

市場概況

主板

概況	2008 年 第 4 季	2007 年 第 4 季
上市公司數目	1,087	1,048
上市證券數目	5,654	5,896
股份		
普通股	1,088	1,049
優先股	2	2
認股權證	3,045	4,513
股份	34	30
衍生	3,011	4,483
股票掛鈎票據	0	0
牛熊證	1314	131
單位信託及互惠基金	33	26
債券	172	175
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	62	62
總成交金額(百萬港元)	3,145,003.97	8,306,624.28
總成交股數(百萬股)	4,386,279.65	9,111,293.30
總成交宗數(宗數)	38,454,024	47,705,109
上市公司市價總值(百萬港元)	10,253,588.75	20,536,462.82
平均市盈率(倍)	7.26	22.47
平均週息率(百分率)	5.38	2.21
交易所參與者數目		
開業	449	439
非開業	38	39
成交金額(百萬港元)		
最高	81,663.42 (14/10)	209,808.30 (03/10)
最低	21,951.90 (29/12)	76,599.73 (27/12)
成交股數(百萬股)		
最高	92,188.66 (10/11)	209,860.13 (16/11)
最低	35,619.74 (29/12)	87,941.18 (02/10)
總成交宗數(宗數)		
最高	925,776 (30/10)	1,186,477 (03/10)
最低	529,144 (03/10)	511,288 (20/12)

市場概況

創業板

概況	2008 年 第 4 季	2007 年 第 4 季
上市公司數目	174	193
上市證券數目	177	196
股份	174	193
認股權證	3	3
交易日數	62	62
總成交金額(百萬港元)	4,070.30	33,143.14
總成交股數(百萬股)	20,002.18	56,880.89
總成交宗數(宗數)	147,433	705,154
上市公司市價總值(百萬港元)	45,164.18	161,080.97
平均市盈率(倍)	8.01	44.91
平均週息率(百分率)	2.76	0.68
成交金額(百萬港元)		
最高	163.77 (09/10)	1,691.57 (02/11)
最低	31.90 (24/11)	244.95 (20/12)
成交股數(百萬股)		
最高	895.91 (29/12)	2,544.62 (26/10)
最低	161.82 (21/10)	449.65 (18/12)
總成交宗數(宗數)		
最高	4,843 (11/12)	21,682 (26/10)
最低	1,146 (28/11)	5,341 (28/12)

市場概況

衍生產品市場

概況	2008年第4季	2007年第4季
所有期貨及期權產品(股票期權除外)		
交易日數	61.0	61.0
總合約成交量	14,016,507	11,888,499
股市指數產品	13,916,663	11,813,604
股票產品	91,683	66,550
利率產品	5,086	8,345
黃金期貨	3,075	—
平均每日合約成交量	229,779	194,893
季末未平倉合約	321,768	465,980
股市指數產品	309,661	457,894
股票產品	9,449	5,954
利率產品	2,526	2,132
黃金期貨	132	—
股票期權		
新開辦期權類別	—	—
股票期權數目(於季末數目)		
類別	49	47
系列	8,340	5,986
期權金總額(百萬港元)	28,706.16	54,920.05
認購	9,245.96	30,778.62
認沽	19,460.21	24,141.43
平均每日期權金總額(百萬港元)	470.59	900.33
總合約成交量	12,369,694	14,998,891
認購	6,253,603	7,338,541
認沽	6,116,091	7,660,350
平均每日合約成交量	202,782	245,883
季末未平倉合約	3,984,346	5,314,918
認購	1,589,480	2,297,059
認沽	2,394,866	3,017,859
總成交宗數	469,439	337,587
平均每日成交宗數	7,696	5,534
已行使合約總數	1,965,972	2,337,128
每張合約平均期權金(港元)	2,321	3,662
每宗交易平均合約數目	26	44
認沽數量／認購數量	0.98	1.04
平均每日合約成交量／未平倉合約	5.1%	4.6%
所有期貨及期權產品		
總合約成交量	26,386,201	26,887,390
季末未平倉合約	4,306,114	5,780,898

市場概況

結算及交收

中央結算系統營運資料	2008年第4季	2007年第4季
經中央結算系統處理之聯交所交易(每日平均數)		
– 交易宗數	622,593	780,804
– 交易金額(十億港元)	50.8	134.5
– 涉及股數(十億股)	71.1	147.9
經中央結算系統處理的交收指示(每日平均數)		
– 交收指示數目	62,582	84,422
– 交收指示涉及金額(十億港元)	146.6	326.5
– 涉及股數(十億股)	41.5	75.0
經中央結算系統處理的投資者交收指示(每日平均數)		
– 交收指示數目	669	1,054
– 交收指示涉及金額(百萬港元)	206.2	515.9
– 涉及股數(百萬股)	143.4	615.6
以「持續淨額交收」的股份數額於到期交收日(T+2) 的交收效率(每日平均數)	99.81	99.72
以「持續淨額交收」的股份數額於到期交收翌日(T+3) 的交收效率(每日平均數)	99.99	99.97
在T+3日進行補購(每日平均數)		
– 涉及經紀數目	8	27
– 補購宗數	9	21
– 補購涉及金額(百萬港元)	3.1	22.2
存放於中央結算系統證券存管處的股份		
– 股數(十億股)	3,408.3	3,570.4
– 獲納入系統的證券佔已發行股本總數的百分比	72.57	74.95
– 股份價值(十億港元)	5,040.5	10,438.9
– 獲納入系統的證券佔市價總值的百分比	44.62	47.02
衍生產品結算及交收系統營運資料	2008年第4季	2007年第4季
季末未平倉合約		
– 股市指數期貨	172,444	206,756
– 股票期貨	9,449	5,954
– 黃金期貨	132	-
– 利率期貨	2,526	2,132
– 股市指數期權	137,217	251,138
– 股票期權	3,984,346	5,314,918
累積行使股票期權		
– 涉及股數(百萬股)	1,625	2,273
– 涉及金額(十億港元)	38	72

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2007	第 4 季	878,390.04	6,044,981.78	35,765,560
2008	第 1 季	564,762.03	4,141,772.67	28,938,227
	第 2 季	497,409.06	3,329,344.37	25,180,928
	第 3 季	413,439.81	2,838,074.56	24,351,804
	第 4 季	608,044.76	2,322,640.74	32,068,396

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2007	第 4 季	62	97,499.71	169,017
2008	第 1 季	60	69,029.54	143,125
	第 2 季	61	54,579.42	132,217
	第 3 季	62	45,775.40	116,545
	第 4 季	62	37,461.95	72,428

主 板 股 份

20種升幅最大之股份

2008年第4季

順次	股份代號	股份	收市價		升幅
			2008年12月底	2008年9月底	
1	00009	東方娛樂控股	0.125	0.045	177.78
2	00399	遠東生物制藥科技	0.410	0.197	108.12
3	00270	粵海投資	3.110	1.800	72.78
4	00616	永義實業	0.310	0.180	A 72.22
5	02389	旺城國際	0.250	0.148	68.92
6	00979	綠色能源科技集團	0.690	0.420	64.29
7	00038	第一拖拉機股份	1.840	1.160	58.62
8	02362	澳門投資控股	0.300	0.195	53.85
9	03377	遠洋地產	3.500	2.360	48.31
10	01800	中國交通建設	9.560	6.640	43.98
11	00754	合生創展集團	5.600	3.890	43.96
12	01766	中國南車	4.210	2.950	42.71
13	00240	利基控股	0.171	0.120	42.50
14	02369	中國無線	0.350	0.249	40.56
15	00887	英皇鐘錶珠寶	0.255	0.183	39.34
16	00022	茂盛控股	0.057	0.041	39.02
17	00981	中芯國際	0.325	0.234	38.89
18	02728	成謙聲匯	0.610	0.440	38.64
19	00927	富士高實業	1.270	0.920	38.04
20	01893	中材股份	4.650	3.400	36.76

A 經調整

20種跌幅最大之股份

2008年第4季

順次	股份代號	股份	收市價		跌幅
			2008年12月底	2008年9月底	
1	02882	海域化工	0.560	6.200	A -90.97
2	00351	中科環保	0.080	0.720	-88.89
3	00462	中國金匯礦業	0.395	3.140	-87.42
4	02336	科浪國際	0.070	0.420	-83.33
5	00515	達進精電	0.243	1.400	-82.64
6	00764	中國星投資	0.096	0.500	-80.80
7	00628	多金控股	0.345	1.780	A -80.62
8	00669	創科實業	1.540	7.070	-78.22
9	02332	和記電訊國際	2.080	8.820	-76.42
10	00100	白馬戶外媒體	1.000	3.900	-74.36
11	00690	聯康生物科技集團	0.050	0.184	-72.83
12	00235	中策集團	0.104	0.350	-70.29
13	00147	超越集團	1.010	3.380	-70.12
14	00189	東岳集團	0.325	1.080	-69.91
15	00207	僑福企業	0.310	1.000	-69.00
16	00397	香港體檢	0.013	0.041	-68.29
17	00581	中國東方集團	1.280	3.990	-67.92
18	00547	奧亮集團	0.036	0.110	-67.27
19	00761	百營環球資源	0.125	0.375	-66.67
20	00332	NGAI LIK IND	0.069	0.200	-65.50

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2008年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	02628	中國人壽	161,901.10	6.97
2	00941	中國移動	154,635.27	6.66
3	00005	匯豐控股	150,655.54	6.49
4	01398	工商銀行	125,708.71	5.41
5	00939	建設銀行	117,451.36	5.06
6	00857	中國石油股份	81,145.14	3.49
7	00883	中國海洋石油	64,606.63	2.78
8	00386	中國石油化工股份	60,904.57	2.62
9	00388	香港交易所	57,809.75	2.49
10	03988	中國銀行	54,457.90	2.34
11	02318	中國平安	50,968.98	2.19
12	03968	招商銀行	48,521.65	2.09
13	00016	新鴻基地產	47,395.44	2.04
14	01088	中國神華	44,692.03	1.92
15	00688	中國海外發展	32,724.67	1.41
16	00001	長江實業	32,720.94	1.41
17	03328	交通銀行	30,217.21	1.30
18	00013	和記黃埔	29,017.01	1.25
19	00728	中國電信	27,353.80	1.18
20	00011	恒生銀行	26,542.25	1.14
總額			1,399,429.95	60.25

20種成交最活躍股份(股數)

2008年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03886	康健國際	35,356.15	5.81
2	00397	香港體檢	34,620.08	5.69
3	01398	工商銀行	33,034.62	5.43
4	00939	建設銀行	29,499.81	4.85
5	03988	中國銀行	23,241.91	3.82
6	00857	中國石油股份	13,365.80	2.20
7	00386	中國石油化工股份	12,377.52	2.04
8	00883	中國海洋石油	10,285.87	1.69
9	00728	中國電信	9,756.96	1.60
10	02628	中國人壽	7,325.90	1.20
11	01228	奇峰國際	7,209.16	1.19
12	00380	中國管業	7,154.48	1.18
13	01222	WANG ON GROUP	6,786.22	1.12
14	00697	SHOUGANG INT'L	6,700.46	1.10
15	03328	交通銀行	6,043.20	0.99
16	00202	國中控股	5,885.24	0.97
17	00123	GUANGZHOU INV	5,702.28	0.94
18	00981	中芯國際	5,544.62	0.91
19	01919	中國遠洋	5,053.19	0.83
20	00152	深圳國際	5,034.58	0.83
總數			269,978.04	44.40

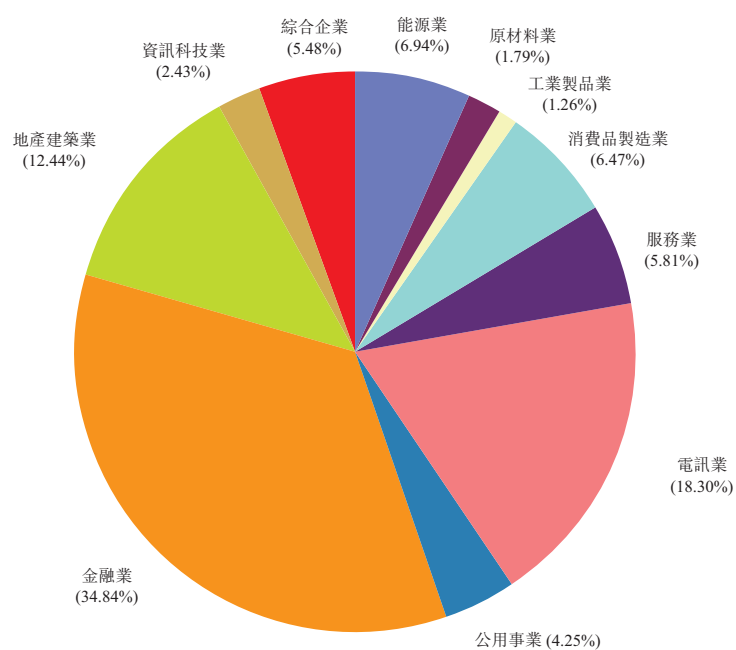
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2007	第 4 季	20,536,462.82
2008	第 1 季	16,825,275.91
	第 2 季	16,246,996.96
	第 3 季	12,481,118.88
	第 4 季	10,253,588.75

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2008年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,560,059.49	15.21
2	00939	中國建設銀行股份有限公司－H股	954,928.61	9.31
3	00005	匯豐控股有限公司	892,137.54	8.70
4	01398	中國工商銀行股份有限公司－H股	338,870.53	3.30
5	00883	中國海洋石油有限公司	323,405.01	3.15
6	00762	中國聯合網絡通信(香港)股份有限公司	221,036.27	2.16
7	00011	恒生銀行有限公司	194,434.41	1.90
8	02628	中國人壽保險股份有限公司－H股	175,239.67	1.71
9	02888	渣打集團有限公司	174,596.41	1.70
10	00001	長江實業(集團)有限公司	169,774.85	1.66
11	00016	新鴻基地產發展有限公司	165,655.94	1.62
12	00013	和記黃埔有限公司	165,631.95	1.62
13	03988	中國銀行股份有限公司－H股	161,162.93	1.57
14	00857	中國石油天然氣股份有限公司－H股	143,261.53	1.40
15	03328	交通銀行股份有限公司－H股	128,930.38	1.26
16	00002	中電控股有限公司	126,563.14	1.23
17	00066	香港鐵路有限公司	101,673.67	0.99
18	02318	中國平安保險(集團)股份有限公司－H股	95,949.14	0.94
19	00006	香港電燈集團有限公司	92,840.38	0.91
20	02388	中銀香港(控股)有限公司	92,829.01	0.91
21	00700	騰訊控股有限公司	89,794.17	0.88
22	00688	中國海外發展有限公司	88,011.41	0.86
23	00388	香港交易及結算所有限公司	79,111.64	0.77
24	00019	太古股份有限公司	79,103.47	0.77
25	00386	中國石油化工股份有限公司－H股	78,700.49	0.77
26	00003	香港中華煤氣有限公司	77,987.52	0.76
27	00101	恒隆地產有限公司	69,804.54	0.68
28	01038	長江基建集團有限公司	65,710.22	0.64
29	00836	華潤電力控股有限公司	62,645.68	0.61
30	00012	恒基兆業地產有限公司	61,611.51	0.60
31	00004	九龍倉集團有限公司	58,519.55	0.57
32	01088	中國神華能源股份有限公司－H股	55,736.75	0.54
33	00330	思捷環球控股有限公司	54,578.45	0.53
34	00322	康師傅控股有限公司	50,169.40	0.49
35	00494	利豐有限公司	48,260.48	0.47
36	01109	華潤置地有限公司	44,795.79	0.44
37	00151	中國旺旺控股有限公司	42,394.60	0.41
38	01800	中國交通建設股份有限公司－H股	42,326.90	0.41
39	00728	中國電信股份有限公司－H股	40,105.71	0.39
40	00083	信和置業有限公司	38,761.76	0.38
41	03968	招商銀行股份有限公司－H股	38,226.32	0.37
42	00144	招商局國際有限公司	36,303.07	0.35
43	00247	尖沙咀置業集團有限公司	36,273.45	0.35
44	00392	北京控股有限公司	35,929.23	0.35
45	00096	永隆銀行有限公司	35,641.18	0.35
46	00020	會德豐有限公司	34,541.44	0.34
47	00293	國泰航空有限公司	34,292.38	0.33
48	00998	中信銀行股份有限公司－H股	32,864.78	0.32
49	00291	華潤創業有限公司	32,297.81	0.31
50	00010	恒隆集團有限公司	31,284.25	0.31
總額			7,854,764.80	76.61
市場總額			10,253,588.75	100.00

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2007	第4季	569,658.22	6,078,123.87	9.37
2008	第1季	439,273.23	4,163,154.65	10.55
	第2季	345,265.36	3,346,787.65	10.32
	第3季	327,618.01	2,847,263.13	11.51
	第4季	207,081.01	2,326,710.98	8.90

* 包括主板及創業板數據

賣空交易(股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2007	第4季	34,333.59	935,266.06	3.67
2008	第1季	30,556.34	624,769.65	4.89
	第2季	25,402.17	551,233.73	4.61
	第3季	27,563.50	441,101.48	6.25
	第4季	26,217.91	628,043.79	4.17

* 包括主板及創業板數據

新上市統計－公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2007	第4季	30	—	131,456.50
2008	第1季	10	—	32,990.02
	第2季	11	—	17,153.41
	第3季	7	8	11,970.91
	第4季	5	6	3,645.19

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2008年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
00963	華熙生物科技有限公司	2008/10/03	312,000,000	78.00
00445	中國消防企業集團控股有限公司*	2008/10/06	n.a.	n.a.
01387	人和商業控股有限公司	2008/10/22	20,000,000,000	3,390.00
00865	第一電訊集團有限公司*	2008/11/06	n.a.	n.a.
00872	錦恒汽車安全技術控股有限公司*	2008/11/12	n.a.	n.a.
00195	威達國際控股有限公司	2008/11/12	100,000,000	58.80
02008	鳳凰衛視控股有限公司*	2008/12/05	n.a.	n.a.
00803	昌興國際控股(香港)有限公司*	2008/12/18	n.a.	n.a.
00834	中國康大食品有限公司	2008/12/22	440,676,000	—
00705	南亞礦業有限公司	2008/12/22	451,000,000	118.39
00354	中軟國際有限公司*	2008/12/29	n.a.	n.a.
總額				3,645.19

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

除牌上市公司

2008年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
00906	中國網通集團(香港)有限公司	2008/10/06	2008/10/15
00183	中信國際金融控股有限公司	2008/10/15	2008/11/05

主 板 股 份

公司名稱更改

2008 年 第 4 季

股份代號	原有名稱	新名稱	生效日期	採用日期
00745	榮康(控股)有限公司	中國鐵聯傳媒有限公司	2008/09/02	2008/10/02
00933	先來國際控股有限公司	光匯石油(控股)有限公司	2008/08/19	2008/10/06
01141	新創綜合企業有限公司	保興發展控股有限公司	2008/08/29	2008/10/10
00762	中國聯通股份有限公司	中國聯合網絡通信(香港)股份有限公司	2008/10/15	2008/10/15
03393	威勝集團有限公司	威勝集團控股有限公司	2008/09/01	2008/10/15
01013	普納集團有限公司	偉俊集團有限公司	2008/08/28	2008/10/24
01065	天津創業環保股份有限公司－H股	天津創業環保集團股份有限公司－H股	2008/08/04	2008/11/10
00283	松日通訊控股有限公司	高銀地產控股有限公司	2008/11/06	2008/11/12
00419	華億新媒體(集團)有限公司	華億傳媒有限公司	2008/10/20	2008/11/21
01193	華潤勵致有限公司	華潤燃氣控股有限公司	2008/11/12	2008/12/03
00509	世紀陽光生態科技控股有限公司	世紀陽光集團控股有限公司	2008/12/03	2008/12/22
02302	科鑄技術集團有限公司	中核國際有限公司	2008/11/14	2008/12/23
00905	鼎洋投資有限公司	慧德投資有限公司	2008/11/11	2008/12/24
00290	中國環保電力控股有限公司	中國富強集團有限公司	2008/11/03	2008/12/30
01194	中盛糧油工業控股有限公司	中國貴金屬資源控股有限公司	2008/11/12	2008/12/30
00686	Gay Giano International Group Ltd.	太益控股有限公司	2008/12/02	2008/12/30

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2008年第4季

股份代號	公司	詳情	除淨日
00372	德祥企業集團有限公司	5股送1份2009年認股權證	2008/10/14
00731	森信紙業集團有限公司	6股送1份2010年認股權證	2008/11/24
02338	濰柴動力股份有限公司－H股	10送6	2008/11/26
00682	超大現代農業(控股)有限公司	25送1	2008/12/04

股份拆細及合併

2008年第4季

股份代號	公司	詳情	生效日期
02882	海域化工集團有限公司	10股合併為1股	2008/10/02
00355	世紀城市國際控股有限公司	10股合併為1股	2008/10/23
00617	百利保控股有限公司	10股合併為1股	2008/10/23
00078	富豪酒店國際控股有限公司	10股合併為1股	2008/10/23
00343	文化傳信集團有限公司	10股合併為1股	2008/11/04
00275	錦興集團有限公司	50股合併為1股	2008/11/04
00885	福方集團有限公司	4股合併為1股	2008/11/26
00279	民豐控股有限公司	5股合併為1股	2008/11/26
00412	漢基控股有限公司	10股合併為1股	2008/11/28
00273	威利國際控股有限公司	10股合併為1股	2008/12/05
01064	中華國際控股有限公司	10股合併為1股	2008/12/11
00559	華藝礦業控股有限公司	5股合併為1股	2008/12/16
02312	中國金融租賃集團有限公司	5股合併為1股	2008/12/19
00628	多金控股有限公司	10股合併為1股	2008/12/19

主 板 股 份

供股／公開售股

2008 年第 4 季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10 月	00530	廣益國際集團有限公司	公開售股 1 供 5，每股供 0.02 港元	61.21
	00342	新海能源集團有限公司	公開售股 1 供 1，每股供 0.30 港元	144.50
	00201	華大地產投資有限公司	強制性可換股債權 2 供 1，每單位供 0.16 港元	447.18*
	00620	太元集團有限公司	股權 1 供 1，每股供 0.035 港元	176.58
	01193	華潤燃氣控股有限公司	股權 1 供 4，每股供 3.42 港元	3,869.84
11 月	00731	森信紙業集團有限公司	公開售可兌換無投票權優先股份 3 供 1 每優先股供 0.70 港元	100.16*
	00905	慧德投資有限公司	公開售股 1 供 5，每股供 0.025 港元	30.00
12 月	02888	渣打集團有限公司	股權 91 供 30，每股供 45.11 港元	21,202.37
	00636	富邦銀行(香港)有限公司	公開售優先股 1 供 1， 每優先股供 0.10237 美元	美元 120.00*
總 額				25,484.50

* 該數據不包括在集資金額內。

主 板 股 份

股份配售*

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
2008					
9 月 **	02700	合 俊 集 團	92,096,000	0.293	26.98
	00988	新 銀 集 團	72,000,000	2.100	151.20
	00686	太 益 控 股	30,000,000	0.800	24.00
	00159	華 南 投 資	240,000,000	0.500	120.00
	00612	中 國 投 資 基 金 公 司	179,200,000	0.057	10.21
	00494	利 豐	168,000,000	23.090	3,879.12
	00351	中 科 環 保	4,000,000,000	0.050	200.00
	00585	意 馬 國 際	90,600,000	0.860	77.92
	02882	海 域 化 工	357,000,000	0.140	49.98
10 月	00628	多 金 控 股	347,848,000	0.170	59.13
	03886	康 健 國 際	3,400,000,000	0.040	136.00
	02302	CNNC INT'L	159,168,308	1.770	281.73
11 月	01178	天 年 生 物	23,800,000	0.127	3.02
	01178	天 年 生 物	123,300,000	0.095	11.71
	00646	啟 帆	270,000,000	0.300	81.00
	00109	榮 德 豐	1,284,000,000	0.020	25.68
	00379	必 美 宜	160,000,000	0.100	16.00
12 月	00733	合 富 輝 煌	49,200,000	0.650	31.98
	01222	WANG ON GROUP	900,000,000	0.022	19.80
	00381	僑 雄 能 源	70,000,000	0.270	18.90
	01222	WANG ON GROUP	672,600,000	0.022	14.80
	00397	香 港 體 檢	2,600,000,000	0.011	28.60
	00381	僑 雄 能 源	37,000,000	0.270	9.99
	00989	潤 迅 通 信 國 際	470,024,427	0.042	19.74
總 額					5,297.50

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百 萬 港 元)	衍生權證 (百 萬 港 元)	總額 (百 萬 港 元)
2007	第 4 季	1,618.97	2,148,846.16	2,150,465.13
2008	第 1 季	441.42	1,611,079.67	1,611,521.09
	第 2 季	516.79	958,619.84	959,136.63
	第 3 季	166.30	555,791.97	555,958.28
	第 4 季	5.74	308,244.86	308,250.59

認股權證市價總值

		數目	股份認股權證 (百 萬 港 元)	數目	衍生權證 (百 萬 港 元)	總數	總額 (百 萬 港 元)
2007	第 4 季	30	6,873.37	4,483	696,995.85	4,513	703,869.22
2008	第 1 季	36	3,489.26	5,000	336,368.73	5,036	339,857.99
	第 2 季	35	2,089.63	4,599	212,788.32	4,634	214,877.95
	第 3 季	37	776.08	3,941	156,186.99	3,978	156,963.07
	第 4 季	34	548.52	3,011	169,573.55	3,045	170,122.08

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百 萬 港 元)
2007	第 4 季	6	2,264	369,803.02
2008	第 1 季	7	1,719	257,483.82
	第 2 季	2	1,058	110,344.97
	第 3 季	6	1,174	107,224.10
	第 4 季	3	871	80,858.92

新上市股份認股權證

2008 年 第 4 季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百 萬 港 元)
00344	中油資源控股有限公司2011年認股權證	77,709,328	2008/10/21	2011/10/16	—
00779	德祥企業集團有限公司2009年認股證	118,562,632	2008/11/07	2009/11/04	—
00644	森信紙業集團有限公司2010年認股權證	76,312,540	2008/12/09	2010/06/04	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2008年第4季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15179	Deutsche Bank AG – HKEx European Warrants Jun 2009	300,000,000	2008/10/02	2009/06/22	51.00
15178	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Mar 2009 E	100,000,000	2008/10/02	2009/03/23	71.00
15180	BOCI Asia Ltd. – Ch Comm Cons European Warrants Dec 2009	300,000,000	2008/10/03	2009/12/02	75.00
15181	BOCI Asia Ltd. – HK Gas European Warrants Sep 2009	100,000,000	2008/10/03	2009/09/02	25.00
15182	BOCI Asia Ltd. – Tracker Fund European Warrants Jun 2009	300,000,000	2008/10/03	2009/06/17	75.00
15185	Deutsche Bank AG – HSI European Warrants Mar 2009 I	300,000,000	2008/10/03	2009/03/30	72.00
15186	Deutsche Bank AG – HSI European Put Warrants Jan 2009 J	300,000,000	2008/10/03	2009/01/29	69.00
15183	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 I	500,000,000	2008/10/03	2009/04/29	125.00
15184	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 J	500,000,000	2008/10/03	2009/04/29	125.00
15189	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts May 2009 B	658,000,000	2008/10/06	2009/05/04	98.70
15192	CC Rabobank B.A. – CC Bank European Put Warrants Apr 2009	25,000,000	2008/10/06	2009/04/17	15.25
15194	CC Rabobank B.A. – ICBC European Put Warrants Apr 2009	26,000,000	2008/10/06	2009/04/29	13.52
15190	CC Rabobank B.A. – ICBC European Warrants Apr 2009 B	26,000,000	2008/10/06	2009/04/29	20.80
15191	CC Rabobank B.A. – PetroChina European Warrants Feb 2010	150,000,000	2008/10/06	2010/02/08	37.50
15195	CC Rabobank B.A. – PetroChina European Put Warrants Feb 2010	150,000,000	2008/10/06	2010/02/08	37.50
15193	CC Rabobank B.A. – Ping An European Warrants May 2009 A	25,000,000	2008/10/06	2009/05/08	20.75
15187	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Nov 2009	300,000,000	2008/10/06	2009/11/23	54.00
15188	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun09A	300,000,000	2008/10/06	2009/06/08	60.00
09740#	UBS AG – Ping An European Warrants Dec 2008 D	1,000,000,000	2008/10/06	2008/12/22	21.00
15205	Deutsche Bank AG – China Life European Put Wts Apr 2009 A	300,000,000	2008/10/08	2009/04/14	120.00
15206	Deutsche Bank AG – ICBC European Warrants Feb 2009 B	200,000,000	2008/10/08	2009/02/23	90.00
15196	HK Bank – China Mobile European Warrants Oct 2010	280,000,000	2008/10/08	2010/10/15	70.00
15199	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Feb 2010 B	100,000,000	2008/10/08	2010/02/01	100.00
15200	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2011	180,000,000	2008/10/08	2011/01/31	165.60
15201	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011	180,000,000	2008/10/08	2011/05/31	45.00
15202	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Apr 2009	100,000,000	2008/10/08	2009/04/07	15.50
15197	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2009	150,000,000	2008/10/08	2009/06/08	150.00
15198	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2009C	600,000,000	2008/10/08	2009/10/19	135.60
15209	Deutsche Bank AG – HSI European Warrants Apr 2009 A	300,000,000	2008/10/09	2009/04/29	87.00
15213	Deutsche Bank AG – HSI European Put Warrants Apr 2009 B	300,000,000	2008/10/09	2009/04/29	75.00
10837	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2009 A	200,000,000	2008/10/09	2009/06/12	52.00
10841	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2009 B	200,000,000	2008/10/09	2009/06/12	54.00
15218	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2009	100,000,000	2008/10/09	2009/03/30	74.00
15219	Goldman Sachs SP (Asia) – PetroChina European Wts Oct 2009	250,000,000	2008/10/09	2009/10/29	47.50
15210	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jun 2009	518,000,000	2008/10/09	2009/06/22	98.42
15207	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2009A	200,000,000	2008/10/09	2009/04/29	50.00
15208	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2009B	200,000,000	2008/10/09	2009/04/29	50.00
15211	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Apr09C	200,000,000	2008/10/09	2009/04/29	50.00
15212	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Apr09D	200,000,000	2008/10/09	2009/04/29	50.00
15214	UBS AG – China Life European Warrants Apr 2009 A	500,000,000	2008/10/09	2009/04/15	150.00
15215	UBS AG – China Life European Warrants Apr 2009 B	500,000,000	2008/10/09	2009/04/15	125.00
15216	UBS AG – China Life European Warrants Sep 2009 A	500,000,000	2008/10/09	2009/09/28	125.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15217	UBS AG – China Life European Warrants Sep 2009 B	500,000,000	2008/10/09	2009/09/28	125.00
15221	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2009	100,000,000	2008/10/10	2009/06/01	73.00
15220	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jul 2009	400,000,000	2008/10/10	2009/07/02	60.00
15222	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jun 2009	100,000,000	2008/10/10	2009/06/01	95.00
15223	UBS AG – Cheung Kong European Warrants Aug 2009	500,000,000	2008/10/10	2009/08/17	125.00
15224	UBS AG – China Shenhua European Warrants May 2009 A	500,000,000	2008/10/10	2009/05/25	125.00
15225	UBS AG – Hutchison European Warrants Jul 2011	500,000,000	2008/10/10	2011/07/25	125.00
15226	UBS AG – SHK Ppt European Warrants Aug 2010	500,000,000	2008/10/10	2010/08/30	125.00
15228	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Jun 2010	200,000,000	2008/10/13	2010/06/21	50.00
15229	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Aug 2009 A	200,000,000	2008/10/13	2009/08/03	32.00
15227	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2009 B	300,000,000	2008/10/13	2009/04/29	78.00
15231	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2009 D	300,000,000	2008/10/13	2009/04/29	75.00
15230	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Mar 2009 B	200,000,000	2008/10/13	2009/03/16	30.00
15235	HK Bank – CC Bank European Warrants Jun 2009	280,000,000	2008/10/13	2009/06/05	238.00
15236	HK Bank – China COSCO European Warrants Jan 2010	280,000,000	2008/10/13	2010/01/22	70.00
15234	HK Bank – China Coal European Warrants Nov 2009	280,000,000	2008/10/13	2009/11/20	70.00
15237	HK Bank – Ch Ship Cont European Warrants Aug 2009	280,000,000	2008/10/13	2009/08/05	72.80
15232	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jun 2009 B	150,000,000	2008/10/13	2009/06/29	82.50
15233	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2009	80,000,000	2008/10/13	2009/05/04	48.00
09411#	UBS AG – Sinopec Corp European Warrants Mar 2009 C	1,000,000,000	2008/10/13	2009/03/23	42.00
13976#	UBS AG – A50 China European Warrants Jan 2009	1,000,000,000	2008/10/13	2009/01/12	28.00
15249	ABN AMRO Bank N.V. – HSI European Warrants Apr 2009 A	400,000,000	2008/10/14	2009/04/29	112.80
15253	ABN AMRO Bank N.V. – HSI European Put Warrants Apr 2009 B	400,000,000	2008/10/14	2009/04/29	104.40
10838	ABN AMRO Bank N.V. – Silver European Warrants Jun 2009	800,000,000	2008/10/14	2009/06/30	200.00
15240	Credit Suisse – China Mobile European Warrants Apr 2010 A	200,000,000	2008/10/14	2010/04/26	34.00
15239	Credit Suisse – CC Bank European Warrants Dec 2009	200,000,000	2008/10/14	2009/12/07	30.00
15241	Credit Suisse – China Life European Warrants Oct 2009 A	200,000,000	2008/10/14	2009/10/12	50.00
15242	Credit Suisse – HSBC European Wts Aug 2010	200,000,000	2008/10/14	2010/08/02	50.00
15243	Deutsche Bank AG – CNOOC European Warrants Jun 2009	300,000,000	2008/10/14	2009/06/29	45.00
15244	Deutsche Bank AG – CNOOC European Warrants Oct 2009	300,000,000	2008/10/14	2009/10/19	51.00
10839	Deutsche Bank AG – DJIA European Warrants Jun 2009 A	150,000,000	2008/10/14	2009/06/22	37.50
10844	Deutsche Bank AG – DJIA European Put Warrants Jun 2009 B	150,000,000	2008/10/14	2009/06/22	37.50
15238	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2009B	400,000,000	2008/10/14	2009/07/03	74.80
15245	UBS AG – Bank of EA European Warrants Jun 2009	500,000,000	2008/10/14	2009/06/22	125.00
15246	UBS AG – BOC HK European Warrants Jul 2009	500,000,000	2008/10/14	2009/07/27	125.00
15247	UBS AG – HS Bank European Warrants Jun 2009	500,000,000	2008/10/14	2009/06/29	125.00
15248	UBS AG – HS Bank European Warrants Sep 2009	500,000,000	2008/10/14	2009/09/28	125.00
14956#	Deutsche Bank AG – PetroChina European Warrants Mar 2009 B	300,000,000	2008/10/14	2009/03/23	15.30
14868#	Deutsche Bank AG – HSI European Warrants Mar 2009 A	300,000,000	2008/10/14	2009/03/30	18.90
14835#	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2009 E	638,000,000	2008/10/14	2009/03/30	49.13
15250	ABN AMRO Bank N.V. – PetroChina European Warrants Nov 2009 A	688,000,000	2008/10/15	2009/11/30	172.00
15258	Deutsche Bank AG – China Life European Put Wts Apr 2009 B	300,000,000	2008/10/15	2009/04/27	75.00
15295	Deutsche Bank AG – CNOOC European Warrants Dec 2009	300,000,000	2008/10/15	2009/12/28	75.00
15259	Deutsche Bank AG – Sinopec Corp European Warrants Apr 2009 A	300,000,000	2008/10/15	2009/04/20	324.00

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		發行單位	上市日期	到期日	
15294	Deutsche Bank AG – Sinopec Corp European Warrants Apr 2009 B	300,000,000	2008/10/15	2009/04/20	315.00
15289	Deutsche Bank AG – HSI European Warrants Apr 2009 C	300,000,000	2008/10/15	2009/04/29	75.00
15290	Deutsche Bank AG – HSI European Warrants Apr 2009 D	300,000,000	2008/10/15	2009/04/29	75.00
15291	Deutsche Bank AG – HSI European Warrants Apr 2009 E	300,000,000	2008/10/15	2009/04/29	75.00
15292	Deutsche Bank AG – HSI European Put Warrants Apr 2009 F	300,000,000	2008/10/15	2009/04/29	75.00
15293	Deutsche Bank AG – HSI European Put Warrants Apr 2009 G	300,000,000	2008/10/15	2009/04/29	75.00
15260	Deutsche Bank AG – PetroChina European Warrants Dec 2009 A	300,000,000	2008/10/15	2009/12/28	52.50
15296	Deutsche Bank AG – PetroChina European Warrants Dec 2009 B	300,000,000	2008/10/15	2009/12/28	75.00
15251	HK Bank – CHALCO European Warrants Dec 2010	280,000,000	2008/10/15	2010/12/16	70.00
15286	J P Morgan SP BV – China COSCO European Warrants Nov 2009	150,000,000	2008/10/15	2009/11/27	38.85
15285	J P Morgan SP BV – Cheung Kong European Warrants Dec 2009	188,000,000	2008/10/15	2009/12/30	47.19
15287	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2010	300,000,000	2008/10/15	2010/07/29	75.00
15288	J P Morgan SP BV – New World Dev European Warrants Dec 2009	180,000,000	2008/10/15	2009/12/30	45.36
15278	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Apr 2009 A	400,000,000	2008/10/15	2009/04/29	100.00
15279	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Apr 2009 B	400,000,000	2008/10/15	2009/04/29	100.00
15275	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2009 D	400,000,000	2008/10/15	2009/04/29	100.00
15276	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2009 E	400,000,000	2008/10/15	2009/04/29	100.00
15277	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2009 F	400,000,000	2008/10/15	2009/04/29	100.00
15283	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2009 G	400,000,000	2008/10/15	2009/04/29	100.00
15284	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2009 H	400,000,000	2008/10/15	2009/04/29	100.00
15252	CC Rabobank B.A. – CC Bank European Warrants Aug 2009	100,000,000	2008/10/15	2009/08/28	85.00
15254	CC Rabobank B.A. – China Railway European Wts Apr 2009	50,000,000	2008/10/15	2009/04/30	50.00
15255	CC Rabobank B.A. – Hang Seng Bank European Warrants Sep 2009	100,000,000	2008/10/15	2009/09/29	25.00
15256	CC Rabobank B.A. – Hutchison European Warrants Jul 2009 A	50,000,000	2008/10/15	2009/07/30	30.00
15257	CC Rabobank B.A. – MTRC European Warrants Jul 2009 A	100,000,000	2008/10/15	2009/07/30	35.00
15281	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 2009	800,000,000	2008/10/15	2009/07/20	123.20
15282	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Sep09A	800,000,000	2008/10/15	2009/09/21	127.20
15280	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2009B	400,000,000	2008/10/15	2009/05/11	228.00
15264	UBS AG – China Mobile European Put Warrants Jul 2009	500,000,000	2008/10/15	2009/07/27	125.00
15265	UBS AG – China Mobile European Put Warrants Dec 2009	500,000,000	2008/10/15	2009/12/21	125.00
15261	UBS AG – CC Bank European Warrants Jul 2009 A	500,000,000	2008/10/15	2009/07/27	350.00
15262	UBS AG – CM Bank European Warrants Jun 2009 A	500,000,000	2008/10/15	2009/06/29	200.00
15263	UBS AG – CM Bank European Warrants Jun 2009 B	500,000,000	2008/10/15	2009/06/29	150.00
15267	UBS AG – CNOOC European Warrants Oct 2009 B	500,000,000	2008/10/15	2009/10/27	125.00
15268	UBS AG – CNOOC European Put Warrants Jun 2009	500,000,000	2008/10/15	2009/06/22	125.00
15269	UBS AG – CNOOC European Put Warrants Nov 2009	500,000,000	2008/10/15	2009/11/30	125.00
15266	UBS AG – China Shenhua European Warrants May 2009 B	500,000,000	2008/10/15	2009/05/25	200.00
15270	UBS AG – ICBC European Warrants May 2009	500,000,000	2008/10/15	2009/05/18	375.00
15271	UBS AG – PetroChina European Warrants Jun 2009	500,000,000	2008/10/15	2009/06/22	125.00
15272	UBS AG – PetroChina European Warrants Oct 2009 B	500,000,000	2008/10/15	2009/10/27	125.00
15273	UBS AG – PetroChina European Put Warrants Jul 2009	500,000,000	2008/10/15	2009/07/27	125.00
15274	UBS AG – Ping An European Warrants Dec 2009	500,000,000	2008/10/15	2009/12/21	125.00
15316	ABN AMRO Bank N.V. – HSI European Warrants Apr 2009 C	400,000,000	2008/10/16	2009/04/29	108.80
15320	ABN AMRO Bank N.V. – HSI European Put Warrants Apr 2009 D	400,000,000	2008/10/16	2009/04/29	105.60

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		發行單位	上市日期	到期日	
15310	Deutsche Bank AG – CC Bank European Warrants Apr 2009 B	300,000,000	2008/10/16	2009/04/20	210.00
15312	Deutsche Bank AG – A50 China European Warrants Apr 2009 B	300,000,000	2008/10/16	2009/04/20	75.00
15313	Deutsche Bank AG – HSI European Warrants Apr 2009 H	300,000,000	2008/10/16	2009/04/29	75.00
15314	Deutsche Bank AG – HSI European Put Warrants Apr 2009 I	300,000,000	2008/10/16	2009/04/29	75.00
15315	Deutsche Bank AG – HSI European Put Warrants Apr 2009 J	300,000,000	2008/10/16	2009/04/29	75.00
15311	Deutsche Bank AG – ICBC European Warrants Apr 2009 B	300,000,000	2008/10/16	2009/04/20	213.00
15322	Goldman Sachs SP (Asia) – HSI European Warrants May 2009 A	200,000,000	2008/10/16	2009/05/27	50.00
15319	Goldman Sachs SP (Asia) – HSI European Put Warrants Mar 2009	200,000,000	2008/10/16	2009/03/30	40.00
15318	Goldman Sachs SP (Asia) – HSI European Put Warrants May 09C	200,000,000	2008/10/16	2009/05/27	50.00
15317	Goldman Sachs SP (Asia) – HSI European Put Warrants Jun 2009	200,000,000	2008/10/16	2009/06/29	50.00
15321	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2009 A	200,000,000	2008/10/16	2009/04/29	50.00
15323	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2009 B	200,000,000	2008/10/16	2009/04/29	32.00
15324	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2009 C	200,000,000	2008/10/16	2009/04/29	30.00
15309	Macquarie Bank Ltd. – HSI European Warrants Apr 2009 A	388,000,000	2008/10/16	2009/04/29	97.00
15302	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2009	388,000,000	2008/10/16	2009/04/29	97.39
15297	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 09K	500,000,000	2008/10/16	2009/04/29	125.00
15298	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 09L	500,000,000	2008/10/16	2009/04/29	125.00
15299	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 09M	500,000,000	2008/10/16	2009/04/29	125.00
15300	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 09N	500,000,000	2008/10/16	2009/04/29	125.00
15301	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Dec 2009	600,000,000	2008/10/16	2009/12/29	90.00
15305	UBS AG – HSI European Warrants Sep 2009	500,000,000	2008/10/16	2009/09/29	125.00
15307	UBS AG – HSI European Put Warrants Jun 2009	500,000,000	2008/10/16	2009/06/29	125.00
15308	UBS AG – HSI European Put Warrants Sep 2009	500,000,000	2008/10/16	2009/09/29	125.00
15303	UBS AG – HSI European Warrants Jun 2009 A	500,000,000	2008/10/16	2009/06/29	125.00
15304	UBS AG – HSI European Warrants Jun 2009 B	500,000,000	2008/10/16	2009/06/29	125.00
15306	UBS AG – HSI European Put Warrants May 2009 B	500,000,000	2008/10/16	2009/05/27	125.00
15325	ABN AMRO Bank N.V. – China Mobile European Wts Jun 2009 B	888,000,000	2008/10/17	2009/06/22	144.74
15352	ABN AMRO Bank N.V. – HSBC European Put Warrants Aug 2009	500,000,000	2008/10/17	2009/08/28	77.50
15331	Citigroup Global Mkt H Inc. – CC Bank European Wts Oct 2009	130,000,000	2008/10/17	2009/10/12	96.20
15332	Citigroup Global Mkt H Inc. – China Life Euro Wts Sep 2009	150,000,000	2008/10/17	2009/09/14	93.00
15333	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2009 C	180,000,000	2008/10/17	2009/10/23	30.60
15334	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Oct 2009 D	180,000,000	2008/10/17	2009/10/23	27.00
15335	Citigroup Global Mkt H Inc. – HS Bank European Wts Jul 2009	300,000,000	2008/10/17	2009/07/20	75.00
15337	Citigroup Global Mkt H Inc. – HSBC European Wts Sep 2009	300,000,000	2008/10/17	2009/09/28	75.00
15336	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Aug 2009	150,000,000	2008/10/17	2009/08/17	76.50
15339	Citigroup Global Mkt H Inc. – MTRC European Wts Oct 2009 B	200,000,000	2008/10/17	2009/10/19	70.00
15338	Citigroup Global Mkt H Inc. – Ping An Euro Wts Oct 2009	180,000,000	2008/10/17	2009/10/19	122.40
15340	Citigroup Global Mkt H Inc. – Swire Pacific A Eur Wt Aug2009	300,000,000	2008/10/17	2009/08/24	75.00
15371	Deutsche Bank AG – China Mobile European Put Wts Nov 2009	300,000,000	2008/10/17	2009/11/09	75.00
15366	Deutsche Bank AG – Cheung Kong European Warrants Dec 2009	300,000,000	2008/10/17	2009/12/14	75.00
15369	Deutsche Bank AG – Cheung Kong European Put Wts Jul 2009 B	300,000,000	2008/10/17	2009/07/20	75.00
15370	Deutsche Bank AG – China Life European Warrants Apr 2009	300,000,000	2008/10/17	2009/04/20	141.00
10842	Deutsche Bank AG – DJIA European Warrants Jun 2009 C	200,000,000	2008/10/17	2009/06/22	50.00
10846	Deutsche Bank AG – DJIA European Put Warrants Jun 2009 D	200,000,000	2008/10/17	2009/06/22	60.00

