

證券及衍生產品市場 季報

2011年第四季

市場概況

主板

概況	2011年 第4季	2010年 第4季
上市公司數目	1,326	1,244
上市證券數目	6,551	7,730
股份		
普通股	1,327	1,245
優先股	3	3
認股權證	4,040	5,170
股份	13	22
衍生	4,027	5,148
股票掛鈎票據	0	0
牛熊證	901	1064
單位信託及互惠基金	88	79
債券	192	169
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	62	64
總成交金額(百萬港元)*	3,668,692.89	5,494,945.12
總成交股數(百萬股)	9,965,747.96	10,838,200.62
總成交宗數(宗數)	52,904,378	56,912,394
上市公司市價總值(百萬港元)	17,452,667.08	20,942,284.39
平均市盈率(倍)	9.68	16.67
平均週息率(百分率)	3.31	2.31
交易所參與者數目		
開業	498	485
非開業	36	31
成交金額(百萬港元)		
最高	109,458.86 (28/10)	138,533.28 (14/10)
最低	24,466.29 (30/12)	40,795.37 (29/12)
成交股數(百萬股)		
最高	216,852.31 (09/12)	252,604.52 (12/11)
最低	53,568.49 (30/12)	99,145.84 (23/12)
總成交宗數(宗數)		
最高	1,490,991 (28/10)	1,326,249 (14/10)
最低	400,819 (30/12)	524,860 (29/12)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2011年 第4季	2010年 第4季
上市公司數目	170	169
上市證券數目	172	170
股份	170	169
認股權證	2	1
交易日數	62	64
總成交金額(百萬港元)*	9,971.43	38,541.26
總成交股數(百萬股)	41,266.01	120,907.91
總成交宗數(宗數)	288,727	969,904
上市公司市價總值(百萬港元)	84,589.06	134,674.08
平均市盈率(倍)	22.16	31.10
平均週息率(百分率)	0.52	0.95
成交金額(百萬港元)		
最高	407.04 (11/11)	1,656.05 (27/10)
最低	96.35 (21/12)	300.89 (29/12)
成交股數(百萬股)		
最高	1,254.42 (14/12)	3,868.03 (27/10)
最低	355.01 (19/12)	1,044.09 (22/11)
總成交宗數(宗數)		
最高	6,771 (14/10)	27,698 (10/11)
最低	3,366 (06/10)	9,102 (29/12)

* 由2010年11月29日起成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2011年第四季	2010年第四季
所有期貨及期權產品(股票期權除外)		
交易日數	62.0	63.0
總合約成交量	16,834,379	15,532,359
股市指數產品	16,733,584	15,408,983
股票產品	100,586	121,049
利率產品	89	355
黃金期貨	120	1,972
平均每日合約成交量	271,522	246,545
季末未平倉合約	607,304	505,187
股市指數產品	595,978	493,321
股票產品	11,277	11,514
利率產品	49	267
黃金期貨	—	85
股票期權		
新開辦期權類別	3	3
股票期權數目(於季末數目)		
類別	60	57
系列	15,450	11,510
期權金總額(百萬港元)	16,977.30	18,050.22
認購	5,904.59	8,389.25
認沽	11,072.71	9,660.97
平均每日期權金總額(百萬港元)	273.83	286.51
總合約成交量	17,604,585	20,445,628
認購	8,621,831	9,687,649
認沽	8,982,754	10,757,979
平均每日合約成交量	283,945	324,534
季末未平倉合約	5,329,494	5,381,215
認購	2,420,694	1,969,501
認沽	2,908,800	3,411,714
總成交宗數	701,060	717,466
平均每日成交宗數	11,307	11,388
已行使合約總數	2,327,126	2,046,257
每張合約平均期權金(港元)	964	883
每宗交易平均合約數目	25	28
認沽數量/認購數量	1.04	1.11
平均每日合約成交量/未平倉合約	5.3%	6.0%
所有期貨及期權產品		
總合約成交量	34,438,964	35,977,987
季末未平倉合約	5,936,798	5,886,402

市場概況

結算及交收

中央結算系統營運資料	2011年第4季	2010年第4季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	857,422	904,380
-交易金額(十億港元)	59.3	86.5
-涉及股數(十億股)	161.4	171.2
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	76,802	92,915
-交收指示涉及金額(十億港元)	186.4	234.8
-涉及股數(十億股)	44.4	54.8
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	342	715
-交收指示涉及金額(百萬港元)	97.1	480.3
-涉及股數(百萬股)	83.8	120.3
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.89	99.86
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	5	10
-補購宗數	5	11
-補購涉及金額(百萬港元)	1.5	4.3
存放於中央結算系統證券存管處的股份		
-股數(十億股)	3,694.2	3,834.1
-獲納入系統的證券佔已發行股本總數的百分比	70.17	71.80
-股份價值(十億港元)	9,599.9	11,294.5
-獲納入系統的證券佔市價總值的百分比	50.23	49.57
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	238,065	195,184
-股票期貨	11,277	11,514
-黃金期貨	-	85
-利率期貨	49	267
-股市指數期權	357,913	298,137
-股票期權	5,329,494	5,381,215.00
累積行使股票期權		
-涉及股數(百萬股)	2,254	2,025
-涉及金額(十億港元)	36	38

主板 股份

股份成交

		股數 (百萬股)	金額 (百萬港元)	成交宗數
2010	第4季	1,011,031.65	3,847,088.03	46,784,952
2011	第1季	747,798.73	3,315,169.08	42,267,831
	第2季	754,334.25	3,069,149.98	41,652,899
	第3季	597,541.33	3,222,655.92	45,991,628
	第4季	568,209.23	2,399,034.07	42,392,538

股份交易統計

		交易日數	每日平均成交 金額(百萬港元)	平均每宗 交易成交金額
2010	第4季	64	60,110.75	82,229
2011	第1季	62	53,470.47	78,432
	第2季	59	52,019.49	73,684
	第3季	63	51,153.27	70,070
	第4季	62	38,694.10	56,591

主板 股份

20種升幅最大之股份

2011年第4季

順次	股份代號	股份	收市價		升幅
			2011年12月底	2011年9月底	
1	00802	宏霸數碼	1.000	0.380	163.16
2	00997	達藝控股	2.420	1.020	137.25
3	02312	中國金融租賃	0.180	0.076	A 136.84
4	00745	中國鐵聯傳媒	0.147	0.068	116.18
5	00794	錦勝集團(控股)	1.720	0.830	107.23
6	01161	奧思集團	1.220	0.600	103.33
7	00471	中播控股	0.065	0.032	103.13
8	00128	安寧控股	0.520	0.260	100.00
9	00149	中國農產品交易	0.285	0.148	92.57
10	00065	弘海有限公司	0.750	0.390	92.31
11	00515	達進精電	1.760	0.950	85.26
12	00642	PALADIN PREF	0.345	0.188	83.51
13	00444	SINCEREWATCH HK	1.600	0.900	77.78
14	00250	中國數碼信息	0.039	0.022	77.27
15	00384	中國燃氣	3.570	2.020	76.73
16	00084	寶光實業	1.530	0.870	75.86
17	00448	漢登控股	2.650	1.520	74.34
18	00082	第一視頻	1.020	0.590	72.88
19	00732	信利國際	1.350	0.790	70.89
20	01938	珠江鋼管	2.060	1.230	67.48

A 經調整

20種跌幅最大之股份

2011年第4季

順次	股份代號	股份	收市價		跌幅
			2011年12月底	2011年9月底	
1	00578	中國煤層氣集團	0.105	0.640	-83.59
2	00910	中國林大	0.730	2.860	A -74.48
3	01141	北京御生堂	0.034	0.109	-68.81
4	00943	意科控股	0.205	0.600	A -65.83
5	01386	盈進集團	0.420	1.200	-65.00
6	00582	嘉輝化工	0.440	1.200	-63.33
7	00202	國中控股	0.415	1.070	-61.21
8	01069	江晨國際	0.720	1.760	-59.09
9	00329	叁龍國際	0.032	0.076	-57.89
10	00449	志高控股	0.188	0.425	-55.76
11	00412	漢基控股	0.131	0.295	-55.59
12	00166	新時代能源	0.590	1.300	A -54.62
13	00108	建懋國際	0.900	1.980	-54.55
14	00061	北亞資源	0.222	0.480	-53.75
15	00922	中福控股	0.131	0.275	-52.36
16	00076	南海石油	0.237	0.490	-51.63
17	00313	裕田中國	0.080	0.162	-50.62
18	01383	鴻隆控股	0.177	0.350	A -49.43
19	00901	萊福資本	0.228	0.445	-48.76
20	00273	威利國際	0.195	0.375	A -48.00

A 經調整

主板 股份

20種成交最活躍股份(金額)

2011年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00939	建設銀行	130,384.23	5.43
2	01398	工商銀行	95,735.47	3.99
3	00941	中國移動	79,361.13	3.31
4	00005	匯豐控股	65,365.15	2.72
5	03988	中國銀行	64,140.82	2.67
6	00883	中國海洋石油	63,473.08	2.65
7	02628	中國人壽	55,674.00	2.32
8	02318	中國平安	51,532.97	2.15
9	00857	中國石油股份	50,106.96	2.09
10	00700	騰訊控股	49,057.34	2.04
11	01288	農業銀行	40,631.28	1.69
12	01299	友邦保險	40,305.18	1.68
13	00386	中國石油化工股份	39,259.79	1.64
14	03323	中國建材	39,061.78	1.63
15	00388	香港交易所	38,574.50	1.61
16	01088	中國神華	31,518.00	1.31
17	00013	和記黃埔	31,313.70	1.31
18	00762	中國聯通	29,526.99	1.23
19	00016	新鴻基地產	29,332.90	1.22
20	00688	中國海外發展	28,837.17	1.20
總額			1,053,192.45	43.90

20種成交最活躍股份(股數)

2011年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	00993	天行國際	28,311.40	4.98
2	00939	建設銀行	24,680.71	4.34
3	03988	中國銀行	23,971.76	4.22
4	01398	工商銀行	21,185.67	3.73
5	00578	中國煤層氣集團	14,501.43	2.55
6	00767	PACIFIC PLYWOOD	13,130.18	2.31
7	01288	農業銀行	12,670.07	2.23
8	00985	中科礦業	8,139.88	1.43
9	03800	保利協鑫能源	7,376.38	1.30
10	00067	旭光高新材料	6,023.76	1.06
11	00493	國美電器	5,248.18	0.92
12	00254	中國戶外媒體集團	5,245.70	0.92
13	00279	民豐企業	5,237.76	0.92
14	00857	中國石油股份	5,189.38	0.91
15	00386	中國石油化工股份	5,039.47	0.89
16	03333	恒大地產	4,900.94	0.86
17	00883	中國海洋石油	4,517.17	0.79
18	03323	中國建材	4,360.18	0.77
19	00202	國中控股	4,143.53	0.73
20	00910	中國林大	4,075.06	0.72
總數			207,948.59	36.60

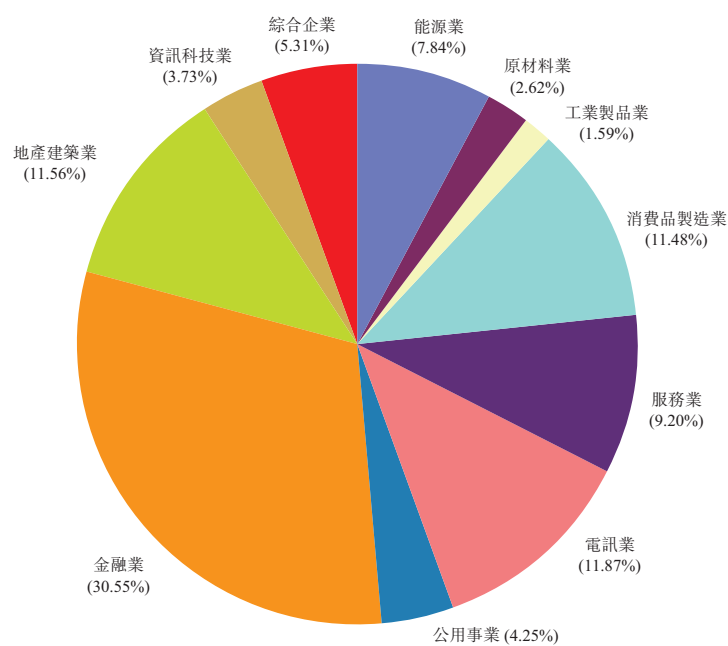
主板 股份

上市公司市價總值-主板

		百萬港元
2010	第4季	20,942,284.39
2011	第1季	21,259,067.69
	第2季	20,992,345.92
	第3季	16,147,694.26
	第4季	17,452,667.08

恒生行業分類系統之證券市價總值*-主板

季末數字



* 行業分類由恒生指數有限公司提供。

主板 股份

50間市價總值最大之上市公司

截至2011年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)	
1	00941	中國移動有限公司	1,523,404.51	8.73	
2	00939	中國建設銀行股份有限公司—H股	1,303,061.87	7.47	
3	00005	匯豐控股有限公司	1,054,202.13	6.04	
4	00883	中國海洋石油有限公司	606,471.68	3.47	
5	02888	渣打集團有限公司	404,622.15	2.32	
6	01398	中國工商銀行股份有限公司—H股	400,120.55	2.29	
7	00762	中國聯合網絡通信(香港)股份有限公司	385,050.50	2.21	
8	00805	Glencore International plc *	—	329,867.30	N.A.
9	01299	友邦保險控股有限公司	292,067.00	1.67	
10	00700	騰訊控股有限公司	287,194.97	1.65	
11	00013	和記黃埔有限公司	277,332.27	1.59	
12	00016	新鴻基地產發展有限公司	250,193.31	1.43	
13	03988	中國銀行股份有限公司—H股	239,159.71	1.37	
14	00001	長江實業(集團)有限公司	214,013.58	1.23	
15	00857	中國石油天然氣股份有限公司—H股	204,026.36	1.17	
16	02388	中銀香港(控股)有限公司	194,539.16	1.11	
17	02378	英國保誠有限公司*	—	188,554.91	N.A.
18	01928	金沙中國有限公司	176,680.70	1.01	
19	00011	恒生銀行有限公司	176,176.31	1.01	
20	02318	中國平安保險(集團)股份有限公司—H股	160,242.30	0.92	
21	00002	中電控股有限公司	158,925.77	0.91	
22	03328	交通銀行股份有限公司—H股	158,428.68	0.91	
23	00945	Manulife Financial Corporation *	—	145,700.11	N.A.
24	00066	香港鐵路有限公司	145,487.66	0.83	
25	02628	中國人壽保險股份有限公司—H股	142,870.56	0.82	
26	00003	香港中華煤氣有限公司	142,209.97	0.81	
27	00019	太古股份有限公司	139,590.70	0.8	
28	01929	周大福珠寶集團有限公司	139,200.00	0.8	
29	00386	中國石油化工股份有限公司—H股	137,096.59	0.79	
30	00388	香港交易及結算所有限公司	134,016.41	0.77	
31	00322	康師傅控股有限公司	131,926.68	0.76	
32	00006	電能實業有限公司	122,613.33	0.7	
33	06210	Vale S.A. —預託證券*	—	122,246.86	N.A.
34	00494	利豐有限公司	116,528.60	0.67	
35	01088	中國神華能源股份有限公司—H股	114,532.23	0.66	
36	01880	百麗國際控股有限公司	114,199.51	0.65	
37	01038	長江基建集團有限公司	106,411.30	0.61	
38	00004	九龍倉集團有限公司	106,326.58	0.61	
39	00688	中國海外發展有限公司	106,079.30	0.61	
40	01288	中國農業銀行股份有限公司—H股	102,667.67	0.59	
41	00151	中國旺旺控股有限公司	102,453.58	0.59	
42	01128	永利澳門有限公司	101,157.23	0.58	
43	00101	恒隆地產有限公司	98,848.22	0.57	
44	06808	高鑫零售有限公司	92,630.53	0.53	
45	00012	恒基兆業地產有限公司	91,439.73	0.52	
46	01913	PRADA S.p.A.	89,942.66	0.52	
47	01044	恒安國際集團有限公司	89,287.41	0.51	
48	00135	昆侖能源有限公司	79,288.13	0.45	
49	00486	United Company RUSAL Ltd. *	—	74,749.63	N.A.
50	01109	華潤置地有限公司	72,714.33	0.42	
總額			11,285,432.44	64.66	
市場總值			17,452,667.08	100	

註：

由2010年7月起納入外國公司

* 不是祇在香港上市之外國公司

主板 股份

賣空交易 (金額)

		賣空交易 (百萬港元)	股份成交金額 (百萬港元)*	佔股份成交 百分比
2010	第4季	308,007.77	3,885,615.68	7.93
2011	第1季	273,905.42	3,337,783.59	8.21
	第2季	327,730.09	3,089,381.94	10.61
	第3季	409,874.98	3,232,791.53	12.68
	第4季	324,530.48	2,409,005.43	13.47

* 包括主板及創業板數據

註：由2010年11月29日起成交金額包括非港元交易買賣的證券

賣空交易 (股數)

		賣空交易 (百萬股)	股份成交股數 (百萬股)*	佔股份成交 百分比
2010	第4季	26,787.09	1,131,725.16	2.37
2011	第1季	22,721.76	872,394.66	2.60
	第2季	29,078.38	833,504.44	3.49
	第3季	39,305.84	637,817.87	6.16
	第4季	33,276.30	609,468.85	5.46

* 包括主板及創業板數據

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2010	第4季	47	5	288,596.31
2011	第1季	10	4	17,317.76
	第2季	22	6	156,417.91
	第3季	18	1	19,494.12
	第4季	26	1	64,606.62

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主板 股份

新上市公司

2011年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
06030	中信証券股份有限公司－H股	2011/10/06	1,178,327,700	14,247.05
06813	凱德商用產業有限公司	2011/10/18	3,885,081,827	—
01265	天津天聯公用事業股份有限公司－H股*	2011/10/18	500,060,000	—
01206	科諾威德國際有限公司	2011/10/27	485,200,000	122.00
01152	豐臨集團有限公司	2011/10/28	416,000,000	52.00
01239	金寶寶控股有限公司	2011/11/18	200,000,000	62.50
01269	中國車輛零部件科技控股有限公司	2011/11/23	320,000,000	112.00
00844	廣豪國際控股有限公司	2011/11/24	380,000,000	80.00
06823	香港電訊信託與香港電訊有限公司－SS	2011/11/29	6,416,730,792	9,301.69
06388	Coach, Inc. -RS	2011/12/01	293,603,937	—
01023	時代集團控股有限公司	2011/12/06	1,001,532,000	745.56
06883	新濠博亞娛樂有限公司	2011/12/07	1,653,101,002	—
02121	一化控股(中國)有限公司	2011/12/09	802,191,000	545.92
01262	中國休閒食品集團有限公司	2011/12/09	1,125,600,000	747.30
01146	中國服飾控股有限公司	2011/12/09	3,452,148,000	1,176.20
06880	豪特保健控股有限公司	2011/12/13	320,000,000	126.40
01293	寶信汽車集團有限公司	2011/12/14	2,528,740,000	3,224.22
02133	中國多金屬礦業有限公司	2011/12/14	2,000,000,000	1,110.00
01929	周大福珠寶集團有限公司	2011/12/15	10,000,000,000	15,990.94
01336	新華人壽保險股份有限公司－H股	2011/12/15	1,034,107,260	10,288.69
02200	浩沙國際有限公司	2011/12/16	1,600,000,000	640.00
01298	天美(控股)有限公司	2011/12/21	232,500,000	—
00579	北京京能清潔能源電力股份有限公司－H股	2011/12/22	1,508,070,000	1,896.15
03778	中國織材控股有限公司	2011/12/22	1,000,000,000	175.00
01252	中國天瑞集團水泥有限公司	2011/12/23	2,400,900,000	966.17
01251	華油能源集團有限公司	2011/12/23	1,335,000,000	412.05
01296	國電科技環保集團股份有限公司－H股	2011/12/30	1,188,500,000	2,359.80
總額				64,606.62

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主板 股份

除牌上市公司

2011年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
00597	華潤微電子有限公司	2011/10/21	2011/11/02
00667	瀚宇博德國際控股有限公司	2011/11/24	2011/12/09

主板 股份

公司名稱更改

2011年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
00983	瑞安建業有限公司	瑞安建業有限公司	2011/09/09	2011/10/03
00269	中國木業資源集團有限公司	中國資源交通集團有限公司	2011/08/30	2011/10/07
00274	高寶綠色科技集團有限公司	中富資源有限公司	2011/08/19	2011/10/07
01229	國際資源實業有限公司	南南資源實業有限公司	2011/09/19	2011/10/10
00188	匯富金融控股有限公司	新華匯富金融控股有限公司	2011/09/14	2011/10/17
01157	長沙中聯重工科技發展股份有限公司－H股	中聯重科股份有限公司－H股	2011/09/14	2011/10/26
00621	永興國際(控股)有限公司	壇金礦業有限公司	2011/10/14	2011/11/01
00376	亞洲電信媒體有限公司	瑞東集團有限公司	2011/10/25	2011/11/02
00746	理文集團有限公司	理文化工有限公司	2011/10/18	2011/11/24
00928	德發集團國際有限公司	野馬國際集團有限公司	2011/11/23	2011/12/01
00632	東方明珠創業有限公司	東方明珠石油有限公司	2011/11/07	2011/12/06
00550	才庫媒體集團有限公司	先傳媒集團有限公司	2011/11/28	2011/12/22

主板 股份

紅股／紅利認股權證

2011年第4季

股份代號	公司	詳情	除淨日
00866	中國秦發集團有限公司	1送1	2011/10/04
00572	中國包裝集團有限公司	1,000送13	2011/10/14
00083	信和置業有限公司	10送1	2011/11/01
00197	亨泰消費品集團有限公司	20送1	2011/12/08
00482	聖馬丁國際控股有限公司	10送1	2011/12/08
00587	華瀚生物製藥控股有限公司	5送1	2011/12/20
01633	美即控股國際有限公司	5送1	2011/12/20
02312	中國金融租賃集團有限公司	1送4	2011/12/23

股份拆細及合併

2011年第4季

股份代號	公司	詳情	生效日期
01142	西伯利亞礦業集團有限公司	20股合併為1股	2011/10/03
00692	寶源控股有限公司	20股合併為1股	2011/10/07
00572	中國包裝集團有限公司	8股合併為1股	2011/10/12
02700	合俊集團(控股)有限公司	20股合併為3股	2011/11/01
00943	意科控股有限公司	25股合併為1股	2011/11/09
00166	新時代能源有限公司	20股合併為1股	2011/11/21
01220	海域集團有限公司	3股合併為1股	2011/11/24
00910	中國林大綠色資源集團有限公司	20股合併為1股	2011/12/01
00810	華保亞洲發展有限公司	10股合併為1股	2011/12/01
00273	威利國際控股有限公司	5股合併為1股	2011/12/23

主板 股份

供股／公開售股

2011年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10月	00224	建生國際集團有限公司	公開售股2供1，每股供0.73港元	280.82
	02349	中國水務地產集團有限公司	公開售股5供2，每股供0.50港元 (10股合併為1股後)	251.25
	00091	駿新能源集團有限公司	公開售股1供1，每股供0.098港元 (2股合併為1股後)	325.29
	00412	漢基控股有限公司	股權1供22，每股供0.062港元 另每供5股送1份2013年認股權證	388.67
11月	00361	順龍控股有限公司	股權2供1，每股供0.39港元	59.81
	00017	新世界發展有限公司	股權2供1，每股供5.68港元	11,331.96
	01136	台泥國際集團有限公司	公開售股20股供3股非上市新可轉 換股優先股，每股供4.90港元	-
12月	00488	麗新發展有限公司	股權12供5，每股供0.09港元	531.08
	00121	卜蜂蓮花有限公司	公開售股5股供2股非上市可換股優先股， 每股供0.22港元	-
	00917	新世界中國地產有限公司	股權2供1，每股供1.49港元	4,293.15
	01220	海域集團有限公司	公開售股3供2，每股供0.133港元 (3股合併為1股後)	12.53
	01383	鴻隆控股有限公司	公開售股2供1，每股供0.10港元	61.53
	02328	中國人民財產保險股份有限公司 - H股	股權10股H股供1股H股，每股供5.50港元	1,900.79
總額				19,436.88

主板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2011					
9月**	00223	神州資源	30,000,000	0.360	10.80
10月	00736	中國置業投資	40,000,000	0.181	7.24
	00471	中播控股	687,628,000	0.045	30.94
	00513	恆和集團	217,647,050	0.170	37.00
	00689	長盈集團(控股)	80,000,000	0.182	14.56
	01142	西伯利亞礦業	57,500,000	0.800	46.00
	01166	蒙古礦業	504,510,000	0.200	100.90
11月	01141	北京御生堂	823,695,952	0.093	76.60
	01130	中國環境資源	360,000,000	0.073	26.28
	00572	中國包裝集團	230,000,000	0.120	27.60
	01833	銀泰百貨	76,669,653	9.900	759.03
	01227	國盛投資	307,110,175	0.055	16.89
	00767	PACIFIC PLYWOOD	817,233,655	0.032	26.15
	00767	PACIFIC PLYWOOD	1,800,000,000	0.025	45.00
	00376	瑞東集團	67,000,000	3.000	201.00
	02700	合俊集團	459,020,000	0.185	84.92
12月	00039	生物動力	11,326,134	0.722	8.18
	00404	新昌營造	125,850,000	1.100	138.44
	00202	國中控股	712,000,000	0.310	220.72
	00996	東方銀座	2,000,000,000	0.380	760.00
	00211	STYLAND HOLD	50,000,000	0.080	4.00
	00273	威利國際	128,212,678	0.375	48.08
總額					2,690.33

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主板 認股權證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2010	第4季	884.16	896,594.85	897,479.00
2011	第1季	393.42	829,434.95	829,828.38
	第2季	401.20	636,349.65	636,750.86
	第3季	28.67	654,145.24	654,173.91
	第4季	100.62	509,956.45	510,057.08

認股權證市價總值

		股份認股權證 (百萬港元)		衍生權證 (百萬港元)		總額 (百萬港元)
		數目	數目	總數		
2010	第4季	22	2,049.41	5,148	142,445.77	144,495.18
2011	第1季	23	1,217.46	5,689	148,882.52	150,099.98
	第2季	15	785.68	4,836	96,546.69	97,332.38
	第3季	15	429.33	4,778	86,648.18	87,077.51
	第4季	13	602.75	4,027	75,484.38	76,087.13

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2010	第4季	1	2,703	115,549.29
2011	第1季	2	2,056	86,446.46
	第2季	2	1,606	59,723.05
	第3季	2	1,979	73,939.94
	第4季	1	1,276	53,214.21

新上市股份認股權證

2011年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01248	漢基控股有限公司2013年認股權證	1,253,766,879 units	2011/10/28	2013/10/25	-
總額					-

主板 認股權證

新上市衍生權證

2011年第四季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14837	BOCI Asia Ltd. – HKEx European Put Warrants Jul 2012	200,000,000	2011/10/03	2012/07/03	50.00
14835	BOCI Asia Ltd. – Hutchison European Warrants Mar 2012	100,000,000	2011/10/03	2012/03/28	18.00
14836	BOCI Asia Ltd. – Tencent Holdings European Warrants Mar 2012	200,000,000	2011/10/03	2012/03/26	36.00
14838	BOCI Asia Ltd. -Tencent Holdings European Put Wts May 2012	200,000,000	2011/10/03	2012/05/21	50.00
14852	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr 12	100,000,000	2011/10/03	2012/04/03	28.00
14857	BNP Paribas Arbit Issu B.V. – BOCL European Wts Apr 2012	100,000,000	2011/10/03	2012/04/03	25.00
14851	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2012	200,000,000	2011/10/03	2012/04/03	98.00
14856	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Apr 2012	100,000,000	2011/10/03	2012/04/03	50.00
14859	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2012	200,000,000	2011/10/03	2012/05/30	50.00
14858	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2012 A	200,000,000	2011/10/03	2012/03/29	36.00
14860	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2012 A	200,000,000	2011/10/03	2012/04/27	50.00
14853	BNP Paribas Arbit Issu B.V. – ICBC European Wts Apr 2012	200,000,000	2011/10/03	2012/04/03	72.00
14854	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2012	100,000,000	2011/10/03	2012/04/03	43.00
14855	BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Apr 2012	88,000,000	2011/10/03	2012/04/03	36.08
14871	Citigroup Global Mkt H Inc. – HSI European Put Wts Apr 2012	100,000,000	2011/10/03	2012/04/27	25.00
14870	Citigroup Global Mkt H Inc. – HSI European Wts Feb 2012 B	100,000,000	2011/10/03	2012/02/28	15.00
14867	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2012	108,000,000	2011/10/03	2012/04/03	27.00
14864	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2012	108,000,000	2011/10/03	2012/04/03	48.60
14865	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Apr 2012	108,000,000	2011/10/03	2012/04/03	27.00
14868	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2012	108,000,000	2011/10/03	2012/09/18	27.00
14833	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14834	KBC Fin Products Int'l Ltd. – HSI European Wts May 2012	200,000,000	2011/10/03	2012/05/30	50.00
14869	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14861	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2012	98,000,000	2011/10/03	2012/04/03	32.34
14862	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Apr 2012	80,000,000	2011/10/03	2012/04/16	24.00
14866	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2012 A	108,000,000	2011/10/03	2012/04/03	37.80
14863	KBC Fin Products Int'l Ltd.-Tencent Holdings Eu Wt Apr 2012	108,000,000	2011/10/03	2012/04/18	27.00
14850	Macquarie Bank Ltd. – CC Bank European Warrants May 2012	200,000,000	2011/10/03	2012/05/03	98.00
14831	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2012 A	300,000,000	2011/10/03	2012/06/28	75.00
14849	Macquarie Bank Ltd. – ICBC European Warrants May 2012	200,000,000	2011/10/03	2012/05/03	80.20
14832	Macquarie Bank Ltd. – Ping An European Warrants Apr 2012 A	150,000,000	2011/10/03	2012/04/03	74.40
14829	Macquarie Bank Ltd. – Yurun Food European Warrants Jul 2012	300,000,000	2011/10/03	2012/07/04	75.00
14830	Macquarie Bank Ltd. – Yurun Food European Warrants Jul 2012A	300,000,000	2011/10/03	2012/07/04	89.10
14841	Standard Chartered Bank – AIA European Warrants Aug 2012	150,000,000	2011/10/03	2012/08/03	45.00
14839	Standard Chartered Bank – HSI European Warrants Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14842	Standard Chartered Bank – HSI European Put Warrants Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14840	Standard Chartered Bank – HSI European Warrants Apr 2012 A	200,000,000	2011/10/03	2012/04/27	50.00
14843	Standard Chartered Bank – HSI European Put Wts Apr 2012 B	200,000,000	2011/10/03	2012/04/27	50.00
14877	UBS AG – Agricultural Bank European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	25.00
14876	UBS AG – AIA European Warrants Mar 2012 A	200,000,000	2011/10/03	2012/03/27	30.00
14878	UBS AG – CC Bank European Warrants Mar 2012	200,000,000	2011/10/03	2012/03/07	92.00
14844	UBS AG – Cheung Kong European Warrants Dec 2012 B	100,000,000	2011/10/03	2012/12/20	15.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14845	UBS AG – Cheung Kong European Warrants Feb 2013	100,000,000	2011/10/03	2013/02/25	15.00
14847	UBS AG – HKEx European Warrants May 2012	300,000,000	2011/10/03	2012/05/14	75.00
14872	UBS AG – HSI European Warrants Apr 2012	300,000,000	2011/10/03	2012/04/27	75.00
14875	UBS AG – ICBC European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	46.00
14874	UBS AG – Ping An European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	42.00
14846	UBS AG – SHK Ppt European Warrants Jan 2013	100,000,000	2011/10/03	2013/01/28	15.00
14873	UBS AG – SPDR Gold Trust European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	61.00
14848	UBS AG – Tencent Holdings European Warrants Apr 2012	200,000,000	2011/10/03	2012/04/17	50.00
14198*	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2012	100,000,000	2011/10/03	2012/03/29	19.70
14879	Barclays Bank plc – HSI European Warrants Mar 2012 B	150,000,000	2011/10/04	2012/03/29	24.45
14899	Credit Suisse AG – BOCL European Warrants Feb 2012 A	300,000,000	2011/10/04	2012/02/13	45.00
14897	Credit Suisse AG – China Mobile European Put Wts Jan 2012	200,000,000	2011/10/04	2012/01/30	30.00
14896	Credit Suisse AG – CNOOC European Put Warrants Jun 2012	200,000,000	2011/10/04	2012/06/11	40.00
14895	Credit Suisse AG – HKEx European Put Warrants Dec 2012	200,000,000	2011/10/04	2012/12/31	50.00
14894	Credit Suisse AG – HKEx European Warrants Jun 2012 A	300,000,000	2011/10/04	2012/06/11	45.00
14898	Credit Suisse AG – ICBC European Warrants Apr 2012	300,000,000	2011/10/04	2012/04/10	75.00
14900	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Apr 2012	108,000,000	2011/10/04	2012/04/05	27.00
14881	Macquarie Bank Ltd. – HKEx European Put Warrants Dec 2012	300,000,000	2011/10/04	2012/12/04	76.20
14880	Macquarie Bank Ltd. – Ping An European Put Wts Apr 2012 B	150,000,000	2011/10/04	2012/04/03	45.15
14884	Standard Chartered Bank – AIA European Warrants Jun 2012 A	150,000,000	2011/10/04	2012/06/01	37.50
14887	Standard Chartered Bank – BOCL European Warrants Sep 2012	100,000,000	2011/10/04	2012/09/03	40.00
14888	Standard Chartered Bank – BOCL European Put Wts Mar 2013	100,000,000	2011/10/04	2013/03/01	25.00
14886	Standard Chartered Bank – BOCL European Warrants Apr 2012 A	100,000,000	2011/10/04	2012/04/13	25.00
14882	Standard Chartered Bank – HSI European Warrants Feb 2012	400,000,000	2011/10/04	2012/02/28	60.00
14883	Standard Chartered Bank – HSI European Warrants Apr 2012 C	400,000,000	2011/10/04	2012/04/27	100.00
14889	Standard Chartered Bank – HSI European Put Wts Apr 2012 D	200,000,000	2011/10/04	2012/04/27	50.00
14885	Standard Chartered Bank – Ping An European Warrants May 2012	100,000,000	2011/10/04	2012/05/25	50.00
14890	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Apr 12	80,000,000	2011/10/04	2012/04/11	38.40
14891	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr 2012	50,000,000	2011/10/04	2012/04/11	28.50
14892	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Apr 12A	80,000,000	2011/10/04	2012/04/11	63.20
14893	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Apr 12	100,000,000	2011/10/04	2012/04/11	33.00
14909	UBS AG – BYD European Warrants Jul 2012	100,000,000	2011/10/04	2012/07/16	25.00
14908	UBS AG – CNOOC European Warrants Nov 2012 A	200,000,000	2011/10/04	2012/11/12	30.00
14910	UBS AG – CPIC European Warrants May 2012	100,000,000	2011/10/04	2012/05/14	25.00
14904	UBS AG – HSBC European Warrants Apr 2012	200,000,000	2011/10/04	2012/04/17	50.00
14901	UBS AG – HSI European Warrants May 2012	300,000,000	2011/10/04	2012/05/30	75.00
14902	UBS AG – HSI European Warrants Jun 2012	300,000,000	2011/10/04	2012/06/28	75.00
14903	UBS AG – HSI European Put Warrants Mar 2012	300,000,000	2011/10/04	2012/03/29	66.00
14905	UBS AG – Hutchison European Warrants Mar 2012 D	200,000,000	2011/10/04	2012/03/12	60.00
14906	UBS AG – Jiangxi Copper European Warrants Jun 2012	100,000,000	2011/10/04	2012/06/11	25.00
14907	UBS AG – Tencent Holdings European Warrants Mar 2012	200,000,000	2011/10/04	2012/03/27	30.00
14913	J P Morgan SP BV – ICBC European Warrants Apr 2012	150,000,000	2011/10/06	2012/04/10	74.55
14912	J P Morgan SP BV – Ping An European Warrants Apr 2012 A	150,000,000	2011/10/06	2012/04/10	71.25
14921	J P Morgan SP BV – Yurun Food European Warrants Dec 2012	150,000,000	2011/10/06	2012/12/03	37.50

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14916	Macquarie Bank Ltd. – CHALCO European Warrants Jun 2012	150,000,000	2011/10/06	2012/06/04	51.00
14922	Macquarie Bank Ltd. – Cathay Pacific European Wts Dec 2013	200,000,000	2011/10/06	2013/12/30	30.00
14914	Macquarie Bank Ltd. – New World Dev European Wts Apr 2012	100,000,000	2011/10/06	2012/04/05	33.10
14915	Macquarie Bank Ltd. – Wharf European Warrants May 2012	200,000,000	2011/10/06	2012/05/03	74.40
14911	CC Rabobank B.A. – Evergrande European Warrants Apr 2012	100,000,000	2011/10/06	2012/04/23	46.00
14917	Standard Chartered Bank – HKEx European Warrants Dec 2012	150,000,000	2011/10/06	2012/12/06	37.50
14918	Standard Chartered Bank – HKEx European Warrants Aug 2013	150,000,000	2011/10/06	2013/08/01	37.50
14919	Standard Chartered Bank – Tencent Hldg European Wts Aug 2012	150,000,000	2011/10/06	2012/08/03	37.50
14920	Standard Chartered Bank – Tencent Hldg European Wts Oct 2012	150,000,000	2011/10/06	2012/10/31	37.50
14928	UBS AG – BOCL European Warrants Feb 2012	100,000,000	2011/10/06	2012/02/13	15.00
14925	UBS AG – China Mobile European Warrants Feb 2012	200,000,000	2011/10/06	2012/02/20	120.00
14927	UBS AG – China Life European Warrants Mar 2012 A	300,000,000	2011/10/06	2012/03/05	48.00
14924	UBS AG – CNOOC European Warrants Jun 2012 A	200,000,000	2011/10/06	2012/06/18	34.00
14926	UBS AG – China Shenhua European Warrants May 2012	100,000,000	2011/10/06	2012/05/14	30.00
14923	UBS AG – PetroChina European Warrants Jan 2013	200,000,000	2011/10/06	2013/01/28	44.00
23896#	Credit Suisse AG – China Mobile European Put Warrants Dec 11	300,000,000	2011/10/06	2011/12/23	18.30
14931	BNP Paribas Arbit Issu B.V. – CLP European Warrants May 2012	50,000,000	2011/10/10	2012/05/03	18.00
14938	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts May 2012 A	100,000,000	2011/10/10	2012/05/03	36.00
14930	Citigroup Global Mkt H Inc. – A50 China Euro Wts Aug 2012	80,000,000	2011/10/10	2012/08/06	12.00
14929	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Apr 2012	80,000,000	2011/10/10	2012/04/17	20.00
14939	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Sep 2012	108,000,000	2011/10/10	2012/09/03	27.00
14937	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt May 12 A	150,000,000	2011/10/10	2012/05/07	37.50
14932	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts May12A	100,000,000	2011/10/10	2012/05/07	18.00
14935	UBS AG – AIA European Warrants Apr 2012 A	200,000,000	2011/10/10	2012/04/17	50.00
14936	UBS AG – A50 China European Warrants Jun 2013	300,000,000	2011/10/10	2013/06/06	60.00
14934	UBS AG – HKEx European Warrants Jun 2012 A	500,000,000	2011/10/10	2012/06/11	125.00
14933	UBS AG – Hutchison European Warrants Apr 2012	200,000,000	2011/10/10	2012/04/03	100.00
14961	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Jul 2012	100,000,000	2011/10/11	2012/07/04	93.00
14965	BNP Paribas Arbit Issu B.V. – A50 China Euro Put Wt Aug 2012	100,000,000	2011/10/11	2012/08/02	26.00
14962	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Jun 2012	100,000,000	2011/10/11	2012/06/04	99.00
14964	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2012	200,000,000	2011/10/11	2012/10/30	52.00
14963	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2012	200,000,000	2011/10/11	2012/10/30	50.00
14960	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Jul 2012	100,000,000	2011/10/11	2012/07/04	71.00
14958	Credit Suisse AG – HSI European Warrants Apr 2012	400,000,000	2011/10/11	2012/04/27	60.00
14959	Credit Suisse AG – HSI European Warrants May 2012	400,000,000	2011/10/11	2012/05/30	60.00
14957	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr2012A	108,000,000	2011/10/11	2012/04/11	27.00
14955	KBC Fin Products Int'l Ltd. -AIA Euro Put Warrants May 2012	108,000,000	2011/10/11	2012/05/02	27.00
14956	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Apr 2012 A	108,000,000	2011/10/11	2012/04/16	27.00
14975	KBC Fin Products Int'l Ltd. – BOC HK European Wts May 2012	108,000,000	2011/10/11	2012/05/02	27.00
14974	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2012 A	80,000,000	2011/10/11	2012/05/18	20.00
14954	KBC Fin Products Int'l Ltd. – A50 Ch Euro Put Wts Oct 2012	80,000,000	2011/10/11	2012/10/03	20.00
14977	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Apr 2012 A	98,000,000	2011/10/11	2012/04/11	24.50
14976	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Apr 2012	100,000,000	2011/10/11	2012/04/27	25.00
14947	Macquarie Bank Ltd. – Bank of EA European Warrants Oct 2012	200,000,000	2011/10/11	2012/10/04	68.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14941	Macquarie Bank Ltd. – CC Bank European Warrants May 2012 A	200,000,000	2011/10/11	2012/05/03	129.80
14940	Macquarie Bank Ltd. – Minsheng Bank European Wts May 2012	200,000,000	2011/10/11	2012/05/03	102.80
14943	Macquarie Bank Ltd. – Galaxy Ent European Warrants May 2012	200,000,000	2011/10/11	2012/05/03	51.40
14948	Macquarie Bank Ltd. – HKEx European Warrants Oct 2012	200,000,000	2011/10/11	2012/10/03	50.00
14953	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2012	200,000,000	2011/10/11	2012/06/28	50.00
14952	Macquarie Bank Ltd. – HSI European Put Warrants May 2012 A	300,000,000	2011/10/11	2012/05/30	75.00
14942	Macquarie Bank Ltd. – Sands China European Warrants May 2012	200,000,000	2011/10/11	2012/05/03	71.60
14945	Macquarie Bank Ltd. – SJM Holdings European Wts Apr 2012	200,000,000	2011/10/11	2012/04/17	57.60
14946	Macquarie Bank Ltd. – Tencent European Warrants May 2012	200,000,000	2011/10/11	2012/05/02	53.00
14951	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2012 A	200,000,000	2011/10/11	2012/10/04	50.00
14978	Standard Chartered Bank – HSI European Warrants Apr 2012 E	300,000,000	2011/10/11	2012/04/27	78.00
14979	Standard Chartered Bank – HSI European Put Wts Apr 2012 F	300,000,000	2011/10/11	2012/04/27	75.00
14968	UBS AG – AIA European Warrants May 2012	200,000,000	2011/10/11	2012/05/07	60.00
14970	UBS AG – BOCL European Warrants Mar 2012 A	100,000,000	2011/10/11	2012/03/26	25.00
14971	UBS AG – CC Bank European Warrants Apr 2012	200,000,000	2011/10/11	2012/04/17	96.00
14950	UBS AG – Cheung Kong European Warrants Dec 2013	200,000,000	2011/10/11	2013/12/23	50.00
14967	UBS AG – China Life European Warrants Mar 2012 B	300,000,000	2011/10/11	2012/03/21	75.00
14949	UBS AG – CNOOC European Warrants Apr 2012 A	200,000,000	2011/10/11	2012/04/17	50.00
14972	UBS AG – A50 China European Warrants Sep 2012	200,000,000	2011/10/11	2012/09/11	34.00
14969	UBS AG – HKEx European Warrants May 2012 A	300,000,000	2011/10/11	2012/05/07	75.00
14973	UBS AG – Ping An European Warrants Apr 2012 A	200,000,000	2011/10/11	2012/04/24	92.00
14966	UBS AG – Tencent Holdings European Warrants May 2012	200,000,000	2011/10/11	2012/05/28	50.00
14986	BOCI Asia Ltd. – CC Bank European Warrants Apr 2012	100,000,000	2011/10/12	2012/04/10	33.00
14987	BOCI Asia Ltd. – Ping An European Warrants Apr 2012 A	100,000,000	2011/10/12	2012/04/16	33.50
14985	BOCI Asia Ltd. – Tracker Fund European Put Warrants Apr 2012	100,000,000	2011/10/12	2012/04/16	25.00
14996	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2012	200,000,000	2011/10/12	2012/06/04	50.00
14995	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wts Jun 2012	50,000,000	2011/10/12	2012/06/04	35.00
14994	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2013	90,000,000	2011/10/12	2013/12/03	22.50
14993	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2012 A	100,000,000	2011/10/12	2012/07/04	69.00
14997	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2012	200,000,000	2011/10/12	2012/08/02	96.00
15003	J P Morgan SP BV – BOCL European Warrants Apr 2012 A	200,000,000	2011/10/12	2012/04/12	50.00
15001	J P Morgan SP BV – China Mob European Wts Apr 2012	150,000,000	2011/10/12	2012/04/12	62.25
15002	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2012 A	200,000,000	2011/10/12	2012/04/12	75.40
14998	J P Morgan SP BV – HSBC European Warrants Apr 2012 B	200,000,000	2011/10/12	2012/04/12	96.40
14980	J P Morgan SP BV – HSI European Warrants Mar 2012	200,000,000	2011/10/12	2012/03/29	34.40
14981	J P Morgan SP BV – HSI European Warrants Apr 2012	200,000,000	2011/10/12	2012/04/27	50.00
14982	J P Morgan SP BV – HSI European Warrants Apr 2012 A	200,000,000	2011/10/12	2012/04/27	50.00
14999	J P Morgan SP BV – HSI European Put Warrants Apr 2012 B	200,000,000	2011/10/12	2012/04/27	50.00
15000	J P Morgan SP BV – HSI European Put Warrants Apr 2012 C	200,000,000	2011/10/12	2012/04/27	50.40
15006	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2012 A	98,000,000	2011/10/12	2012/04/12	42.14
14983	Macquarie Bank Ltd. – Anhui Conch European Warrants Apr 2012	200,000,000	2011/10/12	2012/04/16	50.20
14992	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2013	300,000,000	2011/10/12	2013/01/03	75.00
14988	Macquarie Bank Ltd. – HSI European Warrants Apr 2012 B	300,000,000	2011/10/12	2012/04/27	75.00
14984	Macquarie Bank Ltd. – Tencent European Warrants Jun 2012 A	250,000,000	2011/10/12	2012/06/04	62.50

