



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

Fourth Quarter 2011

Market Highlights

Main Board

Highlights	4th Quarter 2011	4th Quarter 2010
No. of listed companies	1,326	1,244
No. of listed securities	6,551	7,730
Equities		
Ordinary shares	1,327	1,245
Preference shares	3	3
Warrants	4,040	5,170
Equity	13	22
Derivative	4,027	5,148
Equity linked instrument	0	0
Callable bull/bear contracts	901	1064
Unit trusts/mutual funds	88	79
Debt securities	192	169
No. of trading only stocks		
Nasdaq stocks	7	7
iShares	2	2
No. of trading days	62	64
Total turnover in value (HK\$ mil.) *	3,668,692.89	5,494,945.12
Total turnover in shares (Mil. shs)	9,965,747.96	10,838,200.62
Total number of trades (deals)	52,904,378	56,912,394
Total market capitalisation (HK\$ mil.)	17,452,667.08	20,942,284.39
Average P/E ratio (times)	9.68	16.67
Average dividend yield (%)	3.31	2.31
Number of exchange participants		
– Trading	498	485
– Non-trading	36	31
Turnover in value (HK\$ mil.)		
Highest	109,458.86 (28/10)	138,533.28 (14/10)
Lowest	24,466.29 (30/12)	40,795.37 (29/12)
Turnover in shares (Mil. shs)		
Highest	216,852.31 (09/12)	252,604.52 (12/11)
Lowest	53,568.49 (30/12)	99,145.84 (23/12)
Total number of trades (deals)		
Highest	1,490,991 (28/10)	1,326,249 (14/10)
Lowest	400,819 (30/12)	524,860 (29/12)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Growth Enterprise Market (GEM)

Highlights	4th Quarter 2011	4th Quarter 2010
No. of listed companies	170	169
No. of listed securities	172	170
Equities	170	169
Warrants	2	1
No. of trading days	62	64
Total turnover in value (HK\$ mil.) *	9,971.43	38,541.26
Total turnover in shares (Mil. shs)	41,266.01	120,907.91
Total number of trades (deals)	288,727	969,904
Total market capitalisation (HK\$ mil.)	84,589.06	134,674.08
Average P/E ratio (times)	22.16	31.10
Average dividend yield (%)	0.52	0.95
Turnover in value (HK\$ mil.)		
Highest	407.04 (11/11)	1,656.05 (27/10)
Lowest	96.35 (21/12)	300.89 (29/12)
Turnover in shares (Mil. shs)		
Highest	1,254.42 (14/12)	3,868.03 (27/10)
Lowest	355.01 (19/12)	1,044.09 (22/11)
Total number of trades (deals)		
Highest	6,771 (14/10)	27,698 (10/11)
Lowest	3,366 (06/10)	9,102 (29/12)

* Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Market Highlights

Derivatives Market Highlights

Highlights	4th Quarter 2011	4th Quarter 2010
All futures and options products (excluding stock options)		
No. of trading days	62.0	63.0
Total contract volume	16,834,379	15,532,359
Equity index products	16,733,584	15,408,983
Equity products	100,586	121,049
Interest rates products	89	355
Gold Futures	120	1,972
Average daily contract volume	271,522	246,545
Total open interest as at the quarter end (contracts)	607,304	505,187
Equity index products	595,978	493,321
Equity products	11,277	11,514
Interest rates products	49	267
Gold Futures	–	85
Stock options		
No. of newly introduced stock options classes	3	3
No. of stock options as at the quarter end		
Class	60	57
Series	15,450	11,510
Total premium (HK\$ mil.)	16,977.30	18,050.22
Call	5,904.59	8,389.25
Put	11,072.71	9,660.97
Average daily premium (HK\$ mil.)	273.83	286.51
Total contract volume	17,604,585	20,445,628
Call	8,621,831	9,687,649
Put	8,982,754	10,757,979
Average daily contract volume	283,945	324,534
Total open interest as at the quarter end (contracts)	5,329,494	5,381,215
Call	2,420,694	1,969,501
Put	2,908,800	3,411,714
Total number of trades	701,060	717,466
Average daily number of trades	11,307	11,388
Total contracts exercised	2,327,126	2,046,257
Average premium per contract (HK\$)	964.37	883
Average contract per trade (contracts)	25	28
Put volume/call volume	1.04	1.11
Average daily contract volume/open interest	5.3%	6.0%
All futures and options products		
Total contract volume	34,438,964	35,977,987
Total open interest as at the quarter end (contracts)	5,936,798	5,886,402

Market Highlights

Clearing and Settlement Statistics

Securities Market	4th Quarter 2011	4th Quarter 2010
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	857,422	904,380
– Value of trades (HK\$bil.)	59.3	86.5
– Share quantity Involved (bil shares)	161.4	171.2
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	76,802	92,915
– Value of SIs (HK\$bil.)	186.4	234.8
– Share quantity involved (bil. Shares)	44.4	54.8
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	342	715
– Value of ISIs (HK\$mil.)	97.1	480.3
– Share quantity involved (mil. shares)	83.8	120.3
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.89	99.86
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	5	10
– Number of buy-ins	5	11
– Value of buy-ins (HK\$mil)	1.5	4.3
Shares Deposited in the CCASS Depository		
– Number of shares (bil shares)	3,694.2	3,834.1
– Percentage of total issued shares of the admitted securities	70.17	71.80
– Value of shares (HK\$bil)	9,599.9	11,294.5
– Percentage of the total market capitalisation of the admitted securities	50.23	49.57
Derivatives Market		
Open Interest		
– Equity Index Futures	238,065	195,184
– Stock Futures	11,277	11,514
– Gold Futures	–	85
– Interest Rates Futures	49	267
– Equity Index Options	357,913	298,137
– Stock Options	5,329,494	5,381,215
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	2,254	2,025
– Value of shares (HK\$bil.)	36	38

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2010	Q4	1,011,031.65	3,847,088.03	46,784,952
2011	Q1	747,798.73	3,315,169.08	42,267,831
	Q2	754,334.25	3,069,149.98	41,652,899
	Q3	597,541.33	3,222,655.92	45,991,628
	Q4	568,209.23	2,399,034.07	42,392,538

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2010	Q4	64	60,110.75	82,229
2011	Q1	62	53,470.47	78,432
	Q2	59	52,019.49	73,684
	Q3	63	51,153.27	70,070
	Q4	62	38,694.10	56,591

Main Board Equities

20 Most Advanced Stocks

for 4th quarter 2011

Rank	Code	Stock	Closing price		% up
			End of Dec 2011	End of Sep 2011	
1	00802	RCG	1.000	0.380	163.16
2	00997	DECCA HOLDINGS	2.420	1.020	137.25
3	02312	CH FIN LEASING	0.180	0.076	A 136.84
4	00745	CH RAILSMEDIA	0.147	0.068	116.18
5	00794	COME SURE GROUP	1.720	0.830	107.23
6	01161	WATER OASIS GP	1.220	0.600	103.33
7	00471	CMMB VISION	0.065	0.032	103.13
8	00128	ENM HOLDINGS	0.520	0.260	100.00
9	00149	CH AGRI-PROD EX	0.285	0.148	92.57
10	00065	DETEAM CO LTD	0.750	0.390	92.31
11	00515	TC INTERCONNECT	1.760	0.950	85.26
12	00642	PALADIN PREF	0.345	0.188	83.51
13	00444	SINCEREWATCH HK	1.600	0.900	77.78
14	00250	SINO-I TECH	0.039	0.022	77.27
15	00384	CHINA GAS HOLD	3.570	2.020	76.73
16	00084	STELUX HOLDINGS	1.530	0.870	75.86
17	00448	HANG TEN GROUP	2.650	1.520	74.34
18	00082	VODONE	1.020	0.590	72.88
19	00732	TRULY INT'L	1.350	0.790	70.89
20	01938	CHU KONG PIPE	2.060	1.230	67.48

A Adjusted

20 Most Declined Stocks

for 4th quarter 2011

Rank	Code	Stock	Closing price		% down
			End of Dec 2011	End of Sep 2011	
1	00578	CHINA CBM GP	0.105	0.640	-83.59
2	00910	CH G FOREST-NEW	0.730	2.860	A -74.48
3	01141	BJ YUSHENGTANG	0.034	0.109	-68.81
4	00943	EFORCE HOLDINGS	0.205	0.600	A -65.83
5	01386	WALKER GROUP	0.420	1.200	-65.00
6	00582	GREENFIELD CHEM	0.440	1.200	-63.33
7	00202	INTERCHINA HOLD	0.415	1.070	-61.21
8	01069	JIANGCHEN INTL	0.720	1.760	-59.09
9	00329	DRAGONITE INT'L	0.032	0.076	-57.89
10	00449	CHIGO HOLDING	0.188	0.425	-55.76
11	00412	HERITAGE INT'L	0.131	0.295	-55.59
12	00166	NEWTIMES ENERGY	0.590	1.300	A -54.62
13	00108	BUILDMORE INT'L	0.900	1.980	-54.55
14	00061	NORTH ASIA RES	0.222	0.480	-53.75
15	00922	CHINA BOON HLDG	0.131	0.275	-52.36
16	00076	SOUTH SEA PETRO	0.237	0.490	-51.63
17	00313	RICHLY FIELD	0.080	0.162	-50.62
18	01383	HONG LONG HLDGS	0.177	0.350	A -49.43
19	00901	RADFORD CAPITAL	0.228	0.445	-48.76
20	00273	WILLIE INT'L	0.195	0.375	A -48.00

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 4th quarter 2011

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00939	CCB	130,384.23	5.43
2	01398	ICBC	95,735.47	3.99
3	00941	CHINA MOBILE	79,361.13	3.31
4	00005	HSBC HOLDINGS	65,365.15	2.72
5	03988	BANK OF CHINA	64,140.82	2.67
6	00883	CNOOC	63,473.08	2.65
7	02628	CHINA LIFE	55,674.00	2.32
8	02318	PING AN	51,532.97	2.15
9	00857	PETROCHINA	50,106.96	2.09
10	00700	TENCENT	49,057.34	2.04
11	01288	ABC	40,631.28	1.69
12	01299	AIA	40,305.18	1.68
13	00386	SINOPEC CORP	39,259.79	1.64
14	03323	CNBM	39,061.78	1.63
15	00388	HKEX	38,574.50	1.61
16	01088	CHINA SHENHUA	31,518.00	1.31
17	00013	HUTCHISON	31,313.70	1.31
18	00762	CHINA UNICOM	29,526.99	1.23
19	00016	SHK PPT	29,332.90	1.22
20	00688	CHINA OVERSEAS	28,837.17	1.20
Total			1,053,192.45	43.90

20 Most Active Stock by Shares

for 4th quarter 2011

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	00993	SIMSEN INT'L	28,311.40	4.98
2	00939	CCB	24,680.71	4.34
3	03988	BANK OF CHINA	23,971.76	4.22
4	01398	ICBC	21,185.67	3.73
5	00578	CHINA CBM GP	14,501.43	2.55
6	00767	PACIFIC PLYWOOD	13,130.18	2.31
7	01288	ABC	12,670.07	2.23
8	00985	CST MINING	8,139.88	1.43
9	03800	GCL-POLY ENERGY	7,376.38	1.30
10	00067	LUMENA NEWMAT	6,023.76	1.06
11	00493	GOME	5,248.18	0.92
12	00254	CH OUTDOORMEDIA	5,245.70	0.92
13	00279	FREEMAN FIN	5,237.76	0.92
14	00857	PETROCHINA	5,189.38	0.91
15	00386	SINOPEC CORP	5,039.47	0.89
16	03333	EVERGRANDE	4,900.94	0.86
17	00883	CNOOC	4,517.17	0.79
18	03323	CNBM	4,360.18	0.77
19	00202	INTERCHINA HOLD	4,143.53	0.73
20	00910	CH G FOREST-NEW	4,075.06	0.72
Total			207,948.59	36.60

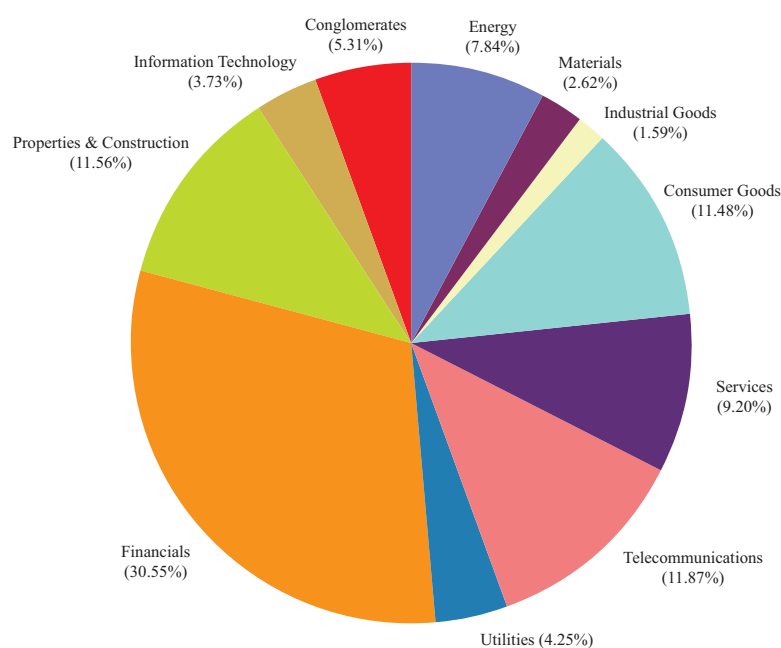
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2010	Q4	20,942,284.39
2011	Q1	21,259,067.69
	Q2	20,992,345.92
	Q3	16,147,694.26
	Q4	17,452,667.08

Market Capitalisation by Hang Seng Industry Classification System* – Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 4th quarter end 2011

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,523,404.51	8.73	
2	00939	China Construction Bank Corporation – H Shares	1,303,061.87	7.47	
3	00005	HSBC Holdings plc	1,054,202.13	6.04	
4	00883	CNOOC Ltd.	606,471.68	3.47	
5	02888	Standard Chartered PLC	404,622.15	2.32	
6	01398	Industrial and Commercial Bank of China Ltd. – H Shares	400,120.55	2.29	
7	00762	China Unicom (Hong Kong) Ltd.	385,050.50	2.21	
8	00805	Glencore International plc *	–	329,867.30	N.A.
9	01299	AIA Group Ltd.	292,067.00	1.67	
10	00700	Tencent Holdings Ltd.	287,194.97	1.65	
11	00013	Hutchison Whampoa Ltd.	277,332.27	1.59	
12	00016	Sun Hung Kai Properties Ltd.	250,193.31	1.43	
13	03988	Bank of China Ltd. – H Shares	239,159.71	1.37	
14	00001	Cheung Kong (Holdings) Ltd.	214,013.58	1.23	
15	00857	PetroChina Co. Ltd. – H Shares	204,026.36	1.17	
16	02388	BOC Hong Kong (Holdings) Ltd.	194,539.16	1.11	
17	02378	Prudential plc *	–	188,554.91	N.A.
18	01928	Sands China Ltd.	176,680.70	1.01	
19	00011	Hang Seng Bank Ltd.	176,176.31	1.01	
20	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	160,242.30	0.92	
21	00002	CLP Holdings Ltd.	158,925.77	0.91	
22	03328	Bank of Communications Co., Ltd. – H Shares	158,428.68	0.91	
23	00945	Manulife Financial Corporation *	–	145,700.11	N.A.
24	00066	MTR Corporation Ltd.	145,487.66	0.83	
25	02628	China Life Insurance Co. Ltd. – H Shares	142,870.56	0.82	
26	00003	Hong Kong and China Gas Co. Ltd., The	142,209.97	0.81	
27	00019	Swire Pacific Ltd.	139,590.70	0.80	
28	01929	Chow Tai Fook Jewellery Group Ltd.	139,200.00	0.80	
29	00386	China Petroleum & Chemical Corporation – H Shares	137,096.59	0.79	
30	00388	Hong Kong Exchanges and Clearing Ltd.	134,016.41	0.77	
31	00322	Tingyi (Cayman Islands) Holding Corp.	131,926.68	0.76	
32	00006	Power Assets Holdings Ltd.	122,613.33	0.70	
33	06210	Vale S.A. – DR *	–	122,246.86	N.A.
34	00494	Li & Fung Ltd.	116,528.60	0.67	
35	01088	China Shenhua Energy Co. Ltd. – H Shares	114,532.23	0.66	
36	01880	Belle International Holdings Ltd.	114,199.51	0.65	
37	01038	Cheung Kong Infrastructure Holdings Ltd.	106,411.30	0.61	
38	00004	Wharf (Holdings) Ltd., The	106,326.58	0.61	
39	00688	China Overseas Land & Investment Ltd.	106,079.30	0.61	
40	01288	Agricultural Bank of China Ltd. – H Shares	102,667.67	0.59	
41	00151	Want Want China Holdings Ltd.	102,453.58	0.59	
42	01128	Wynn Macau, Ltd.	101,157.23	0.58	
43	00101	Hang Lung Properties Ltd.	98,848.22	0.57	
44	06808	Sun Art Retail Group Ltd.	92,630.53	0.53	
45	00012	Henderson Land Development Co. Ltd.	91,439.73	0.52	
46	01913	PRADA S.p.A.	89,942.66	0.52	
47	01044	Hengan International Group Co. Ltd.	89,287.41	0.51	
48	00135	Kunlun Energy Co. Ltd.	79,288.13	0.45	
49	00486	United Company RUSAL Ltd. *	–	74,749.63	N.A.
50	01109	China Resources Land Ltd.	72,714.33	0.42	
Total			11,285,432.44	64.66	
Market Total			17,452,667.08	100.00	

Notes:

Foreign company included since July 2010

* Foreign companies not solely listed in Hong Kong

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Equity turnover (HK\$ mil.) *	% of equity total
2010	Q4	308,007.77	3,885,615.68	7.93
2011	Q1	273,905.42	3,337,783.59	8.21
	Q2	327,730.09	3,089,381.94	10.61
	Q3	409,874.98	3,232,791.53	12.68
	Q4	324,530.48	2,409,005.43	13.47

* Include Main Board and GEM figures

Note: Turnover value traded in non-HKD currency securities have been included start from 29 November 2010.

Short Selling (share)

		Short selling turnover (Mil. shs)	Equity turnover (Mil. shs) *	% of equity total
2010	Q4	26,787.09	1,131,725.16	2.37
2011	Q1	22,721.76	872,394.66	2.60
	Q2	29,078.38	833,504.44	3.49
	Q3	39,305.84	637,817.87	6.16
	Q4	33,276.30	609,468.85	5.46

* Include Main Board and GEM figures

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.)#
2010	Q4	47	5	288,596.31
2011	Q1	10	4	17,317.76
	Q2	22	6	156,775.55
	Q3	18	1	19,494.12
	Q4	26	1	64,606.62

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 4th quarter 2011

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
06030	CITIC Securities Co. Ltd. – H Shares	2011/10/06	1,178,327,700	14,247.05
06813	CapitaMalls Asia Ltd.	2011/10/18	3,885,081,827	–
01265	Tianjin Tianlian Public Utilities Co. Ltd. – H Shares *	2011/10/18	500,060,000	–
01206	Technovator International Ltd.	2011/10/27	485,200,000	122.00
01152	Fornton Group Ltd.	2011/10/28	416,000,000	52.00
01239	Jin Bao Bao Holdings Ltd.	2011/11/18	200,000,000	62.50
01269	China Vehicle Components Technology Holdings Ltd.	2011/11/23	320,000,000	112.00
00844	Grand Concord International Holdings Ltd.	2011/11/24	380,000,000	80.00
06823	HKT Trust and HKT Ltd. – SS	2011/11/29	6,416,730,792	9,301.69
06388	Coach, Inc. – RS	2011/12/01	293,603,937	–
01023	Sitoy Group Holdings Ltd.	2011/12/06	1,001,532,000	745.56
06883	Melco Crown Entertainment Ltd.	2011/12/07	1,653,101,002	–
02121	China First Chemical Holdings Ltd.	2011/12/09	802,191,000	545.92
01262	China Lifestyle Food and Beverages Group Ltd.	2011/12/09	1,125,600,000	747.30
01146	China Outfitters Holdings Ltd.	2011/12/09	3,452,148,000	1,176.20
06880	OTO Holdings Ltd.	2011/12/13	320,000,000	126.40
01293	Baoxin Auto Group Ltd.	2011/12/14	2,528,740,000	3,224.22
02133	China Polymetallic Mining Ltd.	2011/12/14	2,000,000,000	1,110.00
01929	Chow Tai Fook Jewellery Group Ltd.	2011/12/15	10,000,000,000	15,990.94
01336	New China Life Insurance Co. Ltd. – H Shares	2011/12/15	1,034,107,260	10,288.69
02200	Hosa International Ltd.	2011/12/16	1,600,000,000	640.00
01298	Techcomp (Holdings) Ltd.	2011/12/21	232,500,000	–
00579	Beijing Jingneng Clean Energy Co., Ltd. – H Shares	2011/12/22	1,637,546,000	2,112.38
03778	China Weaving Materials Holdings Ltd.	2011/12/22	1,012,500,000	183.75
01252	China Tianrui Group Cement Co. Ltd.	2011/12/23	2,400,900,000	966.17
01251	SPT Energy Group Inc.	2011/12/23	1,335,000,000	412.05
01296	Guodian Technology & Environment Group Corporation Ltd.-H Sh	2011/12/30	1,188,500,000	2,359.80
Total				64,606.62

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Main Board Equities

Withdrawal of Listed Companies

for 4th quarter 2011

Code	Company name	Last trading date	Delisted date
00597	China Resources Microelectronics Ltd.	2011/10/21	2011/11/02
00667	HannStar Board International Holdings Ltd.	2011/11/24	2011/12/09

Main Board Equities

Company Name Changes

for 4th quarter 2011

Code	Old name	New name	Effective date	Adoption date
00983	Shui On Construction and Materials Ltd.	SOCAM Development Ltd.	2011/09/09	2011/10/03
00269	China Timber Resources Group Ltd.	China Resources and Transportation Group Ltd.	2011/08/30	2011/10/07
00274	Global Green Tech Group Ltd.	China Billion Resources Ltd.	2011/08/19	2011/10/07
01229	International Resources Enterprise Ltd.	Nan Nan Resources Enterprise Ltd.	2011/09/19	2011/10/10
00188	SW Kingsway Capital Holdings Ltd.	Sunwah Kingsway Capital Holdings Ltd.	2011/09/14	2011/10/17
01157	Changsha Zoomlion Heavy Ind Science & Tech Dev Co., Ltd.-Hsh	Zoomlion Heavy Industry Science and Technology Co., Ltd.-Hsh	2011/09/14	2011/10/26
00621	Wing Hing International (Holdings) Ltd.	Taung Gold International Ltd.	2011/10/14	2011/11/01
00376	Asia TeleMedia Ltd.	ReOrient Group Ltd.	2011/10/25	2011/11/02
00746	Lee & Man Holding Ltd.	Lee & Man Chemical Co. Ltd.	2011/10/18	2011/11/24
00928	Tack Fat Group International Ltd.	Tack Fiori International Group Ltd.	2011/11/23	2011/12/01
00632	Pearl Oriental Innovation Ltd.	Pearl Oriental Oil Ltd.	2011/11/07	2011/12/06
00550	Recruit Holdings Ltd.	Cinderella Media Group Ltd.	2011/11/28	2011/12/22

Main Board Equities

Bonus Issues/Bonus Warrants

for 4th quarter 2011

Code	Company	Particulars	Ex-date
00866	China Qinfa Group Ltd.	1 for 1	2011/10/04
00572	China Packaging Group Co. Ltd.	13 for 1,000	2011/10/14
00083	Sino Land Co. Ltd.	1 for 10	2011/11/01
00197	Heng Tai Consumables Group Ltd.	1 for 20	2011/12/08
00482	Sandmartin International Holdings Ltd.	1 for 10	2011/12/08
00587	Hua Han Bio-Pharmaceutical Holdings Ltd.	1 for 5	2011/12/20
01633	Magic Holdings International Ltd.	1 for 5	2011/12/20
02312	China Financial Leasing Group Ltd.	4 for 1	2011/12/23

Share Split/Consolidation

for 4th quarter 2011

Code	Company	Particulars	Effective date
01142	Siberian Mining Group Co. Ltd.	Consolidation 20 into 1	2011/10/03
00692	Bao Yuan Holdings Ltd.	Consolidation 20 into 1	2011/10/07
00572	China Packaging Group Co. Ltd.	Consolidation 8 into 1	2011/10/12
02700	Smart Union Group (Holdings) Ltd.	Consolidation 20 into 3	2011/11/01
00943	eForce Holdings Ltd.	Consolidation 25 into 1	2011/11/09
00166	New Times Energy Corporation Ltd.	Consolidation 20 into 1	2011/11/21
01220	Ocean Grand Holdings Ltd.	Consolidation 3 into 1	2011/11/24
00910	China Grand Forestry Green Resources Group Ltd.	Consolidation 20 into 1	2011/12/01
00810	Opes Asia Development Ltd.	Consolidation 10 into 1	2011/12/01
00273	Willie International Holdings Ltd.	Consolidation 5 into 1	2011/12/23

Main Board Equities

Rights Issues & Open Offers

for 4th quarter 2011

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Oct	00224	Pioneer Global Group Ltd.	Open offer 1 for 2 @\$0.73	280.82
	02349	China Water Property Group Ltd.	Open offer 2 for 5 @\$0.50 (after consolidation 10 into 1)	251.25
	00091	New Smart Energy Group Ltd.	Open offer 1 for 1 @\$0.098 (after consolidation 2 into 1)	325.29
	00412	Heritage International Holdings Ltd.	Rts 22 for 1 @\$0.062 with bonus 1 2013 wts for 5 rts shs	388.67
Nov	00361	Sino Golf Holdings Ltd.	Rts 1 for 2 @\$0.39	59.81
	00017	New World Development Co. Ltd.	Rts 1 for 2 @\$5.68	11,331.96
	01136	TCC International Holdings Ltd.	Open offer 3 unlisted new convertible preference shares for 20 @\$4.90	–
Dec	00488	Lai Sun Development Co. Ltd.	Rts 5 for 12 @\$0.09	531.08
	00121	C.P. Lotus Corporation	Open offer 2 unlisted convertible preference shares for 5 @\$0.22	–
	00917	New World China Land Ltd.	Rts 1 for 2 @\$1.49	4,293.15
	01220	Ocean Grand Holdings Ltd.	Open offer 2 for 3 @\$0.133 (after consolidation 3 into 1)	12.53
	01383	Hong Long Holdings Ltd.	Open offer 1 for 2 @\$0.10	61.53
	02328	PICC Property and Casualty Co. Ltd. – H Shares	Rts 1 H share for 10 H shares @\$5.50	1,900.79
Total				19,436.88

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2011					
Sep **	00223	SRGL	30,000,000	0.360	10.80
Oct	00736	CHINA PPT INV	40,000,000	0.181	7.24
	00471	CMMB VISION	687,628,000	0.045	30.94
	00513	CONTINENTAL H	217,647,050	0.170	37.00
	00689	EPI (HOLDINGS)	80,000,000	0.182	14.56
	01142	SIBERIAN MINING	57,500,000	0.800	46.00
	01166	SOLARTECH INT'L	504,510,000	0.200	100.90
Nov	01141	BJ YUSHENGTANG	823,695,952	0.093	76.60
	01130	CHINA ENV RES	360,000,000	0.073	26.28
	00572	CHINA PACKAGING	230,000,000	0.120	27.60
	01833	INTIME	76,669,653	9.900	759.03
	01227	NATIONAL INV	307,110,175	0.055	16.89
	00767	PACIFIC PLYWOOD	817,233,655	0.032	26.15
	00767	PACIFIC PLYWOOD	1,800,000,000	0.025	45.00
	00376	REORIENT GROUP	67,000,000	3.000	201.00
	02700	SMART UNION GP	459,020,000	0.185	84.92
Dec	00039	BIO-DYNAMIC	11,326,134	0.722	8.18
	00404	HSIN CHONG CONS	125,850,000	1.100	138.44
	00202	INTERCHINA HOLD	712,000,000	0.310	220.72
	00996	ORIENTAL GINZA	2,000,000,000	0.380	760.00
	00211	STYLAND HOLD	50,000,000	0.080	4.00
	00273	WILLIE INT'L	128,212,678	0.375	48.08
Total					2,690.33

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2010	Q4	884.16	896,594.85	897,479.00
2011	Q1	393.42	829,434.95	829,828.38
	Q2	401.20	636,349.65	636,750.86
	Q3	28.67	654,145.24	654,173.91
	Q4	100.62	509,956.45	510,057.08

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2010	Q4	22	2,049.41	5,148	142,445.77	5,170	144,495.18
2011	Q1	23	1,217.46	5,689	148,882.52	5,712	150,099.98
	Q2	15	785.68	4,836	96,546.69	4,851	97,332.38
	Q3	15	429.33	4,778	86,648.18	4,793	87,077.51
	Q4	13	602.75	4,027	75,484.38	4,040	76,087.13

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2010	Q4	1	2,703	115,549.29
2011	Q1	2	2,056	86,446.46
	Q2	2	1,606	59,723.05
	Q3	2	1,979	73,939.94
	Q4	1	1,276	53,214.21

Newly Listed Equity Warrants

for 4th quarter 2011

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
01248	Heritage International Holdings Ltd. Warrants 2013	1,253,766,879 units	2011/10/28	2013/10/25	–
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 4th quarter 2011

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
14837	BOCI Asia Ltd. – HKEx European Put Warrants Jul 2012	200,000,000	2011/10/03	2012/07/03	50.00
14835	BOCI Asia Ltd. – Hutchison European Warrants Mar 2012	100,000,000	2011/10/03	2012/03/28	18.00
14836	BOCI Asia Ltd. – Tencent Holdings European Warrants Mar 2012	200,000,000	2011/10/03	2012/03/26	36.00
14838	BOCI Asia Ltd. -Tencent Holdings European Put Wts May 2012	200,000,000	2011/10/03	2012/05/21	50.00
14852	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr 12	100,000,000	2011/10/03	2012/04/03	28.00
14857	BNP Paribas Arbit Issu B.V. – BOCL European Wts Apr 2012	100,000,000	2011/10/03	2012/04/03	25.00
14851	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Apr 2012	200,000,000	2011/10/03	2012/04/03	98.00
14856	BNP Paribas Arbit Issu B.V. – Evergrande Euro Wts Apr 2012	100,000,000	2011/10/03	2012/04/03	50.00
14859	BNP Paribas Arbit Issu B.V. – HSI European Wts May 2012	200,000,000	2011/10/03	2012/05/30	50.00
14858	BNP Paribas Arbit Issu B.V. – HSI European Wts Mar 2012 A	200,000,000	2011/10/03	2012/03/29	36.00
14860	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Apr 2012 A	200,000,000	2011/10/03	2012/04/27	50.00
14853	BNP Paribas Arbit Issu B.V. – ICBC European Wts Apr 2012	200,000,000	2011/10/03	2012/04/03	72.00
14854	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2012	100,000,000	2011/10/03	2012/04/03	43.00
14855	BNP Paribas Arbit Issu B.V. – Zijin Mining Euro Wts Apr 2012	88,000,000	2011/10/03	2012/04/03	36.08
14871	Citigroup Global Mkt H Inc. – HSI European Put Wts Apr 2012	100,000,000	2011/10/03	2012/04/27	25.00
14870	Citigroup Global Mkt H Inc. – HSI European Wts Feb 2012 B	100,000,000	2011/10/03	2012/02/28	15.00
14867	KBC Fin Products Int'l Ltd. – BOCL European Wts Apr 2012	108,000,000	2011/10/03	2012/04/03	27.00
14864	KBC Fin Products Int'l Ltd. – CC Bank Euro Wts Apr 2012	108,000,000	2011/10/03	2012/04/03	48.60
14865	KBC Fin Products Int'l Ltd. – CC Bank Euro Put Wt Apr 2012	108,000,000	2011/10/03	2012/04/03	27.00
14868	KBC Fin Products Int'l Ltd. – A50 Ch Euro Wts Sep 2012	108,000,000	2011/10/03	2012/09/18	27.00
14833	KBC Fin Products Int'l Ltd. – HSI European Wts Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14834	KBC Fin Products Int'l Ltd. – HSI European Wts May 2012	200,000,000	2011/10/03	2012/05/30	50.00
14869	KBC Fin Products Int'l Ltd. – HSI Euro Put Wts Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14861	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2012	98,000,000	2011/10/03	2012/04/03	32.34
14862	KBC Fin Products Int'l Ltd. – Hutchison Eur Put Wts Apr 2012	80,000,000	2011/10/03	2012/04/16	24.00
14866	KBC Fin Products Int'l Ltd. – ICBC European Wts Apr 2012 A	108,000,000	2011/10/03	2012/04/03	37.80
14863	KBC Fin Products Int'l Ltd.-Tencent Holdings Eu Wt Apr 2012	108,000,000	2011/10/03	2012/04/18	27.00
14850	Macquarie Bank Ltd. – CC Bank European Warrants May 2012	200,000,000	2011/10/03	2012/05/03	98.00
14831	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2012 A	300,000,000	2011/10/03	2012/06/28	75.00
14849	Macquarie Bank Ltd. – ICBC European Warrants May 2012	200,000,000	2011/10/03	2012/05/03	80.20
14832	Macquarie Bank Ltd. – Ping An European Warrants Apr 2012 A	150,000,000	2011/10/03	2012/04/03	74.40
14829	Macquarie Bank Ltd. – Yurun Food European Warrants Jul 2012	300,000,000	2011/10/03	2012/07/04	75.00
14830	Macquarie Bank Ltd. – Yurun Food European Warrants Jul 2012 A	300,000,000	2011/10/03	2012/07/04	89.10
14841	Standard Chartered Bank – AIA European Warrants Aug 2012	150,000,000	2011/10/03	2012/08/03	45.00
14839	Standard Chartered Bank – HSI European Warrants Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14842	Standard Chartered Bank – HSI European Put Warrants Apr 2012	200,000,000	2011/10/03	2012/04/27	50.00
14840	Standard Chartered Bank – HSI European Warrants Apr 2012 A	200,000,000	2011/10/03	2012/04/27	50.00
14843	Standard Chartered Bank – HSI European Put Wts Apr 2012 B	200,000,000	2011/10/03	2012/04/27	50.00
14877	UBS AG – Agricultural Bank European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	25.00
14876	UBS AG – AIA European Warrants Mar 2012 A	200,000,000	2011/10/03	2012/03/27	30.00
14878	UBS AG – CC Bank European Warrants Mar 2012	200,000,000	2011/10/03	2012/03/07	92.00
14844	UBS AG – Cheung Kong European Warrants Dec 2012 B	100,000,000	2011/10/03	2012/12/20	15.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
14845	UBS AG – Cheung Kong European Warrants Feb 2013	100,000,000	2011/10/03	2013/02/25	15.00
14847	UBS AG – HKEx European Warrants May 2012	300,000,000	2011/10/03	2012/05/14	75.00
14872	UBS AG – HSI European Warrants Apr 2012	300,000,000	2011/10/03	2012/04/27	75.00
14875	UBS AG – ICBC European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	46.00
14874	UBS AG – Ping An European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	42.00
14846	UBS AG – SHK Ppt European Warrants Jan 2013	100,000,000	2011/10/03	2013/01/28	15.00
14873	UBS AG – SPDR Gold Trust European Warrants Apr 2012	100,000,000	2011/10/03	2012/04/17	61.00
14848	UBS AG – Tencent Holdings European Warrants Apr 2012	200,000,000	2011/10/03	2012/04/17	50.00
14198#	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2012	100,000,000	2011/10/03	2012/03/29	19.70
14879	Barclays Bank plc – HSI European Warrants Mar 2012 B	150,000,000	2011/10/04	2012/03/29	24.45
14899	Credit Suisse AG – BOCL European Warrants Feb 2012 A	300,000,000	2011/10/04	2012/02/13	45.00
14897	Credit Suisse AG – China Mobile European Put Wts Jan 2012	200,000,000	2011/10/04	2012/01/30	30.00
14896	Credit Suisse AG – CNOOC European Put Warrants Jun 2012	200,000,000	2011/10/04	2012/06/11	40.00
14895	Credit Suisse AG – HKEx European Put Warrants Dec 2012	200,000,000	2011/10/04	2012/12/31	50.00
14894	Credit Suisse AG – HKEx European Warrants Jun 2012 A	300,000,000	2011/10/04	2012/06/11	45.00
14898	Credit Suisse AG – ICBC European Warrants Apr 2012	300,000,000	2011/10/04	2012/04/10	75.00
14900	KBC Fin Products Int'l Ltd. – HKEx Euro Warrants Apr 2012	108,000,000	2011/10/04	2012/04/05	27.00
14881	Macquarie Bank Ltd. – HKEx European Put Warrants Dec 2012	300,000,000	2011/10/04	2012/12/04	76.20
14880	Macquarie Bank Ltd. – Ping An European Put Wts Apr 2012 B	150,000,000	2011/10/04	2012/04/03	45.15
14884	Standard Chartered Bank – AIA European Warrants Jun 2012 A	150,000,000	2011/10/04	2012/06/01	37.50
14887	Standard Chartered Bank – BOCL European Warrants Sep 2012	100,000,000	2011/10/04	2012/09/03	40.00
14888	Standard Chartered Bank – BOCL European Put Wts Mar 2013	100,000,000	2011/10/04	2013/03/01	25.00
14886	Standard Chartered Bank – BOCL European Warrants Apr 2012 A	100,000,000	2011/10/04	2012/04/13	25.00
14882	Standard Chartered Bank – HSI European Warrants Feb 2012	400,000,000	2011/10/04	2012/02/28	60.00
14883	Standard Chartered Bank – HSI European Warrants Apr 2012 C	400,000,000	2011/10/04	2012/04/27	100.00
14889	Standard Chartered Bank – HSI European Put Wts Apr 2012 D	200,000,000	2011/10/04	2012/04/27	50.00
14885	Standard Chartered Bank – Ping An European Warrants May 2012	100,000,000	2011/10/04	2012/05/25	50.00
14890	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Apr 12	80,000,000	2011/10/04	2012/04/11	38.40
14891	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Apr 2012	50,000,000	2011/10/04	2012/04/11	28.50
14892	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Apr 12A	80,000,000	2011/10/04	2012/04/11	63.20
14893	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt Apr 12	100,000,000	2011/10/04	2012/04/11	33.00
14909	UBS AG – BYD European Warrants Jul 2012	100,000,000	2011/10/04	2012/07/16	25.00
14908	UBS AG – CNOOC European Warrants Nov 2012 A	200,000,000	2011/10/04	2012/11/12	30.00
14910	UBS AG – CPIC European Warrants May 2012	100,000,000	2011/10/04	2012/05/14	25.00
14904	UBS AG – HSBC European Warrants Apr 2012	200,000,000	2011/10/04	2012/04/17	50.00
14901	UBS AG – HSI European Warrants May 2012	300,000,000	2011/10/04	2012/05/30	75.00
14902	UBS AG – HSI European Warrants Jun 2012	300,000,000	2011/10/04	2012/06/28	75.00
14903	UBS AG – HSI European Put Warrants Mar 2012	300,000,000	2011/10/04	2012/03/29	66.00
14905	UBS AG – Hutchison European Warrants Mar 2012 D	200,000,000	2011/10/04	2012/03/12	60.00
14906	UBS AG – Jiangxi Copper European Warrants Jun 2012	100,000,000	2011/10/04	2012/06/11	25.00
14907	UBS AG – Tencent Holdings European Warrants Mar 2012	200,000,000	2011/10/04	2012/03/27	30.00
14913	J P Morgan SP BV – ICBC European Warrants Apr 2012	150,000,000	2011/10/06	2012/04/10	74.55
14912	J P Morgan SP BV – Ping An European Warrants Apr 2012 A	150,000,000	2011/10/06	2012/04/10	71.25
14921	J P Morgan SP BV – Yurun Food European Warrants Dec 2012	150,000,000	2011/10/06	2012/12/03	37.50

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
14916	Macquarie Bank Ltd. – CHALCO European Warrants Jun 2012	150,000,000	2011/10/06	2012/06/04	51.00
14922	Macquarie Bank Ltd. – Cathay Pacific European Wts Dec 2013	200,000,000	2011/10/06	2013/12/30	30.00
14914	Macquarie Bank Ltd. – New World Dev European Wts Apr 2012	100,000,000	2011/10/06	2012/04/05	33.10
14915	Macquarie Bank Ltd. – Wharf European Warrants May 2012	200,000,000	2011/10/06	2012/05/03	74.40
14911	CC Rabobank B.A. – Evergrande European Warrants Apr 2012	100,000,000	2011/10/06	2012/04/23	46.00
14917	Standard Chartered Bank – HKEx European Warrants Dec 2012	150,000,000	2011/10/06	2012/12/06	37.50
14918	Standard Chartered Bank – HKEx European Warrants Aug 2013	150,000,000	2011/10/06	2013/08/01	37.50
14919	Standard Chartered Bank – Tencent Hldg European Wts Aug 2012	150,000,000	2011/10/06	2012/08/03	37.50
14920	Standard Chartered Bank – Tencent Hldg European Wts Oct 2012	150,000,000	2011/10/06	2012/10/31	37.50
14928	UBS AG – BOCL European Warrants Feb 2012	100,000,000	2011/10/06	2012/02/13	15.00
14925	UBS AG – China Mobile European Warrants Feb 2012	200,000,000	2011/10/06	2012/02/20	120.00
14927	UBS AG – China Life European Warrants Mar 2012 A	300,000,000	2011/10/06	2012/03/05	48.00
14924	UBS AG – CNOOC European Warrants Jun 2012 A	200,000,000	2011/10/06	2012/06/18	34.00
14926	UBS AG – China Shenhua European Warrants May 2012	100,000,000	2011/10/06	2012/05/14	30.00
14923	UBS AG – PetroChina European Warrants Jan 2013	200,000,000	2011/10/06	2013/01/28	44.00
23896#	Credit Suisse AG – China Mobile European Put Warrants Dec 11	300,000,000	2011/10/06	2011/12/23	18.30
14931	BNP Paribas Arbit Issu B.V. – CLP European Warrants May 2012	50,000,000	2011/10/10	2012/05/03	18.00
14938	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts May 2012 A	100,000,000	2011/10/10	2012/05/03	36.00
14930	Citigroup Global Mkt H Inc. – A50 China Euro Wts Aug 2012	80,000,000	2011/10/10	2012/08/06	12.00
14929	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts Apr 2012	80,000,000	2011/10/10	2012/04/17	20.00
14939	KBC Fin Products Int'l Ltd. – HKEx European Put Wts Sep 2012	108,000,000	2011/10/10	2012/09/03	27.00
14937	SGA Societe Generale Acceptance N.V. – BOCHK Eur Wt May 12 A	150,000,000	2011/10/10	2012/05/07	37.50
14932	SGA Societe Generale Acceptance N.V.-ChiUnicom Eu Wts May12A	100,000,000	2011/10/10	2012/05/07	18.00
14935	UBS AG – AIA European Warrants Apr 2012 A	200,000,000	2011/10/10	2012/04/17	50.00
14936	UBS AG – A50 China European Warrants Jun 2013	300,000,000	2011/10/10	2013/06/06	60.00
14934	UBS AG – HKEx European Warrants Jun 2012 A	500,000,000	2011/10/10	2012/06/11	125.00
14933	UBS AG – Hutchison European Warrants Apr 2012	200,000,000	2011/10/10	2012/04/03	100.00
14961	BNP Paribas Arbit Issu B.V. – CC Bank Euro Put Wts Jul 2012	100,000,000	2011/10/11	2012/07/04	93.00
14965	BNP Paribas Arbit Issu B.V. – A50 China Euro Put Wt Aug 2012	100,000,000	2011/10/11	2012/08/02	26.00
14962	BNP Paribas Arbit Issu B.V. – HSBC European Put Wts Jun 2012	100,000,000	2011/10/11	2012/06/04	99.00
14964	BNP Paribas Arbit Issu B.V. – HSI European Wts Oct 2012	200,000,000	2011/10/11	2012/10/30	52.00
14963	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Oct 2012	200,000,000	2011/10/11	2012/10/30	50.00
14960	BNP Paribas Arbit Issu B.V. – ICBC European Put Wts Jul 2012	100,000,000	2011/10/11	2012/07/04	71.00
14958	Credit Suisse AG – HSI European Warrants Apr 2012	400,000,000	2011/10/11	2012/04/27	60.00
14959	Credit Suisse AG – HSI European Warrants May 2012	400,000,000	2011/10/11	2012/05/30	60.00
14957	KBC Fin Products Int'l Ltd. – Agricultural Bk Eu Wt Apr2012A	108,000,000	2011/10/11	2012/04/11	27.00
14955	KBC Fin Products Int'l Ltd. -AIA Euro Put Warrants May 2012	108,000,000	2011/10/11	2012/05/02	27.00
14956	KBC Fin Products Int'l Ltd. – AIA Euro Warrants Apr 2012 A	108,000,000	2011/10/11	2012/04/16	27.00
14975	KBC Fin Products Int'l Ltd. – BOC HK European Wts May 2012	108,000,000	2011/10/11	2012/05/02	27.00
14974	KBC Fin Products Int'l Ltd. – BOC HK Euro Put Wts May 2012 A	80,000,000	2011/10/11	2012/05/18	20.00
14954	KBC Fin Products Int'l Ltd. – A50 Ch Euro Put Wts Oct 2012	80,000,000	2011/10/11	2012/10/03	20.00
14977	KBC Fin Products Int'l Ltd. – HSBC Euro Put Wts Apr 2012 A	98,000,000	2011/10/11	2012/04/11	24.50
14976	KBC Fin Products Int'l Ltd. – HSCEI Euro Put Wts Apr 2012	100,000,000	2011/10/11	2012/04/27	25.00
14947	Macquarie Bank Ltd. – Bank of EA European Warrants Oct 2012	200,000,000	2011/10/11	2012/10/04	68.40

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
14941	Macquarie Bank Ltd. – CC Bank European Warrants May 2012 A	200,000,000	2011/10/11	2012/05/03	129.80
14940	Macquarie Bank Ltd. – Minsheng Bank European Wts May 2012	200,000,000	2011/10/11	2012/05/03	102.80
14943	Macquarie Bank Ltd. – Galaxy Ent European Warrants May 2012	200,000,000	2011/10/11	2012/05/03	51.40
14948	Macquarie Bank Ltd. – HKEx European Warrants Oct 2012	200,000,000	2011/10/11	2012/10/03	50.00
14953	Macquarie Bank Ltd. – HSCEI European Put Warrants Jun 2012	200,000,000	2011/10/11	2012/06/28	50.00
14952	Macquarie Bank Ltd. – HSI European Put Warrants May 2012 A	300,000,000	2011/10/11	2012/05/30	75.00
14942	Macquarie Bank Ltd. – Sands China European Warrants May 2012	200,000,000	2011/10/11	2012/05/03	71.60
14945	Macquarie Bank Ltd. – SJM Holdings European Wts Apr 2012	200,000,000	2011/10/11	2012/04/17	57.60
14946	Macquarie Bank Ltd. – Tencent European Warrants May 2012	200,000,000	2011/10/11	2012/05/02	53.00
14951	Macquarie Bank Ltd. – Tencent European Put Wts Oct 2012 A	200,000,000	2011/10/11	2012/10/04	50.00
14978	Standard Chartered Bank – HSI European Warrants Apr 2012 E	300,000,000	2011/10/11	2012/04/27	78.00
14979	Standard Chartered Bank – HSI European Put Wts Apr 2012 F	300,000,000	2011/10/11	2012/04/27	75.00
14968	UBS AG – AIA European Warrants May 2012	200,000,000	2011/10/11	2012/05/07	60.00
14970	UBS AG – BOCL European Warrants Mar 2012 A	100,000,000	2011/10/11	2012/03/26	25.00
14971	UBS AG – CC Bank European Warrants Apr 2012	200,000,000	2011/10/11	2012/04/17	96.00
14950	UBS AG – Cheung Kong European Warrants Dec 2013	200,000,000	2011/10/11	2013/12/23	50.00
14967	UBS AG – China Life European Warrants Mar 2012 B	300,000,000	2011/10/11	2012/03/21	75.00
14949	UBS AG – CNOOC European Warrants Apr 2012 A	200,000,000	2011/10/11	2012/04/17	50.00
14972	UBS AG – A50 China European Warrants Sep 2012	200,000,000	2011/10/11	2012/09/11	34.00
14969	UBS AG – HKEx European Warrants May 2012 A	300,000,000	2011/10/11	2012/05/07	75.00
14973	UBS AG – Ping An European Warrants Apr 2012 A	200,000,000	2011/10/11	2012/04/24	92.00
14966	UBS AG – Tencent Holdings European Warrants May 2012	200,000,000	2011/10/11	2012/05/28	50.00
14986	BOCI Asia Ltd. – CC Bank European Warrants Apr 2012	100,000,000	2011/10/12	2012/04/10	33.00
14987	BOCI Asia Ltd. – Ping An European Warrants Apr 2012 A	100,000,000	2011/10/12	2012/04/16	33.50
14985	BOCI Asia Ltd. – Tracker Fund European Put Warrants Apr 2012	100,000,000	2011/10/12	2012/04/16	25.00
14996	BNP Paribas Arbit Issu B.V. – BOCL European Wts Jun 2012	200,000,000	2011/10/12	2012/06/04	50.00
14995	BNP Paribas Arbit Issu B.V. – Minsheng Bk Euro Wts Jun 2012	50,000,000	2011/10/12	2012/06/04	35.00
14994	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2013	90,000,000	2011/10/12	2013/12/03	22.50
14993	BNP Paribas Arbit Issu B.V. – Hutchison Euro Wts Jul 2012 A	100,000,000	2011/10/12	2012/07/04	69.00
14997	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2012	200,000,000	2011/10/12	2012/08/02	96.00
15003	J P Morgan SP BV – BOCL European Warrants Apr 2012 A	200,000,000	2011/10/12	2012/04/12	50.00
15001	J P Morgan SP BV – China Mob European Wts Apr 2012	150,000,000	2011/10/12	2012/04/12	62.25
15002	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Apr 2012 A	200,000,000	2011/10/12	2012/04/12	75.40
14998	J P Morgan SP BV – HSBC European Warrants Apr 2012 B	200,000,000	2011/10/12	2012/04/12	96.40
14980	J P Morgan SP BV – HSI European Warrants Mar 2012	200,000,000	2011/10/12	2012/03/29	34.40
14981	J P Morgan SP BV – HSI European Warrants Apr 2012	200,000,000	2011/10/12	2012/04/27	50.00
14982	J P Morgan SP BV – HSI European Warrants Apr 2012 A	200,000,000	2011/10/12	2012/04/27	50.00
14999	J P Morgan SP BV – HSI European Put Warrants Apr 2012 B	200,000,000	2011/10/12	2012/04/27	50.00
15000	J P Morgan SP BV – HSI European Put Warrants Apr 2012 C	200,000,000	2011/10/12	2012/04/27	50.40
15006	KBC Fin Products Int'l Ltd. – Hutchison Euro Wts Apr 2012 A	98,000,000	2011/10/12	2012/04/12	42.14
14983	Macquarie Bank Ltd. – Anhui Conch European Warrants Apr 2012	200,000,000	2011/10/12	2012/04/16	50.20
14992	Macquarie Bank Ltd. – HKEx European Put Warrants Jan 2013	300,000,000	2011/10/12	2013/01/03	75.00
14988	Macquarie Bank Ltd. – HSI European Warrants Apr 2012 B	300,000,000	2011/10/12	2012/04/27	75.00
14984	Macquarie Bank Ltd. – Tencent European Warrants Jun 2012 A	250,000,000	2011/10/12	2012/06/04	62.50