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		發行單位	上市日期	到期日	
15372	Deutsche Bank AG – HSBC European Warrants Jul 2009 C	300,000,000	2008/10/17	2009/07/13	57.00
15363	Deutsche Bank AG – HSCEI European Warrants Apr 2009 C	200,000,000	2008/10/17	2009/04/29	50.00
15367	Deutsche Bank AG – HSCEI European Put Warrants Apr 2009 D	200,000,000	2008/10/17	2009/04/29	50.00
15364	Deutsche Bank AG – HSI European Warrants Apr 2009 K	300,000,000	2008/10/17	2009/04/29	78.00
15365	Deutsche Bank AG – HSI European Warrants Apr 2009 L	300,000,000	2008/10/17	2009/04/29	75.00
15368	Deutsche Bank AG – HSI European Put Warrants Apr 2009 M	300,000,000	2008/10/17	2009/04/29	78.00
15355	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2009	200,000,000	2008/10/17	2009/12/30	50.00
15358	Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2009	100,000,000	2008/10/17	2009/08/28	68.00
15357	Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2009	100,000,000	2008/10/17	2009/09/29	58.00
15356	Goldman Sachs SP (Asia) – China Life Euro Wts Oct 2009	100,000,000	2008/10/17	2009/10/29	52.00
15353	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Oct 2009	100,000,000	2008/10/17	2009/10/29	43.00
15354	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Mar 2010	100,000,000	2008/10/17	2010/03/30	40.00
15360	Goldman Sachs SP (Asia) – HSCEI European Warrants Apr 2009	200,000,000	2008/10/17	2009/04/29	50.00
15361	Goldman Sachs SP (Asia) – HSCEI European Warrants May 2009	200,000,000	2008/10/17	2009/05/27	50.00
15362	Goldman Sachs SP (Asia) – HSCEI European Put Wts Apr 2009	200,000,000	2008/10/17	2009/04/29	50.00
15359	Goldman Sachs SP (Asia) – PetroChina European Wts Nov 2010	200,000,000	2008/10/17	2010/11/29	50.00
15345	J P Morgan SP BV – China Mob European Wts Jul 2009	300,000,000	2008/10/17	2009/07/13	52.50
15344	J P Morgan SP BV – Cathay Pac European Warrants Oct 2009	88,000,000	2008/10/17	2009/10/29	23.32
15346	J P Morgan SP BV – A50 China European Warrants Aug 2009 B	300,000,000	2008/10/17	2009/08/28	76.50
15341	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2009	100,000,000	2008/10/17	2009/06/29	49.30
15342	Macquarie Bank Ltd. – China Life European Wts Aug 2009	200,000,000	2008/10/17	2009/08/28	74.00
15343	Macquarie Bank Ltd. – PetroChina European Wts Sep 2009 A	250,000,000	2008/10/17	2009/09/29	62.50
15347	CC Rabobank B.A. – BOCL European Warrants May 2009 A	50,000,000	2008/10/17	2009/05/15	30.00
15348	CC Rabobank B.A. – Ch Mobile European Warrants Oct 2009 B	100,000,000	2008/10/17	2009/10/29	25.00
15350	CC Rabobank B.A. – A50 China European Warrants May 2009	100,000,000	2008/10/17	2009/05/27	25.00
15351	CC Rabobank B.A. – A50 China European Put Warrants Jul 2009	100,000,000	2008/10/17	2009/07/29	25.00
15349	CC Rabobank B.A. – ICBC European Warrants Aug 2009	50,000,000	2008/10/17	2009/08/28	50.00
15326	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct 2009A	400,000,000	2008/10/17	2009/10/19	60.00
15327	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 O	500,000,000	2008/10/17	2009/04/29	125.00
15328	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 P	500,000,000	2008/10/17	2009/04/29	125.00
15329	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 Q	500,000,000	2008/10/17	2009/04/29	125.00
15330	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 R	500,000,000	2008/10/17	2009/04/29	125.00
10840	SGA Societe Generale Acceptance N.V. – N225 Eu Wt Jun 2009	40,000,000	2008/10/17	2009/06/11	11.60
10845	SGA Societe Generale Acceptance N.V. – N225 Eu Put Wt Jun 09	40,000,000	2008/10/17	2009/06/11	10.00
15385	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2009	300,000,000	2008/10/20	2009/07/13	45.00
15383	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2009	200,000,000	2008/10/20	2009/04/27	80.00
15384	BNP Paribas Arbit Issu B.V. – China Life Euro Wts May 2009 B	200,000,000	2008/10/20	2009/05/11	86.00
15387	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2009 A	300,000,000	2008/10/20	2009/05/27	75.00
15391	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2009 C	300,000,000	2008/10/20	2009/05/27	81.00
15386	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2009 D	200,000,000	2008/10/20	2009/05/29	106.00
15390	Deutsche Bank AG – HSBC European Warrants Oct 2009	300,000,000	2008/10/20	2009/10/12	60.00
15388	KBC Fin Products Int'l Ltd. – HSBC European Wts Aug 2009	518,000,000	2008/10/20	2009/08/03	98.42
15389	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2009 A	608,000,000	2008/10/20	2009/09/01	99.10
15375	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2009	100,000,000	2008/10/20	2009/04/29	43.00

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		發行單位	上市日期	到期日	
15376	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2009	100,000,000	2008/10/20	2009/08/04	67.00
15377	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2009	400,000,000	2008/10/20	2009/06/01	188.00
15378	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Aug 2009	100,000,000	2008/10/20	2009/08/04	114.00
15382	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Nov 09	400,000,000	2008/10/20	2009/11/30	100.00
15381	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jan 2010 B	400,000,000	2008/10/20	2010/01/26	72.00
15379	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 09S	500,000,000	2008/10/20	2009/04/29	125.00
15380	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 09T	500,000,000	2008/10/20	2009/04/29	125.00
15374	UBS AG – CNOOC European Warrants Nov 2009	500,000,000	2008/10/20	2009/11/30	125.00
15373	UBS AG – China Shenhua European Warrants May 2009 C	500,000,000	2008/10/20	2009/05/25	125.00
15198 [#]	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2009C	600,000,000	2008/10/20	2009/10/19	85.80
15000 [#]	Credit Suisse – China Mobile European Warrants Oct 2009	300,000,000	2008/10/20	2009/10/20	52.50
15397	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Jun 2009	100,000,000	2008/10/21	2009/06/29	132.50
15392	KBC Fin Products Int'l Ltd. – CNOOC European Wts Sep 2009 B	400,000,000	2008/10/21	2009/09/10	100.00
15394	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2009 I	400,000,000	2008/10/21	2009/04/29	100.00
15395	KBC Fin Products Int'l Ltd. – HSI European Wts May 2009 A	368,000,000	2008/10/21	2009/05/27	99.36
15396	KBC Fin Products Int'l Ltd. – HSI European Wts May 2009 B	400,000,000	2008/10/21	2009/05/27	100.00
15393	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Sep 2009	400,000,000	2008/10/21	2009/09/01	100.00
15419	Deutsche Bank AG – Cheung Kong European Warrants Oct 2009	300,000,000	2008/10/22	2009/10/27	75.00
15398	Deutsche Bank AG – Sinopec Corp European Warrants Nov 2009	300,000,000	2008/10/22	2009/11/09	75.00
15399	Deutsche Bank AG – Sinopec Corp European Put Wts Dec 2009	300,000,000	2008/10/22	2009/12/07	75.00
10843	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2009 C	200,000,000	2008/10/22	2009/06/12	50.00
10847	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2009 D	200,000,000	2008/10/22	2009/06/12	52.00
15400	J P Morgan SP BV – BankComm European Warrants Dec 2009 B	388,000,000	2008/10/22	2009/12/30	98.94
15402	J P Morgan SP BV – Ch Comm Cons European Warrants Sep 2009	238,000,000	2008/10/22	2009/09/29	61.17
15401	J P Morgan SP BV – Cheung Kong European Warrants Aug 2009	300,000,000	2008/10/22	2009/08/10	55.80
15406	J P Morgan SP BV – HK Gas European Warrants Aug 2009	100,000,000	2008/10/22	2009/08/28	25.20
15403	J P Morgan SP BV – HS Bank European Warrants Sep 2009	200,000,000	2008/10/22	2009/09/11	50.20
15404	J P Morgan SP BV – HSBC European Warrants Dec 2009 A	388,000,000	2008/10/22	2009/12/15	76.05
15405	J P Morgan SP BV – JX Copper European Warrants Dec 2009	280,000,000	2008/10/22	2009/12/30	72.80
15407	J P Morgan SP BV – SHK Ppt European Warrants Dec 2009	380,000,000	2008/10/22	2009/12/30	95.38
15420	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2009 J	400,000,000	2008/10/22	2009/04/29	100.00
15421	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2009 K	400,000,000	2008/10/22	2009/04/29	100.00
15422	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2009 C	400,000,000	2008/10/22	2009/05/27	100.00
15423	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2009 D	400,000,000	2008/10/22	2009/05/27	100.00
15411	Macquarie Bank Ltd. – BOCL European Warrants Jun 2009	88,000,000	2008/10/22	2009/06/29	26.58
15414	Macquarie Bank Ltd. – China Mobile European Wts Apr 2009	388,000,000	2008/10/22	2009/04/29	61.30
15412	Macquarie Bank Ltd. – China Life European Wts Oct 2009 B	200,000,000	2008/10/22	2009/10/29	76.00
15418	Macquarie Bank Ltd. – China Life European Put Wts Oct 2009	200,000,000	2008/10/22	2009/10/29	80.20
15413	Macquarie Bank Ltd. – CM Bank European Warrants Apr 2009	200,000,000	2008/10/22	2009/04/29	55.20
15408	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2009 A	288,000,000	2008/10/22	2009/04/29	74.02
15409	Macquarie Bank Ltd. – HSCEI European Warrants Apr 2009 B	288,000,000	2008/10/22	2009/04/29	72.00
15410	Macquarie Bank Ltd. – HSCEI European Put Warrants Apr 2009	288,000,000	2008/10/22	2009/04/29	72.29
15415	Macquarie Bank Ltd. – Hutchison European Warrants Jul 2011	200,000,000	2008/10/22	2011/07/25	30.40
15416	Macquarie Bank Ltd. – Ping An European Warrants Jun 2010	200,000,000	2008/10/22	2010/06/29	32.00

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		發行單位	上市日期	到期日	
15417	Macquarie Bank Ltd. – SHK Ppt European Warrants May 2009 B	200,000,000	2008/10/22	2009/05/22	159.60
15429	Credit Suisse – BOCL European Warrants Oct 2009	400,000,000	2008/10/23	2009/10/19	172.00
15430	Credit Suisse – CC Bank European Warrants Sep 2009 B	400,000,000	2008/10/23	2009/09/28	256.00
15431	Credit Suisse – China Life European Warrants Jul 2009	400,000,000	2008/10/23	2009/07/20	184.00
15432	Credit Suisse – China Life European Warrants Oct 2009 B	400,000,000	2008/10/23	2009/10/12	172.00
15433	Credit Suisse – China Mobile European Warrants Apr 2010 B	500,000,000	2008/10/23	2010/04/19	125.00
15428	Credit Suisse – ICBC European Warrants Oct 2010	500,000,000	2008/10/23	2010/10/04	125.00
15426	Credit Suisse – PetroChina European Warrants Dec 2009	500,000,000	2008/10/23	2009/12/30	75.00
15427	Credit Suisse – PetroChina European Warrants Sep 2010	500,000,000	2008/10/23	2010/09/06	125.00
15424	SGA Societe Generale Acceptance N.V. – CNOOC Eu Put Wt Jul09	400,000,000	2008/10/23	2009/07/13	100.00
15425	SGA Societe Generale Acceptance N.V. – Petch Eu Put Wt Oct09	400,000,000	2008/10/23	2009/10/15	100.00
14662#	UBS AG – A50 China European Put Warrants Jun 2009	1,000,000,000	2008/10/23	2009/06/22	440.00
15440	BNP Paribas Arbit Issu B.V. – China Life Euro Wts May 2009 C	200,000,000	2008/10/24	2009/05/25	86.00
15441	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2009	200,000,000	2008/10/24	2009/08/10	52.00
15442	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jun 2009	200,000,000	2008/10/24	2009/06/15	30.00
15443	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Oct 2009	200,000,000	2008/10/24	2009/10/19	30.00
15434	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2009 B	188,000,000	2008/10/24	2009/07/14	110.92
15438	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Jul 2009	268,000,000	2008/10/24	2009/07/02	75.04
15435	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jun 2009 C	538,000,000	2008/10/24	2009/06/15	96.84
15436	KBC Fin Products Int'l Ltd. – CNOOC European Wts Oct 2009	400,000,000	2008/10/24	2009/10/02	100.00
15444	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Dec 2009	400,000,000	2008/10/24	2009/12/15	100.00
15445	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Oct 09B	400,000,000	2008/10/24	2009/10/23	100.00
15447	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Sep 2009	400,000,000	2008/10/24	2009/09/01	100.00
15446	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jul 2009 D	338,000,000	2008/10/24	2009/07/02	98.02
15448	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts May 2009	400,000,000	2008/10/24	2009/05/27	100.00
15437	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Nov 2009 B	400,000,000	2008/10/24	2009/11/02	100.00
15439	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jun 2009B	600,000,000	2008/10/24	2009/06/15	100.20
15455	Deutsche Bank AG – HSI European Put Warrants May 2009 A	300,000,000	2008/10/27	2009/05/27	75.00
10848	Deutsche Bank AG – Nasdaq-100 Index European Wts Jun 2009	200,000,000	2008/10/27	2009/06/22	50.00
10852	Deutsche Bank AG – Nasdaq-100 Index Euro Put Wts Jun 2009	200,000,000	2008/10/27	2009/06/22	50.00
15464	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2009 A	238,000,000	2008/10/27	2009/06/01	99.96
15465	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2009 C	288,000,000	2008/10/27	2009/07/02	97.92
15466	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Nov 2009	400,000,000	2008/10/27	2009/11/23	100.00
15449	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2009 B	100,000,000	2008/10/27	2009/09/21	74.00
15450	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Sep 2009 C	100,000,000	2008/10/27	2009/09/07	59.00
15451	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2009 A	180,000,000	2008/10/27	2009/10/27	35.28
15452	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2009 B	180,000,000	2008/10/27	2009/10/21	29.16
15454	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Aug 2009	180,000,000	2008/10/27	2009/08/17	45.00
15453	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Jul 2009	180,000,000	2008/10/27	2009/07/08	34.38
15456	CC Rabobank B.A. – CC Bank European Warrants Oct 2009	50,000,000	2008/10/27	2009/10/29	42.50
15457	CC Rabobank B.A. – Sinopec Corp European Warrants Dec 2009	210,000,000	2008/10/27	2009/12/30	52.50
15458	CC Rabobank B.A. – HKEx European Warrants Oct 2009	160,000,000	2008/10/27	2009/10/30	40.00
15459	CC Rabobank B.A. – HKEx European Warrants Dec 2009	160,000,000	2008/10/27	2009/12/30	40.00
15461	CC Rabobank B.A. – HSBC European Put Warrants Sep 2009	160,000,000	2008/10/27	2009/09/29	48.00

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		發行單位	上市日期	到期日	
15460	CC Rabobank B.A. – HSBC European Warrants Oct 2009 B	160,000,000	2008/10/27	2009/10/30	40.00
15462	CC Rabobank B.A. – PetroChina European Warrants Nov 2009	280,000,000	2008/10/27	2009/11/27	70.00
15463	CC Rabobank B.A. – PetroChina European Warrants Jan 2010	280,000,000	2008/10/27	2010/01/29	70.00
15467	Deutsche Bank AG – CNOOC European Put Warrants Aug 2009	200,000,000	2008/10/28	2009/08/03	50.00
15468	Deutsche Bank AG – PetroChina European Put Wts Jul 2009 B	200,000,000	2008/10/28	2009/07/28	50.00
15470	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Jul2009	180,000,000	2008/10/28	2009/07/27	39.06
15469	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Jun 2009	100,000,000	2008/10/28	2009/06/22	74.00
15471	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jul 2009 B	180,000,000	2008/10/28	2009/07/27	39.06
15472	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wts Dec 2009	180,000,000	2008/10/28	2009/12/07	48.60
01427#	UBS AG – BOCL European Warrants Dec 2010 A	3,000,000,000	2008/10/28	2010/12/13	54.00
15473	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Apr 2009	200,000,000	2008/10/29	2009/04/29	52.00
15477	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Apr 2009	200,000,000	2008/10/29	2009/04/29	52.00
15474	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2009 E	300,000,000	2008/10/29	2009/04/29	78.00
15478	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2009 F	300,000,000	2008/10/29	2009/04/29	78.00
15486	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Aug 2009	200,000,000	2008/10/29	2009/08/28	50.00
15476	HK Bank – HSCEI European Warrants Jun 2009 I	1,000,000,000	2008/10/29	2009/06/29	250.00
15479	HK Bank – HSI European Warrants Jun 2009 M	1,000,000,000	2008/10/29	2009/06/29	250.00
15480	HK Bank – HSI European Warrants Jun 2009 N	1,000,000,000	2008/10/29	2009/06/29	250.00
15482	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts May 2009	400,000,000	2008/10/29	2009/05/11	100.00
15483	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts May 2009	238,000,000	2008/10/29	2009/05/15	95.20
15481	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2009	218,000,000	2008/10/29	2009/05/04	102.46
15485	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts May 2009	218,000,000	2008/10/29	2009/05/08	98.10
15484	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Aug 2009	400,000,000	2008/10/29	2009/08/03	100.00
15475	Macquarie Bank Ltd. – HSI European Warrants Apr 2009 B	288,000,000	2008/10/29	2009/04/29	72.00
14954#	Deutsche Bank AG – China Mobile European Warrants Apr 2009	300,000,000	2008/10/29	2009/04/29	28.50
15289#	Deutsche Bank AG – HSI European Warrants Apr 2009 C	300,000,000	2008/10/29	2009/04/29	48.60
15079#	UBS AG – CNOOC European Warrants Oct 2009 A	1,000,000,000	2008/10/29	2009/10/19	68.00
15515	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2009	200,000,000	2008/10/30	2009/11/09	50.00
15516	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Dec 2009	200,000,000	2008/10/30	2009/12/22	48.00
15514	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Jun 2009	200,000,000	2008/10/30	2009/06/29	50.00
15518	BNP Paribas Arbit Issu B.V. – HSCEI Euro Put Wts Jun 2009	200,000,000	2008/10/30	2009/06/29	52.00
15513	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2009 C	300,000,000	2008/10/30	2009/06/29	78.00
15517	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2009 A	300,000,000	2008/10/30	2009/06/29	78.00
15496	Deutsche Bank AG – HSI European Warrants May 2009 D	300,000,000	2008/10/30	2009/05/27	75.00
15500	Deutsche Bank AG – HSI European Put Warrants May 2009 B	300,000,000	2008/10/30	2009/05/27	75.00
15501	Deutsche Bank AG – HSI European Put Warrants May 2009 C	300,000,000	2008/10/30	2009/05/27	75.00
15519	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2009	100,000,000	2008/10/30	2009/07/30	81.00
15520	Goldman Sachs SP (Asia) – CC Bank European Wts Dec 2009	100,000,000	2008/10/30	2009/12/30	76.00
15521	Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2009	300,000,000	2008/10/30	2009/03/30	45.00
15488	HK Bank – BOCL European Warrants Sep 2009	280,000,000	2008/10/30	2009/09/14	92.40
15489	HK Bank – CC Bank European Warrants May 2009	280,000,000	2008/10/30	2009/05/08	154.00
15490	HK Bank – China Life European Warrants May 2009 B	280,000,000	2008/10/30	2009/05/08	106.40
15493	HK Bank – CNOOC European Warrants Dec 2010	280,000,000	2008/10/30	2010/12/14	70.00
15491	HK Bank – Sinopec Corp European Warrants Dec 2010	280,000,000	2008/10/30	2010/12/14	70.00

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		發行單位	上市日期	到期日	
15492	HK Bank – China Shenhua European Warrants Aug 2009	280,000,000	2008/10/30	2009/08/14	95.20
15494	HK Bank – ICBC European Warrants May 2009 B	280,000,000	2008/10/30	2009/05/08	182.00
15495	Macquarie Bank Ltd. – HSI European Warrants May 2009	288,000,000	2008/10/30	2009/05/27	72.00
15499	Macquarie Bank Ltd. – HSI European Put Warrants May 2009 A	288,000,000	2008/10/30	2009/05/27	72.00
15487	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Nov 2009	180,000,000	2008/10/30	2009/11/03	27.54
15508	UBS AG – Bank of EA European Warrants May 2009	500,000,000	2008/10/30	2009/05/25	135.00
15509	UBS AG – CC Bank European Warrants Jul 2009 B	500,000,000	2008/10/30	2009/07/20	300.00
15510	UBS AG – CM Bank European Warrants Jun 2009 C	500,000,000	2008/10/30	2009/06/29	125.00
15502	UBS AG – A50 China European Warrants Aug 2009 B	500,000,000	2008/10/30	2009/08/31	125.00
15503	UBS AG – A50 China European Warrants Aug 2009 C	500,000,000	2008/10/30	2009/08/31	125.00
15497	UBS AG – A50 China European Put Warrants Aug 2009	500,000,000	2008/10/30	2009/08/31	125.00
15505	UBS AG – HKEx European Warrants Jul 2009 B	500,000,000	2008/10/30	2009/07/27	125.00
15504	UBS AG – Hutchison European Warrants Jul 2010	500,000,000	2008/10/30	2010/07/26	125.00
15511	UBS AG – ICBC European Warrants Jul 2009 A	500,000,000	2008/10/30	2009/07/27	350.00
15512	UBS AG – Jiangxi Copper European Warrants Nov 2009	500,000,000	2008/10/30	2009/11/30	125.00
15506	UBS AG – Ping An European Warrants Jun 2009 A	500,000,000	2008/10/30	2009/06/29	350.00
15507	UBS AG – Ping An European Warrants Jun 2009 B	500,000,000	2008/10/30	2009/06/29	300.00
15498	UBS AG – Ping An European Put Warrants Jun 2009 D	500,000,000	2008/10/30	2009/06/29	250.00
15365 [#]	Deutsche Bank AG – HSI European Warrants Apr 2009 L	300,000,000	2008/10/30	2009/04/29	39.90
14723 [#]	UBS AG – CM Bank European Warrants Mar 2009	1,000,000,000	2008/10/30	2009/03/16	21.00
15522	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2009 B	400,000,000	2008/10/31	2009/05/27	60.00
15526	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2009 D	400,000,000	2008/10/31	2009/05/27	100.00
15539	Deutsche Bank AG – China Mobile European Warrants Dec 2009	300,000,000	2008/10/31	2009/12/30	54.00
15540	Deutsche Bank AG – Sinopec Corp European Warrants Dec 2009	300,000,000	2008/10/31	2009/12/15	45.00
15541	Deutsche Bank AG – HSBC European Warrants Dec 2009	300,000,000	2008/10/31	2009/12/21	75.00
15542	Deutsche Bank AG – HSI European Warrants Jun 2009 A	300,000,000	2008/10/31	2009/06/29	75.00
15546	Deutsche Bank AG – HSI European Put Warrants Jun 2009 B	300,000,000	2008/10/31	2009/06/29	78.00
15525	HK Bank – China COSCO European Warrants Oct 2012	280,000,000	2008/10/31	2012/10/10	70.00
15524	HK Bank – China Coal European Warrants Nov 2011	280,000,000	2008/10/31	2011/11/11	70.00
15523	HK Bank – CITIC Bank European Warrants Jul 2009	280,000,000	2008/10/31	2009/07/16	92.40
15527	HK Bank – CM Bank European Warrants Jul 2009	280,000,000	2008/10/31	2009/07/16	75.60
15528	HK Bank – Esprit European Warrants Jul 2009	280,000,000	2008/10/31	2009/07/16	140.00
15553	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2009	338,000,000	2008/10/31	2009/06/05	98.02
15554	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2009 A	400,000,000	2008/10/31	2009/07/02	224.00
15555	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2009 B	238,000,000	2008/10/31	2009/06/18	97.58
15556	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Aug 2009 B	400,000,000	2008/10/31	2009/08/28	100.00
15538	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts May 2009	400,000,000	2008/10/31	2009/05/27	100.00
15544	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2009	400,000,000	2008/10/31	2009/06/29	100.00
15545	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2009 E	400,000,000	2008/10/31	2009/05/27	100.00
15557	KBC Fin Products Int'l Ltd. – ICBC European Wts Jul 2009 A	165,000,000	2008/10/31	2009/07/02	99.00
15529	Macquarie Bank Ltd. – HSCEI European Warrants May 2009	288,000,000	2008/10/31	2009/05/27	72.00
15543	Macquarie Bank Ltd. – HSCEI European Put Warrants May 2009	288,000,000	2008/10/31	2009/05/27	72.00
15530	UBS AG – Angang Steel European Warrants Jun 2009	500,000,000	2008/10/31	2009/06/29	250.00
15533	UBS AG – Ch Merchants European Warrants Jun 2009	500,000,000	2008/10/31	2009/06/29	125.00

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		發行單位	上市日期	到期日	
15534	UBS AG – China Overseas European Warrants Jul 2009	500,000,000	2008/10/31	2009/07/27	125.00
15535	UBS AG – China Unicom European Warrants Jun 2009	500,000,000	2008/10/31	2009/06/29	125.00
15531	UBS AG – China Coal European Warrants Nov 2009	500,000,000	2008/10/31	2009/11/30	125.00
15536	UBS AG – Foxconn European Warrants Jun 2009	500,000,000	2008/10/31	2009/06/29	250.00
15547	UBS AG – HSBC European Warrants Dec 2010 A	500,000,000	2008/10/31	2010/12/13	75.00
15550	UBS AG – HSBC European Warrants Dec 2011	500,000,000	2008/10/31	2011/12/19	125.00
15551	UBS AG – HSBC European Put Warrants Sep 2009	500,000,000	2008/10/31	2009/09/28	125.00
15552	UBS AG – HSBC European Put Warrants Dec 2009 A	500,000,000	2008/10/31	2009/12/21	125.00
15548	UBS AG – HSBC European Warrants Sep 2011 A	500,000,000	2008/10/31	2011/09/26	125.00
15549	UBS AG – HSBC European Warrants Sep 2011 B	500,000,000	2008/10/31	2011/09/26	125.00
15532	UBS AG – China Mengniu European Warrants Oct 2009	500,000,000	2008/10/31	2009/10/27	125.00
15537	UBS AG – Shui On Land European Warrants Jun 2009	500,000,000	2008/10/31	2009/06/29	225.00
15567	ABN AMRO Bank N.V. – HSBC European Warrants Sep 2009	500,000,000	2008/11/03	2009/09/29	125.00
15568	J P Morgan SP BV – HS Bank European Warrants Jul 2009	188,000,000	2008/11/03	2009/07/27	47.38
15569	J P Morgan SP BV – HSBC European Warrants Sep 2009	300,000,000	2008/11/03	2009/09/29	77.40
15570	J P Morgan SP BV – HSBC European Warrants Dec 2009 B	300,000,000	2008/11/03	2009/12/30	75.90
15560	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Jul 2009 E	400,000,000	2008/11/03	2009/07/20	100.00
15558	KBC Fin Products Int'l Ltd. – HSI European Wts May 2009 F	400,000,000	2008/11/03	2009/05/27	100.00
15559	KBC Fin Products Int'l Ltd. – HSI European Wts May 2009 G	400,000,000	2008/11/03	2009/05/27	100.00
15562	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2009 H	400,000,000	2008/11/03	2009/05/27	100.00
15364 [#]	Deutsche Bank AG – HSI European Warrants Apr 2009 K	300,000,000	2008/11/03	2009/04/29	48.00
15174 [#]	HK Bank – HSI European Put Warrants Jun 2009 J	500,000,000	2008/11/03	2009/06/29	290.00
15561	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Sep 2009 B	800,000,000	2008/11/04	2009/09/29	200.00
15606	ABN AMRO Bank N.V. – HSI European Warrants May 2009	250,000,000	2008/11/04	2009/05/27	73.50
15607	BNP Paribas Arbit Issu B.V. – BOCL European Wts May 2009	100,000,000	2008/11/04	2009/05/25	25.00
15608	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2009 B	100,000,000	2008/11/04	2009/06/08	34.00
15609	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Aug 2009 B	200,000,000	2008/11/04	2009/08/25	50.00
15612	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2009 E	100,000,000	2008/11/04	2009/05/25	55.00
15610	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Nov 2009	200,000,000	2008/11/04	2009/11/23	30.00
15611	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Jan 2010	200,000,000	2008/11/04	2010/01/29	38.00
15574	Deutsche Bank AG – HSI European Warrants May 2009 E	300,000,000	2008/11/04	2009/05/27	75.00
15575	Deutsche Bank AG – HSI European Warrants May 2009 F	300,000,000	2008/11/04	2009/05/27	75.00
15576	Deutsche Bank AG – HSI European Warrants Jun 2009 C	300,000,000	2008/11/04	2009/06/29	75.00
15578	Deutsche Bank AG – HSI European Put Warrants May 2009 G	300,000,000	2008/11/04	2009/05/27	75.00
15579	Deutsche Bank AG – HSI European Put Warrants Jun 2009 D	300,000,000	2008/11/04	2009/06/29	75.00
15613	Goldman Sachs SP (Asia) – HSI European Warrants May 2009 B	200,000,000	2008/11/04	2009/05/27	50.00
15565	SGA Societe Generale Acceptance N.V. – CTel Eu Wt May 2009	150,000,000	2008/11/04	2009/05/25	57.00
15563	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2009	150,000,000	2008/11/04	2009/12/28	72.75
15564	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2009C	400,000,000	2008/11/04	2009/05/25	160.00
15572	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct09A	300,000,000	2008/11/04	2009/10/08	75.00
15571	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Oct 09	800,000,000	2008/11/04	2009/10/05	200.00
15566	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Dec 2009 B	800,000,000	2008/11/04	2009/12/28	200.00
15573	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jan 2010	400,000,000	2008/11/04	2010/01/25	60.00
15577	UBS AG – BOCL European Warrants Aug 2009	500,000,000	2008/11/04	2009/08/31	125.00

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		發行單位	上市日期	到期日	
15585	UBS AG – China Mobile European Warrants Jul 2010	500,000,000	2008/11/04	2010/07/26	125.00
15586	UBS AG – China Mobile European Warrants Jul 2011	500,000,000	2008/11/04	2011/07/25	125.00
15590	UBS AG – China Mobile European Put Warrants Jul 2010	500,000,000	2008/11/04	2010/07/26	125.00
15588	UBS AG – China Tel European Warrants Jun 2009	500,000,000	2008/11/04	2009/06/22	125.00
15581	UBS AG – CC Bank European Warrants Jul 2009 C	500,000,000	2008/11/04	2009/07/27	125.00
15580	UBS AG – Cheung Kong European Put Warrants Dec 2009 B	500,000,000	2008/11/04	2009/12/21	125.00
15582	UBS AG – China Life European Warrants Jul 2009	500,000,000	2008/11/04	2009/07/27	200.00
15583	UBS AG – China Life European Warrants Sep 2009 C	500,000,000	2008/11/04	2009/09/28	125.00
15584	UBS AG – CM Bank European Warrants Aug 2009	500,000,000	2008/11/04	2009/08/31	125.00
15589	UBS AG – CNOOC European Warrants Nov 2012	500,000,000	2008/11/04	2012/11/26	125.00
15587	UBS AG – China Shenhua European Warrants Aug 2009	500,000,000	2008/11/04	2009/08/31	125.00
15603	UBS AG – A50 China European Warrants Jul 2009 B	500,000,000	2008/11/04	2009/07/27	125.00
15604	UBS AG – A50 China European Warrants Oct 2009	500,000,000	2008/11/04	2009/10/27	125.00
15592	UBS AG – HKEx European Warrants Dec 2010	500,000,000	2008/11/04	2010/12/20	125.00
15593	UBS AG – HKEx European Warrants Nov 2011	500,000,000	2008/11/04	2011/11/28	125.00
15599	UBS AG – HKEx European Put Warrants Dec 2009	500,000,000	2008/11/04	2009/12/21	125.00
15591	UBS AG – HS Bank European Put Warrants Oct 2009	500,000,000	2008/11/04	2009/10/27	125.00
15594	UBS AG – HSBC European Warrants Dec 2010 B	500,000,000	2008/11/04	2010/12/13	125.00
15600	UBS AG – HSBC European Put Warrants Dec 2009 B	500,000,000	2008/11/04	2009/12/21	125.00
15595	UBS AG – Hutchison European Warrants Jun 2009	500,000,000	2008/11/04	2009/06/22	125.00
15596	UBS AG – ICBC European Warrants Jul 2009 B	500,000,000	2008/11/04	2009/07/27	150.00
15597	UBS AG – PetroChina European Warrants Jun 2011	500,000,000	2008/11/04	2011/06/27	125.00
15598	UBS AG – PetroChina European Warrants Nov 2012	500,000,000	2008/11/04	2012/11/26	125.00
15601	UBS AG – Ping An European Warrants Jun 2009 C	500,000,000	2008/11/04	2009/06/29	175.00
15605	UBS AG – Ping An European Put Warrants Jun 2009 E	500,000,000	2008/11/04	2009/06/29	200.00
15602	UBS AG – SHK Ppt European Put Warrants Aug 2009	500,000,000	2008/11/04	2009/08/31	125.00
15329#	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2009 Q	500,000,000	2008/11/04	2009/04/29	90.00
15637	Deutsche Bank AG – HSI European Warrants May 2009 H	300,000,000	2008/11/05	2009/05/27	75.00
15638	Deutsche Bank AG – HSI European Warrants Jun 2009 E	300,000,000	2008/11/05	2009/06/29	75.00
15639	Deutsche Bank AG – HSI European Warrants Jun 2009 F	300,000,000	2008/11/05	2009/06/29	75.00
15640	Deutsche Bank AG – HSI European Put Warrants May 2009 I	300,000,000	2008/11/05	2009/05/27	75.00
15649	Goldman Sachs SP (Asia) – A50 China European Wts Sep 2009	200,000,000	2008/11/05	2009/09/29	50.00
15626	HK Bank – HSI European Warrants Sep 2009 A	1,000,000,000	2008/11/05	2009/09/29	250.00
15627	HK Bank – HSI European Warrants Sep 2009 B	1,000,000,000	2008/11/05	2009/09/29	250.00
15630	HK Bank – HSI European Put Warrants Sep 2009 C	1,000,000,000	2008/11/05	2009/09/29	250.00
15631	HK Bank – HSI European Put Warrants Sep 2009 D	1,000,000,000	2008/11/05	2009/09/29	250.00
15650	KBC Fin Products Int'l Ltd. – HSBC European Wts Jul 2009 F	400,000,000	2008/11/05	2009/07/02	100.00
15651	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2009 B	308,000,000	2008/11/05	2009/09/01	98.56
15648	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2009 A	400,000,000	2008/11/05	2009/06/29	100.00
15653	KBC Fin Products Int'l Ltd. – HSI European Wts May 2009 I	400,000,000	2008/11/05	2009/05/27	100.00
15652	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Jan 2010	548,000,000	2008/11/05	2010/01/22	98.64
15643	Macquarie Bank Ltd. – China Mobile Euro Put Wts Dec 2009	200,000,000	2008/11/05	2009/12/30	50.00
15645	Macquarie Bank Ltd. – CM Bank European Warrants Jul 2009	200,000,000	2008/11/05	2009/07/30	50.40
15646	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2009 B	200,000,000	2008/11/05	2009/06/29	257.80

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		發行單位	上市日期	到期日	
15641	Macquarie Bank Ltd. – HSBC European Warrants Jan 2010	200,000,000	2008/11/05	2010/01/28	50.20
15644	Macquarie Bank Ltd. – HSBC European Put Warrants Jan 2010	200,000,000	2008/11/05	2010/01/28	52.00
15642	Macquarie Bank Ltd. – ICBC European Warrants Jul 2009	200,000,000	2008/11/05	2009/07/30	121.00
15647	Macquarie Bank Ltd. – PetroChina European Wts Dec 2009	250,000,000	2008/11/05	2009/12/30	63.00
15628	CC Rabobank B.A. – Cheung Kong European Warrants Dec 2009 A	168,000,000	2008/11/05	2009/12/04	42.00
15636	CC Rabobank B.A. – A50 China European Warrants Oct 2009	150,000,000	2008/11/05	2009/10/23	37.50
15629	CC Rabobank B.A. – HKEx European Warrants Mar 2010	168,000,000	2008/11/05	2010/03/12	42.00
15632	CC Rabobank B.A. – HSBC European Warrants May 2009	200,000,000	2008/11/05	2009/05/15	240.00
15633	CC Rabobank B.A. – HSBC European Warrants Jan 2010	200,000,000	2008/11/05	2010/01/21	50.00
15634	CC Rabobank B.A. – Hutchison European Warrants Aug 2009	30,000,000	2008/11/05	2009/08/28	27.00
15635	CC Rabobank B.A. – SHK Ppt European Warrants Jun 2010	180,000,000	2008/11/05	2010/06/10	45.00
15614	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt May 2009	100,000,000	2008/11/05	2009/05/18	50.00
15616	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 09A	800,000,000	2008/11/05	2009/10/27	144.00
15615	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Dec 2009	500,000,000	2008/11/05	2009/12/14	172.50
15617	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt May09B	80,000,000	2008/11/05	2009/05/25	88.00
15618	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Dec 09 B	500,000,000	2008/11/05	2009/12/14	75.00
15619	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2009 A	500,000,000	2008/11/05	2009/05/27	130.00
15620	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2009 B	500,000,000	2008/11/05	2009/05/27	130.00
15621	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2009 C	500,000,000	2008/11/05	2009/05/27	130.00
15623	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 09D	500,000,000	2008/11/05	2009/05/27	130.00
15624	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 09E	500,000,000	2008/11/05	2009/05/27	125.00
15625	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 09F	500,000,000	2008/11/05	2009/05/27	130.00
15622	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2010	500,000,000	2008/11/05	2010/09/27	75.00
15661	BOCI Asia Ltd. – China Mobile European Warrants Jun 2009	150,000,000	2008/11/06	2009/06/29	22.50
15660	BOCI Asia Ltd. – China Life European Warrants May 2009	150,000,000	2008/11/06	2009/05/11	75.00
15659	BOCI Asia Ltd. – HSI European Warrants Jun 2009 B	150,000,000	2008/11/06	2009/06/29	37.50
15662	BOCI Asia Ltd. – PetroChina European Warrants Dec 2009	150,000,000	2008/11/06	2009/12/28	22.50
15665	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Aug 2009 C	368,000,000	2008/11/06	2009/08/10	99.36
15664	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2009 D	138,000,000	2008/11/06	2009/07/02	97.98
15666	KBC Fin Products Int'l Ltd. – CNOOC European Wts Sep 2009 C	400,000,000	2008/11/06	2009/09/09	100.00
15667	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2009	400,000,000	2008/11/06	2009/09/01	100.00
15668	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Oct 2009	328,000,000	2008/11/06	2009/10/02	98.40
15663	Macquarie Bank Ltd. – HSI European Put Warrants May 2009 B	200,000,000	2008/11/06	2009/05/27	50.00
15654	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Sep 09	180,000,000	2008/11/06	2009/09/28	45.00
15657	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Oct 2009	180,000,000	2008/11/06	2009/10/12	45.00
15658	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Sep 2009	180,000,000	2008/11/06	2009/09/08	45.00
15655	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Sep 2009	180,000,000	2008/11/06	2009/09/28	47.70
15656	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Aug 2009 B	180,000,000	2008/11/06	2009/08/03	45.00
15499#	Macquarie Bank Ltd. – HSI European Put Warrants May 2009 A	388,000,000	2008/11/06	2009/05/27	84.58
15391#	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2009 C	300,000,000	2008/11/06	2009/05/27	60.60
15669	BOCI Asia Ltd. – BOCL European Warrants Jul 2009	150,000,000	2008/11/07	2009/07/06	75.00
15670	BOCI Asia Ltd. – HSBC European Warrants Dec 2009	150,000,000	2008/11/07	2009/12/30	37.50
15671	BOCI Asia Ltd. – Tracker Fund European Warrants May 2009	150,000,000	2008/11/07	2009/05/07	37.50
15693	Deutsche Bank AG – HSI European Warrants May 2009 J	300,000,000	2008/11/07	2009/05/27	78.00

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		發行單位	上市日期	到期日	
15694	Deutsche Bank AG – HSI European Warrants Jun 2009 G	300,000,000	2008/11/07	2009/06/29	78.00
15698	Deutsche Bank AG – HSI European Put Warrants Jun 2009 H	300,000,000	2008/11/07	2009/06/29	75.00
10849	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2009 E	100,000,000	2008/11/07	2009/06/12	30.00
10853	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2009 F	100,000,000	2008/11/07	2009/06/12	25.00
15689	J P Morgan SP BV – CM Bank European Warrants May 2009	200,000,000	2008/11/07	2009/05/11	65.00
15690	J P Morgan SP BV – China Shenhua European Warrants May 2009	150,000,000	2008/11/07	2009/05/11	39.60
15691	J P Morgan SP BV – A50 China European Warrants Aug 2009 C	200,000,000	2008/11/07	2009/08/24	55.00
15692	J P Morgan SP BV – PetroChina European Warrants Nov 2009 B	300,000,000	2008/11/07	2009/11/16	79.20
15672	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jun 2009	200,000,000	2008/11/07	2009/06/29	55.80
15673	Macquarie Bank Ltd. – PetroChina European Wts Sep 2009 B	250,000,000	2008/11/07	2009/09/29	62.50
15677	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jul 2009	150,000,000	2008/11/07	2009/07/27	85.50
15674	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2009A	400,000,000	2008/11/07	2009/07/06	260.00
15678	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Dec 2009	500,000,000	2008/11/07	2009/12/21	100.00
15675	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Oct2009	300,000,000	2008/11/07	2009/10/27	141.00
15676	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jun 2009 C	150,000,000	2008/11/07	2009/06/29	99.00
15679	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 09 B	300,000,000	2008/11/07	2009/06/15	75.00
15680	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Aug 2009	300,000,000	2008/11/07	2009/08/17	75.00
15681	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 09 B	300,000,000	2008/11/07	2009/10/06	75.00
15683	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2009A	200,000,000	2008/11/07	2009/05/27	50.00
15684	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2009B	200,000,000	2008/11/07	2009/05/27	50.00
15685	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2009C	200,000,000	2008/11/07	2009/05/27	50.00
15686	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt May09D	200,000,000	2008/11/07	2009/05/27	50.00
15687	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt May09E	200,000,000	2008/11/07	2009/05/27	50.00
15688	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt May09F	200,000,000	2008/11/07	2009/05/27	50.00
15682	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt May2009	100,000,000	2008/11/07	2009/05/14	92.00
15695	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Aug 2009	100,000,000	2008/11/10	2009/08/03	45.00
15696	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jul 2009	300,000,000	2008/11/10	2009/07/27	234.00
15697	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 2009B	400,000,000	2008/11/10	2009/07/27	184.00
15700	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2009	500,000,000	2008/11/10	2009/08/10	75.00
15701	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Oct 2009B	500,000,000	2008/11/10	2009/10/20	105.00
15699	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jul 2009	100,000,000	2008/11/10	2009/07/06	128.00
15702	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jul 2009	100,000,000	2008/11/10	2009/07/06	94.00
15703	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Aug 2009	100,000,000	2008/11/10	2009/08/04	130.00
15704	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Nov 2009B	600,000,000	2008/11/10	2009/11/23	102.00
15455#	Deutsche Bank AG – HSI European Put Warrants May 2009 A	300,000,000	2008/11/10	2009/05/27	60.60
15711	Credit Suisse – China Mobile European Put Warrants Nov 2009A	300,000,000	2008/11/11	2009/11/23	75.00
15710	Credit Suisse – CC Bank European Warrants Oct 2010	300,000,000	2008/11/11	2010/10/25	75.00
15708	Credit Suisse – A50 China European Warrants Nov 2009	300,000,000	2008/11/11	2009/11/04	75.00
15709	Credit Suisse – A50 China European Warrants Mar 2010	300,000,000	2008/11/11	2010/03/19	75.00
15712	Credit Suisse – HSBC European Put Warrants Oct 2009	300,000,000	2008/11/11	2009/10/05	60.60
15705	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Dec 2009	180,000,000	2008/11/11	2009/12/14	113.40
15706	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Dec 2009	180,000,000	2008/11/11	2009/12/28	30.06
15707	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Oct 2009	180,000,000	2008/11/11	2009/10/12	51.30
15500#	Deutsche Bank AG – HSI European Put Warrants May 2009 B	300,000,000	2008/11/11	2009/05/27	50.70

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		發行單位	上市日期	到期日	
15716	Citigroup Global Mkt H Inc. – BOCL European Wts Jan 2010	150,000,000	2008/11/12	2010/01/18	45.00
15722	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2010	150,000,000	2008/11/12	2010/02/22	37.50
15719	Citigroup Global Mkt H Inc. – CC Bank European Wts Feb 2010A	130,000,000	2008/11/12	2010/02/01	114.40
15720	Citigroup Global Mkt H Inc. – CC Bank European Wts Feb 2010B	130,000,000	2008/11/12	2010/02/01	52.00
15718	Citigroup Global Mkt H Inc. – Ch Comm Cons Euro Wts Mar 2010	180,000,000	2008/11/12	2010/03/08	45.00
15717	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts Feb 2010	180,000,000	2008/11/12	2010/02/08	45.00
15721	Citigroup Global Mkt H Inc. – China Life Euro Wts Jan 2010	130,000,000	2008/11/12	2010/01/11	52.00
15723	Citigroup Global Mkt H Inc. – HS Bank European Wts Jan 2010	180,000,000	2008/11/12	2010/01/18	45.00
15724	Citigroup Global Mkt H Inc. – HSBC European Wts Feb 2010	180,000,000	2008/11/12	2010/02/08	45.00
15725	Citigroup Global Mkt H Inc. – HSBC European Put Wts Jul 2009	180,000,000	2008/11/12	2009/07/27	45.00
15726	CC Rabobank B.A. – BOCL European Warrants May 2009 B	50,000,000	2008/11/12	2009/05/25	21.00
15735	CC Rabobank B.A. – Ch Mobile European Warrants Dec 2009	230,000,000	2008/11/12	2009/12/30	57.50
15727	CC Rabobank B.A. – CC Bank European Warrants May 2009	40,000,000	2008/11/12	2009/05/25	36.80
15734	CC Rabobank B.A. – Cheung Kong European Warrants Dec 2009 B	138,000,000	2008/11/12	2009/12/30	35.88
15728	CC Rabobank B.A. – China Life European Warrants May 2009	52,000,000	2008/11/12	2009/05/25	25.48
15739	CC Rabobank B.A. – CNOOC European Warrants Jun 2010	200,000,000	2008/11/12	2010/06/29	50.00
15736	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2010	300,000,000	2008/11/12	2010/08/30	75.00
15738	CC Rabobank B.A. – China Railway European Wts Apr 2011	240,000,000	2008/11/12	2011/04/28	60.00
15737	CC Rabobank B.A. – Ch Railway Cons European Wts Aug 2009	125,000,000	2008/11/12	2009/08/28	32.50
15740	CC Rabobank B.A. – Hang Seng Bank European Warrants Oct 2009	108,000,000	2008/11/12	2009/10/30	29.16
15741	CC Rabobank B.A. – HSBC European Warrants Oct 2009 C	175,000,000	2008/11/12	2009/10/30	43.75
15729	CC Rabobank B.A. – Hutchison European Warrants Jul 2009 B	33,000,000	2008/11/12	2009/07/30	27.72
15730	CC Rabobank B.A. – ICBC European Warrants May 2009	45,000,000	2008/11/12	2009/05/25	33.30
15731	CC Rabobank B.A. – MTRC European Warrants Jul 2009 B	60,000,000	2008/11/12	2009/07/30	24.00
15742	CC Rabobank B.A. – PetroChina European Warrants Jun 2010	275,000,000	2008/11/12	2010/06/29	68.75
15732	CC Rabobank B.A. – Ping An European Warrants May 2009 B	35,000,000	2008/11/12	2009/05/25	31.50
15733	CC Rabobank B.A. – SHK Ppt European Warrants Dec 2009	155,000,000	2008/11/12	2009/12/30	38.75
15713	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Sep 2009B	300,000,000	2008/11/12	2009/09/28	47.10
15714	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt May09C	80,000,000	2008/11/12	2009/05/12	92.80
15715	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 09A	500,000,000	2008/11/12	2009/11/23	75.00
15744	Deutsche Bank AG – China Life European Warrants Jul 2009 A	300,000,000	2008/11/13	2009/07/27	171.00
15748	Deutsche Bank AG – China Life European Put Wts Jul 2009	300,000,000	2008/11/13	2009/07/13	123.00
15745	Deutsche Bank AG – HSBC European Warrants Jul 2009 D	300,000,000	2008/11/13	2009/07/20	75.00
15746	Deutsche Bank AG – HSI European Put Warrants May 2009 K	300,000,000	2008/11/13	2009/05/27	75.00
15747	Deutsche Bank AG – HSI European Put Warrants May 2009 L	300,000,000	2008/11/13	2009/05/27	81.00
15743	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Oct 2009 C	180,000,000	2008/11/13	2009/10/16	30.60
15749	Macquarie Bank Ltd. – China Life European Wts Jun 2009	288,000,000	2008/11/14	2009/06/29	138.24
15750	Macquarie Bank Ltd. – China Life European Wts Sep 2009	288,000,000	2008/11/14	2009/09/29	127.01
15754	Macquarie Bank Ltd. – China Life European Put Wts Sep 2009	200,000,000	2008/11/14	2009/09/29	81.80
15752	Macquarie Bank Ltd. – CNOOC European Warrants Sep 2009	200,000,000	2008/11/14	2009/09/30	50.40
15751	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2009	288,000,000	2008/11/14	2009/12/30	45.22
15753	Macquarie Bank Ltd. – HSBC European Warrants Dec 2009	300,000,000	2008/11/14	2009/12/30	76.50
15756	ABN AMRO Bank N.V. – HSBC European Put Warrants Jul 2009	500,000,000	2008/11/18	2009/07/30	92.50
15755	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 2009	300,000,000	2008/11/18	2009/04/29	45.00

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		發行單位	上市日期	到期日	
15021#	UBS AG – A50 China European Warrants Apr 2009	1,000,000,000	2008/11/18	2009/04/06	98.00
15765	ABN AMRO Bank N.V. – HSI European Put Warrants May 2009	250,000,000	2008/11/19	2009/05/27	57.50
15764	ABN AMRO Bank N.V. – HSI European Warrants Apr 2009 E	250,000,000	2008/11/19	2009/04/29	43.75
15761	BNP Paribas Arbit Issu B.V. – HSBC European Wts Dec 2009	300,000,000	2008/11/19	2009/12/14	75.00
15762	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Oct 09 A	300,000,000	2008/11/19	2009/10/12	111.00
15760	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2009 C	300,000,000	2008/11/19	2009/07/10	75.00
15757	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2009 B	300,000,000	2008/11/19	2009/06/29	75.00
15763	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Jun 2009	168,000,000	2008/11/19	2009/06/01	99.12
15758	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jun 09	800,000,000	2008/11/19	2009/06/15	216.00
15759	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Sep09B	800,000,000	2008/11/19	2009/09/07	208.00
15770	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2009 A	400,000,000	2008/11/20	2009/10/15	100.00
15771	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2009 B	400,000,000	2008/11/20	2009/06/29	100.00
15772	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2009 C	400,000,000	2008/11/20	2009/06/29	100.00
15766	UBS AG – Cheung Kong European Warrants Nov 2009	500,000,000	2008/11/20	2009/11/30	125.00
15767	UBS AG – HS Bank European Warrants Oct 2009 B	500,000,000	2008/11/20	2009/10/27	125.00
15768	UBS AG – MTRC European Warrants Sep 2009	500,000,000	2008/11/20	2009/09/21	125.00
15769	UBS AG – SHK Ppt European Warrants Oct 2009 B	500,000,000	2008/11/20	2009/10/27	125.00
15777	Credit Suisse – CM Bank European Warrants Nov 2009 A	200,000,000	2008/11/21	2009/11/02	92.00
15778	Credit Suisse – CM Bank European Warrants Nov 2009 B	200,000,000	2008/11/21	2009/11/16	75.00
15779	Deutsche Bank AG – CC Bank European Put Warrants Jul 2009	300,000,000	2008/11/21	2009/07/22	171.00
10850	Deutsche Bank AG – DJIA European Warrants Sep 2009 A	200,000,000	2008/11/21	2009/09/21	50.00
10854	Deutsche Bank AG – DJIA European Put Warrants Sep 2009 C	200,000,000	2008/11/21	2009/09/21	50.00
15780	Deutsche Bank AG – HKEx European Warrants Oct 2009	300,000,000	2008/11/21	2009/10/12	54.00
15781	Deutsche Bank AG – HKEx European Put Warrants Dec 2009	300,000,000	2008/11/21	2009/12/14	75.00
15782	Deutsche Bank AG – PetroChina European Put Wts Nov 2009	300,000,000	2008/11/21	2009/11/16	75.00
15776	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Aug 2009	100,000,000	2008/11/21	2009/08/17	55.00
15775	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Aug2009	180,000,000	2008/11/21	2009/08/24	55.80
15773	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Sep 2009	300,000,000	2008/11/21	2009/09/07	120.00
15774	SGA Societe Generale Acceptance N.V. – CMB Euro Wts Nov 2009	300,000,000	2008/11/21	2009/11/30	111.00
15075#	Macquarie Bank Ltd. – A50 China European Warrants Apr 2009	200,000,000	2008/11/24	2009/04/29	35.20
15786	Deutsche Bank AG – China Mobile European Put Wts Aug 2009 C	300,000,000	2008/11/25	2009/08/28	45.00
15787	Deutsche Bank AG – A50 China European Put Wts Oct 2009	300,000,000	2008/11/25	2009/10/12	75.00
15783	Goldman Sachs SP (Asia) – CM Bank European Wts Aug 2009	120,000,000	2008/11/25	2009/08/28	40.80
15784	Goldman Sachs SP (Asia) – HSBC European Warrants Sep 2009	300,000,000	2008/11/25	2009/09/29	48.00
15785	Goldman Sachs SP (Asia) – HSI European Put Wts May 2009 D	200,000,000	2008/11/25	2009/05/27	50.00
15788	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Dec 2009	200,000,000	2008/11/26	2009/12/08	34.00
15802	Credit Suisse – China Mobile European Warrants Jul 2009 A	300,000,000	2008/11/27	2009/07/13	45.00
15803	Credit Suisse – HSBC European Wts Aug 2009	300,000,000	2008/11/27	2009/08/25	55.20
15801	Deutsche Bank AG – China Life European Put Wts Sep 2009	300,000,000	2008/11/27	2009/09/07	99.00
15797	J P Morgan SP BV – China Shenhua European Warrants Jun 2009	100,000,000	2008/11/27	2009/06/01	27.40
15798	J P Morgan SP BV – HKEx European Warrants Jan 2010	200,000,000	2008/11/27	2010/01/25	51.60
15789	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Aug 2009	180,000,000	2008/11/27	2009/08/31	35.10
15790	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Jan 2010	180,000,000	2008/11/27	2010/01/21	41.40
15791	CC Rabobank B.A. – Ch Mobile European Put Wts Apr 2010	200,000,000	2008/11/27	2010/04/23	50.00

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15792	CC Rabobank B.A. – HKEx European Put Warrants Apr 2010	180,000,000	2008/11/27	2010/04/23	45.00
15793	CC Rabobank B.A. – HSBC European Put Warrants Apr 2010	200,000,000	2008/11/27	2010/04/23	50.00
10851	SGA Societe Generale Acceptance N.V. – AUD/USD Eur Wt Jun 09	100,000,000	2008/11/27	2009/06/30	36.00
15794	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Jul 2009	300,000,000	2008/11/27	2009/07/13	78.00
15795	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Oct 2009	300,000,000	2008/11/27	2009/10/19	75.00
15796	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Sep 2009	600,000,000	2008/11/27	2009/09/28	108.00
15799	UBS AG – China Life European Put Warrants Aug 2009	500,000,000	2008/11/27	2009/08/31	170.00
15800	UBS AG – CM Bank European Put Warrants Aug 2009	500,000,000	2008/11/27	2009/08/31	190.00
15808	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Dec 2009	400,000,000	2008/11/28	2009/12/18	100.00
15810	BNP Paribas Arbit Issu B.V. – Ch Railway Euro Wts Dec 2009	100,000,000	2008/11/28	2009/12/28	25.00
15809	BNP Paribas Arbit Issu B.V. – CRCC European Wts May 2009	300,000,000	2008/11/28	2009/05/29	75.00
15811	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2009 D	200,000,000	2008/11/28	2009/06/29	54.00
15812	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2009 E	200,000,000	2008/11/28	2009/06/29	52.00
10855	Deutsche Bank AG – DJIA European Warrants Sep 2009 B	200,000,000	2008/11/28	2009/09/21	56.00
15804	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jan 2010	180,000,000	2008/11/28	2010/01/29	45.00
15805	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Nov 2009	180,000,000	2008/11/28	2009/11/23	48.60
15807	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Nov 2009	300,000,000	2008/11/28	2009/11/30	60.00
15806	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Oct 2009	80,000,000	2008/11/28	2009/10/05	87.20
15813	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Sep 2009	50,000,000	2008/12/01	2009/09/16	54.50
15815	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2009	500,000,000	2008/12/01	2009/11/02	75.00
15814	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jul 2010	500,000,000	2008/12/01	2010/07/26	77.00
15658#	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Sep 2009	180,000,000	2008/12/03	2009/09/08	41.40
15656#	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Aug 2009 B	180,000,000	2008/12/03	2009/08/03	30.42
15816	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2009 C	500,000,000	2008/12/04	2009/07/06	85.00
15826	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2009 A	400,000,000	2008/12/05	2009/07/30	100.00
15830	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2009 C	400,000,000	2008/12/05	2009/07/30	100.00
15817	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2009	400,000,000	2008/12/05	2009/09/07	196.00
15818	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Oct 09 B	800,000,000	2008/12/05	2009/10/16	146.40
15819	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Sep 2009B	500,000,000	2008/12/05	2009/09/28	84.00
15821	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Sep 2009	500,000,000	2008/12/05	2009/09/28	75.00
15822	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Oct 2009	500,000,000	2008/12/05	2009/10/27	75.50
15823	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jul 09	500,000,000	2008/12/05	2009/07/13	100.00
15824	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Aug 2009	120,000,000	2008/12/05	2009/08/10	103.20
15825	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2009 B	120,000,000	2008/12/05	2009/10/05	90.00
15820	SGA Societe Generale Acceptance N.V. – Petch Eu Put Wt Jul09	500,000,000	2008/12/05	2009/07/30	84.50
15312#	Deutsche Bank AG – A50 China European Warrants Apr 2009 B	300,000,000	2008/12/05	2009/04/20	49.50
15831	Barclays Bank plc – HSI European Warrants Jun 2009	300,000,000	2008/12/08	2009/06/29	78.00
15827	Standard Bank PLC – Ch Comm Cons European Warrants Nov 2009	200,000,000	2008/12/08	2009/11/30	50.00
15828	Standard Bank PLC – Sinopec Corp European Warrants Sep 2010	200,000,000	2008/12/08	2010/09/30	50.00
15829	Standard Bank PLC – China Railway European Wts Sep 2010	200,000,000	2008/12/08	2010/09/30	50.00
15832	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2009 C	200,000,000	2008/12/09	2009/08/03	82.00
15833	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Nov 2009	200,000,000	2008/12/09	2009/11/09	34.00
15834	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2009	200,000,000	2008/12/09	2009/10/19	50.00
15835	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2009	500,000,000	2008/12/10	2009/10/19	75.00

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15836	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Oct 2009	500,000,000	2008/12/10	2009/10/27	75.50
15843	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Aug 2009 A	200,000,000	2008/12/11	2009/08/28	52.00
15842	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Nov 2009	200,000,000	2008/12/11	2009/11/02	50.00
15841	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2009 B	400,000,000	2008/12/11	2009/07/30	104.00
15844	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2009 D	400,000,000	2008/12/11	2009/07/30	100.00
15837	Macquarie Bank Ltd. – China Tel European Warrants Jun 2009	100,000,000	2008/12/11	2009/06/29	43.30
15838	Macquarie Bank Ltd. – China Unicom European Wts Dec 2009	100,000,000	2008/12/11	2009/12/30	25.00
15839	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2009B	400,000,000	2008/12/11	2009/08/24	102.00
15840	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Dec 2009	400,000,000	2008/12/11	2009/12/01	112.00
15850	Barclays Bank plc – CC Bank European Warrants Jun 2009	50,000,000	2008/12/12	2009/06/29	33.50
15851	Deutsche Bank AG – China Mobile European Warrants Oct 2009 A	300,000,000	2008/12/12	2009/10/19	63.00
15845	HK Bank – CC Bank European Warrants Nov 2009	280,000,000	2008/12/12	2009/11/20	313.60
15846	HK Bank – China Life European Warrants Nov 2009	280,000,000	2008/12/12	2009/11/20	184.80
15848	HK Bank – ICBC European Warrants Nov 2009	280,000,000	2008/12/12	2009/11/20	285.60
15847	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Nov 2009	50,000,000	2008/12/12	2009/11/23	63.00
15849	SGA Societe Generale Acceptance N.V. – MTRC Eur Wts Nov 2009	200,000,000	2008/12/12	2009/11/09	78.00
15757#	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2009 B	200,000,000	2008/12/12	2009/06/29	19.80
15864	Barclays Bank plc – China Life European Warrants Jun 2009	300,000,000	2008/12/15	2009/06/29	114.00
15865	Barclays Bank plc – China Life European Warrants Dec 2009	300,000,000	2008/12/15	2009/12/30	138.00
15866	Barclays Bank plc – HSBC European Warrants Oct 2009	500,000,000	2008/12/15	2009/10/29	86.00
15867	Barclays Bank plc – ICBC European Warrants Sep 2009	100,000,000	2008/12/15	2009/09/29	68.00
15873	Deutsche Bank AG – China Mobile European Warrants Nov 2009	300,000,000	2008/12/15	2009/11/02	45.00
15872	Deutsche Bank AG – China Mobile European Warrants Oct 2009 B	300,000,000	2008/12/15	2009/10/19	57.00
15858	HK Bank – BOCL European Warrants Nov 2009	280,000,000	2008/12/15	2009/11/20	142.80
15859	HK Bank – Sinopec Corp European Warrants Aug 2009	280,000,000	2008/12/15	2009/08/20	257.60
15860	HK Bank – R&F Ppt European Warrants Nov 2009	280,000,000	2008/12/15	2009/11/20	70.00
15861	HK Bank – HKEx European Warrants Jan 2010	280,000,000	2008/12/15	2010/01/29	70.00
15862	HK Bank – Shimao Ppt European Warrants Dec 2009	280,000,000	2008/12/15	2009/12/18	70.00
15863	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Nov 09B	500,000,000	2008/12/15	2009/11/02	76.00
15868	UBS AG – Belle Int'l European Warrants Nov 2010	500,000,000	2008/12/15	2010/11/29	125.00
15855	UBS AG – China Tel European Warrants Sep 2009	500,000,000	2008/12/15	2009/09/28	125.00
15852	UBS AG – CITIC Bank European Warrants Sep 2009	500,000,000	2008/12/15	2009/09/28	250.00
15853	UBS AG – CITIC Bank European Warrants Dec 2009	500,000,000	2008/12/15	2009/12/21	175.00
15869	UBS AG – CLP European Warrants Jun 2011	500,000,000	2008/12/15	2011/06/27	125.00
15870	UBS AG – Ch Molybdenum European Warrants Dec 2011	500,000,000	2008/12/15	2011/12/12	125.00
15871	UBS AG – China National Building European Warrants Oct 2009	500,000,000	2008/12/15	2009/10/27	125.00
15854	UBS AG – China Railway European Warrants Dec 2009	500,000,000	2008/12/15	2009/12/21	75.00
15856	UBS AG – Huaneng Power European Warrants Dec 2009	500,000,000	2008/12/15	2009/12/21	125.00
15857	UBS AG – Shimao Ppt European Warrants Dec 2009	500,000,000	2008/12/15	2009/12/21	125.00
15897	ABN AMRO Bank N.V. – China Life European Warrants Sep 2009	200,000,000	2008/12/16	2009/09/29	93.00
15900	ABN AMRO Bank N.V. – China Life European Put Wts Sep 2009	200,000,000	2008/12/16	2009/09/29	118.00
15898	ABN AMRO Bank N.V. – Gold European Warrants Sep 2009	200,000,000	2008/12/16	2009/09/29	50.40
15901	ABN AMRO Bank N.V. – Gold European Put Warrants Sep 2009	200,000,000	2008/12/16	2009/09/29	50.00
15899	ABN AMRO Bank N.V. – HSBC European Warrants Sep 2010	288,000,000	2008/12/16	2010/09/27	72.00

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		發行單位	上市日期	到期日	
15888	Credit Suisse – China Mobile European Warrants Dec 2009	500,000,000	2008/12/16	2009/12/21	125.00
15887	Credit Suisse – China Mobile European Warrants Jul 2009 B	500,000,000	2008/12/16	2009/07/27	75.00
15889	Credit Suisse – A50 China European Warrants Jun 2009	300,000,000	2008/12/16	2009/06/15	45.00
15892	Deutsche Bank AG – China Life European Warrants Jul 2009 B	300,000,000	2008/12/16	2009/07/27	159.00
15893	Deutsche Bank AG – China Life European Warrants Aug 2009	300,000,000	2008/12/16	2009/08/17	132.00
15894	Deutsche Bank AG – China Life European Warrants Sep 2009 B	300,000,000	2008/12/16	2009/09/07	111.00
15895	Deutsche Bank AG – China Life European Put Wts Aug 2009 A	300,000,000	2008/12/16	2009/08/03	114.00
15896	Deutsche Bank AG – China Life European Put Wts Aug 2009 B	300,000,000	2008/12/16	2009/08/31	174.00
15880	HK Bank – China Overseas European Warrants Oct 2009	280,000,000	2008/12/16	2009/10/30	100.80
15881	HK Bank – CNOOC European Warrants Jan 2010	280,000,000	2008/12/16	2010/01/20	70.00
15882	HK Bank – Esprit European Warrants Oct 2009	280,000,000	2008/12/16	2009/10/30	257.60
15884	HK Bank – Huaneng Power European Warrants Jan 2010	280,000,000	2008/12/16	2010/01/20	70.00
15885	HK Bank – Jiangxi Copper European Warrants Mar 2010	280,000,000	2008/12/16	2010/03/26	70.00
15886	HK Bank – Jiangxi Copper European Warrants Aug 2010	280,000,000	2008/12/16	2010/08/18	70.00
15874	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Nov 2009	180,000,000	2008/12/16	2009/11/23	37.44
15875	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Dec 2009	180,000,000	2008/12/16	2009/12/23	30.96
15876	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Jul09	180,000,000	2008/12/16	2009/07/13	32.76
15877	Merrill Lynch Int'l & Co. C.V.-Sinopec Eu Put Warrants Oct09	180,000,000	2008/12/16	2009/10/19	33.48
15878	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Sep 2009	180,000,000	2008/12/16	2009/09/22	27.00
15879	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Nov 2009	180,000,000	2008/12/16	2009/11/23	29.52
15883	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Nov 09	180,000,000	2008/12/16	2009/11/19	45.00
15890	Standard Bank PLC – CNOOC European Warrants Jun 2010	200,000,000	2008/12/16	2010/06/30	50.00
15891	Standard Bank PLC – HSBC European Warrants Sep 2010	200,000,000	2008/12/16	2010/09/30	50.00
15928	ABN AMRO Bank N.V. – China Life European Warrants Jul 2009	200,000,000	2008/12/17	2009/07/02	108.40
15926	ABN AMRO Bank N.V. – HSBC European Warrants Nov 2010 A	288,000,000	2008/12/17	2010/11/01	72.00
15927	ABN AMRO Bank N.V. – HSBC European Warrants Nov 2010 B	288,000,000	2008/12/17	2010/11/30	72.00
15918	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2009	300,000,000	2008/12/17	2009/08/28	75.00
15917	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2009 E	300,000,000	2008/12/17	2009/07/30	78.00
15921	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2009 F	300,000,000	2008/12/17	2009/07/30	75.00
15922	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2009 G	300,000,000	2008/12/17	2009/07/30	87.00
15919	Deutsche Bank AG – Sinopec Corp European Put Wts Oct 2009	300,000,000	2008/12/17	2009/10/27	57.00
15920	Deutsche Bank AG – A50 China European Warrants Jun 2009	300,000,000	2008/12/17	2009/06/08	51.00
15923	Deutsche Bank AG – A50 China European Warrants Jul 2009	300,000,000	2008/12/17	2009/07/20	75.00
15924	Deutsche Bank AG – A50 China European Put Wts Aug 2009	300,000,000	2008/12/17	2009/08/28	75.00
15925	Deutsche Bank AG – PetroChina European Warrants Sep 2009	300,000,000	2008/12/17	2009/09/29	45.00
15910	HK Bank – CHALCO European Warrants Jun 2010	280,000,000	2008/12/17	2010/06/28	70.00
15913	HK Bank – China Mobile European Warrants Dec 2009	280,000,000	2008/12/17	2009/12/04	70.00
15912	HK Bank – China COSCO European Warrants Dec 2009	280,000,000	2008/12/17	2009/12/04	70.00
15911	HK Bank – China Coal European Warrants Dec 2009	280,000,000	2008/12/17	2009/12/04	70.00
15914	HK Bank – Datang Power European Warrants Jul 2010	280,000,000	2008/12/17	2010/07/28	70.00
15909	HK Bank – A50 China European Warrants Dec 2009	280,000,000	2008/12/17	2009/12/04	70.00
15915	HK Bank – Lenovo European Warrants Nov 2009	280,000,000	2008/12/17	2009/11/04	137.20
15916	HK Bank – Zijin Mining European Warrants Nov 2009	280,000,000	2008/12/17	2009/11/04	327.60
15902	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2009	180,000,000	2008/12/17	2009/07/20	30.06

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15904	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jul 2009	150,000,000	2008/12/17	2009/07/13	25.35
15905	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Sep 2009	150,000,000	2008/12/17	2009/09/21	25.20
15906	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Put Wts Oct 09	150,000,000	2008/12/17	2009/10/19	34.20
15903	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jul 2009	100,000,000	2008/12/17	2009/07/20	47.50
15907	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Put Wts Jul09	100,000,000	2008/12/17	2009/07/13	44.50
15908	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Nov09	180,000,000	2008/12/17	2009/11/09	45.00
15938	Deutsche Bank AG – HSI European Put Warrants Sep 2009	300,000,000	2008/12/18	2009/09/29	75.00
15932	Deutsche Bank AG – HSI European Warrants Jul 2009 A	300,000,000	2008/12/18	2009/07/30	78.00
15933	Deutsche Bank AG – HSI European Warrants Jul 2009 B	300,000,000	2008/12/18	2009/07/30	75.00
15934	Deutsche Bank AG – HSI European Warrants Jul 2009 C	300,000,000	2008/12/18	2009/07/30	75.00
15935	Deutsche Bank AG – HSI European Put Warrants Jul 2009 D	300,000,000	2008/12/18	2009/07/30	75.00
15936	Deutsche Bank AG – HSI European Put Warrants Jul 2009 E	300,000,000	2008/12/18	2009/07/30	75.00
15937	Deutsche Bank AG – HSI European Put Warrants Jul 2009 F	300,000,000	2008/12/18	2009/07/30	75.00
15929	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Nov2009	180,000,000	2008/12/18	2009/11/09	47.70
15930	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Nov 2009	180,000,000	2008/12/18	2009/11/09	45.00
15931	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Oct 2009	180,000,000	2008/12/18	2009/10/20	29.52
15941	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2009 C	200,000,000	2008/12/19	2009/10/28	50.00
15945	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Oct 09 B	200,000,000	2008/12/19	2009/10/19	52.00
15939	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2009	500,000,000	2008/12/19	2009/08/10	125.00
15940	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2009	500,000,000	2008/12/19	2009/09/21	125.00
15947	Barclays Bank plc – China Mobile European Warrants Dec 2009	500,000,000	2008/12/22	2009/12/29	125.00
15948	Barclays Bank plc – China Mobile European Warrants Mar 2010	500,000,000	2008/12/22	2010/03/30	125.00
15949	Barclays Bank plc – CNOOC European Warrants Mar 2010	200,000,000	2008/12/22	2010/03/30	50.00
15950	Barclays Bank plc – PetroChina European Warrants Mar 2010	200,000,000	2008/12/22	2010/03/30	50.00
15946	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2009 F	500,000,000	2008/12/22	2009/06/29	125.00
15942	HK Bank – China Mobile European Put Warrants Jan 2010	280,000,000	2008/12/22	2010/01/22	70.00
15943	HK Bank – China Life European Put Warrants Nov 2009	280,000,000	2008/12/22	2009/11/12	159.60
15944	HK Bank – HKEx European Put Warrants Nov 2009	280,000,000	2008/12/22	2009/11/12	70.00
15951	Barclays Bank plc – HSI European Warrants Sep 2009	500,000,000	2008/12/23	2009/09/29	130.00
15952	Barclays Bank plc – HSI European Put Warrants Jun 2009	500,000,000	2008/12/23	2009/06/29	130.00
15955	Barclays Bank plc – HSI European Put Warrants Sep 2009	500,000,000	2008/12/23	2009/09/29	140.00
15953	Deutsche Bank AG – BOCL European Warrants Aug 2009	300,000,000	2008/12/23	2009/08/31	150.00
15954	Deutsche Bank AG – BankComm European Warrants Dec 2009	300,000,000	2008/12/23	2009/12/30	45.00
15956	Deutsche Bank AG – CC Bank European Put Warrants Aug 2009	300,000,000	2008/12/23	2009/08/17	288.00
15957	Deutsche Bank AG – ICBC European Warrants Jul 2009	300,000,000	2008/12/23	2009/07/27	249.00
15958	Deutsche Bank AG – ICBC European Warrants Sep 2009	300,000,000	2008/12/23	2009/09/21	210.00
15959	Deutsche Bank AG – ICBC European Put Warrants Aug 2009	300,000,000	2008/12/23	2009/08/24	261.00
15966	Deutsche Bank AG – China Mobile European Put Wts Dec 2009	300,000,000	2008/12/24	2009/12/14	75.00
10856	Deutsche Bank AG – DJIA European Put Warrants Sep 2009 D	200,000,000	2008/12/24	2009/09/21	118.00
10857	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2009 A	200,000,000	2008/12/24	2009/09/11	80.00
10858	Deutsche Bank AG – Nikkei 225 Index European Wts Sep 2009 B	200,000,000	2008/12/24	2009/09/11	60.00
10861	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Sep 2009 C	200,000,000	2008/12/24	2009/09/11	50.00
10862	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Sep 2009 D	200,000,000	2008/12/24	2009/09/11	66.00
15960	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2009	200,000,000	2008/12/24	2009/10/19	79.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15962	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 2010	800,000,000	2008/12/24	2010/01/18	200.00
15963	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Sep 2009	150,000,000	2008/12/24	2009/09/14	88.50
15961	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2009	100,000,000	2008/12/24	2009/09/24	93.00
15964	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2009 A	300,000,000	2008/12/24	2009/06/29	75.00
15965	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2009 B	300,000,000	2008/12/24	2009/06/29	78.00
15968	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 09C	300,000,000	2008/12/24	2009/06/29	78.00
15969	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 09D	300,000,000	2008/12/24	2009/06/29	75.00
15970	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 09E	300,000,000	2008/12/24	2009/06/29	78.00
15979	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2009	500,000,000	2008/12/29	2009/12/30	130.00
15983	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Dec 2009	500,000,000	2008/12/29	2009/12/30	145.00
15978	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2009 H	500,000,000	2008/12/29	2009/07/30	125.00
15980	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2009 A	500,000,000	2008/12/29	2009/08/28	125.00
15981	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2009 B	500,000,000	2008/12/29	2009/08/28	140.00
15982	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2009 C	500,000,000	2008/12/29	2009/08/28	150.00
15975	Credit Suisse – China Mobile European Put Warrants Jun 2009	300,000,000	2008/12/29	2009/06/15	45.00
15976	Credit Suisse – China Mobile European Put Warrants Jul 2009	300,000,000	2008/12/29	2009/07/27	45.00
15967	Credit Suisse – CC Bank European Put Warrants Nov 2009	300,000,000	2008/12/29	2009/11/16	75.00
15977	Credit Suisse – China Mobile European Put Warrants Nov 2009B	300,000,000	2008/12/29	2009/11/09	45.00
15971	Credit Suisse – Ch Overseas European Warrants Dec 2009 A	200,000,000	2008/12/29	2009/12/07	70.00
15972	Credit Suisse – Ch Overseas European Warrants Dec 2009 B	200,000,000	2008/12/29	2009/12/21	62.00
15973	Credit Suisse – ICBC European Warrants Nov 2009	300,000,000	2008/12/29	2009/11/30	45.00
15974	Credit Suisse – ICBC European Warrants Dec 2010	300,000,000	2008/12/29	2010/12/10	75.00
15984	Deutsche Bank AG – China Telecom European Wts Aug 2009	100,000,000	2008/12/29	2009/08/17	70.00
15985	Deutsche Bank AG – Hutchison European Warrants Aug 2009	100,000,000	2008/12/29	2009/08/03	72.00
15989	ABN AMRO Bank N.V. – CC Bank European Warrants Jun 2010	500,000,000	2008/12/30	2010/06/29	125.00
15988	ABN AMRO Bank N.V. – China Mobile European Wts Sep 2009 C	400,000,000	2008/12/30	2009/09/29	76.40
15992	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Sep 2009 D	400,000,000	2008/12/30	2009/09/28	62.00
16001	ABN AMRO Bank N.V. – China Mobile Euro Put Wts Sep 2009 E	400,000,000	2008/12/30	2009/09/22	70.40
15987	ABN AMRO Bank N.V. – A50 China European Warrants Jun 2009	300,000,000	2008/12/30	2009/06/15	54.60
16000	ABN AMRO Bank N.V. – A50 China European Put Wts Aug 2009	300,000,000	2008/12/30	2009/08/28	62.70
15990	ABN AMRO Bank N.V. – HKEx European Warrants Sep 2009	300,000,000	2008/12/30	2009/09/29	75.00
16002	ABN AMRO Bank N.V. – HKEx European Put Warrants Sep 2009	300,000,000	2008/12/30	2009/09/15	51.60
15993	ABN AMRO Bank N.V. – HSI European Warrants Jun 2009	400,000,000	2008/12/30	2009/06/29	100.00
15994	ABN AMRO Bank N.V. – HSI European Put Warrants Jun 2009	400,000,000	2008/12/30	2009/06/29	100.40
15999	ABN AMRO Bank N.V. – ICBC European Warrants Sep 2010	500,000,000	2008/12/30	2010/09/29	95.00
15991	ABN AMRO Bank N.V. – PetroChina European Warrants Nov 2009 B	400,000,000	2008/12/30	2009/11/03	70.40
15986	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2009 G	500,000,000	2008/12/30	2009/04/29	95.00
16003	Deutsche Bank AG – CC Bank European Warrants Jul 2009 A	100,000,000	2008/12/30	2009/07/13	156.00
16007	Deutsche Bank AG – CC Bank European Warrants Jul 2009 B	200,000,000	2008/12/30	2009/07/13	176.00
16008	Deutsche Bank AG – CC Bank European Warrants Jul 2009 C	200,000,000	2008/12/30	2009/07/27	142.00
15995	Deutsche Bank AG – Ch Comm Cons European Warrants Sep 2009	200,000,000	2008/12/30	2009/09/28	50.00
16004	Deutsche Bank AG – China Life European Put Wts Oct 2009	300,000,000	2008/12/30	2009/10/19	177.00
15996	Deutsche Bank AG – Ch Railway Cons European Wts Aug 2009	200,000,000	2008/12/30	2009/08/24	52.00
15998	Deutsche Bank AG – HSI European Put Warrants Jul 2009 G	300,000,000	2008/12/30	2009/07/30	93.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16005	Deutsche Bank AG – PetroChina European Warrants Oct 2009	300,000,000	2008/12/30	2009/10/27	48.00
16006	Deutsche Bank AG – PetroChina European Put Wts Jul 2009 C	300,000,000	2008/12/30	2009/07/27	63.00
15997	Deutsche Bank AG – Ping An European Warrants Dec 2009	200,000,000	2008/12/30	2009/12/21	30.00
16015	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2009	200,000,000	2008/12/31	2009/07/20	146.00
16016	BNP Paribas Arbit Issu B.V. – China Life Eu Put Wts Aug 2009	200,000,000	2008/12/31	2009/08/10	60.00
16019	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Sep 2009	200,000,000	2008/12/31	2009/09/01	52.00
16017	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2009 D	200,000,000	2008/12/31	2009/07/02	50.00
16018	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2009 D	200,000,000	2008/12/31	2009/10/05	50.00
16031	Credit Suisse – CNOOC European Warrants Oct 2009	200,000,000	2008/12/31	2009/10/12	30.00
16030	Credit Suisse – CNOOC European Warrants Dec 2009	200,000,000	2008/12/31	2009/12/22	44.00
16032	Credit Suisse – PetroChina European Warrants Nov 2009	300,000,000	2008/12/31	2009/11/09	45.00
16024	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Dec 2009	468,000,000	2008/12/31	2009/12/16	98.28
16022	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Jul 2009	400,000,000	2008/12/31	2009/07/03	64.00
16023	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Aug 2009 D	400,000,000	2008/12/31	2009/08/18	100.00
16025	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Nov 2009	268,000,000	2008/12/31	2009/11/12	99.16
16026	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Dec 2009	400,000,000	2008/12/31	2009/12/07	100.00
16027	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt Oct 09C	288,000,000	2008/12/31	2009/10/09	97.92
16020	KBC Fin Products Int'l Ltd. – HSBC European Wts Oct 2009	368,000,000	2008/12/31	2009/10/12	95.68
16028	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2009 B	208,000,000	2008/12/31	2009/10/22	97.76
16021	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Oct 2009	438,000,000	2008/12/31	2009/10/02	65.70
16029	KBC Fin Products Int'l Ltd. – PetroChina Eur Put Wt Oct 2009	238,000,000	2008/12/31	2009/10/15	92.82
總額					80,858.92

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2007	第4季	—	4.43
2008	第1季	—	2.43
	第2季	—	1.75
	第3季	0.26	1.51
	第4季	—	1.71

債券面值

		發行數目	面值(百萬港元)
2007	第4季	175	427,813.24
2008	第1季	174	424,656.34
	第2季	175	435,938.30
	第3季	174	440,409.79
	第4季	172	414,001.69

新上市統計 — 債券

		新上市債券數目	集資金額(百萬港元)
2007	第4季	7	13,989.61
2008	第1季	4	8,355.96
	第2季	7	16,607.66
	第3季	5	11,822.87
	第4季	4	4,791.32

主板 債務證券

新上市債券

2008年第4季

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04305	華大地產投資有限公司5% 強制性可換股債券2011年	HKD 477,176,070	2008/10/17	2011/04/13	477.18
04183	香港金融管理局0.82%外匯基金票據2010年	HKD 1,200,000,000	2008/11/18	2011/11/17	1,198.80
04184	香港金融管理局1.61%外匯基金票據2018年	HKD 800,000,000	2008/12/11	2018/12/10	799.52
04160 #	香港金融管理局3.63%外匯基金票據2011年	HKD 1,200,000,000	2008/12/19	2011/12/19	1,295.52
04185	香港金融管理局1.67%外匯基金票據2013年	HKD 1,000,000,000	2008/12/22	2013/12/19	1,020.30
總額					4,791.32

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比 (百萬港元)
2007	第4季	49,930.36	13,436.81	2,388.41	65,755.59	0.79
2008	第1季	44,683.48	18,698.54	638.57	64,020.59	1.08
	第2季	147,996.81	10,119.33	775.06	158,891.20	3.44
	第3季	128,330.01	12,253.56	214.24	140,797.82	3.58
	第4季	120,092.63	10,422.81	225.71	130,741.15	4.16

註： 1.只包括港元之成交金額。

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (發行數目)	房地產投資 信託基金 (REIT) (發行數目)	其他 (發行數目)	合計 (發行數目)
		(百萬港元)	(百萬港元)	(百萬港元)	(百萬港元)
2007	第4季	17	7	2	26
2008	第1季	17	7	2	26
	第2季	23	7	2	32
	第3季	24	7	2	33
	第4季	24	7	2	33

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬港元)
2007	第4季	—	—
2008	第1季	—	—
	第2季	6	—
	第3季	1	—
	第4季	—	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2008年第4季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
Nil					

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2007	第4季	131	20,406.20	45,417.33
2008	第1季	214	52,370.20	83,719.44
	第2季	453	86,945.83	176,028.32
	第3季	759	130,618.61	396,439.23
	第4季	1,314	195,229.08	383,369.78

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2007	第4季	206	20,480.60
2008	第1季	323	45,053.80
	第2季	630	77,040.15
	第3季	1,343	135,720.58
	第4季	1,935	185,485.67

主板 可收回牛熊證

新上市牛熊證

2008年第4季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31771	ABN AMRO Bank N.V. – DJIA R Bear CBBC Mar 2009 E	300,000,000	2008/10/02	2009/03/20	164.10
31772	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 M	300,000,000	2008/10/02	2009/05/27	76.20
31764	Deutsche Bank AG – A50 China R Bear CBBC Apr 2009 H	250,000,000	2008/10/02	2009/04/29	75.00
31763	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2009 C	200,000,000	2008/10/02	2009/04/29	51.00
31765	Deutsche Bank AG – HSI R Bear CBBC May 2009 N	300,000,000	2008/10/02	2009/05/27	75.00
31766	Deutsche Bank AG – HSI R Bear CBBC May 2009 O	300,000,000	2008/10/02	2009/05/27	75.00
31760	UBS AG – A50 China R Bear CBBC Apr 2009 P	300,000,000	2008/10/02	2009/04/27	75.00
31761	UBS AG – A50 China R Bear CBBC Apr 2009 Q	300,000,000	2008/10/02	2009/04/27	75.00
31767	ABN AMRO Bank N.V. – DJIA R Bull CBBC Mar 2009 D	300,000,000	2008/10/02	2009/03/20	209.10
31768	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 J	300,000,000	2008/10/02	2009/05/27	76.20
31769	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 K	300,000,000	2008/10/02	2009/05/27	75.00
31770	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 L	300,000,000	2008/10/02	2009/05/27	75.90
31758	Deutsche Bank AG – HSCEI R Bull CBBC Apr 2009 A	200,000,000	2008/10/02	2009/04/29	60.00
31762	Deutsche Bank AG – HSCEI R Bull CBBC Apr 2009 B	200,000,000	2008/10/02	2009/04/29	50.00
31756	UBS AG – A50 China R Bull CBBC Apr 2009 O	300,000,000	2008/10/02	2009/04/27	75.00
31774	UBS AG – China Life R Bull CBBC Apr 2009 U	300,000,000	2008/10/03	2009/04/27	75.00
31775	UBS AG – China Life R Bear CBBC Jul 2009 A	300,000,000	2008/10/03	2009/07/27	264.00
31773	UBS AG – HSBC R Bull CBBC Apr 2009 A	300,000,000	2008/10/03	2009/04/06	75.00
31778	UBS AG – China Mobile R Bear CBBC Mar 2009 J	300,000,000	2008/10/06	2009/03/09	75.00
31776	UBS AG – HSCEI R Bull CBBC Mar 2009 V	500,000,000	2008/10/06	2009/03/30	125.00
31781	UBS AG – HSCEI R Bear CBBC Mar 2009 W	500,000,000	2008/10/06	2009/03/30	125.00
31777	UBS AG – HSI R Bull CBBC Mar 2009 I	500,000,000	2008/10/06	2009/03/30	125.00
31780	UBS AG – HSI R Bear CBBC Mar 2009 G	500,000,000	2008/10/06	2009/03/30	125.00
31779	UBS AG – HSI R Bear CBBC Mar 2009 K	500,000,000	2008/10/06	2009/03/30	125.00
31806	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 N	300,000,000	2008/10/08	2009/05/27	81.00
31807	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 O	300,000,000	2008/10/08	2009/05/27	75.30
31808	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 P	300,000,000	2008/10/08	2009/05/27	76.20
31809	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 Q	300,000,000	2008/10/08	2009/05/27	75.00
31810	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 R	300,000,000	2008/10/08	2009/05/27	75.00
31811	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 S	300,000,000	2008/10/08	2009/05/27	76.80
31782	ABN AMRO Bank N.V. – NQ100 R Bull CBBC Mar 2009 A	300,000,000	2008/10/08	2009/03/19	185.10
31786	ABN AMRO Bank N.V. – NQ100 R Bear CBBC Mar 2009 B	300,000,000	2008/10/08	2009/03/19	194.70
31812	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2009 H	300,000,000	2008/10/08	2009/06/29	75.00
31816	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2009 I	300,000,000	2008/10/08	2009/06/29	75.00
31817	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2009 J	300,000,000	2008/10/08	2009/06/29	75.00
31792	Deutsche Bank AG – HSI R Bear CBBC May 2009 P	300,000,000	2008/10/08	2009/05/27	75.00
31783	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09C	200,000,000	2008/10/08	2009/04/29	50.00
31784	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09D	200,000,000	2008/10/08	2009/04/29	50.00
31793	UBS AG – China Life R Bear CBBC Apr 2009 V	300,000,000	2008/10/08	2009/04/27	75.00
31794	UBS AG – China Life R Bear CBBC Apr 2009 W	300,000,000	2008/10/08	2009/04/27	87.00
31795	UBS AG – CNOOC R Bear CBBC Mar 2009 C	200,000,000	2008/10/08	2009/03/16	50.00
31796	UBS AG – HKEx R Bear CBBC Apr 2009 A	200,000,000	2008/10/08	2009/04/06	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31797	UBS AG – HKEx R Bear CBBC Apr 2009 B	200,000,000	2008/10/08	2009/04/06	50.00
31798	UBS AG – HSCEI R Bull CBBC Mar 2009 A	500,000,000	2008/10/08	2009/03/30	125.00
31785	UBS AG – HSCEI R Bull CBBC Mar 2009 Y	500,000,000	2008/10/08	2009/03/30	125.00
31791	UBS AG – HSCEI R Bear CBBC Mar 2009 H	500,000,000	2008/10/08	2009/03/30	125.00
31801	UBS AG – HSCEI R Bear CBBC Mar 2009 J	500,000,000	2008/10/08	2009/03/30	125.00
31787	UBS AG – HSI R Bull CBBC Mar 2009 H	500,000,000	2008/10/08	2009/03/30	125.00
31788	UBS AG – HSI R Bull CBBC Mar 2009 L	500,000,000	2008/10/08	2009/03/30	125.00
31799	UBS AG – HSI R Bull CBBC Apr 2009 S	500,000,000	2008/10/08	2009/04/29	125.00
31800	UBS AG – HSI R Bull CBBC Apr 2009 T	500,000,000	2008/10/08	2009/04/29	125.00
31804	UBS AG – HSI R Bear CBBC Apr 2009 A	500,000,000	2008/10/08	2009/04/29	125.00
31803	UBS AG – HSI R Bear CBBC Apr 2009 K	500,000,000	2008/10/08	2009/04/29	125.00
31805	UBS AG – HSI R Bear CBBC Apr 2009 M	500,000,000	2008/10/08	2009/04/29	125.00
31789	UBS AG – HSI R Bear CBBC Apr 2009 Q	500,000,000	2008/10/08	2009/04/29	125.00
31790	UBS AG – HSI R Bear CBBC Apr 2009 R	500,000,000	2008/10/08	2009/04/29	125.00
31802	UBS AG – HSI R Bear CBBC Apr 2009 U	500,000,000	2008/10/08	2009/04/29	125.00
31815	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Sep 2009 A	200,000,000	2008/10/09	2009/09/29	50.00
31818	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Sep 2009 B	200,000,000	2008/10/09	2009/09/29	50.00
31819	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2009 K	300,000,000	2008/10/09	2009/06/29	75.00
31820	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2009 B	300,000,000	2008/10/09	2009/07/30	75.00
31821	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2009 C	300,000,000	2008/10/09	2009/07/30	75.00
31822	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2009 L	300,000,000	2008/10/09	2009/06/29	75.00
31823	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 D	300,000,000	2008/10/09	2009/07/30	75.00
31850	Deutsche Bank AG – A50 China R Bull CBBC Apr 2009 I	300,000,000	2008/10/09	2009/04/29	75.00
31851	Deutsche Bank AG – HSI R Bull CBBC May 2009 S	300,000,000	2008/10/09	2009/05/27	84.00
31852	Deutsche Bank AG – HSI R Bull CBBC May 2009 T	300,000,000	2008/10/09	2009/05/27	75.00
31813	Deutsche Bank AG – HSI R Bear CBBC May 2009 Q	300,000,000	2008/10/09	2009/05/27	75.00
31814	Deutsche Bank AG – HSI R Bear CBBC May 2009 R	300,000,000	2008/10/09	2009/05/27	84.00
31843	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar09F	200,000,000	2008/10/09	2009/03/30	64.00
31844	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar09G	200,000,000	2008/10/09	2009/03/30	50.00
31845	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09Q	200,000,000	2008/10/09	2009/03/30	60.00
31846	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09X	200,000,000	2008/10/09	2009/03/30	52.00
31848	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09N	200,000,000	2008/10/09	2009/03/30	50.00
31847	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09Y	200,000,000	2008/10/09	2009/03/30	50.00
31841	UBS AG – China Mobile R Bear CBBC Mar 2009 E	300,000,000	2008/10/09	2009/03/09	75.00
31839	UBS AG – Cheung Kong R Bull CBBC Mar 2009 C	200,000,000	2008/10/09	2009/03/09	50.00
31842	UBS AG – China Shenhua R Bear CBBC Apr 2009 A	200,000,000	2008/10/09	2009/04/27	152.00
31824	UBS AG – HSCEI R Bull CBBC Mar 2009 K	500,000,000	2008/10/09	2009/03/30	125.00
31825	UBS AG – HSCEI R Bull CBBC Mar 2009 L	500,000,000	2008/10/09	2009/03/30	125.00
31827	UBS AG – HSCEI R Bear CBBC Mar 2009 M	500,000,000	2008/10/09	2009/03/30	125.00
31826	UBS AG – HSCEI R Bear CBBC Mar 2009 N	500,000,000	2008/10/09	2009/03/30	125.00
31830	UBS AG – HSI R Bull CBBC May 2009 F	500,000,000	2008/10/09	2009/05/27	125.00
31831	UBS AG – HSI R Bull CBBC May 2009 G	500,000,000	2008/10/09	2009/05/27	125.00
31828	UBS AG – HSI R Bull CBBC May 2009 K	500,000,000	2008/10/09	2009/05/27	125.00
31829	UBS AG – HSI R Bull CBBC May 2009 S	500,000,000	2008/10/09	2009/05/27	125.00
31832	UBS AG – HSI R Bear CBBC May 2009 H	500,000,000	2008/10/09	2009/05/27	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31833	UBS AG – HSI R Bear CBBC May 2009 I	500,000,000	2008/10/09	2009/05/27	125.00
31834	UBS AG – HSI R Bear CBBC May 2009 J	500,000,000	2008/10/09	2009/05/27	125.00
31838	UBS AG – HSI R Bear CBBC May 2009 M	500,000,000	2008/10/09	2009/05/27	125.00
31835	UBS AG – HSI R Bear CBBC May 2009 P	500,000,000	2008/10/09	2009/05/27	125.00
31836	UBS AG – HSI R Bear CBBC May 2009 Q	500,000,000	2008/10/09	2009/05/27	125.00
31837	UBS AG – HSI R Bear CBBC May 2009 R	500,000,000	2008/10/09	2009/05/27	125.00
31840	UBS AG – Hutchison R Bull CBBC Jul 2009 D	200,000,000	2008/10/09	2009/07/20	50.00
31849	UBS AG – SHK Ppt R Bear CBBC Apr 2009 A	200,000,000	2008/10/09	2009/04/27	64.00
31853	ABN AMRO Bank N.V. – NQ100 R Bull CBBC Mar 2009 C	300,000,000	2008/10/10	2009/03/19	176.10
31863	Deutsche Bank AG – HSI R Bull CBBC May 2009 U	300,000,000	2008/10/10	2009/05/27	81.00
31864	Deutsche Bank AG – HSI R Bull CBBC May 2009 V	300,000,000	2008/10/10	2009/05/27	75.00
31865	Deutsche Bank AG – HSI R Bull CBBC May 2009 W	300,000,000	2008/10/10	2009/05/27	75.00
31866	Deutsche Bank AG – HSI R Bear CBBC May 2009 X	300,000,000	2008/10/10	2009/05/27	75.00
31867	Deutsche Bank AG – HSI R Bear CBBC May 2009 Y	300,000,000	2008/10/10	2009/05/27	84.00
31856	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jul 2009 D	200,000,000	2008/10/10	2009/07/30	50.00
31854	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09I	200,000,000	2008/10/10	2009/03/30	50.00
31855	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09J	200,000,000	2008/10/10	2009/03/30	50.00
31859	UBS AG – China Mobile R Bull CBBC Mar 2009 F	300,000,000	2008/10/10	2009/03/09	75.00
31857	UBS AG – China Life R Bull CBBC Apr 2009 X	300,000,000	2008/10/10	2009/04/27	124.50
31858	UBS AG – China Life R Bull CBBC Apr 2009 Y	300,000,000	2008/10/10	2009/04/27	94.50
31862	UBS AG – A50 China R Bull CBBC Apr 2009 R	300,000,000	2008/10/10	2009/04/27	75.00
31860	UBS AG – Ping An R Bull CBBC Mar 2009 A	200,000,000	2008/10/10	2009/03/09	50.00
31861	UBS AG – Ping An R Bull CBBC Apr 2009 A	200,000,000	2008/10/10	2009/04/27	50.00
31876	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 T	300,000,000	2008/10/13	2009/05/27	75.90
31879	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 U	300,000,000	2008/10/13	2009/05/27	75.30
31872	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2009 A	400,000,000	2008/10/13	2009/02/26	100.00
31873	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09N	500,000,000	2008/10/13	2009/01/29	125.00
31874	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09O	500,000,000	2008/10/13	2009/01/29	125.00
31875	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09P	500,000,000	2008/10/13	2009/01/29	125.00
31868	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09E	400,000,000	2008/10/13	2009/04/29	100.00
31877	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan09Q	500,000,000	2008/10/13	2009/01/29	125.00
31878	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan09R	500,000,000	2008/10/13	2009/01/29	125.00
31869	UBS AG – HS Bank R Bear CBBC Apr 2009 A	200,000,000	2008/10/13	2009/04/20	50.00
31870	UBS AG – HS Bank R Bear CBBC Apr 2009 B	200,000,000	2008/10/13	2009/04/20	55.00
31871	UBS AG – SHK Ppt R Bear CBBC Apr 2009 B	200,000,000	2008/10/13	2009/04/27	50.00
31901	ABN AMRO Bank N.V. – DJIA R Bull CBBC Mar 2009 F	300,000,000	2008/10/14	2009/03/20	180.90
31899	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 V	300,000,000	2008/10/14	2009/05/27	75.30
31900	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 W	300,000,000	2008/10/14	2009/05/27	77.10
31882	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2009 M	400,000,000	2008/10/14	2009/06/29	100.00
31883	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2009 E	300,000,000	2008/10/14	2009/07/30	90.00
31884	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 B	300,000,000	2008/10/14	2009/08/28	90.00
31891	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2009 N	200,000,000	2008/10/14	2009/06/29	50.00
31892	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 F	300,000,000	2008/10/14	2009/07/30	75.00
31893	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 C	300,000,000	2008/10/14	2009/08/28	75.00
31894	Deutsche Bank AG – HSI R Bear CBBC May 2009 Z	300,000,000	2008/10/14	2009/05/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31880	HK Bank – HSI R Bear CBBC Mar 2009 E	500,000,000	2008/10/14	2009/03/30	125.00
31881	HK Bank – HSI R Bear CBBC Mar 2009 F	500,000,000	2008/10/14	2009/03/30	125.00
31895	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2009 C	400,000,000	2008/10/14	2009/02/26	100.00
31887	UBS AG – CM Bank R Bear CBBC May 2009 A	200,000,000	2008/10/14	2009/05/18	57.00
31888	UBS AG – CM Bank R Bear CBBC May 2009 B	200,000,000	2008/10/14	2009/05/18	97.00
31902	UBS AG – CNOOC R Bear CBBC Mar 2009 D	200,000,000	2008/10/14	2009/03/09	50.00
31896	UBS AG – China Rail Cons R Bull CBBC Mar 2009 D	200,000,000	2008/10/14	2009/03/09	57.00
31897	UBS AG – China Shenhua R Bull CBBC Apr 2009 C	200,000,000	2008/10/14	2009/04/27	53.00
31886	UBS AG – China Shenhua R Bear CBBC Apr 2009 B	200,000,000	2008/10/14	2009/04/27	92.00
31885	UBS AG – HS Bank R Bull CBBC Apr 2009 C	200,000,000	2008/10/14	2009/04/20	50.00
31898	UBS AG – HS Bank R Bull CBBC Apr 2009 E	200,000,000	2008/10/14	2009/04/20	62.00
31889	UBS AG – HS Bank R Bear CBBC Apr 2009 D	200,000,000	2008/10/14	2009/04/20	50.00
31905	UBS AG – HSI R Bull CBBC Jul 2009 E	500,000,000	2008/10/14	2009/07/30	190.00
31906	UBS AG – HSI R Bull CBBC Jul 2009 F	500,000,000	2008/10/14	2009/07/30	445.00
31907	UBS AG – HSI R Bull CBBC Jul 2009 O	500,000,000	2008/10/14	2009/07/30	155.00
31908	UBS AG – HSI R Bull CBBC Jul 2009 P	500,000,000	2008/10/14	2009/07/30	285.00
31909	UBS AG – HSI R Bull CBBC Jul 2009 Q	500,000,000	2008/10/14	2009/07/30	177.50
31910	UBS AG – HSI R Bull CBBC Jul 2009 R	500,000,000	2008/10/14	2009/07/30	415.00
31911	UBS AG – HSI R Bull CBBC Jul 2009 S	500,000,000	2008/10/14	2009/07/30	142.50
31912	UBS AG – HSI R Bull CBBC Jul 2009 T	500,000,000	2008/10/14	2009/07/30	265.00
31913	UBS AG – HSI R Bull CBBC Jul 2009 U	500,000,000	2008/10/14	2009/07/30	165.00
31914	UBS AG – HSI R Bull CBBC Jul 2009 V	500,000,000	2008/10/14	2009/07/30	385.00
31915	UBS AG – HSI R Bull CBBC Jul 2009 W	500,000,000	2008/10/14	2009/07/30	132.50
31916	UBS AG – HSI R Bull CBBC Jul 2009 X	500,000,000	2008/10/14	2009/07/30	245.00
31917	UBS AG – HSI R Bull CBBC Aug 2009 A	500,000,000	2008/10/14	2009/08/28	205.00
31918	UBS AG – HSI R Bull CBBC Aug 2009 B	500,000,000	2008/10/14	2009/08/28	480.00
31919	UBS AG – HSI R Bull CBBC Aug 2009 C	500,000,000	2008/10/14	2009/08/28	165.00
31920	UBS AG – HSI R Bull CBBC Aug 2009 D	500,000,000	2008/10/14	2009/08/28	305.00
31903	UBS AG – Hutchison R Bull CBBC Apr 2009 A	200,000,000	2008/10/14	2009/04/27	50.00
31904	UBS AG – Petrochina R Bear CBBC Mar 2009 S	300,000,000	2008/10/14	2009/03/09	75.00
31890	UBS AG – Ping An R Bear CBBC Apr 2009 B	200,000,000	2008/10/14	2009/04/20	50.00
31991	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 X	300,000,000	2008/10/15	2009/05/27	75.60
31992	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 Y	300,000,000	2008/10/15	2009/05/27	77.40
31995	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 F	300,000,000	2008/10/15	2009/05/27	77.10
31994	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 G	300,000,000	2008/10/15	2009/05/27	75.90
31993	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 Z	300,000,000	2008/10/15	2009/05/27	76.80
31983	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Oct 2009 A	200,000,000	2008/10/15	2009/10/29	50.00
31984	BNP Paribas Arbit Issu B.V. – Ch Mob R Bear CBBC Oct 2009 B	200,000,000	2008/10/15	2009/10/29	62.00
31985	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Nov 2009 A	200,000,000	2008/10/15	2009/11/27	50.00
31986	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Nov 2009 B	200,000,000	2008/10/15	2009/11/27	60.00
31989	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2009 A	200,000,000	2008/10/15	2009/11/27	50.00
31990	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Nov 2009 B	200,000,000	2008/10/15	2009/11/27	50.00
31987	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2009 O	300,000,000	2008/10/15	2009/06/29	75.00
31988	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2009 P	300,000,000	2008/10/15	2009/06/29	75.00
31958	Deutsche Bank AG – HSI R Bull CBBC May 2009 A	300,000,000	2008/10/15	2009/05/27	99.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31959	Deutsche Bank AG – HSI R Bull CBBC May 2009 D	300,000,000	2008/10/15	2009/05/27	81.00
31960	Deutsche Bank AG – HSI R Bull CBBC May 2009 H	300,000,000	2008/10/15	2009/05/27	75.00
31961	Deutsche Bank AG – HSI R Bear CBBC May 2009 I	300,000,000	2008/10/15	2009/05/27	75.00
31962	Deutsche Bank AG – HSI R Bear CBBC May 2009 J	300,000,000	2008/10/15	2009/05/27	78.00
31996	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2009 D	200,000,000	2008/10/15	2009/06/29	50.00
32000	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2009 E	200,000,000	2008/10/15	2009/06/29	50.00
32001	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2009 F	200,000,000	2008/10/15	2009/06/29	50.00
31931	HK Bank – HSI R Bull CBBC Mar 2009 G	280,000,000	2008/10/15	2009/03/30	70.00
31955	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 B	400,000,000	2008/10/15	2009/04/29	100.00
31956	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 C	400,000,000	2008/10/15	2009/04/29	100.00
31957	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 D	400,000,000	2008/10/15	2009/04/29	100.00
31929	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2009 I	288,000,000	2008/10/15	2009/04/29	75.17
31930	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2009 J	288,000,000	2008/10/15	2009/04/29	72.29
31921	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09S	500,000,000	2008/10/15	2009/01/29	125.00
31922	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09T	500,000,000	2008/10/15	2009/01/29	125.00
31923	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09U	500,000,000	2008/10/15	2009/01/29	125.00
31932	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan09Y	500,000,000	2008/10/15	2009/01/29	142.50
31924	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09K	500,000,000	2008/10/15	2009/03/30	135.00
31933	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09O	500,000,000	2008/10/15	2009/03/30	125.00
31934	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09R	500,000,000	2008/10/15	2009/03/30	125.00
31925	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan09V	500,000,000	2008/10/15	2009/01/29	125.00
31926	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan09W	500,000,000	2008/10/15	2009/01/29	125.00
31927	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan09X	500,000,000	2008/10/15	2009/01/29	125.00
31928	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09M	500,000,000	2008/10/15	2009/03/30	125.00
31935	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09V	500,000,000	2008/10/15	2009/03/30	125.00
31954	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09W	500,000,000	2008/10/15	2009/03/30	125.00
31971	UBS AG – BOCL R Bull CBBC Apr 2009 B	200,000,000	2008/10/15	2009/04/27	248.00
31966	UBS AG – China Mobile R Bear CBBC Apr 2009 A	300,000,000	2008/10/15	2009/04/27	75.00
31970	UBS AG – CC Bank R Bull CBBC Jun 2009 A	200,000,000	2008/10/15	2009/06/22	73.00
31975	UBS AG – CC Bank R Bear CBBC Apr 2009 F	200,000,000	2008/10/15	2009/04/06	50.00
31973	UBS AG – China Life R Bear CBBC Apr 2009 A	300,000,000	2008/10/15	2009/04/27	108.00
31972	UBS AG – China Life R Bear CBBC Apr 2009 Z	300,000,000	2008/10/15	2009/04/27	78.00
31974	UBS AG – CM Bank R Bull CBBC May 2009 C	200,000,000	2008/10/15	2009/05/18	65.00
31981	UBS AG – CM Bank R Bear CBBC May 2009 D	200,000,000	2008/10/15	2009/05/18	76.00
31976	UBS AG – CNOOC R Bear CBBC Mar 2009 E	200,000,000	2008/10/15	2009/03/09	50.00
31979	UBS AG – A50 China R Bear CBBC Apr 2009 S	300,000,000	2008/10/15	2009/04/06	75.00
31980	UBS AG – A50 China R Bear CBBC Apr 2009 T	300,000,000	2008/10/15	2009/04/06	75.00
31936	UBS AG – HSCEI R Bull CBBC Apr 2009 C	500,000,000	2008/10/15	2009/04/29	125.00
31937	UBS AG – HSCEI R Bull CBBC Apr 2009 E	500,000,000	2008/10/15	2009/04/29	125.00
31938	UBS AG – HSCEI R Bull CBBC Apr 2009 L	500,000,000	2008/10/15	2009/04/29	125.00
31963	UBS AG – HSCEI R Bull CBBC Apr 2009 R	500,000,000	2008/10/15	2009/04/29	125.00
31951	UBS AG – HSCEI R Bear CBBC Apr 2009 N	500,000,000	2008/10/15	2009/04/29	125.00
31952	UBS AG – HSCEI R Bear CBBC Apr 2009 O	500,000,000	2008/10/15	2009/04/29	125.00
31953	UBS AG – HSCEI R Bear CBBC Apr 2009 P	500,000,000	2008/10/15	2009/04/29	125.00
31967	UBS AG – HSCEI R Bear CBBC Apr 2009 T	500,000,000	2008/10/15	2009/04/29	125.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
31939	UBS AG – HSI R Bull CBBC May 2009 A	500,000,000	2008/10/15	2009/05/27	125.00
31946	UBS AG – HSI R Bull CBBC May 2009 C	500,000,000	2008/10/15	2009/05/27	125.00
31964	UBS AG – HSI R Bull CBBC Jun 2009 E	500,000,000	2008/10/15	2009/06/29	125.00
31965	UBS AG – HSI R Bull CBBC Jun 2009 F	500,000,000	2008/10/15	2009/06/29	125.00
31947	UBS AG – HSI R Bull CBBC Jun 2009 L	500,000,000	2008/10/15	2009/06/29	125.00
31948	UBS AG – HSI R Bear CBBC Apr 2009 S	500,000,000	2008/10/15	2009/04/29	125.00
31949	UBS AG – HSI R Bear CBBC Apr 2009 T	500,000,000	2008/10/15	2009/04/29	125.00
31940	UBS AG – HSI R Bear CBBC May 2009 D	500,000,000	2008/10/15	2009/05/27	125.00
31941	UBS AG – HSI R Bear CBBC May 2009 L	500,000,000	2008/10/15	2009/05/27	125.00
31942	UBS AG – HSI R Bear CBBC May 2009 N	500,000,000	2008/10/15	2009/05/27	125.00
31943	UBS AG – HSI R Bear CBBC May 2009 O	500,000,000	2008/10/15	2009/05/27	125.00
31944	UBS AG – HSI R Bear CBBC May 2009 U	500,000,000	2008/10/15	2009/05/27	125.00
31945	UBS AG – HSI R Bear CBBC May 2009 Y	500,000,000	2008/10/15	2009/05/27	125.00
31968	UBS AG – HSI R Bear CBBC Jun 2009 G	500,000,000	2008/10/15	2009/06/29	125.00
31969	UBS AG – HSI R Bear CBBC Jun 2009 H	500,000,000	2008/10/15	2009/06/29	125.00
31950	UBS AG – HSI R Bear CBBC Jun 2009 M	500,000,000	2008/10/15	2009/06/29	125.00
31977	UBS AG – Petrochina R Bull CBBC Mar 2009 T	300,000,000	2008/10/15	2009/03/09	81.00
31982	UBS AG – Petrochina R Bear CBBC Mar 2009 U	300,000,000	2008/10/15	2009/03/09	75.00
31978	UBS AG – Ping An R Bear CBBC Apr 2009 C	200,000,000	2008/10/15	2009/04/20	50.00
32027	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Apr 2009 M	300,000,000	2008/10/16	2009/04/29	75.00
32028	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Apr 2009 N	300,000,000	2008/10/16	2009/04/29	78.60
32016	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 B	300,000,000	2008/10/16	2009/06/29	75.00
32020	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 C	300,000,000	2008/10/16	2009/06/29	90.00
32025	CC Rabobank B.A. – HSI R Bull CBBC Jul 2009 C	50,000,000	2008/10/16	2009/07/30	12.50
32026	CC Rabobank B.A. – HSI R Bear CBBC Jun 2009 F	50,000,000	2008/10/16	2009/06/29	12.50
31997	SGA Societe Generale Acceptance N.V – CLife R Bull CBBC Mar09A	150,000,000	2008/10/16	2009/03/20	126.00
31998	SGA Societe Generale Acceptance N.V – CLife R Bull CBBC Mar09B	150,000,000	2008/10/16	2009/03/20	82.50
31999	SGA Societe Generale Acceptance N.V – CLife R Bull CBBC May09A	150,000,000	2008/10/16	2009/05/25	37.50
32002	SGA Societe Generale Acceptance N.V – CLife R Bear CBBC Mar09C	150,000,000	2008/10/16	2009/03/20	84.00
32003	SGA Societe Generale Acceptance N.V – CLife R Bear CBBC Mar09D	150,000,000	2008/10/16	2009/03/20	133.50
32004	SGA Societe Generale Acceptance N.V – CLife R Bear CBBC May09B	150,000,000	2008/10/16	2009/05/25	37.50
32005	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09A	300,000,000	2008/10/16	2009/05/27	120.00
32006	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09B	300,000,000	2008/10/16	2009/05/27	105.00
32007	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09C	300,000,000	2008/10/16	2009/05/27	90.00
32008	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09D	300,000,000	2008/10/16	2009/05/27	75.00
32021	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09I	300,000,000	2008/10/16	2009/05/27	75.00
32022	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09J	300,000,000	2008/10/16	2009/05/27	75.00
32009	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09E	300,000,000	2008/10/16	2009/05/27	75.00
32010	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09F	300,000,000	2008/10/16	2009/05/27	75.00
32011	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09G	300,000,000	2008/10/16	2009/05/27	90.00
32012	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09H	300,000,000	2008/10/16	2009/05/27	108.00
32023	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09K	300,000,000	2008/10/16	2009/05/27	105.00
32024	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09L	300,000,000	2008/10/16	2009/05/27	126.00
32013	UBS AG – HSI R Bull CBBC Aug 2009 E	500,000,000	2008/10/16	2009/08/28	125.00
32014	UBS AG – HSI R Bull CBBC Aug 2009 F	500,000,000	2008/10/16	2009/08/28	125.00

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32015	UBS AG – HSI R Bull CBBC Aug 2009 G	500,000,000	2008/10/16	2009/08/28	125.00
32017	UBS AG – HSI R Bear CBBC Aug 2009 H	500,000,000	2008/10/16	2009/08/28	125.00
32018	UBS AG – HSI R Bear CBBC Aug 2009 I	500,000,000	2008/10/16	2009/08/28	125.00
32019	UBS AG – HSI R Bear CBBC Aug 2009 J	500,000,000	2008/10/16	2009/08/28	125.00
32098	ABN AMRO Bank N.V. – DJIA R Bull CBBC Mar 2009 G	300,000,000	2008/10/17	2009/03/20	284.10
32102	ABN AMRO Bank N.V. – DJIA R Bear CBBC Mar 2009 H	300,000,000	2008/10/17	2009/03/20	243.30
32087	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 N	300,000,000	2008/10/17	2009/04/29	75.30
32088	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 X	300,000,000	2008/10/17	2009/04/29	76.50
32089	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2009 G	300,000,000	2008/10/17	2009/07/30	75.00
32090	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2009 H	300,000,000	2008/10/17	2009/07/30	75.00
32091	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 D	300,000,000	2008/10/17	2009/08/28	93.00
32092	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 E	300,000,000	2008/10/17	2009/08/28	75.00
32093	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 A	500,000,000	2008/10/17	2009/09/29	125.00
32094	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 B	300,000,000	2008/10/17	2009/09/29	90.00
32095	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 I	300,000,000	2008/10/17	2009/07/30	75.00
32096	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 F	300,000,000	2008/10/17	2009/08/28	75.00
32097	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 G	300,000,000	2008/10/17	2009/08/28	75.00
32101	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 D	300,000,000	2008/10/17	2009/06/29	105.00
32104	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 E	300,000,000	2008/10/17	2009/06/29	90.00
32105	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 F	300,000,000	2008/10/17	2009/06/29	75.00
32106	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 G	300,000,000	2008/10/17	2009/06/29	75.00
32107	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 H	300,000,000	2008/10/17	2009/06/29	84.00
32065	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2009 G	200,000,000	2008/10/17	2009/06/29	50.00
32066	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jun 2009 H	200,000,000	2008/10/17	2009/06/29	50.00
32067	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2009 E	200,000,000	2008/10/17	2009/07/30	50.00
32057	HK Bank – HSI R Bull CBBC Mar 2009 H	500,000,000	2008/10/17	2009/03/30	125.00
32058	HK Bank – HSI R Bull CBBC Mar 2009 I	500,000,000	2008/10/17	2009/03/30	125.00
32061	HK Bank – HSI R Bear CBBC Mar 2009 J	500,000,000	2008/10/17	2009/03/30	125.00
32062	HK Bank – HSI R Bear CBBC Mar 2009 K	500,000,000	2008/10/17	2009/03/30	125.00
32063	HK Bank – HSI R Bear CBBC Mar 2009 L	500,000,000	2008/10/17	2009/03/30	125.00
32099	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC May 2009 A	400,000,000	2008/10/17	2009/05/27	100.00
32103	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC May 2009 B	400,000,000	2008/10/17	2009/05/27	100.00
32059	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 E	400,000,000	2008/10/17	2009/04/29	100.00
32060	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 F	400,000,000	2008/10/17	2009/04/29	100.00
32064	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 A	380,000,000	2008/10/17	2009/05/27	98.80
32100	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 G	400,000,000	2008/10/17	2009/04/29	100.00
32032	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul09A	600,000,000	2008/10/17	2009/07/27	168.00
32033	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul09B	600,000,000	2008/10/17	2009/07/27	150.00
32034	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul09C	60,000,000	2008/10/17	2009/07/27	57.00
32035	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Jul09D	60,000,000	2008/10/17	2009/07/27	52.20
32036	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Jul09E	600,000,000	2008/10/17	2009/07/27	150.00
32037	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Jul09F	600,000,000	2008/10/17	2009/07/27	174.00
32029	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09A	500,000,000	2008/10/17	2009/03/30	150.00
32030	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09H	500,000,000	2008/10/17	2009/03/30	125.00
32031	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09U	500,000,000	2008/10/17	2009/03/30	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
32040	UBS AG – China Mobile R Bear CBBC Apr 2009 B	300,000,000	2008/10/17	2009/04/27	75.00
32038	UBS AG – China Life R Bear CBBC Apr 2009 D	300,000,000	2008/10/17	2009/04/27	75.00
32039	UBS AG – China Life R Bear CBBC Apr 2009 E	300,000,000	2008/10/17	2009/04/27	75.00
32046	UBS AG – A50 China R Bear CBBC Apr 2009 U	300,000,000	2008/10/17	2009/04/06	75.00
32041	UBS AG – HKEx R Bear CBBC Apr 2009 C	200,000,000	2008/10/17	2009/04/06	50.00
32042	UBS AG – HSBC R Bear CBBC Apr 2009 B	300,000,000	2008/10/17	2009/04/06	75.00
32043	UBS AG – HSBC R Bear CBBC Apr 2009 C	300,000,000	2008/10/17	2009/04/06	75.00
32068	UBS AG – HSCEI R Bull CBBC Apr 2009 A	500,000,000	2008/10/17	2009/04/29	125.00
32069	UBS AG – HSCEI R Bull CBBC Apr 2009 B	500,000,000	2008/10/17	2009/04/29	125.00
32072	UBS AG – HSCEI R Bear CBBC Apr 2009 D	500,000,000	2008/10/17	2009/04/29	125.00
32073	UBS AG – HSCEI R Bear CBBC Apr 2009 M	500,000,000	2008/10/17	2009/04/29	125.00
32047	UBS AG – HSI R Bull CBBC May 2009 F	500,000,000	2008/10/17	2009/05/27	125.00
32048	UBS AG – HSI R Bull CBBC May 2009 G	500,000,000	2008/10/17	2009/05/27	125.00
32049	UBS AG – HSI R Bull CBBC May 2009 K	500,000,000	2008/10/17	2009/05/27	125.00
32074	UBS AG – HSI R Bull CBBC Jun 2009 D	500,000,000	2008/10/17	2009/06/29	125.00
32075	UBS AG – HSI R Bull CBBC Aug 2009 K	500,000,000	2008/10/17	2009/08/28	125.00
32076	UBS AG – HSI R Bull CBBC Aug 2009 L	500,000,000	2008/10/17	2009/08/28	125.00
32077	UBS AG – HSI R Bull CBBC Aug 2009 M	500,000,000	2008/10/17	2009/08/28	180.00
32078	UBS AG – HSI R Bull CBBC Aug 2009 N	500,000,000	2008/10/17	2009/08/28	415.00
32079	UBS AG – HSI R Bull CBBC Aug 2009 O	500,000,000	2008/10/17	2009/08/28	142.50
32080	UBS AG – HSI R Bull CBBC Aug 2009 P	500,000,000	2008/10/17	2009/08/28	265.00
32081	UBS AG – HSI R Bull CBBC Aug 2009 Q	500,000,000	2008/10/17	2009/08/28	165.00
32082	UBS AG – HSI R Bull CBBC Aug 2009 R	500,000,000	2008/10/17	2009/08/28	385.00
32083	UBS AG – HSI R Bull CBBC Aug 2009 S	500,000,000	2008/10/17	2009/08/28	132.50
32084	UBS AG – HSI R Bull CBBC Aug 2009 T	500,000,000	2008/10/17	2009/08/28	125.00
32085	UBS AG – HSI R Bull CBBC Aug 2009 U	500,000,000	2008/10/17	2009/08/28	245.00
32050	UBS AG – HSI R Bear CBBC May 2009 B	500,000,000	2008/10/17	2009/05/27	125.00
32056	UBS AG – HSI R Bear CBBC May 2009 S	500,000,000	2008/10/17	2009/05/27	125.00
32051	UBS AG – HSI R Bear CBBC Jun 2009 A	500,000,000	2008/10/17	2009/06/29	125.00
32052	UBS AG – HSI R Bear CBBC Jun 2009 B	500,000,000	2008/10/17	2009/06/29	125.00
32053	UBS AG – HSI R Bear CBBC Jun 2009 C	500,000,000	2008/10/17	2009/06/29	125.00
32054	UBS AG – HSI R Bear CBBC Jun 2009 I	500,000,000	2008/10/17	2009/06/29	125.00
32055	UBS AG – HSI R Bear CBBC Jun 2009 J	500,000,000	2008/10/17	2009/06/29	125.00
32086	UBS AG – HSI R Bear CBBC Jun 2009 X	500,000,000	2008/10/17	2009/06/29	125.00
32070	UBS AG – Petrochina R Bull CBBC Apr 2009 C	300,000,000	2008/10/17	2009/04/27	87.00
32071	UBS AG – Petrochina R Bull CBBC Apr 2009 D	300,000,000	2008/10/17	2009/04/27	75.00
32044	UBS AG – Petrochina R Bear CBBC Apr 2009 A	300,000,000	2008/10/17	2009/04/27	75.00
32045	UBS AG – Petrochina R Bear CBBC Apr 2009 B	300,000,000	2008/10/17	2009/04/27	75.00
32125	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 A	300,000,000	2008/10/20	2009/04/29	75.30
32124	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 Y	300,000,000	2008/10/20	2009/04/29	76.80
32126	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 I	300,000,000	2008/10/20	2009/06/29	96.00
32127	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 J	300,000,000	2008/10/20	2009/06/29	84.00
32128	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 K	300,000,000	2008/10/20	2009/06/29	75.00
32122	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jul09A	400,000,000	2008/10/20	2009/07/27	128.00
32123	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Jan09A	400,000,000	2008/10/20	2009/01/23	144.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
32114	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jan09A	40,000,000	2008/10/20	2009/01/23	26.00
32115	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jul09A	400,000,000	2008/10/20	2009/07/27	188.00
32116	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jul09B	400,000,000	2008/10/20	2009/07/27	148.00
32117	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jul09C	400,000,000	2008/10/20	2009/07/27	100.00
32118	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jan09B	40,000,000	2008/10/20	2009/01/23	31.60
32119	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09D	400,000,000	2008/10/20	2009/07/27	100.00
32120	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09E	400,000,000	2008/10/20	2009/07/27	164.00
32121	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09F	400,000,000	2008/10/20	2009/07/27	228.00
32110	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09I	500,000,000	2008/10/20	2009/03/30	125.00
32111	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09J	500,000,000	2008/10/20	2009/03/30	125.00
32112	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09Q	500,000,000	2008/10/20	2009/03/30	125.00
32108	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09F	500,000,000	2008/10/20	2009/04/29	145.00
32109	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09G	500,000,000	2008/10/20	2009/04/29	125.00
32113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09X	500,000,000	2008/10/20	2009/03/30	125.00
32170	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 Z	300,000,000	2008/10/21	2009/04/29	78.30
32167	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 L	300,000,000	2008/10/21	2009/06/29	88.50
32168	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 M	300,000,000	2008/10/21	2009/06/29	75.00
32169	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 N	300,000,000	2008/10/21	2009/06/29	87.00
32129	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09A	500,000,000	2008/10/21	2009/07/24	165.00
32130	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09B	500,000,000	2008/10/21	2009/07/24	130.00
32131	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09C	500,000,000	2008/10/21	2009/07/24	130.00
32132	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09D	500,000,000	2008/10/21	2009/07/24	125.00
32133	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09E	500,000,000	2008/10/21	2009/07/24	125.00
32134	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09F	500,000,000	2008/10/21	2009/07/24	155.00
32142	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar09H	300,000,000	2008/10/21	2009/03/30	87.00
32135	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09H	500,000,000	2008/10/21	2009/04/29	125.00
32136	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09I	500,000,000	2008/10/21	2009/04/29	125.00
32137	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09J	500,000,000	2008/10/21	2009/04/29	125.00
32138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09M	300,000,000	2008/10/21	2009/05/27	126.00
32139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09N	300,000,000	2008/10/21	2009/05/27	144.00
32140	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09O	300,000,000	2008/10/21	2009/05/27	165.00
32141	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09P	300,000,000	2008/10/21	2009/05/27	186.00
32160	UBS AG – China Mobile R Bull CBBC Apr 2009 C	300,000,000	2008/10/21	2009/04/27	75.00
32161	UBS AG – China Mobile R Bull CBBC Apr 2009 D	300,000,000	2008/10/21	2009/04/27	75.00
32162	UBS AG – China Mobile R Bull CBBC Apr 2009 E	300,000,000	2008/10/21	2009/04/27	75.00
32155	UBS AG – China Life R Bull CBBC Apr 2009 B	300,000,000	2008/10/21	2009/04/27	171.00
32156	UBS AG – China Life R Bull CBBC Apr 2009 K	300,000,000	2008/10/21	2009/04/27	139.50
32157	UBS AG – China Life R Bull CBBC Apr 2009 L	300,000,000	2008/10/21	2009/04/27	109.50
32158	UBS AG – China Life R Bull CBBC Apr 2009 M	300,000,000	2008/10/21	2009/04/27	79.50
32159	UBS AG – China Life R Bull CBBC Apr 2009 N	300,000,000	2008/10/21	2009/04/27	75.00
32166	UBS AG – A50 China R Bull CBBC Apr 2009 V	300,000,000	2008/10/21	2009/04/06	75.00
32163	UBS AG – HKEx R Bull CBBC Apr 2009 D	200,000,000	2008/10/21	2009/04/06	50.00
32164	UBS AG – HSBC R Bull CBBC Apr 2009 D	300,000,000	2008/10/21	2009/04/06	75.00
32143	UBS AG – HSCEI R Bull CBBC Apr 2009 K	500,000,000	2008/10/21	2009/04/29	125.00
32152	UBS AG – HSCEI R Bear CBBC Apr 2009 Q	500,000,000	2008/10/21	2009/04/29	125.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
32144	UBS AG – HSI R Bull CBBC May 2009 H	500,000,000	2008/10/21	2009/05/27	125.00
32145	UBS AG – HSI R Bull CBBC Jun 2009 Y	500,000,000	2008/10/21	2009/06/29	125.00
32146	UBS AG – HSI R Bull CBBC Jul 2009 Y	500,000,000	2008/10/21	2009/07/30	125.00
32147	UBS AG – HSI R Bull CBBC Jul 2009 Z	500,000,000	2008/10/21	2009/07/30	125.00
32148	UBS AG – HSI R Bull CBBC Aug 2009 V	500,000,000	2008/10/21	2009/08/28	125.00
32149	UBS AG – HSI R Bull CBBC Aug 2009 W	500,000,000	2008/10/21	2009/08/28	125.00
32150	UBS AG – HSI R Bull CBBC Aug 2009 X	500,000,000	2008/10/21	2009/08/28	125.00
32151	UBS AG – HSI R Bull CBBC Aug 2009 Y	500,000,000	2008/10/21	2009/08/28	125.00
32153	UBS AG – HSI R Bear CBBC May 2009 I	500,000,000	2008/10/21	2009/05/27	125.00
32154	UBS AG – HSI R Bear CBBC Jun 2009 Z	500,000,000	2008/10/21	2009/06/29	125.00
32165	UBS AG – Petrochina R Bull CBBC Apr 2009 E	300,000,000	2008/10/21	2009/04/27	75.00
32190	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Oct 2009 A	200,000,000	2008/10/22	2009/10/29	50.00
32191	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 C	300,000,000	2008/10/22	2009/09/29	75.00
32192	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 H	300,000,000	2008/10/22	2009/08/28	75.00
32179	HK Bank – HSI R Bull CBBC Mar 2009 M	500,000,000	2008/10/22	2009/03/30	125.00
32180	HK Bank – HSI R Bull CBBC Mar 2009 N	500,000,000	2008/10/22	2009/03/30	125.00
32194	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2009 Y	400,000,000	2008/10/22	2009/03/30	100.00
32171	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09K	500,000,000	2008/10/22	2009/04/29	125.00
32172	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09L	500,000,000	2008/10/22	2009/04/29	125.00
32173	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09Q	300,000,000	2008/10/22	2009/05/27	75.00
32174	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09R	300,000,000	2008/10/22	2009/05/27	75.00
32175	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09S	300,000,000	2008/10/22	2009/05/27	75.00
32176	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09T	300,000,000	2008/10/22	2009/05/27	180.00
32177	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09U	300,000,000	2008/10/22	2009/05/27	204.00
32178	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09V	300,000,000	2008/10/22	2009/05/27	222.00
32181	UBS AG – HSCEI R Bull CBBC Apr 2009 E	500,000,000	2008/10/22	2009/04/29	125.00
32182	UBS AG – HSCEI R Bull CBBC Apr 2009 S	500,000,000	2008/10/22	2009/04/29	125.00
32185	UBS AG – HSCEI R Bear CBBC Apr 2009 Y	500,000,000	2008/10/22	2009/04/29	125.00
32186	UBS AG – HSCEI R Bear CBBC Apr 2009 Z	500,000,000	2008/10/22	2009/04/29	125.00
32183	UBS AG – HSI R Bull CBBC May 2009 A	500,000,000	2008/10/22	2009/05/27	125.00
32184	UBS AG – HSI R Bull CBBC May 2009 C	500,000,000	2008/10/22	2009/05/27	125.00
32189	UBS AG – HSI R Bull CBBC Jun 2009 G	500,000,000	2008/10/22	2009/06/29	125.00
32187	UBS AG – HSI R Bear CBBC May 2009 D	500,000,000	2008/10/22	2009/05/27	125.00
32188	UBS AG – HSI R Bear CBBC May 2009 G	500,000,000	2008/10/22	2009/05/27	125.00
32193	UBS AG – HSI R Bear CBBC Jun 2009 H	500,000,000	2008/10/22	2009/06/29	125.00
32204	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 O	300,000,000	2008/10/23	2009/06/29	75.00
32205	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 P	300,000,000	2008/10/23	2009/06/29	78.00
32208	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 Q	300,000,000	2008/10/23	2009/06/29	82.50
32209	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 R	300,000,000	2008/10/23	2009/06/29	75.00
32210	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09W	300,000,000	2008/10/23	2009/05/27	126.00
32212	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09X	300,000,000	2008/10/23	2009/05/27	75.00
32211	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09Y	300,000,000	2008/10/23	2009/05/27	108.00
32195	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09M	500,000,000	2008/10/23	2009/04/29	125.00
32213	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09N	500,000,000	2008/10/23	2009/04/29	125.00
32206	UBS AG – China Mobile R Bear CBBC Apr 2009 F	300,000,000	2008/10/23	2009/04/27	75.00

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32207	UBS AG – China Mobile R Bear CBBC Apr 2009 G	300,000,000	2008/10/23	2009/04/27	75.00
32196	UBS AG – HSCEI R Bull CBBC Apr 2009 L	500,000,000	2008/10/23	2009/04/29	125.00
32197	UBS AG – HSCEI R Bull CBBC Apr 2009 N	500,000,000	2008/10/23	2009/04/29	125.00
32202	UBS AG – HSCEI R Bear CBBC Apr 2009 O	500,000,000	2008/10/23	2009/04/29	125.00
32203	UBS AG – HSCEI R Bear CBBC Apr 2009 P	500,000,000	2008/10/23	2009/04/29	125.00
32198	UBS AG – HSI R Bull CBBC Apr 2009 S	500,000,000	2008/10/23	2009/04/29	125.00
32199	UBS AG – HSI R Bull CBBC Apr 2009 T	500,000,000	2008/10/23	2009/04/29	125.00
32200	UBS AG – HSI R Bear CBBC Jun 2009 L	500,000,000	2008/10/23	2009/06/29	125.00
32201	UBS AG – HSI R Bear CBBC Jun 2009 M	500,000,000	2008/10/23	2009/06/29	125.00
32214	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09Z	300,000,000	2008/10/24	2009/05/27	75.00
32215	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09O	500,000,000	2008/10/24	2009/04/29	125.00
32216	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09A	500,000,000	2008/10/24	2009/07/24	165.00
32217	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09B	500,000,000	2008/10/24	2009/07/24	130.00
32218	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09C	500,000,000	2008/10/24	2009/07/24	130.00
32219	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Jul09D	500,000,000	2008/10/24	2009/07/24	125.00
32220	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Jul09E	500,000,000	2008/10/24	2009/07/24	125.00
32221	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Jul09F	500,000,000	2008/10/24	2009/07/24	155.00
32222	UBS AG – HSCEI R Bull CBBC Apr 2009 C	500,000,000	2008/10/24	2009/04/29	125.00
32226	UBS AG – HSCEI R Bear CBBC Apr 2009 T	500,000,000	2008/10/24	2009/04/29	125.00
32223	UBS AG – HSI R Bull CBBC May 2009 B	500,000,000	2008/10/24	2009/05/27	125.00
32225	UBS AG – HSI R Bull CBBC May 2009 F	500,000,000	2008/10/24	2009/05/27	125.00
32224	UBS AG – HSI R Bull CBBC May 2009 L	500,000,000	2008/10/24	2009/05/27	125.00
32227	UBS AG – HSI R Bear CBBC May 2009 K	500,000,000	2008/10/24	2009/05/27	125.00
32228	UBS AG – HSI R Bear CBBC May 2009 S	500,000,000	2008/10/24	2009/05/27	125.00
32229	UBS AG – HSI R Bear CBBC Jun 2009 E	500,000,000	2008/10/24	2009/06/29	125.00
32233	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 D	300,000,000	2008/10/27	2009/09/29	75.00
32234	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 S	300,000,000	2008/10/27	2009/06/29	75.00
32235	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 T	300,000,000	2008/10/27	2009/06/29	75.00
32238	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 U	300,000,000	2008/10/27	2009/06/29	75.00
32232	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Mar09A	500,000,000	2008/10/27	2009/03/27	125.00
32230	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Feb09A	150,000,000	2008/10/27	2009/02/20	136.50
32231	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Feb09B	150,000,000	2008/10/27	2009/02/20	106.50
32236	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09P	500,000,000	2008/10/28	2009/04/29	125.00
32237	UBS AG – A50 China R Bull CBBC May 2009 A	300,000,000	2008/10/28	2009/05/04	75.00
32239	UBS AG – HSI R Bear CBBC May 2009 H	500,000,000	2008/10/28	2009/05/27	125.00
32276	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 W	300,000,000	2008/10/29	2009/04/29	77.10
32248	BNP Paribas Arbit Issu B.V. – China Life R Bull CBBC Oct 09A	200,000,000	2008/10/29	2009/10/29	50.00
32252	BNP Paribas Arbit Issu B.V. – China Life R Bear CBBC Oct 09B	200,000,000	2008/10/29	2009/10/29	50.00
32249	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Nov 2009 C	200,000,000	2008/10/29	2009/11/27	50.00
32260	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 E	300,000,000	2008/10/29	2009/09/29	75.00
32259	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 I	300,000,000	2008/10/29	2009/08/28	75.00
32263	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 F	300,000,000	2008/10/29	2009/09/29	75.00
32261	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 V	300,000,000	2008/10/29	2009/06/29	75.00
32262	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 W	300,000,000	2008/10/29	2009/06/29	75.00
32264	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 X	300,000,000	2008/10/29	2009/06/29	75.00