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15007	Standard Chartered Bank – HSBC European Warrants Dec 2015 A	150,000,000	2011/10/12	2015/12/30	37.50
14989	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Dec 2012C	300,000,000	2011/10/12	2012/12/24	45.00
14990	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012	300,000,000	2011/10/12	2012/04/27	75.00
14991	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 2012	300,000,000	2011/10/12	2012/04/27	75.00
15004	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 A	300,000,000	2011/10/12	2012/04/27	75.00
15005	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr2012B	300,000,000	2011/10/12	2012/04/27	75.00
15008	UBS AG – HSI European Warrants Apr 2012 A	300,000,000	2011/10/12	2012/04/27	75.00
15009	UBS AG – HSI European Warrants Apr 2012 B	300,000,000	2011/10/12	2012/04/27	45.00
15012	Citigroup Global Mkt H Inc. – HSCEI European Wts Mar 2012	100,000,000	2011/10/13	2012/03/29	15.00
15015	Macquarie Bank Ltd. – Angang Steel European Wts Apr 2012	100,000,000	2011/10/13	2012/04/13	41.90
15010	Macquarie Bank Ltd. – China Coal European Warrants May 2012	100,000,000	2011/10/13	2012/05/03	52.00
15011	Macquarie Bank Ltd. – Henganintl European Warrants Apr 2012	200,000,000	2011/10/13	2012/04/13	73.00
15013	Macquarie Bank Ltd. – HSI European Put Warrants May 2012 B	300,000,000	2011/10/13	2012/05/30	78.30
15014	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2012 B	300,000,000	2011/10/13	2012/06/28	75.00
15018	Standard Chartered Bank – AIA European Warrants Jul 2012	150,000,000	2011/10/13	2012/07/06	52.50
15020	Standard Chartered Bank – BOCL European Warrants Nov 2012	100,000,000	2011/10/13	2012/11/05	40.00
15017	Standard Chartered Bank – China Mobile Euro Wts Jun 2015	100,000,000	2011/10/13	2015/06/22	15.00
15016	Standard Chartered Bank – CC Bank European Wts Apr 2012	100,000,000	2011/10/13	2012/04/16	60.00
15019	Standard Chartered Bank – ICBC European Warrants Aug 2012	100,000,000	2011/10/13	2012/08/06	50.00
15023	Macquarie Bank Ltd. – HKEx European Warrants Apr 2012	300,000,000	2011/10/14	2012/04/03	46.20
15022	Macquarie Bank Ltd. – HKEx European Put Warrants Jul 2012	300,000,000	2011/10/14	2012/07/04	75.00
15021	Standard Chartered Bank – HKEx European Warrants Dec 2012 A	150,000,000	2011/10/14	2012/12/05	37.50
15025	UBS AG – China Mobile European Warrants Apr 2012 A	200,000,000	2011/10/14	2012/04/17	76.00
15024	UBS AG – Hutchison European Warrants Feb 2012	200,000,000	2011/10/14	2012/02/23	44.00
15034	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2012	200,000,000	2011/10/17	2012/04/05	86.00
15037	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2012	150,000,000	2011/10/17	2012/07/04	127.65
15036	Macquarie Bank Ltd. – Hang Lung Ppt European Wts May 2012	150,000,000	2011/10/17	2012/05/03	38.10
15026	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2012 D	200,000,000	2011/10/17	2012/03/09	62.00
15035	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2012	150,000,000	2011/10/17	2012/06/04	39.75
15033	Macquarie Bank Ltd. – Zijin Mining European Wts Jun 2012	100,000,000	2011/10/17	2012/06/04	40.30
15031	CC Rabobank B.A. – AIA European Warrants Jun 2012 A	200,000,000	2011/10/17	2012/06/18	70.00
15030	CC Rabobank B.A. – Ch Mobile European Warrants Jun 2015	200,000,000	2011/10/17	2015/06/22	30.00
15029	CC Rabobank B.A. – CNOOC European Warrants Aug 2012	100,000,000	2011/10/17	2012/08/20	25.00
15027	CC Rabobank B.A. – HSBC European Warrants Oct 2015	200,000,000	2011/10/17	2015/10/05	30.00
15028	CC Rabobank B.A. – HSBC European Put Warrants Apr 2012	200,000,000	2011/10/17	2012/04/10	140.00
15039	UBS AG – CNOOC European Warrants Nov 2012 B	200,000,000	2011/10/17	2012/11/27	30.00
15038	UBS AG – Sinopec Corp European Warrants Dec 2014	200,000,000	2011/10/17	2014/12/29	42.00
15032	UBS AG – A50 China European Warrants Oct 2013	200,000,000	2011/10/17	2013/10/28	30.00
12323 [#]	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Aug 13	185,190,000	2011/10/17	2013/08/23	10.00
15040	Barclays Bank plc – Hutchison European Warrants Mar 2012 A	100,000,000	2011/10/18	2012/03/20	40.40
15041	Barclays Bank plc – ICBC European Warrants Jul 2012	100,000,000	2011/10/18	2012/07/16	25.00
15042	J P Morgan SP BV – China Mob European Put Warrants Apr 2012	150,000,000	2011/10/18	2012/04/18	38.70
15050	Macquarie Bank Ltd. – BOCL European Warrants Apr 2012 A	150,000,000	2011/10/18	2012/04/18	44.85
15049	Macquarie Bank Ltd. – HSI European Warrants Apr 2012 C	300,000,000	2011/10/18	2012/04/27	82.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15047	CC Rabobank B.A. – AIA European Put Warrants Jun 2012	150,000,000	2011/10/18	2012/06/18	37.50
15046	CC Rabobank B.A. – Ch Mobile European Put Wts Apr 2012	100,000,000	2011/10/18	2012/04/30	40.00
15043	CC Rabobank B.A. – Sinopec Corp European Warrants Apr 2012	100,000,000	2011/10/18	2012/04/30	85.00
15045	CC Rabobank B.A. – China Shenhua European Warrants Jul 2012	150,000,000	2011/10/18	2012/07/16	60.00
15044	CC Rabobank B.A. – PetroChina European Warrants Apr 2012	100,000,000	2011/10/18	2012/04/30	80.00
15048	Standard Chartered Bank – China Mobile Euro Wts Aug 2012	100,000,000	2011/10/18	2012/08/03	32.00
15051	UBS AG – CC Bank European Warrants Apr 2012 A	200,000,000	2011/10/18	2012/04/23	60.00
15052	UBS AG – China Life European Warrants Apr 2012	300,000,000	2011/10/18	2012/04/16	45.00
15053	J P Morgan SP BV – HSBC European Put Warrants Apr 2014	200,000,000	2011/10/19	2014/04/07	50.00
15054	J P Morgan SP BV – Tencent European Put Warrants Jul 2012	200,000,000	2011/10/19	2012/07/03	50.00
15055	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Sep 2012	108,000,000	2011/10/19	2012/09/21	16.20
15062	Bank of East Asia – BOCHK European Warrants Jun 2012	75,000,000	2011/10/20	2012/06/29	18.75
15061	Bank of East Asia – China Overseas Euro Warrants Jun 2012	110,000,000	2011/10/20	2012/06/04	27.50
15058	Bank of East Asia – China Coal European Warrants Nov 2013	170,000,000	2011/10/20	2013/11/20	42.50
15056	Bank of East Asia – CM Bank European Warrants Dec 2012	110,000,000	2011/10/20	2012/12/21	27.50
15060	Bank of East Asia – Shenhua European Warrants Apr 2012	60,000,000	2011/10/20	2012/04/23	15.00
15057	Bank of East Asia – R&F Ppt European Warrants Nov 2013	270,000,000	2011/10/20	2013/11/20	67.50
15063	Bank of East Asia – ICBC European Put Warrants Jun 2012	80,000,000	2011/10/20	2012/06/08	20.00
15059	Bank of East Asia – Yanzhou Coal European Warrants Jun 2012	70,000,000	2011/10/20	2012/06/22	17.50
15067	UBS AG – Agricultural Bank European Warrants Apr 2012 A	200,000,000	2011/10/20	2012/04/10	32.00
15064	UBS AG – AIA European Warrants Mar 2012 B	200,000,000	2011/10/20	2012/03/05	30.00
15066	UBS AG – China Life European Warrants May 2012	300,000,000	2011/10/20	2012/05/28	45.00
15068	UBS AG – HSI European Warrants Aug 2012	300,000,000	2011/10/20	2012/08/30	45.00
15069	UBS AG – HSI European Put Warrants Jun 2012	300,000,000	2011/10/20	2012/06/28	96.00
15070	UBS AG – HSI European Put Warrants Jun 2012 A	300,000,000	2011/10/20	2012/06/28	54.00
15065	UBS AG – Hutchison European Warrants Mar 2012 E	200,000,000	2011/10/20	2012/03/05	54.00
15071	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2012	200,000,000	2011/10/21	2012/07/30	54.00
15072	Macquarie Bank Ltd. – China Mobile European Wts Jun 2012	200,000,000	2011/10/21	2012/06/04	75.80
15073	Macquarie Bank Ltd. – China Tel European Warrants Jun 2012	200,000,000	2011/10/21	2012/06/04	82.60
15076	Barclays Bank plc – CC Bank European Warrants Jul 2012	100,000,000	2011/10/24	2012/07/30	25.10
15077	Barclays Bank plc – China Life European Warrants Jul 2013	100,000,000	2011/10/24	2013/07/29	25.00
15074	Barclays Bank plc – HSI European Warrants Aug 2012	150,000,000	2011/10/24	2012/08/30	37.95
15078	Barclays Bank plc – HSI European Put Warrants Aug 2012	150,000,000	2011/10/24	2012/08/30	37.80
15075	Barclays Bank plc –Tencent Holdings European Wts Jul 2012	100,000,000	2011/10/24	2012/07/03	25.10
15079	Macquarie Bank Ltd. – HSI European Warrants May 2012	300,000,000	2011/10/24	2012/05/30	75.00
15082	UBS AG – China Mobile European Warrants Apr 2012 B	200,000,000	2011/10/24	2012/04/30	84.00
15080	UBS AG – HKEx European Warrants Nov 2012	300,000,000	2011/10/24	2012/11/26	45.00
15083	UBS AG – Ping An European Warrants Jun 2012	200,000,000	2011/10/24	2012/06/18	124.00
15084	UBS AG – Ping An European Warrants Dec 2012	200,000,000	2011/10/24	2012/12/11	30.00
15081	UBS AG – Tencent Holdings European Warrants Jul 2012	200,000,000	2011/10/24	2012/07/16	40.00
15091	HK Bank – HSI European Warrants May 2012	300,000,000	2011/10/25	2012/05/30	75.00
15092	HK Bank – HSI European Put Warrants Apr 2012	300,000,000	2011/10/25	2012/04/27	45.00
15093	HK Bank – HSI European Put Warrants Jun 2012	300,000,000	2011/10/25	2012/06/28	45.00
15090	HK Bank – HSI European Warrants Apr 2012 A	300,000,000	2011/10/25	2012/04/27	75.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15088	Macquarie Bank Ltd. – CC Bank European Warrants May 2012 B	180,000,000	2011/10/25	2012/05/03	55.26
15094	Macquarie Bank Ltd. – China Life European Wts Jun 2012	180,000,000	2011/10/25	2012/06/04	47.34
15086	Macquarie Bank Ltd. – A50 China European Warrants Aug 2012	200,000,000	2011/10/25	2012/08/02	30.00
15087	Macquarie Bank Ltd. – A50 China European Warrants Oct 2013	200,000,000	2011/10/25	2013/10/28	31.00
15085	Macquarie Bank Ltd. – HKEx European Warrants Jun 2012	300,000,000	2011/10/25	2012/06/06	45.30
15089	Macquarie Bank Ltd. – ICBC European Warrants Apr 2012	180,000,000	2011/10/25	2012/04/25	56.16
15106	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Aug 2012	47,000,000	2011/10/26	2012/08/02	17.86
15107	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2012	100,000,000	2011/10/26	2012/12/04	19.00
15108	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2012	200,000,000	2011/10/26	2012/09/04	100.00
15105	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Euro Wt Aug 2012	26,000,000	2011/10/26	2012/08/02	17.94
15099	HK Bank – AIA European Warrants Apr 2012 A	100,000,000	2011/10/26	2012/04/26	25.00
15100	HK Bank – China Life European Warrants May 2012 A	100,000,000	2011/10/26	2012/05/18	25.00
15095	HK Bank – HSI European Warrants Aug 2013	300,000,000	2011/10/26	2013/08/29	75.00
15096	HK Bank – HSI European Warrants Dec 2014	300,000,000	2011/10/26	2014/12/30	75.00
15097	HK Bank – HSI European Put Warrants Apr 2012 B	250,000,000	2011/10/26	2012/04/27	37.50
15098	HK Bank – HWL European Warrants Apr 2012	100,000,000	2011/10/26	2012/04/26	50.00
15103	J P Morgan SP BV – HSI European Warrants Apr 2012 D	200,000,000	2011/10/26	2012/04/27	50.00
15104	J P Morgan SP BV – HSI European Put Warrants Apr 2012 E	200,000,000	2011/10/26	2012/04/27	50.00
15101	Standard Chartered Bank – HSI European Warrants Feb 2012 A	200,000,000	2011/10/26	2012/02/28	30.00
15102	Standard Chartered Bank – HSI European Put Wts Mar 2012 A	200,000,000	2011/10/26	2012/03/29	44.00
15112	HK Bank – Agricultural Bank European Warrants Aug 2012	100,000,000	2011/10/27	2012/08/30	25.00
15111	HK Bank – Agricultural Bank European Warrants Apr 2012 A	100,000,000	2011/10/27	2012/04/27	25.00
15110	HK Bank – CC Bank European Warrants Apr 2012	120,000,000	2011/10/27	2012/04/27	49.20
15113	HK Bank – CC Bank European Put Warrants Apr 2012	100,000,000	2011/10/27	2012/04/27	25.00
15114	HK Bank – HSCEI European Warrants Aug 2012	200,000,000	2011/10/27	2012/08/30	50.00
15115	HK Bank – HSCEI European Warrants Dec 2013	200,000,000	2011/10/27	2013/12/30	50.00
15116	HK Bank – HSCEI European Put Warrants Dec 2012	200,000,000	2011/10/27	2012/12/28	50.00
15119	J P Morgan SP BV – BOC HK European Warrants Jun 2012 A	200,000,000	2011/10/27	2012/06/28	50.00
15120	J P Morgan SP BV – China Unicom European Put Wts Sep 2012	200,000,000	2011/10/27	2012/09/27	50.00
15118	J P Morgan SP BV – China Mob European Wts Apr 2012 A	200,000,000	2011/10/27	2012/04/27	50.00
15109	Macquarie Bank Ltd. – PICC P&C European Warrants Jul 2012	200,000,000	2011/10/27	2012/07/04	50.60
15117	Standard Chartered Bank – CC Bank European Wts Sep 2012 A	100,000,000	2011/10/27	2012/09/28	70.00
15121	UBS AG – China Unicom European Warrants Jul 2012	100,000,000	2011/10/27	2012/07/09	16.00
15122	UBS AG – China Life European Warrants Apr 2012 A	300,000,000	2011/10/27	2012/04/20	81.00
15127	HK Bank – A50 China European Warrants Dec 2014	150,000,000	2011/10/28	2014/12/30	37.50
15123	HK Bank – HKEx European Warrants Nov 2012	100,000,000	2011/10/28	2012/11/29	15.00
15124	HK Bank – Tencent European Warrants Apr 2012 A	100,000,000	2011/10/28	2012/04/30	29.00
15125	HK Bank – Tencent European Warrants May 2012	100,000,000	2011/10/28	2012/05/28	25.00
15126	HK Bank – Tencent European Put Warrants Dec 2012	100,000,000	2011/10/28	2012/12/28	25.00
15129	J P Morgan SP BV – AIA European Put Warrants Jun 2012	200,000,000	2011/10/28	2012/06/28	50.00
15128	J P Morgan SP BV – Stanchart European Warrants Sep 2012	200,000,000	2011/10/28	2012/09/27	50.00
29781 [#]	Standard Chartered Bank – ICBC European Warrants Dec 2012	320,000,000	2011/10/28	2012/12/10	10.56
10141	BNP Paribas Arbit Issu B.V. -EUR/USD European Wts Jun 2012	100,000,000	2011/10/31	2012/06/12	25.00
10142	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Jun 2012	100,000,000	2011/10/31	2012/06/12	25.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15132	HK Bank – CHALCO European Warrants May 2012 A	68,000,000	2011/10/31	2012/05/25	17.00
15133	HK Bank – Evergrande European Warrants Nov 2012	80,000,000	2011/10/31	2012/11/22	20.00
15134	HK Bank – A50 China European Warrants Aug 2012	100,000,000	2011/10/31	2012/08/01	15.00
15130	HK Bank – Jiangxi Copper European Warrants May 2012 A	100,000,000	2011/10/31	2012/05/30	25.00
15131	HK Bank – PICC European Warrants Oct 2012	100,000,000	2011/10/31	2012/10/18	25.00
15135	UBS AG – HSBC European Warrants Jun 2012	300,000,000	2011/10/31	2012/06/18	75.00
15136	UBS AG – HSI European Put Warrants Mar 2012 C	300,000,000	2011/10/31	2012/03/29	45.00
15138	BOCI Asia Ltd. – ICBC European Warrants Apr 2012	100,000,000	2011/11/01	2012/04/16	15.00
15144	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2012	200,000,000	2011/11/01	2012/05/30	52.00
15137	HK Bank – China Shenhua European Warrants Jun 2012	80,000,000	2011/11/01	2012/06/15	21.60
15142	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants May 2012 A	200,000,000	2011/11/01	2012/05/03	50.80
15143	Macquarie Bank Ltd. – Minsheng Bank European Wts May 2012 A	100,000,000	2011/11/01	2012/05/03	36.00
15139	Standard Chartered Bank – HSBC European Warrants May 2012 A	150,000,000	2011/11/01	2012/05/02	60.00
15140	Standard Chartered Bank – Hutchison Euro Euro Wts May 2012	100,000,000	2011/11/01	2012/05/03	45.00
15141	Standard Chartered Bank – Jiangxi Copper Euro Wts Jun 2012	100,000,000	2011/11/01	2012/06/29	25.00
15145	UBS AG – BYD European Warrants Aug 2012	100,000,000	2011/11/01	2012/08/20	25.00
15146	UBS AG – China Life European Warrants May 2012 A	300,000,000	2011/11/01	2012/05/28	45.00
15148	UBS AG – HSI European Warrants Oct 2012	300,000,000	2011/11/01	2012/10/30	75.00
15147	UBS AG – HSI European Warrants Apr 2012 C	300,000,000	2011/11/01	2012/04/27	45.00
14198#	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2012	100,000,000	2011/11/01	2012/03/29	11.80
14456#	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 D	200,000,000	2011/01/01	2012/03/29	21.40
15150	Barclays Bank plc – BOCL European Warrants Mar 2012	100,000,000	2011/11/02	2012/03/16	19.80
15149	Barclays Bank plc – HKEx European Warrants Jul 2013	100,000,000	2011/11/02	2013/07/25	18.00
15154	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jun 12	100,000,000	2011/11/02	2012/06/04	26.00
15153	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2012	200,000,000	2011/11/02	2012/08/02	70.00
15152	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2012 A	200,000,000	2011/11/02	2012/07/04	54.00
15155	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2012 A	200,000,000	2011/11/02	2012/08/02	86.00
15151	CC Rabobank B.A. – Zoomlion European Warrants May 2012	80,000,000	2011/11/02	2012/05/07	20.00
15158	UBS AG – Agricultural Bank European Warrants Apr 2012 B	200,000,000	2011/11/02	2012/04/23	30.00
15159	UBS AG – China Mobile European Put Warrants Apr 2012	200,000,000	2011/11/02	2012/04/10	104.00
15157	UBS AG – China Mobile European Warrants Jun 2012 A	200,000,000	2011/11/02	2012/06/11	50.00
15156	UBS AG – Sinopec Corp European Warrants May 2012 A	100,000,000	2011/11/02	2012/05/14	45.00
15160	UBS AG – HSI European Put Warrants Jun 2012 B	300,000,000	2011/11/02	2012/06/28	75.00
15161	J P Morgan SP BV – HSI European Put Warrants May 2012	300,000,000	2011/11/03	2012/05/30	75.00
15162	J P Morgan SP BV – HSI European Warrants Apr 2012 F	300,000,000	2011/11/03	2012/04/27	45.00
15178	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2012 B	80,000,000	2011/11/04	2012/04/30	12.00
15183	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jul 2012	80,000,000	2011/11/04	2012/07/11	20.00
15179	Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2012	80,000,000	2011/11/04	2012/10/16	12.00
15177	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts May 2012	80,000,000	2011/11/04	2012/05/08	20.00
15176	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2012	100,000,000	2011/11/04	2012/03/29	15.00
15163	HK Bank – Sands China European Warrants Nov 2012	80,000,000	2011/11/04	2012/11/16	31.20
15182	UBS AG – Agricultural Bank European Warrants Apr 2012 C	200,000,000	2011/11/04	2012/04/25	30.00
15170	UBS AG – CC Bank European Warrants Mar 2012 A	200,000,000	2011/11/04	2012/03/21	44.00
15173	UBS AG – CPIC European Warrants Jun 2012	100,000,000	2011/11/04	2012/06/18	25.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15171	UBS AG – China Shenhua European Warrants Jun 2012	100,000,000	2011/11/04	2012/06/11	30.00
15174	UBS AG – A50 China European Warrants Jul 2012 A	200,000,000	2011/11/04	2012/07/18	30.00
15175	UBS AG – A50 China European Warrants Oct 2012	200,000,000	2011/11/04	2012/10/08	30.00
15181	UBS AG – HKEx European Warrants Jun 2012 B	300,000,000	2011/11/04	2012/06/18	45.00
15168	UBS AG – HKEx European Warrants Nov 2012 A	300,000,000	2011/11/04	2012/11/19	51.00
15180	UBS AG – HSBC European Warrants Apr 2012 A	300,000,000	2011/11/04	2012/04/10	60.00
15164	UBS AG – HSI European Warrants Mar 2012 D	300,000,000	2011/11/04	2012/03/29	45.00
15165	UBS AG – HSI European Warrants Apr 2012 D	300,000,000	2011/11/04	2012/04/27	45.00
15166	UBS AG – Hutchison European Warrants Jun 2012	200,000,000	2011/11/04	2012/06/11	64.00
15172	UBS AG – ICBC European Warrants Mar 2012 A	200,000,000	2011/11/04	2012/03/05	38.00
15167	UBS AG – Jiangxi Copper European Warrants Jun 2012 A	100,000,000	2011/11/04	2012/06/25	25.00
15169	UBS AG – Tencent Holdings European Warrants Mar 2012 A	200,000,000	2011/11/04	2012/03/12	30.00
23164*	UBS AG – China Mobile European Warrants Jun 2012	700,000,000	2011/11/04	2012/06/25	18.90
15190	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Jun 2012	100,000,000	2011/11/07	2012/06/04	48.00
15191	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Jul 2012	100,000,000	2011/11/07	2012/07/04	31.00
15193	J P Morgan SP BV – A50 China European Warrants Jul 2012	200,000,000	2011/11/07	2012/07/18	30.00
15192	J P Morgan SP BV – A50 China European Warrants Oct 2012	200,000,000	2011/11/07	2012/10/08	30.00
15189	Macquarie Bank Ltd. – MGM China European Warrants May 2012	150,000,000	2011/11/07	2012/05/08	43.65
15184	Standard Chartered Bank – HSBC European Warrants Aug 2012	100,000,000	2011/11/07	2012/08/02	36.00
15185	Standard Chartered Bank – HSI European Warrants Apr 2012 G	200,000,000	2011/11/07	2012/04/27	30.00
15188	UBS AG – China Tel European Warrants May 2012	100,000,000	2011/11/07	2012/05/14	30.00
15186	UBS AG – HSBC European Put Warrants Apr 2012	200,000,000	2011/11/07	2012/04/17	66.00
15187	UBS AG – Hutchison European Put Warrants Apr 2012	200,000,000	2011/11/07	2012/04/25	32.00
15218	Credit Suisse AG – CC Bank European Warrants Feb 2012 A	300,000,000	2011/11/08	2012/02/27	75.00
15211	Credit Suisse AG – CM Bank European Warrants Apr 2012	100,000,000	2011/11/08	2012/04/23	15.00
15219	Credit Suisse AG – CNOOC European Warrants Apr 2012	200,000,000	2011/11/08	2012/04/03	30.00
15216	Credit Suisse AG – A50 China European Warrants Oct 2012	100,000,000	2011/11/08	2012/10/08	15.00
15220	Credit Suisse AG – HSBC European Wts Mar 2012 A	300,000,000	2011/11/08	2012/03/30	75.00
15215	Credit Suisse AG – HSI European Warrants Mar 2012	500,000,000	2011/11/08	2012/03/29	75.00
15213	Credit Suisse AG – HSI European Put Warrants Mar 2012 B	500,000,000	2011/11/08	2012/03/29	75.00
15214	Credit Suisse AG – HSI European Put Warrants Mar 2012 C	500,000,000	2011/11/08	2012/03/29	75.00
15212	Credit Suisse AG – Hutchison European Warrants Mar 2012 D	300,000,000	2011/11/08	2012/03/19	180.00
15217	Credit Suisse AG – ICBC European Warrants Feb 2012	300,000,000	2011/11/08	2012/02/27	75.00
15204	Daiwa Capital Mkt – Agricultural Bank European Wts Apr 2012	128,000,000	2011/11/08	2012/04/05	32.00
15205	Daiwa Capital Mkt – Agricultural Bank European Wts May 2012	128,000,000	2011/11/08	2012/05/08	32.00
15203	Daiwa Capital Mkt – China Mobile European Warrants Apr 2012	128,000,000	2011/11/08	2012/04/05	48.64
15199	Daiwa Capital Mkt – CCB European Warrants Mar 2012	108,000,000	2011/11/08	2012/03/12	36.72
15200	Daiwa Capital Mkt – CCB European Warrants Mar 2012 A	108,000,000	2011/11/08	2012/03/01	22.68
15202	Daiwa Capital Mkt – CCB European Warrants Mar 2012 B	108,000,000	2011/11/08	2012/03/16	16.20
15197	Daiwa Capital Mkt – HSBC European Warrants Mar 2012	108,000,000	2011/11/08	2012/03/05	27.00
15198	Daiwa Capital Mkt – HSBC European Warrants Apr 2012	108,000,000	2011/11/08	2012/04/02	37.80
15206	Daiwa Capital Mkt – ICBC European Warrants Mar 2012	128,000,000	2011/11/08	2012/03/09	19.20
15207	Daiwa Capital Mkt – ICBC European Warrants Apr 2012	128,000,000	2011/11/08	2012/04/20	47.36
15195	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Jun 2012	200,000,000	2011/11/08	2012/06/04	50.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15208	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2012 A	200,000,000	2011/11/08	2012/06/22	39.60
15196	Macquarie Bank Ltd. – ICBC European Put Warrants Jun 2012	200,000,000	2011/11/08	2012/06/04	53.00
15209	Macquarie Bank Ltd. – Stanchart European Warrants Jan 2013	200,000,000	2011/11/08	2013/01/03	51.00
15194	CC Rabobank B.A. – MGM China European Warrants May 2012	100,000,000	2011/11/08	2012/05/09	25.00
15222	UBS AG – BYD European Warrants Mar 2012	100,000,000	2011/11/08	2012/03/12	25.00
15223	UBS AG – BYD European Warrants May 2012	100,000,000	2011/11/08	2012/05/14	40.00
15221	UBS AG – HKEEx European Warrants Jul 2012	300,000,000	2011/11/08	2012/07/16	75.00
15210	UBS AG – HSI European Warrants Dec 2012	300,000,000	2011/11/08	2012/12/28	45.00
15229	Daiwa Capital Mkt – AIA European Warrants Jun 2012	128,000,000	2011/11/09	2012/06/15	32.00
15230	Daiwa Capital Mkt – China Life European Warrants Apr 2012	168,000,000	2011/11/09	2012/04/10	25.20
15231	Daiwa Capital Mkt – China Life European Warrants May 2012	168,000,000	2011/11/09	2012/05/15	42.00
15228	Daiwa Capital Mkt – China Mobile European Warrants Apr 2012A	128,000,000	2011/11/09	2012/04/05	33.28
15233	Daiwa Capital Mkt – A50 China European Warrants Oct 2012	188,000,000	2011/11/09	2012/10/08	28.20
15224	Daiwa Capital Mkt – HSBC European Warrants Feb 2012	108,000,000	2011/11/09	2012/02/20	27.00
15246	Daiwa Capital Mkt – HSBC European Warrants May 2013	198,000,000	2011/11/09	2013/05/02	49.50
15232	Daiwa Capital Mkt – HSBC European Put Warrants Jul 2012	108,000,000	2011/11/09	2012/07/09	16.20
15247	Daiwa Capital Mkt – HSI European Warrants Apr 2012	200,000,000	2011/11/09	2012/04/27	34.00
15248	Daiwa Capital Mkt – HSI European Warrants May 2012	200,000,000	2011/11/09	2012/05/30	50.00
15249	Daiwa Capital Mkt – HSI European Put Warrants Apr 2012	200,000,000	2011/11/09	2012/04/27	30.00
15251	Daiwa Capital Mkt – HSI European Put Warrants Jun 2012	200,000,000	2011/11/09	2012/06/28	36.00
15250	Daiwa Capital Mkt – HSI European Put Warrants Apr 2012 A	200,000,000	2011/11/09	2012/04/27	36.00
15226	Daiwa Capital Mkt – Hutchison European Warrants Mar 2012	128,000,000	2011/11/09	2012/03/15	30.72
15227	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013	198,000,000	2011/11/09	2013/03/29	49.50
15235	HK Bank – GCL-Poly Energy European Warrants Jun 2012	60,000,000	2011/11/09	2012/06/28	20.40
15234	HK Bank – SJM Holdings European Warrants Jun 2012 A	80,000,000	2011/11/09	2012/06/15	20.00
15236	Nomura Int'l plc – BOCL European Warrants Jul 2012	200,000,000	2011/11/09	2012/07/26	30.40
15238	Nomura Int'l plc – China Mobile European Warrants Apr 2012	100,000,000	2011/11/09	2012/04/05	31.80
15239	Nomura Int'l plc – China Mobile European Warrants Jun 2015	300,000,000	2011/11/09	2015/06/22	45.30
15241	Nomura Int'l plc – China Telecom European Warrants May 2012	100,000,000	2011/11/09	2012/05/10	31.80
15240	Nomura Int'l plc – China Telecom European Warrants Jun 2012	100,000,000	2011/11/09	2012/06/29	32.50
15244	Nomura Int'l plc – A50 China European Warrants Jul 2012 A	100,000,000	2011/11/09	2012/07/31	15.00
15245	Nomura Int'l plc – A50 China European Warrants Nov 2012	100,000,000	2011/11/09	2012/11/30	25.00
15243	Nomura Int'l plc – A50 China European Warrants Oct 2013	100,000,000	2011/11/09	2013/10/28	18.00
15242	Nomura Int'l plc – JIANG European Warrants Jun 2012	100,000,000	2011/11/09	2012/06/29	29.00
15237	Nomura Int'l plc – Lenovo European Warrants May 2012	100,000,000	2011/11/09	2012/05/14	44.00
15254	UBS AG – CM Bank European Warrants Nov 2012	100,000,000	2011/11/09	2012/11/26	25.00
15253	UBS AG – China Mobile European Warrants Apr 2012 C	200,000,000	2011/11/09	2012/04/16	62.00
15252	UBS AG – HSBC European Warrants Apr 2012 B	200,000,000	2011/11/09	2012/04/23	62.00
15275	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Jul 2012	100,000,000	2011/11/10	2012/07/04	25.00
15274	Credit Suisse AG – Prada European Warrants Oct 2012	100,000,000	2011/11/10	2012/10/22	25.00
15269	Daiwa Capital Mkt – Agricultural Bank Euro Put Wts May 2012	108,000,000	2011/11/10	2012/05/10	37.80
15258	Daiwa Capital Mkt – AIA European Warrants May 2012	108,000,000	2011/11/10	2012/05/10	29.16
15268	Daiwa Capital Mkt – AIA European Put Warrants Jun 2012	108,000,000	2011/11/10	2012/06/01	39.96
15259	Daiwa Capital Mkt – China Mobile European Warrants Mar 2012	128,000,000	2011/11/10	2012/03/12	71.68

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15263	Daiwa Capital Mkt – China Mobile European Put Wts May 2012	80,000,000	2011/11/10	2012/05/18	47.20
15261	Daiwa Capital Mkt – CCB European Put Warrants May 2012	80,000,000	2011/11/10	2012/05/10	59.20
15267	Daiwa Capital Mkt – China Life European Warrants Apr 2012 A	188,000,000	2011/11/10	2012/04/02	28.20
15262	Daiwa Capital Mkt – China Life European Put Wts Jun 2012	80,000,000	2011/11/10	2012/06/01	20.00
15264	Daiwa Capital Mkt – China Mobile European Put Wts May 2012 A	88,000,000	2011/11/10	2012/05/25	22.88
15255	Daiwa Capital Mkt – CNOOC European Warrants May 2012	98,000,000	2011/11/10	2012/05/02	14.70
15266	Daiwa Capital Mkt – A50 China European Warrants Apr 2012	188,000,000	2011/11/10	2012/04/20	28.20
15257	Daiwa Capital Mkt – A50 China European Warrants May 2012	108,000,000	2011/11/10	2012/05/10	50.76
15265	Daiwa Capital Mkt – HSI European Put Warrants Apr 2012 B	200,000,000	2011/11/10	2012/04/27	30.00
15260	Daiwa Capital Mkt – Hutchison European Warrants May 2012	128,000,000	2011/11/10	2012/05/25	37.12
15256	Daiwa Capital Mkt – ICBC European Warrants May 2012	128,000,000	2011/11/10	2012/05/10	65.28
15273	Standard Chartered Bank – China Coal European Wts Aug 2012	100,000,000	2011/11/10	2012/08/08	25.00
15270	Standard Chartered Bank – HKEx European Warrants Aug 2012	100,000,000	2011/11/10	2012/08/08	25.00
15272	Standard Chartered Bank – ICBC European Warrants Oct 2012	100,000,000	2011/11/10	2012/10/05	25.00
15271	Standard Chartered Bank -PetroChina European Wts Dec 2012	100,000,000	2011/11/10	2012/12/04	25.00
15277	UBS AG – China Life European Warrants Oct 2012	300,000,000	2011/11/10	2012/10/15	75.00
15276	UBS AG – Ping An European Warrants Nov 2013	200,000,000	2011/11/10	2013/11/25	30.00
15295	Credit Suisse AG – China Mobile European Put Wts Dec 2013	300,000,000	2011/11/11	2013/12/23	75.00
15282	Credit Suisse AG – HKEx European Warrants Mar 2012	300,000,000	2011/11/11	2012/03/16	45.00
15288	Daiwa Capital Mkt -Agricultural Bank European Wts Apr 2012 A	128,000,000	2011/11/11	2012/04/16	19.20
15287	Daiwa Capital Mkt – China Life European Warrants Jun 2012	168,000,000	2011/11/11	2012/06/05	25.20
15292	Daiwa Capital Mkt – China Life European Put Wts Apr 2012	128,000,000	2011/11/11	2012/04/02	37.12
15283	Daiwa Capital Mkt – HSCEI European Warrants Jun 2012	100,000,000	2011/11/11	2012/06/28	25.00
15289	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2012	100,000,000	2011/11/11	2012/06/28	25.00
15286	Daiwa Capital Mkt – HSI European Warrants Mar 2012	200,000,000	2011/11/11	2012/03/29	30.00
15290	Daiwa Capital Mkt – HSI European Put Warrants Mar 2012	200,000,000	2011/11/11	2012/03/29	54.00
15291	Daiwa Capital Mkt – HSI European Put Warrants May 2012	200,000,000	2011/11/11	2012/05/30	50.00
15284	Daiwa Capital Mkt – HSI European Warrants Apr 2012 C	200,000,000	2011/11/11	2012/04/27	30.00
15285	Daiwa Capital Mkt – HSI European Warrants May 2012 A	200,000,000	2011/11/11	2012/05/30	50.00
15293	Macquarie Bank Ltd. – China Life European Wts Jul 2012	200,000,000	2011/11/11	2012/07/04	50.00
15278	Macquarie Bank Ltd. – HSBC European Warrants Jun 2012	200,000,000	2011/11/11	2012/06/04	50.00
15280	Standard Chartered Bank – China Mobile Euro Wts Jun 2012	100,000,000	2011/11/11	2012/06/25	40.00
15279	Standard Chartered Bank – China Unicom European Wts Sep 2012	100,000,000	2011/11/11	2012/09/28	25.00
15281	Standard Chartered Bank-China Life European Wts Nov 2012	150,000,000	2011/11/11	2012/11/30	37.50
15294	UBS AG – China Life European Warrants Apr 2012 B	300,000,000	2011/11/11	2012/04/23	45.00
15297	UBS AG – CNOOC European Warrants Apr 2012 B	200,000,000	2011/11/11	2012/04/27	30.00
15296	UBS AG – Sinopec Corp European Warrants Jun 2012	100,000,000	2011/11/11	2012/06/25	40.00
15324	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2012 A	200,000,000	2011/11/14	2012/08/02	50.00
15306	Credit Suisse AG – Sinopec Corp European Warrants Feb 2012	150,000,000	2011/11/14	2012/02/15	60.00
15307	Credit Suisse AG – HKEx European Warrants Mar 2012 A	300,000,000	2011/11/14	2012/03/19	45.00
15304	Credit Suisse AG – Hutchison European Warrants Mar 2012 E	300,000,000	2011/11/14	2012/03/05	75.00
15305	Credit Suisse AG – Hutchison European Warrants Oct 2012 A	300,000,000	2011/11/14	2012/10/29	45.00
15318	Daiwa Capital Mkt – AIA European Warrants Jul 2012	108,000,000	2011/11/14	2012/07/03	70.20
15320	Daiwa Capital Mkt – BOCL European Warrants Jul 2012	108,000,000	2011/11/14	2012/07/03	29.16