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15007	Standard Chartered Bank – HSBC European Warrants Dec 2015 A	150,000,000	2011/10/12	2015/12/30	37.50
14989	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Dec 2012C	300,000,000	2011/10/12	2012/12/24	45.00
14990	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012	300,000,000	2011/10/12	2012/04/27	75.00
14991	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 2012	300,000,000	2011/10/12	2012/04/27	75.00
15004	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 A	300,000,000	2011/10/12	2012/04/27	75.00
15005	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr2012B	300,000,000	2011/10/12	2012/04/27	75.00
15008	UBS AG – HSI European Warrants Apr 2012 A	300,000,000	2011/10/12	2012/04/27	75.00
15009	UBS AG – HSI European Warrants Apr 2012 B	300,000,000	2011/10/12	2012/04/27	45.00
15012	Citigroup Global Mkt H Inc. – HSCEI European Wts Mar 2012	100,000,000	2011/10/13	2012/03/29	15.00
15015	Macquarie Bank Ltd. – Angang Steel European Wts Apr 2012	100,000,000	2011/10/13	2012/04/13	41.90
15010	Macquarie Bank Ltd. – China Coal European Warrants May 2012	100,000,000	2011/10/13	2012/05/03	52.00
15011	Macquarie Bank Ltd. – Henganintl European Warrants Apr 2012	200,000,000	2011/10/13	2012/04/13	73.00
15013	Macquarie Bank Ltd. – HSI European Put Warrants May 2012 B	300,000,000	2011/10/13	2012/05/30	78.30
15014	Macquarie Bank Ltd. – HSI European Put Warrants Jun 2012 B	300,000,000	2011/10/13	2012/06/28	75.00
15018	Standard Chartered Bank – AIA European Warrants Jul 2012	150,000,000	2011/10/13	2012/07/06	52.50
15020	Standard Chartered Bank – BOCL European Warrants Nov 2012	100,000,000	2011/10/13	2012/11/05	40.00
15017	Standard Chartered Bank – China Mobile Euro Wts Jun 2015	100,000,000	2011/10/13	2015/06/22	15.00
15016	Standard Chartered Bank – CC Bank European Wts Apr 2012	100,000,000	2011/10/13	2012/04/16	60.00
15019	Standard Chartered Bank – ICBC European Warrants Aug 2012	100,000,000	2011/10/13	2012/08/06	50.00
15023	Macquarie Bank Ltd. – HKEx European Warrants Apr 2012	300,000,000	2011/10/14	2012/04/03	46.20
15022	Macquarie Bank Ltd. – HKEx European Put Warrants Jul 2012	300,000,000	2011/10/14	2012/07/04	75.00
15021	Standard Chartered Bank – HKEx European Warrants Dec 2012 A	150,000,000	2011/10/14	2012/12/05	37.50
15025	UBS AG – China Mobile European Warrants Apr 2012 A	200,000,000	2011/10/14	2012/04/17	76.00
15024	UBS AG – Hutchison European Warrants Feb 2012	200,000,000	2011/10/14	2012/02/23	44.00
15034	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2012	200,000,000	2011/10/17	2012/04/05	86.00
15037	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2012	150,000,000	2011/10/17	2012/07/04	127.65
15036	Macquarie Bank Ltd. – Hang Lung Ppt European Wts May 2012	150,000,000	2011/10/17	2012/05/03	38.10
15026	Macquarie Bank Ltd. – Hutchison European Warrants Mar 2012 D	200,000,000	2011/10/17	2012/03/09	62.00
15035	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jun 2012	150,000,000	2011/10/17	2012/06/04	39.75
15033	Macquarie Bank Ltd. – Zijin Mining European Wts Jun 2012	100,000,000	2011/10/17	2012/06/04	40.30
15031	CC Rabobank B.A. – AIA European Warrants Jun 2012 A	200,000,000	2011/10/17	2012/06/18	70.00
15030	CC Rabobank B.A. – Ch Mobile European Warrants Jun 2015	200,000,000	2011/10/17	2015/06/22	30.00
15029	CC Rabobank B.A. – CNOOC European Warrants Aug 2012	100,000,000	2011/10/17	2012/08/20	25.00
15027	CC Rabobank B.A. – HSBC European Warrants Oct 2015	200,000,000	2011/10/17	2015/10/05	30.00
15028	CC Rabobank B.A. – HSBC European Put Warrants Apr 2012	200,000,000	2011/10/17	2012/04/10	140.00
15039	UBS AG – CNOOC European Warrants Nov 2012 B	200,000,000	2011/10/17	2012/11/27	30.00
15038	UBS AG – Sinopec Corp European Warrants Dec 2014	200,000,000	2011/10/17	2014/12/29	42.00
15032	UBS AG – A50 China European Warrants Oct 2013	200,000,000	2011/10/17	2013/10/28	30.00
12323#	Citigroup Global Mkt H Inc. – GCL-Poly Energy Euro Wt Aug 13	185,190,000	2011/10/17	2013/08/23	10.00
15040	Barclays Bank plc – Hutchison European Warrants Mar 2012 A	100,000,000	2011/10/18	2012/03/20	40.40
15041	Barclays Bank plc – ICBC European Warrants Jul 2012	100,000,000	2011/10/18	2012/07/16	25.00
15042	J P Morgan SP BV – China Mob European Put Warrants Apr 2012	150,000,000	2011/10/18	2012/04/18	38.70
15050	Macquarie Bank Ltd. – BOCL European Warrants Apr 2012 A	150,000,000	2011/10/18	2012/04/18	44.85
15049	Macquarie Bank Ltd. – HSI European Warrants Apr 2012 C	300,000,000	2011/10/18	2012/04/27	82.20

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15047	CC Rabobank B.A. – AIA European Put Warrants Jun 2012	150,000,000	2011/10/18	2012/06/18	37.50
15046	CC Rabobank B.A. – Ch Mobile European Put Wts Apr 2012	100,000,000	2011/10/18	2012/04/30	40.00
15043	CC Rabobank B.A. – Sinopec Corp European Warrants Apr 2012	100,000,000	2011/10/18	2012/04/30	85.00
15045	CC Rabobank B.A. – China Shenhua European Warrants Jul 2012	150,000,000	2011/10/18	2012/07/16	60.00
15044	CC Rabobank B.A. – PetroChina European Warrants Apr 2012	100,000,000	2011/10/18	2012/04/30	80.00
15048	Standard Chartered Bank – China Mobile Euro Wts Aug 2012	100,000,000	2011/10/18	2012/08/03	32.00
15051	UBS AG – CC Bank European Warrants Apr 2012 A	200,000,000	2011/10/18	2012/04/23	60.00
15052	UBS AG – China Life European Warrants Apr 2012	300,000,000	2011/10/18	2012/04/16	45.00
15053	J P Morgan SP BV – HSBC European Put Warrants Apr 2014	200,000,000	2011/10/19	2014/04/07	50.00
15054	J P Morgan SP BV – Tencent European Put Warrants Jul 2012	200,000,000	2011/10/19	2012/07/03	50.00
15055	KBC Fin Products Int'l Ltd. – Cheung Kong Eur Wts Sep 2012	108,000,000	2011/10/19	2012/09/21	16.20
15062	Bank of East Asia – BOCHK European Warrants Jun 2012	75,000,000	2011/10/20	2012/06/29	18.75
15061	Bank of East Asia – China Overseas Euro Warrants Jun 2012	110,000,000	2011/10/20	2012/06/04	27.50
15058	Bank of East Asia – China Coal European Warrants Nov 2013	170,000,000	2011/10/20	2013/11/20	42.50
15056	Bank of East Asia – CM Bank European Warrants Dec 2012	110,000,000	2011/10/20	2012/12/21	27.50
15060	Bank of East Asia – Shenhua European Warrants Apr 2012	60,000,000	2011/10/20	2012/04/23	15.00
15057	Bank of East Asia – R&F Ppt European Warrants Nov 2013	270,000,000	2011/10/20	2013/11/20	67.50
15063	Bank of East Asia – ICBC European Put Warrants Jun 2012	80,000,000	2011/10/20	2012/06/08	20.00
15059	Bank of East Asia – Yanzhou Coal European Warrants Jun 2012	70,000,000	2011/10/20	2012/06/22	17.50
15067	UBS AG – Agricultural Bank European Warrants Apr 2012 A	200,000,000	2011/10/20	2012/04/10	32.00
15064	UBS AG – AIA European Warrants Mar 2012 B	200,000,000	2011/10/20	2012/03/05	30.00
15066	UBS AG – China Life European Warrants May 2012	300,000,000	2011/10/20	2012/05/28	45.00
15068	UBS AG – HSI European Warrants Aug 2012	300,000,000	2011/10/20	2012/08/30	45.00
15069	UBS AG – HSI European Put Warrants Jun 2012	300,000,000	2011/10/20	2012/06/28	96.00
15070	UBS AG – HSI European Put Warrants Jun 2012 A	300,000,000	2011/10/20	2012/06/28	54.00
15065	UBS AG – Hutchison European Warrants Mar 2012 E	200,000,000	2011/10/20	2012/03/05	54.00
15071	BNP Paribas Arbit Issu B.V. – HSI European Wts Jul 2012	200,000,000	2011/10/21	2012/07/30	54.00
15072	Macquarie Bank Ltd. – China Mobile European Wts Jun 2012	200,000,000	2011/10/21	2012/06/04	75.80
15073	Macquarie Bank Ltd. – China Tel European Warrants Jun 2012	200,000,000	2011/10/21	2012/06/04	82.60
15076	Barclays Bank plc – CC Bank European Warrants Jul 2012	100,000,000	2011/10/24	2012/07/30	25.10
15077	Barclays Bank plc – China Life European Warrants Jul 2013	100,000,000	2011/10/24	2013/07/29	25.00
15074	Barclays Bank plc – HSI European Warrants Aug 2012	150,000,000	2011/10/24	2012/08/30	37.95
15078	Barclays Bank plc – HSI European Put Warrants Aug 2012	150,000,000	2011/10/24	2012/08/30	37.80
15075	Barclays Bank plc – Tencent Holdings European Wts Jul 2012	100,000,000	2011/10/24	2012/07/03	25.10
15079	Macquarie Bank Ltd. – HSI European Warrants May 2012	300,000,000	2011/10/24	2012/05/30	75.00
15082	UBS AG – China Mobile European Warrants Apr 2012 B	200,000,000	2011/10/24	2012/04/30	84.00
15080	UBS AG – HKEx European Warrants Nov 2012	300,000,000	2011/10/24	2012/11/26	45.00
15083	UBS AG – Ping An European Warrants Jun 2012	200,000,000	2011/10/24	2012/06/18	124.00
15084	UBS AG – Ping An European Warrants Dec 2012	200,000,000	2011/10/24	2012/12/11	30.00
15081	UBS AG – Tencent Holdings European Warrants Jul 2012	200,000,000	2011/10/24	2012/07/16	40.00
15091	HK Bank – HSI European Warrants May 2012	300,000,000	2011/10/25	2012/05/30	75.00
15092	HK Bank – HSI European Put Warrants Apr 2012	300,000,000	2011/10/25	2012/04/27	45.00
15093	HK Bank – HSI European Put Warrants Jun 2012	300,000,000	2011/10/25	2012/06/28	45.00
15090	HK Bank – HSI European Warrants Apr 2012 A	300,000,000	2011/10/25	2012/04/27	75.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15088	Macquarie Bank Ltd. – CC Bank European Warrants May 2012 B	180,000,000	2011/10/25	2012/05/03	55.26
15094	Macquarie Bank Ltd. – China Life European Wts Jun 2012	180,000,000	2011/10/25	2012/06/04	47.34
15086	Macquarie Bank Ltd. – A50 China European Warrants Aug 2012	200,000,000	2011/10/25	2012/08/02	30.00
15087	Macquarie Bank Ltd. – A50 China European Warrants Oct 2013	200,000,000	2011/10/25	2013/10/28	31.00
15085	Macquarie Bank Ltd. – HKEx European Warrants Jun 2012	300,000,000	2011/10/25	2012/06/06	45.30
15089	Macquarie Bank Ltd. – ICBC European Warrants Apr 2012	180,000,000	2011/10/25	2012/04/25	56.16
15106	BNP Paribas Arbit Issu B.V. – Geely Auto Euro Wts Aug 2012	47,000,000	2011/10/26	2012/08/02	17.86
15107	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2012	100,000,000	2011/10/26	2012/12/04	19.00
15108	BNP Paribas Arbit Issu B.V. – ICBC European Wts Sep 2012	200,000,000	2011/10/26	2012/09/04	100.00
15105	BNP Paribas Arbit Issu B.V. – Tsingtao Brew Euro Wt Aug 2012	26,000,000	2011/10/26	2012/08/02	17.94
15099	HK Bank – AIA European Warrants Apr 2012 A	100,000,000	2011/10/26	2012/04/26	25.00
15100	HK Bank – China Life European Warrants May 2012 A	100,000,000	2011/10/26	2012/05/18	25.00
15095	HK Bank – HSI European Warrants Aug 2013	300,000,000	2011/10/26	2013/08/29	75.00
15096	HK Bank – HSI European Warrants Dec 2014	300,000,000	2011/10/26	2014/12/30	75.00
15097	HK Bank – HSI European Put Warrants Apr 2012 B	250,000,000	2011/10/26	2012/04/27	37.50
15098	HK Bank – HWL European Warrants Apr 2012	100,000,000	2011/10/26	2012/04/26	50.00
15103	J P Morgan SP BV – HSI European Warrants Apr 2012 D	200,000,000	2011/10/26	2012/04/27	50.00
15104	J P Morgan SP BV – HSI European Put Warrants Apr 2012 E	200,000,000	2011/10/26	2012/04/27	50.00
15101	Standard Chartered Bank – HSI European Warrants Feb 2012 A	200,000,000	2011/10/26	2012/02/28	30.00
15102	Standard Chartered Bank – HSI European Put Wts Mar 2012 A	200,000,000	2011/10/26	2012/03/29	44.00
15112	HK Bank – Agricultural Bank European Warrants Aug 2012	100,000,000	2011/10/27	2012/08/30	25.00
15111	HK Bank – Agricultural Bank European Warrants Apr 2012 A	100,000,000	2011/10/27	2012/04/27	25.00
15110	HK Bank – CC Bank European Warrants Apr 2012	120,000,000	2011/10/27	2012/04/27	49.20
15113	HK Bank – CC Bank European Put Warrants Apr 2012	100,000,000	2011/10/27	2012/04/27	25.00
15114	HK Bank – HSCEI European Warrants Aug 2012	200,000,000	2011/10/27	2012/08/30	50.00
15115	HK Bank – HSCEI European Warrants Dec 2013	200,000,000	2011/10/27	2013/12/30	50.00
15116	HK Bank – HSCEI European Put Warrants Dec 2012	200,000,000	2011/10/27	2012/12/28	50.00
15119	J P Morgan SP BV – BOC HK European Warrants Jun 2012 A	200,000,000	2011/10/27	2012/06/28	50.00
15120	J P Morgan SP BV – China Unicom European Put Wts Sep 2012	200,000,000	2011/10/27	2012/09/27	50.00
15118	J P Morgan SP BV – China Mob European Wts Apr 2012 A	200,000,000	2011/10/27	2012/04/27	50.00
15109	Macquarie Bank Ltd. – PICC P&C European Warrants Jul 2012	200,000,000	2011/10/27	2012/07/04	50.60
15117	Standard Chartered Bank – CC Bank European Wts Sep 2012 A	100,000,000	2011/10/27	2012/09/28	70.00
15121	UBS AG – China Unicom European Warrants Jul 2012	100,000,000	2011/10/27	2012/07/09	16.00
15122	UBS AG – China Life European Warrants Apr 2012 A	300,000,000	2011/10/27	2012/04/20	81.00
15127	HK Bank – A50 China European Warrants Dec 2014	150,000,000	2011/10/28	2014/12/30	37.50
15123	HK Bank – HKEx European Warrants Nov 2012	100,000,000	2011/10/28	2012/11/29	15.00
15124	HK Bank – Tencent European Warrants Apr 2012 A	100,000,000	2011/10/28	2012/04/30	29.00
15125	HK Bank – Tencent European Warrants May 2012	100,000,000	2011/10/28	2012/05/28	25.00
15126	HK Bank – Tencent European Put Warrants Dec 2012	100,000,000	2011/10/28	2012/12/28	25.00
15129	J P Morgan SP BV – AIA European Put Warrants Jun 2012	200,000,000	2011/10/28	2012/06/28	50.00
15128	J P Morgan SP BV – Stanchart European Warrants Sep 2012	200,000,000	2011/10/28	2012/09/27	50.00
29781#	Standard Chartered Bank – ICBC European Warrants Dec 2012	320,000,000	2011/10/28	2012/12/10	10.56
10141	BNP Paribas Arbit Issu B.V. –EUR/USD European Wts Jun 2012	100,000,000	2011/10/31	2012/06/12	25.00
10142	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wts Jun 2012	100,000,000	2011/10/31	2012/06/12	25.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15132	HK Bank – CHALCO European Warrants May 2012 A	68,000,000	2011/10/31	2012/05/25	17.00
15133	HK Bank – Evergrande European Warrants Nov 2012	80,000,000	2011/10/31	2012/11/22	20.00
15134	HK Bank – A50 China European Warrants Aug 2012	100,000,000	2011/10/31	2012/08/01	15.00
15130	HK Bank – Jiangxi Copper European Warrants May 2012 A	100,000,000	2011/10/31	2012/05/30	25.00
15131	HK Bank – PICC European Warrants Oct 2012	100,000,000	2011/10/31	2012/10/18	25.00
15135	UBS AG – HSBC European Warrants Jun 2012	300,000,000	2011/10/31	2012/06/18	75.00
15136	UBS AG – HSI European Put Warrants Mar 2012 C	300,000,000	2011/10/31	2012/03/29	45.00
15138	BOCI Asia Ltd. – ICBC European Warrants Apr 2012	100,000,000	2011/11/01	2012/04/16	15.00
15144	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts May 2012	200,000,000	2011/11/01	2012/05/30	52.00
15137	HK Bank – China Shenhua European Warrants Jun 2012	80,000,000	2011/11/01	2012/06/15	21.60
15142	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants May 2012 A	200,000,000	2011/11/01	2012/05/03	50.80
15143	Macquarie Bank Ltd. – Minsheng Bank European Wts May 2012 A	100,000,000	2011/11/01	2012/05/03	36.00
15139	Standard Chartered Bank – HSBC European Warrants May 2012 A	150,000,000	2011/11/01	2012/05/02	60.00
15140	Standard Chartered Bank – Hutchison Euro Euro Wts May 2012	100,000,000	2011/11/01	2012/05/03	45.00
15141	Standard Chartered Bank – Jiangxi Copper Euro Wts Jun 2012	100,000,000	2011/11/01	2012/06/29	25.00
15145	UBS AG – BYD European Warrants Aug 2012	100,000,000	2011/11/01	2012/08/20	25.00
15146	UBS AG – China Life European Warrants May 2012 A	300,000,000	2011/11/01	2012/05/28	45.00
15148	UBS AG – HSI European Warrants Oct 2012	300,000,000	2011/11/01	2012/10/30	75.00
15147	UBS AG – HSI European Warrants Apr 2012 C	300,000,000	2011/11/01	2012/04/27	45.00
14198#	Citigroup Global Mkt H Inc. – HSI European Put Wts Mar 2012	100,000,000	2011/11/01	2012/03/29	11.80
14456#	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Mar 2012 D	200,000,000	2011/01/01	2012/03/29	21.40
15150	Barclays Bank plc – BOCL European Warrants Mar 2012	100,000,000	2011/11/02	2012/03/16	19.80
15149	Barclays Bank plc – HKEEx European Warrants Jul 2013	100,000,000	2011/11/02	2013/07/25	18.00
15154	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jun 12	100,000,000	2011/11/02	2012/06/04	26.00
15153	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2012	200,000,000	2011/11/02	2012/08/02	70.00
15152	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2012 A	200,000,000	2011/11/02	2012/07/04	54.00
15155	BNP Paribas Arbit Issu B.V. – ICBC European Wts Aug 2012 A	200,000,000	2011/11/02	2012/08/02	86.00
15151	CC Rabobank B.A. – Zoomlion European Warrants May 2012	80,000,000	2011/11/02	2012/05/07	20.00
15158	UBS AG – Agricultural Bank European Warrants Apr 2012 B	200,000,000	2011/11/02	2012/04/23	30.00
15159	UBS AG – China Mobile European Put Warrants Apr 2012	200,000,000	2011/11/02	2012/04/10	104.00
15157	UBS AG – China Mobile European Warrants Jun 2012 A	200,000,000	2011/11/02	2012/06/11	50.00
15156	UBS AG – Sinopec Corp European Warrants May 2012 A	100,000,000	2011/11/02	2012/05/14	45.00
15160	UBS AG – HSI European Put Warrants Jun 2012 B	300,000,000	2011/11/02	2012/06/28	75.00
15161	J P Morgan SP BV – HSI European Put Warrants May 2012	300,000,000	2011/11/03	2012/05/30	75.00
15162	J P Morgan SP BV – HSI European Warrants Apr 2012 F	300,000,000	2011/11/03	2012/04/27	45.00
15178	Citigroup Global Mkt H Inc. – CNOOC European Wts Apr 2012 B	80,000,000	2011/11/04	2012/04/30	12.00
15183	Citigroup Global Mkt H Inc. – Dongfeng European Wts Jul 2012	80,000,000	2011/11/04	2012/07/11	20.00
15179	Citigroup Global Mkt H Inc. – A50 China Euro Wts Oct 2012	80,000,000	2011/11/04	2012/10/16	12.00
15177	Citigroup Global Mkt H Inc. – Galaxy Ent Euro Wts May 2012	80,000,000	2011/11/04	2012/05/08	20.00
15176	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2012	100,000,000	2011/11/04	2012/03/29	15.00
15163	HK Bank – Sands China European Warrants Nov 2012	80,000,000	2011/11/04	2012/11/16	31.20
15182	UBS AG – Agricultural Bank European Warrants Apr 2012 C	200,000,000	2011/11/04	2012/04/25	30.00
15170	UBS AG – CC Bank European Warrants Mar 2012 A	200,000,000	2011/11/04	2012/03/21	44.00
15173	UBS AG – CPIC European Warrants Jun 2012	100,000,000	2011/11/04	2012/06/18	25.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15171	UBS AG – China Shenhua European Warrants Jun 2012	100,000,000	2011/11/04	2012/06/11	30.00
15174	UBS AG – A50 China European Warrants Jul 2012 A	200,000,000	2011/11/04	2012/07/18	30.00
15175	UBS AG – A50 China European Warrants Oct 2012	200,000,000	2011/11/04	2012/10/08	30.00
15181	UBS AG – HKEx European Warrants Jun 2012 B	300,000,000	2011/11/04	2012/06/18	45.00
15168	UBS AG – HKEx European Warrants Nov 2012 A	300,000,000	2011/11/04	2012/11/19	51.00
15180	UBS AG – HSBC European Warrants Apr 2012 A	300,000,000	2011/11/04	2012/04/10	60.00
15164	UBS AG – HSI European Warrants Mar 2012 D	300,000,000	2011/11/04	2012/03/29	45.00
15165	UBS AG – HSI European Warrants Apr 2012 D	300,000,000	2011/11/04	2012/04/27	45.00
15166	UBS AG – Hutchison European Warrants Jun 2012	200,000,000	2011/11/04	2012/06/11	64.00
15172	UBS AG – ICBC European Warrants Mar 2012 A	200,000,000	2011/11/04	2012/03/05	38.00
15167	UBS AG – Jiangxi Copper European Warrants Jun 2012 A	100,000,000	2011/11/04	2012/06/25	25.00
15169	UBS AG – Tencent Holdings European Warrants Mar 2012 A	200,000,000	2011/11/04	2012/03/12	30.00
23164#	UBS AG – China Mobile European Warrants Jun 2012	700,000,000	2011/11/04	2012/06/25	18.90
15190	BNP Paribas Arbit Issu B.V. – Ch Shenhua Euro Wts Jun 2012	100,000,000	2011/11/07	2012/06/04	48.00
15191	BNP Paribas Arbit Issu B.V. – Yanzhou Coal Eur Wt Jul 2012	100,000,000	2011/11/07	2012/07/04	31.00
15193	J P Morgan SP BV – A50 China European Warrants Jul 2012	200,000,000	2011/11/07	2012/07/18	30.00
15192	J P Morgan SP BV – A50 China European Warrants Oct 2012	200,000,000	2011/11/07	2012/10/08	30.00
15189	Macquarie Bank Ltd. – MGM China European Warrants May 2012	150,000,000	2011/11/07	2012/05/08	43.65
15184	Standard Chartered Bank – HSBC European Warrants Aug 2012	100,000,000	2011/11/07	2012/08/02	36.00
15185	Standard Chartered Bank – HSI European Warrants Apr 2012 G	200,000,000	2011/11/07	2012/04/27	30.00
15188	UBS AG – China Tel European Warrants May 2012	100,000,000	2011/11/07	2012/05/14	30.00
15186	UBS AG – HSBC European Put Warrants Apr 2012	200,000,000	2011/11/07	2012/04/17	66.00
15187	UBS AG – Hutchison European Put Warrants Apr 2012	200,000,000	2011/11/07	2012/04/25	32.00
15218	Credit Suisse AG – CC Bank European Warrants Feb 2012 A	300,000,000	2011/11/08	2012/02/27	75.00
15211	Credit Suisse AG – CM Bank European Warrants Apr 2012	100,000,000	2011/11/08	2012/04/23	15.00
15219	Credit Suisse AG – CNOOC European Warrants Apr 2012	200,000,000	2011/11/08	2012/04/03	30.00
15216	Credit Suisse AG – A50 China European Warrants Oct 2012	100,000,000	2011/11/08	2012/10/08	15.00
15220	Credit Suisse AG – HSBC European Wts Mar 2012 A	300,000,000	2011/11/08	2012/03/30	75.00
15215	Credit Suisse AG – HSI European Warrants Mar 2012	500,000,000	2011/11/08	2012/03/29	75.00
15213	Credit Suisse AG – HSI European Put Warrants Mar 2012 B	500,000,000	2011/11/08	2012/03/29	75.00
15214	Credit Suisse AG – HSI European Put Warrants Mar 2012 C	500,000,000	2011/11/08	2012/03/29	75.00
15212	Credit Suisse AG – Hutchison European Warrants Mar 2012 D	300,000,000	2011/11/08	2012/03/19	180.00
15217	Credit Suisse AG – ICBC European Warrants Feb 2012	300,000,000	2011/11/08	2012/02/27	75.00
15204	Daiwa Capital Mkt – Agricultural Bank European Wts Apr 2012	128,000,000	2011/11/08	2012/04/05	32.00
15205	Daiwa Capital Mkt – Agricultural Bank European Wts May 2012	128,000,000	2011/11/08	2012/05/08	32.00
15203	Daiwa Capital Mkt – China Mobile European Warrants Apr 2012	128,000,000	2011/11/08	2012/04/05	48.64
15199	Daiwa Capital Mkt – CCB European Warrants Mar 2012	108,000,000	2011/11/08	2012/03/12	36.72
15200	Daiwa Capital Mkt – CCB European Warrants Mar 2012 A	108,000,000	2011/11/08	2012/03/01	22.68
15202	Daiwa Capital Mkt – CCB European Warrants Mar 2012 B	108,000,000	2011/11/08	2012/03/16	16.20
15197	Daiwa Capital Mkt – HSBC European Warrants Mar 2012	108,000,000	2011/11/08	2012/03/05	27.00
15198	Daiwa Capital Mkt – HSBC European Warrants Apr 2012	108,000,000	2011/11/08	2012/04/02	37.80
15206	Daiwa Capital Mkt – ICBC European Warrants Mar 2012	128,000,000	2011/11/08	2012/03/09	19.20
15207	Daiwa Capital Mkt – ICBC European Warrants Apr 2012	128,000,000	2011/11/08	2012/04/20	47.36
15195	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Jun 2012	200,000,000	2011/11/08	2012/06/04	50.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15208	Macquarie Bank Ltd. – BOCHK European Warrants Jun 2012 A	200,000,000	2011/11/08	2012/06/22	39.60
15196	Macquarie Bank Ltd. – ICBC European Put Warrants Jun 2012	200,000,000	2011/11/08	2012/06/04	53.00
15209	Macquarie Bank Ltd. – Stanchart European Warrants Jan 2013	200,000,000	2011/11/08	2013/01/03	51.00
15194	CC Rabobank B.A. – MGM China European Warrants May 2012	100,000,000	2011/11/08	2012/05/09	25.00
15222	UBS AG – BYD European Warrants Mar 2012	100,000,000	2011/11/08	2012/03/12	25.00
15223	UBS AG – BYD European Warrants May 2012	100,000,000	2011/11/08	2012/05/14	40.00
15221	UBS AG – HKEx European Warrants Jul 2012	300,000,000	2011/11/08	2012/07/16	75.00
15210	UBS AG – HSI European Warrants Dec 2012	300,000,000	2011/11/08	2012/12/28	45.00
15229	Daiwa Capital Mkt – AIA European Warrants Jun 2012	128,000,000	2011/11/09	2012/06/15	32.00
15230	Daiwa Capital Mkt – China Life European Warrants Apr 2012	168,000,000	2011/11/09	2012/04/10	25.20
15231	Daiwa Capital Mkt – China Life European Warrants May 2012	168,000,000	2011/11/09	2012/05/15	42.00
15228	Daiwa Capital Mkt – China Mobile European Warrants Apr 2012A	128,000,000	2011/11/09	2012/04/05	33.28
15233	Daiwa Capital Mkt – A50 China European Warrants Oct 2012	188,000,000	2011/11/09	2012/10/08	28.20
15224	Daiwa Capital Mkt – HSBC European Warrants Feb 2012	108,000,000	2011/11/09	2012/02/20	27.00
15246	Daiwa Capital Mkt – HSBC European Warrants May 2013	198,000,000	2011/11/09	2013/05/02	49.50
15232	Daiwa Capital Mkt – HSBC European Put Warrants Jul 2012	108,000,000	2011/11/09	2012/07/09	16.20
15247	Daiwa Capital Mkt – HSI European Warrants Apr 2012	200,000,000	2011/11/09	2012/04/27	34.00
15248	Daiwa Capital Mkt – HSI European Warrants May 2012	200,000,000	2011/11/09	2012/05/30	50.00
15249	Daiwa Capital Mkt – HSI European Put Warrants Apr 2012	200,000,000	2011/11/09	2012/04/27	30.00
15251	Daiwa Capital Mkt – HSI European Put Warrants Jun 2012	200,000,000	2011/11/09	2012/06/28	36.00
15250	Daiwa Capital Mkt – HSI European Put Warrants Apr 2012 A	200,000,000	2011/11/09	2012/04/27	36.00
15226	Daiwa Capital Mkt – Hutchison European Warrants Mar 2012	128,000,000	2011/11/09	2012/03/15	30.72
15227	Daiwa Capital Mkt – Hutchison European Warrants Mar 2013	198,000,000	2011/11/09	2013/03/29	49.50
15235	HK Bank – GCL-Poly Energy European Warrants Jun 2012	60,000,000	2011/11/09	2012/06/28	20.40
15234	HK Bank – SJM Holdings European Warrants Jun 2012 A	80,000,000	2011/11/09	2012/06/15	20.00
15236	Nomura Int'l plc – BOCL European Warrants Jul 2012	200,000,000	2011/11/09	2012/07/26	30.40
15238	Nomura Int'l plc – China Mobile European Warrants Apr 2012	100,000,000	2011/11/09	2012/04/05	31.80
15239	Nomura Int'l plc – China Mobile European Warrants Jun 2015	300,000,000	2011/11/09	2015/06/22	45.30
15241	Nomura Int'l plc – China Telecom European Warrants May 2012	100,000,000	2011/11/09	2012/05/10	31.80
15240	Nomura Int'l plc – China Telecom European Warrants Jun 2012	100,000,000	2011/11/09	2012/06/29	32.50
15244	Nomura Int'l plc – A50 China European Warrants Jul 2012 A	100,000,000	2011/11/09	2012/07/31	15.00
15245	Nomura Int'l plc – A50 China European Warrants Nov 2012	100,000,000	2011/11/09	2012/11/30	25.00
15243	Nomura Int'l plc – A50 China European Warrants Oct 2013	100,000,000	2011/11/09	2013/10/28	18.00
15242	Nomura Int'l plc – JIANG European Warrants Jun 2012	100,000,000	2011/11/09	2012/06/29	29.00
15237	Nomura Int'l plc – Lenovo European Warrants May 2012	100,000,000	2011/11/09	2012/05/14	44.00
15254	UBS AG – CM Bank European Warrants Nov 2012	100,000,000	2011/11/09	2012/11/26	25.00
15253	UBS AG – China Mobile European Warrants Apr 2012 C	200,000,000	2011/11/09	2012/04/16	62.00
15252	UBS AG – HSBC European Warrants Apr 2012 B	200,000,000	2011/11/09	2012/04/23	62.00
15275	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Jul 2012	100,000,000	2011/11/10	2012/07/04	25.00
15274	Credit Suisse AG – Prada European Warrants Oct 2012	100,000,000	2011/11/10	2012/10/22	25.00
15269	Daiwa Capital Mkt – Agricultural Bank Euro Put Wts May 2012	108,000,000	2011/11/10	2012/05/10	37.80
15258	Daiwa Capital Mkt – AIA European Warrants May 2012	108,000,000	2011/11/10	2012/05/10	29.16
15268	Daiwa Capital Mkt – AIA European Put Warrants Jun 2012	108,000,000	2011/11/10	2012/06/01	39.96
15259	Daiwa Capital Mkt – China Mobile European Warrants Mar 2012	128,000,000	2011/11/10	2012/03/12	71.68

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15263	Daiwa Capital Mkt – China Mobile European Put Wts May 2012	80,000,000	2011/11/10	2012/05/18	47.20
15261	Daiwa Capital Mkt – CCB European Put Warrants May 2012	80,000,000	2011/11/10	2012/05/10	59.20
15267	Daiwa Capital Mkt – China Life European Warrants Apr 2012 A	188,000,000	2011/11/10	2012/04/02	28.20
15262	Daiwa Capital Mkt – China Life European Put Wts Jun 2012	80,000,000	2011/11/10	2012/06/01	20.00
15264	Daiwa Capital Mkt – China Mobile European Put Wts May 2012 A	88,000,000	2011/11/10	2012/05/25	22.88
15255	Daiwa Capital Mkt – CNOOC European Warrants May 2012	98,000,000	2011/11/10	2012/05/02	14.70
15266	Daiwa Capital Mkt – A50 China European Warrants Apr 2012	188,000,000	2011/11/10	2012/04/20	28.20
15257	Daiwa Capital Mkt – A50 China European Warrants May 2012	108,000,000	2011/11/10	2012/05/10	50.76
15265	Daiwa Capital Mkt – HSI European Put Warrants Apr 2012 B	200,000,000	2011/11/10	2012/04/27	30.00
15260	Daiwa Capital Mkt – Hutchison European Warrants May 2012	128,000,000	2011/11/10	2012/05/25	37.12
15256	Daiwa Capital Mkt – ICBC European Warrants May 2012	128,000,000	2011/11/10	2012/05/10	65.28
15273	Standard Chartered Bank – China Coal European Wts Aug 2012	100,000,000	2011/11/10	2012/08/08	25.00
15270	Standard Chartered Bank – HKEx European Warrants Aug 2012	100,000,000	2011/11/10	2012/08/08	25.00
15272	Standard Chartered Bank – ICBC European Warrants Oct 2012	100,000,000	2011/11/10	2012/10/05	25.00
15271	Standard Chartered Bank –PetroChina European Wts Dec 2012	100,000,000	2011/11/10	2012/12/04	25.00
15277	UBS AG – China Life European Warrants Oct 2012	300,000,000	2011/11/10	2012/10/15	75.00
15276	UBS AG – Ping An European Warrants Nov 2013	200,000,000	2011/11/10	2013/11/25	30.00
15295	Credit Suisse AG – China Mobile European Put Wts Dec 2013	300,000,000	2011/11/11	2013/12/23	75.00
15282	Credit Suisse AG – HKEx European Warrants Mar 2012	300,000,000	2011/11/11	2012/03/16	45.00
15288	Daiwa Capital Mkt –Agricultural Bank European Wts Apr 2012 A	128,000,000	2011/11/11	2012/04/16	19.20
15287	Daiwa Capital Mkt – China Life European Warrants Jun 2012	168,000,000	2011/11/11	2012/06/05	25.20
15292	Daiwa Capital Mkt – China Life European Put Wts Apr 2012	128,000,000	2011/11/11	2012/04/02	37.12
15283	Daiwa Capital Mkt – HSCEI European Warrants Jun 2012	100,000,000	2011/11/11	2012/06/28	25.00
15289	Daiwa Capital Mkt – HSCEI European Put Warrants Jun 2012	100,000,000	2011/11/11	2012/06/28	25.00
15286	Daiwa Capital Mkt – HSI European Warrants Mar 2012	200,000,000	2011/11/11	2012/03/29	30.00
15290	Daiwa Capital Mkt – HSI European Put Warrants Mar 2012	200,000,000	2011/11/11	2012/03/29	54.00
15291	Daiwa Capital Mkt – HSI European Put Warrants May 2012	200,000,000	2011/11/11	2012/05/30	50.00
15284	Daiwa Capital Mkt – HSI European Warrants Apr 2012 C	200,000,000	2011/11/11	2012/04/27	30.00
15285	Daiwa Capital Mkt – HSI European Warrants May 2012 A	200,000,000	2011/11/11	2012/05/30	50.00
15293	Macquarie Bank Ltd. – China Life European Wts Jul 2012	200,000,000	2011/11/11	2012/07/04	50.00
15278	Macquarie Bank Ltd. – HSBC European Warrants Jun 2012	200,000,000	2011/11/11	2012/06/04	50.00
15280	Standard Chartered Bank – China Mobile Euro Wts Jun 2012	100,000,000	2011/11/11	2012/06/25	40.00
15279	Standard Chartered Bank – China Unicom European Wts Sep 2012	100,000,000	2011/11/11	2012/09/28	25.00
15281	Standard Chartered Bank–China Life European Wts Nov 2012	150,000,000	2011/11/11	2012/11/30	37.50
15294	UBS AG – China Life European Warrants Apr 2012 B	300,000,000	2011/11/11	2012/04/23	45.00
15297	UBS AG – CNOOC European Warrants Apr 2012 B	200,000,000	2011/11/11	2012/04/27	30.00
15296	UBS AG – Sinopec Corp European Warrants Jun 2012	100,000,000	2011/11/11	2012/06/25	40.00
15324	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2012 A	200,000,000	2011/11/14	2012/08/02	50.00
15306	Credit Suisse AG – Sinopec Corp European Warrants Feb 2012	150,000,000	2011/11/14	2012/02/15	60.00
15307	Credit Suisse AG – HKEx European Warrants Mar 2012 A	300,000,000	2011/11/14	2012/03/19	45.00
15304	Credit Suisse AG – Hutchison European Warrants Mar 2012 E	300,000,000	2011/11/14	2012/03/05	75.00
15305	Credit Suisse AG – Hutchison European Warrants Oct 2012 A	300,000,000	2011/11/14	2012/10/29	45.00
15318	Daiwa Capital Mkt – AIA European Warrants Jul 2012	108,000,000	2011/11/14	2012/07/03	70.20
15320	Daiwa Capital Mkt – BOCL European Warrants Jul 2012	108,000,000	2011/11/14	2012/07/03	29.16