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32268	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 Y	300,000,000	2008/10/29	2009/06/29	75.00
32277	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 B	400,000,000	2008/10/29	2009/05/27	100.00
32278	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 C	400,000,000	2008/10/29	2009/05/27	100.00
32250	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2009 K	288,000,000	2008/10/29	2009/04/29	72.00
32242	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr09B	300,000,000	2008/10/29	2009/04/24	108.00
32243	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr09C	300,000,000	2008/10/29	2009/04/24	81.00
32244	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Sep09A	300,000,000	2008/10/29	2009/09/30	75.00
32245	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Apr09D	300,000,000	2008/10/29	2009/04/24	90.00
32246	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Apr09E	300,000,000	2008/10/29	2009/04/24	129.00
32247	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Jul09B	300,000,000	2008/10/29	2009/07/31	75.00
32240	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09Q	500,000,000	2008/10/29	2009/04/29	125.00
32241	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09D	300,000,000	2008/10/29	2009/05/27	75.00
32271	UBS AG – China Mobile R Bear CBBC Apr 2009 H	300,000,000	2008/10/29	2009/04/27	75.00
32269	UBS AG – China Life R Bear CBBC Apr 2009 T	300,000,000	2008/10/29	2009/04/27	79.50
32270	UBS AG – CM Bank R Bull CBBC May 2009 E	200,000,000	2008/10/29	2009/05/25	70.00
32251	UBS AG – HSCEI R Bull CBBC Apr 2009 B	500,000,000	2008/10/29	2009/04/29	125.00
32265	UBS AG – HSCEI R Bull CBBC Apr 2009 D	500,000,000	2008/10/29	2009/04/29	125.00
32272	UBS AG – HSCEI R Bear CBBC Apr 2009 M	500,000,000	2008/10/29	2009/04/29	125.00
32256	UBS AG – HSCEI R Bear CBBC Apr 2009 R	500,000,000	2008/10/29	2009/04/29	125.00
32266	UBS AG – HSI R Bull CBBC Jun 2009 A	500,000,000	2008/10/29	2009/06/29	125.00
32267	UBS AG – HSI R Bull CBBC Jun 2009 B	500,000,000	2008/10/29	2009/06/29	125.00
32254	UBS AG – HSI R Bear CBBC May 2009 A	500,000,000	2008/10/29	2009/05/27	125.00
32255	UBS AG – HSI R Bear CBBC May 2009 C	500,000,000	2008/10/29	2009/05/27	125.00
32273	UBS AG – HSI R Bear CBBC Jun 2009 C	500,000,000	2008/10/29	2009/06/29	125.00
32274	UBS AG – HSI R Bear CBBC Jun 2009 F	500,000,000	2008/10/29	2009/06/29	125.00
32275	UBS AG – HSI R Bear CBBC Jun 2009 X	500,000,000	2008/10/29	2009/06/29	125.00
32253	UBS AG – Ping An R Bull CBBC Apr 2009 D	200,000,000	2008/10/29	2009/04/20	50.00
32257	UBS AG – Ping An R Bear CBBC Apr 2009 E	200,000,000	2008/10/29	2009/04/20	50.00
32258	UBS AG – Ping An R Bear CBBC Apr 2009 F	200,000,000	2008/10/29	2009/04/20	50.00
32323	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 U	300,000,000	2008/10/30	2009/04/29	75.30
32287	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 A	300,000,000	2008/10/30	2009/06/29	81.00
32286	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 Z	300,000,000	2008/10/30	2009/06/29	93.00
32289	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 G	300,000,000	2008/10/30	2009/06/29	75.00
32290	Deutsche Bank AG – HSI R Bear CBBC Jun 2009 J	300,000,000	2008/10/30	2009/06/29	78.00
32288	HK Bank – HSI R Bull CBBC Mar 2009 Q	500,000,000	2008/10/30	2009/03/30	125.00
32284	HK Bank – HSI R Bear CBBC Mar 2009 O	500,000,000	2008/10/30	2009/03/30	125.00
32285	HK Bank – HSI R Bear CBBC Mar 2009 P	500,000,000	2008/10/30	2009/03/30	125.00
32326	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Apr09A	600,000,000	2008/10/30	2009/04/24	168.00
32327	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul09G	600,000,000	2008/10/30	2009/07/27	150.00
32328	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Feb09A	60,000,000	2008/10/30	2009/02/20	82.80
32329	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Feb09B	600,000,000	2008/10/30	2009/02/20	150.00
32330	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Jul09H	600,000,000	2008/10/30	2009/07/27	150.00
32325	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Feb09C	150,000,000	2008/10/30	2009/02/20	103.50
32331	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Feb09A	600,000,000	2008/10/30	2009/02/20	186.00
32332	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Apr09A	600,000,000	2008/10/30	2009/04/24	156.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
32333	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09G	600,000,000	2008/10/30	2009/07/27	150.00
32279	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09R	500,000,000	2008/10/30	2009/04/29	140.00
32334	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09T	500,000,000	2008/10/30	2009/04/29	135.00
32335	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09C	300,000,000	2008/10/30	2009/05/27	138.00
32280	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09I	300,000,000	2008/10/30	2009/05/27	144.00
32281	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09J	300,000,000	2008/10/30	2009/05/27	138.00
32282	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09S	500,000,000	2008/10/30	2009/04/29	125.00
32336	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09U	500,000,000	2008/10/30	2009/04/29	125.00
32283	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09E	300,000,000	2008/10/30	2009/05/27	75.00
32337	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09Q	300,000,000	2008/10/30	2009/05/27	78.00
32293	UBS AG – China Mobile R Bear CBBC Apr 2009 I	300,000,000	2008/10/30	2009/04/27	75.00
32291	UBS AG – China Life R Bear CBBC Apr 2009 S	300,000,000	2008/10/30	2009/04/27	109.50
32292	UBS AG – CM Bank R Bull CBBC May 2009 F	200,000,000	2008/10/30	2009/05/25	92.00
32299	UBS AG – A50 China R Bear CBBC May 2009 B	300,000,000	2008/10/30	2009/05/04	75.00
32295	UBS AG – HKEx R Bull CBBC Jun 2009 I	200,000,000	2008/10/30	2009/06/22	57.00
32294	UBS AG – HS Bank R Bull CBBC Apr 2009 F	200,000,000	2008/10/30	2009/04/20	50.00
32296	UBS AG – HSBC R Bull CBBC Jun 2009 A	200,000,000	2008/10/30	2009/06/22	63.00
32300	UBS AG – HSBC R Bear CBBC Jun 2009 B	200,000,000	2008/10/30	2009/06/22	50.00
32301	UBS AG – HSCEI R Bull CBBC Apr 2009 A	500,000,000	2008/10/30	2009/04/29	125.00
32302	UBS AG – HSCEI R Bull CBBC Apr 2009 E	500,000,000	2008/10/30	2009/04/29	125.00
32303	UBS AG – HSCEI R Bull CBBC May 2009 E	500,000,000	2008/10/30	2009/05/27	245.00
32304	UBS AG – HSCEI R Bull CBBC May 2009 F	500,000,000	2008/10/30	2009/05/27	135.00
32305	UBS AG – HSCEI R Bear CBBC Apr 2009 J	500,000,000	2008/10/30	2009/04/29	125.00
32306	UBS AG – HSCEI R Bear CBBC Apr 2009 K	500,000,000	2008/10/30	2009/04/29	125.00
32307	UBS AG – HSI R Bull CBBC Jun 2009 D	500,000,000	2008/10/30	2009/06/29	127.50
32308	UBS AG – HSI R Bull CBBC Jun 2009 G	500,000,000	2008/10/30	2009/06/29	125.00
32309	UBS AG – HSI R Bull CBBC Jun 2009 P	500,000,000	2008/10/30	2009/06/29	125.00
32310	UBS AG – HSI R Bull CBBC Jun 2009 Y	500,000,000	2008/10/30	2009/06/29	125.00
32311	UBS AG – HSI R Bull CBBC Jul 2009 Y	500,000,000	2008/10/30	2009/07/30	160.00
32312	UBS AG – HSI R Bull CBBC Jul 2009 Z	500,000,000	2008/10/30	2009/07/30	235.00
32314	UBS AG – HSI R Bull CBBC Aug 2009 V	500,000,000	2008/10/30	2009/08/28	405.00
32315	UBS AG – HSI R Bull CBBC Aug 2009 W	500,000,000	2008/10/30	2009/08/28	137.50
32316	UBS AG – HSI R Bull CBBC Aug 2009 X	500,000,000	2008/10/30	2009/08/28	255.00
32317	UBS AG – HSI R Bull CBBC Aug 2009 Y	500,000,000	2008/10/30	2009/08/28	370.00
32313	UBS AG – HSI R Bull CBBC Aug 2009 Z	500,000,000	2008/10/30	2009/08/28	172.50
32318	UBS AG – HSI R Bear CBBC Apr 2009 S	500,000,000	2008/10/30	2009/04/29	125.00
32319	UBS AG – HSI R Bear CBBC Apr 2009 T	500,000,000	2008/10/30	2009/04/29	125.00
32320	UBS AG – HSI R Bear CBBC Jun 2009 T	500,000,000	2008/10/30	2009/06/29	125.00
32321	UBS AG – HSI R Bear CBBC Jun 2009 V	500,000,000	2008/10/30	2009/06/29	125.00
32322	UBS AG – HSI R Bear CBBC Jun 2009 W	500,000,000	2008/10/30	2009/06/29	125.00
32297	UBS AG – Hutchison R Bull CBBC Apr 2009 B	200,000,000	2008/10/30	2009/04/27	50.00
32298	UBS AG – Petrochina R Bear CBBC Apr 2009 F	300,000,000	2008/10/30	2009/04/27	75.00
32397	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Apr 2009 O	300,000,000	2008/10/31	2009/04/29	75.00
32400	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Apr 2009 P	300,000,000	2008/10/31	2009/04/29	80.10
32401	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Apr 2009 Q	300,000,000	2008/10/31	2009/04/29	77.40

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
32393	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 R	300,000,000	2008/10/31	2009/04/29	77.70
32392	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 V	300,000,000	2008/10/31	2009/04/29	82.80
32398	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 S	300,000,000	2008/10/31	2009/04/29	75.00
32399	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 T	300,000,000	2008/10/31	2009/04/29	81.00
32342	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 J	300,000,000	2008/10/31	2009/08/28	75.00
32343	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 K	300,000,000	2008/10/31	2009/08/28	75.00
32344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 G	300,000,000	2008/10/31	2009/09/29	75.00
32345	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 H	300,000,000	2008/10/31	2009/09/29	75.00
32390	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 A	300,000,000	2008/10/31	2009/07/30	87.00
32391	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 B	300,000,000	2008/10/31	2009/07/30	75.00
32394	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 C	300,000,000	2008/10/31	2009/07/30	75.00
32395	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 D	300,000,000	2008/10/31	2009/07/30	84.00
32396	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 E	300,000,000	2008/10/31	2009/07/30	93.00
32346	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC May 2009 C	400,000,000	2008/10/31	2009/05/27	100.00
32350	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC May 2009 D	400,000,000	2008/10/31	2009/05/27	100.00
32349	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 H	400,000,000	2008/10/31	2009/04/29	100.00
32347	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 D	400,000,000	2008/10/31	2009/05/27	100.00
32348	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 E	400,000,000	2008/10/31	2009/05/27	100.00
32351	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 F	400,000,000	2008/10/31	2009/05/27	100.00
32352	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 G	400,000,000	2008/10/31	2009/05/27	100.00
32324	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09M	500,000,000	2008/10/31	2009/02/26	125.00
32338	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09N	500,000,000	2008/10/31	2009/02/26	125.00
32339	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09O	500,000,000	2008/10/31	2009/02/26	125.00
32340	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09P	500,000,000	2008/10/31	2009/02/26	125.00
32341	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09Q	500,000,000	2008/10/31	2009/02/26	125.00
32353	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09V	500,000,000	2008/10/31	2009/04/29	140.00
32357	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09W	500,000,000	2008/10/31	2009/04/29	125.00
32358	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09R	300,000,000	2008/10/31	2009/05/27	75.00
32371	UBS AG – BOCL R Bull CBBC Apr 2009 C	200,000,000	2008/10/31	2009/04/27	228.00
32374	UBS AG – China Mobile R Bull CBBC Apr 2009 J	300,000,000	2008/10/31	2009/04/27	76.50
32375	UBS AG – China Mobile R Bull CBBC Apr 2009 K	300,000,000	2008/10/31	2009/04/27	75.00
32372	UBS AG – China Life R Bull CBBC Apr 2009 O	300,000,000	2008/10/31	2009/04/27	207.00
32389	UBS AG – China Life R Bear CBBC Apr 2009 R	300,000,000	2008/10/31	2009/04/27	75.00
32383	UBS AG – China Life R Bear CBBC Apr 2009 U	300,000,000	2008/10/31	2009/04/27	94.50
32373	UBS AG – CM Bank R Bull CBBC May 2009 G	200,000,000	2008/10/31	2009/05/25	110.00
32376	UBS AG – CNOOC R Bull CBBC Apr 2009 C	200,000,000	2008/10/31	2009/04/27	50.00
32384	UBS AG – CNOOC R Bear CBBC Apr 2009 D	200,000,000	2008/10/31	2009/04/27	56.00
32377	UBS AG – A50 China R Bull CBBC Apr 2009 W	300,000,000	2008/10/31	2009/04/27	94.50
32385	UBS AG – A50 China R Bear CBBC Apr 2009 X	300,000,000	2008/10/31	2009/04/27	75.00
32379	UBS AG – HKEx R Bull CBBC Jun 2009 J	200,000,000	2008/10/31	2009/06/22	70.00
32387	UBS AG – HKEx R Bear CBBC Jun 2009 K	200,000,000	2008/10/31	2009/06/22	50.00
32378	UBS AG – HSBC R Bull CBBC Jun 2009 C	300,000,000	2008/10/31	2009/06/22	88.50
32386	UBS AG – HSBC R Bear CBBC Jun 2009 D	300,000,000	2008/10/31	2009/06/22	75.00
32354	UBS AG – HSCEI R Bull CBBC Apr 2009 S	500,000,000	2008/10/31	2009/04/29	125.00
32355	UBS AG – HSCEI R Bear CBBC Apr 2009 H	500,000,000	2008/10/31	2009/04/29	125.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
32356	UBS AG – HSI R Bull CBBC May 2009 B	500,000,000	2008/10/31	2009/05/27	125.00
32359	UBS AG – HSI R Bull CBBC Jul 2009 A	500,000,000	2008/10/31	2009/07/30	125.00
32360	UBS AG – HSI R Bull CBBC Jul 2009 B	500,000,000	2008/10/31	2009/07/30	125.00
32361	UBS AG – HSI R Bull CBBC Sep 2009 A	500,000,000	2008/10/31	2009/09/29	125.00
32362	UBS AG – HSI R Bear CBBC May 2009 F	500,000,000	2008/10/31	2009/05/27	125.00
32363	UBS AG – HSI R Bear CBBC May 2009 L	500,000,000	2008/10/31	2009/05/27	125.00
32364	UBS AG – HSI R Bear CBBC Jun 2009 Q	500,000,000	2008/10/31	2009/06/29	125.00
32365	UBS AG – HSI R Bear CBBC Jul 2009 C	500,000,000	2008/10/31	2009/07/30	125.00
32366	UBS AG – HSI R Bear CBBC Jul 2009 D	500,000,000	2008/10/31	2009/07/30	125.00
32367	UBS AG – HSI R Bear CBBC Jul 2009 M	500,000,000	2008/10/31	2009/07/30	125.00
32368	UBS AG – HSI R Bear CBBC Jul 2009 N	500,000,000	2008/10/31	2009/07/30	125.00
32369	UBS AG – HSI R Bear CBBC Sep 2009 B	500,000,000	2008/10/31	2009/09/29	125.00
32370	UBS AG – HSI R Bear CBBC Sep 2009 C	500,000,000	2008/10/31	2009/09/29	125.00
32380	UBS AG – ICBR R Bull CBBC Apr 2009 F	200,000,000	2008/10/31	2009/04/27	50.00
32381	UBS AG – Petrochina R Bull CBBC Apr 2009 G	300,000,000	2008/10/31	2009/04/27	85.50
32388	UBS AG – Petrochina R Bear CBBC Apr 2009 H	300,000,000	2008/10/31	2009/04/27	75.00
32382	UBS AG – Ping An R Bull CBBC Apr 2009 G	200,000,000	2008/10/31	2009/04/20	386.00
60076	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 H	300,000,000	2008/11/03	2009/05/27	93.00
60078	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 J	300,000,000	2008/11/03	2009/05/27	78.30
60079	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 K	300,000,000	2008/11/03	2009/05/27	94.20
60077	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 L	300,000,000	2008/11/03	2009/05/27	114.30
60080	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 N	300,000,000	2008/11/03	2009/05/27	75.00
60081	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 T	300,000,000	2008/11/03	2009/05/27	75.00
60083	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 G	300,000,000	2008/11/03	2009/05/27	75.00
60082	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 U	300,000,000	2008/11/03	2009/05/27	75.00
60085	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 Y	300,000,000	2008/11/03	2009/05/27	75.00
60084	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 Z	300,000,000	2008/11/03	2009/05/27	75.00
60056	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2009 J	300,000,000	2008/11/03	2009/07/30	75.00
60054	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 L	300,000,000	2008/11/03	2009/08/28	75.00
60055	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 M	300,000,000	2008/11/03	2009/08/28	75.00
60068	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 Q	300,000,000	2008/11/03	2009/08/28	75.00
60051	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 I	300,000,000	2008/11/03	2009/09/29	75.00
60052	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 J	300,000,000	2008/11/03	2009/09/29	75.00
60053	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2009 K	300,000,000	2008/11/03	2009/09/29	75.00
60057	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 N	300,000,000	2008/11/03	2009/08/28	75.00
60058	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 O	300,000,000	2008/11/03	2009/08/28	75.00
60059	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 P	300,000,000	2008/11/03	2009/08/28	75.00
60069	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 R	300,000,000	2008/11/03	2009/08/28	75.00
60070	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 S	300,000,000	2008/11/03	2009/08/28	75.00
60046	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 F	300,000,000	2008/11/03	2009/07/30	99.00
60047	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 G	300,000,000	2008/11/03	2009/07/30	87.00
60048	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 H	300,000,000	2008/11/03	2009/07/30	75.00
60071	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 K	300,000,000	2008/11/03	2009/07/30	99.00
60072	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 L	300,000,000	2008/11/03	2009/07/30	84.00
60073	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 M	300,000,000	2008/11/03	2009/07/30	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60049	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 I	300,000,000	2008/11/03	2009/07/30	75.00
60050	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 J	300,000,000	2008/11/03	2009/07/30	81.00
60074	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 N	300,000,000	2008/11/03	2009/07/30	78.00
60075	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 O	300,000,000	2008/11/03	2009/07/30	75.00
60021	HK Bank – HSI R Bull CBBC Mar 2009 R	500,000,000	2008/11/03	2009/03/30	125.00
60023	HK Bank – HSI R Bull CBBC Mar 2009 S	500,000,000	2008/11/03	2009/03/30	125.00
60025	HK Bank – HSI R Bear CBBC Mar 2009 T	500,000,000	2008/11/03	2009/03/30	125.00
60112	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC May 2009 E	400,000,000	2008/11/03	2009/05/27	100.00
60113	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC May 2009 F	400,000,000	2008/11/03	2009/05/27	100.00
60116	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Apr 2009 C	400,000,000	2008/11/03	2009/04/29	100.00
60109	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 I	400,000,000	2008/11/03	2009/04/29	100.00
60110	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 H	288,000,000	2008/11/03	2009/05/27	97.92
60111	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 I	328,000,000	2008/11/03	2009/05/27	98.40
60114	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 J	400,000,000	2008/11/03	2009/04/29	100.00
60115	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 J	400,000,000	2008/11/03	2009/05/27	100.00
60002	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Feb09C	60,000,000	2008/11/03	2009/02/20	74.40
60001	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Feb09D	150,000,000	2008/11/03	2009/02/20	88.50
60016	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May09A	500,000,000	2008/11/03	2009/05/27	200.00
60017	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May09B	500,000,000	2008/11/03	2009/05/27	130.00
60018	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Apr09F	500,000,000	2008/11/03	2009/04/24	140.00
60012	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May09A	450,000,000	2008/11/03	2009/05/27	171.00
60013	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May09B	450,000,000	2008/11/03	2009/05/27	139.50
60014	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Feb09A	450,000,000	2008/11/03	2009/02/20	126.00
60015	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09G	450,000,000	2008/11/03	2009/07/27	112.50
60003	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09R	500,000,000	2008/11/03	2009/02/26	125.00
60004	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09S	500,000,000	2008/11/03	2009/02/26	125.00
60060	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09X	500,000,000	2008/11/03	2009/02/26	175.00
60061	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09Y	500,000,000	2008/11/03	2009/02/26	150.00
60005	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09X	500,000,000	2008/11/03	2009/04/29	140.00
60062	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09Z	500,000,000	2008/11/03	2009/04/29	140.00
60063	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09A	300,000,000	2008/11/03	2009/05/27	144.00
60064	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09B	300,000,000	2008/11/03	2009/05/27	126.00
60006	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09S	300,000,000	2008/11/03	2009/05/27	126.00
60007	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09T	500,000,000	2008/11/03	2009/02/26	125.00
60008	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09U	500,000,000	2008/11/03	2009/02/26	125.00
60009	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09V	500,000,000	2008/11/03	2009/02/26	125.00
60010	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09W	500,000,000	2008/11/03	2009/02/26	125.00
60065	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09A	500,000,000	2008/11/03	2009/04/29	125.00
60011	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09Y	500,000,000	2008/11/03	2009/04/29	125.00
60066	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09X	300,000,000	2008/11/03	2009/05/27	78.00
60067	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09Y	300,000,000	2008/11/03	2009/05/27	99.00
60019	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Feb09A	500,000,000	2008/11/03	2009/02/20	140.00
60020	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09G	500,000,000	2008/11/03	2009/07/27	130.00
60038	UBS AG – BOCL R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	276.00
60089	UBS AG – China Mobile R Bull CBBC May 2009 A	300,000,000	2008/11/03	2009/05/04	79.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60102	UBS AG – China Mobile R Bear CBBC May 2009 B	300,000,000	2008/11/03	2009/05/04	75.00
60039	UBS AG – CC Bank R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/25	50.00
60086	UBS AG – Cheung Kong R Bull CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	50.00
60087	UBS AG – China Life R Bull CBBC Apr 2009 P	300,000,000	2008/11/03	2009/04/27	207.00
60088	UBS AG – China Life R Bull CBBC Apr 2009 Q	300,000,000	2008/11/03	2009/04/27	177.00
60100	UBS AG – China Life R Bear CBBC Apr 2009 X	300,000,000	2008/11/03	2009/04/27	75.00
60101	UBS AG – China Life R Bear CBBC Apr 2009 Y	300,000,000	2008/11/03	2009/04/27	94.50
60040	UBS AG – CM Bank R Bear CBBC Apr 2009 A	200,000,000	2008/11/03	2009/04/27	71.00
60041	UBS AG – CNOOC R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	50.00
60090	UBS AG – China Shenhua R Bull CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	79.00
60098	UBS AG – A50 China R Bull CBBC May 2009 C	300,000,000	2008/11/03	2009/05/04	108.00
60099	UBS AG – A50 China R Bull CBBC May 2009 D	300,000,000	2008/11/03	2009/05/04	93.00
60107	UBS AG – A50 China R Bear CBBC May 2009 E	300,000,000	2008/11/03	2009/05/04	75.00
60108	UBS AG – A50 China R Bear CBBC May 2009 F	300,000,000	2008/11/03	2009/05/04	75.00
60092	UBS AG – HKEx R Bull CBBC Jun 2009 L	200,000,000	2008/11/03	2009/06/22	74.00
60103	UBS AG – HKEx R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/11	50.00
60091	UBS AG – HS Bank R Bull CBBC Apr 2009 H	200,000,000	2008/11/03	2009/04/20	57.00
60042	UBS AG – HS Bank R Bear CBBC Apr 2009 G	200,000,000	2008/11/03	2009/04/20	50.00
60093	UBS AG – HSBC R Bull CBBC May 2009 A	300,000,000	2008/11/03	2009/05/04	138.00
60094	UBS AG – HSBC R Bull CBBC May 2009 B	300,000,000	2008/11/03	2009/05/04	108.00
60104	UBS AG – HSBC R Bear CBBC May 2009 C	300,000,000	2008/11/03	2009/05/04	75.00
60022	UBS AG – HSCEI R Bull CBBC May 2009 G	500,000,000	2008/11/03	2009/05/27	125.00
60024	UBS AG – HSCEI R Bull CBBC May 2009 H	500,000,000	2008/11/03	2009/05/27	125.00
60117	UBS AG – HSCEI R Bull CBBC May 2009 K	500,000,000	2008/11/03	2009/05/27	125.00
60118	UBS AG – HSCEI R Bull CBBC May 2009 L	500,000,000	2008/11/03	2009/05/27	125.00
60119	UBS AG – HSCEI R Bull CBBC May 2009 M	500,000,000	2008/11/03	2009/05/27	125.00
60026	UBS AG – HSCEI R Bear CBBC May 2009 I	500,000,000	2008/11/03	2009/05/27	125.00
60027	UBS AG – HSCEI R Bear CBBC May 2009 J	500,000,000	2008/11/03	2009/05/27	125.00
60134	UBS AG – HSCEI R Bear CBBC May 2009 N	500,000,000	2008/11/03	2009/05/27	125.00
60135	UBS AG – HSCEI R Bear CBBC May 2009 O	500,000,000	2008/11/03	2009/05/27	125.00
60136	UBS AG – HSCEI R Bear CBBC May 2009 P	500,000,000	2008/11/03	2009/05/27	125.00
60028	UBS AG – HSI R Bull CBBC Jul 2009 H	500,000,000	2008/11/03	2009/07/30	125.00
60029	UBS AG – HSI R Bull CBBC Jul 2009 I	500,000,000	2008/11/03	2009/07/30	125.00
60030	UBS AG – HSI R Bull CBBC Jul 2009 J	500,000,000	2008/11/03	2009/07/30	125.00
60128	UBS AG – HSI R Bull CBBC Jul 2009 O	500,000,000	2008/11/03	2009/07/30	125.00
60129	UBS AG – HSI R Bull CBBC Jul 2009 P	500,000,000	2008/11/03	2009/07/30	125.00
60130	UBS AG – HSI R Bull CBBC Jul 2009 Q	500,000,000	2008/11/03	2009/07/30	125.00
60131	UBS AG – HSI R Bull CBBC Jul 2009 R	500,000,000	2008/11/03	2009/07/30	125.00
60132	UBS AG – HSI R Bull CBBC Jul 2009 S	500,000,000	2008/11/03	2009/07/30	125.00
60120	UBS AG – HSI R Bull CBBC Sep 2009 D	500,000,000	2008/11/03	2009/09/29	157.50
60121	UBS AG – HSI R Bull CBBC Sep 2009 E	500,000,000	2008/11/03	2009/09/29	147.50
60122	UBS AG – HSI R Bull CBBC Sep 2009 F	500,000,000	2008/11/03	2009/09/29	137.50
60123	UBS AG – HSI R Bull CBBC Sep 2009 G	500,000,000	2008/11/03	2009/09/29	125.00
60124	UBS AG – HSI R Bull CBBC Sep 2009 H	500,000,000	2008/11/03	2009/09/29	125.00
60125	UBS AG – HSI R Bull CBBC Sep 2009 I	500,000,000	2008/11/03	2009/09/29	125.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60126	UBS AG – HSI R Bull CBBC Sep 2009 J	500,000,000	2008/11/03	2009/09/29	125.00
60127	UBS AG – HSI R Bull CBBC Sep 2009 K	500,000,000	2008/11/03	2009/09/29	125.00
60133	UBS AG – HSI R Bull CBBC Sep 2009 L	500,000,000	2008/11/03	2009/09/29	125.00
60031	UBS AG – HSI R Bear CBBC Jun 2009 R	500,000,000	2008/11/03	2009/06/29	125.00
60032	UBS AG – HSI R Bear CBBC Jun 2009 S	500,000,000	2008/11/03	2009/06/29	125.00
60033	UBS AG – HSI R Bear CBBC Jun 2009 U	500,000,000	2008/11/03	2009/06/29	125.00
60036	UBS AG – HSI R Bear CBBC Jul 2009 G	500,000,000	2008/11/03	2009/07/30	125.00
60034	UBS AG – HSI R Bear CBBC Jul 2009 K	500,000,000	2008/11/03	2009/07/30	125.00
60035	UBS AG – HSI R Bear CBBC Jul 2009 L	500,000,000	2008/11/03	2009/07/30	125.00
60137	UBS AG – HSI R Bear CBBC Jul 2009 T	500,000,000	2008/11/03	2009/07/30	125.00
60138	UBS AG – HSI R Bear CBBC Jul 2009 U	500,000,000	2008/11/03	2009/07/30	125.00
60139	UBS AG – HSI R Bear CBBC Jul 2009 V	500,000,000	2008/11/03	2009/07/30	125.00
60140	UBS AG – HSI R Bear CBBC Jul 2009 W	500,000,000	2008/11/03	2009/07/30	125.00
60141	UBS AG – HSI R Bear CBBC Jul 2009 X	500,000,000	2008/11/03	2009/07/30	125.00
60037	UBS AG – HSI R Bear CBBC Aug 2009 G	500,000,000	2008/11/03	2009/08/28	125.00
60142	UBS AG – HSI R Bear CBBC Sep 2009 M	500,000,000	2008/11/03	2009/09/29	125.00
60143	UBS AG – HSI R Bear CBBC Sep 2009 N	500,000,000	2008/11/03	2009/09/29	125.00
60144	UBS AG – HSI R Bear CBBC Sep 2009 O	500,000,000	2008/11/03	2009/09/29	125.00
60145	UBS AG – HSI R Bear CBBC Sep 2009 P	500,000,000	2008/11/03	2009/09/29	125.00
60146	UBS AG – HSI R Bear CBBC Sep 2009 Q	500,000,000	2008/11/03	2009/09/29	125.00
60147	UBS AG – HSI R Bear CBBC Sep 2009 R	500,000,000	2008/11/03	2009/09/29	125.00
60043	UBS AG – Hutchison R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	50.00
60044	UBS AG – ICBC R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	50.00
60095	UBS AG – Petrochina R Bull CBBC May 2009 A	300,000,000	2008/11/03	2009/05/04	99.00
60096	UBS AG – Petrochina R Bull CBBC May 2009 B	300,000,000	2008/11/03	2009/05/04	84.00
60105	UBS AG – Petrochina R Bear CBBC May 2009 C	300,000,000	2008/11/03	2009/05/04	75.00
60106	UBS AG – Petrochina R Bear CBBC May 2009 D	300,000,000	2008/11/03	2009/05/04	75.00
60045	UBS AG – Ping An R Bear CBBC May 2009 A	200,000,000	2008/11/03	2009/05/04	50.00
60097	UBS AG – SHK Ppt R Bull CBBC May 2009 D	200,000,000	2008/11/03	2009/05/04	50.00
32229 [#]	UBS AG – HSI R Bear CBBC Jun 2009 E	1,000,000,000	2008/11/03	2009/06/29	265.00
32054 [#]	UBS AG – HSI R Bear CBBC Jun 2009 I	1,000,000,000	2008/11/03	2009/06/29	194.00
60186	ABN AMRO Bank N.V. – DJIA R Bear CBBC Mar 2009 I	300,000,000	2008/11/04	2009/03/20	220.20
60185	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jun 2009 A	300,000,000	2008/11/04	2009/06/29	75.00
60184	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 X	300,000,000	2008/11/04	2009/05/27	75.00
60187	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 P	300,000,000	2008/11/04	2009/07/30	75.00
60188	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 Q	300,000,000	2008/11/04	2009/07/30	81.00
60189	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 R	300,000,000	2008/11/04	2009/07/30	75.00
60190	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 S	300,000,000	2008/11/04	2009/07/30	75.00
60191	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 T	300,000,000	2008/11/04	2009/07/30	105.00
60192	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 U	300,000,000	2008/11/04	2009/07/30	87.00
60173	SGA Societe Generale Acceptance N.V – CLife R Bull CBBC Apr09A	150,000,000	2008/11/04	2009/04/27	118.50
60174	SGA Societe Generale Acceptance N.V – CLife R Bull CBBC Apr09B	150,000,000	2008/11/04	2009/04/27	99.00
60175	SGA Societe Generale Acceptance N.V – CLife R Bear CBBC Apr09C	150,000,000	2008/11/04	2009/04/27	74.25
60148	SGA Societe Generale Acceptance N.V – CNOOC R Bull CBBC Apr09B	600,000,000	2008/11/04	2009/04/24	156.00
60149	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May09C	500,000,000	2008/11/04	2009/05/27	135.00

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60176	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May09F	500,000,000	2008/11/04	2009/05/27	147.50
60177	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May09G	500,000,000	2008/11/04	2009/05/27	125.00
60150	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC May09D	500,000,000	2008/11/04	2009/05/27	125.00
60151	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC May09E	500,000,000	2008/11/04	2009/05/27	125.00
60158	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb09Z	500,000,000	2008/11/04	2009/02/26	125.00
60159	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09Z	300,000,000	2008/11/04	2009/05/27	120.00
60160	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09C	500,000,000	2008/11/04	2009/02/26	125.00
60161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09B	500,000,000	2008/11/04	2009/04/29	125.00
60162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09E	500,000,000	2008/11/04	2009/04/29	125.00
60163	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09W	300,000,000	2008/11/04	2009/05/27	102.00
60152	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Apr09A	500,000,000	2008/11/04	2009/04/27	125.00
60153	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Apr09B	500,000,000	2008/11/04	2009/04/27	125.00
60154	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Apr09C	500,000,000	2008/11/04	2009/04/27	125.00
60155	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Apr09D	500,000,000	2008/11/04	2009/04/27	125.00
60156	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Apr09E	500,000,000	2008/11/04	2009/04/27	125.00
60157	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Apr09F	500,000,000	2008/11/04	2009/04/27	125.00
60181	UBS AG – China Mobile R Bull CBBC May 2009 C	300,000,000	2008/11/04	2009/05/25	75.00
60167	UBS AG – China Tel R Bull CBBC May 2009 A	200,000,000	2008/11/04	2009/05/25	326.00
60168	UBS AG – China Tel R Bear CBBC May 2009 B	200,000,000	2008/11/04	2009/05/25	50.00
60179	UBS AG – China Life R Bull CBBC Apr 2009 K	300,000,000	2008/11/04	2009/04/27	96.00
60166	UBS AG – China Life R Bull CBBC Apr 2009 L	300,000,000	2008/11/04	2009/04/27	192.00
60178	UBS AG – China Life R Bull CBBC Apr 2009 M	300,000,000	2008/11/04	2009/04/27	126.00
60180	UBS AG – China Life R Bull CBBC Apr 2009 N	300,000,000	2008/11/04	2009/04/27	75.00
60169	UBS AG – CNOOC R Bull CBBC May 2009 B	200,000,000	2008/11/04	2009/05/25	50.00
60183	UBS AG – A50 China R Bull CBBC May 2009 G	300,000,000	2008/11/04	2009/05/25	75.00
60170	UBS AG – HS Bank R Bull CBBC Apr 2009 I	200,000,000	2008/11/04	2009/04/20	61.00
60164	UBS AG – HSCEI R Bull CBBC May 2009 Q	500,000,000	2008/11/04	2009/05/27	192.50
60165	UBS AG – HSCEI R Bull CBBC May 2009 R	500,000,000	2008/11/04	2009/05/27	125.00
60171	UBS AG – Hutchison R Bull CBBC May 2009 B	200,000,000	2008/11/04	2009/05/25	50.00
60182	UBS AG – Petrochina R Bull CBBC May 2009 E	300,000,000	2008/11/04	2009/05/25	75.00
60172	UBS AG – SHK Ppt R Bull CBBC May 2009 E	200,000,000	2008/11/04	2009/05/25	55.00
60206	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 V	300,000,000	2008/11/05	2009/07/30	84.00
60207	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 A	300,000,000	2008/11/05	2009/08/28	75.00
60193	HK Bank – HSI R Bull CBBC Mar 2009 U	500,000,000	2008/11/05	2009/03/30	125.00
60194	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09H	500,000,000	2008/11/05	2009/04/29	125.00
60195	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09I	500,000,000	2008/11/05	2009/04/29	125.00
60199	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09J	500,000,000	2008/11/05	2009/04/29	125.00
60200	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09K	500,000,000	2008/11/05	2009/04/29	125.00
60201	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09A	500,000,000	2008/11/05	2009/06/29	125.00
60202	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09B	500,000,000	2008/11/05	2009/06/29	125.00
60203	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09C	500,000,000	2008/11/05	2009/06/29	125.00
60204	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09D	500,000,000	2008/11/05	2009/06/29	125.00
60205	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09E	500,000,000	2008/11/05	2009/06/29	125.00
60197	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09A	500,000,000	2008/11/05	2009/02/26	155.00
60198	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09B	500,000,000	2008/11/05	2009/02/26	175.00

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60196	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb09D	500,000,000	2008/11/05	2009/02/26	140.00
60254	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jun 2009 B	300,000,000	2008/11/06	2009/06/29	75.00
60255	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jun 2009 C	300,000,000	2008/11/06	2009/06/29	75.00
60250	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 C	300,000,000	2008/11/06	2009/05/27	75.00
60251	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 D	300,000,000	2008/11/06	2009/05/27	75.00
60252	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 E	300,000,000	2008/11/06	2009/05/27	75.00
60253	ABN AMRO Bank N.V. – HSI R Bull CBBC Jun 2009 A	300,000,000	2008/11/06	2009/06/29	75.00
60258	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 W	300,000,000	2008/11/06	2009/07/30	84.00
60259	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 X	300,000,000	2008/11/06	2009/07/30	75.00
60260	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 B	300,000,000	2008/11/06	2009/08/28	84.00
60261	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 C	300,000,000	2008/11/06	2009/08/28	75.00
60256	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 K	400,000,000	2008/11/06	2009/04/29	100.00
60257	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 K	400,000,000	2008/11/06	2009/05/27	100.00
60215	Macquarie Bank Ltd. – HSI R Bull CBBC May 2009 A	200,000,000	2008/11/06	2009/05/27	50.20
60228	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09F	500,000,000	2008/11/06	2009/04/29	125.00
60227	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr09L	500,000,000	2008/11/06	2009/04/29	125.00
60229	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09M	500,000,000	2008/11/06	2009/06/29	125.00
60230	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09N	500,000,000	2008/11/06	2009/06/29	125.00
60208	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09F	500,000,000	2008/11/06	2009/06/29	125.00
60209	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09G	500,000,000	2008/11/06	2009/06/29	125.00
60210	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09H	500,000,000	2008/11/06	2009/06/29	125.00
60211	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09I	500,000,000	2008/11/06	2009/06/29	125.00
60212	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09J	500,000,000	2008/11/06	2009/06/29	125.00
60213	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09K	500,000,000	2008/11/06	2009/06/29	125.00
60214	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09L	500,000,000	2008/11/06	2009/06/29	150.00
60231	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09O	500,000,000	2008/11/06	2009/06/29	125.00
60232	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09P	500,000,000	2008/11/06	2009/06/29	135.00
60233	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09Q	500,000,000	2008/11/06	2009/06/29	155.00
60234	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09R	500,000,000	2008/11/06	2009/06/29	175.00
60226	UBS AG – BOC HK R Bull CBBC Apr 2009 B	200,000,000	2008/11/06	2009/04/20	50.00
60237	UBS AG – China Mobile R Bull CBBC May 2009 D	300,000,000	2008/11/06	2009/05/25	75.00
60238	UBS AG – China Mobile R Bull CBBC May 2009 E	300,000,000	2008/11/06	2009/05/25	75.00
60236	UBS AG – China Life R Bull CBBC Apr 2009 B	300,000,000	2008/11/06	2009/04/27	121.50
60216	UBS AG – HSCEI R Bull CBBC Apr 2009 C	500,000,000	2008/11/06	2009/04/29	125.00
60235	UBS AG – HSCEI R Bull CBBC Apr 2009 G	500,000,000	2008/11/06	2009/04/29	125.00
60217	UBS AG – HSCEI R Bull CBBC Apr 2009 I	500,000,000	2008/11/06	2009/04/29	125.00
60223	UBS AG – HSCEI R Bear CBBC Apr 2009 L	500,000,000	2008/11/06	2009/04/29	125.00
60224	UBS AG – HSCEI R Bear CBBC Apr 2009 N	500,000,000	2008/11/06	2009/04/29	125.00
60240	UBS AG – HSCEI R Bear CBBC Apr 2009 X	500,000,000	2008/11/06	2009/04/29	125.00
60218	UBS AG – HSI R Bull CBBC Jun 2009 A	500,000,000	2008/11/06	2009/06/29	125.00
60219	UBS AG – HSI R Bull CBBC Jun 2009 B	500,000,000	2008/11/06	2009/06/29	125.00
60241	UBS AG – HSI R Bull CBBC Jun 2009 C	500,000,000	2008/11/06	2009/06/29	125.00
60220	UBS AG – HSI R Bull CBBC Jun 2009 G	500,000,000	2008/11/06	2009/06/29	125.00
60242	UBS AG – HSI R Bull CBBC Jun 2009 T	500,000,000	2008/11/06	2009/06/29	125.00
60243	UBS AG – HSI R Bull CBBC Jun 2009 Y	500,000,000	2008/11/06	2009/06/29	125.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60244	UBS AG – HSI R Bull CBBC Aug 2009 E	500,000,000	2008/11/06	2009/08/28	125.00
60245	UBS AG – HSI R Bull CBBC Aug 2009 F	500,000,000	2008/11/06	2009/08/28	125.00
60246	UBS AG – HSI R Bull CBBC Sep 2009 S	500,000,000	2008/11/06	2009/09/29	125.00
60247	UBS AG – HSI R Bull CBBC Sep 2009 T	500,000,000	2008/11/06	2009/09/29	125.00
60248	UBS AG – HSI R Bull CBBC Sep 2009 U	500,000,000	2008/11/06	2009/09/29	125.00
60249	UBS AG – HSI R Bull CBBC Sep 2009 V	500,000,000	2008/11/06	2009/09/29	125.00
60221	UBS AG – HSI R Bear CBBC Apr 2009 S	500,000,000	2008/11/06	2009/04/29	125.00
60222	UBS AG – HSI R Bear CBBC Apr 2009 T	500,000,000	2008/11/06	2009/04/29	125.00
60225	UBS AG – HSI R Bear CBBC Jun 2009 P	500,000,000	2008/11/06	2009/06/29	125.00
60239	UBS AG – Petrochina R Bull CBBC May 2009 F	300,000,000	2008/11/06	2009/05/25	75.00
60285	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 B	300,000,000	2008/11/07	2009/10/29	75.00
60278	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2009 A	300,000,000	2008/11/07	2009/10/29	75.00
60279	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 A	300,000,000	2008/11/07	2009/11/27	75.00
60286	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 Y	300,000,000	2008/11/07	2009/07/30	84.00
60287	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 Z	300,000,000	2008/11/07	2009/07/30	75.00
60280	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 L	400,000,000	2008/11/07	2009/04/29	100.00
60281	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 M	400,000,000	2008/11/07	2009/04/29	100.00
60282	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 L	400,000,000	2008/11/07	2009/05/27	100.00
60283	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 M	400,000,000	2008/11/07	2009/05/27	100.00
60284	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 E	400,000,000	2008/11/07	2009/06/29	100.00
60288	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 N	400,000,000	2008/11/07	2009/04/29	100.00
60262	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC May09A	500,000,000	2008/11/07	2009/05/05	125.00
60263	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC May09B	500,000,000	2008/11/07	2009/05/05	125.00
60264	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC May09C	500,000,000	2008/11/07	2009/05/05	125.00
60265	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC May09D	500,000,000	2008/11/07	2009/05/05	125.00
60266	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC May09E	500,000,000	2008/11/07	2009/05/05	125.00
60267	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC May09F	500,000,000	2008/11/07	2009/05/05	125.00
60274	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09O	400,000,000	2008/11/07	2009/03/30	100.00
60275	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09R	400,000,000	2008/11/07	2009/03/30	100.00
60276	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09V	400,000,000	2008/11/07	2009/03/30	100.00
60277	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09W	400,000,000	2008/11/07	2009/03/30	100.00
60268	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC May09A	500,000,000	2008/11/07	2009/05/26	125.00
60269	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC May09B	500,000,000	2008/11/07	2009/05/26	125.00
60270	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC May09C	500,000,000	2008/11/07	2009/05/26	125.00
60271	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC May09D	500,000,000	2008/11/07	2009/05/26	125.00
60272	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC May09E	500,000,000	2008/11/07	2009/05/26	125.00
60273	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC May09F	500,000,000	2008/11/07	2009/05/26	125.00
60312	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 G	300,000,000	2008/11/10	2009/05/27	76.50
60313	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 U	300,000,000	2008/11/10	2009/05/27	77.70
60317	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 C	300,000,000	2008/11/10	2009/07/30	78.00
60318	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 D	300,000,000	2008/11/10	2009/08/28	75.00
60319	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 D	300,000,000	2008/11/10	2009/07/30	75.00
60320	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 E	300,000,000	2008/11/10	2009/07/30	81.00
60321	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 I	300,000,000	2008/11/10	2009/07/30	114.00
60292	HK Bank – HSI R Bull CBBC Mar 2009 V	500,000,000	2008/11/10	2009/03/30	125.00

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60314	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2009 Z	400,000,000	2008/11/10	2009/03/30	100.00
60315	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 N	400,000,000	2008/11/10	2009/05/27	100.00
60316	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 O	400,000,000	2008/11/10	2009/05/27	100.00
60289	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09J	400,000,000	2008/11/10	2009/03/30	104.00
60290	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09S	300,000,000	2008/11/10	2009/06/29	90.00
60291	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09G	500,000,000	2008/11/10	2009/04/29	125.00
60307	UBS AG – China Mobile R Bull CBBC May 2009 F	300,000,000	2008/11/10	2009/05/25	75.00
60305	UBS AG – CC Bank R Bull CBBC May 2009 B	200,000,000	2008/11/10	2009/05/25	50.00
60306	UBS AG – China Life R Bull CBBC Apr 2009 F	300,000,000	2008/11/10	2009/04/27	94.50
60311	UBS AG – A50 China R Bull CBBC May 2009 H	300,000,000	2008/11/10	2009/05/25	75.00
60308	UBS AG – HS Bank R Bull CBBC Apr 2009 J	200,000,000	2008/11/10	2009/04/20	57.00
60293	UBS AG – HSCEI R Bull CBBC Apr 2009 A	500,000,000	2008/11/10	2009/04/29	125.00
60294	UBS AG – HSCEI R Bull CBBC Apr 2009 B	500,000,000	2008/11/10	2009/04/29	125.00
60300	UBS AG – HSCEI R Bear CBBC Apr 2009 D	500,000,000	2008/11/10	2009/04/29	125.00
60301	UBS AG – HSCEI R Bear CBBC Apr 2009 E	500,000,000	2008/11/10	2009/04/29	125.00
60295	UBS AG – HSI R Bull CBBC May 2009 F	500,000,000	2008/11/10	2009/05/27	125.00
60296	UBS AG – HSI R Bull CBBC Jun 2009 E	500,000,000	2008/11/10	2009/06/29	125.00
60297	UBS AG – HSI R Bull CBBC Jul 2009 C	500,000,000	2008/11/10	2009/07/30	125.00
60298	UBS AG – HSI R Bull CBBC Jul 2009 D	500,000,000	2008/11/10	2009/07/30	125.00
60299	UBS AG – HSI R Bull CBBC Jul 2009 M	500,000,000	2008/11/10	2009/07/30	125.00
60302	UBS AG – HSI R Bear CBBC Jun 2009 F	500,000,000	2008/11/10	2009/06/29	125.00
60303	UBS AG – HSI R Bear CBBC Jun 2009 Q	500,000,000	2008/11/10	2009/06/29	125.00
60304	UBS AG – HSI R Bear CBBC Jul 2009 N	500,000,000	2008/11/10	2009/07/30	125.00
60309	UBS AG – ICBC R Bull CBBC May 2009 B	200,000,000	2008/11/10	2009/05/04	50.00
60310	UBS AG – Petrochina R Bull CBBC May 2009 G	300,000,000	2008/11/10	2009/05/25	75.00
60322	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 J	300,000,000	2008/11/11	2009/07/30	75.00
60324	ABN AMRO Bank N.V. – DJIA R Bull CBBC Jun 2009 A	100,000,000	2008/11/12	2009/06/19	102.50
60325	ABN AMRO Bank N.V. – DJIA R Bull CBBC Jun 2009 B	100,000,000	2008/11/12	2009/06/19	168.80
60326	ABN AMRO Bank N.V. – DJIA R Bear CBBC Jun 2009 C	100,000,000	2008/11/12	2009/06/19	132.90
60327	ABN AMRO Bank N.V. – DJIA R Bear CBBC Jun 2009 D	100,000,000	2008/11/12	2009/06/19	86.40
60349	ABN AMRO Bank N.V. – HSI R Bull CBBC May 2009 Y	300,000,000	2008/11/12	2009/05/27	75.60
60350	ABN AMRO Bank N.V. – HSI R Bull CBBC Jun 2009 B	300,000,000	2008/11/12	2009/06/29	83.70
60351	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 Z	300,000,000	2008/11/12	2009/05/27	76.50
60345	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 H	300,000,000	2008/11/12	2009/06/29	81.00
60344	Deutsche Bank AG – HSI R Bull CBBC Jun 2009 K	300,000,000	2008/11/12	2009/06/29	87.00
60346	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 E	300,000,000	2008/11/12	2009/08/28	87.00
60347	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 F	300,000,000	2008/11/12	2009/08/28	75.00
60357	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 N	300,000,000	2008/11/12	2009/07/30	75.00
60358	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 O	300,000,000	2008/11/12	2009/07/30	76.50
60323	HK Bank – HSI R Bull CBBC Apr 2009 A	500,000,000	2008/11/12	2009/04/29	125.00
60352	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Mar 09A	218,000,000	2008/11/12	2009/03/02	98.10
60353	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Mar 09B	248,000,000	2008/11/12	2009/03/10	96.72
60355	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Apr 2009 A	400,000,000	2008/11/12	2009/04/01	100.00
60354	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC May 2009 A	308,000,000	2008/11/12	2009/05/04	98.56
60356	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Mar 2009 A	400,000,000	2008/11/12	2009/03/02	100.00

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60348	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2009 A	400,000,000	2008/11/12	2009/03/30	100.00
60340	UBS AG – China Mobile R Bull CBBC May 2009 G	300,000,000	2008/11/12	2009/05/25	75.00
60338	UBS AG – China Life R Bull CBBC May 2009 A	300,000,000	2008/11/12	2009/05/18	75.00
60339	UBS AG – CM Bank R Bull CBBC May 2009 H	200,000,000	2008/11/12	2009/05/25	57.00
60343	UBS AG – A50 China R Bull CBBC May 2009 I	300,000,000	2008/11/12	2009/05/25	75.00
60342	UBS AG – HKEx R Bull CBBC Jun 2009 M	200,000,000	2008/11/12	2009/06/22	50.00
60328	UBS AG – HSI R Bull CBBC May 2009 L	500,000,000	2008/11/12	2009/05/27	125.00
60329	UBS AG – HSI R Bull CBBC Jun 2009 V	500,000,000	2008/11/12	2009/06/29	125.00
60330	UBS AG – HSI R Bull CBBC Jun 2009 R	500,000,000	2008/11/12	2009/06/29	125.00
60331	UBS AG – HSI R Bull CBBC Jun 2009 S	500,000,000	2008/11/12	2009/06/29	125.00
60332	UBS AG – HSI R Bull CBBC Jun 2009 U	500,000,000	2008/11/12	2009/06/29	125.00
60333	UBS AG – HSI R Bull CBBC Jul 2009 G	500,000,000	2008/11/12	2009/07/30	125.00
60334	UBS AG – HSI R Bull CBBC Jul 2009 K	500,000,000	2008/11/12	2009/07/30	125.00
60335	UBS AG – HSI R Bull CBBC Jul 2009 L	500,000,000	2008/11/12	2009/07/30	125.00
60336	UBS AG – HSI R Bull CBBC Jul 2009 T	500,000,000	2008/11/12	2009/07/30	125.00
60337	UBS AG – HSI R Bear CBBC May 2009 K	500,000,000	2008/11/12	2009/05/27	125.00
60341	UBS AG – Petrochina R Bull CBBC May 2009 H	300,000,000	2008/11/12	2009/05/25	75.00
60381	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 A	250,000,000	2008/11/13	2009/07/30	62.50
60385	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 B	250,000,000	2008/11/13	2009/07/30	63.00
60382	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 S	300,000,000	2008/11/13	2009/07/30	75.00
60374	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 G	300,000,000	2008/11/13	2009/08/28	75.00
60375	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 H	300,000,000	2008/11/13	2009/08/28	84.00
60362	HK Bank – HSI R Bear CBBC Aug 2009 A	500,000,000	2008/11/13	2009/08/28	125.00
60369	KBC Fin Products Int'l Ltd. – CC Bank R Bear CBBC Jun 2009 A	348,000,000	2008/11/13	2009/06/02	97.44
60370	KBC Fin Products Int'l Ltd. – Ch Life R Bull CBBC Jun 2009 A	188,000,000	2008/11/13	2009/06/15	95.88
60372	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC May 09 A	300,000,000	2008/11/13	2009/05/04	99.00
60373	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC May 09 B	400,000,000	2008/11/13	2009/05/11	100.00
60380	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 O	400,000,000	2008/11/13	2009/04/29	100.00
60371	KBC Fin Products Int'l Ltd. – ICBC R Bear CBBC Jul 2009 A	400,000,000	2008/11/13	2009/07/02	100.00
60359	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09R	100,000,000	2008/11/13	2009/05/27	25.00
60360	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09Q	150,000,000	2008/11/13	2009/03/30	37.50
60361	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09X	150,000,000	2008/11/13	2009/03/30	37.50
60379	UBS AG – China Mobile R Bear CBBC May 2009 H	300,000,000	2008/11/13	2009/05/25	75.00
60378	UBS AG – China Life R Bear CBBC May 2009 B	300,000,000	2008/11/13	2009/05/18	91.50
60363	UBS AG – HSCEI R Bull CBBC Apr 2009 J	500,000,000	2008/11/13	2009/04/29	125.00
60367	UBS AG – HSCEI R Bear CBBC Apr 2009 K	500,000,000	2008/11/13	2009/04/29	125.00
60376	UBS AG – HSI R Bull CBBC Jun 2009 B	500,000,000	2008/11/13	2009/06/29	125.00
60364	UBS AG – HSI R Bull CBBC Jun 2009 W	500,000,000	2008/11/13	2009/06/29	125.00
60365	UBS AG – HSI R Bear CBBC Apr 2009 S	500,000,000	2008/11/13	2009/04/29	125.00
60377	UBS AG – HSI R Bear CBBC Apr 2009 T	500,000,000	2008/11/13	2009/04/29	125.00
60366	UBS AG – HSI R Bear CBBC May 2009 S	500,000,000	2008/11/13	2009/05/27	125.00
60368	UBS AG – HSI R Bear CBBC Jun 2009 X	500,000,000	2008/11/13	2009/06/29	125.00
32041*	UBS AG – HKEx R Bear CBBC Apr 2009 C	200,000,000	2008/11/13	2009/04/06	44.40
60397	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 C	250,000,000	2008/11/14	2009/07/30	63.50
60383	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 B	300,000,000	2008/11/14	2009/11/27	75.00

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60384	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 C	300,000,000	2008/11/14	2009/11/27	75.00
60398	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 I	300,000,000	2008/11/14	2009/08/28	78.00
60399	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 J	300,000,000	2008/11/14	2009/08/28	75.00
60400	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 K	300,000,000	2008/11/14	2009/08/28	75.00
60394	KBC Fin Products Int'l Ltd. – CC Bank R Bull CBBC Apr 2009 A	400,000,000	2008/11/14	2009/04/15	100.00
60396	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2009 B	400,000,000	2008/11/14	2009/03/30	100.00
60395	KBC Fin Products Int'l Ltd. – ICBC R Bull CBBC Apr 2009 A	400,000,000	2008/11/14	2009/04/20	100.00
60386	UBS AG – HSCEI R Bull CBBC Apr 2009 H	500,000,000	2008/11/14	2009/04/29	125.00
60387	UBS AG – HSCEI R Bull CBBC Apr 2009 M	500,000,000	2008/11/14	2009/04/29	125.00
60390	UBS AG – HSCEI R Bear CBBC Apr 2009 R	500,000,000	2008/11/14	2009/04/29	125.00
60391	UBS AG – HSCEI R Bear CBBC Apr 2009 T	500,000,000	2008/11/14	2009/04/29	125.00
60388	UBS AG – HSI R Bull CBBC Jul 2009 U	500,000,000	2008/11/14	2009/07/30	125.00
60389	UBS AG – HSI R Bull CBBC Jul 2009 V	500,000,000	2008/11/14	2009/07/30	125.00
60392	UBS AG – HSI R Bear CBBC Jul 2009 W	500,000,000	2008/11/14	2009/07/30	125.00
60393	UBS AG – HSI R Bear CBBC Jul 2009 X	500,000,000	2008/11/14	2009/07/30	125.00
60423	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 D	250,000,000	2008/11/17	2009/07/30	65.00
60424	ABN AMRO Bank N.V. – NQ100 R Bull CBBC Jun 2009 A	40,000,000	2008/11/17	2009/06/18	11.20
60425	ABN AMRO Bank N.V. – NQ100 R Bear CBBC Jun 2009 B	40,000,000	2008/11/17	2009/06/18	12.96
60411	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 D	300,000,000	2008/11/17	2009/11/27	75.00
60410	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 E	300,000,000	2008/11/17	2009/11/27	75.00
60427	KBC Fin Products Int'l Ltd. – BOCL R Bull CBBC Feb 2009 A	238,000,000	2008/11/17	2009/02/18	99.96
60428	KBC Fin Products Int'l Ltd. – BOCL R Bear CBBC Mar 2009 A	108,000,000	2008/11/17	2009/03/10	91.80
60429	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC May 09C	400,000,000	2008/11/17	2009/05/18	100.00
60430	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 P	400,000,000	2008/11/17	2009/04/29	100.00
60412	CC Rabobank B.A. – HSI R Bull CBBC Jun 2009 G	108,000,000	2008/11/17	2009/06/29	28.08
60413	CC Rabobank B.A. – HSI R Bull CBBC Jun 2009 H	108,000,000	2008/11/17	2009/06/29	27.00
60414	CC Rabobank B.A. – HSI R Bull CBBC Jun 2009 I	108,000,000	2008/11/17	2009/06/29	27.00
60416	CC Rabobank B.A. – HSI R Bear CBBC Jun 2009 J	108,000,000	2008/11/17	2009/06/29	32.40
60401	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar09I	200,000,000	2008/11/17	2009/03/30	50.00
60402	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09Q	100,000,000	2008/11/17	2009/05/27	25.00
60403	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09T	200,000,000	2008/11/17	2009/06/29	50.00
60404	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09A	200,000,000	2008/11/17	2009/07/30	50.00
60405	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09B	200,000,000	2008/11/17	2009/07/30	50.00
60407	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar09U	200,000,000	2008/11/17	2009/03/30	50.00
60408	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09C	200,000,000	2008/11/17	2009/07/30	50.00
60409	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09D	200,000,000	2008/11/17	2009/07/30	50.00
60415	UBS AG – HSCEI R Bull CBBC Apr 2009 G	500,000,000	2008/11/17	2009/04/29	125.00
60422	UBS AG – HSCEI R Bull CBBC Apr 2009 N	500,000,000	2008/11/17	2009/04/29	125.00
60419	UBS AG – HSCEI R Bear CBBC Apr 2009 L	500,000,000	2008/11/17	2009/04/29	125.00
60426	UBS AG – HSCEI R Bear CBBC Apr 2009 O	500,000,000	2008/11/17	2009/04/29	125.00
60418	UBS AG – HSI R Bull CBBC May 2009 B	500,000,000	2008/11/17	2009/05/27	125.00
60417	UBS AG – HSI R Bull CBBC Jun 2009 A	500,000,000	2008/11/17	2009/06/29	125.00
60420	UBS AG – HSI R Bear CBBC Jun 2009 G	500,000,000	2008/11/17	2009/06/29	125.00
60421	UBS AG – HSI R Bear CBBC Jun 2009 P	500,000,000	2008/11/17	2009/06/29	125.00
60439	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Jun 2009 A	200,000,000	2008/11/18	2009/06/15	50.00

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60438	BNP Paribas Arbit Issu B.V. – Ch Life R Bull CBBC Sep 2009 A	200,000,000	2008/11/18	2009/09/07	50.00
60442	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Sep 2009 A	200,000,000	2008/11/18	2009/09/28	64.00
60440	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Jun 2009 A	200,000,000	2008/11/18	2009/06/22	50.00
60443	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 L	300,000,000	2008/11/18	2009/08/28	75.00
60444	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 T	300,000,000	2008/11/18	2009/07/30	96.00
60447	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 M	300,000,000	2008/11/18	2009/08/28	84.00
60431	HK Bank – HSI R Bear CBBC Aug 2009 B	500,000,000	2008/11/18	2009/08/28	125.00
60435	UBS AG – A50 China R Bull CBBC May 2009 J	300,000,000	2008/11/18	2009/05/25	75.00
60437	UBS AG – HSCEI R Bull CBBC Apr 2009 C	500,000,000	2008/11/18	2009/04/29	125.00
60441	UBS AG – HSCEI R Bear CBBC Apr 2009 X	500,000,000	2008/11/18	2009/04/29	125.00
60432	UBS AG – HSI R Bull CBBC Jun 2009 E	500,000,000	2008/11/18	2009/06/29	125.00
60433	UBS AG – HSI R Bull CBBC Jun 2009 F	500,000,000	2008/11/18	2009/06/29	125.00
60434	UBS AG – HSI R Bear CBBC May 2009 F	500,000,000	2008/11/18	2009/05/27	125.00
60436	UBS AG – HSI R Bear CBBC Jun 2009 Q	500,000,000	2008/11/18	2009/06/29	125.00
60475	ABN AMRO Bank N.V. – China Mobile R Bull CBBC Jun 2009 A	150,000,000	2008/11/19	2009/06/16	37.50
60474	ABN AMRO Bank N.V. – A50 China R Bull CBBC May 2009 A	150,000,000	2008/11/19	2009/05/18	40.35
60476	ABN AMRO Bank N.V. – HKEx R Bull CBBC Jun 2009 A	150,000,000	2008/11/19	2009/06/16	37.50
60471	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jun 2009 A	150,000,000	2008/11/19	2009/06/16	39.30
60470	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 E	250,000,000	2008/11/19	2009/07/30	107.00
60473	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 F	250,000,000	2008/11/19	2009/07/30	95.75
60468	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 N	300,000,000	2008/11/19	2009/08/28	84.00
60469	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 U	300,000,000	2008/11/19	2009/07/30	90.00
60472	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 O	300,000,000	2008/11/19	2009/08/28	75.00
60467	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Mar 09C	248,000,000	2008/11/19	2009/03/10	96.72
60452	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May09A	500,000,000	2008/11/19	2009/05/26	125.00
60453	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May09B	500,000,000	2008/11/19	2009/05/26	125.00
60454	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May09C	500,000,000	2008/11/19	2009/05/26	125.00
60455	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC May09D	500,000,000	2008/11/19	2009/05/26	125.00
60445	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May09C	150,000,000	2008/11/19	2009/05/26	105.00
60446	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May09D	150,000,000	2008/11/19	2009/05/26	78.00
60448	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May09E	150,000,000	2008/11/19	2009/05/26	49.50
60449	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May09F	150,000,000	2008/11/19	2009/05/26	57.00
60450	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May09G	150,000,000	2008/11/19	2009/05/26	82.50
60451	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May09H	150,000,000	2008/11/19	2009/05/26	105.00
60464	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May09C	200,000,000	2008/11/19	2009/05/27	98.00
60465	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC May09D	200,000,000	2008/11/19	2009/05/27	50.00
60466	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC May09E	200,000,000	2008/11/19	2009/05/27	54.00
60460	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09H	200,000,000	2008/11/19	2009/07/27	52.00
60461	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09I	200,000,000	2008/11/19	2009/07/27	58.00
60462	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09J	200,000,000	2008/11/19	2009/07/27	70.00
60463	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09K	200,000,000	2008/11/19	2009/07/27	78.00
60456	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09U	200,000,000	2008/11/19	2009/06/29	50.00
60457	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09P	200,000,000	2008/11/19	2009/04/29	50.00
60458	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09U	200,000,000	2008/11/19	2009/04/29	50.00
60459	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09V	200,000,000	2008/11/19	2009/06/29	50.00

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60490	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 G	250,000,000	2008/11/20	2009/07/30	63.75
60489	Deutsche Bank AG – HSI R Bull CBBC Jul 2009 X	300,000,000	2008/11/20	2009/07/30	84.00
60493	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 D	300,000,000	2008/11/20	2009/07/30	75.00
60494	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 E	300,000,000	2008/11/20	2009/07/30	84.00
60496	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Apr 2009 B	400,000,000	2008/11/20	2009/04/01	100.00
60491	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2009 H	400,000,000	2008/11/20	2009/03/30	100.00
60492	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 Q	400,000,000	2008/11/20	2009/04/29	100.00
60495	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 P	400,000,000	2008/11/20	2009/05/27	100.00
60479	UBS AG – China Mobile R Bear CBBC May 2009 I	300,000,000	2008/11/20	2009/05/25	75.00
60477	UBS AG – China Life R Bear CBBC May 2009 C	300,000,000	2008/11/20	2009/05/18	94.50
60478	UBS AG – CM Bank R Bear CBBC May 2009 I	200,000,000	2008/11/20	2009/05/18	102.00
60480	UBS AG – HKEx R Bear CBBC May 2009 B	300,000,000	2008/11/20	2009/05/18	75.00
60483	UBS AG – HSCEI R Bull CBBC May 2009 S	500,000,000	2008/11/20	2009/05/27	125.00
60488	UBS AG – HSCEI R Bear CBBC May 2009 T	500,000,000	2008/11/20	2009/05/27	125.00
60482	UBS AG – HSI R Bull CBBC Jun 2009 R	500,000,000	2008/11/20	2009/06/29	125.00
60486	UBS AG – HSI R Bear CBBC Jun 2009 B	500,000,000	2008/11/20	2009/06/29	125.00
60484	UBS AG – HSI R Bear CBBC Jun 2009 S	500,000,000	2008/11/20	2009/06/29	125.00
60485	UBS AG – HSI R Bear CBBC Jun 2009 U	500,000,000	2008/11/20	2009/06/29	125.00
60487	UBS AG – HSI R Bear CBBC Jun 2009 V	500,000,000	2008/11/20	2009/06/29	125.00
60481	UBS AG – Petrochina R Bear CBBC May 2009 I	300,000,000	2008/11/20	2009/05/25	75.00
60516	ABN AMRO Bank N.V. – DJIA R Bull CBBC Jun 2009 E	40,000,000	2008/11/21	2009/06/19	11.36
60517	ABN AMRO Bank N.V. – DJIA R Bear CBBC Jun 2009 F	40,000,000	2008/11/21	2009/06/19	11.28
60511	ABN AMRO Bank N.V. – Nikkei 225 R Bull CBBC Jun 2009 A	40,000,000	2008/11/21	2009/06/12	10.24
60512	ABN AMRO Bank N.V. – Nikkei 225 R Bear CBBC Jun 2009 B	40,000,000	2008/11/21	2009/06/12	14.68
60518	ABN AMRO Bank N.V. – NQ100 R Bull CBBC Jun 2009 C	40,000,000	2008/11/21	2009/06/18	15.04
60513	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 F	300,000,000	2008/11/21	2009/11/27	75.00
60514	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 G	300,000,000	2008/11/21	2009/11/27	75.00
60499	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 P	300,000,000	2008/11/21	2009/08/28	75.00
60497	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09E	200,000,000	2008/11/21	2009/07/30	50.00
60498	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09W	200,000,000	2008/11/21	2009/06/29	50.00
60501	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09F	200,000,000	2008/11/21	2009/07/30	50.00
60500	UBS AG – CC Bank R Bear CBBC May 2009 C	200,000,000	2008/11/21	2009/05/25	50.00
60502	UBS AG – China Life R Bull CBBC May 2009 D	300,000,000	2008/11/21	2009/05/18	103.50
60505	UBS AG – CNOOC R Bull CBBC May 2009 C	200,000,000	2008/11/21	2009/05/25	50.00
60503	UBS AG – HS Bank R Bear CBBC May 2009 A	200,000,000	2008/11/21	2009/05/25	56.00
60504	UBS AG – HS Bank R Bear CBBC May 2009 B	200,000,000	2008/11/21	2009/05/25	76.00
60506	UBS AG – HSI R Bull CBBC Jul 2009 N	500,000,000	2008/11/21	2009/07/30	125.00
60515	UBS AG – HSI R Bull CBBC Jul 2009 T	500,000,000	2008/11/21	2009/07/30	125.00
60519	UBS AG – HSI R Bear CBBC Jul 2009 B	500,000,000	2008/11/21	2009/07/30	125.00
60507	UBS AG – HSI R Bear CBBC Jul 2009 M	500,000,000	2008/11/21	2009/07/30	125.00
60508	UBS AG – ICBC R Bear CBBC May 2009 C	200,000,000	2008/11/21	2009/05/18	50.00
60509	UBS AG – ICBC R Bear CBBC May 2009 D	200,000,000	2008/11/21	2009/05/18	52.00
60510	UBS AG – Petrochina R Bull CBBC May 2009 J	300,000,000	2008/11/21	2009/05/25	75.00
60522	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 H	250,000,000	2008/11/24	2009/07/30	62.50
60526	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 I	250,000,000	2008/11/24	2009/07/30	62.50

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60523	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 Q	300,000,000	2008/11/24	2009/08/28	75.00
60527	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 R	300,000,000	2008/11/24	2009/08/28	78.00
60521	HK Bank – HSI R Bear CBBC Aug 2009 C	500,000,000	2008/11/24	2009/08/28	125.00
60520	UBS AG – HSI R Bull CBBC May 2009 L	500,000,000	2008/11/24	2009/05/27	125.00
60524	UBS AG – HSI R Bear CBBC May 2009 B	500,000,000	2008/11/24	2009/05/27	125.00
60566	ABN AMRO Bank N.V. – DJIA R Bull CBBC Jun 2009 G	40,000,000	2008/11/25	2009/06/19	13.36
60530	BNP Paribas Arbit Issu B.V. – Ch Mob R Bear CBBC Nov 2009 A	200,000,000	2008/11/25	2009/11/27	50.00
60557	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2009 C	200,000,000	2008/11/25	2009/11/27	50.00
60558	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2009 A	200,000,000	2008/11/25	2009/12/30	50.00
60561	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Nov 2009 D	200,000,000	2008/11/25	2009/11/27	50.00
60528	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 C	300,000,000	2008/11/25	2009/10/29	75.00
60525	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 H	300,000,000	2008/11/25	2009/11/27	105.00
60529	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 I	300,000,000	2008/11/25	2009/11/27	75.00
60560	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2009 A	300,000,000	2008/11/25	2009/12/30	75.00
60555	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 S	300,000,000	2008/11/25	2009/08/28	75.00
60556	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 T	300,000,000	2008/11/25	2009/08/28	76.50
60559	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 U	300,000,000	2008/11/25	2009/08/28	78.00
60562	KBC Fin Products Int'l Ltd. – CC Bank R Bear CBBC May 2009 A	400,000,000	2008/11/25	2009/05/15	100.00
60563	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Apr 09A	278,000,000	2008/11/25	2009/04/01	97.30
60541	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC May 2009 A	400,000,000	2008/11/25	2009/05/04	100.00
60545	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC May 2009 B	400,000,000	2008/11/25	2009/05/04	100.00
60564	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 R	400,000,000	2008/11/25	2009/04/29	100.00
60565	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 S	400,000,000	2008/11/25	2009/04/29	100.00
60533	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May09F	200,000,000	2008/11/25	2009/05/27	74.00
60534	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May09G	200,000,000	2008/11/25	2009/05/27	62.00
60535	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May09H	200,000,000	2008/11/25	2009/05/27	52.00
60531	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Jul09A	200,000,000	2008/11/25	2009/07/27	50.00
60532	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Jul09B	200,000,000	2008/11/25	2009/07/27	50.00
60536	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Jul09C	200,000,000	2008/11/25	2009/07/27	50.00
60537	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Jul09D	200,000,000	2008/11/25	2009/07/27	50.00
60542	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr09W	200,000,000	2008/11/25	2009/04/29	50.00
60543	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09X	200,000,000	2008/11/25	2009/06/29	50.00
60553	UBS AG – BOC HK R Bull CBBC Apr 2009 C	200,000,000	2008/11/25	2009/04/20	50.00
60554	UBS AG – CM Bank R Bear CBBC May 2009 J	200,000,000	2008/11/25	2009/05/25	97.00
60538	UBS AG – CNOOC R Bear CBBC May 2009 D	200,000,000	2008/11/25	2009/05/25	50.00
60539	UBS AG – HKEx R Bear CBBC Jun 2009 N	200,000,000	2008/11/25	2009/06/22	50.00
60540	UBS AG – HSBC R Bear CBBC May 2009 D	300,000,000	2008/11/25	2009/05/25	75.00
60546	UBS AG – HSCEI R Bull CBBC Apr 2009 I	500,000,000	2008/11/25	2009/04/29	125.00
60547	UBS AG – HSCEI R Bear CBBC May 2009 U	500,000,000	2008/11/25	2009/05/27	125.00
60544	UBS AG – HSI R Bull CBBC Jun 2009 W	500,000,000	2008/11/25	2009/06/29	125.00
60548	UBS AG – HSI R Bear CBBC Jun 2009 A	500,000,000	2008/11/25	2009/06/29	125.00
60549	UBS AG – HSI R Bear CBBC Jun 2009 E	500,000,000	2008/11/25	2009/06/29	125.00
60550	UBS AG – HSI R Bear CBBC Jun 2009 F	500,000,000	2008/11/25	2009/06/29	125.00
60551	UBS AG – HSI R Bear CBBC Jun 2009 Y	500,000,000	2008/11/25	2009/06/29	125.00
60552	UBS AG – HSI R Bear CBBC Jul 2009 C	500,000,000	2008/11/25	2009/07/30	125.00

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60589	ABN AMRO Bank N.V. – HKE R Bull CBBC Jun 2009 B	150,000,000	2008/11/26	2009/06/16	37.95
60587	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 J	250,000,000	2008/11/26	2009/07/30	63.25
60588	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 K	250,000,000	2008/11/26	2009/07/30	62.75
60579	BNP Paribas Arbit Issu B.V. – Petrochina R Bull CBBC Jul 09A	200,000,000	2008/11/26	2009/07/27	50.00
60583	BNP Paribas Arbit Issu B.V. – Petrochina R Bear CBBC Jul 09B	200,000,000	2008/11/26	2009/07/27	50.00
60595	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC May 2009 B	400,000,000	2008/11/26	2009/05/08	100.00
60590	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 T	400,000,000	2008/11/26	2009/04/29	100.00
60591	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 F	328,000,000	2008/11/26	2009/06/29	98.40
60592	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 Q	400,000,000	2008/11/26	2009/05/27	100.00
60593	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 R	400,000,000	2008/11/26	2009/05/27	100.00
60594	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 S	400,000,000	2008/11/26	2009/05/27	100.00
60568	SGA Societe Generale Acceptance N.V. – CTel R Bull CBBC Jun09A	100,000,000	2008/11/26	2009/06/30	25.00
60569	SGA Societe Generale Acceptance N.V. – CTel R Bull CBBC Jun09B	100,000,000	2008/11/26	2009/06/30	25.00
60572	SGA Societe Generale Acceptance N.V. – CTel R Bear CBBC Jun09C	100,000,000	2008/11/26	2009/06/30	25.00
60573	SGA Societe Generale Acceptance N.V. – CTel R Bear CBBC Jun09D	100,000,000	2008/11/26	2009/06/30	25.00
60567	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Jul09A	200,000,000	2008/11/26	2009/07/28	50.00
60570	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09H	100,000,000	2008/11/26	2009/07/24	25.00
60574	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09I	100,000,000	2008/11/26	2009/07/24	25.00
60576	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09Y	200,000,000	2008/11/26	2009/06/29	56.00
60577	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09G	200,000,000	2008/11/26	2009/07/30	50.00
60578	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09H	200,000,000	2008/11/26	2009/07/30	50.00
60580	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09Z	200,000,000	2008/11/26	2009/06/29	50.00
60571	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09H	200,000,000	2008/11/26	2009/07/27	50.00
60575	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Jul09I	200,000,000	2008/11/26	2009/07/27	50.00
60581	UBS AG – CC Bank R Bear CBBC May 2009 D	200,000,000	2008/11/26	2009/05/25	50.00
60582	UBS AG – China Life R Bear CBBC May 2009 E	300,000,000	2008/11/26	2009/05/18	102.00
60584	UBS AG – China Life R Bear CBBC Jul 2009 B	300,000,000	2008/11/26	2009/07/27	75.00
60585	UBS AG – HS Bank R Bear CBBC May 2009 C	200,000,000	2008/11/26	2009/05/25	50.00
60586	UBS AG – ICB R Bear CBBC May 2009 E	200,000,000	2008/11/26	2009/05/18	50.00
60635	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 L	250,000,000	2008/11/27	2009/07/30	87.75
60636	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 M	250,000,000	2008/11/27	2009/07/30	62.75
60639	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 N	250,000,000	2008/11/27	2009/07/30	63.25
60640	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 O	250,000,000	2008/11/27	2009/07/30	96.00
60597	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 B	300,000,000	2008/11/27	2009/12/30	75.00
60630	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 D	300,000,000	2008/11/27	2009/12/30	75.00
60601	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2009 C	300,000,000	2008/11/27	2009/12/30	75.00
60634	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2009 E	300,000,000	2008/11/27	2009/12/30	75.00
60637	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 C	300,000,000	2008/11/27	2009/08/28	75.00
60616	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 V	300,000,000	2008/11/27	2009/08/28	84.00
60617	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 W	300,000,000	2008/11/27	2009/08/28	111.00
60618	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 X	300,000,000	2008/11/27	2009/08/28	81.00
60622	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 C	300,000,000	2008/11/27	2009/07/30	90.00
60625	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 Z	300,000,000	2008/11/27	2009/07/30	120.00
60626	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 Y	300,000,000	2008/11/27	2009/08/28	78.00
60627	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 Z	300,000,000	2008/11/27	2009/08/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60604	HK Bank – HSI R Bull CBBC Aug 2009 D	500,000,000	2008/11/27	2009/08/28	125.00
60608	HK Bank – HSI R Bear CBBC Aug 2009 E	500,000,000	2008/11/27	2009/08/28	125.00
60620	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 U	268,000,000	2008/11/27	2009/04/29	99.16
60621	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 V	400,000,000	2008/11/27	2009/04/29	100.00
60619	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 T	298,000,000	2008/11/27	2009/05/27	98.34
60623	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 U	400,000,000	2008/11/27	2009/05/27	100.00
60624	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 V	400,000,000	2008/11/27	2009/05/27	100.00
60631	KBC Fin Products Int'l Ltd. – ICBC R Bear CBBC May 2009 A	400,000,000	2008/11/27	2009/05/04	100.00
60596	CC Rabobank B.A. – HSI R Bull CBBC Jul 2009 D	108,000,000	2008/11/27	2009/07/30	27.00
60600	CC Rabobank B.A. – HSI R Bear CBBC Jul 2009 E	108,000,000	2008/11/27	2009/07/30	27.00
60598	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Jun09A	150,000,000	2008/11/27	2009/06/30	37.50
60599	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Jun09B	150,000,000	2008/11/27	2009/06/30	37.50
60602	SGA Societe Generale Acceptance N.V. – CP&CC R Bear CBBC Jun09C	150,000,000	2008/11/27	2009/06/30	37.50
60603	SGA Societe Generale Acceptance N.V. – CP&CC R Bear CBBC Jun09D	150,000,000	2008/11/27	2009/06/30	37.50
60613	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09X	100,000,000	2008/11/27	2009/05/27	25.00
60614	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09Y	100,000,000	2008/11/27	2009/05/27	32.00
60615	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09F	200,000,000	2008/11/27	2009/06/29	50.00
60612	UBS AG – China Life R Bear CBBC May 2009 F	300,000,000	2008/11/27	2009/05/18	75.00
60605	UBS AG – HSCEI R Bull CBBC May 2009 V	500,000,000	2008/11/27	2009/05/27	125.00
60628	UBS AG – HSCEI R Bull CBBC May 2009 X	500,000,000	2008/11/27	2009/05/27	125.00
60609	UBS AG – HSCEI R Bear CBBC May 2009 W	500,000,000	2008/11/27	2009/05/27	125.00
60632	UBS AG – HSCEI R Bear CBBC May 2009 Y	500,000,000	2008/11/27	2009/05/27	125.00
60629	UBS AG – HSI R Bull CBBC Jun 2009 C	500,000,000	2008/11/27	2009/06/29	125.00
60606	UBS AG – HSI R Bull CBBC Jul 2009 D	500,000,000	2008/11/27	2009/07/30	125.00
60607	UBS AG – HSI R Bull CBBC Jul 2009 V	500,000,000	2008/11/27	2009/07/30	125.00
60633	UBS AG – HSI R Bear CBBC Jun 2009 R	500,000,000	2008/11/27	2009/06/29	125.00
60610	UBS AG – HSI R Bear CBBC Jul 2009 W	500,000,000	2008/11/27	2009/07/30	125.00
60611	UBS AG – HSI R Bear CBBC Jul 2009 X	500,000,000	2008/11/27	2009/07/30	125.00
60655	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jun 2009 B	150,000,000	2008/11/28	2009/06/16	37.95
60649	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Sep 2009 C	200,000,000	2008/11/28	2009/09/29	50.00
60653	BNP Paribas Arbit Issu B.V. – Ch Mob R Bear CBBC Sep 2009 D	200,000,000	2008/11/28	2009/09/29	50.00
60648	BNP Paribas Arbit Issu B.V. – Ch Life R Bull CBBC Dec 2009 A	200,000,000	2008/11/28	2009/12/30	116.00
60652	BNP Paribas Arbit Issu B.V. – China Life R Bear CBBC Dec 09B	200,000,000	2008/11/28	2009/12/30	130.00
60650	BNP Paribas Arbit Issu B.V. – HSBC R Bull CBBC Sep 2009 A	200,000,000	2008/11/28	2009/09/29	50.00
60654	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Sep 2009 B	200,000,000	2008/11/28	2009/09/29	70.00
60651	BNP Paribas Arbit Issu B.V. – HSBC R Bear CBBC Oct 2009 A	200,000,000	2008/11/28	2009/10/29	50.00
60638	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 D	300,000,000	2008/11/28	2009/08/28	75.00
60656	KBC Fin Products Int'l Ltd. – CC Bank R Bull CBBC Apr 2009 B	400,000,000	2008/11/28	2009/04/15	100.00
60657	KBC Fin Products Int'l Ltd. – CC Bank R Bear CBBC Mar 2009 A	400,000,000	2008/11/28	2009/03/10	100.00
60660	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Jun 2009 A	338,000,000	2008/11/28	2009/06/08	98.02
60658	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Jun 2009 A	400,000,000	2008/11/28	2009/06/01	100.00
60659	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC May 2009 C	400,000,000	2008/11/28	2009/05/04	100.00
60661	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 G	338,000,000	2008/11/28	2009/06/29	98.02
60662	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 H	400,000,000	2008/11/28	2009/06/29	100.00
60643	UBS AG – CM Bank R Bear CBBC May 2009 K	200,000,000	2008/11/28	2009/05/25	69.00

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60644	UBS AG – CNOOC R Bear CBBC May 2009 E	200,000,000	2008/11/28	2009/05/25	50.00
60642	UBS AG – HKEx R Bull CBBC Jun 2009 O	300,000,000	2008/11/28	2009/06/22	93.00
60646	UBS AG – HKEx R Bear CBBC Jun 2009 P	300,000,000	2008/11/28	2009/06/22	75.00
60641	UBS AG – HSI R Bull CBBC Jul 2009 A	500,000,000	2008/11/28	2009/07/30	125.00
60647	UBS AG – HSI R Bear CBBC Jul 2009 U	500,000,000	2008/11/28	2009/07/30	125.00
60645	UBS AG – ICBC R Bear CBBC May 2009 F	200,000,000	2008/11/28	2009/05/18	50.00
60676	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Oct 2009 A	200,000,000	2008/12/01	2009/10/29	56.00
60677	BNP Paribas Arbit Issu B.V. – A50 R Bear CBBC Oct 2009 B	200,000,000	2008/12/01	2009/10/29	66.00
60682	Deutsche Bank AG – CC Bank R Bull CBBC Jul 2009 A	150,000,000	2008/12/01	2009/07/27	37.50
60686	Deutsche Bank AG – CC Bank R Bear CBBC Jul 2009 B	150,000,000	2008/12/01	2009/07/27	43.50
60683	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 A	150,000,000	2008/12/01	2009/08/10	67.50
60687	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 B	150,000,000	2008/12/01	2009/08/10	69.00
60680	Deutsche Bank AG – A50 China R Bull CBBC Jun 2009 A	150,000,000	2008/12/01	2009/06/22	42.00
60681	Deutsche Bank AG – A50 China R Bear CBBC Jun 2009 B	150,000,000	2008/12/01	2009/06/22	43.50
60684	Deutsche Bank AG – HKEx R Bull CBBC Jun 2009 A	150,000,000	2008/12/01	2009/06/22	37.50
60688	Deutsche Bank AG – HKEx R Bear CBBC Jun 2009 B	150,000,000	2008/12/01	2009/06/22	45.00
60678	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 E	300,000,000	2008/12/01	2009/08/28	75.00
60679	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 F	300,000,000	2008/12/01	2009/08/28	81.00
60685	Deutsche Bank AG – Petrochina R Bull CBBC Jun 2009 A	150,000,000	2008/12/01	2009/06/22	37.50
60689	Deutsche Bank AG – Petrochina R Bear CBBC Jun 2009 B	150,000,000	2008/12/01	2009/06/22	45.00
60663	SGA Societe Generale Acceptance N.V. – BOCL R Bull CBBC Jul09A	200,000,000	2008/12/01	2009/07/27	50.00
60667	SGA Societe Generale Acceptance N.V. – BOCL R Bear CBBC Jul09B	200,000,000	2008/12/01	2009/07/27	50.00
60664	SGA Societe Generale Acceptance N.V. – CCCCL R Bull CBBC Jul09A	100,000,000	2008/12/01	2009/07/27	27.00
60668	SGA Societe Generale Acceptance N.V. – CCCCL R Bear CBBC Jul09B	100,000,000	2008/12/01	2009/07/27	26.00
60665	SGA Societe Generale Acceptance N.V. – CMB R Bull CBBC Jul09A	100,000,000	2008/12/01	2009/07/27	47.00
60669	SGA Societe Generale Acceptance N.V. – CMB R Bear CBBC Jul09B	100,000,000	2008/12/01	2009/07/27	41.00
60672	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09W	100,000,000	2008/12/01	2009/05/27	25.00
60673	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09G	200,000,000	2008/12/01	2009/06/29	50.00
60674	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09A	200,000,000	2008/12/01	2009/08/28	50.00
60666	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC Jul09A	100,000,000	2008/12/01	2009/07/27	25.00
60670	SGA Societe Generale Acceptance N.V. – HWL R Bear CBBC Jul09B	100,000,000	2008/12/01	2009/07/27	25.00
60671	SGA Societe Generale Acceptance N.V. – SHK P R Bull CBBC Jul09A	100,000,000	2008/12/01	2009/07/27	25.00
60675	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC Jul09B	100,000,000	2008/12/01	2009/07/27	25.00
60498#	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09W	200,000,000	2008/12/01	2009/06/29	28.00
60713	Deutsche Bank AG – CC Bank R Bull CBBC Jul 2009 C	150,000,000	2008/12/02	2009/07/27	42.00
60717	Deutsche Bank AG – CC Bank R Bear CBBC Jul 2009 D	150,000,000	2008/12/02	2009/07/27	37.50
60703	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 C	150,000,000	2008/12/02	2009/08/10	61.50
60707	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 D	150,000,000	2008/12/02	2009/08/10	45.00
60705	Deutsche Bank AG – A50 China R Bull CBBC Jun 2009 C	150,000,000	2008/12/02	2009/06/22	39.00
60709	Deutsche Bank AG – A50 China R Bear CBBC Jun 2009 D	150,000,000	2008/12/02	2009/06/22	37.50
60704	Deutsche Bank AG – HKEx R Bull CBBC Jun 2009 C	150,000,000	2008/12/02	2009/06/22	37.50
60708	Deutsche Bank AG – HKEx R Bear CBBC Jun 2009 D	150,000,000	2008/12/02	2009/06/22	39.00
60706	Deutsche Bank AG – Petrochina R Bull CBBC Jun 2009 C	150,000,000	2008/12/02	2009/06/22	37.50
60710	Deutsche Bank AG – Petrochina R Bear CBBC Jun 2009 D	150,000,000	2008/12/02	2009/06/22	52.50
60690	HK Bank – HSI R Bull CBBC Aug 2009 F	500,000,000	2008/12/02	2009/08/28	125.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60714	KBC Fin Products Int'l Ltd. – BOCL R Bull CBBC Jul 2009 A	180,000,000	2008/12/02	2009/07/15	99.00
60716	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC May 09A	158,000,000	2008/12/02	2009/05/11	97.96
60718	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC May 2009 C	400,000,000	2008/12/02	2009/05/20	100.00
60698	CC Rabobank B.A. – China Mobile R Bull CBBC Aug 2009 A	88,000,000	2008/12/02	2009/08/18	22.00
60701	CC Rabobank B.A. – China Mobile R Bear CBBC Aug 2009 B	88,000,000	2008/12/02	2009/08/18	22.00
60696	CC Rabobank B.A. – A50 China R Bull CBBC Jun 2009 A	88,000,000	2008/12/02	2009/06/29	22.00
60700	CC Rabobank B.A. – A50 China R Bear CBBC Jun 2009 B	88,000,000	2008/12/02	2009/06/29	22.00
60699	CC Rabobank B.A. – HSBC R Bull CBBC Jul 2009 A	88,000,000	2008/12/02	2009/07/28	22.00
60702	CC Rabobank B.A. – HSBC R Bear CBBC Jul 2009 B	88,000,000	2008/12/02	2009/07/28	22.00
60691	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09B	200,000,000	2008/12/02	2009/08/28	50.00
60692	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09C	200,000,000	2008/12/02	2009/08/28	50.00
60695	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09D	200,000,000	2008/12/02	2009/08/28	50.00
60694	UBS AG – China Life R Bull CBBC May 2009 G	300,000,000	2008/12/02	2009/05/18	75.00
60693	UBS AG – HSCEI R Bull CBBC May 2009 Z	500,000,000	2008/12/02	2009/05/27	125.00
60697	UBS AG – HSCEI R Bear CBBC May 2009 A	500,000,000	2008/12/02	2009/05/27	125.00
60711	UBS AG – HSI R Bull CBBC Jun 2009 T	500,000,000	2008/12/02	2009/06/29	125.00
60715	UBS AG – HSI R Bear CBBC Jun 2009 S	500,000,000	2008/12/02	2009/06/29	125.00
60712	UBS AG – Petrochina R Bull CBBC May 2009 K	300,000,000	2008/12/02	2009/05/25	75.00
60459#	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun09V	200,000,000	2008/12/02	2009/06/29	27.80
60721	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2009 T	300,000,000	2008/12/03	2009/08/28	75.00
60722	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 A	300,000,000	2008/12/03	2009/09/29	117.00
60723	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 B	300,000,000	2008/12/03	2009/09/29	84.00
60725	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 C	300,000,000	2008/12/03	2009/09/29	75.00
60726	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Mar 09D	288,000,000	2008/12/03	2009/03/18	97.92
60734	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 I	400,000,000	2008/12/03	2009/06/29	100.00
60732	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 W	338,000,000	2008/12/03	2009/05/27	98.02
60733	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 X	368,000,000	2008/12/03	2009/05/27	99.36
60728	KBC Fin Products Int'l Ltd. – Hutchison R Bull CBBC Jun 09A	400,000,000	2008/12/03	2009/06/08	100.00
60730	KBC Fin Products Int'l Ltd. – Hutchison R Bear CBBC Apr 09A	400,000,000	2008/12/03	2009/04/01	100.00
60727	KBC Fin Products Int'l Ltd. – ICBC R Bull CBBC May 2009 B	400,000,000	2008/12/03	2009/05/08	100.00
60729	KBC Fin Products Int'l Ltd. – SHK Ppt R Bull CBBC Apr 2009 A	348,000,000	2008/12/03	2009/04/15	97.44
60731	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Jun 2009 A	400,000,000	2008/12/03	2009/06/18	100.00
60719	UBS AG – CNOOC R Bull CBBC May 2009 F	200,000,000	2008/12/03	2009/05/25	50.00
60720	UBS AG – HSI R Bull CBBC Jun 2009 W	500,000,000	2008/12/03	2009/06/29	125.00
60724	UBS AG – HSI R Bear CBBC Jun 2009 A	500,000,000	2008/12/03	2009/06/29	125.00
60408#	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09C	200,000,000	2008/12/03	2009/07/30	28.60
60762	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 F	300,000,000	2008/12/04	2009/12/30	75.00
60766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2009 G	300,000,000	2008/12/04	2009/12/30	75.00
60738	Deutsche Bank AG – CC Bank R Bull CBBC Jul 2009 E	150,000,000	2008/12/04	2009/07/27	37.50
60742	Deutsche Bank AG – CC Bank R Bear CBBC Jul 2009 F	150,000,000	2008/12/04	2009/07/27	40.50
60739	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 E	150,000,000	2008/12/04	2009/08/10	66.00
60743	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 F	150,000,000	2008/12/04	2009/08/10	52.50
60740	Deutsche Bank AG – HKEx R Bull CBBC Jun 2009 E	150,000,000	2008/12/04	2009/06/22	37.50
60744	Deutsche Bank AG – HKEx R Bear CBBC Jun 2009 F	150,000,000	2008/12/04	2009/06/22	39.00
60741	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 D	300,000,000	2008/12/04	2009/09/29	105.00

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60747	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 E	300,000,000	2008/12/04	2009/09/29	120.00
60763	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 F	300,000,000	2008/12/04	2009/09/29	75.00
60764	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 G	300,000,000	2008/12/04	2009/09/29	90.00
60769	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2009 Y	400,000,000	2008/12/04	2009/04/29	100.00
60765	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 W	400,000,000	2008/12/04	2009/04/29	100.00
60767	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2009 X	400,000,000	2008/12/04	2009/04/29	100.00
60735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09I	200,000,000	2008/12/04	2009/07/30	50.00
60736	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09J	200,000,000	2008/12/04	2009/07/30	50.00
60737	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul09K	200,000,000	2008/12/04	2009/07/30	50.00
60745	UBS AG – China Mobile R Bull CBBC May 2009 J	300,000,000	2008/12/04	2009/05/25	75.00
60746	UBS AG – HS Bank R Bull CBBC Jun 2009 E	200,000,000	2008/12/04	2009/06/22	50.00
60748	UBS AG – HSCEI R Bull CBBC May 2009 B	500,000,000	2008/12/04	2009/05/27	125.00
60749	UBS AG – HSCEI R Bull CBBC May 2009 C	500,000,000	2008/12/04	2009/05/27	125.00
60757	UBS AG – HSCEI R Bear CBBC May 2009 D	500,000,000	2008/12/04	2009/05/27	125.00
60758	UBS AG – HSCEI R Bear CBBC May 2009 I	500,000,000	2008/12/04	2009/05/27	125.00
60750	UBS AG – HSI R Bull CBBC Jun 2009 E	500,000,000	2008/12/04	2009/06/29	125.00
60751	UBS AG – HSI R Bull CBBC Jul 2009 B	500,000,000	2008/12/04	2009/07/30	125.00
60752	UBS AG – HSI R Bull CBBC Jul 2009 C	500,000,000	2008/12/04	2009/07/30	125.00
60753	UBS AG – HSI R Bull CBBC Jul 2009 H	500,000,000	2008/12/04	2009/07/30	125.00
60754	UBS AG – HSI R Bull CBBC Jul 2009 I	500,000,000	2008/12/04	2009/07/30	125.00
60755	UBS AG – HSI R Bull CBBC Jul 2009 J	500,000,000	2008/12/04	2009/07/30	125.00
60756	UBS AG – HSI R Bull CBBC Jul 2009 L	500,000,000	2008/12/04	2009/07/30	125.00
60759	UBS AG – HSI R Bear CBBC Jul 2009 M	500,000,000	2008/12/04	2009/07/30	125.00
60760	UBS AG – HSI R Bear CBBC Jul 2009 N	500,000,000	2008/12/04	2009/07/30	125.00
60761	UBS AG – HSI R Bear CBBC Jul 2009 T	500,000,000	2008/12/04	2009/07/30	125.00
60775	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 P	250,000,000	2008/12/05	2009/07/30	63.00
60776	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 H	300,000,000	2008/12/05	2009/09/29	90.00
60777	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 I	300,000,000	2008/12/05	2009/09/29	99.00
60778	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 J	300,000,000	2008/12/05	2009/09/29	75.00
60779	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 Y	400,000,000	2008/12/05	2009/05/27	100.00
60780	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 J	400,000,000	2008/12/05	2009/06/29	100.00
60773	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Jul09B	200,000,000	2008/12/05	2009/07/28	50.00
60774	SGA Societe Generale Acceptance N.V. – CP&CC R Bear CBBC Jun09E	150,000,000	2008/12/05	2009/06/30	37.50
60770	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09H	100,000,000	2008/12/05	2009/06/29	25.00
60768	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09E	200,000,000	2008/12/05	2009/08/28	50.00
60771	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09E	100,000,000	2008/12/05	2009/05/27	25.00
60772	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09F	200,000,000	2008/12/05	2009/08/28	50.00
60795	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2009 D	300,000,000	2008/12/08	2009/10/29	75.00
60799	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2009 E	300,000,000	2008/12/08	2009/10/29	75.00
60797	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2009 J	500,000,000	2008/12/08	2009/11/27	125.00
60821	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 A	150,000,000	2008/12/08	2009/07/20	39.00
60825	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 B	150,000,000	2008/12/08	2009/07/20	40.50
60800	Deutsche Bank AG – CNOOC R Bull CBBC Jun 2009 A	150,000,000	2008/12/08	2009/06/29	39.00
60804	Deutsche Bank AG – CNOOC R Bear CBBC Jun 2009 B	150,000,000	2008/12/08	2009/06/29	43.50
60798	Deutsche Bank AG – Sinopec Corp R Bull CBBC Aug 2009 A	150,000,000	2008/12/08	2009/08/03	37.50

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60803	Deutsche Bank AG – Sinopec Corp R Bear CBBC Aug 2009 B	150,000,000	2008/12/08	2009/08/03	42.00
60801	Deutsche Bank AG – HKEx R Bull CBBC Jul 2009 A	150,000,000	2008/12/08	2009/07/06	39.00
60805	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 B	150,000,000	2008/12/08	2009/07/06	37.50
60793	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 J	300,000,000	2008/12/08	2009/08/28	81.00
60794	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 K	300,000,000	2008/12/08	2009/08/28	75.00
60792	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 K	300,000,000	2008/12/08	2009/09/29	75.00
60796	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 L	300,000,000	2008/12/08	2009/09/29	75.00
60802	Deutsche Bank AG – ICBC R Bull CBBC Jul 2009 A	150,000,000	2008/12/08	2009/07/27	37.50
60806	Deutsche Bank AG – ICBC R Bear CBBC Jul 2009 B	150,000,000	2008/12/08	2009/07/27	39.00
60791	HK Bank – HSI R Bull CBBC Apr 2009 B	500,000,000	2008/12/08	2009/04/29	125.00
60823	KBC Fin Products Int'l Ltd. – CC Bank R Bull CBBC Aug 2009 A	400,000,000	2008/12/08	2009/08/18	100.00
60822	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC May 2009 D	328,000,000	2008/12/08	2009/05/15	88.56
60826	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC May 2009 E	248,000,000	2008/12/08	2009/05/15	96.22
60808	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 A	400,000,000	2008/12/08	2009/05/27	100.00
60807	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 Z	328,000,000	2008/12/08	2009/05/27	98.40
60812	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 F	348,000,000	2008/12/08	2009/05/27	93.61
60824	KBC Fin Products Int'l Ltd. – SHK Ppt R Bull CBBC May 2009 A	348,000,000	2008/12/08	2009/05/04	87.00
60784	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul09I	300,000,000	2008/12/08	2009/07/27	75.00
60782	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Jul09C	300,000,000	2008/12/08	2009/07/27	75.00
60783	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May09I	150,000,000	2008/12/08	2009/05/26	61.50
60785	SGA Societe Generale Acceptance N.V. – CShen R Bull CBBC Jun09A	100,000,000	2008/12/08	2009/06/30	51.00
60789	SGA Societe Generale Acceptance N.V. – CShen R Bear CBBC Jun09B	100,000,000	2008/12/08	2009/06/30	61.00
60786	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jul09L	200,000,000	2008/12/08	2009/07/27	50.00
60781	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09I	100,000,000	2008/12/08	2009/06/29	25.00
60788	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09R	100,000,000	2008/12/08	2009/05/27	28.00
60787	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Jun09A	300,000,000	2008/12/08	2009/06/30	75.00
60790	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC Jun09B	300,000,000	2008/12/08	2009/06/30	75.00
60816	UBS AG – CM Bank R Bull CBBC Jun 2009 A	200,000,000	2008/12/08	2009/06/29	69.00
60817	UBS AG – CNOOC R Bull CBBC Jun 2009 A	200,000,000	2008/12/08	2009/06/29	50.00
60818	UBS AG – HKEx R Bull CBBC Jun 2009 Q	300,000,000	2008/12/08	2009/06/22	75.00
60819	UBS AG – HKEx R Bull CBBC Jun 2009 R	300,000,000	2008/12/08	2009/06/22	75.00
60820	UBS AG – HSBC R Bull CBBC Jun 2009 E	300,000,000	2008/12/08	2009/06/22	75.00
60809	UBS AG – HSI R Bull CBBC Jun 2009 F	500,000,000	2008/12/08	2009/06/29	125.00
60810	UBS AG – HSI R Bull CBBC Jun 2009 Q	500,000,000	2008/12/08	2009/06/29	125.00
60811	UBS AG – HSI R Bull CBBC Jun 2009 R	500,000,000	2008/12/08	2009/06/29	125.00
60813	UBS AG – HSI R Bull CBBC Jul 2009 W	500,000,000	2008/12/08	2009/07/30	125.00
60814	UBS AG – HSI R Bear CBBC Jun 2009 Y	500,000,000	2008/12/08	2009/06/29	125.00
60815	UBS AG – HSI R Bear CBBC Jul 2009 X	500,000,000	2008/12/08	2009/07/30	125.00
60845	BNP Paribas Arbit Issu B.V. – China Life R Bear CBBC Nov 09A	200,000,000	2008/12/09	2009/11/30	50.00
60827	BNP Paribas Arbit Issu B.V. – ICBC R Bull CBBC Oct 2009 A	200,000,000	2008/12/09	2009/10/29	50.00
60828	BNP Paribas Arbit Issu B.V. – ICBC R Bull CBBC Nov 2009 A	200,000,000	2008/12/09	2009/11/30	50.00
60841	BNP Paribas Arbit Issu B.V. – ICBC R Bear CBBC Oct 2009 B	200,000,000	2008/12/09	2009/10/29	50.00
60842	BNP Paribas Arbit Issu B.V. – ICBC R Bear CBBC Nov 2009 B	200,000,000	2008/12/09	2009/11/30	50.00
60839	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 B	300,000,000	2008/12/09	2009/08/28	75.00
60840	Deutsche Bank AG – HSI R Bear CBBC Jul 2009 W	300,000,000	2008/12/09	2009/07/30	105.00

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60843	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 I	300,000,000	2008/12/09	2009/08/28	75.00
60844	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 L	300,000,000	2008/12/09	2009/08/28	75.00
60829	HK Bank – HSI R Bear CBBC Apr 2009 C	500,000,000	2008/12/09	2009/04/29	125.00
60847	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 G	348,000,000	2008/12/09	2009/05/27	98.48
60846	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 A	400,000,000	2008/12/09	2009/08/28	100.00
60830	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2009 A	200,000,000	2008/12/09	2009/06/29	50.00
60834	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2009 B	200,000,000	2008/12/09	2009/06/29	50.00
60831	CC Rabobank B.A. – HSI R Bull CBBC Jul 2009 F	108,000,000	2008/12/09	2009/07/30	43.20
60832	CC Rabobank B.A. – HSI R Bear CBBC Aug 2009 A	108,000,000	2008/12/09	2009/08/28	27.00
60833	UBS AG – CC Bank R Bear CBBC Jun 2009 B	200,000,000	2008/12/09	2009/06/22	50.00
60836	UBS AG – A50 China R Bear CBBC May 2009 K	300,000,000	2008/12/09	2009/05/25	75.00
60837	UBS AG – HSI R Bear CBBC May 2009 L	500,000,000	2008/12/09	2009/05/27	125.00
60838	UBS AG – HSI R Bear CBBC Jun 2009 S	500,000,000	2008/12/09	2009/06/29	125.00
60835	UBS AG – ICBC R Bull CBBC Jul 2009 A	200,000,000	2008/12/09	2009/07/20	50.00
60865	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 Q	250,000,000	2008/12/10	2009/07/30	62.50
60857	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 C	150,000,000	2008/12/10	2009/07/13	37.50
60861	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 D	150,000,000	2008/12/10	2009/07/13	40.50
60856	Deutsche Bank AG – CC Bank R Bear CBBC Aug 2009 A	150,000,000	2008/12/10	2009/08/03	43.50
60859	Deutsche Bank AG – CNOOC R Bull CBBC Jul 2009 A	150,000,000	2008/12/10	2009/07/06	37.50
60863	Deutsche Bank AG – CNOOC R Bear CBBC Jul 2009 B	150,000,000	2008/12/10	2009/07/06	46.50
60858	Deutsche Bank AG – Sinopec Corp R Bull CBBC Jul 2009 A	150,000,000	2008/12/10	2009/07/27	40.50
60862	Deutsche Bank AG – Sinopec Corp R Bear CBBC Jul 2009 B	150,000,000	2008/12/10	2009/07/27	54.00
60860	Deutsche Bank AG – ICBC R Bull CBBC Aug 2009 A	150,000,000	2008/12/10	2009/08/03	42.00
60864	Deutsche Bank AG – ICBC R Bear CBBC Aug 2009 B	150,000,000	2008/12/10	2009/08/03	52.50
60851	SGA Societe Generale Acceptance N.V. – CCCCL R Bear CBBC Jul09C	100,000,000	2008/12/10	2009/07/27	33.00
60852	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC Jul09M	200,000,000	2008/12/10	2009/07/27	50.00
60848	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09N	100,000,000	2008/12/10	2009/06/29	25.00
60849	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May09Q	100,000,000	2008/12/10	2009/05/27	25.00
60853	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09J	200,000,000	2008/12/10	2009/07/27	50.00
60850	SGA Societe Generale Acceptance NV – PingAn R Bull CBBC Jul09A	100,000,000	2008/12/10	2009/07/27	25.00
60854	SGA Societe Generale Acceptance NV – PingAn R Bear CBBC Jul09B	100,000,000	2008/12/10	2009/07/27	25.00
60855	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC Jul09C	100,000,000	2008/12/10	2009/07/27	25.00
32061*	HK Bank – HSI R Bear CBBC Mar 2009 J	500,000,000	2008/12/10	2009/03/30	107.00
60880	ABN AMRO Bank N.V. – China Mobile R Bull CBBC Jun 2009 B	150,000,000	2008/12/11	2009/06/19	37.50
60879	ABN AMRO Bank N.V. – A50 China R Bull CBBC Jun 2009 A	150,000,000	2008/12/11	2009/06/16	37.50
60882	ABN AMRO Bank N.V. – HKEx R Bull CBBC Jun 2009 C	150,000,000	2008/12/11	2009/06/19	37.65
60883	ABN AMRO Bank N.V. – HSBC R Bull CBBC Jun 2009 C	150,000,000	2008/12/11	2009/06/19	37.50
60866	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jul 2009 A	250,000,000	2008/12/11	2009/07/30	62.50
60867	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 H	300,000,000	2008/12/11	2009/12/30	75.00
60871	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Dec 2009 I	300,000,000	2008/12/11	2009/12/30	75.00
60878	Deutsche Bank AG – CNOOC R Bull CBBC Jul 2009 C	150,000,000	2008/12/11	2009/07/13	42.00
60877	Deutsche Bank AG – A50 China R Bull CBBC Jun 2009 E	150,000,000	2008/12/11	2009/06/22	37.50
60881	Deutsche Bank AG – A50 China R Bear CBBC Jun 2009 F	150,000,000	2008/12/11	2009/06/22	43.50
60868	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 M	300,000,000	2008/12/11	2009/09/29	75.00
60876	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 N	300,000,000	2008/12/11	2009/09/29	75.00

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60869	UBS AG – HSCEI R Bull CBBC Jun 2009 A	500,000,000	2008/12/11	2009/06/29	125.00
60873	UBS AG – HSCEI R Bear CBBC Jun 2009 B	500,000,000	2008/12/11	2009/06/29	125.00
60870	UBS AG – HSI R Bull CBBC Jun 2009 A	500,000,000	2008/12/11	2009/06/29	125.00
60872	UBS AG – HSI R Bull CBBC Jul 2009 U	500,000,000	2008/12/11	2009/07/30	125.00
60874	UBS AG – HSI R Bear CBBC Jun 2009 E	500,000,000	2008/12/11	2009/06/29	125.00
60875	UBS AG – HSI R Bear CBBC Jul 2009 J	500,000,000	2008/12/11	2009/07/30	125.00
32285#	HK Bank – HSI R Bear CBBC Mar 2009 P	500,000,000	2008/12/11	2009/03/30	73.00
32200#	UBS AG – HSI R Bear CBBC Jun 2009 L	1,000,000,000	2008/12/11	2009/06/29	173.00
60899	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 G	150,000,000	2008/12/12	2009/08/03	52.50
60902	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 H	150,000,000	2008/12/12	2009/08/03	63.00
60898	Deutsche Bank AG – A50 China R Bull CBBC Jun 2009 G	150,000,000	2008/12/12	2009/06/29	37.50
60901	Deutsche Bank AG – A50 China R Bear CBBC Jun 2009 H	150,000,000	2008/12/12	2009/06/29	45.00
60900	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 A	300,000,000	2008/12/12	2009/08/28	75.00
60903	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 P	300,000,000	2008/12/12	2009/08/28	75.00
60904	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 Q	300,000,000	2008/12/12	2009/08/28	75.00
60886	CC Rabobank B.A. – China Mobile R Bull CBBC Aug 2009 C	128,000,000	2008/12/12	2009/08/28	32.00
60892	CC Rabobank B.A. – China Mobile R Bear CBBC Aug 2009 D	128,000,000	2008/12/12	2009/08/28	32.00
60884	CC Rabobank B.A. – CC Bank R Bull CBBC Jul 2009 A	128,000,000	2008/12/12	2009/07/20	32.00
60890	CC Rabobank B.A. – CC Bank R Bear CBBC Jul 2009 B	128,000,000	2008/12/12	2009/07/20	32.00
60885	CC Rabobank B.A. – China Life R Bull CBBC Aug 2009 A	88,000,000	2008/12/12	2009/08/28	48.40
60891	CC Rabobank B.A. – China Life R Bear CBBC Aug 2009 B	88,000,000	2008/12/12	2009/08/28	44.00
60889	CC Rabobank B.A. – A50 China R Bull CBBC Aug 2009 A	128,000,000	2008/12/12	2009/08/28	44.80
60895	CC Rabobank B.A. – A50 China R Bear CBBC Aug 2009 B	128,000,000	2008/12/12	2009/08/28	32.00
60887	CC Rabobank B.A. – HKEx R Bull CBBC Aug 2009 A	128,000,000	2008/12/12	2009/08/28	32.00
60893	CC Rabobank B.A. – HKEx R Bear CBBC Aug 2009 B	128,000,000	2008/12/12	2009/08/28	32.00
60888	CC Rabobank B.A. – HSBC R Bull CBBC Sep 2009 A	128,000,000	2008/12/12	2009/09/29	38.40
60894	CC Rabobank B.A. – HSBC R Bear CBBC Dec 2009 A	128,000,000	2008/12/12	2009/12/29	38.40
60896	UBS AG – HKEx R Bear CBBC Jun 2009 S	300,000,000	2008/12/12	2009/06/22	75.00
60897	UBS AG – HSBC R Bear CBBC Jun 2009 F	300,000,000	2008/12/12	2009/06/22	75.00
32259#	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 I	300,000,000	2008/12/12	2009/08/28	27.60
60960	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jul 2009 B	250,000,000	2008/12/15	2009/07/30	62.75
60958	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 R	250,000,000	2008/12/15	2009/07/30	62.75
60959	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 S	250,000,000	2008/12/15	2009/07/30	62.75
60907	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2009 A	200,000,000	2008/12/15	2009/12/30	50.00
60905	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 J	300,000,000	2008/12/15	2009/12/30	75.00
60906	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 K	300,000,000	2008/12/15	2009/12/30	75.00
60922	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 E	150,000,000	2008/12/15	2009/07/27	37.50
60923	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 F	150,000,000	2008/12/15	2009/07/27	39.00
60913	Deutsche Bank AG – CC Bank R Bull CBBC Aug 2009 B	150,000,000	2008/12/15	2009/08/03	37.50
60915	Deutsche Bank AG – CC Bank R Bear CBBC Aug 2009 C	150,000,000	2008/12/15	2009/08/03	40.50
60917	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 I	150,000,000	2008/12/15	2009/08/17	75.00
60918	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 J	150,000,000	2008/12/15	2009/08/17	60.00
60919	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 K	150,000,000	2008/12/15	2009/08/17	49.50
60920	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 L	150,000,000	2008/12/15	2009/08/17	79.50
60921	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 M	150,000,000	2008/12/15	2009/08/17	109.50

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60924	Deutsche Bank AG – HKEx R Bull CBBC Jul 2009 C	150,000,000	2008/12/15	2009/07/06	37.50
60925	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 D	150,000,000	2008/12/15	2009/07/06	37.50
60926	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 E	150,000,000	2008/12/15	2009/07/06	46.50
60927	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 O	300,000,000	2008/12/15	2009/09/29	75.00
60928	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 P	300,000,000	2008/12/15	2009/09/29	78.00
60961	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 Q	300,000,000	2008/12/15	2009/09/29	75.00
60929	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 T	300,000,000	2008/12/15	2009/08/28	76.50
60908	HK Bank – HSI R Bull CBBC Apr 2009 D	500,000,000	2008/12/15	2009/04/29	125.00
60911	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Jun 09B	128,000,000	2008/12/15	2009/06/10	97.28
60912	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC May 2009 F	328,000,000	2008/12/15	2009/05/18	91.84
60916	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC May 2009 G	288,000,000	2008/12/15	2009/05/20	95.62
60909	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 K	388,000,000	2008/12/15	2009/06/29	97.00
60910	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 L	208,000,000	2008/12/15	2009/06/29	91.52
60914	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 M	348,000,000	2008/12/15	2009/06/29	135.02
60930	UBS AG – BOC HK R Bull CBBC Jun 2009 A	200,000,000	2008/12/15	2009/06/22	50.00
60934	UBS AG – China Mobile R Bull CBBC Jun 2009 A	300,000,000	2008/12/15	2009/06/22	75.00
60935	UBS AG – China Mobile R Bull CBBC Jun 2009 B	300,000,000	2008/12/15	2009/06/22	75.00
60931	UBS AG – CC Bank R Bull CBBC Jun 2009 C	200,000,000	2008/12/15	2009/06/22	50.00
60932	UBS AG – China Life R Bull CBBC May 2009 H	300,000,000	2008/12/15	2009/05/18	159.00
60933	UBS AG – China Life R Bull CBBC May 2009 I	300,000,000	2008/12/15	2009/05/18	129.00
60937	UBS AG – HKEx R Bull CBBC Jun 2009 T	300,000,000	2008/12/15	2009/06/22	75.00
60938	UBS AG – HKEx R Bear CBBC Jun 2009 U	300,000,000	2008/12/15	2009/06/22	75.00
60936	UBS AG – HS Bank R Bull CBBC Jun 2009 F	200,000,000	2008/12/15	2009/06/22	50.00
60939	UBS AG – HSBC R Bear CBBC Jun 2009 G	300,000,000	2008/12/15	2009/06/22	82.50
60943	UBS AG – HSCEI R Bull CBBC Jun 2009 C	500,000,000	2008/12/15	2009/06/29	125.00
60944	UBS AG – HSCEI R Bull CBBC Jun 2009 D	500,000,000	2008/12/15	2009/06/29	125.00
60945	UBS AG – HSCEI R Bull CBBC Jun 2009 E	500,000,000	2008/12/15	2009/06/29	125.00
60946	UBS AG – HSCEI R Bear CBBC Jun 2009 F	500,000,000	2008/12/15	2009/06/29	125.00
60947	UBS AG – HSCEI R Bear CBBC Jun 2009 G	500,000,000	2008/12/15	2009/06/29	125.00
60948	UBS AG – HSCEI R Bear CBBC Jun 2009 H	500,000,000	2008/12/15	2009/06/29	125.00
60949	UBS AG – HSI R Bull CBBC Jun 2009 B	500,000,000	2008/12/15	2009/06/29	125.00
60950	UBS AG – HSI R Bull CBBC Jun 2009 G	500,000,000	2008/12/15	2009/06/29	125.00
60951	UBS AG – HSI R Bull CBBC Jul 2009 L	500,000,000	2008/12/15	2009/07/30	125.00
60952	UBS AG – HSI R Bull CBBC Jul 2009 M	500,000,000	2008/12/15	2009/07/30	125.00
60953	UBS AG – HSI R Bull CBBC Aug 2009 G	500,000,000	2008/12/15	2009/08/28	125.00
60954	UBS AG – HSI R Bear CBBC Jun 2009 P	500,000,000	2008/12/15	2009/06/29	125.00
60955	UBS AG – HSI R Bear CBBC Jun 2009 U	500,000,000	2008/12/15	2009/06/29	125.00
60956	UBS AG – HSI R Bear CBBC Jul 2009 N	500,000,000	2008/12/15	2009/07/30	125.00
60957	UBS AG – HSI R Bear CBBC Jul 2009 T	500,000,000	2008/12/15	2009/07/30	125.00
60940	UBS AG – ICBC R Bull CBBC Jul 2009 B	200,000,000	2008/12/15	2009/07/20	50.00
60941	UBS AG – Petrochina R Bull CBBC Jun 2009 A	300,000,000	2008/12/15	2009/06/22	75.00
60942	UBS AG – Ping An R Bull CBBC Jul 2009 D	200,000,000	2008/12/15	2009/07/20	50.00
61024	ABN AMRO Bank N.V. – HSI R Bear CBBC Jul 2009 T	250,000,000	2008/12/16	2009/07/30	62.50
61012	Deutsche Bank AG – China Mobile R Bull CBBC Jul 2009 G	150,000,000	2008/12/16	2009/07/27	37.50
61013	Deutsche Bank AG – China Mobile R Bear CBBC Jul 2009 H	150,000,000	2008/12/16	2009/07/27	45.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61010	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 N	150,000,000	2008/12/16	2009/08/10	64.50
61011	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 O	150,000,000	2008/12/16	2009/08/10	94.50
61016	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 R	300,000,000	2008/12/16	2009/09/29	75.00
61017	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 R	300,000,000	2008/12/16	2009/08/28	105.00
61018	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 S	300,000,000	2008/12/16	2009/09/29	102.00
61019	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 T	300,000,000	2008/12/16	2009/09/29	90.00
61020	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 U	300,000,000	2008/12/16	2009/09/29	75.00
61021	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 V	300,000,000	2008/12/16	2009/09/29	81.00
61014	Deutsche Bank AG – Petrochina R Bull CBBC Jun 2009 E	150,000,000	2008/12/16	2009/06/29	37.50
61015	Deutsche Bank AG – Petrochina R Bear CBBC Jun 2009 F	150,000,000	2008/12/16	2009/06/29	37.50
60962	HK Bank – HSI R Bull CBBC Jun 2009 A	1,000,000,000	2008/12/16	2009/06/29	250.00
60966	HK Bank – HSI R Bear CBBC Jun 2009 B	1,000,000,000	2008/12/16	2009/06/29	250.00
61022	KBC Fin Products Int'l Ltd. – CC Bank R Bull CBBC Jul 2009 A	400,000,000	2008/12/16	2009/07/10	100.00
61025	KBC Fin Products Int'l Ltd. – CC Bank R Bear CBBC Jul 2009 B	248,000,000	2008/12/16	2009/07/20	96.97
61027	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Jun 09C	188,000,000	2008/12/16	2009/06/15	180.48
60983	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2009 J	368,000,000	2008/12/16	2009/05/27	99.36
60982	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 A	368,000,000	2008/12/16	2009/07/30	95.68
60984	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 B	288,000,000	2008/12/16	2009/05/27	90.14
60985	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2009 N	268,000,000	2008/12/16	2009/05/27	98.62
60986	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 N	198,000,000	2008/12/16	2009/06/29	95.04
60988	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 O	180,000,000	2008/12/16	2009/06/29	96.30
60989	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 P	175,000,000	2008/12/16	2009/06/29	98.53
60990	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 B	378,000,000	2008/12/16	2009/08/28	97.15
60991	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 C	288,000,000	2008/12/16	2009/08/28	193.25
60987	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 A	368,000,000	2008/12/16	2009/09/29	94.58
61023	KBC Fin Products Int'l Ltd. – ICBC R Bull CBBC Jul 2009 B	400,000,000	2008/12/16	2009/07/15	100.00
61026	KBC Fin Products Int'l Ltd. – ICBC R Bear CBBC Jul 2009 C	288,000,000	2008/12/16	2009/07/30	97.34
61005	CC Rabobank B.A. – China Mobile R Bull CBBC Sep 2009 A	128,000,000	2008/12/16	2009/09/29	32.00
61008	CC Rabobank B.A. – China Mobile R Bear CBBC Sep 2009 B	128,000,000	2008/12/16	2009/09/29	32.00
60998	CC Rabobank B.A. – CC Bank R Bull CBBC Aug 2009 A	128,000,000	2008/12/16	2009/08/18	32.00
61001	CC Rabobank B.A. – CC Bank R Bear CBBC Aug 2009 B	128,000,000	2008/12/16	2009/08/18	32.00
61004	CC Rabobank B.A. – China Life R Bull CBBC Sep 2009 A	88,000,000	2008/12/16	2009/09/29	61.60
61007	CC Rabobank B.A. – China Life R Bear CBBC Sep 2009 B	88,000,000	2008/12/16	2009/09/29	61.60
61006	CC Rabobank B.A. – A50 China R Bull CBBC Sep 2009 A	128,000,000	2008/12/16	2009/09/29	32.00
61009	CC Rabobank B.A. – A50 China R Bear CBBC Sep 2009 B	128,000,000	2008/12/16	2009/09/29	38.40
60999	CC Rabobank B.A. – HKEx R Bull CBBC Sep 2009 A	128,000,000	2008/12/16	2009/09/29	32.00
61002	CC Rabobank B.A. – HKEx R Bear CBBC Sep 2009 B	128,000,000	2008/12/16	2009/09/29	32.00
61000	CC Rabobank B.A. – HSBC R Bull CBBC Sep 2009 B	128,000,000	2008/12/16	2009/09/29	32.00
61003	CC Rabobank B.A. – HSBC R Bear CBBC Sep 2009 C	128,000,000	2008/12/16	2009/09/29	32.00
60976	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Jul09J	100,000,000	2008/12/16	2009/07/27	29.00
60974	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Jul09A	100,000,000	2008/12/16	2009/07/27	64.00
60975	SGA Societe Generale Acceptance N.V. – CMB R Bear CBBC Jul 09C	100,000,000	2008/12/16	2009/07/27	37.00
60977	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09J	200,000,000	2008/12/16	2009/07/24	50.00
60981	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Jul09C	200,000,000	2008/12/16	2009/07/31	50.00
60979	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Jul09E	200,000,000	2008/12/16	2009/07/27	50.00

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60980	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Jul09F	200,000,000	2008/12/16	2009/07/27	50.00
60978	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jul09N	200,000,000	2008/12/16	2009/07/27	50.00
60963	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09J	200,000,000	2008/12/16	2009/05/27	50.00
60964	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May09X	200,000,000	2008/12/16	2009/05/27	50.00
60965	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09G	200,000,000	2008/12/16	2009/08/28	50.00
60967	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09H	200,000,000	2008/12/16	2009/08/28	50.00
60968	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09I	200,000,000	2008/12/16	2009/08/28	50.00
60969	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09J	200,000,000	2008/12/16	2009/08/28	50.00
60970	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09K	200,000,000	2008/12/16	2009/08/28	53.00
60971	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09L	200,000,000	2008/12/16	2009/08/28	61.00
60972	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09M	200,000,000	2008/12/16	2009/08/28	71.00
60973	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09N	200,000,000	2008/12/16	2009/08/28	80.00
60992	UBS AG – HSCEI R Bull CBBC Jun 2009 I	500,000,000	2008/12/16	2009/06/29	125.00
60996	UBS AG – HSCEI R Bear CBBC Jun 2009 J	500,000,000	2008/12/16	2009/06/29	125.00
60993	UBS AG – HSI R Bull CBBC Jun 2009 V	500,000,000	2008/12/16	2009/06/29	125.00
60994	UBS AG – HSI R Bull CBBC Aug 2009 F	500,000,000	2008/12/16	2009/08/28	125.00
60995	UBS AG – HSI R Bull CBBC Sep 2009 W	500,000,000	2008/12/16	2009/09/29	125.00
60997	UBS AG – HSI R Bear CBBC Jun 2009 X	500,000,000	2008/12/16	2009/06/29	125.00
32192#	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2009 H	500,000,000	2008/12/16	2009/08/28	56.00
60409#	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09D	200,000,000	2008/12/16	2009/07/30	21.40
61069	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 G	250,000,000	2008/12/17	2009/04/29	62.75
61068	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 H	250,000,000	2008/12/17	2009/04/29	62.75
61071	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 G	250,000,000	2008/12/17	2009/05/27	62.50
61070	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 U	250,000,000	2008/12/17	2009/05/27	62.50
61072	ABN AMRO Bank N.V. – HSI R Bear CBBC May 2009 Y	250,000,000	2008/12/17	2009/05/27	62.50
61032	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 L	300,000,000	2008/12/17	2009/09/29	75.00
61033	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 M	300,000,000	2008/12/17	2009/09/29	75.00
61073	Deutsche Bank AG – CNOOC R Bull CBBC Jul 2009 D	150,000,000	2008/12/17	2009/07/06	39.00
61074	Deutsche Bank AG – CNOOC R Bear CBBC Jul 2009 E	150,000,000	2008/12/17	2009/07/06	43.50
61078	Deutsche Bank AG – A50 China R Bull CBBC Jul 2009 A	150,000,000	2008/12/17	2009/07/06	37.50
61079	Deutsche Bank AG – A50 China R Bear CBBC Jul 2009 B	150,000,000	2008/12/17	2009/07/06	45.00
61075	Deutsche Bank AG – HKEx R Bull CBBC Jul 2009 F	150,000,000	2008/12/17	2009/07/13	37.50
61076	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 G	150,000,000	2008/12/17	2009/07/13	37.50
61077	Deutsche Bank AG – HKEx R Bear CBBC Jul 2009 H	150,000,000	2008/12/17	2009/07/13	45.00
61051	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 W	300,000,000	2008/12/17	2009/09/29	75.00
61083	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 X	300,000,000	2008/12/17	2009/09/29	75.00
61080	Deutsche Bank AG – Petrochina R Bull CBBC Jun 2009 G	150,000,000	2008/12/17	2009/06/29	37.50
61081	Deutsche Bank AG – Petrochina R Bear CBBC Jun 2009 H	150,000,000	2008/12/17	2009/06/29	37.50
61082	Deutsche Bank AG – Petrochina R Bear CBBC Jun 2009 I	150,000,000	2008/12/17	2009/06/29	49.50
61045	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Aug 09A	155,000,000	2008/12/17	2009/08/10	199.02
61047	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Sep 09A	128,000,000	2008/12/17	2009/09/03	199.68
61043	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Jun 09 A	268,000,000	2008/12/17	2009/06/03	96.48
61044	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Sep 2009 A	248,000,000	2008/12/17	2009/09/15	99.20
61042	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Jun 2009 A	400,000,000	2008/12/17	2009/06/29	100.00
61046	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Jun 2009 B	368,000,000	2008/12/17	2009/06/29	99.73

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61040	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 Q	318,000,000	2008/12/17	2009/06/29	193.98
61041	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 R	348,000,000	2008/12/17	2009/06/29	97.44
61049	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 S	348,000,000	2008/12/17	2009/06/29	87.00
61050	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 T	308,000,000	2008/12/17	2009/06/29	98.56
61034	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 B	400,000,000	2008/12/17	2009/07/30	100.00
61035	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 D	268,000,000	2008/12/17	2009/08/28	294.26
61036	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 B	368,000,000	2008/12/17	2009/09/29	92.74
61037	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 C	368,000,000	2008/12/17	2009/09/29	93.10
61039	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 D	368,000,000	2008/12/17	2009/09/29	196.14
61038	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 E	228,000,000	2008/12/17	2009/09/29	296.63
61048	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Sep 2009 A	188,000,000	2008/12/17	2009/09/03	99.83
61029	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09J	200,000,000	2008/12/17	2009/06/29	50.00
61030	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09K	200,000,000	2008/12/17	2009/06/29	50.00
61028	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09M	200,000,000	2008/12/17	2009/06/29	72.00
61031	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09L	200,000,000	2008/12/17	2009/07/30	50.00
61062	UBS AG – China Mobile R Bull CBBC Jun 2009 C	300,000,000	2008/12/17	2009/06/22	75.00
61058	UBS AG – CC Bank R Bull CBBC Jun 2009 D	200,000,000	2008/12/17	2009/06/22	50.00
61060	UBS AG – China Life R Bull CBBC Jul 2009 C	300,000,000	2008/12/17	2009/07/27	115.50
61061	UBS AG – CM Bank R Bull CBBC Jun 2009 B	200,000,000	2008/12/17	2009/06/29	83.00
61063	UBS AG – CNOOC R Bull CBBC Jun 2009 B	200,000,000	2008/12/17	2009/06/29	50.00
61057	UBS AG – A50 China R Bull CBBC Jul 2009 A	300,000,000	2008/12/17	2009/07/27	75.00
61064	UBS AG – HKEx R Bull CBBC Jun 2009 V	300,000,000	2008/12/17	2009/06/22	75.00
61065	UBS AG – HSBC R Bull CBBC Jun 2009 H	300,000,000	2008/12/17	2009/06/22	75.00
61052	UBS AG – HSCEI R Bull CBBC Jun 2009 K	500,000,000	2008/12/17	2009/06/29	125.00
61056	UBS AG – HSCEI R Bear CBBC Jun 2009 L	500,000,000	2008/12/17	2009/06/29	125.00
61053	UBS AG – HSI R Bull CBBC Sep 2009 X	500,000,000	2008/12/17	2009/09/29	125.00
61054	UBS AG – HSI R Bull CBBC Sep 2009 Y	500,000,000	2008/12/17	2009/09/29	125.00
61055	UBS AG – HSI R Bull CBBC Sep 2009 Z	500,000,000	2008/12/17	2009/09/29	125.00
61059	UBS AG – HSI R Bear CBBC Sep 2009 M	500,000,000	2008/12/17	2009/09/29	125.00
61066	UBS AG – Petrochina R Bull CBBC Jun 2009 B	300,000,000	2008/12/17	2009/06/22	75.00
61067	UBS AG – Ping An R Bull CBBC Jul 2009 E	200,000,000	2008/12/17	2009/07/20	50.00
60768#	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09E	200,000,000	2008/12/17	2009/08/28	26.40
61113	BNP Paribas Arbit Issu B.V. – CC Bank R Bull CBBC Aug 2009 A	200,000,000	2008/12/18	2009/08/10	50.00
61117	BNP Paribas Arbit Issu B.V. – CC Bank R Bear CBBC Aug 2009 B	200,000,000	2008/12/18	2009/08/03	64.00
61115	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Aug 2009 A	200,000,000	2008/12/18	2009/08/17	50.00
61114	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Oct 2009 A	200,000,000	2008/12/18	2009/10/19	50.00
61118	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Oct 2009 B	200,000,000	2008/12/18	2009/10/20	60.00
61149	Deutsche Bank AG – CNOOC R Bull CBBC Jul 2009 F	150,000,000	2008/12/18	2009/07/06	37.50
61153	Deutsche Bank AG – CNOOC R Bear CBBC Jul 2009 G	150,000,000	2008/12/18	2009/07/06	55.50
61085	HK Bank – HSI R Bull CBBC Jun 2009 C	1,000,000,000	2008/12/18	2009/06/29	250.00
61122	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 U	248,000,000	2008/12/18	2009/06/29	196.91
61123	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jun 2009 V	368,000,000	2008/12/18	2009/06/29	98.99
61124	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 C	408,000,000	2008/12/18	2009/07/30	193.39
61125	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 D	228,000,000	2008/12/18	2009/07/30	193.57
61126	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 E	288,000,000	2008/12/18	2009/07/30	91.01

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61127	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 F	258,000,000	2008/12/18	2009/07/30	193.76
61128	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 E	348,000,000	2008/12/18	2009/08/28	193.14
61129	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 F	388,000,000	2008/12/18	2009/08/28	98.55
61130	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 F	188,000,000	2008/12/18	2009/09/29	195.71
61131	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 G	400,000,000	2008/12/18	2009/09/29	100.00
61084	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2009 C	200,000,000	2008/12/18	2009/06/29	50.00
61119	CC Rabobank B.A. – HSI R Bull CBBC Aug 2009 B	108,000,000	2008/12/18	2009/08/28	32.40
61120	CC Rabobank B.A. – HSI R Bull CBBC Aug 2009 C	108,000,000	2008/12/18	2009/08/28	27.00
61121	CC Rabobank B.A. – HSI R Bull CBBC Aug 2009 D	108,000,000	2008/12/18	2009/08/28	27.00
61116	CC Rabobank B.A. – HSI R Bear CBBC Aug 2009 E	108,000,000	2008/12/18	2009/08/28	27.00
61087	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC Jul09K	300,000,000	2008/12/18	2009/07/27	75.00
61086	SGA Societe Generale Acceptance N.V. – CCB R Bear CBBC Jul09D	300,000,000	2008/12/18	2009/07/27	75.00
61090	SGA Societe Generale Acceptance N.V. – CNOOC R Bull CBBC Jul09K	200,000,000	2008/12/18	2009/07/24	50.00
61091	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09L	200,000,000	2008/12/18	2009/07/24	54.00
61088	SGA Societe Generale Acceptance N.V. – CP&CC R Bull CBBC Jul09A	300,000,000	2008/12/18	2009/07/27	75.00
61089	SGA Societe Generale Acceptance N.V. – CP&CC R Bear CBBC Jul09B	300,000,000	2008/12/18	2009/07/27	75.00
61092	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Jul09G	200,000,000	2008/12/18	2009/07/27	50.00
61093	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Jul09H	200,000,000	2008/12/18	2009/07/27	50.00
61100	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09E	200,000,000	2008/12/18	2009/06/29	50.00
61099	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun09T	200,000,000	2008/12/18	2009/06/29	50.00
61101	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09O	200,000,000	2008/12/18	2009/08/28	50.00
61102	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug09P	200,000,000	2008/12/18	2009/08/28	50.00
61094	SGA Societe Generale Acceptance N.V. – HWL R Bear CBBC Jul 09C	300,000,000	2008/12/18	2009/07/27	75.00
61095	SGA Societe Generale Acceptance N.V. – ICBC R Bear CBBC Jul09A	300,000,000	2008/12/18	2009/07/27	75.00
61096	SGA Societe Generale Acceptance N.V. – PetCh R Bull CBBC Jul09K	200,000,000	2008/12/18	2009/07/27	50.00
61097	SGA Societe Generale Acceptance N.V. – SHK P R Bull CBBC Jul09D	200,000,000	2008/12/18	2009/07/27	50.00
61098	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC Jul09E	200,000,000	2008/12/18	2009/07/27	50.00
61134	UBS AG – CC Bank R Bear CBBC Jun 2009 E	200,000,000	2008/12/18	2009/06/22	50.00
61135	UBS AG – CC Bank R Bear CBBC Jun 2009 F	200,000,000	2008/12/18	2009/06/22	57.00
61133	UBS AG – Cheung Kong R Bull CBBC Jul 2009 C	200,000,000	2008/12/18	2009/07/27	50.00
61139	UBS AG – Cheung Kong R Bear CBBC Jul 2009 D	200,000,000	2008/12/18	2009/07/27	51.00
61148	UBS AG – China Life R Bull CBBC Jul 2009 D	300,000,000	2008/12/18	2009/07/27	97.50
61137	UBS AG – China Life R Bull CBBC Jul 2009 E	300,000,000	2008/12/18	2009/07/27	75.00
61138	UBS AG – CM Bank R Bull CBBC Jun 2009 C	200,000,000	2008/12/18	2009/06/29	75.00
61143	UBS AG – CM Bank R Bear CBBC Jun 2009 D	200,000,000	2008/12/18	2009/06/29	106.00
61144	UBS AG – CM Bank R Bear CBBC Jun 2009 E	200,000,000	2008/12/18	2009/06/29	146.00
61140	UBS AG – CNOOC R Bull CBBC Jun 2009 C	200,000,000	2008/12/18	2009/06/29	50.00
61145	UBS AG – CNOOC R Bear CBBC Jun 2009 D	200,000,000	2008/12/18	2009/06/29	50.00
61146	UBS AG – CNOOC R Bear CBBC Jun 2009 E	200,000,000	2008/12/18	2009/06/29	55.00
61141	UBS AG – HKEx R Bull CBBC Jun 2009 W	300,000,000	2008/12/18	2009/06/22	75.00
61147	UBS AG – HKEx R Bear CBBC Jun 2009 X	300,000,000	2008/12/18	2009/06/22	75.00
61132	UBS AG – HSI R Bull CBBC May 2009 B	500,000,000	2008/12/18	2009/05/27	125.00
61103	UBS AG – HSI R Bull CBBC Jun 2009 E	500,000,000	2008/12/18	2009/06/29	125.00
61104	UBS AG – HSI R Bull CBBC Jun 2009 L	500,000,000	2008/12/18	2009/06/29	125.00
61105	UBS AG – HSI R Bull CBBC Jun 2009 S	500,000,000	2008/12/18	2009/06/29	125.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61106	UBS AG – HSI R Bull CBBC Jun 2009 Y	500,000,000	2008/12/18	2009/06/29	125.00
61107	UBS AG – HSI R Bull CBBC Jul 2009 J	500,000,000	2008/12/18	2009/07/30	125.00
61108	UBS AG – HSI R Bull CBBC Jul 2009 X	500,000,000	2008/12/18	2009/07/30	125.00
61136	UBS AG – HSI R Bear CBBC May 2009 K	500,000,000	2008/12/18	2009/05/27	125.00
61109	UBS AG – HSI R Bear CBBC Sep 2009 N	500,000,000	2008/12/18	2009/09/29	125.00
61110	UBS AG – HSI R Bear CBBC Sep 2009 O	500,000,000	2008/12/18	2009/09/29	125.00
61111	UBS AG – HSI R Bear CBBC Sep 2009 P	500,000,000	2008/12/18	2009/09/29	125.00
61112	UBS AG – HSI R Bear CBBC Sep 2009 Q	500,000,000	2008/12/18	2009/09/29	125.00
61142	UBS AG – Petrochina R Bull CBBC Jun 2009 C	300,000,000	2008/12/18	2009/06/22	75.00
61166	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 N	500,000,000	2008/12/19	2009/09/29	125.00
61167	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2009 O	500,000,000	2008/12/19	2009/09/29	125.00
61170	Deutsche Bank AG – China Life R Bear CBBC Aug 2009 P	150,000,000	2008/12/19	2009/08/03	43.50
61168	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 U	300,000,000	2008/12/19	2009/08/28	78.00
61169	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 Y	300,000,000	2008/12/19	2009/08/28	75.00
61171	Goldman Sachs SP (Asia) – HSI R Bull CBBC Aug 2009 A	200,000,000	2008/12/19	2009/08/28	50.00
61172	Goldman Sachs SP (Asia) – HSI R Bear CBBC Jun 2009 I	200,000,000	2008/12/19	2009/06/29	50.00
61156	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 G	348,000,000	2008/12/19	2009/07/30	144.07
61157	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 G	248,000,000	2008/12/19	2009/08/28	97.71
61158	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 H	208,000,000	2008/12/19	2009/08/28	196.14
61159	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 H	400,000,000	2008/12/19	2009/09/29	100.00
61160	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Sep 2009 I	348,000,000	2008/12/19	2009/09/29	95.35
61161	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 W	348,000,000	2008/12/19	2009/06/29	185.48
61162	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 I	178,000,000	2008/12/19	2009/08/28	194.02
61163	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Aug 2009 J	208,000,000	2008/12/19	2009/08/28	96.10
61164	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 J	248,000,000	2008/12/19	2009/09/29	292.64
61165	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 K	298,000,000	2008/12/19	2009/09/29	192.51
61150	SGA Societe Generale Acceptance N.V – CCCCL R Bull CBBC Jul09D	150,000,000	2008/12/19	2009/07/27	46.50
61151	SGA Societe Generale Acceptance N.V – CCCCL R Bear CBBC Jul09E	150,000,000	2008/12/19	2009/07/27	54.00
61152	SGA Societe Generale Acceptance N.V – CNOOC R Bull CBBC Jul09M	200,000,000	2008/12/19	2009/07/24	50.00
61154	SGA Societe Generale Acceptance N.V – PetCh R Bull CBBC Jul09L	200,000,000	2008/12/19	2009/07/27	50.00
61155	SGA Societe Generale Acceptance N.V – SHK P R Bull CBBC Jul09F	200,000,000	2008/12/19	2009/07/27	50.00
61199	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 Q	150,000,000	2008/12/22	2009/08/03	40.50
61201	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 Z	300,000,000	2008/12/22	2009/08/28	75.00
61202	Deutsche Bank AG – HSI R Bull CBBC Sep 2009 Y	300,000,000	2008/12/22	2009/09/29	75.00
61203	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 F	300,000,000	2008/12/22	2009/08/28	111.00
61204	Deutsche Bank AG – HSI R Bear CBBC Aug 2009 G	300,000,000	2008/12/22	2009/08/28	129.00
61205	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 Z	300,000,000	2008/12/22	2009/09/29	75.00
61200	Deutsche Bank AG – Petrochina R Bear CBBC Jun 2009 J	150,000,000	2008/12/22	2009/06/22	46.50
61188	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Nov 09A	88,000,000	2008/12/22	2009/11/20	95.92
61189	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Dec 09A	68,000,000	2008/12/22	2009/12/08	89.76
61190	KBC Fin Products Int'l Ltd. – China Life R Bear CBBC Dec 09B	58,000,000	2008/12/22	2009/12/18	89.90
61192	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Nov 2009 A	128,000,000	2008/12/22	2009/11/09	56.32
61193	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Jul 2009 A	148,000,000	2008/12/22	2009/07/07	53.28
61194	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Nov 2009 A	128,000,000	2008/12/22	2009/11/02	58.88
61195	KBC Fin Products Int'l Ltd. – ICBC R Bear CBBC Sep 2009 A	238,000,000	2008/12/22	2009/09/01	64.26

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61196	KBC Fin Products Int'l Ltd. – ICBC R Bear CBBC Nov 2009 A	238,000,000	2008/12/22	2009/11/02	78.54
61197	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Oct 2009 A	128,000,000	2008/12/22	2009/10/19	56.32
61198	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Dec 2009 A	108,000,000	2008/12/22	2009/12/15	60.48
61183	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2009 D	200,000,000	2008/12/22	2009/06/29	50.00
61173	SGA Societe Generale Acceptance N.V. – BOCL R Bear CBBC Jul09C	300,000,000	2008/12/22	2009/07/27	75.00
61176	SGA Societe Generale Acceptance N.V. – COVS R Bull CBBC May09A	100,000,000	2008/12/22	2009/05/18	44.00
61177	SGA Societe Generale Acceptance N.V. – COVS R Bear CBBC May09B	100,000,000	2008/12/22	2009/05/18	47.00
61174	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC Jul09A	200,000,000	2008/12/22	2009/07/20	50.00
61175	SGA Societe Generale Acceptance N.V. – CK(H) R Bear CBBC Jul09B	200,000,000	2008/12/22	2009/07/20	50.00
61178	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jul09M	200,000,000	2008/12/22	2009/07/30	51.00
61179	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Aug09Q	200,000,000	2008/12/22	2009/08/28	50.00
61180	SGA Societe Generale Acceptance N.V. – PetCh R Bear CBBC Jul09M	200,000,000	2008/12/22	2009/07/27	82.00
61181	SGA Societe Generale Acceptance NV – PingAn R Bull CBBC Jul09C	200,000,000	2008/12/22	2009/07/27	50.00
61182	SGA Societe Generale Acceptance NV – PingAn R Bear CBBC Jul09D	200,000,000	2008/12/22	2009/07/27	50.00
61184	UBS AG – CC Bank R Bear CBBC Jul 2009 A	200,000,000	2008/12/22	2009/07/20	50.00
61185	UBS AG – CM Bank R Bear CBBC Jun 2009 F	200,000,000	2008/12/22	2009/06/29	68.00
61186	UBS AG – CM Bank R Bear CBBC Jun 2009 G	200,000,000	2008/12/22	2009/06/29	88.00
61187	UBS AG – HSCEI R Bull CBBC Jun 2009 M	500,000,000	2008/12/22	2009/06/29	125.00
61191	UBS AG – HSCEI R Bear CBBC Jun 2009 N	500,000,000	2008/12/22	2009/06/29	125.00
32061 [#]	HK Bank – HSI R Bear CBBC Mar 2009 J	1,000,000,000	2008/12/22	2009/03/30	134.00
61214	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Sep 2009 L	168,000,000	2008/12/23	2009/09/29	166.32
61217	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 A	288,000,000	2008/12/23	2009/10/29	158.40
61218	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 B	168,000,000	2008/12/23	2009/10/29	179.76
61219	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 C	308,000,000	2008/12/23	2009/10/29	150.92
61220	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2009 A	168,000,000	2008/12/23	2009/11/27	193.20
61221	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2009 B	238,000,000	2008/12/23	2009/11/27	149.94
61222	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2009 A	308,000,000	2008/12/23	2009/12/30	150.92
61223	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2009 B	168,000,000	2008/12/23	2009/12/30	210.00
61206	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2009 E	200,000,000	2008/12/23	2009/06/29	50.00
61207	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Jul09B	100,000,000	2008/12/23	2009/07/27	51.00
61208	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Oct09A	500,000,000	2008/12/23	2009/10/27	125.00
61209	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Oct09B	500,000,000	2008/12/23	2009/10/27	125.00
61210	SGA Societe Generale Acceptance N.V. – CMB R Bull CBBC Jul 09D	100,000,000	2008/12/23	2009/07/27	55.00
61213	UBS AG – CM Bank R Bull CBBC Jul 2009 A	200,000,000	2008/12/23	2009/07/20	81.00
61211	UBS AG – HSI R Bull CBBC Jun 2009 M	500,000,000	2008/12/23	2009/06/29	125.00
61212	UBS AG – HSI R Bull CBBC Jun 2009 P	500,000,000	2008/12/23	2009/06/29	125.00
61215	UBS AG – HSI R Bear CBBC Jun 2009 U	500,000,000	2008/12/23	2009/06/29	125.00
61216	UBS AG – HSI R Bear CBBC Jun 2009 V	500,000,000	2008/12/23	2009/06/29	125.00
61224	HK Bank – HSI R Bull CBBC Apr 2009 E	1,000,000,000	2008/12/24	2009/04/29	250.00
61227	KBC Fin Products Int'l Ltd. – HSCEI R Bull CBBC Sep 2009 A	268,000,000	2008/12/24	2009/09/29	67.00
61228	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Oct 2009 A	268,000,000	2008/12/24	2009/10/29	67.00
61231	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Nov 2009 A	268,000,000	2008/12/24	2009/11/27	85.76
61232	KBC Fin Products Int'l Ltd. – HSCEI R Bear CBBC Dec 2009 A	238,000,000	2008/12/24	2009/12/30	71.40
61233	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jul 2009 H	238,000,000	2008/12/24	2009/07/30	92.82
61234	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Aug 2009 K	338,000,000	2008/12/24	2009/08/28	98.02

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61236	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Oct 2009 D	368,000,000	2008/12/24	2009/10/29	95.68
61235	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Nov 2009 C	368,000,000	2008/12/24	2009/11/27	95.68
61225	UBS AG – HSCEI R Bull CBBC Jul 2009 A	500,000,000	2008/12/24	2009/07/30	125.00
61229	UBS AG – HSCEI R Bear CBBC Jul 2009 B	500,000,000	2008/12/24	2009/07/30	125.00
61226	UBS AG – HSI R Bull CBBC Jul 2009 N	500,000,000	2008/12/24	2009/07/30	125.00
61230	UBS AG – HSI R Bear CBBC Jul 2009 T	500,000,000	2008/12/24	2009/07/30	125.00
60905#	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2009 J	200,000,000	2008/12/24	2009/12/30	28.40
61240	Deutsche Bank AG – China Life R Bull CBBC Aug 2009 R	150,000,000	2008/12/29	2009/08/03	60.00
61241	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 H	300,000,000	2008/12/29	2009/08/28	90.00
61242	Deutsche Bank AG – HSI R Bull CBBC Aug 2009 M	300,000,000	2008/12/29	2009/08/28	75.00
61237	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May09J	100,000,000	2008/12/29	2009/05/26	51.00
61238	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC Sep09A	200,000,000	2008/12/29	2009/09/29	50.00
61239	UBS AG – HSCEI R Bull CBBC Jul 2009 C	500,000,000	2008/12/29	2009/07/30	125.00
61243	UBS AG – HSCEI R Bear CBBC Jul 2009 D	500,000,000	2008/12/29	2009/07/30	125.00
61255	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 A	250,000,000	2008/12/30	2009/04/29	68.50
61260	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 E	250,000,000	2008/12/30	2009/04/29	62.50
61259	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 F	250,000,000	2008/12/30	2009/04/29	62.50
61257	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 N	250,000,000	2008/12/30	2009/04/29	62.50
61256	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 Y	250,000,000	2008/12/30	2009/04/29	63.50
61258	ABN AMRO Bank N.V. – HSI R Bull CBBC Apr 2009 Z	250,000,000	2008/12/30	2009/04/29	91.50
61261	ABN AMRO Bank N.V. – HSI R Bull CBBC Jun 2009 C	250,000,000	2008/12/30	2009/06/29	88.00
61262	ABN AMRO Bank N.V. – HSI R Bull CBBC Jun 2009 D	250,000,000	2008/12/30	2009/06/29	76.50
61263	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 U	250,000,000	2008/12/30	2009/07/30	64.75
61264	ABN AMRO Bank N.V. – HSI R Bull CBBC Jul 2009 V	250,000,000	2008/12/30	2009/07/30	125.00
61265	ABN AMRO Bank N.V. – HSI R Bear CBBC Apr 2009 C	250,000,000	2008/12/30	2009/04/29	62.50
61266	ABN AMRO Bank N.V. – HSI R Bear CBBC Jun 2009 E	250,000,000	2008/12/30	2009/06/29	63.00
61249	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 K	500,000,000	2008/12/30	2009/11/27	125.00
61250	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2009 L	500,000,000	2008/12/30	2009/11/27	125.00
61251	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 K	500,000,000	2008/12/30	2009/07/30	125.00
61253	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 L	500,000,000	2008/12/30	2009/07/30	125.00
61254	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2009 M	500,000,000	2008/12/30	2009/07/30	125.00
61267	KBC Fin Products Int'l Ltd. – CC Bank R Bear CBBC Jun 2009 B	218,000,000	2008/12/30	2009/06/01	54.50
61268	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Jul 09A	150,000,000	2008/12/30	2009/07/02	75.00
61269	KBC Fin Products Int'l Ltd. – China Life R Bull CBBC Sep 09B	128,000,000	2008/12/30	2009/09/10	134.40
61270	KBC Fin Products Int'l Ltd. – HKEx R Bull CBBC Jun 2009 B	128,000,000	2008/12/30	2009/06/01	32.00
61271	KBC Fin Products Int'l Ltd. – HKEx R Bear CBBC Aug 2009 A	128,000,000	2008/12/30	2009/08/06	32.00
61272	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Jun 2009 B	138,000,000	2008/12/30	2009/06/08	34.50
61273	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Aug 2009 A	138,000,000	2008/12/30	2009/08/03	44.16
61274	KBC Fin Products Int'l Ltd. – HSBC R Bull CBBC Sep 2009 B	138,000,000	2008/12/30	2009/09/10	53.82
61275	KBC Fin Products Int'l Ltd. – HSBC R Bear CBBC Jun 2009 C	138,000,000	2008/12/30	2009/06/15	34.50
61276	KBC Fin Products Int'l Ltd. – SHK Ppt R Bear CBBC Jun 2009 B	128,000,000	2008/12/30	2009/06/10	32.00
61244	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May09K	100,000,000	2008/12/30	2009/05/26	48.00
61245	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC Jun09A	100,000,000	2008/12/30	2009/06/29	48.00
61246	SGA Societe Generale Acceptance N.V. – CMB R Bear CBBC May 09A	100,000,000	2008/12/30	2009/05/29	41.00
61247	SGA Societe Generale Acceptance N.V. – CNOOC R Bear CBBC Jul09N	200,000,000	2008/12/30	2009/07/24	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61248	UBS AG – HSBC R Bull CBBC Jul 2009 H	300,000,000	2008/12/30	2009/07/20	75.00
61252	UBS AG – HSBC R Bear CBBC Jul 2009 I	300,000,000	2008/12/30	2009/07/20	75.00
61285	ABN AMRO Bank N.V. – HSCEI R Bull CBBC May 2009 A	250,000,000	2008/12/31	2009/05/27	62.50
61286	ABN AMRO Bank N.V. – HSCEI R Bull CBBC May 2009 B	250,000,000	2008/12/31	2009/05/27	62.50
61287	ABN AMRO Bank N.V. – HSCEI R Bull CBBC Jun 2009 D	250,000,000	2008/12/31	2009/06/29	62.50
61288	ABN AMRO Bank N.V. – HSCEI R Bear CBBC May 2009 C	250,000,000	2008/12/31	2009/05/27	62.50
61289	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jun 2009 E	250,000,000	2008/12/31	2009/06/29	62.50
61290	ABN AMRO Bank N.V. – HSCEI R Bear CBBC Jun 2009 F	250,000,000	2008/12/31	2009/06/29	86.50
61279	Deutsche Bank AG – HSBC R Bull CBBC Jul 2009 A	150,000,000	2008/12/31	2009/07/22	52.50
61280	Deutsche Bank AG – HSBC R Bull CBBC Jul 2009 B	150,000,000	2008/12/31	2009/07/22	37.50
61278	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 F	300,000,000	2008/12/31	2009/09/29	75.00
61284	Deutsche Bank AG – HSI R Bear CBBC Sep 2009 G	300,000,000	2008/12/31	2009/09/29	78.00
61281	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 X	100,000,000	2008/12/31	2009/06/29	40.50
61282	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 Y	150,000,000	2008/12/31	2009/06/29	42.90
61283	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jun 2009 Z	80,000,000	2008/12/31	2009/06/29	45.36
61277	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC Sep09A	400,000,000	2008/12/31	2009/09/29	100.00
61291	UBS AG – HSI R Bull CBBC Jul 2009 L	500,000,000	2008/12/31	2009/07/30	125.00
61295	UBS AG – HSI R Bear CBBC Jul 2009 M	500,000,000	2008/12/31	2009/07/30	125.00
總額					185,485.67

股票掛鈎票據／非上市可交易股份交易統計

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2007	第4季	—	—
	第1季	—	—
2008	第2季	—	—
	第3季	—	—
	第4季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2007	第4季	7	2.19
	第1季	7	0.41
2008	第2季	7	0.57
	第3季	7	0.38
	第4季	7	0.17

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2007	第4季	2	0.02
	第1季	2	0.00
2008	第2季	2	0.00
	第3季	2	0.00
	第4季	2	0.00

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2007	第4季	56,876.01	33,142.09	705,030
2008	第1季	60,007.62	21,381.98	530,401
	第2季	53,824.67	17,443.28	476,011
	第3季	27,661.67	9,188.57	240,766
	第4季	19,999.04	4,070.24	147,424

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2007	第4季	62	534.55	47,008
2008	第1季	60	356.37	40,313
	第2季	61	285.96	36,645
	第3季	62	148.20	38,164
	第4季	62	65.65	27,609

20種升幅最大之創業板股份

2008年第4季

順次	股份代號	股份	收市價		升幅
			2008年12月底	2008年9月底	
1	08150	東光集團	0.610	0.220	177.27
2	08189	泰達生物	0.225	0.140	60.71
3	08131	辰罡科技	0.160	0.103	55.34
4	08089	中國鐵路貨運	0.690	0.450	53.33
5	08178	中國信息科技	0.120	0.086	39.53
6	08285	研祥智能	1.350	0.980	37.76
7	08055	中國網絡教育	0.500	0.380	31.58
8	08317	財華社集團	0.125	0.100	25.00
9	08123	首華金融	0.220	0.187	17.65
10	08151	億勝生物科技	0.220	0.190	15.79
11	08165	華普智通	0.250	0.227	10.13
12	08009	菱控有限公司	0.180	0.165	9.09
13	08159	輝煌科技	0.194	0.180	7.78
14	08231	復旦張江	0.280	0.260	7.69
15	08017	百齡國際	0.035	0.033	6.06
16	08266	流動電訊	0.095	0.090	5.56
17	08022	雅高企業	0.520	0.500	4.00
18	08158	邦盟匯駿	0.140	0.136	2.94
19	08199	威高股份	11.760	11.500	2.26
20	08006	中華網	5.330	5.220	2.11

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2008年第4季

順次	股份代號	股份	收市價		升幅
			2008年12月底	2008年9月底	
1	08130	采藝多媒體	0.056	0.650	-91.38
2	08173	智庫媒體	0.105	0.660	-84.09
3	08172	GOLIFE CONCEPTS	0.037	0.197	-81.22
4	08233	中國基建港口	0.112	0.445	-74.83
5	08202	匯創控股	0.063	0.229	-72.49
6	08088	高誠資本	0.180	0.630	-71.43
7	08273	浙江展望	0.400	1.400	-71.43
8	08149	TSC海洋集團	0.560	1.840	-69.57
9	08153	中國3C集團	0.120	0.345	-65.22
10	08198	新濠環彩	0.280	0.800	-65.00
11	08108	名家國際	0.094	0.245	-61.63
12	08071	中國金屬資源	0.058	0.148 A	-60.81
13	08082	訊通控股	0.051	0.130	-60.77
14	08340	域高金融	0.110	0.280	-60.71
15	08032	大中華科技	0.024	0.060	-60.00
16	08279	亞博科技控股	0.100	0.249	-59.84
17	08249	屹東電子	0.045	0.110	-59.09
18	08290	天津天聯公用	0.410	1.000	-59.00
19	08301	明華科技	0.070	0.170	-58.82
20	08085	中民安園	0.200	0.480	-58.33

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2008年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08277	物美商業	659.79	16.21
2	08199	威高股份	562.96	13.83
3	08173	智庫媒體	274.87	6.75
4	08180	金衛醫療科技	259.52	6.38
5	08167	天下媒體	199.26	4.90
6	08089	中國鐵路貨運	168.52	4.14
7	08250	確思醫藥	158.75	3.90
8	08299	大唐潼金	130.99	3.22
9	08069	同仁堂科技	90.05	2.21
10	08259	安德利果汁	76.83	1.89
11	08002	鳳凰衛視	66.04	1.62
12	08055	中國網絡教育	65.90	1.62
13	08046	老虎科技	62.54	1.54
14	08150	東光集團	56.76	1.39
15	08178	中國信息科技	56.53	1.39
16	08071	中國金屬資源	54.67	1.34
17	08053	A & K 教育	52.32	1.29
18	08285	研祥智能	50.97	1.25
19	08272	百富國際	44.53	1.09
20	08066	鎔聯科技	39.02	0.96
總額			3,130.81	76.92

創業板 股份

20種成交最活躍創業板股份(股數)

2008年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08299	大唐潼金	4,082.46	20.41
2	08250	確思醫藥	1,924.56	9.62
3	08167	天下媒體	1,295.84	6.48
4	08173	智庫媒體	1,037.98	5.19
5	08017	百齡國際	982.04	4.91
6	08041	大陶精密科技	966.33	4.83
7	08071	中國金屬資源	743.09	3.72
8	08178	中國信息科技	736.79	3.68
9	08220	帝通國際	579.62	2.90
10	08202	匯創控股	424.50	2.12
11	08182	環能國際	363.87	1.82
12	08172	GOLIFE CONCEPTS	356.40	1.78
13	08089	中國鐵路貨運	282.52	1.41
14	08259	安德利果汁	249.23	1.25
15	08161	華彩控股	248.15	1.24
16	08192	環球工程	238.67	1.19
17	08123	首華金融	230.61	1.15
18	08128	中國地能	223.98	1.12
19	08046	老虎科技	216.75	1.08
20	08180	金衛醫療科技	210.11	1.05
總數			15,393.50	76.97

創業板 股份

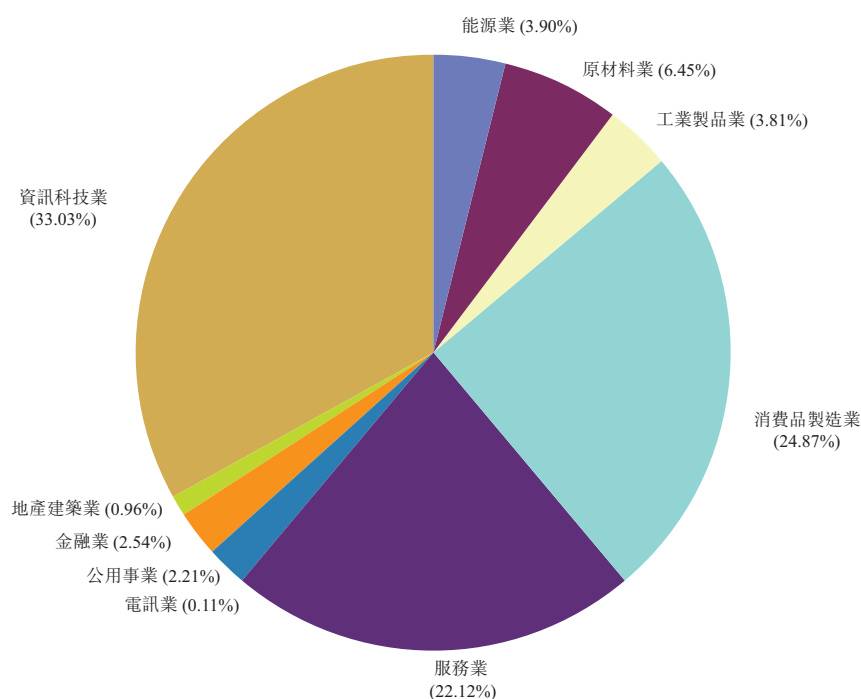
創業板公司市價總值

截至季末

		百萬港元
2007	第4季	161,080.97
2008	第1季	112,660.54
	第2季	109,043.78
	第3季	67,153.80
	第4季	45,164.18

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2008年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08199	山東威高集團醫用高分子製品股份有限公司 - H股	4,085.42	9.05
2	08277	北京物美商業集團股份有限公司 - H股	2,917.83	6.46
3	08167	天下媒體集團有限公司	2,362.50	5.23
4	08137	洪橋集團有限公司	1,740.49	3.85
5	08156	眾彩科技股份有限公司	1,676.22	3.71
6	08180	金衛醫療科技有限公司	1,614.49	3.57
7	08052	利亞零售有限公司	1,379.54	3.05
8	08008	新意網集團有限公司	1,015.74	2.25
9	08161	華彩控股有限公司	925.27	2.05
10	08192	環球工程有限公司	918.72	2.03
11	08118	國際娛樂有限公司	896.16	1.98
12	08212	問博控股有限公司	853.3	1.89
13	08070	中裕燃氣控股有限公司	794.44	1.76
14	08178	中國信息科技發展有限公司	779.39	1.73
15	08272	百富國際有限公司	760.76	1.68
16	08029	太陽國際集團有限公司	624.08	1.38
17	08206	神州奧美網絡(國際)有限公司	617.76	1.37
18	08055	中國網絡教育集團有限公司	606.91	1.34
19	08239	明基能源控股有限公司	594	1.32
20	08006	中華網科技公司	570.68	1.26
21	08123	首華金融控股有限公司	569.9	1.26
22	08069	北京同仁堂科技發展股份有限公司 - H股	550.12	1.22
23	08259	烟台北方安德利果汁股份有限公司 - H股	528.05	1.17
24	08250	確思醫藥投資控股有限公司	487.2	1.08
25	08285	研祥智能科技股份有限公司 - H股	416.28	0.92
26	08117	中國基礎資源控股有限公司	409.87	0.91
27	08230	深圳市東江環保股份有限公司 - H股	405.61	0.9
28	08089	中國鐵路貨運有限公司	370.75	0.82
29	08058	山東羅欣藥業股份有限公司 - H股	365.32	0.81
30	08279	亞博科技控股有限公司	358.01	0.79
31	08046	老虎科技(控股)有限公司	352.97	0.78
32	08005	裕興科技控股有限公司	342.05	0.76
33	08066	鎔聯科技集團有限公司	338.99	0.75
34	08158	邦盟匯駿國際有限公司	319.32	0.71
35	08149	TSC海洋集團有限公司	312.99	0.69
36	08319	友成控股有限公司	304	0.67
37	08203	挑戰者集團控股有限公司	296.45	0.66
38	08096	博軟(控股)有限公司	285.72	0.63
39	08128	中國地能有限公司	282.66	0.63
40	08011	百田石油國際集團有限公司	264.99	0.59
41	08163	中建資源集團有限公司	245.36	0.54
42	08085	中民安園控股有限公司	240.76	0.53
43	08299	大唐滄金控股有限公司	235.78	0.52
44	08053	A & K教育軟件控股有限公司	229.81	0.51
45	08313	精英國際有限公司	227.09	0.5
46	08165	華普智通系統有限公司	223.75	0.5
47	08287	南京三寶科技股份有限公司 - H股	220.32	0.49
48	08173	智庫媒體集團(控股)有限公司	214.89	0.48
49	08041	大陶精密科技集團有限公司	214.6	0.48
50	08091	環球實業科技控股有限公司	210.55	0.47

總額	35,557.84	78.73
市場總值	45,164.18	100

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2007	第4季	2	1,993.55
2008	第1季	—	—
	第2季	2	216.84
	第3季	—	—
	第4季	—	—

創業板新上市公司

2008年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
NIL				
總額				—

除牌上市公司－創業板

2008年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
08201	中國消防企業集團控股有限公司*	2008/10/03	2008/10/06 §
08067	長春達興藥業股份有限公司 - H股	2005/07/27	2008/10/15
08110	第一電訊集團有限公司*	2008/11/05	2008/11/06 §
08293	錦恒汽車安全技術控股有限公司*	2008/11/11	2008/11/12 §
08002	鳳凰衛視控股有限公司*	2008/12/04	2008/12/05 §
08139	昌興國際控股(香港)有限公司*	2008/12/17	2008/12/18 §
08216	中軟國際有限公司*	2008/12/24	2008/12/29 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2008年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08163	易貿通集團有限公司	中建資源集團有限公司	2008/09/22	2008/10/17
08236	深圳市寶德科技股份有限公司 - H股	深圳寶德科技集團股份有限公司 - H股	2008/08/05	2008/10/23
08055	乾坤燭國際控股有限公司	中國網絡教育集團有限公司	2008/09/01	2008/10/28
08306	綠陽國際控股有限公司	中國有色金屬有限公司	2008/09/18	2008/10/28
08045	江蘇南大蘇富特軟件股份有限公司 - H股	江蘇南大蘇富特科技股份有限公司 - H股	2008/09/22	2008/11/07
08175	神農中國(集團)有限公司	中國數碼版權(集團)有限公司	2008/09/22	2008/11/17
08148	基仕達國際發展集團有限公司	奧栢中國集團有限公司	2008/10/17	2008/11/24
08171	思拓通訊科技控股有限公司	中國趨勢控股有限公司	2008/10/31	2008/11/26

創業板 股份

創業板紅股／紅利認股權證

2008年第4季

股份代號	公司	詳情	除淨日
NIL			

創業板股份拆細及合併

2008年第4季

股份代號	公司	詳情	生效日期
08071	中國金屬資源控股有限公司	2股合併為1股	2008/10/21

創業板供股／公開售股

2008年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
NIL				
總額				—

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2008					
10月	08015	乾隆科技	42,100,000	0.420	17.68
	08256	金利通	18,520,000	0.054	1.00
11月	08119	即時科研	217,122,875	0.100	21.71
	08256	金利通	18,520,000	0.054	1.00
12月	08299	大唐滄金	237,500,000	0.072	17.10
	08299	大唐滄金	712,500,000	0.018	12.83
	08172	GOLIFE CONCEPTS	53,000,000	0.075	3.98
	08189	泰達生物	374,000,000	0.115	43.01
總額					118.30

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2007	第4季	3	1.06	71.23
2008	第1季	4	1.89	70.34
	第2季	4	0.76	47.28
	第3季	4	2.19	71.81
	第4季	3	0.06	10.34

創業板新上市股份認股權證

2008年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					—
總額					—

股票市場指數

香港股市指數

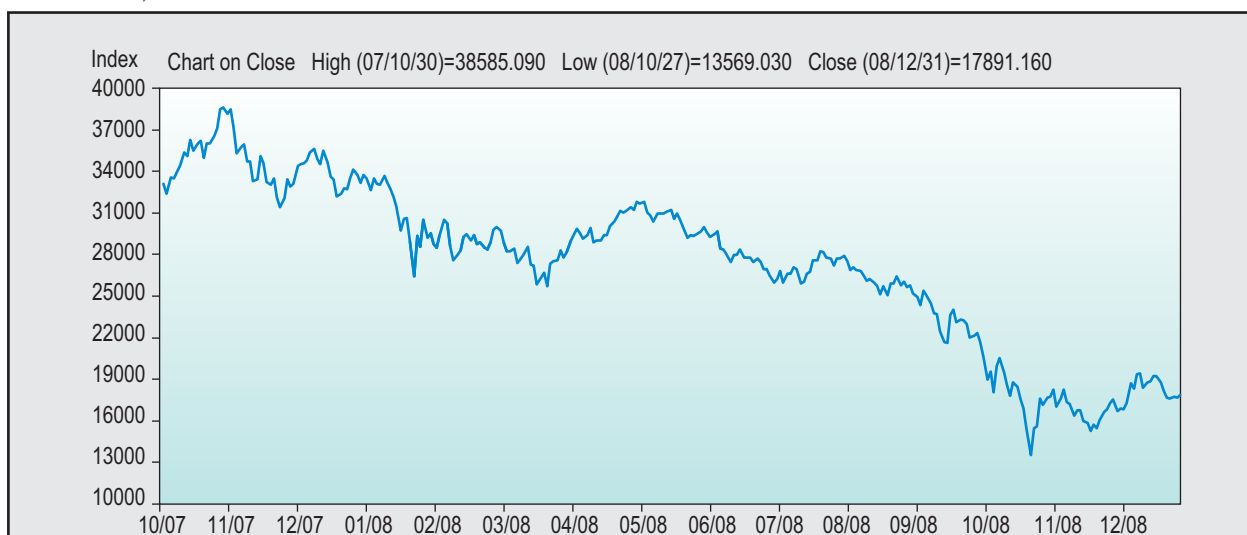
指數	2008年12月底 收市	2008年9月底 收市	升跌
標準普爾／香港交易所大型股指數	17891.16	22176.53	– 19.32%
標準普爾／香港交易所創業板指數	385.47	551.92	– 30.16%
恒生指數	14387.48	18016.21	– 20.14%
分類指數			
金融	21793.45	29836.87	– 26.96%
公用事業	33841.36	41874.28	– 19.18%
地產	16974.14	19117.15	– 11.21%
工商業	7894.24	9194.59	– 14.14%
恒生綜合指數	1982.56	2405.85	– 17.59%
恒生香港中資企業指數	3292.40	3470.00	– 5.12%
恒生中國企業指數	7891.80	9070.31	– 12.99%

股票市場指數

恒生指數(2007/10/02 – 2008/12/31)



標準普爾／香港交易所大型股指數(2007/10/02 – 2008/12/31)



標準普爾／香港交易所創業板指數(2007/10/02 – 2008/12/31)



股票市場指數

世界指數

指數	2008年12月底 收市	2008年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	17891.16	22176.53	-19.32%
恒生指數	14387.48	18016.21	-20.14%
澳洲			
普通股指數	3659.30	4631.30	-20.99%
雅加達			
綜合指數	1355.41	1832.51	-26.04%
日本			
日經指數 (225)	8859.56	11259.86	-21.32%
韓國			
綜合指數	1124.47	1448.06	-22.35%
吉隆坡			
綜合指數	876.75	1018.68	-13.93%
馬尼拉			
綜合指數	1872.85	2569.65	-27.12%
紐西蘭			
證交所指數 (50)	2715.71	3090.22	-12.12%
上海			
A 股指數	1911.79	2408.88	-20.64%
B 股指數	110.92	132.76	-16.45%
深圳			
A 股指數	581.51	644.95	- 9.84%
B 股指數	271.28	311.30	-12.86%
新加坡			
FTSE 海峽時報指數	1761.56	2358.91	-25.32%
台灣			
台北加權股份指數	4591.22	5719.28	-19.72%
泰國			
證交所指數	449.96	596.54	-24.57%
紐約			
杜瓊斯工業平均指數	8776.39	10850.66	-19.12%
Nasdaq 綜合指數	1577.03	2091.88	-24.61%
多倫多			
S&P/TSX 綜合指數	8987.70	11752.90	-23.53%
德國			
法蘭克福指數	3041.60	3687.09	-17.51%
倫敦			
富時指數 (100)	4434.20	4902.50	- 9.55%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2008年第4季	2007年第4季
恒生指數期貨	5,710,013	4,885,815
恒生指數期權	944,013	1,323,867
小型恒生指數期貨	2,406,984	1,804,459
小型恒生指數期權	49,079	14,027
H股指數期貨	4,132,247	3,453,257
H股指數期權	510,938	331,990
小型H股指數期貨 ¹	163,385	—
H股金融指數期貨 ²	—	5
新華富時中國25指數期貨 ³	4	183
新華富時中國25指數期權 ⁴	—	1
股票期貨	91,683	66,550
股票期權	12,369,694	14,998,891
三個月港元利率期貨	4,963	7,726
一個月港元利率期貨	123	469
三年期外匯基金債券期貨	—	150
黃金期貨 ⁵	3,075	—
總數	26,386,201	26,887,390

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2007年4月16日開始買賣

H股金融指數期貨由2008年12月24日停止買賣

³ 新華富時中國25指數期貨由2008年12月31日停止買賣

⁴ 新華富時中國25指數期權由2008年12月24日停止買賣

⁵ 黃金期貨由2008年10月20日開始買賣

季末未平倉合約

衍生產品	合約張數	
	2008年第4季	2007年第4季
恒生指數期貨	73,034	111,513
恒生指數期權	75,829	174,368
小型恒生指數期貨	2,945	3,457
小型恒生指數期權	1,796	443
H股指數期貨	96,120	91,786
H股指數期權	59,592	76,326
小型H股指數期貨 ¹	345	—
H股金融指數期貨 ²	—	—
新華富時中國25指數期貨 ³	—	—
新華富時中國25指數期權 ⁴	—	1
股票期貨	9,449	5,954
股票期權	3,984,346	5,314,918
三個月港元利率期貨	2,435	1,992
一個月港元利率期貨	91	140
三年期外匯基金債券期貨	—	—
黃金期貨 ⁵	132	—
總數	4,306,114	5,780,898

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2007年4月16日開始買賣

H股金融指數期貨由2008年12月24日停止買賣

³ 新華富時中國25指數期貨由2008年12月31日停止買賣

⁴ 新華富時中國25指數期權由2008年12月24日停止買賣

⁵ 黃金期貨由2008年10月20日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第四季	4,885,815	111,513
2008年	第一季	5,336,298	107,570
	第二季	4,759,425	101,711
	第三季	5,910,772	97,135
	第四季	5,710,013	73,034

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第四季	1,804,459	3,457
2008年	第一季	1,964,929	2,260
	第二季	1,577,435	3,215
	第三季	2,011,680	4,456
	第四季	2,406,984	2,945

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第四季	1,323,867	174,368
2008年	第一季	896,781	115,920
	第二季	840,360	91,240
	第三季	1,139,643	99,068
	第四季	944,013	75,829

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2007年	第四季	3,453,257	91,786
2008年	第一季	3,634,747	114,938
	第二季	3,064,529	108,981
	第三季	3,609,442	87,386
	第四季	4,132,247	96,120

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2007年	第四季	54,920.05	14,998,891	5,314,918
2008年	第一季	45,158.26	15,838,824	5,652,274
	第二季	26,994.75	12,837,557	4,775,250
	第三季	26,991.11	13,646,790	4,675,934
	第四季	28,706.16	12,369,694	3,984,346

衍生產品市場 期貨及期權

各期權類別之期權金總額

2008年第4季

期權類別		期權金額(百萬港元)	佔市場百分比
中國鋁業	ALC	28.91	0.10%
中國銀行	BCL	381.59	1.33%
交通銀行	BCM	368.73	1.28%
東亞銀行	BEA	61.94	0.22%
中銀香港	BOC	162.83	0.57%
建設銀行	CCB	691.11	2.41%
中國交通建設	CCC	110.43	0.38%
中國中煤能源	CCE	21.45	0.07%
中國移動	CHT	3,798.62	13.23%
中國聯通	CHU	131.91	0.46%
中信泰富	CIT	15.39	0.05%
長江實業	CKH	1,581.39	5.51%
長江基建集團	CKI	8.89	0.03%
中國人壽	CLI	2,858.32	9.96%
中電控股	CLP	219.59	0.76%
招商銀行	CMB	397.87	1.39%
中國海洋石油	CNC	599.42	2.09%
中國網通	CNM	1.20	0.00%
國泰航空	CPA	76.87	0.27%
中國石油化工股份	CPC	1,071.25	3.73%
中國鐵建	CRC	26.23	0.09%
中國中鐵	CRG	52.13	0.18%
中國神華	CSE	132.13	0.46%
中信銀行	CTB	17.55	0.06%
中國電信	CTC	67.31	0.23%
駿威汽車	DWM	0.62	0.00%
思捷環球	ESP	37.52	0.13%
富士康國際	FIH	2.23	0.01%
香港電燈	HEH	24.12	0.08%
香港交易所	HEX	873.65	3.04%
匯豐控股	HKB	9,253.27	32.23%
香港中華煤氣	HKG	37.43	0.13%
恒基地產	HLD	273.27	0.95%
華能國際電力股份	HNP	13.61	0.05%
恒生銀行	HSB	329.59	1.15%
和記黃埔	HWL	691.14	2.41%
工商銀行	ICB	382.46	1.33%
德昌電機控股	JSE	0.19	0.00%
江西銅業	JXC	19.23	0.07%
利豐	LIF	26.00	0.09%
地鐵公司	MTR	185.51	0.65%
新世界發展	NWD	82.29	0.29%
中國平安	PAI	923.56	3.22%
電訊盈科	PCC	0.40	0.00%
中國石油股份	PEC	1,220.51	4.25%
中國人民財產保險	PIC	32.54	0.11%
新鴻基地產	SHK	1,314.81	4.58%
太古股份公司A	SWA	51.66	0.18%
盈富基金	TRF	30.67	0.11%
九龍倉集團	WHL	16.81	0.06%
總額		28,706.16	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2008年第4季

期權類別		合約成交量	佔市場百份比
中國鋁業	ALC	27,612	0.22%
中國銀行	BCL	802,727	6.49%
交通銀行	BCM	288,218	2.33%
東亞銀行	BEA	127,602	1.03%
中銀香港	BOC	243,805	1.97%
建設銀行	CCB	895,672	7.24%
中國交通建設	CCC	104,512	0.84%
中國中煤能源	CCE	25,204	0.20%
中國移動	CHT	871,096	7.04%
中國聯通	CHU	49,681	0.40%
中信泰富	CIT	10,094	0.08%
長江實業	CKH	204,306	1.65%
長江基建集團	CKI	5,009	0.04%
中國人壽	CLI	979,639	7.92%
中電控股	CLP	115,490	0.93%
招商銀行	CMB	397,367	3.21%
中國海洋石油	CNC	421,250	3.41%
中國網通	CNM	631	0.01%
國泰航空	CPA	31,728	0.26%
中國石油化工股份	CPC	331,143	2.68%
中國鐵建	CRC	54,658	0.44%
中國中鐵	CRG	46,254	0.37%
中國神華	CSE	135,509	1.10%
中信銀行	CTB	31,009	0.25%
中國電信	CTC	94,610	0.76%
駿威汽車	DWM	964	0.01%
思捷環球	ESP	73,696	0.60%
富士康國際	FIH	5,496	0.04%
香港電燈	HEH	29,264	0.24%
香港交易所	HEX	1,073,225	8.68%
匯豐控股	HKB	2,252,419	18.21%
香港中華煤氣	HKG	33,218	0.27%
恒基地產	HLD	16,607	0.13%
華能國際電力股份	HNP	10,726	0.09%
恒生銀行	HSB	421,361	3.41%
和記黃埔	HWL	160,661	1.30%
工商銀行	ICB	802,581	6.49%
德昌電機控股	JSE	757	0.01%
江西銅業	JXC	22,069	0.18%
利豐	LIF	7,636	0.06%
地鐵公司	MTR	143,467	1.16%
新世界發展	NWD	11,762	0.10%
中國平安	PAI	228,664	1.85%
電訊盈科	PCC	2,631	0.02%
中國石油股份	PEC	507,765	4.10%
中國人民財產保險	PIC	15,414	0.12%
新鴻基地產	SHK	179,072	1.45%
太古股份公司A	SWA	16,190	0.13%
盈富基金	TRF	51,149	0.41%
九龍倉集團	WHL	8,074	0.07%
總數		12,369,694	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2007 第4季	84,422	74.95	326.52
2008 第1季	70,212	61.47	240.17
第2季	62,653	50.94	206.87
第3季	58,573	46.36	179.64
第4季	62,582	41.46	146.59

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者 交收指示的數量	股數 (百萬股)	數值 (百萬港元)
2007 第4季	1,054	615.59	515.86
2008 第1季	624	92.86	211.10
第2季	500	89.07	231.16
第3季	477	394.14	231.78
第4季	669	143.37	206.22

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2007 第4季	3,570.43	74.95	10,438.86	47.02
2008 第1季	4,089.67	77.13	8,061.37	44.86
第2季	3,980.22	75.61	7,704.09	44.60
第3季	3,722.74	74.53	5,905.59	44.18
第4季	3,408.30	72.57	5,040.50	44.62

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月	買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2007 第 4 季	780,804	100	147,873.77	100	134,512.38	100
2008 第 1 季	644,083	100	155,030.88	100	98,707.00	100
第 2 季	540,113	100	116,803.79	100	76,079.45	100
第 3 季	503,213	100	101,147.17	100	63,555.81	100
第 4 季	622,593	100	71,069.05	100	50,791.52	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月	以「持續淨額 交收」的股份 數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2007 第 4 季	82,533	94.47	39,009.98	85.87
2008 第 1 季	64,952	94.71	31,361.71	89.64
第 2 季	56,171	94.58	23,868.92	89.60
第 3 季	46,111	95.13	18,735.28	90.44
第 4 季	47,076	95.96	18,463.43	86.35

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2007	第 4 季	99.72	99.97
2008	第 1 季	99.84	99.98
	第 2 季	99.84	99.99
	第 3 季	99.74	99.98
	第 4 季	99.81	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2008年第4季	2007年第3季
恒生指數期貨	73,034	111,513
恒生指數期權	75,829	174,368
小型恒生指數期貨	2,945	3,457
小型恒生指數期權	1,796	443
H股指數期貨	96,120	91,786
H股指數期權	59,592	76,326
小型H股指數期貨 ¹	345	—
H股金融指數期貨 ²	—	—
新華富時中國25指數期貨 ³	—	—
新華富時中國25指數期權 ⁴	—	1
股票期貨	9,449	5,954
股票期權	3,984,346	5,314,918
三個月港元利率期貨	2,435	1,992
一個月港元利率期貨	91	140
三年期外匯基金債券期貨	—	—
黃金期貨 ⁵	132	—
總數	4,306,114	5,780,898

¹ 小型H股指數期貨由2008年3月31日開始買賣

² H股金融指數期貨由2007年4月16日開始買賣

H股金融指數期貨由2008年12月24日停止買賣

³ 新華富時中國25指數期貨由2008年12月31日停止買賣

⁴ 新華富時中國25指數期權由2008年12月24日停止買賣

⁵ 黃金期貨由2008年10月20日開始買賣

結算及交收

各期權類別之未平倉合約

2008年第4季末

期權類別		未平倉合約	佔市場百份比
中國鋁業	ALC	8,241	0.21
中國銀行	BCL	363,999	9.14
交通銀行	BCM	94,699	2.38
東亞銀行	BEA	30,456	0.76
中銀香港	BOC	73,655	1.85
國泰航空	CPA	10,074	0.25
長江實業	CKH	63,099	1.58
長江基建集團	CKI	1,422	0.04
中信銀行	CTB	21,824	0.55
中煤能源	CCE	9,652	0.24
中國交通建設	CCC	79,839	2.00
建設銀行	CCB	325,561	8.17
中國人壽	CLI	233,493	5.86
招商銀行	CMB	95,637	2.40
中國移動	CHT	271,948	6.83
中國網通	CNM	0	0.00
中國石油化工股份	CPC	164,339	4.12
中國鐵建	CRC	15,146	0.38
中國中鐵	CRG	10,321	0.26
中國神華	CSE	48,311	1.21
中國電信	CTC	95,518	2.40
中國聯通	CHU	11,937	0.30
中信泰富	CIT	3,215	0.08
中電控股	CLP	27,658	0.69
中國海洋石油	CNC	195,486	4.91
駿威汽車	DWM	323	0.01
思捷環球	ESP	25,241	0.63
富士康國際	FIH	6,313	0.16
恒生銀行	HSB	119,109	2.99
恒基地產	HLD	2,893	0.07
香港電燈	HEH	4,491	0.11
香港中華煤氣	HKG	17,136	0.43
香港交易所	HEX	201,611	5.06
匯豐控股	HKB	457,724	11.49
華能國際電力股份	HNP	6,443	0.16
和記黃埔	HWL	63,774	1.60
工商銀行	ICB	375,565	9.43
江西銅業股份	JXC	7,034	0.18
德昌電機控股	JSE	555	0.01
利豐	LIF	1,847	0.05
地鐵公司	MTR	25,090	0.63
新世界發展	NWD	4,271	0.11
電訊盈科	PCC	3,217	0.08
中國石油股份	PEC	225,584	5.66
中國財險	PIC	8,264	0.21
中國平安	PAI	103,390	2.59
新鴻基地產	SHK	52,876	1.33
太古股份公司A	SWA	6,134	0.15
盈富基金	TRF	5,928	0.15
九龍倉集團	WHL	4,003	0.10
總數		3,984,346	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2007	第4季	518 (921)	439 (835)	39 (46)	40 (40)
2008	第1季	519 (926)	445 (845)	36 (43)	38 (38)
	第2季	519 (929)	448 (852)	35 (41)	36 (36)
	第3季	519 (927)	447 (849)	36 (42)	36 (36)
	第4季	522 (931)	449 (854)	38 (42)	35 (35)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2007	第4季	194 (224)	140 (168)	—	54 (56)
2008	第1季	196 (224)	143 (168)	—	53 (55)
	第2季	200 (227)	147 (172)	—	53 (55)
	第3季	200 (226)	147 (171)	—	53 (55)
	第4季	200 (226)	148 (172)	—	52 (54)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者統計

期貨結算所參與者

年／月		結算參與者	一般結算參與者
2007	第4季	128	7
2008	第1季	132	7
	第2季	134	7
	第3季	134	7
	第4季	133	7

期交所期權結算所參與者

年／月		直接結算參與者	一般結算參與者
2007	第4季	48	4
2008	第1季	48	4
	第2季	49	4
	第3季	49	5
	第4季	49	5

中央結算所參與者

年／月		直接結算 參與者 ^(註1)	一般結算 參與者 ^(註1)	經紀 參與者 ^(註1)	非經紀 參與者 ^(註2)
2007	第4季*			445	46
	第4季**	442	2		46
2008	第1季	447	3		45
	第2季	450	3		44
	第3季	449	4		43
	第4季*	452	5		41

註1：自第三者結算於2007年12月3日推出起，直接結算參與者及一般結算參與者已取代經紀參與者。

註2：非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

* 至2007年12月2日

** 由2007年12月3日起至2007年12月31日

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示