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15321	Daiwa Capital Mkt – BOCL European Put Warrants Jun 2012	80,000,000	2011/11/14	2012/06/15	43.20
15316	Daiwa Capital Mkt – China Mobile European Warrants Jun 2012	128,000,000	2011/11/14	2012/06/01	32.00
15317	Daiwa Capital Mkt – China Mobile European Warrants Apr 2013	198,000,000	2011/11/14	2013/04/01	49.50
15319	Daiwa Capital Mkt – China Life European Warrants Jul 2012	128,000,000	2011/11/14	2012/07/03	84.48
15313	Daiwa Capital Mkt – HKEx European Warrants Jul 2012	108,000,000	2011/11/14	2012/07/16	27.00
15314	Daiwa Capital Mkt – HKEx European Warrants Aug 2012	108,000,000	2011/11/14	2012/08/15	50.76
15315	Daiwa Capital Mkt – HKEx European Put Warrants Jan 2013	108,000,000	2011/11/14	2013/01/03	16.20
15311	Daiwa Capital Mkt – HSBC European Warrants May 2012	128,000,000	2011/11/14	2012/05/14	61.44
15327	Daiwa Capital Mkt – HSCEI European Warrants Sep 2012	100,000,000	2011/11/14	2012/09/27	25.00
15328	Daiwa Capital Mkt – HSCEI European Put Warrants May 2012	100,000,000	2011/11/14	2012/05/30	25.00
15323	Daiwa Capital Mkt – HSI European Warrants Jun 2012	200,000,000	2011/11/14	2012/06/28	50.00
15322	Daiwa Capital Mkt – HSI European Warrants May 2012 B	200,000,000	2011/11/14	2012/05/30	52.00
15312	Daiwa Capital Mkt – Hutchison European Put Warrants Jun 2012	80,000,000	2011/11/14	2012/06/01	57.60
15303	Bank of East Asia – CHALCO European Warrants Dec 2013	500,000,000	2011/11/14	2013/12/13	125.00
15302	Bank of East Asia – Ping An European Warrants Sep 2013	310,000,000	2011/11/14	2013/09/23	77.50
15301	HK Bank – Agricultural Bank European Warrants May 2012	150,000,000	2011/11/14	2012/05/14	37.50
15299	HK Bank – China Mobile European Warrants May 2012	120,000,000	2011/11/14	2012/05/14	34.80
15298	HK Bank – CC Bank European Warrants May 2012	150,000,000	2011/11/14	2012/05/14	37.50
15300	HK Bank – China Life European Warrants Aug 2012 A	120,000,000	2011/11/14	2012/08/28	30.00
15308	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2012 A	200,000,000	2011/11/14	2012/07/04	86.40
15310	Standard Chartered Bank-China Life European Wts Jul 2012 B	150,000,000	2011/11/14	2012/07/18	37.50
15326	UBS AG – CC Bank European Warrants May 2012	200,000,000	2011/11/14	2012/05/14	110.00
15325	UBS AG – CC Bank European Warrants Apr 2012 B	200,000,000	2011/11/14	2012/04/26	76.00
15309	UBS AG – China Life European Warrants Jul 2012	300,000,000	2011/11/14	2012/07/26	45.00
15341	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Aug 2012	100,000,000	2011/11/15	2012/08/02	25.00
15340	Credit Suisse AG – BOC HK European Warrants Apr 2012	150,000,000	2011/11/15	2012/04/10	22.50
15347	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Sep 2012	80,000,000	2011/11/15	2012/09/03	20.00
15335	Daiwa Capital Mkt – BOC HK European Warrants Jun 2012	108,000,000	2011/11/15	2012/06/22	16.20
15333	Daiwa Capital Mkt – CNOOC European Warrants Jul 2012	98,000,000	2011/11/15	2012/07/23	19.60
15334	Daiwa Capital Mkt – CNOOC European Warrants Aug 2012	98,000,000	2011/11/15	2012/08/15	27.44
15342	Daiwa Capital Mkt – HSCEI European Warrants Sep 2012 A	100,000,000	2011/11/15	2012/09/27	27.00
15336	Daiwa Capital Mkt – HSI European Warrants May 2012 C	200,000,000	2011/11/15	2012/05/30	50.00
15337	Daiwa Capital Mkt – HSI European Warrants Jun 2012 A	200,000,000	2011/11/15	2012/06/28	50.00
15338	Daiwa Capital Mkt – HSI European Put Warrants May 2012 D	200,000,000	2011/11/15	2012/05/30	52.00
15331	HK Bank – HSI European Put Warrants May 2012	200,000,000	2011/11/15	2012/05/30	40.00
15329	HK Bank – HSI European Warrants May 2012 A	200,000,000	2011/11/15	2012/05/30	50.00
15330	HK Bank – HSI European Put Warrants Apr 2012 C	200,000,000	2011/11/15	2012/04/27	30.00
15332	J P Morgan SP BV – China Life European Warrants May 2012	200,000,000	2011/11/15	2012/05/30	50.00
15343	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jun 2012	200,000,000	2011/11/15	2012/06/28	50.00
15344	J P Morgan SP BV – HSCEI European Put Warrants Jun 2012	200,000,000	2011/11/15	2012/06/28	50.00
15345	J P Morgan SP BV – HSI European Warrants Mar 2012 A	200,000,000	2011/11/15	2012/03/29	30.00
15346	J P Morgan SP BV – HSI European Warrants Apr 2012 G	200,000,000	2011/11/15	2012/04/27	30.00
15339	UBS AG – Hutchison European Warrants Oct 2012 A	500,000,000	2011/11/15	2012/10/31	75.00
15349	UBS AG – SPDR Gold Trust European Warrants May 2012	100,000,000	2011/11/15	2012/05/21	60.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15348	UBS AG – Tencent Holdings European Warrants Jun 2012	200,000,000	2011/11/15	2012/06/25	36.00
15355	Nomura Int'l plc – Agricultural Bk Euro Warrants Jun 2012	100,000,000	2011/11/16	2012/06/29	49.20
15354	Nomura Int'l plc – Agricultural Bk Euro Warrants Apr 2012 A	100,000,000	2011/11/16	2012/04/05	15.70
15360	Nomura Int'l plc – BOCL European Warrants Mar 2012	100,000,000	2011/11/16	2012/03/26	16.30
15359	Nomura Int'l plc – China Life European Warrants May 2012	100,000,000	2011/11/16	2012/05/31	25.00
15352	Nomura Int'l plc – CNOOC European Warrants Nov 2012	100,000,000	2011/11/16	2012/11/30	16.00
15351	Nomura Int'l plc – Sinopec European Warrants May 2012 A	100,000,000	2011/11/16	2012/05/21	56.00
15357	Nomura Int'l plc – ICBC European Warrants Jun 2012	100,000,000	2011/11/16	2012/06/29	39.30
15356	Nomura Int'l plc – ICBC European Warrants Mar 2012 A	100,000,000	2011/11/16	2012/03/26	19.00
15358	Nomura Int'l plc – Ping An European Warrants Nov 2013	100,000,000	2011/11/16	2013/11/29	15.00
15353	Nomura Int'l plc – Ch Rongsheng European Warrants Mar 2012	100,000,000	2011/11/16	2012/03/05	25.00
15350	Standard Chartered Bank – Sinopec Corp European Wts Aug 2012	100,000,000	2011/11/16	2012/08/08	35.00
15364	UBS AG – BOC HK European Warrants Jun 2012	100,000,000	2011/11/16	2012/06/22	15.00
15363	UBS AG – CNOOC European Warrants Apr 2012 C	200,000,000	2011/11/16	2012/04/13	30.00
15362	UBS AG – HSBC European Warrants Apr 2013	500,000,000	2011/11/16	2013/04/25	95.00
15361	UBS AG – HSBC European Warrants Mar 2012 A	200,000,000	2011/11/16	2012/03/29	100.00
15367	UBS AG – HSI European Put Warrants Jul 2012	300,000,000	2011/11/16	2012/07/30	90.00
15365	UBS AG – HSI European Warrants Feb 2012 E	300,000,000	2011/11/16	2012/02/28	45.00
15366	UBS AG – HSI European Put Warrants Feb 2012 F	300,000,000	2011/11/16	2012/02/28	45.00
15368	Barclays Bank plc – HSI European Warrants Aug 2012 A	150,000,000	2011/11/17	2012/08/30	37.65
15369	Barclays Bank plc – HSI European Put Warrants Aug 2012 B	150,000,000	2011/11/17	2012/08/30	37.65
15379	Credit Suisse AG – HSBC European Wts Apr 2013	400,000,000	2011/11/17	2013/04/25	72.00
15380	Credit Suisse AG – HSI European Warrants Feb 2012 O	500,000,000	2011/11/17	2012/02/28	75.00
15381	Credit Suisse AG – HSI European Warrants Mar 2012 D	500,000,000	2011/11/17	2012/03/29	75.00
15389	HK Bank – BOCL European Warrants Jun 2012	80,000,000	2011/11/17	2012/06/28	20.00
15387	HK Bank – Sinopec Corp European Warrants May 2012	80,000,000	2011/11/17	2012/05/17	21.60
15385	HK Bank – Esprit European Warrants Sep 2012	60,000,000	2011/11/17	2012/09/27	15.00
15386	HK Bank – Jiangxi Copper European Warrants Jun 2012	60,000,000	2011/11/17	2012/06/15	16.20
15388	HK Bank – Shougang Res European Warrants Jun 2012	50,000,000	2011/11/17	2012/06/28	13.00
15395	Macquarie Bank Ltd. – CITIC Bank European Warrants Aug 2012	150,000,000	2011/11/17	2012/08/02	41.55
15382	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2012	250,000,000	2011/11/17	2012/12/04	62.50
15384	Macquarie Bank Ltd. – ICBC European Warrants Jul 2012	200,000,000	2011/11/17	2012/07/03	70.00
15394	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jul 2012	200,000,000	2011/11/17	2012/07/03	50.60
15393	Standard Chartered Bank – BOCL European Warrants May 2012	100,000,000	2011/11/17	2012/05/18	25.00
15391	Standard Chartered Bank – CC Bank European Wts Mar 2012 A	100,000,000	2011/11/17	2012/03/21	23.00
15390	Standard Chartered Bank – HSBC European Warrants Apr 2012	100,000,000	2011/11/17	2012/04/10	24.00
15392	Standard Chartered Bank – ICBC European Warrants Mar 2012	100,000,000	2011/11/17	2012/03/02	21.00
15373	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2012	180,000,000	2011/11/17	2012/04/16	84.60
15374	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2012A	300,000,000	2011/11/17	2012/04/16	69.00
15375	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2012	300,000,000	2011/11/17	2012/06/06	57.00
15376	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2012	300,000,000	2011/11/17	2012/04/02	84.00
15377	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012	300,000,000	2011/11/17	2012/05/21	75.00
15370	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012 B	300,000,000	2011/11/17	2012/03/29	45.00
15372	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 12 C	300,000,000	2011/11/17	2012/03/29	48.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15371	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 12 C	300,000,000	2011/11/17	2012/04/27	48.00
15378	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2012	150,000,000	2011/11/17	2012/05/21	87.00
15383	UBS AG – HKEx European Warrants Aug 2012 A	300,000,000	2011/11/17	2012/08/01	45.00
15399	UBS AG – HSI European Put Warrants Jun 2012 C	300,000,000	2011/11/17	2012/06/28	45.00
15398	UBS AG – ICBC European Warrants May 2012	200,000,000	2011/11/17	2012/05/28	90.00
15396	UBS AG – SHK Ppt European Warrants Feb 2013	100,000,000	2011/11/17	2013/02/25	25.00
15397	UBS AG – Tencent Holdings European Warrants May 2012 A	200,000,000	2011/11/17	2012/05/28	36.00
15405	BOCI Asia Ltd. – China Life European Warrants Jun 2012 A	100,000,000	2011/11/18	2012/06/05	25.00
15406	BOCI Asia Ltd. – China Life European Warrants Oct 2012	100,000,000	2011/11/18	2012/10/03	25.00
15414	J P Morgan SP BV – AIA European Warrants May 2012	200,000,000	2011/11/18	2012/05/30	50.00
15413	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2012	200,000,000	2011/11/18	2012/05/30	78.00
15412	J P Morgan SP BV – HKEx European Warrants Aug 2012	300,000,000	2011/11/18	2012/08/01	45.00
15411	J P Morgan SP BV – Ping An European Warrants Dec 2012	300,000,000	2011/11/18	2012/12/11	45.00
15407	Standard Chartered Bank – Tencent Hldg European Wts Jun 2012	100,000,000	2011/11/18	2012/06/28	25.00
15400	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt May 2012	200,000,000	2011/11/18	2012/05/21	60.00
15402	SGA Societe Generale Acceptance N.V. -Ch Mob Eu Wt May 2012	150,000,000	2011/11/18	2012/05/21	49.50
15401	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2012	150,000,000	2011/11/18	2012/05/21	70.50
15404	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2012	180,000,000	2011/11/18	2012/05/21	63.00
15403	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2012 A	150,000,000	2011/11/18	2012/04/25	76.50
15410	UBS AG – China Mobile European Put Warrants Dec 2013	300,000,000	2011/11/18	2013/12/16	57.00
15408	UBS AG – HSI European Warrants Jun 2012 D	300,000,000	2011/11/18	2012/06/28	78.00
15409	UBS AG – Hutchison European Warrants Jun 2012 A	200,000,000	2011/11/18	2012/06/25	80.00
15434	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wts Aug 2012	100,000,000	2011/11/21	2012/08/02	53.00
15429	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wt Nov 2012	100,000,000	2011/11/21	2012/11/02	25.00
15433	BNP Paribas Arbit Issu B.V. – Cathay Pacific Eu Wts Nov 2013	98,000,000	2011/11/21	2013/11/04	24.50
15432	BNP Paribas Arbit Issu B.V. -Li Ning European Wts Jul 2013	54,000,000	2011/11/21	2013/07/03	13.50
15431	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2012	100,000,000	2011/11/21	2012/06/04	53.00
15430	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Aug 2012	100,000,000	2011/11/21	2012/08/02	32.00
15426	Daiwa Capital Mkt – Sinopec Corp European Warrants May 2012	80,000,000	2011/11/21	2012/05/21	56.80
15427	Daiwa Capital Mkt – Sinopec Corp European Warrants Jul 2013	128,000,000	2011/11/21	2013/07/18	32.00
15428	Daiwa Capital Mkt – Sinopec Corp European Put Wts May 2012	80,000,000	2011/11/21	2012/05/21	29.60
15419	CC Rabobank B.A. – China Oilfield European Warrants Aug 2012	100,000,000	2011/11/21	2012/08/20	25.00
15420	CC Rabobank B.A. – CSR Times European Warrants Jun 2012	100,000,000	2011/11/21	2012/06/18	30.00
15421	CC Rabobank B.A. – HKEx European Put Warrants Dec 2012	200,000,000	2011/11/21	2012/12/21	40.00
15422	CC Rabobank B.A. – Tencent Holdings European Wts Jun 2012	200,000,000	2011/11/21	2012/06/18	50.00
15416	Standard Chartered Bank – China Overseas Euro Wt Jul 2012	100,000,000	2011/11/21	2012/07/13	15.00
15417	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2012 B	200,000,000	2011/11/21	2012/04/11	46.00
15418	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2012	200,000,000	2011/11/21	2012/06/27	64.00
15435	UBS AG – BYD European Warrants Jul 2012 A	100,000,000	2011/11/21	2012/07/30	25.00
15437	UBS AG – China Mobile European Warrants Mar 2013	500,000,000	2011/11/21	2013/03/25	115.00
15436	UBS AG – CC Bank European Warrants May 2012 A	200,000,000	2011/11/21	2012/05/07	32.00
15439	UBS AG – China Life European Warrants Jul 2012 A	200,000,000	2011/11/21	2012/07/31	30.00
15438	UBS AG – HKEx European Warrants Jul 2012 A	300,000,000	2011/11/21	2012/07/09	60.00
15425	UBS AG – HSI European Warrants Mar 2012 E	300,000,000	2011/11/21	2012/03/29	45.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15423	UBS AG – HSI European Warrants Apr 2012 E	300,000,000	2011/11/21	2012/04/27	81.00
15424	UBS AG – HSI European Warrants May 2012 A	300,000,000	2011/11/21	2012/05/30	66.00
15449	BOCI Asia Ltd. – CNOOC European Warrants Dec 2012	100,000,000	2011/11/22	2012/12/04	20.00
15448	BOCI Asia Ltd. – PetroChina European Warrants Oct 2012	100,000,000	2011/11/22	2012/10/29	15.00
15447	J P Morgan SP BV – BOCL European Warrants Jun 2012	200,000,000	2011/11/22	2012/06/28	50.00
15443	J P Morgan SP BV – CNOOC European Warrants Apr 2012	200,000,000	2011/11/22	2012/04/30	30.00
15446	J P Morgan SP BV – Esprit European Wts Aug 2012	100,000,000	2011/11/22	2012/08/30	25.00
15445	J P Morgan SP BV – HSBC European Warrants Jun 2012	200,000,000	2011/11/22	2012/06/04	50.00
15451	J P Morgan SP BV – Hutchison European Warrants May 2012	100,000,000	2011/11/22	2012/05/30	37.10
15450	J P Morgan SP BV – Hutchison European Warrants Jun 2012	100,000,000	2011/11/22	2012/06/28	25.00
15444	J P Morgan SP BV – Tencent European Warrants Jun 2012 A	200,000,000	2011/11/22	2012/06/25	30.00
15442	Macquarie Bank Ltd. – Huaneng Power European Wts Jun 2012	150,000,000	2011/11/22	2012/06/04	38.85
15440	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt May 2012A	150,000,000	2011/11/22	2012/05/23	61.50
15441	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jun 2012	150,000,000	2011/11/22	2012/06/27	45.00
15453	UBS AG – CC Bank European Warrants Jul 2012	200,000,000	2011/11/22	2012/07/10	120.00
15452	UBS AG – A50 China European Warrants Nov 2012	200,000,000	2011/11/22	2012/11/12	50.00
15469	Credit Suisse AG – ChiUnicom European Warrants Apr 2012	200,000,000	2011/11/23	2012/04/25	30.00
15468	Credit Suisse AG – Tencent Holdings European Wts Mar 2012 A	300,000,000	2011/11/23	2012/03/19	45.00
15470	Credit Suisse AG – Yanzhou Coal European Warrants May 2012	200,000,000	2011/11/23	2012/05/31	30.00
15456	Bank of East Asia – Belle European Warrants Aug 2012	100,000,000	2011/11/23	2012/08/23	25.00
15457	Bank of East Asia – CNBM European Warrants Nov 2012	140,000,000	2011/11/23	2012/11/23	35.00
15455	Bank of East Asia – Tencent Holdings European Wts Jun 2012	100,000,000	2011/11/23	2012/06/22	25.00
15464	J P Morgan SP BV – Agricultural Bk Euro Warrants May 2012	150,000,000	2011/11/23	2012/05/30	37.50
15463	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2012 A	200,000,000	2011/11/23	2012/05/30	50.00
15462	J P Morgan SP BV – China Life European Warrants May 2012 A	288,000,000	2011/11/23	2012/05/30	72.00
15461	J P Morgan SP BV – Sinopec Corp European Warrants May 2012 A	200,000,000	2011/11/23	2012/05/23	66.20
15459	J P Morgan SP BV – ICBC European Warrants May 2012	200,000,000	2011/11/23	2012/05/30	50.00
15460	J P Morgan SP BV – ICBC European Warrants May 2012 A	200,000,000	2011/11/23	2012/05/30	67.40
15458	J P Morgan SP BV – Ping An European Warrants Jun 2012 A	200,000,000	2011/11/23	2012/06/18	66.40
15467	Standard Chartered Bank – BYD European Warrants May 2012	100,000,000	2011/11/23	2012/05/28	25.00
15465	Standard Chartered Bank – CTel European Warrants May 2012	100,000,000	2011/11/23	2012/05/28	25.00
15466	Standard Chartered Bank –PetroChina European Wts Jun 2012	100,000,000	2011/11/23	2012/06/15	50.00
15454	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt May2012	200,000,000	2011/11/23	2012/05/14	78.00
15471	UBS AG – China Life European Warrants Jun 2012	200,000,000	2011/11/23	2012/06/25	50.00
15472	UBS AG – HSI European Warrants Apr 2012 F	300,000,000	2011/11/23	2012/04/27	72.00
15473	UBS AG – HSI European Warrants Dec 2012 A	300,000,000	2011/11/23	2012/12/28	75.00
15486	BOCI Asia Ltd. – BOC HK European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/29	15.00
15487	BOCI Asia Ltd. – Ch Overseas European Warrants Jul 2012	100,000,000	2011/11/24	2012/07/20	18.00
15485	BNP Paribas Arbit Issu B.V. -MGM China European Wts Jul 2012	100,000,000	2011/11/24	2012/07/04	25.00
15484	Macquarie Bank Ltd. – Zoomlion European Warrants Dec 2012	200,000,000	2011/11/24	2012/12/04	50.00
15477	Nomura Int'l plc – Air China European Warrants Jun 2014	50,000,000	2011/11/24	2014/06/30	12.50
15474	Nomura Int'l plc – BJ Ent Water European Warrants May 2012	100,000,000	2011/11/24	2012/05/28	25.00
15481	Nomura Int'l plc – BYD European Warrants May 2012	100,000,000	2011/11/24	2012/05/31	26.00
15482	Nomura Int'l plc – CPIC European Warrants May 2012	100,000,000	2011/11/24	2012/05/31	25.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15480	Nomura Int'l plc – Ch South Air European Warrants Jun 2012	50,000,000	2011/11/24	2012/06/29	25.00
15475	Nomura Int'l plc – HKEx European Warrants Jun 2012 A	100,000,000	2011/11/24	2012/06/06	51.00
15476	Nomura Int'l plc – Tencent Holdings Euro Warrants May 2012	100,000,000	2011/11/24	2012/05/31	16.80
15478	Nomura Int'l plc – ZTE European Warrants Jun 2012	50,000,000	2011/11/24	2012/06/29	12.75
15479	Nomura Int'l plc – ZTE European Warrants Dec 2012	50,000,000	2011/11/24	2012/12/17	12.70
15483	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Sep 2012	400,000,000	2011/11/24	2012/09/24	80.00
15490	UBS AG – Anhui Conch European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/25	32.00
15491	UBS AG – Alibaba European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/22	15.00
15489	UBS AG – China Overseas European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/25	15.00
15492	UBS AG – China National Building European Warrants Nov 2012	100,000,000	2011/11/24	2012/11/12	15.00
15488	UBS AG – HSI European Put Warrants Apr 2012 G	300,000,000	2011/11/24	2012/04/27	45.00
15164#	UBS AG – HSI European Warrants Mar 2012 D	300,000,000	2011/11/24	2012/03/29	16.80
15515	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2012	200,000,000	2011/11/25	2012/10/03	50.00
15514	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2012	100,000,000	2011/11/25	2012/08/02	15.00
15513	Credit Suisse AG – HSI European Put Warrants Apr 2012	500,000,000	2011/11/25	2012/04/27	75.00
15510	Credit Suisse AG – HSI European Warrants Mar 2012 E	500,000,000	2011/11/25	2012/03/29	75.00
15511	Credit Suisse AG – HSI European Warrants Mar 2012 F	500,000,000	2011/11/25	2012/03/29	75.00
15512	Credit Suisse AG – HSI European Warrants Mar 2012 G	500,000,000	2011/11/25	2012/03/29	75.00
15503	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Jul 2012	80,000,000	2011/11/25	2012/07/10	12.00
15504	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2012	80,000,000	2011/11/25	2012/05/24	12.00
15500	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2012	80,000,000	2011/11/25	2012/04/24	17.60
15505	Citigroup Global Mkt H Inc. – HSCEI European Wts Mar 2012 A	80,000,000	2011/11/25	2012/03/29	12.00
15506	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2012 A	100,000,000	2011/11/25	2012/03/29	15.00
15501	Citigroup Global Mkt H Inc. – Hutchison Euro Wts May 2012	80,000,000	2011/11/25	2012/05/31	25.60
15502	Citigroup Global Mkt H Inc.-Tencent European Wts May 2012	80,000,000	2011/11/25	2012/05/23	12.80
15498	HK Bank – CNOOC European Warrants Oct 2012	120,000,000	2011/11/25	2012/10/15	30.00
15507	Standard Chartered Bank – HSI European Warrants May 2012	200,000,000	2011/11/25	2012/05/30	30.20
15495	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2012	200,000,000	2011/11/25	2012/06/11	74.00
15508	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Jul 2012	150,000,000	2011/11/25	2012/07/30	37.50
15493	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 D	300,000,000	2011/11/25	2012/04/27	75.00
15494	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 12 E	300,000,000	2011/11/25	2012/04/27	48.00
15496	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2012 A	150,000,000	2011/11/25	2012/04/23	87.00
15497	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2012 A	200,000,000	2011/11/25	2012/05/28	68.00
15509	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Jun 12	100,000,000	2011/11/25	2012/06/27	25.00
15516	UBS AG – HSBC European Warrants May 2012	300,000,000	2011/11/25	2012/05/28	153.00
15499	UBS AG – HSCEI European Warrants Jun 2012	100,000,000	2011/11/25	2012/06/28	25.00
15517	UBS AG – Tencent Holdings European Warrants Jun 2012 A	200,000,000	2011/11/25	2012/06/11	54.00
15539	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2012 A	200,000,000	2011/11/28	2012/06/04	66.00
15521	BNP Paribas Arbit Issu B.V. – Cheung Kong Eu Put Wt Oct 2013	100,000,000	2011/11/28	2013/10/03	25.00
15540	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2012 B	200,000,000	2011/11/28	2012/06/04	50.00
15541	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2013	200,000,000	2011/11/28	2013/06/04	58.00
15538	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2012 B	200,000,000	2011/11/28	2012/06/04	52.00
15522	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Put Wts Oct 2013	100,000,000	2011/11/28	2013/10/03	25.00
15520	Credit Suisse AG – CNBM European Warrants Sep 2012	100,000,000	2011/11/28	2012/09/12	15.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15534	Citigroup Global Mkt H Inc. – BYD European Warrants May 2012	80,000,000	2011/11/28	2012/05/30	20.00
15531	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Aug 2012	80,000,000	2011/11/28	2012/08/29	20.00
15533	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts May 2012	80,000,000	2011/11/28	2012/05/30	24.00
15536	Citigroup Global Mkt H Inc. – CPIC European Wts May 2012	80,000,000	2011/11/28	2012/05/30	20.00
15530	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Mar 2012	80,000,000	2011/11/28	2012/03/29	12.00
15537	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2012 B	100,000,000	2011/11/28	2012/03/29	15.00
15532	Citigroup Global Mkt H Inc. – SJM Holdings Euro Wt Jun 2012	80,000,000	2011/11/28	2012/06/08	12.00
15519	J P Morgan SP BV – Cheung Kong European Warrants Dec 2012 A	200,000,000	2011/11/28	2012/12/20	30.00
15529	Macquarie Bank Ltd. – Esprit European Warrants Nov 2012	200,000,000	2011/11/28	2012/11/30	33.60
15518	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jun 2012	200,000,000	2011/11/28	2012/06/04	50.00
15527	CC Rabobank B.A. – Sinopec Corp European Warrants Jul 2012	100,000,000	2011/11/28	2012/07/16	80.00
15528	CC Rabobank B.A. – HKEx European Warrants Jun 2012 A	120,000,000	2011/11/28	2012/06/06	18.00
15526	CC Rabobank B.A. – Ting Yi European Warrants Aug 2012	80,000,000	2011/11/28	2012/08/06	20.00
15523	CC Rabobank B.A. – Want Want China European Wts Jun 2012	100,000,000	2011/11/28	2012/06/18	60.00
15535	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2012B	300,000,000	2011/11/28	2012/04/02	45.00
15546	UBS AG – BankComm European Warrants Jun 2012	100,000,000	2011/11/28	2012/06/25	33.00
15544	UBS AG – Minsheng Bank European Warrants Jul 2012	100,000,000	2011/11/28	2012/07/16	48.00
15547	UBS AG – Evergrande European Warrants Jun 2012	100,000,000	2011/11/28	2012/06/25	28.00
15542	UBS AG – HSBC European Warrants Jun 2012 A	300,000,000	2011/11/28	2012/06/11	204.00
15545	UBS AG – Ping An European Warrants Jun 2012 A	100,000,000	2011/11/28	2012/06/11	54.00
15543	UBS AG – Yanzhou Coal European Wts Jun 2012	100,000,000	2011/11/28	2012/06/18	22.00
15553	Credit Suisse AG – China Coal European Warrants Aug 2012	100,000,000	2011/11/29	2012/08/01	15.00
15551	J P Morgan SP BV – China Life European Warrants May 2012 B	200,000,000	2011/11/29	2012/05/30	50.00
15555	CC Rabobank B.A. – Anhui Conch European Warrants Jul 2012	100,000,000	2011/11/29	2012/07/16	40.00
15554	CC Rabobank B.A. – Galaxy Ent European Warrants Jun 2012	100,000,000	2011/11/29	2012/06/25	25.00
15557	CC Rabobank B.A. – KECL European Warrants Feb 2013	120,000,000	2011/11/29	2013/02/27	30.00
15556	CC Rabobank B.A. – MGM China European Warrants Jun 2012	100,000,000	2011/11/29	2012/06/25	25.00
15558	CC Rabobank B.A. – SJM Holdings European Warrants Jul 2012	100,000,000	2011/11/29	2012/07/30	25.00
15552	Standard Chartered Bank – ICBC European Warrants Jul 2012	100,000,000	2011/11/29	2012/07/04	25.00
15559	UBS AG – China Coal European Warrants Jan 2013	100,000,000	2011/11/29	2013/01/28	17.00
13898#	Macquarie Bank Ltd. – HSI European Warrants Feb 2012	400,000,000	2011/11/29	2012/02/28	13.60
13828#	Citigroup Global Mkt H Inc. – HSCEI European Wts Feb 2012	280,000,000	2011/11/29	2012/02/28	10.08
14197#	Citigroup Global Mkt H Inc. – HSI European Wts Feb 2012 A	110,000,000	2011/11/29	2012/02/28	10.56
15176#	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2012	300,000,000	2011/11/29	2012/03/29	10.20
15578	BOCI Asia Ltd. – China Shenhua European Warrants Jun 2012	100,000,000	2011/11/30	2012/06/04	25.00
15577	BOCI Asia Ltd. – Tracker Fund European Warrants Nov 2012	100,000,000	2011/11/30	2012/11/05	25.00
15573	BNP Paribas Arbit Issu B.V. – Chow Sang Sang Eu Wts Jul 2012	43,000,000	2011/11/30	2012/07/04	16.77
15574	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wts Apr 2012	75,000,000	2011/11/30	2012/04/03	23.25
15572	BNP Paribas Arbit Issu B.V. – New World Dev Euro Wt Jan 2015	100,000,000	2011/11/30	2015/01/05	25.00
15594	Credit Suisse AG – CC Bank European Warrants Mar 2012	300,000,000	2011/11/30	2012/03/02	45.00
15590	Credit Suisse AG – HSI European Warrants Mar 2012 H	500,000,000	2011/11/30	2012/03/29	75.00
15591	Credit Suisse AG – HSI European Warrants Mar 2012 I	500,000,000	2011/11/30	2012/03/29	75.00
15592	Credit Suisse AG – Tencent Holdings European Wts Apr 2012	300,000,000	2011/11/30	2012/04/10	45.00
15595	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2012	200,000,000	2011/11/30	2012/06/25	50.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15583	Citigroup Global Mkt H Inc. – BOCL European Wts May 2012	80,000,000	2011/11/30	2012/05/30	20.00
15581	Citigroup Global Mkt H Inc. – China Tel Euro Wts May 2012	50,000,000	2011/11/30	2012/05/30	14.00
15582	Citigroup Global Mkt H Inc. – CM Bank European Wts Sep 2012	80,000,000	2011/11/30	2012/09/24	12.00
15579	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Jul 2013	100,000,000	2011/11/30	2013/07/11	16.00
15580	Citigroup Global Mkt H Inc. – HKEx European Wts Aug 2012	80,000,000	2011/11/30	2012/08/01	12.00
15564	CC Rabobank B.A. – Agricultural Bk Euro Warrants Aug 2012	150,000,000	2011/11/30	2012/08/20	75.00
15565	CC Rabobank B.A. – Agricultural Bk Euro Warrants Aug 2012 A	150,000,000	2011/11/30	2012/08/20	37.50
15566	CC Rabobank B.A. – Belle Int'l European Warrants Jul 2012	100,000,000	2011/11/30	2012/07/16	25.00
15569	CC Rabobank B.A. – BOCL European Warrants Aug 2012	150,000,000	2011/11/30	2012/08/20	45.00
15570	CC Rabobank B.A. – BOCL European Warrants Sep 2012	150,000,000	2011/11/30	2012/09/17	37.50
15562	CC Rabobank B.A. – China Overseas European Warrants Nov 2012	100,000,000	2011/11/30	2012/11/19	25.00
15567	CC Rabobank B.A. – China Life European Warrants Jul 2012	200,000,000	2011/11/30	2012/07/16	50.00
15568	CC Rabobank B.A. – China Life European Warrants Oct 2012	200,000,000	2011/11/30	2012/10/22	50.00
15561	CC Rabobank B.A. – Li & Fung European Warrants Jul 2012	150,000,000	2011/11/30	2012/07/16	37.50
15563	CC Rabobank B.A. – Yanzhou Coal European Warrants May 2012	100,000,000	2011/11/30	2012/05/28	25.00
15575	Standard Chartered Bank – BOCL European Warrants Jul 2012	100,000,000	2011/11/30	2012/07/05	25.00
15576	Standard Chartered Bank – BOCL European Warrants Oct 2012	100,000,000	2011/11/30	2012/10/05	25.00
15571	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 12 D	300,000,000	2011/11/30	2012/03/29	48.00
15584	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt May 12	100,000,000	2011/11/30	2012/05/30	28.00
15588	UBS AG – BOCL European Warrants May 2012	100,000,000	2011/11/30	2012/05/14	15.00
15585	UBS AG – Hutchison European Warrants Jun 2012 B	200,000,000	2011/11/30	2012/06/18	94.00
15587	UBS AG – KECL European Warrants Aug 2012	100,000,000	2011/11/30	2012/08/27	15.00
15560	UBS AG – Tencent Holdings European Warrants Mar 2012 B	200,000,000	2011/11/30	2012/03/19	30.00
15600	BOCI Asia Ltd. – HSBC European Warrants May 2012	100,000,000	2011/12/01	2012/05/02	23.00
15601	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Jul 2012	57,000,000	2011/12/01	2012/07/04	34.20
15602	BNP Paribas Arbit Issu B.V. – Bank of EA Euro Wts Oct 2012	59,000,000	2011/12/01	2012/10/03	17.70
15607	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Jul 2012	100,000,000	2011/12/01	2012/07/04	36.00
15605	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Jul 2012	100,000,000	2011/12/01	2012/07/04	51.00
15606	BNP Paribas Arbit Issu B.V. – Gome European Wts Oct 2012	100,000,000	2011/12/01	2012/10/03	35.00
15604	BNP Paribas Arbit Issu B.V. – Sh Ind H European Wts Jul 2013	31,000,000	2011/12/01	2013/07/03	10.23
15603	BNP Paribas Arbit Issu B.V. – Wharf European Wts Jul 2012 A	97,000,000	2011/12/01	2012/07/04	29.10
15599	HK Bank – BOCL European Warrants May 2012 A	120,000,000	2011/12/01	2012/05/14	18.00
15596	HK Bank – ICBC European Warrants Jun 2012	120,000,000	2011/12/01	2012/06/20	30.00
15610	UBS AG – China Life European Warrants Jul 2012 B	300,000,000	2011/12/01	2012/07/16	75.00
15608	UBS AG – China Mobile European Warrants Jun 2012 B	200,000,000	2011/12/01	2012/06/11	102.00
15609	UBS AG – HSBC European Warrants Jun 2012 B	300,000,000	2011/12/01	2012/06/11	75.00
15629	BOCI Asia Ltd. – HSI European Warrants Oct 2012	100,000,000	2011/12/02	2012/10/30	25.00
15630	BOCI Asia Ltd. – HSI European Put Warrants Jul 2012	100,000,000	2011/12/02	2012/07/30	25.00
15625	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2012	200,000,000	2011/12/02	2012/09/04	74.00
15627	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Oct 2012	100,000,000	2011/12/02	2012/10/03	26.00
15626	BNP Paribas Arbit Issu B.V. -Tencent Holdings Eu Wt Sep 2012	100,000,000	2011/12/02	2012/09/04	25.00
15628	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jul 2012 A	100,000,000	2011/12/02	2012/07/04	26.00
15624	J P Morgan SP BV – AIA European Warrants Jun 2012	200,000,000	2011/12/02	2012/06/28	50.00
15623	J P Morgan SP BV – China Unicom European Warrants Aug 2012	200,000,000	2011/12/02	2012/08/30	50.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15622	J P Morgan SP BV – HKEx European Warrants Sep 2012	200,000,000	2011/12/02	2012/09/24	37.60
15632	J P Morgan SP BV – JX Copper European Warrants Jun 2012 A	200,000,000	2011/12/02	2012/06/28	50.00
15634	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Jun 2012	30,000,000	2011/12/02	2012/06/04	10.50
15635	SGA Societe Generale Acceptance N.V. – BOCom Eur Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	21.50
15611	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Jun 2012	100,000,000	2011/12/02	2012/06/04	27.00
15613	SGA Societe Generale Acceptance N.V.-Chi COSCO Eu Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	17.50
15612	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Aug 2012	200,000,000	2011/12/02	2012/08/08	36.00
15631	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	20.00
15614	SGA Societe Generale Acceptance N.V.-Ch Overseas Eu Wt Jun12A	100,000,000	2011/12/02	2012/06/25	16.00
15615	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	16.00
15616	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jul 2012	50,000,000	2011/12/02	2012/07/04	18.00
15617	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Sep 2012	100,000,000	2011/12/02	2012/09/05	21.00
15618	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wt Jun2012	50,000,000	2011/12/02	2012/06/04	26.50
15619	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Jun 12	50,000,000	2011/12/02	2012/06/04	18.00
15633	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Jun12	150,000,000	2011/12/02	2012/06/20	25.50
15620	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Jul12	50,000,000	2011/12/02	2012/07/04	28.50
15621	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Jun12A	50,000,000	2011/12/02	2012/06/04	17.00
15636	Macquarie Bank Ltd. – BankComm European Warrants Jun 2012	150,000,000	2011/12/05	2012/06/04	37.50
15637	Macquarie Bank Ltd. – Chow Sang Sang European Wts Jul 2012	150,000,000	2011/12/05	2012/07/04	55.65
15638	Macquarie Bank Ltd. – Tingyi European Warrants Jul 2012	150,000,000	2011/12/05	2012/07/04	43.95
15672	Credit Suisse AG – BOCL European Warrants May 2012	300,000,000	2011/12/06	2012/05/14	45.00
15675	Credit Suisse AG – CC Bank European Warrants Apr 2012	300,000,000	2011/12/06	2012/04/30	45.00
15674	Credit Suisse AG – China Mobile European Warrants Mar 2012 A	300,000,000	2011/12/06	2012/03/30	60.00
15665	Credit Suisse AG – China Shenhua European Warrants Jun 2012	150,000,000	2011/12/06	2012/06/11	37.50
15678	Credit Suisse AG – HSBC European Wts Apr 2012	300,000,000	2011/12/06	2012/04/02	45.00
15669	Credit Suisse AG – HSI European Warrants Mar 2012 J	500,000,000	2011/12/06	2012/03/29	75.00
15670	Credit Suisse AG – HSI European Warrants Apr 2012 C	500,000,000	2011/12/06	2012/04/27	75.00
15667	Credit Suisse AG – HSI European Put Warrants Apr 2012 A	500,000,000	2011/12/06	2012/04/27	75.00
15668	Credit Suisse AG – HSI European Put Warrants Apr 2012 B	500,000,000	2011/12/06	2012/04/27	75.00
15679	Credit Suisse AG – Hutchison European Warrants May 2012	300,000,000	2011/12/06	2012/05/28	75.00
15673	Credit Suisse AG – ICBC European Warrants May 2012	300,000,000	2011/12/06	2012/05/14	60.00
15666	Credit Suisse AG – Jiangxi Copper European Wts Jun 2012	150,000,000	2011/12/06	2012/06/18	37.50
15677	Credit Suisse AG – KECL European Warrants Aug 2012	150,000,000	2011/12/06	2012/08/27	22.50
15664	Credit Suisse AG – Ping An European Warrants Jun 2012 A	300,000,000	2011/12/06	2012/06/11	75.00
15676	Credit Suisse AG – Tencent Holdings European Wts Apr 2012 A	300,000,000	2011/12/06	2012/04/16	45.00
15639	HK Bank – HKEx European Put Warrants Dec 2012	120,000,000	2011/12/06	2012/12/24	18.00
15655	J P Morgan SP BV – China Tel European Warrants Jun 2012	100,000,000	2011/12/06	2012/06/28	35.50
15658	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jul 2012	200,000,000	2011/12/06	2012/07/30	80.00
15659	J P Morgan SP BV – CITIC Bank European Warrants Sep 2012	150,000,000	2011/12/06	2012/09/03	51.00
15657	J P Morgan SP BV – China Life European Warrants Jun 2012	200,000,000	2011/12/06	2012/06/28	50.00
15654	J P Morgan SP BV – CNOOC European Warrants Sep 2012	200,000,000	2011/12/06	2012/09/17	30.00
15656	J P Morgan SP BV – China Shenhua European Warrants Jul 2012	200,000,000	2011/12/06	2012/07/03	50.00
15652	J P Morgan SP BV – HK Gas European Warrants Mar 2013	200,000,000	2011/12/06	2013/03/28	50.00
15653	J P Morgan SP BV – Huaneng Power European Warrants Sep 2012	58,000,000	2011/12/06	2012/09/28	14.50

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15663	J P Morgan SP BV – Prada European Warrants Sep 2012	100,000,000	2011/12/06	2012/09/03	49.30
15650	Macquarie Bank Ltd. – Bank of EA European Warrants Dec 2012	200,000,000	2011/12/06	2012/12/04	50.40
15651	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Nov 2012	200,000,000	2011/12/06	2012/11/02	50.60
15661	Macquarie Bank Ltd. – KECL European Warrants Jan 2013	180,000,000	2011/12/06	2013/01/04	45.00
15660	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2012	250,000,000	2011/12/06	2012/12/04	62.50
15646	CC Rabobank B.A. – Cheung Kong European Warrants Jun 2012	100,000,000	2011/12/06	2012/06/18	65.00
15645	CC Rabobank B.A. – China Shenhua European Warrants Jul 2012A	150,000,000	2011/12/06	2012/07/16	37.50
15644	CC Rabobank B.A. – CSR European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	70.00
15640	CC Rabobank B.A. – Datang European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	25.00
15642	CC Rabobank B.A. – Lonking European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	25.00
15643	CC Rabobank B.A. – Sany Int'l European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	80.00
15648	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2012 A	150,000,000	2011/12/06	2012/07/16	37.50
15649	CC Rabobank B.A. – Tencent Holdings European Put Wt Jul 2012	150,000,000	2011/12/06	2012/07/16	37.50
15641	CC Rabobank B.A. – Xinyi Glass European Warrants Jul 2012	80,000,000	2011/12/06	2012/07/30	44.00
15647	CC Rabobank B.A. – Zoomlion European Warrants Dec 2012	100,000,000	2011/12/06	2012/12/17	25.00
15683	J P Morgan SP BV – HSBC European Warrants Dec 2015	388,000,000	2011/12/07	2015/12/30	58.20
15681	J P Morgan SP BV – HSBC European Warrants Jun 2012 A	200,000,000	2011/12/07	2012/06/28	62.00
15682	J P Morgan SP BV – HSBC European Warrants Jun 2012 B	200,000,000	2011/12/07	2012/06/28	50.00
15684	Macquarie Bank Ltd. – BBMG European Warrants Oct 2013	150,000,000	2011/12/07	2013/10/03	37.50
15680	Macquarie Bank Ltd. – CPIC European Warrants May 2012	200,000,000	2011/12/07	2012/05/23	40.00
15711	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2014	200,000,000	2011/12/08	2014/11/04	50.00
15694	Bank of East Asia – Ch Comm Cons European Warrants Dec 2013	350,000,000	2011/12/08	2013/12/30	87.50
15685	HK Bank – HSI European Warrants May 2012 B	250,000,000	2011/12/08	2012/05/30	37.50
15686	HK Bank – HSI European Warrants May 2012 C	250,000,000	2011/12/08	2012/05/30	37.50
15690	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Jul 2012	180,000,000	2011/12/08	2012/07/04	64.98
15691	Macquarie Bank Ltd. – China Unicom European Put Wts Sep 2012	250,000,000	2011/12/08	2012/09/04	64.50
15709	Macquarie Bank Ltd. – China COSCO European Warrants Jul 2012	200,000,000	2011/12/08	2012/07/04	68.40
15708	Macquarie Bank Ltd. – China Coal European Warrants Feb 2013	200,000,000	2011/12/08	2013/02/04	30.60
15687	Macquarie Bank Ltd. – CNOOC European Put Warrants Jul 2012	200,000,000	2011/12/08	2012/07/04	50.60
15689	Macquarie Bank Ltd. – Sinopec Corp Euro Put Wts Jul 2012	180,000,000	2011/12/08	2012/07/04	83.16
15710	Macquarie Bank Ltd. – HSBC European Put Warrants Jul 2012	200,000,000	2011/12/08	2012/07/04	84.20
15688	Macquarie Bank Ltd. – PetroChina European Put Wts Jul 2012	100,000,000	2011/12/08	2012/07/04	56.00
15703	CC Rabobank B.A. – AIA European Warrants Jul 2012	150,000,000	2011/12/08	2012/07/16	37.50
15700	CC Rabobank B.A. – Ch LongYuan European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/30	75.00
15701	CC Rabobank B.A. – Ch Mobile European Warrants Jun 2012	150,000,000	2011/12/08	2012/06/18	37.50
15702	CC Rabobank B.A. – Ch Mobile European Warrants Jul 2012	150,000,000	2011/12/08	2012/07/16	75.00
15704	CC Rabobank B.A. – China COSCO European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/30	50.00
15698	CC Rabobank B.A. – CNOOC European Warrants Nov 2012	100,000,000	2011/12/08	2012/11/19	25.00
15699	CC Rabobank B.A. – CNOOC European Warrants Feb 2013	100,000,000	2011/12/08	2013/02/18	25.00
15697	CC Rabobank B.A. – PetroChina European Warrants Jun 2012	100,000,000	2011/12/08	2012/06/18	70.00
15695	CC Rabobank B.A. – Skyworth Digital European Wts Jul 2012	120,000,000	2011/12/08	2012/07/30	45.60
15696	CC Rabobank B.A. – ZTE European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/30	25.00
15693	Standard Chartered Bank – China Mobile Euro Wts May 2012	100,000,000	2011/12/08	2012/05/28	34.00
15692	Standard Chartered Bank – HKEx European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/09	17.80

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15705	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2012	300,000,000	2011/12/08	2012/05/30	51.00
15706	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 F	300,000,000	2011/12/08	2012/04/27	45.00
15707	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2012 A	300,000,000	2011/12/08	2012/05/30	45.00
15714	Macquarie Bank Ltd. – Anhui Conch European Warrants Jul 2012	200,000,000	2011/12/09	2012/07/04	91.80
15715	Macquarie Bank Ltd. – Ch Merchants European Wts Dec 2012	200,000,000	2011/12/09	2012/12/04	63.60
15713	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2013	200,000,000	2011/12/09	2013/12/11	50.00
15712	UBS AG – China Overseas European Warrants May 2012	100,000,000	2011/12/09	2012/05/08	17.00
15734	BNP Paribas Arbit Issu B.V. – Anta Sports Euro Wts Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15731	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2015	100,000,000	2011/12/12	2015/07/03	15.00
15730	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Jan 2016	100,000,000	2011/12/12	2016/01/05	25.00
15729	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jul 2012	100,000,000	2011/12/12	2012/07/04	25.00
15736	BNP Paribas Arbit Issu B.V. – First Pacific Euro Wt Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15735	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Jul 2015	100,000,000	2011/12/12	2015/07/03	25.00
15728	BNP Paribas Arbit Issu B.V. – Melco Int'l Dev Eu Wt Jul 2013	100,000,000	2011/12/12	2013/07/03	25.00
15732	BNP Paribas Arbit Issu B.V. – Weigao Group Euro Wts Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15733	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15727	Macquarie Bank Ltd. – CC Bank European Warrants Jul 2012	180,000,000	2011/12/12	2012/07/04	45.54
15750	Nomura Int'l plc – Alibaba European Warrants May 2013	100,000,000	2011/12/12	2013/05/31	25.00
15741	Nomura Int'l plc – China Mer Hold European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/18	25.00
15745	Nomura Int'l plc – Ch Overseas European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/29	25.00
15743	Nomura Int'l plc – Ch Com Service European Warrants Jun 2012	50,000,000	2011/12/12	2012/06/18	12.70
15744	Nomura Int'l plc – C Transmission European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/25	27.00
15737	Nomura Int'l plc – HK Gas European Warrants Jan 2013	100,000,000	2011/12/12	2013/01/31	25.00
15739	Nomura Int'l plc – Henderson Land Dev Euro Warrants Jun 2012	100,000,000	2011/12/12	2012/06/29	25.00
15746	Nomura Int'l plc – L'Occitane European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/18	25.00
15751	Nomura Int'l plc – China Mengniu European Warrants Sep 2012	50,000,000	2011/12/12	2012/09/27	12.90
15748	Nomura Int'l plc – Sinopharm European Warrants Sep 2012	50,000,000	2011/12/12	2012/09/27	12.75
15740	Nomura Int'l plc – Sino Land European Warrants Jun 2013	100,000,000	2011/12/12	2013/06/28	25.00
15742	Nomura Int'l plc – Tingyi European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/25	25.00
15747	Nomura Int'l plc – Yurun Food European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/26	20.00
15749	Nomura Int'l plc – Zoomlion European Warrants Oct 2012	50,000,000	2011/12/12	2012/10/24	12.50
15723	CC Rabobank B.A. – BOC HK European Warrants Jun 2012 A	200,000,000	2011/12/12	2012/06/21	34.00
15724	CC Rabobank B.A. – BOC HK European Warrants Aug 2012	200,000,000	2011/12/12	2012/08/20	120.00
15720	CC Rabobank B.A. – China Coal European Warrants Aug 2012	200,000,000	2011/12/12	2012/08/20	120.00
15721	CC Rabobank B.A. – China Coal European Warrants Jan 2013	200,000,000	2011/12/12	2013/01/28	30.00
15725	CC Rabobank B.A. – CPIC European Warrants Jul 2012	150,000,000	2011/12/12	2012/07/16	37.50
15726	CC Rabobank B.A. – CPIC European Warrants Oct 2012	150,000,000	2011/12/12	2012/10/22	37.50
15717	CC Rabobank B.A. – Esprit European Warrants Sep 2012	100,000,000	2011/12/12	2012/09/17	25.00
15718	CC Rabobank B.A. – Luk Fook Hold European Warrants Jul 2012	100,000,000	2011/12/12	2012/07/16	55.00
15722	CC Rabobank B.A. – Ping An European Warrants Dec 2012	100,000,000	2011/12/12	2012/12/11	15.00
15719	CC Rabobank B.A. – Zoomlion European Warrants Nov 2012	80,000,000	2011/12/12	2012/11/19	20.00
15772	HK Bank – Cheung Kong European Warrants Jun 2012	80,000,000	2011/12/13	2012/06/28	20.00
15775	HK Bank – China Shenhua European Warrants Jun 2012 A	100,000,000	2011/12/13	2012/06/29	35.00
15774	HK Bank – HKEx European Warrants May 2014	150,000,000	2011/12/13	2014/05/29	37.50

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15776	HK Bank – ICBC European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/13	25.00
15773	HK Bank – KECL European Warrants Nov 2013	100,000,000	2011/12/13	2013/11/22	25.00
15753	Macquarie Bank Ltd. – Agile Ppt European Warrants Oct 2012	150,000,000	2011/12/13	2012/10/26	23.25
15777	Macquarie Bank Ltd. – Ch Southern Air European Wts Jul 2012	120,000,000	2011/12/13	2012/07/04	51.72
15771	Macquarie Bank Ltd. – Datang European Warrants Jul 2012	120,000,000	2011/12/13	2012/07/04	32.40
15778	Macquarie Bank Ltd. – Lenovo European Warrants Jul 2012	120,000,000	2011/12/13	2012/07/04	46.32
15779	Macquarie Bank Ltd. – Lonking European Warrants Jun 2012	120,000,000	2011/12/13	2012/06/13	35.16
15759	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Apr 2012 A	80,000,000	2011/12/13	2012/04/17	12.16
15757	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2012	40,000,000	2011/12/13	2012/04/17	14.20
15758	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2012 A	50,000,000	2011/12/13	2012/04/23	10.85
15760	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2012	80,000,000	2011/12/13	2012/05/28	12.08
15764	CC Rabobank B.A. – China Tel European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	50.00
15765	CC Rabobank B.A. – China Tel European Warrants Aug 2012	100,000,000	2011/12/13	2012/08/20	25.00
15761	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2012	100,000,000	2011/12/13	2012/08/20	70.00
15770	CC Rabobank B.A. – GCL-Poly Energy European Wts Jul 2012	120,000,000	2011/12/13	2012/07/16	42.00
15762	CC Rabobank B.A. – Gome European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	25.00
15769	CC Rabobank B.A. – Ping An European Warrants Aug 2012	100,000,000	2011/12/13	2012/08/30	75.00
15768	CC Rabobank B.A. – Ch Rongsheng European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	35.00
15763	CC Rabobank B.A. – Tencent Holdings European Wts Nov 2012	200,000,000	2011/12/13	2012/11/19	50.00
15766	CC Rabobank B.A. – Yurun Food European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	25.00
15767	CC Rabobank B.A. – Yurun Food European Warrants Oct 2012	100,000,000	2011/12/13	2012/10/22	25.00
15755	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2012	300,000,000	2011/12/13	2012/11/28	51.00
15756	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jul 2013	500,000,000	2011/12/13	2013/07/15	95.00
15754	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 2012	300,000,000	2011/12/13	2012/05/30	66.00
15780	UBS AG – CC Bank European Warrants Apr 2012 C	200,000,000	2011/12/13	2012/04/05	66.00
15781	UBS AG – HSI European Warrants May 2012 B	300,000,000	2011/12/13	2012/05/30	45.00
15782	UBS AG – HSI European Warrants May 2012 C	300,000,000	2011/12/13	2012/05/30	45.00
15790	HK Bank – China Tel European Warrants Jun 2012	80,000,000	2011/12/14	2012/06/27	20.00
15792	HK Bank – CM Bank European Warrants Feb 2013	100,000,000	2011/12/14	2013/02/22	25.00
15789	HK Bank – Geely Auto European Warrants May 2013	80,000,000	2011/12/14	2013/05/23	20.00
15788	HK Bank – SHK Ppt European Warrants Jun 2012	100,000,000	2011/12/14	2012/06/22	34.00
15791	HK Bank – Yanzhou Coal European Warrants Nov 2012	100,000,000	2011/12/14	2012/11/13	25.00
15802	J P Morgan SP BV – BankComm European Warrants Jul 2012	80,000,000	2011/12/14	2012/07/30	25.60
15803	J P Morgan SP BV – China Life European Put Warrants Jul 2012	200,000,000	2011/12/14	2012/07/30	50.00
15799	J P Morgan SP BV – Minsheng Bank European Warrants Jul 2012	80,000,000	2011/12/14	2012/07/30	25.20
15801	J P Morgan SP BV – CPIC European Warrants Jul 2012	150,000,000	2011/12/14	2012/07/09	37.50
15800	J P Morgan SP BV – PICC European Warrants Sep 2012	200,000,000	2011/12/14	2012/09/27	50.00
15785	CC Rabobank B.A. – Anhui Conch European Warrants Jun 2012	100,000,000	2011/12/14	2012/06/18	43.00
15786	CC Rabobank B.A. – Brilliance Chi European Warrants Oct 2012	100,000,000	2011/12/14	2012/10/22	25.00
15784	CC Rabobank B.A. – Li & Fung European Warrants Aug 2012	150,000,000	2011/12/14	2012/08/20	39.00
15783	CC Rabobank B.A. – MTRC European Warrants Jul 2012	100,000,000	2011/12/14	2012/07/25	23.00
15787	CC Rabobank B.A. – Sands China European Warrants Jun 2012	100,000,000	2011/12/14	2012/06/25	38.00
15793	Standard Chartered Bank – CC Bank European Wts Jul 2012	100,000,000	2011/12/14	2012/07/05	25.00
15796	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2012	300,000,000	2011/12/14	2012/05/28	81.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15797	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2012A	300,000,000	2011/12/14	2012/06/18	57.00
15794	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2012 A	300,000,000	2011/12/14	2012/04/25	81.00
15795	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012 A	300,000,000	2011/12/14	2012/05/30	57.00
15798	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 G	300,000,000	2011/12/14	2012/04/27	66.00
15805	UBS AG – HSCEI European Warrants Jun 2012 A	100,000,000	2011/12/14	2012/06/28	15.00
15806	UBS AG – HSI European Warrants Mar 2012 F	300,000,000	2011/12/14	2012/03/29	45.00
15804	UBS AG – ICBC European Warrants Apr 2012 A	200,000,000	2011/12/14	2012/04/26	46.00
15809	BOCI Asia Ltd. – BOCL European Warrants Aug 2012	100,000,000	2011/12/15	2012/08/06	25.00
15810	BOCI Asia Ltd. – HSBC European Put Warrants May 2012	100,000,000	2011/12/15	2012/05/14	35.00
15808	BOCI Asia Ltd. – Li & Fung European Warrants Jul 2012	100,000,000	2011/12/15	2012/07/04	25.00
15807	BOCI Asia Ltd. – Tencent Holdings European Warrants Aug 2012	200,000,000	2011/12/15	2012/08/03	38.00
15811	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2012	200,000,000	2011/12/15	2012/06/25	50.00
15812	HK Bank – Angang Steel European Warrants Sep 2012	68,000,000	2011/12/15	2012/09/11	25.16
15815	HK Bank – Minsheng Bank European Warrants Aug 2012	80,000,000	2011/12/15	2012/08/15	34.40
15813	HK Bank – Shimao Ppt European Warrants Sep 2012	68,000,000	2011/12/15	2012/09/21	19.72
15814	HK Bank – Zhaojin Mining European Warrants Nov 2012	80,000,000	2011/12/15	2012/11/19	20.00
15821	Macquarie Bank Ltd. – Ch Oilfield European Warrants Dec 2012	150,000,000	2011/12/15	2012/12/17	37.50
15825	Macquarie Bank Ltd. – Geely Auto European Warrants Jul 2012	150,000,000	2011/12/15	2012/07/04	37.80
15826	Macquarie Bank Ltd. – Li Ning European Warrants Jun 2013	150,000,000	2011/12/15	2013/06/26	22.80
15824	Standard Chartered Bank – HSBC European Warrants Jul 2012	150,000,000	2011/12/15	2012/07/04	37.50
15823	Standard Chartered Bank – HSI European Warrants Jun 2012	200,000,000	2011/12/15	2012/06/28	50.00
15816	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2012	200,000,000	2011/12/15	2012/06/27	36.00
15818	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2012 A	200,000,000	2011/12/15	2012/06/27	60.00
15817	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Dec 2013	300,000,000	2011/12/15	2013/12/30	45.00
15819	SGA Societe Generale Acceptance N.V. -CPIC Euro Wts Jun 2012	100,000,000	2011/12/15	2012/06/04	19.00
15820	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2012	200,000,000	2011/12/15	2012/06/27	56.00
15822	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Jul12	150,000,000	2011/12/15	2012/07/23	37.50
15830	UBS AG – AIA European Warrants Jul 2012	200,000,000	2011/12/15	2012/07/09	36.00
15829	UBS AG – Sinopec Corp European Warrants Jun 2012 A	100,000,000	2011/12/15	2012/06/29	47.00
15827	UBS AG – HSBC European Warrants May 2012 A	300,000,000	2011/12/15	2012/05/07	108.00
15828	UBS AG – Hutchison European Warrants May 2012	200,000,000	2011/12/15	2012/05/28	54.00
14005#	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2012	130,000,000	2011/12/15	2012/03/05	10.27
15831	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Jun 2012	50,000,000	2011/12/16	2012/06/18	45.50
15849	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012	200,000,000	2011/12/16	2012/03/29	30.00
15838	HK Bank – BOC HK European Warrants Jun 2012	68,000,000	2011/12/16	2012/06/22	10.20
15839	HK Bank – Ch Ship Cont European Warrants Sep 2012	68,000,000	2011/12/16	2012/09/20	17.00
15837	HK Bank – Foxconn European Warrants Sep 2012	68,000,000	2011/12/16	2012/09/27	21.08
15841	HK Bank – HSI European Warrants Dec 2012 B	250,000,000	2011/12/16	2012/12/28	62.50
15842	HK Bank – HSI European Put Warrants Jun 2012 A	250,000,000	2011/12/16	2012/06/28	42.50
15840	HK Bank – Zijin Mining European Warrants Oct 2012	80,000,000	2011/12/16	2012/10/18	20.00
15835	J P Morgan SP BV – Evergrande European Warrants Jun 2012	100,000,000	2011/12/16	2012/06/28	25.00
15836	J P Morgan SP BV – HSI European Warrants Oct 2012	200,000,000	2011/12/16	2012/10/30	30.00
15834	J P Morgan SP BV – HSI European Put Warrants Jun 2012 A	200,000,000	2011/12/16	2012/06/28	50.00
15833	J P Morgan SP BV – New World Dev European Warrants Jun 2012A	80,000,000	2011/12/16	2012/06/28	24.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15848	J P Morgan SP BV – PetroChina European Warrants Jan 2013	300,000,000	2011/12/16	2013/01/30	45.00
15832	J P Morgan SP BV – Ping An European Warrants Jun 2012 B	100,000,000	2011/12/16	2012/06/28	39.00
15845	Macquarie Bank Ltd. – BJ Ent Water European Wts Sep 2012	80,000,000	2011/12/16	2012/09/04	22.64
15843	Macquarie Bank Ltd. – A50 China European Warrants Jan 2013	200,000,000	2011/12/16	2013/01/03	50.00
15846	Macquarie Bank Ltd. – Gome European Warrants Sep 2012	80,000,000	2011/12/16	2012/09/03	22.16
15853	Macquarie Bank Ltd. – Henganintl European Warrants Jun 2012	150,000,000	2011/12/16	2012/06/18	41.40
15850	Macquarie Bank Ltd. – LOccitane European Warrants Dec 2012	150,000,000	2011/12/16	2012/12/10	37.50
15847	Macquarie Bank Ltd. – Sh Petrochem European Wts Jul 2012	100,000,000	2011/12/16	2012/07/09	25.30
15852	Macquarie Bank Ltd-Skyworth Digital European Wts Jun 2012	80,000,000	2011/12/16	2012/06/18	21.60
15851	Macquarie Bank Ltd. – ZTE European Warrants Jun 2012	180,000,000	2011/12/16	2012/06/18	45.18
15844	Standard Chartered Bank – HSI European Put Wts Jun 2012	200,000,000	2011/12/16	2012/06/28	50.00
24898#	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2012 B	280,000,000	2011/12/16	2012/04/23	10.08
15880	Citigroup Global Mkt H Inc. – BankComm Euro Wts Jun 2012	80,000,000	2011/12/19	2012/06/25	22.40
15879	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt May 2012	80,000,000	2011/12/19	2012/05/28	18.40
15883	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2012 C	150,000,000	2011/12/19	2012/03/29	22.50
15884	Citigroup Global Mkt H Inc. – Jiangxi Copper Eur Wt Jun 2012	80,000,000	2011/12/19	2012/06/19	20.00
15882	Citigroup Global Mkt H Inc. – Lenovo European Wts Jun 2012	50,000,000	2011/12/19	2012/06/25	15.00
15881	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2012	80,000,000	2011/12/19	2012/06/11	28.00
15858	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2012	200,000,000	2011/12/19	2012/04/27	30.00
15859	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2012	200,000,000	2011/12/19	2012/03/29	31.60
15857	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012	200,000,000	2011/12/19	2012/04/27	31.20
15860	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012 A	200,000,000	2011/12/19	2012/03/29	30.00
15861	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2012 B	200,000,000	2011/12/19	2012/03/29	35.80
15855	J P Morgan SP BV – BOCL European Warrants Oct 2012	200,000,000	2011/12/19	2012/10/03	50.00
15856	J P Morgan SP BV – A50 China European Warrants Jul 2012 A	200,000,000	2011/12/19	2012/07/03	81.60
15854	J P Morgan SP BV – A50 China European Put Warrants Dec 2012	200,000,000	2011/12/19	2012/12/03	50.00
15878	Macquarie Bank Ltd. – Alibaba European Warrants May 2013	150,000,000	2011/12/19	2013/05/24	26.55
15876	Macquarie Bank Ltd. – Anta Sports European Warrants Dec 2012	200,000,000	2011/12/19	2012/12/27	34.40
15875	Macquarie Bank Ltd. – Chi Res Land European Wts Aug 2012	180,000,000	2011/12/19	2012/08/02	45.00
15874	Macquarie Bank Ltd. – Hysan Dev European Warrants Sep 2012	180,000,000	2011/12/19	2012/09/04	45.00
15877	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Mar 2012	80,000,000	2011/12/19	2012/03/19	12.00
15871	Nomura Int'l plc – AIA European Warrants Sep 2012	100,000,000	2011/12/19	2012/09/28	25.00
15864	Nomura Int'l plc – CITIC P European Warrants Dec 2012	100,000,000	2011/12/19	2012/12/31	25.00
15866	Nomura Int'l plc – JIANG European Warrants Jun 2012 A	100,000,000	2011/12/19	2012/06/29	26.00
15867	Nomura Int'l plc – Li & Fung European Warrants Sep 2012	100,000,000	2011/12/19	2012/09/28	25.00
15873	Nomura Int'l plc – Lonking European Warrants Jun 2012	100,000,000	2011/12/19	2012/06/29	25.00
15872	Nomura Int'l plc – Nine Dragons European Warrants Jul 2013	100,000,000	2011/12/19	2013/07/26	15.50
15868	Nomura Int'l plc – PetroChina European Warrants Nov 2012	100,000,000	2011/12/19	2012/11/27	19.50
15865	Nomura Int'l plc – Sh Petrochem European Warrants Jul 2012	100,000,000	2011/12/19	2012/07/26	15.00
15862	Nomura Int'l plc – Wharf European Warrants Jun 2012	100,000,000	2011/12/19	2012/06/29	25.00
15863	Nomura Int'l plc – Yuexiu Property European Wts Jun 2013	100,000,000	2011/12/19	2013/06/28	25.00
15908	Barclays Bank plc – CNOOC European Warrants Dec 2012	100,000,000	2011/12/20	2012/12/04	16.10
15910	Barclays Bank plc – Sinopec Corp European Wts Aug 2012	100,000,000	2011/12/20	2012/08/31	36.50
15907	Barclays Bank plc – HSBC European Warrants Jul 2012	100,000,000	2011/12/20	2012/07/31	26.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15911	Barclays Bank plc – Hutchison European Warrants Jun 2012	80,000,000	2011/12/20	2012/06/29	32.64
15909	Barclays Bank plc -Tencent Holdings European Wts Sep 2012	100,000,000	2011/12/20	2012/09/04	20.10
15885	BOCI Asia Ltd. – China Tel European Warrants Jul 2012	100,000,000	2011/12/20	2012/07/04	25.00
15899	Bank of East Asia – Anhui Conch European Warrants Jul 2012	70,000,000	2011/12/20	2012/07/23	17.50
15898	Bank of East Asia – BOCHK European Put Warrants Dec 2012	100,000,000	2011/12/20	2012/12/24	25.00
15901	Bank of East Asia – China Overseas Euro Warrants Apr 2013	90,000,000	2011/12/20	2013/04/23	22.50
15902	Bank of East Asia – China Railway European Warrants Sep 2012	50,000,000	2011/12/20	2012/09/24	12.50
15900	Bank of East Asia – JIANC European Warrants Oct 2012	80,000,000	2011/12/20	2012/10/22	20.00
15889	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2012	100,000,000	2011/12/20	2012/03/26	43.20
15890	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2012 A	120,000,000	2011/12/20	2012/03/26	32.16
15892	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012 C	200,000,000	2011/12/20	2012/03/29	30.00
15894	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012 D	200,000,000	2011/12/20	2012/03/29	43.80
15891	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012 A	200,000,000	2011/12/20	2012/04/27	30.00
15893	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012 B	200,000,000	2011/12/20	2012/04/27	30.00
15912	Macquarie Bank Ltd. – China Overseas European Wts Oct 2012	150,000,000	2011/12/20	2012/10/04	38.10
15895	Standard Chartered Bank – Hutchison Euro Euro Wts Apr 2012	100,000,000	2011/12/20	2012/04/23	31.00
15896	Standard Chartered Bank – SHK P European Warrants Jun 2012	100,000,000	2011/12/20	2012/06/25	25.00
15886	SGA Societe Generale Acceptance N.V-Anhui Conch Eu Wt Jun 12	80,000,000	2011/12/20	2012/06/20	23.20
15897	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jun 2012	200,000,000	2011/12/20	2012/06/20	53.00
15887	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun 2012	100,000,000	2011/12/20	2012/06/20	47.00
15888	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Apr 2012	400,000,000	2011/12/20	2012/04/23	72.00
15903	UBS AG – China Mobile European Warrants Apr 2012 D	200,000,000	2011/12/20	2012/04/23	50.00
15905	UBS AG – CNOOC European Warrants Jun 2012 B	200,000,000	2011/12/20	2012/06/25	50.00
15906	UBS AG – CNOOC European Warrants Jul 2012	200,000,000	2011/12/20	2012/07/23	32.00
15904	UBS AG – Sinopec Corp European Warrants Dec 2013	300,000,000	2011/12/20	2013/12/04	60.00
15230#	Daiwa Capital Mkt – China Life European Warrants Apr 2012	140,000,000	2011/12/20	2012/04/10	12.88
15929	BOCI Asia Ltd. – CC Bank European Put Warrants May 2012	100,000,000	2011/12/21	2012/05/03	17.00
15930	BOCI Asia Ltd. – CNOOC European Put Warrants Jun 2012	100,000,000	2011/12/21	2012/06/25	15.00
15924	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2012	200,000,000	2011/12/21	2012/04/16	50.20
15928	Goldman Sachs SP (Asia) – CC Bank European Wts Jun 2012	120,000,000	2011/12/21	2012/06/08	30.24
15927	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2012	300,000,000	2011/12/21	2012/03/28	59.40
15926	Goldman Sachs SP (Asia) – China Life Euro Wts May 2012	168,000,000	2011/12/21	2012/05/14	30.91
15925	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2012 A	200,000,000	2011/12/21	2012/04/17	102.60
15935	Macquarie Bank Ltd. – China Unicom European Wts Dec 2012	200,000,000	2011/12/21	2012/12/04	50.00
15934	Macquarie Bank Ltd. – China Coal European Warrants Aug 2012	200,000,000	2011/12/21	2012/08/02	30.00
15937	Macquarie Bank Ltd. – China Shenhua European Wts Jul 2012	200,000,000	2011/12/21	2012/07/04	53.00
15932	Macquarie Bank Ltd. – HSBC European Warrants Jul 2012	200,000,000	2011/12/21	2012/07/04	50.00
15933	Macquarie Bank Ltd. – HSBC European Warrants Jul 2012 A	200,000,000	2011/12/21	2012/07/04	89.00
15931	Macquarie Bank Ltd. – MTRC European Warrants Jul 2012	200,000,000	2011/12/21	2012/07/04	51.00
15936	Macquarie Bank Ltd. – Sands China European Warrants Jul 2012	150,000,000	2011/12/21	2012/07/04	37.50
15914	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2012 A	100,000,000	2011/12/21	2012/05/30	15.40
15923	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 E	100,000,000	2011/12/21	2012/06/28	25.50
15915	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2012 B	100,000,000	2011/12/21	2012/05/30	17.10
15916	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012 D	100,000,000	2011/12/21	2012/06/28	25.00

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15913	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2012 A	80,000,000	2011/12/21	2012/04/17	12.24
15918	CC Rabobank B.A. – A50 China European Warrants Aug 2012	50,000,000	2011/12/21	2012/08/20	25.00
15919	CC Rabobank B.A. – A50 China European Warrants Nov 2012	150,000,000	2011/12/21	2012/11/05	22.50
15920	CC Rabobank B.A. – A50 China European Warrants Sep 2014	150,000,000	2011/12/21	2014/09/22	37.50
15921	CC Rabobank B.A. – A50 China European Put Warrants Jul 2012	150,000,000	2011/12/21	2012/07/26	22.50
15917	SGA Societe Generale Acceptance N.V. -Ch Mob Eu Wt May 2012A	200,000,000	2011/12/21	2012/05/16	52.00
15939	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2012	500,000,000	2011/12/21	2012/12/03	115.00
15922	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012 E	300,000,000	2011/12/21	2012/03/29	45.00
15938	UBS AG – Agricultural Bank European Warrants Jun 2012	200,000,000	2011/12/21	2012/06/25	60.00
15957	Citigroup Global Mkt H Inc. – BBMG European Wts Sep 2013	80,000,000	2011/12/22	2013/09/26	12.00
15950	Citigroup Global Mkt H Inc. – Belle Int'l Euro Wts Jun 2012	80,000,000	2011/12/22	2012/06/11	12.00
15951	Citigroup Global Mkt H Inc. – BOC HK European Wts Jun 2012	80,000,000	2011/12/22	2012/06/21	12.00
15952	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2012 A	80,000,000	2011/12/22	2012/05/10	12.00
15955	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Jun 2012	80,000,000	2011/12/22	2012/06/25	20.00
15948	Citigroup Global Mkt H Inc. – KECL European Wts Dec 2012	80,000,000	2011/12/22	2012/12/27	12.00
15956	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wts Jun 2012	40,000,000	2011/12/22	2012/06/25	14.00
15949	Citigroup Global Mkt H Inc. -Yanzhou Coal Euro Wt Jun 2012	80,000,000	2011/12/22	2012/06/11	12.00
15954	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Jun 2012	80,000,000	2011/12/22	2012/06/25	20.00
15953	Citigroup Global Mkt H Inc. – ZTE European Warrants Jun 2012	80,000,000	2011/12/22	2012/06/25	20.00
15940	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2012	150,000,000	2011/12/22	2012/07/03	39.45
15944	Goldman Sachs SP (Asia) – A50 China European Wts Nov 2012	200,000,000	2011/12/22	2012/11/26	33.00
15942	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2012	150,000,000	2011/12/22	2012/04/16	46.35
15943	Goldman Sachs SP (Asia) – HSBC European Warrants May 2012	150,000,000	2011/12/22	2012/05/07	30.00
15941	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2012	150,000,000	2011/12/22	2012/07/09	96.30
15946	Macquarie Bank Ltd. – Ch LongYuan European Warrants Jun 2012	80,000,000	2011/12/22	2012/06/22	47.68
15947	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2012	200,000,000	2011/12/22	2012/07/04	50.00
15945	Macquarie Bank Ltd. – Sh Electric European Warrants Jun 2012	80,000,000	2011/12/22	2012/06/22	20.32
15958	UBS AG – China Life European Warrants Jun 2012 A	300,000,000	2011/12/22	2012/06/21	63.00
15967	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2012	150,000,000	2011/12/23	2012/06/04	25.95
15968	Goldman Sachs SP (Asia) – BOC HK European Warrants Jun 2012	150,000,000	2011/12/23	2012/06/25	23.25
15964	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2012	200,000,000	2011/12/23	2012/04/02	112.20
15966	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2012	200,000,000	2011/12/23	2012/06/11	58.40
15965	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2012 A	200,000,000	2011/12/23	2012/04/02	62.60
15962	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt May 2012	50,000,000	2011/12/23	2012/05/14	10.75
15963	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Aug 2012 A	40,000,000	2011/12/23	2012/08/27	12.60
15959	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012 B	70,000,000	2011/12/23	2012/05/28	10.78
15961	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2012 A	40,000,000	2011/12/23	2012/05/28	10.20
15960	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2012	40,000,000	2011/12/23	2012/06/25	15.00
15984	Nomura Int'l plc – Anhui Conch European Warrants Sep 2012	50,000,000	2011/12/23	2012/09/27	13.30
15995	Nomura Int'l plc – BOC HK European Warrants Jun 2012	100,000,000	2011/12/23	2012/06/21	15.20
15998	Nomura Int'l plc – BOCL European Warrants Jul 2012 A	100,000,000	2011/12/23	2012/07/26	15.00
15985	Nomura Int'l plc – CC Bank European Warrants Jun 2012	50,000,000	2011/12/23	2012/06/29	12.70
15993	Nomura Int'l plc – China COSCO European Warrants Sep 2012	100,000,000	2011/12/23	2012/09/28	28.00
15992	Nomura Int'l plc – China Coal European Warrants Jan 2013	100,000,000	2011/12/23	2013/01/28	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15986	Nomura Int'l plc -CITIC Bank European Warrants Jul 2012	100,000,000	2011/12/23	2012/07/26	15.50
15979	Nomura Int'l plc – Cheung Kong European Warrants Dec 2013 A	100,000,000	2011/12/23	2013/12/23	21.00
15988	Nomura Int'l plc – China Shenhua European Warrants Sep 2012	50,000,000	2011/12/23	2012/09/27	12.85
15991	Nomura Int'l plc – CSR European Warrants Dec 2014	50,000,000	2011/12/23	2014/12/22	12.50
15987	Nomura Int'l plc – Dongfang Elec European Warrants Jun 2012	50,000,000	2011/12/23	2012/06/29	12.55
15996	Nomura Int'l plc – A50 China European Warrants Sep 2012	100,000,000	2011/12/23	2012/09/11	15.50
15980	Nomura Int'l plc – Hutchison European Warrants Mar 2013	100,000,000	2011/12/23	2013/03/28	16.00
15983	Nomura Int'l plc – KECL European Warrants Dec 2012	100,000,000	2011/12/23	2012/12/27	15.00
15994	Nomura Int'l plc – Ping An European Warrants Sep 2013	100,000,000	2011/12/23	2013/09/30	15.00
15982	Nomura Int'l plc – Poly European Warrants Oct 2012	100,000,000	2011/12/23	2012/10/31	32.00
15989	Nomura Int'l plc – Ch Rongsheng European Warrants Oct 2012	100,000,000	2011/12/23	2012/10/31	29.00
15981	Nomura Int'l plc – SHK P European Warrants Feb 2013	100,000,000	2011/12/23	2013/02/28	18.00
15990	Nomura Int'l plc – Yanzhou Coal European Warrants Sep 2012	50,000,000	2011/12/23	2012/09/27	12.65
15997	Nomura Int'l plc – Zijin Mining European Warrants Sep 2012	100,000,000	2011/12/23	2012/09/28	30.00
15969	CC Rabobank B.A. – CC Bank European Warrants Jul 2012	150,000,000	2011/12/23	2012/07/23	67.50
15970	CC Rabobank B.A. – CC Bank European Warrants Aug 2012	150,000,000	2011/12/23	2012/08/20	37.50
15971	CC Rabobank B.A. – CC Bank European Warrants Sep 2012	150,000,000	2011/12/23	2012/09/17	37.50
15972	CC Rabobank B.A. – CC Bank European Put Warrants Jul 2012	100,000,000	2011/12/23	2012/07/23	75.00
15978	CC Rabobank B.A. – CPIC European Warrants Aug 2012	100,000,000	2011/12/23	2012/08/20	27.00
15974	CC Rabobank B.A. – ICBC European Warrants May 2012	150,000,000	2011/12/23	2012/05/28	45.00
15975	CC Rabobank B.A. – ICBC European Warrants Aug 2012	150,000,000	2011/12/23	2012/08/28	75.00
15977	CC Rabobank B.A. – ICBC European Put Warrants Aug 2012	100,000,000	2011/12/23	2012/08/20	50.00
15976	CC Rabobank B.A. – ICBC European Warrants Aug 2012 A	150,000,000	2011/12/23	2012/08/20	37.50
15973	CC Rabobank B.A. – Yanzhou Coal European Warrants Aug 2012	100,000,000	2011/12/23	2012/08/20	25.00
15999	Standard Chartered Bank – A50 China Euro Warrants Sep 2012	100,000,000	2011/12/23	2012/09/11	16.00
16031	Barclays Bank plc – Agricultural Bank European Wts Sep 2012	100,000,000	2011/12/28	2012/09/28	25.00
16032	Barclays Bank plc – AIA European Warrants Sep 2012	100,000,000	2011/12/28	2012/09/28	15.70
16033	Barclays Bank plc – BOCL European Warrants Sep 2012	100,000,000	2011/12/28	2012/09/28	26.00
16029	Barclays Bank plc – China Mobile European Wts Sep 2012	100,000,000	2011/12/28	2012/09/28	25.70
16030	Barclays Bank plc – CITBK European Warrants Jul 2012	100,000,000	2011/12/28	2012/07/31	26.20
16025	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2012	200,000,000	2011/12/28	2012/12/28	30.00
16026	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2013	200,000,000	2011/12/28	2013/08/29	30.00
16027	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2014	200,000,000	2011/12/28	2014/12/30	50.00
16028	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2012	200,000,000	2011/12/28	2012/07/30	36.00
16023	Citigroup Global Mkt H Inc. – AIA European Warrants Jun 2012	80,000,000	2011/12/28	2012/06/29	20.00
16017	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2012	80,000,000	2011/12/28	2012/07/16	20.00
16016	Citigroup Global Mkt H Inc. – C Transmission Eur Wt Jun 2012	50,000,000	2011/12/28	2012/06/29	12.50
16018	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2012 A	80,000,000	2011/12/28	2012/06/29	24.00
16020	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2012	80,000,000	2011/12/28	2012/06/29	20.00
16022	Citigroup Global Mkt H Inc. – Lonking European Wts Jun 2012	50,000,000	2011/12/28	2012/06/29	12.50
16015	Citigroup Global Mkt H Inc. – Luk Fook Hold Euro Wt Jun 2012	50,000,000	2011/12/28	2012/06/29	12.50
16024	Citigroup Global Mkt H Inc. – PICC P&C European Wts Aug 2012	80,000,000	2011/12/28	2012/08/09	12.00
16019	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jun 2012	80,000,000	2011/12/28	2012/06/26	12.00
16021	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Jul 2012	50,000,000	2011/12/28	2012/07/10	12.50

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16002	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2012	150,000,000	2011/12/28	2012/06/18	22.50
16003	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Apr 2012	150,000,000	2011/12/28	2012/04/23	33.30
16000	Goldman Sachs SP (Asia) – JIANC European Warrants Apr 2012	150,000,000	2011/12/28	2012/04/02	27.00
16001	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2012	200,000,000	2011/12/28	2012/04/23	30.00
16004	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jul 2012	120,000,000	2011/12/28	2012/07/04	30.00
16009	Macquarie Bank Ltd. – Belle Int'l European Warrants Aug 2012	200,000,000	2011/12/28	2012/08/16	37.40
16005	Macquarie Bank Ltd. – China Mengniu European Wts Jul 2012	150,000,000	2011/12/28	2012/07/04	44.40
16008	Standard Chartered Bank – BOCL European Warrants Sep 2012 A	100,000,000	2011/12/28	2012/09/05	25.00
16007	Standard Chartered Bank – HKEx European Warrants Oct 2012	100,000,000	2011/12/28	2012/10/31	26.00
16006	Standard Chartered Bank – HSI European Put Wts Jul 2012	200,000,000	2011/12/28	2012/07/30	50.00
16013	UBS AG – China Life European Warrants Apr 2012 C	300,000,000	2011/12/28	2012/04/10	66.00
16012	UBS AG – CNOOC European Warrants Jul 2012 A	200,000,000	2011/12/28	2012/07/10	50.00
16014	UBS AG – A50 China European Warrants Feb 2013	300,000,000	2011/12/28	2013/02/25	75.00
16010	UBS AG – Hutchison European Warrants Jul 2012	200,000,000	2011/12/28	2012/07/10	108.00
16011	UBS AG – Hutchison European Warrants Jun 2012 C	200,000,000	2011/12/28	2012/06/28	136.00
16072	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eur Wt Mar 2013	100,000,000	2011/12/29	2013/03/04	25.00
16073	BNP Paribas Arbit Issu B.V. – BYD European Warrants Sep 2012	86,000,000	2011/12/29	2012/09/04	27.52
16074	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wts Aug 2012	39,000,000	2011/12/29	2012/08/02	25.74
16069	BNP Paribas Arbit Issu B.V. – Dongfang Elec Euro Wt Sep 2012	40,000,000	2011/12/29	2012/09/04	16.00
16071	BNP Paribas Arbit Issu B.V. – Ch Rongsheng Euro Wt Oct 2012	36,000,000	2011/12/29	2012/10/04	16.92
16075	BNP Paribas Arbit Issu B.V. – Sands China Euro Wts Sep 2012	100,000,000	2011/12/29	2012/09/04	41.00
16070	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Aug 2012	60,000,000	2011/12/29	2012/08/02	15.00
16076	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Oct 2012	100,000,000	2011/12/29	2012/10/04	35.00
16077	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eur Wt Oct 2012	74,000,000	2011/12/29	2012/10/04	18.50
16060	Credit Suisse AG – AIA European Warrants May 2012	300,000,000	2011/12/29	2012/05/03	45.00
16058	Credit Suisse AG – China Mobile European Warrants Jun 2012	300,000,000	2011/12/29	2012/06/29	75.00
16055	Credit Suisse AG – ChiUnicom European Warrants May 2012	200,000,000	2011/12/29	2012/05/28	30.00
16057	Credit Suisse AG – CC Bank European Warrants Jul 2012 A	300,000,000	2011/12/29	2012/07/03	75.00
16047	Credit Suisse AG – Cheung Kong European Warrants Jun 2012 A	100,000,000	2011/12/29	2012/06/29	25.00
16056	Credit Suisse AG – CNOOC European Warrants Jun 2012	300,000,000	2011/12/29	2012/06/25	45.00
16050	Credit Suisse AG – Sinopec Corp European Warrants Jun 2012	200,000,000	2011/12/29	2012/06/29	50.00
16059	Credit Suisse AG – China Shenhua European Wts Jun 2012 A	100,000,000	2011/12/29	2012/06/29	25.00
16051	Credit Suisse AG – HKEx European Warrants Aug 2012	300,000,000	2011/12/29	2012/08/01	45.00
16048	Credit Suisse AG – HSBC European Wts Apr 2012 A	300,000,000	2011/12/29	2012/04/30	45.00
16052	Credit Suisse AG – Li & Fung European Warrants Oct 2012	100,000,000	2011/12/29	2012/10/03	15.00
16049	Credit Suisse AG – SHK Ppt European Warrants Jun 2012	100,000,000	2011/12/29	2012/06/29	40.00
16053	Credit Suisse AG – Tencent Holdings European Wts May 2012	300,000,000	2011/12/29	2012/05/28	45.00
16054	Credit Suisse AG – Tencent Holdings European Wts May 2012 A	300,000,000	2011/12/29	2012/05/28	45.00
16036	Goldman Sachs SP (Asia) – Agri Bk European Wts Apr 2012	120,000,000	2011/12/29	2012/04/12	23.40
16037	Goldman Sachs SP (Asia) – HKEx European Warrants Aug 2012	200,000,000	2011/12/29	2012/08/06	30.40
16035	Goldman Sachs SP (Asia) – Ping An European Warrants Jul 2012	150,000,000	2011/12/29	2012/07/03	79.35
16034	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2012	150,000,000	2011/12/29	2012/07/09	26.10
16041	HK Bank – Agricultural Bank European Warrants Jun 2012	80,000,000	2011/12/29	2012/06/22	20.00
16043	HK Bank – BOCL European Warrants Jul 2012	80,000,000	2011/12/29	2012/07/27	26.40

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16039	HK Bank – CC Bank European Warrants Jun 2012 A	100,000,000	2011/12/29	2012/06/29	62.00
16042	HK Bank – China Life European Warrants Sep 2012	100,000,000	2011/12/29	2012/09/20	25.00
16040	HK Bank – China Mobile European Warrants Jun 2012 A	120,000,000	2011/12/29	2012/06/29	57.60
16038	HK Bank – CNOOC European Warrants Sep 2012	100,000,000	2011/12/29	2012/09/18	25.00
16045	HK Bank – Sinopec Corp European Warrants Jun 2012	80,000,000	2011/12/29	2012/06/29	47.20
16044	HK Bank – A50 China European Warrants Nov 2012	100,000,000	2011/12/29	2012/11/05	15.00
16046	HK Bank – PetroChina European Warrants Jan 2013	80,000,000	2011/12/29	2013/01/28	12.00
16064	Macquarie Bank Ltd. – Chi Res Power European Wts Nov 2012	150,000,000	2011/12/29	2012/11/29	37.50
16080	Standard Chartered Bank – Belle Int'l European Wts Aug 2012	100,000,000	2011/12/29	2012/08/30	15.70
16063	Standard Chartered Bank – BOC HK European Warrants Jun 2012	100,000,000	2011/12/29	2012/06/29	15.00
16062	Standard Chartered Bank – CTel European Warrants Jul 2012	100,000,000	2011/12/29	2012/07/03	35.00
16081	Standard Chartered Bank – A50 China Euro Warrants Nov 2012	200,000,000	2011/12/29	2012/11/23	30.00
16078	Standard Chartered Bank – HSBC European Warrants Sep 2012	150,000,000	2011/12/29	2012/09/05	43.50
16061	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2012	100,000,000	2011/12/29	2012/07/03	26.00
16079	Standard Chartered Bank – Jiangxi Copper Euro Wts Sep 2012	100,000,000	2011/12/29	2012/09/05	25.00
16068	UBS AG – BOCL European Warrants Jun 2012	100,000,000	2011/12/29	2012/06/25	15.00
16067	UBS AG – CPIC European Warrants Jun 2012 A	100,000,000	2011/12/29	2012/06/29	25.00
16065	UBS AG – HSBC European Warrants Jun 2012 C	300,000,000	2011/12/29	2012/06/25	120.00
16066	UBS AG – PetroChina European Warrants Apr 2012	100,000,000	2011/12/29	2012/04/30	51.00
10145	BNP Paribas Arbit Issu B.V. – Gold European Wts Sep 2012	200,000,000	2011/12/30	2012/09/06	50.00
10146	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Sep 2012	200,000,000	2011/12/30	2012/09/06	50.00
10143	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2012	200,000,000	2011/12/30	2012/11/14	50.00
10144	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Nov 2012	200,000,000	2011/12/30	2012/11/14	50.00
16098	Credit Suisse AG – Agricultural Bank European Wts Apr 2012	120,000,000	2011/12/30	2012/04/05	18.00
16096	Credit Suisse AG – Agricultural Bank European Wts Jun 2012	120,000,000	2011/12/30	2012/06/25	36.00
16097	Credit Suisse AG – Agricultural Bank European Wts Jun 2012 A	120,000,000	2011/12/30	2012/06/25	30.60
16102	Credit Suisse AG – BOC HK European Warrants Jun 2012	80,000,000	2011/12/30	2012/06/25	12.00
16104	Credit Suisse AG – BOCL European Warrants Jun 2012	100,000,000	2011/12/30	2012/06/25	15.00
16095	Credit Suisse AG – China Mobile European Warrants Apr 2012	150,000,000	2011/12/30	2012/04/05	22.50
16093	Credit Suisse AG – CC Bank European Warrants May 2012	120,000,000	2011/12/30	2012/05/28	42.00
16094	Credit Suisse AG – CC Bank European Warrants Apr 2012 A	120,000,000	2011/12/30	2012/04/05	24.00
16103	Credit Suisse AG – CM Bank European Warrants Sep 2012	80,000,000	2011/12/30	2012/09/24	13.60
16091	Credit Suisse AG – HSBC European Wts May 2012	200,000,000	2011/12/30	2012/05/28	90.00
16092	Credit Suisse AG – Hutchison European Warrants Apr 2012	200,000,000	2011/12/30	2012/04/30	80.00
16100	Credit Suisse AG – ICBC European Warrants Jun 2012	120,000,000	2011/12/30	2012/06/25	46.80
16099	Credit Suisse AG – ICBC European Warrants May 2012 A	120,000,000	2011/12/30	2012/05/28	36.00
16101	Credit Suisse AG – PICC European Warrants Sep 2012	80,000,000	2011/12/30	2012/09/24	12.00
16087	Goldman Sachs SP (Asia) – BOCL European Warrants Jul 2012	120,000,000	2011/12/30	2012/07/30	18.36
16089	Goldman Sachs SP (Asia) – Cheung Kong European Wts Jun 2012	120,000,000	2011/12/30	2012/06/25	18.24
16090	Goldman Sachs SP (Asia)-Sinopec Corp European Wts May 2012	120,000,000	2011/12/30	2012/05/14	38.16
16086	Goldman Sachs SP (Asia) – CPIC European Warrants Jul 2012	120,000,000	2011/12/30	2012/07/03	18.60
16088	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Feb 2013	150,000,000	2011/12/30	2013/02/18	23.70
16084	HK Bank – AIA European Warrants Jul 2012	100,000,000	2011/12/30	2012/07/20	28.00
16085	HK Bank – Foxconn European Warrants Sep 2012 A	60,000,000	2011/12/30	2012/09/12	25.20

主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
16082	HK Bank – Galaxy Ent European Warrants Oct 2012	80,000,000	2011/12/30	2012/10/29	20.00
16083	HK Bank – Lenovo European Warrants Sep 2012	60,000,000	2011/12/30	2012/09/26	27.00
16111	J P Morgan SP BV – Cheung Kong European Warrants Jul 2012	80,000,000	2011/12/30	2012/07/03	20.00
16110	J P Morgan SP BV – CNOOC European Warrants Jun 2012	200,000,000	2011/12/30	2012/06/18	30.00
16108	J P Morgan SP BV – Henderson Land Dev European Wts Oct 2012	100,000,000	2011/12/30	2012/10/03	25.00
16109	J P Morgan SP BV – HS Bank European Warrants Oct 2012	65,000,000	2011/12/30	2012/10/03	16.25
16107	J P Morgan SP BV – HSBC European Warrants Oct 2012	200,000,000	2011/12/30	2012/10/03	50.00
16105	Macquarie Bank Ltd. – Ping An European Warrants Jul 2012 A	200,000,000	2011/12/30	2012/07/04	67.60
16106	Macquarie Bank Ltd. – Ping An European Put Wts Jul 2012	200,000,000	2011/12/30	2012/07/04	84.80
16117	UBS AG – Agricultural Bank European Warrants May 2012	100,000,000	2011/12/30	2012/05/28	19.00
16115	UBS AG – China Unicom European Warrants May 2012	100,000,000	2011/12/30	2012/05/28	15.00
16116	UBS AG – CC Bank European Warrants Jul 2012 A	200,000,000	2011/12/30	2012/07/05	40.00
16119	UBS AG – China Life European Warrants Jul 2012 C	300,000,000	2011/12/30	2012/07/09	57.00
16112	UBS AG – Jiangxi Copper European Warrants Jun 2012 B	100,000,000	2011/12/30	2012/06/18	15.00
16118	UBS AG – Ping An European Warrants Sep 2013	200,000,000	2011/12/30	2013/09/16	30.00
16113	UBS AG – Tencent Holdings European Warrants Jun 2012 B	200,000,000	2011/12/30	2012/06/25	40.00
16114	UBS AG – Tencent Holdings European Warrants Jul 2012 A	200,000,000	2011/12/30	2012/07/30	36.00
總額					53,214.21

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2010	第4季	–	0.10
2011	第1季	–	1.96
	第2季	–	0.60
	第3季	–	611.11
	第4季	–	229.59

債券面值

		發行數目	面值(百萬港元)
2010	第4季	169	488,418.71
2011	第1季	170	506,219.59
	第2季	173	523,451.54
	第3季	183	558,876.03
	第4季	192	588,875.47

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2010	第4季	16	60,126.80
2011	第1季	7	24,958.35
	第2季	13	43,572.09
	第3季	16	47,230.33
	第4季	14	36,727.82

主板 債務證券

新上市債券

2011年第4季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
86009	亞洲水泥(中國)控股公司2.95%人民幣債券2014年	RMB 586,000,000	2011/10/03	2014/09/30	713.75
04523	CLP Power Hong Kong Financing Ltd. 2.50% 日圓票據2026年	JPY 5,000,000,000	2011/10/14	2026/10/13	504.55
04524	香港按揭證券有限公司浮動利率票據2012年10月	USD 150,000,000	2011/10/18	2012/10/17	1,170.00
04525	香港按揭證券有限公司浮動利率票據2012年10月A	USD 100,000,000	2011/10/24	2012/10/20	780.00
86010	CNPC Golden Autumn Ltd. 2.55%有擔保人民幣債券2013年	RMB 2,500,000,000	2011/10/27	2013/10/26	3,053.28
86011	CNPC Golden Autumn Ltd. 2.95%有擔保人民幣債券2014年	RMB 500,000,000	2011/10/27	2014/10/26	610.38
04526	Standard Chartered Bank (Hong Kong) Ltd. 4.15%後償新加坡票據	SGD 750,000,000	2011/10/28	2021/10/27	4,596.60
04527	SHK Ppt (Cap Market) Ltd. 3.50%有擔保美元票據2016年	USD 500,000,000	2011/11/03	2016/11/02	3,896.14
04202 [#]	中華人民共和國香港特別行政區政府2.07%債券2014年	HKD 3,000,000,000	2011/11/03	2014/11/03	3,143.10
04528	中國銀行(香港)有限公司3.75%美元票據2016年	USD 750,000,000	2011/11/09	2016/11/08	5,833.10
04020	香港金融管理局0.26%外匯基金票據2013年	HKD 1,200,000,000	2011/11/17	2013/11/18	1,200.36
04021	香港金融管理局1.19%外匯基金票據2021年	HKD 800,000,000	2011/12/06	2021/12/06	788.72
04529	Skysea Int'l Cap Management Ltd. 4.875%有擔保票據2021年	USD 750,000,000	2011/12/08	2021/12/07	5,715.92
04211	中華人民共和國香港特別行政區政府0.97%債券2016年	HKD 2,500,000,000	2011/12/09	2016/12/08	2,485.50
04197 [#]	香港金融管理局1.69%外匯基金票據2014年	HKD 1,200,000,000	2011/12/19	2014/12/22	1,241.04
04022	香港金融管理局0.82%外匯基金票據2016年	HKD 1,000,000,000	2011/12/20	2016/12/19	995.40
總額					36,727.82

[#] 進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比 (百萬港元)
2010	第4季	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	第1季	158,281.12	13,623.13	220.01	172,124.26	3.67
	第2季	127,347.26	16,652.86	105.65	144,105.78	3.45
	第3季	153,336.68	13,347.77	93.94	166,778.38	3.66
	第4季	106,330.42	11,900.43	78.91	118,309.77	3.22

註： 1. 由2010年11月29日起成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF)		房地產投資 信託基金 (REIT)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2010	第4季	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	第1季	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	第2季	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
	第3季	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	第4季	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額(百萬港元)
2010	第4季	3	—
2011	第1季	3	—
	第2季	5	12,507.88
	第3季	—	—
	第4季	1	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2011年第4季

股份代號	單位信託及互惠基金	基金經理	發行價 (港元)	集資金額 (百萬港元)	上市日期
02842	招商中證海外內地股ETF	CMS Asset Management (HK) Co. Limited	n.a.	n.a.	2011/11/01

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2010	第4季	1,064	49,816.76	514,510.75
2011	第1季	974	43,399.41	367,097.05
	第2季	711	32,550.52	325,829.26
	第3季	642	45,153.85	518,147.45
	第4季	901	43,598.79	641,062.38

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2010	第4季	1,813	107,647.20
2011	第1季	1,449	80,829.55
	第2季	931	54,073.65
	第3季	1,401	85,334.38
	第4季	1,553	90,461.98

主板 可收回牛熊證

新上市牛熊證

2011年第4季

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67567	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 A	200,000,000	2011/10/03	2012/05/30	50.00
67573	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 B	200,000,000	2011/10/03	2012/05/30	50.00
67574	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 C	200,000,000	2011/10/03	2012/05/30	50.00
67576	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 D	200,000,000	2011/10/03	2012/05/30	50.00
67578	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 E	200,000,000	2011/10/03	2012/05/30	50.00
67579	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 F	200,000,000	2011/10/03	2012/05/30	50.00
67581	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 G	200,000,000	2011/10/03	2012/05/30	50.00
67582	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 H	200,000,000	2011/10/03	2012/05/30	50.00
67584	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 I	200,000,000	2011/10/03	2012/05/30	50.00
67565	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 Y	200,000,000	2011/10/03	2012/05/30	60.00
67566	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 Z	200,000,000	2011/10/03	2012/05/30	56.00
67671	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 A	200,000,000	2011/10/03	2012/03/29	50.00
67672	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 B	200,000,000	2011/10/03	2012/03/29	50.00
67673	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 C	200,000,000	2011/10/03	2012/03/29	50.00
67674	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 D	200,000,000	2011/10/03	2012/03/29	50.00
67680	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 I	200,000,000	2011/10/03	2012/03/29	50.00
67590	Credit Suisse AG - China Life R Bull CBBC Feb 2012 A	100,000,000	2011/10/03	2012/02/13	32.00
67598	Credit Suisse AG - HSI R Bull CBBC Apr 2012 Q	400,000,000	2011/10/03	2012/04/27	100.00
67664	Credit Suisse AG - HSI R Bull CBBC Apr 2012 R	400,000,000	2011/10/03	2012/04/27	100.00
67599	Credit Suisse AG - HSI R Bull CBBC May 2012 N	400,000,000	2011/10/03	2012/05/30	100.00
67600	Credit Suisse AG - HSI R Bull CBBC Jun 2012 O	400,000,000	2011/10/03	2012/06/28	148.00
67614	Credit Suisse AG - HSI R Bull CBBC Jul 2012 F	400,000,000	2011/10/03	2012/07/30	132.00
67617	Credit Suisse AG - HSI R Bull CBBC Jul 2012 G	400,000,000	2011/10/03	2012/07/30	104.00
67620	Credit Suisse AG - HSI R Bull CBBC Jul 2012 H	400,000,000	2011/10/03	2012/07/30	130.00
67621	Credit Suisse AG - HSI R Bull CBBC Jul 2012 I	400,000,000	2011/10/03	2012/07/30	122.00
67623	Credit Suisse AG - HSI R Bull CBBC Aug 2012 A	400,000,000	2011/10/03	2012/08/30	100.00
67624	Credit Suisse AG - HSI R Bull CBBC Aug 2012 B	400,000,000	2011/10/03	2012/08/30	160.00
67627	Credit Suisse AG - HSI R Bull CBBC Aug 2012 C	400,000,000	2011/10/03	2012/08/30	120.00
67628	Credit Suisse AG - HSI R Bull CBBC Aug 2012 D	400,000,000	2011/10/03	2012/08/30	120.00
67630	Credit Suisse AG - HSI R Bull CBBC Aug 2012 E	400,000,000	2011/10/03	2012/08/30	112.00
67634	Credit Suisse AG - HSI R Bull CBBC Aug 2012 F	400,000,000	2011/10/03	2012/08/30	106.00
67661	Credit Suisse AG - HSI R Bear CBBC Jan 2012 G	400,000,000	2011/10/03	2012/01/30	100.00
67593	Credit Suisse AG - HSI R Bear CBBC Jan 2012 Y	400,000,000	2011/10/03	2012/01/30	100.00
67662	Credit Suisse AG - HSI R Bear CBBC Feb 2012 B	400,000,000	2011/10/03	2012/02/28	100.00
67597	Credit Suisse AG - HSI R Bear CBBC Feb 2012 D	400,000,000	2011/10/03	2012/02/28	100.00
67596	Credit Suisse AG - HSI R Bear CBBC Feb 2012 H	400,000,000	2011/10/03	2012/02/28	100.00
67589	Credit Suisse AG - Ping An R Bull CBBC Feb 2012 A	100,000,000	2011/10/03	2012/02/13	25.00
67592	Credit Suisse AG - Ping An R Bear CBBC Feb 2012 B	100,000,000	2011/10/03	2012/02/13	25.00
67549	Deutsche Bank AG - HSI R Bull CBBC Jan 2012 Q	150,000,000	2011/10/03	2012/01/30	37.50
67550	Deutsche Bank AG - HSI R Bull CBBC Feb 2012 B	150,000,000	2011/10/03	2012/02/28	55.50
67551	Deutsche Bank AG - HSI R Bull CBBC Feb 2012 C	150,000,000	2011/10/03	2012/02/28	60.00
67548	Deutsche Bank AG - HSI R Bear CBBC Feb 2012 A	150,000,000	2011/10/03	2012/02/28	37.50

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67537	Macquarie Bank Ltd. - HSCEI R Bull CBBC Apr 2012 A	300,000,000	2011/10/03	2012/04/27	75.00
67538	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 A	300,000,000	2011/10/03	2012/04/27	75.00
67539	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 B	300,000,000	2011/10/03	2012/04/27	75.00
67528	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12C	300,000,000	2011/10/03	2012/03/29	75.00
67529	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12D	300,000,000	2011/10/03	2012/03/29	75.00
67532	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12E	300,000,000	2011/10/03	2012/03/29	75.00
67552	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12F	300,000,000	2011/10/03	2012/03/29	75.00
67553	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12N	300,000,000	2011/10/03	2012/03/29	75.00
67523	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12R	300,000,000	2011/10/03	2012/04/27	97.50
67524	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12S	300,000,000	2011/10/03	2012/04/27	78.00
67525	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12T	300,000,000	2011/10/03	2012/04/27	90.00
67526	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12U	300,000,000	2011/10/03	2012/04/27	85.50
67709	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12V	300,000,000	2011/10/03	2012/04/27	75.00
67716	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12W	300,000,000	2011/10/03	2012/04/27	75.00
67681	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12D	300,000,000	2011/10/03	2012/06/28	145.50
67685	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12E	300,000,000	2011/10/03	2012/06/28	138.00
67688	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12F	300,000,000	2011/10/03	2012/06/28	127.50
67690	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12G	300,000,000	2011/10/03	2012/06/28	103.50
67691	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12H	300,000,000	2011/10/03	2012/06/28	121.50
67692	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12I	300,000,000	2011/10/03	2012/06/28	117.00
67694	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12J	300,000,000	2011/10/03	2012/06/28	109.50
67697	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12K	300,000,000	2011/10/03	2012/06/28	88.50
67698	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12L	300,000,000	2011/10/03	2012/06/28	102.00
67701	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 12M	300,000,000	2011/10/03	2012/06/28	99.00
67535	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 12H	300,000,000	2011/10/03	2012/01/30	75.00
67534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 12Q	300,000,000	2011/10/03	2012/01/30	75.00
67536	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 12R	300,000,000	2011/10/03	2012/01/30	75.00
67564	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 12S	300,000,000	2011/10/03	2012/01/30	75.00
67562	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 12A	300,000,000	2011/10/03	2012/02/28	75.00
67563	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 12B	300,000,000	2011/10/03	2012/02/28	75.00
67723	UBS AG - AIA R Bull CBBC May 2012 A	100,000,000	2011/10/03	2012/05/07	36.50
67722	UBS AG - China Mobile R Bull CBBC Apr 2012 A	100,000,000	2011/10/03	2012/04/23	25.00
67721	UBS AG - CC Bank R Bull CBBC Apr 2012 B	100,000,000	2011/10/03	2012/04/10	25.00
67720	UBS AG - CNOOC R Bull CBBC Apr 2012 A	100,000,000	2011/10/03	2012/04/16	25.00
67730	UBS AG - A50 China R Bull CBBC May 2012 A	100,000,000	2011/10/03	2012/05/14	25.00
67718	UBS AG - HKEx R Bull CBBC Mar 2012 A	100,000,000	2011/10/03	2012/03/26	25.00
67639	UBS AG - HSI R Bull CBBC Jan 2012 L	300,000,000	2011/10/03	2012/01/30	75.00
67637	UBS AG - HSI R Bull CBBC Feb 2012 T	300,000,000	2011/10/03	2012/02/28	75.00
67636	UBS AG - HSI R Bull CBBC Mar 2012 V	300,000,000	2011/10/03	2012/03/29	75.00
67638	UBS AG - HSI R Bull CBBC Mar 2012 W	300,000,000	2011/10/03	2012/03/29	75.00
67635	UBS AG - HSI R Bull CBBC Apr 2012 R	300,000,000	2011/10/03	2012/04/27	75.00
67640	UBS AG - HSI R Bull CBBC Apr 2012 S	300,000,000	2011/10/03	2012/04/27	75.00
67658	UBS AG - HSI R Bull CBBC May 2012 M	300,000,000	2011/10/03	2012/05/30	153.00
67659	UBS AG - HSI R Bull CBBC Jun 2012 G	300,000,000	2011/10/03	2012/06/28	168.00
67542	UBS AG - HSI R Bear CBBC Mar 2012 U	300,000,000	2011/10/03	2012/03/29	76.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67641	UBS AG - HSI R Bear CBBC Mar 2012 X	300,000,000	2011/10/03	2012/03/29	75.00
67660	UBS AG - HSI R Bear CBBC Mar 2012 Y	300,000,000	2011/10/03	2012/03/29	75.00
67543	UBS AG - HSI R Bear CBBC Apr 2012 P	300,000,000	2011/10/03	2012/04/27	75.00
67544	UBS AG - HSI R Bear CBBC Apr 2012 Q	300,000,000	2011/10/03	2012/04/27	79.50
67650	UBS AG - HSI R Bear CBBC Apr 2012 T	300,000,000	2011/10/03	2012/04/27	75.00
67547	UBS AG - HSI R Bear CBBC May 2012 J	300,000,000	2011/10/03	2012/05/30	87.00
67646	UBS AG - HSI R Bear CBBC May 2012 K	300,000,000	2011/10/03	2012/05/30	75.00
67652	UBS AG - HSI R Bear CBBC May 2012 L	300,000,000	2011/10/03	2012/05/30	75.00
67644	UBS AG - HSI R Bear CBBC Jun 2012 E	300,000,000	2011/10/03	2012/06/28	75.00
67645	UBS AG - HSI R Bear CBBC Jun 2012 F	300,000,000	2011/10/03	2012/06/28	75.00
67719	UBS AG - TCH R Bull CBBC Apr 2012 B	100,000,000	2011/10/03	2012/04/16	28.00
67768	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 J	200,000,000	2011/10/04	2012/05/30	50.00
67769	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 K	200,000,000	2011/10/04	2012/05/30	50.00
67771	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 L	200,000,000	2011/10/04	2012/05/30	50.00
67778	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 M	200,000,000	2011/10/04	2012/05/30	50.00
67779	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 N	200,000,000	2011/10/04	2012/05/30	50.00
67829	Credit Suisse AG - HSI R Bull CBBC Apr 2012 S	400,000,000	2011/10/04	2012/04/27	100.00
67830	Credit Suisse AG - HSI R Bull CBBC Apr 2012 T	400,000,000	2011/10/04	2012/04/27	100.00
67831	Credit Suisse AG - HSI R Bull CBBC May 2012 O	400,000,000	2011/10/04	2012/05/30	100.00
67834	Credit Suisse AG - HSI R Bull CBBC May 2012 P	400,000,000	2011/10/04	2012/05/30	100.00
67826	Credit Suisse AG - HSI R Bear CBBC Feb 2012 A	400,000,000	2011/10/04	2012/02/28	100.00
67757	Deutsche Bank AG - HSI R Bull CBBC Jan 2012 S	150,000,000	2011/10/04	2012/01/30	37.50
67763	Deutsche Bank AG - HSI R Bull CBBC Jan 2012 T	150,000,000	2011/10/04	2012/01/30	43.50
67767	Deutsche Bank AG - HSI R Bull CBBC Feb 2012 D	150,000,000	2011/10/04	2012/02/28	49.50
67816	Deutsche Bank AG - HSI R Bull CBBC Feb 2012 E	150,000,000	2011/10/04	2012/02/28	37.50
67755	Deutsche Bank AG - HSI R Bear CBBC Jan 2012 R	150,000,000	2011/10/04	2012/01/30	37.50
67817	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2012 K	108,000,000	2011/10/04	2012/02/28	27.00
67731	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 A	100,000,000	2011/10/04	2012/04/27	28.00
67732	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 B	100,000,000	2011/10/04	2012/04/27	25.00
67733	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 C	100,000,000	2011/10/04	2012/04/27	25.50
67742	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 D	100,000,000	2011/10/04	2012/04/27	25.00
67744	CC Rabobank B.A. - HSI R Bull CBBC Mar 2012 P	150,000,000	2011/10/04	2012/03/29	37.50
67745	CC Rabobank B.A. - HSI R Bull CBBC Mar 2012 Q	150,000,000	2011/10/04	2012/03/29	37.50
67746	CC Rabobank B.A. - HSI R Bear CBBC Mar 2012 R	150,000,000	2011/10/04	2012/03/29	37.50
67748	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12O	300,000,000	2011/10/04	2012/03/29	75.00
67754	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12P	300,000,000	2011/10/04	2012/03/29	75.00
67810	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12Q	300,000,000	2011/10/04	2012/03/29	75.00
67813	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12U	300,000,000	2011/10/04	2012/03/29	75.00
67825	UBS AG - ABC R Bull CBBC Apr 2012 A	100,000,000	2011/10/04	2012/04/16	25.00
67821	UBS AG - Cheung Kong R Bull CBBC Apr 2012 A	100,000,000	2011/10/04	2012/04/02	25.00
67780	UBS AG - HSCEI R Bull CBBC May 2012 A	100,000,000	2011/10/04	2012/05/30	25.00
67782	UBS AG - HSCEI R Bear CBBC May 2012 B	100,000,000	2011/10/04	2012/05/30	25.00
67784	UBS AG - HSI R Bull CBBC Feb 2012 U	300,000,000	2011/10/04	2012/02/28	75.00
67787	UBS AG - HSI R Bull CBBC Mar 2012 L	300,000,000	2011/10/04	2012/03/29	75.00
67785	UBS AG - HSI R Bull CBBC Mar 2012 Z	300,000,000	2011/10/04	2012/03/29	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67789	UBS AG - HSI R Bull CBBC Apr 2012 U	300,000,000	2011/10/04	2012/04/27	75.00
67820	UBS AG - HSI R Bull CBBC Apr 2012 V	300,000,000	2011/10/04	2012/04/27	75.00
67790	UBS AG - HSI R Bull CBBC May 2012 N	300,000,000	2011/10/04	2012/05/30	75.00
67791	UBS AG - HSI R Bull CBBC Jun 2012 H	300,000,000	2011/10/04	2012/06/28	75.00
67797	UBS AG - HSI R Bull CBBC Jul 2012 A	300,000,000	2011/10/04	2012/07/30	75.00
67807	UBS AG - HSI R Bull CBBC Jul 2012 B	300,000,000	2011/10/04	2012/07/30	75.00
67808	UBS AG - HSI R Bull CBBC Aug 2012 B	300,000,000	2011/10/04	2012/08/30	75.00
67809	UBS AG - HSI R Bull CBBC Aug 2012 C	300,000,000	2011/10/04	2012/08/30	75.00
67747	UBS AG - HSI R Bear CBBC Jan 2012 G	300,000,000	2011/10/04	2012/01/30	75.00
67824	UBS AG - SHK Ppt R Bull CBBC Mar 2012 A	100,000,000	2011/10/04	2012/03/05	25.00
67864	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 B	200,000,000	2011/10/06	2012/04/27	50.00
67865	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 I	200,000,000	2011/10/06	2012/04/27	50.00
67861	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/10/06	2012/04/27	50.00
67863	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/10/06	2012/04/27	50.00
67836	Deutsche Bank AG - HSI R Bull CBBC Feb 2012 F	150,000,000	2011/10/06	2012/02/28	37.50
67835	Deutsche Bank AG - HSI R Bear CBBC Jan 2012 U	150,000,000	2011/10/06	2012/01/30	37.50
67851	UBS AG - China Mobile R Bear CBBC Apr 2012 B	100,000,000	2011/10/06	2012/04/23	25.00
67855	UBS AG - China Life R Bear CBBC Mar 2012 C	100,000,000	2011/10/06	2012/03/12	34.50
67856	UBS AG - A50 China R Bear CBBC Mar 2012 A	100,000,000	2011/10/06	2012/03/12	25.00
67844	UBS AG - HKEx R Bear CBBC Mar 2012 B	100,000,000	2011/10/06	2012/03/26	32.50
67837	UBS AG - HSBC R Bear CBBC Mar 2012 B	100,000,000	2011/10/06	2012/03/05	25.00
67858	UBS AG - HSI R Bear CBBC Jun 2012 I	300,000,000	2011/10/06	2012/06/28	75.00
67860	UBS AG - HSI R Bear CBBC Jul 2012 C	300,000,000	2011/10/06	2012/07/30	75.00
67839	UBS AG - Hutchison R Bear CBBC Mar 2012 B	100,000,000	2011/10/06	2012/03/19	25.00
67881	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Sep 2012 A	100,000,000	2011/10/10	2012/09/27	25.00
67873	Credit Suisse AG - HKEx R Bull CBBC Mar 2012 A	100,000,000	2011/10/10	2012/03/19	25.50
67872	Credit Suisse AG - HKEx R Bear CBBC Feb 2012 D	100,000,000	2011/10/10	2012/02/28	25.00
67871	Credit Suisse AG - HSI R Bull CBBC Apr 2012 U	400,000,000	2011/10/10	2012/04/27	100.00
67869	Credit Suisse AG - HSI R Bear CBBC Jan 2012 E	400,000,000	2011/10/10	2012/01/30	100.00
67870	Credit Suisse AG - HSI R Bear CBBC Feb 2012 C	400,000,000	2011/10/10	2012/02/28	100.00
67868	Deutsche Bank AG - HSI R Bear CBBC Feb 2012 G	150,000,000	2011/10/10	2012/02/28	37.50
67876	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2012 N	108,000,000	2011/10/10	2012/01/30	27.00
67877	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2012 O	128,000,000	2011/10/10	2012/01/30	32.00
67866	UBS AG - HSI R Bull CBBC Jan 2012 N	300,000,000	2011/10/10	2012/01/30	75.00
67867	UBS AG - HSI R Bull CBBC Feb 2012 V	300,000,000	2011/10/10	2012/02/28	75.00
67875	UBS AG - HSI R Bear CBBC Jan 2012 O	300,000,000	2011/10/10	2012/01/30	75.00
67874	UBS AG - HSI R Bear CBBC Feb 2012 W	300,000,000	2011/10/10	2012/02/28	75.00
67923	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 S	200,000,000	2011/10/11	2012/04/27	60.00
67918	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 X	200,000,000	2011/10/11	2012/04/27	68.00
67942	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 H	200,000,000	2011/10/11	2012/04/27	50.00
67924	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 T	200,000,000	2011/10/11	2012/04/27	50.00
67928	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 U	200,000,000	2011/10/11	2012/04/27	50.00
68039	Credit Suisse AG - AIA R Bull CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/19	28.00
68040	Credit Suisse AG - AIA R Bull CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/19	20.00
67995	Credit Suisse AG - AIA R Bear CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/19	21.60

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68038	Credit Suisse AG - China Mobile R Bull CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/19	20.00
68031	Credit Suisse AG - CCB R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/19	20.00
68032	Credit Suisse AG - CCB R Bull CBBC Mar 2012 E	80,000,000	2011/10/11	2012/03/19	20.00
67992	Credit Suisse AG - CCB R Bear CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/19	20.00
67994	Credit Suisse AG - CCB R Bear CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/19	20.00
67997	Credit Suisse AG - China Life R Bear CBBC Feb 2012 B	80,000,000	2011/10/11	2012/02/13	28.00
68024	Credit Suisse AG - CNOOC R Bull CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/12	20.00
68030	Credit Suisse AG - CNOOC R Bull CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/12	20.00
67985	Credit Suisse AG - CNOOC R Bear CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/12	20.00
68042	Credit Suisse AG - A50 China R Bull CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/19	20.00
68043	Credit Suisse AG - A50 China R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/19	20.00
67999	Credit Suisse AG - A50 China R Bear CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/19	20.00
68009	Credit Suisse AG - A50 China R Bear CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/19	20.00
68016	Credit Suisse AG - HKEx R Bull CBBC Feb 2012 G	80,000,000	2011/10/11	2012/02/28	20.00
68020	Credit Suisse AG - HKEx R Bull CBBC Feb 2012 H	80,000,000	2011/10/11	2012/02/28	20.00
67977	Credit Suisse AG - HKEx R Bear CBBC Feb 2012 E	80,000,000	2011/10/11	2012/02/28	20.00
67980	Credit Suisse AG - HKEx R Bear CBBC Feb 2012 F	80,000,000	2011/10/11	2012/02/28	20.00
68011	Credit Suisse AG - HSBC R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/26	20.00
67975	Credit Suisse AG - HSBC R Bear CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/26	20.00
67957	Credit Suisse AG - HSI R Bull CBBC Apr 2012 A	400,000,000	2011/10/11	2012/04/27	100.00
67949	Credit Suisse AG - HSI R Bull CBBC Apr 2012 B	400,000,000	2011/10/11	2012/04/27	120.00
67950	Credit Suisse AG - HSI R Bull CBBC Apr 2012 C	400,000,000	2011/10/11	2012/04/27	160.00
67951	Credit Suisse AG - HSI R Bull CBBC Apr 2012 D	400,000,000	2011/10/11	2012/04/27	120.00
67953	Credit Suisse AG - HSI R Bull CBBC Apr 2012 I	400,000,000	2011/10/11	2012/04/27	140.00
67964	Credit Suisse AG - HSI R Bull CBBC May 2012 Q	400,000,000	2011/10/11	2012/05/30	160.00
67968	Credit Suisse AG - HSI R Bull CBBC May 2012 R	400,000,000	2011/10/11	2012/05/30	100.00
67971	Credit Suisse AG - HSI R Bull CBBC May 2012 S	400,000,000	2011/10/11	2012/05/30	100.00
67973	Credit Suisse AG - HSI R Bull CBBC May 2012 T	400,000,000	2011/10/11	2012/05/30	100.00
67974	Credit Suisse AG - HSI R Bull CBBC May 2012 U	400,000,000	2011/10/11	2012/05/30	100.00
67944	Credit Suisse AG - HSI R Bear CBBC Jan 2012 F	400,000,000	2011/10/11	2012/01/30	100.00
67945	Credit Suisse AG - HSI R Bear CBBC Feb 2012 G	400,000,000	2011/10/11	2012/02/28	100.00
67947	Credit Suisse AG - HSI R Bear CBBC Feb 2012 X	400,000,000	2011/10/11	2012/02/28	100.00
68012	Credit Suisse AG - Hutchison R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/26	20.00
67976	Credit Suisse AG - Hutchison R Bear CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/26	20.00
67996	Credit Suisse AG - Ping An R Bear CBBC Feb 2012 C	80,000,000	2011/10/11	2012/02/13	20.00
67982	Credit Suisse AG - TCH R Bear CBBC Feb 2012 G	80,000,000	2011/10/11	2012/02/28	20.00
67984	Credit Suisse AG - TCH R Bear CBBC Feb 2012 H	80,000,000	2011/10/11	2012/02/28	20.00
68071	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2012 E	108,000,000	2011/10/11	2012/03/29	27.00
68091	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2012 A	108,000,000	2011/10/11	2012/04/27	41.04
68094	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2012 B	108,000,000	2011/10/11	2012/04/27	27.00
68095	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC May 2012 A	108,000,000	2011/10/11	2012/05/30	27.00
67929	Macquarie Bank Ltd. - HSCEI R Bull CBBC Apr 2012 B	200,000,000	2011/10/11	2012/04/27	50.00
67935	Macquarie Bank Ltd. - HSCEI R Bull CBBC Apr 2012 C	200,000,000	2011/10/11	2012/04/27	50.00
67906	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 C	300,000,000	2011/10/11	2012/04/27	75.00
67908	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 D	300,000,000	2011/10/11	2012/04/27	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67914	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 E	300,000,000	2011/10/11	2012/04/27	75.00
67915	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2012 F	300,000,000	2011/10/11	2012/04/27	75.00
68061	UBS AG - CC Bank R Bull CBBC Apr 2012 C	100,000,000	2011/10/11	2012/04/02	25.00
68060	UBS AG - CC Bank R Bull CBBC May 2012 A	100,000,000	2011/10/11	2012/05/07	25.00
68044	UBS AG - A50 China R Bull CBBC May 2012 B	100,000,000	2011/10/11	2012/05/28	25.00
67882	UBS AG - HSI R Bull CBBC Jan 2012 P	300,000,000	2011/10/11	2012/01/30	75.00
67883	UBS AG - HSI R Bull CBBC Jan 2012 R	300,000,000	2011/10/11	2012/01/30	75.00
67905	UBS AG - HSI R Bull CBBC Feb 2012 A	300,000,000	2011/10/11	2012/02/28	75.00
67884	UBS AG - HSI R Bull CBBC Feb 2012 X	300,000,000	2011/10/11	2012/02/28	75.00
67887	UBS AG - HSI R Bull CBBC Feb 2012 Y	300,000,000	2011/10/11	2012/02/28	75.00
67904	UBS AG - HSI R Bull CBBC Mar 2012 H	300,000,000	2011/10/11	2012/03/29	75.00
67903	UBS AG - HSI R Bull CBBC Apr 2012 W	300,000,000	2011/10/11	2012/04/27	75.00
67902	UBS AG - HSI R Bull CBBC May 2012 O	300,000,000	2011/10/11	2012/05/30	75.00
67889	UBS AG - HSI R Bear CBBC Jan 2012 S	300,000,000	2011/10/11	2012/01/30	75.00
67943	UBS AG - HSI R Bear CBBC Feb 2012 K	300,000,000	2011/10/11	2012/02/28	75.00
67888	UBS AG - HSI R Bear CBBC Feb 2012 Z	300,000,000	2011/10/11	2012/02/28	75.00
68065	UBS AG - Hutchison R Bull CBBC Apr 2012 B	100,000,000	2011/10/11	2012/04/30	25.00
68313	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 M	200,000,000	2011/10/12	2012/04/27	76.00
68316	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 N	200,000,000	2011/10/12	2012/04/27	70.00
68320	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 O	200,000,000	2011/10/12	2012/04/27	50.00
68323	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 P	200,000,000	2011/10/12	2012/04/27	50.00
68324	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 Q	200,000,000	2011/10/12	2012/04/27	50.00
68325	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 R	200,000,000	2011/10/12	2012/04/27	50.00
68326	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 D	200,000,000	2011/10/12	2012/04/27	50.00
68328	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 E	200,000,000	2011/10/12	2012/04/27	50.00
68336	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 G	200,000,000	2011/10/12	2012/04/27	50.00
68312	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 L	200,000,000	2011/10/12	2012/04/27	50.00
68282	Credit Suisse AG - HSI R Bull CBBC Apr 2012 H	400,000,000	2011/10/12	2012/04/27	100.00
68286	Credit Suisse AG - HSI R Bull CBBC Apr 2012 L	400,000,000	2011/10/12	2012/04/27	100.00
68291	Credit Suisse AG - HSI R Bull CBBC May 2012 V	400,000,000	2011/10/12	2012/05/30	100.00
68258	Credit Suisse AG - HSI R Bear CBBC Jan 2012 H	300,000,000	2011/10/12	2012/01/30	75.00
68246	Credit Suisse AG - HSI R Bear CBBC Jan 2012 I	400,000,000	2011/10/12	2012/01/30	100.00
68249	Credit Suisse AG - HSI R Bear CBBC Jan 2012 J	400,000,000	2011/10/12	2012/01/30	100.00
68233	Credit Suisse AG - HSI R Bear CBBC Jan 2012 K	400,000,000	2011/10/12	2012/01/30	100.00
68257	Credit Suisse AG - HSI R Bear CBBC Jan 2012 L	400,000,000	2011/10/12	2012/01/30	100.00
68259	Credit Suisse AG - HSI R Bear CBBC Jan 2012 X	300,000,000	2011/10/12	2012/01/30	75.00
68262	Credit Suisse AG - HSI R Bear CBBC Feb 2012 E	400,000,000	2011/10/12	2012/02/28	100.00
68279	Credit Suisse AG - HSI R Bear CBBC Feb 2012 K	300,000,000	2011/10/12	2012/02/28	75.00
68278	Credit Suisse AG - HSI R Bear CBBC Feb 2012 R	400,000,000	2011/10/12	2012/02/28	100.00
68280	Credit Suisse AG - HSI R Bear CBBC Feb 2012 S	300,000,000	2011/10/12	2012/02/28	75.00
68261	Credit Suisse AG - HSI R Bear CBBC Feb 2012 Z	400,000,000	2011/10/12	2012/02/28	100.00
68217	Credit Suisse AG - TCH R Bull CBBC Feb 2012 I	80,000,000	2011/10/12	2012/02/28	32.00
68219	Credit Suisse AG - TCH R Bull CBBC Feb 2012 J	80,000,000	2011/10/12	2012/02/28	24.00
68224	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Jan 2012 P	108,000,000	2011/10/12	2012/01/30	27.00
68228	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Feb 2012 L	108,000,000	2011/10/12	2012/02/28	27.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68231	KBC Fin Products Int'l Ltd. - HSI R Bear CBBC Mar 2012 F	128,000,000	2011/10/12	2012/03/29	32.00
68177	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 C	150,000,000	2011/10/12	2012/04/27	37.50
68178	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 D	150,000,000	2011/10/12	2012/04/27	37.50
68182	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 E	150,000,000	2011/10/12	2012/04/27	37.50
68115	CC Rabobank B.A. - HSI R Bear CBBC Apr 2012 A	150,000,000	2011/10/12	2012/04/27	37.50
68170	CC Rabobank B.A. - HSI R Bear CBBC Apr 2012 B	150,000,000	2011/10/12	2012/04/27	37.50
68193	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12J	300,000,000	2011/10/12	2012/03/29	129.00
68194	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12X	300,000,000	2011/10/12	2012/03/29	121.50
68195	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12Y	300,000,000	2011/10/12	2012/03/29	115.50
68196	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12Z	300,000,000	2011/10/12	2012/03/29	75.00
68110	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12A	300,000,000	2011/10/12	2012/03/29	75.00
68112	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12B	300,000,000	2011/10/12	2012/03/29	75.00
68202	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12G	300,000,000	2011/10/12	2012/03/29	75.00
68209	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12H	300,000,000	2011/10/12	2012/03/29	75.00
68221	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12I	300,000,000	2011/10/12	2012/03/29	75.00
68223	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12K	300,000,000	2011/10/12	2012/03/29	75.00
68113	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12M	300,000,000	2011/10/12	2012/03/29	75.00
68096	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12V	300,000,000	2011/10/12	2012/03/29	75.00
68107	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12W	300,000,000	2011/10/12	2012/03/29	75.00
68415	UBS AG - AIA R Bull CBBC May 2012 B	100,000,000	2011/10/12	2012/05/28	33.00
68416	UBS AG - CC Bank R Bear CBBC May 2012 B	100,000,000	2011/10/12	2012/05/14	25.00
68402	UBS AG - Cheung Kong R Bull CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/02	25.00
68412	UBS AG - China Life R Bear CBBC May 2012 A	100,000,000	2011/10/12	2012/05/14	37.50
68417	UBS AG - CNOOC R Bull CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/30	25.00
68392	UBS AG - Sinopec Corp R Bull CBBC Apr 2012 A	100,000,000	2011/10/12	2012/04/23	25.00
68408	UBS AG - A50 China R Bull CBBC May 2012 D	100,000,000	2011/10/12	2012/05/28	25.00
68404	UBS AG - A50 China R Bear CBBC May 2012 C	100,000,000	2011/10/12	2012/05/14	25.00
68391	UBS AG - HKEx R Bull CBBC May 2012 A	100,000,000	2011/10/12	2012/05/21	25.00
68389	UBS AG - HKEx R Bear CBBC Mar 2012 C	100,000,000	2011/10/12	2012/03/12	25.00
68401	UBS AG - HSBC R Bull CBBC Jun 2012 A	100,000,000	2011/10/12	2012/06/11	25.00
68400	UBS AG - HSBC R Bear CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/16	25.00
68212	UBS AG - HSI R Bull CBBC Jan 2012 U	300,000,000	2011/10/12	2012/01/30	75.00
68220	UBS AG - HSI R Bull CBBC Mar 2012 F	300,000,000	2011/10/12	2012/03/29	75.00
68187	UBS AG - HSI R Bull CBBC Apr 2012 X	300,000,000	2011/10/12	2012/04/27	75.00
68213	UBS AG - HSI R Bull CBBC Apr 2012 Y	300,000,000	2011/10/12	2012/04/27	75.00
68214	UBS AG - HSI R Bull CBBC May 2012 P	300,000,000	2011/10/12	2012/05/30	75.00
68367	UBS AG - HSI R Bull CBBC May 2012 Q	300,000,000	2011/10/12	2012/05/30	75.00
68215	UBS AG - HSI R Bull CBBC Jun 2012 J	300,000,000	2011/10/12	2012/06/28	75.00
68368	UBS AG - HSI R Bull CBBC Jul 2012 D	300,000,000	2011/10/12	2012/07/30	150.00
68365	UBS AG - HSI R Bear CBBC Jan 2012 Y	300,000,000	2011/10/12	2012/01/30	75.00
68366	UBS AG - HSI R Bear CBBC Feb 2012 B	300,000,000	2011/10/12	2012/02/28	75.00
68362	UBS AG - HSI R Bear CBBC Mar 2012 E	300,000,000	2011/10/12	2012/03/29	75.00
68354	UBS AG - HSI R Bear CBBC Mar 2012 G	300,000,000	2011/10/12	2012/03/29	75.00
68369	UBS AG - HSI R Bear CBBC Apr 2012 C	300,000,000	2011/10/12	2012/04/27	75.00
68352	UBS AG - HSI R Bear CBBC Apr 2012 Z	300,000,000	2011/10/12	2012/04/27	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68399	UBS AG - Hutchison R Bear CBBC Apr 2012 C	100,000,000	2011/10/12	2012/04/23	25.00
68414	UBS AG - ICBC R Bull CBBC Apr 2012 C	100,000,000	2011/10/12	2012/04/30	25.00
68413	UBS AG - ICBC R Bear CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/30	25.00
68384	UBS AG - TCH R Bull CBBC May 2012 B	100,000,000	2011/10/12	2012/05/28	38.00
68370	UBS AG - TCH R Bear CBBC May 2012 A	100,000,000	2011/10/12	2012/05/21	26.50
68512	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 A	200,000,000	2011/10/13	2012/04/27	50.00
68513	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 C	200,000,000	2011/10/13	2012/04/27	50.00
68510	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 K	200,000,000	2011/10/13	2012/04/27	50.00
68511	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 W	200,000,000	2011/10/13	2012/04/27	50.00
68520	Credit Suisse AG - AIA R Bear CBBC Mar 2012 D	80,000,000	2011/10/13	2012/03/19	28.00
68517	Credit Suisse AG - CCB R Bull CBBC Apr 2012 A	80,000,000	2011/10/13	2012/04/23	20.00
68519	Credit Suisse AG - CNOOC R Bear CBBC Mar 2012 D	80,000,000	2011/10/13	2012/03/12	24.00
68518	Credit Suisse AG - A50 China R Bull CBBC Mar 2012 E	80,000,000	2011/10/13	2012/03/19	20.00
68524	Credit Suisse AG - A50 China R Bear CBBC Mar 2012 F	80,000,000	2011/10/13	2012/03/19	20.00
68464	Credit Suisse AG - HSI R Bull CBBC Apr 2012 F	400,000,000	2011/10/13	2012/04/27	100.00
68466	Credit Suisse AG - HSI R Bull CBBC Apr 2012 G	400,000,000	2011/10/13	2012/04/27	100.00
68515	Credit Suisse AG - HSI R Bull CBBC Apr 2012 V	400,000,000	2011/10/13	2012/04/27	100.00
68467	Credit Suisse AG - HSI R Bull CBBC May 2012 W	400,000,000	2011/10/13	2012/05/30	100.00
68468	Credit Suisse AG - HSI R Bull CBBC May 2012 X	400,000,000	2011/10/13	2012/05/30	100.00
68516	Credit Suisse AG - HSI R Bull CBBC May 2012 Y	400,000,000	2011/10/13	2012/05/30	100.00
68456	Credit Suisse AG - HSI R Bear CBBC Jan 2012 B	400,000,000	2011/10/13	2012/01/30	100.00
68448	Credit Suisse AG - HSI R Bear CBBC Jan 2012 P	400,000,000	2011/10/13	2012/01/30	100.00
68454	Credit Suisse AG - HSI R Bear CBBC Jan 2012 U	400,000,000	2011/10/13	2012/01/30	100.00
68457	Credit Suisse AG - HSI R Bear CBBC Feb 2012 F	400,000,000	2011/10/13	2012/02/28	100.00
68458	Credit Suisse AG - HSI R Bear CBBC Feb 2012 Q	400,000,000	2011/10/13	2012/02/28	100.00
68459	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 G	300,000,000	2011/10/13	2012/04/27	75.00
68462	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2012 H	300,000,000	2011/10/13	2012/04/27	75.00
68463	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2012 I	300,000,000	2011/10/13	2012/04/27	75.00
68418	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 E	100,000,000	2011/10/13	2012/04/27	25.00
68446	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 I	100,000,000	2011/10/13	2012/04/27	25.00
68514	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 J	100,000,000	2011/10/13	2012/04/27	25.00
68421	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 F	100,000,000	2011/10/13	2012/04/27	25.00
68432	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 G	100,000,000	2011/10/13	2012/04/27	25.00
68433	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 H	100,000,000	2011/10/13	2012/04/27	27.00
68490	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 B	300,000,000	2011/10/13	2012/04/27	75.00
68502	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 E	300,000,000	2011/10/13	2012/04/27	75.00
68503	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 F	300,000,000	2011/10/13	2012/04/27	75.00
68479	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 H	300,000,000	2011/10/13	2012/04/27	75.00
68487	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 I	300,000,000	2011/10/13	2012/04/27	75.00
68471	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 X	300,000,000	2011/10/13	2012/04/27	75.00
68472	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 Y	300,000,000	2011/10/13	2012/04/27	75.00
68473	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 2012 Z	300,000,000	2011/10/13	2012/04/27	75.00
68469	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 2012 N	300,000,000	2011/10/13	2012/06/28	102.00
68470	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 2012 O	300,000,000	2011/10/13	2012/06/28	115.50
68504	UBS AG - HSI R Bull CBBC Jan 2012 V	300,000,000	2011/10/13	2012/01/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68505	UBS AG - HSI R Bull CBBC Feb 2012 H	300,000,000	2011/10/13	2012/02/28	75.00
68509	UBS AG - HSI R Bull CBBC Mar 2012 I	300,000,000	2011/10/13	2012/03/29	75.00
68506	UBS AG - HSI R Bull CBBC Mar 2012 K	300,000,000	2011/10/13	2012/03/29	75.00
68508	UBS AG - HSI R Bull CBBC Apr 2012 G	300,000,000	2011/10/13	2012/04/27	75.00
68435	UBS AG - HSI R Bear CBBC Jan 2012 D	300,000,000	2011/10/13	2012/01/30	75.00
68440	UBS AG - HSI R Bear CBBC Feb 2012 C	300,000,000	2011/10/13	2012/02/28	75.00
68444	UBS AG - HSI R Bear CBBC Apr 2012 F	300,000,000	2011/10/13	2012/04/27	75.00
68560	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 B	200,000,000	2011/10/14	2012/04/27	50.00
68554	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 F	200,000,000	2011/10/14	2012/04/27	50.00
68561	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 I	200,000,000	2011/10/14	2012/04/27	50.00
68553	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 V	200,000,000	2011/10/14	2012/04/27	50.00
68565	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/10/14	2012/04/27	50.00
68540	Credit Suisse AG - HSI R Bull CBBC Apr 2012 E	400,000,000	2011/10/14	2012/04/27	100.00
68541	Credit Suisse AG - HSI R Bull CBBC Apr 2012 O	400,000,000	2011/10/14	2012/04/27	100.00
68546	Credit Suisse AG - HSI R Bull CBBC Apr 2012 P	400,000,000	2011/10/14	2012/04/27	100.00
68538	Credit Suisse AG - HSI R Bull CBBC Apr 2012 W	400,000,000	2011/10/14	2012/04/27	100.00
68539	Credit Suisse AG - HSI R Bull CBBC Apr 2012 X	400,000,000	2011/10/14	2012/04/27	100.00
68544	Credit Suisse AG - HSI R Bull CBBC May 2012 E	400,000,000	2011/10/14	2012/05/30	100.00
68545	Credit Suisse AG - HSI R Bull CBBC May 2012 F	400,000,000	2011/10/14	2012/05/30	100.00
68547	Credit Suisse AG - HSI R Bull CBBC May 2012 G	400,000,000	2011/10/14	2012/05/30	100.00
68542	Credit Suisse AG - HSI R Bull CBBC May 2012 Z	400,000,000	2011/10/14	2012/05/30	100.00
68531	Credit Suisse AG - HSI R Bear CBBC Jan 2012 Q	400,000,000	2011/10/14	2012/01/30	100.00
68533	Credit Suisse AG - HSI R Bear CBBC Jan 2012 T	400,000,000	2011/10/14	2012/01/30	100.00
68537	Credit Suisse AG - HSI R Bear CBBC Feb 2012 N	400,000,000	2011/10/14	2012/02/28	100.00
68536	Credit Suisse AG - HSI R Bear CBBC Feb 2012 P	400,000,000	2011/10/14	2012/02/28	100.00
68549	Credit Suisse AG - Hutchison R Bull CBBC Mar 2012 E	80,000,000	2011/10/14	2012/03/26	20.00
68552	Credit Suisse AG - Ping An R Bull CBBC Mar 2012 A	80,000,000	2011/10/14	2012/03/26	20.00
68550	Credit Suisse AG - TCH R Bull CBBC Feb 2012 K	80,000,000	2011/10/14	2012/02/28	28.00
68551	Credit Suisse AG - TCH R Bull CBBC Mar 2012 A	80,000,000	2011/10/14	2012/03/26	36.00
68571	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2012 G	108,000,000	2011/10/14	2012/03/29	27.00
68573	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2012 H	108,000,000	2011/10/14	2012/03/29	27.00
68577	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2012 C	128,000,000	2011/10/14	2012/04/27	32.00
68578	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Apr 2012 D	108,000,000	2011/10/14	2012/04/27	27.00
68530	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 K	100,000,000	2011/10/14	2012/04/27	25.00
68591	UBS AG - ABC R Bull CBBC May 2012 A	100,000,000	2011/10/14	2012/05/21	25.00
68592	UBS AG - AIA R Bull CBBC May 2012 C	100,000,000	2011/10/14	2012/05/14	33.00
68589	UBS AG - CC Bank R Bull CBBC May 2012 C	100,000,000	2011/10/14	2012/05/07	25.00
68594	UBS AG - A50 China R Bull CBBC Apr 2012 A	100,000,000	2011/10/14	2012/04/30	25.00
68581	UBS AG - HKEx R Bull CBBC May 2012 B	100,000,000	2011/10/14	2012/05/07	25.00
68566	UBS AG - HSI R Bull CBBC Feb 2012 F	300,000,000	2011/10/14	2012/02/28	75.00
68567	UBS AG - HSI R Bull CBBC Mar 2012 M	300,000,000	2011/10/14	2012/03/29	75.00
68568	UBS AG - HSI R Bull CBBC Jun 2012 K	300,000,000	2011/10/14	2012/06/28	75.00
68569	UBS AG - HSI R Bull CBBC Jul 2012 E	300,000,000	2011/10/14	2012/07/30	75.00
68527	UBS AG - HSI R Bear CBBC Jan 2012 Z	300,000,000	2011/10/14	2012/01/30	75.00
68528	UBS AG - HSI R Bear CBBC Mar 2012 J	300,000,000	2011/10/14	2012/03/29	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68579	UBS AG - Hutchison R Bull CBBC Apr 2012 D	100,000,000	2011/10/14	2012/04/23	25.00
68593	UBS AG - ICBC R Bull CBBC May 2012 A	100,000,000	2011/10/14	2012/05/07	25.00
68582	UBS AG - TCH R Bull CBBC Jun 2012 A	100,000,000	2011/10/14	2012/06/04	43.00
68608	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 V	200,000,000	2011/10/17	2012/05/30	50.00
68610	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 W	200,000,000	2011/10/17	2012/05/30	50.00
68613	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 X	200,000,000	2011/10/17	2012/05/30	50.00
68598	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 H	200,000,000	2011/10/17	2012/02/28	50.00
68599	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 I	200,000,000	2011/10/17	2012/02/28	50.00
68600	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 J	200,000,000	2011/10/17	2012/02/28	50.00
68601	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 K	200,000,000	2011/10/17	2012/02/28	50.00
68595	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 F	150,000,000	2011/10/17	2012/04/27	37.50
68596	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 G	150,000,000	2011/10/17	2012/04/27	37.50
68597	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 H	150,000,000	2011/10/17	2012/04/27	37.50
68604	UBS AG - HSI R Bull CBBC Feb 2012 E	300,000,000	2011/10/17	2012/02/28	75.00
68603	UBS AG - HSI R Bull CBBC Apr 2012 E	300,000,000	2011/10/17	2012/04/27	75.00
68628	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 J	200,000,000	2011/10/18	2012/05/30	50.00
68629	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 K	200,000,000	2011/10/18	2012/05/30	50.00
68630	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 L	200,000,000	2011/10/18	2012/05/30	50.00
68631	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 M	200,000,000	2011/10/18	2012/05/30	50.00
68621	Macquarie Bank Ltd. - HSCEI R Bull CBBC Apr 2012 D	300,000,000	2011/10/18	2012/04/27	75.00
68620	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 J	300,000,000	2011/10/18	2012/04/27	75.00
68624	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 K	300,000,000	2011/10/18	2012/04/27	75.00
68642	UBS AG - China Mobile R Bear CBBC Jun 2012 A	100,000,000	2011/10/18	2012/06/11	25.00
68636	UBS AG - CC Bank R Bull CBBC May 2012 D	100,000,000	2011/10/18	2012/05/21	25.00
68641	UBS AG - CC Bank R Bear CBBC May 2012 E	100,000,000	2011/10/18	2012/05/21	25.00
68638	UBS AG - China Life R Bull CBBC May 2012 B	100,000,000	2011/10/18	2012/05/21	28.50
68635	UBS AG - CNOOC R Bull CBBC May 2012 A	100,000,000	2011/10/18	2012/05/28	26.50
68633	UBS AG - HKEx R Bull CBBC May 2012 C	100,000,000	2011/10/18	2012/05/14	25.00
68640	UBS AG - HKEx R Bear CBBC May 2012 D	100,000,000	2011/10/18	2012/05/21	25.00
68625	UBS AG - HSI R Bull CBBC Jan 2012 A	300,000,000	2011/10/18	2012/01/30	75.00
68626	UBS AG - HSI R Bull CBBC Jan 2012 I	300,000,000	2011/10/18	2012/01/30	75.00
68627	UBS AG - HSI R Bull CBBC Mar 2012 R	300,000,000	2011/10/18	2012/03/29	75.00
68622	UBS AG - HSI R Bear CBBC Jan 2012 Q	300,000,000	2011/10/18	2012/01/30	75.00
68623	UBS AG - HSI R Bear CBBC Jan 2012 X	300,000,000	2011/10/18	2012/01/30	75.00
68632	UBS AG - Hutchison R Bull CBBC Jun 2012 A	100,000,000	2011/10/18	2012/06/04	25.00
68639	UBS AG - Hutchison R Bear CBBC Jun 2012 B	100,000,000	2011/10/18	2012/06/18	25.00
68643	UBS AG - ICBC R Bear CBBC Apr 2012 D	100,000,000	2011/10/18	2012/04/30	25.00
68637	UBS AG - Ping An R Bull CBBC Jun 2012 A	100,000,000	2011/10/18	2012/06/11	25.00
68644	UBS AG - Ping An R Bear CBBC Jun 2012 B	100,000,000	2011/10/18	2012/06/25	25.00
68634	UBS AG - TCH R Bull CBBC Jun 2012 B	100,000,000	2011/10/18	2012/06/25	30.50
68649	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 N	200,000,000	2011/10/19	2012/05/30	50.00
68650	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 Q	200,000,000	2011/10/19	2012/05/30	50.00
68651	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 R	200,000,000	2011/10/19	2012/05/30	50.00
68652	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 S	200,000,000	2011/10/19	2012/05/30	50.00
68653	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 T	200,000,000	2011/10/19	2012/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68654	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 U	200,000,000	2011/10/19	2012/05/30	50.00
68647	CC Rabobank B.A. - HSI R Bull CBBC Apr 2012 I	150,000,000	2011/10/19	2012/04/27	37.50
68648	CC Rabobank B.A. - HSI R Bear CBBC Apr 2012 J	150,000,000	2011/10/19	2012/04/27	37.50
68659	UBS AG - A50 China R Bull CBBC May 2012 E	100,000,000	2011/10/19	2012/05/21	25.00
68655	UBS AG - HSI R Bull CBBC Jan 2012 B	300,000,000	2011/10/19	2012/01/30	75.00
68656	UBS AG - HSI R Bull CBBC Feb 2012 J	300,000,000	2011/10/19	2012/02/28	75.00
68658	UBS AG - HSI R Bull CBBC Apr 2012 M	300,000,000	2011/10/19	2012/04/27	75.00
68645	UBS AG - HSI R Bear CBBC Apr 2012 D	300,000,000	2011/10/19	2012/04/27	75.00
68646	UBS AG - HSI R Bear CBBC May 2012 R	300,000,000	2011/10/19	2012/05/30	75.00
68657	UBS AG - ICBC R Bull CBBC Apr 2012 E	100,000,000	2011/10/19	2012/04/16	25.00
68660	UBS AG - Ping An R Bear CBBC Jun 2012 C	100,000,000	2011/10/19	2012/06/18	25.00
68061#	UBS AG - CC Bank R Bull CBBC Apr 2012 C	100,000,000	2011/10/19	2012/04/02	13.10
68676	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 H	200,000,000	2011/10/20	2012/04/27	50.00
68677	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 T	200,000,000	2011/10/20	2012/04/27	50.00
68675	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/10/20	2012/04/27	50.00
68678	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 L	200,000,000	2011/10/20	2012/02/28	50.00
68679	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 M	200,000,000	2011/10/20	2012/02/28	50.00
68665	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Feb 2012 M	108,000,000	2011/10/20	2012/02/28	27.00
68666	KBC Fin Products Int'l Ltd. - HSI R Bull CBBC Mar 2012 I	128,000,000	2011/10/20	2012/03/29	32.00
68662	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 L	300,000,000	2011/10/20	2012/04/27	75.00
68663	Macquarie Bank Ltd. - HSI R Bear CBBC Apr 2012 M	300,000,000	2011/10/20	2012/04/27	75.00
68672	UBS AG - China Life R Bull CBBC Jun 2012 A	100,000,000	2011/10/20	2012/06/04	30.00
68674	UBS AG - China Life R Bear CBBC May 2012 C	100,000,000	2011/10/20	2012/05/28	32.50
68669	UBS AG - HSBC R Bull CBBC Jun 2012 B	100,000,000	2011/10/20	2012/06/04	25.00
68668	UBS AG - HSI R Bull CBBC Jan 2012 G	300,000,000	2011/10/20	2012/01/30	75.00
68667	UBS AG - HSI R Bull CBBC Feb 2012 I	300,000,000	2011/10/20	2012/02/28	75.00
68661	UBS AG - HSI R Bear CBBC Jan 2012 L	300,000,000	2011/10/20	2012/01/30	75.00
68664	UBS AG - HSI R Bear CBBC Mar 2012 O	100,000,000	2011/10/20	2012/03/29	25.00
68670	UBS AG - Hutchison R Bull CBBC Jun 2012 C	100,000,000	2011/10/20	2012/06/18	25.00
68673	UBS AG - Hutchison R Bear CBBC Jun 2012 D	100,000,000	2011/10/20	2012/06/04	25.00
68671	UBS AG - Ping An R Bull CBBC Apr 2012 A	100,000,000	2011/10/20	2012/04/23	25.00
68683	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 D	200,000,000	2011/10/21	2012/04/27	50.00
68682	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 U	200,000,000	2011/10/21	2012/04/27	50.00
68680	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 L	100,000,000	2011/10/21	2012/04/27	25.00
68681	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC Apr 2012 M	100,000,000	2011/10/21	2012/04/27	25.00
68684	UBS AG - HSI R Bull CBBC Jan 2012 N	300,000,000	2011/10/21	2012/01/30	75.00
68685	UBS AG - HSI R Bull CBBC Mar 2012 B	300,000,000	2011/10/21	2012/03/29	75.00
68686	UBS AG - HSI R Bear CBBC Jan 2012 O	300,000,000	2011/10/21	2012/01/30	75.00
68687	UBS AG - HSI R Bear CBBC Feb 2012 D	300,000,000	2011/10/21	2012/02/28	75.00
68715	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 E	200,000,000	2011/10/24	2012/04/27	50.00
68694	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 R	200,000,000	2011/10/24	2012/02/28	50.00
68695	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 S	200,000,000	2011/10/24	2012/02/28	50.00
68696	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 T	200,000,000	2011/10/24	2012/02/28	50.00
68697	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 U	200,000,000	2011/10/24	2012/02/28	50.00
68690	J P Morgan SP BV - HSI R Bear CBBC Feb 2012 N	200,000,000	2011/10/24	2012/02/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68691	J P Morgan SP BV - HSI R Bear CBBC Feb 2012 O	200,000,000	2011/10/24	2012/02/28	50.00
68692	J P Morgan SP BV - HSI R Bear CBBC Feb 2012 P	200,000,000	2011/10/24	2012/02/28	50.00
68693	J P Morgan SP BV - HSI R Bear CBBC Feb 2012 Q	200,000,000	2011/10/24	2012/02/28	50.00
68706	UBS AG - ABC R Bull CBBC May 2012 B	100,000,000	2011/10/24	2012/05/21	25.00
68707	UBS AG - AIA R Bull CBBC Apr 2012 B	100,000,000	2011/10/24	2012/04/30	29.00
68714	UBS AG - AIA R Bear CBBC May 2012 D	100,000,000	2011/10/24	2012/05/14	66.00
68710	UBS AG - BOCL R Bull CBBC Apr 2012 A	100,000,000	2011/10/24	2012/04/30	25.00
68705	UBS AG - China Mobile R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/28	25.00
68704	UBS AG - CC Bank R Bull CBBC Apr 2012 D	100,000,000	2011/10/24	2012/04/23	25.00
68698	UBS AG - Cheung Kong R Bull CBBC Jun 2012 A	100,000,000	2011/10/24	2012/06/11	25.00
68703	UBS AG - CNOOC R Bull CBBC May 2012 B	100,000,000	2011/10/24	2012/05/21	25.00
68713	UBS AG - CNOOC R Bear CBBC May 2012 C	100,000,000	2011/10/24	2012/05/28	30.00
68700	UBS AG - Sinopec Corp R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/07	25.00
68711	UBS AG - A50 China R Bull CBBC Jun 2012 A	100,000,000	2011/10/24	2012/06/04	25.00
68688	UBS AG - HSI R Bull CBBC Feb 2012 L	300,000,000	2011/10/24	2012/02/28	75.00
68716	UBS AG - HSI R Bull CBBC Mar 2012 L	300,000,000	2011/10/24	2012/03/29	75.00
68717	UBS AG - HSI R Bull CBBC Mar 2012 N	300,000,000	2011/10/24	2012/03/29	75.00
68689	UBS AG - HSI R Bear CBBC Feb 2012 N	300,000,000	2011/10/24	2012/02/28	75.00
68708	UBS AG - ICBC R Bull CBBC Apr 2012 F	100,000,000	2011/10/24	2012/04/23	25.00
68702	UBS AG - Petrochina R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/07	25.00
68709	UBS AG - Ping An R Bull CBBC Jun 2012 D	100,000,000	2011/10/24	2012/06/18	25.00
68699	UBS AG - SHK Ppt R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/28	25.00
68701	UBS AG - TCH R Bull CBBC May 2012 C	100,000,000	2011/10/24	2012/05/21	25.00
68712	UBS AG - TCH R Bear CBBC Jun 2012 C	100,000,000	2011/10/24	2012/06/18	33.00
68719	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 J	200,000,000	2011/10/25	2012/03/29	50.00
68728	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 K	200,000,000	2011/10/25	2012/03/29	50.00
68720	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 G	200,000,000	2011/10/25	2012/04/27	50.00
68722	UBS AG - China Mobile R Bull CBBC May 2012 B	100,000,000	2011/10/25	2012/05/21	25.00
68718	UBS AG - HSI R Bull CBBC Jan 2012 S	300,000,000	2011/10/25	2012/01/30	75.00
68723	UBS AG - HSI R Bull CBBC May 2012 S	300,000,000	2011/10/25	2012/05/30	75.00
68724	UBS AG - HSI R Bull CBBC Jun 2012 L	300,000,000	2011/10/25	2012/06/28	75.00
68725	UBS AG - HSI R Bear CBBC Jan 2012 Y	300,000,000	2011/10/25	2012/01/30	75.00
68726	UBS AG - HSI R Bear CBBC Mar 2012 X	300,000,000	2011/10/25	2012/03/29	75.00
68727	UBS AG - HSI R Bear CBBC Mar 2012 Y	300,000,000	2011/10/25	2012/03/29	75.00
68729	HK Bank - HSI R Bull CBBC Jun 2012 D	100,000,000	2011/10/26	2012/06/28	25.00
68730	HK Bank - HSI R Bull CBBC Jul 2012 C	100,000,000	2011/10/26	2012/07/30	25.00
68731	HK Bank - HSI R Bull CBBC Jun 2013 A	150,000,000	2011/10/26	2013/06/27	37.50
68732	HK Bank - HSI R Bear CBBC Jun 2012 E	100,000,000	2011/10/26	2012/06/28	25.00
68733	HK Bank - HSI R Bear CBBC Jul 2012 D	100,000,000	2011/10/26	2012/07/30	25.00
68734	HK Bank - HSI R Bear CBBC Aug 2012 C	150,000,000	2011/10/26	2012/08/30	37.50
68739	Macquarie Bank Ltd. - HSCEI R Bull CBBC Apr 2012 E	200,000,000	2011/10/26	2012/04/27	50.00
68738	Macquarie Bank Ltd. - HSI R Bull CBBC Apr 2012 N	300,000,000	2011/10/26	2012/04/27	75.00
68735	CC Rabobank B.A. - HSI R Bear CBBC Apr 2012 K	150,000,000	2011/10/26	2012/04/27	37.50
68740	UBS AG - HSI R Bull CBBC Feb 2012 P	300,000,000	2011/10/26	2012/02/28	75.00
68736	UBS AG - HSI R Bear CBBC Feb 2012 M	300,000,000	2011/10/26	2012/02/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68737	UBS AG - HSI R Bear CBBC Apr 2012 K	300,000,000	2011/10/26	2012/04/27	75.00
68744	HK Bank - CC Bank R Bull CBBC Dec 2012 A	80,000,000	2011/10/27	2012/12/18	20.00
68743	HK Bank - CNOOC R Bull CBBC Oct 2012 A	80,000,000	2011/10/27	2012/10/18	24.00
68745	HK Bank - A50 R Bull CBBC Jun 2012 D	100,000,000	2011/10/27	2012/06/26	25.00
68746	HK Bank - A50 R Bull CBBC Aug 2012 C	100,000,000	2011/10/27	2012/08/28	25.00
68747	HK Bank - A50 R Bear CBBC Jun 2012 E	100,000,000	2011/10/27	2012/06/26	25.00
68742	HK Bank - Hutchison R Bull CBBC Oct 2012 A	80,000,000	2011/10/27	2012/10/18	20.00
68750	UBS AG - China Life R Bull CBBC Jun 2012 B	100,000,000	2011/10/27	2012/06/04	37.50
68751	UBS AG - China Life R Bear CBBC May 2012 D	100,000,000	2011/10/27	2012/05/21	43.00
68748	UBS AG - HSI R Bull CBBC Feb 2012 V	300,000,000	2011/10/27	2012/02/28	75.00
68749	UBS AG - HSI R Bull CBBC Feb 2012 W	300,000,000	2011/10/27	2012/02/28	75.00
68741	UBS AG - HSI R Bull CBBC Apr 2012 L	300,000,000	2011/10/27	2012/04/27	75.00
68752	UBS AG - HSI R Bear CBBC Jan 2012 D	300,000,000	2011/10/27	2012/01/30	75.00
68756	HK Bank - AIA R Bull CBBC Oct 2012 A	80,000,000	2011/10/28	2012/10/18	20.00
68758	HK Bank - AIA R Bear CBBC Jun 2012 A	80,000,000	2011/10/28	2012/06/18	20.00
68757	HK Bank - BOCL R Bull CBBC Jul 2012 A	80,000,000	2011/10/28	2012/07/18	20.00
68759	HK Bank - BOCL R Bear CBBC Jun 2012 A	80,000,000	2011/10/28	2012/06/18	20.00
68760	HK Bank - HSI R Bear CBBC Jun 2012 F	100,000,000	2011/10/28	2012/06/28	25.00
68755	HK Bank - Hutchison R Bull CBBC Jul 2012 A	80,000,000	2011/10/28	2012/07/18	20.00
68753	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 N	100,000,000	2011/10/28	2012/04/27	27.00
68754	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC Apr 2012 O	100,000,000	2011/10/28	2012/04/27	25.00
68761	UBS AG - HSI R Bull CBBC Mar 2012 S	300,000,000	2011/10/28	2012/03/29	75.00
68697#	J P Morgan SP BV - HSI R Bull CBBC Feb 2012 U	200,000,000	2011/10/28	2012/02/28	16.20
68776	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 L	200,000,000	2011/10/31	2012/04/27	50.00
68771	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 M	200,000,000	2011/10/31	2012/05/30	50.00
68772	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 Q	200,000,000	2011/10/31	2012/05/30	50.00
68773	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 R	200,000,000	2011/10/31	2012/05/30	50.00
68774	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 S	200,000,000	2011/10/31	2012/05/30	50.00
68775	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 T	200,000,000	2011/10/31	2012/05/30	50.00
68770	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 U	200,000,000	2011/10/31	2012/05/30	50.00
68763	HK Bank - ABC R Bull CBBC Oct 2012 A	80,000,000	2011/10/31	2012/10/18	20.00
68766	HK Bank - ABC R Bear CBBC Oct 2012 B	80,000,000	2011/10/31	2012/10/18	20.00
68767	HK Bank - China Life R Bear CBBC Oct 2012 A	80,000,000	2011/10/31	2012/10/18	36.00
68762	HK Bank - HKEx R Bull CBBC Nov 2012 A	80,000,000	2011/10/31	2012/11/28	20.00
68765	HK Bank - HKEx R Bear CBBC Sep 2012 A	80,000,000	2011/10/31	2012/09/18	20.00
68764	HK Bank - SHK Ppt R Bear CBBC Dec 2012 A	80,000,000	2011/10/31	2012/12/28	20.00
68782	UBS AG - China Mobile R Bear CBBC May 2012 C	100,000,000	2011/10/31	2012/05/28	25.00
68779	UBS AG - HKEx R Bear CBBC Jun 2012 A	100,000,000	2011/10/31	2012/06/04	25.00
68778	UBS AG - HSBC R Bear CBBC Jun 2012 C	100,000,000	2011/10/31	2012/06/11	25.00
68783	UBS AG - HSI R Bull CBBC Feb 2012 O	300,000,000	2011/10/31	2012/02/28	75.00
68784	UBS AG - HSI R Bull CBBC Mar 2012 G	300,000,000	2011/10/31	2012/03/29	75.00
68785	UBS AG - HSI R Bull CBBC Apr 2012 S	300,000,000	2011/10/31	2012/04/27	75.00
68768	UBS AG - HSI R Bear CBBC Feb 2012 K	300,000,000	2011/10/31	2012/02/28	75.00
68769	UBS AG - HSI R Bear CBBC Mar 2012 E	300,000,000	2011/10/31	2012/03/29	75.00
68777	UBS AG - ICBC R Bull CBBC May 2012 B	100,000,000	2011/10/31	2012/05/28	25.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68781	UBS AG - TCH R Bear CBBC May 2012 D	100,000,000	2011/10/31	2012/05/28	25.00
68780	UBS AG - TCH R Bear CBBC Jun 2012 D	100,000,000	2011/10/31	2012/06/04	28.50
68792	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Sep 2012 B	100,000,000	2011/11/01	2012/09/27	25.00
68796	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 H	200,000,000	2011/11/01	2012/04/27	50.00
68797	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 T	200,000,000	2011/11/01	2012/04/27	50.00
68787	HK Bank - HSI R Bull CBBC Aug 2012 D	100,000,000	2011/11/01	2012/08/30	25.00
68786	HK Bank - HSI R Bull CBBC Sep 2012 A	100,000,000	2011/11/01	2012/09/27	25.00
68789	HK Bank - ICBC R Bull CBBC Jul 2012 A	80,000,000	2011/11/01	2012/07/18	20.00
68788	HK Bank - PetCh R Bull CBBC Oct 2012 A	80,000,000	2011/11/01	2012/10/26	20.00
68790	HK Bank - PetCh R Bear CBBC Oct 2012 B	80,000,000	2011/11/01	2012/10/26	20.00
68793	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 A	200,000,000	2011/11/01	2012/03/29	50.00
68794	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 B	200,000,000	2011/11/01	2012/03/29	50.00
68795	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 C	200,000,000	2011/11/01	2012/03/29	50.00
68804	UBS AG - China Life R Bull CBBC May 2012 E	100,000,000	2011/11/01	2012/05/21	25.00
68803	UBS AG - China Life R Bull CBBC Jun 2012 C	100,000,000	2011/11/01	2012/06/25	30.50
68799	UBS AG - HSI R Bull CBBC Feb 2012 B	300,000,000	2011/11/01	2012/02/28	75.00
68798	UBS AG - HSI R Bull CBBC Feb 2012 Z	300,000,000	2011/11/01	2012/02/28	75.00
68800	UBS AG - HSI R Bull CBBC May 2012 T	300,000,000	2011/11/01	2012/05/30	75.00
68801	UBS AG - HSI R Bear CBBC Mar 2012 P	300,000,000	2011/11/01	2012/03/29	75.00
68791	UBS AG - HSI R Bear CBBC Apr 2012 H	300,000,000	2011/11/01	2012/04/27	75.00
68802	UBS AG - HSI R Bear CBBC Apr 2012 V	300,000,000	2011/11/01	2012/04/27	75.00
66857 [#]	HK Bank - HSI R Bear CBBC Jul 2012 B	150,000,000	2011/11/01	2012/07/30	22.50
68813	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/11/02	2012/04/27	50.00
68809	HK Bank - China Life R Bull CBBC Aug 2012 A	80,000,000	2011/11/02	2012/08/16	28.00
68808	HK Bank - China Shenhua R Bull CBBC Dec 2012 A	80,000,000	2011/11/02	2012/12/18	20.00
68811	HK Bank - China Shenhua R Bear CBBC Dec 2012 B	80,000,000	2011/11/02	2012/12/18	20.00
68807	HK Bank - TCH R Bull CBBC Aug 2012 A	80,000,000	2011/11/02	2012/08/16	32.00
68810	HK Bank - TCH R Bear CBBC Aug 2012 B	80,000,000	2011/11/02	2012/08/16	24.00
68805	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC May 2012 A	100,000,000	2011/11/02	2012/05/30	25.00
68806	UBS AG - HSI R Bull CBBC Mar 2012 J	300,000,000	2011/11/02	2012/03/29	75.00
68814	UBS AG - HSI R Bull CBBC Mar 2012 R	300,000,000	2011/11/02	2012/03/29	75.00
68815	UBS AG - HSI R Bull CBBC Jun 2012 M	300,000,000	2011/11/02	2012/06/28	75.00
68812	UBS AG - HSI R Bear CBBC Feb 2012 C	300,000,000	2011/11/02	2012/02/28	75.00
68816	UBS AG - HSI R Bear CBBC Jun 2012 N	300,000,000	2011/11/02	2012/06/28	75.00
68817	UBS AG - HSI R Bear CBBC Jul 2012 F	300,000,000	2011/11/02	2012/07/30	75.00
68828	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 E	200,000,000	2011/11/03	2012/03/29	50.00
68826	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 L	200,000,000	2011/11/03	2012/03/29	50.00
68827	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 M	200,000,000	2011/11/03	2012/03/29	50.00
68832	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 D	200,000,000	2011/11/03	2012/04/27	50.00
68829	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 E	200,000,000	2011/11/03	2012/04/27	50.00
68831	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 G	200,000,000	2011/11/03	2012/04/27	50.00
68830	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 U	200,000,000	2011/11/03	2012/04/27	50.00
68820	Macquarie Bank Ltd. - HSI R Bull CBBC May 2012 A	300,000,000	2011/11/03	2012/05/30	75.00
68821	Macquarie Bank Ltd. - HSI R Bull CBBC May 2012 B	300,000,000	2011/11/03	2012/05/30	75.00
68822	Macquarie Bank Ltd. - HSI R Bull CBBC May 2012 C	300,000,000	2011/11/03	2012/05/30	77.70

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68823	Macquarie Bank Ltd. - HSI R Bear CBBC May 2012 D	300,000,000	2011/11/03	2012/05/30	75.00
68818	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC May 2012 B	100,000,000	2011/11/03	2012/05/30	25.00
68846	UBS AG - CC Bank R Bull CBBC May 2012 F	100,000,000	2011/11/03	2012/05/14	25.00
68851	UBS AG - CC Bank R Bear CBBC Jul 2012 A	100,000,000	2011/11/03	2012/07/16	25.00
68843	UBS AG - A50 China R Bull CBBC May 2012 F	100,000,000	2011/11/03	2012/05/14	25.00
68845	UBS AG - HKEx R Bull CBBC Jun 2012 B	100,000,000	2011/11/03	2012/06/11	25.00
68849	UBS AG - HKEx R Bear CBBC Jun 2012 C	100,000,000	2011/11/03	2012/06/18	35.00
68841	UBS AG - HSCEI R Bull CBBC Jun 2012 A	100,000,000	2011/11/03	2012/06/28	25.00
68842	UBS AG - HSCEI R Bear CBBC Jun 2012 B	100,000,000	2011/11/03	2012/06/28	25.00
68833	UBS AG - HSI R Bull CBBC Feb 2012 I	300,000,000	2011/11/03	2012/02/28	75.00
68834	UBS AG - HSI R Bull CBBC Mar 2012 B	300,000,000	2011/11/03	2012/03/29	75.00
68835	UBS AG - HSI R Bull CBBC Mar 2012 L	300,000,000	2011/11/03	2012/03/29	75.00
68836	UBS AG - HSI R Bull CBBC Jun 2012 O	300,000,000	2011/11/03	2012/06/28	75.00
68837	UBS AG - HSI R Bear CBBC Feb 2012 J	300,000,000	2011/11/03	2012/02/28	75.00
68819	UBS AG - HSI R Bear CBBC Feb 2012 Q	300,000,000	2011/11/03	2012/02/28	75.00
68824	UBS AG - HSI R Bear CBBC Feb 2012 R	300,000,000	2011/11/03	2012/02/28	75.00
68825	UBS AG - HSI R Bear CBBC Apr 2012 C	300,000,000	2011/11/03	2012/04/27	75.00
68838	UBS AG - HSI R Bear CBBC Apr 2012 Z	300,000,000	2011/11/03	2012/04/27	75.00
68839	UBS AG - HSI R Bear CBBC May 2012 U	300,000,000	2011/11/03	2012/05/30	78.00
68840	UBS AG - HSI R Bear CBBC May 2012 V	300,000,000	2011/11/03	2012/05/30	75.00
68847	UBS AG - ICBC R Bull CBBC Jul 2012 A	100,000,000	2011/11/03	2012/07/16	25.00
68852	UBS AG - ICBC R Bear CBBC Jul 2012 B	100,000,000	2011/11/03	2012/07/23	25.00
68844	UBS AG - Jiangxi Copper R Bull CBBC Jun 2012 A	100,000,000	2011/11/03	2012/06/11	60.00
68848	UBS AG - Jiangxi Copper R Bear CBBC Jun 2012 B	100,000,000	2011/11/03	2012/06/18	62.00
68850	UBS AG - TCH R Bear CBBC Jul 2012 A	100,000,000	2011/11/03	2012/07/16	32.00
68868	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 F	200,000,000	2011/11/04	2012/03/29	50.00
68871	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 G	200,000,000	2011/11/04	2012/03/29	50.00
68869	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 N	200,000,000	2011/11/04	2012/03/29	50.00
68870	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 O	200,000,000	2011/11/04	2012/03/29	50.00
68876	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 H	200,000,000	2011/11/04	2012/03/29	50.00
68877	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 I	200,000,000	2011/11/04	2012/03/29	50.00
68878	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 J	200,000,000	2011/11/04	2012/03/29	50.00
68879	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 K	200,000,000	2011/11/04	2012/03/29	50.00
68872	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 D	200,000,000	2011/11/04	2012/03/29	50.00
68873	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 E	200,000,000	2011/11/04	2012/03/29	50.00
68874	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 F	200,000,000	2011/11/04	2012/03/29	50.00
68875	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 G	200,000,000	2011/11/04	2012/03/29	50.00
68853	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC May 2012 C	100,000,000	2011/11/04	2012/05/30	25.00
68862	UBS AG - China Life R Bull CBBC Jul 2012 A	100,000,000	2011/11/04	2012/07/16	28.00
68866	UBS AG - China Life R Bear CBBC Jul 2012 B	100,000,000	2011/11/04	2012/07/23	28.00
68867	UBS AG - China Life R Bear CBBC Sep 2012 A	100,000,000	2011/11/04	2012/09/17	58.00
68864	UBS AG - HKEx R Bear CBBC May 2012 E	100,000,000	2011/11/04	2012/05/21	36.00
68857	UBS AG - HSI R Bull CBBC Feb 2012 N	300,000,000	2011/11/04	2012/02/28	75.00
68858	UBS AG - HSI R Bull CBBC Mar 2012 O	300,000,000	2011/11/04	2012/03/29	75.00
68859	UBS AG - HSI R Bull CBBC Jun 2012 P	300,000,000	2011/11/04	2012/06/28	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68854	UBS AG - HSI R Bear CBBC Feb 2012 D	300,000,000	2011/11/04	2012/02/28	75.00
68855	UBS AG - HSI R Bear CBBC Mar 2012 N	300,000,000	2011/11/04	2012/03/29	75.00
68856	UBS AG - HSI R Bear CBBC May 2012 W	300,000,000	2011/11/04	2012/05/30	75.00
68860	UBS AG - Hutchison R Bull CBBC Jul 2012 A	100,000,000	2011/11/04	2012/07/16	25.00
68863	UBS AG - Hutchison R Bear CBBC Jul 2012 B	100,000,000	2011/11/04	2012/07/23	25.00
68861	UBS AG - Ping An R Bull CBBC Jul 2012 A	100,000,000	2011/11/04	2012/07/16	25.00
68865	UBS AG - Ping An R Bear CBBC Jul 2012 B	100,000,000	2011/11/04	2012/07/23	25.00
68893	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 H	200,000,000	2011/11/07	2012/03/29	50.00
68894	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 Q	200,000,000	2011/11/07	2012/03/29	50.00
68896	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 P	200,000,000	2011/11/07	2012/03/29	50.00
68895	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 R	200,000,000	2011/11/07	2012/03/29	50.00
68881	HK Bank - HSI R Bull CBBC Oct 2012 A	150,000,000	2011/11/07	2012/10/30	37.50
68882	HK Bank - HSI R Bull CBBC Oct 2012 B	150,000,000	2011/11/07	2012/10/30	37.50
68886	Macquarie Bank Ltd. - HSCEI R Bull CBBC May 2012 A	200,000,000	2011/11/07	2012/05/30	50.00
68887	Macquarie Bank Ltd. - HSCEI R Bear CBBC May 2012 B	200,000,000	2011/11/07	2012/05/30	50.00
68880	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC May 2012 D	100,000,000	2011/11/07	2012/05/30	25.00
68897	UBS AG - China Life R Bull CBBC Jun 2012 D	100,000,000	2011/11/07	2012/06/18	25.00
68890	UBS AG - CNOOC R Bear CBBC Jun 2012 A	100,000,000	2011/11/07	2012/06/25	27.00
68883	UBS AG - HSI R Bull CBBC Feb 2012 M	300,000,000	2011/11/07	2012/02/28	75.00
68885	UBS AG - HSI R Bull CBBC Apr 2012 D	300,000,000	2011/11/07	2012/04/27	75.00
68884	UBS AG - HSI R Bull CBBC Apr 2012 F	300,000,000	2011/11/07	2012/04/27	75.00
68891	UBS AG - HSI R Bear CBBC Feb 2012 S	300,000,000	2011/11/07	2012/02/28	75.00
68892	UBS AG - HSI R Bear CBBC Apr 2012 M	300,000,000	2011/11/07	2012/04/27	75.00
68888	UBS AG - HSI R Bear CBBC Jun 2012 Q	300,000,000	2011/11/07	2012/06/28	75.00
68889	UBS AG - HSI R Bear CBBC Jul 2012 G	300,000,000	2011/11/07	2012/07/30	75.00
68960	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 S	200,000,000	2011/11/08	2012/03/29	50.00
68961	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 T	200,000,000	2011/11/08	2012/03/29	50.00
68962	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 U	200,000,000	2011/11/08	2012/03/29	50.00
68934	Credit Suisse AG - ABC R Bull CBBC Jul 2012 A	100,000,000	2011/11/08	2012/07/30	25.00
68947	Credit Suisse AG - AIA R Bull CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	32.00
68946	Credit Suisse AG - AIA R Bear CBBC Mar 2012 E	100,000,000	2011/11/08	2012/03/12	45.00
68935	Credit Suisse AG - China Mobile R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68942	Credit Suisse AG - CCB R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	25.00
68941	Credit Suisse AG - CCB R Bear CBBC Apr 2012 B	100,000,000	2011/11/08	2012/04/16	25.00
68949	Credit Suisse AG - China Life R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	31.00
68948	Credit Suisse AG - China Life R Bear CBBC Mar 2012 A	100,000,000	2011/11/08	2012/03/12	29.00
68940	Credit Suisse AG - CNOOC R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	31.00
68939	Credit Suisse AG - CNOOC R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68932	Credit Suisse AG - A50 China R Bull CBBC Mar 2012 G	100,000,000	2011/11/08	2012/03/12	25.00
68933	Credit Suisse AG - A50 China R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	25.00
68943	Credit Suisse AG - HKEx R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	25.00
68944	Credit Suisse AG - HKEx R Bull CBBC May 2012 B	100,000,000	2011/11/08	2012/05/14	25.00
68945	Credit Suisse AG - HKEx R Bull CBBC May 2012 C	100,000,000	2011/11/08	2012/05/14	30.00
68936	Credit Suisse AG - HSBC R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	25.00
68918	Credit Suisse AG - HSI R Bull CBBC Apr 2012 Q	400,000,000	2011/11/08	2012/04/27	100.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68921	Credit Suisse AG - HSI R Bull CBBC Apr 2012 R	400,000,000	2011/11/08	2012/04/27	100.00
68909	Credit Suisse AG - HSI R Bull CBBC May 2012 L	400,000,000	2011/11/08	2012/05/30	100.00
68910	Credit Suisse AG - HSI R Bull CBBC May 2012 M	400,000,000	2011/11/08	2012/05/30	100.00
68911	Credit Suisse AG - HSI R Bull CBBC May 2012 N	400,000,000	2011/11/08	2012/05/30	100.00
68912	Credit Suisse AG - HSI R Bull CBBC Jun 2012 P	400,000,000	2011/11/08	2012/06/28	100.00
68914	Credit Suisse AG - HSI R Bull CBBC Jun 2012 Q	400,000,000	2011/11/08	2012/06/28	100.00
68916	Credit Suisse AG - HSI R Bull CBBC Jun 2012 R	400,000,000	2011/11/08	2012/06/28	100.00
68919	Credit Suisse AG - HSI R Bull CBBC Jun 2012 S	400,000,000	2011/11/08	2012/06/28	100.00
68922	Credit Suisse AG - HSI R Bull CBBC Jun 2012 T	400,000,000	2011/11/08	2012/06/28	100.00
68924	Credit Suisse AG - HSI R Bull CBBC Jun 2012 U	400,000,000	2011/11/08	2012/06/28	100.00
68913	Credit Suisse AG - HSI R Bull CBBC Jul 2012 J	400,000,000	2011/11/08	2012/07/30	100.00
68915	Credit Suisse AG - HSI R Bull CBBC Jul 2012 K	400,000,000	2011/11/08	2012/07/30	100.00
68917	Credit Suisse AG - HSI R Bull CBBC Jul 2012 L	400,000,000	2011/11/08	2012/07/30	100.00
68920	Credit Suisse AG - HSI R Bull CBBC Jul 2012 M	400,000,000	2011/11/08	2012/07/30	100.00
68923	Credit Suisse AG - HSI R Bull CBBC Jul 2012 N	400,000,000	2011/11/08	2012/07/30	100.00
68925	Credit Suisse AG - HSI R Bull CBBC Jul 2012 O	400,000,000	2011/11/08	2012/07/30	100.00
68906	Credit Suisse AG - HSI R Bull CBBC Aug 2012 G	400,000,000	2011/11/08	2012/08/30	100.00
68908	Credit Suisse AG - HSI R Bull CBBC Aug 2012 H	400,000,000	2011/11/08	2012/08/30	100.00
68905	Credit Suisse AG - HSI R Bull CBBC Sep 2012 A	400,000,000	2011/11/08	2012/09/27	100.00
68907	Credit Suisse AG - HSI R Bull CBBC Sep 2012 B	400,000,000	2011/11/08	2012/09/27	100.00
68958	Credit Suisse AG - HSI R Bear CBBC Feb 2012 A	400,000,000	2011/11/08	2012/02/28	100.00
68959	Credit Suisse AG - HSI R Bear CBBC Feb 2012 B	400,000,000	2011/11/08	2012/02/28	100.00
68904	Credit Suisse AG - HSI R Bear CBBC Feb 2012 L	400,000,000	2011/11/08	2012/02/28	100.00
68901	Credit Suisse AG - HSI R Bear CBBC Feb 2012 M	400,000,000	2011/11/08	2012/02/28	100.00
68902	Credit Suisse AG - HSI R Bear CBBC Feb 2012 V	400,000,000	2011/11/08	2012/02/28	100.00
68957	Credit Suisse AG - HSI R Bear CBBC Mar 2012 V	400,000,000	2011/11/08	2012/03/29	100.00
68903	Credit Suisse AG - HSI R Bear CBBC Mar 2012 Z	400,000,000	2011/11/08	2012/03/29	100.00
68938	Credit Suisse AG - Hutchison R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	25.00
68937	Credit Suisse AG - Hutchison R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68930	Credit Suisse AG - Ping An R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	25.00
68931	Credit Suisse AG - Ping An R Bull CBBC Jun 2012 B	100,000,000	2011/11/08	2012/06/18	25.00
68929	Credit Suisse AG - Ping An R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68951	Credit Suisse AG - TCH R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/28	33.00
68952	Credit Suisse AG - TCH R Bull CBBC May 2012 B	100,000,000	2011/11/08	2012/05/28	25.00
68950	Credit Suisse AG - TCH R Bear CBBC Mar 2012 B	100,000,000	2011/11/08	2012/03/12	25.00
68898	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 A	128,000,000	2011/11/08	2012/03/29	32.00
68899	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 B	180,000,000	2011/11/08	2012/03/29	45.00
68900	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 C	128,000,000	2011/11/08	2012/03/29	32.00
68927	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 D	128,000,000	2011/11/08	2012/03/29	32.00
68928	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 A	128,000,000	2011/11/08	2012/04/27	32.00
68926	UBS AG - HSI R Bull CBBC Apr 2012 K	300,000,000	2011/11/08	2012/04/27	75.00
68953	UBS AG - HSI R Bear CBBC Mar 2012 X	300,000,000	2011/11/08	2012/03/29	75.00
68955	UBS AG - HSI R Bear CBBC Apr 2012 I	300,000,000	2011/11/08	2012/04/27	75.00
68956	UBS AG - HSI R Bear CBBC Jun 2012 R	300,000,000	2011/11/08	2012/06/28	75.00
68704#	UBS AG - CC Bank R Bull CBBC Apr 2012 D	100,000,000	2011/11/08	2012/04/23	11.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68990	Credit Suisse AG - HSI R Bull CBBC Mar 2012 H	400,000,000	2011/11/09	2012/03/29	100.00
68988	Credit Suisse AG - HSI R Bull CBBC Apr 2012 N	400,000,000	2011/11/09	2012/04/27	100.00
68986	Credit Suisse AG - HSI R Bull CBBC May 2012 B	400,000,000	2011/11/09	2012/05/30	100.00
68989	Credit Suisse AG - HSI R Bull CBBC Jun 2012 V	400,000,000	2011/11/09	2012/06/28	100.00
68965	Credit Suisse AG - HSI R Bear CBBC Feb 2012 H	400,000,000	2011/11/09	2012/02/28	100.00
68967	Credit Suisse AG - HSI R Bear CBBC Feb 2012 O	400,000,000	2011/11/09	2012/02/28	100.00
68963	Credit Suisse AG - HSI R Bear CBBC Mar 2012 B	400,000,000	2011/11/09	2012/03/29	100.00
68966	Credit Suisse AG - HSI R Bear CBBC Mar 2012 D	400,000,000	2011/11/09	2012/03/29	100.00
68975	UBS AG - ABC R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/16	25.00
68976	UBS AG - AIA R Bull CBBC Jun 2012 A	100,000,000	2011/11/09	2012/06/25	25.00
68979	UBS AG - BOCL R Bull CBBC Aug 2012 A	100,000,000	2011/11/09	2012/08/20	25.00
68983	UBS AG - Cheung Kong R Bear CBBC Jun 2012 B	100,000,000	2011/11/09	2012/06/18	25.00
68977	UBS AG - China Life R Bull CBBC Aug 2012 A	100,000,000	2011/11/09	2012/08/13	25.00
68978	UBS AG - China Life R Bull CBBC Aug 2012 B	100,000,000	2011/11/09	2012/08/20	29.00
68985	UBS AG - China Life R Bear CBBC Aug 2012 C	100,000,000	2011/11/09	2012/08/06	25.00
68981	UBS AG - CNOOC R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/16	25.00
68982	UBS AG - CNOOC R Bear CBBC Jul 2012 B	100,000,000	2011/11/09	2012/07/23	25.00
68994	UBS AG - HKEX R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/23	25.00
68968	UBS AG - HSI R Bull CBBC Feb 2012 K	300,000,000	2011/11/09	2012/02/28	75.00
68991	UBS AG - HSI R Bull CBBC Mar 2012 T	300,000,000	2011/11/09	2012/03/29	75.00
68970	UBS AG - HSI R Bull CBBC Apr 2012 N	300,000,000	2011/11/09	2012/04/27	75.00
68992	UBS AG - HSI R Bull CBBC May 2012 A	300,000,000	2011/11/09	2012/05/30	75.00
68969	UBS AG - HSI R Bull CBBC May 2012 X	300,000,000	2011/11/09	2012/05/30	75.00
68971	UBS AG - HSI R Bull CBBC May 2012 Y	300,000,000	2011/11/09	2012/05/30	75.00
68972	UBS AG - HSI R Bear CBBC Feb 2012 C	300,000,000	2011/11/09	2012/02/28	75.00
68974	UBS AG - HSI R Bear CBBC Mar 2012 Y	300,000,000	2011/11/09	2012/03/29	75.00
68973	UBS AG - HSI R Bear CBBC May 2012 Z	300,000,000	2011/11/09	2012/05/30	75.00
68980	UBS AG - Petrochina R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/23	25.00
68984	UBS AG - SHK Ppt R Bear CBBC Jun 2012 A	100,000,000	2011/11/09	2012/06/25	25.00
68993	UBS AG - TCH R Bull CBBC Apr 2012 C	100,000,000	2011/11/09	2012/04/23	25.00
69005	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 V	200,000,000	2011/11/10	2012/03/29	50.00
69003	Credit Suisse AG - China Life R Bull CBBC Jun 2012 A	100,000,000	2011/11/10	2012/06/18	32.00
69002	Credit Suisse AG - A50 China R Bear CBBC Mar 2012 H	100,000,000	2011/11/10	2012/03/12	25.00
68998	Credit Suisse AG - HSI R Bull CBBC May 2012 C	400,000,000	2011/11/10	2012/05/30	100.00
69000	Credit Suisse AG - HSI R Bull CBBC May 2012 D	400,000,000	2011/11/10	2012/05/30	100.00
68999	Credit Suisse AG - HSI R Bull CBBC Jun 2012 W	400,000,000	2011/11/10	2012/06/28	100.00
69001	Credit Suisse AG - TCH R Bear CBBC Mar 2012 C	100,000,000	2011/11/10	2012/03/19	25.00
69013	UBS AG - A50 China R Bull CBBC Jun 2012 B	100,000,000	2011/11/10	2012/06/25	25.00
69014	UBS AG - HKEX R Bull CBBC Jun 2012 D	100,000,000	2011/11/10	2012/06/25	25.00
68995	UBS AG - HSI R Bull CBBC Feb 2012 I	300,000,000	2011/11/10	2012/02/28	75.00
69004	UBS AG - HSI R Bull CBBC Mar 2012 E	300,000,000	2011/11/10	2012/03/29	75.00
68996	UBS AG - HSI R Bull CBBC Mar 2012 Q	300,000,000	2011/11/10	2012/03/29	75.00
68997	UBS AG - HSI R Bull CBBC Mar 2012 U	300,000,000	2011/11/10	2012/03/29	75.00
69007	UBS AG - HSI R Bull CBBC Jun 2012 S	300,000,000	2011/11/10	2012/06/28	75.00
69008	UBS AG - HSI R Bull CBBC Jun 2012 T	300,000,000	2011/11/10	2012/06/28	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69009	UBS AG - HSI R Bull CBBC Jul 2012 H	300,000,000	2011/11/10	2012/07/30	75.00
69010	UBS AG - HSI R Bull CBBC Jul 2012 I	300,000,000	2011/11/10	2012/07/30	75.00
69011	UBS AG - HSI R Bull CBBC Aug 2012 D	300,000,000	2011/11/10	2012/08/30	75.00
69012	UBS AG - HSI R Bull CBBC Aug 2012 E	300,000,000	2011/11/10	2012/08/30	75.00
69006	UBS AG - HSI R Bear CBBC Feb 2012 Q	300,000,000	2011/11/10	2012/02/28	75.00
69015	UBS AG - Hutchison R Bull CBBC Aug 2012 A	100,000,000	2011/11/10	2012/08/13	25.00
69040	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 X	200,000,000	2011/11/11	2012/03/29	50.00
69041	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 Y	200,000,000	2011/11/11	2012/03/29	50.00
69042	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 Z	200,000,000	2011/11/11	2012/03/29	50.00
69039	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 W	200,000,000	2011/11/11	2012/03/29	50.00
69025	Credit Suisse AG - China Life R Bull CBBC Jun 2012 B	100,000,000	2011/11/11	2012/06/18	32.00
69024	Credit Suisse AG - China Life R Bear CBBC Mar 2012 B	100,000,000	2011/11/11	2012/03/12	28.00
69026	Credit Suisse AG - Ping An R Bull CBBC Jun 2012 C	100,000,000	2011/11/11	2012/06/18	25.00
69027	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 E	180,000,000	2011/11/11	2012/03/29	45.00
69028	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 F	128,000,000	2011/11/11	2012/03/29	32.00
69029	Daiwa Capital Mkt - HSI R Bull CBBC Apr 2012 B	108,000,000	2011/11/11	2012/04/27	27.00
69030	Daiwa Capital Mkt - HSI R Bull CBBC Apr 2012 C	128,000,000	2011/11/11	2012/04/27	32.00
69031	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 A	128,000,000	2011/11/11	2012/05/30	32.00
69032	UBS AG - CC Bank R Bear CBBC May 2012 G	100,000,000	2011/11/11	2012/05/28	25.00
69021	UBS AG - China Life R Bull CBBC Jul 2012 C	100,000,000	2011/11/11	2012/07/23	25.00
69019	UBS AG - China Life R Bear CBBC May 2012 F	100,000,000	2011/11/11	2012/05/28	58.00
69020	UBS AG - China Life R Bear CBBC May 2012 G	100,000,000	2011/11/11	2012/05/28	38.00
69017	UBS AG - A50 China R Bear CBBC Jul 2012 A	100,000,000	2011/11/11	2012/07/30	25.00
69018	UBS AG - A50 China R Bear CBBC Jul 2012 B	100,000,000	2011/11/11	2012/07/09	25.00
69016	UBS AG - A50 China R Bear CBBC Aug 2012 A	100,000,000	2011/11/11	2012/08/20	28.00
69034	UBS AG - HSI R Bull CBBC Feb 2012 N	300,000,000	2011/11/11	2012/02/28	75.00
69037	UBS AG - HSI R Bull CBBC Mar 2012 O	300,000,000	2011/11/11	2012/03/29	75.00
69022	UBS AG - HSI R Bear CBBC Feb 2012 R	300,000,000	2011/11/11	2012/02/28	75.00
69035	UBS AG - HSI R Bear CBBC Mar 2012 B	300,000,000	2011/11/11	2012/03/29	75.00
69036	UBS AG - HSI R Bear CBBC Mar 2012 L	300,000,000	2011/11/11	2012/03/29	75.00
69023	UBS AG - HSI R Bear CBBC Apr 2012 T	300,000,000	2011/11/11	2012/04/27	75.00
69043	UBS AG - HSI R Bear CBBC May 2012 F	300,000,000	2011/11/11	2012/05/30	75.00
69038	UBS AG - HSI R Bear CBBC Jun 2012 U	300,000,000	2011/11/11	2012/06/28	75.00
69033	UBS AG - Hutchison R Bull CBBC Aug 2012 B	100,000,000	2011/11/11	2012/08/20	25.00
68632 [#]	UBS AG - Hutchison R Bull CBBC Jun 2012 A	100,000,000	2011/11/11	2012/06/04	18.10
69047	Credit Suisse AG - AIA R Bull CBBC May 2012 A	100,000,000	2011/11/14	2012/05/21	25.00
69053	Credit Suisse AG - CCB R Bull CBBC May 2012 B	100,000,000	2011/11/14	2012/05/21	25.00
69048	Credit Suisse AG - CCB R Bear CBBC Apr 2012 C	100,000,000	2011/11/14	2012/04/16	25.00
69052	Credit Suisse AG - China Life R Bull CBBC Jun 2012 C	100,000,000	2011/11/14	2012/06/18	25.00
69045	Credit Suisse AG - China Life R Bear CBBC Mar 2012 C	100,000,000	2011/11/14	2012/03/26	50.00
69046	Credit Suisse AG - China Life R Bear CBBC Mar 2012 D	100,000,000	2011/11/14	2012/03/26	40.00
69049	Credit Suisse AG - CNOOC R Bull CBBC Jun 2012 B	100,000,000	2011/11/14	2012/06/18	25.00
69044	Credit Suisse AG - A50 China R Bear CBBC Mar 2012 I	100,000,000	2011/11/14	2012/03/26	25.00
69054	Credit Suisse AG - Hutchison R Bull CBBC Jun 2012 B	100,000,000	2011/11/14	2012/06/18	25.00
69050	Credit Suisse AG - Ping An R Bear CBBC Apr 2012 B	100,000,000	2011/11/14	2012/04/16	25.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69051	Credit Suisse AG - Ping An R Bear CBBC Apr 2012 C	100,000,000	2011/11/14	2012/04/16	25.00
69058	UBS AG - COVS R Bull CBBC Aug 2012 A	100,000,000	2011/11/14	2012/08/13	25.00
69057	UBS AG - COVS R Bear CBBC Apr 2012 A	100,000,000	2011/11/14	2012/04/23	27.00
69056	UBS AG - China Life R Bull CBBC Jul 2012 D	100,000,000	2011/11/14	2012/07/30	26.00
69055	UBS AG - China Life R Bear CBBC Mar 2012 D	100,000,000	2011/11/14	2012/03/26	65.00
69059	UBS AG - Sinopec Corp R Bull CBBC Jul 2012 A	100,000,000	2011/11/14	2012/07/23	25.00
69060	UBS AG - HSI R Bear CBBC Feb 2012 D	300,000,000	2011/11/14	2012/02/28	75.00
69064	Credit Suisse AG - China Life R Bear CBBC Apr 2012 A	100,000,000	2011/11/15	2012/04/23	65.00
69076	Credit Suisse AG - HSI R Bear CBBC Mar 2012 C	400,000,000	2011/11/15	2012/03/29	100.00
69063	Credit Suisse AG - TCH R Bear CBBC Mar 2012 D	100,000,000	2011/11/15	2012/03/19	25.00
69066	Daiwa Capital Mkt - A50 China R Bull CBBC Apr 2012 B	80,000,000	2011/11/15	2012/04/18	20.00
69067	Daiwa Capital Mkt - A50 China R Bull CBBC May 2012 A	80,000,000	2011/11/15	2012/05/15	20.00
69069	Daiwa Capital Mkt - A50 China R Bull CBBC Jun 2012 A	80,000,000	2011/11/15	2012/06/01	20.00
69065	Daiwa Capital Mkt - A50 China R Bear CBBC Apr 2012 A	80,000,000	2011/11/15	2012/04/02	20.00
69068	Daiwa Capital Mkt - A50 China R Bear CBBC May 2012 B	80,000,000	2011/11/15	2012/05/18	20.00
69070	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 L	200,000,000	2011/11/15	2012/03/29	50.00
69071	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 M	200,000,000	2011/11/15	2012/03/29	50.00
69072	J P Morgan SP BV - HSI R Bull CBBC Mar 2012 N	200,000,000	2011/11/15	2012/03/29	50.00
69073	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 O	200,000,000	2011/11/15	2012/03/29	50.00
69074	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 P	200,000,000	2011/11/15	2012/03/29	50.00
69075	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 Q	200,000,000	2011/11/15	2012/03/29	50.00
69077	UBS AG - Sinopec Corp R Bear CBBC Apr 2012 B	100,000,000	2011/11/15	2012/04/16	25.00
69062	UBS AG - HSI R Bear CBBC Apr 2012 H	300,000,000	2011/11/15	2012/04/27	75.00
69061	UBS AG - TCH R Bear CBBC Apr 2012 D	100,000,000	2011/11/15	2012/04/16	25.00
69120	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 A	200,000,000	2011/11/16	2012/03/29	50.00
69121	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 B	200,000,000	2011/11/16	2012/03/29	50.00
69122	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 C	200,000,000	2011/11/16	2012/03/29	50.00
69119	Credit Suisse AG - CNOOC R Bear CBBC Apr 2012 B	100,000,000	2011/11/16	2012/04/16	25.00
69117	Credit Suisse AG - HSI R Bull CBBC May 2012 O	400,000,000	2011/11/16	2012/05/30	100.00
69118	Credit Suisse AG - HSI R Bull CBBC Jun 2012 X	400,000,000	2011/11/16	2012/06/28	100.00
69083	Credit Suisse AG - HSI R Bear CBBC Mar 2012 P	400,000,000	2011/11/16	2012/03/29	100.00
69123	Daiwa Capital Mkt - HSCEI R Bull CBBC Apr 2012 A	98,000,000	2011/11/16	2012/04/27	24.50
69124	Daiwa Capital Mkt - HSCEI R Bull CBBC May 2012 A	98,000,000	2011/11/16	2012/05/30	24.50
69125	Daiwa Capital Mkt - HSCEI R Bull CBBC May 2012 B	98,000,000	2011/11/16	2012/05/30	24.50
69126	Daiwa Capital Mkt - HSCEI R Bear CBBC Apr 2012 B	98,000,000	2011/11/16	2012/04/27	24.50
69127	Daiwa Capital Mkt - HSCEI R Bear CBBC May 2012 C	98,000,000	2011/11/16	2012/05/30	24.50
69128	Daiwa Capital Mkt - HSCEI R Bear CBBC May 2012 D	98,000,000	2011/11/16	2012/05/30	24.50
69129	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 G	128,000,000	2011/11/16	2012/03/29	32.00
69130	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 D	128,000,000	2011/11/16	2012/04/27	32.00
69131	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 E	180,000,000	2011/11/16	2012/04/27	45.00
69132	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 F	128,000,000	2011/11/16	2012/04/27	32.00
69133	Daiwa Capital Mkt - HSI R Bear CBBC May 2012 B	180,000,000	2011/11/16	2012/05/30	45.00
69079	HK Bank - Sinopec Corp R Bull CBBC Oct 2012 A	80,000,000	2011/11/16	2012/10/18	20.00
69078	HK Bank - Sinopec Corp R Bear CBBC Apr 2012 A	80,000,000	2011/11/16	2012/04/18	20.00
69081	HK Bank - A50 R Bull CBBC Jul 2012 B	100,000,000	2011/11/16	2012/07/26	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
69080	HK Bank - A50 R Bear CBBC May 2012 A	100,000,000	2011/11/16	2012/05/30	25.00
69108	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12A	300,000,000	2011/11/16	2012/03/29	75.00
69109	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12B	300,000,000	2011/11/16	2012/03/29	75.00
69099	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12C	300,000,000	2011/11/16	2012/03/29	75.00
69100	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12D	300,000,000	2011/11/16	2012/03/29	75.00
69101	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12E	300,000,000	2011/11/16	2012/03/29	75.00
69102	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12F	300,000,000	2011/11/16	2012/03/29	75.00
69110	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12G	300,000,000	2011/11/16	2012/03/29	75.00
69111	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12H	300,000,000	2011/11/16	2012/03/29	75.00
69112	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12I	300,000,000	2011/11/16	2012/03/29	75.00
69113	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12K	300,000,000	2011/11/16	2012/03/29	75.00
69095	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12L	300,000,000	2011/11/16	2012/03/29	75.00
69103	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12N	300,000,000	2011/11/16	2012/03/29	75.00
69104	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12O	300,000,000	2011/11/16	2012/03/29	75.00
69105	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12P	300,000,000	2011/11/16	2012/03/29	75.00
69106	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12Q	300,000,000	2011/11/16	2012/03/29	75.00
69096	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12R	300,000,000	2011/11/16	2012/03/29	81.00
69097	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12S	300,000,000	2011/11/16	2012/03/29	78.00
69098	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12T	300,000,000	2011/11/16	2012/03/29	75.00
69107	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12U	300,000,000	2011/11/16	2012/03/29	75.00
69089	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12A	300,000,000	2011/11/16	2012/04/27	91.50
69084	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12G	300,000,000	2011/11/16	2012/04/27	127.50
69090	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12J	300,000,000	2011/11/16	2012/04/27	106.50
69085	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12K	300,000,000	2011/11/16	2012/04/27	124.50
69091	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12L	300,000,000	2011/11/16	2012/04/27	103.50
69092	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12M	300,000,000	2011/11/16	2012/04/27	82.50
69093	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12O	300,000,000	2011/11/16	2012/04/27	96.00
69094	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12P	300,000,000	2011/11/16	2012/04/27	93.00
69086	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12Q	300,000,000	2011/11/16	2012/04/27	100.50
69087	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12V	300,000,000	2011/11/16	2012/04/27	117.00
69088	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Apr 12W	300,000,000	2011/11/16	2012/04/27	114.00
69115	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12M	300,000,000	2011/11/16	2012/03/29	75.00
69116	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12V	300,000,000	2011/11/16	2012/03/29	75.00
69134	UBS AG - China Life R Bull CBBC Aug 2012 D	100,000,000	2011/11/16	2012/08/27	25.00
69135	UBS AG - HSI R Bull CBBC Mar 2012 X	300,000,000	2011/11/16	2012/03/29	75.00
69082	UBS AG - HSI R Bear CBBC Feb 2012 S	300,000,000	2011/11/16	2012/02/28	75.00
69194	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 D	200,000,000	2011/11/17	2012/03/29	50.00
69198	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 E	200,000,000	2011/11/17	2012/03/29	50.00
69195	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 I	200,000,000	2011/11/17	2012/03/29	50.00
69196	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 J	200,000,000	2011/11/17	2012/03/29	50.00
69197	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 K	200,000,000	2011/11/17	2012/03/29	50.00
69152	Credit Suisse AG - China Life R Bear CBBC Mar 2012 E	100,000,000	2011/11/17	2012/03/26	25.00
69173	Credit Suisse AG - Sinopec Corp R Bull CBBC Jul 2012 A	100,000,000	2011/11/17	2012/07/03	25.00
69172	Credit Suisse AG - Sinopec Corp R Bear CBBC Feb 2012 A	100,000,000	2011/11/17	2012/02/27	25.00
69151	Credit Suisse AG - HSBC R Bear CBBC Apr 2012 A	100,000,000	2011/11/17	2012/04/23	25.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69156	Credit Suisse AG - HSI R Bull CBBC Mar 2012 A	400,000,000	2011/11/17	2012/03/29	100.00
69158	Credit Suisse AG - HSI R Bull CBBC Mar 2012 E	400,000,000	2011/11/17	2012/03/29	100.00
69157	Credit Suisse AG - HSI R Bull CBBC May 2012 P	400,000,000	2011/11/17	2012/05/30	100.00
69155	Credit Suisse AG - HSI R Bull CBBC Jun 2012 Y	400,000,000	2011/11/17	2012/06/28	100.00
69153	Credit Suisse AG - HSI R Bear CBBC Feb 2012 C	400,000,000	2011/11/17	2012/02/28	100.00
69154	Credit Suisse AG - HSI R Bear CBBC Feb 2012 D	400,000,000	2011/11/17	2012/02/28	100.00
69182	Credit Suisse AG - HSI R Bear CBBC Feb 2012 E	400,000,000	2011/11/17	2012/02/28	100.00
69168	Credit Suisse AG - HSI R Bear CBBC Feb 2012 G	400,000,000	2011/11/17	2012/02/28	100.00
69169	Credit Suisse AG - HSI R Bear CBBC Feb 2012 T	400,000,000	2011/11/17	2012/02/28	100.00
69170	Credit Suisse AG - HSI R Bear CBBC Feb 2012 U	400,000,000	2011/11/17	2012/02/28	100.00
69171	Credit Suisse AG - HSI R Bear CBBC Feb 2012 X	400,000,000	2011/11/17	2012/02/28	100.00
69150	Credit Suisse AG - TCH R Bear CBBC Apr 2012 A	100,000,000	2011/11/17	2012/04/23	25.00
69177	Daiwa Capital Mkt - HSI R Bull CBBC Apr 2012 G	180,000,000	2011/11/17	2012/04/27	45.00
69178	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 C	128,000,000	2011/11/17	2012/05/30	38.40
69179	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 D	128,000,000	2011/11/17	2012/05/30	32.00
69180	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 E	128,000,000	2011/11/17	2012/05/30	32.00
69181	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 F	128,000,000	2011/11/17	2012/05/30	32.00
69189	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 H	128,000,000	2011/11/17	2012/03/29	32.00
69190	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 I	128,000,000	2011/11/17	2012/03/29	32.00
69191	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 J	180,000,000	2011/11/17	2012/03/29	45.00
69192	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 H	128,000,000	2011/11/17	2012/04/27	32.00
69193	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 I	128,000,000	2011/11/17	2012/04/27	32.00
69186	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 R	200,000,000	2011/11/17	2012/03/29	50.00
69187	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 S	200,000,000	2011/11/17	2012/03/29	50.00
69188	J P Morgan SP BV - HSI R Bear CBBC Mar 2012 T	200,000,000	2011/11/17	2012/03/29	50.00
69136	Merrill Lynch Int'l & Co. C.V. - HSI R Bull CBBC May 2012 E	40,000,000	2011/11/17	2012/05/30	10.00
69137	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12W	300,000,000	2011/11/17	2012/03/29	75.00
69138	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12A	300,000,000	2011/11/17	2012/05/30	105.00
69139	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12B	300,000,000	2011/11/17	2012/05/30	129.00
69140	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12C	300,000,000	2011/11/17	2012/05/30	133.50
69141	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12D	300,000,000	2011/11/17	2012/05/30	114.00
69142	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12E	300,000,000	2011/11/17	2012/05/30	141.00
69143	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12F	300,000,000	2011/11/17	2012/05/30	144.00
69159	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12G	300,000,000	2011/11/17	2012/05/30	75.00
69160	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12H	300,000,000	2011/11/17	2012/05/30	75.00
69161	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12I	300,000,000	2011/11/17	2012/05/30	75.00
69162	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12J	300,000,000	2011/11/17	2012/05/30	75.00
69163	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12K	300,000,000	2011/11/17	2012/05/30	75.00
69164	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12L	300,000,000	2011/11/17	2012/05/30	75.00
69165	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12M	300,000,000	2011/11/17	2012/05/30	75.00
69166	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12N	300,000,000	2011/11/17	2012/05/30	75.00
69167	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12O	300,000,000	2011/11/17	2012/05/30	75.00
69175	UBS AG - HSCEI R Bull CBBC Sep 2012 A	100,000,000	2011/11/17	2012/09/27	25.00
69174	UBS AG - HSCEI R Bear CBBC Mar 2012 A	100,000,000	2011/11/17	2012/03/29	25.00
69144	UBS AG - HSI R Bull CBBC Feb 2012 I	300,000,000	2011/11/17	2012/02/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69176	UBS AG - HSI R Bull CBBC Mar 2012 E	300,000,000	2011/11/17	2012/03/29	75.00
69145	UBS AG - HSI R Bull CBBC Mar 2012 N	300,000,000	2011/11/17	2012/03/29	75.00
69146	UBS AG - HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/11/17	2012/03/29	75.00
69147	UBS AG - HSI R Bull CBBC Apr 2012 C	300,000,000	2011/11/17	2012/04/27	75.00
69148	UBS AG - HSI R Bull CBBC Apr 2012 D	300,000,000	2011/11/17	2012/04/27	75.00
69183	UBS AG - HSI R Bear CBBC Feb 2012 K	300,000,000	2011/11/17	2012/02/28	75.00
69184	UBS AG - HSI R Bear CBBC Feb 2012 M	300,000,000	2011/11/17	2012/02/28	75.00
69185	UBS AG - HSI R Bear CBBC Mar 2012 R	300,000,000	2011/11/17	2012/03/29	75.00
69149	UBS AG - TCH R Bull CBBC Jun 2012 E	100,000,000	2011/11/17	2012/06/11	45.00
69213	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 L	200,000,000	2011/11/18	2012/03/29	50.00
69214	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 D	200,000,000	2011/11/18	2012/04/27	50.00
69216	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 G	200,000,000	2011/11/18	2012/03/29	50.00
69215	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 M	200,000,000	2011/11/18	2012/03/29	50.00
69217	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 N	200,000,000	2011/11/18	2012/03/29	50.00
69218	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 Z	200,000,000	2011/11/18	2012/04/27	50.00
69210	Credit Suisse AG - A50 China R Bear CBBC Mar 2012 J	100,000,000	2011/11/18	2012/03/26	25.00
69208	Credit Suisse AG - HSI R Bull CBBC May 2012 K	400,000,000	2011/11/18	2012/05/30	100.00
69199	Credit Suisse AG - HSI R Bear CBBC Mar 2012 F	400,000,000	2011/11/18	2012/03/29	100.00
69200	Credit Suisse AG - HSI R Bear CBBC Mar 2012 G	400,000,000	2011/11/18	2012/03/29	100.00
69201	Credit Suisse AG - HSI R Bear CBBC Mar 2012 N	400,000,000	2011/11/18	2012/03/29	100.00
69211	Credit Suisse AG - HSI R Bear CBBC Mar 2012 O	400,000,000	2011/11/18	2012/03/29	100.00
69209	Credit Suisse AG - TCH R Bear CBBC Mar 2012 E	100,000,000	2011/11/18	2012/03/26	25.00
69204	HK Bank - China Mobile R Bull CBBC Jul 2012 B	80,000,000	2011/11/18	2012/07/26	20.00
69205	HK Bank - China Mobile R Bear CBBC Apr 2012 B	80,000,000	2011/11/18	2012/04/26	20.00
69202	HK Bank - CC Bank R Bull CBBC Jul 2012 A	80,000,000	2011/11/18	2012/07/26	20.00
69203	HK Bank - CC Bank R Bear CBBC May 2012 A	80,000,000	2011/11/18	2012/05/18	20.00
69206	UBS AG - HSI R Bear CBBC Mar 2012 T	300,000,000	2011/11/18	2012/03/29	75.00
69207	UBS AG - HSI R Bear CBBC Mar 2012 U	300,000,000	2011/11/18	2012/03/29	75.00
69212	UBS AG - HSI R Bear CBBC Jun 2012 V	300,000,000	2011/11/18	2012/06/28	75.00
69239	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 F	200,000,000	2011/11/21	2012/03/29	50.00
69238	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 O	200,000,000	2011/11/21	2012/03/29	50.00
69240	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 S	200,000,000	2011/11/21	2012/03/29	50.00
69237	Credit Suisse AG - HSI R Bull CBBC Apr 2012 S	400,000,000	2011/11/21	2012/04/27	100.00
69236	Credit Suisse AG - HSI R Bull CBBC Jun 2012 A	400,000,000	2011/11/21	2012/06/28	100.00
69235	Credit Suisse AG - HSI R Bull CBBC Jul 2012 R	400,000,000	2011/11/21	2012/07/30	100.00
69231	Credit Suisse AG - HSI R Bear CBBC Feb 2012 K	400,000,000	2011/11/21	2012/02/28	100.00
69234	Credit Suisse AG - HSI R Bear CBBC Feb 2012 R	400,000,000	2011/11/21	2012/02/28	100.00
69230	Credit Suisse AG - HSI R Bear CBBC Mar 2012 L	400,000,000	2011/11/21	2012/03/29	102.00
69232	Credit Suisse AG - HSI R Bear CBBC Mar 2012 M	400,000,000	2011/11/21	2012/03/29	100.00
69233	Credit Suisse AG - HSI R Bear CBBC Mar 2012 Q	400,000,000	2011/11/21	2012/03/29	100.00
69228	Credit Suisse AG - HSI R Bear CBBC Jun 2012 Z	400,000,000	2011/11/21	2012/06/28	124.00
69227	Credit Suisse AG - HSI R Bear CBBC Jul 2012 P	400,000,000	2011/11/21	2012/07/30	128.00
69229	Credit Suisse AG - HSI R Bear CBBC Jul 2012 Q	400,000,000	2011/11/21	2012/07/30	116.00
69219	HK Bank - Sinopec Corp R Bull CBBC Nov 2012 A	80,000,000	2011/11/21	2012/11/28	20.00
69220	HK Bank - Sinopec Corp R Bear CBBC Jul 2012 B	80,000,000	2011/11/21	2012/07/26	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69221	HK Bank - ICBC R Bull CBBC Aug 2012 A	80,000,000	2011/11/21	2012/08/28	20.00
69222	HK Bank - ICBC R Bear CBBC Apr 2012 A	80,000,000	2011/11/21	2012/04/26	20.00
69243	UBS AG - Sinopec Corp R Bull CBBC Jun 2012 A	100,000,000	2011/11/21	2012/06/25	25.00
69242	UBS AG - HKEx R Bear CBBC Jun 2012 E	100,000,000	2011/11/21	2012/06/25	25.00
69244	UBS AG - HSBC R Bear CBBC Jun 2012 D	100,000,000	2011/11/21	2012/06/04	25.00
69245	UBS AG - HSI R Bull CBBC May 2012 H	300,000,000	2011/11/21	2012/05/30	75.00
69246	UBS AG - HSI R Bull CBBC Jun 2012 W	300,000,000	2011/11/21	2012/06/28	75.00
69223	UBS AG - HSI R Bear CBBC Mar 2012 O	300,000,000	2011/11/21	2012/03/29	75.00
69226	UBS AG - HSI R Bear CBBC May 2012 G	300,000,000	2011/11/21	2012/05/30	75.00
69241	UBS AG - TCH R Bear CBBC Jun 2012 F	100,000,000	2011/11/21	2012/06/25	25.00
69250	Credit Suisse AG - HKEx R Bear CBBC Mar 2012 B	100,000,000	2011/11/22	2012/03/19	25.00
69247	Credit Suisse AG - HSBC R Bull CBBC Jun 2012 B	100,000,000	2011/11/22	2012/06/18	25.00
69249	Credit Suisse AG - HSI R Bull CBBC Jun 2012 I	400,000,000	2011/11/22	2012/06/28	100.00
69248	Credit Suisse AG - HSI R Bear CBBC Feb 2012 Z	400,000,000	2011/11/22	2012/02/28	100.00
69258	Credit Suisse AG - TCH R Bear CBBC Apr 2012 B	100,000,000	2011/11/22	2012/04/23	25.00
69253	HK Bank - China Life R Bull CBBC Jul 2012 D	80,000,000	2011/11/22	2012/07/26	36.00
69255	HK Bank - HSI R Bull CBBC Jun 2012 G	100,000,000	2011/11/22	2012/06/28	25.00
69254	HK Bank - HSI R Bull CBBC Jul 2012 E	100,000,000	2011/11/22	2012/07/30	25.00
69256	HK Bank - HSI R Bear CBBC Apr 2012 F	100,000,000	2011/11/22	2012/04/27	25.00
69251	HK Bank - PetCh R Bull CBBC Nov 2012 A	80,000,000	2011/11/22	2012/11/28	20.00
69252	HK Bank - PetCh R Bear CBBC Apr 2012 A	80,000,000	2011/11/22	2012/04/18	20.00
69257	UBS AG - HSI R Bear CBBC Jun 2012 X	300,000,000	2011/11/22	2012/06/28	75.00
69259	UBS AG - ICBC R Bear CBBC Jul 2012 C	100,000,000	2011/11/22	2012/07/16	25.00
69282	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 T	200,000,000	2011/11/23	2012/03/29	50.00
69283	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 U	200,000,000	2011/11/23	2012/03/29	50.00
69272	Credit Suisse AG - AIA R Bear CBBC Mar 2012 F	100,000,000	2011/11/23	2012/03/26	27.00
69271	Credit Suisse AG - China Life R Bear CBBC Mar 2012 F	100,000,000	2011/11/23	2012/03/26	25.00
69268	Credit Suisse AG - CNOOC R Bear CBBC Apr 2012 C	100,000,000	2011/11/23	2012/04/23	25.00
69270	Credit Suisse AG - HSBC R Bear CBBC Apr 2012 B	100,000,000	2011/11/23	2012/04/23	25.00
69277	Credit Suisse AG - HSI R Bull CBBC Jun 2012 H	400,000,000	2011/11/23	2012/06/28	100.00
69261	Credit Suisse AG - HSI R Bull CBBC Jul 2012 S	400,000,000	2011/11/23	2012/07/30	100.00
69278	Credit Suisse AG - HSI R Bear CBBC Mar 2012 T	400,000,000	2011/11/23	2012/03/29	100.00
69279	Daiwa Capital Mkt - HSCEI R Bear CBBC May 2012 E	98,000,000	2011/11/23	2012/05/30	24.50
69280	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 G	128,000,000	2011/11/23	2012/05/30	34.56
69281	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 A	128,000,000	2011/11/23	2012/06/28	39.68
69265	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12A	300,000,000	2011/11/23	2012/03/29	75.00
69267	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12B	300,000,000	2011/11/23	2012/03/29	75.00
69273	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12F	300,000,000	2011/11/23	2012/03/29	75.00
69276	UBS AG - HSI R Bull CBBC Apr 2012 M	300,000,000	2011/11/23	2012/04/27	75.00
69264	UBS AG - HSI R Bull CBBC Jun 2012 Y	300,000,000	2011/11/23	2012/06/28	75.00
69284	UBS AG - HSI R Bull CBBC Jun 2012 Z	300,000,000	2011/11/23	2012/06/28	75.00
69262	UBS AG - HSI R Bull CBBC Jul 2012 J	300,000,000	2011/11/23	2012/07/30	75.00
69285	UBS AG - HSI R Bull CBBC Jul 2012 K	300,000,000	2011/11/23	2012/07/30	84.00
69286	UBS AG - HSI R Bull CBBC Jul 2012 L	300,000,000	2011/11/23	2012/07/30	75.00
69287	UBS AG - HSI R Bull CBBC Aug 2012 F	300,000,000	2011/11/23	2012/08/30	93.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69288	UBS AG - HSI R Bull CBBC Aug 2012 G	300,000,000	2011/11/23	2012/08/30	75.00
69275	UBS AG - TCH R Bull CBBC Jun 2012 G	100,000,000	2011/11/23	2012/06/18	25.00
69274	UBS AG - TCH R Bull CBBC Jul 2012 B	100,000,000	2011/11/23	2012/07/16	29.50
68730#	HK Bank - HSI R Bull CBBC Jul 2012 C	150,000,000	2011/11/23	2012/07/30	22.95
69306	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 R	200,000,000	2011/11/24	2012/03/29	50.00
69307	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 H	200,000,000	2011/11/24	2012/03/29	50.00
69308	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 Q	200,000,000	2011/11/24	2012/03/29	50.00
69309	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 X	200,000,000	2011/11/24	2012/03/29	50.00
69310	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 Y	200,000,000	2011/11/24	2012/03/29	50.00
69294	Credit Suisse AG - HSI R Bull CBBC Apr 2012 T	400,000,000	2011/11/24	2012/04/27	100.00
69303	Credit Suisse AG - HSI R Bear CBBC Feb 2012 F	400,000,000	2011/11/24	2012/02/28	100.00
69300	Credit Suisse AG - HSI R Bear CBBC Apr 2012 K	400,000,000	2011/11/24	2012/04/27	100.00
69302	Credit Suisse AG - ICBC R Bull CBBC Jun 2012 A	100,000,000	2011/11/24	2012/06/28	25.00
69301	Credit Suisse AG - ICBC R Bear CBBC Apr 2012 A	100,000,000	2011/11/24	2012/04/23	25.00
69299	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 K	128,000,000	2011/11/24	2012/03/29	32.00
69292	HK Bank - CC Bank R Bull CBBC Aug 2012 B	80,000,000	2011/11/24	2012/08/28	20.00
69293	HK Bank - CC Bank R Bear CBBC Apr 2012 A	80,000,000	2011/11/24	2012/04/26	20.00
69291	HK Bank - ICBC R Bull CBBC Dec 2012 A	80,000,000	2011/11/24	2012/12/18	20.00
69290	HK Bank - ICBC R Bear CBBC Apr 2012 B	80,000,000	2011/11/24	2012/04/26	20.00
69289	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC May 2012 F	40,000,000	2011/11/24	2012/05/30	10.00
69295	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12G	300,000,000	2011/11/24	2012/03/29	75.00
69296	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12P	300,000,000	2011/11/24	2012/05/30	75.00
69298	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12Q	300,000,000	2011/11/24	2012/05/30	75.00
69305	UBS AG - COVS R Bull CBBC Aug 2012 B	100,000,000	2011/11/24	2012/08/13	25.00
69297	UBS AG - HSI R Bull CBBC Feb 2012 N	300,000,000	2011/11/24	2012/02/28	75.00
69304	UBS AG - HSI R Bear CBBC Apr 2012 K	300,000,000	2011/11/24	2012/04/27	75.00
69344	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 H	200,000,000	2011/11/25	2012/04/27	50.00
69345	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 T	200,000,000	2011/11/25	2012/04/27	50.00
69325	Credit Suisse AG - China Life R Bear CBBC Apr 2012 B	100,000,000	2011/11/25	2012/04/10	25.00
69323	Credit Suisse AG - CNOOC R Bear CBBC Apr 2012 D	100,000,000	2011/11/25	2012/04/10	25.00
69324	Credit Suisse AG - HKEx R Bear CBBC Mar 2012 C	100,000,000	2011/11/25	2012/03/26	25.00
69319	Credit Suisse AG - HSI R Bull CBBC May 2012 L	400,000,000	2011/11/25	2012/05/30	100.00
69320	Credit Suisse AG - HSI R Bull CBBC Jun 2012 F	400,000,000	2011/11/25	2012/06/28	100.00
69327	Credit Suisse AG - HSI R Bear CBBC Feb 2012 Q	400,000,000	2011/11/25	2012/02/28	100.00
69328	Credit Suisse AG - HSI R Bear CBBC Mar 2012 I	400,000,000	2011/11/25	2012/03/29	100.00
69329	Credit Suisse AG - HSI R Bear CBBC Mar 2012 K	400,000,000	2011/11/25	2012/03/29	100.00
69326	Credit Suisse AG - Ping An R Bear CBBC Apr 2012 D	100,000,000	2011/11/25	2012/04/16	25.00
69333	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 L	128,000,000	2011/11/25	2012/03/29	32.00
69315	HK Bank - ABC R Bear CBBC Apr 2012 A	80,000,000	2011/11/25	2012/04/18	20.00
69314	HK Bank - China Life R Bear CBBC Mar 2012 A	80,000,000	2011/11/25	2012/03/28	20.00
69318	HK Bank - Hutchison R Bull CBBC Aug 2012 A	80,000,000	2011/11/25	2012/08/28	20.00
69316	HK Bank - Hutchison R Bear CBBC Jun 2012 C	80,000,000	2011/11/25	2012/06/18	20.00
69317	HK Bank - Hutchison R Bear CBBC Jul 2012 B	80,000,000	2011/11/25	2012/07/18	20.00
69331	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 A	200,000,000	2011/11/25	2012/04/27	50.00
69332	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 B	200,000,000	2011/11/25	2012/04/27	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69311	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12H	300,000,000	2011/11/25	2012/03/29	75.00
69312	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12I	300,000,000	2011/11/25	2012/03/29	75.00
69313	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12K	300,000,000	2011/11/25	2012/03/29	75.00
69330	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12R	300,000,000	2011/11/25	2012/05/30	75.00
69339	UBS AG - China Life R Bear CBBC Jun 2012 E	100,000,000	2011/11/25	2012/06/25	27.00
69341	UBS AG - China Life R Bear CBBC Jul 2012 E	100,000,000	2011/11/25	2012/07/16	37.00
69340	UBS AG - CNOOC R Bear CBBC Jul 2012 C	100,000,000	2011/11/25	2012/07/09	25.00
69337	UBS AG - A50 China R Bear CBBC Jun 2012 C	100,000,000	2011/11/25	2012/06/18	25.00
69321	UBS AG - HSI R Bull CBBC Feb 2012 Q	300,000,000	2011/11/25	2012/02/28	75.00
69322	UBS AG - HSI R Bull CBBC Mar 2012 J	300,000,000	2011/11/25	2012/03/29	75.00
69334	UBS AG - HSI R Bear CBBC Feb 2012 B	300,000,000	2011/11/25	2012/02/28	75.00
69335	UBS AG - HSI R Bear CBBC Feb 2012 I	300,000,000	2011/11/25	2012/02/28	75.00
69336	UBS AG - HSI R Bear CBBC Mar 2012 X	300,000,000	2011/11/25	2012/03/29	75.00
69343	UBS AG - ICBC R Bull CBBC Jul 2012 D	100,000,000	2011/11/25	2012/07/16	25.00
69338	UBS AG - ICBC R Bear CBBC Jun 2012 A	100,000,000	2011/11/25	2012/06/25	25.00
69342	UBS AG - TCH R Bear CBBC Jul 2012 C	100,000,000	2011/11/25	2012/07/16	25.00
69373	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 D	200,000,000	2011/11/28	2012/04/27	50.00
69372	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 G	200,000,000	2011/11/28	2012/04/27	50.00
69374	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2012 T	200,000,000	2011/11/28	2012/05/30	50.00
69358	Credit Suisse AG - AIA R Bear CBBC Apr 2012 B	100,000,000	2011/11/28	2012/04/23	25.00
69357	Credit Suisse AG - China Life R Bear CBBC Apr 2012 C	100,000,000	2011/11/28	2012/04/10	25.00
69354	Credit Suisse AG - HSI R Bull CBBC May 2012 B	400,000,000	2011/11/28	2012/05/30	100.00
69355	Credit Suisse AG - HSI R Bull CBBC Jun 2012 G	400,000,000	2011/11/28	2012/06/28	100.00
69361	Credit Suisse AG - HSI R Bear CBBC Feb 2012 W	400,000,000	2011/11/28	2012/02/28	100.00
69360	Credit Suisse AG - HSI R Bear CBBC Mar 2012 J	400,000,000	2011/11/28	2012/03/29	100.00
69359	Credit Suisse AG - HSI R Bear CBBC Mar 2012 Y	400,000,000	2011/11/28	2012/03/29	100.00
69356	Credit Suisse AG - TCH R Bull CBBC May 2012 C	100,000,000	2011/11/28	2012/05/28	25.00
69347	Daiwa Capital Mkt - HSI R Bull CBBC Apr 2012 J	128,000,000	2011/11/28	2012/04/27	32.00
69369	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 K	128,000,000	2011/11/28	2012/04/27	32.00
69371	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 C	200,000,000	2011/11/28	2012/04/27	50.00
69349	Macquarie Bank Ltd. - HSCEI R Bear CBBC May 2012 C	200,000,000	2011/11/28	2012/05/30	50.00
69348	Macquarie Bank Ltd. - HSI R Bear CBBC May 2012 E	200,000,000	2011/11/28	2012/05/30	50.00
69364	Merrill Lynch Int'l & Co. C.V. - HSI R Bear CBBC May 2012 G	40,000,000	2011/11/28	2012/05/30	10.00
69346	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12N	300,000,000	2011/11/28	2012/03/29	75.00
69362	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12S	300,000,000	2011/11/28	2012/05/30	75.00
69363	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12T	300,000,000	2011/11/28	2012/05/30	75.00
69353	UBS AG - HSI R Bull CBBC Feb 2012 O	300,000,000	2011/11/28	2012/02/28	75.00
69351	UBS AG - HSI R Bull CBBC Mar 2012 E	300,000,000	2011/11/28	2012/03/29	75.00
69366	UBS AG - HSI R Bear CBBC Feb 2012 P	300,000,000	2011/11/28	2012/02/28	75.00
69365	UBS AG - HSI R Bear CBBC Feb 2012 Z	300,000,000	2011/11/28	2012/02/28	75.00
69367	UBS AG - HSI R Bear CBBC Mar 2012 N	300,000,000	2011/11/28	2012/03/29	75.00
69368	UBS AG - HSI R Bear CBBC Jul 2012 M	300,000,000	2011/11/28	2012/07/30	75.00
69370	UBS AG - Hutchison R Bear CBBC Aug 2012 C	100,000,000	2011/11/28	2012/08/13	25.00
69397	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 L	200,000,000	2011/11/29	2012/04/27	50.00
69398	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 U	200,000,000	2011/11/29	2012/04/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69399	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2012 R	200,000,000	2011/11/29	2012/05/30	50.00
69400	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2012 S	200,000,000	2011/11/29	2012/05/30	50.00
69387	Credit Suisse AG - HSI R Bull CBBC Jun 2012 P	400,000,000	2011/11/29	2012/06/28	100.00
69386	Credit Suisse AG - HSI R Bear CBBC Mar 2012 R	400,000,000	2011/11/29	2012/03/29	100.00
69401	Daiwa Capital Mkt - A50 China R Bull CBBC May 2012 C	80,000,000	2011/11/29	2012/05/02	20.00
69402	Daiwa Capital Mkt - A50 China R Bull CBBC Jun 2012 B	80,000,000	2011/11/29	2012/06/01	20.00
69403	Daiwa Capital Mkt - A50 China R Bear CBBC Mar 2012 A	80,000,000	2011/11/29	2012/03/15	20.00
69404	Daiwa Capital Mkt - A50 China R Bear CBBC Apr 2012 C	80,000,000	2011/11/29	2012/04/02	20.00
69405	Daiwa Capital Mkt - HSCEI R Bull CBBC May 2012 F	98,000,000	2011/11/29	2012/05/30	24.50
69406	Daiwa Capital Mkt - HSCEI R Bull CBBC May 2012 G	98,000,000	2011/11/29	2012/05/30	24.50
69407	Daiwa Capital Mkt - HSCEI R Bear CBBC Apr 2012 C	98,000,000	2011/11/29	2012/04/27	24.50
69378	HK Bank - HSI R Bear CBBC Apr 2012 G	200,000,000	2011/11/29	2012/04/27	50.00
69379	HK Bank - HSI R Bear CBBC Apr 2012 H	200,000,000	2011/11/29	2012/04/27	50.00
69380	CC Rabobank B.A. - HSI R Bull CBBC Jun 2012 A	150,000,000	2011/11/29	2012/06/28	37.50
69381	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 B	150,000,000	2011/11/29	2012/06/28	37.50
69382	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 C	150,000,000	2011/11/29	2012/06/28	37.50
69383	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 D	150,000,000	2011/11/29	2012/06/28	37.50
69384	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 E	150,000,000	2011/11/29	2012/06/28	37.50
69375	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12U	300,000,000	2011/11/29	2012/05/30	75.00
69376	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12V	300,000,000	2011/11/29	2012/05/30	75.00
69377	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12W	300,000,000	2011/11/29	2012/05/30	75.00
69394	UBS AG - BOCL R Bull CBBC Jul 2012 A	100,000,000	2011/11/29	2012/07/23	25.00
69393	UBS AG - CC Bank R Bear CBBC Jul 2012 B	100,000,000	2011/11/29	2012/07/09	25.00
69391	UBS AG - Sinopec Corp R Bull CBBC Jun 2012 B	100,000,000	2011/11/29	2012/06/25	25.00
69390	UBS AG - HKEx R Bear CBBC Jun 2012 F	100,000,000	2011/11/29	2012/06/11	25.00
69392	UBS AG - HSBC R Bear CBBC Jun 2012 E	100,000,000	2011/11/29	2012/06/25	25.00
69385	UBS AG - HSI R Bull CBBC Apr 2012 F	300,000,000	2011/11/29	2012/04/27	75.00
69388	UBS AG - HSI R Bear CBBC Apr 2012 T	300,000,000	2011/11/29	2012/04/27	75.00
69389	UBS AG - HSI R Bear CBBC May 2012 R	300,000,000	2011/11/29	2012/05/30	75.00
69396	UBS AG - HSI R Bear CBBC Jun 2012 A	300,000,000	2011/11/29	2012/06/28	75.00
69395	UBS AG - TCH R Bull CBBC Jul 2012 D	100,000,000	2011/11/29	2012/07/30	25.00
69433	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 E	200,000,000	2011/11/30	2012/04/27	50.00
69414	Credit Suisse AG - China Mobile R Bull CBBC May 2012 A	100,000,000	2011/11/30	2012/05/28	25.00
69415	Credit Suisse AG - HKEx R Bear CBBC Mar 2012 D	100,000,000	2011/11/30	2012/03/26	25.00
69418	Credit Suisse AG - HSI R Bull CBBC May 2012 M	400,000,000	2011/11/30	2012/05/30	100.00
69419	Credit Suisse AG - HSI R Bull CBBC Jun 2012 Q	400,000,000	2011/11/30	2012/06/28	100.00
69408	Credit Suisse AG - HSI R Bull CBBC Jun 2012 V	400,000,000	2011/11/30	2012/06/28	100.00
69421	Credit Suisse AG - HSI R Bull CBBC Jul 2012 T	400,000,000	2011/11/30	2012/07/30	100.00
69422	Credit Suisse AG - HSI R Bear CBBC Mar 2012 S	400,000,000	2011/11/30	2012/03/29	100.00
69425	Credit Suisse AG - HSI R Bear CBBC Mar 2012 V	400,000,000	2011/11/30	2012/03/29	100.00
69417	Credit Suisse AG - Hutchison R Bear CBBC Apr 2012 B	100,000,000	2011/11/30	2012/04/16	25.00
69416	Credit Suisse AG - Ping An R Bear CBBC Apr 2012 E	100,000,000	2011/11/30	2012/04/16	25.00
69412	Daiwa Capital Mkt - HSI R Bull CBBC Apr 2012 L	128,000,000	2011/11/30	2012/04/27	32.00
69426	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 M	128,000,000	2011/11/30	2012/03/29	32.00
69427	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 N	128,000,000	2011/11/30	2012/03/29	32.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69423	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 D	200,000,000	2011/11/30	2012/04/27	50.00
69424	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 E	200,000,000	2011/11/30	2012/04/27	50.00
69410	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12O	300,000,000	2011/11/30	2012/03/29	75.00
69411	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12X	300,000,000	2011/11/30	2012/05/30	75.00
69432	UBS AG - COVS R Bull CBBC Jul 2012 A	100,000,000	2011/11/30	2012/07/30	28.50
69431	UBS AG - China Life R Bear CBBC Jul 2012 F	100,000,000	2011/11/30	2012/07/30	25.00
69413	UBS AG - HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/11/30	2012/03/29	75.00
69430	UBS AG - HSI R Bull CBBC Jun 2012 H	300,000,000	2011/11/30	2012/06/28	75.00
69428	UBS AG - HSI R Bear CBBC Mar 2012 G	300,000,000	2011/11/30	2012/03/29	75.00
69429	UBS AG - HSI R Bear CBBC Jun 2012 C	300,000,000	2011/11/30	2012/06/28	75.00
65886 [#]	HK Bank - HSI R Bull CBBC Aug 2012 B	200,000,000	2011/11/30	2012/08/30	16.40
68729 [#]	HK Bank - HSI R Bull CBBC Jun 2012 D	200,000,000	2011/11/30	2012/06/28	31.60
69461	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2012 M	200,000,000	2011/12/01	2012/05/30	50.00
69462	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC May 2012 Q	200,000,000	2011/12/01	2012/05/30	50.00
69455	Credit Suisse AG - HSI R Bull CBBC Jul 2012 U	400,000,000	2011/12/01	2012/07/30	100.00
69454	Credit Suisse AG - HSI R Bear CBBC Mar 2012 B	400,000,000	2011/12/01	2012/03/29	100.00
69453	Credit Suisse AG - HSI R Bear CBBC Apr 2012 U	400,000,000	2011/12/01	2012/04/27	100.00
69456	Credit Suisse AG - Hutchison R Bull CBBC Jun 2012 C	100,000,000	2011/12/01	2012/06/18	25.00
69452	HK Bank - HKEx R Bear CBBC Jun 2012 A	80,000,000	2011/12/01	2012/06/18	36.00
69446	HK Bank - HSI R Bull CBBC Aug 2012 E	200,000,000	2011/12/01	2012/08/30	50.00
69449	HK Bank - HSI R Bull CBBC Aug 2012 F	200,000,000	2011/12/01	2012/08/30	50.00
69450	HK Bank - HSI R Bull CBBC Aug 2012 G	200,000,000	2011/12/01	2012/08/30	50.00
69451	HK Bank - HSI R Bull CBBC May 2013 B	200,000,000	2011/12/01	2013/05/30	50.00
69445	HK Bank - HSI R Bear CBBC Apr 2012 I	150,000,000	2011/12/01	2012/04/27	37.50
69437	Macquarie Bank Ltd. - HSCEI R Bear CBBC Jun 2012 A	200,000,000	2011/12/01	2012/06/28	50.00
69438	Macquarie Bank Ltd. - HSCEI R Bear CBBC Jun 2012 B	200,000,000	2011/12/01	2012/06/28	50.00
69435	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2012 A	200,000,000	2011/12/01	2012/06/28	50.00
69436	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2012 B	200,000,000	2011/12/01	2012/06/28	50.00
69439	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12Q	300,000,000	2011/12/01	2012/03/29	75.00
69434	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12P	300,000,000	2011/12/01	2012/03/29	75.00
69443	UBS AG - HSI R Bull CBBC May 2012 K	300,000,000	2011/12/01	2012/05/30	75.00
69459	UBS AG - HSI R Bull CBBC May 2012 L	300,000,000	2011/12/01	2012/05/30	75.00
69460	UBS AG - HSI R Bear CBBC Aug 2012 H	300,000,000	2011/12/01	2012/08/30	75.00
69444	UBS AG - Hutchison R Bull CBBC Jun 2012 E	100,000,000	2011/12/01	2012/06/25	25.00
69457	UBS AG - Hutchison R Bull CBBC Aug 2012 D	100,000,000	2011/12/01	2012/08/06	25.00
69458	UBS AG - ICBC R Bear CBBC May 2012 C	100,000,000	2011/12/01	2012/05/28	25.00
69471	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 V	200,000,000	2011/12/02	2012/03/29	50.00
69470	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 Z	200,000,000	2011/12/02	2012/03/29	50.00
69463	Credit Suisse AG - HSI R Bull CBBC May 2012 N	400,000,000	2011/12/02	2012/05/30	100.00
69468	Credit Suisse AG - HSI R Bear CBBC Mar 2012 Z	400,000,000	2011/12/02	2012/03/29	100.00
69466	Daiwa Capital Mkt - HSI R Bull CBBC Apr 2012 M	128,000,000	2011/12/02	2012/04/27	32.00
69472	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 O	128,000,000	2011/12/02	2012/03/29	32.00
69464	UBS AG - HSI R Bull CBBC Mar 2012 S	300,000,000	2011/12/02	2012/03/29	75.00
69465	UBS AG - HSI R Bull CBBC Jun 2012 E	300,000,000	2011/12/02	2012/06/28	75.00
69469	UBS AG - HSI R Bear CBBC Apr 2012 C	300,000,000	2011/12/02	2012/04/27	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69483	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 A	200,000,000	2011/12/05	2012/03/29	50.00
69484	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 B	200,000,000	2011/12/05	2012/03/29	50.00
69478	Credit Suisse AG - HSBC R Bear CBBC Apr 2012 C	100,000,000	2011/12/05	2012/04/23	25.00
69482	Credit Suisse AG - HSI R Bull CBBC Jun 2012 X	400,000,000	2011/12/05	2012/06/28	100.00
69481	Credit Suisse AG - HSI R Bull CBBC Jul 2012 V	400,000,000	2011/12/05	2012/07/30	100.00
69480	Credit Suisse AG - HSI R Bull CBBC Aug 2012 I	400,000,000	2011/12/05	2012/08/30	100.00
69473	Credit Suisse AG - HSI R Bear CBBC Mar 2012 H	400,000,000	2011/12/05	2012/03/29	100.00
69479	Credit Suisse AG - Ping An R Bull CBBC Jun 2012 D	100,000,000	2011/12/05	2012/06/18	25.00
69485	Credit Suisse AG - TCH R Bear CBBC Apr 2012 C	100,000,000	2011/12/05	2012/04/23	25.00
69475	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12Y	300,000,000	2011/12/05	2012/05/30	75.00
69476	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12Z	300,000,000	2011/12/05	2012/05/30	75.00
69477	UBS AG - HKEx R Bull CBBC Mar 2012 D	100,000,000	2011/12/05	2012/03/19	25.00
69474	UBS AG - HSI R Bear CBBC May 2012 W	300,000,000	2011/12/05	2012/05/30	75.00
69522	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 L	200,000,000	2011/12/06	2012/05/30	50.00
69523	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 U	200,000,000	2011/12/06	2012/05/30	50.00
69500	Credit Suisse AG - China Life R Bull CBBC May 2012 B	100,000,000	2011/12/06	2012/05/28	26.50
69490	Credit Suisse AG - HKEx R Bull CBBC May 2012 D	100,000,000	2011/12/06	2012/05/28	25.00
69512	Credit Suisse AG - HSI R Bull CBBC Jun 2012 R	400,000,000	2011/12/06	2012/06/28	100.00
69511	Credit Suisse AG - HSI R Bull CBBC Jul 2012 W	400,000,000	2011/12/06	2012/07/30	100.00
69510	Credit Suisse AG - HSI R Bull CBBC Aug 2012 J	400,000,000	2011/12/06	2012/08/30	100.00
69492	Credit Suisse AG - HSI R Bear CBBC Mar 2012 A	400,000,000	2011/12/06	2012/03/29	100.00
69497	Credit Suisse AG - HSI R Bear CBBC Apr 2012 N	400,000,000	2011/12/06	2012/04/27	100.00
69498	Credit Suisse AG - HSI R Bear CBBC Apr 2012 Q	400,000,000	2011/12/06	2012/04/27	100.00
69499	Credit Suisse AG - Hutchison R Bull CBBC Jun 2012 D	100,000,000	2011/12/06	2012/06/25	25.00
69491	Credit Suisse AG - TCH R Bear CBBC Apr 2012 D	100,000,000	2011/12/06	2012/04/23	25.00
69513	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 Q	128,000,000	2011/12/06	2012/03/29	32.00
69514	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 R	128,000,000	2011/12/06	2012/03/29	32.00
69520	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 H	128,000,000	2011/12/06	2012/05/30	32.00
69521	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 I	128,000,000	2011/12/06	2012/05/30	32.00
69493	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 P	128,000,000	2011/12/06	2012/03/29	32.00
69515	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 N	128,000,000	2011/12/06	2012/04/27	32.00
69516	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 O	128,000,000	2011/12/06	2012/04/27	32.00
69517	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 P	128,000,000	2011/12/06	2012/04/27	32.00
69518	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 Q	128,000,000	2011/12/06	2012/04/27	32.00
69519	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 R	128,000,000	2011/12/06	2012/04/27	32.00
69488	HK Bank - HSI R Bull CBBC Aug 2012 H	200,000,000	2011/12/06	2012/08/30	50.00
69495	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12E	300,000,000	2011/12/06	2012/03/29	75.00
69494	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12U	300,000,000	2011/12/06	2012/03/29	75.00
69496	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12S	300,000,000	2011/12/06	2012/05/30	75.00
69508	UBS AG - HSI R Bull CBBC Apr 2012 S	300,000,000	2011/12/06	2012/04/27	75.00
69509	UBS AG - HSI R Bull CBBC May 2012 X	300,000,000	2011/12/06	2012/05/30	75.00
69489	UBS AG - HSI R Bear CBBC Apr 2012 D	300,000,000	2011/12/06	2012/04/27	75.00
69506	UBS AG - HSI R Bear CBBC May 2012 A	300,000,000	2011/12/06	2012/05/30	75.00
69501	UBS AG - TCH R Bull CBBC Mar 2012 A	100,000,000	2011/12/06	2012/03/26	25.00
69504	UBS AG - TCH R Bull CBBC May 2012 E	100,000,000	2011/12/06	2012/05/21	35.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69507	UBS AG - TCH R Bull CBBC Jun 2012 H	100,000,000	2011/12/06	2012/06/04	40.00
69502	UBS AG - TCH R Bear CBBC Apr 2012 E	100,000,000	2011/12/06	2012/04/16	25.00
69505	UBS AG - TCH R Bear CBBC May 2012 F	100,000,000	2011/12/06	2012/05/21	27.00
69033#	UBS AG - Hutchison R Bull CBBC Aug 2012 B	105,000,000	2011/12/07	2012/08/20	10.08
69526	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 S	128,000,000	2011/12/07	2012/03/29	32.00
69528	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 S	128,000,000	2011/12/07	2012/04/27	32.00
69529	Daiwa Capital Mkt - HSI R Bear CBBC Apr 2012 T	128,000,000	2011/12/07	2012/04/27	32.00
69527	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12W	300,000,000	2011/12/07	2012/03/29	75.00
69524	UBS AG - HSI R Bull CBBC Mar 2012 Q	300,000,000	2011/12/07	2012/03/29	75.00
69525	UBS AG - HSI R Bull CBBC May 2012 T	300,000,000	2011/12/07	2012/05/30	75.00
69531	UBS AG - HSI R Bull CBBC Sep 2012 A	300,000,000	2011/12/07	2012/09/27	75.00
69532	UBS AG - HSI R Bull CBBC Sep 2012 B	300,000,000	2011/12/07	2012/09/27	75.00
69530	UBS AG - HSI R Bear CBBC Mar 2012 J	300,000,000	2011/12/07	2012/03/29	75.00
69567	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 M	200,000,000	2011/12/08	2012/05/30	50.00
69568	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 Q	200,000,000	2011/12/08	2012/05/30	50.00
69570	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 R	200,000,000	2011/12/08	2012/05/30	50.00
69571	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 S	200,000,000	2011/12/08	2012/05/30	50.00
69572	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC May 2012 T	200,000,000	2011/12/08	2012/05/30	50.00
69550	Daiwa Capital Mkt - HSI R Bull CBBC May 2012 J	128,000,000	2011/12/08	2012/05/30	32.00
69551	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 B	128,000,000	2011/12/08	2012/06/28	32.00
69552	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 C	128,000,000	2011/12/08	2012/06/28	32.00
69553	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 D	128,000,000	2011/12/08	2012/06/28	32.00
69554	Daiwa Capital Mkt - HSI R Bull CBBC Jul 2012 A	128,000,000	2011/12/08	2012/07/30	32.00
69555	Daiwa Capital Mkt - HSI R Bull CBBC Jul 2012 B	128,000,000	2011/12/08	2012/07/30	32.00
69556	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 T	128,000,000	2011/12/08	2012/03/29	32.00
69557	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 U	128,000,000	2011/12/08	2012/03/29	32.00
69558	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 V	128,000,000	2011/12/08	2012/03/29	32.00
69559	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 W	128,000,000	2011/12/08	2012/03/29	32.00
69560	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 F	200,000,000	2011/12/08	2012/04/27	50.00
69561	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 G	200,000,000	2011/12/08	2012/04/27	50.00
69562	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 H	200,000,000	2011/12/08	2012/04/27	50.00
69563	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 I	200,000,000	2011/12/08	2012/04/27	50.00
69564	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 J	200,000,000	2011/12/08	2012/04/27	50.00
69565	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 K	200,000,000	2011/12/08	2012/04/27	50.00
69566	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 L	200,000,000	2011/12/08	2012/04/27	50.00
69546	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12C	300,000,000	2011/12/08	2012/03/29	75.00
69547	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12D	300,000,000	2011/12/08	2012/03/29	75.00
69549	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12L	300,000,000	2011/12/08	2012/03/29	75.00
69548	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12T	300,000,000	2011/12/08	2012/03/29	75.00
69533	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12P	300,000,000	2011/12/08	2012/05/30	75.00
69534	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12Q	300,000,000	2011/12/08	2012/05/30	75.00
69535	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12R	300,000,000	2011/12/08	2012/05/30	75.00
69543	UBS AG - HSI R Bull CBBC Mar 2012 G	300,000,000	2011/12/08	2012/03/29	75.00
69542	UBS AG - HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/12/08	2012/03/29	75.00
69545	UBS AG - HSI R Bull CBBC Apr 2012 F	300,000,000	2011/12/08	2012/04/27	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69544	UBS AG - HSI R Bull CBBC Apr 2012 M	300,000,000	2011/12/08	2012/04/27	75.00
69537	UBS AG - HSI R Bear CBBC Mar 2012 E	300,000,000	2011/12/08	2012/03/29	75.00
69539	UBS AG - HSI R Bear CBBC Apr 2012 L	300,000,000	2011/12/08	2012/04/27	75.00
69538	UBS AG - HSI R Bear CBBC Apr 2012 N	300,000,000	2011/12/08	2012/04/27	75.00
69540	UBS AG - HSI R Bear CBBC May 2012 H	300,000,000	2011/12/08	2012/05/30	75.00
69541	UBS AG - HSI R Bear CBBC May 2012 Y	300,000,000	2011/12/08	2012/05/30	75.00
69592	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 D	128,000,000	2011/12/09	2012/03/29	32.00
69589	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 X	128,000,000	2011/12/09	2012/03/29	32.00
69590	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 Y	128,000,000	2011/12/09	2012/03/29	32.00
69591	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 Z	128,000,000	2011/12/09	2012/03/29	32.00
69587	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12A	300,000,000	2011/12/09	2012/03/29	75.00
69588	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12B	300,000,000	2011/12/09	2012/03/29	75.00
69585	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12R	300,000,000	2011/12/09	2012/03/29	75.00
69586	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12S	300,000,000	2011/12/09	2012/03/29	75.00
69583	UBS AG - COVS R Bull CBBC May 2012 A	100,000,000	2011/12/09	2012/05/07	29.50
69584	UBS AG - CC Bank R Bull CBBC May 2012 H	100,000,000	2011/12/09	2012/05/28	25.00
69582	UBS AG - CNOOC R Bull CBBC Apr 2012 C	100,000,000	2011/12/09	2012/04/23	25.00
69578	UBS AG - A50 China R Bull CBBC Mar 2012 B	100,000,000	2011/12/09	2012/03/19	25.00
69579	UBS AG - HKEx R Bull CBBC Mar 2012 E	100,000,000	2011/12/09	2012/03/26	25.00
69573	UBS AG - HSI R Bull CBBC Mar 2012 N	300,000,000	2011/12/09	2012/03/29	75.00
69574	UBS AG - HSI R Bull CBBC May 2012 R	300,000,000	2011/12/09	2012/05/30	75.00
69575	UBS AG - HSI R Bull CBBC Jun 2012 F	300,000,000	2011/12/09	2012/06/28	75.00
69576	UBS AG - HSI R Bull CBBC Jun 2012 I	300,000,000	2011/12/09	2012/06/28	75.00
69581	UBS AG - HSI R Bear CBBC Mar 2012 X	300,000,000	2011/12/09	2012/03/29	75.00
69580	UBS AG - ICBC R Bull CBBC Mar 2012 A	100,000,000	2011/12/09	2012/03/26	25.00
69577	UBS AG - TCH R Bull CBBC Mar 2012 B	100,000,000	2011/12/09	2012/03/12	25.00
69607	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 L	200,000,000	2011/12/12	2012/04/27	50.00
69608	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 U	200,000,000	2011/12/12	2012/04/27	50.00
69597	Macquarie Bank Ltd. - HSCEI R Bull CBBC Jun 2012 C	200,000,000	2011/12/12	2012/06/28	50.00
69598	Macquarie Bank Ltd. - HSCEI R Bull CBBC Jun 2012 D	200,000,000	2011/12/12	2012/06/28	50.00
69594	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2012 C	200,000,000	2011/12/12	2012/06/28	50.00
69595	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2012 D	200,000,000	2011/12/12	2012/06/28	50.00
69596	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2012 E	200,000,000	2011/12/12	2012/06/28	50.00
69599	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 20G	300,000,000	2011/12/12	2012/03/29	75.00
69601	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 20H	300,000,000	2011/12/12	2012/03/29	75.00
69602	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 20I	300,000,000	2011/12/12	2012/03/29	75.00
69593	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 20F	300,000,000	2011/12/12	2012/03/29	75.00
69600	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 20T	300,000,000	2011/12/12	2012/05/30	75.00
69606	UBS AG - China Life R Bull CBBC Mar 2012 E	100,000,000	2011/12/12	2012/03/19	27.00
69603	UBS AG - HSI R Bull CBBC Apr 2012 T	300,000,000	2011/12/12	2012/04/27	75.00
69604	UBS AG - HSI R Bull CBBC Jul 2012 N	300,000,000	2011/12/12	2012/07/30	75.00
69605	UBS AG - HSI R Bear CBBC Jul 2012 O	300,000,000	2011/12/12	2012/07/30	75.00
69618	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 D	200,000,000	2011/12/13	2012/04/27	50.00
69617	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 E	200,000,000	2011/12/13	2012/04/27	50.00
69619	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 G	200,000,000	2011/12/13	2012/04/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69620	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Apr 2012 H	200,000,000	2011/12/13	2012/04/27	50.00
69621	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 T	200,000,000	2011/12/13	2012/04/27	50.00
69616	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 B	128,000,000	2011/12/13	2012/03/29	32.00
69614	HK Bank - BOCL R Bull CBBC Dec 2012 A	80,000,000	2011/12/13	2012/12/18	20.00
69613	HK Bank - BOCL R Bear CBBC Sep 2012 A	80,000,000	2011/12/13	2012/09/18	20.00
69609	HK Bank - ICBC R Bull CBBC Jul 2012 B	80,000,000	2011/12/13	2012/07/26	20.00
69610	UBS AG - HSI R Bull CBBC Jun 2012 D	300,000,000	2011/12/13	2012/06/28	75.00
69611	UBS AG - HSI R Bull CBBC Jul 2012 P	300,000,000	2011/12/13	2012/07/30	75.00
69612	UBS AG - HSI R Bull CBBC Jul 2012 Q	300,000,000	2011/12/13	2012/07/30	75.00
69615	UBS AG - HSI R Bear CBBC Jun 2012 P	300,000,000	2011/12/13	2012/06/28	75.00
65872#	HK Bank - HSI R Bear CBBC Jun 2012 A	250,000,000	2011/12/13	2012/06/28	44.25
69628	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Mar 2012 C	200,000,000	2011/12/14	2012/03/29	50.00
69633	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 E	128,000,000	2011/12/14	2012/06/28	32.00
69623	HK Bank - ABC R Bull CBBC Aug 2012 B	80,000,000	2011/12/14	2012/08/16	20.00
69622	HK Bank - ABC R Bear CBBC May 2012 A	80,000,000	2011/12/14	2012/05/18	20.00
69624	HK Bank - CNOOC R Bull CBBC Oct 2012 B	80,000,000	2011/12/14	2012/10/18	22.40
69625	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12U	300,000,000	2011/12/14	2012/05/30	75.00
69629	UBS AG - CC Bank R Bull CBBC May 2012 I	100,000,000	2011/12/14	2012/05/07	25.00
69632	UBS AG - China Life R Bull CBBC Apr 2012 A	100,000,000	2011/12/14	2012/04/02	25.00
69630	UBS AG - HSBC R Bull CBBC Apr 2012 C	100,000,000	2011/12/14	2012/04/23	25.00
69627	UBS AG - HSI R Bull CBBC Apr 2012 C	300,000,000	2011/12/14	2012/04/27	75.00
69626	UBS AG - HSI R Bear CBBC Apr 2012 K	300,000,000	2011/12/14	2012/04/27	75.00
69634	UBS AG - HSI R Bear CBBC Jun 2012 S	300,000,000	2011/12/14	2012/06/28	75.00
69631	UBS AG - TCH R Bull CBBC Apr 2012 F	100,000,000	2011/12/14	2012/04/16	25.00
69644	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 L	200,000,000	2011/12/15	2012/03/29	50.00
69645	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2012 A	150,000,000	2011/12/15	2012/03/29	37.50
69646	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2012 B	150,000,000	2011/12/15	2012/03/29	37.50
69636	HK Bank - HSI R Bull CBBC Jul 2012 F	250,000,000	2011/12/15	2012/07/30	62.50
69637	J P Morgan SP BV - HSI R Bull CBBC Apr 2012 M	200,000,000	2011/12/15	2012/04/27	50.00
69638	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 N	200,000,000	2011/12/15	2012/04/27	50.00
69639	J P Morgan SP BV - HSI R Bear CBBC Apr 2012 O	200,000,000	2011/12/15	2012/04/27	50.00
69640	UBS AG - HSI R Bull CBBC Mar 2012 U	300,000,000	2011/12/15	2012/03/29	75.00
69641	UBS AG - HSI R Bull CBBC May 2012 W	300,000,000	2011/12/15	2012/05/30	75.00
69642	UBS AG - HSI R Bear CBBC Jul 2012 R	300,000,000	2011/12/15	2012/07/30	75.00
68787#	HK Bank - HSI R Bull CBBC Aug 2012 D	200,000,000	2011/12/15	2012/02/28	19.00
69654	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 F	200,000,000	2011/12/16	2012/03/29	50.00
69655	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 O	200,000,000	2011/12/16	2012/03/29	50.00
69647	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 F	128,000,000	2011/12/16	2012/06/28	32.00
69660	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 C	128,000,000	2011/12/16	2012/03/29	32.00
69650	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar12K	300,000,000	2011/12/16	2012/03/29	75.00
69651	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar12N	300,000,000	2011/12/16	2012/03/29	75.00
69652	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar12O	300,000,000	2011/12/16	2012/03/29	75.00
69648	UBS AG - HSI R Bull CBBC May 2012 A	300,000,000	2011/12/16	2012/05/30	75.00
69658	UBS AG - HSI R Bull CBBC Jun 2012 O	300,000,000	2011/12/16	2012/06/28	75.00
69649	UBS AG - HSI R Bull CBBC Jun 2012 T	300,000,000	2011/12/16	2012/06/28	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69656	UBS AG - HSI R Bear CBBC Apr 2012 D	300,000,000	2011/12/16	2012/04/27	75.00
69657	UBS AG - HSI R Bear CBBC May 2012 H	300,000,000	2011/12/16	2012/05/30	75.00
69659	UBS AG - HSI R Bear CBBC Jul 2012 S	300,000,000	2011/12/16	2012/07/30	75.00
69670	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 R	200,000,000	2011/12/19	2012/03/29	50.00
69669	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2012 S	200,000,000	2011/12/19	2012/03/29	50.00
69661	HK Bank - A50 R Bear CBBC Sep 2012 A	100,000,000	2011/12/19	2012/09/18	25.00
69668	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12A	300,000,000	2011/12/19	2012/03/29	75.00
69667	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Mar 12P	300,000,000	2011/12/19	2012/03/29	75.00
69665	UBS AG - HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/12/19	2012/03/29	75.00
69663	UBS AG - HSI R Bull CBBC Apr 2012 L	300,000,000	2011/12/19	2012/04/27	75.00
69664	UBS AG - HSI R Bull CBBC May 2012 Y	300,000,000	2011/12/19	2012/05/30	75.00
69666	UBS AG - HSI R Bull CBBC Jul 2012 T	300,000,000	2011/12/19	2012/07/30	75.00
69662	UBS AG - HSI R Bear CBBC Mar 2012 J	300,000,000	2011/12/19	2012/03/29	75.00
69687	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2012 A	200,000,000	2011/12/20	2012/06/28	50.00
69688	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2012 B	200,000,000	2011/12/20	2012/06/28	50.00
69686	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 L	200,000,000	2011/12/20	2012/04/27	50.00
69676	Daiwa Capital Mkt - HSI R Bull CBBC Mar 2012 A	128,000,000	2011/12/20	2012/03/29	32.00
69671	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12V	300,000,000	2011/12/20	2012/05/30	75.00
69673	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12W	300,000,000	2011/12/20	2012/05/30	75.00
69672	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12X	300,000,000	2011/12/20	2012/05/30	75.00
69674	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12B	300,000,000	2011/12/20	2012/03/29	75.00
69681	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12G	300,000,000	2011/12/20	2012/03/29	75.00
69680	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12L	300,000,000	2011/12/20	2012/03/29	75.00
69684	UBS AG - A50 China R Bear CBBC Mar 2012 C	100,000,000	2011/12/20	2012/03/26	25.00
69685	UBS AG - HKEx R Bear CBBC Apr 2012 A	100,000,000	2011/12/20	2012/04/02	25.00
69678	UBS AG - HSI R Bull CBBC Mar 2012 E	300,000,000	2011/12/20	2012/03/29	75.00
69679	UBS AG - HSI R Bull CBBC Jun 2012 W	300,000,000	2011/12/20	2012/06/28	75.00
69683	UBS AG - HSI R Bull CBBC Jul 2012 U	300,000,000	2011/12/20	2012/07/30	75.00
69682	UBS AG - HSI R Bear CBBC Mar 2012 G	300,000,000	2011/12/20	2012/03/29	75.00
69696	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Oct 2012 A	100,000,000	2011/12/21	2012/10/30	25.00
69697	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 U	200,000,000	2011/12/21	2012/04/27	50.00
69690	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 F	128,000,000	2011/12/21	2012/03/29	32.00
69694	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12Y	300,000,000	2011/12/21	2012/05/30	75.00
69695	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12H	300,000,000	2011/12/21	2012/03/29	75.00
69693	UBS AG - China Life R Bear CBBC Apr 2012 B	100,000,000	2011/12/21	2012/04/02	33.50
69689	UBS AG - HSI R Bull CBBC Apr 2012 N	300,000,000	2011/12/21	2012/04/27	75.00
69691	UBS AG - HSI R Bear CBBC Apr 2012 F	300,000,000	2011/12/21	2012/04/27	75.00
69616#	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 B	140,000,000	2011/12/21	2012/03/29	14.42
69714	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 D	200,000,000	2011/12/22	2012/04/27	50.00
69715	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 G	200,000,000	2011/12/22	2012/04/27	50.00
69704	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2012 C	150,000,000	2011/12/22	2012/03/29	37.50
69705	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2012 D	150,000,000	2011/12/22	2012/03/29	37.50
69698	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 G	128,000,000	2011/12/22	2012/06/28	32.00
69699	Daiwa Capital Mkt - HSI R Bull CBBC Jun 2012 H	128,000,000	2011/12/22	2012/06/28	32.00
69707	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12G	300,000,000	2011/12/22	2012/05/30	75.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69720	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12P	300,000,000	2011/12/22	2012/05/30	75.00
69703	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12S	300,000,000	2011/12/22	2012/05/30	75.00
69702	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12Z	300,000,000	2011/12/22	2012/05/30	75.00
69712	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12D	300,000,000	2011/12/22	2012/03/29	75.00
69708	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12I	300,000,000	2011/12/22	2012/03/29	75.00
69713	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 12S	300,000,000	2011/12/22	2012/03/29	75.00
69719	UBS AG - China Life R Bear CBBC Apr 2012 C	100,000,000	2011/12/22	2012/04/16	28.50
69718	UBS AG - CNOOC R Bear CBBC Apr 2012 D	100,000,000	2011/12/22	2012/04/23	25.00
69700	UBS AG - HSI R Bull CBBC Mar 2012 N	300,000,000	2011/12/22	2012/03/29	75.00
69701	UBS AG - HSI R Bull CBBC Apr 2012 T	300,000,000	2011/12/22	2012/04/27	75.00
69721	UBS AG - HSI R Bull CBBC Jul 2012 V	300,000,000	2011/12/22	2012/07/30	75.00
69716	UBS AG - HSI R Bear CBBC Apr 2012 M	300,000,000	2011/12/22	2012/04/27	75.00
69717	UBS AG - HSI R Bear CBBC May 2012 R	300,000,000	2011/12/22	2012/05/30	75.00
69725	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2012 C	200,000,000	2011/12/23	2012/06/28	50.00
69726	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2012 D	200,000,000	2011/12/23	2012/06/28	50.00
69723	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 E	128,000,000	2011/12/23	2012/03/29	32.00
69724	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 M	168,000,000	2011/12/23	2012/03/29	42.00
69727	UBS AG - A50 China R Bull CBBC Apr 2012 B	100,000,000	2011/12/23	2012/04/16	25.00
69728	UBS AG - HSI R Bull CBBC May 2012 L	300,000,000	2011/12/23	2012/05/30	75.00
69729	UBS AG - HSI R Bull CBBC Jun 2012 Y	300,000,000	2011/12/23	2012/06/28	75.00
69722	UBS AG - HSI R Bear CBBC Mar 2012 Q	300,000,000	2011/12/23	2012/03/29	75.00
69751	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2012 E	200,000,000	2011/12/28	2012/06/28	50.00
69753	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 E	200,000,000	2011/12/28	2012/04/27	50.00
69752	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2012 H	200,000,000	2011/12/28	2012/04/27	50.00
69741	Credit Suisse AG - HSI R Bull CBBC Jun 2012 S	400,000,000	2011/12/28	2012/06/28	100.00
69740	Credit Suisse AG - HSI R Bull CBBC Jun 2012 Y	400,000,000	2011/12/28	2012/06/28	100.00
69739	Credit Suisse AG - HSI R Bear CBBC Mar 2012 B	400,000,000	2011/12/28	2012/03/29	100.00
69733	Credit Suisse AG - HSI R Bear CBBC Mar 2012 D	400,000,000	2011/12/28	2012/03/29	100.00
69732	Credit Suisse AG - HSI R Bear CBBC Mar 2012 E	400,000,000	2011/12/28	2012/03/29	100.00
69746	Credit Suisse AG - HSI R Bear CBBC Mar 2012 I	400,000,000	2011/12/28	2012/03/29	100.00
69747	Credit Suisse AG - HSI R Bear CBBC Mar 2012 J	400,000,000	2011/12/28	2012/03/29	100.00
69734	Credit Suisse AG - HSI R Bear CBBC Mar 2012 R	400,000,000	2011/12/28	2012/03/29	100.00
69735	Credit Suisse AG - HSI R Bear CBBC Mar 2012 S	400,000,000	2011/12/28	2012/03/29	100.00
69736	Credit Suisse AG - HSI R Bear CBBC Mar 2012 V	400,000,000	2011/12/28	2012/03/29	100.00
69748	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 N	128,000,000	2011/12/28	2012/03/29	32.00
69730	HK Bank - HSI R Bear CBBC Jul 2012 G	200,000,000	2011/12/28	2012/07/30	50.00
69731	HK Bank - HSI R Bear CBBC Jul 2012 H	200,000,000	2011/12/28	2012/07/30	50.00
69745	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2012 I	200,000,000	2011/12/28	2012/06/28	50.00
69742	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2012 F	200,000,000	2011/12/28	2012/06/28	50.00
69743	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2012 G	200,000,000	2011/12/28	2012/06/28	50.00
69744	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2012 H	200,000,000	2011/12/28	2012/06/28	50.00
69749	UBS AG - HSI R Bear CBBC Mar 2012 U	300,000,000	2011/12/28	2012/03/29	75.00
69750	UBS AG - HSI R Bear CBBC Jun 2012 M	300,000,000	2011/12/28	2012/06/28	75.00
69757	Credit Suisse AG - HSI R Bull CBBC Jul 2012 X	400,000,000	2011/12/29	2012/07/30	100.00
69758	Credit Suisse AG - HSI R Bull CBBC Jul 2012 Y	400,000,000	2011/12/29	2012/07/30	100.00

主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69759	Credit Suisse AG - HSI R Bear CBBC Mar 2012 K	400,000,000	2011/12/29	2012/03/29	100.00
69762	Credit Suisse AG - HSI R Bear CBBC Mar 2012 N	400,000,000	2011/12/29	2012/03/29	100.00
69760	Credit Suisse AG - HSI R Bear CBBC Mar 2012 T	400,000,000	2011/12/29	2012/03/29	100.00
69761	Credit Suisse AG - HSI R Bear CBBC Mar 2012 Y	400,000,000	2011/12/29	2012/03/29	100.00
69763	Credit Suisse AG - HSI R Bear CBBC Mar 2012 Z	400,000,000	2011/12/29	2012/03/29	100.00
69754	HK Bank - China Life R Bear CBBC Jul 2012 E	80,000,000	2011/12/29	2012/07/16	44.00
69755	HK Bank - TCH R Bull CBBC Jul 2012 A	80,000,000	2011/12/29	2012/07/18	24.00
69769	J P Morgan SP BV - HSI R Bull CBBC May 2012 F	200,000,000	2011/12/29	2012/05/30	50.00
69770	J P Morgan SP BV - HSI R Bull CBBC May 2012 G	200,000,000	2011/12/29	2012/05/30	50.00
69771	J P Morgan SP BV - HSI R Bull CBBC May 2012 H	200,000,000	2011/12/29	2012/05/30	50.00
69764	J P Morgan SP BV - HSI R Bear CBBC May 2012 A	200,000,000	2011/12/29	2012/05/30	50.00
69765	J P Morgan SP BV - HSI R Bear CBBC May 2012 B	200,000,000	2011/12/29	2012/05/30	50.00
69766	J P Morgan SP BV - HSI R Bear CBBC May 2012 C	200,000,000	2011/12/29	2012/05/30	50.00
69767	J P Morgan SP BV - HSI R Bear CBBC May 2012 D	200,000,000	2011/12/29	2012/05/30	50.00
69768	J P Morgan SP BV - HSI R Bear CBBC May 2012 E	200,000,000	2011/12/29	2012/05/30	50.00
69772	UBS AG - HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/12/29	2012/03/29	75.00
69756	UBS AG - HSI R Bear CBBC Jun 2012 C	300,000,000	2011/12/29	2012/06/28	75.00
69792	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Jun 2012 F	200,000,000	2011/12/30	2012/06/28	50.00
69783	Credit Suisse AG - HSI R Bull CBBC Jun 2012 A	400,000,000	2011/12/30	2012/06/28	100.00
69778	Credit Suisse AG - HSI R Bear CBBC Apr 2012 R	400,000,000	2011/12/30	2012/04/27	112.00
69779	Credit Suisse AG - HSI R Bear CBBC Apr 2012 S	400,000,000	2011/12/30	2012/04/27	100.00
69781	Credit Suisse AG - HSI R Bear CBBC May 2012 C	400,000,000	2011/12/30	2012/05/30	100.00
69780	Credit Suisse AG - HSI R Bear CBBC May 2012 O	400,000,000	2011/12/30	2012/05/30	104.00
69782	Credit Suisse AG - HSI R Bear CBBC Jun 2012 W	400,000,000	2011/12/30	2012/06/28	122.00
69784	Credit Suisse AG - HSI R Bear CBBC Jul 2012 Z	400,000,000	2011/12/30	2012/07/30	126.00
69787	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 H	128,000,000	2011/12/30	2012/03/29	32.00
69788	Daiwa Capital Mkt - HSI R Bear CBBC Mar 2012 K	128,000,000	2011/12/30	2012/03/29	32.00
69773	CC Rabobank B.A. - HSI R Bull CBBC Jun 2012 F	150,000,000	2011/12/30	2012/06/28	37.50
69774	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 G	150,000,000	2011/12/30	2012/06/28	37.50
69775	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 H	150,000,000	2011/12/30	2012/06/28	37.50
69776	CC Rabobank B.A. - HSI R Bear CBBC Jun 2012 I	150,000,000	2011/12/30	2012/06/28	37.50
69785	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12Q	300,000,000	2011/12/30	2012/05/30	75.00
69793	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC May 12V	300,000,000	2011/12/30	2012/05/30	75.00
69786	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC May 12R	300,000,000	2011/12/30	2012/05/30	75.00
69789	UBS AG - HSI R Bull CBBC May 2012 T	300,000,000	2011/12/30	2012/05/30	75.00
69790	UBS AG - HSI R Bull CBBC Jun 2012 A	300,000,000	2011/12/30	2012/06/28	75.00
69791	UBS AG - HSI R Bull CBBC Jul 2012 W	300,000,000	2011/12/30	2012/07/30	75.00
69777	UBS AG - HSI R Bear CBBC Apr 2012 C	300,000,000	2011/12/30	2012/04/27	75.00
總額					90,461.98

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2010	第4季*	2	119.44
2011	第1季	2	139.30
	第2季	3	331.18
	第3季	3	62.60
	第4季	4	38.72

* 第一家預託證券於2010年12月8日開始交易買賣

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2010	第4季	—	—
2011	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第4季	7	0.30
2011	第1季	7	0.06
	第2季	7	0.32
	第3季	7	0.13
	第4季	7	0.00

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2010	第4季	2	—
2011	第1季	2	—
	第2季	2	—
	第3季	2	—
	第4季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2010	第4季	120,693.51	38,527.65	969,546
2011	第1季	124,595.93	22,614.51	608,740
	第2季	79,170.20	20,231.96	507,155
	第3季	40,276.54	10,135.61	316,791
	第4季	41,259.61	9,971.36	288,715

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2010	第4季	64	601.99	39,738
2011	第1季	62	364.75	37,150
	第2季	59	342.91	39,893
	第3季	63	160.88	31,995
	第4季	62	160.83	34,537

20種升幅最大之創業板股份

2011年第4季

順次	股份代號	股份	收市價		升幅
			2011年12月底	2011年9月底	
1	08085	中民安園	0.370	0.092	302.17
2	08356	進業控股	1.260	0.485	159.79
3	08258	西北實業	0.260	0.115	126.09
4	08250	中國天然投資	0.201	0.091	120.88
5	08198	新濠環彩	0.185	0.096	92.71
6	08171	中國趨勢	0.025	0.013	92.31
7	08116	中國公共醫療	0.057	0.032	78.13
8	08003	世大控股	0.128	0.073	75.34
9	08130	智城控股	0.350	0.209	67.46
10	08266	流動電訊	0.255	0.154	65.58
11	08179	喜尚控股	3.700	2.240	65.18
12	08233	中國基建港口	0.360	0.221	62.90
13	08043	年代煤礦機電	0.850	0.530	60.38
14	08086	易寶	1.360	0.850	60.00
15	08207	中國信貸	0.810	0.540	50.00
16	08048	志鴻科技	0.119	0.080	48.75
17	08351	俊文寶石	0.760	0.520	46.15
18	08166	中國農業生態	0.055	0.038	44.74
19	08167	中國新電信	0.280	0.201	39.30
20	08256	金利通	0.078	0.057	36.84

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2011年第4季

順次	股份代號	股份	收市價		跌幅
			2011年12月底	2011年9月底	
1	08096	瑞豐石化	0.201	0.740	-72.84
2	08103	泰盛國際控股	0.191	0.550 A	-65.27
3	08186	神州東盟資源	0.098	0.260	-62.31
4	08131	辰罡科技	0.195	0.400	-51.25
5	08301	明華科技	0.370	0.660	-43.94
6	08156	眾彩股份	0.149	0.255	-41.57
7	08078	中國3D數碼	0.155	0.250 A	-38.00
8	08247	中生北控生物科技	1.600	2.500	-36.00
9	08081	駿科網絡訊息	0.115	0.179	-35.75
10	08111	中科光電	0.233	0.360	-35.28
11	08190	康佰控股	0.136	0.200	-32.00
12	08272	華人飲食集團	0.042	0.061 A	-31.15
13	08337	直通電訊	0.125	0.177	-29.38
14	08206	神通電信服務	0.920	1.300	-29.23
15	08006	中華網	1.880	2.650	-29.06
16	08083	中國創新支付	0.215	0.300	-28.33
17	08269	富譽控股	0.230	0.315	-26.98
18	08022	彩娛集團	0.310	0.420 A	-26.19
19	08100	聯夢活力世界	0.128	0.166	-22.89
20	08173	寰亞礦業	0.165	0.210	-21.43

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2011年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	08043	年代煤礦機電	1,890.68	18.96
2	08356	進業控股	930.90	9.34
3	08085	中民安園	511.32	5.13
4	08137	洪橋集團	485.80	4.87
5	08207	中國信貸	307.02	3.08
6	08161	華彩控股	254.42	2.55
7	08046	恒芯中國	251.45	2.52
8	08032	非凡中國	243.54	2.44
9	08029	太陽國際	240.07	2.41
10	08202	匯創控股	220.82	2.21
11	08096	瑞豐石化	215.12	2.16
12	08086	易寶	204.48	2.05
13	08158	中國生物醫學	183.39	1.84
14	08215	FIRST CREDIT	161.83	1.62
15	08111	中科光電	149.41	1.50
16	08116	中國公共醫療	148.14	1.49
17	08150	無縫綠色	147.13	1.48
18	08130	智城控股	131.14	1.32
19	08192	環球能源資源	121.82	1.22
20	08351	俊文寶石	118.97	1.19
總額			6,917.46	69.37

創業板 股份

20種成交最活躍創業板股份(股數)

2011年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	08116	中國公共醫療	3,381.66	8.20
2	08078	中國3D數碼	3,012.24	7.30
3	08043	年代煤礦機電	2,423.35	5.87
4	08161	華彩控股	2,258.39	5.47
5	08103	泰盛國際控股	2,051.60	4.97
6	08163	萬德資源	1,863.04	4.52
7	08085	中民安園	1,862.88	4.52
8	08080	北亞策略	1,846.26	4.47
9	08032	非凡中國	1,782.12	4.32
10	08171	中國趨勢	1,671.42	4.05
11	08009	菱控有限公司	928.85	2.25
12	08017	百齡國際	912.03	2.21
13	08356	進業控股	862.54	2.09
14	08158	中國生物醫學	748.89	1.82
15	08192	環球能源資源	683.28	1.66
16	08096	瑞豐石化	609.47	1.48
17	08071	中彩網通控股	572.96	1.39
18	08202	匯創控股	517.01	1.25
19	08081	駿科網絡訊息	490.02	1.19
20	08041	中郵電貿(控股)	484.63	1.17
總數			28,962.67	70.20

創業板 股份

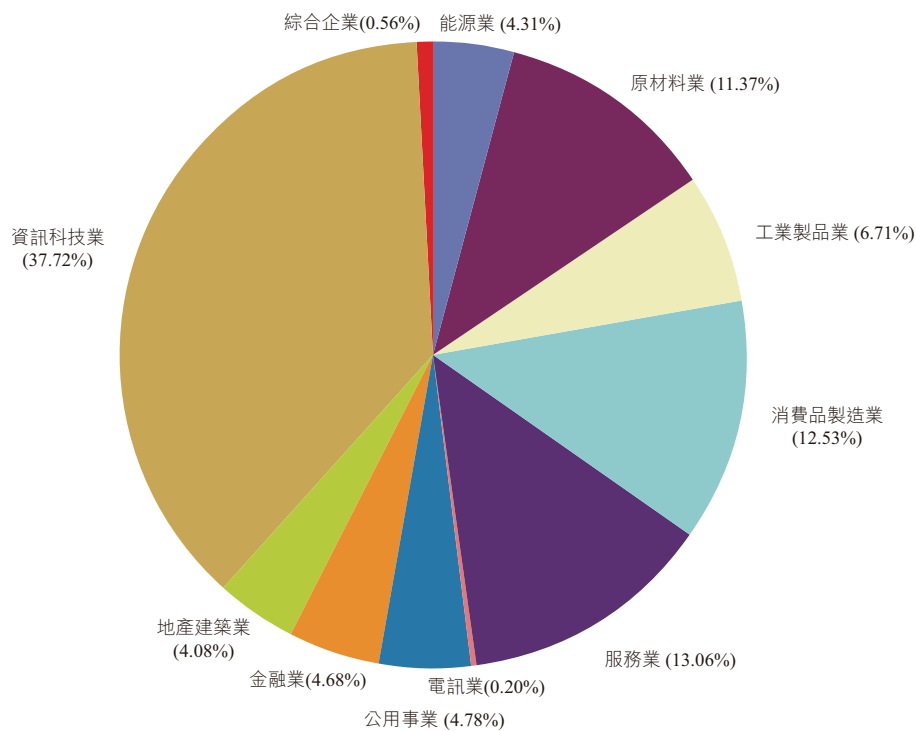
創業板公司市價總值

截至季末

		百萬港元
2010	第4季	134,674.08
2011	第1季	137,835.27
	第2季	111,535.83
	第3季	77,346.08
	第4季	84,589.06

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2011年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08137	洪橋集團有限公司	7,508.87	8.88
2	08086	易寶有限公司	7,314.84	8.65
3	08043	年代煤礦機電設備制造有限公司	4,835.03	5.72
4	08035	濱海投資有限公司	2,337.20	2.76
5	08075	寰亞傳媒集團有限公司	2,194.42	2.59
6	08192	環球能源資源國際集團有限公司	2,120.61	2.51
7	08008	新意網集團有限公司	2,113.21	2.50
8	08356	進業控股有限公司	2,097.57	2.48
9	08032	非凡中國控股有限公司	1,965.43	2.32
10	08158	中國生物醫學再生科技有限公司	1,750.11	2.07
11	08070	中裕燃氣控股有限公司	1,702.73	2.01
12	08046	恒芯中國控股有限公司	1,542.00	1.82
13	08207	中國信貸控股有限公司	1,417.50	1.68
14	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	1,340.45	1.58
15	08029	太陽國際資源有限公司	1,196.60	1.41
16	08179	喜尚控股有限公司	1,184.00	1.40
17	08066	品創控股有限公司	1,165.47	1.38
18	08122	先健科技公司	1,125.00	1.33
19	08279	亞博科技控股有限公司	1,114.19	1.32
20	08155	南華置地有限公司	1,106.67	1.31
21	08206	神通電信服務有限公司	1,099.12	1.30
22	08292	慧聰網有限公司	1,035.59	1.22
23	08005	裕興科技投資控股有限公司	1,023.72	1.21
24	08161	華彩控股有限公司	956.14	1.13
25	08085	中民安園控股有限公司	922.33	1.09
26	08058	山東羅欣藥業股份有限公司－H股	863.94	1.02
27	08083	中國創新支付集團有限公司	852.40	1.01
28	08071	中彩網通控股有限公司	825.13	0.98
29	08096	瑞豐石化控股有限公司	803.65	0.95
30	08102	上海復旦微電子集團股份有限公司－H股	775.46	0.92
31	08203	凱順能源集團有限公司	751.97	0.89
32	08351	俊文寶石國際有限公司	655.77	0.78
33	08116	中國公共醫療(控股)有限公司	642.53	0.76
34	08167	中國新電信集團有限公司	636.04	0.75
35	08011	百田石油國際集團有限公司	619.65	0.73
36	08016	中華數據廣播控股有限公司	607.88	0.72
37	08175	中國數碼版權(集團)有限公司	597.07	0.71
38	08123	首華財經網絡集團有限公司	572.11	0.68
39	08228	國藝控股有限公司	552.87	0.65
40	08061	光亞有限公司	516.59	0.61
41	08109	科瑞控股有限公司	510.40	0.60
42	08128	中國地能有限公司	499.80	0.59
43	08156	眾彩科技股份有限公司	487.51	0.58
44	08080	北亞策略控股有限公司	474.63	0.56
45	08129	中國生物資源控股有限公司	467.59	0.55
46	08189	天津泰達生物醫學工程股份有限公司－H股	458.25	0.54
47	08190	康佰控股有限公司	435.40	0.51
48	08306	中國有色金屬有限公司	430.56	0.51
49	08233	中國基建港口有限公司	423.74	0.50
50	08041	中郵電貿(控股)有限公司	415.01	0.49
總額			67,044.78	79.26
市場總值			84,589.06	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2010	第4季	3	424.79
2011	第1季	3	452.01
	第2季	2	139.50
	第3季	3	193.04
	第4季	5	530.56

創業板新上市公司

2011 第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08020	川盟金融集團有限公司	2011/10/12	500,000,000	60.00
08122	先健科技公司	2011/11/10	500,000,000	250.00
08012	仁恒實業控股有限公司	2011/11/18	200,000,000	60.00
08215	第一信用控股有限公司	2011/12/13	1,000,000,000	90.00
08246	名軒(中國)控股有限公司	2011/12/30	280,000,000	70.56
總額				530.56

除牌上市公司－創業板

2011 年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
08290	天津天聯公用事業股份有限公司－H股*	2011/10/17	2011/10/18 [§]

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板公司名稱更改

2011 年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08272	進能國際有限公司	華人飲食集團有限公司	2011/08/31	2011/10/10
08270	中國聯盛投資集團有限公司	中國聯盛煤層氣頁岩氣產業集團有限公司	2011/10/20	2011/11/09
08351	永恆國際股份有限公司	俊文寶石國際有限公司	2011/10/28	2011/12/08

創業板 股份

創業板紅股／紅利認股權證

2011年第4季

股份代號	公司	詳情	除淨日
NIL			

創業板股份拆細及合併

2011年第4季

股份代號	公司	詳情	生效日期
08022	彩娛集團有限公司	5股合併為1股	2011/10/19
08120	中華藥業生物科學有限公司	40股合併為1股	2011/10/20
08103	泰盛國際(控股)有限公司	10股合併為1股	2011/11/11
08078	中國3D數碼娛樂有限公司	25股合併為1股	2011/12/19

創業板供股／公開售股

2011年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
11月	08213	惟膳有限公司	股權2供1，每股供0.06港元	32.86
總額				32.86

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2011					
9月**	08351	俊文寶石	72,700,000	0.750	54.53
10月	08120	CHINA MEDICAL	272,000,000	0.200	54.40
	08271	環球數碼創意	223,000,000	0.350	78.05
	08096	瑞豐石化	60,000,000	0.700	42.00
	08085	中民安園	415,000,000	0.069	28.64
11月	08321	中國汽車內飾	40,000,000	0.250	10.00
	08137	洪橋集團	25,893,334	1.500	38.84
	08028	天時軟件	168,000,000	0.150	25.20
	08130	智城控股	30,000,000	0.257	7.71
12月	08111	中科光電	32,400,000	0.300	9.72
	08351	俊文寶石	30,700,000	0.760	23.33
	08167	中國新電信	270,000,000	0.300	81.00
	08096	瑞豐石化	232,000,000	0.250	58.00
	08103	泰盛國際控股	12,820,000	0.210	2.69
	08130	智城控股	23,600,000	0.257	6.07
總額					520.17

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2010	第4季	1	13.61	40.15
2011	第1季	2	2.74	43.08
	第2季	2	1.03	21.87
	第3季	2	0.23	25.46
	第4季	2	0.07	15.97

創業板新上市股份認股權證

2011年第4季

股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
Nil					
總額					-

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2011年12月底 收市	2011年9月底 收市	升跌
標準普爾／香港交易所大型股指數	22252.19	21233.24	+ 4.80%
標準普爾／香港交易所創業板指數	474.80	441.40	+ 7.57%
恒生指數	18434.39	17592.41	+ 4.79%
分類指數			
金融	24903.00	23312.15	+ 6.82%
公用事業	45400.86	45943.67	- 1.18%
地產	22812.24	20957.65	+ 8.85%
工商業	11126.69	10834.86	+ 2.69%
恒生香港中資企業指數	3682.18	3391.13	+ 8.58%
恒生中國企業指數	9936.48	8917.36	+11.43%

股票市場指數

世界指數

指數	2011年12月底 收市	2011年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	22,252.19	21,233.24	+ 4.80%
恒生指數	18,434.39	17,592.41	+ 4.79%
澳洲			
普通股指數	4,111.04	4,070.09	+ 1.01%
雅加達			
綜合指數	3,821.99	3,549.03	+ 7.69%
日本			
日經指數(225)	8,455.35	8,700.29	- 2.82%
韓國			
綜合指數	1,825.74	1,769.65	+ 3.17%
吉隆坡			
綜合指數	1,530.73	1,387.13	+ 10.35%
馬尼拉			
綜合指數	4,371.96	3,999.65	+ 9.31%
紐西蘭			
證交所指數(50)	3,274.71	3,343.35	- 2.05%
上海			
A股指數	2,304.12	2,471.10	- 6.76%
B股指數	215.26	239.33	- 10.06%
深圳			
A股指數	906.91	1,053.14	- 13.88%
B股指數	567.04	553.42	+ 2.46%
新加坡			
FTSE海峽時報指數	2,646.35	2,675.16	- 1.08%
台灣			
台北加權股份指數	7,072.08	7,225.38	- 2.12%
泰國			
證交所指數	1,025.32	916.21	+ 11.91%
紐約			
杜瓊斯工業平均指數	12,217.56	10,913.38	+ 11.95%
Nasdaq綜合指數	2,605.15	2,415.40	+ 7.86%
多倫多			
S&P/TSX綜合指數	11,955.09	11,623.84	+ 2.85%
德國			
法蘭克福指數	3,346.06	3,121.22	+ 7.20%
倫敦			
富時指數(100)	5,572.30	5,128.50	+ 8.65%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2011年第4季	2010年第4季
恒生指數期貨	5,717,012	5,839,361
恒生指數期權	2,311,629	2,731,179
小型恒生指數期貨	2,873,202	2,150,209
小型恒生指數期權	256,356	168,425
H股指數期貨	4,067,025	3,242,625
H股指數期權	965,184	941,418
小型H股指數期貨	500,325	324,632
自訂條款恒生指數期權	3,850	3,710
自訂條款H股指數期權	14,740	634
恒指股息點指數期貨 ¹	1,521	2,123
恒生國企股息點指數期貨 ²	22,740	4,667
股票期貨	100,586	121,049
股票期權	17,604,585	20,445,628
三個月港元利率期貨	64	355
一個月港元利率期貨	25	—
三年期外匯基金債券期貨	—	—
黃金期貨	120	1,972
總數	34,438,964	35,977,987

¹ 恒指股息點指數期貨由2010年11月01日開始買賣

² 恒生國企股息點指數期貨由2010年11月01日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第4季	5,839,361	88,816
2011年	第1季	5,589,341	89,300
	第2季	5,167,935	95,917
	第3季	6,611,545	128,201
	第4季	5,717,012	86,409

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第4季	2,150,209	7,359
2011年	第1季	2,176,878	8,475
	第2季	2,160,435	10,213
	第3季	3,084,022	11,015
	第4季	2,873,202	5,129

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第4季	2,731,179	187,784
2011年	第1季	2,673,755	229,086
	第2季	2,584,559	222,085
	第3季	3,097,483	431,313
	第4季	2,311,629	184,402

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2010年	第4季	3,242,625	94,734
2011年	第1季	3,206,173	107,348
	第2季	3,371,887	114,333
	第3季	4,358,785	155,125
	第4季	4,067,025	106,277

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2010年	第4季	18,050.22	20,445,628	5,381,215
2011年	第1季	16,446.28	18,417,824	5,912,772
	第2季	14,491.79	16,340,993	5,622,028
	第3季	24,566.72	21,961,666	8,112,569
	第4季	16,977.30	17,604,585	5,329,494

衍生產品市場 期貨及期權

各期權類別之期權金總額

2011年第4季

期權類別		期權金額(百萬港元)	佔市場百分比
X 安碩 A50 中國	A50	515.29	3.04%
農業銀行	ABC	298.18	1.76%
安徽海螺水泥股份	ACC	8.24	0.05%
友邦保險	AIA	325.49	1.92%
中國鋁業	ALC	34.74	0.20%
中國銀行	BCL	355.29	2.09%
交通銀行	BCM	37.11	0.22%
東亞銀行	BEA	28.99	0.17%
百麗國際	BIH	3.50	0.02%
中銀香港	BOC	260.77	1.54%
比亞迪股份	BYD	86.13	0.51%
建設銀行	CCB	664.98	3.92%
中國交通建設	CCC	15.06	0.09%
中國中煤能源	CCE	208.31	1.23%
中國遠洋	CCS	19.86	0.12%
中國移動	CHT	1,464.89	8.63%
中國聯通	CHU	225.96	1.33%
中信泰富	CIT	55.16	0.32%
長江實業	CKH	501.84	2.96%
中國人壽	CLI	1,534.87	9.04%
中電控股	CLP	57.72	0.34%
招商銀行	CMB	208.46	1.23%
中國海洋石油	CNC	553.14	3.26%
中國海外發展	COL	240.28	1.42%
國泰航空	CPA	8.11	0.05%
中國石油化工股份	CPC	317.07	1.87%
中國太保	CPI	107.83	0.64%
中國鐵建	CRC	13.55	0.08%
中國中鐵	CRG	10.39	0.06%
X 標智滬深300	CS3	7.06	0.04%
中國神華	CSE	371.63	2.19%
中信銀行	CTB	20.31	0.12%
中國電信	CTC	74.53	0.44%
思捷環球	ESP	22.05	0.13%
富士康國際	FIH	7.25	0.04%
電能實業	HEH	58.53	0.34%
香港交易所	HEX	513.48	3.02%
匯豐控股	HKB	1,923.26	11.33%
香港中華煤氣	HKG	4.78	0.03%
恒基地產	HLD	43.10	0.25%
華能國際電力股份	HNP	5.54	0.03%
恒生銀行	HSB	81.29	0.48%
和記黃埔	HWL	812.04	4.78%
工商銀行	ICB	551.96	3.25%
江西銅業	JXC	340.95	2.01%
利豐	LIF	87.21	0.51%
地鐵公司	MTR	20.66	0.12%
中國建材	NBM	11.13	0.07%
新世界發展	NWD	43.59	0.26%
中國平安	PAI	851.10	5.01%
中國石油股份	PEC	391.58	2.31%
中國人民財產保險	PIC	107.29	0.63%
富力地產	RFP	9.34	0.06%
新鴻基地產	SHK	1,082.54	6.38%
太古股份公司A	SWA	62.29	0.37%
騰訊控股	TCH	932.85	5.49%
盈富基金	TRF	23.19	0.14%
九龍倉集團	WHL	64.83	0.38%
兗州煤業股份	YZC	278.78	1.64%
紫金礦業	ZJM	11.95	0.07%
總額		16,977.30	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2011年第四季

期權類別		合約成交量	佔市場百分比
X 安碩 A50 中國	A50	227,795	1.29%
農業銀行	ABC	131,442	0.75%
安徽海螺水泥股份	ACC	15,310	0.09%
友邦保險	AIA	322,235	1.83%
中國鋁業	ALC	51,504	0.29%
中國銀行	BCL	1,188,781	6.75%
交通銀行	BCM	92,881	0.53%
東亞銀行	BEA	89,265	0.51%
百麗國際	BIH	6,891	0.04%
中銀香港	BOC	284,086	1.61%
比亞迪股份	BYD	122,791	0.70%
建設銀行	CCB	2,641,521	15.00%
中國交通建設	CCC	49,776	0.28%
中國中煤能源	CCE	224,637	1.28%
中國遠洋	CCS	82,846	0.47%
中國移動	CHT	944,271	5.36%
中國聯通	CHU	151,122	0.86%
中信泰富	CIT	50,768	0.29%
長江實業	CKH	149,270	0.85%
中國人壽	CLI	844,569	4.80%
中電控股	CLP	89,169	0.51%
招商銀行	CMB	414,147	2.35%
中國海洋石油	CNC	553,236	3.14%
中國海外發展	COL	133,937	0.76%
國泰航空	CPA	14,218	0.08%
中國石油化工股份	CPC	323,934	1.84%
中國太保	CPI	92,691	0.53%
中國鐵建	CRC	58,123	0.33%
中國中鐵	CRG	41,836	0.24%
X 標智滬深300	CS3	5,547	0.03%
中國神華	CSE	298,304	1.69%
中信銀行	CTB	46,595	0.26%
中國電信	CTC	128,485	0.73%
思捷環球	ESP	268,085	1.52%
富士康國際	FIH	24,553	0.14%
電能實業	HEH	91,546	0.52%
香港交易所	HEX	853,680	4.85%
匯豐控股	HKB	1,396,581	7.93%
香港中華煤氣	HKG	12,723	0.07%
恒基地產	HLD	24,283	0.14%
華能國際電力股份	HNP	14,408	0.08%
恒生銀行	HSB	251,828	1.43%
和記黃埔	HWL	253,742	1.44%
工商銀行	ICB	1,773,728	10.08%
江西銅業	JXC	229,270	1.30%
利豐	LIF	53,641	0.30%
地鐵公司	MTR	40,705	0.23%
中國建材	NBM	9,718	0.06%
新世界發展	NWD	71,936	0.41%
中國平安	PAI	343,729	1.95%
中國石油股份	PEC	370,261	2.10%
中國人民財產保險	PIC	71,192	0.40%
富力地產	RFP	30,615	0.17%
新鴻基地產	SHK	194,228	1.10%
太古股份公司A	SWA	48,759	0.28%
騰訊控股	TCH	1,083,035	6.15%
盈富基金	TRF	64,421	0.37%
九龍倉集團	WHL	40,569	0.23%
兗州煤業股份	YZC	93,109	0.53%
紫金礦業	ZJM	22,257	0.13%
總數		17,604,585	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年/月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2010 第4季	92,915	54.76	234.79
2011 第1季	86,292	47.11	202.59
第2季	87,498	49.00	233.07
第3季	84,654	50.41	221.54
第4季	76,802	44.37	186.44

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年/月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2010 第4季	715	120.35	480.27
2011 第1季	516	178.77	227.52
第2季	527	108.05	323.66
第3季	489	123.92	383.03
第4季	342	83.77	97.11

經中央結算系統存管的股份

(按季度結存統計)

年/月	股數 (10億股)	佔獲納入中央 結算系統的證券 的已發行股數 (%)	市值 (10億港元)	佔獲納入中央 結算系統的證券 的市價總值 (%)
2010 第4季	3,834.10	71.80	11,294.50	49.57
2011 第1季	3,933.19	72.49	11,539.57	50.44
第2季	3,707.09	69.37	11,612.87	50.11
第3季	3,771.07	71.19	8,909.87	49.96
第4季	3,694.24	70.17	9,599.91	50.23

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2010 第4季	904,380	100	171,236.05	100	86,455.45	100
2011 第1季	855,117	100	164,899.54	100	75,908.44	100
第2季	869,474	100	156,793.01	100	71,017.11	100
第3季	908,434	100	165,464.02	100	72,538.55	100
第4季	857,422	100	161,396.94	100	59,305.03	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額交收」的股份數額宗數	淨額結算效率 (%)	待交收的股數 (百萬股)	淨額結算效率 (%)
2010 第4季	80,167	95.27	31,301.46	90.52
2011 第1季	74,506	95.39	27,083.36	91.57
第2季	70,588	95.73	25,359.54	91.71
第3季	63,710	96.23	20,235.50	93.60
第4季	56,834	96.38	18,005.04	94.13

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月	交收效率 (%)	
	到期交收日	到期交收日翌日
2010 第4季	99.86	99.99
2011 第1季	99.90	99.99
第2季	99.88	99.99
第3季	99.87	99.99
第4季	99.89	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2011年第4季	2010年第4季
恒生指數期貨	86,409	88,816
恒生指數期權	184,402	187,784
小型恒生指數期貨	5,129	7,359
小型恒生指數期權	3,873	4,859
H股指數期貨	106,277	94,734
H股指數期權	158,628	104,994
小型H股指數期貨	1,520	1,867
自訂條款恒生指數期權	7,510	500
自訂條款H股指數期權	3,500	–
恒指股息點指數期貨 ¹	4,460	1,270
恒生國企股息點指數期貨 ²	34,270	1,138
股票期貨	11,277	11,514
股票期權	5,329,494	5,381,215
三個月港元利率期貨	24	267
一個月港元利率期貨	25	0
三年期外匯基金債券期貨	–	–
黃金期貨	0	85
總數	5,936,798	5,886,402

¹ 恒指股息點指數期貨由2010年11月01日開始買賣

² 恒生國企股息點指數期貨由2010年11月01日開始買賣

結算及交收

各期權類別之未平倉合約

2011年第4季末

期權類別		未平倉合約	佔市場百分比
X安碩A50中國	A50	62,732	1.18
農業銀行	ABC	65,736	1.23
安徽海螺水泥股份	ACC	3,482	0.07
友邦保險	AIA	80,483	1.51
中國鋁業	ALC	25,555	0.48
中國銀行	BCL	651,469	12.22
交通銀行	BCM	62,679	1.18
東亞銀行	BEA	32,392	0.61
百麗國際	BIH	2,089	0.04
中銀香港	BOC	133,974	2.51
比亞迪股份	BYD	19,121	0.36
建設銀行	CCB	830,348	15.58
中國交通建設	CCC	20,955	0.39
中國中煤能源	CCE	121,701	2.28
中國遠洋	CCS	36,081	0.68
中國移動	CHT	177,860	3.34
中國聯通	CHU	44,950	0.84
中信泰富	CIT	28,263	0.53
長江實業	CKH	32,018	0.60
中國人壽	CLI	218,197	4.09
中電控股	CLP	19,267	0.36
招商銀行	CMB	154,497	2.90
中國海洋石油	CNC	223,487	4.19
中國海外發展	COL	37,971	0.71
國泰航空	CPA	6,232	0.12
中國石油化工股份	CPC	141,795	2.66
中國太保	CPI	16,670	0.31
中國鐵建	CRC	17,888	0.34
中國中鐵	CRG	11,163	0.21
X標智滬深300	CS3	868	0.02
中國神華	CSE	134,273	2.52
中信銀行	CTB	16,387	0.31
中國電信	CTC	57,622	1.08
思捷環球	ESP	46,869	0.88
富士康國際	FIH	4,821	0.09
電能實業	HEH	18,934	0.36
香港交易所	HEX	163,026	3.06
匯豐控股	HKB	273,100	5.12
香港中華煤氣	HKG	4,461	0.08
恒基地產	HLD	6,984	0.13
華能國際電力股份	HNP	6,424	0.12
恒生銀行	HSB	50,355	0.94
和記黃埔	HWL	61,059	1.15
工商銀行	ICB	630,622	11.83

結算及交收

期權類別		未平倉合約	佔市場百份比
江西銅業	JXC	38,750	0.73
利豐	LIF	9,065	0.17
地鐵公司	MTR	16,485	0.31
中國建材	NBM	3,953	0.07
新世界發展	NWD	18,884	0.35
中國平安	PAI	73,524	1.38
中國石油股份	PEC	137,125	2.57
中國人民財產保險	PIC	16,908	0.32
富力地產	RFP	24,643	0.46
新鴻基地產	SHK	28,642	0.54
太古股份公司A	SWA	7,945	0.15
騰訊控股	TCH	132,749	2.49
盈富基金	TRF	14,346	0.27
九龍倉集團	WHL	9,002	0.17
兗州煤業股份	YZC	35,366	0.66
紫金礦業	ZJM	7,247	0.14
總數		5,329,494	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2010	第4季	538 (960)	485 (904)	31 (34)	22 (22)
2011	第1季	544 (965)	489 (907)	33 (36)	22 (22)
	第2季	547 (970)	490 (906)	35 (42)	22 (22)
	第3季	549 (973)	494 (911)	34 (41)	21 (21)
	第4季	555 (980)	498 (916)	36 (43)	21 (21)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2010	第4季	221 (246)	179 (202)	–	42 (44)
2011	第1季	222 (246)	180 (202)	–	42 (44)
	第2季	228 (252)	184 (206)	–	44 (46)
	第3季	228 (252)	185 (207)	–	43 (45)
	第4季	228 (252)	182 (204)	–	46 (48)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2010 第4季	153	9
2011 第1季	156	9
第2季	159	9
第3季	160	9
第4季	156	10

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2010 第4季	56	6
2011 第1季	57	7
第2季	57	7
第3季	58	7
第4季	59	7

中央結算所參與者

年／月	直接結算參與者	全面結算參與者	非經紀參與者 (*)
2010 第4季	468	7	44
2011 第1季	473	8	46
第2季	474	8	46
第3季	478	8	46
第4季	482	8	47

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券