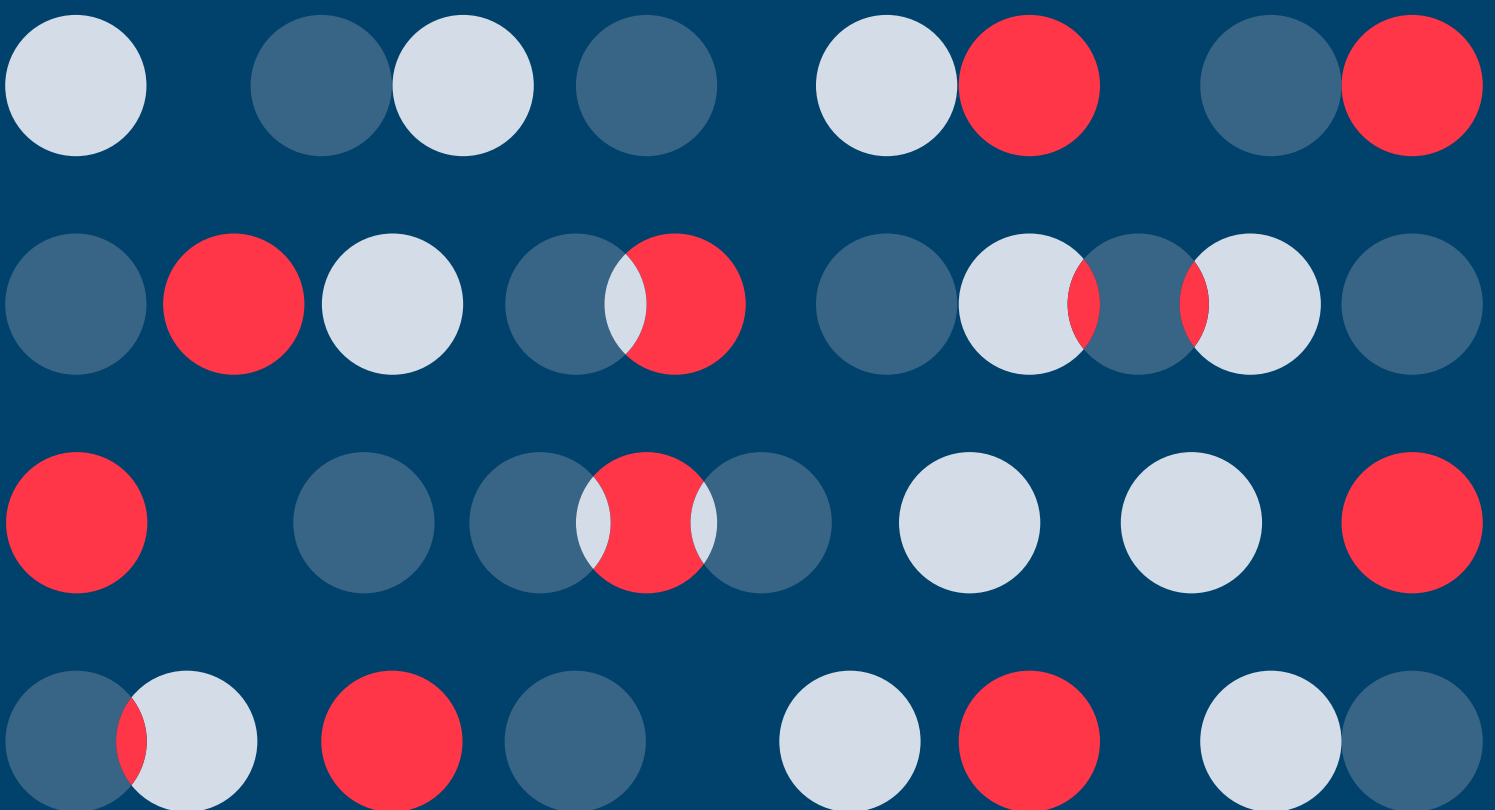


# SECURITIES AND DERIVATIVES MARKETS QUARTERLY REPORT

Third Quarter 2017



# MARKET HIGHLIGHTS

## Market Highlights – Main Board

Highlights	3rd Quarter 2017	3rd Quarter 2016
No. of listed companies	1,765	1,687
No. of listed securities	11,557	8,816
Equities		
Ordinary shares	1,766	1,688
Preference shares	12	7
Warrants	5,380	4,017
Equity	7	11
Derivative	5,373	4,006
Equity linked instrument	0	0
Callable bull/bear contracts	3,257	2,105
Unit trusts/mutual funds	146	158
Debt securities	996	841
No. of trading only stocks		
Nasdaq stocks	6	6
iShares	2	2
No. of trading days	64	63
Total turnover in value (HK\$mil.) *	5,930,454.09	4,270,581.33
Total turnover in shares (mil. shs)	10,427,017.25	10,861,850.63
Total number of trades (deals)	80,630,868	65,014,211
Total market capitalisation (HK\$mil.)	31,140,799.89	25,317,548.47
Average P/E ratio (times)	15.21	10.89
Average dividend yield (%)	2.97	3.13
Number of exchange participants		
– Trading	608	547
– Non-trading	33	36
Turnover in value (HK\$mil.)		
Highest	139,373.05 (11/08)	116,801.74 (09/09)
Lowest	70,037.75 (07/07)	47,192.40 (08/07)
Turnover in shares (mil. shs)		
Highest	225,241.42 (10/08)	216,736.38 (17/08)
Lowest	117,185.13 (24/07)	125,578.79 (15/09)
Total number of trades (deals)		
Highest	1,655,961 (11/08)	1,596,556 (09/09)
Lowest	996,205 (07/07)	773,985 (08/07)

\* Included turnover value traded in non-HKD currency securities.

# MARKET HIGHLIGHTS

## Market Highlights – Growth Enterprise Market (GEM)

Highlights	3rd Quarter 2017	3rd Quarter 2016
No. of listed companies	304	243
No. of listed securities	305	244
Equities	304	243
Warrants	1	1
No. of trading days	64	63
Total turnover in value (HK\$mil.) *	34,263.35	32,623.29
Total turnover in shares (mil. shs)	115,380.39	136,066.45
Total number of trades (deals)	1,107,792	1,028,462
Total market capitalisation (HK\$mil.)	271,598.42	277,457.32
Average P/E ratio (times)	36.55	62.27
Average dividend yield (%)	0.37	0.29
Turnover in value (HK\$mil.)		
Highest	798.56 (06/07)	821.94 (20/07)
Lowest	304.54 (28/08)	312.35 (29/08)
Turnover in shares (mil. shs)		
Highest	3,226.91 (15/08)	11,868.50 (06/07)
Lowest	1,065.63 (01/09)	905.05 (15/09)
Total number of trades (deals)		
Highest	27,516 (12/07)	36,664 (06/07)
Lowest	9,784 (17/08)	9,152 (15/09)

\* Included turnover value traded in non-HKD currency securities.

# MARKET HIGHLIGHTS

## Derivatives Market Highlights

Highlights	3rd Quarter 2017	3rd Quarter 2016
<b>All futures and options products (excluding stock options)</b>		
No. of trading days	65.0	64.0
Total contract volume	28,047,982	28,380,189
Equity index products	27,785,067	28,250,195
Equity products	26,125	42,071
Bond Futures *	1,729	-
Interest rates products	308	0
RMB Currency Futures	188,558	77,153
Gold Futures #	45,330	-
London Metal Mini Futures	865	10,770
Average daily contract volume	431,507	443,440
Total open interest as at the quarter end (contracts)	3,977,508	3,596,543
Equity index products	3,944,583	3,558,388
Equity products	5,243	4,040
Bond Futures *	135	-
Interest rates products	310	0
RMB Currency Futures	26,357	33,886
Gold Futures #	786	-
London Metal Mini Futures	94	229

\* Trading in Bond Futures commenced on 10 Apr 2017

# Trading in Gold Futures commenced on 10 Jul 2017

<b>Stock options</b>		
No. of newly introduced stock options classes	0	0
No. of stock options as at the quarter end		
Class	86	84
Series	31,980	27,834
Total premium (HK\$mil.)	17,345.28	8,985.98
Call	10,482.21	4,961.87
Put	6,863.07	4,024.10
Average daily premium (HK\$mil.)	266.85	140.41
Total contract volume	30,796,045	19,509,020
Call	17,628,360	10,098,596
Put	13,167,685	9,410,424
Average daily contract volume	473,785	304,828
Total open interest as at the quarter end (contracts)	7,937,540	6,045,816
Call	4,022,492	2,914,668
Put	3,915,048	3,131,148
Total number of trades	1,119,204	773,549
Average daily number of trades	17,219	12,087
Total contracts exercised	2,991,394	1,889,025
Average premium per contract (HK\$)	563.23	460.61
Average contract per trade (contracts)	28	25
Put volume/call volume	0.75	0.93
Average daily contract volume/open interest	6.0%	5.0%

<b>All futures and options products</b>		
Total contract volume	58,844,027	47,889,209
Total open interest as at the quarter end (contracts)	11,915,048	9,642,359

# MARKET HIGHLIGHTS

## Clearing and Settlement Statistics

Securities Market	3rd Quarter 2017	3rd Quarter 2016
Average Daily Exchange Trades Handled by CCASS		
- Number of trades	1,276,525	1,047,611
- Value of trades (HK\$bil.)	92.9	68.2
- Share quantity Involved (bil shares)	164.7	174.6
Average Daily Settlement Instructions ("SIs") Settled by CCASS		
- Number of SIs	92,762	82,516
- Value of SIs (HK\$bil.)	223.7	190.1
- Share quantity involved (bil. shares)	47.1	47.1
Average Daily Investor SIs ("ISIs") Settled by CCASS		
- Number of ISIs	373	314
- Value of ISIs (HK\$mil.)	155.8	148.4
- Share quantity involved (mil. shares)	468.7	62.0
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.91	99.91
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
- Number of brokers involved	3	3
- Number of buy-ins	4	3
- Value of buy-ins (HK\$mil)	1.7	1.2
Shares Deposited in the CCASS Depository *		
- Number of shares (bil shares)	6,338.5	5,865.3
- Percentage of total issued shares of the admitted securities	75.53	74.21
- Value of shares (HK\$bil)	19,870.0	15,979.6
- Percentage of the total market capitalisation of the admitted securities	57.86	57.22

Derivatives Market	3rd Quarter 2017	3rd Quarter 2016
Open Interest		
- Equity Index Futures	593,595	686,003
- Stock Futures	5,243	4,040
- 5-Year MOF T-Bond Futures ^	135	-
- Interest Rates Futures	310	0
- RMB Currency Futures	23,066	33,886
- Gold Futures +	786	-
- London Metal Mini Futures	94	229
- Equity Index Options	3,350,988	2,872,385
- Stock Options	7,937,540	6,045,816
- RMB Currency Options #	3,291	-
Cumulative Stock Options Exercised		
- Share quantity involved (mil. shs)	2,894	1,863
- Value of shares (HK\$bil.)	65	34

\* Share quantity and market value of securities in non-HKD currency are included

^ Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017

+ Trading in Gold Futures commenced on 10 Jul 2017

# Trading in RMB Currency Options commenced on 20 Mar 2017

# MAIN BOARD EQUITIES

## Equity Turnover

		Share (mil. shs)	Value (HK\$ mil.)	No. of deals
2016	Q3	822,456.65	2,993,023.85	52,694,671
	Q4	806,179.21	2,860,715.28	51,911,116
2017	Q1	880,448.96	3,261,722.31	57,223,517
	Q2	888,545.35	3,419,708.45	55,686,986
	Q3	961,543.54	4,503,339.92	65,867,472

## Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2016	Q3	63	47,508.32	56,799
	Q4	61	46,896.97	55,108
2017	Q1	62	52,608.42	57,000
	Q2	59	57,961.16	61,409
	Q3	64	70,364.69	68,370

# MAIN BOARD EQUITIES

## 20 Most Advanced Stocks

for 3rd quarter 2017

Rank	Code	Stock	Closing price		% up
			End of Sep 2017	End of Jun 2017	
1	00612	CHINA INV FUND	4.180	0.790	429.11
2	01630	KIN SHING HLDGS	1.400	0.520	169.23
3	02303	HENGXING GOLD	7.170	2.700	165.56
4	00136	HENG TEN NET	0.290	0.110	163.64
5	00802	CHINA E-WALLET	0.360	0.140	157.14
6	03638	HUABANG FIN	0.950	0.375	153.33
7	00169	WANDA HOTEL DEV	1.610	0.640	151.56
8	03326	PERFECT GP-NEW	3.210	1.280	A 150.78
9	00186	GRANDE HOLDINGS	0.750	0.300	150.00
10	01638	KAISA GROUP	5.810	2.470	135.22
11	01918	SUNAC	35.750	16.320	119.06
12	00708	EVERG HEALTH	3.430	1.570	118.47
13	00360	NEW FOCUS AUTO	0.600	0.275	118.18
14	01617	NANFANG COMM	3.700	1.740	112.64
15	00679	ASIA TELE-NET	2.030	0.960	111.46
16	00852	STRONG PETRO	1.490	0.710	109.86
17	03688	TOP SPRING	5.080	2.440	108.20
18	02080	AUX INTL	2.390	1.150	107.83
19	01533	ZHUANGYUAN PA	11.080	5.340	107.49
20	00526	LISI GP HOLD	1.280	0.620	106.45

A Adjusted

## 20 Most Declined Stocks

for 3rd quarter 2017

Rank	Code	Stock	Closing price		% down
			End of Sep 2017	End of Jun 2017	
1	00651	CHI OCEAN IND	0.059	0.180	-67.22
2	01192	TITAN PETRO-NEW	0.240	0.704	A -65.91
3	01139	VICTORY GROUP	0.175	0.510	-65.69
4	00508	DINGYI GP INV	0.510	1.230	-58.54
5	02133	CH POLYMETAL	0.128	0.269	A -52.42
6	00147	IB SETTLEMENT	0.570	1.180	-51.69
7	00149	CH AGRI-PROD EX	0.127	0.250	-49.20
8	01046	UNI INTL FIN	0.470	0.910	-48.35
9	00577	THE 13 HOLDINGS	0.840	1.610	-47.83
10	00243	QPL INT'L	0.124	0.235	-47.23
11	00092	CHAMPION TECH	0.059	0.107	-44.86
12	00555	REXLOT HOLDINGS	0.056	0.098	-42.86
13	01383	SUNCITY GROUP	0.440	0.760	-42.11
14	01335	SHEEN TAI	0.290	0.500	-42.00
15	03344	ADDCHANCE	0.455	0.780	-41.67
16	00364	PING SHAN TEA	0.013	0.022	-40.91
17	01059	KANTONE HOLDING	0.345	0.580	-40.52
18	00426	ONE MEDIA GROUP	0.890	1.490	-40.27
19	00559	DETAI NEWENERGY	0.101	0.168	-39.88
20	00835	ASIA COAL	0.056	0.093	-39.78

A Adjusted

# MAIN BOARD EQUITIES

## 20 Most Active Stocks by Value

for 3rd quarter 2017

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00700	TENCENT	414,730.58	9.21
2	02318	PING AN	162,298.23	3.60
3	00005	HSBC HOLDINGS	126,697.12	2.81
4	01398	ICBC	119,913.43	2.66
5	00939	CCB	118,792.32	2.64
6	03988	BANK OF CHINA	94,507.02	2.10
7	00388	HKEX	93,718.20	2.08
8	00941	CHINA MOBILE	92,215.17	2.05
9	03333	EVERGRANDE	71,225.99	1.58
10	00175	GEELY AUTO	70,657.83	1.57
11	01299	AIA	67,827.54	1.51
12	02628	CHINA LIFE	67,178.18	1.49
13	01918	SUNAC	62,480.23	1.39
14	02382	SUNNY OPTICAL	51,704.59	1.15
15	02018	AAC TECH	44,799.14	0.99
16	00883	CNOOC	44,411.55	0.99
17	00688	CHINA OVERSEAS	43,723.93	0.97
18	00027	GALAXY ENT	40,852.05	0.91
19	03968	CM BANK	38,283.09	0.85
20	00762	CHINA UNICOM	37,979.03	0.84
<b>Total</b>			<b>1,863,995.22</b>	<b>41.39</b>

## 20 Most Active Stock by Shares

for 3rd quarter 2017

Rank	Code	Stock	Turnover (mil. shs)	% of total
1	00136	HENG TEN NET	59,987.00	6.24
2	03988	BANK OF CHINA	24,133.57	2.51
3	01398	ICBC	21,581.14	2.24
4	00939	CCB	18,117.71	1.88
5	00582	LANDING INTL	14,800.76	1.54
6	00061	NORTH ASIA-NEW	14,189.69	1.48
7	00364	PING SHAN TEA	13,828.22	1.44
8	01027	CHINA JICHENG	13,503.59	1.40
9	03800	GCL-POLY ENERGY	12,000.05	1.25
10	01019	CONVOY	9,379.48	0.98
11	00030	BAN LOONG HOLD	8,920.08	0.93
12	01288	ABC	8,782.20	0.91
13	00572	FUTURE WORLD FH	8,318.09	0.87
14	00651	CHI OCEAN IND	7,306.17	0.76
15	03993	CMOC	6,997.54	0.73
16	00857	PETROCHINA	6,966.38	0.72
17	00139	CH SOFT POWER	6,808.88	0.71
18	01250	BE CLEAN ENERGY	6,597.86	0.69
19	03823	TECH PRO	6,207.22	0.65
20	00875	CHINA FIN INV	6,047.44	0.63
<b>Total</b>			<b>274,473.08</b>	<b>28.55</b>



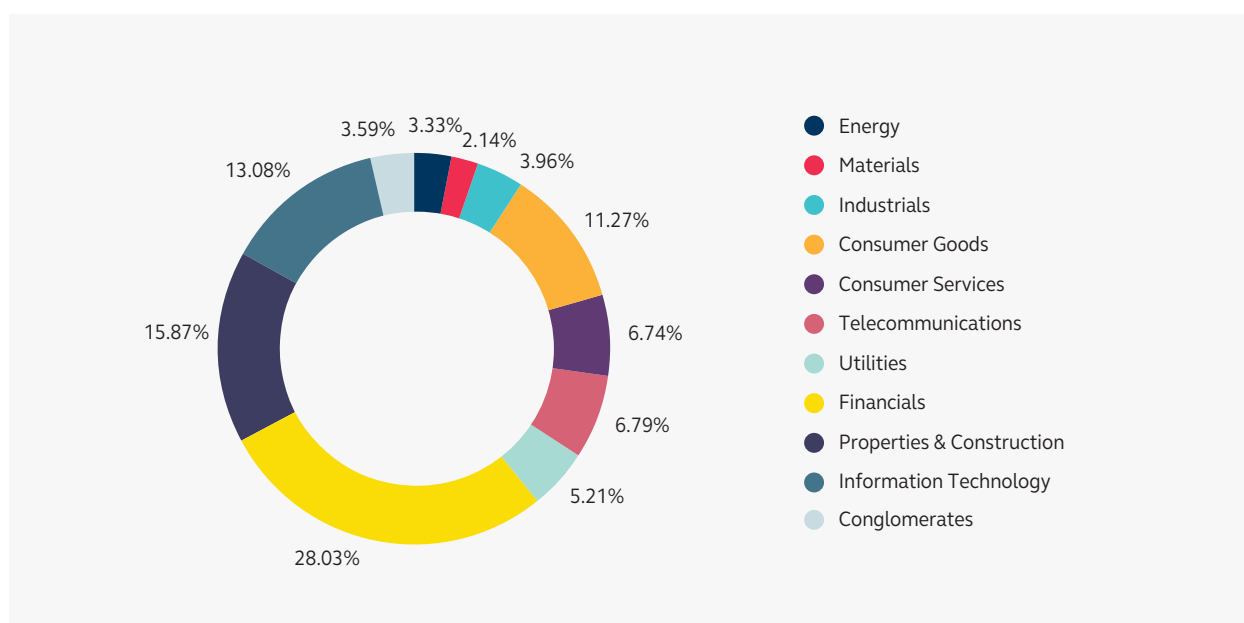
# MAIN BOARD EQUITIES

## Market Capitalisation of Listed Companies for Main Board

		HK\$ mil.
2016	Q3	25,317,548.47
	Q4	24,450,434.51
2017	Q1	26,911,572.81
	Q2	28,412,843.17
	Q3	31,140,799.89

## Market Capitalisation by Hang Seng Industry Classification System\* – Main Board

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

# MAIN BOARD EQUITIES

## 50 Leading Companies by Market Capitalisation

as at the 3rd quarter end 2017

Rank	Code	Company	Market capitalisation	
			(HK\$ mil.)	% of market total
1	00700	Tencent Holdings Ltd.	3,193,439.10	10.25
2	00941	China Mobile Ltd.	1,620,634.47	5.20
3	00005	HSBC Holdings plc	1,561,584.22	5.01
4	00939	China Construction Bank Corporation – H Shares	1,557,904.23	5.00
5	01299	AIA Group Ltd.	695,471.72	2.23
6	01398	Industrial and Commercial Bank of China Ltd. – H Shares	503,405.46	1.62
7	02378	Prudential plc *	–	482,267.07
8	00805	Glencore plc *	–	476,156.26
9	00883	CNOOC Ltd.	450,046.36	1.45
10	02318	Ping An Insurance (Group) Co. of China, Ltd. – H Shares	446,482.24	1.43
11	02388	BOC Hong Kong (Holdings) Ltd.	401,237.01	1.29
12	00001	CK Hutchison Holdings Ltd.	385,189.20	1.24
13	00016	Sun Hung Kai Properties Ltd.	367,552.18	1.18
14	00011	Hang Seng Bank Ltd.	364,014.86	1.17
15	03333	China Evergrande Group	357,890.19	1.15
16	00267	CITIC Ltd.	335,701.63	1.08
17	01928	Sands China Ltd.	328,163.08	1.05
18	03988	Bank of China Ltd. – H Shares	321,945.76	1.03
19	00945	Manulife Financial Corporation *	–	312,386.71
20	00688	China Overseas Land & Investment Ltd.	278,287.52	0.89
21	00066	MTR Corporation Ltd.	273,708.44	0.88
22	02007	Country Garden Holdings Co. Ltd.	265,050.52	0.85
23	00388	Hong Kong Exchanges and Clearing Ltd.	260,359.99	0.84
24	00762	China Unicom (Hong Kong) Ltd.	260,065.30	0.84
25	02888	Standard Chartered PLC	253,600.00	0.81
26	01113	CK Asset Holdings Ltd.	239,043.28	0.77
27	00027	Galaxy Entertainment Group Ltd.	236,772.74	0.76
28	00004	Wharf (Holdings) Ltd., The	211,321.42	0.68
29	00012	Henderson Land Development Co. Ltd.	207,059.32	0.66
30	00003	Hong Kong and China Gas Co. Ltd., The	205,338.65	0.66
31	00002	CLP Holdings Ltd.	202,242.37	0.65
32	03328	Bank of Communications Co., Ltd. – H Shares	199,567.62	0.64
33	00175	Geely Automobile Holdings Ltd.	197,186.50	0.63
34	01038	CK Infrastructure Holdings Ltd.	178,125.43	0.57
35	02628	China Life Insurance Co. Ltd. – H Shares	173,007.32	0.56
36	01109	China Resources Land Ltd.	165,649.46	0.53
37	02018	AAC Technologies Holdings Inc.	160,326.40	0.51
38	01972	Swire Properties Ltd.	155,025.00	0.50
39	00386	China Petroleum & Chemical Corporation – H Shares	149,253.62	0.48
40	01918	Sunac China Holdings Ltd.	147,787.18	0.47
41	00006	Power Assets Holdings Ltd.	144,382.80	0.46
42	00656	Fosun International Ltd.	141,225.52	0.45
43	02382	Sunny Optical Technology (Group) Co. Ltd.	136,247.40	0.44
44	03968	China Merchants Bank Co., Ltd. – H Shares	126,020.24	0.40
45	00288	WH Group Ltd.	121,635.43	0.39
46	00384	China Gas Holdings Ltd.	116,263.36	0.37
47	00960	Longfor Properties Co. Ltd.	116,071.09	0.37
48	00020	Wheelock and Co. Ltd.	112,144.67	0.36
49	00017	New World Development Co. Ltd.	110,333.87	0.35
50	01128	Wynn Macau, Ltd.	109,376.16	0.35
<b>Total</b>			<b>18,543,140.30</b>	<b>59.55</b>
<b>Market Total</b>			<b>31,140,799.89</b>	<b>100.00</b>

Notes:

\* not included in Market Total

# MAIN BOARD EQUITIES

## Short Selling (value)

		Short selling turnover (HK\$ mil.)	Market turnover (HK\$ mil.) *	% of market total
2016	Q3	490,674.64	4,303,204.62	11.40
	Q4	406,569.77	3,923,687.04	10.36
2017	Q1	475,997.96	4,608,747.71	10.33
	Q2	504,352.77	4,591,129.68	10.99
	Q3	589,099.69	5,964,717.45	9.88

\* Included Market total for Main Board and GEM

## Short Selling (share)

		Short selling turnover (mil. shs)	Market turnover (mil. shs) *	% of market total
2016	Q3	53,475.70	10,997,917.09	0.49
	Q4	47,872.62	9,721,915.86	0.49
2017	Q1	52,950.87	12,564,132.55	0.42
	Q2	49,419.09	9,197,573.22	0.54
	Q3	55,768.04	10,542,397.64	0.53

\* Included Market total for Main Board and GEM

## New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2016	Q3	22	3	91,602.58
	Q4	29	2	56,716.17
2017	Q1	20	1	12,108.95
	Q2	13	3	40,164.53
	Q3	18	4	29,182.97

\* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

# All funds raised are attributed to the initial public offers of newly listed companies

# MAIN BOARD EQUITIES

## Newly Listed Companies

for 3rd quarter 2017

Code	Company	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
01932	CPM Group Ltd.	2017/07/10	1,000,000,000	215.00
01826	FDB Holdings Ltd. *	2017/07/10	1,232,000,000	-
01702	Dongguang Chemical Ltd.	2017/07/11	620,000,000	169.60
01695	S&P International Holding Ltd.	2017/07/11	1,080,000,000	129.60
01962	Evergreen Products Group Ltd.	2017/07/12	615,000,000	304.43
06113	UTS Marketing Solutions Holdings Ltd.	2017/07/12	400,000,000	138.00
06088	FIT Hon Teng Ltd.	2017/07/13	6,733,854,888	3,074.14
00994	Win Win Way Construction Holdings Ltd.	2017/07/17	512,000,000	110.08
01676	China Shenghai Food Holdings Co. Ltd.	2017/07/18	1,000,000,000	165.00
01649	Inner Mongolia Energy Engineering Co., Ltd. – H Shares	2017/07/18	821,547,048	1,254.73
01216	Zhongyuan Bank Co., Ltd. – H Shares	2017/07/19	3,795,000,000	9,297.75
02203	Top Dynamic International Holdings Ltd. *	2017/07/21	800,000,000	-
03848	Wealthy Way Group Ltd.	2017/07/21	144,000,000	200.16
01693	BGMC International Ltd.	2017/08/09	1,800,000,000	315.00
02863	Golden Faith Group Holdings Ltd.	2017/08/11	540,000,000	116.10
01552	BHCC Holding Ltd.	2017/09/12	800,000,000	100.00
03728	Ching Lee Holdings Ltd. *	2017/09/18	1,000,000,000	-
01696	Sisram Medical Ltd.	2017/09/19	442,155,600	995.94
03830	Kiddieland International Ltd.	2017/09/21	1,000,000,000	120.00
01651	Precision Tsugami (China) Corporation Ltd.	2017/09/25	373,500,000	579.60
01709	Season Pacific Holdings Ltd. *	2017/09/27	1,000,000,000	-
06060	ZhongAn Online P & C Insurance Co., Ltd. – H Shares	2017/09/28	439,918,900	11,897.85
<b>Total</b>				<b>29,182.97</b>

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

# MAIN BOARD EQUITIES

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## Withdrawal of Listed Companies

for 3rd quarter 2017

Code	Company	Last trading date	Delisted date
01880	Belle International Holdings Ltd.	2017/07/18	2017/07/28
00283	Goldin Properties Holdings Ltd.	2017/05/25	2017/08/18
02168	Yingde Gases Group Co. Ltd.	2017/05/04	2017/08/21

# MAIN BOARD EQUITIES

## Company Name Changes

for 3rd quarter 2017

Code	Old name	New name	Effective date	Adoption date
03623	China Success Finance Group Holdings Ltd. #	China Success Finance Group Holdings Ltd.	2017/06/06	2017/07/05
00329	Dragonite International Ltd.	OCI International Holdings Ltd.	2017/06/12	2017/07/06
01329	Beijing Capital Juda Ltd. *	Beijing Capital Grand Ltd.	2017/06/07	2017/07/07
00574	Pa Shun Pharmaceutical International Holdings Ltd.	Pa Shun International Holdings Ltd.	2017/06/12	2017/07/07
03330	Lingbao Gold Co. Ltd. – H Shares	Lingbao Gold Group Co. Ltd. – H Shares	2017/05/09	2017/07/10
00686	United Photovoltaics Group Ltd.	Panda Green Energy Group Ltd.	2017/05/17	2017/07/11
00273	Mason Financial Holdings Ltd.	Mason Group Holdings Ltd.	2017/07/12	2017/07/19
00265	Orient Victory China Holdings Ltd.	Orient Victory Travel Group Co. Ltd.	2017/06/16	2017/07/20
02371	China Chuanglian Education Group Ltd.	China Chuanglian Education Financial Group Ltd.	2017/06/28	2017/07/27
00176	United Pacific Industries Ltd.	Superactive Group Co. Ltd.	2017/07/10	2017/07/27
02340	Synergis Holdings Ltd. #	Synergis Holdings Ltd.	2017/05/25	2017/08/01
00710	Varitronix International Ltd.	BOE Varitronix Ltd.	2017/06/28	2017/08/01
00674	Culture Landmark Investment Ltd.	China Tangshang Holdings Ltd.	2017/06/13	2017/08/03
01439	China Packaging Holdings Development Ltd.	Mobile Internet (China) Holdings Ltd.	2017/07/13	2017/08/04
00856	VST Holdings Ltd.	VSTECs Holdings Ltd.	2017/06/28	2017/08/07
03666	Xiao Nan Guo Restaurants Holdings Ltd.	TANSH Global Food Group Co., Ltd	2017/06/20	2017/08/07
00593	SkyOcean International Holdings Ltd.	DreamEast Group Ltd.	2017/06/21	2017/08/08
00952	Quam Ltd.	China Oceanwide International Financial Ltd.	2017/07/21	2017/08/18
02086	Advanced Card Systems Holdings Ltd.	HNA Technology Investments Holdings Ltd.	2017/08/03	2017/08/24
01522	China City Railway Transportation Technology Hldgs Co. Ltd.	BII Railway Transportation Technology Holdings Co. Ltd.	2017/07/25	2017/08/24
02183	Lifestyle Properties Development Ltd.	Sansheng Holdings (Group) Co. Ltd.	2017/08/08	2017/08/29
01159	Jimei International Entertainment Group Ltd.	Starlight Culture Entertainment Group Ltd.	2017/07/06	2017/08/30
00550	Cinderella Media Group Ltd.	KK Culture Holdings Ltd.	2017/06/05	2017/08/31
00493	GOME Electrical Appliances Holding Ltd.	GOME Retail Holdings Ltd.	2017/08/09	2017/08/31
01191	Yueshou Environmental Holdings Ltd.	China Gem Holdings Ltd.	2017/07/14	2017/09/07
01145	Courage Marine Group Ltd.	Courage Investment Group Ltd.	2017/07/10	2017/09/15
00143	Global Tech (Holdings) Ltd.	Guoan International Ltd.	2017/08/21	2017/09/19
01113	Cheung Kong Property Holdings Ltd.	CK Asset Holdings Ltd.	2017/08/24	2017/09/20
00085	China Electronics Corporation Holdings Co. Ltd.	China Electronics Huada Technology Co. Ltd.	2017/08/02	2017/09/20

\* Change of English name only

# Change of Chinese name only

# MAIN BOARD EQUITIES

## Bonus Issues/Bonus Warrants

for 3rd quarter 2017

Code	Company	Particulars	Ex-date
02208	Xinjiang Goldwind Science & Technology Co., Ltd. – H Shares	3 for 10	2017/07/03
01057	Zhejiang Shibao Co. Ltd. – H Shares	15 for 10	2017/07/04
01513	Livzon Pharmaceutical Group Inc. – H Shares	3 for 10	2017/07/05
00474	Hao Tian Development Group Ltd.	1 for 6	2017/07/12
00417	Tse Sui Luen Jewellery (International) Ltd.	1 for 6	2017/07/24
01271	Grand Ming Group Holdings Ltd.	1 for 10	2017/07/28
00595	AV Concept Holdings Ltd.	1 for 5	2017/08/31
00248	HKC International Holdings Ltd.	1 for 4	2017/08/31
01341	Hao Tian International Construction Investment Group Ltd.	1 for 1	2017/09/04
01107	Modern Land (China) Co., Ltd.	1 for 10	2017/09/20
01181	Tang Palace (China) Holdings Ltd.	1 for 4	2017/09/28
00672	Zhong An Real Estate Ltd.	1 for 1	2017/09/29

## Share Split/Consolidation

for 3rd quarter 2017

Code	Company	Particulars	Effective date
01145	Courage Investment Group Ltd.	Split 1 into 3	2017/07/06
00915	Daohe Global Group Ltd.	Split 1 into 3	2017/08/10
00931	China LNG Group Ltd.	Consolidation 10 into 1	2017/08/11
01094	China Public Procurement Ltd.	Consolidation 10 into 1	2017/08/11
01125	Lai Fung Holdings Ltd.	Consolidation 50 into 1	2017/08/15
00488	Lai Sun Development Co. Ltd.	Consolidation 5 into 1	2017/08/15
00191	Lai Sun Garment (International) Ltd.	Consolidation 5 into 1	2017/08/15
00061	North Asia Resources Holdings Ltd.	Consolidation 10 into 1	2017/08/28
01192	Titan Petrochemicals Group Ltd.	Consolidation 8 into 1	2017/09/05
03326	Perfect Group International Holdings Ltd.	Split 1 into 3	2017/09/13

# MAIN BOARD EQUITIES

## Rights Issues & Open Offers

for 3rd quarter 2017

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	00482	Sandmartin International Holdings Ltd.	Open offer 3 for 2 @\$0.12	236.08
	01198	Royale Furniture Holdings Ltd.	Open offer 1 for 8 @\$0.455	100.58
	00952	China Oceanwide International Financial Ltd.	Rts 3 for 1 @\$1.10	5,133.19
Aug	01097	i-CABLE Communications Ltd.	Open offer 5 for 3 @\$0.21	704.03
Sep	01250	Beijing Enterprises Clean Energy Group Ltd.	Open offer 1 for 7 @\$0.17	1,329.51
	01560	Star Properties Group (Cayman Islands) Ltd.	Open offer 2 for 5 @\$0.75	134.40
	01002	V.S. International Group Ltd.	Rts 1 for 4 @\$0.23	105.79
	00861	Digital China Holdings Ltd.	Rts 1 for 4 @\$4.00	1,341.81
	00996	Carnival Group International Holdings Ltd.	Rts 1 for 4 @\$0.40	1,717.77
	02133	China Polymetallic Mining Ltd.	Rts 1 for 2 @\$0.12	143.19
<b>Total</b>				<b>10,946.35</b>



# MAIN BOARD EQUITIES

## Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2017					
Jul	03313	ARTGO HOLDINGS	159,300,000	1.0000	159.30
	00926	BESUNYEN	75,000,000	0.5000	37.50
	00605	C FIN SERVICES	243,000,000	0.6800	165.24
	01069	CA LOW-CARBON	668,913,445	0.1100	73.58
	01321	CHINA NEWCITY	110,012,000	1.8200	200.22
	06168	CHINA U-TON	100,000,000	0.8800	88.00
	00471	CMMB VISION	375,150,000	0.4000	150.06
	00712	COMTEC SOLAR	118,389,897	0.2534	30.00
	00707	CO-PROSPERITY	956,620,000	0.2500	239.16
	00616	EMINENCE ENT	325,000,000	0.0800	26.00
	00622	ENERCHINA HOLD	2,233,753,999	0.1500	335.06
	00689	EPI (HOLDINGS)	651,000,000	0.3080	200.51
	00065	GRAND OCEAN AR	1,000,000,000	0.1100	110.00
	01421	KINGBO STRIKE	152,000,000	0.2660	40.43
	00009	NINE EXPRESS	494,232,000	0.2400	118.62
	00803	PROSPERITY INTL	227,272,727	0.1100	25.00
	00885	RENTIAN TECH	1,000,000,000	0.3600	360.00
	00852	STRONG PETRO	353,603,681	0.6580	232.67
	00672	ZHONGAN REALEST	100,000,000	1.5000	150.00
Aug	00899	ASIA RESOURCES	1,000,000,000	0.2500	250.00
	02080	AUX INTL	60,000,000	0.9100	54.60
	00605	C FIN SERVICES	23,000,000	0.6800	15.64
	00542	CENERIC	1,100,000,000	0.1850	203.50
	00039	CH BEIDAHUANG	308,662,450	0.4200	129.64
	00092	CHAMPION TECH	1,266,356,000	0.1050	132.97
	01055	CHINA SOUTH AIR	270,606,272	5.7400	1,553.28
	00884	CIFI HOLD GP	135,000,000	3.8200	515.70
	00884	CIFI HOLD GP	545,000,000	3.5000	1,907.50
	00901	EAGLERIDE INV	185,500,000	0.2500	46.38
	02212	FB MINING	180,000,000	0.2100	37.80
	00572	FUTURE WORLD FH	400,000,000	0.0900	36.00
	00115	GRAND FIELD GP	158,181,818	0.2200	34.80
	00094	GREENHEART GP	100,000,000	0.8500	85.00
	01280	HUIYIN SMARTCOM	339,100,000	0.8100	274.67
	01009	INT'L ENT	190,000,000	1.9000	361.00
	00982	IONE HOLDINGS	860,920,000	0.1510	130.00
	02343	PACIFIC BASIN	186,939,553	1.5900	297.23
	01178	SHARE ECON GP	988,850,000	0.0700	69.22
	01918	SUNAC	220,000,000	18.3300	4,032.60
	01468	UKF	604,889,000	0.2100	127.03
	00821	VC HOLDINGS	132,000,000	0.9100	120.12

## MAIN BOARD EQUITIES

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$mil.)
Sep	00959	AMAX INT HOLD	16,000,000	0.3500	5.60
	00519	APPLIED DEV	417,515,000	0.4000	167.01
	04611	BQD 17USDPREF	60,150,000	156.0000	9,383.40
	02312	CH FIN LEASING	177,000,000	0.1200	21.24
	01966	CHINA SCE PPT	400,000,000	3.6400	1,456.00
	00907	ELEGANCEOPTICAL	64,720,000	1.5500	100.32
	01175	FRESH EXP	271,660,000	0.2500	67.92
	00572	FUTURE WORLD FH	427,000,000	0.1400	59.78
	00064	GET NICE	1,610,450,000	0.2600	418.72
	00094	GREENHEART GP	100,000,000	0.8500	85.00
	00582	LANDING INTL	24,000,000,000	0.0750	1,800.00
	00928	LIFE HEALTHCARE	478,000,000	0.1800	86.04
	01281	LT SMART ENERGY	145,688,000	1.9800	288.46
	00777	NETDRAGON	38,500,000	25.4000	977.90
	00860	O LUXE HOLD	570,731,706	0.8200	468.00
	02668	PAK TAK INT'L	339,600,000	0.2300	78.11
	01079	PINE TECH	43,000,000	0.6000	25.80
	04612	PSBC 17USDPREF	362,500,000	156.0000	56,550.00
	00732	TRULY INT'L	160,000,000	2.0200	323.20
	00690	UNI-BIO GROUP	1,027,480,000	0.1380	141.79
	01266	XIWANG STEEL	100,000,000	1.5100	151.00
	01628	YUZHOU PPT	350,000,000	4.5300	1,585.50
<b>Total</b>					<b>87,396.79</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

# MAIN BOARD WARRANTS

## Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2016	Q3	83.83	618,585.83	618,669.66
	Q4	70.69	514,959.75	515,030.44
2017	Q1	81.08	725,133.37	725,214.45
	Q2	77.29	534,338.11	534,415.40
	Q3	18.64	795,264.76	795,283.40

## Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2016	Q3	11	1,371.20	4,006	76,719.27	4,017	78,090.47
	Q4	8	847.55	3,705	42,844.32	3,713	43,691.87
2017	Q1	7	550.11	3,988	58,457.44	3,995	59,007.55
	Q2	8	507.43	4,360	96,450.95	4,368	96,958.38
	Q3	7	389.34	5,373	136,754.02	5,380	137,143.36

## New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2016	Q3	1	1,354	28,479.35
	Q4	0	1,200	23,813.28
2017	Q1	1	1,502	26,809.66
	Q2	3	1,532	29,325.10
	Q3	0	2,448	46,575.04

## Newly Listed Equity Warrants

for 3rd quarter 2017

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
	<b>Total</b>				-

# MAIN BOARD WARRANTS

## Newly Listed Derivative Warrants

for 3rd quarter 2017

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
17191	Goldman Sachs SP (Asia) – Agri Bk European Wts Nov 2017 A	80,000,000	2017/07/03	2017/11/24	12.00
17192	Goldman Sachs SP (Asia) – AIA European Warrants Dec 2017 C	80,000,000	2017/07/03	2017/12/22	12.00
17169	Goldman Sachs SP (Asia) – HSI European Put Wts Dec 2017 G	150,000,000	2017/07/03	2017/12/28	22.50
17183	J P Morgan SP BV – BOC HK European Warrants Jun 2018 A	80,000,000	2017/07/03	2018/06/04	20.00
17187	J P Morgan SP BV – CNOOC European Warrants Feb 2018 A	60,000,000	2017/07/03	2018/02/02	15.06
17189	J P Morgan SP BV – Galaxy Ent European Warrants Jan 2018 A	100,000,000	2017/07/03	2018/01/02	15.00
17185	J P Morgan SP BV – Sunny Optical European Warrants Jan 2018 A	40,000,000	2017/07/03	2018/01/03	25.24
17177	Macquarie Bank Ltd. – CNOOC European Warrants Mar 2018 B	20,000,000	2017/07/03	2018/03/02	11.70
17176	Macquarie Bank Ltd. – CNOOC European Warrants May 2018 A	30,000,000	2017/07/03	2018/05/03	10.92
17171	Macquarie Bank Ltd. – Ch Southern Air Euro Wts May 2018 A	10,000,000	2017/07/03	2018/05/07	10.65
17170	Macquarie Bank Ltd. – Sunny Optical European Warrants Feb18A	12,000,000	2017/07/03	2018/02/02	10.01
17179	Macquarie Bank Ltd. – Tencent European Warrants Feb 2018 A	28,000,000	2017/07/03	2018/02/02	10.02
17182	Macquarie Bank Ltd. – Tencent European Warrants Feb 2018 B	14,500,000	2017/07/03	2018/02/02	10.03
17201	SG Issuer – BOCHK European Warrants Mar 2018 A	40,000,000	2017/07/03	2018/03/05	10.00
17202	SG Issuer – China Vanke European Warrants Mar 2018 A	40,000,000	2017/07/03	2018/03/05	10.00
17200	UBS AG – CAM CSI300 European Warrants Feb 2018 A	70,000,000	2017/07/03	2018/02/05	17.50
17193	UBS AG – Wynn Macau European Warrants Feb 2018 A	70,000,000	2017/07/03	2018/02/28	17.50
16225 #	UBS AG – Tencent Holdings European Warrants Dec 2017 A	200,000,000	2017/07/03	2017/12/11	26.40
17221	BOCI Asia Ltd. – AAC Tech European Warrants Mar 2018 A	100,000,000	2017/07/04	2018/03/22	15.00
17205	BOCI Asia Ltd. – CAM CSI300 European Warrants Feb 2018 A	100,000,000	2017/07/04	2018/02/27	25.00
17206	BOCI Asia Ltd. – BOC HK European Warrants Mar 2018 A	100,000,000	2017/07/04	2018/03/28	25.10
17225	BOCI Asia Ltd. – Chi Res Land European Warrants Dec 2017 A	70,000,000	2017/07/04	2017/12/20	10.50
17209	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Mar 2018 A	60,000,000	2017/07/04	2018/03/26	18.78
17212	BOCI Asia Ltd. – A50 China European Warrants Mar 2018 A	60,000,000	2017/07/04	2018/03/22	19.68
17213	BOCI Asia Ltd. – Geely Auto European Warrants Mar 2018 B	80,000,000	2017/07/04	2018/03/29	20.00
17260	Credit Suisse AG – BOC HK European Warrants Mar 2018 A	50,000,000	2017/07/04	2018/03/29	12.50
17261	Credit Suisse AG – China Vanke European Warrants Mar 2018 A	50,000,000	2017/07/04	2018/03/29	12.50
17272	Goldman Sachs SP (Asia) -Sinopec Corp European Wts Jan 2018 A	60,000,000	2017/07/04	2018/01/31	15.00
17275	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2018 A	100,000,000	2017/07/04	2018/09/24	15.00
17274	Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2018 A	200,000,000	2017/07/04	2018/03/26	30.00
17276	Goldman Sachs SP (Asia) – Tencent European Wt Mar 2018 A	150,000,000	2017/07/04	2018/03/27	22.80
17230	HK Bank – BOC HK European Warrants Jul 2018 A	50,000,000	2017/07/04	2018/07/31	12.50
17229	HK Bank – Geely Auto European Warrants Jan 2018 B	100,000,000	2017/07/04	2018/01/31	25.00
17236	HK Bank – Tencent European Warrants Jun 2018 A	100,000,000	2017/07/04	2018/06/22	15.00
17234	HK Bank – Tencent European Put Warrants Dec 2017 F	100,000,000	2017/07/04	2017/12/22	16.00
17268	J P Morgan SP BV – CAM CSI300 European Warrants Apr 2018 B	100,000,000	2017/07/04	2018/04/30	18.60
17267	J P Morgan SP BV – BOC HK European Put Warrants Apr 2018 A	80,000,000	2017/07/04	2018/04/03	20.08
17270	J P Morgan SP BV – CSOP A50 ETF European Warrants Dec 2017 B	80,000,000	2017/07/04	2017/12/05	18.48
17259	Macquarie Bank Ltd. – HKEX European Warrants Feb 2018 A	15,000,000	2017/07/04	2018/02/02	10.01
17256	Macquarie Bank Ltd. – Tencent European Warrants Feb 2018 C	40,000,000	2017/07/04	2018/02/02	10.00
17239	SG Issuer – CAM CSI300 European Warrants Jan 2018 A	50,000,000	2017/07/04	2018/01/04	12.50
17242	SG Issuer – Ch Mob European Warrants Dec 2017 C	70,000,000	2017/07/04	2017/12/06	10.50

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
17245	SG Issuer – Ping An European Warrants Jan 2018 B	100,000,000	2017/07/04	2018/01/04	15.00
17254	SG Issuer – Tencent European Warrants Dec 2017 I	20,000,000	2017/07/04	2017/12/05	10.00
17263	UBS AG – BOC HK European Warrants Jan 2018 B	60,000,000	2017/07/04	2018/01/09	15.00
17265	UBS AG – Geely Auto European Warrants Feb 2018 B	70,000,000	2017/07/04	2018/02/12	17.50
17266	UBS AG – Greatwall Motor European Put Warrants May 2018 A	40,000,000	2017/07/04	2018/05/07	10.00
17303	BNP Paribas Issuance B.V. – BankComm Euro Wts Feb 2018 A	40,000,000	2017/07/05	2018/02/02	10.00
17287	BNP Paribas Issuance B.V. – Minsheng Bk Euro Wt Feb 2018 A	40,000,000	2017/07/05	2018/02/02	10.00
17305	BNP Paribas Issuance B.V. – CPIC European Wts Feb 2018 A	50,000,000	2017/07/05	2018/02/02	12.50
17307	BNP Paribas Issuance B.V. – Tracker Fund Euro Wts Jan 19 A	50,000,000	2017/07/05	2019/01/03	13.00
17322	Credit Suisse AG – China Mobile European Warrants Jan 2018 A	70,000,000	2017/07/05	2018/01/31	17.50
17346	Credit Suisse AG – CNOOC European Warrants Jan 2018 A	40,000,000	2017/07/05	2018/01/04	10.00
17335	Credit Suisse AG – Sinopec Corp European Warrants Jan 2018 A	40,000,000	2017/07/05	2018/01/31	10.00
17326	Credit Suisse AG – ChiUnicom European Warrants Dec 2017 B	25,000,000	2017/07/05	2017/12/29	11.25
17384	Credit Suisse AG – A50 China European Warrants Jan 2018 A	40,000,000	2017/07/05	2018/01/31	10.00
17375	Credit Suisse AG – GAC European Warrants Mar 2018 A	60,000,000	2017/07/05	2018/03/29	10.20
17363	Credit Suisse AG – Geely Auto European Warrants Feb 2018 B	100,000,000	2017/07/05	2018/02/28	25.00
17348	Credit Suisse AG – KECL European Warrants Jan 2018 A	35,000,000	2017/07/05	2018/01/31	12.95
17351	Credit Suisse AG – Lenovo European Warrants Jan 2018 A	50,000,000	2017/07/05	2018/01/31	12.50
17354	Credit Suisse AG – Sunny Optical European Warrants Jan 2018 A	25,000,000	2017/07/05	2018/01/04	13.75
17408	Goldman Sachs SP (Asia) – China Life Euro Wts Dec 2017 D	80,000,000	2017/07/05	2017/12/20	12.56
17286	HK Bank – Ch Comm Cons European Warrants Nov 2018 A	80,000,000	2017/07/05	2018/11/26	12.00
17285	HK Bank – CKP European Warrants Jan 2018 A	40,000,000	2017/07/05	2018/01/04	10.00
17283	HK Bank – Sunny Optical European Warrants Jan 2018 A	20,000,000	2017/07/05	2018/01/04	13.60
17401	J P Morgan SP BV – Tencent European Warrants Mar 2018 F	200,000,000	2017/07/05	2018/03/29	31.60
17402	J P Morgan SP BV – Zijin Mining European Warrants Mar 2018 A	60,000,000	2017/07/05	2018/03/05	15.12
17278	Macquarie Bank Ltd. – Geely Auto European Wts Jan 2018 A	6,000,000	2017/07/05	2018/01/04	10.03
17314	Macquarie Bank Ltd. – HKEX European Warrants Feb 2018 B	7,000,000	2017/07/05	2018/02/02	10.04
17279	SG Issuer – CCB European Warrants Jan 2018 A	60,000,000	2017/07/05	2018/01/04	19.50
17317	SG Issuer – Geely Auto European Warrants Mar 2018 B	70,000,000	2017/07/05	2018/03/05	17.50
17282	SG Issuer – Petch European Warrants Dec 2017 C	55,000,000	2017/07/05	2017/12/05	10.18
16247 #	Credit Suisse AG – Tencent Holdings European Wts Jan 2018 D	100,000,000	2017/07/05	2018/01/31	12.30
17420	BOCI Asia Ltd. – HSBC European Warrants Dec 2017 A	200,000,000	2017/07/06	2017/12/04	30.00
17416	BNP Paribas Issuance B.V. – BOCHK European Wts Nov 2018 A	40,000,000	2017/07/06	2018/11/02	10.40
17411	BNP Paribas Issuance B.V. – HKEX European Wts Jan 2019 A	100,000,000	2017/07/06	2019/01/03	25.00
17413	BNP Paribas Issuance B.V. – HSBC European Wts Apr 2018 A	40,000,000	2017/07/06	2018/04/04	10.80
17412	BNP Paribas Issuance B.V. – Tencent Hldgs Eu Wt Dec 2017 C	80,000,000	2017/07/06	2017/12/04	12.00
17470	Credit Suisse AG – Ch Comm Cons European Warrants Jan 2018 A	25,000,000	2017/07/06	2018/01/05	12.50
17508	Credit Suisse AG – CGS European Warrants Nov 2017 A	25,000,000	2017/07/06	2017/11/10	10.00
17504	Credit Suisse AG – China Cinda European Warrants Mar 2018 A	40,000,000	2017/07/06	2018/03/29	10.00
17468	Credit Suisse AG – CPIC European Warrants Jan 2018 A	40,000,000	2017/07/06	2018/01/31	10.00
17471	Credit Suisse AG – CRRC European Warrants Nov 2017 A	30,000,000	2017/07/06	2017/11/30	10.20
17469	Credit Suisse AG – HTSC European Warrants Feb 2018 A	70,000,000	2017/07/06	2018/02/15	10.50
17475	Credit Suisse AG – Jiangxi Copper European Wts Jan 2018 A	20,000,000	2017/07/06	2018/01/05	14.00
17506	Credit Suisse AG – PICC European Warrants Jan 2018 A	30,000,000	2017/07/06	2018/01/31	12.00

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
17467	Credit Suisse AG – Sands China European Warrants Nov 2017 A	50,000,000	2017/07/06	2017/11/27	10.00
17474	Credit Suisse AG – CRRC Times European Warrants Dec 2017 A	50,000,000	2017/07/06	2017/12/04	10.00
17550	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2017 B	80,000,000	2017/07/06	2017/12/06	12.00
17582	Goldman Sachs SP (Asia) – Tencent European Wt Jun 2018 A	150,000,000	2017/07/06	2018/06/22	22.50
17546	J P Morgan SP BV – HSBC European Warrants Nov 2017 B	100,000,000	2017/07/06	2017/11/30	18.20
17521	J P Morgan SP BV – HSBC European Warrants Feb 2018 A	100,000,000	2017/07/06	2018/02/02	25.00
17540	J P Morgan SP BV – HSBC European Warrants Jun 2018 A	100,000,000	2017/07/06	2018/06/05	25.10
17514	J P Morgan SP BV – HSBC European Put Warrants Mar 2018 A	100,000,000	2017/07/06	2018/03/05	25.20
17601	Macquarie Bank Ltd. – CKP European Warrants Jul 2018 A	17,000,000	2017/07/06	2018/07/04	10.15
17430	Macquarie Bank Ltd. – Galaxy Ent European Put Wts Mar 2018 A	40,000,000	2017/07/06	2018/03/02	10.00
17422	Macquarie Bank Ltd. – HSBC European Put Warrants Feb 2018 B	32,000,000	2017/07/06	2018/02/02	14.50
17421	Macquarie Bank Ltd. – NCI European Put Warrants Feb 2018 A	40,000,000	2017/07/06	2018/02/02	10.00
17463	Macquarie Bank Ltd. – China Vanke European Wts Jun 2018 A	40,000,000	2017/07/06	2018/06/04	10.00
17454	SG Issuer – CAM CSI300 European Warrants Jul 2018 A	65,000,000	2017/07/06	2018/07/05	10.08
17596	SG Issuer – HSBC European Warrants Dec 2017 E	100,000,000	2017/07/06	2017/12/05	20.00
17597	SG Issuer – HSBC European Warrants Feb 2018 A	100,000,000	2017/07/06	2018/02/05	25.00
17598	SG Issuer – Stanchart European Warrants Jan 2018 A	26,000,000	2017/07/06	2018/01/04	10.01
17453	UBS AG – HSBC European Warrants Nov 2017 D	70,000,000	2017/07/06	2017/11/02	13.30
17452	UBS AG – HSBC European Put Warrants Jan 2018 A	100,000,000	2017/07/06	2018/01/08	34.20
17439	UBS AG – Ping An European Put Warrants Dec 2017 E	70,000,000	2017/07/06	2017/12/20	18.76
17441	UBS AG – Stanchart European Warrants Jan 2018 B	30,000,000	2017/07/06	2018/01/08	10.08
17440	UBS AG – Stanchart European Put Warrants Jan 2018 A	30,000,000	2017/07/06	2018/01/08	15.96
13527 #	Credit Suisse AG – HKEX European Warrants Oct 2017 A	315,000,000	2017/07/06	2017/10/04	10.08
17603	BOCI Asia Ltd. – HSBC European Warrants Nov 2017 D	200,000,000	2017/07/07	2017/11/30	30.00
17607	BOCI Asia Ltd. – HSBC European Put Warrants Jan 2018 A	100,000,000	2017/07/07	2018/01/08	25.10
17613	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2017 G	200,000,000	2017/07/07	2017/12/20	47.60
17661	BNP Paribas Issuance B.V. – GAC European Warrants Dec 2018 A	70,000,000	2017/07/07	2018/12/04	18.20
17648	BNP Paribas Issuance B.V. – SHK Ppt Euro Warrants Jun 2018 A	30,000,000	2017/07/07	2018/06/04	15.30
17602	Credit Suisse AG – HSBC European Wts Oct 2017 C	100,000,000	2017/07/07	2017/10/31	15.00
17695	Credit Suisse AG – HSBC European Wts Jan 2018 B	100,000,000	2017/07/07	2018/01/31	25.00
17694	Credit Suisse AG – HSBC European Put Warrants Jan 2018 A	70,000,000	2017/07/07	2018/01/08	21.00
17685	Bank of East Asia – Evergrande European Warrants Jan 2018 A	40,000,000	2017/07/07	2018/01/08	10.40
17621	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2017 E	80,000,000	2017/07/07	2017/11/28	12.00
17619	Goldman Sachs SP (Asia) – HSBC European Warrants Dec 2017 A	80,000,000	2017/07/07	2017/12/27	12.00
17662	Haitong Int'l Sec – HSBC European Warrants Nov 2017 B	80,000,000	2017/07/07	2017/11/27	13.20
17667	Haitong Int'l Sec – HSBC European Warrants Dec 2017 B	80,000,000	2017/07/07	2017/12/04	12.00
17684	Haitong Int'l Sec – Ping An European Warrants Dec 2017 B	80,000,000	2017/07/07	2017/12/04	12.80
17679	Haitong Int'l Sec – Tencent European Put Warrants Nov 2017 D	120,000,000	2017/07/07	2017/11/27	18.00
17722	J P Morgan SP BV – A50 China European Warrants Nov 2017 A	80,000,000	2017/07/07	2017/11/30	12.32
17721	J P Morgan SP BV – HSBC European Warrants Apr 2018 A	100,000,000	2017/07/07	2018/04/03	25.20
17639	Macquarie Bank Ltd. – Tencent European Put Wts Feb 2018 D	9,000,000	2017/07/07	2018/02/02	14.57
17646	UBS AG – HSBC European Warrants Nov 2017 E	70,000,000	2017/07/07	2017/11/30	10.64
16881 #	Credit Suisse AG – Tencent Holdings European Wts Nov 2017 G	130,000,000	2017/07/07	2017/11/28	11.96
17741	BNP Paribas Issuance B.V. – BYD European Wts May 2018 A	40,000,000	2017/07/10	2018/05/03	16.00

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17754	BNP Paribas Issuance B.V. – CNOOC European Wts Feb 2018 B	40,000,000	2017/07/10	2018/02/02	16.40
17866	BNP Paribas Issuance B.V. – Geely Auto Euro Wts Jul 2018 C	70,000,000	2017/07/10	2018/07/04	20.30
17723	BNP Paribas Issuance B.V. – HSBC European Wts Feb 2018 B	40,000,000	2017/07/10	2018/02/02	10.00
17734	BNP Paribas Issuance B.V. – HSBC European Wts Oct 2018 A	40,000,000	2017/07/10	2018/10/03	10.40
17739	BNP Paribas Issuance B.V. – Ping An European Wt Jun 2018 B	50,000,000	2017/07/10	2018/06/04	12.50
17957	Credit Suisse AG – Geely Auto European Put Warrants Jan 18 B	100,000,000	2017/07/10	2018/01/31	25.00
17946	Credit Suisse AG – Ping An European Warrants Nov 2017 D	70,000,000	2017/07/10	2017/11/30	10.50
17928	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Oct 2017 C	60,000,000	2017/07/10	2017/10/26	13.14
17769	Goldman Sachs SP (Asia) – HSBC European Warrants Oct 2017 C	80,000,000	2017/07/10	2017/10/26	12.00
17935	Goldman Sachs SP (Asia) – Sands China Euro Wts Nov 2017 B	60,000,000	2017/07/10	2017/11/27	10.02
17795	HK Bank – CSOP A50 ETF European Warrants Jan 2018 A	40,000,000	2017/07/10	2018/01/31	11.20
17841	HK Bank – China Shenhua European Warrants Jul 2018 A	60,000,000	2017/07/10	2018/07/31	15.00
17807	HK Bank – Geely Auto European Warrants Jan 2018 C	100,000,000	2017/07/10	2018/01/31	25.00
17830	HK Bank – Geely Auto European Put Warrants Jan 2018 D	80,000,000	2017/07/10	2018/01/31	20.00
17938	J P Morgan SP BV – HSBC European Put Warrants Feb 2018 B	100,000,000	2017/07/10	2018/02/02	31.30
17901	Macquarie Bank Ltd. – BOCHK European Warrants Apr 2019 A	40,000,000	2017/07/10	2019/04/02	10.00
17894	Macquarie Bank Ltd. – China Life European Wts Feb 2018 C	5,000,000	2017/07/10	2018/02/02	10.09
17898	Macquarie Bank Ltd. – Ping An European Warrants Mar 2018 B	10,000,000	2017/07/10	2018/03/02	10.03
17903	Macquarie Bank Ltd. – PWR Assets European Wts May 2018 A	40,000,000	2017/07/10	2018/05/03	10.00
17893	Macquarie Bank Ltd. – Tencent European Warrants Feb 2018 E	10,000,000	2017/07/10	2018/02/02	10.01
17850	SG Issuer – CAM CSI300 European Warrants Feb 2018 A	70,000,000	2017/07/10	2018/02/05	10.50
17872	SG Issuer – Geely Auto European Warrants Feb 2018 C	8,000,000	2017/07/10	2018/02/05	10.80
17874	SG Issuer – HKEX European Warrants Nov 2017 B	20,000,000	2017/07/10	2017/11/03	10.60
17843	SG Issuer – HSBC European Warrants Nov 2017 B	100,000,000	2017/07/10	2017/11/03	15.00
17846	SG Issuer – HSBC European Warrants Dec 2017 F	100,000,000	2017/07/10	2017/12/05	15.00
17875	SG Issuer – Tencent European Warrants Jan 2018 B	25,000,000	2017/07/10	2018/01/04	10.00
17921	UBS AG – BOC HK European Warrants Apr 2018 A	60,000,000	2017/07/10	2018/04/16	15.00
17905	UBS AG – HSBC European Warrants Nov 2017 F	70,000,000	2017/07/10	2017/11/02	13.79
17908	UBS AG – HSBC European Warrants Jan 2018 B	100,000,000	2017/07/10	2018/01/09	25.10
17915	UBS AG – Tencent Holdings European Put Warrants Feb 2018 B	300,000,000	2017/07/10	2018/02/26	45.00
18078	Credit Suisse AG – Galaxy Ent European Warrants Dec 2017 C	70,000,000	2017/07/11	2017/12/21	14.70
18067	Credit Suisse AG – Tencent Holdings European Wts Dec 2017 F	150,000,000	2017/07/11	2017/12/04	22.50
18127	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2018 A	80,000,000	2017/07/11	2018/01/30	20.96
18117	Goldman Sachs SP (Asia) – HSBC European Warrants Nov 2017 F	80,000,000	2017/07/11	2017/11/23	12.00
18114	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2017 I	150,000,000	2017/07/11	2017/11/28	25.95
18080	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2017 E	150,000,000	2017/07/11	2017/12/20	22.50
18081	Goldman Sachs SP (Asia) – Tencent European Wt Jan 2018 C	150,000,000	2017/07/11	2018/01/24	22.50
17974	Haitong Int'l Sec – BOC HK European Warrants Mar 2018 B	40,000,000	2017/07/11	2018/03/29	10.00
17991	Haitong Int'l Sec – Geely Auto European Warrants Jan 2018 A	120,000,000	2017/07/11	2018/01/31	30.00
18041	Haitong Int'l Sec – PetroChina European Warrants Dec 2017 A	70,000,000	2017/07/11	2017/12/20	11.20
17989	Haitong Int'l Sec – Stanchart European Warrants Mar 2018 A	40,000,000	2017/07/11	2018/03/29	12.00
18045	J P Morgan SP BV – Sands China European Warrants Oct 2017 C	100,000,000	2017/07/11	2017/10/31	15.30
18048	J P Morgan SP BV – Tencent European Warrants Dec 2017 I	100,000,000	2017/07/11	2017/12/04	15.00
18039	Macquarie Bank Ltd. – China Mobile European Wts Feb 2018 A	40,000,000	2017/07/11	2018/02/02	12.08

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18035	Macquarie Bank Ltd. – China Mobile European Wts Mar 2018 A	9,000,000	2017/07/11	2018/03/02	10.81
18014	Macquarie Bank Ltd. – HSBC European Warrants Feb 2018 C	39,000,000	2017/07/11	2018/02/02	10.18
18018	Macquarie Bank Ltd. – HSBC European Warrants Feb 2018 D	9,000,000	2017/07/11	2018/02/02	10.38
17966	SG Issuer – HSCEI European Warrants Aug 2018 A	150,000,000	2017/07/11	2018/08/30	37.50
18013	UBS AG – Country Garden European Warrants Dec 2018 A	70,000,000	2017/07/11	2018/12/20	11.06
18000	UBS AG – CNOOC European Warrants Jan 2018 A	40,000,000	2017/07/11	2018/01/10	15.20
17992	UBS AG – Galaxy Ent European Warrants Oct 2017 E	70,000,000	2017/07/11	2017/10/19	15.26
18005	UBS AG – HSI European Warrants Dec 2017 E	200,000,000	2017/07/11	2017/12/28	38.20
17996	UBS AG – Sands China European Warrants Dec 2017 A	50,000,000	2017/07/11	2017/12/04	12.25
17999	UBS AG – Tencent Holdings European Warrants Mar 2018 A	300,000,000	2017/07/11	2018/03/22	46.50
18146	BOCI Asia Ltd. – CC Bank European Warrants Jan 2018 A	100,000,000	2017/07/12	2018/01/15	32.80
18145	BOCI Asia Ltd. – Sinopec Corp European Warrants Jan 2018 A	100,000,000	2017/07/12	2018/01/29	25.10
18172	BOCI Asia Ltd. – Galaxy Ent European Warrants Dec 2017 B	100,000,000	2017/07/12	2017/12/21	20.90
18155	BOCI Asia Ltd. – ICBC European Warrants Nov 2017 C	100,000,000	2017/07/12	2017/11/28	24.20
18174	BOCI Asia Ltd. – Sands China European Warrants Dec 2017 A	100,000,000	2017/07/12	2017/12/22	18.60
18158	BOCI Asia Ltd. – Tencent Holdings European Wts Nov 2017 E	200,000,000	2017/07/12	2017/11/28	40.60
18267	HK Bank – Ping An European Warrants Dec 2017 B	80,000,000	2017/07/12	2017/12/07	12.00
18332	J P Morgan SP BV – China Mob European Wts Dec 2017 A	100,000,000	2017/07/12	2017/12/01	16.50
18361	J P Morgan SP BV – CPIC European Warrants Feb 2018 A	100,000,000	2017/07/12	2018/02/02	25.50
18282	J P Morgan SP BV – China Unicom European Warrants Dec 2017 B	100,000,000	2017/07/12	2017/12/01	70.20
18395	J P Morgan SP BV – Ping An European Put Warrants Jan 2018 B	100,000,000	2017/07/12	2018/01/02	18.10
18403	J P Morgan SP BV – Tencent European Warrants Nov 2017 H	100,000,000	2017/07/12	2017/11/01	86.40
18177	Macquarie Bank Ltd. – CC Bank European Warrants Feb 2018 B	25,000,000	2017/07/12	2018/02/02	10.13
18211	Macquarie Bank Ltd. – MGM China European Warrants Nov 2018 A	40,000,000	2017/07/12	2018/11/02	10.00
18201	Macquarie Bank Ltd. – Stanchart European Warrants Mar 2018 A	30,000,000	2017/07/12	2018/03/02	10.14
18144	SG Issuer – Ch Mob European Warrants Feb 2018 A	60,000,000	2017/07/12	2018/02/05	15.00
18230	SG Issuer – CNOOC European Warrants Jan 2018 A	36,000,000	2017/07/12	2018/01/04	10.08
18240	SG Issuer – PICC P&C European Warrants Feb 2018 A	15,000,000	2017/07/12	2018/02/05	10.05
18413	SG Issuer – Ping An European Warrants Feb 2018 A	100,000,000	2017/07/12	2018/02/05	25.00
18440	UBS AG – China Mobile European Warrants Nov 2017 C	100,000,000	2017/07/12	2017/11/27	17.10
18472	UBS AG – China Unicom European Warrants Nov 2017 B	30,000,000	2017/07/12	2017/11/23	19.77
18415	UBS AG – PetroChina European Warrants Jan 2018 A	50,000,000	2017/07/12	2018/01/26	10.85
18414	UBS AG – Sunny Optical European Warrants Jan 2018 A	30,000,000	2017/07/12	2018/01/12	13.71
24459 #	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2018 A	350,000,000	2017/07/12	2018/11/27	10.85
18473	BOCI Asia Ltd. – China Mobile European Warrants Nov 2017 B	100,000,000	2017/07/13	2017/11/29	15.00
18479	BOCI Asia Ltd. – HSBC European Warrants Jan 2018 B	200,000,000	2017/07/13	2018/01/29	30.20
18621	Credit Suisse AG – China Mobile European Warrants Jan 2018 B	100,000,000	2017/07/13	2018/01/31	25.00
18629	Credit Suisse AG – Ping An European Warrants Jan 2018 B	100,000,000	2017/07/13	2018/01/31	25.00
18862	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2017 C	80,000,000	2017/07/13	2017/12/27	15.92
18816	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2018 A	80,000,000	2017/07/13	2018/01/15	20.32
18809	Goldman Sachs Sp (Asia) – ICBC European Warrants Nov 2017 D	80,000,000	2017/07/13	2017/11/28	13.36
18506	HK Bank – CC Bank European Warrants Jul 2018 A	50,000,000	2017/07/13	2018/07/31	19.00
18510	HK Bank – Kingsoft European Warrants Feb 2018 A	60,000,000	2017/07/13	2018/02/23	10.80
18480	HK Bank – Stanchart European Warrants Jan 2018 A	40,000,000	2017/07/13	2018/01/31	10.00



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18486	HK Bank – Tencent European Warrants Nov 2017 H	80,000,000	2017/07/13	2017/11/28	16.00
18797	J P Morgan SP BV – CC Bank European Wts Jan 2018 A	100,000,000	2017/07/13	2018/01/02	19.70
18725	J P Morgan SP BV – Kingboard Chem European Warrants Apr 18 A	40,000,000	2017/07/13	2018/04/03	10.24
18554	SG Issuer – CHALCO European Warrants Feb 2018 A	20,000,000	2017/07/13	2018/02/05	11.00
18556	SG Issuer – CP&CC European Warrants Feb 2018 A	60,000,000	2017/07/13	2018/02/05	12.60
19134	SG Issuer – HSBC European Put Warrants Jan 2018 B	80,000,000	2017/07/13	2018/01/04	17.20
18564	SG Issuer – PICC European Warrants Feb 2018 A	40,000,000	2017/07/13	2018/02/05	10.00
18589	SG Issuer – Ping An European Put Warrants Feb 2018 B	80,000,000	2017/07/13	2018/02/05	20.40
18598	SG Issuer – Zijin Mining European Warrants Feb 2018 A	33,000,000	2017/07/13	2018/02/05	10.07
18549	UBS AG – China Life European Warrants Apr 2018 A	100,000,000	2017/07/13	2018/04/27	15.30
18533	UBS AG – GAC European Warrants Mar 2018 A	70,000,000	2017/07/13	2018/03/29	17.50
18531	UBS AG – Greatwall Motor European Warrants Apr 2018 A	50,000,000	2017/07/13	2018/04/27	10.25
18542	UBS AG – PICC Group European Warrants Dec 2017 A	50,000,000	2017/07/13	2017/12/22	10.10
18723	UBS AG – Tencent Holdings European Warrants Dec 2017 B	300,000,000	2017/07/13	2017/12/27	45.60
19732	Credit Suisse AG – CC Bank European Warrants Dec 2017 B	50,000,000	2017/07/14	2017/12/29	10.00
19679	Credit Suisse AG – Ch Molybdenum European Warrants Jan 2018 A	40,000,000	2017/07/14	2018/01/15	12.00
20542	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2018 A	80,000,000	2017/07/14	2018/03/28	20.00
20587	Goldman Sachs SP (Asia) – China Unicom Euro Wts Nov 2017 B	40,000,000	2017/07/14	2017/11/23	13.64
20576	Goldman Sachs SP (Asia) – PetroChina European Wts Dec 2017 B	80,000,000	2017/07/14	2017/12/20	16.80
20804	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Jan 2018 A	80,000,000	2017/07/14	2018/01/30	20.00
19301	HK Bank – China Mobile European Warrants Nov 2017 A	68,000,000	2017/07/14	2017/11/28	10.20
19146	HK Bank – China Mobile European Warrants Jan 2018 A	50,000,000	2017/07/14	2018/01/31	14.00
19371	HK Bank – Galaxy Ent European Warrants Dec 2017 B	50,000,000	2017/07/14	2017/12/22	13.00
19361	HK Bank – Ping An European Warrants Jan 2018 A	50,000,000	2017/07/14	2018/01/31	19.00
19427	HK Bank – Sands China European Warrants Dec 2017 B	68,000,000	2017/07/14	2017/12/22	10.20
19871	J P Morgan SP BV – GAC European Warrants Mar 2018 A	60,000,000	2017/07/14	2018/03/29	15.00
19735	J P Morgan SP BV – PetroChina European Warrants Dec 2017 B	100,000,000	2017/07/14	2017/12/01	15.80
20307	J P Morgan SP BV – Stanchart European Warrants Feb 2018 A	50,000,000	2017/07/14	2018/02/02	12.65
19850	J P Morgan SP BV – Tracker Fund European Warrants Sep 2018 A	100,000,000	2017/07/14	2018/09/28	15.00
20410	J P Morgan SP BV – Zhaojin Mining European Wts Sep 2018 A	70,000,000	2017/07/14	2018/09/28	10.78
19135	Macquarie Bank Ltd. – BOCL European Warrants Jan 2018 A	40,000,000	2017/07/14	2018/01/03	10.00
19435	Macquarie Bank Ltd. – China Life European Wts Sep 2018 A	40,000,000	2017/07/14	2018/09/04	10.00
19525	Macquarie Bank Ltd. – China Overseas European Wts Jun 2018 A	10,000,000	2017/07/14	2018/06/04	10.54
19458	Macquarie Bank Ltd. – CPIC European Warrants Sep 2018 A	40,000,000	2017/07/14	2018/09/04	10.00
19434	Macquarie Bank Ltd. – Meitu European Warrants Aug 2018 A	32,000,000	2017/07/14	2018/08/02	10.14
19542	Macquarie Bank Ltd. – PICC P&C European Warrants Jun 2018 A	15,000,000	2017/07/14	2018/06/04	10.05
19454	Macquarie Bank Ltd. – Tencent European Warrants Feb 2018 F	40,000,000	2017/07/14	2018/02/02	10.00
19553	SG Issuer – CCCCL European Warrants Feb 2018 A	12,000,000	2017/07/14	2018/02/05	10.08
19639	SG Issuer – Ch Mob European Warrants Dec 2017 D	70,000,000	2017/07/14	2017/12/05	11.20
19676	UBS AG – Minsheng Bank European Warrants Dec 2017 A	40,000,000	2017/07/14	2017/12/22	10.16
19678	UBS AG – PICC European Warrants Jan 2018 A	20,000,000	2017/07/14	2018/01/15	13.36
19675	UBS AG – Yanzhou Coal European Wts Jan 2018 A	15,000,000	2017/07/14	2018/01/15	12.08
21024	BOCI Asia Ltd. – Geely Auto European Put Warrants Jan 2018 A	100,000,000	2017/07/17	2018/01/24	25.00
20992	BOCI Asia Ltd. – China Vanke European Warrants Dec 2017 A	100,000,000	2017/07/17	2017/12/29	15.00

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21123	Credit Suisse AG – Geely Auto European Warrants Jan 2018 C	25,000,000	2017/07/17	2018/01/31	88.75
21065	Credit Suisse AG – HSBC European Wts Jan 2018 C	100,000,000	2017/07/17	2018/01/31	25.00
21106	Credit Suisse AG – Ping An European Warrants Jan 2018 C	100,000,000	2017/07/17	2018/01/31	25.00
21108	Credit Suisse AG – Ping An European Put Warrants Jan 2018 D	60,000,000	2017/07/17	2018/01/31	15.00
21624	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2018 A	80,000,000	2017/07/17	2018/01/24	12.00
21577	Goldman Sachs SP (Asia) – HSBC European Put Wts Dec 2017 B	80,000,000	2017/07/17	2017/12/29	15.76
21630	Goldman Sachs SP (Asia) – Ping An European Wts Dec 2017 C	80,000,000	2017/07/17	2017/12/07	12.00
20834	HK Bank – China Life European Warrants Nov 2017 B	80,000,000	2017/07/17	2017/11/28	12.00
20818	HK Bank – China Life European Warrants Apr 2018 A	80,000,000	2017/07/17	2018/04/26	12.00
20924	HK Bank – Meitu European Warrants Jan 2018 A	40,000,000	2017/07/17	2018/01/31	10.00
20944	HK Bank – PICC European Warrants Jan 2018 A	15,000,000	2017/07/17	2018/01/31	10.50
20817	HK Bank – PICC Group European Warrants Jan 2018 A	40,000,000	2017/07/17	2018/01/31	10.00
21153	J P Morgan SP BV – PICC European Warrants May 2019 A	100,000,000	2017/07/17	2019/05/03	25.00
21259	J P Morgan SP BV – Ping An European Warrants Jan 2018 C	100,000,000	2017/07/17	2018/01/31	25.10
20962	Macquarie Bank Ltd. – CNBM European Warrants Feb 2018 A	20,000,000	2017/07/17	2018/02/02	10.00
20958	Macquarie Bank Ltd. – Baiyunshan Ph European Warrants Jun18A	25,000,000	2017/07/17	2018/06/19	10.00
21793	SG Issuer – HSBC European Warrants Apr 2018 B	100,000,000	2017/07/17	2018/04/06	25.00
21783	SG Issuer – HSBC European Put Warrants Feb 2018 B	80,000,000	2017/07/17	2018/02/05	25.60
21764	SG Issuer – HSI European Put Warrants Mar 2018 E	150,000,000	2017/07/17	2018/03/28	37.50
21821	SG Issuer – PICC P&C European Warrants Mar 2018 A	14,000,000	2017/07/17	2018/03/05	10.08
21382	UBS AG – AIA European Warrants Jan 2018 A	100,000,000	2017/07/17	2018/01/16	25.40
21422	UBS AG – CC Bank European Warrants Dec 2017 A	70,000,000	2017/07/17	2017/12/27	19.81
21272	UBS AG – China Mobile European Warrants Jan 2018 A	100,000,000	2017/07/17	2018/01/16	28.20
21352	UBS AG – CPIC European Warrants Jan 2018 A	70,000,000	2017/07/17	2018/01/24	16.03
21263	UBS AG – Ping An European Warrants Jan 2018 B	100,000,000	2017/07/17	2018/01/16	25.10
21451	UBS AG – Ping An European Put Warrants Jan 2018 C	100,000,000	2017/07/17	2018/01/16	35.90
17567 #	Credit Suisse AG – China Mobile European Warrants Nov 2017 B	500,000,000	2017/07/17	2017/11/27	10.50
22065	BNP Paribas Issuance B.V. – BOCHK European Wts Apr 2018 B	70,000,000	2017/07/18	2018/04/04	11.20
21923	BNP Paribas Issuance B.V. – Ch Unicom Euro Wt Feb 2018 B	40,000,000	2017/07/18	2018/02/02	26.00
21930	BNP Paribas Issuance B.V. – Greatwall Motor Eu Wt May 2018 A	60,000,000	2017/07/18	2018/05/03	10.80
22096	BNP Paribas Issuance B.V. – Sands China Euro Wt Jul 2018 A	40,000,000	2017/07/18	2018/07/04	10.40
22155	BNP Paribas Issuance B.V. – SUNAC European Warrants Mar 18 A	60,000,000	2017/07/18	2018/03/02	15.00
22348	Credit Suisse AG – Ping An European Warrants Jan 2018 E	100,000,000	2017/07/18	2018/01/31	25.00
22291	Credit Suisse AG – Stanchart European Warrants Dec 2017 A	30,000,000	2017/07/18	2017/12/29	11.40
22462	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Dec 2017 A	80,000,000	2017/07/18	2017/12/27	12.00
22474	Goldman Sachs SP (Asia) – BOCL European Warrants Mar 2018 B	80,000,000	2017/07/18	2018/03/26	14.72
22499	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2017 D	80,000,000	2017/07/18	2017/12/20	12.00
22493	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 B	80,000,000	2017/07/18	2018/01/18	20.00
22159	HK Bank – Sinopec Corp European Warrants Jan 2018 A	40,000,000	2017/07/18	2018/01/31	13.60
22236	HK Bank – HSCEI European Warrants Mar 2018 A	180,000,000	2017/07/18	2018/03/28	45.00
22254	HK Bank – HSCEI European Warrants Jul 2018 A	180,000,000	2017/07/18	2018/07/30	45.00
22264	HK Bank – HSCEI European Put Warrants Jan 2018 A	180,000,000	2017/07/18	2018/01/30	27.00
22161	HK Bank – Lenovo European Warrants Jan 2018 A	40,000,000	2017/07/18	2018/01/31	10.00
22213	Haitong Int'l Sec – AIA European Warrants Dec 2017 A	70,000,000	2017/07/18	2017/12/04	12.95

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22195	Haitong Int'l Sec - CNBM European Warrants Feb 2018 A	40,000,000	2017/07/18	2018/02/20	16.00
22219	Haitong Int'l Sec - Evergrande European Warrants Jan 2018 A	100,000,000	2017/07/18	2018/01/22	32.00
22166	Haitong Int'l Sec - HKEX European Warrants Dec 2018 A	100,000,000	2017/07/18	2018/12/27	25.00
22201	Haitong Int'l Sec - HSBC European Warrants Nov 2017 C	70,000,000	2017/07/18	2017/11/30	12.25
22270	J P Morgan SP BV - Sinopec Corp European Warrants Jan 2018 A	100,000,000	2017/07/18	2018/01/31	15.90
22266	J P Morgan SP BV - China Taiping European Wts Mar 2018 A	60,000,000	2017/07/18	2018/03/05	15.00
22267	J P Morgan SP BV - PICC Group European Warrants Mar 2018 A	60,000,000	2017/07/18	2018/03/05	16.44
21913	Macquarie Bank Ltd. - China Unicom European Wts Dec 2017 B	12,000,000	2017/07/18	2017/12/05	10.07
22216	Macquarie Bank Ltd. - Kingdee Int'l European Wts Mar 2018 A	25,000,000	2017/07/18	2018/03/16	10.05
22231	SG Issuer - HSBC European Warrants May 2018 A	100,000,000	2017/07/18	2018/05/04	25.00
22368	UBS AG - AIA European Put Warrants Nov 2017 A	70,000,000	2017/07/18	2017/11/23	10.71
22375	UBS AG - BOCL European Put Warrants Feb 2018 A	70,000,000	2017/07/18	2018/02/20	17.57
22378	UBS AG - CC Bank European Warrants Jan 2018 A	70,000,000	2017/07/18	2018/01/26	12.25
22374	UBS AG - ICBC European Warrants Feb 2018 A	100,000,000	2017/07/18	2018/02/23	19.00
17602 #	Credit Suisse AG - HSBC European Wts Oct 2017 C	100,000,000	2017/07/18	2017/10/31	19.40
22671	BOCI Asia Ltd. - BOCL European Warrants May 2018 A	100,000,000	2017/07/19	2018/05/24	18.50
22640	BOCI Asia Ltd. - CC Bank European Warrants Jan 2018 B	100,000,000	2017/07/19	2018/01/29	17.20
22591	BOCI Asia Ltd. - HSBC European Warrants Jan 2018 C	200,000,000	2017/07/19	2018/01/22	50.20
22648	BOCI Asia Ltd. - ICBC European Warrants Jan 2018 A	100,000,000	2017/07/19	2018/01/29	25.00
22674	BNP Paribas Issuance B.V. - HSBC European Wts Dec 2017 C	60,000,000	2017/07/19	2017/12/04	11.40
22730	BNP Paribas Issuance B.V. - HSBC European Wts Feb 2018 C	60,000,000	2017/07/19	2018/02/02	12.60
22680	BNP Paribas Issuance B.V. - HSBC European Wts Jul 2019 A	60,000,000	2017/07/19	2019/07/02	15.60
22778	BNP Paribas Issuance B.V. - Ping An European Wt Dec 2017 B	60,000,000	2017/07/19	2017/12/04	13.80
22793	BNP Paribas Issuance B.V. - Ping An European Wt Apr 2018 B	60,000,000	2017/07/19	2018/04/04	15.60
23022	Credit Suisse AG - China Taiping European Warrants Feb 2018A	40,000,000	2017/07/19	2018/02/28	10.00
23019	Credit Suisse AG - Greatwall Motor European Wts Jan 2018 A	20,000,000	2017/07/19	2018/01/31	22.00
23014	Credit Suisse AG - HS H-SHARE European Warrants Jan 2018 A	70,000,000	2017/07/19	2018/01/31	17.50
23023	Credit Suisse AG - PICC European Warrants Jan 2018 B	30,000,000	2017/07/19	2018/01/31	16.50
23004	Credit Suisse AG - Tracker Fund European Warrants Aug 2018 A	40,000,000	2017/07/19	2018/08/31	10.00
23108	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2018 B	80,000,000	2017/07/19	2018/01/26	12.00
22517	Goldman Sachs SP (Asia) - China Mobile Euro Wts Dec 2017 C	80,000,000	2017/07/19	2017/12/20	12.00
22552	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2017 B	40,000,000	2017/07/19	2017/12/20	10.00
23026	HK Bank - A50 China European Warrants Jan 2018 A	40,000,000	2017/07/19	2018/01/31	10.00
23034	HK Bank - HSI European Warrants Dec 2017 G	180,000,000	2017/07/19	2017/12/28	28.80
23030	HK Bank - HSI European Warrants Feb 2018 A	180,000,000	2017/07/19	2018/02/27	27.00
23073	J P Morgan SP BV - Agricultural Bk Euro Warrants Nov 2017 A	100,000,000	2017/07/19	2017/11/30	15.50
23088	J P Morgan SP BV - AIA European Warrants Dec 2017 C	100,000,000	2017/07/19	2017/12/01	15.00
23097	J P Morgan SP BV - AIA European Put Warrants Feb 2018 A	100,000,000	2017/07/19	2018/02/01	25.10
23068	J P Morgan SP BV - BOCL European Warrants May 2018 A	100,000,000	2017/07/19	2018/05/31	15.10
23043	J P Morgan SP BV - CC Bank European Wts Feb 2018 A	100,000,000	2017/07/19	2018/02/02	25.20
23087	J P Morgan SP BV - CRRC European Warrants Dec 2019 A	100,000,000	2017/07/19	2019/12/31	15.00
23117	Macquarie Bank Ltd. - China Gas Hold Euro Wts Feb 2019 A	30,000,000	2017/07/19	2019/02/04	10.32
23158	Macquarie Bank Ltd. - HKEX European Warrants Feb 2018 C	35,100,000	2017/07/19	2018/02/02	10.07
23141	Macquarie Bank Ltd. - MTRC European Warrants Sep 2018 A	40,000,000	2017/07/19	2018/09/04	10.00

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23127	Macquarie Bank Ltd. – Tencent European Put Wts Feb 2018 G	30,000,000	2017/07/19	2018/02/02	10.02
22891	SG Issuer – BOCL European Warrants Feb 2018 A	80,000,000	2017/07/19	2018/02/05	12.00
22911	SG Issuer – CITIC Bank European Warrants Feb 2018 A	30,000,000	2017/07/19	2018/02/05	10.05
22971	SG Issuer – China Life European Warrants Jan 2018 C	120,000,000	2017/07/19	2018/01/04	18.00
22604	SG Issuer – ICBC European Put Wt Feb 2018 A	60,000,000	2017/07/19	2018/02/05	17.10
22808	UBS AG – Agricultural Bank European Warrants Jan 2018 A	70,000,000	2017/07/19	2018/01/24	11.97
22638	UBS AG – China Life European Warrants Mar 2018 A	100,000,000	2017/07/19	2018/03/27	15.00
22837	UBS AG – CNOOC European Put Warrants Jan 2018 B	20,000,000	2017/07/19	2018/01/22	10.06
15334 #	Credit Suisse AG – China Life European Warrants Nov 2017 A	80,000,000	2017/07/19	2017/11/28	10.24
16246 #	Credit Suisse AG – Ping An European Warrants Jan 2018 A	50,000,000	2017/07/19	2018/01/31	16.25
23297	BNP Paribas Issuance B.V. – AIA European Wts Feb 2018 A	40,000,000	2017/07/20	2018/02/02	10.40
23284	BNP Paribas Issuance B.V. – BOCL European Wts Jun 2018 B	50,000,000	2017/07/20	2018/06/04	12.50
23244	BNP Paribas Issuance B.V. – China Life Euro Wts Jan 2018 B	70,000,000	2017/07/20	2018/01/03	10.50
23252	BNP Paribas Issuance B.V. – China Life Euro Wts May 2018 B	70,000,000	2017/07/20	2018/05/03	10.50
23261	BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Feb 2018 A	40,000,000	2017/07/20	2018/02/02	10.40
23495	Credit Suisse AG – BOCL European Warrants May 2018 A	50,000,000	2017/07/20	2018/05/24	10.00
23483	Credit Suisse AG – CC Bank European Warrants Jan 2018 A	50,000,000	2017/07/20	2018/01/31	12.50
23487	Credit Suisse AG – CC Bank European Put Warrants Jan 2018 B	100,000,000	2017/07/20	2018/01/31	30.00
23481	Credit Suisse AG – China Mobile European Put Wts Feb 2018 A	100,000,000	2017/07/20	2018/02/28	25.00
23479	Credit Suisse AG – HKEX European Put Warrants May 2018 B	100,000,000	2017/07/20	2018/05/08	17.00
23474	Credit Suisse AG – Tencent Holdings Euro Put Wts Dec 2017 G	150,000,000	2017/07/20	2017/12/22	27.00
23603	Goldman Sachs SP (Asia) – HKEX European Warrants Nov 2017 C	40,000,000	2017/07/20	2017/11/27	13.92
23613	Goldman Sachs SP (Asia) – HKEX European Put Wts Mar 2018 B	150,000,000	2017/07/20	2018/03/26	22.50
23601	Goldman Sachs SP (Asia) – PetroChina European Wts Jan 2018 A	80,000,000	2017/07/20	2018/01/30	20.00
23306	HK Bank – CITIC Bank European Warrants Jan 2018 A	40,000,000	2017/07/20	2018/01/31	10.00
23303	HK Bank – Minsheng Bank European Warrants Jan 2018 A	40,000,000	2017/07/20	2018/01/31	10.00
23304	HK Bank – Sands China European Warrants Feb 2018 A	50,000,000	2017/07/20	2018/02/28	12.50
23309	HK Bank – Sands China European Warrants Mar 2018 A	68,000,000	2017/07/20	2018/03/26	11.56
23642	J P Morgan SP BV – CITIC Sec European Warrants Aug 2018 A	100,000,000	2017/07/20	2018/08/01	19.20
23640	J P Morgan SP BV – HKEX European Warrants Dec 2017 C	100,000,000	2017/07/20	2017/12/04	21.60
23619	J P Morgan SP BV – HKEX European Warrants Oct 2018 A	200,000,000	2017/07/20	2018/10/31	50.00
23638	J P Morgan SP BV – HKEX European Warrants May 2019 A	200,000,000	2017/07/20	2019/05/31	30.60
23641	J P Morgan SP BV – HKEX European Put Wts May 2018 A	200,000,000	2017/07/20	2018/05/04	30.20
23717	J P Morgan SP BV – HSI European Warrants Jan 2018 E	300,000,000	2017/07/20	2018/01/30	76.80
23689	J P Morgan SP BV – HSI European Put Warrants Dec 2017 F	300,000,000	2017/07/20	2017/12/28	45.90
23685	J P Morgan SP BV – HSI European Put Warrants Feb 2018 C	300,000,000	2017/07/20	2018/02/27	75.30
23688	J P Morgan SP BV – HSI European Put Warrants Mar 2018 D	300,000,000	2017/07/20	2018/03/28	75.30
23680	J P Morgan SP BV – Ping An European Warrants Feb 2018 C	100,000,000	2017/07/20	2018/02/02	25.20
23163	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2018 A	25,000,000	2017/07/20	2018/03/02	10.20
23321	Macquarie Bank Ltd. – HSBC European Warrants Jul 2018 B	40,000,000	2017/07/20	2018/07/04	10.00
23315	Macquarie Bank Ltd. – HSBC European Put Warrants Apr 2018 A	14,000,000	2017/07/20	2018/04/04	10.43
23333	Macquarie Bank Ltd. – Stanchart European Warrants May 2018 A	15,000,000	2017/07/20	2018/05/03	10.37
23339	Macquarie Bank Ltd. – Stanchart European Warrants Aug 2018 A	19,000,000	2017/07/20	2018/08/02	10.39
23399	SG Issuer – CCB European Warrants Feb 2018 B	60,000,000	2017/07/20	2018/02/05	11.40

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23196	SG Issuer – CCB European Put Warrants Feb 2018 A	60,000,000	2017/07/20	2018/02/05	15.00
23402	SG Issuer – CGS European Warrants Feb 2018 A	20,000,000	2017/07/20	2018/02/05	10.00
23398	SG Issuer – CSOP A50 ETF European Warrants Feb 2018 B	35,000,000	2017/07/20	2018/02/05	10.15
23413	SG Issuer – GAC European Warrants Feb 2018 A	60,000,000	2017/07/20	2018/02/23	15.00
23236	SG Issuer – Galaxy Ent European Warrants Feb 2018 A	80,000,000	2017/07/20	2018/02/05	20.00
23436	SG Issuer – HKEX European Warrants Dec 2017 C	25,000,000	2017/07/20	2017/12/05	10.00
23440	SG Issuer – HKEX European Warrants Jan 2018 B	20,000,000	2017/07/20	2018/01/04	11.60
23446	SG Issuer – HKEX European Put Warrants Apr 2018 A	200,000,000	2017/07/20	2018/04/06	33.00
23468	SG Issuer – Sands China European Warrants Feb 2018 A	60,000,000	2017/07/20	2018/02/05	15.00
23532	UBS AG – China Cinda European Warrants Jan 2018 A	50,000,000	2017/07/20	2018/01/25	10.00
23513	UBS AG – China Mobile European Warrants Dec 2017 A	100,000,000	2017/07/20	2017/12/06	15.00
23511	UBS AG – HKEX European Warrants Oct 2017 B	50,000,000	2017/07/20	2017/10/26	28.60
23501	UBS AG – HSI European Warrants Mar 2018 B	200,000,000	2017/07/20	2018/03/28	33.20
23560	UBS AG – HSI European Put Warrants Dec 2017 F	200,000,000	2017/07/20	2017/12/28	31.20
23531	UBS AG – HSI European Put Warrants Feb 2018 B	200,000,000	2017/07/20	2018/02/27	30.60
23506	UBS AG – Ping An European Warrants Jan 2018 D	100,000,000	2017/07/20	2018/01/23	25.30
23817	BOCI Asia Ltd. – HKEX European Warrants Dec 2017 E	50,000,000	2017/07/21	2017/12/04	18.25
23838	BOCI Asia Ltd. – HKEX European Put Warrants Apr 2018 A	100,000,000	2017/07/21	2018/04/23	16.30
23729	BNP Paribas Issuance B.V. – Sinopec Corp Eu Wts Jun 2018 A	40,000,000	2017/07/21	2018/06/04	10.00
24051	Credit Suisse AG – CHALCO European Wts Jan 2018 A	35,000,000	2017/07/21	2018/01/22	10.15
24018	Credit Suisse AG – GAC European Warrants Feb 2018 A	60,000,000	2017/07/21	2018/02/28	15.00
24023	Credit Suisse AG – Sands China European Warrants Mar 2018 A	50,000,000	2017/07/21	2018/03/29	12.50
23786	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jan 2018 A	80,000,000	2017/07/21	2018/01/26	15.04
23803	Goldman Sachs SP (Asia) – A50 China European Wts Jan 2018 A	80,000,000	2017/07/21	2018/01/29	13.68
23735	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2018 B	80,000,000	2017/07/21	2018/01/22	20.00
23777	Goldman Sachs Sp (Asia) – ICBC European Warrants Jan 2018 A	80,000,000	2017/07/21	2018/01/26	14.80
23759	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2017 J	30,000,000	2017/07/21	2017/11/27	10.56
24134	Haitong Int'l Sec – HKEX European Warrants Nov 2017 B	50,000,000	2017/07/21	2017/11/30	14.25
24096	Haitong Int'l Sec – HKEX European Warrants Oct 2018 A	200,000,000	2017/07/21	2018/10/31	50.00
24211	Haitong Int'l Sec – HKEX European Warrants May 2019 A	200,000,000	2017/07/21	2019/05/31	30.00
24086	Haitong Int'l Sec – Ping An European Warrants Dec 2017 C	100,000,000	2017/07/21	2017/12/20	24.60
24088	Haitong Int'l Sec – Ping An European Warrants Jan 2018 A	100,000,000	2017/07/21	2018/01/25	25.70
24094	Haitong Int'l Sec – Ping An European Warrants Feb 2018 A	100,000,000	2017/07/21	2018/02/08	25.00
24054	J P Morgan SP BV – Ping An European Put Warrants Feb 2018 D	100,000,000	2017/07/21	2018/02/01	27.60
23732	Macquarie Bank Ltd. – AIA European Warrants Dec 2017 B	40,000,000	2017/07/21	2017/12/04	10.00
23844	Macquarie Bank Ltd. – Haier Elec European Wts Sep 2018 A	26,000,000	2017/07/21	2018/09/18	10.01
23871	Macquarie Bank Ltd. – PICC Group European Wts Apr 2018 B	40,000,000	2017/07/21	2018/04/04	10.00
24059	SG Issuer – HSI European Warrants Jan 2018 D	150,000,000	2017/07/21	2018/01/30	37.50
24085	SG Issuer – Ping An European Warrants Feb 2018 C	100,000,000	2017/07/21	2018/02/05	25.00
23896	UBS AG – CRRC European Warrants Feb 2018 A	20,000,000	2017/07/21	2018/02/12	11.12
23932	UBS AG – HKEX European Warrants Feb 2018 B	300,000,000	2017/07/21	2018/02/12	75.00
23993	UBS AG – HKEX European Warrants Sep 2018 A	300,000,000	2017/07/21	2018/09/17	75.00
23924	UBS AG – HSBC European Warrants Dec 2017 A	100,000,000	2017/07/21	2017/12/07	20.70
23877	UBS AG – Xinyi Glass European Warrants Aug 2018 A	70,000,000	2017/07/21	2018/08/06	10.50

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24216	BOCI Asia Ltd. - Ping An European Warrants Jan 2018 A	100,000,000	2017/07/24	2018/01/24	22.60
24231	BOCI Asia Ltd. - Sunny Optical European Warrants Jan 2018 A	30,000,000	2017/07/24	2018/01/29	20.10
24708	BNP Paribas Issuance B.V. - HKEX European Wts Oct 2018 A	80,000,000	2017/07/24	2018/10/03	20.00
24668	BNP Paribas Issuance B.V. - Ping An European Wt Mar 2018 A	40,000,000	2017/07/24	2018/03/02	10.00
24703	BNP Paribas Issuance B.V. - Ping An European Wt Jun 2018 C	40,000,000	2017/07/24	2018/06/04	10.00
24367	Credit Suisse AG - Brilliance Chi European Wts Feb 2018 A	40,000,000	2017/07/24	2018/02/28	10.00
24307	Credit Suisse AG - China Life European Warrants Mar 2018 A	80,000,000	2017/07/24	2018/03/27	12.00
24316	Credit Suisse AG - Ping An European Warrants Jan 2018 F	100,000,000	2017/07/24	2018/01/31	25.00
24341	Credit Suisse AG - Ping An European Put Warrants Jan 2018 G	100,000,000	2017/07/24	2018/01/31	25.00
24344	Credit Suisse AG - Ping An European Put Warrants Feb 2018 A	100,000,000	2017/07/24	2018/02/28	43.00
24345	Credit Suisse AG - Tencent Holdings European Wts Dec 2017 H	150,000,000	2017/07/24	2017/12/04	22.50
24714	Goldman Sachs SP (Asia) - China Life Euro Wts Mar 2018 A	80,000,000	2017/07/24	2018/03/28	12.00
24747	Goldman Sachs SP (Asia) - Sinopec Corp Euro Wts Nov 2017 A	60,000,000	2017/07/24	2017/11/27	10.08
24754	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Nov 2017 A	40,000,000	2017/07/24	2017/11/27	11.36
24790	Goldman Sachs SP (Asia) - HSI European Warrants Jan 2018 C	150,000,000	2017/07/24	2018/01/30	37.50
24784	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jan 2018 C	80,000,000	2017/07/24	2018/01/31	20.00
24728	Goldman Sachs SP (Asia) - Sands China Euro Wts Dec 2017 B	80,000,000	2017/07/24	2017/12/22	12.00
24295	HK Bank - China Tel European Warrants Jan 2018 A	40,000,000	2017/07/24	2018/01/31	10.00
24303	HK Bank - China Unicom European Warrants Jan 2018 A	20,000,000	2017/07/24	2018/01/31	10.00
24264	HK Bank - HKEX European Warrants Sep 2018 A	100,000,000	2017/07/24	2018/09/28	25.00
24261	HK Bank - Ping An European Warrants Jan 2018 B	50,000,000	2017/07/24	2018/01/31	12.50
24279	HK Bank - Tencent European Warrants Aug 2018 A	100,000,000	2017/07/24	2018/08/29	18.00
24390	J P Morgan SP BV - China Life European Warrants Apr 2018 B	100,000,000	2017/07/24	2018/04/04	25.10
24401	J P Morgan SP BV - Galaxy Ent European Warrants Dec 2017 E	100,000,000	2017/07/24	2017/12/29	15.00
24377	J P Morgan SP BV - Ping An European Warrants Apr 2018 A	100,000,000	2017/07/24	2018/04/03	25.10
24456	J P Morgan SP BV - Sunny Optical European Warrants Mar 2018A	60,000,000	2017/07/24	2018/03/02	38.52
24430	J P Morgan SP BV - Tencent European Warrants Aug 2018 B	200,000,000	2017/07/24	2018/08/31	33.60
24251	Macquarie Bank Ltd. - China Life European Wts Mar 2018 C	8,000,000	2017/07/24	2018/03/02	10.02
24257	Macquarie Bank Ltd. - GAC European Wts May 2018 B	40,000,000	2017/07/24	2018/05/02	10.00
24516	SG Issuer - Anhui Conch European Warrants Mar 2018 A	50,000,000	2017/07/24	2018/03/05	10.00
24539	SG Issuer - CITIC Bank European Warrants Mar 2018 A	40,000,000	2017/07/24	2018/03/05	10.00
24569	SG Issuer - CKH European Warrants Feb 2018 A	40,000,000	2017/07/24	2018/02/05	10.00
24549	SG Issuer - China Overseas European Warrants Mar 2018 A	67,000,000	2017/07/24	2018/03/05	10.05
24550	SG Issuer - China Tel European Warrants Feb 2018 A	40,000,000	2017/07/24	2018/02/05	10.00
24215	SG Issuer - HKEX European Put Warrants Feb 2018 B	200,000,000	2017/07/24	2018/02/05	50.00
24576	SG Issuer - JIANC European Warrants Feb 2018 A	11,000,000	2017/07/24	2018/02/05	10.01
24584	SG Issuer - KECL European Warrants Feb 2018 A	27,000,000	2017/07/24	2018/02/05	10.13
24623	SG Issuer - Lenovo European Warrants Feb 2018 A	27,000,000	2017/07/24	2018/02/05	10.40
24643	SG Issuer - Ping An European Warrants Mar 2018 A	100,000,000	2017/07/24	2018/03/05	41.50
24631	SG Issuer - Ping An European Put Warrants Feb 2018 D	100,000,000	2017/07/24	2018/02/05	29.00
24665	SG Issuer - Shimao Ppt Eu Wt Jul 2018 B	60,000,000	2017/07/24	2018/07/05	15.00
24489	UBS AG - China Unicom European Warrants Dec 2017 B	25,000,000	2017/07/24	2017/12/27	10.18
24485	UBS AG - GAC European Warrants Jan 2018 A	70,000,000	2017/07/24	2018/01/23	17.50
24478	UBS AG - Lenovo European Warrants Nov 2017 A	55,000,000	2017/07/24	2017/11/27	10.40

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Code	Derivative warrants	No. of issue		Expiry	Amount raised (HK\$ mil.)
		(units)	Listing date		
24484	UBS AG – NCI European Warrants Jan 2018 A	35,000,000	2017/07/24	2018/01/23	11.69
24479	UBS AG – Ping An European Warrants Jan 2018 E	100,000,000	2017/07/24	2018/01/31	25.10
24918	BOCI Asia Ltd. – AAC Tech European Warrants Mar 2018 B	100,000,000	2017/07/25	2018/03/29	19.50
24800	BOCI Asia Ltd. – China Life European Warrants Dec 2017 D	200,000,000	2017/07/25	2017/12/11	30.00
24917	BOCI Asia Ltd. – Sunny Optical European Warrants Feb 2018 A	30,000,000	2017/07/25	2018/02/12	18.30
24816	BOCI Asia Ltd. – Tencent Holdings European Put Wts Mar 2018 B	100,000,000	2017/07/25	2018/03/26	15.00
24941	BNP Paribas Issuance B.V. – AAC Tech Euro Wts Oct 2018 A	80,000,000	2017/07/25	2018/10/03	21.60
24836	BNP Paribas Issuance B.V. – CITIC Bank Euro Wts Apr 2018 A	40,000,000	2017/07/25	2018/04/04	10.00
24859	BNP Paribas Issuance B.V. – China Overseas Eu Wt Jun 2018 A	40,000,000	2017/07/25	2018/06/04	10.00
24983	BNP Paribas Issuance B.V. – CRRC European Wts Apr 2018 A	40,000,000	2017/07/25	2018/04/04	15.60
10468	BNP Paribas Issuance B.V. – EUR/USD Euro Wts Mar 2018 A	100,000,000	2017/07/25	2018/03/22	25.00
10469	BNP Paribas Issuance B.V. – EUR/USD Euro Put Wt Mar 2018 B	100,000,000	2017/07/25	2018/03/22	25.00
24946	BNP Paribas Issuance B.V. – GAC European Warrants Apr 2018 B	60,000,000	2017/07/25	2018/04/04	15.00
24824	BNP Paribas Issuance B.V. – Geely Auto Euro Wts May 2018 A	60,000,000	2017/07/25	2018/05/03	15.60
24955	BNP Paribas Issuance B.V. – Jiangxi Copper Eu Wt Mar 2018 A	80,000,000	2017/07/25	2018/03/02	12.00
24957	BNP Paribas Issuance B.V. – Kingsoft Euro Wts Jul 2018 A	60,000,000	2017/07/25	2018/07/04	15.00
24841	BNP Paribas Issuance B.V. – Lenovo European Wts May 2018 A	40,000,000	2017/07/25	2018/05/03	10.40
24952	BNP Paribas Issuance B.V. – Meitu European Wts Apr 2018 A	80,000,000	2017/07/25	2018/04/04	23.20
24947	BNP Paribas Issuance B.V. – NCI European Wts Apr 2018 A	40,000,000	2017/07/25	2018/04/04	10.00
24948	BNP Paribas Issuance B.V. – PICC Group Euro Wts Apr 2018 A	40,000,000	2017/07/25	2018/04/04	11.20
24942	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Jan 2019 A	100,000,000	2017/07/25	2019/01/03	26.00
24826	BNP Paribas Issuance B.V. – Tencent Euro Wts Apr 2018 C	100,000,000	2017/07/25	2018/04/04	15.00
24999	Credit Suisse AG – Geely Auto European Warrants Feb 2018 C	100,000,000	2017/07/25	2018/02/28	25.00
24932	Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2018 D	150,000,000	2017/07/25	2018/01/30	37.50
25092	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 D	80,000,000	2017/07/25	2018/01/25	20.00
25006	HK Bank – Ping An European Warrants Jan 2018 C	50,000,000	2017/07/25	2018/01/11	12.00
25015	HK Bank – Sunny Optical European Warrants Jan 2018 B	20,000,000	2017/07/25	2018/01/31	14.00
24893	Haitong Int'l Sec – China Mobile European Wts Jan 2018 A	100,000,000	2017/07/25	2018/01/29	26.40
24897	Haitong Int'l Sec – China Mobile European Wts Jan 2018 B	100,000,000	2017/07/25	2018/01/29	17.10
24997	Haitong Int'l Sec – Sunny Optical European Wts Jan 2018 A	40,000,000	2017/07/25	2018/01/24	46.80
25029	Haitong Int'l Sec – Sunny Optical European Wts Aug 2018 A	300,000,000	2017/07/25	2018/08/08	75.90
25094	J P Morgan SP BV – AAC Acoustic European Warrants Mar 2018 B	60,000,000	2017/07/25	2018/03/29	10.08
25114	J P Morgan SP BV – CSOP A50 ETF European Warrants Mar 2018 A	100,000,000	2017/07/25	2018/03/01	26.60
25127	J P Morgan SP BV – Sands China European Warrants Apr 2018 A	100,000,000	2017/07/25	2018/04/04	25.10
25103	J P Morgan SP BV – SUNAC European Warrants Apr 2018 A	60,000,000	2017/07/25	2018/04/10	12.18
25098	J P Morgan SP BV – Sunny Optical European Warrants Jun 2018 A	60,000,000	2017/07/25	2018/06/29	15.12
25026	Macquarie Bank Ltd. – AAC Tech European Wts Feb 2018 A	13,500,000	2017/07/25	2018/02/02	10.23
24909	Macquarie Bank Ltd. – China Mobile European Wts Mar 2018 B	18,000,000	2017/07/25	2018/03/02	10.31
24903	Macquarie Bank Ltd. – China Mobile European Wts Jul 2018 B	40,000,000	2017/07/25	2018/07/04	10.00
24916	Macquarie Bank Ltd. – HSBC European Warrants Mar 2018 A	12,000,000	2017/07/25	2018/03/02	10.04
24915	Macquarie Bank Ltd. – HSBC European Warrants Apr 2018 B	24,000,000	2017/07/25	2018/04/04	10.10
24995	Macquarie Bank Ltd. – Sands China European Wts Feb 2018 B	4,500,000	2017/07/25	2018/02/02	10.17
24898	Macquarie Bank Ltd. – Sunny Optical European Wts Apr 2018 A	6,000,000	2017/07/25	2018/04/23	10.09
25176	SG Issuer – AGBK European Warrants Feb 2018 A	60,000,000	2017/07/25	2018/02/05	15.00

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		(units)	Listing date	Expiry	
25179	SG Issuer – CHALCO European Warrants Mar 2018 A	23,000,000	2017/07/25	2018/03/05	10.35
25204	SG Issuer – BYD European Warrants Feb 2018 A	20,000,000	2017/07/25	2018/02/05	10.60
25205	SG Issuer – CCoal European Warrants Feb 2018 A	32,000,000	2017/07/25	2018/02/05	10.08
25221	SG Issuer – CRRC European Warrants Feb 2018 A	18,000,000	2017/07/25	2018/02/05	10.44
25208	SG Issuer – Shenhua European Warrants Apr 2018 A	60,000,000	2017/07/25	2018/04/06	10.80
24878	SG Issuer – HKEX European Warrants Dec 2017 D	200,000,000	2017/07/25	2017/12/05	30.00
24883	SG Issuer – HKEX European Warrants Apr 2018 B	200,000,000	2017/07/25	2018/04/06	50.00
25226	SG Issuer – ICBC European Warrants Feb 2018 B	80,000,000	2017/07/25	2018/02/05	20.00
25234	SG Issuer – NCI European Warrants Feb 2018 A	25,000,000	2017/07/25	2018/02/05	10.00
25235	SG Issuer – Yanzhou Coal Eu Wt Feb 2018 A	15,000,000	2017/07/25	2018/02/05	10.05
25174	UBS AG – AAC Tech European Warrants Jul 2018 A	50,000,000	2017/07/25	2018/07/04	10.00
25158	UBS AG – AAC Tech European Put Warrants Feb 2018 B	65,000,000	2017/07/25	2018/02/21	10.40
25147	UBS AG – CKP European Warrants Dec 2017 B	70,000,000	2017/07/25	2017/12/27	18.13
25155	UBS AG – Geely Auto European Warrants Feb 2018 C	40,000,000	2017/07/25	2018/02/28	10.00
25133	UBS AG – Geely Auto European Put Warrants Jan 2018 A	50,000,000	2017/07/25	2018/01/24	10.05
25128	UBS AG – Sunny Optical European Warrants Jan 2018 B	20,000,000	2017/07/25	2018/01/24	17.20
25157	UBS AG – Sunny Optical European Put Warrants Apr 2018 A	50,000,000	2017/07/25	2018/04/30	12.50
25526	BOCI Asia Ltd. – HSEI European Warrants Jun 2018 A	100,000,000	2017/07/26	2018/06/28	25.00
25510	BOCI Asia Ltd. – HSI European Warrants Mar 2018 A	100,000,000	2017/07/26	2018/03/28	15.00
25517	BOCI Asia Ltd. – HSI European Put Warrants Dec 2017 A	100,000,000	2017/07/26	2017/12/28	15.00
25534	BOCI Asia Ltd. – Tencent Holdings European Wts Dec 2017 H	30,000,000	2017/07/26	2017/12/04	17.97
25537	BOCI Asia Ltd. – Tencent Holdings European Wts Mar 2018 C	200,000,000	2017/07/26	2018/03/27	39.00
25535	BOCI Asia Ltd. – Tencent Holdings European Wts Aug 2018 A	200,000,000	2017/07/26	2018/08/29	43.00
25539	BOCI Asia Ltd. – Tencent Holdings European Put Wts Jan 2018 B	100,000,000	2017/07/26	2018/01/26	26.50
25543	Credit Suisse AG – Anhui Conch European Warrants Feb 2018 A	40,000,000	2017/07/26	2018/02/28	10.00
25573	Credit Suisse AG – CHALCO European Wts Feb 2018 A	35,000,000	2017/07/26	2018/02/02	10.15
25572	Credit Suisse AG – Angang Steel European Warrants Jan 2018 A	25,000,000	2017/07/26	2018/01/31	10.00
25553	Credit Suisse AG – China Coal European Warrants Jan 2018 A	40,000,000	2017/07/26	2018/01/31	10.00
25576	Credit Suisse AG – Ch Molybdenum European Warrants Jan 2018B	35,000,000	2017/07/26	2018/01/31	10.15
25546	Credit Suisse AG – CNBM European Warrants Jan 2018 A	30,000,000	2017/07/26	2018/01/31	10.20
25591	Credit Suisse AG – Geely Auto European Put Wts Feb 2018 D	100,000,000	2017/07/26	2018/02/28	30.00
25590	Credit Suisse AG – HSBC European Put Warrants Jan 2018 D	90,000,000	2017/07/26	2018/01/31	37.80
25589	Credit Suisse AG – Ping An European Put Warrants Jul 2018 A	150,000,000	2017/07/26	2018/07/31	55.50
25601	Credit Suisse AG – Sunny Optical European Warrants Jun 2018A	100,000,000	2017/07/26	2018/06/29	25.00
25596	Credit Suisse AG – Tencent Holdings European Wts Jan 2018 F	150,000,000	2017/07/26	2018/01/31	37.50
25582	Credit Suisse AG – Tencent Holdings Euro Put Wts Jan 2018 E	150,000,000	2017/07/26	2018/01/31	37.50
25492	Goldman Sachs SP (Asia) – CNOOC European Warrants Dec 2017 A	80,000,000	2017/07/26	2017/12/20	13.44
25497	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Dec 2017 A	60,000,000	2017/07/26	2017/12/20	10.08
25468	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 E	80,000,000	2017/07/26	2018/01/31	20.00
25495	Goldman Sachs SP (Asia) – Sands China Euro Wts Oct 2017 A	70,000,000	2017/07/26	2017/10/27	10.85
25448	Goldman Sachs SP (Asia) – Tencent European Put Wt Jan 2018 D	100,000,000	2017/07/26	2018/01/26	25.00
25676	J P Morgan SP BV – China Mob European Wts Jan 2018 A	100,000,000	2017/07/26	2018/01/31	15.70
25680	J P Morgan SP BV – China Mob European Put Wts Feb 2018 A	100,000,000	2017/07/26	2018/02/02	33.80
25683	J P Morgan SP BV – Chi Res Land European Warrants Sep 2018 A	100,000,000	2017/07/26	2018/09/03	25.40



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25681	J P Morgan SP BV – A50 China European Warrants Feb 2018 A	100,000,000	2017/07/26	2018/02/02	25.60
25685	J P Morgan SP BV – HSI European Put Warrants Feb 2018 D	300,000,000	2017/07/26	2018/02/27	75.60
25711	J P Morgan SP BV – ICBC European Put Wts Feb 2018 B	100,000,000	2017/07/26	2018/02/02	25.10
25674	J P Morgan SP BV – NCI European Warrants Jun 2018 A	100,000,000	2017/07/26	2018/06/04	41.50
25682	J P Morgan SP BV – PetroChina European Warrants Mar 2018 A	100,000,000	2017/07/26	2018/03/02	15.50
25666	J P Morgan SP BV – Tencent European Warrants Nov 2017 I	100,000,000	2017/07/26	2017/11/30	44.50
25672	J P Morgan SP BV – Tencent European Warrants May 2018 A	200,000,000	2017/07/26	2018/05/31	50.20
25630	J P Morgan SP BV – Tencent European Put Warrants Dec 2017 J	200,000,000	2017/07/26	2017/12/29	31.00
25632	J P Morgan SP BV – Tencent European Put Warrants Feb 2018 A	200,000,000	2017/07/26	2018/02/01	44.20
25252	Macquarie Bank Ltd. – CHALCO European Warrants Mar 2018 A	22,000,000	2017/07/26	2018/03/02	10.08
25282	Macquarie Bank Ltd. – Sinopec Corp European Wts Mar 2018 A	40,000,000	2017/07/26	2018/03/02	20.04
25276	Macquarie Bank Ltd. – Sinopec Corp European Wts Jun 2018 A	40,000,000	2017/07/26	2018/06/04	10.00
25316	Macquarie Bank Ltd. – Chinares Cement European Wt Oct 2018 A	15,000,000	2017/07/26	2018/10/15	10.01
25269	Macquarie Bank Ltd. – Geely Auto European Wts Feb 2018 B	7,000,000	2017/07/26	2018/02/02	10.72
25298	Macquarie Bank Ltd. – Ping An European Warrants Jan 2018 C	30,000,000	2017/07/26	2018/01/03	10.02
25295	Macquarie Bank Ltd. – Ping An European Warrants May 2018 A	40,000,000	2017/07/26	2018/05/03	10.00
25247	SG Issuer – AAC Tech European Warrants Jul 2018 A	70,000,000	2017/07/26	2018/07/05	12.95
25329	SG Issuer – CMB European Warrants Dec 2018 A	80,000,000	2017/07/26	2018/12/05	17.60
25335	SG Issuer – CPIC Eur Wt Feb 2018 A	40,000,000	2017/07/26	2018/02/05	10.60
25364	SG Issuer – A50 China European Warrants Feb 2018 B	40,000,000	2017/07/26	2018/02/05	10.00
25360	SG Issuer – HS H-SHARE European Warrants Feb 2018 B	30,000,000	2017/07/26	2018/02/05	10.05
25339	SG Issuer – HSI European Put Warrants Feb 2018 F	150,000,000	2017/07/26	2018/02/27	37.50
25344	SG Issuer – HSI European Put Warrants Mar 2018 F	150,000,000	2017/07/26	2018/03/28	37.50
25349	SG Issuer – Tencent European Put Warrants Jan 2018 C	200,000,000	2017/07/26	2018/01/04	33.00
25359	SG Issuer – Zijin Mining European Warrants Apr 2018 A	35,000,000	2017/07/26	2018/04/06	10.15
25436	UBS AG – AIA European Warrants Nov 2017 B	100,000,000	2017/07/26	2017/11/27	15.10
25434	UBS AG – CAM CSI300 European Warrants Jun 2018 A	70,000,000	2017/07/26	2018/06/21	13.72
25405	UBS AG – CKH European Warrants Nov 2017 B	50,000,000	2017/07/26	2017/11/22	10.70
25402	UBS AG – China Shenhua European Warrants Jan 2018 A	70,000,000	2017/07/26	2018/01/29	17.50
25373	UBS AG – China Taiping European Warrants Jan 2018 A	40,000,000	2017/07/26	2018/01/29	10.28
25387	UBS AG – NCI European Warrants Feb 2018 A	35,000,000	2017/07/26	2018/02/12	10.57
25443	UBS AG – Sunny Optical European Warrants Jun 2018 A	100,000,000	2017/07/26	2018/06/25	25.00
25418	UBS AG – Tencent Holdings European Warrants Nov 2017 G	30,000,000	2017/07/26	2017/11/27	18.33
25412	UBS AG – Tencent Holdings European Warrants Jun 2018 A	300,000,000	2017/07/26	2018/06/11	75.30
25366	UBS AG – Tencent Holdings European Put Warrants Dec 2017 C	200,000,000	2017/07/26	2017/12/22	31.00
25733	BOCI Asia Ltd. – AAC Tech European Warrants Jul 2018 A	100,000,000	2017/07/27	2018/07/30	25.00
25730	BOCI Asia Ltd. – HKEX European Warrants Jun 2018 A	200,000,000	2017/07/27	2018/06/25	50.00
25715	BOCI Asia Ltd. – HSCEI European Put Warrants Jun 2018 B	100,000,000	2017/07/27	2018/06/28	25.10
25793	BNP Paribas Issuance B.V. – Agile Ppt Euro Wt Feb 2018 B	30,000,000	2017/07/27	2018/02/02	12.90
25778	BNP Paribas Issuance B.V. – Country Garden Eu Wt Feb 2018 B	30,000,000	2017/07/27	2018/02/02	15.00
25845	BNP Paribas Issuance B.V. – China Overseas Eu Wt Nov 2018 A	60,000,000	2017/07/27	2018/11/02	16.20
25739	BNP Paribas Issuance B.V. – CSOP A50 ETF Eu Wts Oct 2018 C	40,000,000	2017/07/27	2018/10/03	10.00
25792	BNP Paribas Issuance B.V. – Evergrande Euro Wts Feb 2018 B	60,000,000	2017/07/27	2018/02/02	15.00
25857	BNP Paribas Issuance B.V. – R&F Ppt Euro Wts May 2018 A	80,000,000	2017/07/27	2018/05/03	20.00

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25846	BNP Paribas Issuance B.V. – New World Dev Eu Wt Aug 2018 A	40,000,000	2017/07/27	2018/08/02	10.80
25748	BNP Paribas Issuance B.V. – SUNAC European Wts May 2018 A	50,000,000	2017/07/27	2018/05/03	16.50
25752	BNP Paribas Issuance B.V. – SUNAC European Wts Jul 2018 B	50,000,000	2017/07/27	2018/07/04	17.50
25743	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Feb 2018 A	40,000,000	2017/07/27	2018/02/02	32.00
25855	BNP Paribas Issuance B.V. – China Vanke Euro Wts Aug 2018 A	40,000,000	2017/07/27	2018/08/02	10.40
25832	BNP Paribas Issuance B.V. – ZTE European Wts Mar 2018 A	40,000,000	2017/07/27	2018/03/02	10.00
26364	Credit Suisse AG – AAC Tech European Warrants Apr 2018 A	100,000,000	2017/07/27	2018/04/30	25.00
26391	Credit Suisse AG – AAC Tech European Put Warrants Feb 2018 B	100,000,000	2017/07/27	2018/02/28	15.00
26360	Credit Suisse AG – AIA European Warrants Nov 2017 B	70,000,000	2017/07/27	2017/11/27	10.50
26352	Credit Suisse AG – AIA European Warrants Jan 2018 B	70,000,000	2017/07/27	2018/01/31	17.50
26356	Credit Suisse AG – AIA European Put Warrants Jan 2018 C	100,000,000	2017/07/27	2018/01/31	30.00
26375	Credit Suisse AG – BJ Ent Water European Warrants Jan 2018 A	20,000,000	2017/07/27	2018/01/26	12.40
26347	Credit Suisse AG – CGN Power European Warrants Apr 2018 A	40,000,000	2017/07/27	2018/04/30	10.00
26368	Credit Suisse AG – CKI Holdings European Warrants Nov 2017 B	40,000,000	2017/07/27	2017/11/30	10.00
26311	Credit Suisse AG – Cathay Pacific European Wts Dec 2017 A	20,000,000	2017/07/27	2017/12/11	10.00
26322	Credit Suisse AG – Chi Res Land European Warrants Apr 2018 A	40,000,000	2017/07/27	2018/04/30	10.00
26308	Credit Suisse AG – HKEX European Warrants Oct 2017 F	40,000,000	2017/07/27	2017/10/31	10.00
26394	Credit Suisse AG – HKEX European Warrants Jan 2018 B	40,000,000	2017/07/27	2018/01/31	11.20
26392	Credit Suisse AG – HKEX European Warrants Jun 2018 A	150,000,000	2017/07/27	2018/06/29	37.50
26288	Credit Suisse AG – HKEX European Put Warrants Feb 2018 B	150,000,000	2017/07/27	2018/02/02	37.50
26376	Credit Suisse AG – HSBC European Wts Nov 2017 E	100,000,000	2017/07/27	2017/11/28	20.00
26345	Credit Suisse AG – Zijin Mining European Warrants Mar 2018 A	40,000,000	2017/07/27	2018/03/29	10.00
26396	Goldman Sachs SP (Asia) – AAC Acoustic Euro Wts Mar 2018 A	80,000,000	2017/07/27	2018/03/28	20.00
26430	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jan 2018 B	80,000,000	2017/07/27	2018/01/29	12.00
26397	Goldman Sachs SP (Asia) – Ch Overseas European Wts Nov 2017 B	80,000,000	2017/07/27	2017/11/28	12.00
26415	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2017 K	30,000,000	2017/07/27	2017/11/27	12.09
26446	Goldman Sachs SP (Asia) – Tencent European Wt Jan 2018 E	150,000,000	2017/07/27	2018/01/31	37.50
26076	HK Bank – CAM CSI300 European Warrants Aug 2018 A	100,000,000	2017/07/27	2018/08/31	25.00
26068	HK Bank – A50 China European Warrants Sep 2018 A	150,000,000	2017/07/27	2018/09/24	22.50
25719	HK Bank – Sunny Optical European Warrants Mar 2018 A	150,000,000	2017/07/27	2018/03/29	37.50
25717	HK Bank – Sunny Optical European Warrants Jun 2018 A	150,000,000	2017/07/27	2018/06/29	37.50
26030	HK Bank – Tencent European Put Warrants Jan 2018 B	150,000,000	2017/07/27	2018/01/26	30.00
26032	HK Bank – China Vanke European Warrants Feb 2018 A	50,000,000	2017/07/27	2018/02/28	12.50
26038	HK Bank – Zijin Mining European Warrants Jan 2018 A	40,000,000	2017/07/27	2018/01/31	10.00
26266	Haitong Int'l Sec – Avichina European Warrants Jun 2020 A	150,000,000	2017/07/27	2020/06/30	37.50
26233	Haitong Int'l Sec – Ch Comm Cons European Warrants Nov 2018A	50,000,000	2017/07/27	2018/11/22	10.00
26210	Haitong Int'l Sec – CKH European Warrants Dec 2017 A	50,000,000	2017/07/27	2017/12/27	10.90
26219	Haitong Int'l Sec – CNOOC European Warrants Jan 2018 A	50,000,000	2017/07/27	2018/01/26	14.00
26214	Haitong Int'l Sec – Galaxy Ent European Warrants Nov 2017 A	70,000,000	2017/07/27	2017/11/30	10.71
26217	Haitong Int'l Sec – Galaxy Ent European Put Wts Jan 2018 A	50,000,000	2017/07/27	2018/01/29	12.60
26258	Haitong Int'l Sec – Li Ning European Warrants Mar 2019 A	100,000,000	2017/07/27	2019/03/29	25.00
26249	Haitong Int'l Sec – Sands China European Warrants Nov 2017 C	70,000,000	2017/07/27	2017/11/30	11.13
26167	J P Morgan SP BV – AAC Tech European Put Warrants May 2018 A	60,000,000	2017/07/27	2018/05/02	15.06
26194	J P Morgan SP BV – AIA European Warrants Jan 2018 B	100,000,000	2017/07/27	2018/01/02	15.50

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26164	J P Morgan SP BV – Geely Auto European Warrants Mar 2018 C	100,000,000	2017/07/27	2018/03/02	25.00
26183	J P Morgan SP BV – GF Sec European Warrants Apr 2018 A	60,000,000	2017/07/27	2018/04/30	10.20
26113	J P Morgan SP BV – HKEX European Warrants Dec 2017 D	100,000,000	2017/07/27	2017/12/29	56.50
26119	J P Morgan SP BV – HKEX European Warrants Jan 2018 A	100,000,000	2017/07/27	2018/01/31	43.10
26133	J P Morgan SP BV – HKEX European Warrants Aug 2018 B	200,000,000	2017/07/27	2018/08/03	50.00
26090	J P Morgan SP BV – HKEX European Put Wts Jul 2018 A	200,000,000	2017/07/27	2018/07/03	50.20
26109	J P Morgan SP BV – HSBC European Warrants Nov 2017 C	100,000,000	2017/07/27	2017/11/23	15.60
26165	J P Morgan SP BV – HSI European Warrants Feb 2018 E	300,000,000	2017/07/27	2018/02/27	75.30
26144	J P Morgan SP BV – ICBC European Warrants Nov 2017 C	100,000,000	2017/07/27	2017/11/30	16.90
26177	J P Morgan SP BV – ZTE European Warrants Mar 2018 A	60,000,000	2017/07/27	2018/03/01	15.36
26026	Macquarie Bank Ltd. – BOCHK European Warrants Apr 2018 A	40,000,000	2017/07/27	2018/04/03	10.00
25966	Macquarie Bank Ltd. – Country Garden European Wts Jun 2019 A	40,000,000	2017/07/27	2019/06/14	10.00
25939	Macquarie Bank Ltd. – China Mobile Euro Put Wts Apr 2018 A	22,000,000	2017/07/27	2018/04/04	10.27
25944	Macquarie Bank Ltd. – Ch Molybdenum European Wts May 2018 A	17,000,000	2017/07/27	2018/05/15	10.12
25954	Macquarie Bank Ltd. – China Shenhua European Wts Jan 2019 A	40,000,000	2017/07/27	2019/01/03	10.00
25930	Macquarie Bank Ltd. – China Taiping European Wts Apr 2018 A	37,000,000	2017/07/27	2018/04/20	10.03
26016	Macquarie Bank Ltd. – Foxconn European Warrants Jun 2018 A	40,000,000	2017/07/27	2018/06/04	10.00
26010	Macquarie Bank Ltd. – HKEX European Warrants Mar 2018 A	20,000,000	2017/07/27	2018/03/02	10.18
26011	Macquarie Bank Ltd. – Kingsoft European Warrants Apr 2018 A	40,000,000	2017/07/27	2018/04/04	10.00
26005	Macquarie Bank Ltd. – Poly HK Inv European Wts May 2018 A	20,000,000	2017/07/27	2018/05/15	10.00
26021	Macquarie Bank Ltd. – Tencent European Warrants Feb 2018 H	33,000,000	2017/07/27	2018/02/02	10.07
26008	Macquarie Bank Ltd. – ZTE European Warrants Apr 2018 B	40,000,000	2017/07/27	2018/04/13	10.00
26282	SG Issuer – HKEX European Warrants Nov 2017 C	25,000,000	2017/07/27	2017/11/03	10.25
25813	SG Issuer – HKEX European Warrants Jun 2018 B	200,000,000	2017/07/27	2018/06/05	50.00
25811	SG Issuer – Tencent European Warrants Feb 2018 D	200,000,000	2017/07/27	2018/02/05	50.00
26086	UBS AG – AIA European Warrants Mar 2018 A	100,000,000	2017/07/27	2018/03/29	25.00
25869	UBS AG – Ch Molybdenum European Warrants Jan 2018 A	30,000,000	2017/07/27	2018/01/29	10.32
25899	UBS AG – A50 China European Warrants Jan 2018 A	70,000,000	2017/07/27	2018/01/29	18.20
25918	UBS AG – HSBC European Warrants Jan 2018 C	100,000,000	2017/07/27	2018/01/24	15.00
25897	UBS AG – ICBC European Put Warrants Jan 2018 A	70,000,000	2017/07/27	2018/01/29	10.78
25873	UBS AG – ZTE European Warrants Jan 2018 A	50,000,000	2017/07/27	2018/01/31	12.65
15769 #	J P Morgan SP BV – China Life European Warrants Jan 2018 B	150,000,000	2017/07/27	2018/01/02	12.75
15167 #	J P Morgan SP BV – HSBC European Warrants Nov 2017 A	100,000,000	2017/07/27	2017/11/02	16.30
26447	BOCI Asia Ltd. – HSBC European Warrants Feb 2018 A	200,000,000	2017/07/28	2018/02/20	67.00
26451	BOCI Asia Ltd. – HSBC European Put Warrants Jan 2018 D	100,000,000	2017/07/28	2018/01/31	29.70
26637	Credit Suisse AG – China Mobile European Put Wts Jan 2018 C	85,000,000	2017/07/28	2018/01/31	29.75
26597	Credit Suisse AG – Galaxy Ent European Put Wts Feb 2018 A	100,000,000	2017/07/28	2018/02/28	25.00
26627	Credit Suisse AG – NCI European Warrants Jan 2018 A	40,000,000	2017/07/28	2018/01/31	10.00
26658	Goldman Sachs SP (Asia) – AIA European Warrants Nov 2017 B	80,000,000	2017/07/28	2017/11/27	12.00
26646	Goldman Sachs SP (Asia) – AIA European Warrants Jan 2018 A	80,000,000	2017/07/28	2018/01/31	20.00
26642	Goldman Sachs SP (Asia) – CNOOC European Warrants Dec 2017 B	80,000,000	2017/07/28	2017/12/27	12.00
26640	Goldman Sachs SP (Asia) – HKEX European Warrants Oct 2017 C	30,000,000	2017/07/28	2017/10/30	10.08
26500	HK Bank – AAC Tech European Warrants Dec 2017 C	200,000,000	2017/07/28	2017/12/27	42.00
26502	HK Bank – AAC Tech European Warrants Dec 2017 D	200,000,000	2017/07/28	2017/12/20	56.00

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26520	HK Bank – AAC Tech European Warrants Jan 2018 C	200,000,000	2017/07/28	2018/01/31	52.00
26557	HK Bank – CSOP A50 ETF European Warrants Jan 2018 B	35,000,000	2017/07/28	2018/01/31	10.50
26528	HK Bank – Geely Auto European Warrants Jan 2018 E	200,000,000	2017/07/28	2018/01/31	70.00
26529	HK Bank – Geely Auto European Warrants Jan 2018 F	200,000,000	2017/07/28	2018/01/31	80.00
26461	HK Bank – HSCEI European Warrants Mar 2018 B	180,000,000	2017/07/28	2018/03/28	45.00
26533	HK Bank – HSI European Warrants Jan 2018 C	200,000,000	2017/07/28	2018/01/30	32.00
26564	Haitong Int'l Sec – China Cinda European Warrants Mar 2018 A	60,000,000	2017/07/28	2018/03/19	16.50
26565	Haitong Int'l Sec – China Cinda European Warrants May 2018 A	60,000,000	2017/07/28	2018/05/18	15.00
26465	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Dec 2017 A	13,000,000	2017/07/28	2017/12/04	10.28
26493	Macquarie Bank Ltd. – Yanzhou Coal European Wts Apr 2018 A	10,000,000	2017/07/28	2018/04/04	10.02
26571	SG Issuer – China Cinda European Warrants Feb 2018 A	40,000,000	2017/07/28	2018/02/05	10.00
26586	SG Issuer – Kingsoft European Warrants Jan 2018 A	67,000,000	2017/07/28	2018/01/04	10.05
26588	SG Issuer – Meitu European Warrants Feb 2018 A	50,000,000	2017/07/28	2018/02/05	12.50
26593	SG Issuer – ZTE European Warrants Feb 2018 B	50,000,000	2017/07/28	2018/02/15	12.50
28926 #	J P Morgan SP BV – HSBC European Warrants Dec 2017 A	100,000,000	2017/07/28	2017/12/04	23.60
26760	BOCI Asia Ltd. – Ping An European Warrants Jan 2018 B	100,000,000	2017/07/31	2018/01/31	30.50
26768	BOCI Asia Ltd. – Ping An European Put Warrants Jan 2018 C	100,000,000	2017/07/31	2018/01/24	17.30
26752	BOCI Asia Ltd. – Tencent Holdings European Wts Jan 2018 C	50,000,000	2017/07/31	2018/01/02	24.90
26729	BNP Paribas Issuance B.V. – AIA European Wts Apr 2018 A	40,000,000	2017/07/31	2018/04/04	10.40
26715	BNP Paribas Issuance B.V. – CAM CSI300 Euro Wts Apr 2018 A	40,000,000	2017/07/31	2018/04/04	10.40
26731	BNP Paribas Issuance B.V. – CITIC Sec Euro Wts Aug 2018 A	80,000,000	2017/07/31	2018/08/02	12.80
26721	BNP Paribas Issuance B.V. – CSOP A50 ETF Eu Wts Mar 2018 A	40,000,000	2017/07/31	2018/03/02	10.00
26724	BNP Paribas Issuance B.V. – HSI European Wts Jun 2018 C	100,000,000	2017/07/31	2018/06/28	25.00
26726	BNP Paribas Issuance B.V. – HSI Euro Put Wts Feb 2018 B	100,000,000	2017/07/31	2018/02/27	25.00
26776	BNP Paribas Issuance B.V. – Tencent Euro Wts May 2018 B	100,000,000	2017/07/31	2018/05/03	25.00
26782	BNP Paribas Issuance B.V. – Tencent Euro Wts Jan 2019 D	100,000,000	2017/07/31	2019/01/03	25.00
26826	Credit Suisse AG – China Huarong European Warrants Jan 2018 A	40,000,000	2017/07/31	2018/01/30	10.00
26839	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jan 2018 B	80,000,000	2017/07/31	2018/01/24	12.00
26841	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Apr 2018 A	80,000,000	2017/07/31	2018/04/26	12.00
26887	J P Morgan SP BV – CKI Holdings European Warrants Apr 2018 A	60,000,000	2017/07/31	2018/04/03	17.58
26888	J P Morgan SP BV – Chsouthcity European Warrants Jan 2018 A	65,000,000	2017/07/31	2018/01/22	10.47
26876	J P Morgan SP BV – PWR Assets European Warrants Mar 2018 A	60,000,000	2017/07/31	2018/03/01	17.40
26684	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Dec 2018 A	33,000,000	2017/07/31	2018/12/04	10.03
26741	Macquarie Bank Ltd. – Brilliance Chi Euro Wts Nov 2018 A	27,000,000	2017/07/31	2018/11/15	10.02
26708	Macquarie Bank Ltd. – BYD European Warrants Mar 2018 A	13,000,000	2017/07/31	2018/03/02	10.01
26699	Macquarie Bank Ltd. – China Life European Put Warrants Feb18D	10,000,000	2017/07/31	2018/02/02	10.05
26746	Macquarie Bank Ltd. – MGM China European Put Warrants Dec18A	40,000,000	2017/07/31	2018/12/04	10.00
26690	Macquarie Bank Ltd. – Ping An European Warrants Feb 2018 C	40,000,000	2017/07/31	2018/02/02	10.00
26691	Macquarie Bank Ltd. – Ping An European Warrants Feb 2019 A	40,000,000	2017/07/31	2019/02/04	10.00
26685	Macquarie Bank Ltd. – Swire Pacific A European Wts Jun18A	27,000,000	2017/07/31	2018/06/04	10.07
26740	Macquarie Bank Ltd. – Tencent European Put Wts Mar 2018 A	9,000,000	2017/07/31	2018/03/02	18.45
26792	SG Issuer – China Life European Warrants Jan 2018 D	15,000,000	2017/07/31	2018/01/04	10.50
26793	SG Issuer – Ping An European Warrants Feb 2018 E	100,000,000	2017/07/31	2018/02/05	15.00
26900	SG Issuer – Tencent European Warrants Apr 2018 E	200,000,000	2017/07/31	2018/04/06	50.00

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26796	SG Issuer – Tencent European Put Warrants Feb 2018 E	200,000,000	2017/07/31	2018/02/05	50.00
26870	UBS AG – China Cinda European Warrants Jan 2018 B	50,000,000	2017/07/31	2018/01/30	12.50
26842	UBS AG – CKI Holdings European Warrants Jan 2018 A	40,000,000	2017/07/31	2018/01/30	16.36
26851	UBS AG – Galaxy Ent European Warrants Jan 2018 A	70,000,000	2017/07/31	2018/01/30	18.27
26873	UBS AG – Ping An European Put Warrants Jan 2018 F	70,000,000	2017/07/31	2018/01/25	20.02
26875	UBS AG – Tencent Holdings European Warrants Jan 2018 B	300,000,000	2017/07/31	2018/01/30	76.50
13312 #	SG Issuer – HKEX European Warrants Dec 2017 B	110,000,000	2017/07/31	2017/12/05	10.23
26954	BOCI Asia Ltd. – AIA European Warrants Feb 2018 A	100,000,000	2017/08/01	2018/02/26	25.00
26982	BOCI Asia Ltd. – CM Bank European Warrants Aug 2018 A	100,000,000	2017/08/01	2018/08/13	29.80
26984	BOCI Asia Ltd. – Galaxy Ent European Put Warrants Feb 2018 A	80,000,000	2017/08/01	2018/02/20	22.16
26965	BOCI Asia Ltd. – Greatwall Motor European Warrants Apr 2018 A	100,000,000	2017/08/01	2018/04/26	15.00
26946	BOCI Asia Ltd. – SHK Ppt European Warrants Feb 2018 A	50,000,000	2017/08/01	2018/02/26	15.40
26943	BNP Paribas Issuance B.V. – BankComm Euro Wts Oct 2018 A	40,000,000	2017/08/01	2018/10/03	11.20
26918	BNP Paribas Issuance B.V. – Brilliance Chi Eu Wt Apr 2018A	40,000,000	2017/08/01	2018/04/04	10.80
26911	BNP Paribas Issuance B.V. – CM Bank Euro Warrants Jan 2019 A	40,000,000	2017/08/01	2019/01/03	10.40
26917	BNP Paribas Issuance B.V. – COSCO Sh Hold Euro Wt Apr 2018 A	30,000,000	2017/08/01	2018/04/04	16.80
27255	Credit Suisse AG – Ch LongYuan European Warrants Jan 2018 A	18,000,000	2017/08/01	2018/01/31	10.08
27265	Credit Suisse AG – Geely Auto European Warrants Jan 2018 D	100,000,000	2017/08/01	2018/01/31	55.00
27269	Credit Suisse AG – HSBC European Wts Jan 2018 E	100,000,000	2017/08/01	2018/01/31	25.00
27288	Credit Suisse AG – HSBC European Wts Mar 2018 A	100,000,000	2017/08/01	2018/03/29	25.00
27295	Credit Suisse AG – HSBC European Put Warrants Jan 2018 F	140,000,000	2017/08/01	2018/01/31	98.00
27250	Credit Suisse AG – Nexteer European Warrants Feb 2018 A	40,000,000	2017/08/01	2018/02/28	10.00
27158	Credit Suisse AG – Shanghai Ind H European Warrants Apr 18 A	40,000,000	2017/08/01	2018/04/30	10.00
27147	Credit Suisse AG – Shenzhen Int'l European Wts Sep 2018 A	40,000,000	2017/08/01	2018/09/28	10.00
27303	Credit Suisse AG – Tencent Holdings European Wts Jan 2018 G	150,000,000	2017/08/01	2018/01/31	37.50
27237	Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2017 C	30,000,000	2017/08/01	2017/12/01	12.15
27395	Haitong Int'l Sec – AAC Tech European Warrants Feb 2018 B	150,000,000	2017/08/01	2018/02/27	22.50
27399	Haitong Int'l Sec – AAC Tech European Warrants Jul 2018 A	150,000,000	2017/08/01	2018/07/03	37.50
27323	Haitong Int'l Sec – ASM Pacific European Warrants Dec 2017 A	100,000,000	2017/08/01	2017/12/21	17.60
27377	Haitong Int'l Sec – BBMG European Warrants Feb 2018 A	30,000,000	2017/08/01	2018/02/01	15.30
27371	Haitong Int'l Sec – COSCO Sh Hold European Warrants Feb 18 A	25,000,000	2017/08/01	2018/02/02	17.25
27367	Haitong Int'l Sec – CSPC Pharma European Warrants Feb 2018 A	80,000,000	2017/08/01	2018/02/08	20.00
27304	Haitong Int'l Sec – Geely Auto European Warrants Feb 2018 A	150,000,000	2017/08/01	2018/02/28	76.50
27322	Haitong Int'l Sec – Geely Auto European Warrants Feb 2018 B	100,000,000	2017/08/01	2018/02/08	39.50
27366	Haitong Int'l Sec – Lenovo European Warrants Nov 2017 A	100,000,000	2017/08/01	2017/11/30	16.00
27340	Haitong Int'l Sec – ZTE European Warrants Feb 2018 A	50,000,000	2017/08/01	2018/02/01	12.75
27416	J P Morgan SP BV – BOCL European Put Wts Feb 2018 A	100,000,000	2017/08/01	2018/02/09	15.30
27431	J P Morgan SP BV – Greatwall Motor European Wts Apr 2018 A	100,000,000	2017/08/01	2018/04/27	15.30
27405	J P Morgan SP BV – HSBC European Warrants Jan 2018 C	100,000,000	2017/08/01	2018/01/02	15.10
27401	J P Morgan SP BV – Tencent European Warrants Feb 2018 B	200,000,000	2017/08/01	2018/02/01	50.00
27000	Macquarie Bank Ltd. – CM Bank European Warrants Jul 2018 A	40,000,000	2017/08/01	2018/07/04	10.00
26999	Macquarie Bank Ltd. – KECL European Warrants Mar 2018 A	19,400,000	2017/08/01	2018/03/02	10.05
27003	SG Issuer – AIA European Warrants Dec 2017 C	80,000,000	2017/08/01	2017/12/05	12.00
27048	SG Issuer – China Life European Put Warrants Feb 2018 B	100,000,000	2017/08/01	2018/02/05	17.00

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27063	SG Issuer – CP&CC European Put Warrants Feb 2018 B	40,000,000	2017/08/01	2018/02/05	10.80
27079	SG Issuer – ChiUnicom European Warrants Feb 2018 A	10,000,000	2017/08/01	2018/02/05	10.00
27087	SG Issuer – Galaxy Ent European Put Warrants Feb 2018 B	60,000,000	2017/08/01	2018/02/05	21.30
27105	SG Issuer – HSBC European Warrants Jan 2018 C	100,000,000	2017/08/01	2018/01/04	15.00
27113	SG Issuer – HSBC European Warrants Feb 2018 C	100,000,000	2017/08/01	2018/02/05	15.00
27119	SG Issuer – MTRC European Warrants Apr 2018 A	40,000,000	2017/08/01	2018/04/06	10.00
27124	SG Issuer – Sands China European Warrants Apr 2018 A	60,000,000	2017/08/01	2018/04/06	15.00
27146	SG Issuer – SHK P European Warrants Feb 2018 A	20,000,000	2017/08/01	2018/02/05	11.00
27215	UBS AG – CM Bank European Warrants Mar 2018 A	50,000,000	2017/08/01	2018/03/19	12.60
27220	UBS AG – China Mobile European Warrants Nov 2017 D	100,000,000	2017/08/01	2017/11/08	18.20
27161	UBS AG – China Mobile European Warrants Feb 2018 A	100,000,000	2017/08/01	2018/02/01	29.10
27224	UBS AG – HSBC European Warrants Jan 2018 D	100,000,000	2017/08/01	2018/01/31	26.00
27205	UBS AG – PetroChina European Warrants Feb 2018 A	40,000,000	2017/08/01	2018/02/01	10.32
27226	UBS AG – PWR Assets European Warrants Feb 2018 B	25,000,000	2017/08/01	2018/02/01	11.55
13527 #	Credit Suisse AG – HKEX European Warrants Oct 2017 A	200,000,000	2017/08/01	2017/10/04	12.20
27446	BOCI Asia Ltd. – HSBC European Warrants Jan 2018 E	200,000,000	2017/08/02	2018/01/02	32.80
27585	Credit Suisse AG – China Cinda European Warrants Feb 2018 A	40,000,000	2017/08/02	2018/02/01	10.00
27580	Credit Suisse AG – ZTE European Warrants Mar 2018 A	50,000,000	2017/08/02	2018/03/15	12.50
27610	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2018 C	80,000,000	2017/08/02	2018/01/02	12.08
27507	Haitong Int'l Sec – China Coal European Warrants Nov 2017 A	50,000,000	2017/08/02	2017/11/28	10.50
27511	Haitong Int'l Sec – Ch South City European Warrants Jun 18 A	50,000,000	2017/08/02	2018/06/29	17.50
27482	Haitong Int'l Sec – Ch Unicom European Warrants Feb 2018 A	25,000,000	2017/08/02	2018/02/05	14.00
27452	Haitong Int'l Sec – HSBC European Warrants Jan 2018 B	80,000,000	2017/08/02	2018/01/02	14.00
27462	Haitong Int'l Sec – HSBC European Warrants Jan 2018 C	80,000,000	2017/08/02	2018/01/24	16.64
27461	Haitong Int'l Sec – Ping An European Warrants Jan 2018 B	80,000,000	2017/08/02	2018/01/24	14.88
27547	Macquarie Bank Ltd. – BYD European Put Warrants Jul 2018 A	16,000,000	2017/08/02	2018/07/04	10.02
27548	Macquarie Bank Ltd. – CPIC European Put Warrants Jul 2018 A	33,500,000	2017/08/02	2018/07/04	10.12
27521	Macquarie Bank Ltd. – Galaxy Ent European Wts Feb 2018 B	5,000,000	2017/08/02	2018/02/02	10.04
27586	Macquarie Bank Ltd. – China Mengniu European Wts Nov 2018 A	40,000,000	2017/08/02	2018/11/02	10.00
27523	Macquarie Bank Ltd. – Tencent European Warrants Mar 2018 B	32,000,000	2017/08/02	2018/03/02	10.02
27587	Macquarie Bank Ltd. – Zoomlion European Warrants Sep 2018 A	13,000,000	2017/08/02	2018/09/04	10.04
27568	SG Issuer – CNOOC European Warrants Jan 2018 B	30,000,000	2017/08/02	2018/01/04	10.05
27563	SG Issuer – China Overseas European Warrants Feb 2018 A	9,000,000	2017/08/02	2018/02/05	10.08
27565	SG Issuer – China Res Land European Warrants Feb 2018 A	60,000,000	2017/08/02	2018/02/05	15.00
27479	SG Issuer – Fosun Intl European Warrants Dec 2018 A	60,000,000	2017/08/02	2018/12/05	15.00
27573	SG Issuer – Henganintl Eu Wt Feb 2018 A	22,000,000	2017/08/02	2018/02/05	10.01
27464	SG Issuer – Huaneng Power European Warrants Feb 2018 A	25,000,000	2017/08/02	2018/02/05	10.00
27574	SG Issuer – SMIC European Warrants Apr 2018 A	80,000,000	2017/08/02	2018/04/16	13.60
27578	SG Issuer – Sunny Optical European Warrants Jun 2018 A	80,000,000	2017/08/02	2018/06/29	16.00
27469	SG Issuer – Tencent European Warrants Dec 2017 J	20,000,000	2017/08/02	2017/12/05	15.20
27577	SG Issuer – Wynn European Warrants Mar 2018 A	70,000,000	2017/08/02	2018/03/05	10.50
27595	UBS AG – Anhui Conch European Warrants Feb 2018 A	70,000,000	2017/08/02	2018/02/21	10.50
27603	UBS AG – CHALCO European Warrants Jan 2018 A	35,000,000	2017/08/02	2018/01/26	10.85
27605	UBS AG – CC Bank European Warrants Dec 2017 B	100,000,000	2017/08/02	2017/12/04	23.50

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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27631	BNP Paribas Issuance B.V. – AIA European Wts Jul 2018 B	40,000,000	2017/08/03	2018/07/04	10.00
27653	BNP Paribas Issuance B.V. – Geely Auto Euro Wts Aug 2018 A	70,000,000	2017/08/03	2018/08/02	18.90
27637	BNP Paribas Issuance B.V. – HKEX European Wts Jul 2018 A	80,000,000	2017/08/03	2018/07/04	20.00
27621	BNP Paribas Issuance B.V. – HSBC European Wts May 2018 A	40,000,000	2017/08/03	2018/05/03	10.00
27630	BNP Paribas Issuance B.V. – Tencent Euro Wts Jul 2018 C	80,000,000	2017/08/03	2018/07/04	20.00
27794	Credit Suisse AG – ASM Pacific European Warrants Apr 2018 A	100,000,000	2017/08/03	2018/04/30	25.00
27792	Credit Suisse AG – Country Garden European Warrants Feb 18 A	15,000,000	2017/08/03	2018/02/02	10.05
27831	Credit Suisse AG – Ch Overseas European Warrants Feb 2018 A	50,000,000	2017/08/03	2018/02/28	12.50
27798	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2018 D	150,000,000	2017/08/03	2018/02/07	37.50
27857	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2018 A	80,000,000	2017/08/03	2018/02/05	20.00
27872	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Dec 2017 B	80,000,000	2017/08/03	2017/12/22	22.96
27856	Goldman Sachs SP (Asia) – HKEX European Warrants May 2018 B	120,000,000	2017/08/03	2018/05/31	30.00
27881	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 E	150,000,000	2017/08/03	2018/02/05	37.50
27892	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 F	80,000,000	2017/08/03	2018/02/28	20.00
27651	HK Bank – HSCEI European Warrants Jun 2018 A	180,000,000	2017/08/03	2018/06/28	27.00
27652	HK Bank – HSCEI European Put Warrants Jun 2018 B	180,000,000	2017/08/03	2018/06/28	27.00
27639	HK Bank – HSI European Warrants Feb 2018 B	180,000,000	2017/08/03	2018/02/27	27.00
27642	HK Bank – HSI European Put Warrants Jan 2018 D	180,000,000	2017/08/03	2018/01/30	27.00
27646	HK Bank – HSI European Put Warrants Feb 2018 C	180,000,000	2017/08/03	2018/02/27	27.00
27786	J P Morgan SP BV – AIA European Warrants Apr 2018 A	100,000,000	2017/08/03	2018/04/04	25.50
27776	J P Morgan SP BV – SUNAC European Warrants Feb 2018 A	80,000,000	2017/08/03	2018/02/05	20.72
27768	J P Morgan SP BV – Tencent European Warrants Feb 2018 C	200,000,000	2017/08/03	2018/02/28	50.20
27620	Macquarie Bank Ltd. – CC Bank European Warrants Mar 2018 B	40,000,000	2017/08/03	2018/03/02	10.00
27728	Macquarie Bank Ltd. – China Mobile European Wts Apr 2018 B	35,000,000	2017/08/03	2018/04/04	10.22
27731	Macquarie Bank Ltd. – China Mobile European Wts Oct 2018 A	19,000,000	2017/08/03	2018/10/03	10.39
27767	Macquarie Bank Ltd. – China Overseas European Wts Oct 2018 A	40,000,000	2017/08/03	2018/10/03	10.00
27619	Macquarie Bank Ltd. – COSCO Sh Hold European Wts May 2018 A	12,000,000	2017/08/03	2018/05/03	10.06
27751	Macquarie Bank Ltd. – HSBC European Warrants Mar 2018 B	32,000,000	2017/08/03	2018/03/02	10.24
27733	Macquarie Bank Ltd. – HSBC European Warrants Apr 2018 C	40,000,000	2017/08/03	2018/04/04	10.00
27654	SG Issuer – AIA European Warrants Feb 2018 A	80,000,000	2017/08/03	2018/02/05	14.40
27656	SG Issuer – AIA European Put Warrants Feb 2018 B	60,000,000	2017/08/03	2018/02/05	15.00
27680	SG Issuer – Country Garden European Warrants Feb 2019 A	70,000,000	2017/08/03	2019/02/08	17.50
27676	SG Issuer – China Overseas European Warrants Jun 2018 A	60,000,000	2017/08/03	2018/06/05	15.00
27675	SG Issuer – China Overseas European Put Warrants Mar 2018 B	50,000,000	2017/08/03	2018/03/05	12.50
27885	SG Issuer – HKEX European Warrants Apr 2018 C	200,000,000	2017/08/03	2018/04/06	50.00
27887	SG Issuer – HKEX European Warrants Jul 2018 A	200,000,000	2017/08/03	2018/07/05	50.00
27704	SG Issuer – HSI European Put Warrants Jan 2018 E	150,000,000	2017/08/03	2018/01/30	22.50
27715	SG Issuer – HSI European Put Warrants Feb 2018 G	150,000,000	2017/08/03	2018/02/27	37.50
27725	SG Issuer – HSI European Put Warrants Feb 2018 H	150,000,000	2017/08/03	2018/02/27	23.25
27726	SG Issuer – Link REIT European Warrants Apr 2018 A	25,000,000	2017/08/03	2018/04/06	10.00
27832	UBS AG – Agile Ppt European Warrants Feb 2018 A	15,000,000	2017/08/03	2018/02/02	10.37
27851	UBS AG – China Overseas European Warrants Mar 2018 A	50,000,000	2017/08/03	2018/03/15	12.50
27850	UBS AG – HKEX European Warrants Jun 2018 A	300,000,000	2017/08/03	2018/06/15	48.30
10470	UBS AG – KOSPI 200 European Warrants Dec 2018 A	40,000,000	2017/08/03	2018/12/13	10.00

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10471	UBS AG – KOSPI 200 European Put Warrants Dec 2018 B	40,000,000	2017/08/03	2018/12/13	10.00
27842	UBS AG – SPDR Gold Trust European Warrants Nov 2017 A	30,000,000	2017/08/03	2017/11/28	11.37
27838	UBS AG – Tencent Holdings European Put Warrants Jan 2018 C	200,000,000	2017/08/03	2018/01/26	37.00
27935	BOCI Asia Ltd. – Agricultural Bk European Wts Nov 2017 A	100,000,000	2017/08/04	2017/11/27	15.10
27943	BOCI Asia Ltd. – Agricultural Bk European Wts Jan 2018 A	100,000,000	2017/08/04	2018/01/24	15.00
27913	BOCI Asia Ltd. – AIA European Warrants Jan 2018 A	100,000,000	2017/08/04	2018/01/24	16.40
27927	BOCI Asia Ltd. – Chi Res Land European Warrants Apr 2018 A	70,000,000	2017/08/04	2018/04/09	17.85
27900	BOCI Asia Ltd. – PetroChina European Warrants Jan 2018 A	100,000,000	2017/08/04	2018/01/29	20.80
27907	BOCI Asia Ltd. – PetroChina European Warrants Feb 2018 A	100,000,000	2017/08/04	2018/02/26	15.00
27932	BNP Paribas Issuance B.V. – CKI Holdings Euro Wts Apr 2018 A	40,000,000	2017/08/04	2018/04/04	18.00
27982	Credit Suisse AG – AIA European Warrants Mar 2018 A	70,000,000	2017/08/04	2018/03/29	17.50
28023	Credit Suisse AG – CKH Holdings European Warrants Feb 2018 A	50,000,000	2017/08/04	2018/02/05	12.50
28013	Credit Suisse AG – CKI Holdings European Warrants Feb 2018 A	30,000,000	2017/08/04	2018/02/28	10.20
27998	Credit Suisse AG – HKEX European Warrants Nov 2018 A	150,000,000	2017/08/04	2018/11/30	22.50
28018	Credit Suisse AG – ICBC European Warrants Feb 2018 A	50,000,000	2017/08/04	2018/02/28	12.50
28022	Credit Suisse AG – PetroChina European Warrants Feb 2018 A	60,000,000	2017/08/04	2018/02/28	10.02
28015	Credit Suisse AG – Sunny Optical European Warrants Mar 2018 A	100,000,000	2017/08/04	2018/03/22	19.00
27999	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 E	150,000,000	2017/08/04	2018/02/28	37.50
28027	Bank of East Asia – AAC Tech European Warrants Feb 2019 A	40,000,000	2017/08/04	2019/02/18	10.20
28026	Bank of East Asia – PetroChina European Warrants May 2018 A	40,000,000	2017/08/04	2018/05/07	10.00
28029	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2018 B	80,000,000	2017/08/04	2018/02/05	34.48
28039	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2018 B	80,000,000	2017/08/04	2018/03/26	12.00
28037	Goldman Sachs SP (Asia) – A50 China European Wts Dec 2017 D	80,000,000	2017/08/04	2017/12/20	26.00
27896	Goldman Sachs SP (Asia) – HKEX European Warrants Nov 2017 D	100,000,000	2017/08/04	2017/11/28	15.00
28064	J P Morgan SP BV – Ch Molybdenum European Warrants Jan 2018 A	60,000,000	2017/08/04	2018/01/31	19.68
28088	J P Morgan SP BV – Ch Overseas European Put Wts Jul 2018 A	60,000,000	2017/08/04	2018/07/03	15.30
28104	J P Morgan SP BV – Fosun Intl European Warrants Apr 2018 A	70,000,000	2017/08/04	2018/04/03	10.71
28107	J P Morgan SP BV – Link REIT European Warrants Mar 2018 A	60,000,000	2017/08/04	2018/03/02	15.24
28091	J P Morgan SP BV – MGM China European Warrants Oct 2018 A	60,000,000	2017/08/04	2018/10/31	11.64
27899	Macquarie Bank Ltd. – AIA European Warrants Mar 2018 B	40,000,000	2017/08/04	2018/03/02	10.04
27897	Macquarie Bank Ltd. – CNOOC European Warrants Jan 2018 B	27,000,000	2017/08/04	2018/01/02	10.07
27946	SG Issuer – AAC Tech European Warrants Jul 2018 B	80,000,000	2017/08/04	2018/07/05	20.80
27947	SG Issuer – CKI Holdings European Warrants Feb 2018 A	25,000,000	2017/08/04	2018/02/05	10.00
27948	SG Issuer – HSI European Warrants Mar 2018 G	150,000,000	2017/08/04	2018/03/28	37.50
28143	UBS AG – AAC Tech European Warrants Feb 2018 C	17,000,000	2017/08/04	2018/02/14	10.34
28130	UBS AG – AAC Tech European Warrants Mar 2018 C	100,000,000	2017/08/04	2018/03/22	16.10
28122	UBS AG – AAC Tech European Warrants Apr 2018 A	100,000,000	2017/08/04	2018/04/23	15.10
28132	UBS AG – AAC Tech European Warrants Apr 2018 B	100,000,000	2017/08/04	2018/04/09	33.30
28133	UBS AG – AAC Tech European Warrants Apr 2018 C	100,000,000	2017/08/04	2018/04/16	36.70
28134	UBS AG – AAC Tech European Put Warrants Mar 2018 D	100,000,000	2017/08/04	2018/03/12	28.40
28146	UBS AG – Chi Res Land European Warrants Mar 2018 B	50,000,000	2017/08/04	2018/03/19	12.50
28142	UBS AG – HSI European Warrants Feb 2018 C	200,000,000	2017/08/04	2018/02/27	30.20
28138	UBS AG – Zhaojin Mining European Warrants Sep 2018 A	70,000,000	2017/08/04	2018/09/20	10.50
17908*	UBS AG – HSBC European Warrants Jan 2018 B	100,000,000	2017/08/04	2018/01/09	18.50



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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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29008 #	Credit Suisse AG – HSBC European Wts Nov 2017 A	60,000,000	2017/08/04	2017/11/30	14.10
28331	BNP Paribas Issuance B.V. – BYD Electronic Euro Wts Apr18A	40,000,000	2017/08/07	2018/04/04	12.40
28328	Credit Suisse AG – Tencent Holdings European Wts Nov 2017 H	50,000,000	2017/08/07	2017/11/30	15.00
28395	Goldman Sachs SP (Asia) – AIA European Warrants Feb 2018 A	80,000,000	2017/08/07	2018/02/28	20.00
28397	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2018 A	80,000,000	2017/08/07	2018/02/07	20.00
28266	HK Bank – CC Bank European Warrants Jan 2018 A	50,000,000	2017/08/07	2018/01/26	11.50
28256	HK Bank – Tencent European Warrants Nov 2017 I	20,000,000	2017/08/07	2017/11/27	19.80
28265	HK Bank – Tencent European Warrants May 2018 A	150,000,000	2017/08/07	2018/05/24	27.00
28313	Haitong Int'l Sec – Country Garden European Warrants Nov 18A	100,000,000	2017/08/07	2018/11/30	25.00
28157	Haitong Int'l Sec – Ch Overseas European Warrants Dec 2017 B	80,000,000	2017/08/07	2017/12/22	12.80
28202	Haitong Int'l Sec – Ch Overseas European Warrants Feb 2018 A	100,000,000	2017/08/07	2018/02/28	25.00
28183	Haitong Int'l Sec – Chi Res Land European Warrants Jul 2018A	80,000,000	2017/08/07	2018/07/26	20.00
28241	Haitong Int'l Sec – China Shenhua European Warrants Jan 18A	80,000,000	2017/08/07	2018/01/22	16.80
28185	Haitong Int'l Sec – R&F Ppt European Warrants Apr 2018 A	100,000,000	2017/08/07	2018/04/26	20.00
28206	Haitong Int'l Sec – Henderson Land Dev European Wts Feb18A	40,000,000	2017/08/07	2018/02/26	10.00
28205	Haitong Int'l Sec – IGG European Warrants Jan 2018 A	50,000,000	2017/08/07	2018/01/03	15.00
28237	Haitong Int'l Sec – NCI European Warrants Feb 2018 A	40,000,000	2017/08/07	2018/02/08	11.20
28201	Haitong Int'l Sec – SHK Ppt European Warrants Feb 2018 A	40,000,000	2017/08/07	2018/02/09	12.00
28227	Haitong Int'l Sec – Swire Pacific A European Warrants Mar18A	40,000,000	2017/08/07	2018/03/26	12.80
28155	Haitong Int'l Sec – Tencent European Warrants Nov 2017 E	30,000,000	2017/08/07	2017/11/27	16.50
28327	Haitong Int'l Sec – Tencent European Put Warrants Jan 2018 B	100,000,000	2017/08/07	2018/01/26	17.00
28382	J P Morgan SP BV – ASM Pacific European Warrants Oct 2018 A	60,000,000	2017/08/07	2018/10/09	12.90
28377	J P Morgan SP BV – BAIC Motor European Warrants Dec 2018 A	70,000,000	2017/08/07	2018/12/28	10.57
28394	J P Morgan SP BV – Brilliance Chi European Wts Feb 2018 A	60,000,000	2017/08/07	2018/02/28	15.06
28391	J P Morgan SP BV – CSPC Pharma European Warrants Jun 2018 A	60,000,000	2017/08/07	2018/06/29	15.36
28334	J P Morgan SP BV – HSBC European Warrants Jan 2018 D	100,000,000	2017/08/07	2018/01/15	15.20
28369	J P Morgan SP BV – HSI European Put Warrants Mar 2018 E	300,000,000	2017/08/07	2018/03/28	75.90
28372	J P Morgan SP BV – Tencent European Warrants Dec 2017 K	100,000,000	2017/08/07	2017/12/29	49.00
28340	J P Morgan SP BV – Tencent European Warrants Jun 2018 C	200,000,000	2017/08/07	2018/06/29	50.60
28253	Macquarie Bank Ltd. – BYD Electronic European Wts Jul18A	13,200,000	2017/08/07	2018/07/16	10.01
28267	Macquarie Bank Ltd. – China Unicom European Put Wts Apr18A	13,000,000	2017/08/07	2018/04/04	10.19
28148	Macquarie Bank Ltd. – HSBC European Warrants Dec 2018 A	40,000,000	2017/08/07	2018/12/31	10.24
28275	SG Issuer – HSBC European Put Warrants Feb 2018 D	100,000,000	2017/08/07	2018/02/05	40.00
28270	SG Issuer – HSI European Warrants Feb 2018 I	150,000,000	2017/08/07	2018/02/27	22.50
28306	UBS AG – AIA European Put Warrants Jan 2018 B	70,000,000	2017/08/07	2018/01/25	11.34
28303	UBS AG – HSBC European Warrants Mar 2018 A	100,000,000	2017/08/07	2018/03/19	25.00
28304	UBS AG – HSBC European Put Warrants Dec 2017 B	100,000,000	2017/08/07	2017/12/29	19.50
28289	UBS AG – Tencent Holdings European Warrants Apr 2018 C	300,000,000	2017/08/07	2018/04/09	75.60
28302	UBS AG – Tencent Holdings European Put Warrants Feb 2018 C	200,000,000	2017/08/07	2018/02/06	50.00
28415	BOCI Asia Ltd. – GAC European Warrants Feb 2018 A	50,000,000	2017/08/08	2018/02/14	10.80
28414	BOCI Asia Ltd. – NCI European Warrants Feb 2018 A	50,000,000	2017/08/08	2018/02/05	17.50
28425	BOCI Asia Ltd. – Tencent Holdings European Wts Nov 2017 F	30,000,000	2017/08/08	2017/11/27	12.72
28416	BOCI Asia Ltd. – Tencent Holdings European Wts Jan 2018 D	200,000,000	2017/08/08	2018/01/24	46.40
28423	BOCI Asia Ltd. – Tencent Holdings European Wts May 2018 A	200,000,000	2017/08/08	2018/05/31	37.00

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28470	BNP Paribas Issuance B.V. – AIA European Wts Oct 2018 B	40,000,000	2017/08/08	2018/10/03	10.00
28467	BNP Paribas Issuance B.V. – HKEX European Wts Oct 2018 B	80,000,000	2017/08/08	2018/10/03	20.00
28450	BNP Paribas Issuance B.V. – HKEX European Wts Dec 2018 A	80,000,000	2017/08/08	2018/12/04	12.00
28436	BNP Paribas Issuance B.V. – HSBC European Wts Mar 2018 B	40,000,000	2017/08/08	2018/03/02	14.40
28468	BNP Paribas Issuance B.V. – Ping An European Wt Jul 2018 C	40,000,000	2017/08/08	2018/07/04	10.00
28443	BNP Paribas Issuance B.V. – Tencent Euro Wts Oct 2018 B	80,000,000	2017/08/08	2018/10/03	20.00
28604	Credit Suisse AG – AIA European Put Warrants Feb 2018 A	50,000,000	2017/08/08	2018/02/28	12.50
28606	Credit Suisse AG – BOC HK European Warrants Apr 2018 A	60,000,000	2017/08/08	2018/04/30	15.00
28607	Credit Suisse AG – BOC HK European Put Warrants Feb 2018 B	40,000,000	2017/08/08	2018/02/28	10.00
28591	Credit Suisse AG – Brilliance Chi European Wts Feb 2018 B	40,000,000	2017/08/08	2018/02/07	10.00
28592	Credit Suisse AG – BYD Electronic European Warrants Feb 18 A	40,000,000	2017/08/08	2018/02/08	10.00
28617	Credit Suisse AG – Ch Overseas European Put Warrants Feb 18B	40,000,000	2017/08/08	2018/02/28	10.00
28593	Credit Suisse AG – Tencent Holdings European Wts Mar 2018 C	150,000,000	2017/08/08	2018/03/29	37.50
28594	Credit Suisse AG – Tencent Holdings Euro Put Wts Feb 2018 F	150,000,000	2017/08/08	2018/02/28	37.50
28582	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2018 A	80,000,000	2017/08/08	2018/02/08	20.00
28576	Goldman Sachs SP (Asia) – HKEX European Warrants Jan 2018 B	30,000,000	2017/08/08	2018/01/24	17.67
28578	Goldman Sachs SP (Asia) – HKEX European Warrants Jun 2018 A	150,000,000	2017/08/08	2018/06/28	37.50
28583	Goldman Sachs SP (Asia) – HSI European Warrants Dec 2017 H	150,000,000	2017/08/08	2017/12/28	22.50
28585	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2018 B	150,000,000	2017/08/08	2018/02/27	37.50
28559	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2017 L	30,000,000	2017/08/08	2017/11/27	24.45
28562	Goldman Sachs SP (Asia) – Tencent European Wt Apr 2018 B	150,000,000	2017/08/08	2018/04/03	37.50
28575	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2018 G	150,000,000	2017/08/08	2018/02/08	37.50
28650	Haitong Int'l Sec – AIA European Warrants Dec 2017 B	65,000,000	2017/08/08	2017/12/27	10.01
28648	Haitong Int'l Sec – BYD European Warrants Mar 2018 B	40,000,000	2017/08/08	2018/03/28	19.48
28636	Haitong Int'l Sec – CC Bank European Warrants Feb 2018 A	100,000,000	2017/08/08	2018/02/28	51.00
28638	Haitong Int'l Sec – CC Bank European Warrants Mar 2018 A	100,000,000	2017/08/08	2018/03/29	25.00
28655	Haitong Int'l Sec – China Coal European Warrants Feb 2018 A	50,000,000	2017/08/08	2018/02/28	12.50
28640	Haitong Int'l Sec – CITIC Bank European Warrants Feb 2018 A	50,000,000	2017/08/08	2018/02/08	12.50
28641	Haitong Int'l Sec – CITIC Bank European Warrants May 2018 A	50,000,000	2017/08/08	2018/05/25	12.50
28631	Haitong Int'l Sec – CNOOC European Warrants Mar 2018 A	50,000,000	2017/08/08	2018/03/28	18.00
28654	Haitong Int'l Sec – ICBC European Warrants Feb 2018 A	100,000,000	2017/08/08	2018/02/12	26.10
28624	Haitong Int'l Sec – PetroChina European Warrants Feb 2018 A	50,000,000	2017/08/08	2018/02/08	13.50
28627	Haitong Int'l Sec – PetroChina European Warrants Feb 2018 B	60,000,000	2017/08/08	2018/02/26	11.40
28554	J P Morgan SP BV – BYD Electronic European Warrants Feb 18 A	60,000,000	2017/08/08	2018/02/07	24.78
28498	J P Morgan SP BV – China Taiping European Wts Jan 2018 A	100,000,000	2017/08/08	2018/01/22	20.10
28509	J P Morgan SP BV – HKEX European Warrants Jun 2018 B	200,000,000	2017/08/08	2018/06/04	50.20
28499	J P Morgan SP BV – HSBC European Put Warrants Feb 2018 C	100,000,000	2017/08/08	2018/02/28	33.00
28503	J P Morgan SP BV – HSI European Warrants Dec 2017 G	300,000,000	2017/08/08	2017/12/28	45.60
28501	J P Morgan SP BV – HSI European Warrants Apr 2018 B	300,000,000	2017/08/08	2018/04/27	76.20
28497	J P Morgan SP BV – Ping An European Warrants Jan 2018 D	100,000,000	2017/08/08	2018/01/31	15.10
28555	J P Morgan SP BV – Swire Pacific A European Warrants Mar 18A	40,000,000	2017/08/08	2018/03/05	10.12
28512	J P Morgan SP BV – Tencent European Warrants Apr 2018 D	200,000,000	2017/08/08	2018/04/03	50.40
28411	Macquarie Bank Ltd. – AIA European Put Warrants Mar 2018 C	35,000,000	2017/08/08	2018/03/02	10.05
28431	Macquarie Bank Ltd. – CKH European Warrants Apr 2018 B	29,000,000	2017/08/08	2018/04/04	10.06

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		(units)	Listing date	Expiry	
28496	Macquarie Bank Ltd. – NCI European Warrants Apr 2018 A	28,000,000	2017/08/08	2018/04/04	10.47
28428	Macquarie Bank Ltd. – Tencent European Warrants Mar 2018 C	13,000,000	2017/08/08	2018/03/02	10.01
28475	SG Issuer – BOCHK European Warrants Apr 2018 A	40,000,000	2017/08/08	2018/04/06	10.00
28471	SG Issuer – BOCL European Warrants Mar 2018 A	80,000,000	2017/08/08	2018/03/05	20.00
28482	SG Issuer – CKH European Warrants Jan 2018 A	35,000,000	2017/08/08	2018/01/04	10.15
28479	SG Issuer – CKP European Warrants Jan 2018 B	30,000,000	2017/08/08	2018/01/04	10.50
28484	SG Issuer – HKEX European Warrants Oct 2018 A	200,000,000	2017/08/08	2018/10/04	50.00
28485	SG Issuer – HSBC European Warrants Feb 2018 E	100,000,000	2017/08/08	2018/02/05	19.00
28486	SG Issuer – Tencent European Warrants Mar 2018 E	200,000,000	2017/08/08	2018/03/05	50.00
28488	SG Issuer – Tencent European Warrants Jun 2018 A	200,000,000	2017/08/08	2018/06/05	39.00
28540	UBS AG – China South Air European Warrants Dec 2018 A	70,000,000	2017/08/08	2018/12/11	10.57
28539	UBS AG – Dongfeng Motor European Warrants Dec 2018 A	70,000,000	2017/08/08	2018/12/20	11.76
28545	UBS AG – R&F Ppt European Warrants Apr 2018 A	40,000,000	2017/08/08	2018/04/25	10.00
28524	UBS AG – HKEX European Warrants Nov 2018 A	300,000,000	2017/08/08	2018/11/28	48.60
28523	UBS AG – HKEX European Put Warrants Jan 2018 B	200,000,000	2017/08/08	2018/01/29	30.40
28532	UBS AG – HSBC European Put Warrants Feb 2018 A	100,000,000	2017/08/08	2018/02/07	38.40
28522	UBS AG – HSCEI European Put Warrants Mar 2018 A	100,000,000	2017/08/08	2018/03/28	25.00
28514	UBS AG – HSCEI European Put Warrants Jun 2018 A	100,000,000	2017/08/08	2018/06/28	16.70
28400	UBS AG – HSI European Put Warrants Jan 2018 C	200,000,000	2017/08/08	2018/01/30	30.20
28404	UBS AG – HSI European Put Warrants Feb 2018 D	200,000,000	2017/08/08	2018/02/27	30.20
28537	UBS AG – PetroChina European Warrants Feb 2018 B	70,000,000	2017/08/08	2018/02/23	10.78
28527	UBS AG – Ping An European Warrants Mar 2018 A	100,000,000	2017/08/08	2018/03/05	34.50
28551	UBS AG – Stanchart European Warrants Feb 2018 A	20,000,000	2017/08/08	2018/02/12	10.32
28667	BOCI Asia Ltd. – HKEX European Warrants Sep 2018 A	200,000,000	2017/08/09	2018/09/24	50.00
28661	BOCI Asia Ltd. – HKEX European Warrants Nov 2018 A	200,000,000	2017/08/09	2018/11/28	32.00
28658	BOCI Asia Ltd. – Ping An European Warrants Jan 2018 D	200,000,000	2017/08/09	2018/01/29	33.40
28676	BOCI Asia Ltd. – Sunny Optical European Warrants Jun 2018 A	100,000,000	2017/08/09	2018/06/19	18.90
28679	BNP Paribas Issuance B.V. – Hang Seng Bank Eu Wt Apr 2018 A	30,000,000	2017/08/09	2018/04/04	18.00
28681	BNP Paribas Issuance B.V. – ZTE European Wts May 2018 A	40,000,000	2017/08/09	2018/05/03	10.00
28827	Credit Suisse AG – CC Bank European Put Warrants Feb 2018 B	50,000,000	2017/08/09	2018/02/28	18.00
28823	Credit Suisse AG – China Taiping European Warrants Feb 2018 B	40,000,000	2017/08/09	2018/02/08	10.00
28714	Credit Suisse AG – HKEX European Warrants Jun 2018 B	150,000,000	2017/08/09	2018/06/29	37.50
28702	Credit Suisse AG – HKEX European Put Warrants Feb 2018 C	150,000,000	2017/08/09	2018/02/28	37.50
28853	Goldman Sachs SP (Asia) – China Unicom Euro Wts Jan 2018 A	50,000,000	2017/08/09	2018/01/24	20.75
28832	Goldman Sachs SP (Asia) – HSBC European Put Wts Jan 2018 D	80,000,000	2017/08/09	2018/01/24	18.32
28855	Goldman Sachs SP (Asia) – ICBC European Warrants Feb 2018 B	80,000,000	2017/08/09	2018/02/09	20.00
28836	Goldman Sachs SP (Asia) – PetroChina European Wts Jan 2018 B	60,000,000	2017/08/09	2018/01/26	10.02
28843	Goldman Sachs SP (Asia) – PetroChina European Wts Feb 2018 A	60,000,000	2017/08/09	2018/02/23	10.02
28854	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2018 H	150,000,000	2017/08/09	2018/02/28	37.50
28692	HK Bank – HKEX European Warrants May 2018 B	100,000,000	2017/08/09	2018/05/31	25.00
28691	HK Bank – HKEX European Warrants Nov 2018 A	100,000,000	2017/08/09	2018/11/28	15.00
28701	HK Bank – HKEX European Put Warrants Jan 2018 B	100,000,000	2017/08/09	2018/01/26	15.00
28686	HK Bank – HSI European Warrants Dec 2017 H	150,000,000	2017/08/09	2017/12/28	22.50
28689	HK Bank – HSI European Put Warrants Feb 2018 D	150,000,000	2017/08/09	2018/02/27	22.50

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28819	Haitong Int'l Sec - BOC HK European Warrants Apr 2018 A	50,000,000	2017/08/09	2018/04/26	12.50
28820	Haitong Int'l Sec - CSDC European Warrants Mar 2018 A	40,000,000	2017/08/09	2018/03/08	10.00
28810	Haitong Int'l Sec - GAC European Warrants Feb 2018 A	60,000,000	2017/08/09	2018/02/15	10.08
28817	Haitong Int'l Sec - PICC European Warrants Apr 2019 A	80,000,000	2017/08/09	2019/04/26	18.80
28811	Haitong Int'l Sec - Ping An European Warrants Jan 2018 C	100,000,000	2017/08/09	2018/01/25	15.70
28816	Haitong Int'l Sec - Ping An European Warrants Mar 2018 B	100,000,000	2017/08/09	2018/03/26	19.70
28821	Haitong Int'l Sec - Zijin Mining European Warrants Feb 2018 A	60,000,000	2017/08/09	2018/02/08	15.00
28735	Macquarie Bank Ltd. - CHALCO European Warrants Jul 2018 A	15,000,000	2017/08/09	2018/07/04	10.20
28749	Macquarie Bank Ltd. - CGS European Warrants Dec 2018 A	12,500,000	2017/08/09	2018/12/14	10.03
28747	Macquarie Bank Ltd. - HKEX European Warrants Mar 2018 B	8,200,000	2017/08/09	2018/03/02	10.01
28748	Macquarie Bank Ltd. - HKEX European Warrants Jul 2018 A	15,000,000	2017/08/09	2018/07/04	10.70
28734	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jul 2018 A	20,000,000	2017/08/09	2018/07/04	10.38
28760	Macquarie Bank Ltd. - JNCEC European Warrants Dec 2018 A	18,500,000	2017/08/09	2018/12/18	10.01
28732	Macquarie Bank Ltd. - Li Ning European Warrants Dec 2019 A	40,000,000	2017/08/09	2019/12/17	10.00
28736	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Apr 2019 A	40,000,000	2017/08/09	2019/04/15	10.00
28755	Macquarie Bank Ltd. - Towngas China European Wts Jun 2018 A	16,000,000	2017/08/09	2018/06/15	10.00
28764	Macquarie Bank Ltd. - ZTE European Warrants Jul 2018 A	40,000,000	2017/08/09	2018/07/04	10.00
28717	SG Issuer - CITIC Sec European Warrants Jan 2018 B	70,000,000	2017/08/09	2018/01/04	10.50
28723	SG Issuer - HKEX European Warrants Mar 2018 B	200,000,000	2017/08/09	2018/03/05	50.00
28806	SG Issuer - HSCEI European Warrants Dec 2017 B	150,000,000	2017/08/09	2017/12/28	22.50
28719	SG Issuer - HSCEI European Warrants Apr 2018 A	150,000,000	2017/08/09	2018/04/27	37.50
28718	SG Issuer - Haitong Sec European Warrants Jan 2018 A	13,000,000	2017/08/09	2018/01/04	10.01
28729	SG Issuer - Stanchart European Warrants Feb 2018 A	13,000,000	2017/08/09	2018/02/05	10.79
28731	SG Issuer - Tencent European Warrants Apr 2018 F	200,000,000	2017/08/09	2018/04/06	50.00
28807	SG Issuer - Wharf European Warrants Feb 2018 A	30,000,000	2017/08/09	2018/02/05	10.05
28802	UBS AG - CKH European Warrants Feb 2018 A	70,000,000	2017/08/09	2018/02/08	26.18
28793	UBS AG - Ch Oilfield European Warrants Sep 2018 A	70,000,000	2017/08/09	2018/09/28	10.78
28775	UBS AG - Sinopec Corp European Warrants Jan 2018 A	50,000,000	2017/08/09	2018/01/22	11.75
28792	UBS AG - Haier Elec European Warrants Jul 2018 A	25,000,000	2017/08/09	2018/07/23	10.08
28774	UBS AG - HKEX European Warrants Nov 2017 A	300,000,000	2017/08/09	2017/11/28	45.30
28765	UBS AG - HKEX European Warrants Jan 2018 C	50,000,000	2017/08/09	2018/01/24	27.90
28766	UBS AG - HKEX European Warrants Feb 2018 C	50,000,000	2017/08/09	2018/02/08	26.20
28803	UBS AG - MTRC European Warrants Dec 2017 B	50,000,000	2017/08/09	2017/12/20	11.00
28777	UBS AG - PetroChina European Put Warrants Nov 2017 B	40,000,000	2017/08/09	2017/11/27	10.20
28798	UBS AG - Tencent Holdings European Warrants Dec 2017 D	30,000,000	2017/08/09	2017/12/04	10.20
28933 #	J P Morgan SP BV - China Life European Warrants Oct 2017 A	150,000,000	2017/08/09	2017/10/31	10.05
28858	BOCI Asia Ltd. - China Mobile European Warrants Feb 2018 A	200,000,000	2017/08/10	2018/02/12	51.60
28876	BOCI Asia Ltd. - Ch Overseas European Warrants Feb 2018 B	100,000,000	2017/08/10	2018/02/21	22.40
28881	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Feb 2018 A	60,000,000	2017/08/10	2018/02/26	17.46
28888	BOCI Asia Ltd. - A50 China European Warrants Jan 2018 A	60,000,000	2017/08/10	2018/01/29	17.16
28856	BOCI Asia Ltd. - Geely Auto European Warrants Feb 2018 D	80,000,000	2017/08/10	2018/02/21	15.44
28983	Credit Suisse AG - China Railway European Warrants Jan 2018 A	25,000,000	2017/08/10	2018/01/31	10.00
28978	Credit Suisse AG - HKEX European Warrants Mar 2018 A	150,000,000	2017/08/10	2018/03/29	37.50
28982	Credit Suisse AG - KECL European Warrants Jan 2018 B	25,000,000	2017/08/10	2018/01/31	10.00

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28979	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 G	150,000,000	2017/08/10	2018/02/28	37.50
29028	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2018 A	80,000,000	2017/08/10	2018/02/28	20.00
29027	Goldman Sachs SP (Asia) – CKH European Wts Dec 2017 B	50,000,000	2017/08/10	2017/12/27	12.70
29022	Goldman Sachs SP (Asia) – China Tel European Wts Jan 2018 A	40,000,000	2017/08/10	2018/01/24	10.00
29032	Goldman Sachs SP (Asia) – HSI European Warrants Feb 2018 C	150,000,000	2017/08/10	2018/02/27	22.50
29031	Goldman Sachs SP (Asia) – Sands China Euro Wts Jan 2018 A	80,000,000	2017/08/10	2018/01/29	12.00
28925	HK Bank – China Mobile European Warrants Jan 2018 B	50,000,000	2017/08/10	2018/01/24	10.00
28928	HK Bank – China Overseas European Warrants Mar 2018 A	70,000,000	2017/08/10	2018/03/08	10.50
28929	HK Bank – HKEX European Warrants Jun 2018 A	120,000,000	2017/08/10	2018/06/27	21.60
28935	Haitong Int'l Sec – CKH European Warrants Feb 2018 A	50,000,000	2017/08/10	2018/02/28	16.40
28962	Haitong Int'l Sec – China Telecom European Warrants Jan 18 B	68,000,000	2017/08/10	2018/01/25	10.88
28940	Haitong Int'l Sec – Geely Auto European Warrants Feb 2018 C	100,000,000	2017/08/10	2018/02/28	33.00
28946	Haitong Int'l Sec – Geely Auto European Warrants Mar 2018 A	100,000,000	2017/08/10	2018/03/29	28.10
28942	Haitong Int'l Sec – Geely Auto European Warrants Apr 2018 B	100,000,000	2017/08/10	2018/04/30	33.40
28936	Haitong Int'l Sec – Galaxy Ent European Warrants Feb 2018 A	50,000,000	2017/08/10	2018/02/28	28.35
28938	Haitong Int'l Sec – Galaxy Ent European Warrants Feb 2018 B	50,000,000	2017/08/10	2018/02/09	15.15
28963	Haitong Int'l Sec – Ping An European Warrants Jan 2018 D	100,000,000	2017/08/10	2018/01/25	18.70
28956	Haitong Int'l Sec – Tencent European Warrants Jul 2018 B	200,000,000	2017/08/10	2018/07/18	51.60
28961	Haitong Int'l Sec – Tencent European Put Warrants Mar 2018 D	80,000,000	2017/08/10	2018/03/27	13.20
28989	J P Morgan SP BV – CKH European Warrants Mar 2018 A	80,000,000	2017/08/10	2018/03/02	26.08
28990	J P Morgan SP BV – China Unicom European Warrants Dec 2017 C	100,000,000	2017/08/10	2017/12/29	38.00
28987	J P Morgan SP BV – HKEX European Warrants Dec 2017 E	200,000,000	2017/08/10	2017/12/01	30.00
28992	J P Morgan SP BV – China Mengniu European Wts Oct 2018 A	60,000,000	2017/08/10	2018/10/31	11.94
28993	J P Morgan SP BV – Stanchart European Warrants Jan 2018 A	40,000,000	2017/08/10	2018/01/31	15.92
28921	Macquarie Bank Ltd. – China Cinda European Wts Jun 2018 A	30,000,000	2017/08/10	2018/06/04	10.08
28902	Macquarie Bank Ltd. – SHK Ppt European Warrants Mar 2018 B	15,000,000	2017/08/10	2018/03/02	10.11
28974	Macquarie Bank Ltd. – Tencent European Warrants Mar 2018 D	9,000,000	2017/08/10	2018/03/02	10.01
28976	Macquarie Bank Ltd. – Tencent European Warrants Mar 2018 E	9,000,000	2017/08/10	2018/03/02	17.16
28931	SG Issuer – HLand European Warrants Mar 2018 A	40,000,000	2017/08/10	2018/03/05	10.00
29012	SG Issuer – HSI European Warrants Feb 2018 J	150,000,000	2017/08/10	2018/02/27	37.50
29017	SG Issuer – HSI European Warrants Apr 2018 B	150,000,000	2017/08/10	2018/04/27	37.50
28932	SG Issuer – Tencent European Warrants Mar 2018 F	200,000,000	2017/08/10	2018/03/05	36.00
28998	UBS AG – China Unicom European Warrants Jan 2018 A	25,000,000	2017/08/10	2018/01/24	15.18
29005	UBS AG – Jiangxi Copper European Warrants Feb 2018 A	60,000,000	2017/08/10	2018/02/26	10.20
29009	UBS AG – Stanchart European Warrants Jan 2018 C	25,000,000	2017/08/10	2018/01/24	11.63
29044	BOCI Asia Ltd. – Kingsoft European Warrants Jan 2018 A	80,000,000	2017/08/11	2018/01/26	12.00
29045	BOCI Asia Ltd. – Kingsoft European Warrants Mar 2018 A	80,000,000	2017/08/11	2018/03/26	12.00
29070	Credit Suisse AG – ChiUnicom European Put Warrants Feb 2018 A	35,000,000	2017/08/11	2018/02/28	45.50
29072	Credit Suisse AG – HKEX European Warrants Nov 2017 A	150,000,000	2017/08/11	2017/11/28	22.50
29073	Credit Suisse AG – WH Group European Warrants Feb 2018 A	20,000,000	2017/08/11	2018/02/12	11.00
29085	Bank of East Asia – CITIC Sec European Warrants Oct 2018 A	55,000,000	2017/08/11	2018/10/10	10.01
29087	Bank of East Asia – Yanzhou Coal European Wts Dec 2018 A	60,000,000	2017/08/11	2018/12/31	15.00
29114	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2018 B	80,000,000	2017/08/11	2018/02/28	20.00
29112	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2018 C	80,000,000	2017/08/11	2018/02/23	12.00

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29078	J P Morgan SP BV – Galaxy Ent European Warrants Mar 2018 A	100,000,000	2017/08/11	2018/03/02	26.90
29080	J P Morgan SP BV – ICBC European Warrants Feb 2018 C	100,000,000	2017/08/11	2018/02/28	25.20
29077	J P Morgan SP BV – Lenovo European Warrants Jan 2018 A	100,000,000	2017/08/11	2018/01/31	29.30
29036	Macquarie Bank Ltd. – CC Bank European Warrants Dec 2017 B	62,000,000	2017/08/11	2017/12/04	10.04
29060	Macquarie Bank Ltd. – China Mobile European Wts Jun 2018 A	22,000,000	2017/08/11	2018/06/04	10.08
29059	Macquarie Bank Ltd. – China Mobile European Wts Jan 2019 A	24,000,000	2017/08/11	2019/01/03	10.08
29065	Macquarie Bank Ltd. – Ch Molybdenum European Wts Nov 2018 A	15,000,000	2017/08/11	2018/11/15	10.11
29047	Macquarie Bank Ltd. – HSBC European Warrants May 2018 A	21,000,000	2017/08/11	2018/05/03	10.35
29053	Macquarie Bank Ltd. – HSBC European Warrants Oct 2018 A	16,000,000	2017/08/11	2018/10/03	10.05
29058	Macquarie Bank Ltd. – HSBC European Put Warrants Jun 2018 A	20,000,000	2017/08/11	2018/06/04	10.08
29068	Macquarie Bank Ltd. – ICBC European Warrants May 2018 A	30,000,000	2017/08/11	2018/05/03	10.38
29067	Macquarie Bank Ltd. – ICBC European Warrants Aug 2018 A	40,000,000	2017/08/11	2018/08/02	10.04
29064	Macquarie Bank Ltd. – Ping An European Warrants May 2018 B	20,000,000	2017/08/11	2018/05/03	13.48
29062	Macquarie Bank Ltd. – Ping An European Warrants Jul 2018 B	40,000,000	2017/08/11	2018/07/03	10.04
29061	Macquarie Bank Ltd. – Ping An European Put Warrants Jun 18 B	40,000,000	2017/08/11	2018/06/04	10.00
29102	UBS AG – BOCL European Warrants Feb 2018 B	70,000,000	2017/08/11	2018/02/12	17.50
29088	UBS AG – CKP European Warrants Feb 2018 A	70,000,000	2017/08/11	2018/02/12	24.64
29097	UBS AG – Li & Fung European Warrants Jun 2018 A	40,000,000	2017/08/11	2018/06/19	10.84
29106	UBS AG – MTRC European Warrants Apr 2018 A	60,000,000	2017/08/11	2018/04/10	15.00
29092	UBS AG – Sands China European Put Warrants Nov 2017 C	70,000,000	2017/08/11	2017/11/20	10.50
29104	UBS AG – Tencent Holdings European Warrants Feb 2018 D	300,000,000	2017/08/11	2018/02/12	75.00
29127	BNP Paribas Issuance B.V. – CM Bank Euro Warrants Apr 2018 A	40,000,000	2017/08/14	2018/04/04	10.00
29116	BNP Paribas Issuance B.V. – Ch Mobile Euro Wts Jul 2018 A	40,000,000	2017/08/14	2018/07/04	10.80
29125	BNP Paribas Issuance B.V. – Geely Auto Euro Wts Mar 2018 C	70,000,000	2017/08/14	2018/03/02	17.50
29123	BNP Paribas Issuance B.V. – HKEX European Wts Apr 2018 A	80,000,000	2017/08/14	2018/04/04	20.00
29119	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Jul 2018 A	100,000,000	2017/08/14	2018/07/04	19.00
29126	BNP Paribas Issuance B.V. – Tencent Euro Wts Apr 2018 D	80,000,000	2017/08/14	2018/04/04	20.00
29148	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 H	150,000,000	2017/08/14	2018/02/28	37.50
29152	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2018 D	150,000,000	2017/08/14	2018/03/29	40.50
29178	Goldman Sachs SP (Asia) – CITIC Sec European Wts Jul 2018 A	80,000,000	2017/08/14	2018/07/26	12.00
29176	Goldman Sachs SP (Asia) – Ch Overseas European Wts Feb 2018 B	80,000,000	2017/08/14	2018/02/22	12.00
29173	Goldman Sachs SP (Asia) – Sinopec Corp Euro Wts Jan 2018 B	60,000,000	2017/08/14	2018/01/24	14.22
29172	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Nov 2017 B	80,000,000	2017/08/14	2017/11/29	12.00
29177	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 I	80,000,000	2017/08/14	2018/02/20	20.00
29122	HK Bank – China Shenhua European Warrants Jan 2018 A	70,000,000	2017/08/14	2018/01/22	10.50
29121	HK Bank – Tencent European Warrants Nov 2017 J	20,000,000	2017/08/14	2017/11/27	18.60
29120	HK Bank – Tencent European Warrants Jan 2018 C	150,000,000	2017/08/14	2018/01/29	31.50
29187	Haitong Int'l Sec – CHALCO European Warrants Mar 2018 A	40,000,000	2017/08/14	2018/03/29	28.80
29181	Haitong Int'l Sec – China EB Int'l European Warrants Aug 18A	80,000,000	2017/08/14	2018/08/08	21.28
29190	Haitong Int'l Sec – China Shenhua European Warrants Jun 18 A	100,000,000	2017/08/14	2018/06/19	26.90
29180	Haitong Int'l Sec – Galaxy Ent European Put Wts Mar 2018 A	40,000,000	2017/08/14	2018/03/28	12.68
29183	Haitong Int'l Sec – Jiangxi Copper European Warrants Feb 18A	100,000,000	2017/08/14	2018/02/27	15.90
29186	Haitong Int'l Sec – Sunny Optical European Wts Jun 2018 A	100,000,000	2017/08/14	2018/06/29	25.00
29166	J P Morgan SP BV – Anhui Conch European Warrants Mar 2018 A	60,000,000	2017/08/14	2018/03/02	15.06

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29168	J P Morgan SP BV – Sunny Optical European Warrants Sep 2018 A	150,000,000	2017/08/14	2018/09/04	37.65
29162	J P Morgan SP BV – Tencent European Put Warrants Feb 2018 D	200,000,000	2017/08/14	2018/02/28	50.20
29128	Macquarie Bank Ltd. – BOCHK European Put Warrants Oct 2018 B	40,000,000	2017/08/14	2018/10/03	10.56
29156	Macquarie Bank Ltd. – Country Garden European Wts Mar 2018 A	10,000,000	2017/08/14	2018/03/02	10.00
29153	Macquarie Bank Ltd. – Greatwall Motor European Wts Sep 2018 A	10,000,000	2017/08/14	2018/09/04	10.05
29161	Macquarie Bank Ltd. – Ping An European Warrants Jun 2018 C	8,000,000	2017/08/14	2018/06/04	10.01
29154	Macquarie Bank Ltd. – Sunny Optical European Wts Mar 2018 A	10,000,000	2017/08/14	2018/03/16	10.68
29129	SG Issuer – CMB European Warrants Mar 2018 A	9,000,000	2017/08/14	2018/03/05	10.08
29133	SG Issuer – CNBM European Warrants Mar 2018 A	21,000,000	2017/08/14	2018/03/05	10.08
29136	SG Issuer – Lenovo European Warrants Jan 2018 B	37,000,000	2017/08/14	2018/01/04	10.18
29138	SG Issuer – Tencent European Warrants Jul 2018 A	200,000,000	2017/08/14	2018/07/05	38.00
29145	UBS AG – China National Building European Wts Jan 2018 A	50,000,000	2017/08/14	2018/01/24	12.15
29142	UBS AG – Link REIT European Warrants Feb 2018 A	70,000,000	2017/08/14	2018/02/23	12.46
29147	UBS AG – Tencent Holdings European Warrants Feb 2018 F	30,000,000	2017/08/14	2018/02/02	14.16
29143	UBS AG – Tencent Holdings European Put Warrants Feb 2018 E	200,000,000	2017/08/14	2018/02/13	58.80
29260	BNP Paribas Issuance B.V. – Greatwall Motor Eu Wt Mar 2018 A	40,000,000	2017/08/15	2018/03/02	26.00
29224	BNP Paribas Issuance B.V. – HKEX European Wts Mar 2018 B	30,000,000	2017/08/15	2018/03/02	24.90
29212	BNP Paribas Issuance B.V. – Jiangxi Copper Eu Wt Oct 2018 A	80,000,000	2017/08/15	2018/10/03	20.00
29213	BNP Paribas Issuance B.V. – Tencent Euro Wts May 2018 C	80,000,000	2017/08/15	2018/05/03	20.00
29214	BNP Paribas Issuance B.V. – Tencent Euro Wts Oct 2018 C	80,000,000	2017/08/15	2018/10/03	20.00
29334	Credit Suisse AG – CHALCO European Wts Feb 2018 B	30,000,000	2017/08/15	2018/02/28	10.20
29328	Credit Suisse AG – Country Garden European Warrants Feb 18 B	15,000,000	2017/08/15	2018/02/14	15.00
29340	Credit Suisse AG – CITIC Sec European Warrants Feb 2018 A	15,000,000	2017/08/15	2018/02/28	10.05
29327	Credit Suisse AG – China Mobile European Warrants Dec 2017 B	70,000,000	2017/08/15	2017/12/06	10.50
29329	Credit Suisse AG – Jiangxi Copper European Wts Feb 2018 A	20,000,000	2017/08/15	2018/02/28	15.60
29350	Credit Suisse AG – Meitu European Warrants Jan 2018 A	70,000,000	2017/08/15	2018/01/29	17.50
29349	Credit Suisse AG – Ping An European Warrants Jan 2018 H	100,000,000	2017/08/15	2018/01/24	16.00
29344	Credit Suisse AG – Tencent Holdings European Wts Mar 2018 E	150,000,000	2017/08/15	2018/03/29	37.50
29300	Goldman Sachs SP (Asia) – CITIC European Warrants Mar 2018 A	40,000,000	2017/08/15	2018/03/29	10.00
29280	Goldman Sachs SP (Asia) – HKEX European Warrants Nov 2018 A	150,000,000	2017/08/15	2018/11/28	22.50
29293	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2018 E	80,000,000	2017/08/15	2018/01/26	21.36
29279	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2017 F	40,000,000	2017/08/15	2017/12/21	24.08
29281	Goldman Sachs SP (Asia) – Tencent European Wt Jun 2018 B	150,000,000	2017/08/15	2018/06/22	25.50
29244	HK Bank – HKEX European Warrants Dec 2017 D	18,000,000	2017/08/15	2017/12/22	13.50
29230	HK Bank – HSCEI European Put Warrants Mar 2018 C	180,000,000	2017/08/15	2018/03/28	28.80
29231	HK Bank – HSI European Warrants Apr 2018 B	180,000,000	2017/08/15	2018/04/27	28.80
29248	HK Bank – HSI European Put Warrants Feb 2018 E	180,000,000	2017/08/15	2018/02/27	45.00
29233	HK Bank – Tencent European Warrants Feb 2018 A	180,000,000	2017/08/15	2018/02/28	45.00
29236	HK Bank – Tencent European Put Warrants Feb 2018 B	180,000,000	2017/08/15	2018/02/21	36.00
29315	Haitong Int'l Sec – Tencent European Warrants Apr 2018 A	100,000,000	2017/08/15	2018/04/04	25.00
29316	Haitong Int'l Sec – Tencent European Warrants Jun 2018 C	100,000,000	2017/08/15	2018/06/08	26.70
29319	Haitong Int'l Sec – Tencent European Warrants Jun 2018 D	100,000,000	2017/08/15	2018/06/29	25.00
29321	Haitong Int'l Sec – Tencent European Put Warrants Feb 2018 A	80,000,000	2017/08/15	2018/02/02	14.96
29324	J P Morgan SP BV – China Shenhua European Wts Feb 2018 A	100,000,000	2017/08/15	2018/02/28	34.80

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29326	J P Morgan SP BV – Geely Auto European Put Warrants Feb 18 A	60,000,000	2017/08/15	2018/02/28	23.94
29323	J P Morgan SP BV – Kingsoft European Warrants May 2018 A	80,000,000	2017/08/15	2018/05/03	20.00
29194	Macquarie Bank Ltd. – Geely Auto European Wts Apr 2018 A	37,000,000	2017/08/15	2018/04/04	10.21
29202	Macquarie Bank Ltd. – HKEX European Warrants Mar 2018 C	6,500,000	2017/08/15	2018/03/02	12.54
29272	Macquarie Bank Ltd. – Tencent European Warrants Mar 2018 F	23,000,000	2017/08/15	2018/03/02	10.12
29273	Macquarie Bank Ltd. – Tencent European Warrants Mar 2018 G	40,000,000	2017/08/15	2018/03/02	18.00
29274	Macquarie Bank Ltd. – Tencent European Put Wts Mar 2018 H	40,000,000	2017/08/15	2018/03/02	13.80
29198	Macquarie Bank Ltd. – Travelsky Tech European Wts Jul 2018 A	40,000,000	2017/08/15	2018/07/20	10.08
29255	SG Issuer – Geely Auto European Warrants Mar 2018 C	8,000,000	2017/08/15	2018/03/05	12.00
29258	SG Issuer – Geely Auto European Warrants Apr 2018 B	70,000,000	2017/08/15	2018/04/06	17.50
29250	SG Issuer – HKEX European Warrants Feb 2018 C	20,000,000	2017/08/15	2018/02/05	12.80
29301	SG Issuer – HSCEI European Put Warrants Mar 2018 A	150,000,000	2017/08/15	2018/03/28	22.50
29302	SG Issuer – HSI European Warrants Apr 2018 C	150,000,000	2017/08/15	2018/04/27	37.50
29303	SG Issuer – HSI European Warrants Apr 2018 D	150,000,000	2017/08/15	2018/04/27	22.50
29249	SG Issuer – HSI European Put Warrants Mar 2018 H	150,000,000	2017/08/15	2018/03/28	37.50
29206	SG Issuer – Tencent European Warrants Dec 2017 K	22,000,000	2017/08/15	2017/12/05	10.34
29207	SG Issuer – Tencent European Warrants Jan 2018 D	22,000,000	2017/08/15	2018/01/04	10.34
29308	SG Issuer – Tencent European Warrants Aug 2018 B	200,000,000	2017/08/15	2018/08/03	50.00
29251	SG Issuer – Tencent European Put Warrants Mar 2018 G	200,000,000	2017/08/15	2018/03/05	40.00
29277	UBS AG – Geely Auto European Warrants Mar 2018 A	40,000,000	2017/08/15	2018/03/08	10.00
29276	UBS AG – Yanzhou Coal European Wts Feb 2018 A	15,000,000	2017/08/15	2018/02/14	11.58
29355	BOCI Asia Ltd. – CC Bank European Warrants Feb 2018 A	100,000,000	2017/08/16	2018/02/23	20.10
29365	BOCI Asia Ltd. – CKP European Warrants Feb 2018 A	50,000,000	2017/08/16	2018/02/27	14.25
29369	BOCI Asia Ltd. – HKEX European Warrants Apr 2018 B	200,000,000	2017/08/16	2018/04/16	50.00
29370	BOCI Asia Ltd. – HKEX European Put Warrants Mar 2018 A	100,000,000	2017/08/16	2018/03/28	25.10
29371	BOCI Asia Ltd. – HSI European Warrants Feb 2018 A	100,000,000	2017/08/16	2018/02/27	16.90
29374	BOCI Asia Ltd. – HSI European Put Warrants Feb 2018 B	100,000,000	2017/08/16	2018/02/27	15.10
29357	BOCI Asia Ltd. – ICBC European Warrants Feb 2018 B	100,000,000	2017/08/16	2018/02/21	22.70
29359	BOCI Asia Ltd. – Tencent Holdings European Wts Feb 2018 B	200,000,000	2017/08/16	2018/02/28	50.00
29362	BOCI Asia Ltd. – Tencent Holdings European Wts Apr 2018 B	200,000,000	2017/08/16	2018/04/09	50.00
29364	BOCI Asia Ltd. – Tencent Holdings European Put Wts Feb 2018 C	100,000,000	2017/08/16	2018/02/21	21.90
29411	Credit Suisse AG – R&F Ppt European Warrants Jun 2018 A	40,000,000	2017/08/16	2018/06/04	10.00
29408	Credit Suisse AG – Tencent Holdings European Wts Jun 2018 C	150,000,000	2017/08/16	2018/06/29	37.50
29409	Credit Suisse AG – Wharf European Warrants Feb 2018 A	25,000,000	2017/08/16	2018/02/28	10.00
29429	Goldman Sachs SP (Asia) – Geely Auto European Wts Jan 2018 A	60,000,000	2017/08/16	2018/01/24	14.76
29433	Goldman Sachs SP (Asia) – Geely Auto European Wts Feb 2018 A	60,000,000	2017/08/16	2018/02/21	10.86
29438	Goldman Sachs SP (Asia) – Greatwall Motor Eur Wts Feb 2018 A	70,000,000	2017/08/16	2018/02/14	10.57
29439	Goldman Sachs SP (Asia) – Tencent European Wt Nov 2017 M	40,000,000	2017/08/16	2017/11/27	14.36
29442	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 J	150,000,000	2017/08/16	2018/02/28	37.50
29406	HK Bank – AIA European Warrants Feb 2018 A	50,000,000	2017/08/16	2018/02/15	12.50
29399	HK Bank – Geely Auto European Warrants Feb 2018 C	180,000,000	2017/08/16	2018/02/21	36.00
29402	HK Bank – ICBC European Warrants Feb 2018 A	80,000,000	2017/08/16	2018/02/02	14.40
29445	J P Morgan SP BV – Geely Auto European Warrants Mar 2018 D	100,000,000	2017/08/16	2018/03/02	25.10
29444	J P Morgan SP BV – IGG European Warrants Apr 2018 A	50,000,000	2017/08/16	2018/04/04	14.40



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29443	J P Morgan SP BV – Meitu European Warrants Feb 2018 A	50,000,000	2017/08/16	2018/02/15	14.05
29446	J P Morgan SP BV – Tencent European Warrants Jun 2018 D	200,000,000	2017/08/16	2018/06/29	51.00
29448	J P Morgan SP BV – Tencent European Put Warrants Mar 2018 G	200,000,000	2017/08/16	2018/03/02	50.40
29449	J P Morgan SP BV – Yanzhou Coal European Warrants Sep 2019 A	100,000,000	2017/08/16	2019/09/30	25.10
29381	Macquarie Bank Ltd. – China EB Int'l European Wts Dec 2018 A	39,000,000	2017/08/16	2018/12/18	10.02
29375	Macquarie Bank Ltd. – CNBM European Warrants Mar 2018 B	28,000,000	2017/08/16	2018/03/02	10.05
29380	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Apr 2019 A	39,000,000	2017/08/16	2019/04/02	10.02
29427	Macquarie Bank Ltd. – R&F Ppt European Warrants May 2018 A	6,000,000	2017/08/16	2018/05/04	10.03
29426	Macquarie Bank Ltd. – Tencent European Warrants Jan 2019 B	17,000,000	2017/08/16	2019/01/03	10.03
29425	Macquarie Bank Ltd. – Wharf European Warrants May 2018 A	28,000,000	2017/08/16	2018/05/03	10.05
29390	SG Issuer – China Cinda European Warrants Apr 2018 A	40,000,000	2017/08/16	2018/04/06	10.00
29351	SG Issuer – CITIC Sec European Warrants Oct 2018 A	70,000,000	2017/08/16	2018/10/04	11.55
29391	SG Issuer – HKEX European Warrants Jun 2018 C	200,000,000	2017/08/16	2018/06/05	30.00
29392	SG Issuer – Tencent European Warrants Feb 2018 F	20,000,000	2017/08/16	2018/02/05	14.60
29421	UBS AG – CHALCO European Warrants Feb 2018 A	30,000,000	2017/08/16	2018/02/27	13.08
29413	UBS AG – Tencent Holdings European Warrants Mar 2018 B	300,000,000	2017/08/16	2018/03/12	75.00
29472	BNP Paribas Issuranc B.V. – Wharf European Wts Apr 2018 A	40,000,000	2017/08/17	2018/04/04	13.20
29493	Credit Suisse AG – CC Bank European Warrants Jan 2018 C	70,000,000	2017/08/17	2018/01/31	15.40
29492	Credit Suisse AG – HKEX European Warrants Dec 2017 D	80,000,000	2017/08/17	2017/12/29	56.00
29503	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2018 B	50,000,000	2017/08/17	2018/02/20	12.50
29501	Goldman Sachs SP (Asia) – HKEX European Warrants Dec 2017 D	30,000,000	2017/08/17	2017/12/22	17.04
29460	HK Bank – CKP European Warrants Feb 2018 A	40,000,000	2017/08/17	2018/02/28	10.00
29471	HK Bank – Geely Auto European Warrants Feb 2018 D	180,000,000	2017/08/17	2018/02/28	63.00
29456	HK Bank – HKEX European Warrants Mar 2018 B	180,000,000	2017/08/17	2018/03/22	27.00
29506	Haitong Int'l Sec – Geely Auto European Warrants Feb 2018 D	100,000,000	2017/08/17	2018/02/20	27.00
29520	Haitong Int'l Sec – ICBC European Warrants Feb 2018 B	100,000,000	2017/08/17	2018/02/02	19.00
29511	Haitong Int'l Sec – IGG European Warrants Mar 2018 A	100,000,000	2017/08/17	2018/03/29	27.50
29519	Haitong Int'l Sec – Meitu European Warrants Feb 2018 A	100,000,000	2017/08/17	2018/02/20	28.00
29515	Haitong Int'l Sec – Yanzhou Coal European Warrants Aug 2019 A	100,000,000	2017/08/17	2019/08/30	25.00
29500	J P Morgan SP BV – China Mob European Wts Feb 2018 B	100,000,000	2017/08/17	2018/02/28	25.20
29504	J P Morgan SP BV – Huaneng Power European Wts Feb 2018 A	60,000,000	2017/08/17	2018/02/28	18.06
29450	Macquarie Bank Ltd. – Geely Auto European Wts Mar 2018 A	7,000,000	2017/08/17	2018/03/02	11.21
29485	Macquarie Bank Ltd. – Lenovo European Warrants Apr 2018 A	37,000,000	2017/08/17	2018/04/04	10.03
29479	Macquarie Bank Ltd. – SMIC European Warrants Mar 2020 A	40,000,000	2017/08/17	2020/03/17	10.00
29451	SG Issuer – CHALCO European Warrants Feb 2018 B	15,000,000	2017/08/17	2018/02/05	12.30
29454	SG Issuer – Ch Mob European Warrants Mar 2018 A	80,000,000	2017/08/17	2018/03/05	20.00
29474	SG Issuer – HKEX European Warrants Apr 2018 D	200,000,000	2017/08/17	2018/04/06	30.00
29475	SG Issuer – NewWorld Dev Eu Wt Mar 2018 A	20,000,000	2017/08/17	2018/03/05	10.00
29455	SG Issuer – SHK P European Warrants Jan 2018 A	35,000,000	2017/08/17	2018/01/04	10.15
29478	SG Issuer – Yanzhou Coal Eu Wt Dec 2019 A	120,000,000	2017/08/17	2019/12/04	30.00
29491	UBS AG – China Mobile European Warrants Feb 2018 B	100,000,000	2017/08/17	2018/02/20	25.00
29489	UBS AG – Ch Molybdenum European Warrants Feb 2018 A	30,000,000	2017/08/17	2018/02/27	11.04
29487	UBS AG – HKEX European Warrants Mar 2018 A	300,000,000	2017/08/17	2018/03/28	56.40
29488	UBS AG – Sunny Optical European Warrants Aug 2018 A	100,000,000	2017/08/17	2018/08/20	25.00

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29570	Credit Suisse AG – HKEK European Warrants Feb 2018 D	150,000,000	2017/08/18	2018/02/05	31.50
29571	Credit Suisse AG – IGG European Warrants Feb 2018 A	40,000,000	2017/08/18	2018/02/20	10.00
29568	Credit Suisse AG – Sunny Optical European Warrants Sep 2018 A	100,000,000	2017/08/18	2018/09/28	25.00
29567	Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2018 B	80,000,000	2017/08/18	2018/01/26	12.00
29566	Goldman Sachs SP (Asia) – HKEK European Warrants Feb 2018 B	100,000,000	2017/08/18	2018/02/26	15.00
29529	HK Bank – China Life European Warrants Dec 2017 A	80,000,000	2017/08/18	2017/12/22	12.00
29526	HK Bank – HSI European Put Warrants Feb 2018 F	150,000,000	2017/08/18	2018/02/27	22.50
29535	HK Bank – HSI European Put Warrants Mar 2018 C	150,000,000	2017/08/18	2018/03/28	22.50
29532	HK Bank – Ping An European Warrants Dec 2017 C	80,000,000	2017/08/18	2017/12/22	14.40
29534	HK Bank – Tencent European Put Warrants Mar 2018 B	100,000,000	2017/08/18	2018/03/26	15.00
29563	J P Morgan SP BV – CC Bank European Wts Dec 2017 C	100,000,000	2017/08/18	2017/12/29	31.30
29557	J P Morgan SP BV – HSCEI European Wts Feb 2018 A	200,000,000	2017/08/18	2018/02/27	50.20
29558	J P Morgan SP BV – HSCEI European Wts Apr 2018 A	200,000,000	2017/08/18	2018/04/27	30.80
29525	Macquarie Bank Ltd. – Anhui Conch European Wts May 2018 A	40,000,000	2017/08/18	2018/05/03	10.12
29551	Macquarie Bank Ltd. – IGG European Warrants Feb 2018 A	33,000,000	2017/08/18	2018/02/20	10.03
29541	SG Issuer – CKP European Warrants Feb 2018 A	30,000,000	2017/08/18	2018/02/05	10.05
29547	SG Issuer – Ch Mob European Warrants Mar 2018 B	80,000,000	2017/08/18	2018/03/05	20.00
29548	SG Issuer – Meitu European Warrants Mar 2018 A	50,000,000	2017/08/18	2018/03/05	12.50
29549	SG Issuer – Tencent European Warrants Feb 2018 G	200,000,000	2017/08/18	2018/02/05	37.00
29554	UBS AG – Geely Auto European Warrants Mar 2018 B	80,000,000	2017/08/18	2018/03/19	20.00
29538	UBS AG – HKEK European Warrants Dec 2017 F	300,000,000	2017/08/18	2017/12/11	45.60
29553	UBS AG – HSI European Warrants Jan 2018 D	200,000,000	2017/08/18	2018/01/30	32.80
29552	UBS AG – HSI European Put Warrants Feb 2018 E	200,000,000	2017/08/18	2018/02/27	32.40
29614	BOCI Asia Ltd. – CC Bank European Warrants Feb 2018 B	100,000,000	2017/08/21	2018/02/05	22.50
29616	BOCI Asia Ltd. – China Life European Warrants May 2018 A	200,000,000	2017/08/21	2018/05/28	50.20
29612	BOCI Asia Ltd. – China Mobile European Warrants Mar 2018 A	200,000,000	2017/08/21	2018/03/26	39.60
29600	BOCI Asia Ltd. – Geely Auto European Warrants May 2018 A	100,000,000	2017/08/21	2018/05/28	25.20
29579	BOCI Asia Ltd. – HKEK European Warrants Mar 2018 B	200,000,000	2017/08/21	2018/03/27	30.20
29597	BOCI Asia Ltd. – HSBC European Warrants Jan 2018 F	200,000,000	2017/08/21	2018/01/31	44.40
29575	BOCI Asia Ltd. – Tencent Holdings European Wts Feb 2018 D	200,000,000	2017/08/21	2018/02/27	49.20
29573	BNP Paribas Issuance B.V. – ZTE European Wts May 2018 B	40,000,000	2017/08/21	2018/05/03	10.00
29633	Credit Suisse AG – SMIC European Warrants Jan 2019 A	40,000,000	2017/08/21	2019/01/31	10.00
29634	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 F	80,000,000	2017/08/21	2018/01/24	23.92
29649	J P Morgan SP BV – China Mob European Wts Mar 2018 A	100,000,000	2017/08/21	2018/03/01	25.40
29648	J P Morgan SP BV – HKEK European Warrants Mar 2018 B	200,000,000	2017/08/21	2018/03/29	30.60
29650	J P Morgan SP BV – Sh Petrochem European Warrants Dec 2017 A	60,000,000	2017/08/21	2017/12/15	10.08
29641	J P Morgan SP BV – Tencent European Warrants Apr 2018 E	200,000,000	2017/08/21	2018/04/30	50.20
29574	Macquarie Bank Ltd. – China Life European Wts Apr 2018 C	8,000,000	2017/08/21	2018/04/04	10.45
29572	Macquarie Bank Ltd. – A50 China European Warrants Mar 2018 A	28,000,000	2017/08/21	2018/03/02	10.08
29628	SG Issuer – HSCEI European Warrants Mar 2018 B	150,000,000	2017/08/21	2018/03/28	22.50
29676	BNP Paribas Issuance B.V. – China Cinda Eur Wts May 2018 A	40,000,000	2017/08/22	2018/05/03	10.00
29679	BNP Paribas Issuance B.V. – Sinopec Corp Eu Wts Apr 2018 A	40,000,000	2017/08/22	2018/04/04	10.40
29674	BNP Paribas Issuance B.V. – ICBC European Wts Apr 2018 B	50,000,000	2017/08/22	2018/04/04	12.50
29660	BNP Paribas Issuance B.V. – PetroCh Euro Wts Apr 2018 B	40,000,000	2017/08/22	2018/04/04	10.40

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29654	BNP Paribas Issuance B.V. – Tencent Euro Wts Jul 2018 D	80,000,000	2017/08/22	2018/07/04	20.80
29757	Credit Suisse AG – China Life European Warrants Jan 2018 C	70,000,000	2017/08/22	2018/01/29	10.50
29778	Credit Suisse AG – HKEX European Warrants Mar 2018 B	150,000,000	2017/08/22	2018/03/29	22.50
29782	Credit Suisse AG – Sunny Optical European Put Wts Jun18B	100,000,000	2017/08/22	2018/06/29	25.00
29783	Goldman Sachs SP (Asia) – ICBC European Warrants Feb 2018 C	80,000,000	2017/08/22	2018/02/21	12.00
29684	HK Bank – China Railway European Warrants Oct 2019 A	80,000,000	2017/08/22	2019/10/23	12.00
29682	HK Bank – Haitong Sec European Warrants Aug 2018 A	80,000,000	2017/08/22	2018/08/28	12.00
29681	HK Bank – Meitu European Warrants Feb 2018 A	80,000,000	2017/08/22	2018/02/28	20.00
29680	HK Bank – Sunny Optical European Warrants Jun 2018 B	150,000,000	2017/08/22	2018/06/29	37.50
29786	J P Morgan SP BV – Kingdee Intl European Warrants Jan 2018 A	50,000,000	2017/08/22	2018/01/09	10.10
29653	Macquarie Bank Ltd. – Galaxy Ent European Wts Mar 2018 B	40,000,000	2017/08/22	2018/03/02	10.00
29708	Macquarie Bank Ltd. – HKEX European Warrants Apr 2018 C	70,000,000	2017/08/22	2018/04/04	17.50
29709	Macquarie Bank Ltd. – HKEX European Warrants Apr 2018 D	13,500,000	2017/08/22	2018/04/04	10.34
29710	Macquarie Bank Ltd. – HKEX European Warrants Oct 2018 A	70,000,000	2017/08/22	2018/10/04	17.50
29706	Macquarie Bank Ltd. – Kingsoft European Put Warrants Jun18A	37,000,000	2017/08/22	2018/06/04	10.03
29705	Macquarie Bank Ltd. – Lenovo European Put Warrants Jun 2018 A	25,000,000	2017/08/22	2018/06/04	10.00
29696	SG Issuer – HSCEI European Warrants Dec 2018 A	150,000,000	2017/08/22	2018/12/28	37.50
29693	SG Issuer – HSCEI European Put Warrants Jun 2018 A	150,000,000	2017/08/22	2018/06/28	28.50
29701	SG Issuer – HSI European Warrants May 2018 B	150,000,000	2017/08/22	2018/05/30	37.50
29703	SG Issuer – HSI European Warrants Nov 2018 A	150,000,000	2017/08/22	2018/11/29	37.50
29697	SG Issuer – HSI European Put Warrants Apr 2018 E	150,000,000	2017/08/22	2018/04/27	37.50
29714	SG Issuer – Ping An European Warrants Apr 2018 A	100,000,000	2017/08/22	2018/04/06	50.00
29718	SG Issuer – Ping An European Warrants May 2018 A	100,000,000	2017/08/22	2018/05/04	31.00
29720	SG Issuer – Ping An European Put Warrants Jun 2018 A	100,000,000	2017/08/22	2018/06/05	19.00
29725	SG Issuer – Tencent European Warrants Mar 2018 H	200,000,000	2017/08/22	2018/03/05	36.00
29726	SG Issuer – Tencent European Warrants Apr 2018 G	200,000,000	2017/08/22	2018/04/06	49.00
29749	SG Issuer – Tencent European Warrants May 2018 B	200,000,000	2017/08/22	2018/05/04	66.00
29736	SG Issuer – Tencent European Put Warrants Apr 2018 H	200,000,000	2017/08/22	2018/04/06	50.00
29751	UBS AG – CC Bank European Put Warrants Jan 2018 B	70,000,000	2017/08/22	2018/01/24	11.41
29756	UBS AG – ICBC European Warrants Feb 2018 B	70,000,000	2017/08/22	2018/02/02	15.82
29750	UBS AG – Sunny Optical European Warrants Jul 2018 A	100,000,000	2017/08/22	2018/07/09	25.00
29879	BNP Paribas Issuance B.V. – Ch Mobile Euro Wts Feb 2018 A	40,000,000	2017/08/23	2018/02/02	11.60
29883	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Aug 2018 A	100,000,000	2017/08/23	2018/08/02	25.00
29867	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 I	150,000,000	2017/08/23	2018/02/28	24.30
29890	Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2018 C	150,000,000	2017/08/23	2018/03/22	22.50
29787	Macquarie Bank Ltd. – Anta Sports European Wts May 2018 A	30,000,000	2017/08/23	2018/05/11	10.02
29861	Macquarie Bank Ltd. – HKEX European Warrants May 2018 A	50,000,000	2017/08/23	2018/05/02	12.50
29862	Macquarie Bank Ltd. – HKEX European Warrants May 2018 B	10,000,000	2017/08/23	2018/05/02	10.44
29860	Macquarie Bank Ltd. – HKEX European Warrants Jul 2018 B	50,000,000	2017/08/23	2018/07/04	12.50
29857	Macquarie Bank Ltd. – PetroChina European Wts Apr 2018 B	24,000,000	2017/08/23	2018/04/04	10.03
29858	Macquarie Bank Ltd. – Tencent European Put Wts Apr 2018 C	10,000,000	2017/08/23	2018/04/04	29.03
29820	SG Issuer – AIA European Warrants Apr 2018 A	80,000,000	2017/08/23	2018/04/06	20.80
29822	SG Issuer – AIA European Put Warrants Jun 2018 A	80,000,000	2017/08/23	2018/06/05	20.00
29826	SG Issuer – CKH European Warrants Apr 2018 A	40,000,000	2017/08/23	2018/04/06	16.20

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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29788	SG Issuer – China Life European Warrants Apr 2018 B	15,000,000	2017/08/23	2018/04/06	25.05
29789	SG Issuer – China Life European Put Warrants May 2018 B	15,000,000	2017/08/23	2018/05/04	12.15
29802	SG Issuer – Geely Auto European Warrants May 2018 C	60,000,000	2017/08/23	2018/05/04	23.70
29794	SG Issuer – Geely Auto European Put Warrants Apr 2018 C	40,000,000	2017/08/23	2018/04/06	12.00
29806	SG Issuer – Geely Auto European Put Warrants May 2018 D	40,000,000	2017/08/23	2018/05/04	10.00
29827	SG Issuer – Galaxy Ent European Warrants Mar 2018 A	80,000,000	2017/08/23	2018/03/05	19.60
29838	SG Issuer – Galaxy Ent European Warrants May 2018 A	80,000,000	2017/08/23	2018/05/04	31.60
29841	SG Issuer – Galaxy Ent European Warrants Jun 2018 A	80,000,000	2017/08/23	2018/06/05	22.00
29828	SG Issuer – Galaxy Ent European Put Warrants Apr 2018 A	80,000,000	2017/08/23	2018/04/06	20.40
29808	SG Issuer – HSBC European Warrants May 2018 B	100,000,000	2017/08/23	2018/05/04	25.50
29816	SG Issuer – HSBC European Warrants Jul 2018 B	100,000,000	2017/08/23	2018/07/05	48.50
29814	SG Issuer – HSBC European Put Warrants May 2018 C	60,000,000	2017/08/23	2018/05/04	15.00
29843	SG Issuer – Petch European Warrants Apr 2018 A	60,000,000	2017/08/23	2018/04/06	15.90
29844	SG Issuer – Petch European Warrants May 2018 A	60,000,000	2017/08/23	2018/05/04	11.10
29934	BOCI Asia Ltd. – Sunny Optical European Warrants Aug 2018 A	100,000,000	2017/08/24	2018/08/31	25.00
29930	BOCI Asia Ltd. – Tencent Holdings European Wts Feb 2018 E	50,000,000	2017/08/24	2018/02/02	34.90
29928	BOCI Asia Ltd. – Tencent Holdings European Wts Apr 2018 C	200,000,000	2017/08/24	2018/04/30	50.00
29929	BOCI Asia Ltd. – Tencent Holdings European Wts Jun 2018 B	200,000,000	2017/08/24	2018/06/22	42.00
29931	BOCI Asia Ltd. – Tencent Holdings European Put Wts Mar 2018 D	100,000,000	2017/08/24	2018/03/22	26.50
29980	Credit Suisse AG – China Mobile European Warrants Feb 2018 B	70,000,000	2017/08/24	2018/02/20	17.50
29981	Credit Suisse AG – HSBC European Wts Jan 2018 G	100,000,000	2017/08/24	2018/01/31	25.00
29978	Credit Suisse AG – Tencent Holdings European Wts Mar 2018 G	150,000,000	2017/08/24	2018/03/29	37.50
29976	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2018 F	200,000,000	2017/08/24	2018/03/29	60.00
29982	Credit Suisse AG – Techtronic European Warrants Feb 2018 A	40,000,000	2017/08/24	2018/02/23	10.00
29990	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2018 C	80,000,000	2017/08/24	2018/03/26	12.00
29989	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2017 G	30,000,000	2017/08/24	2017/12/22	12.39
29988	Goldman Sachs SP (Asia) – Tencent European Wt Mar 2018 B	200,000,000	2017/08/24	2018/03/28	30.00
29913	Haitong Int'l Sec – BYD Electronic European Warrants Feb 18A	50,000,000	2017/08/24	2018/02/02	12.50
29915	Haitong Int'l Sec – BYD Electronic European Warrants Jun 18A	50,000,000	2017/08/24	2018/06/28	15.20
29905	Haitong Int'l Sec – China Huarong European Warrants Feb 18 A	40,000,000	2017/08/24	2018/02/28	10.00
29911	Haitong Int'l Sec – China Life European Warrants Sep 2018 A	100,000,000	2017/08/24	2018/09/28	25.90
29917	Haitong Int'l Sec – Gome European Warrants Nov 2018 A	100,000,000	2017/08/24	2018/11/28	25.00
29899	Haitong Int'l Sec – R&F Ppt European Warrants May 2018 A	60,000,000	2017/08/24	2018/05/29	11.40
29909	Haitong Int'l Sec – Meitu European Warrants Feb 2018 B	100,000,000	2017/08/24	2018/02/28	29.10
29896	Haitong Int'l Sec – Ping An European Warrants Mar 2018 C	80,000,000	2017/08/24	2018/03/28	20.56
29908	Haitong Int'l Sec – ZTE European Warrants Apr 2018 A	100,000,000	2017/08/24	2018/04/26	16.50
29975	J P Morgan SP BV – CAM CSI300 European Warrants Jun 2018 A	100,000,000	2017/08/24	2018/06/29	15.10
29966	J P Morgan SP BV – CC Bank European Put Wts Jan 2018 B	100,000,000	2017/08/24	2018/01/31	20.30
29967	J P Morgan SP BV – Cathay Pacific European Wts Feb 2019 A	60,000,000	2017/08/24	2019/02/27	10.02
29965	J P Morgan SP BV – HKEX European Warrants Jun 2018 C	200,000,000	2017/08/24	2018/06/29	30.40
29968	J P Morgan SP BV – HS H-SHARE European Warrants Feb 2018 A	100,000,000	2017/08/24	2018/02/01	15.30
29962	J P Morgan SP BV – Tencent European Warrants Jan 2018 E	100,000,000	2017/08/24	2018/01/02	41.30
29958	J P Morgan SP BV – Tencent European Warrants Feb 2018 E	200,000,000	2017/08/24	2018/02/09	35.00
29961	J P Morgan SP BV – Tencent European Warrants Mar 2018 H	200,000,000	2017/08/24	2018/03/05	31.00

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		(units)	Listing date	Expiry	
29971	J P Morgan SP BV – WH Group European Warrants Feb 2018 A	60,000,000	2017/08/24	2018/02/28	37.44
29937	Macquarie Bank Ltd. – AIA European Warrants Apr 2018 A	31,000,000	2017/08/24	2018/04/04	10.01
29936	Macquarie Bank Ltd. – China Railway European Wts Apr 2018 A	15,000,000	2017/08/24	2018/04/04	10.08
29942	SG Issuer – CCB European Warrants Mar 2018 A	60,000,000	2017/08/24	2018/03/05	11.40
29949	SG Issuer – Minsheng Bank European Warrants Jun 2018 A	30,000,000	2017/08/24	2018/06/05	10.50
29950	SG Issuer – CNOOC European Warrants Apr 2018 A	40,000,000	2017/08/24	2018/04/06	10.00
29951	SG Issuer – ICBC European Warrants Mar 2018 A	60,000,000	2017/08/24	2018/03/05	10.80
29953	SG Issuer – Lenovo European Warrants Jun 2018 A	40,000,000	2017/08/24	2018/06/05	10.00
29954	SG Issuer – MTRC European Warrants Jul 2018 A	40,000,000	2017/08/24	2018/07/05	10.00
29918	SG Issuer – Tencent European Warrants Mar 2018 I	20,000,000	2017/08/24	2018/03/05	29.20
29919	SG Issuer – Tencent European Warrants Mar 2018 J	20,000,000	2017/08/24	2018/03/05	10.40
29925	SG Issuer – Tencent European Warrants Jul 2018 B	200,000,000	2017/08/24	2018/07/05	40.00
29926	SG Issuer – Tencent European Warrants Jul 2018 C	200,000,000	2017/08/24	2018/07/05	34.00
29956	SG Issuer – Tencent European Warrants Sep 2018 B	200,000,000	2017/08/24	2018/09/05	50.00
29923	SG Issuer – Tencent European Put Warrants May 2018 C	200,000,000	2017/08/24	2018/05/04	50.00
29984	UBS AG – Datang Power European Warrants Apr 2018 A	15,000,000	2017/08/24	2018/04/16	10.22
29985	UBS AG – Li Ning European Warrants Mar 2019 A	50,000,000	2017/08/24	2019/03/22	10.10
29983	UBS AG – Swire Pacific A European Warrants Oct 2018 A	15,000,000	2017/08/24	2018/10/29	10.02
29987	UBS AG – WH Group European Warrants Feb 2018 A	15,000,000	2017/08/24	2018/02/27	13.28
11029	BOCI Asia Ltd. – China Life European Warrants Mar 2018 A	100,000,000	2017/08/25	2018/03/26	15.00
11022	BOCI Asia Ltd. – Lenovo European Warrants Jan 2018 A	100,000,000	2017/08/25	2018/01/29	15.90
11026	BOCI Asia Ltd. – Ping An European Warrants Feb 2018 A	100,000,000	2017/08/25	2018/02/26	30.30
11028	BOCI Asia Ltd. – Ping An European Warrants Mar 2018 A	100,000,000	2017/08/25	2018/03/23	20.00
11091	Credit Suisse AG – Lenovo European Warrants Jan 2018 B	70,000,000	2017/08/25	2018/01/30	10.50
11090	Credit Suisse AG – Tencent Holdings European Wts Dec 2017 I	40,000,000	2017/08/25	2017/12/27	20.00
11077	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2018 C	80,000,000	2017/08/25	2018/01/26	20.64
11079	Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2018 D	120,000,000	2017/08/25	2018/03/27	18.00
11073	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 G	80,000,000	2017/08/25	2018/01/16	20.88
11083	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2018 K	100,000,000	2017/08/25	2018/02/23	24.90
11143	Haitong Int'l Sec – Anta Sports European Warrants Jun 2018 A	40,000,000	2017/08/25	2018/06/29	12.28
11136	Haitong Int'l Sec – CKP European Warrants Dec 2017 A	50,000,000	2017/08/25	2017/12/21	10.75
11142	Haitong Int'l Sec – CKP European Warrants Mar 2018 A	50,000,000	2017/08/25	2018/03/29	25.10
11132	Haitong Int'l Sec – China Mobile European Wts Feb 2018 A	100,000,000	2017/08/25	2018/02/12	15.00
11131	Haitong Int'l Sec – China Mobile European Wts May 2018 A	100,000,000	2017/08/25	2018/05/31	25.80
11122	Haitong Int'l Sec – HKEX European Warrants Mar 2018 A	100,000,000	2017/08/25	2018/03/29	15.00
11120	Haitong Int'l Sec – HKEX European Warrants Jun 2018 A	100,000,000	2017/08/25	2018/06/15	15.00
11101	Haitong Int'l Sec – HSBC European Warrants Mar 2018 A	100,000,000	2017/08/25	2018/03/29	15.00
11123	Haitong Int'l Sec – Huaneng European Warrants Jan 2018 A	100,000,000	2017/08/25	2018/01/30	18.00
11114	Haitong Int'l Sec – Kingdee Int'l European Warrants Mar 18 A	50,000,000	2017/08/25	2018/03/02	28.00
11146	Haitong Int'l Sec – Sunny Optical European Put Wts Apr18A	80,000,000	2017/08/25	2018/04/24	14.88
11095	J P Morgan SP BV – BYD European Warrants Mar 2018 B	60,000,000	2017/08/25	2018/03/01	22.80
11092	J P Morgan SP BV – CM Bank European Warrants Mar 2018 A	80,000,000	2017/08/25	2018/03/12	12.00
11020	Macquarie Bank Ltd. – BOCL European Warrants Jul 2018 A	40,000,000	2017/08/25	2018/07/04	10.00
11056	Macquarie Bank Ltd. – CSOP A50 ETF European Put Wts Mar18A	39,000,000	2017/08/25	2018/03/02	10.02

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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11058	Macquarie Bank Ltd. – Sands China European Wts Mar 2018 A	28,500,000	2017/08/25	2018/03/02	10.09
11061	Macquarie Bank Ltd. – Sands China European Wts Apr 2018 A	8,700,000	2017/08/25	2018/04/04	10.01
11070	Macquarie Bank Ltd. – Goldwind European Warrants Dec 2018 A	40,000,000	2017/08/25	2018/12/18	10.00
29993	SG Issuer – BOCOM European Warrants Jun 2018 A	40,000,000	2017/08/25	2018/06/05	10.60
29997	SG Issuer – China EB Ltd European Warrants Sep 2018 A	50,000,000	2017/08/25	2018/09/05	12.50
11005	SG Issuer – CITIC European Warrants Apr 2018 A	15,000,000	2017/08/25	2018/04/06	11.70
11002	SG Issuer – China Railway European Warrants Apr 2018 A	20,000,000	2017/08/25	2018/04/06	10.00
11004	SG Issuer – China Railway European Warrants Nov 2019 A	100,000,000	2017/08/25	2019/11/05	15.00
29998	SG Issuer – CRCC European Warrants Jan 2019 A	100,000,000	2017/08/25	2019/01/04	15.00
10472	SG Issuer – Nikkei 225 European Warrants Mar 2018 A	100,000,000	2017/08/25	2018/03/09	25.00
10474	SG Issuer – Nikkei 225 European Warrants Jun 2018 A	100,000,000	2017/08/25	2018/06/08	25.00
10475	SG Issuer – Nikkei 225 European Warrants Jun 2018 B	100,000,000	2017/08/25	2018/06/08	25.00
10477	SG Issuer – Nikkei 225 European Warrants Sep 2018 A	100,000,000	2017/08/25	2018/09/14	25.00
10479	SG Issuer – Nikkei 225 European Warrants Dec 2018 A	100,000,000	2017/08/25	2018/12/14	25.00
10473	SG Issuer – Nikkei 225 European Put Warrants Mar 2018 B	100,000,000	2017/08/25	2018/03/09	25.00
10476	SG Issuer – Nikkei 225 European Put Warrants Jun 2018 C	100,000,000	2017/08/25	2018/06/08	25.00
10478	SG Issuer – Nikkei 225 European Put Warrants Sep 2018 B	100,000,000	2017/08/25	2018/09/14	25.00
10480	SG Issuer – Nikkei 225 European Put Warrants Dec 2018 B	100,000,000	2017/08/25	2018/12/14	25.00
11008	SG Issuer – PSBC European Warrants Apr 2018 A	40,000,000	2017/08/25	2018/04/06	11.80
11012	SG Issuer – SJM Hold Eu Wt Jun 2018 A	15,000,000	2017/08/25	2018/06/05	11.85
11018	SG Issuer – WH Group European Warrants Apr 2018 A	20,000,000	2017/08/25	2018/04/06	22.80
11019	SG Issuer – WH Group European Warrants Jan 2019 A	100,000,000	2017/08/25	2019/01/04	25.00
11040	UBS AG – Ping An European Warrants Jan 2018 G	100,000,000	2017/08/25	2018/01/30	15.10
11053	UBS AG – Ping An European Put Warrants Feb 2018 A	100,000,000	2017/08/25	2018/02/21	48.70
11164	BNP Paribas Issuance B.V. – Tencent Euro Wts Mar 2018 C	80,000,000	2017/08/28	2018/03/02	14.40
11149	BNP Paribas Issuance B.V. – Tencent Euro Wts Aug 2018 D	80,000,000	2017/08/28	2018/08/02	20.00
11288	Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2018 F	80,000,000	2017/08/28	2018/01/26	20.56
11302	Goldman Sachs SP (Asia) – Lenovo European Wts Feb 2018 A	40,000,000	2017/08/28	2018/02/28	10.00
11258	Goldman Sachs SP (Asia) – Tencent European Wt Dec 2017 H	40,000,000	2017/08/28	2017/12/22	45.24
11332	Haitong Int'l Sec – BOC HK European Warrants Jan 2018 A	68,000,000	2017/08/28	2018/01/08	12.78
11341	Haitong Int'l Sec – Greentown China European Wts Oct 2018 A	100,000,000	2017/08/28	2018/10/31	25.30
11333	Haitong Int'l Sec – Logan PPT European Warrants Mar 2019 A	100,000,000	2017/08/28	2019/03/29	25.20
11328	Haitong Int'l Sec – Maanshan Iron European Warrants Mar 18 A	30,000,000	2017/08/28	2018/03/29	13.80
11331	Haitong Int'l Sec – Tencent European Warrants Feb 2018 B	100,000,000	2017/08/28	2018/02/28	18.50
11308	J P Morgan SP BV – Minsheng Bank European Wts Mar 2018 A	60,000,000	2017/08/28	2018/03/01	18.42
11312	J P Morgan SP BV – Sinopec Corp European Warrants Mar 2018 A	100,000,000	2017/08/28	2018/03/01	25.30
11315	J P Morgan SP BV – Henderson Land Dev European Wt Apr 2018 A	60,000,000	2017/08/28	2018/04/03	15.18
11320	J P Morgan SP BV – Lenovo European Warrants Mar 2018 A	100,000,000	2017/08/28	2018/03/01	25.20
11168	Macquarie Bank Ltd. – CNOOC European Warrants Mar 2018 C	40,000,000	2017/08/28	2018/03/02	10.04
11199	Macquarie Bank Ltd. – Digital China European Wts Jul 2018 A	9,000,000	2017/08/28	2018/07/17	10.02
11195	Macquarie Bank Ltd. – Tencent European Warrants Apr 2018 D	40,000,000	2017/08/28	2018/04/04	15.60
11198	Macquarie Bank Ltd. – Tencent European Put Wts Apr 2018 E	40,000,000	2017/08/28	2018/04/04	15.60
11220	SG Issuer – CNOOC European Warrants Mar 2018 A	35,000,000	2017/08/28	2018/03/05	10.15
11201	SG Issuer – ChiUnicom European Warrants Feb 2018 B	10,000,000	2017/08/28	2018/02/05	11.20

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11204	SG Issuer – ChiUnicom European Warrants Mar 2018 A	15,000,000	2017/08/28	2018/03/05	10.05
11230	SG Issuer – Ping An European Warrants Feb 2018 F	100,000,000	2017/08/28	2018/02/05	24.00
11232	SG Issuer – Ping An European Warrants Mar 2018 B	100,000,000	2017/08/28	2018/03/05	25.00
11235	UBS AG – China Unicom European Warrants Feb 2018 A	25,000,000	2017/08/28	2018/02/27	15.55
11236	UBS AG – Galaxy Ent European Warrants Dec 2017 C	70,000,000	2017/08/28	2017/12/21	20.23
11365	BOCI Asia Ltd. – CNOOC European Warrants Jan 2018 A	80,000,000	2017/08/29	2018/01/19	20.08
11361	BOCI Asia Ltd. – China Unicom European Warrants Mar 2018 A	60,000,000	2017/08/29	2018/03/28	47.58
11356	BOCI Asia Ltd. – China Unicom European Warrants Dec 2018 A	100,000,000	2017/08/29	2018/12/28	25.00
11373	BOCI Asia Ltd. – Galaxy Ent European Warrants Jan 2018 A	100,000,000	2017/08/29	2018/01/26	26.00
11369	BOCI Asia Ltd. – Sands China European Warrants Jan 2018 A	100,000,000	2017/08/29	2018/01/29	16.00
11403	BNP Paribas Issuance B.V. – CSOP A50 ETF Eu Wts Mar 2018 B	30,000,000	2017/08/29	2018/03/02	10.80
11413	BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Mar 2018 A	40,000,000	2017/08/29	2018/03/02	10.00
11407	BNP Paribas Issuance B.V. – ICBC European Wts Oct 2018 B	50,000,000	2017/08/29	2018/10/03	12.50
10481	BNP Paribas Issuance B.V. – USD/CNH European Put Wts Jun 18A	50,000,000	2017/08/29	2018/06/22	12.50
11480	Credit Suisse AG – BBMG European Warrants Feb 2018 A	15,000,000	2017/08/29	2018/02/28	10.05
11501	Credit Suisse AG – BYD Electronic European Warrants Feb 18 B	40,000,000	2017/08/29	2018/02/28	10.00
11470	Credit Suisse AG – CNOOC European Warrants Dec 2017 A	50,000,000	2017/08/29	2017/12/29	10.00
11475	Credit Suisse AG – Sinopec Corp European Warrants Feb 2018 A	40,000,000	2017/08/29	2018/02/28	10.00
11467	Credit Suisse AG – Lenovo European Warrants Feb 2018 A	40,000,000	2017/08/29	2018/02/28	10.80
11495	Credit Suisse AG – Ping An European Warrants Feb 2018 B	100,000,000	2017/08/29	2018/02/28	25.00
11478	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 J	150,000,000	2017/08/29	2018/02/05	33.00
11510	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Mar 2018 A	80,000,000	2017/08/29	2018/03/01	20.00
11514	Goldman Sachs SP (Asia) – CNOOC European Warrants Dec 2017 C	80,000,000	2017/08/29	2017/12/01	12.00
11524	Goldman Sachs SP (Asia) – CNOOC European Warrants Jan 2018 A	80,000,000	2017/08/29	2018/01/19	14.88
11539	Goldman Sachs SP (Asia) – HKEX European Warrants Feb 2018 C	150,000,000	2017/08/29	2018/02/05	22.50
11545	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 H	80,000,000	2017/08/29	2018/01/24	19.76
11598	J P Morgan SP BV – BankComm European Warrants Mar 2018 A	60,000,000	2017/08/29	2018/03/01	15.42
11560	J P Morgan SP BV – Ping An European Warrants Mar 2018 B	100,000,000	2017/08/29	2018/03/02	25.20
11595	J P Morgan SP BV – Ping An European Put Warrants Mar 2018 C	100,000,000	2017/08/29	2018/03/01	29.40
11615	J P Morgan SP BV – Want Want Ch European Warrants Jan 2018 A	50,000,000	2017/08/29	2018/01/02	10.00
11423	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Mar 2018 B	28,000,000	2017/08/29	2018/03/02	10.16
11377	Macquarie Bank Ltd. – China Unicom European Wts Feb 2018 A	13,000,000	2017/08/29	2018/02/02	10.54
11426	Macquarie Bank Ltd. – HSBC European Warrants Mar 2018 C	17,000,000	2017/08/29	2018/03/02	10.01
11434	Macquarie Bank Ltd. – HSBC European Warrants Jun 2018 B	18,000,000	2017/08/29	2018/06/04	10.03
11440	Macquarie Bank Ltd. – Ping An European Warrants Jul 2018 C	20,000,000	2017/08/29	2018/07/04	10.06
11442	Macquarie Bank Ltd. – Ping An European Warrants Jul 2018 D	40,000,000	2017/08/29	2018/07/04	20.00
11446	Macquarie Bank Ltd. – Ping An European Put Warrants Aug 18 A	40,000,000	2017/08/29	2018/08/02	15.28
11453	SG Issuer – KECL European Warrants Mar 2018 A	15,000,000	2017/08/29	2018/03/05	10.05
11448	SG Issuer – Kingsoft European Warrants Feb 2018 A	58,000,000	2017/08/29	2018/02/05	10.15
11455	SG Issuer – Li & Fung European Warrants Mar 2018 A	63,000,000	2017/08/29	2018/03/05	10.08
11465	SG Issuer – Ping An European Warrants Mar 2018 C	100,000,000	2017/08/29	2018/03/05	25.00
11505	UBS AG – CNOOC European Warrants Nov 2017 A	70,000,000	2017/08/29	2017/11/28	10.50
11509	UBS AG – HSI European Warrants Apr 2018 B	200,000,000	2017/08/29	2018/04/27	31.20
11503	UBS AG – Lenovo European Warrants Feb 2018 A	40,000,000	2017/08/29	2018/02/28	10.20

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
11508	UBS AG – PetroChina European Warrants Dec 2017 B	50,000,000	2017/08/29	2017/12/28	10.45
11400	Bank Vontobel – Ping An European Warrants Mar 2018 A	80,000,000	2017/08/29	2018/03/01	20.08
11394	Bank Vontobel – Tencent European Warrants Mar 2018 A	100,000,000	2017/08/29	2018/03/05	15.10
11634	BNP Paribas Issuance B.V. – Ping An European Wt Feb 2018 A	50,000,000	2017/08/31	2018/02/02	10.00
11670	BNP Paribas Issuance B.V. – Ping An European Wt Feb 2018 B	40,000,000	2017/08/31	2018/02/02	10.80
11635	BNP Paribas Issuance B.V. – Ping An European Wt May 2018 A	40,000,000	2017/08/31	2018/05/03	10.00
11730	Credit Suisse AG – Cathay Pacific European Wts Dec 2018 A	60,000,000	2017/08/31	2018/12/19	10.20
11802	Credit Suisse AG – CPIC European Warrants Mar 2018 A	40,000,000	2017/08/31	2018/03/02	10.00
11708	Credit Suisse AG – China Tel European Warrants Jan 2018 A	60,000,000	2017/08/31	2018/01/31	10.02
11706	Credit Suisse AG – ChiUnicom European Warrants Jan 2018 A	25,000,000	2017/08/31	2018/01/24	18.00
11788	Credit Suisse AG – Fosun Intl European Warrants Mar 2018 A	70,000,000	2017/08/31	2018/03/26	10.50
11710	Credit Suisse AG – Galaxy Ent European Warrants Jan 2018 A	50,000,000	2017/08/31	2018/01/29	12.00
11796	Credit Suisse AG – Henganintl European Warrants Mar 2018 A	20,000,000	2017/08/31	2018/03/02	10.00
11736	Credit Suisse AG – Huaneng Power European Warrants Jan 2018 A	50,000,000	2017/08/31	2018/01/31	10.00
11704	Credit Suisse AG – KB Laminates European Warrants May 2018 A	40,000,000	2017/08/31	2018/05/04	10.00
11701	Credit Suisse AG – Kingsoft European Warrants Mar 2018 A	40,000,000	2017/08/31	2018/03/02	10.00
11765	Credit Suisse AG – Link REIT European Warrants Feb 2018 A	60,000,000	2017/08/31	2018/02/28	10.02
11778	Credit Suisse AG – Ping An European Put Warrants Mar 2018 A	100,000,000	2017/08/31	2018/03/02	46.00
11760	Credit Suisse AG – CRRC Times European Warrants Mar 2018 A	40,000,000	2017/08/31	2018/03/16	10.00
11782	Credit Suisse AG – Zhaojin Mining European Warrants Mar 18 A	15,000,000	2017/08/31	2018/03/05	10.05
11803	Goldman Sachs SP (Asia) – Agri Bk European Wts Jan 2018 A	80,000,000	2017/08/31	2018/01/24	12.00
11852	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Jan 2018 A	60,000,000	2017/08/31	2018/01/26	12.96
11846	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Apr 2018 A	30,000,000	2017/08/31	2018/04/03	15.42
11854	Goldman Sachs SP (Asia) – ICBC European Warrants Feb 2018 D	80,000,000	2017/08/31	2018/02/21	12.16
11847	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 L	150,000,000	2017/08/31	2018/02/05	28.80
11830	Goldman Sachs SP (Asia) – Tencent European Wt Mar 2018 C	150,000,000	2017/08/31	2018/03/02	37.50
12126	Haitong Int'l Sec – BJ Ent Water European Warrants Oct 2019 A	100,000,000	2017/08/31	2019/10/28	25.00
12135	Haitong Int'l Sec – CC Bank European Warrants Mar 2018 C	100,000,000	2017/08/31	2018/03/29	50.00
12142	Haitong Int'l Sec – CC Bank European Warrants Sep 2018 A	100,000,000	2017/08/31	2018/09/28	26.00
12134	Haitong Int'l Sec – CC Bank European Put Warrants Mar 2018 B	50,000,000	2017/08/31	2018/03/05	16.00
12131	Haitong Int'l Sec – CNOOC European Warrants May 2018 B	50,000,000	2017/08/31	2018/05/29	21.50
12132	Haitong Int'l Sec – CNOOC European Warrants Jun 2018 A	50,000,000	2017/08/31	2018/06/29	14.50
12127	Haitong Int'l Sec – Sinopec Corp European Wts Mar 2018 A	50,000,000	2017/08/31	2018/03/29	15.50
12128	Haitong Int'l Sec – Sinopec Corp European Wts Jun 2018 A	50,000,000	2017/08/31	2018/06/29	12.50
12129	Haitong Int'l Sec – IGG European Warrants Jun 2018 A	100,000,000	2017/08/31	2018/06/29	45.30
12125	Haitong Int'l Sec – Poly Property European Warrants Apr 18 A	50,000,000	2017/08/31	2018/04/30	25.00
11880	J P Morgan SP BV – BOCL European Warrants May 2018 B	100,000,000	2017/08/31	2018/05/31	15.10
11876	J P Morgan SP BV – CC Bank European Wts Mar 2018 A	100,000,000	2017/08/31	2018/03/29	18.90
11886	J P Morgan SP BV – CGN Power European Warrants Apr 2018 A	60,000,000	2017/08/31	2018/04/03	15.12
11878	J P Morgan SP BV – ICBC European Put Wts Apr 2018 A	100,000,000	2017/08/31	2018/04/03	25.10
11624	Macquarie Bank Ltd. – CM Bank European Warrants Feb 2019 A	37,000,000	2017/08/31	2019/02/04	10.03
11631	Macquarie Bank Ltd. – Tencent European Warrants Apr 2018 F	23,000,000	2017/08/31	2018/04/04	10.01
11926	SG Issuer – Anhui Conch European Warrants Apr 2018 A	40,000,000	2017/08/31	2018/04/06	12.00
11918	SG Issuer – AGBK European Warrants Apr 2018 A	60,000,000	2017/08/31	2018/04/06	15.00



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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
11943	SG Issuer – CCCCL European Warrants Apr 2018 A	16,000,000	2017/08/31	2018/04/06	10.08
11975	SG Issuer – CCCCL European Warrants Oct 2019 A	100,000,000	2017/08/31	2019/10/04	25.00
11942	SG Issuer – CCoal European Warrants Mar 2018 A	30,000,000	2017/08/31	2018/03/05	10.05
11987	SG Issuer – CP&CC European Warrants Mar 2018 A	60,000,000	2017/08/31	2018/03/05	19.20
11980	SG Issuer – CPIC Eur Wt Apr 2018 A	40,000,000	2017/08/31	2018/04/06	10.00
11901	SG Issuer – CRCC European Warrants Mar 2018 A	11,000,000	2017/08/31	2018/03/05	10.01
11902	SG Issuer – China Res Land European Warrants Mar 2018 A	40,000,000	2017/08/31	2018/03/05	10.00
12102	SG Issuer – CRRC European Warrants Dec 2019 A	100,000,000	2017/08/31	2019/12/31	15.00
11988	SG Issuer – Shenhua European Warrants Mar 2018 A	70,000,000	2017/08/31	2018/03/05	10.50
12109	SG Issuer – GAC European Warrants Mar 2018 B	60,000,000	2017/08/31	2018/03/05	15.00
12110	SG Issuer – HLand European Warrants May 2018 A	40,000,000	2017/08/31	2018/05/04	10.00
12113	SG Issuer – JIANC European Warrants Jul 2018 A	80,000,000	2017/08/31	2018/07/05	12.00
11912	SG Issuer – Lenovo European Warrants Mar 2018 A	35,000,000	2017/08/31	2018/03/05	10.15
12114	SG Issuer – MGM China European Warrants Jul 2018 A	60,000,000	2017/08/31	2018/07/05	15.00
12115	SG Issuer – NCI European Warrants Mar 2018 A	28,000,000	2017/08/31	2018/03/05	10.22
12120	SG Issuer – PICC P&C European Warrants Nov 2018 A	80,000,000	2017/08/31	2018/11/05	20.00
12121	SG Issuer – Sands China European Warrants Apr 2018 B	60,000,000	2017/08/31	2018/04/06	16.20
12122	SG Issuer – SHK P European Warrants Mar 2018 A	20,000,000	2017/08/31	2018/03/05	11.40
12124	SG Issuer – Wynn European Warrants Aug 2018 A	60,000,000	2017/08/31	2018/08/03	15.00
11683	UBS AG – ICBC European Warrants Mar 2018 A	70,000,000	2017/08/31	2018/03/02	17.71
11676	UBS AG – KECL European Warrants Mar 2018 A	20,000,000	2017/08/31	2018/03/02	10.18
11672	UBS AG – Kingsoft European Warrants Feb 2018 A	100,000,000	2017/08/31	2018/02/23	16.40
27782 #	Credit Suisse AG – China Life European Warrants Jan 2018 A	80,000,000	2017/08/31	2018/01/30	10.64
12165	BOCI Asia Ltd. – BOCL European Warrants May 2018 B	100,000,000	2017/09/01	2018/05/28	18.30
12162	BOCI Asia Ltd. – CC Bank European Warrants Mar 2018 A	100,000,000	2017/09/01	2018/03/22	29.20
12166	BOCI Asia Ltd. – CNOOC European Warrants Jan 2018 B	100,000,000	2017/09/01	2018/01/29	65.00
12173	BOCI Asia Ltd. – Sinopec Corp European Warrants Apr 2018 A	100,000,000	2017/09/01	2018/04/03	36.30
12160	BOCI Asia Ltd. – HSBC European Warrants Mar 2018 A	200,000,000	2017/09/01	2018/03/19	103.20
12163	BOCI Asia Ltd. – ICBC European Warrants Mar 2018 A	100,000,000	2017/09/01	2018/03/05	25.10
12172	BOCI Asia Ltd. – PetroChina European Warrants Jan 2018 B	100,000,000	2017/09/01	2018/01/29	20.20
12176	BNP Paribas Issuance B.V. – China Overseas Eu Wt Aug 2018 A	60,000,000	2017/09/01	2018/08/02	15.00
12205	Credit Suisse AG – BOCL European Warrants May 2018 B	50,000,000	2017/09/01	2018/05/31	10.00
12195	Credit Suisse AG – CC Bank European Warrants Mar 2018 A	50,000,000	2017/09/01	2018/03/02	12.50
12198	Credit Suisse AG – CC Bank European Put Warrants Mar 2018 B	100,000,000	2017/09/01	2018/03/02	41.00
12206	Credit Suisse AG – CNOOC European Warrants Dec 2017 B	60,000,000	2017/09/01	2017/12/29	10.02
12200	Credit Suisse AG – ICBC European Warrants Mar 2018 A	50,000,000	2017/09/01	2018/03/02	12.50
12203	Credit Suisse AG – ICBC European Put Warrants Mar 2018 B	80,000,000	2017/09/01	2018/03/02	25.60
12237	Goldman Sachs SP (Asia) – AIA European Warrants Feb 2018 B	80,000,000	2017/09/01	2018/02/08	12.00
12239	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2018 A	80,000,000	2017/09/01	2018/03/22	14.72
12238	Goldman Sachs SP (Asia) – ICBC European Warrants Mar 2018 A	80,000,000	2017/09/01	2018/03/01	20.00
12230	Goldman Sachs SP (Asia) – Ping An European Wts Mar 2018 A	80,000,000	2017/09/01	2018/03/01	20.00
12234	Goldman Sachs SP (Asia) – Ping An European Wts Mar 2018 B	80,000,000	2017/09/01	2018/03/01	20.00
12241	J P Morgan SP BV – BOC HK European Warrants Apr 2018 B	70,000,000	2017/09/01	2018/04/09	10.50
12244	J P Morgan SP BV – CPIC European Warrants Mar 2018 A	60,000,000	2017/09/01	2018/03/02	15.00

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
12240	J P Morgan SP BV – ICBC European Warrants Mar 2018 A	100,000,000	2017/09/01	2018/03/29	25.20
12243	J P Morgan SP BV – Sunny Optical European Warrants Aug 2018 A	100,000,000	2017/09/01	2018/08/01	20.50
12143	Macquarie Bank Ltd. – Chinasoft Int'l European Wt May 2018 A	17,000,000	2017/09/01	2018/05/18	10.30
12179	SG Issuer – BOCL European Warrants Feb 2018 B	80,000,000	2017/09/01	2018/02/05	12.80
12180	SG Issuer – BOCL European Warrants Aug 2018 A	80,000,000	2017/09/01	2018/08/03	20.00
12182	SG Issuer – CCB European Warrants Apr 2018 A	80,000,000	2017/09/01	2018/04/06	20.00
12183	SG Issuer – CCB European Warrants Aug 2018 A	80,000,000	2017/09/01	2018/08/03	24.00
12226	SG Issuer – China Life European Warrants Mar 2018 A	15,000,000	2017/09/01	2018/03/05	19.05
12186	SG Issuer – Minsheng Bank European Warrants Mar 2018 A	30,000,000	2017/09/01	2018/03/05	10.05
12187	SG Issuer – HKEX European Warrants Jan 2018 C	23,000,000	2017/09/01	2018/01/04	10.12
12189	SG Issuer – HKEX European Warrants Feb 2018 D	20,000,000	2017/09/01	2018/02/05	14.40
12190	SG Issuer – ICBC European Warrants Apr 2018 A	80,000,000	2017/09/01	2018/04/06	20.00
12192	SG Issuer – ICBC European Warrants Aug 2018 A	80,000,000	2017/09/01	2018/08/03	20.00
12193	SG Issuer – Petch European Warrants Feb 2018 A	70,000,000	2017/09/01	2018/02/05	10.50
12207	UBS AG – BOCL European Warrants May 2018 A	70,000,000	2017/09/01	2018/05/28	13.30
12225	UBS AG – BankComm European Warrants Feb 2018 A	70,000,000	2017/09/01	2018/02/27	11.41
12208	UBS AG – CC Bank European Warrants Feb 2018 A	70,000,000	2017/09/01	2018/02/27	20.30
12224	UBS AG – CM Bank European Warrants Apr 2018 A	50,000,000	2017/09/01	2018/04/30	12.50
12209	UBS AG – CPIC European Warrants Mar 2018 A	70,000,000	2017/09/01	2018/03/19	17.92
12210	UBS AG – CSOP A50 ETF European Warrants Feb 2018 A	70,000,000	2017/09/01	2018/02/28	20.58
12213	UBS AG – HSCEI European Warrants Apr 2018 A	100,000,000	2017/09/01	2018/04/27	19.10
12150	Bank Vontobel – CC Bank European Warrants Jan 2018 A	50,000,000	2017/09/01	2018/01/31	10.75
12159	Bank Vontobel – HKEX European Warrants Mar 2018 A	100,000,000	2017/09/01	2018/03/29	15.30
12153	Bank Vontobel – HSBC European Warrants Feb 2018 A	80,000,000	2017/09/01	2018/02/01	12.64
12148	Bank Vontobel – Tencent European Warrants Jan 2018 A	100,000,000	2017/09/01	2018/01/29	19.80
12307	BNP Paribas Issuance B.V. – China Life Euro Wts Sep 2018 A	60,000,000	2017/09/04	2018/09/04	15.00
12298	BNP Paribas Issuance B.V. – ICBC European Wts Sep 2018 A	50,000,000	2017/09/04	2018/09/04	13.00
12308	BNP Paribas Issuance B.V. – PetroCh Euro Wts Aug 2018 A	40,000,000	2017/09/04	2018/08/02	10.00
12414	Credit Suisse AG – CSOP A50 ETF European Warrants Mar 2018 A	40,000,000	2017/09/04	2018/03/05	10.00
12415	Credit Suisse AG – New World Dev European Wts Jan 2018 A	25,000,000	2017/09/04	2018/01/31	10.00
12436	Credit Suisse AG – Sunny Optical European Warrants Jul 2018A	100,000,000	2017/09/04	2018/07/31	25.00
12421	Credit Suisse AG – Tencent Holdings European Wts Jan 2018 H	150,000,000	2017/09/04	2018/01/29	30.00
12384	Goldman Sachs SP (Asia) – BYD European Warrants Mar 2018 A	40,000,000	2017/09/04	2018/03/29	13.52
12356	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2018 D	80,000,000	2017/09/04	2018/01/24	19.28
12399	Goldman Sachs SP (Asia) – China Life Euro Wts Jan 2018 C	80,000,000	2017/09/04	2018/01/26	12.00
12363	Goldman Sachs SP (Asia) – ICBC European Warrants Mar 2018 B	80,000,000	2017/09/04	2018/03/05	20.00
12316	J P Morgan SP BV – ICBC European Warrants Dec 2017 B	100,000,000	2017/09/04	2017/12/04	16.20
12311	J P Morgan SP BV – Ping An European Warrants May 2018 A	100,000,000	2017/09/04	2018/05/30	26.70
12245	Macquarie Bank Ltd. – BOCL European Warrants May 2018 A	40,000,000	2017/09/04	2018/05/03	10.04
12246	Macquarie Bank Ltd. – CC Bank European Warrants Apr 2018 A	32,000,000	2017/09/04	2018/04/04	10.24
12254	Macquarie Bank Ltd. – CM Bank European Put Warrants Jul 18 B	32,000,000	2017/09/04	2018/07/04	10.02
12249	Macquarie Bank Ltd. – A50 China European Warrants Feb 2018 A	30,000,000	2017/09/04	2018/02/02	10.26
12259	Macquarie Bank Ltd. – Kingsoft European Warrants May 2018 A	33,000,000	2017/09/04	2018/05/03	10.03
12253	Macquarie Bank Ltd. – Lenovo European Warrants May 2018 B	20,000,000	2017/09/04	2018/05/03	10.00

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		(units)	Listing date	Expiry	
12289	SG Issuer – CAM CSI300 European Warrants Mar 2018 A	50,000,000	2017/09/04	2018/03/05	12.50
12275	SG Issuer – CGS European Warrants Apr 2018 A	14,000,000	2017/09/04	2018/04/06	10.08
12278	SG Issuer – CITIC Sec European Warrants Mar 2018 A	8,000,000	2017/09/04	2018/03/05	11.04
12292	SG Issuer – CSOP A50 ETF European Warrants Mar 2018 A	25,000,000	2017/09/04	2018/03/05	18.25
12294	SG Issuer – CSOP A50 ETF European Warrants Mar 2018 B	25,000,000	2017/09/04	2018/03/05	10.00
12297	SG Issuer – A50 China European Warrants Mar 2018 A	25,000,000	2017/09/04	2018/03/05	19.50
12283	SG Issuer – Greatwall Motor European Warrants Apr 2018 A	13,000,000	2017/09/04	2018/04/06	10.01
12296	SG Issuer – HS H-SHARE European Warrants Mar 2018 A	36,000,000	2017/09/04	2018/03/05	10.08
12286	SG Issuer – HSI European Warrants Jan 2019 A	150,000,000	2017/09/04	2019/01/30	37.50
12284	SG Issuer – Haitong Sec European Warrants Mar 2018 A	10,000,000	2017/09/04	2018/03/05	11.20
12287	SG Issuer – Tencent European Warrants Apr 2018 I	200,000,000	2017/09/04	2018/04/06	35.00
12352	UBS AG – CITIC European Warrants Mar 2018 A	25,000,000	2017/09/04	2018/03/08	11.90
12263	Bank Vontobel – China Life European Warrants Mar 2018 A	80,000,000	2017/09/04	2018/03/29	12.16
12268	Bank Vontobel – CNOOC European Warrants Dec 2017 A	70,000,000	2017/09/04	2017/12/29	10.64
12271	Bank Vontobel – Galaxy Ent European Warrants Feb 2018 A	50,000,000	2017/09/04	2018/02/05	11.60
12262	Bank Vontobel – ICBC European Warrants Apr 2018 A	50,000,000	2017/09/04	2018/04/06	12.65
12471	BOCI Asia Ltd. – CKH European Warrants Mar 2018 A	100,000,000	2017/09/05	2018/03/27	40.40
12488	BOCI Asia Ltd. – Haitong Sec European Warrants Aug 2018 A	100,000,000	2017/09/05	2018/08/28	15.20
12576	BNP Paribas Issuance B.V. – CITIC Euro Warrants Apr 2018 A	40,000,000	2017/09/05	2018/04/04	13.20
12494	BNP Paribas Issuance B.V. – Evergrande Euro Wts Apr 2018 B	50,000,000	2017/09/05	2018/04/04	20.00
12502	BNP Paribas Issuance B.V. – Evergrande Euro Wts Apr 2018 C	50,000,000	2017/09/05	2018/04/04	23.50
12804	Credit Suisse AG – Anta Sports European Warrants May 2018 A	30,000,000	2017/09/05	2018/05/31	10.20
12822	Credit Suisse AG – BYD European Warrants Jan 2018 A	25,000,000	2017/09/05	2018/01/31	10.00
12785	Credit Suisse AG – China EB Int'l European Wts Jul 2018 A	40,000,000	2017/09/05	2018/07/31	10.00
12695	Credit Suisse AG – China Life European Warrants Mar 2018 B	70,000,000	2017/09/05	2018/03/26	17.50
12788	Credit Suisse AG – CSDC European Warrants Jun 2018 A	40,000,000	2017/09/05	2018/06/29	10.00
12880	Credit Suisse AG – COSCO Sh Hold European Warrants Mar 2018 B	15,000,000	2017/09/05	2018/03/05	11.25
12807	Credit Suisse AG – ChiUnicom European Warrants Dec 2018 A	100,000,000	2017/09/05	2018/12/31	15.00
12820	Credit Suisse AG – Geely Auto European Warrants Feb 2018 E	100,000,000	2017/09/05	2018/02/28	35.00
12726	Credit Suisse AG – Maanshan Iron European Warrants Mar 2018 A	18,000,000	2017/09/05	2018/03/05	10.08
12732	Credit Suisse AG – Sh Petrochem European Warrants Mar 2018 A	25,000,000	2017/09/05	2018/03/05	10.00
12736	Credit Suisse AG – Yanzhou Coal European Warrants Feb 2018 A	15,000,000	2017/09/05	2018/02/07	10.05
12647	HK Bank – Agricultural Bank European Warrants Jan 2018 A	80,000,000	2017/09/05	2018/01/29	12.00
12637	HK Bank – CNOOC European Warrants Mar 2018 A	40,000,000	2017/09/05	2018/03/05	10.00
12640	HK Bank – Geely Auto European Put Warrants Mar 2018 C	100,000,000	2017/09/05	2018/03/05	25.00
12586	HK Bank – HKEX European Warrants Apr 2018 A	150,000,000	2017/09/05	2018/04/03	22.50
12629	HK Bank – Ping An European Warrants Jan 2018 D	100,000,000	2017/09/05	2018/01/29	15.00
12577	HK Bank – Tencent European Warrants Dec 2017 G	20,000,000	2017/09/05	2017/12/22	12.00
12585	HK Bank – Tencent European Warrants Apr 2018 A	150,000,000	2017/09/05	2018/04/23	22.50
12974	Haitong Int'l Sec – Agile Ppt European Warrants Dec 2019 A	100,000,000	2017/09/05	2019/12/31	25.00
12978	Haitong Int'l Sec – CITIC Sec European Warrants Sep 2018 A	80,000,000	2017/09/05	2018/09/26	15.12
12986	Haitong Int'l Sec – CITIC Sec European Warrants Sep 2018 B	100,000,000	2017/09/05	2018/09/28	27.80
12568	Haitong Int'l Sec – CNBM European Warrants Mar 2018 A	50,000,000	2017/09/05	2018/03/05	17.00
12552	Haitong Int'l Sec – China Power European Warrants Jun 2018 A	50,000,000	2017/09/05	2018/06/29	17.50

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
12897	Haitong Int'l Sec - CSOP A50 ETF European Warrants Mar 2018 B	40,000,000	2017/09/05	2018/03/08	16.40
12907	Haitong Int'l Sec - CSOP A50 ETF European Warrants Mar 2018 C	40,000,000	2017/09/05	2018/03/29	10.00
12933	Haitong Int'l Sec - Evergrande European Warrants Jun 2018 A	50,000,000	2017/09/05	2018/06/28	45.60
12929	Haitong Int'l Sec - Evergrande European Warrants Aug 2018 A	50,000,000	2017/09/05	2018/08/08	48.50
12909	Haitong Int'l Sec - A50 China European Warrants Mar 2018 C	40,000,000	2017/09/05	2018/03/29	16.80
12911	Haitong Int'l Sec - A50 China European Warrants Mar 2018 D	40,000,000	2017/09/05	2018/03/29	10.80
12536	Haitong Int'l Sec - GAC European Warrants Mar 2018 A	60,000,000	2017/09/05	2018/03/23	10.38
12524	Haitong Int'l Sec - HKEX European Warrants May 2018 B	200,000,000	2017/09/05	2018/05/29	31.40
12508	Haitong Int'l Sec - HKEX European Warrants Jun 2018 B	150,000,000	2017/09/05	2018/06/25	22.50
12511	Haitong Int'l Sec - HKEX European Warrants Jul 2018 A	200,000,000	2017/09/05	2018/07/30	40.40
12883	Haitong Int'l Sec - IGG European Warrants Jun 2018 B	80,000,000	2017/09/05	2018/06/25	32.16
12885	Haitong Int'l Sec - IGG European Warrants Aug 2018 A	80,000,000	2017/09/05	2018/08/08	31.12
12977	Haitong Int'l Sec - Kingsoft European Warrants Apr 2018 A	100,000,000	2017/09/05	2018/04/30	26.90
12554	Haitong Int'l Sec - Sunny Optical European Wts Jul 2018 A	100,000,000	2017/09/05	2018/07/27	19.20
12823	J P Morgan SP BV - HSCEI European Wts Nov 2018 A	200,000,000	2017/09/05	2018/11/29	50.60
12862	J P Morgan SP BV - HTSC European Warrants Dec 2018 A	100,000,000	2017/09/05	2018/12/31	25.50
12438	Macquarie Bank Ltd. - Ch Comm Cons European Wts Jul 2018 A	7,000,000	2017/09/05	2018/07/04	10.50
12465	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Apr 2018 A	28,000,000	2017/09/05	2018/04/04	10.08
12662	Macquarie Bank Ltd. - New World Dev European Wts May 2018 A	40,000,000	2017/09/05	2018/05/03	10.00
12667	SG Issuer - BYD European Warrants Apr 2018 A	20,000,000	2017/09/05	2018/04/06	11.80
12682	SG Issuer - ChiUnicom European Warrants Apr 2018 A	11,000,000	2017/09/05	2018/04/06	10.01
12668	SG Issuer - Meitu European Warrants Apr 2018 A	50,000,000	2017/09/05	2018/04/06	16.50
12677	UBS AG - BYD European Warrants Feb 2018 A	50,000,000	2017/09/05	2018/02/27	15.05
13240	BOCI Asia Ltd. - CAM CSI300 European Warrants Mar 2018 A	100,000,000	2017/09/06	2018/03/12	25.00
13256	BOCI Asia Ltd. - BOC HK European Warrants Mar 2018 B	100,000,000	2017/09/06	2018/03/12	25.00
13263	BOCI Asia Ltd. - China Life European Warrants Feb 2018 A	100,000,000	2017/09/06	2018/02/09	18.60
13237	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Mar 2018 B	100,000,000	2017/09/06	2018/03/12	25.60
13239	BOCI Asia Ltd. - A50 China European Warrants Mar 2018 B	100,000,000	2017/09/06	2018/03/12	25.00
13270	BOCI Asia Ltd. - Galaxy Ent European Warrants Mar 2018 A	100,000,000	2017/09/06	2018/03/19	28.80
13253	BOCI Asia Ltd. - Tencent Holdings European Wts Feb 2018 F	200,000,000	2017/09/06	2018/02/12	33.60
13303	Credit Suisse AG - CGS European Warrants Jan 2018 A	25,000,000	2017/09/06	2018/01/31	12.50
13309	Credit Suisse AG - HTSC European Warrants Oct 2018 A	40,000,000	2017/09/06	2018/10/31	10.00
13330	Credit Suisse AG - Travelsky Tech European Warrants Mar 18 A	40,000,000	2017/09/06	2018/03/16	10.00
13323	Credit Suisse AG - Xinyi Glass European Warrants Mar 2018 A	15,000,000	2017/09/06	2018/03/05	10.50
13324	Credit Suisse AG - Xinyi Solar European Warrants Mar 2018 A	30,000,000	2017/09/06	2018/03/05	10.20
13378	Bank of East Asia - R&F Ppt European Warrants Apr 2018 A	40,000,000	2017/09/06	2018/04/16	10.00
13387	Bank of East Asia - Sino-Ocean Gp European Warrants Jun 18 A	20,000,000	2017/09/06	2018/06/28	12.22
13503	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Mar 2018 B	80,000,000	2017/09/06	2018/03/06	20.00
13466	Goldman Sachs SP (Asia) - CNOOC European Warrants Dec 2017 D	70,000,000	2017/09/06	2017/12/27	10.50
13495	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jan 2018 B	80,000,000	2017/09/06	2018/01/24	19.44
13470	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Mar 2018 A	80,000,000	2017/09/06	2018/03/06	20.00
13497	Goldman Sachs SP (Asia) - A50 China European Wts Mar 2018 A	80,000,000	2017/09/06	2018/03/06	22.24
12997	HK Bank - BOCL European Warrants Mar 2018 A	50,000,000	2017/09/06	2018/03/05	12.50
13005	HK Bank - CC Bank European Warrants Mar 2018 A	50,000,000	2017/09/06	2018/03/05	12.50

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		(units)	Listing date		
13025	HK Bank – A50 China European Warrants Mar 2018 A	40,000,000	2017/09/06	2018/03/05	12.00
13012	HK Bank – ICBC European Warrants Mar 2018 A	50,000,000	2017/09/06	2018/03/05	12.50
13445	Haitong Int'l Sec – HSI European Warrants Mar 2018 E	150,000,000	2017/09/06	2018/03/28	37.50
13446	Haitong Int'l Sec – HSI European Put Warrants Mar 2018 F	150,000,000	2017/09/06	2018/03/28	26.10
13437	J P Morgan SP BV – BOCL European Put Wts Apr 2018 A	100,000,000	2017/09/06	2018/04/30	25.00
13423	J P Morgan SP BV – CC Bank European Wts May 2018 A	100,000,000	2017/09/06	2018/05/02	25.30
13433	J P Morgan SP BV – CC Bank European Put Wts Mar 2018 B	100,000,000	2017/09/06	2018/03/05	25.30
13412	J P Morgan SP BV – CSOP A50 ETF European Warrants Apr 2018 A	100,000,000	2017/09/06	2018/04/03	25.20
13417	J P Morgan SP BV – A50 China European Warrants Apr 2018 A	100,000,000	2017/09/06	2018/04/03	28.10
13389	J P Morgan SP BV – HSI European Put Warrants Apr 2018 C	200,000,000	2017/09/06	2018/04/27	51.80
13388	J P Morgan SP BV – Tracker Fund European Put Warrants Dec18A	100,000,000	2017/09/06	2018/12/31	25.20
12993	Macquarie Bank Ltd. – Haitong Sec European Wts Jan 2018 A	14,000,000	2017/09/06	2018/01/03	10.70
13276	Macquarie Bank Ltd. – Kingsoft European Put Warrants Dec 18A	33,000,000	2017/09/06	2018/12/04	10.03
13275	Macquarie Bank Ltd. – PSBC European Warrants Jun 2018 A	35,000,000	2017/09/06	2018/06/15	10.26
13302	Macquarie Bank Ltd. – Tencent European Warrants Apr 2018 G	13,000,000	2017/09/06	2018/04/04	10.01
13035	SG Issuer – BOCHK European Warrants Jun 2018 A	30,000,000	2017/09/06	2018/06/05	10.05
13103	SG Issuer – CCB European Put Warrants Mar 2018 B	60,000,000	2017/09/06	2018/03/05	17.70
13110	SG Issuer – China Life European Put Warrants Mar 2018 B	100,000,000	2017/09/06	2018/03/05	26.00
13141	SG Issuer – HSI European Put Warrants Mar 2018 I	150,000,000	2017/09/06	2018/03/28	37.50
13149	SG Issuer – HSI European Put Warrants Apr 2018 F	150,000,000	2017/09/06	2018/04/27	37.50
13159	SG Issuer – HTSC European Warrants Sep 2018 A	40,000,000	2017/09/06	2018/09/05	10.00
13233	SG Issuer – ICBC European Put Wt Apr 2018 B	60,000,000	2017/09/06	2018/04/06	15.00
13234	SG Issuer – Ping An European Put Warrants Mar 2018 D	80,000,000	2017/09/06	2018/03/05	31.20
13236	SG Issuer – Tencent European Put Warrants Mar 2018 K	200,000,000	2017/09/06	2018/03/05	68.00
13645	BOCI Asia Ltd. – AIA European Warrants Feb 2018 B	100,000,000	2017/09/07	2018/02/28	37.70
13623	BOCI Asia Ltd. – CNOOC European Warrants Feb 2018 A	100,000,000	2017/09/07	2018/02/26	26.40
13615	BOCI Asia Ltd. – China Tel European Warrants Jan 2018 A	100,000,000	2017/09/07	2018/01/30	16.50
13655	BOCI Asia Ltd. – HKEX European Put Warrants Mar 2018 C	200,000,000	2017/09/07	2018/03/29	31.00
13660	Credit Suisse AG – China Mengniu European Wts Jun 2018 A	40,000,000	2017/09/07	2018/06/29	10.00
13664	Credit Suisse AG – Tracker Fund European Warrants Mar 2018 A	20,000,000	2017/09/07	2018/03/06	10.00
13666	Credit Suisse AG – Tracker Fund European Put Warrants Mar18B	20,000,000	2017/09/07	2018/03/06	10.00
13700	Goldman Sachs SP (Asia) – AIA European Warrants Jan 2018 B	80,000,000	2017/09/07	2018/01/26	17.76
13708	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Feb 2018 A	60,000,000	2017/09/07	2018/02/23	11.88
13702	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2018 B	80,000,000	2017/09/07	2018/02/09	16.08
13529	HK Bank – CM Bank European Warrants Jul 2018 A	50,000,000	2017/09/07	2018/07/31	12.50
13525	HK Bank – PetroChina European Warrants Mar 2018 A	40,000,000	2017/09/07	2018/03/06	10.00
13556	Haitong Int'l Sec – CHALCO European Warrants Feb 2018 A	33,000,000	2017/09/07	2018/02/22	12.54
13560	Haitong Int'l Sec – Ch Molybdenum European Warrants Mar 18 A	40,000,000	2017/09/07	2018/03/19	28.40
13530	Haitong Int'l Sec – SHK Ppt European Warrants Mar 2018 A	40,000,000	2017/09/07	2018/03/08	10.00
13546	Haitong Int'l Sec – Shimao Property European Warrants Jun18A	40,000,000	2017/09/07	2018/06/08	10.16
13548	Haitong Int'l Sec – SUNAC European Warrants Jan 2018 A	88,000,000	2017/09/07	2018/01/30	29.04
13552	Haitong Int'l Sec – SUNAC European Warrants Jun 2018 A	88,000,000	2017/09/07	2018/06/29	39.07
13698	J P Morgan SP BV – Country Garden European Warrants Nov 18 A	100,000,000	2017/09/07	2018/11/30	15.00
13699	J P Morgan SP BV – HSBC European Warrants Mar 2018 B	100,000,000	2017/09/07	2018/03/16	25.30

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Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
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13674	J P Morgan SP BV – HSI European Put Warrants Jun 2018 A	200,000,000	2017/09/07	2018/06/28	30.80
13564	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Apr 2018 B	12,000,000	2017/09/07	2018/04/04	13.13
13517	Macquarie Bank Ltd. – Geely Auto European Wts Mar 2018 B	33,000,000	2017/09/07	2018/03/02	10.03
13569	Macquarie Bank Ltd. – Tencent European Warrants Apr 2018 H	8,000,000	2017/09/07	2018/04/04	10.01
13580	UBS AG – BOC HK European Warrants Mar 2018 A	60,000,000	2017/09/07	2018/03/12	15.06
13583	UBS AG – BOC HK European Put Warrants Feb 2018 A	50,000,000	2017/09/07	2018/02/27	12.20
13851	BNP Paribas Issuance B.V. – CM Bank Euro Warrants Jun 2018 A	40,000,000	2017/09/08	2018/06/04	10.00
13984	Credit Suisse AG – CKP European Warrants Mar 2018 A	40,000,000	2017/09/08	2018/03/07	10.00
13999	Credit Suisse AG – China Mer Port European Warrants Mar 18 A	40,000,000	2017/09/08	2018/03/07	10.00
13983	Credit Suisse AG – CRRC European Warrants Mar 2018 A	20,000,000	2017/09/08	2018/03/07	10.60
13981	Credit Suisse AG – Henderson Land European Warrants Mar 18 A	40,000,000	2017/09/08	2018/03/07	10.00
13985	Credit Suisse AG – MTRC European Warrants Mar 2018 A	40,000,000	2017/09/08	2018/03/07	10.00
13982	Credit Suisse AG – SHK Ppt European Warrants Mar 2018 A	30,000,000	2017/09/08	2018/03/07	10.35
13934	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2018 A	100,000,000	2017/09/08	2018/04/27	15.00
13942	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2018 C	100,000,000	2017/09/08	2018/03/28	15.00
13921	Goldman Sachs SP (Asia) – Tencent European Wt Mar 2018 D	100,000,000	2017/09/08	2018/03/22	33.70
13825	HK Bank – China Mobile European Warrants Mar 2018 A	40,000,000	2017/09/08	2018/03/07	12.00
13798	HK Bank – CRRC European Warrants Dec 2019 A	100,000,000	2017/09/08	2019/12/23	15.00
13805	HK Bank – Galaxy Ent European Warrants Jan 2018 A	40,000,000	2017/09/08	2018/01/29	14.00
13785	HK Bank – Lenovo European Warrants Mar 2018 A	40,000,000	2017/09/08	2018/03/29	10.00
13824	HK Bank – Sands China European Warrants Mar 2018 B	40,000,000	2017/09/08	2018/03/29	10.00
13915	J P Morgan SP BV – CITIC Sec European Warrants Sep 2018 A	70,000,000	2017/09/08	2018/09/28	10.85
13901	J P Morgan SP BV – China Railway European Wts Mar 2018 A	40,000,000	2017/09/08	2018/03/08	12.20
13874	J P Morgan SP BV – Galaxy Ent European Warrants Apr 2018 A	100,000,000	2017/09/08	2018/04/03	25.10
13877	J P Morgan SP BV – Galaxy Ent European Put Wts Mar 2018 B	100,000,000	2017/09/08	2018/03/29	34.00
13912	J P Morgan SP BV – KECL European Warrants Mar 2018 A	40,000,000	2017/09/08	2018/03/02	14.44
13871	J P Morgan SP BV – PSBC European Warrants Apr 2018 A	40,000,000	2017/09/08	2018/04/03	10.32
13889	J P Morgan SP BV – Sands China European Put Wts Mar 2018 A	100,000,000	2017/09/08	2018/03/29	28.80
13769	Macquarie Bank Ltd. – China Mobile European Wts Mar 2018 C	39,000,000	2017/09/08	2018/03/08	10.14
13747	Macquarie Bank Ltd. – China Mobile European Wts May 2018 A	40,000,000	2017/09/08	2018/05/03	10.04
13756	Macquarie Bank Ltd. – China Mobile European Wts Aug 2018 A	18,000,000	2017/09/08	2018/08/02	10.58
13716	Macquarie Bank Ltd. – CNBM European Put Warrants Jul 2018 A	19,000,000	2017/09/08	2018/07/04	10.01
13729	Macquarie Bank Ltd. – Fosun Intl European Warrants Nov 2018A	40,000,000	2017/09/08	2018/11/19	10.00
13770	Macquarie Bank Ltd. – HKEX European Put Warrants May 2018 C	11,000,000	2017/09/08	2018/05/03	10.13
13779	Macquarie Bank Ltd. – HN Renewables European Wts Sep 2018 A	20,000,000	2017/09/08	2018/09/18	10.00
13733	Macquarie Bank Ltd. – HSBC European Warrants Jun 2018 C	33,000,000	2017/09/08	2018/06/04	10.07
13732	Macquarie Bank Ltd. – HSBC European Warrants Aug 2018 A	17,000,000	2017/09/08	2018/08/02	10.27
13765	Macquarie Bank Ltd. – China Mengniu European Wts Jan 2019 A	40,000,000	2017/09/08	2019/01/03	10.00
13761	Macquarie Bank Ltd. – PICC P&C European Put Warrants Dec 18A	40,000,000	2017/09/08	2018/12/04	10.00
13719	Macquarie Bank Ltd. – PICC Group European Put Wts Jul 2018 A	21,000,000	2017/09/08	2018/07/04	10.02
13764	Macquarie Bank Ltd. – Ping An European Warrants Apr 2018 D	40,000,000	2017/09/08	2018/04/04	10.00
13709	Macquarie Bank Ltd. – Tencent European Warrants Apr 2018 I	8,000,000	2017/09/08	2018/04/04	21.32
13717	Macquarie Bank Ltd. – Tencent European Warrants Apr 2018 J	8,000,000	2017/09/08	2018/04/04	15.12
13783	Macquarie Bank Ltd. – Techtronic Ind European Wts Sep 2018 A	18,000,000	2017/09/08	2018/09/18	10.01

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13957	UBS AG – China Shenhua European Warrants Jun 2018 A	70,000,000	2017/09/08	2018/06/12	11.55
13946	UBS AG – Galaxy Ent European Put Warrants Mar 2018 A	70,000,000	2017/09/08	2018/03/08	24.22
13954	UBS AG – Sands China European Warrants Jan 2018 A	70,000,000	2017/09/08	2018/01/29	17.64
13951	UBS AG – Sands China European Put Warrants Dec 2017 B	70,000,000	2017/09/08	2017/12/20	15.26
29349 #	Credit Suisse AG – Ping An European Warrants Jan 2018 H	60,000,000	2017/09/08	2018/01/24	10.80
14053	BNP Paribas Issuance B.V. – BYD European Wts Feb 2018 A	40,000,000	2017/09/11	2018/02/02	13.20
14008	BNP Paribas Issuance B.V. – CC Bank Euro Wts Apr 2018 C	40,000,000	2017/09/11	2018/04/04	10.00
14041	BNP Paribas Issuance B.V. – CNOOC European Wts Apr 2018 A	40,000,000	2017/09/11	2018/04/04	12.00
14020	BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts May 2018 B	40,000,000	2017/09/11	2018/05/03	10.00
14051	BNP Paribas Issuance B.V. – ICBC European Wts Mar 2018 B	50,000,000	2017/09/11	2018/03/02	10.00
14005	BNP Paribas Issuance B.V. – Ping An European Wt Apr 2018 C	40,000,000	2017/09/11	2018/04/04	10.00
14090	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 K	150,000,000	2017/09/11	2018/02/28	22.50
14196	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2018 C	80,000,000	2017/09/11	2018/02/05	12.00
14191	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Jan 2018 B	60,000,000	2017/09/11	2018/01/23	17.70
14199	Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2018 A	40,000,000	2017/09/11	2018/03/29	10.24
14187	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 M	100,000,000	2017/09/11	2018/02/21	24.00
14116	J P Morgan SP BV – CKP European Warrants Apr 2018 A	100,000,000	2017/09/11	2018/04/03	25.00
14100	J P Morgan SP BV – China Mengniu European Wts Jul 2018 A	60,000,000	2017/09/11	2018/07/31	15.06
14117	J P Morgan SP BV – Tencent European Warrants Mar 2018 I	200,000,000	2017/09/11	2018/03/29	30.40
14066	Macquarie Bank Ltd. – Cathay Pacific European Wts Jun 2018 A	12,000,000	2017/09/11	2018/06/04	10.42
14070	Macquarie Bank Ltd. – Nine Dragons European Wts Nov 2018 A	40,000,000	2017/09/11	2018/11/15	10.00
14168	UBS AG – Lenovo European Warrants Feb 2018 B	45,000,000	2017/09/11	2018/02/27	10.89
14164	UBS AG – SPDR Gold Trust European Warrants Mar 2018 A	35,000,000	2017/09/11	2018/03/12	10.99
14126	UBS AG – Zijin Mining European Warrants Feb 2018 A	30,000,000	2017/09/11	2018/02/01	10.02
14345	BOCI Asia Ltd. – BYD European Warrants Mar 2018 A	25,000,000	2017/09/12	2018/03/21	10.88
14317	BOCI Asia Ltd. – Kingsoft European Warrants Apr 2018 A	100,000,000	2017/09/12	2018/04/23	25.00
14337	BOCI Asia Ltd. – Lenovo European Warrants Feb 2018 A	80,000,000	2017/09/12	2018/02/22	12.00
14346	BOCI Asia Ltd. – Sands China European Warrants Mar 2018 A	100,000,000	2017/09/12	2018/03/27	15.00
14251	Credit Suisse AG – HSBC European Warrants Feb 2018 A	100,000,000	2017/09/12	2018/02/09	30.00
14224	Credit Suisse AG – Ping An European Warrants Feb 2018 C	100,000,000	2017/09/12	2018/02/28	17.00
14356	Goldman Sachs SP (Asia) – Ping An European Wts Feb 2018 A	80,000,000	2017/09/12	2018/02/26	15.20
14362	Goldman Sachs SP (Asia) – Tencent European Wt Mar 2018 E	150,000,000	2017/09/12	2018/03/05	22.50
14302	J P Morgan SP BV – CAM CSI300 European Warrants Aug 2018 A	100,000,000	2017/09/12	2018/08/31	25.10
14273	J P Morgan SP BV – China Huarong European Warrants Feb 2018 A	50,000,000	2017/09/12	2018/02/21	11.50
14271	J P Morgan SP BV – R&F Ppt European Warrants May 2018 A	60,000,000	2017/09/12	2018/05/31	15.24
14293	J P Morgan SP BV – Tencent European Warrants Feb 2018 F	200,000,000	2017/09/12	2018/02/28	30.20
14203	Macquarie Bank Ltd. – Greatwall Motor European Put Wt Jun18A	20,000,000	2017/09/12	2018/06/04	10.00
14201	Macquarie Bank Ltd. – Sunny Optical European Wts Jul 2018 A	40,000,000	2017/09/12	2018/07/23	10.00
14353	UBS AG – Hang Seng Bank European Warrants Mar 2018 A	15,000,000	2017/09/12	2018/03/26	11.84
14568	Credit Suisse AG – China Huarong European Warrants Mar 2018 A	40,000,000	2017/09/13	2018/03/12	10.00
14570	Credit Suisse AG – HSBC European Wts Jan 2018 H	100,000,000	2017/09/13	2018/01/31	15.00
14471	Bank of East Asia – Galaxy Ent European Warrants Apr 2018 A	40,000,000	2017/09/13	2018/04/09	11.20
14473	Bank of East Asia – Ping An European Warrants Jun 2018 A	30,000,000	2017/09/13	2018/06/29	12.72
14628	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2018 D	100,000,000	2017/09/13	2018/03/22	15.00

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14630	Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2018 C	80,000,000	2017/09/13	2018/02/23	12.00
14632	Goldman Sachs SP (Asia) – Ping An European Wts Jan 2018 I	80,000,000	2017/09/13	2018/01/29	12.00
14612	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 N	150,000,000	2017/09/13	2018/02/21	23.10
14535	HK Bank – HKEX European Warrants Feb 2018 B	150,000,000	2017/09/13	2018/02/05	22.50
14553	HK Bank – HSCEI European Warrants Apr 2018 A	180,000,000	2017/09/13	2018/04/27	27.00
14547	HK Bank – HSCEI European Warrants Nov 2018 A	180,000,000	2017/09/13	2018/11/29	27.00
14542	HK Bank – HSI European Warrants Feb 2018 G	180,000,000	2017/09/13	2018/02/27	27.00
14544	HK Bank – HSI European Put Warrants Apr 2018 C	180,000,000	2017/09/13	2018/04/27	27.00
14555	HK Bank – Ping An European Warrants Feb 2018 A	60,000,000	2017/09/13	2018/02/27	10.80
14566	HK Bank – Ping An European Put Warrants Mar 2018 A	40,000,000	2017/09/13	2018/03/29	12.00
14759	J P Morgan SP BV – ASM Pacific European Warrants Apr 2018 A	70,000,000	2017/09/13	2018/04/23	10.57
14768	J P Morgan SP BV – China Everbright European Wts Aug 2018 A	60,000,000	2017/09/13	2018/08/31	13.14
14661	J P Morgan SP BV – China Life European Warrants Mar 2018 B	100,000,000	2017/09/13	2018/03/29	15.10
14686	J P Morgan SP BV – COSCO Sh Hold European Warrants Feb 2018 A	40,000,000	2017/09/13	2018/02/28	23.88
14723	J P Morgan SP BV – Chinasoft Int'l European Warrants Mar 18A	35,000,000	2017/09/13	2018/03/05	11.83
14758	J P Morgan SP BV – Dongfeng Motor European Wts Feb 2018 A	40,000,000	2017/09/13	2018/02/27	16.24
14744	J P Morgan SP BV – Henganintl European Warrants Feb 2018 A	60,000,000	2017/09/13	2018/02/28	23.64
14747	J P Morgan SP BV – KB Laminates European Warrants Mar 2018 A	60,000,000	2017/09/13	2018/03/12	16.56
14704	J P Morgan SP BV – Kingboard Chem European Warrants May 18 A	40,000,000	2017/09/13	2018/05/03	14.84
14665	J P Morgan SP BV – Lenovo European Warrants Jun 2018 A	100,000,000	2017/09/13	2018/06/04	25.50
14715	J P Morgan SP BV – Maanshan Iron European Warrants Mar 2018 A	60,000,000	2017/09/13	2018/03/12	31.26
14652	J P Morgan SP BV – Ping An European Warrants Jan 2018 E	100,000,000	2017/09/13	2018/01/24	17.10
14764	J P Morgan SP BV – Shimao Property European Wts Dec 2018 A	60,000,000	2017/09/13	2018/12/31	15.06
14751	J P Morgan SP BV – SPDR Gold Trust European Wts Apr 2018 A	30,000,000	2017/09/13	2018/04/04	11.01
14714	J P Morgan SP BV – Shenzhen Int'l European Warrants Jan 19 A	60,000,000	2017/09/13	2019/01/31	15.48
14705	J P Morgan SP BV – Yuexiu Property European Warrants Feb 18A	65,000,000	2017/09/13	2018/02/21	10.14
14483	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Jul 18 A	36,000,000	2017/09/13	2018/07/04	10.08
14466	Macquarie Bank Ltd. – Galaxy Ent European Wts Apr 2018 A	33,000,000	2017/09/13	2018/04/04	10.13
14510	Macquarie Bank Ltd. – HKEX European Put Warrants Jun 2018 A	13,500,000	2017/09/13	2018/06/04	11.76
14499	Macquarie Bank Ltd. – HKEX European Put Warrants Jul 2018 C	40,000,000	2017/09/13	2018/07/04	10.00
14582	UBS AG – CC Bank European Warrants Jan 2018 C	70,000,000	2017/09/13	2018/01/31	14.07
14598	UBS AG – China Life European Warrants Feb 2018 A	100,000,000	2017/09/13	2018/02/02	15.90
14605	UBS AG – A50 China European Warrants Feb 2018 A	70,000,000	2017/09/13	2018/02/05	14.98
14600	UBS AG – HSBC European Warrants Feb 2018 B	100,000,000	2017/09/13	2018/02/09	25.40
14422	Bank Vontobel – AIA European Warrants Feb 2018 A	60,000,000	2017/09/13	2018/02/08	10.20
14403	Bank Vontobel – China Mobile European Warrants Mar 2018 A	40,000,000	2017/09/13	2018/03/14	10.16
14383	Bank Vontobel – HKEX European Warrants Jul 2018 A	150,000,000	2017/09/13	2018/07/30	22.65
14428	Bank Vontobel – ICBC European Warrants Mar 2018 A	50,000,000	2017/09/13	2018/03/12	13.30
14835	BNP Paribas Issuance B.V. – CAM CSI300 Euro Wts Jul 2018 B	40,000,000	2017/09/14	2018/07/04	10.00
14828	BNP Paribas Issuance B.V. – Ch Railway Euro Wts Apr 2018 A	40,000,000	2017/09/14	2018/04/04	12.00
14856	BNP Paribas Issuance B.V. – HSI European Wts Mar 2018 F	60,000,000	2017/09/14	2018/03/28	22.20
14820	BNP Paribas Issuance B.V. – Kingsoft Euro Wts Jul 2018 B	80,000,000	2017/09/14	2018/07/04	20.00
14846	BNP Paribas Issuance B.V. – PICC Group Euro Wts Apr 2018 B	40,000,000	2017/09/14	2018/04/04	10.00
14819	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Jul 2018 B	100,000,000	2017/09/14	2018/07/04	25.00



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14921	Credit Suisse AG – Tencent Holdings European Wts Feb 2018 L	150,000,000	2017/09/14	2018/02/09	22.50
14922	Goldman Sachs SP (Asia) – Geely Auto European Wts Feb 2018 B	80,000,000	2017/09/14	2018/02/28	14.88
14928	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Dec 2017 C	60,000,000	2017/09/14	2017/12/29	10.08
14948	Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2018 E	150,000,000	2017/09/14	2018/03/26	22.50
14951	Goldman Sachs SP (Asia) – ICBC European Warrants Jan 2018 B	80,000,000	2017/09/14	2018/01/29	17.28
14874	HK Bank – Country Garden European Warrants Dec 2018 A	80,000,000	2017/09/14	2018/12/31	20.00
14861	HK Bank – CITIC Sec European Warrants Sep 2018 A	80,000,000	2017/09/14	2018/09/24	12.00
14862	HK Bank – Sunny Optical European Warrants Apr 2018 A	100,000,000	2017/09/14	2018/04/30	25.00
14779	Haitong Int'l Sec – Angang Steel European Warrants Jul 2018 A	20,000,000	2017/09/14	2018/07/30	26.20
14782	Haitong Int'l Sec – ASM Pacific European Warrants Jul 2018 A	80,000,000	2017/09/14	2018/07/30	20.00
14790	Haitong Int'l Sec – Minsheng Bank European Warrants Jul 18 A	40,000,000	2017/09/14	2018/07/18	25.20
14816	Haitong Int'l Sec – Minsheng Bank European Warrants Jul 18 B	40,000,000	2017/09/14	2018/07/30	15.20
14809	Haitong Int'l Sec – Ch Molybdenum European Warrants Jul 18 A	40,000,000	2017/09/14	2018/07/30	30.00
14784	Haitong Int'l Sec – Tencent European Warrants Feb 2018 C	150,000,000	2017/09/14	2018/02/22	22.50
14973	J P Morgan SP BV – Ch Overseas European Warrants Jul 2018 B	100,000,000	2017/09/14	2018/07/31	15.00
14952	J P Morgan SP BV – HKEX European Put Wts Oct 2018 B	200,000,000	2017/09/14	2018/10/02	50.00
14963	J P Morgan SP BV – Sands China European Warrants Jul 2018 A	100,000,000	2017/09/14	2018/07/03	25.00
14988	J P Morgan SP BV – China Vanke European Warrants Apr 2018 A	60,000,000	2017/09/14	2018/04/04	16.92
14775	Macquarie Bank Ltd. – CC Bank European Put Wts Apr 2018 B	23,000,000	2017/09/14	2018/04/04	10.30
14776	Macquarie Bank Ltd. – Geely Auto European Put Wts May 2018 C	39,000,000	2017/09/14	2018/05/03	10.06
14887	SG Issuer – Kingsoft European Warrants Apr 2018 A	40,000,000	2017/09/14	2018/04/06	10.00
14888	SG Issuer – Sands China European Warrants Aug 2018 A	40,000,000	2017/09/14	2018/08/03	10.00
14895	SG Issuer – Sunny Optical European Warrants Jul 2018 A	50,000,000	2017/09/14	2018/07/05	11.00
15055	BOCI Asia Ltd. – SHK Ppt European Warrants Mar 2018 A	35,000,000	2017/09/15	2018/03/26	11.03
15154	Credit Suisse AG – China Coal European Warrants Mar 2018 A	30,000,000	2017/09/15	2018/03/14	10.50
15189	Credit Suisse AG – CITIC European Warrants Mar 2018 A	25,000,000	2017/09/15	2018/03/02	10.00
15153	Credit Suisse AG – China Shenhua European Wts Feb 2018 A	70,000,000	2017/09/15	2018/02/28	10.50
15145	Credit Suisse AG – Li & Fung European Warrants Mar 2018 A	40,000,000	2017/09/15	2018/03/14	11.20
15147	Credit Suisse AG – Meitu European Warrants Mar 2018 A	40,000,000	2017/09/15	2018/03/14	11.20
15163	Credit Suisse AG – Sinopharm European Warrants Mar 2018 A	30,000,000	2017/09/15	2018/03/14	10.50
15328	Goldman Sachs SP (Asia) – AIA European Warrants Feb 2018 C	80,000,000	2017/09/15	2018/02/15	12.00
15326	Goldman Sachs SP (Asia) – CITIC Sec European Wts Mar 2018 A	15,000,000	2017/09/15	2018/03/29	10.74
15322	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2018 D	120,000,000	2017/09/15	2018/02/27	18.00
15340	Goldman Sachs SP (Asia) – SHK Ppt European Wts Mar 2018 A	40,000,000	2017/09/15	2018/03/01	10.44
15000	Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2018 F	100,000,000	2017/09/15	2018/03/27	15.00
15197	HK Bank – CSOP A50 ETF European Warrants Feb 2018 A	50,000,000	2017/09/15	2018/02/28	11.00
15201	HK Bank – HSI European Warrants Apr 2018 D	150,000,000	2017/09/15	2018/04/27	22.50
15192	HK Bank – Sunny Optical European Warrants Aug 2018 A	100,000,000	2017/09/15	2018/08/24	15.00
15195	HK Bank – ZTE European Warrants Mar 2018 A	50,000,000	2017/09/15	2018/03/14	12.50
15032	Haitong Int'l Sec – Country Garden European Warrants Dec 18A	120,000,000	2017/09/15	2018/12/06	30.00
15052	Haitong Int'l Sec – China Life European Warrants Mar 2018 C	100,000,000	2017/09/15	2018/03/29	25.00
15026	Haitong Int'l Sec – HSBC European Warrants Jan 2018 D	70,000,000	2017/09/15	2018/01/26	10.50
15030	Haitong Int'l Sec – Lenovo European Warrants Feb 2018 A	70,000,000	2017/09/15	2018/02/22	10.50
15027	Haitong Int'l Sec – PetroChina European Warrants May 2018 A	50,000,000	2017/09/15	2018/05/04	11.00

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15045	Haitong Int'l Sec - Tencent European Warrants Feb 2018 D	100,000,000	2017/09/15	2018/02/28	20.00
15281	J P Morgan SP BV - Agile Ppt European Warrants Feb 2018 A	35,000,000	2017/09/15	2018/02/01	13.62
15285	J P Morgan SP BV - China Gas Hold European Wts May 2018 A	70,000,000	2017/09/15	2018/05/02	17.57
15266	J P Morgan SP BV - Henderson Land Dev European Wt Jul 2018 A	60,000,000	2017/09/15	2018/07/04	15.30
15276	J P Morgan SP BV - New World Dev European Wts Dec 2017 A	45,000,000	2017/09/15	2017/12/15	10.26
15270	J P Morgan SP BV - SHK Ppt European Warrants Apr 2018 A	60,000,000	2017/09/15	2018/04/04	16.92
15271	J P Morgan SP BV - SHK Ppt European Put Warrants Apr 2018 B	40,000,000	2017/09/15	2018/04/04	15.08
15115	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Jul 2018 A	25,000,000	2017/09/15	2018/07/04	10.05
15112	Macquarie Bank Ltd. - Henderson Land Dev Euro Put Wts Oct18A	30,000,000	2017/09/15	2018/10/03	10.08
15108	Macquarie Bank Ltd. - SHK Ppt European Warrants May 2018 A	16,000,000	2017/09/15	2018/05/03	10.05
15120	Macquarie Bank Ltd. - Wynn Macau European Wts Jul 2018 A	38,000,000	2017/09/15	2018/07/16	10.18
15123	SG Issuer - CAM CSI300 European Warrants Sep 2018 A	40,000,000	2017/09/15	2018/09/05	10.00
15054	SG Issuer - Country Garden European Warrants May 2019 A	50,000,000	2017/09/15	2019/05/06	12.50
15142	SG Issuer - SHK Ppt European Warrants May 2018 A	13,000,000	2017/09/15	2018/05/04	10.01
15053	SG Issuer - China Vanke European Warrants Apr 2018 A	30,000,000	2017/09/15	2018/04/06	10.05
15239	UBS AG - Galaxy Ent European Warrants Mar 2018 B	70,000,000	2017/09/15	2018/03/14	17.64
15205	UBS AG - Henderson Land Dev European Warrants Mar 2018 A	30,000,000	2017/09/15	2018/03/14	10.14
15252	UBS AG - Melco European Warrants Mar 2018 A	40,000,000	2017/09/15	2018/03/26	10.00
15236	UBS AG - Nine Dragons European Warrants Jun 2018 A	50,000,000	2017/09/15	2018/06/11	12.50
15226	UBS AG - New World European Warrants Apr 2018 A	25,000,000	2017/09/15	2018/04/09	10.43
15229	UBS AG - SHK Ppt European Warrants Mar 2018 A	30,000,000	2017/09/15	2018/03/01	11.52
15245	UBS AG - Tencent Holdings European Warrants Feb 2018 G	300,000,000	2017/09/15	2018/02/21	46.50
15244	UBS AG - Wynn Macau European Put Warrants Jun 2018 A	40,000,000	2017/09/15	2018/06/19	10.32
15087	Bank Vontobel - China Life European Warrants Feb 2018 A	100,000,000	2017/09/15	2018/02/05	15.10
15071	Bank Vontobel - HSBC European Warrants Apr 2018 A	40,000,000	2017/09/15	2018/04/03	10.16
15057	Bank Vontobel - HSI European Warrants Dec 2017 A	100,000,000	2017/09/15	2017/12/28	15.20
15067	Bank Vontobel - HSI European Warrants Feb 2018 B	100,000,000	2017/09/15	2018/02/27	15.20
15007	Bank Vontobel - HSI European Put Warrants Feb 2018 A	100,000,000	2017/09/15	2018/02/27	15.20
15017	Bank Vontobel - HSI European Put Warrants Mar 2018 A	100,000,000	2017/09/15	2018/03/28	15.20
15095	Bank Vontobel - PetroChina European Warrants Feb 2018 A	70,000,000	2017/09/15	2018/02/02	10.57
15076	Bank Vontobel - Tencent European Warrants May 2018 A	100,000,000	2017/09/15	2018/05/07	25.40
15505	Credit Suisse AG - Ch Molybdenum European Warrants Mar 2018 A	18,000,000	2017/09/18	2018/03/19	10.26
15492	Credit Suisse AG - Fosun Intl European Warrants Aug 2018 A	40,000,000	2017/09/18	2018/08/24	10.00
15484	Credit Suisse AG - Henderson Land European Warrants Mar 18 B	40,000,000	2017/09/18	2018/03/19	10.00
15511	Credit Suisse AG - New World Dev European Warrants Apr 2018 A	30,000,000	2017/09/18	2018/04/30	10.20
15530	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Mar 2018 C	80,000,000	2017/09/18	2018/03/19	20.00
15521	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2018 D	80,000,000	2017/09/18	2018/02/28	17.84
15527	Goldman Sachs SP (Asia) - China Tel European Wts Jan 2018 B	40,000,000	2017/09/18	2018/01/24	10.00
15522	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jan 2018 B	30,000,000	2017/09/18	2018/01/29	15.96
15519	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2018 D	120,000,000	2017/09/18	2018/03/28	19.20
15362	HK Bank - CKH European Warrants Mar 2018 A	40,000,000	2017/09/18	2018/03/29	10.00
15377	HK Bank - Galaxy Ent European Put Warrants Mar 2018 A	40,000,000	2017/09/18	2018/03/01	12.00
15367	HK Bank - HSI European Put Warrants Mar 2018 D	150,000,000	2017/09/18	2018/03/28	27.00
15359	HK Bank - SHK Ppt European Warrants Mar 2018 A	40,000,000	2017/09/18	2018/03/29	11.20

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15347	Haitong Int'l Sec - Ali Pictures European Warrants Jul 2018A	50,000,000	2017/09/18	2018/07/30	14.75
15342	Haitong Int'l Sec - China EB Ltd European Warrants Aug 2018 A	50,000,000	2017/09/18	2018/08/30	12.50
15352	Haitong Int'l Sec - Kaisa Group European Warrants Jun 2019 A	100,000,000	2017/09/18	2019/06/28	25.20
15354	Haitong Int'l Sec - KB Laminates European Warrants Mar 2018 A	50,000,000	2017/09/18	2018/03/19	13.40
15341	Haitong Int'l Sec - Kingboard Chem European Warrants Apr 18A	30,000,000	2017/09/18	2018/04/30	10.20
15350	Haitong Int'l Sec - MCC European Warrants Mar 2018 A	35,000,000	2017/09/18	2018/03/28	10.15
15344	Haitong Int'l Sec - Panda Green European Warrants Nov 2018 A	50,000,000	2017/09/18	2018/11/08	16.50
15437	J P Morgan SP BV - Country Garden European Warrants Dec 18 B	100,000,000	2017/09/18	2018/12/31	25.00
15476	J P Morgan SP BV - Ch Molybdenum European Warrants Apr 2018 A	40,000,000	2017/09/18	2018/04/03	10.04
15470	J P Morgan SP BV - CNBM European Warrants Mar 2018 A	45,000,000	2017/09/18	2018/03/02	10.04
15358	Macquarie Bank Ltd. - SHK Ppt European Put Wts May 2018 B	10,000,000	2017/09/18	2018/05/03	10.12
15399	SG Issuer - Country Garden European Warrants Mar 2018 A	8,000,000	2017/09/18	2018/03/19	10.00
15421	UBS AG - China Railway European Warrants Mar 2018 A	25,000,000	2017/09/18	2018/03/19	11.23
15419	UBS AG - Fosun Intl European Warrants Aug 2018 A	70,000,000	2017/09/18	2018/08/20	17.50
15427	UBS AG - Fosun Intl European Put Warrants Apr 2018 A	40,000,000	2017/09/18	2018/04/16	10.08
15433	UBS AG - HSI European Put Warrants Mar 2018 C	200,000,000	2017/09/18	2018/03/28	31.20
15434	UBS AG - HSI European Put Warrants Apr 2018 C	200,000,000	2017/09/18	2018/04/27	35.20
15400	UBS AG - IGG European Warrants Mar 2018 A	50,000,000	2017/09/18	2018/03/19	14.50
16246 #	Credit Suisse AG - Ping An European Warrants Jan 2018 A	80,000,000	2017/09/18	2018/01/31	45.60
15582	BOCI Asia Ltd. - BYD European Warrants Mar 2018 B	40,000,000	2017/09/19	2018/03/26	21.72
15579	BOCI Asia Ltd. - Country Garden European Warrants Oct 2018 A	100,000,000	2017/09/19	2018/10/29	25.10
15562	BOCI Asia Ltd. - Henderson Land Dev European Warrants Mar18A	40,000,000	2017/09/19	2018/03/19	10.28
15564	BOCI Asia Ltd. - New World Dev European Warrants Mar 2018 A	40,000,000	2017/09/19	2018/03/29	11.76
15580	BOCI Asia Ltd. - ZTE European Warrants Feb 2018 A	50,000,000	2017/09/19	2018/02/26	10.45
15683	BNP Paribas Issuance B.V. - AIA European Wts Oct 2018 C	40,000,000	2017/09/19	2018/10/03	11.60
15622	BNP Paribas Issuance B.V. - BYD European Wts Apr 2018 B	40,000,000	2017/09/19	2018/04/04	12.00
15669	BNP Paribas Issuance B.V. - CC Bank Euro Wts Oct 2018 C	40,000,000	2017/09/19	2018/10/03	10.80
15629	BNP Paribas Issuance B.V. - CKP European Wts Jun 2018 A	40,000,000	2017/09/19	2018/06/04	10.80
15685	BNP Paribas Issuance B.V. - Galaxy Ent Euro Wts May 2018 C	40,000,000	2017/09/19	2018/05/03	10.00
15627	BNP Paribas Issuance B.V. - HLand Dev Euro Wts May 2018 A	40,000,000	2017/09/19	2018/05/03	10.00
15663	BNP Paribas Issuance B.V. - Ping An European Wt Oct 2018 A	40,000,000	2017/09/19	2018/10/03	14.40
15626	BNP Paribas Issuance B.V. - SUNAC European Wts Apr 2018 A	50,000,000	2017/09/19	2018/04/04	25.00
15635	BNP Paribas Issuance B.V. - Zijin Mining Eu Wts Apr 2018 A	40,000,000	2017/09/19	2018/04/04	10.00
15692	Credit Suisse AG - Angang Steel European Warrants Mar 2018 A	15,000,000	2017/09/19	2018/03/19	12.00
15695	Credit Suisse AG - BYD European Warrants Mar 2018 A	25,000,000	2017/09/19	2018/03/19	10.00
15694	Credit Suisse AG - Yuexiu Property European Wts Aug 2018 A	40,000,000	2017/09/19	2018/08/31	10.00
15730	Goldman Sachs SP (Asia) - BOCL European Warrants Feb 2018 C	80,000,000	2017/09/19	2018/02/05	12.00
15723	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Feb 2018 D	80,000,000	2017/09/19	2018/02/26	12.56
15722	Goldman Sachs SP (Asia) - Lenovo European Wts Feb 2018 B	60,000,000	2017/09/19	2018/02/21	10.02
15725	Goldman Sachs SP (Asia) - Sands China Euro Wts Mar 2018 A	80,000,000	2017/09/19	2018/03/27	12.00
15641	HK Bank - Henderson Land Dev European Warrants Jun 2018 A	40,000,000	2017/09/19	2018/06/29	10.00
15640	HK Bank - IGG European Warrants Apr 2018 A	40,000,000	2017/09/19	2018/04/30	10.00
15647	HK Bank - Tencent European Warrants Dec 2017 H	15,000,000	2017/09/19	2017/12/29	19.50
15661	HK Bank - Tencent European Warrants Jan 2018 D	15,000,000	2017/09/19	2018/01/29	12.00

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15644	HK Bank – Tencent European Warrants Mar 2018 C	150,000,000	2017/09/19	2018/03/22	22.50
15720	J P Morgan SP BV – CHALCO European Warrants Mar 2018 A	30,000,000	2017/09/19	2018/03/29	14.43
15713	J P Morgan SP BV – BYD European Warrants Apr 2018 A	40,000,000	2017/09/19	2018/04/03	10.20
15709	J P Morgan SP BV – Geely Auto European Put Warrants Apr 18 D	100,000,000	2017/09/19	2018/04/03	25.10
15721	J P Morgan SP BV – HSCEI European Put Warrants Mar 2018 A	200,000,000	2017/09/19	2018/03/28	30.40
15714	J P Morgan SP BV – Melco Int'l Dev European Wts Aug 2018 A	60,000,000	2017/09/19	2018/08/01	15.00
15715	J P Morgan SP BV – Techtronic European Warrants Mar 2018 A	30,000,000	2017/09/19	2018/03/29	10.41
15610	Macquarie Bank Ltd. – BYD European Warrants Jun 2018 A	20,000,000	2017/09/19	2018/06/04	10.06
15617	Macquarie Bank Ltd. – Chi Res Land European Put Wts Jan 19 A	40,000,000	2017/09/19	2019/01/03	10.00
15544	Macquarie Bank Ltd. – A50 China European Put Wts May 2018 A	17,000,000	2017/09/19	2018/05/03	10.01
15612	Macquarie Bank Ltd. – Ping An European Warrants Aug 2018 B	25,000,000	2017/09/19	2018/08/02	10.00
15547	Macquarie Bank Ltd. – SUNAC European Warrants Dec 2018 A	16,000,000	2017/09/19	2018/12/04	10.34
15706	SG Issuer – CHALCO European Warrants Apr 2018 A	12,000,000	2017/09/19	2018/04/06	10.08
15731	UBS AG – BYD European Warrants Dec 2017 B	50,000,000	2017/09/19	2017/12/29	11.45
15733	UBS AG – BYD European Warrants Mar 2018 A	50,000,000	2017/09/19	2018/03/26	14.00
15734	UBS AG – BYD European Put Warrants Mar 2018 B	30,000,000	2017/09/19	2018/03/19	11.61
15537	UBS AG – Geely Auto European Put Warrants Feb 2018 D	50,000,000	2017/09/19	2018/02/26	10.30
15541	UBS AG – HSI European Warrants Apr 2018 D	200,000,000	2017/09/19	2018/04/27	30.20
15735	UBS AG – Li & Fung European Warrants Mar 2018 A	30,000,000	2017/09/19	2018/03/19	10.44
15915	BNP Paribas Issuance B.V. – CHALCO European Wts Apr 2018 A	40,000,000	2017/09/20	2018/04/04	31.20
15850	BNP Paribas Issuance B.V. – Lenovo European Wts Jul 2018 A	40,000,000	2017/09/20	2018/07/04	12.80
15912	BNP Paribas Issuance B.V. – NCI European Wts May 2018 A	40,000,000	2017/09/20	2018/05/03	14.00
15849	BNP Paribas Issuance B.V. – SHK Ppt Euro Warrants Apr 2018 A	40,000,000	2017/09/20	2018/04/04	10.40
15907	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Jul 2018 C	100,000,000	2017/09/20	2018/07/04	25.00
15910	BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Sep 2018 A	100,000,000	2017/09/20	2018/09/04	26.00
15864	Credit Suisse AG – ASM Pacific European Warrants Jun 2018 A	100,000,000	2017/09/20	2018/06/29	25.00
15845	Credit Suisse AG – China Mobile European Warrants Dec 2017 C	70,000,000	2017/09/20	2017/12/29	14.00
15876	Credit Suisse AG – CNBM European Warrants Feb 2018 A	25,000,000	2017/09/20	2018/02/28	10.00
15874	Credit Suisse AG – Geely Auto European Warrants Mar 2018 A	80,000,000	2017/09/20	2018/03/02	16.00
15904	Credit Suisse AG – Hang Lung Ppt European Warrants Aug 2018 A	40,000,000	2017/09/20	2018/08/31	10.00
15868	Credit Suisse AG – IGG European Warrants Mar 2018 A	40,000,000	2017/09/20	2018/03/19	10.00
15861	Credit Suisse AG – KB Laminates European Warrants Mar 2018 A	40,000,000	2017/09/20	2018/03/19	10.00
15898	Credit Suisse AG – Sunny Optical European Put Wts Apr 2018 A	100,000,000	2017/09/20	2018/04/30	25.00
16007	Goldman Sachs SP (Asia) – BOCL European Warrants Feb 2018 D	80,000,000	2017/09/20	2018/02/26	12.00
16006	Goldman Sachs SP (Asia) – BYD European Warrants Mar 2018 B	40,000,000	2017/09/20	2018/03/29	13.00
15999	Goldman Sachs SP (Asia) – Geely Auto European Wts Mar 2018 A	60,000,000	2017/09/20	2018/03/29	15.00
16008	Goldman Sachs SP (Asia) – PetroChina European Wts Feb 2018 B	80,000,000	2017/09/20	2018/02/23	12.00
15766	Haitong Int'l Sec – Brilliance Chi European Warrants Mar 18A	40,000,000	2017/09/20	2018/03/21	13.40
15801	Haitong Int'l Sec – BYD European Warrants Aug 2018 A	40,000,000	2017/09/20	2018/08/08	23.92
15762	Haitong Int'l Sec – China Taiping European Warrants Mar 18 A	60,000,000	2017/09/20	2018/03/01	12.48
15765	Haitong Int'l Sec – China Taiping European Warrants Aug 18 A	60,000,000	2017/09/20	2018/08/08	16.98
15761	Haitong Int'l Sec – Geely Auto European Warrants Mar 2018 B	100,000,000	2017/09/20	2018/03/08	22.30
15755	Haitong Int'l Sec – Geely Auto European Warrants Jul 2018 B	100,000,000	2017/09/20	2018/07/06	40.20
15745	Haitong Int'l Sec – Geely Auto European Put Warrants Apr 18C	50,000,000	2017/09/20	2018/04/30	16.10

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15840	Haitong Int'l Sec - ND Paper European Warrants Jun 2018 A	40,000,000	2017/09/20	2018/06/29	10.60
15815	Haitong Int'l Sec - Sunny Optical European Wts Aug 2018 B	100,000,000	2017/09/20	2018/08/08	25.00
15805	Haitong Int'l Sec - China Vanke European Warrants May 2018 A	40,000,000	2017/09/20	2018/05/31	10.72
15983	SG Issuer - Anhui Conch European Warrants May 2018 A	40,000,000	2017/09/20	2018/05/04	10.00
15984	SG Issuer - BYD European Warrants Apr 2018 B	20,000,000	2017/09/20	2018/04/06	10.00
15990	SG Issuer - CNBM European Warrants Apr 2018 A	19,000,000	2017/09/20	2018/04/06	10.07
15995	SG Issuer - Sunny Optical European Warrants May 2018 A	50,000,000	2017/09/20	2018/05/04	12.50
15981	UBS AG - BEA European Warrants Jan 2018 A	70,000,000	2017/09/20	2018/01/26	12.74
15916	UBS AG - Minsheng Bank European Warrants Jan 2018 A	50,000,000	2017/09/20	2018/01/26	11.25
15935	UBS AG - China Tel European Warrants Mar 2018 A	40,000,000	2017/09/20	2018/03/19	10.00
15934	UBS AG - Geely Auto European Warrants Mar 2018 C	100,000,000	2017/09/20	2018/03/26	25.10
15928	UBS AG - R&F Ppt European Warrants May 2018 A	40,000,000	2017/09/20	2018/05/03	10.08
15962	UBS AG - Sunny Optical European Warrants Apr 2018 B	100,000,000	2017/09/20	2018/04/09	25.00
15925	UBS AG - Zhaojin Mining European Warrants Mar 2018 A	15,000,000	2017/09/20	2018/03/19	11.12
16029	BOCI Asia Ltd. - AIA European Put Warrants Mar 2018 A	50,000,000	2017/09/21	2018/03/01	13.10
16028	BOCI Asia Ltd. - BYD European Warrants Mar 2018 C	50,000,000	2017/09/21	2018/03/29	17.60
16051	BOCI Asia Ltd. - CM Bank European Warrants Apr 2018 A	100,000,000	2017/09/21	2018/04/23	21.50
16052	BOCI Asia Ltd. - China Mobile European Warrants Feb 2018 B	200,000,000	2017/09/21	2018/02/02	58.60
16046	BOCI Asia Ltd. - Meitu European Warrants Feb 2018 A	100,000,000	2017/09/21	2018/02/26	23.60
16030	BOCI Asia Ltd. - Sands China European Put Wts Mar 2018 B	50,000,000	2017/09/21	2018/03/22	10.85
16042	BOCI Asia Ltd. - Sunny Optical European Warrants Jun 2018 B	100,000,000	2017/09/21	2018/06/11	25.10
16026	BNP Paribas Issuance B.V. - Country Garden Eu Wt Dec 2018 A	120,000,000	2017/09/21	2018/12/04	30.00
16064	Credit Suisse AG - CHALCO European Wts Mar 2018 A	20,000,000	2017/09/21	2018/03/20	10.00
16090	Credit Suisse AG - Country Garden European Warrants Oct 18 A	60,000,000	2017/09/21	2018/10/31	15.00
16070	Credit Suisse AG - Sunny Optical European Warrants Jun 2018 C	80,000,000	2017/09/21	2018/06/29	20.00
16059	Credit Suisse AG - China Vanke European Warrants Apr 2018 A	40,000,000	2017/09/21	2018/04/30	10.00
16097	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2018 B	80,000,000	2017/09/21	2018/02/23	23.84
16114	Goldman Sachs SP (Asia) - SHK Ppt European Wts Dec 2017 A	40,000,000	2017/09/21	2017/12/21	12.20
16010	Haitong Int'l Sec - Chinasoft Int'l European Warrants May 18A	28,000,000	2017/09/21	2018/05/18	10.92
16009	Haitong Int'l Sec - China Travel HK European Warrants Aug 18A	25,000,000	2017/09/21	2018/08/08	10.00
16014	Haitong Int'l Sec - Yongda Auto European Warrants Sep 2018 A	80,000,000	2017/09/21	2018/09/28	21.28
16076	J P Morgan SP BV - Anhui Conch European Warrants Apr 2018 A	60,000,000	2017/09/21	2018/04/03	15.96
16071	J P Morgan SP BV - Sunny Optical European Warrants Jul 2018 A	100,000,000	2017/09/21	2018/07/03	25.40
16116	SG Issuer - Ch Mob European Warrants Apr 2018 A	60,000,000	2017/09/21	2018/04/06	15.00
16119	SG Issuer - Wharf European Warrants May 2018 A	15,000,000	2017/09/21	2018/05/04	10.05
16085	UBS AG - China Mengniu European Warrants Jul 2018 A	40,000,000	2017/09/21	2018/07/31	10.00
16079	UBS AG - Sunny Optical European Put Warrants Sep 2018 A	40,000,000	2017/09/21	2018/09/24	12.36
16087	UBS AG - Techtronic European Warrants Mar 2018 A	40,000,000	2017/09/21	2018/03/20	10.00
16134	BNP Paribas Issuance B.V. - Evergrande Euro Wts Aug 2018 A	50,000,000	2017/09/22	2018/08/02	28.00
16157	Credit Suisse AG - Chinares Cement European Warrants Apr 18A	15,000,000	2017/09/22	2018/04/30	10.05
16156	Credit Suisse AG - NCI European Warrants Jan 2018 B	40,000,000	2017/09/22	2018/01/23	10.00
16180	Goldman Sachs SP (Asia) - HSBC European Warrants Jan 2018 G	80,000,000	2017/09/22	2018/01/26	12.00
16185	Goldman Sachs SP (Asia) - HSBC European Warrants Mar 2018 A	80,000,000	2017/09/22	2018/03/09	12.88
16192	J P Morgan SP BV - Anta Sports European Warrants Mar 2018 A	40,000,000	2017/09/22	2018/03/29	10.24

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16239	J P Morgan SP BV – AviChina European Warrants Mar 2018 A	30,000,000	2017/09/22	2018/03/29	10.50
16252	J P Morgan SP BV – CMS European Warrants Mar 2018 A	70,000,000	2017/09/22	2018/03/01	10.57
16222	J P Morgan SP BV – Chinares Cement Euro Warrants Mar 2018 A	40,000,000	2017/09/22	2018/03/29	15.28
16243	J P Morgan SP BV – Gome European Warrants Nov 2018 A	70,000,000	2017/09/22	2018/11/21	10.64
16196	J P Morgan SP BV – HS Bank European Warrants Mar 2018 A	25,000,000	2017/09/22	2018/03/29	10.43
16224	J P Morgan SP BV – Poly Property European Warrants Mar 2018 A	35,000,000	2017/09/22	2018/03/29	10.08
16216	J P Morgan SP BV – Samsonite European Warrants Mar 2018 A	40,000,000	2017/09/22	2018/03/29	10.20
16223	J P Morgan SP BV – Sh Electric European Warrants Mar 2018 A	40,000,000	2017/09/22	2018/03/29	10.08
16187	J P Morgan SP BV – SUNAC European Warrants Mar 2018 A	50,000,000	2017/09/22	2018/03/29	32.20
16189	J P Morgan SP BV – China Vanke European Warrants May 2018 A	50,000,000	2017/09/22	2018/05/02	12.90
16251	J P Morgan SP BV – Xinyi Glass European Warrants Aug 2018 A	70,000,000	2017/09/22	2018/08/13	10.50
16200	J P Morgan SP BV – CRRC Times European Warrants Mar 2018 A	40,000,000	2017/09/22	2018/03/29	10.12
16135	Macquarie Bank Ltd. – China Vanke European Wts Aug 2018 A	28,000,000	2017/09/22	2018/08/15	10.08
16140	SG Issuer – Country Garden European Warrants May 2018 A	10,000,000	2017/09/22	2018/05/04	10.30
16125	SG Issuer – CP&CC European Warrants Apr 2018 A	40,000,000	2017/09/22	2018/04/06	10.00
16141	SG Issuer – Fosun Intl European Warrants Aug 2018 A	50,000,000	2017/09/22	2018/08/03	12.50
16130	SG Issuer – Ping An European Warrants Mar 2018 E	100,000,000	2017/09/22	2018/03/05	22.50
16139	SG Issuer – China Vanke European Warrants May 2018 A	30,000,000	2017/09/22	2018/05/04	10.05
16132	SG Issuer – Yanzhou Coal Eu Wt Apr 2018 A	10,000,000	2017/09/22	2018/04/06	12.20
16143	SG Issuer – ZTE European Warrants Apr 2018 A	40,000,000	2017/09/22	2018/04/06	10.00
16163	UBS AG – China Mobile European Put Warrants Jan 2018 B	70,000,000	2017/09/22	2018/01/26	10.71
16162	UBS AG – CNOOC European Warrants Mar 2018 A	40,000,000	2017/09/22	2018/03/21	10.76
16496	BOCI Asia Ltd. – Agricultural Bk European Wts Jan 2018 B	100,000,000	2017/09/25	2018/01/31	16.90
16494	BOCI Asia Ltd. – CC Bank European Warrants Apr 2018 A	100,000,000	2017/09/25	2018/04/03	25.20
16515	BOCI Asia Ltd. – Ch Overseas European Warrants Jul 2018 A	70,000,000	2017/09/25	2018/07/30	14.98
16549	BOCI Asia Ltd. – Geely Auto European Warrants Mar 2018 C	100,000,000	2017/09/25	2018/03/26	25.00
16493	BOCI Asia Ltd. – Galaxy Ent European Warrants Mar 2018 B	100,000,000	2017/09/25	2018/03/12	20.30
16498	BOCI Asia Ltd. – HKEX European Warrants Apr 2018 C	200,000,000	2017/09/25	2018/04/03	37.00
16501	BOCI Asia Ltd. – HSBC European Warrants Apr 2018 A	200,000,000	2017/09/25	2018/04/26	41.60
16512	BOCI Asia Ltd. – China Vanke European Warrants Apr 2018 A	70,000,000	2017/09/25	2018/04/03	17.57
16446	BNP Paribas Issuance B.V. – Agile Ppt Euro Wt Apr 2018 A	20,000,000	2017/09/25	2018/04/04	10.80
16265	BNP Paribas Issuance B.V. – BYD European Wts May 2018 B	40,000,000	2017/09/25	2018/05/03	18.80
16430	BNP Paribas Issuance B.V. – Country Garden Eu Wt Aug 2018 A	120,000,000	2017/09/25	2018/08/02	30.00
16426	BNP Paribas Issuance B.V. – CITIC Sec Euro Wts Dec 2018 A	80,000,000	2017/09/25	2018/12/04	20.00
16263	BNP Paribas Issuance B.V. – Geely Auto Euro Wts Apr 2018 A	70,000,000	2017/09/25	2018/04/04	17.50
16447	BNP Paribas Issuance B.V. – R&F Ppt Euro Wts Jul 2018 A	80,000,000	2017/09/25	2018/07/04	22.40
16256	BNP Paribas Issuance B.V. – SUNAC European Wts Apr 2018 B	50,000,000	2017/09/25	2018/04/04	31.50
16425	BNP Paribas Issuance B.V. – Tencent Euro Wts Apr 2018 E	80,000,000	2017/09/25	2018/04/04	20.00
16417	BNP Paribas Issuance B.V. – Tencent Euro Wts May 2018 D	80,000,000	2017/09/25	2018/05/03	13.60
16409	Credit Suisse AG – Fosun Intl European Warrants Aug 2018 B	40,000,000	2017/09/25	2018/08/17	10.00
16412	Credit Suisse AG – R&F Ppt European Warrants Apr 2018 A	40,000,000	2017/09/25	2018/04/30	10.00
16608	Goldman Sachs SP (Asia) – Country Garden European Wts Apr 18A	50,000,000	2017/09/25	2018/04/30	12.50
16611	Goldman Sachs SP (Asia) – Ch Overseas European Wts Mar 2018 A	80,000,000	2017/09/25	2018/03/29	20.00
16607	Goldman Sachs SP (Asia) – SUNAC European Warrants Apr 2018 A	40,000,000	2017/09/25	2018/04/03	13.44

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16606	Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2018 O	150,000,000	2017/09/25	2018/02/26	26.70
16364	HK Bank – Agricultural Bank European Warrants Jul 2018 A	50,000,000	2017/09/25	2018/07/31	12.50
16377	HK Bank – BYD European Warrants Mar 2018 A	30,000,000	2017/09/25	2018/03/29	10.20
16360	HK Bank – China Mobile European Warrants Mar 2018 B	40,000,000	2017/09/25	2018/03/29	14.00
16332	HK Bank – CNOOC European Warrants Mar 2018 B	25,000,000	2017/09/25	2018/03/29	12.50
16386	HK Bank – Geely Auto European Warrants Feb 2018 E	100,000,000	2017/09/25	2018/02/27	18.00
16358	HK Bank – PICC European Warrants Oct 2018 A	80,000,000	2017/09/25	2018/10/29	12.00
16338	HK Bank – Tencent European Put Warrants Feb 2018 C	100,000,000	2017/09/25	2018/02/28	18.00
16452	Haitong Int'l Sec – Country Garden European Warrants Jun 18A	120,000,000	2017/09/25	2018/06/20	30.00
16490	Haitong Int'l Sec – Country Garden European Warrants Nov 18B	120,000,000	2017/09/25	2018/11/05	30.00
16403	Haitong Int'l Sec – Ch Overseas European Warrants May 2018 A	80,000,000	2017/09/25	2018/05/25	20.00
16408	Haitong Int'l Sec – R&F Ppt European Warrants Mar 2018 B	80,000,000	2017/09/25	2018/03/26	23.20
16405	Haitong Int'l Sec – R&F Ppt European Warrants Apr 2018 B	80,000,000	2017/09/25	2018/04/27	20.00
16602	J P Morgan SP BV – China Agri European Warrants Mar 2018 A	20,000,000	2017/09/25	2018/03/29	10.16
16574	J P Morgan SP BV – Country Garden European Warrants Aug 18 A	60,000,000	2017/09/25	2018/08/31	15.00
16575	J P Morgan SP BV – CM Bank European Warrants May 2018 A	60,000,000	2017/09/25	2018/05/18	15.06
16596	J P Morgan SP BV – Fosun Intl European Warrants Aug 2018 A	60,000,000	2017/09/25	2018/08/02	15.00
16585	J P Morgan SP BV – Haier Elec European Warrants Mar 2018 A	60,000,000	2017/09/25	2018/03/29	15.06
16570	J P Morgan SP BV – HSI European Put Warrants Mar 2018 F	200,000,000	2017/09/25	2018/03/28	50.40
16584	J P Morgan SP BV – Li & Fung European Warrants May 2018 A	60,000,000	2017/09/25	2018/05/02	18.90
16595	J P Morgan SP BV – Longfor PPT European Warrants Apr 2018 A	60,000,000	2017/09/25	2018/04/30	15.12
16583	J P Morgan SP BV – SJM Holdings European Warrants Apr 2018 A	35,000,000	2017/09/25	2018/04/03	10.61
16571	J P Morgan SP BV – SMIC European Wts Dec 2018 B	70,000,000	2017/09/25	2018/12/11	10.92
16594	J P Morgan SP BV – China COSCO European Warrants Mar 2018 A	30,000,000	2017/09/25	2018/03/29	15.27
16572	J P Morgan SP BV – Yuexiu Property European Warrants Aug 18A	60,000,000	2017/09/25	2018/08/01	15.18
16559	Macquarie Bank Ltd. – BYD European Warrants May 2018 A	30,000,000	2017/09/25	2018/05/03	10.02
16564	Macquarie Bank Ltd. – BYD European Put Warrants Apr 2018 C	19,000,000	2017/09/25	2018/04/04	10.01
16392	Macquarie Bank Ltd. – Chi Res Land European Wts Sep 2018 A	40,000,000	2017/09/25	2018/09/04	10.00
16391	Macquarie Bank Ltd. – R&F Ppt European Warrants Aug 2018 A	31,000,000	2017/09/25	2018/08/02	10.08
16556	Macquarie Bank Ltd. – Kingdee Int'l European Wts Jul 2018 A	22,000,000	2017/09/25	2018/07/17	10.01
16557	Macquarie Bank Ltd. – Sino Biopharm European Wts Sep 2020 A	33,000,000	2017/09/25	2020/09/16	10.23
16272	SG Issuer – CITIC Sec European Warrants Apr 2018 A	9,000,000	2017/09/25	2018/04/06	10.08
16266	SG Issuer – China Overseas European Warrants Apr 2018 A	7,000,000	2017/09/25	2018/04/06	10.01
16267	SG Issuer – China Res Land European Warrants May 2018 A	40,000,000	2017/09/25	2018/05/04	10.00
16280	SG Issuer – Geely Auto European Warrants Mar 2018 D	50,000,000	2017/09/25	2018/03/05	11.75
16287	SG Issuer – HSI European Put Warrants Apr 2018 G	150,000,000	2017/09/25	2018/04/27	24.00
16316	SG Issuer – Shimao Ppt Eu Wt Apr 2018 A	40,000,000	2017/09/25	2018/04/06	10.00
16540	UBS AG – CITIC Sec European Warrants Jun 2018 A	70,000,000	2017/09/25	2018/06/25	10.57
16547	UBS AG – Fosun Intl European Warrants Aug 2018 B	70,000,000	2017/09/25	2018/08/08	17.57
16535	UBS AG – HKEX European Warrants Jan 2018 D	50,000,000	2017/09/25	2018/01/31	19.80
16531	UBS AG – HKEX European Warrants Mar 2018 B	300,000,000	2017/09/25	2018/03/26	45.30
16525	UBS AG – Tencent Holdings European Put Warrants Feb 2018 H	200,000,000	2017/09/25	2018/02/26	42.20
16394	Bank Vontobel – China Overseas European Warrants Sep 2018 A	40,000,000	2017/09/25	2018/09/04	10.12
16331	Bank Vontobel – Galaxy Ent European Warrants Mar 2018 A	50,000,000	2017/09/25	2018/03/26	12.60

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16401	Bank Vontobel – SHK Ppt European Warrants Apr 2018 A	25,000,000	2017/09/25	2018/04/04	10.38
16322	Bank Vontobel – Tencent European Warrants Feb 2018 A	10,000,000	2017/09/25	2018/02/02	11.61
16681	Credit Suisse AG – Tencent Holdings European Wts Mar 2018 H	150,000,000	2017/09/26	2018/03/29	37.50
16687	Goldman Sachs SP (Asia) – BYD European Warrants Apr 2018 A	50,000,000	2017/09/26	2018/04/30	12.50
16691	Goldman Sachs SP (Asia) – Tencent European Wt Jan 2018 F	30,000,000	2017/09/26	2018/01/26	21.45
16693	Goldman Sachs SP (Asia) – Tencent European Wt Mar 2018 H	150,000,000	2017/09/26	2018/03/26	37.50
16688	Goldman Sachs SP (Asia) – Tencent European Wt Apr 2018 C	150,000,000	2017/09/26	2018/04/23	22.50
16686	Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2018 G	150,000,000	2017/09/26	2018/03/26	37.50
16618	HK Bank – Anhui Conch European Warrants Feb 2018 A	80,000,000	2017/09/26	2018/02/27	14.40
16622	HK Bank – Stanchart European Warrants Mar 2018 A	25,000,000	2017/09/26	2018/03/29	10.25
16619	HK Bank – Yanzhou Coal European Warrants Dec 2018 A	100,000,000	2017/09/26	2018/12/31	25.00
16735	J P Morgan SP BV – CNOOC European Put Warrants Apr 2018 A	100,000,000	2017/09/26	2018/04/03	26.30
16710	J P Morgan SP BV – China Res Gas European Warrants Mar 2018 A	60,000,000	2017/09/26	2018/03/29	15.00
16740	J P Morgan SP BV – CSDC European Warrants Jun 2018 A	70,000,000	2017/09/26	2018/06/22	10.71
16713	J P Morgan SP BV – GAC European Warrants Apr 2018 A	70,000,000	2017/09/26	2018/04/30	10.85
16696	J P Morgan SP BV – Baiyunshan Ph European Warrants Mar 2018 A	40,000,000	2017/09/26	2018/03/29	10.24
16722	J P Morgan SP BV – Kingsoft European Warrants Apr 2018 A	60,000,000	2017/09/26	2018/04/16	11.22
16702	J P Morgan SP BV – Nexteer European Warrants Feb 2018 A	60,000,000	2017/09/26	2018/02/21	10.02
16698	J P Morgan SP BV – Sh Pharma European Warrants Jun 2018 A	60,000,000	2017/09/26	2018/06/22	10.74
16744	J P Morgan SP BV – Wynn Macau European Warrants May 2018 A	60,000,000	2017/09/26	2018/05/02	15.06
16613	Macquarie Bank Ltd. – CHALCO European Warrants May 2018 A	11,000,000	2017/09/26	2018/05/03	10.29
16672	Macquarie Bank Ltd. – GCL-Poly Energy European Wts Oct 2019 A	35,000,000	2017/09/26	2019/10/02	10.01
16612	Macquarie Bank Ltd. – Geely Auto European Wts May 2018 D	29,000,000	2017/09/26	2018/05/03	10.01
16670	Macquarie Bank Ltd. – Skyworth Digital European Wts Sep 18 A	11,000,000	2017/09/26	2018/09/18	10.01
16665	Macquarie Bank Ltd. – SUNAC European Warrants Jun 2018 A	15,000,000	2017/09/26	2018/06/04	10.23
16669	Macquarie Bank Ltd. – Tencent European Warrants May 2018 A	12,000,000	2017/09/26	2018/05/03	10.03
16615	SG Issuer – BYD European Warrants May 2018 A	15,000,000	2017/09/26	2018/05/04	10.05
16656	SG Issuer – Minsheng Bank European Warrants May 2018 A	18,000,000	2017/09/26	2018/05/04	10.44
16661	SG Issuer – China Railway European Warrants May 2018 A	23,000,000	2017/09/26	2018/05/04	10.12
16617	SG Issuer – Fosun Intl European Warrants Jul 2018 A	60,000,000	2017/09/26	2018/07/05	15.00
16624	SG Issuer – HLand European Warrants Apr 2018 A	29,000,000	2017/09/26	2018/04/06	10.01
16662	SG Issuer – HSBC European Warrants Apr 2018 C	100,000,000	2017/09/26	2018/04/06	25.00
16635	SG Issuer – NewWorld Dev Eu Wt May 2018 A	15,000,000	2017/09/26	2018/05/04	10.05
16640	SG Issuer – Ping An European Warrants Apr 2018 B	80,000,000	2017/09/26	2018/04/06	21.60
16647	SG Issuer – Stanchart European Warrants May 2018 A	15,000,000	2017/09/26	2018/05/04	10.05
14713 #	UBS AG – China Life European Warrants Jan 2018 A	140,000,000	2017/09/26	2018/01/29	10.36
21106 #	Credit Suisse AG – Ping An European Warrants Jan 2018 C	80,000,000	2017/09/26	2018/01/31	17.04
16760	BOCI Asia Ltd. – BYD European Warrants Apr 2018 A	50,000,000	2017/09/27	2018/04/03	28.85
16884	BOCI Asia Ltd. – CC Bank European Warrants Feb 2018 C	100,000,000	2017/09/27	2018/02/26	44.60
16758	BOCI Asia Ltd. – CITIC Sec European Warrants Jul 2018 B	70,000,000	2017/09/27	2018/07/06	10.85
16762	BNP Paribas Issuance B.V. – BYD European Wts May 2018 C	30,000,000	2017/09/27	2018/05/03	21.60
16768	BNP Paribas Issuance B.V. – Fosun Intl European Wts Jul 18 A	60,000,000	2017/09/27	2018/07/04	15.00
16765	BNP Paribas Issuance B.V. – Geely Auto Euro Wts Jul 2018 D	60,000,000	2017/09/27	2018/07/04	18.00
16874	Credit Suisse AG – Avichina European Warrants Mar 2018 A	20,000,000	2017/09/27	2018/03/26	10.40



# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
16873	Credit Suisse AG – CMS European Warrants Mar 2018 A	70,000,000	2017/09/27	2018/03/01	10.50
16872	Credit Suisse AG – Gome European Warrants Aug 2018 A	70,000,000	2017/09/27	2018/08/01	10.50
16866	Credit Suisse AG – Haier Elec European Warrants Mar 2018 A	55,000,000	2017/09/27	2018/03/09	10.45
16875	Credit Suisse AG – Samsonite European Warrants Mar 2018 A	30,000,000	2017/09/27	2018/03/27	10.20
16876	Credit Suisse AG – Yuexiu Property European Wts Jul 2018 A	40,000,000	2017/09/27	2018/07/31	10.00
16775	Bank of East Asia – BYD European Warrants Feb 2019 A	40,000,000	2017/09/27	2019/02/28	10.24
16774	Bank of East Asia – BYD European Warrants Jul 2019 A	40,000,000	2017/09/27	2019/07/04	10.28
16943	Goldman Sachs SP (Asia) – BYD European Warrants Apr 2018 B	40,000,000	2017/09/27	2018/04/30	14.64
16939	Goldman Sachs SP (Asia) – CITIC Sec European Wts Jun 2018 A	80,000,000	2017/09/27	2018/06/25	12.00
16923	Goldman Sachs SP (Asia) – Geely Auto European Wts Apr 2018 A	80,000,000	2017/09/27	2018/04/03	20.00
16942	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Mar 2018 A	80,000,000	2017/09/27	2018/03/07	12.00
16940	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Apr 2018 A	40,000,000	2017/09/27	2018/04/25	17.00
16934	Goldman Sachs SP (Asia) – Tencent European Wt Feb 2018 P	180,000,000	2017/09/27	2018/02/21	28.26
16817	HK Bank – Country Garden European Warrants Jun 2018 A	80,000,000	2017/09/27	2018/06/29	20.00
16822	HK Bank – Sinopec Corp European Warrants Feb 2018 A	50,000,000	2017/09/27	2018/02/27	11.00
16826	HK Bank – Chi Res Land European Warrants Apr 2018 A	50,000,000	2017/09/27	2018/04/30	12.50
16821	HK Bank – CRRC European Warrants Mar 2018 A	30,000,000	2017/09/27	2018/03/29	10.50
16827	HK Bank – Dongfeng Motor European Warrants Mar 2018 A	20,000,000	2017/09/27	2018/03/29	10.00
16828	HK Bank – Geely Auto European Put Warrants Mar 2018 D	80,000,000	2017/09/27	2018/03/29	20.00
16829	Haitong Int'l Sec – Anhui Conch European Warrants Mar 2018 A	60,000,000	2017/09/27	2018/03/02	15.60
16831	Haitong Int'l Sec – Anhui Conch European Warrants Apr 2018 A	60,000,000	2017/09/27	2018/04/30	15.00
16857	Haitong Int'l Sec – BYD European Warrants Mar 2018 C	30,000,000	2017/09/27	2018/03/29	23.40
16858	Haitong Int'l Sec – BYD European Warrants Apr 2018 A	30,000,000	2017/09/27	2018/04/27	18.90
16856	Haitong Int'l Sec – BYD European Warrants Feb 2019 A	200,000,000	2017/09/27	2019/02/18	50.00
16863	Haitong Int'l Sec – Geely Auto European Warrants Apr 2018 D	100,000,000	2017/09/27	2018/04/27	25.00
16864	Haitong Int'l Sec – Geely Auto European Warrants Apr 2018 E	100,000,000	2017/09/27	2018/04/06	29.00
16865	Haitong Int'l Sec – Geely Auto European Warrants Jun 2018 B	100,000,000	2017/09/27	2018/06/27	25.00
16832	Haitong Int'l Sec – Galaxy Ent European Warrants Mar 2018 B	60,000,000	2017/09/27	2018/03/29	20.40
16841	Haitong Int'l Sec – Galaxy Ent European Warrants May 2018 A	60,000,000	2017/09/27	2018/05/29	19.20
16846	Haitong Int'l Sec – Tencent European Warrants Mar 2018 E	100,000,000	2017/09/27	2018/03/28	25.00
16847	Haitong Int'l Sec – Tencent European Warrants May 2018 A	100,000,000	2017/09/27	2018/05/28	25.00
16880	J P Morgan SP BV – Agricultural Bk Euro Warrants Aug 2018 A	100,000,000	2017/09/27	2018/08/03	25.10
16878	J P Morgan SP BV – Geely Auto European Warrants Apr 2018 E	100,000,000	2017/09/27	2018/04/03	27.00
16877	J P Morgan SP BV – R&F Ppt European Warrants Mar 2018 B	60,000,000	2017/09/27	2018/03/29	15.12
16944	J P Morgan SP BV – Goldwind European Warrants Jun 2018 A	60,000,000	2017/09/27	2018/06/11	10.56
16901	Macquarie Bank Ltd. – BYD European Warrants Mar 2020 A	40,000,000	2017/09/27	2020/03/03	10.00
16903	Macquarie Bank Ltd. – BYD European Warrants Jul 2020 A	40,000,000	2017/09/27	2020/07/03	10.00
16897	Macquarie Bank Ltd. – China Coal European Wts Oct 2018 A	27,000,000	2017/09/27	2018/10/03	10.02
16899	Macquarie Bank Ltd. – Country Garden European Wts Nov 2018 A	40,000,000	2017/09/27	2018/11/02	10.00
16886	Macquarie Bank Ltd. – Fosun Intl European Warrants Jul 2018 A	40,000,000	2017/09/27	2018/07/13	10.00
16889	Macquarie Bank Ltd. – Geely Auto European Wts Jun 2018 A	33,000,000	2017/09/27	2018/06/04	10.10
16896	Macquarie Bank Ltd. – HKEX European Warrants Apr 2018 E	12,000,000	2017/09/27	2018/04/04	10.09
16890	Macquarie Bank Ltd. – Li & Fung European Warrants Aug 2018 A	25,000,000	2017/09/27	2018/08/02	10.13
16900	Macquarie Bank Ltd. – Poly HK Inv European Wts Nov 2018 A	12,000,000	2017/09/27	2018/11/15	10.01

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
16920	Macquarie Bank Ltd. – Yuexiu Property Euro Wts Jul 2018 A	40,000,000	2017/09/27	2018/07/16	10.00
16796	SG Issuer – BYD European Warrants Dec 2019 A	120,000,000	2017/09/27	2019/12/04	30.00
16748	SG Issuer – CCB European Warrants Apr 2018 B	60,000,000	2017/09/27	2018/04/06	20.10
16815	SG Issuer – Dongfeng European Warrants Apr 2018 A	10,000,000	2017/09/27	2018/04/06	10.00
16800	SG Issuer – GAC European Warrants May 2018 B	40,000,000	2017/09/27	2018/05/04	10.00
16751	SG Issuer – Tencent European Warrants Mar 2018 L	200,000,000	2017/09/27	2018/03/05	33.00
16904	UBS AG – BYD European Warrants Apr 2018 A	50,000,000	2017/09/27	2018/04/09	19.45
16909	UBS AG – Melco European Warrants Apr 2018 A	50,000,000	2017/09/27	2018/04/25	10.45
16919	UBS AG – Ping An European Warrants Feb 2018 B	100,000,000	2017/09/27	2018/02/07	21.60
16912	UBS AG – Tencent Holdings European Warrants Dec 2017 E	30,000,000	2017/09/27	2017/12/27	41.25
16788	Bank Vontobel – CNOOC European Warrants Mar 2018 A	40,000,000	2017/09/27	2018/03/27	16.04
16795	Bank Vontobel – HSBC European Warrants Apr 2018 B	70,000,000	2017/09/27	2018/04/30	10.57
16794	Bank Vontobel – PetroChina European Warrants Mar 2018 A	40,000,000	2017/09/27	2018/03/28	10.08
16784	Bank Vontobel – Ping An European Warrants Apr 2018 A	60,000,000	2017/09/27	2018/04/04	10.32
16776	Bank Vontobel – Tencent European Warrants Mar 2018 B	100,000,000	2017/09/27	2018/03/22	20.10
16950	BOCI Asia Ltd. – Minsheng Bank European Warrants Feb 2018 A	50,000,000	2017/09/28	2018/02/26	12.95
17035	Credit Suisse AG – BYD European Warrants Apr 2019 A	100,000,000	2017/09/28	2019/04/30	25.00
17039	Credit Suisse AG – CICC European Warrants Mar 2018 A	40,000,000	2017/09/28	2018/03/27	10.00
17032	Credit Suisse AG – GAC European Warrants Apr 2018 A	40,000,000	2017/09/28	2018/04/30	10.00
17038	Credit Suisse AG – Tencent Holdings European Wts Apr 2018 A	150,000,000	2017/09/28	2018/04/30	37.50
17063	Goldman Sachs SP (Asia) – BYD European Warrants Mar 2018 C	40,000,000	2017/09/28	2018/03/29	10.20
17064	Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2018 E	100,000,000	2017/09/28	2018/02/21	33.70
17067	Goldman Sachs SP (Asia) – CITIC Bank European Wts Feb 2018 A	60,000,000	2017/09/28	2018/02/01	10.08
17079	Goldman Sachs SP (Asia) – CNOOC European Warrants Feb 2018 A	80,000,000	2017/09/28	2018/02/23	18.08
17077	Goldman Sachs SP (Asia) – CNOOC European Warrants Mar 2018 A	80,000,000	2017/09/28	2018/03/29	22.96
16957	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Aug 2018 A	80,000,000	2017/09/28	2018/08/13	12.00
17080	Goldman Sachs SP (Asia) – Sands China Euro Wts Mar 2018 B	80,000,000	2017/09/28	2018/03/29	20.00
16955	HK Bank – Minsheng Bank European Warrants May 2019 A	200,000,000	2017/09/28	2019/05/31	30.00
16962	HK Bank – Galaxy Ent European Warrants Mar 2018 B	50,000,000	2017/09/28	2018/03/29	15.00
16958	HK Bank – HSI European Warrants Mar 2018 E	150,000,000	2017/09/28	2018/03/28	37.50
16964	HK Bank – HSI European Warrants Jan 2019 A	150,000,000	2017/09/28	2019/01/30	22.50
16959	HK Bank – HSI European Put Warrants Mar 2018 F	150,000,000	2017/09/28	2018/03/28	37.50
16965	HK Bank – Sands China European Warrants Mar 2018 C	50,000,000	2017/09/28	2018/03/29	12.50
17028	Haitong Int'l Sec – Avichina European Warrants Jul 2019 A	150,000,000	2017/09/28	2019/07/18	37.50
16992	Haitong Int'l Sec – Ch Overseas European Warrants Jun 2018 A	50,000,000	2017/09/28	2018/06/29	16.90
16994	Haitong Int'l Sec – Ch Overseas European Warrants Jun 2018 B	50,000,000	2017/09/28	2018/06/29	12.50
17013	Haitong Int'l Sec – CSDC European Warrants Jul 2018 A	40,000,000	2017/09/28	2018/07/30	10.40
16985	Haitong Int'l Sec – Jiangxi Copper European Warrants Aug 18A	100,000,000	2017/09/28	2018/08/08	28.20
16986	Haitong Int'l Sec – Jiangxi Copper European Warrants Aug 18B	100,000,000	2017/09/28	2018/08/28	25.00
16988	Haitong Int'l Sec – Jiangxi Copper European Warrants Jan 19A	100,000,000	2017/09/28	2019/01/18	25.00
17014	Haitong Int'l Sec – Kaisa Group European Warrants Dec 2018 A	100,000,000	2017/09/28	2018/12/18	29.40
17001	Haitong Int'l Sec – Ping An European Warrants Jun 2018 A	80,000,000	2017/09/28	2018/06/29	20.00
17006	Haitong Int'l Sec – Ping An European Warrants Jun 2018 B	80,000,000	2017/09/28	2018/06/29	39.84
16983	Haitong Int'l Sec – Poly Property European Warrants Jul 18 A	25,000,000	2017/09/28	2018/07/30	14.25

# MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Amount raised (HK\$ mil.)
		(units)	Listing date	Expiry	
17008	Haitong Int'l Sec - Sh Electric European Warrants Jul 2018 A	25,000,000	2017/09/28	2018/07/23	12.50
16984	Haitong Int'l Sec - Sh Petrochem European Warrants Jul 2018 A	25,000,000	2017/09/28	2018/07/18	10.75
16997	Haitong Int'l Sec - Skyworth Digital European Wts Aug 2018 A	20,000,000	2017/09/28	2018/08/22	16.80
16999	Haitong Int'l Sec - SUNAC European Warrants Mar 2018 A	30,000,000	2017/09/28	2018/03/29	26.34
17091	J P Morgan SP BV - Angang Steel European Warrants Jan 2018 A	40,000,000	2017/09/28	2018/01/31	21.40
17081	J P Morgan SP BV - BYD European Warrants Apr 2018 B	60,000,000	2017/09/28	2018/04/30	51.06
17086	J P Morgan SP BV - BYD Electronic European Warrants Apr 18 A	60,000,000	2017/09/28	2018/04/04	26.46
17096	J P Morgan SP BV - Minsheng Bank European Wts Jul 2018 A	60,000,000	2017/09/28	2018/07/04	24.84
17095	J P Morgan SP BV - CNOOC European Warrants Feb 2018 B	100,000,000	2017/09/28	2018/02/28	26.90
17082	J P Morgan SP BV - Chsouthcity European Warrants Apr 2018 A	60,000,000	2017/09/28	2018/04/30	15.24
17093	J P Morgan SP BV - China Unicom European Warrants Feb 2018 A	100,000,000	2017/09/28	2018/02/02	44.40
17083	J P Morgan SP BV - Geely Auto European Put Warrants Mar 18 E	60,000,000	2017/09/28	2018/03/29	15.18
17051	Macquarie Bank Ltd. - Agile Ppt European Warrants Jul 2018 A	5,300,000	2017/09/28	2018/07/03	10.01
16972	Macquarie Bank Ltd. - Kingboard Chem European Wts Aug 2018 A	22,000,000	2017/09/28	2018/08/15	10.43
17043	Macquarie Bank Ltd. - Kingsoft European Warrants Jun 2018 B	40,000,000	2017/09/28	2018/06/04	10.00
16970	Macquarie Bank Ltd. - Sunny Optical European Wts Jun 2018 A	36,000,000	2017/09/28	2018/06/04	10.19
17041	Macquarie Bank Ltd. - Zijin Mining European Wts Sep 2018 A	40,000,000	2017/09/28	2018/09/04	10.00
16974	SG Issuer - CHALCO European Warrants Aug 2019 A	40,000,000	2017/09/28	2019/08/05	10.00
16952	SG Issuer - BYD European Warrants Apr 2018 C	13,000,000	2017/09/28	2018/04/06	10.01
16982	SG Issuer - BYD European Warrants Jun 2019 A	120,000,000	2017/09/28	2019/06/05	30.00
16975	SG Issuer - China EB Ltd European Warrants Nov 2018 A	40,000,000	2017/09/28	2018/11/05	10.00
16976	SG Issuer - CKA European Warrants Apr 2018 A	25,000,000	2017/09/28	2018/04/06	10.00
16954	SG Issuer - GF Sec European Warrants Dec 2018 A	40,000,000	2017/09/28	2018/12/05	10.00
16979	SG Issuer - HTSC European Warrants Nov 2018 A	40,000,000	2017/09/28	2018/11/05	10.00
16980	SG Issuer - Sunny Optical European Warrants Aug 2018 A	40,000,000	2017/09/28	2018/08/03	11.20
17058	UBS AG - GAC European Warrants May 2018 A	70,000,000	2017/09/28	2018/05/28	17.50
17052	UBS AG - Galaxy Ent European Warrants Mar 2018 C	70,000,000	2017/09/28	2018/03/27	27.30
17139	Credit Suisse AG - Galaxy Ent European Warrants Apr 2018 A	50,000,000	2017/09/29	2018/04/13	12.50
17143	Credit Suisse AG - Nine Dragons European Warrants Apr 2018 A	40,000,000	2017/09/29	2018/04/30	10.00
17142	Credit Suisse AG - ZTE European Warrants Apr 2018 A	40,000,000	2017/09/29	2018/04/30	10.00
17174	Goldman Sachs SP (Asia) - CKH European Wts Jan 2018 A	50,000,000	2017/09/29	2018/01/30	10.25
17166	Goldman Sachs SP (Asia) - China Life Euro Wts Mar 2018 E	100,000,000	2017/09/29	2018/03/22	15.00
17168	Goldman Sachs SP (Asia) - Sinopec Corp Euro Wts Feb 2018 A	60,000,000	2017/09/29	2018/02/23	10.02
17173	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Feb 2018 A	80,000,000	2017/09/29	2018/02/23	16.48
17172	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Mar 2018 B	80,000,000	2017/09/29	2018/03/26	33.44
17102	HK Bank - Jiangxi Copper European Warrants Sep 2018 A	100,000,000	2017/09/29	2018/09/24	15.00
17107	Haitong Int'l Sec - China Gas Hold European Warrants Apr 18A	50,000,000	2017/09/29	2018/04/30	14.50
17127	Haitong Int'l Sec - Ch South City European Warrants Sep 18 A	50,000,000	2017/09/29	2018/09/18	18.50
17126	Haitong Int'l Sec - Dongfeng European Warrants May 2019 A	80,000,000	2017/09/29	2019/05/31	20.00
17133	Haitong Int'l Sec - Evergrande European Warrants Jun 2018 B	50,000,000	2017/09/29	2018/06/28	47.50
17132	Haitong Int'l Sec - GAC European Warrants Mar 2018 B	80,000,000	2017/09/29	2018/03/29	20.00
17128	Haitong Int'l Sec - GAC European Warrants Jul 2018 A	80,000,000	2017/09/29	2018/07/30	23.04
17165	J P Morgan SP BV - Brilliance Chi European Wts May 2018 A	60,000,000	2017/09/29	2018/05/02	15.78
17097	J P Morgan SP BV - BYD European Warrants Aug 2019 A	100,000,000	2017/09/29	2019/08/02	25.10

## MAIN BOARD WARRANTS

Code	Derivative warrants	No. of issue			Expiry	Amount raised (HK\$ mil.)
		(units)	Listing date			
17160	J P Morgan SP BV – China Mob European Wts Feb 2018 C	100,000,000	2017/09/29	2018/02/28	15.90	
17158	J P Morgan SP BV – Tencent European Warrants Apr 2018 F	200,000,000	2017/09/29	2018/04/30	31.00	
17164	J P Morgan SP BV – Travelsky Tech European Warrants Mar 18 A	70,000,000	2017/09/29	2018/03/09	10.85	
17103	SG Issuer – China Life European Warrants Jun 2018 A	150,000,000	2017/09/29	2018/06/04	29.25	
17106	SG Issuer – China Life European Warrants Sep 2018 A	150,000,000	2017/09/29	2018/09/05	23.25	
17146	UBS AG – BYD European Put Warrants Mar 2018 C	30,000,000	2017/09/29	2018/03/29	17.76	
17150	UBS AG – Ch Molybdenum European Warrants Mar 2018 A	30,000,000	2017/09/29	2018/03/29	13.83	
17153	UBS AG – ZTE European Warrants Apr 2018 A	50,000,000	2017/09/29	2018/04/16	12.50	
14652 #	J P Morgan SP BV – Ping An European Warrants Jan 2018 E	100,000,000	2017/09/29	2018/01/24	10.40	
<b>Total</b>					<b>46,575.04</b>	

# Further issue

# MAIN BOARD DEBT SECURITIES

## Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2016	Q3	3.00	6,333.92
	Q4	3.00	6,753.04
2017	Q1	–	8,993.57
	Q2	43.84	23,757.24
	Q3	73.43	17,878.71

## Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2016	Q3	841	3,089,402.31
	Q4	892	3,258,654.54
2017	Q1	936	3,471,408.76
	Q2	970	3,676,742.43
	Q3	996	3,801,628.13

## New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2016	Q3	51	191,245.48
	Q4	75	239,694.08
2017	Q1	78	308,706.43
	Q2	79	332,799.81
	Q3	59	214,236.64

# MAIN BOARD DEBT SECURITIES

## Newly Listed Debt Securities

for 3rd quarter 2017

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)	
05452 #	China Reinsurance Finance Corporation Ltd. 3.375% Notes2022B	USD	700,000,000	2017/07/03	2022/03/09	5,377.99
05229	Franshion Brilliant Ltd. 4.00% Senior Guar. Perpetual Cap Sec B	USD	300,000,000	2017/07/04	-	2,340.00
05231	Swire Pacific MTN Financing Ltd. 3.00% Guaranteed Notes 2024	USD	300,000,000	2017/07/06	2024/07/05	2,331.95
05232	CSCEC Finance (Cayman) II Ltd. 2.90% Guaranteed Notes 2022	USD	500,000,000	2017/07/06	2022/07/05	3,894.07
05233	CSCEC Finance (Cayman) II Ltd. 3.50% Guaranteed Notes 2027	USD	500,000,000	2017/07/06	2027/07/05	3,878.20
05228	Skyland Mining (BVI) Ltd. 3.25% Guaranteed Bonds 2020	USD	500,000,000	2017/07/07	2020/07/06	3,886.86
05230	Greenland Hong Kong Holdings Ltd. 4.50% Bonds 2018	USD	200,000,000	2017/07/07	2018/07/05	1,560.00
05221 #	FWD Group Ltd. Zero Coupon Subordinated Perpetual Cap Sec	USD	250,000,000	2017/07/07	-	1,410.36
05234	Hengjian International Investment Ltd. 2.75% Guar. Bonds 2020 2.75% Guar. Bonds 2020	USD	500,000,000	2017/07/12	2020/07/11	3,888.07
05235	Bank of China Ltd., Hong Kong Branch FR Notes 2019	USD	550,000,000	2017/07/12	2019/07/11	4,290.00
05236	Bank of China Ltd., Hong Kong Branch FR Notes 2022	USD	500,000,000	2017/07/12	2022/07/11	3,900.00
05237	Shanghai Pudong Dev Bank Co., Ltd., HK Branch FR Notes 2020	USD	400,000,000	2017/07/14	2020/07/13	3,120.00
05238	Shanghai Pudong Dev Bank Co., Ltd., HK Branch FR Notes 2022	USD	350,000,000	2017/07/14	2022/07/13	2,730.00
05239	Oceanwide Holdings International 2017 Co., Ltd. 6.50% Guar. Senior Notes 2018	USD	200,000,000	2017/07/18	2018/07/15	1,560.00
05453 #	MCC Holding (HK) Corporation Ltd. 2.95% Guar. Notes 2020B	USD	500,000,000	2017/07/18	2020/05/31	3,900.47
05240	Wisdom Glory Group Ltd. Senior Perpetual Capital Securities	USD	450,000,000	2017/07/20	-	3,510.00
05241	Strategic Int'l Group Ltd. Zero Coupon Guar. Conv. Bonds 2022	EUR	300,000,000	2017/07/24	2022/07/21	2,724.39
05243	Amber Treasure Ventures Ltd. 3.15% Credit Enhanced Notes2020	USD	400,000,000	2017/07/24	2020/07/21	3,117.16
05242	ENN Energy Holdings Ltd. 3.25% Bonds 2022	USD	600,000,000	2017/07/25	2022/07/24	4,673.78
05244	China Railway XunJie Co., Ltd. 2.875% Guaranteed Notes 2022	USD	500,000,000	2017/07/26	2022/07/25	3,889.90
05245	Castle Peak Power Finance Co. Ltd. 3.25% Notes 2027	USD	500,000,000	2017/07/26	2027/07/25	3,877.27
05246	Jiuding Group Finance Co., Ltd. 6.50% Guaranteed Bonds 2020	USD	150,000,000	2017/07/26	2020/07/25	1,170.00
05248	Shandong Energy Australia Pty Ltd. 4.55% Guar. Bonds 2020	USD	300,000,000	2017/07/27	2020/07/26	2,330.29
05249	Chong Hing Bank Ltd. 3.876% Tier 2 Subordinated Notes 2027	USD	382,903,000	2017/07/27	2027/07/26	2,986.64
05247	Yinchuan Tonglian Capital Investment Operation Co., Ltd. 3.50% Bonds 2020	USD	300,000,000	2017/07/28	2020/07/27	2,327.62
05250	China Life Insurance (Overseas) Co. Ltd., HK Branch 3.35% Dated Subordinated Capital Bonds 2027	USD	250,000,000	2017/07/28	2027/07/27	1,950.00
05251	Oceanwide Holdings International 2017 Co., Ltd. 7.75% Guaranteed Senior Notes 2020	USD	400,000,000	2017/07/28	2020/07/27	3,120.00
05252	MTR Corporation Ltd. 2.98% Fixed Rate Notes 2047	HKD	338,000,000	2017/07/28	2047/07/17	338.00
05230 #	Greenland Hong Kong Holdings Ltd. 4.50% Bonds 2018	USD	200,000,000	2017/07/28	2018/07/05	1,560.00
05253	CDBL Funding 2 2.625% Guaranteed Notes 2020	USD	400,000,000	2017/08/02	2020/08/01	3,109.92
05254	CDBL Funding 2 3.00% Guaranteed Notes 2022	USD	600,000,000	2017/08/02	2022/08/01	4,656.97
05257	China Logistics Property Holdings Co., Ltd. 8.00% Senior N2020	USD	100,000,000	2017/08/09	2020/08/08	780.00
05258	CCTI 2017 Ltd. 3.625% Guaranteed Notes 2022	USD	500,000,000	2017/08/09	2022/08/08	3,897.70
05255	Shanghai Port Group (BVI) Holding Co., Ltd. Zero Coupon Guar. Exchangeable B2021	USD	500,000,000	2017/08/10	2021/08/09	3,900.00
05256	Shanghai Port Group (BVI) Holding Co., Ltd. Zero Coupon Guar. Exchangeable B2022	USD	500,000,000	2017/08/10	2022/08/09	3,900.00
05259	China Huiyuan Juice Group Ltd. 6.50% Senior Notes 2020	USD	150,000,000	2017/08/17	2020/08/16	1,170.00
05260	Greenland Global Investment Ltd. 4.85% Notes 2020	USD	500,000,000	2017/08/18	2020/08/17	3,894.62

# MAIN BOARD DEBT SECURITIES

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$mil.)
04069	Hong Kong Monetary Authority, The 0.77% Exchange Fund Notes 2019	HKD 1,200,000,000	2017/08/22	2019/08/21	1,199.28
05262	Phoenix Lead Ltd. Guaranteed Perpetual Capital Securities	USD 500,000,000	2017/08/24	-	3,900.00
05261	CIFI Holdings (Group) Co. Ltd. Senior Perpetual Capital Securities	USD 300,000,000	2017/08/25	-	2,340.00
05263	Zhongtai Int'l Bond 2017 (BVI) Co. Ltd. 4.25% Guar. Bonds 2020	USD 300,000,000	2017/08/25	2020/08/24	2,340.00
05264	China Great Wall International Holdings III Ltd. 2.75% Guar. Notes 2020	USD 500,000,000	2017/09/01	2020/08/31	3,893.14
05265	China Great Wall International Holdings III Ltd. 3.125% Guar. Notes 2022	USD 1,000,000,000	2017/09/01	2022/08/31	7,770.83
05266	China Great Wall International Holdings III Ltd. 3.875% Guar. Notes 2027	USD 500,000,000	2017/09/01	2027/08/31	3,866.70
04232 #	The Govt of the HKSAR of the PRC 1.89% Bonds 2032	HKD 600,000,000	2017/09/08	2032/03/02	626.04
05267	Sun Hung Kai & Co. (BVI) Ltd. 4.65% Notes 2022	USD 400,000,000	2017/09/11	2022/09/08	3,120.00
05454 #	Franshion Brilliant Ltd. 4.00% Senior Guar. Perpetual Cap Sec C	USD 200,000,000	2017/09/11	-	1,561.42
05268	China Minsheng Banking Corp., Ltd. Hong Kong Branch FR Notes 2020	USD 450,000,000	2017/09/12	2020/09/11	3,510.00
05269	China Minsheng Banking Corp., Ltd. Hong Kong Branch FR Notes 2022	USD 350,000,000	2017/09/12	2022/09/11	2,730.00
05270	LSD Bonds (2017) Ltd. 4.60% Guaranteed Notes 2022	USD 400,000,000	2017/09/14	2022/09/13	3,120.00
05271	Sinopec Group Overseas Development (2017) Ltd. 2.25% Senior Notes 2020	USD 700,000,000	2017/09/14	2020/09/13	5,453.99
05272	Sinopec Group Overseas Development (2017) Ltd. 2.50% Senior Notes 2022	USD 1,400,000,000	2017/09/14	2022/09/13	10,839.19
05273	Sinopec Group Overseas Development (2017) Ltd. 3.25% Senior Notes 2027	USD 750,000,000	2017/09/14	2027/09/13	5,821.34
05274	Sinopec Group Overseas Development (2017) Ltd. 4.00% Senior Notes 2047	USD 400,000,000	2017/09/14	2047/09/13	3,120.00
05257 #	China Logistics Property Holdings Co., Ltd. 8.00% Senior N2020	USD 100,000,000	2017/09/15	2020/08/08	782.39
05259 #	China Huiyuan Juice Group Ltd. 6.50% Senior Notes 2020	USD 50,000,000	2017/09/15	2020/08/16	390.00
05275	Hong Kong Mortgage Corporation Ltd., The FR Notes 2018	GBP 250,000,000	2017/09/19	2018/09/18	2,655.63
05267 #	Sun Hung Kai & Co. (BVI) Ltd. 4.65% Notes 2022	USD 150,000,000	2017/09/19	2022/09/08	1,175.14
05277	Inventive Global Investments Ltd. 2.50% Fixed Rate Notes 2020	USD 500,000,000	2017/09/20	2020/09/19	3,896.65
05279	Wynn Macau, Ltd. 4.875% Senior Notes 2024	USD 600,000,000	2017/09/21	2024/10/01	4,680.00
05280	Wynn Macau, Ltd. 5.50% Senior Notes 2027	USD 750,000,000	2017/09/21	2027/10/01	5,850.00
05276	Sino-Ocean Land Treasure III Ltd. Perpetual Subordinated Guar. Capital Securities	USD 600,000,000	2017/09/22	-	4,659.50
05278	Hong Kong Red Star Macalline Universal Home Furnishings Ltd. 3.375% Guar. B2022	USD 300,000,000	2017/09/22	2022/09/21	2,326.26
05282	KWG Property Holding Ltd. 5.20% Senior Notes 2022 B	USD 250,000,000	2017/09/22	2022/09/21	1,950.00
05283	AMTD Group Co. Ltd. 6.30% Notes 2020	HKD 1,445,000,000	2017/09/25	2020/09/22	1,445.00
05281	Tianjin Lingang Investment Holding Co., Ltd. 4.50% Notes 2018	USD 260,000,000	2017/09/26	2018/09/19	2,028.00
05284	Eastern Creation II Investment Holdings Ltd. 2.75% Guar. Notes 2020	USD 700,000,000	2017/09/27	2020/09/26	5,453.94
05285	MTR Corporation Ltd. 3.375% Fixed Rate Notes 2047	USD 100,000,000	2017/09/28	2047/09/27	780.00
<b>Total</b>					<b>214,236.64</b>

# Further issue

# MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

## Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Leveraged and Inverse Products (L&I Products) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2016	Q3	269,308.99	153.00	25,916.93	23.08	295,402.00	6.92
	Q4	200,178.89	286.95	25,310.73	25.00	225,801.57	5.79
2017	Q1	272,667.62	8,468.18	21,154.53	45.32	302,335.66	6.60
	Q2	287,085.90	40,415.94	22,355.40	35.41	349,892.65	7.69
	Q3	256,464.87	31,956.70	23,257.20	99.96	311,778.73	5.26

Note: Turnover value traded in non-HKD currency securities have been included

## Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Leveraged and Inverse Products (L&I Products) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2016	Q3	138	627,842.20	8	434.09	11	235,115.93	1	1,188.38	158	864,580.60
	Q4	133	561,308.69	12	517.17	11	210,386.83	1	1,134.67	157	773,347.35
2017	Q1	127	576,046.57	30	4,773.28	11	224,246.52	1	1,215.23	169	806,281.61
	Q2	126	596,939.76	30	4,650.20	11	241,870.58	1	1,045.24	168	844,505.77
	Q3	107	601,666.46	27	4,213.20	11	251,920.21	1	1,110.76	146	858,910.64

## New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2016	Q3	4	-
	Q4	9	-
2017	Q1	18	-
	Q2	-	-
	Q3	1	-



# MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

## Newly Listed Unit Trust and Mutual Funds

for 3rd quarter 2017

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	No. of unit offer	Amount raised (HK\$ mil)	Subscription rate (times)	Listing date
07242	E Fund Yuanta HSI Daily (2x) Leveraged Product	E Fund Management (Hong Kong) Co., Limited	n.a.	n.a.	n.a.	n.a.	2017/08/25

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

## Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2016	Q3	2,105	74,015.13	357,151.89
	Q4	1,844	46,982.16	288,777.61
2017	Q1	2,271	56,964.61	282,458.37
	Q2	2,667	83,611.38	220,839.34
	Q3	3,257	119,305.67	302,173.15

## New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2016	Q3	2,489	105,390.41
	Q4	2,001	78,979.94
2017	Q1	2,508	90,548.83
	Q2	2,224	75,481.22
	Q3	3,799	122,666.17

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

## Newly Listed Callable Bull/Bear Contracts

for 3rd quarter 2017

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63387	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 K	100,000,000	2017/07/03	2018/08/30	25.00
63384	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 Z	250,000,000	2017/07/03	2018/08/30	62.50
63443	Credit Suisse AG – Galaxy Ent R Bull CBBC Mar 2018 C	50,000,000	2017/07/03	2018/03/09	12.50
63447	Credit Suisse AG – HSI R Bull CBBC Apr 2018 D	150,000,000	2017/07/03	2018/04/27	37.50
63435	Credit Suisse AG – HSI R Bear CBBC Oct 2017 O	200,000,000	2017/07/03	2017/10/30	50.00
63445	Credit Suisse AG – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/07/03	2017/11/29	50.00
63457	Credit Suisse AG – Ping An R Bull CBBC Mar 2018 A	50,000,000	2017/07/03	2018/03/09	12.50
63444	Credit Suisse AG – Sands China R Bull CBBC May 2018 B	50,000,000	2017/07/03	2018/05/18	12.50
63455	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 I	50,000,000	2017/07/03	2018/03/08	12.50
63487	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 D	200,000,000	2017/07/03	2018/09/27	50.00
63390	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/03	2017/10/30	50.00
63400	HK Bank – CUni R Bull CBBC Aug 2018 A	40,000,000	2017/07/03	2018/08/31	10.00
63408	HK Bank – CUni R Bear CBBC Aug 2018 A	40,000,000	2017/07/03	2018/08/31	10.00
63424	HK Bank – Galaxy Ent R Bull CBBC Apr 2018 B	80,000,000	2017/07/03	2018/04/30	20.00
63418	HK Bank – Galaxy Ent R Bull CBBC Oct 2018 B	80,000,000	2017/07/03	2018/10/31	20.00
63398	HK Bank – Sands China R Bear CBBC Apr 2018 D	40,000,000	2017/07/03	2018/04/16	10.00
63434	HK Bank – Tencent R Bull CBBC Apr 2018 P	50,000,000	2017/07/03	2018/04/30	12.50
63509	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 G	200,000,000	2017/07/03	2017/10/30	50.00
63512	J P Morgan SP BV – Tencent R Bull CBBC Oct 2017 X	40,000,000	2017/07/03	2017/10/20	10.00
63392	SG Issuer – CSA50 R Bull CBBC Mar 2018 A	40,000,000	2017/07/03	2018/03/28	10.00
63515	SG Issuer – Geely Auto R Bull CBBC Mar 2018 C	40,000,000	2017/07/03	2018/03/28	10.00
63516	SG Issuer – HKEX R Bull CBBC Mar 2018 E	100,000,000	2017/07/03	2018/03/28	25.00
63534	SG Issuer – HSI R Bull CBBC Dec 2018 M	200,000,000	2017/07/03	2018/12/28	50.00
63558	SG Issuer – HSI R Bull CBBC Jan 2019 A	200,000,000	2017/07/03	2019/01/30	50.00
63526	SG Issuer – HSI R Bear CBBC Oct 2017 C	200,000,000	2017/07/03	2017/10/30	50.00
63532	SG Issuer – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/07/03	2017/11/29	50.00
63522	SG Issuer – Tencent R Bull CBBC Mar 2018 L	100,000,000	2017/07/03	2018/03/28	25.00
63472	UBS AG – CSOP A50 ETF R Bull CBBC Jan 2018 C	40,000,000	2017/07/03	2018/01/11	10.00
63479	UBS AG – HSI R Bull CBBC Aug 2018 F	200,000,000	2017/07/03	2018/08/30	50.00
63485	UBS AG – HSI R Bull CBBC Sep 2018 P	250,000,000	2017/07/03	2018/09/27	62.50
63468	UBS AG – HSI R Bear CBBC Oct 2017 D	250,000,000	2017/07/03	2017/10/30	62.50
63470	UBS AG – Tencent R Bull CBBC Jan 2018 D	50,000,000	2017/07/03	2018/01/11	12.50
63585	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 P	50,000,000	2017/07/04	2018/08/30	12.50
63689	Credit Suisse AG – Geely Auto R Bull CBBC Feb 2018 C	50,000,000	2017/07/04	2018/02/09	12.50
63703	Credit Suisse AG – Geely Auto R Bear CBBC Feb 2018 C	50,000,000	2017/07/04	2018/02/09	13.00
63667	Credit Suisse AG – HSI R Bull CBBC Aug 2018 H	200,000,000	2017/07/04	2018/08/30	50.00
63680	Credit Suisse AG – HSI R Bull CBBC Aug 2018 I	200,000,000	2017/07/04	2018/08/30	50.00
63653	Credit Suisse AG – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/04	2017/10/30	50.00
63705	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 J	50,000,000	2017/07/04	2018/03/16	12.50
63637	Bank of East Asia – CSOP A50 ETF R Bull CBBC Jan 2018 A	40,000,000	2017/07/04	2018/01/29	10.00
63636	Bank of East Asia – Ping An R Bull CBBC Jun 2018 A	16,000,000	2017/07/04	2018/06/27	10.40
63610	Bank of East Asia – Tencent R Bull CBBC Jul 2018 B	40,000,000	2017/07/04	2018/07/04	10.00
63612	Bank of East Asia – Tencent R Bull CBBC Jul 2018 C	40,000,000	2017/07/04	2018/07/04	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63613	Bank of East Asia – Tencent R Bear CBBC Jul 2018 A	40,000,000	2017/07/04	2018/07/04	10.00
63781	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 I	200,000,000	2017/07/04	2018/08/30	50.00
63798	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 O	200,000,000	2017/07/04	2017/10/30	50.00
63562	HK Bank – CSOP A50 ETF R Bear CBBC Jun 2018 A	40,000,000	2017/07/04	2018/06/29	10.00
63564	HK Bank – Geely Auto R Bull CBBC Feb 2018 B	40,000,000	2017/07/04	2018/02/12	10.00
63569	HK Bank – Geely Auto R Bull CBBC Feb 2018 C	40,000,000	2017/07/04	2018/02/05	10.00
63567	HK Bank – Geely Auto R Bear CBBC Oct 2018 C	30,000,000	2017/07/04	2018/10/29	10.50
63572	HK Bank – HSCEI R Bull CBBC Jul 2018 B	60,000,000	2017/07/04	2018/07/30	15.00
63571	HK Bank – HSI R Bull CBBC Jul 2018 J	100,000,000	2017/07/04	2018/07/30	25.00
63559	HK Bank – Tencent R Bull CBBC Apr 2018 Q	50,000,000	2017/07/04	2018/04/13	12.50
63574	HK Bank – Tencent R Bull CBBC Apr 2018 R	50,000,000	2017/07/04	2018/04/18	12.50
63561	HK Bank – Tencent R Bear CBBC Nov 2018 C	40,000,000	2017/07/04	2018/11/26	16.00
63825	J P Morgan SP BV – Geely Auto R Bull CBBC Jan 2018 E	40,000,000	2017/07/04	2018/01/19	10.00
63762	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 O	200,000,000	2017/07/04	2018/08/30	50.00
63776	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 H	200,000,000	2017/07/04	2017/10/30	50.00
63799	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 Z	40,000,000	2017/07/04	2017/11/17	10.00
63819	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 S	40,000,000	2017/07/04	2017/12/15	10.00
63752	SG Issuer – HSI R Bull CBBC Dec 2018 N	200,000,000	2017/07/04	2018/12/28	50.00
63749	SG Issuer – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/04	2017/10/30	50.00
63581	SG Issuer – Tencent R Bull CBBC Oct 2017 F	100,000,000	2017/07/04	2017/10/20	25.00
63575	SG Issuer – Tencent R Bear CBBC Jan 2018 B	100,000,000	2017/07/04	2018/01/30	36.50
63709	UBS AG – HSI R Bull CBBC Jul 2018 W	250,000,000	2017/07/04	2018/07/30	62.50
63734	UBS AG – HSI R Bear CBBC Oct 2017 E	200,000,000	2017/07/04	2017/10/30	50.00
63708	UBS AG – Tencent R Bull CBBC Dec 2017 L	40,000,000	2017/07/04	2017/12/04	10.00
63831	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 B	250,000,000	2017/07/05	2018/09/27	62.50
64004	Credit Suisse AG – CNOOC R Bull CBBC Mar 2018 B	50,000,000	2017/07/05	2018/03/02	12.50
64021	Credit Suisse AG – Galaxy Ent R Bull CBBC Feb 2018 B	50,000,000	2017/07/05	2018/02/22	12.50
63939	Credit Suisse AG – HSI R Bull CBBC Mar 2018 V	200,000,000	2017/07/05	2018/03/28	50.00
63938	Credit Suisse AG – HSI R Bull CBBC Aug 2018 J	200,000,000	2017/07/05	2018/08/30	50.00
63940	Credit Suisse AG – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/07/05	2017/11/29	50.00
63901	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/05	2017/10/30	50.00
63876	HK Bank – Great Wall Motor R Bull CBBC Jun 2018 B	40,000,000	2017/07/05	2018/06/29	10.00
63856	HK Bank – HSI R Bear CBBC Apr 2018 Q	100,000,000	2017/07/05	2018/04/27	25.00
63873	HK Bank – Tencent R Bull CBBC Apr 2018 S	50,000,000	2017/07/05	2018/04/25	12.50
63878	HK Bank – Tencent R Bear CBBC Apr 2018 C	50,000,000	2017/07/05	2018/04/25	12.50
64075	J P Morgan SP BV – Geely Auto R Bear CBBC Apr 2018 B	35,000,000	2017/07/05	2018/04/20	10.50
64035	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 K	200,000,000	2017/07/05	2018/09/27	50.00
64077	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 I	200,000,000	2017/07/05	2017/10/30	50.00
64071	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 E	40,000,000	2017/07/05	2018/02/15	10.00
63880	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 A	35,000,000	2017/07/05	2018/02/28	10.01
63882	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 B	40,000,000	2017/07/05	2018/02/28	10.00
63894	SG Issuer – Geely Auto R Bull CBBC Jan 2018 C	40,000,000	2017/07/05	2018/01/19	10.00
64124	SG Issuer – HSI R Bull CBBC Dec 2018 O	200,000,000	2017/07/05	2018/12/28	50.00
64129	SG Issuer – HSI R Bull CBBC Dec 2018 P	200,000,000	2017/07/05	2018/12/28	50.00
64102	SG Issuer – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/07/05	2017/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64111	SG Issuer – HSI R Bear CBBC Dec 2017 B	200,000,000	2017/07/05	2017/12/28	50.00
63835	SG Issuer – Tencent R Bull CBBC Oct 2017 G	100,000,000	2017/07/05	2017/10/25	25.00
63910	UBS AG – HSI R Bull CBBC Sep 2018 Q	250,000,000	2017/07/05	2018/09/27	62.50
63916	UBS AG – HSI R Bear CBBC Oct 2017 F	250,000,000	2017/07/05	2017/10/30	62.50
63919	UBS AG – HSI R Bear CBBC Oct 2017 G	200,000,000	2017/07/05	2017/10/30	50.00
64182	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jan 18B	60,000,000	2017/07/06	2018/01/30	15.00
64157	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 C	50,000,000	2017/07/06	2018/08/30	12.50
64189	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 A	150,000,000	2017/07/06	2018/08/30	37.50
64170	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 N	50,000,000	2017/07/06	2018/04/27	12.50
64181	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 O	50,000,000	2017/07/06	2018/04/27	17.50
64346	Credit Suisse AG – Geely Auto R Bull CBBC Feb 2018 D	50,000,000	2017/07/06	2018/02/23	12.50
64351	Credit Suisse AG – Geely Auto R Bear CBBC Feb 2018 D	50,000,000	2017/07/06	2018/02/02	18.00
64341	Credit Suisse AG – HSBC R Bull CBBC Jan 2018 G	50,000,000	2017/07/06	2018/01/26	12.50
64344	Credit Suisse AG – HSBC R Bear CBBC Jan 2018 A	50,000,000	2017/07/06	2018/01/12	12.50
64352	Credit Suisse AG – HSI R Bull CBBC Mar 2018 W	200,000,000	2017/07/06	2018/03/28	50.00
64340	Credit Suisse AG – HSI R Bull CBBC Aug 2018 L	200,000,000	2017/07/06	2018/08/30	50.00
64336	Credit Suisse AG – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/06	2017/10/30	50.00
64393	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 E	200,000,000	2017/07/06	2018/09/27	50.00
64395	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 F	200,000,000	2017/07/06	2018/09/27	50.00
64148	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/06	2017/10/30	50.00
64396	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 R	200,000,000	2017/07/06	2017/10/30	50.00
64193	HK Bank – Geely Auto R Bull CBBC Jun 2018 A	40,000,000	2017/07/06	2018/06/29	10.00
64194	HK Bank – Geely Auto R Bear CBBC Nov 2018 A	30,000,000	2017/07/06	2018/11/26	10.50
64227	HK Bank – HKEX R Bull CBBC Mar 2018 F	50,000,000	2017/07/06	2018/03/28	12.50
64228	HK Bank – HKEX R Bull CBBC Mar 2018 G	50,000,000	2017/07/06	2018/03/28	12.50
64202	HK Bank – HSI R Bull CBBC Mar 2018 D	100,000,000	2017/07/06	2018/03/28	25.00
64195	HK Bank – Tencent R Bear CBBC Dec 2018 A	40,000,000	2017/07/06	2018/12/17	20.00
64329	J P Morgan SP BV – Geely Auto R Bull CBBC Feb 2018 E	40,000,000	2017/07/06	2018/02/15	10.00
64335	J P Morgan SP BV – Geely Auto R Bear CBBC Apr 2018 C	35,000,000	2017/07/06	2018/04/20	11.20
64327	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 N	40,000,000	2017/07/06	2017/12/15	10.00
64287	J P Morgan SP BV – HSBC R Bull CBBC Jun 2018 B	100,000,000	2017/07/06	2018/06/15	25.00
64297	J P Morgan SP BV – HSBC R Bear CBBC Jan 2018 B	100,000,000	2017/07/06	2018/01/19	25.00
64272	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 P	200,000,000	2017/07/06	2018/08/30	50.00
64270	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 L	200,000,000	2017/07/06	2018/09/27	50.00
64229	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 J	200,000,000	2017/07/06	2017/10/30	50.00
64236	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 K	200,000,000	2017/07/06	2017/10/30	50.00
64239	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 L	200,000,000	2017/07/06	2018/01/30	50.00
64245	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 A	200,000,000	2017/07/06	2018/02/27	60.00
64261	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 B	200,000,000	2017/07/06	2018/02/27	50.00
64205	SG Issuer – Geely Auto R Bull CBBC Feb 2018 C	40,000,000	2017/07/06	2018/02/09	10.00
64458	SG Issuer – Geely Auto R Bear CBBC Apr 2018 A	40,000,000	2017/07/06	2018/04/20	18.80
64208	SG Issuer – HKEX R Bull CBBC Jan 2018 F	100,000,000	2017/07/06	2018/01/17	25.00
64223	SG Issuer – HSBC R Bull CBBC Mar 2018 F	100,000,000	2017/07/06	2018/03/26	25.00
64224	SG Issuer – HSBC R Bull CBBC Apr 2018 A	100,000,000	2017/07/06	2018/04/13	25.00
64465	SG Issuer – HSBC R Bull CBBC Apr 2018 B	100,000,000	2017/07/06	2018/04/10	25.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64219	SG Issuer – HSBC R Bear CBBC Jan 2018 A	100,000,000	2017/07/06	2018/01/12	25.00
64222	SG Issuer – HSBC R Bear CBBC Jan 2018 B	100,000,000	2017/07/06	2018/01/19	25.00
64464	SG Issuer – HSBC R Bear CBBC Jan 2018 C	100,000,000	2017/07/06	2018/01/26	25.00
64470	SG Issuer – HSI R Bull CBBC Oct 2018 N	200,000,000	2017/07/06	2018/10/30	50.00
64476	SG Issuer – HSI R Bull CBBC Nov 2018 S	200,000,000	2017/07/06	2018/11/29	50.00
64480	SG Issuer – HSI R Bull CBBC Nov 2018 T	200,000,000	2017/07/06	2018/11/29	50.00
64466	SG Issuer – HSI R Bear CBBC Oct 2017 X	200,000,000	2017/07/06	2017/10/30	50.00
64467	SG Issuer – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/07/06	2017/11/29	50.00
64468	SG Issuer – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/07/06	2017/12/28	50.00
64372	UBS AG – HSBC R Bull CBBC Jun 2018 B	50,000,000	2017/07/06	2018/06/11	12.50
64361	UBS AG – HSBC R Bull CBBC Jul 2018 B	50,000,000	2017/07/06	2018/07/09	12.50
64389	UBS AG – HSI R Bull CBBC Sep 2018 R	200,000,000	2017/07/06	2018/09/27	50.00
64360	UBS AG – HSI R Bear CBBC Oct 2017 H	250,000,000	2017/07/06	2017/10/30	62.50
64380	UBS AG – HSI R Bear CBBC Oct 2017 I	200,000,000	2017/07/06	2017/10/30	50.00
64379	UBS AG – Sands China R Bull CBBC Apr 2018 A	40,000,000	2017/07/06	2018/04/16	10.00
64390	UBS AG – Tencent R Bull CBBC Feb 2018 C	40,000,000	2017/07/06	2018/02/05	10.00
64392	UBS AG – Tencent R Bull CBBC Feb 2018 D	50,000,000	2017/07/06	2018/02/26	12.50
64481	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 Q	50,000,000	2017/07/07	2018/08/30	12.50
64688	Credit Suisse AG – HSI R Bull CBBC Mar 2018 X	200,000,000	2017/07/07	2018/03/28	50.00
64690	Credit Suisse AG – HSI R Bull CBBC Sep 2018 D	200,000,000	2017/07/07	2018/09/27	50.00
64694	Credit Suisse AG – HSI R Bear CBBC Oct 2017 R	200,000,000	2017/07/07	2017/10/30	50.00
64704	Credit Suisse AG – HSI R Bear CBBC Nov 2017 P	200,000,000	2017/07/07	2017/11/29	50.00
64687	Bank of East Asia – HKEX R Bull CBBC Jun 2018 A	40,000,000	2017/07/07	2018/06/15	10.00
64683	Bank of East Asia – Tencent R Bull CBBC Jun 2018 D	40,000,000	2017/07/07	2018/06/15	10.00
64684	Bank of East Asia – Tencent R Bull CBBC Jun 2018 E	40,000,000	2017/07/07	2018/06/15	10.00
64686	Bank of East Asia – Tencent R Bear CBBC Jun 2018 B	40,000,000	2017/07/07	2018/06/15	10.00
64483	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 A	200,000,000	2017/07/07	2018/10/30	50.00
64527	HK Bank – HKEX R Bear CBBC Mar 2018 E	40,000,000	2017/07/07	2018/03/29	10.00
64544	HK Bank – HSI R Bear CBBC Mar 2018 I	100,000,000	2017/07/07	2018/03/28	25.00
64534	HK Bank – Tencent R Bull CBBC Feb 2018 C	50,000,000	2017/07/07	2018/02/05	12.50
64535	HK Bank – Tencent R Bear CBBC Apr 2018 D	50,000,000	2017/07/07	2018/04/18	12.50
64568	Haitong Int'l Sec – HKEX R Bull CBBC Jul 2018 A	60,000,000	2017/07/07	2018/07/19	15.00
64572	Haitong Int'l Sec – HSBC R Bull CBBC Jun 2018 B	100,000,000	2017/07/07	2018/06/22	25.00
64605	Haitong Int'l Sec – HSBC R Bull CBBC Jul 2018 A	100,000,000	2017/07/07	2018/07/16	25.00
64553	Haitong Int'l Sec – Tencent R Bull CBBC Jul 2018 A	60,000,000	2017/07/07	2018/07/17	15.00
64566	Haitong Int'l Sec – Tencent R Bear CBBC Jul 2018 A	60,000,000	2017/07/07	2018/07/18	15.00
64728	J P Morgan SP BV – HSBC R Bull CBBC Aug 2018 A	100,000,000	2017/07/07	2018/08/17	25.00
64715	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 B	200,000,000	2017/07/07	2018/10/30	50.00
64723	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 C	200,000,000	2017/07/07	2018/10/30	50.00
64737	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 B	40,000,000	2017/07/07	2018/01/19	10.00
64682	Macquarie Bank Ltd. – HSBC R Bull CBBC Jul 2018 B	40,000,000	2017/07/07	2018/07/30	10.00
64675	Macquarie Bank Ltd. – HSBC R Bear CBBC Jul 2018 B	40,000,000	2017/07/07	2018/07/30	10.00
64679	Macquarie Bank Ltd. – HSBC R Bear CBBC Jul 2018 C	40,000,000	2017/07/07	2018/07/30	10.00
64606	SG Issuer – Galaxy R Bull CBBC Feb 2018 B	100,000,000	2017/07/07	2018/02/14	25.00
64755	SG Issuer – HSI R Bear CBBC Oct 2017 Y	200,000,000	2017/07/07	2017/10/30	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64770	SG Issuer – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/07/07	2017/11/29	50.00
64492	SG Issuer – Tencent R Bull CBBC Nov 2017 H	100,000,000	2017/07/07	2017/11/17	25.00
64654	UBS AG – HSBC R Bull CBBC Jun 2018 C	50,000,000	2017/07/07	2018/06/04	12.50
64667	UBS AG – HSBC R Bear CBBC Jan 2018 A	50,000,000	2017/07/07	2018/01/22	12.50
64610	UBS AG – HSI R Bull CBBC Aug 2018 N	200,000,000	2017/07/07	2018/08/30	50.00
64634	UBS AG – HSI R Bear CBBC Oct 2017 J	250,000,000	2017/07/07	2017/10/30	62.50
64630	UBS AG – Tencent R Bull CBBC Oct 2017 E	40,000,000	2017/07/07	2017/10/23	10.00
64613	UBS AG – Tencent R Bull CBBC Nov 2017 M	40,000,000	2017/07/07	2017/11/06	10.00
64672	UBS AG – Tencent R Bear CBBC Feb 2018 B	50,000,000	2017/07/07	2018/02/05	12.50
64865	Credit Suisse AG – Geely Auto R Bear CBBC Jan 2018 B	40,000,000	2017/07/10	2018/01/26	10.00
64861	Credit Suisse AG – Galaxy Ent R Bull CBBC Mar 2018 D	100,000,000	2017/07/10	2018/03/09	25.00
64860	Credit Suisse AG – HSI R Bull CBBC Sep 2018 E	150,000,000	2017/07/10	2018/09/27	37.50
64845	Credit Suisse AG – HSI R Bear CBBC Oct 2017 S	150,000,000	2017/07/10	2017/10/30	37.50
64871	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 B	50,000,000	2017/07/10	2018/02/08	12.50
64828	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 S	200,000,000	2017/07/10	2017/10/30	50.00
64771	HK Bank – China Shenhua R Bull CBBC Jul 2018 B	40,000,000	2017/07/10	2018/07/30	10.00
64776	HK Bank – Geely Auto R Bull CBBC Apr 2018 D	40,000,000	2017/07/10	2018/04/23	10.00
64784	HK Bank – Geely Auto R Bear CBBC Sep 2018 B	40,000,000	2017/07/10	2018/09/17	16.00
64792	HK Bank – Galaxy Ent R Bear CBBC Jan 2018 F	40,000,000	2017/07/10	2018/01/29	10.00
64816	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 L	200,000,000	2017/07/10	2017/10/30	50.00
64824	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 T	40,000,000	2017/07/10	2017/12/15	10.00
64797	SG Issuer – Geely Auto R Bull CBBC Nov 2017 E	40,000,000	2017/07/10	2017/11/17	10.00
64801	SG Issuer – Geely Auto R Bear CBBC Mar 2018 A	40,000,000	2017/07/10	2018/03/28	20.40
64881	SG Issuer – HSI R Bull CBBC Nov 2018 U	200,000,000	2017/07/10	2018/11/29	50.00
64880	SG Issuer – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/07/10	2017/12/28	50.00
64806	SG Issuer – Tencent R Bear CBBC Apr 2018 A	100,000,000	2017/07/10	2018/04/10	25.00
64812	UBS AG – CC Bank R Bull CBBC Dec 2017 B	60,000,000	2017/07/10	2017/12/04	15.00
64811	UBS AG – HSI R Bull CBBC Sep 2018 S	250,000,000	2017/07/10	2018/09/27	62.50
64814	UBS AG – Ping An R Bull CBBC Mar 2018 A	80,000,000	2017/07/10	2018/03/19	20.00
64909	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jan 18C	100,000,000	2017/07/11	2018/01/29	25.00
64905	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 D	60,000,000	2017/07/11	2018/08/30	15.00
64921	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 E	250,000,000	2017/07/11	2018/08/30	62.50
64916	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 S	250,000,000	2017/07/11	2018/08/30	62.50
64888	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 B	200,000,000	2017/07/11	2018/08/30	50.00
64911	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 R	50,000,000	2017/07/11	2018/08/30	12.50
64883	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 P	50,000,000	2017/07/11	2018/04/27	12.50
65285	Credit Suisse AG – Galaxy Ent R Bear CBBC Feb 2018 B	50,000,000	2017/07/11	2018/02/09	12.50
65274	Credit Suisse AG – HSI R Bull CBBC Mar 2018 Y	200,000,000	2017/07/11	2018/03/28	50.00
65275	Credit Suisse AG – HSI R Bull CBBC Sep 2018 F	200,000,000	2017/07/11	2018/09/27	50.00
65302	Credit Suisse AG – HSI R Bull CBBC Sep 2018 G	200,000,000	2017/07/11	2018/09/27	50.00
65303	Credit Suisse AG – HSI R Bear CBBC Oct 2017 T	200,000,000	2017/07/11	2017/10/30	50.00
65322	Credit Suisse AG – HSI R Bear CBBC Oct 2017 U	200,000,000	2017/07/11	2017/10/30	50.00
65307	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/07/11	2017/11/29	50.00
65287	Credit Suisse AG – Sands China R Bear CBBC Mar 2018 A	50,000,000	2017/07/11	2018/03/23	12.50
65296	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 K	50,000,000	2017/07/11	2018/03/09	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65325	Credit Suisse AG – Tencent R Bear CBBC Jan 2018 E	50,000,000	2017/07/11	2018/01/26	12.50
65326	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 C	50,000,000	2017/07/11	2018/02/02	12.50
65030	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 B	200,000,000	2017/07/11	2018/10/30	50.00
65239	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 C	200,000,000	2017/07/11	2018/10/30	50.00
65242	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 T	200,000,000	2017/07/11	2017/10/30	50.00
65244	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 U	200,000,000	2017/07/11	2017/10/30	50.00
64997	HK Bank – HSI R Bull CBBC Apr 2018 G	100,000,000	2017/07/11	2018/04/27	25.00
64999	HK Bank – HSI R Bull CBBC Apr 2018 H	100,000,000	2017/07/11	2018/04/27	25.00
64923	HK Bank – HSI R Bear CBBC Mar 2018 J	100,000,000	2017/07/11	2018/03/28	25.00
64928	HK Bank – HSI R Bear CBBC Mar 2018 K	100,000,000	2017/07/11	2018/03/28	25.00
64969	HK Bank – ICBC R Bear CBBC Jan 2018 B	40,000,000	2017/07/11	2018/01/29	10.00
64973	HK Bank – Tencent R Bull CBBC Apr 2018 T	50,000,000	2017/07/11	2018/04/16	12.50
64976	HK Bank – Tencent R Bull CBBC Apr 2018 U	50,000,000	2017/07/11	2018/04/09	12.50
64970	HK Bank – Tencent R Bull CBBC Jul 2018 X	50,000,000	2017/07/11	2018/07/03	12.50
64984	HK Bank – Tencent R Bear CBBC Mar 2018 D	50,000,000	2017/07/11	2018/03/19	12.50
64988	HK Bank – Tencent R Bear CBBC Mar 2018 E	50,000,000	2017/07/11	2018/03/26	12.50
65182	J P Morgan SP BV – HSBC R Bull CBBC Jul 2018 B	100,000,000	2017/07/11	2018/07/20	25.00
65227	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2018 C	100,000,000	2017/07/11	2018/04/27	25.00
65127	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 V	200,000,000	2017/07/11	2018/08/30	50.00
65145	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 M	200,000,000	2017/07/11	2018/09/27	50.00
65192	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 D	200,000,000	2017/07/11	2018/10/30	50.00
65193	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 M	200,000,000	2017/07/11	2017/10/30	50.00
65194	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/11	2017/10/30	50.00
65210	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 O	200,000,000	2017/07/11	2017/10/30	50.00
65155	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 B	40,000,000	2017/07/11	2017/11/17	10.00
65179	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 U	40,000,000	2017/07/11	2017/12/15	10.00
65196	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 C	40,000,000	2017/07/11	2018/01/19	10.00
65204	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 F	40,000,000	2017/07/11	2018/02/15	10.00
65245	SG Issuer – China Life R Bull CBBC Apr 2018 A	40,000,000	2017/07/11	2018/04/23	10.00
65002	SG Issuer – Galaxy R Bear CBBC Feb 2018 A	100,000,000	2017/07/11	2018/02/14	25.00
65271	SG Issuer – HSI R Bull CBBC Oct 2018 H	200,000,000	2017/07/11	2018/10/30	50.00
65273	SG Issuer – HSI R Bull CBBC Nov 2018 V	200,000,000	2017/07/11	2018/11/29	50.00
65246	SG Issuer – HSI R Bear CBBC Oct 2017 A	200,000,000	2017/07/11	2017/10/30	50.00
65248	SG Issuer – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/11	2017/10/30	50.00
65249	SG Issuer – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/07/11	2017/11/29	50.00
65003	SG Issuer – PetroChina R Bull CBBC Feb 2018 B	40,000,000	2017/07/11	2018/02/14	10.00
65009	SG Issuer – PetroChina R Bull CBBC Mar 2018 A	40,000,000	2017/07/11	2018/03/16	10.00
65011	SG Issuer – Sands R Bull CBBC May 2018 B	100,000,000	2017/07/11	2018/05/25	25.00
65017	SG Issuer – Tencent R Bull CBBC Jan 2018 K	100,000,000	2017/07/11	2018/01/12	25.00
65023	SG Issuer – Tencent R Bull CBBC Mar 2018 M	100,000,000	2017/07/11	2018/03/09	25.00
65022	SG Issuer – Tencent R Bear CBBC Feb 2018 D	100,000,000	2017/07/11	2018/02/23	25.00
65026	SG Issuer – Tencent R Bear CBBC Mar 2018 A	100,000,000	2017/07/11	2018/03/23	25.00
65083	UBS AG – HSI R Bull CBBC Jul 2018 X	200,000,000	2017/07/11	2018/07/30	50.00
65033	UBS AG – HSI R Bull CBBC Aug 2018 T	250,000,000	2017/07/11	2018/08/30	62.50
65038	UBS AG – HSI R Bull CBBC Aug 2018 Y	200,000,000	2017/07/11	2018/08/30	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65045	UBS AG – HSI R Bull CBBC Sep 2018 T	250,000,000	2017/07/11	2018/09/27	62.50
65111	UBS AG – HSI R Bear CBBC Oct 2017 L	200,000,000	2017/07/11	2017/10/30	50.00
65115	UBS AG – HSI R Bear CBBC Oct 2017 M	200,000,000	2017/07/11	2017/10/30	50.00
65039	UBS AG – Tencent R Bull CBBC Jan 2018 E	50,000,000	2017/07/11	2018/01/22	12.50
65080	UBS AG – Tencent R Bull CBBC Feb 2018 E	40,000,000	2017/07/11	2018/02/05	10.00
65357	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 C	250,000,000	2017/07/12	2018/09/27	62.50
65356	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 S	50,000,000	2017/07/12	2018/08/30	12.50
65389	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 T	50,000,000	2017/07/12	2018/08/30	12.50
65333	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 Q	50,000,000	2017/07/12	2018/04/27	12.50
65339	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 R	50,000,000	2017/07/12	2018/04/27	12.50
65346	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 S	50,000,000	2017/07/12	2018/04/27	12.50
65442	Credit Suisse AG – HSBC R Bull CBBC Jan 2018 H	50,000,000	2017/07/12	2018/01/18	12.50
65440	Credit Suisse AG – HSI R Bull CBBC Mar 2018 Z	200,000,000	2017/07/12	2018/03/28	50.00
65454	Credit Suisse AG – HSI R Bull CBBC Apr 2018 E	200,000,000	2017/07/12	2018/04/27	50.00
65455	Credit Suisse AG – HSI R Bull CBBC Aug 2018 E	1,000,000,000	2017/07/12	2018/08/30	250.00
65437	Credit Suisse AG – HSI R Bull CBBC Sep 2018 H	200,000,000	2017/07/12	2018/09/27	50.00
65422 #	Credit Suisse AG – HSI R Bear CBBC Oct 2017 V	200,000,000	2017/07/12	2017/10/30	50.00
65421	Credit Suisse AG – HSI R Bear CBBC Nov 2017 R	200,000,000	2017/07/12	2017/11/29	50.00
65458	Credit Suisse AG – Ping An R Bull CBBC Feb 2018 E	50,000,000	2017/07/12	2018/02/28	12.50
65441	Credit Suisse AG – Tencent R Bull CBBC Feb 2018 L	50,000,000	2017/07/12	2018/02/28	12.50
65487	Bank of East Asia – China Mobile R Bull CBBC May 2018 B	60,000,000	2017/07/12	2018/05/28	15.00
65506	Bank of East Asia – HSBC Holdings R Bull CBBC Jan 2018 E	60,000,000	2017/07/12	2018/01/29	15.00
65479	Bank of East Asia – Tencent R Bull CBBC Jun 2018 F	40,000,000	2017/07/12	2018/06/28	10.00
65480	Bank of East Asia – Tencent R Bull CBBC Jun 2018 G	40,000,000	2017/07/12	2018/06/28	10.00
65485	Bank of East Asia – Tencent R Bull CBBC Jun 2018 H	40,000,000	2017/07/12	2018/06/28	10.00
65486	Bank of East Asia – Tencent R Bear CBBC Jun 2018 C	40,000,000	2017/07/12	2018/06/28	10.00
65567	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 G	200,000,000	2017/07/12	2018/09/27	50.00
65568	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 D	200,000,000	2017/07/12	2018/10/30	50.00
65572	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 E	200,000,000	2017/07/12	2018/10/30	50.00
65361	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 V	200,000,000	2017/07/12	2017/10/30	50.00
65379	HK Bank – China Mobile R Bull CBBC Oct 2018 A	50,000,000	2017/07/12	2018/10/08	12.50
65386	HK Bank – CC Bank R Bear CBBC Mar 2018 B	40,000,000	2017/07/12	2018/03/26	10.00
65474	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2018 B	50,000,000	2017/07/12	2018/03/26	12.50
65384	HK Bank – HKEX R Bull CBBC Jul 2018 H	50,000,000	2017/07/12	2018/07/03	12.50
65370	HK Bank – HSCEI R Bull CBBC Apr 2018 B	60,000,000	2017/07/12	2018/04/27	15.00
65369	HK Bank – HSI R Bear CBBC Mar 2018 L	100,000,000	2017/07/12	2018/03/28	25.00
65462	HK Bank – Ping An R Bull CBBC Mar 2018 B	80,000,000	2017/07/12	2018/03/26	20.00
65367	HK Bank – Tencent R Bull CBBC Jul 2018 J	50,000,000	2017/07/12	2018/07/09	12.50
65362	HK Bank – Tencent R Bull CBBC Jul 2018 Y	50,000,000	2017/07/12	2018/07/30	12.50
65366	HK Bank – Tencent R Bull CBBC Jul 2018 Z	50,000,000	2017/07/12	2018/07/23	12.50
65387	HK Bank – Tencent R Bull CBBC Aug 2018 K	50,000,000	2017/07/12	2018/08/06	12.50
65525	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 Z	200,000,000	2017/07/12	2018/08/30	50.00
65531	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 N	200,000,000	2017/07/12	2018/09/27	50.00
65522	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/12	2017/10/30	50.00
65523	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/12	2017/10/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65540	J P Morgan SP BV – Ping An R Bull CBBC Apr 2018 B	150,000,000	2017/07/12	2018/04/20	37.50
65536	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 A	40,000,000	2017/07/12	2017/11/17	10.00
65533	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 C	40,000,000	2017/07/12	2017/11/17	10.00
65534	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 V	40,000,000	2017/07/12	2017/12/15	10.00
65539	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 G	40,000,000	2017/07/12	2018/02/15	10.00
65394	Macquarie Bank Ltd. – Ping An R Bull CBBC Jul 2018 B	40,000,000	2017/07/12	2018/07/30	10.00
65399	Macquarie Bank Ltd. – Ping An R Bear CBBC Jul 2018 D	40,000,000	2017/07/12	2018/07/30	10.00
65393	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 C	40,000,000	2017/07/12	2018/02/27	10.00
65400	SG Issuer – CMob R Bull CBBC Jan 2018 B	100,000,000	2017/07/12	2018/01/19	25.00
65401	SG Issuer – CMob R Bear CBBC Apr 2018 A	100,000,000	2017/07/12	2018/04/12	25.00
65406	SG Issuer – CUni R Bull CBBC Jun 2018 B	40,000,000	2017/07/12	2018/06/28	10.00
65541	SG Issuer – HKEX R Bull CBBC Dec 2017 F	100,000,000	2017/07/12	2017/12/15	25.00
65557	SG Issuer – HSI R Bull CBBC Nov 2018 W	200,000,000	2017/07/12	2018/11/29	50.00
65561	SG Issuer – HSI R Bull CBBC Dec 2018 Q	200,000,000	2017/07/12	2018/12/28	50.00
65565	SG Issuer – HSI R Bull CBBC Jan 2019 B	200,000,000	2017/07/12	2019/01/30	50.00
65552	SG Issuer – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/07/12	2017/11/29	50.00
65554	SG Issuer – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/07/12	2017/12/28	50.00
65410	SG Issuer – PICC P&C R Bull CBBC Apr 2018 A	40,000,000	2017/07/12	2018/04/12	10.00
65542	SG Issuer – Ping An R Bull CBBC Jan 2018 C	100,000,000	2017/07/12	2018/01/26	25.00
65358	SG Issuer – Tencent R Bull CBBC Jun 2018 D	100,000,000	2017/07/12	2018/06/28	25.00
65360	SG Issuer – Tencent R Bull CBBC Jul 2018 A	100,000,000	2017/07/12	2018/07/30	25.00
65515	UBS AG – HSI R Bull CBBC Jul 2018 Y	200,000,000	2017/07/12	2018/07/30	50.00
65520	UBS AG – HSI R Bull CBBC Jul 2018 Z	200,000,000	2017/07/12	2018/07/30	50.00
65516	UBS AG – HSI R Bull CBBC Aug 2018 Z	250,000,000	2017/07/12	2018/08/30	62.50
65509	UBS AG – HSI R Bear CBBC Oct 2017 N	250,000,000	2017/07/12	2017/10/30	62.50
65510	UBS AG – HSI R Bear CBBC Oct 2017 O	250,000,000	2017/07/12	2017/10/30	62.50
65511	UBS AG – Tencent R Bull CBBC Mar 2018 A	50,000,000	2017/07/12	2018/03/05	12.50
65513	UBS AG – Tencent R Bear CBBC Jan 2018 E	50,000,000	2017/07/12	2018/01/29	12.50
65512	UBS AG – Tencent R Bear CBBC Feb 2018 C	50,000,000	2017/07/12	2018/02/12	12.50
65580	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 D	250,000,000	2017/07/13	2018/09/27	62.50
65578	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 A	250,000,000	2017/07/13	2018/02/27	62.50
65576	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 F	250,000,000	2017/07/13	2018/02/27	62.50
65575	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 U	250,000,000	2017/07/13	2018/02/27	62.50
65579	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 Y	250,000,000	2017/07/13	2018/02/27	62.50
65645	Credit Suisse AG – China Mobile R Bull CBBC Jan 2018 B	50,000,000	2017/07/13	2018/01/26	12.50
65620	Credit Suisse AG – HSI R Bull CBBC Mar 2018 A	200,000,000	2017/07/13	2018/03/28	50.00
65623	Credit Suisse AG – HSI R Bear CBBC Oct 2017 W	200,000,000	2017/07/13	2017/10/30	50.00
65626	Credit Suisse AG – Ping An R Bear CBBC Feb 2018 B	50,000,000	2017/07/13	2018/02/23	12.50
65624	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 D	50,000,000	2017/07/13	2018/02/22	12.50
65668	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 F	200,000,000	2017/07/13	2018/10/30	50.00
65673	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 G	200,000,000	2017/07/13	2018/10/30	50.00
65658	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 W	200,000,000	2017/07/13	2017/10/30	50.00
65587	HK Bank – China Mobile R Bear CBBC Feb 2018 A	40,000,000	2017/07/13	2018/02/05	10.00
65596	HK Bank – CUni R Bear CBBC Feb 2018 A	40,000,000	2017/07/13	2018/02/05	10.00
65594	HK Bank – CC Bank R Bull CBBC Aug 2018 C	50,000,000	2017/07/13	2018/08/13	12.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65584	HK Bank – Ping An R Bear CBBC Nov 2018 B	40,000,000	2017/07/13	2018/11/19	10.00
65648	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 O	200,000,000	2017/07/13	2018/09/27	50.00
65650	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 R	200,000,000	2017/07/13	2017/10/30	50.00
65655	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 D	40,000,000	2017/07/13	2017/11/17	10.00
65677	SG Issuer – HSI R Bull CBBC Nov 2018 X	200,000,000	2017/07/13	2018/11/29	50.00
65678	SG Issuer – HSI R Bull CBBC Nov 2018 Y	200,000,000	2017/07/13	2018/11/29	50.00
65690	SG Issuer – Ping An R Bull CBBC Feb 2018 C	100,000,000	2017/07/13	2018/02/14	25.00
65679	SG Issuer – Tencent R Bull CBBC Dec 2017 F	100,000,000	2017/07/13	2017/12/15	25.00
65601	UBS AG – Geely Auto R Bull CBBC Jan 2018 A	40,000,000	2017/07/13	2018/01/15	10.00
65609	UBS AG – Geely Auto R Bear CBBC Jan 2018 A	40,000,000	2017/07/13	2018/01/29	10.00
65597	UBS AG – HSI R Bull CBBC Sep 2018 U	200,000,000	2017/07/13	2018/09/27	50.00
65600	UBS AG – HSI R Bull CBBC Sep 2018 V	250,000,000	2017/07/13	2018/09/27	62.50
65617	UBS AG – HSI R Bear CBBC Oct 2017 P	250,000,000	2017/07/13	2017/10/30	62.50
65618	UBS AG – HSI R Bear CBBC Oct 2017 Q	250,000,000	2017/07/13	2017/10/30	62.50
65612	UBS AG – Tencent R Bear CBBC Feb 2018 D	50,000,000	2017/07/13	2018/02/26	12.50
65748	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 D	250,000,000	2017/07/14	2018/02/27	62.50
65749	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 I	250,000,000	2017/07/14	2018/02/27	62.50
65783	Credit Suisse AG – CCB R Bull CBBC Jan 2018 C	50,000,000	2017/07/14	2018/01/25	12.50
65779	Credit Suisse AG – HKEX R Bear CBBC Jan 2018 B	50,000,000	2017/07/14	2018/01/26	12.50
65774	Credit Suisse AG – HSBC R Bear CBBC Jan 2018 B	50,000,000	2017/07/14	2018/01/19	12.50
65772	Credit Suisse AG – HSI R Bull CBBC Mar 2018 B	200,000,000	2017/07/14	2018/03/28	50.00
65787	Credit Suisse AG – HSI R Bull CBBC Apr 2018 F	1,000,000,000	2017/07/14	2018/04/27	250.00
65761	Credit Suisse AG – HSI R Bull CBBC Sep 2018 I	200,000,000	2017/07/14	2018/09/27	50.00
65789	Credit Suisse AG – HSI R Bear CBBC Nov 2017 S	200,000,000	2017/07/14	2017/11/29	50.00
65756	Bank of East Asia – Geely Auto R Bull CBBC Jul 2018 A	40,000,000	2017/07/14	2018/07/04	10.00
65754	Bank of East Asia – Great Wall Motor R Bull CBBC Mar 2018 A	30,000,000	2017/07/14	2018/03/23	10.20
65755	Bank of East Asia – Ping An R Bull CBBC Jul 2018 B	16,000,000	2017/07/14	2018/07/04	10.40
65760	Bank of East Asia – Tencent R Bear CBBC Jul 2018 B	40,000,000	2017/07/14	2018/07/04	10.00
65697	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 H	200,000,000	2017/07/14	2018/10/30	50.00
65823	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 X	200,000,000	2017/07/14	2017/10/30	50.00
65825	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 Y	200,000,000	2017/07/14	2017/10/30	50.00
65716	HK Bank – China Mobile R Bull CBBC Feb 2018 A	50,000,000	2017/07/14	2018/02/05	12.50
65714	HK Bank – HSCEI R Bear CBBC Mar 2018 B	50,000,000	2017/07/14	2018/03/28	12.50
65709	HK Bank – HSI R Bear CBBC Mar 2018 M	100,000,000	2017/07/14	2018/03/28	25.00
65719	HK Bank – PICC P&C R Bull CBBC Apr 2018 A	40,000,000	2017/07/14	2018/04/23	10.00
65718	HK Bank – Ping An R Bull CBBC Feb 2018 B	80,000,000	2017/07/14	2018/02/05	20.00
65745	HK Bank – Tencent R Bull CBBC Feb 2018 D	50,000,000	2017/07/14	2018/02/05	12.50
65790	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 P	200,000,000	2017/07/14	2018/09/27	50.00
65793	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 S	200,000,000	2017/07/14	2017/10/30	50.00
65796	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 T	200,000,000	2017/07/14	2017/10/30	50.00
65706	SG Issuer – CUni R Bear CBBC Jan 2018 A	40,000,000	2017/07/14	2018/01/30	10.00
65698	SG Issuer – CCB R Bear CBBC Apr 2018 A	40,000,000	2017/07/14	2018/04/20	10.00
65828	SG Issuer – HSI R Bear CBBC Nov 2017 K	200,000,000	2017/07/14	2017/11/29	50.00
65829	SG Issuer – HSI R Bear CBBC Jan 2018 A	200,000,000	2017/07/14	2018/01/30	50.00
65707	SG Issuer – ICBC R Bear CBBC Apr 2018 A	40,000,000	2017/07/14	2018/04/11	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65708	SG Issuer – Ping An R Bear CBBC May 2018 A	100,000,000	2017/07/14	2018/05/16	25.00
65816	UBS AG – China Mobile R Bull CBBC Apr 2018 A	50,000,000	2017/07/14	2018/04/23	12.50
65804	UBS AG – Galaxy Ent R Bull CBBC Feb 2018 A	50,000,000	2017/07/14	2018/02/05	12.50
65805	UBS AG – Great Wall Motor R Bull CBBC Jul 2018 A	40,000,000	2017/07/14	2018/07/16	10.00
65802	UBS AG – HSI R Bull CBBC Aug 2018 X	250,000,000	2017/07/14	2018/08/30	62.50
65821	UBS AG – HSI R Bear CBBC Oct 2017 R	250,000,000	2017/07/14	2017/10/30	62.50
65820	UBS AG – Ping An R Bull CBBC Jan 2018 B	80,000,000	2017/07/14	2018/01/15	20.00
65831	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 B	150,000,000	2017/07/17	2018/08/30	37.50
65832	BNP Paribas Issuance B.V. – HSBC R Bear CBBC Jan 2018 A	150,000,000	2017/07/17	2018/01/30	37.50
65943	Credit Suisse AG – AIA R Bull CBBC Jan 2018 A	50,000,000	2017/07/17	2018/01/26	12.50
65940	Credit Suisse AG – CUni R Bull CBBC Jan 2018 D	50,000,000	2017/07/17	2018/01/19	12.50
65936	Credit Suisse AG – HSI R Bull CBBC Sep 2018 J	200,000,000	2017/07/17	2018/09/27	50.00
65932	Credit Suisse AG – HSI R Bear CBBC Oct 2017 X	200,000,000	2017/07/17	2017/10/30	50.00
65935 #	Credit Suisse AG – HSI R Bear CBBC Nov 2017 T	200,000,000	2017/07/17	2017/11/29	50.00
65927	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 H	200,000,000	2017/07/17	2018/09/27	50.00
66027	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 A	200,000,000	2017/07/17	2017/10/30	50.00
65830	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 Z	200,000,000	2017/07/17	2017/10/30	50.00
65868	HK Bank – AIA R Bull CBBC Mar 2018 B	60,000,000	2017/07/17	2018/03/26	15.00
65874	HK Bank – China Life R Bull CBBC Mar 2018 A	40,000,000	2017/07/17	2018/03/19	10.00
65876	HK Bank – China Life R Bull CBBC Jul 2018 B	80,000,000	2017/07/17	2018/07/30	20.00
65866	HK Bank – Galaxy Ent R Bull CBBC Jul 2018 D	80,000,000	2017/07/17	2018/07/03	20.00
65885	HK Bank – HKEX R Bull CBBC Jul 2018 I	50,000,000	2017/07/17	2018/07/09	17.50
65837	HK Bank – HSI R Bull CBBC Apr 2018 I	100,000,000	2017/07/17	2018/04/27	25.00
65839	HK Bank – HSI R Bull CBBC Apr 2018 J	100,000,000	2017/07/17	2018/04/27	25.00
65877	HK Bank – PICC P&C R Bull CBBC Mar 2018 A	40,000,000	2017/07/17	2018/03/26	10.00
65848	HK Bank – Ping An R Bull CBBC Mar 2018 C	80,000,000	2017/07/17	2018/03/19	20.00
65859	HK Bank – Ping An R Bear CBBC Oct 2018 B	40,000,000	2017/07/17	2018/10/15	10.00
66024	J P Morgan SP BV – BOCL R Bull CBBC Jul 2018 A	100,000,000	2017/07/17	2018/07/20	25.00
66025	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 F	200,000,000	2017/07/17	2018/08/30	50.00
66016	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 U	200,000,000	2017/07/17	2017/10/30	50.00
65900	Macquarie Bank Ltd. – Ping An R Bull CBBC Jul 2018 C	40,000,000	2017/07/17	2018/07/30	10.00
65887	Macquarie Bank Ltd. – Ping An R Bear CBBC Jul 2018 E	40,000,000	2017/07/17	2018/07/30	10.00
65888	Macquarie Bank Ltd. – Ping An R Bear CBBC Jul 2018 F	40,000,000	2017/07/17	2018/07/30	10.00
65910	SG Issuer – ABC R Bear CBBC May 2018 A	40,000,000	2017/07/17	2018/05/16	10.00
65915	SG Issuer – CP&CC R Bear CBBC Apr 2018 A	40,000,000	2017/07/17	2018/04/18	10.00
65919	SG Issuer – CP&CC R Bear CBBC May 2018 A	40,000,000	2017/07/17	2018/05/10	10.00
65920	SG Issuer – HSBC R Bull CBBC Jun 2018 C	100,000,000	2017/07/17	2018/06/22	25.00
66037	SG Issuer – HSI R Bull CBBC Dec 2018 R	200,000,000	2017/07/17	2018/12/28	50.00
66034	SG Issuer – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/07/17	2017/12/28	50.00
65956	UBS AG – HSI R Bull CBBC Sep 2018 W	250,000,000	2017/07/17	2018/09/27	62.50
65955	UBS AG – HSI R Bear CBBC Oct 2017 S	250,000,000	2017/07/17	2017/10/30	62.50
66097	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 H	250,000,000	2017/07/18	2018/08/30	62.50
66095	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 R	250,000,000	2017/07/18	2018/08/30	62.50
66264	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 E	250,000,000	2017/07/18	2018/09/27	62.50
66265	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 F	250,000,000	2017/07/18	2018/09/27	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66285	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 G	250,000,000	2017/07/18	2018/09/27	62.50
66099	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 U	50,000,000	2017/07/18	2018/08/30	12.50
66342	Credit Suisse AG – China Mobile R Bull CBBC Jan 2018 C	50,000,000	2017/07/18	2018/01/25	12.50
66341	Credit Suisse AG – Galaxy Ent R Bull CBBC Mar 2018 E	50,000,000	2017/07/18	2018/03/16	12.50
66408	Credit Suisse AG – HSBC R Bull CBBC Feb 2018 B	50,000,000	2017/07/18	2018/02/02	12.50
66397	Credit Suisse AG – HSI R Bull CBBC Mar 2018 C	200,000,000	2017/07/18	2018/03/28	50.00
66407	Credit Suisse AG – HSI R Bull CBBC Mar 2018 H	200,000,000	2017/07/18	2018/03/28	50.00
66404	Credit Suisse AG – HSI R Bull CBBC Sep 2018 K	200,000,000	2017/07/18	2018/09/27	50.00
66326	Credit Suisse AG – HSI R Bear CBBC Oct 2017 Y	200,000,000	2017/07/18	2017/10/30	50.00
66327	Credit Suisse AG – HSI R Bear CBBC Oct 2017 Z	200,000,000	2017/07/18	2017/10/30	50.00
66339	Credit Suisse AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/07/18	2017/11/29	50.00
66359	Credit Suisse AG – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/07/18	2017/12/28	50.00
66369	Credit Suisse AG – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/07/18	2017/12/28	50.00
66374	Credit Suisse AG – HSI R Bear CBBC Dec 2017 G	200,000,000	2017/07/18	2017/12/28	50.00
66381	Credit Suisse AG – HSI R Bear CBBC Dec 2017 H	200,000,000	2017/07/18	2017/12/28	64.00
66387	Credit Suisse AG – HSI R Bear CBBC Dec 2017 I	200,000,000	2017/07/18	2017/12/28	76.00
66389	Credit Suisse AG – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/07/18	2017/12/28	84.00
66390	Credit Suisse AG – HSI R Bear CBBC Dec 2017 K	1,000,000,000	2017/07/18	2017/12/28	250.00
66343	Credit Suisse AG – Ping An R Bull CBBC Mar 2018 B	50,000,000	2017/07/18	2018/03/02	12.50
66348	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 L	50,000,000	2017/07/18	2018/03/01	12.50
66176	Bank of East Asia – China Life R Bull CBBC Jun 2018 A	30,000,000	2017/07/18	2018/06/20	10.50
66175	Bank of East Asia – Galaxy Ent R Bull CBBC Jun 2018 B	16,000,000	2017/07/18	2018/06/20	10.40
66189	Bank of East Asia – Ping An R Bull CBBC Jun 2018 B	16,000,000	2017/07/18	2018/06/20	10.40
66172	Bank of East Asia – Tencent R Bull CBBC Jun 2018 I	40,000,000	2017/07/18	2018/06/20	10.00
66412	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 I	200,000,000	2017/07/18	2018/09/27	50.00
66431	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 I	200,000,000	2017/07/18	2017/10/30	50.00
66050	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 C	200,000,000	2017/07/18	2017/11/29	50.00
66052	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/07/18	2017/11/29	50.00
66228	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/07/18	2017/11/29	50.00
66136	HK Bank – BOCL R Bull CBBC Aug 2018 B	50,000,000	2017/07/18	2018/08/27	12.50
66165	HK Bank – COVS R Bull CBBC Mar 2018 A	40,000,000	2017/07/18	2018/03/19	10.00
66166	HK Bank – Great Wall Motor R Bear CBBC Jul 2018 A	40,000,000	2017/07/18	2018/07/30	10.00
66160	HK Bank – HSI R Bull CBBC Apr 2018 K	100,000,000	2017/07/18	2018/04/27	25.00
66103	HK Bank – ICBC R Bull CBBC Mar 2018 A	50,000,000	2017/07/18	2018/03/26	12.50
66167	HK Bank – ICBC R Bull CBBC Aug 2018 A	50,000,000	2017/07/18	2018/08/27	12.50
66143	HK Bank – Tencent R Bull CBBC Mar 2018 R	50,000,000	2017/07/18	2018/03/26	12.50
66159	HK Bank – Tencent R Bull CBBC Mar 2018 S	50,000,000	2017/07/18	2018/03/19	12.50
66307	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 E	200,000,000	2017/07/18	2018/10/30	50.00
66317	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 F	200,000,000	2017/07/18	2018/10/30	50.00
66290	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 V	200,000,000	2017/07/18	2017/10/30	50.00
66291	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 W	200,000,000	2017/07/18	2017/10/30	50.00
66296	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/07/18	2017/11/29	50.00
66325	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 E	40,000,000	2017/07/18	2017/11/17	10.00
66207	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 D	40,000,000	2017/07/18	2018/02/28	10.00
66230	SG Issuer – CCB R Bull CBBC Aug 2018 A	40,000,000	2017/07/18	2018/08/06	10.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66505	SG Issuer – CKH R Bull CBBC Jul 2018 A	100,000,000	2017/07/18	2018/07/30	25.00
66511	SG Issuer – HKEX R Bull CBBC Jan 2018 G	100,000,000	2017/07/18	2018/01/19	25.00
66231	SG Issuer – HSBC R Bull CBBC May 2018 A	100,000,000	2017/07/18	2018/05/04	25.00
66495	SG Issuer – HSI R Bull CBBC Oct 2018 J	200,000,000	2017/07/18	2018/10/30	50.00
66501	SG Issuer – HSI R Bull CBBC Oct 2018 O	200,000,000	2017/07/18	2018/10/30	50.00
66504	SG Issuer – HSI R Bull CBBC Nov 2018 Z	200,000,000	2017/07/18	2018/11/29	50.00
66485	SG Issuer – HSI R Bear CBBC Oct 2017 Z	200,000,000	2017/07/18	2017/10/30	50.00
66488	SG Issuer – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/07/18	2017/11/29	50.00
66055	SG Issuer – PICC P&C R Bull CBBC Mar 2018 A	40,000,000	2017/07/18	2018/03/16	10.00
66066	SG Issuer – PICC P&C R Bear CBBC Apr 2018 A	40,000,000	2017/07/18	2018/04/20	10.00
66239	SG Issuer – Sands R Bull CBBC Aug 2018 A	100,000,000	2017/07/18	2018/08/10	25.00
66514	SG Issuer – Tencent R Bull CBBC Dec 2017 G	100,000,000	2017/07/18	2017/12/15	25.00
66518	SG Issuer – Tencent R Bull CBBC Jan 2018 L	100,000,000	2017/07/18	2018/01/08	25.00
66528	SG Issuer – Tencent R Bull CBBC Feb 2018 K	100,000,000	2017/07/18	2018/02/09	25.00
66436	UBS AG – HSBC R Bull CBBC Jul 2018 C	50,000,000	2017/07/18	2018/07/16	12.50
66469	UBS AG – HSCEI R Bull CBBC Aug 2018 C	100,000,000	2017/07/18	2018/08/30	25.00
66454	UBS AG – HSI R Bull CBBC Jul 2018 B	250,000,000	2017/07/18	2018/07/30	62.50
66451	UBS AG – HSI R Bull CBBC Sep 2018 X	200,000,000	2017/07/18	2018/09/27	50.00
66433	UBS AG – HSI R Bear CBBC Oct 2017 T	200,000,000	2017/07/18	2017/10/30	50.00
66434	UBS AG – HSI R Bear CBBC Oct 2017 U	200,000,000	2017/07/18	2017/10/30	50.00
66444	UBS AG – HSI R Bear CBBC Oct 2017 V	200,000,000	2017/07/18	2017/10/30	50.00
66445	UBS AG – HSI R Bear CBBC Oct 2017 W	200,000,000	2017/07/18	2017/10/30	50.00
66449	UBS AG – HSI R Bear CBBC Oct 2017 X	200,000,000	2017/07/18	2017/10/30	50.00
66484	UBS AG – Ping An R Bull CBBC Feb 2018 B	50,000,000	2017/07/18	2018/02/05	12.50
66471	UBS AG – Tencent R Bull CBBC Feb 2018 F	40,000,000	2017/07/18	2018/02/26	10.00
66475	UBS AG – Tencent R Bull CBBC Mar 2018 B	50,000,000	2017/07/18	2018/03/12	12.50
66556	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jan 18D	60,000,000	2017/07/19	2018/01/30	15.00
66552	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 E	50,000,000	2017/07/19	2018/08/30	12.50
66549	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 H	250,000,000	2017/07/19	2018/09/27	62.50
66551	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 I	250,000,000	2017/07/19	2018/09/27	62.50
66546	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 K	250,000,000	2017/07/19	2018/02/27	62.50
66533	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 N	250,000,000	2017/07/19	2018/02/27	62.50
66553	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 V	50,000,000	2017/07/19	2018/08/30	12.50
66747	Credit Suisse AG – CCB R Bull CBBC Jan 2018 D	50,000,000	2017/07/19	2018/01/26	12.50
66754	Credit Suisse AG – HSCEI R Bull CBBC Mar 2018 D	100,000,000	2017/07/19	2018/03/28	25.00
66732	Credit Suisse AG – HSI R Bull CBBC Mar 2018 K	200,000,000	2017/07/19	2018/03/28	50.00
66730	Credit Suisse AG – HSI R Bull CBBC Apr 2018 G	200,000,000	2017/07/19	2018/04/27	50.00
66737	Credit Suisse AG – HSI R Bull CBBC Sep 2018 L	200,000,000	2017/07/19	2018/09/27	50.00
66719	Credit Suisse AG – HSI R Bear CBBC Oct 2017 H	200,000,000	2017/07/19	2017/10/30	50.00
66726	Credit Suisse AG – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/07/19	2017/11/29	50.00
66727	Credit Suisse AG – HSI R Bear CBBC Nov 2017 W	200,000,000	2017/07/19	2017/11/29	50.00
66759	Credit Suisse AG – HSI R Bear CBBC Dec 2017 L	1,000,000,000	2017/07/19	2017/12/28	250.00
66752	Credit Suisse AG – ICBC R Bull CBBC Feb 2018 B	50,000,000	2017/07/19	2018/02/09	12.50
66743	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 M	50,000,000	2017/07/19	2018/03/08	12.50
66679	Bank of East Asia – AIA R Bull CBBC Jul 2018 A	40,000,000	2017/07/19	2018/07/06	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66677	Bank of East Asia – HKEX R Bull CBBC Jul 2018 B	40,000,000	2017/07/19	2018/07/06	10.00
66678	Bank of East Asia – PICC P&C R Bull CBBC Jun 2018 B	40,000,000	2017/07/19	2018/06/20	10.00
66668	Bank of East Asia – Tencent R Bull CBBC Jul 2018 D	40,000,000	2017/07/19	2018/07/06	10.00
66675	Bank of East Asia – Tencent R Bull CBBC Jul 2018 E	40,000,000	2017/07/19	2018/07/06	10.00
66637	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 J	200,000,000	2017/07/19	2018/09/27	50.00
66647	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 K	200,000,000	2017/07/19	2018/09/27	50.00
66529	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/07/19	2017/11/29	50.00
66566	HK Bank – ABC R Bull CBBC Apr 2018 B	50,000,000	2017/07/19	2018/04/23	12.50
66583	HK Bank – ABC R Bull CBBC Aug 2018 C	50,000,000	2017/07/19	2018/08/27	12.50
66557	HK Bank – CC Bank R Bull CBBC Apr 2018 A	50,000,000	2017/07/19	2018/04/30	12.50
66616	HK Bank – HKEX R Bull CBBC Jul 2018 J	50,000,000	2017/07/19	2018/07/03	12.50
66568	HK Bank – HSI R Bull CBBC Mar 2018 E	100,000,000	2017/07/19	2018/03/28	25.00
66585	HK Bank – HSI R Bull CBBC Mar 2018 F	100,000,000	2017/07/19	2018/03/28	25.00
66621	HK Bank – HSI R Bull CBBC Mar 2018 G	150,000,000	2017/07/19	2018/03/28	37.50
66604	HK Bank – Tencent R Bull CBBC Apr 2018 V	50,000,000	2017/07/19	2018/04/23	12.50
66591	HK Bank – Tencent R Bull CBBC Jul 2018 I	50,000,000	2017/07/19	2018/07/09	12.50
66599	HK Bank – Tencent R Bull CBBC Jul 2018 K	50,000,000	2017/07/19	2018/07/03	12.50
66863	J P Morgan SP BV – BOCL R Bull CBBC Sep 2018 A	100,000,000	2017/07/19	2018/09/21	25.00
66866	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2018 A	100,000,000	2017/07/19	2018/09/21	25.00
66698	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 Y	200,000,000	2017/07/19	2018/08/30	50.00
66702	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 Q	200,000,000	2017/07/19	2018/09/27	50.00
66707	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 G	200,000,000	2017/07/19	2018/10/30	50.00
66712	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 A	200,000,000	2017/07/19	2018/11/29	50.00
66686	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 X	200,000,000	2017/07/19	2017/10/30	50.00
66692	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 Y	200,000,000	2017/07/19	2017/10/30	50.00
66883	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 M	200,000,000	2017/07/19	2018/01/30	50.00
66865	J P Morgan SP BV – ICBC R Bull CBBC Sep 2018 A	100,000,000	2017/07/19	2018/09/21	25.00
66860	J P Morgan SP BV – Ping An R Bull CBBC Jan 2018 B	100,000,000	2017/07/19	2018/01/19	25.00
66694	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 F	40,000,000	2017/07/19	2017/11/17	10.00
66877	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 K	40,000,000	2017/07/19	2017/11/17	10.00
66884	Macquarie Bank Ltd. – China Life R Bull CBBC Feb 2018 A	40,000,000	2017/07/19	2018/02/28	10.00
66885	Macquarie Bank Ltd. – China Life R Bull CBBC Feb 2018 B	29,000,000	2017/07/19	2018/02/28	10.21
66894	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 D	40,000,000	2017/07/19	2018/07/30	10.00
66901	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 E	40,000,000	2017/07/19	2018/07/30	10.00
66904	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 F	40,000,000	2017/07/19	2018/07/30	10.00
66893	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2018 E	40,000,000	2017/07/19	2018/07/30	10.00
66659	SG Issuer – BOCL R Bull CBBC Aug 2018 A	40,000,000	2017/07/19	2018/08/30	10.00
66630	SG Issuer – HSCEI R Bull CBBC Nov 2018 H	200,000,000	2017/07/19	2018/11/29	50.00
66625	SG Issuer – HSCEI R Bear CBBC Mar 2018 B	200,000,000	2017/07/19	2018/03/28	50.00
66804	SG Issuer – HSI R Bull CBBC Nov 2018 E	200,000,000	2017/07/19	2018/11/29	50.00
66834	SG Issuer – HSI R Bull CBBC Nov 2018 J	200,000,000	2017/07/19	2018/11/29	50.00
66837	SG Issuer – HSI R Bull CBBC Dec 2018 S	200,000,000	2017/07/19	2018/12/28	50.00
66851	SG Issuer – HSI R Bull CBBC Dec 2018 T	200,000,000	2017/07/19	2018/12/28	50.00
66793	SG Issuer – HSI R Bear CBBC Nov 2017 M	200,000,000	2017/07/19	2017/11/29	50.00
66803	SG Issuer – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/07/19	2017/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66665	SG Issuer – ICBC R Bull CBBC Aug 2018 A	40,000,000	2017/07/19	2018/08/30	10.00
66667	SG Issuer – Tencent R Bull CBBC Dec 2017 H	100,000,000	2017/07/19	2017/12/08	25.00
66788	UBS AG – HSI R Bull CBBC Jul 2018 D	200,000,000	2017/07/19	2018/07/30	50.00
66781	UBS AG – HSI R Bull CBBC Aug 2018 A	200,000,000	2017/07/19	2018/08/30	50.00
66783	UBS AG – HSI R Bull CBBC Aug 2018 B	250,000,000	2017/07/19	2018/08/30	62.50
66777	UBS AG – HSI R Bull CBBC Sep 2018 Y	250,000,000	2017/07/19	2018/09/27	62.50
66763	UBS AG – HSI R Bear CBBC Oct 2017 C	250,000,000	2017/07/19	2017/10/30	62.50
66760	UBS AG – HSI R Bear CBBC Oct 2017 Y	250,000,000	2017/07/19	2017/10/30	62.50
66761	UBS AG – HSI R Bear CBBC Oct 2017 Z	200,000,000	2017/07/19	2017/10/30	50.00
66765	UBS AG – HSI R Bear CBBC Nov 2017 A	250,000,000	2017/07/19	2017/11/29	65.00
66769	UBS AG – HSI R Bear CBBC Nov 2017 B	250,000,000	2017/07/19	2017/11/29	76.25
66791	UBS AG – Tencent R Bull CBBC Mar 2018 C	50,000,000	2017/07/19	2018/03/05	12.50
66924	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 N	100,000,000	2017/07/20	2018/02/27	25.00
66957	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 J	250,000,000	2017/07/20	2018/09/27	62.50
66960	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 K	250,000,000	2017/07/20	2018/09/27	62.50
66961	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 L	250,000,000	2017/07/20	2018/09/27	62.50
66941	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 A	250,000,000	2017/07/20	2018/02/27	62.50
66943	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 F	250,000,000	2017/07/20	2018/02/27	62.50
66905	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 L	250,000,000	2017/07/20	2018/02/27	62.50
66947	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 M	250,000,000	2017/07/20	2018/02/27	62.50
66907	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 R	250,000,000	2017/07/20	2018/02/27	62.50
67200	Credit Suisse AG – China Mobile R Bear CBBC Jan 2018 A	100,000,000	2017/07/20	2018/01/19	25.00
67203	Credit Suisse AG – China Mobile R Bear CBBC Jan 2018 B	100,000,000	2017/07/20	2018/01/25	25.00
67219	Credit Suisse AG – CCB R Bear CBBC Feb 2018 A	50,000,000	2017/07/20	2018/02/02	12.50
67222	Credit Suisse AG – CCB R Bear CBBC Feb 2018 B	50,000,000	2017/07/20	2018/02/09	12.50
67162	Credit Suisse AG – HKEX R Bull CBBC Mar 2018 B	50,000,000	2017/07/20	2018/03/16	12.50
67184	Credit Suisse AG – HKEX R Bull CBBC Mar 2018 C	50,000,000	2017/07/20	2018/03/22	12.50
67189	Credit Suisse AG – HKEX R Bear CBBC Feb 2018 A	50,000,000	2017/07/20	2018/02/23	12.50
67154	Credit Suisse AG – HSBC R Bear CBBC Jan 2018 C	80,000,000	2017/07/20	2018/01/25	20.00
67160	Credit Suisse AG – HSBC R Bear CBBC Jan 2018 D	80,000,000	2017/07/20	2018/01/26	20.00
67303	Credit Suisse AG – HSCEI R Bear CBBC Nov 2017 A	150,000,000	2017/07/20	2017/11/29	37.50
67233	Credit Suisse AG – HSI R Bull CBBC Mar 2018 J	200,000,000	2017/07/20	2018/03/28	50.00
67128	Credit Suisse AG – HSI R Bull CBBC Sep 2018 M	200,000,000	2017/07/20	2018/09/27	50.00
67133	Credit Suisse AG – HSI R Bull CBBC Sep 2018 N	200,000,000	2017/07/20	2018/09/27	50.00
67135	Credit Suisse AG – HSI R Bull CBBC Sep 2018 O	200,000,000	2017/07/20	2018/09/27	50.00
67117	Credit Suisse AG – HSI R Bear CBBC Oct 2017 D	200,000,000	2017/07/20	2017/10/30	50.00
67115	Credit Suisse AG – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/07/20	2017/11/29	50.00
67268	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Y	200,000,000	2017/07/20	2017/11/29	50.00
67244	Credit Suisse AG – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/07/20	2017/12/28	50.00
67254	Credit Suisse AG – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/07/20	2017/12/28	50.00
67256	Credit Suisse AG – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/07/20	2017/12/28	50.00
67270	Credit Suisse AG – HSI R Bear CBBC Dec 2017 P	200,000,000	2017/07/20	2017/12/28	50.00
67279	Credit Suisse AG – HSI R Bear CBBC Dec 2017 Q	200,000,000	2017/07/20	2017/12/28	50.00
67283	Credit Suisse AG – HSI R Bear CBBC Dec 2017 R	200,000,000	2017/07/20	2017/12/28	58.00
67295	Credit Suisse AG – HSI R Bear CBBC Dec 2017 S	200,000,000	2017/07/20	2017/12/28	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67138	Credit Suisse AG – Tencent R Bear CBBC Jan 2018 F	80,000,000	2017/07/20	2018/01/26	21.60
67144	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 E	80,000,000	2017/07/20	2018/02/02	32.00
67113	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 L	200,000,000	2017/07/20	2018/09/27	50.00
67114	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 M	200,000,000	2017/07/20	2018/09/27	50.00
67107	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 J	200,000,000	2017/07/20	2017/10/30	50.00
67108	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 K	200,000,000	2017/07/20	2017/10/30	50.00
67039	HK Bank – CUni R Bull CBBC Jul 2018 B	40,000,000	2017/07/20	2018/07/16	10.00
66995	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2018 C	50,000,000	2017/07/20	2018/03/12	12.50
67041	HK Bank – HKEX R Bull CBBC Jul 2018 K	50,000,000	2017/07/20	2018/07/09	12.50
66987	HK Bank – HSCEI R Bull CBBC Apr 2018 C	60,000,000	2017/07/20	2018/04/27	15.00
66997	HK Bank – HSI R Bull CBBC Apr 2018 L	100,000,000	2017/07/20	2018/04/27	25.00
67006	HK Bank – HSI R Bull CBBC Apr 2018 M	100,000,000	2017/07/20	2018/04/27	25.00
67016	HK Bank – HSI R Bull CBBC Apr 2018 N	100,000,000	2017/07/20	2018/04/27	25.00
66967	HK Bank – HSI R Bear CBBC Jul 2018 F	100,000,000	2017/07/20	2018/07/30	25.00
66970	HK Bank – HSI R Bear CBBC Jul 2018 G	100,000,000	2017/07/20	2018/07/30	25.00
66971	HK Bank – HSI R Bear CBBC Jul 2018 H	100,000,000	2017/07/20	2018/07/30	25.00
66973	HK Bank – HSI R Bear CBBC Oct 2018 A	150,000,000	2017/07/20	2018/10/30	37.50
66985	HK Bank – HSI R Bear CBBC Oct 2018 B	150,000,000	2017/07/20	2018/10/30	37.50
67018	HK Bank – Sands China R Bull CBBC Apr 2018 C	80,000,000	2017/07/20	2018/04/30	20.00
67027	HK Bank – Tencent R Bull CBBC Mar 2018 T	50,000,000	2017/07/20	2018/03/19	12.50
67031	HK Bank – Tencent R Bull CBBC Mar 2018 U	50,000,000	2017/07/20	2018/03/12	12.50
67603	J P Morgan SP BV – HKEX R Bull CBBC Nov 2017 Q	40,000,000	2017/07/20	2017/11/17	10.00
67553	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 O	40,000,000	2017/07/20	2017/12/15	10.00
67584	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 G	200,000,000	2017/07/20	2018/08/30	50.00
67581	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 X	200,000,000	2017/07/20	2018/08/30	50.00
67593	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 R	200,000,000	2017/07/20	2018/09/27	50.00
67596	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 S	200,000,000	2017/07/20	2018/09/27	50.00
67599	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 H	200,000,000	2017/07/20	2018/10/30	50.00
67535	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 A	200,000,000	2017/07/20	2017/10/30	50.00
67557	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 E	200,000,000	2017/07/20	2017/10/30	50.00
67569	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 F	200,000,000	2017/07/20	2017/10/30	50.00
67571	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 G	200,000,000	2017/07/20	2017/10/30	50.00
67577	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 H	200,000,000	2017/07/20	2017/10/30	52.00
67523	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 Z	200,000,000	2017/07/20	2017/10/30	50.00
67600	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 W	40,000,000	2017/07/20	2017/12/15	10.00
67539	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 F	40,000,000	2017/07/20	2018/01/19	10.00
67601	J P Morgan SP BV – Tencent R Bear CBBC Aug 2018 A	40,000,000	2017/07/20	2018/08/17	11.60
67042	Macquarie Bank Ltd. – China Life R Bear CBBC Feb 2018 A	40,000,000	2017/07/20	2018/02/28	10.00
67047	Macquarie Bank Ltd. – China Life R Bear CBBC Feb 2018 B	29,000,000	2017/07/20	2018/02/28	10.27
67078	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 G	40,000,000	2017/07/20	2018/07/30	10.00
67091	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 H	40,000,000	2017/07/20	2018/07/30	10.00
67080	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2018 F	40,000,000	2017/07/20	2018/07/30	10.00
67081	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2018 G	40,000,000	2017/07/20	2018/07/30	10.00
67088	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2018 H	40,000,000	2017/07/20	2018/07/30	10.00
67090	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2018 I	40,000,000	2017/07/20	2018/07/30	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67057	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 E	35,000,000	2017/07/20	2018/02/28	10.01
67051	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2018 A	30,000,000	2017/07/20	2018/02/28	10.05
67498	SG Issuer – CMob R Bull CBBC Apr 2018 A	100,000,000	2017/07/20	2018/04/27	25.00
67471	SG Issuer – CCB R Bull CBBC Jul 2018 A	40,000,000	2017/07/20	2018/07/30	10.00
67497	SG Issuer – China Life R Bull CBBC Mar 2018 B	40,000,000	2017/07/20	2018/03/16	10.00
67503	SG Issuer – Galaxy R Bull CBBC Jun 2018 D	100,000,000	2017/07/20	2018/06/15	25.00
67071	SG Issuer – HKEX R Bull CBBC Feb 2018 E	100,000,000	2017/07/20	2018/02/09	25.00
67075	SG Issuer – HKEX R Bull CBBC Jun 2018 A	100,000,000	2017/07/20	2018/06/22	25.00
67074	SG Issuer – HKEX R Bear CBBC Jun 2018 B	100,000,000	2017/07/20	2018/06/15	25.50
67407	SG Issuer – HSI R Bull CBBC Oct 2018 R	200,000,000	2017/07/20	2018/10/30	50.00
67412	SG Issuer – HSI R Bull CBBC Oct 2018 S	200,000,000	2017/07/20	2018/10/30	50.00
67413	SG Issuer – HSI R Bull CBBC Nov 2018 K	200,000,000	2017/07/20	2018/11/29	50.00
67416	SG Issuer – HSI R Bull CBBC Nov 2018 L	200,000,000	2017/07/20	2018/11/29	50.00
67464	SG Issuer – HSI R Bull CBBC Nov 2018 M	200,000,000	2017/07/20	2018/11/29	50.00
67469	SG Issuer – HSI R Bull CBBC Dec 2018 U	200,000,000	2017/07/20	2018/12/28	50.00
67383	SG Issuer – HSI R Bear CBBC Oct 2017 B	200,000,000	2017/07/20	2017/10/30	50.00
67384	SG Issuer – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/07/20	2017/11/29	50.00
67389	SG Issuer – HSI R Bear CBBC Nov 2017 P	200,000,000	2017/07/20	2017/11/29	50.00
67393	SG Issuer – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/07/20	2017/11/29	50.00
67394	SG Issuer – HSI R Bear CBBC Nov 2017 R	200,000,000	2017/07/20	2017/11/29	50.00
67405	SG Issuer – HSI R Bear CBBC Dec 2017 G	200,000,000	2017/07/20	2017/12/28	50.00
67406	SG Issuer – HSI R Bear CBBC Dec 2017 H	200,000,000	2017/07/20	2017/12/28	59.00
67516	SG Issuer – Ping An R Bull CBBC Mar 2018 D	100,000,000	2017/07/20	2018/03/16	25.00
67504	SG Issuer – Ping An R Bear CBBC Jun 2018 A	100,000,000	2017/07/20	2018/06/08	25.00
67505	SG Issuer – Tencent R Bull CBBC Feb 2018 L	100,000,000	2017/07/20	2018/02/23	25.00
67514	SG Issuer – Tencent R Bull CBBC Jul 2018 B	100,000,000	2017/07/20	2018/07/30	25.00
67344	UBS AG – BOCL R Bull CBBC Aug 2018 A	60,000,000	2017/07/20	2018/08/27	15.00
67340	UBS AG – CC Bank R Bull CBBC Jul 2018 A	60,000,000	2017/07/20	2018/07/23	15.00
67358	UBS AG – China Life R Bull CBBC Aug 2018 A	40,000,000	2017/07/20	2018/08/13	10.00
67368	UBS AG – HSI R Bull CBBC Aug 2018 C	200,000,000	2017/07/20	2018/08/30	50.00
67375	UBS AG – HSI R Bull CBBC Oct 2018 A	200,000,000	2017/07/20	2018/10/30	50.00
67379	UBS AG – HSI R Bull CBBC Oct 2018 B	200,000,000	2017/07/20	2018/10/30	50.00
67373	UBS AG – HSI R Bull CBBC Nov 2018 A	250,000,000	2017/07/20	2018/11/29	62.50
67305	UBS AG – HSI R Bear CBBC Oct 2017 D	250,000,000	2017/07/20	2017/10/30	62.50
67311	UBS AG – HSI R Bear CBBC Oct 2017 E	200,000,000	2017/07/20	2017/10/30	50.00
67317	UBS AG – HSI R Bear CBBC Oct 2017 F	200,000,000	2017/07/20	2017/10/30	50.00
67324	UBS AG – HSI R Bear CBBC Oct 2017 G	200,000,000	2017/07/20	2017/10/30	50.00
67325	UBS AG – HSI R Bear CBBC Oct 2017 H	200,000,000	2017/07/20	2017/10/30	50.00
67328	UBS AG – HSI R Bear CBBC Oct 2017 J	250,000,000	2017/07/20	2017/10/30	62.50
67306	UBS AG – HSI R Bear CBBC Oct 2017 K	200,000,000	2017/07/20	2017/10/30	50.00
67341	UBS AG – ICBC R Bull CBBC Aug 2018 A	60,000,000	2017/07/20	2018/08/20	15.00
67335	UBS AG – Tencent R Bull CBBC Dec 2017 M	40,000,000	2017/07/20	2017/12/18	10.00
67609	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 U	250,000,000	2017/07/21	2018/02/27	62.50
67799	Credit Suisse AG – AIA R Bear CBBC Jan 2018 A	50,000,000	2017/07/21	2018/01/26	12.50
67771	Credit Suisse AG – HSI R Bull CBBC Mar 2018 L	200,000,000	2017/07/21	2018/03/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67772	Credit Suisse AG – HSI R Bull CBBC Mar 2018 M	200,000,000	2017/07/21	2018/03/28	50.00
67776	Credit Suisse AG – HSI R Bull CBBC Mar 2018 N	200,000,000	2017/07/21	2018/03/28	50.00
67805	Credit Suisse AG – HSI R Bull CBBC Sep 2018 P	200,000,000	2017/07/21	2018/09/27	50.00
67779	Credit Suisse AG – HSI R Bear CBBC Oct 2017 G	200,000,000	2017/07/21	2017/10/30	50.00
67807	Credit Suisse AG – HSI R Bear CBBC Oct 2017 I	200,000,000	2017/07/21	2017/10/30	50.00
67769	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Z	200,000,000	2017/07/21	2017/11/29	50.00
67780	Credit Suisse AG – HSI R Bear CBBC Dec 2017 T	200,000,000	2017/07/21	2017/12/28	50.00
67785	Credit Suisse AG – HSI R Bear CBBC Dec 2017 U	200,000,000	2017/07/21	2017/12/28	50.00
67808	Credit Suisse AG – Ping An R Bull CBBC Mar 2018 C	50,000,000	2017/07/21	2018/03/08	12.50
67804	Credit Suisse AG – Ping An R Bear CBBC Mar 2018 B	80,000,000	2017/07/21	2018/03/01	20.00
67905	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 I	200,000,000	2017/07/21	2018/10/30	50.00
67909	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 J	200,000,000	2017/07/21	2018/10/30	50.00
67912	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 K	200,000,000	2017/07/21	2018/10/30	50.00
67690	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 L	200,000,000	2017/07/21	2017/10/30	50.00
67691	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/07/21	2017/11/29	50.00
67692	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/07/21	2017/11/29	50.00
67694	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/07/21	2017/11/29	50.00
67700	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/07/21	2017/11/29	50.00
67670	HK Bank – Sinopec Corp R Bull CBBC Mar 2018 B	50,000,000	2017/07/21	2018/03/26	12.50
67669	HK Bank – HKEX R Bull CBBC Jun 2018 C	50,000,000	2017/07/21	2018/06/25	12.50
67652	HK Bank – HSI R Bear CBBC Mar 2018 N	100,000,000	2017/07/21	2018/03/28	25.00
67665	HK Bank – HSI R Bear CBBC Jul 2018 I	100,000,000	2017/07/21	2018/07/30	25.00
67812	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 P	40,000,000	2017/07/21	2017/12/15	10.00
67809	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 T	200,000,000	2017/07/21	2018/09/27	50.00
67852	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 U	200,000,000	2017/07/21	2018/09/27	50.00
67853	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 I	200,000,000	2017/07/21	2018/10/30	50.00
67854	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 B	200,000,000	2017/07/21	2018/11/29	50.00
67810	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 I	200,000,000	2017/07/21	2017/10/30	50.00
67860	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 G	200,000,000	2017/07/21	2017/12/28	50.00
67863	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 N	200,000,000	2017/07/21	2018/01/30	50.00
67872	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 O	200,000,000	2017/07/21	2018/01/30	60.00
67851	J P Morgan SP BV – Ping An R Bull CBBC Feb 2018 D	100,000,000	2017/07/21	2018/02/15	25.00
67756	Macquarie Bank Ltd. – HKEX R Bull CBBC Mar 2018 A	40,000,000	2017/07/21	2018/03/27	10.24
67759	Macquarie Bank Ltd. – HKEX R Bull CBBC Mar 2018 B	40,000,000	2017/07/21	2018/03/27	12.12
67758	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 A	29,000,000	2017/07/21	2018/06/27	10.21
67761	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 B	25,000,000	2017/07/21	2018/06/27	10.10
67767	Macquarie Bank Ltd. – HKEX R Bear CBBC Mar 2018 A	31,000,000	2017/07/21	2018/03/27	10.14
67754	Macquarie Bank Ltd. – HKEX R Bear CBBC Jun 2018 A	25,000,000	2017/07/21	2018/06/27	12.53
67702	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 F	40,000,000	2017/07/21	2018/02/28	10.00
67610	SG Issuer – COVS R Bull CBBC May 2018 A	40,000,000	2017/07/21	2018/05/30	10.00
67642	SG Issuer – CUni R Bull CBBC Apr 2018 C	40,000,000	2017/07/21	2018/04/27	10.00
67614	SG Issuer – CUni R Bear CBBC Feb 2018 A	40,000,000	2017/07/21	2018/02/27	10.00
67671	SG Issuer – CSA50 R Bull CBBC Apr 2018 B	40,000,000	2017/07/21	2018/04/27	10.00
67672	SG Issuer – CSA50 R Bear CBBC Jun 2018 A	40,000,000	2017/07/21	2018/06/15	10.00
67673	SG Issuer – HS H-SH ETF R Bull CBBC Jul 2018 A	100,000,000	2017/07/21	2018/07/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67888	SG Issuer – HSI R Bull CBBC Nov 2018 H	200,000,000	2017/07/21	2018/11/29	50.00
67688	SG Issuer – HSI R Bull CBBC Dec 2018 V	200,000,000	2017/07/21	2018/12/28	50.00
67689	SG Issuer – HSI R Bull CBBC Dec 2018 W	200,000,000	2017/07/21	2018/12/28	50.00
67895	SG Issuer – HSI R Bull CBBC Dec 2018 X	200,000,000	2017/07/21	2018/12/28	50.00
67904	SG Issuer – HSI R Bull CBBC Dec 2018 Y	200,000,000	2017/07/21	2018/12/28	50.00
67876	SG Issuer – HSI R Bear CBBC Oct 2017 E	200,000,000	2017/07/21	2017/10/30	50.00
67879	SG Issuer – HSI R Bear CBBC Jan 2018 B	200,000,000	2017/07/21	2018/01/30	64.00
67678	SG Issuer – HSI R Bear CBBC Feb 2018 A	200,000,000	2017/07/21	2018/02/27	50.00
67680	SG Issuer – HSI R Bear CBBC Mar 2018 A	200,000,000	2017/07/21	2018/03/28	50.00
67681	SG Issuer – HSI R Bear CBBC Mar 2018 B	200,000,000	2017/07/21	2018/03/28	50.00
67646	SG Issuer – Tencent R Bear CBBC Mar 2018 B	100,000,000	2017/07/21	2018/03/09	25.00
67650	SG Issuer – Tencent R Bear CBBC Mar 2018 C	100,000,000	2017/07/21	2018/03/28	25.00
67752	UBS AG – AIA R Bull CBBC Jul 2018 A	50,000,000	2017/07/21	2018/07/23	12.50
67749	UBS AG – CNOOC R Bull CBBC Dec 2017 A	40,000,000	2017/07/21	2017/12/11	10.00
67739	UBS AG – HKEX R Bull CBBC Nov 2017 F	50,000,000	2017/07/21	2017/11/27	12.50
67741	UBS AG – HKEX R Bull CBBC May 2018 A	50,000,000	2017/07/21	2018/05/21	12.50
67734	UBS AG – HSCEI R Bull CBBC Sep 2018 B	100,000,000	2017/07/21	2018/09/27	25.00
67708	UBS AG – HSI R Bull CBBC Nov 2018 B	250,000,000	2017/07/21	2018/11/29	62.50
67719	UBS AG – HSI R Bear CBBC Oct 2017 I	250,000,000	2017/07/21	2017/10/30	62.50
67711	UBS AG – HSI R Bear CBBC Oct 2017 L	250,000,000	2017/07/21	2017/10/30	62.50
67718	UBS AG – HSI R Bear CBBC Oct 2017 M	250,000,000	2017/07/21	2017/10/30	62.50
67730	UBS AG – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/21	2017/10/30	50.00
67733	UBS AG – HSI R Bear CBBC Nov 2017 C	250,000,000	2017/07/21	2017/11/29	62.50
67973	BNP Paribas Issuance B.V. – Ch Life R Bull CBBC Aug 2018 B	50,000,000	2017/07/24	2018/08/30	12.50
67962	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 F	50,000,000	2017/07/24	2018/08/30	12.50
67922	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 L	100,000,000	2017/07/24	2018/08/30	25.00
67942	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 M	100,000,000	2017/07/24	2018/08/30	25.00
67974	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 O	100,000,000	2017/07/24	2018/02/27	25.00
68007	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 M	250,000,000	2017/07/24	2018/09/27	62.50
68009	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 N	250,000,000	2017/07/24	2018/09/27	62.50
68047	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 C	250,000,000	2017/07/24	2018/02/27	62.50
68048	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 D	250,000,000	2017/07/24	2018/02/27	62.50
68049	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 I	250,000,000	2017/07/24	2018/02/27	62.50
67915	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 W	250,000,000	2017/07/24	2018/02/27	62.50
67916	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 Y	250,000,000	2017/07/24	2018/02/27	62.50
67972	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 C	200,000,000	2017/07/24	2018/08/30	50.00
68050	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 W	50,000,000	2017/07/24	2018/08/30	12.50
68132	Credit Suisse AG – BOCL R Bull CBBC May 2018 A	50,000,000	2017/07/24	2018/05/04	12.50
68130	Credit Suisse AG – China Life R Bear CBBC Apr 2018 A	50,000,000	2017/07/24	2018/04/13	12.50
68131	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Mar 2018 A	50,000,000	2017/07/24	2018/03/09	12.50
68116	Credit Suisse AG – Galaxy Ent R Bear CBBC Feb 2018 C	50,000,000	2017/07/24	2018/02/09	12.50
68134	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 A	150,000,000	2017/07/24	2018/04/27	37.50
68135	Credit Suisse AG – HSCEI R Bear CBBC Nov 2017 B	150,000,000	2017/07/24	2017/11/29	37.50
68136	Credit Suisse AG – HSCEI R Bear CBBC Dec 2017 A	150,000,000	2017/07/24	2017/12/28	37.50
68099	Credit Suisse AG – HSI R Bull CBBC Mar 2018 O	200,000,000	2017/07/24	2018/03/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68098	Credit Suisse AG – HSI R Bull CBBC Apr 2018 H	200,000,000	2017/07/24	2018/04/27	50.00
68094	Credit Suisse AG – HSI R Bear CBBC Oct 2017 J	200,000,000	2017/07/24	2017/10/30	50.00
68095	Credit Suisse AG – HSI R Bear CBBC Nov 2017 A	200,000,000	2017/07/24	2017/11/29	50.00
68100	Credit Suisse AG – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/07/24	2017/11/29	50.00
68129	Credit Suisse AG – Ping An R Bear CBBC Mar 2018 C	80,000,000	2017/07/24	2018/03/09	20.00
68119	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 A	50,000,000	2017/07/24	2018/04/27	12.50
68120	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 F	80,000,000	2017/07/24	2018/02/09	27.20
68125	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 G	80,000,000	2017/07/24	2018/02/23	40.00
68140	Bank of East Asia – HKEX R Bull CBBC May 2018 A	40,000,000	2017/07/24	2018/05/18	10.00
68146	Bank of East Asia – HKEX R Bull CBBC May 2018 B	40,000,000	2017/07/24	2018/05/18	10.00
68137	Bank of East Asia – Ping An R Bull CBBC May 2018 B	16,000,000	2017/07/24	2018/05/18	10.40
68149	Bank of East Asia – Tencent R Bull CBBC May 2018 H	40,000,000	2017/07/24	2018/05/18	10.00
68155	Bank of East Asia – Tencent R Bull CBBC May 2018 I	40,000,000	2017/07/24	2018/05/18	10.00
68092	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 N	200,000,000	2017/07/24	2018/09/27	50.00
68093	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 O	200,000,000	2017/07/24	2018/09/27	50.00
67919	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/24	2017/10/30	50.00
67921	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 O	200,000,000	2017/07/24	2017/10/30	50.00
68219	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 K	200,000,000	2017/07/24	2017/11/29	50.00
68221	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/07/24	2017/11/29	50.00
68223	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 M	200,000,000	2017/07/24	2017/11/29	50.00
68224	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/07/24	2017/11/29	50.00
68228	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/07/24	2017/11/29	50.00
68230	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 P	200,000,000	2017/07/24	2017/11/29	50.00
68233	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/07/24	2017/11/29	52.80
67986	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2018 D	50,000,000	2017/07/24	2018/03/26	12.50
68002	HK Bank – CSOP A50 ETF R Bear CBBC Mar 2018 A	40,000,000	2017/07/24	2018/03/12	10.00
68041	HK Bank – HKEX R Bull CBBC Jun 2018 D	50,000,000	2017/07/24	2018/06/11	12.50
68036	HK Bank – HKEX R Bull CBBC Jul 2018 L	50,000,000	2017/07/24	2018/07/16	12.50
68030	HK Bank – HKEX R Bear CBBC Jul 2018 B	40,000,000	2017/07/24	2018/07/03	10.00
67981	HK Bank – HSCEI R Bull CBBC Mar 2018 A	60,000,000	2017/07/24	2018/03/28	15.00
68003	HK Bank – HSI R Bull CBBC Mar 2018 H	150,000,000	2017/07/24	2018/03/28	37.50
68004	HK Bank – HSI R Bull CBBC Mar 2018 I	100,000,000	2017/07/24	2018/03/28	25.00
67978	HK Bank – HSI R Bear CBBC Aug 2018 I	100,000,000	2017/07/24	2018/08/30	25.00
67979	HK Bank – HSI R Bear CBBC Oct 2018 C	150,000,000	2017/07/24	2018/10/30	37.50
68042	HK Bank – Ping An R Bull CBBC May 2018 D	80,000,000	2017/07/24	2018/05/07	20.00
68020	HK Bank – Tencent R Bull CBBC Apr 2018 W	40,000,000	2017/07/24	2018/04/09	10.00
68209	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 B	40,000,000	2017/07/24	2018/01/19	10.00
68211	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 C	40,000,000	2017/07/24	2018/01/19	10.00
68208	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 J	200,000,000	2017/07/24	2018/10/30	50.00
68212	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 K	200,000,000	2017/07/24	2018/10/30	50.00
68214	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 L	200,000,000	2017/07/24	2018/10/30	50.00
68204	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 J	200,000,000	2017/07/24	2017/10/30	50.00
68215	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 H	200,000,000	2017/07/24	2017/12/28	50.00
68216	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 P	200,000,000	2017/07/24	2018/01/30	50.00
68217	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 Q	200,000,000	2017/07/24	2018/01/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68053	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 A	40,000,000	2017/07/24	2018/08/30	10.00
68055	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 B	40,000,000	2017/07/24	2018/08/30	10.00
68064	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 C	40,000,000	2017/07/24	2018/08/30	10.00
68065	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 D	40,000,000	2017/07/24	2018/08/30	10.00
68066	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 E	40,000,000	2017/07/24	2018/08/30	10.00
68074	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 F	40,000,000	2017/07/24	2018/08/30	10.00
68264	SG Issuer – China Life R Bull CBBC May 2018 E	40,000,000	2017/07/24	2018/05/30	10.00
68084	SG Issuer – HKEX R Bull CBBC Feb 2018 F	100,000,000	2017/07/24	2018/02/08	25.00
68086	SG Issuer – HKEX R Bull CBBC Apr 2018 C	100,000,000	2017/07/24	2018/04/13	25.00
67975	SG Issuer – HSBC R Bull CBBC Mar 2018 G	100,000,000	2017/07/24	2018/03/29	25.00
68235	SG Issuer – HSCEI R Bull CBBC Nov 2018 I	200,000,000	2017/07/24	2018/11/29	50.00
68236	SG Issuer – HSCEI R Bull CBBC Dec 2018 F	200,000,000	2017/07/24	2018/12/28	50.00
68234	SG Issuer – HSCEI R Bear CBBC Mar 2018 C	200,000,000	2017/07/24	2018/03/28	50.00
68256	SG Issuer – HSI R Bull CBBC Oct 2018 Y	200,000,000	2017/07/24	2018/10/30	50.00
68258	SG Issuer – HSI R Bull CBBC Nov 2018 O	200,000,000	2017/07/24	2018/11/29	50.00
68259	SG Issuer – HSI R Bull CBBC Dec 2018 Z	200,000,000	2017/07/24	2018/12/28	50.00
68238	SG Issuer – HSI R Bear CBBC Oct 2017 H	200,000,000	2017/07/24	2017/10/30	50.00
68248	SG Issuer – HSI R Bear CBBC Oct 2017 J	200,000,000	2017/07/24	2017/10/30	50.00
68249	SG Issuer – HSI R Bear CBBC Nov 2017 S	200,000,000	2017/07/24	2017/11/29	50.00
68250	SG Issuer – HSI R Bear CBBC Dec 2017 I	200,000,000	2017/07/24	2017/12/28	50.00
68087	SG Issuer – Ping An R Bull CBBC Mar 2018 E	100,000,000	2017/07/24	2018/03/08	25.00
68271	SG Issuer – SHK Ppt P R Bull CBBC Jun 2018 A	100,000,000	2017/07/24	2018/06/28	25.00
68088	SG Issuer – Tencent R Bull CBBC Jan 2018 M	100,000,000	2017/07/24	2018/01/10	25.00
68183	UBS AG – HSI R Bull CBBC Sep 2018 Z	250,000,000	2017/07/24	2018/09/27	62.50
68186	UBS AG – HSI R Bull CBBC Oct 2018 C	200,000,000	2017/07/24	2018/10/30	50.00
68181	UBS AG – HSI R Bull CBBC Nov 2018 C	250,000,000	2017/07/24	2018/11/29	62.50
68162	UBS AG – HSI R Bear CBBC Oct 2017 O	250,000,000	2017/07/24	2017/10/30	62.50
68165	UBS AG – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/24	2017/10/30	50.00
68171	UBS AG – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/24	2017/10/30	50.00
68313	Credit Suisse AG – Geely Auto R Bear CBBC Feb 2018 E	50,000,000	2017/07/25	2018/02/09	18.00
68320	Credit Suisse AG – HKEX R Bull CBBC Mar 2018 D	50,000,000	2017/07/25	2018/03/02	12.50
68307	Credit Suisse AG – HSI R Bull CBBC Apr 2018 I	200,000,000	2017/07/25	2018/04/27	50.00
68298	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Q	200,000,000	2017/07/25	2018/09/27	50.00
68317	Credit Suisse AG – HSI R Bull CBBC Sep 2018 R	200,000,000	2017/07/25	2018/09/27	50.00
68312	Credit Suisse AG – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/07/25	2017/11/29	50.00
68373	Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Aug 2018 A	200,000,000	2017/07/25	2018/08/30	50.00
68323	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 B	200,000,000	2017/07/25	2018/08/30	50.00
68324	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 E	200,000,000	2017/07/25	2018/08/30	50.00
68275	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 R	200,000,000	2017/07/25	2018/08/30	50.00
68325	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/25	2017/10/30	50.00
68385	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 R	200,000,000	2017/07/25	2017/11/29	50.00
68386	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 S	200,000,000	2017/07/25	2017/11/29	50.00
68390	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 T	200,000,000	2017/07/25	2017/11/29	50.00
68393	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/07/25	2017/11/29	50.00
68394	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/07/25	2017/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68321	HK Bank – Geely Auto R Bull CBBC May 2018 B	40,000,000	2017/07/25	2018/05/07	10.00
68322	HK Bank – Geely Auto R Bear CBBC Oct 2018 D	40,000,000	2017/07/25	2018/10/08	18.00
68283	HK Bank – HKEX R Bear CBBC Jul 2018 C	40,000,000	2017/07/25	2018/07/23	14.00
68293	HK Bank – HSCEI R Bear CBBC Jul 2018 A	50,000,000	2017/07/25	2018/07/30	12.50
68292	HK Bank – HSI R Bear CBBC Mar 2018 O	100,000,000	2017/07/25	2018/03/28	25.00
68284	HK Bank – HSI R Bear CBBC Aug 2018 J	100,000,000	2017/07/25	2018/08/30	25.00
68280	HK Bank – Ping An R Bear CBBC Oct 2018 C	40,000,000	2017/07/25	2018/10/08	10.00
68383	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Oct 2018 A	40,000,000	2017/07/25	2018/10/19	10.00
68382	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Nov 2018 A	40,000,000	2017/07/25	2018/11/16	10.00
68404	J P Morgan SP BV – Geely Auto R Bull CBBC Mar 2018 D	40,000,000	2017/07/25	2018/03/16	10.00
68376	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 M	200,000,000	2017/07/25	2018/10/30	50.00
68377	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 L	200,000,000	2017/07/25	2017/10/30	50.00
68378	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 M	200,000,000	2017/07/25	2017/10/30	50.00
68380	J P Morgan SP BV – PetCh R Bull CBBC May 2018 A	80,000,000	2017/07/25	2018/05/18	20.00
68296	Macquarie Bank Ltd. – China Life R Bull CBBC Feb 2018 C	36,000,000	2017/07/25	2018/02/28	10.01
68397	SG Issuer – Geely Auto R Bull CBBC Jan 2018 D	40,000,000	2017/07/25	2018/01/12	10.00
68398	SG Issuer – HKEX R Bear CBBC May 2018 A	50,000,000	2017/07/25	2018/05/11	13.50
68403	SG Issuer – HSI R Bull CBBC Nov 2018 P	200,000,000	2017/07/25	2018/11/29	50.00
68402	SG Issuer – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/07/25	2017/12/28	50.00
68399	SG Issuer – ICBC R Bull CBBC Apr 2018 A	40,000,000	2017/07/25	2018/04/18	10.00
68336	UBS AG – Geely Auto R Bull CBBC Feb 2018 A	40,000,000	2017/07/25	2018/02/05	10.00
68332	UBS AG – HSI R Bull CBBC Jul 2018 H	200,000,000	2017/07/25	2018/07/30	50.00
68326	UBS AG – HSI R Bull CBBC Sep 2018 D	250,000,000	2017/07/25	2018/09/27	62.50
68347	UBS AG – HSI R Bear CBBC Oct 2017 R	250,000,000	2017/07/25	2017/10/30	62.50
68372	UBS AG – HSI R Bear CBBC Oct 2017 S	200,000,000	2017/07/25	2017/10/30	50.00
68333	UBS AG – Ping An R Bull CBBC Feb 2018 C	80,000,000	2017/07/25	2018/02/26	20.00
68469	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 N	100,000,000	2017/07/26	2018/08/30	25.00
68470	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 O	100,000,000	2017/07/26	2018/08/30	25.00
68475	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 P	100,000,000	2017/07/26	2018/02/27	25.00
68477	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 Q	100,000,000	2017/07/26	2018/02/27	25.00
68441	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 D	250,000,000	2017/07/26	2018/08/30	62.50
68443	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 B	250,000,000	2017/07/26	2018/02/27	62.50
68453	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 K	250,000,000	2017/07/26	2018/02/27	62.50
68454	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 N	250,000,000	2017/07/26	2018/02/27	62.50
68444	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 Z	250,000,000	2017/07/26	2018/02/27	62.50
68449	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 A	250,000,000	2017/07/26	2018/03/28	75.00
68434	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 X	50,000,000	2017/07/26	2018/08/30	12.50
68462	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 Y	50,000,000	2017/07/26	2018/08/30	12.50
68436	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 T	50,000,000	2017/07/26	2018/04/27	17.50
68440	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 U	50,000,000	2017/07/26	2018/04/27	20.00
68584	Credit Suisse AG – Geely Auto R Bull CBBC Feb 2018 E	50,000,000	2017/07/26	2018/02/28	12.50
68585	Credit Suisse AG – Geely Auto R Bear CBBC Feb 2018 F	50,000,000	2017/07/26	2018/02/23	21.50
68581	Credit Suisse AG – HSI R Bull CBBC Mar 2018 P	200,000,000	2017/07/26	2018/03/28	50.00
68582	Credit Suisse AG – HSI R Bull CBBC Apr 2018 J	200,000,000	2017/07/26	2018/04/27	50.00
68579	Credit Suisse AG – HSI R Bear CBBC Oct 2017 K	200,000,000	2017/07/26	2017/10/30	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68590	Credit Suisse AG – Ping An R Bull CBBC Mar 2018 D	50,000,000	2017/07/26	2018/03/23	12.50
68595	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 B	50,000,000	2017/07/26	2018/04/20	12.50
68596	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 H	100,000,000	2017/07/26	2018/02/28	48.00
68431	Bank of East Asia – China Mobile R Bull CBBC May 2018 C	16,000,000	2017/07/26	2018/05/24	10.40
68432	Bank of East Asia – CC Bank R Bull CBBC May 2018 A	40,000,000	2017/07/26	2018/05/24	10.00
68426	Bank of East Asia – Tencent R Bull CBBC May 2018 J	40,000,000	2017/07/26	2018/05/24	10.00
68482	Bank of East Asia – Tencent R Bull CBBC May 2018 K	40,000,000	2017/07/26	2018/05/24	10.00
68483	Bank of East Asia – Tencent R Bull CBBC May 2018 L	40,000,000	2017/07/26	2018/05/24	10.00
68428	Bank of East Asia – Tencent R Bear CBBC May 2018 A	40,000,000	2017/07/26	2018/05/24	10.00
68458	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 H	200,000,000	2017/07/26	2018/08/30	50.00
68461	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/26	2017/10/30	50.00
68409	HK Bank – CKH R Bull CBBC Apr 2018 A	40,000,000	2017/07/26	2018/04/09	10.00
68422	HK Bank – Galaxy Ent R Bull CBBC Jan 2018 E	80,000,000	2017/07/26	2018/01/29	20.00
68425	HK Bank – HSI R Bull CBBC Apr 2018 O	100,000,000	2017/07/26	2018/04/27	25.00
68410	HK Bank – Tencent R Bull CBBC Jan 2018 K	50,000,000	2017/07/26	2018/01/29	12.50
68411	HK Bank – Tencent R Bull CBBC Jan 2018 L	50,000,000	2017/07/26	2018/01/22	12.50
68414	HK Bank – Tencent R Bull CBBC Jan 2018 M	50,000,000	2017/07/26	2018/01/15	12.50
68416	HK Bank – Tencent R Bull CBBC Jan 2018 N	50,000,000	2017/07/26	2018/01/08	12.50
68512	HK Bank – Tencent R Bull CBBC Jan 2018 O	50,000,000	2017/07/26	2018/01/15	12.50
68514	HK Bank – Tencent R Bull CBBC Jan 2018 P	50,000,000	2017/07/26	2018/01/08	12.50
68417	HK Bank – Tencent R Bear CBBC Sep 2018 C	50,000,000	2017/07/26	2018/09/17	22.50
68628	J P Morgan SP BV – HSCEI R Bull CBBC Oct 2018 A	100,000,000	2017/07/26	2018/10/30	25.00
68601	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 N	200,000,000	2017/07/26	2018/10/30	50.00
68621	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 C	200,000,000	2017/07/26	2018/11/29	50.00
68603	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 I	200,000,000	2017/07/26	2017/12/28	50.00
68604	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 R	200,000,000	2017/07/26	2018/01/30	50.00
68607	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 C	200,000,000	2017/07/26	2018/02/27	64.00
68620	J P Morgan SP BV – ICBC R Bull CBBC May 2018 A	100,000,000	2017/07/26	2018/05/18	25.00
68619	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 L	40,000,000	2017/07/26	2017/11/17	10.00
68615	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 X	40,000,000	2017/07/26	2017/12/15	10.00
68609	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 H	40,000,000	2017/07/26	2018/02/15	10.00
68610	J P Morgan SP BV – Tencent R Bear CBBC Mar 2018 F	40,000,000	2017/07/26	2018/03/16	11.20
68611	J P Morgan SP BV – Tencent R Bear CBBC Apr 2018 J	40,000,000	2017/07/26	2018/04/20	14.40
68498	Macquarie Bank Ltd. – HSBC R Bear CBBC Aug 2018 A	40,000,000	2017/07/26	2018/08/30	10.00
68503	Macquarie Bank Ltd. – HSBC R Bear CBBC Aug 2018 B	40,000,000	2017/07/26	2018/08/30	10.00
68505	Macquarie Bank Ltd. – HSBC R Bear CBBC Aug 2018 C	40,000,000	2017/07/26	2018/08/30	10.00
68506	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 G	40,000,000	2017/07/26	2018/08/30	10.00
68507	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 H	40,000,000	2017/07/26	2018/08/30	10.00
68508	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 I	40,000,000	2017/07/26	2018/08/30	10.00
68509	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 J	40,000,000	2017/07/26	2018/08/30	10.00
68511	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 K	40,000,000	2017/07/26	2018/08/30	10.00
68496	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 G	37,000,000	2017/07/26	2018/02/28	10.14
68497	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 H	33,000,000	2017/07/26	2018/02/28	10.20
68484	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2018 B	27,000,000	2017/07/26	2018/02/28	10.04
68487	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2018 C	31,000,000	2017/07/26	2018/02/28	10.08



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68495	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2018 D	40,000,000	2017/07/26	2018/02/28	10.00
68457	SG Issuer – CSA50 R Bull CBBC Feb 2018 E	40,000,000	2017/07/26	2018/02/22	10.00
68571	SG Issuer – HSI R Bull CBBC Nov 2018 G	200,000,000	2017/07/26	2018/11/29	50.00
68572	SG Issuer – HSI R Bull CBBC Dec 2018 E	200,000,000	2017/07/26	2018/12/28	50.00
68563	SG Issuer – HSI R Bear CBBC Oct 2017 A	200,000,000	2017/07/26	2017/10/30	50.00
68565	SG Issuer – HSI R Bear CBBC Nov 2017 T	200,000,000	2017/07/26	2017/11/29	50.00
68566	SG Issuer – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/07/26	2017/12/28	50.00
68567	SG Issuer – HSI R Bear CBBC Dec 2017 L	200,000,000	2017/07/26	2017/12/28	50.00
68548	SG Issuer – Tencent R Bull CBBC Dec 2017 I	80,000,000	2017/07/26	2017/12/20	20.00
68549	SG Issuer – Tencent R Bull CBBC Jan 2018 N	80,000,000	2017/07/26	2018/01/25	20.00
68553	SG Issuer – Tencent R Bull CBBC Apr 2018 F	80,000,000	2017/07/26	2018/04/23	20.00
68550	SG Issuer – Tencent R Bear CBBC Mar 2018 D	80,000,000	2017/07/26	2018/03/28	30.80
68406	SG Issuer – Tencent R Bear CBBC Apr 2018 B	80,000,000	2017/07/26	2018/04/09	20.00
68551	SG Issuer – Tencent R Bear CBBC Apr 2018 C	80,000,000	2017/07/26	2018/04/18	26.00
68554	SG Issuer – Tencent R Bear CBBC Jul 2018 A	80,000,000	2017/07/26	2018/07/25	36.00
68536	UBS AG – HSI R Bull CBBC Oct 2018 D	200,000,000	2017/07/26	2018/10/30	50.00
68537	UBS AG – HSI R Bull CBBC Nov 2018 D	250,000,000	2017/07/26	2018/11/29	62.50
68515	UBS AG – HSI R Bear CBBC Oct 2017 T	250,000,000	2017/07/26	2017/10/30	62.50
68532	UBS AG – Petrochina R Bull CBBC May 2018 A	50,000,000	2017/07/26	2018/05/07	12.50
68539	UBS AG – Tencent R Bull CBBC Feb 2018 G	50,000,000	2017/07/26	2018/02/12	12.50
68520	UBS AG – Tencent R Bull CBBC Mar 2018 D	50,000,000	2017/07/26	2018/03/12	12.50
68521	UBS AG – Tencent R Bear CBBC Jan 2018 F	40,000,000	2017/07/26	2018/01/29	10.00
68544	UBS AG – Tencent R Bear CBBC Jan 2018 G	40,000,000	2017/07/26	2018/01/22	14.00
68662	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 O	250,000,000	2017/07/27	2018/09/27	62.50
68635	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 J	250,000,000	2017/07/27	2018/02/27	62.50
68632	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 V	50,000,000	2017/07/27	2018/04/27	12.50
68803	Credit Suisse AG – AIA R Bull CBBC Feb 2018 A	50,000,000	2017/07/27	2018/02/02	12.50
68807	Credit Suisse AG – AIA R Bear CBBC Feb 2018 A	90,000,000	2017/07/27	2018/02/09	22.50
68800	Credit Suisse AG – CCB R Bull CBBC Feb 2018 A	50,000,000	2017/07/27	2018/02/09	12.50
68802	Credit Suisse AG – CCB R Bear CBBC Feb 2018 C	60,000,000	2017/07/27	2018/02/23	15.00
68793	Credit Suisse AG – HKEX R Bull CBBC Apr 2018 A	50,000,000	2017/07/27	2018/04/13	12.50
68796	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 B	100,000,000	2017/07/27	2018/03/23	25.00
68799	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 C	100,000,000	2017/07/27	2018/03/29	30.00
68826	Credit Suisse AG – HSBC R Bull CBBC Feb 2018 C	100,000,000	2017/07/27	2018/02/08	25.00
68830	Credit Suisse AG – HSBC R Bear CBBC Feb 2018 A	45,000,000	2017/07/27	2018/02/09	36.00
68791	Credit Suisse AG – HSI R Bull CBBC Apr 2018 K	200,000,000	2017/07/27	2018/04/27	50.00
68792	Credit Suisse AG – HSI R Bull CBBC Sep 2018 S	200,000,000	2017/07/27	2018/09/27	50.00
68788	Credit Suisse AG – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/07/27	2017/11/29	50.00
68820	Credit Suisse AG – HSI R Bear CBBC Nov 2017 N	250,000,000	2017/07/27	2017/11/29	62.50
68814	Credit Suisse AG – ICBC R Bull CBBC Feb 2018 C	50,000,000	2017/07/27	2018/02/23	12.50
68815	Credit Suisse AG – ICBC R Bear CBBC Feb 2018 A	50,000,000	2017/07/27	2018/02/09	12.50
68818	Credit Suisse AG – ICBC R Bear CBBC Feb 2018 B	50,000,000	2017/07/27	2018/02/22	12.50
68822	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 C	50,000,000	2017/07/27	2018/04/26	12.50
68824	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 I	50,000,000	2017/07/27	2018/02/08	17.50
68698	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 L	200,000,000	2017/07/27	2018/10/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68636	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 W	200,000,000	2017/07/27	2017/11/29	50.00
68643	HK Bank – AIA R Bull CBBC Jul 2018 B	60,000,000	2017/07/27	2018/07/03	15.00
68647	HK Bank – AIA R Bear CBBC Jun 2018 A	40,000,000	2017/07/27	2018/06/25	10.00
68653	HK Bank – BOCL R Bull CBBC Jul 2018 A	50,000,000	2017/07/27	2018/07/30	12.50
68648	HK Bank – CC Bank R Bull CBBC Jul 2018 A	50,000,000	2017/07/27	2018/07/30	12.50
68655	HK Bank – CSOP A50 ETF R Bull CBBC Mar 2018 E	50,000,000	2017/07/27	2018/03/12	12.50
68641	HK Bank – HKEX R Bull CBBC Jul 2018 M	50,000,000	2017/07/27	2018/07/23	12.50
68660	HK Bank – HS H-SH ETF R Bull CBBC Mar 2018 B	50,000,000	2017/07/27	2018/03/12	12.50
68639	HK Bank – HSI R Bull CBBC Apr 2018 P	100,000,000	2017/07/27	2018/04/27	25.00
68650	HK Bank – ICBC R Bull CBBC Jul 2018 A	50,000,000	2017/07/27	2018/07/30	12.50
68640	HK Bank – Tencent R Bull CBBC Jan 2018 Q	60,000,000	2017/07/27	2018/01/29	15.00
68748	J P Morgan SP BV – CC Bank R Bull CBBC Jul 2018 A	100,000,000	2017/07/27	2018/07/20	25.00
68752	J P Morgan SP BV – CC Bank R Bull CBBC Jul 2018 B	100,000,000	2017/07/27	2018/07/20	25.00
68764	J P Morgan SP BV – HSBC R Bull CBBC Aug 2018 B	100,000,000	2017/07/27	2018/08/17	25.00
68717	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 V	200,000,000	2017/07/27	2018/09/27	50.00
68724	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 O	200,000,000	2017/07/27	2018/10/30	50.00
68711	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/27	2017/10/30	50.00
68731	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 S	200,000,000	2017/07/27	2018/01/30	50.00
68738	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 D	200,000,000	2017/07/27	2018/02/27	50.00
68741	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 E	200,000,000	2017/07/27	2018/02/27	54.00
68753	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 Y	40,000,000	2017/07/27	2017/12/15	10.00
68754	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 Z	40,000,000	2017/07/27	2017/12/15	10.00
68759	J P Morgan SP BV – Tencent R Bear CBBC Mar 2018 G	40,000,000	2017/07/27	2018/03/16	10.00
68763	J P Morgan SP BV – Tencent R Bear CBBC Apr 2018 K	40,000,000	2017/07/27	2018/04/20	10.00
68670	Macquarie Bank Ltd. – Great Wall Motor R Bull CBBC Jul 2018 A	40,000,000	2017/07/27	2018/07/30	10.00
68671	Macquarie Bank Ltd. – Great Wall Motor R Bull CBBC Jul 2018 B	40,000,000	2017/07/27	2018/07/30	10.00
68678	Macquarie Bank Ltd. – HKEX R Bull CBBC Mar 2018 C	36,000,000	2017/07/27	2018/03/27	10.12
68676	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 C	26,000,000	2017/07/27	2018/06/27	10.01
68677	Macquarie Bank Ltd. – HKEX R Bear CBBC Mar 2018 B	25,000,000	2017/07/27	2018/03/27	10.05
68776	SG Issuer – HKEX R Bull CBBC Jan 2018 H	50,000,000	2017/07/27	2018/01/16	12.50
68777	SG Issuer – HKEX R Bull CBBC Mar 2018 F	50,000,000	2017/07/27	2018/03/15	12.50
68663	SG Issuer – HKEX R Bear CBBC May 2018 B	50,000,000	2017/07/27	2018/05/21	12.50
68664	SG Issuer – HKEX R Bear CBBC Jun 2018 C	50,000,000	2017/07/27	2018/06/20	14.50
68669	SG Issuer – HKEX R Bear CBBC Jul 2018 A	50,000,000	2017/07/27	2018/07/10	17.00
68774	SG Issuer – HSI R Bull CBBC Nov 2018 I	200,000,000	2017/07/27	2018/11/29	50.00
68775	SG Issuer – HSI R Bull CBBC Dec 2018 F	200,000,000	2017/07/27	2018/12/28	50.00
68765	SG Issuer – HSI R Bear CBBC Oct 2017 C	200,000,000	2017/07/27	2017/10/30	50.00
68766	SG Issuer – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/27	2017/10/30	50.00
68767	SG Issuer – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/07/27	2017/12/28	50.00
68773	SG Issuer – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/07/27	2017/12/28	50.00
68781	SG Issuer – PetroChina R Bull CBBC Apr 2018 A	40,000,000	2017/07/27	2018/04/27	10.00
68783	SG Issuer – Ping An R Bear CBBC Apr 2018 A	100,000,000	2017/07/27	2018/04/23	25.00
68784	SG Issuer – Tencent R Bear CBBC Apr 2018 D	80,000,000	2017/07/27	2018/04/09	20.00
68785	SG Issuer – Tencent R Bear CBBC Jun 2018 A	80,000,000	2017/07/27	2018/06/14	20.00
68688	UBS AG – HKEX R Bull CBBC Sep 2018 A	50,000,000	2017/07/27	2018/09/17	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68681	UBS AG – HKEX R Bull CBBC Oct 2018 A	50,000,000	2017/07/27	2018/10/29	12.50
68682	UBS AG – HKEX R Bear CBBC Mar 2018 A	50,000,000	2017/07/27	2018/03/12	12.50
68679	UBS AG – HSBC R Bull CBBC Aug 2018 A	50,000,000	2017/07/27	2018/08/27	12.50
68683	UBS AG – HSI R Bull CBBC Nov 2018 E	250,000,000	2017/07/27	2018/11/29	62.50
68686	UBS AG – HSI R Bear CBBC Oct 2017 U	250,000,000	2017/07/27	2017/10/30	62.50
68854	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 G	50,000,000	2017/07/28	2018/08/30	12.50
68995	Credit Suisse AG – BOCL R Bear CBBC Feb 2018 A	95,000,000	2017/07/28	2018/02/28	23.75
68992	Credit Suisse AG – BOCL R Bear CBBC Apr 2018 A	95,000,000	2017/07/28	2018/04/20	23.75
69004	Credit Suisse AG – China Mobile R Bull CBBC Feb 2018 B	50,000,000	2017/07/28	2018/02/09	12.50
69007	Credit Suisse AG – China Mobile R Bull CBBC Feb 2018 C	50,000,000	2017/07/28	2018/02/23	12.50
68989	Credit Suisse AG – Galaxy Ent R Bear CBBC Mar 2018 A	100,000,000	2017/07/28	2018/03/23	25.00
68971	Credit Suisse AG – HKEX R Bull CBBC Mar 2018 E	50,000,000	2017/07/28	2018/03/29	12.50
68973	Credit Suisse AG – HKEX R Bear CBBC Feb 2018 B	65,000,000	2017/07/28	2018/02/23	20.80
68979	Credit Suisse AG – HKEX R Bear CBBC Feb 2018 C	65,000,000	2017/07/28	2018/02/28	26.00
69003	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 B	150,000,000	2017/07/28	2018/04/27	37.50
68968	Credit Suisse AG – HSI R Bull CBBC Sep 2018 T	200,000,000	2017/07/28	2018/09/27	50.00
68969	Credit Suisse AG – HSI R Bear CBBC Oct 2017 M	200,000,000	2017/07/28	2017/10/30	50.00
69010	Credit Suisse AG – Lenovo R Bull CBBC Mar 2018 A	50,000,000	2017/07/28	2018/03/02	12.50
69017	Credit Suisse AG – Lenovo R Bear CBBC Jan 2018 A	50,000,000	2017/07/28	2018/01/19	12.50
68998	Credit Suisse AG – Ping An R Bull CBBC Mar 2018 E	100,000,000	2017/07/28	2018/03/09	25.00
68980	Credit Suisse AG – Sands China R Bull CBBC Jun 2018 A	50,000,000	2017/07/28	2018/06/29	12.50
68982	Credit Suisse AG – Sands China R Bear CBBC Mar 2018 B	100,000,000	2017/07/28	2018/03/16	25.00
68961	Bank of East Asia – China Mobile R Bull CBBC May 2018 D	60,000,000	2017/07/28	2018/05/25	15.00
68853	Bank of East Asia – HKEX R Bull CBBC May 2018 C	40,000,000	2017/07/28	2018/05/25	10.00
68894	Bank of East Asia – HKEX R Bull CBBC May 2018 D	40,000,000	2017/07/28	2018/05/25	10.00
68898	Bank of East Asia – Sands China R Bull CBBC Jan 2018 A	15,000,000	2017/07/28	2018/01/29	10.20
69035	Goldman Sachs SP (Asia) – HSI R Bear CBBC Oct 2017 S	200,000,000	2017/07/28	2017/10/30	50.00
69039	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/07/28	2017/11/29	50.00
68861	HK Bank – China Mobile R Bull CBBC May 2018 A	50,000,000	2017/07/28	2018/05/07	12.50
68879	HK Bank – Geely Auto R Bear CBBC Mar 2018 I	40,000,000	2017/07/28	2018/03/12	10.00
68878	HK Bank – HKEX R Bull CBBC Jun 2018 E	50,000,000	2017/07/28	2018/06/25	12.50
68873	HK Bank – HKEX R Bear CBBC Jun 2018 A	40,000,000	2017/07/28	2018/06/25	14.00
68877	HK Bank – HKEX R Bear CBBC Jul 2018 D	40,000,000	2017/07/28	2018/07/30	16.00
68885	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 B	60,000,000	2017/07/28	2018/06/28	15.00
68887	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 C	60,000,000	2017/07/28	2018/06/27	15.00
68890	Haitong Int'l Sec – HKEX R Bear CBBC Mar 2018 A	60,000,000	2017/07/28	2018/03/28	15.00
68891	Haitong Int'l Sec – HSBC R Bull CBBC Jun 2018 C	80,000,000	2017/07/28	2018/06/28	20.00
68892	Haitong Int'l Sec – Ping An R Bull CBBC Aug 2018 A	80,000,000	2017/07/28	2018/08/23	20.16
68881	Haitong Int'l Sec – Tencent R Bull CBBC Jul 2018 B	60,000,000	2017/07/28	2018/07/26	15.00
68883	Haitong Int'l Sec – Tencent R Bull CBBC Jul 2018 C	60,000,000	2017/07/28	2018/07/27	15.00
68884	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 A	60,000,000	2017/07/28	2018/04/26	15.00
69057	J P Morgan SP BV – China Mobile R Bull CBBC Aug 2018 A	100,000,000	2017/07/28	2018/08/17	25.00
69061	J P Morgan SP BV – CNOOC R Bull CBBC Jul 2018 A	80,000,000	2017/07/28	2018/07/20	20.00
69048	J P Morgan SP BV – HKEX R Bull CBBC Feb 2018 C	40,000,000	2017/07/28	2018/02/15	10.00
69065	J P Morgan SP BV – HKEX R Bull CBBC Feb 2018 D	40,000,000	2017/07/28	2018/02/15	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69066	J P Morgan SP BV – HKEX R Bear CBBC Apr 2018 B	40,000,000	2017/07/28	2018/04/20	10.00
69067	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 D	200,000,000	2017/07/28	2018/11/29	50.00
69051	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 K	200,000,000	2017/07/28	2017/10/30	50.00
69049	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 O	200,000,000	2017/07/28	2017/10/30	50.00
69052	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/28	2017/10/30	50.00
69056	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/28	2017/10/30	50.00
69062	J P Morgan SP BV – Tencent R Bear CBBC Apr 2018 L	40,000,000	2017/07/28	2018/04/20	18.00
68831	SG Issuer – ABC R Bull CBBC Aug 2018 A	40,000,000	2017/07/28	2018/08/30	10.00
68832	SG Issuer – AIA R Bull CBBC Jul 2018 B	100,000,000	2017/07/28	2018/07/09	25.00
69042	SG Issuer – CMob R Bull CBBC Feb 2018 B	100,000,000	2017/07/28	2018/02/08	25.00
68835	SG Issuer – CCB R Bull CBBC Aug 2018 B	40,000,000	2017/07/28	2018/08/20	10.00
68899	SG Issuer – CShen R Bull CBBC May 2018 A	40,000,000	2017/07/28	2018/05/30	10.00
68906	SG Issuer – Greatwall Motor R Bull CBBC Apr 2018 A	40,000,000	2017/07/28	2018/04/27	10.00
68839	SG Issuer – HKEX R Bull CBBC Jun 2018 B	50,000,000	2017/07/28	2018/06/13	12.50
69043	SG Issuer – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/07/28	2017/11/29	50.00
68842	SG Issuer – Tencent R Bull CBBC Feb 2018 M	80,000,000	2017/07/28	2018/02/07	20.00
68846	SG Issuer – Tencent R Bull CBBC Feb 2018 N	80,000,000	2017/07/28	2018/02/22	20.00
68847	SG Issuer – Tencent R Bull CBBC Mar 2018 N	80,000,000	2017/07/28	2018/03/13	20.00
68934	SG Issuer – Tencent R Bear CBBC Mar 2018 E	80,000,000	2017/07/28	2018/03/08	20.00
69021	UBS AG – HSI R Bull CBBC Aug 2018 L	250,000,000	2017/07/28	2018/08/30	62.50
69024	UBS AG – HSI R Bear CBBC Oct 2017 V	200,000,000	2017/07/28	2017/10/30	50.00
69025	UBS AG – HSI R Bear CBBC Oct 2017 Y	250,000,000	2017/07/28	2017/10/30	62.50
69032	UBS AG – HSI R Bear CBBC Oct 2017 Z	250,000,000	2017/07/28	2017/10/30	62.50
69034	UBS AG – Tencent R Bull CBBC Dec 2017 N	40,000,000	2017/07/28	2017/12/18	10.00
69070	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 B	250,000,000	2017/07/31	2018/03/28	62.50
69093	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 D	200,000,000	2017/07/31	2018/08/30	50.00
69179	Credit Suisse AG – HSI R Bull CBBC Apr 2018 L	200,000,000	2017/07/31	2018/04/27	50.00
69096	Credit Suisse AG – HSI R Bear CBBC Oct 2017 L	200,000,000	2017/07/31	2017/10/30	50.00
69106	Credit Suisse AG – HSI R Bear CBBC Oct 2017 N	200,000,000	2017/07/31	2017/10/30	50.00
69115	Credit Suisse AG – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/07/31	2017/11/29	50.00
69102	Credit Suisse AG – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/07/31	2017/12/28	50.00
69116	Credit Suisse AG – HSI R Bear CBBC Dec 2017 W	200,000,000	2017/07/31	2017/12/28	50.00
69182	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 D	50,000,000	2017/07/31	2018/04/13	12.50
69185	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 A	60,000,000	2017/07/31	2018/03/08	33.00
69196	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 P	200,000,000	2017/07/31	2018/09/27	50.00
69197	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 M	200,000,000	2017/07/31	2018/10/30	50.00
69068	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 Y	200,000,000	2017/07/31	2017/11/29	50.00
69193	HK Bank – Geely Auto R Bull CBBC Mar 2018 A	40,000,000	2017/07/31	2018/03/12	10.00
69072	HK Bank – HSI R Bull CBBC Apr 2018 Q	100,000,000	2017/07/31	2018/04/27	25.00
69194	HK Bank – Tencent R Bull CBBC Jan 2018 R	60,000,000	2017/07/31	2018/01/22	15.00
69195	HK Bank – Tencent R Bear CBBC Aug 2018 D	50,000,000	2017/07/31	2018/08/27	22.50
69259	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 D	40,000,000	2017/07/31	2018/01/19	10.00
69261	J P Morgan SP BV – HKEX R Bear CBBC Apr 2018 C	40,000,000	2017/07/31	2018/04/20	12.00
69275	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 P	200,000,000	2017/07/31	2018/10/30	50.00
69256	J P Morgan SP BV – HSI R Bear CBBC Oct 2017 C	200,000,000	2017/07/31	2017/10/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69279	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 T	200,000,000	2017/07/31	2018/01/30	50.00
69280	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 F	200,000,000	2017/07/31	2018/02/27	50.00
69258	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 A	40,000,000	2017/07/31	2017/12/15	10.00
69262	J P Morgan SP BV – Tencent R Bear CBBC Apr 2018 M	40,000,000	2017/07/31	2018/04/20	19.20
69272	J P Morgan SP BV – Tencent R Bear CBBC Apr 2018 N	40,000,000	2017/07/31	2018/04/20	11.20
69091	Macquarie Bank Ltd. – China Life R Bear CBBC Feb 2018 C	21,000,000	2017/07/31	2018/02/28	10.12
69089	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 I	30,000,000	2017/07/31	2018/02/28	10.08
69082	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2018 E	23,000,000	2017/07/31	2018/02/28	10.10
69075	SG Issuer – China Life R Bull CBBC May 2018 F	40,000,000	2017/07/31	2018/05/28	10.00
69076	SG Issuer – Galaxy R Bull CBBC Mar 2018 C	100,000,000	2017/07/31	2018/03/16	25.00
69252	SG Issuer – HSBC R Bull CBBC Mar 2018 H	100,000,000	2017/07/31	2018/03/29	25.00
69250	SG Issuer – HSI R Bull CBBC Oct 2018 P	200,000,000	2017/07/31	2018/10/30	50.00
69251	SG Issuer – HSI R Bull CBBC Dec 2018 G	200,000,000	2017/07/31	2018/12/28	50.00
69198	SG Issuer – HSI R Bear CBBC Oct 2017 Y	200,000,000	2017/07/31	2017/10/30	50.00
69218	SG Issuer – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/07/31	2017/11/29	50.00
69231	SG Issuer – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/07/31	2017/12/28	50.00
69242	SG Issuer – HSI R Bear CBBC Dec 2017 P	200,000,000	2017/07/31	2017/12/28	50.00
69244	SG Issuer – HSI R Bear CBBC Feb 2018 B	200,000,000	2017/07/31	2018/02/27	63.00
69077	SG Issuer – Lenovo R Bull CBBC Mar 2018 A	40,000,000	2017/07/31	2018/03/09	10.00
69080	SG Issuer – Ping An R Bull CBBC Mar 2018 F	100,000,000	2017/07/31	2018/03/08	25.00
69253	SG Issuer – Tencent R Bull CBBC Jan 2018 O	80,000,000	2017/07/31	2018/01/17	20.00
69162	UBS AG – HSCEI R Bull CBBC Aug 2018 D	100,000,000	2017/07/31	2018/08/30	25.00
69165	UBS AG – HSCEI R Bear CBBC Nov 2017 A	100,000,000	2017/07/31	2017/11/29	25.00
69161	UBS AG – HSI R Bull CBBC Sep 2018 I	250,000,000	2017/07/31	2018/09/27	62.50
69169	UBS AG – HSI R Bull CBBC Oct 2018 E	200,000,000	2017/07/31	2018/10/30	50.00
69123	UBS AG – HSI R Bear CBBC Oct 2017 D	250,000,000	2017/07/31	2017/10/30	62.50
69127	UBS AG – HSI R Bear CBBC Oct 2017 E	200,000,000	2017/07/31	2017/10/30	50.00
69130	UBS AG – HSI R Bear CBBC Oct 2017 K	250,000,000	2017/07/31	2017/10/30	62.50
69131	UBS AG – HSI R Bear CBBC Oct 2017 L	250,000,000	2017/07/31	2017/10/30	62.50
69152	UBS AG – HSI R Bear CBBC Oct 2017 O	200,000,000	2017/07/31	2017/10/30	50.00
69153	UBS AG – HSI R Bear CBBC Oct 2017 P	200,000,000	2017/07/31	2017/10/30	50.00
69156	UBS AG – HSI R Bear CBBC Oct 2017 Q	200,000,000	2017/07/31	2017/10/30	50.00
69157	UBS AG – HSI R Bear CBBC Nov 2017 D	250,000,000	2017/07/31	2017/11/29	66.25
69173	UBS AG – Tencent R Bull CBBC Dec 2017 O	40,000,000	2017/07/31	2017/12/11	10.00
69310	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jan 18E	60,000,000	2017/08/01	2018/01/30	15.00
69289	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 C	150,000,000	2017/08/01	2018/08/30	37.50
69290	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 D	150,000,000	2017/08/01	2018/08/30	37.50
69281	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 Z	50,000,000	2017/08/01	2018/08/30	12.50
69282	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 W	50,000,000	2017/08/01	2018/04/27	20.00
69473	Credit Suisse AG – CCB R Bull CBBC Sep 2018 A	100,000,000	2017/08/01	2018/09/21	25.00
69475	Credit Suisse AG – Geely Auto R Bear CBBC Feb 2018 G	100,000,000	2017/08/01	2018/02/28	55.00
69462	Credit Suisse AG – Galaxy Ent R Bull CBBC Mar 2018 F	50,000,000	2017/08/01	2018/03/21	12.50
69470	Credit Suisse AG – Galaxy Ent R Bear CBBC Mar 2018 B	100,000,000	2017/08/01	2018/03/09	25.00
69505	Credit Suisse AG – HSBC R Bull CBBC Feb 2018 D	100,000,000	2017/08/01	2018/02/15	25.00
69511	Credit Suisse AG – HSBC R Bear CBBC Feb 2018 B	100,000,000	2017/08/01	2018/02/08	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69426	Credit Suisse AG – HSI R Bull CBBC Sep 2018 U	200,000,000	2017/08/01	2018/09/27	50.00
69437	Credit Suisse AG – HSI R Bear CBBC Nov 2017 P	200,000,000	2017/08/01	2017/11/29	50.00
69427	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/08/01	2017/11/29	50.00
69439	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 N	50,000,000	2017/08/01	2018/03/29	12.50
69453	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 J	70,000,000	2017/08/01	2018/02/22	25.90
69458	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 B	70,000,000	2017/08/01	2018/03/01	32.20
69383	Bank of East Asia – China Shenhua R Bull CBBC May 2018 A	30,000,000	2017/08/01	2018/05/30	10.50
69364	Bank of East Asia – Galaxy Ent R Bull CBBC Apr 2018 C	16,000,000	2017/08/01	2018/04/30	10.40
69348	Bank of East Asia – Tencent R Bull CBBC May 2018 M	40,000,000	2017/08/01	2018/05/30	10.00
69385	Bank of East Asia – Tencent R Bull CBBC May 2018 N	40,000,000	2017/08/01	2018/05/30	10.00
69362	Bank of East Asia – Tencent R Bear CBBC Apr 2018 E	40,000,000	2017/08/01	2018/04/30	10.00
69419	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 B	200,000,000	2017/08/01	2017/12/28	57.00
69311	HK Bank – HKT-SS R Bull CBBC Dec 2018 A	40,000,000	2017/08/01	2018/12/24	10.00
69328	HK Bank – HSI R Bear CBBC Mar 2018 P	100,000,000	2017/08/01	2018/03/28	25.00
69329	HK Bank – HSI R Bear CBBC Aug 2018 K	100,000,000	2017/08/01	2018/08/30	25.00
69330	HK Bank – HSI R Bear CBBC Aug 2018 L	100,000,000	2017/08/01	2018/08/30	25.00
69321	HK Bank – Ping An R Bull CBBC Mar 2018 D	80,000,000	2017/08/01	2018/03/12	20.00
69327	HK Bank – Tencent R Bull CBBC Feb 2018 E	60,000,000	2017/08/01	2018/02/05	15.00
69312	HK Bank – Tracker Fund R Bull CBBC Dec 2018 A	50,000,000	2017/08/01	2018/12/24	12.50
69512	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 W	200,000,000	2017/08/01	2018/09/27	50.00
69517	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/01	2017/11/29	50.00
69535	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 U	200,000,000	2017/08/01	2018/01/30	50.00
69537	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 G	200,000,000	2017/08/01	2018/02/27	50.00
69518	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 G	40,000,000	2017/08/01	2018/01/19	10.00
69525	J P Morgan SP BV – Tencent R Bear CBBC Jun 2018 D	40,000,000	2017/08/01	2018/06/15	14.40
69530	J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 E	40,000,000	2017/08/01	2018/07/20	18.00
69341	Macquarie Bank Ltd. – HKEX R Bear CBBC Jun 2018 B	16,000,000	2017/08/01	2018/06/27	10.21
69339	Macquarie Bank Ltd. – HKEX R Bear CBBC Sep 2018 A	16,000,000	2017/08/01	2018/09/26	13.46
69416	Macquarie Bank Ltd. – Tencent R Bull CBBC Feb 2018 J	30,000,000	2017/08/01	2018/02/28	10.02
69417	Macquarie Bank Ltd. – Tencent R Bear CBBC Feb 2018 F	23,000,000	2017/08/01	2018/02/28	10.01
69386	SG Issuer – ABC R Bear CBBC May 2018 B	40,000,000	2017/08/01	2018/05/04	10.00
69387	SG Issuer – AIA R Bear CBBC Mar 2018 B	100,000,000	2017/08/01	2018/03/28	25.00
69388	SG Issuer – CCB R Bear CBBC May 2018 A	40,000,000	2017/08/01	2018/05/04	10.00
69490	SG Issuer – HSBC R Bull CBBC Apr 2018 C	100,000,000	2017/08/01	2018/04/30	25.00
69491	SG Issuer – HSBC R Bear CBBC Jun 2018 A	100,000,000	2017/08/01	2018/06/13	25.00
69499	SG Issuer – HSI R Bear CBBC Dec 2017 Q	200,000,000	2017/08/01	2017/12/28	50.00
69389	SG Issuer – Tencent R Bull CBBC Jan 2018 P	80,000,000	2017/08/01	2018/01/09	20.00
69403	SG Issuer – Tencent R Bull CBBC Feb 2018 O	80,000,000	2017/08/01	2018/02/09	20.00
69414	SG Issuer – Tencent R Bear CBBC Aug 2018 B	80,000,000	2017/08/01	2018/08/24	35.60
69489	UBS AG – HSBC R Bull CBBC Jun 2018 D	50,000,000	2017/08/01	2018/06/25	12.50
69477	UBS AG – HSI R Bull CBBC Sep 2018 J	250,000,000	2017/08/01	2018/09/27	62.50
69478	UBS AG – HSI R Bear CBBC Nov 2017 E	250,000,000	2017/08/01	2017/11/29	62.50
69479	UBS AG – HSI R Bear CBBC Nov 2017 F	250,000,000	2017/08/01	2017/11/29	62.50
69484	UBS AG – Ping An R Bear CBBC Dec 2017 A	50,000,000	2017/08/01	2017/12/18	12.50
69538	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 C	250,000,000	2017/08/02	2018/03/28	62.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69546	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 D	250,000,000	2017/08/02	2018/03/28	62.50
69609	Credit Suisse AG – CCB R Bull CBBC Sep 2018 B	100,000,000	2017/08/02	2018/09/28	25.00
69611	Credit Suisse AG – CCB R Bear CBBC Feb 2018 D	100,000,000	2017/08/02	2018/02/22	25.00
69613	Credit Suisse AG – Sinopec Corp R Bull CBBC Feb 2018 A	70,000,000	2017/08/02	2018/02/02	17.50
69614	Credit Suisse AG – Sinopec Corp R Bull CBBC Feb 2018 B	70,000,000	2017/08/02	2018/02/09	17.50
69615	Credit Suisse AG – Sinopec Corp R Bear CBBC Feb 2018 A	70,000,000	2017/08/02	2018/02/02	17.50
69616	Credit Suisse AG – Sinopec Corp R Bear CBBC Feb 2018 B	70,000,000	2017/08/02	2018/02/09	17.50
69607	Credit Suisse AG – HSI R Bull CBBC Apr 2018 M	200,000,000	2017/08/02	2018/04/27	50.00
69585	Credit Suisse AG – HSI R Bear CBBC Nov 2017 R	200,000,000	2017/08/02	2017/11/29	50.00
69589	Credit Suisse AG – HSI R Bear CBBC Nov 2017 S	200,000,000	2017/08/02	2017/11/29	50.00
69582	Credit Suisse AG – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/08/02	2017/12/28	50.00
69599	Credit Suisse AG – HSI R Bear CBBC Dec 2017 Y	200,000,000	2017/08/02	2017/12/28	50.00
69559	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 Q	200,000,000	2017/08/02	2018/09/27	50.20
69681	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 N	200,000,000	2017/08/02	2018/10/30	50.00
69562	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 Z	200,000,000	2017/08/02	2017/11/29	50.40
69549	HK Bank – HSI R Bear CBBC Mar 2018 Q	100,000,000	2017/08/02	2018/03/28	25.00
69551	HK Bank – HSI R Bear CBBC Jul 2018 J	100,000,000	2017/08/02	2018/07/30	25.00
69554	HK Bank – HSI R Bear CBBC Jul 2018 K	100,000,000	2017/08/02	2018/07/30	25.00
69636	J P Morgan SP BV – BOCL R Bull CBBC Jul 2018 B	150,000,000	2017/08/02	2018/07/20	37.50
69637	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 E	200,000,000	2017/08/02	2018/11/29	50.00
69623	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/02	2017/11/29	50.00
69640	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 V	200,000,000	2017/08/02	2018/01/30	50.00
69641	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 H	200,000,000	2017/08/02	2018/02/27	50.00
69625	J P Morgan SP BV – Tencent R Bear CBBC Jun 2018 E	40,000,000	2017/08/02	2018/06/15	10.00
69579	Macquarie Bank Ltd. – HSBC R Bear CBBC Aug 2018 D	40,000,000	2017/08/02	2018/08/30	10.00
69581	Macquarie Bank Ltd. – HSBC R Bear CBBC Aug 2018 E	40,000,000	2017/08/02	2018/08/30	10.00
69575	Macquarie Bank Ltd. – Ping An R Bear CBBC Aug 2018 A	40,000,000	2017/08/02	2018/08/30	10.00
69578	Macquarie Bank Ltd. – Ping An R Bear CBBC Aug 2018 B	40,000,000	2017/08/02	2018/08/30	10.00
69573	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 A	18,000,000	2017/08/02	2018/03/29	10.03
69642	SG Issuer – AIA R Bull CBBC Feb 2018 B	100,000,000	2017/08/02	2018/02/08	25.00
69648	SG Issuer – CCB R Bull CBBC Jul 2018 B	100,000,000	2017/08/02	2018/07/31	25.00
69650	SG Issuer – CNOOC R Bull CBBC Jul 2018 A	40,000,000	2017/08/02	2018/07/20	10.00
69678	SG Issuer – HSI R Bull CBBC Oct 2018 N	200,000,000	2017/08/02	2018/10/30	50.00
69679	SG Issuer – HSI R Bull CBBC Nov 2018 N	200,000,000	2017/08/02	2018/11/29	50.00
69667	SG Issuer – HSI R Bear CBBC Nov 2017 W	200,000,000	2017/08/02	2017/11/29	50.00
69672	SG Issuer – HSI R Bear CBBC Dec 2017 R	200,000,000	2017/08/02	2017/12/28	50.00
69673	SG Issuer – HSI R Bear CBBC Dec 2017 S	200,000,000	2017/08/02	2017/12/28	50.00
69676	SG Issuer – HSI R Bear CBBC Feb 2018 C	200,000,000	2017/08/02	2018/02/27	50.00
69652	SG Issuer – ICBC R Bull CBBC Aug 2018 B	60,000,000	2017/08/02	2018/08/08	15.00
69654	SG Issuer – ICBC R Bear CBBC Oct 2018 A	60,000,000	2017/08/02	2018/10/10	15.00
69662	SG Issuer – PetroChina R Bull CBBC Mar 2018 B	40,000,000	2017/08/02	2018/03/08	10.00
69622	UBS AG – HSI R Bull CBBC Nov 2018 F	200,000,000	2017/08/02	2018/11/29	50.00
69618	UBS AG – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/02	2017/11/29	50.00
69683	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 E	150,000,000	2017/08/03	2018/08/30	37.50
69697	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 P	100,000,000	2017/08/03	2018/08/30	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69762	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 P	250,000,000	2017/08/03	2018/09/27	62.50
69766	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 Q	250,000,000	2017/08/03	2018/09/27	62.50
69784	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 R	250,000,000	2017/08/03	2018/09/27	62.50
69887	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 S	250,000,000	2017/08/03	2018/09/27	62.50
69699	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 E	250,000,000	2017/08/03	2018/03/28	62.50
69682	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 E	200,000,000	2017/08/03	2018/08/30	50.00
69877	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 G	50,000,000	2017/08/03	2018/08/30	12.50
60088	Credit Suisse AG – HKEX R Bull CBBC Apr 2018 B	70,000,000	2017/08/03	2018/04/12	17.50
60089	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 D	50,000,000	2017/08/03	2018/03/22	19.50
60092	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 E	50,000,000	2017/08/03	2018/03/28	22.00
60068	Credit Suisse AG – HSBC R Bull CBBC Jan 2018 I	35,000,000	2017/08/03	2018/01/31	14.70
60049	Credit Suisse AG – HSI R Bull CBBC Apr 2018 N	200,000,000	2017/08/03	2018/04/27	50.00
60051	Credit Suisse AG – HSI R Bull CBBC Sep 2018 V	200,000,000	2017/08/03	2018/09/27	50.00
60042	Credit Suisse AG – HSI R Bear CBBC Nov 2017 B	200,000,000	2017/08/03	2017/11/29	50.00
60055	Credit Suisse AG – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/08/03	2017/11/29	50.00
60058	Credit Suisse AG – HSI R Bear CBBC Nov 2017 T	200,000,000	2017/08/03	2017/11/29	50.00
60054	Credit Suisse AG – HSI R Bear CBBC Dec 2017 A	200,000,000	2017/08/03	2017/12/28	50.00
60063	Credit Suisse AG – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/08/03	2017/12/28	50.00
60044	Credit Suisse AG – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/08/03	2017/12/28	50.00
60080	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 E	50,000,000	2017/08/03	2018/04/12	12.50
60083	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 C	60,000,000	2017/08/03	2018/03/02	36.00
69888	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 I	200,000,000	2017/08/03	2018/08/30	50.00
69890	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 R	200,000,000	2017/08/03	2018/09/27	50.00
69698	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 C	200,000,000	2017/08/03	2017/11/29	50.00
69832	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/08/03	2017/12/28	50.00
69750	HK Bank – CC Bank R Bull CBBC Aug 2018 D	50,000,000	2017/08/03	2018/08/06	12.50
69740	HK Bank – CNOOC R Bull CBBC Aug 2018 A	50,000,000	2017/08/03	2018/08/06	12.50
60000	HK Bank – HKEX R Bull CBBC Jul 2018 N	50,000,000	2017/08/03	2018/07/03	12.50
60002	HK Bank – HKEX R Bear CBBC Jul 2018 E	40,000,000	2017/08/03	2018/07/16	16.00
69758	HK Bank – HKT-SS R Bull CBBC Nov 2018 A	40,000,000	2017/08/03	2018/11/26	10.00
60003	HK Bank – HSI R Bull CBBC Mar 2018 J	100,000,000	2017/08/03	2018/03/28	25.00
69785	HK Bank – HSI R Bull CBBC Apr 2018 R	150,000,000	2017/08/03	2018/04/27	37.50
69814	HK Bank – HSI R Bull CBBC Apr 2018 S	100,000,000	2017/08/03	2018/04/27	25.00
69819	HK Bank – HSI R Bear CBBC Jul 2018 L	100,000,000	2017/08/03	2018/07/30	25.00
69826	HK Bank – HSI R Bear CBBC Jul 2018 M	100,000,000	2017/08/03	2018/07/30	25.00
69815	HK Bank – HSI R Bear CBBC Oct 2018 D	150,000,000	2017/08/03	2018/10/30	37.50
69751	HK Bank – ICBC R Bull CBBC Aug 2018 B	50,000,000	2017/08/03	2018/08/06	12.50
69752	HK Bank – ICBC R Bear CBBC Sep 2018 A	40,000,000	2017/08/03	2018/09/17	10.00
69743	HK Bank – Lenovo R Bear CBBC May 2018 B	40,000,000	2017/08/03	2018/05/07	10.00
69744	HK Bank – Lenovo R Bear CBBC Sep 2018 A	40,000,000	2017/08/03	2018/09/17	10.00
69731	HK Bank – PetCh R Bull CBBC Aug 2018 C	50,000,000	2017/08/03	2018/08/06	12.50
69997	HK Bank – Tencent R Bull CBBC Jan 2018 S	60,000,000	2017/08/03	2018/01/29	15.00
69729	HK Bank – Tencent R Bull CBBC Mar 2018 V	60,000,000	2017/08/03	2018/03/19	15.00
69998	HK Bank – Tencent R Bear CBBC Aug 2018 E	50,000,000	2017/08/03	2018/08/06	20.00
69701	HK Bank – Tracker Fund R Bull CBBC Dec 2018 B	50,000,000	2017/08/03	2018/12/17	12.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60011	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 Q	40,000,000	2017/08/03	2017/12/15	10.00
60012	J P Morgan SP BV – HKEX R Bear CBBC Jun 2018 A	40,000,000	2017/08/03	2018/06/15	14.00
60013	J P Morgan SP BV – HSBC R Bull CBBC Oct 2018 A	100,000,000	2017/08/03	2018/10/19	25.00
60014	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 Q	200,000,000	2017/08/03	2018/10/30	50.00
60015	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 F	200,000,000	2017/08/03	2018/11/29	50.00
60004	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/08/03	2017/11/29	50.00
60016	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 W	200,000,000	2017/08/03	2018/01/30	50.00
60017	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 I	200,000,000	2017/08/03	2018/02/27	50.00
60019	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 J	200,000,000	2017/08/03	2018/02/27	64.00
60006	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 H	40,000,000	2017/08/03	2018/01/19	10.00
60007	J P Morgan SP BV – Tencent R Bear CBBC Jun 2018 F	40,000,000	2017/08/03	2018/06/15	18.00
69837	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 I	40,000,000	2017/08/03	2018/07/30	10.00
69843	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 J	40,000,000	2017/08/03	2018/07/30	10.00
69863	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 K	40,000,000	2017/08/03	2018/07/30	10.00
69865	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 L	40,000,000	2017/08/03	2018/07/30	10.00
69870	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 M	40,000,000	2017/08/03	2018/07/30	10.00
69876	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2018 N	40,000,000	2017/08/03	2018/07/30	10.00
69972	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 A	29,000,000	2017/08/03	2018/03/29	10.03
69976	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 B	18,000,000	2017/08/03	2018/03/29	10.39
60105	SG Issuer – BOCL R Bull CBBC Jul 2018 A	100,000,000	2017/08/03	2018/07/27	25.00
60116	SG Issuer – COVS R Bull CBBC Mar 2018 A	40,000,000	2017/08/03	2018/03/16	10.00
60125	SG Issuer – HKEX R Bull CBBC Jan 2018 I	50,000,000	2017/08/03	2018/01/16	12.50
60129	SG Issuer – HKEX R Bear CBBC Jun 2018 D	50,000,000	2017/08/03	2018/06/22	17.50
60039	SG Issuer – HSI R Bull CBBC Nov 2018 Q	200,000,000	2017/08/03	2018/11/29	50.00
60040	SG Issuer – HSI R Bull CBBC Dec 2018 H	200,000,000	2017/08/03	2018/12/28	50.00
60021	SG Issuer – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/08/03	2017/11/29	50.00
60031	SG Issuer – HSI R Bear CBBC Nov 2017 Y	200,000,000	2017/08/03	2017/11/29	50.00
60033	SG Issuer – HSI R Bear CBBC Nov 2017 Z	200,000,000	2017/08/03	2017/11/29	50.00
60034	SG Issuer – HSI R Bear CBBC Dec 2017 T	200,000,000	2017/08/03	2017/12/28	50.00
60035	SG Issuer – HSI R Bear CBBC Dec 2017 U	200,000,000	2017/08/03	2017/12/28	50.00
60036	SG Issuer – HSI R Bear CBBC Jan 2018 C	200,000,000	2017/08/03	2018/01/30	50.00
60037	SG Issuer – HSI R Bear CBBC Jan 2018 D	200,000,000	2017/08/03	2018/01/30	50.00
60038	SG Issuer – HSI R Bear CBBC Mar 2018 C	200,000,000	2017/08/03	2018/03/28	66.00
60130	SG Issuer – Tencent R Bull CBBC Dec 2017 J	80,000,000	2017/08/03	2017/12/22	20.00
69836	SG Issuer – Tencent R Bear CBBC Jun 2018 B	80,000,000	2017/08/03	2018/06/14	20.00
60136	SG Issuer – Tencent R Bear CBBC Sep 2018 A	80,000,000	2017/08/03	2018/09/14	37.60
69894	UBS AG – CC Bank R Bull CBBC Jul 2018 B	60,000,000	2017/08/03	2018/07/23	15.00
69968	UBS AG – HSI R Bull CBBC Sep 2018 K	250,000,000	2017/08/03	2018/09/27	62.50
69966	UBS AG – HSI R Bull CBBC Oct 2018 F	200,000,000	2017/08/03	2018/10/30	50.00
69892	UBS AG – HSI R Bear CBBC Nov 2017 H	250,000,000	2017/08/03	2017/11/29	62.50
69950	UBS AG – HSI R Bear CBBC Nov 2017 I	250,000,000	2017/08/03	2017/11/29	62.50
60235	Credit Suisse AG – AIA R Bull CBBC Feb 2018 B	50,000,000	2017/08/04	2018/02/09	12.50
60219	Credit Suisse AG – HKEX R Bull CBBC Feb 2018 A	80,000,000	2017/08/04	2018/02/28	20.00
60262	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 C	150,000,000	2017/08/04	2018/04/27	37.50
60212	Credit Suisse AG – HSI R Bull CBBC Mar 2018 Q	200,000,000	2017/08/04	2018/03/28	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60243	Credit Suisse AG – HSI R Bull CBBC Mar 2018 R	200,000,000	2017/08/04	2018/03/28	50.00
60204	Credit Suisse AG – HSI R Bull CBBC Sep 2018 W	200,000,000	2017/08/04	2018/09/27	50.00
60215	Credit Suisse AG – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/08/04	2017/12/28	50.00
60232	Credit Suisse AG – Lenovo R Bull CBBC Mar 2018 B	50,000,000	2017/08/04	2018/03/02	12.50
60224	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 O	50,000,000	2017/08/04	2018/03/28	12.50
60227	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 K	60,000,000	2017/08/04	2018/02/15	15.00
60259	Bank of East Asia – CKH R Bull CBBC May 2018 A	40,000,000	2017/08/04	2018/05/11	10.00
60257	Bank of East Asia – Lenovo R Bull CBBC May 2018 A	40,000,000	2017/08/04	2018/05/11	10.00
60245	Bank of East Asia – PICC P&C R Bull CBBC May 2018 A	40,000,000	2017/08/04	2018/05/11	10.00
60283	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/08/04	2017/12/28	50.00
60191	HK Bank – AIA R Bear CBBC Jul 2018 B	40,000,000	2017/08/04	2018/07/03	10.00
60190	HK Bank – COVS R Bull CBBC Jul 2018 A	40,000,000	2017/08/04	2018/07/16	10.00
60182	HK Bank – COVS R Bull CBBC Aug 2018 A	40,000,000	2017/08/04	2018/08/06	14.00
60176	HK Bank – Sinopec Corp R Bear CBBC Jan 2018 A	40,000,000	2017/08/04	2018/01/29	10.00
60181	HK Bank – Sinopec Corp R Bear CBBC Mar 2018 A	40,000,000	2017/08/04	2018/03/19	10.00
60193	HK Bank – China Shenhua R Bull CBBC Aug 2018 A	40,000,000	2017/08/04	2018/08/06	10.00
60172	HK Bank – HSI R Bear CBBC Mar 2018 R	100,000,000	2017/08/04	2018/03/28	25.00
60192	HK Bank – Lenovo R Bull CBBC Aug 2018 B	40,000,000	2017/08/04	2018/08/13	10.00
60163	Haitong Int'l Sec – BOCL R Bull CBBC Jul 2018 A	100,000,000	2017/08/04	2018/07/27	25.00
60151	Haitong Int'l Sec – CC Bank R Bull CBBC Jul 2018 A	100,000,000	2017/08/04	2018/07/30	25.00
60155	Haitong Int'l Sec – ICBC R Bull CBBC Jul 2018 A	100,000,000	2017/08/04	2018/07/30	25.00
60148	Haitong Int'l Sec – Lenovo R Bull CBBC Aug 2018 A	100,000,000	2017/08/04	2018/08/10	25.00
60142	Haitong Int'l Sec – Tencent R Bull CBBC Jun 2018 D	60,000,000	2017/08/04	2018/06/28	15.00
60143	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 B	60,000,000	2017/08/04	2018/04/26	15.00
60315	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 R	200,000,000	2017/08/04	2018/10/30	50.00
60325	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 G	200,000,000	2017/08/04	2018/11/29	50.00
60331	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/08/04	2017/11/29	50.00
60140	SG Issuer – CMob R Bull CBBC Feb 2018 C	100,000,000	2017/08/04	2018/02/08	25.00
60141	SG Issuer – HKEX R Bull CBBC Feb 2018 G	50,000,000	2017/08/04	2018/02/22	12.50
60343	SG Issuer – HSI R Bull CBBC Dec 2018 I	200,000,000	2017/08/04	2018/12/28	50.00
60341	SG Issuer – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/08/04	2017/12/28	50.00
60194	SG Issuer – Lenovo R Bull CBBC Oct 2018 B	40,000,000	2017/08/04	2018/10/15	10.00
60196	SG Issuer – PICC P&C R Bull CBBC Dec 2018 A	40,000,000	2017/08/04	2018/12/03	13.80
60197	SG Issuer – Ping An R Bull CBBC Mar 2018 G	100,000,000	2017/08/04	2018/03/09	25.00
60295	UBS AG – HSI R Bull CBBC Aug 2018 D	250,000,000	2017/08/04	2018/08/30	62.50
60291	UBS AG – HSI R Bull CBBC Nov 2018 G	250,000,000	2017/08/04	2018/11/29	62.50
60306	UBS AG – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/08/04	2017/11/29	50.00
60311	UBS AG – HSI R Bear CBBC Nov 2017 K	250,000,000	2017/08/04	2017/11/29	62.50
60296	UBS AG – HSI R Bear CBBC Dec 2017 A	250,000,000	2017/08/04	2017/12/28	65.00
60312	UBS AG – Lenovo R Bull CBBC Aug 2018 A	40,000,000	2017/08/04	2018/08/20	10.00
60493	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 T	250,000,000	2017/08/07	2018/09/27	62.50
60503	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 U	250,000,000	2017/08/07	2018/09/27	62.50
60345	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 F	250,000,000	2017/08/07	2018/03/28	62.50
60353	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 G	250,000,000	2017/08/07	2018/03/28	62.50
60354	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 H	250,000,000	2017/08/07	2018/03/28	68.75

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60374	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 I	250,000,000	2017/08/07	2018/03/28	62.50
60409	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 J	250,000,000	2017/08/07	2018/03/28	62.50
60515	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 F	200,000,000	2017/08/07	2018/08/30	50.00
60513	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 H	50,000,000	2017/08/07	2018/08/30	12.50
60514	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 X	50,000,000	2017/08/07	2018/04/27	17.50
60577	Credit Suisse AG – AIA R Bull CBBC Feb 2018 C	50,000,000	2017/08/07	2018/02/15	12.50
60578	Credit Suisse AG – AIA R Bear CBBC Feb 2018 B	100,000,000	2017/08/07	2018/02/15	25.00
60569	Credit Suisse AG – BOCL R Bull CBBC May 2018 B	100,000,000	2017/08/07	2018/05/18	25.00
60573	Credit Suisse AG – BOCL R Bear CBBC Apr 2018 B	100,000,000	2017/08/07	2018/04/27	25.00
60609	Credit Suisse AG – HSBC R Bull CBBC Feb 2018 E	100,000,000	2017/08/07	2018/02/02	25.00
60612	Credit Suisse AG – HSBC R Bear CBBC Jan 2018 E	100,000,000	2017/08/07	2018/01/31	25.00
60620	Credit Suisse AG – HSBC R Bear CBBC Feb 2018 C	50,000,000	2017/08/07	2018/02/02	50.00
60546	Credit Suisse AG – HSI R Bull CBBC Mar 2018 S	200,000,000	2017/08/07	2018/03/28	50.00
60551	Credit Suisse AG – HSI R Bull CBBC Apr 2018 O	200,000,000	2017/08/07	2018/04/27	50.00
60523	Credit Suisse AG – HSI R Bull CBBC Sep 2018 X	200,000,000	2017/08/07	2018/09/27	50.00
60553	Credit Suisse AG – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/08/07	2017/11/29	50.00
60519	Credit Suisse AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/07	2017/11/29	50.00
60556	Credit Suisse AG – HSI R Bear CBBC Dec 2017 B	200,000,000	2017/08/07	2017/12/28	50.00
60520	Credit Suisse AG – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/08/07	2017/12/28	50.00
60557	Credit Suisse AG – HSI R Bear CBBC Dec 2017 S	200,000,000	2017/08/07	2017/12/28	50.00
60552	Credit Suisse AG – HSI R Bear CBBC Jan 2018 A	200,000,000	2017/08/07	2018/01/30	50.00
60561	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 F	50,000,000	2017/08/07	2018/04/06	12.50
60562	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 D	100,000,000	2017/08/07	2018/03/09	25.00
60564	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 E	100,000,000	2017/08/07	2018/03/29	49.00
60418	Bank of East Asia – CM Bank R Bull CBBC Jun 2018 A	25,000,000	2017/08/07	2018/06/04	10.00
60424	Bank of East Asia – HSBC Holdings R Bull CBBC Feb 2018 A	60,000,000	2017/08/07	2018/02/15	15.00
60411	Bank of East Asia – Tencent R Bull CBBC Jun 2018 J	40,000,000	2017/08/07	2018/06/04	10.00
60423	Bank of East Asia – Tencent R Bull CBBC Jun 2018 K	40,000,000	2017/08/07	2018/06/04	10.00
60415	Bank of East Asia – Tencent R Bear CBBC Jun 2018 D	40,000,000	2017/08/07	2018/06/04	10.00
60442	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 S	200,000,000	2017/08/07	2018/09/27	50.00
60445	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 O	200,000,000	2017/08/07	2018/10/30	50.00
60407	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/08/07	2017/11/29	50.00
60408	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/08/07	2017/12/28	50.00
60398	HK Bank – AAC Tech R Bull CBBC Aug 2018 A	40,000,000	2017/08/07	2018/08/06	10.00
60389	HK Bank – CM Bank R Bull CBBC Aug 2018 A	40,000,000	2017/08/07	2018/08/06	16.00
60384	HK Bank – Great Wall Motor R Bull CBBC Jul 2018 D	40,000,000	2017/08/07	2018/07/03	10.00
60430	HK Bank – HKEX R Bull CBBC Jun 2018 F	50,000,000	2017/08/07	2018/06/25	12.50
60434	HK Bank – Sunny Optical R Bull CBBC Aug 2018 A	40,000,000	2017/08/07	2018/08/06	12.00
60433	HK Bank – Tencent R Bull CBBC Jan 2018 T	60,000,000	2017/08/07	2018/01/15	15.00
60377	HK Bank – Tencent R Bull CBBC Feb 2018 F	60,000,000	2017/08/07	2018/02/05	15.00
60406	HK Bank – Tencent R Bull CBBC Feb 2018 G	60,000,000	2017/08/07	2018/02/12	15.00
60380	HK Bank – Tencent R Bear CBBC Aug 2018 F	50,000,000	2017/08/07	2018/08/13	22.50
60629	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 S	200,000,000	2017/08/07	2018/10/30	50.00
60630	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 H	200,000,000	2017/08/07	2018/11/29	50.00
60622	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/08/07	2017/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60625	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 K	200,000,000	2017/08/07	2017/11/29	50.00
60627	J P Morgan SP BV – Ping An R Bull CBBC May 2018 D	100,000,000	2017/08/07	2018/05/18	25.00
60626	J P Morgan SP BV – Tencent R Bull CBBC Feb 2018 E	40,000,000	2017/08/07	2018/02/15	10.00
60420	SG Issuer – Galaxy R Bull CBBC Mar 2018 D	100,000,000	2017/08/07	2018/03/22	25.00
60701	SG Issuer – HSBC R Bull CBBC Jun 2018 D	100,000,000	2017/08/07	2018/06/28	25.00
60762	SG Issuer – HSI R Bull CBBC Nov 2018 R	200,000,000	2017/08/07	2018/11/29	50.00
60764	SG Issuer – HSI R Bull CBBC Dec 2018 J	200,000,000	2017/08/07	2018/12/28	50.00
60765	SG Issuer – HSI R Bull CBBC Jan 2019 C	200,000,000	2017/08/07	2019/01/30	50.00
60731	SG Issuer – HSI R Bear CBBC Nov 2017 A	200,000,000	2017/08/07	2017/11/29	50.00
60732	SG Issuer – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/08/07	2017/11/29	50.00
60745	SG Issuer – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/08/07	2017/11/29	50.00
60747	SG Issuer – HSI R Bear CBBC Dec 2017 W	200,000,000	2017/08/07	2017/12/28	50.00
60758	SG Issuer – HSI R Bear CBBC Jan 2018 E	200,000,000	2017/08/07	2018/01/30	50.00
60705	SG Issuer – Ping An R Bull CBBC Mar 2018 H	100,000,000	2017/08/07	2018/03/08	25.00
60710	SG Issuer – Tencent R Bull CBBC Jan 2018 Q	80,000,000	2017/08/07	2018/01/25	20.00
60720	SG Issuer – Tencent R Bull CBBC Mar 2018 O	80,000,000	2017/08/07	2018/03/21	20.00
60356	SG Issuer – Tencent R Bear CBBC Mar 2018 F	80,000,000	2017/08/07	2018/03/28	20.00
60724	SG Issuer – Tencent R Bear CBBC Mar 2018 G	80,000,000	2017/08/07	2018/03/21	20.00
60727	SG Issuer – Tencent R Bear CBBC Jun 2018 C	80,000,000	2017/08/07	2018/06/22	38.00
60665	UBS AG – HSBC R Bull CBBC May 2018 A	50,000,000	2017/08/07	2018/05/29	12.50
60670	UBS AG – HSBC R Bear CBBC Dec 2017 A	50,000,000	2017/08/07	2017/12/21	12.50
60632	UBS AG – HSI R Bull CBBC Sep 2018 L	200,000,000	2017/08/07	2018/09/27	50.00
60652	UBS AG – HSI R Bull CBBC Oct 2018 G	200,000,000	2017/08/07	2018/10/30	50.00
60635	UBS AG – HSI R Bull CBBC Nov 2018 H	250,000,000	2017/08/07	2018/11/29	62.50
60448	UBS AG – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/08/07	2017/11/29	50.00
60485	UBS AG – HSI R Bear CBBC Nov 2017 M	200,000,000	2017/08/07	2017/11/29	50.00
60682	UBS AG – Tencent R Bull CBBC Apr 2018 A	50,000,000	2017/08/07	2018/04/09	12.50
60693	UBS AG – Tencent R Bull CBBC Apr 2018 B	50,000,000	2017/08/07	2018/04/16	12.50
60696	UBS AG – Tencent R Bear CBBC Jan 2018 H	50,000,000	2017/08/07	2018/01/17	12.50
60699	UBS AG – Tencent R Bear CBBC Jan 2018 I	50,000,000	2017/08/07	2018/01/24	16.25
60899	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 H	50,000,000	2017/08/08	2018/08/30	12.50
60908	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 Q	100,000,000	2017/08/08	2018/08/30	25.00
60801	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 V	250,000,000	2017/08/08	2018/09/27	62.50
60804	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 W	250,000,000	2017/08/08	2018/09/27	62.50
60775	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 K	250,000,000	2017/08/08	2018/03/28	62.50
60777	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 L	250,000,000	2017/08/08	2018/03/28	62.50
60805	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 M	250,000,000	2017/08/08	2018/03/28	62.50
60806	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 N	250,000,000	2017/08/08	2018/03/28	62.50
60902	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 F	50,000,000	2017/08/08	2018/08/30	12.50
60906	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 Y	50,000,000	2017/08/08	2018/04/27	17.50
61388	Credit Suisse AG – BOCL R Bull CBBC May 2018 C	100,000,000	2017/08/08	2018/05/11	25.00
61397	Credit Suisse AG – BOCL R Bear CBBC Apr 2018 C	100,000,000	2017/08/08	2018/04/19	25.00
61380	Credit Suisse AG – CCB R Bear CBBC Mar 2018 A	100,000,000	2017/08/08	2018/03/02	25.00
61399	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 A	50,000,000	2017/08/08	2018/06/15	12.50
61401	Credit Suisse AG – HKEX R Bear CBBC Jun 2018 A	80,000,000	2017/08/08	2018/06/14	37.60

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61311	Credit Suisse AG – HSBC R Bear CBBC Apr 2018 A	40,000,000	2017/08/08	2018/04/20	56.00
61367	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 D	120,000,000	2017/08/08	2018/04/27	30.00
61373	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 E	120,000,000	2017/08/08	2018/04/27	30.00
61377	Credit Suisse AG – HSCEI R Bear CBBC Dec 2017 B	150,000,000	2017/08/08	2017/12/28	37.50
61326	Credit Suisse AG – HSI R Bull CBBC Apr 2018 P	200,000,000	2017/08/08	2018/04/27	50.00
61330	Credit Suisse AG – HSI R Bull CBBC Apr 2018 Q	200,000,000	2017/08/08	2018/04/27	50.00
61329	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Y	200,000,000	2017/08/08	2018/09/27	50.00
61332	Credit Suisse AG – HSI R Bear CBBC Nov 2017 C	200,000,000	2017/08/08	2017/11/29	50.00
61307	Credit Suisse AG – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/08/08	2017/11/29	50.00
61333	Credit Suisse AG – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/08/08	2017/11/29	50.00
61334	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Z	200,000,000	2017/08/08	2017/11/29	50.00
61309	Credit Suisse AG – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/08/08	2017/12/28	50.00
61335	Credit Suisse AG – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/08/08	2017/12/28	50.00
61344	Credit Suisse AG – HSI R Bear CBBC Dec 2017 L	200,000,000	2017/08/08	2017/12/28	50.00
61331	Credit Suisse AG – HSI R Bear CBBC Jan 2018 B	200,000,000	2017/08/08	2018/01/30	50.00
61345	Credit Suisse AG – HSI R Bear CBBC Jan 2018 C	200,000,000	2017/08/08	2018/01/30	50.00
61351	Credit Suisse AG – HSI R Bear CBBC Jan 2018 D	200,000,000	2017/08/08	2018/01/30	56.00
61358	Credit Suisse AG – HSI R Bear CBBC Feb 2018 A	1,000,000,000	2017/08/08	2018/02/27	250.00
61381	Credit Suisse AG – ICBC R Bull CBBC Mar 2018 B	100,000,000	2017/08/08	2018/03/02	25.00
61384	Credit Suisse AG – ICBC R Bear CBBC Feb 2018 C	100,000,000	2017/08/08	2018/02/28	25.00
61382	Credit Suisse AG – ICBC R Bear CBBC Mar 2018 A	100,000,000	2017/08/08	2018/03/02	25.00
61379	Credit Suisse AG – Ping An R Bull CBBC Mar 2018 F	100,000,000	2017/08/08	2018/03/29	25.00
61317	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 A	100,000,000	2017/08/08	2018/04/13	25.00
61318	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 B	100,000,000	2017/08/08	2018/04/20	25.00
61324	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 C	100,000,000	2017/08/08	2018/04/27	25.00
61402	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 D	100,000,000	2017/08/08	2018/04/12	25.00
61312	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 A	50,000,000	2017/08/08	2018/06/15	12.50
61313	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 F	100,000,000	2017/08/08	2018/03/23	55.00
61315	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 G	100,000,000	2017/08/08	2018/03/28	60.00
61094	Bank of East Asia – AAC Tech R Bull CBBC Jun 2018 A	40,000,000	2017/08/08	2018/06/05	10.00
61008	Bank of East Asia – AIA R Bull CBBC Jun 2018 A	40,000,000	2017/08/08	2018/06/05	10.00
61081	Bank of East Asia – HKEX R Bull CBBC Jun 2018 B	40,000,000	2017/08/08	2018/06/05	10.00
61086	Bank of East Asia – HKEX R Bull CBBC Jun 2018 C	40,000,000	2017/08/08	2018/06/05	10.00
61062	Bank of East Asia – Ping An R Bull CBBC Jun 2018 C	16,000,000	2017/08/08	2018/06/05	10.40
61065	Bank of East Asia – Ping An R Bull CBBC Jun 2018 D	16,000,000	2017/08/08	2018/06/05	10.40
61001	Bank of East Asia – Tencent R Bull CBBC Jun 2018 L	40,000,000	2017/08/08	2018/06/05	10.00
61252	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2018 P	200,000,000	2017/08/08	2018/08/30	50.00
61251	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2018 T	200,000,000	2017/08/08	2018/09/27	50.00
61249	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 P	200,000,000	2017/08/08	2018/10/30	50.00
60774	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/08/08	2017/11/29	50.00
60898	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/08/08	2017/12/28	50.20
61253	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 G	200,000,000	2017/08/08	2017/12/28	50.00
61257	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 H	200,000,000	2017/08/08	2017/12/28	50.00
61258	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2018 A	200,000,000	2017/08/08	2018/01/30	50.00
60864	HK Bank – AIA R Bull CBBC Jul 2018 C	60,000,000	2017/08/08	2018/07/16	15.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60872	HK Bank – China Life R Bull CBBC Aug 2018 A	40,000,000	2017/08/08	2018/08/06	10.00
60833	HK Bank – CSOP A50 ETF R Bull CBBC Feb 2018 C	50,000,000	2017/08/08	2018/02/05	12.50
60844	HK Bank – HKEX R Bull CBBC Mar 2018 H	50,000,000	2017/08/08	2018/03/19	12.50
60821	HK Bank – HSCEI R Bull CBBC Apr 2018 D	60,000,000	2017/08/08	2018/04/27	15.00
60827	HK Bank – HSCEI R Bear CBBC Jul 2018 B	50,000,000	2017/08/08	2018/07/30	12.50
60816	HK Bank – HSI R Bull CBBC Apr 2018 T	100,000,000	2017/08/08	2018/04/27	25.00
60820	HK Bank – HSI R Bull CBBC Apr 2018 U	150,000,000	2017/08/08	2018/04/27	37.50
60824	HK Bank – HSI R Bull CBBC Apr 2018 V	100,000,000	2017/08/08	2018/04/27	25.00
60832	HK Bank – HSI R Bull CBBC Apr 2018 W	100,000,000	2017/08/08	2018/04/27	25.00
60808	HK Bank – HSI R Bear CBBC Jul 2018 N	100,000,000	2017/08/08	2018/07/30	25.00
60812	HK Bank – HSI R Bear CBBC Jul 2018 O	100,000,000	2017/08/08	2018/07/30	25.00
60813	HK Bank – HSI R Bear CBBC Jul 2018 P	100,000,000	2017/08/08	2018/07/30	25.00
60817	HK Bank – HSI R Bear CBBC Jul 2018 Q	100,000,000	2017/08/08	2018/07/30	25.00
60840	HK Bank – Ping An R Bull CBBC Jan 2018 D	80,000,000	2017/08/08	2018/01/29	20.00
60853	HK Bank – Tencent R Bull CBBC Mar 2018 W	60,000,000	2017/08/08	2018/03/19	15.00
60953	J P Morgan SP BV – CC Bank R Bull CBBC Aug 2018 B	100,000,000	2017/08/08	2018/08/17	25.00
60959	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 R	40,000,000	2017/08/08	2017/12/15	10.00
60954	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 E	40,000,000	2017/08/08	2018/01/19	10.00
61245	J P Morgan SP BV – HSI R Bull CBBC Aug 2018 E	200,000,000	2017/08/08	2018/08/30	50.00
60979	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 X	200,000,000	2017/08/08	2018/09/27	50.00
60981	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 T	200,000,000	2017/08/08	2018/10/30	50.00
60985	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 I	200,000,000	2017/08/08	2018/11/29	50.00
60944	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/08/08	2017/11/29	50.00
60986	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 M	200,000,000	2017/08/08	2017/11/29	50.00
60993	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 X	200,000,000	2017/08/08	2018/01/30	50.00
60995	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 K	200,000,000	2017/08/08	2018/02/27	50.00
60997	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 L	200,000,000	2017/08/08	2018/02/27	50.00
61000	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 M	200,000,000	2017/08/08	2018/02/27	50.00
60966	J P Morgan SP BV – Ping An R Bull CBBC Apr 2018 C	100,000,000	2017/08/08	2018/04/20	25.00
60968	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 B	40,000,000	2017/08/08	2017/12/15	10.00
60948	J P Morgan SP BV – Tencent R Bull CBBC Mar 2018 E	40,000,000	2017/08/08	2018/03/16	10.00
60778	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 D	20,000,000	2017/08/08	2018/06/27	10.68
60880	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 E	20,000,000	2017/08/08	2018/06/27	10.78
60784	Macquarie Bank Ltd. – HKEX R Bear CBBC Sep 2018 B	16,000,000	2017/08/08	2018/09/26	18.03
61009	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 L	40,000,000	2017/08/08	2018/08/30	10.00
61010	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 M	40,000,000	2017/08/08	2018/08/30	10.00
61020	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 N	40,000,000	2017/08/08	2018/08/30	10.00
61024	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 O	40,000,000	2017/08/08	2018/08/30	10.00
61033	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 P	40,000,000	2017/08/08	2018/08/30	10.00
61059	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 Q	40,000,000	2017/08/08	2018/08/30	10.00
60891	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 B	26,000,000	2017/08/08	2018/03/29	10.04
60881	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 C	20,000,000	2017/08/08	2018/03/29	10.20
61298	SG Issuer – AIA R Bull CBBC Jun 2018 B	100,000,000	2017/08/08	2018/06/28	25.00
61301	SG Issuer – AIA R Bear CBBC Jun 2018 A	100,000,000	2017/08/08	2018/06/28	25.00
60927	SG Issuer – CKH R Bull CBBC Jun 2018 A	100,000,000	2017/08/08	2018/06/29	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60919	SG Issuer – China Life R Bull CBBC Apr 2018 B	40,000,000	2017/08/08	2018/04/18	10.00
61302	SG Issuer – HKEX R Bull CBBC Mar 2018 G	50,000,000	2017/08/08	2018/03/12	12.50
61304	SG Issuer – HKEX R Bear CBBC Sep 2018 B	50,000,000	2017/08/08	2018/09/13	18.25
60936	SG Issuer – HSBC R Bear CBBC Jun 2018 B	100,000,000	2017/08/08	2018/06/29	25.00
61281	SG Issuer – HSI R Bull CBBC Nov 2018 S	200,000,000	2017/08/08	2018/11/29	50.00
61284	SG Issuer – HSI R Bull CBBC Dec 2018 K	200,000,000	2017/08/08	2018/12/28	50.00
61294	SG Issuer – HSI R Bull CBBC Jan 2019 D	200,000,000	2017/08/08	2019/01/30	50.00
61259	SG Issuer – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/08	2017/11/29	50.00
61260	SG Issuer – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/08/08	2017/11/29	50.00
61261	SG Issuer – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/08/08	2017/11/29	50.00
61273	SG Issuer – HSI R Bear CBBC Dec 2017 B	200,000,000	2017/08/08	2017/12/28	50.00
61262	SG Issuer – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/08/08	2017/12/28	50.00
61268	SG Issuer – HSI R Bear CBBC Dec 2017 Y	200,000,000	2017/08/08	2017/12/28	50.00
61271	SG Issuer – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/08/08	2017/12/28	50.00
61276	SG Issuer – HSI R Bear CBBC Jan 2018 F	200,000,000	2017/08/08	2018/01/30	50.00
61278	SG Issuer – HSI R Bear CBBC Jan 2018 G	200,000,000	2017/08/08	2018/01/30	50.00
61280	SG Issuer – HSI R Bear CBBC Jan 2018 H	200,000,000	2017/08/08	2018/01/30	65.00
60938	SG Issuer – Ping An R Bull CBBC Feb 2018 D	100,000,000	2017/08/08	2018/02/14	25.00
60941	SG Issuer – Ping An R Bear CBBC May 2018 B	100,000,000	2017/08/08	2018/05/10	25.00
60942	SG Issuer – Tencent R Bull CBBC Jan 2018 R	80,000,000	2017/08/08	2018/01/04	20.00
60943	SG Issuer – Tencent R Bull CBBC Feb 2018 P	80,000,000	2017/08/08	2018/02/14	20.00
61213	UBS AG – HSCEI R Bull CBBC Oct 2018 B	100,000,000	2017/08/08	2018/10/30	25.00
61220	UBS AG – HSCEI R Bear CBBC Nov 2017 B	100,000,000	2017/08/08	2017/11/29	25.00
61221	UBS AG – HSI R Bull CBBC Oct 2018 H	250,000,000	2017/08/08	2018/10/30	62.50
61223	UBS AG – HSI R Bull CBBC Nov 2018 I	200,000,000	2017/08/08	2018/11/29	50.00
61235	UBS AG – HSI R Bull CBBC Nov 2018 J	200,000,000	2017/08/08	2018/11/29	50.00
61102	UBS AG – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/08/08	2017/11/29	50.00
61108	UBS AG – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/08/08	2017/11/29	50.00
61133	UBS AG – HSI R Bear CBBC Nov 2017 P	250,000,000	2017/08/08	2017/11/29	62.50
61137	UBS AG – HSI R Bear CBBC Nov 2017 Q	250,000,000	2017/08/08	2017/11/29	62.50
61159	UBS AG – HSI R Bear CBBC Nov 2017 R	250,000,000	2017/08/08	2017/11/29	62.50
61169	UBS AG – HSI R Bear CBBC Nov 2017 S	250,000,000	2017/08/08	2017/11/29	62.50
61185	UBS AG – HSI R Bear CBBC Dec 2017 B	200,000,000	2017/08/08	2017/12/28	50.00
61186	UBS AG – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/08/08	2017/12/28	50.00
61191	UBS AG – Tencent R Bull CBBC Jan 2018 F	50,000,000	2017/08/08	2018/01/15	12.50
61227	UBS AG – Tencent R Bear CBBC Apr 2018 A	50,000,000	2017/08/08	2018/04/23	18.25
61541	BNP Paribas Issuance B.V. – Ch Life R Bull CBBC Aug 2018 C	50,000,000	2017/08/09	2018/08/30	12.50
61539	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 X	250,000,000	2017/08/09	2018/09/27	62.50
61407	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 O	250,000,000	2017/08/09	2018/03/28	62.50
61412	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 P	250,000,000	2017/08/09	2018/03/28	62.50
61418	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 Q	250,000,000	2017/08/09	2018/03/28	62.50
61419	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 R	250,000,000	2017/08/09	2018/03/28	62.50
61423	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 S	250,000,000	2017/08/09	2018/03/28	67.50
61867	Credit Suisse AG – ABC R Bull CBBC Sep 2018 A	50,000,000	2017/08/09	2018/09/14	12.50
61868	Credit Suisse AG – ABC R Bull CBBC Sep 2018 B	50,000,000	2017/08/09	2018/09/21	12.50

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61948	Credit Suisse AG – CUni R Bull CBBC Mar 2018 A	50,000,000	2017/08/09	2018/03/02	12.50
61853	Credit Suisse AG – CCB R Bull CBBC Sep 2018 C	70,000,000	2017/08/09	2018/09/14	17.50
61838	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Mar 2018 B	50,000,000	2017/08/09	2018/03/29	12.50
61814	Credit Suisse AG – Geely Auto R Bull CBBC Mar 2018 B	50,000,000	2017/08/09	2018/03/02	12.50
61869	Credit Suisse AG – Galaxy Ent R Bull CBBC Mar 2018 G	50,000,000	2017/08/09	2018/03/21	12.50
61795	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 A	50,000,000	2017/08/09	2018/06/08	12.50
61807	Credit Suisse AG – Galaxy Ent R Bear CBBC Jun 2018 A	100,000,000	2017/08/09	2018/06/01	25.00
61825	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 B	50,000,000	2017/08/09	2018/06/08	12.50
61837	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 F	100,000,000	2017/08/09	2018/03/16	45.00
61956	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 F	150,000,000	2017/08/09	2018/04/27	37.50
61936	Credit Suisse AG – HSI R Bull CBBC Mar 2018 T	200,000,000	2017/08/09	2018/03/28	50.00
61651	Credit Suisse AG – HSI R Bull CBBC Apr 2018 R	200,000,000	2017/08/09	2018/04/27	50.00
61935	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Z	200,000,000	2017/08/09	2018/09/27	50.00
61555	Credit Suisse AG – HSI R Bear CBBC Nov 2017 A	200,000,000	2017/08/09	2017/11/29	50.00
61944	Credit Suisse AG – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/09	2017/11/29	50.00
61943	Credit Suisse AG – HSI R Bear CBBC Nov 2017 W	200,000,000	2017/08/09	2017/11/29	50.00
61582	Credit Suisse AG – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/08/09	2017/12/28	50.00
61939	Credit Suisse AG – HSI R Bear CBBC Jan 2018 E	200,000,000	2017/08/09	2018/01/30	50.00
61945	Credit Suisse AG – HSI R Bear CBBC Jan 2018 F	200,000,000	2017/08/09	2018/01/30	50.00
61865	Credit Suisse AG – Sunny Optical R Bull CBBC Mar 2018 A	40,000,000	2017/08/09	2018/03/02	10.00
61542	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 Q	200,000,000	2017/08/09	2018/10/30	50.00
61468	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 I	200,000,000	2017/08/09	2017/12/28	51.80
61472	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/08/09	2017/12/28	50.00
61907	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/08/09	2017/12/28	50.00
61914	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 L	200,000,000	2017/08/09	2017/12/28	50.00
61916	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/08/09	2017/12/28	50.00
61917	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/08/09	2017/12/28	50.00
61918	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2018 B	200,000,000	2017/08/09	2018/01/30	50.00
61934	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2018 C	200,000,000	2017/08/09	2018/01/30	50.80
61517	HK Bank – AAC Tech R Bull CBBC Jul 2018 A	40,000,000	2017/08/09	2018/07/16	10.00
61526	HK Bank – ABC R Bull CBBC Aug 2018 D	50,000,000	2017/08/09	2018/08/06	12.50
61511	HK Bank – BOCL R Bull CBBC Aug 2018 C	50,000,000	2017/08/09	2018/08/06	12.50
61490	HK Bank – HKEX R Bull CBBC Feb 2018 C	50,000,000	2017/08/09	2018/02/12	12.50
61491	HK Bank – HKEX R Bull CBBC Feb 2018 D	50,000,000	2017/08/09	2018/02/05	12.50
61493	HK Bank – HKEX R Bear CBBC Jul 2018 F	40,000,000	2017/08/09	2018/07/03	16.00
61530	HK Bank – HSCEI R Bull CBBC Mar 2018 B	60,000,000	2017/08/09	2018/03/28	15.00
61518	HK Bank – HSI R Bull CBBC Mar 2018 K	100,000,000	2017/08/09	2018/03/28	25.00
61504	HK Bank – Tencent R Bear CBBC Jul 2018 H	50,000,000	2017/08/09	2018/07/16	22.50
61450	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 D	40,000,000	2017/08/09	2018/06/25	10.00
61439	Haitong Int'l Sec – HSBC R Bull CBBC Jun 2018 D	80,000,000	2017/08/09	2018/06/29	20.00
61444	Haitong Int'l Sec – HSBC R Bear CBBC Feb 2018 A	80,000,000	2017/08/09	2018/02/14	20.00
61448	Haitong Int'l Sec – HSBC R Bear CBBC Feb 2018 B	80,000,000	2017/08/09	2018/02/14	20.00
61429	Haitong Int'l Sec – Ping An R Bull CBBC Aug 2018 B	100,000,000	2017/08/09	2018/08/17	25.00
61436	Haitong Int'l Sec – Ping An R Bear CBBC May 2018 A	100,000,000	2017/08/09	2018/05/15	25.00
61427	Haitong Int'l Sec – Tencent R Bull CBBC Jun 2018 E	40,000,000	2017/08/09	2018/06/27	10.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61464	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 C	40,000,000	2017/08/09	2018/04/25	10.00
61466	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 D	40,000,000	2017/08/09	2018/04/24	11.56
61794	J P Morgan SP BV – BOCL R Bull CBBC Aug 2018 A	200,000,000	2017/08/09	2018/08/17	50.00
61737	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2018 B	150,000,000	2017/08/09	2018/09/21	37.50
61742	J P Morgan SP BV – HKEX R Bull CBBC Feb 2018 E	40,000,000	2017/08/09	2018/02/15	10.00
61740	J P Morgan SP BV – HSBC R Bull CBBC Nov 2018 A	100,000,000	2017/08/09	2018/11/16	25.00
61762	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2018 D	100,000,000	2017/08/09	2018/04/27	25.00
61758	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 U	200,000,000	2017/08/09	2018/10/30	50.00
61759	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 J	200,000,000	2017/08/09	2018/11/29	50.00
61761	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 A	200,000,000	2017/08/09	2018/12/28	50.00
61736	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/08/09	2017/11/29	50.00
61769	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/08/09	2017/11/29	50.00
61770	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/08/09	2017/12/28	50.00
61774	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 Y	200,000,000	2017/08/09	2018/01/30	50.00
61776	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 N	200,000,000	2017/08/09	2018/02/27	50.00
61789	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 O	200,000,000	2017/08/09	2018/02/27	64.00
61553	Macquarie Bank Ltd. – CC Bank R Bull CBBC Jul 2018 A	40,000,000	2017/08/09	2018/07/30	10.00
61543	Macquarie Bank Ltd. – CC Bank R Bear CBBC Jul 2018 A	40,000,000	2017/08/09	2018/07/30	10.56
61546	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 F	18,000,000	2017/08/09	2018/06/27	12.04
61618	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2018 A	40,000,000	2017/08/09	2018/08/30	10.00
61620	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2018 B	40,000,000	2017/08/09	2018/08/30	10.00
61638	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2018 C	40,000,000	2017/08/09	2018/08/30	10.00
61639	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2018 D	40,000,000	2017/08/09	2018/08/30	10.00
61640	Macquarie Bank Ltd. – HSI R Bull CBBC Aug 2018 E	40,000,000	2017/08/09	2018/08/30	10.00
61583	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 R	40,000,000	2017/08/09	2018/08/30	10.00
61613	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 S	40,000,000	2017/08/09	2018/08/30	10.00
61614	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 T	40,000,000	2017/08/09	2018/08/30	10.00
61616	Macquarie Bank Ltd. – HSI R Bear CBBC Aug 2018 U	40,000,000	2017/08/09	2018/08/30	10.00
61550	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 C	25,000,000	2017/08/09	2018/03/29	10.00
61554	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 D	17,000,000	2017/08/09	2018/03/29	10.56
61897	SG Issuer – CKH R Bull CBBC Feb 2018 A	100,000,000	2017/08/09	2018/02/22	25.00
61735	SG Issuer – HKEX R Bull CBBC Dec 2017 G	50,000,000	2017/08/09	2017/12/18	12.50
61899	SG Issuer – HKEX R Bull CBBC Mar 2018 H	50,000,000	2017/08/09	2018/03/22	12.50
61900	SG Issuer – HSBC R Bull CBBC Jun 2018 E	100,000,000	2017/08/09	2018/06/21	25.00
61489	SG Issuer – HSCEI R Bull CBBC Dec 2018 G	200,000,000	2017/08/09	2018/12/28	50.00
61483	SG Issuer – HSCEI R Bear CBBC Feb 2018 C	200,000,000	2017/08/09	2018/02/27	50.00
61487	SG Issuer – HSCEI R Bear CBBC Mar 2018 D	200,000,000	2017/08/09	2018/03/28	50.00
61872	SG Issuer – HSI R Bull CBBC Sep 2018 U	200,000,000	2017/08/09	2018/09/27	50.00
61733	SG Issuer – HSI R Bull CBBC Nov 2018 T	200,000,000	2017/08/09	2018/11/29	50.00
61891	SG Issuer – HSI R Bull CBBC Jan 2019 E	200,000,000	2017/08/09	2019/01/30	50.00
61894	SG Issuer – HSI R Bull CBBC Jan 2019 F	200,000,000	2017/08/09	2019/01/30	50.00
61870	SG Issuer – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/08/09	2017/11/29	50.00
61871	SG Issuer – HSI R Bear CBBC Jan 2018 I	200,000,000	2017/08/09	2018/01/30	50.00
61906	SG Issuer – Tencent R Bear CBBC Mar 2018 H	80,000,000	2017/08/09	2018/03/22	20.00
61699	UBS AG – HKEX R Bull CBBC Jun 2018 A	50,000,000	2017/08/09	2018/06/11	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61702	UBS AG – HKEX R Bull CBBC Sep 2018 B	50,000,000	2017/08/09	2018/09/24	12.50
61705	UBS AG – HKEX R Bull CBBC Oct 2018 B	50,000,000	2017/08/09	2018/10/08	12.50
61713	UBS AG – HKEX R Bear CBBC Mar 2018 B	50,000,000	2017/08/09	2018/03/26	12.50
61729	UBS AG – HSI R Bull CBBC Sep 2018 M	250,000,000	2017/08/09	2018/09/27	62.50
61724	UBS AG – HSI R Bull CBBC Oct 2018 I	200,000,000	2017/08/09	2018/10/30	50.00
61730	UBS AG – HSI R Bull CBBC Oct 2018 J	250,000,000	2017/08/09	2018/10/30	62.50
61652	UBS AG – HSI R Bear CBBC Nov 2017 T	250,000,000	2017/08/09	2017/11/29	62.50
61653	UBS AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/09	2017/11/29	50.00
61657	UBS AG – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/08/09	2017/11/29	50.00
61672	UBS AG – HSI R Bear CBBC Nov 2017 W	250,000,000	2017/08/09	2017/11/29	62.50
61676	UBS AG – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/08/09	2017/12/28	50.00
61687	UBS AG – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/08/09	2017/12/28	50.00
61695	UBS AG – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/08/09	2017/12/28	50.00
61697	UBS AG – HSI R Bear CBBC Jan 2018 A	250,000,000	2017/08/09	2018/01/30	63.75
61720	UBS AG – Ping An R Bull CBBC May 2018 A	80,000,000	2017/08/09	2018/05/07	20.00
61722	UBS AG – Ping An R Bear CBBC Mar 2018 A	50,000,000	2017/08/09	2018/03/19	12.50
62039	Credit Suisse AG – China Mobile R Bull CBBC Mar 2018 A	50,000,000	2017/08/10	2018/03/02	12.50
62027	Credit Suisse AG – CCB R Bull CBBC Sep 2018 D	50,000,000	2017/08/10	2018/09/27	12.50
61998	Credit Suisse AG – Sinopec Corp R Bull CBBC Feb 2018 C	70,000,000	2017/08/10	2018/02/23	17.50
62006	Credit Suisse AG – Sinopec Corp R Bear CBBC Feb 2018 C	70,000,000	2017/08/10	2018/02/23	17.50
62015	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 G	70,000,000	2017/08/10	2018/03/02	31.50
62045	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 A	150,000,000	2017/08/10	2018/01/30	37.50
61985	Credit Suisse AG – HSI R Bull CBBC Apr 2018 S	200,000,000	2017/08/10	2018/04/27	50.00
61986	Credit Suisse AG – HSI R Bull CBBC Sep 2018 A	200,000,000	2017/08/10	2018/09/27	50.00
61997	Credit Suisse AG – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/08/10	2017/12/28	50.00
62044	Credit Suisse AG – HSI R Bear CBBC Jan 2018 G	200,000,000	2017/08/10	2018/01/30	50.00
62081	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 R	200,000,000	2017/08/10	2018/10/30	50.00
61963	HK Bank – AAC Tech R Bull CBBC Aug 2018 B	40,000,000	2017/08/10	2018/08/20	10.00
61961	HK Bank – China Mobile R Bull CBBC Feb 2018 B	50,000,000	2017/08/10	2018/02/05	12.50
61973	HK Bank – CM Bank R Bull CBBC Aug 2018 B	40,000,000	2017/08/10	2018/08/20	14.00
61968	HK Bank – China Shenhua R Bull CBBC Aug 2018 B	40,000,000	2017/08/10	2018/08/20	10.00
61958	HK Bank – HSI R Bear CBBC Mar 2018 S	100,000,000	2017/08/10	2018/03/28	25.00
61960	HK Bank – HSI R Bear CBBC Mar 2018 T	150,000,000	2017/08/10	2018/03/28	37.50
61967	HK Bank – SHK Ppt R Bull CBBC Jun 2018 A	40,000,000	2017/08/10	2018/06/11	10.00
61965	HK Bank – Sunny Optical R Bull CBBC Aug 2018 B	40,000,000	2017/08/10	2018/08/20	10.00
61966	HK Bank – Tencent R Bear CBBC Feb 2018 A	50,000,000	2017/08/10	2018/02/05	12.50
62080	J P Morgan SP BV – BOCL R Bull CBBC Sep 2018 B	150,000,000	2017/08/10	2018/09/21	37.50
62069	J P Morgan SP BV – CUni R Bull CBBC Jul 2018 B	40,000,000	2017/08/10	2018/07/20	10.00
62049	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 Y	200,000,000	2017/08/10	2018/09/27	50.00
62051	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 Z	200,000,000	2017/08/10	2018/09/27	50.00
62054	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 P	200,000,000	2017/08/10	2017/11/29	50.00
62055	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 Z	200,000,000	2017/08/10	2018/01/30	50.00
62057	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 P	200,000,000	2017/08/10	2018/02/27	50.00
62050	J P Morgan SP BV – ICBC R Bull CBBC Aug 2018 A	150,000,000	2017/08/10	2018/08/17	37.50
62061	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 I	40,000,000	2017/08/10	2018/02/15	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61978	SG Issuer – CUni R Bull CBBC Apr 2018 D	40,000,000	2017/08/10	2018/04/10	10.00
62120	SG Issuer – HSI R Bull CBBC Dec 2018 L	200,000,000	2017/08/10	2018/12/28	50.00
62108	SG Issuer – HSI R Bear CBBC Nov 2017 K	200,000,000	2017/08/10	2017/11/29	50.00
62112	SG Issuer – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/08/10	2017/12/28	50.00
61982	SG Issuer – Tencent R Bull CBBC Dec 2017 K	80,000,000	2017/08/10	2017/12/22	20.00
62097	UBS AG – HSI R Bull CBBC Oct 2018 K	200,000,000	2017/08/10	2018/10/30	50.00
62086	UBS AG – HSI R Bull CBBC Nov 2018 K	250,000,000	2017/08/10	2018/11/29	62.50
62098	UBS AG – HSI R Bear CBBC Nov 2017 X	250,000,000	2017/08/10	2017/11/29	62.50
62101	UBS AG – HSI R Bear CBBC Nov 2017 Y	250,000,000	2017/08/10	2017/11/29	62.50
62102	UBS AG – HSI R Bear CBBC Nov 2017 Z	250,000,000	2017/08/10	2017/11/29	62.50
62103	UBS AG – HSI R Bear CBBC Dec 2017 G	250,000,000	2017/08/10	2017/12/28	62.50
62105	UBS AG – HSI R Bear CBBC Dec 2017 H	250,000,000	2017/08/10	2017/12/28	62.50
62159	Credit Suisse AG – CUni R Bull CBBC Jun 2018 A	50,000,000	2017/08/11	2018/06/29	12.50
62153	Credit Suisse AG – HSI R Bull CBBC Apr 2018 T	200,000,000	2017/08/11	2018/04/27	50.00
62152	Credit Suisse AG – HSI R Bull CBBC Sep 2018 B	200,000,000	2017/08/11	2018/09/27	50.00
62154	Credit Suisse AG – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/08/11	2017/11/29	50.00
62157	Credit Suisse AG – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/08/11	2017/11/29	50.00
62161	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 A	70,000,000	2017/08/11	2018/09/21	17.50
62185	Bank of East Asia – BOCL R Bull CBBC Jun 2018 A	80,000,000	2017/08/11	2018/06/08	20.00
62182	Bank of East Asia – HKEX R Bull CBBC Jun 2018 D	40,000,000	2017/08/11	2018/06/08	10.00
62186	Bank of East Asia – SHK Ppt R Bull CBBC Jun 2018 A	40,000,000	2017/08/11	2018/06/08	10.00
62175	Bank of East Asia – Tencent R Bull CBBC Jun 2018 M	40,000,000	2017/08/11	2018/06/08	10.00
62140	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/11	2017/11/29	50.00
62137	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/08/11	2017/12/28	50.00
62124	HK Bank – China Mobile R Bull CBBC May 2018 B	50,000,000	2017/08/11	2018/05/07	12.50
62126	HK Bank – CUni R Bull CBBC Aug 2018 B	40,000,000	2017/08/11	2018/08/13	10.00
62125	HK Bank – CKH R Bull CBBC Jun 2018 A	40,000,000	2017/08/11	2018/06/25	10.00
62123	HK Bank – HKEX R Bear CBBC Feb 2018 A	40,000,000	2017/08/11	2018/02/05	10.00
62134	HK Bank – ICBC R Bull CBBC Aug 2018 C	80,000,000	2017/08/11	2018/08/13	20.00
62164	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 B	200,000,000	2017/08/11	2018/12/28	50.00
62162	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/08/11	2017/11/29	50.00
62191	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 C	200,000,000	2017/08/11	2018/01/30	50.00
62198	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 Q	200,000,000	2017/08/11	2018/02/27	50.00
62207	UBS AG – CUni R Bull CBBC Feb 2018 A	40,000,000	2017/08/11	2018/02/12	10.00
62209	UBS AG – CUni R Bull CBBC Mar 2018 A	40,000,000	2017/08/11	2018/03/12	10.00
62210	UBS AG – HSBC R Bull CBBC May 2018 B	100,000,000	2017/08/11	2018/05/30	25.00
62213	UBS AG – HSI R Bear CBBC Nov 2017 E	250,000,000	2017/08/11	2017/11/29	62.50
62211	UBS AG – Tencent R Bull CBBC Jan 2018 G	50,000,000	2017/08/11	2018/01/18	12.50
62312	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 J	50,000,000	2017/08/14	2018/08/30	12.50
62293	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 Z	50,000,000	2017/08/14	2018/04/27	17.50
62370	Credit Suisse AG – CCB R Bear CBBC Feb 2018 E	100,000,000	2017/08/14	2018/02/28	25.00
62355	Credit Suisse AG – HSBC R Bull CBBC Feb 2018 F	100,000,000	2017/08/14	2018/02/01	25.00
62375	Credit Suisse AG – HSI R Bull CBBC Mar 2018 U	200,000,000	2017/08/14	2018/03/28	50.00
62379	Credit Suisse AG – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/08/14	2017/11/29	50.00
62377	Credit Suisse AG – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/08/14	2017/11/29	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62349	Credit Suisse AG – HSI R Bear CBBC Jan 2018 H	200,000,000	2017/08/14	2018/01/30	50.00
62385	Credit Suisse AG – HSI R Bear CBBC Jan 2018 I	200,000,000	2017/08/14	2018/01/30	50.00
62374	Credit Suisse AG – Ping An R Bull CBBC Apr 2018 A	100,000,000	2017/08/14	2018/04/20	25.00
62389	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 B	50,000,000	2017/08/14	2018/06/22	12.50
62362	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 A	100,000,000	2017/08/14	2018/04/13	63.00
62369	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 B	100,000,000	2017/08/14	2018/04/20	68.00
62285	Bank of East Asia – Geely Auto R Bull CBBC Apr 2018 B	40,000,000	2017/08/14	2018/04/30	10.00
62283	Bank of East Asia – HSBC Holdings R Bull CBBC Feb 2018 B	60,000,000	2017/08/14	2018/02/15	15.00
62287	Bank of East Asia – Tencent R Bull CBBC Jun 2018 N	40,000,000	2017/08/14	2018/06/08	10.00
62286	Bank of East Asia – Tencent R Bear CBBC Apr 2018 F	40,000,000	2017/08/14	2018/04/30	10.00
62314	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 S	200,000,000	2017/08/14	2018/10/30	50.00
62325	HK Bank – Geely Auto R Bull CBBC Apr 2018 E	40,000,000	2017/08/14	2018/04/30	10.00
62282	HK Bank – Sunny Optical R Bull CBBC Aug 2018 C	40,000,000	2017/08/14	2018/08/13	10.00
62318	HK Bank – Tencent R Bull CBBC Feb 2018 H	60,000,000	2017/08/14	2018/02/12	15.00
62272	HK Bank – Tencent R Bull CBBC Apr 2018 X	60,000,000	2017/08/14	2018/04/16	15.00
62274	HK Bank – Tencent R Bull CBBC Apr 2018 Y	60,000,000	2017/08/14	2018/04/09	15.00
62279	HK Bank – Tencent R Bear CBBC Jun 2018 B	50,000,000	2017/08/14	2018/06/25	22.50
62230	Haitong Int'l Sec – BOCL R Bull CBBC Jul 2018 B	100,000,000	2017/08/14	2018/07/26	25.00
62238	Haitong Int'l Sec – China Mobile R Bull CBBC Jul 2018 A	80,000,000	2017/08/14	2018/07/24	20.00
62233	Haitong Int'l Sec – CC Bank R Bull CBBC Jul 2018 B	100,000,000	2017/08/14	2018/07/24	25.00
62269	Haitong Int'l Sec – CSOP A50 ETF R Bull CBBC Mar 2018 A	60,000,000	2017/08/14	2018/03/21	15.00
62268	Haitong Int'l Sec – Evergrande R Bull CBBC Aug 2018 A	40,000,000	2017/08/14	2018/08/30	12.00
62244	Haitong Int'l Sec – Geely Auto R Bull CBBC Feb 2018 B	40,000,000	2017/08/14	2018/02/28	10.00
62248	Haitong Int'l Sec – Geely Auto R Bull CBBC Mar 2018 A	40,000,000	2017/08/14	2018/03/27	10.00
62250	Haitong Int'l Sec – Galaxy Ent R Bull CBBC Jul 2018 A	100,000,000	2017/08/14	2018/07/03	25.00
62255	Haitong Int'l Sec – Great Wall Motor R Bull CBBC Jul 2018 A	60,000,000	2017/08/14	2018/07/18	15.00
62260	Haitong Int'l Sec – Great Wall Motor R Bull CBBC Jul 2018 B	60,000,000	2017/08/14	2018/07/17	15.00
62231	Haitong Int'l Sec – ICBC R Bull CBBC Jul 2018 B	100,000,000	2017/08/14	2018/07/25	25.00
62216	Haitong Int'l Sec – Ping An R Bull CBBC Aug 2018 C	100,000,000	2017/08/14	2018/08/21	25.40
62228	Haitong Int'l Sec – Ping An R Bull CBBC Aug 2018 D	100,000,000	2017/08/14	2018/08/22	25.00
62393	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 C	40,000,000	2017/08/14	2017/12/15	10.00
62394	J P Morgan SP BV – Tencent R Bear CBBC Jun 2018 G	40,000,000	2017/08/14	2018/06/15	15.20
62390	Macquarie Bank Ltd. – Great Wall Motor R Bull CBBC Aug 2018 A	40,000,000	2017/08/14	2018/08/30	10.00
62411	SG Issuer – BOCL R Bull CBBC Aug 2018 B	40,000,000	2017/08/14	2018/08/20	10.00
62415	SG Issuer – CCB R Bull CBBC Aug 2018 C	100,000,000	2017/08/14	2018/08/09	25.00
62408	SG Issuer – HSI R Bull CBBC Dec 2018 M	200,000,000	2017/08/14	2018/12/28	50.00
62396	SG Issuer – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/14	2017/11/29	50.00
62398	SG Issuer – HSI R Bear CBBC Jan 2018 J	200,000,000	2017/08/14	2018/01/30	50.00
62399	SG Issuer – HSI R Bear CBBC Feb 2018 D	200,000,000	2017/08/14	2018/02/27	50.00
62406	SG Issuer – HSI R Bear CBBC Feb 2018 E	200,000,000	2017/08/14	2018/02/27	50.00
62416	SG Issuer – ICBC R Bull CBBC Aug 2018 C	60,000,000	2017/08/14	2018/08/13	15.00
62298	SG Issuer – Ping An R Bull CBBC Feb 2018 E	100,000,000	2017/08/14	2018/02/22	25.00
62417	SG Issuer – Tencent R Bull CBBC Feb 2018 Q	80,000,000	2017/08/14	2018/02/23	20.00
62300	SG Issuer – Tencent R Bear CBBC Feb 2018 E	80,000,000	2017/08/14	2018/02/27	20.00
62302	SG Issuer – Tencent R Bear CBBC Oct 2018 A	80,000,000	2017/08/14	2018/10/22	38.80

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62311	SG Issuer – Tencent R Bear CBBC Nov 2018 A	80,000,000	2017/08/14	2018/11/23	44.00
62338	UBS AG – HSI R Bull CBBC Sep 2018 O	250,000,000	2017/08/14	2018/09/27	62.50
62326	UBS AG – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/14	2017/11/29	50.00
62330	UBS AG – HSI R Bear CBBC Dec 2017 I	250,000,000	2017/08/14	2017/12/28	62.50
62344	UBS AG – Tencent R Bull CBBC Mar 2018 E	50,000,000	2017/08/14	2018/03/07	12.50
62515	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 R	100,000,000	2017/08/15	2018/08/30	25.00
62421	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 Y	250,000,000	2017/08/15	2018/09/27	62.50
62418	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 T	250,000,000	2017/08/15	2018/03/28	62.50
62508	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 K	50,000,000	2017/08/15	2018/08/30	12.50
62511	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 A	50,000,000	2017/08/15	2018/04/27	17.50
62767	Credit Suisse AG – Geely Auto R Bull CBBC Feb 2018 F	50,000,000	2017/08/15	2018/02/28	12.50
62768	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 A	80,000,000	2017/08/15	2018/04/13	43.20
62770	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 B	80,000,000	2017/08/15	2018/04/20	51.20
62727	Credit Suisse AG – HSI R Bull CBBC Apr 2018 U	200,000,000	2017/08/15	2018/04/27	50.00
62729	Credit Suisse AG – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/15	2017/11/29	50.00
62725	Credit Suisse AG – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/08/15	2017/12/28	50.00
62739	Credit Suisse AG – HSI R Bear CBBC Jan 2018 J	200,000,000	2017/08/15	2018/01/30	50.00
62743	Credit Suisse AG – HSI R Bear CBBC Feb 2018 B	200,000,000	2017/08/15	2018/02/27	54.00
62749	Credit Suisse AG – HSI R Bear CBBC Feb 2018 C	200,000,000	2017/08/15	2018/02/27	60.00
62750	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 C	50,000,000	2017/08/15	2018/06/14	12.50
62756	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 C	100,000,000	2017/08/15	2018/04/27	25.00
62760	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 D	100,000,000	2017/08/15	2018/04/12	32.00
62771	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 E	100,000,000	2017/08/15	2018/04/19	35.00
62493	Bank of East Asia – Ping An R Bull CBBC Jun 2018 E	16,000,000	2017/08/15	2018/06/12	10.40
62483	Bank of East Asia – Tencent R Bull CBBC Jun 2018 O	40,000,000	2017/08/15	2018/06/12	10.00
62489	Bank of East Asia – Tencent R Bull CBBC Jun 2018 P	40,000,000	2017/08/15	2018/06/12	10.00
62556	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 T	200,000,000	2017/08/15	2018/10/30	50.00
62557	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 U	200,000,000	2017/08/15	2018/10/30	50.00
62481	Goldman Sachs SP (Asia) – HSI R Bear CBBC Dec 2017 P	200,000,000	2017/08/15	2017/12/28	50.00
62437	HK Bank – Geely Auto R Bull CBBC Mar 2018 B	40,000,000	2017/08/15	2018/03/05	10.00
62439	HK Bank – Geely Auto R Bear CBBC Mar 2018 J	40,000,000	2017/08/15	2018/03/26	14.00
62435	HK Bank – Galaxy Ent R Bull CBBC Mar 2018 D	80,000,000	2017/08/15	2018/03/05	20.00
62459	HK Bank – HKEK R Bull CBBC Mar 2018 I	50,000,000	2017/08/15	2018/03/19	12.50
62466 #	HK Bank – HSI R Bull CBBC Apr 2018 X	150,000,000	2017/08/15	2018/04/27	37.50
62430	HK Bank – HSI R Bear CBBC Jul 2018 R	100,000,000	2017/08/15	2018/07/30	25.00
62434	HK Bank – HSI R Bear CBBC Jul 2018 S	100,000,000	2017/08/15	2018/07/30	25.00
62427	HK Bank – Tencent R Bull CBBC Mar 2018 X	60,000,000	2017/08/15	2018/03/26	15.00
62432	HK Bank – Tencent R Bull CBBC Mar 2018 Y	60,000,000	2017/08/15	2018/03/05	15.00
62426	HK Bank – Tencent R Bull CBBC Apr 2018 Z	60,000,000	2017/08/15	2018/04/30	15.00
62722	J P Morgan SP BV – Geely Auto R Bull CBBC Dec 2017 G	40,000,000	2017/08/15	2017/12/15	10.00
62683	J P Morgan SP BV – HKEK R Bull CBBC Dec 2017 S	40,000,000	2017/08/15	2017/12/15	10.00
62716	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 V	200,000,000	2017/08/15	2018/10/30	52.00
62672	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 R	200,000,000	2017/08/15	2017/11/29	50.00
62701	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/08/15	2017/12/28	50.00
62707	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 R	200,000,000	2017/08/15	2018/02/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62708	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 S	200,000,000	2017/08/15	2018/02/27	52.00
62674	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 I	40,000,000	2017/08/15	2018/01/19	10.00
62700	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 J	40,000,000	2017/08/15	2018/01/19	10.00
62697	J P Morgan SP BV – Tencent R Bear CBBC Mar 2018 H	40,000,000	2017/08/15	2018/03/16	13.20
62547	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 B	40,000,000	2017/08/15	2018/09/27	10.00
62548	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 C	40,000,000	2017/08/15	2018/09/27	10.00
62532	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 D	40,000,000	2017/08/15	2018/09/27	10.00
62537	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 E	40,000,000	2017/08/15	2018/09/27	10.00
62526	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 D	20,000,000	2017/08/15	2018/03/29	10.00
62517	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 E	16,000,000	2017/08/15	2018/03/29	10.02
62646	SG Issuer – China Life R Bull CBBC Apr 2018 C	40,000,000	2017/08/15	2018/04/13	10.00
62653	SG Issuer – Geely Auto R Bull CBBC Feb 2018 D	40,000,000	2017/08/15	2018/02/22	10.00
62655	SG Issuer – Geely Auto R Bull CBBC Apr 2018 C	40,000,000	2017/08/15	2018/04/26	10.00
62647	SG Issuer – Galaxy R Bull CBBC Mar 2018 E	100,000,000	2017/08/15	2018/03/27	25.00
62658	SG Issuer – HKEX R Bull CBBC Jan 2018 J	50,000,000	2017/08/15	2018/01/24	12.50
62660	SG Issuer – HKEX R Bull CBBC Feb 2018 H	50,000,000	2017/08/15	2018/02/21	12.50
62640	SG Issuer – HSI R Bull CBBC Nov 2018 U	200,000,000	2017/08/15	2018/11/29	50.00
62425	SG Issuer – HSI R Bull CBBC Dec 2018 D	200,000,000	2017/08/15	2018/12/28	50.00
62641	SG Issuer – HSI R Bull CBBC Dec 2018 N	200,000,000	2017/08/15	2018/12/28	50.00
62599	SG Issuer – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/08/15	2017/11/29	50.00
62622	SG Issuer – HSI R Bear CBBC Nov 2017 M	200,000,000	2017/08/15	2017/11/29	50.00
62627	SG Issuer – HSI R Bear CBBC Dec 2017 A	200,000,000	2017/08/15	2017/12/28	50.00
62625	SG Issuer – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/08/15	2017/12/28	50.00
62638	SG Issuer – HSI R Bear CBBC Jan 2018 K	200,000,000	2017/08/15	2018/01/30	50.00
62665	SG Issuer – Tencent R Bull CBBC Dec 2017 L	80,000,000	2017/08/15	2017/12/19	20.00
62670	SG Issuer – Tencent R Bull CBBC Feb 2018 R	80,000,000	2017/08/15	2018/02/07	20.00
62501	SG Issuer – Tencent R Bull CBBC Mar 2018 P	80,000,000	2017/08/15	2018/03/14	20.00
62502	SG Issuer – Tencent R Bear CBBC Mar 2018 I	80,000,000	2017/08/15	2018/03/14	20.00
62505	SG Issuer – Tencent R Bear CBBC Sep 2018 B	80,000,000	2017/08/15	2018/09/21	42.40
62586	UBS AG – HSI R Bull CBBC Sep 2018 P	200,000,000	2017/08/15	2018/09/27	50.00
62561	UBS AG – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/15	2017/11/29	50.00
62564	UBS AG – HSI R Bear CBBC Nov 2017 H	250,000,000	2017/08/15	2017/11/29	62.50
62566	UBS AG – HSI R Bear CBBC Nov 2017 K	250,000,000	2017/08/15	2017/11/29	62.50
62570	UBS AG – HSI R Bear CBBC Nov 2017 L	250,000,000	2017/08/15	2017/11/29	62.50
62589	UBS AG – Tencent R Bull CBBC Feb 2018 H	50,000,000	2017/08/15	2018/02/13	12.50
62590	UBS AG – Tencent R Bull CBBC Feb 2018 I	50,000,000	2017/08/15	2018/02/27	12.50
62594	UBS AG – Tencent R Bear CBBC Jan 2018 J	50,000,000	2017/08/15	2018/01/19	17.50
62777	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 Z	250,000,000	2017/08/16	2018/09/27	62.50
62922	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 L	50,000,000	2017/08/16	2018/08/30	12.50
62806	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 B	50,000,000	2017/08/16	2018/04/27	12.50
62917	Credit Suisse AG – ABC R Bull CBBC Sep 2018 C	50,000,000	2017/08/16	2018/09/28	12.50
62921	Credit Suisse AG – China Mobile R Bear CBBC Feb 2018 A	35,000,000	2017/08/16	2018/02/28	27.30
62920	Credit Suisse AG – HSBC R Bull CBBC Feb 2018 G	100,000,000	2017/08/16	2018/02/09	25.00
62872	Credit Suisse AG – HSI R Bull CBBC Apr 2018 V	200,000,000	2017/08/16	2018/04/27	50.00
62874	Credit Suisse AG – HSI R Bull CBBC Sep 2018 C	200,000,000	2017/08/16	2018/09/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62892	Credit Suisse AG – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/08/16	2017/12/28	50.00
62918	Credit Suisse AG – Sunny Optical R Bull CBBC Feb 2018 A	40,000,000	2017/08/16	2018/02/28	10.00
62902	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 D	50,000,000	2017/08/16	2018/06/21	12.50
62909	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 F	100,000,000	2017/08/16	2018/04/26	25.00
62916	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 G	100,000,000	2017/08/16	2018/04/18	25.00
62818	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 V	200,000,000	2017/08/16	2018/10/30	50.00
62808	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/16	2017/11/29	50.00
62782	HK Bank – Geely Auto R Bear CBBC Aug 2018 C	40,000,000	2017/08/16	2018/08/27	16.00
62788	HK Bank – HSCEI R Bear CBBC Mar 2018 C	50,000,000	2017/08/16	2018/03/28	12.50
62790	HK Bank – HSI R Bear CBBC Mar 2018 U	100,000,000	2017/08/16	2018/03/28	25.00
62792	HK Bank – Ping An R Bull CBBC Mar 2018 E	80,000,000	2017/08/16	2018/03/05	20.00
62816	HK Bank – Tencent R Bull CBBC Mar 2018 Z	60,000,000	2017/08/16	2018/03/05	15.00
62793	HK Bank – Tencent R Bear CBBC Mar 2018 F	50,000,000	2017/08/16	2018/03/05	12.50
62794	HK Bank – Tencent R Bear CBBC Jul 2018 I	50,000,000	2017/08/16	2018/07/23	20.00
62923	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 K	200,000,000	2017/08/16	2018/11/29	50.00
62927	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 C	200,000,000	2017/08/16	2018/12/28	50.00
62929	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 S	200,000,000	2017/08/16	2017/11/29	50.00
62930	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 D	200,000,000	2017/08/16	2018/01/30	50.00
62954	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 D	40,000,000	2017/08/16	2017/12/15	10.00
62952	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 E	40,000,000	2017/08/16	2017/12/15	10.00
62956	J P Morgan SP BV – Tencent R Bear CBBC Dec 2017 F	40,000,000	2017/08/16	2017/12/15	14.40
62849	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 D	40,000,000	2017/08/16	2018/09/27	10.00
62850	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 F	40,000,000	2017/08/16	2018/09/27	10.00
62853	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 G	40,000,000	2017/08/16	2018/09/27	10.00
62858	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 H	40,000,000	2017/08/16	2018/09/27	10.00
62859	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 I	40,000,000	2017/08/16	2018/09/27	10.00
62861	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 J	40,000,000	2017/08/16	2018/09/27	10.00
62867	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 K	40,000,000	2017/08/16	2018/09/27	10.00
62799	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 E	25,000,000	2017/08/16	2018/03/29	10.00
62801	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 F	25,000,000	2017/08/16	2018/03/29	10.33
62803	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 G	17,000,000	2017/08/16	2018/03/29	10.18
62932	SG Issuer – CMob R Bull CBBC Mar 2018 A	100,000,000	2017/08/16	2018/03/14	25.00
62934	SG Issuer – HSBC R Bull CBBC Jun 2018 F	100,000,000	2017/08/16	2018/06/21	25.00
62947	SG Issuer – HSI R Bull CBBC Jan 2019 G	200,000,000	2017/08/16	2019/01/30	50.00
62949	SG Issuer – HSI R Bull CBBC Jan 2019 H	200,000,000	2017/08/16	2019/01/30	50.00
62951	SG Issuer – HSI R Bull CBBC Jan 2019 I	200,000,000	2017/08/16	2019/01/30	50.00
62945	SG Issuer – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/08/16	2017/12/28	50.00
62936	SG Issuer – SHK Ppt P R Bull CBBC May 2018 B	60,000,000	2017/08/16	2018/05/16	15.00
62809	SG Issuer – Tencent R Bull CBBC Jan 2018 S	80,000,000	2017/08/16	2018/01/18	20.00
62939	SG Issuer – Tencent R Bear CBBC Oct 2018 B	80,000,000	2017/08/16	2018/10/10	45.60
62824	UBS AG – HSI R Bull CBBC Oct 2018 L	250,000,000	2017/08/16	2018/10/30	62.50
62838	UBS AG – HSI R Bull CBBC Oct 2018 M	200,000,000	2017/08/16	2018/10/30	50.00
62831	UBS AG – HSI R Bear CBBC Nov 2017 I	250,000,000	2017/08/16	2017/11/29	62.50
62828	UBS AG – HSI R Bear CBBC Nov 2017 M	200,000,000	2017/08/16	2017/11/29	50.00
62962	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 I	50,000,000	2017/08/17	2018/08/30	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62965	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 F	150,000,000	2017/08/17	2018/08/30	37.50
62977	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 S	100,000,000	2017/08/17	2018/08/30	25.00
63043	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 R	100,000,000	2017/08/17	2018/02/27	25.00
62957	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 A	250,000,000	2017/08/17	2018/09/27	62.50
62960	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 B	250,000,000	2017/08/17	2018/09/27	62.50
62961	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 U	250,000,000	2017/08/17	2018/09/27	62.50
63018	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 L	250,000,000	2017/08/17	2018/02/27	62.50
63037	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 P	250,000,000	2017/08/17	2018/02/27	62.50
63021	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 R	250,000,000	2017/08/17	2018/02/27	62.50
63035	BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2018 U	250,000,000	2017/08/17	2018/02/27	62.50
63044	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 D	50,000,000	2017/08/17	2018/04/27	12.50
63178	Credit Suisse AG – China Mobile R Bull CBBC Oct 2018 A	50,000,000	2017/08/17	2018/10/19	12.50
63185	Credit Suisse AG – China Mobile R Bull CBBC Oct 2018 B	50,000,000	2017/08/17	2018/10/26	12.50
63186	Credit Suisse AG – China Mobile R Bear CBBC Feb 2018 B	100,000,000	2017/08/17	2018/02/23	25.00
63188	Credit Suisse AG – China Mobile R Bear CBBC Feb 2018 C	100,000,000	2017/08/17	2018/02/28	25.00
63192	Credit Suisse AG – China Mobile R Bear CBBC Mar 2018 A	100,000,000	2017/08/17	2018/03/02	25.00
63161	Credit Suisse AG – Geely Auto R Bull CBBC Apr 2018 A	50,000,000	2017/08/17	2018/04/20	12.50
63162	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 C	50,000,000	2017/08/17	2018/04/19	12.50
63176	Credit Suisse AG – HSBC R Bull CBBC Mar 2018 B	70,000,000	2017/08/17	2018/03/29	60.20
63177	Credit Suisse AG – HSBC R Bull CBBC Mar 2018 C	100,000,000	2017/08/17	2018/03/23	25.00
63212	Credit Suisse AG – HSCEI R Bull CBBC Mar 2018 E	150,000,000	2017/08/17	2018/03/28	37.50
63198	Credit Suisse AG – HSI R Bull CBBC Mar 2018 V	200,000,000	2017/08/17	2018/03/28	50.00
63202	Credit Suisse AG – HSI R Bull CBBC Mar 2018 W	200,000,000	2017/08/17	2018/03/28	50.00
63210	Credit Suisse AG – HSI R Bull CBBC Mar 2018 X	200,000,000	2017/08/17	2018/03/28	50.00
63142	Credit Suisse AG – HSI R Bull CBBC Apr 2018 W	200,000,000	2017/08/17	2018/04/27	50.00
63147	Credit Suisse AG – HSI R Bull CBBC Apr 2018 X	200,000,000	2017/08/17	2018/04/27	50.00
63201	Credit Suisse AG – HSI R Bull CBBC Apr 2018 Y	200,000,000	2017/08/17	2018/04/27	50.00
63206	Credit Suisse AG – HSI R Bull CBBC Apr 2018 Z	200,000,000	2017/08/17	2018/04/27	50.00
63204	Credit Suisse AG – HSI R Bull CBBC Sep 2018 A	200,000,000	2017/08/17	2018/09/27	50.00
63150	Credit Suisse AG – HSI R Bull CBBC Sep 2018 D	200,000,000	2017/08/17	2018/09/27	50.00
63197	Credit Suisse AG – HSI R Bull CBBC Sep 2018 E	200,000,000	2017/08/17	2018/09/27	50.00
63155	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/08/17	2017/11/29	50.00
63156	Credit Suisse AG – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/08/17	2017/12/28	50.00
63153	Credit Suisse AG – Ping An R Bull CBBC Apr 2018 B	100,000,000	2017/08/17	2018/04/13	25.00
63169	Credit Suisse AG – Ping An R Bull CBBC Apr 2018 C	50,000,000	2017/08/17	2018/04/12	30.00
63172	Credit Suisse AG – Sunny Optical R Bull CBBC Feb 2018 B	40,000,000	2017/08/17	2018/02/23	10.00
63152	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 E	50,000,000	2017/08/17	2018/06/29	12.50
63167	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 H	80,000,000	2017/08/17	2018/04/25	34.40
63069	Bank of East Asia – China Mobile R Bull CBBC May 2018 E	60,000,000	2017/08/17	2018/05/25	15.00
63076	Bank of East Asia – China Mobile R Bull CBBC May 2018 F	60,000,000	2017/08/17	2018/05/25	15.00
63068	Bank of East Asia – HKEX R Bull CBBC Jun 2018 E	40,000,000	2017/08/17	2018/06/05	10.00
63078	Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Aug 2018 B	200,000,000	2017/08/17	2018/08/30	50.00
62979	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 A	200,000,000	2017/08/17	2018/11/29	50.00
62980	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 B	200,000,000	2017/08/17	2018/11/29	50.00
63045	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/08/17	2017/11/29	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63049	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 W	200,000,000	2017/08/17	2017/11/29	50.00
63130	HK Bank – China Mobile R Bull CBBC Mar 2018 B	50,000,000	2017/08/17	2018/03/05	12.50
63054	HK Bank – Evergrande R Bull CBBC May 2018 A	40,000,000	2017/08/17	2018/05/07	10.00
63128	HK Bank – HKEX R Bull CBBC Jun 2018 G	50,000,000	2017/08/17	2018/06/25	12.50
63138	HK Bank – HKEX R Bull CBBC Jul 2018 O	50,000,000	2017/08/17	2018/07/03	12.50
63052	HK Bank – HKEX R Bear CBBC Feb 2018 B	40,000,000	2017/08/17	2018/02/12	10.00
63137	HK Bank – HKEX R Bear CBBC Mar 2018 F	40,000,000	2017/08/17	2018/03/05	10.00
63057	HK Bank – HSI R Bear CBBC Mar 2018 V	150,000,000	2017/08/17	2018/03/28	37.50
63058	HK Bank – HSI R Bear CBBC Mar 2018 W	100,000,000	2017/08/17	2018/03/28	25.00
63064	HK Bank – HSI R Bear CBBC Mar 2018 X	100,000,000	2017/08/17	2018/03/28	25.00
63065	HK Bank – HSI R Bear CBBC Mar 2018 Y	100,000,000	2017/08/17	2018/03/28	25.00
63055	HK Bank – Ping An R Bear CBBC Feb 2018 A	40,000,000	2017/08/17	2018/02/12	10.00
63097	J P Morgan SP BV – HKEX R Bear CBBC Dec 2017 C	40,000,000	2017/08/17	2017/12/15	10.00
63080	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 W	200,000,000	2017/08/17	2018/10/30	50.00
63081	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 L	200,000,000	2017/08/17	2018/11/29	50.00
63083	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 D	200,000,000	2017/08/17	2018/12/28	50.00
63089	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 T	200,000,000	2017/08/17	2017/11/29	50.00
63090	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/17	2017/11/29	50.00
63091	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/08/17	2017/11/29	50.00
63099	J P Morgan SP BV – Sunny Optical R Bull CBBC Jan 2018 A	40,000,000	2017/08/17	2018/01/19	10.00
63101	J P Morgan SP BV – Sunny Optical R Bull CBBC Feb 2018 A	40,000,000	2017/08/17	2018/02/15	10.00
63092	J P Morgan SP BV – Tencent R Bear CBBC Dec 2017 G	40,000,000	2017/08/17	2017/12/15	10.00
63231	SG Issuer – AIA R Bull CBBC Mar 2018 B	80,000,000	2017/08/17	2018/03/15	20.00
63242	SG Issuer – CMob R Bull CBBC Mar 2018 B	100,000,000	2017/08/17	2018/03/08	25.00
63246	SG Issuer – CMob R Bear CBBC Mar 2018 A	100,000,000	2017/08/17	2018/03/15	25.00
62981	SG Issuer – CCB R Bull CBBC Jul 2018 C	100,000,000	2017/08/17	2018/07/30	25.00
62986	SG Issuer – CCB R Bull CBBC Jul 2018 D	100,000,000	2017/08/17	2018/07/31	25.00
63232	SG Issuer – China Life R Bull CBBC Mar 2018 C	40,000,000	2017/08/17	2018/03/16	10.00
62989	SG Issuer – HKEX R Bull CBBC Feb 2018 I	50,000,000	2017/08/17	2018/02/22	12.50
62992	SG Issuer – HKEX R Bull CBBC Mar 2018 I	50,000,000	2017/08/17	2018/03/15	12.50
63248	SG Issuer – HKEX R Bear CBBC Feb 2018 A	50,000,000	2017/08/17	2018/02/22	12.50
63249	SG Issuer – HKEX R Bear CBBC Mar 2018 A	50,000,000	2017/08/17	2018/03/13	12.50
62999	SG Issuer – HSBC R Bull CBBC Apr 2018 D	100,000,000	2017/08/17	2018/04/30	25.00
63219	SG Issuer – HSI R Bull CBBC Nov 2018 T	200,000,000	2017/08/17	2018/11/29	50.00
63220	SG Issuer – HSI R Bull CBBC Dec 2018 O	200,000,000	2017/08/17	2018/12/28	50.00
63227	SG Issuer – HSI R Bull CBBC Dec 2018 P	200,000,000	2017/08/17	2018/12/28	50.00
63215	SG Issuer – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/08/17	2017/11/29	50.00
63216	SG Issuer – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/08/17	2017/12/28	50.00
63218	SG Issuer – HSI R Bear CBBC Jan 2018 L	200,000,000	2017/08/17	2018/01/30	50.00
63002	SG Issuer – ICBC R Bull CBBC Aug 2018 D	60,000,000	2017/08/17	2018/08/08	15.00
63003	SG Issuer – Ping An R Bull CBBC Mar 2018 I	100,000,000	2017/08/17	2018/03/08	25.00
63250	SG Issuer – Sands R Bull CBBC Jul 2018 B	80,000,000	2017/08/17	2018/07/18	20.00
63008	SG Issuer – Tencent R Bull CBBC Jan 2018 T	80,000,000	2017/08/17	2018/01/11	20.00
63014	SG Issuer – Tencent R Bull CBBC Mar 2018 Q	80,000,000	2017/08/17	2018/03/15	20.00
63126	UBS AG – China Mobile R Bull CBBC Apr 2018 B	50,000,000	2017/08/17	2018/04/06	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63110	UBS AG – HSI R Bull CBBC Oct 2018 N	200,000,000	2017/08/17	2018/10/30	50.00
63112	UBS AG – HSI R Bull CBBC Oct 2018 O	250,000,000	2017/08/17	2018/10/30	62.50
63103	UBS AG – HSI R Bull CBBC Nov 2018 L	200,000,000	2017/08/17	2018/11/29	50.00
63109	UBS AG – HSI R Bull CBBC Nov 2018 M	250,000,000	2017/08/17	2018/11/29	62.50
63117	UBS AG – HSI R Bear CBBC Nov 2017 N	200,000,000	2017/08/17	2017/11/29	50.00
63122	UBS AG – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/08/17	2017/11/29	50.00
63125	UBS AG – HSI R Bear CBBC Nov 2017 T	250,000,000	2017/08/17	2017/11/29	62.50
63341	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 S	100,000,000	2017/08/18	2018/02/27	25.00
63342	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 T	100,000,000	2017/08/18	2018/02/27	25.00
63358	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 Q	250,000,000	2017/08/18	2018/09/27	62.50
63329	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 V	250,000,000	2017/08/18	2018/09/27	62.50
63331	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 W	250,000,000	2017/08/18	2018/09/27	62.50
63332	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 X	250,000,000	2017/08/18	2018/09/27	62.50
63362	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 U	250,000,000	2017/08/18	2018/03/28	62.50
63363	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 V	250,000,000	2017/08/18	2018/03/28	62.50
63364	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 W	250,000,000	2017/08/18	2018/03/28	62.50
63367	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 X	250,000,000	2017/08/18	2018/03/28	62.50
63338	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 M	50,000,000	2017/08/18	2018/08/30	12.50
63337	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 O	50,000,000	2017/08/18	2018/08/30	12.50
63348	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 C	50,000,000	2017/08/18	2018/04/27	12.50
63351	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 I	50,000,000	2017/08/18	2018/04/27	12.50
63557	Credit Suisse AG – AIA R Bull CBBC Jul 2018 A	70,000,000	2017/08/18	2018/07/06	17.50
63530	Credit Suisse AG – HKEX R Bull CBBC Mar 2018 F	100,000,000	2017/08/18	2018/03/29	25.00
63606	Credit Suisse AG – HKEX R Bull CBBC Mar 2018 G	100,000,000	2017/08/18	2018/03/28	25.00
63553	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 H	50,000,000	2017/08/18	2018/03/23	12.50
63611	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 I	50,000,000	2017/08/18	2018/03/29	12.50
63555	Credit Suisse AG – HSBC R Bull CBBC Mar 2018 D	100,000,000	2017/08/18	2018/03/22	93.00
63529	Credit Suisse AG – HSBC R Bull CBBC Jun 2018 A	100,000,000	2017/08/18	2018/06/22	25.00
63616	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 G	150,000,000	2017/08/18	2018/04/27	37.50
63617	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 H	150,000,000	2017/08/18	2018/04/27	37.50
63620	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 I	150,000,000	2017/08/18	2018/04/27	37.50
63626	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 J	150,000,000	2017/08/18	2018/04/27	37.50
63631	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 B	150,000,000	2017/08/18	2018/01/30	37.50
63545	Credit Suisse AG – HSI R Bull CBBC Apr 2018 A	200,000,000	2017/08/18	2018/04/27	50.00
63592	Credit Suisse AG – HSI R Bull CBBC Apr 2018 D	200,000,000	2017/08/18	2018/04/27	50.00
63548	Credit Suisse AG – HSI R Bull CBBC Sep 2018 B	200,000,000	2017/08/18	2018/09/27	50.00
63566	Credit Suisse AG – HSI R Bull CBBC Sep 2018 V	200,000,000	2017/08/18	2018/09/27	50.00
63543	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Y	200,000,000	2017/08/18	2018/09/27	50.00
63544	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Z	200,000,000	2017/08/18	2018/09/27	50.00
63595	Credit Suisse AG – HSI R Bull CBBC Dec 2018 A	1,000,000,000	2017/08/18	2018/12/28	250.00
63597	Credit Suisse AG – HSI R Bull CBBC Dec 2018 B	1,000,000,000	2017/08/18	2018/12/28	290.00
63604	Credit Suisse AG – HSI R Bull CBBC Dec 2018 C	1,000,000,000	2017/08/18	2018/12/28	380.00
63589	Credit Suisse AG – HSI R Bear CBBC Nov 2017 B	200,000,000	2017/08/18	2017/11/29	50.00
63568	Credit Suisse AG – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/08/18	2017/11/29	50.00
63586	Credit Suisse AG – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/08/18	2017/12/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63579	Credit Suisse AG – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/08/18	2017/12/28	50.00
63577	Credit Suisse AG – HSI R Bear CBBC Jan 2018 K	200,000,000	2017/08/18	2018/01/30	50.00
63563	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 B	70,000,000	2017/08/18	2018/09/20	17.50
63538	Credit Suisse AG – Ping An R Bull CBBC Apr 2018 D	100,000,000	2017/08/18	2018/04/19	25.00
63565	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 A	50,000,000	2017/08/18	2018/10/26	38.50
63535	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 F	50,000,000	2017/08/18	2018/06/28	12.50
63537	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 G	50,000,000	2017/08/18	2018/06/14	12.50
63556	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 H	50,000,000	2017/08/18	2018/06/28	12.50
63638	Credit Suisse AG – Tencent R Bull CBBC Sep 2018 A	25,000,000	2017/08/18	2018/09/28	187.50
63370	Bank of East Asia – Tencent R Bull CBBC Jun 2018 Q	40,000,000	2017/08/18	2018/06/08	10.00
63372	Bank of East Asia – Tencent R Bull CBBC Jun 2018 R	40,000,000	2017/08/18	2018/06/08	10.00
63375	Bank of East Asia – Tencent R Bull CBBC Jun 2018 S	40,000,000	2017/08/18	2018/06/08	10.00
63291	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 C	200,000,000	2017/08/18	2018/11/29	50.00
63294	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 D	200,000,000	2017/08/18	2018/11/29	50.00
63296	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 E	200,000,000	2017/08/18	2018/11/29	50.00
63456	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 A	200,000,000	2017/08/18	2017/11/29	50.00
63454	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/08/18	2017/11/29	50.00
63453	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 Y	200,000,000	2017/08/18	2017/11/29	50.00
63403	HK Bank – CC Bank R Bear CBBC Apr 2018 C	40,000,000	2017/08/18	2018/04/09	10.00
63290	HK Bank – China Life R Bull CBBC Aug 2018 B	40,000,000	2017/08/18	2018/08/06	12.00
63402	HK Bank – Geely Auto R Bear CBBC Mar 2018 K	40,000,000	2017/08/18	2018/03/05	10.00
63393	HK Bank – Galaxy Ent R Bear CBBC Feb 2018 B	40,000,000	2017/08/18	2018/02/12	10.00
63275	HK Bank – HKEX R Bull CBBC Jun 2018 H	50,000,000	2017/08/18	2018/06/11	12.50
63272	HK Bank – HKEX R Bull CBBC Jul 2018 P	50,000,000	2017/08/18	2018/07/16	12.50
63388	HK Bank – HKEX R Bear CBBC Mar 2018 G	40,000,000	2017/08/18	2018/03/26	10.00
63257	HK Bank – HSCEI R Bull CBBC Apr 2018 E	60,000,000	2017/08/18	2018/04/27	15.00
63254	HK Bank – HSCEI R Bear CBBC Mar 2018 D	50,000,000	2017/08/18	2018/03/28	12.50
63377	HK Bank – HSI R Bull CBBC Apr 2018 D	150,000,000	2017/08/18	2018/04/27	37.50
63269	HK Bank – HSI R Bull CBBC Apr 2018 E	100,000,000	2017/08/18	2018/04/27	25.00
63270	HK Bank – HSI R Bull CBBC Apr 2018 F	150,000,000	2017/08/18	2018/04/27	37.50
63251	HK Bank – HSI R Bull CBBC Apr 2018 Y	100,000,000	2017/08/18	2018/04/27	25.00
63265	HK Bank – HSI R Bull CBBC Apr 2018 Z	100,000,000	2017/08/18	2018/04/27	25.00
63382	HK Bank – HSI R Bear CBBC Mar 2018 A	100,000,000	2017/08/18	2018/03/28	25.00
63385	HK Bank – HSI R Bear CBBC Mar 2018 C	100,000,000	2017/08/18	2018/03/28	25.00
63386	HK Bank – HSI R Bear CBBC Mar 2018 E	100,000,000	2017/08/18	2018/03/28	25.00
63380	HK Bank – HSI R Bear CBBC Mar 2018 Z	150,000,000	2017/08/18	2018/03/28	37.50
63404	HK Bank – ICBC R Bear CBBC Apr 2018 A	40,000,000	2017/08/18	2018/04/09	10.00
63277	HK Bank – Tencent R Bull CBBC Mar 2018 B	60,000,000	2017/08/18	2018/03/19	15.00
63279	HK Bank – Tencent R Bull CBBC Mar 2018 N	60,000,000	2017/08/18	2018/03/12	15.00
63281	HK Bank – Tencent R Bull CBBC Mar 2018 O	60,000,000	2017/08/18	2018/03/05	15.00
63399	HK Bank – Tencent R Bear CBBC Mar 2018 G	50,000,000	2017/08/18	2018/03/26	12.50
63401	HK Bank – Tencent R Bear CBBC Mar 2018 H	50,000,000	2017/08/18	2018/03/05	12.50
63395	HK Bank – Tencent R Bear CBBC Apr 2018 E	50,000,000	2017/08/18	2018/04/09	12.50
63482	J P Morgan SP BV – China Mobile R Bull CBBC Nov 2018 A	100,000,000	2017/08/18	2018/11/16	25.00
63480	J P Morgan SP BV – HKEX R Bear CBBC Jan 2018 C	40,000,000	2017/08/18	2018/01/19	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63484	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2018 E	100,000,000	2017/08/18	2018/04/27	25.00
63459	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 X	200,000,000	2017/08/18	2018/10/30	50.00
63460	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 M	200,000,000	2017/08/18	2018/11/29	50.00
63464	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 E	200,000,000	2017/08/18	2018/12/28	50.00
63466	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 W	200,000,000	2017/08/18	2017/11/29	50.00
63471	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/08/18	2017/11/29	50.00
63475	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 Y	200,000,000	2017/08/18	2017/11/29	50.00
63483	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 Z	200,000,000	2017/08/18	2017/11/29	50.00
63476	J P Morgan SP BV – Tencent R Bear CBBC Dec 2017 H	40,000,000	2017/08/18	2017/12/15	10.00
63477	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 D	40,000,000	2017/08/18	2018/01/19	10.00
63425	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 E	40,000,000	2017/08/18	2018/09/27	10.00
63426	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 F	40,000,000	2017/08/18	2018/09/27	10.00
63427	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 L	40,000,000	2017/08/18	2018/09/27	10.00
63428	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 M	40,000,000	2017/08/18	2018/09/27	10.00
63415	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 F	24,000,000	2017/08/18	2018/03/29	10.13
63420	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 H	22,000,000	2017/08/18	2018/03/29	10.01
63422	Macquarie Bank Ltd. – Tencent R Bear CBBC Mar 2018 I	20,000,000	2017/08/18	2018/03/29	10.00
63412	SG Issuer – CCB R Bull CBBC Aug 2018 D	100,000,000	2017/08/18	2018/08/20	25.00
63297	SG Issuer – China Life R Bull CBBC Mar 2018 D	40,000,000	2017/08/18	2018/03/12	10.00
63298	SG Issuer – HKEX R Bull CBBC Feb 2018 J	50,000,000	2017/08/18	2018/02/08	12.50
63510	SG Issuer – HKEX R Bull CBBC Mar 2018 J	50,000,000	2017/08/18	2018/03/07	12.50
63300	SG Issuer – HKEX R Bull CBBC Apr 2018 D	50,000,000	2017/08/18	2018/04/13	12.50
63511	SG Issuer – HKEX R Bear CBBC Jun 2018 E	50,000,000	2017/08/18	2018/06/22	12.50
63302	SG Issuer – HSBC R Bull CBBC Mar 2018 I	100,000,000	2017/08/18	2018/03/29	25.00
63503	SG Issuer – HSI R Bull CBBC Dec 2018 K	200,000,000	2017/08/18	2018/12/28	50.00
63324	SG Issuer – HSI R Bull CBBC Jan 2019 J	200,000,000	2017/08/18	2019/01/30	50.00
63326	SG Issuer – HSI R Bull CBBC Mar 2019 A	200,000,000	2017/08/18	2019/03/28	50.00
63327	SG Issuer – HSI R Bull CBBC Mar 2019 B	200,000,000	2017/08/18	2019/03/28	50.00
63508	SG Issuer – HSI R Bull CBBC Mar 2019 C	200,000,000	2017/08/18	2019/03/28	50.00
63488	SG Issuer – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/08/18	2017/11/29	50.00
63491	SG Issuer – HSI R Bear CBBC Nov 2017 P	200,000,000	2017/08/18	2017/11/29	50.00
63492	SG Issuer – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/08/18	2017/11/29	50.00
63490	SG Issuer – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/18	2017/11/29	50.00
63497	SG Issuer – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/08/18	2017/12/28	50.00
63498	SG Issuer – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/08/18	2017/12/28	50.00
63500	SG Issuer – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/08/18	2017/12/28	50.00
63502	SG Issuer – HSI R Bear CBBC Jan 2018 M	200,000,000	2017/08/18	2018/01/30	50.00
63414	SG Issuer – ICBC R Bull CBBC Apr 2018 B	60,000,000	2017/08/18	2018/04/18	15.00
63303	SG Issuer – Ping An R Bull CBBC Mar 2018 J	100,000,000	2017/08/18	2018/03/16	25.00
63513	SG Issuer – Ping An R Bull CBBC May 2018 C	100,000,000	2017/08/18	2018/05/14	25.00
63304	SG Issuer – Tencent R Bull CBBC Jan 2018 U	80,000,000	2017/08/18	2018/01/04	20.00
63305	SG Issuer – Tencent R Bull CBBC Jan 2018 V	80,000,000	2017/08/18	2018/01/25	20.00
63517	SG Issuer – Tencent R Bull CBBC Feb 2018 S	80,000,000	2017/08/18	2018/02/14	20.00
63307	SG Issuer – Tencent R Bull CBBC Mar 2018 R	80,000,000	2017/08/18	2018/03/15	20.00
63311	SG Issuer – Tencent R Bull CBBC Mar 2018 S	80,000,000	2017/08/18	2018/03/26	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63320	SG Issuer – Tencent R Bull CBBC Mar 2018 T	80,000,000	2017/08/18	2018/03/28	20.00
63523	SG Issuer – Tencent R Bull CBBC Apr 2018 G	80,000,000	2017/08/18	2018/04/10	20.00
63527	SG Issuer – Tencent R Bull CBBC Jul 2018 C	80,000,000	2017/08/18	2018/07/06	20.00
63518	SG Issuer – Tencent R Bear CBBC Feb 2018 F	80,000,000	2017/08/18	2018/02/27	20.00
63521	SG Issuer – Tencent R Bear CBBC Mar 2018 J	80,000,000	2017/08/18	2018/03/16	20.00
63524	SG Issuer – Tencent R Bear CBBC Jul 2018 B	80,000,000	2017/08/18	2018/07/04	20.00
63429	UBS AG – HSI R Bull CBBC Nov 2018 N	250,000,000	2017/08/18	2018/11/29	62.50
63431	UBS AG – HSI R Bull CBBC Nov 2018 O	200,000,000	2017/08/18	2018/11/29	50.00
63432	UBS AG – HSI R Bull CBBC Nov 2018 P	200,000,000	2017/08/18	2018/11/29	50.00
63433	UBS AG – HSI R Bull CBBC Nov 2018 Q	200,000,000	2017/08/18	2018/11/29	50.00
63436	UBS AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/18	2017/11/29	50.00
63441	UBS AG – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/08/18	2017/11/29	50.00
63440	UBS AG – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/08/18	2017/12/28	50.00
63442	UBS AG – HSI R Bear CBBC Dec 2017 K	250,000,000	2017/08/18	2017/12/28	62.50
63446	UBS AG – HSI R Bear CBBC Dec 2017 L	250,000,000	2017/08/18	2017/12/28	62.50
63450	UBS AG – Tencent R Bull CBBC Apr 2018 C	50,000,000	2017/08/18	2018/04/20	12.50
63739	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 N	50,000,000	2017/08/21	2018/08/30	12.50
63777	Credit Suisse AG – BOCL R Bull CBBC Sep 2018 A	70,000,000	2017/08/21	2018/09/20	17.50
63774	Credit Suisse AG – CUni R Bull CBBC Feb 2018 B	50,000,000	2017/08/21	2018/02/28	12.50
63775	Credit Suisse AG – CCB R Bull CBBC Sep 2018 E	70,000,000	2017/08/21	2018/09/28	17.50
63773	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 C	50,000,000	2017/08/21	2018/06/22	12.50
63790	Credit Suisse AG – HKEX R Bear CBBC Feb 2018 D	50,000,000	2017/08/21	2018/02/22	12.50
63782	Credit Suisse AG – HSI R Bull CBBC Mar 2018 Y	200,000,000	2017/08/21	2018/03/28	50.00
63778	Credit Suisse AG – HSI R Bull CBBC Apr 2018 C	200,000,000	2017/08/21	2018/04/27	50.00
63780	Credit Suisse AG – HSI R Bull CBBC Apr 2018 R	200,000,000	2017/08/21	2018/04/27	50.00
63791	Credit Suisse AG – HSI R Bear CBBC Nov 2017 K	200,000,000	2017/08/21	2017/11/29	50.00
63768	Credit Suisse AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/21	2017/11/29	50.00
63772	Credit Suisse AG – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/08/21	2017/12/28	50.00
63786	Credit Suisse AG – Ping An R Bear CBBC Feb 2018 C	100,000,000	2017/08/21	2018/02/28	25.00
63787	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 I	50,000,000	2017/08/21	2018/06/20	12.50
63792	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 I	100,000,000	2017/08/21	2018/04/11	51.00
63730	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 W	200,000,000	2017/08/21	2018/10/30	50.00
63738	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 X	200,000,000	2017/08/21	2018/10/30	50.00
63643	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/08/21	2017/11/29	50.00
63644	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 Z	200,000,000	2017/08/21	2017/11/29	50.00
63645	HK Bank – HSI R Bull CBBC Apr 2018 U	100,000,000	2017/08/21	2018/04/27	25.00
63664	HK Bank – Ping An R Bull CBBC Mar 2018 F	80,000,000	2017/08/21	2018/03/12	20.00
63729	HK Bank – Tencent R Bull CBBC Mar 2018 P	60,000,000	2017/08/21	2018/03/26	15.00
63650	HK Bank – Tencent R Bull CBBC Apr 2018 A	60,000,000	2017/08/21	2018/04/16	15.00
63651	HK Bank – Tencent R Bull CBBC Apr 2018 H	60,000,000	2017/08/21	2018/04/09	15.00
63767	J P Morgan SP BV – HSBC R Bull CBBC Feb 2018 B	100,000,000	2017/08/21	2018/02/15	25.00
63758	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 Y	200,000,000	2017/08/21	2018/10/30	50.00
63759	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 N	200,000,000	2017/08/21	2018/11/29	50.00
63754	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 A	200,000,000	2017/08/21	2017/11/29	50.00
63755	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 B	200,000,000	2017/08/21	2017/11/29	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63753	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/08/21	2017/11/29	50.00
63766	J P Morgan SP BV – Ping An R Bull CBBC Feb 2018 E	150,000,000	2017/08/21	2018/02/15	37.50
63760	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 K	40,000,000	2017/08/21	2018/01/19	10.00
63763	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 L	40,000,000	2017/08/21	2018/01/19	10.00
63765	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 J	40,000,000	2017/08/21	2018/02/15	10.00
63675	Macquarie Bank Ltd. – China Life R Bull CBBC Mar 2018 A	40,000,000	2017/08/21	2018/03/29	10.00
63669	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 G	17,000,000	2017/08/21	2018/06/27	10.06
63665	Macquarie Bank Ltd. – HKEX R Bear CBBC Jun 2018 C	17,000,000	2017/08/21	2018/06/27	10.03
63666	Macquarie Bank Ltd. – HKEX R Bear CBBC Jun 2018 D	17,500,000	2017/08/21	2018/06/27	10.01
63686	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 N	40,000,000	2017/08/21	2018/09/27	10.00
63688	Macquarie Bank Ltd. – HSI R Bear CBBC Sep 2018 O	40,000,000	2017/08/21	2018/09/27	10.00
63676	Macquarie Bank Ltd. – Ping An R Bull CBBC Sep 2018 A	40,000,000	2017/08/21	2018/09/27	10.00
63679	Macquarie Bank Ltd. – Ping An R Bear CBBC Jun 2018 A	40,000,000	2017/08/21	2018/06/28	10.00
63674	Macquarie Bank Ltd. – Tencent R Bull CBBC Mar 2018 G	25,000,000	2017/08/21	2018/03/29	10.03
63690	SG Issuer – ABC R Bull CBBC Aug 2018 B	50,000,000	2017/08/21	2018/08/23	12.50
63695	SG Issuer – BOCL R Bull CBBC Aug 2018 C	50,000,000	2017/08/21	2018/08/20	12.50
63701	SG Issuer – CUni R Bear CBBC Mar 2018 A	40,000,000	2017/08/21	2018/03/26	10.00
63707	SG Issuer – CKH R Bear CBBC Jun 2018 A	80,000,000	2017/08/21	2018/06/14	20.00
63795	SG Issuer – CSA50 R Bull CBBC Mar 2018 B	40,000,000	2017/08/21	2018/03/15	10.00
63797	SG Issuer – CSA50 R Bear CBBC Apr 2018 A	40,000,000	2017/08/21	2018/04/19	10.00
63800	SG Issuer – CSA50 R Bear CBBC May 2018 A	40,000,000	2017/08/21	2018/05/16	10.00
63717	SG Issuer – Geely Auto R Bear CBBC Aug 2018 A	40,000,000	2017/08/21	2018/08/07	19.60
63711	SG Issuer – Galaxy R Bull CBBC May 2018 A	80,000,000	2017/08/21	2018/05/28	20.00
63719	SG Issuer – HKEX R Bull CBBC Mar 2018 K	50,000,000	2017/08/21	2018/03/13	12.50
63807	SG Issuer – HSI R Bull CBBC Jan 2019 K	200,000,000	2017/08/21	2019/01/30	50.00
63808	SG Issuer – HSI R Bull CBBC Mar 2019 D	200,000,000	2017/08/21	2019/03/28	50.00
63809	SG Issuer – HSI R Bull CBBC Apr 2019 A	200,000,000	2017/08/21	2019/04/29	50.00
63801	SG Issuer – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/08/21	2017/12/28	50.00
63794	SG Issuer – HSI R Bear CBBC Jan 2018 N	200,000,000	2017/08/21	2018/01/30	50.00
63805	SG Issuer – HSI R Bear CBBC Jan 2018 O	200,000,000	2017/08/21	2018/01/30	50.00
63724	SG Issuer – Tencent R Bull CBBC Feb 2018 T	80,000,000	2017/08/21	2018/02/23	20.00
63726	SG Issuer – Tencent R Bear CBBC Apr 2018 E	80,000,000	2017/08/21	2018/04/09	20.00
63751	UBS AG – HKEX R Bear CBBC Dec 2017 A	40,000,000	2017/08/21	2017/12/13	10.00
63746	UBS AG – HSI R Bull CBBC Oct 2018 P	250,000,000	2017/08/21	2018/10/30	62.50
63747	UBS AG – HSI R Bull CBBC Oct 2018 Q	250,000,000	2017/08/21	2018/10/30	62.50
63741	UBS AG – HSI R Bear CBBC Dec 2017 N	250,000,000	2017/08/21	2017/12/28	62.50
63743	UBS AG – HSI R Bear CBBC Dec 2017 O	250,000,000	2017/08/21	2017/12/28	62.50
63744	UBS AG – HSI R Bear CBBC Dec 2017 P	250,000,000	2017/08/21	2017/12/28	62.50
63810	UBS AG – HSI R Bear CBBC Dec 2017 Q	250,000,000	2017/08/21	2017/12/28	62.50
63750	UBS AG – Tencent R Bull CBBC Mar 2018 F	50,000,000	2017/08/21	2018/03/07	12.50
63885	Credit Suisse AG – AIA R Bull CBBC Jun 2018 A	100,000,000	2017/08/22	2018/06/28	25.00
63879	Credit Suisse AG – CCB R Bull CBBC Sep 2018 F	70,000,000	2017/08/22	2018/09/20	17.50
63872	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 D	100,000,000	2017/08/22	2018/06/28	25.00
63874	Credit Suisse AG – HKEX R Bear CBBC Mar 2018 J	50,000,000	2017/08/22	2018/03/21	12.50
63889	Credit Suisse AG – HSI R Bull CBBC Mar 2018 T	200,000,000	2017/08/22	2018/03/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63868	Credit Suisse AG – HSI R Bull CBBC Apr 2018 O	200,000,000	2017/08/22	2018/04/27	50.00
63867	Credit Suisse AG – HSI R Bull CBBC Sep 2018 W	200,000,000	2017/08/22	2018/09/27	50.00
63864	Credit Suisse AG – HSI R Bear CBBC Nov 2017 V	200,000,000	2017/08/22	2017/11/29	50.00
63888	Credit Suisse AG – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/08/22	2017/12/28	50.00
63881	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 C	70,000,000	2017/08/22	2018/09/28	17.50
63875	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 J	50,000,000	2017/08/22	2018/06/15	12.50
63886	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 H	100,000,000	2017/08/22	2018/03/22	25.00
63891	Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Aug 2018 C	200,000,000	2017/08/22	2018/08/30	50.00
63893	Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Aug 2018 D	200,000,000	2017/08/22	2018/08/30	50.00
63896	Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Nov 2017 A	200,000,000	2017/08/22	2017/11/29	50.00
63898	Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Nov 2017 B	200,000,000	2017/08/22	2017/11/29	50.00
63890	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 F	200,000,000	2017/08/22	2018/11/29	50.00
63832	HK Bank – AAC Tech R Bull CBBC Jul 2018 B	40,000,000	2017/08/22	2018/07/03	10.00
63830	HK Bank – BOCL R Bull CBBC Aug 2018 D	80,000,000	2017/08/22	2018/08/13	20.00
63834	HK Bank – China Mobile R Bear CBBC Mar 2018 B	50,000,000	2017/08/22	2018/03/26	12.50
63827	HK Bank – CC Bank R Bull CBBC Aug 2018 E	80,000,000	2017/08/22	2018/08/13	20.00
63829	HK Bank – ICBC R Bull CBBC Aug 2018 D	80,000,000	2017/08/22	2018/08/13	20.00
63820	HK Bank – Sunny Optical R Bull CBBC Jul 2018 A	40,000,000	2017/08/22	2018/07/23	10.00
63821	HK Bank – Sunny Optical R Bull CBBC Jul 2018 B	40,000,000	2017/08/22	2018/07/03	10.00
63822	HK Bank – Tencent R Bull CBBC Mar 2018 Q	60,000,000	2017/08/22	2018/03/26	15.00
63818	Haitong Int'l Sec – AAC Tech R Bull CBBC Jul 2018 A	40,000,000	2017/08/22	2018/07/04	10.00
63815	Haitong Int'l Sec – AIA R Bull CBBC Jul 2018 A	80,000,000	2017/08/22	2018/07/05	20.00
63817	Haitong Int'l Sec – AIA R Bull CBBC Jul 2018 B	80,000,000	2017/08/22	2018/07/04	20.00
63813	Haitong Int'l Sec – China Mobile R Bull CBBC Jun 2018 B	80,000,000	2017/08/22	2018/06/22	20.00
63812	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 E	60,000,000	2017/08/22	2018/06/25	15.00
63811	Haitong Int'l Sec – Tencent R Bull CBBC Jun 2018 F	40,000,000	2017/08/22	2018/06/26	10.00
63934	J P Morgan SP BV – CC Bank R Bull CBBC Aug 2018 C	150,000,000	2017/08/22	2018/08/17	37.50
63926	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 Z	200,000,000	2017/08/22	2018/10/30	50.00
63927	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 O	200,000,000	2017/08/22	2018/11/29	50.00
63928	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 F	200,000,000	2017/08/22	2018/12/28	50.00
63924	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 C	200,000,000	2017/08/22	2017/11/29	50.00
63929	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/08/22	2017/11/29	50.00
63930	J P Morgan SP BV – ICBC R Bull CBBC Oct 2018 A	150,000,000	2017/08/22	2018/10/19	37.50
63899	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 G	40,000,000	2017/08/22	2018/09/27	10.00
63906	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 H	40,000,000	2017/08/22	2018/09/27	10.00
63907	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 I	40,000,000	2017/08/22	2018/09/27	10.00
63908	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 J	40,000,000	2017/08/22	2018/09/27	10.00
63854	Macquarie Bank Ltd. – ICBC R Bull CBBC Jun 2018 A	50,000,000	2017/08/22	2018/06/27	12.70
63855	Macquarie Bank Ltd. – ICBC R Bull CBBC Sep 2018 A	50,000,000	2017/08/22	2018/09/26	16.80
63838	SG Issuer – HKEX R Bull CBBC Feb 2018 K	50,000,000	2017/08/22	2018/02/22	12.50
63912	SG Issuer – HSI R Bull CBBC Mar 2019 E	200,000,000	2017/08/22	2019/03/28	50.00
63917	SG Issuer – HSI R Bull CBBC Apr 2019 B	200,000,000	2017/08/22	2019/04/29	50.00
63911	SG Issuer – HSI R Bear CBBC Dec 2017 Q	200,000,000	2017/08/22	2017/12/28	50.00
63852	SG Issuer – Tencent R Bull CBBC Aug 2018 A	80,000,000	2017/08/22	2018/08/30	20.00
63845	SG Issuer – Tencent R Bear CBBC Feb 2018 G	80,000,000	2017/08/22	2018/02/27	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63860	UBS AG – HSI R Bull CBBC Sep 2018 G	200,000,000	2017/08/22	2018/09/27	50.00
63862	UBS AG – HSI R Bull CBBC Sep 2018 H	250,000,000	2017/08/22	2018/09/27	62.50
63863	UBS AG – HSI R Bull CBBC Sep 2018 N	250,000,000	2017/08/22	2018/09/27	62.50
63857	UBS AG – HSI R Bear CBBC Dec 2017 R	250,000,000	2017/08/22	2017/12/28	62.50
63993	Credit Suisse AG – ABC R Bull CBBC Oct 2018 A	50,000,000	2017/08/23	2018/10/11	12.50
64005	Credit Suisse AG – BOCL R Bull CBBC Oct 2018 A	70,000,000	2017/08/23	2018/10/12	17.50
64010	Credit Suisse AG – Geely Auto R Bull CBBC Mar 2018 C	40,000,000	2017/08/23	2018/03/08	10.00
63991	Credit Suisse AG – Galaxy Ent R Bull CBBC May 2018 A	100,000,000	2017/08/23	2018/05/17	25.00
64009	Credit Suisse AG – HSI R Bull CBBC Sep 2018 X	150,000,000	2017/08/23	2018/09/27	37.50
64003	Credit Suisse AG – HSI R Bear CBBC Nov 2017 A	200,000,000	2017/08/23	2017/11/29	50.00
64008	Credit Suisse AG – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/08/23	2017/12/28	50.00
64007	Credit Suisse AG – HSI R Bear CBBC Dec 2017 G	150,000,000	2017/08/23	2017/12/28	37.50
63986	Credit Suisse AG – Ping An R Bear CBBC Mar 2018 D	100,000,000	2017/08/23	2018/03/08	25.00
63995	Credit Suisse AG – Sunny Optical R Bull CBBC Mar 2018 B	40,000,000	2017/08/23	2018/03/06	10.00
63997	Credit Suisse AG – Sunny Optical R Bear CBBC Feb 2018 A	40,000,000	2017/08/23	2018/02/27	10.00
64001	Credit Suisse AG – Sunny Optical R Bear CBBC Feb 2018 B	40,000,000	2017/08/23	2018/02/28	10.00
64002	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 K	50,000,000	2017/08/23	2018/06/15	12.50
64011	Credit Suisse AG – Tencent R Bear CBBC Jun 2018 A	50,000,000	2017/08/23	2018/06/15	35.00
63968	Bank of East Asia – Geely Auto R Bull CBBC Mar 2018 C	40,000,000	2017/08/23	2018/03/09	10.00
63970	Bank of East Asia – Galaxy Ent R Bull CBBC Aug 2018 A	16,000,000	2017/08/23	2018/08/10	10.40
63969	Bank of East Asia – ICBC R Bull CBBC Mar 2018 A	60,000,000	2017/08/23	2018/03/09	15.00
63966	Bank of East Asia – Ping An R Bull CBBC Aug 2018 A	16,000,000	2017/08/23	2018/08/10	10.40
63967	Bank of East Asia – Ping An R Bull CBBC Aug 2018 B	16,000,000	2017/08/23	2018/08/10	10.40
64012	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 G	200,000,000	2017/08/23	2018/11/29	50.00
64013	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 C	200,000,000	2017/08/23	2017/11/29	50.00
63957	HK Bank – CC Bank R Bull CBBC Aug 2018 F	80,000,000	2017/08/23	2018/08/06	20.00
63954	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2018 B	50,000,000	2017/08/23	2018/04/09	12.50
63955	HK Bank – PetCh R Bear CBBC Mar 2018 B	40,000,000	2017/08/23	2018/03/26	10.00
63975	HK Bank – Tencent R Bull CBBC Mar 2018 W	60,000,000	2017/08/23	2018/03/07	15.00
63953	HK Bank – Tracker Fund R Bull CBBC Sep 2018 A	50,000,000	2017/08/23	2018/09/17	12.50
63946	Haitong Int'l Sec – China Mobile R Bull CBBC Jul 2018 B	60,000,000	2017/08/23	2018/07/06	15.00
63944	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 F	40,000,000	2017/08/23	2018/06/22	10.00
63941	Haitong Int'l Sec – Sunny Optical R Bull CBBC Mar 2018 A	40,000,000	2017/08/23	2018/03/21	10.00
63943	Haitong Int'l Sec – Sunny Optical R Bull CBBC Mar 2018 B	40,000,000	2017/08/23	2018/03/27	10.00
63935	Haitong Int'l Sec – Tencent R Bull CBBC Jul 2018 D	40,000,000	2017/08/23	2018/07/03	10.00
63952	Haitong Int'l Sec – Tencent R Bull CBBC Jul 2018 E	40,000,000	2017/08/23	2018/07/03	10.00
63936	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 E	40,000,000	2017/08/23	2018/04/25	10.00
64024	J P Morgan SP BV – HKEX R Bull CBBC Nov 2017 R	40,000,000	2017/08/23	2017/11/24	10.00
64026	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 A	200,000,000	2017/08/23	2018/10/30	50.00
64030	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 P	200,000,000	2017/08/23	2018/11/29	50.00
64022	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/23	2017/11/29	50.00
64023	J P Morgan SP BV – Tencent R Bull CBBC Nov 2017 M	40,000,000	2017/08/23	2017/11/24	10.00
63964	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 A	17,000,000	2017/08/23	2018/04/30	10.05
63958	SG Issuer – BOCL R Bull CBBC Aug 2018 D	50,000,000	2017/08/23	2018/08/30	12.50
63959	SG Issuer – CCB R Bull CBBC Aug 2018 E	100,000,000	2017/08/23	2018/08/30	25.00



## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64016	SG Issuer – HSI R Bull CBBC Mar 2019 F	200,000,000	2017/08/23	2019/03/28	50.00
64018	SG Issuer – HSI R Bull CBBC Mar 2019 G	200,000,000	2017/08/23	2019/03/28	50.00
64019	SG Issuer – HSI R Bull CBBC Apr 2019 C	200,000,000	2017/08/23	2019/04/29	50.00
64014	SG Issuer – HSI R Bear CBBC Jan 2018 P	200,000,000	2017/08/23	2018/01/30	50.00
63960	SG Issuer – ICBC R Bull CBBC Apr 2018 C	60,000,000	2017/08/23	2018/04/27	15.00
63961	SG Issuer – Sunny Optical R Bull CBBC Jan 2018 A	40,000,000	2017/08/23	2018/01/23	10.00
63962	SG Issuer – Sunny Optical R Bull CBBC Jan 2018 B	40,000,000	2017/08/23	2018/01/23	10.00
63976	UBS AG – HSI R Bull CBBC Sep 2018 E	250,000,000	2017/08/23	2018/09/27	62.50
63977	UBS AG – Tencent R Bull CBBC Jan 2018 H	40,000,000	2017/08/23	2018/01/23	10.00
63984	UBS AG – Tencent R Bull CBBC Feb 2018 J	40,000,000	2017/08/23	2018/02/21	10.00
63985	UBS AG – Tencent R Bear CBBC Jan 2018 K	40,000,000	2017/08/23	2018/01/12	10.00
64042	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jan 18F	60,000,000	2017/08/24	2018/01/30	15.00
64041	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 R	250,000,000	2017/08/24	2018/09/27	62.50
64037	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 Y	250,000,000	2017/08/24	2018/03/28	62.50
64039	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 Z	250,000,000	2017/08/24	2018/03/28	62.50
64051	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 I	50,000,000	2017/08/24	2018/08/30	12.50
64050	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 P	50,000,000	2017/08/24	2018/08/30	12.50
64043	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 H	50,000,000	2017/08/24	2018/04/27	12.50
64044	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 K	50,000,000	2017/08/24	2018/04/27	12.50
64045	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 L	50,000,000	2017/08/24	2018/04/27	20.00
64096	Credit Suisse AG – AIA R Bull CBBC Apr 2018 A	70,000,000	2017/08/24	2018/04/20	17.50
64095	Credit Suisse AG – CCB R Bear CBBC Feb 2018 F	100,000,000	2017/08/24	2018/02/22	25.00
64103	Credit Suisse AG – China Life R Bull CBBC Feb 2018 A	40,000,000	2017/08/24	2018/02/22	10.00
64100	Credit Suisse AG – China Life R Bear CBBC Jan 2018 B	40,000,000	2017/08/24	2018/01/19	10.00
64098	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 E	70,000,000	2017/08/24	2018/06/26	17.50
64108	Credit Suisse AG – HSCEI R Bear CBBC Nov 2017 C	150,000,000	2017/08/24	2017/11/29	37.50
64086	Credit Suisse AG – HSI R Bull CBBC Aug 2018 F	200,000,000	2017/08/24	2018/08/30	50.00
64085	Credit Suisse AG – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/08/24	2017/12/28	50.00
64109	Credit Suisse AG – HSI R Bear CBBC Dec 2017 X	150,000,000	2017/08/24	2017/12/28	37.50
64101	Credit Suisse AG – Ping An R Bull CBBC Jun 2018 A	100,000,000	2017/08/24	2018/06/29	25.00
64110	Credit Suisse AG – Ping An R Bear CBBC Mar 2018 E	70,000,000	2017/08/24	2018/03/08	45.50
64087	Credit Suisse AG – Tencent R Bull CBBC Apr 2018 G	50,000,000	2017/08/24	2018/04/13	12.50
64091	Credit Suisse AG – Tencent R Bear CBBC Jun 2018 B	100,000,000	2017/08/24	2018/06/29	71.00
64088	Credit Suisse AG – Tencent R Bear CBBC Jul 2018 A	100,000,000	2017/08/24	2018/07/27	25.00
64089	Credit Suisse AG – Tencent R Bear CBBC Aug 2018 A	100,000,000	2017/08/24	2018/08/31	60.00
64090	Credit Suisse AG – Tencent R Bear CBBC Sep 2018 A	100,000,000	2017/08/24	2018/09/07	66.00
64058	Bank of East Asia – Tencent R Bull CBBC Jun 2018 T	40,000,000	2017/08/24	2018/06/20	10.00
64059	Bank of East Asia – Tencent R Bull CBBC Jun 2018 U	40,000,000	2017/08/24	2018/06/20	10.00
64061	Bank of East Asia – Tencent R Bull CBBC Jun 2018 V	40,000,000	2017/08/24	2018/06/20	10.00
64060	Bank of East Asia – Tencent R Bear CBBC Jun 2018 E	40,000,000	2017/08/24	2018/06/20	10.00
64034	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 Y	200,000,000	2017/08/24	2018/10/30	50.00
64069	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 B	200,000,000	2017/08/24	2017/11/29	50.00
64068	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 D	200,000,000	2017/08/24	2017/11/29	50.00
64070	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 G	200,000,000	2017/08/24	2017/11/29	50.00
64072	J P Morgan SP BV – Ping An R Bull CBBC Jan 2018 C	100,000,000	2017/08/24	2018/01/19	25.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64078	J P Morgan SP BV – Sunny Optical R Bull CBBC Jan 2018 B	60,000,000	2017/08/24	2018/01/19	15.00
64074	J P Morgan SP BV – Sunny Optical R Bull CBBC Mar 2018 A	60,000,000	2017/08/24	2018/03/16	15.00
64081	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 M	40,000,000	2017/08/24	2018/01/19	10.00
64084	J P Morgan SP BV – Tencent R Bull CBBC Feb 2018 F	40,000,000	2017/08/24	2018/02/15	10.00
64079	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 E	40,000,000	2017/08/24	2018/01/19	10.00
64080	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 K	40,000,000	2017/08/24	2018/02/15	10.00
64053	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 B	16,000,000	2017/08/24	2018/04/30	10.48
64054	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 C	16,000,000	2017/08/24	2018/04/30	10.02
64056	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 A	16,000,000	2017/08/24	2018/04/30	10.02
64057	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 B	15,000,000	2017/08/24	2018/04/30	10.04
64067	SG Issuer – HSI R Bull CBBC Jul 2019 A	200,000,000	2017/08/24	2019/07/30	50.00
64063	SG Issuer – HSI R Bear CBBC Dec 2017 I	200,000,000	2017/08/24	2017/12/28	50.00
64046	SG Issuer – Tencent R Bull CBBC Jun 2018 E	80,000,000	2017/08/24	2018/06/28	20.00
64048	SG Issuer – Tencent R Bull CBBC Jul 2018 D	80,000,000	2017/08/24	2018/07/30	20.00
64049	SG Issuer – Tencent R Bull CBBC Aug 2018 B	80,000,000	2017/08/24	2018/08/30	20.00
64031	SG Issuer – Tencent R Bear CBBC Feb 2018 H	80,000,000	2017/08/24	2018/02/27	20.00
64033	SG Issuer – Tencent R Bear CBBC May 2018 B	80,000,000	2017/08/24	2018/05/30	20.00
64118	UBS AG – HSI R Bear CBBC Nov 2017 F	200,000,000	2017/08/24	2017/11/29	50.00
64119	UBS AG – Tencent R Bull CBBC Jan 2018 I	40,000,000	2017/08/24	2018/01/16	10.00
64120	UBS AG – Tencent R Bull CBBC Mar 2018 G	40,000,000	2017/08/24	2018/03/16	10.00
64121	UBS AG – Tencent R Bear CBBC Feb 2018 E	40,000,000	2017/08/24	2018/02/13	15.40
64154	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 J	50,000,000	2017/08/25	2018/08/30	12.50
64142	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 S	250,000,000	2017/08/25	2018/09/27	62.50
64143	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 T	250,000,000	2017/08/25	2018/09/27	62.50
64144	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 Y	250,000,000	2017/08/25	2018/09/27	62.50
64145	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 Z	250,000,000	2017/08/25	2018/09/27	62.50
64141	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 B	250,000,000	2017/08/25	2018/03/28	62.50
64155	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 C	250,000,000	2017/08/25	2018/03/28	62.50
64151	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 G	200,000,000	2017/08/25	2018/08/30	50.00
64221	Credit Suisse AG – AIA R Bull CBBC Feb 2018 D	70,000,000	2017/08/25	2018/02/09	17.50
64225	Credit Suisse AG – China Life R Bull CBBC Mar 2018 A	40,000,000	2017/08/25	2018/03/12	10.00
64230	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Mar 2018 C	50,000,000	2017/08/25	2018/03/28	12.50
64231	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Mar 2018 D	50,000,000	2017/08/25	2018/03/15	12.50
64216	Credit Suisse AG – HKEX R Bull CBBC Oct 2018 A	50,000,000	2017/08/25	2018/10/31	12.50
64211	Credit Suisse AG – HSBC R Bull CBBC Jul 2018 A	50,000,000	2017/08/25	2018/07/06	12.50
64201	Credit Suisse AG – HSI R Bull CBBC May 2018 D	150,000,000	2017/08/25	2018/05/30	37.50
64207	Credit Suisse AG – HSI R Bull CBBC Oct 2018 A	200,000,000	2017/08/25	2018/10/30	50.00
64234	Credit Suisse AG – HSI R Bull CBBC Oct 2018 B	1,000,000,000	2017/08/25	2018/10/30	250.00
64210	Credit Suisse AG – HSI R Bear CBBC Nov 2017 Q	200,000,000	2017/08/25	2017/11/29	50.00
64220	Credit Suisse AG – HSI R Bear CBBC Jan 2018 L	150,000,000	2017/08/25	2018/01/30	37.50
64235	Credit Suisse AG – Ping An R Bear CBBC Mar 2018 F	100,000,000	2017/08/25	2018/03/09	25.00
64209	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 L	50,000,000	2017/08/25	2018/06/29	12.50
64217	Credit Suisse AG – Tencent R Bear CBBC Feb 2018 L	50,000,000	2017/08/25	2018/02/22	12.50
64168	Bank of East Asia – Ping An R Bull CBBC Jun 2018 F	16,000,000	2017/08/25	2018/06/19	10.40
64164	Bank of East Asia – Tencent R Bull CBBC Jun 2018 W	40,000,000	2017/08/25	2018/06/19	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64165	Bank of East Asia – Tencent R Bear CBBC Jun 2018 F	30,000,000	2017/08/25	2018/06/19	10.50
64149	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 A	200,000,000	2017/08/25	2018/10/30	50.60
64150	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 Q	200,000,000	2017/08/25	2018/10/30	51.00
64147	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 Z	200,000,000	2017/08/25	2018/10/30	50.40
64191	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/08/25	2017/11/29	50.00
64192	Goldman Sachs SP (Asia) – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/08/25	2017/11/29	50.00
64161	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 F	40,000,000	2017/08/25	2018/04/26	10.00
64162	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 G	40,000,000	2017/08/25	2018/04/27	10.00
64237	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 B	200,000,000	2017/08/25	2018/10/30	50.00
64238	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 Q	200,000,000	2017/08/25	2018/11/29	50.00
64244	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 H	200,000,000	2017/08/25	2017/11/29	50.00
64246	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 B	200,000,000	2017/08/25	2018/01/30	50.00
64240	J P Morgan SP BV – Ping An R Bull CBBC Feb 2018 F	150,000,000	2017/08/25	2018/02/15	37.50
64242	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 F	60,000,000	2017/08/25	2017/12/15	15.00
64241	J P Morgan SP BV – Tencent R Bear CBBC Mar 2018 I	40,000,000	2017/08/25	2018/03/16	10.00
64196	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 C	16,000,000	2017/08/25	2018/04/30	10.03
64130	SG Issuer – China Life R Bull CBBC Jul 2018 A	40,000,000	2017/08/25	2018/07/30	10.00
64133	SG Issuer – HKEX R Bull CBBC May 2018 B	50,000,000	2017/08/25	2018/05/30	12.50
64156	SG Issuer – HKEX R Bear CBBC May 2018 C	50,000,000	2017/08/25	2018/05/30	12.50
64136	SG Issuer – HSBC R Bull CBBC Sep 2018 H	100,000,000	2017/08/25	2018/09/27	25.00
64135	SG Issuer – HSBC R Bear CBBC Feb 2018 A	100,000,000	2017/08/25	2018/02/27	25.00
64253	SG Issuer – HSI R Bull CBBC Apr 2019 D	200,000,000	2017/08/25	2019/04/29	50.00
64247	SG Issuer – HSI R Bear CBBC Nov 2017 R	200,000,000	2017/08/25	2017/11/29	50.00
64249	SG Issuer – HSI R Bear CBBC Dec 2017 R	200,000,000	2017/08/25	2017/12/28	50.00
64252	SG Issuer – HSI R Bear CBBC Jan 2018 Q	200,000,000	2017/08/25	2018/01/30	50.00
64138	SG Issuer – ICBC R Bull CBBC Sep 2018 A	60,000,000	2017/08/25	2018/09/27	15.00
64160	SG Issuer – Ping An R Bull CBBC May 2018 D	100,000,000	2017/08/25	2018/05/30	25.00
64159	SG Issuer – Ping An R Bear CBBC Mar 2018 A	100,000,000	2017/08/25	2018/03/28	25.00
64139	SG Issuer – Tencent R Bull CBBC Jul 2018 E	80,000,000	2017/08/25	2018/07/30	20.00
64140	SG Issuer – Tencent R Bull CBBC Aug 2018 C	80,000,000	2017/08/25	2018/08/30	20.00
64199	UBS AG – HSI R Bull CBBC Oct 2018 R	200,000,000	2017/08/25	2018/10/30	50.00
64171	UBS AG – HSI R Bear CBBC Nov 2017 N	250,000,000	2017/08/25	2017/11/29	62.50
64175	UBS AG – HSI R Bear CBBC Nov 2017 O	200,000,000	2017/08/25	2017/11/29	50.00
64176	UBS AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/25	2017/11/29	50.00
64187	UBS AG – HSI R Bear CBBC Nov 2017 X	200,000,000	2017/08/25	2017/11/29	50.00
64178	UBS AG – Ping An R Bull CBBC Jan 2018 C	80,000,000	2017/08/25	2018/01/22	20.00
64186	UBS AG – Ping An R Bull CBBC Jan 2018 D	80,000,000	2017/08/25	2018/01/29	20.00
64177	UBS AG – Tencent R Bull CBBC Mar 2018 H	40,000,000	2017/08/25	2018/03/08	10.00
64293	Credit Suisse AG – CUni R Bull CBBC Feb 2018 C	50,000,000	2017/08/28	2018/02/23	12.50
64295	Credit Suisse AG – CUni R Bull CBBC Feb 2018 D	50,000,000	2017/08/28	2018/02/22	12.50
64276	Credit Suisse AG – CCB R Bull CBBC Sep 2018 G	70,000,000	2017/08/28	2018/09/19	17.50
64296	Credit Suisse AG – CNOOC R Bull CBBC Oct 2018 A	50,000,000	2017/08/28	2018/10/26	12.50
64291	Credit Suisse AG – Sinopec Corp R Bull CBBC Mar 2018 A	70,000,000	2017/08/28	2018/03/02	17.50
64274	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 F	100,000,000	2017/08/28	2018/06/21	25.00
64271	Credit Suisse AG – HSI R Bull CBBC Mar 2018 R	200,000,000	2017/08/28	2018/03/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64292	Credit Suisse AG – HSI R Bull CBBC Sep 2018 C	200,000,000	2017/08/28	2018/09/27	50.00
64269	Credit Suisse AG – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/08/28	2017/12/28	50.00
64279	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 D	70,000,000	2017/08/28	2018/09/21	17.50
64283	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 B	100,000,000	2017/08/28	2018/10/19	25.00
64284	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 E	60,000,000	2017/08/28	2018/04/19	48.00
64275	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 M	50,000,000	2017/08/28	2018/06/29	12.50
64298	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 A	200,000,000	2017/08/28	2018/12/28	50.00
64254	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 A	200,000,000	2017/08/28	2018/03/28	50.00
64316	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 G	200,000,000	2017/08/28	2018/12/28	50.00
64313	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 I	200,000,000	2017/08/28	2017/11/29	50.00
64315	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 J	200,000,000	2017/08/28	2017/11/29	50.00
64256	SG Issuer – CUni R Bull CBBC Feb 2018 A	40,000,000	2017/08/28	2018/02/07	10.00
64263	SG Issuer – CUni R Bull CBBC Mar 2018 C	40,000,000	2017/08/28	2018/03/08	10.00
64265	SG Issuer – CNOOC R Bull CBBC Feb 2018 A	40,000,000	2017/08/28	2018/02/07	10.00
64267	SG Issuer – CNOOC R Bull CBBC Mar 2018 B	40,000,000	2017/08/28	2018/03/08	10.00
64312	SG Issuer – HSI R Bull CBBC Dec 2018 H	200,000,000	2017/08/28	2018/12/28	50.00
64307	SG Issuer – HSI R Bull CBBC Dec 2018 L	200,000,000	2017/08/28	2018/12/28	50.00
64303	SG Issuer – HSI R Bear CBBC Nov 2017 S	200,000,000	2017/08/28	2017/11/29	50.00
64306	SG Issuer – HSI R Bear CBBC Dec 2017 T	200,000,000	2017/08/28	2017/12/28	50.00
64268	UBS AG – HSI R Bear CBBC Dec 2017 S	250,000,000	2017/08/28	2017/12/28	62.50
64326	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 T	100,000,000	2017/08/29	2018/08/30	25.00
64320	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 H	200,000,000	2017/08/29	2018/08/30	50.00
64374	Credit Suisse AG – AIA R Bull CBBC Jun 2018 B	100,000,000	2017/08/29	2018/06/22	25.00
64371	Credit Suisse AG – CNOOC R Bull CBBC Oct 2018 B	50,000,000	2017/08/29	2018/10/19	12.50
64373	Credit Suisse AG – CNOOC R Bear CBBC Mar 2018 A	100,000,000	2017/08/29	2018/03/02	25.00
64370	Credit Suisse AG – HSBC R Bull CBBC Jul 2018 B	50,000,000	2017/08/29	2018/07/13	12.50
64367	Credit Suisse AG – HSI R Bull CBBC May 2018 E	200,000,000	2017/08/29	2018/05/30	50.00
64364	Credit Suisse AG – HSI R Bear CBBC Nov 2017 E	200,000,000	2017/08/29	2017/11/29	50.00
64377	Credit Suisse AG – HSI R Bear CBBC Nov 2017 U	200,000,000	2017/08/29	2017/11/29	50.00
64366	Credit Suisse AG – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/08/29	2017/12/28	50.00
64368	Credit Suisse AG – HSI R Bear CBBC Jan 2018 M	200,000,000	2017/08/29	2018/01/30	50.00
64369	Credit Suisse AG – HSI R Bear CBBC Jan 2018 N	200,000,000	2017/08/29	2018/01/30	50.00
64376	Credit Suisse AG – HSI R Bear CBBC Jan 2018 O	200,000,000	2017/08/29	2018/01/30	50.00
64378	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 C	100,000,000	2017/08/29	2018/10/19	25.00
64375	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 F	100,000,000	2017/08/29	2018/04/11	110.00
64385	Bank of East Asia – AAC Tech R Bull CBBC Jun 2018 B	40,000,000	2017/08/29	2018/06/22	10.00
64382	Bank of East Asia – Galaxy Ent R Bull CBBC Jun 2018 C	16,000,000	2017/08/29	2018/06/22	10.40
64386	Bank of East Asia – Ping An R Bull CBBC Jun 2018 G	16,000,000	2017/08/29	2018/06/22	10.40
64387	Bank of East Asia – Ping An R Bull CBBC Jun 2018 H	16,000,000	2017/08/29	2018/06/22	10.40
64363	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 H	200,000,000	2017/08/29	2018/11/29	50.00
64318	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 B	200,000,000	2017/08/29	2018/03/28	50.00
64319	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 C	200,000,000	2017/08/29	2018/03/28	50.00
64348	Haitong Int'l Sec – AAC Tech R Bull CBBC Jul 2018 B	40,000,000	2017/08/29	2018/07/20	10.00
64354	Haitong Int'l Sec – CUni R Bull CBBC Mar 2018 A	60,000,000	2017/08/29	2018/03/27	15.00
64357	Haitong Int'l Sec – CUni R Bull CBBC Apr 2018 A	60,000,000	2017/08/29	2018/04/13	15.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64342	Haitong Int'l Sec – CM Bank R Bull CBBC Aug 2018 A	40,000,000	2017/08/29	2018/08/07	10.00
64349	Haitong Int'l Sec – CNOOC R Bull CBBC Apr 2018 A	50,000,000	2017/08/29	2018/04/24	12.50
64353	Haitong Int'l Sec – China Shenhua R Bull CBBC Aug 2018 A	40,000,000	2017/08/29	2018/08/02	10.00
64345	Haitong Int'l Sec – Evergrande R Bull CBBC Aug 2018 B	40,000,000	2017/08/29	2018/08/01	13.20
64350	Haitong Int'l Sec – PetCh R Bull CBBC Apr 2018 A	80,000,000	2017/08/29	2018/04/25	20.00
64453	J P Morgan SP BV – CUni R Bull CBBC Feb 2018 B	40,000,000	2017/08/29	2018/02/15	10.00
64454	J P Morgan SP BV – CUni R Bull CBBC Mar 2018 B	40,000,000	2017/08/29	2018/03/16	10.00
64400	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 C	200,000,000	2017/08/29	2018/10/30	50.00
64451	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 R	200,000,000	2017/08/29	2018/11/29	50.00
64398	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 K	200,000,000	2017/08/29	2017/11/29	50.00
64399	J P Morgan SP BV – HSI R Bear CBBC Nov 2017 L	200,000,000	2017/08/29	2017/11/29	50.00
64455	J P Morgan SP BV – Ping An R Bull CBBC Mar 2018 B	150,000,000	2017/08/29	2018/03/16	37.50
64456	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 L	40,000,000	2017/08/29	2018/02/15	10.00
64328	SG Issuer – AIA R Bull CBBC Apr 2018 A	60,000,000	2017/08/29	2018/04/11	15.00
64457	SG Issuer – COVS R Bull CBBC Aug 2018 A	40,000,000	2017/08/29	2018/08/10	10.00
64330	SG Issuer – China Life R Bull CBBC Feb 2018 E	40,000,000	2017/08/29	2018/02/22	10.00
64331	SG Issuer – CP&CC R Bull CBBC Oct 2018 A	40,000,000	2017/08/29	2018/10/22	10.00
64463	SG Issuer – HSI R Bull CBBC Dec 2018 I	200,000,000	2017/08/29	2018/12/28	50.00
64471	SG Issuer – HSI R Bull CBBC Feb 2019 A	200,000,000	2017/08/29	2019/02/27	50.00
64472	SG Issuer – HSI R Bull CBBC Apr 2019 E	200,000,000	2017/08/29	2019/04/29	50.00
64461	SG Issuer – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/08/29	2017/12/28	50.00
64339	SG Issuer – PetroChina R Bull CBBC Apr 2018 B	40,000,000	2017/08/29	2018/04/11	10.00
64473	SG Issuer – Ping An R Bull CBBC Apr 2018 B	100,000,000	2017/08/29	2018/04/10	25.00
64394	UBS AG – HSI R Bull CBBC Oct 2018 S	200,000,000	2017/08/29	2018/10/30	50.00
64397	UBS AG – HSI R Bull CBBC Nov 2018 R	250,000,000	2017/08/29	2018/11/29	62.50
64391	UBS AG – HSI R Bear CBBC Dec 2017 T	250,000,000	2017/08/29	2017/12/28	62.50
64486	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 B	250,000,000	2017/08/31	2018/09/27	62.50
64478	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 D	250,000,000	2017/08/31	2018/03/28	62.50
64482	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 E	250,000,000	2017/08/31	2018/03/28	62.50
64485	BNP Paribas Issuance B.V. – Ping An R Bull CBBC Aug 2018 I	200,000,000	2017/08/31	2018/08/30	50.00
64531	Credit Suisse AG – BOCL R Bull CBBC Oct 2018 B	70,000,000	2017/08/31	2018/10/26	17.50
64514	Credit Suisse AG – CCB R Bull CBBC Sep 2018 H	70,000,000	2017/08/31	2018/09/14	17.50
64515	Credit Suisse AG – CCB R Bear CBBC Mar 2018 B	100,000,000	2017/08/31	2018/03/09	25.00
64540	Credit Suisse AG – CM Bank R Bull CBBC Oct 2018 A	70,000,000	2017/08/31	2018/10/19	17.50
64543	Credit Suisse AG – CM Bank R Bull CBBC Oct 2018 B	70,000,000	2017/08/31	2018/10/26	17.50
64522	Credit Suisse AG – HSCEI R Bull CBBC Mar 2018 F	120,000,000	2017/08/31	2018/03/28	30.00
64523	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 K	120,000,000	2017/08/31	2018/04/27	30.00
64524	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 C	150,000,000	2017/08/31	2018/01/30	37.50
64525	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 D	150,000,000	2017/08/31	2018/01/30	37.50
64511	Credit Suisse AG – HSI R Bull CBBC May 2018 F	200,000,000	2017/08/31	2018/05/30	50.00
64508	Credit Suisse AG – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/08/31	2017/12/28	50.00
64509	Credit Suisse AG – HSI R Bear CBBC Feb 2018 D	200,000,000	2017/08/31	2018/02/27	50.00
64512	Credit Suisse AG – HSI R Bear CBBC Feb 2018 E	200,000,000	2017/08/31	2018/02/27	50.00
64528	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 E	70,000,000	2017/08/31	2018/09/13	17.50
64529	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 F	70,000,000	2017/08/31	2018/09/14	17.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64517	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 D	70,000,000	2017/08/31	2018/10/24	17.50
64538	Credit Suisse AG – Ping An R Bear CBBC Mar 2018 G	50,000,000	2017/08/31	2018/03/07	76.50
64532	Credit Suisse AG – Sunny Optical R Bull CBBC Mar 2018 C	40,000,000	2017/08/31	2018/03/01	10.00
64533	Credit Suisse AG – Sunny Optical R Bear CBBC Feb 2018 C	40,000,000	2017/08/31	2018/02/23	10.00
64513	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 N	50,000,000	2017/08/31	2018/06/22	12.50
64546	Credit Suisse AG – Tencent R Bear CBBC Jul 2018 B	100,000,000	2017/08/31	2018/07/20	53.00
64548	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 B	200,000,000	2017/08/31	2018/12/28	50.00
64547	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 D	200,000,000	2017/08/31	2018/03/28	50.00
64549	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 E	200,000,000	2017/08/31	2018/03/28	50.00
64556	J P Morgan SP BV – BOCL R Bull CBBC Aug 2018 B	150,000,000	2017/08/31	2018/08/17	37.50
64557	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2018 C	150,000,000	2017/08/31	2018/09/21	37.50
64559	J P Morgan SP BV – CNOOC R Bull CBBC Nov 2018 A	80,000,000	2017/08/31	2018/11/16	20.00
64552	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 U	200,000,000	2017/08/31	2018/10/30	50.00
64554	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 S	200,000,000	2017/08/31	2018/11/29	50.00
64550	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 L	200,000,000	2017/08/31	2017/12/28	50.00
64551	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/08/31	2017/12/28	50.00
64555	J P Morgan SP BV – ICBC R Bull CBBC Aug 2018 B	150,000,000	2017/08/31	2018/08/17	37.50
64475	Macquarie Bank Ltd. – Ping An R Bull CBBC Sep 2018 B	40,000,000	2017/08/31	2018/09/27	10.00
64477	Macquarie Bank Ltd. – Ping An R Bull CBBC Sep 2018 C	40,000,000	2017/08/31	2018/09/27	10.00
64474	Macquarie Bank Ltd. – Ping An R Bear CBBC Sep 2018 A	40,000,000	2017/08/31	2018/09/27	10.00
64567	SG Issuer – CCB R Bear CBBC Sep 2018 A	100,000,000	2017/08/31	2018/09/13	25.00
64561	SG Issuer – HSI R Bull CBBC Nov 2018 R	200,000,000	2017/08/31	2018/11/29	50.00
64562	SG Issuer – HSI R Bull CBBC Dec 2018 J	200,000,000	2017/08/31	2018/12/28	50.00
64564	SG Issuer – HSI R Bull CBBC Mar 2019 H	200,000,000	2017/08/31	2019/03/28	50.00
64560	SG Issuer – HSI R Bear CBBC Dec 2017 W	200,000,000	2017/08/31	2017/12/28	50.00
64575	SG Issuer – HSI R Bear CBBC Jan 2018 R	200,000,000	2017/08/31	2018/01/30	50.00
64570	SG Issuer – ICBC R Bear CBBC Sep 2018 A	60,000,000	2017/08/31	2018/09/14	15.00
64571	SG Issuer – Ping An R Bull CBBC Apr 2018 C	100,000,000	2017/08/31	2018/04/25	25.00
64573	SG Issuer – Ping An R Bear CBBC May 2018 C	100,000,000	2017/08/31	2018/05/16	25.00
64574	SG Issuer – Ping An R Bear CBBC Oct 2018 A	100,000,000	2017/08/31	2018/10/11	25.00
64506	UBS AG – HSI R Bull CBBC Sep 2018 Q	200,000,000	2017/08/31	2018/09/27	50.00
64504	UBS AG – HSI R Bull CBBC Oct 2018 T	250,000,000	2017/08/31	2018/10/30	62.50
64500	UBS AG – HSI R Bull CBBC Nov 2018 S	200,000,000	2017/08/31	2018/11/29	50.00
64497	UBS AG – HSI R Bear CBBC Dec 2017 U	200,000,000	2017/08/31	2017/12/28	50.00
64499	UBS AG – HSI R Bear CBBC Dec 2017 V	250,000,000	2017/08/31	2017/12/28	62.50
64583	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 K	50,000,000	2017/09/01	2018/08/30	12.50
64584	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 U	100,000,000	2017/09/01	2018/08/30	25.00
64586	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 V	100,000,000	2017/09/01	2018/08/30	25.00
64579	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 A	250,000,000	2017/09/01	2018/09/27	62.50
64578	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 U	250,000,000	2017/09/01	2018/09/27	62.50
64590	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 V	250,000,000	2017/09/01	2018/09/27	62.50
64577	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 I	250,000,000	2017/09/01	2018/03/28	62.50
64582	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 J	250,000,000	2017/09/01	2018/03/28	62.50
64689	Credit Suisse AG – BOCL R Bull CBBC Sep 2018 B	70,000,000	2017/09/01	2018/09/28	17.50
64668	Credit Suisse AG – CCB R Bull CBBC Sep 2018 I	70,000,000	2017/09/01	2018/09/20	17.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64673	Credit Suisse AG – CCB R Bear CBBC Mar 2018 C	100,000,000	2017/09/01	2018/03/01	25.00
64678	Credit Suisse AG – CCB R Bear CBBC Mar 2018 D	100,000,000	2017/09/01	2018/03/08	25.00
64691	Credit Suisse AG – China Life R Bull CBBC Jul 2018 A	40,000,000	2017/09/01	2018/07/20	10.00
64692	Credit Suisse AG – China Life R Bear CBBC Feb 2018 A	100,000,000	2017/09/01	2018/02/28	25.00
64640	Credit Suisse AG – CNOOC R Bull CBBC Oct 2018 C	50,000,000	2017/09/01	2018/10/25	12.50
64648	Credit Suisse AG – CNOOC R Bear CBBC Feb 2018 A	50,000,000	2017/09/01	2018/02/28	12.50
64666	Credit Suisse AG – CNOOC R Bear CBBC Feb 2018 B	50,000,000	2017/09/01	2018/02/28	12.50
64695	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Apr 2018 A	50,000,000	2017/09/01	2018/04/20	12.50
64639	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 G	50,000,000	2017/09/01	2018/06/22	12.50
64633	Credit Suisse AG – HSCEI R Bull CBBC Mar 2018 G	120,000,000	2017/09/01	2018/03/28	30.00
64635	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 E	150,000,000	2017/09/01	2018/01/30	37.50
64636	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 F	150,000,000	2017/09/01	2018/01/30	37.50
64621	Credit Suisse AG – HSI R Bull CBBC Mar 2018 S	200,000,000	2017/09/01	2018/03/28	50.00
64620	Credit Suisse AG – HSI R Bull CBBC May 2018 G	200,000,000	2017/09/01	2018/05/30	50.00
64624	Credit Suisse AG – HSI R Bull CBBC Sep 2018 D	200,000,000	2017/09/01	2018/09/27	50.00
64619	Credit Suisse AG – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/09/01	2017/12/28	50.00
64628	Credit Suisse AG – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/09/01	2017/12/28	50.00
64632	Credit Suisse AG – HSI R Bear CBBC Dec 2017 G	200,000,000	2017/09/01	2017/12/28	50.00
64615	Credit Suisse AG – HSI R Bear CBBC Jan 2018 P	200,000,000	2017/09/01	2018/01/30	50.00
64625	Credit Suisse AG – HSI R Bear CBBC Feb 2018 F	200,000,000	2017/09/01	2018/02/27	50.00
64626	Credit Suisse AG – HSI R Bear CBBC Feb 2018 G	200,000,000	2017/09/01	2018/02/27	50.00
64680	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 G	70,000,000	2017/09/01	2018/09/28	17.50
64681	Credit Suisse AG – ICBC R Bear CBBC Mar 2018 B	100,000,000	2017/09/01	2018/03/01	25.00
64685	Credit Suisse AG – ICBC R Bear CBBC Mar 2018 C	100,000,000	2017/09/01	2018/03/02	25.00
64589	Goldman Sachs SP (Asia) – HSCEI R Bear CBBC Mar 2018 A	200,000,000	2017/09/01	2018/03/28	50.00
64727	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 C	200,000,000	2017/09/01	2018/12/28	50.00
64729	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 D	200,000,000	2017/09/01	2018/12/28	50.00
64587	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 F	200,000,000	2017/09/01	2018/03/28	50.00
64588	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 G	200,000,000	2017/09/01	2018/03/28	50.00
64738	J P Morgan SP BV – CC Bank R Bull CBBC Aug 2018 D	150,000,000	2017/09/01	2018/08/17	37.50
64736	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2018 D	150,000,000	2017/09/01	2018/09/21	37.50
64734	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 T	40,000,000	2017/09/01	2017/12/15	10.00
64735	J P Morgan SP BV – HKEX R Bull CBBC Dec 2017 U	40,000,000	2017/09/01	2017/12/15	10.00
64744	J P Morgan SP BV – HSBC R Bull CBBC Aug 2018 C	100,000,000	2017/09/01	2018/08/17	25.00
64765	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2018 A	100,000,000	2017/09/01	2018/09/27	25.00
64757	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 S	200,000,000	2017/09/01	2018/10/30	50.00
64758	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 T	200,000,000	2017/09/01	2018/11/29	50.00
64764	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 H	200,000,000	2017/09/01	2018/12/28	50.00
64745	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/09/01	2017/12/28	50.00
64769	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/09/01	2017/12/28	50.00
64772	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 P	200,000,000	2017/09/01	2017/12/28	50.00
64749	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 A	200,000,000	2017/09/01	2018/01/30	50.00
64756	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 T	200,000,000	2017/09/01	2018/02/27	64.00
64741	J P Morgan SP BV – ICBC R Bull CBBC Sep 2018 B	150,000,000	2017/09/01	2018/09/21	37.50
64730	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 G	40,000,000	2017/09/01	2017/12/15	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64731	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 F	40,000,000	2017/09/01	2018/01/19	10.00
64703	SG Issuer – ABC R Bull CBBC Aug 2018 C	40,000,000	2017/09/01	2018/08/21	10.00
64705	SG Issuer – BOCL R Bull CBBC Aug 2018 E	60,000,000	2017/09/01	2018/08/23	15.00
64706	SG Issuer – CCB R Bull CBBC Aug 2018 F	100,000,000	2017/09/01	2018/08/21	25.00
64707	SG Issuer – CCB R Bear CBBC Oct 2018 A	100,000,000	2017/09/01	2018/10/23	25.00
64710	SG Issuer – China Life R Bull CBBC Apr 2018 D	40,000,000	2017/09/01	2018/04/11	10.00
64720	SG Issuer – CSA50 R Bull CBBC Apr 2018 C	40,000,000	2017/09/01	2018/04/11	10.00
64711	SG Issuer – HKEX R Bull CBBC Jun 2018 C	50,000,000	2017/09/01	2018/06/07	12.50
64712	SG Issuer – HKEX R Bull CBBC Jun 2018 D	50,000,000	2017/09/01	2018/06/21	12.50
64722	SG Issuer – HS H-SH ETF R Bull CBBC Apr 2018 B	100,000,000	2017/09/01	2018/04/10	25.00
64726	SG Issuer – HS H-SH ETF R Bull CBBC Sep 2018 A	100,000,000	2017/09/01	2018/09/12	25.00
64713	SG Issuer – HSBC R Bull CBBC Apr 2018 E	100,000,000	2017/09/01	2018/04/26	25.00
64699	SG Issuer – HSI R Bull CBBC Mar 2019 I	200,000,000	2017/09/01	2019/03/28	50.00
64701	SG Issuer – HSI R Bull CBBC Mar 2019 J	200,000,000	2017/09/01	2019/03/28	50.00
64702	SG Issuer – HSI R Bull CBBC Apr 2019 F	200,000,000	2017/09/01	2019/04/29	50.00
64697	SG Issuer – HSI R Bear CBBC Dec 2017 L	200,000,000	2017/09/01	2017/12/28	50.00
64698	SG Issuer – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/09/01	2017/12/28	50.00
64714	SG Issuer – ICBC R Bull CBBC Aug 2018 E	60,000,000	2017/09/01	2018/08/20	15.00
64719	SG Issuer – ICBC R Bear CBBC Sep 2018 B	60,000,000	2017/09/01	2018/09/18	15.00
64602	UBS AG – CC Bank R Bull CBBC Aug 2018 C	60,000,000	2017/09/01	2018/08/20	15.00
64603	UBS AG – China Life R Bull CBBC Jul 2018 B	40,000,000	2017/09/01	2018/07/23	10.00
64595	UBS AG – HSCEI R Bull CBBC Jul 2018 A	100,000,000	2017/09/01	2018/07/30	25.00
64604	UBS AG – HSI R Bull CBBC Oct 2018 U	200,000,000	2017/09/01	2018/10/30	50.00
64608	UBS AG – HSI R Bull CBBC Oct 2018 V	250,000,000	2017/09/01	2018/10/30	62.50
64607	UBS AG – HSI R Bull CBBC Nov 2018 T	250,000,000	2017/09/01	2018/11/29	62.50
64612	UBS AG – HSI R Bull CBBC Nov 2018 U	200,000,000	2017/09/01	2018/11/29	50.00
64591	UBS AG – HSI R Bear CBBC Dec 2017 W	250,000,000	2017/09/01	2017/12/28	62.50
64593	UBS AG – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/09/01	2017/12/28	50.00
64594	UBS AG – HSI R Bear CBBC Dec 2017 Y	250,000,000	2017/09/01	2017/12/28	62.50
64601	UBS AG – Ping An R Bull CBBC Aug 2018 A	80,000,000	2017/09/01	2018/08/20	20.00
64782	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 W	250,000,000	2017/09/04	2018/09/27	62.50
64785	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 X	250,000,000	2017/09/04	2018/09/27	62.50
64773	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 K	250,000,000	2017/09/04	2018/03/28	62.50
64774	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 L	250,000,000	2017/09/04	2018/03/28	62.50
64931	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 H	50,000,000	2017/09/04	2018/06/28	12.50
64932	Credit Suisse AG – HKEX R Bear CBBC Apr 2018 A	100,000,000	2017/09/04	2018/04/11	64.00
64935	Credit Suisse AG – HSI R Bull CBBC Mar 2018 U	200,000,000	2017/09/04	2018/03/28	50.00
64942	Credit Suisse AG – HSI R Bull CBBC May 2018 H	200,000,000	2017/09/04	2018/05/30	50.00
64941	Credit Suisse AG – HSI R Bull CBBC Sep 2018 B	200,000,000	2017/09/04	2018/09/27	50.00
64930	Credit Suisse AG – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/09/04	2017/12/28	50.00
64945	Credit Suisse AG – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/09/04	2017/12/28	50.00
64926	Credit Suisse AG – HSI R Bear CBBC Jan 2018 Q	200,000,000	2017/09/04	2018/01/30	50.00
64947	Credit Suisse AG – HSI R Bear CBBC Feb 2018 H	200,000,000	2017/09/04	2018/02/27	52.00
64949	Credit Suisse AG – HSI R Bear CBBC Feb 2018 I	200,000,000	2017/09/04	2018/02/27	66.00
64943	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 O	50,000,000	2017/09/04	2018/06/27	12.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64855	Bank of East Asia – CC Bank R Bull CBBC Aug 2018 A	40,000,000	2017/09/04	2018/08/28	10.00
64856	Bank of East Asia – CM Bank R Bull CBBC Aug 2018 A	25,000,000	2017/09/04	2018/08/28	10.00
64850	Bank of East Asia – HKEX R Bull CBBC Jun 2018 F	40,000,000	2017/09/04	2018/06/28	10.00
64848	Bank of East Asia – Tencent R Bear CBBC Jun 2018 G	30,000,000	2017/09/04	2018/06/28	10.50
64846	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 E	200,000,000	2017/09/04	2018/12/28	50.00
64847	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 F	200,000,000	2017/09/04	2018/12/28	50.00
64862	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 G	200,000,000	2017/09/04	2018/12/28	50.00
64775	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 H	200,000,000	2017/09/04	2018/03/28	50.00
64837	HK Bank – AIA R Bull CBBC Aug 2018 A	60,000,000	2017/09/04	2018/08/06	15.00
64840	HK Bank – BOCL R Bull CBBC Aug 2018 E	80,000,000	2017/09/04	2018/08/13	20.00
64835	HK Bank – COVS R Bull CBBC Aug 2018 B	40,000,000	2017/09/04	2018/08/06	14.00
64836	HK Bank – CC Bank R Bull CBBC Aug 2018 G	80,000,000	2017/09/04	2018/08/13	20.00
64821	HK Bank – China Life R Bull CBBC Jul 2018 C	40,000,000	2017/09/04	2018/07/23	10.00
64819	HK Bank – China Life R Bull CBBC Aug 2018 C	40,000,000	2017/09/04	2018/08/06	14.00
64841	HK Bank – CM Bank R Bull CBBC Aug 2018 C	40,000,000	2017/09/04	2018/08/13	14.00
64842	HK Bank – CNOOC R Bull CBBC Aug 2018 B	50,000,000	2017/09/04	2018/08/20	12.50
64844	HK Bank – CNOOC R Bull CBBC Aug 2018 C	50,000,000	2017/09/04	2018/08/06	12.50
64799	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2018 C	50,000,000	2017/09/04	2018/04/23	12.50
64802	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2018 D	50,000,000	2017/09/04	2018/04/16	12.50
64787	HK Bank – HKEX R Bull CBBC Jun 2018 I	50,000,000	2017/09/04	2018/06/25	12.50
64788	HK Bank – HKEX R Bull CBBC Jun 2018 J	50,000,000	2017/09/04	2018/06/19	12.50
64794	HK Bank – HKEX R Bull CBBC Jul 2018 Q	50,000,000	2017/09/04	2018/07/16	12.50
64813	HK Bank – HSCEI R Bull CBBC Apr 2018 F	60,000,000	2017/09/04	2018/04/27	15.00
64815	HK Bank – HSCEI R Bull CBBC Apr 2018 G	60,000,000	2017/09/04	2018/04/27	15.00
64804	HK Bank – HSI R Bull CBBC Apr 2018 R	100,000,000	2017/09/04	2018/04/27	25.00
64795	HK Bank – HSI R Bull CBBC Apr 2018 V	100,000,000	2017/09/04	2018/04/27	25.00
64800	HK Bank – HSI R Bull CBBC Apr 2018 W	150,000,000	2017/09/04	2018/04/27	37.50
64838	HK Bank – ICBC R Bull CBBC Aug 2018 E	80,000,000	2017/09/04	2018/08/20	20.00
64839	HK Bank – ICBC R Bull CBBC Aug 2018 F	80,000,000	2017/09/04	2018/08/06	20.00
64827	HK Bank – Ping An R Bull CBBC Apr 2018 C	80,000,000	2017/09/04	2018/04/16	20.00
64829	HK Bank – Ping An R Bull CBBC Apr 2018 D	80,000,000	2017/09/04	2018/04/23	20.00
64817	HK Bank – Ping An R Bear CBBC Apr 2018 B	40,000,000	2017/09/04	2018/04/16	10.00
64818	HK Bank – Tencent R Bull CBBC Apr 2018 K	60,000,000	2017/09/04	2018/04/23	15.00
64820	HK Bank – Tencent R Bull CBBC Apr 2018 N	60,000,000	2017/09/04	2018/04/16	15.00
64822	HK Bank – Tencent R Bear CBBC Mar 2018 I	50,000,000	2017/09/04	2018/03/12	12.50
64825	HK Bank – Tencent R Bear CBBC Mar 2018 J	50,000,000	2017/09/04	2018/03/19	12.50
64826	HK Bank – Tencent R Bear CBBC Mar 2018 K	50,000,000	2017/09/04	2018/03/26	12.50
64924	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Apr 2018 A	60,000,000	2017/09/04	2018/04/20	15.00
64925	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC May 2018 A	60,000,000	2017/09/04	2018/05/18	15.00
64917	J P Morgan SP BV – HSI R Bull CBBC Oct 2018 Q	200,000,000	2017/09/04	2018/10/30	50.00
64919	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 U	200,000,000	2017/09/04	2018/11/29	50.00
64920	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 I	200,000,000	2017/09/04	2018/12/28	50.00
64908	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 Q	200,000,000	2017/09/04	2017/12/28	50.00
64912	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 R	200,000,000	2017/09/04	2017/12/28	50.00
64913	J P Morgan SP BV – HSI R Bear CBBC Feb 2018 U	200,000,000	2017/09/04	2018/02/27	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64831	Macquarie Bank Ltd. – HSBC R Bull CBBC Oct 2018 A	40,000,000	2017/09/04	2018/10/30	10.00
64832	Macquarie Bank Ltd. – HSBC R Bull CBBC Oct 2018 B	40,000,000	2017/09/04	2018/10/30	10.00
64833	Macquarie Bank Ltd. – HSBC R Bear CBBC Oct 2018 A	40,000,000	2017/09/04	2018/10/30	10.00
64777	SG Issuer – CCB R Bull CBBC Aug 2018 G	100,000,000	2017/09/04	2018/08/13	25.00
64951	SG Issuer – China Life R Bear CBBC Apr 2018 A	40,000,000	2017/09/04	2018/04/16	12.80
64874	SG Issuer – CSA50 R Bull CBBC May 2018 A	40,000,000	2017/09/04	2018/05/28	10.00
64872	SG Issuer – CSA50 R Bear CBBC May 2018 B	40,000,000	2017/09/04	2018/05/17	10.00
64858	SG Issuer – HKEX R Bull CBBC Feb 2018 L	50,000,000	2017/09/04	2018/02/12	12.50
64875	SG Issuer – HS H-SH ETF R Bull CBBC May 2018 A	100,000,000	2017/09/04	2018/05/16	25.00
64879	SG Issuer – HS H-SH ETF R Bear CBBC Aug 2018 A	100,000,000	2017/09/04	2018/08/15	25.00
64857	SG Issuer – HSI R Bull CBBC Apr 2019 G	200,000,000	2017/09/04	2019/04/29	50.00
64867	SG Issuer – HSI R Bull CBBC Apr 2019 H	200,000,000	2017/09/04	2019/04/29	50.00
64869	SG Issuer – HSI R Bull CBBC Apr 2019 I	200,000,000	2017/09/04	2019/04/29	50.00
64870	SG Issuer – HSI R Bull CBBC Jul 2019 B	200,000,000	2017/09/04	2019/07/30	50.00
64863	SG Issuer – HSI R Bear CBBC Jan 2018 S	200,000,000	2017/09/04	2018/01/30	50.00
64866	SG Issuer – HSI R Bear CBBC Feb 2018 F	200,000,000	2017/09/04	2018/02/27	57.00
64779	SG Issuer – ICBC R Bull CBBC Aug 2018 F	60,000,000	2017/09/04	2018/08/14	15.00
64859	SG Issuer – Tencent R Bull CBBC Jan 2018 W	80,000,000	2017/09/04	2018/01/11	20.00
64781	SG Issuer – Tencent R Bull CBBC Apr 2018 H	80,000,000	2017/09/04	2018/04/11	20.00
64952	SG Issuer – Tencent R Bear CBBC Mar 2018 K	80,000,000	2017/09/04	2018/03/26	20.00
64890	UBS AG – HSI R Bull CBBC Sep 2018 R	250,000,000	2017/09/04	2018/09/27	62.50
64891	UBS AG – HSI R Bull CBBC Sep 2018 S	200,000,000	2017/09/04	2018/09/27	50.00
64894	UBS AG – HSI R Bull CBBC Oct 2018 W	250,000,000	2017/09/04	2018/10/30	62.50
64889	UBS AG – HSI R Bull CBBC Nov 2018 V	200,000,000	2017/09/04	2018/11/29	50.00
64897	UBS AG – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/09/04	2017/12/28	50.00
64886	UBS AG – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/09/04	2017/12/28	50.00
64898	UBS AG – HSI R Bear CBBC Dec 2017 N	250,000,000	2017/09/04	2017/12/28	62.50
64906	UBS AG – HSI R Bear CBBC Dec 2017 Q	250,000,000	2017/09/04	2017/12/28	62.50
64885	UBS AG – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/09/04	2017/12/28	50.00
64896	UBS AG – HSI R Bear CBBC Feb 2018 A	250,000,000	2017/09/04	2018/02/27	75.00
64983	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 Q	50,000,000	2017/09/05	2018/08/30	12.50
65082	Credit Suisse AG – HSI R Bull CBBC Mar 2018 Y	200,000,000	2017/09/05	2018/03/28	50.00
65084	Credit Suisse AG – HSI R Bull CBBC Sep 2018 E	200,000,000	2017/09/05	2018/09/27	50.00
65089	Credit Suisse AG – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/09/05	2017/12/28	50.00
65086	Credit Suisse AG – HSI R Bear CBBC Jan 2018 R	200,000,000	2017/09/05	2018/01/30	50.00
65092	Credit Suisse AG – HSI R Bear CBBC Jan 2018 S	200,000,000	2017/09/05	2018/01/30	50.00
65098	Credit Suisse AG – HSI R Bear CBBC Feb 2018 J	200,000,000	2017/09/05	2018/02/27	50.00
65099	Credit Suisse AG – HSI R Bear CBBC Feb 2018 K	200,000,000	2017/09/05	2018/02/27	60.00
65100	Credit Suisse AG – HSI R Bear CBBC Feb 2018 L	200,000,000	2017/09/05	2018/02/27	62.00
65093	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 P	50,000,000	2017/09/05	2018/06/14	12.50
65097	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 Q	50,000,000	2017/09/05	2018/06/29	12.50
65028	Bank of East Asia – China Shenhua R Bull CBBC Aug 2018 A	30,000,000	2017/09/05	2018/08/28	10.50
65025	Bank of East Asia – Tencent R Bull CBBC Jun 2018 X	40,000,000	2017/09/05	2018/06/20	10.00
65024	Bank of East Asia – Tencent R Bear CBBC Jun 2018 H	30,000,000	2017/09/05	2018/06/20	10.50
64966	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 I	200,000,000	2017/09/05	2018/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65079	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 I	200,000,000	2017/09/05	2018/03/28	50.00
65081	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 J	200,000,000	2017/09/05	2018/03/28	50.00
65005	HK Bank – AAC Tech R Bull CBBC Jul 2018 C	40,000,000	2017/09/05	2018/07/23	10.00
65036	HK Bank – AAC Tech R Bull CBBC Aug 2018 C	40,000,000	2017/09/05	2018/08/06	12.00
65001	HK Bank – ABC R Bull CBBC Aug 2018 E	80,000,000	2017/09/05	2018/08/06	20.00
64992	HK Bank – CUni R Bear CBBC Apr 2018 B	40,000,000	2017/09/05	2018/04/09	10.00
64994	HK Bank – CNOOC R Bull CBBC Jul 2018 B	50,000,000	2017/09/05	2018/07/23	12.50
64985	HK Bank – Sinopec Corp R Bull CBBC Aug 2018 A	50,000,000	2017/09/05	2018/08/06	12.50
65043	HK Bank – Evergrande R Bull CBBC Aug 2018 A	40,000,000	2017/09/05	2018/08/13	24.00
64986	HK Bank – HKEX R Bull CBBC Jul 2018 R	50,000,000	2017/09/05	2018/07/23	12.50
64987	HK Bank – HKEX R Bull CBBC Jul 2018 S	50,000,000	2017/09/05	2018/07/16	12.50
65010	HK Bank – HSI R Bull CBBC Apr 2018 S	100,000,000	2017/09/05	2018/04/27	25.00
65012	HK Bank – HSI R Bull CBBC Apr 2018 T	100,000,000	2017/09/05	2018/04/27	25.00
65013	HK Bank – HSI R Bull CBBC Apr 2018 X	100,000,000	2017/09/05	2018/04/27	25.00
65014	HK Bank – HSI R Bear CBBC Mar 2018 F	100,000,000	2017/09/05	2018/03/28	25.00
65016	HK Bank – HSI R Bear CBBC Mar 2018 H	100,000,000	2017/09/05	2018/03/28	25.00
64995	HK Bank – Ping An R Bull CBBC Mar 2018 G	80,000,000	2017/09/05	2018/03/19	20.00
64996	HK Bank – Ping An R Bull CBBC Apr 2018 E	80,000,000	2017/09/05	2018/04/09	20.00
65034	HK Bank – Tencent R Bull CBBC Mar 2018 X	60,000,000	2017/09/05	2018/03/19	15.00
65035	HK Bank – Tencent R Bull CBBC Apr 2018 O	60,000,000	2017/09/05	2018/04/16	15.00
64991	HK Bank – Tencent R Bear CBBC Mar 2018 L	50,000,000	2017/09/05	2018/03/05	12.50
64960	Haitong Int'l Sec – AAC Tech R Bull CBBC Jul 2018 C	40,000,000	2017/09/05	2018/07/04	10.00
64963	Haitong Int'l Sec – AAC Tech R Bull CBBC Jul 2018 D	40,000,000	2017/09/05	2018/07/04	10.00
64954	Haitong Int'l Sec – Ping An R Bull CBBC Aug 2018 E	60,000,000	2017/09/05	2018/08/20	15.00
64957	Haitong Int'l Sec – Ping An R Bull CBBC Aug 2018 F	60,000,000	2017/09/05	2018/08/20	15.00
64965	Haitong Int'l Sec – Tencent R Bull CBBC Mar 2018 A	40,000,000	2017/09/05	2018/03/02	10.00
65103	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 V	200,000,000	2017/09/05	2018/11/29	50.00
65104	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 S	200,000,000	2017/09/05	2017/12/28	50.00
65105	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 T	200,000,000	2017/09/05	2017/12/28	50.00
65101	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 N	60,000,000	2017/09/05	2018/01/19	15.00
65102	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 G	60,000,000	2017/09/05	2018/01/19	15.00
65018	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 H	21,000,000	2017/09/05	2018/06/27	10.25
65019	Macquarie Bank Ltd. – HKEX R Bear CBBC Jun 2018 E	20,000,000	2017/09/05	2018/06/27	10.36
65029	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 D	16,000,000	2017/09/05	2018/04/30	10.00
65031	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 D	16,000,000	2017/09/05	2018/04/30	10.00
65032	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 E	15,000,000	2017/09/05	2018/04/30	10.01
65078	SG Issuer – CUni R Bull CBBC Jul 2018 A	40,000,000	2017/09/05	2018/07/10	10.00
64968	SG Issuer – HKEX R Bull CBBC Jul 2018 A	50,000,000	2017/09/05	2018/07/19	12.50
65077	SG Issuer – HSI R Bull CBBC Jan 2019 L	200,000,000	2017/09/05	2019/01/30	50.00
65072	SG Issuer – HSI R Bear CBBC Jan 2018 T	200,000,000	2017/09/05	2018/01/30	50.00
65073	SG Issuer – HSI R Bear CBBC Feb 2018 G	200,000,000	2017/09/05	2018/02/27	50.00
65075	SG Issuer – HSI R Bear CBBC Feb 2018 H	200,000,000	2017/09/05	2018/02/27	50.00
64971	SG Issuer – Ping An R Bull CBBC Apr 2018 D	100,000,000	2017/09/05	2018/04/10	25.00
64977	SG Issuer – Tencent R Bull CBBC Feb 2018 U	80,000,000	2017/09/05	2018/02/23	20.00
64981	SG Issuer – Tencent R Bull CBBC Mar 2018 V	80,000,000	2017/09/05	2018/03/26	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65059	UBS AG – HKEX R Bull CBBC Jun 2018 B	50,000,000	2017/09/05	2018/06/11	12.50
65048	UBS AG – HSI R Bull CBBC Sep 2018 L	250,000,000	2017/09/05	2018/09/27	62.50
65046	UBS AG – HSI R Bull CBBC Oct 2018 X	200,000,000	2017/09/05	2018/10/30	50.00
65064	UBS AG – HSI R Bear CBBC Dec 2017 K	250,000,000	2017/09/05	2017/12/28	62.50
65070	UBS AG – HSI R Bear CBBC Dec 2017 O	250,000,000	2017/09/05	2017/12/28	62.50
65071	UBS AG – HSI R Bear CBBC Dec 2017 P	250,000,000	2017/09/05	2017/12/28	62.50
65062	UBS AG – HSI R Bear CBBC Jan 2018 B	200,000,000	2017/09/05	2018/01/30	53.00
65052	UBS AG – Tencent R Bull CBBC Jan 2018 J	50,000,000	2017/09/05	2018/01/15	12.50
65057	UBS AG – Tencent R Bull CBBC Apr 2018 D	50,000,000	2017/09/05	2018/04/16	12.50
65108	UBS AG – Tencent R Bear CBBC Feb 2018 F	50,000,000	2017/09/05	2018/02/12	12.50
65106	UBS AG – Tencent R Bear CBBC Mar 2018 A	50,000,000	2017/09/05	2018/03/19	12.50
65120	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 W	100,000,000	2017/09/06	2018/08/30	25.00
65138	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 O	250,000,000	2017/09/06	2018/03/28	62.50
65113	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 F	50,000,000	2017/09/06	2018/08/30	12.50
65119	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 Q	50,000,000	2017/09/06	2018/04/27	12.50
65207	Credit Suisse AG – CM Bank R Bull CBBC Nov 2018 A	70,000,000	2017/09/06	2018/11/02	17.50
65229	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 B	50,000,000	2017/09/06	2018/06/15	12.50
65237	Credit Suisse AG – HSBC R Bull CBBC Jul 2018 C	70,000,000	2017/09/06	2018/07/11	17.50
65228	Credit Suisse AG – HSBC R Bear CBBC Apr 2018 B	50,000,000	2017/09/06	2018/04/25	97.50
65230	Credit Suisse AG – HSCEI R Bull CBBC Apr 2018 L	120,000,000	2017/09/06	2018/04/27	30.00
65233	Credit Suisse AG – HSCEI R Bear CBBC Jan 2018 G	150,000,000	2017/09/06	2018/01/30	37.50
65209	Credit Suisse AG – HSI R Bull CBBC May 2018 I	200,000,000	2017/09/06	2018/05/30	50.00
65234	Credit Suisse AG – HSI R Bull CBBC May 2018 J	200,000,000	2017/09/06	2018/05/30	50.00
65208	Credit Suisse AG – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/09/06	2017/12/28	50.00
65200	Credit Suisse AG – HSI R Bear CBBC Jan 2018 T	200,000,000	2017/09/06	2018/01/30	50.00
65211	Credit Suisse AG – HSI R Bear CBBC Jan 2018 U	200,000,000	2017/09/06	2018/01/30	50.00
65215	Credit Suisse AG – HSI R Bear CBBC Jan 2018 V	200,000,000	2017/09/06	2018/01/30	50.00
65216	Credit Suisse AG – HSI R Bear CBBC Jan 2018 W	200,000,000	2017/09/06	2018/01/30	50.00
65218	Credit Suisse AG – HSI R Bear CBBC Jan 2018 X	200,000,000	2017/09/06	2018/01/30	50.00
65219	Credit Suisse AG – HSI R Bear CBBC Jan 2018 Y	200,000,000	2017/09/06	2018/01/30	50.00
65203	Credit Suisse AG – HSI R Bear CBBC Feb 2018 M	200,000,000	2017/09/06	2018/02/27	50.00
65220	Credit Suisse AG – HSI R Bear CBBC Feb 2018 N	200,000,000	2017/09/06	2018/02/27	58.00
65221	Credit Suisse AG – HSI R Bear CBBC Feb 2018 O	200,000,000	2017/09/06	2018/02/27	60.00
65222	Credit Suisse AG – HSI R Bear CBBC Feb 2018 P	200,000,000	2017/09/06	2018/02/27	64.00
65223	Credit Suisse AG – HSI R Bear CBBC Feb 2018 Q	200,000,000	2017/09/06	2018/02/27	66.00
65224	Credit Suisse AG – HSI R Bear CBBC Feb 2018 R	200,000,000	2017/09/06	2018/02/27	70.00
65226	Credit Suisse AG – HSI R Bear CBBC Feb 2018 S	200,000,000	2017/09/06	2018/02/27	76.00
65171	Bank of East Asia – Tencent R Bull CBBC Jun 2018 Y	40,000,000	2017/09/06	2018/06/20	10.00
65172	Bank of East Asia – Tencent R Bull CBBC Jun 2018 Z	40,000,000	2017/09/06	2018/06/20	10.00
65174	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 J	200,000,000	2017/09/06	2018/11/29	50.00
65176	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 K	200,000,000	2017/09/06	2018/11/29	50.00
65112	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 K	200,000,000	2017/09/06	2018/03/28	50.00
65139	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 L	200,000,000	2017/09/06	2018/03/28	50.00
65124	HK Bank – BOCL R Bull CBBC Aug 2018 F	80,000,000	2017/09/06	2018/08/27	20.00
65150	HK Bank – BOCL R Bear CBBC Apr 2018 D	40,000,000	2017/09/06	2018/04/09	10.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65125	HK Bank – CUni R Bull CBBC Aug 2018 C	40,000,000	2017/09/06	2018/08/06	10.00
65137	HK Bank – CC Bank R Bear CBBC Apr 2018 D	40,000,000	2017/09/06	2018/04/09	10.00
65123	HK Bank – China Shenhua R Bull CBBC Aug 2018 C	40,000,000	2017/09/06	2018/08/13	10.00
65135	HK Bank – HSCEI R Bull CBBC Apr 2018 H	60,000,000	2017/09/06	2018/04/27	15.00
65152	HK Bank – HSCEI R Bear CBBC Sep 2018 A	50,000,000	2017/09/06	2018/09/27	12.50
65126	HK Bank – HSI R Bull CBBC Apr 2018 E	100,000,000	2017/09/06	2018/04/27	25.00
65128	HK Bank – HSI R Bull CBBC Apr 2018 F	150,000,000	2017/09/06	2018/04/27	37.50
65162	HK Bank – HSI R Bull CBBC Apr 2018 Y	100,000,000	2017/09/06	2018/04/27	25.00
65163	HK Bank – HSI R Bull CBBC Apr 2018 Z	100,000,000	2017/09/06	2018/04/27	25.00
65131	HK Bank – HSI R Bear CBBC Aug 2018 M	100,000,000	2017/09/06	2018/08/30	25.00
65133	HK Bank – HSI R Bear CBBC Aug 2018 N	100,000,000	2017/09/06	2018/08/30	25.00
65142	HK Bank – HSI R Bear CBBC Sep 2018 B	100,000,000	2017/09/06	2018/09/27	25.00
65149	HK Bank – HSI R Bear CBBC Sep 2018 C	150,000,000	2017/09/06	2018/09/27	37.50
65146	HK Bank – ICBC R Bear CBBC Apr 2018 B	40,000,000	2017/09/06	2018/04/09	10.00
65136	HK Bank – Tencent R Bull CBBC Apr 2018 P	60,000,000	2017/09/06	2018/04/23	15.00
65161	HK Bank – Tencent R Bull CBBC Apr 2018 Q	60,000,000	2017/09/06	2018/04/09	15.00
65183	J P Morgan SP BV – HSI R Bull CBBC Sep 2018 B	200,000,000	2017/09/06	2018/09/27	50.00
65184	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 W	200,000,000	2017/09/06	2018/11/29	50.00
65180	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 J	200,000,000	2017/09/06	2018/12/28	50.00
65177	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 U	200,000,000	2017/09/06	2017/12/28	50.00
65181	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/09/06	2017/12/28	50.00
65188	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 H	60,000,000	2017/09/06	2017/12/15	15.00
65198	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 N	60,000,000	2017/09/06	2017/12/15	15.00
65141	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 E	16,000,000	2017/09/06	2018/04/30	10.00
65283	SG Issuer – HKEX R Bull CBBC Jul 2018 B	50,000,000	2017/09/06	2018/07/09	12.50
65284	SG Issuer – HSBC R Bull CBBC Apr 2018 F	100,000,000	2017/09/06	2018/04/20	25.00
65278	SG Issuer – HSI R Bull CBBC Feb 2019 B	200,000,000	2017/09/06	2019/02/27	50.00
65282	SG Issuer – HSI R Bull CBBC Mar 2019 K	200,000,000	2017/09/06	2019/03/28	50.00
65247	SG Issuer – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/09/06	2017/12/28	50.00
65259	SG Issuer – HSI R Bear CBBC Dec 2017 J	200,000,000	2017/09/06	2017/12/28	50.00
65263	SG Issuer – HSI R Bear CBBC Jan 2018 U	200,000,000	2017/09/06	2018/01/30	50.00
65267	SG Issuer – HSI R Bear CBBC Jan 2018 V	200,000,000	2017/09/06	2018/01/30	50.00
65269	SG Issuer – HSI R Bear CBBC Jan 2018 W	200,000,000	2017/09/06	2018/01/30	50.00
65272	SG Issuer – HSI R Bear CBBC Feb 2018 I	200,000,000	2017/09/06	2018/02/27	50.00
65277	SG Issuer – HSI R Bear CBBC Feb 2018 J	200,000,000	2017/09/06	2018/02/27	50.00
65166	UBS AG – HSI R Bull CBBC Oct 2018 Y	200,000,000	2017/09/06	2018/10/30	50.00
65169	UBS AG – HSI R Bull CBBC Oct 2018 Z	250,000,000	2017/09/06	2018/10/30	62.50
65165	UBS AG – HSI R Bear CBBC Dec 2017 R	250,000,000	2017/09/06	2017/12/28	62.50
65170	UBS AG – Tencent R Bull CBBC Feb 2018 K	50,000,000	2017/09/06	2018/02/12	12.50
65377	Credit Suisse AG – China Shenhua R Bull CBBC Sep 2018 A	40,000,000	2017/09/07	2018/09/14	11.20
65381	Credit Suisse AG – China Shenhua R Bull CBBC Sep 2018 B	40,000,000	2017/09/07	2018/09/21	10.00
65382	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 C	50,000,000	2017/09/07	2018/06/22	12.50
65350	Credit Suisse AG – HSI R Bull CBBC May 2018 K	200,000,000	2017/09/07	2018/05/30	50.00
65352	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Y	200,000,000	2017/09/07	2018/09/27	50.00
65373	Credit Suisse AG – HSI R Bear CBBC Jan 2018 H	200,000,000	2017/09/07	2018/01/30	54.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65365	Credit Suisse AG – HSI R Bear CBBC Jan 2018 K	200,000,000	2017/09/07	2018/01/30	52.00
65374	Credit Suisse AG – HSI R Bear CBBC Jan 2018 L	200,000,000	2017/09/07	2018/01/30	56.00
65376	Credit Suisse AG – HSI R Bear CBBC Jan 2018 O	200,000,000	2017/09/07	2018/01/30	58.00
65364	Credit Suisse AG – HSI R Bear CBBC Jan 2018 Z	200,000,000	2017/09/07	2018/01/30	50.00
65355	Credit Suisse AG – HSI R Bear CBBC Feb 2018 T	200,000,000	2017/09/07	2018/02/27	50.00
65383	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 R	50,000,000	2017/09/07	2018/06/13	12.50
65347	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 H	200,000,000	2017/09/07	2018/12/28	50.00
65349	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 I	200,000,000	2017/09/07	2018/12/28	50.00
65295	HK Bank – AAC Tech R Bull CBBC Aug 2018 D	40,000,000	2017/09/07	2018/08/13	10.00
65292	HK Bank – CM Bank R Bull CBBC May 2018 A	40,000,000	2017/09/07	2018/05/07	10.00
65309	HK Bank – CSOP A50 ETF R Bull CBBC Apr 2018 E	50,000,000	2017/09/07	2018/04/30	12.50
65291	HK Bank – CSOP A50 ETF R Bear CBBC Apr 2018 A	40,000,000	2017/09/07	2018/04/09	10.00
65290	HK Bank – Galaxy Ent R Bull CBBC Jun 2018 B	80,000,000	2017/09/07	2018/06/25	20.00
65294	HK Bank – HS H-SH ETF R Bull CBBC Apr 2018 B	50,000,000	2017/09/07	2018/04/16	12.50
65301	HK Bank – HSCEI R Bear CBBC Mar 2018 E	50,000,000	2017/09/07	2018/03/28	12.50
65308	HK Bank – HSI R Bear CBBC Mar 2018 G	100,000,000	2017/09/07	2018/03/28	25.00
65289	HK Bank – SHK Ppt R Bull CBBC Jun 2018 B	40,000,000	2017/09/07	2018/06/25	10.00
65298	HK Bank – Tencent R Bull CBBC Mar 2018 Y	60,000,000	2017/09/07	2018/03/05	15.00
65316	Haitong Int'l Sec – Evergrande R Bull CBBC Apr 2018 A	40,000,000	2017/09/07	2018/04/27	12.36
65313	Haitong Int'l Sec – Evergrande R Bull CBBC Jul 2018 A	40,000,000	2017/09/07	2018/07/25	16.56
65314	Haitong Int'l Sec – Evergrande R Bull CBBC Jul 2018 B	40,000,000	2017/09/07	2018/07/24	20.52
65320	Haitong Int'l Sec – HSBC R Bull CBBC Jul 2018 B	60,000,000	2017/09/07	2018/07/05	15.00
65323	Haitong Int'l Sec – Sunny Optical R Bull CBBC Apr 2018 A	40,000,000	2017/09/07	2018/04/25	10.00
65319	Haitong Int'l Sec – Tencent R Bull CBBC Jun 2018 G	40,000,000	2017/09/07	2018/06/25	10.00
65385	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 K	200,000,000	2017/09/07	2018/12/28	50.00
65388	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 O	60,000,000	2017/09/07	2018/01/19	15.00
65310	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 F	16,000,000	2017/09/07	2018/04/30	10.00
65312	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 F	16,000,000	2017/09/07	2018/04/30	10.00
65391	SG Issuer – HSI R Bull CBBC Mar 2019 L	200,000,000	2017/09/07	2019/03/28	50.00
65390	SG Issuer – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/09/07	2017/12/28	50.00
65392	SG Issuer – Tencent R Bull CBBC Jan 2018 X	80,000,000	2017/09/07	2018/01/25	20.00
65397	SG Issuer – Tencent R Bull CBBC Feb 2018 V	80,000,000	2017/09/07	2018/02/22	20.00
65398	SG Issuer – Tencent R Bull CBBC Mar 2018 W	80,000,000	2017/09/07	2018/03/29	20.00
65336	UBS AG – CC Bank R Bull CBBC Aug 2018 D	60,000,000	2017/09/07	2018/08/13	15.00
65337	UBS AG – HSBC R Bull CBBC Aug 2018 B	60,000,000	2017/09/07	2018/08/20	15.00
65341	UBS AG – HSCEI R Bear CBBC Jan 2018 A	100,000,000	2017/09/07	2018/01/30	25.00
65334	UBS AG – HSI R Bull CBBC Sep 2018 M	250,000,000	2017/09/07	2018/09/27	62.50
65332	UBS AG – HSI R Bull CBBC Nov 2018 W	250,000,000	2017/09/07	2018/11/29	62.50
65342	UBS AG – HSI R Bear CBBC Dec 2017 L	250,000,000	2017/09/07	2017/12/28	62.50
65343	UBS AG – HSI R Bear CBBC Dec 2017 S	250,000,000	2017/09/07	2017/12/28	62.50
65344	UBS AG – HSI R Bear CBBC Jan 2018 C	250,000,000	2017/09/07	2018/01/30	62.50
65471	Credit Suisse AG – Galaxy Ent R Bull CBBC Jul 2018 A	70,000,000	2017/09/08	2018/07/20	17.50
65476	Credit Suisse AG – Galaxy Ent R Bear CBBC Jun 2018 B	100,000,000	2017/09/08	2018/06/22	25.00
65473	Credit Suisse AG – Galaxy Ent R Bear CBBC Jul 2018 A	100,000,000	2017/09/08	2018/07/27	25.00
65467	Credit Suisse AG – HSI R Bull CBBC May 2018 L	150,000,000	2017/09/08	2018/05/30	37.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

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65466	Credit Suisse AG – HSI R Bear CBBC Dec 2017 A	200,000,000	2017/09/08	2017/12/28	50.00
65468	Credit Suisse AG – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/09/08	2017/12/28	50.00
65470	Credit Suisse AG – HSI R Bear CBBC Feb 2018 U	150,000,000	2017/09/08	2018/02/27	37.50
65472	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 A	50,000,000	2017/09/08	2018/07/19	12.50
65403	Bank of East Asia – Galaxy Ent R Bull CBBC Jun 2018 D	16,000,000	2017/09/08	2018/06/28	10.40
65404	Bank of East Asia – Galaxy Ent R Bull CBBC Jun 2018 E	16,000,000	2017/09/08	2018/06/28	10.40
65407	Bank of East Asia – HSBC Holdings R Bull CBBC Apr 2018 A	60,000,000	2017/09/08	2018/04/27	15.00
65405	Bank of East Asia – Tencent R Bull CBBC Jun 2018 A	40,000,000	2017/09/08	2018/06/28	10.00
65457	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 M	200,000,000	2017/09/08	2018/03/28	50.00
65402	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Apr 2018 A	50,000,000	2017/09/08	2018/04/27	15.80
65409	HK Bank – Geely Auto R Bull CBBC Apr 2018 F	40,000,000	2017/09/08	2018/04/09	10.00
65411	HK Bank – Galaxy Ent R Bull CBBC Jun 2018 C	80,000,000	2017/09/08	2018/06/11	20.00
65413	HK Bank – HKEX R Bull CBBC Aug 2018 A	50,000,000	2017/09/08	2018/08/06	12.50
65419	HK Bank – HSI R Bear CBBC Aug 2018 O	100,000,000	2017/09/08	2018/08/30	25.00
65417	HK Bank – HSI R Bear CBBC Sep 2018 D	150,000,000	2017/09/08	2018/09/27	37.50
65412	HK Bank – Sands China R Bull CBBC Apr 2018 D	80,000,000	2017/09/08	2018/04/30	20.00
65414	HK Bank – Sunny Optical R Bull CBBC Apr 2018 A	40,000,000	2017/09/08	2018/04/09	10.00
65447	J P Morgan SP BV – AIA R Bull CBBC Mar 2018 A	100,000,000	2017/09/08	2018/03/16	25.00
65439	J P Morgan SP BV – China Life R Bull CBBC Feb 2018 F	40,000,000	2017/09/08	2018/02/15	10.00
65450	J P Morgan SP BV – China Life R Bear CBBC Mar 2018 A	40,000,000	2017/09/08	2018/03/16	10.00
65459	J P Morgan SP BV – Geely Auto R Bull CBBC Apr 2018 B	40,000,000	2017/09/08	2018/04/20	10.00
65435	J P Morgan SP BV – Galaxy Ent R Bull CBBC Apr 2018 A	100,000,000	2017/09/08	2018/04/20	25.00
65452	J P Morgan SP BV – Galaxy Ent R Bear CBBC Feb 2018 B	100,000,000	2017/09/08	2018/02/15	25.00
65448	J P Morgan SP BV – Ping An R Bear CBBC Mar 2018 A	100,000,000	2017/09/08	2018/03/16	25.00
65430	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 I	20,000,000	2017/09/08	2018/06/27	10.42
65420	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 K	40,000,000	2017/09/08	2018/09/27	10.00
65425	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 L	40,000,000	2017/09/08	2018/09/27	10.00
65426	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 M	40,000,000	2017/09/08	2018/09/27	10.00
65427	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 N	40,000,000	2017/09/08	2018/09/27	10.00
65428	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 O	40,000,000	2017/09/08	2018/09/27	10.00
65481	SG Issuer – HSI R Bull CBBC Feb 2019 C	200,000,000	2017/09/08	2019/02/27	50.00
65477	SG Issuer – HSI R Bear CBBC Feb 2018 K	200,000,000	2017/09/08	2018/02/27	50.00
65483	SG Issuer – Tencent R Bull CBBC Apr 2018 I	80,000,000	2017/09/08	2018/04/26	20.00
65434	UBS AG – CSOP A50 ETF R Bull CBBC Apr 2018 A	50,000,000	2017/09/08	2018/04/16	12.50
65433	UBS AG – HSI R Bull CBBC Aug 2018 F	250,000,000	2017/09/08	2018/08/30	62.50
65432	UBS AG – HSI R Bear CBBC Jan 2018 D	250,000,000	2017/09/08	2018/01/30	62.50
65488	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jun 18A	60,000,000	2017/09/11	2018/06/28	15.00
65489	BNP Paribas Issuance B.V. – HSCEI R Bear CBBC Feb 2018 U	100,000,000	2017/09/11	2018/02/27	25.00
65508	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 U	250,000,000	2017/09/11	2018/09/27	62.50
65491	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 V	250,000,000	2017/09/11	2018/09/27	62.50
65492	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 W	250,000,000	2017/09/11	2018/09/27	62.50
65537	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 D	70,000,000	2017/09/11	2018/06/21	17.50
65549	Credit Suisse AG – HSCEI R Bull CBBC Mar 2018 H	150,000,000	2017/09/11	2018/03/28	37.50
65545	Credit Suisse AG – HSI R Bull CBBC Apr 2018 Q	200,000,000	2017/09/11	2018/04/27	50.00
65532	Credit Suisse AG – HSI R Bull CBBC May 2018 M	200,000,000	2017/09/11	2018/05/30	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65547	Credit Suisse AG – HSI R Bear CBBC Jan 2018 M	200,000,000	2017/09/11	2018/01/30	50.00
65548	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 H	70,000,000	2017/09/11	2018/09/14	17.50
65538	Credit Suisse AG – Sands China R Bull CBBC Jul 2018 A	50,000,000	2017/09/11	2018/07/13	12.50
65535	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 S	50,000,000	2017/09/11	2018/06/22	12.50
65518	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 L	200,000,000	2017/09/11	2018/11/29	50.00
65495	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 J	200,000,000	2017/09/11	2018/12/28	50.00
65574	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 A	50,000,000	2017/09/11	2018/05/16	12.50
65505	HK Bank – AIA R Bull CBBC Jul 2018 D	60,000,000	2017/09/11	2018/07/03	15.00
65504	HK Bank – Tencent R Bull CBBC Apr 2018 R	60,000,000	2017/09/11	2018/04/09	15.00
65573	J P Morgan SP BV – HKEX R Bear CBBC Dec 2017 D	40,000,000	2017/09/11	2017/12/15	10.00
65562	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 L	200,000,000	2017/09/11	2018/12/28	50.00
65564	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 A	200,000,000	2017/09/11	2019/08/29	50.00
65566	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 W	200,000,000	2017/09/11	2017/12/28	50.00
65569	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 X	200,000,000	2017/09/11	2017/12/28	50.00
65563	J P Morgan SP BV – Sunny Optical R Bull CBBC Feb 2018 B	60,000,000	2017/09/11	2018/02/15	15.00
65570	J P Morgan SP BV – Tencent R Bear CBBC Dec 2017 I	60,000,000	2017/09/11	2017/12/15	15.00
65496	SG Issuer – Galaxy R Bull CBBC Jun 2018 E	100,000,000	2017/09/11	2018/06/28	25.00
65498	SG Issuer – Galaxy R Bull CBBC Aug 2018 C	100,000,000	2017/09/11	2018/08/30	25.00
65558	SG Issuer – HSI R Bull CBBC Jan 2019 M	200,000,000	2017/09/11	2019/01/30	50.00
65559	SG Issuer – HSI R Bull CBBC Feb 2019 D	200,000,000	2017/09/11	2019/02/27	50.00
65550	SG Issuer – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/09/11	2017/12/28	50.00
65551	SG Issuer – HSI R Bear CBBC Jan 2018 X	200,000,000	2017/09/11	2018/01/30	50.00
65519	SG Issuer – Ping An R Bull CBBC Jun 2018 A	100,000,000	2017/09/11	2018/06/28	25.00
65521	SG Issuer – Ping An R Bull CBBC Aug 2018 A	100,000,000	2017/09/11	2018/08/30	25.00
65524	SG Issuer – Ping An R Bull CBBC Oct 2018 B	100,000,000	2017/09/11	2018/10/30	25.00
65500	SG Issuer – Sands R Bull CBBC Aug 2018 B	100,000,000	2017/09/11	2018/08/30	25.00
65503	SG Issuer – Sands R Bull CBBC Sep 2018 A	100,000,000	2017/09/11	2018/09/27	25.00
65499	SG Issuer – Sands R Bear CBBC Jun 2018 A	100,000,000	2017/09/11	2018/06/28	25.00
65526	UBS AG – HSI R Bull CBBC Oct 2018 I	200,000,000	2017/09/11	2018/10/30	50.00
65529	UBS AG – HSI R Bull CBBC Nov 2018 X	250,000,000	2017/09/11	2018/11/29	62.50
65530	UBS AG – HSI R Bear CBBC Dec 2017 T	200,000,000	2017/09/11	2017/12/28	50.00
65583	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 P	250,000,000	2017/09/12	2018/03/28	62.50
65615	Credit Suisse AG – CCB R Bull CBBC Sep 2018 J	70,000,000	2017/09/12	2018/09/14	17.50
65627	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Apr 2018 B	50,000,000	2017/09/12	2018/04/13	12.50
65629	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Apr 2018 A	100,000,000	2017/09/12	2018/04/20	25.00
65632	Credit Suisse AG – HSI R Bull CBBC Sep 2018 B	200,000,000	2017/09/12	2018/09/27	50.00
65631	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Z	200,000,000	2017/09/12	2018/09/27	50.00
65633	Credit Suisse AG – HSI R Bear CBBC Jan 2018 P	200,000,000	2017/09/12	2018/01/30	50.00
65619	Credit Suisse AG – Sands China R Bull CBBC Jul 2018 B	50,000,000	2017/09/12	2018/07/11	12.50
65625	Credit Suisse AG – Sunny Optical R Bull CBBC Mar 2018 D	40,000,000	2017/09/12	2018/03/01	10.00
65613	Credit Suisse AG – Tencent R Bull CBBC Mar 2018 P	50,000,000	2017/09/12	2018/03/27	12.50
65660	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 M	200,000,000	2017/09/12	2018/11/29	50.00
65577	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 A	200,000,000	2017/09/12	2018/02/27	50.00
65582	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 B	200,000,000	2017/09/12	2018/02/27	50.00
65595	HK Bank – HKEX R Bull CBBC Jul 2018 T	50,000,000	2017/09/12	2018/07/16	12.50



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65589	HK Bank – PetCh R Bull CBBC Aug 2018 D	50,000,000	2017/09/12	2018/08/13	12.50
65593	HK Bank – Ping An R Bull CBBC Mar 2018 H	80,000,000	2017/09/12	2018/03/19	20.00
65585	HK Bank – Tencent R Bull CBBC Apr 2018 S	60,000,000	2017/09/12	2018/04/16	15.00
65646	J P Morgan SP BV – HSI R Bull CBBC Jul 2018 B	200,000,000	2017/09/12	2018/07/30	50.00
65641	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 Y	200,000,000	2017/09/12	2017/12/28	50.00
65656	J P Morgan SP BV – Ping An R Bull CBBC Mar 2018 C	150,000,000	2017/09/12	2018/03/16	37.50
65598	SG Issuer – AAC Tech R Bull CBBC Jul 2018 A	40,000,000	2017/09/12	2018/07/30	10.00
65602	SG Issuer – AAC Tech R Bull CBBC Aug 2018 A	40,000,000	2017/09/12	2018/08/30	11.00
65603	SG Issuer – AAC Tech R Bull CBBC Oct 2018 A	40,000,000	2017/09/12	2018/10/30	15.40
65604	SG Issuer – CNOOC R Bull CBBC Jul 2018 B	40,000,000	2017/09/12	2018/07/30	10.00
65606	SG Issuer – Geely Auto R Bull CBBC Jul 2018 D	40,000,000	2017/09/12	2018/07/30	10.00
65610	SG Issuer – Greatwall Motor R Bull CBBC Aug 2018 A	40,000,000	2017/09/12	2018/08/30	10.00
65659	SG Issuer – HSI R Bull CBBC Apr 2019 J	200,000,000	2017/09/12	2019/04/29	50.00
65657	SG Issuer – HSI R Bear CBBC Dec 2017 Q	200,000,000	2017/09/12	2017/12/28	50.00
65611	SG Issuer – Tencent R Bull CBBC Feb 2018 W	80,000,000	2017/09/12	2018/02/22	20.00
65640	UBS AG – HSI R Bull CBBC Sep 2018 K	250,000,000	2017/09/12	2018/09/27	62.50
65636	UBS AG – HSI R Bear CBBC Dec 2017 U	250,000,000	2017/09/12	2017/12/28	62.50
65665	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 P	250,000,000	2017/09/13	2018/08/30	62.50
65671	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 Q	250,000,000	2017/09/13	2018/08/30	62.50
65833	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 V	250,000,000	2017/09/13	2018/03/28	62.50
65842	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 W	250,000,000	2017/09/13	2018/03/28	62.50
65849	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 X	250,000,000	2017/09/13	2018/03/28	62.50
65861	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 J	50,000,000	2017/09/13	2018/08/30	12.50
65811	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 I	50,000,000	2017/09/13	2018/06/29	12.50
65817	Credit Suisse AG – HSI R Bull CBBC Apr 2018 M	200,000,000	2017/09/13	2018/04/27	50.00
65826	Credit Suisse AG – HSI R Bull CBBC Apr 2018 N	200,000,000	2017/09/13	2018/04/27	50.00
65800	Credit Suisse AG – HSI R Bull CBBC Apr 2018 S	200,000,000	2017/09/13	2018/04/27	50.00
65784	Credit Suisse AG – HSI R Bull CBBC May 2018 N	200,000,000	2017/09/13	2018/05/30	50.00
65795	Credit Suisse AG – HSI R Bull CBBC May 2018 O	200,000,000	2017/09/13	2018/05/30	50.00
65801	Credit Suisse AG – HSI R Bear CBBC Jan 2018 Q	200,000,000	2017/09/13	2018/01/30	50.00
65814	Credit Suisse AG – HSI R Bear CBBC Feb 2018 V	200,000,000	2017/09/13	2018/02/27	50.00
65675	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 O	200,000,000	2017/09/13	2018/10/30	50.00
65676	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 R	200,000,000	2017/09/13	2018/10/30	50.00
65732	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 C	200,000,000	2017/09/13	2018/02/27	50.00
65737	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 D	200,000,000	2017/09/13	2018/02/27	50.00
65711	HK Bank – Galaxy Ent R Bear CBBC Jun 2018 A	40,000,000	2017/09/13	2018/06/25	10.00
65695	HK Bank – HKEX R Bull CBBC Jul 2018 U	50,000,000	2017/09/13	2018/07/16	12.50
65723	HK Bank – HKEX R Bear CBBC Mar 2018 H	40,000,000	2017/09/13	2018/03/19	10.00
65684	HK Bank – HSI R Bull CBBC Apr 2018 X	100,000,000	2017/09/13	2018/04/27	25.00
65725	HK Bank – HSI R Bear CBBC Mar 2018 I	100,000,000	2017/09/13	2018/03/28	25.00
65731	HK Bank – HSI R Bear CBBC Mar 2018 J	150,000,000	2017/09/13	2018/03/28	37.50
65694	HK Bank – Tencent R Bull CBBC Mar 2018 Z	60,000,000	2017/09/13	2018/03/19	15.00
65696	HK Bank – Tencent R Bear CBBC Apr 2018 F	50,000,000	2017/09/13	2018/04/09	12.50
65904	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 X	200,000,000	2017/09/13	2018/11/29	50.00
65901	J P Morgan SP BV – HSI R Bull CBBC Apr 2019 A	200,000,000	2017/09/13	2019/04/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65896	J P Morgan SP BV – HSI R Bull CBBC Sep 2019 A	200,000,000	2017/09/13	2019/09/27	50.00
65908	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/09/13	2017/12/28	50.00
65911	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 E	200,000,000	2017/09/13	2018/01/30	50.00
65916	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 G	200,000,000	2017/09/13	2018/01/30	50.00
65921	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 M	40,000,000	2017/09/13	2017/12/15	10.00
65892	SG Issuer – HSI R Bull CBBC Dec 2018 M	200,000,000	2017/09/13	2018/12/28	50.00
65864	SG Issuer – HSI R Bear CBBC Dec 2017 I	200,000,000	2017/09/13	2017/12/28	50.00
65878	SG Issuer – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/09/13	2017/12/28	50.00
65881	SG Issuer – HSI R Bear CBBC Jan 2018 Y	200,000,000	2017/09/13	2018/01/30	50.00
65742	UBS AG – HSI R Bull CBBC Sep 2018 O	200,000,000	2017/09/13	2018/09/27	50.00
65744	UBS AG – HSI R Bull CBBC Oct 2018 H	250,000,000	2017/09/13	2018/10/30	62.50
65747	UBS AG – HSI R Bull CBBC Nov 2018 Y	200,000,000	2017/09/13	2018/11/29	50.00
65753	UBS AG – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/09/13	2017/12/28	50.00
65778	UBS AG – HSI R Bear CBBC Dec 2017 W	200,000,000	2017/09/13	2017/12/28	50.00
65757	UBS AG – HSI R Bear CBBC Jan 2018 E	250,000,000	2017/09/13	2018/01/30	62.50
65773	UBS AG – Tencent R Bull CBBC Apr 2018 E	50,000,000	2017/09/13	2018/04/09	12.50
66002	Credit Suisse AG – HSI R Bull CBBC May 2018 P	200,000,000	2017/09/14	2018/05/30	50.00
66023	Credit Suisse AG – HSI R Bull CBBC Sep 2018 D	200,000,000	2017/09/14	2018/09/27	50.00
66010	Credit Suisse AG – HSI R Bull CBBC Sep 2018 E	200,000,000	2017/09/14	2018/09/27	50.00
66017	Credit Suisse AG – HSI R Bear CBBC Jan 2018 R	200,000,000	2017/09/14	2018/01/30	50.00
66021	Credit Suisse AG – HSI R Bear CBBC Feb 2018 W	200,000,000	2017/09/14	2018/02/27	50.00
65986	Bank of East Asia – CSOP A50 ETF R Bull CBBC Jun 2018 A	40,000,000	2017/09/14	2018/06/20	10.00
65996	Bank of East Asia – CSOP A50 ETF R Bull CBBC Jun 2018 B	40,000,000	2017/09/14	2018/06/20	10.00
65997	Bank of East Asia – Geely Auto R Bull CBBC May 2018 A	40,000,000	2017/09/14	2018/05/30	10.00
65981	Bank of East Asia – Tencent R Bull CBBC Jun 2018 B	40,000,000	2017/09/14	2018/06/20	10.00
65980	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 N	200,000,000	2017/09/14	2018/10/30	50.00
65998	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 E	200,000,000	2017/09/14	2018/02/27	50.00
66045	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 B	80,000,000	2017/09/14	2018/05/16	20.00
66069	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 C	80,000,000	2017/09/14	2018/05/16	20.00
66073	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jun 2018 A	80,000,000	2017/09/14	2018/06/29	20.00
66082	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jun 2018 B	80,000,000	2017/09/14	2018/06/29	20.00
65971	HK Bank – CC Bank R Bear CBBC Apr 2018 E	40,000,000	2017/09/14	2018/04/23	10.00
65958	HK Bank – CNOOC R Bear CBBC Apr 2018 A	40,000,000	2017/09/14	2018/04/16	10.00
65959	HK Bank – Galaxy Ent R Bull CBBC Jul 2018 E	80,000,000	2017/09/14	2018/07/03	20.00
65946	HK Bank – HSCEI R Bull CBBC Apr 2018 I	60,000,000	2017/09/14	2018/04/27	15.00
65939	HK Bank – HSI R Bull CBBC Apr 2018 F	100,000,000	2017/09/14	2018/04/27	25.00
65942	HK Bank – HSI R Bear CBBC Mar 2018 K	100,000,000	2017/09/14	2018/03/28	25.00
65976	HK Bank – ICBC R Bear CBBC Apr 2018 C	40,000,000	2017/09/14	2018/04/23	10.00
65950	HK Bank – Tencent R Bull CBBC Jul 2018 H	60,000,000	2017/09/14	2018/07/16	15.00
66120	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 M	200,000,000	2017/09/14	2018/12/28	50.00
66105	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 A	200,000,000	2017/09/14	2017/12/28	50.00
66114	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 C	200,000,000	2017/09/14	2017/12/28	50.00
66107	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 S	40,000,000	2017/09/14	2017/12/15	10.00
66111	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 H	40,000,000	2017/09/14	2018/01/19	10.00
66137	SG Issuer – China Life R Bull CBBC May 2018 G	40,000,000	2017/09/14	2018/05/08	10.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66124	SG Issuer – China Life R Bear CBBC Apr 2018 B	40,000,000	2017/09/14	2018/04/13	10.00
66144	SG Issuer – CSA50 R Bear CBBC Sep 2018 A	40,000,000	2017/09/14	2018/09/21	10.00
66101	SG Issuer – HSI R Bull CBBC Apr 2019 K	200,000,000	2017/09/14	2019/04/29	50.00
66102	SG Issuer – HSI R Bull CBBC Jul 2019 C	200,000,000	2017/09/14	2019/07/30	50.00
66085	SG Issuer – HSI R Bear CBBC Dec 2017 F	200,000,000	2017/09/14	2017/12/28	50.00
66094	SG Issuer – HSI R Bear CBBC Jan 2018 Z	200,000,000	2017/09/14	2018/01/30	50.00
66096	SG Issuer – HSI R Bear CBBC Feb 2018 L	200,000,000	2017/09/14	2018/02/27	50.00
66100	SG Issuer – HSI R Bear CBBC Mar 2018 D	200,000,000	2017/09/14	2018/03/28	50.00
65928	SG Issuer – Tencent R Bull CBBC Feb 2018 X	80,000,000	2017/09/14	2018/02/22	20.00
66038	UBS AG – HKEX R Bull CBBC May 2018 B	50,000,000	2017/09/14	2018/05/14	12.50
66031	UBS AG – HSI R Bull CBBC Sep 2018 P	200,000,000	2017/09/14	2018/09/27	50.00
66036	UBS AG – HSI R Bull CBBC Oct 2018 J	250,000,000	2017/09/14	2018/10/30	62.50
66028	UBS AG – HSI R Bear CBBC Dec 2017 X	250,000,000	2017/09/14	2017/12/28	62.50
66029	UBS AG – HSI R Bear CBBC Dec 2017 Y	250,000,000	2017/09/14	2017/12/28	62.50
66042	UBS AG – HSI R Bear CBBC Dec 2017 Z	250,000,000	2017/09/14	2017/12/28	62.50
66205	Credit Suisse AG – AIA R Bull CBBC Apr 2018 B	50,000,000	2017/09/15	2018/04/12	12.50
66198	Credit Suisse AG – HSI R Bull CBBC Aug 2018 T	200,000,000	2017/09/15	2018/08/30	50.00
66200	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Y	200,000,000	2017/09/15	2018/09/27	50.00
66190	Credit Suisse AG – HSI R Bear CBBC Feb 2018 X	200,000,000	2017/09/15	2018/02/27	50.00
66191	Credit Suisse AG – HSI R Bear CBBC Mar 2018 A	200,000,000	2017/09/15	2018/03/28	50.00
66202	Credit Suisse AG – Tencent R Bull CBBC Aug 2018 A	50,000,000	2017/09/15	2018/08/16	12.50
66187	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 P	200,000,000	2017/09/15	2018/10/30	50.00
66181	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 F	200,000,000	2017/09/15	2018/02/27	50.00
66226	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 D	80,000,000	2017/09/15	2018/05/04	20.00
66227	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 E	80,000,000	2017/09/15	2018/05/04	20.00
66238	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2018 A	80,000,000	2017/09/15	2018/07/13	20.00
66224	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 N	200,000,000	2017/09/15	2018/12/28	50.00
66223	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 B	200,000,000	2017/09/15	2017/12/28	50.00
66145	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 P	40,000,000	2017/09/15	2018/09/27	10.00
66146	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 Q	40,000,000	2017/09/15	2018/09/27	10.00
66150	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 R	40,000,000	2017/09/15	2018/09/27	10.00
66153	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 S	40,000,000	2017/09/15	2018/09/27	10.00
66158	SG Issuer – CCB R Bull CBBC Aug 2018 H	100,000,000	2017/09/15	2018/08/17	25.00
66173	SG Issuer – CSA50 R Bull CBBC May 2018 B	40,000,000	2017/09/15	2018/05/16	10.00
66161	SG Issuer – HKEX R Bull CBBC Jun 2018 E	50,000,000	2017/09/15	2018/06/22	12.50
66219	SG Issuer – HSI R Bull CBBC Apr 2019 L	200,000,000	2017/09/15	2019/04/29	50.00
66218	SG Issuer – HSI R Bear CBBC Feb 2018 M	200,000,000	2017/09/15	2018/02/27	50.00
66169	SG Issuer – ICBC R Bull CBBC Aug 2018 G	60,000,000	2017/09/15	2018/08/15	15.00
66214	UBS AG – HSI R Bull CBBC Oct 2018 K	200,000,000	2017/09/15	2018/10/30	50.00
66212	UBS AG – HSI R Bear CBBC Jan 2018 F	200,000,000	2017/09/15	2018/01/30	50.00
66213	UBS AG – HSI R Bear CBBC Jan 2018 G	200,000,000	2017/09/15	2018/01/30	50.00
66314	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 U	250,000,000	2017/09/18	2018/03/28	62.50
66316	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 Y	250,000,000	2017/09/18	2018/03/28	62.50
66328	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 K	50,000,000	2017/09/18	2018/08/30	12.50
66332	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 R	50,000,000	2017/09/18	2018/04/27	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66458	Credit Suisse AG – HSBC R Bull CBBC Jul 2018 D	50,000,000	2017/09/18	2018/07/13	12.50
66489	Credit Suisse AG – HSI R Bull CBBC May 2018 Q	200,000,000	2017/09/18	2018/05/30	50.00
66448	Credit Suisse AG – HSI R Bear CBBC Feb 2018 Y	200,000,000	2017/09/18	2018/02/27	50.00
66435	Credit Suisse AG – HSI R Bear CBBC Mar 2018 B	200,000,000	2017/09/18	2018/03/28	50.00
66456	Credit Suisse AG – HSI R Bear CBBC Mar 2018 C	200,000,000	2017/09/18	2018/03/28	50.00
66472	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 E	100,000,000	2017/09/18	2018/10/25	25.00
66490	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 T	50,000,000	2017/09/18	2018/06/22	12.50
66497	Credit Suisse AG – Tencent R Bull CBBC Jun 2018 U	50,000,000	2017/09/18	2018/06/27	12.50
66515	Credit Suisse AG – Tencent R Bear CBBC Mar 2018 I	100,000,000	2017/09/18	2018/03/22	25.00
66470	Credit Suisse AG – Tencent R Bear CBBC Apr 2018 J	100,000,000	2017/09/18	2018/04/26	25.00
66338	Bank of East Asia – Tencent R Bull CBBC Jul 2018 F	40,000,000	2017/09/18	2018/07/04	10.00
66340	Bank of East Asia – Tencent R Bull CBBC Jul 2018 G	40,000,000	2017/09/18	2018/07/04	10.00
66590	Goldman Sachs SP (Asia) – HSCEI R Bull CBBC Jul 2018 A	200,000,000	2017/09/18	2018/07/30	50.00
66578	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 S	200,000,000	2017/09/18	2018/10/30	50.00
66588	Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2018 T	200,000,000	2017/09/18	2018/10/30	50.00
66241	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 G	200,000,000	2017/09/18	2018/02/27	50.00
66259	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 H	200,000,000	2017/09/18	2018/02/27	51.60
66593	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 F	80,000,000	2017/09/18	2018/05/11	20.00
66600	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 G	80,000,000	2017/09/18	2018/05/11	20.00
66606	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 A	80,000,000	2017/09/18	2018/04/27	20.00
66306	HK Bank – BOCL R Bear CBBC Apr 2018 E	40,000,000	2017/09/18	2018/04/23	10.00
66276	HK Bank – Galaxy Ent R Bear CBBC Aug 2018 A	40,000,000	2017/09/18	2018/08/13	10.00
66297	HK Bank – HSI R Bull CBBC Apr 2018 R	100,000,000	2017/09/18	2018/04/27	25.00
66298	HK Bank – HSI R Bull CBBC Apr 2018 T	150,000,000	2017/09/18	2018/04/27	37.50
66403	HK Bank – Sands China R Bull CBBC Aug 2018 D	80,000,000	2017/09/18	2018/08/27	20.00
66277	HK Bank – SHK Ppt R Bull CBBC Jul 2018 B	40,000,000	2017/09/18	2018/07/09	10.00
66282	HK Bank – SHK Ppt R Bear CBBC Jun 2018 A	40,000,000	2017/09/18	2018/06/25	10.00
66295	HK Bank – SHK Ppt R Bear CBBC Jul 2018 B	40,000,000	2017/09/18	2018/07/09	10.00
66402	HK Bank – Tencent R Bull CBBC Mar 2018 Q	60,000,000	2017/09/18	2018/03/19	15.00
66302	HK Bank – Tencent R Bull CBBC Aug 2018 L	60,000,000	2017/09/18	2018/08/13	15.00
66304	HK Bank – Tencent R Bull CBBC Aug 2018 M	60,000,000	2017/09/18	2018/08/20	15.00
66430	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 F	40,000,000	2017/09/18	2018/01/19	10.00
66416	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 O	200,000,000	2017/09/18	2018/12/28	50.00
66417	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 B	200,000,000	2017/09/18	2019/08/29	50.00
66415	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/09/18	2017/12/28	50.00
66411	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 E	200,000,000	2017/09/18	2017/12/28	50.00
66419	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 P	60,000,000	2017/09/18	2018/01/19	15.00
66421	J P Morgan SP BV – Tencent R Bull CBBC Feb 2018 G	60,000,000	2017/09/18	2018/02/15	15.00
66429	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 I	60,000,000	2017/09/18	2018/01/19	15.00
66554	SG Issuer – HKEX R Bull CBBC Jul 2018 C	50,000,000	2017/09/18	2018/07/16	12.50
66542	SG Issuer – HSI R Bull CBBC Feb 2019 E	200,000,000	2017/09/18	2019/02/27	50.00
66545	SG Issuer – HSI R Bull CBBC Mar 2019 M	200,000,000	2017/09/18	2019/03/28	50.00
66535	SG Issuer – HSI R Bear CBBC Jan 2018 A	200,000,000	2017/09/18	2018/01/30	50.00
66555	SG Issuer – Tencent R Bull CBBC Apr 2018 J	80,000,000	2017/09/18	2018/04/12	20.00
66558	SG Issuer – Tencent R Bull CBBC Apr 2018 K	80,000,000	2017/09/18	2018/04/23	20.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66347	UBS AG – HSI R Bull CBBC Oct 2018 F	200,000,000	2017/09/18	2018/10/30	50.00
66345	UBS AG – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/09/18	2017/12/28	50.00
66378	UBS AG – HSI R Bear CBBC Dec 2017 L	250,000,000	2017/09/18	2017/12/28	62.50
66361	UBS AG – Tencent R Bull CBBC Jul 2018 B	50,000,000	2017/09/18	2018/07/23	12.50
66671	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 Z	250,000,000	2017/09/19	2018/03/28	62.50
66673	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 L	50,000,000	2017/09/19	2018/08/30	12.50
66753	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Apr 2018 C	50,000,000	2017/09/19	2018/04/13	12.50
66784	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Apr 2018 D	50,000,000	2017/09/19	2018/04/27	12.50
66749	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Mar 2018 A	100,000,000	2017/09/19	2018/03/14	25.00
66682	Credit Suisse AG – Geely Auto R Bull CBBC Apr 2018 B	40,000,000	2017/09/19	2018/04/13	10.00
66695	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 D	80,000,000	2017/09/19	2018/04/12	22.40
66697	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 E	50,000,000	2017/09/19	2018/06/14	12.50
66842	Credit Suisse AG – HSI R Bull CBBC Apr 2018 P	150,000,000	2017/09/19	2018/04/27	37.50
66801	Credit Suisse AG – HSI R Bear CBBC Feb 2018 Z	200,000,000	2017/09/19	2018/02/27	50.00
66806	Credit Suisse AG – HSI R Bear CBBC Mar 2018 D	200,000,000	2017/09/19	2018/03/28	50.00
66830	Credit Suisse AG – HSI R Bear CBBC May 2018 B	1,000,000,000	2017/09/19	2018/05/30	250.00
66710	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 B	50,000,000	2017/09/19	2018/07/13	12.50
66720	Credit Suisse AG – Tencent R Bear CBBC Jun 2018 C	25,000,000	2017/09/19	2018/06/22	130.00
66660	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 N	200,000,000	2017/09/19	2018/11/29	50.00
66669	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 I	200,000,000	2017/09/19	2018/02/27	50.00
66988	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 H	80,000,000	2017/09/19	2018/05/11	20.00
66990	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 I	80,000,000	2017/09/19	2018/05/11	20.00
67000	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 B	80,000,000	2017/09/19	2018/04/27	20.00
66632	HK Bank – Geely Auto R Bull CBBC Aug 2018 B	40,000,000	2017/09/19	2018/08/13	10.00
66633	HK Bank – Geely Auto R Bear CBBC Sep 2018 C	40,000,000	2017/09/19	2018/09/17	16.00
66657	HK Bank – HSI R Bull CBBC Apr 2018 Y	100,000,000	2017/09/19	2018/04/27	25.00
66617	HK Bank – HSI R Bear CBBC Mar 2018 L	100,000,000	2017/09/19	2018/03/28	25.00
66626	HK Bank – ICBC R Bull CBBC Aug 2018 G	80,000,000	2017/09/19	2018/08/06	20.00
66636	HK Bank – Sunny Optical R Bull CBBC Apr 2018 B	40,000,000	2017/09/19	2018/04/16	10.00
66634	HK Bank – Tencent R Bull CBBC Aug 2018 N	60,000,000	2017/09/19	2018/08/20	15.00
66896	J P Morgan SP BV – Geely Auto R Bull CBBC Feb 2018 F	40,000,000	2017/09/19	2018/02/15	10.00
66859	J P Morgan SP BV – Geely Auto R Bull CBBC Mar 2018 E	40,000,000	2017/09/19	2018/03/16	10.00
66899	J P Morgan SP BV – HKEX R Bull CBBC Feb 2018 F	40,000,000	2017/09/19	2018/02/15	10.00
66911	J P Morgan SP BV – HSBC R Bull CBBC Jun 2018 C	40,000,000	2017/09/19	2018/06/15	10.00
66849	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 Y	240,000,000	2017/09/19	2018/11/29	60.00
66852	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 F	240,000,000	2017/09/19	2017/12/28	60.00
66914	J P Morgan SP BV – Tencent R Bull CBBC Mar 2018 F	60,000,000	2017/09/19	2018/03/16	15.00
66917	J P Morgan SP BV – Tencent R Bear CBBC Jul 2018 F	60,000,000	2017/09/19	2018/07/20	22.80
66923	SG Issuer – CUni R Bear CBBC Jul 2018 A	40,000,000	2017/09/19	2018/07/11	10.00
66931	SG Issuer – Geely Auto R Bull CBBC Aug 2018 A	40,000,000	2017/09/19	2018/08/09	10.00
66935	SG Issuer – HSBC R Bull CBBC Jun 2018 G	100,000,000	2017/09/19	2018/06/21	25.00
67033	SG Issuer – HSI R Bull CBBC Mar 2019 N	200,000,000	2017/09/19	2019/03/28	50.00
67034	SG Issuer – HSI R Bull CBBC Apr 2019 M	200,000,000	2017/09/19	2019/04/29	50.00
66610	SG Issuer – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/09/19	2017/12/28	50.00
67002	SG Issuer – HSI R Bear CBBC Jan 2018 L	200,000,000	2017/09/19	2018/01/30	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66937	SG Issuer – SHK Ppt P R Bull CBBC Jun 2018 B	60,000,000	2017/09/19	2018/06/08	15.00
66948	SG Issuer – SHK Ppt P R Bear CBBC Jul 2018 A	40,000,000	2017/09/19	2018/07/10	10.00
66951	SG Issuer – Sunny Optical R Bull CBBC Apr 2018 A	40,000,000	2017/09/19	2018/04/09	10.00
66982	SG Issuer – Tencent R Bull CBBC Mar 2018 X	80,000,000	2017/09/19	2018/03/13	20.00
66976	SG Issuer – Tencent R Bull CBBC Jul 2018 F	80,000,000	2017/09/19	2018/07/18	20.00
66918	UBS AG – HSI R Bull CBBC Sep 2018 G	250,000,000	2017/09/19	2018/09/27	62.50
66919	UBS AG – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/09/19	2017/12/28	50.00
67044	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 Z	250,000,000	2017/09/20	2018/08/30	62.50
67205	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 E	80,000,000	2017/09/20	2018/04/19	20.00
67223	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 F	50,000,000	2017/09/20	2018/06/28	12.50
67185	Credit Suisse AG – Galaxy Ent R Bear CBBC Mar 2018 C	100,000,000	2017/09/20	2018/03/16	25.00
67176	Credit Suisse AG – HSI R Bull CBBC Aug 2018 U	200,000,000	2017/09/20	2018/08/30	50.00
67211	Credit Suisse AG – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/09/20	2017/12/28	50.00
67209	Credit Suisse AG – Ping An R Bear CBBC Apr 2018 G	80,000,000	2017/09/20	2018/04/26	160.00
67187	Credit Suisse AG – Sunny Optical R Bull CBBC Mar 2018 E	40,000,000	2017/09/20	2018/03/08	10.00
67201	Credit Suisse AG – Sunny Optical R Bear CBBC Feb 2018 D	100,000,000	2017/09/20	2018/02/28	25.00
67217	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 C	50,000,000	2017/09/20	2018/07/06	12.50
67236	Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2018 O	200,000,000	2017/09/20	2018/11/29	50.00
67124	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 N	200,000,000	2017/09/20	2018/03/28	50.00
67235	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Apr 2018 B	80,000,000	2017/09/20	2018/04/27	20.00
67064	HK Bank – Geely Auto R Bull CBBC Jul 2018 E	40,000,000	2017/09/20	2018/07/31	10.00
67061	HK Bank – HKEX R Bull CBBC Jul 2018 V	50,000,000	2017/09/20	2018/07/23	12.50
67046	HK Bank – HSI R Bear CBBC May 2018 A	100,000,000	2017/09/20	2018/05/30	25.00
67066	HK Bank – Sunny Optical R Bull CBBC Apr 2018 C	40,000,000	2017/09/20	2018/04/23	10.00
67055	HK Bank – Tencent R Bull CBBC Jul 2018 M	60,000,000	2017/09/20	2018/07/23	15.00
67260	J P Morgan SP BV – Geely Auto R Bull CBBC Jan 2018 F	40,000,000	2017/09/20	2018/01/19	10.00
67237	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 C	240,000,000	2017/09/20	2019/08/29	60.00
67252	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 G	240,000,000	2017/09/20	2017/12/28	60.00
67265	J P Morgan SP BV – Sunny Optical R Bull CBBC Jan 2018 C	40,000,000	2017/09/20	2018/01/19	10.00
67272	J P Morgan SP BV – Sunny Optical R Bull CBBC Mar 2018 B	40,000,000	2017/09/20	2018/03/16	10.00
67277	J P Morgan SP BV – Tencent R Bull CBBC Dec 2017 R	60,000,000	2017/09/20	2017/12/22	15.00
67127	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 T	40,000,000	2017/09/20	2018/09/27	10.00
67132	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 U	40,000,000	2017/09/20	2018/09/27	10.00
67137	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 V	40,000,000	2017/09/20	2018/09/27	10.00
67079	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 G	15,000,000	2017/09/20	2018/04/27	10.02
67095	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 H	15,000,000	2017/09/20	2018/04/27	10.04
67096	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 G	15,000,000	2017/09/20	2018/04/27	10.01
67098	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 H	15,000,000	2017/09/20	2018/04/27	10.01
67040	SG Issuer – CMB R Bull CBBC Oct 2018 A	40,000,000	2017/09/20	2018/10/30	10.00
67043	SG Issuer – CMB R Bull CBBC Nov 2018 A	40,000,000	2017/09/20	2018/11/29	10.00
67234	SG Issuer – HSI R Bull CBBC Mar 2019 O	200,000,000	2017/09/20	2019/03/28	50.00
67224	SG Issuer – HSI R Bear CBBC Jan 2018 M	200,000,000	2017/09/20	2018/01/30	50.00
67287	SG Issuer – SHK Ppt P R Bull CBBC Jul 2018 A	60,000,000	2017/09/20	2018/07/30	15.00
67291	SG Issuer – Tencent R Bull CBBC Apr 2018 L	80,000,000	2017/09/20	2018/04/09	20.00
67149	UBS AG – HSI R Bull CBBC Nov 2018 J	200,000,000	2017/09/20	2018/11/29	50.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67140	UBS AG – HSI R Bull CBBC Nov 2018 Z	250,000,000	2017/09/20	2018/11/29	62.50
67153	UBS AG – HSI R Bear CBBC Dec 2017 R	250,000,000	2017/09/20	2017/12/28	62.50
67155	UBS AG – HSI R Bear CBBC Dec 2017 T	250,000,000	2017/09/20	2017/12/28	62.50
67157	UBS AG – Petrochina R Bull CBBC Apr 2018 A	40,000,000	2017/09/20	2018/04/09	10.00
67170	UBS AG – Tencent R Bull CBBC Jul 2018 C	50,000,000	2017/09/20	2018/07/16	12.50
67337	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 O	250,000,000	2017/09/21	2018/08/30	62.50
67338	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 B	250,000,000	2017/09/21	2018/03/28	62.50
67547	Credit Suisse AG – CUni R Bull CBBC Feb 2018 E	50,000,000	2017/09/21	2018/02/28	12.50
67522	Credit Suisse AG – HSI R Bull CBBC Apr 2018 T	150,000,000	2017/09/21	2018/04/27	37.50
67521	Credit Suisse AG – HSI R Bull CBBC May 2018 R	200,000,000	2017/09/21	2018/05/30	50.00
67551	Credit Suisse AG – HSI R Bear CBBC Dec 2017 P	200,000,000	2017/09/21	2017/12/28	50.00
67549	Credit Suisse AG – HSI R Bear CBBC Feb 2018 D	150,000,000	2017/09/21	2018/02/27	37.50
67538	Credit Suisse AG – Sunny Optical R Bull CBBC Feb 2018 C	40,000,000	2017/09/21	2018/02/22	10.00
67533	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 D	50,000,000	2017/09/21	2018/07/12	12.50
67370	Bank of East Asia – Sunny Optical R Bull CBBC Jul 2018 A	40,000,000	2017/09/21	2018/07/06	10.00
67372	Bank of East Asia – Sunny Optical R Bull CBBC Jul 2018 B	40,000,000	2017/09/21	2018/07/06	10.00
67365	Bank of East Asia – Tencent R Bull CBBC Jul 2018 H	40,000,000	2017/09/21	2018/07/06	10.00
67415	Bank of East Asia – Tencent R Bull CBBC Jul 2018 I	40,000,000	2017/09/21	2018/07/06	10.00
67366	Bank of East Asia – Tencent R Bear CBBC Jul 2018 C	30,000,000	2017/09/21	2018/07/06	10.50
67293	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Apr 2018 A	60,000,000	2017/09/21	2018/04/27	15.00
67500	Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2018 K	200,000,000	2017/09/21	2018/12/28	50.00
67495	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 O	200,000,000	2017/09/21	2018/03/28	50.00
67507	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 J	80,000,000	2017/09/21	2018/05/04	20.00
67511	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 K	80,000,000	2017/09/21	2018/05/04	20.00
67517	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 C	80,000,000	2017/09/21	2018/04/27	20.00
67356	HK Bank – AAC Tech R Bull CBBC Jun 2018 A	40,000,000	2017/09/21	2018/06/29	10.00
67361	HK Bank – HSI R Bear CBBC Apr 2018 R	100,000,000	2017/09/21	2018/04/27	25.00
67354	HK Bank – Sunny Optical R Bull CBBC Jun 2018 A	40,000,000	2017/09/21	2018/06/29	10.00
67346	HK Bank – Tencent R Bull CBBC Jun 2018 D	60,000,000	2017/09/21	2018/06/29	15.00
67348	HK Bank – Tencent R Bear CBBC Aug 2018 G	50,000,000	2017/09/21	2018/08/17	20.00
67353	HK Bank – Tencent R Bear CBBC Aug 2018 H	50,000,000	2017/09/21	2018/08/31	25.00
67426	J P Morgan SP BV – AAC Tech R Bull CBBC Jan 2018 A	40,000,000	2017/09/21	2018/01/19	10.00
67459	J P Morgan SP BV – AAC Tech R Bull CBBC Feb 2018 A	40,000,000	2017/09/21	2018/02/15	10.00
67418	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 P	240,000,000	2017/09/21	2018/12/28	60.00
67419	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 H	240,000,000	2017/09/21	2017/12/28	60.00
67425	J P Morgan SP BV – Sunny Optical R Bull CBBC Jan 2018 D	50,000,000	2017/09/21	2018/01/19	12.50
67463	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 Q	60,000,000	2017/09/21	2018/01/19	15.00
67465	J P Morgan SP BV – Tencent R Bear CBBC Feb 2018 M	60,000,000	2017/09/21	2018/02/15	24.00
67376	SG Issuer – CCB R Bull CBBC Aug 2018 I	100,000,000	2017/09/21	2018/08/30	25.00
67400	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2018 D	40,000,000	2017/09/21	2018/04/11	10.00
67380	SG Issuer – Galaxy R Bear CBBC Jul 2018 A	80,000,000	2017/09/21	2018/07/20	20.00
67589	SG Issuer – HSI R Bull CBBC Jul 2019 D	200,000,000	2017/09/21	2019/07/30	50.00
67559	SG Issuer – HSI R Bear CBBC Dec 2017 R	200,000,000	2017/09/21	2017/12/28	50.00
67568	SG Issuer – HSI R Bear CBBC Jan 2018 N	200,000,000	2017/09/21	2018/01/30	50.00
67387	SG Issuer – Ping An R Bull CBBC Jun 2018 B	100,000,000	2017/09/21	2018/06/20	25.00

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67399	SG Issuer – Sunny Optical R Bull CBBC Aug 2018 A	40,000,000	2017/09/21	2018/08/30	10.00
67597	SG Issuer – Tencent R Bull CBBC Jul 2018 G	80,000,000	2017/09/21	2018/07/04	20.00
67473	UBS AG – HSI R Bull CBBC Oct 2018 G	250,000,000	2017/09/21	2018/10/30	62.50
67494	UBS AG – HSI R Bear CBBC Dec 2017 M	250,000,000	2017/09/21	2017/12/28	62.50
67485	UBS AG – Tencent R Bull CBBC Jun 2018 A	50,000,000	2017/09/21	2018/06/25	12.50
67598	BNP Paribas Issuance B.V. – HSI R Bull CBBC Aug 2018 P	250,000,000	2017/09/22	2018/08/30	62.50
67633	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 I	50,000,000	2017/09/22	2018/08/30	12.50
67753	Credit Suisse AG – CNOOC R Bull CBBC Oct 2018 D	50,000,000	2017/09/22	2018/10/12	12.50
67727	Credit Suisse AG – HSI R Bull CBBC Apr 2018 U	150,000,000	2017/09/22	2018/04/27	37.50
67697	Credit Suisse AG – HSI R Bull CBBC May 2018 S	200,000,000	2017/09/22	2018/05/30	50.00
67724	Credit Suisse AG – HSI R Bull CBBC Aug 2018 X	200,000,000	2017/09/22	2018/08/30	50.00
67707	Credit Suisse AG – HSI R Bear CBBC Mar 2018 E	200,000,000	2017/09/22	2018/03/28	50.00
67748	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 I	70,000,000	2017/09/22	2018/09/14	17.50
67745	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 E	50,000,000	2017/09/22	2018/07/05	12.50
67634	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2019 A	200,000,000	2017/09/22	2019/03/28	50.00
67636	Goldman Sachs SP (Asia) – HSI R Bull CBBC Mar 2019 B	200,000,000	2017/09/22	2019/03/28	50.00
67816	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 L	80,000,000	2017/09/22	2018/05/15	20.00
67819	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Mar 2018 A	80,000,000	2017/09/22	2018/03/29	20.00
67631	HK Bank – HKEX R Bull CBBC Jun 2018 K	50,000,000	2017/09/22	2018/06/25	12.50
67616	HK Bank – HSI R Bull CBBC Apr 2018 Z	100,000,000	2017/09/22	2018/04/27	25.00
67605	HK Bank – Tencent R Bull CBBC Jul 2018 N	60,000,000	2017/09/22	2018/07/16	15.00
67611	HK Bank – Tencent R Bear CBBC Jul 2018 J	50,000,000	2017/09/22	2018/07/16	17.50
67841	J P Morgan SP BV – AAC Tech R Bull CBBC Mar 2018 A	40,000,000	2017/09/22	2018/03/16	10.00
67838	J P Morgan SP BV – CNOOC R Bull CBBC Oct 2018 A	80,000,000	2017/09/22	2018/10/19	20.00
67845	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 G	40,000,000	2017/09/22	2018/01/19	10.00
67830	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 Z	240,000,000	2017/09/22	2018/11/29	60.00
67820	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 D	240,000,000	2017/09/22	2019/08/29	60.00
67849	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 I	240,000,000	2017/09/22	2017/12/28	60.00
67855	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 H	240,000,000	2017/09/22	2018/01/30	60.00
67847	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 R	60,000,000	2017/09/22	2018/01/19	15.00
67637	SG Issuer – CCB R Bull CBBC Sep 2018 A	100,000,000	2017/09/22	2018/09/12	25.00
67801	SG Issuer – China Life R Bull CBBC Jul 2018 B	40,000,000	2017/09/22	2018/07/11	10.00
67667	SG Issuer – Evergrande R Bull CBBC Aug 2018 A	40,000,000	2017/09/22	2018/08/06	21.20
67674	SG Issuer – Evergrande R Bull CBBC Sep 2018 A	40,000,000	2017/09/22	2018/09/05	26.00
67813	SG Issuer – Galaxy R Bull CBBC Jul 2018 A	80,000,000	2017/09/22	2018/07/12	20.00
67792	SG Issuer – HSI R Bull CBBC Mar 2019 P	200,000,000	2017/09/22	2019/03/28	50.00
67788	SG Issuer – HSI R Bear CBBC Dec 2017 N	200,000,000	2017/09/22	2017/12/28	50.00
67675	SG Issuer – Tencent R Bull CBBC Mar 2018 Y	80,000,000	2017/09/22	2018/03/22	20.00
67679	SG Issuer – Tencent R Bull CBBC Apr 2018 M	80,000,000	2017/09/22	2018/04/20	20.00
67684	SG Issuer – Tencent R Bull CBBC Jul 2018 H	80,000,000	2017/09/22	2018/07/12	20.00
67686	SG Issuer – Tencent R Bear CBBC Aug 2018 C	80,000,000	2017/09/22	2018/08/09	41.60
67696	SG Issuer – Tencent R Bear CBBC Oct 2018 C	80,000,000	2017/09/22	2018/10/12	46.40
67768	UBS AG – HSI R Bull CBBC Nov 2018 K	250,000,000	2017/09/22	2018/11/29	62.50
67777	UBS AG – HSI R Bull CBBC Dec 2018 A	250,000,000	2017/09/22	2018/12/28	62.50
67765	UBS AG – HSI R Bull CBBC Apr 2019 A	200,000,000	2017/09/22	2019/04/29	50.00



# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67784	UBS AG – HSI R Bear CBBC Dec 2017 P	250,000,000	2017/09/22	2017/12/28	62.50
67782	UBS AG – HSI R Bear CBBC Jan 2018 H	250,000,000	2017/09/22	2018/01/30	62.50
68091	BNP Paribas Issuance B.V. – CSOP A50 ETF R Bull CBBC Jun 18B	60,000,000	2017/09/25	2018/06/28	15.00
68078	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 L	50,000,000	2017/09/25	2018/08/30	12.50
68101	BNP Paribas Issuance B.V. – HSCEI R Bull CBBC Aug 2018 X	100,000,000	2017/09/25	2018/08/30	25.00
67931	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 A	250,000,000	2017/09/25	2018/09/27	62.50
68340	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 B	250,000,000	2017/09/25	2018/09/27	62.50
67928	BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2018 X	250,000,000	2017/09/25	2018/09/27	62.50
67866	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 C	250,000,000	2017/09/25	2018/03/28	62.50
67870	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 T	250,000,000	2017/09/25	2018/03/28	62.50
68330	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 M	50,000,000	2017/09/25	2018/08/30	12.50
68328	BNP Paribas Issuance B.V. – Tencent R Bull CBBC Aug 2018 P	50,000,000	2017/09/25	2018/08/30	12.50
67873	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 S	50,000,000	2017/09/25	2018/04/27	12.50
68225	Credit Suisse AG – AIA R Bull CBBC Jun 2018 C	50,000,000	2017/09/25	2018/06/29	12.50
68251	Credit Suisse AG – Geely Auto R Bull CBBC Apr 2018 C	40,000,000	2017/09/25	2018/04/19	10.00
68202	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 J	50,000,000	2017/09/25	2018/06/29	12.50
68206	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 K	50,000,000	2017/09/25	2018/06/22	12.50
68197	Credit Suisse AG – HSI R Bull CBBC May 2018 T	200,000,000	2017/09/25	2018/05/30	50.00
68199	Credit Suisse AG – HSI R Bull CBBC Sep 2018 B	200,000,000	2017/09/25	2018/09/27	50.00
68200	Credit Suisse AG – HSI R Bull CBBC Sep 2018 Z	200,000,000	2017/09/25	2018/09/27	50.00
68195	Credit Suisse AG – HSI R Bear CBBC Dec 2017 D	200,000,000	2017/09/25	2017/12/28	50.00
68193	Credit Suisse AG – HSI R Bear CBBC Dec 2017 M	200,000,000	2017/09/25	2017/12/28	50.00
68194	Credit Suisse AG – HSI R Bear CBBC Mar 2018 F	200,000,000	2017/09/25	2018/03/28	50.00
68237	Credit Suisse AG – Sunny Optical R Bear CBBC Feb 2018 E	40,000,000	2017/09/25	2018/02/23	10.40
68207	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 F	50,000,000	2017/09/25	2018/07/20	12.50
68133	Bank of East Asia – AIA R Bull CBBC Jul 2018 B	40,000,000	2017/09/25	2018/07/12	10.00
68113	Bank of East Asia – China Mobile R Bull CBBC Jul 2018 A	60,000,000	2017/09/25	2018/07/12	15.00
68145	Bank of East Asia – Geely Auto R Bull CBBC Jul 2018 B	40,000,000	2017/09/25	2018/07/12	10.00
68105	Bank of East Asia – HKEX R Bull CBBC Jul 2018 C	40,000,000	2017/09/25	2018/07/12	10.00
68107	Bank of East Asia – HKEX R Bull CBBC Jul 2018 D	40,000,000	2017/09/25	2018/07/12	10.00
68126	Bank of East Asia – Ping An R Bull CBBC Jun 2018 I	16,000,000	2017/09/25	2018/06/15	10.40
68108	Bank of East Asia – Tencent R Bull CBBC Jul 2018 J	40,000,000	2017/09/25	2018/07/12	10.00
68112	Bank of East Asia – Tencent R Bull CBBC Jul 2018 K	40,000,000	2017/09/25	2018/07/12	10.00
68541	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2018 A	60,000,000	2017/09/25	2018/03/28	15.00
68253	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2019 A	200,000,000	2017/09/25	2019/04/29	50.00
68254	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2019 B	200,000,000	2017/09/25	2019/04/29	50.00
67878	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 J	200,000,000	2017/09/25	2018/02/27	50.00
68523	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 M	80,000,000	2017/09/25	2018/05/15	20.00
68527	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 N	80,000,000	2017/09/25	2018/05/11	20.00
68529	Goldman Sachs SP (Asia) – Tencent R Bull CBBC May 2018 O	80,000,000	2017/09/25	2018/05/10	20.00
68524	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 D	80,000,000	2017/09/25	2018/04/30	20.00
68613	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 F	80,000,000	2017/09/25	2018/04/30	21.04
67920	HK Bank – CNOOC R Bull CBBC Aug 2018 D	50,000,000	2017/09/25	2018/08/27	12.50
67924	HK Bank – Geely Auto R Bull CBBC Aug 2018 C	40,000,000	2017/09/25	2018/08/20	10.00
67926	HK Bank – HKEX R Bull CBBC Jul 2018 W	50,000,000	2017/09/25	2018/07/16	12.50

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67896	HK Bank – HSCEI R Bull CBBC Apr 2018 J	60,000,000	2017/09/25	2018/04/27	15.00
67880	HK Bank – HSI R Bull CBBC Apr 2018 X	100,000,000	2017/09/25	2018/04/27	25.00
67911	HK Bank – Ping An R Bull CBBC Apr 2018 F	80,000,000	2017/09/25	2018/04/30	20.00
67900	HK Bank – Tencent R Bull CBBC Jul 2018 S	60,000,000	2017/09/25	2018/07/30	15.00
67907	HK Bank – Tencent R Bull CBBC Aug 2018 O	60,000,000	2017/09/25	2018/08/06	15.00
68151	Haitong Int'l Sec – Evergrande R Bull CBBC Sep 2018 A	40,000,000	2017/09/25	2018/09/24	22.32
68164	Haitong Int'l Sec – Evergrande R Bull CBBC Oct 2018 A	40,000,000	2017/09/25	2018/10/10	14.44
68175	Haitong Int'l Sec – Evergrande R Bull CBBC Oct 2018 B	40,000,000	2017/09/25	2018/10/15	10.52
68180	Haitong Int'l Sec – Geely Auto R Bull CBBC Jul 2018 A	40,000,000	2017/09/25	2018/07/27	10.00
68184	Haitong Int'l Sec – Geely Auto R Bull CBBC Jul 2018 B	40,000,000	2017/09/25	2018/07/25	13.84
68188	Haitong Int'l Sec – Galaxy Ent R Bull CBBC Jun 2018 B	40,000,000	2017/09/25	2018/06/25	18.32
68189	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 H	40,000,000	2017/09/25	2018/04/26	10.00
68577	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 H	40,000,000	2017/09/25	2018/01/19	10.00
68586	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 J	240,000,000	2017/09/25	2018/11/29	60.00
68606	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 Q	240,000,000	2017/09/25	2018/12/28	60.00
68583	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 E	240,000,000	2017/09/25	2019/08/29	60.00
68546	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 L	240,000,000	2017/09/25	2017/12/28	60.00
68561	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 M	240,000,000	2017/09/25	2017/12/28	60.00
68562	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 S	60,000,000	2017/09/25	2018/01/19	15.00
68576	J P Morgan SP BV – Tencent R Bull CBBC Jan 2018 T	60,000,000	2017/09/25	2018/01/19	15.00
68102	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 J	20,000,000	2017/09/25	2018/06/27	11.16
68104	Macquarie Bank Ltd. – HKEX R Bull CBBC Jun 2018 K	20,000,000	2017/09/25	2018/06/27	10.70
68357	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 W	40,000,000	2017/09/25	2018/09/27	10.00
68358	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 X	40,000,000	2017/09/25	2018/09/27	10.00
68360	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 Y	40,000,000	2017/09/25	2018/09/27	10.00
68365	Macquarie Bank Ltd. – HSI R Bull CBBC Sep 2018 Z	40,000,000	2017/09/25	2018/09/27	10.00
67961	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 I	16,000,000	2017/09/25	2018/04/30	10.30
67963	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 J	15,000,000	2017/09/25	2018/04/30	10.08
67992	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 K	15,000,000	2017/09/25	2018/04/30	10.61
67940	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 I	16,000,000	2017/09/25	2018/04/30	10.00
67943	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 J	14,000,000	2017/09/25	2018/04/30	10.02
67960	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 K	14,000,000	2017/09/25	2018/04/30	10.72
68369	SG Issuer – AIA R Bull CBBC Jun 2018 C	60,000,000	2017/09/25	2018/06/28	15.00
68374	SG Issuer – Geely Auto R Bull CBBC Aug 2018 B	40,000,000	2017/09/25	2018/08/30	10.00
68379	SG Issuer – Geely Auto R Bull CBBC Sep 2018 B	40,000,000	2017/09/25	2018/09/27	10.00
67999	SG Issuer – Geely Auto R Bear CBBC Apr 2018 B	40,000,000	2017/09/25	2018/04/20	14.20
68000	SG Issuer – Geely Auto R Bear CBBC Jul 2018 A	40,000,000	2017/09/25	2018/07/20	18.40
68008	SG Issuer – Geely Auto R Bear CBBC Oct 2018 A	40,000,000	2017/09/25	2018/10/26	26.80
68388	SG Issuer – HKEX R Bull CBBC Apr 2018 E	50,000,000	2017/09/25	2018/04/09	12.50
68011	SG Issuer – HKEX R Bull CBBC Jun 2018 F	50,000,000	2017/09/25	2018/06/15	12.50
68033	SG Issuer – HKEX R Bull CBBC Jun 2018 G	50,000,000	2017/09/25	2018/06/28	12.50
68395	SG Issuer – HKEX R Bull CBBC Jun 2018 H	50,000,000	2017/09/25	2018/06/26	12.50
68035	SG Issuer – HKEX R Bull CBBC Jul 2018 D	50,000,000	2017/09/25	2018/07/16	12.50
68412	SG Issuer – HSBC R Bull CBBC Apr 2018 G	100,000,000	2017/09/25	2018/04/16	25.00
68486	SG Issuer – HSI R Bull CBBC Mar 2019 Q	200,000,000	2017/09/25	2019/03/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68517	SG Issuer – HSI R Bull CBBC Apr 2019 N	200,000,000	2017/09/25	2019/04/29	50.00
68522	SG Issuer – HSI R Bull CBBC Apr 2019 O	200,000,000	2017/09/25	2019/04/29	50.00
68456	SG Issuer – HSI R Bear CBBC Dec 2017 T	200,000,000	2017/09/25	2017/12/28	50.00
68463	SG Issuer – HSI R Bear CBBC Dec 2017 V	200,000,000	2017/09/25	2017/12/28	50.00
68464	SG Issuer – HSI R Bear CBBC Jan 2018 O	200,000,000	2017/09/25	2018/01/30	50.00
68467	SG Issuer – HSI R Bear CBBC Jan 2018 P	200,000,000	2017/09/25	2018/01/30	50.00
68468	SG Issuer – HSI R Bear CBBC Feb 2018 N	200,000,000	2017/09/25	2018/02/27	50.00
68040	SG Issuer – ICBC R Bull CBBC Aug 2018 H	60,000,000	2017/09/25	2018/08/24	15.00
68415	SG Issuer – Ping An R Bull CBBC May 2018 E	100,000,000	2017/09/25	2018/05/28	25.00
68051	SG Issuer – Sunny Optical R Bull CBBC Feb 2018 A	40,000,000	2017/09/25	2018/02/26	10.00
68418	SG Issuer – Tencent R Bull CBBC Apr 2018 N	80,000,000	2017/09/25	2018/04/17	20.00
68421	SG Issuer – Tencent R Bull CBBC Jun 2018 F	80,000,000	2017/09/25	2018/06/25	20.00
68277	UBS AG – HKEX R Bull CBBC May 2018 C	50,000,000	2017/09/25	2018/05/28	12.50
68276	UBS AG – HKEX R Bull CBBC Jul 2018 A	50,000,000	2017/09/25	2018/07/09	12.50
68315	UBS AG – HSI R Bull CBBC Nov 2018 F	250,000,000	2017/09/25	2018/11/29	62.50
68316	UBS AG – HSI R Bull CBBC Nov 2018 G	200,000,000	2017/09/25	2018/11/29	50.00
68314	UBS AG – HSI R Bull CBBC Dec 2018 B	200,000,000	2017/09/25	2018/12/28	50.00
68305	UBS AG – HSI R Bull CBBC Apr 2019 B	250,000,000	2017/09/25	2019/04/29	62.50
68265	UBS AG – HSI R Bear CBBC Dec 2017 U	200,000,000	2017/09/25	2017/12/28	50.00
68266	UBS AG – HSI R Bear CBBC Dec 2017 V	250,000,000	2017/09/25	2017/12/28	62.50
68273	UBS AG – HSI R Bear CBBC Dec 2017 W	250,000,000	2017/09/25	2017/12/28	62.50
68274	UBS AG – HSI R Bear CBBC Dec 2017 X	250,000,000	2017/09/25	2017/12/28	62.50
68342	UBS AG – Tencent R Bull CBBC May 2018 A	50,000,000	2017/09/25	2018/05/21	12.50
68348	UBS AG – Tencent R Bull CBBC Jul 2018 D	50,000,000	2017/09/25	2018/07/16	12.50
68286	UBS AG – Tencent R Bear CBBC Apr 2018 B	50,000,000	2017/09/25	2018/04/16	19.50
68667	BNP Paribas Issuance B.V. – HKEX R Bull CBBC Aug 2018 M	50,000,000	2017/09/26	2018/08/30	12.50
68659	BNP Paribas Issuance B.V. – HSBC R Bull CBBC Aug 2018 G	150,000,000	2017/09/26	2018/08/30	37.50
68668	BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2018 D	250,000,000	2017/09/26	2018/03/28	62.50
68627	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 E	50,000,000	2017/09/26	2018/04/27	15.00
68625	BNP Paribas Issuance B.V. – Tencent R Bear CBBC Apr 2018 P	50,000,000	2017/09/26	2018/04/27	12.50
68962	Credit Suisse AG – Geely Auto R Bull CBBC Apr 2018 D	40,000,000	2017/09/26	2018/04/12	10.00
68963	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 F	40,000,000	2017/09/26	2018/04/12	14.40
69005	Credit Suisse AG – HKEX R Bull CBBC Jun 2018 L	50,000,000	2017/09/26	2018/06/28	12.50
68960	Credit Suisse AG – HSBC R Bull CBBC Jul 2018 E	70,000,000	2017/09/26	2018/07/05	17.50
68956	Credit Suisse AG – HSI R Bull CBBC May 2018 U	200,000,000	2017/09/26	2018/05/30	50.00
68957	Credit Suisse AG – HSI R Bull CBBC Aug 2018 A	200,000,000	2017/09/26	2018/08/30	50.00
68955	Credit Suisse AG – HSI R Bull CBBC Aug 2018 C	200,000,000	2017/09/26	2018/08/30	50.00
69016	Credit Suisse AG – HSI R Bear CBBC Dec 2017 O	200,000,000	2017/09/26	2017/12/28	50.00
69015	Credit Suisse AG – HSI R Bear CBBC Mar 2018 G	200,000,000	2017/09/26	2018/03/28	50.00
69012	Credit Suisse AG – Sands China R Bull CBBC Jul 2018 C	50,000,000	2017/09/26	2018/07/20	12.50
69011	Credit Suisse AG – Tencent R Bull CBBC Jul 2018 G	50,000,000	2017/09/26	2018/07/18	12.50
68797	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2019 C	200,000,000	2017/09/26	2019/04/29	50.00
68804	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2019 D	200,000,000	2017/09/26	2019/04/29	50.00
68794	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 K	200,000,000	2017/09/26	2018/02/27	50.00
68928	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Mar 2018 A	80,000,000	2017/09/26	2018/03/29	20.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69055	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Mar 2018 B	80,000,000	2017/09/26	2018/03/29	20.00
68935	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Mar 2018 B	80,000,000	2017/09/26	2018/03/29	20.00
68939	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Mar 2018 C	80,000,000	2017/09/26	2018/03/29	20.00
68778	HK Bank – AIA R Bull CBBC Jul 2018 E	60,000,000	2017/09/26	2018/07/09	15.00
68745	HK Bank – CUni R Bear CBBC Mar 2018 C	40,000,000	2017/09/26	2018/03/26	10.00
68779	HK Bank – Evergrande R Bull CBBC Aug 2018 B	80,000,000	2017/09/26	2018/08/27	20.00
68707	HK Bank – Geely Auto R Bull CBBC Mar 2018 C	40,000,000	2017/09/26	2018/03/26	10.00
68690	HK Bank – Geely Auto R Bull CBBC Jul 2018 F	40,000,000	2017/09/26	2018/07/16	10.00
68723	HK Bank – Geely Auto R Bear CBBC Aug 2018 D	40,000,000	2017/09/26	2018/08/27	14.00
68727	HK Bank – HKEX R Bull CBBC Mar 2018 J	50,000,000	2017/09/26	2018/03/26	12.50
68725	HK Bank – HKEX R Bull CBBC Aug 2018 B	50,000,000	2017/09/26	2018/08/06	12.50
68780	HK Bank – HSI R Bull CBBC Jul 2018 K	150,000,000	2017/09/26	2018/07/30	37.50
68732	HK Bank – Ping An R Bull CBBC Jun 2018 A	80,000,000	2017/09/26	2018/06/11	20.00
68762	HK Bank – Tencent R Bull CBBC Jul 2018 T	60,000,000	2017/09/26	2018/07/09	15.00
68919	Haitong Int'l Sec – Evergrande R Bear CBBC Mar 2018 A	30,000,000	2017/09/26	2018/03/26	19.59
68867	Haitong Int'l Sec – Geely Auto R Bull CBBC May 2018 A	40,000,000	2017/09/26	2018/05/18	10.00
68886	Haitong Int'l Sec – Tencent R Bull CBBC Apr 2018 A	40,000,000	2017/09/26	2018/04/27	11.08
68900	Haitong Int'l Sec – Tencent R Bull CBBC Apr 2018 B	40,000,000	2017/09/26	2018/04/26	10.00
68907	Haitong Int'l Sec – Tencent R Bull CBBC Apr 2018 C	40,000,000	2017/09/26	2018/04/25	10.00
68912	Haitong Int'l Sec – Tencent R Bull CBBC Apr 2018 D	40,000,000	2017/09/26	2018/04/24	10.00
68918	Haitong Int'l Sec – Tencent R Bear CBBC Apr 2018 I	40,000,000	2017/09/26	2018/04/23	11.84
69074	J P Morgan SP BV – HSI R Bull CBBC Dec 2018 R	240,000,000	2017/09/26	2018/12/28	60.00
69081	J P Morgan SP BV – HSI R Bear CBBC Dec 2017 O	240,000,000	2017/09/26	2017/12/28	60.00
69083	J P Morgan SP BV – Ping An R Bull CBBC Mar 2018 D	100,000,000	2017/09/26	2018/03/16	25.00
69084	J P Morgan SP BV – Tencent R Bull CBBC Feb 2018 H	60,000,000	2017/09/26	2018/02/15	15.00
68828	Macquarie Bank Ltd. – HSBC R Bull CBBC Sep 2018 A	40,000,000	2017/09/26	2018/09/27	10.00
68850	Macquarie Bank Ltd. – Ping An R Bull CBBC Sep 2018 D	40,000,000	2017/09/26	2018/09/27	10.00
68864	Macquarie Bank Ltd. – Ping An R Bear CBBC Sep 2018 B	40,000,000	2017/09/26	2018/09/27	10.00
69059	SG Issuer – Evergrande R Bull CBBC Aug 2018 B	40,000,000	2017/09/26	2018/08/16	12.60
69063	SG Issuer – Evergrande R Bull CBBC Sep 2018 B	40,000,000	2017/09/26	2018/09/21	20.80
68805	SG Issuer – HSBC R Bull CBBC Jul 2018 B	100,000,000	2017/09/26	2018/07/10	25.00
69045	SG Issuer – HSI R Bull CBBC Mar 2019 R	200,000,000	2017/09/26	2019/03/28	50.00
69046	SG Issuer – HSI R Bull CBBC Apr 2019 P	200,000,000	2017/09/26	2019/04/29	50.00
68819	SG Issuer – HSI R Bull CBBC Jul 2019 E	200,000,000	2017/09/26	2019/07/30	50.00
69054	SG Issuer – HSI R Bull CBBC Jul 2019 F	200,000,000	2017/09/26	2019/07/30	50.00
69018	SG Issuer – HSI R Bear CBBC Feb 2018 O	200,000,000	2017/09/26	2018/02/27	50.00
69026	SG Issuer – HSI R Bear CBBC Feb 2018 P	200,000,000	2017/09/26	2018/02/27	50.00
69064	SG Issuer – Tencent R Bull CBBC Jul 2018 I	80,000,000	2017/09/26	2018/07/04	20.00
68922	UBS AG – HSI R Bull CBBC Sep 2018 R	200,000,000	2017/09/26	2018/09/27	50.00
68920	UBS AG – HSI R Bull CBBC Nov 2018 H	200,000,000	2017/09/26	2018/11/29	50.00
68921	UBS AG – HSI R Bear CBBC Dec 2017 Z	200,000,000	2017/09/26	2017/12/28	50.00
69192	Credit Suisse AG – CUni R Bull CBBC Apr 2018 A	50,000,000	2017/09/27	2018/04/26	12.50
69200	Credit Suisse AG – China Shenhua R Bull CBBC May 2018 A	40,000,000	2017/09/27	2018/05/31	10.00
69189	Credit Suisse AG – Geely Auto R Bull CBBC May 2018 A	40,000,000	2017/09/27	2018/05/30	10.00
69191	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 G	40,000,000	2017/09/27	2018/04/25	15.60

# MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69206	Credit Suisse AG – Geely Auto R Bear CBBC Apr 2018 H	40,000,000	2017/09/27	2018/04/18	20.00
69188	Credit Suisse AG – HSBC R Bull CBBC Aug 2018 A	80,000,000	2017/09/27	2018/08/09	20.00
69171	Credit Suisse AG – HSI R Bull CBBC Jan 2018 M	200,000,000	2017/09/27	2018/01/30	50.00
69174	Credit Suisse AG – HSI R Bear CBBC Mar 2018 H	200,000,000	2017/09/27	2018/03/28	50.00
69201	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 F	100,000,000	2017/09/27	2018/10/25	25.00
69150	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2019 A	200,000,000	2017/09/27	2019/08/29	50.00
69151	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2019 B	200,000,000	2017/09/27	2019/08/29	50.00
69105	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 P	200,000,000	2017/09/27	2018/03/28	50.00
69160	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Mar 2018 C	80,000,000	2017/09/27	2018/03/29	20.00
69170	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Mar 2018 D	80,000,000	2017/09/27	2018/03/29	20.00
69120	HK Bank – COVS R Bull CBBC Jul 2018 B	40,000,000	2017/09/27	2018/07/30	10.00
69117	HK Bank – Geely Auto R Bull CBBC Aug 2018 D	40,000,000	2017/09/27	2018/08/27	10.00
69111	HK Bank – Geely Auto R Bear CBBC Aug 2018 E	40,000,000	2017/09/27	2018/08/20	20.00
69125	HK Bank – HKEX R Bull CBBC Aug 2018 C	50,000,000	2017/09/27	2018/08/13	12.50
69109	HK Bank – Tencent R Bull CBBC Mar 2018 W	60,000,000	2017/09/27	2018/03/26	15.00
69211	J P Morgan SP BV – HKEX R Bull CBBC Jan 2018 I	40,000,000	2017/09/27	2018/01/19	10.00
69220	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 F	240,000,000	2017/09/27	2019/08/29	60.00
69223	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 K	240,000,000	2017/09/27	2018/01/30	60.00
69207	J P Morgan SP BV – Tencent R Bull CBBC Feb 2018 I	60,000,000	2017/09/27	2018/02/15	15.00
69288	Macquarie Bank Ltd. – China Life R Bull CBBC Apr 2018 A	23,000,000	2017/09/27	2018/04/30	10.12
69287	Macquarie Bank Ltd. – HKEX R Bull CBBC Sep 2018 A	20,000,000	2017/09/27	2018/09/26	10.12
69293	Macquarie Bank Ltd. – Tencent R Bull CBBC Apr 2018 L	16,000,000	2017/09/27	2018/04/30	10.06
69128	SG Issuer – CUni R Bull CBBC Jul 2018 B	40,000,000	2017/09/27	2018/07/09	10.00
69140	SG Issuer – Geely Auto R Bull CBBC Apr 2018 D	40,000,000	2017/09/27	2018/04/11	10.00
69142	SG Issuer – Geely Auto R Bear CBBC Oct 2018 B	40,000,000	2017/09/27	2018/10/08	24.80
69246	SG Issuer – HSI R Bear CBBC Mar 2018 E	200,000,000	2017/09/27	2018/03/28	50.00
69149	SG Issuer – ICBC R Bull CBBC Jun 2018 A	60,000,000	2017/09/27	2018/06/04	15.00
69235	UBS AG – HSI R Bull CBBC Oct 2018 L	250,000,000	2017/09/27	2018/10/30	62.50
69239	UBS AG – HSI R Bull CBBC Oct 2018 M	250,000,000	2017/09/27	2018/10/30	62.50
69232	UBS AG – HSI R Bear CBBC Dec 2017 K	200,000,000	2017/09/27	2017/12/28	50.00
69234	UBS AG – HSI R Bear CBBC Dec 2017 L	250,000,000	2017/09/27	2017/12/28	62.50
69434	Credit Suisse AG – BOCL R Bull CBBC Sep 2018 C	70,000,000	2017/09/28	2018/09/14	17.50
69429	Credit Suisse AG – CCB R Bull CBBC Sep 2018 K	70,000,000	2017/09/28	2018/09/14	17.50
69428	Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2018 G	50,000,000	2017/09/28	2018/06/13	12.50
69411	Credit Suisse AG – HSI R Bull CBBC Apr 2018 V	200,000,000	2017/09/28	2018/04/27	50.00
69412	Credit Suisse AG – HSI R Bear CBBC Jan 2018 M	200,000,000	2017/09/28	2018/01/30	50.00
69433	Credit Suisse AG – ICBC R Bull CBBC Sep 2018 J	70,000,000	2017/09/28	2018/09/19	17.50
69435	Credit Suisse AG – Ping An R Bull CBBC Oct 2018 G	100,000,000	2017/09/28	2018/10/12	25.00
69404	Bank of East Asia – Geely Auto R Bull CBBC Jul 2018 C	40,000,000	2017/09/28	2018/07/13	10.00
69380	Bank of East Asia – Galaxy Ent R Bull CBBC Jul 2018 A	16,000,000	2017/09/28	2018/07/13	10.40
69402	Bank of East Asia – HSBC Holdings R Bull CBBC May 2018 A	60,000,000	2017/09/28	2018/05/08	15.00
69407	Bank of East Asia – Tencent R Bull CBBC Jul 2018 L	40,000,000	2017/09/28	2018/07/13	10.00
69465	Goldman Sachs SP (Asia) – CSOP A50 ETF R Bull CBBC Mar 2018 B	80,000,000	2017/09/28	2018/03/29	20.00
69454	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 L	200,000,000	2017/09/28	2018/02/27	50.00
69457	Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2018 M	200,000,000	2017/09/28	2018/02/27	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69464	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 Q	200,000,000	2017/09/28	2018/03/28	50.00
69445	Goldman Sachs SP (Asia) – Tencent R Bull CBBC Apr 2018 C	80,000,000	2017/09/28	2018/04/10	20.00
69451	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 G	80,000,000	2017/09/28	2018/04/10	20.00
69315	HK Bank – CUni R Bull CBBC Aug 2018 D	40,000,000	2017/09/28	2018/08/13	10.00
69316	HK Bank – CC Bank R Bull CBBC Aug 2018 H	80,000,000	2017/09/28	2018/08/27	20.00
69306	HK Bank – Geely Auto R Bear CBBC Aug 2018 F	40,000,000	2017/09/28	2018/08/13	16.00
69302	HK Bank – Galaxy Ent R Bull CBBC Jul 2018 F	80,000,000	2017/09/28	2018/07/23	20.00
69297	HK Bank – HSI R Bear CBBC Mar 2018 M	100,000,000	2017/09/28	2018/03/28	25.00
69298	HK Bank – HSI R Bear CBBC Aug 2018 P	100,000,000	2017/09/28	2018/08/30	25.00
69300	HK Bank – HSI R Bear CBBC Aug 2018 Q	100,000,000	2017/09/28	2018/08/30	25.00
69322	HK Bank – ICBC R Bull CBBC Aug 2018 H	80,000,000	2017/09/28	2018/08/27	20.00
69303	HK Bank – Sands China R Bull CBBC Aug 2018 E	80,000,000	2017/09/28	2018/08/20	20.00
69308	HK Bank – Sunny Optical R Bull CBBC Jul 2018 C	40,000,000	2017/09/28	2018/07/16	10.00
69304	HK Bank – Tencent R Bull CBBC Aug 2018 P	60,000,000	2017/09/28	2018/08/13	15.00
69305	HK Bank – Tencent R Bear CBBC Jul 2018 K	50,000,000	2017/09/28	2018/07/30	20.00
69357	Haitong Int'l Sec – Great Wall Motor R Bull CBBC Jun 2018 A	60,000,000	2017/09/28	2018/06/20	15.00
69349	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 G	40,000,000	2017/09/28	2018/06/01	10.00
69353	Haitong Int'l Sec – HKEX R Bull CBBC Jun 2018 H	40,000,000	2017/09/28	2018/06/04	10.00
69324	Haitong Int'l Sec – HSBC R Bull CBBC Jun 2018 E	80,000,000	2017/09/28	2018/06/27	20.00
69342	Haitong Int'l Sec – SHK Ppt R Bull CBBC May 2018 A	40,000,000	2017/09/28	2018/05/24	10.00
69343	Haitong Int'l Sec – SHK Ppt R Bull CBBC May 2018 B	40,000,000	2017/09/28	2018/05/23	10.00
69331	Haitong Int'l Sec – Sunny Optical R Bull CBBC Apr 2018 B	40,000,000	2017/09/28	2018/04/23	10.00
69340	Haitong Int'l Sec – Sunny Optical R Bull CBBC Apr 2018 C	40,000,000	2017/09/28	2018/04/24	10.00
69493	J P Morgan SP BV – Galaxy Ent R Bull CBBC Mar 2018 A	100,000,000	2017/09/28	2018/03/16	25.00
69507	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 E	240,000,000	2017/09/28	2018/11/29	60.00
69519	J P Morgan SP BV – HSI R Bull CBBC Aug 2019 G	240,000,000	2017/09/28	2019/08/29	60.00
69516	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 L	240,000,000	2017/09/28	2018/01/30	60.00
69497	J P Morgan SP BV – Ping An R Bull CBBC Feb 2018 G	150,000,000	2017/09/28	2018/02/15	37.50
69498	J P Morgan SP BV – Tencent R Bull CBBC Feb 2018 J	60,000,000	2017/09/28	2018/02/15	15.00
69504	J P Morgan SP BV – Tencent R Bear CBBC Jan 2018 J	40,000,000	2017/09/28	2018/01/19	12.80
69444	Macquarie Bank Ltd. – Tencent R Bear CBBC Apr 2018 L	13,000,000	2017/09/28	2018/04/30	10.31
69466	SG Issuer – CMob R Bull CBBC Oct 2018 C	100,000,000	2017/09/28	2018/10/04	25.00
69294	SG Issuer – CSOP A50 ETF R Bull CBBC Apr 2018 E	40,000,000	2017/09/28	2018/04/11	10.00
69480	SG Issuer – HSI R Bull CBBC Dec 2018 D	200,000,000	2017/09/28	2018/12/28	50.00
69481	SG Issuer – Sands R Bull CBBC Aug 2018 C	60,000,000	2017/09/28	2018/08/02	15.00
69482	UBS AG – HSI R Bull CBBC Dec 2018 C	250,000,000	2017/09/28	2018/12/28	62.50
69492	UBS AG – HSI R Bear CBBC Dec 2018 A	250,000,000	2017/09/28	2018/12/28	62.50
69593	Credit Suisse AG – HSBC R Bull CBBC Jul 2018 F	50,000,000	2017/09/29	2018/07/20	12.50
69565	Credit Suisse AG – HSI R Bull CBBC Mar 2018 S	200,000,000	2017/09/29	2018/03/28	50.00
69571	Credit Suisse AG – HSI R Bull CBBC Apr 2018 W	200,000,000	2017/09/29	2018/04/27	50.00
69591	Credit Suisse AG – HSI R Bear CBBC Dec 2017 A	200,000,000	2017/09/29	2017/12/28	50.00
69574	Credit Suisse AG – HSI R Bear CBBC Jan 2018 T	200,000,000	2017/09/29	2018/01/30	50.00
69663	Goldman Sachs SP (Asia) – HKEX R Bull CBBC Apr 2018 A	80,000,000	2017/09/29	2018/04/03	20.00
69658	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2019 E	200,000,000	2017/09/29	2019/04/29	50.00
69617	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 R	200,000,000	2017/09/29	2018/03/28	50.00

## MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69627	Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2018 S	200,000,000	2017/09/29	2018/03/28	50.00
69674	Goldman Sachs SP (Asia) – Tencent R Bear CBBC Apr 2018 H	80,000,000	2017/09/29	2018/04/03	20.00
69533	HK Bank – China Mobile R Bear CBBC Apr 2018 C	40,000,000	2017/09/29	2018/04/30	10.00
69540	HK Bank – Galaxy Ent R Bear CBBC Jul 2018 D	40,000,000	2017/09/29	2018/07/16	10.00
69548	HK Bank – HKEX R Bear CBBC Mar 2018 I	40,000,000	2017/09/29	2018/03/26	10.00
69556	HK Bank – HSI R Bear CBBC Mar 2018 D	100,000,000	2017/09/29	2018/03/28	25.00
69564	HK Bank – HSI R Bear CBBC Mar 2018 N	150,000,000	2017/09/29	2018/03/28	37.50
69542	HK Bank – Sands China R Bear CBBC Jul 2018 A	40,000,000	2017/09/29	2018/07/16	10.00
69647	J P Morgan SP BV – Geely Auto R Bull CBBC Jan 2018 G	35,000,000	2017/09/29	2018/01/19	10.01
69520	J P Morgan SP BV – HSI R Bull CBBC Nov 2018 F	240,000,000	2017/09/29	2018/11/29	60.00
69644	J P Morgan SP BV – HSI R Bear CBBC Jan 2018 M	240,000,000	2017/09/29	2018/01/30	60.00
69521	SG Issuer – HSI R Bull CBBC Feb 2019 F	200,000,000	2017/09/29	2019/02/27	50.00
69633	SG Issuer – HSI R Bull CBBC Mar 2019 S	200,000,000	2017/09/29	2019/03/28	50.00
69638	SG Issuer – HSI R Bull CBBC Apr 2019 Q	200,000,000	2017/09/29	2019/04/29	50.00
69526	SG Issuer – HSI R Bull CBBC Jul 2019 G	200,000,000	2017/09/29	2019/07/30	50.00
69628	SG Issuer – HSI R Bear CBBC Jan 2018 Q	200,000,000	2017/09/29	2018/01/30	50.00
69629	SG Issuer – HSI R Bear CBBC Feb 2018 Q	200,000,000	2017/09/29	2018/02/27	50.00
69631	SG Issuer – HSI R Bear CBBC Mar 2018 F	200,000,000	2017/09/29	2018/03/28	50.00
69598	UBS AG – HSI R Bull CBBC Nov 2018 I	250,000,000	2017/09/29	2018/11/29	62.50
69606	UBS AG – HSI R Bear CBBC Dec 2017 O	250,000,000	2017/09/29	2017/12/28	62.50
69604	UBS AG – HSI R Bear CBBC Dec 2017 S	250,000,000	2017/09/29	2017/12/28	62.50
<b>Total</b>					<b>122,666.17</b>

# Further issue

# DR/ELI/TRADING ONLY STOCKS TRADING STATISTICS

## Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2016	Q3	2	22.02
	Q4	2	12.84
2017	Q1	2	15.32
	Q2	2	11.27
	Q3	2	20.30

## Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2016	Q3	-	-
	Q4	-	-
2017	Q1	-	-
	Q2	-	-
	Q3	-	-

## NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2016	Q3	6	0.29
	Q4	6	0.03
2017	Q1	6	0.00
	Q2	6	0.05
	Q3	6	0.05

## iShares

		No. of issues	Turnover value (HK\$ mil.)
2016	Q3	2	-
	Q4	2	-
2017	Q1	2	-
	Q2	2	-
	Q3	2	0.18



# GEM EQUITIES

## Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2016	Q3	135,466.80	32,617.31	1,028,333
	Q4	101,583.21	26,606.56	769,681
2017	Q1	97,529.59	28,022.82	829,314
	Q2	144,624.15	42,516.37	1,348,433
	Q3	115,376.01	34,263.33	1,107,761

## Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2016	Q3	63	517.74	31,719
	Q4	61	436.17	34,568
2017	Q1	62	451.98	33,790
	Q2	59	720.62	31,530
	Q3	64	535.36	30,930

## 20 Most Advanced GEM Stocks

for 3rd quarter 2017

Rank	Code	Stock	Closing price		% up
			End of Sep 2017	End of Jun 2017	
1	08446	ITP HOLDINGS	5.580	1.140	389.47
2	08232	CLASSIFIED GP	9.990	3.000	233.00
3	08410	EDVANCE INTL	1.600	0.580	175.86
4	08227	HT ANTENNA HOLD	6.560	2.700	142.96
5	08351	LARRY JEWELRY	0.400	0.174	129.89
6	08160	GOLDWAY EDU	0.520	0.238	118.49
7	08187	EVERSMART INT	0.600	0.300	100.00
8	08282	GAMEONE	1.460	0.730	100.00
9	08315	KING FORCE GP	0.034	0.018	88.89
10	08366	ZJ UNITED INV	0.700	0.375	86.67
11	08135	ZMFY GLASS	0.590	0.320	84.38
12	08342	VIXTEL TECH	1.200	0.680	76.47
13	08080	NAS HOLDINGS	0.129	0.074	74.32
14	08345	FIRE ROCK	5.490	3.200	71.56
15	08252	TAIHE M-FIN	2.860	1.700	68.24
16	08242	MEGALOGICTECH	0.435	0.260	67.31
17	08179	FOOD IDEA	0.315	0.199	58.29
18	08340	VINCO FINANCIAL	0.780	0.495	57.58
19	08053	PIZU GROUP	0.610	0.393	A 55.22
20	08037	RUI KANG PHARM	0.710	0.460	54.35

A Adjusted

# GEM EQUITIES

## 20 Most Declined GEM Stocks

for 3rd quarter 2017

Rank	Code	Stock	Closing price		% down
			End of Sep 2017	End of Jun 2017	
1	08321	TAI KAM HLDGS	0.600	3.890	-84.58
2	08215	FIRST CREDIT	0.100	0.590	-83.05
3	08416	HM INTL HLDGS	0.480	2.180	-77.98
4	08182	CHINA CANDY	0.162	0.560	-71.07
5	08202	INNO-TECH HOLD	0.255	0.870	-70.69
6	08217	LUEN WONG GP	0.285	0.940	-69.68
7	08109	KIRIN GROUP	0.023	0.071 A	-67.61
8	08129	CH BIO CASSAVA	0.031	0.087	-64.37
9	08165	JIAN EPAYMENT	0.030	0.081	-62.96
10	08283	ZHENG LI HLDG	4.000	10.600	-62.26
11	08269	WEALTH GLORY	0.180	0.375	-52.00
12	08046	HENG XIN CHINA	0.023	0.044	-47.73
13	08413	ASIA GROCERY	0.160	0.295	-45.76
14	08125	ROYAL CEN RES	1.430	2.500	-42.80
15	08266	GOLD TAT GP	0.051	0.088	-42.05
16	08012	WORLD-LINK LOG	1.300	2.230	-41.70
17	08189	TEDA BIOMEDICAL	0.315	0.540	-41.67
18	08270	CHINA CBM	0.076	0.130	-41.54
19	08197	BAYTACARE PHARM	0.630	1.060	-40.57
20	08245	ON REAL INT'L	0.137	0.229	-40.17

A Adjusted

# GEM EQUITIES

## 20 Most Active GEM Stocks by Value

for 3rd quarter 2017

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08207	CHONGSING HLDGS	2,381.97	6.95
2	08227	HT ANTENNA HOLD	1,254.16	3.66
3	08217	LUEN WONG GP	941.83	2.75
4	08182	CHINA CANDY	920.58	2.69
5	08469	SHENGYE CAPITAL	903.93	2.64
6	08292	WORLDGATEGLOBAL	822.61	2.40
7	08226	KOALA FIN GP	771.88	2.25
8	08215	FIRST CREDIT	748.84	2.19
9	08047	CHINAOCEAN FISH	698.04	2.04
10	08446	ITP HOLDINGS	597.05	1.74
11	08417	DADI EDU	593.41	1.73
12	08102	LI BAO GE GP	577.12	1.68
13	08283	ZHENG LI HLDG	574.78	1.68
14	08257	GENES TECH	531.09	1.55
15	08351	LARRY JEWELRY	530.85	1.55
16	08410	EDVANCE INTL	507.53	1.48
17	08158	CRMI	496.43	1.45
18	08232	CLASSIFIED GP	442.14	1.29
19	08427	SK TARGET	435.91	1.27
20	08416	HM INTL HLDGS	431.19	1.26
<b>Total</b>			<b>15,161.33</b>	<b>44.25</b>

# GEM EQUITIES

## 20 Most Active GEM Stock by Shares

for 3rd quarter 2017

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08109	KIRIN GROUP	8,501.75	7.37
2	08021	WLS HOLDINGS	6,890.04	5.97
3	08299	GRAND T G GOLD	5,450.96	4.72
4	08215	FIRST CREDIT	4,304.14	3.73
5	08193	GC PRO SER	3,846.18	3.33
6	08182	CHINA CANDY	3,682.80	3.19
7	08315	KING FORCE GP	3,229.57	2.80
8	08200	SAU SAN TONG	2,354.16	2.04
9	08158	CRMI	2,323.45	2.01
10	08207	CHONGSING HLDGS	2,182.39	1.89
11	08009	CHI ENGY HOLD	2,118.04	1.84
12	08217	LUEN WONG GP	2,106.43	1.83
13	08171	CHINA TRENDS	2,095.49	1.82
14	08226	KOALA FIN GP	2,066.75	1.79
15	08046	HENG XIN CHINA	1,919.77	1.66
16	08238	WINTO GROUP	1,906.23	1.65
17	08129	CH BIO CASSAVA	1,753.39	1.52
18	08101	JIA MENG HLDG	1,602.81	1.39
19	08166	CHINA ECO-FARM	1,598.45	1.39
20	08272	C FOOD&BEV GP	1,576.06	1.37
<b>Total</b>			<b>61,508.86</b>	<b>53.31</b>

# GEM EQUITIES

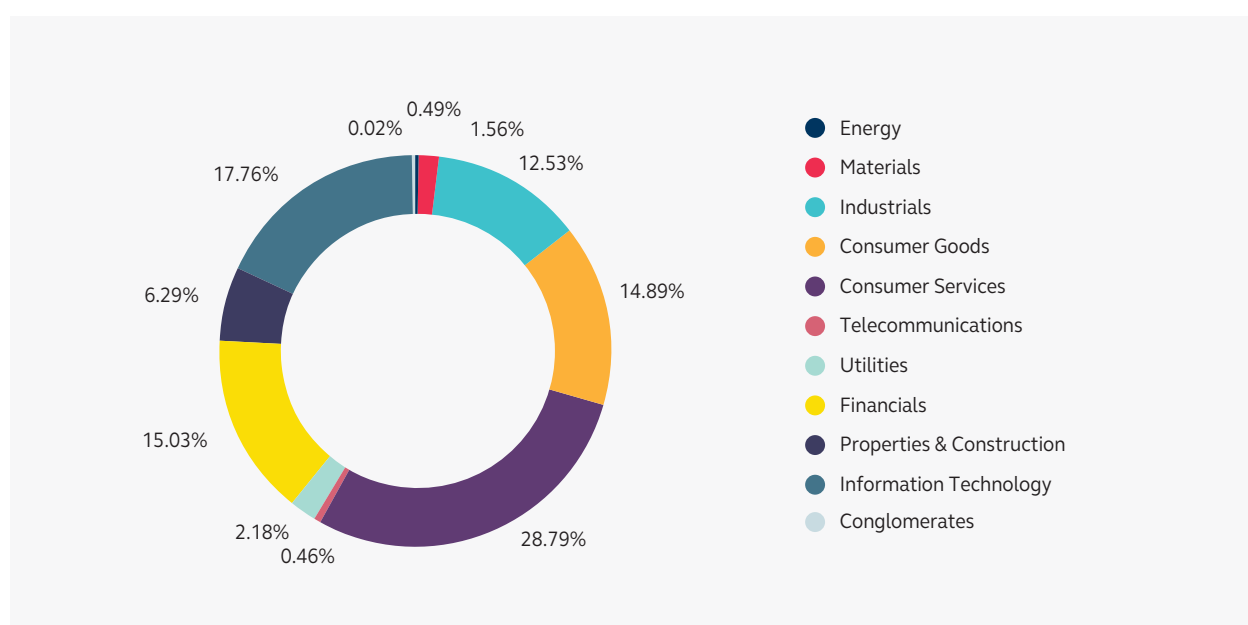
## Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2016	Q3	277,457.32
	Q4	310,871.86
2017	Q1	324,082.25
	Q2	267,662.50
	Q3	271,598.42

## Market Capitalisation by Hang Seng Industry Classification System \* - GEM

Quarter-end figures



\* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

# GEM EQUITIES

## 50 Leading Companies by Market Capitalisation – GEM

as at the 3rd quarter end 2017

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08207 Chong Sing Holdings FinTech Group Ltd.	23,439.94	8.63
2	08279 AGTech Holdings Ltd.	17,609.90	6.48
3	08008 Sunevision Holdings Ltd.	12,263.43	4.52
4	08138 Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	8,471.45	3.12
5	08137 Honbridge Holdings Ltd.	8,019.06	2.95
6	08057 Madison Holdings Group Ltd.	7,800.00	2.87
7	08265 China Trustful Group Ltd.	6,991.43	2.57
8	08032 Viva China Holdings Ltd.	6,515.50	2.40
9	08102 Li Bao Ge Group Ltd.	5,496.00	2.02
10	08446 In Technical Productions Holdings Ltd.	4,464.00	1.64
11	08407 China Industrial Securities Int'l Financial Group Ltd.	4,360.00	1.61
12	08227 Xi'an Haitian Antenna Holdings Co., Ltd. – H Shares	4,236.22	1.56
13	08232 Classified Group (Holdings) Ltd.	3,996.00	1.47
14	08176 SkyNet Group Ltd.	3,704.99	1.36
15	08158 China Regenerative Medicine International Ltd.	3,552.33	1.31
16	08083 China Innovationpay Group Ltd.	3,419.69	1.26
17	08078 HMV Digital China Group Ltd.	3,368.95	1.24
18	08352 Sing On Holdings Ltd.	3,366.72	1.24
19	08370 Zhi Sheng Group Holdings Ltd.	3,082.00	1.13
20	08469 Sheng Ye Capital Ltd.	3,071.00	1.13
21	08237 Link Holdings Ltd.	2,687.30	0.99
22	08167 Neo Telemedia Ltd.	2,344.10	0.86
23	08261 Haitian Energy International Ltd.	2,284.00	0.84
24	08292 Worldgate Global Logistics Ltd.	2,216.00	0.82
25	08053 Pizu Group Holdings Ltd.	2,170.82	0.80
26	08029 Sun International Resources Ltd.	2,003.62	0.74
27	08283 Zheng Li Holdings Ltd.	2,000.00	0.74
28	08293 SingAsia Holdings Ltd.	1,912.50	0.70
29	08233 CIG Yangtze Ports PLC	1,897.57	0.70
30	08156 China Vanguard You Champion Holdings Ltd.	1,875.79	0.69
31	08326 Tonking New Energy Group Holdings Ltd.	1,799.60	0.66
32	08172 Lajin Entertainment Network Group Ltd.	1,662.61	0.61
33	08361 China Parenting Network Holdings Ltd.	1,641.06	0.60
34	08316 Pak Wing Group (Holdings) Ltd.	1,616.00	0.59
35	08410 Edvance International Holdings Ltd.	1,600.00	0.59
36	08030 Flying Financial Service Holdings Ltd.	1,575.60	0.58
37	08417 Dadi Education Holdings Ltd.	1,575.36	0.58
38	08325 China Smartpay Group Holdings Ltd.	1,546.72	0.57
39	08016 Changhong Jiahua Holdings Ltd.	1,454.65	0.54
40	08047 China Ocean Fishing Holdings Ltd.	1,353.79	0.50
41	08351 Larry Jewelry International Co. Ltd.	1,351.36	0.50
42	08308 Gudou Holdings Ltd.	1,323.00	0.49
43	08090 China Assurance Finance Group Ltd.	1,307.31	0.48
44	08295 ZZ Capital International Ltd.	1,242.67	0.46
45	08077 KVB Kunlun Financial Group Ltd.	1,240.28	0.46
46	08071 China Netcom Technology Holdings Ltd.	1,226.78	0.45
47	08310 Dafeng Port Heshun Technology Co. Ltd.	1,223.60	0.45
48	08005 Yuxing InfoTech Investment Holdings Ltd.	1,208.07	0.44
49	08349 MEIGU Technology Holding Group Ltd.	1,176.00	0.43
50	08226 KOALA Financial Group Ltd.	1,081.10	0.40
<b>Total</b>		<b>186,825.86</b>	<b>68.79</b>
<b>Market Total</b>		<b>271,598.42</b>	<b>100.00</b>

# GEM EQUITIES

## New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2016	Q3	10	775.30
	Q4	20	2,669.32
2017	Q1	19	1,324.56
	Q2	16	1,235.49
	Q3	20	1,772.73

## Newly Listed Companies for GEM

for 3rd quarter 2017

Code	Company	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08469	Sheng Ye Capital Ltd.	2017/07/06	740,000,000	370.00
08157	XiangXing International Holding Ltd.	2017/07/07	1,000,000,000	55.00
08405	Hang Chi Holdings Ltd.	2017/07/12	400,000,000	72.00
08297	My Heart Bodibra Group Ltd.	2017/07/13	480,000,000	48.00
08463	TOMO Holdings Ltd.	2017/07/13	450,000,000	82.13
08257	Genes Tech Group Holdings Co. Ltd.	2017/07/14	1,000,000,000	55.00
08462	Omnibridge Holdings Ltd.	2017/07/17	600,000,000	67.50
08481	Shenglong Splendecor International Ltd.	2017/07/17	500,000,000	85.00
08472	Lapco Holdings Ltd.	2017/07/18	400,000,000	50.00
08291	Wan Cheng Metal Packaging Co. Ltd.	2017/07/18	400,000,000	65.00
08427	SK Target Group Ltd.	2017/07/19	620,000,000	50.40
08465	Transtech Optelecom Science Holdings Ltd.	2017/07/20	260,000,000	109.20
08073	China Singyes New Materials Holdings Ltd.	2017/07/21	480,000,000	120.00
08152	M&L Holdings Group Ltd.	2017/07/21	600,000,000	70.50
08471	Reach New Holdings Ltd.	2017/07/21	800,000,000	60.00
08142	Tak Lee Machinery Holdings Ltd.	2017/07/27	1,000,000,000	110.00
08383	Linocraft Holdings Ltd.	2017/09/15	800,000,000	80.00
08491	Cool Link (Holdings) Ltd.	2017/09/22	600,000,000	82.50
08199	China Wan Tong Yuan (Holdings) Ltd.	2017/09/27	1,000,000,000	70.00
08445	Noble Engineering Group Holdings Ltd.	2017/09/29	600,000,000	70.50
<b>Total</b>				<b>1,772.73</b>

## Withdrawal of Listed Companies – GEM

for 3rd quarter 2017

Code	Company	Last trading date	Delisted date
08248	FDB Holdings Ltd. *	2017/07/07	2017/07/10 §
08327	Top Dynamic International Holdings Ltd. *	2017/07/20	2017/07/21 §
08318	Ching Lee Holdings Ltd. *	2017/09/15	2017/09/18 §
08127	Season Pacific Holdings Ltd. *	2017/09/26	2017/09/27 §

\* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

## GEM EQUITIES

### Company Name Changes for GEM

for 3rd quarter 2017

Code	Old name	New name	Effective date	Adoption date
08123	First China Financial Network Holdings Ltd.	Sinofortune Financial Holdings Ltd.	2017/05/23	2017/07/04
08093	Odella Leather Holdings Ltd.	Million Stars Holdings Ltd.	2017/05/31	2017/07/05
08057	Madison Wine Holdings Ltd.	Madison Holdings Group Ltd.	2017/07/05	2017/08/08
08207	Credit China FinTech Holdings Ltd.	Chong Sing Holdings FinTech Group Ltd.	2017/07/27	2017/08/29
08411	K W Nelson Interior Architect Group Ltd.	K W Nelson Interior Design and Contracting Group Ltd.	2017/08/21	2017/09/14
08156	China Vanguard Group Ltd.	China Vanguard You Champion Holdings Ltd.	2017/08/25	2017/09/25
08366	Fraser Holdings Ltd.	Zhejiang United Investment Holdings Group Ltd.	2017/09/06	2017/09/26

### Bonus Issues/Bonus Warrants for GEM

for 3rd quarter 2017

Code	Company	Particulars	Ex-date
Nil			

### Share Split/Consolidation for GEM

for 3rd quarter 2017

Code	Company	Particulars	Effective date
08201	PPS International (Holdings) Ltd.	Consolidation 10 into 1	2017/07/27

### Rights Issues & Open Offers for GEM

for 3rd quarter 2017

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Jul	08128	China Ground Source Energy Industry Group Ltd.	Rts 2 for 5 @\$0.10	115.06
Aug	08109	Kirin Group Holdings Ltd.	Rts 5 for 2 @\$0.07	221.68
Sep	08112	Focus Media Network Ltd.	Rts 4 for 1 @\$0.23	211.06
<b>Total</b>				<b>547.80</b>



## GEM EQUITIES

### Placing\*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2017					
Jul	08071	CH NETCOMTECH	390,000,000	0.0820	31.98
	08218	ECHO INT HOLD	160,000,000	0.1630	26.08
	08063	GLOBAL M HLDG	710,450,000	0.1000	71.05
	08299	GRAND T G GOLD	2,279,000,000	0.0200	45.58
	08163	MERDEKA FIN	320,000,000	0.0810	25.92
	08206	S ROBOT EDU	240,000,000	0.4500	108.00
Aug	08272	C FOOD&BEV GP	528,360,000	0.0200	10.57
	08325	CHINA SMARTPAY	100,000,000	1.2500	125.00
	08325	CHINA SMARTPAY	50,000,000	1.2500	62.50
	08176	SKYNET GROUP	21,000,000	6.2500	131.25
	08269	WEALTH GLORY	136,950,000	0.3100	42.45
Sep	08181	KONGSHUMUNION	142,400,000	0.1450	20.65
	08147	MILLENNIUM PG	270,000,000	0.0900	24.30
<b>Total</b>					<b>725.32</b>

\* Due to the reporting time-lag, placing figures for the quarter are provisional.

# GEM WARRANTS

## Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2016	Q3	1	5.98	62.92
	Q4	1	2.54	62.90
2017	Q1	1	0.54	62.85
	Q2	1	0.24	62.84
	Q3	1	0.02	62.83

## Newly Listed Equity GEM Warrants

for 3rd quarter 2017

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
<b>Total</b>					-

# STOCK MARKET INDICES

## Hang Seng Index



## S&P/HKEX LargeCap Index



## S&P/HKEX GEM Index



# STOCK MARKET INDICES

## Hong Kong Stock Market Indices

Index	End of Sep 2017 Close	End of Jun 2017 Close	Change %
S&P/HKEX LargeCap Index	35398.29	32988.66	+ 7.30%
S&P/HKEX GEM Index	267.76	283.62	- 5.59%
HANG SENG INDEX	27554.30	25764.58	+ 6.95%
SECTORIAL INDICES			
Finance	37006.78	35103.76	+ 5.42%
Utilities	55194.10	56186.92	- 1.77%
Properties	37744.85	34844.79	+ 8.32%
Commerce & Industry	16810.44	15285.86	+ 9.97%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4298.97	4005.23	+ 7.33%
HANG SENG CHINA ENTERPRISES INDEX	10910.04	10365.22	+ 5.26%

# STOCK MARKET INDICES

## World Indices

Index	End of Sep 2017 Close	End of Jun 2017 Close	Change %
<b>HONG KONG</b>			
S&P/HKEX LargeCap Index	35398.29	32988.66	+ 7.30%
Hang Seng Index	27554.30	25764.58	+ 6.95%
<b>AUSTRALIA</b>			
All Ordinaries Index	5744.86	5764.04	- 0.33%
<b>JAKARTA</b>			
Composite Index	5900.85	5829.71	+ 1.22%
<b>JAPAN</b>			
Nikkei (225)	20356.28	20033.43	+ 1.61%
<b>KOREA</b>			
KOSPI Index	2394.47	2391.79	+ 0.11%
<b>KUALA LUMPUR</b>			
Composite Index	1755.58	1763.67	- 0.46%
<b>MANILA</b>			
Composite Index	8171.43	7843.16	+ 4.19%
<b>NEW ZEALAND</b>			
NZSE (50)	7930.40	7611.44	+ 4.19%
<b>SHANGHAI</b>			
A Shares Index	3506.73	3343.39	+ 4.89%
B Shares Index	361.63	327.27	+ 10.50%
<b>SHENZHEN</b>			
A Shares Index	2079.78	1984.76	+ 4.79%
B Shares Index	1196.54	1151.19	+ 3.94%
<b>SINGAPORE</b>			
Straits Times Index	3219.91	3226.48	- 0.20%
<b>TAIWAN</b>			
Taipei Weighted Stock Index	10383.94	10395.07	- 0.11%
<b>THAILAND</b>			
SET Index	1673.16	1574.74	+ 6.25%
<b>NEW YORK</b>			
DJIA	22405.09	21349.63	+ 4.94%
Nasdaq Composite Index	6495.96	6140.42	+ 5.79%
<b>TORONTO</b>			
S&P/TSX Composite Index	15634.94	15182.19	+ 2.98%
<b>GERMANY</b>			
DAX Kursindex	6080.19	5841.44	+ 4.09%
<b>LONDON</b>			
FTSE 100 Index	7372.76	7312.72	+ 0.82%

# DERIVATIVES MARKET – FUTURES AND OPTIONS

## Contract Volume by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2017	3rd Quarter 2016
Hang Seng Index Futures	8,254,157	8,184,656
Hang Seng Index Options	2,815,590	2,379,876
Mini-Hang Seng Index Futures	2,978,009	3,138,473
Mini-Hang Seng Index Options	415,321	368,740
Hang Seng China Enterprises Index Futures	7,245,295	7,820,556
Hang Seng China Enterprises Index Options	4,954,510	5,044,056
Mini-Hang Seng China Enterprises Index Futures	920,554	1,123,616
Mini-Hang Seng China Enterprises Index Options <sup>1</sup>	98,698	59,588
Flexible Hang Seng Index Options	0	0
Flexible Hang Seng China Enterprises Index Options	0	942
HSI Dividend Point Index Futures	3,751	102
HSCEI Dividend Point Index Futures	91,112	129,413
HSI Volatility Index Futures	0	2
CES China 120 Index Futures	542	158
CES Gaming Top 10 Index Futures	0	0
Hang Seng Mainland Oil & Gas Index Futures	1,354	0
Hang Seng Mainland Banks Index Futures	2,995	17
Hang Seng Mainland Healthcare Index Futures	0	0
Hang Seng Mainland Properties Index Futures	3,177	0
Hang Seng IT Hardware Index Futures	2	0
Hang Seng Software & Services Index Futures	0	0
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures	0	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	26,125	42,071
Stock Options	30,796,045	19,509,020
5-Year MOF T-Bond Futures <sup>2</sup>	1,729	–
Three-month HIBOR Futures	308	0
One-month HIBOR Futures	0	0
RMB Currency Futures – USD/CNH Futures	179,046	75,158
RMB Currency Options – USD/CNH Options <sup>3</sup>	5,136	–
RMB Currency Futures – EUR/CNH Futures	818	581
RMB Currency Futures – JPY/CNH Futures	210	372
RMB Currency Futures – AUD/CNH Futures	184	62
RMB Currency Futures – CNH/USD Futures	3,164	980
USD Gold Futures <sup>4</sup>	13,459	–
CNH Gold Futures <sup>5</sup>	31,871	–
London Aluminium Mini Futures	299	392
London Zinc Mini Futures	201	2,099
London Copper Mini Futures	182	1,095
London Lead Mini Futures	124	3
London Nickel Mini Futures	59	7,155
London Tin Mini Futures	0	26
<b>Total</b>	<b>58,844,027</b>	<b>47,889,209</b>

<sup>1</sup> Trading in Mini-Hang Seng China Enterprises Index Options commenced on 05 Sep 2016

<sup>2</sup> Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017

<sup>3</sup> Trading in RMB Currency Options – USD/CNH Options commenced on 20 Mar 2017

<sup>4</sup> Trading in USD Gold Futures commenced on 10 Jul 2017

<sup>5</sup> Trading in CNH Gold Futures commenced on 10 Jul 2017

## DERIVATIVES MARKET – FUTURES AND OPTIONS

### Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2016	Q3	8,184,656	157,669
	Q4	7,378,971	123,004
2017	Q1	6,657,327	158,358
	Q2	7,210,306	154,581
	Q3	8,254,157	161,569

### Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2016	Q3	3,138,473	9,151
	Q4	2,969,788	9,572
2017	Q1	2,454,731	9,241
	Q2	2,477,810	10,210
	Q3	2,978,009	9,313

### Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2016	Q3	2,379,876	280,913
	Q4	2,312,279	249,699
2017	Q1	2,312,202	327,038
	Q2	2,157,317	352,037
	Q3	2,815,590	408,078

### Hang Sang China Enterprises Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2016	Q3	7,820,556	349,588
	Q4	7,557,545	322,024
2017	Q1	7,299,370	329,224
	Q2	7,255,529	310,182
	Q3	7,245,295	289,770

### Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2016	Q3	8,985.98	19,509,020	6,045,816
	Q4	9,144.11	18,636,926	6,327,503
2017	Q1	8,727.09	21,003,818	6,673,563
	Q2	9,477.49	21,947,446	7,582,918
	Q3	17,345.28	30,796,045	7,937,540

# DERIVATIVES MARKET – FUTURES AND OPTIONS

## Stock Options Premium by Class

for 3rd Quarter 2017

Options class		Premium (HK\$ mil.)	% of total
ISHARES A50	A50	258.40	1.49%
AAC TECH	AAC	238.05	1.37%
CONCH CEMENT	ACC	74.72	0.43%
AIA	AIA	362.13	2.09%
CHALCO	ALC	62.47	0.36%
CAM CSI300	AMC	279.31	1.61%
BANKCOMM	BCM	11.99	0.07%
BANK OF E ASIA	BEA	10.10	0.06%
BELLE INT'L	BIH	2.70	0.02%
BOC HONG KONG	BOC	121.79	0.70%
BYD COMPANY	BYD	81.33	0.47%
CHINA COMM CONS	CCC	22.34	0.13%
CHINA COAL	CCE	8.76	0.05%
COSCO SHIP HOLD	CCS	5.10	0.03%
CHINA CINDA	CDA	27.51	0.16%
CGN POWER	CGN	12.76	0.07%
CHINA MOBILE	CHT	720.95	4.16%
CHINA UNICOM	CHU	198.97	1.15%
CITIC	CIT	12.12	0.07%
CKH HOLDINGS	CKH	126.91	0.73%
CK ASSET	CKP	87.67	0.51%
CHINA LIFE	CLI	540.50	3.12%
CLP HOLDINGS	CLP	17.82	0.10%
CM BANK	CMB	144.81	0.83%
CNOOC	CNC	202.50	1.17%
CHINA OVERSEAS	COL	249.86	1.44%
CATHAY PAC AIR	CPA	7.08	0.04%
SINOPEC CORP	CPC	55.09	0.32%
CPIC	CPI	162.82	0.94%
CHINA RAIL CONS	CRC	9.05	0.05%
CHINA RAILWAY	CRG	25.56	0.15%
CHINA RES LAND	CRL	66.53	0.38%
X WISECSI300ETF	CS3	0.00	0.00%
CSOP A50 ETF	CSA	326.68	1.88%
CHINA SHENHUA	CSE	145.88	0.84%
CITIC BANK	CTB	26.49	0.15%
CHINA TELECOM	CTC	10.50	0.06%
CITIC SEC	CTS	127.45	0.73%
DONGFENG GROUP	DFM	15.43	0.09%
ESPRIT HOLDINGS	ESP	0.00	0.00%
FIH	FIH	0.09	0.00%
GEELY AUTO	GAH	271.34	1.56%
GALAXY ENT	GLX	390.61	2.25%
GREATWALL MOTOR	GWM	150.71	0.87%
HAITONG SEC	HAI	65.31	0.38%
HS H ETF	HCF	21.26	0.12%
POWER ASSETS	HEH	56.02	0.32%
HKEX	HEX	1,175.44	6.78%
HENGAN INT'L	HGN	30.38	0.18%
HSBC HOLDINGS	HKB	960.89	5.54%
HK & CHINA GAS	HKG	5.16	0.03%
HENDERSON LAND	HLD	101.05	0.58%
HUANENG POWER	HNP	18.32	0.11%
HANG SENG BANK	HSB	20.10	0.12%
JIANGXI COPPER	JXC	97.12	0.56%
KUNLUN ENERGY	KLE	8.38	0.05%
KINGSOFT	KSO	55.62	0.32%
LENOVO GROUP	LEN	65.55	0.38%



## DERIVATIVES MARKET – FUTURES AND OPTIONS

Options class		Premium (HK\$ mil.)	% of total
LI & FUNG	LIF	16.07	0.09%
LINK REIT	LNK	38.77	0.22%
MENGNU DAIRY	MEN	19.87	0.11%
MGM CHINA	MGM	11.55	0.07%
MINSHENG BANK	MSB	105.29	0.61%
MTR CORPORATION	MTR	22.01	0.13%
CNBM	NBM	100.16	0.58%
NCI	NCL	132.62	0.76%
NEW WORLD DEV	NWD	51.17	0.29%
PING AN	PAI	1,848.61	10.66%
PETROCHINA	PEC	131.95	0.76%
PICC P&C	PIC	70.66	0.41%
PICC GROUP	PIN	10.31	0.06%
GCL-POLY ENERGY	PLE	18.28	0.11%
R&F PROPERTIES	RFP	13.59	0.08%
SANDS CHINA LTD	SAN	162.38	0.94%
SHK PPT	SHK	495.21	2.86%
STANCHART	STC	12.31	0.07%
SWIRE PACIFIC A	SWA	17.40	0.10%
TENCENT	TCH	4,613.26	26.60%
TRACKER FUND	TRF	3.45	0.02%
WHARF HOLDINGS	WHL	277.69	1.60%
WANT WANT CHINA	WWC	4.01	0.02%
ABC	XAB	147.53	0.85%
BANK OF CHINA	XBC	108.31	0.62%
CCB	XCC	329.00	1.90%
ICBC	XIC	170.05	0.98%
YANZHOU COAL	YZC	50.96	0.29%
ZIJIN MINING	ZJM	9.28	0.05%
<b>Total</b>		<b>17,345.28</b>	<b>100.00%</b>

# DERIVATIVES MARKET – FUTURES AND OPTIONS

## Stock Options Contract Volume by Class

for 3rd Quarter 2017

Options class		Contract volume	% of total
ISHARES A50	A50	180,218	0.59%
AAC TECH	AAC	72,564	0.24%
CONCH CEMENT	ACC	211,569	0.69%
AIA	AIA	323,436	1.05%
CHALCO	ALC	123,771	0.40%
CAM CSI300	AMC	236,405	0.77%
BANKCOMM	BCM	93,289	0.30%
BANK OF E ASIA	BEA	65,798	0.21%
BELLE INT'L	BIH	9,283	0.03%
BOC HONG KONG	BOC	306,346	0.99%
BYD COMPANY	BYD	90,670	0.29%
CHINA COMM CONS	CCC	114,643	0.37%
CHINA COAL	CCE	89,921	0.29%
COSCO SHIP HOLD	CCS	44,524	0.14%
CHINA CINDA	CDA	47,191	0.15%
CGN POWER	CGN	18,465	0.06%
CHINA MOBILE	CHT	797,308	2.59%
CHINA UNICOM	CHU	297,498	0.97%
CITIC	CIT	44,791	0.15%
CKH HOLDINGS	CKH	164,901	0.54%
CK ASSET	CKP	65,934	0.21%
CHINA LIFE	CLI	1,107,203	3.60%
CLP HOLDINGS	CLP	36,095	0.12%
CM BANK	CMB	478,442	1.55%
CNOOC	CNC	954,420	3.10%
CHINA OVERSEAS	COL	195,166	0.63%
CATHAY PAC AIR	CPA	23,514	0.08%
SINOPEC CORP	CPC	184,192	0.60%
CPIC	CPI	183,150	0.59%
CHINA RAIL CONS	CRC	77,718	0.25%
CHINA RAILWAY	CRG	125,023	0.41%
CHINA RES LAND	CRL	65,664	0.21%
X WISECSI300ETF	CS3	0	0.00%
CSOP A50 ETF	CSA	246,712	0.80%
CHINA SHENHUA	CSE	470,643	1.53%
CITIC BANK	CTB	226,851	0.74%
CHINA TELECOM	CTC	69,849	0.23%
CITIC SEC	CTS	303,373	0.99%
DONGFENG GROUP	DFM	27,162	0.09%
ESPRIT HOLDINGS	ESP	0	0.00%
FIH	FIH	346	0.00%
GEELY AUTO	GAH	89,904	0.29%
GALAXY ENT	GLX	313,257	1.02%
GREATWALL MOTOR	GWM	1,105,621	3.59%
HAITONG SEC	HAI	109,720	0.36%
HS H ETF	HCF	20,317	0.07%
POWER ASSETS	HEH	75,196	0.24%
HKEX	HEX	2,733,629	8.88%
HENGAN INT'L	HGN	44,089	0.14%
HSBC HOLDINGS	HKB	1,875,808	6.09%
HK & CHINA GAS	HKG	27,552	0.09%
HENDERSON LAND	HLD	91,750	0.30%
HUANENG POWER	HNP	59,986	0.19%
HANG SENG BANK	HSB	86,122	0.28%
JIANGXI COPPER	JXC	252,004	0.82%
KUNLUN ENERGY	KLE	24,643	0.08%
KINGSOFT	KSO	87,028	0.28%
LENOVO GROUP	LEN	236,335	0.77%

## DERIVATIVES MARKET – FUTURES AND OPTIONS

Options class		Contract volume	% of total
LI & FUNG	LIF	57,429	0.19%
LINK REIT	LNK	31,976	0.10%
MENGNU DAIRY	MEN	39,182	0.13%
MGM CHINA	MGM	73,412	0.24%
MINSHENG BANK	MSB	235,225	0.76%
MTR CORPORATION	MTR	68,403	0.22%
CNBM	NBM	372,603	1.21%
NCI	NCL	89,473	0.29%
NEW WORLD DEV	NWD	149,002	0.48%
PING AN	PAI	2,162,753	7.02%
PETROCHINA	PEC	527,958	1.71%
PICC P&C	PIC	101,006	0.33%
PICC GROUP	PIN	23,854	0.08%
GCL-POLY ENERGY	PLE	55,873	0.18%
R&F PROPERTIES	RFP	63,181	0.21%
SANDS CHINA LTD	SAN	504,180	1.64%
SHK PPT	SHK	181,070	0.59%
STANCHART	STC	148,837	0.48%
SWIRE PACIFIC A	SWA	34,960	0.11%
TENCENT	TCH	5,075,404	16.48%
TRACKER FUND	TRF	13,753	0.04%
WHARF HOLDINGS	WHL	145,330	0.47%
WANT WANT CHINA	WWC	34,378	0.11%
ABC	XAB	88,707	0.29%
BANK OF CHINA	XBC	1,126,213	3.66%
CCB	XCC	2,428,233	7.88%
ICBC	XIC	1,394,981	4.53%
YANZHOU COAL	YZC	122,505	0.40%
ZIJIN MINING	ZJM	39,155	0.13%
<b>Total</b>		<b>30,796,045</b>	<b>100.00%</b>

## CLEARING & SETTLEMENT

### Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2016	Q3	82,516	47.08	190.09
	Q4	83,191	45.76	181.31
2017	Q1	86,101	44.09	183.38
	Q2	89,205	48.85	224.77
	Q3	92,762	47.05	223.72

### Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2016	Q3	314	61.95	148.35
	Q4	266	55.54	133.74
2017	Q1	294	279.49	184.39
	Q2	321	212.60	147.64
	Q3	373	155.84	468.68

### Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2016	Q3	5,865.26	74.21	15,979.62	57.22
	Q4	5,878.89	74.27	15,381.54	56.60
2017	Q1	6,015.72	74.42	16,988.34	57.10
	Q2	6,264.71	75.18	18,013.11	57.34
	Q3	6,338.49	75.53	19,870.01	57.86

Share quantity and market value of securities in non-HKD currency are included

## CLEARING & SETTLEMENT

### Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2016	Q3	1,047,611	100	174,563.55	100	68,188.97	100
	Q4	1,052,858	100	159,370.39	100	64,205.68	100
2017	Q1	1,125,959	100	202,641.72	100	74,176.32	100
	Q2	1,150,564	100	155,884.97	100	77,373.95	100
	Q3	1,276,525	100	164,722.02	100	92,901.78	100

### Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2016	Q3	70,706	96.36	23,634.05	92.51
	Q4	71,738	96.37	16,416.17	91.57
2017	Q1	75,873	96.41	27,626.91	93.13
	Q2	76,992	96.46	29,251.62	90.51
	Q3	87,811	96.38	30,609.78	90.56

### Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2016	Q3	99.91	99.99
	Q4	99.90	99.99
2017	Q1	99.92	99.99
	Q2	99.91	99.99
	Q3	99.91	99.99

# CLEARING & SETTLEMENT

## Open Interest by Derivatives Products

Derivatives product	Contracts	
	3rd Quarter 2017	3rd Quarter 2016
Hang Seng Index Futures	161,569	157,669
Hang Seng Index Options	408,078	280,913
Mini-Hang Seng Index Futures	9,313	9,151
Mini-Hang Seng Index Options	8,429	9,370
Hang Seng China Enterprises Index Futures	289,770	349,588
Hang Seng China Enterprises Index Options	2,913,158	2,560,117
Mini-Hang Seng China Enterprises Index Futures	4,473	5,049
Mini-Hang Seng China Enterprises Index Options <sup>1</sup>	4,657	5,441
Flexible Hang Seng Index Options	0	2,975
Flexible Hang Seng China Enterprises Index Options	16,666	13,569
HSI Dividend Point Index Futures	11,422	7,081
HSCEI Dividend Point Index Futures	116,855	157,453
HSI Volatility Index Futures	0	0
CES China 120 Index Futures	16	10
CES Gaming Top 10 Index Futures	0	0
Hang Seng Mainland Oil & Gas Index Futures	25	0
Hang Seng Mainland Banks Index Futures	124	2
Hang Seng Mainland Healthcare Index Futures	0	0
Hang Seng Mainland Properties Index Futures	28	0
Hang Seng IT Hardware Index Futures	0	0
Hang Seng Software & Services Index Futures	0	0
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures	0	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	5,243	4,040
Stock Options	7,937,540	6,045,816
5-Year MOF T-Bond Futures <sup>2</sup>	135	-
Three-month HIBOR Futures	310	0
One-month HIBOR Futures	0	0
RMB Currency Futures – USD/CNH Futures	22,036	33,340
RMB Currency Options – USD/CNH Options <sup>3</sup>	3,291	-
RMB Currency Futures – EUR/CNH Futures	127	50
RMB Currency Futures – JPY/CNH Futures	37	4
RMB Currency Futures – AUD/CNH Futures	0	10
RMB Currency Futures – CNH/USD Futures	866	482
USD Gold Futures <sup>4</sup>	167	-
CNH Gold Futures <sup>5</sup>	619	-
London Aluminium Mini Futures	10	42
London Zinc Mini Futures	2	67
London Copper Mini Futures	80	20
London Lead Mini Futures	0	0
London Nickel Mini Futures	2	100
London Tin Mini Futures	0	0
<b>Total</b>	<b>11,915,048</b>	<b>9,642,359</b>

<sup>1</sup> Trading in Mini-Hang Seng China Enterprises Index Options commenced on 05 Sep 2016

<sup>2</sup> Trading in 5-Year MOF T-Bond Futures commenced on 10 Apr 2017

<sup>3</sup> Trading in RMB Currency Options – USD/CNH Options commenced on 20 Mar 2017

<sup>4</sup> Trading in USD Gold Futures commenced on 10 Jul 2017

<sup>5</sup> Trading in CNH Gold Futures commenced on 10 Jul 2017

# CLEARING & SETTLEMENT

## Stock Options Open Interest by Class

as at the end of 3rd Quarter 2017

Options class		Open interest (contracts)	% of total
ISHARES A50	A50	61,628	0.78
AAC TECH	AAC	9,122	0.11
CONCH CEMENT	ACC	34,824	0.44
AIA	AIA	76,054	0.96
CHALCO	ALC	13,873	0.17
CAM CSI300	AMC	52,149	0.66
BANKCOMM	BCM	28,353	0.36
BANK OF E ASIA	BEA	12,756	0.16
BELLE INT'L	BIH	0	0.00
BOC HONG KONG	BOC	56,972	0.72
BYD COMPANY	BYD	30,712	0.39
CHINA COMM CONS	CCC	29,186	0.37
CHINA COAL	CCE	16,042	0.20
COSCO SHIP HOLD	CCS	11,465	0.14
CHINA CINDA	CDA	19,216	0.24
CGN POWER	CGN	5,830	0.07
CHINA MOBILE	CHT	164,397	2.07
CHINA UNICOM	CHU	69,112	0.87
CITIC	CIT	15,002	0.19
CKH HOLDINGS	CKH	55,992	0.71
CK ASSET	CKP	13,682	0.17
CHINA LIFE	CLI	247,910	3.12
CLP HOLDINGS	CLP	8,954	0.11
CM BANK	CMB	120,755	1.52
CNOOC	CNC	265,300	3.34
CHINA OVERSEAS	COL	47,458	0.60
CATHAY PAC AIR	CPA	5,579	0.07
SINOPEC CORP	CPC	109,136	1.37
CPIC	CPI	43,587	0.55
CHINA RAIL CONS	CRC	33,801	0.43
CHINA RAILWAY	CRG	43,481	0.55
CHINA RES LAND	CRL	15,971	0.20
X WISECSI300ETF	CS3	2	0.00
CSOP A50 ETF	CSA	70,070	0.88
CHINA SHENHUA	CSE	85,579	1.08
CITIC BANK	CTB	54,020	0.68
CHINA TELECOM	CTC	29,336	0.37
CITIC SEC	CTS	65,227	0.82
DONGFENG GROUP	DFM	6,474	0.08
ESPRIT HOLDINGS	ESP	250	0.00
FIH	FIH	6	0.00
GEELY AUTO	GAH	15,784	0.20
GALAXY ENT	GLX	58,338	0.73

## CLEARING & SETTLEMENT

Options class		Open interest (contracts)	% of total
GREATWALL MOTOR	GWM	195,174	2.46
HAITONG SEC	HAI	29,504	0.37
HS H ETF	HCF	4,800	0.06
POWER ASSETS	HEH	13,500	0.17
HKEX	HEX	404,319	5.09
HENGAN INT'L	HGN	4,527	0.06
HSBC HOLDINGS	HKB	465,065	5.86
HK & CHINA GAS	HKG	7,583	0.10
HENDERSON LAND	HLD	14,589	0.18
HUANENG POWER	HNP	18,557	0.23
HANG SENG BANK	HSB	18,908	0.24
JIANGXI COPPER	JXC	25,592	0.32
KUNLUN ENERGY	KLE	6,167	0.08
KINGSOFT	KSO	29,532	0.37
LENOVO GROUP	LEN	114,612	1.44
LI & FUNG	LIF	14,584	0.18
LINK REIT	LNK	5,953	0.07
MENGNU DAIRY	MEN	3,776	0.05
MGM CHINA	MGM	32,129	0.40
MINSHENG BANK	MSB	73,242	0.92
MTR CORPORATION	MTR	11,444	0.14
CNBM	NBM	46,602	0.59
NCI	NCL	10,147	0.13
NEW WORLD DEV	NWD	34,753	0.44
PING AN	PAI	657,025	8.28
PETROCHINA	PEC	229,934	2.90
PICC P&C	PIC	29,772	0.38
PICC GROUP	PIN	6,230	0.08
GCL-POLY ENERGY	PLE	14,201	0.18
R&F PROPERTIES	RFP	8,104	0.10
SANDS CHINA LTD	SAN	120,917	1.52
SHK PPT	SHK	33,421	0.42
STANCHART	STC	36,283	0.46
SWIRE PACIFIC A	SWA	6,426	0.08
TENCENT	TCH	1,237,800	15.59
TRACKER FUND	TRF	5,046	0.06
WHARF HOLDINGS	WHL	30,832	0.39
WANT WANT CHINA	WWC	7,396	0.09
ABC	XAB	26,576	0.33
BANK OF CHINA	XBC	592,973	7.47
CCB	XCC	604,145	7.61
ICBC	XIC	580,461	7.31
YANZHOU COAL	YZC	11,759	0.15
ZIJIN MINING	ZJM	9,795	0.12
<b>Total</b>		<b>7,937,540</b>	<b>100.00</b>



# EXCHANGE PARTICIPANTS STATISTICS

## Stock Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2016	Q3	599 (1002)	547 (941)	36 (45)	16 (16)
	Q4	608 (1011)	556 (950)	36 (45)	16 (16)
2017	Q1	626 (1024)	575 (965)	35 (43)	16 (16)
	Q2	639 (1035)	589 (977)	34 (42)	16 (16)
	Q3	657 (1053)	608 (996)	33 (41)	16 (16)

( ) No. of trading rights

## Futures Exchange

		No. of Trading Right Holders	Exchange Participants - Trading	Exchange Participants - Non-Trading	Non-Exchange Participants
2016	Q3	231 (254)	178 (199)	-	53 (55)
	Q4	233 (256)	180 (201)	-	53 (55)
2017	Q1	234 (257)	181 (202)	-	53 (55)
	Q2	236 (259)	184 (205)	-	52 (54)
	Q3	236 (259)	185 (206)	-	51 (53)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

# CLEARING PARTICIPANTS STATISTICS

## HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2016	Q3	150	9
	Q4	152	9
2017	Q1	152	9
	Q2	153	9
	Q3	154	9

## SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2016	Q3	65	9
	Q4	65	9
2017	Q1	64	9
	Q2	63	9
	Q3	63	9

## HKSCC Participants

Year/month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2016	Q3	525	9	43
	Q4	533	9	42
2017	Q1	552	9	45
	Q2	566	9	45
	Q3	582	9	46

\* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

# ACRONYMS

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CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEX	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
L&I Products	Leveraged and Inverse Products
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities