



證券及衍生產品市場 季報

2010年第四季

市場概況

主板

概況	2010年第4季	2009年第4季
上市公司數目	1,244	1,145
上市證券數目	7,730	6,441
股份		
普通股	1,245	1,146
優先股	3	2
認股權證	5,170	3,392
股份	22	25
衍生	5,148	3,367
股票掛鈎票據	0	0
牛熊證	1,064	1692
單位信託及互惠基金	79	52
債券	169	157
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	64	63
總成交金額(百萬港元)*	5,494,945.12	4,095,113.21
總成交股數(百萬股)	10,838,200.62	6,714,154.48
總成交宗數(宗數)	56,912,394	46,684,617
上市公司市價總值(百萬港元)	20,942,284.39	17,769,271.41
平均市盈率(倍)	16.67	18.13
平均週息率(百分率)	2.31	2.33
交易所參與者數目		
開業	485	463
非開業	31	32
成交金額(百萬港元)		
最高	138,533.28 (14/10)	107,508.94 (27/11)
最低	40,795.37 (29/12)	34,417.84 (29/12)
成交股數(百萬股)		
最高	252,604.52 (12/11)	154,508.50 (10/12)
最低	99,145.84 (23/12)	62,697.62 (29/12)
總成交宗數(宗數)		
最高	1,326,249 (14/10)	1,178,036 (27/11)
最低	524,860 (29/12)	463,699 (29/12)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2010年第4季	2009年第4季
上市公司數目	169	174
上市證券數目	170	175
股份	169	174
認股權證	1	1
交易日數	64	63
總成交金額(百萬港元)*	38,541.26	25,613.06
總成交股數(百萬股)	120,907.91	72,474.88
總成交宗數(宗數)	969,904	669,412
上市公司市價總值(百萬港元)	134,674.08	105,036.43
平均市盈率(倍)	31.10	38.98
平均週息率(百分率)	0.95	0.77
成交金額(百萬港元)		
最高	1,656.05 (27/10)	878.82 (18/12)
最低	300.89 (29/12)	213.54 (29/12)
成交股數(百萬股)		
最高	3,868.03 (27/10)	2,027.20 (27/10)
最低	1,044.09 (22/11)	511.02 (06/10)
總成交宗數(宗數)		
最高	27,698 (10/11)	22,796 (12/10)
最低	9,102 (29/12)	5,393 (05/10)

*由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2010年第4季	2009年第4季
所有期貨及期權產品(股票期權除外)		
交易日數	63.0	62.0
總合約成交量	15,532,359	12,050,007
股市指數產品	15,408,983	11,994,188
股票產品	121,049	53,070
利率產品	355	246
黃金期貨	1,972	2,503
平均每日合約成交量	246,545	194,355
季末未平倉合約		
股市指數產品	493,321	451,269
股票產品	11,514	4,407
利率產品	267	103
黃金期貨	85	119
股票期權		
新開辦期權類別	3	3
股票期權數(於季末數目)		
類別	57	51
系列	11,510	6,806
期權金總額(百萬港元)	18,050.22	14,852.98
認購	8,389.25	6,346.25
認沽	9,660.97	8,506.73
平均每日期權金總額(百萬港元)	286.51	239.56
總合約成交量	20,445,628	11,611,195
認購	9,687,649	4,884,638
認沽	10,757,979	6,726,557
平均每日合約成交量	324,534	187,277
季末未平倉合約	5,381,215	3,848,117
認購	1,969,501	1,370,733
認沽	3,411,714	2,477,384
總成交宗數	717,466	386,017
平均每日成交宗數	11,388	6,226
已行使合約總數	2,046,257	1,360,823
每張合約平均期權金(港元)	883	1,279
每宗交易平均合約數目	28	30
認沽數量／認購數量	1.11	1.38
平均每日合約成交量／未平倉合約	6.0%	4.9%
所有期貨及期權產品		
總合約成交量	35,977,987	23,661,202
季末未平倉合約	5,886,402	4,304,015

市場概況

結算及交收

中央結算系統營運資料	2010 年第 4 季	2009 年第 4 季
經中央結算系統處理之聯交所交易(每日平均數)		
— 交易宗數	904,380	751,643
— 交易金額(十億港元)	86.5	65.4
— 涉及股數(十億股)	171.2	107.7
經中央結算系統處理的交收指示(每日平均數)		
— 交收指示數目	92,915	76,664
— 交收指示涉及金額(十億港元)	234.8	176.7
— 涉及股數(十億股)	54.8	41.7
經中央結算系統處理的投資者交收指示(每日平均數)		
— 交收指示數目	715	629
— 交收指示涉及金額(百萬港元)	480.3	527.2
— 涉及股數(百萬股)	120.3	118.8
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.86	99.86
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在 T+3 日進行補購(每日平均數)		
— 涉及經紀數目	10	8
— 補購宗數	11	9
— 補購涉及金額(百萬港元)	4.3	3.6
存放於中央結算系統證券存管處的股份		
— 股數(十億股)	3,834.1	3,286.6
— 獲納入系統的證券佔已發行股本總數的百分比	71.80	70.93
— 股份價值(十億港元)	11,294.5	9,245.1
— 獲納入系統的證券佔市價總值的百分比	49.57	49.19
衍生產品結算及交收系統營運資料		
季末未平倉合約		
— 股市指數期貨	195,184	147,968
— 股票期貨	11,514	4,407
— 黃金期貨	85	119
— 利率期貨	267	103
— 股市指數期權	298,137	303,301
— 股票期權	5,381,215	3,848,117
累積行使股票期權		
— 涉及股數(百萬股)	2,025	1,203
— 涉及金額(十億港元)	38	23

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2009	第 4 季	893,162.02	3,100,334.47	40,162,798
2010	第 1 季	828,347.87	2,922,136.26	39,132,197
	第 2 季	817,338.52	2,748,750.08	37,828,922
	第 3 季	794,114.98	2,759,690.18	35,997,455
	第 4 季	1,011,031.65	3,847,088.03	46,784,952

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2009	第 4 季	63	49,211.66	77,194
2010	第 1 季	61	47,903.87	74,673
	第 2 季	60	45,812.50	72,663
	第 3 季	64	43,120.16	76,663
	第 4 季	64	60,110.75	82,229

主 板 股 份

20種升幅最大之股份

2010年第4季

順次	股份代號	股份	收市價		升幅
			2010年12月底	2010年9月底	
1	00377	新洲印刷集團	1.150	0.221 A	420.36
2	00269	中國木業	0.345	0.107	222.43
3	01010	弘茂科技	1.320	0.430	206.98
4	00395	中國龍新能源	1.100	0.380	189.47
5	00812	敦沛金融	0.850	0.300	183.33
6	00833	華訊	1.940	0.690	181.16
7	00559	華藝礦業	0.385	0.144	167.36
8	00905	MASTERMIND CAP	0.245	0.100	145.00
9	00449	志高控股	1.000	0.414 A	141.55
10	02362	澳門投資控股	2.860	1.240	130.65
11	00510	時富金融服務集團	0.520	0.230 A	126.09
12	00467	聯合能源集團	1.550	0.690	124.64
13	00647	JOYCE BOUTIQUE	0.880	0.405	117.28
14	00162	中國金展	0.700	0.325	115.38
15	01226	嘉禹國際	1.220	0.580	110.34
16	00444	SINCEREWATCH HK	2.040	0.970	110.31
17	01149	中國安芯	2.500	1.190	110.08
18	01003	21控股	0.530	0.258 A	105.43
19	00758	JUNEFIELD GROUP	0.820	0.400	105.00
20	02228	海東青新材料	7.460	3.670	103.27

A 經調整

20種跌幅最大之股份

2010年第4季

順次	股份代號	股份	收市價		跌幅
			2010年12月底	2010年9月底	
1	00885	福方集團	0.037	0.136	-72.79
2	00736	中國置業投資	0.610	2.050 A	-70.24
3	00767	PACIFIC PLYWOOD	0.060	0.192	-68.75
4	00986	NAM HING	0.095	0.300	-68.33
5	00982	卓智控股	0.217	0.450	-51.78
6	01222	WANG ON GROUP	0.097	0.201	-51.74
7	00499	華脈無線通信	2.770	5.650	-50.97
8	00897	位元堂	0.162	0.320	-49.38
9	00372	德祥企業	0.370	0.680	-45.59
10	00030	佳訊控股	0.710	1.300	-45.38
11	00198	星美國際	0.385	0.690	-44.20
12	00872	錦恒汽車安全	1.020	1.800	-43.33
13	00692	寶源控股	0.046	0.081 A	-43.21
14	00724	泰豐國際	0.240	0.420	-42.86
15	00851	盛源控股	0.940	1.590	-40.88
16	00764	永恒策略	0.187	0.310	-39.68
17	01195	京維集團	0.340	0.560	-39.29
18	01188	正道集團	0.178	0.290	-38.62
19	00612	中國投資基金公司	0.078	0.123	-36.59
20	00380	中國管業	0.049	0.077	-36.36

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2010年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	01299	友邦保險	139,342.54	3.62
2	01398	工商銀行	136,498.86	3.55
3	00005	匯豐控股	130,237.33	3.39
4	00939	建設銀行	122,022.14	3.17
5	02628	中國人壽	119,744.50	3.11
6	00941	中國移動	118,878.26	3.09
7	03988	中國銀行	97,328.38	2.53
8	00388	香港交易所	93,875.39	2.44
9	00013	和記黃埔	79,814.74	2.07
10	00883	中國海洋石油	69,405.16	1.80
11	00857	中國石油股份	64,155.04	1.67
12	02318	中國平安	60,192.49	1.56
13	01288	農業銀行	57,908.46	1.51
14	00700	騰訊控股	49,473.35	1.29
15	00016	新鴻基地產	47,364.00	1.23
16	00386	中國石油化工股份	44,571.34	1.16
17	01088	中國神華	43,084.54	1.12
18	00001	長江實業	39,300.20	1.02
19	02388	中銀香港	34,346.42	0.89
20	00358	江西銅業股份	32,089.83	0.83
總額			1,579,632.97	41.06

20種成交最活躍股份(股數)

2010年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	01166	蒙古礦業	79,864.64	7.90
2	00985	中科礦業	28,500.31	2.82
3	01398	工商銀行	22,137.40	2.19
4	03988	中國銀行	22,128.61	2.19
5	00459	美聯工商舖	18,331.62	1.81
6	00274	高寶綠色	16,796.51	1.66
7	00939	建設銀行	16,761.80	1.66
8	01163	德金資源	15,350.09	1.52
9	01041	福邦控股	14,806.74	1.46
10	00566	鉑陽太陽能	14,132.17	1.40
11	01288	農業銀行	14,066.61	1.39
12	00091	駿新能源	12,871.33	1.27
13	00689	長盈集團(控股)	10,719.08	1.06
14	00269	中國木業	10,029.02	0.99
15	00261	中建科技國際	9,780.88	0.97
16	00663	金山能源	8,992.13	0.89
17	00493	國美電器	8,504.59	0.84
18	00402	蒙古投資	7,471.04	0.74
19	00885	福方集團	7,173.82	0.71
20	00488	麗新發展	6,806.69	0.67
總數			345,225.07	34.15

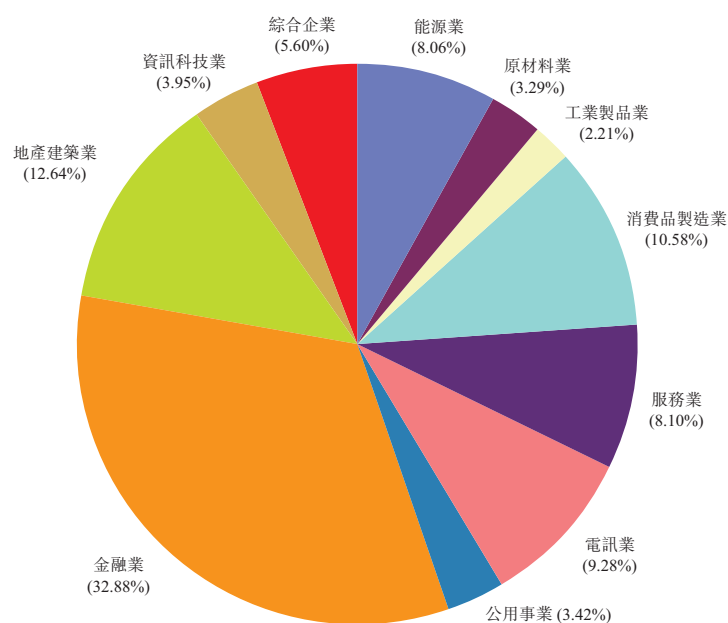
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2009	第 4 季	17,769,271.41
2010	第 1 季	17,920,896.03
	第 2 季	17,000,166.93
	第 3 季	19,557,277.66
	第 4 季	20,942,284.39

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

主 板 股 份

50間市價總值最大之上市公司

截至2010年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00939	中國建設銀行股份有限公司 – H股	1,675,708.72	8.00
2	00941	中國移動有限公司	1,549,036.24	7.40
3	00005	匯豐控股有限公司	1,409,565.18	6.73
4	00883	中國海洋石油有限公司	823,700.05	3.93
5	01398	中國工商銀行股份有限公司 – H股	502,537.52	2.40
6	02888	渣打集團有限公司	497,249.76	2.37
7	03988	中國銀行股份有限公司 – H股	342,851.33	1.64
8	00013	和記黃埔有限公司	341,069.66	1.63
9	00016	新鴻基地產發展有限公司	331,792.06	1.58
10	00700	騰訊控股有限公司	310,054.84	1.48
11	02388	中銀香港(控股)有限公司	279,650.04	1.34
12	00001	長江實業(集團)有限公司	277,708.10	1.33
13	01299	友邦保險控股有限公司	263,161.40	1.26
14	00762	中國聯合網絡通信(香港)股份有限公司	262,011.41	1.25
15	02318	中國平安保險(集團)股份有限公司 – H股	248,336.95	1.19
16	00011	恒生銀行有限公司	244,333.50	1.17
17	00945	Manulife Financial Corporation *	–	236,030.41
18	02628	中國人壽保險股份有限公司 – H股	236,257.31	1.13
19	00857	中國石油天然氣股份有限公司 – H股	214,364.82	1.02
20	03328	交通銀行股份有限公司 – H股	207,684.00	0.99
21	02378	英國保誠有限公司 *	–	205,174.92
22	00388	香港交易及結算所有限公司	190,066.09	0.91
23	00019	太古股份有限公司	184,622.99	0.88
24	00494	利豐有限公司	181,465.20	0.87
25	00486	United Company RUSAL Plc *	–	181,100.74
26	00004	九龍倉集團有限公司	164,680.90	0.79
27	00066	香港鐵路有限公司	163,359.85	0.78
28	00101	恒隆地產有限公司	162,413.50	0.78
29	00002	中電控股有限公司	151,827.65	0.72
30	01928	金沙中國有限公司	137,457.54	0.66
31	00003	香港中華煤氣有限公司	131,580.14	0.63
32	00386	中國石油化工股份有限公司 – H股	124,846.83	0.60
33	01288	中國農業銀行股份有限公司 – H股	119,881.41	0.57
34	00688	中國海外發展有限公司	117,520.82	0.56
35	00012	恒基兆業地產有限公司	115,327.46	0.55
36	00322	康師傅控股有限公司	111,177.19	0.53
37	01880	百麗國際控股有限公司	110,825.82	0.53
38	01088	中國神華能源股份有限公司 – H股	110,793.79	0.53
39	00006	香港電燈集團有限公司	104,578.82	0.50
40	01128	永利澳門有限公司	90,262.50	0.43
41	00151	中國旺旺控股有限公司	89,971.46	0.43
42	00293	國泰航空有限公司	84,380.97	0.40
43	01044	恒安國際集團有限公司	82,083.87	0.39
44	01038	長江基建集團有限公司	80,249.87	0.38
45	03968	招商銀行股份有限公司 – H股	76,723.58	0.37
46	01109	華潤置地有限公司	76,493.84	0.37
47	00083	信和置業有限公司	76,481.34	0.37
48	00291	華潤創業有限公司	76,383.76	0.36
49	00144	招商局國際有限公司	75,451.62	0.36
50	02601	中國太平洋保險(集團)股份有限公司 – H股	74,719.59	0.36
總額			13,282,701.29	63.45
市場總值			20,942,284.39	100.00

註：

由2010年7月起納入外國公司

* 不是祇在香港上市之外國公司

主 板 股 份

賣空交易 (金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2009	第 4 季	216,473.14	3,125,947.48	6.93
2010	第 1 季	254,445.78	2,953,639.11	8.61
	第 2 季	278,492.48	2,788,065.18	9.99
	第 3 季	231,187.06	2,783,988.10	8.30
	第 4 季	308,007.77	3,885,615.68	7.93

* 包括主板及創業板數據

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

賣空交易 (股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2009	第 4 季	19,660.11	965,635.67	2.04
2010	第 1 季	21,677.84	926,224.94	2.34
	第 2 季	24,633.79	956,653.63	2.57
	第 3 季	20,554.19	882,787.31	2.33
	第 4 季	26,787.09	1,131,725.16	2.37

* 包括主板及創業板數據

新上市統計－公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2009	第 4 季	37	0	184,852.28
2010	第 1 季	13	0	33,962.31
	第 2 季	13	2	16,304.58
	第 3 季	21	5	109,964.78
	第 4 季	47	5	288,596.31

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2010年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
01682	福源集團控股有限公司	2010/10/05	438,000,000	70.80
01021	麥達斯控股有限公司	2010/10/06	1,217,617,800	1,373.79
01308	海豐國際控股有限公司	2010/10/06	2,600,000,000	3,107.00
01918	融創中國控股有限公司	2010/10/07	3,000,000,000	2,610.00
02468	創益太陽能控股有限公司	2010/10/07	1,586,183,486	1,992.38
02208	新疆金風科技股份有限公司 – H股	2010/10/08	500,046,800	8,173.49
03683	榮豐聯合控股有限公司	2010/10/11	830,000,000	259.90
02266	麗悅酒店集團有限公司	2010/10/11	2,000,000,000	1,188.00
01733	永暉焦煤股份有限公司	2010/10/11	3,788,261,356	3,973.90
00956	新天綠色能源股份有限公司 – H股	2010/10/13	1,362,279,000	3,294.24
00975	Mongolian Mining Corporation	2010/10/13	3,705,036,500	5,807.92
00580	賽晶電力電子集團有限公司	2010/10/13	1,366,040,000	909.55
01685	博耳電力控股有限公司	2010/10/20	778,125,000	1,375.69
01029	鐵江現貨有限公司	2010/10/21	3,362,000,000	1,872.00
01700	華地國際控股有限公司	2010/10/21	2,500,000,000	4,262.19
01278	中國新城鎮發展有限公司	2010/10/22	3,894,804,926	–
01007	環球乳業控股有限公司	2010/10/28	1,010,500,000	1,584.26
00460	四環醫藥控股集團有限公司	2010/10/28	5,187,500,000	6,612.50
01299	友邦保險控股有限公司	2010/10/29	12,044,000,001	159,077.98
01230	雅士利國際控股有限公司	2010/11/01	3,500,000,000	2,704.80
00238	長興國際(集團)控股有限公司	2010/11/04	982,196,763	1,252.01
01600	中國天倫燃氣控股有限公司	2010/11/10	827,925,000	470.32
01087	泓淋科技集團有限公司	2010/11/16	720,000,000	504.00
00842	理士國際技術有限公司	2010/11/16	1,333,334,000	1,783.34
00312	歲寶百貨控股(中國)有限公司	2010/11/17	2,500,000,000	1,375.00
01091	中信大錳控股有限公司	2010/11/18	3,024,795,000	2,130.69
01079	松景科技控股有限公司*	2010/11/18	920,984,783	–
01101	中國熔盛重工集團控股有限公司	2010/11/19	7,000,000,000	14,000.00
01086	好孩子國際控股有限公司	2010/11/24	1,000,000,000	1,690.50
01110	金活醫藥集團有限公司	2010/11/25	622,500,000	276.00
01117	中國現代牧業控股有限公司	2010/11/26	4,800,000,000	3,468.00
02099	中國黃金國際資源有限公司	2010/12/01	395,931,753	2,397.53
01090	大明國際控股有限公司	2010/12/01	1,037,500,000	603.75
01708	南京三寶科技股份有限公司 – H股*	2010/12/01	91,800,000	–
00183	田生集團有限公司*	2010/12/02	3,228,500,000	–
01831	十方控股有限公司	2010/12/03	732,165,121	554.62
01048	新源控股有限公司	2010/12/06	170,804,269	–
01768	賽得利控股有限公司	2010/12/08	3,415,882,250	3,645.74
06230	Vale S.A. – A類優先預託證券	2010/12/08	393,470,993	–
06210	Vale S.A. – 普通預託證券	2010/12/08	259,242,052	–
00468	紛美包裝有限公司	2010/12/09	1,333,600,000	1,648.66
01728	中國正通汽車服務控股有限公司	2010/12/10	2,000,000,000	3,985.96
01555	M I 能源控股有限公司	2010/12/14	2,641,334,000	1,289.38
01282	世達科技(控股)有限公司	2010/12/15	2,927,084,000	872.18
00096	友成控股有限公司*	2010/12/15	176,000,000	–
03618	重慶農村商業銀行股份有限公司 – H股	2010/12/16	2,513,336,041	13,195.01
01112	合生元國際控股有限公司	2010/12/17	602,294,000	1,675.23
01798	中國大唐集團新能源股份有限公司 – H股	2010/12/17	2,501,071,100	5,297.72
01102	環能國際控股有限公司*	2010/12/17	2,777,458,800	–
00327	百富環球科技有限公司	2010/12/20	1,037,728,000	1,027.95
00940	中國動物保健品有限公司	2010/12/21	1,591,390,625	–
01157	長沙中聯重工科技發展股份有限公司 – H股	2010/12/23	1,100,022,220	14,980.30
01085	亨鑫科技有限公司	2010/12/23	388,000,000	222.03
總額				288,596.31

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2010年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
02387	利和經銷集團有限公司	2010/10/13	2010/11/01
00349	中國工商銀行(亞洲)有限公司	2010/12/13	2010/12/21

主 板 股 份

公司名稱更改

2010年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
00060	四洲食品投資控股有限公司	香港食品投資控股有限公司	2010/09/24	2010/10/04
00402	明興水務控股有限公司	蒙古投資集團有限公司	2010/08/31	2010/10/04
00674	友力投資(控股)有限公司	文化地標投資有限公司	2010/08/30	2010/10/06
00527	銀河半導體控股有限公司	中國瑞風銀河新能源控股有限公司	2010/08/24	2010/10/14
01163	瑩輝集團有限公司	德金資源集團有限公司	2010/09/23	2010/10/19
00563	中新地產集團(控股)有限公司	上海實業城市開發集團有限公司	2010/10/18	2010/10/27
00353	森源鈦礦控股有限公司	能源國際投資控股有限公司	2010/09/28	2010/11/02
00630	輝影國際集團有限公司	國金資源控股有限公司	2010/09/15	2010/11/15
00721	明陽資本投資集團有限公司	中國金融國際投資有限公司	2010/10/14	2010/11/17
00174	奇盛(集團)有限公司	盛洋地產投資有限公司	2010/11/12	2010/11/22
00665	大福證券集團有限公司	海通國際證券集團有限公司	2010/10/22	2010/11/22
00279	民豐控股有限公司	民豐企業控股有限公司	2010/11/08	2010/11/26
00692	正興(集團)有限公司	寶源控股有限公司	2010/11/24	2010/11/30
01883	中信1616集團有限公司	中信國際電訊集團有限公司	2010/11/23	2010/11/30
00139	越南控股有限公司	中國微電子科技集團有限公司	2010/11/09	2010/12/08
02371	直真科技有限公司	中國東方文化集團有限公司	2010/11/12	2010/12/28
00593	卓健亞洲有限公司	Allied Overseas Ltd.	2010/12/23	2010/12/31

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2010年第4季

股份代號	公司	詳情	除淨日
03339	中國龍工控股有限公司	1送1	2010/10/11
00065	弘海有限公司	5送1	2010/10/22
02002	中國陽光紙業控股有限公司	10送10	2010/11/16
00659	新創建集團有限公司	2送1	2010/11/16
02338	濰柴動力股份有限公司 - H股	10送10	2010/11/16
00131	卓能(集團)有限公司	20股送1份2011年認股權證	2010/11/22
00587	華瀚生物製藥控股有限公司	5送1	2010/12/13
00178	莎莎國際控股有限公司	1送1	2010/12/14
00197	亨泰消費品集團有限公司	20送1	2010/12/21
00211	大凌集團有限公司	20送1	2010/12/23
00449	志高控股有限公司	1送9	2010/12/28

股份拆細及合併

2010年第4季

股份代號	公司	詳情	生效日期
00736	中國置業投資控股有限公司	50股合併為1股	2010/10/11
01049	時富投資集團有限公司	1股分拆為10股	2010/10/28
00091	駿新能源集團有限公司	4股合併為1股	2010/10/29
00149	中國農產品交易有限公司	10股合併為1股	2010/11/04
00104	冠亞商業集團有限公司	1股分拆為5股	2010/11/15
00397	中國保綠資產投資有限公司	2股合併為1股	2010/11/19
00263	中國雲錫礦業集團有限公司	10股合併為1股	2010/11/26
00913	合一投資控股有限公司	20股合併為1股	2010/12/02
00978	東力實業控股有限公司	10股合併為1股	2010/12/06
01003	21控股有限公司	20股合併為1股	2010/12/07
00329	叁龍國際有限公司	40股合併為1股	2010/12/15
00377	新洲印刷集團有限公司	1股分拆為10股	2010/12/21
00928	德發集團國際有限公司	10股合併為1股	2010/12/21
00510	時富金融服務集團有限公司	1股分拆為5股	2010/12/23
00009	中國東方實業集團有限公司	10股合併為1股	2010/12/28

主 板 股 份

供股／公開售股

2010 年第 4 季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
10 月	00897	位元堂藥業控股有限公司	股權 1 供 5，每股供 0.207 港元 (25 股合併為 1 股後)另每供 5 股送 1 股股份	301.06
	00295	江山控股有限公司	公開售股 2 供 1，每股供 0.25 港元	59.91
	00123	越秀地產股份有限公司	公開售股 10 供 3，每股供 1.61 港元	3,448.33
11 月	00355	世紀城市國際控股有限公司	股權 10 供 1，每股供 0.48 港元	114.81
	02888	渣打集團有限公司	股權 8 供 1，每股供 156.82 港元	40,855.65
	00449	志高控股有限公司	公開售股 2 供 1，每股供 1.00 港元	255.44
	00091	駿新能源集團有限公司	股權 1 供 2，每股供 0.112 港元 (4 股合併為 1 股後)	486.49
12 月	00939	中國建設銀行股份有限公司 - H 股	股權 10H 股供 0.7H 股，每 H 股供 4.38 港元	68,889.67
	00440	大新金融集團有限公司	股權 8 供 1，每股供 36.89 港元	1,200.17
	03988	中國銀行股份有限公司 - H 股	股權 10 供 1，每股供 2.74 港元	20,829.55
	00692	寶源控股有限公司	股權 1 供 8，每股供 0.05 港元	217.89
	01398	中國工商銀行股份有限公司 - H 股	股權 10H 股供 0.45H 股，每 H 股供 3.49 港元	13,044.02
	00397	中國保綠資產投資有限公司	股權 1 供 9，每股供 0.15 港元 (2 股合併為 1 股後)	919.05
	02356	大新銀行集團有限公司	股權 10 供 1，每股供 9.00 港元	1,000.58
	00913	合一投資控股有限公司	股權 1 供 8，每股供 0.36 港元 (20 股合併為 1 股後)	135.26
	00169	恆力房地產發展(集團)有限公司	股權 1 供 1，每股供 0.10 港元	111.85
	00512	遠大醫藥健康控股有限公司	公開售股 3 供 1，每股供 0.58 港元	278.52
總額				152,148.25

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2010					
9月 **	00182	中國風電	101,140,000	0.770	77.88
	00712	卡姆丹克太陽能	100,000,000	2.300	230.00
	00072	現代傳播	17,000,000	1.300	22.10
	00159	華南投資	178,000,000	1.150	204.70
10月	00692	寶源控股	90,786,423	0.275	24.97
	01141	北京御生堂	517,000,000	0.285	147.35
	00841	木薯資源	40,000,000	2.300	92.00
	00263	中國雲錫礦業	400,000,000	0.100	40.00
	00165	中國光大控股	129,000,000	17.708	2,284.33
	00769	中國稀土	120,000,000	3.950	474.00
	01193	華潤燃氣	186,654,223	10.715	2,000.00
	00628	多金控股	349,000,000	0.112	39.09
	00923	福和集團	366,275,000	2.400	879.06
	00279	民豐企業	118,950,000	0.320	38.06
	00474	昊天能源集團	363,740,000	0.900	327.37
	00412	漢基控股	316,607,798	0.102	32.29
	00950	李氏大藥廠	15,000,000	2.800	42.00
	00905	MASTERMIND CAP	355,200,000	0.125	44.40
	00708	新傳媒	120,000,000	0.750	90.00
	01142	西伯利亞礦業	60,000,000	0.225	13.50
	01142	西伯利亞礦業	120,000,000	0.200	24.00
	01142	西伯利亞礦業	100,000,000	0.172	17.20
	00395	中國龍新能源	221,000,000	0.324	71.60
	00198	星美國際	672,300,000	0.480	322.70
	01166	蒙古礦業	7,200,000,000	0.020	144.00
	00686	太益控股	280,000,000	0.500	140.00
	00806	惠理集團	140,000,000	5.680	795.20
	00539	冠華國際控股	130,000,000	1.830	237.90
	03331	維達國際	28,500,000	9.500	270.75
11月	00753	中國國航	157,000,000	6.620	1,039.34
	00721	中國金融國際	496,700,000	0.150	74.51
	00384	中國燃氣	718,556,000	4.310	3,096.98
	01055	中國南方航空股份	312,500,000	2.730	853.13
	00861	神州數碼	70,000,000	15.472	1,083.04
	00703	FUTURE BRIGHT	92,300,000	0.410	37.84
	00274	高寶綠色	340,000,000	0.120	40.80
	00101	恒隆地產	293,864,000	37.450	11,005.21
	00438	彩虹電子	97,058,000	1.260	122.29
	02362	澳門投資控股	2,084,142,857	0.280	583.56
	01227	國盛投資	189,317,872	0.430	81.41
	00377	新洲印刷集團	44,000,000	2.300	101.20
	00342	新海能源	150,000,000	1.780	267.00
	00877	昂納光通信集團	60,000,000	5.500	330.00
	00632	東方明珠創業	327,750,000	1.400	458.85
	00850	中亞能源	400,000,000	0.550	220.00
	00802	宏霸數碼	10,500,000	4.000	42.00

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	02010	瑞年國際	85,000,000	7.200	612.00
	00083	信和置業	305,000,000	16.850	5,139.25
	00702	中國油氣控股	432,220,000	0.450	194.50
	00476	中銅資源	110,000,000	0.400	44.00
	00346	中聯能源	206,000,000	0.675	139.05
	00981	中芯國際	1,528,038,461	0.520	794.58
	00389	通天酒業	300,000,000	2.080	624.00
	00273	威利國際	246,000,000	0.180	44.28
	01068	雨潤食品	47,000,000	30.000	1,410.00
12月	00073	亞洲果業	175,000,000	8.880	1,554.00
	00595	AV CONCEPT HOLD	97,200,000	1.620	157.46
	00039	生物動力	30,000,000	1.150	34.50
	00721	中國金融國際	596,960,000	0.250	149.24
	02324	首都創投	64,910,000	0.350	22.72
	02312	中國金融租賃	91,005,000	0.095	8.65
	00773	中國金屬再生資源	90,000,000	7.850	706.50
	03300	中國玻璃	92,500,000	4.500	416.25
	00256	中國海澱	390,138,000	1.210	472.07
	00471	中播控股	42,976,800	0.110	4.73
	00197	亨泰	335,000,000	1.150	385.25
	00145	香港建屋貸款	1,135,000,000	0.110	124.85
	00902	華能國際電力股份	500,000,000	4.730	2,365.00
	00663	金山能源	1,000,000,000	0.205	205.00
	00590	六福集團	50,000,000	23.150	1,157.50
	00953	美克國際	157,500,000	1.890	297.68
	00860	明豐珠寶	356,000,000	0.780	277.68
	01062	新資本	163,702,560	0.560	91.67
	00398	東方表行集團	50,000,000	4.380	219.00
	00767	PACIFIC PLYWOOD	321,000,000	0.105	33.71
	01997	峻凌國際	15,000,000	3.600	54.00
	00491	漢傳媒	207,000,000	0.180	37.26
	01142	西伯利亞礦業	200,000,000	0.190	38.00
	00395	中國龍新能源	119,000,000	0.498	59.26
	00702	中國油氣控股	437,000,000	0.450	196.65
	00188	匯富金融	324,820,000	0.238	77.31
	00188	匯富金融	108,000,000	0.265	28.62
	00978	東力實業控股	962,679,864	0.088	84.62
	01173	威高國際	100,000,000	0.450	45.00
	01129	中國水業集團	275,796,000	0.108	29.79
	00646	啟帆	143,021,914	0.434	62.00
	00948	Z-OBEE	40,000,000	2.580	103.20
總 額					47,064.41

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2009	第 4 季	220.45	442,719.26	442,939.71
2010	第 1 季	228.05	588,420.02	588,648.07
	第 2 季	302.00	538,302.09	538,604.09
	第 3 季	375.85	669,145.29	669,521.14
	第 4 季	884.16	896,594.85	897,479.00

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2009	第 4 季	25	1,103.37	3,367	136,441.34	3,392	137,544.71
2010	第 1 季	20	1,201.13	3,974	106,176.30	3,994	107,377.43
	第 2 季	25	1,697.57	4,114	86,643.45	4,139	88,341.02
	第 3 季	25	2,204.10	4,348	131,501.94	4,373	133,706.04
	第 4 季	22	2,049.41	5,148	142,445.77	5,170	144,495.18

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2009	第 4 季	3	1,502	79,409.13
2010	第 1 季	1	1,649	75,616.53
	第 2 季	8	1,536	64,484.98
	第 3 季	2	1,938	72,490.02
	第 4 季	1	2,703	115,549.29

新上市股份認股權證

2010 年第 4 季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01092	卓能(集團)有限公司2011年12月認股權證	33,333,995	2010/12/15	2011/12/13	-
總額					-

主 板 認 股 權 證

新上市衍生權證

2010年第4季

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
25258	BNP Paribas Arbit Issu B.V. – HKEx European Wts Feb 2013	200,000,000	2010/10/04	2013/02/01	52.00
25259	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Apr 11	100,000,000	2010/10/04	2011/04/04	26.00
25281	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 A	300,000,000	2010/10/04	2011/04/11	75.00
25284	Credit Suisse AG – HKEx European Put Warrants Apr 2011 D	300,000,000	2010/10/04	2011/04/11	90.00
25278	Credit Suisse AG – HSI European Warrants Feb 2011	320,000,000	2010/10/04	2011/02/25	48.00
25285	Credit Suisse AG – HSI European Put Warrants Feb 2011 C	315,000,000	2010/10/04	2011/02/25	47.25
25283	Credit Suisse AG – Hutchison European Put Warrants Apr 11A	300,000,000	2010/10/04	2011/04/11	75.00
25279	Credit Suisse AG – Hutchison European Warrants Feb 2011 D	300,000,000	2010/10/04	2011/02/21	45.00
25282	Credit Suisse AG – MCC European Warrants Apr 2011	300,000,000	2010/10/04	2011/04/11	75.00
25280	Credit Suisse AG – SHK Ppt European Warrants Apr 2011 A	300,000,000	2010/10/04	2011/04/11	75.00
25252	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Oct 2011	100,000,000	2010/10/04	2011/10/07	15.00
25286	Citigroup Global Mkt H Inc. – HSI European Put Wts Feb 2011	80,000,000	2010/10/04	2011/02/25	12.00
25277	Citigroup Global Mkt H Inc. – HSI European Warrants Feb 11B	80,000,000	2010/10/04	2011/02/25	12.00
25268	Deutsche Bank AG – BYD European Warrants Apr 2011	100,000,000	2010/10/04	2011/04/04	47.00
25269	Deutsche Bank AG – BYD European Warrants Nov 2011	100,000,000	2010/10/04	2011/11/28	15.00
25270	Deutsche Bank AG – China Coal European Warrants May 2011	100,000,000	2010/10/04	2011/05/09	25.00
25271	Deutsche Bank AG – Esprit European Warrants Apr 2011 A	100,000,000	2010/10/04	2011/04/04	25.00
25260	Deutsche Bank AG – HKEx European Warrants Nov 2011	200,000,000	2010/10/04	2011/11/07	30.00
25272	Goldman Sachs SP (Asia) – BOC HK European Warrants May 2011	200,000,000	2010/10/04	2011/05/11	50.00
25273	Goldman Sachs SP (Asia) – CC Bank European Wts Jan 2011	200,000,000	2010/10/04	2011/01/04	62.00
25274	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2011 A	200,000,000	2010/10/04	2011/04/04	50.00
25275	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Aug11A	200,000,000	2010/10/04	2011/08/01	50.00
25276	Goldman Sachs SP (Asia) – Tencent Holdings European Wt Oct11	200,000,000	2010/10/04	2011/10/31	50.00
25287	J P Morgan SP BV – HKEx European Warrants Jun 2011 B	300,000,000	2010/10/04	2011/06/29	75.60
25288	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2011 D	188,000,000	2010/10/04	2011/04/04	47.00
25292	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Apr 2011C	108,000,000	2010/10/04	2011/04/04	27.00
25291	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Apr 2011	108,000,000	2010/10/04	2011/04/08	27.00
25289	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt Apr11	50,000,000	2010/10/04	2011/04/04	12.50
25293	KBC Fin Products Int'l Ltd. – Henderson Land Eu Put Wt Apr11	50,000,000	2010/10/04	2011/04/04	17.00
25294	KBC Fin Products Int'l Ltd. – HSI European Wts Feb 2011 H	200,000,000	2010/10/04	2011/02/25	30.00
25290	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2011 A	108,000,000	2010/10/04	2011/04/04	27.00
25253	Macquarie Bank Ltd. – Angang Steel European Wts Jun 2011	200,000,000	2010/10/04	2011/06/02	30.00
25256	Macquarie Bank Ltd. – CITIC Pacific European Warrants Apr 11	200,000,000	2010/10/04	2011/04/07	41.60
25254	Macquarie Bank Ltd. – China Railway European Wts Feb 2011	200,000,000	2010/10/04	2011/02/25	76.00
25255	Macquarie Bank Ltd. – Chi Res Power European Warrants May 11	200,000,000	2010/10/04	2011/05/04	50.00
25257	Macquarie Bank Ltd. – Hang Lung Ppt European Wts Apr 2011	200,000,000	2010/10/04	2011/04/11	50.00
25261	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts May 2011 B	100,000,000	2010/10/04	2011/05/03	25.50
25266	RB of Scotland N.V. – HKEx European Warrants Oct 2011	200,000,000	2010/10/04	2011/10/24	31.40
25267	RB of Scotland N.V. – HWL European Warrants Feb 2011 B	100,000,000	2010/10/04	2011/02/23	18.10
25249	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jun 2011	200,000,000	2010/10/04	2011/06/29	34.00
25250	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Oct 2011	200,000,000	2010/10/04	2011/10/17	32.00
25262	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 A	300,000,000	2010/10/04	2011/11/02	51.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25251	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Feb 2011	300,000,000	2010/10/04	2011/02/21	45.00
25263	UBS AG – BOCL European Warrants Mar 2011	100,000,000	2010/10/04	2011/03/28	15.00
25264	UBS AG – CC Bank European Warrants Feb 2011	100,000,000	2010/10/04	2011/02/28	15.00
25265	UBS AG – Hutchison European Warrants Feb 2011 C	100,000,000	2010/10/04	2011/02/11	15.00
24457*	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Mar 2011	300,000,000	2010/10/04	2011/03/04	10.20
25308	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2012	100,000,000	2010/10/05	2012/10/03	25.00
25309	BNP Paribas Arbit Issu B.V. – SHK Ppt European Warrants Jan13	100,000,000	2010/10/05	2013/01/02	25.00
25322	Credit Suisse AG – SHK Ppt European Put Warrants Apr 2011	300,000,000	2010/10/05	2011/04/20	75.00
25318	Credit Suisse AG – SHK Ppt European Warrants Apr 2011 B	300,000,000	2010/10/05	2011/04/20	75.00
25319	Credit Suisse AG – Tencent Holdings European Wts Jan 2011 B	300,000,000	2010/10/05	2011/01/05	45.00
25320	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 A	300,000,000	2010/10/05	2011/04/20	75.00
25312	Deutsche Bank AG – Belle Int'l European Warrants Apr 2011	100,000,000	2010/10/05	2011/04/11	27.00
25313	Deutsche Bank AG – Geely Auto European Warrants Apr 2011	100,000,000	2010/10/05	2011/04/06	38.00
25314	Deutsche Bank AG – HKEx European Warrants May 2011 C	200,000,000	2010/10/05	2011/05/30	30.00
25315	Deutsche Bank AG – HSBC European Warrants Apr 2011 B	300,000,000	2010/10/05	2011/04/11	78.00
25321	Deutsche Bank AG – HSI European Warrants Apr 2011 A	300,000,000	2010/10/05	2011/04/28	75.00
25316	Deutsche Bank AG – SHK Ppt European Warrants Feb 2012	150,000,000	2010/10/05	2012/02/06	37.50
25317	Deutsche Bank AG – Tencent Holdings European Warrants Apr11B	200,000,000	2010/10/05	2011/04/06	58.00
25329	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2011 A	200,000,000	2010/10/05	2011/12/05	50.00
25330	Goldman Sachs SP (Asia) – Hutchison Euro Put Wts Apr 2011	200,000,000	2010/10/05	2011/04/11	74.00
25305	J P Morgan SP BV – BOC HK European Warrants Jun 2011 A	150,000,000	2010/10/05	2011/06/29	37.50
25303	J P Morgan SP BV – Cheung Kong European Warrants Apr 2011	88,000,000	2010/10/05	2011/04/06	22.00
25307	J P Morgan SP BV – HKEx European Put Wts Jun 2011 C	300,000,000	2010/10/05	2011/06/20	75.00
25306	J P Morgan SP BV – HKEx European Warrants Aug 2011 B	300,000,000	2010/10/05	2011/08/10	75.00
25324	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Aug 2011	108,000,000	2010/10/05	2011/08/08	27.00
25323	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jul 11C	108,000,000	2010/10/05	2011/07/05	27.00
25325	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2011 B	128,000,000	2010/10/05	2011/02/08	26.88
25327	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2011 A	200,000,000	2010/10/05	2011/04/28	50.00
25326	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jul11A	108,000,000	2010/10/05	2011/07/05	27.00
25298	Macquarie Bank Ltd. – China Coal European Warrants Dec 2011	200,000,000	2010/10/05	2011/12/05	50.20
25297	Macquarie Bank Ltd. – CNOOC European Put Warrants Jun 2011	200,000,000	2010/10/05	2011/06/02	50.00
25299	Macquarie Bank Ltd. – Ch Southern Air European Wts Apr 2011	100,000,000	2010/10/05	2011/04/06	25.00
25296	Macquarie Bank Ltd. – HKEx European Warrants May 2011 C	100,000,000	2010/10/05	2011/05/04	76.90
25295	Macquarie Bank Ltd. – HKEx European Warrants Dec 2011	200,000,000	2010/10/05	2011/12/02	50.20
25328	RB of Scotland N.V. – HKEx European Warrants May 2011	200,000,000	2010/10/05	2011/05/26	30.20
25332	RB of Scotland N.V. – HSI European Warrants Feb 2011 C	250,000,000	2010/10/05	2011/02/25	38.75
25333	RB of Scotland N.V. – HSI European Warrants Feb 2011 D	250,000,000	2010/10/05	2011/02/25	38.25
25335	RB of Scotland N.V. – HSI European Put Warrants Feb 2011 B	250,000,000	2010/10/05	2011/02/25	41.25
25331	RB of Scotland N.V. – SHK P European Warrants Dec 2011	250,000,000	2010/10/05	2011/12/28	62.50
25334	RB of Scotland N.V. – SHK P European Put Warrants Jun 2011	250,000,000	2010/10/05	2011/06/27	37.50
25301	Standard Chartered Bank – China Mobile European Wts Jan 2011	150,000,000	2010/10/05	2011/01/28	36.00
25302	Standard Chartered Bank-China Life European Warrants Mar11B	150,000,000	2010/10/05	2011/03/07	36.75
25300	Standard Chartered Bank – HSBC European Warrants Jul 2011	100,000,000	2010/10/05	2011/07/27	25.00
25310	UBS AG – HKEx European Warrants May 2011 A	200,000,000	2010/10/05	2011/05/30	30.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25311	UBS AG – HSI European Warrants Mar 2011 B	200,000,000	2010/10/05	2011/03/30	30.00
25304	UBS AG – HSI European Put Warrants Feb 2011 C	200,000,000	2010/10/05	2011/02/25	30.00
25346	Barclays Bank plc – HSI European Warrants Apr 2011 A	150,000,000	2010/10/06	2011/04/28	37.50
25347	Barclays Bank plc – HSI European Warrants Apr 2011 B	150,000,000	2010/10/06	2011/04/28	37.50
25348	Barclays Bank plc – HSI European Warrants Apr 2011 C	150,000,000	2010/10/06	2011/04/28	37.50
25352	Barclays Bank plc – HSI European Put Warrants Apr 2011 D	150,000,000	2010/10/06	2011/04/28	37.50
25353	Barclays Bank plc – HSI European Put Warrants Apr 2011 E	150,000,000	2010/10/06	2011/04/28	37.50
25368	BOCI Asia Ltd. – Dongfeng Motor European Warrants May 2011	100,000,000	2010/10/06	2011/05/09	25.00
25369	BOCI Asia Ltd. – GCL-Poly Energy European Warrants May 2011	100,000,000	2010/10/06	2011/05/09	27.50
25370	BOCI Asia Ltd. – Geely Auto European Warrants Apr 2011	100,000,000	2010/10/06	2011/04/11	32.50
25371	BOCI Asia Ltd. – Hutchison European Warrants Jul 2011	100,000,000	2010/10/06	2011/07/11	35.00
25402	BNP Paribas Arbit Issu B.V. – SHK Ppt European Warrants Sep11	100,000,000	2010/10/06	2011/09/02	18.00
25391	Credit Suisse AG – Angang Steel European Warrants Apr 2011	300,000,000	2010/10/06	2011/04/28	45.00
25397	Credit Suisse – BOC HK European Warrants Mar 2011	300,000,000	2010/10/06	2011/03/16	45.00
25396	Credit Suisse AG – China Coal European Warrants Jan 2011	300,000,000	2010/10/06	2011/01/17	45.00
25389	Credit Suisse AG – Cheung Kong European Warrants Feb 2011	50,000,000	2010/10/06	2011/02/24	15.00
25390	Credit Suisse – Cheung Kong European Put Warrants Jul 2011	300,000,000	2010/10/06	2011/07/04	45.00
25393	Credit Suisse AG – Sinopec Corp European Warrants Jan 2011 B	300,000,000	2010/10/06	2011/01/06	75.00
25392	Credit Suisse AG – Jiangxi Copper European Wts Mar 2011	300,000,000	2010/10/06	2011/03/17	45.00
25394	Credit Suisse AG – PetroChina European Warrants Mar 2011	300,000,000	2010/10/06	2011/03/07	90.00
25395	Credit Suisse – Yanzhou Coal European Warrants Apr 2011 A	300,000,000	2010/10/06	2011/04/15	75.00
25374	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Jun 2011	80,000,000	2010/10/06	2011/06/30	20.00
25399	Deutsche Bank AG – BankComm European Warrants Apr 2011	100,000,000	2010/10/06	2011/04/11	55.00
25400	Deutsche Bank AG – CITIC Bank European Warrants Apr 2011	100,000,000	2010/10/06	2011/04/11	43.00
25401	Deutsche Bank AG – SHK Ppt European Put Warrants Jun 2011	100,000,000	2010/10/06	2011/06/27	15.00
25373	Goldman Sachs SP (Asia) – HKEx European Put Warrants Sep 11A	200,000,000	2010/10/06	2011/09/12	50.00
25372	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2011A	200,000,000	2010/10/06	2011/06/29	50.00
25363	HK Bank – BOC HK European Warrants Apr 2011	120,000,000	2010/10/06	2011/04/18	30.00
25365	HK Bank – Geely Auto European Warrants Apr 2011	120,000,000	2010/10/06	2011/04/06	30.00
25366	HK Bank – Geely Auto European Warrants Sep 2011	120,000,000	2010/10/06	2011/09/16	30.00
25364	HK Bank – HKEx European Warrants Nov 2011 B	200,000,000	2010/10/06	2011/11/28	50.00
25367	HK Bank – Tencent European Warrants Apr 2011 B	200,000,000	2010/10/06	2011/04/06	50.00
25404	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts Feb 2011	108,000,000	2010/10/06	2011/02/28	16.20
25405	KBC Fin Products Int'l Ltd. – HSI European Wts Mar 2011 I	200,000,000	2010/10/06	2011/03/30	30.00
25384	Macquarie Bank Ltd. – BOCHK European Warrants Jul 2011	200,000,000	2010/10/06	2011/07/28	50.00
25350	Macquarie Bank Ltd. – CC Bank European Warrants Feb 2011	250,000,000	2010/10/06	2011/02/25	57.00
25362	Macquarie Bank Ltd. – CC Bank European Put Warrants Feb 2011	250,000,000	2010/10/06	2011/02/25	43.00
25349	Macquarie Bank Ltd. – Cheung Kong European Warrants Jun 2012	300,000,000	2010/10/06	2012/06/04	75.30
25351	Macquarie Bank Ltd. – GCL-Poly Energy European Warrants May11	100,000,000	2010/10/06	2011/05/30	26.30
25354	Macquarie Bank Ltd. – Geely Auto European Warrants May 2011A	150,000,000	2010/10/06	2011/05/30	51.15
25385	Macquarie Bank Ltd. – Hutchison European Warrants May 2011	150,000,000	2010/10/06	2011/05/04	37.65
25355	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2011 A	250,000,000	2010/10/06	2011/05/04	62.50
25356	Macquarie Bank Ltd. – Nine Dragons European Wts Aug 2011	150,000,000	2010/10/06	2011/08/02	37.50
25357	Macquarie Bank Ltd. – PetroChina European Wts May 2011	100,000,000	2010/10/06	2011/05/04	36.60

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
25386	Macquarie Bank Ltd. – PICC P&C European Warrants Aug 2011	150,000,000	2010/10/06	2011/08/03	37.50
25387	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Dec 2011	200,000,000	2010/10/06	2011/12/29	50.00
25359	Macquarie Bank Ltd. – SJM Holdings European Warrants Oct 11	150,000,000	2010/10/06	2011/10/28	37.65
25358	Macquarie Bank Ltd. –Sino Ocean Land European Warrants Jun12	150,000,000	2010/10/06	2012/06/06	22.50
25360	Macquarie Bank Ltd. –Swire Pacific A European Warrants Mar12	200,000,000	2010/10/06	2012/03/30	50.40
25361	Macquarie Bank Ltd. – Zhaojin Mining European Warrants May11	200,000,000	2010/10/06	2011/05/04	50.20
25337	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Feb 2011	100,000,000	2010/10/06	2011/02/24	40.00
25336	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Apr 2011	100,000,000	2010/10/06	2011/04/26	47.00
25338	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts Dec 12B	200,000,000	2010/10/06	2012/12/17	50.00
25340	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Apr11	50,000,000	2010/10/06	2011/04/15	51.00
25339	Merrill Lynch Int'l & Co. C.V.-CK(H) Euro Put Warrants Nov11	150,000,000	2010/10/06	2011/11/11	37.50
25342	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Put Wt Sep 2011	100,000,000	2010/10/06	2011/09/27	16.00
25341	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 B	100,000,000	2010/10/06	2011/02/02	25.00
25343	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Oct 2011	100,000,000	2010/10/06	2011/10/03	25.00
25344	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Dec 2011	100,000,000	2010/10/06	2011/12/19	25.00
25345	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Put Wts May 11	100,000,000	2010/10/06	2011/05/25	15.00
25375	CC Rabobank B.A. – Alibaba European Warrants Jun 2011	100,000,000	2010/10/06	2011/06/27	25.00
25378	CC Rabobank B.A. – CITIC Pacific European Warrants Jun 2011	100,000,000	2010/10/06	2011/06/10	25.00
25376	CC Rabobank B.A. – CNBM European Warrants May 2011	100,000,000	2010/10/06	2011/05/06	25.00
25379	CC Rabobank B.A. – COSCO Pacific European Warrants Jul 2011	120,000,000	2010/10/06	2011/07/28	30.00
25377	CC Rabobank B.A. – China Shenhua European Warrants Apr 2011	100,000,000	2010/10/06	2011/04/28	25.00
25380	CC Rabobank B.A. – Esprit European Warrants Apr 2011	80,000,000	2010/10/06	2011/04/28	28.00
25381	CC Rabobank B.A. – Geely Auto European Warrants May 2011 A	75,000,000	2010/10/06	2011/05/09	42.75
25383	CC Rabobank B.A. – SJM Holdings European Warrants Sep 2011	100,000,000	2010/10/06	2011/09/16	25.00
25382	CC Rabobank B.A. – Shui On Land European Warrants May 2011	75,000,000	2010/10/06	2011/05/09	41.25
25403	RB of Scotland N.V. – Tencent European Put Warrants May 2011	200,000,000	2010/10/06	2011/05/25	31.00
25388	UBS AG – SHK Ppt European Warrants Oct 2011	100,000,000	2010/10/06	2011/10/24	25.00
23722#	J P Morgan SP BV – China Life European Warrants Jan 2011 A	210,000,000	2010/10/06	2011/01/28	20.16
23690#	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jan 2011 G	150,000,000	2010/10/06	2011/01/24	30.00
25410	BOCI Asia Ltd. – BOC HK European Warrants Jul 2011	100,000,000	2010/10/07	2011/07/29	21.50
25411	BOCI Asia Ltd. – Lenovo European Warrants Oct 2013	100,000,000	2010/10/07	2013/10/03	15.00
25429	Deutsche Bank AG – Air China European Warrants Jun 2011	100,000,000	2010/10/07	2011/06/20	25.00
25424	Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11B	100,000,000	2010/10/07	2011/04/27	25.00
10004	Deutsche Bank AG – EUR/USD European Warrants Apr 2011	50,000,000	2010/10/07	2011/04/18	14.50
25425	Deutsche Bank AG – MTRC European Warrants May 2011 A	100,000,000	2010/10/07	2011/05/16	18.00
25430	Deutsche Bank AG – Sands China European Warrants Apr 2011	100,000,000	2010/10/07	2011/04/11	25.00
25419	Goldman Sachs SP (Asia) – Tencent Holdings Euro Put Wt Aug11	200,000,000	2010/10/07	2011/08/29	50.00
25431	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2011	128,000,000	2010/10/07	2011/04/15	32.00
25432	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jul 2011 A	128,000,000	2010/10/07	2011/07/05	32.00
25433	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Apr 2011	128,000,000	2010/10/07	2011/04/08	32.00
25434	KBC Fin Products Int'l Ltd. – HKEx European Warrants Oct 11	108,000,000	2010/10/07	2011/10/07	27.00
25435	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2011 B	128,000,000	2010/10/07	2011/04/08	32.00
25412	Macquarie Bank Ltd. – Agile Ppt European Warrants Jan 2012	150,000,000	2010/10/07	2012/01/30	37.50
25428	Macquarie Bank Ltd. – Chi Resources European Warrants Apr 11	200,000,000	2010/10/07	2011/04/07	50.00

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		發行單位	上市日期	到期日	
25417	Macquarie Bank Ltd. – China Tel European Warrants May 2011	100,000,000	2010/10/07	2011/05/19	25.00
25418	Macquarie Bank Ltd. – Shui On Land European Warrants Apr 11	100,000,000	2010/10/07	2011/04/26	25.00
25398	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11A	200,000,000	2010/10/07	2011/03/30	39.60
25406	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11B	200,000,000	2010/10/07	2011/03/30	33.80
25407	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11C	200,000,000	2010/10/07	2011/03/30	30.60
25408	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11D	200,000,000	2010/10/07	2011/03/30	30.20
25409	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11E	200,000,000	2010/10/07	2011/03/30	30.60
25413	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Mar11F	200,000,000	2010/10/07	2011/03/30	36.00
25414	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Mar 11G	200,000,000	2010/10/07	2011/03/30	42.60
25415	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Mar 11H	200,000,000	2010/10/07	2011/03/30	50.00
25416	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Mar 11I	200,000,000	2010/10/07	2011/03/30	46.40
25421	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Apr 2011	80,000,000	2010/10/07	2011/04/13	21.60
25420	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jan 2011 C	80,000,000	2010/10/07	2011/01/31	12.00
25422	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2011 A	200,000,000	2010/10/07	2011/04/27	72.00
25423	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2011 B	200,000,000	2010/10/07	2011/04/27	52.00
25426	UBS AG – China Life European Warrants Feb 2011 A	200,000,000	2010/10/07	2011/02/14	30.00
25427	UBS AG – Tencent Holdings European Warrants Jun 2011 A	200,000,000	2010/10/07	2011/06/13	30.00
23382#	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Mar 2011	100,000,000	2010/10/07	2011/03/01	14.70
22441*	UBS AG – PetroChina European Warrants Apr 2011 A	100,000,000	2010/10/07	2011/04/26	12.50
25448	BOCI Asia Ltd. – HKEx European Warrants Apr 2012	300,000,000	2010/10/08	2012/04/10	75.00
25449	BOCI Asia Ltd. – Sinofert European Warrants Apr 2011	100,000,000	2010/10/08	2011/04/11	30.00
25455	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Jul 2011	100,000,000	2010/10/08	2011/07/04	45.00
25454	BNP Paribas Arbit Issu B.V. – ICBC European Wts Mar 2011 B	100,000,000	2010/10/08	2011/03/02	23.00
25456	Credit Suisse AG – Hutchison European Warrants Apr 2011 C	150,000,000	2010/10/08	2011/04/08	37.50
25460	Credit Suisse AG – Hutchison European Put Warrants Apr 2011B	100,000,000	2010/10/08	2011/04/08	31.00
25452	Citigroup Global Mkt H Inc. – Cheung Kong Euro Wts May 2011	80,000,000	2010/10/08	2011/05/27	20.00
25453	Citigroup Global Mkt H Inc. -Tencent European Warrants Apr11	150,000,000	2010/10/08	2011/04/08	37.50
25450	Deutsche Bank AG -Agricultural Bk European Warrants May2011A	150,000,000	2010/10/08	2011/05/04	30.90
25445	HK Bank – Alibaba European Warrants Jun 2011	100,000,000	2010/10/08	2011/06/28	25.00
25446	HK Bank – Fushan Energy European Warrants Apr 2011	100,000,000	2010/10/08	2011/04/08	25.00
25447	HK Bank – Yanzhou Coal European Warrants Apr 2011	150,000,000	2010/10/08	2011/04/08	37.50
25457	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt Apr 11B	108,000,000	2010/10/08	2011/04/15	35.64
25458	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts Apr 2011	88,000,000	2010/10/08	2011/04/08	22.00
25459	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jul 2011	108,000,000	2010/10/08	2011/07/05	27.00
25464	KBC Fin Products Int'l Ltd. – ICBC European Wts Mar 2011 C	158,000,000	2010/10/08	2011/03/01	30.02
25461	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Jul 2011	108,000,000	2010/10/08	2011/07/15	27.00
25462	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Aug 2011B	108,000,000	2010/10/08	2011/08/31	27.00
25463	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Jun 2011B	88,000,000	2010/10/08	2011/06/08	22.00
25436	Merrill Lynch Int'l & Co. C.V. – BOC HK Euro Wts Dec 2011	150,000,000	2010/10/08	2011/12/12	37.50
25437	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2012	150,000,000	2010/10/08	2012/06/11	37.50
25438	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Apr 2011	100,000,000	2010/10/08	2011/04/18	25.50
25439	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Feb2011C	100,000,000	2010/10/08	2011/02/11	22.10
25440	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun2011B	100,000,000	2010/10/08	2011/06/15	25.50
25442	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Apr2011B	100,000,000	2010/10/08	2011/04/13	41.50

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		發行單位	上市日期	到期日	
25441	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Wts Apr 2011	100,000,000	2010/10/08	2011/04/18	37.50
25443	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Nov 11	100,000,000	2010/10/08	2011/11/28	25.00
25444	Standard Chartered Bank – Agricultural Bk European Wts Jul11	100,000,000	2010/10/08	2011/07/29	25.00
25451	UBS AG – Agricultural Bank European Warrants Feb 2011	200,000,000	2010/10/08	2011/02/28	30.00
23326*	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Dec 2010	100,000,000	2010/10/08	2010/12/30	19.30
24639*	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 C	300,000,000	2010/10/08	2011/01/03	72.90
19851*	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Put Warrants Jan11	600,000,000	2010/10/08	2011/01/31	13.20
19856*	Merrill Lynch Int'l & Co. C.V. – CK(H) Euro Put Warrants Jan11	600,000,000	2010/10/08	2010/12/13	13.80
24957*	CC Rabobank B.A. – GCL-Poly Energy European Wts Mar 2011 B	150,000,000	2010/10/08	2011/03/28	33.60
25495	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2011 A	100,000,000	2010/10/11	2011/11/01	25.00
25496	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2011	100,000,000	2010/10/11	2011/08/01	25.00
25497	BNP Paribas Arbit Issu B.V. – HKEx European Wts Nov 2011	100,000,000	2010/10/11	2011/11/09	25.00
25498	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts May 2011 B	100,000,000	2010/10/11	2011/05/03	65.00
25475	Credit Suisse AG – HKEx European Warrants Apr 2011 A	100,000,000	2010/10/11	2011/04/11	79.00
25476	Credit Suisse AG – HKEx European Warrants Apr 2011 B	100,000,000	2010/10/11	2011/04/15	34.00
25469	Credit Suisse AG – Hutchison European Warrants Apr 2011 D	200,000,000	2010/10/11	2011/04/12	50.00
25493	Credit Suisse AG – PetroChina European Warrants Apr 2011 B	150,000,000	2010/10/11	2011/04/19	60.00
25494	Credit Suisse AG – Tencent Holdings European Wts May 2011 A	300,000,000	2010/10/11	2011/05/31	75.00
25467	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Apr 11	80,000,000	2010/10/11	2011/04/29	20.00
25500	Deutsche Bank AG – China Agri European Warrants Dec 2011 A	100,000,000	2010/10/11	2011/12/19	25.00
25501	Deutsche Bank AG – Hutchison European Warrants Apr 2011 A	150,000,000	2010/10/11	2011/04/12	42.00
25502	Deutsche Bank AG – Sinofer European Warrants Feb 2011	100,000,000	2010/10/11	2011/02/21	39.00
25503	Deutsche Bank AG – Skyworth Digital European Warrants Jan 12	100,000,000	2010/10/11	2012/01/26	16.40
25471	HK Bank – China COSCO European Warrants Apr 2011	100,000,000	2010/10/11	2011/04/11	25.00
25465	HK Bank – China Coal European Warrants Jun 2011	100,000,000	2010/10/11	2011/06/28	25.00
25472	HK Bank – Ch Ship Cont European Warrants Apr 2011	100,000,000	2010/10/11	2011/04/11	25.00
25473	HK Bank – PICC European Warrants Jul 2011 B	120,000,000	2010/10/11	2011/07/28	30.00
25474	HK Bank – Tencent European Warrants Jul 2011	200,000,000	2010/10/11	2011/07/28	50.00
25507	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts Aug 2011	108,000,000	2010/10/11	2011/08/05	27.00
25504	KBC Fin Products Int'l Ltd. – CNOOC European Wts Aug 2011	108,000,000	2010/10/11	2011/08/23	19.44
25505	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2011 A	108,000,000	2010/10/11	2011/04/11	32.40
25506	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2011 A	128,000,000	2010/10/11	2011/05/04	19.20
25508	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Apr 2011	68,000,000	2010/10/11	2011/04/18	27.88
25509	KBC Fin Products Int'l Ltd-Tencent Holdings Eu Put Wt Jun11B	128,000,000	2010/10/11	2011/06/15	32.00
25490	Macquarie Bank Ltd. -Agricultural Bk European Warrants Apr11	150,000,000	2010/10/11	2011/04/11	37.50
25491	Macquarie Bank Ltd. – BOCHK European Warrants Apr 2011 B	200,000,000	2010/10/11	2011/04/11	50.20
25470	Macquarie Bank Ltd. – BOCHK European Put Warrants Apr 2011	200,000,000	2010/10/11	2011/04/11	50.20
25492	Macquarie Bank Ltd. – SHK Ppt European Warrants Dec 2011	200,000,000	2010/10/11	2011/12/28	50.00
25468	Standard Chartered Bank – HKEx European Warrants Oct 2011 A	100,000,000	2010/10/11	2011/10/31	25.00
25466	Standard Chartered Bank – Tencent Hldg European Wts Aug 2011	100,000,000	2010/10/11	2011/08/30	25.00
25477	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Feb 2011 D	200,000,000	2010/10/11	2011/02/23	30.00
25478	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011A	50,000,000	2010/10/11	2011/04/11	38.50
25479	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 B	200,000,000	2010/10/11	2011/06/01	32.00
25480	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 D	120,000,000	2010/10/11	2011/02/14	18.00

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		發行單位	上市日期	到期日	
25481	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 B	120,000,000	2010/10/11	2011/03/14	24.00
25485	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11A	300,000,000	2010/10/11	2011/04/28	75.00
25484	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 F	300,000,000	2010/10/11	2011/03/30	45.00
25482	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 11C	150,000,000	2010/10/11	2011/04/04	22.50
25483	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 11	150,000,000	2010/10/11	2011/05/30	37.50
25489	UBS AG – HSI European Put Warrants Feb 2011 D	200,000,000	2010/10/11	2011/02/25	30.00
25486	UBS AG – Hutchison European Warrants Apr 2011 A	100,000,000	2010/10/11	2011/04/18	25.00
25487	UBS AG – Hutchison European Warrants Apr 2011 B	100,000,000	2010/10/11	2011/04/11	25.00
25488	UBS AG – Hutchison European Put Warrants Feb 2011 E	100,000,000	2010/10/11	2011/02/24	15.00
25499	UBS AG – PetroChina European Warrants Nov 2011	100,000,000	2010/10/11	2011/11/17	15.00
21418*	Merrill Lynch Int'l & Co. C.V. – HSI European Put Wts Dec 10F	350,000,000	2010/10/11	2010/12/30	10.15
25265*	UBS AG – Hutchison European Warrants Feb 2011 C	100,000,000	2010/10/11	2011/02/11	28.00
25538	Barclays Bank plc – BOCL European Warrants Jun 2011	100,000,000	2010/10/12	2011/06/07	25.00
25540	Barclays Bank plc – China Coal European Warrants Sep 2011	100,000,000	2010/10/12	2011/09/26	25.00
25539	Barclays Bank plc – Cheung Kong European Warrants Jun 2012	150,000,000	2010/10/12	2012/06/11	37.50
25541	Barclays Bank plc – China Shenhua European Warrants Jun 2011	100,000,000	2010/10/12	2011/06/07	26.00
25542	Barclays Bank plc – HKEx European Warrants Dec 2011	150,000,000	2010/10/12	2011/12/05	37.50
25543	Barclays Bank plc – HKEx European Put Warrants Oct 2011	150,000,000	2010/10/12	2011/10/17	37.50
25544	Barclays Bank plc – HSBC European Warrants May 2011	150,000,000	2010/10/12	2011/05/04	37.50
25545	Barclays Bank plc – Hutchison European Warrants Apr 2011	100,000,000	2010/10/12	2011/04/26	25.00
25546	Barclays Bank plc – SHK Ppt European Warrants Feb 2012	150,000,000	2010/10/12	2012/02/13	37.50
25547	Barclays Bank plc –Tencent Holdings European Warrants Aug 11	150,000,000	2010/10/12	2011/08/08	37.50
25548	Credit Suisse AG – HKEx European Warrants Jun 2011 B	300,000,000	2010/10/12	2011/06/27	75.00
25517	Citigroup Global Mkt H Inc. – BOC HK European Wts Aug 2011	100,000,000	2010/10/12	2011/08/29	25.00
25518	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jul 2011	100,000,000	2010/10/12	2011/07/25	25.00
25519	Citigroup Global Mkt H Inc. – HKEx European Wts May 2011	120,000,000	2010/10/12	2011/05/30	30.00
25520	Citigroup Global Mkt H Inc. – Hutchison Euro Wts Apr 2011	80,000,000	2010/10/12	2011/04/18	20.00
25521	Citigroup Global Mkt H Inc. – PICC P&C European Wts Aug 11B	100,000,000	2010/10/12	2011/08/29	25.00
25549	Deutsche Bank AG – BOC HK European Warrants Apr 2011 B	200,000,000	2010/10/12	2011/04/18	50.00
25559	Deutsche Bank AG – China Life European Warrants Feb 2011 B	300,000,000	2010/10/12	2011/02/28	45.00
25550	Deutsche Bank AG – China Life European Warrants Apr 2011 A	300,000,000	2010/10/12	2011/04/26	58.20
25552	Deutsche Bank AG – CNOOC European Warrants Aug 2011	200,000,000	2010/10/12	2011/08/01	50.00
25551	Deutsche Bank AG – Chi Res Land European Warrants Apr 2011	100,000,000	2010/10/12	2011/04/26	21.50
25553	Deutsche Bank AG – HKEx European Warrants Oct 2011 A	200,000,000	2010/10/12	2011/10/31	50.00
25561	Deutsche Bank AG – HSI European Put Warrants Apr 2011 C	300,000,000	2010/10/12	2011/04/28	78.00
25560	Deutsche Bank AG – HSI European Put Warrants Feb 2011 J	300,000,000	2010/10/12	2011/02/25	75.00
25554	Deutsche Bank AG – Hutchison European Put Warrants Apr 2011	100,000,000	2010/10/12	2011/04/27	40.00
25555	Deutsche Bank AG – PetroChina European Warrants Apr 2011 A	200,000,000	2010/10/12	2011/04/18	90.00
25523	J P Morgan SP BV – China Life European Warrants Apr 2011 A	200,000,000	2010/10/12	2011/04/28	50.00
25524	J P Morgan SP BV – China Shenhua European Warrants Apr 2011A	150,000,000	2010/10/12	2011/04/28	37.50
25525	J P Morgan SP BV – JX Copper European Warrants Apr 2011 A	150,000,000	2010/10/12	2011/04/28	37.50
25526	J P Morgan SP BV – PetroChina European Warrants Nov 2011	200,000,000	2010/10/12	2011/11/14	30.00
25527	J P Morgan SP BV – SHK Ppt European Warrants Sep 2011	200,000,000	2010/10/12	2011/09/29	50.00
25562	KBC Fin Products Int'l Ltd. -BYD European Warrants May 2011A	88,000,000	2010/10/12	2011/05/16	30.80

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		發行單位	上市日期	到期日	
25563	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 D	138,000,000	2010/10/12	2011/03/01	46.92
25564	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Mar 2011 E	188,000,000	2010/10/12	2011/03/01	47.00
25565	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 B	188,000,000	2010/10/12	2011/04/12	47.00
25566	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2011 A	88,000,000	2010/10/12	2011/06/01	22.00
25530	Macquarie Bank Ltd. – Air China European Warrants Jun 2011	300,000,000	2010/10/12	2011/06/29	75.60
25531	Macquarie Bank Ltd. – China Mobile European Wts Feb 2011 C	150,000,000	2010/10/12	2011/02/22	23.25
25532	Macquarie Bank Ltd. – Dongfeng Motor European Wts Apr 2011	100,000,000	2010/10/12	2011/04/28	25.00
25529	Macquarie Bank Ltd. – HSCEI European Put Warrants Apr 2011	200,000,000	2010/10/12	2011/04/28	50.00
25528	Macquarie Bank Ltd. – HSI European Put Warrants Mar 2011	200,000,000	2010/10/12	2011/03/30	44.00
25558	Macquarie Bank Ltd. – Lee & Man Paper European Warrants Jul12	200,000,000	2010/10/12	2012/07/30	50.20
25533	Macquarie Bank Ltd. – New World Dev European Wts Dec 2011	100,000,000	2010/10/12	2011/12/28	25.00
25534	Macquarie Bank Ltd. – PetroChina European Wts Nov 2011	150,000,000	2010/10/12	2011/11/17	22.50
25536	Macquarie Bank Ltd. – Tencent European Warrants Jun 2011	250,000,000	2010/10/12	2011/06/02	62.50
25537	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2011 A	150,000,000	2010/10/12	2011/06/29	37.50
25511	Merrill Lynch Int'l & Co. C.V. – Agricultural Bk Eu Wt Feb 11	100,000,000	2010/10/12	2011/02/22	15.10
25514	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Put Wts Mar 11	100,000,000	2010/10/12	2011/03/25	33.00
25512	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Mar 11C	100,000,000	2010/10/12	2011/03/29	16.60
25513	Merrill Lynch Int'l & Co. C.V. – Ch Telecom Eur Wt May 2011B	100,000,000	2010/10/12	2011/05/09	26.00
25510	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Feb 11D	100,000,000	2010/10/12	2011/02/25	15.00
25516	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Feb 11E	100,000,000	2010/10/12	2011/02/25	15.20
25515	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Apr 2011 A	100,000,000	2010/10/12	2011/04/29	33.50
25556	UBS AG – CNOOC European Warrants Jul 2011	100,000,000	2010/10/12	2011/07/25	25.00
25557	UBS AG – HKEx European Warrants Jul 2011 B	200,000,000	2010/10/12	2011/07/25	50.00
25522	UBS AG – Tencent Holdings European Warrants May 2011	100,000,000	2010/10/12	2011/05/30	25.00
23594*	Macquarie Bank Ltd. – BOCL European Warrants Nov 2010	200,000,000	2010/10/12	2010/11/29	29.00
24315*	J P Morgan SP BV – China Mob European Wts Feb 2011	100,000,000	2010/10/12	2011/02/22	22.70
23623*	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011A	200,000,000	2010/10/12	2011/02/23	43.40
25574	BOCI Asia Ltd. – Agricultural Bk European Warrants May 2011	100,000,000	2010/10/13	2011/05/04	20.10
25575	BOCI Asia Ltd. – CNBM European Warrants Aug 2011	100,000,000	2010/10/13	2011/08/01	25.00
25576	BOCI Asia Ltd. – CNOOC European Warrants Sep 2011	100,000,000	2010/10/13	2011/09/05	25.00
25577	BOCI Asia Ltd. – HKEx European Put Warrants Jun 2011	300,000,000	2010/10/13	2011/06/20	45.00
25588	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Dec 2012	100,000,000	2010/10/13	2012/12/03	25.00
25589	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Dec 2011	100,000,000	2010/10/13	2011/12/02	57.00
25587	BNP Paribas Arbit Issu B.V. – HSI European Wts Apr 2011	100,000,000	2010/10/13	2011/04/28	16.00
25591	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2011	100,000,000	2010/10/13	2011/04/28	16.00
25590	BNP Paribas Arbit Issu B.V. – Shimao Ppt European Wts Dec 11	100,000,000	2010/10/13	2011/12/02	25.00
25610	Credit Suisse AG – Agricultural Bank European Wts Mar 2011 B	200,000,000	2010/10/13	2011/03/14	30.00
25611	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 B	300,000,000	2010/10/13	2011/04/18	75.00
25612	Credit Suisse AG – BOCL European Warrants Mar 2011	200,000,000	2010/10/13	2011/03/21	30.00
25614	Credit Suisse AG – BOCL European Warrants Jan 2011 B	200,000,000	2010/10/13	2011/01/31	30.00
25616	Credit Suisse AG – China Life European Warrants Apr 2011 A	300,000,000	2010/10/13	2011/04/18	75.00
25615	Credit Suisse AG – China Life European Warrants May 2011 A	300,000,000	2010/10/13	2011/05/16	75.00
25617	Credit Suisse AG – China Life European Warrants Jun 2011 A	300,000,000	2010/10/13	2011/06/27	75.00
25618	Credit Suisse AG – HKEx European Warrants Apr 2011 C	300,000,000	2010/10/13	2011/04/18	75.00

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		發行單位	上市日期	到期日	
25619	Credit Suisse AG – HKEx European Warrants Jun 2011 C	300,000,000	2010/10/13	2011/06/27	75.00
25620	Credit Suisse AG – Hutchison European Warrants Apr 2011 E	300,000,000	2010/10/13	2011/04/26	75.00
25621	Credit Suisse AG – Tencent Holdings European Wts May 2011 B	300,000,000	2010/10/13	2011/05/30	75.00
25586	Citigroup Global Mkt H Inc. – CNOOC European Wts May 2011 B	120,000,000	2010/10/13	2011/05/23	30.00
25598	Deutsche Bank AG – BOCL European Put Warrants Apr 2011	80,000,000	2010/10/13	2011/04/18	20.00
25601	Deutsche Bank AG – China Mobile European Put Wts Dec 2011	200,000,000	2010/10/13	2011/12/21	30.00
25599	Deutsche Bank AG – CC Bank European Put Warrants Apr 2011	100,000,000	2010/10/13	2011/04/18	39.00
25600	Deutsche Bank AG – China Life European Put Wts Apr 2011	200,000,000	2010/10/13	2011/04/18	50.00
25603	Deutsche Bank AG – CNOOC European Warrants Oct 2011 A	150,000,000	2010/10/13	2011/10/03	37.50
25602	Deutsche Bank AG – Sinopec Corp European Warrants May 2011	150,000,000	2010/10/13	2011/05/23	40.50
25605	Deutsche Bank AG – A50 China European Put Wts Apr 2011 B	100,000,000	2010/10/13	2011/04/04	15.00
25622	Deutsche Bank AG – HSI European Warrants Apr 2011 B	300,000,000	2010/10/13	2011/04/28	75.00
25604	Deutsche Bank AG – ICBC European Put Warrants Apr 2011	100,000,000	2010/10/13	2011/04/18	32.00
25606	Deutsche Bank AG – PetroChina European Warrants Apr 2011 B	150,000,000	2010/10/13	2011/04/18	79.50
25585	Macquarie Bank Ltd. – HSI European Warrants Mar 2011 C	200,000,000	2010/10/13	2011/03/30	35.00
25568	Merrill Lynch Int'l & Co. CV – Agricultural Bk Eu Put W Mar11B	100,000,000	2010/10/13	2011/03/11	15.70
25567	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2011 C	100,000,000	2010/10/13	2011/05/03	104.00
25569	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Put Wts Mar 11	100,000,000	2010/10/13	2011/03/08	21.60
25570	Merrill Lynch Int'l & Co. C.V. – SHK Ppt Euro Put Wts Jun 11	100,000,000	2010/10/13	2011/06/24	15.40
25580	CC Rabobank B.A. – BOC HK European Warrants Jun 2011	200,000,000	2010/10/13	2011/06/29	50.00
25581	CC Rabobank B.A. – Dongfeng Motor European Warrants Jun 2011	120,000,000	2010/10/13	2011/06/29	30.00
25582	CC Rabobank B.A. – Jiangxi Copper European Warrants May2011A	100,000,000	2010/10/13	2011/05/11	25.00
25583	CC Rabobank B.A. – Nine Dragons European Warrants Aug 2011	100,000,000	2010/10/13	2011/08/08	25.00
25584	CC Rabobank B.A. – Tencent Holdings European Wts Jun 2011	200,000,000	2010/10/13	2011/06/29	50.00
25624	Standard Chartered Bank – PetroChina European Warrants May11	100,000,000	2010/10/13	2011/05/20	60.00
25592	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 C	200,000,000	2010/10/13	2011/05/04	50.00
25593	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Feb 2011 B	200,000,000	2010/10/13	2011/02/28	38.00
25571	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011C	200,000,000	2010/10/13	2011/03/23	30.00
25594	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11B	200,000,000	2010/10/13	2011/04/18	54.00
25572	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2011	200,000,000	2010/10/13	2011/11/30	50.00
25595	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Apr 11A	100,000,000	2010/10/13	2011/04/18	37.00
25596	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2011 B	200,000,000	2010/10/13	2011/12/12	50.00
25573	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2011 A	120,000,000	2010/10/13	2011/02/23	25.20
25597	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Mar 2011	100,000,000	2010/10/13	2011/03/21	64.00
25578	UBS AG – CC Bank European Warrants Mar 2011 A	100,000,000	2010/10/13	2011/03/28	25.00
25607	UBS AG – Cheung Kong European Warrants Feb 2011	100,000,000	2010/10/13	2011/02/24	32.00
25579	UBS AG – China Life European Warrants Feb 2011 B	200,000,000	2010/10/13	2011/02/21	30.00
25623	UBS AG – HKEx European Warrants Apr 2011	100,000,000	2010/10/13	2011/04/29	40.00
25613	UBS AG – HSI European Put Warrants Mar 2011 D	200,000,000	2010/10/13	2011/03/30	30.40
25608	UBS AG – HSI European Warrants Feb 2011 F	200,000,000	2010/10/13	2011/02/25	30.00
25609	UBS AG – HSI European Warrants Mar 2011 C	200,000,000	2010/10/13	2011/03/30	30.00
25660	Credit Suisse AG – BOCL European Warrants Jan 2011 C	300,000,000	2010/10/14	2011/01/31	45.00
25659	Credit Suisse AG – BankComm European Warrants Apr 2011	200,000,000	2010/10/14	2011/04/14	50.00
25657	Credit Suisse AG – CC Bank European Warrants Feb 2011 B	300,000,000	2010/10/14	2011/02/28	45.00

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25656	Credit Suisse AG – HSI European Warrants Mar 2011A	300,000,000	2010/10/14	2011/03/30	45.00
25661	Credit Suisse AG – HSI European Put Warrants Mar 2011 B	300,000,000	2010/10/14	2011/03/30	45.00
25662	Credit Suisse AG – HSI European Put Warrants Apr 2011 A	300,000,000	2010/10/14	2011/04/28	75.00
25658	Credit Suisse AG – ICBC European Warrants Mar 2011 A	300,000,000	2010/10/14	2011/03/01	45.00
10005	Deutsche Bank AG – DJIA European Warrants Jun 2011	100,000,000	2010/10/14	2011/06/20	25.00
10009	Deutsche Bank AG – DJIA European Put Warrants Jun 2011	100,000,000	2010/10/14	2011/06/20	31.00
10006	Deutsche Bank AG – Nikkei 225 Index European Wts Jun 2011	100,000,000	2010/10/14	2011/06/10	25.00
10010	Deutsche Bank AG – Nikkei 225 Index Euro Put Wts Jun 2011	100,000,000	2010/10/14	2011/06/10	28.00
25627	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011 B	200,000,000	2010/10/14	2011/06/27	50.00
25628	Goldman Sachs SP (Asia) – CNOOC European Warrants Oct 2011	200,000,000	2010/10/14	2011/10/31	50.00
25629	HK Bank – Agricultural Bank European Warrants Apr 2011 B	150,000,000	2010/10/14	2011/04/14	37.50
25630	HK Bank – CC Bank European Warrants Feb 2011	180,000,000	2010/10/14	2011/02/22	27.00
25631	HK Bank – CC Bank European Put Warrants Mar 2011	120,000,000	2010/10/14	2011/03/14	18.00
25634	HK Bank – China Life European Warrants Apr 2011 A	200,000,000	2010/10/14	2011/04/28	50.00
25632	HK Bank – Esprit European Warrants Apr 2011	120,000,000	2010/10/14	2011/04/14	30.00
25633	HK Bank – KECL European Warrants Aug 2011	120,000,000	2010/10/14	2011/08/29	30.00
25635	J P Morgan SP BV – Air China European Warrants Jun 2011	180,000,000	2010/10/14	2011/06/20	45.00
25636	J P Morgan SP BV – CM Bank European Warrants Jun 2011 A	180,000,000	2010/10/14	2011/06/29	45.00
25637	J P Morgan SP BV – CNBM European Warrants Jun 2011	100,000,000	2010/10/14	2011/06/20	25.00
25638	J P Morgan SP BV – CNOOC European Warrants Jun 2011 B	200,000,000	2010/10/14	2011/06/27	50.00
25639	J P Morgan SP BV – Dongfeng Motor European Warrants Jun 11C	150,000,000	2010/10/14	2011/06/17	37.50
25640	J P Morgan SP BV – HKEx European Warrants Sep 2011	300,000,000	2010/10/14	2011/09/29	75.00
25641	J P Morgan SP BV – Tencent European Warrants Mar 2011 B	200,000,000	2010/10/14	2011/03/31	30.00
25642	J P Morgan SP BV – Tencent European Warrants May 2011	200,000,000	2010/10/14	2011/05/24	50.00
25643	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2011 A	150,000,000	2010/10/14	2011/04/18	37.50
25653	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Apr 2011	68,000,000	2010/10/14	2011/04/15	26.52
25654	KBC Fin Products Int'l Ltd. – CPIC European Wts May 2011	108,000,000	2010/10/14	2011/05/18	27.00
25655	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Apr 2011	80,000,000	2010/10/14	2011/04/15	64.00
25625	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2011	200,000,000	2010/10/14	2011/07/05	50.00
25626	Macquarie Bank Ltd. – CNOOC European Warrants Oct 2011	200,000,000	2010/10/14	2011/10/04	50.00
25645	UBS AG – Agricultural Bank European Warrants Apr 2011 A	200,000,000	2010/10/14	2011/04/18	50.00
25648	UBS AG – Agricultural Bank European Put Warrants Mar 2011	100,000,000	2010/10/14	2011/03/14	15.00
25644	UBS AG – Agricultural Bank European Warrants Mar 2011 C	200,000,000	2010/10/14	2011/03/14	30.00
25650	UBS AG – China Tel European Warrants May 2011	100,000,000	2010/10/14	2011/05/12	17.00
25647	UBS AG – Sinopec Corp European Warrants Mar 2011 B	100,000,000	2010/10/14	2011/03/31	26.00
25649	UBS AG – China Shenhua European Warrants Mar 2011	100,000,000	2010/10/14	2011/03/28	20.00
25651	UBS AG – HKEx European Warrants May 2011 B	300,000,000	2010/10/14	2011/05/30	75.00
25646	UBS AG – ICBC European Warrants Feb 2011 A	200,000,000	2010/10/14	2011/02/28	44.00
25652	UBS AG – Jiangxi Copper European Warrants Apr 2011	100,000,000	2010/10/14	2011/04/26	15.00
18658*	Macquarie Bank Ltd. – Cheung Kong European Warrants Nov 2010	300,000,000	2010/10/14	2010/11/29	20.40
24451*	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jan 2011 B	180,000,000	2010/10/14	2011/01/21	38.16
23394*	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Dec 10E	300,000,000	2010/10/14	2010/12/30	11.40
20306*	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2010 A	200,000,000	2010/10/14	2010/12/06	15.00
25708	Credit Suisse AG – HKEx European Put Warrants Apr 2011 E	300,000,000	2010/10/15	2011/04/26	75.00

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25707	Credit Suisse AG – Zijin Mining European Warrants Dec 2011	300,000,000	2010/10/15	2011/12/23	75.00
25700	Deutsche Bank AG – HSI European Put Warrants Apr 2011 D	300,000,000	2010/10/15	2011/04/28	81.00
25701	Deutsche Bank AG – HSI European Put Warrants Apr 2011 E	300,000,000	2010/10/15	2011/04/28	96.00
25698	Goldman Sachs SP (Asia) – BOC HK European Warrants Jun 2011	200,000,000	2010/10/15	2011/06/20	50.00
25696	Goldman Sachs SP (Asia) – HSI European Warrants Sep 2011	200,000,000	2010/10/15	2011/09/29	52.20
25699	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2011 B	200,000,000	2010/10/15	2011/04/18	98.00
25684	HK Bank – CNOOC European Warrants Jul 2011 B	150,000,000	2010/10/15	2011/07/29	37.50
25685	HK Bank – CNOOC European Warrants Oct 2011	150,000,000	2010/10/15	2011/10/28	37.50
25687	HK Bank – HSI European Put Warrants Mar 2011 J	250,000,000	2010/10/15	2011/03/30	42.50
25686	HK Bank – HWL European Warrants Apr 2011 A	150,000,000	2010/10/15	2011/04/15	37.50
25706	J P Morgan SP BV – Hutchison European Warrants Apr 2011	100,000,000	2010/10/15	2011/04/18	25.00
25673	J P Morgan SP BV – Hutchison European Put Warrants Apr 2011A	100,000,000	2010/10/15	2011/04/18	25.00
25709	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 A	158,000,000	2010/10/15	2011/04/08	23.70
25710	KBC Fin Products Int'l Ltd. – BYD European Warrants May 11B	88,000,000	2010/10/15	2011/05/05	39.60
25711	KBC Fin Products Int'l Ltd. – China Coal Euro Wts Sep 2011	88,000,000	2010/10/15	2011/09/01	22.00
25712	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 C	188,000,000	2010/10/15	2011/04/15	47.00
25713	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts Apr 2011	88,000,000	2010/10/15	2011/04/15	23.76
25714	KBC Fin Products Int'l Ltd. –Zijin Mining European Wt Jun 11	108,000,000	2010/10/15	2011/06/10	16.20
25715	KBC Fin Products Int'l Ltd. – Zijin Mining Eu Put Wt Sep 11	88,000,000	2010/10/15	2011/09/01	13.20
25694	Macquarie Bank Ltd. – Cheung Kong European Put Wts May 2011	200,000,000	2010/10/15	2011/05/17	50.60
25663	Macquarie Bank Ltd. – HKEx European Warrants Oct 2011	200,000,000	2010/10/15	2011/10/04	50.00
25664	Macquarie Bank Ltd. – HKEx European Put Warrants May 2011 A	200,000,000	2010/10/15	2011/05/04	52.20
25695	Macquarie Bank Ltd. – Hutchison European Put Wts May 2011	128,000,000	2010/10/15	2011/05/13	32.38
25702	Macquarie Bank Ltd. – Hutchison European Warrants Jun 2011 B	200,000,000	2010/10/15	2011/06/02	50.40
25693	Macquarie Bank Ltd. – Renhe Comm European Warrants Jul 2011	150,000,000	2010/10/15	2011/07/29	37.50
25679	Nomura Int'l plc – China Unicom European Warrants Aug 2011	100,000,000	2010/10/15	2011/08/31	15.00
25680	Nomura Int'l plc – China Unicom European Warrants Nov 2011	100,000,000	2010/10/15	2011/11/30	15.00
25674	Nomura Int'l plc – Cheung Kong European Warrants May 2012	100,000,000	2010/10/15	2012/05/31	15.00
25683	Nomura Int'l plc – A50 China European Warrants Jan 2012	100,000,000	2010/10/15	2012/01/10	16.00
25678	Nomura Int'l plc – HKEx European Warrants Nov 2011	100,000,000	2010/10/15	2011/11/30	20.00
25675	Nomura Int'l plc – Hutchison European Warrants Jun 2011	100,000,000	2010/10/15	2011/06/30	25.00
25677	Nomura Int'l plc – JIANG European Warrants Apr 2011	100,000,000	2010/10/15	2011/04/29	25.00
25681	Nomura Int'l plc – PetroChina European Warrants Nov 2011	100,000,000	2010/10/15	2011/11/28	15.00
25682	Nomura Int'l plc – Sinopharm European Put Warrants Jun 2011	100,000,000	2010/10/15	2011/06/30	35.90
25676	Nomura Int'l plc – SHK P European Warrants Oct 2011 B	100,000,000	2010/10/15	2011/10/31	16.00
25688	CC Rabobank B.A. – Agricultural Bk European Warrants Jun 11	80,000,000	2010/10/15	2011/06/29	23.20
25690	CC Rabobank B.A. – CNOOC European Warrants Jul 2011 B	120,000,000	2010/10/15	2011/07/28	30.00
25689	CC Rabobank B.A. – Sinopec Corp European Warrants May 2011	70,000,000	2010/10/15	2011/05/30	28.00
25692	CC Rabobank B.A. – HSBC European Warrants May 2011 B	80,000,000	2010/10/15	2011/05/30	24.00
25691	CC Rabobank B.A. – Hutchison European Warrants May 2011 A	70,000,000	2010/10/15	2011/05/13	31.50
25665	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011 B	200,000,000	2010/10/15	2011/04/04	36.00
25667	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Feb 2011 B	120,000,000	2010/10/15	2011/02/07	22.80
25668	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011 B	120,000,000	2010/10/15	2011/03/28	26.40
25666	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Jun 2012	300,000,000	2010/10/15	2012/06/06	48.00

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		發行單位	上市日期	到期日	
25670	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2011	300,000,000	2010/10/15	2011/10/03	75.00
25669	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011 B	300,000,000	2010/10/15	2011/08/03	60.00
25671	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2011A	100,000,000	2010/10/15	2011/04/18	53.00
25672	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2011A	300,000,000	2010/10/15	2011/12/19	54.00
25705	UBS AG – Ch LongYuan European Warrants Dec 2011	100,000,000	2010/10/15	2011/12/28	15.00
25703	UBS AG – CC Bank European Warrants Mar 2011 B	100,000,000	2010/10/15	2011/03/28	15.00
25704	UBS AG – ICBC European Warrants Feb 2011 B	100,000,000	2010/10/15	2011/02/14	15.00
23898#	Credit Suisse AG – SHK Ppt European Put Warrants Dec 2010	420,000,000	2010/10/15	2010/12/20	10.08
24268#	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Feb 2011 F	148,000,000	2010/10/15	2011/02/18	79.92
24210#	CC Rabobank B.A. – MTRC European Warrants Feb 2011	125,000,000	2010/10/15	2011/02/25	17.13
23621#	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jan 2011A	200,000,000	2010/10/15	2011/01/26	30.80
19957#	UBS AG – HKEx European Warrants Dec 2010 B	200,000,000	2010/10/15	2010/12/06	14.80
25738	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Jun 11	100,000,000	2010/10/18	2011/06/01	49.00
25739	BNP Paribas Arbit Issu B.V. -SHK Ppt European Warrants Dec11	100,000,000	2010/10/18	2011/12/21	16.00
25766	Credit Suisse AG – CNOOC European Put Warrants Jun 2011	300,000,000	2010/10/18	2011/06/13	75.00
25759	Credit Suisse AG – A50 China European Warrants Apr 2011 B	300,000,000	2010/10/18	2011/04/04	45.00
25760	Credit Suisse AG – A50 China European Warrants Jun 2011 C	300,000,000	2010/10/18	2011/06/27	45.00
25761	Credit Suisse AG – A50 China European Warrants Aug 2011	300,000,000	2010/10/18	2011/08/29	45.00
25753	Credit Suisse AG – HKEx European Warrants May 2011 A	300,000,000	2010/10/18	2011/05/16	75.00
25764	Credit Suisse AG – HKEx European Put Warrants May 2011	300,000,000	2010/10/18	2011/05/03	75.00
25754	Credit Suisse AG – HKEx European Warrants Jul 2011 B	300,000,000	2010/10/18	2011/07/25	75.00
25758	Credit Suisse AG – ICBC European Warrants Feb 2011	300,000,000	2010/10/18	2011/02/21	45.00
25762	Credit Suisse AG – SHK Ppt European Put Warrants Jun 2011 A	300,000,000	2010/10/18	2011/06/13	45.00
25763	Credit Suisse AG – SHK Ppt European Put Warrants Jun 2011 B	300,000,000	2010/10/18	2011/06/27	45.00
25755	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 B	300,000,000	2010/10/18	2011/04/04	45.00
25757	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 C	300,000,000	2010/10/18	2011/06/13	75.00
25765	Credit Suisse AG – Tencent Holdings European Put Wts Jun 11B	300,000,000	2010/10/18	2011/06/13	75.00
25742	Deutsche Bank AG – China Mobile European Warrants Apr 2011 A	100,000,000	2010/10/18	2011/04/18	44.00
25740	Deutsche Bank AG – Cheung Kong European Warrants Apr 2011	100,000,000	2010/10/18	2011/04/18	68.00
25741	Deutsche Bank AG – China Life European Warrants Apr 2011 B	300,000,000	2010/10/18	2011/04/18	75.00
25743	Deutsche Bank AG – CNOOC European Warrants Oct 2011 B	200,000,000	2010/10/18	2011/10/31	50.00
25746	Deutsche Bank AG – A50 China European Warrants Apr 2012	200,000,000	2010/10/18	2012/04/30	30.00
25744	Deutsche Bank AG – HKEx European Warrants Sep 2011	200,000,000	2010/10/18	2011/09/26	50.00
25745	Deutsche Bank AG – Hutchison European Warrants Apr 2011 B	150,000,000	2010/10/18	2011/04/26	40.50
25747	Deutsche Bank AG – ICBC European Warrants Apr 2011 B	100,000,000	2010/10/18	2011/04/26	25.00
25748	Deutsche Bank AG – SHK Ppt European Warrants Dec 2011	150,000,000	2010/10/18	2011/12/28	22.50
25749	Deutsche Bank AG – Tencent Holdings European Wts May 11 A	200,000,000	2010/10/18	2011/05/23	50.00
25733	Goldman Sachs SP (Asia) -Agricultural Bk European Wts Apr11B	200,000,000	2010/10/18	2011/04/18	50.00
25735	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2011 B	200,000,000	2010/10/18	2011/12/12	56.00
25732	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2011 H	200,000,000	2010/10/18	2011/02/25	30.00
25734	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2011 C	200,000,000	2010/10/18	2011/04/18	54.00
25718	HK Bank – C Transmission European Warrants Jul 2011	80,000,000	2010/10/18	2011/07/22	20.00
25717	HK Bank – Cheung Kong European Warrants Apr 2011	150,000,000	2010/10/18	2011/04/18	37.50
25716	HK Bank – Cheung Kong European Warrants Nov 2012	150,000,000	2010/10/18	2012/11/19	37.50

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		發行單位	上市日期	到期日	
25719	HK Bank – Ch LongYuan European Warrants Dec 2011 B	80,000,000	2010/10/18	2011/12/28	20.00
25720	HK Bank – China Shenhua European Warrants Apr 2011 A	150,000,000	2010/10/18	2011/04/18	37.50
25721	HK Bank – China Shenhua European Warrants Apr 2011 B	150,000,000	2010/10/18	2011/04/18	37.50
25722	HK Bank – Fushan Energy European Warrants Jun 2011	100,000,000	2010/10/18	2011/06/20	25.00
25723	HK Bank – HWL European Warrants Apr 2011 B	120,000,000	2010/10/18	2011/04/18	30.00
25726	HK Bank – Zijin Mining European Warrants Aug 2011	150,000,000	2010/10/18	2011/08/30	37.50
25724	HK Bank – Zijin Mining European Warrants Sep 2011	150,000,000	2010/10/18	2011/09/28	37.50
25771	KBC Fin Products Int'l Ltd. – CMB European Warrants Jun 2011	108,000,000	2010/10/18	2011/06/03	27.00
25769	KBC Fin Products Int'l Ltd. – CNOOC European Wts Jul 2011	108,000,000	2010/10/18	2011/07/04	27.00
25770	KBC Fin Products Int'l Ltd. – HKEx European Warrants May 11	108,000,000	2010/10/18	2011/05/05	27.00
25737	Macquarie Bank Ltd. – China Coal European Warrants Jun 2011	250,000,000	2010/10/18	2011/06/30	62.75
25736	Macquarie Bank Ltd. – Cheung Kong European Warrants Oct 2012	400,000,000	2010/10/18	2012/10/04	100.00
25725	Macquarie Bank Ltd. – A50 China European Put Wts Sep 2011	200,000,000	2010/10/18	2011/09/29	50.20
25727	CC Rabobank B.A. – Datang European Warrants May 2011	75,000,000	2010/10/18	2011/05/11	22.50
25728	CC Rabobank B.A. – Huaneng Power European Warrants May 2011	75,000,000	2010/10/18	2011/05/30	18.75
25729	CC Rabobank B.A. – MCC European Warrants Mar 2011	75,000,000	2010/10/18	2011/03/23	22.50
25730	CC Rabobank B.A. – Zijin Mining European Warrants Nov 2011	100,000,000	2010/10/18	2011/11/29	25.00
25768	Standard Chartered Bank – CC Bank European Warrants Jun 11A	100,000,000	2010/10/18	2011/06/30	32.50
25767	Standard Chartered Bank – HKEx European Warrants Dec 2011	100,000,000	2010/10/18	2011/12/02	25.00
25731	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2011 C	200,000,000	2010/10/18	2011/04/18	52.00
25751	UBS AG – HSI European Warrants Apr 2011 C	200,000,000	2010/10/18	2011/04/28	50.00
25752	UBS AG – HSI European Warrants May 2011 A	200,000,000	2010/10/18	2011/05/30	50.00
25756	UBS AG – HSI European Put Warrants Apr 2011 A	200,000,000	2010/10/18	2011/04/28	50.00
25750	UBS AG – Zijin Mining European Warrants Oct 2011	100,000,000	2010/10/18	2011/10/24	25.00
25183*	Credit Suisse AG – Tencent Holdings European Put Wts Feb2011	300,000,000	2010/10/18	2011/02/21	17.70
23348*	Deutsche Bank AG – China Life European Warrants Jan 2011 A	300,000,000	2010/10/18	2011/01/03	35.40
25451*	UBS AG – Agricultural Bank European Warrants Feb 2011	200,000,000	2010/10/18	2011/02/28	26.60
25775	Barclays Bank plc – China Life European Warrants May 2011	120,000,000	2010/10/19	2011/05/30	31.20
25772	Barclays Bank plc – CNOOC European Warrants Oct 2011	120,000,000	2010/10/19	2011/10/03	30.00
25774	Barclays Bank plc – CPIC European Warrants Jun 2011	100,000,000	2010/10/19	2011/06/20	25.00
25776	Barclays Bank plc – Hutchison European Put Warrants Apr 2011	100,000,000	2010/10/19	2011/04/26	26.00
25773	Barclays Bank plc – Ping An European Warrants Mar 2012	150,000,000	2010/10/19	2012/03/05	22.50
10008	BNP Paribas Arbit Issu B.V. – Gold European Warrants Dec 11A	200,000,000	2010/10/19	2011/12/06	78.00
10012	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Dec 2011	200,000,000	2010/10/19	2011/12/06	52.00
10011	BNP Paribas Arbit Issu B.V. – Gold European Warrants May 11B	200,000,000	2010/10/19	2011/05/06	50.00
25799	BNP Paribas Arbit Issu B.V. – HSBC European Wts Mar 2011	100,000,000	2010/10/19	2011/03/07	23.00
10013	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Nov11B	200,000,000	2010/10/19	2011/11/16	50.00
25788	Credit Suisse AG – HSBC European Wts Feb 2011	200,000,000	2010/10/19	2011/02/14	30.00
25789	Credit Suisse AG – HSBC European Put Warrants Apr 2011	200,000,000	2010/10/19	2011/04/04	30.00
25786	Credit Suisse AG – MTRC European Warrants May 2011	200,000,000	2010/10/19	2011/05/16	50.00
25787	Credit Suisse AG – New World Dev European Warrants Feb 2011	200,000,000	2010/10/19	2011/02/07	30.00
25801	Credit Suisse AG – Ping An European Warrants Apr 2011	300,000,000	2010/10/19	2011/04/26	75.00
25782	Citigroup Global Mkt H Inc. – China Coal European Wts Jul 11	80,000,000	2010/10/19	2011/07/29	20.00
25800	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts May 2011 C	120,000,000	2010/10/19	2011/05/30	30.00

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25783	Citigroup Global Mkt H Inc.-Tencent European Warrants Jun11A	120,000,000	2010/10/19	2011/06/28	30.00
25795	Deutsche Bank AG – Agricultural Bk European Warrants Apr 11	100,000,000	2010/10/19	2011/04/04	15.00
25802	Deutsche Bank AG – Tencent Holdings European Warrants Jul11A	200,000,000	2010/10/19	2011/07/25	36.00
25796	Deutsche Bank AG – Wynn Macau European Warrants Apr 2011	100,000,000	2010/10/19	2011/04/26	25.00
25797	Deutsche Bank AG – Yanzhou Coal European Warrants Jun 2011 A	100,000,000	2010/10/19	2011/06/13	25.00
25798	Deutsche Bank AG – Zijin Mining European Warrants Apr 2011	50,000,000	2010/10/19	2011/04/26	32.50
25792	J P Morgan SP BV – A50 China European Warrants Dec 2011	200,000,000	2010/10/19	2011/12/28	50.00
25790	J P Morgan SP BV – HKEx European Put Wts Jun 2011 D	200,000,000	2010/10/19	2011/06/27	50.00
25791	J P Morgan SP BV – Hutchison European Put Warrants Apr 2011B	100,000,000	2010/10/19	2011/04/19	37.00
25793	J P Morgan SP BV – Zijin Mining European Warrants Dec 2011 A	200,000,000	2010/10/19	2011/12/19	50.00
25803	KBC Fin Products Int'l Ltd. – BEA European Warrants Apr 2011	58,000,000	2010/10/19	2011/04/19	14.50
25804	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Apr 11B	88,000,000	2010/10/19	2011/04/19	22.00
25805	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts Jun 2011	68,000,000	2010/10/19	2011/06/08	23.12
25809	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Jun11	58,000,000	2010/10/19	2011/06/15	14.50
25810	KBC Fin Products Int'l Ltd. – New World Euro Put Wt Aug 2011	58,000,000	2010/10/19	2011/08/08	14.50
25806	KBC Fin Products Int'l Ltd. – New World European Wts Jun 11B	58,000,000	2010/10/19	2011/06/15	14.50
25807	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jun 11C	108,000,000	2010/10/19	2011/06/08	27.00
25808	KBC Fin Products Int'l Ltd. -Zijin Mining European Wt Aug 11	88,000,000	2010/10/19	2011/08/26	22.00
25784	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jul 2011	150,000,000	2010/10/19	2011/07/04	37.65
25785	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 11B	250,000,000	2010/10/19	2011/06/02	63.25
25778	UBS AG – Cheung Kong European Warrants Jun 2012	100,000,000	2010/10/19	2012/06/04	15.00
25779	UBS AG – Sinopec Corp European Warrants Apr 2011 A	100,000,000	2010/10/19	2011/04/04	30.00
25777	UBS AG – A50 China European Warrants Jun 2011 B	200,000,000	2010/10/19	2011/06/23	30.00
25780	UBS AG – HKEx European Warrants Jun 2011 B	300,000,000	2010/10/19	2011/06/14	75.00
25781	UBS AG – HKEx European Put Warrants May 2011 D	200,000,000	2010/10/19	2011/05/23	50.00
25794	UBS AG – Henderson Land European Warrants May 2011	100,000,000	2010/10/19	2011/05/30	25.00
25017*	Credit Suisse AG – Agricultural Bank European Wts Jan 2011 E	300,000,000	2010/10/19	2011/01/10	36.90
23907*	Deutsche Bank AG – HSBC European Warrants Dec 2010 C	400,000,000	2010/10/19	2010/12/06	36.40
24021*	Deutsche Bank AG – ICBC European Warrants Jan 2011	400,000,000	2010/10/19	2011/01/04	87.20
21684*	HK Bank – HSI European Warrants Dec 2010 A	300,000,000	2010/10/19	2010/12/30	33.00
21685*	HK Bank – HSI European Warrants Dec 2010 B	300,000,000	2010/10/19	2010/12/30	20.40
25811	Barclays Bank plc – HSI European Warrants Apr 2011 F	150,000,000	2010/10/20	2011/04/28	37.50
25812	Barclays Bank plc – HSI European Warrants Apr 2011 G	150,000,000	2010/10/20	2011/04/28	37.50
25816	Barclays Bank plc – HSI European Put Warrants Apr 2011 H	150,000,000	2010/10/20	2011/04/28	37.50
25817	Barclays Bank plc – HSI European Put Warrants Apr 2011 I	150,000,000	2010/10/20	2011/04/28	37.50
25818	Barclays Bank plc – HSI European Put Warrants Apr 2011 J	150,000,000	2010/10/20	2011/04/28	39.00
25813	BOCI Asia Ltd. – Cheung Kong European Warrants Jun 2012	300,000,000	2010/10/20	2012/06/04	46.20
25814	BOCI Asia Ltd. – China Life European Warrants Mar 2011	100,000,000	2010/10/20	2011/03/21	15.00
25815	BOCI Asia Ltd. – Evergrande European Warrants May 2011	100,000,000	2010/10/20	2011/05/31	26.50
25819	BOCI Asia Ltd. – Tracker Fund European Warrants Aug 2011	100,000,000	2010/10/20	2011/08/01	25.00
25820	BOCI Asia Ltd. – Yanzhou Coal European Warrants Aug 2011	100,000,000	2010/10/20	2011/08/01	25.00
25847	Credit Suisse AG – BOC HK European Warrants Apr 2011	200,000,000	2010/10/20	2011/04/11	30.00
25875	Credit Suisse AG – BOCL European Warrants Feb 2011 A	300,000,000	2010/10/20	2011/02/21	45.00
25877	Credit Suisse AG – CC Bank European Warrants Mar 2011 C	300,000,000	2010/10/20	2011/03/28	45.00

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25876	Credit Suisse AG – CC Bank European Warrants Feb 2011 C	300,000,000	2010/10/20	2011/02/14	45.00
25878	Credit Suisse AG – CC Bank European Put Warrants Mar 2011 A	300,000,000	2010/10/20	2011/03/28	45.00
25879	Credit Suisse AG – CC Bank European Put Warrants Mar 2011 B	300,000,000	2010/10/20	2011/03/28	45.00
25880	Credit Suisse AG – China Life European Warrants Jan 2011 B	300,000,000	2010/10/20	2011/01/24	45.00
25881	Credit Suisse AG – China Life European Warrants Feb 2011 C	300,000,000	2010/10/20	2011/02/28	45.00
25882	Credit Suisse AG – China Life European Put Warrants Jan 2011	300,000,000	2010/10/20	2011/01/21	45.00
25886	Credit Suisse AG – CM Bank European Warrants Feb 2011	300,000,000	2010/10/20	2011/02/28	45.00
25891	Credit Suisse AG – CM Bank European Put Warrants Feb 2011	300,000,000	2010/10/20	2011/02/28	45.00
25887	Credit Suisse AG – CPIC European Warrants Jan 2011	300,000,000	2010/10/20	2011/01/24	45.00
25888	Credit Suisse AG – ICBC European Warrants Jan 2011 C	300,000,000	2010/10/20	2011/01/20	45.00
25889	Credit Suisse AG – ICBC European Warrants Jan 2011 D	300,000,000	2010/10/20	2011/01/31	45.00
25890	Credit Suisse AG – Tencent Holdings European Wts May 2011 C	300,000,000	2010/10/20	2011/05/30	75.00
25892	Credit Suisse AG – Tencent Holdings European Put Wts Apr 11	300,000,000	2010/10/20	2011/04/26	75.00
25853	Deutsche Bank AG – China Coal European Warrants Jun 2011 A	100,000,000	2010/10/20	2011/06/21	18.00
25854	Deutsche Bank AG – Dongfeng Motor European Warrants Apr 11C	100,000,000	2010/10/20	2011/04/19	16.00
25855	Deutsche Bank AG – HKEx European Warrants Oct 2011 B	200,000,000	2010/10/20	2011/10/17	50.00
25856	Deutsche Bank AG – Tencent Holdings European Put Wt Jun 2011	100,000,000	2010/10/20	2011/06/20	19.00
25866	Goldman Sachs SP (Asia) -Tencent Holdings European Wt Aug11B	200,000,000	2010/10/20	2011/08/08	50.00
25821	HK Bank – China Life European Warrants Apr 2011 B	150,000,000	2010/10/20	2011/04/20	37.50
25846	HK Bank – A50 China European Warrants Dec 2011	150,000,000	2010/10/20	2011/12/28	37.50
25822	HK Bank – Yanzhou Coal European Warrants Jun 2011	120,000,000	2010/10/20	2011/06/08	30.00
25867	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 B	158,000,000	2010/10/20	2011/04/01	23.70
25868	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2011 E	188,000,000	2010/10/20	2011/04/01	41.36
25869	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2011 F	188,000,000	2010/10/20	2011/04/20	47.00
25871	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Apr 2011 A	128,000,000	2010/10/20	2011/04/20	47.36
25872	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Apr 2011 B	208,000,000	2010/10/20	2011/04/20	52.00
25873	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 A	238,000,000	2010/10/20	2011/09/01	35.70
25874	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Jul11A	88,000,000	2010/10/20	2011/07/11	22.00
25870	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011 B	168,000,000	2010/10/20	2011/04/20	42.00
25857	Macquarie Bank Ltd. – China Agri European Warrants Oct 2011	250,000,000	2010/10/20	2011/10/17	62.50
25858	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2011 A	350,000,000	2010/10/20	2011/06/03	93.10
25864	Macquarie Bank Ltd. – CC Bank European Put Warrants Apr 2011	350,000,000	2010/10/20	2011/04/20	95.55
25859	Macquarie Bank Ltd. – CNBM European Warrants Jun 2011 B	188,000,000	2010/10/20	2011/06/07	47.19
25860	Macquarie Bank Ltd. – Sinopec Corp European Wts Apr 2011	350,000,000	2010/10/20	2011/04/20	89.60
25862	Macquarie Bank Ltd. – Lenovo European Warrants Apr 2011	100,000,000	2010/10/20	2011/04/28	26.10
25863	Macquarie Bank Ltd. – Ping An European Warrants Jun 2011	200,000,000	2010/10/20	2011/06/08	109.80
25861	Macquarie Bank Ltd. – Tencent European Warrants Jul 2011 B	250,000,000	2010/10/20	2011/07/05	62.50
25865	Macquarie Bank Ltd. – Tencent European Put Warrants Jun 11C	250,000,000	2010/10/20	2011/06/02	72.00
25824	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2011 A	120,000,000	2010/10/20	2011/04/20	82.80
25825	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2011 B	120,000,000	2010/10/20	2011/04/20	58.80
25826	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 A	120,000,000	2010/10/20	2011/05/04	43.20
25827	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 B	120,000,000	2010/10/20	2011/05/04	30.00
25823	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Apr 2011A	50,000,000	2010/10/20	2011/04/20	25.00
25828	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011C	300,000,000	2010/10/20	2011/02/28	60.00

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		發行單位	上市日期	到期日	
25829	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Mar 2011D	300,000,000	2010/10/20	2011/03/02	54.00
25848	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jun 11	120,000,000	2010/10/20	2011/06/29	60.00
25830	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11C	200,000,000	2010/10/20	2011/04/27	66.00
25831	SGA Societe Generale Acceptance N.V. -CP&CC Eur Wt May 2011A	100,000,000	2010/10/20	2011/05/30	33.00
25832	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jun2011A	100,000,000	2010/10/20	2011/06/27	27.00
25836	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 C	120,000,000	2010/10/20	2011/03/14	36.00
25837	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Mar 2011 D	120,000,000	2010/10/20	2011/03/28	33.60
25838	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2011 A	120,000,000	2010/10/20	2011/04/06	24.00
25839	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2011 B	120,000,000	2010/10/20	2011/04/27	32.40
25834	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 G	300,000,000	2010/10/20	2011/03/30	54.00
25835	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Mar 11H	300,000,000	2010/10/20	2011/03/30	45.00
25833	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2011 A	120,000,000	2010/10/20	2011/04/20	79.20
25840	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2011 B	120,000,000	2010/10/20	2011/04/20	56.40
25841	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2011 A	120,000,000	2010/10/20	2011/05/04	42.00
25842	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2011 B	120,000,000	2010/10/20	2011/05/04	30.00
25849	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2011B	100,000,000	2010/10/20	2011/04/27	60.00
25850	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Apr 2011C	100,000,000	2010/10/20	2011/04/27	40.00
25843	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Apr 2011A	50,000,000	2010/10/20	2011/04/27	30.00
25851	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Jun 11	300,000,000	2010/10/20	2011/06/27	45.00
25852	SGA Societe Generale Acceptance N.V. – SHKP Eu Put Wt Dec 11	300,000,000	2010/10/20	2011/12/21	54.00
25844	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 11B	300,000,000	2010/10/20	2011/08/24	48.00
25845	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Dec 2011B	300,000,000	2010/10/20	2011/12/19	45.00
25883	UBS AG – China Life European Warrants Feb 2011 C	200,000,000	2010/10/20	2011/02/16	30.00
25884	UBS AG – Hutchison European Warrants Apr 2011 C	100,000,000	2010/10/20	2011/04/21	25.00
25885	UBS AG – Tencent Holdings European Warrants Apr 2011	100,000,000	2010/10/20	2011/04/21	25.00
24204 [#]	CC Rabobank B.A. – China Life European Warrants Feb 2011 A	250,000,000	2010/10/20	2011/02/25	49.00
24211 [#]	CC Rabobank B.A. – Tencent Holdings European Put Wts Feb 11	250,000,000	2010/10/20	2011/02/25	15.75
20566 [#]	RB of Scotland N.V. – Ch Comm Cons European Wts Jan 2011	200,000,000	2010/10/20	2011/01/10	10.40
25893	Barclays Bank plc – BOCL European Warrants Jul 2011	100,000,000	2010/10/21	2011/07/11	25.00
25902	Barclays Bank plc – BOCL European Put Warrants Jul 2011	100,000,000	2010/10/21	2011/07/11	26.00
25897	Barclays Bank plc – Ch LongYuan European Warrants Dec 2011	100,000,000	2010/10/21	2011/12/28	25.00
25896	Barclays Bank plc – China Overseas European Wts Jun 2011	100,000,000	2010/10/21	2011/06/27	25.00
25901	Barclays Bank plc – CNBM European Warrants Jun 2011 B	100,000,000	2010/10/21	2011/06/20	25.00
25895	Barclays Bank plc – Sinopec Corp European Wts May 2011	100,000,000	2010/10/21	2011/05/23	26.00
25894	Barclays Bank plc – Jiangxi Copper European Warrants Jul 11	100,000,000	2010/10/21	2011/07/18	25.00
25899	Barclays Bank plc – PICC P&C European Warrants Feb 2012	100,000,000	2010/10/21	2012/02/06	25.00
25898	Barclays Bank plc – Yanzhou Coal European Warrants Jul 2011	100,000,000	2010/10/21	2011/07/18	25.00
25900	Barclays Bank plc – Zijin Mining European Warrants Nov 2011	100,000,000	2010/10/21	2011/11/28	25.00
25916	BOCI Asia Ltd. – HSI European Warrants Apr 2011	100,000,000	2010/10/21	2011/04/28	25.00
25920	BOCI Asia Ltd. – HSI European Put Warrants Apr 2011	100,000,000	2010/10/21	2011/04/28	25.00
25917	BOCI Asia Ltd. – Hutchison European Warrants May 2011	100,000,000	2010/10/21	2011/05/30	25.00
25918	BOCI Asia Ltd. – Ping An European Warrants Nov 2011	300,000,000	2010/10/21	2011/11/04	45.00
25919	BOCI Asia Ltd. – Tencent Holdings European Warrants Jul 2011	300,000,000	2010/10/21	2011/07/04	75.00
25960	BNP Paribas Arbit Issu B.V. – BYD European Warrants Dec 2013	100,000,000	2010/10/21	2013/12/02	25.00

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		發行單位	上市日期	到期日	
25961	BNP Paribas Arbit Issu B.V. – Ch LongYuan Euro Wts Dec 2012	100,000,000	2010/10/21	2012/12/03	25.00
25962	BNP Paribas Arbit Issu B.V. – CRCC European Wts Aug 2012	100,000,000	2010/10/21	2012/08/31	25.00
25963	BNP Paribas Arbit Issu B.V.-Dongfeng Motor European Wt Jul11	100,000,000	2010/10/21	2011/07/04	31.00
25906	Bank of China (HK) Ltd. – China Life European Wts Apr 2011 A	150,000,000	2010/10/21	2011/04/29	59.40
25907	Bank of China (HK) Ltd. – China Life European Wts Apr 2011 B	31,000,000	2010/10/21	2011/04/29	58.96
25908	Bank of China (HK) Ltd. – HSBC European Warrants Apr 2011 A	108,000,000	2010/10/21	2011/04/29	59.62
25909	Bank of China (HK) Ltd. – HSBC European Warrants Apr 2011 B	227,000,000	2010/10/21	2011/04/29	59.93
25946	Credit Suisse AG – China EB Ltd European Warrants Feb 2011	200,000,000	2010/10/21	2011/02/21	30.00
25948	Credit Suisse AG – China Tel European Warrants Jan 2011	200,000,000	2010/10/21	2011/01/31	30.00
25949	Credit Suisse AG – China Tel European Warrants Mar 2011	200,000,000	2010/10/21	2011/03/14	50.00
25971	Credit Suisse AG – China COSCO European Warrants Jul 2011 A	300,000,000	2010/10/21	2011/07/18	45.00
25951	Credit Suisse AG – China Mobile European Warrants Feb 2011 B	300,000,000	2010/10/21	2011/02/14	45.00
25947	Credit Suisse AG – Sinopec Corp European Warrants Apr 2011 A	300,000,000	2010/10/21	2011/04/26	75.00
25970	Credit Suisse AG – CRCC European Warrants Jun 2011	200,000,000	2010/10/21	2011/06/27	30.00
25966	Credit Suisse AG – Esprit European Warrants Feb 2011	200,000,000	2010/10/21	2011/02/28	30.00
25967	Credit Suisse AG – HKEx European Warrants Apr 2011 F	300,000,000	2010/10/21	2011/04/26	75.00
25968	Credit Suisse AG – HKEx European Warrants May 2011 B	300,000,000	2010/10/21	2011/05/16	75.00
25969	Credit Suisse AG – HKEx European Warrants Jun 2011 D	300,000,000	2010/10/21	2011/06/27	75.00
25974	Credit Suisse AG – HKEx European Put Warrants Apr 2011 G	300,000,000	2010/10/21	2011/04/26	75.00
25945	Credit Suisse – Henderson Land European Warrants Feb 2011	200,000,000	2010/10/21	2011/02/21	30.00
25964	Credit Suisse AG – HSCEI European Warrants Apr 2011 B	300,000,000	2010/10/21	2011/04/28	75.00
25973	Credit Suisse AG – HSCEI European Put Warrants Apr 2011	300,000,000	2010/10/21	2011/04/28	45.00
25965	Credit Suisse AG – Hutchison European Warrants Apr 2011 F	300,000,000	2010/10/21	2011/04/26	75.00
25950	Credit Suisse AG – PetroChina European Warrants Apr 2011 C	300,000,000	2010/10/21	2011/04/26	75.00
25972	Credit Suisse AG – European Warrants Jul 2011	200,000,000	2010/10/21	2011/07/25	30.00
25952	Citigroup Global Mkt H Inc. – CITIC Pacific Eu Wt Jun 2011	80,000,000	2010/10/21	2011/06/27	20.00
25953	Citigroup Global Mkt H Inc. -Yanzhou Coal European Wt Jun11B	100,000,000	2010/10/21	2011/06/10	25.00
25955	Deutsche Bank AG – China Life European Warrants Feb 2011 C	300,000,000	2010/10/21	2011/02/14	45.00
25956	Deutsche Bank AG – CNOOC European Put Warrants May 2011	300,000,000	2010/10/21	2011/05/23	75.00
25957	Deutsche Bank AG – HKEx European Put Warrants May 2011 B	300,000,000	2010/10/21	2011/05/23	75.00
25958	Deutsche Bank AG – HSBC European Warrants Feb 2011 B	300,000,000	2010/10/21	2011/02/14	72.00
25959	Deutsche Bank AG – ICBC European Warrants Feb 2011 B	300,000,000	2010/10/21	2011/02/14	63.00
25991	Goldman Sachs SP (Asia) – BOCL European Warrants May 2011 A	200,000,000	2010/10/21	2011/05/03	50.00
25992	Goldman Sachs SP (Asia) – China Life Euro Wts Jul 2011	200,000,000	2010/10/21	2011/07/04	54.00
25990	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2011	200,000,000	2010/10/21	2011/06/29	30.00
25926	HK Bank – Agile Ppt European Warrants Jun 2011	100,000,000	2010/10/21	2011/06/15	25.00
25929	HK Bank – Country Garden European Warrants Apr 2011	100,000,000	2010/10/21	2011/04/28	25.00
25932	HK Bank – China Overseas European Warrants Sep 2011	100,000,000	2010/10/21	2011/09/22	25.00
25927	HK Bank – CM Bank European Warrants Jun 2011	150,000,000	2010/10/21	2011/06/28	37.50
25928	HK Bank – CNBM European Warrants Apr 2011	100,000,000	2010/10/21	2011/04/21	25.00
25938	HK Bank – R&F Ppt European Warrants Nov 2011	120,000,000	2010/10/21	2011/11/25	30.00
25930	HK Bank – HKEx European Warrants Nov 2011 C	150,000,000	2010/10/21	2011/11/22	37.50
25935	HK Bank – HSI European Warrants Apr 2011	250,000,000	2010/10/21	2011/04/28	62.50
25936	HK Bank – HSI European Warrants Jul 2011 A	250,000,000	2010/10/21	2011/07/28	62.50

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		發行單位	上市日期	到期日	
25937	HK Bank – HSI European Warrants Dec 2012	300,000,000	2010/10/21	2012/12/28	75.00
25940	HK Bank – HSI European Put Warrants Apr 2011	200,000,000	2010/10/21	2011/04/28	50.00
25939	HK Bank – Shimao Ppt European Warrants Oct 2011	120,000,000	2010/10/21	2011/10/20	30.00
25931	HK Bank – Tencent European Warrants Jun 2011 B	150,000,000	2010/10/21	2011/06/28	37.50
25910	J P Morgan SP BV – Anhui Conch European Warrants Jun 2011 A	100,000,000	2010/10/21	2011/06/27	25.00
25911	J P Morgan SP BV – BOCL European Warrants Apr 2011 A	150,000,000	2010/10/21	2011/04/21	37.50
25933	J P Morgan SP BV – China Life European Warrants Apr 2011 B	200,000,000	2010/10/21	2011/04/21	50.00
25912	J P Morgan SP BV – CM Bank European Warrants Jun 2011 B	150,000,000	2010/10/21	2011/06/29	37.50
25934	J P Morgan SP BV – HKEx European Warrants Jul 2011 B	300,000,000	2010/10/21	2011/07/28	75.00
25913	J P Morgan SP BV – MTRC European Warrants Jun 2011	80,000,000	2010/10/21	2011/06/27	20.00
25914	J P Morgan SP BV – New World Dev European Warrants Sep 2011	188,000,000	2010/10/21	2011/09/26	47.00
25915	J P Morgan SP BV – PetroChina European Warrants Apr 2011 B	80,000,000	2010/10/21	2011/04/21	20.00
25975	KBC Fin Products Int'l Ltd. – BankComm Euro Wts May 2011	68,000,000	2010/10/21	2011/05/03	17.00
25976	KBC Fin Products Int'l Ltd. – China Life Euro Wts Apr 2011 D	188,000,000	2010/10/21	2011/04/21	47.00
25977	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2011 C	188,000,000	2010/10/21	2011/05/18	47.00
25978	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Apr 2011 A	128,000,000	2010/10/21	2011/04/21	44.80
25979	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Apr 2011 B	158,000,000	2010/10/21	2011/04/01	39.50
25980	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Aug 2011 B	128,000,000	2010/10/21	2011/08/01	19.20
25981	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jul 11D	108,000,000	2010/10/21	2011/07/15	27.00
25982	KBC Fin Products Int'l Ltd. – HSBC European Wts Apr 2011 B	108,000,000	2010/10/21	2011/04/21	32.40
25983	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 B	128,000,000	2010/10/21	2011/05/03	32.00
25984	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 A	168,000,000	2010/10/21	2011/05/05	42.00
25985	KBC Fin Products Int'l Ltd. – Ping An European Wts May 2011B	68,000,000	2010/10/21	2011/05/04	25.16
25923	Macquarie Bank Ltd. – Agile Ppt European Warrants Nov 2011	150,000,000	2010/10/21	2011/11/29	37.50
25941	Macquarie Bank Ltd. – CHALCO European Warrants May 2011	200,000,000	2010/10/21	2011/05/04	110.80
25942	Macquarie Bank Ltd. – Angang Steel European Wts Apr 2011	400,000,000	2010/10/21	2011/04/04	60.00
25924	Macquarie Bank Ltd. – CPIC European Warrants May 2011	150,000,000	2010/10/21	2011/05/30	37.65
25943	Macquarie Bank Ltd. – China Shenhua European Wts Jun 2011	200,000,000	2010/10/21	2011/06/02	50.80
25922	Macquarie Bank Ltd. – HSCEI European Warrants May 2011	200,000,000	2010/10/21	2011/05/30	50.00
25921	Macquarie Bank Ltd. – HSI European Warrants Apr 2011 A	250,000,000	2010/10/21	2011/04/28	62.50
25944	Macquarie Bank Ltd. – Ping An European Warrants Nov 2011	400,000,000	2010/10/21	2011/11/02	60.40
25925	Macquarie Bank Ltd. – Yurun Food European Warrants Apr 2011	100,000,000	2010/10/21	2011/04/26	25.00
25954	Macquarie Bank Ltd. – Zijin Mining European Wts Sep 2011	188,000,000	2010/10/21	2011/09/02	47.19
25988	UBS AG – China Mobile European Warrants May 2011 A	200,000,000	2010/10/21	2011/05/23	50.00
25987	UBS AG – CC Bank European Warrants May 2011 A	200,000,000	2010/10/21	2011/05/23	50.00
25986	UBS AG – A50 China European Warrants Jun 2011 C	200,000,000	2010/10/21	2011/06/22	30.00
25989	UBS AG – HSBC European Warrants Apr 2011 A	200,000,000	2010/10/21	2011/04/29	50.00
25903	UBS AG – HSI European Warrants May 2011 B	200,000,000	2010/10/21	2011/05/30	50.00
25904	UBS AG – HSI European Put Warrants Mar 2011 E	200,000,000	2010/10/21	2011/03/30	30.00
25905	UBS AG – HSI European Put Warrants Apr 2011 B	200,000,000	2010/10/21	2011/04/28	50.00
24488*	UBS AG – HSBC European Warrants Jan 2011	200,000,000	2010/10/21	2011/01/31	43.80
26000	Barclays Bank plc – CC Bank European Warrants May 2011	100,000,000	2010/10/22	2011/05/23	25.00
26004	Barclays Bank plc – CC Bank European Put Warrants May 2011	100,000,000	2010/10/22	2011/05/23	26.00
26003	Barclays Bank plc – CM Bank European Warrants Jun 2011	100,000,000	2010/10/22	2011/06/20	25.00

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		發行單位	上市日期	到期日	
26001	Barclays Bank plc – HKEx European Warrants Oct 2011 A	120,000,000	2010/10/22	2011/10/17	30.00
26002	Barclays Bank plc – ICBC European Warrants Jun 2011	100,000,000	2010/10/22	2011/06/20	25.00
26041	BOCI Asia Ltd. – Belle Int'l European Warrants May 2011 B	100,000,000	2010/10/22	2011/05/31	25.00
26040	BOCI Asia Ltd. – BankComm European Warrants Dec 2012	100,000,000	2010/10/22	2012/12/31	25.00
26061	BNP Paribas Arbit Issu B.V. – BOCL European Wts May 2011	100,000,000	2010/10/22	2011/05/03	31.00
26062	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2011	100,000,000	2010/10/22	2011/07/04	25.00
26063	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2011 B	100,000,000	2010/10/22	2011/06/01	25.00
26071	Credit Suisse AG – BOCL European Warrants Apr 2011 A	300,000,000	2010/10/22	2011/04/04	45.00
26070	Credit Suisse AG – BOCL European Warrants Feb 2011 B	300,000,000	2010/10/22	2011/02/28	60.00
26068	Credit Suisse AG – CC Bank European Warrants Apr 2011 A	300,000,000	2010/10/22	2011/04/26	75.00
26064	Credit Suisse AG – CC Bank European Warrants Jan 2011 B	300,000,000	2010/10/22	2011/01/31	45.00
26037	Credit Suisse AG – China COSCO European Warrants Jul 2011 B	200,000,000	2010/10/22	2011/07/18	30.00
26036	Credit Suisse AG – China Coal European Warrants Jul 2011	200,000,000	2010/10/22	2011/07/11	50.00
26066	Credit Suisse AG – CITIC Bank European Warrants Mar 2011	200,000,000	2010/10/22	2011/03/07	40.00
26069	Credit Suisse AG – CM Bank European Warrants May 2011	300,000,000	2010/10/22	2011/05/30	75.00
26034	Credit Suisse AG – CNBM European Warrants Apr 2011	200,000,000	2010/10/22	2011/04/26	50.00
26038	Credit Suisse AG – CNOOC European Warrants Apr 2011 C	300,000,000	2010/10/22	2011/04/26	75.00
26033	Credit Suisse AG – Dongfeng Motor European Warrants Apr 2011	200,000,000	2010/10/22	2011/04/26	50.00
26067	Credit Suisse AG – ICBC European Warrants Jan 2011 E	300,000,000	2010/10/22	2011/01/24	45.00
26065	Credit Suisse AG – ICBC European Warrants Mar 2011 B	300,000,000	2010/10/22	2011/03/28	45.00
26032	Credit Suisse AG – Jiangxi Copper European Wts Apr 2011	200,000,000	2010/10/22	2011/04/26	50.00
26039	Credit Suisse AG – Jiangxi Copper European Put Wts Apr 2011B	200,000,000	2010/10/22	2011/04/26	50.00
26031	Credit Suisse AG – KECL European Warrants Jun 2011	200,000,000	2010/10/22	2011/06/27	30.00
26035	Credit Suisse AG – Yanzhou Coal European Warrants Apr 2011 B	200,000,000	2010/10/22	2011/04/26	50.00
26089	Citigroup Global Mkt H Inc. – A50 China Euro Wts Sep 2011 A	180,000,000	2010/10/22	2011/09/26	45.00
26090	Citigroup Global Mkt H Inc. – A50 China Euro Wts Dec 2011	180,000,000	2010/10/22	2011/12/19	45.00
26091	Citigroup Global Mkt H Inc. – Henderson Land Dev Eur Wts Jun11	80,000,000	2010/10/22	2011/06/07	20.00
26028	Citigroup Global Mkt H Inc. – Tencent European Warrants Jun11B	100,000,000	2010/10/22	2011/06/27	25.00
10014	Deutsche Bank AG – AUD/USD European Put Warrants May 2011	50,000,000	2010/10/22	2011/05/03	14.00
26077	Deutsche Bank AG – BOCL European Warrants Jan 2011	200,000,000	2010/10/22	2011/01/31	38.00
26050	Deutsche Bank AG – CC Bank European Warrants Jan 2011 B	200,000,000	2010/10/22	2011/01/31	62.00
26078	Deutsche Bank AG – CM Bank European Warrants Apr 2011	200,000,000	2010/10/22	2011/04/18	50.00
26051	Deutsche Bank AG – Esprit European Warrants Apr 2011 B	100,000,000	2010/10/22	2011/04/26	39.00
26079	Deutsche Bank AG – A50 China European Warrants Jun 2011 C	200,000,000	2010/10/22	2011/06/27	30.00
26020	Deutsche Bank AG – HSI European Warrants Apr 2011 F	300,000,000	2010/10/22	2011/04/28	75.00
26021	Deutsche Bank AG – HSI European Warrants Apr 2011 G	300,000,000	2010/10/22	2011/04/28	75.00
26052	Deutsche Bank AG – Jiangxi Copper European Warrants Apr 11B	100,000,000	2010/10/22	2011/04/26	25.00
26080	Deutsche Bank AG – PICC P&C European Warrants Jul 2011 B	200,000,000	2010/10/22	2011/07/25	34.00
26053	Deutsche Bank AG – Ping An European Warrants Oct 2011	200,000,000	2010/10/22	2011/10/31	30.00
26054	Deutsche Bank AG – SJM Holdings European Warrants May 2011	100,000,000	2010/10/22	2011/05/23	25.00
26042	Goldman Sachs SP (Asia)-Sinopec Corp European Wts May 2011	200,000,000	2010/10/22	2011/05/03	68.00
26014	HK Bank – ICBC European Warrants Feb 2011	120,000,000	2010/10/22	2011/02/02	18.00
26015	HK Bank – ICBC European Warrants Apr 2011	120,000,000	2010/10/22	2011/04/26	30.00
26016	HK Bank – SHK Ppt European Warrants Apr 2011	120,000,000	2010/10/22	2011/04/26	30.00

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		發行單位	上市日期	到期日	
26017	HK Bank – SHK Ppt European Put Warrants Jul 2011	120,000,000	2010/10/22	2011/07/13	42.00
26048	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Mar 2011	100,000,000	2010/10/22	2011/03/28	25.30
26049	J P Morgan SP BV – HKEx European Put Wts Jun 2011 E	200,000,000	2010/10/22	2011/06/29	50.00
26022	J P Morgan SP BV – HSBC European Warrants Apr 2011 A	100,000,000	2010/10/22	2011/04/26	25.00
26084	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wts May 2011	108,000,000	2010/10/22	2011/05/04	27.00
26082	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts May 2011 A	128,000,000	2010/10/22	2011/05/04	39.68
26083	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jun 2011 B	238,000,000	2010/10/22	2011/06/22	35.70
26085	KBC Fin Products Int'l Ltd. – HKEx European Put Wts May 2011	108,000,000	2010/10/22	2011/05/05	27.00
26086	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Oct 2011 B	108,000,000	2010/10/22	2011/10/17	16.20
26088	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2011 D	200,000,000	2010/10/22	2011/04/28	50.00
26081	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2011 B	200,000,000	2010/10/22	2011/04/28	50.00
26087	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Mar 2011 J	200,000,000	2010/10/22	2011/03/30	30.00
26046	Macquarie Bank Ltd. – Fushan Energy European Warrants Apr 11	88,000,000	2010/10/22	2011/04/04	29.48
26045	Macquarie Bank Ltd. – A50 China European Warrants Jun 2011 C	300,000,000	2010/10/22	2011/06/29	51.00
26044	Macquarie Bank Ltd. – HSCEI European Put Warrants May 2011	200,000,000	2010/10/22	2011/05/30	50.00
26043	Macquarie Bank Ltd. – HSI European Put Warrants Apr 2011	250,000,000	2010/10/22	2011/04/28	46.75
26047	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2011 B	250,000,000	2010/10/22	2011/05/04	63.25
25995	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 C	100,000,000	2010/10/22	2011/06/22	15.40
25996	Merrill Lynch Int'l & Co. C.V. – A50 China Eu Put Wt Apr 11C	100,000,000	2010/10/22	2011/04/26	15.70
25993	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Apr 2011	50,000,000	2010/10/22	2011/04/29	61.50
25994	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Jun 2011 D	100,000,000	2010/10/22	2011/06/27	16.30
25997	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 11B	100,000,000	2010/10/22	2011/04/28	25.50
25998	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts May 2011	100,000,000	2010/10/22	2011/05/30	25.50
25999	Merrill Lynch Int'l & Co. C.V. – HSI European Warrants Apr11A	150,000,000	2010/10/22	2011/04/28	34.80
26025	CC Rabobank B.A. – Ch Mobile European Warrants May 2011 A	150,000,000	2010/10/22	2011/05/05	37.50
26023	CC Rabobank B.A. – China Life European Warrants Apr 2011 A	200,000,000	2010/10/22	2011/04/28	50.00
26024	CC Rabobank B.A. – China Life European Warrants May 2011 A	200,000,000	2010/10/22	2011/05/18	50.00
26026	CC Rabobank B.A. – Tencent Holdings European Wts Apr 2011	200,000,000	2010/10/22	2011/04/28	50.00
26027	CC Rabobank B.A. – Tencent Holdings European Put Wts May 11	200,000,000	2010/10/22	2011/05/27	50.00
26073	RB of Scotland N.V. – Ch Comm Cons European Wts Oct 2011	200,000,000	2010/10/22	2011/10/26	30.80
26072	RB of Scotland N.V. – HSI European Warrants Apr 2011 C	250,000,000	2010/10/22	2011/04/28	66.00
26076	RB of Scotland N.V. – HSI European Put Warrants Apr 2011 A	250,000,000	2010/10/22	2011/04/28	70.25
26074	RB of Scotland N.V. – HWL European Warrants Mar 2011	200,000,000	2010/10/22	2011/03/31	52.20
26075	RB of Scotland N.V. – Tencent Holdings European Wts Jun 11B	200,000,000	2010/10/22	2011/06/30	50.00
26018	Standard Chartered Bank – China Mobile European Wts Aug 11A	100,000,000	2010/10/22	2011/08/29	35.00
26029	Standard Chartered Bank-China Life European Warrants Jul 11A	100,000,000	2010/10/22	2011/07/28	25.00
26030	Standard Chartered Bank – A50 China European Warrants Sep 11	200,000,000	2010/10/22	2011/09/20	50.00
26019	Standard Chartered Bank – ICBC European Warrants Apr 2011	100,000,000	2010/10/22	2011/04/29	25.00
26005	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2011	200,000,000	2010/10/22	2011/06/27	50.00
26006	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011A	300,000,000	2010/10/22	2011/04/27	75.00
26007	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11D	200,000,000	2010/10/22	2011/04/18	34.00
26008	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt May 11B	150,000,000	2010/10/22	2011/05/30	37.50
26009	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2011	300,000,000	2010/10/22	2011/09/26	75.00
26010	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Mar 2012	300,000,000	2010/10/22	2012/03/26	75.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26012	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jun 11	200,000,000	2010/10/22	2011/06/29	40.00
26013	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Aug 11	200,000,000	2010/10/22	2011/08/01	30.00
26011	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2012 B	300,000,000	2010/10/22	2012/06/25	75.00
26055	UBS AG – China Coal European Warrants Jun 2011	100,000,000	2010/10/22	2011/06/27	25.00
26056	UBS AG – China Life European Warrants Jun 2011 A	300,000,000	2010/10/22	2011/06/27	75.00
26057	UBS AG – HKEx European Warrants May 2011 E	300,000,000	2010/10/22	2011/05/16	75.00
26060	UBS AG – HSI European Put Warrants May 2011 D	200,000,000	2010/10/22	2011/05/30	50.00
26058	UBS AG – HSI European Warrants Apr 2011 D	200,000,000	2010/10/22	2011/04/28	50.00
26059	UBS AG – HSI European Warrants Apr 2011 E	200,000,000	2010/10/22	2011/04/28	50.00
25145#	Credit Suisse AG – Hutchison European Warrants Feb 2011 C	300,000,000	2010/10/22	2011/02/07	264.00
26106	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jul 11	100,000,000	2010/10/25	2011/07/04	26.00
26107	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts May 2011	100,000,000	2010/10/25	2011/05/04	26.00
26108	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts May 2011	100,000,000	2010/10/25	2011/05/04	43.00
26109	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Nov 2011	100,000,000	2010/10/25	2011/11/28	15.00
26120	Credit Suisse AG – A50 China European Put Warrants Apr 2011	300,000,000	2010/10/25	2011/04/21	45.00
26147	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Mar 11B	80,000,000	2010/10/25	2011/03/14	12.00
26148	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Feb 2011	100,000,000	2010/10/25	2011/02/22	15.00
26144	Citigroup Global Mkt H Inc. – ChiUnicom European Wts Nov 11	100,000,000	2010/10/25	2011/11/30	15.00
26145	Citigroup Global Mkt H Inc. – Evergrande European Wts Apr11	80,000,000	2010/10/25	2011/04/27	20.00
26146	Citigroup Global Mkt H Inc. – Ping An Euro Wts Apr 2011	80,000,000	2010/10/25	2011/04/27	40.00
26130	Deutsche Bank AG – BOC HK European Warrants Apr 2011 C	200,000,000	2010/10/25	2011/04/04	30.00
26129	Deutsche Bank AG – BOCL European Warrants Apr 2011 B	150,000,000	2010/10/25	2011/04/26	37.50
26116	Deutsche Bank AG – China EB Ltd European Warrants Apr 2011	100,000,000	2010/10/25	2011/04/26	25.00
26115	Deutsche Bank AG – Ch Comm Cons European Warrants Oct 2011	150,000,000	2010/10/25	2011/10/31	22.50
26131	Deutsche Bank AG – CNBM European Warrants Jun 2011	80,000,000	2010/10/25	2011/06/13	20.00
26132	Deutsche Bank AG – CPIC European Warrants Mar 2011	100,000,000	2010/10/25	2011/03/28	25.00
26117	Deutsche Bank AG – China Shenhua European Warrants Apr 2011A	100,000,000	2010/10/25	2011/04/26	37.00
26133	Deutsche Bank AG – Fushan Energy European Warrants Sep 2011	80,000,000	2010/10/25	2011/09/05	53.60
26118	Deutsche Bank AG – Geely Auto European Warrants May 2011 A	100,000,000	2010/10/25	2011/05/30	55.00
26119	Deutsche Bank AG – Ping An European Warrants May 2011	150,000,000	2010/10/25	2011/05/16	22.50
26093	J P Morgan SP BV – Agricultural Bk European Warrants Mar 11	150,000,000	2010/10/25	2011/03/14	22.50
26094	J P Morgan SP BV – BOCL European Warrants Apr 2011 B	150,000,000	2010/10/25	2011/04/26	37.50
26095	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2011	100,000,000	2010/10/25	2011/04/26	25.00
26110	J P Morgan SP BV – Sinopec Corp European Warrants Apr 2011 A	80,000,000	2010/10/25	2011/04/26	20.00
26098	J P Morgan SP BV – Sinopec Corp European Warrants May 2012	200,000,000	2010/10/25	2012/05/21	30.00
26111	J P Morgan SP BV – China Shenhua European Warrants Apr 2011B	150,000,000	2010/10/25	2011/04/26	37.50
26100	J P Morgan SP BV – A50 China European Warrants Apr 2011 B	80,000,000	2010/10/25	2011/04/26	20.00
26101	J P Morgan SP BV – A50 China European Warrants Oct 2011	200,000,000	2010/10/25	2011/10/21	30.00
26099	J P Morgan SP BV – ICBC European Warrants Apr 2011 A	100,000,000	2010/10/25	2011/04/26	25.00
26112	J P Morgan SP BV – JX Copper European Warrants Apr 2011 B	120,000,000	2010/10/25	2011/04/26	30.00
26113	J P Morgan SP BV – Ping An European Warrants Oct 2011	300,000,000	2010/10/25	2011/10/28	45.00
26114	J P Morgan SP BV – Yanzhou Coal European Warrants Apr 2011 B	150,000,000	2010/10/25	2011/04/26	37.50
26141	KBC Fin Products Int'l Ltd. –BEA European Put Warrants Jun11	58,000,000	2010/10/25	2011/06/15	14.50
26142	KBC Fin Products Int'l Ltd.-CPIC European Put Warrants May11	88,000,000	2010/10/25	2011/05/17	22.00

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		發行單位	上市日期	到期日	
26139	KBC Fin Products Int'l Ltd. – MTRC European Wts Jun 2011	58,000,000	2010/10/25	2011/06/01	14.50
26143	KBC Fin Products Int'l Ltd.-MTRC European Put Warrants Jun11	58,000,000	2010/10/25	2011/06/22	14.50
26140	KBC Fin Products Int'l Ltd. – Wharf European Put Wts Jun11	58,000,000	2010/10/25	2011/06/17	14.50
26092	Macquarie Bank Ltd. – BOCL European Warrants Apr 2011	150,000,000	2010/10/25	2011/04/26	37.80
26096	Macquarie Bank Ltd. – BOCL European Put Warrants Apr 2011	100,000,000	2010/10/25	2011/04/26	25.50
26134	RB of Scotland N.V. – CC Bank European Warrants May 2011 A	100,000,000	2010/10/25	2011/05/05	42.30
26136	RB of Scotland N.V. – CNOOC European Warrants Jun 2011 B	200,000,000	2010/10/25	2011/06/20	30.40
26135	RB of Scotland N.V. – Sinopec Corp European Warrants May 11A	100,000,000	2010/10/25	2011/05/04	48.10
26137	RB of Scotland N.V. – HKEx European Warrants Jun 2011 B	200,000,000	2010/10/25	2011/06/07	50.00
26138	RB of Scotland N.V. – ICBC European Warrants Jun 2011 A	100,000,000	2010/10/25	2011/06/07	40.90
26102	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 C	300,000,000	2010/10/25	2011/04/28	75.00
26103	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 D	300,000,000	2010/10/25	2011/04/28	48.00
26104	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11B	300,000,000	2010/10/25	2011/04/28	75.00
26105	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 11	150,000,000	2010/10/25	2011/07/18	37.50
26122	UBS AG – BOCL European Warrants May 2011 A	200,000,000	2010/10/25	2011/05/11	50.00
26128	UBS AG – CM Bank European Warrants Jun 2011	100,000,000	2010/10/25	2011/06/22	25.00
26123	UBS AG – China National Building European Warrants Apr 2011	100,000,000	2010/10/25	2011/04/27	25.00
26124	UBS AG – CNOOC European Warrants Apr 2011	100,000,000	2010/10/25	2011/04/27	25.00
26125	UBS AG – Dongfeng Motor European Warrants Apr 2011	100,000,000	2010/10/25	2011/04/27	25.00
26121	UBS AG – Hutchison European Put Warrants Apr 2011	100,000,000	2010/10/25	2011/04/27	25.00
26126	UBS AG – Jiangxi Copper European Warrants Jun 2011 A	100,000,000	2010/10/25	2011/06/14	25.00
26127	UBS AG – Yanzhou Coal European Wts Apr 2011	100,000,000	2010/10/25	2011/04/27	25.00
20451*	Macquarie Bank Ltd. – China Unicom European Wts Dec 2010 A	200,000,000	2010/10/25	2010/12/30	16.80
21789*	Credit Suisse AG – A50 China European Warrants Jan 2011 A	300,000,000	2010/10/25	2011/01/17	17.70
23834*	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 A	100,000,000	2010/10/25	2011/02/08	26.50
24081*	UBS AG – China Mobile European Warrants Feb 2011 B	200,000,000	2010/10/25	2011/02/28	40.80
22298*	UBS AG – HSI European Warrants Dec 2010 A	200,000,000	2010/10/25	2010/12/30	20.60
26181	BNP Paribas Arbit Issu B.V. – C Transmission Euro Wts Nov11	100,000,000	2010/10/26	2011/11/01	25.00
26182	BNP Paribas Arbit Issu B.V. – CPIC European Warrants Aug 11	100,000,000	2010/10/26	2011/08/01	25.00
26183	BNP Paribas Arbit Issu B.V. – GCL-Poly Energy Euro Wts May11	100,000,000	2010/10/26	2011/05/03	30.00
26184	BNP Paribas Arbit Issu B.V. – Shanghai Electric Eu Wts Jul13	100,000,000	2010/10/26	2013/07/02	25.00
26166	Citigroup Global Mkt H Inc. – BankComm European Wts Apr 2011	100,000,000	2010/10/26	2011/04/07	60.00
26168	Citigroup Global Mkt H Inc. – CM Bank European Wts Apr 2011	100,000,000	2010/10/26	2011/04/28	25.00
26167	Citigroup Global Mkt H Inc. – Cathay Pacific Eur Wt May 11B	80,000,000	2010/10/26	2011/05/25	20.00
26169	Citigroup Global Mkt H Inc. – CPIC European Warrants Jun 11	80,000,000	2010/10/26	2011/06/07	20.00
26192	Deutsche Bank AG – Bank of EA European Warrants Apr 2011	100,000,000	2010/10/26	2011/04/26	25.00
26185	Deutsche Bank AG – BOCL European Warrants Feb 2011	200,000,000	2010/10/26	2011/02/21	54.00
26188	Deutsche Bank AG – China Unicom European Warrants Aug 2011	150,000,000	2010/10/26	2011/08/22	22.50
26190	Deutsche Bank AG – CITIC Pacific European Warrants May 2011	80,000,000	2010/10/26	2011/05/23	20.00
26186	Deutsche Bank AG – China Mobile European Warrants Apr 2011 B	200,000,000	2010/10/26	2011/04/26	50.00
26189	Deutsche Bank AG – COSCO Pacific European Warrants Jul 2011	100,000,000	2010/10/26	2011/07/25	17.00
26187	Deutsche Bank AG – Sinopec Corp European Warrants Oct 2011	150,000,000	2010/10/26	2011/10/03	24.00
26191	Deutsche Bank AG – PetroChina European Warrants May 2011 B	150,000,000	2010/10/26	2011/05/09	58.50
26170	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2011	200,000,000	2010/10/26	2011/10/04	34.00

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		發行單位	上市日期	到期日	
26171	HK Bank – BOCL European Warrants Apr 2011	100,000,000	2010/10/26	2011/04/26	25.00
26173	HK Bank – CC Bank European Warrants Apr 2011	100,000,000	2010/10/26	2011/04/26	25.00
26174	HK Bank – CC Bank European Warrants Oct 2015	200,000,000	2010/10/26	2015/10/19	50.00
26175	HK Bank – CC Bank European Put Warrants Apr 2011	100,000,000	2010/10/26	2011/04/26	25.00
26172	HK Bank – Ch Comm Cons European Warrants Apr 2011	80,000,000	2010/10/26	2011/04/26	20.00
26176	HK Bank – Minsheng Bank European Warrants Apr 2011	80,000,000	2010/10/26	2011/04/26	20.00
26179	HK Bank – CNOOC European Put Warrants Aug 2011	120,000,000	2010/10/26	2011/08/18	30.00
26177	HK Bank – Sinopec Corp European Warrants Apr 2011	100,000,000	2010/10/26	2011/04/26	25.00
26178	HK Bank – Sinopec Corp European Warrants Oct 2015	200,000,000	2010/10/26	2015/10/19	50.00
26195	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2011 A	158,000,000	2010/10/26	2011/05/04	39.50
26199	KBC Fin Products Int'l Ltd. – Ch COSCO Euro Put Wts Aug11	58,000,000	2010/10/26	2011/08/15	14.50
26200	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt May 11B	128,000,000	2010/10/26	2011/05/18	32.00
26201	KBC Fin Products Int'l Ltd. – Minsheng Bank Eu Put Wts May11	58,000,000	2010/10/26	2011/05/17	14.50
26205	KBC Fin Products Int'l Ltd. – CNOOC Euro Put Wts Jun 2011 B	88,000,000	2010/10/26	2011/06/10	13.20
26202	KBC Fin Products Int'l Ltd. – Sinopec Corp Eu Put Wt May 11	80,000,000	2010/10/26	2011/05/13	20.00
26203	KBC Fin Products Int'l Ltd. – China Shenhua Eu Put Wts Jun11	58,000,000	2010/10/26	2011/06/15	14.50
26204	KBC Fin Products Int'l Ltd. – R&F Ppt Euro Put Wts Jun11	58,000,000	2010/10/26	2011/06/23	14.50
26197	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Apr 2011 A	100,000,000	2010/10/26	2011/04/28	25.00
26206	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Apr 2011	100,000,000	2010/10/26	2011/04/28	25.00
26198	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2011 C	200,000,000	2010/10/26	2011/04/28	50.00
26207	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2011 E	200,000,000	2010/10/26	2011/04/28	54.00
26196	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2011 B	128,000,000	2010/10/26	2011/05/03	32.00
26161	Macquarie Bank Ltd. – CM Bank European Warrants Jun 2011	250,000,000	2010/10/26	2011/06/02	63.75
26165	Macquarie Bank Ltd. – CM Bank European Put Warrants Jun 2011	250,000,000	2010/10/26	2011/06/02	87.25
26160	Macquarie Bank Ltd. – Ch Ship Cont European Warrants May 11	100,000,000	2010/10/26	2011/05/04	25.10
26162	Macquarie Bank Ltd. – HKEx European Warrants Aug 2011 B	300,000,000	2010/10/26	2011/08/02	75.00
26164	Macquarie Bank Ltd. – HKEx European Put Warrants May 2011 B	300,000,000	2010/10/26	2011/05/04	99.00
26180	Macquarie Bank Ltd. – Jiangxi Copper European Put Wts Jun11	200,000,000	2010/10/26	2011/06/02	51.00
26163	Macquarie Bank Ltd. – Sinopharm European Warrants Jun 2011	200,000,000	2010/10/26	2011/06/02	50.00
26150	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2011 A	100,000,000	2010/10/26	2011/03/15	46.50
26151	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eu Put Wt Feb 11	100,000,000	2010/10/26	2011/02/22	15.20
26149	Merrill Lynch Int'l & Co. C.V. – Ch Life Eur Put Wt Apr 2011	100,000,000	2010/10/26	2011/04/28	28.50
26153	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11C	100,000,000	2010/10/26	2011/06/20	15.00
26152	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Mar 2011	100,000,000	2010/10/26	2011/03/31	59.00
26154	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2011 D	100,000,000	2010/10/26	2011/05/06	15.00
26155	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jul 2011 B	100,000,000	2010/10/26	2011/07/20	15.20
26156	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Feb 2011 C	100,000,000	2010/10/26	2011/02/10	15.50
26159	Merrill Lynch Int'l & Co. C.V. –HSI European Warrants May11A	150,000,000	2010/10/26	2011/05/30	22.50
26157	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Feb 2011	100,000,000	2010/10/26	2011/02/07	19.60
26158	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Mar 2011B	100,000,000	2010/10/26	2011/03/01	36.50
26193	Standard Chartered Bank – HSBC European Warrants Jun 2011 A	100,000,000	2010/10/26	2011/06/28	26.50
23333 [#]	UBS AG – China Life European Warrants Jan 2011 A	300,000,000	2010/10/26	2011/01/10	21.00
26208	Barclays Bank plc – Bank of EA European Warrants May 2011	100,000,000	2010/10/27	2011/05/30	25.00
26209	Barclays Bank plc – China Mobile European Wts Jun 2011	100,000,000	2010/10/27	2011/06/07	25.00

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		發行單位	上市日期	到期日	
26211	Barclays Bank plc – CNOOC European Put Warrants Jun 2011 B	100,000,000	2010/10/27	2011/06/20	15.00
26212	Barclays Bank plc – ICBC European Put Warrants Apr 2011	100,000,000	2010/10/27	2011/04/18	25.00
26210	Barclays Bank plc – SHK Ppt European Put Warrants Dec 2011	120,000,000	2010/10/27	2011/12/28	18.00
26215	BOCI Asia Ltd. – CC Bank European Warrants Apr 2011	100,000,000	2010/10/27	2011/04/26	27.50
26216	BOCI Asia Ltd. – CC Bank European Put Warrants Mar 2011 B	100,000,000	2010/10/27	2011/03/28	15.00
26228	Credit Suisse AG – China Life European Warrants Feb 2011 D	300,000,000	2010/10/27	2011/02/21	45.00
26213	Citigroup Global Mkt H Inc. – Bank of EA European Wts May 11	80,000,000	2010/10/27	2011/05/09	20.00
26214	Citigroup Global Mkt H Inc. – Henganintl European Wts Jun12B	80,000,000	2010/10/27	2012/06/22	12.00
26221	Deutsche Bank AG – CITIC Bank European Warrants Mar 2011 B	100,000,000	2010/10/27	2011/03/07	28.00
26225	Deutsche Bank AG – CITIC Pacific European Warrants Jun 2011	80,000,000	2010/10/27	2011/06/07	20.00
26222	Deutsche Bank AG – China Life European Warrants May 2011 A	400,000,000	2010/10/27	2011/05/16	100.00
26223	Deutsche Bank AG – Ch LongYuan European Warrants Dec 2011 B	80,000,000	2010/10/27	2011/12/19	12.00
26224	Deutsche Bank AG – CPIC European Warrants May 2011	80,000,000	2010/10/27	2011/05/17	20.00
26226	Deutsche Bank AG – Gome European Warrants Mar 2011	80,000,000	2010/10/27	2011/03/14	21.60
26227	Deutsche Bank AG – MTRC European Warrants May 2011 B	80,000,000	2010/10/27	2011/05/30	20.00
26218	Goldman Sachs SP (Asia)-Sinopec Corp European Wts Apr 2011	200,000,000	2010/10/27	2011/04/28	98.00
26230	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2011 D	188,000,000	2010/10/27	2011/05/17	47.00
26231	KBC Fin Products Int'l Ltd. – China Life Euro Wts May 2011 E	188,000,000	2010/10/27	2011/05/16	47.00
26194	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Dec 2011	100,000,000	2010/10/27	2011/12/29	17.00
26217	Standard Chartered Bank – A50 China European Warrants Oct 11	200,000,000	2010/10/27	2011/10/28	30.00
26229	UBS AG – China Life European Warrants Apr 2011 A	300,000,000	2010/10/27	2011/04/27	75.00
26220	UBS AG – China Mobile European Warrants Apr 2011 B	200,000,000	2010/10/27	2011/04/19	60.00
26219	UBS AG – A50 China European Warrants Oct 2011	300,000,000	2010/10/27	2011/10/24	45.00
25753 [#]	Credit Suisse AG – HKEx European Warrants May 2011 A	300,000,000	2010/10/27	2011/05/16	47.40
26238	Citigroup Global Mkt H Inc. – HSCEI European Wts May 2011	80,000,000	2010/10/28	2011/05/30	20.00
26242	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts May 2011	80,000,000	2010/10/28	2011/05/30	20.00
26247	Deutsche Bank AG – CM Bank European Warrants Jun 2011	150,000,000	2010/10/28	2011/06/27	25.50
26248	Deutsche Bank AG – Lenovo European Warrants May 2011	100,000,000	2010/10/28	2011/05/03	39.00
26249	Deutsche Bank AG – PetroChina European Warrants Nov 2011	200,000,000	2010/10/28	2011/11/14	30.00
26250	Deutsche Bank AG – Tencent Holdings European Warrants Jun 11	200,000,000	2010/10/28	2011/06/27	30.00
26237	J P Morgan SP BV – China Life European Warrants Apr 2011 C	200,000,000	2010/10/28	2011/04/28	50.00
26243	KBC Fin Products Int'l Ltd. – Ch Comm Cons Eur Put Wt Jun 11	68,000,000	2010/10/28	2011/06/08	17.00
26241	KBC Fin Products Int'l Ltd. – China Coal Euro Put Wts Jun11	68,000,000	2010/10/28	2011/06/15	17.00
26244	Macquarie Bank Ltd. – China Life European Wts Apr 2011	350,000,000	2010/10/28	2011/04/29	87.85
26245	Macquarie Bank Ltd. – China Life European Put Wts Jun 2011	350,000,000	2010/10/28	2011/06/03	116.90
26246	Macquarie Bank Ltd. – Minsheng Bank European Warrants Feb11B	100,000,000	2010/10/28	2011/02/22	15.70
26232	CC Rabobank B.A. – Agricultural Bk European Warrants Apr 11	150,000,000	2010/10/28	2011/04/28	37.50
26233	CC Rabobank B.A. – CC Bank European Warrants Mar 2011	150,000,000	2010/10/28	2011/03/28	55.50
26234	CC Rabobank B.A. – Huaneng Power European Warrants Mar 2011	75,000,000	2010/10/28	2011/03/02	12.08
26235	CC Rabobank B.A. – Hutchison European Warrants May 2011 B	150,000,000	2010/10/28	2011/05/16	76.50
26236	CC Rabobank B.A. – Jiangxi Copper European Warrants Apr 2011	75,000,000	2010/10/28	2011/04/28	18.75
26239	UBS AG – Alibaba European Warrants May 2011	100,000,000	2010/10/28	2011/05/17	25.00
26240	UBS AG – Ping An European Warrants Apr 2011	100,000,000	2010/10/28	2011/04/28	25.00
21789 [#]	Credit Suisse AG – A50 China European Warrants Jan 2011 A	500,000,000	2010/10/28	2011/01/17	29.50

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		發行單位	上市日期	到期日	
25480 [#]	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 D	180,000,000	2010/10/28	2011/02/14	29.34
26272	Credit Suisse AG – BOCL European Warrants Apr 2011 B	300,000,000	2010/10/29	2011/04/29	75.00
26271	Credit Suisse AG – CPIC European Warrants Feb 2011 B	300,000,000	2010/10/29	2011/02/21	45.00
26269	Credit Suisse AG – Hutchison European Warrants May 2011 A	300,000,000	2010/10/29	2011/05/30	75.00
26268	Credit Suisse AG – Hutchison European Warrants Mar 2012 A	300,000,000	2010/10/29	2012/03/26	75.00
26270	Credit Suisse AG – Sinopharm European Warrants Mar 2011	200,000,000	2010/10/29	2011/03/14	30.00
26253	Citigroup Global Mkt H Inc. – CHALCO European Warrants Apr11	80,000,000	2010/10/29	2011/04/29	20.00
26254	Citigroup Global Mkt H Inc. – Minsheng Bank Euro Wts Jun 11	80,000,000	2010/10/29	2011/06/20	20.00
26255	Citigroup Global Mkt H Inc. – CNBM European Warrants Apr 11	100,000,000	2010/10/29	2011/04/15	15.00
26256	Citigroup Global Mkt H Inc. – Geely Auto European Wts Jul11	80,000,000	2010/10/29	2011/07/18	20.00
26257	Citigroup Global Mkt H Inc. – Hutchison Euro Wts May 2011	80,000,000	2010/10/29	2011/05/30	20.00
26258	Citigroup Global Mkt H Inc. – Swire Pacific A Euro Wts Mar12	80,000,000	2010/10/29	2012/03/28	20.00
26266	Deutsche Bank AG – BBMG European Warrants Dec 2011	80,000,000	2010/10/29	2011/12/28	20.00
26267	Deutsche Bank AG – China Railway European Warrants Oct 2011	80,000,000	2010/10/29	2011/10/11	12.00
26264	Goldman Sachs SP (Asia) – China Life Euro Wts Feb 2011	200,000,000	2010/10/29	2011/02/21	38.00
26265	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2011 A	200,000,000	2010/10/29	2011/06/13	50.00
26275	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2011 C	128,000,000	2010/10/29	2011/04/15	32.00
26276	Macquarie Bank Ltd. – CRCC European Warrants Aug 2011	200,000,000	2010/10/29	2011/08/15	30.20
26277	Standard Chartered Bank – BOCL European Warrants Apr 2011	100,000,000	2010/10/29	2011/04/29	25.00
26278	Standard Chartered Bank – CC Bank European Warrants Apr 2011	100,000,000	2010/10/29	2011/04/29	26.50
26259	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011D	300,000,000	2010/10/29	2011/02/07	45.00
26260	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011B	300,000,000	2010/10/29	2011/04/06	144.00
26261	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011C	300,000,000	2010/10/29	2011/04/20	120.00
26262	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2011A	300,000,000	2010/10/29	2011/05/18	75.00
26263	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 A	300,000,000	2010/10/29	2011/05/09	51.00
26251	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Feb 2011 B	120,000,000	2010/10/29	2011/02/09	25.20
26252	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011 B	120,000,000	2010/10/29	2011/03/07	32.40
26274	UBS AG – Hutchison European Warrants Oct 2012	500,000,000	2010/10/29	2012/10/22	125.00
26273	UBS AG – Hutchison European Warrants Apr 2011 D	200,000,000	2010/10/29	2011/04/29	50.00
26308	HK Bank – AIA European Warrants Sep 2011	160,000,000	2010/10/29	2011/09/15	40.00
26306	HK Bank – AIA European Warrants Apr 2011 A	160,000,000	2010/10/29	2011/04/29	40.00
26307	HK Bank – AIA European Warrants Apr 2011 B	160,000,000	2010/10/29	2011/04/29	40.00
26309	KBC Fin Products Int'l Ltd. – AIA European Put Warrants Apr11	188,000,000	2010/10/29	2011/04/29	47.00
26303	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11A	208,000,000	2010/10/29	2011/04/29	52.00
26304	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11B	208,000,000	2010/10/29	2011/04/29	52.00
26305	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11C	188,000,000	2010/10/29	2011/04/29	47.00
26302	CC Rabobank B.A. – AIA European Warrants Jul 2011	150,000,000	2010/10/29	2011/07/28	46.50
26300	CC Rabobank B.A. – AIA European Warrants May 2011 A	150,000,000	2010/10/29	2011/05/06	51.00
26301	CC Rabobank B.A. – AIA European Warrants May 2011 B	150,000,000	2010/10/29	2011/05/30	49.50
20573 [#]	Macquarie Bank Ltd. – HSBC European Warrants Dec 2010 C.	300,000,000	2010/10/29	2010/12/02	12.90
22849 [#]	SGA Societe Generale Acceptance N.V. – BYD Euro Wts May 2011	200,000,000	2010/10/29	2011/05/23	15.80
26284	BOCI Asia Ltd. – WISE CSI European Warrants May 2011	100,000,000	2010/11/01	2011/05/16	25.00
26281	BOCI Asia Ltd. – Dongfeng Motor European Warrants Jun 2011	100,000,000	2010/11/01	2011/06/07	25.00
26285	BOCI Asia Ltd. – A50 China European Warrants Jun 2011 B	100,000,000	2010/11/01	2011/06/29	15.00

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		發行單位	上市日期	到期日	
26282	BOCI Asia Ltd. – HKEx European Warrants Nov 2011	300,000,000	2010/11/01	2011/11/07	75.00
26283	BOCI Asia Ltd. – HKEx European Put Warrants Jul 2011	300,000,000	2010/11/01	2011/07/04	75.00
26288	BNP Paribas Arbit Issu B.V. – Air China Euro Wts Jan 2012	100,000,000	2010/11/01	2012/01/03	27.00
26289	BNP Paribas Arbit Issu B.V. – BYD European Warrants May 2011	100,000,000	2010/11/01	2011/05/03	54.00
26290	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2011	100,000,000	2010/11/01	2011/10/04	25.00
26287	Deutsche Bank AG – Tencent Holdings European Warrants Apr11C	300,000,000	2010/11/01	2011/04/04	45.00
10015	Deutsche Bank AG – USD/YEN European Warrants May 2011	50,000,000	2010/11/01	2011/05/16	12.50
10019	Deutsche Bank AG – USD/YEN European Put Warrants May 2011	50,000,000	2010/11/01	2011/05/16	12.50
26279	Macquarie Bank Ltd. – Geely Auto European Warrants May 2011B	200,000,000	2010/11/01	2011/05/03	73.20
26280	Macquarie Bank Ltd. – Geely Auto European Warrants May 2011C	200,000,000	2010/11/01	2011/05/03	51.00
26286	Macquarie Bank Ltd. – PICC P&C European Warrants Nov 2011	188,000,000	2010/11/01	2011/11/02	47.19
26291	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2011 B	150,000,000	2010/11/01	2011/06/15	37.50
26295	CC Rabobank B.A. – China Coal European Warrants Jun 2011 B	138,000,000	2010/11/01	2011/06/27	25.25
26296	CC Rabobank B.A. – China Shenhua European Warrants Jun 2011	80,000,000	2010/11/01	2011/06/10	26.40
26298	CC Rabobank B.A. – Sino Biopharm European Warrants May 2011	80,000,000	2010/11/01	2011/05/30	33.60
26297	CC Rabobank B.A. – Sinopharm European Warrants May 2011	80,000,000	2010/11/01	2011/05/30	34.40
26299	CC Rabobank B.A. – Yanzhou Coal European Warrants Jun 2011	85,000,000	2010/11/01	2011/06/10	21.68
26294	RB of Scotland N.V. – Cheung Kong European Warrants Oct 2012	200,000,000	2010/11/01	2012/10/09	33.20
26292	UBS AG – CPIC European Warrants May 2011 A	100,000,000	2010/11/01	2011/05/17	25.00
26293	UBS AG – A50 China European Warrants Sep 2011	300,000,000	2010/11/01	2011/09/22	45.00
25770*	KBC Fin Products Int'l Ltd. – HKEx European Warrants May 11	200,000,000	2010/11/01	2011/05/05	36.00
25780*	UBS AG – HKEx European Warrants Jun 2011 B	300,000,000	2010/11/01	2011/06/14	29.70
22298*	UBS AG – HSI European Warrants Dec 2010 A	400,000,000	2010/11/01	2010/12/30	27.20
26313	Barclays Bank plc – Agricultural Bk European Put Wts Jul 11	100,000,000	2010/11/02	2011/07/11	25.00
26310	Barclays Bank plc – China Life European Warrants Jun 2011	120,000,000	2010/11/02	2011/06/20	30.00
26314	Barclays Bank plc – China Life European Put Wts Jun 2011	120,000,000	2010/11/02	2011/06/20	30.00
26311	Barclays Bank plc – Hutchison European Warrants Mar 2012	200,000,000	2010/11/02	2012/03/26	50.00
26312	Barclays Bank plc – Hutchison European Warrants Oct 2012	200,000,000	2010/11/02	2012/10/22	50.00
26320	BNP Paribas Arbit Issu B.V. – Chi Res Land Euro Wts Aug 11	100,000,000	2010/11/02	2011/08/01	25.00
26321	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Aug 2012	100,000,000	2010/11/02	2012/08/01	25.00
26325	Credit Suisse AG – Sinopec Corp European Warrants May 2011 A	300,000,000	2010/11/02	2011/05/03	75.00
26326	Credit Suisse AG – China Shenhua European Warrants Apr 2011A	200,000,000	2010/11/02	2011/04/11	30.00
26323	Credit Suisse AG – A50 China European Warrants Jun 2011 D	300,000,000	2010/11/02	2011/06/27	45.00
26324	Credit Suisse AG – A50 China European Warrants Sep 2011	300,000,000	2010/11/02	2011/09/27	45.00
26327	Credit Suisse AG – Sinopharm European Warrants Feb 2011	200,000,000	2010/11/02	2011/02/14	30.00
26340	Citigroup Global Mkt H Inc. – BOCL European Wts Jun 2011	80,000,000	2010/11/02	2011/06/07	20.00
26341	Citigroup Global Mkt H Inc. – China Agri European Wts Oct 11	80,000,000	2010/11/02	2011/10/31	20.00
26342	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2011	120,000,000	2010/11/02	2011/05/30	30.00
26318	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts May 2011	100,000,000	2010/11/02	2011/05/26	25.00
26343	Citigroup Global Mkt H Inc. – Fushan Energy Euro Wt May 2011	75,000,000	2010/11/02	2011/05/03	18.75
26322	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011 B	120,000,000	2010/11/02	2011/06/22	18.00
26344	Citigroup Global Mkt H Inc. – Greatwall Motor Euro Wts May11	80,000,000	2010/11/02	2011/05/30	20.00
26345	Citigroup Global Mkt H Inc. – SPDR Gold T European Wts Sep11	80,000,000	2010/11/02	2011/09/05	20.00
26328	Deutsche Bank AG – Belle Int'l European Warrants Feb 2011	80,000,000	2010/11/02	2011/02/28	12.00

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		發行單位	上市日期	到期日	
26330	Deutsche Bank AG – A50 China European Warrants Oct 2011	200,000,000	2010/11/02	2011/10/24	30.00
26329	Deutsche Bank AG – Geely Auto European Warrants May 2011 B	80,000,000	2010/11/02	2011/05/03	51.20
10016	Deutsche Bank AG – Gold European Put Warrants May 2011	50,000,000	2010/11/02	2011/05/24	12.50
26335	J P Morgan SP BV – CHALCO European Warrants May 2011	80,000,000	2010/11/02	2011/05/03	20.00
26319	J P Morgan SP BV – Dongfeng Motor European Warrants May 2011	100,000,000	2010/11/02	2011/05/03	25.00
26336	J P Morgan SP BV – Hutchison European Warrants May 2011	80,000,000	2010/11/02	2011/05/03	20.00
26316	Macquarie Bank Ltd. – China Bluechem European Warrants Jun12	88,000,000	2010/11/02	2012/06/04	22.00
26315	Macquarie Bank Ltd. – A50 China European Warrants Sep 2011	300,000,000	2010/11/02	2011/09/23	45.00
26317	Macquarie Bank Ltd. – China Mengniu European Wts Jul 2011	188,000,000	2010/11/02	2011/07/18	28.20
26338	RB of Scotland N.V. – Sinopec Corp European Warrants Aug 11	400,000,000	2010/11/02	2011/08/01	60.00
26337	RB of Scotland N.V. – A50 China European Warrants Jun 2011 B	250,000,000	2010/11/02	2011/06/22	37.50
10017	RB of Scotland N.V. – Gold European Warrants May 2011	100,000,000	2010/11/02	2011/05/31	25.40
26339	RB of Scotland N.V. – HSI European Put Warrants Apr 2011 B	250,000,000	2010/11/02	2011/04/28	57.00
26331	UBS AG – BOCL European Warrants Apr 2011	100,000,000	2010/11/02	2011/04/18	15.00
26332	UBS AG – BYD European Warrants May 2011 B	100,000,000	2010/11/02	2011/05/17	25.00
26333	UBS AG – Sinopec Corp European Warrants Apr 2011 B	100,000,000	2010/11/02	2011/04/13	15.00
26334	UBS AG – ICBC European Warrants Mar 2011 A	100,000,000	2010/11/02	2011/03/23	15.00
24864#	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Mar 2011	90,000,000	2010/11/02	2011/03/15	10.53
21861#	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jan 11B	300,000,000	2010/11/02	2011/01/12	10.50
23638#	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11A	250,000,000	2010/11/02	2011/06/08	59.75
26356	BOCI Asia Ltd. – BYD European Warrants Dec 2011	300,000,000	2010/11/03	2011/12/05	45.00
26357	BOCI Asia Ltd. – China Coal European Warrants Oct 2011	100,000,000	2010/11/03	2011/10/03	25.00
26358	BOCI Asia Ltd. – China Shenhua European Warrants Jul 2011 A	100,000,000	2010/11/03	2011/07/04	25.00
26360	BOCI Asia Ltd. – Nine Dragons European Warrants Oct 2011	100,000,000	2010/11/03	2011/10/10	25.00
26361	BOCI Asia Ltd. – Sinopharm European Warrants Jun 2011	100,000,000	2010/11/03	2011/06/03	25.00
26359	BOCI Asia Ltd. – Yurun Food European Warrants Jun 2011 A	100,000,000	2010/11/03	2011/06/30	25.00
26367	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jun 2011	80,000,000	2010/11/03	2011/06/03	20.00
26362	Citigroup Global Mkt H Inc. – BYD European Warrants May 2011	100,000,000	2010/11/03	2011/05/11	25.00
26347	Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2011	120,000,000	2010/11/03	2011/10/21	18.00
26350	Citigroup Global Mkt H Inc. – PetroChina Euro Wts Nov 2011	100,000,000	2010/11/03	2011/11/14	15.00
26348	Macquarie Bank Ltd. – Bank of EA European Warrants Jun 2011	150,000,000	2010/11/03	2011/06/29	37.50
26349	Macquarie Bank Ltd. – CPIC European Warrants Jun 2011	150,000,000	2010/11/03	2011/06/29	37.65
26351	CC Rabobank B.A. – CPIC European Warrants May 2011 B	88,000,000	2010/11/03	2011/05/20	26.40
26352	CC Rabobank B.A. – China Ship Dev European Warrants May 2011	168,000,000	2010/11/03	2011/05/05	42.00
26353	CC Rabobank B.A. – Dongfeng Motor European Warrants May 2011	120,000,000	2010/11/03	2011/05/13	32.40
26354	CC Rabobank B.A. – Fushan Energy European Warrants Jun 2011	60,000,000	2010/11/03	2011/06/01	33.00
26355	CC Rabobank B.A. – Geely Auto European Warrants May 2011 B	60,000,000	2010/11/03	2011/05/16	43.20
26346	Standard Chartered Bank – Sinopec Corp European Wts May 2011	100,000,000	2010/11/03	2011/05/03	40.50
26363	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2011 A	200,000,000	2010/11/03	2011/05/30	52.00
26365	UBS AG – BYD European Warrants Jun 2011	100,000,000	2010/11/03	2011/06/14	25.00
26364	UBS AG – BYD European Warrants Oct 2011	200,000,000	2010/11/03	2011/10/17	50.00
26366	UBS AG – China Life European Warrants Feb 2011 D	200,000,000	2010/11/03	2011/02/28	40.00
20626#	Macquarie Bank Ltd. – HSBC European Warrants Apr 2011	400,000,000	2010/11/03	2011/04/11	10.80
26305#	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11C	250,000,000	2010/11/03	2011/04/29	49.75

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26301#	CC Rabobank B.A. – AIA European Warrants May 2011 B	350,000,000	2010/11/03	2011/05/30	84.70
21522#	CC Rabobank B.A. – Minsheng Bank European Warrants Mar 2011	300,000,000	2010/11/03	2011/03/23	15.00
25479#	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 B	200,000,000	2010/11/03	2011/06/01	46.40
26374	BOCI Asia Ltd. – CITBK European Warrants May 2011	100,000,000	2010/11/04	2011/05/04	25.50
26375	BOCI Asia Ltd. – Sinopec Corp European Warrants May 2012	100,000,000	2010/11/04	2012/05/28	15.00
26376	BOCI Asia Ltd. – PetroChina European Warrants Nov 2011	100,000,000	2010/11/04	2011/11/24	15.00
26368	Credit Suisse AG – A50 China European Warrants Mar 2011	300,000,000	2010/11/04	2011/03/28	45.00
26369	Merrill Lynch Int'l & Co. C.V. – BOCL European Wts Apr 2011B	70,000,000	2010/11/04	2011/04/19	10.50
26370	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2011 A	100,000,000	2010/11/04	2011/05/09	15.20
26373	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts Apr 2011	100,000,000	2010/11/04	2011/04/19	25.00
26371	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts May 2011 E	100,000,000	2010/11/04	2011/05/31	15.00
26372	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 E	100,000,000	2010/11/04	2011/06/21	15.50
10991#	Deutsche Bank AG – Nikkei 225 Index European Wts Mar 2011	100,000,000	2010/11/04	2011/03/11	18.50
26307#	HK Bank – AIA European Warrants Apr 2011 B	200,000,000	2010/11/04	2011/04/29	46.60
25022#	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Feb 2011 A	100,000,000	2010/11/04	2011/02/15	13.90
23096#	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jan 11B	100,000,000	2010/11/04	2011/01/24	19.10
26395	BNP Paribas Arbit Issu B.V. – BOCL European Wts Aug 2011 A	100,000,000	2010/11/05	2011/08/01	26.00
26396	BNP Paribas Arbit Issu B.V. – China Coal Euro Wts Sep 2011	100,000,000	2010/11/05	2011/09/01	25.00
26397	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Sep 2011	100,000,000	2010/11/05	2011/09/01	25.00
26398	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Euro Wts Aug 2011	100,000,000	2010/11/05	2011/08/01	27.00
26394	Citigroup Global Mkt H Inc. – CMOC European Warrants Dec 11	80,000,000	2010/11/05	2011/12/19	20.00
26387	Deutsche Bank AG – China Overseas European Warrants Mar 2011	80,000,000	2010/11/05	2011/03/07	16.00
26388	Deutsche Bank AG – HKEx European Warrants May 2011 D	300,000,000	2010/11/05	2011/05/03	45.00
26389	Deutsche Bank AG – Maanshan Iron European Warrants May 2011	80,000,000	2010/11/05	2011/05/30	48.00
26390	Deutsche Bank AG – Sinopharm European Warrants May 2011	80,000,000	2010/11/05	2011/05/09	36.00
26391	Deutsche Bank AG – Zijin Mining European Warrants Dec 2011	80,000,000	2010/11/05	2011/12/19	12.00
26393	Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2011 A	200,000,000	2010/11/05	2011/03/14	60.00
26382	HK Bank – Dongfeng Motor European Warrants Apr 2011	120,000,000	2010/11/05	2011/04/15	18.00
26383	HK Bank – Geely Auto European Warrants May 2011	100,000,000	2010/11/05	2011/05/05	25.00
26384	HK Bank – KECL European Warrants May 2011	100,000,000	2010/11/05	2011/05/05	25.00
26385	HK Bank – Shanghai Electric European Warrants Jun 2011	100,000,000	2010/11/05	2011/06/16	25.00
26386	HK Bank – ZTE European Warrants Sep 2011	120,000,000	2010/11/05	2011/09/23	30.00
26392	J P Morgan SP BV – Cheung Kong European Warrants Apr 2012	200,000,000	2010/11/05	2012/04/30	50.00
26401	KBC Fin Products Int'l Ltd. – AIA European Warrants Jun 2011	238,000,000	2010/11/05	2011/06/01	59.50
26404	KBC Fin Products Int'l Ltd. -AIA European Put Warrants May11	188,000,000	2010/11/05	2011/05/09	47.00
26399	KBC Fin Products Int'l Ltd. – AIA European Warrants May 11A	238,000,000	2010/11/05	2011/05/09	59.50
26400	KBC Fin Products Int'l Ltd. – AIA European Warrants May 11B	238,000,000	2010/11/05	2011/05/18	59.50
26377	Macquarie Bank Ltd. – Avichina European Warrants May 2011	120,000,000	2010/11/05	2011/05/30	31.32
26378	Macquarie Bank Ltd. -Greatwall Motor European Warrants May11	250,000,000	2010/11/05	2011/05/30	62.75
26379	Macquarie Bank Ltd. – LOccitane European Warrants May 2011	250,000,000	2010/11/05	2011/05/30	63.50
26380	Macquarie Bank Ltd. – Sands China European Warrants May 2011	200,000,000	2010/11/05	2011/05/30	50.00
26402	CC Rabobank B.A. – AIA European Warrants May 2011 C	500,000,000	2010/11/05	2011/05/06	125.00
26403	CC Rabobank B.A. – China Oilfield European Warrants May 2011	150,000,000	2010/11/05	2011/05/30	37.50
26405	CC Rabobank B.A. – Renhe Comm European Warrants Jun 2011	120,000,000	2010/11/05	2011/06/29	30.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26406	CC Rabobank B.A. – Tsingtao Brew European Warrants May 2011	100,000,000	2010/11/05	2011/05/13	49.00
26407	CC Rabobank B.A. – Wynn Macau European Warrants May 2011	120,000,000	2010/11/05	2011/05/30	30.00
26381	Standard Chartered Bank-China Life European Warrants Aug 11	100,000,000	2010/11/05	2011/08/30	28.50
19804 [#]	Citigroup Global Mkt H Inc. – KECL European Warrants Feb 11	218,000,000	2010/11/05	2011/02/14	10.03
26302 [#]	CC Rabobank B.A. – AIA European Warrants Jul 2011	350,000,000	2010/11/05	2011/07/28	76.30
23662 [#]	CC Rabobank B.A. – KECL European Warrants Jun 2011	170,000,000	2010/11/05	2011/06/29	11.22
26408	HK Bank – AIA European Warrants May 2011	250,000,000	2010/11/08	2011/05/09	62.50
26409	HK Bank – AIA European Warrants Jun 2011	250,000,000	2010/11/08	2011/06/08	62.50
26412	HK Bank – AIA European Put Warrants May 2011	200,000,000	2010/11/08	2011/05/09	50.00
26425	Macquarie Bank Ltd. – Ch Molybdenum European Wts May 2011	150,000,000	2010/11/08	2011/05/27	37.50
26429	CC Rabobank B.A. – AIA European Put Warrants May 2011 D	280,000,000	2010/11/08	2011/05/09	70.00
26430	CC Rabobank B.A. – AIA European Put Warrants May 2011 E	280,000,000	2010/11/08	2011/05/09	92.40
26426	CC Rabobank B.A. – China Coal European Warrants May 2011	150,000,000	2010/11/08	2011/05/24	37.50
26427	CC Rabobank B.A. – Sands China European Warrants May 2011	138,000,000	2010/11/08	2011/05/30	34.50
26428	CC Rabobank B.A. – SJM Holdings European Warrants May 2011	180,000,000	2010/11/08	2011/05/24	45.00
26416	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Apr 2011	100,000,000	2010/11/08	2011/04/11	16.00
26417	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Jul 2011	100,000,000	2010/11/08	2011/07/04	15.00
26418	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt Aug 2011	100,000,000	2010/11/08	2011/08/22	17.00
26419	SGA Societe Generale Acceptance N.V. – CTel Eu Wt May 2011	80,000,000	2010/11/08	2011/05/16	12.00
26413	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Apr 11B	300,000,000	2010/11/08	2011/04/11	51.00
26420	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt May 11B	300,000,000	2010/11/08	2011/05/04	51.00
26421	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 11C	300,000,000	2010/11/08	2011/06/29	45.00
26414	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Dec 11A	300,000,000	2010/11/08	2011/12/14	57.00
26415	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Dec 11B	300,000,000	2010/11/08	2011/12/21	60.00
26410	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 11B	120,000,000	2010/11/08	2011/05/16	50.40
26411	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 11C	120,000,000	2010/11/08	2011/05/25	44.40
26422	UBS AG – BankComm European Warrants Apr 2011	100,000,000	2010/11/08	2011/04/08	30.00
26423	UBS AG – Minsheng Bank European Warrants Apr 2011	100,000,000	2010/11/08	2011/04/18	15.00
26424	UBS AG – HKEx European Warrants May 2011 F	300,000,000	2010/11/08	2011/05/23	75.00
23999 [#]	J P Morgan SP BV – ICBC European Warrants Feb 2011	200,000,000	2010/11/08	2011/02/10	55.00
26305 [#]	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11C	370,000,000	2010/11/08	2011/04/29	65.86
24900 [#]	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Feb 11J	300,000,000	2010/11/08	2011/02/25	16.20
26439	BNP Paribas Arbit Issu B.V. – Chaoda Modern Euro Wts Nov 12	100,000,000	2010/11/09	2012/11/01	25.00
26454	BNP Paribas Arbit Issu B.V. – Ch Southern Air Euro Wts Jun13	100,000,000	2010/11/09	2013/06/03	25.00
26449	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 C	300,000,000	2010/11/09	2011/04/26	45.00
26450	Citigroup Global Mkt H Inc.-Alibaba European Warrants May 11	80,000,000	2010/11/09	2011/05/17	12.00
26451	Citigroup Global Mkt H Inc. – Cheung Kong Eu Put Wt Nov 2011	80,000,000	2010/11/09	2011/11/11	14.40
26452	Citigroup Global Mkt H Inc. – CNOOC European Wts Jul 2011	100,000,000	2010/11/09	2011/07/25	25.00
26453	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts Jun 11	80,000,000	2010/11/09	2011/06/20	20.00
26433	Citigroup Global Mkt H Inc. – Li & Fung European Wts May 11B	80,000,000	2010/11/09	2011/05/09	20.00
26445	Goldman Sachs SP (Asia) – CC Bank European Wts Apr 2011	200,000,000	2010/11/09	2011/04/04	90.00
26446	Goldman Sachs SP (Asia) – CC Bank European Wts May 2011A	200,000,000	2010/11/09	2011/05/16	76.00
26448	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2011 A	200,000,000	2010/11/09	2011/04/28	35.40
26447	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2011 E	200,000,000	2010/11/09	2011/03/30	35.00

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		發行單位	上市日期	到期日	
26436	J P Morgan SP BV – HSI European Warrants May 2011	200,000,000	2010/11/09	2011/05/30	50.00
26440	J P Morgan SP BV – HSI European Put Warrants May 2011	200,000,000	2010/11/09	2011/05/30	50.00
26435	J P Morgan SP BV – ICBC European Warrants May 2011	120,000,000	2010/11/09	2011/05/09	30.36
26434	J P Morgan SP BV – ICBC European Warrants Apr 2011 B	120,000,000	2010/11/09	2011/04/13	44.28
26432	Macquarie Bank Ltd. – Ch Oilfield European Warrants Jun 2011	200,000,000	2010/11/09	2011/06/02	50.00
26437	Macquarie Bank Ltd. – C Transmission European Warrants Aug11	200,000,000	2010/11/09	2011/08/01	50.80
26441	Macquarie Bank Ltd. – CITIC Pacific European Warrants Jun 11	200,000,000	2010/11/09	2011/06/02	50.00
26438	Macquarie Bank Ltd. – Evergrande European Warrants May 2011	88,000,000	2010/11/09	2011/05/30	22.00
26431	Standard Chartered Bank – Tencent Hldg European Wts Oct 2011	100,000,000	2010/11/09	2011/10/04	27.00
26442	UBS AG – China Mobile European Warrants Mar 2011 A	200,000,000	2010/11/09	2011/03/24	50.00
26443	UBS AG – HSBC European Warrants Apr 2011 B	200,000,000	2010/11/09	2011/04/19	60.00
26444	UBS AG – Hutchison European Warrants Jun 2011 A	200,000,000	2010/11/09	2011/06/14	50.00
25032 [#]	Credit Suisse AG – China Mobile European Warrants Jan 2011 A	300,000,000	2010/11/09	2011/01/31	69.30
26308 [#]	HK Bank – AIA European Warrants Sep 2011	250,000,000	2010/11/09	2011/09/15	55.50
25325 [#]	KBC Fin Products Int'l Ltd. – HSBC European Wts Feb 2011 B	180,000,000	2010/11/09	2011/02/08	58.50
23887 [#]	UBS AG – China Mobile European Warrants Feb 2011 A	200,000,000	2010/11/09	2011/02/14	45.40
26494	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2011	100,000,000	2010/11/10	2011/03/28	19.00
26495	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2011	100,000,000	2010/11/10	2011/05/04	20.00
26504	BNP Paribas Arbit Issu B.V. – ICBC European Wts Jul 2011	100,000,000	2010/11/10	2011/07/04	32.00
26498	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 D	300,000,000	2010/11/10	2011/04/11	45.00
26501	Credit Suisse AG – HSI European Warrants Apr 2011 D	300,000,000	2010/11/10	2011/04/28	45.00
26496	Credit Suisse AG – HSI European Put Warrants Apr 2011 B	300,000,000	2010/11/10	2011/04/28	45.00
26497	Credit Suisse AG – HSI European Put Warrants Apr 2011 C	300,000,000	2010/11/10	2011/04/28	69.00
26502	Credit Suisse AG – ICBC European Warrants Feb 2015	500,000,000	2010/11/10	2015/02/23	125.00
26503	Citigroup Global Mkt H Inc. – SHK Ppt European Wts Aug 2011B	80,000,000	2010/11/10	2011/08/24	14.40
26499	Deutsche Bank AG – ICBC European Warrants May 2011	300,000,000	2010/11/10	2011/05/03	66.00
26457	HK Bank – Ch Ship Cont European Warrants Jun 2011 B	80,000,000	2010/11/10	2011/06/16	20.00
26462	HK Bank – HSI European Put Warrants May 2011 E	250,000,000	2010/11/10	2011/05/30	62.50
26456	HK Bank – HSI European Warrants May 2011 B	250,000,000	2010/11/10	2011/05/30	62.50
26458	HK Bank – Jiangxi Copper European Warrants May 2011 B	120,000,000	2010/11/10	2011/05/20	30.00
26459	HK Bank – PetroChina European Warrants Apr 2011	120,000,000	2010/11/10	2011/04/14	30.00
26460	HK Bank – PICC European Warrants Jul 2011 C	100,000,000	2010/11/10	2011/07/28	25.00
26461	HK Bank – Renhe Comm European Warrants Oct 2011	80,000,000	2010/11/10	2011/10/20	20.00
26505	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr 11D	198,000,000	2010/11/10	2011/04/07	29.70
26506	KBC Fin Products Int'l Ltd. – ICBC Euro Put Wts May 2011	88,000,000	2010/11/10	2011/05/11	22.00
26491	Macquarie Bank Ltd. – ICBC European Warrants Jul 2011 A	150,000,000	2010/11/10	2011/07/05	40.35
26455	Macquarie Bank Ltd. – Sino Land European Warrants Jul 2011	200,000,000	2010/11/10	2011/07/04	50.00
26468	CC Rabobank B.A. – CHALCO European Warrants May 2011	50,000,000	2010/11/10	2011/05/23	21.50
26463	CC Rabobank B.A. – Shineway Pharm European Warrants May 2011	100,000,000	2010/11/10	2011/05/18	37.00
26469	CC Rabobank B.A. – Ch Zhongwang European Warrants May 2011	70,000,000	2010/11/10	2011/05/23	37.10
26470	CC Rabobank B.A. – GCL-Poly Energy European Wts Jun 2011 A	120,000,000	2010/11/10	2011/06/17	37.20
26464	CC Rabobank B.A. – Lee & Man Paper European Warrants Jun 12	300,000,000	2010/11/10	2012/06/28	75.00
26465	CC Rabobank B.A. – Shimao Ppt European Warrants Oct 2011	150,000,000	2010/11/10	2011/10/28	37.50
26471	CC Rabobank B.A. – Sino Ocean Land European Warrants Jul 12	300,000,000	2010/11/10	2012/07/30	75.00

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		發行單位	上市日期	到期日	
26466	CC Rabobank B.A. – Yuexiu Property European Warrants Jun 11	120,000,000	2010/11/10	2011/06/29	30.00
26467	CC Rabobank B.A. – Zhaojin Mining European Warrants May 2011	120,000,000	2010/11/10	2011/05/20	40.80
26472	CC Rabobank B.A. – Zijin Mining European Warrants Oct 2011	280,000,000	2010/11/10	2011/10/03	70.00
26500	RB of Scotland N.V. – Sinopec Corp European Warrants May 11B	100,000,000	2010/11/10	2011/05/11	27.70
26473	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Mar 2011	200,000,000	2010/11/10	2011/03/14	42.00
26474	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Jul 2011	400,000,000	2010/11/10	2011/07/04	60.00
26475	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt May 11	50,000,000	2010/11/10	2011/05/11	25.00
26477	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2011D	300,000,000	2010/11/10	2011/04/20	51.00
26478	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011A	300,000,000	2010/11/10	2011/06/20	75.00
26479	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jul 11 A	300,000,000	2010/11/10	2011/07/25	54.00
26476	SGA Societe Generale Acceptance N.V. – CMB Euro Wts May 2011	200,000,000	2010/11/10	2011/05/11	50.00
26480	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jun 11A	100,000,000	2010/11/10	2011/06/01	25.00
26481	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts May11	80,000,000	2010/11/10	2011/05/11	20.00
26483	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011 B	300,000,000	2010/11/10	2011/04/27	45.00
26485	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jun 2011A	200,000,000	2010/11/10	2011/06/22	30.00
26484	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 D	200,000,000	2010/11/10	2011/05/11	80.00
26482	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 E	300,000,000	2010/11/10	2011/04/28	45.00
26486	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Mar 2011 C	120,000,000	2010/11/10	2011/03/23	26.40
26487	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2011 C	120,000,000	2010/11/10	2011/04/20	18.00
26488	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts May 2011	200,000,000	2010/11/10	2011/05/11	52.00
26489	SGA Societe Generale Acceptance N.V. -Sinopharm Eu Wts May11	60,000,000	2010/11/10	2011/05/11	15.60
26490	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Aug 11	300,000,000	2010/11/10	2011/08/31	45.00
26492	UBS AG – Cheung Kong European Warrants Apr 2011	100,000,000	2010/11/10	2011/04/11	40.00
26493	UBS AG – SHK Ppt European Warrants Dec 2011	100,000,000	2010/11/10	2011/12/19	15.00
25755*	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 B	300,000,000	2010/11/10	2011/04/04	34.20
26304#	KBC Fin Products Int'l Ltd. – AIA European Warrants Apr 11B	200,000,000	2010/11/10	2011/04/29	60.00
26527	Barclays Bank plc – Agricultural Bank European Wts Jul 2011B	100,000,000	2010/11/11	2011/07/18	25.00
26528	Barclays Bank plc – Sinopec Corp European Wts May 2012	150,000,000	2010/11/11	2012/05/21	22.50
26530	Barclays Bank plc – Sinopec Corp European Put Wts Jul 2011	100,000,000	2010/11/11	2011/07/04	37.00
26526	Barclays Bank plc – HSI European Warrants May 2011	150,000,000	2010/11/11	2011/05/30	37.50
26524	Barclays Bank plc – HSI European Warrants Apr 2011 K	150,000,000	2010/11/11	2011/04/28	24.00
26525	Barclays Bank plc – HSI European Warrants Apr 2011 L	150,000,000	2010/11/11	2011/04/28	24.00
26531	Barclays Bank plc – HSI European Put Warrants May 2011 A	150,000,000	2010/11/11	2011/05/30	37.50
26532	Barclays Bank plc – HSI European Put Warrants May 2011 B	150,000,000	2010/11/11	2011/05/30	37.50
26529	Barclays Bank plc -Tencent Holdings European Warrants Sep 11	150,000,000	2010/11/11	2011/09/12	37.50
26533	Barclays Bank plc – Tencent Holdings European Put Wts Sep11B	150,000,000	2010/11/11	2011/09/12	37.50
26563	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Nov 2012	100,000,000	2010/11/11	2012/11/01	27.00
26564	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2011	100,000,000	2010/11/11	2011/10/28	26.00
26565	BNP Paribas Arbit Issu B.V. – HSI European Wts Nov 2011	100,000,000	2010/11/11	2011/11/29	26.00
26566	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2011	100,000,000	2010/11/11	2011/06/29	25.00
26543	Credit Suisse AG – China Mobile European Warrants Mar 2011A	300,000,000	2010/11/11	2011/03/14	45.00
26544	Credit Suisse AG – China Mobile European Warrants Apr 2011	300,000,000	2010/11/11	2011/04/29	45.00
26550	Deutsche Bank AG – CITIC Bank European Warrants May 2011	80,000,000	2010/11/11	2011/05/11	28.00
26551	Deutsche Bank AG – HSBC European Warrants Feb 2011 C	300,000,000	2010/11/11	2011/02/21	57.00

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		發行單位	上市日期	到期日	
26552	Deutsche Bank AG – HSBC European Warrants Feb 2011 D	300,000,000	2010/11/11	2011/02/28	45.00
26553	Deutsche Bank AG – HSBC European Warrants Jun 2011 B	300,000,000	2010/11/11	2011/06/21	66.00
26562	Deutsche Bank AG – HSI European Warrants May 2011 C	300,000,000	2010/11/11	2011/05/30	75.00
26554	Deutsche Bank AG – SJM Holdings European Warrants Jun 2011 B	80,000,000	2010/11/11	2011/06/07	20.00
26547	Goldman Sachs SP (Asia) – HSI European Put Wts May 2011 C	200,000,000	2010/11/11	2011/05/30	50.00
26545	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2011 F	200,000,000	2010/11/11	2011/03/30	31.00
26546	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2011 B	200,000,000	2010/11/11	2011/04/28	52.00
26534	HK Bank – Agricultural Bank European Warrants Feb 2011	120,000,000	2010/11/11	2011/02/25	18.00
26535	HK Bank – HWL European Warrants Oct 2012	200,000,000	2010/11/11	2012/10/16	30.00
26536	J P Morgan SP BV – Minsheng Bank European Warrants Feb 2011	100,000,000	2010/11/11	2011/02/21	15.00
26537	J P Morgan SP BV – ICBC European Warrants Apr 2011 C	200,000,000	2010/11/11	2011/04/21	30.00
26557	KBC Fin Products Int'l Ltd. – CK(H) Euro Put Wts Nov 2011	108,000,000	2010/11/11	2011/11/04	16.20
26555	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jun 11A	128,000,000	2010/11/11	2011/06/01	19.20
26556	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts May 2011	158,000,000	2010/11/11	2011/05/05	56.88
26539	Macquarie Bank Ltd. – HSCEI European Warrants Jun 2011	200,000,000	2010/11/11	2011/06/29	50.00
26538	Macquarie Bank Ltd. – HSI European Warrants May 2011	200,000,000	2010/11/11	2011/05/30	37.80
26542	Macquarie Bank Ltd. – HSI European Put Warrants May 2011	200,000,000	2010/11/11	2011/05/30	50.00
26540	Macquarie Bank Ltd. – SHK Ppt European Warrants Apr 2011	75,000,000	2010/11/11	2011/04/26	59.10
26541	Macquarie Bank Ltd. – SHK Ppt European Put Warrants Jun 2011	200,000,000	2010/11/11	2011/06/03	30.00
26507	Merrill Lynch Int'l & Co. C.V. – HSCEI European Wts Apr 11C	100,000,000	2010/11/11	2011/04/28	16.90
26513	Merrill Lynch Int'l & Co. C.V. – HSCEI Euro Put Wts Apr 2011	100,000,000	2010/11/11	2011/04/28	15.70
26511	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Mar11J	200,000,000	2010/11/11	2011/03/30	40.60
26508	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Apr11B	200,000,000	2010/11/11	2011/04/28	46.60
26509	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Apr11C	200,000,000	2010/11/11	2011/04/28	31.80
26510	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Apr11D	200,000,000	2010/11/11	2011/04/28	30.20
26512	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants May11B	200,000,000	2010/11/11	2011/05/30	30.00
26514	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Mar11K	200,000,000	2010/11/11	2011/03/30	30.00
26515	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Apr11E	200,000,000	2010/11/11	2011/04/28	30.40
26516	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Apr11F	200,000,000	2010/11/11	2011/04/28	33.40
26518	Nomura Int'l plc – BOC HK European Warrants Jul 2011	100,000,000	2010/11/11	2011/07/25	20.90
26519	Nomura Int'l plc – CNOOC European Warrants Jul 2011 A	100,000,000	2010/11/11	2011/07/18	20.00
26520	Nomura Int'l plc – Sinopec European Warrants May 2012	250,000,000	2010/11/11	2012/05/21	37.50
26517	Nomura Int'l plc – HKEx European Warrants Apr 2011	300,000,000	2010/11/11	2011/04/18	45.00
26521	Nomura Int'l plc – HKEx European Warrants Jul 2011 B	300,000,000	2010/11/11	2011/07/18	51.90
26548	RB of Scotland N.V. – HWL European Warrants Jun 2011 A	80,000,000	2010/11/11	2011/06/02	29.28
26549	RB of Scotland N.V. – ICBC European Warrants Jun 2011 B	100,000,000	2010/11/11	2011/06/10	32.90
26522	Standard Chartered Bank – Sinopec Corp European Wts Dec 2011	100,000,000	2010/11/11	2011/12/01	40.00
26523	Standard Chartered Bank – PetroChina European Warrants Jan12	100,000,000	2010/11/11	2012/01/12	25.00
26558	UBS AG – Agricultural Bank European Warrants Apr 2011 B	200,000,000	2010/11/11	2011/04/26	30.00
26560	UBS AG – HSBC European Warrants Mar 2011	200,000,000	2010/11/11	2011/03/30	50.00
26561	UBS AG – HSI European Warrants May 2011 C	200,000,000	2010/11/11	2011/05/30	50.00
26559	UBS AG – ICBC European Warrants Apr 2011 A	200,000,000	2010/11/11	2011/04/20	30.00
25559*	Deutsche Bank AG – China Life European Warrants Feb 2011 B	300,000,000	2010/11/11	2011/02/28	64.50
26307*	HK Bank – AIA European Warrants Apr 2011 B	300,000,000	2010/11/11	2011/04/29	97.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26399 [#]	KBC Fin Products Int'l Ltd. – AIA European Warrants May 11A	370,000,000	2010/11/11	2011/05/09	77.33
22010 [#]	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 A	300,000,000	2010/11/11	2011/02/28	53.10
25883 [#]	UBS AG – China Life European Warrants Feb 2011 C	200,000,000	2010/11/11	2011/02/16	27.20
26638	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jul 2011	100,000,000	2010/11/12	2011/07/04	25.00
26644	BNP Paribas Arbit Issu B.V.-BankComm European Warrants Dec 12	100,000,000	2010/11/12	2012/12/31	17.00
26639	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jun 2011	200,000,000	2010/11/12	2011/06/01	118.00
26640	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Dec 2011	200,000,000	2010/11/12	2011/12/01	84.00
26641	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2011 A	200,000,000	2010/11/12	2011/07/04	78.00
26642	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2012	200,000,000	2010/11/12	2012/06/01	96.00
26643	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Aug2011A	100,000,000	2010/11/12	2011/08/01	38.00
26599	Credit Suisse AG – Cheung Kong European Warrants Jun 2011	300,000,000	2010/11/12	2011/06/07	75.00
26605	Credit Suisse AG – CNOOC European Warrants Apr 2011 D	300,000,000	2010/11/12	2011/04/18	45.00
26603	Credit Suisse AG – Sinopec Corp European Warrants May 2011 B	300,000,000	2010/11/12	2011/05/16	75.00
26604	Credit Suisse AG – HKEx European Warrants Jul 2011 C	300,000,000	2010/11/12	2011/07/18	75.00
26600	Credit Suisse AG – HSBC European Wts Apr 2011 C	300,000,000	2010/11/12	2011/04/18	45.00
26606	Credit Suisse AG – HSI European Warrants Apr 2011 E	300,000,000	2010/11/12	2011/04/28	45.00
26601	Credit Suisse AG – SHK Ppt European Warrants Jun 2011	300,000,000	2010/11/12	2011/06/27	75.00
26602	Credit Suisse AG – SHK Ppt European Warrants Aug 2011	300,000,000	2010/11/12	2011/08/29	75.00
26589	Deutsche Bank AG – Sands China European Warrants May 2011	80,000,000	2010/11/12	2011/05/12	20.00
26595	Deutsche Bank AG – Wynn Macau European Warrants May 2011	80,000,000	2010/11/12	2011/05/12	20.00
26617	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 A	200,000,000	2010/11/12	2011/05/16	51.00
26616	Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2011 B	200,000,000	2010/11/12	2011/03/16	39.20
26613	HK Bank – BOCL European Warrants May 2011 A	120,000,000	2010/11/12	2011/05/12	30.00
26614	HK Bank – BOCL European Warrants May 2011 B	120,000,000	2010/11/12	2011/05/25	30.00
26615	HK Bank – Henderson Land Dev European Warrants Jun 2011	120,000,000	2010/11/12	2011/06/08	30.00
26635	J P Morgan SP BV – BOCL European Warrants May 2011	200,000,000	2010/11/12	2011/05/12	50.00
26636	J P Morgan SP BV – HKEx European Warrants May 2011	300,000,000	2010/11/12	2011/05/18	75.00
26637	J P Morgan SP BV – HSBC European Warrants Feb 2011 B	200,000,000	2010/11/12	2011/02/14	39.60
26612	KBC Fin Products Int'l Ltd. – BOCL Euro Put Wts May 2011	88,000,000	2010/11/12	2011/05/12	22.00
26609	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 C	128,000,000	2010/11/12	2011/04/18	29.82
26610	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2011 B	128,000,000	2010/11/12	2011/05/16	32.00
26624	KBC Fin Products Int'l Ltd. – CMB European Warrants Jul 2011	88,000,000	2010/11/12	2011/07/04	13.20
26625	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts May 2011 B	128,000,000	2010/11/12	2011/05/03	48.64
26607	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 A	200,000,000	2010/11/12	2011/05/30	50.00
26608	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 H	200,000,000	2010/11/12	2011/06/29	50.00
26611	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 D	200,000,000	2010/11/12	2011/05/30	50.00
26626	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 B	158,000,000	2010/11/12	2011/05/12	39.50
26627	KBC Fin Products Int'l Ltd. – SHK Ppt European Wts Sep 2011	108,000,000	2010/11/12	2011/09/15	27.00
26574	Macquarie Bank Ltd. -Agricultural Bk European Warrants May11	150,000,000	2010/11/12	2011/05/30	37.50
26579	Macquarie Bank Ltd. – Agricultural Bk European Put Wt May 11	150,000,000	2010/11/12	2011/05/30	41.40
26598	Macquarie Bank Ltd. – China Mobile European Wts Jun 2011 A	150,000,000	2010/11/12	2011/06/02	37.65
26597	Macquarie Bank Ltd. – China Overseas European Wts Nov 2011	150,000,000	2010/11/12	2011/11/02	37.65
26575	Macquarie Bank Ltd. – Cheung Kong European Warrants May 2011	150,000,000	2010/11/12	2011/05/12	80.40
26580	Macquarie Bank Ltd. – Cheung Kong European Put Wts Jun 2011	250,000,000	2010/11/12	2011/06/07	143.25

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		發行單位	上市日期	到期日	
26581	Macquarie Bank Ltd. – Cheung Kong European Put Wts Nov 2011	250,000,000	2010/11/12	2011/11/04	38.50
26583	Macquarie Bank Ltd. – HSBC European Warrants Mar 2011 B	200,000,000	2010/11/12	2011/03/02	30.00
26576	Macquarie Bank Ltd. – Li Ning European Warrants Jun 2011	250,000,000	2010/11/12	2011/06/30	63.25
26582	Macquarie Bank Ltd. – New World Dev European Put Wts Jul11	100,000,000	2010/11/12	2011/07/28	25.00
26577	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2011 B	250,000,000	2010/11/12	2011/06/30	62.75
26578	Macquarie Bank Ltd. – Wharf European Warrants Jun 2011	150,000,000	2010/11/12	2011/06/01	37.50
26596	Standard Chartered Bank – ICBC European Warrants Aug 2011	100,000,000	2010/11/12	2011/08/01	25.00
26628	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt May 2011 D	200,000,000	2010/11/12	2011/05/18	54.00
26584	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wts Jun 11	100,000,000	2010/11/12	2011/06/27	18.00
26585	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Jun 2011	50,000,000	2010/11/12	2011/06/13	14.00
26587	SGA Societe Generale Acceptance N.V-China EB Ltd Eu Wt May11	80,000,000	2010/11/12	2011/05/16	20.00
26588	SGA Societe Generale Acceptance N.V.-Ch LongYuan Eu Wt Dec11	200,000,000	2010/11/12	2011/12/21	30.00
26586	SGA Societe Generale Acceptance N.V-Chi COSCO Euro Wt Nov 11	200,000,000	2010/11/12	2011/11/09	38.00
26630	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Sep 2011	400,000,000	2010/11/12	2011/09/26	60.00
26571	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Oct 2011	300,000,000	2010/11/12	2011/10/24	45.00
26590	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jun11A	70,000,000	2010/11/12	2011/06/27	17.50
26591	SGA Societe Generale Acceptance N.V. – HLand Eur Wt May 2011	50,000,000	2010/11/12	2011/05/16	14.50
26569	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 E	300,000,000	2010/11/12	2011/05/18	138.00
26570	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2011 F	300,000,000	2010/11/12	2011/05/16	75.00
26632	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2011A	200,000,000	2010/11/12	2011/05/30	56.00
26633	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2011B	200,000,000	2010/11/12	2011/05/30	50.00
26634	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt May 11	200,000,000	2010/11/12	2011/05/30	56.00
26568	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 A	300,000,000	2010/11/12	2011/05/30	45.00
26567	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jul 2011	300,000,000	2010/11/12	2011/07/28	45.00
26573	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11D	300,000,000	2010/11/12	2011/05/30	75.00
26572	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11F	300,000,000	2010/11/12	2011/04/28	78.00
26592	SGA Societe Generale Acceptance N.V-HuanengPower Eu Wt Jun11	38,000,000	2010/11/12	2011/06/13	12.92
26629	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2012 A	1,200,000,000	2010/11/12	2012/10/15	180.00
26593	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Nov 11	200,000,000	2010/11/12	2011/11/14	50.00
26631	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun 11A	150,000,000	2010/11/12	2011/06/22	22.50
26594	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun11A	120,000,000	2010/11/12	2011/06/13	30.00
26618	UBS AG – BOCL European Warrants May 2011 B	100,000,000	2010/11/12	2011/05/19	25.00
26621	UBS AG – China Life European Warrants May 2011	300,000,000	2010/11/12	2011/05/23	75.00
26620	UBS AG – CNOOC European Warrants Jun 2011 B	100,000,000	2010/11/12	2011/06/09	25.00
26619	UBS AG – Sinopec Corp European Warrants May 2011	100,000,000	2010/11/12	2011/05/12	25.00
26622	UBS AG – PetroChina European Warrants Apr 2011 B	100,000,000	2010/11/12	2011/04/18	30.00
26623	UBS AG – Ping An European Warrants May 2011 B	100,000,000	2010/11/12	2011/05/12	25.00
23880 [#]	Macquarie Bank Ltd. – ICBC European Warrants Feb 2011	200,000,000	2010/11/12	2011/02/07	74.00
23836 [#]	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Mar 2011 A	280,000,000	2010/11/12	2011/03/01	52.08
25995 [#]	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Jun 2011 C	200,000,000	2010/11/12	2011/06/22	24.40
26057 [#]	UBS AG – HKEx European Warrants May 2011 E	300,000,000	2010/11/12	2011/05/16	46.20
23891 [#]	UBS AG – HSBC European Warrants Feb 2011	200,000,000	2010/11/12	2011/02/14	51.00
26690	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Nov 11	100,000,000	2010/11/15	2011/11/01	35.00
26691	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Nov 2011 B	100,000,000	2010/11/15	2011/11/01	29.00

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		發行單位	上市日期	到期日	
26698	Credit Suisse AG – China Life European Warrants May 2011 B	300,000,000	2010/11/15	2011/05/09	45.00
26694	Credit Suisse AG – HKEx European Warrants May 2011 C	300,000,000	2010/11/15	2011/05/09	45.00
26695	Credit Suisse AG – HKEx European Warrants May 2011 D	300,000,000	2010/11/15	2011/05/16	75.00
26696	Credit Suisse AG – HKEx European Warrants Jun 2011 E	300,000,000	2010/11/15	2011/06/13	75.00
26692	Credit Suisse AG – HSBC European Wts Mar 2011 A	300,000,000	2010/11/15	2011/03/28	75.00
26679	Credit Suisse AG – HSBC European Wts Apr 2011 D	300,000,000	2010/11/15	2011/04/04	102.00
26680	Credit Suisse AG – Hutchison European Warrants Apr 2011 G	300,000,000	2010/11/15	2011/04/11	45.00
26699	Credit Suisse AG – Hutchison European Warrants Mar 2012 B	300,000,000	2010/11/15	2012/03/28	75.00
26711	Credit Suisse AG – ICBC European Warrants May 2011	300,000,000	2010/11/15	2011/05/16	75.00
26693	Credit Suisse AG – Jiangxi Copper European Wts May 2011	200,000,000	2010/11/15	2011/05/16	50.00
26697	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 D	300,000,000	2010/11/15	2011/06/27	45.00
26666	Citigroup Global Mkt H Inc. – A50 China Euro Wts Sep 2011 B	120,000,000	2010/11/15	2011/09/22	18.00
26685	Deutsche Bank AG – BOCL European Warrants Apr 2011 C	150,000,000	2010/11/15	2011/04/18	36.00
26686	Deutsche Bank AG – CNBM European Warrants May 2011	80,000,000	2010/11/15	2011/05/31	13.60
26706	Deutsche Bank AG – CNOOC European Warrants Jul 2011	200,000,000	2010/11/15	2011/07/18	50.00
26687	Deutsche Bank AG – Sinopec Corp European Warrants Apr 2011 B	150,000,000	2010/11/15	2011/04/18	55.50
10020	Deutsche Bank AG – EUR/USD European Put Warrants May 2011	50,000,000	2010/11/15	2011/05/20	14.00
10018	Deutsche Bank AG – Gold European Warrants Jun 2011	50,000,000	2010/11/15	2011/06/08	12.50
26688	Deutsche Bank AG – HKEx European Warrants May 2011 E	300,000,000	2010/11/15	2011/05/16	45.00
26702	Deutsche Bank AG – HSI European Warrants Jun 2011 A	300,000,000	2010/11/15	2011/06/29	75.00
26703	Deutsche Bank AG – HSI European Put Warrants May 2011 A	300,000,000	2010/11/15	2011/05/30	123.00
26704	Deutsche Bank AG – HSI European Put Warrants May 2011 B	300,000,000	2010/11/15	2011/05/30	123.00
26689	Deutsche Bank AG – Yanzhou Coal European Warrants Jun 2011 B	80,000,000	2010/11/15	2011/06/13	24.80
26681	J P Morgan SP BV – CNOOC European Warrants Jul 2011 A	200,000,000	2010/11/15	2011/07/25	50.00
26682	J P Morgan SP BV – JX Copper European Warrants May 2011	200,000,000	2010/11/15	2011/05/30	50.00
26713	KBC Fin Products Int'l Ltd. – BOC HK European Wts Jun 2011	128,000,000	2010/11/15	2011/06/03	32.00
26714	KBC Fin Products Int'l Ltd. – HKEx European Warrants Jun 11B	128,000,000	2010/11/15	2011/06/15	32.00
26712	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 D	128,000,000	2010/11/15	2011/05/16	53.76
26716	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts May 2011 E	108,000,000	2010/11/15	2011/05/25	27.00
26715	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts Nov 2011	128,000,000	2010/11/15	2011/11/14	19.20
26668	Macquarie Bank Ltd. – BankComm European Warrants Jun 2011	200,000,000	2010/11/15	2011/06/02	54.00
26701	Macquarie Bank Ltd. – China Life European Wts Jul 2011	500,000,000	2010/11/15	2011/07/06	125.50
26705	Macquarie Bank Ltd. – China Life European Put Wts Jul 2011	500,000,000	2010/11/15	2011/07/06	213.50
26667	Macquarie Bank Ltd. – Jiangxi Copper European Wts May 2011 C	250,000,000	2010/11/15	2011/05/20	63.25
26651	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2011 B	100,000,000	2010/11/15	2011/03/07	35.00
26648	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Oct 2011	100,000,000	2010/11/15	2011/10/21	15.00
26647	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Apr 2011	80,000,000	2010/11/15	2011/04/15	21.60
26645	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011 B	80,000,000	2010/11/15	2011/03/09	12.72
26654	CC Rabobank B.A. – A50 China European Warrants Jun 2011 B	150,000,000	2010/11/15	2011/06/22	22.50
26655	CC Rabobank B.A. – A50 China European Warrants Jul 2011	150,000,000	2010/11/15	2011/07/28	37.50
26665	CC Rabobank B.A. – A50 China European Warrants Sep 2011	150,000,000	2010/11/15	2011/09/22	22.50
26649	RB of Scotland N.V. – HSI European Warrants Apr 2011 D	250,000,000	2010/11/15	2011/04/28	65.25
26650	RB of Scotland N.V. – HSI European Warrants Apr 2011 E	250,000,000	2010/11/15	2011/04/28	64.25
26653	Standard Chartered Bank – China Mobile European Wts Jun2011A	100,000,000	2010/11/15	2011/06/03	25.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26652	Standard Chartered Bank – HKEx European Warrants Sep 2011	100,000,000	2010/11/15	2011/09/29	25.00
26669	SGA Societe Generale Acceptance N.V. -Agile Ppt Eu Wts Jul11	200,000,000	2010/11/15	2011/07/20	50.00
26670	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt Jun11A	120,000,000	2010/11/15	2011/06/20	19.20
26657	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 11A	200,000,000	2010/11/15	2011/05/16	60.00
26671	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Jun11A	200,000,000	2010/11/15	2011/06/27	30.00
26673	SGA Societe Generale Acceptance N.V. -CITIC Pac Eu Wts May11	70,000,000	2010/11/15	2011/05/30	17.50
26656	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 11E	200,000,000	2010/11/15	2011/04/27	38.00
26659	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt May 2011	300,000,000	2010/11/15	2011/05/18	81.00
26660	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2011	300,000,000	2010/11/15	2011/06/22	75.00
26658	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt May 2012	500,000,000	2010/11/15	2012/05/21	75.00
26672	SGA Societe Generale Acceptance N.V.-Ch Ship Cont Eu Wt May11	50,000,000	2010/11/15	2011/05/16	18.00
26675	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts Jul 11	150,000,000	2010/11/15	2011/07/27	24.00
26674	SGA Societe Generale Acceptance N.V. -Geely Auto Eu Wt May11	80,000,000	2010/11/15	2011/05/16	42.40
26661	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 B	300,000,000	2010/11/15	2011/05/11	45.00
26662	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 C	300,000,000	2010/11/15	2011/05/30	57.00
26683	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2011 C	300,000,000	2010/11/15	2011/08/01	75.00
26684	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Nov 2011 B	300,000,000	2010/11/15	2011/11/28	75.00
26676	SGA Societe Generale Acceptance N.V. – Lenovo Eur Wts May11A	50,000,000	2010/11/15	2011/05/16	19.00
26677	SGA Societe Generale Acceptance N.V.-Mongolia En Eu Wt May11	50,000,000	2010/11/15	2011/05/16	14.50
26678	SGA Societe Generale Acceptance N.V.-Nine Dragons Eu Wt Aug11	120,000,000	2010/11/15	2011/08/29	30.00
26663	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2011A	50,000,000	2010/11/15	2011/05/16	33.50
26664	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt May 2011B	50,000,000	2010/11/15	2011/05/30	47.50
26707	UBS AG – Agricultural Bank European Warrants May 2011	200,000,000	2010/11/15	2011/05/16	50.00
26708	UBS AG – China Shenhua European Warrants Jun 2011 A	100,000,000	2010/11/15	2011/06/14	25.00
26709	UBS AG – HKEx European Warrants Jun 2011 C	300,000,000	2010/11/15	2011/06/27	75.00
26710	UBS AG – HKEx European Warrants Jul 2011 C	300,000,000	2010/11/15	2011/07/04	75.00
26700	UBS AG – HKEx European Put Warrants Jun 2011 E	200,000,000	2010/11/15	2011/06/27	50.00
20307 [#]	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2011 A	200,000,000	2010/11/15	2011/09/01	15.20
25968 [#]	Credit Suisse AG – HKEx European Warrants May 2011 B	300,000,000	2010/11/15	2011/05/16	54.30
23561 [#]	Citigroup Global Mkt H Inc. – Agricultural Bk Eu Wt Jan 11 B	175,500,000	2010/11/15	2011/01/18	10.00
23348 [#]	Deutsche Bank AG – China Life European Warrants Jan 2011 A	400,000,000	2010/11/15	2011/01/03	64.80
25955 [#]	Deutsche Bank AG – China Life European Warrants Feb 2011 C	300,000,000	2010/11/15	2011/02/14	31.80
24099 [#]	Deutsche Bank AG – China Mobile European Warrants Feb 2011 A	300,000,000	2010/11/15	2011/02/21	55.20
26388 [#]	Deutsche Bank AG – HKEx European Warrants May 2011 D	300,000,000	2010/11/15	2011/05/03	62.10
25958 [#]	Deutsche Bank AG – HSBC European Warrants Feb 2011 B	300,000,000	2010/11/15	2011/02/14	66.90
25935 [#]	HK Bank – HSI European Warrants Apr 2011	250,000,000	2010/11/15	2011/04/28	43.00
24542 [#]	J P Morgan SP BV – China Mob European Wts Mar 2011	250,000,000	2010/11/15	2011/03/01	22.50
24541 [#]	J P Morgan SP BV – China Life European Warrants Mar 2011 B	108,000,000	2010/11/15	2011/03/01	20.52
26400 [#]	KBC Fin Products Int'l Ltd. – AIA European Warrants May 11B	230,000,000	2010/11/15	2011/05/18	40.02
24165 [#]	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Feb 11D	138,000,000	2010/11/15	2011/02/14	22.36
25480 [#]	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Feb 2011 D	100,000,000	2010/11/15	2011/02/14	24.70
26720	Barclays Bank plc – BOCL European Warrants Aug 2011	100,000,000	2010/11/16	2011/08/08	25.00
26721	Barclays Bank plc – Cheung Kong European Put Warrants Nov 11	150,000,000	2010/11/16	2011/11/14	22.50
26723	Barclays Bank plc – HKEx European Put Warrants Jun 2011	150,000,000	2010/11/16	2011/06/27	22.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26719	Barclays Bank plc – HSBC European Warrants Jun 2011	100,000,000	2010/11/16	2011/06/07	29.00
26722	Barclays Bank plc – HSBC European Put Warrants Oct 2011	150,000,000	2010/11/16	2011/10/03	22.50
26729	BOCI Asia Ltd. – China Mobile European Warrants May 2011	100,000,000	2010/11/16	2011/05/16	25.00
26730	BOCI Asia Ltd. – HSBC European Warrants Jun 2011	100,000,000	2010/11/16	2011/06/07	25.00
26731	BOCI Asia Ltd. – SHK Ppt European Warrants Feb 2012	300,000,000	2010/11/16	2012/02/02	75.00
26732	Credit Suisse AG – Agricultural Bank European Wts May 2011	300,000,000	2010/11/16	2011/05/16	75.00
26765	Credit Suisse AG – HKEx European Warrants May 2011 E	300,000,000	2010/11/16	2011/05/16	45.00
26766	Credit Suisse AG – HKEx European Warrants May 2011 F	300,000,000	2010/11/16	2011/05/30	45.00
26742	Credit Suisse AG – ICBC European Warrants Apr 2011 A	300,000,000	2010/11/16	2011/04/27	45.00
26744	Deutsche Bank AG – Agricultural Bk European Warrants May 11B	150,000,000	2010/11/16	2011/05/16	37.50
26743	Deutsche Bank AG – Agile Ppt European Warrants Jun 2011	80,000,000	2010/11/16	2011/06/20	14.40
26745	Deutsche Bank AG – China Coal European Warrants Jul 2011	80,000,000	2010/11/16	2011/07/04	12.00
26746	Deutsche Bank AG – China Life European Warrants Apr 2011 C	300,000,000	2010/11/16	2011/04/26	87.00
26747	Deutsche Bank AG – COSCO Pacific European Warrants Aug 2011	80,000,000	2010/11/16	2011/08/08	20.00
26748	Deutsche Bank AG – Geely Auto European Warrants May 2011 C	80,000,000	2010/11/16	2011/05/30	44.00
26749	Deutsche Bank AG – HKEx European Warrants Oct 2011 C	300,000,000	2010/11/16	2011/10/03	75.00
26750	Deutsche Bank AG – Hutchison European Warrants May 2011	150,000,000	2010/11/16	2011/05/16	55.50
26751	Deutsche Bank AG – Jiangxi Copper European Warrants Jun 2011	80,000,000	2010/11/16	2011/06/07	20.00
26752	Deutsche Bank AG – PICC P&C European Warrants Oct 2011	80,000,000	2010/11/16	2011/10/31	12.80
26753	Goldman Sachs SP (Asia) – BOCL European Warrants May 2011 B	200,000,000	2010/11/16	2011/05/23	61.00
26736	Goldman Sachs SP (Asia) – HKEx European Warrants Aug 2011 B	200,000,000	2010/11/16	2011/08/08	51.00
26734	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2011 C	200,000,000	2010/11/16	2011/04/28	35.60
26735	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2011	200,000,000	2010/11/16	2011/07/28	50.00
26724	HK Bank – HSCEI European Warrants Jun 2011 B	200,000,000	2010/11/16	2011/06/29	50.00
26725	HK Bank – HSCEI European Warrants Sep 2011	200,000,000	2010/11/16	2011/09/29	50.00
26728	HK Bank – HSCEI European Put Warrants Jun 2011	200,000,000	2010/11/16	2011/06/29	50.00
26754	J P Morgan SP BV – BOC HK European Warrants May 2011	150,000,000	2010/11/16	2011/05/30	37.50
26756	J P Morgan SP BV – China Agri European Warrants Aug 2011	150,000,000	2010/11/16	2011/08/30	37.50
26758	J P Morgan SP BV – China Tel European Warrants May 2011	100,000,000	2010/11/16	2011/05/12	15.00
26757	J P Morgan SP BV – CPIC European Warrants May 2011	100,000,000	2010/11/16	2011/05/30	25.00
26759	J P Morgan SP BV – PICC European Warrants Jul 2011	200,000,000	2010/11/16	2011/07/28	50.00
26767	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt May2011A	198,000,000	2010/11/16	2011/05/16	49.50
26773	KBC Fin Products Int'l Ltd. – Agricultural Eu Put Wt May 11	128,000,000	2010/11/16	2011/05/18	32.00
26768	KBC Fin Products Int'l Ltd. – BEA European Warrants Jun 2011	58,000,000	2010/11/16	2011/06/16	14.50
26769	KBC Fin Products Int'l Ltd. – BYD European Warrants Jun 2011	88,000,000	2010/11/16	2011/06/03	42.24
26774	KBC Fin Products Int'l Ltd. – BYD European Put Wts Jun 2011	80,000,000	2010/11/16	2011/06/08	20.00
26770	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Jun 2011	108,000,000	2010/11/16	2011/06/03	27.00
26772	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 B	238,000,000	2010/11/16	2011/09/22	35.70
26775	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Jun 11D	108,000,000	2010/11/16	2011/06/15	27.00
26771	KBC Fin Products Int'l Ltd. – Henderson Land Dev Eu Wt May11	50,000,000	2010/11/16	2011/05/16	12.50
26776	KBC Fin Products Int'l Ltd. – SHK Ppt Euro Put Wts Sep 2011	88,000,000	2010/11/16	2011/09/06	22.00
26733	Macquarie Bank Ltd. – HKEx European Warrants Jun 2011 A	200,000,000	2010/11/16	2011/06/02	50.00
26737	Macquarie Bank Ltd. – HKEx European Put Warrants Jun 2011	200,000,000	2010/11/16	2011/06/02	78.00
26738	Macquarie Bank Ltd. – Hutchison European Warrants Oct 2012	888,000,000	2010/11/16	2012/10/15	133.20

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		發行單位	上市日期	到期日	
26739	Macquarie Bank Ltd. – SJM Holdings European Warrants Jun 11	300,000,000	2010/11/16	2011/06/30	75.30
26740	Macquarie Bank Ltd. – Soho China European Warrants Jan 2012	200,000,000	2010/11/16	2012/01/31	50.20
26741	Macquarie Bank Ltd. –Swire Pacific A European Warrants May12	500,000,000	2010/11/16	2012/05/04	125.50
26717	Merrill Lynch Int'l & Co. C.V. – HKEx Euro Wts Jun 2011 F	100,000,000	2010/11/16	2011/06/03	25.00
26718	Merrill Lynch Int'l & Co. C.V. – HKEx Eur Put Wts May 2011 F	100,000,000	2010/11/16	2011/05/18	25.00
26764	CC Rabobank B.A. – AIA European Warrants Jun 2011	250,000,000	2010/11/16	2011/06/03	37.50
26761	CC Rabobank B.A. – China Life European Warrants Apr 2011 B	200,000,000	2010/11/16	2011/04/28	30.00
26760	CC Rabobank B.A. – HKEx European Put Warrants May 2011	200,000,000	2010/11/16	2011/05/20	50.00
26762	CC Rabobank B.A. – HKEx European Warrants May 2011 A	200,000,000	2010/11/16	2011/05/09	30.00
26763	CC Rabobank B.A. – HKEx European Warrants May 2011 B	200,000,000	2010/11/16	2011/05/20	50.00
26726	Standard Chartered Bank – China Mobile European Wts Oct 2011	100,000,000	2010/11/16	2011/10/10	36.00
26727	Standard Chartered Bank-China Life European Warrants Sep 11	100,000,000	2010/11/16	2011/09/30	25.00
25506*	KBC Fin Products Int'l Ltd. – PetroChina Euro Wts May 2011 A	250,000,000	2010/11/16	2011/05/04	48.50
22849*	SGA Societe Generale Acceptance N.V. – BYD Euro Wts May 2011	400,000,000	2010/11/16	2011/05/23	18.00
24438*	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11A	100,000,000	2010/11/16	2011/02/07	17.80
26810	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2013	300,000,000	2010/11/17	2013/06/03	75.00
26809	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Nov 2012 B	300,000,000	2010/11/17	2012/11/01	75.00
26815	Credit Suisse AG – CC Bank European Warrants May 2011 A	300,000,000	2010/11/17	2011/05/17	75.00
26817	Credit Suisse AG – CC Bank European Warrants Oct 2013	300,000,000	2010/11/17	2013/10/18	75.00
26816	Credit Suisse AG – CC Bank European Warrants Dec 2012 B	300,000,000	2010/11/17	2012/12/17	75.00
26784	Credit Suisse AG – HKEx European Warrants May 2011 G	300,000,000	2010/11/17	2011/05/17	75.00
26822	Deutsche Bank AG – CC Bank European Warrants Apr 2011 A	300,000,000	2010/11/17	2011/04/18	105.00
26823	Deutsche Bank AG – CC Bank European Warrants May 2011 A	300,000,000	2010/11/17	2011/05/23	75.00
10021	Deutsche Bank AG – Oil Futures European Warrants Jun 2011	80,000,000	2010/11/17	2011/06/08	20.00
10025	Deutsche Bank AG – Oil Futures European Put Warrants Jun 11	80,000,000	2010/11/17	2011/06/08	20.00
26777	HK Bank – BOC HK European Warrants May 2011	100,000,000	2010/11/17	2011/05/30	25.00
26805	HK Bank – CC Bank European Warrants May 2011	150,000,000	2010/11/17	2011/05/17	37.50
26778	HK Bank – CITIC Bank European Warrants Aug 2011	80,000,000	2010/11/17	2011/08/15	20.00
26779	HK Bank – China Life European Warrants Jul 2011	120,000,000	2010/11/17	2011/07/21	18.00
26780	HK Bank – Geely Auto European Warrants Jun 2011 A	100,000,000	2010/11/17	2011/06/16	25.00
26781	HK Bank – HKEx European Warrants Jul 2011	200,000,000	2010/11/17	2011/07/20	50.00
26782	HK Bank – SHK Ppt European Warrants May 2011	100,000,000	2010/11/17	2011/05/17	25.00
26804	J P Morgan SP BV – Agricultural Bk European Warrants May 11	150,000,000	2010/11/17	2011/05/30	37.50
26821	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts May 2011 A	188,000,000	2010/11/17	2011/05/17	47.00
26789	Macquarie Bank Ltd. – CC Bank European Put Warrants Jun 2011	250,000,000	2010/11/17	2011/06/03	73.25
26785	Macquarie Bank Ltd. – CC Bank European Warrants Jun 2011 B	250,000,000	2010/11/17	2011/06/03	62.50
26818	Macquarie Bank Ltd. – WISE CSI European Warrants May 2011	200,000,000	2010/11/17	2011/05/30	50.60
26806	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Apr11G	150,000,000	2010/11/17	2011/04/28	23.25
26819	RB of Scotland N.V. – CC Bank European Warrants May 2011 B	100,000,000	2010/11/17	2011/05/17	27.60
26820	RB of Scotland N.V. – China Life European Wts May 2011	150,000,000	2010/11/17	2011/05/17	38.70
26783	Standard Chartered Bank – China Coal European Warrants Sep11	100,000,000	2010/11/17	2011/09/26	15.60
26786	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2011 D	200,000,000	2010/11/17	2011/04/04	44.00
26807	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2011 C	150,000,000	2010/11/17	2011/04/20	40.50
26808	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 C	150,000,000	2010/11/17	2011/05/18	37.50

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		發行單位	上市日期	到期日	
26799	SGA Societe Generale Acceptance N.V.-C Transmiss Eu Wt Jul11A	90,000,000	2010/11/17	2011/07/27	22.50
26787	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2011A	50,000,000	2010/11/17	2011/05/30	36.50
26788	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2011B	50,000,000	2010/11/17	2011/05/30	26.50
26790	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt May 2011C	50,000,000	2010/11/17	2011/05/30	18.50
26791	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Oct 2012B	400,000,000	2010/11/17	2012/10/03	100.00
26813	SGA Societe Generale Acceptance N.V. -CNBM Euro Wts Jul2011A	150,000,000	2010/11/17	2011/07/13	37.50
26793	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2011C	400,000,000	2010/11/17	2011/04/27	68.00
26792	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Apr 11B	150,000,000	2010/11/17	2011/04/20	55.50
26811	SGA Societe Generale Acceptance N.V. – CPAir Eur Wt Jun 2011	80,000,000	2010/11/17	2011/06/27	20.00
26800	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun11B	200,000,000	2010/11/17	2011/06/01	50.00
26794	SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt May 11	300,000,000	2010/11/17	2011/05/23	102.00
26795	SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Jul 11	300,000,000	2010/11/17	2011/07/25	147.00
26796	SGA Societe Generale Acceptance N.V.-A50 Ch Eu Put Wt Apr 12	300,000,000	2010/11/17	2012/04/23	162.00
26801	SGA Societe Generale Acceptance N.V. – Gome Euro Wts May11A	120,000,000	2010/11/17	2011/05/18	38.40
26825	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Apr 11	300,000,000	2010/11/17	2011/04/20	72.00
26824	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 D	500,000,000	2010/11/17	2011/05/18	125.00
26802	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt May11	40,000,000	2010/11/17	2011/05/18	11.60
26812	SGA Societe Generale Acceptance N.V. -Ch Mengniu Eu Wt Jun11	80,000,000	2010/11/17	2011/06/27	20.00
26814	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Jul11	100,000,000	2010/11/17	2011/07/13	25.00
26797	SGA Societe Generale Acceptance N.V. – Petch Eu Wt May 2011B	100,000,000	2010/11/17	2011/05/23	41.00
26798	SGA Societe Generale Acceptance N.V. – Petch Eu Wt May 2011C	100,000,000	2010/11/17	2011/05/23	30.00
26803	SGA Societe Generale Acceptance N.V.-Shimao Ppt Eu Wt Jul 11	150,000,000	2010/11/17	2011/07/20	24.00
26828	UBS AG – China Overseas European Warrants Jul 2011	100,000,000	2010/11/17	2011/07/11	25.00
26827	UBS AG – CC Bank European Warrants May 2011 B	200,000,000	2010/11/17	2011/05/30	50.00
26826	UBS AG – Hutchison European Put Warrants Oct 2011	200,000,000	2010/11/17	2011/10/17	50.00
26829	UBS AG – Hutchison European Warrants Jun 2011 B	300,000,000	2010/11/17	2011/06/27	75.00
26830	UBS AG – Shimao Ppt European Warrants Jul 2011	100,000,000	2010/11/17	2011/07/18	15.00
24540 [#]	J P Morgan SP BV – China Life European Warrants Mar 2011 A	100,000,000	2010/11/17	2011/03/01	22.40
18233 [#]	UBS AG – PetroChina European Warrants Feb 2011	200,000,000	2010/11/17	2011/02/21	12.40
26857	Credit Suisse AG – CC Bank European Warrants May 2011 B	300,000,000	2010/11/18	2011/05/18	75.00
26869	Credit Suisse AG – China Life European Warrants Apr 2011 B	300,000,000	2010/11/18	2011/04/11	45.00
26858	Credit Suisse AG – HSBC European Wts Mar 2011 B	300,000,000	2010/11/18	2011/03/09	45.00
26855	Credit Suisse AG – Hutchison European Warrants May 2011 B	300,000,000	2010/11/18	2011/05/30	75.00
26856	Credit Suisse AG – Hutchison European Warrants May 2011 C	300,000,000	2010/11/18	2011/05/31	75.00
26871	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2011 B	80,000,000	2010/11/18	2011/04/15	20.80
26872	Citigroup Global Mkt H Inc. – Jiangxi Copper Euro Wts May 11	80,000,000	2010/11/18	2011/05/31	20.00
26873	Citigroup Global Mkt H Inc. – Maanshan Iron Euro Wts Sep 11	80,000,000	2010/11/18	2011/09/08	20.00
26874	Citigroup Global Mkt H Inc. – Ping An Euro Wts May 2011	80,000,000	2010/11/18	2011/05/18	60.00
26875	Citigroup Global Mkt H Inc. – Ping An Euro Wts Dec 2011	180,000,000	2010/11/18	2011/12/28	45.00
26870	J P Morgan SP BV – BYD European Warrants Nov 2011	300,000,000	2010/11/18	2011/11/28	45.00
26852	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2011 A	200,000,000	2010/11/18	2011/05/18	50.00
26853	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2011 B	200,000,000	2010/11/18	2011/05/18	50.00
26854	J P Morgan SP BV – PetroChina European Warrants Oct 2012	300,000,000	2010/11/18	2012/10/29	75.00
26864	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt May 2011	128,000,000	2010/11/18	2011/05/18	52.48

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26868	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Sep 11	88,000,000	2010/11/18	2011/09/22	13.20
26866	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2011 A	128,000,000	2010/11/18	2011/06/02	32.00
26865	KBC Fin Products Int'l Ltd. – HSBC European Wts May 2011 F	128,000,000	2010/11/18	2011/05/03	58.88
26859	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts May 2011	100,000,000	2010/11/18	2011/05/30	25.00
26861	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2011 A	100,000,000	2010/11/18	2011/06/29	25.00
26862	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2011 B	100,000,000	2010/11/18	2011/06/29	25.00
26860	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts May 2011 A	100,000,000	2010/11/18	2011/05/30	27.00
26863	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 D	100,000,000	2010/11/18	2011/06/29	28.00
26867	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 B	158,000,000	2010/11/18	2011/06/17	39.50
26850	Macquarie Bank Ltd. – HKEx European Warrants May 2011 D	200,000,000	2010/11/18	2011/05/06	30.00
26849	Macquarie Bank Ltd. – HKEx European Warrants Jun 2011 B	200,000,000	2010/11/18	2011/06/02	38.60
26851	Macquarie Bank Ltd. – Ping An European Warrants Jul 2012	200,000,000	2010/11/18	2012/07/04	50.00
26831	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jul 2011	100,000,000	2010/11/18	2011/07/18	15.90
26832	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts May 2011	80,000,000	2010/11/18	2011/05/25	12.72
26833	Merrill Lynch Int'l & Co. C.V. – CNOOC Euro Put Wts Jun 11E	80,000,000	2010/11/18	2011/06/03	12.32
26836	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Apr 2011 A	100,000,000	2010/11/18	2011/04/19	61.00
26837	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts Apr 2011 B	100,000,000	2010/11/18	2011/04/13	34.50
26839	Merrill Lynch Int'l & Co. C.V. – Sinopec Euro Wts May 2012	100,000,000	2010/11/18	2012/05/21	15.10
26838	Merrill Lynch Int'l & Co. C.V. – Sinopec Eu Put Wts May 2011	80,000,000	2010/11/18	2011/05/05	26.80
26834	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Apr 2011 B	100,000,000	2010/11/18	2011/04/12	64.00
26835	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Put Wt Mar 11	80,000,000	2010/11/18	2011/03/31	12.16
26840	Merrill Lynch Int'l & Co. C.V. – Ping An Euro Wts Apr 2011	100,000,000	2010/11/18	2011/04/19	59.00
26844	CC Rabobank B.A. – C Transmission European Warrants Jun2011A	100,000,000	2010/11/18	2011/06/08	25.00
26847	CC Rabobank B.A. – HSBC European Warrants May 2011 C	200,000,000	2010/11/18	2011/05/30	50.00
26846	CC Rabobank B.A. – Hutchison European Warrants Apr 2011	200,000,000	2010/11/18	2011/04/11	118.00
26848	CC Rabobank B.A. – Jiangxi Copper European Warrants May 11B	100,000,000	2010/11/18	2011/05/30	25.00
26842	Standard Chartered Bank – CHALCO European Warrants Dec 2011	50,000,000	2010/11/18	2011/12/02	25.00
26841	Standard Chartered Bank – BOC HK European Warrants Sep 2011	100,000,000	2010/11/18	2011/09/01	25.00
26843	Standard Chartered Bank-China Life European Warrants Apr11B	100,000,000	2010/11/18	2011/04/21	15.00
26877	UBS AG – CC Bank European Warrants Mar 2013	300,000,000	2010/11/18	2013/03/25	75.00
26878	UBS AG – HKEx European Warrants May 2011 H	300,000,000	2010/11/18	2011/05/30	75.00
26876	UBS AG – HSI European Warrants Jul 2011	200,000,000	2010/11/18	2011/07/28	50.00
26879	UBS AG – Hutchison European Warrants Jun 2011 C	200,000,000	2010/11/18	2011/06/20	50.00
26880	UBS AG – Ping An European Warrants Jun 2011 A	100,000,000	2010/11/18	2011/06/28	25.00
22552 [#]	Macquarie Bank Ltd. – Tencent European Warrants Apr 2011	250,000,000	2010/11/18	2011/04/07	22.50
19427 [#]	Credit Suisse AG – China Mobile European Warrants Oct 2011 A	600,000,000	2010/11/18	2011/10/31	29.40
21789 [#]	Credit Suisse AG – A50 China European Warrants Jan 2011 A	1,000,000,000	2010/11/18	2011/01/17	30.00
26259 [#]	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Feb 2011D	200,000,000	2010/11/18	2011/02/07	16.40
26899	Barclays Bank plc – China Shenhua European Warrants Aug 2011	100,000,000	2010/11/19	2011/08/01	25.00
26898	Barclays Bank plc – HKEx European Warrants Oct 2011 B	150,000,000	2010/11/19	2011/10/03	37.50
26901	Barclays Bank plc – Hutchison European Put Warrants Oct 2011	150,000,000	2010/11/19	2011/10/31	37.50
26897	Barclays Bank plc – Jiangxi Copper European Warrants Jun 11	100,000,000	2010/11/19	2011/06/30	25.00
26900	Barclays Bank plc – Ping An European Warrants Jun 2012	150,000,000	2010/11/19	2012/06/29	37.50
26902	Credit Suisse AG – Hutchison European Warrants May 2011 D	300,000,000	2010/11/19	2011/05/30	75.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26903	Credit Suisse AG – Ping An European Warrants May 2011 A	300,000,000	2010/11/19	2011/05/19	165.00
26904	Credit Suisse AG – Ping An European Warrants May 2011 B	300,000,000	2010/11/19	2011/05/19	81.00
26905	Credit Suisse AG – Ping An European Warrants May 2011 C	300,000,000	2010/11/19	2011/05/19	75.00
26910	Citigroup Global Mkt H Inc. – CNOOC Euro Put Wts May 2011 D	80,000,000	2010/11/19	2011/05/31	20.00
26906	Deutsche Bank AG – China Shenhua European Warrants Apr 2011B	80,000,000	2010/11/19	2011/04/11	16.80
26907	Deutsche Bank AG – Gome European Warrants May 2011	80,000,000	2010/11/19	2011/05/23	33.60
26908	Deutsche Bank AG – Zijin Mining European Warrants Aug 2011	80,000,000	2010/11/19	2011/08/22	12.00
26917	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2011 C	100,000,000	2010/11/19	2011/03/14	19.10
26914	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Mar 2011 C	100,000,000	2010/11/19	2011/03/23	33.00
26915	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Apr 2011A	100,000,000	2010/11/19	2011/04/15	30.00
26916	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Apr 2011B	100,000,000	2010/11/19	2011/04/04	45.00
26888	Nomura Int'l plc – China Agri European Warrants Oct 2011	200,000,000	2010/11/19	2011/10/10	30.20
26887	Nomura Int'l plc – China Agri European Warrants Dec 2011	200,000,000	2010/11/19	2011/12/05	45.80
26891	Nomura Int'l plc – CC Bank European Warrants Jun 2011 A	100,000,000	2010/11/19	2011/06/30	48.10
26892	Nomura Int'l plc – CC Bank European Warrants Jun 2011 B	100,000,000	2010/11/19	2011/06/30	31.00
26893	Nomura Int'l plc – China Coal European Warrants Jun 2011	200,000,000	2010/11/19	2011/06/23	30.20
26890	Nomura Int'l plc – Chaoda Modern European Warrants Aug 2011	100,000,000	2010/11/19	2011/08/23	15.10
26889	Nomura Int'l plc – C Transmission European Warrants Jun 2011	100,000,000	2010/11/19	2011/06/30	15.00
26882	Nomura Int'l plc – Cheung Kong European Warrants Sep 2012 B	100,000,000	2010/11/19	2012/09/28	19.00
26894	Nomura Int'l plc – China Life European Warrants May 2011	100,000,000	2010/11/19	2011/05/31	25.00
26895	Nomura Int'l plc – China Life European Warrants Jul 2011	100,000,000	2010/11/19	2011/07/29	15.00
26885	Nomura Int'l plc – Hang Lung Ppt European Warrants May 2011	100,000,000	2010/11/19	2011/05/19	25.00
26884	Nomura Int'l plc – HSBC European Warrants Feb 2011	300,000,000	2010/11/19	2011/02/21	45.00
26883	Nomura Int'l plc – HSBC European Warrants Apr 2011	300,000,000	2010/11/19	2011/04/01	74.70
26886	Nomura Int'l plc – JIANG European Warrants May 2011 A	100,000,000	2010/11/19	2011/05/31	25.00
26896	Nomura Int'l plc – Stanchart European Warrants Jun 2011	100,000,000	2010/11/19	2011/06/30	25.10
26881	Standard Chartered Bank – CC Bank European Warrants Jun 11B	100,000,000	2010/11/19	2011/06/01	25.00
26845	Standard Chartered Bank – HSBC European Warrants Apr 2011	100,000,000	2010/11/19	2011/04/21	25.00
26909	SGA Societe Generale Acceptance N.V. – Gome Euro Wt May11B	120,000,000	2010/11/19	2011/05/23	32.40
26912	UBS AG – HSI European Warrants Jun 2011 A	200,000,000	2010/11/19	2011/06/29	50.00
26913	UBS AG – HSI European Warrants Jun 2011 B	200,000,000	2010/11/19	2011/06/29	50.00
26911	UBS AG – Ping An European Warrants Mar 2012 B	300,000,000	2010/11/19	2012/03/19	75.00
26058*	UBS AG – HSI European Warrants Apr 2011 D	400,000,000	2010/11/19	2011/04/28	58.00
26124*	UBS AG – CNOOC European Warrants Apr 2011	200,000,000	2010/11/19	2011/04/27	25.00
26934	BNP Paribas Arbit Issu B.V. – Gome European Warrants Sep 11	100,000,000	2010/11/22	2011/09/01	33.00
26928	Credit Suisse AG – CPIC European Warrants Mar 2011	300,000,000	2010/11/22	2011/03/28	45.00
26924	Deutsche Bank AG – CC Bank European Warrants May 2011 B	200,000,000	2010/11/22	2011/05/23	106.00
26925	Deutsche Bank AG – China Mobile European Warrants Apr 2011 C	300,000,000	2010/11/22	2011/04/11	117.00
26926	Deutsche Bank AG – HSBC European Warrants Apr 2011 C	300,000,000	2010/11/22	2011/04/18	120.00
26935	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2011 A	200,000,000	2010/11/22	2011/04/21	32.40
26918	HK Bank – A50 China European Put Warrants Dec 2011	120,000,000	2010/11/22	2011/12/21	30.00
26922	HK Bank – HKEx European Warrants May 2011	200,000,000	2010/11/22	2011/05/23	50.00
26930	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts May 2011 B	108,000,000	2010/11/22	2011/05/23	60.48
26929	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2011 A	188,000,000	2010/11/22	2011/06/03	47.00

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		發行單位	上市日期	到期日	
26933	KBC Fin Products Int'l Ltd. – China Life Eur Put Wt Jun 2011	128,000,000	2010/11/22	2011/06/08	32.00
26931	KBC Fin Products Int'l Ltd. –CMB European Put Warrants Jun11	80,000,000	2010/11/22	2011/06/01	20.00
26932	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts May 2011	108,000,000	2010/11/22	2011/05/03	34.56
26923	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2011	200,000,000	2010/11/22	2011/06/15	30.20
26920	Standard Chartered Bank – CNOOC European Warrants Apr 2011	100,000,000	2010/11/22	2011/04/18	15.00
26919	Standard Chartered Bank – PetroChina European Warrants Aug11	100,000,000	2010/11/22	2011/08/03	40.00
26927	UBS AG – PetroChina European Warrants Aug 2012	500,000,000	2010/11/22	2012/08/20	125.00
26921	UBS AG – Tencent Holdings European Warrants Jun 2011 B	200,000,000	2010/11/22	2011/06/27	30.00
26368 [#]	Credit Suisse AG – A50 China European Warrants Mar 2011	300,000,000	2010/11/22	2011/03/28	20.40
21685 [#]	HK Bank – HSI European Warrants Dec 2010 B	335,000,000	2010/11/22	2010/12/30	10.05
26112 [#]	J P Morgan SP BV – JX Copper European Warrants Apr 2011 B	200,000,000	2010/11/22	2011/04/26	32.00
26103 [#]	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2011 D	500,000,000	2010/11/22	2011/04/28	73.50
26948	Credit Suisse AG – China Life European Warrants Feb 2011 E	300,000,000	2010/11/23	2011/02/28	45.00
26949	Credit Suisse AG – China Life European Warrants Mar 2011	300,000,000	2010/11/23	2011/03/14	45.00
26947	Credit Suisse AG – China Mobile European Warrants Mar 2011 B	300,000,000	2010/11/23	2011/03/15	45.00
26946	Credit Suisse AG – PetroChina European Warrants Nov 2011	300,000,000	2010/11/23	2011/11/14	45.00
26945	Credit Suisse AG – Tencent Holdings European Wts Apr 2011 C	300,000,000	2010/11/23	2011/04/04	45.00
26938	Citigroup Global Mkt H Inc. – HS Bank European Wts Jun 2011	80,000,000	2010/11/23	2011/06/01	12.00
26939	Citigroup Global Mkt H Inc. – Wharf European Wts Jun 2011	80,000,000	2010/11/23	2011/06/13	20.00
26944	KBC Fin Products Int'l Ltd. – Ch Mobile Eu Put Wt Jun 2011	108,000,000	2010/11/23	2011/06/08	51.84
26940	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts May 2011 B	100,000,000	2010/11/23	2011/05/30	25.00
26941	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 B	200,000,000	2010/11/23	2011/05/30	50.00
26942	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 C	108,000,000	2010/11/23	2011/06/03	99.36
26943	KBC Fin Products Int'l Ltd. – Tencent Holdings Eu Wt Jul 11B	108,000,000	2010/11/23	2011/07/15	29.16
26950	CC Rabobank B.A. – China EB Ltd European Warrants Apr 2011	80,000,000	2010/11/23	2011/04/19	12.00
26953	CC Rabobank B.A. – CSR Times European Warrants Jun 2011 A	80,000,000	2010/11/23	2011/06/27	30.40
26952	CC Rabobank B.A. – CSR European Warrants Aug 2011	100,000,000	2010/11/23	2011/08/15	25.00
26951	CC Rabobank B.A. – Lenovo European Warrants Apr 2011	80,000,000	2010/11/23	2011/04/26	27.20
26954	CC Rabobank B.A. – Sany Int'l European Warrants Oct 2011	120,000,000	2010/11/23	2011/10/24	30.00
26937	Standard Chartered Bank – CNOOC European Warrants Aug 2011	100,000,000	2010/11/23	2011/08/30	25.00
26936	Standard Chartered Bank – HKEx European Warrants Aug 2011 B	100,000,000	2010/11/23	2011/08/26	25.00
26388 [#]	Deutsche Bank AG – HKEx European Warrants May 2011 D	400,000,000	2010/11/23	2011/05/03	58.00
25834 [#]	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2011 G	500,000,000	2010/11/23	2011/03/30	66.50
26965	BOCI Asia Ltd. – BOC HK European Warrants Dec 2011	100,000,000	2010/11/24	2011/12/05	27.50
26966	BOCI Asia Ltd. – Ch Overseas European Warrants Jun 2011	100,000,000	2010/11/24	2011/06/27	15.00
26967	BOCI Asia Ltd. – Shimao Ppt European Warrants Oct 2011	100,000,000	2010/11/24	2011/10/24	16.00
26970	Citigroup Global Mkt H Inc. – CC Bank European Wts May 2011	80,000,000	2010/11/24	2011/05/24	20.00
26971	Citigroup Global Mkt H Inc. – Link Reit European Wts May 11B	80,000,000	2010/11/24	2011/05/24	20.00
26972	Goldman Sachs SP (Asia) – China Mobile Euro Wts Feb 2011 B	200,000,000	2010/11/24	2011/02/28	61.00
26973	Goldman Sachs SP (Asia) – HKEx European Warrants Apr 2011	200,000,000	2010/11/24	2011/04/26	30.60
26978	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Jun 2011	128,000,000	2010/11/24	2011/06/03	55.04
26969	Standard Chartered Bank – CHALCO European Warrants Aug 2011	100,000,000	2010/11/24	2011/08/01	25.00
26975	Standard Chartered Bank – BOCL European Warrants Aug 2011	100,000,000	2010/11/24	2011/08/08	25.00
26968	Standard Chartered Bank – BYD European Warrants Aug 2011	100,000,000	2010/11/24	2011/08/30	40.00

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		發行單位	上市日期	到期日	
26974	Standard Chartered Bank – MTRC European Warrants Jul 2011	100,000,000	2010/11/24	2011/07/11	25.00
26955	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt May 2011	50,000,000	2010/11/24	2011/05/25	22.50
26956	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Jan 2013	200,000,000	2010/11/24	2013/01/02	30.00
26957	SGA Societe Generale Acceptance N.V. – CNBM Euro Wts Jul 11B	150,000,000	2010/11/24	2011/07/20	37.50
26962	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011 C	300,000,000	2010/11/24	2011/04/11	96.00
26963	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 E	500,000,000	2010/11/24	2011/05/09	75.00
26958	SGA Societe Generale Acceptance N.V.-HuanengPower Eu Wt Jul11	38,000,000	2010/11/24	2011/07/20	11.40
26960	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011A	200,000,000	2010/11/24	2011/06/29	56.00
26961	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jul 2011	200,000,000	2010/11/24	2011/07/25	50.00
26959	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2011 B	200,000,000	2010/11/24	2011/05/30	58.00
26964	SGA Societe Generale Acceptance N.V. – Lenovo Eur Wts May11B	50,000,000	2010/11/24	2011/05/25	23.50
26976	UBS AG – China Mobile European Warrants Feb 2011 C	200,000,000	2010/11/24	2011/02/24	40.00
26977	UBS AG – HSI European Warrants Mar 2011 F	200,000,000	2010/11/24	2011/03/30	40.00
24023 [#]	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011A	200,000,000	2010/11/24	2011/09/01	18.00
26984	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Oct 2011	128,000,000	2010/11/25	2011/10/11	19.20
26991	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Jun 2011	108,000,000	2010/11/25	2011/06/01	17.28
26992	KBC Fin Products Int'l Ltd. – China Overseas Eu Put Wt Jul11	108,000,000	2010/11/25	2011/07/06	27.00
26987	KBC Fin Products Int'l Ltd. – China Tel Euro Wts Jul 2011	80,000,000	2010/11/25	2011/07/05	24.80
26982	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Nov 2011	108,000,000	2010/11/25	2011/11/02	27.00
26983	KBC Fin Products Int'l Ltd. – Minsheng Bank Euro Wt Jul 2011	68,000,000	2010/11/25	2011/07/15	24.48
26986	KBC Fin Products Int'l Ltd. – Sinopec Euro Wts Oct 2011	128,000,000	2010/11/25	2011/10/03	19.20
26985	KBC Fin Products Int'l Ltd. – CPIC European Wts Jun 2011	108,000,000	2010/11/25	2011/06/15	31.32
26988	KBC Fin Products Int'l Ltd. – HS Bank European Wts Jun 2011	108,000,000	2010/11/25	2011/06/01	16.20
26989	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Jun 2011	108,000,000	2010/11/25	2011/06/08	27.00
26990	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Wts May 2011	88,000,000	2010/11/25	2011/05/18	14.08
26993	RB of Scotland N.V. – HKEx European Warrants Jun 2011 C	250,000,000	2010/11/25	2011/06/30	62.50
26994	RB of Scotland N.V. – HWL European Warrants Mar 2012	500,000,000	2010/11/25	2012/03/21	76.00
26995	RB of Scotland N.V. – Tencent Holdings European Wts Jun 11C	250,000,000	2010/11/25	2011/06/03	40.00
26979	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Feb 11C	200,000,000	2010/11/25	2011/02/28	56.00
26980	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 11B	200,000,000	2010/11/25	2011/05/30	54.00
26981	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 F	500,000,000	2010/11/25	2011/05/16	75.00
25704 [#]	UBS AG – ICBC European Warrants Feb 2011 B	200,000,000	2010/11/25	2011/02/14	19.40
26623 [#]	UBS AG – Ping An European Warrants May 2011 B	100,000,000	2010/11/25	2011/05/12	58.00
27000	Credit Suisse AG – Ping An European Warrants Mar 2012 B	300,000,000	2010/11/26	2012/03/12	45.00
26999	HK Bank – China Mobile European Warrants Apr 2011 B	200,000,000	2010/11/26	2011/04/27	32.00
27005	J P Morgan SP BV – BankComm European Warrants May 2011	100,000,000	2010/11/26	2011/05/26	25.00
27008	J P Morgan SP BV – China Mob European Wts Apr 2011	100,000,000	2010/11/26	2011/04/11	25.00
27006	J P Morgan SP BV – China Life European Warrants May 2011	100,000,000	2010/11/26	2011/05/26	25.00
27007	J P Morgan SP BV – China Life European Warrants Jun 2011	100,000,000	2010/11/26	2011/06/07	25.00
27009	J P Morgan SP BV – Sinopec Corp European Warrants Apr 2011 B	100,000,000	2010/11/26	2011/04/15	25.00
27010	J P Morgan SP BV – HS Bank European Warrants May 2011	80,000,000	2010/11/26	2011/05/26	20.00
27011	J P Morgan SP BV – PetroChina European Warrants May 2011	100,000,000	2010/11/26	2011/05/27	30.00
26996	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Apr 2011 B	100,000,000	2010/11/26	2011/04/19	17.20
26997	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2011 B	100,000,000	2010/11/26	2011/05/11	15.00

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		發行單位	上市日期	到期日	
26998	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 C	100,000,000	2010/11/26	2011/05/03	22.70
27003	RB of Scotland N.V. – A50 China European Warrants Sep 2011	250,000,000	2010/11/26	2011/09/12	38.00
27004	RB of Scotland N.V. – A50 China European Put Wts May 2011	250,000,000	2010/11/26	2011/05/04	43.00
27001	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2011 C	300,000,000	2010/11/26	2011/04/18	45.90
27002	UBS AG – Ping An European Warrants Jun 2011 B	200,000,000	2010/11/26	2011/06/14	50.00
25668#	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011 B	168,000,000	2010/11/26	2011/03/28	39.31
26263*	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 A	200,000,000	2010/11/26	2011/05/09	29.40
27031	Barclays Bank plc – AIA European Warrants Jun 2011	120,000,000	2010/11/29	2011/06/29	30.00
27032	Barclays Bank plc – AIA European Warrants Sep 2011	120,000,000	2010/11/29	2011/09/29	30.00
27030	Barclays Bank plc – CC Bank European Warrants Jul 2011	100,000,000	2010/11/29	2011/07/28	35.00
27033	Barclays Bank plc – China Life European Warrants Sep 2011	100,000,000	2010/11/29	2011/09/29	25.00
27029	Barclays Bank plc – PetroChina European Warrants Oct 2012	150,000,000	2010/11/29	2012/10/31	22.50
27084	BNP Paribas Arbit Issu B.V. – AIA European Warrants May 2011	100,000,000	2010/11/29	2011/05/04	20.00
27085	BNP Paribas Arbit Issu B.V. – AIA European Warrants Jul 2011	100,000,000	2010/11/29	2011/07/06	25.00
27086	BNP Paribas Arbit Issu B.V. – AIA European Warrants Sep 2011	100,000,000	2010/11/29	2011/09/08	25.00
27087	BNP Paribas Arbit Issu B.V. – AIA European Warrants Dec 2011	100,000,000	2010/11/29	2011/12/05	25.00
27074	Credit Suisse AG – AIA European Warrants May 2011 A	300,000,000	2010/11/29	2011/05/30	45.00
27072	Credit Suisse AG – AIA European Warrants Apr 2011 A	300,000,000	2010/11/29	2011/04/26	45.00
27073	Credit Suisse AG – AIA European Warrants Apr 2011 B	300,000,000	2010/11/29	2011/04/29	45.00
27066	Citigroup Global Mkt H Inc. – AIA European Warrants Apr 2011	80,000,000	2010/11/29	2011/04/21	12.00
27075	Citigroup Global Mkt H Inc. – AIA European Warrants Jun 2011	80,000,000	2010/11/29	2011/06/27	20.00
27067	Citigroup Global Mkt H Inc. – Lenovo European Warrants Jun11	80,000,000	2010/11/29	2011/06/08	20.00
27068	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2011	80,000,000	2010/11/29	2011/06/08	32.00
27069	Deutsche Bank AG – AIA European Warrants May 2011 A	300,000,000	2010/11/29	2011/05/06	57.00
27070	Deutsche Bank AG – AIA European Warrants May 2011 B	300,000,000	2010/11/29	2011/05/30	90.00
27071	Deutsche Bank AG – AIA European Warrants May 2011 C	300,000,000	2010/11/29	2011/05/30	78.00
27062	Deutsche Bank AG – Ping An European Warrants Jun 2011	150,000,000	2010/11/29	2011/06/13	106.50
27063	Deutsche Bank AG – Ping An European Warrants Jun 2012	150,000,000	2010/11/29	2012/06/28	28.50
27057	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2011	200,000,000	2010/11/29	2011/05/30	80.00
27059	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2011	200,000,000	2010/11/29	2011/06/07	55.00
27076	Goldman Sachs SP (Asia) – CC Bank European Wts May 2011 B	200,000,000	2010/11/29	2011/05/30	121.00
27077	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2011 B	200,000,000	2010/11/29	2011/04/29	47.20
27078	Goldman Sachs SP (Asia) – China Life Euro Wts May 2011 B	200,000,000	2010/11/29	2011/05/30	53.00
27060	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 B	200,000,000	2010/11/29	2011/05/09	87.00
27061	Goldman Sachs SP (Asia) – HSBC European Warrants May 2011 C	200,000,000	2010/11/29	2011/05/30	66.00
27064	Macquarie Bank Ltd. – AIA European Warrants Jun 2011	250,000,000	2010/11/29	2011/06/02	38.00
27065	Macquarie Bank Ltd. – AIA European Put Warrants May 2011	200,000,000	2010/11/29	2011/05/09	36.00
27041	Nomura Int'l plc – Anhui Conch European Warrants Mar 2011	80,000,000	2010/11/29	2011/03/22	13.76
27042	Nomura Int'l plc – Anhui Conch European Warrants Jun 2011	80,000,000	2010/11/29	2011/06/30	20.24
27043	Nomura Int'l plc – Anhui Conch European Warrants Sep 2011	80,000,000	2010/11/29	2011/09/30	39.20
27044	Nomura Int'l plc – Agricultural Bk European Warrants Apr 11	300,000,000	2010/11/29	2011/04/11	45.30
27045	Nomura Int'l plc – AIA European Warrants Apr 2011	300,000,000	2010/11/29	2011/04/20	52.50
27046	Nomura Int'l plc – AIA European Warrants Jul 2011	300,000,000	2010/11/29	2011/07/21	57.90
27037	Nomura Int'l plc – China Telecom European Warrants May 2011	100,000,000	2010/11/29	2011/05/12	15.00

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		發行單位	上市日期	到期日	
27038	Nomura Int'l plc – China Telecom European Warrants Jun 2011	100,000,000	2010/11/29	2011/06/30	32.50
27052	Nomura Int'l plc – China Life European Put Warrants May 2011	100,000,000	2010/11/29	2011/05/31	25.00
27039	Nomura Int'l plc – CNOOC European Warrants Apr 2011 B	100,000,000	2010/11/29	2011/04/18	15.00
27040	Nomura Int'l plc – CNOOC European Warrants Jul 2011 B	100,000,000	2010/11/29	2011/07/29	15.00
27047	Nomura Int'l plc – A50 China European Warrants Sep 2011	100,000,000	2010/11/29	2011/09/30	15.00
27048	Nomura Int'l plc – A50 China European Warrants Dec 2011	100,000,000	2010/11/29	2011/12/30	15.00
27049	Nomura Int'l plc – A50 China European Warrants Jul 2012	100,000,000	2010/11/29	2012/07/31	16.10
27035	Nomura Int'l plc – Henderson Land Dev European Warrants Jun11	100,000,000	2010/11/29	2011/06/30	15.00
27034	Nomura Int'l plc – HSBC European Warrants Jun 2011	100,000,000	2010/11/29	2011/06/21	15.00
27036	Nomura Int'l plc – JIANG European Warrants May 2011 B	100,000,000	2010/11/29	2011/05/31	25.00
27051	Nomura Int'l plc – Jiangxi Copper European Put Warrants May11	100,000,000	2010/11/29	2011/05/31	25.00
27050	Nomura Int'l plc – Stanchart European Warrants Sep 2011	100,000,000	2010/11/29	2011/09/30	32.80
27053	Nomura Int'l plc – Stanchart European Put Warrants Jun 2011	75,000,000	2010/11/29	2011/06/30	18.75
27079	RB of Scotland N.V. – AIA European Warrants May 2011 A	200,000,000	2010/11/29	2011/05/04	34.40
27080	RB of Scotland N.V. – AIA European Warrants May 2011 B	200,000,000	2010/11/29	2011/05/25	30.40
27027	Standard Chartered Bank – AIA European Warrants May 2011	100,000,000	2010/11/29	2011/05/30	25.00
27028	Standard Chartered Bank – AIA European Warrants Sep 2011	100,000,000	2010/11/29	2011/09/30	25.00
27081	SGA Societe Generale Acceptance N.V. -AIA European Wt May11A	500,000,000	2010/11/29	2011/05/04	90.00
27082	SGA Societe Generale Acceptance N.V. -AIA European Wt Jun11A	500,000,000	2010/11/29	2011/06/01	135.00
27083	SGA Societe Generale Acceptance N.V. -AIA European Wt Jun11B	500,000,000	2010/11/29	2011/06/01	80.00
27014	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Apr 2011 D	500,000,000	2010/11/29	2011/04/27	110.00
27012	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 B	500,000,000	2010/11/29	2011/05/30	125.00
27013	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 C	500,000,000	2010/11/29	2011/05/30	125.00
27016	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11E	500,000,000	2010/11/29	2011/05/30	130.00
27017	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11F	500,000,000	2010/11/29	2011/05/30	155.00
27015	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jan 2012	400,000,000	2010/11/29	2012/01/09	72.00
27018	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Jul 2011	300,000,000	2010/11/29	2011/07/11	45.00
27019	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Feb 2012	300,000,000	2010/11/29	2012/02/06	51.00
27054	UBS AG – AIA European Warrants May 2011	200,000,000	2010/11/29	2011/05/31	30.00
27058	UBS AG – AIA European Put Warrants May 2011	200,000,000	2010/11/29	2011/05/09	30.00
27055	UBS AG – AIA European Warrants Apr 2011 A	200,000,000	2010/11/29	2011/04/20	40.00
27056	UBS AG – AIA European Warrants Apr 2011 B	200,000,000	2010/11/29	2011/04/29	30.00
25873*	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 A	250,000,000	2010/11/29	2011/09/01	26.50
27090	BOCI Asia Ltd. – AIA European Warrants Aug 2011	100,000,000	2010/11/30	2011/08/01	25.00
27091	BOCI Asia Ltd. – Yurun Food European Warrants Jun 2011 B	100,000,000	2010/11/30	2011/06/07	25.00
27093	Deutsche Bank AG – AIA European Warrants Jun 2011	300,000,000	2010/11/30	2011/06/01	45.00
27106	Deutsche Bank AG – AIA European Put Warrants May 2011	200,000,000	2010/11/30	2011/05/09	32.00
27108	Deutsche Bank AG – China Life European Warrants May 2011 B	300,000,000	2010/11/30	2011/05/17	51.00
27109	Deutsche Bank AG – China Life European Warrants May 2011 C	300,000,000	2010/11/30	2011/05/23	45.00
27110	Deutsche Bank AG – China Life European Warrants Jun 2011 A	300,000,000	2010/11/30	2011/06/07	117.00
27111	Deutsche Bank AG – China Life European Warrants Jun 2011 B	300,000,000	2010/11/30	2011/06/13	102.00
27112	Deutsche Bank AG – China Life European Warrants Jun 2011 C	300,000,000	2010/11/30	2011/06/07	75.00
27092	Deutsche Bank AG – HSI European Warrants May 2011 D	300,000,000	2010/11/30	2011/05/30	75.00
27096	Deutsche Bank AG – HSI European Put Warrants May 2011 E	300,000,000	2010/11/30	2011/05/30	75.00

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		發行單位	上市日期	到期日	
27098	HK Bank – HSI European Warrants May 2011 C	200,000,000	2010/11/30	2011/05/30	50.00
27099	HK Bank – HSI European Warrants May 2011 D	200,000,000	2010/11/30	2011/05/30	50.00
27107	HK Bank – HSI European Warrants Jul 2011 B	200,000,000	2010/11/30	2011/07/28	50.00
27103	HK Bank – HSI European Put Warrants May 2011 F	200,000,000	2010/11/30	2011/05/30	50.00
27104	HK Bank – HSI European Put Warrants May 2011 G	200,000,000	2010/11/30	2011/05/30	50.00
27097	HK Bank – ICBC European Warrants May 2011	120,000,000	2010/11/30	2011/05/30	30.00
27100	J P Morgan SP BV – AIA European Warrants Apr 2011	300,000,000	2010/11/30	2011/04/29	45.00
27101	J P Morgan SP BV – AIA European Warrants May 2011	300,000,000	2010/11/30	2011/05/30	75.00
27102	J P Morgan SP BV – Ping An European Warrants May 2011	100,000,000	2010/11/30	2011/05/30	46.00
27105	J P Morgan SP BV – Ping An European Warrants Jun 2012	300,000,000	2010/11/30	2012/06/29	45.00
27094	Macquarie Bank Ltd. – China Mobile European Wts Mar 2011	150,000,000	2010/11/30	2011/03/25	111.75
27095	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2011	150,000,000	2010/11/30	2011/06/24	23.85
27114	Standard Chartered Bank – ICBC European Warrants Jul 2011	100,000,000	2010/11/30	2011/07/04	26.00
27113	Standard Chartered Bank – SHK P European Warrants Dec 2011	100,000,000	2010/11/30	2011/12/19	15.10
27088	UBS AG – China Life European Warrants Apr 2011 B	300,000,000	2010/11/30	2011/04/11	60.00
27089	UBS AG – HSI European Warrants Mar 2011 G	200,000,000	2010/11/30	2011/03/30	60.00
27146	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Apr 12	100,000,000	2010/12/01	2012/04/02	15.00
27147	BNP Paribas Arbit Issu B.V. – Ping An European Wts Jul 2012	100,000,000	2010/12/01	2012/07/04	15.00
27139	Deutsche Bank AG – China Mobile European Warrants May 2011 A	300,000,000	2010/12/01	2011/05/17	45.00
27140	Deutsche Bank AG – China Mobile European Warrants Jun 2011	300,000,000	2010/12/01	2011/06/30	144.00
27137	Deutsche Bank AG – CC Bank European Warrants Jul 2011	200,000,000	2010/12/01	2011/07/11	100.00
27138	Deutsche Bank AG – CC Bank European Warrants Aug 2011	200,000,000	2010/12/01	2011/08/30	156.00
27136	Deutsche Bank AG – CC Bank European Warrants Apr 2011 B	200,000,000	2010/12/01	2011/04/04	114.00
27149	Deutsche Bank AG – HSI European Put Warrants Mar 2011 E	300,000,000	2010/12/01	2011/03/30	45.00
27150	Deutsche Bank AG – Tencent Holdings European Warrants Jul11B	200,000,000	2010/12/01	2011/07/04	36.00
27125	Goldman Sachs SP (Asia) – BOCL European Warrants Jun 2011	200,000,000	2010/12/01	2011/06/07	52.00
27126	Goldman Sachs SP (Asia) – BOCL European Warrants Jul 2011	200,000,000	2010/12/01	2011/07/25	50.00
27124	Goldman Sachs SP (Asia) – A50 China European Wts Nov 2011	200,000,000	2010/12/01	2011/11/04	32.00
27127	Goldman Sachs SP (Asia) – HKEx European Warrants May 2011	200,000,000	2010/12/01	2011/05/30	42.60
27128	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2011	200,000,000	2010/12/01	2011/06/07	164.00
27129	Goldman Sachs SP (Asia) – Hutchison European Wts Jul 2011	200,000,000	2010/12/01	2011/07/04	111.00
27130	Goldman Sachs SP (Asia) -Tencent Holdings European Wt May 11	200,000,000	2010/12/01	2011/05/30	31.80
27148	J P Morgan SP BV – China Life European Warrants Apr 2011 D	300,000,000	2010/12/01	2011/04/21	45.00
27134	J P Morgan SP BV – HSBC European Warrants Apr 2011 B	200,000,000	2010/12/01	2011/04/20	57.00
27135	J P Morgan SP BV – HSBC European Warrants Apr 2011 C	200,000,000	2010/12/01	2011/04/14	30.00
27144	Macquarie Bank Ltd. – Alibaba European Warrants Jul 2011	200,000,000	2010/12/01	2011/07/04	30.00
27143	Macquarie Bank Ltd. – Huaneng Power European Wts Jul 2011	200,000,000	2010/12/01	2011/07/06	50.40
27145	CC Rabobank B.A. – Air China European Warrants Aug 2011	100,000,000	2010/12/01	2011/08/23	25.00
27132	CC Rabobank B.A. – China Agri European Warrants Dec 2011	150,000,000	2010/12/01	2011/12/05	22.50
27133	CC Rabobank B.A. – KECL European Warrants Aug 2011	200,000,000	2010/12/01	2011/08/11	30.00
27131	CC Rabobank B.A. – China Mengniu European Warrants Jun 2011	150,000,000	2010/12/01	2011/06/27	25.50
27142	CC Rabobank B.A. – MTRC European Warrants May 2011	100,000,000	2010/12/01	2011/05/23	15.00
27116	SGA Societe Generale Acceptance N.V.-AIA European Wts May11B	500,000,000	2010/12/01	2011/05/04	75.00
27117	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Mar 2011	200,000,000	2010/12/01	2011/03/21	42.00

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		發行單位	上市日期	到期日	
27118	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Apr 2011 C	200,000,000	2010/12/01	2011/04/27	30.00
27119	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Dec 2011A	200,000,000	2010/12/01	2011/12/28	30.00
27120	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 G	500,000,000	2010/12/01	2011/05/23	100.00
27121	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt May 2011 H	500,000,000	2010/12/01	2011/05/30	75.00
27115	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 G	500,000,000	2010/12/01	2011/05/30	100.00
27122	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 11G	500,000,000	2010/12/01	2011/04/28	110.00
27123	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 11H	500,000,000	2010/12/01	2011/05/30	105.00
27141	UBS AG – China Life European Warrants Mar 2011	300,000,000	2010/12/01	2011/03/07	78.00
27170	Credit Suisse AG – China Shenhua European Warrants Mar 2011	300,000,000	2010/12/02	2011/03/28	45.00
27169	Credit Suisse AG – Tencent Holdings European Put Wts May2011	300,000,000	2010/12/02	2011/05/30	45.00
27174	Deutsche Bank AG – HSBC European Warrants May 2011 B	200,000,000	2010/12/02	2011/05/25	76.00
27171	Deutsche Bank AG – HSBC European Warrants Jun 2011 C	200,000,000	2010/12/02	2011/06/07	190.00
27172	Deutsche Bank AG – HSBC European Warrants Jun 2011 D	200,000,000	2010/12/02	2011/06/07	136.00
27173	Deutsche Bank AG – HSBC European Warrants Jun 2011 E	200,000,000	2010/12/02	2011/06/07	98.00
27186	Deutsche Bank AG – HSI European Warrants May 2011 F	300,000,000	2010/12/02	2011/05/30	81.00
27188	Deutsche Bank AG – HSI European Warrants May 2011 G	300,000,000	2010/12/02	2011/05/30	57.00
27187	Deutsche Bank AG – HSI European Put Warrants Apr 2011 H	300,000,000	2010/12/02	2011/04/28	48.00
27177	Goldman Sachs SP (Asia) – HSI European Warrants May 2011 A	200,000,000	2010/12/02	2011/05/30	46.80
27175	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2011 D	200,000,000	2010/12/02	2011/04/28	43.60
27176	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2011 E	200,000,000	2010/12/02	2011/04/28	30.60
27151	HK Bank – Esprit European Warrants Jun 2011 A	120,000,000	2010/12/02	2011/06/02	30.00
27183	J P Morgan SP BV – China Mob European Wts Jun 2011 A	200,000,000	2010/12/02	2011/06/02	50.00
27152	J P Morgan SP BV – Ch Overseas European Warrants May 2011	200,000,000	2010/12/02	2011/05/30	30.00
27156	J P Morgan SP BV – A50 China European Put Warrants Sep 2011	300,000,000	2010/12/02	2011/09/22	45.00
27155	J P Morgan SP BV – HSBC European Put Warrants Sep 2011	388,000,000	2010/12/02	2011/09/27	58.20
27184	J P Morgan SP BV – Lenovo European Warrants Jun 2011 A	100,000,000	2010/12/02	2011/06/02	25.00
27153	J P Morgan SP BV – Tencent European Warrants Jun 2011 A	200,000,000	2010/12/02	2011/06/02	50.00
27185	J P Morgan SP BV – Tencent European Warrants Jun 2011 B	200,000,000	2010/12/02	2011/06/21	30.00
27154	J P Morgan SP BV – Tencent European Warrants Jul 2011	200,000,000	2010/12/02	2011/07/21	30.00
27159	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jun11A	80,000,000	2010/12/02	2011/06/27	28.00
27157	SGA Societe Generale Acceptance N.V. – Air China Eu Wt Jun11	200,000,000	2010/12/02	2011/06/27	30.00
27158	SGA Societe Generale Acceptance N.V. – Alibaba Eu Wts Dec 11	150,000,000	2010/12/02	2011/12/21	37.50
27160	SGA Societe Generale Acceptance N.V.-Belle Int'l Eu Wt Nov11	150,000,000	2010/12/02	2011/11/21	37.50
27161	SGA Societe Generale Acceptance N.V.-Chi Res Land Eu Wt Dec11	150,000,000	2010/12/02	2011/12/21	37.50
27162	SGA Societe Generale Acceptance N.V.-Ch Ship Cont Eu Wt Jun11	70,000,000	2010/12/02	2011/06/20	27.30
27165	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts Jun 11	200,000,000	2010/12/02	2011/06/27	30.00
27163	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jun11B	100,000,000	2010/12/02	2011/06/13	25.00
27164	SGA Societe Generale Acceptance N.V. -Gome Euro Wt Jun 2011A	120,000,000	2010/12/02	2011/06/20	36.00
27166	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Dec11	150,000,000	2010/12/02	2011/12/19	37.50
27167	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Dec 11	200,000,000	2010/12/02	2011/12/21	50.00
27168	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Jun11B	120,000,000	2010/12/02	2011/06/20	30.00
27178	UBS AG – CC Bank European Warrants Apr 2011	100,000,000	2010/12/02	2011/04/18	60.00
27179	UBS AG – China Mobile European Warrants Mar 2011 B	100,000,000	2010/12/02	2011/03/21	55.00
27182	UBS AG – HSI European Put Warrants Mar 2011 H	200,000,000	2010/12/02	2011/03/30	30.00

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		發行單位	上市日期	到期日	
27180	UBS AG – ICBC European Warrants Mar 2011 B	100,000,000	2010/12/02	2011/03/28	45.00
27181	UBS AG – SPDR Gold Trust European Warrants Jun 2011	100,000,000	2010/12/02	2011/06/20	25.00
23662*	CC Rabobank B.A. – KECL European Warrants Jun 2011	200,000,000	2010/12/02	2011/06/29	18.00
27216	Credit Suisse AG – HSI European Warrants Mar 2011 C	300,000,000	2010/12/03	2011/03/30	60.00
27217	Credit Suisse AG – HSI European Warrants Mar 2011 D	300,000,000	2010/12/03	2011/03/30	45.00
27221	Credit Suisse AG – HSI European Put Warrants Mar 2011 E	300,000,000	2010/12/03	2011/03/30	45.00
27218	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2011 A	200,000,000	2010/12/03	2011/04/29	39.80
27199	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2011 B	200,000,000	2010/12/03	2011/06/29	52.00
27200	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2011 F	200,000,000	2010/12/03	2011/04/28	36.60
27194	J P Morgan SP BV – Agricultural Bk European Warrants Jun 11A	200,000,000	2010/12/03	2011/06/03	50.00
27195	J P Morgan SP BV – BankComm European Warrants Jun 2011	100,000,000	2010/12/03	2011/06/29	39.60
27196	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jun 2011 A	200,000,000	2010/12/03	2011/06/03	120.20
27197	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jun 2011 B	200,000,000	2010/12/03	2011/06/03	50.40
27198	J P Morgan SP BV – Esprit European Wts Jun 2011 A	100,000,000	2010/12/03	2011/06/29	25.20
27215	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011 B	208,000,000	2010/12/03	2011/09/02	31.20
27214	Macquarie Bank Ltd. – AIA European Warrants Aug 2011	250,000,000	2010/12/03	2011/08/02	62.75
27189	CC Rabobank B.A. – Agile Ppt European Warrants Jun 2011	100,000,000	2010/12/03	2011/06/08	15.00
27190	CC Rabobank B.A. – China Dongxiang European Warrants Jun 11	120,000,000	2010/12/03	2011/06/03	30.00
27191	CC Rabobank B.A. – Ch Ship Cont European Warrants Jun 2011	100,000,000	2010/12/03	2011/06/03	40.00
27192	CC Rabobank B.A. – Evergrande European Warrants Jun 2011	100,000,000	2010/12/03	2011/06/03	37.00
27193	CC Rabobank B.A. – Shanghai Electric European Warrants Jun11	100,000,000	2010/12/03	2011/06/03	65.00
27201	SGA Societe Generale Acceptance N.V. -Agile Ppt Eu Wts Jun11	200,000,000	2010/12/03	2011/06/20	30.00
27202	SGA Societe Generale Acceptance N.V. -Air China Eu Wt Oct11B	200,000,000	2010/12/03	2011/10/26	50.00
27203	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt Jun11B	200,000,000	2010/12/03	2011/06/27	30.00
27204	SGA Societe Generale Acceptance N.V.-China EB Ltd Eu Wt Aug11	120,000,000	2010/12/03	2011/08/22	30.00
27205	SGA Societe Generale Acceptance N.V.-C Transmiss Eu Wt Oct11	150,000,000	2010/12/03	2011/10/26	37.50
27207	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Aug 11	200,000,000	2010/12/03	2011/08/29	50.00
27206	SGA Societe Generale Acceptance N.V. – Dongfeng Eu Wt Jun11C	200,000,000	2010/12/03	2011/06/27	52.00
27208	SGA Societe Generale Acceptance N.V. – Geely Au Eu Wt Jun11A	80,000,000	2010/12/03	2011/06/20	30.40
27209	SGA Societe Generale Acceptance N.V. – Gome Euro Wt Jun 11B	120,000,000	2010/12/03	2011/06/20	45.60
27210	SGA Societe Generale Acceptance NV-Maanshan Iron Eu Wt Jun11	50,000,000	2010/12/03	2011/06/20	22.50
27213	SGA Societe Generale Acceptance N.V. -Sinopharm Eu Wts Jun11	80,000,000	2010/12/03	2011/06/20	24.80
27211	SGA Societe Generale Acceptance N.V.-Shimao Ppt Eu Wt Oct 11	200,000,000	2010/12/03	2011/10/26	32.00
27212	SGA Societe Generale Acceptance N.V.-Shimao Ppt Eu Wt Dec 11	200,000,000	2010/12/03	2011/12/21	50.00
27236	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2011	200,000,000	2010/12/06	2011/07/05	98.00
27237	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jan 2012	200,000,000	2010/12/06	2012/01/04	80.00
27235	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2011	200,000,000	2010/12/06	2011/08/02	126.00
27238	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2011	200,000,000	2010/12/06	2011/08/02	76.00
27225	Goldman Sachs SP (Asia) – Cheung Kong European Wts Apr 2011	200,000,000	2010/12/06	2011/04/18	72.00
27224	Goldman Sachs SP (Asia) – Cheung Kong European Wts Apr 2012	200,000,000	2010/12/06	2012/04/30	30.80
27227	Goldman Sachs SP (Asia) – Ping An European Warrants Jun 2011	200,000,000	2010/12/06	2011/06/07	142.00
27226	Goldman Sachs SP (Asia) – Ping An European Warrants Mar 2012	200,000,000	2010/12/06	2012/03/12	32.80
27229	J P Morgan SP BV – Anta Sports European Warrants Jun 2011	100,000,000	2010/12/06	2011/06/07	25.00
27234	J P Morgan SP BV – BEA European Warrants Jun 2011	80,000,000	2010/12/06	2011/06/07	20.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27219	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2011 C	200,000,000	2010/12/06	2011/05/23	68.00
27231	J P Morgan SP BV – CITIC Bank European Warrants Jun 2011 A	100,000,000	2010/12/06	2011/06/29	38.00
27232	J P Morgan SP BV – CITIC Bank European Warrants Jun 2011 B	100,000,000	2010/12/06	2011/06/29	25.00
27230	J P Morgan SP BV – Cathay Pacific European Warrants Jun 2011	100,000,000	2010/12/06	2011/06/07	25.00
27233	J P Morgan SP BV – Esprit European Wts Jun 2011 B	100,000,000	2010/12/06	2011/06/07	25.00
27222	SGA Societe Generale Acceptance N.V. -AIA European Wt May11C	500,000,000	2010/12/06	2011/05/23	95.00
27220	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Dec11	200,000,000	2010/12/06	2011/12/21	50.00
27223	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jul 2012	300,000,000	2010/12/06	2012/07/25	45.00
27228	SGA Societe Generale Acceptance N.V. -Ch Mengniu Eu Wt Oct11	100,000,000	2010/12/06	2011/10/26	25.00
27245	Deutsche Bank AG – Esprit European Warrants Jun 2011	100,000,000	2010/12/07	2011/06/20	25.00
27246	Deutsche Bank AG – Evergrande European Warrants Jun 2011	100,000,000	2010/12/07	2011/06/27	44.00
27247	Deutsche Bank AG – Li & Fung European Warrants Jun 2011	100,000,000	2010/12/07	2011/06/20	31.00
27243	Goldman Sachs SP (Asia) – HSI European Warrants May 2011 B	200,000,000	2010/12/07	2011/05/30	32.80
27244	Goldman Sachs SP (Asia) – Sinopharm European Warrants Jun 11	200,000,000	2010/12/07	2011/06/27	63.00
27239	CC Rabobank B.A. – Datang European Warrants Jun 2011	100,000,000	2010/12/07	2011/06/08	35.00
27240	CC Rabobank B.A. – Huaneng Power European Warrants Jun 2011	100,000,000	2010/12/07	2011/06/08	25.00
27241	CC Rabobank B.A. – Shanghai Ind European Warrants Jun 2011	100,000,000	2010/12/07	2011/06/08	29.00
27249	RB of Scotland N.V. – ICBC European Warrants Jun 2011 C	100,000,000	2010/12/07	2011/06/07	44.40
27250	Standard Chartered Bank – AIA European Warrants Nov 2011	100,000,000	2010/12/07	2011/11/28	15.00
27242	Standard Chartered Bank – China Mobile European Wts Mar 2011	100,000,000	2010/12/07	2011/03/24	25.00
26095*	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2011	138,000,000	2010/12/07	2011/04/26	20.42
27288	Citigroup Global Mkt H Inc.-Alibaba European Warrants Oct 11	80,000,000	2010/12/08	2011/10/18	20.00
27290	Citigroup Global Mkt H Inc. – Ch Overseas Euro Wts Jun 2011B	80,000,000	2010/12/08	2011/06/07	12.00
27291	Citigroup Global Mkt H Inc. – Sh Ind H European Wts Jul 2011	80,000,000	2010/12/08	2011/07/18	20.00
27276	Deutsche Bank AG – Agricultural Bk European Warrants Aug 11	150,000,000	2010/12/08	2011/08/15	70.50
27274	Deutsche Bank AG – Agricultural Bk European Warrants Jul 11A	150,000,000	2010/12/08	2011/07/25	37.50
27275	Deutsche Bank AG – Agricultural Bk European Warrants Jul 11B	150,000,000	2010/12/08	2011/07/18	22.50
27278	Deutsche Bank AG – AIA European Warrants Sep 2011	300,000,000	2010/12/08	2011/09/26	75.00
27279	Deutsche Bank AG – BOC HK European Warrants May 2011	200,000,000	2010/12/08	2011/05/30	30.00
27277	Deutsche Bank AG – China Mobile European Warrants May 2011 B	300,000,000	2010/12/08	2011/05/30	66.00
27280	Deutsche Bank AG – Cathay Pacific European Warrants Jun 2011	100,000,000	2010/12/08	2011/06/20	25.00
27281	Deutsche Bank AG – ICBC European Warrants Jun 2011 A	200,000,000	2010/12/08	2011/06/20	112.00
27282	Deutsche Bank AG – ICBC European Warrants Jun 2011 B	200,000,000	2010/12/08	2011/06/27	70.00
27283	Deutsche Bank AG – ICBC European Warrants Jul 2011 A	200,000,000	2010/12/08	2011/07/25	50.00
27284	Deutsche Bank AG – ICBC European Warrants Jul 2011 B	200,000,000	2010/12/08	2011/07/05	30.00
27270	Goldman Sachs SP (Asia) – Minsheng Bank European Wts Jun11	200,000,000	2010/12/08	2011/06/13	68.00
27271	Goldman Sachs SP (Asia) – Esprit European Warrants Jun 2011A	200,000,000	2010/12/08	2011/06/13	92.00
27272	Goldman Sachs SP (Asia) – Esprit European Warrants Jun 2011B	200,000,000	2010/12/08	2011/06/20	73.00
27273	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 D	200,000,000	2010/12/08	2011/06/29	50.00
27285	KBC Fin Products Int'l Ltd. – BankComm Euro Wts Jun 2011	68,000,000	2010/12/08	2011/06/08	37.40
27289	KBC Fin Products Int'l Ltd. – BankComm Eur Put Wt Jun 2011	58,000,000	2010/12/08	2011/06/08	28.42
27286	KBC Fin Products Int'l Ltd. – Ch Overseas Euro Wts Aug 2011	108,000,000	2010/12/08	2011/08/18	28.08
27287	KBC Fin Products Int'l Ltd. – Li & Fung Euro Put Wt Aug 2011	58,000,000	2010/12/08	2011/08/18	30.16
27252	RB of Scotland N.V. – CC Bank European Warrants Apr 2011	100,000,000	2010/12/08	2011/04/13	49.40

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		發行單位	上市日期	到期日	
27251	RB of Scotland N.V. – HSI European Warrants Mar 2011 A	250,000,000	2010/12/08	2011/03/30	37.75
27253	Standard Chartered Bank-China Life European Warrants Jul 11B	100,000,000	2010/12/08	2011/07/04	25.00
27254	SGA Societe Generale Acceptance NV-Angang Steel Eu Wt Jun11C	150,000,000	2010/12/08	2011/06/27	24.00
27255	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Dec 2011B	200,000,000	2010/12/08	2011/12/28	30.00
27256	SGA Societe Generale Acceptance N.V.-C Transmiss Eu Wt Jul11B	100,000,000	2010/12/08	2011/07/04	25.00
27257	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Mar 11C	200,000,000	2010/12/08	2011/03/21	128.00
27258	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 11A	200,000,000	2010/12/08	2011/06/08	90.00
27259	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jun 11B	200,000,000	2010/12/08	2011/06/08	54.00
27260	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Jun11B	200,000,000	2010/12/08	2011/06/08	30.00
27261	SGA Societe Generale Acceptance N.V. – Esprit Euro Wts Jun11	80,000,000	2010/12/08	2011/06/08	23.20
27263	SGA Societe Generale Acceptance N.V. – R&F Ppt Eu Wts May 11	150,000,000	2010/12/08	2011/05/30	22.50
27262	SGA Societe Generale Acceptance N.V. – GCL-Poly Eu Wt Jun11C	70,000,000	2010/12/08	2011/06/08	20.30
27265	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Sep 11	300,000,000	2010/12/08	2011/09/05	45.00
27264	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jul 2011 C	500,000,000	2010/12/08	2011/07/18	75.00
27266	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Jun 11	200,000,000	2010/12/08	2011/06/22	30.00
27267	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Aug 2012	500,000,000	2010/12/08	2012/08/13	75.00
27268	UBS AG – Alibaba European Warrants Sep 2011	100,000,000	2010/12/08	2011/09/26	25.00
27269	UBS AG – Hutchison European Warrants Mar 2012	500,000,000	2010/12/08	2012/03/19	75.00
20626*	Macquarie Bank Ltd. – HSBC European Warrants Apr 2011	600,000,000	2010/12/08	2011/04/11	12.00
27308	Barclays Bank plc – China Mobile European Wts Aug 2011	100,000,000	2010/12/09	2011/08/01	25.00
27304	Barclays Bank plc – China Mobile European Put Wts Aug 2011	100,000,000	2010/12/09	2011/08/01	27.00
27307	Barclays Bank plc – CNOOC European Warrants Aug 2011	120,000,000	2010/12/09	2011/08/01	21.60
27306	Barclays Bank plc – HSBC European Warrants Jul 2011	100,000,000	2010/12/09	2011/07/25	30.00
27309	Barclays Bank plc – ICBC European Warrants Aug 2011 A	100,000,000	2010/12/09	2011/08/08	26.00
27302	BOCI Asia Ltd. – CPIC European Warrants Jun 2011	100,000,000	2010/12/09	2011/06/01	15.00
27303	BOCI Asia Ltd. – HKEx European Warrants Sep 2011	300,000,000	2010/12/09	2011/09/05	75.00
27329	Credit Suisse AG – AIA European Warrants Apr 2011 C	300,000,000	2010/12/09	2011/04/20	45.00
27328	Citigroup Global Mkt H Inc. – China Shenhua Eur Wts Jun 2011	80,000,000	2010/12/09	2011/06/27	20.00
27327	Citigroup Global Mkt H Inc. – A50 China Euro Wts Jun 2011 C	80,000,000	2010/12/09	2011/06/23	12.00
27333	Citigroup Global Mkt H Inc. – HSCEI European Wts Jul 2011	80,000,000	2010/12/09	2011/07/28	20.00
27337	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Jul 2011	80,000,000	2010/12/09	2011/07/28	20.00
27314	Deutsche Bank AG – HKEx European Warrants May 2011 F	300,000,000	2010/12/09	2011/05/30	66.00
27315	Deutsche Bank AG – HKEx European Warrants Jun 2011 C	300,000,000	2010/12/09	2011/06/27	66.00
27316	Deutsche Bank AG – HKEx European Warrants Jul 2011 B	300,000,000	2010/12/09	2011/07/25	51.00
27322	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2011	200,000,000	2010/12/09	2011/06/01	30.80
27321	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2011 B	200,000,000	2010/12/09	2011/04/21	30.20
27323	Goldman Sachs SP (Asia) – Alibaba European Warrants Oct 2011	200,000,000	2010/12/09	2011/10/31	50.00
27332	Goldman Sachs SP (Asia) – BYD European Warrants Jun 2011	100,000,000	2010/12/09	2011/06/14	75.00
27325	Goldman Sachs SP (Asia) – Ch Comm Cons European Wts Jun 2011	200,000,000	2010/12/09	2011/06/13	96.00
27324	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2011 B	200,000,000	2010/12/09	2011/06/20	50.00
27319	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2011 C	200,000,000	2010/12/09	2011/06/29	66.00
27320	Goldman Sachs SP (Asia) – HSI European Put Wts May 2011 D	200,000,000	2010/12/09	2011/05/30	55.00
27300	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jun 11	200,000,000	2010/12/09	2011/06/29	50.00
27295	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Nov 11	200,000,000	2010/12/09	2011/11/29	30.20

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		發行單位	上市日期	到期日	
27292	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants May11C	200,000,000	2010/12/09	2011/05/30	38.20
27293	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants May11D	200,000,000	2010/12/09	2011/05/30	30.20
27294	Merrill Lynch Int'l & Co. C.V. -HSI European Warrants Jul11B	200,000,000	2010/12/09	2011/07/28	30.00
27296	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Apr11H	200,000,000	2010/12/09	2011/04/28	45.40
27297	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts May11E	200,000,000	2010/12/09	2011/05/30	37.20
27298	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts May11F	200,000,000	2010/12/09	2011/05/30	43.60
27299	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts May11G	200,000,000	2010/12/09	2011/05/30	60.00
27305	RB of Scotland N.V. – HSI European Put Warrants Mar 2011	250,000,000	2010/12/09	2011/03/30	38.00
27301	RB of Scotland N.V. – HSI European Warrants Mar 2011 B	250,000,000	2010/12/09	2011/03/30	41.75
27317	Standard Chartered Bank – HKEx European Warrants Oct 2011 B	100,000,000	2010/12/09	2011/10/03	25.00
27318	Standard Chartered Bank – PetroChina European Warrants Aug12	100,000,000	2010/12/09	2012/08/13	15.00
27310	SGA Societe Generale Acceptance N.V. – BYD Euro Wts Nov 2013	1,200,000,000	2010/12/09	2013/11/25	180.00
27311	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Dec 2012	1,200,000,000	2010/12/09	2012/12/10	252.00
27312	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2013	1,200,000,000	2010/12/09	2013/06/03	180.00
27326	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2011 C	500,000,000	2010/12/09	2011/06/08	75.00
27313	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Dec 2012	1,200,000,000	2010/12/09	2012/12/10	192.00
27330	UBS AG – HKEx European Warrants Jul 2011 D	300,000,000	2010/12/09	2011/07/18	75.00
27331	UBS AG – HSBC European Put Warrants Mar 2011	100,000,000	2010/12/09	2011/03/30	15.00
24175*	Barclays Bank plc – China Mobile European Wts Jun 2012	200,000,000	2010/12/09	2012/06/18	12.80
24925*	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Mar 2011 A	130,000,000	2010/12/09	2011/03/02	37.70
27348	Citigroup Global Mkt H Inc. – CNBM European Warrants Jun 11B	80,000,000	2010/12/10	2011/06/03	12.00
27349	Citigroup Global Mkt H Inc. – Chi Res Power Euro Wts Aug 11	80,000,000	2010/12/10	2011/08/08	20.00
27345	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2011	80,000,000	2010/12/10	2011/06/22	20.00
27354	Citigroup Global Mkt H Inc. – KECL European Warrants Feb 12	80,000,000	2010/12/10	2012/02/27	20.00
27346	Citigroup Global Mkt H Inc. – Li Ning European Wts Jul 2011B	80,000,000	2010/12/10	2011/07/18	20.00
27347	Citigroup Global Mkt H Inc. – Tencent European Put Wts May11	100,000,000	2010/12/10	2011/05/26	15.00
27350	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Sep 2011	80,000,000	2010/12/10	2011/09/26	12.00
27353	Deutsche Bank AG – KECL European Warrants Sep 2011	100,000,000	2010/12/10	2011/09/26	15.00
27340	J P Morgan SP BV – BOC HK European Warrants Jun 2011 B	200,000,000	2010/12/10	2011/06/29	50.00
27341	J P Morgan SP BV – Minsheng Bank European Warrants Jun 2011A	100,000,000	2010/12/10	2011/06/10	25.00
27342	J P Morgan SP BV – Minsheng Bank European Warrants Jun 2011B	100,000,000	2010/12/10	2011/06/20	60.90
27343	J P Morgan SP BV – CPIC European Warrants Jun 2011	100,000,000	2010/12/10	2011/06/29	25.00
27344	J P Morgan SP BV – Hutchison European Warrants Mar 2012 A	500,000,000	2010/12/10	2012/03/19	75.00
27355	KBC Fin Products Int'l Ltd. – Esprit European Wts Jul 2011	80,000,000	2010/12/10	2011/07/13	30.40
27334	Standard Chartered Bank – AIA European Warrants Jun 2011	100,000,000	2010/12/10	2011/06/13	26.00
27335	Standard Chartered Bank – HSBC European Warrants Sep 2011	100,000,000	2010/12/10	2011/09/30	45.50
27336	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 11C	200,000,000	2010/12/10	2011/05/23	86.00
27338	SGA Societe Generale Acceptance N.V. – CP&CC Eur Wt Jun 11B	150,000,000	2010/12/10	2011/06/13	82.50
27339	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Oct 2012	500,000,000	2010/12/10	2012/10/22	75.00
27352	UBS AG – AIA European Warrants Jun 2011	200,000,000	2010/12/10	2011/06/20	50.00
27351	UBS AG – China Shenhua European Warrants Jun 2011 B	100,000,000	2010/12/10	2011/06/20	25.00
27362	Citigroup Global Mkt H Inc. – Sinopharm European Wts Jul 11	80,000,000	2010/12/13	2011/07/28	20.00
27359	Goldman Sachs SP (Asia) – CITIC Pacific European Wts Jun11	50,000,000	2010/12/13	2011/06/20	12.50
27360	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 E	200,000,000	2010/12/13	2011/06/29	53.00

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		發行單位	上市日期	到期日	
27356	RB of Scotland N.V. – China Mobile European Wts Jun 2011 A	80,000,000	2010/12/13	2011/06/13	20.48
27357	RB of Scotland N.V. – HSBC European Warrants Jun 2011 B	80,000,000	2010/12/13	2011/06/13	20.72
27358	RB of Scotland N.V. – HWL European Warrants Jun 2011 B	80,000,000	2010/12/13	2011/06/30	37.28
27369	Standard Chartered Bank – Agricultural Bk European Wts Aug11	100,000,000	2010/12/13	2011/08/02	25.00
27368	Standard Chartered Bank – CC Bank European Warrants Aug 2011	100,000,000	2010/12/13	2011/08/02	25.00
27363	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jun11B	80,000,000	2010/12/13	2011/06/13	20.80
27364	SGA Societe Generale Acceptance N.V.-Geely Auto Eu Wt Jun11B	80,000,000	2010/12/13	2011/06/13	33.60
27365	SGA Societe Generale Acceptance N.V. – JIANG Eu Wts Aug 2011	200,000,000	2010/12/13	2011/08/08	50.00
27361	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Sep 11	200,000,000	2010/12/13	2011/09/26	36.00
27366	SGA Societe Generale Acceptance N.V. – KECL Euro Wts Dec 11	200,000,000	2010/12/13	2011/12/12	50.00
27367	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Jul11	100,000,000	2010/12/13	2011/07/04	47.00
23835 [#]	KBC Fin Products Int'l Ltd. – Ch Mobile Euro Wt Feb 2011 B	260,000,000	2010/12/13	2011/02/01	31.20
26620 [#]	UBS AG – CNOOC European Warrants Jun 2011 B	200,000,000	2010/12/13	2011/06/09	21.60
27371	BNP Paribas Arbit Issu B.V. – Chi Res Power Euro Wts Feb12	100,000,000	2010/12/14	2012/02/02	25.00
27372	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jul 2011	100,000,000	2010/12/14	2011/07/05	38.00
10027	BNP Paribas Arbit Issu B.V. – Gold European Warrants Jun 11	200,000,000	2010/12/14	2011/06/14	54.00
10023	BNP Paribas Arbit Issu B.V. – Gold European Warrants Jun 12	200,000,000	2010/12/14	2012/06/06	54.00
10022	BNP Paribas Arbit Issu B.V. – Gold European Warrants Dec 11B	200,000,000	2010/12/14	2011/12/06	56.00
27373	BNP Paribas Arbit Issu B.V. – KECL European Warrants Oct 11	100,000,000	2010/12/14	2011/10/04	17.00
10024	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt Jun 11	200,000,000	2010/12/14	2011/06/16	50.00
10026	BNP Paribas Arbit Issu B.V. – Oil Futures European Wt May 12	200,000,000	2010/12/14	2012/05/17	50.00
10028	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Put Wt Jun 11	200,000,000	2010/12/14	2011/06/16	50.00
27374	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts May 2011 C	100,000,000	2010/12/14	2011/05/04	33.00
27375	BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Jan 2012	100,000,000	2010/12/14	2012/01/04	15.00
27390	Citigroup Global Mkt H Inc. – CNOOC European Wts Jun 2011 B	100,000,000	2010/12/14	2011/06/01	15.00
27385	Deutsche Bank AG – BOCL European Warrants May 2011	150,000,000	2010/12/14	2011/05/16	34.50
27386	Deutsche Bank AG – BOCL European Warrants Jun 2011	150,000,000	2010/12/14	2011/06/07	36.00
27387	Deutsche Bank AG – BOCL European Warrants Jul 2011	150,000,000	2010/12/14	2011/07/11	22.50
27381	Deutsche Bank AG – HSI European Put Warrants Jun 2011 B	300,000,000	2010/12/14	2011/06/29	75.00
27388	Deutsche Bank AG – Hutchison European Warrants Jun 2011 A	150,000,000	2010/12/14	2011/06/27	145.50
27389	Deutsche Bank AG – Hutchison European Warrants Jun 2011 B	150,000,000	2010/12/14	2011/06/27	90.00
27382	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2011 C	200,000,000	2010/12/14	2011/06/13	30.00
27384	Goldman Sachs SP (Asia) – HSI European Put Wts May 2011 E	200,000,000	2010/12/14	2011/05/30	33.80
27383	Goldman Sachs SP (Asia) – PetroChina European Wts Apr 2011	200,000,000	2010/12/14	2011/04/11	98.00
27370	Macquarie Bank Ltd. – HSI European Warrants Apr 2011 B	200,000,000	2010/12/14	2011/04/28	39.20
27376	CC Rabobank B.A. – Anta Sports European Warrants May 2011	100,000,000	2010/12/14	2011/05/23	15.00
27377	CC Rabobank B.A. – BBMG European Warrants Nov 2011	200,000,000	2010/12/14	2011/11/07	50.00
27380	CC Rabobank B.A. – CNOOC European Warrants Jun 2011 B	120,000,000	2010/12/14	2011/06/02	18.00
27378	CC Rabobank B.A. – Digital China European Warrants Jun 2011	200,000,000	2010/12/14	2011/06/15	50.00
27379	CC Rabobank B.A. – Sinofert European Warrants Apr 2011	100,000,000	2010/12/14	2011/04/29	30.00
21760 [#]	Macquarie Bank Ltd. – A50 China European Warrants Mar 2011 A	300,000,000	2010/12/14	2011/03/30	15.60
22371 [#]	HK Bank – A50 China European Warrants Feb 2011	193,000,000	2010/12/14	2011/02/25	10.04
27400	BOCI Asia Ltd. – Poly HK Inv European Warrants Jul 2011	100,000,000	2010/12/15	2011/07/25	15.00
27398	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Jul 2011	200,000,000	2010/12/15	2011/07/15	30.60

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		發行單位	上市日期	到期日	
27399	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Oct 2011	200,000,000	2010/12/15	2011/10/24	30.00
27407	KBC Fin Products Int'l Ltd. – HS Bank Euro Put Wts Jun 2011	58,000,000	2010/12/15	2011/06/15	35.38
27408	KBC Fin Products Int'l Ltd. – Jiangxi Copper Eu Put Wt Jul11	80,000,000	2010/12/15	2011/07/15	20.00
27409	KBC Fin Products Int'l Ltd. – Ping An Euro Put Wts Jun 2011	68,000,000	2010/12/15	2011/06/15	38.08
27406	KBC Fin Products Int'l Ltd. – Wharf European Warrants Jun11B	80,000,000	2010/12/15	2011/06/15	30.40
27391	Macquarie Bank Ltd. – Ch LongYuan European Warrants Nov 2012	128,000,000	2010/12/15	2012/11/26	19.97
27395	Macquarie Bank Ltd. – China Overseas European Put Wts Nov 11	100,000,000	2010/12/15	2011/11/17	25.00
27392	Macquarie Bank Ltd. – Gome European Warrants Jul 2011	88,000,000	2010/12/15	2011/07/21	22.09
27393	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2012	200,000,000	2010/12/15	2012/03/19	32.00
27394	Macquarie Bank Ltd. – Maanshan Iron European Wts Jun 2011	80,000,000	2010/12/15	2011/06/15	20.32
27405	RB of Scotland N.V. – BYD European Warrants Oct 2011	300,000,000	2010/12/15	2011/10/10	45.00
27403	RB of Scotland N.V. – CNOOC European Warrants Jul 2011	200,000,000	2010/12/15	2011/07/12	30.00
27404	RB of Scotland N.V. – PetroChina European Warrants Oct 2012	250,000,000	2010/12/15	2012/10/19	38.50
27396	Standard Chartered Bank – China Coal European Warrants Jun11	200,000,000	2010/12/15	2011/06/02	30.00
27397	Standard Chartered Bank-China Life European Warrants Apr 11C	100,000,000	2010/12/15	2011/04/19	25.00
27401	SGA Societe Generale Acceptance N.V. -HSCEI Eu Put Wt Apr11B	200,000,000	2010/12/15	2011/04/28	30.00
27412	UBS AG – CC Bank European Warrants Dec 2012	300,000,000	2010/12/15	2012/12/10	45.00
27411	UBS AG – CNOOC European Warrants Jun 2011 C	200,000,000	2010/12/15	2011/06/27	30.00
27413	UBS AG – HSI European Warrants Apr 2011 F	300,000,000	2010/12/15	2011/04/28	45.00
27414	UBS AG – HSI European Put Warrants May 2011 E	200,000,000	2010/12/15	2011/05/30	36.00
27410	UBS AG – KECL European Warrants Sep 2011	200,000,000	2010/12/15	2011/09/26	30.00
27416	BOCI Asia Ltd. – GCL-Poly Energy European Warrants Jul 2011	100,000,000	2010/12/16	2011/07/05	30.00
27417	BOCI Asia Ltd. – KECL European Warrants Sep 2011	100,000,000	2010/12/16	2011/09/30	15.00
27429	Deutsche Bank AG – Angang Steel European Warrants Jun 2011 C	100,000,000	2010/12/16	2011/06/20	15.00
27430	Deutsche Bank AG – BOC HK European Warrants Aug 2011	200,000,000	2010/12/16	2011/08/22	38.00
27436	Deutsche Bank AG – China Telecom European Wts Jun 2011	100,000,000	2010/12/16	2011/06/20	32.00
27431	Deutsche Bank AG – China Agri European Warrants Dec 2011 B	80,000,000	2010/12/16	2011/12/05	12.00
27432	Deutsche Bank AG – China Coal European Warrants Jun 2011 B	80,000,000	2010/12/16	2011/06/07	13.60
27433	Deutsche Bank AG – Minsheng Bank European Warrants Jun 2011	150,000,000	2010/12/16	2011/06/27	81.00
27422	Deutsche Bank AG – Sinopec Corp European Warrants Jun 2011	150,000,000	2010/12/16	2011/06/29	87.00
27423	Deutsche Bank AG – Sinopec Corp European Warrants Jul 2011 B	150,000,000	2010/12/16	2011/07/28	127.50
27434	Deutsche Bank AG – CPIC European Warrants Jun 2011	80,000,000	2010/12/16	2011/06/27	20.00
27435	Deutsche Bank AG – China Shenhua European Warrants Jun 2011	80,000,000	2010/12/16	2011/06/20	20.00
27437	Deutsche Bank AG – Gome European Warrants Jun 2011	80,000,000	2010/12/16	2011/06/20	32.00
27424	Deutsche Bank AG – Tencent Holdings European Wts May 2011 B	200,000,000	2010/12/16	2011/05/30	50.00
27425	CC Rabobank B.A. – Dongfang Elec European Warrants Jun 2011	100,000,000	2010/12/16	2011/06/24	32.00
27426	CC Rabobank B.A. – GCL-Poly Energy European Wts Jun 2011 B	120,000,000	2010/12/16	2011/06/17	30.00
27427	CC Rabobank B.A. – KECL European Warrants Sep 2011	150,000,000	2010/12/16	2011/09/23	22.50
27438	CC Rabobank B.A. – Maanshan Iron European Wts Jun 2011 B	100,000,000	2010/12/16	2011/06/13	35.00
27439	CC Rabobank B.A. – SJM Holdings European Warrants Jun 2011	100,000,000	2010/12/16	2011/06/23	18.00
27402	RB of Scotland N.V. – HSCEI European Warrants Jun 2011	250,000,000	2010/12/16	2011/06/29	37.50
27415	RB of Scotland N.V. – HSCEI European Put Warrants Apr 2011	200,000,000	2010/12/16	2011/04/28	30.20
27421	Standard Chartered Bank – CSR Times European Wts Jun 2011	20,000,000	2010/12/16	2011/06/30	10.20
27428	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2011 D	150,000,000	2010/12/16	2011/05/23	66.00

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		發行單位	上市日期	到期日	
27418	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011B	300,000,000	2010/12/16	2011/06/08	63.00
27419	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2011C	300,000,000	2010/12/16	2011/06/01	45.00
27420	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Oct 2012 B	300,000,000	2010/12/16	2012/10/29	75.00
27440	UBS AG – HSBC European Warrants May 2011	200,000,000	2010/12/16	2011/05/30	30.00
27442	UBS AG – ICBC European Warrants Jun 2011	100,000,000	2010/12/16	2011/06/27	25.00
23996*	Merrill Lynch Int'l & Co. C.V. -HSI European Put Wts Jan 11H	600,000,000	2010/12/16	2011/01/28	12.00
26295*	CC Rabobank B.A. – China Coal European Warrants Jun 2011 B	450,000,000	2010/12/16	2011/06/27	10.80
27497	Credit Suisse AG – CC Bank European Warrants Mar 2011 D	300,000,000	2010/12/17	2011/03/21	45.00
27498	Credit Suisse AG – CC Bank European Warrants Apr 2011 B	300,000,000	2010/12/17	2011/04/26	45.00
27496	Credit Suisse AG – HKEx European Warrants Jun 2011 F	300,000,000	2010/12/17	2011/06/27	45.00
27493	Credit Suisse AG – HSBC European Wts Apr 2011 E	300,000,000	2010/12/17	2011/04/11	75.00
27491	Credit Suisse AG – HSI European Warrants Mar 2011 F	300,000,000	2010/12/17	2011/03/30	45.00
27492	Credit Suisse AG – HSI European Warrants Apr 2011 F	300,000,000	2010/12/17	2011/04/28	45.00
27501	Credit Suisse AG – HSI European Put Warrants Mar 2011 G	300,000,000	2010/12/17	2011/03/30	45.00
27495	Credit Suisse AG – Hutchison European Warrants Apr 2011 H	300,000,000	2010/12/17	2011/04/04	84.00
27499	Credit Suisse AG – ICBC European Warrants Apr 2011 B	300,000,000	2010/12/17	2011/04/11	45.00
27500	Credit Suisse AG – ICBC European Warrants Apr 2011 C	300,000,000	2010/12/17	2011/04/18	45.00
27482	Citigroup Global Mkt H Inc. – MTRC European Wts Oct 2011	60,000,000	2010/12/17	2011/10/31	15.00
27490	Deutsche Bank AG – HSI European Warrants Jun 2011 C	300,000,000	2010/12/17	2011/06/29	84.00
27494	Deutsche Bank AG – HSI European Put Warrants Jun 2011 D	300,000,000	2010/12/17	2011/06/29	75.00
27503	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2011 A	200,000,000	2010/12/17	2011/04/11	47.60
27504	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2011 F	200,000,000	2010/12/17	2011/06/29	62.00
27502	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Apr 2011	200,000,000	2010/12/17	2011/04/18	34.40
27505	J P Morgan SP BV – CNOOC European Warrants Jun 2011 C	200,000,000	2010/12/17	2011/06/01	30.00
27506	J P Morgan SP BV – Geely Auto European Warrants Jun 2011	100,000,000	2010/12/17	2011/06/17	25.00
27507	J P Morgan SP BV – Hutchison European Warrants Mar 2012 B	400,000,000	2010/12/17	2012/03/22	60.00
27483	KBC Fin Products Int'l Ltd. – KECL European Warrants Jul2011	98,000,000	2010/12/17	2011/07/08	24.50
27484	KBC Fin Products Int'l Ltd. – KECL European Warrants Aug2011	108,000,000	2010/12/17	2011/08/22	18.36
27478	Macquarie Bank Ltd. – CPIC European Warrants Jul 2011	150,000,000	2010/12/17	2011/07/28	44.55
27479	Macquarie Bank Ltd. – Chi Res Land European Wts Oct 2011	100,000,000	2010/12/17	2011/10/28	25.00
27481	Macquarie Bank Ltd. – ICBC European Put Warrants Jul 2011	88,000,000	2010/12/17	2011/07/05	44.35
27477	Macquarie Bank Ltd. – ICBC European Warrants Jul 2011 B	138,000,000	2010/12/17	2011/07/05	34.50
27480	Macquarie Bank Ltd. – Yurun Food European Warrants Jun 2011	100,000,000	2010/12/17	2011/06/29	30.00
27467	Nomura Int'l plc – Agile Ppt European Warrants Jun 2011	100,000,000	2010/12/17	2011/06/15	15.00
27468	Nomura Int'l plc – Agile Ppt European Warrants Feb 2012	100,000,000	2010/12/17	2012/02/29	25.00
27469	Nomura Int'l plc – China Dongxiang European Warrants Jun2011	100,000,000	2010/12/17	2011/06/30	28.90
27470	Nomura Int'l plc – China Dongxiang European Warrants Sep2011	100,000,000	2010/12/17	2011/09/30	28.10
27456	Nomura Int'l plc – Ch LongYuan European Warrants Nov 2012	100,000,000	2010/12/17	2012/11/26	15.00
27452	Nomura Int'l plc – Chaoda Modern European Warrants Jan 2012	100,000,000	2010/12/17	2012/01/18	15.00
27451	Nomura Int'l plc – C Transmission European Warrants Oct 2011	100,000,000	2010/12/17	2011/10/19	15.00
27465	Nomura Int'l plc – CPIC European Warrants Jun 2011	100,000,000	2010/12/17	2011/06/13	15.10
27461	Nomura Int'l plc – Chi Res Land European Warrants Dec 2011	100,000,000	2010/12/17	2011/12/14	22.00
27462	Nomura Int'l plc – Chi Res Land European Warrants Mar 2012	100,000,000	2010/12/17	2012/03/30	25.00
27459	Nomura Int'l plc – China Shenhua European Warrants Apr 2011	100,000,000	2010/12/17	2011/04/19	15.40

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		發行單位	上市日期	到期日	
27460	Nomura Int'l plc – China Shenhua European Warrants Sep 2011	100,000,000	2010/12/17	2011/09/30	25.00
27449	Nomura Int'l plc – Dongfeng European Warrants Jun 2011	100,000,000	2010/12/17	2011/06/20	15.00
27450	Nomura Int'l plc – Dongfeng European Warrants Aug 2011	100,000,000	2010/12/17	2011/08/22	15.00
27448	Nomura Int'l plc – HKEx European Warrants Sep 2011	200,000,000	2010/12/17	2011/09/30	30.00
27447	Nomura Int'l plc – Hang Lung Ppt European Warrants Jun 2011	100,000,000	2010/12/17	2011/06/17	25.00
27446	Nomura Int'l plc – Hutchison European Warrants Mar 2012	200,000,000	2010/12/17	2012/03/30	30.00
27457	Nomura Int'l plc – Lenovo European Warrants Apr 2011	100,000,000	2010/12/17	2011/04/19	15.00
27458	Nomura Int'l plc – Lenovo European Warrants Sep 2013	100,000,000	2010/12/17	2013/09/25	15.00
27463	Nomura Int'l plc – China Mengniu European Warrants Sep 2011	100,000,000	2010/12/17	2011/09/30	25.00
27464	Nomura Int'l plc – China Mengniu European Warrants Dec 2011	100,000,000	2010/12/17	2011/12/30	25.10
27466	Nomura Int'l plc – Nine Dragons European Warrants Sep 2011	100,000,000	2010/12/17	2011/09/30	15.00
27454	Nomura Int'l plc – PetroChina European Warrants Aug 2012	100,000,000	2010/12/17	2012/08/13	15.00
27455	Nomura Int'l plc – PetroChina European Warrants Oct 2012	100,000,000	2010/12/17	2012/10/19	15.00
27453	Nomura Int'l plc – Tencent Holdings European Warrants May 11	200,000,000	2010/12/17	2011/05/24	30.80
27472	CC Rabobank B.A. – Belle Int'l European Warrants Jul 2011	120,000,000	2010/12/17	2011/07/26	18.00
27473	CC Rabobank B.A. – China Life European Warrants Apr 2011 C	200,000,000	2010/12/17	2011/04/12	40.00
27474	CC Rabobank B.A. – China Life European Warrants May 2011 B	200,000,000	2010/12/17	2011/05/20	30.00
27475	CC Rabobank B.A. – Ch Mobile European Warrants Apr 2011 B	130,000,000	2010/12/17	2011/04/21	19.50
27476	CC Rabobank B.A. – Ch Mobile European Warrants May 2011 B	130,000,000	2010/12/17	2011/05/27	45.50
27508	RB of Scotland N.V. – Sinopec Corp European Warrants Jun 11	100,000,000	2010/12/17	2011/06/30	37.40
27509	RB of Scotland N.V. – HSI European Warrants Jun 2011	250,000,000	2010/12/17	2011/06/29	40.00
27471	Standard Chartered Bank – BOCL European Warrants Jul 2011	100,000,000	2010/12/17	2011/07/25	25.00
27441	UBS AG – CC Bank European Warrants May 2011 C	200,000,000	2010/12/17	2011/05/30	70.00
27444	UBS AG – China Life European Warrants Jun 2011 B	300,000,000	2010/12/17	2011/06/07	60.00
27443	UBS AG – China Mobile European Warrants May 2011 B	200,000,000	2010/12/17	2011/05/30	50.00
27445	UBS AG – Jiangxi Copper European Warrants Jun 2011 B	100,000,000	2010/12/17	2011/06/07	15.00
27485	BNP Paribas Arbit Issu B.V. – Geely Auto European Wts Aug11	100,000,000	2010/12/20	2011/08/03	46.00
27488	Credit Suisse AG – Agricultural Bank European Wts Mar 2011 C	300,000,000	2010/12/20	2011/03/28	45.00
27489	Credit Suisse AG – Agricultural Bank European Wts Apr 2011 E	300,000,000	2010/12/20	2011/04/26	45.00
27510	Credit Suisse AG – BOCL European Warrants May 2011	300,000,000	2010/12/20	2011/05/09	45.00
27512	Credit Suisse AG – China Mobile European Warrants May 2011	300,000,000	2010/12/20	2011/05/23	45.00
27513	Credit Suisse AG – China Mobile European Warrants Jun 2011 A	300,000,000	2010/12/20	2011/06/20	75.00
27511	Credit Suisse AG – Ch Comm Cons European Warrants Dec 2011	300,000,000	2010/12/20	2011/12/22	45.00
27516	Credit Suisse AG – CNOOC European Warrants Jun 2011 A	300,000,000	2010/12/20	2011/06/27	45.00
27514	Credit Suisse AG – China Shenhua European Warrants Apr 2011B	300,000,000	2010/12/20	2011/04/21	45.00
27515	Credit Suisse AG – China Shenhua European Warrants Jun 2011	300,000,000	2010/12/20	2011/06/20	75.00
27517	Credit Suisse AG – Hutchison European Warrants Apr 2011 I	300,000,000	2010/12/20	2011/04/04	114.00
27518	Credit Suisse AG – KECL European Warrants Sep 2011	300,000,000	2010/12/20	2011/09/26	45.00
27519	Credit Suisse AG – MCC European Warrants Jun 2011	300,000,000	2010/12/20	2011/06/27	75.00
27520	Credit Suisse AG – Sinopharm European Warrants May 2011	300,000,000	2010/12/20	2011/05/03	45.00
27526	Deutsche Bank AG – Air China European Warrants Sep 2011	100,000,000	2010/12/20	2011/09/26	16.00
27527	Deutsche Bank AG – Belle Int'l European Warrants May 2011	80,000,000	2010/12/20	2011/05/03	12.80
27523	Deutsche Bank AG – Cheung Kong European Warrants Apr 2012	100,000,000	2010/12/20	2012/04/30	16.00
27529	Deutsche Bank AG – Henganintl European Warrants Jul 2011	100,000,000	2010/12/20	2011/07/05	74.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27524	Deutsche Bank AG – HSBC European Warrants Jun 2011 F	200,000,000	2010/12/20	2011/06/03	40.00
27528	Deutsche Bank AG – HSI European Warrants Jun 2011 E	300,000,000	2010/12/20	2011/06/29	75.00
27530	Deutsche Bank AG – Sinopharm European Warrants Jun 2011	80,000,000	2010/12/20	2011/06/27	24.80
27525	Deutsche Bank AG – SJM Holdings European Warrants Jun 2011 C	80,000,000	2010/12/20	2011/06/27	13.60
27521	Goldman Sachs SP (Asia) – Alibaba European Warrants Nov 2011	100,000,000	2010/12/20	2011/11/28	28.00
27522	Goldman Sachs SP (Asia) – Ch COSCO European Warrants Oct 11	100,000,000	2010/12/20	2011/10/17	15.00
27486	HK Bank – HSI European Put Warrants Jun 2011 B	250,000,000	2010/12/20	2011/06/29	62.50
27487	HK Bank – HSI European Put Warrants Jun 2011 C	250,000,000	2010/12/20	2011/06/29	62.50
27531	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2011 A	128,000,000	2010/12/20	2011/06/20	35.84
27532	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2011 A	138,000,000	2010/12/20	2011/07/08	46.92
27533	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jul 2011 B	158,000,000	2010/12/20	2011/07/15	39.50
27534	KBC Fin Products Int'l Ltd. – ICBC European Wts Jun 2011	128,000,000	2010/12/20	2011/06/20	32.00
27535	KBC Fin Products Int'l Ltd. – ICBC European Wts Jul 2011	128,000,000	2010/12/20	2011/07/04	48.64
27587	BNP Paribas Arbit Issu B.V. – Alibaba European Warrants Nov12	100,000,000	2010/12/21	2012/11/02	27.00
27588	BNP Paribas Arbit Issu B.V. – Angang Steel European Wt Aug11	100,000,000	2010/12/21	2011/08/02	33.00
27578	BNP Paribas Arbit Issu B.V. – Anta Sports European Wts Nov11	100,000,000	2010/12/21	2011/11/02	27.00
27582	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Aug 2011	200,000,000	2010/12/21	2011/08/02	124.00
27579	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Oct 2011	200,000,000	2010/12/21	2011/10/04	102.00
27580	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Dec 2011 B	200,000,000	2010/12/21	2011/12/02	72.00
27581	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Oct 2011	200,000,000	2010/12/21	2011/10/04	64.00
27585	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2011	200,000,000	2010/12/21	2011/11/02	84.00
27583	BNP Paribas Arbit Issu B.V. – HSBC European Wts Oct 2011	200,000,000	2010/12/21	2011/10/04	94.00
27584	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Oct 11	100,000,000	2010/12/21	2011/10/04	50.00
27589	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts Aug 11B	100,000,000	2010/12/21	2011/08/02	30.00
27563	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jul 2011	80,000,000	2010/12/21	2011/07/05	20.00
27562	Citigroup Global Mkt H Inc. – CC Bank European Wts Jul 2011	80,000,000	2010/12/21	2011/07/18	20.00
27540	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2011 B	200,000,000	2010/12/21	2011/04/27	32.20
27541	Goldman Sachs SP (Asia) – Dongfeng European Warrants Jun 11	50,000,000	2010/12/21	2011/06/27	13.25
27542	Goldman Sachs SP (Asia) – Dongfeng European Warrants Aug 11	50,000,000	2010/12/21	2011/08/15	12.50
27543	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2011 B	100,000,000	2010/12/21	2011/04/21	23.00
27577	Goldman Sachs SP (Asia) – KECL European Warrants Dec 2011	100,000,000	2010/12/21	2011/12/19	25.00
27566	HK Bank – Agricultural Bank European Warrants Jun 2011	150,000,000	2010/12/21	2011/06/21	37.50
27576	HK Bank – Angang Steel European Warrants Jun 2011 C	120,000,000	2010/12/21	2011/06/21	18.00
27567	HK Bank – CC Bank European Warrants Jun 2011	150,000,000	2010/12/21	2011/06/21	37.50
27568	HK Bank – CM Bank European Warrants Dec 2011	120,000,000	2010/12/21	2011/12/16	30.00
27569	HK Bank – Sinopec Corp European Warrants Jun 2011	120,000,000	2010/12/21	2011/06/21	30.00
27570	HK Bank – Esprit European Warrants Jun 2011 B	120,000,000	2010/12/21	2011/06/21	30.00
27571	HK Bank – Geely Auto European Warrants Jun 2011 B	120,000,000	2010/12/21	2011/06/29	30.00
27575	HK Bank – ICBC European Warrants Jul 2011	150,000,000	2010/12/21	2011/07/22	37.50
27572	HK Bank – Jiangxi Copper European Warrants Sep 2011	120,000,000	2010/12/21	2011/09/22	30.00
27573	HK Bank – SHK Ppt European Warrants Jun 2011	120,000,000	2010/12/21	2011/06/29	42.00
27574	HK Bank – Zijin Mining European Warrants Dec 2011	120,000,000	2010/12/21	2011/12/23	18.00
27586	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2011 C	258,000,000	2010/12/21	2011/09/12	38.70
27539	Macquarie Bank Ltd. – China Travel European Wts Sep 2011	88,000,000	2010/12/21	2011/09/30	22.09

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27538	Macquarie Bank Ltd. – C Transmission European Warrants Nov11	200,000,000	2010/12/21	2011/11/30	50.40
27555	Nomura Int'l plc – HSI European Put Warrants Mar 2011	200,000,000	2010/12/21	2011/03/30	30.00
27544	Nomura Int'l plc – HSI European Warrants Mar 2011 A	200,000,000	2010/12/21	2011/03/30	38.40
27545	Nomura Int'l plc – HSI European Warrants Mar 2011 B	200,000,000	2010/12/21	2011/03/30	36.60
27546	Nomura Int'l plc – HSI European Warrants Mar 2011 C	200,000,000	2010/12/21	2011/03/30	30.40
27547	Nomura Int'l plc – HSI European Warrants Apr 2011 A	200,000,000	2010/12/21	2011/04/28	35.80
27548	Nomura Int'l plc – HSI European Warrants Apr 2011 B	200,000,000	2010/12/21	2011/04/28	30.00
27549	Nomura Int'l plc – HSI European Warrants May 2011 A	200,000,000	2010/12/21	2011/05/30	41.40
27550	Nomura Int'l plc – HSI European Warrants May 2011 B	200,000,000	2010/12/21	2011/05/30	32.80
27551	Nomura Int'l plc – HSI European Warrants Jun 2011 A	200,000,000	2010/12/21	2011/06/29	45.60
27552	Nomura Int'l plc – HSI European Warrants Jun 2011 B	200,000,000	2010/12/21	2011/06/29	32.80
27553	Nomura Int'l plc – HSI European Warrants Jul 2011 A	200,000,000	2010/12/21	2011/07/28	32.80
27554	Nomura Int'l plc – HSI European Warrants Jul 2011 B	200,000,000	2010/12/21	2011/07/28	30.00
27556	Nomura Int'l plc – HSI European Put Warrants Apr 2011 C	200,000,000	2010/12/21	2011/04/28	32.40
27557	Nomura Int'l plc – HSI European Put Warrants Apr 2011 D	200,000,000	2010/12/21	2011/04/28	37.20
27558	Nomura Int'l plc – HSI European Put Warrants May 2011 C	200,000,000	2010/12/21	2011/05/30	33.20
27559	Nomura Int'l plc – HSI European Put Warrants May 2011 D	200,000,000	2010/12/21	2011/05/30	53.20
27560	Nomura Int'l plc – HSI European Put Warrants Jun 2011 C	200,000,000	2010/12/21	2011/06/29	39.40
27561	Nomura Int'l plc – HSI European Put Warrants Jun 2011 D	200,000,000	2010/12/21	2011/06/29	40.40
27565	Standard Chartered Bank – CC Bank European Warrants Sep 2011	100,000,000	2010/12/21	2011/09/01	36.20
27564	Standard Chartered Bank – HSBC European Warrants Jun 2011 B	100,000,000	2010/12/21	2011/06/21	38.00
27536	UBS AG – CNOOC European Warrants Jun 2011 D	100,000,000	2010/12/21	2011/06/21	25.00
27537	UBS AG – HSBC European Warrants Jan 2013	500,000,000	2010/12/21	2013/01/28	75.00
25431*	KBC Fin Products Int'l Ltd. – BOC HK European Wts Apr 2011	180,000,000	2010/12/21	2011/04/15	16.56
24023*	KBC Fin Products Int'l Ltd. – HSBC European Wts Sep 2011A	300,000,000	2010/12/21	2011/09/01	19.20
27621	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Dec 11	100,000,000	2010/12/22	2011/12/02	31.00
27622	BNP Paribas Arbit Issu B.V. – Ch Ship Cont European Wt Jul11	85,000,000	2010/12/22	2011/07/04	43.35
27628	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Feb 2012	100,000,000	2010/12/22	2012/02/02	25.00
27623	BNP Paribas Arbit Issu B.V. – HKEx European Put Wts Aug 2011	100,000,000	2010/12/22	2011/08/02	27.00
27619	Deutsche Bank AG – CM Bank European Warrants Aug 2011	150,000,000	2010/12/22	2011/08/08	37.50
27617	Goldman Sachs SP (Asia) – GCL-Poly Energy European Wts Jun11	50,000,000	2010/12/22	2011/06/27	18.50
27618	Goldman Sachs SP (Asia) – GCL-Poly Energy European Wts Sep11	50,000,000	2010/12/22	2011/09/19	18.75
27613	HK Bank – CHALCO European Warrants Aug 2011	80,000,000	2010/12/22	2011/08/03	39.20
27616	HK Bank – China Agri European Warrants Sep 2011	80,000,000	2010/12/22	2011/09/22	65.60
27604	HK Bank – China Mobile European Warrants Jun 2011	150,000,000	2010/12/22	2011/06/22	37.50
27607	HK Bank – China Tel European Warrants Jun 2011	100,000,000	2010/12/22	2011/06/22	25.00
27614	HK Bank – China Life European Warrants Aug 2011	160,000,000	2010/12/22	2011/08/08	40.00
27605	HK Bank – China Shenhua European Warrants Jun 2011	120,000,000	2010/12/22	2011/06/22	30.00
27606	HK Bank – Chi Taiping European Warrants Sep 2011	80,000,000	2010/12/22	2011/09/22	20.00
27608	HK Bank – Foxconn European Warrants Aug 2011	80,000,000	2010/12/22	2011/08/22	33.60
27609	HK Bank – GCL-Poly Energy European Warrants Jul 2011	80,000,000	2010/12/22	2011/07/28	20.00
27610	HK Bank – KECL European Warrants Dec 2011	150,000,000	2010/12/22	2011/12/22	37.50
27611	HK Bank – Lenovo European Warrants Jun 2011	100,000,000	2010/12/22	2011/06/22	25.00
27612	HK Bank – PetroChina European Warrants Oct 2012	120,000,000	2010/12/22	2012/10/22	18.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27620	HK Bank – Shimao Ppt European Warrants Dec 2011	120,000,000	2010/12/22	2011/12/30	30.00
27615	HK Bank – Zhaojin Mining European Warrants Aug 2011	100,000,000	2010/12/22	2011/08/18	25.00
27590	Macquarie Bank Ltd. – CHALCO European Warrants Dec 2011	200,000,000	2010/12/22	2011/12/02	31.40
27591	Macquarie Bank Ltd. –CHALCO European Put Warrants Jul 2011	100,000,000	2010/12/22	2011/07/05	43.80
27592	Macquarie Bank Ltd. – China Shenhua European Wts Aug 2011	200,000,000	2010/12/22	2011/08/02	50.00
27593	Macquarie Bank Ltd. – Tencent European Warrants Oct 2011	250,000,000	2010/12/22	2011/10/04	62.50
27598	CC Rabobank B.A. – Anhui Conch European Warrants Jun 2011	100,000,000	2010/12/22	2011/06/03	30.00
27599	CC Rabobank B.A. – China Tel European Warrants May 2011	100,000,000	2010/12/22	2011/05/09	15.00
27600	CC Rabobank B.A. – Chi Res Power European Warrants Aug 2011	100,000,000	2010/12/22	2011/08/01	15.00
27602	CC Rabobank B.A. – CSR Times European Warrants Jun 2011 B	100,000,000	2010/12/22	2011/06/23	40.00
27601	CC Rabobank B.A. – Li & Fung European Warrants Jun 2011	100,000,000	2010/12/22	2011/06/23	30.00
27624	RB of Scotland N.V. – HSI European Put Warrants Jun 2011 A	250,000,000	2010/12/22	2011/06/29	62.50
27625	RB of Scotland N.V. – HSI European Put Warrants Jun 2011 B	250,000,000	2010/12/22	2011/06/29	93.00
27603	Standard Chartered Bank – Ping An European Warrants Jul 2011	100,000,000	2010/12/22	2011/07/08	67.00
27595	SGA Societe Generale Acceptance N.V. – BBMG Euro Wts Dec 11	200,000,000	2010/12/22	2011/12/21	30.00
27594	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jul 2011	200,000,000	2010/12/22	2011/07/04	30.00
27596	SGA Societe Generale Acceptance N.V. – CITBK Euro Wt Jul 11	80,000,000	2010/12/22	2011/07/04	26.40
27597	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Aug 11	150,000,000	2010/12/22	2011/08/08	37.50
27627	UBS AG – HSI European Put Warrants Jun 2011	200,000,000	2010/12/22	2011/06/29	50.00
27626	UBS AG – HSI European Put Warrants Apr 2011 G	200,000,000	2010/12/22	2011/04/28	30.00
27652	BNP Paribas Arbit Issu B.V. – BOCL European Wts Sep 2011	100,000,000	2010/12/23	2011/09/02	32.00
27653	BNP Paribas Arbit Issu B.V. – BYD European Warrants Dec 2011	100,000,000	2010/12/23	2011/12/02	70.00
27655	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jan 2012	100,000,000	2010/12/23	2012/01/04	25.00
27654	BNP Paribas Arbit Issu B.V. – Cathay Pacific Euro Wts Nov11	100,000,000	2010/12/23	2011/11/02	25.00
27656	BNP Paribas Arbit Issu B.V. – Hutchison European Wts Nov 11B	100,000,000	2010/12/23	2011/11/02	74.00
27657	BNP Paribas Arbit Issu B.V. – Li & Fung European Wts Oct11	100,000,000	2010/12/23	2011/10/04	53.00
27635	Credit Suisse AG – China Coal European Warrants Jun 2011	300,000,000	2010/12/23	2011/06/07	45.00
27634	Credit Suisse AG – HSBC European Wts Jan 2013	300,000,000	2010/12/23	2013/01/25	45.00
27636	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2011	200,000,000	2010/12/23	2011/07/04	58.00
27637	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Apr 2011	200,000,000	2010/12/23	2011/04/28	44.80
27638	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Aug 2011	200,000,000	2010/12/23	2011/08/15	50.00
27639	Goldman Sachs SP (Asia) – JIANG European Warrants Jun 2011	100,000,000	2010/12/23	2011/06/27	28.00
27640	Goldman Sachs SP (Asia) – JIANG European Warrants Oct 2011	100,000,000	2010/12/23	2011/10/03	25.50
27644	J P Morgan SP BV – CITIC Pacific Euro Wts Jun 2011	100,000,000	2010/12/23	2011/06/20	20.00
27645	J P Morgan SP BV – Henderson Land Dev European Wts Jun 2011	100,000,000	2010/12/23	2011/06/29	25.00
27646	J P Morgan SP BV – KECL European Warrants Dec 2011	200,000,000	2010/12/23	2011/12/05	30.00
27648	J P Morgan SP BV – Maanshan Iron European Warrants Jun 2011A	100,000,000	2010/12/23	2011/06/23	33.00
27649	J P Morgan SP BV – Maanshan Iron European Warrants Jun 2011B	100,000,000	2010/12/23	2011/06/30	25.00
27647	J P Morgan SP BV – MTRC European Warrants May 2011	120,000,000	2010/12/23	2011/05/16	20.28
27664	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Jun 2011	138,000,000	2010/12/23	2011/06/23	34.50
27665	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2011 D	138,000,000	2010/12/23	2011/04/15	22.08
27666	KBC Fin Products Int'l Ltd. – BOCL European Wts May 2011 C	138,000,000	2010/12/23	2011/05/09	27.60
27667	KBC Fin Products Int'l Ltd. – BOCL European Wts Jun 2011 B	138,000,000	2010/12/23	2011/06/01	20.70
27668	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2011 A	138,000,000	2010/12/23	2011/06/01	69.00

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		發行單位	上市日期	到期日	
27669	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Jun 2011 B	168,000,000	2010/12/23	2011/06/03	45.36
27670	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2011 C	138,000,000	2010/12/23	2011/04/18	49.68
27671	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 C	138,000,000	2010/12/23	2011/05/30	20.70
27672	KBC Fin Products Int'l Ltd. – ICBC European Wts May 2011 D	138,000,000	2010/12/23	2011/05/16	20.70
27643	Macquarie Bank Ltd. – CNOOC European Put Warrants Jul 2011	200,000,000	2010/12/23	2011/07/05	50.00
27630	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Jan 2012	100,000,000	2010/12/23	2012/01/30	16.20
27631	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts Dec 2011 B	80,000,000	2010/12/23	2011/12/13	21.20
27673	CC Rabobank B.A. – China EB Ltd European Warrants Sep 2011	120,000,000	2010/12/23	2011/09/20	30.00
27641	CC Rabobank B.A. – Chi Resources European Warrants Jun 2011	80,000,000	2010/12/23	2011/06/24	20.00
27642	CC Rabobank B.A. – China Ship Dev European Warrants Jan 2012	100,000,000	2010/12/23	2012/01/26	25.00
27675	CC Rabobank B.A. – CSR European Warrants Oct 2011	100,000,000	2010/12/23	2011/10/27	25.00
27674	CC Rabobank B.A. – Golden Eagle European Warrants Jun 2011	120,000,000	2010/12/23	2011/06/24	30.00
27676	CC Rabobank B.A. – Parkson Group European Warrants Oct 2011	120,000,000	2010/12/23	2011/10/19	30.00
27650	CC Rabobank B.A. – Sany Int'l European Warrants Jul 2011	120,000,000	2010/12/23	2011/07/25	30.00
27632	CC Rabobank B.A. – Yurun Food European Warrants Jun 2011	80,000,000	2010/12/23	2011/06/24	20.00
27633	CC Rabobank B.A. – Zhaojin Mining European Warrants Jun 2011	100,000,000	2010/12/23	2011/06/24	25.00
27658	RB of Scotland N.V. – BOCL European Warrants Jun 2011	100,000,000	2010/12/23	2011/06/30	25.00
27660	RB of Scotland N.V. – China Life European Wts Jun 2011 A	100,000,000	2010/12/23	2011/06/30	27.50
27661	RB of Scotland N.V. – China Life European Wts Jun 2011 B	100,000,000	2010/12/23	2011/06/30	25.00
27659	RB of Scotland N.V. – China Mobile European Wts Jun 2011 B	100,000,000	2010/12/23	2011/06/30	29.40
27662	RB of Scotland N.V. – HKEx European Warrants Jun 2011 D	200,000,000	2010/12/23	2011/06/20	34.20
27663	RB of Scotland N.V. – HWL European Warrants Jun 2011 C	80,000,000	2010/12/23	2011/06/30	33.68
27629	SGA Societe Generale Acceptance N.V. – CPIC Euro Wts Jun 11B	100,000,000	2010/12/23	2011/06/27	25.00
27651	UBS AG – Ping An European Warrants Dec 2011	300,000,000	2010/12/23	2011/12/19	45.00
19426*	Credit Suisse AG – BOCL European Warrants Feb 2013	1,000,000,000	2010/12/23	2013/02/25	36.00
27696	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2011	200,000,000	2010/12/24	2011/06/29	36.00
27705	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2011	200,000,000	2010/12/24	2011/12/29	50.00
27697	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2011 B	200,000,000	2010/12/24	2011/09/29	32.00
27684	Credit Suisse AG – Cheung Kong European Warrants Oct 2012	300,000,000	2010/12/24	2012/10/02	45.00
27687	Credit Suisse AG – A50 China European Warrants Jul 2012	300,000,000	2010/12/24	2012/07/30	45.00
27688	Credit Suisse AG – HKEx European Warrants Feb 2013	500,000,000	2010/12/24	2013/02/25	125.00
27695	Credit Suisse AG – Ping An European Warrants Dec 2011	300,000,000	2010/12/24	2011/12/19	45.00
27686	Credit Suisse AG – Ping An European Warrants Jun 2012	300,000,000	2010/12/24	2012/06/25	45.00
27685	Credit Suisse AG – SHK Ppt European Warrants Feb 2012	300,000,000	2010/12/24	2012/02/06	45.00
27698	J P Morgan SP BV – Air China European Warrants Sep 2011	200,000,000	2010/12/24	2011/09/26	30.00
27699	J P Morgan SP BV – C Transmission European Warrants Jun 2011	150,000,000	2010/12/24	2011/06/29	22.50
27700	J P Morgan SP BV – Sinopec Corp European Warrants Jun 2011	100,000,000	2010/12/24	2011/06/29	31.40
27701	J P Morgan SP BV – Fushan Energy European Warrants Jun 2011	80,000,000	2010/12/24	2011/06/29	20.08
27702	J P Morgan SP BV – HKEx European Put Wts May 2011	300,000,000	2010/12/24	2011/05/26	100.20
27703	J P Morgan SP BV – Shimao Property European Wts Oct 2011	150,000,000	2010/12/24	2011/10/28	22.50
27704	J P Morgan SP BV – Shimao Property European Wts Dec 2011	150,000,000	2010/12/24	2011/12/14	25.65
27689	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt May2011B	138,000,000	2010/12/24	2011/05/12	20.70
27690	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2011 B	198,000,000	2010/12/24	2011/06/01	39.60
27691	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jun 2011 C	198,000,000	2010/12/24	2011/06/24	29.70

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27692	KBC Fin Products Int'l Ltd. – China Life Euro Wts Jul 2011	188,000,000	2010/12/24	2011/07/08	47.00
27711	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jun 2011 C	138,000,000	2010/12/24	2011/06/24	55.20
27712	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Jul 2011	158,000,000	2010/12/24	2011/07/15	39.50
27714	KBC Fin Products Int'l Ltd. – A50 Ch European Put Wts Jul11B	88,000,000	2010/12/24	2011/07/15	57.20
27707	KBC Fin Products Int'l Ltd. – HKEx European Warrants Sep 11	198,000,000	2010/12/24	2011/09/19	29.70
27706	KBC Fin Products Int'l Ltd. – HKEx European Warrants Aug11B	198,000,000	2010/12/24	2011/08/15	49.50
27710	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jul 2011	180,000,000	2010/12/24	2011/07/08	48.60
27713	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Jul 2011	80,000,000	2010/12/24	2011/07/18	68.00
27708	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 D	138,000,000	2010/12/24	2011/06/24	67.62
27709	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Jun 2011 E	188,000,000	2010/12/24	2011/06/15	67.68
27693	KBC Fin Products Int'l Ltd. – Ping An European Wts Jul 2011	108,000,000	2010/12/24	2011/07/05	27.00
27694	KBC Fin Products Int'l Ltd. – Ping An European Wts Aug 2011	108,000,000	2010/12/24	2011/08/08	27.00
27678	Standard Chartered Bank-China Life European Warrants Jun 11	100,000,000	2010/12/24	2011/06/30	25.50
27677	Standard Chartered Bank – ICBC European Warrants Jun 2011	100,000,000	2010/12/24	2011/06/20	22.00
27680	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2011B	300,000,000	2010/12/24	2011/05/30	57.00
27681	SGA Societe Generale Acceptance NV-Minsheng Bk Eu Wt Jul 11	120,000,000	2010/12/24	2011/07/25	45.60
27679	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2011 I	300,000,000	2010/12/24	2011/05/30	54.00
27683	SGA Societe Generale Acceptance N.V. – HSI Eur Put Wt Jun 11B	300,000,000	2010/12/24	2011/06/29	75.00
27682	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2011 B	120,000,000	2010/12/24	2011/06/27	84.00
27767	BNP Paribas Arbit Issu B.V. – Angang Steel European Wt Jul12	100,000,000	2010/12/28	2012/07/04	25.00
27769	BNP Paribas Arbit Issu B.V. – Jiangxi Copper Eu Wts May 2011	100,000,000	2010/12/28	2011/05/03	17.00
27768	BNP Paribas Arbit Issu B.V. -MTRC European Warrants Nov 2011	65,000,000	2010/12/28	2011/11/02	16.25
27770	BNP Paribas Arbit Issu B.V. – Tencent Holdings Eu Wts Jul 11	100,000,000	2010/12/28	2011/07/04	18.00
27738	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 A	300,000,000	2010/12/28	2011/06/13	45.00
27739	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 B	300,000,000	2010/12/28	2011/06/30	75.00
27740	Credit Suisse AG – AIA European Warrants May 2011 B	300,000,000	2010/12/28	2011/05/23	45.00
27749	Credit Suisse AG – BOCL European Warrants Jul 2011	300,000,000	2010/12/28	2011/07/04	45.00
27734	Credit Suisse AG – CC Bank European Warrants Jun 2011 A	300,000,000	2010/12/28	2011/06/27	45.00
27735	Credit Suisse AG – CC Bank European Warrants Jun 2011 B	300,000,000	2010/12/28	2011/06/30	75.00
27736	Credit Suisse AG – CC Bank European Warrants Jun 2011 C	300,000,000	2010/12/28	2011/06/30	75.00
27728	Credit Suisse AG – Cheung Kong European Warrants Jun 2012	300,000,000	2010/12/28	2012/06/04	45.00
27745	Credit Suisse AG – China Life European Warrants Jun 2011 B	300,000,000	2010/12/28	2011/06/27	45.00
27746	Credit Suisse AG – China Life European Warrants Jun 2011 C	300,000,000	2010/12/28	2011/06/24	45.00
27747	Credit Suisse AG – CM Bank European Warrants Jul 2011	300,000,000	2010/12/28	2011/07/04	45.00
27737	Credit Suisse AG – China Mobile European Warrants Jun 2011 B	300,000,000	2010/12/28	2011/06/30	75.00
27733	Credit Suisse AG – HKEx European Warrants May 2011 H	300,000,000	2010/12/28	2011/05/13	45.00
27729	Credit Suisse AG – HSBC European Wts May 2011 A	300,000,000	2010/12/28	2011/05/23	45.00
27730	Credit Suisse AG – HSBC European Wts May 2011 B	300,000,000	2010/12/28	2011/05/30	45.00
27726	Credit Suisse AG – HSCEI European Warrants Jun 2011	300,000,000	2010/12/28	2011/06/29	45.00
27741	Credit Suisse AG – ICBC European Warrants Jun 2011 A	300,000,000	2010/12/28	2011/06/22	45.00
27742	Credit Suisse AG – ICBC European Warrants Jun 2011 B	300,000,000	2010/12/28	2011/06/30	75.00
27743	Credit Suisse AG – ICBC European Warrants Jun 2011 C	300,000,000	2010/12/28	2011/06/30	75.00
27732	Credit Suisse AG – Jiangxi Copper European Wts Jun 2011	300,000,000	2010/12/28	2011/06/30	45.00
27744	Credit Suisse AG – Ping An European Warrants Jun 2011 A	300,000,000	2010/12/28	2011/06/30	75.00

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		發行單位	上市日期	到期日	
27715	HK Bank – Belle Int'l European Warrants Nov 2011	80,000,000	2010/12/28	2011/11/14	12.00
27716	HK Bank – HSI European Warrants Jun 2011 D	400,000,000	2010/12/28	2011/06/29	100.00
27717	HK Bank – HSI European Warrants Jun 2011 E	400,000,000	2010/12/28	2011/06/29	60.00
27772	J P Morgan SP BV – Anhui Conch European Warrants Jun 2011 B	80,000,000	2010/12/28	2011/06/29	20.00
27773	J P Morgan SP BV – Ch LongYuan European Warrants Nov 2012	138,000,000	2010/12/28	2012/11/26	20.70
27775	J P Morgan SP BV – China Mob European Wts May 2011	200,000,000	2010/12/28	2011/05/30	30.00
27731	J P Morgan SP BV – China Mob European Put Warrants Dec 2011	300,000,000	2010/12/28	2011/12/21	45.00
27776	J P Morgan SP BV – China Mob European Wts Jun 2011 B	200,000,000	2010/12/28	2011/06/29	56.80
27774	J P Morgan SP BV – CNOOC European Warrants Jul 2011 B	200,000,000	2010/12/28	2011/07/18	30.00
27750	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2011 A	200,000,000	2010/12/28	2011/07/28	50.00
27751	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 C	200,000,000	2010/12/28	2011/05/30	40.00
27763	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 I	200,000,000	2010/12/28	2011/06/29	50.00
27764	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 J	200,000,000	2010/12/28	2011/06/29	50.00
27752	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2011 F	200,000,000	2010/12/28	2011/04/28	46.00
27760	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 E	200,000,000	2010/12/28	2011/05/30	47.20
27761	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 F	200,000,000	2010/12/28	2011/05/30	42.00
27762	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts May 2011 G	200,000,000	2010/12/28	2011/05/30	58.00
27753	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 A	200,000,000	2010/12/28	2011/06/29	50.00
27754	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 B	200,000,000	2010/12/28	2011/06/29	50.00
27755	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 C	200,000,000	2010/12/28	2011/06/29	50.00
27756	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 D	200,000,000	2010/12/28	2011/06/29	50.00
27757	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 E	200,000,000	2010/12/28	2011/06/29	58.00
27758	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 F	200,000,000	2010/12/28	2011/06/29	72.00
27759	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jun 2011 G	200,000,000	2010/12/28	2011/06/29	82.00
27723	Macquarie Bank Ltd. – BankComm European Warrants Jul 2011	100,000,000	2010/12/28	2011/07/05	27.70
27727	Macquarie Bank Ltd. – BankComm European Put Wts Jul 2011	100,000,000	2010/12/28	2011/07/05	43.60
27724	Macquarie Bank Ltd. – China Railway European Wts Jul 2011	100,000,000	2010/12/28	2011/07/05	25.20
27725	Macquarie Bank Ltd. – Tencent European Put Warrants Aug 2011	250,000,000	2010/12/28	2011/08/29	38.50
27718	CC Rabobank B.A. – China Bluechem European Warrants Oct 2012	100,000,000	2010/12/28	2012/10/11	15.00
27719	CC Rabobank B.A. – C Transmission European Warrants Jun 11B	100,000,000	2010/12/28	2011/06/27	15.00
27720	CC Rabobank B.A. – ENN Energy European Warrants Jul 2011	100,000,000	2010/12/28	2011/07/28	30.00
27721	CC Rabobank B.A. – PCD Stores European Warrants Jun 2011	80,000,000	2010/12/28	2011/06/29	20.00
27722	CC Rabobank B.A. – ZTE European Warrants Jun 2011	75,000,000	2010/12/28	2011/06/29	18.75
27771	RB of Scotland N.V. – A50 China European Put Wts Jun 2011	250,000,000	2010/12/28	2011/06/29	64.00
27765	UBS AG – China Life European Warrants Jul 2011	300,000,000	2010/12/28	2011/07/11	75.00
27766	UBS AG – HKEx European Warrants Mar 2013	300,000,000	2010/12/28	2013/03/11	75.00
25759*	Credit Suisse AG – A50 China European Warrants Apr 2011 B	300,000,000	2010/12/28	2011/04/04	18.60
27848	BNP Paribas Arbit Issu B.V. – Ch Comm Cons Eur Wt Dec 2013	200,000,000	2010/12/29	2013/12/03	50.00
27849	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2011 B	200,000,000	2010/12/29	2011/07/04	66.00
27850	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Dec 2011	100,000,000	2010/12/29	2011/12/29	25.00
27851	BNP Paribas Arbit Issu B.V. – HSCEI European Wts Jun 2012	100,000,000	2010/12/29	2012/06/28	26.00
27827	Credit Suisse AG – Agricultural Bank European Wts Jun 2011 C	300,000,000	2010/12/29	2011/06/20	45.00
27830	Credit Suisse AG – BOCL European Warrants Jun 2011	300,000,000	2010/12/29	2011/06/30	75.00
27824	Credit Suisse AG – CC Bank European Warrants Jun 2011 D	300,000,000	2010/12/29	2011/06/27	45.00

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27825	Credit Suisse AG – CC Bank European Warrants Jun 2011 E	300,000,000	2010/12/29	2011/06/30	150.00
27826	Credit Suisse AG – China Mobile European Warrants Jun 2011 C	300,000,000	2010/12/29	2011/06/30	105.00
27823	Credit Suisse AG – CNOOC European Warrants Jun 2011 B	300,000,000	2010/12/29	2011/06/20	45.00
27815	Credit Suisse AG – HSBC European Wts May 2011 C	300,000,000	2010/12/29	2011/05/03	45.00
27816	Credit Suisse AG – HSBC European Wts May 2011 D	300,000,000	2010/12/29	2011/05/09	45.00
27814	Credit Suisse AG – HSCEI European Warrants Apr 2011 C	300,000,000	2010/12/29	2011/04/28	45.00
27819	Credit Suisse AG – Hutchison European Warrants Jun 2011	300,000,000	2010/12/29	2011/06/20	45.00
27817	Credit Suisse AG – Hutchison European Warrants May 2011 E	300,000,000	2010/12/29	2011/05/23	60.00
27818	Credit Suisse AG – Hutchison European Warrants May 2011 F	300,000,000	2010/12/29	2011/05/30	45.00
27828	Credit Suisse AG – Ping An European Warrants Jun 2011 B	300,000,000	2010/12/29	2011/06/30	150.00
27829	Credit Suisse AG – Ping An European Warrants Jun 2011 C	300,000,000	2010/12/29	2011/06/30	90.00
27820	Credit Suisse AG – SHK Ppt European Warrants Jul 2011	300,000,000	2010/12/29	2011/07/22	45.00
27821	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 E	300,000,000	2010/12/29	2011/06/24	45.00
27822	Credit Suisse AG – Tencent Holdings European Wts Jun 2011 F	300,000,000	2010/12/29	2011/06/24	45.00
27831	Citigroup Global Mkt H Inc. – Agile Ppt European Wts Nov2011	80,000,000	2010/12/29	2011/11/22	12.00
27832	Citigroup Global Mkt H Inc. – CHALCO European Warrants Nov11	80,000,000	2010/12/29	2011/11/21	12.00
27833	Citigroup Global Mkt H Inc. – BankComm European Wts Jul 2011	80,000,000	2010/12/29	2011/07/26	20.00
27835	Citigroup Global Mkt H Inc. – China Tel Euro Wts Jul 2011	60,000,000	2010/12/29	2011/07/11	15.00
27834	Citigroup Global Mkt H Inc. – Chi Taiping European Wts Dec11	60,000,000	2010/12/29	2011/12/30	15.00
27836	Citigroup Global Mkt H Inc. – Evergrande European Wts Aug 11	80,000,000	2010/12/29	2011/08/22	20.00
27837	Citigroup Global Mkt H Inc. – New World European Wts Sep2011	80,000,000	2010/12/29	2011/09/30	12.00
27838	Citigroup Global Mkt H Inc. – Shimao Ppt Euro Wts Nov 2011	80,000,000	2010/12/29	2011/11/28	12.00
27843	Deutsche Bank AG – China Life European Warrants Apr 2011 D	300,000,000	2010/12/29	2011/04/19	45.00
27844	Deutsche Bank AG – HSBC European Warrants Mar 2011 B	300,000,000	2010/12/29	2011/03/28	45.00
27780	Goldman Sachs SP (Asia) – Minsheng Bank European Wts Jul2011	50,000,000	2010/12/29	2011/07/04	18.75
27781	Goldman Sachs SP (Asia) – KECL European Warrants Aug 2011	200,000,000	2010/12/29	2011/08/29	31.40
27782	Goldman Sachs SP (Asia) – Sinopharm European Warrants Jul 11	100,000,000	2010/12/29	2011/07/11	26.50
27783	Goldman Sachs SP (Asia) – Yanzhou Coal European Wts Jul 2011	200,000,000	2010/12/29	2011/07/04	51.00
27784	J P Morgan SP BV – Agricultural Bk European Warrants Jun 11B	150,000,000	2010/12/29	2011/06/29	49.50
27785	J P Morgan SP BV – AIA European Warrants Jul 2011	200,000,000	2010/12/29	2011/07/28	50.00
27798	J P Morgan SP BV – Alibaba European Warrants Nov 2011	120,000,000	2010/12/29	2011/11/22	18.00
27839	J P Morgan SP BV – CHALCO European Warrants Nov 2012	200,000,000	2010/12/29	2012/11/26	30.00
27840	J P Morgan SP BV – Anta Sports European Warrants Sep 2011	100,000,000	2010/12/29	2011/09/29	25.00
27786	J P Morgan SP BV – Belle Int'l European Warrants Dec 2011	150,000,000	2010/12/29	2011/12/29	37.50
27787	J P Morgan SP BV – BYD European Warrants Jun 2011	80,000,000	2010/12/29	2011/06/29	41.44
27790	J P Morgan SP BV – Ch Comm Cons European Warrants Dec 2011	250,000,000	2010/12/29	2011/12/22	37.50
27841	J P Morgan SP BV – China COSCO European Warrants Aug 2011	200,000,000	2010/12/29	2011/08/22	30.00
27789	J P Morgan SP BV – China Coal European Warrants Sep 2011	200,000,000	2010/12/29	2011/09/23	30.00
27788	J P Morgan SP BV – CITIC Bank European Warrants Jun 2011 C	80,000,000	2010/12/29	2011/06/29	30.00
27791	J P Morgan SP BV – China Shenhua European Warrants Jun 2011	150,000,000	2010/12/29	2011/06/29	37.50
27792	J P Morgan SP BV – Henganintl European Warrants Jun 2012 B	180,000,000	2010/12/29	2012/06/18	27.00
27793	J P Morgan SP BV – ICBC European Warrants Jun 2011 A	200,000,000	2010/12/29	2011/06/29	50.00
27794	J P Morgan SP BV – ICBC European Warrants Jun 2011 B	200,000,000	2010/12/29	2011/06/29	50.00
27795	J P Morgan SP BV – Lenovo European Warrants Jun 2011 B	80,000,000	2010/12/29	2011/06/29	21.52

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		發行單位	上市日期	到期日	
27842	J P Morgan SP BV – Ping An European Warrants Mar 2012 B	300,000,000	2010/12/29	2012/03/12	45.00
27796	J P Morgan SP BV – Sinopharm European Warrants Jun 2011	80,000,000	2010/12/29	2011/06/29	20.80
27797	J P Morgan SP BV – Sinopharm European Warrants Aug 2011	80,000,000	2010/12/29	2011/08/15	20.00
27805	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Apr 2011 B	128,000,000	2010/12/29	2011/04/28	19.20
27806	KBC Fin Products Int'l Ltd. – HSCEI Euro Wts Jun 2011 C	128,000,000	2010/12/29	2011/06/29	32.00
27810	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 E	128,000,000	2010/12/29	2011/06/29	32.00
27811	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 F	128,000,000	2010/12/29	2011/06/29	32.00
27812	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 G	128,000,000	2010/12/29	2011/06/29	32.00
27813	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Jun 2011 H	128,000,000	2010/12/29	2011/06/29	32.00
27807	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Jul 2011	200,000,000	2010/12/29	2011/07/28	106.00
27845	KBC Fin Products Int'l Ltd. – HSI European Wts May 2011 H	200,000,000	2010/12/29	2011/05/30	30.00
27803	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 K	200,000,000	2010/12/29	2011/06/29	50.00
27846	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 L	200,000,000	2010/12/29	2011/06/29	30.00
27847	KBC Fin Products Int'l Ltd. – HSI European Wts Jun 2011 M	200,000,000	2010/12/29	2011/06/29	30.00
27804	KBC Fin Products Int'l Ltd. – HSI European Wts Jul 2011 B	200,000,000	2010/12/29	2011/07/28	56.00
27808	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Aug 2011 A	200,000,000	2010/12/29	2011/08/30	86.00
27809	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Aug 2011 B	200,000,000	2010/12/29	2011/08/30	106.00
27799	Macquarie Bank Ltd. – BYD European Warrants Jul 2011	100,000,000	2010/12/29	2011/07/28	34.00
27800	Macquarie Bank Ltd. – China Mobile European Wts Jun 2011 B	300,000,000	2010/12/29	2011/06/29	75.00
27801	Macquarie Bank Ltd. – Ch Southern Air European Wts Jun 2011	100,000,000	2010/12/29	2011/06/29	50.00
27802	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt Mar 2011 C	80,000,000	2010/12/29	2011/03/30	34.40
27779	Standard Chartered Bank – China Mobile European Wts Jun 11B	100,000,000	2010/12/29	2011/06/30	27.00
27778	Standard Chartered Bank – HSBC European Warrants Jun 2011 C	100,000,000	2010/12/29	2011/06/02	36.00
27777	UBS AG – ICBC European Warrants Jul 2011	100,000,000	2010/12/29	2011/07/11	25.00
27748	UBS AG – ICBC European Warrants Apr 2011 B	100,000,000	2010/12/29	2011/04/20	20.00
27887	Barclays Bank plc – AIA European Warrants Oct 2011	100,000,000	2010/12/30	2011/10/31	25.00
27886	Barclays Bank plc – China Mobile European Wts Jul 2011	100,000,000	2010/12/30	2011/07/25	26.00
27889	Barclays Bank plc – China Life European Warrants Aug 2011	120,000,000	2010/12/30	2011/08/29	31.20
27885	Barclays Bank plc – CNOOC European Warrants Dec 2011	100,000,000	2010/12/30	2011/12/28	25.00
27888	Barclays Bank plc – ICBC European Warrants Aug 2011 B	100,000,000	2010/12/30	2011/08/29	27.00
27858	BOCI Asia Ltd. – BOCL European Warrants Aug 2011	100,000,000	2010/12/30	2011/08/08	25.00
27861	BOCI Asia Ltd. – BOCL European Put Warrants Aug 2011	100,000,000	2010/12/30	2011/08/08	25.00
27859	BOCI Asia Ltd. – Ch LongYuan European Warrants Dec 2012	100,000,000	2010/12/30	2012/12/03	15.00
27860	BOCI Asia Ltd. – China Shenhua European Warrants Jul 2011 B	100,000,000	2010/12/30	2011/07/04	27.50
27908	BNP Paribas Arbit Issu B.V. – Alibaba European Warrants Oct11	100,000,000	2010/12/30	2011/10/03	17.00
27916	BNP Paribas Arbit Issu B.V. – China Agri European Wts Jan13	100,000,000	2010/12/30	2013/01/03	25.00
27917	BNP Paribas Arbit Issu B.V. – China COSCO European Wts Jan13	100,000,000	2010/12/30	2013/01/03	25.00
27909	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Jul 2011	80,000,000	2010/12/30	2011/07/05	28.00
27911	BNP Paribas Arbit Issu B.V. – Hang Seng Bank Eu Wts Jan 2013	100,000,000	2010/12/30	2013/01/03	25.00
27918	BNP Paribas Arbit Issu B.V. – Lee & Man Paper Euro Wt Jul13	100,000,000	2010/12/30	2013/07/03	25.00
27912	BNP Paribas Arbit Issu B.V. – Li Ning European Warrants Jul11	100,000,000	2010/12/30	2011/07/05	25.00
27913	BNP Paribas Arbit Issu B.V. – Sino Land European Wts Nov11	100,000,000	2010/12/30	2011/11/02	25.00
27914	BNP Paribas Arbit Issu B.V. – Swire Pacific A Euro Wts Aug11	100,000,000	2010/12/30	2011/08/02	25.00
27915	BNP Paribas Arbit Issu B.V. – Wharf European Warrants Aug 11	70,000,000	2010/12/30	2011/08/02	36.40

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		發行單位	上市日期	到期日	
27910	BNP Paribas Arbit Issu B.V. – Yurun Food European Wts Oct11	100,000,000	2010/12/30	2011/10/04	45.00
27904	Citigroup Global Mkt H Inc. – China Coal European Wts Aug 11	80,000,000	2010/12/30	2011/08/25	12.00
27906	Citigroup Global Mkt H Inc. – Esprit European Wts Jul 2011	80,000,000	2010/12/30	2011/07/11	20.00
27907	Citigroup Global Mkt H Inc.-Tencent European Warrants Oct 11	100,000,000	2010/12/30	2011/10/11	25.00
27905	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jun 2011	60,000,000	2010/12/30	2011/06/30	15.00
27880	J P Morgan SP BV – Agile Ppt European Warrants Jul 2011 B	150,000,000	2010/12/30	2011/07/20	22.50
27873	J P Morgan SP BV – BOCL European Warrants Jun 2011	200,000,000	2010/12/30	2011/06/30	50.00
27874	J P Morgan SP BV – BOCL European Warrants Jul 2011	200,000,000	2010/12/30	2011/07/15	50.00
27878	J P Morgan SP BV – CM Bank European Warrants Jun 2011 C	180,000,000	2010/12/30	2011/06/30	45.00
27872	J P Morgan SP BV – A50 China European Warrants Nov 2011	300,000,000	2010/12/30	2011/11/21	45.00
27875	J P Morgan SP BV – Hang Lung Ppt European Warrants Jun 2011	80,000,000	2010/12/30	2011/06/30	20.00
27879	J P Morgan SP BV – Huaneng Power European Warrants Jun 2011	70,000,000	2010/12/30	2011/06/30	20.16
27876	J P Morgan SP BV – Li & Fung European Warrants Jun 2011	80,000,000	2010/12/30	2011/06/30	20.00
27877	J P Morgan SP BV – Stanchart European Warrants Jun 2011	100,000,000	2010/12/30	2011/06/30	25.00
27881	J P Morgan SP BV – Zijin Mining European Warrants Dec 2011 B	200,000,000	2010/12/30	2011/12/20	30.00
27902	KBC Fin Products Int'l Ltd. – China Agri European Wts Sep11	68,000,000	2010/12/30	2011/09/01	39.44
27903	KBC Fin Products Int'l Ltd. – China Agri Euro Put Wts Oct11	80,000,000	2010/12/30	2011/10/28	20.00
27890	KBC Fin Products Int'l Ltd. – Cathay Pac Euro Wts Aug 2011	68,000,000	2010/12/30	2011/08/08	17.00
27898	KBC Fin Products Int'l Ltd. – Cathay Pacific Eu Put Wt Sep11	68,000,000	2010/12/30	2011/09/30	17.00
27892	KBC Fin Products Int'l Ltd. – HK Elec European Wts Sep 2011	80,000,000	2010/12/30	2011/09/15	23.20
27899	KBC Fin Products Int'l Ltd. – HK Electric Euro Put Wts Sep11	80,000,000	2010/12/30	2011/09/15	20.00
27891	KBC Fin Products Int'l Ltd. – HS Bank European Wts Jul 2011	80,000,000	2010/12/30	2011/07/08	36.00
27895	KBC Fin Products Int'l Ltd. – HSBC European Wts Aug 2011	168,000,000	2010/12/30	2011/08/10	42.00
27893	KBC Fin Products Int'l Ltd. – HSBC European Wts Jun 2011 B	168,000,000	2010/12/30	2011/06/30	57.12
27894	KBC Fin Products Int'l Ltd. – HSBC European Wts Jul 2011 B	168,000,000	2010/12/30	2011/07/18	42.00
27896	KBC Fin Products Int'l Ltd. – Sino Land European Wts Sep11	68,000,000	2010/12/30	2011/09/01	17.00
27900	KBC Fin Products Int'l Ltd. – Sino Land Euro Put Wts Sep11	68,000,000	2010/12/30	2011/09/15	17.68
27897	KBC Fin Products Int'l Ltd. – Swire Pacific A Euro Wts Nov11	68,000,000	2010/12/30	2011/11/11	17.00
27901	KBC Fin Products Int'l Ltd-Swire Pacific A Euro Put Wt Sep11	68,000,000	2010/12/30	2011/09/30	17.00
27882	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jul 2011	200,000,000	2010/12/30	2011/07/05	50.20
27854	Merrill Lynch Int'l & Co. C.V. – Ch Mobile Eur Wt May 2011	80,000,000	2010/12/30	2011/05/23	18.96
27852	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts May 11A	80,000,000	2010/12/30	2011/05/23	12.16
27853	Merrill Lynch Int'l & Co. C.V. – CK(H) European Wts May 11B	80,000,000	2010/12/30	2011/05/30	12.32
27855	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts May 2011	80,000,000	2010/12/30	2011/05/03	13.76
27857	Merrill Lynch Int'l & Co. C.V. -Hutchison Eu Put Wt Oct 2011	80,000,000	2010/12/30	2011/10/10	14.64
27856	Merrill Lynch Int'l & Co. C.V. – Hutchison Euro Wts Jun 11C	80,000,000	2010/12/30	2011/06/10	12.64
27863	CC Rabobank B.A. – CC Bank European Warrants May 2011	200,000,000	2010/12/30	2011/05/23	84.00
27865	CC Rabobank B.A. – COSCO Pacific European Warrants Aug 2011	100,000,000	2010/12/30	2011/08/01	15.00
27862	CC Rabobank B.A. – Cathay Pacific European Warrants Jun 2011	100,000,000	2010/12/30	2011/06/20	15.00
27864	CC Rabobank B.A. – Ch Southern Air European Warrants Jun 11	100,000,000	2010/12/30	2011/06/30	40.00
27866	CC Rabobank B.A. – Esprit European Warrants May 2011	120,000,000	2010/12/30	2011/05/31	31.20
27867	CC Rabobank B.A. – HSBC European Warrants May 2011 D	200,000,000	2010/12/30	2011/05/04	60.00
27868	CC Rabobank B.A. – HSBC European Warrants May 2011 E	200,000,000	2010/12/30	2011/05/30	40.00
27869	CC Rabobank B.A. – ICBC European Warrants May 2011	100,000,000	2010/12/30	2011/05/31	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27870	CC Rabobank B.A. – Ping An European Warrants Apr 2011	100,000,000	2010/12/30	2011/04/19	30.00
27871	CC Rabobank B.A. – Want Want China European Warrants Jun 11	80,000,000	2010/12/30	2011/06/30	40.00
27919	RB of Scotland N.V. – HSBC European Warrants Jun 2011 C	80,000,000	2010/12/30	2011/06/29	27.60
27884	Standard Chartered Bank – China Life Euro Put Wts Jul11	100,000,000	2010/12/30	2011/07/04	25.00
27883	Standard Chartered Bank – Ping An European Warrants Dec 2011	100,000,000	2010/12/30	2011/12/19	15.00
27933	BNP Paribas Arbit Issu B.V. – BOCHK European Warrants Jul 11	100,000,000	2010/12/31	2011/07/05	15.00
27934	BNP Paribas Arbit Issu B.V. – Cheung Kong Euro Wt Jul 2011	90,000,000	2010/12/31	2011/07/05	38.70
27935	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2011 C	100,000,000	2010/12/31	2011/07/05	15.00
27936	BNP Paribas Arbit Issu B.V. – KECL European Warrants Sep 11	100,000,000	2010/12/31	2011/09/02	16.00
27937	BNP Paribas Arbit Issu B.V. – Ping An European Wts Jul 2011	100,000,000	2010/12/31	2011/07/05	52.00
27938	BNP Paribas Arbit Issu B.V. – SHK Ppt European Warrants May11	90,000,000	2010/12/31	2011/05/04	27.90
27927	J P Morgan SP BV – China Unicom European Warrants Nov 2011	200,000,000	2010/12/31	2011/11/25	30.00
27932	Macquarie Bank Ltd. – CM Bank European Warrants Dec 2011	250,000,000	2010/12/31	2011/12/12	40.50
27920	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11F	80,000,000	2010/12/31	2011/06/21	12.16
27921	Merrill Lynch Int'l & Co. C.V. – CNOOC European Wts Jun 11G	80,000,000	2010/12/31	2011/06/09	12.16
27922	Merrill Lynch Int'l & Co. C.V. – HS Bank Euro Wts Jul 2011	80,000,000	2010/12/31	2011/07/25	20.00
27923	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 D	80,000,000	2010/12/31	2011/05/18	21.60
27924	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2011 E	80,000,000	2010/12/31	2011/05/23	17.12
27925	Merrill Lynch Int'l & Co. C.V. – ICBC European Wts Apr 2011C	80,000,000	2010/12/31	2011/04/27	12.00
27926	Merrill Lynch Int'l & Co. C.V. – JX Copper Euro Wts Jun 2011	80,000,000	2010/12/31	2011/06/13	12.16
27940	RB of Scotland N.V. – Minsheng Bank European Wts Mar 2011	80,000,000	2010/12/31	2011/03/31	12.32
27939	RB of Scotland N.V. – Minsheng Bank European Wts Jun 2011	80,000,000	2010/12/31	2011/06/13	27.52
27929	UBS AG – Agricultural Bank European Warrants Jul 2011	200,000,000	2010/12/31	2011/07/11	50.00
27928	UBS AG – Agricultural Bank European Warrants Apr 2011 C	200,000,000	2010/12/31	2011/04/11	50.00
27930	UBS AG – BOCL European Warrants Jul 2011	100,000,000	2010/12/31	2011/07/11	25.00
27931	UBS AG – HSBC European Warrants Jun 2011 C	200,000,000	2010/12/31	2011/06/14	50.00
總 額					115,549.29

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2009	第4季	—	0.16
2010	第1季	—	0.21
	第2季	—	1.22
	第3季	—	0.05
	第4季	—	0.10

債券面值

		發行數目	面值(百萬港元)
2009	第4季	157	391,908.38
2010	第1季	160	410,829.55
	第2季	158	426,338.89
	第3季	160	440,113.92
	第4季	169	488,418.71

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2009	第4季	6	9,996.64
2010	第1季	9	30,996.63
	第2季	6	28,133.65
	第3季	7	21,025.02
	第4季	16	60,126.80

主板 債務證券

新上市債券

2010年第4季

股份 代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04324	HBAP 1.6%固定利率票據2013	SGD 225,000,000	2010/10/07	2013/10/07	1,338.41
04326	Billion Express Invest Ltd. 0.75% 有擔保可換股美元債券 2015	USD 1,838,800,000	2010/10/19	2015/10/18	14,342.64
86001	Asian Development Bank 2.85% Notes 2020	RMB 1,200,000,000	2010/10/22	2020/10/21	1,406.10
04329	銀泰百貨(集團)有限公司 1.75% 可換股港元債券 2013年	HKD 1,941,000,000	2010/10/28	2013/10/27	1,941.00
04327	創興銀行有限公司 6.00%後償票據 2020	USD 225,000,000	2010/11/05	2020/11/04	1,746.01
04328	SHK Ppt (Cap Market) Ltd. 4.00% 有擔保票據 2020	USD 300,000,000	2010/11/09	2020/11/02	2,325.14
04503	Ch Overseas Fin (Cayman) II Ltd. 5.50% 有擔保債券 2020	USD 1,000,000,000	2010/11/11	2020/11/10	7,800.00
04501	Sinochem Overseas Capital 4.50% 有擔保優先票據 2020	USD 1,500,000,000	2010/11/15	2020/11/12	11,637.65
04502	Sinochem Overseas Capital 6.30% 有擔保優先票據 2040	USD 500,000,000	2010/11/15	2040/11/12	3,881.24
04008	香港金融管理局 0.36%外匯基金票據 2012年	HKD 1,200,000,000	2010/11/18	2012/11/19	1,195.80
04504	富邦銀行(香港)有限公司 6.125% 定期後償票據 2020	USD 200,000,000	2010/12/01	2020/11/30	1,551.37
04206	中華人民共和國香港特別行政區政府 1.43% 債券 2015年	HKD 2,500,000,000	2010/12/03	2015/12/02	2,484.50
04009	香港金融管理局 2.44%外匯基金票據 2020年	HKD 800,000,000	2010/12/07	2020/12/07	780.72
04505	Hongkong Electric Finance Ltd. 4.25% 票據 2020	USD 500,000,000	2010/12/15	2020/12/14	3,871.45
86002	銀河娛樂集團有限公司 4.625% 債券 2013	RMB 1,380,000,000	2010/12/17	2013/12/17	1,619.09
04185 *	香港金融管理局 1.67%外匯基金票據 2013年	HKD 1,200,000,000	2010/12/20	2013/12/19	1,222.08
04010	香港金融管理局 1.52%外匯基金票據 2015年	HKD 1,000,000,000	2010/12/21	2015/12/21	983.60
總額					60,126.80

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比 (百萬港元)
2009	第4季	121,814.83	10,126.33	339.11	132,280.28	3.23
2010	第1季	108,553.03	9,219.80	147.40	117,920.23	3.01
	第2季	136,150.74	10,809.77	176.89	147,137.40	3.94
	第3季	137,121.96	10,860.01	182.56	148,164.53	3.77
	第4季	222,632.25	13,000.51	234.47	235,867.23	4.29

由2010年11月29日起成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2009	第4季	43	496,812.50	7	73,818.20	2	3,038.50	52	573,669.20
2010	第1季	61	501,813.45	7	76,649.30	2	2,915.77	70	581,378.53
	第2季	62	584,399.19	8	82,806.33	2	2,617.20	72	669,822.73
	第3季	66	647,219.69	8	95,248.94	2	2,972.33	76	745,440.96
	第4季	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬港元)
2009	第4季	6	—
2010	第1季	18	—
	第2季	2	—
	第3季	4	—
	第4季	3	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2010年第4季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
03081	價值黃金ETF	Sensible Asset Management Hong Kong Ltd.	n.a.	n.a.	2010/11/03
03077	大成中証香港中資央企ETF	Da Cheng International Asset Management Co. Ltd.	n.a.	n.a.	2010/12/20
03022	大成中証香港中資民企ETF	Da Cheng International Asset Management Co. Ltd.	n.a.	n.a.	2010/12/20

主板 可收回牛熊證

牛熊證交易統計

	牛熊證市價總值 數目	交易總額 (百萬元)	(百萬元)	
2009	第4季	1,692	91,190.57	419,558.61
2010	第1季	1,331	60,652.40	290,147.62
	第2季	1,020	43,060.09	299,639.52
	第3季	974	40,872.16	351,106.38
	第4季	1,064	49,816.76	514,510.75

新上市牛熊證統計

	新上市牛熊證數目	集資金額(百萬元)	
2009	第4季	2,054	115,888.51
2010	第1季	1,886	103,866.53
	第2季	1,473	74,636.30
	第3季	1,369	73,903.10
	第4季	1,813	107,647.20

主板 可收回牛熊證

新上市牛熊證

2010年第4季

股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
64361	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 F	100,000,000	2010/10/04	2011/06/29	25.00
64363	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 A	100,000,000	2010/10/04	2011/07/28	25.00
64365	Credit Suisse AG – A50 China R Bull CBBC Jan 2011 I	100,000,000	2010/10/04	2011/01/24	25.00
64364	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 A	100,000,000	2010/10/04	2011/02/21	25.00
64367	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 B	100,000,000	2010/10/04	2011/02/21	25.00
64368	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 X	300,000,000	2010/10/04	2011/01/28	75.00
64356	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2011 C	150,000,000	2010/10/04	2011/01/28	22.50
64357	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Feb 2011 A	150,000,000	2010/10/04	2011/02/25	22.50
64369	UBS AG – HKEx R Bull CBBC Feb 2011 E	100,000,000	2010/10/04	2011/02/21	25.00
64370	UBS AG – HKEx R Bear CBBC Mar 2011 A	100,000,000	2010/10/04	2011/03/14	29.50
64372	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2011 G	100,000,000	2010/10/05	2011/06/29	25.00
64371	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2011 B	100,000,000	2010/10/05	2011/07/28	25.00
64375	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 C	100,000,000	2010/10/05	2011/07/28	25.00
64373	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 A	100,000,000	2010/10/05	2011/08/30	25.00
64374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 B	100,000,000	2010/10/05	2011/08/30	29.00
64400	Credit Suisse AG – HKEx R Bull CBBC Jan 2011 D	100,000,000	2010/10/05	2011/01/31	25.00
64451	Credit Suisse AG – HKEx R Bear CBBC Jan 2011 E	100,000,000	2010/10/05	2011/01/31	25.00
64452	Credit Suisse AG – HKEx R Bear CBBC Jan 2011 F	100,000,000	2010/10/05	2011/01/31	30.00
64380	Credit Suisse AG – HSI R Bull CBBC Feb 2011 R	300,000,000	2010/10/05	2011/02/25	75.00
64378	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Q	390,000,000	2010/10/05	2011/02/25	97.50
64453	Credit Suisse AG – TCH R Bull CBBC Jan 2011 D	100,000,000	2010/10/05	2011/01/31	30.00
64454	Credit Suisse AG – TCH R Bull CBBC Jan 2011 E	100,000,000	2010/10/05	2011/01/31	25.00
64458	Credit Suisse AG – TCH R Bear CBBC Jan 2011 F	100,000,000	2010/10/05	2011/01/31	30.00
64455	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 B	300,000,000	2010/10/05	2011/01/28	75.00
64376	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 S	300,000,000	2010/10/05	2011/01/28	75.00
64377	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 E	300,000,000	2010/10/05	2011/02/25	84.00
64385	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 A	300,000,000	2010/10/05	2011/04/28	75.00
64386	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 B	300,000,000	2010/10/05	2011/04/28	84.00
64456	Deutsche Bank AG – TCH R Bull CBBC Feb 2011 A	100,000,000	2010/10/05	2011/02/14	26.00
64457	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 C	200,000,000	2010/10/05	2011/01/28	50.00
64459	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 D	200,000,000	2010/10/05	2011/01/28	50.00
64460	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 E	200,000,000	2010/10/05	2011/01/28	50.00
64461	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 F	200,000,000	2010/10/05	2011/01/28	50.00
64462	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 G	200,000,000	2010/10/05	2011/01/28	53.00
64392	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 X	128,000,000	2010/10/05	2011/01/28	32.00
64393	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 L	128,000,000	2010/10/05	2011/02/25	32.00
64387	Macquarie Bank Ltd. – HSI R Bull CBBC Feb 2011 A	200,000,000	2010/10/05	2011/02/25	35.20
64389	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2011 B	200,000,000	2010/10/05	2011/02/25	46.60
64465	RB of Scotland N.V. – China Mobile R Bull CBBC Feb 2011 A	80,000,000	2010/10/05	2011/02/18	20.08
64464	RB of Scotland N.V. – HKEx R Bull CBBC Feb 2011 A	80,000,000	2010/10/05	2011/02/11	20.00
64468	RB of Scotland N.V. – HKEx R Bear CBBC Feb 2011 B	80,000,000	2010/10/05	2011/02/11	20.16
64466	RB of Scotland N.V. – HSI R Bull CBBC Jan 2011 B	250,000,000	2010/10/05	2011/01/28	62.75

主板 可收回牛熊證

股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
64469	RB of Scotland N.V. – HSI R Bear CBBC Jan 2011 C	250,000,000	2010/10/05	2011/01/28	62.75
64470	RB of Scotland N.V. – HSI R Bear CBBC Jan 2011 D	250,000,000	2010/10/05	2011/01/28	62.75
64463	RB of Scotland N.V. – Hutchison R Bull CBBC Feb 2011 A	80,000,000	2010/10/05	2011/02/22	20.00
64467	RB of Scotland N.V. – Hutchison R Bear CBBC Feb 2011 B	80,000,000	2010/10/05	2011/02/22	20.00
64381	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11L	300,000,000	2010/10/05	2011/01/28	75.00
64382	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11M	300,000,000	2010/10/05	2011/01/28	75.00
64384	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11N	300,000,000	2010/10/05	2011/01/28	75.00
64396	UBS AG – China Mobile R Bull CBBC Feb 2011 A	100,000,000	2010/10/05	2011/02/07	25.00
64397	UBS AG – HKEx R Bull CBBC Feb 2011 F	100,000,000	2010/10/05	2011/02/14	25.00
64394	UBS AG – HSI R Bull CBBC Jan 2011 I	200,000,000	2010/10/05	2011/01/28	50.00
64398	UBS AG – HSI R Bear CBBC Jan 2011 R	200,000,000	2010/10/05	2011/01/28	50.00
64395	UBS AG – TCH R Bull CBBC Feb 2011 A	100,000,000	2010/10/05	2011/02/07	25.00
64399	UBS AG – TCH R Bear CBBC Feb 2011 B	100,000,000	2010/10/05	2011/02/14	25.00
64473	Credit Suisse AG – HSBC R Bull CBBC Jan 2011 D	100,000,000	2010/10/06	2011/01/24	25.00
64472	Credit Suisse AG – HSI R Bull CBBC Feb 2011 S	390,000,000	2010/10/06	2011/02/25	97.50
64476	Credit Suisse AG – HSI R Bear CBBC Feb 2011 T	300,000,000	2010/10/06	2011/02/25	75.00
64474	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 G	300,000,000	2010/10/06	2011/02/25	75.00
64475	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 R	300,000,000	2010/10/06	2011/01/28	75.00
64478	RB of Scotland N.V. – HSCEI R Bull CBBC Jan 2011 C	250,000,000	2010/10/06	2011/01/28	62.50
64471	UBS AG – HSI R Bull CBBC Jan 2011 D	200,000,000	2010/10/06	2011/01/28	50.00
64477	UBS AG – Jiangxi Copper R Bull CBBC Feb 2011 A	50,000,000	2010/10/06	2011/02/07	16.00
64481	UBS AG – Jiangxi Copper R Bear CBBC Feb 2011 B	50,000,000	2010/10/06	2011/02/14	16.25
63202 [#]	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Dec 2010 L	100,000,000	2010/10/06	2010/12/30	11.80
64484	Credit Suisse AG – HSI R Bull CBBC Feb 2011 U	390,000,000	2010/10/07	2011/02/25	97.50
64480	UBS AG – HSCEI R Bull CBBC Feb 2011 D	100,000,000	2010/10/07	2011/02/25	25.00
64482	UBS AG – HSI R Bull CBBC Feb 2011 F	200,000,000	2010/10/07	2011/02/25	50.00
64479	UBS AG – Hutchison R Bull CBBC Feb 2011 A	100,000,000	2010/10/07	2011/02/07	25.00
64483	UBS AG – Hutchison R Bear CBBC Feb 2011 B	100,000,000	2010/10/07	2011/02/14	25.00
64055 [#]	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H	300,000,000	2010/10/07	2011/01/28	32.40
63338 [#]	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 C	300,000,000	2010/10/07	2011/03/30	24.90
63465 [#]	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 D	80,000,000	2010/10/07	2011/01/28	10.08
64485	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 C	200,000,000	2010/10/08	2011/08/30	50.00
64486	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2011 H	200,000,000	2010/10/08	2011/06/29	50.00
64487	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 D	200,000,000	2010/10/08	2011/07/28	50.00
64488	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 C	200,000,000	2010/10/08	2011/09/29	50.00
64489	Deutsche Bank AG – A50 China R Bull CBBC Feb 2011 B	100,000,000	2010/10/08	2011/02/21	25.00
64490	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 M	300,000,000	2010/10/08	2011/03/30	90.00
64491	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 N	300,000,000	2010/10/08	2011/03/30	108.00
64496	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 D	158,000,000	2010/10/08	2011/03/30	39.50
64492	UBS AG – Sinopec Corp R Bear CBBC Feb 2011 A	100,000,000	2010/10/08	2011/02/21	25.00
64493	UBS AG – China Shenhua R Bear CBBC Feb 2011 A	100,000,000	2010/10/08	2011/02/21	25.00
64494	UBS AG – Ping An R Bear CBBC Feb 2011 A	100,000,000	2010/10/08	2011/02/21	25.00
64495	UBS AG – SHK Ppt R Bear CBBC Feb 2011 B	100,000,000	2010/10/08	2011/02/14	25.00
64508	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2011 E	100,000,000	2010/10/11	2011/07/28	25.00
64506	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 D	100,000,000	2010/10/11	2011/08/30	25.00

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股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
64507	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 E	100,000,000	2010/10/11	2011/08/30	25.00
64512	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 F	100,000,000	2010/10/11	2011/08/30	25.00
64510	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 D	100,000,000	2010/10/11	2011/09/29	25.00
64511	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 C	100,000,000	2010/10/11	2011/10/28	25.00
64549	Credit Suisse AG – HSI R Bull CBBC Feb 2011 V	300,000,000	2010/10/11	2011/02/25	75.00
64550	Credit Suisse AG – HSI R Bull CBBC Feb 2011 W	390,000,000	2010/10/11	2011/02/25	97.50
64527	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 O	300,000,000	2010/10/11	2011/03/30	90.00
64528	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 P	300,000,000	2010/10/11	2011/03/30	75.00
64499	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 L	300,000,000	2010/10/11	2011/02/25	75.00
64505	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 M	300,000,000	2010/10/11	2011/02/25	81.00
64529	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Q	300,000,000	2010/10/11	2011/03/30	84.00
64530	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 C	300,000,000	2010/10/11	2011/04/28	75.00
64531	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 D	300,000,000	2010/10/11	2011/04/28	90.00
64532	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 E	300,000,000	2010/10/11	2011/04/28	99.00
64533	Deutsche Bank AG – HSI R Bear CBBC May 2011 A	300,000,000	2010/10/11	2011/05/30	99.00
64534	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 A	200,000,000	2010/10/11	2011/04/28	50.00
64535	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 B	200,000,000	2010/10/11	2011/04/28	50.00
64536	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 C	200,000,000	2010/10/11	2011/04/28	50.00
64538	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 D	200,000,000	2010/10/11	2011/04/28	50.00
64539	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 E	200,000,000	2010/10/11	2011/04/28	50.00
64540	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 F	200,000,000	2010/10/11	2011/04/28	50.00
64541	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 G	200,000,000	2010/10/11	2011/04/28	50.00
64542	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 H	200,000,000	2010/10/11	2011/04/28	50.00
64543	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 I	200,000,000	2010/10/11	2011/04/28	50.00
64544	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 J	200,000,000	2010/10/11	2011/04/28	50.00
64545	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 K	200,000,000	2010/10/11	2011/04/28	50.00
64546	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 L	200,000,000	2010/10/11	2011/04/28	50.00
64547	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 M	200,000,000	2010/10/11	2011/04/28	50.00
64548	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 N	200,000,000	2010/10/11	2011/04/28	50.00
64551	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 H	200,000,000	2010/10/11	2011/01/28	50.00
64552	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 Y	128,000,000	2010/10/11	2011/01/28	32.00
64554	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 Z	128,000,000	2010/10/11	2011/01/28	32.00
64556	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 N	158,000,000	2010/10/11	2011/02/25	39.50
64559	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 O	128,000,000	2010/10/11	2011/02/25	32.00
64498	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 M	128,000,000	2010/10/11	2011/02/25	32.00
64513	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11O	300,000,000	2010/10/11	2011/01/28	75.00
64514	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11P	300,000,000	2010/10/11	2011/01/28	75.00
64515	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11S	300,000,000	2010/10/11	2011/02/25	75.00
64516	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11T	300,000,000	2010/10/11	2011/02/25	90.00
64517	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11U	300,000,000	2010/10/11	2011/02/25	75.00
64518	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11V	300,000,000	2010/10/11	2011/02/25	81.00
64519	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11W	300,000,000	2010/10/11	2011/02/25	100.50
64523	UBS AG – A50 China R Bull CBBC Feb 2011 C	100,000,000	2010/10/11	2011/02/07	25.00
64522	UBS AG – HKEx R Bear CBBC Feb 2011 G	100,000,000	2010/10/11	2011/02/14	25.00
64521	UBS AG – HSI R Bull CBBC Jan 2011 J	200,000,000	2010/10/11	2011/01/28	50.00

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64520	UBS AG – HSI R Bull CBBC Feb 2011 I	200,000,000	2010/10/11	2011/02/25	50.00
64497	UBS AG – HSI R Bear CBBC Jan 2011 N	200,000,000	2010/10/11	2011/01/28	50.00
64524	UBS AG – HSI R Bear CBBC Feb 2011 J	200,000,000	2010/10/11	2011/02/25	50.00
64525	UBS AG – HSI R Bear CBBC Feb 2011 L	200,000,000	2010/10/11	2011/02/25	50.00
64526	UBS AG – HSI R Bear CBBC Mar 2011 Q	200,000,000	2010/10/11	2011/03/30	50.00
64575	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 F	150,000,000	2010/10/12	2011/03/28	54.00
64576	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 G	150,000,000	2010/10/12	2011/03/28	75.00
64577	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 T	300,000,000	2010/10/12	2011/01/28	75.00
64578	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 I	300,000,000	2010/10/12	2011/01/28	87.00
64579	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 A	300,000,000	2010/10/12	2011/06/29	75.00
64560	HK Bank – HSI R Bull CBBC Apr 2011 A	150,000,000	2010/10/12	2011/04/28	37.50
64561	HK Bank – HSI R Bull CBBC Apr 2011 B	150,000,000	2010/10/12	2011/04/28	37.50
64562	HK Bank – HSI R Bear CBBC Mar 2011 E	150,000,000	2010/10/12	2011/03/30	37.50
64563	HK Bank – HSI R Bear CBBC Mar 2011 F	150,000,000	2010/10/12	2011/03/30	37.50
64565	HK Bank – HSI R Bear CBBC Apr 2011 C	150,000,000	2010/10/12	2011/04/28	37.50
64564	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2011 A	200,000,000	2010/10/12	2011/03/30	36.00
64566	UBS AG – China Life R Bull CBBC Feb 2011 E	100,000,000	2010/10/12	2011/02/07	28.50
64570	UBS AG – China Life R Bear CBBC Feb 2011 F	100,000,000	2010/10/12	2011/02/14	52.00
64568	UBS AG – CNOOC R Bear CBBC Feb 2011 A	100,000,000	2010/10/12	2011/02/07	25.00
64569	UBS AG – HKEx R Bear CBBC Feb 2011 H	100,000,000	2010/10/12	2011/02/21	27.00
64567	UBS AG – HSCEI R Bull CBBC Jan 2011 E	100,000,000	2010/10/12	2011/01/28	25.00
64571	UBS AG – HSI R Bear CBBC Jan 2011 W	200,000,000	2010/10/12	2011/01/28	50.00
64572	UBS AG – HSI R Bear CBBC Feb 2011 K	200,000,000	2010/10/12	2011/02/25	52.00
64573	UBS AG – HSI R Bear CBBC Feb 2011 O	200,000,000	2010/10/12	2011/02/25	50.00
64574	UBS AG – HSI R Bear CBBC Mar 2011 R	200,000,000	2010/10/12	2011/03/30	50.00
64646	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 C	100,000,000	2010/10/13	2011/02/21	27.00
64648	Credit Suisse AG – HSI R Bull CBBC Jan 2011 H	390,000,000	2010/10/13	2011/01/28	97.50
64639	Credit Suisse AG – HSI R Bear CBBC Jan 2011 M	300,000,000	2010/10/13	2011/01/28	96.00
64640	Credit Suisse AG – HSI R Bear CBBC Jan 2011 O	390,000,000	2010/10/13	2011/01/28	97.50
64624	Credit Suisse AG – HSI R Bear CBBC Jan 2011 T	390,000,000	2010/10/13	2011/01/28	97.50
64637	Credit Suisse AG – HSI R Bear CBBC Jan 2011 U	390,000,000	2010/10/13	2011/01/28	97.50
64638	Credit Suisse AG – HSI R Bear CBBC Jan 2011 X	390,000,000	2010/10/13	2011/01/28	97.50
64643	Credit Suisse AG – HSI R Bear CBBC Feb 2011 B	390,000,000	2010/10/13	2011/02/25	97.50
64644	Credit Suisse AG – HSI R Bear CBBC Feb 2011 G	300,000,000	2010/10/13	2011/02/25	105.00
64625	Credit Suisse AG – HSI R Bear CBBC Feb 2011 X	390,000,000	2010/10/13	2011/02/25	97.50
64641	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Y	300,000,000	2010/10/13	2011/02/25	75.00
64642	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Z	390,000,000	2010/10/13	2011/02/25	97.50
64647	Credit Suisse AG – TCH R Bear CBBC Feb 2011 A	100,000,000	2010/10/13	2011/02/21	38.50
64663	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 O	300,000,000	2010/10/13	2011/02/25	75.00
64653	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 V	300,000,000	2010/10/13	2011/01/28	75.00
64664	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 F	300,000,000	2010/10/13	2011/04/28	81.00
64665	Deutsche Bank AG – HSI R Bear CBBC May 2011 B	300,000,000	2010/10/13	2011/05/30	90.00
64593	HK Bank – China Life R Bull CBBC May 2011 A	100,000,000	2010/10/13	2011/05/20	25.00
64594	HK Bank – China Life R Bear CBBC Apr 2011 A	150,000,000	2010/10/13	2011/04/27	37.50
64595	HK Bank – Sinopec Corp R Bull CBBC May 2011 A	200,000,000	2010/10/13	2011/05/27	50.00

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64596	HK Bank – Sinopec Corp R Bear CBBC Apr 2011 A	100,000,000	2010/10/13	2011/04/12	25.00
64597	HK Bank – China Shenhua R Bull CBBC May 2011 B	150,000,000	2010/10/13	2011/05/26	37.50
64598	HK Bank – China Shenhua R Bear CBBC Apr 2011 A	150,000,000	2010/10/13	2011/04/19	37.50
64602	HK Bank – A50 R Bull CBBC Apr 2011 A	150,000,000	2010/10/13	2011/04/12	37.50
64603	HK Bank – A50 R Bull CBBC Apr 2011 B	150,000,000	2010/10/13	2011/04/12	37.50
64604	HK Bank – A50 R Bear CBBC Mar 2011 B	150,000,000	2010/10/13	2011/03/25	37.50
64605	HK Bank – A50 R Bear CBBC Mar 2011 C	150,000,000	2010/10/13	2011/03/25	37.50
64599	HK Bank – TCH R Bull CBBC May 2011 A	150,000,000	2010/10/13	2011/05/23	43.50
64600	HK Bank – TCH R Bear CBBC Apr 2011 A	100,000,000	2010/10/13	2011/04/20	32.00
64611	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 I	200,000,000	2010/10/13	2011/01/28	50.00
64612	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 J	200,000,000	2010/10/13	2011/01/28	50.00
64613	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 K	200,000,000	2010/10/13	2011/01/28	50.00
64617	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 L	200,000,000	2010/10/13	2011/01/28	50.00
64618	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 M	200,000,000	2010/10/13	2011/01/28	50.00
64619	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 N	200,000,000	2010/10/13	2011/01/28	50.00
64620	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 O	200,000,000	2010/10/13	2011/01/28	53.00
64621	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 P	200,000,000	2010/10/13	2011/01/28	50.00
64622	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 Q	200,000,000	2010/10/13	2011/01/28	50.00
64623	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 R	200,000,000	2010/10/13	2011/01/28	50.00
64666	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 P	158,000,000	2010/10/13	2011/02/25	39.50
64669	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 Q	128,000,000	2010/10/13	2011/02/25	32.00
64675	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 R	128,000,000	2010/10/13	2011/02/25	32.00
64676	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 S	128,000,000	2010/10/13	2011/02/25	32.00
64677	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 T	128,000,000	2010/10/13	2011/02/25	32.00
64678	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 U	128,000,000	2010/10/13	2011/02/25	32.00
64679	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 V	128,000,000	2010/10/13	2011/02/25	32.00
64670	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 E	128,000,000	2010/10/13	2011/03/30	32.00
64671	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 F	158,000,000	2010/10/13	2011/03/30	39.50
64672	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 G	128,000,000	2010/10/13	2011/03/30	33.28
64673	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 H	128,000,000	2010/10/13	2011/03/30	32.00
64674	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 I	128,000,000	2010/10/13	2011/03/30	32.00
64680	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 A	128,000,000	2010/10/13	2011/04/28	38.40
64681	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 B	158,000,000	2010/10/13	2011/04/28	39.50
64682	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 C	128,000,000	2010/10/13	2011/04/28	49.92
64633	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2011 D	150,000,000	2010/10/13	2011/01/28	22.50
64634	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Jan 2011 E	150,000,000	2010/10/13	2011/01/28	22.50
64635	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 A	150,000,000	2010/10/13	2011/04/28	37.50
64636	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 B	150,000,000	2010/10/13	2011/04/28	22.50
64609	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 A	200,000,000	2010/10/13	2011/04/28	50.00
64610	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 B	200,000,000	2010/10/13	2011/04/28	50.00
64614	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 C	200,000,000	2010/10/13	2011/04/28	50.00
64615	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 D	200,000,000	2010/10/13	2011/04/28	50.00
64616	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 E	200,000,000	2010/10/13	2011/04/28	50.00
64582	SGA Societe Generale Acceptance N.V. – CCB R Bull CBBC Feb11A	200,000,000	2010/10/13	2011/02/23	50.00
64589	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Feb11A	150,000,000	2010/10/13	2011/02/23	37.50

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64590	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Feb11B	150,000,000	2010/10/13	2011/02/23	37.50
64591	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Feb11C	150,000,000	2010/10/13	2011/02/23	37.50
64588	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Feb11A	300,000,000	2010/10/13	2011/02/23	75.00
64583	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Feb11A	200,000,000	2010/10/13	2011/02/25	50.00
64584	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Feb11B	200,000,000	2010/10/13	2011/02/25	50.00
64585	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Feb11C	200,000,000	2010/10/13	2011/02/25	50.00
64586	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Feb11D	200,000,000	2010/10/13	2011/02/25	50.00
64626	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11R	300,000,000	2010/10/13	2011/01/28	75.00
64627	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11S	300,000,000	2010/10/13	2011/01/28	75.00
64587	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11Q	300,000,000	2010/10/13	2011/01/28	75.00
64628	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11T	300,000,000	2010/10/13	2011/01/28	75.00
64629	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11U	300,000,000	2010/10/13	2011/01/28	75.00
64630	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11X	300,000,000	2010/10/13	2011/02/25	75.00
64631	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Y	300,000,000	2010/10/13	2011/02/25	84.00
64632	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Z	300,000,000	2010/10/13	2011/02/25	103.50
64592	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Feb 11A	100,000,000	2010/10/13	2011/02/23	27.00
64654	UBS AG – CC Bank R Bull CBBC Feb 2011 A	100,000,000	2010/10/13	2011/02/07	25.00
64660	UBS AG – CC Bank R Bear CBBC Feb 2011 B	100,000,000	2010/10/13	2011/02/28	25.00
64655	UBS AG – HKEx R Bull CBBC Feb 2011 I	100,000,000	2010/10/13	2011/02/07	25.00
64658	UBS AG – HKEx R Bull CBBC Feb 2011 J	100,000,000	2010/10/13	2011/02/14	25.00
64661	UBS AG – HKEx R Bear CBBC Feb 2011 K	100,000,000	2010/10/13	2011/02/28	27.50
64683	UBS AG – HSI R Bull CBBC Feb 2011 W	200,000,000	2010/10/13	2011/02/25	50.00
64684	UBS AG – HSI R Bull CBBC Mar 2011 S	300,000,000	2010/10/13	2011/03/30	75.00
64606	UBS AG – HSI R Bear CBBC Jan 2011 H	200,000,000	2010/10/13	2011/01/28	50.00
64688	UBS AG – HSI R Bear CBBC Feb 2011 M	200,000,000	2010/10/13	2011/02/25	51.00
64687	UBS AG – HSI R Bear CBBC Feb 2011 N	200,000,000	2010/10/13	2011/02/25	50.00
64607	UBS AG – HSI R Bear CBBC Feb 2011 S	200,000,000	2010/10/13	2011/02/25	50.00
64689	UBS AG – HSI R Bear CBBC Mar 2011 T	200,000,000	2010/10/13	2011/03/30	50.00
64691	UBS AG – HSI R Bear CBBC Mar 2011 U	200,000,000	2010/10/13	2011/03/30	63.00
64659	UBS AG – TCH R Bull CBBC Feb 2011 C	100,000,000	2010/10/13	2011/02/14	25.00
64662	UBS AG – TCH R Bear CBBC Feb 2011 D	100,000,000	2010/10/13	2011/02/21	25.00
63468#	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 C	100,000,000	2010/10/13	2011/02/25	10.70
64698	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 G	100,000,000	2010/10/14	2011/08/30	25.00
64699	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 F	100,000,000	2010/10/14	2011/07/28	25.00
64702	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 H	100,000,000	2010/10/14	2011/08/30	25.00
64700	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2011 A	100,000,000	2010/10/14	2011/11/29	35.00
64701	Credit Suisse AG – HSI R Bull CBBC Mar 2011 B	390,000,000	2010/10/14	2011/03/30	97.50
64696	Credit Suisse AG – HSI R Bear CBBC Jan 2011 E	390,000,000	2010/10/14	2011/01/28	97.50
64697	Credit Suisse AG – HSI R Bear CBBC Feb 2011 C	390,000,000	2010/10/14	2011/02/25	97.50
64706	Credit Suisse AG – Hutchison R Bull CBBC Jan 2011 A	100,000,000	2010/10/14	2011/01/17	25.00
64707	Credit Suisse AG – Hutchison R Bull CBBC Feb 2011 A	100,000,000	2010/10/14	2011/02/14	25.00
64711	Credit Suisse AG – Hutchison R Bear CBBC Jan 2011 B	100,000,000	2010/10/14	2011/01/17	25.00
64712	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 B	100,000,000	2010/10/14	2011/02/14	25.00
64685	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 O	200,000,000	2010/10/14	2011/04/28	50.00
64686	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 P	200,000,000	2010/10/14	2011/04/28	50.00

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64692	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 Q	200,000,000	2010/10/14	2011/04/28	50.00
64693	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 R	200,000,000	2010/10/14	2011/04/28	50.00
64694	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 S	200,000,000	2010/10/14	2011/04/28	50.00
64695	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 W	158,000,000	2010/10/14	2011/02/25	39.50
64708	UBS AG – China Life R Bull CBBC Feb 2011 G	100,000,000	2010/10/14	2011/02/21	25.00
64710	UBS AG – HSCEI R Bear CBBC Feb 2011 E	100,000,000	2010/10/14	2011/02/25	25.00
64714	UBS AG – HSCEI R Bear CBBC Mar 2011 C	100,000,000	2010/10/14	2011/03/30	25.00
64715	UBS AG – HSCEI R Bear CBBC Mar 2011 D	100,000,000	2010/10/14	2011/03/30	25.00
64709	UBS AG – HSI R Bull CBBC Jan 2011 F	200,000,000	2010/10/14	2011/01/28	50.00
64716	UBS AG – HSI R Bear CBBC Feb 2011 C	200,000,000	2010/10/14	2011/02/25	50.00
64717	UBS AG – HSI R Bear CBBC Mar 2011 V	200,000,000	2010/10/14	2011/03/30	61.00
64718	UBS AG – HSI R Bear CBBC Mar 2011 W	200,000,000	2010/10/14	2011/03/30	55.00
64719	UBS AG – HSI R Bear CBBC Apr 2011 J	200,000,000	2010/10/14	2011/04/28	62.00
64733	Credit Suisse AG – China Mobile R Bull CBBC Feb 2011 B	100,000,000	2010/10/15	2011/02/07	25.00
64734	Credit Suisse AG – China Mobile R Bull CBBC Feb 2011 C	100,000,000	2010/10/15	2011/02/07	25.00
64738	Credit Suisse AG – China Mobile R Bear CBBC Feb 2011 D	100,000,000	2010/10/15	2011/02/07	25.00
64739	Credit Suisse AG – China Mobile R Bear CBBC Feb 2011 E	100,000,000	2010/10/15	2011/02/07	25.00
64736	Credit Suisse AG – A50 China R Bull CBBC Feb 2011 A	100,000,000	2010/10/15	2011/02/07	25.00
64741	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 B	100,000,000	2010/10/15	2011/02/07	25.00
64735	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 D	100,000,000	2010/10/15	2011/02/07	25.00
64740	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 E	100,000,000	2010/10/15	2011/02/07	35.00
64731	Credit Suisse AG – HSI R Bull CBBC Mar 2011 C	330,000,000	2010/10/15	2011/03/30	82.50
64732	Credit Suisse AG – HSI R Bull CBBC Mar 2011 D	390,000,000	2010/10/15	2011/03/30	97.50
64737	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Q	390,000,000	2010/10/15	2011/02/25	97.50
64745	Deutsche Bank AG – DJIA R Bull CBBC Mar 2011 C	80,000,000	2010/10/15	2011/03/21	20.00
64747	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 N	300,000,000	2010/10/15	2011/02/25	75.00
64746	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 P	300,000,000	2010/10/15	2011/02/25	75.00
64748	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 R	300,000,000	2010/10/15	2011/02/25	75.00
64749	Deutsche Bank AG – HSI R Bull CBBC May 2011 C	300,000,000	2010/10/15	2011/05/30	102.00
64750	Deutsche Bank AG – HSI R Bull CBBC May 2011 D	300,000,000	2010/10/15	2011/05/30	87.00
64751	Deutsche Bank AG – HSI R Bull CBBC May 2011 E	300,000,000	2010/10/15	2011/05/30	75.00
64761	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 D	300,000,000	2010/10/15	2011/01/28	75.00
64725	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 B	300,000,000	2010/10/15	2011/06/29	105.00
64727	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 C	300,000,000	2010/10/15	2011/06/29	90.00
64729	J P Morgan SP BV – HSI R Bull CBBC Jan 2011 S	200,000,000	2010/10/15	2011/01/28	50.00
64760	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 X	128,000,000	2010/10/15	2011/02/25	32.00
64720	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 D	128,000,000	2010/10/15	2011/04/28	40.96
64722	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 E	128,000,000	2010/10/15	2011/04/28	35.84
64724	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 F	128,000,000	2010/10/15	2011/04/28	46.08
64744	Macquarie Bank Ltd. – HSI R Bull CBBC Jan 2011 C	150,000,000	2010/10/15	2011/01/28	22.50
64742	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11V	300,000,000	2010/10/15	2011/01/28	75.00
64743	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11W	300,000,000	2010/10/15	2011/01/28	75.00
64752	UBS AG – BYD Company R Bull CBBC Feb 2011 B	100,000,000	2010/10/15	2011/02/28	25.00
64756	UBS AG – Cheung Kong R Bear CBBC Mar 2011 A	100,000,000	2010/10/15	2011/03/07	25.00
64755	UBS AG – A50 China R Bull CBBC Feb 2011 D	100,000,000	2010/10/15	2011/02/28	25.00

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64753	UBS AG – HKEs R Bull CBBC Feb 2011 L	100,000,000	2010/10/15	2011/02/28	25.00
64759	UBS AG – HSI R Bull CBBC Jan 2011 R	200,000,000	2010/10/15	2011/01/28	50.00
64754	UBS AG – Hutchison R Bull CBBC Feb 2011 C	100,000,000	2010/10/15	2011/02/21	25.00
64758	UBS AG – Hutchison R Bear CBBC Feb 2011 D	100,000,000	2010/10/15	2011/02/28	25.00
64757	UBS AG – Hutchison R Bear CBBC Mar 2011 A	100,000,000	2010/10/15	2011/03/07	25.00
64320#	Credit Suisse AG – HSI R Bear CBBC Mar 2011 A	390,000,000	2010/10/15	2011/03/30	46.02
64768	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 E	100,000,000	2010/10/18	2011/09/29	25.00
64769	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 D	100,000,000	2010/10/18	2011/10/28	25.00
64772	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 F	100,000,000	2010/10/18	2011/09/29	25.00
64771	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2011 B	100,000,000	2010/10/18	2011/11/29	25.00
64792	Credit Suisse AG – A50 China R Bull CBBC Feb 2011 C	100,000,000	2010/10/18	2011/02/21	25.00
64806	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 D	100,000,000	2010/10/18	2011/02/21	28.50
64788	Credit Suisse AG – HKEs R Bull CBBC Feb 2011 F	100,000,000	2010/10/18	2011/02/21	25.00
64804	Credit Suisse AG – HKEs R Bear CBBC Feb 2011 G	100,000,000	2010/10/18	2011/02/21	38.00
64793	Credit Suisse AG – HSI R Bull CBBC Mar 2011 E	300,000,000	2010/10/18	2011/03/30	75.00
64794	Credit Suisse AG – HSI R Bull CBBC Mar 2011 F	300,000,000	2010/10/18	2011/03/30	75.00
64796	Credit Suisse AG – HSI R Bull CBBC Apr 2011 A	380,000,000	2010/10/18	2011/04/28	95.00
64801	Credit Suisse AG – HSI R Bull CBBC Apr 2011 B	330,000,000	2010/10/18	2011/04/28	82.50
64767	Credit Suisse AG – HSI R Bear CBBC Jan 2011 F	300,000,000	2010/10/18	2011/01/28	75.00
64808	Credit Suisse AG – HSI R Bear CBBC Feb 2011 H	300,000,000	2010/10/18	2011/02/25	78.00
64807	Credit Suisse AG – HSI R Bear CBBC Feb 2011 T	300,000,000	2010/10/18	2011/02/25	75.00
64809	Credit Suisse AG – HSI R Bear CBBC Mar 2011 G	330,000,000	2010/10/18	2011/03/30	82.50
64810	Credit Suisse AG – HSI R Bear CBBC Mar 2011 H	330,000,000	2010/10/18	2011/03/30	82.50
64812	Credit Suisse AG – HSI R Bear CBBC Apr 2011 C	380,000,000	2010/10/18	2011/04/28	95.00
64791	Credit Suisse AG – TCH R Bull CBBC Feb 2011 B	100,000,000	2010/10/18	2011/02/21	25.00
64805	Credit Suisse AG – TCH R Bear CBBC Feb 2011 C	100,000,000	2010/10/18	2011/02/21	40.00
64787	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 R	300,000,000	2010/10/18	2011/03/30	75.00
64776	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 X	300,000,000	2010/10/18	2011/01/28	84.00
64775	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 Z	300,000,000	2010/10/18	2011/01/28	75.00
64825	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 A	200,000,000	2010/10/18	2011/02/25	50.00
64813	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 Z	128,000,000	2010/10/18	2011/02/25	32.00
64773	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 Y	128,000,000	2010/10/18	2011/02/25	32.00
64777	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 F	200,000,000	2010/10/18	2011/04/28	50.00
64778	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 G	200,000,000	2010/10/18	2011/04/28	50.00
64782	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 H	200,000,000	2010/10/18	2011/04/28	50.00
64783	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 I	200,000,000	2010/10/18	2011/04/28	50.00
64784	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 J	200,000,000	2010/10/18	2011/04/28	50.00
64779	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11X	300,000,000	2010/10/18	2011/01/28	75.00
64780	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11Y	300,000,000	2010/10/18	2011/01/28	75.00
64781	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11Z	300,000,000	2010/10/18	2011/01/28	75.00
64762	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11A	300,000,000	2010/10/18	2011/04/28	75.00
64763	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11B	300,000,000	2010/10/18	2011/04/28	97.50
64764	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11C	300,000,000	2010/10/18	2011/04/28	121.50
64765	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11D	300,000,000	2010/10/18	2011/04/28	84.00
64766	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11E	300,000,000	2010/10/18	2011/04/28	108.00

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64785	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11F	300,000,000	2010/10/18	2011/04/28	123.00
64786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11G	300,000,000	2010/10/18	2011/04/28	84.00
64826	UBS AG – China Life R Bear CBBC Feb 2011 H	100,000,000	2010/10/18	2011/02/07	32.00
64822	UBS AG – A50 China R Bull CBBC Feb 2011 E	100,000,000	2010/10/18	2011/02/21	25.00
64824	UBS AG – A50 China R Bear CBBC Mar 2011 A	100,000,000	2010/10/18	2011/03/14	25.00
64828	UBS AG – HKEx R Bear CBBC Mar 2011 B	100,000,000	2010/10/18	2011/03/14	25.50
64816	UBS AG – HSI R Bull CBBC Jan 2011 K	200,000,000	2010/10/18	2011/01/28	50.00
64815	UBS AG – HSI R Bull CBBC Apr 2011 K	300,000,000	2010/10/18	2011/04/28	75.00
64770	UBS AG – HSI R Bear CBBC Jan 2011 N	200,000,000	2010/10/18	2011/01/28	50.00
64817	UBS AG – HSI R Bear CBBC Feb 2011 D	200,000,000	2010/10/18	2011/02/25	50.00
64818	UBS AG – HSI R Bear CBBC Mar 2011 X	200,000,000	2010/10/18	2011/03/30	50.00
64819	UBS AG – HSI R Bear CBBC Apr 2011 L	300,000,000	2010/10/18	2011/04/28	75.00
64820	UBS AG – HSI R Bear CBBC Apr 2011 M	300,000,000	2010/10/18	2011/04/28	75.00
64821	UBS AG – HSI R Bear CBBC May 2011 A	300,000,000	2010/10/18	2011/05/30	75.00
64829	UBS AG – TCH R Bear CBBC Mar 2011 A	100,000,000	2010/10/18	2011/03/07	25.00
64841	Credit Suisse AG – A50 China R Bull CBBC Feb 2011 E	100,000,000	2010/10/19	2011/02/21	25.00
64845	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 F	100,000,000	2010/10/19	2011/02/21	25.00
64832	Credit Suisse AG – HSI R Bull CBBC Mar 2011 I	390,000,000	2010/10/19	2011/03/30	97.50
64842	Credit Suisse AG – HSI R Bear CBBC Jan 2011 C	390,000,000	2010/10/19	2011/01/28	97.50
64843	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 C	100,000,000	2010/10/19	2011/02/21	25.00
64844	Credit Suisse AG – TCH R Bear CBBC Feb 2011 D	100,000,000	2010/10/19	2011/02/21	25.00
64834	Deutsche Bank AG – HSCEI R Bull CBBC Feb 2011 A	100,000,000	2010/10/19	2011/02/25	32.00
64839	Deutsche Bank AG – HSCEI R Bull CBBC Feb 2011 B	100,000,000	2010/10/19	2011/02/25	25.00
64840	Deutsche Bank AG – HSCEI R Bear CBBC Feb 2011 C	100,000,000	2010/10/19	2011/02/25	34.00
64833	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 V	300,000,000	2010/10/19	2011/02/25	75.00
64852	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 D	400,000,000	2010/10/19	2011/06/29	100.00
64846	UBS AG – China Life R Bear CBBC Mar 2011 A	100,000,000	2010/10/19	2011/03/07	55.00
64850	UBS AG – HSCEI R Bull CBBC Feb 2011 F	100,000,000	2010/10/19	2011/02/25	25.00
64831	UBS AG – HSI R Bull CBBC Feb 2011 Y	200,000,000	2010/10/19	2011/02/25	50.00
64851	UBS AG – HSI R Bear CBBC Feb 2011 E	200,000,000	2010/10/19	2011/02/25	50.00
64847	UBS AG – TCH R Bear CBBC Mar 2011 B	100,000,000	2010/10/19	2011/03/14	25.00
64849	UBS AG – TCH R Bear CBBC Mar 2011 C	100,000,000	2010/10/19	2011/03/21	25.00
64386 [#]	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 B	300,000,000	2010/10/19	2011/04/28	37.20
64898	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2011 G	100,000,000	2010/10/20	2011/07/28	25.00
64899	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Aug 2011 I	100,000,000	2010/10/20	2011/08/30	25.00
64902	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2011 H	100,000,000	2010/10/20	2011/07/28	25.00
64904	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Aug 2011 J	100,000,000	2010/10/20	2011/08/30	25.00
64900	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Sep 2011 G	100,000,000	2010/10/20	2011/09/29	30.00
64901	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 E	100,000,000	2010/10/20	2011/10/28	32.00
64863	Credit Suisse AG – CK(H) R Bear CBBC Jan 2011 A	100,000,000	2010/10/20	2011/01/24	25.00
64864	Credit Suisse AG – CK(H) R Bear CBBC Feb 2011 A	100,000,000	2010/10/20	2011/02/14	25.00
64865	Credit Suisse AG – CK(H) R Bear CBBC Feb 2011 B	100,000,000	2010/10/20	2011/02/28	25.00
64878	Credit Suisse AG – HSI R Bull CBBC Mar 2011 J	390,000,000	2010/10/20	2011/03/30	97.50
64868	Credit Suisse AG – HSI R Bear CBBC Jan 2011 T	390,000,000	2010/10/20	2011/01/28	97.50
64879	Credit Suisse AG – HSI R Bear CBBC Mar 2011 K	390,000,000	2010/10/20	2011/03/30	97.50

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64880	Credit Suisse AG – HSI R Bear CBBC Mar 2011 L	390,000,000	2010/10/20	2011/03/30	97.50
64866	Credit Suisse AG – SHK Ppt R Bear CBBC Jan 2011 A	100,000,000	2010/10/20	2011/01/24	25.00
64867	Credit Suisse AG – SHK Ppt R Bear CBBC Feb 2011 A	100,000,000	2010/10/20	2011/02/14	25.00
64877	Credit Suisse AG – TCH R Bull CBBC Mar 2011 A	100,000,000	2010/10/20	2011/03/14	25.00
64881	Credit Suisse AG – TCH R Bear CBBC Mar 2011 B	100,000,000	2010/10/20	2011/03/14	39.50
64897	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 S	300,000,000	2010/10/20	2011/01/28	75.00
64869	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 Y	300,000,000	2010/10/20	2011/02/25	75.00
64882	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 E	128,000,000	2010/10/20	2011/01/28	32.00
64883	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 G	128,000,000	2010/10/20	2011/02/25	32.00
64884	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 J	158,000,000	2010/10/20	2011/03/30	39.50
64885	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 K	128,000,000	2010/10/20	2011/03/30	32.00
64859	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Mar11A	150,000,000	2010/10/20	2011/03/28	37.50
64860	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar11B	150,000,000	2010/10/20	2011/03/28	37.50
64861	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar11C	150,000,000	2010/10/20	2011/03/28	37.50
64857	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Mar11A	150,000,000	2010/10/20	2011/03/28	46.50
64858	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC Mar11B	150,000,000	2010/10/20	2011/03/28	37.50
64855	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11I	300,000,000	2010/10/20	2011/01/28	75.00
64862	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Mar11A	100,000,000	2010/10/20	2011/03/28	31.00
64886	UBS AG – Sinopec Corp R Bear CBBC Mar 2011 A	100,000,000	2010/10/20	2011/03/14	25.00
64871	UBS AG – A50 China R Bear CBBC Mar 2011 B	100,000,000	2010/10/20	2011/03/28	25.00
64870	UBS AG – HSI R Bull CBBC Jan 2011 W	200,000,000	2010/10/20	2011/01/28	50.00
64887	UBS AG – HSI R Bull CBBC Feb 2011 S	200,000,000	2010/10/20	2011/02/25	50.00
64876	UBS AG – HSI R Bear CBBC Jan 2011 H	200,000,000	2010/10/20	2011/01/28	50.00
64888	UBS AG – HSI R Bear CBBC Mar 2011 Y	200,000,000	2010/10/20	2011/03/30	50.00
64892	UBS AG – HSI R Bear CBBC Mar 2011 Z	200,000,000	2010/10/20	2011/03/30	50.00
64893	UBS AG – HSI R Bear CBBC Apr 2011 N	200,000,000	2010/10/20	2011/04/28	50.00
64894	UBS AG – HSI R Bear CBBC Apr 2011 O	200,000,000	2010/10/20	2011/04/28	76.00
64895	UBS AG – HSI R Bear CBBC Apr 2011 P	200,000,000	2010/10/20	2011/04/28	68.00
64872	UBS AG – TCH R Bull CBBC Feb 2011 E	100,000,000	2010/10/20	2011/02/28	26.00
64873	UBS AG – TCH R Bull CBBC Feb 2011 F	100,000,000	2010/10/20	2011/02/21	25.00
64874	UBS AG – TCH R Bear CBBC Mar 2011 D	100,000,000	2010/10/20	2011/03/14	30.50
64875	UBS AG – TCH R Bear CBBC Mar 2011 E	100,000,000	2010/10/20	2011/03/28	40.50
64648 [#]	Credit Suisse AG – HSI R Bull CBBC Jan 2011 H	390,000,000	2010/10/20	2011/01/28	46.02
63541 [#]	J P Morgan SP BV – HSI R Bear CBBC Jan 2011 A	528,000,000	2010/10/20	2011/01/28	10.03
64934	Credit Suisse AG – A50 China R Bull CBBC Feb 2011 G	100,000,000	2010/10/21	2011/02/07	25.00
64933	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 H	100,000,000	2010/10/21	2011/02/21	25.00
64942	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 A	100,000,000	2010/10/21	2011/03/21	39.00
64940	Credit Suisse AG – HSBC R Bear CBBC Mar 2011 A	100,000,000	2010/10/21	2011/03/21	25.00
64930	Credit Suisse AG – HSI R Bull CBBC Mar 2011 O	300,000,000	2010/10/21	2011/03/30	75.00
64931	Credit Suisse AG – HSI R Bull CBBC Apr 2011 E	380,000,000	2010/10/21	2011/04/28	95.00
64932	Credit Suisse AG – HSI R Bull CBBC Apr 2011 F	390,000,000	2010/10/21	2011/04/28	97.50
64916	Credit Suisse AG – HSI R Bear CBBC Jan 2011 E	300,000,000	2010/10/21	2011/01/28	75.00
64915	Credit Suisse AG – HSI R Bear CBBC Jan 2011 Q	390,000,000	2010/10/21	2011/01/28	97.50
64935	Credit Suisse AG – HSI R Bear CBBC Feb 2011 I	300,000,000	2010/10/21	2011/02/25	117.00
64918	Credit Suisse AG – HSI R Bear CBBC Feb 2011 X	390,000,000	2010/10/21	2011/02/25	97.50

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64919	Credit Suisse AG – HSI R Bear CBBC Mar 2011 M	390,000,000	2010/10/21	2011/03/30	97.50
64920	Credit Suisse AG – HSI R Bear CBBC Mar 2011 N	390,000,000	2010/10/21	2011/03/30	97.50
64936	Credit Suisse AG – HSI R Bear CBBC Mar 2011 P	390,000,000	2010/10/21	2011/03/30	128.70
64922	Credit Suisse AG – HSI R Bear CBBC Apr 2011 D	390,000,000	2010/10/21	2011/04/28	97.50
64937	Credit Suisse AG – HSI R Bear CBBC Apr 2011 G	380,000,000	2010/10/21	2011/04/28	95.00
64938	Credit Suisse AG – HSI R Bear CBBC Apr 2011 H	300,000,000	2010/10/21	2011/04/28	136.50
64939	Credit Suisse AG – HSI R Bear CBBC Apr 2011 I	390,000,000	2010/10/21	2011/04/28	169.65
64944	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 K	300,000,000	2010/10/21	2011/01/28	75.00
64943	Deutsche Bank AG – HSI R Bull CBBC Jan 2011 R	300,000,000	2010/10/21	2011/01/28	81.00
64972	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 T	300,000,000	2010/10/21	2011/03/30	75.00
64973	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 U	300,000,000	2010/10/21	2011/03/30	78.00
64974	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 G	300,000,000	2010/10/21	2011/04/28	81.00
64975	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 H	300,000,000	2010/10/21	2011/04/28	87.00
64946	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 H	300,000,000	2010/10/21	2011/01/28	78.00
64945	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 U	300,000,000	2010/10/21	2011/01/28	75.00
64913	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 F	300,000,000	2010/10/21	2011/02/25	81.00
64914	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 S	300,000,000	2010/10/21	2011/03/30	75.00
64976	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 I	300,000,000	2010/10/21	2011/04/28	78.00
64977	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 J	300,000,000	2010/10/21	2011/04/28	84.00
64978	Deutsche Bank AG – HSI R Bear CBBC May 2011 F	300,000,000	2010/10/21	2011/05/30	75.00
64981	Deutsche Bank AG – HSI R Bear CBBC May 2011 G	300,000,000	2010/10/21	2011/05/30	75.00
64983	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 A	300,000,000	2010/10/21	2011/07/28	81.00
64984	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 B	300,000,000	2010/10/21	2011/07/28	75.00
64925	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 T	200,000,000	2010/10/21	2011/04/28	50.00
64926	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 U	200,000,000	2010/10/21	2011/04/28	50.00
64947	HK Bank – A50 R Bull CBBC May 2011 A	150,000,000	2010/10/21	2011/05/18	37.50
64948	HK Bank – A50 R Bull CBBC May 2011 B	120,000,000	2010/10/21	2011/05/18	30.00
64952	HK Bank – A50 R Bear CBBC May 2011 C	120,000,000	2010/10/21	2011/05/18	30.00
64954	HK Bank – A50 R Bear CBBC May 2011 D	150,000,000	2010/10/21	2011/05/18	37.50
64896	HK Bank – HSI R Bull CBBC Mar 2011 G	150,000,000	2010/10/21	2011/03/30	37.50
64905	HK Bank – HSI R Bull CBBC Mar 2011 H	150,000,000	2010/10/21	2011/03/30	37.50
64906	HK Bank – HSI R Bull CBBC Apr 2011 D	300,000,000	2010/10/21	2011/04/28	75.00
64909	HK Bank – HSI R Bear CBBC Mar 2011 I	200,000,000	2010/10/21	2011/03/30	50.00
64910	HK Bank – HSI R Bear CBBC Mar 2011 J	200,000,000	2010/10/21	2011/03/30	50.00
64911	HK Bank – HSI R Bear CBBC Mar 2011 K	200,000,000	2010/10/21	2011/03/30	50.00
64907	HK Bank – HSI R Bear CBBC May 2011 A	200,000,000	2010/10/21	2011/05/30	50.00
64908	HK Bank – HSI R Bear CBBC May 2011 B	300,000,000	2010/10/21	2011/05/30	75.00
64949	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 B	200,000,000	2010/10/21	2011/02/25	50.00
64950	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 C	200,000,000	2010/10/21	2011/02/25	50.00
64951	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 D	200,000,000	2010/10/21	2011/02/25	50.00
64955	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 E	200,000,000	2010/10/21	2011/02/25	50.00
64956	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 F	400,000,000	2010/10/21	2011/02/25	100.00
64957	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 G	200,000,000	2010/10/21	2011/02/25	50.00
64958	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 H	200,000,000	2010/10/21	2011/02/25	50.00
64959	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 I	200,000,000	2010/10/21	2011/02/25	50.00

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64960	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 J	200,000,000	2010/10/21	2011/02/25	50.00
64961	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 K	400,000,000	2010/10/21	2011/02/25	100.00
64962	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 L	200,000,000	2010/10/21	2011/02/25	50.00
64963	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 A	200,000,000	2010/10/21	2011/03/30	50.00
64964	J P Morgan SP BV – HSI R Bear CBBC Apr 2011 A	400,000,000	2010/10/21	2011/04/28	100.00
64965	J P Morgan SP BV – HSI R Bear CBBC May 2011 A	200,000,000	2010/10/21	2011/05/30	50.00
64968	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Jan 2011 F	128,000,000	2010/10/21	2011/01/28	32.00
64969	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 K	128,000,000	2010/10/21	2011/02/25	32.00
64966	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 M	128,000,000	2010/10/21	2011/02/25	32.00
64970	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 L	128,000,000	2010/10/21	2011/03/30	32.00
64971	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 M	128,000,000	2010/10/21	2011/03/30	42.24
64987	UBS AG – China Mobile R Bull CBBC Feb 2011 B	100,000,000	2010/10/21	2011/02/28	25.00
64985	UBS AG – China Life R Bull CBBC Feb 2011 I	100,000,000	2010/10/21	2011/02/28	25.00
64986	UBS AG – China Life R Bull CBBC Mar 2011 B	100,000,000	2010/10/21	2011/03/07	32.00
64994	UBS AG – China Life R Bear CBBC Mar 2011 C	100,000,000	2010/10/21	2011/03/14	48.50
64996	UBS AG – China Life R Bear CBBC Mar 2011 D	100,000,000	2010/10/21	2011/03/28	59.00
64990	UBS AG – A50 China R Bull CBBC Feb 2011 F	100,000,000	2010/10/21	2011/02/21	25.00
64998	UBS AG – A50 China R Bear CBBC Mar 2011 C	100,000,000	2010/10/21	2011/03/28	25.00
64988	UBS AG – HKEx R Bull CBBC Feb 2011 M	100,000,000	2010/10/21	2011/02/21	25.00
64997	UBS AG – HKEx R Bear CBBC Mar 2011 C	100,000,000	2010/10/21	2011/03/28	27.00
64991	UBS AG – HSI R Bull CBBC Jan 2011 Z	200,000,000	2010/10/21	2011/01/28	50.00
64992	UBS AG – HSI R Bull CBBC Mar 2011 D	200,000,000	2010/10/21	2011/03/30	50.00
64993	UBS AG – HSI R Bull CBBC Mar 2011 K	200,000,000	2010/10/21	2011/03/30	50.00
64912	UBS AG – HSI R Bear CBBC Feb 2011 C	200,000,000	2010/10/21	2011/02/25	50.00
65000	UBS AG – HSI R Bear CBBC Mar 2011 A	200,000,000	2010/10/21	2011/03/30	50.00
64967	UBS AG – HSI R Bear CBBC Mar 2011 B	200,000,000	2010/10/21	2011/03/30	50.00
65001	UBS AG – HSI R Bear CBBC Mar 2011 L	200,000,000	2010/10/21	2011/03/30	50.00
64999	UBS AG – HSI R Bear CBBC Apr 2011 Q	200,000,000	2010/10/21	2011/04/28	50.00
65002	UBS AG – HSI R Bear CBBC Apr 2011 R	200,000,000	2010/10/21	2011/04/28	50.00
64989	UBS AG – ICBC R Bull CBBC Feb 2011 A	100,000,000	2010/10/21	2011/02/21	25.00
65023	Credit Suisse AG – CK(H) R Bear CBBC Mar 2011 A	100,000,000	2010/10/22	2011/03/21	25.00
65028	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 A	100,000,000	2010/10/22	2011/03/21	27.00
65033	Credit Suisse AG – HKEx R Bull CBBC Mar 2011 C	100,000,000	2010/10/22	2011/03/28	25.00
65025	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 B	100,000,000	2010/10/22	2011/03/21	57.00
65018	Credit Suisse AG – HSI R Bull CBBC Mar 2011 Q	390,000,000	2010/10/22	2011/03/30	97.50
65029	Credit Suisse AG – HSI R Bear CBBC Jan 2011 K	390,000,000	2010/10/22	2011/01/28	97.50
65032	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Y	390,000,000	2010/10/22	2011/02/25	97.50
65024	Credit Suisse AG – SHK Ppt R Bear CBBC Feb 2011 B	100,000,000	2010/10/22	2011/02/28	25.00
65017	Credit Suisse AG – TCH R Bull CBBC Feb 2011 E	100,000,000	2010/10/22	2011/02/28	25.00
65026	Credit Suisse AG – TCH R Bear CBBC Feb 2011 F	100,000,000	2010/10/22	2011/02/28	25.00
65027	Credit Suisse AG – TCH R Bear CBBC Mar 2011 C	100,000,000	2010/10/22	2011/03/21	54.00
65030	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 H	300,000,000	2010/10/22	2011/02/25	75.00
65031	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 K	300,000,000	2010/10/22	2011/04/28	90.00
65060	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 L	300,000,000	2010/10/22	2011/04/28	75.00
65061	Deutsche Bank AG – HSI R Bull CBBC May 2011 H	300,000,000	2010/10/22	2011/05/30	75.00

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65062	Deutsche Bank AG – HSI R Bull CBBC May 2011 I	300,000,000	2010/10/22	2011/05/30	87.00
65034	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 V	200,000,000	2010/10/22	2011/04/28	50.00
65035	Goldman Sachs SP (Asia) – HSI R Bull CBBC Apr 2011 W	200,000,000	2010/10/22	2011/04/28	50.00
65040	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 X	200,000,000	2010/10/22	2011/04/28	50.00
65015	HK Bank – HSI R Bull CBBC Jun 2011 A	200,000,000	2010/10/22	2011/06/29	50.00
65016	HK Bank – HSI R Bull CBBC Jun 2011 B	150,000,000	2010/10/22	2011/06/29	37.50
65020	HK Bank – HSI R Bear CBBC Jun 2011 C	180,000,000	2010/10/22	2011/06/29	45.00
65021	HK Bank – HSI R Bear CBBC Jun 2011 D	180,000,000	2010/10/22	2011/06/29	45.00
65022	HK Bank – HSI R Bear CBBC Jun 2011 E	250,000,000	2010/10/22	2011/06/29	62.50
65044	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 M	200,000,000	2010/10/22	2011/02/25	50.00
65063	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 N	128,000,000	2010/10/22	2011/03/30	32.00
65066	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 O	128,000,000	2010/10/22	2011/03/30	32.00
65036	Macquarie Bank Ltd. – HSI R Bear CBBC Feb 2011 C	200,000,000	2010/10/22	2011/02/25	30.00
65043	RB of Scotland N.V. – HSCEI R Bear CBBC Jan 2011 D	250,000,000	2010/10/22	2011/01/28	62.50
65037	RB of Scotland N.V. – HSI R Bull CBBC Jan 2011 E	250,000,000	2010/10/22	2011/01/28	62.50
65038	RB of Scotland N.V. – HSI R Bull CBBC Jan 2011 F	250,000,000	2010/10/22	2011/01/28	62.50
65041	RB of Scotland N.V. – HSI R Bear CBBC Jan 2011 G	250,000,000	2010/10/22	2011/01/28	62.50
65042	RB of Scotland N.V. – HSI R Bear CBBC Jan 2011 H	250,000,000	2010/10/22	2011/01/28	62.50
65003	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Mar11D	150,000,000	2010/10/22	2011/03/28	37.50
65009	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11C	300,000,000	2010/10/22	2011/01/28	75.00
65007	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11D	300,000,000	2010/10/22	2011/01/28	75.00
65006	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11E	300,000,000	2010/10/22	2011/01/28	75.00
65005	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11F	300,000,000	2010/10/22	2011/01/28	75.00
65004	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11G	300,000,000	2010/10/22	2011/01/28	75.00
65008	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jan11H	300,000,000	2010/10/22	2011/01/28	75.00
65010	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11H	300,000,000	2010/10/22	2011/04/28	87.00
65011	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11I	300,000,000	2010/10/22	2011/04/28	108.00
65012	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11J	300,000,000	2010/10/22	2011/04/28	75.00
65013	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11K	300,000,000	2010/10/22	2011/04/28	97.50
65014	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11L	300,000,000	2010/10/22	2011/04/28	120.00
65047	UBS AG – A50 China R Bull CBBC Feb 2011 G	100,000,000	2010/10/22	2011/02/07	25.00
65045	UBS AG – HKEx R Bull CBBC Feb 2011 N	100,000,000	2010/10/22	2011/02/14	25.00
65053	UBS AG – HKEx R Bear CBBC Mar 2011 D	100,000,000	2010/10/22	2011/03/21	31.50
65048	UBS AG – HSCEI R Bull CBBC Mar 2011 E	100,000,000	2010/10/22	2011/03/30	25.00
65055	UBS AG – HSCEI R Bear CBBC Apr 2011 A	100,000,000	2010/10/22	2011/04/28	25.00
65059	UBS AG – HSCEI R Bear CBBC Apr 2011 B	100,000,000	2010/10/22	2011/04/28	25.00
65049	UBS AG – HSI R Bull CBBC Mar 2011 O	200,000,000	2010/10/22	2011/03/30	50.00
65050	UBS AG – HSI R Bull CBBC Apr 2011 S	300,000,000	2010/10/22	2011/04/28	75.00
65054	UBS AG – ICBC R Bear CBBC Feb 2011 B	100,000,000	2010/10/22	2011/02/28	25.00
65082	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 I	100,000,000	2010/10/25	2011/02/21	45.50
65068	Credit Suisse AG – HSI R Bull CBBC Apr 2011 J	390,000,000	2010/10/25	2011/04/28	97.50
65081	Credit Suisse AG – HSI R Bear CBBC Feb 2011 J	390,000,000	2010/10/25	2011/02/25	97.50
65072	Deutsche Bank AG – DJIA R Bear CBBC Jun 2011 A	80,000,000	2010/10/25	2011/06/20	20.00
65076	Deutsche Bank AG – HSCEI R Bull CBBC Apr 2011 A	150,000,000	2010/10/25	2011/04/28	37.50
65078	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 B	150,000,000	2010/10/25	2011/04/28	52.50

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65073	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 I	300,000,000	2010/10/25	2011/01/28	75.00
65074	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 A	300,000,000	2010/10/25	2011/02/25	87.00
65075	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 V	300,000,000	2010/10/25	2011/03/30	87.00
65085	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2011 H	128,000,000	2010/10/25	2011/01/28	32.00
65083	RB of Scotland N.V. – HSI R Bull CBBC Jan 2011 I	250,000,000	2010/10/25	2011/01/28	62.50
65070	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11A	300,000,000	2010/10/25	2011/01/28	75.00
65069	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11B	300,000,000	2010/10/25	2011/01/28	75.00
65071	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan11U	300,000,000	2010/10/25	2011/01/28	75.00
65079	UBS AG – BOCL R Bull CBBC Feb 2011 A	100,000,000	2010/10/25	2011/02/14	25.00
65056	UBS AG – HSI R Bull CBBC Jan 2011 N	200,000,000	2010/10/25	2011/01/28	50.00
65080	UBS AG – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/10/25	2011/03/30	50.00
65091	Credit Suisse AG – HSI R Bull CBBC Apr 2011 K	300,000,000	2010/10/26	2011/04/28	75.00
65089	Credit Suisse AG – HSI R Bear CBBC Jan 2011 F	300,000,000	2010/10/26	2011/01/28	75.00
65092	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 C	300,000,000	2010/10/26	2011/07/28	90.00
65093	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 D	300,000,000	2010/10/26	2011/07/28	117.00
65094	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 A	400,000,000	2010/10/26	2011/09/29	100.00
65095	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 B	400,000,000	2010/10/26	2011/09/29	112.00
65086	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2011 Y	200,000,000	2010/10/26	2011/04/28	50.00
65087	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 N	200,000,000	2010/10/26	2011/02/25	50.00
65088	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 O	300,000,000	2010/10/26	2011/02/25	75.00
65097	UBS AG – HSCEI R Bull CBBC Feb 2011 G	100,000,000	2010/10/26	2011/02/25	25.00
65098	UBS AG – HSCEI R Bear CBBC Mar 2011 F	100,000,000	2010/10/26	2011/03/30	25.00
65096	UBS AG – HSI R Bear CBBC Feb 2011 E	200,000,000	2010/10/26	2011/02/25	50.00
64732 [#]	Credit Suisse AG – HSI R Bull CBBC Mar 2011 D	390,000,000	2010/10/26	2011/03/30	29.25
64316 [#]	Credit Suisse AG – HSI R Bear CBBC Feb 2011 P	390,000,000	2010/10/26	2011/02/25	54.21
65109	Credit Suisse AG – HSI R Bull CBBC Mar 2011 R	390,000,000	2010/10/27	2011/03/30	97.50
65108	Credit Suisse AG – Hutchison R Bull CBBC Feb 2011 D	100,000,000	2010/10/27	2011/02/21	25.00
65114	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 A	300,000,000	2010/10/27	2011/08/30	75.00
65101	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 D	80,000,000	2010/10/27	2011/03/18	31.12
65102	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 E	60,000,000	2010/10/27	2011/03/18	15.00
65105	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 F	60,000,000	2010/10/27	2011/03/18	35.82
65106	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 G	60,000,000	2010/10/27	2011/03/18	21.06
65099	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Apr11A	200,000,000	2010/10/27	2011/04/28	50.00
65100	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Apr11B	200,000,000	2010/10/27	2011/04/28	50.00
65103	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Apr11C	200,000,000	2010/10/27	2011/04/28	50.00
65104	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Apr11D	200,000,000	2010/10/27	2011/04/28	50.00
65112	UBS AG – China Life R Bull CBBC Feb 2011 J	100,000,000	2010/10/27	2011/02/14	25.00
65113	UBS AG – HSI R Bull CBBC Jan 2011 H	200,000,000	2010/10/27	2011/01/28	50.00
65110	UBS AG – Hutchison R Bull CBBC Feb 2011 E	100,000,000	2010/10/27	2011/02/14	25.00
65111	UBS AG – Hutchison R Bear CBBC Mar 2011 B	100,000,000	2010/10/27	2011/03/14	25.00
65125	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 H	100,000,000	2010/10/28	2011/09/29	25.00
65123	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 F	100,000,000	2010/10/28	2011/10/28	25.00
65124	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 G	100,000,000	2010/10/28	2011/10/28	25.00
65128	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 H	100,000,000	2010/10/28	2011/10/28	25.00
65117	Credit Suisse AG – TCH R Bull CBBC Feb 2011 G	100,000,000	2010/10/28	2011/02/28	25.00

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65121	Credit Suisse AG – TCH R Bull CBBC Mar 2011 D	100,000,000	2010/10/28	2011/03/21	25.00
65122	Deutsche Bank AG – HSI R Bear CBBC Jan 2011 V	300,000,000	2010/10/28	2011/01/28	75.00
65129	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 A	128,000,000	2010/10/28	2011/02/25	32.00
65132	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 G	128,000,000	2010/10/28	2011/04/28	32.00
65126	UBS AG – China Tel R Bull CBBC Feb 2011 A	100,000,000	2010/10/28	2011/02/21	25.00
65130	UBS AG – China Tel R Bear CBBC Feb 2011 B	100,000,000	2010/10/28	2011/02/21	25.00
65115	UBS AG – HSI R Bull CBBC Jan 2011 V	200,000,000	2010/10/28	2011/01/28	50.00
65127	UBS AG – Jiangxi Copper R Bull CBBC Feb 2011 C	100,000,000	2010/10/28	2011/02/21	47.00
65131	UBS AG – Jiangxi Copper R Bear CBBC Feb 2011 D	100,000,000	2010/10/28	2011/02/21	58.00
64312 [#]	Credit Suisse AG – HSI R Bear CBBC Feb 2011 N	390,000,000	2010/10/28	2011/02/25	29.25
65137	Credit Suisse AG – A50 China R Bull CBBC Mar 2011 B	100,000,000	2010/10/29	2011/03/21	25.00
65138	Credit Suisse AG – HKEx R Bear CBBC Feb 2011 J	100,000,000	2010/10/29	2011/02/21	25.00
65135	Credit Suisse AG – Hutchison R Bull CBBC Feb 2011 E	100,000,000	2010/10/29	2011/02/21	25.00
65139	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 F	100,000,000	2010/10/29	2011/02/21	25.00
65140	Credit Suisse AG – Hutchison R Bear CBBC Feb 2011 G	100,000,000	2010/10/29	2011/02/28	25.00
65134	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 E	300,000,000	2010/10/29	2011/02/25	75.00
65145	Deutsche Bank AG – HSI R Bull CBBC May 2011 J	300,000,000	2010/10/29	2011/05/30	75.00
65133	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11L	300,000,000	2010/10/29	2011/02/25	75.00
65141	UBS AG – CC Bank R Bull CBBC Feb 2011 C	100,000,000	2010/10/29	2011/02/14	25.00
65144	UBS AG – Sinopec Corp R Bull CBBC Feb 2011 B	100,000,000	2010/10/29	2011/02/14	25.00
65158	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 I	100,000,000	2010/11/01	2011/09/29	25.00
65157	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 I	100,000,000	2010/11/01	2011/10/28	25.00
65162	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 J	100,000,000	2010/11/01	2011/10/28	25.00
65156	Credit Suisse AG – A50 China R Bull CBBC Feb 2011 H	100,000,000	2010/11/01	2011/02/28	25.00
65153	Credit Suisse AG – HKEx R Bull CBBC Mar 2011 D	100,000,000	2010/11/01	2011/03/21	25.00
65160	Credit Suisse AG – HSI R Bull CBBC Mar 2011 S	390,000,000	2010/11/01	2011/03/30	97.50
65147	Credit Suisse AG – HSI R Bear CBBC Feb 2011 J	390,000,000	2010/11/01	2011/02/25	97.50
65150	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 B	300,000,000	2010/11/01	2011/02/25	75.00
65151	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 M	300,000,000	2010/11/01	2011/02/25	81.00
65155	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 C	300,000,000	2010/11/01	2011/02/25	75.00
65149	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 I	300,000,000	2010/11/01	2011/02/25	75.00
65154	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 L	300,000,000	2010/11/01	2011/02/25	81.00
65171	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 P	200,000,000	2010/11/01	2011/02/25	50.00
65172	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 Q	200,000,000	2010/11/01	2011/02/25	50.00
65163	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 R	128,000,000	2010/11/01	2011/02/25	32.00
65152	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11O	300,000,000	2010/11/01	2011/02/25	75.00
65146	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11P	300,000,000	2010/11/01	2011/02/25	75.00
65164	UBS AG – ABC R Bull CBBC Feb 2011 A	100,000,000	2010/11/01	2011/02/28	25.00
65165	UBS AG – ABC R Bull CBBC Feb 2011 B	100,000,000	2010/11/01	2011/02/21	25.00
65169	UBS AG – ABC R Bear CBBC Feb 2011 C	100,000,000	2010/11/01	2011/02/28	25.00
65168	UBS AG – ABC R Bear CBBC Mar 2011 A	100,000,000	2010/11/01	2011/03/07	25.00
65166	UBS AG – BOC HK R Bull CBBC Feb 2011 A	100,000,000	2010/11/01	2011/02/28	25.00
65170	UBS AG – BOC HK R Bear CBBC Feb 2011 B	100,000,000	2010/11/01	2011/02/28	25.00
65159	UBS AG – HSI R Bull CBBC Feb 2011 S	200,000,000	2010/11/01	2011/02/25	50.00
65148	UBS AG – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/11/01	2011/03/30	50.00

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65175	Credit Suisse AG – HSI R Bull CBBC Apr 2011 L	390,000,000	2010/11/02	2011/04/28	97.50
65174	Credit Suisse AG – HSI R Bear CBBC Mar 2011 T	300,000,000	2010/11/02	2011/03/30	75.00
65179	Deutsche Bank AG – China Life R Bull CBBC May 2011 A	100,000,000	2010/11/02	2011/05/23	29.00
65183	Deutsche Bank AG – China Life R Bear CBBC May 2011 B	100,000,000	2010/11/02	2011/05/23	42.00
65180	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 A	100,000,000	2010/11/02	2011/04/04	25.00
65184	Deutsche Bank AG – A50 China R Bear CBBC Apr 2011 B	100,000,000	2010/11/02	2011/04/04	25.00
65176	UBS AG – HKEx R Bull CBBC Mar 2011 E	100,000,000	2010/11/02	2011/03/14	25.00
65173	UBS AG – HSI R Bull CBBC Feb 2011 R	200,000,000	2010/11/02	2011/02/25	50.00
65177	UBS AG – HSI R Bear CBBC Feb 2011 P	200,000,000	2010/11/02	2011/02/25	50.00
65195	Credit Suisse AG – HSI R Bull CBBC Apr 2011 M	300,000,000	2010/11/03	2011/04/28	75.00
65214	Credit Suisse AG – HSI R Bear CBBC Mar 2011 U	390,000,000	2010/11/03	2011/03/30	97.50
65216	Credit Suisse AG – HSI R Bear CBBC Mar 2011 V	300,000,000	2010/11/03	2011/03/30	75.00
65215	Credit Suisse AG – TCH R Bear CBBC Feb 2011 H	100,000,000	2010/11/03	2011/02/21	25.00
65196	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 W	300,000,000	2010/11/03	2011/03/30	75.00
65197	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 X	300,000,000	2010/11/03	2011/03/30	75.00
65212	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 J	300,000,000	2010/11/03	2011/02/25	75.00
65213	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 Y	300,000,000	2010/11/03	2011/02/25	78.00
65223	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Y	300,000,000	2010/11/03	2011/03/30	75.00
65209	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 R	200,000,000	2010/11/03	2011/02/25	50.00
65210	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 S	200,000,000	2010/11/03	2011/02/25	50.00
65211	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 T	200,000,000	2010/11/03	2011/02/25	50.00
65222	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 U	200,000,000	2010/11/03	2011/02/25	50.00
65198	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 W	128,000,000	2010/11/03	2011/02/25	32.00
65199	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 P	128,000,000	2010/11/03	2011/03/30	32.00
65225	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 S	128,000,000	2010/11/03	2011/02/25	32.00
65226	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Q	158,000,000	2010/11/03	2011/03/30	39.50
65224	RB of Scotland N.V. – HSCEI R Bull CBBC Mar 2011 A	250,000,000	2010/11/03	2011/03/30	62.50
65227	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 B	250,000,000	2010/11/03	2011/03/30	62.50
65228	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 C	250,000,000	2010/11/03	2011/03/30	62.50
65181	SGA Societe Generale Acceptance N.V. – ABC R Bull CBBC Apr11A	100,000,000	2010/11/03	2011/04/27	25.00
65182	SGA Societe Generale Acceptance N.V. – ABC R Bull CBBC Apr11B	100,000,000	2010/11/03	2011/04/27	25.00
65185	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Apr11A	100,000,000	2010/11/03	2011/04/27	70.00
65186	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Apr11B	100,000,000	2010/11/03	2011/04/27	60.00
65187	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC Apr11C	100,000,000	2010/11/03	2011/04/27	49.00
65188	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC Apr11A	150,000,000	2010/11/03	2011/04/27	37.50
65201	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11M	300,000,000	2010/11/03	2011/02/25	75.00
65189	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11N	300,000,000	2010/11/03	2011/02/25	75.00
65202	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11A	300,000,000	2010/11/03	2011/03/30	75.00
65203	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11B	300,000,000	2010/11/03	2011/03/30	75.00
65204	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11C	300,000,000	2010/11/03	2011/03/30	75.00
65208	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11D	300,000,000	2010/11/03	2011/03/30	75.00
65190	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11M	300,000,000	2010/11/03	2011/04/28	88.50
65191	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11N	300,000,000	2010/11/03	2011/04/28	114.00
65193	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11O	300,000,000	2010/11/03	2011/04/28	141.00
65217	UBS AG – BYD Company R Bull CBBC Mar 2011 A	100,000,000	2010/11/03	2011/03/14	25.00

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65218	UBS AG – China Mobile R Bull CBBC Mar 2011 A	100,000,000	2010/11/03	2011/03/14	25.00
65219	UBS AG – China Mobile R Bull CBBC Mar 2011 B	100,000,000	2010/11/03	2011/03/07	25.00
65200	UBS AG – HSI R Bull CBBC Feb 2011 H	200,000,000	2010/11/03	2011/02/25	50.00
65221	UBS AG – HSI R Bear CBBC Mar 2011 E	200,000,000	2010/11/03	2011/03/30	50.00
65220	UBS AG – HSI R Bear CBBC Mar 2011 F	200,000,000	2010/11/03	2011/03/30	50.00
65243	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Oct 2011 K	100,000,000	2010/11/04	2011/10/28	25.00
65244	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Nov 2011 C	100,000,000	2010/11/04	2011/11/29	25.00
65237	Credit Suisse AG – HSI R Bull CBBC Apr 2011 N	300,000,000	2010/11/04	2011/04/28	75.00
65234	Credit Suisse AG – HSI R Bear CBBC Mar 2011 W	390,000,000	2010/11/04	2011/03/30	97.50
65231	Credit Suisse AG – TCH R Bear CBBC Mar 2011 E	100,000,000	2010/11/04	2011/03/21	25.00
65241	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 H	300,000,000	2010/11/04	2011/03/30	75.00
65240	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Z	300,000,000	2010/11/04	2011/03/30	78.00
65249	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 V	200,000,000	2010/11/04	2011/02/25	50.00
65230	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 R	128,000,000	2010/11/04	2011/03/30	32.00
65248	UBS AG – A50 China R Bear CBBC Feb 2011 H	100,000,000	2010/11/04	2011/02/28	25.00
65247	UBS AG – HKEx R Bear CBBC Mar 2011 F	100,000,000	2010/11/04	2011/03/07	25.00
65246	UBS AG – HSI R Bull CBBC Feb 2011 C	200,000,000	2010/11/04	2011/02/25	50.00
65250	UBS AG – HSI R Bear CBBC Feb 2011 E	200,000,000	2010/11/04	2011/02/25	50.00
65251	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 L	100,000,000	2010/11/05	2011/10/28	25.00
65253	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 M	100,000,000	2010/11/05	2011/10/28	25.00
65255	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 H	100,000,000	2010/11/05	2011/04/28	25.00
65276	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 I	100,000,000	2010/11/05	2011/02/28	25.00
65277	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 C	100,000,000	2010/11/05	2011/03/21	25.00
65275	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 E	100,000,000	2010/11/05	2011/03/21	25.00
65274	Credit Suisse AG – HSBC R Bear CBBC Feb 2011 A	100,000,000	2010/11/05	2011/02/21	25.00
65260	Credit Suisse AG – HSI R Bull CBBC Apr 2011 O	390,000,000	2010/11/05	2011/04/28	97.50
65269	Credit Suisse AG – HSI R Bear CBBC Mar 2011 X	300,000,000	2010/11/05	2011/03/30	75.00
65273	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Y	390,000,000	2010/11/05	2011/03/30	97.50
65261	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 F	300,000,000	2010/11/05	2011/03/30	87.00
65265	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 I	300,000,000	2010/11/05	2011/03/30	78.00
65262	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 K	300,000,000	2010/11/05	2011/03/30	75.00
65266	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 M	300,000,000	2010/11/05	2011/03/30	75.00
65283	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 A	300,000,000	2010/11/05	2011/02/25	75.00
65263	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 F	300,000,000	2010/11/05	2011/02/25	75.00
65264	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 H	300,000,000	2010/11/05	2011/02/25	87.00
65258	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2011 A	200,000,000	2010/11/05	2011/05/30	50.00
65282	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 S	128,000,000	2010/11/05	2011/03/30	32.00
65286	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 T	128,000,000	2010/11/05	2011/03/30	32.00
65288	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 U	128,000,000	2010/11/05	2011/03/30	32.00
65281	RB of Scotland N.V. – A50 China R Bull CBBC Mar 2011 A	80,000,000	2010/11/05	2011/03/07	20.16
65285	RB of Scotland N.V. – A50 China R Bear CBBC Mar 2011 B	80,000,000	2010/11/05	2011/03/07	20.24
65268	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11L	300,000,000	2010/11/05	2011/02/25	75.00
65267	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11E	300,000,000	2010/11/05	2011/03/30	75.00
65271	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11F	300,000,000	2010/11/05	2011/03/30	75.00
65272	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11G	300,000,000	2010/11/05	2011/03/30	75.00

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65280	UBS AG – China Life R Bear CBBC Mar 2011 E	100,000,000	2010/11/05	2011/03/07	29.50
65256	UBS AG – HSI R Bull CBBC Mar 2011 K	200,000,000	2010/11/05	2011/03/30	50.00
65278	UBS AG – HSI R Bear CBBC Feb 2011 Y	200,000,000	2010/11/05	2011/02/25	50.00
65279	UBS AG – HSI R Bear CBBC Mar 2011 O	200,000,000	2010/11/05	2011/03/30	50.00
65341	Credit Suisse AG – A50 China R Bull CBBC Mar 2011 D	100,000,000	2010/11/08	2011/03/21	25.00
65340	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 K	100,000,000	2010/11/08	2011/02/21	25.00
65301	Credit Suisse AG – HSI R Bull CBBC Apr 2011 P	390,000,000	2010/11/08	2011/04/28	97.50
65303	Credit Suisse AG – HSI R Bull CBBC May 2011 A	390,000,000	2010/11/08	2011/05/30	97.50
65300	Credit Suisse AG – HSI R Bear CBBC Mar 2011 J	390,000,000	2010/11/08	2011/03/30	97.50
65289	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Z	390,000,000	2010/11/08	2011/03/30	97.50
65344	Credit Suisse AG – TCH R Bull CBBC Mar 2011 F	100,000,000	2010/11/08	2011/03/21	25.00
65304	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 J	300,000,000	2010/11/08	2011/03/30	96.00
65306	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 M	300,000,000	2010/11/08	2011/04/28	93.00
65308	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 N	300,000,000	2010/11/08	2011/04/28	84.00
65309	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 O	300,000,000	2010/11/08	2011/04/28	75.00
65313	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 P	300,000,000	2010/11/08	2011/04/28	96.00
65347	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2011 B	200,000,000	2010/11/08	2011/05/30	50.00
65352	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2011 C	200,000,000	2010/11/08	2011/05/30	50.00
65353	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2011 D	200,000,000	2010/11/08	2011/05/30	50.00
65314	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 W	200,000,000	2010/11/08	2011/02/25	50.00
65323	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 X	200,000,000	2010/11/08	2011/02/25	50.00
65345	RB of Scotland N.V. – HSCEI R Bull CBBC Mar 2011 D	250,000,000	2010/11/08	2011/03/30	62.50
65346	RB of Scotland N.V. – HSCEI R Bull CBBC Mar 2011 E	250,000,000	2010/11/08	2011/03/30	62.50
65351	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 F	250,000,000	2010/11/08	2011/03/30	62.50
65292	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11O	300,000,000	2010/11/08	2011/02/25	75.00
65291	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11P	300,000,000	2010/11/08	2011/02/25	75.00
65293	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11H	300,000,000	2010/11/08	2011/03/30	75.00
65299	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11I	300,000,000	2010/11/08	2011/03/30	75.00
65330	UBS AG – China Life R Bull CBBC Mar 2011 F	100,000,000	2010/11/08	2011/03/14	25.00
65332	UBS AG – HKEx R Bull CBBC Mar 2011 G	100,000,000	2010/11/08	2011/03/07	25.00
65324	UBS AG – HSI R Bull CBBC Mar 2011 P	200,000,000	2010/11/08	2011/03/30	50.00
65290	UBS AG – HSI R Bear CBBC Feb 2011 S	200,000,000	2010/11/08	2011/02/25	50.00
65354	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 D	100,000,000	2010/11/09	2011/11/29	25.00
65358	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 E	100,000,000	2010/11/09	2011/11/29	25.00
65359	Credit Suisse AG – HSI R Bull CBBC Apr 2011 Q	300,000,000	2010/11/09	2011/04/28	75.00
65360	Credit Suisse AG – HSI R Bull CBBC Apr 2011 R	390,000,000	2010/11/09	2011/04/28	97.50
65361	Credit Suisse AG – HSI R Bull CBBC May 2011 B	390,000,000	2010/11/09	2011/05/30	97.50
65374	Credit Suisse AG – HSI R Bear CBBC Mar 2011 O	300,000,000	2010/11/09	2011/03/30	75.00
65365	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 U	300,000,000	2010/11/09	2011/03/30	75.00
65367	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 Q	300,000,000	2010/11/09	2011/04/28	90.00
65368	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 R	300,000,000	2010/11/09	2011/04/28	87.00
65371	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 V	300,000,000	2010/11/09	2011/02/25	75.00
65399	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 S	300,000,000	2010/11/09	2011/04/28	75.00
65397	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 V	128,000,000	2010/11/09	2011/03/30	32.00
65390	UBS AG – CC Bank R Bull CBBC Mar 2011 A	100,000,000	2010/11/09	2011/03/14	25.00

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股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
65395	UBS AG – CC Bank R Bear CBBC Mar 2011 B	100,000,000	2010/11/09	2011/03/21	25.00
65377	UBS AG – HSI R Bull CBBC Feb 2011 R	200,000,000	2010/11/09	2011/02/25	50.00
65389	UBS AG – HSI R Bull CBBC Mar 2011 D	200,000,000	2010/11/09	2011/03/30	50.00
65391	UBS AG – HSI R Bear CBBC Feb 2011 P	200,000,000	2010/11/09	2011/02/25	50.00
65157 [#]	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 I	100,000,000	2010/11/09	2011/10/28	13.40
64396 [#]	UBS AG – China Mobile R Bull CBBC Feb 2011 A	150,000,000	2010/11/09	2011/02/07	13.65
64574 [#]	UBS AG – HSI R Bear CBBC Mar 2011 R	200,000,000	2010/11/09	2011/03/30	13.60
65480	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 J	100,000,000	2010/11/10	2011/09/29	25.00
65481	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2011 K	100,000,000	2010/11/10	2011/09/29	25.00
65482	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 N	100,000,000	2010/11/10	2011/10/28	25.00
65483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 F	100,000,000	2010/11/10	2011/11/29	25.00
65484	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 I	100,000,000	2010/11/10	2011/04/28	25.00
65485	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 J	100,000,000	2010/11/10	2011/04/28	25.00
65486	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 K	100,000,000	2010/11/10	2011/04/28	25.00
65453	Credit Suisse AG – HSI R Bull CBBC Apr 2011 S	300,000,000	2010/11/10	2011/04/28	75.00
65459	Credit Suisse AG – HSI R Bull CBBC May 2011 C	390,000,000	2010/11/10	2011/05/30	97.50
65407	Credit Suisse AG – HSI R Bear CBBC Feb 2011 J	390,000,000	2010/11/10	2011/02/25	97.50
65464	Credit Suisse AG – SHK Ppt R Bear CBBC Mar 2011 A	100,000,000	2010/11/10	2011/03/21	30.00
65431	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 R	300,000,000	2010/11/10	2011/02/25	75.00
65432	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 S	300,000,000	2010/11/10	2011/03/30	75.00
65433	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 T	300,000,000	2010/11/10	2011/04/28	102.00
65434	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 U	300,000,000	2010/11/10	2011/04/28	81.00
65490	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 V	300,000,000	2010/11/10	2011/04/28	75.00
65421	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 T	300,000,000	2010/11/10	2011/03/30	75.00
65491	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 W	300,000,000	2010/11/10	2011/04/28	96.00
65492	Deutsche Bank AG – HSI R Bear CBBC May 2011 K	300,000,000	2010/11/10	2011/05/30	84.00
65468	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 C	128,000,000	2010/11/10	2011/02/25	32.00
65469	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 W	128,000,000	2010/11/10	2011/03/30	32.00
65471	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 H	158,000,000	2010/11/10	2011/04/28	39.50
65474	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 A	250,000,000	2010/11/10	2011/03/30	62.50
65478	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 B	250,000,000	2010/11/10	2011/03/30	62.50
65402	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr11B	150,000,000	2010/11/10	2011/04/27	37.50
65403	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr11C	150,000,000	2010/11/10	2011/04/27	37.50
65401	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11J	300,000,000	2010/11/10	2011/03/30	75.00
65422	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11K	300,000,000	2010/11/10	2011/03/30	75.00
65423	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11L	300,000,000	2010/11/10	2011/03/30	75.00
65424	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11M	300,000,000	2010/11/10	2011/03/30	75.00
65426	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11N	300,000,000	2010/11/10	2011/03/30	75.00
65427	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11O	300,000,000	2010/11/10	2011/03/30	75.00
65429	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11P	300,000,000	2010/11/10	2011/04/28	82.50
65430	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11Q	300,000,000	2010/11/10	2011/04/28	106.50
65466	UBS AG – Cheung Kong R Bear CBBC Mar 2011 B	100,000,000	2010/11/10	2011/03/14	25.00
65435	UBS AG – HSI R Bull CBBC Feb 2011 J	200,000,000	2010/11/10	2011/02/25	50.00
65437	UBS AG – HSI R Bull CBBC Mar 2011 E	200,000,000	2010/11/10	2011/03/30	50.00
65436	UBS AG – HSI R Bull CBBC Mar 2011 F	200,000,000	2010/11/10	2011/03/30	50.00

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65408	UBS AG – HSI R Bear CBBC Feb 2011 N	200,000,000	2010/11/10	2011/02/25	50.00
65452	UBS AG – HSI R Bear CBBC Mar 2011 C	200,000,000	2010/11/10	2011/03/30	50.00
65416	UBS AG – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/11/10	2011/03/30	50.00
65438	UBS AG – HSI R Bear CBBC Apr 2011 T	200,000,000	2010/11/10	2011/04/28	50.00
65450	UBS AG – HSI R Bear CBBC Apr 2011 U	200,000,000	2010/11/10	2011/04/28	55.00
65451	UBS AG – HSI R Bear CBBC Apr 2011 V	200,000,000	2010/11/10	2011/04/28	60.00
65467	UBS AG – SHK Ppt R Bear CBBC Mar 2011 A	100,000,000	2010/11/10	2011/03/07	25.00
64919 [#]	Credit Suisse AG – HSI R Bear CBBC Mar 2011 M	390,000,000	2010/11/10	2011/03/30	36.66
65114 [#]	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 A	400,000,000	2010/11/10	2011/08/30	60.00
64674 [#]	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 I	130,000,000	2010/11/10	2011/03/30	10.53
64242 [#]	UBS AG – HSBC R Bull CBBC Jan 2011 A	100,000,000	2010/11/10	2011/01/24	14.60
65200 [#]	UBS AG – HSI R Bull CBBC Feb 2011 H	300,000,000	2010/11/10	2011/02/25	40.80
65545	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 G	200,000,000	2010/11/11	2011/11/29	50.00
65546	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 H	200,000,000	2010/11/11	2011/11/29	50.00
65550	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 I	200,000,000	2010/11/11	2011/11/29	50.00
65551	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 J	200,000,000	2010/11/11	2011/11/29	50.00
65553	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 A	200,000,000	2010/11/11	2011/12/29	50.00
65555	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 L	200,000,000	2010/11/11	2011/04/28	50.00
65556	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 M	200,000,000	2010/11/11	2011/04/28	50.00
65557	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 N	200,000,000	2010/11/11	2011/04/28	50.00
65523	Credit Suisse AG – China Mobile R Bull CBBC Mar 2011 A	100,000,000	2010/11/11	2011/03/21	25.00
65513	Credit Suisse AG – CK(H) R Bear CBBC Mar 2011 B	100,000,000	2010/11/11	2011/03/21	25.00
65524	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 A	100,000,000	2010/11/11	2011/04/26	28.50
65522	Credit Suisse AG – HKEx R Bull CBBC Mar 2011 F	100,000,000	2010/11/11	2011/03/21	25.00
65517	Credit Suisse AG – HSI R Bull CBBC Apr 2011 T	300,000,000	2010/11/11	2011/04/28	75.00
65520	Credit Suisse AG – HSI R Bull CBBC Apr 2011 U	390,000,000	2010/11/11	2011/04/28	97.50
65558	Credit Suisse AG – HSI R Bull CBBC Apr 2011 W	390,000,000	2010/11/11	2011/04/28	97.50
65521	Credit Suisse AG – HSI R Bull CBBC May 2011 D	390,000,000	2010/11/11	2011/05/30	97.50
65559	Credit Suisse AG – HSI R Bear CBBC Feb 2011 L	390,000,000	2010/11/11	2011/02/25	97.50
65496	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Y	390,000,000	2010/11/11	2011/02/25	97.50
65526	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Q	390,000,000	2010/11/11	2011/03/30	97.50
65527	Credit Suisse AG – HSI R Bear CBBC Mar 2011 R	390,000,000	2010/11/11	2011/03/30	97.50
65538	Credit Suisse AG – HSI R Bear CBBC Apr 2011 V	300,000,000	2010/11/11	2011/04/28	75.00
65530	Credit Suisse AG – HSI R Bear CBBC May 2011 E	300,000,000	2010/11/11	2011/05/30	78.00
65531	Credit Suisse AG – HSI R Bear CBBC May 2011 F	390,000,000	2010/11/11	2011/05/30	97.50
65532	Credit Suisse AG – HSI R Bear CBBC May 2011 G	390,000,000	2010/11/11	2011/05/30	117.00
65535	Credit Suisse AG – HSI R Bear CBBC Jun 2011 A	390,000,000	2010/11/11	2011/06/29	97.50
65536	Credit Suisse AG – HSI R Bear CBBC Jun 2011 B	390,000,000	2010/11/11	2011/06/29	97.50
65537	Credit Suisse AG – HSI R Bear CBBC Jun 2011 C	390,000,000	2010/11/11	2011/06/29	101.40
65529	Credit Suisse AG – HSI R Bear CBBC Jun 2011 D	390,000,000	2010/11/11	2011/06/29	140.40
65617	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 E	300,000,000	2010/11/11	2011/02/25	75.00
65614	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 N	300,000,000	2010/11/11	2011/02/25	84.00
65511	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 Y	300,000,000	2010/11/11	2011/03/30	75.00
65512	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 Y	300,000,000	2010/11/11	2011/04/28	87.00
65498	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 R	300,000,000	2010/11/11	2011/03/30	75.00

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65499	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 X	300,000,000	2010/11/11	2011/04/28	78.00
65618	Deutsche Bank AG – HSI R Bear CBBC May 2011 L	300,000,000	2010/11/11	2011/05/30	105.00
65619	Deutsche Bank AG – HSI R Bear CBBC May 2011 M	300,000,000	2010/11/11	2011/05/30	111.00
65620	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 E	300,000,000	2010/11/11	2011/06/29	114.00
65621	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 F	300,000,000	2010/11/11	2011/06/29	123.00
65622	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 G	300,000,000	2010/11/11	2011/06/29	135.00
65623	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 E	300,000,000	2010/11/11	2011/07/28	123.00
65624	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 F	300,000,000	2010/11/11	2011/07/28	129.00
65625	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 B	300,000,000	2010/11/11	2011/08/30	138.00
65626	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 C	300,000,000	2010/11/11	2011/08/30	135.00
65628	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 C	300,000,000	2010/11/11	2011/09/29	123.00
65630	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 D	300,000,000	2010/11/11	2011/09/29	165.00
65631	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 A	300,000,000	2010/11/11	2011/10/28	150.00
65632	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 B	300,000,000	2010/11/11	2011/10/28	96.00
65502	HK Bank – HSI R Bull CBBC Jun 2011 F	100,000,000	2010/11/11	2011/06/29	25.00
65509	HK Bank – HSI R Bull CBBC Jun 2011 G	100,000,000	2010/11/11	2011/06/29	25.00
65510	HK Bank – HSI R Bull CBBC Jun 2011 H	100,000,000	2010/11/11	2011/06/29	25.00
65514	HK Bank – HSI R Bear CBBC Jun 2011 I	100,000,000	2010/11/11	2011/06/29	28.00
65515	HK Bank – HSI R Bear CBBC Jun 2011 J	100,000,000	2010/11/11	2011/06/29	25.00
65516	HK Bank – HSI R Bear CBBC Jun 2011 K	100,000,000	2010/11/11	2011/06/29	25.00
65501	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 C	200,000,000	2010/11/11	2011/02/25	50.00
65500	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 D	200,000,000	2010/11/11	2011/02/25	50.00
65495	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 E	200,000,000	2010/11/11	2011/02/25	50.00
65560	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 O	200,000,000	2010/11/11	2011/02/25	50.00
65493	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 Y	200,000,000	2010/11/11	2011/02/25	50.00
65494	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 Z	200,000,000	2010/11/11	2011/02/25	50.00
65561	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 B	200,000,000	2010/11/11	2011/03/30	50.00
65564	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 C	200,000,000	2010/11/11	2011/03/30	50.00
65580	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 X	128,000,000	2010/11/11	2011/03/30	32.00
65585	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 Y	128,000,000	2010/11/11	2011/03/30	32.00
65586	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 I	158,000,000	2010/11/11	2011/04/28	39.50
65589	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 C	128,000,000	2010/11/11	2011/03/30	35.84
65588	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Z	128,000,000	2010/11/11	2011/03/30	32.00
65591	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 J	128,000,000	2010/11/11	2011/04/28	32.00
65592	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 K	128,000,000	2010/11/11	2011/04/28	42.24
65593	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 A	158,000,000	2010/11/11	2011/05/30	39.50
65596	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 B	158,000,000	2010/11/11	2011/05/30	39.50
65539	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2011 B	200,000,000	2010/11/11	2011/03/30	32.00
65540	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2011 C	200,000,000	2010/11/11	2011/03/30	30.00
65567	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 K	150,000,000	2010/11/11	2011/04/28	37.50
65575	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 L	150,000,000	2010/11/11	2011/04/28	37.50
65576	CC Rabobank B.A. – HSI R Bull CBBC Apr 2011 M	150,000,000	2010/11/11	2011/04/28	37.50
65577	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 N	150,000,000	2010/11/11	2011/04/28	37.50
65579	CC Rabobank B.A. – HSI R Bear CBBC Apr 2011 O	150,000,000	2010/11/11	2011/04/28	37.50
65565	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 C	250,000,000	2010/11/11	2011/03/30	62.50

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65597	UBS AG – HKEx R Bull CBBC Mar 2011 H	100,000,000	2010/11/11	2011/03/14	25.00
65598	UBS AG – HSBC R Bull CBBC Feb 2011 C	100,000,000	2010/11/11	2011/02/28	25.00
65600	UBS AG – HSCEI R Bull CBBC Feb 2011 H	100,000,000	2010/11/11	2011/02/25	25.00
65601	UBS AG – HSCEI R Bull CBBC Mar 2011 G	100,000,000	2010/11/11	2011/03/30	25.00
65602	UBS AG – HSI R Bull CBBC Feb 2011 E	200,000,000	2010/11/11	2011/02/25	50.00
65603	UBS AG – HSI R Bull CBBC Mar 2011 B	200,000,000	2010/11/11	2011/03/30	50.00
65604	UBS AG – HSI R Bull CBBC Mar 2011 Q	200,000,000	2010/11/11	2011/03/30	50.00
65605	UBS AG – HSI R Bull CBBC Apr 2011 W	200,000,000	2010/11/11	2011/04/28	50.00
65610	UBS AG – HSI R Bull CBBC May 2011 B	300,000,000	2010/11/11	2011/05/30	75.00
65497	UBS AG – HSI R Bear CBBC Feb 2011 L	200,000,000	2010/11/11	2011/02/25	50.00
65613	UBS AG – HSI R Bear CBBC May 2011 C	200,000,000	2010/11/11	2011/05/30	60.00
65667	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 O	200,000,000	2010/11/12	2011/10/28	50.00
65668	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 P	200,000,000	2010/11/12	2011/10/28	50.00
65669	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 Q	200,000,000	2010/11/12	2011/10/28	50.00
65670	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 O	200,000,000	2010/11/12	2011/04/28	50.00
65673	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 P	200,000,000	2010/11/12	2011/04/28	50.00
65679	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 Q	200,000,000	2010/11/12	2011/04/28	50.00
65680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 R	200,000,000	2010/11/12	2011/04/28	50.00
65681	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 S	200,000,000	2010/11/12	2011/04/28	50.00
65682	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 T	200,000,000	2010/11/12	2011/04/28	50.00
65687	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 U	200,000,000	2010/11/12	2011/04/28	50.00
65760	Credit Suisse AG – A50 China R Bull CBBC Feb 2011 J	100,000,000	2010/11/12	2011/02/28	25.00
65759	Credit Suisse AG – HKEx R Bull CBBC Feb 2011 L	100,000,000	2010/11/12	2011/02/28	25.00
65757	Credit Suisse AG – HSBC R Bull CBBC Mar 2011 B	100,000,000	2010/11/12	2011/03/21	25.00
65761	Credit Suisse AG – HSBC R Bear CBBC Apr 2011 A	100,000,000	2010/11/12	2011/04/26	25.00
65762	Credit Suisse AG – HSI R Bull CBBC Apr 2011 X	390,000,000	2010/11/12	2011/04/28	97.50
65763	Credit Suisse AG – HSI R Bull CBBC May 2011 L	390,000,000	2010/11/12	2011/05/30	97.50
65764	Credit Suisse AG – HSI R Bull CBBC May 2011 M	300,000,000	2010/11/12	2011/05/30	75.00
65689	Credit Suisse AG – HSI R Bear CBBC Feb 2011 C	300,000,000	2010/11/12	2011/02/25	75.00
65691	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Z	390,000,000	2010/11/12	2011/02/25	97.50
65692	Credit Suisse AG – HSI R Bear CBBC Mar 2011 F	300,000,000	2010/11/12	2011/03/30	82.50
65688	Credit Suisse AG – HSI R Bear CBBC May 2011 H	390,000,000	2010/11/12	2011/05/30	97.50
65695	Credit Suisse AG – HSI R Bear CBBC May 2011 I	300,000,000	2010/11/12	2011/05/30	102.00
65697	Credit Suisse AG – HSI R Bear CBBC May 2011 J	390,000,000	2010/11/12	2011/05/30	107.25
65699	Credit Suisse AG – HSI R Bear CBBC May 2011 K	390,000,000	2010/11/12	2011/05/30	122.85
65704	Credit Suisse AG – HSI R Bear CBBC Jun 2011 E	390,000,000	2010/11/12	2011/06/29	97.50
65705	Credit Suisse AG – HSI R Bear CBBC Jun 2011 F	390,000,000	2010/11/12	2011/06/29	99.45
65758	Credit Suisse AG – Hutchison R Bull CBBC Mar 2011 A	100,000,000	2010/11/12	2011/03/21	25.00
65768	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 K	300,000,000	2010/11/12	2011/02/25	75.00
65767	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 T	300,000,000	2010/11/12	2011/02/25	75.00
65769	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 D	300,000,000	2010/11/12	2011/08/30	75.00
65765	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 I	300,000,000	2010/11/12	2011/02/25	75.00
65766	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 Y	300,000,000	2010/11/12	2011/02/25	84.00
65770	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Z	300,000,000	2010/11/12	2011/04/28	111.00
65773	Deutsche Bank AG – HSI R Bear CBBC May 2011 N	300,000,000	2010/11/12	2011/05/30	90.00

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65778	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 H	300,000,000	2010/11/12	2011/06/29	75.00
65779	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 G	300,000,000	2010/11/12	2011/07/28	108.00
65780	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 H	300,000,000	2010/11/12	2011/07/28	87.00
65786	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 I	300,000,000	2010/11/12	2011/07/28	111.00
65787	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 E	300,000,000	2010/11/12	2011/08/30	138.00
65658	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2011 E	200,000,000	2010/11/12	2011/05/30	50.00
65659	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2011 F	200,000,000	2010/11/12	2011/05/30	50.00
65661	Goldman Sachs SP (Asia) – HSI R Bull CBBC May 2011 G	200,000,000	2010/11/12	2011/05/30	50.00
65666	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2011 H	200,000,000	2010/11/12	2011/05/30	50.00
65652	HK Bank – A50 R Bull CBBC Jun 2011 A	100,000,000	2010/11/12	2011/06/15	25.00
65655	HK Bank – A50 R Bull CBBC Jun 2011 B	120,000,000	2010/11/12	2011/06/29	30.00
65657	HK Bank – A50 R Bear CBBC Jun 2011 C	100,000,000	2010/11/12	2011/06/15	25.00
65810	HK Bank – HSI R Bull CBBC Jul 2011 A	120,000,000	2010/11/12	2011/07/28	30.00
65811	HK Bank – HSI R Bear CBBC Apr 2011 E	120,000,000	2010/11/12	2011/04/28	68.40
65816	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 B	200,000,000	2010/11/12	2011/02/25	50.00
65815	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 N	200,000,000	2010/11/12	2011/02/25	50.00
65833	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 M	128,000,000	2010/11/12	2011/04/28	32.00
65752	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 A	158,000,000	2010/11/12	2011/03/30	39.50
65812	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 K	128,000,000	2010/11/12	2011/03/30	32.00
65813	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 L	128,000,000	2010/11/12	2011/04/28	39.68
65814	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 C	158,000,000	2010/11/12	2011/05/30	39.50
65743	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2011 A	100,000,000	2010/11/12	2011/03/30	15.00
65744	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2011 B	100,000,000	2010/11/12	2011/03/30	22.50
65745	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Mar 2011 C	100,000,000	2010/11/12	2011/03/30	15.00
65746	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2011 A	100,000,000	2010/11/12	2011/05/30	25.00
65747	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Mar 2011 D	100,000,000	2010/11/12	2011/03/30	19.00
65748	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 C	100,000,000	2010/11/12	2011/04/28	15.00
65750	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2011 D	100,000,000	2010/11/12	2011/04/28	22.50
65751	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Jun 2011 A	100,000,000	2010/11/12	2011/06/29	15.00
65832	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 D	250,000,000	2010/11/12	2011/03/30	62.50
65713	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May11A	300,000,000	2010/11/12	2011/05/30	75.00
65715	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May11B	300,000,000	2010/11/12	2011/05/30	75.00
65716	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC May11C	300,000,000	2010/11/12	2011/05/30	75.00
65717	SGA Societe Generale Acceptance N.V. – CMob R Bear CBBC May11D	300,000,000	2010/11/12	2011/05/30	75.00
65706	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC May11A	100,000,000	2010/11/12	2011/05/30	25.00
65707	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC May11B	100,000,000	2010/11/12	2011/05/30	25.00
65708	SGA Societe Generale Acceptance N.V. – CK(H) R Bear CBBC May11C	100,000,000	2010/11/12	2011/05/30	25.00
65709	SGA Societe Generale Acceptance N.V. – CK(H) R Bear CBBC May11D	100,000,000	2010/11/12	2011/05/30	25.00
65710	SGA Societe Generale Acceptance N.V. – CLife R Bull CBBC May11A	100,000,000	2010/11/12	2011/05/30	33.00
65711	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May11B	100,000,000	2010/11/12	2011/05/30	40.00
65712	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May11C	100,000,000	2010/11/12	2011/05/30	61.00
65719	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC May11A	150,000,000	2010/11/12	2011/05/30	40.50
65723	SGA Societe Generale Acceptance N.V. – HKEx R Bull CBBC May11B	150,000,000	2010/11/12	2011/05/30	37.50
65724	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC May11C	150,000,000	2010/11/12	2011/05/30	37.50
65728	SGA Societe Generale Acceptance N.V. – HKEx R Bear CBBC May11D	150,000,000	2010/11/12	2011/05/30	42.00

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65729	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May11A	300,000,000	2010/11/12	2011/05/30	75.00
65730	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC May11B	300,000,000	2010/11/12	2011/05/30	75.00
65731	SGA Societe Generale Acceptance N.V. – HSBC R Bear CBBC May11C	300,000,000	2010/11/12	2011/05/30	75.00
65634	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11P	300,000,000	2010/11/12	2011/03/30	75.00
65635	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11Q	300,000,000	2010/11/12	2011/03/30	75.00
65636	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11R	300,000,000	2010/11/12	2011/03/30	75.00
65638	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11S	300,000,000	2010/11/12	2011/03/30	75.00
65788	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11T	300,000,000	2010/11/12	2011/03/30	75.00
65789	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11U	300,000,000	2010/11/12	2011/03/30	75.00
65790	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11V	300,000,000	2010/11/12	2011/03/30	75.00
65794	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11S	300,000,000	2010/11/12	2011/02/25	75.00
65644	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11R	300,000,000	2010/11/12	2011/04/28	115.50
65645	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11S	300,000,000	2010/11/12	2011/04/28	79.50
65646	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11T	300,000,000	2010/11/12	2011/04/28	103.50
65647	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11U	300,000,000	2010/11/12	2011/04/28	127.50
65648	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr11V	300,000,000	2010/11/12	2011/04/28	87.00
65795	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11A	300,000,000	2010/11/12	2011/05/30	111.00
65796	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11B	300,000,000	2010/11/12	2011/05/30	136.50
65797	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11C	300,000,000	2010/11/12	2011/05/30	94.50
65798	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11D	300,000,000	2010/11/12	2011/05/30	121.50
65799	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11E	300,000,000	2010/11/12	2011/05/30	148.50
65800	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11F	300,000,000	2010/11/12	2011/05/30	102.00
65802	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11G	300,000,000	2010/11/12	2011/05/30	130.50
65803	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11H	300,000,000	2010/11/12	2011/05/30	162.00
65804	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11I	300,000,000	2010/11/12	2011/05/30	109.50
65805	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11J	300,000,000	2010/11/12	2011/05/30	139.50
65732	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC May11A	150,000,000	2010/11/12	2011/05/30	37.50
65733	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC May11B	150,000,000	2010/11/12	2011/05/30	37.50
65734	SGA Societe Generale Acceptance N.V. – HWL R Bear CBBC May11C	150,000,000	2010/11/12	2011/05/30	37.50
65735	SGA Societe Generale Acceptance N.V. – HWL R Bear CBBC May11D	150,000,000	2010/11/12	2011/05/30	37.50
65736	SGA Societe Generale Acceptance N.V. – SHK P R Bull CBBC May11A	100,000,000	2010/11/12	2011/05/30	26.00
65737	SGA Societe Generale Acceptance N.V. – SHK P R Bull CBBC May11B	100,000,000	2010/11/12	2011/05/30	25.00
65738	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC May11C	100,000,000	2010/11/12	2011/05/30	25.00
65739	SGA Societe Generale Acceptance N.V. – SHK P R Bear CBBC May11D	100,000,000	2010/11/12	2011/05/30	25.00
65740	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC May11A	100,000,000	2010/11/12	2011/05/30	25.00
65741	SGA Societe Generale Acceptance N.V. – TCH R Bear CBBC May11B	100,000,000	2010/11/12	2011/05/30	25.00
65742	SGA Societe Generale Acceptance N.V. – TCH R Bear CBBC May11C	100,000,000	2010/11/12	2011/05/30	28.00
65822	UBS AG – A50 China R Bull CBBC Mar 2011 D	100,000,000	2010/11/12	2011/03/07	25.00
65830	UBS AG – HKEx R Bear CBBC Mar 2011 I	100,000,000	2010/11/12	2011/03/28	34.00
65820	UBS AG – HSBC R Bull CBBC Feb 2011 D	100,000,000	2010/11/12	2011/02/14	25.00
65821	UBS AG – HSBC R Bear CBBC Feb 2011 E	100,000,000	2010/11/12	2011/02/14	25.00
65818	UBS AG – HSI R Bull CBBC Feb 2011 K	200,000,000	2010/11/12	2011/02/25	50.00
65817	UBS AG – HSI R Bull CBBC Feb 2011 M	200,000,000	2010/11/12	2011/02/25	50.00
65819	UBS AG – HSI R Bull CBBC Mar 2011 T	200,000,000	2010/11/12	2011/03/30	50.00
65755	UBS AG – HSI R Bear CBBC Feb 2011 O	200,000,000	2010/11/12	2011/02/25	50.00

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65753	UBS AG – HSI R Bear CBBC Feb 2011 Y	200,000,000	2010/11/12	2011/02/25	50.00
65823	UBS AG – HSI R Bear CBBC Mar 2011 O	200,000,000	2010/11/12	2011/03/30	50.00
65825	UBS AG – HSI R Bear CBBC Apr 2011 X	200,000,000	2010/11/12	2011/04/28	50.00
65826	UBS AG – HSI R Bear CBBC Apr 2011 Y	200,000,000	2010/11/12	2011/04/28	50.00
65827	UBS AG – HSI R Bear CBBC Apr 2011 Z	200,000,000	2010/11/12	2011/04/28	78.00
65828	UBS AG – HSI R Bear CBBC May 2011 D	200,000,000	2010/11/12	2011/05/30	69.00
65829	UBS AG – HSI R Bear CBBC May 2011 E	200,000,000	2010/11/12	2011/05/30	76.00
65195#	Credit Suisse AG – HSI R Bull CBBC Apr 2011 M	300,000,000	2010/11/12	2011/04/28	55.80
65857	Credit Suisse AG – HSI R Bull CBBC May 2011 N	390,000,000	2010/11/15	2011/05/30	97.50
65858	Credit Suisse AG – HSI R Bull CBBC May 2011 O	300,000,000	2010/11/15	2011/05/30	75.00
65868	Credit Suisse AG – HSI R Bull CBBC May 2011 P	390,000,000	2010/11/15	2011/05/30	97.50
65856	Credit Suisse AG – HSI R Bear CBBC Feb 2011 N	300,000,000	2010/11/15	2011/02/25	75.00
65855	Credit Suisse AG – TCH R Bear CBBC Mar 2011 G	100,000,000	2010/11/15	2011/03/21	25.00
65881	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 E	300,000,000	2010/11/15	2011/03/30	75.00
65860	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 N	300,000,000	2010/11/15	2011/03/30	75.00
65859	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 V	300,000,000	2010/11/15	2011/03/30	75.00
65861	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 I	300,000,000	2010/11/15	2011/06/29	111.00
65863	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 F	300,000,000	2010/11/15	2011/08/30	117.00
65865	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 G	300,000,000	2010/11/15	2011/08/30	132.00
65849	HK Bank – China Life R Bull CBBC Jun 2011 A	100,000,000	2010/11/15	2011/06/08	31.00
65850	HK Bank – Sinopec Corp R Bull CBBC Jun 2011 B	200,000,000	2010/11/15	2011/06/28	50.00
65851	HK Bank – Sinopec Corp R Bull CBBC Jun 2011 C	200,000,000	2010/11/15	2011/06/28	50.00
65852	HK Bank – Sinopec Corp R Bear CBBC May 2011 B	100,000,000	2010/11/15	2011/05/18	25.00
65853	HK Bank – China Shenhua R Bull CBBC May 2011 C	100,000,000	2010/11/15	2011/05/27	25.00
65854	HK Bank – China Shenhua R Bear CBBC Apr 2011 B	100,000,000	2010/11/15	2011/04/18	25.00
65869	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 J	128,000,000	2010/11/15	2011/03/30	32.00
65870	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 N	128,000,000	2010/11/15	2011/04/28	32.00
65873	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 O	128,000,000	2010/11/15	2011/04/28	32.00
65878	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 B	128,000,000	2010/11/15	2011/03/30	64.00
65877	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 D	128,000,000	2010/11/15	2011/03/30	34.56
65876	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Q	128,000,000	2010/11/15	2011/03/30	46.08
65879	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 P	128,000,000	2010/11/15	2011/04/28	43.52
65880	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 Q	128,000,000	2010/11/15	2011/04/28	40.96
65874	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 D	128,000,000	2010/11/15	2011/05/30	47.36
65875	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 E	158,000,000	2010/11/15	2011/05/30	39.50
65834	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11K	300,000,000	2010/11/15	2011/05/30	171.00
65835	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11L	300,000,000	2010/11/15	2011/05/30	117.00
65836	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11M	300,000,000	2010/11/15	2011/05/30	148.50
65839	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11N	300,000,000	2010/11/15	2011/05/30	183.00
65840	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11O	300,000,000	2010/11/15	2011/05/30	123.00
65841	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11P	300,000,000	2010/11/15	2011/05/30	156.00
65842	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11Q	300,000,000	2010/11/15	2011/05/30	192.00
65843	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11R	300,000,000	2010/11/15	2011/05/30	130.50
65845	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11S	300,000,000	2010/11/15	2011/05/30	165.00
65848	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May11T	300,000,000	2010/11/15	2011/05/30	201.00

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65886	UBS AG – HKEx R Bull CBBC Mar 2011 J	100,000,000	2010/11/15	2011/03/21	25.00
65882	UBS AG – HSI R Bull CBBC Apr 2011 A	200,000,000	2010/11/15	2011/04/28	50.00
65883	UBS AG – HSI R Bull CBBC Apr 2011 F	200,000,000	2010/11/15	2011/04/28	50.00
65866	UBS AG – HSI R Bear CBBC Feb 2011 S	200,000,000	2010/11/15	2011/02/25	50.00
65884	UBS AG – Hutchison R Bull CBBC Mar 2011 C	100,000,000	2010/11/15	2011/03/07	25.00
65390#	UBS AG – CC Bank R Bull CBBC Mar 2011 A	150,000,000	2010/11/15	2011/03/14	13.95
65887	Credit Suisse AG – HSI R Bull CBBC Jun 2011 G	390,000,000	2010/11/16	2011/06/29	97.50
65888	Credit Suisse AG – HSI R Bear CBBC Apr 2011 Y	390,000,000	2010/11/16	2011/04/28	97.50
65890	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 Q	300,000,000	2010/11/16	2011/03/30	78.00
65889	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 Z	300,000,000	2010/11/16	2011/03/30	75.00
65897	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 K	300,000,000	2010/11/16	2011/04/28	87.00
65895	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 L	300,000,000	2010/11/16	2011/04/28	108.00
65898	Deutsche Bank AG – HSI R Bull CBBC May 2011 O	300,000,000	2010/11/16	2011/05/30	75.00
65900	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 C	400,000,000	2010/11/16	2011/10/28	100.00
65906	UBS AG – China Life R Bull CBBC Mar 2011 G	100,000,000	2010/11/16	2011/03/21	27.00
65907	UBS AG – HKEx R Bear CBBC Apr 2011 A	100,000,000	2010/11/16	2011/04/04	37.50
65909	UBS AG – HSI R Bull CBBC Apr 2011 D	200,000,000	2010/11/16	2011/04/28	50.00
65910	UBS AG – HSI R Bear CBBC Feb 2011 P	200,000,000	2010/11/16	2011/02/25	50.00
65908	UBS AG – Hutchison R Bear CBBC Mar 2011 D	100,000,000	2010/11/16	2011/03/21	25.00
65937	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 E	100,000,000	2010/11/17	2011/03/21	25.00
65917	Credit Suisse AG – HSI R Bull CBBC Jun 2011 H	330,000,000	2010/11/17	2011/06/29	82.50
65918	Credit Suisse AG – HSI R Bull CBBC Jun 2011 I	330,000,000	2010/11/17	2011/06/29	82.50
65919	Credit Suisse AG – HSI R Bull CBBC Jun 2011 J	330,000,000	2010/11/17	2011/06/29	82.50
65920	Credit Suisse AG – HSI R Bull CBBC Jun 2011 K	330,000,000	2010/11/17	2011/06/29	82.50
65940	Credit Suisse AG – HSI R Bull CBBC Jul 2011 A	330,000,000	2010/11/17	2011/07/28	82.50
65938	Credit Suisse AG – HSI R Bear CBBC Feb 2011 T	390,000,000	2010/11/17	2011/02/25	97.50
65912	Credit Suisse AG – HSI R Bear CBBC Feb 2011 X	330,000,000	2010/11/17	2011/02/25	82.50
65916	Credit Suisse AG – HSI R Bear CBBC Mar 2011 D	330,000,000	2010/11/17	2011/03/30	82.50
65915	Credit Suisse AG – HSI R Bear CBBC Mar 2011 E	330,000,000	2010/11/17	2011/03/30	82.50
65914	Credit Suisse AG – HSI R Bear CBBC Mar 2011 I	330,000,000	2010/11/17	2011/03/30	82.50
65947	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 H	300,000,000	2010/11/17	2011/08/30	93.00
65951	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 I	300,000,000	2010/11/17	2011/08/30	81.00
65953	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 E	300,000,000	2010/11/17	2011/09/29	75.00
65946	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 J	300,000,000	2010/11/17	2011/02/25	75.00
65927	HK Bank – CC Bank R Bull CBBC Jun 2011 A	100,000,000	2010/11/17	2011/06/15	25.00
65928	HK Bank – CC Bank R Bull CBBC Jul 2011 A	100,000,000	2010/11/17	2011/07/15	25.00
65929	HK Bank – CC Bank R Bear CBBC May 2011 A	80,000,000	2010/11/17	2011/05/16	20.00
65935	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 R	128,000,000	2010/11/17	2011/03/30	32.00
65931	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11N	300,000,000	2010/11/17	2011/02/25	75.00
65930	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Q	300,000,000	2010/11/17	2011/02/25	75.00
65911	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11R	300,000,000	2010/11/17	2011/02/25	75.00
65932	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11W	300,000,000	2010/11/17	2011/02/25	75.00
65942	UBS AG – China Mobile R Bear CBBC Mar 2011 C	100,000,000	2010/11/17	2011/03/14	25.00
65943	UBS AG – China Mobile R Bear CBBC Apr 2011 A	100,000,000	2010/11/17	2011/04/11	25.00
65933	UBS AG – HSI R Bear CBBC Feb 2011 N	200,000,000	2010/11/17	2011/02/25	50.00

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65934	UBS AG – HSI R Bear CBBC Apr 2011 H	200,000,000	2010/11/17	2011/04/28	50.00
65957	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 H	100,000,000	2010/11/18	2011/03/14	52.00
65958	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 I	100,000,000	2010/11/18	2011/03/14	33.00
65960	Deutsche Bank AG – HKEx R Bull CBBC Mar 2011 A	100,000,000	2010/11/18	2011/03/14	26.00
65963	Deutsche Bank AG – HKEx R Bear CBBC Mar 2011 B	100,000,000	2010/11/18	2011/03/14	28.00
65956	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 H	300,000,000	2010/11/18	2011/04/28	75.00
65967	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 F	128,000,000	2010/11/18	2011/03/30	64.00
65968	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 R	158,000,000	2010/11/18	2011/04/28	58.46
65969	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 S	128,000,000	2010/11/18	2011/04/28	58.88
65970	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 F	128,000,000	2010/11/18	2011/05/30	52.48
65973	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC May 2011 G	128,000,000	2010/11/18	2011/05/30	67.84
65964	UBS AG – HSI R Bull CBBC May 2011 F	300,000,000	2010/11/18	2011/05/30	75.00
65965	UBS AG – TCH R Bear CBBC Mar 2011 F	100,000,000	2010/11/18	2011/03/21	25.00
64560#	HK Bank – HSI R Bull CBBC Apr 2011 A	250,000,000	2010/11/18	2011/04/28	50.25
64669#	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2011 Q	80,000,000	2010/11/18	2011/02/25	13.68
66011	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 K	200,000,000	2010/11/19	2011/11/29	50.00
66012	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 L	200,000,000	2010/11/19	2011/11/29	50.00
66013	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 V	200,000,000	2010/11/19	2011/04/28	50.00
65982	Credit Suisse AG – A50 China R Bear CBBC Feb 2011 K	100,000,000	2010/11/19	2011/02/21	25.00
65984	Credit Suisse AG – HSI R Bull CBBC May 2011 Q	300,000,000	2010/11/19	2011/05/30	75.00
65987	Credit Suisse AG – HSI R Bull CBBC May 2011 R	330,000,000	2010/11/19	2011/05/30	82.50
65988	Credit Suisse AG – HSI R Bear CBBC Feb 2011 J	300,000,000	2010/11/19	2011/02/25	75.00
65983	Credit Suisse AG – HSI R Bear CBBC Feb 2011 O	390,000,000	2010/11/19	2011/02/25	97.50
65978	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 H	300,000,000	2010/11/19	2011/03/30	78.00
65985	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 F	300,000,000	2010/11/19	2011/04/28	75.00
65974	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 G	300,000,000	2010/11/19	2011/04/28	75.00
65997	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 H	300,000,000	2010/11/19	2011/02/25	75.00
65979	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 B	300,000,000	2010/11/19	2011/03/30	75.00
65980	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 C	300,000,000	2010/11/19	2011/03/30	81.00
65994	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 A	200,000,000	2010/11/19	2011/02/25	50.00
65995	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 Q	200,000,000	2010/11/19	2011/02/25	50.00
65989	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 B	128,000,000	2010/11/19	2011/02/25	32.00
65990	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 E	128,000,000	2010/11/19	2011/03/30	32.00
65998	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 E	250,000,000	2010/11/19	2011/03/30	62.75
65999	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 F	250,000,000	2010/11/19	2011/03/30	63.75
66001	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 G	250,000,000	2010/11/19	2011/03/30	63.25
66002	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 H	250,000,000	2010/11/19	2011/03/30	63.00
66006	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 I	250,000,000	2010/11/19	2011/03/30	62.75
65993	UBS AG – A50 China R Bear CBBC Mar 2011 E	100,000,000	2010/11/19	2011/03/07	25.00
65975	UBS AG – HSI R Bull CBBC Feb 2011 L	200,000,000	2010/11/19	2011/02/25	50.00
65992	UBS AG – HSI R Bull CBBC Feb 2011 M	200,000,000	2010/11/19	2011/02/25	50.00
65991	UBS AG – HSI R Bull CBBC Feb 2011 Y	200,000,000	2010/11/19	2011/02/25	50.00
65996	UBS AG – HSI R Bear CBBC Feb 2011 K	200,000,000	2010/11/19	2011/02/25	50.00
66054	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 M	200,000,000	2010/11/22	2011/11/29	50.00
66055	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 W	200,000,000	2010/11/22	2011/04/28	50.00

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66058	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 X	200,000,000	2010/11/22	2011/04/28	50.00
66038	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 G	100,000,000	2010/11/22	2011/03/21	25.00
66022	Credit Suisse AG – HSI R Bull CBBC May 2011 S	390,000,000	2010/11/22	2011/05/30	97.50
66021	Credit Suisse AG – HSI R Bear CBBC Feb 2011 Y	390,000,000	2010/11/22	2011/02/25	97.50
66027	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 F	300,000,000	2010/11/22	2011/02/25	75.00
66029	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 D	300,000,000	2010/11/22	2011/02/25	75.00
66030	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 T	300,000,000	2010/11/22	2011/03/30	81.00
66031	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 D	300,000,000	2010/11/22	2011/04/28	90.00
66028	Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2011 I	200,000,000	2010/11/22	2011/05/30	50.00
66043	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 P	200,000,000	2010/11/22	2011/02/25	50.00
66045	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 U	128,000,000	2010/11/22	2011/03/30	32.00
66050	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 G	250,000,000	2010/11/22	2011/03/30	62.75
66014	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11W	300,000,000	2010/11/22	2011/03/30	75.00
66034	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11T	300,000,000	2010/11/22	2011/02/25	75.00
66016	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11U	300,000,000	2010/11/22	2011/02/25	75.00
66015	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11V	600,000,000	2010/11/22	2011/02/25	150.00
66037	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Z	300,000,000	2010/11/22	2011/02/25	75.00
66042	UBS AG – China Life R Bear CBBC Mar 2011 H	100,000,000	2010/11/22	2011/03/21	29.50
66023	UBS AG – HSI R Bull CBBC Feb 2011 E	200,000,000	2010/11/22	2011/02/25	50.00
66039	UBS AG – HSI R Bull CBBC Mar 2011 M	200,000,000	2010/11/22	2011/03/30	50.00
66040	UBS AG – HSI R Bear CBBC Mar 2011 T	200,000,000	2010/11/22	2011/03/30	50.00
66041	UBS AG – HSI R Bear CBBC Apr 2011 G	200,000,000	2010/11/22	2011/04/28	50.00
66051	UBS AG – HSI R Bear CBBC Apr 2011 S	300,000,000	2010/11/22	2011/04/28	75.00
66052	UBS AG – HSI R Bear CBBC May 2011 G	300,000,000	2010/11/22	2011/05/30	75.00
66053	UBS AG – HSI R Bear CBBC May 2011 H	300,000,000	2010/11/22	2011/05/30	75.00
64321#	Credit Suisse AG – HSI R Bull CBBC Feb 2011 M	390,000,000	2010/11/22	2011/02/25	44.07
64683#	UBS AG – HSI R Bull CBBC Feb 2011 W	200,000,000	2010/11/22	2011/02/25	12.20
66107	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 R	200,000,000	2010/11/23	2011/10/28	50.00
66108	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 N	200,000,000	2010/11/23	2011/11/29	60.00
66109	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 O	200,000,000	2010/11/23	2011/11/29	50.00
66114	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 C	200,000,000	2010/11/23	2011/04/28	50.00
66115	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 E	200,000,000	2010/11/23	2011/04/28	50.00
66110	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 Y	200,000,000	2010/11/23	2011/04/28	50.00
66113	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 Z	200,000,000	2010/11/23	2011/04/28	50.00
66100	Credit Suisse AG – China Mobile R Bear CBBC Mar 2011 B	100,000,000	2010/11/23	2011/03/21	25.00
66093	Credit Suisse AG – CK(H) R Bear CBBC Apr 2011 A	100,000,000	2010/11/23	2011/04/11	25.00
66101	Credit Suisse AG – A50 China R Bear CBBC Mar 2011 F	100,000,000	2010/11/23	2011/03/21	25.00
66097	Credit Suisse AG – HKEx R Bear CBBC Mar 2011 H	100,000,000	2010/11/23	2011/03/28	25.00
66094	Credit Suisse AG – HSBC R Bear CBBC Feb 2011 B	100,000,000	2010/11/23	2011/02/28	25.00
66073	Credit Suisse AG – HSI R Bull CBBC Apr 2011 Z	390,000,000	2010/11/23	2011/04/28	97.50
66060	Credit Suisse AG – HSI R Bull CBBC May 2011 T	390,000,000	2010/11/23	2011/05/30	97.50
66061	Credit Suisse AG – HSI R Bear CBBC Feb 2011 L	390,000,000	2010/11/23	2011/02/25	97.50
66067	Credit Suisse AG – HSI R Bear CBBC Mar 2011 S	330,000,000	2010/11/23	2011/03/30	82.50
66098	Credit Suisse AG – HSI R Bear CBBC Mar 2011 T	300,000,000	2010/11/23	2011/03/30	75.00
66095	Credit Suisse AG – SHK Ppt R Bear CBBC Apr 2011 A	100,000,000	2010/11/23	2011/04/11	25.00

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66099	Credit Suisse AG – TCH R Bear CBBC Feb 2011 I	100,000,000	2010/11/23	2011/02/28	25.00
66070	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 A	300,000,000	2010/11/23	2011/02/25	81.00
66078	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 T	300,000,000	2010/11/23	2011/02/25	75.00
66071	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 V	300,000,000	2010/11/23	2011/02/25	75.00
66074	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 Y	300,000,000	2010/11/23	2011/02/25	81.00
66102	Deutsche Bank AG – HSI R Bear CBBC Feb 2011 K	300,000,000	2010/11/23	2011/02/25	81.00
66072	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 R	300,000,000	2010/11/23	2011/03/30	78.00
66103	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 C	300,000,000	2010/11/23	2011/04/28	84.00
66104	Deutsche Bank AG – HSI R Bear CBBC May 2011 P	300,000,000	2010/11/23	2011/05/30	75.00
66092	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 G	128,000,000	2010/11/23	2011/03/30	32.00
66059	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 T	128,000,000	2010/11/23	2011/03/30	32.00
66116	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2011 Y	158,000,000	2010/11/23	2011/02/25	39.50
66117	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 Y	128,000,000	2010/11/23	2011/03/30	32.00
66081	UBS AG – China Life R Bear CBBC Apr 2011 A	100,000,000	2010/11/23	2011/04/04	25.00
66085	UBS AG – A50 China R Bear CBBC Apr 2011 A	100,000,000	2010/11/23	2011/04/04	25.00
66084	UBS AG – HKEx R Bear CBBC Mar 2011 K	100,000,000	2010/11/23	2011/03/21	25.00
66079	UBS AG – HSBC R Bull CBBC Apr 2011 A	100,000,000	2010/11/23	2011/04/11	25.00
66080	UBS AG – HSBC R Bull CBBC Apr 2011 B	100,000,000	2010/11/23	2011/04/04	25.00
66086	UBS AG – HSI R Bull CBBC Feb 2011 R	200,000,000	2010/11/23	2011/02/25	50.00
66089	UBS AG – HSI R Bull CBBC Mar 2011 Q	200,000,000	2010/11/23	2011/03/30	50.00
66091	UBS AG – HSI R Bull CBBC Apr 2011 L	200,000,000	2010/11/23	2011/04/28	50.00
66105	UBS AG – HSI R Bear CBBC Mar 2011 F	200,000,000	2010/11/23	2011/03/30	50.00
66106	UBS AG – HSI R Bear CBBC Apr 2011 B	200,000,000	2010/11/23	2011/04/28	50.00
65308#	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 N	300,000,000	2010/11/23	2011/04/28	21.90
66185	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 B	200,000,000	2010/11/24	2011/12/29	52.00
66187	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 C	200,000,000	2010/11/24	2011/12/29	50.00
66183	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 D	200,000,000	2010/11/24	2011/03/30	50.00
66184	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 E	200,000,000	2010/11/24	2011/03/30	50.00
66172	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 A	200,000,000	2010/11/24	2011/04/28	50.00
66173	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 B	200,000,000	2010/11/24	2011/04/28	50.00
66174	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2011 H	200,000,000	2010/11/24	2011/04/28	50.00
66118	Credit Suisse AG – HSI R Bull CBBC Apr 2011 J	300,000,000	2010/11/24	2011/04/28	75.00
66156	Credit Suisse AG – HSI R Bear CBBC Mar 2011 U	390,000,000	2010/11/24	2011/03/30	97.50
66155	Credit Suisse AG – HSI R Bear CBBC Mar 2011 V	390,000,000	2010/11/24	2011/03/30	97.50
66157	Credit Suisse AG – HSI R Bear CBBC Mar 2011 W	300,000,000	2010/11/24	2011/03/30	75.00
66158	Credit Suisse AG – HSI R Bear CBBC Apr 2011 K	390,000,000	2010/11/24	2011/04/28	97.50
66152	Credit Suisse AG – Hutchison R Bear CBBC Mar 2011 B	100,000,000	2010/11/24	2011/03/21	25.00
66154	Credit Suisse AG – TCH R Bear CBBC Mar 2011 H	100,000,000	2010/11/24	2011/03/21	25.00
66135	Deutsche Bank AG – HSI R Bull CBBC Feb 2011 E	300,000,000	2010/11/24	2011/02/25	75.00
66145	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 A	300,000,000	2010/11/24	2011/04/28	78.00
66196	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 E	300,000,000	2010/11/24	2011/04/28	120.00
66151	Deutsche Bank AG – HSI R Bull CBBC May 2011 Q	300,000,000	2010/11/24	2011/05/30	75.00
66199	Deutsche Bank AG – HSI R Bull CBBC May 2011 R	300,000,000	2010/11/24	2011/05/30	102.00
66200	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 J	300,000,000	2010/11/24	2011/06/29	117.00
66207	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 J	300,000,000	2010/11/24	2011/07/28	96.00

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66208	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 J	300,000,000	2010/11/24	2011/08/30	135.00
66195	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 V	300,000,000	2010/11/24	2011/03/30	87.00
66194	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Y	300,000,000	2010/11/24	2011/03/30	75.00
66198	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 O	300,000,000	2010/11/24	2011/04/28	78.00
66197	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 P	300,000,000	2010/11/24	2011/04/28	84.00
66206	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 K	300,000,000	2010/11/24	2011/06/29	75.00
66130	HK Bank – HSI R Bear CBBC May 2011 C	100,000,000	2010/11/24	2011/05/30	25.00
66188	J P Morgan SP BV – HSI R Bull CBBC Feb 2011 S	200,000,000	2010/11/24	2011/02/25	50.00
66193	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 M	200,000,000	2010/11/24	2011/02/25	50.00
66190	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 R	200,000,000	2010/11/24	2011/02/25	50.00
66134	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 T	200,000,000	2010/11/24	2011/02/25	50.00
66133	J P Morgan SP BV – HSI R Bear CBBC Feb 2011 U	200,000,000	2010/11/24	2011/02/25	50.00
66209	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 X	128,000,000	2010/11/24	2011/03/30	32.00
66214	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 W	128,000,000	2010/11/24	2011/03/30	32.00
66216	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 T	128,000,000	2010/11/24	2011/04/28	32.00
66217	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 U	128,000,000	2010/11/24	2011/04/28	32.00
66136	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11D	600,000,000	2010/11/24	2011/03/30	150.00
66119	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11X	300,000,000	2010/11/24	2011/03/30	75.00
66120	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May11U	300,000,000	2010/11/24	2011/05/30	96.00
66121	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May11V	300,000,000	2010/11/24	2011/05/30	112.50
66122	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May11W	600,000,000	2010/11/24	2011/05/30	150.00
66123	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May11X	300,000,000	2010/11/24	2011/05/30	87.00
66124	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May11Y	300,000,000	2010/11/24	2011/05/30	100.50
66127	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11X	600,000,000	2010/11/24	2011/02/25	150.00
66128	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb11Y	300,000,000	2010/11/24	2011/02/25	75.00
66138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11C	300,000,000	2010/11/24	2011/03/30	75.00
66125	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11Y	600,000,000	2010/11/24	2011/03/30	150.00
66129	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11Z	300,000,000	2010/11/24	2011/03/30	75.00
66162	UBS AG – China Life R Bear CBBC Mar 2011 I	100,000,000	2010/11/24	2011/03/28	25.00
66139	UBS AG – HSI R Bull CBBC Feb 2011 J	200,000,000	2010/11/24	2011/02/25	50.00
66143	UBS AG – HSI R Bull CBBC Mar 2011 E	200,000,000	2010/11/24	2011/03/30	50.00
66167	UBS AG – HSI R Bear CBBC Feb 2011 H	200,000,000	2010/11/24	2011/02/25	50.00
66168	UBS AG – HSI R Bear CBBC Mar 2011 B	200,000,000	2010/11/24	2011/03/30	50.00
66171	UBS AG – HSI R Bear CBBC Apr 2011 A	200,000,000	2010/11/24	2011/04/28	50.00
66170	UBS AG – HSI R Bear CBBC Apr 2011 F	200,000,000	2010/11/24	2011/04/28	50.00
66169	UBS AG – HSI R Bear CBBC Apr 2011 M	200,000,000	2010/11/24	2011/04/28	50.00
66164	UBS AG – Hutchison R Bear CBBC Mar 2011 E	100,000,000	2010/11/24	2011/03/21	25.00
66166	UBS AG – TCH R Bear CBBC Apr 2011 A	100,000,000	2010/11/24	2011/04/04	25.00
66238	Credit Suisse AG – HSI R Bull CBBC May 2011 U	390,000,000	2010/11/25	2011/05/30	97.50
66240	Credit Suisse AG – HSI R Bear CBBC Mar 2011 G	390,000,000	2010/11/25	2011/03/30	97.50
66219	Credit Suisse AG – HSI R Bear CBBC Apr 2011 F	300,000,000	2010/11/25	2011/04/28	75.00
66239	Credit Suisse AG – TCH R Bull CBBC Mar 2011 I	100,000,000	2010/11/25	2011/03/21	25.00
66243	Deutsche Bank AG – HSI R Bull CBBC May 2011 T	300,000,000	2010/11/25	2011/05/30	75.00
66234	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 S	300,000,000	2010/11/25	2011/04/28	75.00
66235	Deutsche Bank AG – HSI R Bear CBBC May 2011 S	300,000,000	2010/11/25	2011/05/30	84.00

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股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
66256	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 V	128,000,000	2010/11/25	2011/03/30	32.00
66255	RB of Scotland N.V. – HSCEI R Bull CBBC Mar 2011 H	250,000,000	2010/11/25	2011/03/30	62.50
66259	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 I	250,000,000	2010/11/25	2011/03/30	62.50
66244	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11M	300,000,000	2010/11/25	2011/02/25	75.00
66220	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Feb11O	600,000,000	2010/11/25	2011/02/25	150.00
66245	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11F	300,000,000	2010/11/25	2011/03/30	75.00
66224	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11A	300,000,000	2010/11/25	2011/06/29	121.50
66225	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11B	600,000,000	2010/11/25	2011/06/29	159.00
66229	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11C	300,000,000	2010/11/25	2011/06/29	94.50
66230	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11D	300,000,000	2010/11/25	2011/06/29	109.50
66233	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11E	600,000,000	2010/11/25	2011/06/29	150.00
66222	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11A	600,000,000	2010/11/25	2011/03/30	150.00
66221	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11B	300,000,000	2010/11/25	2011/03/30	75.00
66223	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11G	300,000,000	2010/11/25	2011/03/30	75.00
66254	UBS AG – HSBC R Bear CBBC Apr 2011 C	100,000,000	2010/11/25	2011/04/04	25.00
66248	UBS AG – HSI R Bull CBBC Feb 2011 C	200,000,000	2010/11/25	2011/02/25	50.00
66249	UBS AG – HSI R Bull CBBC Mar 2011 D	200,000,000	2010/11/25	2011/03/30	50.00
66251	UBS AG – HSI R Bull CBBC Apr 2011 D	200,000,000	2010/11/25	2011/04/28	50.00
66252	UBS AG – HSI R Bull CBBC May 2011 I	200,000,000	2010/11/25	2011/05/30	50.00
66236	UBS AG – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/11/25	2011/03/30	50.00
66237	UBS AG – HSI R Bear CBBC Apr 2011 W	200,000,000	2010/11/25	2011/04/28	50.00
66253	UBS AG – HSI R Bear CBBC Jun 2011 D	300,000,000	2010/11/25	2011/06/29	75.00
66261	Credit Suisse AG – CK(H) R Bear CBBC Mar 2011 C	100,000,000	2010/11/26	2011/03/21	25.00
66265	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 B	100,000,000	2010/11/26	2011/04/26	25.00
66260	Credit Suisse AG – HSI R Bull CBBC Jun 2011 L	390,000,000	2010/11/26	2011/06/29	97.50
66257	Credit Suisse AG – HSI R Bear CBBC Mar 2011 A	390,000,000	2010/11/26	2011/03/30	97.50
66268	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Y	390,000,000	2010/11/26	2011/03/30	97.50
66258	Credit Suisse AG – HSI R Bear CBBC Apr 2011 E	330,000,000	2010/11/26	2011/04/28	82.50
66262	Credit Suisse AG – SHK Ppt R Bear CBBC Mar 2011 B	100,000,000	2010/11/26	2011/03/21	25.00
66271	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 N	300,000,000	2010/11/26	2011/03/30	108.00
66272	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 X	300,000,000	2010/11/26	2011/04/28	75.00
66279	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 V	300,000,000	2010/11/26	2011/04/28	123.00
66277	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 Y	300,000,000	2010/11/26	2011/04/28	90.00
66280	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11F	300,000,000	2010/11/26	2011/06/29	124.50
66281	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11G	300,000,000	2010/11/26	2011/06/29	147.00
66282	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11H	600,000,000	2010/11/26	2011/06/29	192.00
66283	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11I	300,000,000	2010/11/26	2011/06/29	115.50
66284	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11J	300,000,000	2010/11/26	2011/06/29	135.00
66285	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11K	600,000,000	2010/11/26	2011/06/29	177.00
66286	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun11L	300,000,000	2010/11/26	2011/06/29	106.50
66290	UBS AG – HSCEI R Bear CBBC Mar 2011 H	100,000,000	2010/11/26	2011/03/30	25.00
66287	UBS AG – HSI R Bull CBBC May 2011 J	200,000,000	2010/11/26	2011/05/30	50.00
66288	UBS AG – HSI R Bull CBBC May 2011 K	200,000,000	2010/11/26	2011/05/30	50.00
66292	UBS AG – HSI R Bear CBBC May 2011 L	300,000,000	2010/11/26	2011/05/30	75.00
64520 [#]	UBS AG – HSI R Bull CBBC Feb 2011 I	200,000,000	2010/11/26	2011/02/25	16.80

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66295	Credit Suisse AG – HSI R Bull CBBC May 2011 V	390,000,000	2010/11/29	2011/05/30	97.50
66301	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 D	200,000,000	2010/11/29	2011/03/30	50.00
66302	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 E	200,000,000	2010/11/29	2011/03/30	50.00
66297	UBS AG – HSI R Bull CBBC May 2011 M	200,000,000	2010/11/29	2011/05/30	50.00
66300	UBS AG – HSI R Bull CBBC May 2011 N	200,000,000	2010/11/29	2011/05/30	50.00
66326	Credit Suisse AG – CK(H) R Bear CBBC Apr 2011 B	100,000,000	2010/11/30	2011/04/11	25.00
66315	Credit Suisse AG – HSI R Bull CBBC Apr 2011 L	300,000,000	2010/11/30	2011/04/28	75.00
66324	Credit Suisse AG – HSI R Bear CBBC Mar 2011 X	390,000,000	2010/11/30	2011/03/30	97.50
66325	Credit Suisse AG – HSI R Bear CBBC Apr 2011 C	300,000,000	2010/11/30	2011/04/28	75.00
66327	Credit Suisse AG – SHK Ppt R Bear CBBC Apr 2011 B	100,000,000	2010/11/30	2011/04/11	25.00
66333	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 C	150,000,000	2010/11/30	2011/04/28	37.50
66334	Deutsche Bank AG – HSCEI R Bear CBBC Apr 2011 D	150,000,000	2010/11/30	2011/04/28	45.00
66305	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 E	300,000,000	2010/11/30	2011/03/30	75.00
66318	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 Z	300,000,000	2010/11/30	2011/03/30	75.00
66306	Deutsche Bank AG – HSI R Bull CBBC May 2011 U	300,000,000	2010/11/30	2011/05/30	90.00
66319	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 K	300,000,000	2010/11/30	2011/07/28	75.00
66320	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 K	300,000,000	2010/11/30	2011/08/30	81.00
66335	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 L	300,000,000	2010/11/30	2011/08/30	99.00
66336	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 M	300,000,000	2010/11/30	2011/08/30	120.00
66337	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 U	300,000,000	2010/11/30	2011/04/28	75.00
66322	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 L	300,000,000	2010/11/30	2011/06/29	75.00
66323	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 L	300,000,000	2010/11/30	2011/07/28	75.00
66303	HK Bank – ICBC R Bull CBBC Jun 2011 A	100,000,000	2010/11/30	2011/06/15	25.00
66304	HK Bank – ICBC R Bear CBBC May 2011 A	100,000,000	2010/11/30	2011/05/23	25.00
66339	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 F	200,000,000	2010/11/30	2011/03/30	50.00
66340	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 G	200,000,000	2010/11/30	2011/03/30	50.00
66329	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 J	128,000,000	2010/11/30	2011/03/30	32.00
66330	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 P	128,000,000	2010/11/30	2011/03/30	32.00
66331	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 V	128,000,000	2010/11/30	2011/04/28	32.00
66332	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 W	128,000,000	2010/11/30	2011/04/28	32.00
66342	UBS AG – A50 China R Bear CBBC Apr 2011 B	100,000,000	2010/11/30	2011/04/04	25.00
66341	UBS AG – HSBC R Bear CBBC Apr 2011 D	100,000,000	2010/11/30	2011/04/11	25.00
66316	UBS AG – HSI R Bull CBBC Mar 2011 Q	200,000,000	2010/11/30	2011/03/30	50.00
66314	UBS AG – HSI R Bull CBBC Apr 2011 L	200,000,000	2010/11/30	2011/04/28	50.00
66345	UBS AG – HSI R Bear CBBC Mar 2011 K	200,000,000	2010/11/30	2011/03/30	50.00
66344	UBS AG – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/11/30	2011/03/30	50.00
66346	UBS AG – HSI R Bear CBBC May 2011 O	200,000,000	2010/11/30	2011/05/30	50.00
66347	UBS AG – HSI R Bear CBBC Jun 2011 A	300,000,000	2010/11/30	2011/06/29	75.00
64549 [#]	Credit Suisse AG – HSI R Bull CBBC Feb 2011 V	300,000,000	2010/11/30	2011/02/25	29.70
66376	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 S	200,000,000	2010/12/01	2011/10/28	50.00
66377	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 T	200,000,000	2010/12/01	2011/10/28	50.00
66378	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 F	200,000,000	2010/12/01	2011/03/30	50.00
66370	Credit Suisse AG – HSI R Bull CBBC May 2011 W	390,000,000	2010/12/01	2011/05/30	97.50
66365	Credit Suisse AG – HSI R Bear CBBC Apr 2011 X	390,000,000	2010/12/01	2011/04/28	97.50
66373	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 K	300,000,000	2010/12/01	2011/04/28	75.00

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66363	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 L	300,000,000	2010/12/01	2011/04/28	75.00
66358	HK Bank – A50 R Bear CBBC Jul 2011 A	100,000,000	2010/12/01	2011/07/12	25.00
66359	HK Bank – HSI R Bear CBBC May 2011 D	100,000,000	2010/12/01	2011/05/30	25.00
66360	HK Bank – HSI R Bear CBBC May 2011 E	100,000,000	2010/12/01	2011/05/30	25.00
66361	HK Bank – HSI R Bear CBBC Jun 2011 L	100,000,000	2010/12/01	2011/06/29	25.00
66367	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 H	200,000,000	2010/12/01	2011/03/30	50.00
66348	SGA Societe Generale Acceptance N.V. – CMob R Bull CBBC May11E	300,000,000	2010/12/01	2011/05/30	75.00
66349	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC May11A	150,000,000	2010/12/01	2011/05/30	37.50
66356	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC May11B	150,000,000	2010/12/01	2011/05/30	37.50
66357	SGA Societe Generale Acceptance N.V. – A50 R Bear CBBC May11C	150,000,000	2010/12/01	2011/05/30	37.50
66369	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11Q	500,000,000	2010/12/01	2011/03/30	125.00
66368	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11R	700,000,000	2010/12/01	2011/03/30	175.00
66355	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11S	500,000,000	2010/12/01	2011/03/30	125.00
66354	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11T	700,000,000	2010/12/01	2011/03/30	175.00
66352	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11U	500,000,000	2010/12/01	2011/03/30	125.00
66350	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11V	500,000,000	2010/12/01	2011/03/30	125.00
66374	UBS AG – CNOOC R Bull CBBC Apr 2011 A	100,000,000	2010/12/01	2011/04/11	26.00
66362	UBS AG – HSI R Bear CBBC Mar 2011 E	200,000,000	2010/12/01	2011/03/30	50.00
66375	UBS AG – HSI R Bear CBBC May 2011 P	200,000,000	2010/12/01	2011/05/30	50.00
64039 [#]	Credit Suisse AG – HSI R Bull CBBC Jan 2011 D	390,000,000	2010/12/01	2011/01/28	57.72
66388	Credit Suisse AG – HSI R Bull CBBC Jun 2011 M	300,000,000	2010/12/02	2011/06/29	75.00
66387	Credit Suisse AG – HSI R Bear CBBC Mar 2011 H	390,000,000	2010/12/02	2011/03/30	97.50
66396	Deutsche Bank AG – HSI R Bull CBBC May 2011 W	300,000,000	2010/12/02	2011/05/30	75.00
66379	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 M	300,000,000	2010/12/02	2011/06/29	99.00
66380	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 N	300,000,000	2010/12/02	2011/06/29	75.00
66381	Deutsche Bank AG – HSI R Bear CBBC May 2011 V	300,000,000	2010/12/02	2011/05/30	102.00
66385	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 O	300,000,000	2010/12/02	2011/06/29	75.00
66389	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 I	200,000,000	2010/12/02	2011/03/30	50.00
66391	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11O	700,000,000	2010/12/02	2011/03/30	175.00
66390	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11P	500,000,000	2010/12/02	2011/03/30	125.00
66392	UBS AG – HSI R Bull CBBC Mar 2011 D	200,000,000	2010/12/02	2011/03/30	50.00
66397	UBS AG – HSI R Bull CBBC May 2011 Q	200,000,000	2010/12/02	2011/05/30	50.00
66398	Credit Suisse AG – HSI R Bull CBBC Mar 2011 Z	390,000,000	2010/12/03	2011/03/30	97.50
66403	Deutsche Bank AG – HSI R Bear CBBC May 2011 X	300,000,000	2010/12/03	2011/05/30	75.00
66404	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 J	200,000,000	2010/12/03	2011/03/30	50.00
66400	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 X	128,000,000	2010/12/03	2011/04/28	32.00
66405	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 J	250,000,000	2010/12/03	2011/03/30	62.50
66406	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 K	250,000,000	2010/12/03	2011/03/30	62.50
66407	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 L	250,000,000	2010/12/03	2011/03/30	62.75
66411	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 M	250,000,000	2010/12/03	2011/03/30	62.50
66412	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 N	250,000,000	2010/12/03	2011/03/30	62.75
66413	RB of Scotland N.V. – HSI R Bear CBBC Mar 2011 O	250,000,000	2010/12/03	2011/03/30	62.50
66399	UBS AG – HSI R Bull CBBC Apr 2011 D	200,000,000	2010/12/03	2011/04/28	50.00
66429	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 G	200,000,000	2010/12/06	2011/03/30	50.00
66430	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 H	200,000,000	2010/12/06	2011/03/30	50.00

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股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
66433	Credit Suisse AG – HSI R Bull CBBC Jun 2011 N	390,000,000	2010/12/06	2011/06/29	97.50
66427	Credit Suisse AG – HSI R Bear CBBC Mar 2011 J	300,000,000	2010/12/06	2011/03/30	75.00
66436	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Q	300,000,000	2010/12/06	2011/03/30	102.00
66435	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 S	300,000,000	2010/12/06	2011/03/30	84.00
66434	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 U	300,000,000	2010/12/06	2011/03/30	75.00
66431	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 Y	158,000,000	2010/12/06	2011/04/28	39.50
66424	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 H	80,000,000	2010/12/06	2011/03/18	20.00
66426	RB of Scotland N.V. – DJIA R Bear CBBC Mar 2011 I	80,000,000	2010/12/06	2011/03/18	20.08
66414	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC May11E	100,000,000	2010/12/06	2011/05/30	26.00
66415	SGA Societe Generale Acceptance N.V. – CK(H) R Bull CBBC May11F	100,000,000	2010/12/06	2011/05/30	25.00
66416	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May11D	100,000,000	2010/12/06	2011/05/30	39.00
66417	SGA Societe Generale Acceptance N.V. – CLife R Bear CBBC May11E	100,000,000	2010/12/06	2011/05/30	50.00
66418	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May11D	300,000,000	2010/12/06	2011/05/30	75.00
66419	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC May11E	300,000,000	2010/12/06	2011/05/30	75.00
66423	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11N	500,000,000	2010/12/06	2011/03/30	125.00
66421	SGA Societe Generale Acceptance N.V. – SHK P R Bull CBBC May11E	100,000,000	2010/12/06	2011/05/30	30.00
66422	SGA Societe Generale Acceptance N.V. – SHK P R Bull CBBC May11F	100,000,000	2010/12/06	2011/05/30	25.00
66432	UBS AG – HSCEI R Bear CBBC Apr 2011 C	100,000,000	2010/12/06	2011/04/28	25.00
64380 [#]	Credit Suisse AG – HSI R Bull CBBC Feb 2011 R	300,000,000	2010/12/06	2011/02/25	31.50
66441	Credit Suisse AG – HSI R Bear CBBC Mar 2011 O	300,000,000	2010/12/07	2011/03/30	75.00
66450	Deutsche Bank AG – China Life R Bear CBBC May 2011 C	100,000,000	2010/12/07	2011/05/30	43.00
66452	Deutsche Bank AG – HKEx R Bear CBBC May 2011 A	100,000,000	2010/12/07	2011/05/30	27.00
66443	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 W	300,000,000	2010/12/07	2011/03/30	75.00
66439	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 X	300,000,000	2010/12/07	2011/03/30	75.00
66440	Deutsche Bank AG – HSI R Bull CBBC May 2011 Y	300,000,000	2010/12/07	2011/05/30	84.00
66444	Deutsche Bank AG – HSI R Bull CBBC May 2011 Z	300,000,000	2010/12/07	2011/05/30	90.00
66445	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 H	300,000,000	2010/12/07	2011/03/30	75.00
66446	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 T	300,000,000	2010/12/07	2011/04/28	81.00
66449	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2011 H	158,000,000	2010/12/07	2011/05/30	39.50
66447	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 Z	128,000,000	2010/12/07	2011/04/28	32.00
66454	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 P	250,000,000	2010/12/07	2011/03/30	62.50
66455	RB of Scotland N.V. – HSI R Bull CBBC Mar 2011 Q	250,000,000	2010/12/07	2011/03/30	62.75
66453	UBS AG – China Mobile R Bull CBBC Apr 2011 B	100,000,000	2010/12/07	2011/04/11	25.00
66438	UBS AG – HSI R Bull CBBC Apr 2011 K	200,000,000	2010/12/07	2011/04/28	50.00
66448	UBS AG – HSI R Bear CBBC Mar 2011 Q	200,000,000	2010/12/07	2011/03/30	50.00
65934 [#]	UBS AG – HSI R Bear CBBC Apr 2011 H	200,000,000	2010/12/07	2011/04/28	24.00
66472	Credit Suisse AG – A50 China R Bull CBBC Mar 2011 G	100,000,000	2010/12/08	2011/03/21	25.00
66471	Credit Suisse AG – HSI R Bull CBBC May 2011 X	300,000,000	2010/12/08	2011/05/30	75.00
66470	Credit Suisse AG – HSI R Bear CBBC Mar 2011 X	390,000,000	2010/12/08	2011/03/30	97.50
66481	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 F	300,000,000	2010/12/08	2011/04/28	75.00
66464	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 G	300,000,000	2010/12/08	2011/04/28	75.00
66463	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 R	300,000,000	2010/12/08	2011/04/28	75.00
66459	Deutsche Bank AG – HSI R Bear CBBC Sep 2011 F	300,000,000	2010/12/08	2011/09/29	75.00
66474	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 K	200,000,000	2010/12/08	2011/03/30	50.00
66475	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC May 11A	108,000,000	2010/12/08	2011/05/06	27.00

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股份 代號	上市時之 牛熊證	集資金額 發行單位	上市日期	到期日	(百萬港元)
66476	KBC Fin Products Int'l Ltd. – Ch Mobile R Bull CBBC Jun 11A	108,000,000	2010/12/08	2011/06/03	27.00
66479	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC May 11B	80,000,000	2010/12/08	2011/05/06	20.00
66480	KBC Fin Products Int'l Ltd. – Ch Mobile R Bear CBBC Jun 11B	80,000,000	2010/12/08	2011/06/03	20.00
66477	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 T	128,000,000	2010/12/08	2011/03/30	32.00
66458	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11M	700,000,000	2010/12/08	2011/03/30	175.00
66462	UBS AG – HSI R Bull CBBC May 2011 R	200,000,000	2010/12/08	2011/05/30	50.00
66460	UBS AG – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/12/08	2011/03/30	50.00
66461	UBS AG – HSI R Bear CBBC Apr 2011 L	200,000,000	2010/12/08	2011/04/28	50.00
66503	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 D	200,000,000	2010/12/09	2011/12/29	50.00
66493	Credit Suisse AG – HKEx R Bull CBBC Mar 2011 I	100,000,000	2010/12/09	2011/03/21	25.00
66484	Credit Suisse AG – HSI R Bull CBBC May 2011 Y	390,000,000	2010/12/09	2011/05/30	97.50
66495	Credit Suisse AG – HSI R Bull CBBC May 2011 Z	390,000,000	2010/12/09	2011/05/30	97.50
66483	Credit Suisse AG – HSI R Bear CBBC Apr 2011 W	300,000,000	2010/12/09	2011/04/28	75.00
66494	Credit Suisse AG – TCH R Bull CBBC Mar 2011 J	100,000,000	2010/12/09	2011/03/21	25.00
66489	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 I	300,000,000	2010/12/09	2011/03/30	75.00
66501	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 Q	300,000,000	2010/12/09	2011/04/28	75.00
66486	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 M	300,000,000	2010/12/09	2011/03/30	75.00
66505	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 N	300,000,000	2010/12/09	2011/04/28	81.00
66502	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 L	200,000,000	2010/12/09	2011/03/30	50.00
66500	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 S	128,000,000	2010/12/09	2011/03/30	32.00
66487	RB of Scotland N.V. – DJIA R Bull CBBC Jun 2011 A	80,000,000	2010/12/09	2011/06/17	20.00
66491	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11K	500,000,000	2010/12/09	2011/03/30	125.00
66490	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11L	500,000,000	2010/12/09	2011/03/30	125.00
66492	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11J	500,000,000	2010/12/09	2011/03/30	125.00
66496	UBS AG – A50 China R Bull CBBC Mar 2011 F	100,000,000	2010/12/09	2011/03/14	25.00
66499	UBS AG – HSI R Bull CBBC May 2011 S	200,000,000	2010/12/09	2011/05/30	50.00
66485	UBS AG – HSI R Bear CBBC Mar 2011 E	200,000,000	2010/12/09	2011/03/30	50.00
66508	Credit Suisse AG – HSI R Bull CBBC Jun 2011 O	390,000,000	2010/12/10	2011/06/29	97.50
66509	Credit Suisse AG – HSI R Bear CBBC Mar 2011 V	390,000,000	2010/12/10	2011/03/30	97.50
66519	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/12/10	2011/03/30	50.00
66516	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 G	128,000,000	2010/12/10	2011/03/30	32.00
66518	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 A	128,000,000	2010/12/10	2011/04/28	32.00
66517	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 G	128,000,000	2010/12/10	2011/04/28	32.00
66511	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11H	500,000,000	2010/12/10	2011/03/30	125.00
66512	UBS AG – HSI R Bull CBBC Mar 2011 B	200,000,000	2010/12/10	2011/03/30	50.00
66515	UBS AG – HSI R Bull CBBC Jul 2011 A	300,000,000	2010/12/10	2011/07/28	75.00
66510	UBS AG – HSI R Bear CBBC Apr 2011 M	200,000,000	2010/12/10	2011/04/28	50.00
66041 [#]	UBS AG – HSI R Bear CBBC Apr 2011 G	200,000,000	2010/12/10	2011/04/28	17.20
66520	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 U	200,000,000	2010/12/13	2011/10/28	50.00
66523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 V	200,000,000	2010/12/13	2011/10/28	50.00
66527	Credit Suisse AG – HSI R Bear CBBC Apr 2011 U	390,000,000	2010/12/13	2011/04/28	97.50
66532	Deutsche Bank AG – China Mobile R Bull CBBC May 2011 A	100,000,000	2010/12/13	2011/05/23	25.00
66534	Deutsche Bank AG – China Mobile R Bull CBBC May 2011 B	100,000,000	2010/12/13	2011/05/24	27.00
66535	Deutsche Bank AG – China Mobile R Bear CBBC May 2011 C	100,000,000	2010/12/13	2011/05/25	27.00
66528	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 J	100,000,000	2010/12/13	2011/03/29	40.00

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66530	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 K	100,000,000	2010/12/13	2011/03/30	25.00
66531	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 L	100,000,000	2010/12/13	2011/03/28	34.00
66536	Deutsche Bank AG – HSBC R Bull CBBC Apr 2011 A	100,000,000	2010/12/13	2011/04/11	25.00
66537	Deutsche Bank AG – HSBC R Bull CBBC Apr 2011 B	100,000,000	2010/12/13	2011/04/12	28.00
66538	Deutsche Bank AG – HSBC R Bear CBBC Apr 2011 C	100,000,000	2010/12/13	2011/04/13	27.00
66541	Deutsche Bank AG – HSI R Bull CBBC May 2011 I	300,000,000	2010/12/13	2011/05/30	75.00
66539	Deutsche Bank AG – HSI R Bear CBBC Aug 2011 N	300,000,000	2010/12/13	2011/08/30	75.00
66547	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 N	200,000,000	2010/12/13	2011/03/30	50.00
66542	RB of Scotland N.V. – HSI R Bull CBBC May 2011 A	250,000,000	2010/12/13	2011/05/30	62.50
66545	RB of Scotland N.V. – HSI R Bull CBBC May 2011 B	250,000,000	2010/12/13	2011/05/30	62.75
66546	RB of Scotland N.V. – HSI R Bull CBBC May 2011 C	250,000,000	2010/12/13	2011/05/30	62.50
66525	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11I	500,000,000	2010/12/13	2011/03/30	125.00
66540	UBS AG – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/12/13	2011/03/30	50.00
65123 [#]	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 F	160,000,000	2010/12/13	2011/10/28	10.24
65306 [#]	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 M	200,000,000	2010/12/13	2011/04/28	11.80
66554	Credit Suisse AG – HSBC R Bull CBBC Mar 2011 C	100,000,000	2010/12/14	2011/03/21	25.00
66555	Credit Suisse AG – HSBC R Bull CBBC Apr 2011 B	100,000,000	2010/12/14	2011/04/26	25.00
66549	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 A	300,000,000	2010/12/14	2011/04/28	75.00
66553	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2011 X	128,000,000	2010/12/14	2011/03/30	32.00
66548	RB of Scotland N.V. – DJIA R Bull CBBC Jun 2011 B	80,000,000	2010/12/14	2011/06/17	20.00
66552	RB of Scotland N.V. – DJIA R Bear CBBC Jun 2011 C	60,000,000	2010/12/14	2011/06/17	15.00
66558	RB of Scotland N.V. – HSCEI R Bull CBBC Mar 2011 J	250,000,000	2010/12/14	2011/03/30	62.75
66560	RB of Scotland N.V. – HSCEI R Bull CBBC May 2011 A	250,000,000	2010/12/14	2011/05/30	62.50
66561	RB of Scotland N.V. – HSCEI R Bull CBBC May 2011 B	250,000,000	2010/12/14	2011/05/30	62.50
66562	RB of Scotland N.V. – HSCEI R Bear CBBC Mar 2011 K	250,000,000	2010/12/14	2011/03/30	62.50
66556	RB of Scotland N.V. – HSI R Bull CBBC May 2011 D	250,000,000	2010/12/14	2011/05/30	62.50
66557	RB of Scotland N.V. – HSI R Bull CBBC May 2011 E	250,000,000	2010/12/14	2011/05/30	62.50
66550	UBS AG – HSI R Bull CBBC May 2011 T	200,000,000	2010/12/14	2011/05/30	50.00
66565	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 I	200,000,000	2010/12/15	2011/03/30	50.00
66591	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 J	200,000,000	2010/12/15	2011/03/30	50.00
66592	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 K	200,000,000	2010/12/15	2011/03/30	50.00
66593	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 L	200,000,000	2010/12/15	2011/03/30	50.00
66570	Credit Suisse AG – HSI R Bull CBBC May 2011 M	300,000,000	2010/12/15	2011/05/30	75.00
66574	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Y	300,000,000	2010/12/15	2011/03/30	75.00
66569	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 U	300,000,000	2010/12/15	2011/04/28	75.00
66594	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Y	300,000,000	2010/12/15	2011/03/30	75.00
66595	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 S	300,000,000	2010/12/15	2011/04/28	75.00
66578	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 O	200,000,000	2010/12/15	2011/03/30	50.00
66582	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/12/15	2011/03/30	50.00
66581	RB of Scotland N.V. – A50 China R Bull CBBC Apr 2011 A	80,000,000	2010/12/15	2011/04/11	20.00
66583	RB of Scotland N.V. – A50 China R Bull CBBC Apr 2011 B	80,000,000	2010/12/15	2011/04/11	20.00
66586	RB of Scotland N.V. – A50 China R Bull CBBC Apr 2011 C	80,000,000	2010/12/15	2011/04/11	20.00
66589	RB of Scotland N.V. – A50 China R Bear CBBC Apr 2011 D	80,000,000	2010/12/15	2011/04/11	20.00
66590	RB of Scotland N.V. – A50 China R Bear CBBC Apr 2011 E	80,000,000	2010/12/15	2011/04/11	22.32
66568	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11E	500,000,000	2010/12/15	2011/03/30	125.00

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66564	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11W	500,000,000	2010/12/15	2011/03/30	125.00
66572	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11X	500,000,000	2010/12/15	2011/03/30	125.00
66573	UBS AG – HSI R Bull CBBC Apr 2011 W	200,000,000	2010/12/15	2011/04/28	50.00
66579	UBS AG – HSI R Bear CBBC Mar 2011 Q	200,000,000	2010/12/15	2011/03/30	50.00
66610	Credit Suisse AG – China Mobile R Bull CBBC Apr 2011 A	100,000,000	2010/12/16	2011/04/18	25.00
66612	Credit Suisse AG – A50 China R Bull CBBC Mar 2011 H	100,000,000	2010/12/16	2011/03/28	25.00
66613	Credit Suisse AG – A50 China R Bull CBBC Apr 2011 C	100,000,000	2010/12/16	2011/04/26	25.00
66614	Credit Suisse AG – A50 China R Bull CBBC May 2011 A	100,000,000	2010/12/16	2011/05/23	25.00
66603	Credit Suisse AG – HKEx R Bull CBBC Mar 2011 J	100,000,000	2010/12/16	2011/03/28	25.00
66604	Credit Suisse AG – HKEx R Bull CBBC Apr 2011 A	100,000,000	2010/12/16	2011/04/26	26.00
66605	Credit Suisse AG – HKEx R Bull CBBC May 2011 A	100,000,000	2010/12/16	2011/05/23	36.00
66599	Credit Suisse AG – HSBC R Bull CBBC May 2011 A	100,000,000	2010/12/16	2011/05/30	25.00
66616	Credit Suisse AG – HSBC R Bear CBBC May 2011 B	100,000,000	2010/12/16	2011/05/09	25.00
66620	Credit Suisse AG – HSI R Bull CBBC Mar 2011 U	180,000,000	2010/12/16	2011/03/30	45.00
66621	Credit Suisse AG – HSI R Bull CBBC Apr 2011 S	180,000,000	2010/12/16	2011/04/28	45.00
66622	Credit Suisse AG – HSI R Bull CBBC Apr 2011 T	180,000,000	2010/12/16	2011/04/28	45.00
66615	Credit Suisse AG – HSI R Bull CBBC Jun 2011 P	390,000,000	2010/12/16	2011/06/29	97.50
66598	Credit Suisse AG – HSI R Bear CBBC Mar 2011 H	180,000,000	2010/12/16	2011/03/30	45.00
66626	Credit Suisse AG – HSI R Bear CBBC Mar 2011 J	180,000,000	2010/12/16	2011/03/30	45.00
66628	Credit Suisse AG – HSI R Bear CBBC Mar 2011 O	180,000,000	2010/12/16	2011/03/30	45.00
66632	Credit Suisse AG – HSI R Bear CBBC Apr 2011 R	180,000,000	2010/12/16	2011/04/28	45.00
66600	Credit Suisse AG – Hutchison R Bull CBBC Mar 2011 C	100,000,000	2010/12/16	2011/03/28	25.00
66601	Credit Suisse AG – Hutchison R Bull CBBC Apr 2011 A	100,000,000	2010/12/16	2011/04/26	25.00
66606	Credit Suisse AG – TCH R Bull CBBC Apr 2011 A	100,000,000	2010/12/16	2011/04/18	25.00
66607	Credit Suisse AG – TCH R Bull CBBC May 2011 A	100,000,000	2010/12/16	2011/05/30	31.00
66623	Deutsche Bank AG – HSI R Bear CBBC May 2011 H	300,000,000	2010/12/16	2011/05/30	75.00
66617	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11V	500,000,000	2010/12/16	2011/03/30	125.00
66596	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11D	500,000,000	2010/12/16	2011/03/30	125.00
66597	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11F	700,000,000	2010/12/16	2011/03/30	175.00
66618	UBS AG – HSI R Bull CBBC Mar 2011 M	200,000,000	2010/12/16	2011/03/30	50.00
66619	UBS AG – HSI R Bear CBBC May 2011 U	200,000,000	2010/12/16	2011/05/30	50.00
66624	Credit Suisse AG – HSI R Bear CBBC Mar 2011 X	390,000,000	2010/12/17	2011/03/30	97.50
66625	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 Z	300,000,000	2010/12/17	2011/03/30	75.00
66644	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 M	128,000,000	2010/12/17	2011/04/28	32.00
66633	UBS AG – HSI R Bull CBBC Mar 2011 D	200,000,000	2010/12/17	2011/03/30	50.00
66634	UBS AG – HSI R Bull CBBC Apr 2011 L	200,000,000	2010/12/17	2011/04/28	50.00
66635	UBS AG – HSI R Bull CBBC May 2011 V	200,000,000	2010/12/17	2011/05/30	50.00
66636	UBS AG – HSI R Bull CBBC Jun 2011 C	200,000,000	2010/12/17	2011/06/29	50.00
66639	UBS AG – HSI R Bear CBBC Mar 2011 B	200,000,000	2010/12/17	2011/03/30	50.00
66640	UBS AG – HSI R Bear CBBC Apr 2011 K	200,000,000	2010/12/17	2011/04/28	50.00
66641	UBS AG – HSI R Bear CBBC May 2011 W	200,000,000	2010/12/17	2011/05/30	50.00
66642	UBS AG – HSI R Bear CBBC Jun 2011 B	200,000,000	2010/12/17	2011/06/29	50.00
66665	Credit Suisse AG – HSI R Bull CBBC Apr 2011 M	180,000,000	2010/12/20	2011/04/28	45.00
66659	Credit Suisse AG – HSI R Bear CBBC Apr 2011 P	300,000,000	2010/12/20	2011/04/28	75.00
66662	Deutsche Bank AG – HSI R Bear CBBC Mar 2011 E	300,000,000	2010/12/20	2011/03/30	75.00

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66658	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11A	300,000,000	2010/12/20	2011/03/30	75.00
66656	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11B	300,000,000	2010/12/20	2011/03/30	75.00
66657	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11G	300,000,000	2010/12/20	2011/03/30	75.00
66654	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11Q	600,000,000	2010/12/20	2011/03/30	150.00
66653	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11R	300,000,000	2010/12/20	2011/03/30	75.00
66647	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11S	300,000,000	2010/12/20	2011/03/30	75.00
66646	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11T	300,000,000	2010/12/20	2011/03/30	75.00
66645	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11U	300,000,000	2010/12/20	2011/03/30	75.00
66660	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11C	300,000,000	2010/12/20	2011/03/30	75.00
66664	UBS AG – HSI R Bull CBBC May 2011 Y	200,000,000	2010/12/20	2011/05/30	50.00
66663	UBS AG – HSI R Bear CBBC May 2011 X	200,000,000	2010/12/20	2011/05/30	50.00
66200#	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 J	200,000,000	2010/12/20	2011/06/29	13.00
66668	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 W	200,000,000	2010/12/21	2011/10/28	50.00
66669	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 P	200,000,000	2010/12/21	2011/11/29	50.00
66674	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 V	300,000,000	2010/12/21	2011/03/30	75.00
66673	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 L	300,000,000	2010/12/21	2011/04/28	75.00
66676	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC May 11A	128,000,000	2010/12/21	2011/05/05	32.00
66679	KBC Fin Products Int'l Ltd. – A50 China R Bull CBBC Jun 11A	128,000,000	2010/12/21	2011/06/08	32.00
66680	KBC Fin Products Int'l Ltd. – A50 China R Bear CBBC May 11B	128,000,000	2010/12/21	2011/05/05	32.00
66683	KBC Fin Products Int'l Ltd. – A50 China R Bear CBBC Jun 11B	128,000,000	2010/12/21	2011/06/15	32.00
66675	UBS AG – HSI R Bull CBBC May 2011 Z	200,000,000	2010/12/21	2011/05/30	50.00
66667	UBS AG – HSI R Bull CBBC Jun 2011 E	200,000,000	2010/12/21	2011/06/29	50.00
66700	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2011 M	200,000,000	2010/12/22	2011/03/30	50.00
66707	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 N	200,000,000	2010/12/22	2011/03/30	50.00
66708	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 O	200,000,000	2010/12/22	2011/03/30	50.00
66694	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 D	100,000,000	2010/12/22	2011/04/26	25.00
66699	Credit Suisse AG – HSI R Bull CBBC Apr 2011 Q	180,000,000	2010/12/22	2011/04/28	45.00
66698	Credit Suisse AG – HSI R Bull CBBC Apr 2011 Z	180,000,000	2010/12/22	2011/04/28	45.00
66689	Credit Suisse AG – HSI R Bull CBBC May 2011 P	300,000,000	2010/12/22	2011/05/30	75.00
66688	Credit Suisse AG – HSI R Bull CBBC Jun 2011 Q	300,000,000	2010/12/22	2011/06/29	75.00
66695	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Y	390,000,000	2010/12/22	2011/03/30	97.50
66701	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 N	100,000,000	2010/12/22	2011/03/30	25.00
66684	Deutsche Bank AG – China Life R Bear CBBC Mar 2011 M	100,000,000	2010/12/22	2011/03/29	25.00
66692	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 H	300,000,000	2010/12/22	2011/03/30	75.00
66691	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 X	300,000,000	2010/12/22	2011/03/30	78.00
66685	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 K	300,000,000	2010/12/22	2011/04/28	75.00
66681	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11O	300,000,000	2010/12/22	2011/03/30	75.00
66682	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11P	300,000,000	2010/12/22	2011/03/30	75.00
66687	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11L	300,000,000	2010/12/22	2011/03/30	75.00
66693	UBS AG – HSI R Bull CBBC May 2011 B	200,000,000	2010/12/22	2011/05/30	50.00
66702	UBS AG – HSI R Bear CBBC Mar 2011 E	200,000,000	2010/12/22	2011/03/30	50.00
64749#	Deutsche Bank AG – HSI R Bull CBBC May 2011 C	300,000,000	2010/12/22	2011/05/30	34.50
66743	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2011 X	200,000,000	2010/12/23	2011/10/28	50.00
66746	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 Q	200,000,000	2010/12/23	2011/11/29	52.00
66749	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2011 R	200,000,000	2010/12/23	2011/11/29	50.00

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66750	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 E	200,000,000	2010/12/23	2011/12/29	64.00
66751	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 F	200,000,000	2010/12/23	2011/12/29	50.00
66753	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 G	200,000,000	2010/12/23	2011/12/29	50.00
66759	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/12/23	2011/03/30	50.00
66760	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 Q	200,000,000	2010/12/23	2011/03/30	50.00
66761	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 R	200,000,000	2010/12/23	2011/03/30	50.00
66770	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 S	200,000,000	2010/12/23	2011/03/30	50.00
66721	Credit Suisse AG – HSI R Bull CBBC Apr 2011 O	180,000,000	2010/12/23	2011/04/28	45.00
66754	Credit Suisse AG – HSI R Bull CBBC May 2011 D	390,000,000	2010/12/23	2011/05/30	97.50
66723	Credit Suisse AG – HSI R Bull CBBC May 2011 N	300,000,000	2010/12/23	2011/05/30	75.00
66722	Credit Suisse AG – HSI R Bull CBBC May 2011 O	390,000,000	2010/12/23	2011/05/30	97.50
66726	Credit Suisse AG – HSI R Bull CBBC Jun 2011 R	300,000,000	2010/12/23	2011/06/29	75.00
66728	Credit Suisse AG – HSI R Bull CBBC Jun 2011 S	300,000,000	2010/12/23	2011/06/29	75.00
66729	Credit Suisse AG – HSI R Bull CBBC Jul 2011 B	300,000,000	2010/12/23	2011/07/28	75.00
66730	Credit Suisse AG – HSI R Bull CBBC Jul 2011 C	390,000,000	2010/12/23	2011/07/28	97.50
66732	Credit Suisse AG – HSI R Bull CBBC Jul 2011 D	390,000,000	2010/12/23	2011/07/28	97.50
66736	Credit Suisse AG – HSI R Bear CBBC Mar 2011 U	300,000,000	2010/12/23	2011/03/30	75.00
66768	Credit Suisse AG – HSI R Bear CBBC Mar 2011 Z	390,000,000	2010/12/23	2011/03/30	97.50
66737	Credit Suisse AG – HSI R Bear CBBC May 2011 L	300,000,000	2010/12/23	2011/05/30	91.50
66740	Credit Suisse AG – HSI R Bear CBBC Jun 2011 T	390,000,000	2010/12/23	2011/06/29	107.25
66741	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 O	100,000,000	2010/12/23	2011/03/31	36.00
66755	Deutsche Bank AG – HSI R Bull CBBC Mar 2011 I	300,000,000	2010/12/23	2011/03/30	78.00
66757	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 R	300,000,000	2010/12/23	2011/04/28	75.00
66777	Deutsche Bank AG – HSI R Bull CBBC May 2011 J	300,000,000	2010/12/23	2011/05/30	75.00
66779	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 M	300,000,000	2010/12/23	2011/07/28	111.00
66780	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 N	300,000,000	2010/12/23	2011/07/28	96.00
66781	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 O	300,000,000	2010/12/23	2011/08/30	123.00
66782	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 P	300,000,000	2010/12/23	2011/08/30	102.00
66742	Deutsche Bank AG – HSI R Bear CBBC Apr 2011 T	300,000,000	2010/12/23	2011/04/28	75.00
66783	Deutsche Bank AG – HSI R Bear CBBC May 2011 B	300,000,000	2010/12/23	2011/05/30	84.00
66791	Deutsche Bank AG – HSI R Bear CBBC Jul 2011 O	300,000,000	2010/12/23	2011/07/28	75.00
66792	Deutsche Bank AG – HSI R Bear CBBC Oct 2011 D	300,000,000	2010/12/23	2011/10/28	81.00
66762	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 Q	200,000,000	2010/12/23	2011/03/30	50.00
66766	J P Morgan SP BV – HSI R Bear CBBC Mar 2011 R	200,000,000	2010/12/23	2011/03/30	50.00
66772	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 J	128,000,000	2010/12/23	2011/03/30	32.00
66771	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2011 V	128,000,000	2010/12/23	2011/03/30	32.00
66773	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 I	128,000,000	2010/12/23	2011/04/28	32.00
66776	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Apr 2011 O	158,000,000	2010/12/23	2011/04/28	39.50
66716	SGA Societe Generale Acceptance N.V. – CK(H) R Bear CBBC May11G	100,000,000	2010/12/23	2011/05/30	25.00
66710	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar11K	600,000,000	2010/12/23	2011/03/30	150.00
66719	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11I	300,000,000	2010/12/23	2011/03/30	75.00
66711	SGA Societe Generale Acceptance N.V. – HWL R Bull CBBC May11E	150,000,000	2010/12/23	2011/05/30	37.50
66715	SGA Societe Generale Acceptance N.V. – ICBC R Bull CBBC Jun11A	300,000,000	2010/12/23	2011/06/29	75.00
66767	UBS AG – HSI R Bull CBBC Mar 2011 Q	200,000,000	2010/12/23	2011/03/30	50.00
66769	UBS AG – HSI R Bear CBBC Mar 2011 M	200,000,000	2010/12/23	2011/03/30	50.00

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66837	Credit Suisse AG – HSI R Bull CBBC Jun 2011 U	300,000,000	2010/12/24	2011/06/29	75.00
66834	Credit Suisse AG – HSI R Bear CBBC Apr 2011 N	300,000,000	2010/12/24	2011/04/28	75.00
66838	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 P	300,000,000	2010/12/24	2011/06/29	93.00
66843	Deutsche Bank AG – HSI R Bull CBBC Jul 2011 P	300,000,000	2010/12/24	2011/07/28	99.00
66844	Deutsche Bank AG – HSI R Bull CBBC Aug 2011 Q	300,000,000	2010/12/24	2011/08/30	117.00
66845	Deutsche Bank AG – HSI R Bull CBBC Sep 2011 G	300,000,000	2010/12/24	2011/09/29	102.00
66846	Deutsche Bank AG – HSI R Bull CBBC Oct 2011 E	300,000,000	2010/12/24	2011/10/28	75.00
66847	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 Q	300,000,000	2010/12/24	2011/06/29	75.00
66848	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 R	300,000,000	2010/12/24	2011/06/29	81.00
66802	HK Bank – China Mobile R Bull CBBC Jun 2011 A	150,000,000	2010/12/24	2011/06/13	37.50
66803	HK Bank – China Mobile R Bull CBBC Aug 2011 A	150,000,000	2010/12/24	2011/08/02	37.50
66815	HK Bank – China Mobile R Bear CBBC Aug 2011 B	120,000,000	2010/12/24	2011/08/02	30.00
66797	HK Bank – CC Bank R Bull CBBC Jul 2011 B	120,000,000	2010/12/24	2011/07/08	30.00
66799	HK Bank – CC Bank R Bull CBBC Jul 2011 C	120,000,000	2010/12/24	2011/07/18	30.00
66813	HK Bank – CC Bank R Bear CBBC Jun 2011 B	120,000,000	2010/12/24	2011/06/15	30.00
66801	HK Bank – China Life R Bull CBBC Jun 2011 B	80,000,000	2010/12/24	2011/06/21	32.00
66814	HK Bank – China Life R Bear CBBC Jun 2011 C	100,000,000	2010/12/24	2011/06/21	25.00
66806	HK Bank – A50 R Bull CBBC Jul 2011 B	200,000,000	2010/12/24	2011/07/29	50.00
66830	HK Bank – A50 R Bear CBBC Jul 2011 C	120,000,000	2010/12/24	2011/07/29	30.00
66831	HK Bank – HSI R Bear CBBC Jul 2011 B	120,000,000	2010/12/24	2011/07/28	30.00
66804	HK Bank – ICBC R Bull CBBC Jun 2011 B	100,000,000	2010/12/24	2011/06/20	25.00
66816	HK Bank – ICBC R Bear CBBC Jul 2011 A	80,000,000	2010/12/24	2011/07/06	20.00
66819	HK Bank – TCH R Bear CBBC Jul 2011 A	100,000,000	2010/12/24	2011/07/18	28.00
66796	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11M	300,000,000	2010/12/24	2011/03/30	75.00
66795	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11W	300,000,000	2010/12/24	2011/03/30	75.00
66794	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar11X	300,000,000	2010/12/24	2011/03/30	75.00
66849	UBS AG – HSI R Bull CBBC Apr 2011 W	200,000,000	2010/12/24	2011/04/28	50.00
66851	UBS AG – HSI R Bear CBBC Mar 2011 D	200,000,000	2010/12/24	2011/03/30	50.00
66884	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 H	200,000,000	2010/12/28	2011/12/29	70.00
66885	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 I	200,000,000	2010/12/28	2011/12/29	58.00
66886	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 J	200,000,000	2010/12/28	2011/12/29	56.00
66887	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 K	200,000,000	2010/12/28	2011/12/29	54.00
66890	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 L	200,000,000	2010/12/28	2011/12/29	52.00
66858	Credit Suisse AG – A50 China R Bear CBBC Apr 2011 E	100,000,000	2010/12/28	2011/04/26	25.00
66852	Credit Suisse AG – HSI R Bull CBBC Apr 2011 J	300,000,000	2010/12/28	2011/04/28	75.00
66853	Credit Suisse AG – HSI R Bull CBBC May 2011 C	390,000,000	2010/12/28	2011/05/30	97.50
66859	Credit Suisse AG – HSI R Bear CBBC Mar 2011 B	180,000,000	2010/12/28	2011/03/30	45.00
66860	Credit Suisse AG – HSI R Bear CBBC Apr 2011 B	300,000,000	2010/12/28	2011/04/28	75.00
66862	Deutsche Bank AG – HSI R Bull CBBC Jun 2011 S	300,000,000	2010/12/28	2011/06/29	81.00
66863	Deutsche Bank AG – HSI R Bear CBBC May 2011 O	300,000,000	2010/12/28	2011/05/30	75.00
66864	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 S	200,000,000	2010/12/28	2011/03/30	50.00
66865	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 T	200,000,000	2010/12/28	2011/03/30	50.00
66866	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 U	200,000,000	2010/12/28	2011/03/30	50.00
66867	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 V	200,000,000	2010/12/28	2011/03/30	50.00
66871	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 W	200,000,000	2010/12/28	2011/03/30	50.00

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66873	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 X	200,000,000	2010/12/28	2011/03/30	50.00
66876	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 Y	200,000,000	2010/12/28	2011/03/30	50.00
66877	J P Morgan SP BV – HSI R Bull CBBC Mar 2011 Z	200,000,000	2010/12/28	2011/03/30	50.00
66900	RB of Scotland N.V. – HSCEI R Bull CBBC Jun 2011 A	250,000,000	2010/12/28	2011/06/29	62.50
66891	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 A	250,000,000	2010/12/28	2011/06/29	62.50
66892	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 B	250,000,000	2010/12/28	2011/06/29	62.50
66893	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 C	250,000,000	2010/12/28	2011/06/29	62.50
66895	RB of Scotland N.V. – HSI R Bull CBBC Jun 2011 D	250,000,000	2010/12/28	2011/06/29	62.50
66905	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 E	250,000,000	2010/12/28	2011/06/29	62.50
66907	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 F	250,000,000	2010/12/28	2011/06/29	79.50
66908	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 G	250,000,000	2010/12/28	2011/06/29	87.25
66910	RB of Scotland N.V. – HSI R Bear CBBC Jun 2011 H	250,000,000	2010/12/28	2011/06/29	95.00
66854	UBS AG – HSI R Bull CBBC Apr 2011 D	200,000,000	2010/12/28	2011/04/28	50.00
66855	UBS AG – HSI R Bull CBBC May 2011 F	200,000,000	2010/12/28	2011/05/30	50.00
66883	UBS AG – HSI R Bear CBBC Apr 2011 L	200,000,000	2010/12/28	2011/04/28	50.00
66540#	UBS AG – HSI R Bear CBBC Mar 2011 P	200,000,000	2010/12/28	2011/03/30	14.80
66921	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 M	200,000,000	2010/12/29	2011/12/29	50.00
66922	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 N	200,000,000	2010/12/29	2011/12/29	50.00
66923	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 O	200,000,000	2010/12/29	2011/12/29	50.00
66924	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 P	200,000,000	2010/12/29	2011/12/29	50.00
66925	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2011 Q	200,000,000	2010/12/29	2011/12/29	50.00
66916	Credit Suisse AG – HSI R Bull CBBC Apr 2011 L	180,000,000	2010/12/29	2011/04/28	45.00
66912	Deutsche Bank AG – China Life R Bull CBBC Mar 2011 P	80,000,000	2010/12/29	2011/03/29	21.60
66911	Deutsche Bank AG – A50 China R Bull CBBC Apr 2011 C	80,000,000	2010/12/29	2011/04/18	20.00
66914	Deutsche Bank AG – A50 China R Bear CBBC Apr 2011 D	80,000,000	2010/12/29	2011/04/19	20.00
66913	Deutsche Bank AG – HSCEI R Bull CBBC May 2011 A	100,000,000	2010/12/29	2011/05/30	30.00
66915	Deutsche Bank AG – HSI R Bull CBBC May 2011 R	300,000,000	2010/12/29	2011/05/30	75.00
66917	Deutsche Bank AG – HSI R Bear CBBC Jun 2011 T	300,000,000	2010/12/29	2011/06/29	75.00
66904	HK Bank – HSI R Bull CBBC Aug 2011 A	388,000,000	2010/12/29	2011/08/30	97.00
66920	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2011 N	128,000,000	2010/12/29	2011/04/28	32.00
66929	RB of Scotland N.V. – HKEx R Bull CBBC May 2011 A	80,000,000	2010/12/29	2011/05/23	20.00
66930	RB of Scotland N.V. – HKEx R Bull CBBC May 2011 B	80,000,000	2010/12/29	2011/05/23	20.00
66918	UBS AG – HSI R Bull CBBC May 2011 O	200,000,000	2010/12/29	2011/05/30	50.00
66919	UBS AG – HSI R Bull CBBC Jun 2011 G	200,000,000	2010/12/29	2011/06/29	50.00
66901	UBS AG – HSI R Bear CBBC Jun 2011 F	200,000,000	2010/12/29	2011/06/29	50.00
64508#	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jul 2011 E	120,000,000	2010/12/29	2011/07/28	10.08
66939	Credit Suisse AG – HSI R Bull CBBC Jun 2011 V	390,000,000	2010/12/30	2011/06/29	97.50
66931	Credit Suisse AG – HSI R Bear CBBC Apr 2011 C	390,000,000	2010/12/30	2011/04/28	97.50
66953	Deutsche Bank AG – HSI R Bull CBBC Apr 2011 G	300,000,000	2010/12/30	2011/04/28	75.00
66932	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2011 A	150,000,000	2010/12/30	2011/04/28	28.50
66935	CC Rabobank B.A. – HSI R Bull CBBC Jun 2011 A	150,000,000	2010/12/30	2011/06/29	37.50
66938	CC Rabobank B.A. – HSI R Bull CBBC Jun 2011 B	150,000,000	2010/12/30	2011/06/29	37.50
66943	CC Rabobank B.A. – HSI R Bear CBBC Jun 2011 C	150,000,000	2010/12/30	2011/06/29	37.50
66947	CC Rabobank B.A. – HSI R Bear CBBC Jun 2011 D	150,000,000	2010/12/30	2011/06/29	37.50
66948	CC Rabobank B.A. – HSI R Bear CBBC Jun 2011 E	150,000,000	2010/12/30	2011/06/29	37.50

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		發行單位				
66940	UBS AG – China Life R Bull CBBC May 2011 A	100,000,000		2010/12/30	2011/05/16	41.00
66941	UBS AG – A50 China R Bull CBBC May 2011 A	100,000,000		2010/12/30	2011/05/30	25.00
66950	UBS AG – A50 China R Bull CBBC May 2011 B	100,000,000		2010/12/30	2011/05/16	25.00
66952	UBS AG – HSI R Bull CBBC May 2011 P	200,000,000		2010/12/30	2011/05/30	50.00
66934	UBS AG – HSI R Bear CBBC Mar 2011 E	200,000,000		2010/12/30	2011/03/30	50.00
66957	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2011 T	200,000,000		2010/12/31	2011/03/30	50.00
66955	Credit Suisse AG – HSI R Bull CBBC Jun 2011 W	300,000,000		2010/12/31	2011/06/29	75.00
66954	Credit Suisse AG – HSI R Bear CBBC Apr 2011 X	300,000,000		2010/12/31	2011/04/28	75.00
66956	UBS AG – HSI R Bull CBBC May 2011 Q	200,000,000		2010/12/31	2011/05/30	50.00
66960	UBS AG – HSI R Bear CBBC May 2011 S	200,000,000		2010/12/31	2011/05/30	50.00
64603 [#]	HK Bank – A50 R Bull CBBC Apr 2011 B	150,000,000		2010/12/31	2011/04/12	12.75
總額						107,647.20

股票掛鉤票據／非上市可交易股份交易統計

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2009	第4季	—	—
2010	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2009	第4季	7	0.30
2010	第1季	7	1.33
	第2季	7	0.05
	第3季	7	0.30
	第4季	7	0.30

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2009	第4季	2	0.00
2010	第1季	2	0.04
	第2季	2	0.00
	第3季	2	0.00
	第4季	2	0.00

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2009	第4季	72,473.65	25,613.02	669,404
2010	第1季	97,877.07	31,502.84	762,645
	第2季	139,315.11	39,315.10	987,294
	第3季	88,672.33	24,297.92	647,501
	第4季	120,693.51	38,527.65	969,546

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2009	第4季	63	406.56	38,262
2010	第1季	61	516.44	41,307
	第2季	60	655.25	39,821
	第3季	64	379.65	37,526
	第4季	64	601.99	39,738

20種升幅最大之創業板股份

2010年第4季

順次	股份代號	股份	收市價		升幅
			2010年12月底	2010年9月底	
1	08065	中國海景	0.900	0.330	172.73
2	08029	太陽國際	1.910	0.780	144.87
3	08226	上聲國際	1.670	0.700	138.57
4	08356	進業控股	0.290	0.137	111.68
5	08200	修身堂	0.890	0.440	102.27
6	08060	國聯通信	0.520	0.265	96.23
7	08235	賽迪顧問	0.520	0.270	92.59
8	08003	世大控股	0.340	0.177	92.09
9	08046	恒芯中國	1.500	0.784	91.33
10	08117	中國基礎資源	0.191	0.107	78.50
11	08271	環球數碼創意	1.010	0.570	77.19
12	08096	博軟	0.930	0.530	75.47
13	08196	元征科技	4.040	2.340	72.65
14	08043	年代國際控股	0.830	0.510	62.75
15	08049	吉林長龍藥業	1.280	0.790	62.03
16	08063	卓施金網	1.360	0.860	58.14
17	08071	中國金屬資源	0.325	0.206	57.77
18	08351	永恒國際股份	0.710	0.475	49.47
19	08239	明基控股	2.500	1.710	46.20
20	08228	國藝控股	1.240	0.870	42.53

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2010年第4季

順次	股份代號	股份	收市價		跌幅
			2010年12月底	2010年9月底	
1	08173	寰亞礦業	0.026	0.078	-66.67
2	08325	奧思知集團控股	0.510	1.300	-60.77
3	08130	杏林醫療信息	0.090	0.213	-57.75
4	08032	非凡中國	0.340	0.780	-56.41
5	08008	新意網集團	0.990	2.250	-56.00
6	08047	中國掌付	0.073	0.149	-51.01
7	08212	香港生命集團	0.204	0.408 A	-50.00
8	08166	中國農業生態	0.139	0.250	-44.40
9	08150	無縫綠色	0.920	1.550 A	-40.65
10	08080	北亞策略	0.091	0.150	-39.33
11	08009	菱控有限公司	0.095	0.151	-37.09
12	08041	中郵電貿(控股)	0.162	0.244	-33.61
13	08250	中國天然投資	0.200	0.300	-33.33
14	08100	聯夢活力世界	0.088	0.131 A	-32.82
15	08103	泰盛國際控股	0.176	0.260	-32.31
16	08220	比高集團	0.156	0.227	-31.28
17	08081	駿科網絡訊息	0.220	0.320	-31.25
18	08025	亞洲資產	0.850	1.230	-30.89
19	08198	新濠環彩	0.149	0.214	-30.37
20	08298	安捷利實業	0.238	0.340	-30.00

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2010年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08136	田生集團	3,654.81	9.49
2	08032	非凡中國	3,608.48	9.37
3	08046	恒芯中國	2,436.16	6.32
4	08200	修身堂	1,261.59	3.27
5	08207	中國信貸	1,046.11	2.72
6	08071	中國金屬資源	1,038.10	2.69
7	08277	物美商業	1,016.15	2.64
8	08161	華彩控股	922.78	2.40
9	08009	菱控有限公司	905.65	2.35
10	08043	年代國際控股	889.95	2.31
11	08022	彩娛集團	875.67	2.27
12	08116	中國公共醫療	799.24	2.07
13	08167	中國新電信	787.90	2.05
14	08079	無限創意控股	686.70	1.78
15	08192	環球能源資源	673.95	1.75
16	08137	洪橋集團	668.27	1.73
17	08228	國藝控股	594.09	1.54
18	08066	品創控股	579.65	1.50
19	08080	北亞策略	552.31	1.43
20	08078	中國3D數碼	531.20	1.38
總額			23,528.76	61.07

創業板 股份

20種成交最活躍創業板股份(股數)

2010年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08009	菱控有限公司	8,195.02	6.79
2	08116	中國公共醫療	6,983.41	5.79
3	08032	非凡中國	6,753.14	5.60
4	08171	中國趨勢	5,550.85	4.60
5	08167	中國新電信	5,322.86	4.41
6	08173	寰亞礦業	5,252.39	4.35
7	08071	中國金屬資源	4,622.61	3.83
8	08212	香港生命集團	4,214.40	3.49
9	08080	北亞策略	3,740.18	3.10
10	08202	匯創控股	3,166.26	2.62
11	08389	百田石油股權	3,013.57	2.50
12	08136	田生集團	2,967.53	2.46
13	08161	華彩控股	2,819.43	2.34
14	08299	大唐潼金	2,799.84	2.32
15	08022	彩娛集團	2,150.63	1.78
16	08192	環球能源資源	2,043.66	1.69
17	08391	華夏醫療股權	2,021.23	1.67
18	08400	香港生命集團股權	2,020.89	1.67
19	08046	恒芯中國	2,007.26	1.66
20	08129	中國生物資源	1,954.64	1.62
總數			77,599.82	64.29

創業板 股份

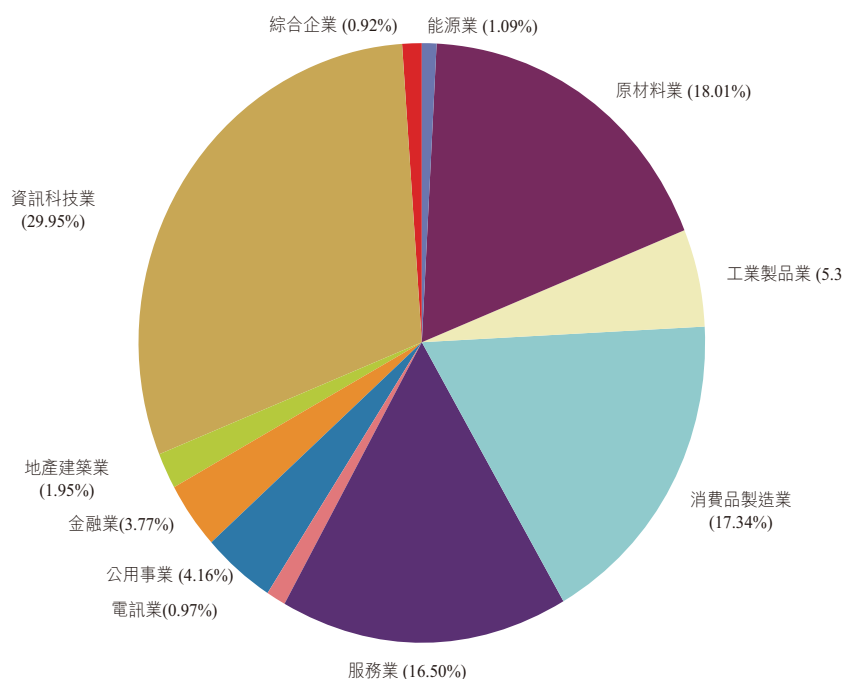
創業板公司市價總值

截至季末

		百 萬 港 元
2009	第 4 季	105,036.43
2010	第 1 季	134,742.28
	第 2 季	130,902.34
	第 3 季	129,859.18
	第 4 季	134,674.08

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2010年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	17,152.58	12.74
2	08277	北京物美商業集團股份有限公司-H股	10,280.64	7.63
3	08032	非凡中國控股有限公司	6,542.45	4.86
4	08043	年代國際控股有限公司	4,660.40	3.46
5	08086	易寶有限公司	4,505.57	3.35
6	08192	環球能源資源國際集團有限公司	3,764.40	2.80
7	08035	濱海投資有限公司	3,415.90	2.54
8	08071	中國金屬資源控股有限公司	2,944.23	2.19
9	08046	恒芯中國控股有限公司	2,875.24	2.13
10	08161	華彩控股有限公司	2,479.72	1.84
11	08096	博軟(控股)有限公司	2,442.44	1.81
12	08207	中國信貸控股有限公司	2,423.60	1.80
13	08206	神通電信服務有限公司	2,377.45	1.77
14	08008	新意網集團有限公司	2,319.25	1.72
15	08052	利亞零售有限公司	2,311.75	1.72
16	08155	南華置地有限公司	2,034.49	1.51
17	08167	中國新電信集團有限公司	2,005.67	1.49
18	08066	品創控股有限公司	1,931.72	1.43
19	08158	中國生物醫學再生科技有限公司	1,834.79	1.36
20	08029	太陽國際集團有限公司	1,763.71	1.31
21	08058	山東羅欣藥業股份有限公司-H股	1,588.00	1.18
22	08070	中裕燃氣控股有限公司	1,342.33	1.00
23	08271	環球數碼創意控股有限公司	1,308.21	0.97
24	08190	康佰控股有限公司	1,280.60	0.95
25	08080	北亞策略控股有限公司	1,237.31	0.92
26	08306	中國有色金屬有限公司	1,154.98	0.86
27	08123	首華財經網絡集團有限公司	1,148.69	0.85
28	08196	深圳市元征科技股份有限公司-H股	1,105.34	0.82
29	08279	亞博科技控股有限公司	1,103.26	0.82
30	08175	中國數碼版權(集團)有限公司	1,086.57	0.81
31	08203	凱順能源集團有限公司	1,036.05	0.77
32	08116	中國公共醫療(控股)有限公司	1,025.80	0.76
33	08005	裕興科技控股有限公司	1,021.32	0.76
34	08102	上海復旦微電子股份有限公司-H股	1,017.79	0.76
35	08299	大唐滄金控股有限公司	968.29	0.72
36	08083	矽感科技控股有限公司	963.45	0.72
37	08290	天津天聯公用事業股份有限公司-H股	845.10	0.63
38	08025	亞洲資產(控股)有限公司	840.16	0.62
39	08228	國藝控股有限公司	825.66	0.61
40	08153	科地農業控股有限公司	814.14	0.60
41	08259	烟台北方安德利果汁股份有限公司-H股	756.88	0.56
42	08128	中國地能有限公司	713.64	0.53
43	08226	上聲國際控股有限公司	704.68	0.52
44	08061	光亞有限公司	633.08	0.47
45	08272	進能國際有限公司	631.25	0.47
46	08009	菱控有限公司	624.98	0.46
47	08076	新利軟件(集團)股份有限公司	592.64	0.44
48	08156	眾彩科技股份有限公司	571.72	0.42
49	08065	中國海景控股有限公司	544.36	0.40
50	08068	新宇國際實業(集團)有限公司	523.09	0.39
總額			108,075.35	80.25
市場總值			134,674.08	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2009	第4季	3	197.50
2010	第1季	—	—
	第2季	2	146.25
	第3季	2	78.24
	第4季	3	424.79

創業板新上市公司

2010年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08269	富譽控股有限公司	2010/10/14	552,000,000	40.00
08207	中國信貸控股有限公司	2010/11/19	1,660,000,000	345.00
08312	Brilliance Worldwide Holdings Ltd.	2010/11/25	692,000,000	39.79
總額				424.79

除牌上市公司－創業板

2010年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
08013	松景科技控股有限公司*	2010/11/17	2010/11/18 §
08287	南京三寶科技股份有限公司-H股*	2010/11/30	2010/12/01 §
08136	田生集團有限公司*	2010/12/01	2010/12/02 §
08319	友成控股有限公司*	2010/12/14	2010/12/15 §
08182	環能國際控股有限公司*	2010/12/16	2010/12/17 §

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2010年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08078	龍彩娛樂集團有限公司	中國3D數碼娛樂有限公司	2010/09/22	2010/11/03
08082	訊通控股有限公司	仁智國際集團有限公司	2010/10/08	2010/11/24
08032	快意節能有限公司	非凡中國控股有限公司	2010/10/27	2010/11/29
08163	中建資源集團有限公司	萬德資源集團有限公司	2010/11/05	2010/12/01
08212	問博控股有限公司	香港生命集團控股有限公司	2010/10/21	2010/12/08
08079	變靚D控股有限公司	無限創意控股有限公司	2010/11/08	2010/12/15

創業板 股份

創業板紅股／紅利認股權證

2010年第4季

股份代號	公司	詳情	除淨日
08008	新意網集團有限公司	1送1 (可選擇收取可換股債券以代替紅股)	2010/10/21
08046	恒芯中國控股有限公司	30送1	2010/11/01
08100	聯夢活力世界有限公司	每供4股送3股股份	2010/12/17

創業板股份拆細及合併

2010年第4季

股份代號	公司	詳情	生效日期
08186	神州東盟資源有限公司	5股合併為1股	2010/10/07
08150	無縫綠色中國(集團)有限公司	50股合併為1股	2010/10/14
08082	仁智國際集團有限公司	10股合併為1股	2010/10/28
08200	修身堂控股有限公司	10股合併為1股	2010/11/02
08212	香港生命集團控股有限公司	10股合併為1股	2010/12/03
08202	匯創控股有限公司	10股合併為1股	2010/12/09
08143	華夏醫療集團有限公司	10股合併為1股	2010/12/10
08100	聯夢活力世界有限公司	5股合併為1股	2010/12/14
08356	進業控股有限公司	1股分拆為10股	2010/12/23

創業板供股／公開售股

2010年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10月	08150	無縫綠色中國(集團)有限公司	公開售股17供8，每股供0.01港元	19.95
	08011	百田石油國際集團有限公司	股權2供1，每股供0.10港元	81.12
11月	08143	華夏醫療集團有限公司	股權3供1，每股供0.062港元	93.22
	08117	中國基礎資源控股有限公司	公開售股2供1，每股供0.08港元	49.18
	08200	修身堂控股有限公司	股權1供6，每股供0.30港元	53.56
12月	08212	香港生命集團控股有限公司	股權1供3，每股供0.20港元 (10股合併為1股後)	248.27
總額				545.30

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2010					
9月**	08043	年代國際控股	1,200,000,000	0.3640	436.80
10月	08041	中郵電貿(控股)	180,000,000	0.2000	36.00
	08078	中國3D數碼	200,000,000	0.1250	25.00
	08182	環能國際	345,498,000	0.4650	160.66
	08108	名家國際	276,956,000	0.1160	32.13
	08317	財華社集團	73,000,000	0.4200	30.66
	08202	匯創控股	170,000,000	0.1000	17.00
	08136	田生集團	150,000,000	1.2000	180.00
	08111	一創科技	78,400,000	0.5000	39.20
	08103	泰盛國際控股	76,520,000	0.2710	20.74
	08021	匯隆控股	90,000,000	0.1110	9.99
	08130	杏林醫療信息	227,800,000	0.1810	41.23
11月	08272	進能國際	480,400,000	0.2500	120.10
	08306	中國有色金屬	200,000,000	0.2500	50.00
	08117	中國基礎資源	570,000,000	0.0800	45.60
	08190	康佰控股	100,000,000	0.4000	40.00
	08019	皓文控股	255,000,000	0.1260	32.13
	08266	流動電訊	90,000,000	0.2000	18.00
	08228	國藝控股	95,000,000	0.3400	32.30
	08329	海王英特龍	189,330,000	0.8900	168.50
	08256	金利通	6,883,000	0.1700	1.17
	08136	田生集團	150,000,000	1.1500	172.50
	08150	無縫綠色	16,960,000	0.6200	10.52
	08032	非凡中國	1,160,000,000	0.6700	777.20
	08032	非凡中國	629,038,000	0.6200	390.00
	08005	裕興科技	100,000,000	0.3000	30.00
	08085	中民安園	346,000,000	0.1280	44.29
12月	08247	中生北控生物科技	31,286,143	2.3600	73.84
	08250	中國天然投資	100,400,000	0.1650	16.57
	08176	中國金豐集團	80,000,000	0.3750	30.00
	08071	中國金屬資源	1,416,105,000	0.2000	283.22
	08172	嘉匯投資控股	84,000,000	0.2550	21.42
	08017	百齡國際	853,000,000	0.1450	123.69
	08228	國藝控股	95,000,000	1.1000	104.50
	08256	金利通	5,617,000	0.1700	0.95
	08065	中國海景	96,870,000	0.8700	84.28
	08130	杏林醫療信息	1,600,000,000	0.1000	160.00
總額					3,860.17

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2009	第4季	1	0.04	1.49
2010	第1季	1	0.00	1.49
	第2季	1	0.04	1.49
	第3季	1	8.43	35.85
	第4季	1	13.61	40.15

創業板新上市股份認股權證

2010年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					—
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2010年12月底 收市	2010年9月底 收市	升跌
標準普爾／香港交易所大型股指數	27392.78	26266.05	+ 4.29%
標準普爾／香港交易所創業板指數	810.52	808.93	+ 0.20%
恒生指數	23035.45	22358.17	+ 3.03%
分類指數			
金融	33789.22	32546.07	+ 3.82%
公用事業	41748.92	42611.42	- 2.02%
地產	29980.33	31198.48	- 3.90%
工商業	12974.37	12368.77	+ 4.90%
恒生香港中資企業指數	4170.15	4207.65	- 0.89%
恒生中國企業指數	12692.43	12406.10	+ 2.31%

股票市場指數

世界指數

指數	2010年12月底 收市	2010年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	27,392.78	26,266.05	+ 4.29%
恒生指數	23,035.45	22,358.17	+ 3.03%
澳洲			
普通股指數	4,846.88	4,636.86	+ 4.53%
雅加達			
綜合指數	3,703.51	3,501.30	+ 5.78%
日本			
日經指數 (225)	10,228.92	9,369.35	+ 9.17%
韓國			
綜合指數	2,051.00	1,872.81	+ 9.51%
吉隆坡			
綜合指數	1,518.91	1,463.50	+ 3.79%
馬尼拉			
綜合指數	4,201.14	4,100.07	+ 2.47%
紐西蘭			
證交所指數 (50)	3,309.03	3,178.09	+ 4.12%
上海			
A 股指數	2,940.24	2,782.02	+ 5.69%
B 股指數	304.35	262.77	+ 15.82%
深圳			
A 股指數	1,351.14	1,223.25	+ 10.46%
B 股指數	824.82	761.61	+ 8.30%
新加坡			
FTSE 海峽時報指數	3,190.04	3,097.63	+ 2.98%
台灣			
台北加權股份指數	8,972.50	8,237.78	+ 8.92%
泰國			
證交所指數	1,032.76	975.30	+ 5.89%
紐約			
杜瓊斯工業平均指數	11,577.51	10,788.05	+ 7.32%
Nasdaq 綜合指數	2,652.87	2,368.62	+ 12.00%
多倫多			
S&P/TSX 綜合指數	13,443.22	12,368.65	+ 8.69%
德國			
法蘭克福指數	4,053.71	3,652.00	+ 11.00%
倫敦			
富時指數 (100)	5,899.90	5,548.60	+ 6.33%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2010年第4季	2009年第4季
恒生指數期貨	5,839,361	4,675,012
恒生指數期權	2,731,179	1,618,224
小型恒生指數期貨	2,150,209	2,117,954
小型恒生指數期權	168,425	83,209
H股指數期貨	3,242,625	2,655,350
H股指數期權	941,418	638,430
小型H股指數期貨	324,632	206,009
自訂條款恒生指數期權 ¹	3,710	—
自訂條款H股指數期權 ²	634	—
恒指股息點指數期貨 ³	2,123	—
恒生國企股息點指數期貨 ⁴	4,667	—
股票期貨	121,049	53,070
股票期權	20,445,628	11,611,195
三個月港元利率期貨	355	246
一個月港元利率期貨	—	—
三年期外匯基金債券期貨	—	—
黃金期貨	1,972	2,503
總數	35,977,987	23,661,202

¹ 自訂條款恒生指數期權由2010年2月08日開始買賣

² 自訂條款H股指數期權由2010年2月08日開始買賣

³ 恒指股息點指數期貨由2010年11月01日開始買賣

⁴ 恒生國企股息點指數期貨由2010年11月01日開始買賣

季末未平倉合約

衍生產品	合約張數	
	2010年第4季	2009年第4季
恒生指數期貨	88,816	68,456
恒生指數期權	187,784	175,720
小型恒生指數期貨	7,359	4,447
小型恒生指數期權	4,859	3,551
H股指數期貨	94,734	74,324
H股指數期權	104,994	124,030
小型H股指數期貨	1,867	741
自訂條款恒生指數期權 ¹	500	—
自訂條款H股指數期權 ²	—	—
恒指股息點指數期貨 ³	1,270	—
恒生國企股息點指數期貨 ⁴	1,138	—
股票期貨	11,514	4,407
股票期權	5,381,215	3,848,117
三個月港元利率期貨	267	103
一個月港元利率期貨	—	—
三年期外匯基金債券期貨	—	—
黃金期貨	85	119
總數	5,886,402	4,304,015

¹ 自訂條款恒生指數期權由2010年2月08日開始買賣

² 自訂條款H股指數期權由2010年2月08日開始買賣

³ 恒指股息點指數期貨由2010年11月01日開始買賣

⁴ 恒生國企股息點指數期貨由2010年11月01日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2009年	第4季	4,675,012	68,456
2010年	第1季	5,132,388	73,577
	第2季	5,031,763	77,070
	第3季	5,027,573	113,153
	第4季	5,839,361	88,816

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2009年	第4季	2,117,954	4,447
2010年	第1季	2,116,913	4,956
	第2季	2,084,704	7,602
	第3季	1,948,828	7,181
	第4季	2,150,209	7,359

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2009年	第4季	1,618,224	175,720
2010年	第1季	1,813,584	208,189
	第2季	1,935,115	202,453
	第3季	2,035,171	235,934
	第4季	2,731,179	187,784

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2009年	第4季	2,655,350	74,324
2010年	第1季	2,965,655	87,444
	第2季	3,223,123	89,089
	第3季	2,998,397	114,171
	第4季	3,242,625	94,734

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2009年	第4季	14,852.98	11,611,195	3,848,117
2010年	第1季	12,951.69	12,540,453	4,352,404
	第2季	12,614.00	14,262,472	5,163,995
	第3季	11,967.70	13,877,094	5,090,926
	第4季	18,050.22	20,445,628	5,381,215

衍生產品市場 期貨及期權

各期權類別之期權金總額

2010年第4季

期權類別		期權金額(百萬港元)	佔市場百分比
安碩A50中國	A50	609.98	3.38%
農業銀行	ABC	240.54	1.33%
友邦保險	AIA	285.02	1.58%
中國鋁業	ALC	53.64	0.30%
中國銀行	BCL	378.98	2.10%
交通銀行	BCM	73.73	0.41%
東亞銀行	BEA	2.30	0.01%
中銀香港	BOC	130.82	0.72%
比亞迪股份	BYD	273.68	1.52%
建設銀行	CCB	736.34	4.08%
中國交通建設	CCC	52.83	0.29%
中國中煤能源	CCE	51.72	0.29%
中國遠洋	CCS	26.61	0.15%
中國移動	CHT	1,854.99	10.28%
中國聯通	CHU	113.10	0.63%
中信泰富	CIT	21.42	0.12%
長江實業	CKH	600.05	3.32%
中國人壽	CLI	1,790.60	9.92%
中電控股	CLP	48.11	0.27%
招商銀行	CMB	125.65	0.70%
中國海洋石油	CNC	634.34	3.51%
中國海外發展	COL	59.33	0.33%
國泰航空	CPA	11.19	0.06%
中國石油化工股份	CPC	214.07	1.19%
中國太保	CPI	8.96	0.05%
中國鐵建	CRC	10.54	0.06%
中國中鐵	CRG	16.09	0.09%
標智滬深300	CS3	24.10	0.13%
中國神華	CSE	130.51	0.72%
中信銀行	CTB	22.41	0.12%
中國電信	CTC	63.35	0.35%
思捷環球	ESP	31.92	0.18%
富士康國際	FIH	7.11	0.04%
香港電燈	HEH	17.72	0.10%
香港交易所	HEX	1,299.75	7.20%
匯豐控股	HKB	2,301.05	12.75%
香港中華煤氣	HKG	7.89	0.04%
恒基地產	HLD	66.02	0.37%
華能國際電力股份	HNP	42.79	0.24%
恒生銀行	HSB	342.71	1.90%
和記黃埔	HWL	1,687.92	9.35%
工商銀行	ICB	613.54	3.40%
江西銅業	JXC	107.54	0.60%
利豐	LIF	36.42	0.20%
地鐵公司	MTR	16.37	0.09%
新世界發展	NWD	24.63	0.14%
中國平安	PAI	640.68	3.55%
中國石油股份	PEC	494.51	2.74%
中國人民財產保險	PIC	38.63	0.21%
富力地產	RFP	12.02	0.07%
新鴻基地產	SHK	1,088.86	6.03%
太古股份公司A	SWA	32.88	0.18%
騰訊控股	TCH	383.24	2.12%
盈富基金	TRF	8.59	0.05%
九龍倉集團	WHL	31.63	0.18%
兗州煤業股份	YZC	0.92	0.01%
紫金礦業	ZJM	49.88	0.28%
總額		18,050.22	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2010年第4季

期權類別		合約成交量	佔市場百分比
安碩A50中國	A50	268,398	1.31%
農業銀行	ABC	161,650	0.79%
友邦保險	AIA	329,945	1.61%
中國鋁業	ALC	78,199	0.38%
中國銀行	BCL	1,684,203	8.24%
交通銀行	BCM	239,090	1.17%
東亞銀行	BEA	15,924	0.08%
中銀香港	BOC	216,750	1.06%
比亞迪股份	BYD	198,091	0.97%
建設銀行	CCB	2,143,975	10.49%
中國交通建設	CCC	170,763	0.84%
中國中煤能源	CCE	72,766	0.36%
中國遠洋	CCS	136,707	0.67%
中國移動	CHT	1,362,861	6.67%
中國聯通	CHU	99,632	0.49%
中信泰富	CIT	25,382	0.12%
長江實業	CKH	171,583	0.84%
中國人壽	CLI	1,635,218	8.00%
中電控股	CLP	97,655	0.48%
招商銀行	CMB	300,445	1.47%
中國海洋石油	CNC	523,818	2.56%
中國海外發展	COL	56,419	0.28%
國泰航空	CPA	11,592	0.06%
中國石油化工股份	CPC	400,607	1.96%
中國太保	CPI	7,566	0.04%
中國鐵建	CRC	52,922	0.26%
中國中鐵	CRG	67,981	0.33%
標智滬深300	CS3	14,520	0.07%
中國神華	CSE	197,870	0.97%
中信銀行	CTB	99,752	0.49%
中國電信	CTC	115,156	0.56%
思捷環球	ESP	169,005	0.83%
富士康國際	FIH	24,262	0.12%
香港電燈	HEH	34,808	0.17%
香港交易所	HEX	1,664,303	8.14%
匯豐控股	HKB	2,223,569	10.88%
香港中華煤氣	HKG	32,220	0.16%
恒基地產	HLD	27,815	0.14%
華能國際電力股份	HNP	84,337	0.41%
恒生銀行	HSB	265,668	1.30%
和記黃埔	HWL	507,737	2.48%
工商銀行	ICB	2,254,200	11.03%
江西銅業	JXC	112,181	0.55%
利豐	LIF	15,551	0.08%
地鐵公司	MTR	45,736	0.22%
新世界發展	NWD	28,845	0.14%
中國平安	PAI	409,418	2.00%
中國石油股份	PEC	619,664	3.03%
中國人民財產保險	PIC	38,877	0.19%
富力地產	RFP	45,044	0.22%
新鴻基地產	SHK	208,032	1.02%
太古股份公司A	SWA	25,180	0.12%
騰訊控股	TCH	540,926	2.65%
盈富基金	TRF	24,312	0.12%
九龍倉集團	WHL	19,101	0.09%
兗州煤業股份	YZC	686	0.00%
紫金礦業	ZJM	66,711	0.33%
總數		20,445,628	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2009 第4季	76,664	41.72	176.73
2010 第1季	75,816	42.77	170.12
第2季	77,887	44.35	176.97
第3季	74,032	46.78	169.54
第4季	92,915	54.76	234.79

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2009 第4季	629	118.78	527.19
2010 第1季	542	104.15	176.12
第2季	543	129.16	252.42
第3季	499	115.92	177.54
第4季	715	120.35	480.27

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2009 第4季	3,286.62	70.93	9,245.11	49.19
2010 第1季	3,379.40	71.01	9,509.70	49.89
第2季	3,409.67	71.10	8,904.81	48.93
第3季	3,538.16	71.67	10,442.07	50.28
第4季	3,834.10	71.80	11,294.50	49.57

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2009	第4季	751,643	100	107,724.27	100	65,408.35	100
2010	第1季	760,565	100	121,800.63	100	64,759.92	100
	第2季	759,995	100	127,045.87	100	62,890.79	100
	第3季	688,441	100	140,304.39	100	61,762.38	100
	第4季	904,380	100	171,236.05	100	86,455.45	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2009	第4季	68,773	95.18	25,589.10	87.77
2010	第1季	70,369	95.15	26,008.26	89.04
	第2季	68,933	95.24	27,021.58	89.16
	第3季	65,867	95.01	24,660.06	91.10
	第4季	80,167	95.27	31,301.46	90.52

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2009	第4季	99.86	99.99
2010	第1季	99.88	99.99
	第2季	99.87	99.99
	第3季	99.90	99.99
	第4季	99.86	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2010年第4季	2009年第4季
恒生指數期貨	88,816	68,456
恒生指數期權	187,784	175,720
小型恒生指數期貨	7,359	4,447
小型恒生指數期權	4,859	3,551
H股指數期貨	94,734	74,324
H股指數期權	104,994	124,030
小型H股指數期貨	1,867	741
自訂條款恒生指數期權 ¹	500	—
自訂條款H股指數期權 ²	—	—
恒指股息點指數期貨 ³	1,270	—
恒生國企股息點指數期貨 ⁴	1,138	—
股票期貨	11,514	4,407
股票期權	5,381,215	3,848,117
三個月港元利率期貨	267	103
一個月港元利率期貨	—	0
三年期外匯基金債券期貨	—	—
黃金期貨	85	119
總數	5,886,402	4,304,015

¹ 自訂條款恒生指數期權由2010年2月08日開始買賣

² 自訂條款H股指數期權由2010年2月08日開始買賣

³ 恒指股息點指數期貨由2010年11月01日開始買賣

⁴ 恒生國企股息點指數期貨由2010年11月01日開始買賣

結算及交收

各期權類別之未平倉合約

2010年第4季末

期權類別		未平倉合約	佔市場百份比
安碩A50中國	A50	66,919	1.24
農業銀行	ABC	47,996	0.89
友邦保險	AIA	89,155	1.66
中國鋁業	ALC	22,266	0.41
中國銀行	BCL	461,215	8.57
交通銀行	BCM	89,012	1.65
東亞銀行	BEA	6,034	0.11
中銀香港	BOC	67,049	1.25
比亞迪股份	BYD	43,093	0.80
建設銀行	CCB	619,467	11.51
中國交通建設	CCC	66,471	1.24
中國中煤能源	CCE	30,062	0.56
中國遠洋	CCS	34,364	0.64
中國移動	CHT	346,364	6.44
中國聯通	CHU	45,399	0.84
中信泰富	CIT	12,639	0.23
長江實業	CKH	57,151	1.06
中國人壽	CLI	249,978	4.65
中電控股	CLP	39,156	0.73
招商銀行	CMB	105,863	1.97
中國海洋石油	CNC	157,372	2.92
中國海外發展	COL	15,931	0.30
國泰航空	CPA	4,167	0.08
中國石油化工股份	CPC	131,181	2.44
中國太保	CPI	4,726	0.09
中國鐵建	CRC	15,406	0.29
中國中鐵	CRG	14,395	0.27
標智滬深300	CS3	5,519	0.10
中國神華	CSE	68,084	1.27
中信銀行	CTB	31,732	0.59
中國電信	CTC	52,854	0.98
思捷環球	ESP	36,177	0.67
富士康國際	FIH	7,825	0.15
香港電燈	HEH	13,616	0.25
香港交易所	HEX	342,709	6.37
匯豐控股	HKB	472,125	8.77
香港中華煤氣	HKG	11,439	0.21
恒基地產	HLD	10,000	0.19
華能國際電力股份	HNP	29,305	0.54
恒生銀行	HSB	112,302	2.09
和記黃埔	HWL	118,395	2.20
工商銀行	ICB	691,073	12.84
江西銅業	JXC	26,583	0.49

結算及交收

期權類別		未平倉合約	佔市場百分比
利豐	LIF	2,208	0.04
地鐵公司	MTR	12,813	0.24
新世界發展	NWD	7,602	0.14
中國平安	PAI	79,024	1.47
中國石油股份	PEC	191,318	3.56
中國人民財產保險	PIC	11,852	0.22
富力地產	RFP	15,860	0.29
新鴻基地產	SHK	51,063	0.95
太古股份公司A	SWA	4,318	0.08
騰訊控股	TCH	98,659	1.83
盈富基金	TRF	10,213	0.19
九龍倉集團	WHL	6,693	0.12
兗州煤業股份	YZC	492	0.01
紫金礦業	ZJM	16,531	0.31
總數		5,381,215	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2009	第4季	525 (940)	463 (873)	32 (37)	30 (30)
2010	第1季	521 (939)	468 (883)	31 (34)	22 (22)
	第2季	523 (946)	471 (891)	30 (33)	22 (22)
	第3季	530 (953)	478 (898)	30 (33)	22 (22)
	第4季	538 (960)	485 (904)	31 (34)	22 (22)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2009	第4季	211 (238)	167 (192)	—	44 (46)
2010	第1季	214 (239)	171 (194)	—	43 (45)
	第2季	214 (239)	171 (194)	—	43 (45)
	第3季	220 (245)	177 (200)	—	43 (45)
	第4季	221 (246)	179 (202)	—	42 (44)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2009 第 4 季	144	10
2010 第 1 季	147	9
第 2 季	148	9
第 3 季	152	10
第 4 季	153	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2009 第 4 季	53	6
2010 第 1 季	54	6
第 2 季	54	6
第 3 季	55	6
第 4 季	56	6

中央結算所參與者

年／月	直接結算參與者	全面結算參與者	非經紀參與者(註1)
2009 第 4 季	452	7	41
2010 第 1 季	455	7	41
第 2 季	455	7	41
第 3 季	460	7	41
第 4 季	468	7	44

註1：非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S	第二上市
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示