 2017 A	60,000,000	2016/09/19	2017/10/30	15.00
69745	HK Bank - HSCEI R Bear CBBC Oct 2017 A	60,000,000	2016/09/19	2017/10/30	15.00

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69743	HK Bank - HSI R Bull CBBC Jun 2017 K	150,000,000	2016/09/19	2017/06/29	37.50
69756	HK Bank - HSI R Bear CBBC Oct 2017 D	200,000,000	2016/09/19	2017/10/30	50.00
69757	HK Bank - HSI R Bear CBBC Nov 2017 B	200,000,000	2016/09/19	2017/11/29	50.00
69698	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 E	150,000,000	2016/09/19	2017/11/29	37.80
69699	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 F	150,000,000	2016/09/19	2017/11/29	38.40
69701	Haitong Int'l Sec - HSI R Bull CBBC Nov 2017 G	150,000,000	2016/09/19	2017/11/29	37.80
69702	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 R	150,000,000	2016/09/19	2017/01/26	37.65
69703	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 S	150,000,000	2016/09/19	2017/01/26	37.80
69705	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 T	150,000,000	2016/09/19	2017/01/26	37.65
69721	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 U	150,000,000	2016/09/19	2017/01/26	37.95
69722	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 V	150,000,000	2016/09/19	2017/01/26	37.65
60048	J P Morgan SP BV - China Life R Bull CBBC Nov 2017 A	100,000,000	2016/09/19	2017/11/17	25.00
60046	J P Morgan SP BV - HKEX R Bull CBBC Sep 2017 H	40,000,000	2016/09/19	2017/09/15	10.00
60047	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 F	40,000,000	2016/09/19	2017/10/20	10.00
60056	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/09/19	2017/10/30	50.00
60057	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Y	200,000,000	2016/09/19	2018/01/30	50.00
60059	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 G	200,000,000	2016/09/19	2018/02/27	50.00
60049	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 V	200,000,000	2016/09/19	2016/12/29	50.00
60051	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/09/19	2017/01/26	50.00
69762	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/09/19	2017/01/26	50.00
60054	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/09/19	2017/03/30	50.00
60053	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 C	200,000,000	2016/09/19	2017/04/27	50.00
69814	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 H	40,000,000	2016/09/19	2017/04/27	10.00
69815	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2017 I	40,000,000	2016/09/19	2017/04/27	10.20
69816	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 N	40,000,000	2016/09/19	2017/03/30	10.00
69818	Macquarie Bank Ltd. - HSI R Bear CBBC Mar 2017 O	40,000,000	2016/09/19	2017/03/30	10.20
69860	SG Issuer - AIA R Bull CBBC Sep 2017 B	100,000,000	2016/09/19	2017/09/28	25.00
69819	SG Issuer - AIA R Bull CBBC Nov 2017 B	100,000,000	2016/09/19	2017/11/29	25.00
69862	SG Issuer - AIA R Bull CBBC Nov 2017 C	100,000,000	2016/09/19	2017/11/29	25.00
69863	SG Issuer - AIA R Bull CBBC Jan 2018 A	100,000,000	2016/09/19	2018/01/30	25.00
69820	SG Issuer - AIA R Bear CBBC May 2017 A	100,000,000	2016/09/19	2017/05/29	25.00
69865	SG Issuer - CMob R Bull CBBC Dec 2017 B	100,000,000	2016/09/19	2017/12/28	25.00
69866	SG Issuer - CMob R Bear CBBC Jun 2017 A	100,000,000	2016/09/19	2017/06/29	25.00
69823	SG Issuer - CKP R Bull CBBC Aug 2017 A	100,000,000	2016/09/19	2017/08/30	25.00
69826	SG Issuer - CKP R Bull CBBC Oct 2017 B	100,000,000	2016/09/19	2017/10/30	25.00
69822	SG Issuer - CKP R Bull CBBC Dec 2017 B	100,000,000	2016/09/19	2017/12/28	25.00
69829	SG Issuer - CKP R Bear CBBC May 2017 A	100,000,000	2016/09/19	2017/05/29	25.00
69836	SG Issuer - China Life R Bull CBBC Nov 2017 A	40,000,000	2016/09/19	2017/11/29	10.00
69832	SG Issuer - China Life R Bull CBBC Dec 2017 B	40,000,000	2016/09/19	2017/12/28	12.40
69837	SG Issuer - China Life R Bull CBBC Dec 2017 C	40,000,000	2016/09/19	2017/12/28	10.00
69831	SG Issuer - China Life R Bull CBBC May 2018 A	40,000,000	2016/09/19	2018/05/30	16.40
69840	SG Issuer - China Life R Bear CBBC Jun 2017 A	40,000,000	2016/09/19	2017/06/29	12.40
69841	SG Issuer - China Life R Bear CBBC Sep 2017 A	40,000,000	2016/09/19	2017/09/28	16.40
69843	SG Issuer - Galaxy R Bear CBBC Sep 2017 B	100,000,000	2016/09/19	2017/09/28	25.00
69867	SG Issuer - HKEX R Bull CBBC Oct 2017 D	100,000,000	2016/09/19	2017/10/30	25.00

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69877	SG Issuer - HKEX R Bull CBBC Oct 2017 E	100,000,000	2016/09/19	2017/10/30	25.00
69870	SG Issuer - HKEX R Bull CBBC Nov 2017 D	100,000,000	2016/09/19	2017/11/29	25.00
69876	SG Issuer - HKEX R Bull CBBC Dec 2017 B	100,000,000	2016/09/19	2017/12/28	25.00
69878	SG Issuer - HKEX R Bear CBBC Mar 2017 C	100,000,000	2016/09/19	2017/03/30	25.00
69880	SG Issuer - HKEX R Bear CBBC May 2017 A	100,000,000	2016/09/19	2017/05/29	25.00
69883	SG Issuer - HKEX R Bear CBBC May 2017 B	100,000,000	2016/09/19	2017/05/29	28.00
69884	SG Issuer - HKEX R Bear CBBC Jun 2017 A	100,000,000	2016/09/19	2017/06/29	32.00
69885	SG Issuer - HSBC R Bear CBBC Aug 2017 A	100,000,000	2016/09/19	2017/08/30	25.00
69886	SG Issuer - HSBC R Bear CBBC Aug 2017 B	100,000,000	2016/09/19	2017/08/30	25.00
60029	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/09/19	2018/03/28	50.00
60030	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/09/19	2018/03/28	50.00
60028	SG Issuer - HSI R Bull CBBC Mar 2018 F	200,000,000	2016/09/19	2018/03/28	50.00
60038	SG Issuer - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/09/19	2017/01/26	50.00
60039	SG Issuer - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/09/19	2017/01/26	50.00
60036	SG Issuer - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/09/19	2017/01/26	50.00
60037	SG Issuer - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/09/19	2017/01/26	50.00
60042	SG Issuer - HSI R Bear CBBC Jan 2017 J	200,000,000	2016/09/19	2017/01/26	50.00
69740	SG Issuer - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/09/19	2017/01/26	50.00
60044	SG Issuer - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/09/19	2017/02/27	51.00
69844	SG Issuer - Sands R Bear CBBC Jun 2017 B	100,000,000	2016/09/19	2017/06/29	25.00
69845	SG Issuer - Sands R Bear CBBC Feb 2018 A	100,000,000	2016/09/19	2018/02/27	25.00
69890	SG Issuer - SHK Ppt P R Bull CBBC Oct 2017 A	100,000,000	2016/09/19	2017/10/30	25.00
69888	SG Issuer - SHK Ppt P R Bull CBBC Dec 2017 A	100,000,000	2016/09/19	2017/12/28	25.00
69887	SG Issuer - SHK Ppt P R Bull CBBC Feb 2018 A	100,000,000	2016/09/19	2018/02/27	25.00
69891	SG Issuer - SHK Ppt P R Bear CBBC Mar 2017 A	100,000,000	2016/09/19	2017/03/30	25.00
60026	UBS AG - HSCEI R Bull CBBC Sep 2017 E	200,000,000	2016/09/19	2017/09/28	50.00
60022	UBS AG - HSI R Bull CBBC Sep 2017 O	200,000,000	2016/09/19	2017/09/28	50.00
60024	UBS AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/09/19	2017/11/29	50.00
60023	UBS AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/09/19	2017/12/28	50.00
69997	UBS AG - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/09/19	2016/12/29	50.00
69999	UBS AG - HSI R Bear CBBC Dec 2016 E	200,000,000	2016/09/19	2016/12/29	50.00
60006	UBS AG - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/09/19	2016/12/29	50.00
60014	UBS AG - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/09/19	2016/12/29	50.00
60004	UBS AG - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/09/19	2017/01/26	50.00
69998	UBS AG - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/09/19	2017/02/27	50.00
60005	UBS AG - HSI R Bear CBBC Apr 2017 F	200,000,000	2016/09/19	2017/04/27	50.00
60018	UBS AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/09/19	2017/04/27	50.00
60060	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 K	250,000,000	2016/09/20	2017/11/29	62.50
60061	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 I	250,000,000	2016/09/20	2017/12/28	62.50
60101	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 J	250,000,000	2016/09/20	2017/12/28	62.50
60115	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 T	250,000,000	2016/09/20	2017/02/27	62.50
60104	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 U	250,000,000	2016/09/20	2017/02/27	62.50
60113	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 E	250,000,000	2016/09/20	2017/03/30	62.50
60103	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/09/20	2017/03/30	62.50
60107	Credit Suisse AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/09/20	2017/09/28	50.00

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60108	Credit Suisse AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/09/20	2017/09/28	50.00
60111	Credit Suisse AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/09/20	2017/09/28	50.00
60112	Credit Suisse AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/09/20	2017/09/28	50.00
60121	Credit Suisse AG - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/09/20	2016/12/29	50.00
60120	Credit Suisse AG - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/09/20	2016/12/29	50.00
60123	Credit Suisse AG - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/09/20	2016/12/29	50.00
60122	Credit Suisse AG - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/09/20	2016/12/29	50.00
60118	Credit Suisse AG - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/09/20	2017/01/26	50.00
60117	Credit Suisse AG - HSI R Bear CBBC Jan 2017 F	200,000,000	2016/09/20	2017/01/26	50.00
60116	Credit Suisse AG - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/09/20	2017/01/26	50.00
60062	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/09/20	2017/11/29	50.00
60069	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/09/20	2017/11/29	50.00
60068	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/09/20	2017/02/27	50.00
60199	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/09/20	2017/02/27	50.00
60200	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/09/20	2017/02/27	50.00
60097	HK Bank - Galaxy Ent R Bull CBBC Nov 2017 B	40,000,000	2016/09/20	2017/11/27	10.00
60087	HK Bank - HSI R Bear CBBC Apr 2017 I	150,000,000	2016/09/20	2017/04/27	37.50
60076	HK Bank - HSI R Bear CBBC May 2017 E	150,000,000	2016/09/20	2017/05/29	37.50
60088	HK Bank - HSI R Bear CBBC Sep 2017 B	150,000,000	2016/09/20	2017/09/28	37.50
60072	HK Bank - HSI R Bear CBBC Oct 2017 E	150,000,000	2016/09/20	2017/10/30	37.50
60071	HK Bank - HSI R Bear CBBC Nov 2017 C	150,000,000	2016/09/20	2017/11/29	37.50
60093	HK Bank - Tencent R Bull CBBC Sep 2017 C	50,000,000	2016/09/20	2017/09/25	12.50
60099	HK Bank - Tencent R Bear CBBC Apr 2017 F	40,000,000	2016/09/20	2017/04/27	10.00
60165	J P Morgan SP BV - HKEX R Bear CBBC Jul 2017 B	40,000,000	2016/09/20	2017/07/21	10.00
60147	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/09/20	2017/11/29	50.00
60144	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/09/20	2017/12/28	50.00
60152	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Z	200,000,000	2016/09/20	2018/01/30	50.00
60161	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/09/20	2016/12/29	50.00
60158	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 W	200,000,000	2016/09/20	2016/12/29	50.00
60163	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/09/20	2017/01/26	50.00
60162	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/09/20	2017/01/26	50.00
60164	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 B	40,000,000	2016/09/20	2017/11/17	10.00
60105	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2017 A	40,000,000	2016/09/20	2017/04/27	10.20
60106	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2017 B	40,000,000	2016/09/20	2017/04/27	10.00
60128	SG Issuer - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/09/20	2017/02/27	50.00
60132	SG Issuer - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/09/20	2017/02/27	50.00
60142	SG Issuer - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/09/20	2017/02/27	50.00
60143	SG Issuer - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/09/20	2017/02/27	50.00
60126	SG Issuer - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/09/20	2017/02/27	50.00
60138	SG Issuer - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/09/20	2017/02/27	50.00
60134	SG Issuer - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/09/20	2017/02/27	50.00
60168	UBS AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/09/20	2017/09/28	50.00
60170	UBS AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/09/20	2017/10/30	50.00
60166	UBS AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/09/20	2017/11/29	50.00
60169	UBS AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/09/20	2017/11/29	50.00

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60167	UBS AG - HSI R Bull CBBC Jan 2018 A	200,000,000	2016/09/20	2018/01/30	50.00
60177	UBS AG - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/09/20	2016/12/29	50.00
60191	UBS AG - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/09/20	2016/12/29	50.00
60190	UBS AG - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/09/20	2016/12/29	50.00
60184	UBS AG - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/09/20	2017/01/26	50.00
60179	UBS AG - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/09/20	2017/02/27	50.00
60189	UBS AG - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/09/20	2017/03/30	50.00
60262	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Feb 2017 I	100,000,000	2016/09/21	2017/02/27	25.00
60256	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 J	100,000,000	2016/09/21	2017/03/30	25.00
60255	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 L	250,000,000	2016/09/21	2017/11/29	62.50
60254	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 K	250,000,000	2016/09/21	2017/12/28	62.50
60287	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/09/21	2017/11/29	50.00
60288	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/09/21	2017/11/29	50.00
60289	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/09/21	2017/11/29	50.00
60281	Credit Suisse AG - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/09/21	2016/12/29	50.00
60290	Credit Suisse AG - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/09/21	2016/12/29	50.00
60283	Credit Suisse AG - HSI R Bear CBBC Dec 2016 T	200,000,000	2016/09/21	2016/12/29	50.00
60265	Credit Suisse AG - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/09/21	2017/01/26	50.00
60263	Credit Suisse AG - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/09/21	2017/01/26	50.00
60277	Credit Suisse AG - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/09/21	2017/01/26	50.00
60235	Bank of East Asia - HKEX R Bull CBBC Sep 2017 A	40,000,000	2016/09/21	2017/09/28	10.00
60237	Bank of East Asia - Tencent R Bear CBBC Apr 2017 D	40,000,000	2016/09/21	2017/04/27	10.00
60242	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 E	200,000,000	2016/09/21	2018/01/30	50.00
60252	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 F	200,000,000	2016/09/21	2018/01/30	50.00
60239	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/09/21	2017/03/30	50.00
60240	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 Q	200,000,000	2016/09/21	2017/03/30	50.00
60351	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 R	200,000,000	2016/09/21	2017/03/30	50.00
60234	HK Bank - HSCEI R Bear CBBC Apr 2017 C	50,000,000	2016/09/21	2017/04/27	12.50
60233	HK Bank - HSCEI R Bear CBBC May 2017 D	50,000,000	2016/09/21	2017/05/29	12.50
60223	HK Bank - HSI R Bear CBBC Mar 2017 O	150,000,000	2016/09/21	2017/03/30	37.50
60216	Haitong Int'l Sec - China Mobile R Bull CBBC Sep 2017 A	40,000,000	2016/09/21	2017/09/26	10.04
60214	Haitong Int'l Sec - CC Bank R Bull CBBC Sep 2017 A	40,000,000	2016/09/21	2017/09/12	10.00
60204	Haitong Int'l Sec - HKEX R Bull CBBC Sep 2017 A	40,000,000	2016/09/21	2017/09/14	10.04
60201	Haitong Int'l Sec - HSBC R Bull CBBC Sep 2017 A	40,000,000	2016/09/21	2017/09/15	10.04
60213	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2017 A	40,000,000	2016/09/21	2017/09/13	10.08
60297	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 H	200,000,000	2016/09/21	2018/02/27	50.00
60347	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 F	200,000,000	2016/09/21	2016/12/29	50.00
60294	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 J	200,000,000	2016/09/21	2016/12/29	50.00
60292	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 X	200,000,000	2016/09/21	2016/12/29	50.00
60327	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/09/21	2018/03/28	50.00
60338	SG Issuer - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/09/21	2016/12/29	50.00
60341	SG Issuer - HSI R Bear CBBC Dec 2016 T	200,000,000	2016/09/21	2016/12/29	50.00
60345	SG Issuer - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/09/21	2016/12/29	50.00
60328	SG Issuer - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/09/21	2017/02/27	50.00
60333	SG Issuer - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/09/21	2017/02/27	50.00

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60320	UBS AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/09/21	2017/09/28	50.00
60321	UBS AG - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/09/21	2017/11/29	50.00
60304	UBS AG - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/09/21	2016/12/29	50.00
60315	UBS AG - HSI R Bear CBBC Dec 2016 O	200,000,000	2016/09/21	2016/12/29	50.00
60323	UBS AG - HSI R Bear CBBC Dec 2016 Q	200,000,000	2016/09/21	2016/12/29	50.00
60324	UBS AG - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/09/21	2016/12/29	50.00
60312	UBS AG - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/09/21	2017/01/26	50.00
60309	UBS AG - HSI R Bear CBBC Jan 2017 W	200,000,000	2016/09/21	2017/01/26	50.00
60317	UBS AG - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/09/21	2017/03/30	50.00
60429	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 D	250,000,000	2016/09/22	2017/02/27	62.50
60417	Credit Suisse AG - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/09/22	2017/10/30	50.00
60427	Credit Suisse AG - HSI R Bear CBBC Dec 2016 U	200,000,000	2016/09/22	2016/12/29	50.00
60414	Credit Suisse AG - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/09/22	2017/01/26	50.00
60416	Credit Suisse AG - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/09/22	2017/02/27	50.00
60396	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/09/22	2017/10/30	50.00
60397	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/09/22	2017/02/27	50.00
60389	HK Bank - Sinopec Corp R Bear CBBC May 2017 A	40,000,000	2016/09/22	2017/05/15	10.00
60387	HK Bank - HKEX R Bear CBBC Mar 2017 C	40,000,000	2016/09/22	2017/03/30	10.00
60379	HK Bank - Tencent R Bear CBBC Apr 2017 G	40,000,000	2016/09/22	2017/04/28	10.00
60355	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 K	150,000,000	2016/09/22	2017/12/28	37.50
60357	Haitong Int'l Sec - HSI R Bull CBBC Dec 2017 L	150,000,000	2016/09/22	2017/12/28	37.65
60359	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 W	150,000,000	2016/09/22	2017/01/26	37.65
60361	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 X	150,000,000	2016/09/22	2017/01/26	37.50
60362	Haitong Int'l Sec - HSI R Bear CBBC Jan 2017 Y	150,000,000	2016/09/22	2017/01/26	37.50
60450	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 F	200,000,000	2016/09/22	2018/01/30	50.00
60451	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 G	200,000,000	2016/09/22	2016/12/29	50.00
60461	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/09/22	2017/01/26	50.00
60469	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/09/22	2017/01/26	50.00
60431	SG Issuer - HSI R Bull CBBC Mar 2018 D	200,000,000	2016/09/22	2018/03/28	50.00
60439	SG Issuer - HSI R Bull CBBC Mar 2018 O	200,000,000	2016/09/22	2018/03/28	50.00
60447	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2016/09/22	2018/03/28	50.00
60436	SG Issuer - HSI R Bull CBBC Mar 2018 Q	200,000,000	2016/09/22	2018/03/28	50.00
60449	SG Issuer - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/09/22	2017/03/30	50.00
60403	UBS AG - HSI R Bull CBBC Sep 2017 Z	300,000,000	2016/09/22	2017/09/28	75.00
60366	UBS AG - HSI R Bear CBBC Dec 2016 D	200,000,000	2016/09/22	2016/12/29	50.00
60404	UBS AG - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/09/22	2016/12/29	50.00
60406	UBS AG - HSI R Bear CBBC Dec 2016 S	200,000,000	2016/09/22	2016/12/29	50.00
60413	UBS AG - HSI R Bear CBBC Jan 2017 R	200,000,000	2016/09/22	2017/01/26	50.00
60531	Credit Suisse AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/09/23	2017/09/28	50.00
60511	Credit Suisse AG - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/09/23	2017/02/27	50.00
60514	Credit Suisse AG - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/09/23	2017/02/27	50.00
60505	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/09/23	2017/10/30	50.00
60506	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/09/23	2017/02/27	50.00
60480	HK Bank - China Life R Bear CBBC Nov 2017 A	40,000,000	2016/09/23	2017/11/27	10.00
60473	HK Bank - ICBC R Bear CBBC Dec 2017 A	40,000,000	2016/09/23	2017/12/18	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60482	HK Bank - Tencent R Bull CBBC Oct 2017 B	50,000,000	2016/09/23	2017/10/24	12.50
60527	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 A	100,000,000	2016/09/23	2017/12/15	25.00
60530	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 G	200,000,000	2016/09/23	2018/01/30	50.00
60510	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 H	200,000,000	2016/09/23	2016/12/29	50.00
60508	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 I	200,000,000	2016/09/23	2016/12/29	50.00
60509	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/09/23	2017/01/26	50.00
60524	J P Morgan SP BV - Sands China R Bull CBBC Oct 2017 A	100,000,000	2016/09/23	2017/10/20	25.00
60528	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 B	40,000,000	2016/09/23	2017/10/20	10.00
60533	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2016/09/23	2018/03/28	50.00
60535	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/09/23	2018/03/28	50.00
60493	UBS AG - HKEX R Bull CBBC Sep 2017 C	40,000,000	2016/09/23	2017/09/11	10.00
60496	UBS AG - HSCEI R Bull CBBC Sep 2017 F	100,000,000	2016/09/23	2017/09/28	25.00
60499	UBS AG - HSCEI R Bear CBBC Dec 2016 A	100,000,000	2016/09/23	2016/12/29	25.00
60518	UBS AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/09/23	2017/10/30	50.00
60517	UBS AG - HSI R Bull CBBC Dec 2017 P	300,000,000	2016/09/23	2017/12/28	75.00
60494	UBS AG - HSI R Bear CBBC Dec 2016 V	300,000,000	2016/09/23	2016/12/29	75.00
60484	UBS AG - HSI R Bear CBBC Jan 2017 A	300,000,000	2016/09/23	2017/01/26	75.00
60495	UBS AG - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/09/23	2017/01/26	50.00
60485	UBS AG - Ping An R Bull CBBC Oct 2017 B	100,000,000	2016/09/23	2017/10/16	25.00
60490	UBS AG - Tencent R Bull CBBC Sep 2017 A	50,000,000	2016/09/23	2017/09/15	12.50
60491	UBS AG - Tencent R Bear CBBC Apr 2017 D	50,000,000	2016/09/23	2017/04/10	12.50
60545	Credit Suisse AG - HSI R Bull CBBC Aug 2017 G	200,000,000	2016/09/26	2017/08/30	50.00
60544	Credit Suisse AG - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/09/26	2017/10/30	50.00
60542	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/09/26	2017/11/29	50.00
60539	Credit Suisse AG - HSI R Bear CBBC Dec 2016 V	200,000,000	2016/09/26	2016/12/29	50.00
60537	Credit Suisse AG - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/09/26	2017/02/27	50.00
60536	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/09/26	2017/10/30	50.00
60568	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/09/26	2017/10/30	50.00
60558	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/09/26	2017/10/30	50.00
60566	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 S	200,000,000	2016/09/26	2018/01/30	50.00
60556	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 C	200,000,000	2016/09/26	2016/12/29	50.00
60555	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 K	200,000,000	2016/09/26	2016/12/29	50.00
60567	J P Morgan SP BV - Ping An R Bull CBBC Nov 2017 C	100,000,000	2016/09/26	2017/11/17	25.00
60554	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/09/26	2018/03/28	50.00
60581	UBS AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/09/26	2017/09/28	50.00
60575	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/09/26	2017/10/30	50.00
60576	UBS AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/09/26	2017/11/29	50.00
60574	UBS AG - HSI R Bear CBBC Dec 2016 A	200,000,000	2016/09/26	2016/12/29	50.00
60584	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 M	250,000,000	2016/09/27	2017/11/29	62.50
60582	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 L	250,000,000	2016/09/27	2017/12/28	62.50
60611	Credit Suisse AG - HKEX R Bull CBBC Nov 2017 A	50,000,000	2016/09/27	2017/11/29	12.50
60608	Credit Suisse AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/09/27	2017/10/30	50.00
60614	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/09/27	2017/02/27	50.00
60600	Bank of East Asia - COVS R Bull CBBC Dec 2017 A	25,000,000	2016/09/27	2017/12/08	10.00
60604	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 A	25,000,000	2016/09/27	2017/12/08	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60606	Bank of East Asia - Ping An R Bull CBBC Dec 2017 B	16,000,000	2016/09/27	2017/12/08	10.40
60599	Bank of East Asia - Tencent R Bull CBBC Sep 2017 A	30,000,000	2016/09/27	2017/09/29	10.50
60591	HK Bank - HSCEI R Bull CBBC Aug 2017 E	60,000,000	2016/09/27	2017/08/30	15.00
60589	HK Bank - HSI R Bull CBBC Oct 2017 B	150,000,000	2016/09/27	2017/10/30	37.50
60588	HK Bank - Sands China R Bull CBBC Sep 2017 A	40,000,000	2016/09/27	2017/09/25	10.00
60652	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 F	40,000,000	2016/09/27	2017/11/17	10.00
60647	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/09/27	2017/11/29	50.00
60649	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Q	200,000,000	2016/09/27	2018/01/30	50.00
60650	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/09/27	2017/01/26	50.00
60651	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/09/27	2017/01/26	50.00
60621	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2016/09/27	2018/03/28	50.00
60624	SG Issuer - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/09/27	2017/02/27	50.00
60638	UBS AG - HSI R Bull CBBC Sep 2017 J	300,000,000	2016/09/27	2017/09/28	75.00
60636	UBS AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/09/27	2017/11/29	50.00
60645	UBS AG - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/09/27	2017/02/27	50.00
60681	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 M	250,000,000	2016/09/28	2017/12/28	62.50
60672	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 K	250,000,000	2016/09/28	2017/02/27	62.50
60684	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 B	250,000,000	2016/09/28	2017/03/30	62.50
60691	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/09/28	2017/12/28	50.00
60698	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Z	200,000,000	2016/09/28	2017/12/28	50.00
60685	Credit Suisse AG - HSI R Bear CBBC Dec 2016 W	200,000,000	2016/09/28	2016/12/29	50.00
60678	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/09/28	2017/10/30	50.00
60709	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/09/28	2017/10/30	50.00
60680	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/09/28	2017/02/27	50.00
60711	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/09/28	2017/03/30	50.00
60671	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Jan 2018 A	40,000,000	2016/09/28	2018/01/30	10.04
60653	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Nov 2017 A	30,000,000	2016/09/28	2017/11/10	10.38
60656	Haitong Int'l Sec - Lenovo R Bull CBBC Sep 2017 A	40,000,000	2016/09/28	2017/09/29	10.04
60666	Haitong Int'l Sec - PetCh R Bull CBBC Jul 2017 A	40,000,000	2016/09/28	2017/07/28	10.00
60668	Haitong Int'l Sec - PICC P&C R Bull CBBC Oct 2017 A	40,000,000	2016/09/28	2017/10/30	10.00
60718	J P Morgan SP BV - COVS R Bull CBBC Oct 2017 A	100,000,000	2016/09/28	2017/10/20	25.00
60703	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/09/28	2017/10/30	50.00
60704	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 U	200,000,000	2016/09/28	2018/01/30	50.00
60705	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 B	200,000,000	2016/09/28	2016/12/29	50.00
60702	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 L	200,000,000	2016/09/28	2016/12/29	50.00
60733	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/09/28	2018/03/28	50.00
60735	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/09/28	2018/03/28	50.00
60736	SG Issuer - HSI R Bull CBBC Mar 2018 W	200,000,000	2016/09/28	2018/03/28	50.00
60739	SG Issuer - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/09/28	2017/01/26	50.00
60743	UBS AG - HSCEI R Bull CBBC Nov 2017 A	100,000,000	2016/09/28	2017/11/29	25.00
60747	UBS AG - HSCEI R Bear CBBC Feb 2017 B	100,000,000	2016/09/28	2017/02/27	25.00
60748	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/09/28	2017/11/29	50.00
60749	UBS AG - HSI R Bull CBBC Dec 2017 V	300,000,000	2016/09/28	2017/12/28	75.00
60741	UBS AG - HSI R Bear CBBC Dec 2016 R	200,000,000	2016/09/28	2016/12/29	50.00
60742	UBS AG - HSI R Bear CBBC Jan 2017 G	200,000,000	2016/09/28	2017/01/26	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60776	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 N	250,000,000	2016/09/29	2017/11/29	62.50
60782	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 O	250,000,000	2016/09/29	2017/11/29	62.50
60779	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 N	250,000,000	2016/09/29	2017/12/28	62.50
60751	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 V	250,000,000	2016/09/29	2017/02/27	62.50
60752	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Y	250,000,000	2016/09/29	2017/02/27	62.50
60786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/09/29	2017/03/30	62.50
60798	Credit Suisse AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/09/29	2017/09/28	50.00
60796	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/09/29	2017/11/29	50.00
60792	Credit Suisse AG - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/09/29	2017/02/27	50.00
60790	Credit Suisse AG - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/09/29	2017/03/30	50.00
60794	Credit Suisse AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/09/29	2017/03/30	50.00
60850	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/09/29	2017/10/30	50.00
60854	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/09/29	2017/10/30	50.00
60754	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/09/29	2017/02/27	50.00
60756	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/09/29	2017/02/27	50.00
60766	HK Bank - China Life R Bull CBBC Oct 2017 A	50,000,000	2016/09/29	2017/10/30	12.50
60772	HK Bank - HKEX R Bull CBBC Nov 2017 B	50,000,000	2016/09/29	2017/11/27	12.50
60770	HK Bank - HSI R Bull CBBC Oct 2017 C	150,000,000	2016/09/29	2017/10/30	37.50
60767	HK Bank - HSI R Bull CBBC Nov 2017 A	150,000,000	2016/09/29	2017/11/29	37.50
60769	HK Bank - HSI R Bear CBBC Nov 2017 D	150,000,000	2016/09/29	2017/11/29	37.50
60771	HK Bank - Tencent R Bull CBBC Sep 2017 D	50,000,000	2016/09/29	2017/09/25	12.50
60807	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/09/29	2017/10/30	50.00
60809	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 V	250,000,000	2016/09/29	2018/01/30	62.50
60810	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 W	250,000,000	2016/09/29	2018/01/30	62.50
60802	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 M	200,000,000	2016/09/29	2016/12/29	50.00
60812	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 N	200,000,000	2016/09/29	2016/12/29	50.00
60803	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 Z	200,000,000	2016/09/29	2016/12/29	50.00
60773	Macquarie Bank Ltd. - HSI R Bull CBBC Jul 2017 A	40,000,000	2016/09/29	2017/07/28	10.00
60783	SG Issuer - HKEX R Bull CBBC Dec 2017 C	100,000,000	2016/09/29	2017/12/28	25.00
60847	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/09/29	2018/03/28	50.00
60843	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/09/29	2018/03/28	50.00
60845	SG Issuer - HSI R Bull CBBC Mar 2018 Y	200,000,000	2016/09/29	2018/03/28	50.00
60846	SG Issuer - HSI R Bull CBBC Mar 2018 Z	200,000,000	2016/09/29	2018/03/28	50.00
60848	SG Issuer - HSI R Bear CBBC Jan 2017 X	200,000,000	2016/09/29	2017/01/26	50.00
60849	SG Issuer - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/09/29	2017/01/26	50.00
60785	SG Issuer - Ping An R Bull CBBC Nov 2017 D	100,000,000	2016/09/29	2017/11/29	25.00
60839	UBS AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/09/29	2017/11/29	50.00
60837	UBS AG - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/09/29	2017/11/29	62.50
60836	UBS AG - HSI R Bull CBBC Dec 2017 A	250,000,000	2016/09/29	2017/12/28	62.50
60822	UBS AG - HSI R Bear CBBC Dec 2016 T	300,000,000	2016/09/29	2016/12/29	75.00
60831	UBS AG - HSI R Bear CBBC Jan 2017 H	250,000,000	2016/09/29	2017/01/26	62.50
60829	UBS AG - HSI R Bear CBBC Jan 2017 J	250,000,000	2016/09/29	2017/01/26	62.50
60832	UBS AG - HSI R Bear CBBC Jan 2017 U	250,000,000	2016/09/29	2017/01/26	62.50
60823	UBS AG - HSI R Bear CBBC Feb 2017 P	250,000,000	2016/09/29	2017/02/27	62.50
60859	BNP Paribas Arbit Issu B.V. - Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/09/30	2017/06/30	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60865	Credit Suisse AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/09/30	2017/09/28	50.00
60863	Credit Suisse AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/09/30	2017/10/30	50.00
60867	Credit Suisse AG - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/09/30	2017/02/27	50.00
60860	Credit Suisse AG - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/09/30	2017/03/30	50.00
60866	Credit Suisse AG - Tencent R Bull CBBC Sep 2017 B	50,000,000	2016/09/30	2017/09/12	12.50
60873	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/09/30	2017/02/27	50.00
60857	HK Bank - Galaxy Ent R Bear CBBC Nov 2017 A	40,000,000	2016/09/30	2017/11/27	10.00
60855	HK Bank - Tencent R Bull CBBC Sep 2017 E	50,000,000	2016/09/30	2017/09/29	12.50
60879	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 B	40,000,000	2016/09/30	2017/12/15	10.00
60876	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 I	200,000,000	2016/09/30	2018/02/27	50.00
60875	J P Morgan SP BV - HSI R Bear CBBC Dec 2016 Y	200,000,000	2016/09/30	2016/12/29	50.00
60877	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 C	40,000,000	2016/09/30	2017/10/20	10.00
60878	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 B	40,000,000	2016/09/30	2017/11/17	10.00
60871	SG Issuer - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/09/30	2017/02/27	50.00
60868	UBS AG - HSI R Bull CBBC Sep 2017 N	200,000,000	2016/09/30	2017/09/28	50.00
60869	UBS AG - HSI R Bear CBBC Dec 2016 W	200,000,000	2016/09/30	2016/12/29	50.00
60870	UBS AG - HSI R Bear CBBC Feb 2017 Q	250,000,000	2016/09/30	2017/02/27	62.50
總額					105,390.41

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2015	第3季	4	67.99
	第4季	4	49.30
2016	第1季	4	29.99
	第2季	4	54.20
	第3季	2	22.02

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2015	第3季	-	-
	第4季	-	-
2016	第1季	-	-
	第2季	-	-
	第3季	-	-

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第3季	6	0.01
	第4季	6	0.01
2016	第1季	6	0.04
	第2季	6	0.10
	第3季	6	0.29

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第3季	2	-
	第4季	2	0.09
2016	第1季	2	-
	第2季	2	-
	第3季	2	-

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2015	第3季	137,580.38	51,332.73	1,377,832
	第4季	136,058.68	39,886.12	1,212,890
2016	第1季	85,420.86	25,666.83	730,890
	第2季	107,905.65	31,516.06	917,745
	第3季	135,466.80	32,617.31	1,028,333

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2015	第3季	63	814.81	37,256
	第4季	63	633.11	32,885
2016	第1季	59	435.03	35,117
	第2季	62	508.32	34,341
	第3季	63	517.74	31,719

20種升幅最大之創業板股份

2016年第3季

順次	股份代號	股份	收市價		升幅
			2016年9月底	2016年6月底	
1	08227	海天天綫控股	0.960	0.285	236.84
2	08217	聯旺集團	18.100	5.980	202.68
3	08103	萬泰企業股份	2.360	0.800	195.00
4	08312	中國瀚亞	1.840	0.650	183.08
5	08108	福澤集團	2.770	0.980	182.65
6	08198	新濠環彩	0.390	0.169	130.77
7	08153	科地農業	2.810	1.220	130.33
8	08047	宇恒供應鏈	0.179	0.081	120.99
9	08196	建禹集團	6.350	3.030	109.57
10	08192	環球能源資源	0.071	0.036	97.22
11	08140	揚科集團	0.156	0.085	83.53
12	08193	漢華專業服務	0.850	0.480	77.08
13	08177	REF HOLDINGS	1.360	0.770	76.62
14	08186	同仁資源	0.062	0.036	72.22
15	08265	宏峰太平洋	3.220	1.880	71.28
16	08072	羅馬集團	0.061	0.036	69.44
17	08083	中國創新支付	0.610	0.360	69.44
18	08207	中國信貸	0.980	0.598	63.88
19	08141	應力控股	0.495	0.310	59.68
20	08366	FRASER HLDGS	0.177	0.114	55.26

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2016年第3季

順次	股份代號	股份	收市價		跌幅
			2016年9月底	2016年6月底	
1	08318	正利控股	0.345	5.630	-93.87
2	08195	L&A INTL HOLD	0.031	0.465	-93.33
3	08346	創新電子控股	0.500	7.390	-93.23
4	08282	智傲控股	1.070	4.990	-78.56
5	08109	麒麟集團控股	0.130	0.480	-72.92
6	08147	匯思太平洋	0.158	0.510	-69.02
7	08368	中國創意控股	1.960	6.110	-67.92
8	08316	柏榮集團控股	1.280	3.200	-60.00
9	08066	品創控股	0.064	0.143	-55.24
10	08097	鼎石資本	0.440	0.910	-51.65
11	08125	中持基業	2.100	4.000	-47.50
12	08272	華人飲食集團	0.091	0.160	-43.13
13	08088	滙友科技控股	0.072	0.126	-42.86
14	08089	華人策略控股	0.355	0.580	-38.79
15	08081	互娛中國	0.074	0.116	-36.21
16	08233	中國基建港口	1.200	1.870	-35.83
17	08132	中油港燃	0.105	0.162 A	-35.19
18	08161	醫匯集團	0.176	0.270	-34.81
19	08237	華星控股	0.840	1.280	-34.38
20	08270	中國煤層氣	0.153	0.227	-32.60

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2016年第3季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08318	正利控股	1,818.70	5.58
2	08195	L&A INTL HOLD	1,414.23	4.34
3	08328	信義香港	1,026.32	3.15
4	08207	中國信貸	990.13	3.04
5	08097	鼎石資本	940.28	2.88
6	08315	冠輝集團控股	939.98	2.88
7	08078	HMV數碼中國	909.44	2.79
8	08021	匯隆控股	759.50	2.33
9	08346	創新電子控股	714.86	2.19
10	08153	科地農業	698.71	2.14
11	08215	FIRST CREDIT	631.54	1.94
12	08217	聯旺集團	574.59	1.76
13	08279	亞博科技控股	544.60	1.67
14	08056	飲食概念	537.69	1.65
15	08325	中國支付通	512.18	1.57
16	08173	萬亞企業控股	490.01	1.50
17	08300	皇璽餐飲集團	439.73	1.35
18	08081	互娛中國	434.29	1.33
19	08109	麒麟集團控股	426.02	1.31
20	08262	宏強控股	413.48	1.27
總額			15,216.28	46.65

創業板 股份

20種成交最活躍創業板股份(股數)

2016年第3季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08195	L&A INTL HOLD	36,469.60	26.92
2	08315	冠輝集團控股	8,703.53	6.42
3	08173	萬亞企業控股	8,271.01	6.11
4	08081	互娛中國	5,353.45	3.95
5	08171	中國趨勢	5,034.97	3.72
6	08097	鼎石資本	3,731.25	2.75
7	08186	同仁資源	3,194.88	2.36
8	08021	匯隆控股	3,091.22	2.28
9	08269	富譽控股	2,366.45	1.75
10	08046	恒芯中國	2,255.46	1.66
11	08215	FIRST CREDIT	2,082.96	1.54
12	08109	麒麟集團控股	2,032.07	1.50
13	08047	宇恒供應鏈	1,903.90	1.41
14	08192	環球能源資源	1,815.58	1.34
15	08300	皇璽餐飲集團	1,755.65	1.30
16	08318	正利控股	1,482.23	1.09
17	08132	中油港燃	1,482.02	1.09
18	08140	揚科集團	1,359.05	1.00
19	08238	惠陶集團	1,290.85	0.95
20	08072	羅馬集團	1,197.92	0.88
總數			94,874.03	70.03

創業板 股份

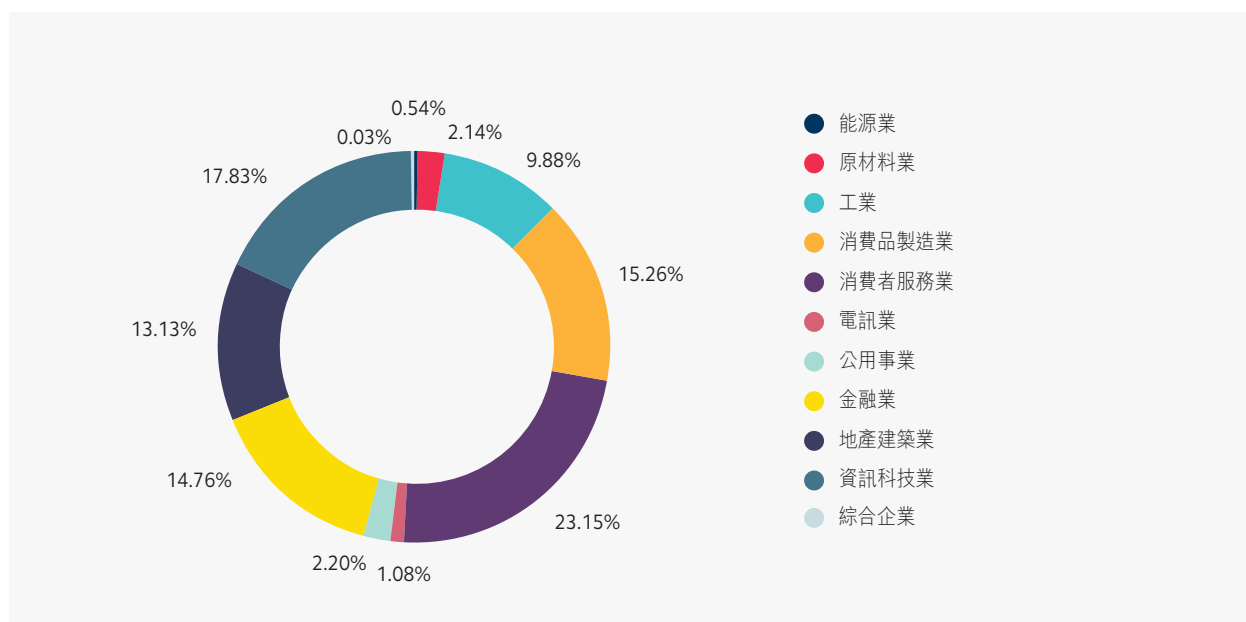
創業板公司市價總值

截至季末

		百萬港元
2015	第3季	224,157.68
	第4季	258,175.74
2016	第1季	248,978.34
	第2季	266,315.62
	第3季	277,457.32

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2016年第3季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08217	聯旺集團控股有限公司	22,588.80	8.14
2	08207	中國信貸控股有限公司	20,276.93	7.31
3	08279	亞博科技控股有限公司	16,141.58	5.82
4	08138	北京同仁堂國藥有限公司	9,559.68	3.45
5	08008	新意網集團有限公司	8,732.12	3.15
6	08127	雲裳衣控股有限公司	8,510.00	3.07
7	08032	非凡中國控股有限公司	6,397.92	2.31
8	08137	洪橋集團有限公司	5,817.75	2.10
9	08265	宏峰太平洋集團有限公司	4,937.87	1.78
10	08158	中國再生醫學國際有限公司	4,924.02	1.77
11	08057	麥迪森酒業控股有限公司	4,520.00	1.63
12	08078	HMV數碼中國集團有限公司	4,150.91	1.50
13	08193	漢華專業服務有限公司	4,129.27	1.49
14	08167	中國新電信集團有限公司	3,859.18	1.39
15	08021	匯隆控股有限公司	3,830.13	1.38
16	08005	裕興科技投資控股有限公司	3,750.42	1.35
17	08083	中國創新支付集團有限公司	3,697.86	1.33
18	08261	海天能源國際有限公司	3,197.60	1.15
19	08245	安悅國際控股有限公司	3,120.00	1.12
20	08237	華星控股有限公司	2,931.60	1.06
21	08325	中國支付通集團控股有限公司	2,627.97	0.95
22	08361	中國育兒網絡控股有限公司	2,617.58	0.94
23	08102	利寶閣集團有限公司	2,496.00	0.90
24	08368	中國創意控股有限公司	2,352.00	0.85
25	08326	同景新能源集團控股有限公司	2,253.59	0.81
26	08262	宏強控股有限公司	2,208.00	0.80
27	08097	鼎石資本有限公司	2,160.40	0.78
28	08295	中植資本國際有限公司	2,094.79	0.75
29	08233	中國基建港口有限公司	2,070.08	0.75
30	08027	吉輝控股有限公司	1,920.00	0.69
31	08090	中國融保金融集團有限公司	1,916.98	0.69
32	08153	科地農業控股有限公司	1,916.73	0.69
33	08196	建禹集團控股有限公司	1,905.00	0.69
34	08030	匯聯金融服務控股有限公司	1,835.32	0.66
35	08058	山東羅欣藥業集團股份有限公司－H股	1,810.16	0.65
36	08176	航空互聯集團有限公司	1,754.78	0.63
37	08260	銀合控股有限公司	1,635.64	0.59
38	08276	大豐港和順科技股份有限公司	1,610.00	0.58
39	08133	鑄能控股有限公司	1,575.00	0.57
40	08172	拉近網娛集團有限公司	1,548.14	0.56
41	08029	太陽國際資源有限公司	1,544.45	0.56
42	08267	藍港互動集團有限公司	1,516.05	0.55
43	08292	盛良物流有限公司	1,504.00	0.54
44	08007	環球戰略集團有限公司	1,470.30	0.53
45	08077	昆侖國際金融集團有限公司	1,423.27	0.51
46	08246	北方新能源控股有限公司	1,407.64	0.51
47	08016	長虹佳華控股有限公司	1,381.92	0.50
48	08311	圓美光電有限公司	1,379.83	0.50
49	08312	中國瀚亞集團控股有限公司	1,361.60	0.49
50	08215	第一信用金融集團有限公司	1,324.51	0.48
總值			199,695.38	71.97
市場總值			277,457.32	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2015	第3季	7	777.74
	第4季	13	931.94
2016	第1季	6	344.80
	第2季	9	801.32
	第3季	10	775.30

創業板新上市公司

2016年第3季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08292	盛良物流有限公司	2016/07/06	800,000,000	70.00
08281	中國金典集團有限公司	2016/07/08	1,000,000,000	107.50
08229	Future Data Group Ltd.	2016/07/08	400,000,000	58.00
08232	Classified Group (Holdings) Ltd.	2016/07/11	400,000,000	77.00
08328	信義汽車玻璃香港企業有限公司	2016/07/11	540,112,962	38.50
08360	利駿集團(香港)有限公司	2016/07/12	480,000,000	76.80
08333	阿仕特朗金融控股有限公司	2016/07/14	800,000,000	120.00
08293	星亞控股有限公司	2016/07/15	250,000,000	62.50
08056	飲食概念控股有限公司	2016/08/05	810,250,000	90.00
08300	皇璽餐飲集團控股有限公司	2016/08/08	2,000,000,000	75.00
總額				775.30

除牌上市公司－創業板

2016年第3季

股份代號	公司名稱	最後買賣日期	除牌日期
08068	新宇環保集團有限公司*	2016/07/29	2016/08/01 §
08305	均安控股有限公司*	2016/08/12	2016/08/15 §
08099	中國優材(控股)有限公司*	2016/09/09	2016/09/12 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2016年第3季

股份代號	原有名稱	新名稱	生效日期	採用日期
08261	海天水電國際有限公司	海天能源國際有限公司	2016/05/25	2016/07/13
08312	金滿堂控股有限公司	中國瀚亞集團控股有限公司	2016/06/30	2016/07/22
08103	泰盛國際(控股)有限公司	萬泰企業股份有限公司	2016/07/05	2016/07/26
08109	科瑞控股有限公司	麒麟集團控股有限公司	2016/06/21	2016/07/29
08088	滙友資本控股有限公司	滙友科技控股有限公司	2016/06/28	2016/08/05
08295	卓亞資本有限公司	中植資本國際有限公司	2016/08/10	2016/09/07
08078	中國3D數碼娛樂有限公司	HMV數碼中國集團有限公司	2016/08/02	2016/09/14
08206	神通電信服務有限公司	神通機器人教育集團有限公司	2016/08/05	2016/09/15

創業板紅股／紅利認股權證

2016年第3季

股份代號	公司	詳情	除淨日
08108	福澤集團控股有限公司	每供5股送1股股份	2016/07/08
08098	昌利(控股)有限公司	1送1	2016/08/09

創業板股份拆細及合併

2016年第3季

股份代號	公司	詳情	生效日期
08133	鑄能控股有限公司	1股分拆為5股	2016/07/08
08351	俊文寶石國際有限公司	10股合併為1股	2016/07/26
08108	福澤集團控股有限公司	10股合併為1股	2016/08/11
08027	吉輝控股有限公司	1股分拆為8股	2016/08/19
08207	中國信貸控股有限公司	1股分拆為5股	2016/09/19
08200	修身堂控股有限公司	2股合併為1股	2016/09/23
08132	中油港燃能源集團控股有限公司	2股合併為1股	2016/09/30

創業板供股／公開售股

2016年第3季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
8月	08108	福澤集團控股有限公司	公開售股1供10，每股供0.12港元 另每供5股送1股股份	425.87
	08351	俊文寶石國際有限公司	股權1供9，每股供0.13港元 (10股合併為1股後)	394.34
總額				820.21

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2016					
7 月	08095	北大青鳥環宇	96,960,000	0.9900	95.99
	08086	DX.COM控股	295,832,000	0.1100	32.54
	08100	智易控股	8,583,000	0.5000	4.29
	08063	環球大通集團	458,700,000	0.1000	45.87
	08186	同仁資源	577,260,000	0.0380	21.94
	08125	中持基業	33,000,000	2.4500	80.85
	08011	百田石油	40,000,000	0.4140	16.56
	08103	萬泰企業股份	19,870,313	0.5700	11.33
	08260	銀合控股	11,500,000	0.6710	7.72
	08279	亞博科技控股	4,817,399,245	0.3478	1,675.49
8 月	08148	奧栢中國	151,200,000	0.1290	19.50
	08166	中國農業生態	288,000,000	0.1500	43.20
	08132	中油港燃	48,000,000	0.0760	3.65
	08179	新煮意控股	130,560,000	0.1530	19.98
	08143	華夏醫療	339,000,000	0.3100	105.09
	08195	L&A INTL HOLD	2,869,886,385	0.0209	59.98
	08256	金利通	9,800,000	0.1000	0.98
	08001	東方匯財證券	18,000,000	0.8200	14.76
	08037	銳康藥業	131,380,000	0.1690	22.20
	08326	同景新能源	9,000,000	5.5700	50.13
	08173	萬亞企業控股	569,199,627	0.0800	45.54
	08312	中國瀚亞	48,000,000	0.6300	30.24
	08018	匯財金融投資	144,000,000	0.1500	21.60
	08266	金達集團國際	534,608,000	0.0690	36.89
9 月	08181	港深聯合	99,000,000	0.2300	22.77
	08197	東北虎藥業	41,400,000	0.9700	40.16
	08047	宇恒供應鏈	335,760,000	0.1000	33.58
	08260	銀合控股	30,000,000	1.0400	31.20
	總額				2,594.01

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2015	第3季	1	41.31	18.74
	第4季	1	4.37	9.86
2016	第1季	2	2.64	99.62
	第2季	2	31.57	72.78
	第3季	1	5.98	62.92

創業板新上市股份認股權證

2016年第3季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					-

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2016年9月底 收市	2016年6月底 收市	升跌
標準普爾／香港交易所大型股指數	29251.49	25732.40	+ 13.68%
標準普爾／香港交易所創業板指數	392.83	400.97	- 2.03%
恒生指數	23297.15	20794.37	+ 12.04%
分類指數			
金融	30764.33	26930.21	+ 14.24%
公用事業	54938.17	52962.03	+ 3.73%
地產	34163.44	29566.15	+ 15.55%
工商業	13881.71	12636.24	+ 9.86%
恒生香港中資企業指數	3861.20	3624.86	+ 6.52%
恒生中國企業指數	9581.93	8712.89	+ 9.97%

股票市場指數

世界指數

指數	2016年9月底 收市	2016年6月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	29251.49	25732.40	+ 13.68%
恒生指數	23297.15	20794.37	+ 12.04%
澳洲			
普通股指數	5525.15	5310.41	+ 4.04%
雅加達			
綜合指數	5364.80	5016.65	+ 6.94%
日本			
日經指數(225)	16449.84	15575.92	+ 5.61%
韓國			
綜合指數	2043.63	1970.35	+ 3.72%
吉隆坡			
綜合指數	1652.55	1654.08	- 0.09%
馬尼拉			
綜合指數	7629.73	7796.25	- 2.14%
紐西蘭			
證交所指數(50)	7361.09	6897.53	+ 6.72%
上海			
A股指數	3145.17	3066.50	+ 2.57%
B股指數	354.14	346.75	+ 2.13%
深圳			
A股指數	2087.32	2065.49	+ 1.06%
B股指數	1186.23	1100.25	+ 7.81%
新加坡			
海峽時報指數	2869.47	2840.93	+ 1.00%
台灣			
台北加權股份指數	9166.85	8666.58	+ 5.77%
泰國			
SET指數	1483.21	1444.99	+ 2.65%
紐約			
杜瓊斯工業平均指數	18308.15	17929.99	+ 2.11%
Nasdaq綜合指數	5312.00	4842.67	+ 9.69%
多倫多			
S&P/TSX綜合指數	14725.86	14064.54	+ 4.70%
德國			
DAX Kursindex	5116.12	4713.91	+ 8.53%
倫敦			
金融時報100指數	6899.33	6504.33	+ 6.07%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2016年第3季	2015年第3季
恒生指數期貨	8,184,656	5,212,839
恒生指數期權	2,379,876	2,093,981
小型恒生指數期貨	3,138,473	3,064,596
小型恒生指數期權	368,740	264,749
H股指數期貨	7,820,556	9,576,380
H股指數期權	5,044,056	5,215,983
小型H股指數期貨	1,123,616	2,164,280
小型H股指數期權 ¹	59,588	–
自訂條款恒生指數期權	0	1,300
自訂條款H股指數期權	942	5,347
恒指股息點指數期貨	102	2,102
恒生國企股息點指數期貨	129,413	56,952
恒指波幅指數期貨	2	139
中華交易服務中國120指數期貨	158	3,363
中華交易服務博彩業指數期貨 ²	0	–
恒生中國內地石油及天然氣指數期貨 ³	0	–
恒生中國內地銀行指數期貨 ⁴	17	–
恒生中國內地醫療保健指數期貨 ⁵	0	–
恒生中國內地地產指數期貨 ⁶	0	–
恒生資訊科技器材指數期貨 ⁷	0	–
恒生軟件服務指數期貨 ⁸	0	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	42,071	170,322
股票期權	19,509,020	23,674,156
三個月港元利率期貨	0	10
一個月港元利率期貨	0	3
三年期外匯基金債券期貨 ⁹	–	–
人民幣貨幣期貨—美元兌人民幣(香港)期貨	75,158	86,580
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 ¹⁰	581	–
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 ¹¹	372	–
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 ¹²	62	–
人民幣貨幣期貨—人民幣(香港)兌美元期貨 ¹³	980	–
倫敦鋁期貨小型合約	392	1,864
倫敦鋅期貨小型合約	2,099	2,664
倫敦銅期貨小型合約	1,095	4,716
倫敦鉛期貨小型合約 ¹⁴	3	–
倫敦鎳期貨小型合約 ¹⁵	7,155	–
倫敦錫期貨小型合約 ¹⁶	26	–
總數	47,889,209	51,602,326

¹ 小型H股指數期權由2016年9月05日開始買賣

² 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

³ 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

⁴ 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

⁵ 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

⁶ 恒生中國內地地產指數期貨由2016年5月09日開始買賣

⁷ 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

⁸ 恒生軟件服務指數期貨由2016年5月09日開始買賣

⁹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

¹⁰ 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹¹ 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

¹² 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹³ 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

¹⁴ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

¹⁵ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

¹⁶ 倫敦錫期貨小型合約由2015年12月14日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第3季	5,212,839	102,582
	第4季	7,209,070	97,118
2016年	第1季	8,344,875	105,887
	第2季	8,405,492	104,573
	第3季	8,184,656	157,669

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第3季	3,064,596	7,431
	第4季	2,611,709	7,556
2016年	第1季	3,087,080	9,138
	第2季	3,282,211	10,857
	第3季	3,138,473	9,151

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第3季	2,093,981	257,268
	第4季	1,622,372	172,564
2016年	第1季	2,255,893	243,225
	第2季	2,405,701	226,187
	第3季	2,379,876	280,913

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第3季	9,576,380	349,891
	第4季	7,894,548	384,767
2016年	第1季	9,089,155	357,894
	第2季	8,563,874	366,688
	第3季	7,820,556	349,588

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2015年	第3季	22,706.73	23,674,156	6,679,004
	第4季	9,781.44	15,195,091	4,827,678
2016年	第1季	11,846.68	17,289,771	5,368,449
	第2季	8,723.16	18,146,397	5,550,885
	第3季	8,985.98	19,509,020	6,045,816

衍生產品市場 期貨及期權

各期權類別之期權金總額

2016年第3季

期權類別		期權金額 (百萬港元)	佔市場百分比
X安碩A50中國	A50	281.63	3.13%
安徽海螺水泥股份	ACC	30.28	0.34%
友邦保險	AIA	267.30	2.97%
中國鋁業	ALC	2.46	0.03%
華夏滬深三百	AMC	124.13	1.38%
交通銀行	BCM	9.45	0.11%
東亞銀行	BEA	9.61	0.11%
百麗國際	BIH	4.16	0.05%
中銀香港	BOC	40.42	0.45%
比亞迪股份	BYD	38.45	0.43%
中國交通建設	CCC	30.97	0.34%
中國中煤能源	CCE	5.74	0.06%
中國遠洋	CCS	2.04	0.02%
中國信達	CDA	5.65	0.06%
中廣核電力	CGN	5.03	0.06%
中國移動	CHT	564.14	6.28%
中國聯通	CHU	84.93	0.95%
中信股份	CIT	14.21	0.16%
長和	CKH	190.25	2.12%
長實地產	CKP	26.34	0.29%
中國人壽	CLI	565.25	6.29%
中電控股	CLP	29.85	0.33%
招商銀行	CMB	50.67	0.56%
中國海洋石油	CNC	183.75	2.04%
中國海外發展	COL	268.12	2.98%
國泰航空	CPA	3.79	0.04%
中國石油化工股份	CPC	54.10	0.60%
中國太保	CPI	34.48	0.38%
中國鐵建	CRC	6.48	0.07%
中國中鐵	CRG	4.53	0.05%
華潤置地	CRL	38.10	0.42%
X標智滬深300	CS3	0.02	0.00%
南方A50	CSA	379.91	4.23%
中國神華	CSE	56.47	0.63%
中信銀行	CTB	13.01	0.14%
中國電信	CTC	28.15	0.31%
中信証券	CTS	15.80	0.18%
東風集團股份	DFM	11.46	0.13%
思捷環球	ESP	0.26	0.00%
富智康集團	FIH	0.25	0.00%
銀河娛樂	GLX	215.51	2.40%
長城汽車	GWM	38.90	0.43%
海通證券	HAI	52.00	0.58%
恒生H股ETF	HCF	19.93	0.22%
電能實業	HEH	24.54	0.27%
香港交易所	HEX	808.26	8.99%
恒安國際	HGN	8.73	0.10%
匯豐控股	HKB	614.74	6.84%
香港中華煤氣	HKG	3.68	0.04%
恒基地產	HLD	37.33	0.42%
華能國際電力股份	HNP	29.76	0.33%
恒生銀行	HSB	28.48	0.32%
江西銅業	JXC	15.36	0.17%
昆侖能源	KLE	5.40	0.06%
金山軟件	KSO	39.47	0.44%
聯想集團	LEN	79.23	0.88%
利豐	LIF	9.41	0.10%
蒙牛乳業	MEN	3.79	0.04%

衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百份比
美高梅中國	MGM	1.33	0.01%
民生銀行	MSB	218.43	2.43%
港鐵公司	MTR	11.89	0.13%
中國建材	NBM	13.89	0.15%
新華保險	NCL	8.33	0.09%
新世界發展	NWD	25.12	0.28%
中國平安	PAI	497.07	5.53%
中國石油股份	PEC	71.87	0.80%
中國人民財產保險	PIC	51.65	0.57%
中國人民保險集團	PIN	6.28	0.07%
保利協鑫能源	PLE	4.50	0.05%
富力地產	RFP	1.75	0.02%
金沙中國有限公司	SAN	137.97	1.54%
新鴻基地產	SHK	460.37	5.12%
渣打集團	STC	6.97	0.08%
太古股份公司A	SWA	18.78	0.21%
騰訊控股	TCH	1,457.34	16.22%
盈富基金	TRF	8.87	0.10%
九龍倉集團	WHL	53.84	0.60%
中國旺旺	WWC	3.41	0.04%
農業銀行	XAB	59.24	0.66%
中國銀行	XBC	78.07	0.87%
建設銀行	XCC	156.05	1.74%
工商銀行	XIC	87.16	0.97%
兗州煤業股份	YZC	17.07	0.19%
紫金礦業	ZJM	12.55	0.14%
總額		8,985.98	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2016年第3季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	251,890	1.29%
安徽海螺水泥股份	ACC	93,498	0.48%
友邦保險	AIA	350,112	1.79%
中國鋁業	ALC	12,105	0.06%
華夏滬深三百	AMC	78,380	0.40%
交通銀行	BCM	61,252	0.31%
東亞銀行	BEA	51,477	0.26%
百麗國際	BIH	17,108	0.09%
中銀香港	BOC	158,714	0.81%
比亞迪股份	BYD	40,556	0.21%
中國交通建設	CCC	120,674	0.62%
中國中煤能源	CCE	24,659	0.13%
中國遠洋	CCS	11,589	0.06%
中國信達	CDA	13,300	0.07%
中廣核電力	CGN	7,322	0.04%
中國移動	CHT	735,511	3.77%
中國聯通	CHU	166,488	0.85%
中信股份	CIT	39,621	0.20%
長和	CKH	255,136	1.31%
長實地產	CKP	21,249	0.11%
中國人壽	CLI	1,075,496	5.51%
中電控股	CLP	44,602	0.23%
招商銀行	CMB	218,564	1.12%
中國海洋石油	CNC	674,927	3.46%
中國海外發展	COL	201,646	1.03%
國泰航空	CPA	9,855	0.05%
中國石油化工股份	CPC	174,278	0.89%
中國太保	CPI	53,477	0.27%
中國鐵建	CRC	35,628	0.18%
中國中鐵	CRG	18,936	0.10%
華潤置地	CRL	30,243	0.16%
X標智滬深300	CS3	50	0.00%
南方A50	CSA	343,112	1.76%
中國神華	CSE	239,857	1.23%
中信銀行	CTB	105,044	0.54%
中國電信	CTC	86,882	0.45%
中信証券	CTS	37,317	0.19%
東風集團股份	DFM	21,254	0.11%
思捷環球	ESP	7,753	0.04%
富智康集團	FIH	1,133	0.01%
銀河娛樂	GLX	288,845	1.48%
長城汽車	GWM	296,767	1.52%
海通證券	HAI	70,106	0.36%
恒生H股ETF	HCF	14,026	0.07%
電能實業	HEH	38,331	0.20%
香港交易所	HEX	2,032,257	10.42%
恒安國際	HGN	14,935	0.08%
匯豐控股	HKB	1,326,103	6.80%
香港中華煤氣	HKG	19,999	0.10%
恒基地產	HLD	35,772	0.18%
華能國際電力股份	HNP	82,937	0.43%
恒生銀行	HSB	118,379	0.61%
江西銅業	JXC	55,218	0.28%
昆侖能源	KLE	10,301	0.05%
金山軟件	KSO	57,582	0.30%
聯想集團	LEN	229,235	1.18%
利豐	LIF	25,530	0.13%
蒙牛乳業	MEN	10,588	0.05%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
美高梅中國	MGM	8,350	0.04%
民生銀行	MSB	228,092	1.17%
港鐵公司	MTR	35,282	0.18%
中國建材	NBM	62,201	0.32%
新華保險	NCL	9,338	0.05%
新世界發展	NWD	58,218	0.30%
中國平安	PAI	1,107,534	5.68%
中國石油股份	PEC	268,307	1.38%
中國人民財產保險	PIC	80,756	0.41%
中國人民保險集團	PIN	12,512	0.06%
保利協鑫能源	PLE	12,335	0.06%
富力地產	RFP	8,017	0.04%
金沙中國有限公司	SAN	406,949	2.09%
新鴻基地產	SHK	173,987	0.89%
渣打集團	STC	58,470	0.30%
太古股份公司A	SWA	26,572	0.14%
騰訊控股	TCH	2,917,311	14.95%
盈富基金	TRF	30,748	0.16%
九龍倉集團	WHL	41,506	0.21%
中國旺旺	WWC	14,215	0.07%
農業銀行	XAB	82,732	0.42%
中國銀行	XBC	944,920	4.84%
建設銀行	XCC	1,261,369	6.47%
工商銀行	XIC	945,248	4.85%
兗州煤業股份	YZC	47,118	0.24%
紫金礦業	ZJM	49,327	0.25%
總數		19,509,020	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2015 第3季	101,225	58.26	243.97
第4季	89,652	47.71	188.39
2016 第1季	85,208	47.09	170.11
第2季	81,388	47.01	183.39
第3季	82,516	47.08	190.09

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2015 第3季	390	193.07	220.27
第4季	267	61.49	101.52
2016 第1季	249	129.91	89.17
第2季	272	102.77	169.31
第3季	314	61.95	148.35

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2015 第3季	5,397.98	74.44	14,074.11	56.13
第4季	5,467.21	74.50	15,071.15	56.58
2016 第1季	5,558.03	74.67	14,395.88	56.61
第2季	5,694.08	73.49	14,309.49	49.13
第3季	5,865.26	74.21	15,979.62	57.22

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年/月		買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2015	第3季	1,449,054	100	226,605.64	100	98,705.62	100
	第4季	1,106,299	100	210,829.38	100	70,729.28	100
2016	第1季	1,196,563	100	221,073.88	100	72,576.98	100
	第2季	1,030,032	100	191,095.88	100	62,524.66	100
	第3季	1,047,611	100	174,563.55	100	68,188.97	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2015	第3季	85,219	96.81	30,285.88	93.26
	第4季	72,118	96.46	24,746.99	93.92
2016	第1季	69,511	96.91	24,993.76	94.24
	第2季	66,419	96.57	23,875.24	93.49
	第3季	70,706	96.36	23,634.05	92.51

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月		交收效率(%)	
		到期交收日	到期交收日翌日
2015	第3季	99.93	99.99
	第4季	99.92	99.99
2016	第1季	99.93	99.99
	第2季	99.92	99.99
	第3季	99.91	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2016年第3季	2015年第3季
恒生指數期貨	157,669	102,582
恒生指數期權	280,913	257,268
小型恒生指數期貨	9,151	7,431
小型恒生指數期權	9,370	7,571
H股指數期貨	349,588	349,891
H股指數期權	2,560,117	2,165,685
小型H股指數期貨	5,049	5,022
小型H股指數期權 ¹	5,441	–
自訂條款恒生指數期權	2,975	1,400
自訂條款H股指數期權	13,569	29,912
恒指股息點指數期貨	7,081	6,381
恒生國企股息點指數期貨	157,453	76,873
恒指波幅指數期貨	0	–
中華交易服務中國120指數期貨	10	27
中華交易服務博彩業指數期貨 ²	0	–
恒生中國內地石油及天然氣指數期貨 ³	0	–
恒生中國內地銀行指數期貨 ⁴	2	–
恒生中國內地醫療保健指數期貨 ⁵	0	–
恒生中國內地地產指數期貨 ⁶	0	–
恒生資訊科技器材指數期貨 ⁷	0	–
恒生軟件服務指數期貨 ⁸	0	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	4,040	17,768
股票期權	6,045,816	6,679,004
三個月港元利率期貨	0	0
一個月港元利率期貨	0	3
三年期外匯基金債券期貨 ⁹	–	–
人民幣貨幣期貨—美元兌人民幣(香港)期貨	33,340	15,645
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 ¹⁰	50	–
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 ¹¹	4	–
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 ¹²	10	–
人民幣貨幣期貨—人民幣(香港)兌美元期貨 ¹³	482	–
倫敦鋁期貨小型合約	42	84
倫敦鋅期貨小型合約	67	106
倫敦銅期貨小型合約	20	129
倫敦鉛期貨小型合約 ¹⁴	0	–
倫敦鎳期貨小型合約 ¹⁵	100	–
倫敦錫期貨小型合約 ¹⁶	0	–
總數	9,642,359	9,722,782

¹ 小型H股指數期權由2016年9月05日開始買賣

² 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

³ 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

⁴ 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

⁵ 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

⁶ 恒生中國內地地產指數期貨由2016年5月09日開始買賣

⁷ 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

⁸ 恒生軟件服務指數期貨由2016年5月09日開始買賣

⁹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

¹⁰ 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹¹ 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

¹² 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹³ 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

¹⁴ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

¹⁵ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

¹⁶ 倫敦錫期貨小型合約由2015年12月14日開始買賣

結算及交收

各期權類別之未平倉合約

2016年第3季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	103,001	1.70
安徽海螺水泥股份	ACC	28,165	0.47
友邦保險	AIA	88,121	1.46
中國鋁業	ALC	5,483	0.09
華夏滬深三百	AMC	18,299	0.30
交通銀行	BCM	33,915	0.56
東亞銀行	BEA	12,809	0.21
百麗國際	BIH	6,837	0.11
中銀香港	BOC	46,633	0.77
比亞迪股份	BYD	23,524	0.39
中國交通建設	CCC	53,125	0.88
中國中煤能源	CCE	14,303	0.24
中國遠洋	CCS	3,737	0.06
中國信達	CDA	5,962	0.10
中廣核電力	CGN	1,567	0.03
中國移動	CHT	139,031	2.30
中國聯通	CHU	55,513	0.92
中信股份	CIT	16,521	0.27
長和	CKH	44,924	0.74
長實地產	CKP	6,867	0.11
中國人壽	CLI	264,690	4.38
中電控股	CLP	7,658	0.13
招商銀行	CMB	93,746	1.55
中國海洋石油	CNC	203,495	3.37
中國海外發展	COL	53,764	0.89
國泰航空	CPA	5,507	0.09
中國石油化工股份	CPC	87,429	1.45
中國太保	CPI	25,573	0.42
中國鐵建	CRC	17,034	0.28
中國中鐵	CRG	6,843	0.11
華潤置地	CRL	16,414	0.27
X標智滬深300	CS3	32	0.00
南方A50	CSA	88,036	1.46
中國神華	CSE	52,159	0.86
中信銀行	CTB	74,119	1.23
中國電信	CTC	51,266	0.85
中信証券	CTS	11,917	0.20
東風集團股份	DFM	5,241	0.09
思捷環球	ESP	3,132	0.05
富智康集團	FIH	553	0.01
銀河娛樂	GLX	60,819	1.01
長城汽車	GWM	75,237	1.24
海通證券	HAI	22,893	0.38

結算及交收

期權類別		未平倉合約	佔市場百分比
恒生H股ETF	HCF	6,655	0.11
電能實業	HEH	6,255	0.10
香港交易所	HEX	473,899	7.84
恒安國際	HGN	6,040	0.10
匯豐控股	HKB	378,487	6.26
香港中華煤氣	HKG	7,760	0.13
恒基地產	HLD	4,637	0.08
華能國際電力股份	HNP	17,166	0.28
恒生銀行	HSB	54,221	0.90
江西銅業	JXC	13,131	0.22
昆侖能源	KLE	4,207	0.07
金山軟件	KSO	11,020	0.18
聯想集團	LEN	80,067	1.32
利豐	LIF	6,703	0.11
蒙牛乳業	MEN	2,293	0.04
美高梅中國	MGM	1,049	0.02
民生銀行	MSB	73,611	1.22
港鐵公司	MTR	6,654	0.11
中國建材	NBM	15,418	0.26
新華保險	NCL	6,777	0.11
新世界發展	NWD	8,460	0.14
中國平安	PAI	406,472	6.72
中國石油股份	PEC	105,463	1.74
中國人民財產保險	PIC	25,519	0.42
中國人民保險集團	PIN	3,730	0.06
保利協鑫能源	PLE	5,215	0.09
富力地產	RFP	3,011	0.05
金沙中國有限公司	SAN	98,833	1.63
新鴻基地產	SHK	26,127	0.43
渣打集團	STC	18,031	0.30
太古股份公司A	SWA	10,280	0.17
騰訊控股	TCH	772,560	12.78
盈富基金	TRF	13,561	0.22
九龍倉集團	WHL	9,380	0.16
中國旺旺	WWC	4,596	0.08
農業銀行	XAB	43,541	0.72
中國銀行	XBC	441,428	7.30
建設銀行	XCC	459,124	7.59
工商銀行	XIC	444,672	7.36
兗州煤業股份	YZC	14,004	0.23
紫金礦業	ZJM	19,865	0.33
總數		6,045,816	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第3季	556 (965)	505 (905)	35 (44)	16 (16)
	第4季	566 (975)	515 (915)	35 (44)	16 (16)
2016	第1季	575 (981)	526 (925)	33 (40)	16 (16)
	第2季	582 (985)	530 (924)	36 (45)	16 (16)
	第3季	599 (1002)	547 (941)	36 (45)	16 (16)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第3季	230 (254)	177 (199)	–	53 (55)
	第4季	232 (256)	179 (201)	–	53 (55)
2016	第1季	230 (253)	177 (198)	–	53 (55)
	第2季	229 (252)	176 (197)	–	53 (55)
	第3季	231 (254)	178 (199)	–	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2015 第3季	149	9
第4季	151	9
2016 第1季	148	10
第2季	148	9
第3季	150	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2015 第3季	67	9
第4季	69	9
2016 第1季	66	10
第2季	66	9
第3季	65	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2015 第3季	482	10	43
第4季	492	10	43
2016 第1季	503	9	43
第2季	507	9	43
第3季	525	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券