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15321	Daiwa Capital Mkt – BOCL European Put Warrants Jun 2012	80,000,000	2011/11/14	2012/06/15	43.20
15316	Daiwa Capital Mkt – China Mobile European Warrants Jun 2012	128,000,000	2011/11/14	2012/06/01	32.00
15317	Daiwa Capital Mkt – China Mobile European Warrants Apr 2013	198,000,000	2011/11/14	2013/04/01	49.50
15319	Daiwa Capital Mkt – China Life European Warrants Jul 2012	128,000,000	2011/11/14	2012/07/03	84.48
15313	Daiwa Capital Mkt – HKEx European Warrants Jul 2012	108,000,000	2011/11/14	2012/07/16	27.00
15314	Daiwa Capital Mkt – HKEx European Warrants Aug 2012	108,000,000	2011/11/14	2012/08/15	50.76
15315	Daiwa Capital Mkt – HKEx European Put Warrants Jan 2013	108,000,000	2011/11/14	2013/01/03	16.20
15311	Daiwa Capital Mkt – HSBC European Warrants May 2012	128,000,000	2011/11/14	2012/05/14	61.44
15327	Daiwa Capital Mkt – HSCEI European Warrants Sep 2012	100,000,000	2011/11/14	2012/09/27	25.00
15328	Daiwa Capital Mkt – HSCEI European Put Warrants May 2012	100,000,000	2011/11/14	2012/05/30	25.00
15323	Daiwa Capital Mkt – HSI European Warrants Jun 2012	200,000,000	2011/11/14	2012/06/28	50.00
15322	Daiwa Capital Mkt – HSI European Warrants May 2012 B	200,000,000	2011/11/14	2012/05/30	52.00
15312	Daiwa Capital Mkt – Hutchison European Put Warrants Jun 2012	80,000,000	2011/11/14	2012/06/01	57.60
15303	Bank of East Asia – CHALCO European Warrants Dec 2013	500,000,000	2011/11/14	2013/12/13	125.00
15302	Bank of East Asia – Ping An European Warrants Sep 2013	310,000,000	2011/11/14	2013/09/23	77.50
15301	HK Bank – Agricultural Bank European Warrants May 2012	150,000,000	2011/11/14	2012/05/14	37.50
15299	HK Bank – China Mobile European Warrants May 2012	120,000,000	2011/11/14	2012/05/14	34.80
15298	HK Bank – CC Bank European Warrants May 2012	150,000,000	2011/11/14	2012/05/14	37.50
15300	HK Bank – China Life European Warrants Aug 2012 A	120,000,000	2011/11/14	2012/08/28	30.00
15308	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2012 A	200,000,000	2011/11/14	2012/07/04	86.40
15310	Standard Chartered Bank-China Life European Wts Jul 2012 B	150,000,000	2011/11/14	2012/07/18	37.50
15326	UBS AG – CC Bank European Warrants May 2012	200,000,000	2011/11/14	2012/05/14	110.00
15325	UBS AG – CC Bank European Warrants Apr 2012 B	200,000,000	2011/11/14	2012/04/26	76.00
15309	UBS AG – China Life European Warrants Jul 2012	300,000,000	2011/11/14	2012/07/26	45.00
15341	BNP Paribas Arbit Issu B.V. – Tencent Eu Put Wt Aug 2012	100,000,000	2011/11/15	2012/08/02	25.00
15340	Credit Suisse AG – BOC HK European Warrants Apr 2012	150,000,000	2011/11/15	2012/04/10	22.50
15347	Citigroup Global Mkt H Inc. – CNBM Euro Warrants Sep 2012	80,000,000	2011/11/15	2012/09/03	20.00
15335	Daiwa Capital Mkt – BOC HK European Warrants Jun 2012	108,000,000	2011/11/15	2012/06/22	16.20
15333	Daiwa Capital Mkt – CNOOC European Warrants Jul 2012	98,000,000	2011/11/15	2012/07/23	19.60
15334	Daiwa Capital Mkt – CNOOC European Warrants Aug 2012	98,000,000	2011/11/15	2012/08/15	27.44
15342	Daiwa Capital Mkt – HSCEI European Warrants Sep 2012 A	100,000,000	2011/11/15	2012/09/27	27.00
15336	Daiwa Capital Mkt – HSI European Warrants May 2012 C	200,000,000	2011/11/15	2012/05/30	50.00
15337	Daiwa Capital Mkt – HSI European Warrants Jun 2012 A	200,000,000	2011/11/15	2012/06/28	50.00
15338	Daiwa Capital Mkt – HSI European Put Warrants May 2012 D	200,000,000	2011/11/15	2012/05/30	52.00
15331	HK Bank – HSI European Put Warrants May 2012	200,000,000	2011/11/15	2012/05/30	40.00
15329	HK Bank – HSI European Warrants May 2012 A	200,000,000	2011/11/15	2012/05/30	50.00
15330	HK Bank – HSI European Put Warrants Apr 2012 C	200,000,000	2011/11/15	2012/04/27	30.00
15332	J P Morgan SP BV – China Life European Warrants May 2012	200,000,000	2011/11/15	2012/05/30	50.00
15343	J P Morgan Int'l Der. Ltd. – HSCEI European Wts Jun 2012	200,000,000	2011/11/15	2012/06/28	50.00
15344	J P Morgan SP BV – HSCEI European Put Warrants Jun 2012	200,000,000	2011/11/15	2012/06/28	50.00
15345	J P Morgan SP BV – HSI European Warrants Mar 2012 A	200,000,000	2011/11/15	2012/03/29	30.00
15346	J P Morgan SP BV – HSI European Warrants Apr 2012 G	200,000,000	2011/11/15	2012/04/27	30.00
15339	UBS AG – Hutchison European Warrants Oct 2012 A	500,000,000	2011/11/15	2012/10/31	75.00
15349	UBS AG – SPDR Gold Trust European Warrants May 2012	100,000,000	2011/11/15	2012/05/21	60.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15348	UBS AG – Tencent Holdings European Warrants Jun 2012	200,000,000	2011/11/15	2012/06/25	36.00
15355	Nomura Int'l plc – Agricultural Bk Euro Warrants Jun 2012	100,000,000	2011/11/16	2012/06/29	49.20
15354	Nomura Int'l plc – Agricultural Bk Euro Warrants Apr 2012 A	100,000,000	2011/11/16	2012/04/05	15.70
15360	Nomura Int'l plc – BOCL European Warrants Mar 2012	100,000,000	2011/11/16	2012/03/26	16.30
15359	Nomura Int'l plc – China Life European Warrants May 2012	100,000,000	2011/11/16	2012/05/31	25.00
15352	Nomura Int'l plc – CNOOC European Warrants Nov 2012	100,000,000	2011/11/16	2012/11/30	16.00
15351	Nomura Int'l plc – Sinopec European Warrants May 2012 A	100,000,000	2011/11/16	2012/05/21	56.00
15357	Nomura Int'l plc – ICBC European Warrants Jun 2012	100,000,000	2011/11/16	2012/06/29	39.30
15356	Nomura Int'l plc – ICBC European Warrants Mar 2012 A	100,000,000	2011/11/16	2012/03/26	19.00
15358	Nomura Int'l plc – Ping An European Warrants Nov 2013	100,000,000	2011/11/16	2013/11/29	15.00
15353	Nomura Int'l plc – Ch Rongsheng European Warrants Mar 2012	100,000,000	2011/11/16	2012/03/05	25.00
15350	Standard Chartered Bank – Sinopec Corp European Wts Aug 2012	100,000,000	2011/11/16	2012/08/08	35.00
15364	UBS AG – BOC HK European Warrants Jun 2012	100,000,000	2011/11/16	2012/06/22	15.00
15363	UBS AG – CNOOC European Warrants Apr 2012 C	200,000,000	2011/11/16	2012/04/13	30.00
15362	UBS AG – HSBC European Warrants Apr 2013	500,000,000	2011/11/16	2013/04/25	95.00
15361	UBS AG – HSBC European Warrants Mar 2012 A	200,000,000	2011/11/16	2012/03/29	100.00
15367	UBS AG – HSI European Put Warrants Jul 2012	300,000,000	2011/11/16	2012/07/30	90.00
15365	UBS AG – HSI European Warrants Feb 2012 E	300,000,000	2011/11/16	2012/02/28	45.00
15366	UBS AG – HSI European Put Warrants Feb 2012 F	300,000,000	2011/11/16	2012/02/28	45.00
15368	Barclays Bank plc – HSI European Warrants Aug 2012 A	150,000,000	2011/11/17	2012/08/30	37.65
15369	Barclays Bank plc – HSI European Put Warrants Aug 2012 B	150,000,000	2011/11/17	2012/08/30	37.65
15379	Credit Suisse AG – HSBC European Wts Apr 2013	400,000,000	2011/11/17	2013/04/25	72.00
15380	Credit Suisse AG – HSI European Warrants Feb 2012 O	500,000,000	2011/11/17	2012/02/28	75.00
15381	Credit Suisse AG – HSI European Warrants Mar 2012 D	500,000,000	2011/11/17	2012/03/29	75.00
15389	HK Bank – BOCL European Warrants Jun 2012	80,000,000	2011/11/17	2012/06/28	20.00
15387	HK Bank – Sinopec Corp European Warrants May 2012	80,000,000	2011/11/17	2012/05/17	21.60
15385	HK Bank – Esprit European Warrants Sep 2012	60,000,000	2011/11/17	2012/09/27	15.00
15386	HK Bank – Jiangxi Copper European Warrants Jun 2012	60,000,000	2011/11/17	2012/06/15	16.20
15388	HK Bank – Shougang Res European Warrants Jun 2012	50,000,000	2011/11/17	2012/06/28	13.00
15395	Macquarie Bank Ltd. – CITIC Bank European Warrants Aug 2012	150,000,000	2011/11/17	2012/08/02	41.55
15382	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2012	250,000,000	2011/11/17	2012/12/04	62.50
15384	Macquarie Bank Ltd. – ICBC European Warrants Jul 2012	200,000,000	2011/11/17	2012/07/03	70.00
15394	Macquarie Bank Ltd. – Yanzhou Coal European Wts Jul 2012	200,000,000	2011/11/17	2012/07/03	50.60
15393	Standard Chartered Bank – BOCL European Warrants May 2012	100,000,000	2011/11/17	2012/05/18	25.00
15391	Standard Chartered Bank – CC Bank European Wts Mar 2012 A	100,000,000	2011/11/17	2012/03/21	23.00
15390	Standard Chartered Bank – HSBC European Warrants Apr 2012	100,000,000	2011/11/17	2012/04/10	24.00
15392	Standard Chartered Bank – ICBC European Warrants Mar 2012	100,000,000	2011/11/17	2012/03/02	21.00
15373	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Apr 2012	180,000,000	2011/11/17	2012/04/16	84.60
15374	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2012A	300,000,000	2011/11/17	2012/04/16	69.00
15375	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2012	300,000,000	2011/11/17	2012/06/06	57.00
15376	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2012	300,000,000	2011/11/17	2012/04/02	84.00
15377	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012	300,000,000	2011/11/17	2012/05/21	75.00
15370	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012 B	300,000,000	2011/11/17	2012/03/29	45.00
15372	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 12 C	300,000,000	2011/11/17	2012/03/29	48.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15371	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 12 C	300,000,000	2011/11/17	2012/04/27	48.00
15378	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2012	150,000,000	2011/11/17	2012/05/21	87.00
15383	UBS AG – HKEx European Warrants Aug 2012 A	300,000,000	2011/11/17	2012/08/01	45.00
15399	UBS AG – HSI European Put Warrants Jun 2012 C	300,000,000	2011/11/17	2012/06/28	45.00
15398	UBS AG – ICBC European Warrants May 2012	200,000,000	2011/11/17	2012/05/28	90.00
15396	UBS AG – SHK Ppt European Warrants Feb 2013	100,000,000	2011/11/17	2013/02/25	25.00
15397	UBS AG – Tencent Holdings European Warrants May 2012 A	200,000,000	2011/11/17	2012/05/28	36.00
15405	BOCI Asia Ltd. – China Life European Warrants Jun 2012 A	100,000,000	2011/11/18	2012/06/05	25.00
15406	BOCI Asia Ltd. – China Life European Warrants Oct 2012	100,000,000	2011/11/18	2012/10/03	25.00
15414	J P Morgan SP BV – AIA European Warrants May 2012	200,000,000	2011/11/18	2012/05/30	50.00
15413	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2012	200,000,000	2011/11/18	2012/05/30	78.00
15412	J P Morgan SP BV – HKEx European Warrants Aug 2012	300,000,000	2011/11/18	2012/08/01	45.00
15411	J P Morgan SP BV – Ping An European Warrants Dec 2012	300,000,000	2011/11/18	2012/12/11	45.00
15407	Standard Chartered Bank – Tencent Hldg European Wts Jun 2012	100,000,000	2011/11/18	2012/06/28	25.00
15400	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt May 2012	200,000,000	2011/11/18	2012/05/21	60.00
15402	SGA Societe Generale Acceptance N.V. -Ch Mob Eu Wt May 2012	150,000,000	2011/11/18	2012/05/21	49.50
15401	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2012	150,000,000	2011/11/18	2012/05/21	70.50
15404	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2012	180,000,000	2011/11/18	2012/05/21	63.00
15403	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Apr 2012 A	150,000,000	2011/11/18	2012/04/25	76.50
15410	UBS AG – China Mobile European Put Warrants Dec 2013	300,000,000	2011/11/18	2013/12/16	57.00
15408	UBS AG – HSI European Warrants Jun 2012 D	300,000,000	2011/11/18	2012/06/28	78.00
15409	UBS AG – Hutchison European Warrants Jun 2012 A	200,000,000	2011/11/18	2012/06/25	80.00
15434	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wts Aug 2012	100,000,000	2011/11/21	2012/08/02	53.00
15429	BNP Paribas Arbit Issu B.V. – Agile Ppt European Wt Nov 2012	100,000,000	2011/11/21	2012/11/02	25.00
15433	BNP Paribas Arbit Issu B.V. – Cathay Pacific Eu Wts Nov 2013	98,000,000	2011/11/21	2013/11/04	24.50
15432	BNP Paribas Arbit Issu B.V. -Li Ning European Wts Jul 2013	54,000,000	2011/11/21	2013/07/03	13.50
15431	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2012	100,000,000	2011/11/21	2012/06/04	53.00
15430	BNP Paribas Arbit Issu B.V. -Ting Yi European Wts Aug 2012	100,000,000	2011/11/21	2012/08/02	32.00
15426	Daiwa Capital Mkt – Sinopec Corp European Warrants May 2012	80,000,000	2011/11/21	2012/05/21	56.80
15427	Daiwa Capital Mkt – Sinopec Corp European Warrants Jul 2013	128,000,000	2011/11/21	2013/07/18	32.00
15428	Daiwa Capital Mkt – Sinopec Corp European Put Wts May 2012	80,000,000	2011/11/21	2012/05/21	29.60
15419	CC Rabobank B.A. – China Oilfield European Warrants Aug 2012	100,000,000	2011/11/21	2012/08/20	25.00
15420	CC Rabobank B.A. – CSR Times European Warrants Jun 2012	100,000,000	2011/11/21	2012/06/18	30.00
15421	CC Rabobank B.A. – HKEx European Put Warrants Dec 2012	200,000,000	2011/11/21	2012/12/21	40.00
15422	CC Rabobank B.A. – Tencent Holdings European Wts Jun 2012	200,000,000	2011/11/21	2012/06/18	50.00
15416	Standard Chartered Bank – China Overseas Euro Wt Jul 2012	100,000,000	2011/11/21	2012/07/13	15.00
15417	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Apr 2012 B	200,000,000	2011/11/21	2012/04/11	46.00
15418	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Jun 2012	200,000,000	2011/11/21	2012/06/27	64.00
15435	UBS AG – BYD European Warrants Jul 2012 A	100,000,000	2011/11/21	2012/07/30	25.00
15437	UBS AG – China Mobile European Warrants Mar 2013	500,000,000	2011/11/21	2013/03/25	115.00
15436	UBS AG – CC Bank European Warrants May 2012 A	200,000,000	2011/11/21	2012/05/07	32.00
15439	UBS AG – China Life European Warrants Jul 2012 A	200,000,000	2011/11/21	2012/07/31	30.00
15438	UBS AG – HKEx European Warrants Jul 2012 A	300,000,000	2011/11/21	2012/07/09	60.00
15425	UBS AG – HSI European Warrants Mar 2012 E	300,000,000	2011/11/21	2012/03/29	45.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15423	UBS AG – HSI European Warrants Apr 2012 E	300,000,000	2011/11/21	2012/04/27	81.00
15424	UBS AG – HSI European Warrants May 2012 A	300,000,000	2011/11/21	2012/05/30	66.00
15449	BOCI Asia Ltd. – CNOOC European Warrants Dec 2012	100,000,000	2011/11/22	2012/12/04	20.00
15448	BOCI Asia Ltd. – PetroChina European Warrants Oct 2012	100,000,000	2011/11/22	2012/10/29	15.00
15447	J P Morgan SP BV – BOCL European Warrants Jun 2012	200,000,000	2011/11/22	2012/06/28	50.00
15443	J P Morgan SP BV – CNOOC European Warrants Apr 2012	200,000,000	2011/11/22	2012/04/30	30.00
15446	J P Morgan SP BV – Esprit European Wts Aug 2012	100,000,000	2011/11/22	2012/08/30	25.00
15445	J P Morgan SP BV – HSBC European Warrants Jun 2012	200,000,000	2011/11/22	2012/06/04	50.00
15451	J P Morgan SP BV – Hutchison European Warrants May 2012	100,000,000	2011/11/22	2012/05/30	37.10
15450	J P Morgan SP BV – Hutchison European Warrants Jun 2012	100,000,000	2011/11/22	2012/06/28	25.00
15444	J P Morgan SP BV – Tencent European Warrants Jun 2012 A	200,000,000	2011/11/22	2012/06/25	30.00
15442	Macquarie Bank Ltd. – Huaneng Power European Wts Jun 2012	150,000,000	2011/11/22	2012/06/04	38.85
15440	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt May 2012A	150,000,000	2011/11/22	2012/05/23	61.50
15441	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jun 2012	150,000,000	2011/11/22	2012/06/27	45.00
15453	UBS AG – CC Bank European Warrants Jul 2012	200,000,000	2011/11/22	2012/07/10	120.00
15452	UBS AG – A50 China European Warrants Nov 2012	200,000,000	2011/11/22	2012/11/12	50.00
15469	Credit Suisse AG – ChiUnicom European Warrants Apr 2012	200,000,000	2011/11/23	2012/04/25	30.00
15468	Credit Suisse AG – Tencent Holdings European Wts Mar 2012 A	300,000,000	2011/11/23	2012/03/19	45.00
15470	Credit Suisse AG – Yanzhou Coal European Warrants May 2012	200,000,000	2011/11/23	2012/05/31	30.00
15456	Bank of East Asia – Belle European Warrants Aug 2012	100,000,000	2011/11/23	2012/08/23	25.00
15457	Bank of East Asia – CNBM European Warrants Nov 2012	140,000,000	2011/11/23	2012/11/23	35.00
15455	Bank of East Asia – Tencent Holdings European Wts Jun 2012	100,000,000	2011/11/23	2012/06/22	25.00
15464	J P Morgan SP BV – Agricultural Bk Euro Warrants May 2012	150,000,000	2011/11/23	2012/05/30	37.50
15463	J P Morgan Int'l Der. Ltd. – CC Bank European Wts May 2012 A	200,000,000	2011/11/23	2012/05/30	50.00
15462	J P Morgan SP BV – China Life European Warrants May 2012 A	288,000,000	2011/11/23	2012/05/30	72.00
15461	J P Morgan SP BV – Sinopec Corp European Warrants May 2012 A	200,000,000	2011/11/23	2012/05/23	66.20
15459	J P Morgan SP BV – ICBC European Warrants May 2012	200,000,000	2011/11/23	2012/05/30	50.00
15460	J P Morgan SP BV – ICBC European Warrants May 2012 A	200,000,000	2011/11/23	2012/05/30	67.40
15458	J P Morgan SP BV – Ping An European Warrants Jun 2012 A	200,000,000	2011/11/23	2012/06/18	66.40
15467	Standard Chartered Bank – BYD European Warrants May 2012	100,000,000	2011/11/23	2012/05/28	25.00
15465	Standard Chartered Bank – CTel European Warrants May 2012	100,000,000	2011/11/23	2012/05/28	25.00
15466	Standard Chartered Bank -PetroChina European Wts Jun 2012	100,000,000	2011/11/23	2012/06/15	50.00
15454	SGA Societe Generale Acceptance N.V. -HSBC Eu Put Wt May2012	200,000,000	2011/11/23	2012/05/14	78.00
15471	UBS AG – China Life European Warrants Jun 2012	200,000,000	2011/11/23	2012/06/25	50.00
15472	UBS AG – HSI European Warrants Apr 2012 F	300,000,000	2011/11/23	2012/04/27	72.00
15473	UBS AG – HSI European Warrants Dec 2012 A	300,000,000	2011/11/23	2012/12/28	75.00
15486	BOCI Asia Ltd. – BOC HK European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/29	15.00
15487	BOCI Asia Ltd. – Ch Overseas European Warrants Jul 2012	100,000,000	2011/11/24	2012/07/20	18.00
15485	BNP Paribas Arbit Issu B.V. -MGM China European Wts Jul 2012	100,000,000	2011/11/24	2012/07/04	25.00
15484	Macquarie Bank Ltd. – Zoomlion European Warrants Dec 2012	200,000,000	2011/11/24	2012/12/04	50.00
15477	Nomura Int'l plc – Air China European Warrants Jun 2014	50,000,000	2011/11/24	2014/06/30	12.50
15474	Nomura Int'l plc – BJ Ent Water European Warrants May 2012	100,000,000	2011/11/24	2012/05/28	25.00
15481	Nomura Int'l plc – BYD European Warrants May 2012	100,000,000	2011/11/24	2012/05/31	26.00
15482	Nomura Int'l plc – CPIC European Warrants May 2012	100,000,000	2011/11/24	2012/05/31	25.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15480	Nomura Int'l plc – Ch South Air European Warrants Jun 2012	50,000,000	2011/11/24	2012/06/29	25.00
15475	Nomura Int'l plc – HKEx European Warrants Jun 2012 A	100,000,000	2011/11/24	2012/06/06	51.00
15476	Nomura Int'l plc – Tencent Holdings Euro Warrants May 2012	100,000,000	2011/11/24	2012/05/31	16.80
15478	Nomura Int'l plc – ZTE European Warrants Jun 2012	50,000,000	2011/11/24	2012/06/29	12.75
15479	Nomura Int'l plc – ZTE European Warrants Dec 2012	50,000,000	2011/11/24	2012/12/17	12.70
15483	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Sep 2012	400,000,000	2011/11/24	2012/09/24	80.00
15490	UBS AG – Anhui Conch European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/25	32.00
15491	UBS AG – Alibaba European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/22	15.00
15489	UBS AG – China Overseas European Warrants Jun 2012	100,000,000	2011/11/24	2012/06/25	15.00
15492	UBS AG – China National Building European Warrants Nov 2012	100,000,000	2011/11/24	2012/11/12	15.00
15488	UBS AG – HSI European Put Warrants Apr 2012 G	300,000,000	2011/11/24	2012/04/27	45.00
15164#	UBS AG – HSI European Warrants Mar 2012 D	300,000,000	2011/11/24	2012/03/29	16.80
15515	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2012	200,000,000	2011/11/25	2012/10/03	50.00
15514	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2012	100,000,000	2011/11/25	2012/08/02	15.00
15513	Credit Suisse AG – HSI European Put Warrants Apr 2012	500,000,000	2011/11/25	2012/04/27	75.00
15510	Credit Suisse AG – HSI European Warrants Mar 2012 E	500,000,000	2011/11/25	2012/03/29	75.00
15511	Credit Suisse AG – HSI European Warrants Mar 2012 F	500,000,000	2011/11/25	2012/03/29	75.00
15512	Credit Suisse AG – HSI European Warrants Mar 2012 G	500,000,000	2011/11/25	2012/03/29	75.00
15503	Citigroup Global Mkt H Inc. – ChiUnicom Euro Wts Jul 2012	80,000,000	2011/11/25	2012/07/10	12.00
15504	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2012	80,000,000	2011/11/25	2012/05/24	12.00
15500	Citigroup Global Mkt H Inc. – HSBC European Wts Apr 2012	80,000,000	2011/11/25	2012/04/24	17.60
15505	Citigroup Global Mkt H Inc. – HSCEI European Wts Mar 2012 A	80,000,000	2011/11/25	2012/03/29	12.00
15506	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2012 A	100,000,000	2011/11/25	2012/03/29	15.00
15501	Citigroup Global Mkt H Inc. – Hutchison Euro Wts May 2012	80,000,000	2011/11/25	2012/05/31	25.60
15502	Citigroup Global Mkt H Inc.-Tencent European Wts May 2012	80,000,000	2011/11/25	2012/05/23	12.80
15498	HK Bank – CNOOC European Warrants Oct 2012	120,000,000	2011/11/25	2012/10/15	30.00
15507	Standard Chartered Bank – HSI European Warrants May 2012	200,000,000	2011/11/25	2012/05/30	30.20
15495	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2012	200,000,000	2011/11/25	2012/06/11	74.00
15508	SGA Societe Generale Acceptance N.V. – CNBM Euro Wt Jul 2012	150,000,000	2011/11/25	2012/07/30	37.50
15493	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 D	300,000,000	2011/11/25	2012/04/27	75.00
15494	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Apr 12 E	300,000,000	2011/11/25	2012/04/27	48.00
15496	SGA Societe Generale Acceptance N.V. – HWL Eur Wt Apr 2012 A	150,000,000	2011/11/25	2012/04/23	87.00
15497	SGA Societe Generale Acceptance N.V. – HWL Eur Wt May 2012 A	200,000,000	2011/11/25	2012/05/28	68.00
15509	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Jun 12	100,000,000	2011/11/25	2012/06/27	25.00
15516	UBS AG – HSBC European Warrants May 2012	300,000,000	2011/11/25	2012/05/28	153.00
15499	UBS AG – HSCEI European Warrants Jun 2012	100,000,000	2011/11/25	2012/06/28	25.00
15517	UBS AG – Tencent Holdings European Warrants Jun 2012 A	200,000,000	2011/11/25	2012/06/11	54.00
15539	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Jun 2012 A	200,000,000	2011/11/28	2012/06/04	66.00
15521	BNP Paribas Arbit Issu B.V. – Cheung Kong Eu Put Wt Oct 2013	100,000,000	2011/11/28	2013/10/03	25.00
15540	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2012 B	200,000,000	2011/11/28	2012/06/04	50.00
15541	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2013	200,000,000	2011/11/28	2013/06/04	58.00
15538	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jun 2012 B	200,000,000	2011/11/28	2012/06/04	52.00
15522	BNP Paribas Arbit Issu B.V. – SHK Ppt Euro Put Wts Oct 2013	100,000,000	2011/11/28	2013/10/03	25.00
15520	Credit Suisse AG – CNBM European Warrants Sep 2012	100,000,000	2011/11/28	2012/09/12	15.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15534	Citigroup Global Mkt H Inc. – BYD European Warrants May 2012	80,000,000	2011/11/28	2012/05/30	20.00
15531	Citigroup Global Mkt H Inc. – Ch Overseas Eu Put Wt Aug 2012	80,000,000	2011/11/28	2012/08/29	20.00
15533	Citigroup Global Mkt H Inc. – CITIC Bank Euro Wts May 2012	80,000,000	2011/11/28	2012/05/30	24.00
15536	Citigroup Global Mkt H Inc. – CPIC European Wts May 2012	80,000,000	2011/11/28	2012/05/30	20.00
15530	Citigroup Global Mkt H Inc. – HSCEI Euro Put Wts Mar 2012	80,000,000	2011/11/28	2012/03/29	12.00
15537	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2012 B	100,000,000	2011/11/28	2012/03/29	15.00
15532	Citigroup Global Mkt H Inc. – SJM Holdings Euro Wt Jun 2012	80,000,000	2011/11/28	2012/06/08	12.00
15519	J P Morgan SP BV – Cheung Kong European Warrants Dec 2012 A	200,000,000	2011/11/28	2012/12/20	30.00
15529	Macquarie Bank Ltd. – Esprit European Warrants Nov 2012	200,000,000	2011/11/28	2012/11/30	33.60
15518	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Jun 2012	200,000,000	2011/11/28	2012/06/04	50.00
15527	CC Rabobank B.A. – Sinopec Corp European Warrants Jul 2012	100,000,000	2011/11/28	2012/07/16	80.00
15528	CC Rabobank B.A. – HKEx European Warrants Jun 2012 A	120,000,000	2011/11/28	2012/06/06	18.00
15526	CC Rabobank B.A. – Ting Yi European Warrants Aug 2012	80,000,000	2011/11/28	2012/08/06	20.00
15523	CC Rabobank B.A. – Want Want China European Wts Jun 2012	100,000,000	2011/11/28	2012/06/18	60.00
15535	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Apr 2012B	300,000,000	2011/11/28	2012/04/02	45.00
15546	UBS AG – BankComm European Warrants Jun 2012	100,000,000	2011/11/28	2012/06/25	33.00
15544	UBS AG – Minsheng Bank European Warrants Jul 2012	100,000,000	2011/11/28	2012/07/16	48.00
15547	UBS AG – Evergrande European Warrants Jun 2012	100,000,000	2011/11/28	2012/06/25	28.00
15542	UBS AG – HSBC European Warrants Jun 2012 A	300,000,000	2011/11/28	2012/06/11	204.00
15545	UBS AG – Ping An European Warrants Jun 2012 A	100,000,000	2011/11/28	2012/06/11	54.00
15543	UBS AG – Yanzhou Coal European Wts Jun 2012	100,000,000	2011/11/28	2012/06/18	22.00
15553	Credit Suisse AG – China Coal European Warrants Aug 2012	100,000,000	2011/11/29	2012/08/01	15.00
15551	J P Morgan SP BV – China Life European Warrants May 2012 B	200,000,000	2011/11/29	2012/05/30	50.00
15555	CC Rabobank B.A. – Anhui Conch European Warrants Jul 2012	100,000,000	2011/11/29	2012/07/16	40.00
15554	CC Rabobank B.A. – Galaxy Ent European Warrants Jun 2012	100,000,000	2011/11/29	2012/06/25	25.00
15557	CC Rabobank B.A. – KECL European Warrants Feb 2013	120,000,000	2011/11/29	2013/02/27	30.00
15556	CC Rabobank B.A. – MGM China European Warrants Jun 2012	100,000,000	2011/11/29	2012/06/25	25.00
15558	CC Rabobank B.A. – SJM Holdings European Warrants Jul 2012	100,000,000	2011/11/29	2012/07/30	25.00
15552	Standard Chartered Bank – ICBC European Warrants Jul 2012	100,000,000	2011/11/29	2012/07/04	25.00
15559	UBS AG – China Coal European Warrants Jan 2013	100,000,000	2011/11/29	2013/01/28	17.00
13898#	Macquarie Bank Ltd. – HSI European Warrants Feb 2012	400,000,000	2011/11/29	2012/02/28	13.60
13828#	Citigroup Global Mkt H Inc. – HSCEI European Wts Feb 2012	280,000,000	2011/11/29	2012/02/28	10.08
14197#	Citigroup Global Mkt H Inc. – HSI European Wts Feb 2012 A	110,000,000	2011/11/29	2012/02/28	10.56
15176#	Citigroup Global Mkt H Inc. – HSI European Wts Mar 2012	300,000,000	2011/11/29	2012/03/29	10.20
15578	BOCI Asia Ltd. – China Shenhua European Warrants Jun 2012	100,000,000	2011/11/30	2012/06/04	25.00
15577	BOCI Asia Ltd. – Tracker Fund European Warrants Nov 2012	100,000,000	2011/11/30	2012/11/05	25.00
15573	BNP Paribas Arbit Issu B.V. – Chow Sang Sang Eu Wts Jul 2012	43,000,000	2011/11/30	2012/07/04	16.77
15574	BNP Paribas Arbit Issu B.V. – Luk Fook Hold Eu Wts Apr 2012	75,000,000	2011/11/30	2012/04/03	23.25
15572	BNP Paribas Arbit Issu B.V. – New World Dev Euro Wt Jan 2015	100,000,000	2011/11/30	2015/01/05	25.00
15594	Credit Suisse AG – CC Bank European Warrants Mar 2012	300,000,000	2011/11/30	2012/03/02	45.00
15590	Credit Suisse AG – HSI European Warrants Mar 2012 H	500,000,000	2011/11/30	2012/03/29	75.00
15591	Credit Suisse AG – HSI European Warrants Mar 2012 I	500,000,000	2011/11/30	2012/03/29	75.00
15592	Credit Suisse AG – Tencent Holdings European Wts Apr 2012	300,000,000	2011/11/30	2012/04/10	45.00
15595	Credit Suisse AG – Yanzhou Coal European Warrants Jun 2012	200,000,000	2011/11/30	2012/06/25	50.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15583	Citigroup Global Mkt H Inc. – BOCL European Wts May 2012	80,000,000	2011/11/30	2012/05/30	20.00
15581	Citigroup Global Mkt H Inc. – China Tel Euro Wts May 2012	50,000,000	2011/11/30	2012/05/30	14.00
15582	Citigroup Global Mkt H Inc. – CM Bank European Wts Sep 2012	80,000,000	2011/11/30	2012/09/24	12.00
15579	Citigroup Global Mkt H Inc. – Sinopec Corp Euro Wts Jul 2013	100,000,000	2011/11/30	2013/07/11	16.00
15580	Citigroup Global Mkt H Inc. – HKEx European Wts Aug 2012	80,000,000	2011/11/30	2012/08/01	12.00
15564	CC Rabobank B.A. – Agricultural Bk Euro Warrants Aug 2012	150,000,000	2011/11/30	2012/08/20	75.00
15565	CC Rabobank B.A. – Agricultural Bk Euro Warrants Aug 2012 A	150,000,000	2011/11/30	2012/08/20	37.50
15566	CC Rabobank B.A. – Belle Int'l European Warrants Jul 2012	100,000,000	2011/11/30	2012/07/16	25.00
15569	CC Rabobank B.A. – BOCL European Warrants Aug 2012	150,000,000	2011/11/30	2012/08/20	45.00
15570	CC Rabobank B.A. – BOCL European Warrants Sep 2012	150,000,000	2011/11/30	2012/09/17	37.50
15562	CC Rabobank B.A. – China Overseas European Warrants Nov 2012	100,000,000	2011/11/30	2012/11/19	25.00
15567	CC Rabobank B.A. – China Life European Warrants Jul 2012	200,000,000	2011/11/30	2012/07/16	50.00
15568	CC Rabobank B.A. – China Life European Warrants Oct 2012	200,000,000	2011/11/30	2012/10/22	50.00
15561	CC Rabobank B.A. – Li & Fung European Warrants Jul 2012	150,000,000	2011/11/30	2012/07/16	37.50
15563	CC Rabobank B.A. – Yanzhou Coal European Warrants May 2012	100,000,000	2011/11/30	2012/05/28	25.00
15575	Standard Chartered Bank – BOCL European Warrants Jul 2012	100,000,000	2011/11/30	2012/07/05	25.00
15576	Standard Chartered Bank – BOCL European Warrants Oct 2012	100,000,000	2011/11/30	2012/10/05	25.00
15571	SGA Societe Generale Acceptance N.V. -HSI Eu Put Wt Mar 12 D	300,000,000	2011/11/30	2012/03/29	48.00
15584	SGA Societe Generale Acceptance NV-Yanzhou Coal Eu Wt May 12	100,000,000	2011/11/30	2012/05/30	28.00
15588	UBS AG – BOCL European Warrants May 2012	100,000,000	2011/11/30	2012/05/14	15.00
15585	UBS AG – Hutchison European Warrants Jun 2012 B	200,000,000	2011/11/30	2012/06/18	94.00
15587	UBS AG – KECL European Warrants Aug 2012	100,000,000	2011/11/30	2012/08/27	15.00
15560	UBS AG – Tencent Holdings European Warrants Mar 2012 B	200,000,000	2011/11/30	2012/03/19	30.00
15600	BOCI Asia Ltd. – HSBC European Warrants May 2012	100,000,000	2011/12/01	2012/05/02	23.00
15601	BNP Paribas Arbit Issu B.V. – CHALCO European Wts Jul 2012	57,000,000	2011/12/01	2012/07/04	34.20
15602	BNP Paribas Arbit Issu B.V. – Bank of EA Euro Wts Oct 2012	59,000,000	2011/12/01	2012/10/03	17.70
15607	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts Jul 2012	100,000,000	2011/12/01	2012/07/04	36.00
15605	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Jul 2012	100,000,000	2011/12/01	2012/07/04	51.00
15606	BNP Paribas Arbit Issu B.V. – Gome European Wts Oct 2012	100,000,000	2011/12/01	2012/10/03	35.00
15604	BNP Paribas Arbit Issu B.V. – Sh Ind H European Wts Jul 2013	31,000,000	2011/12/01	2013/07/03	10.23
15603	BNP Paribas Arbit Issu B.V. – Wharf European Wts Jul 2012 A	97,000,000	2011/12/01	2012/07/04	29.10
15599	HK Bank – BOCL European Warrants May 2012 A	120,000,000	2011/12/01	2012/05/14	18.00
15596	HK Bank – ICBC European Warrants Jun 2012	120,000,000	2011/12/01	2012/06/20	30.00
15610	UBS AG – China Life European Warrants Jul 2012 B	300,000,000	2011/12/01	2012/07/16	75.00
15608	UBS AG – China Mobile European Warrants Jun 2012 B	200,000,000	2011/12/01	2012/06/11	102.00
15609	UBS AG – HSBC European Warrants Jun 2012 B	300,000,000	2011/12/01	2012/06/11	75.00
15629	BOCI Asia Ltd. – HSI European Warrants Oct 2012	100,000,000	2011/12/02	2012/10/30	25.00
15630	BOCI Asia Ltd. – HSI European Put Warrants Jul 2012	100,000,000	2011/12/02	2012/07/30	25.00
15625	BNP Paribas Arbit Issu B.V. – HSBC European Wts Sep 2012	200,000,000	2011/12/02	2012/09/04	74.00
15627	BNP Paribas Arbit Issu B.V. – SJM Holdings Euro Wts Oct 2012	100,000,000	2011/12/02	2012/10/03	26.00
15626	BNP Paribas Arbit Issu B.V. -Tencent Holdings Eu Wt Sep 2012	100,000,000	2011/12/02	2012/09/04	25.00
15628	BNP Paribas Arbit Issu B.V. – Yurun Food Euro Wts Jul 2012 A	100,000,000	2011/12/02	2012/07/04	26.00
15624	J P Morgan SP BV – AIA European Warrants Jun 2012	200,000,000	2011/12/02	2012/06/28	50.00
15623	J P Morgan SP BV – China Unicom European Warrants Aug 2012	200,000,000	2011/12/02	2012/08/30	50.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15622	J P Morgan SP BV – HKEx European Warrants Sep 2012	200,000,000	2011/12/02	2012/09/24	37.60
15632	J P Morgan SP BV – JX Copper European Warrants Jun 2012 A	200,000,000	2011/12/02	2012/06/28	50.00
15634	SGA Societe Generale Acceptance N.V. – CHALCO Eu Wt Jun 2012	30,000,000	2011/12/02	2012/06/04	10.50
15635	SGA Societe Generale Acceptance N.V. – BOCm Eur Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	21.50
15611	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Jun 2012	100,000,000	2011/12/02	2012/06/04	27.00
15613	SGA Societe Generale Acceptance N.V-Chi COSCO Eu Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	17.50
15612	SGA Societe Generale Acceptance N.V. – CCoal Eur Wt Aug 2012	200,000,000	2011/12/02	2012/08/08	36.00
15631	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	20.00
15614	SGA Societe Generale Acceptance N.V-Ch Overseas Eu Wt Jun12A	100,000,000	2011/12/02	2012/06/25	16.00
15615	SGA Societe Generale Acceptance N.V. – CRail Eu Wt Jun 2012	50,000,000	2011/12/02	2012/06/04	16.00
15616	SGA Societe Generale Acceptance N.V. -Shenhua Eu Wt Jul 2012	50,000,000	2011/12/02	2012/07/04	18.00
15617	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Sep 2012	100,000,000	2011/12/02	2012/09/05	21.00
15618	SGA Societe Generale Acceptance N.V. – Foxconn Eu Wt Jun2012	50,000,000	2011/12/02	2012/06/04	26.50
15619	SGA Societe Generale Acceptance NV-HuanengPower Eu Wt Jun 12	50,000,000	2011/12/02	2012/06/04	18.00
15633	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Jun12	150,000,000	2011/12/02	2012/06/20	25.50
15620	SGA Societe Generale Acceptance NV-New World Dev Eu Wt Jul12	50,000,000	2011/12/02	2012/07/04	28.50
15621	SGA Societe Generale Acceptance NV-Zijin Mining Eu Wt Jun12A	50,000,000	2011/12/02	2012/06/04	17.00
15636	Macquarie Bank Ltd. – BankComm European Warrants Jun 2012	150,000,000	2011/12/05	2012/06/04	37.50
15637	Macquarie Bank Ltd. – Chow Sang Sang European Wts Jul 2012	150,000,000	2011/12/05	2012/07/04	55.65
15638	Macquarie Bank Ltd. – Tingyi European Warrants Jul 2012	150,000,000	2011/12/05	2012/07/04	43.95
15672	Credit Suisse AG – BOCL European Warrants May 2012	300,000,000	2011/12/06	2012/05/14	45.00
15675	Credit Suisse AG – CC Bank European Warrants Apr 2012	300,000,000	2011/12/06	2012/04/30	45.00
15674	Credit Suisse AG – China Mobile European Warrants Mar 2012 A	300,000,000	2011/12/06	2012/03/30	60.00
15665	Credit Suisse AG – China Shenhua European Warrants Jun 2012	150,000,000	2011/12/06	2012/06/11	37.50
15678	Credit Suisse AG – HSBC European Wts Apr 2012	300,000,000	2011/12/06	2012/04/02	45.00
15669	Credit Suisse AG – HSI European Warrants Mar 2012 J	500,000,000	2011/12/06	2012/03/29	75.00
15670	Credit Suisse AG – HSI European Warrants Apr 2012 C	500,000,000	2011/12/06	2012/04/27	75.00
15667	Credit Suisse AG – HSI European Put Warrants Apr 2012 A	500,000,000	2011/12/06	2012/04/27	75.00
15668	Credit Suisse AG – HSI European Put Warrants Apr 2012 B	500,000,000	2011/12/06	2012/04/27	75.00
15679	Credit Suisse AG – Hutchison European Warrants May 2012	300,000,000	2011/12/06	2012/05/28	75.00
15673	Credit Suisse AG – ICBC European Warrants May 2012	300,000,000	2011/12/06	2012/05/14	60.00
15666	Credit Suisse AG – Jiangxi Copper European Wts Jun 2012	150,000,000	2011/12/06	2012/06/18	37.50
15677	Credit Suisse AG – KECL European Warrants Aug 2012	150,000,000	2011/12/06	2012/08/27	22.50
15664	Credit Suisse AG – Ping An European Warrants Jun 2012 A	300,000,000	2011/12/06	2012/06/11	75.00
15676	Credit Suisse AG – Tencent Holdings European Wts Apr 2012 A	300,000,000	2011/12/06	2012/04/16	45.00
15639	HK Bank – HKEx European Put Warrants Dec 2012	120,000,000	2011/12/06	2012/12/24	18.00
15655	J P Morgan SP BV – China Tel European Warrants Jun 2012	100,000,000	2011/12/06	2012/06/28	35.50
15658	J P Morgan Int'l Der. Ltd. – CC Bank European Wts Jul 2012	200,000,000	2011/12/06	2012/07/30	80.00
15659	J P Morgan SP BV – CITIC Bank European Warrants Sep 2012	150,000,000	2011/12/06	2012/09/03	51.00
15657	J P Morgan SP BV – China Life European Warrants Jun 2012	200,000,000	2011/12/06	2012/06/28	50.00
15654	J P Morgan SP BV – CNOOC European Warrants Sep 2012	200,000,000	2011/12/06	2012/09/17	30.00
15656	J P Morgan SP BV – China Shenhua European Warrants Jul 2012	200,000,000	2011/12/06	2012/07/03	50.00
15652	J P Morgan SP BV – HK Gas European Warrants Mar 2013	200,000,000	2011/12/06	2013/03/28	50.00
15653	J P Morgan SP BV – Huaneng Power European Warrants Sep 2012	58,000,000	2011/12/06	2012/09/28	14.50

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15663	J P Morgan SP BV – Prada European Warrants Sep 2012	100,000,000	2011/12/06	2012/09/03	49.30
15650	Macquarie Bank Ltd. – Bank of EA European Warrants Dec 2012	200,000,000	2011/12/06	2012/12/04	50.40
15651	Macquarie Bank Ltd. – China EB Ltd Euro Warrants Nov 2012	200,000,000	2011/12/06	2012/11/02	50.60
15661	Macquarie Bank Ltd. – KECL European Warrants Jan 2013	180,000,000	2011/12/06	2013/01/04	45.00
15660	Macquarie Bank Ltd. – Tencent European Put Wts Dec 2012	250,000,000	2011/12/06	2012/12/04	62.50
15646	CC Rabobank B.A. – Cheung Kong European Warrants Jun 2012	100,000,000	2011/12/06	2012/06/18	65.00
15645	CC Rabobank B.A. – China Shenhua European Warrants Jul 2012A	150,000,000	2011/12/06	2012/07/16	37.50
15644	CC Rabobank B.A. – CSR European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	70.00
15640	CC Rabobank B.A. – Datang European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	25.00
15642	CC Rabobank B.A. – Lonking European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	25.00
15643	CC Rabobank B.A. – Sany Int'l European Warrants Jul 2012	100,000,000	2011/12/06	2012/07/30	80.00
15648	CC Rabobank B.A. – Tencent Holdings European Wts Jul 2012 A	150,000,000	2011/12/06	2012/07/16	37.50
15649	CC Rabobank B.A. – Tencent Holdings European Put Wt Jul 2012	150,000,000	2011/12/06	2012/07/16	37.50
15641	CC Rabobank B.A. – Xinyi Glass European Warrants Jul 2012	80,000,000	2011/12/06	2012/07/30	44.00
15647	CC Rabobank B.A. – Zoomlion European Warrants Dec 2012	100,000,000	2011/12/06	2012/12/17	25.00
15683	J P Morgan SP BV – HSBC European Warrants Dec 2015	388,000,000	2011/12/07	2015/12/30	58.20
15681	J P Morgan SP BV – HSBC European Warrants Jun 2012 A	200,000,000	2011/12/07	2012/06/28	62.00
15682	J P Morgan SP BV – HSBC European Warrants Jun 2012 B	200,000,000	2011/12/07	2012/06/28	50.00
15684	Macquarie Bank Ltd. – BBMG European Warrants Oct 2013	150,000,000	2011/12/07	2013/10/03	37.50
15680	Macquarie Bank Ltd. – CPIC European Warrants May 2012	200,000,000	2011/12/07	2012/05/23	40.00
15711	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Nov 2014	200,000,000	2011/12/08	2014/11/04	50.00
15694	Bank of East Asia – Ch Comm Cons European Warrants Dec 2013	350,000,000	2011/12/08	2013/12/30	87.50
15685	HK Bank – HSI European Warrants May 2012 B	250,000,000	2011/12/08	2012/05/30	37.50
15686	HK Bank – HSI European Warrants May 2012 C	250,000,000	2011/12/08	2012/05/30	37.50
15690	Macquarie Bank Ltd. – Agricultural Bk Euro Put Wt Jul 2012	180,000,000	2011/12/08	2012/07/04	64.98
15691	Macquarie Bank Ltd. – China Unicom European Put Wts Sep 2012	250,000,000	2011/12/08	2012/09/04	64.50
15709	Macquarie Bank Ltd. – China COSCO European Warrants Jul 2012	200,000,000	2011/12/08	2012/07/04	68.40
15708	Macquarie Bank Ltd. – China Coal European Warrants Feb 2013	200,000,000	2011/12/08	2013/02/04	30.60
15687	Macquarie Bank Ltd. – CNOOC European Put Warrants Jul 2012	200,000,000	2011/12/08	2012/07/04	50.60
15689	Macquarie Bank Ltd. – Sinopec Corp Euro Put Wts Jul 2012	180,000,000	2011/12/08	2012/07/04	83.16
15710	Macquarie Bank Ltd. – HSBC European Put Warrants Jul 2012	200,000,000	2011/12/08	2012/07/04	84.20
15688	Macquarie Bank Ltd. – PetroChina European Put Wts Jul 2012	100,000,000	2011/12/08	2012/07/04	56.00
15703	CC Rabobank B.A. – AIA European Warrants Jul 2012	150,000,000	2011/12/08	2012/07/16	37.50
15700	CC Rabobank B.A. – Ch LongYuan European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/30	75.00
15701	CC Rabobank B.A. – Ch Mobile European Warrants Jun 2012	150,000,000	2011/12/08	2012/06/18	37.50
15702	CC Rabobank B.A. – Ch Mobile European Warrants Jul 2012	150,000,000	2011/12/08	2012/07/16	75.00
15704	CC Rabobank B.A. – China COSCO European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/30	50.00
15698	CC Rabobank B.A. – CNOOC European Warrants Nov 2012	100,000,000	2011/12/08	2012/11/19	25.00
15699	CC Rabobank B.A. – CNOOC European Warrants Feb 2013	100,000,000	2011/12/08	2013/02/18	25.00
15697	CC Rabobank B.A. – PetroChina European Warrants Jun 2012	100,000,000	2011/12/08	2012/06/18	70.00
15695	CC Rabobank B.A. – Skyworth Digital European Wts Jul 2012	120,000,000	2011/12/08	2012/07/30	45.60
15696	CC Rabobank B.A. – ZTE European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/30	25.00
15693	Standard Chartered Bank – China Mobile Euro Wts May 2012	100,000,000	2011/12/08	2012/05/28	34.00
15692	Standard Chartered Bank – HKEx European Warrants Jul 2012	100,000,000	2011/12/08	2012/07/09	17.80

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15705	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2012	300,000,000	2011/12/08	2012/05/30	51.00
15706	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 F	300,000,000	2011/12/08	2012/04/27	45.00
15707	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2012 A	300,000,000	2011/12/08	2012/05/30	45.00
15714	Macquarie Bank Ltd. – Anhui Conch European Warrants Jul 2012	200,000,000	2011/12/09	2012/07/04	91.80
15715	Macquarie Bank Ltd. – Ch Merchants European Wts Dec 2012	200,000,000	2011/12/09	2012/12/04	63.60
15713	Macquarie Bank Ltd. – Sinopec Corp European Wts Dec 2013	200,000,000	2011/12/09	2013/12/11	50.00
15712	UBS AG – China Overseas European Warrants May 2012	100,000,000	2011/12/09	2012/05/08	17.00
15734	BNP Paribas Arbit Issu B.V. – Anta Sports Euro Wts Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15731	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2015	100,000,000	2011/12/12	2015/07/03	15.00
15730	BNP Paribas Arbit Issu B.V. – Sinopec Corp Euro Wts Jan 2016	100,000,000	2011/12/12	2016/01/05	25.00
15729	BNP Paribas Arbit Issu B.V. – Esprit European Wts Jul 2012	100,000,000	2011/12/12	2012/07/04	25.00
15736	BNP Paribas Arbit Issu B.V. – First Pacific Euro Wt Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15735	BNP Paribas Arbit Issu B.V. – R&F Ppt European Wts Jul 2015	100,000,000	2011/12/12	2015/07/03	25.00
15728	BNP Paribas Arbit Issu B.V. – Melco Int'l Dev Eu Wt Jul 2013	100,000,000	2011/12/12	2013/07/03	25.00
15732	BNP Paribas Arbit Issu B.V. – Weigao Group Euro Wts Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15733	BNP Paribas Arbit Issu B.V. – Zoomlion European Wts Jan 2013	100,000,000	2011/12/12	2013/01/03	25.00
15727	Macquarie Bank Ltd. – CC Bank European Warrants Jul 2012	180,000,000	2011/12/12	2012/07/04	45.54
15750	Nomura Int'l plc – Alibaba European Warrants May 2013	100,000,000	2011/12/12	2013/05/31	25.00
15741	Nomura Int'l plc – China Mer Hold European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/18	25.00
15745	Nomura Int'l plc – Ch Overseas European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/29	25.00
15743	Nomura Int'l plc – Ch Com Service European Warrants Jun 2012	50,000,000	2011/12/12	2012/06/18	12.70
15744	Nomura Int'l plc – C Transmission European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/25	27.00
15737	Nomura Int'l plc – HK Gas European Warrants Jan 2013	100,000,000	2011/12/12	2013/01/31	25.00
15739	Nomura Int'l plc – Henderson Land Dev Euro Warrants Jun 2012	100,000,000	2011/12/12	2012/06/29	25.00
15746	Nomura Int'l plc – L'Occitane European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/18	25.00
15751	Nomura Int'l plc – China Mengniu European Warrants Sep 2012	50,000,000	2011/12/12	2012/09/27	12.90
15748	Nomura Int'l plc – Sinopharm European Warrants Sep 2012	50,000,000	2011/12/12	2012/09/27	12.75
15740	Nomura Int'l plc – Sino Land European Warrants Jun 2013	100,000,000	2011/12/12	2013/06/28	25.00
15742	Nomura Int'l plc – Tingyi European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/25	25.00
15747	Nomura Int'l plc – Yurun Food European Warrants Jun 2012	100,000,000	2011/12/12	2012/06/26	20.00
15749	Nomura Int'l plc – Zoomlion European Warrants Oct 2012	50,000,000	2011/12/12	2012/10/24	12.50
15723	CC Rabobank B.A. – BOC HK European Warrants Jun 2012 A	200,000,000	2011/12/12	2012/06/21	34.00
15724	CC Rabobank B.A. – BOC HK European Warrants Aug 2012	200,000,000	2011/12/12	2012/08/20	120.00
15720	CC Rabobank B.A. – China Coal European Warrants Aug 2012	200,000,000	2011/12/12	2012/08/20	120.00
15721	CC Rabobank B.A. – China Coal European Warrants Jan 2013	200,000,000	2011/12/12	2013/01/28	30.00
15725	CC Rabobank B.A. – CPIC European Warrants Jul 2012	150,000,000	2011/12/12	2012/07/16	37.50
15726	CC Rabobank B.A. – CPIC European Warrants Oct 2012	150,000,000	2011/12/12	2012/10/22	37.50
15717	CC Rabobank B.A. – Esprit European Warrants Sep 2012	100,000,000	2011/12/12	2012/09/17	25.00
15718	CC Rabobank B.A. – Luk Fook Hold European Warrants Jul 2012	100,000,000	2011/12/12	2012/07/16	55.00
15722	CC Rabobank B.A. – Ping An European Warrants Dec 2012	100,000,000	2011/12/12	2012/12/11	15.00
15719	CC Rabobank B.A. – Zoomlion European Warrants Nov 2012	80,000,000	2011/12/12	2012/11/19	20.00
15772	HK Bank – Cheung Kong European Warrants Jun 2012	80,000,000	2011/12/13	2012/06/28	20.00
15775	HK Bank – China Shenhua European Warrants Jun 2012 A	100,000,000	2011/12/13	2012/06/29	35.00
15774	HK Bank – HKEEx European Warrants May 2014	150,000,000	2011/12/13	2014/05/29	37.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15776	HK Bank – ICBC European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/13	25.00
15773	HK Bank – KECL European Warrants Nov 2013	100,000,000	2011/12/13	2013/11/22	25.00
15753	Macquarie Bank Ltd. – Agile Ppt European Warrants Oct 2012	150,000,000	2011/12/13	2012/10/26	23.25
15777	Macquarie Bank Ltd. – Ch Southern Air European Wts Jul 2012	120,000,000	2011/12/13	2012/07/04	51.72
15771	Macquarie Bank Ltd. – Datang European Warrants Jul 2012	120,000,000	2011/12/13	2012/07/04	32.40
15778	Macquarie Bank Ltd. – Lenovo European Warrants Jul 2012	120,000,000	2011/12/13	2012/07/04	46.32
15779	Macquarie Bank Ltd. – Lonking European Warrants Jun 2012	120,000,000	2011/12/13	2012/06/13	35.16
15759	Merrill Lynch Int'l & Co. C.V. – AIA Euro Wts Apr 2012 A	80,000,000	2011/12/13	2012/04/17	12.16
15757	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2012	40,000,000	2011/12/13	2012/04/17	14.20
15758	Merrill Lynch Int'l & Co. C.V. – CC Bank Euro Wts Apr 2012 A	50,000,000	2011/12/13	2012/04/23	10.85
15760	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt May 2012	80,000,000	2011/12/13	2012/05/28	12.08
15764	CC Rabobank B.A. – China Tel European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	50.00
15765	CC Rabobank B.A. – China Tel European Warrants Aug 2012	100,000,000	2011/12/13	2012/08/20	25.00
15761	CC Rabobank B.A. – Sinopec Corp European Warrants Aug 2012	100,000,000	2011/12/13	2012/08/20	70.00
15770	CC Rabobank B.A. – GCL-Poly Energy European Wts Jul 2012	120,000,000	2011/12/13	2012/07/16	42.00
15762	CC Rabobank B.A. – Gome European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	25.00
15769	CC Rabobank B.A. – Ping An European Warrants Aug 2012	100,000,000	2011/12/13	2012/08/30	75.00
15768	CC Rabobank B.A. – Ch Rongsheng European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	35.00
15763	CC Rabobank B.A. – Tencent Holdings European Wts Nov 2012	200,000,000	2011/12/13	2012/11/19	50.00
15766	CC Rabobank B.A. – Yurun Food European Warrants Jul 2012	100,000,000	2011/12/13	2012/07/16	25.00
15767	CC Rabobank B.A. – Yurun Food European Warrants Oct 2012	100,000,000	2011/12/13	2012/10/22	25.00
15755	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Nov 2012	300,000,000	2011/12/13	2012/11/28	51.00
15756	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jul 2013	500,000,000	2011/12/13	2013/07/15	95.00
15754	SGA Societe Generale Acceptance N.V. –HSI Eu Put Wt May 2012	300,000,000	2011/12/13	2012/05/30	66.00
15780	UBS AG – CC Bank European Warrants Apr 2012 C	200,000,000	2011/12/13	2012/04/05	66.00
15781	UBS AG – HSI European Warrants May 2012 B	300,000,000	2011/12/13	2012/05/30	45.00
15782	UBS AG – HSI European Warrants May 2012 C	300,000,000	2011/12/13	2012/05/30	45.00
15790	HK Bank – China Tel European Warrants Jun 2012	80,000,000	2011/12/14	2012/06/27	20.00
15792	HK Bank – CM Bank European Warrants Feb 2013	100,000,000	2011/12/14	2013/02/22	25.00
15789	HK Bank – Geely Auto European Warrants May 2013	80,000,000	2011/12/14	2013/05/23	20.00
15788	HK Bank – SHK Ppt European Warrants Jun 2012	100,000,000	2011/12/14	2012/06/22	34.00
15791	HK Bank – Yanzhou Coal European Warrants Nov 2012	100,000,000	2011/12/14	2012/11/13	25.00
15802	J P Morgan SP BV – BankComm European Warrants Jul 2012	80,000,000	2011/12/14	2012/07/30	25.60
15803	J P Morgan SP BV – China Life European Put Warrants Jul 2012	200,000,000	2011/12/14	2012/07/30	50.00
15799	J P Morgan SP BV – Minsheng Bank European Warrants Jul 2012	80,000,000	2011/12/14	2012/07/30	25.20
15801	J P Morgan SP BV – CPIC European Warrants Jul 2012	150,000,000	2011/12/14	2012/07/09	37.50
15800	J P Morgan SP BV – PICC European Warrants Sep 2012	200,000,000	2011/12/14	2012/09/27	50.00
15785	CC Rabobank B.A. – Anhui Conch European Warrants Jun 2012	100,000,000	2011/12/14	2012/06/18	43.00
15786	CC Rabobank B.A. – Brilliance Chi European Warrants Oct 2012	100,000,000	2011/12/14	2012/10/22	25.00
15784	CC Rabobank B.A. – Li & Fung European Warrants Aug 2012	150,000,000	2011/12/14	2012/08/20	39.00
15783	CC Rabobank B.A. – MTRC European Warrants Jul 2012	100,000,000	2011/12/14	2012/07/25	23.00
15787	CC Rabobank B.A. – Sands China European Warrants Jun 2012	100,000,000	2011/12/14	2012/06/25	38.00
15793	Standard Chartered Bank – CC Bank European Wts Jul 2012	100,000,000	2011/12/14	2012/07/05	25.00
15796	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2012	300,000,000	2011/12/14	2012/05/28	81.00

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15797	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Jun 2012A	300,000,000	2011/12/14	2012/06/18	57.00
15794	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Apr 2012 A	300,000,000	2011/12/14	2012/04/25	81.00
15795	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2012 A	300,000,000	2011/12/14	2012/05/30	57.00
15798	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2012 G	300,000,000	2011/12/14	2012/04/27	66.00
15805	UBS AG – HSCEI European Warrants Jun 2012 A	100,000,000	2011/12/14	2012/06/28	15.00
15806	UBS AG – HSI European Warrants Mar 2012 F	300,000,000	2011/12/14	2012/03/29	45.00
15804	UBS AG – ICBC European Warrants Apr 2012 A	200,000,000	2011/12/14	2012/04/26	46.00
15809	BOCI Asia Ltd. – BOCL European Warrants Aug 2012	100,000,000	2011/12/15	2012/08/06	25.00
15810	BOCI Asia Ltd. – HSBC European Put Warrants May 2012	100,000,000	2011/12/15	2012/05/14	35.00
15808	BOCI Asia Ltd. – Li & Fung European Warrants Jul 2012	100,000,000	2011/12/15	2012/07/04	25.00
15807	BOCI Asia Ltd. – Tencent Holdings European Warrants Aug 2012	200,000,000	2011/12/15	2012/08/03	38.00
15811	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2012	200,000,000	2011/12/15	2012/06/25	50.00
15812	HK Bank – Angang Steel European Warrants Sep 2012	68,000,000	2011/12/15	2012/09/11	25.16
15815	HK Bank – Minsheng Bank European Warrants Aug 2012	80,000,000	2011/12/15	2012/08/15	34.40
15813	HK Bank – Shimao Ppt European Warrants Sep 2012	68,000,000	2011/12/15	2012/09/21	19.72
15814	HK Bank – Zhaojin Mining European Warrants Nov 2012	80,000,000	2011/12/15	2012/11/19	20.00
15821	Macquarie Bank Ltd. – Ch Oilfield European Warrants Dec 2012	150,000,000	2011/12/15	2012/12/17	37.50
15825	Macquarie Bank Ltd. – Geely Auto European Warrants Jul 2012	150,000,000	2011/12/15	2012/07/04	37.80
15826	Macquarie Bank Ltd. – Li Ning European Warrants Jun 2013	150,000,000	2011/12/15	2013/06/26	22.80
15824	Standard Chartered Bank – HSBC European Warrants Jul 2012	150,000,000	2011/12/15	2012/07/04	37.50
15823	Standard Chartered Bank – HSI European Warrants Jun 2012	200,000,000	2011/12/15	2012/06/28	50.00
15816	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2012	200,000,000	2011/12/15	2012/06/27	36.00
15818	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Jun 2012 A	200,000,000	2011/12/15	2012/06/27	60.00
15817	SGA Societe Generale Acceptance N.V. – CK(H) Eu Wt Dec 2013	300,000,000	2011/12/15	2013/12/30	45.00
15819	SGA Societe Generale Acceptance N.V. –CPIC Euro Wts Jun 2012	100,000,000	2011/12/15	2012/06/04	19.00
15820	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Jun 2012	200,000,000	2011/12/15	2012/06/27	56.00
15822	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Jul12	150,000,000	2011/12/15	2012/07/23	37.50
15830	UBS AG – AIA European Warrants Jul 2012	200,000,000	2011/12/15	2012/07/09	36.00
15829	UBS AG – Sinopec Corp European Warrants Jun 2012 A	100,000,000	2011/12/15	2012/06/29	47.00
15827	UBS AG – HSBC European Warrants May 2012 A	300,000,000	2011/12/15	2012/05/07	108.00
15828	UBS AG – Hutchison European Warrants May 2012	200,000,000	2011/12/15	2012/05/28	54.00
14005#	Merrill Lynch Int'l & Co. C.V. – China Life Eu Wt Mar 2012	130,000,000	2011/12/15	2012/03/05	10.27
15831	Goldman Sachs SP (Asia) – A50 China Euro Put Wts Jun 2012	50,000,000	2011/12/16	2012/06/18	45.50
15849	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012	200,000,000	2011/12/16	2012/03/29	30.00
15838	HK Bank – BOC HK European Warrants Jun 2012	68,000,000	2011/12/16	2012/06/22	10.20
15839	HK Bank – Ch Ship Cont European Warrants Sep 2012	68,000,000	2011/12/16	2012/09/20	17.00
15837	HK Bank – Foxconn European Warrants Sep 2012	68,000,000	2011/12/16	2012/09/27	21.08
15841	HK Bank – HSI European Warrants Dec 2012 B	250,000,000	2011/12/16	2012/12/28	62.50
15842	HK Bank – HSI European Put Warrants Jun 2012 A	250,000,000	2011/12/16	2012/06/28	42.50
15840	HK Bank – Zijin Mining European Warrants Oct 2012	80,000,000	2011/12/16	2012/10/18	20.00
15835	J P Morgan SP BV – Evergrande European Warrants Jun 2012	100,000,000	2011/12/16	2012/06/28	25.00
15836	J P Morgan SP BV – HSI European Warrants Oct 2012	200,000,000	2011/12/16	2012/10/30	30.00
15834	J P Morgan SP BV – HSI European Put Warrants Jun 2012 A	200,000,000	2011/12/16	2012/06/28	50.00
15833	J P Morgan SP BV – New World Dev European Warrants Jun 2012A	80,000,000	2011/12/16	2012/06/28	24.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15848	J P Morgan SP BV – PetroChina European Warrants Jan 2013	300,000,000	2011/12/16	2013/01/30	45.00
15832	J P Morgan SP BV – Ping An European Warrants Jun 2012 B	100,000,000	2011/12/16	2012/06/28	39.00
15845	Macquarie Bank Ltd. – BJ Ent Water European Wts Sep 2012	80,000,000	2011/12/16	2012/09/04	22.64
15843	Macquarie Bank Ltd. – A50 China European Warrants Jan 2013	200,000,000	2011/12/16	2013/01/03	50.00
15846	Macquarie Bank Ltd. – Gome European Warrants Sep 2012	80,000,000	2011/12/16	2012/09/03	22.16
15853	Macquarie Bank Ltd. – Henganintl European Warrants Jun 2012	150,000,000	2011/12/16	2012/06/18	41.40
15850	Macquarie Bank Ltd. – LOccitane European Warrants Dec 2012	150,000,000	2011/12/16	2012/12/10	37.50
15847	Macquarie Bank Ltd. – Sh Petrochem European Wts Jul 2012	100,000,000	2011/12/16	2012/07/09	25.30
15852	Macquarie Bank Ltd-Skyworth Digital European Wts Jun 2012	80,000,000	2011/12/16	2012/06/18	21.60
15851	Macquarie Bank Ltd. – ZTE European Warrants Jun 2012	180,000,000	2011/12/16	2012/06/18	45.18
15844	Standard Chartered Bank – HSI European Put Wts Jun 2012	200,000,000	2011/12/16	2012/06/28	50.00
24898#	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Apr 2012 B	280,000,000	2011/12/16	2012/04/23	10.08
15880	Citigroup Global Mkt H Inc. – BankComm Euro Wts Jun 2012	80,000,000	2011/12/19	2012/06/25	22.40
15879	Citigroup Global Mkt H Inc. – China Shenhua Eu Wt May 2012	80,000,000	2011/12/19	2012/05/28	18.40
15883	Citigroup Global Mkt H Inc. – HSI European Put Wt Mar 2012 C	150,000,000	2011/12/19	2012/03/29	22.50
15884	Citigroup Global Mkt H Inc. – Jiangxi Copper Eur Wt Jun 2012	80,000,000	2011/12/19	2012/06/19	20.00
15882	Citigroup Global Mkt H Inc. – Lenovo European Wts Jun 2012	50,000,000	2011/12/19	2012/06/25	15.00
15881	Citigroup Global Mkt H Inc. – Ping An Euro Wts Jun 2012	80,000,000	2011/12/19	2012/06/11	28.00
15858	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2012	200,000,000	2011/12/19	2012/04/27	30.00
15859	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2012	200,000,000	2011/12/19	2012/03/29	31.60
15857	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012	200,000,000	2011/12/19	2012/04/27	31.20
15860	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012 A	200,000,000	2011/12/19	2012/03/29	30.00
15861	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2012 B	200,000,000	2011/12/19	2012/03/29	35.80
15855	J P Morgan SP BV – BOCL European Warrants Oct 2012	200,000,000	2011/12/19	2012/10/03	50.00
15856	J P Morgan SP BV – A50 China European Warrants Jul 2012 A	200,000,000	2011/12/19	2012/07/03	81.60
15854	J P Morgan SP BV – A50 China European Put Warrants Dec 2012	200,000,000	2011/12/19	2012/12/03	50.00
15878	Macquarie Bank Ltd. – Alibaba European Warrants May 2013	150,000,000	2011/12/19	2013/05/24	26.55
15876	Macquarie Bank Ltd. – Anta Sports European Warrants Dec 2012	200,000,000	2011/12/19	2012/12/27	34.40
15875	Macquarie Bank Ltd. – Chi Res Land European Wts Aug 2012	180,000,000	2011/12/19	2012/08/02	45.00
15874	Macquarie Bank Ltd. – Hysan Dev European Warrants Sep 2012	180,000,000	2011/12/19	2012/09/04	45.00
15877	Macquarie Bank Ltd. – NVC Lighting Euro Warrants Mar 2012	80,000,000	2011/12/19	2012/03/19	12.00
15871	Nomura Int'l plc – AIA European Warrants Sep 2012	100,000,000	2011/12/19	2012/09/28	25.00
15864	Nomura Int'l plc – CITIC P European Warrants Dec 2012	100,000,000	2011/12/19	2012/12/31	25.00
15866	Nomura Int'l plc – JIANG European Warrants Jun 2012 A	100,000,000	2011/12/19	2012/06/29	26.00
15867	Nomura Int'l plc – Li & Fung European Warrants Sep 2012	100,000,000	2011/12/19	2012/09/28	25.00
15873	Nomura Int'l plc – Lonking European Warrants Jun 2012	100,000,000	2011/12/19	2012/06/29	25.00
15872	Nomura Int'l plc – Nine Dragons European Warrants Jul 2013	100,000,000	2011/12/19	2013/07/26	15.50
15868	Nomura Int'l plc – PetroChina European Warrants Nov 2012	100,000,000	2011/12/19	2012/11/27	19.50
15865	Nomura Int'l plc – Sh Petrochem European Warrants Jul 2012	100,000,000	2011/12/19	2012/07/26	15.00
15862	Nomura Int'l plc – Wharf European Warrants Jun 2012	100,000,000	2011/12/19	2012/06/29	25.00
15863	Nomura Int'l plc – Yuexiu Property European Wts Jun 2013	100,000,000	2011/12/19	2013/06/28	25.00
15908	Barclays Bank plc – CNOOC European Warrants Dec 2012	100,000,000	2011/12/20	2012/12/04	16.10
15910	Barclays Bank plc – Sinopec Corp European Wts Aug 2012	100,000,000	2011/12/20	2012/08/31	36.50
15907	Barclays Bank plc – HSBC European Warrants Jul 2012	100,000,000	2011/12/20	2012/07/31	26.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15911	Barclays Bank plc – Hutchison European Warrants Jun 2012	80,000,000	2011/12/20	2012/06/29	32.64
15909	Barclays Bank plc -Tencent Holdings European Wts Sep 2012	100,000,000	2011/12/20	2012/09/04	20.10
15885	BOCI Asia Ltd. – China Tel European Warrants Jul 2012	100,000,000	2011/12/20	2012/07/04	25.00
15899	Bank of East Asia – Anhui Conch European Warrants Jul 2012	70,000,000	2011/12/20	2012/07/23	17.50
15898	Bank of East Asia – BOCHK European Put Warrants Dec 2012	100,000,000	2011/12/20	2012/12/24	25.00
15901	Bank of East Asia – China Overseas Euro Warrants Apr 2013	90,000,000	2011/12/20	2013/04/23	22.50
15902	Bank of East Asia – China Railway European Warrants Sep 2012	50,000,000	2011/12/20	2012/09/24	12.50
15900	Bank of East Asia – JIANC European Warrants Oct 2012	80,000,000	2011/12/20	2012/10/22	20.00
15889	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2012	100,000,000	2011/12/20	2012/03/26	43.20
15890	Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2012 A	120,000,000	2011/12/20	2012/03/26	32.16
15892	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012 C	200,000,000	2011/12/20	2012/03/29	30.00
15894	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2012 D	200,000,000	2011/12/20	2012/03/29	43.80
15891	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012 A	200,000,000	2011/12/20	2012/04/27	30.00
15893	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2012 B	200,000,000	2011/12/20	2012/04/27	30.00
15912	Macquarie Bank Ltd. – China Overseas European Wts Oct 2012	150,000,000	2011/12/20	2012/10/04	38.10
15895	Standard Chartered Bank – Hutchison Euro Euro Wts Apr 2012	100,000,000	2011/12/20	2012/04/23	31.00
15896	Standard Chartered Bank – SHK P European Warrants Jun 2012	100,000,000	2011/12/20	2012/06/25	25.00
15886	SGA Societe Generale Acceptance N.V.-Anhui Conch Eu Wt Jun 12	80,000,000	2011/12/20	2012/06/20	23.20
15897	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Jun 2012	200,000,000	2011/12/20	2012/06/20	53.00
15887	SGA Societe Generale Acceptance N.V. -Ping An Eu Wt Jun 2012	100,000,000	2011/12/20	2012/06/20	47.00
15888	SGA Societe Generale Acceptance N.V. -Tencent Eu Wt Apr 2012	400,000,000	2011/12/20	2012/04/23	72.00
15903	UBS AG – China Mobile European Warrants Apr 2012 D	200,000,000	2011/12/20	2012/04/23	50.00
15905	UBS AG – CNOOC European Warrants Jun 2012 B	200,000,000	2011/12/20	2012/06/25	50.00
15906	UBS AG – CNOOC European Warrants Jul 2012	200,000,000	2011/12/20	2012/07/23	32.00
15904	UBS AG – Sinopec Corp European Warrants Dec 2013	300,000,000	2011/12/20	2013/12/04	60.00
15230 [#]	Daiwa Capital Mkt – China Life European Warrants Apr 2012	140,000,000	2011/12/20	2012/04/10	12.88
15929	BOCI Asia Ltd. – CC Bank European Put Warrants May 2012	100,000,000	2011/12/21	2012/05/03	17.00
15930	BOCI Asia Ltd. – CNOOC European Put Warrants Jun 2012	100,000,000	2011/12/21	2012/06/25	15.00
15924	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2012	200,000,000	2011/12/21	2012/04/16	50.20
15928	Goldman Sachs SP (Asia) – CC Bank European Wts Jun 2012	120,000,000	2011/12/21	2012/06/08	30.24
15927	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2012	300,000,000	2011/12/21	2012/03/28	59.40
15926	Goldman Sachs SP (Asia) – China Life Euro Wts May 2012	168,000,000	2011/12/21	2012/05/14	30.91
15925	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2012 A	200,000,000	2011/12/21	2012/04/17	102.60
15935	Macquarie Bank Ltd. – China Unicom European Wts Dec 2012	200,000,000	2011/12/21	2012/12/04	50.00
15934	Macquarie Bank Ltd. – China Coal European Warrants Aug 2012	200,000,000	2011/12/21	2012/08/02	30.00
15937	Macquarie Bank Ltd. – China Shenhua European Wts Jul 2012	200,000,000	2011/12/21	2012/07/04	53.00
15932	Macquarie Bank Ltd. – HSBC European Warrants Jul 2012	200,000,000	2011/12/21	2012/07/04	50.00
15933	Macquarie Bank Ltd. – HSBC European Warrants Jul 2012 A	200,000,000	2011/12/21	2012/07/04	89.00
15931	Macquarie Bank Ltd. – MTRC European Warrants Jul 2012	200,000,000	2011/12/21	2012/07/04	51.00
15936	Macquarie Bank Ltd. – Sands China European Warrants Jul 2012	150,000,000	2011/12/21	2012/07/04	37.50
15914	Merrill Lynch Int'l & Co. C.V. – HSI European Wts May 2012 A	100,000,000	2011/12/21	2012/05/30	15.40
15923	Merrill Lynch Int'l & Co. C.V. – HSI European Wts Jun 2012 E	100,000,000	2011/12/21	2012/06/28	25.50
15915	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts May 2012 B	100,000,000	2011/12/21	2012/05/30	17.10
15916	Merrill Lynch Int'l & Co. C.V. – HSI Euro Put Wts Jun 2012 D	100,000,000	2011/12/21	2012/06/28	25.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
15913	Merrill Lynch Int'l & Co. C.V. – Tencent Euro Wts Apr 2012 A	80,000,000	2011/12/21	2012/04/17	12.24
15918	CC Rabobank B.A. – A50 China European Warrants Aug 2012	50,000,000	2011/12/21	2012/08/20	25.00
15919	CC Rabobank B.A. – A50 China European Warrants Nov 2012	150,000,000	2011/12/21	2012/11/05	22.50
15920	CC Rabobank B.A. – A50 China European Warrants Sep 2014	150,000,000	2011/12/21	2014/09/22	37.50
15921	CC Rabobank B.A. – A50 China European Put Warrants Jul 2012	150,000,000	2011/12/21	2012/07/26	22.50
15917	SGA Societe Generale Acceptance N.V. –Ch Mob Eu Wt May 2012A	200,000,000	2011/12/21	2012/05/16	52.00
15939	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2012	500,000,000	2011/12/21	2012/12/03	115.00
15922	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2012 E	300,000,000	2011/12/21	2012/03/29	45.00
15938	UBS AG – Agricultural Bank European Warrants Jun 2012	200,000,000	2011/12/21	2012/06/25	60.00
15957	Citigroup Global Mkt H Inc. – BBMG European Wts Sep 2013	80,000,000	2011/12/22	2013/09/26	12.00
15950	Citigroup Global Mkt H Inc. – Belle Int'l Euro Wts Jun 2012	80,000,000	2011/12/22	2012/06/11	12.00
15951	Citigroup Global Mkt H Inc. – BOC HK European Wts Jun 2012	80,000,000	2011/12/22	2012/06/21	12.00
15952	Citigroup Global Mkt H Inc. – China Life Euro Wts May 2012 A	80,000,000	2011/12/22	2012/05/10	12.00
15955	Citigroup Global Mkt H Inc. – Evergrande Euro Wts Jun 2012	80,000,000	2011/12/22	2012/06/25	20.00
15948	Citigroup Global Mkt H Inc. – KECL European Wts Dec 2012	80,000,000	2011/12/22	2012/12/27	12.00
15956	Citigroup Global Mkt H Inc. – SPDR Gold T Euro Wts Jun 2012	40,000,000	2011/12/22	2012/06/25	14.00
15949	Citigroup Global Mkt H Inc. –Yanzhou Coal Euro Wt Jun 2012	80,000,000	2011/12/22	2012/06/11	12.00
15954	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Jun 2012	80,000,000	2011/12/22	2012/06/25	20.00
15953	Citigroup Global Mkt H Inc. – ZTE European Warrants Jun 2012	80,000,000	2011/12/22	2012/06/25	20.00
15940	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jul 2012	150,000,000	2011/12/22	2012/07/03	39.45
15944	Goldman Sachs SP (Asia) – A50 China European Wts Nov 2012	200,000,000	2011/12/22	2012/11/26	33.00
15942	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2012	150,000,000	2011/12/22	2012/04/16	46.35
15943	Goldman Sachs SP (Asia) – HSBC European Warrants May 2012	150,000,000	2011/12/22	2012/05/07	30.00
15941	Goldman Sachs SP (Asia) – HSBC European Warrants Jul 2012	150,000,000	2011/12/22	2012/07/09	96.30
15946	Macquarie Bank Ltd. – Ch LongYuan European Warrants Jun 2012	80,000,000	2011/12/22	2012/06/22	47.68
15947	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2012	200,000,000	2011/12/22	2012/07/04	50.00
15945	Macquarie Bank Ltd. – Sh Electric European Warrants Jun 2012	80,000,000	2011/12/22	2012/06/22	20.32
15958	UBS AG – China Life European Warrants Jun 2012 A	300,000,000	2011/12/22	2012/06/21	63.00
15967	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2012	150,000,000	2011/12/23	2012/06/04	25.95
15968	Goldman Sachs SP (Asia) – BOC HK European Warrants Jun 2012	150,000,000	2011/12/23	2012/06/25	23.25
15964	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2012	200,000,000	2011/12/23	2012/04/02	112.20
15966	Goldman Sachs SP (Asia) – Hutchison European Wts Jun 2012	200,000,000	2011/12/23	2012/06/11	58.40
15965	Goldman Sachs SP (Asia) – Hutchison European Wts Apr 2012 A	200,000,000	2011/12/23	2012/04/02	62.60
15962	Merrill Lynch Int'l & Co. C.V. – BOCL European Wt May 2012	50,000,000	2011/12/23	2012/05/14	10.75
15963	Merrill Lynch Int'l & Co. C.V. – A50 China Eur Wt Aug 2012 A	40,000,000	2011/12/23	2012/08/27	12.60
15959	Merrill Lynch Int'l & Co. C.V. – HSBC Euro Wts May 2012 B	70,000,000	2011/12/23	2012/05/28	10.78
15961	Merrill Lynch Int'l & Co. C.V. – ICBC Euro Wts May 2012 A	40,000,000	2011/12/23	2012/05/28	10.20
15960	Merrill Lynch Int'l & Co. C.V. – PetroChina Eu Wt Jun 2012	40,000,000	2011/12/23	2012/06/25	15.00
15984	Nomura Int'l plc – Anhui Conch European Warrants Sep 2012	50,000,000	2011/12/23	2012/09/27	13.30
15995	Nomura Int'l plc – BOC HK European Warrants Jun 2012	100,000,000	2011/12/23	2012/06/21	15.20
15998	Nomura Int'l plc – BOCL European Warrants Jul 2012 A	100,000,000	2011/12/23	2012/07/26	15.00
15985	Nomura Int'l plc – CC Bank European Warrants Jun 2012	50,000,000	2011/12/23	2012/06/29	12.70
15993	Nomura Int'l plc – China COSCO European Warrants Sep 2012	100,000,000	2011/12/23	2012/09/28	28.00
15992	Nomura Int'l plc – China Coal European Warrants Jan 2013	100,000,000	2011/12/23	2013/01/28	15.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
15986	Nomura Int'l plc -CITIC Bank European Warrants Jul 2012	100,000,000	2011/12/23	2012/07/26	15.50
15979	Nomura Int'l plc – Cheung Kong European Warrants Dec 2013 A	100,000,000	2011/12/23	2013/12/23	21.00
15988	Nomura Int'l plc – China Shenhua European Warrants Sep 2012	50,000,000	2011/12/23	2012/09/27	12.85
15991	Nomura Int'l plc – CSR European Warrants Dec 2014	50,000,000	2011/12/23	2014/12/22	12.50
15987	Nomura Int'l plc – Dongfang Elec European Warrants Jun 2012	50,000,000	2011/12/23	2012/06/29	12.55
15996	Nomura Int'l plc – A50 China European Warrants Sep 2012	100,000,000	2011/12/23	2012/09/11	15.50
15980	Nomura Int'l plc – Hutchison European Warrants Mar 2013	100,000,000	2011/12/23	2013/03/28	16.00
15983	Nomura Int'l plc – KECL European Warrants Dec 2012	100,000,000	2011/12/23	2012/12/27	15.00
15994	Nomura Int'l plc – Ping An European Warrants Sep 2013	100,000,000	2011/12/23	2013/09/30	15.00
15982	Nomura Int'l plc – Poly European Warrants Oct 2012	100,000,000	2011/12/23	2012/10/31	32.00
15989	Nomura Int'l plc – Ch Rongsheng European Warrants Oct 2012	100,000,000	2011/12/23	2012/10/31	29.00
15981	Nomura Int'l plc – SHK P European Warrants Feb 2013	100,000,000	2011/12/23	2013/02/28	18.00
15990	Nomura Int'l plc – Yanzhou Coal European Warrants Sep 2012	50,000,000	2011/12/23	2012/09/27	12.65
15997	Nomura Int'l plc – Zijin Mining European Warrants Sep 2012	100,000,000	2011/12/23	2012/09/28	30.00
15969	CC Rabobank B.A. – CC Bank European Warrants Jul 2012	150,000,000	2011/12/23	2012/07/23	67.50
15970	CC Rabobank B.A. – CC Bank European Warrants Aug 2012	150,000,000	2011/12/23	2012/08/20	37.50
15971	CC Rabobank B.A. – CC Bank European Warrants Sep 2012	150,000,000	2011/12/23	2012/09/17	37.50
15972	CC Rabobank B.A. – CC Bank European Put Warrants Jul 2012	100,000,000	2011/12/23	2012/07/23	75.00
15978	CC Rabobank B.A. – CPIC European Warrants Aug 2012	100,000,000	2011/12/23	2012/08/20	27.00
15974	CC Rabobank B.A. – ICBC European Warrants May 2012	150,000,000	2011/12/23	2012/05/28	45.00
15975	CC Rabobank B.A. – ICBC European Warrants Aug 2012	150,000,000	2011/12/23	2012/08/28	75.00
15977	CC Rabobank B.A. – ICBC European Put Warrants Aug 2012	100,000,000	2011/12/23	2012/08/20	50.00
15976	CC Rabobank B.A. – ICBC European Warrants Aug 2012 A	150,000,000	2011/12/23	2012/08/20	37.50
15973	CC Rabobank B.A. – Yanzhou Coal European Warrants Aug 2012	100,000,000	2011/12/23	2012/08/20	25.00
15999	Standard Chartered Bank – A50 China Euro Warrants Sep 2012	100,000,000	2011/12/23	2012/09/11	16.00
16031	Barclays Bank plc – Agricultural Bank European Wts Sep 2012	100,000,000	2011/12/28	2012/09/28	25.00
16032	Barclays Bank plc – AIA European Warrants Sep 2012	100,000,000	2011/12/28	2012/09/28	15.70
16033	Barclays Bank plc – BOCL European Warrants Sep 2012	100,000,000	2011/12/28	2012/09/28	26.00
16029	Barclays Bank plc – China Mobile European Wts Sep 2012	100,000,000	2011/12/28	2012/09/28	25.70
16030	Barclays Bank plc – CITBK European Warrants Jul 2012	100,000,000	2011/12/28	2012/07/31	26.20
16025	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2012	200,000,000	2011/12/28	2012/12/28	30.00
16026	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2013	200,000,000	2011/12/28	2013/08/29	30.00
16027	BNP Paribas Arbit Issu B.V. – HSI European Wts Dec 2014	200,000,000	2011/12/28	2014/12/30	50.00
16028	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jul 2012	200,000,000	2011/12/28	2012/07/30	36.00
16023	Citigroup Global Mkt H Inc. – AIA European Warrants Jun 2012	80,000,000	2011/12/28	2012/06/29	20.00
16017	Citigroup Global Mkt H Inc. – CC Bank European Wt Jul 2012	80,000,000	2011/12/28	2012/07/16	20.00
16016	Citigroup Global Mkt H Inc. – C Transmission Eur Wt Jun 2012	50,000,000	2011/12/28	2012/06/29	12.50
16018	Citigroup Global Mkt H Inc. – China Mobile Eur Wt Jun 2012 A	80,000,000	2011/12/28	2012/06/29	24.00
16020	Citigroup Global Mkt H Inc. – ICBC European Wts Jun 2012	80,000,000	2011/12/28	2012/06/29	20.00
16022	Citigroup Global Mkt H Inc. – Lonking European Wts Jun 2012	50,000,000	2011/12/28	2012/06/29	12.50
16015	Citigroup Global Mkt H Inc. – Luk Fook Hold Euro Wt Jun 2012	50,000,000	2011/12/28	2012/06/29	12.50
16024	Citigroup Global Mkt H Inc. – PICC P&C European Wts Aug 2012	80,000,000	2011/12/28	2012/08/09	12.00
16019	Citigroup Global Mkt H Inc. – Yurun Food Euro Wts Jun 2012	80,000,000	2011/12/28	2012/06/26	12.00
16021	Citigroup Global Mkt H Inc. – Zijin Mining Euro Wts Jul 2012	50,000,000	2011/12/28	2012/07/10	12.50

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
16002	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2012	150,000,000	2011/12/28	2012/06/18	22.50
16003	Goldman Sachs SP (Asia) – China Shenhua Euro Wts Apr 2012	150,000,000	2011/12/28	2012/04/23	33.30
16000	Goldman Sachs SP (Asia) – JIANG European Warrants Apr 2012	150,000,000	2011/12/28	2012/04/02	27.00
16001	Goldman Sachs SP (Asia) -Tencent European Wt Apr 2012	200,000,000	2011/12/28	2012/04/23	30.00
16004	Macquarie Bank Ltd.-Agricultural Bk Euro Warrants Jul 2012	120,000,000	2011/12/28	2012/07/04	30.00
16009	Macquarie Bank Ltd. – Belle Int'l European Warrants Aug 2012	200,000,000	2011/12/28	2012/08/16	37.40
16005	Macquarie Bank Ltd. – China Mengniu European Wts Jul 2012	150,000,000	2011/12/28	2012/07/04	44.40
16008	Standard Chartered Bank – BOCL European Warrants Sep 2012 A	100,000,000	2011/12/28	2012/09/05	25.00
16007	Standard Chartered Bank – HKEx European Warrants Oct 2012	100,000,000	2011/12/28	2012/10/31	26.00
16006	Standard Chartered Bank – HSI European Put Wts Jul 2012	200,000,000	2011/12/28	2012/07/30	50.00
16013	UBS AG – China Life European Warrants Apr 2012 C	300,000,000	2011/12/28	2012/04/10	66.00
16012	UBS AG – CNOOC European Warrants Jul 2012 A	200,000,000	2011/12/28	2012/07/10	50.00
16014	UBS AG – A50 China European Warrants Feb 2013	300,000,000	2011/12/28	2013/02/25	75.00
16010	UBS AG – Hutchison European Warrants Jul 2012	200,000,000	2011/12/28	2012/07/10	108.00
16011	UBS AG – Hutchison European Warrants Jun 2012 C	200,000,000	2011/12/28	2012/06/28	136.00
16072	BNP Paribas Arbit Issu B.V. – Brilliance Chi Eur Wt Mar 2013	100,000,000	2011/12/29	2013/03/04	25.00
16073	BNP Paribas Arbit Issu B.V. – BYD European Warrants Sep 2012	86,000,000	2011/12/29	2012/09/04	27.52
16074	BNP Paribas Arbit Issu B.V. – China COSCO Euro Wts Aug 2012	39,000,000	2011/12/29	2012/08/02	25.74
16069	BNP Paribas Arbit Issu B.V. – Dongfang Elec Euro Wt Sep 2012	40,000,000	2011/12/29	2012/09/04	16.00
16071	BNP Paribas Arbit Issu B.V. – Ch Rongsheng Euro Wt Oct 2012	36,000,000	2011/12/29	2012/10/04	16.92
16075	BNP Paribas Arbit Issu B.V. – Sands China Euro Wts Sep 2012	100,000,000	2011/12/29	2012/09/04	41.00
16070	BNP Paribas Arbit Issu B.V. – Sinopharm Euro Wts Aug 2012	60,000,000	2011/12/29	2012/08/02	15.00
16076	BNP Paribas Arbit Issu B.V. – Wynn Macau Euro Wts Oct 2012	100,000,000	2011/12/29	2012/10/04	35.00
16077	BNP Paribas Arbit Issu B.V. – Zhaojin Mining Eur Wt Oct 2012	74,000,000	2011/12/29	2012/10/04	18.50
16060	Credit Suisse AG – AIA European Warrants May 2012	300,000,000	2011/12/29	2012/05/03	45.00
16058	Credit Suisse AG – China Mobile European Warrants Jun 2012	300,000,000	2011/12/29	2012/06/29	75.00
16055	Credit Suisse AG – ChiUnicom European Warrants May 2012	200,000,000	2011/12/29	2012/05/28	30.00
16057	Credit Suisse AG – CC Bank European Warrants Jul 2012 A	300,000,000	2011/12/29	2012/07/03	75.00
16047	Credit Suisse AG – Cheung Kong European Warrants Jun 2012 A	100,000,000	2011/12/29	2012/06/29	25.00
16056	Credit Suisse AG – CNOOC European Warrants Jun 2012	300,000,000	2011/12/29	2012/06/25	45.00
16050	Credit Suisse AG – Sinopec Corp European Warrants Jun 2012	200,000,000	2011/12/29	2012/06/29	50.00
16059	Credit Suisse AG – China Shenhua European Wts Jun 2012 A	100,000,000	2011/12/29	2012/06/29	25.00
16051	Credit Suisse AG – HKEx European Warrants Aug 2012	300,000,000	2011/12/29	2012/08/01	45.00
16048	Credit Suisse AG – HSBC European Wts Apr 2012 A	300,000,000	2011/12/29	2012/04/30	45.00
16052	Credit Suisse AG – Li & Fung European Warrants Oct 2012	100,000,000	2011/12/29	2012/10/03	15.00
16049	Credit Suisse AG – SHK Ppt European Warrants Jun 2012	100,000,000	2011/12/29	2012/06/29	40.00
16053	Credit Suisse AG – Tencent Holdings European Wts May 2012	300,000,000	2011/12/29	2012/05/28	45.00
16054	Credit Suisse AG – Tencent Holdings European Wts May 2012 A	300,000,000	2011/12/29	2012/05/28	45.00
16036	Goldman Sachs SP (Asia) – Agri Bk European Wts Apr 2012	120,000,000	2011/12/29	2012/04/12	23.40
16037	Goldman Sachs SP (Asia) – HKEx European Warrants Aug 2012	200,000,000	2011/12/29	2012/08/06	30.40
16035	Goldman Sachs SP (Asia) – Ping An European Warrants Jul 2012	150,000,000	2011/12/29	2012/07/03	79.35
16034	Goldman Sachs SP (Asia) – Tencent European Put Wt Jul 2012	150,000,000	2011/12/29	2012/07/09	26.10
16041	HK Bank – Agricultural Bank European Warrants Jun 2012	80,000,000	2011/12/29	2012/06/22	20.00
16043	HK Bank – BOCL European Warrants Jul 2012	80,000,000	2011/12/29	2012/07/27	26.40

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
16039	HK Bank – CC Bank European Warrants Jun 2012 A	100,000,000	2011/12/29	2012/06/29	62.00
16042	HK Bank – China Life European Warrants Sep 2012	100,000,000	2011/12/29	2012/09/20	25.00
16040	HK Bank – China Mobile European Warrants Jun 2012 A	120,000,000	2011/12/29	2012/06/29	57.60
16038	HK Bank – CNOOC European Warrants Sep 2012	100,000,000	2011/12/29	2012/09/18	25.00
16045	HK Bank – Sinopec Corp European Warrants Jun 2012	80,000,000	2011/12/29	2012/06/29	47.20
16044	HK Bank – A50 China European Warrants Nov 2012	100,000,000	2011/12/29	2012/11/05	15.00
16046	HK Bank – PetroChina European Warrants Jan 2013	80,000,000	2011/12/29	2013/01/28	12.00
16064	Macquarie Bank Ltd. – Chi Res Power European Wts Nov 2012	150,000,000	2011/12/29	2012/11/29	37.50
16080	Standard Chartered Bank – Belle Int'l European Wts Aug 2012	100,000,000	2011/12/29	2012/08/30	15.70
16063	Standard Chartered Bank – BOC HK European Warrants Jun 2012	100,000,000	2011/12/29	2012/06/29	15.00
16062	Standard Chartered Bank – CTel European Warrants Jul 2012	100,000,000	2011/12/29	2012/07/03	35.00
16081	Standard Chartered Bank – A50 China Euro Warrants Nov 2012	200,000,000	2011/12/29	2012/11/23	30.00
16078	Standard Chartered Bank – HSBC European Warrants Sep 2012	150,000,000	2011/12/29	2012/09/05	43.50
16061	Standard Chartered Bank – Hutchison Euro Euro Wts Jul 2012	100,000,000	2011/12/29	2012/07/03	26.00
16079	Standard Chartered Bank – Jiangxi Copper Euro Wts Sep 2012	100,000,000	2011/12/29	2012/09/05	25.00
16068	UBS AG – BOCL European Warrants Jun 2012	100,000,000	2011/12/29	2012/06/25	15.00
16067	UBS AG – CPIC European Warrants Jun 2012 A	100,000,000	2011/12/29	2012/06/29	25.00
16065	UBS AG – HSBC European Warrants Jun 2012 C	300,000,000	2011/12/29	2012/06/25	120.00
16066	UBS AG – PetroChina European Warrants Apr 2012	100,000,000	2011/12/29	2012/04/30	51.00
10145	BNP Paribas Arbit Issu B.V. – Gold European Wts Sep 2012	200,000,000	2011/12/30	2012/09/06	50.00
10146	BNP Paribas Arbit Issu B.V. – Gold European Put Wts Sep 2012	200,000,000	2011/12/30	2012/09/06	50.00
10143	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2012	200,000,000	2011/12/30	2012/11/14	50.00
10144	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Nov 2012	200,000,000	2011/12/30	2012/11/14	50.00
16098	Credit Suisse AG – Agricultural Bank European Wts Apr 2012	120,000,000	2011/12/30	2012/04/05	18.00
16096	Credit Suisse AG – Agricultural Bank European Wts Jun 2012	120,000,000	2011/12/30	2012/06/25	36.00
16097	Credit Suisse AG – Agricultural Bank European Wts Jun 2012 A	120,000,000	2011/12/30	2012/06/25	30.60
16102	Credit Suisse AG – BOC HK European Warrants Jun 2012	80,000,000	2011/12/30	2012/06/25	12.00
16104	Credit Suisse AG – BOCL European Warrants Jun 2012	100,000,000	2011/12/30	2012/06/25	15.00
16095	Credit Suisse AG – China Mobile European Warrants Apr 2012	150,000,000	2011/12/30	2012/04/05	22.50
16093	Credit Suisse AG – CC Bank European Warrants May 2012	120,000,000	2011/12/30	2012/05/28	42.00
16094	Credit Suisse AG – CC Bank European Warrants Apr 2012 A	120,000,000	2011/12/30	2012/04/05	24.00
16103	Credit Suisse AG – CM Bank European Warrants Sep 2012	80,000,000	2011/12/30	2012/09/24	13.60
16091	Credit Suisse AG – HSBC European Wts May 2012	200,000,000	2011/12/30	2012/05/28	90.00
16092	Credit Suisse AG – Hutchison European Warrants Apr 2012	200,000,000	2011/12/30	2012/04/30	80.00
16100	Credit Suisse AG – ICBC European Warrants Jun 2012	120,000,000	2011/12/30	2012/06/25	46.80
16099	Credit Suisse AG – ICBC European Warrants May 2012 A	120,000,000	2011/12/30	2012/05/28	36.00
16101	Credit Suisse AG – PICC European Warrants Sep 2012	80,000,000	2011/12/30	2012/09/24	12.00
16087	Goldman Sachs SP (Asia) – BOCL European Warrants Jul 2012	120,000,000	2011/12/30	2012/07/30	18.36
16089	Goldman Sachs SP (Asia) – Cheung Kong European Wts Jun 2012	120,000,000	2011/12/30	2012/06/25	18.24
16090	Goldman Sachs SP (Asia)-Sinopec Corp European Wts May 2012	120,000,000	2011/12/30	2012/05/14	38.16
16086	Goldman Sachs SP (Asia) – CPIC European Warrants Jul 2012	120,000,000	2011/12/30	2012/07/03	18.60
16088	Goldman Sachs SP (Asia) – SHK Ppt European Warrants Feb 2013	150,000,000	2011/12/30	2013/02/18	23.70
16084	HK Bank – AIA European Warrants Jul 2012	100,000,000	2011/12/30	2012/07/20	28.00
16085	HK Bank – Foxconn European Warrants Sep 2012 A	60,000,000	2011/12/30	2012/09/12	25.20

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
16082	HK Bank – Galaxy Ent European Warrants Oct 2012	80,000,000	2011/12/30	2012/10/29	20.00
16083	HK Bank – Lenovo European Warrants Sep 2012	60,000,000	2011/12/30	2012/09/26	27.00
16111	J P Morgan SP BV – Cheung Kong European Warrants Jul 2012	80,000,000	2011/12/30	2012/07/03	20.00
16110	J P Morgan SP BV – CNOOC European Warrants Jun 2012	200,000,000	2011/12/30	2012/06/18	30.00
16108	J P Morgan SP BV – Henderson Land Dev European Wts Oct 2012	100,000,000	2011/12/30	2012/10/03	25.00
16109	J P Morgan SP BV – HS Bank European Warrants Oct 2012	65,000,000	2011/12/30	2012/10/03	16.25
16107	J P Morgan SP BV – HSBC European Warrants Oct 2012	200,000,000	2011/12/30	2012/10/03	50.00
16105	Macquarie Bank Ltd. – Ping An European Warrants Jul 2012 A	200,000,000	2011/12/30	2012/07/04	67.60
16106	Macquarie Bank Ltd. – Ping An European Put Wts Jul 2012	200,000,000	2011/12/30	2012/07/04	84.80
16117	UBS AG – Agricultural Bank European Warrants May 2012	100,000,000	2011/12/30	2012/05/28	19.00
16115	UBS AG – China Unicom European Warrants May 2012	100,000,000	2011/12/30	2012/05/28	15.00
16116	UBS AG – CC Bank European Warrants Jul 2012 A	200,000,000	2011/12/30	2012/07/05	40.00
16119	UBS AG – China Life European Warrants Jul 2012 C	300,000,000	2011/12/30	2012/07/09	57.00
16112	UBS AG – Jiangxi Copper European Warrants Jun 2012 B	100,000,000	2011/12/30	2012/06/18	15.00
16118	UBS AG – Ping An European Warrants Sep 2013	200,000,000	2011/12/30	2013/09/16	30.00
16113	UBS AG – Tencent Holdings European Warrants Jun 2012 B	200,000,000	2011/12/30	2012/06/25	40.00
16114	UBS AG – Tencent Holdings European Warrants Jul 2012 A	200,000,000	2011/12/30	2012/07/30	36.00
Total					53,214.21

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2010	Q4	–	0.10
2011	Q1	–	1.96
	Q2	–	0.60
	Q3	–	611.11
	Q4	–	229.59

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2010	Q4	169	488,418.71
2011	Q1	170	506,219.59
	Q2	173	523,451.54
	Q3	183	558,876.03
	Q4	192	588,875.47

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2010	Q4	16	60,126.80
2011	Q1	7	24,958.35
	Q2	13	43,572.09
	Q3	16	47,230.33
	Q4	14	36,727.82

Main Board Debt Securities

Newly Listed Debt Securities

for 4th quarter 2011

Code	Debt securities	Principal		Listing date	Maturity	Amount raised (HK\$ mil.)
86009	Asia Cement (China) Holdings Corporation 2.95% CNY Notes 2014	RMB	586,000,000	2011/10/03	2014/09/30	713.75
04523	CLP Power Hong Kong Financing Ltd 2.50% Notes 2026	JPY	5,000,000,000	2011/10/14	2026/10/13	504.55
04524	The Hong Kong Mortgage Corporation Ltd. Floating Rate Notes Oct 2012	USD	150,000,000	2011/10/18	2012/10/17	1,170.00
04525	The Hong Kong Mortgage Corporation Ltd. Floating Rate Notes Oct 2012 A	USD	100,000,000	2011/10/24	2012/10/20	780.00
86010	CNPC Golden Autumn Ltd. 2.55% Guaranteed CNY Bonds 2013	RMB	2,500,000,000	2011/10/27	2013/10/26	3,053.28
86011	CNPC Golden Autumn Ltd. 2.95% Guaranteed CNY Bonds 2014	RMB	500,000,000	2011/10/27	2014/10/26	610.38
04526	Standard Chartered Bank (Hong Kong) Ltd. 4.15% Subordinated Notes 2021	SGD	750,000,000	2011/10/28	2021/10/27	4,596.60
04527	Sun Hung Kai Properties (Capital Market) Ltd. 3.5% Instruments 2016	USD	500,000,000	2011/11/03	2016/11/02	3,896.14
04202 [#]	The Govt of the HKSAR of the PRC 2.07% Bonds 2014	HKD	3,000,000,000	2011/11/03	2014/11/03	3,143.10
04528	Bank of China (Hong Kong) Ltd. 3.75% Notes 2016	USD	750,000,000	2011/11/09	2016/11/08	5,833.10
04020	Hong Kong Monetary Authority 0.26% Exchange Fund Notes 2013	HKD	1,200,000,000	2011/11/17	2013/11/18	1,200.36
04021	Hong Kong Monetary Authority 1.19% Exchange Fund Notes 2021	HKD	800,000,000	2011/12/06	2021/12/06	788.72
04529	Skysea International Capital Management Ltd 4.875% Guaranteed Notes 2021	USD	750,000,000	2011/12/08	2021/12/07	5,715.92
04211	The Government of the HKSAR of the PRC 0.97% Bonds 2016	HKD	2,500,000,000	2011/12/09	2016/12/08	2,485.50
04197 [#]	Hong Kong Monetary Authority 1.69% Exchange Fund Notes 2014	HKD	1,200,000,000	2011/12/19	2014/12/22	1,241.04
04022	Hong Kong Monetary Authority 0.82% Exchange Fund Notes 2016	HKD	1,000,000,000	2011/12/20	2016/12/19	995.40
Total						36,727.82

[#] Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total (HK\$ mil.)
2010	Q4	222,632.25	13,000.51	234.47	235,867.23	4.29
2011	Q1	158,281.12	13,623.13	220.01	172,124.26	3.67
	Q2	127,347.26	16,652.86	105.65	144,105.78	3.45
	Q3	153,336.68	13,347.77	93.94	166,778.38	3.66
	Q4	106,330.42	11,900.43	78.91	118,309.77	3.22

Note: 1. Turnover value traded in non-HKD currency securities have been included start from 29 November 2010

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2010	Q4	69	696,344.55	8	102,876.43	2	3,034.79	79	802,255.77
2011	Q1	72	690,953.11	8	104,083.69	2	3,034.79	82	798,071.59
	Q2	76	712,469.72	9	137,043.45	2	2,987.22	87	852,500.38
	Q3	76	711,192.27	9	110,532.56	2	2,032.40	87	823,757.23
	Q4	77	712,137.75	9	123,990.18	2	2,210.02	88	838,337.95

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2010	Q4	3	–
2011	Q1	3	–
	Q2	5	12,507.88
	Q3	–	–
	Q4	1	–

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 4th quarter 2011

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	Amount raised (HK\$ mil)	Listing date
02842	CMS CSI Overseas Mainland Enterprises ETF	CMS Asset Management (HK) Co. Limited	n.a.	n.a.	2011/11/01

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2010	Q4	1,064	49,816.76	514,510.75
2011	Q1	974	43,399.41	367,097.05
	Q2	711	32,550.52	325,829.26
	Q3	642	45,153.85	518,147.45
	Q4	901	43,598.79	641,062.38

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2010	Q4	1,813	107,647.20
2011	Q1	1,449	80,829.55
	Q2	931	54,073.65
	Q3	1,401	85,334.38
	Q4	1,553	90,461.98

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 4th quarter 2011

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67567	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 A	200,000,000	2011/10/03	2012/05/30	50.00
67573	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 B	200,000,000	2011/10/03	2012/05/30	50.00
67574	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 C	200,000,000	2011/10/03	2012/05/30	50.00
67576	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 D	200,000,000	2011/10/03	2012/05/30	50.00
67578	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 E	200,000,000	2011/10/03	2012/05/30	50.00
67579	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 F	200,000,000	2011/10/03	2012/05/30	50.00
67581	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 G	200,000,000	2011/10/03	2012/05/30	50.00
67582	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 H	200,000,000	2011/10/03	2012/05/30	50.00
67584	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 I	200,000,000	2011/10/03	2012/05/30	50.00
67565	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Y	200,000,000	2011/10/03	2012/05/30	60.00
67566	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Z	200,000,000	2011/10/03	2012/05/30	56.00
67671	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 A	200,000,000	2011/10/03	2012/03/29	50.00
67672	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 B	200,000,000	2011/10/03	2012/03/29	50.00
67673	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 C	200,000,000	2011/10/03	2012/03/29	50.00
67674	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	200,000,000	2011/10/03	2012/03/29	50.00
67680	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	200,000,000	2011/10/03	2012/03/29	50.00
67590	Credit Suisse AG – China Life R Bull CBBC Feb 2012 A	100,000,000	2011/10/03	2012/02/13	32.00
67598	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	400,000,000	2011/10/03	2012/04/27	100.00
67664	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	400,000,000	2011/10/03	2012/04/27	100.00
67599	Credit Suisse AG – HSI R Bull CBBC May 2012 N	400,000,000	2011/10/03	2012/05/30	100.00
67600	Credit Suisse AG – HSI R Bull CBBC Jun 2012 O	400,000,000	2011/10/03	2012/06/28	148.00
67614	Credit Suisse AG – HSI R Bull CBBC Jul 2012 F	400,000,000	2011/10/03	2012/07/30	132.00
67617	Credit Suisse AG – HSI R Bull CBBC Jul 2012 G	400,000,000	2011/10/03	2012/07/30	104.00
67620	Credit Suisse AG – HSI R Bull CBBC Jul 2012 H	400,000,000	2011/10/03	2012/07/30	130.00
67621	Credit Suisse AG – HSI R Bull CBBC Jul 2012 I	400,000,000	2011/10/03	2012/07/30	122.00
67623	Credit Suisse AG – HSI R Bull CBBC Aug 2012 A	400,000,000	2011/10/03	2012/08/30	100.00
67624	Credit Suisse AG – HSI R Bull CBBC Aug 2012 B	400,000,000	2011/10/03	2012/08/30	160.00
67627	Credit Suisse AG – HSI R Bull CBBC Aug 2012 C	400,000,000	2011/10/03	2012/08/30	120.00
67628	Credit Suisse AG – HSI R Bull CBBC Aug 2012 D	400,000,000	2011/10/03	2012/08/30	120.00
67630	Credit Suisse AG – HSI R Bull CBBC Aug 2012 E	400,000,000	2011/10/03	2012/08/30	112.00
67634	Credit Suisse AG – HSI R Bull CBBC Aug 2012 F	400,000,000	2011/10/03	2012/08/30	106.00
67661	Credit Suisse AG – HSI R Bear CBBC Jan 2012 G	400,000,000	2011/10/03	2012/01/30	100.00
67593	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Y	400,000,000	2011/10/03	2012/01/30	100.00
67662	Credit Suisse AG – HSI R Bear CBBC Feb 2012 B	400,000,000	2011/10/03	2012/02/28	100.00
67597	Credit Suisse AG – HSI R Bear CBBC Feb 2012 D	400,000,000	2011/10/03	2012/02/28	100.00
67596	Credit Suisse AG – HSI R Bear CBBC Feb 2012 H	400,000,000	2011/10/03	2012/02/28	100.00
67589	Credit Suisse AG – Ping An R Bull CBBC Feb 2012 A	100,000,000	2011/10/03	2012/02/13	25.00
67592	Credit Suisse AG – Ping An R Bear CBBC Feb 2012 B	100,000,000	2011/10/03	2012/02/13	25.00
67549	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 Q	150,000,000	2011/10/03	2012/01/30	37.50
67550	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 B	150,000,000	2011/10/03	2012/02/28	55.50
67551	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 C	150,000,000	2011/10/03	2012/02/28	60.00
67548	Deutsche Bank AG – HSI R Bear CBBC Feb 2012 A	150,000,000	2011/10/03	2012/02/28	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67537	Macquarie Bank Ltd. – HSCEI R Bull CBBC Apr 2012 A	300,000,000	2011/10/03	2012/04/27	75.00
67538	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 A	300,000,000	2011/10/03	2012/04/27	75.00
67539	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 B	300,000,000	2011/10/03	2012/04/27	75.00
67528	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12C	300,000,000	2011/10/03	2012/03/29	75.00
67529	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12D	300,000,000	2011/10/03	2012/03/29	75.00
67532	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12E	300,000,000	2011/10/03	2012/03/29	75.00
67552	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12F	300,000,000	2011/10/03	2012/03/29	75.00
67553	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12N	300,000,000	2011/10/03	2012/03/29	75.00
67523	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12R	300,000,000	2011/10/03	2012/04/27	97.50
67524	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12S	300,000,000	2011/10/03	2012/04/27	78.00
67525	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12T	300,000,000	2011/10/03	2012/04/27	90.00
67526	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12U	300,000,000	2011/10/03	2012/04/27	85.50
67709	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12V	300,000,000	2011/10/03	2012/04/27	75.00
67716	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12W	300,000,000	2011/10/03	2012/04/27	75.00
67681	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12D	300,000,000	2011/10/03	2012/06/28	145.50
67685	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12E	300,000,000	2011/10/03	2012/06/28	138.00
67688	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12F	300,000,000	2011/10/03	2012/06/28	127.50
67690	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12G	300,000,000	2011/10/03	2012/06/28	103.50
67691	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12H	300,000,000	2011/10/03	2012/06/28	121.50
67692	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12I	300,000,000	2011/10/03	2012/06/28	117.00
67694	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12J	300,000,000	2011/10/03	2012/06/28	109.50
67697	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12K	300,000,000	2011/10/03	2012/06/28	88.50
67698	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12L	300,000,000	2011/10/03	2012/06/28	102.00
67701	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 12M	300,000,000	2011/10/03	2012/06/28	99.00
67535	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12H	300,000,000	2011/10/03	2012/01/30	75.00
67534	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12Q	300,000,000	2011/10/03	2012/01/30	75.00
67536	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12R	300,000,000	2011/10/03	2012/01/30	75.00
67564	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jan 12S	300,000,000	2011/10/03	2012/01/30	75.00
67562	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12A	300,000,000	2011/10/03	2012/02/28	75.00
67563	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Feb 12B	300,000,000	2011/10/03	2012/02/28	75.00
67723	UBS AG – AIA R Bull CBBC May 2012 A	100,000,000	2011/10/03	2012/05/07	36.50
67722	UBS AG – China Mobile R Bull CBBC Apr 2012 A	100,000,000	2011/10/03	2012/04/23	25.00
67721	UBS AG – CC Bank R Bull CBBC Apr 2012 B	100,000,000	2011/10/03	2012/04/10	25.00
67720	UBS AG – CNOOC R Bull CBBC Apr 2012 A	100,000,000	2011/10/03	2012/04/16	25.00
67730	UBS AG – A50 China R Bull CBBC May 2012 A	100,000,000	2011/10/03	2012/05/14	25.00
67718	UBS AG – HKEx R Bull CBBC Mar 2012 A	100,000,000	2011/10/03	2012/03/26	25.00
67639	UBS AG – HSI R Bull CBBC Jan 2012 L	300,000,000	2011/10/03	2012/01/30	75.00
67637	UBS AG – HSI R Bull CBBC Feb 2012 T	300,000,000	2011/10/03	2012/02/28	75.00
67636	UBS AG – HSI R Bull CBBC Mar 2012 V	300,000,000	2011/10/03	2012/03/29	75.00
67638	UBS AG – HSI R Bull CBBC Mar 2012 W	300,000,000	2011/10/03	2012/03/29	75.00
67635	UBS AG – HSI R Bull CBBC Apr 2012 R	300,000,000	2011/10/03	2012/04/27	75.00
67640	UBS AG – HSI R Bull CBBC Apr 2012 S	300,000,000	2011/10/03	2012/04/27	75.00
67658	UBS AG – HSI R Bull CBBC May 2012 M	300,000,000	2011/10/03	2012/05/30	153.00
67659	UBS AG – HSI R Bull CBBC Jun 2012 G	300,000,000	2011/10/03	2012/06/28	168.00
67542	UBS AG – HSI R Bear CBBC Mar 2012 U	300,000,000	2011/10/03	2012/03/29	76.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67641	UBS AG – HSI R Bear CBBC Mar 2012 X	300,000,000	2011/10/03	2012/03/29	75.00
67660	UBS AG – HSI R Bear CBBC Mar 2012 Y	300,000,000	2011/10/03	2012/03/29	75.00
67543	UBS AG – HSI R Bear CBBC Apr 2012 P	300,000,000	2011/10/03	2012/04/27	75.00
67544	UBS AG – HSI R Bear CBBC Apr 2012 Q	300,000,000	2011/10/03	2012/04/27	79.50
67650	UBS AG – HSI R Bear CBBC Apr 2012 T	300,000,000	2011/10/03	2012/04/27	75.00
67547	UBS AG – HSI R Bear CBBC May 2012 J	300,000,000	2011/10/03	2012/05/30	87.00
67646	UBS AG – HSI R Bear CBBC May 2012 K	300,000,000	2011/10/03	2012/05/30	75.00
67652	UBS AG – HSI R Bear CBBC May 2012 L	300,000,000	2011/10/03	2012/05/30	75.00
67644	UBS AG – HSI R Bear CBBC Jun 2012 E	300,000,000	2011/10/03	2012/06/28	75.00
67645	UBS AG – HSI R Bear CBBC Jun 2012 F	300,000,000	2011/10/03	2012/06/28	75.00
67719	UBS AG – TCH R Bull CBBC Apr 2012 B	100,000,000	2011/10/03	2012/04/16	28.00
67768	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 J	200,000,000	2011/10/04	2012/05/30	50.00
67769	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 K	200,000,000	2011/10/04	2012/05/30	50.00
67771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	200,000,000	2011/10/04	2012/05/30	50.00
67778	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	200,000,000	2011/10/04	2012/05/30	50.00
67779	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 N	200,000,000	2011/10/04	2012/05/30	50.00
67829	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	400,000,000	2011/10/04	2012/04/27	100.00
67830	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	400,000,000	2011/10/04	2012/04/27	100.00
67831	Credit Suisse AG – HSI R Bull CBBC May 2012 O	400,000,000	2011/10/04	2012/05/30	100.00
67834	Credit Suisse AG – HSI R Bull CBBC May 2012 P	400,000,000	2011/10/04	2012/05/30	100.00
67826	Credit Suisse AG – HSI R Bear CBBC Feb 2012 A	400,000,000	2011/10/04	2012/02/28	100.00
67757	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 S	150,000,000	2011/10/04	2012/01/30	37.50
67763	Deutsche Bank AG – HSI R Bull CBBC Jan 2012 T	150,000,000	2011/10/04	2012/01/30	43.50
67767	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 D	150,000,000	2011/10/04	2012/02/28	49.50
67816	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 E	150,000,000	2011/10/04	2012/02/28	37.50
67755	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 R	150,000,000	2011/10/04	2012/01/30	37.50
67817	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 K	108,000,000	2011/10/04	2012/02/28	27.00
67731	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 A	100,000,000	2011/10/04	2012/04/27	28.00
67732	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 B	100,000,000	2011/10/04	2012/04/27	25.00
67733	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 C	100,000,000	2011/10/04	2012/04/27	25.50
67742	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 D	100,000,000	2011/10/04	2012/04/27	25.00
67744	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 P	150,000,000	2011/10/04	2012/03/29	37.50
67745	CC Rabobank B.A. – HSI R Bull CBBC Mar 2012 Q	150,000,000	2011/10/04	2012/03/29	37.50
67746	CC Rabobank B.A. – HSI R Bear CBBC Mar 2012 R	150,000,000	2011/10/04	2012/03/29	37.50
67748	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12O	300,000,000	2011/10/04	2012/03/29	75.00
67754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12P	300,000,000	2011/10/04	2012/03/29	75.00
67810	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Q	300,000,000	2011/10/04	2012/03/29	75.00
67813	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12U	300,000,000	2011/10/04	2012/03/29	75.00
67825	UBS AG – ABC R Bull CBBC Apr 2012 A	100,000,000	2011/10/04	2012/04/16	25.00
67821	UBS AG – Cheung Kong R Bull CBBC Apr 2012 A	100,000,000	2011/10/04	2012/04/02	25.00
67780	UBS AG – HSCEI R Bull CBBC May 2012 A	100,000,000	2011/10/04	2012/05/30	25.00
67782	UBS AG – HSCEI R Bear CBBC May 2012 B	100,000,000	2011/10/04	2012/05/30	25.00
67784	UBS AG – HSI R Bull CBBC Feb 2012 U	300,000,000	2011/10/04	2012/02/28	75.00
67787	UBS AG – HSI R Bull CBBC Mar 2012 L	300,000,000	2011/10/04	2012/03/29	75.00
67785	UBS AG – HSI R Bull CBBC Mar 2012 Z	300,000,000	2011/10/04	2012/03/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67789	UBS AG – HSI R Bull CBBC Apr 2012 U	300,000,000	2011/10/04	2012/04/27	75.00
67820	UBS AG – HSI R Bull CBBC Apr 2012 V	300,000,000	2011/10/04	2012/04/27	75.00
67790	UBS AG – HSI R Bull CBBC May 2012 N	300,000,000	2011/10/04	2012/05/30	75.00
67791	UBS AG – HSI R Bull CBBC Jun 2012 H	300,000,000	2011/10/04	2012/06/28	75.00
67797	UBS AG – HSI R Bull CBBC Jul 2012 A	300,000,000	2011/10/04	2012/07/30	75.00
67807	UBS AG – HSI R Bull CBBC Jul 2012 B	300,000,000	2011/10/04	2012/07/30	75.00
67808	UBS AG – HSI R Bull CBBC Aug 2012 B	300,000,000	2011/10/04	2012/08/30	75.00
67809	UBS AG – HSI R Bull CBBC Aug 2012 C	300,000,000	2011/10/04	2012/08/30	75.00
67747	UBS AG – HSI R Bear CBBC Jan 2012 G	300,000,000	2011/10/04	2012/01/30	75.00
67824	UBS AG – SHK Ppt R Bull CBBC Mar 2012 A	100,000,000	2011/10/04	2012/03/05	25.00
67864	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 B	200,000,000	2011/10/06	2012/04/27	50.00
67865	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/10/06	2012/04/27	50.00
67861	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/10/06	2012/04/27	50.00
67863	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/10/06	2012/04/27	50.00
67836	Deutsche Bank AG – HSI R Bull CBBC Feb 2012 F	150,000,000	2011/10/06	2012/02/28	37.50
67835	Deutsche Bank AG – HSI R Bear CBBC Jan 2012 U	150,000,000	2011/10/06	2012/01/30	37.50
67851	UBS AG – China Mobile R Bear CBBC Apr 2012 B	100,000,000	2011/10/06	2012/04/23	25.00
67855	UBS AG – China Life R Bear CBBC Mar 2012 C	100,000,000	2011/10/06	2012/03/12	34.50
67856	UBS AG – A50 China R Bear CBBC Mar 2012 A	100,000,000	2011/10/06	2012/03/12	25.00
67844	UBS AG – HKEx R Bear CBBC Mar 2012 B	100,000,000	2011/10/06	2012/03/26	32.50
67837	UBS AG – HSBC R Bear CBBC Mar 2012 B	100,000,000	2011/10/06	2012/03/05	25.00
67858	UBS AG – HSI R Bear CBBC Jun 2012 I	300,000,000	2011/10/06	2012/06/28	75.00
67860	UBS AG – HSI R Bear CBBC Jul 2012 C	300,000,000	2011/10/06	2012/07/30	75.00
67839	UBS AG – Hutchison R Bear CBBC Mar 2012 B	100,000,000	2011/10/06	2012/03/19	25.00
67881	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Sep 2012 A	100,000,000	2011/10/10	2012/09/27	25.00
67873	Credit Suisse AG – HKEx R Bull CBBC Mar 2012 A	100,000,000	2011/10/10	2012/03/19	25.50
67872	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 D	100,000,000	2011/10/10	2012/02/28	25.00
67871	Credit Suisse AG – HSI R Bull CBBC Apr 2012 U	400,000,000	2011/10/10	2012/04/27	100.00
67869	Credit Suisse AG – HSI R Bear CBBC Jan 2012 E	400,000,000	2011/10/10	2012/01/30	100.00
67870	Credit Suisse AG – HSI R Bear CBBC Feb 2012 C	400,000,000	2011/10/10	2012/02/28	100.00
67868	Deutsche Bank AG – HSI R Bear CBBC Feb 2012 G	150,000,000	2011/10/10	2012/02/28	37.50
67876	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 N	108,000,000	2011/10/10	2012/01/30	27.00
67877	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 O	128,000,000	2011/10/10	2012/01/30	32.00
67866	UBS AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/10/10	2012/01/30	75.00
67867	UBS AG – HSI R Bull CBBC Feb 2012 V	300,000,000	2011/10/10	2012/02/28	75.00
67875	UBS AG – HSI R Bear CBBC Jan 2012 O	300,000,000	2011/10/10	2012/01/30	75.00
67874	UBS AG – HSI R Bear CBBC Feb 2012 W	300,000,000	2011/10/10	2012/02/28	75.00
67923	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 S	200,000,000	2011/10/11	2012/04/27	60.00
67918	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 X	200,000,000	2011/10/11	2012/04/27	68.00
67942	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	200,000,000	2011/10/11	2012/04/27	50.00
67924	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 T	200,000,000	2011/10/11	2012/04/27	50.00
67928	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	200,000,000	2011/10/11	2012/04/27	50.00
68039	Credit Suisse AG – AIA R Bull CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/19	28.00
68040	Credit Suisse AG – AIA R Bull CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/19	20.00
67995	Credit Suisse AG – AIA R Bear CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/19	21.60

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68038	Credit Suisse AG – China Mobile R Bull CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/19	20.00
68031	Credit Suisse AG – CCB R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/19	20.00
68032	Credit Suisse AG – CCB R Bull CBBC Mar 2012 E	80,000,000	2011/10/11	2012/03/19	20.00
67992	Credit Suisse AG – CCB R Bear CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/19	20.00
67994	Credit Suisse AG – CCB R Bear CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/19	20.00
67997	Credit Suisse AG – China Life R Bear CBBC Feb 2012 B	80,000,000	2011/10/11	2012/02/13	28.00
68024	Credit Suisse AG – CNOOC R Bull CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/12	20.00
68030	Credit Suisse AG – CNOOC R Bull CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/12	20.00
67985	Credit Suisse AG – CNOOC R Bear CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/12	20.00
68042	Credit Suisse AG – A50 China R Bull CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/19	20.00
68043	Credit Suisse AG – A50 China R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/19	20.00
67999	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 A	80,000,000	2011/10/11	2012/03/19	20.00
68009	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 B	80,000,000	2011/10/11	2012/03/19	20.00
68016	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 G	80,000,000	2011/10/11	2012/02/28	20.00
68020	Credit Suisse AG – HKEx R Bull CBBC Feb 2012 H	80,000,000	2011/10/11	2012/02/28	20.00
67977	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 E	80,000,000	2011/10/11	2012/02/28	20.00
67980	Credit Suisse AG – HKEx R Bear CBBC Feb 2012 F	80,000,000	2011/10/11	2012/02/28	20.00
68011	Credit Suisse AG – HSBC R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/26	20.00
67975	Credit Suisse AG – HSBC R Bear CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/26	20.00
67957	Credit Suisse AG – HSI R Bull CBBC Apr 2012 A	400,000,000	2011/10/11	2012/04/27	100.00
67949	Credit Suisse AG – HSI R Bull CBBC Apr 2012 B	400,000,000	2011/10/11	2012/04/27	120.00
67950	Credit Suisse AG – HSI R Bull CBBC Apr 2012 C	400,000,000	2011/10/11	2012/04/27	160.00
67951	Credit Suisse AG – HSI R Bull CBBC Apr 2012 D	400,000,000	2011/10/11	2012/04/27	120.00
67953	Credit Suisse AG – HSI R Bull CBBC Apr 2012 I	400,000,000	2011/10/11	2012/04/27	140.00
67964	Credit Suisse AG – HSI R Bull CBBC May 2012 Q	400,000,000	2011/10/11	2012/05/30	160.00
67968	Credit Suisse AG – HSI R Bull CBBC May 2012 R	400,000,000	2011/10/11	2012/05/30	100.00
67971	Credit Suisse AG – HSI R Bull CBBC May 2012 S	400,000,000	2011/10/11	2012/05/30	100.00
67973	Credit Suisse AG – HSI R Bull CBBC May 2012 T	400,000,000	2011/10/11	2012/05/30	100.00
67974	Credit Suisse AG – HSI R Bull CBBC May 2012 U	400,000,000	2011/10/11	2012/05/30	100.00
67944	Credit Suisse AG – HSI R Bear CBBC Jan 2012 F	400,000,000	2011/10/11	2012/01/30	100.00
67945	Credit Suisse AG – HSI R Bear CBBC Feb 2012 G	400,000,000	2011/10/11	2012/02/28	100.00
67947	Credit Suisse AG – HSI R Bear CBBC Feb 2012 X	400,000,000	2011/10/11	2012/02/28	100.00
68012	Credit Suisse AG – Hutchison R Bull CBBC Mar 2012 D	80,000,000	2011/10/11	2012/03/26	20.00
67976	Credit Suisse AG – Hutchison R Bear CBBC Mar 2012 C	80,000,000	2011/10/11	2012/03/26	20.00
67996	Credit Suisse AG – Ping An R Bear CBBC Feb 2012 C	80,000,000	2011/10/11	2012/02/13	20.00
67982	Credit Suisse AG – TCH R Bear CBBC Feb 2012 G	80,000,000	2011/10/11	2012/02/28	20.00
67984	Credit Suisse AG – TCH R Bear CBBC Feb 2012 H	80,000,000	2011/10/11	2012/02/28	20.00
68071	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 E	108,000,000	2011/10/11	2012/03/29	27.00
68091	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2012 A	108,000,000	2011/10/11	2012/04/27	41.04
68094	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2012 B	108,000,000	2011/10/11	2012/04/27	27.00
68095	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC May 2012 A	108,000,000	2011/10/11	2012/05/30	27.00
67929	Macquarie Bank Ltd. – HSCEI R Bull CBBC Apr 2012 B	200,000,000	2011/10/11	2012/04/27	50.00
67935	Macquarie Bank Ltd. – HSCEI R Bull CBBC Apr 2012 C	200,000,000	2011/10/11	2012/04/27	50.00
67906	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 C	300,000,000	2011/10/11	2012/04/27	75.00
67908	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/10/11	2012/04/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67914	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/10/11	2012/04/27	75.00
67915	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 F	300,000,000	2011/10/11	2012/04/27	75.00
68061	UBS AG – CC Bank R Bull CBBC Apr 2012 C	100,000,000	2011/10/11	2012/04/02	25.00
68060	UBS AG – CC Bank R Bull CBBC May 2012 A	100,000,000	2011/10/11	2012/05/07	25.00
68044	UBS AG – A50 China R Bull CBBC May 2012 B	100,000,000	2011/10/11	2012/05/28	25.00
67882	UBS AG – HSI R Bull CBBC Jan 2012 P	300,000,000	2011/10/11	2012/01/30	75.00
67883	UBS AG – HSI R Bull CBBC Jan 2012 R	300,000,000	2011/10/11	2012/01/30	75.00
67905	UBS AG – HSI R Bull CBBC Feb 2012 A	300,000,000	2011/10/11	2012/02/28	75.00
67884	UBS AG – HSI R Bull CBBC Feb 2012 X	300,000,000	2011/10/11	2012/02/28	75.00
67887	UBS AG – HSI R Bull CBBC Feb 2012 Y	300,000,000	2011/10/11	2012/02/28	75.00
67904	UBS AG – HSI R Bull CBBC Mar 2012 H	300,000,000	2011/10/11	2012/03/29	75.00
67903	UBS AG – HSI R Bull CBBC Apr 2012 W	300,000,000	2011/10/11	2012/04/27	75.00
67902	UBS AG – HSI R Bull CBBC May 2012 O	300,000,000	2011/10/11	2012/05/30	75.00
67889	UBS AG – HSI R Bear CBBC Jan 2012 S	300,000,000	2011/10/11	2012/01/30	75.00
67943	UBS AG – HSI R Bear CBBC Feb 2012 K	300,000,000	2011/10/11	2012/02/28	75.00
67888	UBS AG – HSI R Bear CBBC Feb 2012 Z	300,000,000	2011/10/11	2012/02/28	75.00
68065	UBS AG – Hutchison R Bull CBBC Apr 2012 B	100,000,000	2011/10/11	2012/04/30	25.00
68313	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 M	200,000,000	2011/10/12	2012/04/27	76.00
68316	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 N	200,000,000	2011/10/12	2012/04/27	70.00
68320	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 O	200,000,000	2011/10/12	2012/04/27	50.00
68323	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 P	200,000,000	2011/10/12	2012/04/27	50.00
68324	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Q	200,000,000	2011/10/12	2012/04/27	50.00
68325	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 R	200,000,000	2011/10/12	2012/04/27	50.00
68326	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2011/10/12	2012/04/27	50.00
68328	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	200,000,000	2011/10/12	2012/04/27	50.00
68336	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2011/10/12	2012/04/27	50.00
68312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 L	200,000,000	2011/10/12	2012/04/27	50.00
68282	Credit Suisse AG – HSI R Bull CBBC Apr 2012 H	400,000,000	2011/10/12	2012/04/27	100.00
68286	Credit Suisse AG – HSI R Bull CBBC Apr 2012 L	400,000,000	2011/10/12	2012/04/27	100.00
68291	Credit Suisse AG – HSI R Bull CBBC May 2012 V	400,000,000	2011/10/12	2012/05/30	100.00
68258	Credit Suisse AG – HSI R Bear CBBC Jan 2012 H	300,000,000	2011/10/12	2012/01/30	75.00
68246	Credit Suisse AG – HSI R Bear CBBC Jan 2012 I	400,000,000	2011/10/12	2012/01/30	100.00
68249	Credit Suisse AG – HSI R Bear CBBC Jan 2012 J	400,000,000	2011/10/12	2012/01/30	100.00
68233	Credit Suisse AG – HSI R Bear CBBC Jan 2012 K	400,000,000	2011/10/12	2012/01/30	100.00
68257	Credit Suisse AG – HSI R Bear CBBC Jan 2012 L	400,000,000	2011/10/12	2012/01/30	100.00
68259	Credit Suisse AG – HSI R Bear CBBC Jan 2012 X	300,000,000	2011/10/12	2012/01/30	75.00
68262	Credit Suisse AG – HSI R Bear CBBC Feb 2012 E	400,000,000	2011/10/12	2012/02/28	100.00
68279	Credit Suisse AG – HSI R Bear CBBC Feb 2012 K	300,000,000	2011/10/12	2012/02/28	75.00
68278	Credit Suisse AG – HSI R Bear CBBC Feb 2012 R	400,000,000	2011/10/12	2012/02/28	100.00
68280	Credit Suisse AG – HSI R Bear CBBC Feb 2012 S	300,000,000	2011/10/12	2012/02/28	75.00
68261	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Z	400,000,000	2011/10/12	2012/02/28	100.00
68217	Credit Suisse AG – TCH R Bull CBBC Feb 2012 I	80,000,000	2011/10/12	2012/02/28	32.00
68219	Credit Suisse AG – TCH R Bull CBBC Feb 2012 J	80,000,000	2011/10/12	2012/02/28	24.00
68224	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Jan 2012 P	108,000,000	2011/10/12	2012/01/30	27.00
68228	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Feb 2012 L	108,000,000	2011/10/12	2012/02/28	27.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68231	KBC Fin Products Int'l Ltd. – HSI R Bear CBBC Mar 2012 F	128,000,000	2011/10/12	2012/03/29	32.00
68177	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 C	150,000,000	2011/10/12	2012/04/27	37.50
68178	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 D	150,000,000	2011/10/12	2012/04/27	37.50
68182	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 E	150,000,000	2011/10/12	2012/04/27	37.50
68115	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 A	150,000,000	2011/10/12	2012/04/27	37.50
68170	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 B	150,000,000	2011/10/12	2012/04/27	37.50
68193	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12J	300,000,000	2011/10/12	2012/03/29	129.00
68194	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12X	300,000,000	2011/10/12	2012/03/29	121.50
68195	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Y	300,000,000	2011/10/12	2012/03/29	115.50
68196	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Z	300,000,000	2011/10/12	2012/03/29	75.00
68110	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12A	300,000,000	2011/10/12	2012/03/29	75.00
68112	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12B	300,000,000	2011/10/12	2012/03/29	75.00
68202	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12G	300,000,000	2011/10/12	2012/03/29	75.00
68209	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12H	300,000,000	2011/10/12	2012/03/29	75.00
68221	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12I	300,000,000	2011/10/12	2012/03/29	75.00
68223	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12K	300,000,000	2011/10/12	2012/03/29	75.00
68113	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12M	300,000,000	2011/10/12	2012/03/29	75.00
68096	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12V	300,000,000	2011/10/12	2012/03/29	75.00
68107	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12W	300,000,000	2011/10/12	2012/03/29	75.00
68415	UBS AG – AIA R Bull CBBC May 2012 B	100,000,000	2011/10/12	2012/05/28	33.00
68416	UBS AG – CC Bank R Bear CBBC May 2012 B	100,000,000	2011/10/12	2012/05/14	25.00
68402	UBS AG – Cheung Kong R Bull CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/02	25.00
68412	UBS AG – China Life R Bear CBBC May 2012 A	100,000,000	2011/10/12	2012/05/14	37.50
68417	UBS AG – CNOOC R Bull CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/30	25.00
68392	UBS AG – Sinopec Corp R Bull CBBC Apr 2012 A	100,000,000	2011/10/12	2012/04/23	25.00
68408	UBS AG – A50 China R Bull CBBC May 2012 D	100,000,000	2011/10/12	2012/05/28	25.00
68404	UBS AG – A50 China R Bear CBBC May 2012 C	100,000,000	2011/10/12	2012/05/14	25.00
68391	UBS AG – HKEx R Bull CBBC May 2012 A	100,000,000	2011/10/12	2012/05/21	25.00
68389	UBS AG – HKEx R Bear CBBC Mar 2012 C	100,000,000	2011/10/12	2012/03/12	25.00
68401	UBS AG – HSBC R Bull CBBC Jun 2012 A	100,000,000	2011/10/12	2012/06/11	25.00
68400	UBS AG – HSBC R Bear CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/16	25.00
68212	UBS AG – HSI R Bull CBBC Jan 2012 U	300,000,000	2011/10/12	2012/01/30	75.00
68220	UBS AG – HSI R Bull CBBC Mar 2012 F	300,000,000	2011/10/12	2012/03/29	75.00
68187	UBS AG – HSI R Bull CBBC Apr 2012 X	300,000,000	2011/10/12	2012/04/27	75.00
68213	UBS AG – HSI R Bull CBBC Apr 2012 Y	300,000,000	2011/10/12	2012/04/27	75.00
68214	UBS AG – HSI R Bull CBBC May 2012 P	300,000,000	2011/10/12	2012/05/30	75.00
68367	UBS AG – HSI R Bull CBBC May 2012 Q	300,000,000	2011/10/12	2012/05/30	75.00
68215	UBS AG – HSI R Bull CBBC Jun 2012 J	300,000,000	2011/10/12	2012/06/28	75.00
68368	UBS AG – HSI R Bull CBBC Jul 2012 D	300,000,000	2011/10/12	2012/07/30	150.00
68365	UBS AG – HSI R Bear CBBC Jan 2012 Y	300,000,000	2011/10/12	2012/01/30	75.00
68366	UBS AG – HSI R Bear CBBC Feb 2012 B	300,000,000	2011/10/12	2012/02/28	75.00
68362	UBS AG – HSI R Bear CBBC Mar 2012 E	300,000,000	2011/10/12	2012/03/29	75.00
68354	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/10/12	2012/03/29	75.00
68369	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2011/10/12	2012/04/27	75.00
68352	UBS AG – HSI R Bear CBBC Apr 2012 Z	300,000,000	2011/10/12	2012/04/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68399	UBS AG – Hutchison R Bear CBBC Apr 2012 C	100,000,000	2011/10/12	2012/04/23	25.00
68414	UBS AG – ICBC R Bull CBBC Apr 2012 C	100,000,000	2011/10/12	2012/04/30	25.00
68413	UBS AG – ICBC R Bear CBBC Apr 2012 B	100,000,000	2011/10/12	2012/04/30	25.00
68384	UBS AG – TCH R Bull CBBC May 2012 B	100,000,000	2011/10/12	2012/05/28	38.00
68370	UBS AG – TCH R Bear CBBC May 2012 A	100,000,000	2011/10/12	2012/05/21	26.50
68512	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 A	200,000,000	2011/10/13	2012/04/27	50.00
68513	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 C	200,000,000	2011/10/13	2012/04/27	50.00
68510	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 K	200,000,000	2011/10/13	2012/04/27	50.00
68511	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 W	200,000,000	2011/10/13	2012/04/27	50.00
68520	Credit Suisse AG – AIA R Bear CBBC Mar 2012 D	80,000,000	2011/10/13	2012/03/19	28.00
68517	Credit Suisse AG – CCB R Bull CBBC Apr 2012 A	80,000,000	2011/10/13	2012/04/23	20.00
68519	Credit Suisse AG – CNOOC R Bear CBBC Mar 2012 D	80,000,000	2011/10/13	2012/03/12	24.00
68518	Credit Suisse AG – A50 China R Bull CBBC Mar 2012 E	80,000,000	2011/10/13	2012/03/19	20.00
68524	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 F	80,000,000	2011/10/13	2012/03/19	20.00
68464	Credit Suisse AG – HSI R Bull CBBC Apr 2012 F	400,000,000	2011/10/13	2012/04/27	100.00
68466	Credit Suisse AG – HSI R Bull CBBC Apr 2012 G	400,000,000	2011/10/13	2012/04/27	100.00
68515	Credit Suisse AG – HSI R Bull CBBC Apr 2012 V	400,000,000	2011/10/13	2012/04/27	100.00
68467	Credit Suisse AG – HSI R Bull CBBC May 2012 W	400,000,000	2011/10/13	2012/05/30	100.00
68468	Credit Suisse AG – HSI R Bull CBBC May 2012 X	400,000,000	2011/10/13	2012/05/30	100.00
68516	Credit Suisse AG – HSI R Bull CBBC May 2012 Y	400,000,000	2011/10/13	2012/05/30	100.00
68456	Credit Suisse AG – HSI R Bear CBBC Jan 2012 B	400,000,000	2011/10/13	2012/01/30	100.00
68448	Credit Suisse AG – HSI R Bear CBBC Jan 2012 P	400,000,000	2011/10/13	2012/01/30	100.00
68454	Credit Suisse AG – HSI R Bear CBBC Jan 2012 U	400,000,000	2011/10/13	2012/01/30	100.00
68457	Credit Suisse AG – HSI R Bear CBBC Feb 2012 F	400,000,000	2011/10/13	2012/02/28	100.00
68458	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Q	400,000,000	2011/10/13	2012/02/28	100.00
68459	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/10/13	2012/04/27	75.00
68462	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 H	300,000,000	2011/10/13	2012/04/27	75.00
68463	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 I	300,000,000	2011/10/13	2012/04/27	75.00
68418	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 E	100,000,000	2011/10/13	2012/04/27	25.00
68446	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 I	100,000,000	2011/10/13	2012/04/27	25.00
68514	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 J	100,000,000	2011/10/13	2012/04/27	25.00
68421	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 F	100,000,000	2011/10/13	2012/04/27	25.00
68432	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 G	100,000,000	2011/10/13	2012/04/27	25.00
68433	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 H	100,000,000	2011/10/13	2012/04/27	27.00
68490	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 B	300,000,000	2011/10/13	2012/04/27	75.00
68502	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/10/13	2012/04/27	75.00
68503	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/10/13	2012/04/27	75.00
68479	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 H	300,000,000	2011/10/13	2012/04/27	75.00
68487	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 I	300,000,000	2011/10/13	2012/04/27	75.00
68471	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 X	300,000,000	2011/10/13	2012/04/27	75.00
68472	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 Y	300,000,000	2011/10/13	2012/04/27	75.00
68473	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 2012 Z	300,000,000	2011/10/13	2012/04/27	75.00
68469	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 2012 N	300,000,000	2011/10/13	2012/06/28	102.00
68470	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun 2012 O	300,000,000	2011/10/13	2012/06/28	115.50
68504	UBS AG – HSI R Bull CBBC Jan 2012 V	300,000,000	2011/10/13	2012/01/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68505	UBS AG – HSI R Bull CBBC Feb 2012 H	300,000,000	2011/10/13	2012/02/28	75.00
68509	UBS AG – HSI R Bull CBBC Mar 2012 I	300,000,000	2011/10/13	2012/03/29	75.00
68506	UBS AG – HSI R Bull CBBC Mar 2012 K	300,000,000	2011/10/13	2012/03/29	75.00
68508	UBS AG – HSI R Bull CBBC Apr 2012 G	300,000,000	2011/10/13	2012/04/27	75.00
68435	UBS AG – HSI R Bear CBBC Jan 2012 D	300,000,000	2011/10/13	2012/01/30	75.00
68440	UBS AG – HSI R Bear CBBC Feb 2012 C	300,000,000	2011/10/13	2012/02/28	75.00
68444	UBS AG – HSI R Bear CBBC Apr 2012 F	300,000,000	2011/10/13	2012/04/27	75.00
68560	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 B	200,000,000	2011/10/14	2012/04/27	50.00
68554	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 F	200,000,000	2011/10/14	2012/04/27	50.00
68561	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/10/14	2012/04/27	50.00
68553	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 V	200,000,000	2011/10/14	2012/04/27	50.00
68565	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Y	200,000,000	2011/10/14	2012/04/27	50.00
68540	Credit Suisse AG – HSI R Bull CBBC Apr 2012 E	400,000,000	2011/10/14	2012/04/27	100.00
68541	Credit Suisse AG – HSI R Bull CBBC Apr 2012 O	400,000,000	2011/10/14	2012/04/27	100.00
68546	Credit Suisse AG – HSI R Bull CBBC Apr 2012 P	400,000,000	2011/10/14	2012/04/27	100.00
68538	Credit Suisse AG – HSI R Bull CBBC Apr 2012 W	400,000,000	2011/10/14	2012/04/27	100.00
68539	Credit Suisse AG – HSI R Bull CBBC Apr 2012 X	400,000,000	2011/10/14	2012/04/27	100.00
68544	Credit Suisse AG – HSI R Bull CBBC May 2012 E	400,000,000	2011/10/14	2012/05/30	100.00
68545	Credit Suisse AG – HSI R Bull CBBC May 2012 F	400,000,000	2011/10/14	2012/05/30	100.00
68547	Credit Suisse AG – HSI R Bull CBBC May 2012 G	400,000,000	2011/10/14	2012/05/30	100.00
68542	Credit Suisse AG – HSI R Bull CBBC May 2012 Z	400,000,000	2011/10/14	2012/05/30	100.00
68531	Credit Suisse AG – HSI R Bear CBBC Jan 2012 Q	400,000,000	2011/10/14	2012/01/30	100.00
68533	Credit Suisse AG – HSI R Bear CBBC Jan 2012 T	400,000,000	2011/10/14	2012/01/30	100.00
68537	Credit Suisse AG – HSI R Bear CBBC Feb 2012 N	400,000,000	2011/10/14	2012/02/28	100.00
68536	Credit Suisse AG – HSI R Bear CBBC Feb 2012 P	400,000,000	2011/10/14	2012/02/28	100.00
68549	Credit Suisse AG – Hutchison R Bull CBBC Mar 2012 E	80,000,000	2011/10/14	2012/03/26	20.00
68552	Credit Suisse AG – Ping An R Bull CBBC Mar 2012 A	80,000,000	2011/10/14	2012/03/26	20.00
68550	Credit Suisse AG – TCH R Bull CBBC Feb 2012 K	80,000,000	2011/10/14	2012/02/28	28.00
68551	Credit Suisse AG – TCH R Bull CBBC Mar 2012 A	80,000,000	2011/10/14	2012/03/26	36.00
68571	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 G	108,000,000	2011/10/14	2012/03/29	27.00
68573	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 H	108,000,000	2011/10/14	2012/03/29	27.00
68577	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2012 C	128,000,000	2011/10/14	2012/04/27	32.00
68578	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Apr 2012 D	108,000,000	2011/10/14	2012/04/27	27.00
68530	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 K	100,000,000	2011/10/14	2012/04/27	25.00
68591	UBS AG – ABC R Bull CBBC May 2012 A	100,000,000	2011/10/14	2012/05/21	25.00
68592	UBS AG – AIA R Bull CBBC May 2012 C	100,000,000	2011/10/14	2012/05/14	33.00
68589	UBS AG – CC Bank R Bull CBBC May 2012 C	100,000,000	2011/10/14	2012/05/07	25.00
68594	UBS AG – A50 China R Bull CBBC Apr 2012 A	100,000,000	2011/10/14	2012/04/30	25.00
68581	UBS AG – HKEX R Bull CBBC May 2012 B	100,000,000	2011/10/14	2012/05/07	25.00
68566	UBS AG – HSI R Bull CBBC Feb 2012 F	300,000,000	2011/10/14	2012/02/28	75.00
68567	UBS AG – HSI R Bull CBBC Mar 2012 M	300,000,000	2011/10/14	2012/03/29	75.00
68568	UBS AG – HSI R Bull CBBC Jun 2012 K	300,000,000	2011/10/14	2012/06/28	75.00
68569	UBS AG – HSI R Bull CBBC Jul 2012 E	300,000,000	2011/10/14	2012/07/30	75.00
68527	UBS AG – HSI R Bear CBBC Jan 2012 Z	300,000,000	2011/10/14	2012/01/30	75.00
68528	UBS AG – HSI R Bear CBBC Mar 2012 J	300,000,000	2011/10/14	2012/03/29	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68579	UBS AG – Hutchison R Bull CBBC Apr 2012 D	100,000,000	2011/10/14	2012/04/23	25.00
68593	UBS AG – ICBC R Bull CBBC May 2012 A	100,000,000	2011/10/14	2012/05/07	25.00
68582	UBS AG – TCH R Bull CBBC Jun 2012 A	100,000,000	2011/10/14	2012/06/04	43.00
68608	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 V	200,000,000	2011/10/17	2012/05/30	50.00
68610	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 W	200,000,000	2011/10/17	2012/05/30	50.00
68613	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 X	200,000,000	2011/10/17	2012/05/30	50.00
68598	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 H	200,000,000	2011/10/17	2012/02/28	50.00
68599	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 I	200,000,000	2011/10/17	2012/02/28	50.00
68600	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 J	200,000,000	2011/10/17	2012/02/28	50.00
68601	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 K	200,000,000	2011/10/17	2012/02/28	50.00
68595	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 F	150,000,000	2011/10/17	2012/04/27	37.50
68596	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 G	150,000,000	2011/10/17	2012/04/27	37.50
68597	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 H	150,000,000	2011/10/17	2012/04/27	37.50
68604	UBS AG – HSI R Bull CBBC Feb 2012 E	300,000,000	2011/10/17	2012/02/28	75.00
68603	UBS AG – HSI R Bull CBBC Apr 2012 E	300,000,000	2011/10/17	2012/04/27	75.00
68628	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 J	200,000,000	2011/10/18	2012/05/30	50.00
68629	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 K	200,000,000	2011/10/18	2012/05/30	50.00
68630	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	200,000,000	2011/10/18	2012/05/30	50.00
68631	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	200,000,000	2011/10/18	2012/05/30	50.00
68621	Macquarie Bank Ltd. – HSCEI R Bull CBBC Apr 2012 D	300,000,000	2011/10/18	2012/04/27	75.00
68620	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 J	300,000,000	2011/10/18	2012/04/27	75.00
68624	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 K	300,000,000	2011/10/18	2012/04/27	75.00
68642	UBS AG – China Mobile R Bear CBBC Jun 2012 A	100,000,000	2011/10/18	2012/06/11	25.00
68636	UBS AG – CC Bank R Bull CBBC May 2012 D	100,000,000	2011/10/18	2012/05/21	25.00
68641	UBS AG – CC Bank R Bear CBBC May 2012 E	100,000,000	2011/10/18	2012/05/21	25.00
68638	UBS AG – China Life R Bull CBBC May 2012 B	100,000,000	2011/10/18	2012/05/21	28.50
68635	UBS AG – CNOOC R Bull CBBC May 2012 A	100,000,000	2011/10/18	2012/05/28	26.50
68633	UBS AG – HKEx R Bull CBBC May 2012 C	100,000,000	2011/10/18	2012/05/14	25.00
68640	UBS AG – HKEx R Bear CBBC May 2012 D	100,000,000	2011/10/18	2012/05/21	25.00
68625	UBS AG – HSI R Bull CBBC Jan 2012 A	300,000,000	2011/10/18	2012/01/30	75.00
68626	UBS AG – HSI R Bull CBBC Jan 2012 I	300,000,000	2011/10/18	2012/01/30	75.00
68627	UBS AG – HSI R Bull CBBC Mar 2012 R	300,000,000	2011/10/18	2012/03/29	75.00
68622	UBS AG – HSI R Bear CBBC Jan 2012 Q	300,000,000	2011/10/18	2012/01/30	75.00
68623	UBS AG – HSI R Bear CBBC Jan 2012 X	300,000,000	2011/10/18	2012/01/30	75.00
68632	UBS AG – Hutchison R Bull CBBC Jun 2012 A	100,000,000	2011/10/18	2012/06/04	25.00
68639	UBS AG – Hutchison R Bear CBBC Jun 2012 B	100,000,000	2011/10/18	2012/06/18	25.00
68643	UBS AG – ICBC R Bear CBBC Apr 2012 D	100,000,000	2011/10/18	2012/04/30	25.00
68637	UBS AG – Ping An R Bull CBBC Jun 2012 A	100,000,000	2011/10/18	2012/06/11	25.00
68644	UBS AG – Ping An R Bear CBBC Jun 2012 B	100,000,000	2011/10/18	2012/06/25	25.00
68634	UBS AG – TCH R Bull CBBC Jun 2012 B	100,000,000	2011/10/18	2012/06/25	30.50
68649	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 N	200,000,000	2011/10/19	2012/05/30	50.00
68650	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	200,000,000	2011/10/19	2012/05/30	50.00
68651	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	200,000,000	2011/10/19	2012/05/30	50.00
68652	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	200,000,000	2011/10/19	2012/05/30	50.00
68653	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	200,000,000	2011/10/19	2012/05/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68654	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	200,000,000	2011/10/19	2012/05/30	50.00
68647	CC Rabobank B.A. – HSI R Bull CBBC Apr 2012 I	150,000,000	2011/10/19	2012/04/27	37.50
68648	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 J	150,000,000	2011/10/19	2012/04/27	37.50
68659	UBS AG – A50 China R Bull CBBC May 2012 E	100,000,000	2011/10/19	2012/05/21	25.00
68655	UBS AG – HSI R Bull CBBC Jan 2012 B	300,000,000	2011/10/19	2012/01/30	75.00
68656	UBS AG – HSI R Bull CBBC Feb 2012 J	300,000,000	2011/10/19	2012/02/28	75.00
68658	UBS AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2011/10/19	2012/04/27	75.00
68645	UBS AG – HSI R Bear CBBC Apr 2012 D	300,000,000	2011/10/19	2012/04/27	75.00
68646	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2011/10/19	2012/05/30	75.00
68657	UBS AG – ICBC R Bull CBBC Apr 2012 E	100,000,000	2011/10/19	2012/04/16	25.00
68660	UBS AG – Ping An R Bear CBBC Jun 2012 C	100,000,000	2011/10/19	2012/06/18	25.00
68061#	UBS AG – CC Bank R Bull CBBC Apr 2012 C	100,000,000	2011/10/19	2012/04/02	13.10
68676	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/10/20	2012/04/27	50.00
68677	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	200,000,000	2011/10/20	2012/04/27	50.00
68675	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/10/20	2012/04/27	50.00
68678	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 L	200,000,000	2011/10/20	2012/02/28	50.00
68679	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 M	200,000,000	2011/10/20	2012/02/28	50.00
68665	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Feb 2012 M	108,000,000	2011/10/20	2012/02/28	27.00
68666	KBC Fin Products Int'l Ltd. – HSI R Bull CBBC Mar 2012 I	128,000,000	2011/10/20	2012/03/29	32.00
68662	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/10/20	2012/04/27	75.00
68663	Macquarie Bank Ltd. – HSI R Bear CBBC Apr 2012 M	300,000,000	2011/10/20	2012/04/27	75.00
68672	UBS AG – China Life R Bull CBBC Jun 2012 A	100,000,000	2011/10/20	2012/06/04	30.00
68674	UBS AG – China Life R Bear CBBC May 2012 C	100,000,000	2011/10/20	2012/05/28	32.50
68669	UBS AG – HSBC R Bull CBBC Jun 2012 B	100,000,000	2011/10/20	2012/06/04	25.00
68668	UBS AG – HSI R Bull CBBC Jan 2012 G	300,000,000	2011/10/20	2012/01/30	75.00
68667	UBS AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/10/20	2012/02/28	75.00
68661	UBS AG – HSI R Bear CBBC Jan 2012 L	300,000,000	2011/10/20	2012/01/30	75.00
68664	UBS AG – HSI R Bear CBBC Mar 2012 O	100,000,000	2011/10/20	2012/03/29	25.00
68670	UBS AG – Hutchison R Bull CBBC Jun 2012 C	100,000,000	2011/10/20	2012/06/18	25.00
68673	UBS AG – Hutchison R Bear CBBC Jun 2012 D	100,000,000	2011/10/20	2012/06/04	25.00
68671	UBS AG – Ping An R Bull CBBC Apr 2012 A	100,000,000	2011/10/20	2012/04/23	25.00
68683	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2011/10/21	2012/04/27	50.00
68682	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	200,000,000	2011/10/21	2012/04/27	50.00
68680	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 L	100,000,000	2011/10/21	2012/04/27	25.00
68681	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC Apr 2012 M	100,000,000	2011/10/21	2012/04/27	25.00
68684	UBS AG – HSI R Bull CBBC Jan 2012 N	300,000,000	2011/10/21	2012/01/30	75.00
68685	UBS AG – HSI R Bull CBBC Mar 2012 B	300,000,000	2011/10/21	2012/03/29	75.00
68686	UBS AG – HSI R Bear CBBC Jan 2012 O	300,000,000	2011/10/21	2012/01/30	75.00
68687	UBS AG – HSI R Bear CBBC Feb 2012 D	300,000,000	2011/10/21	2012/02/28	75.00
68715	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/10/24	2012/04/27	50.00
68694	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 R	200,000,000	2011/10/24	2012/02/28	50.00
68695	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 S	200,000,000	2011/10/24	2012/02/28	50.00
68696	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 T	200,000,000	2011/10/24	2012/02/28	50.00
68697	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 U	200,000,000	2011/10/24	2012/02/28	50.00
68690	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 N	200,000,000	2011/10/24	2012/02/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68691	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 O	200,000,000	2011/10/24	2012/02/28	50.00
68692	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 P	200,000,000	2011/10/24	2012/02/28	50.00
68693	J P Morgan SP BV – HSI R Bear CBBC Feb 2012 Q	200,000,000	2011/10/24	2012/02/28	50.00
68706	UBS AG – ABC R Bull CBBC May 2012 B	100,000,000	2011/10/24	2012/05/21	25.00
68707	UBS AG – AIA R Bull CBBC Apr 2012 B	100,000,000	2011/10/24	2012/04/30	29.00
68714	UBS AG – AIA R Bear CBBC May 2012 D	100,000,000	2011/10/24	2012/05/14	66.00
68710	UBS AG – BOCL R Bull CBBC Apr 2012 A	100,000,000	2011/10/24	2012/04/30	25.00
68705	UBS AG – China Mobile R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/28	25.00
68704	UBS AG – CC Bank R Bull CBBC Apr 2012 D	100,000,000	2011/10/24	2012/04/23	25.00
68698	UBS AG – Cheung Kong R Bull CBBC Jun 2012 A	100,000,000	2011/10/24	2012/06/11	25.00
68703	UBS AG – CNOOC R Bull CBBC May 2012 B	100,000,000	2011/10/24	2012/05/21	25.00
68713	UBS AG – CNOOC R Bear CBBC May 2012 C	100,000,000	2011/10/24	2012/05/28	30.00
68700	UBS AG – Sinopec Corp R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/07	25.00
68711	UBS AG – A50 China R Bull CBBC Jun 2012 A	100,000,000	2011/10/24	2012/06/04	25.00
68688	UBS AG – HSI R Bull CBBC Feb 2012 L	300,000,000	2011/10/24	2012/02/28	75.00
68716	UBS AG – HSI R Bull CBBC Mar 2012 L	300,000,000	2011/10/24	2012/03/29	75.00
68717	UBS AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/10/24	2012/03/29	75.00
68689	UBS AG – HSI R Bear CBBC Feb 2012 N	300,000,000	2011/10/24	2012/02/28	75.00
68708	UBS AG – ICBC R Bull CBBC Apr 2012 F	100,000,000	2011/10/24	2012/04/23	25.00
68702	UBS AG – Petrochina R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/07	25.00
68709	UBS AG – Ping An R Bull CBBC Jun 2012 D	100,000,000	2011/10/24	2012/06/18	25.00
68699	UBS AG – SHK Ppt R Bull CBBC May 2012 A	100,000,000	2011/10/24	2012/05/28	25.00
68701	UBS AG – TCH R Bull CBBC May 2012 C	100,000,000	2011/10/24	2012/05/21	25.00
68712	UBS AG – TCH R Bear CBBC Jun 2012 C	100,000,000	2011/10/24	2012/06/18	33.00
68719	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 J	200,000,000	2011/10/25	2012/03/29	50.00
68728	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 K	200,000,000	2011/10/25	2012/03/29	50.00
68720	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2011/10/25	2012/04/27	50.00
68722	UBS AG – China Mobile R Bull CBBC May 2012 B	100,000,000	2011/10/25	2012/05/21	25.00
68718	UBS AG – HSI R Bull CBBC Jan 2012 S	300,000,000	2011/10/25	2012/01/30	75.00
68723	UBS AG – HSI R Bull CBBC May 2012 S	300,000,000	2011/10/25	2012/05/30	75.00
68724	UBS AG – HSI R Bull CBBC Jun 2012 L	300,000,000	2011/10/25	2012/06/28	75.00
68725	UBS AG – HSI R Bear CBBC Jan 2012 Y	300,000,000	2011/10/25	2012/01/30	75.00
68726	UBS AG – HSI R Bear CBBC Mar 2012 X	300,000,000	2011/10/25	2012/03/29	75.00
68727	UBS AG – HSI R Bear CBBC Mar 2012 Y	300,000,000	2011/10/25	2012/03/29	75.00
68729	HK Bank – HSI R Bull CBBC Jun 2012 D	100,000,000	2011/10/26	2012/06/28	25.00
68730	HK Bank – HSI R Bull CBBC Jul 2012 C	100,000,000	2011/10/26	2012/07/30	25.00
68731	HK Bank – HSI R Bull CBBC Jun 2013 A	150,000,000	2011/10/26	2013/06/27	37.50
68732	HK Bank – HSI R Bear CBBC Jun 2012 E	100,000,000	2011/10/26	2012/06/28	25.00
68733	HK Bank – HSI R Bear CBBC Jul 2012 D	100,000,000	2011/10/26	2012/07/30	25.00
68734	HK Bank – HSI R Bear CBBC Aug 2012 C	150,000,000	2011/10/26	2012/08/30	37.50
68739	Macquarie Bank Ltd. – HSCEI R Bull CBBC Apr 2012 E	200,000,000	2011/10/26	2012/04/27	50.00
68738	Macquarie Bank Ltd. – HSI R Bull CBBC Apr 2012 N	300,000,000	2011/10/26	2012/04/27	75.00
68735	CC Rabobank B.A. – HSI R Bear CBBC Apr 2012 K	150,000,000	2011/10/26	2012/04/27	37.50
68740	UBS AG – HSI R Bull CBBC Feb 2012 P	300,000,000	2011/10/26	2012/02/28	75.00
68736	UBS AG – HSI R Bear CBBC Feb 2012 M	300,000,000	2011/10/26	2012/02/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68737	UBS AG – HSI R Bear CBBC Apr 2012 K	300,000,000	2011/10/26	2012/04/27	75.00
68744	HK Bank – CC Bank R Bull CBBC Dec 2012 A	80,000,000	2011/10/27	2012/12/18	20.00
68743	HK Bank – CNOOC R Bull CBBC Oct 2012 A	80,000,000	2011/10/27	2012/10/18	24.00
68745	HK Bank – A50 R Bull CBBC Jun 2012 D	100,000,000	2011/10/27	2012/06/26	25.00
68746	HK Bank – A50 R Bull CBBC Aug 2012 C	100,000,000	2011/10/27	2012/08/28	25.00
68747	HK Bank – A50 R Bear CBBC Jun 2012 E	100,000,000	2011/10/27	2012/06/26	25.00
68742	HK Bank – Hutchison R Bull CBBC Oct 2012 A	80,000,000	2011/10/27	2012/10/18	20.00
68750	UBS AG – China Life R Bull CBBC Jun 2012 B	100,000,000	2011/10/27	2012/06/04	37.50
68751	UBS AG – China Life R Bear CBBC May 2012 D	100,000,000	2011/10/27	2012/05/21	43.00
68748	UBS AG – HSI R Bull CBBC Feb 2012 V	300,000,000	2011/10/27	2012/02/28	75.00
68749	UBS AG – HSI R Bull CBBC Feb 2012 W	300,000,000	2011/10/27	2012/02/28	75.00
68741	UBS AG – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/10/27	2012/04/27	75.00
68752	UBS AG – HSI R Bear CBBC Jan 2012 D	300,000,000	2011/10/27	2012/01/30	75.00
68756	HK Bank – AIA R Bull CBBC Oct 2012 A	80,000,000	2011/10/28	2012/10/18	20.00
68758	HK Bank – AIA R Bear CBBC Jun 2012 A	80,000,000	2011/10/28	2012/06/18	20.00
68757	HK Bank – BOCL R Bull CBBC Jul 2012 A	80,000,000	2011/10/28	2012/07/18	20.00
68759	HK Bank – BOCL R Bear CBBC Jun 2012 A	80,000,000	2011/10/28	2012/06/18	20.00
68760	HK Bank – HSI R Bear CBBC Jun 2012 F	100,000,000	2011/10/28	2012/06/28	25.00
68755	HK Bank – Hutchison R Bull CBBC Jul 2012 A	80,000,000	2011/10/28	2012/07/18	20.00
68753	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 N	100,000,000	2011/10/28	2012/04/27	27.00
68754	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC Apr 2012 O	100,000,000	2011/10/28	2012/04/27	25.00
68761	UBS AG – HSI R Bull CBBC Mar 2012 S	300,000,000	2011/10/28	2012/03/29	75.00
68697#	J P Morgan SP BV – HSI R Bull CBBC Feb 2012 U	200,000,000	2011/10/28	2012/02/28	16.20
68776	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	200,000,000	2011/10/31	2012/04/27	50.00
68771	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	200,000,000	2011/10/31	2012/05/30	50.00
68772	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	200,000,000	2011/10/31	2012/05/30	50.00
68773	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	200,000,000	2011/10/31	2012/05/30	50.00
68774	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	200,000,000	2011/10/31	2012/05/30	50.00
68775	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	200,000,000	2011/10/31	2012/05/30	50.00
68770	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	200,000,000	2011/10/31	2012/05/30	50.00
68763	HK Bank – ABC R Bull CBBC Oct 2012 A	80,000,000	2011/10/31	2012/10/18	20.00
68766	HK Bank – ABC R Bear CBBC Oct 2012 B	80,000,000	2011/10/31	2012/10/18	20.00
68767	HK Bank – China Life R Bear CBBC Oct 2012 A	80,000,000	2011/10/31	2012/10/18	36.00
68762	HK Bank – HKEx R Bull CBBC Nov 2012 A	80,000,000	2011/10/31	2012/11/28	20.00
68765	HK Bank – HKEx R Bear CBBC Sep 2012 A	80,000,000	2011/10/31	2012/09/18	20.00
68764	HK Bank – SHK Ppt R Bear CBBC Dec 2012 A	80,000,000	2011/10/31	2012/12/28	20.00
68782	UBS AG – China Mobile R Bear CBBC May 2012 C	100,000,000	2011/10/31	2012/05/28	25.00
68779	UBS AG – HKEx R Bear CBBC Jun 2012 A	100,000,000	2011/10/31	2012/06/04	25.00
68778	UBS AG – HSBC R Bear CBBC Jun 2012 C	100,000,000	2011/10/31	2012/06/11	25.00
68783	UBS AG – HSI R Bull CBBC Feb 2012 O	300,000,000	2011/10/31	2012/02/28	75.00
68784	UBS AG – HSI R Bull CBBC Mar 2012 G	300,000,000	2011/10/31	2012/03/29	75.00
68785	UBS AG – HSI R Bull CBBC Apr 2012 S	300,000,000	2011/10/31	2012/04/27	75.00
68768	UBS AG – HSI R Bear CBBC Feb 2012 K	300,000,000	2011/10/31	2012/02/28	75.00
68769	UBS AG – HSI R Bear CBBC Mar 2012 E	300,000,000	2011/10/31	2012/03/29	75.00
68777	UBS AG – ICBC R Bull CBBC May 2012 B	100,000,000	2011/10/31	2012/05/28	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68781	UBS AG – TCH R Bear CBBC May 2012 D	100,000,000	2011/10/31	2012/05/28	25.00
68780	UBS AG – TCH R Bear CBBC Jun 2012 D	100,000,000	2011/10/31	2012/06/04	28.50
68792	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Sep 2012 B	100,000,000	2011/11/01	2012/09/27	25.00
68796	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/11/01	2012/04/27	50.00
68797	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 T	200,000,000	2011/11/01	2012/04/27	50.00
68787	HK Bank – HSI R Bull CBBC Aug 2012 D	100,000,000	2011/11/01	2012/08/30	25.00
68786	HK Bank – HSI R Bull CBBC Sep 2012 A	100,000,000	2011/11/01	2012/09/27	25.00
68789	HK Bank – ICBC R Bull CBBC Jul 2012 A	80,000,000	2011/11/01	2012/07/18	20.00
68788	HK Bank – PetCh R Bull CBBC Oct 2012 A	80,000,000	2011/11/01	2012/10/26	20.00
68790	HK Bank – PetCh R Bear CBBC Oct 2012 B	80,000,000	2011/11/01	2012/10/26	20.00
68793	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 A	200,000,000	2011/11/01	2012/03/29	50.00
68794	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 B	200,000,000	2011/11/01	2012/03/29	50.00
68795	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 C	200,000,000	2011/11/01	2012/03/29	50.00
68804	UBS AG – China Life R Bull CBBC May 2012 E	100,000,000	2011/11/01	2012/05/21	25.00
68803	UBS AG – China Life R Bull CBBC Jun 2012 C	100,000,000	2011/11/01	2012/06/25	30.50
68799	UBS AG – HSI R Bull CBBC Feb 2012 B	300,000,000	2011/11/01	2012/02/28	75.00
68798	UBS AG – HSI R Bull CBBC Feb 2012 Z	300,000,000	2011/11/01	2012/02/28	75.00
68800	UBS AG – HSI R Bull CBBC May 2012 T	300,000,000	2011/11/01	2012/05/30	75.00
68801	UBS AG – HSI R Bear CBBC Mar 2012 P	300,000,000	2011/11/01	2012/03/29	75.00
68791	UBS AG – HSI R Bear CBBC Apr 2012 H	300,000,000	2011/11/01	2012/04/27	75.00
68802	UBS AG – HSI R Bear CBBC Apr 2012 V	300,000,000	2011/11/01	2012/04/27	75.00
66857 [#]	HK Bank – HSI R Bear CBBC Jul 2012 B	150,000,000	2011/11/01	2012/07/30	22.50
68813	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 Z	200,000,000	2011/11/02	2012/04/27	50.00
68809	HK Bank – China Life R Bull CBBC Aug 2012 A	80,000,000	2011/11/02	2012/08/16	28.00
68808	HK Bank – China Shenhua R Bull CBBC Dec 2012 A	80,000,000	2011/11/02	2012/12/18	20.00
68811	HK Bank – China Shenhua R Bear CBBC Dec 2012 B	80,000,000	2011/11/02	2012/12/18	20.00
68807	HK Bank – TCH R Bull CBBC Aug 2012 A	80,000,000	2011/11/02	2012/08/16	32.00
68810	HK Bank – TCH R Bear CBBC Aug 2012 B	80,000,000	2011/11/02	2012/08/16	24.00
68805	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 A	100,000,000	2011/11/02	2012/05/30	25.00
68806	UBS AG – HSI R Bull CBBC Mar 2012 J	300,000,000	2011/11/02	2012/03/29	75.00
68814	UBS AG – HSI R Bull CBBC Mar 2012 R	300,000,000	2011/11/02	2012/03/29	75.00
68815	UBS AG – HSI R Bull CBBC Jun 2012 M	300,000,000	2011/11/02	2012/06/28	75.00
68812	UBS AG – HSI R Bear CBBC Feb 2012 C	300,000,000	2011/11/02	2012/02/28	75.00
68816	UBS AG – HSI R Bear CBBC Jun 2012 N	300,000,000	2011/11/02	2012/06/28	75.00
68817	UBS AG – HSI R Bear CBBC Jul 2012 F	300,000,000	2011/11/02	2012/07/30	75.00
68828	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 E	200,000,000	2011/11/03	2012/03/29	50.00
68826	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 L	200,000,000	2011/11/03	2012/03/29	50.00
68827	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 M	200,000,000	2011/11/03	2012/03/29	50.00
68832	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/11/03	2012/04/27	50.00
68829	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/11/03	2012/04/27	50.00
68831	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/11/03	2012/04/27	50.00
68830	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	200,000,000	2011/11/03	2012/04/27	50.00
68820	Macquarie Bank Ltd. – HSI R Bull CBBC May 2012 A	300,000,000	2011/11/03	2012/05/30	75.00
68821	Macquarie Bank Ltd. – HSI R Bull CBBC May 2012 B	300,000,000	2011/11/03	2012/05/30	75.00
68822	Macquarie Bank Ltd. – HSI R Bull CBBC May 2012 C	300,000,000	2011/11/03	2012/05/30	77.70

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68823	Macquarie Bank Ltd. – HSI R Bear CBBC May 2012 D	300,000,000	2011/11/03	2012/05/30	75.00
68818	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 B	100,000,000	2011/11/03	2012/05/30	25.00
68846	UBS AG – CC Bank R Bull CBBC May 2012 F	100,000,000	2011/11/03	2012/05/14	25.00
68851	UBS AG – CC Bank R Bear CBBC Jul 2012 A	100,000,000	2011/11/03	2012/07/16	25.00
68843	UBS AG – A50 China R Bull CBBC May 2012 F	100,000,000	2011/11/03	2012/05/14	25.00
68845	UBS AG – HKEx R Bull CBBC Jun 2012 B	100,000,000	2011/11/03	2012/06/11	25.00
68849	UBS AG – HKEx R Bear CBBC Jun 2012 C	100,000,000	2011/11/03	2012/06/18	35.00
68841	UBS AG – HSCEI R Bull CBBC Jun 2012 A	100,000,000	2011/11/03	2012/06/28	25.00
68842	UBS AG – HSCEI R Bear CBBC Jun 2012 B	100,000,000	2011/11/03	2012/06/28	25.00
68833	UBS AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/11/03	2012/02/28	75.00
68834	UBS AG – HSI R Bull CBBC Mar 2012 B	300,000,000	2011/11/03	2012/03/29	75.00
68835	UBS AG – HSI R Bull CBBC Mar 2012 L	300,000,000	2011/11/03	2012/03/29	75.00
68836	UBS AG – HSI R Bull CBBC Jun 2012 O	300,000,000	2011/11/03	2012/06/28	75.00
68837	UBS AG – HSI R Bear CBBC Feb 2012 J	300,000,000	2011/11/03	2012/02/28	75.00
68819	UBS AG – HSI R Bear CBBC Feb 2012 Q	300,000,000	2011/11/03	2012/02/28	75.00
68824	UBS AG – HSI R Bear CBBC Feb 2012 R	300,000,000	2011/11/03	2012/02/28	75.00
68825	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2011/11/03	2012/04/27	75.00
68838	UBS AG – HSI R Bear CBBC Apr 2012 Z	300,000,000	2011/11/03	2012/04/27	75.00
68839	UBS AG – HSI R Bear CBBC May 2012 U	300,000,000	2011/11/03	2012/05/30	78.00
68840	UBS AG – HSI R Bear CBBC May 2012 V	300,000,000	2011/11/03	2012/05/30	75.00
68847	UBS AG – ICBC R Bull CBBC Jul 2012 A	100,000,000	2011/11/03	2012/07/16	25.00
68852	UBS AG – ICBC R Bear CBBC Jul 2012 B	100,000,000	2011/11/03	2012/07/23	25.00
68844	UBS AG – Jiangxi Copper R Bull CBBC Jun 2012 A	100,000,000	2011/11/03	2012/06/11	60.00
68848	UBS AG – Jiangxi Copper R Bear CBBC Jun 2012 B	100,000,000	2011/11/03	2012/06/18	62.00
68850	UBS AG – TCH R Bear CBBC Jul 2012 A	100,000,000	2011/11/03	2012/07/16	32.00
68868	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 F	200,000,000	2011/11/04	2012/03/29	50.00
68871	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 G	200,000,000	2011/11/04	2012/03/29	50.00
68869	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 N	200,000,000	2011/11/04	2012/03/29	50.00
68870	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 O	200,000,000	2011/11/04	2012/03/29	50.00
68876	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 H	200,000,000	2011/11/04	2012/03/29	50.00
68877	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 I	200,000,000	2011/11/04	2012/03/29	50.00
68878	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 J	200,000,000	2011/11/04	2012/03/29	50.00
68879	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 K	200,000,000	2011/11/04	2012/03/29	50.00
68872	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 D	200,000,000	2011/11/04	2012/03/29	50.00
68873	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 E	200,000,000	2011/11/04	2012/03/29	50.00
68874	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 F	200,000,000	2011/11/04	2012/03/29	50.00
68875	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 G	200,000,000	2011/11/04	2012/03/29	50.00
68853	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 C	100,000,000	2011/11/04	2012/05/30	25.00
68862	UBS AG – China Life R Bull CBBC Jul 2012 A	100,000,000	2011/11/04	2012/07/16	28.00
68866	UBS AG – China Life R Bear CBBC Jul 2012 B	100,000,000	2011/11/04	2012/07/23	28.00
68867	UBS AG – China Life R Bear CBBC Sep 2012 A	100,000,000	2011/11/04	2012/09/17	58.00
68864	UBS AG – HKEx R Bear CBBC May 2012 E	100,000,000	2011/11/04	2012/05/21	36.00
68857	UBS AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/11/04	2012/02/28	75.00
68858	UBS AG – HSI R Bull CBBC Mar 2012 O	300,000,000	2011/11/04	2012/03/29	75.00
68859	UBS AG – HSI R Bull CBBC Jun 2012 P	300,000,000	2011/11/04	2012/06/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68854	UBS AG – HSI R Bear CBBC Feb 2012 D	300,000,000	2011/11/04	2012/02/28	75.00
68855	UBS AG – HSI R Bear CBBC Mar 2012 N	300,000,000	2011/11/04	2012/03/29	75.00
68856	UBS AG – HSI R Bear CBBC May 2012 W	300,000,000	2011/11/04	2012/05/30	75.00
68860	UBS AG – Hutchison R Bull CBBC Jul 2012 A	100,000,000	2011/11/04	2012/07/16	25.00
68863	UBS AG – Hutchison R Bear CBBC Jul 2012 B	100,000,000	2011/11/04	2012/07/23	25.00
68861	UBS AG – Ping An R Bull CBBC Jul 2012 A	100,000,000	2011/11/04	2012/07/16	25.00
68865	UBS AG – Ping An R Bear CBBC Jul 2012 B	100,000,000	2011/11/04	2012/07/23	25.00
68893	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 H	200,000,000	2011/11/07	2012/03/29	50.00
68894	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Q	200,000,000	2011/11/07	2012/03/29	50.00
68896	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 P	200,000,000	2011/11/07	2012/03/29	50.00
68895	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 R	200,000,000	2011/11/07	2012/03/29	50.00
68881	HK Bank – HSI R Bull CBBC Oct 2012 A	150,000,000	2011/11/07	2012/10/30	37.50
68882	HK Bank – HSI R Bull CBBC Oct 2012 B	150,000,000	2011/11/07	2012/10/30	37.50
68886	Macquarie Bank Ltd. – HSCEI R Bull CBBC May 2012 A	200,000,000	2011/11/07	2012/05/30	50.00
68887	Macquarie Bank Ltd. – HSCEI R Bear CBBC May 2012 B	200,000,000	2011/11/07	2012/05/30	50.00
68880	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 D	100,000,000	2011/11/07	2012/05/30	25.00
68897	UBS AG – China Life R Bull CBBC Jun 2012 D	100,000,000	2011/11/07	2012/06/18	25.00
68890	UBS AG – CNOOC R Bear CBBC Jun 2012 A	100,000,000	2011/11/07	2012/06/25	27.00
68883	UBS AG – HSI R Bull CBBC Feb 2012 M	300,000,000	2011/11/07	2012/02/28	75.00
68885	UBS AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/11/07	2012/04/27	75.00
68884	UBS AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/11/07	2012/04/27	75.00
68891	UBS AG – HSI R Bear CBBC Feb 2012 S	300,000,000	2011/11/07	2012/02/28	75.00
68892	UBS AG – HSI R Bear CBBC Apr 2012 M	300,000,000	2011/11/07	2012/04/27	75.00
68888	UBS AG – HSI R Bear CBBC Jun 2012 Q	300,000,000	2011/11/07	2012/06/28	75.00
68889	UBS AG – HSI R Bear CBBC Jul 2012 G	300,000,000	2011/11/07	2012/07/30	75.00
68960	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 S	200,000,000	2011/11/08	2012/03/29	50.00
68961	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 T	200,000,000	2011/11/08	2012/03/29	50.00
68962	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 U	200,000,000	2011/11/08	2012/03/29	50.00
68934	Credit Suisse AG – ABC R Bull CBBC Jul 2012 A	100,000,000	2011/11/08	2012/07/30	25.00
68947	Credit Suisse AG – AIA R Bull CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	32.00
68946	Credit Suisse AG – AIA R Bear CBBC Mar 2012 E	100,000,000	2011/11/08	2012/03/12	45.00
68935	Credit Suisse AG – China Mobile R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68942	Credit Suisse AG – CCB R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	25.00
68941	Credit Suisse AG – CCB R Bear CBBC Apr 2012 B	100,000,000	2011/11/08	2012/04/16	25.00
68949	Credit Suisse AG – China Life R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	31.00
68948	Credit Suisse AG – China Life R Bear CBBC Mar 2012 A	100,000,000	2011/11/08	2012/03/12	29.00
68940	Credit Suisse AG – CNOOC R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	31.00
68939	Credit Suisse AG – CNOOC R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68932	Credit Suisse AG – A50 China R Bull CBBC Mar 2012 G	100,000,000	2011/11/08	2012/03/12	25.00
68933	Credit Suisse AG – A50 China R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	25.00
68943	Credit Suisse AG – HKEx R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/14	25.00
68944	Credit Suisse AG – HKEx R Bull CBBC May 2012 B	100,000,000	2011/11/08	2012/05/14	25.00
68945	Credit Suisse AG – HKEx R Bull CBBC May 2012 C	100,000,000	2011/11/08	2012/05/14	30.00
68936	Credit Suisse AG – HSBC R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	25.00
68918	Credit Suisse AG – HSI R Bull CBBC Apr 2012 Q	400,000,000	2011/11/08	2012/04/27	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68921	Credit Suisse AG – HSI R Bull CBBC Apr 2012 R	400,000,000	2011/11/08	2012/04/27	100.00
68909	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2011/11/08	2012/05/30	100.00
68910	Credit Suisse AG – HSI R Bull CBBC May 2012 M	400,000,000	2011/11/08	2012/05/30	100.00
68911	Credit Suisse AG – HSI R Bull CBBC May 2012 N	400,000,000	2011/11/08	2012/05/30	100.00
68912	Credit Suisse AG – HSI R Bull CBBC Jun 2012 P	400,000,000	2011/11/08	2012/06/28	100.00
68914	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Q	400,000,000	2011/11/08	2012/06/28	100.00
68916	Credit Suisse AG – HSI R Bull CBBC Jun 2012 R	400,000,000	2011/11/08	2012/06/28	100.00
68919	Credit Suisse AG – HSI R Bull CBBC Jun 2012 S	400,000,000	2011/11/08	2012/06/28	100.00
68922	Credit Suisse AG – HSI R Bull CBBC Jun 2012 T	400,000,000	2011/11/08	2012/06/28	100.00
68924	Credit Suisse AG – HSI R Bull CBBC Jun 2012 U	400,000,000	2011/11/08	2012/06/28	100.00
68913	Credit Suisse AG – HSI R Bull CBBC Jul 2012 J	400,000,000	2011/11/08	2012/07/30	100.00
68915	Credit Suisse AG – HSI R Bull CBBC Jul 2012 K	400,000,000	2011/11/08	2012/07/30	100.00
68917	Credit Suisse AG – HSI R Bull CBBC Jul 2012 L	400,000,000	2011/11/08	2012/07/30	100.00
68920	Credit Suisse AG – HSI R Bull CBBC Jul 2012 M	400,000,000	2011/11/08	2012/07/30	100.00
68923	Credit Suisse AG – HSI R Bull CBBC Jul 2012 N	400,000,000	2011/11/08	2012/07/30	100.00
68925	Credit Suisse AG – HSI R Bull CBBC Jul 2012 O	400,000,000	2011/11/08	2012/07/30	100.00
68906	Credit Suisse AG – HSI R Bull CBBC Aug 2012 G	400,000,000	2011/11/08	2012/08/30	100.00
68908	Credit Suisse AG – HSI R Bull CBBC Aug 2012 H	400,000,000	2011/11/08	2012/08/30	100.00
68905	Credit Suisse AG – HSI R Bull CBBC Sep 2012 A	400,000,000	2011/11/08	2012/09/27	100.00
68907	Credit Suisse AG – HSI R Bull CBBC Sep 2012 B	400,000,000	2011/11/08	2012/09/27	100.00
68958	Credit Suisse AG – HSI R Bear CBBC Feb 2012 A	400,000,000	2011/11/08	2012/02/28	100.00
68959	Credit Suisse AG – HSI R Bear CBBC Feb 2012 B	400,000,000	2011/11/08	2012/02/28	100.00
68904	Credit Suisse AG – HSI R Bear CBBC Feb 2012 L	400,000,000	2011/11/08	2012/02/28	100.00
68901	Credit Suisse AG – HSI R Bear CBBC Feb 2012 M	400,000,000	2011/11/08	2012/02/28	100.00
68902	Credit Suisse AG – HSI R Bear CBBC Feb 2012 V	400,000,000	2011/11/08	2012/02/28	100.00
68957	Credit Suisse AG – HSI R Bear CBBC Mar 2012 V	400,000,000	2011/11/08	2012/03/29	100.00
68903	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Z	400,000,000	2011/11/08	2012/03/29	100.00
68938	Credit Suisse AG – Hutchison R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	25.00
68937	Credit Suisse AG – Hutchison R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68930	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 A	100,000,000	2011/11/08	2012/06/18	25.00
68931	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 B	100,000,000	2011/11/08	2012/06/18	25.00
68929	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 A	100,000,000	2011/11/08	2012/04/16	25.00
68951	Credit Suisse AG – TCH R Bull CBBC May 2012 A	100,000,000	2011/11/08	2012/05/28	33.00
68952	Credit Suisse AG – TCH R Bull CBBC May 2012 B	100,000,000	2011/11/08	2012/05/28	25.00
68950	Credit Suisse AG – TCH R Bear CBBC Mar 2012 B	100,000,000	2011/11/08	2012/03/12	25.00
68898	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 A	128,000,000	2011/11/08	2012/03/29	32.00
68899	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 B	180,000,000	2011/11/08	2012/03/29	45.00
68900	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 C	128,000,000	2011/11/08	2012/03/29	32.00
68927	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 D	128,000,000	2011/11/08	2012/03/29	32.00
68928	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 A	128,000,000	2011/11/08	2012/04/27	32.00
68926	UBS AG – HSI R Bull CBBC Apr 2012 K	300,000,000	2011/11/08	2012/04/27	75.00
68953	UBS AG – HSI R Bear CBBC Mar 2012 X	300,000,000	2011/11/08	2012/03/29	75.00
68955	UBS AG – HSI R Bear CBBC Apr 2012 I	300,000,000	2011/11/08	2012/04/27	75.00
68956	UBS AG – HSI R Bear CBBC Jun 2012 R	300,000,000	2011/11/08	2012/06/28	75.00
68704#	UBS AG – CC Bank R Bull CBBC Apr 2012 D	100,000,000	2011/11/08	2012/04/23	11.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68990	Credit Suisse AG – HSI R Bull CBBC Mar 2012 H	400,000,000	2011/11/09	2012/03/29	100.00
68988	Credit Suisse AG – HSI R Bull CBBC Apr 2012 N	400,000,000	2011/11/09	2012/04/27	100.00
68986	Credit Suisse AG – HSI R Bull CBBC May 2012 B	400,000,000	2011/11/09	2012/05/30	100.00
68989	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	400,000,000	2011/11/09	2012/06/28	100.00
68965	Credit Suisse AG – HSI R Bear CBBC Feb 2012 H	400,000,000	2011/11/09	2012/02/28	100.00
68967	Credit Suisse AG – HSI R Bear CBBC Feb 2012 O	400,000,000	2011/11/09	2012/02/28	100.00
68963	Credit Suisse AG – HSI R Bear CBBC Mar 2012 B	400,000,000	2011/11/09	2012/03/29	100.00
68966	Credit Suisse AG – HSI R Bear CBBC Mar 2012 D	400,000,000	2011/11/09	2012/03/29	100.00
68975	UBS AG – ABC R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/16	25.00
68976	UBS AG – AIA R Bull CBBC Jun 2012 A	100,000,000	2011/11/09	2012/06/25	25.00
68979	UBS AG – BOCL R Bull CBBC Aug 2012 A	100,000,000	2011/11/09	2012/08/20	25.00
68983	UBS AG – Cheung Kong R Bear CBBC Jun 2012 B	100,000,000	2011/11/09	2012/06/18	25.00
68977	UBS AG – China Life R Bull CBBC Aug 2012 A	100,000,000	2011/11/09	2012/08/13	25.00
68978	UBS AG – China Life R Bull CBBC Aug 2012 B	100,000,000	2011/11/09	2012/08/20	29.00
68985	UBS AG – China Life R Bear CBBC Aug 2012 C	100,000,000	2011/11/09	2012/08/06	25.00
68981	UBS AG – CNOOC R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/16	25.00
68982	UBS AG – CNOOC R Bear CBBC Jul 2012 B	100,000,000	2011/11/09	2012/07/23	25.00
68994	UBS AG – HKEEx R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/23	25.00
68968	UBS AG – HSI R Bull CBBC Feb 2012 K	300,000,000	2011/11/09	2012/02/28	75.00
68991	UBS AG – HSI R Bull CBBC Mar 2012 T	300,000,000	2011/11/09	2012/03/29	75.00
68970	UBS AG – HSI R Bull CBBC Apr 2012 N	300,000,000	2011/11/09	2012/04/27	75.00
68992	UBS AG – HSI R Bull CBBC May 2012 A	300,000,000	2011/11/09	2012/05/30	75.00
68969	UBS AG – HSI R Bull CBBC May 2012 X	300,000,000	2011/11/09	2012/05/30	75.00
68971	UBS AG – HSI R Bull CBBC May 2012 Y	300,000,000	2011/11/09	2012/05/30	75.00
68972	UBS AG – HSI R Bear CBBC Feb 2012 C	300,000,000	2011/11/09	2012/02/28	75.00
68974	UBS AG – HSI R Bear CBBC Mar 2012 Y	300,000,000	2011/11/09	2012/03/29	75.00
68973	UBS AG – HSI R Bear CBBC May 2012 Z	300,000,000	2011/11/09	2012/05/30	75.00
68980	UBS AG – Petrochina R Bull CBBC Jul 2012 A	100,000,000	2011/11/09	2012/07/23	25.00
68984	UBS AG – SHK Ppt R Bear CBBC Jun 2012 A	100,000,000	2011/11/09	2012/06/25	25.00
68993	UBS AG – TCH R Bull CBBC Apr 2012 C	100,000,000	2011/11/09	2012/04/23	25.00
69005	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 V	200,000,000	2011/11/10	2012/03/29	50.00
69003	Credit Suisse AG – China Life R Bull CBBC Jun 2012 A	100,000,000	2011/11/10	2012/06/18	32.00
69002	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 H	100,000,000	2011/11/10	2012/03/12	25.00
68998	Credit Suisse AG – HSI R Bull CBBC May 2012 C	400,000,000	2011/11/10	2012/05/30	100.00
69000	Credit Suisse AG – HSI R Bull CBBC May 2012 D	400,000,000	2011/11/10	2012/05/30	100.00
68999	Credit Suisse AG – HSI R Bull CBBC Jun 2012 W	400,000,000	2011/11/10	2012/06/28	100.00
69001	Credit Suisse AG – TCH R Bear CBBC Mar 2012 C	100,000,000	2011/11/10	2012/03/19	25.00
69013	UBS AG – A50 China R Bull CBBC Jun 2012 B	100,000,000	2011/11/10	2012/06/25	25.00
69014	UBS AG – HKEEx R Bull CBBC Jun 2012 D	100,000,000	2011/11/10	2012/06/25	25.00
68995	UBS AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/11/10	2012/02/28	75.00
69004	UBS AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/11/10	2012/03/29	75.00
68996	UBS AG – HSI R Bull CBBC Mar 2012 Q	300,000,000	2011/11/10	2012/03/29	75.00
68997	UBS AG – HSI R Bull CBBC Mar 2012 U	300,000,000	2011/11/10	2012/03/29	75.00
69007	UBS AG – HSI R Bull CBBC Jun 2012 S	300,000,000	2011/11/10	2012/06/28	75.00
69008	UBS AG – HSI R Bull CBBC Jun 2012 T	300,000,000	2011/11/10	2012/06/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69009	UBS AG – HSI R Bull CBBC Jul 2012 H	300,000,000	2011/11/10	2012/07/30	75.00
69010	UBS AG – HSI R Bull CBBC Jul 2012 I	300,000,000	2011/11/10	2012/07/30	75.00
69011	UBS AG – HSI R Bull CBBC Aug 2012 D	300,000,000	2011/11/10	2012/08/30	75.00
69012	UBS AG – HSI R Bull CBBC Aug 2012 E	300,000,000	2011/11/10	2012/08/30	75.00
69006	UBS AG – HSI R Bear CBBC Feb 2012 Q	300,000,000	2011/11/10	2012/02/28	75.00
69015	UBS AG – Hutchison R Bull CBBC Aug 2012 A	100,000,000	2011/11/10	2012/08/13	25.00
69040	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 X	200,000,000	2011/11/11	2012/03/29	50.00
69041	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Y	200,000,000	2011/11/11	2012/03/29	50.00
69042	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 Z	200,000,000	2011/11/11	2012/03/29	50.00
69039	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 W	200,000,000	2011/11/11	2012/03/29	50.00
69025	Credit Suisse AG – China Life R Bull CBBC Jun 2012 B	100,000,000	2011/11/11	2012/06/18	32.00
69024	Credit Suisse AG – China Life R Bear CBBC Mar 2012 B	100,000,000	2011/11/11	2012/03/12	28.00
69026	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 C	100,000,000	2011/11/11	2012/06/18	25.00
69027	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 E	180,000,000	2011/11/11	2012/03/29	45.00
69028	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 F	128,000,000	2011/11/11	2012/03/29	32.00
69029	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 B	108,000,000	2011/11/11	2012/04/27	27.00
69030	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 C	128,000,000	2011/11/11	2012/04/27	32.00
69031	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 A	128,000,000	2011/11/11	2012/05/30	32.00
69032	UBS AG – CC Bank R Bear CBBC May 2012 G	100,000,000	2011/11/11	2012/05/28	25.00
69021	UBS AG – China Life R Bull CBBC Jul 2012 C	100,000,000	2011/11/11	2012/07/23	25.00
69019	UBS AG – China Life R Bear CBBC May 2012 F	100,000,000	2011/11/11	2012/05/28	58.00
69020	UBS AG – China Life R Bear CBBC May 2012 G	100,000,000	2011/11/11	2012/05/28	38.00
69017	UBS AG – A50 China R Bear CBBC Jul 2012 A	100,000,000	2011/11/11	2012/07/30	25.00
69018	UBS AG – A50 China R Bear CBBC Jul 2012 B	100,000,000	2011/11/11	2012/07/09	25.00
69016	UBS AG – A50 China R Bear CBBC Aug 2012 A	100,000,000	2011/11/11	2012/08/20	28.00
69034	UBS AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/11/11	2012/02/28	75.00
69037	UBS AG – HSI R Bull CBBC Mar 2012 O	300,000,000	2011/11/11	2012/03/29	75.00
69022	UBS AG – HSI R Bear CBBC Feb 2012 R	300,000,000	2011/11/11	2012/02/28	75.00
69035	UBS AG – HSI R Bear CBBC Mar 2012 B	300,000,000	2011/11/11	2012/03/29	75.00
69036	UBS AG – HSI R Bear CBBC Mar 2012 L	300,000,000	2011/11/11	2012/03/29	75.00
69023	UBS AG – HSI R Bear CBBC Apr 2012 T	300,000,000	2011/11/11	2012/04/27	75.00
69043	UBS AG – HSI R Bear CBBC May 2012 F	300,000,000	2011/11/11	2012/05/30	75.00
69038	UBS AG – HSI R Bear CBBC Jun 2012 U	300,000,000	2011/11/11	2012/06/28	75.00
69033	UBS AG – Hutchison R Bull CBBC Aug 2012 B	100,000,000	2011/11/11	2012/08/20	25.00
68632 [#]	UBS AG – Hutchison R Bull CBBC Jun 2012 A	100,000,000	2011/11/11	2012/06/04	18.10
69047	Credit Suisse AG – AIA R Bull CBBC May 2012 A	100,000,000	2011/11/14	2012/05/21	25.00
69053	Credit Suisse AG – CCB R Bull CBBC May 2012 B	100,000,000	2011/11/14	2012/05/21	25.00
69048	Credit Suisse AG – CCB R Bear CBBC Apr 2012 C	100,000,000	2011/11/14	2012/04/16	25.00
69052	Credit Suisse AG – China Life R Bull CBBC Jun 2012 C	100,000,000	2011/11/14	2012/06/18	25.00
69045	Credit Suisse AG – China Life R Bear CBBC Mar 2012 C	100,000,000	2011/11/14	2012/03/26	50.00
69046	Credit Suisse AG – China Life R Bear CBBC Mar 2012 D	100,000,000	2011/11/14	2012/03/26	40.00
69049	Credit Suisse AG – CNOOC R Bull CBBC Jun 2012 B	100,000,000	2011/11/14	2012/06/18	25.00
69044	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 I	100,000,000	2011/11/14	2012/03/26	25.00
69054	Credit Suisse AG – Hutchison R Bull CBBC Jun 2012 B	100,000,000	2011/11/14	2012/06/18	25.00
69050	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 B	100,000,000	2011/11/14	2012/04/16	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69051	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 C	100,000,000	2011/11/14	2012/04/16	25.00
69058	UBS AG – COVS R Bull CBBC Aug 2012 A	100,000,000	2011/11/14	2012/08/13	25.00
69057	UBS AG – COVS R Bear CBBC Apr 2012 A	100,000,000	2011/11/14	2012/04/23	27.00
69056	UBS AG – China Life R Bull CBBC Jul 2012 D	100,000,000	2011/11/14	2012/07/30	26.00
69055	UBS AG – China Life R Bear CBBC Mar 2012 D	100,000,000	2011/11/14	2012/03/26	65.00
69059	UBS AG – Sinopec Corp R Bull CBBC Jul 2012 A	100,000,000	2011/11/14	2012/07/23	25.00
69060	UBS AG – HSI R Bear CBBC Feb 2012 D	300,000,000	2011/11/14	2012/02/28	75.00
69064	Credit Suisse AG – China Life R Bear CBBC Apr 2012 A	100,000,000	2011/11/15	2012/04/23	65.00
69076	Credit Suisse AG – HSI R Bear CBBC Mar 2012 C	400,000,000	2011/11/15	2012/03/29	100.00
69063	Credit Suisse AG – TCH R Bear CBBC Mar 2012 D	100,000,000	2011/11/15	2012/03/19	25.00
69066	Daiwa Capital Mkt – A50 China R Bull CBBC Apr 2012 B	80,000,000	2011/11/15	2012/04/18	20.00
69067	Daiwa Capital Mkt – A50 China R Bull CBBC May 2012 A	80,000,000	2011/11/15	2012/05/15	20.00
69069	Daiwa Capital Mkt – A50 China R Bull CBBC Jun 2012 A	80,000,000	2011/11/15	2012/06/01	20.00
69065	Daiwa Capital Mkt – A50 China R Bear CBBC Apr 2012 A	80,000,000	2011/11/15	2012/04/02	20.00
69068	Daiwa Capital Mkt – A50 China R Bear CBBC May 2012 B	80,000,000	2011/11/15	2012/05/18	20.00
69070	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 L	200,000,000	2011/11/15	2012/03/29	50.00
69071	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 M	200,000,000	2011/11/15	2012/03/29	50.00
69072	J P Morgan SP BV – HSI R Bull CBBC Mar 2012 N	200,000,000	2011/11/15	2012/03/29	50.00
69073	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 O	200,000,000	2011/11/15	2012/03/29	50.00
69074	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 P	200,000,000	2011/11/15	2012/03/29	50.00
69075	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 Q	200,000,000	2011/11/15	2012/03/29	50.00
69077	UBS AG – Sinopec Corp R Bear CBBC Apr 2012 B	100,000,000	2011/11/15	2012/04/16	25.00
69062	UBS AG – HSI R Bear CBBC Apr 2012 H	300,000,000	2011/11/15	2012/04/27	75.00
69061	UBS AG – TCH R Bear CBBC Apr 2012 D	100,000,000	2011/11/15	2012/04/16	25.00
69120	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 A	200,000,000	2011/11/16	2012/03/29	50.00
69121	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 B	200,000,000	2011/11/16	2012/03/29	50.00
69122	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 C	200,000,000	2011/11/16	2012/03/29	50.00
69119	Credit Suisse AG – CNOOC R Bear CBBC Apr 2012 B	100,000,000	2011/11/16	2012/04/16	25.00
69117	Credit Suisse AG – HSI R Bull CBBC May 2012 O	400,000,000	2011/11/16	2012/05/30	100.00
69118	Credit Suisse AG – HSI R Bull CBBC Jun 2012 X	400,000,000	2011/11/16	2012/06/28	100.00
69083	Credit Suisse AG – HSI R Bear CBBC Mar 2012 P	400,000,000	2011/11/16	2012/03/29	100.00
69123	Daiwa Capital Mkt – HSCEI R Bull CBBC Apr 2012 A	98,000,000	2011/11/16	2012/04/27	24.50
69124	Daiwa Capital Mkt – HSCEI R Bull CBBC May 2012 A	98,000,000	2011/11/16	2012/05/30	24.50
69125	Daiwa Capital Mkt – HSCEI R Bull CBBC May 2012 B	98,000,000	2011/11/16	2012/05/30	24.50
69126	Daiwa Capital Mkt – HSCEI R Bear CBBC Apr 2012 B	98,000,000	2011/11/16	2012/04/27	24.50
69127	Daiwa Capital Mkt – HSCEI R Bear CBBC May 2012 C	98,000,000	2011/11/16	2012/05/30	24.50
69128	Daiwa Capital Mkt – HSCEI R Bear CBBC May 2012 D	98,000,000	2011/11/16	2012/05/30	24.50
69129	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 G	128,000,000	2011/11/16	2012/03/29	32.00
69130	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 D	128,000,000	2011/11/16	2012/04/27	32.00
69131	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 E	180,000,000	2011/11/16	2012/04/27	45.00
69132	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 F	128,000,000	2011/11/16	2012/04/27	32.00
69133	Daiwa Capital Mkt – HSI R Bear CBBC May 2012 B	180,000,000	2011/11/16	2012/05/30	45.00
69079	HK Bank – Sinopec Corp R Bull CBBC Oct 2012 A	80,000,000	2011/11/16	2012/10/18	20.00
69078	HK Bank – Sinopec Corp R Bear CBBC Apr 2012 A	80,000,000	2011/11/16	2012/04/18	20.00
69081	HK Bank – A50 R Bull CBBC Jul 2012 B	100,000,000	2011/11/16	2012/07/26	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69080	HK Bank – A50 R Bear CBBC May 2012 A	100,000,000	2011/11/16	2012/05/30	25.00
69108	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12A	300,000,000	2011/11/16	2012/03/29	75.00
69109	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12B	300,000,000	2011/11/16	2012/03/29	75.00
69099	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12C	300,000,000	2011/11/16	2012/03/29	75.00
69100	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12D	300,000,000	2011/11/16	2012/03/29	75.00
69101	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12E	300,000,000	2011/11/16	2012/03/29	75.00
69102	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12F	300,000,000	2011/11/16	2012/03/29	75.00
69110	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12G	300,000,000	2011/11/16	2012/03/29	75.00
69111	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12H	300,000,000	2011/11/16	2012/03/29	75.00
69112	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12I	300,000,000	2011/11/16	2012/03/29	75.00
69113	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12K	300,000,000	2011/11/16	2012/03/29	75.00
69095	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12L	300,000,000	2011/11/16	2012/03/29	75.00
69103	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12N	300,000,000	2011/11/16	2012/03/29	75.00
69104	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12O	300,000,000	2011/11/16	2012/03/29	75.00
69105	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12P	300,000,000	2011/11/16	2012/03/29	75.00
69106	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Q	300,000,000	2011/11/16	2012/03/29	75.00
69096	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12R	300,000,000	2011/11/16	2012/03/29	81.00
69097	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12S	300,000,000	2011/11/16	2012/03/29	78.00
69098	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12T	300,000,000	2011/11/16	2012/03/29	75.00
69107	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12U	300,000,000	2011/11/16	2012/03/29	75.00
69089	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12A	300,000,000	2011/11/16	2012/04/27	91.50
69084	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12G	300,000,000	2011/11/16	2012/04/27	127.50
69090	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12J	300,000,000	2011/11/16	2012/04/27	106.50
69085	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12K	300,000,000	2011/11/16	2012/04/27	124.50
69091	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12L	300,000,000	2011/11/16	2012/04/27	103.50
69092	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12M	300,000,000	2011/11/16	2012/04/27	82.50
69093	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12O	300,000,000	2011/11/16	2012/04/27	96.00
69094	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12P	300,000,000	2011/11/16	2012/04/27	93.00
69086	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12Q	300,000,000	2011/11/16	2012/04/27	100.50
69087	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12V	300,000,000	2011/11/16	2012/04/27	117.00
69088	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Apr 12W	300,000,000	2011/11/16	2012/04/27	114.00
69115	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12M	300,000,000	2011/11/16	2012/03/29	75.00
69116	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12V	300,000,000	2011/11/16	2012/03/29	75.00
69134	UBS AG – China Life R Bull CBBC Aug 2012 D	100,000,000	2011/11/16	2012/08/27	25.00
69135	UBS AG – HSI R Bull CBBC Mar 2012 X	300,000,000	2011/11/16	2012/03/29	75.00
69082	UBS AG – HSI R Bear CBBC Feb 2012 S	300,000,000	2011/11/16	2012/02/28	75.00
69194	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 D	200,000,000	2011/11/17	2012/03/29	50.00
69198	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 E	200,000,000	2011/11/17	2012/03/29	50.00
69195	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 I	200,000,000	2011/11/17	2012/03/29	50.00
69196	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 J	200,000,000	2011/11/17	2012/03/29	50.00
69197	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 K	200,000,000	2011/11/17	2012/03/29	50.00
69152	Credit Suisse AG – China Life R Bear CBBC Mar 2012 E	100,000,000	2011/11/17	2012/03/26	25.00
69173	Credit Suisse AG – Sinopec Corp R Bull CBBC Jul 2012 A	100,000,000	2011/11/17	2012/07/03	25.00
69172	Credit Suisse AG – Sinopec Corp R Bear CBBC Feb 2012 A	100,000,000	2011/11/17	2012/02/27	25.00
69151	Credit Suisse AG – HSBC R Bear CBBC Apr 2012 A	100,000,000	2011/11/17	2012/04/23	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69156	Credit Suisse AG – HSI R Bull CBBC Mar 2012 A	400,000,000	2011/11/17	2012/03/29	100.00
69158	Credit Suisse AG – HSI R Bull CBBC Mar 2012 E	400,000,000	2011/11/17	2012/03/29	100.00
69157	Credit Suisse AG – HSI R Bull CBBC May 2012 P	400,000,000	2011/11/17	2012/05/30	100.00
69155	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Y	400,000,000	2011/11/17	2012/06/28	100.00
69153	Credit Suisse AG – HSI R Bear CBBC Feb 2012 C	400,000,000	2011/11/17	2012/02/28	100.00
69154	Credit Suisse AG – HSI R Bear CBBC Feb 2012 D	400,000,000	2011/11/17	2012/02/28	100.00
69182	Credit Suisse AG – HSI R Bear CBBC Feb 2012 E	400,000,000	2011/11/17	2012/02/28	100.00
69168	Credit Suisse AG – HSI R Bear CBBC Feb 2012 G	400,000,000	2011/11/17	2012/02/28	100.00
69169	Credit Suisse AG – HSI R Bear CBBC Feb 2012 T	400,000,000	2011/11/17	2012/02/28	100.00
69170	Credit Suisse AG – HSI R Bear CBBC Feb 2012 U	400,000,000	2011/11/17	2012/02/28	100.00
69171	Credit Suisse AG – HSI R Bear CBBC Feb 2012 X	400,000,000	2011/11/17	2012/02/28	100.00
69150	Credit Suisse AG – TCH R Bear CBBC Apr 2012 A	100,000,000	2011/11/17	2012/04/23	25.00
69177	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 G	180,000,000	2011/11/17	2012/04/27	45.00
69178	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 C	128,000,000	2011/11/17	2012/05/30	38.40
69179	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 D	128,000,000	2011/11/17	2012/05/30	32.00
69180	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 E	128,000,000	2011/11/17	2012/05/30	32.00
69181	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 F	128,000,000	2011/11/17	2012/05/30	32.00
69189	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 H	128,000,000	2011/11/17	2012/03/29	32.00
69190	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 I	128,000,000	2011/11/17	2012/03/29	32.00
69191	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 J	180,000,000	2011/11/17	2012/03/29	45.00
69192	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 H	128,000,000	2011/11/17	2012/04/27	32.00
69193	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 I	128,000,000	2011/11/17	2012/04/27	32.00
69186	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 R	200,000,000	2011/11/17	2012/03/29	50.00
69187	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 S	200,000,000	2011/11/17	2012/03/29	50.00
69188	J P Morgan SP BV – HSI R Bear CBBC Mar 2012 T	200,000,000	2011/11/17	2012/03/29	50.00
69136	Merrill Lynch Int'l & Co. C.V. – HSI R Bull CBBC May 2012 E	40,000,000	2011/11/17	2012/05/30	10.00
69137	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12W	300,000,000	2011/11/17	2012/03/29	75.00
69138	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12A	300,000,000	2011/11/17	2012/05/30	105.00
69139	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12B	300,000,000	2011/11/17	2012/05/30	129.00
69140	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12C	300,000,000	2011/11/17	2012/05/30	133.50
69141	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12D	300,000,000	2011/11/17	2012/05/30	114.00
69142	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12E	300,000,000	2011/11/17	2012/05/30	141.00
69143	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12F	300,000,000	2011/11/17	2012/05/30	144.00
69159	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12G	300,000,000	2011/11/17	2012/05/30	75.00
69160	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12H	300,000,000	2011/11/17	2012/05/30	75.00
69161	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12I	300,000,000	2011/11/17	2012/05/30	75.00
69162	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12J	300,000,000	2011/11/17	2012/05/30	75.00
69163	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12K	300,000,000	2011/11/17	2012/05/30	75.00
69164	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12L	300,000,000	2011/11/17	2012/05/30	75.00
69165	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12M	300,000,000	2011/11/17	2012/05/30	75.00
69166	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12N	300,000,000	2011/11/17	2012/05/30	75.00
69167	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12O	300,000,000	2011/11/17	2012/05/30	75.00
69175	UBS AG – HSCEI R Bull CBBC Sep 2012 A	100,000,000	2011/11/17	2012/09/27	25.00
69174	UBS AG – HSCEI R Bear CBBC Mar 2012 A	100,000,000	2011/11/17	2012/03/29	25.00
69144	UBS AG – HSI R Bull CBBC Feb 2012 I	300,000,000	2011/11/17	2012/02/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69176	UBS AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/11/17	2012/03/29	75.00
69145	UBS AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/11/17	2012/03/29	75.00
69146	UBS AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/11/17	2012/03/29	75.00
69147	UBS AG – HSI R Bull CBBC Apr 2012 C	300,000,000	2011/11/17	2012/04/27	75.00
69148	UBS AG – HSI R Bull CBBC Apr 2012 D	300,000,000	2011/11/17	2012/04/27	75.00
69183	UBS AG – HSI R Bear CBBC Feb 2012 K	300,000,000	2011/11/17	2012/02/28	75.00
69184	UBS AG – HSI R Bear CBBC Feb 2012 M	300,000,000	2011/11/17	2012/02/28	75.00
69185	UBS AG – HSI R Bear CBBC Mar 2012 R	300,000,000	2011/11/17	2012/03/29	75.00
69149	UBS AG – TCH R Bull CBBC Jun 2012 E	100,000,000	2011/11/17	2012/06/11	45.00
69213	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 L	200,000,000	2011/11/18	2012/03/29	50.00
69214	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/11/18	2012/04/27	50.00
69216	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 G	200,000,000	2011/11/18	2012/03/29	50.00
69215	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 M	200,000,000	2011/11/18	2012/03/29	50.00
69217	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 N	200,000,000	2011/11/18	2012/03/29	50.00
69218	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 Z	200,000,000	2011/11/18	2012/04/27	50.00
69210	Credit Suisse AG – A50 China R Bear CBBC Mar 2012 J	100,000,000	2011/11/18	2012/03/26	25.00
69208	Credit Suisse AG – HSI R Bull CBBC May 2012 K	400,000,000	2011/11/18	2012/05/30	100.00
69199	Credit Suisse AG – HSI R Bear CBBC Mar 2012 F	400,000,000	2011/11/18	2012/03/29	100.00
69200	Credit Suisse AG – HSI R Bear CBBC Mar 2012 G	400,000,000	2011/11/18	2012/03/29	100.00
69201	Credit Suisse AG – HSI R Bear CBBC Mar 2012 N	400,000,000	2011/11/18	2012/03/29	100.00
69211	Credit Suisse AG – HSI R Bear CBBC Mar 2012 O	400,000,000	2011/11/18	2012/03/29	100.00
69209	Credit Suisse AG – TCH R Bear CBBC Mar 2012 E	100,000,000	2011/11/18	2012/03/26	25.00
69204	HK Bank – China Mobile R Bull CBBC Jul 2012 B	80,000,000	2011/11/18	2012/07/26	20.00
69205	HK Bank – China Mobile R Bear CBBC Apr 2012 B	80,000,000	2011/11/18	2012/04/26	20.00
69202	HK Bank – CC Bank R Bull CBBC Jul 2012 A	80,000,000	2011/11/18	2012/07/26	20.00
69203	HK Bank – CC Bank R Bear CBBC May 2012 A	80,000,000	2011/11/18	2012/05/18	20.00
69206	UBS AG – HSI R Bear CBBC Mar 2012 T	300,000,000	2011/11/18	2012/03/29	75.00
69207	UBS AG – HSI R Bear CBBC Mar 2012 U	300,000,000	2011/11/18	2012/03/29	75.00
69212	UBS AG – HSI R Bear CBBC Jun 2012 V	300,000,000	2011/11/18	2012/06/28	75.00
69239	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 F	200,000,000	2011/11/21	2012/03/29	50.00
69238	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 O	200,000,000	2011/11/21	2012/03/29	50.00
69240	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 S	200,000,000	2011/11/21	2012/03/29	50.00
69237	Credit Suisse AG – HSI R Bull CBBC Apr 2012 S	400,000,000	2011/11/21	2012/04/27	100.00
69236	Credit Suisse AG – HSI R Bull CBBC Jun 2012 A	400,000,000	2011/11/21	2012/06/28	100.00
69235	Credit Suisse AG – HSI R Bull CBBC Jul 2012 R	400,000,000	2011/11/21	2012/07/30	100.00
69231	Credit Suisse AG – HSI R Bear CBBC Feb 2012 K	400,000,000	2011/11/21	2012/02/28	100.00
69234	Credit Suisse AG – HSI R Bear CBBC Feb 2012 R	400,000,000	2011/11/21	2012/02/28	100.00
69230	Credit Suisse AG – HSI R Bear CBBC Mar 2012 L	400,000,000	2011/11/21	2012/03/29	102.00
69232	Credit Suisse AG – HSI R Bear CBBC Mar 2012 M	400,000,000	2011/11/21	2012/03/29	100.00
69233	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Q	400,000,000	2011/11/21	2012/03/29	100.00
69228	Credit Suisse AG – HSI R Bear CBBC Jun 2012 Z	400,000,000	2011/11/21	2012/06/28	124.00
69227	Credit Suisse AG – HSI R Bear CBBC Jul 2012 P	400,000,000	2011/11/21	2012/07/30	128.00
69229	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Q	400,000,000	2011/11/21	2012/07/30	116.00
69219	HK Bank – Sinopec Corp R Bull CBBC Nov 2012 A	80,000,000	2011/11/21	2012/11/28	20.00
69220	HK Bank – Sinopec Corp R Bear CBBC Jul 2012 B	80,000,000	2011/11/21	2012/07/26	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69221	HK Bank – ICBC R Bull CBBC Aug 2012 A	80,000,000	2011/11/21	2012/08/28	20.00
69222	HK Bank – ICBC R Bear CBBC Apr 2012 A	80,000,000	2011/11/21	2012/04/26	20.00
69243	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 A	100,000,000	2011/11/21	2012/06/25	25.00
69242	UBS AG – HKEx R Bear CBBC Jun 2012 E	100,000,000	2011/11/21	2012/06/25	25.00
69244	UBS AG – HSBC R Bear CBBC Jun 2012 D	100,000,000	2011/11/21	2012/06/04	25.00
69245	UBS AG – HSI R Bull CBBC May 2012 H	300,000,000	2011/11/21	2012/05/30	75.00
69246	UBS AG – HSI R Bull CBBC Jun 2012 W	300,000,000	2011/11/21	2012/06/28	75.00
69223	UBS AG – HSI R Bear CBBC Mar 2012 O	300,000,000	2011/11/21	2012/03/29	75.00
69226	UBS AG – HSI R Bear CBBC May 2012 G	300,000,000	2011/11/21	2012/05/30	75.00
69241	UBS AG – TCH R Bear CBBC Jun 2012 F	100,000,000	2011/11/21	2012/06/25	25.00
69250	Credit Suisse AG – HKEx R Bear CBBC Mar 2012 B	100,000,000	2011/11/22	2012/03/19	25.00
69247	Credit Suisse AG – HSBC R Bull CBBC Jun 2012 B	100,000,000	2011/11/22	2012/06/18	25.00
69249	Credit Suisse AG – HSI R Bull CBBC Jun 2012 I	400,000,000	2011/11/22	2012/06/28	100.00
69248	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Z	400,000,000	2011/11/22	2012/02/28	100.00
69258	Credit Suisse AG – TCH R Bear CBBC Apr 2012 B	100,000,000	2011/11/22	2012/04/23	25.00
69253	HK Bank – China Life R Bull CBBC Jul 2012 D	80,000,000	2011/11/22	2012/07/26	36.00
69255	HK Bank – HSI R Bull CBBC Jun 2012 G	100,000,000	2011/11/22	2012/06/28	25.00
69254	HK Bank – HSI R Bull CBBC Jul 2012 E	100,000,000	2011/11/22	2012/07/30	25.00
69256	HK Bank – HSI R Bear CBBC Apr 2012 F	100,000,000	2011/11/22	2012/04/27	25.00
69251	HK Bank – PetCh R Bull CBBC Nov 2012 A	80,000,000	2011/11/22	2012/11/28	20.00
69252	HK Bank – PetCh R Bear CBBC Apr 2012 A	80,000,000	2011/11/22	2012/04/18	20.00
69257	UBS AG – HSI R Bear CBBC Jun 2012 X	300,000,000	2011/11/22	2012/06/28	75.00
69259	UBS AG – ICBC R Bear CBBC Jul 2012 C	100,000,000	2011/11/22	2012/07/16	25.00
69282	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 T	200,000,000	2011/11/23	2012/03/29	50.00
69283	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 U	200,000,000	2011/11/23	2012/03/29	50.00
69272	Credit Suisse AG – AIA R Bear CBBC Mar 2012 F	100,000,000	2011/11/23	2012/03/26	27.00
69271	Credit Suisse AG – China Life R Bear CBBC Mar 2012 F	100,000,000	2011/11/23	2012/03/26	25.00
69268	Credit Suisse AG – CNOOC R Bear CBBC Apr 2012 C	100,000,000	2011/11/23	2012/04/23	25.00
69270	Credit Suisse AG – HSBC R Bear CBBC Apr 2012 B	100,000,000	2011/11/23	2012/04/23	25.00
69277	Credit Suisse AG – HSI R Bull CBBC Jun 2012 H	400,000,000	2011/11/23	2012/06/28	100.00
69261	Credit Suisse AG – HSI R Bull CBBC Jul 2012 S	400,000,000	2011/11/23	2012/07/30	100.00
69278	Credit Suisse AG – HSI R Bear CBBC Mar 2012 T	400,000,000	2011/11/23	2012/03/29	100.00
69279	Daiwa Capital Mkt – HSCEI R Bear CBBC May 2012 E	98,000,000	2011/11/23	2012/05/30	24.50
69280	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 G	128,000,000	2011/11/23	2012/05/30	34.56
69281	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 A	128,000,000	2011/11/23	2012/06/28	39.68
69265	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12A	300,000,000	2011/11/23	2012/03/29	75.00
69267	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12B	300,000,000	2011/11/23	2012/03/29	75.00
69273	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12F	300,000,000	2011/11/23	2012/03/29	75.00
69276	UBS AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2011/11/23	2012/04/27	75.00
69264	UBS AG – HSI R Bull CBBC Jun 2012 Y	300,000,000	2011/11/23	2012/06/28	75.00
69284	UBS AG – HSI R Bull CBBC Jun 2012 Z	300,000,000	2011/11/23	2012/06/28	75.00
69262	UBS AG – HSI R Bull CBBC Jul 2012 J	300,000,000	2011/11/23	2012/07/30	75.00
69285	UBS AG – HSI R Bull CBBC Jul 2012 K	300,000,000	2011/11/23	2012/07/30	84.00
69286	UBS AG – HSI R Bull CBBC Jul 2012 L	300,000,000	2011/11/23	2012/07/30	75.00
69287	UBS AG – HSI R Bull CBBC Aug 2012 F	300,000,000	2011/11/23	2012/08/30	93.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69288	UBS AG – HSI R Bull CBBC Aug 2012 G	300,000,000	2011/11/23	2012/08/30	75.00
69275	UBS AG – TCH R Bull CBBC Jun 2012 G	100,000,000	2011/11/23	2012/06/18	25.00
69274	UBS AG – TCH R Bull CBBC Jul 2012 B	100,000,000	2011/11/23	2012/07/16	29.50
68730#	HK Bank – HSI R Bull CBBC Jul 2012 C	150,000,000	2011/11/23	2012/07/30	22.95
69306	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 R	200,000,000	2011/11/24	2012/03/29	50.00
69307	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 H	200,000,000	2011/11/24	2012/03/29	50.00
69308	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 Q	200,000,000	2011/11/24	2012/03/29	50.00
69309	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 X	200,000,000	2011/11/24	2012/03/29	50.00
69310	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 Y	200,000,000	2011/11/24	2012/03/29	50.00
69294	Credit Suisse AG – HSI R Bull CBBC Apr 2012 T	400,000,000	2011/11/24	2012/04/27	100.00
69303	Credit Suisse AG – HSI R Bear CBBC Feb 2012 F	400,000,000	2011/11/24	2012/02/28	100.00
69300	Credit Suisse AG – HSI R Bear CBBC Apr 2012 K	400,000,000	2011/11/24	2012/04/27	100.00
69302	Credit Suisse AG – ICBC R Bull CBBC Jun 2012 A	100,000,000	2011/11/24	2012/06/28	25.00
69301	Credit Suisse AG – ICBC R Bear CBBC Apr 2012 A	100,000,000	2011/11/24	2012/04/23	25.00
69299	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 K	128,000,000	2011/11/24	2012/03/29	32.00
69292	HK Bank – CC Bank R Bull CBBC Aug 2012 B	80,000,000	2011/11/24	2012/08/28	20.00
69293	HK Bank – CC Bank R Bear CBBC Apr 2012 A	80,000,000	2011/11/24	2012/04/26	20.00
69291	HK Bank – ICBC R Bull CBBC Dec 2012 A	80,000,000	2011/11/24	2012/12/18	20.00
69290	HK Bank – ICBC R Bear CBBC Apr 2012 B	80,000,000	2011/11/24	2012/04/26	20.00
69289	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC May 2012 F	40,000,000	2011/11/24	2012/05/30	10.00
69295	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12G	300,000,000	2011/11/24	2012/03/29	75.00
69296	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12P	300,000,000	2011/11/24	2012/05/30	75.00
69298	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12Q	300,000,000	2011/11/24	2012/05/30	75.00
69305	UBS AG – COVS R Bull CBBC Aug 2012 B	100,000,000	2011/11/24	2012/08/13	25.00
69297	UBS AG – HSI R Bull CBBC Feb 2012 N	300,000,000	2011/11/24	2012/02/28	75.00
69304	UBS AG – HSI R Bear CBBC Apr 2012 K	300,000,000	2011/11/24	2012/04/27	75.00
69344	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	200,000,000	2011/11/25	2012/04/27	50.00
69345	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 T	200,000,000	2011/11/25	2012/04/27	50.00
69325	Credit Suisse AG – China Life R Bear CBBC Apr 2012 B	100,000,000	2011/11/25	2012/04/10	25.00
69323	Credit Suisse AG – CNOOC R Bear CBBC Apr 2012 D	100,000,000	2011/11/25	2012/04/10	25.00
69324	Credit Suisse AG – HKEx R Bear CBBC Mar 2012 C	100,000,000	2011/11/25	2012/03/26	25.00
69319	Credit Suisse AG – HSI R Bull CBBC May 2012 L	400,000,000	2011/11/25	2012/05/30	100.00
69320	Credit Suisse AG – HSI R Bull CBBC Jun 2012 F	400,000,000	2011/11/25	2012/06/28	100.00
69327	Credit Suisse AG – HSI R Bear CBBC Feb 2012 Q	400,000,000	2011/11/25	2012/02/28	100.00
69328	Credit Suisse AG – HSI R Bear CBBC Mar 2012 I	400,000,000	2011/11/25	2012/03/29	100.00
69329	Credit Suisse AG – HSI R Bear CBBC Mar 2012 K	400,000,000	2011/11/25	2012/03/29	100.00
69326	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 D	100,000,000	2011/11/25	2012/04/16	25.00
69333	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 L	128,000,000	2011/11/25	2012/03/29	32.00
69315	HK Bank – ABC R Bear CBBC Apr 2012 A	80,000,000	2011/11/25	2012/04/18	20.00
69314	HK Bank – China Life R Bear CBBC Mar 2012 A	80,000,000	2011/11/25	2012/03/28	20.00
69318	HK Bank – Hutchison R Bull CBBC Aug 2012 A	80,000,000	2011/11/25	2012/08/28	20.00
69316	HK Bank – Hutchison R Bear CBBC Jun 2012 C	80,000,000	2011/11/25	2012/06/18	20.00
69317	HK Bank – Hutchison R Bear CBBC Jul 2012 B	80,000,000	2011/11/25	2012/07/18	20.00
69331	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 A	200,000,000	2011/11/25	2012/04/27	50.00
69332	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 B	200,000,000	2011/11/25	2012/04/27	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69311	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12H	300,000,000	2011/11/25	2012/03/29	75.00
69312	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12I	300,000,000	2011/11/25	2012/03/29	75.00
69313	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12K	300,000,000	2011/11/25	2012/03/29	75.00
69330	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	300,000,000	2011/11/25	2012/05/30	75.00
69339	UBS AG – China Life R Bear CBBC Jun 2012 E	100,000,000	2011/11/25	2012/06/25	27.00
69341	UBS AG – China Life R Bear CBBC Jul 2012 E	100,000,000	2011/11/25	2012/07/16	37.00
69340	UBS AG – CNOOC R Bear CBBC Jul 2012 C	100,000,000	2011/11/25	2012/07/09	25.00
69337	UBS AG – A50 China R Bear CBBC Jun 2012 C	100,000,000	2011/11/25	2012/06/18	25.00
69321	UBS AG – HSI R Bull CBBC Feb 2012 Q	300,000,000	2011/11/25	2012/02/28	75.00
69322	UBS AG – HSI R Bull CBBC Mar 2012 J	300,000,000	2011/11/25	2012/03/29	75.00
69334	UBS AG – HSI R Bear CBBC Feb 2012 B	300,000,000	2011/11/25	2012/02/28	75.00
69335	UBS AG – HSI R Bear CBBC Feb 2012 I	300,000,000	2011/11/25	2012/02/28	75.00
69336	UBS AG – HSI R Bear CBBC Mar 2012 X	300,000,000	2011/11/25	2012/03/29	75.00
69343	UBS AG – ICBC R Bull CBBC Jul 2012 D	100,000,000	2011/11/25	2012/07/16	25.00
69338	UBS AG – ICBC R Bear CBBC Jun 2012 A	100,000,000	2011/11/25	2012/06/25	25.00
69342	UBS AG – TCH R Bear CBBC Jul 2012 C	100,000,000	2011/11/25	2012/07/16	25.00
69373	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2011/11/28	2012/04/27	50.00
69372	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2011/11/28	2012/04/27	50.00
69374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 T	200,000,000	2011/11/28	2012/05/30	50.00
69358	Credit Suisse AG – AIA R Bear CBBC Apr 2012 B	100,000,000	2011/11/28	2012/04/23	25.00
69357	Credit Suisse AG – China Life R Bear CBBC Apr 2012 C	100,000,000	2011/11/28	2012/04/10	25.00
69354	Credit Suisse AG – HSI R Bull CBBC May 2012 B	400,000,000	2011/11/28	2012/05/30	100.00
69355	Credit Suisse AG – HSI R Bull CBBC Jun 2012 G	400,000,000	2011/11/28	2012/06/28	100.00
69361	Credit Suisse AG – HSI R Bear CBBC Feb 2012 W	400,000,000	2011/11/28	2012/02/28	100.00
69360	Credit Suisse AG – HSI R Bear CBBC Mar 2012 J	400,000,000	2011/11/28	2012/03/29	100.00
69359	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Y	400,000,000	2011/11/28	2012/03/29	100.00
69356	Credit Suisse AG – TCH R Bull CBBC May 2012 C	100,000,000	2011/11/28	2012/05/28	25.00
69347	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 J	128,000,000	2011/11/28	2012/04/27	32.00
69369	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 K	128,000,000	2011/11/28	2012/04/27	32.00
69371	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 C	200,000,000	2011/11/28	2012/04/27	50.00
69349	Macquarie Bank Ltd. – HSCEI R Bear CBBC May 2012 C	200,000,000	2011/11/28	2012/05/30	50.00
69348	Macquarie Bank Ltd. – HSI R Bear CBBC May 2012 E	200,000,000	2011/11/28	2012/05/30	50.00
69364	Merrill Lynch Int'l & Co. C.V. – HSI R Bear CBBC May 2012 G	40,000,000	2011/11/28	2012/05/30	10.00
69346	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12N	300,000,000	2011/11/28	2012/03/29	75.00
69362	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12S	300,000,000	2011/11/28	2012/05/30	75.00
69363	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12T	300,000,000	2011/11/28	2012/05/30	75.00
69353	UBS AG – HSI R Bull CBBC Feb 2012 O	300,000,000	2011/11/28	2012/02/28	75.00
69351	UBS AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/11/28	2012/03/29	75.00
69366	UBS AG – HSI R Bear CBBC Feb 2012 P	300,000,000	2011/11/28	2012/02/28	75.00
69365	UBS AG – HSI R Bear CBBC Feb 2012 Z	300,000,000	2011/11/28	2012/02/28	75.00
69367	UBS AG – HSI R Bear CBBC Mar 2012 N	300,000,000	2011/11/28	2012/03/29	75.00
69368	UBS AG – HSI R Bear CBBC Jul 2012 M	300,000,000	2011/11/28	2012/07/30	75.00
69370	UBS AG – Hutchison R Bear CBBC Aug 2012 C	100,000,000	2011/11/28	2012/08/13	25.00
69397	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	200,000,000	2011/11/29	2012/04/27	50.00
69398	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	200,000,000	2011/11/29	2012/04/27	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69399	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 R	200,000,000	2011/11/29	2012/05/30	50.00
69400	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 S	200,000,000	2011/11/29	2012/05/30	50.00
69387	Credit Suisse AG – HSI R Bull CBBC Jun 2012 P	400,000,000	2011/11/29	2012/06/28	100.00
69386	Credit Suisse AG – HSI R Bear CBBC Mar 2012 R	400,000,000	2011/11/29	2012/03/29	100.00
69401	Daiwa Capital Mkt – A50 China R Bull CBBC May 2012 C	80,000,000	2011/11/29	2012/05/02	20.00
69402	Daiwa Capital Mkt – A50 China R Bull CBBC Jun 2012 B	80,000,000	2011/11/29	2012/06/01	20.00
69403	Daiwa Capital Mkt – A50 China R Bear CBBC Mar 2012 A	80,000,000	2011/11/29	2012/03/15	20.00
69404	Daiwa Capital Mkt – A50 China R Bear CBBC Apr 2012 C	80,000,000	2011/11/29	2012/04/02	20.00
69405	Daiwa Capital Mkt – HSCEI R Bull CBBC May 2012 F	98,000,000	2011/11/29	2012/05/30	24.50
69406	Daiwa Capital Mkt – HSCEI R Bull CBBC May 2012 G	98,000,000	2011/11/29	2012/05/30	24.50
69407	Daiwa Capital Mkt – HSCEI R Bear CBBC Apr 2012 C	98,000,000	2011/11/29	2012/04/27	24.50
69378	HK Bank – HSI R Bear CBBC Apr 2012 G	200,000,000	2011/11/29	2012/04/27	50.00
69379	HK Bank – HSI R Bear CBBC Apr 2012 H	200,000,000	2011/11/29	2012/04/27	50.00
69380	CC Rabobank B.A. – HSI R Bull CBBC Jun 2012 A	150,000,000	2011/11/29	2012/06/28	37.50
69381	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 B	150,000,000	2011/11/29	2012/06/28	37.50
69382	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 C	150,000,000	2011/11/29	2012/06/28	37.50
69383	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 D	150,000,000	2011/11/29	2012/06/28	37.50
69384	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 E	150,000,000	2011/11/29	2012/06/28	37.50
69375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12U	300,000,000	2011/11/29	2012/05/30	75.00
69376	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12V	300,000,000	2011/11/29	2012/05/30	75.00
69377	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12W	300,000,000	2011/11/29	2012/05/30	75.00
69394	UBS AG – BOCL R Bull CBBC Jul 2012 A	100,000,000	2011/11/29	2012/07/23	25.00
69393	UBS AG – CC Bank R Bear CBBC Jul 2012 B	100,000,000	2011/11/29	2012/07/09	25.00
69391	UBS AG – Sinopec Corp R Bull CBBC Jun 2012 B	100,000,000	2011/11/29	2012/06/25	25.00
69390	UBS AG – HKEx R Bear CBBC Jun 2012 F	100,000,000	2011/11/29	2012/06/11	25.00
69392	UBS AG – HSBC R Bear CBBC Jun 2012 E	100,000,000	2011/11/29	2012/06/25	25.00
69385	UBS AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/11/29	2012/04/27	75.00
69388	UBS AG – HSI R Bear CBBC Apr 2012 T	300,000,000	2011/11/29	2012/04/27	75.00
69389	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2011/11/29	2012/05/30	75.00
69396	UBS AG – HSI R Bear CBBC Jun 2012 A	300,000,000	2011/11/29	2012/06/28	75.00
69395	UBS AG – TCH R Bull CBBC Jul 2012 D	100,000,000	2011/11/29	2012/07/30	25.00
69433	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	200,000,000	2011/11/30	2012/04/27	50.00
69414	Credit Suisse AG – China Mobile R Bull CBBC May 2012 A	100,000,000	2011/11/30	2012/05/28	25.00
69415	Credit Suisse AG – HKEx R Bear CBBC Mar 2012 D	100,000,000	2011/11/30	2012/03/26	25.00
69418	Credit Suisse AG – HSI R Bull CBBC May 2012 M	400,000,000	2011/11/30	2012/05/30	100.00
69419	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Q	400,000,000	2011/11/30	2012/06/28	100.00
69408	Credit Suisse AG – HSI R Bull CBBC Jun 2012 V	400,000,000	2011/11/30	2012/06/28	100.00
69421	Credit Suisse AG – HSI R Bull CBBC Jul 2012 T	400,000,000	2011/11/30	2012/07/30	100.00
69422	Credit Suisse AG – HSI R Bear CBBC Mar 2012 S	400,000,000	2011/11/30	2012/03/29	100.00
69425	Credit Suisse AG – HSI R Bear CBBC Mar 2012 V	400,000,000	2011/11/30	2012/03/29	100.00
69417	Credit Suisse AG – Hutchison R Bear CBBC Apr 2012 B	100,000,000	2011/11/30	2012/04/16	25.00
69416	Credit Suisse AG – Ping An R Bear CBBC Apr 2012 E	100,000,000	2011/11/30	2012/04/16	25.00
69412	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 L	128,000,000	2011/11/30	2012/04/27	32.00
69426	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 M	128,000,000	2011/11/30	2012/03/29	32.00
69427	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 N	128,000,000	2011/11/30	2012/03/29	32.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69423	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 D	200,000,000	2011/11/30	2012/04/27	50.00
69424	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 E	200,000,000	2011/11/30	2012/04/27	50.00
69410	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12O	300,000,000	2011/11/30	2012/03/29	75.00
69411	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12X	300,000,000	2011/11/30	2012/05/30	75.00
69432	UBS AG – COVS R Bull CBBC Jul 2012 A	100,000,000	2011/11/30	2012/07/30	28.50
69431	UBS AG – China Life R Bear CBBC Jul 2012 F	100,000,000	2011/11/30	2012/07/30	25.00
69413	UBS AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/11/30	2012/03/29	75.00
69430	UBS AG – HSI R Bull CBBC Jun 2012 H	300,000,000	2011/11/30	2012/06/28	75.00
69428	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/11/30	2012/03/29	75.00
69429	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2011/11/30	2012/06/28	75.00
65886 [#]	HK Bank – HSI R Bull CBBC Aug 2012 B	200,000,000	2011/11/30	2012/08/30	16.40
68729 [#]	HK Bank – HSI R Bull CBBC Jun 2012 D	200,000,000	2011/11/30	2012/06/28	31.60
69461	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 M	200,000,000	2011/12/01	2012/05/30	50.00
69462	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2012 Q	200,000,000	2011/12/01	2012/05/30	50.00
69455	Credit Suisse AG – HSI R Bull CBBC Jul 2012 U	400,000,000	2011/12/01	2012/07/30	100.00
69454	Credit Suisse AG – HSI R Bear CBBC Mar 2012 B	400,000,000	2011/12/01	2012/03/29	100.00
69453	Credit Suisse AG – HSI R Bear CBBC Apr 2012 U	400,000,000	2011/12/01	2012/04/27	100.00
69456	Credit Suisse AG – Hutchison R Bull CBBC Jun 2012 C	100,000,000	2011/12/01	2012/06/18	25.00
69452	HK Bank – HKEX R Bear CBBC Jun 2012 A	80,000,000	2011/12/01	2012/06/18	36.00
69446	HK Bank – HSI R Bull CBBC Aug 2012 E	200,000,000	2011/12/01	2012/08/30	50.00
69449	HK Bank – HSI R Bull CBBC Aug 2012 F	200,000,000	2011/12/01	2012/08/30	50.00
69450	HK Bank – HSI R Bull CBBC Aug 2012 G	200,000,000	2011/12/01	2012/08/30	50.00
69451	HK Bank – HSI R Bull CBBC May 2013 B	200,000,000	2011/12/01	2013/05/30	50.00
69445	HK Bank – HSI R Bear CBBC Apr 2012 I	150,000,000	2011/12/01	2012/04/27	37.50
69437	Macquarie Bank Ltd. – HSCEI R Bear CBBC Jun 2012 A	200,000,000	2011/12/01	2012/06/28	50.00
69438	Macquarie Bank Ltd. – HSCEI R Bear CBBC Jun 2012 B	200,000,000	2011/12/01	2012/06/28	50.00
69435	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 A	200,000,000	2011/12/01	2012/06/28	50.00
69436	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 B	200,000,000	2011/12/01	2012/06/28	50.00
69439	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12Q	300,000,000	2011/12/01	2012/03/29	75.00
69434	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12P	300,000,000	2011/12/01	2012/03/29	75.00
69443	UBS AG – HSI R Bull CBBC May 2012 K	300,000,000	2011/12/01	2012/05/30	75.00
69459	UBS AG – HSI R Bull CBBC May 2012 L	300,000,000	2011/12/01	2012/05/30	75.00
69460	UBS AG – HSI R Bear CBBC Aug 2012 H	300,000,000	2011/12/01	2012/08/30	75.00
69444	UBS AG – Hutchison R Bull CBBC Jun 2012 E	100,000,000	2011/12/01	2012/06/25	25.00
69457	UBS AG – Hutchison R Bull CBBC Aug 2012 D	100,000,000	2011/12/01	2012/08/06	25.00
69458	UBS AG – ICBC R Bear CBBC May 2012 C	100,000,000	2011/12/01	2012/05/28	25.00
69471	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 V	200,000,000	2011/12/02	2012/03/29	50.00
69470	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 Z	200,000,000	2011/12/02	2012/03/29	50.00
69463	Credit Suisse AG – HSI R Bull CBBC May 2012 N	400,000,000	2011/12/02	2012/05/30	100.00
69468	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Z	400,000,000	2011/12/02	2012/03/29	100.00
69466	Daiwa Capital Mkt – HSI R Bull CBBC Apr 2012 M	128,000,000	2011/12/02	2012/04/27	32.00
69472	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 O	128,000,000	2011/12/02	2012/03/29	32.00
69464	UBS AG – HSI R Bull CBBC Mar 2012 S	300,000,000	2011/12/02	2012/03/29	75.00
69465	UBS AG – HSI R Bull CBBC Jun 2012 E	300,000,000	2011/12/02	2012/06/28	75.00
69469	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2011/12/02	2012/04/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69483	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 A	200,000,000	2011/12/05	2012/03/29	50.00
69484	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 B	200,000,000	2011/12/05	2012/03/29	50.00
69478	Credit Suisse AG – HSBC R Bear CBBC Apr 2012 C	100,000,000	2011/12/05	2012/04/23	25.00
69482	Credit Suisse AG – HSI R Bull CBBC Jun 2012 X	400,000,000	2011/12/05	2012/06/28	100.00
69481	Credit Suisse AG – HSI R Bull CBBC Jul 2012 V	400,000,000	2011/12/05	2012/07/30	100.00
69480	Credit Suisse AG – HSI R Bull CBBC Aug 2012 I	400,000,000	2011/12/05	2012/08/30	100.00
69473	Credit Suisse AG – HSI R Bear CBBC Mar 2012 H	400,000,000	2011/12/05	2012/03/29	100.00
69479	Credit Suisse AG – Ping An R Bull CBBC Jun 2012 D	100,000,000	2011/12/05	2012/06/18	25.00
69485	Credit Suisse AG – TCH R Bear CBBC Apr 2012 C	100,000,000	2011/12/05	2012/04/23	25.00
69475	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12Y	300,000,000	2011/12/05	2012/05/30	75.00
69476	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12Z	300,000,000	2011/12/05	2012/05/30	75.00
69477	UBS AG – HKEEx R Bull CBBC Mar 2012 D	100,000,000	2011/12/05	2012/03/19	25.00
69474	UBS AG – HSI R Bear CBBC May 2012 W	300,000,000	2011/12/05	2012/05/30	75.00
69522	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 L	200,000,000	2011/12/06	2012/05/30	50.00
69523	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 U	200,000,000	2011/12/06	2012/05/30	50.00
69500	Credit Suisse AG – China Life R Bull CBBC May 2012 B	100,000,000	2011/12/06	2012/05/28	26.50
69490	Credit Suisse AG – HKEEx R Bull CBBC May 2012 D	100,000,000	2011/12/06	2012/05/28	25.00
69512	Credit Suisse AG – HSI R Bull CBBC Jun 2012 R	400,000,000	2011/12/06	2012/06/28	100.00
69511	Credit Suisse AG – HSI R Bull CBBC Jul 2012 W	400,000,000	2011/12/06	2012/07/30	100.00
69510	Credit Suisse AG – HSI R Bull CBBC Aug 2012 J	400,000,000	2011/12/06	2012/08/30	100.00
69492	Credit Suisse AG – HSI R Bear CBBC Mar 2012 A	400,000,000	2011/12/06	2012/03/29	100.00
69497	Credit Suisse AG – HSI R Bear CBBC Apr 2012 N	400,000,000	2011/12/06	2012/04/27	100.00
69498	Credit Suisse AG – HSI R Bear CBBC Apr 2012 Q	400,000,000	2011/12/06	2012/04/27	100.00
69499	Credit Suisse AG – Hutchison R Bull CBBC Jun 2012 D	100,000,000	2011/12/06	2012/06/25	25.00
69491	Credit Suisse AG – TCH R Bear CBBC Apr 2012 D	100,000,000	2011/12/06	2012/04/23	25.00
69513	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 Q	128,000,000	2011/12/06	2012/03/29	32.00
69514	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 R	128,000,000	2011/12/06	2012/03/29	32.00
69520	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 H	128,000,000	2011/12/06	2012/05/30	32.00
69521	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 I	128,000,000	2011/12/06	2012/05/30	32.00
69493	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 P	128,000,000	2011/12/06	2012/03/29	32.00
69515	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 N	128,000,000	2011/12/06	2012/04/27	32.00
69516	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 O	128,000,000	2011/12/06	2012/04/27	32.00
69517	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 P	128,000,000	2011/12/06	2012/04/27	32.00
69518	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 Q	128,000,000	2011/12/06	2012/04/27	32.00
69519	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 R	128,000,000	2011/12/06	2012/04/27	32.00
69488	HK Bank – HSI R Bull CBBC Aug 2012 H	200,000,000	2011/12/06	2012/08/30	50.00
69495	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12E	300,000,000	2011/12/06	2012/03/29	75.00
69494	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12U	300,000,000	2011/12/06	2012/03/29	75.00
69496	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12S	300,000,000	2011/12/06	2012/05/30	75.00
69508	UBS AG – HSI R Bull CBBC Apr 2012 S	300,000,000	2011/12/06	2012/04/27	75.00
69509	UBS AG – HSI R Bull CBBC May 2012 X	300,000,000	2011/12/06	2012/05/30	75.00
69489	UBS AG – HSI R Bear CBBC Apr 2012 D	300,000,000	2011/12/06	2012/04/27	75.00
69506	UBS AG – HSI R Bear CBBC May 2012 A	300,000,000	2011/12/06	2012/05/30	75.00
69501	UBS AG – TCH R Bull CBBC Mar 2012 A	100,000,000	2011/12/06	2012/03/26	25.00
69504	UBS AG – TCH R Bull CBBC May 2012 E	100,000,000	2011/12/06	2012/05/21	35.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69507	UBS AG – TCH R Bull CBBC Jun 2012 H	100,000,000	2011/12/06	2012/06/04	40.00
69502	UBS AG – TCH R Bear CBBC Apr 2012 E	100,000,000	2011/12/06	2012/04/16	25.00
69505	UBS AG – TCH R Bear CBBC May 2012 F	100,000,000	2011/12/06	2012/05/21	27.00
69033#	UBS AG – Hutchison R Bull CBBC Aug 2012 B	105,000,000	2011/12/07	2012/08/20	10.08
69526	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 S	128,000,000	2011/12/07	2012/03/29	32.00
69528	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 S	128,000,000	2011/12/07	2012/04/27	32.00
69529	Daiwa Capital Mkt – HSI R Bear CBBC Apr 2012 T	128,000,000	2011/12/07	2012/04/27	32.00
69527	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12W	300,000,000	2011/12/07	2012/03/29	75.00
69524	UBS AG – HSI R Bull CBBC Mar 2012 Q	300,000,000	2011/12/07	2012/03/29	75.00
69525	UBS AG – HSI R Bull CBBC May 2012 T	300,000,000	2011/12/07	2012/05/30	75.00
69531	UBS AG – HSI R Bull CBBC Sep 2012 A	300,000,000	2011/12/07	2012/09/27	75.00
69532	UBS AG – HSI R Bull CBBC Sep 2012 B	300,000,000	2011/12/07	2012/09/27	75.00
69530	UBS AG – HSI R Bear CBBC Mar 2012 J	300,000,000	2011/12/07	2012/03/29	75.00
69567	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 M	200,000,000	2011/12/08	2012/05/30	50.00
69568	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 Q	200,000,000	2011/12/08	2012/05/30	50.00
69570	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 R	200,000,000	2011/12/08	2012/05/30	50.00
69571	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 S	200,000,000	2011/12/08	2012/05/30	50.00
69572	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC May 2012 T	200,000,000	2011/12/08	2012/05/30	50.00
69550	Daiwa Capital Mkt – HSI R Bull CBBC May 2012 J	128,000,000	2011/12/08	2012/05/30	32.00
69551	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 B	128,000,000	2011/12/08	2012/06/28	32.00
69552	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 C	128,000,000	2011/12/08	2012/06/28	32.00
69553	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 D	128,000,000	2011/12/08	2012/06/28	32.00
69554	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 A	128,000,000	2011/12/08	2012/07/30	32.00
69555	Daiwa Capital Mkt – HSI R Bull CBBC Jul 2012 B	128,000,000	2011/12/08	2012/07/30	32.00
69556	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 T	128,000,000	2011/12/08	2012/03/29	32.00
69557	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 U	128,000,000	2011/12/08	2012/03/29	32.00
69558	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 V	128,000,000	2011/12/08	2012/03/29	32.00
69559	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 W	128,000,000	2011/12/08	2012/03/29	32.00
69560	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 F	200,000,000	2011/12/08	2012/04/27	50.00
69561	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/12/08	2012/04/27	50.00
69562	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/12/08	2012/04/27	50.00
69563	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 I	200,000,000	2011/12/08	2012/04/27	50.00
69564	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 J	200,000,000	2011/12/08	2012/04/27	50.00
69565	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 K	200,000,000	2011/12/08	2012/04/27	50.00
69566	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 L	200,000,000	2011/12/08	2012/04/27	50.00
69546	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12C	300,000,000	2011/12/08	2012/03/29	75.00
69547	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12D	300,000,000	2011/12/08	2012/03/29	75.00
69549	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12L	300,000,000	2011/12/08	2012/03/29	75.00
69548	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12T	300,000,000	2011/12/08	2012/03/29	75.00
69533	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12P	300,000,000	2011/12/08	2012/05/30	75.00
69534	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12Q	300,000,000	2011/12/08	2012/05/30	75.00
69535	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	300,000,000	2011/12/08	2012/05/30	75.00
69543	UBS AG – HSI R Bull CBBC Mar 2012 G	300,000,000	2011/12/08	2012/03/29	75.00
69542	UBS AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/12/08	2012/03/29	75.00
69545	UBS AG – HSI R Bull CBBC Apr 2012 F	300,000,000	2011/12/08	2012/04/27	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69544	UBS AG – HSI R Bull CBBC Apr 2012 M	300,000,000	2011/12/08	2012/04/27	75.00
69537	UBS AG – HSI R Bear CBBC Mar 2012 E	300,000,000	2011/12/08	2012/03/29	75.00
69539	UBS AG – HSI R Bear CBBC Apr 2012 L	300,000,000	2011/12/08	2012/04/27	75.00
69538	UBS AG – HSI R Bear CBBC Apr 2012 N	300,000,000	2011/12/08	2012/04/27	75.00
69540	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2011/12/08	2012/05/30	75.00
69541	UBS AG – HSI R Bear CBBC May 2012 Y	300,000,000	2011/12/08	2012/05/30	75.00
69592	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 D	128,000,000	2011/12/09	2012/03/29	32.00
69589	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 X	128,000,000	2011/12/09	2012/03/29	32.00
69590	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 Y	128,000,000	2011/12/09	2012/03/29	32.00
69591	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 Z	128,000,000	2011/12/09	2012/03/29	32.00
69587	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12A	300,000,000	2011/12/09	2012/03/29	75.00
69588	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12B	300,000,000	2011/12/09	2012/03/29	75.00
69585	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12R	300,000,000	2011/12/09	2012/03/29	75.00
69586	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12S	300,000,000	2011/12/09	2012/03/29	75.00
69583	UBS AG – COVS R Bull CBBC May 2012 A	100,000,000	2011/12/09	2012/05/07	29.50
69584	UBS AG – CC Bank R Bull CBBC May 2012 H	100,000,000	2011/12/09	2012/05/28	25.00
69582	UBS AG – CNOOC R Bull CBBC Apr 2012 C	100,000,000	2011/12/09	2012/04/23	25.00
69578	UBS AG – A50 China R Bull CBBC Mar 2012 B	100,000,000	2011/12/09	2012/03/19	25.00
69579	UBS AG – HKEX R Bull CBBC Mar 2012 E	100,000,000	2011/12/09	2012/03/26	25.00
69573	UBS AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/12/09	2012/03/29	75.00
69574	UBS AG – HSI R Bull CBBC May 2012 R	300,000,000	2011/12/09	2012/05/30	75.00
69575	UBS AG – HSI R Bull CBBC Jun 2012 F	300,000,000	2011/12/09	2012/06/28	75.00
69576	UBS AG – HSI R Bull CBBC Jun 2012 I	300,000,000	2011/12/09	2012/06/28	75.00
69581	UBS AG – HSI R Bear CBBC Mar 2012 X	300,000,000	2011/12/09	2012/03/29	75.00
69580	UBS AG – ICBC R Bull CBBC Mar 2012 A	100,000,000	2011/12/09	2012/03/26	25.00
69577	UBS AG – TCH R Bull CBBC Mar 2012 B	100,000,000	2011/12/09	2012/03/12	25.00
69607	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 L	200,000,000	2011/12/12	2012/04/27	50.00
69608	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 U	200,000,000	2011/12/12	2012/04/27	50.00
69597	Macquarie Bank Ltd. – HSCEI R Bull CBBC Jun 2012 C	200,000,000	2011/12/12	2012/06/28	50.00
69598	Macquarie Bank Ltd. – HSCEI R Bull CBBC Jun 2012 D	200,000,000	2011/12/12	2012/06/28	50.00
69594	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 C	200,000,000	2011/12/12	2012/06/28	50.00
69595	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 D	200,000,000	2011/12/12	2012/06/28	50.00
69596	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 E	200,000,000	2011/12/12	2012/06/28	50.00
69599	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 20G	300,000,000	2011/12/12	2012/03/29	75.00
69601	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 20H	300,000,000	2011/12/12	2012/03/29	75.00
69602	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 20I	300,000,000	2011/12/12	2012/03/29	75.00
69593	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 20F	300,000,000	2011/12/12	2012/03/29	75.00
69600	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 20T	300,000,000	2011/12/12	2012/05/30	75.00
69606	UBS AG – China Life R Bull CBBC Mar 2012 E	100,000,000	2011/12/12	2012/03/19	27.00
69603	UBS AG – HSI R Bull CBBC Apr 2012 T	300,000,000	2011/12/12	2012/04/27	75.00
69604	UBS AG – HSI R Bull CBBC Jul 2012 N	300,000,000	2011/12/12	2012/07/30	75.00
69605	UBS AG – HSI R Bear CBBC Jul 2012 O	300,000,000	2011/12/12	2012/07/30	75.00
69618	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 D	200,000,000	2011/12/13	2012/04/27	50.00
69617	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 E	200,000,000	2011/12/13	2012/04/27	50.00
69619	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 G	200,000,000	2011/12/13	2012/04/27	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69620	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Apr 2012 H	200,000,000	2011/12/13	2012/04/27	50.00
69621	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 T	200,000,000	2011/12/13	2012/04/27	50.00
69616	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 B	128,000,000	2011/12/13	2012/03/29	32.00
69614	HK Bank – BOCL R Bull CBBC Dec 2012 A	80,000,000	2011/12/13	2012/12/18	20.00
69613	HK Bank – BOCL R Bear CBBC Sep 2012 A	80,000,000	2011/12/13	2012/09/18	20.00
69609	HK Bank – ICBC R Bull CBBC Jul 2012 B	80,000,000	2011/12/13	2012/07/26	20.00
69610	UBS AG – HSI R Bull CBBC Jun 2012 D	300,000,000	2011/12/13	2012/06/28	75.00
69611	UBS AG – HSI R Bull CBBC Jul 2012 P	300,000,000	2011/12/13	2012/07/30	75.00
69612	UBS AG – HSI R Bull CBBC Jul 2012 Q	300,000,000	2011/12/13	2012/07/30	75.00
69615	UBS AG – HSI R Bear CBBC Jun 2012 P	300,000,000	2011/12/13	2012/06/28	75.00
65872 [#]	HK Bank – HSI R Bear CBBC Jun 2012 A	250,000,000	2011/12/13	2012/06/28	44.25
69628	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Mar 2012 C	200,000,000	2011/12/14	2012/03/29	50.00
69633	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 E	128,000,000	2011/12/14	2012/06/28	32.00
69623	HK Bank – ABC R Bull CBBC Aug 2012 B	80,000,000	2011/12/14	2012/08/16	20.00
69622	HK Bank – ABC R Bear CBBC May 2012 A	80,000,000	2011/12/14	2012/05/18	20.00
69624	HK Bank – CNOOC R Bull CBBC Oct 2012 B	80,000,000	2011/12/14	2012/10/18	22.40
69625	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12U	300,000,000	2011/12/14	2012/05/30	75.00
69629	UBS AG – CC Bank R Bull CBBC May 2012 I	100,000,000	2011/12/14	2012/05/07	25.00
69632	UBS AG – China Life R Bull CBBC Apr 2012 A	100,000,000	2011/12/14	2012/04/02	25.00
69630	UBS AG – HSBC R Bull CBBC Apr 2012 C	100,000,000	2011/12/14	2012/04/23	25.00
69627	UBS AG – HSI R Bull CBBC Apr 2012 C	300,000,000	2011/12/14	2012/04/27	75.00
69626	UBS AG – HSI R Bear CBBC Apr 2012 K	300,000,000	2011/12/14	2012/04/27	75.00
69634	UBS AG – HSI R Bear CBBC Jun 2012 S	300,000,000	2011/12/14	2012/06/28	75.00
69631	UBS AG – TCH R Bull CBBC Apr 2012 F	100,000,000	2011/12/14	2012/04/16	25.00
69644	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 L	200,000,000	2011/12/15	2012/03/29	50.00
69645	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2012 A	150,000,000	2011/12/15	2012/03/29	37.50
69646	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2012 B	150,000,000	2011/12/15	2012/03/29	37.50
69636	HK Bank – HSI R Bull CBBC Jul 2012 F	250,000,000	2011/12/15	2012/07/30	62.50
69637	J P Morgan SP BV – HSI R Bull CBBC Apr 2012 M	200,000,000	2011/12/15	2012/04/27	50.00
69638	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 N	200,000,000	2011/12/15	2012/04/27	50.00
69639	J P Morgan SP BV – HSI R Bear CBBC Apr 2012 O	200,000,000	2011/12/15	2012/04/27	50.00
69640	UBS AG – HSI R Bull CBBC Mar 2012 U	300,000,000	2011/12/15	2012/03/29	75.00
69641	UBS AG – HSI R Bull CBBC May 2012 W	300,000,000	2011/12/15	2012/05/30	75.00
69642	UBS AG – HSI R Bear CBBC Jul 2012 R	300,000,000	2011/12/15	2012/07/30	75.00
68787 [#]	HK Bank – HSI R Bull CBBC Aug 2012 D	200,000,000	2011/12/15	2012/02/28	19.00
69654	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 F	200,000,000	2011/12/16	2012/03/29	50.00
69655	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 O	200,000,000	2011/12/16	2012/03/29	50.00
69647	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 F	128,000,000	2011/12/16	2012/06/28	32.00
69660	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 C	128,000,000	2011/12/16	2012/03/29	32.00
69650	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar12K	300,000,000	2011/12/16	2012/03/29	75.00
69651	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar12N	300,000,000	2011/12/16	2012/03/29	75.00
69652	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar12O	300,000,000	2011/12/16	2012/03/29	75.00
69648	UBS AG – HSI R Bull CBBC May 2012 A	300,000,000	2011/12/16	2012/05/30	75.00
69658	UBS AG – HSI R Bull CBBC Jun 2012 O	300,000,000	2011/12/16	2012/06/28	75.00
69649	UBS AG – HSI R Bull CBBC Jun 2012 T	300,000,000	2011/12/16	2012/06/28	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69656	UBS AG – HSI R Bear CBBC Apr 2012 D	300,000,000	2011/12/16	2012/04/27	75.00
69657	UBS AG – HSI R Bear CBBC May 2012 H	300,000,000	2011/12/16	2012/05/30	75.00
69659	UBS AG – HSI R Bear CBBC Jul 2012 S	300,000,000	2011/12/16	2012/07/30	75.00
69670	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 R	200,000,000	2011/12/19	2012/03/29	50.00
69669	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2012 S	200,000,000	2011/12/19	2012/03/29	50.00
69661	HK Bank – A50 R Bear CBBC Sep 2012 A	100,000,000	2011/12/19	2012/09/18	25.00
69668	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12A	300,000,000	2011/12/19	2012/03/29	75.00
69667	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Mar 12P	300,000,000	2011/12/19	2012/03/29	75.00
69665	UBS AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/12/19	2012/03/29	75.00
69663	UBS AG – HSI R Bull CBBC Apr 2012 L	300,000,000	2011/12/19	2012/04/27	75.00
69664	UBS AG – HSI R Bull CBBC May 2012 Y	300,000,000	2011/12/19	2012/05/30	75.00
69666	UBS AG – HSI R Bull CBBC Jul 2012 T	300,000,000	2011/12/19	2012/07/30	75.00
69662	UBS AG – HSI R Bear CBBC Mar 2012 J	300,000,000	2011/12/19	2012/03/29	75.00
69687	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 A	200,000,000	2011/12/20	2012/06/28	50.00
69688	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 B	200,000,000	2011/12/20	2012/06/28	50.00
69686	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 L	200,000,000	2011/12/20	2012/04/27	50.00
69676	Daiwa Capital Mkt – HSI R Bull CBBC Mar 2012 A	128,000,000	2011/12/20	2012/03/29	32.00
69671	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12V	300,000,000	2011/12/20	2012/05/30	75.00
69673	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12W	300,000,000	2011/12/20	2012/05/30	75.00
69672	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12X	300,000,000	2011/12/20	2012/05/30	75.00
69674	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12B	300,000,000	2011/12/20	2012/03/29	75.00
69681	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12G	300,000,000	2011/12/20	2012/03/29	75.00
69680	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12L	300,000,000	2011/12/20	2012/03/29	75.00
69684	UBS AG – A50 China R Bear CBBC Mar 2012 C	100,000,000	2011/12/20	2012/03/26	25.00
69685	UBS AG – HkEx R Bear CBBC Apr 2012 A	100,000,000	2011/12/20	2012/04/02	25.00
69678	UBS AG – HSI R Bull CBBC Mar 2012 E	300,000,000	2011/12/20	2012/03/29	75.00
69679	UBS AG – HSI R Bull CBBC Jun 2012 W	300,000,000	2011/12/20	2012/06/28	75.00
69683	UBS AG – HSI R Bull CBBC Jul 2012 U	300,000,000	2011/12/20	2012/07/30	75.00
69682	UBS AG – HSI R Bear CBBC Mar 2012 G	300,000,000	2011/12/20	2012/03/29	75.00
69696	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Oct 2012 A	100,000,000	2011/12/21	2012/10/30	25.00
69697	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 U	200,000,000	2011/12/21	2012/04/27	50.00
69690	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 F	128,000,000	2011/12/21	2012/03/29	32.00
69694	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12Y	300,000,000	2011/12/21	2012/05/30	75.00
69695	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12H	300,000,000	2011/12/21	2012/03/29	75.00
69693	UBS AG – China Life R Bear CBBC Apr 2012 B	100,000,000	2011/12/21	2012/04/02	33.50
69689	UBS AG – HSI R Bull CBBC Apr 2012 N	300,000,000	2011/12/21	2012/04/27	75.00
69691	UBS AG – HSI R Bear CBBC Apr 2012 F	300,000,000	2011/12/21	2012/04/27	75.00
69616#	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 B	140,000,000	2011/12/21	2012/03/29	14.42
69714	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 D	200,000,000	2011/12/22	2012/04/27	50.00
69715	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 G	200,000,000	2011/12/22	2012/04/27	50.00
69704	Citigroup Global Mkt H Inc. – HSI R Bull CBBC Mar 2012 C	150,000,000	2011/12/22	2012/03/29	37.50
69705	Citigroup Global Mkt H Inc. – HSI R Bear CBBC Mar 2012 D	150,000,000	2011/12/22	2012/03/29	37.50
69698	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 G	128,000,000	2011/12/22	2012/06/28	32.00
69699	Daiwa Capital Mkt – HSI R Bull CBBC Jun 2012 H	128,000,000	2011/12/22	2012/06/28	32.00
69707	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12G	300,000,000	2011/12/22	2012/05/30	75.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69720	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12P	300,000,000	2011/12/22	2012/05/30	75.00
69703	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12S	300,000,000	2011/12/22	2012/05/30	75.00
69702	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12Z	300,000,000	2011/12/22	2012/05/30	75.00
69712	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12D	300,000,000	2011/12/22	2012/03/29	75.00
69708	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12I	300,000,000	2011/12/22	2012/03/29	75.00
69713	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar 12S	300,000,000	2011/12/22	2012/03/29	75.00
69719	UBS AG – China Life R Bear CBBC Apr 2012 C	100,000,000	2011/12/22	2012/04/16	28.50
69718	UBS AG – CNOOC R Bear CBBC Apr 2012 D	100,000,000	2011/12/22	2012/04/23	25.00
69700	UBS AG – HSI R Bull CBBC Mar 2012 N	300,000,000	2011/12/22	2012/03/29	75.00
69701	UBS AG – HSI R Bull CBBC Apr 2012 T	300,000,000	2011/12/22	2012/04/27	75.00
69721	UBS AG – HSI R Bull CBBC Jul 2012 V	300,000,000	2011/12/22	2012/07/30	75.00
69716	UBS AG – HSI R Bear CBBC Apr 2012 M	300,000,000	2011/12/22	2012/04/27	75.00
69717	UBS AG – HSI R Bear CBBC May 2012 R	300,000,000	2011/12/22	2012/05/30	75.00
69725	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 C	200,000,000	2011/12/23	2012/06/28	50.00
69726	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 D	200,000,000	2011/12/23	2012/06/28	50.00
69723	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 E	128,000,000	2011/12/23	2012/03/29	32.00
69724	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 M	168,000,000	2011/12/23	2012/03/29	42.00
69727	UBS AG – A50 China R Bull CBBC Apr 2012 B	100,000,000	2011/12/23	2012/04/16	25.00
69728	UBS AG – HSI R Bull CBBC May 2012 L	300,000,000	2011/12/23	2012/05/30	75.00
69729	UBS AG – HSI R Bull CBBC Jun 2012 Y	300,000,000	2011/12/23	2012/06/28	75.00
69722	UBS AG – HSI R Bear CBBC Mar 2012 Q	300,000,000	2011/12/23	2012/03/29	75.00
69751	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 E	200,000,000	2011/12/28	2012/06/28	50.00
69753	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 E	200,000,000	2011/12/28	2012/04/27	50.00
69752	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2012 H	200,000,000	2011/12/28	2012/04/27	50.00
69741	Credit Suisse AG – HSI R Bull CBBC Jun 2012 S	400,000,000	2011/12/28	2012/06/28	100.00
69740	Credit Suisse AG – HSI R Bull CBBC Jun 2012 Y	400,000,000	2011/12/28	2012/06/28	100.00
69739	Credit Suisse AG – HSI R Bear CBBC Mar 2012 B	400,000,000	2011/12/28	2012/03/29	100.00
69733	Credit Suisse AG – HSI R Bear CBBC Mar 2012 D	400,000,000	2011/12/28	2012/03/29	100.00
69732	Credit Suisse AG – HSI R Bear CBBC Mar 2012 E	400,000,000	2011/12/28	2012/03/29	100.00
69746	Credit Suisse AG – HSI R Bear CBBC Mar 2012 I	400,000,000	2011/12/28	2012/03/29	100.00
69747	Credit Suisse AG – HSI R Bear CBBC Mar 2012 J	400,000,000	2011/12/28	2012/03/29	100.00
69734	Credit Suisse AG – HSI R Bear CBBC Mar 2012 R	400,000,000	2011/12/28	2012/03/29	100.00
69735	Credit Suisse AG – HSI R Bear CBBC Mar 2012 S	400,000,000	2011/12/28	2012/03/29	100.00
69736	Credit Suisse AG – HSI R Bear CBBC Mar 2012 V	400,000,000	2011/12/28	2012/03/29	100.00
69748	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 N	128,000,000	2011/12/28	2012/03/29	32.00
69730	HK Bank – HSI R Bear CBBC Jul 2012 G	200,000,000	2011/12/28	2012/07/30	50.00
69731	HK Bank – HSI R Bear CBBC Jul 2012 H	200,000,000	2011/12/28	2012/07/30	50.00
69745	Macquarie Bank Ltd. – HSI R Bull CBBC Jun 2012 I	200,000,000	2011/12/28	2012/06/28	50.00
69742	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 F	200,000,000	2011/12/28	2012/06/28	50.00
69743	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 G	200,000,000	2011/12/28	2012/06/28	50.00
69744	Macquarie Bank Ltd. – HSI R Bear CBBC Jun 2012 H	200,000,000	2011/12/28	2012/06/28	50.00
69749	UBS AG – HSI R Bear CBBC Mar 2012 U	300,000,000	2011/12/28	2012/03/29	75.00
69750	UBS AG – HSI R Bear CBBC Jun 2012 M	300,000,000	2011/12/28	2012/06/28	75.00
69757	Credit Suisse AG – HSI R Bull CBBC Jul 2012 X	400,000,000	2011/12/29	2012/07/30	100.00
69758	Credit Suisse AG – HSI R Bull CBBC Jul 2012 Y	400,000,000	2011/12/29	2012/07/30	100.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull / Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69759	Credit Suisse AG – HSI R Bear CBBC Mar 2012 K	400,000,000	2011/12/29	2012/03/29	100.00
69762	Credit Suisse AG – HSI R Bear CBBC Mar 2012 N	400,000,000	2011/12/29	2012/03/29	100.00
69760	Credit Suisse AG – HSI R Bear CBBC Mar 2012 T	400,000,000	2011/12/29	2012/03/29	100.00
69761	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Y	400,000,000	2011/12/29	2012/03/29	100.00
69763	Credit Suisse AG – HSI R Bear CBBC Mar 2012 Z	400,000,000	2011/12/29	2012/03/29	100.00
69754	HK Bank – China Life R Bear CBBC Jul 2012 E	80,000,000	2011/12/29	2012/07/16	44.00
69755	HK Bank – TCH R Bull CBBC Jul 2012 A	80,000,000	2011/12/29	2012/07/18	24.00
69769	J P Morgan SP BV – HSI R Bull CBBC May 2012 F	200,000,000	2011/12/29	2012/05/30	50.00
69770	J P Morgan SP BV – HSI R Bull CBBC May 2012 G	200,000,000	2011/12/29	2012/05/30	50.00
69771	J P Morgan SP BV – HSI R Bull CBBC May 2012 H	200,000,000	2011/12/29	2012/05/30	50.00
69764	J P Morgan SP BV – HSI R Bear CBBC May 2012 A	200,000,000	2011/12/29	2012/05/30	50.00
69765	J P Morgan SP BV – HSI R Bear CBBC May 2012 B	200,000,000	2011/12/29	2012/05/30	50.00
69766	J P Morgan SP BV – HSI R Bear CBBC May 2012 C	200,000,000	2011/12/29	2012/05/30	50.00
69767	J P Morgan SP BV – HSI R Bear CBBC May 2012 D	200,000,000	2011/12/29	2012/05/30	50.00
69768	J P Morgan SP BV – HSI R Bear CBBC May 2012 E	200,000,000	2011/12/29	2012/05/30	50.00
69772	UBS AG – HSI R Bull CBBC Mar 2012 Y	300,000,000	2011/12/29	2012/03/29	75.00
69756	UBS AG – HSI R Bear CBBC Jun 2012 C	300,000,000	2011/12/29	2012/06/28	75.00
69792	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Jun 2012 F	200,000,000	2011/12/30	2012/06/28	50.00
69783	Credit Suisse AG – HSI R Bull CBBC Jun 2012 A	400,000,000	2011/12/30	2012/06/28	100.00
69778	Credit Suisse AG – HSI R Bear CBBC Apr 2012 R	400,000,000	2011/12/30	2012/04/27	112.00
69779	Credit Suisse AG – HSI R Bear CBBC Apr 2012 S	400,000,000	2011/12/30	2012/04/27	100.00
69781	Credit Suisse AG – HSI R Bear CBBC May 2012 C	400,000,000	2011/12/30	2012/05/30	100.00
69780	Credit Suisse AG – HSI R Bear CBBC May 2012 O	400,000,000	2011/12/30	2012/05/30	104.00
69782	Credit Suisse AG – HSI R Bear CBBC Jun 2012 W	400,000,000	2011/12/30	2012/06/28	122.00
69784	Credit Suisse AG – HSI R Bear CBBC Jul 2012 Z	400,000,000	2011/12/30	2012/07/30	126.00
69787	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 H	128,000,000	2011/12/30	2012/03/29	32.00
69788	Daiwa Capital Mkt – HSI R Bear CBBC Mar 2012 K	128,000,000	2011/12/30	2012/03/29	32.00
69773	CC Rabobank B.A. – HSI R Bull CBBC Jun 2012 F	150,000,000	2011/12/30	2012/06/28	37.50
69774	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 G	150,000,000	2011/12/30	2012/06/28	37.50
69775	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 H	150,000,000	2011/12/30	2012/06/28	37.50
69776	CC Rabobank B.A. – HSI R Bear CBBC Jun 2012 I	150,000,000	2011/12/30	2012/06/28	37.50
69785	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12Q	300,000,000	2011/12/30	2012/05/30	75.00
69793	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May 12V	300,000,000	2011/12/30	2012/05/30	75.00
69786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 12R	300,000,000	2011/12/30	2012/05/30	75.00
69789	UBS AG – HSI R Bull CBBC May 2012 T	300,000,000	2011/12/30	2012/05/30	75.00
69790	UBS AG – HSI R Bull CBBC Jun 2012 A	300,000,000	2011/12/30	2012/06/28	75.00
69791	UBS AG – HSI R Bull CBBC Jul 2012 W	300,000,000	2011/12/30	2012/07/30	75.00
69777	UBS AG – HSI R Bear CBBC Apr 2012 C	300,000,000	2011/12/30	2012/04/27	75.00
Total					90,461.98

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2010	Q4*	2	119.44
2011	Q1	2	139.30
	Q2	3	331.18
	Q3	3	62.60
	Q4	4	38.72

* The first DR commenced trading on 8 December 2010

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2010	Q4	–	–
2011	Q1	–	–
	Q2	–	–
	Q3	–	–
	Q4	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2010	Q4	7	0.30
2011	Q1	7	0.06
	Q2	7	0.32
	Q3	7	0.13
	Q4	7	0.00

iShares

		No. of issues	Turnover value (HK\$ mil.)
2010	Q4	2	–
2011	Q1	2	–
	Q2	2	–
	Q3	2	–
	Q4	2	–

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2010	Q4	120,693.51	38,527.65	969,546
2011	Q1	124,595.93	22,614.51	608,740
	Q2	79,170.20	20,231.96	507,155
	Q3	40,276.54	10,135.61	316,791
	Q4	41,259.61	9,971.36	288,715

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2010	Q4	64	601.99	39,738
2011	Q1	62	364.75	37,150
	Q2	59	342.91	39,893
	Q3	63	160.88	31,995
	Q4	62	160.83	34,537

20 Most Advanced GEM Stocks

for 4th quarter 2011

Rank	Code	Stock	Closing price		% up
			End of Dec 2011	End of Sep 2011	
1	08085	ZMAY HOLDINGS	0.370	0.092	302.17
2	08356	TSUN YIP HLDGS	1.260	0.485	159.79
3	08258	NW INDUSTRY	0.260	0.115	126.09
4	08250	CHI NATURAL INV	0.201	0.091	120.88
5	08198	MELCOLOT	0.185	0.096	92.71
6	08171	CHINA TRENDS	0.025	0.013	92.31
7	08116	C PUBLIC HEALTH	0.057	0.032	78.13
8	08003	GREAT WORLD	0.128	0.073	75.34
9	08130	ZHI CHENG H	0.350	0.209	67.46
10	08266	M TEL	0.255	0.154	65.58
11	08179	GAYETY HLDGS	3.700	2.240	65.18
12	08233	CIG PORTS	0.360	0.221	62.90
13	08043	ERA MINING MACH	0.850	0.530	60.38
14	08086	EPRO	1.360	0.850	60.00
15	08207	CREDIT CHINA	0.810	0.540	50.00
16	08048	EXCEL TECH	0.119	0.080	48.75
17	08351	LARRY JEWELRY	0.760	0.520	46.15
18	08166	CHINA ECO-FARM	0.055	0.038	44.74
19	08167	NEO TELEMEDIA	0.280	0.201	39.30
20	08256	NETEL	0.078	0.057	36.84

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 4th quarter 2011

Rank	Code	Stock	Closing price		% down
			End of Dec 2011	End of Sep 2011	
1	08096	RUIFENG PETRO	0.201	0.740	-72.84
2	08103	TAI SHING	0.191	0.550 A	-65.27
3	08186	CHINA ASEAN RES	0.098	0.260	-62.31
4	08131	ABC MULTIACTIVE	0.195	0.400	-51.25
5	08301	MINGWAH HI TECH	0.370	0.660	-43.94
6	08156	CHINA VANGUARD	0.149	0.255	-41.57
7	08078	CHINA3D DIGIT	0.155	0.250 A	-38.00
8	08247	BIOSINO BIO-TEC	1.600	2.500	-36.00
9	08081	COMPUTECH	0.115	0.179	-35.75
10	08111	CT SOLARPOWER	0.233	0.360	-35.28
11	08190	COMBEST HOLD	0.136	0.200	-32.00
12	08272	C FOOD&BEV GP	0.042	0.061 A	-31.15
13	08337	DIRECTEL	0.125	0.177	-29.38
14	08206	C COMM TELE SER	0.920	1.300	-29.23
15	08006	CHINA.COM	1.880	2.650	-29.06
16	08083	INNOVATIONPAY	0.215	0.300	-28.33
17	08269	WEALTH GLORY	0.230	0.315	-26.98
18	08022	TLT LOTTOTAIN	0.310	0.420 A	-26.19
19	08100	M DREAM INWORLD	0.128	0.166	-22.89
20	08173	PAN ASIA MINING	0.165	0.210	-21.43

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 4th quarter 2011

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08043	ERA MINING MACH	1,890.68	18.96
2	08356	TSUN YIP HLDGS	930.90	9.34
3	08085	ZMAY HOLDINGS	511.32	5.13
4	08137	HONBRIDGE	485.80	4.87
5	08207	CREDIT CHINA	307.02	3.08
6	08161	CHINALOTSYNERGY	254.42	2.55
7	08046	HENG XIN CHINA	251.45	2.52
8	08032	VIVA CHINA	243.54	2.44
9	08029	SUN INT'L	240.07	2.41
10	08202	INNO-TECH HOLD	220.82	2.21
11	08096	RUIFENG PETRO	215.12	2.16
12	08086	EPRO	204.48	2.05
13	08158	CHINA BIO-MED	183.39	1.84
14	08215	FIRST CREDIT	161.83	1.62
15	08111	CT SOLARPOWER	149.41	1.50
16	08116	C PUBLIC HEALTH	148.14	1.49
17	08150	SEAMLESS GREEN	147.13	1.48
18	08130	ZHI CHENG H	131.14	1.32
19	08192	GLOBAL ENERGY	121.82	1.22
20	08351	LARRY JEWELRY	118.97	1.19
Total			6,917.46	69.37

GEM Equities

20 Most Active GEM Stock by Shares

for 4th quarter 2011

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08116	C PUBLIC HEALTH	3,381.66	8.20
2	08078	CHINA3D DIGIT	3,012.24	7.30
3	08043	ERA MINING MACH	2,423.35	5.87
4	08161	CHINALOTSYNERGY	2,258.39	5.47
5	08103	TAI SHING	2,051.60	4.97
6	08163	MERDEKA RES	1,863.04	4.52
7	08085	ZMAY HOLDINGS	1,862.88	4.52
8	08080	NAS HOLDINGS	1,846.26	4.47
9	08032	VIVA CHINA	1,782.12	4.32
10	08171	CHINA TRENDS	1,671.42	4.05
11	08009	IMERCHANTS	928.85	2.25
12	08017	LONG SUCCESS	912.03	2.21
13	08356	TSUN YIP HLDGS	862.54	2.09
14	08158	CHINA BIO-MED	748.89	1.82
15	08192	GLOBAL ENERGY	683.28	1.66
16	08096	RUIFENG PETRO	609.47	1.48
17	08071	CH NETCOMTECH	572.96	1.39
18	08202	INNO-TECH HOLD	517.01	1.25
19	08081	COMPUTECH	490.02	1.19
20	08041	CH POST E-COMM	484.63	1.17
Total			28,962.67	70.20

GEM Equities

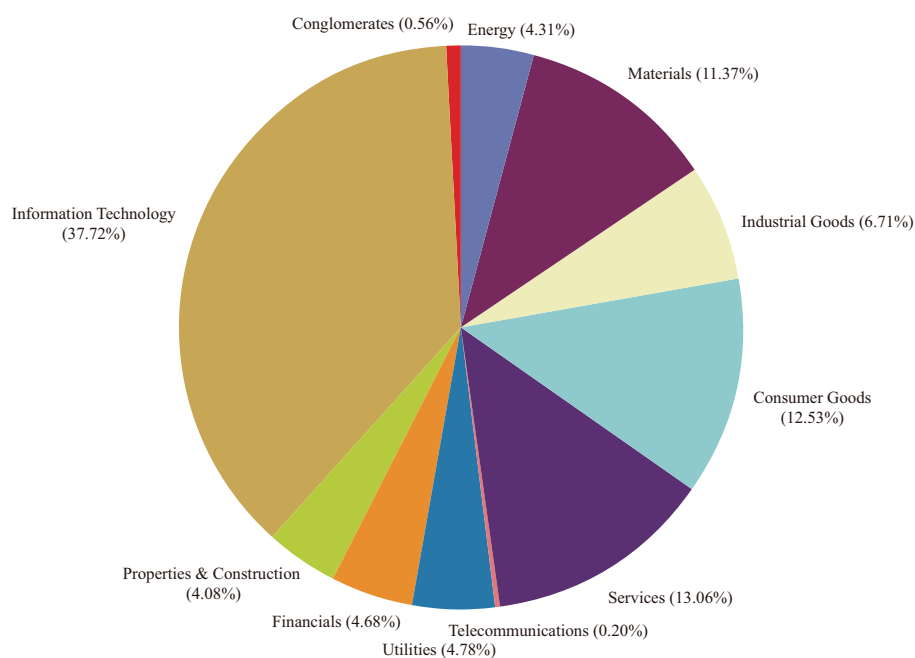
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2010	Q4	134,674.08
2011	Q1	137,835.27
	Q2	111,535.83
	Q3	77,346.08
	Q4	84,589.06

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 4th quarter end 2011

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08137 Honbridge Holdings Ltd.	7,508.87	8.88
2	08086 ePRO Ltd.	7,314.84	8.65
3	08043 ERA Mining Machinery Ltd.	4,835.03	5.72
4	08035 Binhai Investment Co. Ltd.	2,337.20	2.76
5	08075 Media Asia Group Holdings Ltd.	2,194.42	2.59
6	08192 Global Energy Resources International Group Ltd.	2,120.61	2.51
7	08008 Sunevision Holdings Ltd.	2,113.21	2.50
8	08356 Tsun Yip Holdings Ltd.	2,097.57	2.48
9	08032 Viva China Holdings Ltd.	1,965.43	2.32
10	08158 China Bio-Med Regeneration Technology Ltd.	1,750.11	2.07
11	08070 Zhongyu Gas Holdings Ltd.	1,702.73	2.01
12	08046 Heng Xin China Holdings Ltd.	1,542.00	1.82
13	08207 Credit China Holdings Ltd.	1,417.50	1.68
14	08270 China Leason CBM & Shale Gas Group Co. Ltd.	1,340.45	1.58
15	08029 Sun International Resources Ltd.	1,196.60	1.41
16	08179 Gayety Holdings Ltd.	1,184.00	1.40
17	08066 Phoenitron Holdings Ltd.	1,165.47	1.38
18	08122 LifeTech Scientific Corporation	1,125.00	1.33
19	08279 AGTech Holdings Ltd.	1,114.19	1.32
20	08155 South China Land Ltd.	1,106.67	1.31
21	08206 China Communication Telecom Services Co. Ltd.	1,099.12	1.30
22	08292 HC International, Inc.	1,035.59	1.22
23	08005 Yuxing InfoTech Investment Holdings Ltd.	1,023.72	1.21
24	08161 China LotSynergy Holdings Ltd.	956.14	1.13
25	08085 ZMAY Holdings Ltd.	922.33	1.09
26	08058 Shandong Luoxin Pharmacy Stock Co. Ltd. - H Shares	863.94	1.02
27	08083 China Innovationpay Group Ltd.	852.40	1.01
28	08071 China Netcom Technology Holdings Ltd.	825.13	0.98
29	08096 Ruifeng Petroleum Chemical Holdings Ltd.	803.65	0.95
30	08102 Shanghai Fudan Microelectronics Group Co. Ltd. - H Shares	775.46	0.92
31	08203 Kaisun Energy Group Ltd.	751.97	0.89
32	08351 Larry Jewelry International Co. Ltd.	655.77	0.78
33	08116 China Public Healthcare (Holding) Ltd.	642.53	0.76
34	08167 Neo Telemedia Ltd.	636.04	0.75
35	08011 Polyard Petroleum International Group Ltd.	619.65	0.73
36	08016 China Data Broadcasting Holdings Ltd.	607.88	0.72
37	08175 China Digital Licensing (Group) Ltd.	597.07	0.71
38	08123 First China Financial Network Holdings Ltd.	572.11	0.68
39	08228 National Arts Holdings Ltd.	552.87	0.65
40	08061 AcrossAsia Ltd.	516.59	0.61
41	08109 Creative Energy Solutions Holdings Ltd.	510.40	0.60
42	08128 China Ground Source Energy Ltd.	499.80	0.59
43	08156 China Vanguard Group Ltd.	487.51	0.58
44	08080 North Asia Strategic Holdings Ltd.	474.63	0.56
45	08129 China Bio Cassava Holdings Ltd.	467.59	0.55
46	08189 Tianjin TEDA Biomedical Engineering Co. Ltd. - H Shares	458.25	0.54
47	08190 Combest Holdings Ltd.	435.40	0.51
48	08306 China Nonferrous Metals Co. Ltd.	430.56	0.51
49	08233 CIG Yangtze Ports PLC	423.74	0.50
50	08041 China Post E-Commerce (Holdings) Ltd.	415.01	0.49
Total		67,044.78	79.26
Market Total		84,589.06	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2010	Q4	3	424.79
2011	Q1	3	452.01
	Q2	2	139.50
	Q3	3	193.04
	Q4	5	530.56

Newly Listed Companies for GEM

for 4th quarter 2011

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08020	Chanceton Financial Group Ltd.	2011/10/12	500,000,000	60.00
08122	LifeTech Scientific Corporation	2011/11/10	500,000,000	250.00
08012	RENHENG Enterprise Holdings Ltd.	2011/11/18	200,000,000	60.00
08215	First Credit Holdings Ltd.	2011/12/13	1,000,000,000	90.00
08246	Noble House (China) Holdings Ltd.	2011/12/30	280,000,000	70.56
Total				530.56

Withdrawal of listed Companies – GEM

for 4th quarter 2011

Code	Company name	Last trading date	Delisted date
08290	Tianjin Tianlian Public Utilities Co. Ltd. - H Shares *	2011/10/17	2011/10/18 §

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

Company Name Changes for GEM

for 4th quarter 2011

Code	Old name	New name	Effective date	Adoption date
08272	Best Miracle International Ltd.	Chinese Food and Beverage Group Ltd.	2011/08/31	2011/10/10
08270	China Leason Investment Group Co. Ltd.	China Leason CBM & Shale Gas Group Co. Ltd.	2011/10/20	2011/11/09
08351	Eternite International Co. Ltd.	Larry Jewelry International Co. Ltd.	2011/10/28	2011/12/08

GEM Equities

Bonus Issues/Bonus Warrants for GEM

for 4th quarter 2011

Code	Company	Particulars	Ex-date
NIL			

Share Split/Consolidation for GEM

for 4th quarter 2011

Code	Company	Particulars	Effective date
08022	TLT Lottotainment Group Ltd.	Consolidation 5 into 1	2011/10/19
08120	China Medical and Bio Science Ltd.	Consolidation 40 into 1	2011/10/20
08103	Tai Shing International (Holdings) Ltd.	Consolidation 10 into 1	2011/11/11
08078	China 3D Digital Entertainment Ltd.	Consolidation 25 into 1	2011/12/19

Rights Issues & Open Offers for GEM

for 4th quarter 2011

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Nov	08213	Epicurean and Co., Ltd.	Rts 1 for 2 @\$0.06	32.86
Total				32.86

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2011					
Sep**	08351	LARRY JEWELRY	72,700,000	0.750	54.53
Oct	08120	CHINA MEDICAL	272,000,000	0.200	54.40
	08271	GDC	223,000,000	0.350	78.05
	08096	RUIFENG PETRO	60,000,000	0.700	42.00
	08085	ZMAY HOLDINGS	415,000,000	0.069	28.64
Nov	08321	C AUTO INT DECO	40,000,000	0.250	10.00
	08137	HONBRIDGE	25,893,334	1.500	38.84
	08028	TIMELESS	168,000,000	0.150	25.20
	08130	ZHI CHENG H	30,000,000	0.257	7.71
Dec	08111	CT SOLARPOWER	32,400,000	0.300	9.72
	08351	LARRY JEWELRY	30,700,000	0.760	23.33
	08167	NEO TELEMEDIA	270,000,000	0.300	81.00
	08096	RUIFENG PETRO	232,000,000	0.250	58.00
	08103	TAI SHING	12,820,000	0.210	2.69
	08130	ZHI CHENG H	23,600,000	0.257	6.07
Total					520.17

* Due to the reporting time-lag, placing figures for the quarter are provisional.

** Supplementary information update for previous quarters.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2010	Q4	1	13.61	40.15
2011	Q1	2	2.74	43.08
	Q2	2	1.03	21.87
	Q3	2	0.23	25.46
	Q4	2	0.07	15.97

Newly Listed Equity GEM Warrants

for 4th quarter 2011

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					-

Stock Market Indices

Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Dec 2011 Close	End of Sep 2011 Close	Change %
S&P/HKEx LargeCap Index	22252.19	21233.24	+ 4.80%
S&P/HKEx GEM Index	474.80	441.40	+ 7.57%
HANG SENG INDEX	18434.39	17592.41	+ 4.79%
SECTORIAL INDICES			
Finance	24903.00	23312.15	+ 6.82%
Utilities	45400.86	45943.67	- 1.18%
Properties	22812.24	20957.65	+ 8.85%
Commerce & Industry	11126.69	10834.86	+ 2.69%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	3682.18	3391.13	+ 8.58%
HANG SENG CHINA ENTERPRISES INDEX	9936.48	8917.36	+ 11.43%

Stock Market Indices

WORLD INDICES

Index	End of Dec 2011 Close	End of Sep 2011 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	22,252.19	21,233.24	+ 4.80%
Hang Seng Index	18,434.39	17,592.41	+ 4.79%
AUSTRALIA			
All Ordinaries Index	4,111.04	4,070.09	+ 1.01%
JAKARTA			
Composite Index	3,821.99	3,549.03	+ 7.69%
JAPAN			
Nikkei (225)	8,455.35	8,700.29	- 2.82%
KOREA			
Composite Index	1,825.74	1,769.65	+ 3.17%
KUALA LUMPUR			
Composite Index	1,530.73	1,387.13	+ 10.35%
MANILA			
Composite Index	4,371.96	3,999.65	+ 9.31%
NEW ZEALAND			
NZSE (50)	3,274.71	3,343.35	- 2.05%
SHANGHAI			
A Shares Index	2,304.12	2,471.10	- 6.76%
B Shares Index	215.26	239.33	- 10.06%
SHENZHEN			
A Shares Index	906.91	1,053.14	- 13.88%
B Shares Index	567.04	553.42	+ 2.46%
SINGAPORE			
FTSE Straits Times Index	2,646.35	2,675.16	- 1.08%
TAIWAN			
Taipei Weighted Stock Index	7,072.08	7,225.38	- 2.12%
THAILAND			
SET Index	1,025.32	916.21	+ 11.91%
NEW YORK			
DJIA	12,217.56	10,913.38	+ 11.95%
Nasdaq Composite Index	2,605.15	2,415.40	+ 7.86%
TORONTO			
S&P/TSX Composite Index	11,955.09	11,623.84	+ 2.85%
GERMANY			
DAX Capital Value Index	3,346.06	3,121.22	+ 7.20%
LONDON			
FTSE (100)	5,572.30	5,128.50	+ 8.65%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2011	4th Quarter 2010
Hang Seng Index Futures	5,717,012	5,839,361
Hang Seng Index Options	2,311,629	2,731,179
Mini-Hang Seng Index Futures	2,873,202	2,150,209
Mini-Hang Seng Index Options	256,356	168,425
H-shares Index Futures	4,067,025	3,242,625
H-shares Index Options	965,184	941,418
Mini H-shares Index Futures	500,325	324,632
Flexible Hang Seng Index Options	3,850	3,710
Flexible H-shares Index Options	14,740	634
HSI Dividend Point Index Futures ¹	1,521	2,123
HSCEI Dividend Point Index Futures ²	22,740	4,667
Stock Futures	100,586	121,049
Stock Options	17,604,585	20,445,628
Three-month HIBOR Futures	64	355
One-month HIBOR Futures	25	–
Three-year Exchange Fund Note Futures	–	–
Gold Futures	120	1,972
Total	34,438,964	35,977,987

¹ Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

² Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q4	5,839,361	88,816
2011	Q1	5,589,341	89,300
	Q2	5,167,935	95,917
	Q3	6,611,545	128,201
	Q4	5,717,012	86,409

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q4	2,150,209	7,359
2011	Q1	2,176,878	8,475
	Q2	2,160,435	10,213
	Q3	3,084,022	11,015
	Q4	2,873,202	5,129

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q4	2,731,179	187,784
2011	Q1	2,673,755	229,086
	Q2	2,584,559	222,085
	Q3	3,097,483	431,313
	Q4	2,311,629	184,402

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2010	Q4	3,242,625	94,734
2011	Q1	3,206,173	107,348
	Q2	3,371,887	114,333
	Q3	4,358,785	155,125
	Q4	4,067,025	106,277

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2010	Q4	18,050.22	20,445,628	5,381,215
2011	Q1	16,446.28	18,417,824	5,912,772
	Q2	14,491.79	16,340,993	5,622,028
	Q3	24,566.72	21,961,666	8,112,569
	Q4	16,977.30	17,604,585	5,329,494

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 4th Quarter 2011

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	515.29	3.04%
ABC	ABC	298.18	1.76%
ANHUI CONCH	ACC	8.24	0.05%
AIA	AIA	325.49	1.92%
CHALCO	ALC	34.74	0.20%
BANK OF CHINA	BCL	355.29	2.09%
BANKCOMM	BCM	37.11	0.22%
BANK OF E ASIA	BEA	28.99	0.17%
BELLE INT'L	BIH	3.50	0.02%
BOC HONG KONG	BOC	260.77	1.54%
BYD COMPANY	BYD	86.13	0.51%
CCB	CCB	664.98	3.92%
CHINA COMM CONS	CCC	15.06	0.09%
CHINA COAL	CCE	208.31	1.23%
CHINA COSCO	CCS	19.86	0.12%
CHINA MOBILE	CHT	1,464.89	8.63%
CHINA UNICOM	CHU	225.96	1.33%
CITIC PACIFIC	CIT	55.16	0.32%
CHEUNG KONG	CKH	501.84	2.96%
CHINA LIFE	CLI	1,534.87	9.04%
CLP HOLDINGS	CLP	57.72	0.34%
CM BANK	CMB	208.46	1.23%
CNOOC	CNC	553.14	3.26%
CHINA OVERSEAS	COL	240.28	1.42%
CATHAY PAC AIR	CPA	8.11	0.05%
SINOPEC CORP	CPC	317.07	1.87%
CPIC	CPI	107.83	0.64%
CHINA RAIL CONS	CRC	13.55	0.08%
CHINA RAILWAY	CRG	10.39	0.06%
X WISECSI300ETF	CS3	7.06	0.04%
CHINA SHENHUA	CSE	371.63	2.19%
CITIC BANK	CTB	20.31	0.12%
CHINA TELECOM	CTC	74.53	0.44%
ESPRIT HOLDINGS	ESP	22.05	0.13%
FIH	FIH	7.25	0.04%
POWER ASSETS	HEH	58.53	0.34%
HKEX	HEX	513.48	3.02%
HSBC HOLDINGS	HKB	1,923.26	11.33%
HK & CHINA GAS	HKG	4.78	0.03%
HENDERSON LAND	HLD	43.10	0.25%
HUANENG POWER	HNP	5.54	0.03%
HANG SENG BANK	HSB	81.29	0.48%
HUTCHISON	HWL	812.04	4.78%
ICBC	ICB	551.96	3.25%
JIANGXI COPPER	JXC	340.95	2.01%
LI & FUNG	LIF	87.21	0.51%
MTR CORPORATION	MTR	20.66	0.12%
CNBM	NBM	11.13	0.07%
NEW WORLD DEV	NWD	43.59	0.26%
PING AN	PAI	851.10	5.01%
PETROCHINA	PEC	391.58	2.31%
PICC P&C	PIC	107.29	0.63%
R&F PROPERTIES	RFP	9.34	0.06%
SHK PPT	SHK	1,082.54	6.38%
SWIRE PACIFIC A	SWA	62.29	0.37%
TENCENT	TCH	932.85	5.49%
TRACKER FUND	TRF	23.19	0.14%
WHARF HOLDINGS	WHL	64.83	0.38%
YANZHOU COAL	YZC	278.78	1.64%
ZIJIN MINING	ZJM	11.95	0.07%
Total		16,977.30	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 4th Quarter 2011

Options class		Contract volume	% of total
X ISHARES A50	A50	227,795	1.29%
ABC	ABC	131,442	0.75%
ANHUI CONCH	ACC	15,310	0.09%
AIA	AIA	322,235	1.83%
CHALCO	ALC	51,504	0.29%
BANK OF CHINA	BCL	1,188,781	6.75%
BANKCOMM	BCM	92,881	0.53%
BANK OF E ASIA	BEA	89,265	0.51%
BELLE INT'L	BIH	6,891	0.04%
BOC HONG KONG	BOC	284,086	1.61%
BYD COMPANY	BYD	122,791	0.70%
CCB	CCB	2,641,521	15.00%
CHINA COMM CONS	CCC	49,776	0.28%
CHINA COAL	CCE	224,637	1.28%
CHINA COSCO	CCS	82,846	0.47%
CHINA MOBILE	CHT	944,271	5.36%
CHINA UNICOM	CHU	151,122	0.86%
CITIC PACIFIC	CIT	50,768	0.29%
CHEUNG KONG	CKH	149,270	0.85%
CHINA LIFE	CLI	844,569	4.80%
CLP HOLDINGS	CLP	89,169	0.51%
CM BANK	CMB	414,147	2.35%
CNOOC	CNC	553,236	3.14%
CHINA OVERSEAS	COL	133,937	0.76%
CATHAY PAC AIR	CPA	14,218	0.08%
SINOPEC CORP	CPC	323,934	1.84%
CPIC	CPI	92,691	0.53%
CHINA RAIL CONS	CRC	58,123	0.33%
CHINA RAILWAY	CRG	41,836	0.24%
X WISECSI300ETF	CS3	5,547	0.03%
CHINA SHENHUA	CSE	298,304	1.69%
CITIC BANK	CTB	46,595	0.26%
CHINA TELECOM	CTC	128,485	0.73%
ESPRIT HOLDINGS	ESP	268,085	1.52%
FIH	FIH	24,553	0.14%
POWER ASSETS	HEH	91,546	0.52%
HKEX	HEX	853,680	4.85%
HSBC HOLDINGS	HKB	1,396,581	7.93%
HK & CHINA GAS	HKG	12,723	0.07%
HENDERSON LAND	HLD	24,283	0.14%
HUANENG POWER	HNP	14,408	0.08%
HANG SENG BANK	HSB	251,828	1.43%
HUTCHISON	HWL	253,742	1.44%
ICBC	ICB	1,773,728	10.08%
JIANGXI COPPER	JXC	229,270	1.30%
LI & FUNG	LIF	53,641	0.30%
MTR CORPORATION	MTR	40,705	0.23%
CNBM	NBM	9,718	0.06%
NEW WORLD DEV	NWD	71,936	0.41%
PING AN	PAI	343,729	1.95%
PETROCHINA	PEC	370,261	2.10%
PICC P&C	PIC	71,192	0.40%
R&F PROPERTIES	RFP	30,615	0.17%
SHK PPT	SHK	194,228	1.10%
SWIRE PACIFIC A	SWA	48,759	0.28%
TENCENT	TCH	1,083,035	6.15%
TRACKER FUND	TRF	64,421	0.37%
WHARF HOLDINGS	WHL	40,569	0.23%
YANZHOU COAL	YZC	93,109	0.53%
ZIJIN MINING	ZJM	22,257	0.13%
Total		17,604,585	100.00%

Clearing & Settlement

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2010	Q4	92,915	54.76	234.79
2011	Q1	86,292	47.11	202.59
	Q2	87,498	49.00	233.07
	Q3	84,654	50.41	221.54
	Q4	76,802	44.37	186.44

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/Month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2010	Q4	715	120.35	480.27
2011	Q1	516	178.77	227.52
	Q2	527	108.05	323.66
	Q3	489	123.92	383.03
	Q4	342	83.77	97.11

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2010	Q4	3,834.10	71.80	11,294.50	49.57
2011	Q1	3,933.19	72.49	11,539.57	50.44
	Q2	3,707.09	69.37	11,612.87	50.11
	Q3	3,771.07	71.19	8,909.87	49.96
	Q4	3,694.24	70.17	9,599.91	50.23

Clearing & Settlement

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2010	Q4	904,380	100	171,236.05	100	86,455.45	100
2011	Q1	855,117	100	164,899.54	100	75,908.44	100
	Q2	869,474	100	156,793.01	100	71,017.11	100
	Q3	908,434	100	165,464.02	100	72,538.55	100
	Q4	857,422	100	161,396.94	100	59,305.03	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2010	Q4	80,167	95.27	31,301.46	90.52
2011	Q1	74,506	95.39	27,083.36	91.57
	Q2	70,588	95.73	25,359.54	91.71
	Q3	63,710	96.23	20,235.50	93.60
	Q4	56,834	96.38	18,005.04	94.13

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2010	Q4	99.86	99.99
2011	Q1	99.90	99.99
	Q2	99.88	99.99
	Q3	99.87	99.99
	Q4	99.89	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2011	4th Quarter 2010
Hang Seng Index Futures	86,409	88,816
Hang Seng Index Options	184,402	187,784
Mini-Hang Seng Index Futures	5,129	7,359
Mini-Hang Seng Index Options	3,873	4,859
H-shares Index Futures	106,277	94,734
H-shares Index Options	158,628	104,994
Mini H-shares Index Futures	1,520	1,867
Flexible Hang Seng Index Options	7,510	500
Flexible H-shares Index Options	3,500	–
HSI Dividend Point Index Futures ¹	4,460	1,270
HSCEI Dividend Point Index Futures ²	34,270	1,138
Stock Futures	11,277	11,514
Stock Options	5,329,494	5,381,215
Three-month HIBOR Futures	24	267
One-month HIBOR Futures	25	–
Three-year Exchange Fund Note Futures	–	–
Gold Futures	–	85
Total	5,936,798	5,886,402

¹ Trading in HSI Dividend Point Index Futures commenced on 01 Nov 2010

² Trading in HSCEI Dividend Point Index Futures commenced on 01 Nov 2010

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 4th Quarter 2011

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	62,732	1.18
ABC	ABC	65,736	1.23
ANHUI CONCH	ACC	3,482	0.07
AIA	AIA	80,483	1.51
CHALCO	ALC	25,555	0.48
BANK OF CHINA	BCL	651,469	12.22
BANKCOMM	BCM	62,679	1.18
BANK OF E ASIA	BEA	32,392	0.61
BELLE INT'L	BIH	2,089	0.04
BOC HONG KONG	BOC	133,974	2.51
BYD COMPANY	BYD	19,121	0.36
CCB	CCB	830,348	15.58
CHINA COMM CONS	CCC	20,955	0.39
CHINA COAL	CCE	121,701	2.28
CHINA COSCO	CCS	36,081	0.68
CHINA MOBILE	CHT	177,860	3.34
CHINA UNICOM	CHU	44,950	0.84
CITIC PACIFIC	CIT	28,263	0.53
CHEUNG KONG	CKH	32,018	0.60
CHINA LIFE	CLI	218,197	4.09
CLP HOLDINGS	CLP	19,267	0.36
CM BANK	CMB	154,497	2.90
CNOOC	CNC	223,487	4.19
CHINA OVERSEAS	COL	37,971	0.71
CATHAY PAC AIR	CPA	6,232	0.12
SINOPEC CORP	CPC	141,795	2.66
CPIC	CPI	16,670	0.31
CHINA RAIL CONS	CRC	17,888	0.34
CHINA RAILWAY	CRG	11,163	0.21
X WISECSI300ETF	CS3	868	0.02
CHINA SHENHUA	CSE	134,273	2.52
CITIC BANK	CTB	16,387	0.31
CHINA TELECOM	CTC	57,622	1.08
ESPRIT HOLDINGS	ESP	46,869	0.88
FIH	FIH	4,821	0.09
POWER ASSETS	HEH	18,934	0.36
HKEX	HEX	163,026	3.06
HSBC HOLDINGS	HKB	273,100	5.12
HK & CHINA GAS	HKG	4,461	0.08
HENDERSON LAND	HLD	6,984	0.13
HUANENG POWER	HNP	6,424	0.12
HANG SENG BANK	HSB	50,355	0.94
HUTCHISON	HWL	61,059	1.15

Clearing & Settlement

Options class		Open interest (contracts)	% of total
ICBC	ICB	630,622	11.83
JIANGXI COPPER	JXC	38,750	0.73
LI & FUNG	LIF	9,065	0.17
MTR CORPORATION	MTR	16,485	0.31
CNBM	NBM	3,953	0.07
NEW WORLD DEV	NWD	18,884	0.35
PING AN	PAI	73,524	1.38
PETROCHINA	PEC	137,125	2.57
PICC P&C	PIC	16,908	0.32
R&F PROPERTIES	RFP	24,643	0.46
SHK PPT	SHK	28,642	0.54
SWIRE PACIFIC A	SWA	7,945	0.15
TENCENT	TCH	132,749	2.49
TRACKER FUND	TRF	14,346	0.27
WHARF HOLDINGS	WHL	9,002	0.17
YANZHOU COAL	YZC	35,366	0.66
ZIJIN MINING	ZJM	7,247	0.14
Total		5,329,494	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2010	Q4	538 (960)	485 (904)	31 (34)	22 (22)
2011	Q1	544 (965)	489 (907)	33 (36)	22 (22)
	Q2	547 (970)	490 (906)	35 (42)	22 (22)
	Q3	549 (973)	494 (911)	34 (41)	21 (21)
	Q4	555 (980)	498 (916)	36 (43)	21 (21)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2010	Q4	221 (246)	179 (202)	–	42 (44)
2011	Q1	222 (246)	180 (202)	–	42 (44)
	Q2	228 (252)	184 (206)	–	44 (46)
	Q3	228 (252)	185 (207)	–	43 (45)
	Q4	228 (252)	182 (204)	–	46 (48)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
S&P	Standard and Poors
SEOCH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities