



證券及衍生產品市場 季報

2013年第四季

市場概況

主板

概況	2013 年第 4 季	2012 年第 4 季
上市公司數目	1,451	1,368
上市證券數目	8,330	6,723
股份		
普通股	1,452	1,369
優先股	3	3
認股權證	4,723	3,757
股份	8	10
衍生	4,715	3,747
股票掛鈎票據	0	0
牛熊證	1,620	1214
單位信託及互惠基金	129	111
債券	403	269
非上市可交易股份數目		
Nasdaq 股份	7	7
iShares	2	2
交易日數	62	61
總成交金額(百萬港元)*	3,628,440.12	3,407,506.39
總成交股數(百萬股)	7,178,314.40	7,733,882.50
總成交宗數(宗數)	57,023,273	48,843,582
上市公司市價總值(百萬港元)	23,908,803.80	21,871,730.17
平均市盈率(倍)	11.24	10.50
平均週息率(百分率)	2.99	2.81
交易所參與者數目		
開業	504	511
非開業	39	39
成交金額(百萬港元)		
最高	112,075.87 (18/11)	118,223.29 (18/12)
最低	38,592.51 (27/12)	39,634.41 (28/12)
成交股數(百萬股)		
最高	165,262.79 (24/10)	176,378.56 (13/11)
最低	71,184.32 (27/12)	48,880.06 (28/12)
總成交宗數(宗數)		
最高	1,421,597 (18/11)	1,123,254 (30/11)
最低	722,145 (27/12)	642,083 (30/10)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2013 年 第 4 季	2012 年 第 4 季
上市公司數目	192	179
上市證券數目	192	180
股份	192	179
認股權證	0	1
交易日數	62	61
總成交金額(百萬港元)*	32,626.67	9,037.20
總成交股數(百萬股)	78,234.46	45,943.80
總成交宗數(宗數)	914,205	329,422
上市公司市價總值(百萬港元)	134,002.06	78,398.98
平均市盈率(倍)	49.25	18.38
平均週息率(百分率)	0.52	0.88
成交金額(百萬港元)		
最高	1,347.58 (18/10)	238.81 (06/12)
最低	274.68 (31/10)	83.13 (08/10)
成交股數(百萬股)		
最高	2,912.84 (09/10)	1,484.36 (17/10)
最低	669.00 (31/10)	398.76 (30/10)
總成交宗數(宗數)		
最高	32,875 (18/10)	11,220 (17/10)
最低	9,184 (31/10)	3,107 (08/10)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2013 年第 4 季	2012 年第 4 季
所有期貨及期權產品(股票期權除外)		
交易日數	62.0	60.0
總合約成交量	16,524,908	15,867,030
股市指數產品	16,397,570	15,734,039
股票產品	86,788	114,886
利率產品	2	—
人民幣貨幣期貨	40,548	18,105
黃金期貨	0	—
平均每日合約成交量	266,531	264,451
季末未平倉合約		
股市指數產品	1,489,264	1,285,991
股票產品	1,452,153	1,262,802
利率產品	18,409	19,516
利率產品	1	—
人民幣貨幣期貨	18,701	3,673
黃金期貨	0	0
股票期權		
新開辦期權類別	0	0
股票期權數目(於季末數目)		
類別	70	63
系列	16,900	12,788
期權金總額(百萬港元)		
認購	10,051.31	7,341.35
認沽	5,150.45	3,724.54
認沽	4,900.86	3,616.81
平均每日期權金總額(百萬港元)	162.12	122.36
總合約成交量	15,367,765	14,366,259
認購	7,178,492	7,083,716
認沽	8,189,273	7,282,543
平均每日合約成交量	247,867	239,438
季末未平倉合約	4,740,818	4,031,961
認購	2,179,051	1,811,990
認沽	2,561,767	2,219,971
總成交宗數	704,729	616,666
平均每日成交宗數	11,367	10,278
已行使合約總數	1,796,823	2,094,088
每張合約平均期權金(港元)	654.05	511.01
每宗交易平均合約數目	22	23
認沽數量／認購數量	1.14	1.03
平均每日合約成交量／未平倉合約	5.2%	5.9%
所有期貨及期權產品		
總合約成交量	31,892,673	30,233,289
季末未平倉合約	6,230,082	5,317,952

市場概況

結算及交收

中央結算系統營運資料	2013年第4季	2012年第4季
經中央結算系統處理之聯交所交易(每日平均數)		
-交易宗數	933,875	804,910
-交易金額(十億港元)	59.0	55.8
-涉及股數(十億股)	117.0	127.5
經中央結算系統處理的交收指示(每日平均數)		
-交收指示數目	84,326	76,640
-交收指示涉及金額(十億港元)	201.9	198.5
-涉及股數(十億股)	52.1	50.6
經中央結算系統處理的投資者交收指示(每日平均數)		
-交收指示數目	412	378
-交收指示涉及金額(百萬港元)	203.7	213.7
-涉及股數(百萬股)	144.0	114.7
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.91	99.90
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
-涉及經紀數目	4	5
-補購宗數	5	5
-補購涉及金額(百萬港元)	1.9	1.5
存放於中央結算系統證券存管處的股份*		
-股數(十億股)	3,955.2	3,663.7
-獲納入系統的證券佔已發行股本總數的百分比	70.87	70.30
-股份價值(十億港元)	13,878.9	12,330.1
-獲納入系統的證券佔市價總值的百分比	52.68	51.73
衍生產品結算及交收系統營運資料		
季末未平倉合約		
-股市指數期貨	390,291	381,023
-股票期貨	18,409	19,516
-黃金期貨	0	0
-利率期貨	1	0
-人民幣貨幣期貨	18,701	3,673
-股市指數期權	1,061,862	881,779
-股票期權	4,740,818	4,031,961
累積行使股票期權		
-涉及股數(百萬股)	2,192	2,224
-涉及金額(十億港元)	35	33

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2012	第 4 季	524,830.38	2,473,053.10	40,125,188
2013	第 1 季	668,094.05	3,081,052.48	47,851,601
	第 2 季	535,314.29	2,706,214.49	47,274,428
	第 3 季	547,703.15	2,531,014.96	44,925,237
	第 4 季	696,519.78	2,824,736.45	47,763,753

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2012	第 4 季	61	40,541.85	61,633
2013	第 1 季	59	52,221.23	64,388
	第 2 季	60	45,103.57	57,245
	第 3 季	63	40,174.84	56,338
	第 4 季	62	45,560.27	59,140

主 板 股 份

20種升幅最大之股份

2013年第4季

順次	股份代號	股份	收市價		升幅
			2013年12月底	2013年9月底	
1	01063	新確科技	0.400	0.036	A 1,011.11
2	00928	野馬國際	4.350	0.660	559.09
3	00204	中國投資開發	1.650	0.275	500.00
4	00745	中國鐵聯傳媒	1.830	0.390	369.23
5	00024	寶威控股	0.540	0.122	342.62
6	01009	國際娛樂	8.440	2.360	257.63
7	00273	威利國際	3.050	0.880	246.59
8	00759	CEC INT'L HOLD	3.520	1.050	235.24
9	01363	中滔環保	3.880	1.270	205.51
10	00993	天行國際	11.800	4.120	A 186.41
11	00279	民豐企業	1.750	0.620	182.26
12	00690	聯康生物科技集團	0.270	0.097	178.35
13	00986	中國環保能源	4.980	1.810	175.14
14	00500	天地數碼(控股)	1.210	0.445	171.91
15	00913	合一投資	2.180	0.840	159.52
16	03886	康健國際	2.980	1.160	156.90
17	01064	中華國際	1.590	0.620	156.45
18	00557	CITY E-SOLUTION	1.980	0.800	147.50
19	01680	澳門勵駿	8.150	3.300	146.97
20	01150	米蘭站	1.010	0.410	146.34

A 經調整

20種跌幅最大之股份

2013年第4季

順次	股份代號	股份	收市價		跌幅
			2013年12月底	2013年9月底	
1	02322	仁瑞投資	0.176	0.460	-61.74
2	01237	美麗家園	0.800	1.830	-56.28
3	00221	PNG資源	0.086	0.188	-54.26
4	00124	粵海置地	1.700	3.480	-51.15
5	00397	君陽太陽能	0.069	0.135	-48.89
6	00149	中國農產品交易	0.068	0.131	-48.09
7	00582	藍鼎國際	0.335	0.640	A -47.66
8	00643	恆富控股	0.540	1.000	-46.00
9	00572	中國包裝集團	0.110	0.203	A -45.81
10	00566	漢能太陽能	0.790	1.400	-43.57
11	02088	西王置業	0.820	1.400	-41.43
12	01259	青蛙王子	3.190	5.420	-41.14
13	00207	僑福企業	1.960	3.290	-40.43
14	00616	永義實業	0.570	0.927	A -38.51
15	00860	明豐珠寶	0.160	0.255	-37.25
16	01818	招金礦業	4.430	6.900	-35.80
17	02327	宇業控股	0.375	0.580	-35.34
18	00337	綠地香港	3.770	5.650	-33.27
19	00471	中播控股	0.335	0.500	-33.00
20	00660	偉俊礦業集團	0.043	0.064	-32.81

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2013年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	101,592.43	3.60
2	00941	中國移動	95,077.34	3.37
3	00939	建設銀行	90,706.30	3.21
4	01398	工商銀行	75,283.76	2.67
5	02318	中國平安	64,795.35	2.29
6	03988	中國銀行	63,647.08	2.25
7	00005	匯豐控股	61,944.60	2.19
8	01299	友邦保險	50,798.97	1.80
9	02628	中國人壽	50,581.92	1.79
10	00883	中國海洋石油	47,978.93	1.70
11	00857	中國石油股份	42,403.04	1.50
12	01928	金沙中國有限公司	39,987.07	1.42
13	00386	中國石油化工股份	38,446.92	1.36
14	00027	銀河娛樂	35,939.17	1.27
15	00013	和記黃埔	34,965.80	1.24
16	01288	農業銀行	28,272.21	1.00
17	01988	民生銀行	27,187.87	0.96
18	01088	中國神華	26,545.73	0.94
19	00388	香港交易所	26,485.28	0.94
20	06863	輝山乳業	26,029.98	0.92
總額			1,028,669.74	36.42

20種成交最活躍股份(股數)

2013年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	03988	中國銀行	17,595.34	2.53
2	00326	中國星集團	17,493.89	2.51
3	00397	君陽太陽能	17,388.38	2.50
4	00939	建設銀行	15,023.41	2.16
5	01398	工商銀行	13,947.78	2.00
6	00566	漢能太陽能	12,244.93	1.76
7	00070	海王集團	10,840.47	1.56
8	00221	PNG資源	10,006.07	1.44
9	00493	國美電器	9,712.02	1.39
10	00981	中芯國際	9,493.53	1.36
11	06863	輝山乳業	8,961.84	1.29
12	01371	華彩控股	8,052.48	1.16
13	01288	農業銀行	7,467.06	1.07
14	03800	保利協鑫能源	6,985.02	1.00
15	00149	中國農產品交易	6,936.07	1.00
16	00690	聯康生物科技集團	6,835.28	0.98
17	00386	中國石油化工股份	5,925.85	0.85
18	00702	中國油氣控股	5,815.75	0.83
19	00024	寶威控股	5,393.96	0.77
20	00120	COSMOPOL INT'L	4,904.59	0.70
總數			201,023.73	28.86

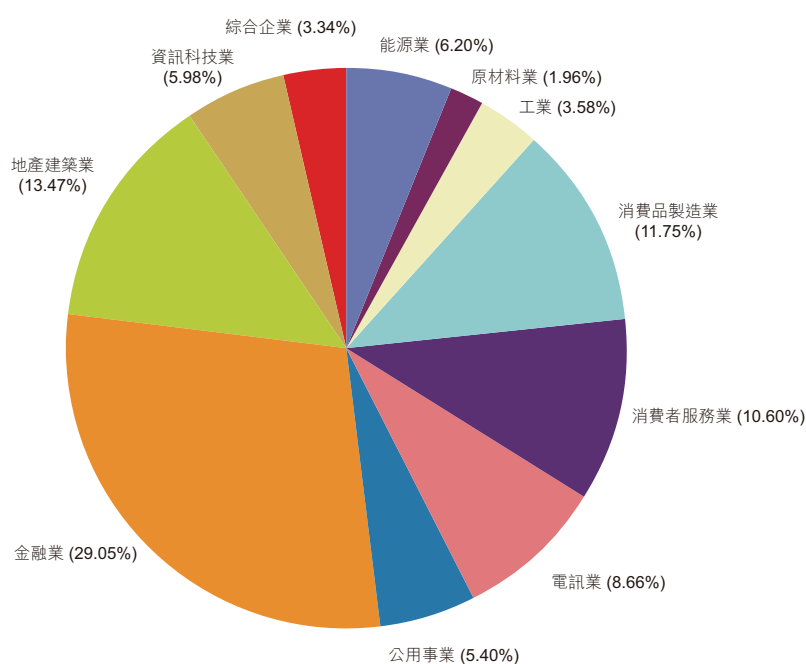
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2012	第 4 季	21,871,730.17
2013	第 1 季	21,865,919.62
	第 2 季	20,607,719.38
	第 3 季	22,697,506.14
	第 4 季	23,908,803.80

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2013年第4季季末

順次	股份代號	公司	市價總值(百萬港元)		佔市場總值(%)
1	00941	中國移動有限公司	1,616,224.73		6.76
2	00005	匯豐控股有限公司	1,584,513.15		6.63
3	00939	中國建設銀行股份有限公司－H股	1,406,441.32		5.88
4	00700	騰訊控股有限公司	920,636.99		3.85
5	00883	中國海洋石油有限公司	643,816.32		2.69
6	00805	Glencore Xstrata plc *	—	532,525.73	N.A.
7	01928	金沙中國有限公司	510,790.98		2.14
8	01299	友邦保險控股有限公司	468,511.60		1.96
9	01398	中國工商銀行股份有限公司－H股	454,800.79		1.90
10	00013	和記黃埔有限公司	449,359.28		1.88
11	02378	英國保誠有限公司*	—	448,066.80	N.A.
12	02888	渣打集團有限公司	420,812.27		1.76
13	03988	中國銀行股份有限公司－H股	298,531.53		1.25
14	00027	銀河娛樂集團有限公司	293,459.52		1.23
15	00001	長江實業(集團)有限公司	283,498.51		1.19
16	00945	Manulife Financial Corporation *	—	281,435.81	N.A.
17	00762	中國聯合網絡通信(香港)股份有限公司	275,818.20		1.15
18	00016	新鴻基地產發展有限公司	265,991.39		1.11
19	02388	中銀香港(控股)有限公司	262,733.59		1.10
20	00011	恒生銀行有限公司	240,318.63		1.01
21	02318	中國平安保險(集團)股份有限公司－H股	217,359.92		0.91
22	03328	交通銀行股份有限公司－H股	191,514.89		0.80
23	01128	永利澳門有限公司	182,342.38		0.76
24	02628	中國人壽保險股份有限公司－H股	180,448.49		0.75
25	00004	九龍倉集團有限公司	179,686.55		0.75
26	00857	中國石油天然氣股份有限公司－H股	179,340.65		0.75
27	00688	中國海外發展有限公司	178,163.03		0.75
28	01913	PRADA S.p.A.	176,558.86		0.74
29	00066	香港鐵路有限公司	170,180.12		0.71
30	00003	香港中華煤氣有限公司	169,970.94		0.71
31	06883	新濠博亞娛樂有限公司	168,996.63		0.71
32	00386	中國石油化工股份有限公司－H股	161,500.07		0.68
33	00002	中電控股有限公司	154,871.42		0.65
34	00388	香港交易及結算所有限公司	150,181.05		0.63
35	00151	中國旺旺控股有限公司	148,105.88		0.62
36	00880	澳門博彩控股有限公司	144,386.04		0.60
37	00019	太古股份有限公司	134,733.44		0.56
38	00006	電能實業有限公司	131,577.23		0.55
39	02282	美高梅中國控股有限公司	125,780.00		0.53
40	00322	康師傅控股有限公司	125,350.43		0.52
41	01038	長江基建集團有限公司	122,171.63		0.51
42	00012	恒基兆業地產有限公司	119,430.58		0.50
43	01288	中國農業銀行股份有限公司－H股	117,114.92		0.49
44	01929	周大福珠寶集團有限公司	115,600.00		0.48
45	01972	太古地產有限公司	114,660.00		0.48
46	01044	恒安國際集團有限公司	112,794.84		0.47
47	01109	華潤置地有限公司	112,064.69		0.47
48	00135	昆侖能源有限公司	110,133.62		0.46
49	00101	恒隆地產有限公司	109,732.94		0.46
50	06808	高鑫零售有限公司	104,364.37		0.44
總額			14,805,374.41		61.92
市場總值			23,908,803.80		100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2012	第4季	285,387.99	3,416,543.59	8.35
2013	第1季	407,011.47	4,387,021.75	9.28
	第2季	402,326.06	3,738,340.40	10.76
	第3季	362,504.10	3,478,201.02	10.42
	第4季	327,062.28	3,661,066.79	8.93

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2012	第4季	28,450.72	7,779,826.30	0.37
2013	第1季	39,479.17	10,186,659.76	0.39
	第2季	41,554.24	8,751,359.18	0.47
	第3季	38,306.44	8,245,169.14	0.46
	第4季	34,177.79	7,256,548.86	0.47

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2012	第4季	13	0	45,234.54
2013	第1季	10	0	8,124.40
	第2季	6	1	30,416.14
	第3季	15	2	19,845.52
	第4季	48	5	107,181.69

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2013年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
01273	香港信貸集團有限公司	2013/10/02	415,000,000	118.45
00484	雲遊控股有限公司	2013/10/03	125,940,304	1,839.85
01316	耐世特汽車系統集團有限公司	2013/10/07	2,497,804,000	2,289.85
02023	中國綠島科技有限公司	2013/10/11	400,000,000	80.00
00401	萬嘉集團控股有限公司	2013/10/11	648,405,300	—
02393	巨星國際控股有限公司	2013/10/11	466,875,000	178.54
01246	毅信控股有限公司	2013/10/16	415,000,000	106.95
01371	華彩控股有限公司*	2013/10/16	7,599,372,820	—
02030	卡賓服飾有限公司	2013/10/28	710,950,000	470.45
01290	中國匯融金融控股有限公司	2013/10/28	1,025,237,000	818.02
01396	毅德國際控股有限公司	2013/10/31	4,029,950,000	1,677.44
01862	景瑞控股有限公司	2013/10/31	1,253,691,469	1,394.76
00153	中國賽特集團有限公司	2013/11/01	1,600,000,000	412.00
01345	中國先鋒醫藥控股有限公司	2013/11/05	1,333,334,000	1,368.91
01963	重慶銀行股份有限公司—H股	2013/11/06	1,157,193,512	4,337.68
01360	Mega Expo Holdings Ltd.	2013/11/06	200,000,000	79.80
01302	先健科技公司*	2013/11/06	500,000,000	—
01358	普華和順集團公司	2013/11/08	1,660,000,000	1,462.80
00434	博雅互動國際有限公司	2013/11/12	737,559,124	1,134.46
03698	徽商銀行股份有限公司—H股	2013/11/12	3,162,500,000	10,606.56
03623	中國集成金融集團控股有限公司	2013/11/13	414,044,000	305.64
03628	仁恒實業控股有限公司*	2013/11/25	200,000,000	—
01431	原生態牧業有限公司	2013/11/26	3,908,747,000	3,506.69
03608	永盛新材料有限公司	2013/11/27	400,000,000	118.00
01370	恒實礦業投資有限公司	2013/11/28	1,507,843,000	1,225.10
01515	鳳凰醫療集團有限公司	2013/11/29	833,763,000	1,705.10
01884	eprint集團有限公司	2013/12/03	500,000,000	97.50
03315	金邦達寶嘉控股有限公司	2013/12/04	830,000,000	1,239.70
01268	中國美東汽車控股有限公司	2013/12/05	1,000,000,000	450.00
01689	華禧控股有限公司	2013/12/06	311,250,000	116.44
00854	威雅利電子(集團)有限公司	2013/12/06	372,720,000	—
01522	中國城市軌道交通科技控股有限公司*	2013/12/06	954,192,094	—
03386	東鵬控股股份有限公司	2013/12/09	1,246,952,800	733.24
01372	怡益控股有限公司	2013/12/11	200,000,000	60.00
01233	時代地產控股有限公司	2013/12/11	1,722,960,000	1,550.66
02213	益華百貨控股有限公司	2013/12/11	360,000,000	126.00
01359	中國信達資產管理股份有限公司—H股	2013/12/12	10,789,127,639	21,897.66
02211	金天醫藥集團股份有限公司	2013/12/12	2,000,000,000	1,455.00
03369	秦皇島港股份有限公司—H股	2013/12/12	829,853,000	4,356.73
00968	信義光能控股有限公司	2013/12/12	5,700,000,000	—
01349	上海復旦張江生物醫藥股份有限公司—H股*	2013/12/16	340,000,000	—
00586	中國海螺創業控股有限公司	2013/12/19	1,804,750,000	4,132.41
01681	康臣藥業集團有限公司	2013/12/19	1,000,000,000	1,253.50
01390	環亞智富有限公司	2013/12/19	518,750,000	516.06
01448	福壽園國際集團有限公司	2013/12/19	2,075,000,000	1,914.75
00636	嘉里物流聯網有限公司	2013/12/19	1,689,844,612	2,534.52
01678	中國創意家居集團有限公司	2013/12/20	1,800,000,000	648.00
06818	中國光大銀行股份有限公司—H股	2013/12/20	6,868,735,500	24,852.33
01819	富貴鳥股份有限公司—H股	2013/12/20	486,109,200	1,188.55
03380	龍光地產控股有限公司	2013/12/20	5,000,000,000	1,575.00
02283	東江集團(控股)有限公司	2013/12/20	826,600,000	283.25
03313	雅高礦業控股有限公司	2013/12/30	1,333,334,000	883.34
01421	工蓋有限公司	2013/12/30	640,000,000	80.00
總 額				107,181.69

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2013年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
00331	中國春天百貨集團有限公司	2013/08/30	2013/12/05

主 板 股 份

公司名稱更改

2013年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
00402	蒙古投資集團有限公司	天下圖控股有限公司	2013/09/04	2013/10/02
00337	盛高置地(控股)有限公司	綠地香港控股有限公司	2013/08/27	2013/10/07
00124	金威啤酒集團有限公司	粵海置地控股有限公司	2013/09/17	2013/10/09
00907	高雅國際集團有限公司	高雅光學國際集團有限公司	2013/09/04	2013/10/10
02088	西王糖業控股有限公司	西王置業控股有限公司	2013/09/12	2013/10/18
00185	特速集團有限公司	恒輝企業控股有限公司	2013/10/07	2013/10/22
00364	華豐集團控股有限公司	坪山茶業集團有限公司	2013/09/24	2013/10/23
00474	昊天能源集團有限公司	昊天發展集團有限公司	2013/10/02	2013/10/24
00959	奧瑪仕控股有限公司	奧瑪仕國際控股有限公司	2013/09/27	2013/11/12
00091	駿新能源集團有限公司	標準資源控股有限公司	2013/11/11	2013/11/19
00570	盈天醫藥集團有限公司	中國中藥有限公司	2013/11/12	2013/11/22
00978	東力實業控股有限公司	招商局置地有限公司	2013/11/01	2013/11/29
00618	方正數碼(控股)有限公司	北大資源(控股)有限公司	2013/10/25	2013/12/03
02327	積華生物醫藥控股有限公司	宇業集團控股有限公司	2013/11/08	2013/12/17

* 祇改英文名稱

祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2013年第4季

股份代號	公司	詳情	除淨日
00767	太平洋實業控股有限公司	每供1股送1股股份	2013/10/08
00338	中國石化上海石油化工股份 有限公司－H股	10送5	2013/10/24
00572	中國包裝集團有限公司	1送1	2013/10/29
01033	中國石化儀征化纖股份 有限公司－H股	10送5	2013/11/07
00587	華瀚生物製藥控股有限公司	5送1	2013/12/27

股份拆細及合併

2013年第4季

股份代號	公司	詳情	生效日期
00723	永保林業控股有限公司	6股合併為1股	2013/10/02
00993	天行國際(控股)有限公司	40股合併為1股	2013/10/11
00718	百威國際控股有限公司	5股合併為1股	2013/11/07
00254	中國戶外媒體集團有限公司	5股合併為1股	2013/11/13
00596	浪潮國際有限公司	5股合併為1股	2013/11/18
00616	永義實業集團有限公司	40股合併為1股	2013/11/19
01063	新確科技有限公司	1股分拆為10股	2013/12/19
02339	北泰創業集團有限公司	5股合併為1股	2013/12/31

主 板 股 份

供股／公開售股

2013 年 第 4 季

月份	股份代號	公司名稱	比率	集資金額 (百萬元)
10 月	00767	太平洋實業控股有限公司	股權 1 供 2，每股供 0.18 港元 另每供 1 股送 1 股股份	200.31
11 月	01130	中國環境資源集團有限公司	公開售股 10 供 33，每股供 0.27 港元	162.81
12 月	00996	嘉年華國際控股有限公司	公開售股 2 供 1，每股供 0.20 港元	439.61
	00607	匯多利國際控股有限公司	公開售股 1 供 4，每股供 0.05 港元	84.40
	00616	永義實業集團有限公司	股權 1 供 5，每股供 0.60 港元 (40 股合併為 1 股後)	148.30
	00430	東方網庫控股有限公司	公開售股 2 供 1，每股供 0.10 港元	90.00
	02358	三九東傑(控股)有限公司	公開售股 1 供 6，每股供 0.10 港元	240.00
	00801	金衛醫療集團有限公司	公開售股 2 供 1，每股供 0.50 港元	569.60
	00106	朗詩綠色地產有限公司	股權 2 供 1，每股供 0.75 港元	744.98
總額				2,680.01

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
9月**	03777	中國光纖	240,000,000	1.080	259.20
	00164	中國伽瑪	500,000,000	0.100	50.00
	00471	中播控股	55,945,957	0.520	29.09
	01037	DAIWA ASSOCIATE	43,000,000	0.290	12.47
	00729	中聚電池	220,000,000	0.294	64.68
10月	00030	佳訊控股	275,890,000	0.150	41.38
	00718	百威國際	746,000,000	0.047	35.06
	00371	北控水務集團	400,000,000	2.950	1,180.00
	00371	北控水務集團	350,000,000	3.210	1,123.50
	00940	中國動物保健品	240,860,938	1.832	441.26
	02371	中國東方文化	30,000,000	0.315	9.45
	00736	中國置業投資	40,000,000	0.104	4.16
	00884	旭輝控股集團	256,579,000	1.520	390.00
	03898	南車時代電氣	91,221,000	25.000	2,280.53
	01163	德金資源	69,160,000	0.250	17.29
	00958	華能新能源	582,317,360	2.710	1,578.08
	00579	京能清潔能源	327,508,000	2.820	923.57
	00295	江山控股	293,700,000	0.086	25.26
	00491	漢傳媒	249,000,000	0.061	15.19
	01165	順風光電	400,000,000	2.800	1,120.00
	00198	星美國際	350,000,000	0.180	63.00
	00102	凱升控股	53,918,000	10.000	539.18
	02382	舜宇光學科技	97,000,000	8.060	781.82
	01223	新豐集團	787,500,000	0.380	299.25
	00928	野馬國際	29,783,635	0.590	17.57
	00570	中國中藥	66,488,379	3.100	206.11
	01300	俊知集團	115,000,000	3.150	362.25
	00351	亞洲能源物流	553,000,000	0.072	39.82
	00841	木薯資源	49,800,000	0.685	34.11
	00149	中國農產品交易	490,000,000	0.112	54.88
	00978	招商局置地	939,760,297	2.050	1,926.51
	00378	事安集團	200,000,000	1.250	250.00
	00479	華建控股	260,000,000	0.149	38.74
	00686	金保利新能源	55,000,000	1.700	93.50
	02700	格林國際控股	54,000,000	0.240	12.96
	01082	香港教育國際	272,800,000	0.220	60.02
	00397	君陽太陽能	1,480,000,000	0.070	103.60
	01195	京維集團	302,746,064	0.480	145.32
	00577	路易十三集團	72,070,500	8.230	593.14
	00136	馬斯葛集團	1,250,000,000	0.085	106.25
	01180	匯彩控股	33,739,130	2.300	77.60
	00221	PNG資源	1,538,000,000	0.100	153.80
	00750	興業太陽能	40,000,000	8.100	324.00
	00476	中銅資源	132,000,000	0.600	79.20
	03377	遠洋地產	1,322,553,178	4.740	6,268.90
12月	00658	中國高速傳動	272,548,000	3.980	1,084.74
	00986	中國環保能源	45,680,000	2.010	91.82
	00257	中國光大國際	430,000,000	8.520	3,663.60

主 板 股 份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
	00182	中國風電	600,000,000	0.440	264.00
	00471	中播控股	92,500,000	0.400	37.00
	00279	民豐企業	57,356,318	0.700	40.15
	02123	金盾控股	75,000,000	0.290	21.75
	00207	僑福企業	1,955,174,000	2.000	3,910.35
	00007	凱富能源	32,000,000	1.420	45.44
	01886	匯源果汁	75,000,000	5.100	382.50
	01029	鐵貨	218,340,000	0.940	205.24
	00419	中國9號健康	237,100,000	0.350	82.99
	00577	路易十三集團	17,172,000	8.230	141.33
	02358	三九控股	1,000,000,000	0.100	100.00
	00166	新時代能源	90,163,934	0.610	55.00
	00618	北大資源	326,792,000	0.800	261.43
	00313	裕田中國	34,090,000	0.088	3.00
	00702	中國油氣控股	721,350,000	0.200	144.27
	00476	中銅資源	51,050,000	0.600	30.63
	01166	蒙古礦業	52,490,000	0.320	16.80
	00223	神州資源	286,000,000	0.115	32.89
	02012	陽光油砂	106,800,000	1.700	181.56
	00928	野馬國際	35,740,362	2.160	77.20
	00862	VISION VALUES	420,000,000	0.250	105.00
總 額					33,210.37

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬元)	衍生權證 (百萬元)	總額 (百萬元)
2012	第4季	18.06	382,100.50	382,118.56
2013	第1季	25.69	605,817.72	605,843.41
	第2季	5.48	428,993.08	428,998.56
	第3季	12.47	389,973.38	389,985.85
	第4季	37.19	358,530.50	358,567.70

認股權證市價總值

		數目	股份認股權證 (百萬元)	數目	衍生權證 (百萬元)	總數	總額 (百萬元)
2012	第4季	10	521.90	3,747	105,257.03	3,757	105,778.92
2013	第1季	11	359.52	4,484	70,659.35	4,495	71,018.87
	第2季	10	231.90	4,895	56,917.10	4,905	57,149.00
	第3季	9	280.27	4,696	91,474.60	4,705	91,754.87
	第4季	8	356.40	4,715	95,733.93	4,723	96,090.32

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬元)
2012	第4季	0	1,605	42,042.21
2013	第1季	1	1,880	48,254.00
	第2季	2	1,684	41,005.85
	第3季	0	1,654	40,026.39
	第4季	0	2,046	45,648.98

新上市股份認股權證

2013年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬元)
Nil					
總額					-

主 板 認 股 權 證

新上市衍生權證

2013 年 第 4 季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27729	Citigroup Global Mkt H Inc. - China Life Euro Wts Sep 2014 A	150,000,000	2013/10/02	2014/09/01	27.00
27726	Citigroup Global Mkt H Inc. - China Life Euro Wts Dec 2014 A	150,000,000	2013/10/02	2014/12/24	24.00
27727	Citigroup Global Mkt H Inc. - China Life Eu Put Wt Apr2014 B	100,000,000	2013/10/02	2014/04/08	16.00
27734	Citigroup Global Mkt H Inc. - A50 China Euro Wts Aug 2014 A	200,000,000	2013/10/02	2014/08/01	30.00
27733	Citigroup Global Mkt H Inc. - A50 China Eu Put Wt Dec 2014 A	200,000,000	2013/10/02	2014/12/01	31.00
27730	Citigroup Global Mkt H Inc. - HSBC European Wts Jan 2014 C	100,000,000	2013/10/02	2014/01/27	19.00
10244	Daiwa Capital Mkt - Nikkei 225 Index European Wts Mar 2014 B	250,000,000	2013/10/02	2014/03/14	65.00
10239	Daiwa Capital Mkt - Nikkei 225 Index European Wts Jun 2014 A	250,000,000	2013/10/02	2014/06/13	62.50
10240	Daiwa Capital Mkt - Nikkei 225 Index European Wts Jun 2014 B	250,000,000	2013/10/02	2014/06/13	62.50
10243	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Mar 2014 A	250,000,000	2013/10/02	2014/03/14	37.50
10241	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Jun 2014 C	250,000,000	2013/10/02	2014/06/13	62.50
10242	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Jun 2014 D	250,000,000	2013/10/02	2014/06/13	62.50
27713	HK Bank - Cheung Kong European Warrants Jun 2014 A	60,000,000	2013/10/02	2014/06/30	18.60
27714	HK Bank - SHK Ppt European Warrants Apr 2014 A	60,000,000	2013/10/02	2014/04/07	15.00
27712	J P Morgan SP BV - China Mob European Wts Mar 2014 A	200,000,000	2013/10/02	2014/03/10	30.00
27716	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt May 2014 A	100,000,000	2013/10/02	2014/05/07	18.50
27717	SGA Societe Generale Acceptance N.V. - BYD Eu Wt May 2014 A	50,000,000	2013/10/02	2014/05/07	19.25
27719	SGA Societe Generale Acceptance N.V. - CCCCL Eu Wt May 2014 A	30,000,000	2013/10/02	2014/05/07	10.95
27722	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt May14A	30,000,000	2013/10/02	2014/05/07	10.20
27720	SGA Societe Generale Acceptance NV - Ch Ship Cont Eu Wt Jun14A	60,000,000	2013/10/02	2014/06/04	11.40
27725	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Put Wt Dec14A	200,000,000	2013/10/02	2014/12/03	30.00
27723	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Mar 2014 A	100,000,000	2013/10/02	2014/03/10	23.00
27724	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Jun14A	50,000,000	2013/10/02	2014/06/04	12.50
27721	SGA Societe Generale Acceptance N.V. - Yurun Food Eu Wt May14A	40,000,000	2013/10/02	2014/05/07	10.20
27732	UBS AG - Geely Auto European Warrants Apr 2014 A	60,000,000	2013/10/02	2014/04/08	28.20
27744	Credit Suisse AG - Lumena Newmat European Wts Jul 2014 A	70,000,000	2013/10/03	2014/07/03	11.20
27745	Credit Suisse AG - China Mengniu European Wts Apr 2014 A	70,000,000	2013/10/03	2014/04/03	17.50
27743	Credit Suisse AG - SJM Holdings European Warrants May 2014 A	70,000,000	2013/10/03	2014/05/02	11.90
27756	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Apr 2014 A	80,000,000	2013/10/03	2014/04/02	23.60
27735	J P Morgan SP BV - Galaxy Ent European Warrants May 2014 A	200,000,000	2013/10/03	2014/05/02	50.00
27739	Macquarie Bank Ltd. - Cheung Kong European Wts Jun 2014 A	40,000,000	2013/10/03	2014/06/04	10.00
27740	Macquarie Bank Ltd. - Lenovo European Warrants Apr 2014 A	40,000,000	2013/10/03	2014/04/02	10.00
27741	Macquarie Bank Ltd. - Yanzhou Coal European Wts Apr 2014 B	40,000,000	2013/10/03	2014/04/02	14.96
27737	Standard Chartered Bank - Agricultural Bk Eur Wts Mar 2014 A	80,000,000	2013/10/03	2014/03/03	16.80
27738	Standard Chartered Bank - China Mobile Euro Wts Apr 2014 A	100,000,000	2013/10/03	2014/04/30	25.00
27749	SGA Societe Generale Acceptance N.V. - CLife Eu Wt May 2014 B	100,000,000	2013/10/03	2014/05/07	27.00
27750	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Sep 2014 A	100,000,000	2013/10/03	2014/09/03	19.00
27751	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jan 2015 A	100,000,000	2013/10/03	2015/01/05	17.50
27752	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Apr14C	100,000,000	2013/10/03	2014/04/02	20.50
27753	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Mar 2014 B	100,000,000	2013/10/03	2014/03/05	43.00
27742	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Mar 14 A	30,000,000	2013/10/03	2014/03/05	17.10
27754	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Apr2014 A	60,000,000	2013/10/03	2014/04/02	26.70

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
27736	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Apr14B	60,000,000	2013/10/03	2014/04/02	11.40
27755	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Apr14C	60,000,000	2013/10/03	2014/04/02	15.00
27748	UBS AG - Ping An European Warrants Feb 2014 A	100,000,000	2013/10/03	2014/02/25	40.00
27747	UBS AG - Ping An European Warrants Mar 2014 B	100,000,000	2013/10/03	2014/03/21	29.00
27757	UBS AG - ZTE European Warrants Jun 2014 C	100,000,000	2013/10/03	2014/06/30	25.00
27764	BOCI Asia Ltd. - AIA European Put Warrants Mar 2014 A	100,000,000	2013/10/04	2014/03/03	15.00
27765	BOCI Asia Ltd. - CNOOC European Put Warrants Aug 2014 A	100,000,000	2013/10/04	2014/08/11	25.00
27801	BNP Paribas Arbit Issu B.V. - CC Bank Euro Put Wt Oct 2014 A	100,000,000	2013/10/04	2014/10/06	33.00
27800	BNP Paribas Arbit Issu B.V. - Hutchison Eu Put Wt Mar 2014 B	100,000,000	2013/10/04	2014/03/04	24.00
27803	BNP Paribas Arbit Issu B.V. - ICBC Euro Put Wts Oct 2014 A	100,000,000	2013/10/04	2014/10/06	32.00
27804	BNP Paribas Arbit Issu B.V. - Ping An Eur Put Wts Jul 2014 B	100,000,000	2013/10/04	2014/07/03	25.00
27794	Credit Suisse AG - Li & Fung European Warrants Dec 2014 A	70,000,000	2013/10/04	2014/12/24	11.90
27787	Citigroup Global Mkt H Inc. - HSI European Wts Apr 2014 A	150,000,000	2013/10/04	2014/04/29	26.25
27785	Citigroup Global Mkt H Inc. - HSI European Put Wt Mar 2014 A	150,000,000	2013/10/04	2014/03/28	27.00
27780	Goldman Sachs SP (Asia) - Agri Bk European Wts May 2014 A	68,000,000	2013/10/04	2014/05/02	10.34
27781	Goldman Sachs SP (Asia) - China Mobile Euro Wts Apr 2014 A	80,000,000	2013/10/04	2014/04/04	20.00
27784	Goldman Sachs SP (Asia) - Evergrande European Wts Jun 2014 A	48,000,000	2013/10/04	2014/06/30	12.05
27783	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2014 A	80,000,000	2013/10/04	2014/04/04	20.08
27782	Goldman Sachs SP (Asia) - Shanghai Ind Euro Wts Jun 2014 A	80,000,000	2013/10/04	2014/06/30	20.16
27763	Macquarie Bank Ltd. - Weigao Group European Wts Aug 2015 A	40,000,000	2013/10/04	2015/08/04	10.00
27759	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts May 2014 A	40,000,000	2013/10/04	2014/05/02	10.00
27761	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Feb 2014 D	40,000,000	2013/10/04	2014/02/11	10.40
27762	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Mar 2014 B	50,000,000	2013/10/04	2014/03/03	10.85
27758	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Feb2014 B	50,000,000	2013/10/04	2014/02/07	10.20
27760	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Feb2014 C	70,000,000	2013/10/04	2014/02/20	10.50
27772	Standard Chartered Bank - CNBM European Warrants Feb 2014 A	50,000,000	2013/10/04	2014/02/05	22.50
27770	Standard Chartered Bank - HSBC European Warrants Jan 2014 B	100,000,000	2013/10/04	2014/01/24	15.00
27769	Standard Chartered Bank - HSI European Warrants Apr 2014 A	100,000,000	2013/10/04	2014/04/29	16.00
27771	Standard Chartered Bank - ICBC European Put Wts Mar 2014 A	100,000,000	2013/10/04	2014/03/10	16.00
27795	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Feb14 B	80,000,000	2013/10/04	2014/02/05	24.40
27791	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt Jan2016 A	300,000,000	2013/10/04	2016/01/06	75.00
27793	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt May14A	60,000,000	2013/10/04	2014/05/07	18.00
27796	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Apr14D	100,000,000	2013/10/04	2014/04/02	50.00
27797	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Apr14E	100,000,000	2013/10/04	2014/04/02	28.00
27788	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Mar 2014 B	100,000,000	2013/10/04	2014/03/05	56.00
27799	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt May14B	80,000,000	2013/10/04	2014/05/07	12.00
27778	UBS AG - China Life European Warrants Jul 2014 A	100,000,000	2013/10/04	2014/07/28	25.00
27774	UBS AG - China Mobile European Warrants Mar 2014 C	100,000,000	2013/10/04	2014/03/25	26.00
27777	UBS AG - Galaxy Ent European Put Warrants Mar 2014 E	40,000,000	2013/10/04	2014/03/17	15.40
27776	UBS AG - HKEx European Put Warrants Dec 2014 C	100,000,000	2013/10/04	2014/12/24	15.00
27775	UBS AG - HSBC European Warrants Mar 2014 B	100,000,000	2013/10/04	2014/03/31	28.00
27779	UBS AG - KECL European Warrants Oct 2014 A	100,000,000	2013/10/04	2014/10/20	25.00
27822	BNP Paribas Arbit Issu B.V. - Anton Oilfield Euro Wts May14A	30,000,000	2013/10/07	2014/05/05	16.80
27819	BNP Paribas Arbit Issu B.V. - BJ Ent Water Euro Wts May14A	35,000,000	2013/10/07	2014/05/05	10.15

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27820	BNP Paribas Arbit Issu B.V. - Chi Res Power Euro Wt Jun 14 A	100,000,000	2013/10/07	2014/06/04	25.00
27823	BNP Paribas Arbit Issu B.V. - CSR Times European Wts Jun14 A	50,000,000	2013/10/07	2014/06/04	14.50
27821	BNP Paribas Arbit Issu B.V. - Xinyi Glass Euro Wts May14 A	31,000,000	2013/10/07	2014/05/05	10.23
27813	Credit Suisse AG - AIA European Warrants Mar 2014 B	70,000,000	2013/10/07	2014/03/03	13.30
27814	Credit Suisse AG - AIA European Warrants Apr 2014 A	70,000,000	2013/10/07	2014/04/24	10.50
27815	Credit Suisse AG - Galaxy Ent European Warrants Apr 2014 A	70,000,000	2013/10/07	2014/04/07	17.50
27818	Citigroup Global Mkt H Inc. - CC Bank European Wt Mar 2014 A	80,000,000	2013/10/07	2014/03/21	16.80
27816	Citigroup Global Mkt H Inc. - ICBC European Wts Feb 2014 A	80,000,000	2013/10/07	2014/02/24	26.00
27817	Citigroup Global Mkt H Inc. - ICBC European Wts Mar 2014 A	80,000,000	2013/10/07	2014/03/21	13.20
27807	HK Bank - ICBC European Warrants Feb 2014 A	80,000,000	2013/10/07	2014/02/26	12.00
27806	HK Bank - Ping An European Warrants Jan 2014 A	100,000,000	2013/10/07	2014/01/06	15.00
27805	HK Bank - Tencent European Put Warrants Feb 2014 D	100,000,000	2013/10/07	2014/02/05	19.00
27808	Standard Chartered Bank - CTel European Warrants Jun 2014 A	80,000,000	2013/10/07	2014/06/05	20.00
27809	Standard Chartered Bank - ZTE European Warrants Jun 2014 A	100,000,000	2013/10/07	2014/06/05	25.00
27810	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt May 2014 A	120,000,000	2013/10/07	2014/05/28	23.40
27811	UBS AG - Galaxy Ent European Warrants Apr 2014 A	100,000,000	2013/10/07	2014/04/08	25.00
27812	UBS AG - Wynn Macau European Warrants Apr 2014 A	50,000,000	2013/10/07	2014/04/08	13.00
27826	Credit Suisse AG - China Coal European Warrants Feb 2014 A	70,000,000	2013/10/08	2014/02/24	10.50
27830	Credit Suisse AG - HSI European Warrants Apr 2014 B	200,000,000	2013/10/08	2014/04/29	34.00
27833	Credit Suisse AG - HSI European Put Warrants Feb 2014 I	200,000,000	2013/10/08	2014/02/27	30.00
27829	Citigroup Global Mkt H Inc. - Henganintl Euro Wts Apr 2014 A	50,000,000	2013/10/08	2014/04/07	26.75
27827	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Apr 2014 B	80,000,000	2013/10/08	2014/04/25	13.20
27828	Citigroup Global Mkt H Inc. - SPDR Gold T Euro Wt Apr 2014 A	60,000,000	2013/10/08	2014/04/07	15.00
27846	Goldman Sachs SP (Asia) - CITIC Sec European Wts Oct 2014 A	48,000,000	2013/10/08	2014/10/30	12.00
27843	Goldman Sachs SP (Asia) - China Mobile Euro Wts Apr 2014 B	80,000,000	2013/10/08	2014/04/08	26.16
27845	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2014 B	80,000,000	2013/10/08	2014/04/08	20.16
27835	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt May14A	100,000,000	2013/10/08	2014/05/07	25.50
27824	SGA Societe Generale Acceptance N.V. - BOCm Eu Wt May2014 A	60,000,000	2013/10/08	2014/05/07	15.00
27841	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Apr 2014 B	100,000,000	2013/10/08	2014/04/02	32.50
27836	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt May 2014 A	40,000,000	2013/10/08	2014/05/07	15.60
27825	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Oct 14 A	100,000,000	2013/10/08	2014/10/06	25.00
10247	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Jun 2014 A	100,000,000	2013/10/09	2014/06/12	25.00
10248	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Jun 2014 B	100,000,000	2013/10/09	2014/06/12	25.00
10245	BNP Paribas Arbit Issu B.V. - Gold European Wts Jun 2014 A	100,000,000	2013/10/09	2014/06/06	25.00
10246	BNP Paribas Arbit Issu B.V. - Gold European Put Wts Jun 14 B	100,000,000	2013/10/09	2014/06/06	25.00
27860	Credit Suisse AG - Hutchison European Warrants Feb 2014 B	100,000,000	2013/10/09	2014/02/11	21.00
27861	Credit Suisse AG - Hutchison European Put Wts Feb 2014 C	100,000,000	2013/10/09	2014/02/24	18.00
27862	Credit Suisse AG - Wynn Macau European Warrants Apr 2014 A	70,000,000	2013/10/09	2014/04/08	19.60
27854	Macquarie Bank Ltd. - China Overseas European Wts Apr 2014 A	100,000,000	2013/10/09	2014/04/02	15.00
27856	Macquarie Bank Ltd. - Gome European Warrants Dec 2014 A	40,000,000	2013/10/09	2014/12/09	10.00
27855	Macquarie Bank Ltd. - HSBC European Warrants Feb 2014 B	100,000,000	2013/10/09	2014/02/06	15.00
27847	Standard Chartered Bank - Galaxy Ent Euro Put Wts Jun 2014 B	100,000,000	2013/10/09	2014/06/06	33.00
27849	Standard Chartered Bank - ICBC European Warrants Feb 2014 B	100,000,000	2013/10/09	2014/02/24	15.00
27852	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt May2014 A	70,000,000	2013/10/09	2014/05/07	12.25

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27853	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt Oct 2014 A	80,000,000	2013/10/09	2014/10/06	20.00
27858	UBS AG - Kingsoft European Warrants Apr 2014 A	100,000,000	2013/10/09	2014/04/07	23.00
27912	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Jul 2014 A	100,000,000	2013/10/10	2014/07/03	25.00
27915	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Oct 2014 A	100,000,000	2013/10/10	2014/10/06	25.00
27914	BNP Paribas Arbit Issu B.V. - ZTE European Wts May 2014 A	100,000,000	2013/10/10	2014/05/05	25.00
27890	Credit Suisse AG - Anton Oilfield European Warrants Apr 14 A	60,000,000	2013/10/10	2014/04/09	37.80
27893	Credit Suisse AG - GCL-Poly Energy European Wts Apr 2014 A	60,000,000	2013/10/10	2014/04/09	19.80
27909	Credit Suisse AG - Galaxy Ent European Warrants Apr 2014 C	80,000,000	2013/10/10	2014/04/09	20.00
27888	Credit Suisse AG - Galaxy Ent European Put Wts Mar 2014 D	80,000,000	2013/10/10	2014/03/21	16.80
27891	Credit Suisse AG - Nine Dragons European Warrants Apr 2014 A	60,000,000	2013/10/10	2014/04/09	16.20
27867	Goldman Sachs SP (Asia) - AIA European Put Wts May 2014 A	80,000,000	2013/10/10	2014/05/02	20.08
27866	Goldman Sachs SP (Asia) - COSCO Pacific Euro Wts Jun 2015 A	80,000,000	2013/10/10	2015/06/30	20.08
27864	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Aug 2014 A	48,000,000	2013/10/10	2014/08/29	12.14
27865	Goldman Sachs SP (Asia) - Tencent European Wt Apr 2014 C	80,000,000	2013/10/10	2014/04/29	20.00
27871	HK Bank - HSCEI European Warrants Mar 2014 C	200,000,000	2013/10/10	2014/03/28	36.00
27873	HK Bank - HSCEI European Put Warrants Jun 2014 B	200,000,000	2013/10/10	2014/06/27	30.00
27869	HK Bank - HSI European Warrants Mar 2014 D	250,000,000	2013/10/10	2014/03/28	45.00
27870	HK Bank - HSI European Put Warrants Apr 2014 B	250,000,000	2013/10/10	2014/04/29	37.50
27868	HK Bank - HWL European Warrants Mar 2014 A	100,000,000	2013/10/10	2014/03/26	18.00
27863	J P Morgan SP BV - Lumena Newmat European Wts Jul 2014 A	60,000,000	2013/10/10	2014/07/21	15.00
27884	Macquarie Bank Ltd. - Galaxy Ent European Wts May 2014 A	40,000,000	2013/10/10	2014/05/05	10.00
27886	Macquarie Bank Ltd. - Sands China European Wts May 2014 A	40,000,000	2013/10/10	2014/05/05	10.00
27874	Standard Chartered Bank - HKEx European Warrants Apr 2014 B	100,000,000	2013/10/10	2014/04/09	25.00
27878	Standard Chartered Bank - Hutchison Euro Euro Wts Mar 2014 B	80,000,000	2013/10/10	2014/03/31	12.00
27879	Standard Chartered Bank - Hutchison Euro Put Wt May 2014 B	80,000,000	2013/10/10	2014/05/30	35.20
27875	SGA Societe Generale Acceptance N.V. - CCB Euro Put Wt Mar14B	80,000,000	2013/10/10	2014/03/31	15.20
27880	SGA Societe Generale Acceptance N.V. - CK(H) Eu Wt May2014 A	50,000,000	2013/10/10	2014/05/07	12.50
27894	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt May14A	100,000,000	2013/10/10	2014/05/07	25.00
27876	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Apr 2014 A	70,000,000	2013/10/10	2014/04/02	10.50
27877	SGA Societe Generale Acceptance N.V. - HWL Eur Put Wt May14B	70,000,000	2013/10/10	2014/05/07	35.00
27882	SGA Societe Generale Acceptance N.V. - ICBC Eu Put Wt May14A	80,000,000	2013/10/10	2014/05/07	20.00
27895	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Apr14A	30,000,000	2013/10/10	2014/04/02	11.70
27904	UBS AG - Hutchison European Warrants Mar 2014 A	100,000,000	2013/10/10	2014/03/26	15.00
27905	UBS AG - Sands China European Warrants Mar 2014 B	60,000,000	2013/10/10	2014/03/26	15.00
27906	UBS AG - Wynn Macau European Warrants Apr 2014 B	50,000,000	2013/10/10	2014/04/15	12.50
21108 #	HK Bank - HSCEI European Warrants Dec 2014 A	150,000,000	2013/10/10	2014/12/30	17.25
27952	Credit Suisse AG - China Mobile European Warrants Jan 2014 D	80,000,000	2013/10/11	2014/01/27	13.60
27953	Credit Suisse AG - China Mobile European Warrants Feb 2014 B	80,000,000	2013/10/11	2014/02/24	25.60
27954	Credit Suisse AG - Kingsoft European Warrants Apr 2014 A	80,000,000	2013/10/11	2014/04/10	20.00
27926	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Apr 2014 A	60,000,000	2013/10/11	2014/04/10	18.90
27928	Citigroup Global Mkt H Inc. - MGM China Euro Wts Apr 2014 A	80,000,000	2013/10/11	2014/04/10	20.40
27925	Citigroup Global Mkt H Inc. - Sands China Euro Wt Apr 2014 A	60,000,000	2013/10/11	2014/04/10	15.90
27941	Daiwa Capital Mkt - Cheung Kong European Warrants Apr 2014 A	108,000,000	2013/10/11	2014/04/10	27.00
27944	Daiwa Capital Mkt - Ch Overseas European Put Wts Jul 2014 A	80,000,000	2013/10/11	2014/07/02	20.00

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		發行單位	上市日期	到期日	
27945	Daiwa Capital Mkt - Galaxy Ent European Put Wts Apr 2014 A	80,000,000	2013/10/11	2014/04/01	12.00
27942	Daiwa Capital Mkt - HKEx European Put Warrants Mar 2014 A	108,000,000	2013/10/11	2014/03/03	16.20
27933	HK Bank - AIA European Warrants Apr 2014 A	100,000,000	2013/10/11	2014/04/15	15.00
27934	HK Bank - China Tel European Warrants Apr 2014 A	80,000,000	2013/10/11	2014/04/28	12.00
27930	HK Bank - GCL-Poly Energy European Warrants Apr 2014 A	50,000,000	2013/10/11	2014/04/14	12.50
27929	HK Bank - Ping An European Warrants Mar 2014 A	100,000,000	2013/10/11	2014/03/31	17.00
27931	HK Bank - Sands China European Warrants Apr 2014 A	80,000,000	2013/10/11	2014/04/14	20.00
27938	Macquarie Bank Ltd. - Anton Oilfield European Wts May 2014 A	40,000,000	2013/10/11	2014/05/05	17.68
27939	Macquarie Bank Ltd. - China Agri European Wts Aug 2014 A	40,000,000	2013/10/11	2014/08/04	10.00
27935	Macquarie Bank Ltd. - China Unicom European Wts Jan 2015 A	100,000,000	2013/10/11	2015/01/05	25.00
27937	Macquarie Bank Ltd. - Wynn Macau European Wts Jun 2014 A	40,000,000	2013/10/11	2014/06/04	10.00
27919	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt Apr 14 A	40,000,000	2013/10/11	2014/04/11	11.20
27923	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Mar 2014 F	100,000,000	2013/10/11	2014/03/28	18.40
27924	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Sep 2014 A	100,000,000	2013/10/11	2014/09/29	25.00
27922	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Feb 2014 F	100,000,000	2013/10/11	2014/02/27	15.60
27921	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Mar 2014 E	100,000,000	2013/10/11	2014/03/28	21.50
27920	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Feb 2014 A	60,000,000	2013/10/11	2014/02/05	11.58
27949	Standard Chartered Bank - Sands China Eu Put Wts Mar 2014 B	100,000,000	2013/10/11	2014/03/05	20.00
27950	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt May14 A	30,000,000	2013/10/11	2014/05/07	12.75
27951	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2014 B	60,000,000	2013/10/11	2014/06/04	27.00
10249	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Mar 14 D	150,000,000	2013/10/11	2014/03/14	28.50
27958	UBS AG - China Tel European Warrants Jan 2014 A	70,000,000	2013/10/11	2014/01/27	20.65
27957	UBS AG - China Tel European Warrants Mar 2014 A	70,000,000	2013/10/11	2014/03/25	14.00
27956	UBS AG - GCL-Poly Energy European Warrants Apr 2014 A	60,000,000	2013/10/11	2014/04/10	20.40
27955	UBS AG - ZTE European Warrants Jul 2014 B	100,000,000	2013/10/11	2014/07/21	25.00
27959	BOCI Asia Ltd. - Galaxy Ent European Warrants Apr 2014 A	100,000,000	2013/10/15	2014/04/30	25.00
27960	BOCI Asia Ltd. - HKEx European Warrants Jun 2014 A	100,000,000	2013/10/15	2014/06/30	27.00
27962	BOCI Asia Ltd. - HSBC European Warrants Apr 2014 B	100,000,000	2013/10/15	2014/04/11	15.00
27961	BOCI Asia Ltd. - HSBC European Put Warrants Apr 2014 A	100,000,000	2013/10/15	2014/04/04	18.00
27971	Credit Suisse AG - Hutchison European Warrants Mar 2014 A	100,000,000	2013/10/15	2014/03/31	16.00
27966	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Mar 2014 C	50,000,000	2013/10/15	2014/03/26	19.75
27965	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Apr 2014 A	50,000,000	2013/10/15	2014/04/14	17.00
27967	Citigroup Global Mkt H Inc. - Wynn Macau Euro Wts Apr 2014 A	60,000,000	2013/10/15	2014/04/14	15.00
27975	Goldman Sachs SP (Asia) - Minsheng Bank Euro Wts Apr 2014 A	48,000,000	2013/10/15	2014/04/29	28.13
27976	Goldman Sachs SP (Asia) - Sands China Euro Wts Apr 2014 A	48,000,000	2013/10/15	2014/04/30	12.00
27974	J P Morgan SP BV - Hutchison European Warrants May 2014 A	100,000,000	2013/10/15	2014/05/02	25.10
27963	Macquarie Bank Ltd. - Henganintl European Wts May 2014 A	80,000,000	2013/10/15	2014/05/05	20.32
27969	Standard Chartered Bank - China Mobile Euro Wts Feb 2014 C	100,000,000	2013/10/15	2014/02/21	30.00
27968	Standard Chartered Bank - China Mobile Euro Wts Apr 2014 B	100,000,000	2013/10/15	2014/04/15	25.00
27970	Standard Chartered Bank - Kingsoft European Wts Apr 2014 A	100,000,000	2013/10/15	2014/04/28	25.00
27964	SGA Societe Generale Acceptance N.V. - CMob Eu Put Wt May14A	100,000,000	2013/10/15	2014/05/07	25.00
10250	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Mar 2014 E	150,000,000	2013/10/15	2014/03/14	22.50
27973	UBS AG - AIA European Warrants Apr 2014 A	100,000,000	2013/10/15	2014/04/15	15.00
27972	UBS AG - BYD European Warrants Apr 2014 A	80,000,000	2013/10/15	2014/04/14	28.00

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27994	BOCI Asia Ltd. - Cheung Kong European Put Warrants Apr 2014A	100,000,000	2013/10/16	2014/04/02	23.00
27990	BOCI Asia Ltd. - Kingsoft European Warrants May 2014 A	100,000,000	2013/10/16	2014/05/19	30.00
27992	BOCI Asia Ltd. - SJM Holdings European Warrants May 2014 A	100,000,000	2013/10/16	2014/05/26	25.00
27993	BOCI Asia Ltd. - ZTE European Warrants Jun 2014 A	100,000,000	2013/10/16	2014/06/30	25.00
27986	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Apr 2014 A	100,000,000	2013/10/16	2014/04/02	15.00
27989	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt May 2014 A	61,000,000	2013/10/16	2014/05/05	15.86
27984	BNP Paribas Arbit Issu B.V. - China Life Euro Wts May 2014 B	100,000,000	2013/10/16	2014/05/05	15.00
27988	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Apr 2014 B	100,000,000	2013/10/16	2014/04/02	23.00
27985	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2014 A	100,000,000	2013/10/16	2014/04/02	15.00
28006	Credit Suisse AG - Geely Auto European Warrants Mar 2014 A	60,000,000	2013/10/16	2014/03/31	20.40
28009	Credit Suisse AG - ZTE European Warrants May 2014 A	60,000,000	2013/10/16	2014/05/30	15.00
28008	Bank of East Asia - Galaxy Ent European Put Warrants Apr14 A	70,000,000	2013/10/16	2014/04/09	10.50
28007	Bank of East Asia - Hutchison European Warrants Dec 2015 A	80,000,000	2013/10/16	2015/12/31	20.00
27980	HK Bank - BYD European Warrants Apr 2014 A	50,000,000	2013/10/16	2014/04/28	15.00
27979	HK Bank - China Life European Warrants Jul 2014 A	100,000,000	2013/10/16	2014/07/21	16.00
27982	HK Bank - Dongfeng Motor European Warrants Nov 2014 A	80,000,000	2013/10/16	2014/11/19	12.00
27981	HK Bank - HKEx European Warrants Mar 2014 A	150,000,000	2013/10/16	2014/03/19	31.50
27983	HK Bank - Ping An European Warrants Feb 2014 A	100,000,000	2013/10/16	2014/02/24	42.00
28004	Macquarie Bank Ltd. - China Mobile European Wts May 2014 A	100,000,000	2013/10/16	2014/05/05	25.00
28003	Macquarie Bank Ltd. - Hutchison European Warrants May 2014 A	100,000,000	2013/10/16	2014/05/05	25.00
28001	Standard Chartered Bank - BOCL European Warrants May 2014 B	100,000,000	2013/10/16	2014/05/23	16.00
28000	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Apr 14 B	100,000,000	2013/10/16	2014/04/02	35.00
28002	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Mar 14 A	100,000,000	2013/10/16	2014/03/05	16.00
28005	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jul 14 A	150,000,000	2013/10/16	2014/07/02	22.50
27998	UBS AG - HSCEI European Warrants Dec 2014 A	100,000,000	2013/10/16	2014/12/30	15.00
27997	UBS AG - HSCEI European Put Warrants Jun 2014 C	100,000,000	2013/10/16	2014/06/27	15.00
27995	UBS AG - KECL European Warrants Mar 2014 A	70,000,000	2013/10/16	2014/03/10	11.90
28034	BOCI Asia Ltd. - CC Bank European Warrants Apr 2014 A	100,000,000	2013/10/17	2014/04/29	25.00
28035	BOCI Asia Ltd. - Cheung Kong European Warrants May 2014 A	100,000,000	2013/10/17	2014/05/07	30.00
28036	BOCI Asia Ltd. - Hutchison European Warrants May 2014 A	100,000,000	2013/10/17	2014/05/02	25.00
28033	BOCI Asia Ltd. - ICBC European Warrants Apr 2014 A	100,000,000	2013/10/17	2014/04/30	25.00
28032	Credit Suisse AG - Esprit European Warrants Aug 2014 A	70,000,000	2013/10/17	2014/08/08	10.50
28059	Citigroup Global Mkt H Inc. - HSI European Put Wt Feb 2014 A	150,000,000	2013/10/17	2014/02/27	22.50
28011	Goldman Sachs Sp (Asia) - ICBC European Warrants Jun 2014 A	68,000,000	2013/10/17	2014/06/30	13.06
28010	Goldman Sachs Sp (Asia) - ICBC European Warrants Jul 2014 A	68,000,000	2013/10/17	2014/07/30	10.34
28028	HK Bank - CITIC Bank European Warrants Jun 2014 A	50,000,000	2013/10/17	2014/06/23	12.50
28025	HK Bank - Minsheng Bank European Warrants Apr 2014 A	60,000,000	2013/10/17	2014/04/16	59.40
28021	HK Bank - Evergrande European Warrants Apr 2014 A	50,000,000	2013/10/17	2014/04/23	12.50
28030	HK Bank - HSI European Warrants Jul 2014 A	250,000,000	2013/10/17	2014/07/30	62.50
28031	HK Bank - HSI European Warrants Sep 2014 B	250,000,000	2013/10/17	2014/09/29	62.50
28024	HK Bank - Tencent European Put Warrants Apr 2014 C	120,000,000	2013/10/17	2014/04/16	42.00
28041	J P Morgan SP BV - Tencent European Warrants May 2014 A	150,000,000	2013/10/17	2014/05/02	55.50
28044	J P Morgan SP BV - Tencent European Warrants Jul 2014 A	200,000,000	2013/10/17	2014/07/03	50.00
28043	J P Morgan SP BV - Tencent European Put Warrants Apr 2014 E	200,000,000	2013/10/17	2014/04/16	50.00

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28057	Macquarie Bank Ltd. - Country Garden European Wts Jul 2014 A	40,000,000	2013/10/17	2014/07/04	10.00
28040	Macquarie Bank Ltd. - CITIC Sec European Warrants Aug 2014 A	100,000,000	2013/10/17	2014/08/19	16.30
28038	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Apr 2014 B	40,000,000	2013/10/17	2014/04/16	10.00
28058	Macquarie Bank Ltd. - Yuexiu Property Euro Wts Nov 2014 A	40,000,000	2013/10/17	2014/11/04	10.00
28017	Nomura Int'l plc - Ch Ship Cont European Warrants Aug 2014 A	50,000,000	2013/10/17	2014/08/28	13.05
28019	Nomura Int'l plc - CSR Times European Warrants Aug 2014 A	50,000,000	2013/10/17	2014/08/28	16.10
28016	Nomura Int'l plc - Foxconn European Warrants Aug 2014 A	50,000,000	2013/10/17	2014/08/08	25.25
28012	Nomura Int'l plc - Geely Auto European Warrants Apr 2014 A	50,000,000	2013/10/17	2014/04/28	20.10
28014	Nomura Int'l plc - ZTE European Warrants Aug 2014 A	50,000,000	2013/10/17	2014/08/08	12.95
28051	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Apr14A	50,000,000	2013/10/17	2014/04/02	24.50
28052	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Apr14B	50,000,000	2013/10/17	2014/04/02	12.50
28049	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Mar14A	50,000,000	2013/10/17	2014/03/05	20.25
28050	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Apr 2014B	50,000,000	2013/10/17	2014/04/02	12.50
28046	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt May14B	100,000,000	2013/10/17	2014/05/07	45.00
28020	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2014 C	120,000,000	2013/10/17	2014/04/02	33.60
28053	UBS AG - CSOP A50 ETF European Warrants Apr 2014 A	100,000,000	2013/10/17	2014/04/16	36.00
28056	UBS AG - Galaxy Ent European Warrants Apr 2014 B	40,000,000	2013/10/17	2014/04/28	16.40
28055	UBS AG - Hutchison European Put Warrants Feb 2014 D	70,000,000	2013/10/17	2014/02/24	10.85
21933 #	HK Bank - A50 China European Warrants Apr 2014 A	200,000,000	2013/10/17	2014/04/29	12.40
10251	BNP Paribas Arbit Issu B.V. - Nikkei 225 Index Eu Wt Mar14 B	100,000,000	2013/10/18	2014/03/14	15.10
10252	BNP Paribas Arbit Issu B.V. - Nikkei 225 Index Eu Wt Jun14 A	100,000,000	2013/10/18	2014/06/13	16.00
10253	BNP Paribas Arbit Issu B.V. - Nikkei 225 Eu Put Wt Jun 2014B	100,000,000	2013/10/18	2014/06/13	19.60
28071	Credit Suisse AG - Ping An European Warrants Mar 2014 C	80,000,000	2013/10/18	2014/03/24	16.00
28072	Credit Suisse AG - Xinyi Glass European Warrants Apr 2014 A	60,000,000	2013/10/18	2014/04/17	21.60
28074	Macquarie Bank Ltd. - China Railway European Wts May 2014 A	40,000,000	2013/10/18	2014/05/05	10.00
28073	Macquarie Bank Ltd. - China Shenhua European Wts Mar 2014 B	70,000,000	2013/10/18	2014/03/04	10.50
28061	SGA Societe Generale Acceptance N.V. - CHALCO Eu Wt Jul 14 A	60,000,000	2013/10/18	2014/07/02	12.00
28069	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jul 2014 A	100,000,000	2013/10/18	2014/07/02	21.50
28060	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Mar 2014 A	50,000,000	2013/10/18	2014/03/10	10.25
28070	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Mar 14 A	100,000,000	2013/10/18	2014/03/24	27.50
28067	UBS AG - CAM CSI300 European Warrants Mar 2014 A	100,000,000	2013/10/18	2014/03/24	17.00
28062	UBS AG - CC Bank European Warrants Feb 2014 B	100,000,000	2013/10/18	2014/02/26	15.00
28065	UBS AG - CC Bank European Warrants Mar 2014 B	100,000,000	2013/10/18	2014/03/21	34.00
28066	UBS AG - Minsheng Bank European Warrants Feb 2014 A	30,000,000	2013/10/18	2014/02/25	11.10
28068	UBS AG - Greatwall Motor European Warrants Mar 2014 A	60,000,000	2013/10/18	2014/03/26	19.80
28088	BNP Paribas Arbit Issu B.V. - Biostime Euro Wts Feb 2015 A	100,000,000	2013/10/21	2015/02/03	25.00
28089	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Apr 2014 A	100,000,000	2013/10/21	2014/04/02	15.00
28087	BNP Paribas Arbit Issu B.V. - China Mengniu Eu Wt Jul 2014 A	82,000,000	2013/10/21	2014/07/03	23.78
28085	BNP Paribas Arbit Issu B.V. - Ping An European Wt Apr 2014 A	100,000,000	2013/10/21	2014/04/02	19.00
28086	BNP Paribas Arbit Issu B.V. - Shenzhen Int'l Eu Wt Oct2014 A	100,000,000	2013/10/21	2014/10/03	19.00
28084	Goldman Sachs SP (Asia) - China Life Euro Wts Aug 2014 A	80,000,000	2013/10/21	2014/08/27	12.00
28077	HK Bank - AIA European Put Warrants Apr 2014 B	120,000,000	2013/10/21	2014/04/22	30.00
28076	HK Bank - Geely Auto European Warrants Apr 2014 A	50,000,000	2013/10/21	2014/04/29	17.50
28083	J P Morgan SP BV - HSI European Put Warrants Apr 2014 G	500,000,000	2013/10/21	2014/04/29	125.00

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		發行單位	上市日期	到期日	
28095	Macquarie Bank Ltd. - Anta Sports European Wts Jul 2014 A	70,000,000	2013/10/21	2014/07/03	17.50
28093	Macquarie Bank Ltd. - BYD European Warrants May 2014 A	40,000,000	2013/10/21	2014/05/05	10.00
28094	Macquarie Bank Ltd. - Chi Res Land European Wts Aug 2014 A	70,000,000	2013/10/21	2014/08/04	17.50
28078	Macquarie Bank Ltd. - ZTE European Warrants Jul 2014 A	70,000,000	2013/10/21	2014/07/14	12.25
28079	Standard Chartered Bank - CITIC Pacific Euro Wts Apr 2014 A	50,000,000	2013/10/21	2014/04/30	21.50
28082	Standard Chartered Bank - China Life European Wts May 2014 A	100,000,000	2013/10/21	2014/05/05	15.00
28081	Standard Chartered Bank - Lenovo European Wts Apr 2014 A	60,000,000	2013/10/21	2014/04/02	16.20
28080	Standard Chartered Bank - YZ Coal European Wts Feb 2014 A	80,000,000	2013/10/21	2014/02/20	30.40
28092	UBS AG - HSI European Put Warrants Apr 2014 B	300,000,000	2013/10/21	2014/04/29	45.00
28090	UBS AG - Sands China European Put Warrants Mar 2014 C	60,000,000	2013/10/21	2014/03/05	10.20
28114	BOCI Asia Ltd. - BYD European Warrants Jul 2014 A	100,000,000	2013/10/22	2014/07/07	37.50
28113	BOCI Asia Ltd. - Lenovo European Warrants Sep 2014 A	100,000,000	2013/10/22	2014/09/30	15.00
28128	Credit Suisse AG - CC Bank European Warrants Feb 2014 A	80,000,000	2013/10/22	2014/02/26	12.00
28127	Credit Suisse AG - CSOP A50 ETF European Warrants Mar 2014 A	80,000,000	2013/10/22	2014/03/19	16.00
28129	Credit Suisse AG - Sands China European Warrants Apr 2014 A	60,000,000	2013/10/22	2014/04/22	15.00
28118	Citigroup Global Mkt H Inc. - MGM China Eu Put Wt Apr 2014 B	80,000,000	2013/10/22	2014/04/22	21.60
28120	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Mar 2014 D	80,000,000	2013/10/22	2014/03/26	12.00
28119	Citigroup Global Mkt H Inc. - SJM Holdings Eu Put Wts Apr14C	80,000,000	2013/10/22	2014/04/22	22.40
28117	Citigroup Global Mkt H Inc. - Wynn Macau Euro Wts May 2014 A	60,000,000	2013/10/22	2014/05/15	16.20
28124	J P Morgan Int'l Der. Ltd. - CC Bank European Wts May 2014 A	200,000,000	2013/10/22	2014/05/02	50.00
28123	J P Morgan SP BV - China Mob European Wts May 2014 A	200,000,000	2013/10/22	2014/05/02	58.00
28121	J P Morgan SP BV - ICBC European Warrants May 2014 A	200,000,000	2013/10/22	2014/05/02	74.20
28131	J P Morgan SP BV - Sands China European Warrants May 2014 A	150,000,000	2013/10/22	2014/05/02	37.50
28115	Macquarie Bank Ltd. - Haier Elec European Wts May 2014 A	40,000,000	2013/10/22	2014/05/05	10.00
28116	Macquarie Bank Ltd. - PWR Assets European Wts Oct 2014 A	40,000,000	2013/10/22	2014/10/06	10.00
28109	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Apr 2014 A	80,000,000	2013/10/22	2014/04/29	12.16
28098	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Mar 2014 B	80,000,000	2013/10/22	2014/03/10	12.88
28100	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Apr 2014 A	40,000,000	2013/10/22	2014/04/22	12.60
28111	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Put Wt Mar 2014 C	60,000,000	2013/10/22	2014/03/21	10.98
28106	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Apr 2014 A	40,000,000	2013/10/22	2014/04/22	13.60
28101	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Apr 2014 A	40,000,000	2013/10/22	2014/04/22	10.40
28105	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt Apr2014 B	40,000,000	2013/10/22	2014/04/22	16.20
28130	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jan 2015 A	150,000,000	2013/10/22	2015/01/05	37.50
28112	SGA Societe Generale Acceptance N.V. - ZTE Eu Wt Jul 2014 B	80,000,000	2013/10/22	2014/07/21	15.20
28126	UBS AG - AIA European Warrants Jul 2014 A	100,000,000	2013/10/22	2014/07/09	25.00
28125	UBS AG - Wynn Macau European Warrants May 2014 A	50,000,000	2013/10/22	2014/05/08	12.50
26680 #	Goldman Sachs SP (Asia) - Tencent European Put Wt Feb 2014 F	80,000,000	2013/10/22	2014/02/07	12.64
28153	Credit Suisse AG - Angang Steel European Warrants May 2014 A	60,000,000	2013/10/23	2014/05/12	19.20
28152	Credit Suisse - Henderson Land European Warrants Apr 2014 A	60,000,000	2013/10/23	2014/04/22	15.60
28139	HK Bank - China Railway European Warrants May 2014 A	50,000,000	2013/10/23	2014/05/26	12.50
28137	HK Bank - CSR European Warrants Jun 2014 A	50,000,000	2013/10/23	2014/06/30	25.00
28136	HK Bank - CSR Times European Warrants Jul 2014 A	80,000,000	2013/10/23	2014/07/14	20.00
28160	J P Morgan SP BV - GCL-Poly European Warrants Apr 2014 A	150,000,000	2013/10/23	2014/04/22	37.65
28159	J P Morgan SP BV - HSBC European Warrants Apr 2014 C	200,000,000	2013/10/23	2014/04/02	30.00

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		發行單位	上市日期	到期日	
28158	J P Morgan SP BV - HSBC European Put Warrants May 2014 A	200,000,000	2013/10/23	2014/05/02	50.00
28135	Macquarie Bank Ltd. - Ch Southern Air Euro Wts Oct 2014 A	40,000,000	2013/10/23	2014/10/06	10.00
28142	Standard Chartered Bank - AIA European Warrants Nov 2014 A	100,000,000	2013/10/23	2014/11/28	25.00
28141	Standard Chartered Bank - AIA European Put Wts May 2014 A	100,000,000	2013/10/23	2014/05/30	25.00
28144	Standard Chartered Bank - China Mobile Eur Put Wt Apr 2014 C	80,000,000	2013/10/23	2014/04/17	28.00
28147	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Apr14B	60,000,000	2013/10/23	2014/04/23	17.70
28140	SGA Societe Generale Acceptance N.V - Sands China Eu Wt Jun14B	100,000,000	2013/10/23	2014/06/04	25.00
28149	SGA Societe Generale Acceptance N.V. - SJM Hold Eu Wt Sep14A	80,000,000	2013/10/23	2014/09/03	20.00
28150	UBS AG - Kingsoft European Warrants Jun 2014 A	100,000,000	2013/10/23	2014/06/23	38.00
28151	UBS AG - Ping An European Warrants Mar 2014 C	100,000,000	2013/10/23	2014/03/28	15.00
28163	BOCI Asia Ltd. - HSI European Warrants Sep 2014 A	100,000,000	2013/10/24	2014/09/29	25.00
28164	BOCI Asia Ltd. - HSI European Put Warrants Mar 2014 A	100,000,000	2013/10/24	2014/03/28	16.00
28167	Citigroup Global Mkt H Inc. - China Life Euro Wts Apr 2014 C	150,000,000	2013/10/24	2014/04/30	30.75
28168	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Apr 2014 A	100,000,000	2013/10/24	2014/04/23	16.50
28165	Citigroup Global Mkt H Inc. - HSBC European Wts Apr 2014 A	100,000,000	2013/10/24	2014/04/08	15.00
28170	Goldman Sachs SP (Asia) - Cheung Kong Euro Wts May 2014 A	68,000,000	2013/10/24	2014/05/30	18.70
28169	Goldman Sachs SP (Asia) - China Mengniu Euro Wt Aug 2014 A	68,000,000	2013/10/24	2014/08/04	17.00
28171	Goldman Sachs SP (Asia) - ZTE European Warrants Jun 2014 A	68,000,000	2013/10/24	2014/06/27	10.27
28173	J P Morgan SP BV - Greatwall Motor European Wts May 2014 A	100,000,000	2013/10/24	2014/05/02	34.10
28172	J P Morgan SP BV - Kingsoft European Warrants Apr 2014 B	100,000,000	2013/10/24	2014/04/25	25.00
28166	Standard Chartered Bank - TVB European Warrants Aug 2014 A	25,000,000	2013/10/24	2014/08/08	22.50
28161	SGA Societe Generale Acceptance N.V. - CPIC Eur Wt Mar 2014 A	100,000,000	2013/10/24	2014/03/05	19.50
28162	SGA Societe Generale Acceptance N.V. - CPIC Eu Put Wt Jul14A	100,000,000	2013/10/24	2014/07/02	25.00
28205	BNP Paribas Arbit Issu B.V. - AIA European Wts Dec 2014 A	100,000,000	2013/10/25	2014/12/02	26.00
28206	BNP Paribas Arbit Issu B.V. - AIA European Put Wt Dec 2014 B	100,000,000	2013/10/25	2014/12/02	26.00
28207	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Apr 2014 C	100,000,000	2013/10/25	2014/04/02	18.00
28208	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt May 2014 B	100,000,000	2013/10/25	2014/05/05	28.00
28210	BNP Paribas Arbit Issu B.V. - Wynn Macau Euro Wts May 2014 A	100,000,000	2013/10/25	2014/05/05	25.00
28193	Credit Suisse AG - Sands China European Put Wts Apr 2014 B	80,000,000	2013/10/25	2014/04/25	29.60
28211	Citigroup Global Mkt H Inc. - Sands China Euro Wt Apr 2014 B	60,000,000	2013/10/25	2014/04/24	24.90
28212	Citigroup Global Mkt H Inc. - Sands China Eu Put Wt Apr 14 C	60,000,000	2013/10/25	2014/04/24	22.80
28214	Citigroup Global Mkt H Inc. - SJM Holdings Eu Wt Apr 2014 D	80,000,000	2013/10/25	2014/04/24	20.00
28200	Daiwa Capital Mkt - AIA European Warrants May 2014 A	128,000,000	2013/10/25	2014/05/28	32.00
28203	Daiwa Capital Mkt - AIA European Put Warrants Apr 2014 A	80,000,000	2013/10/25	2014/04/25	12.00
28198	Daiwa Capital Mkt - Sands China European Warrants May 2014 A	98,000,000	2013/10/25	2014/05/08	24.50
28199	Daiwa Capital Mkt - Sands China European Put Wts Mar 2014 B	80,000,000	2013/10/25	2014/03/26	12.00
28197	Goldman Sachs SP (Asia) - Ch Mer Hold Euro Wts May 2014 A	68,000,000	2013/10/25	2014/05/29	17.00
28196	Goldman Sachs SP (Asia) - CNOOC European Warrants Nov 2015 A	68,000,000	2013/10/25	2015/11/30	17.07
28195	Goldman Sachs SP (Asia) - SHK Ppt European Put Wt Jun 2014 A	48,000,000	2013/10/25	2014/06/30	25.97
28186	HK Bank - BOCL European Warrants Feb 2014 A	80,000,000	2013/10/25	2014/02/28	13.60
28188	HK Bank - China Coal European Warrants Jun 2014 A	50,000,000	2013/10/25	2014/06/30	17.50
28190	HK Bank - Sinopec Corp European Warrants Apr 2014 A	80,000,000	2013/10/25	2014/04/23	12.00
28187	HK Bank - China Shenhua European Warrants Aug 2014 A	100,000,000	2013/10/25	2014/08/04	25.00
28189	HK Bank - Yanzhou Coal European Warrants Apr 2014 A	50,000,000	2013/10/25	2014/04/29	24.00

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28230	J P Morgan SP BV - AIA European Put Warrants May 2014 C	200,000,000	2013/10/25	2014/05/02	50.00
28226	J P Morgan SP BV - China Mob European Wts May 2014 B	200,000,000	2013/10/25	2014/05/02	50.00
28225	J P Morgan SP BV - CSOP A50 ETF European Warrants May 2014 A	150,000,000	2013/10/25	2014/05/05	37.50
28223	J P Morgan SP BV - Galaxy Ent European Warrants Jul 2014 A	150,000,000	2013/10/25	2014/07/02	37.50
28222	J P Morgan SP BV - Galaxy Ent European Put Wts Apr 2014 E	100,000,000	2013/10/25	2014/04/24	40.20
28219	J P Morgan SP BV - Sands China European Warrants May 2014 B	150,000,000	2013/10/25	2014/05/05	37.50
28220	J P Morgan SP BV - Sands China European Put Wts Apr 2014 C	150,000,000	2013/10/25	2014/04/24	37.50
28234	J P Morgan SP BV - Tencent European Put Warrants May 2014 B	150,000,000	2013/10/25	2014/05/05	59.25
28179	Merrill Lynch Int'l & Co. C.V. - CC Bank Euro Wts Apr 2014 A	40,000,000	2013/10/25	2014/04/30	10.20
28174	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt Apr 2014 B	50,000,000	2013/10/25	2014/04/25	10.50
28184	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Mar 2014 A	80,000,000	2013/10/25	2014/03/21	12.24
28180	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Jun 2014 A	40,000,000	2013/10/25	2014/06/23	10.40
28177	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Put Wts Mar14B	50,000,000	2013/10/25	2014/03/31	10.80
28235	Standard Chartered Bank - Sands China Euro Wts Jun 2014 B	100,000,000	2013/10/25	2014/06/04	37.00
28236	Standard Chartered Bank - Sands China Eu Put Wts May 2014 B	100,000,000	2013/10/25	2014/05/05	25.00
28194	SGA Societe Generale Acceptance N.V. - AIA Eu Put Wt Jun14 A	100,000,000	2013/10/25	2014/06/04	25.00
28191	SGA Societe Generale Acceptance N.V. - Foxconn Eu Wt May14 A	35,000,000	2013/10/25	2014/05/07	10.50
28192	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt May14A	60,000,000	2013/10/25	2014/05/07	22.80
28231	SGA Societe Generale Acceptance N.V - Sands China Eu Wt May14A	100,000,000	2013/10/25	2014/05/07	28.00
28232	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt May14B	100,000,000	2013/10/25	2014/05/07	25.00
28218	UBS AG - AIA European Put Warrants Apr 2014 B	50,000,000	2013/10/25	2014/04/24	12.50
28215	UBS AG - Galaxy Ent European Put Warrants Apr 2014 C	30,000,000	2013/10/25	2014/04/24	13.50
28217	UBS AG - Sands China European Warrants Apr 2014 B	60,000,000	2013/10/25	2014/04/24	16.80
28216	UBS AG - Sands China European Put Warrants Apr 2014 A	40,000,000	2013/10/25	2014/04/24	12.00
28233	UBS AG - Tencent Holdings European Put Warrants Apr 2014 B	100,000,000	2013/10/25	2014/04/09	38.00
26578 #	Standard Chartered Bank - China Mobile Euro Wts Jan 2014 A	115,000,000	2013/10/25	2014/01/06	10.24
28248	BOCI Asia Ltd. - AIA European Warrants Apr 2014 A	100,000,000	2013/10/28	2014/04/22	19.00
28249	BOCI Asia Ltd. - AIA European Warrants Jul 2014 A	100,000,000	2013/10/28	2014/07/07	25.00
28250	BOCI Asia Ltd. - Sands China European Warrants May 2014 A	100,000,000	2013/10/28	2014/05/30	25.00
28251	BOCI Asia Ltd. - Tencent Holdings European Put Wts Apr 2014 B	100,000,000	2013/10/28	2014/04/30	25.00
28273	BNP Paribas Arbit Issu B.V. - Angang Steel Eur Wt Jun 2014 A	29,000,000	2013/10/28	2014/06/04	10.15
28280	BNP Paribas Arbit Issu B.V. - BBMG European Wts Dec 2016 A	75,000,000	2013/10/28	2016/12/02	18.75
28306	BNP Paribas Arbit Issu B.V. - CRCC European Wts Jan 2015 A	100,000,000	2013/10/28	2015/01/05	15.00
28302	BNP Paribas Arbit Issu B.V. - Gome European Wts May 2015 A	100,000,000	2013/10/28	2015/05/05	29.00
28307	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts May 2014 A	100,000,000	2013/10/28	2014/05/05	27.00
28301	BNP Paribas Arbit Issu B.V. - Melco Int'l Dev Eu Wt May 14 A	65,000,000	2013/10/28	2014/05/05	16.25
28305	BNP Paribas Arbit Issu B.V. - SJM Holdings Eu Wts May 2014 A	100,000,000	2013/10/28	2014/05/05	25.00
28274	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt May 2014 A	100,000,000	2013/10/28	2014/05/05	25.00
28276	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Apr 2014 F	100,000,000	2013/10/28	2014/04/02	15.00
28278	BNP Paribas Arbit Issu B.V. - Zhaojin Mining Eu Wt Oct2016 A	100,000,000	2013/10/28	2016/10/04	25.00
28290	Credit Suisse AG - AIA European Put Warrants Apr 2014 B	70,000,000	2013/10/28	2014/04/28	10.50
28281	Credit Suisse AG - Galaxy Ent European Warrants Apr 2014 D	70,000,000	2013/10/28	2014/04/28	17.50
28261	Credit Suisse AG - Galaxy Ent European Put Wts Mar 2014 E	70,000,000	2013/10/28	2014/03/17	10.50
28284	Credit Suisse AG - Greatwall Motor European Wts Apr 2014 A	60,000,000	2013/10/28	2014/04/28	27.00

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28285	Credit Suisse AG - Kingsoft European Warrants Apr 2014 B	70,000,000	2013/10/28	2014/04/28	18.20
28286	Credit Suisse AG - Kingsoft European Put Warrants Apr 2014 C	70,000,000	2013/10/28	2014/04/28	17.50
28282	Credit Suisse AG - Sands China European Warrants Apr 2014 C	70,000,000	2013/10/28	2014/04/28	17.50
28283	Credit Suisse AG - SJM Holdings European Warrants Apr 2014 A	70,000,000	2013/10/28	2014/04/28	17.50
28287	Credit Suisse AG - Tencent Holdings European Wts Apr 2014 A	90,000,000	2013/10/28	2014/04/28	22.50
28288	Credit Suisse AG - Tencent Holdings Euro Put Wts Mar 2014 E	90,000,000	2013/10/28	2014/03/28	18.90
28264	Citigroup Global Mkt H Inc. - SJM Holdings Eu Put Wts Apr14E	80,000,000	2013/10/28	2014/04/28	21.20
28317	Citigroup Global Mkt H Inc. - SPDR Gold T Eu Put Wts Apr14 B	50,000,000	2013/10/28	2014/04/28	12.50
28263	Citigroup Global Mkt H Inc. - Wynn Macau Eu Put Wt Apr2014 B	60,000,000	2013/10/28	2014/04/28	18.60
28271	Daiwa Capital Mkt - Tencent Holdings European Wts May 2014 A	108,000,000	2013/10/28	2014/05/08	27.00
28272	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Apr 2014 C	80,000,000	2013/10/28	2014/04/28	26.40
28258	Goldman Sachs SP (Asia) - AIA European Put Wts Apr 2014 C	68,000,000	2013/10/28	2014/04/29	17.07
28259	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Apr 2014 A	60,000,000	2013/10/28	2014/04/30	20.52
28260	Goldman Sachs SP (Asia) - Sands China Eur Put Wts Jun 2014 A	60,000,000	2013/10/28	2014/06/30	19.44
28316	Goldman Sachs SP (Asia) - Tencent European Wt Mar 2014 A	80,000,000	2013/10/28	2014/03/26	14.32
28257	Goldman Sachs SP (Asia) - Tencent European Put Wt Apr 2014 D	68,000,000	2013/10/28	2014/04/29	27.88
28240	HK Bank - AIA European Warrants Jun 2014 A	120,000,000	2013/10/28	2014/06/30	30.00
28242	HK Bank - AIA European Put Warrants Apr 2014 C	100,000,000	2013/10/28	2014/04/28	25.00
28243	HK Bank - PetroChina European Warrants Apr 2014 A	80,000,000	2013/10/28	2014/04/30	20.00
28244	HK Bank - Sands China European Warrants Apr 2014 B	120,000,000	2013/10/28	2014/04/29	30.00
28247	J P Morgan SP BV - Agricultural Bk Euro Warrants May 2014 A	100,000,000	2013/10/28	2014/05/02	15.00
28246	J P Morgan SP BV - BankComm European Warrants Apr 2014 A	70,000,000	2013/10/28	2014/04/28	10.57
28245	J P Morgan SP BV - China Coal European Warrants Mar 2014 A	70,000,000	2013/10/28	2014/03/28	10.92
28297	J P Morgan SP BV - A50 China European Warrants Apr 2014 B	150,000,000	2013/10/28	2014/04/28	59.85
28296	J P Morgan SP BV - SJM Holdings European Warrants May 2014 A	100,000,000	2013/10/28	2014/05/02	25.00
28294	J P Morgan SP BV - ZTE European Warrants Jun 2014 A	100,000,000	2013/10/28	2014/06/27	25.00
28293	Macquarie Bank Ltd. - Galaxy Ent European Put Wts May 2014 B	40,000,000	2013/10/28	2014/05/05	10.00
28291	Macquarie Bank Ltd. - Sands China European Wts May 2014 B	40,000,000	2013/10/28	2014/05/05	10.00
28292	Macquarie Bank Ltd. - Sands China European Put Wts May 2014 C	40,000,000	2013/10/28	2014/05/05	10.00
28253	Standard Chartered Bank - AIA European Warrants Dec 2014 A	100,000,000	2013/10/28	2014/12/30	25.00
28252	Standard Chartered Bank - CC Bank European Put Wts Jun2014 A	80,000,000	2013/10/28	2014/06/30	20.00
28255	Standard Chartered Bank - HKEx European Warrants Jun 2014 A	100,000,000	2013/10/28	2014/06/30	25.00
28300	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Apr2014 A	70,000,000	2013/10/28	2014/04/02	18.20
28299	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jun14A	100,000,000	2013/10/28	2014/06/04	25.00
28298	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt May 14 C	150,000,000	2013/10/28	2014/05/07	37.50
28309	UBS AG - BYD European Warrants Apr 2014 B	80,000,000	2013/10/28	2014/04/28	34.40
28310	UBS AG - China Life European Warrants May 2014 A	100,000,000	2013/10/28	2014/05/09	21.00
28315	UBS AG - Galaxy Ent European Warrants May 2014 A	40,000,000	2013/10/28	2014/05/12	10.00
28312	UBS AG - HKEx European Warrants Apr 2014 A	100,000,000	2013/10/28	2014/04/02	24.00
28311	UBS AG - HSI European Warrants Jun 2014 A	300,000,000	2013/10/28	2014/06/27	75.00
28314	UBS AG - Tencent Holdings European Warrants Apr 2014 C	100,000,000	2013/10/28	2014/04/28	25.00
26604 #	UBS AG - China Mobile European Warrants Jan 2014 B	200,000,000	2013/10/28	2014/01/06	10.40
28356	Credit Suisse AG - Yanzhou Coal European Warrants Mar 2014 B	60,000,000	2013/10/29	2014/03/28	24.60
28345	Goldman Sachs SP (Asia) - AAC Acoustic Euro Wts May 2014 A	40,000,000	2013/10/29	2014/05/05	10.00

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		發行單位	上市日期	到期日	
28346	Goldman Sachs SP (Asia) - China Coal European Wts Jun 2014 A	68,000,000	2013/10/29	2014/06/30	17.07
28328	HK Bank - A50 China European Put Warrants Dec 2014 A	180,000,000	2013/10/29	2014/12/01	27.00
28332	HK Bank - Galaxy Ent European Warrants Apr 2014 A	100,000,000	2013/10/29	2014/04/29	25.00
28329	HK Bank - HSI European Put Warrants Apr 2014 C	180,000,000	2013/10/29	2014/04/29	45.00
28330	HK Bank - HSI European Put Warrants Apr 2014 D	180,000,000	2013/10/29	2014/04/29	45.00
28335	HK Bank - HWL European Warrants Apr 2014 A	100,000,000	2013/10/29	2014/04/30	25.00
28333	HK Bank - Tencent European Warrants Apr 2014 D	100,000,000	2013/10/29	2014/04/30	25.00
28325	J P Morgan SP BV - AIA European Warrants Jun 2014 A	200,000,000	2013/10/29	2014/06/03	50.00
28323	J P Morgan SP BV - HSI European Warrants Jun 2014 A	500,000,000	2013/10/29	2014/06/27	125.00
28337	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Jul 2014 B	40,000,000	2013/10/29	2014/07/03	10.00
28338	Macquarie Bank Ltd. - MGM China European Warrants Jun 2014 A	40,000,000	2013/10/29	2014/06/04	10.00
28322	Merrill Lynch Int'l & Co. C.V. - AIA Euro Put Wts Jun 2014 A	40,000,000	2013/10/29	2014/06/10	10.60
28321	Merrill Lynch Int'l & Co. C.V. - CK(H) Euro Wts Mar 2014 A	40,000,000	2013/10/29	2014/03/26	10.40
28320	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Euro Wts Mar 2014 A	50,000,000	2013/10/29	2014/03/24	12.50
28319	Merrill Lynch Int'l & Co. C.V. - SHK Ppt Eu Put Wt Apr 14 A	40,000,000	2013/10/29	2014/04/29	14.20
28318	Merrill Lynch Int'l & Co. C.V. - Tencent Euro Wts Jun 2014 A	40,000,000	2013/10/29	2014/06/10	14.00
28348	Standard Chartered Bank - BYD European Warrants Jun 2014 A	50,000,000	2013/10/29	2014/06/04	25.50
28342	Standard Chartered Bank - HSI European Warrants Apr 2014 B	100,000,000	2013/10/29	2014/04/29	17.00
28343	Standard Chartered Bank - HSI European Put Wts Apr 2014 C	100,000,000	2013/10/29	2014/04/29	17.40
28347	Standard Chartered Bank - Kingsoft European Wts May 2014 A	100,000,000	2013/10/29	2014/05/08	25.00
28339	Standard Chartered Bank - Tencent Hldg Euro Wts May 2014 A	100,000,000	2013/10/29	2014/05/02	25.00
28341	Standard Chartered Bank - Tencent Hldg Eu Put Wts Apr 2014 C	100,000,000	2013/10/29	2014/04/28	31.00
28349	SGA Societe Generale Acceptance N.V. - AIA Eur Wt Jul 2014 A	150,000,000	2013/10/29	2014/07/02	24.75
28336	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Apr 14 B	300,000,000	2013/10/29	2014/04/29	75.00
28357	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt May 2014B	50,000,000	2013/10/29	2014/05/07	15.00
28355	UBS AG - China Mobile European Warrants Feb 2014 C	100,000,000	2013/10/29	2014/02/21	22.00
28354	UBS AG - China Mobile European Warrants Apr 2014 A	100,000,000	2013/10/29	2014/04/28	45.00
28353	UBS AG - Hutchison European Warrants Apr 2014 A	100,000,000	2013/10/29	2014/04/28	27.00
28350	UBS AG - SJM Holdings European Warrants Mar 2014 A	80,000,000	2013/10/29	2014/03/26	17.60
28384	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts May 2014 A	90,000,000	2013/10/30	2014/05/05	16.20
28382	BNP Paribas Arbit Issu B.V. - China Tel Euro Wts Jan 2015 A	95,000,000	2013/10/30	2015/01/05	23.75
28385	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts May 2014 A	100,000,000	2013/10/30	2014/05/05	20.00
28383	BNP Paribas Arbit Issu B.V. - Greatwall Motor Eu Wt Jul 14 A	85,000,000	2013/10/30	2014/07/03	24.65
28380	Credit Suisse AG - Angang Steel European Warrants Jul 2014 A	60,000,000	2013/10/30	2014/07/28	15.00
28376	Credit Suisse AG - China Mobile European Warrants Jan 2014 E	80,000,000	2013/10/30	2014/01/30	19.20
28381	Credit Suisse AG - Ch Oil & Gas European Warrants Jul 2014 A	60,000,000	2013/10/30	2014/07/28	15.00
28378	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 B	80,000,000	2013/10/30	2014/06/30	12.80
28361	HK Bank - Agricultural Bank European Warrants Jul 2014 B	80,000,000	2013/10/30	2014/07/28	20.00
28358	HK Bank - China Mobile European Warrants Apr 2014 A	100,000,000	2013/10/30	2014/04/08	17.00
28360	HK Bank - China Mobile European Warrants Apr 2014 B	100,000,000	2013/10/30	2014/04/01	20.00
28362	HK Bank - ICBC European Warrants Jul 2014 B	80,000,000	2013/10/30	2014/07/31	20.00
28366	Standard Chartered Bank - CITIC Sec European Wts May 2014 A	100,000,000	2013/10/30	2014/05/28	18.00
28373	Standard Chartered Bank - China Mobile Eur Put Wt Jun 2014 A	80,000,000	2013/10/30	2014/06/30	20.00
28368	Standard Chartered Bank - Wynn Macau European Wts May 2014 A	60,000,000	2013/10/30	2014/05/07	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
28365	Standard Chartered Bank - ZTE European Warrants Jul 2014 A	80,000,000	2013/10/30	2014/07/14	15.20
28363	SGA Societe Generale Acceptance N.V - Anhui Conch Eu Wt Aug14A	100,000,000	2013/10/30	2014/08/04	18.50
28364	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Apr14F	100,000,000	2013/10/30	2014/04/02	19.50
28375	UBS AG - CSOP A50 ETF European Warrants Mar 2014 B	100,000,000	2013/10/30	2014/03/24	40.00
28374	UBS AG - Greatwall Motor European Warrants Apr 2014 A	60,000,000	2013/10/30	2014/04/29	24.00
28394	BOCI Asia Ltd. - Minsheng Bank European Warrants Dec 2014 A	100,000,000	2013/10/31	2014/12/22	15.00
28393	BOCI Asia Ltd. - China Mobile European Warrants Apr 2014 A	100,000,000	2013/10/31	2014/04/07	16.00
28398	BOCI Asia Ltd. - Tracker Fund European Warrants Oct 2014 A	100,000,000	2013/10/31	2014/10/30	25.00
28396	BOCI Asia Ltd. - Tracker Fund European Put Wts May 2014 A	100,000,000	2013/10/31	2014/05/30	18.00
28429	Bank of East Asia - Angang Steel European Warrants Aug 2014A	40,000,000	2013/10/31	2014/08/26	12.00
28425	Bank of East Asia - BOCHK European Warrants Dec 2014 A	50,000,000	2013/10/31	2014/12/30	12.50
28426	Bank of East Asia - BOCHK European Put Warrants Sep 2014 A	50,000,000	2013/10/31	2014/09/30	12.50
28434	Bank of East Asia - CNBM European Warrants May 2014 A	40,000,000	2013/10/31	2014/05/26	10.80
28431	Bank of East Asia - R&F Ppt European Warrants Dec 2014 A	80,000,000	2013/10/31	2014/12/24	12.00
28430	Bank of East Asia - R&F Ppt European Put Warrants Sep 2015 A	80,000,000	2013/10/31	2015/09/22	20.00
28418	Bank of East Asia - Great Wall Motor European Wts Oct 2016 A	150,000,000	2013/10/31	2016/10/24	37.50
28424	Bank of East Asia - PICC Group European Warrants Apr 2014 A	70,000,000	2013/10/31	2014/04/30	10.50
28432	Bank of East Asia - SJM Holdings European Wts Aug 2014 A	40,000,000	2013/10/31	2014/08/29	10.00
28417	Bank of East Asia - Zhaojin Mining European Wts Jul 2015 A	100,000,000	2013/10/31	2015/07/31	25.00
28389	J P Morgan SP BV - China Mob European Wts May 2014 C	200,000,000	2013/10/31	2014/05/02	57.80
28392	Macquarie Bank Ltd. - Angang Steel European Wts Sep 2014 A	40,000,000	2013/10/31	2014/09/02	10.00
28391	Macquarie Bank Ltd. - Ch Oil & Gas European Wts Apr 2015 A	40,000,000	2013/10/31	2015/04/02	10.00
28399	Macquarie Bank Ltd. - Greatwall Motor European Wts Jun 2014 A	40,000,000	2013/10/31	2014/06/04	10.00
28390	Macquarie Bank Ltd. - ICBC European Warrants Jul 2014 A	60,000,000	2013/10/31	2014/07/03	15.00
28410	Standard Chartered Bank - CC Bank European Wts May 2014 A	100,000,000	2013/10/31	2014/05/28	25.00
28412	Standard Chartered Bank - CSOP A50 ETF Euro Wts Jul 2015 A	150,000,000	2013/10/31	2015/07/06	22.80
28413	Standard Chartered Bank - CSOP A50 ETF Eu Put Wts Oct 2016 A	150,000,000	2013/10/31	2016/10/07	37.50
28411	Standard Chartered Bank - A50 China Euro Put Wts Dec 2014 A	150,000,000	2013/10/31	2014/12/01	27.00
28409	Standard Chartered Bank - Zhaojin Mining Euro Wts Dec 2015 A	150,000,000	2013/10/31	2015/12/28	37.50
28407	SGA Societe Generale Acceptance N.V. - BOCHK Eu Wt Aug 2014A	80,000,000	2013/10/31	2014/08/04	15.20
28401	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt May 2014 B	100,000,000	2013/10/31	2014/05/07	25.00
28421	SGA Societe Generale Acceptance N.V. - CCB Eur Wt May 2014 A	100,000,000	2013/10/31	2014/05/07	36.50
28422	SGA Societe Generale Acceptance N.V. - CNOOC Eu Wt May 2014A	30,000,000	2013/10/31	2014/05/07	14.25
28403	SGA Societe Generale Acceptance N.V. - COVS Eu Wts Jul 2014 A	100,000,000	2013/10/31	2014/07/02	17.50
28406	SGA Societe Generale Acceptance N.V. - CTel Eu Wt May 2014 A	60,000,000	2013/10/31	2014/05/07	15.00
28402	SGA Societe Generale Acceptance N.V. - Evergrande Eu Wt May 14A	50,000,000	2013/10/31	2014/05/07	19.25
28408	SGA Societe Generale Acceptance N.V. - PICC Eu Wts May 2014A	60,000,000	2013/10/31	2014/05/07	15.00
28419	UBS AG - CC Bank European Warrants Apr 2014 A	100,000,000	2013/10/31	2014/04/30	43.00
28386	UBS AG - Sinopec Corp European Warrants Mar 2014 A	80,000,000	2013/10/31	2014/03/25	16.00
28420	UBS AG - Geely Auto European Warrants Apr 2014 B	60,000,000	2013/10/31	2014/04/14	11.40
28457	BNP Paribas Arbit Issu B.V. - Cheung Kong Euro Wt Apr 2017 A	100,000,000	2013/11/01	2017/04/04	25.00
28458	BNP Paribas Arbit Issu B.V. - ZTE Euro Put Wts Jul 2014 A	100,000,000	2013/11/01	2014/07/03	25.00
28481	Credit Suisse AG - Anhui Conch European Warrants Jun 2014 A	70,000,000	2013/11/01	2014/06/23	14.00
28480	Credit Suisse AG - CC Bank European Warrants Mar 2014 A	80,000,000	2013/11/01	2014/03/24	14.40

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		發行單位	上市日期	到期日	
28479	Credit Suisse AG - Minsheng Bank European Wts Dec 2014 B	70,000,000	2013/11/01	2014/12/22	10.50
28477	Credit Suisse AG - HSI European Warrants Mar 2014 D	200,000,000	2013/11/01	2014/03/28	30.00
28476	Credit Suisse AG - HSI European Warrants Sep 2014 A	200,000,000	2013/11/01	2014/09/29	30.00
28478	Credit Suisse AG - Jiangxi Copper European Wts Jun 2014 B	70,000,000	2013/11/01	2014/06/23	10.50
28464	Citigroup Global Mkt H Inc. - AIA European Wts May 2014 A	100,000,000	2013/11/01	2014/05/21	17.00
28466	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Apr 2014 B	100,000,000	2013/11/01	2014/04/25	18.50
28451	HK Bank - China Life European Warrants Apr 2014 B	100,000,000	2013/11/01	2014/04/29	18.00
28450	HK Bank - China Life European Warrants Dec 2014 A	100,000,000	2013/11/01	2014/12/29	15.00
28454	HK Bank - Minsheng Bank European Warrants Jul 2014 A	40,000,000	2013/11/01	2014/07/31	31.20
28453	HK Bank - China Mobile European Warrants Apr 2014 C	80,000,000	2013/11/01	2014/04/30	20.00
28449	HK Bank - HSI European Warrants Mar 2014 E	80,000,000	2013/11/01	2014/03/28	12.00
28448	HK Bank - HSI European Warrants Apr 2014 F	180,000,000	2013/11/01	2014/04/29	27.00
28447	HK Bank - HSI European Put Warrants Apr 2014 E	180,000,000	2013/11/01	2014/04/29	27.00
28452	HK Bank - Ping An European Warrants Apr 2014 A	80,000,000	2013/11/01	2014/04/30	20.00
28440	J P Morgan SP BV - CHALCO European Warrants Jul 2014 A	40,000,000	2013/11/01	2014/07/02	10.00
28439	J P Morgan SP BV - Brilliance Chi European Wts Nov 2014 A	100,000,000	2013/11/01	2014/11/03	25.00
28438	J P Morgan SP BV - BYD European Warrants May 2014 A	60,000,000	2013/11/01	2014/05/02	17.16
28472	J P Morgan Int'l Der. Ltd. - CC Bank European Wts May 2014 B	200,000,000	2013/11/01	2014/05/02	50.60
28486	J P Morgan SP BV - Dongfeng Motor European Wts Nov 2014 A	150,000,000	2013/11/01	2014/11/19	22.50
28437	J P Morgan SP BV - Wynn Macau European Warrants May 2014 A	80,000,000	2013/11/01	2014/05/02	20.00
28474	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Oct 2014 A	40,000,000	2013/11/01	2014/10/06	10.00
28445	Macquarie Bank Ltd. - Sinopec Corp European Wts Jun 2014 A	40,000,000	2013/11/01	2014/06/04	10.00
28473	Macquarie Bank Ltd. - Ping An European Warrants May 2014 A	40,000,000	2013/11/01	2014/05/05	10.00
28446	Macquarie Bank Ltd. - Zhaojin Mining Euro Wts May 2014 A	40,000,000	2013/11/01	2014/05/05	10.00
28463	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eur Wt May 2014 B	40,000,000	2013/11/01	2014/05/14	10.20
28462	Merrill Lynch Int'l & Co. C.V. - Ch Mobile Eu Put Wt May 14 A	40,000,000	2013/11/01	2014/05/26	10.00
28459	Merrill Lynch Int'l & Co. C.V. - HSCEI Euro Put Wt Jun 2014B	80,000,000	2013/11/01	2014/06/27	12.00
28460	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Apr 2014 B	80,000,000	2013/11/01	2014/04/29	12.72
28461	Merrill Lynch Int'l & Co. C.V. - ICBC Euro Wts Aug 2014 A	40,000,000	2013/11/01	2014/08/04	10.00
28456	Standard Chartered Bank - A50 China Euro Warrants Mar 2014 B	50,000,000	2013/11/01	2014/03/10	10.05
28441	Standard Chartered Bank - Galaxy Ent European Wts May 2014 D	80,000,000	2013/11/01	2014/05/02	20.00
28444	Standard Chartered Bank - Greatwall Motor Euro Wt May 2014 A	50,000,000	2013/11/01	2014/05/30	15.50
28442	Standard Chartered Bank - Tencent Hldg Euro Wts Apr 2014 D	100,000,000	2013/11/01	2014/04/02	21.00
28455	SGA Societe Generale Acceptance N.V. - AIA Eur Wt May 2014 A	100,000,000	2013/11/01	2014/05/07	24.00
28469	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt May 2014 B	30,000,000	2013/11/01	2014/05/07	13.95
28524	BNP Paribas Arbit Issu B.V. - BOCHK European Wts May 2015 A	100,000,000	2013/11/04	2015/05/05	25.00
28525	BNP Paribas Arbit Issu B.V. - BYD European Wts Jun 2014 A	70,000,000	2013/11/04	2014/06/04	22.40
28522	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Dec 2014A	100,000,000	2013/11/04	2014/12/02	25.00
28526	BNP Paribas Arbit Issu B.V. - Li Ning European Wts Sep 2015 A	100,000,000	2013/11/04	2015/09/02	26.00
28527	BNP Paribas Arbit Issu B.V. - Sinopec Seg Eur Wts Feb 2015 A	100,000,000	2013/11/04	2015/02/03	25.00
28510	Daiwa Capital Mkt - China Mobile European Wts Feb 2014 B	128,000,000	2013/11/04	2014/02/04	34.56
28507	Daiwa Capital Mkt - China Mobile European Wts Apr 2014 B	128,000,000	2013/11/04	2014/04/01	19.20
28511	Daiwa Capital Mkt - China Mobile European Put Wts Jun 2014 A	80,000,000	2013/11/04	2014/06/20	20.00
28513	Daiwa Capital Mkt - Ping An European Warrants Mar 2014 B	108,000,000	2013/11/04	2014/03/26	22.68

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		發行單位	上市日期	到期日	
28494	HK Bank - Anhui Conch European Warrants Jun 2014 A	100,000,000	2013/11/04	2014/06/23	18.00
28493	HK Bank - CC Bank European Warrants Mar 2014 A	80,000,000	2013/11/04	2014/03/21	12.80
28495	HK Bank - CNBM European Warrants Nov 2015 A	150,000,000	2013/11/04	2015/11/02	30.00
28492	HK Bank - Geely Auto European Warrants May 2014 A	60,000,000	2013/11/04	2014/05/05	15.00
28491	HK Bank - HSI European Warrants Mar 2014 F	200,000,000	2013/11/04	2014/03/28	30.00
28490	HK Bank - HSI European Warrants Jul 2015 A	150,000,000	2013/11/04	2015/07/30	37.50
28489	HK Bank - HSI European Put Warrants May 2015 A	150,000,000	2013/11/04	2015/05/28	39.00
28488	J P Morgan SP BV - Haitong Sec European Warrants Jan 2015 A	100,000,000	2013/11/04	2015/01/02	25.00
28521	J P Morgan SP BV - Sinopec Seg European Warrants Sep 2014 A	100,000,000	2013/11/04	2014/09/19	25.00
28498	Macquarie Bank Ltd. - Li Ning European Warrants Feb 2015 A	40,000,000	2013/11/04	2015/02/03	10.00
28517	Standard Chartered Bank - China Coal European Wts Jun 2014 A	50,000,000	2013/11/04	2014/06/18	12.50
28502	Standard Chartered Bank - Foxconn European Wts May 2014 B	80,000,000	2013/11/04	2014/05/08	30.40
28506	Standard Chartered Bank - MGM China European Wts Jul 2014 A	100,000,000	2013/11/04	2014/07/07	25.00
28504	Standard Chartered Bank - SJM Hold European Wts Mar 2014 A	100,000,000	2013/11/04	2014/03/26	18.80
28505	Standard Chartered Bank - SJM Hold European Wts Aug 2014 A	100,000,000	2013/11/04	2014/08/08	25.00
28518	Standard Chartered Bank - Tencent Hldg Euro Wts Jun 2014 B	100,000,000	2013/11/04	2014/06/27	15.00
28500	Standard Chartered Bank - Tracker Fund Eu Put Wt Aug 2014 A	100,000,000	2013/11/04	2014/08/29	28.00
28515	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Mar 14 B	100,000,000	2013/11/04	2014/03/05	17.00
28519	UBS AG - China Coal European Warrants Mar 2014 A	40,000,000	2013/11/04	2014/03/06	11.20
28520	UBS AG - China Shenhua European Warrants Feb 2014 B	80,000,000	2013/11/04	2014/02/21	12.00
28548	Credit Suisse AG - AIA European Warrants May 2014 A	80,000,000	2013/11/05	2014/05/26	12.00
28551	Credit Suisse AG - AIA European Warrants Dec 2014 A	80,000,000	2013/11/05	2014/12/22	12.00
28546	Daiwa Capital Mkt - BOCL European Put Warrants Jul 2014 A	80,000,000	2013/11/05	2014/07/02	20.00
28543	Daiwa Capital Mkt - CCB European Warrants Mar 2014 C	128,000,000	2013/11/05	2014/03/21	23.04
28547	Daiwa Capital Mkt - China Telecom Euro Put Wts Jun 2014 A	68,000,000	2013/11/05	2014/06/18	17.00
28544	Daiwa Capital Mkt - A50 China European Warrants Apr 2014 A	128,000,000	2013/11/05	2014/04/03	19.20
28535	J P Morgan SP BV - China Life European Warrants Jul 2014 A	300,000,000	2013/11/05	2014/07/03	75.00
28534	J P Morgan SP BV - Evergrande European Warrants May 2014 A	50,000,000	2013/11/05	2014/05/05	12.50
28531	Merrill Lynch Int'l & Co. C.V. - AIA Euro Wts Jun 2014 B	80,000,000	2013/11/05	2014/06/23	12.96
28529	Merrill Lynch Int'l & Co. C.V. - CNOOC Euro Wts Nov 2015 A	60,000,000	2013/11/05	2015/11/23	11.04
28533	Merrill Lynch Int'l & Co. C.V. - HSBC Euro Wts Apr 2014 B	80,000,000	2013/11/05	2014/04/08	12.00
28530	Merrill Lynch Int'l & Co. C.V. - Hutchison Eur Wt Apr 2014 A	50,000,000	2013/11/05	2014/04/29	10.05
28528	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt May 2014 A	40,000,000	2013/11/05	2014/05/23	12.40
28536	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jul 14 A	100,000,000	2013/11/05	2014/07/02	25.00
28541	UBS AG - Kingsoft European Warrants Feb 2014 B	100,000,000	2013/11/05	2014/02/10	37.00
28538	UBS AG - Lumena Newmat European Warrants Jun 2014 A	80,000,000	2013/11/05	2014/06/25	15.20
28572	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts May 2014 A	100,000,000	2013/11/06	2014/05/22	18.00
28573	Citigroup Global Mkt H Inc. - China Life Euro Wts Jul 2014 A	150,000,000	2013/11/06	2014/07/21	25.50
28570	Daiwa Capital Mkt - China Life European Warrants Jul 2014 A	168,000,000	2013/11/06	2014/07/21	25.20
28561	HK Bank - Ch Ship Cont European Warrants Jun 2014 A	80,000,000	2013/11/06	2014/06/30	20.00
28558	HK Bank - HSCEI European Put Warrants Oct 2014 A	120,000,000	2013/11/06	2014/10/30	30.00
28560	HK Bank - HSI European Warrants Jun 2014 A	200,000,000	2013/11/06	2014/06/27	30.00
28552	HK Bank - Tencent European Warrants Apr 2014 E	100,000,000	2013/11/06	2014/04/22	20.00
28557	HK Bank - Tencent European Put Warrants Mar 2014 C	100,000,000	2013/11/06	2014/03/11	22.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
28563	Macquarie Bank Ltd. - CITIC Pacific European Wts Sep 2014 A	40,000,000	2013/11/06	2014/09/02	10.00
28564	Macquarie Bank Ltd. - Nine Dragons European Wts May 2014 A	40,000,000	2013/11/06	2014/05/08	10.20
28565	Macquarie Bank Ltd. - Sinopec Seg European Wts Sep 2014 A	80,000,000	2013/11/06	2014/09/02	20.00
28568	UBS AG - Anhui Conch European Warrants Feb 2014 A	70,000,000	2013/11/06	2014/02/25	10.50
28566	UBS AG - CPIC European Warrants Feb 2014 A	80,000,000	2013/11/06	2014/02/24	12.00
28567	UBS AG - SHK Ppt European Warrants Mar 2014 A	60,000,000	2013/11/06	2014/03/31	10.50
28569	UBS AG - Yanzhou Coal European Wts Mar 2014 A	30,000,000	2013/11/06	2014/03/03	14.70
28602	Citigroup Global Mkt H Inc. - CGS European Warrants May 14 A	25,000,000	2013/11/07	2014/05/07	10.88
28603	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Put Wts May14A	60,000,000	2013/11/07	2014/05/07	30.00
28604	Citigroup Global Mkt H Inc. - CSOP A50 ETF Eu Put Wts Dec14A	200,000,000	2013/11/07	2014/12/23	30.00
28584	Daiwa Capital Mkt - HSCEI European Warrants Mar 2014 E	100,000,000	2013/11/07	2014/03/28	15.00
28586	Daiwa Capital Mkt - HSCEI European Put Warrants May 2014 A	100,000,000	2013/11/07	2014/05/29	25.00
28580	Daiwa Capital Mkt - HSI European Warrants Mar 2014 B	200,000,000	2013/11/07	2014/03/28	30.00
28581	Daiwa Capital Mkt - HSI European Put Warrants May 2014 A	200,000,000	2013/11/07	2014/05/29	50.00
28574	HK Bank - Galaxy Ent European Warrants May 2014 A	60,000,000	2013/11/07	2014/05/07	30.00
28576	HK Bank - Li & Fung European Warrants Dec 2014 A	128,000,000	2013/11/07	2014/12/29	19.20
28577	HK Bank - Tencent European Put Warrants Apr 2014 F	128,000,000	2013/11/07	2014/04/09	20.48
28589	Standard Chartered Bank - Esprit European Wts Jul 2014 A	80,000,000	2013/11/07	2014/07/25	13.60
28594	Standard Chartered Bank - Esprit European Put Wts Dec 2014 A	80,000,000	2013/11/07	2014/12/30	20.00
28590	Standard Chartered Bank - PetroChina European Wts Jul 2014 A	80,000,000	2013/11/07	2014/07/25	20.00
28579	SGA Societe Generale Acceptance N.V. - CCB Eur Wt May 2014 B	100,000,000	2013/11/07	2014/05/07	22.00
28600	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt May14 A	40,000,000	2013/11/07	2014/05/07	18.00
28596	UBS AG - Agricultural Bank European Warrants May 2014 A	70,000,000	2013/11/07	2014/05/02	10.50
28599	UBS AG - China National Building European Wts Feb 2014 B	40,000,000	2013/11/07	2014/02/06	11.20
28598	UBS AG - China Overseas European Warrants Jun 2014 A	100,000,000	2013/11/07	2014/06/23	19.50
28588	UBS AG - HKEx European Warrants Feb 2014 A	100,000,000	2013/11/07	2014/02/06	24.00
28595	UBS AG - PICC Group European Warrants Apr 2014 A	60,000,000	2013/11/07	2014/04/28	14.40
28622	Credit Suisse AG - CITIC Bank European Warrants Mar 2014 A	60,000,000	2013/11/08	2014/03/21	16.80
28625	Credit Suisse - Foxconn European Warrants May 2014 A	60,000,000	2013/11/08	2014/05/07	16.80
28623	Credit Suisse AG - Geely Auto European Warrants Apr 2014 A	60,000,000	2013/11/08	2014/04/14	12.00
28621	Credit Suisse AG - SPDR Gold Trust Euro Put Wts Apr 2014 A	60,000,000	2013/11/08	2014/04/17	10.80
28628	Credit Suisse AG - Tencent Holdings European Wts Mar 2014 F	80,000,000	2013/11/08	2014/03/31	12.00
28629	Citigroup Global Mkt H Inc. - Sands China Euro Wt Apr 2014 D	60,000,000	2013/11/08	2014/04/30	14.40
28618	Daiwa Capital Mkt - Agricultural Bank European Wts Jun 2014 A	108,000,000	2013/11/08	2014/06/03	16.20
28620	Daiwa Capital Mkt - A50 China European Warrants May 2014 B	168,000,000	2013/11/08	2014/05/02	25.20
28619	Daiwa Capital Mkt - Galaxy Ent European Warrants May 2014 A	80,000,000	2013/11/08	2014/05/05	12.00
28611	Nomura Int'l plc - China Mobile European Warrants Apr 2014 A	100,000,000	2013/11/08	2014/04/25	19.20
28612	Nomura Int'l plc - China Mobile European Warrants Jul 2014 A	100,000,000	2013/11/08	2014/07/28	25.00
28613	Nomura Int'l plc - ICBC European Warrants Jun 2014 A	100,000,000	2013/11/08	2014/06/30	15.70
28614	Nomura Int'l plc - Ping An European Warrants Mar 2014 A	80,000,000	2013/11/08	2014/03/10	12.16
28610	Nomura Int'l plc - Tencent Holdings Euro Warrants Apr 2014 B	100,000,000	2013/11/08	2014/04/08	15.60
28616	Standard Chartered Bank - China Mobile Euro Wts Apr 2014 D	100,000,000	2013/11/08	2014/04/17	35.00
28626	Standard Chartered Bank - ICBC European Warrants Jun 2014 A	100,000,000	2013/11/08	2014/06/16	15.00
28615	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2014 A	300,000,000	2013/11/08	2014/06/27	45.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
27099 #	UBS AG - China Mobile European Warrants Feb 2014 B	200,000,000	2013/11/08	2014/02/05	10.80
28638	Daiwa Capital Mkt - CNOOC European Warrants Mar 2015 A	108,000,000	2013/11/11	2015/03/02	27.00
28639	Daiwa Capital Mkt - CNOOC European Put Warrants Aug 2014 A	80,000,000	2013/11/11	2014/08/04	16.00
28637	Daiwa Capital Mkt - Ping An European Warrants Mar 2014 C	108,000,000	2013/11/11	2014/03/19	20.52
28645	Macquarie Bank Ltd. - Xinyi Glass European Wts May 2014 A	30,000,000	2013/11/11	2014/05/05	10.17
28630	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2014 A	120,000,000	2013/11/11	2014/08/04	19.20
28633	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Apr 14 C	100,000,000	2013/11/11	2014/04/02	19.00
28634	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt May14C	100,000,000	2013/11/11	2014/05/07	15.50
28642	UBS AG - CITIC Bank European Warrants Mar 2014 A	50,000,000	2013/11/11	2014/03/26	11.00
28643	UBS AG - PetroChina European Warrants Mar 2014 A	70,000,000	2013/11/11	2014/03/26	26.95
28641	UBS AG - Stanchart European Warrants Jan 2015 A	70,000,000	2013/11/11	2015/01/27	10.50
28644	UBS AG - Yurun Food European Warrants Mar 2014 A	40,000,000	2013/11/11	2014/03/24	16.80
28659	BOCI Asia Ltd. - SHK Ppt European Warrants May 2014 A	100,000,000	2013/11/12	2014/05/26	25.00
28657	BOCI Asia Ltd. - Wynn Macau European Warrants May 2014 A	100,000,000	2013/11/12	2014/05/19	25.00
28656	Citigroup Global Mkt H Inc. - CC Bank European Wt May 2014 A	80,000,000	2013/11/12	2014/05/27	14.00
28646	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Mar 2014 B	70,000,000	2013/11/12	2014/03/26	10.50
28669	Daiwa Capital Mkt - HSBC European Warrants Mar 2014 B	128,000,000	2013/11/12	2014/03/10	19.20
28670	Daiwa Capital Mkt - HSBC European Warrants Apr 2014 B	128,000,000	2013/11/12	2014/04/01	37.12
28673	Daiwa Capital Mkt - ICBC European Warrants May 2014 A	128,000,000	2013/11/12	2014/05/12	32.00
28678	Macquarie Bank Ltd. - AAC Acoustic European Wts Jun 2014 A	80,000,000	2013/11/12	2014/06/30	20.00
28683	Macquarie Bank Ltd. - CGS European Warrants May 2014 A	40,000,000	2013/11/12	2014/05/13	11.20
28665	Macquarie Bank Ltd. - CNBM European Warrants Aug 2014 A	34,000,000	2013/11/12	2014/08/04	10.20
28668	Macquarie Bank Ltd. - Yurun Food European Wts Jun 2014 A	25,000,000	2013/11/12	2014/06/04	10.00
28671	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2014 D	120,000,000	2013/11/12	2014/04/02	24.60
28672	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt May14A	60,000,000	2013/11/12	2014/05/12	15.30
28688	UBS AG - China Mobile European Warrants Apr 2014 B	100,000,000	2013/11/12	2014/04/08	15.00
28684	UBS AG - HSBC European Warrants Apr 2014 A	100,000,000	2013/11/12	2014/04/08	16.00
28685	UBS AG - KECL European Warrants Oct 2014 B	100,000,000	2013/11/12	2014/10/13	25.00
27542 #	UBS AG - Tencent Holdings European Warrants Apr 2014 A	80,000,000	2013/11/12	2014/04/02	10.48
28705	Credit Suisse AG - HSBC European Wts Mar 2014 A	80,000,000	2013/11/13	2014/03/31	12.80
28707	Credit Suisse AG - HSBC European Put Warrants Mar 2014 B	80,000,000	2013/11/13	2014/03/31	13.60
28703	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Apr 2014 C	100,000,000	2013/11/13	2014/04/17	35.00
28704	Citigroup Global Mkt H Inc. - Ping An Euro Wts Apr 2014 A	100,000,000	2013/11/13	2014/04/25	25.00
28698	Daiwa Capital Mkt - Tencent Holdings European Wts Mar 2014 C	108,000,000	2013/11/13	2014/03/25	19.44
28699	Daiwa Capital Mkt - Tencent Holdings European Wts Apr 2014 D	108,000,000	2013/11/13	2014/04/22	16.20
28690	HK Bank - CSOP A50 ETF European Warrants May 2014 A	60,000,000	2013/11/13	2014/05/13	15.00
28694	HK Bank - Evergrande European Warrants May 2014 A	60,000,000	2013/11/13	2014/05/30	15.00
28696	HK Bank - Tencent European Warrants Apr 2014 G	100,000,000	2013/11/13	2014/04/22	23.00
28712	J P Morgan SP BV - China Shenhua European Wts May 2014 A	150,000,000	2013/11/13	2014/05/30	37.50
28713	J P Morgan Int'l Der. Ltd. - HSCEI European Wts May 2014 A	300,000,000	2013/11/13	2014/05/29	75.00
28700	Standard Chartered Bank - HSBC European Warrants Mar 2014 B	100,000,000	2013/11/13	2014/03/19	17.00
28708	UBS AG - China EB Int'l European Warrants May 2014 A	30,000,000	2013/11/13	2014/05/26	13.50
28711	UBS AG - Xinyi Glass European Warrants Jun 2014 A	30,000,000	2013/11/13	2014/06/23	14.10
25850 #	Nomura Int'l plc - A50 China European Warrants Feb 2014 A	70,000,000	2013/11/13	2014/02/05	11.13

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26300 #	Standard Chartered Bank - CC Bank European Wts Mar 2014 A	150,000,000	2013/11/13	2014/03/05	10.20
28724	BOCI Asia Ltd. - Anhui Conch European Warrants May 2014 A	100,000,000	2013/11/14	2014/05/26	25.00
28716	BOCI Asia Ltd. - BOCL European Warrants May 2014 A	100,000,000	2013/11/14	2014/05/30	15.00
28721	BOCI Asia Ltd. - CM Bank European Warrants Aug 2014 A	100,000,000	2013/11/14	2014/08/26	15.00
28722	BOCI Asia Ltd. - KECL European Warrants Aug 2014 A	100,000,000	2013/11/14	2014/08/18	15.00
28746	BNP Paribas Arbit Issu B.V. - CITIC Pacific Eu Wt Nov 2017 A	100,000,000	2013/11/14	2017/11/02	25.00
28743	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts May 2014 A	100,000,000	2013/11/14	2014/05/05	20.00
28744	BNP Paribas Arbit Issu B.V. - Ch Mobile Eu Put Wt Jul 2014 A	100,000,000	2013/11/14	2014/07/03	18.00
28748	BNP Paribas Arbit Issu B.V. - Esprit European Wts Jan 2015 A	94,000,000	2013/11/14	2015/01/05	26.32
28747	BNP Paribas Arbit Issu B.V. - Gome European Wts Dec 2014 A	100,000,000	2013/11/14	2014/12/02	26.00
28742	BNP Paribas Arbit Issu B.V. - HSBC European Wts Aug 2014 A	100,000,000	2013/11/14	2014/08/04	17.00
28745	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2014 A	100,000,000	2013/11/14	2014/09/29	15.00
28750	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Jun 2014 A	100,000,000	2013/11/14	2014/06/04	26.00
28751	BNP Paribas Arbit Issu B.V. - Shimao Ppt Euro Wts Aug 2014 A	100,000,000	2013/11/14	2014/08/04	26.00
28752	Credit Suisse AG - A50 China European Warrants Feb 2014 B	80,000,000	2013/11/14	2014/02/24	17.60
28753	Credit Suisse AG - China Mengniu European Wts May 2014 A	60,000,000	2013/11/14	2014/05/19	16.20
28757	Citigroup Global Mkt H Inc. - SPDR Gold T Euro Wt May 2014 A	60,000,000	2013/11/14	2014/05/13	33.00
28754	Daiwa Capital Mkt - Galaxy Ent European Warrants Apr 2014 B	98,000,000	2013/11/14	2014/04/02	14.70
28756	Daiwa Capital Mkt - Galaxy Ent European Put Wts Mar 2014 D	80,000,000	2013/11/14	2014/03/17	19.20
28727	J P Morgan SP BV - Beijing Ent European Warrants May 2014 A	30,000,000	2013/11/14	2014/05/13	15.51
28728	Macquarie Bank Ltd. - Foxconn European Warrants Jun 2014 A	40,000,000	2013/11/14	2014/06/04	11.80
28729	Macquarie Bank Ltd. - Gome European Warrants Feb 2015 A	40,000,000	2013/11/14	2015/02/03	10.00
28733	Macquarie Bank Ltd. - Huaneng Power European Wts Jun 2014 A	40,000,000	2013/11/14	2014/06/04	10.00
28730	Macquarie Bank Ltd. - PetroChina European Wts Jun 2014 A	40,000,000	2013/11/14	2014/06/04	10.00
28738	Standard Chartered Bank - Agricultural Bk Eur Wts Aug 2014 A	80,000,000	2013/11/14	2014/08/28	20.00
28739	UBS AG - Chi Res Power European Warrants May 2014 A	40,000,000	2013/11/14	2014/05/13	10.00
28741	UBS AG - Zhuzhou CSR European Warrants May 2014 A	20,000,000	2013/11/14	2014/05/13	11.40
28737	UBS AG - HSI European Warrants Apr 2014 C	300,000,000	2013/11/14	2014/04/29	45.00
28740	UBS AG - Tencent Holdings European Warrants Mar 2014 E	100,000,000	2013/11/14	2014/03/10	15.00
19440 #	J P Morgan SP BV - A50 China European Warrants Sep 2014 A	300,000,000	2013/11/14	2014/09/15	11.70
19744 #	Goldman Sachs SP (Asia) - A50 China European Wts Apr 2014 A	228,000,000	2013/11/14	2014/04/29	10.03
27865 #	Goldman Sachs SP (Asia) - Tencent European Wt Apr 2014 C	88,000,000	2013/11/14	2014/04/29	14.26
28770	BOCI Asia Ltd. - HSI European Warrants Apr 2014 B	100,000,000	2013/11/15	2014/04/29	15.00
28782	Credit Suisse AG - SUNAC European Warrants May 2014 A	50,000,000	2013/11/15	2014/05/19	23.00
28769	Citigroup Global Mkt H Inc. - CC Bank European Wt Apr 2014 A	100,000,000	2013/11/15	2014/04/24	32.00
28788	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Jul 2014 A	100,000,000	2013/11/15	2014/07/21	16.50
28786	Citigroup Global Mkt H Inc. - HSBC European Wts Apr 2014 B	100,000,000	2013/11/15	2014/04/01	30.00
28773	Goldman Sachs SP (Asia) - Ch LongYuan Euro Wts Sep 2014 A	38,000,000	2013/11/15	2014/09/29	34.12
28776	Goldman Sachs SP (Asia) - China Mobile Euro Wts Apr 2014 C	80,000,000	2013/11/15	2014/04/28	17.52
28761	Goldman Sachs SP (Asia) - Sinopec Corp European Wts Apr 2014 A	68,000,000	2013/11/15	2014/04/28	10.54
28780	Goldman Sachs SP (Asia) - Foxconn European Wts Mar 2014 A	48,000,000	2013/11/15	2014/03/03	10.32
28778	Goldman Sachs SP (Asia) - Greatwall Motor Eur Wts Mar 2014 A	60,000,000	2013/11/15	2014/03/05	17.52
28765	Goldman Sachs SP (Asia) - Lenovo European Wts Mar 2015 A	80,000,000	2013/11/15	2015/03/23	12.16
28762	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2014 A	68,000,000	2013/11/15	2014/06/30	17.14

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28758	Goldman Sachs SP (Asia) - SHK Ppt European Wts Jun 2014 B	48,000,000	2013/11/15	2014/06/30	12.00
28768	HK Bank - BYD European Warrants May 2014 A	60,000,000	2013/11/15	2014/05/30	21.00
28767	HK Bank - Lenovo European Warrants May 2014 B	60,000,000	2013/11/15	2014/05/15	16.80
28785	UBS AG - Belle Int'l European Warrants Sep 2014 A	80,000,000	2013/11/15	2014/09/25	12.00
28771	UBS AG - BYD European Warrants May 2014 A	50,000,000	2013/11/15	2014/05/14	30.00
28772	UBS AG - Geely Auto European Warrants May 2014 A	60,000,000	2013/11/15	2014/05/14	28.80
28794	BOCI Asia Ltd. - A50 China European Warrants Jun 2014 A	100,000,000	2013/11/18	2014/06/03	25.00
28796	BOCI Asia Ltd. - Galaxy Ent European Warrants Mar 2014 C	100,000,000	2013/11/18	2014/03/24	25.00
28791	BOCI Asia Ltd. - Kingsoft European Warrants May 2014 B	100,000,000	2013/11/18	2014/05/23	25.00
28795	BOCI Asia Ltd. - Sands China European Warrants Apr 2014 A	100,000,000	2013/11/18	2014/04/29	18.00
28813	Citigroup Global Mkt H Inc. - Ch Mobile Eu Put Wt Apr 2014 D	80,000,000	2013/11/18	2014/04/28	16.40
28809	Bank of East Asia - Belle European Warrants Jun 2015 A	70,000,000	2013/11/18	2015/06/26	10.50
28812	Bank of East Asia - BYD European Warrants Jun 2014 A	40,000,000	2013/11/18	2014/06/26	10.00
28790	J P Morgan SP BV - CNBM European Warrants Dec 2016 A	200,000,000	2013/11/18	2016/12/01	50.00
28789	J P Morgan SP BV - KECL European Warrants Sep 2014 A	120,000,000	2013/11/18	2014/09/01	18.00
28801	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Apr14C	60,000,000	2013/11/18	2014/04/02	15.60
28804	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Jun14A	60,000,000	2013/11/18	2014/06/04	21.90
28797	UBS AG - AAC Acoustic European Warrants Apr 2014 A	40,000,000	2013/11/18	2014/04/25	13.20
28800	UBS AG - GCL-Poly Energy European Warrants Apr 2014 B	60,000,000	2013/11/18	2014/04/28	19.80
28799	UBS AG - SPDR Gold Trust European Warrants May 2014 A	100,000,000	2013/11/18	2014/05/19	35.00
28830	BNP Paribas Arbit Issu B.V. - Ch Mer Hold Euro Wts Jul 2014A	70,000,000	2013/11/19	2014/07/03	18.20
28831	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Jul 2014 A	100,000,000	2013/11/19	2014/07/03	25.00
28829	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Jun 2014 A	96,000,000	2013/11/19	2014/06/04	24.96
28833	BNP Paribas Arbit Issu B.V. - Jiansu Express Euro Wt Dec15A	59,000,000	2013/11/19	2015/12/02	14.75
28834	BNP Paribas Arbit Issu B.V. - Ting Yi European Wts Jul 2014 A	100,000,000	2013/11/19	2014/07/03	25.00
28836	Credit Suisse AG - CNOOC European Warrants Feb 2015 A	70,000,000	2013/11/19	2015/02/23	11.20
28837	Credit Suisse AG - CNOOC European Put Warrants Oct 2014 A	70,000,000	2013/11/19	2014/10/31	10.50
28835	Credit Suisse AG - Lenovo European Warrants Mar 2014 A	70,000,000	2013/11/19	2014/03/31	24.50
28839	Citigroup Global Mkt H Inc. - CC Bank European Wt Apr 2014 B	100,000,000	2013/11/19	2014/04/24	18.00
28822	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jun 2014 A	80,000,000	2013/11/19	2014/06/03	20.08
28826	Goldman Sachs SP (Asia) - China Tel European Wts Aug 2014 A	80,000,000	2013/11/19	2014/08/04	20.00
28824	Goldman Sachs SP (Asia) - PICC Group European Wts Jun 2014 A	80,000,000	2013/11/19	2014/06/30	20.16
28815	HK Bank - AIA European Warrants May 2014 A	100,000,000	2013/11/19	2014/05/23	15.00
28827	HK Bank - CSR European Warrants Jan 2015 A	100,000,000	2013/11/19	2015/01/05	15.00
28816	HK Bank - Esprit European Warrants Dec 2014 A	100,000,000	2013/11/19	2014/12/31	25.00
28818	HK Bank - HSCEI European Put Warrants Jun 2014 C	150,000,000	2013/11/19	2014/06/27	25.50
28820	HK Bank - Haitong Sec European Warrants Dec 2014 A	100,000,000	2013/11/19	2014/12/23	15.00
28814	J P Morgan SP BV - HKEx European Warrants Jan 2016 A	300,000,000	2013/11/19	2016/01/04	45.00
28828	Standard Chartered Bank - Lenovo European Wts Jul 2014 B	60,000,000	2013/11/19	2014/07/04	18.00
28838	UBS AG - Hutchison European Warrants Mar 2014 B	100,000,000	2013/11/19	2014/03/21	23.00
27307 #	BOCI Asia Ltd. - A50 China European Warrants Aug 2014 B	150,000,000	2013/11/19	2014/08/04	13.35
28876	Credit Suisse AG - BOC HK European Warrants Dec 2014 A	70,000,000	2013/11/20	2014/12/15	10.50
28880	Credit Suisse AG - China Mobile European Warrants Apr 2014 A	70,000,000	2013/11/20	2014/04/01	10.50
28873	Credit Suisse AG - A50 China European Warrants Dec 2015 A	100,000,000	2013/11/20	2015/12/24	15.00

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28874	Credit Suisse AG - A50 China European Warrants Aug 2016 A	100,000,000	2013/11/20	2016/08/01	20.00
28878	Credit Suisse AG - HKEx European Warrants Dec 2015 A	100,000,000	2013/11/20	2015/12/24	15.00
28879	Credit Suisse AG - Li & Fung European Warrants Jan 2015 A	80,000,000	2013/11/20	2015/01/26	12.00
28875	Credit Suisse AG - Lenovo European Warrants Sep 2014 A	70,000,000	2013/11/20	2014/09/29	10.50
28877	Credit Suisse AG - New World Dev European Wts Sep 2014 A	70,000,000	2013/11/20	2014/09/29	10.50
28890	Citigroup Global Mkt H Inc. - Ping An Euro Put Wt May 2014 A	100,000,000	2013/11/20	2014/05/19	25.00
28887	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Jun 2014 A	60,000,000	2013/11/20	2014/06/03	15.00
28864	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts May 2014 B	98,000,000	2013/11/20	2014/05/27	14.70
28842	Goldman Sachs SP (Asia) - BOCL European Warrants May 2014 A	80,000,000	2013/11/20	2014/05/23	12.00
28844	Goldman Sachs SP (Asia) - CNBM European Warrants Jun 2014 B	48,000,000	2013/11/20	2014/06/30	20.83
28841	Goldman Sachs SP (Asia) - Ping An European Wts May 2014 A	80,000,000	2013/11/20	2014/05/05	30.24
28845	HK Bank - HSI European Put Warrants Mar 2014 G	200,000,000	2013/11/20	2014/03/28	32.00
28846	HK Bank - HWL European Warrants Jun 2014 A	60,000,000	2013/11/20	2014/06/30	27.00
28847	HK Bank - Link REIT European Warrants Jul 2014 A	80,000,000	2013/11/20	2014/07/30	20.00
28891	J P Morgan SP BV - PetroChina European Warrants Jun 2014 A	200,000,000	2013/11/20	2014/06/03	50.00
28840	J P Morgan SP BV - Tencent European Warrants Jun 2015 A	200,000,000	2013/11/20	2015/06/08	50.00
28851	Macquarie Bank Ltd. - Chi Resources European Wts Nov 2014 A	50,000,000	2013/11/20	2014/11/04	12.50
28881	Macquarie Bank Ltd. - Dongfeng Motor European Wts Dec 2014 A	70,000,000	2013/11/20	2014/12/02	10.50
28849	Macquarie Bank Ltd. - Tencent European Warrants Jun 2014 A	40,000,000	2013/11/20	2014/06/04	11.76
28863	Standard Chartered Bank - China Life European Wts Aug 2014 B	150,000,000	2013/11/20	2014/08/25	22.50
28861	Standard Chartered Bank - China Mobile Euro Wts Jul 2014 A	100,000,000	2013/11/20	2014/07/11	25.00
28862	Standard Chartered Bank - CNOOC European Warrants Nov 2015 A	150,000,000	2013/11/20	2015/11/23	27.00
28852	Standard Chartered Bank - SPDR Gold Trust Euro Wt Jul 2014 B	80,000,000	2013/11/20	2014/07/04	28.00
28871	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Jun14 A	40,000,000	2013/11/20	2014/06/04	25.60
28872	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt Aug14 A	40,000,000	2013/11/20	2014/08/04	19.00
28883	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt May 14 B	100,000,000	2013/11/20	2014/05/07	26.00
28884	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt May 14 C	100,000,000	2013/11/20	2014/05/07	16.50
28882	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Jun 14 A	100,000,000	2013/11/20	2014/06/04	39.00
28885	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Aug 14 A	100,000,000	2013/11/20	2014/08/04	16.00
28886	SGA Societe Generale Acceptance N.V. - HSI Eur Wt May 2014 A	200,000,000	2013/11/20	2014/05/29	50.00
28869	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt May14D	60,000,000	2013/11/20	2014/05/07	17.70
28866	UBS AG - BOCL European Warrants Apr 2014 A	100,000,000	2013/11/20	2014/04/28	18.00
28867	UBS AG - ICBC European Warrants Apr 2014 A	100,000,000	2013/11/20	2014/04/24	22.00
28868	UBS AG - ICBC European Warrants May 2014 A	100,000,000	2013/11/20	2014/05/02	17.00
28865	UBS AG - Tencent Holdings European Put Warrants Apr 2014 D	100,000,000	2013/11/20	2014/04/16	23.00
28918	BNP Paribas Arbit Issu B.V. - CC Bank Euro Wts Jun 2014 A	100,000,000	2013/11/21	2014/06/04	22.00
28922	BNP Paribas Arbit Issu B.V. - Ch Shenhua Euro Wts Sep 2014 A	100,000,000	2013/11/21	2014/09/02	19.00
28919	BNP Paribas Arbit Issu B.V. - ICBC European Wts May 2014 A	100,000,000	2013/11/21	2014/05/05	16.00
28920	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Aug 2014 A	100,000,000	2013/11/21	2014/08/01	15.00
28921	BNP Paribas Arbit Issu B.V. - Weigao Group Eu Wts Feb 2015 A	100,000,000	2013/11/21	2015/02/03	25.00
28923	Credit Suisse AG - Anhui Conch European Warrants Aug 2014 A	70,000,000	2013/11/21	2014/08/01	10.50
28925	Credit Suisse AG - BOC HK European Warrants Apr 2015 A	70,000,000	2013/11/21	2015/04/27	11.20
28927	Credit Suisse AG - Minsheng Bank European Wts Sep 2015 A	70,000,000	2013/11/21	2015/09/25	11.20
28924	Credit Suisse AG - CNOOC European Warrants Nov 2015 A	70,000,000	2013/11/21	2015/11/23	11.90

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28930	Credit Suisse AG - Ch Overseas European Warrants Jun 2014 A	70,000,000	2013/11/21	2014/06/23	10.50
28931	Credit Suisse AG - Ch Overseas European Warrants Nov 2014 A	70,000,000	2013/11/21	2014/11/28	11.90
28932	Credit Suisse AG - Esprit European Put Warrants Dec 2014 A	70,000,000	2013/11/21	2014/12/24	10.50
28929	Credit Suisse AG - Jiangxi Copper European Wts Mar 2015 A	70,000,000	2013/11/21	2015/03/30	10.50
28926	Credit Suisse AG - KECL European Warrants Jul 2014 A	70,000,000	2013/11/21	2014/07/21	17.50
28928	Credit Suisse AG - Sa Sa Int'l European Warrants Dec 2014 A	70,000,000	2013/11/21	2014/12/22	12.60
28937	Citigroup Global Mkt H Inc. - CC Bank European Wt May 2014 B	100,000,000	2013/11/21	2014/05/21	20.00
28901	HK Bank - China COSCO European Warrants Jun 2014 A	60,000,000	2013/11/21	2014/06/30	15.00
28895	HK Bank - HSI European Warrants Mar 2014 H	200,000,000	2013/11/21	2014/03/28	40.00
28896	HK Bank - HSI European Warrants Mar 2014 I	200,000,000	2013/11/21	2014/03/28	30.00
28893	HK Bank - HSI European Put Warrants May 2014 B	150,000,000	2013/11/21	2014/05/29	37.50
28894	HK Bank - HSI European Put Warrants May 2014 C	150,000,000	2013/11/21	2014/05/29	37.50
28892	HK Bank - HSI European Put Warrants Jun 2014 B	150,000,000	2013/11/21	2014/06/27	37.50
28899	HK Bank - KECL European Warrants Jun 2014 A	100,000,000	2013/11/21	2014/06/26	17.00
28905	J P Morgan SP BV - CM Bank European Warrants Sep 2014 A	280,000,000	2013/11/21	2014/09/01	42.84
28904	J P Morgan SP BV - China Mob European Wts Apr 2014 C	200,000,000	2013/11/21	2014/04/23	37.40
28903	J P Morgan SP BV - Ch Overseas European Warrants Aug 2014 A	200,000,000	2013/11/21	2014/08/04	50.00
28915	Macquarie Bank Ltd. - CNOOC European Warrants Jun 2014 A	40,000,000	2013/11/21	2014/06/04	10.00
28914	Macquarie Bank Ltd. - Esprit European Warrants Oct 2014 A	40,000,000	2013/11/21	2014/10/06	10.00
28916	Macquarie Bank Ltd. - Poly HK Inv European Wts Jun 2014 A	50,000,000	2013/11/21	2014/06/04	12.50
28917	Macquarie Bank Ltd. - SHK Ppt European Warrants Jun 2014 B	70,000,000	2013/11/21	2014/06/04	10.78
28933	Standard Chartered Bank - Esprit European Wts Jun 2014 A	80,000,000	2013/11/21	2014/06/30	20.00
28909	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2014 C	50,000,000	2013/11/21	2014/06/04	21.00
28910	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jun 2014 A	100,000,000	2013/11/21	2014/06/04	25.00
28908	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Apr 14 C	200,000,000	2013/11/21	2014/04/29	30.00
28912	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Apr 2014 B	100,000,000	2013/11/21	2014/04/02	23.00
28911	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2014 A	100,000,000	2013/11/21	2014/07/02	47.00
28913	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Jun 2014 A	100,000,000	2013/11/21	2014/06/04	30.50
10254	SGA Societe Generale Acceptance NV - N225 Eu Put Wt Jun 2014 A	150,000,000	2013/11/21	2014/06/13	37.50
28906	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jun 14 A	150,000,000	2013/11/21	2014/06/04	37.50
28907	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jul14B	150,000,000	2013/11/21	2014/07/02	27.75
28999	Credit Suisse AG - Anhui Conch European Warrants Apr 2014 A	70,000,000	2013/11/22	2014/04/28	23.10
29003	Credit Suisse AG - Agricultural Bank European Wts Mar 2014 A	70,000,000	2013/11/22	2014/03/03	12.60
29006	Credit Suisse AG - Agricultural Bank European Wts Jun 2014 A	70,000,000	2013/11/22	2014/06/03	10.50
28986	Credit Suisse AG - Brilliance Chi European Warrants Sep 14 A	60,000,000	2013/11/22	2014/09/29	11.40
29001	Credit Suisse AG - China Gas Hold European Wts Oct 2014 A	70,000,000	2013/11/22	2014/10/27	10.50
29007	Credit Suisse AG - Sinopec Corp European Warrants May 2014 A	70,000,000	2013/11/22	2014/05/26	17.50
28997	Credit Suisse AG - ChiUnicom European Warrants Oct 2014 A	70,000,000	2013/11/22	2014/10/27	11.20
28995	Credit Suisse AG - Dongfeng Motor European Warrants Jun 14 A	70,000,000	2013/11/22	2014/06/23	10.50
28996	Credit Suisse AG - Dongfeng Motor European Warrants Nov 14 A	70,000,000	2013/11/22	2014/11/24	10.50
28990	Credit Suisse AG - GAC European Warrants Nov 2014 A	60,000,000	2013/11/22	2014/11/28	13.80
28985	Credit Suisse AG - Link REIT European Warrants May 2014 A	60,000,000	2013/11/22	2014/05/26	10.20
28991	Credit Suisse AG - Nine Dragons European Warrants Sep 2015 A	60,000,000	2013/11/22	2015/09/01	15.00
28994	Credit Suisse AG - Nine Dragons European Warrants Mar 2016 A	60,000,000	2013/11/22	2016/03/24	13.80

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28989	Credit Suisse AG - SHK Ppt European Put Warrants Feb 2015 A	60,000,000	2013/11/22	2015/02/18	11.40
29000	Credit Suisse AG - Yanzhou Coal European Warrants Aug 2014 A	70,000,000	2013/11/22	2014/08/29	10.50
28988	Credit Suisse AG - Zoomlion European Warrants Dec 2015 A	60,000,000	2013/11/22	2015/12/28	11.40
29002	Daiwa Capital Mkt - A50 China European Warrants Apr 2014 B	128,000,000	2013/11/22	2014/04/16	47.36
10255	Daiwa Capital Mkt - Nikkei 225 Index European Wts Jun 2014 E	250,000,000	2013/11/22	2014/06/13	62.50
10256	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Jun 2014 F	250,000,000	2013/11/22	2014/06/13	62.50
10257	Daiwa Capital Mkt - Nikkei 225 Index Euro Put Wts Jun 2014 G	250,000,000	2013/11/22	2014/06/13	62.50
28957	Goldman Sachs SP (Asia) - CPIC European Warrants Jun 2014 A	80,000,000	2013/11/22	2014/06/27	12.16
28958	Goldman Sachs SP (Asia) - Henderson Land Euro Wts Aug 2014 A	80,000,000	2013/11/22	2014/08/04	20.00
28960	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2014 A	100,000,000	2013/11/22	2014/06/30	25.60
28964	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2014 B	100,000,000	2013/11/22	2014/06/30	28.50
28953	HK Bank - CITIC Sec European Warrants Jul 2014 B	100,000,000	2013/11/22	2014/07/31	25.00
28952	HK Bank - China Mobile European Warrants Jun 2014 A	80,000,000	2013/11/22	2014/06/30	21.60
28949	HK Bank - Huaneng Power European Warrants Jul 2014 A	60,000,000	2013/11/22	2014/07/31	21.00
28950	HK Bank - PetroChina European Warrants Jun 2014 A	80,000,000	2013/11/22	2014/06/30	20.00
28954	HK Bank - Ping An European Warrants Mar 2014 B	100,000,000	2013/11/22	2014/03/28	18.00
28971	J P Morgan SP BV - CC Bank Euro Put Wts Jul 2014 A	200,000,000	2013/11/22	2014/07/03	56.00
28945	J P Morgan SP BV - China Mob European Put Wts Jun 2014 A	200,000,000	2013/11/22	2014/06/03	50.00
28947	J P Morgan SP BV - Lenovo European Warrants Jun 2014 A	100,000,000	2013/11/22	2014/06/03	25.00
28970	J P Morgan SP BV - SHK Ppt European Warrants Jul 2014 A	80,000,000	2013/11/22	2014/07/03	24.48
28944	J P Morgan SP BV - SPDR Gold Trust Euro Put Wts Jun 2014 B	35,000,000	2013/11/22	2014/06/03	10.22
28977	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Jul 2014 A	50,000,000	2013/11/22	2014/07/03	12.50
28984	Macquarie Bank Ltd. - Sino Land European Warrants Jun 2014 A	50,000,000	2013/11/22	2014/06/04	12.50
28941	Nomura Int'l plc - BYD European Warrants May 2014 A	80,000,000	2013/11/22	2014/05/28	16.40
28938	Nomura Int'l plc - Esprit European Warrants Dec 2014 A	50,000,000	2013/11/22	2014/12/18	13.65
28939	Nomura Int'l plc - Esprit European Put Warrants Oct 2014 A	50,000,000	2013/11/22	2014/10/28	12.50
28943	Nomura Int'l plc - Evergrande European Warrants May 2014 A	50,000,000	2013/11/22	2014/05/28	12.90
28940	Nomura Int'l plc - Gome European Warrants Dec 2014 A	50,000,000	2013/11/22	2014/12/18	12.50
28972	SGA Societe Generale Acceptance N.V. - CCB Eur Wt May 2014 C	100,000,000	2013/11/22	2014/05/07	15.00
28967	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jun 2014 B	100,000,000	2013/11/22	2014/06/04	23.50
28965	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jun 2014A	50,000,000	2013/11/22	2014/06/04	22.25
28966	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jun 2014B	50,000,000	2013/11/22	2014/06/04	12.50
28968	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt May 2014 B	100,000,000	2013/11/22	2014/05/07	21.50
28969	SGA Societe Generale Acceptance N.V. - ICBC Eu Wt Aug 2014 B	100,000,000	2013/11/22	2014/08/04	17.50
10258	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Jun 14 B	150,000,000	2013/11/22	2014/06/13	37.50
10259	SGA Societe Generale Acceptance NV - Nikkei 225 Eu Wt Jun 14 C	150,000,000	2013/11/22	2014/06/13	37.50
28975	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt May 2014C	60,000,000	2013/11/22	2014/05/28	10.20
10260	UBS AG - Nikkei 225 European Warrants Jun 2014 A	100,000,000	2013/11/22	2014/06/13	25.00
10261	UBS AG - Nikkei 225 European Warrants Jun 2014 B	100,000,000	2013/11/22	2014/06/13	19.00
10262	UBS AG - Nikkei 225 European Put Warrants Jun 2014 C	100,000,000	2013/11/22	2014/06/13	15.00
10263	UBS AG - Nikkei 225 European Put Warrants Jun 2014 D	100,000,000	2013/11/22	2014/06/13	15.00
29067	BNP Paribas Arbit Issu B.V. - ICBC European Wts Apr 2015 A	100,000,000	2013/11/25	2015/04/02	25.00
29068	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jan 2015 A	100,000,000	2013/11/25	2015/01/05	25.00
29058	BNP Paribas Arbit Issu B.V. - Poly HK Inv Eur Wts Dec 2014 A	16,000,000	2013/11/25	2014/12/02	10.24

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29055	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jul 2014 A	65,000,000	2013/11/25	2014/07/03	19.50
29056	BNP Paribas Arbit Issu B.V. - SHK Ppt Eu Put Wts Jul 2014 B	65,000,000	2013/11/25	2014/07/03	19.50
29021	Credit Suisse AG - CC Bank European Warrants Apr 2014 A	70,000,000	2013/11/25	2014/04/30	14.70
29024	Credit Suisse AG - Sinopec Corp European Warrants Apr 2014 A	70,000,000	2013/11/25	2014/04/02	17.50
29029	Credit Suisse AG - HSI European Put Warrants Mar 2014 E	200,000,000	2013/11/25	2014/03/28	30.00
29030	Credit Suisse AG - HSI European Put Warrants Mar 2014 F	200,000,000	2013/11/25	2014/03/28	32.00
29023	Credit Suisse AG - ICBC European Warrants Apr 2014 A	70,000,000	2013/11/25	2014/04/30	10.50
29019	Credit Suisse AG - Ping An European Warrants May 2014 A	70,000,000	2013/11/25	2014/05/30	17.50
29020	Credit Suisse AG - Ping An European Warrants Jun 2014 A	70,000,000	2013/11/25	2014/06/26	15.40
29025	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2014 B	70,000,000	2013/11/25	2014/04/16	10.50
29026	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2014 C	70,000,000	2013/11/25	2014/04/30	14.70
29033	Citigroup Global Mkt H Inc. - A50 China Euro Wts Apr 2014 B	70,000,000	2013/11/25	2014/04/25	10.50
29034	Citigroup Global Mkt H Inc. - ICBC European Wts May 2014 A	100,000,000	2013/11/25	2014/05/02	23.00
29096	Citigroup Global Mkt H Inc. - Ping An Euro Wts May 2014 B	80,000,000	2013/11/25	2014/05/26	22.80
29037	Daiwa Capital Mkt - CCB European Warrants Apr 2014 B	128,000,000	2013/11/25	2014/04/23	20.48
29038	Daiwa Capital Mkt - ICBC European Warrants Apr 2014 A	128,000,000	2013/11/25	2014/04/23	19.20
29071	Daiwa Capital Mkt - Ping An European Warrants May 2014 A	108,000,000	2013/11/25	2014/05/28	27.00
29082	Goldman Sachs SP (Asia) - AIA European Warrants May 2014 B	120,000,000	2013/11/25	2014/05/26	18.00
29086	Goldman Sachs SP (Asia) - CC Bank European Wts May 2014 A	100,000,000	2013/11/25	2014/05/27	25.00
29077	Goldman Sachs SP (Asia) - China Life Euro Wts Dec 2014 A	120,000,000	2013/11/25	2014/12/24	21.00
29079	Goldman Sachs SP (Asia) - China Mobile Euro Wts May 2014 A	120,000,000	2013/11/25	2014/05/30	30.00
29076	Goldman Sachs SP (Asia) - Ping An European Wts May 2014 B	80,000,000	2013/11/25	2014/05/30	25.92
29090	J P Morgan SP BV - CITIC Sec European Warrants Sep 2014 A	100,000,000	2013/11/25	2014/09/01	25.00
29093	J P Morgan SP BV - China Life European Warrants Jul 2014 B	300,000,000	2013/11/25	2014/07/02	75.00
29091	J P Morgan SP BV - CPIC European Warrants Jul 2014 A	100,000,000	2013/11/25	2014/07/02	25.00
29089	J P Morgan SP BV - Ping An European Warrants Jun 2014 A	180,000,000	2013/11/25	2014/06/03	46.62
29013	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Apr 2014 C	100,000,000	2013/11/25	2014/04/29	22.00
29011	Merrill Lynch Int'l & Co. C.V. - HSI European Wts May 2014 A	100,000,000	2013/11/25	2014/05/29	25.00
29008	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jun 2014 A	100,000,000	2013/11/25	2014/06/27	15.00
29010	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Jul 2014 A	100,000,000	2013/11/25	2014/07/30	15.00
29014	Merrill Lynch Int'l & Co. C.V. - Tencent Eu Put Wt May 2014A	80,000,000	2013/11/25	2014/05/26	12.00
29015	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Jul14A	100,000,000	2013/11/25	2014/07/02	25.00
29054	SGA Societe Generale Acceptance N.V. - Lenovo Eu Wt Jun 14 A	30,000,000	2013/11/25	2014/06/04	11.25
29018	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jul14C	150,000,000	2013/11/25	2014/07/02	30.75
29051	UBS AG - AIA European Warrants Jun 2014 A	100,000,000	2013/11/25	2014/06/10	25.00
29050	UBS AG - CC Bank European Warrants Apr 2014 B	100,000,000	2013/11/25	2014/04/24	22.00
29095	UBS AG - China Life European Warrants Aug 2014 A	100,000,000	2013/11/25	2014/08/08	25.00
29016	UBS AG - China Life European Put Warrants Jul 2014 B	100,000,000	2013/11/25	2014/07/25	15.00
29048	UBS AG - HSBC European Put Warrants Apr 2014 B	100,000,000	2013/11/25	2014/04/24	19.00
29039	UBS AG - HSCEI European Warrants Jun 2014 D	100,000,000	2013/11/25	2014/06/27	25.00
29040	UBS AG - HSCEI European Put Warrants Jun 2014 E	100,000,000	2013/11/25	2014/06/27	15.00
29042	UBS AG - HSI European Warrants Apr 2014 D	300,000,000	2013/11/25	2014/04/29	48.00
29043	UBS AG - HSI European Put Warrants Apr 2014 E	300,000,000	2013/11/25	2014/04/29	51.00
29049	UBS AG - Ping An European Warrants Jun 2014 A	100,000,000	2013/11/25	2014/06/10	32.00

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22466 #	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2014 A	148,000,000	2013/11/25	2014/06/20	13.32
24371 #	Credit Suisse AG - HKEx European Warrants Jul 2014 A	150,000,000	2013/11/25	2014/07/21	10.80
29152	BOCI Asia Ltd. - China Life European Warrants Dec 2014 A	100,000,000	2013/11/26	2014/12/24	19.00
29151	BOCI Asia Ltd. - ICBC European Put Warrants May 2014 A	100,000,000	2013/11/26	2014/05/05	19.00
29149	BOCI Asia Ltd. - Ping An European Warrants Jun 2014 A	100,000,000	2013/11/26	2014/06/30	24.00
29146	BOCI Asia Ltd. - Ping An European Put Warrants Jul 2014 A	100,000,000	2013/11/26	2014/07/08	25.00
29278	Credit Suisse AG - Agricultural Bank European Wts Jun 2014 B	70,000,000	2013/11/26	2014/06/03	14.70
29216	Credit Suisse AG - BOCL European Warrants Mar 2014 A	70,000,000	2013/11/26	2014/03/31	14.00
29287	Credit Suisse AG - CC Bank European Put Warrants Feb 2014 B	70,000,000	2013/11/26	2014/02/28	11.90
29201	Credit Suisse AG - Minsheng Bank European Wts Mar 2014 A	70,000,000	2013/11/26	2014/03/04	16.10
29286	Credit Suisse AG - Minsheng Bank European Wts May 2015 A	70,000,000	2013/11/26	2015/05/29	10.50
29199	Credit Suisse AG - China Mobile European Warrants Apr 2014 B	70,000,000	2013/11/26	2014/04/08	10.50
29200	Credit Suisse AG - China Mobile European Warrants May 2014 A	70,000,000	2013/11/26	2014/05/05	10.50
29217	Credit Suisse AG - China Shenhua European Wts Mar 2014 A	70,000,000	2013/11/26	2014/03/03	10.50
29271	Credit Suisse AG - HKEx European Warrants Apr 2014 A	70,000,000	2013/11/26	2014/04/02	20.30
29269	Credit Suisse AG - HKEx European Put Warrants May 2014 A	70,000,000	2013/11/26	2014/05/27	25.90
29270	Credit Suisse AG - HKEx European Put Warrants Jul 2014 B	70,000,000	2013/11/26	2014/07/02	11.20
29285	Credit Suisse AG - HSCEI European Put Warrants May 2014 A	70,000,000	2013/11/26	2014/05/29	10.50
29215	Credit Suisse AG - Hutchison European Warrants May 2014 A	70,000,000	2013/11/26	2014/05/02	11.90
29208	Credit Suisse AG - ICBC European Warrants Jul 2014 A	70,000,000	2013/11/26	2014/07/30	10.50
29284	Credit Suisse AG - ICBC European Put Warrants May 2014 A	70,000,000	2013/11/26	2014/05/07	11.90
29283	Credit Suisse AG - PetroChina European Warrants May 2014 A	70,000,000	2013/11/26	2014/05/05	18.20
29288	Citigroup Global Mkt H Inc. - China Life Euro Wts May 2014 A	150,000,000	2013/11/26	2014/05/26	37.50
29289	Citigroup Global Mkt H Inc. - HKEx European Wts May 2014 A	50,000,000	2013/11/26	2014/05/26	14.50
29098	Daiwa Capital Mkt - China Life European Warrants Apr 2014 C	168,000,000	2013/11/26	2014/04/01	25.20
29265	Daiwa Capital Mkt - China Life European Warrants Jul 2014 B	138,000,000	2013/11/26	2014/07/18	34.50
29266	Daiwa Capital Mkt - China Life European Put Wts Jul 2014 C	98,000,000	2013/11/26	2014/07/18	24.50
29100	Daiwa Capital Mkt - HSCEI European Warrants Sep 2014 A	100,000,000	2013/11/26	2014/09/29	15.00
29268	Daiwa Capital Mkt - Ping An European Put Warrants Jun 2014 B	80,000,000	2013/11/26	2014/06/03	21.60
29223	HK Bank - CC Bank European Warrants Apr 2014 A	100,000,000	2013/11/26	2014/04/29	20.00
29222	HK Bank - CC Bank European Warrants Jul 2014 A	80,000,000	2013/11/26	2014/07/14	20.00
29221	HK Bank - China Life European Warrants May 2014 A	100,000,000	2013/11/26	2014/05/30	25.00
29220	HK Bank - China Life European Warrants Jul 2014 B	100,000,000	2013/11/26	2014/07/31	25.00
29105	HK Bank - HSI European Warrants May 2014 D	150,000,000	2013/11/26	2014/05/29	37.50
29107	HK Bank - HSI European Put Warrants May 2014 E	150,000,000	2013/11/26	2014/05/29	22.50
29108	HK Bank - HSI European Put Warrants May 2014 F	150,000,000	2013/11/26	2014/05/29	37.50
29219	HK Bank - Ping An European Warrants May 2014 A	80,000,000	2013/11/26	2014/05/30	24.00
29170	J P Morgan SP BV - BEA European Warrants Oct 2014 A	50,000,000	2013/11/26	2014/10/03	12.50
29189	J P Morgan SP BV - CITIC Pacific Euro Wts Jul 2015 A	18,000,000	2013/11/26	2015/07/03	12.42
29229	J P Morgan SP BV - China Life European Warrants Jul 2014 C	300,000,000	2013/11/26	2014/07/02	75.00
29195	J P Morgan SP BV - Chi Res Power European Wts May 2014 A	100,000,000	2013/11/26	2014/05/26	25.00
29188	J P Morgan SP BV - Zhuzhou CSR European Warrants Jul 2014 A	40,000,000	2013/11/26	2014/07/03	10.00
29228	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Jun 2014 A	300,000,000	2013/11/26	2014/06/27	75.60
29227	J P Morgan Int'l Der. Ltd. - HSCEI European Wts Jul 2014 A	300,000,000	2013/11/26	2014/07/30	75.30

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29225	J P Morgan SP BV - HSI European Warrants Jul 2014 A	300,000,000	2013/11/26	2014/07/30	75.00
29187	J P Morgan SP BV - Haitong Sec European Warrants Dec 2014 B	100,000,000	2013/11/26	2014/12/03	25.00
29184	J P Morgan SP BV - Luk Fook Hold European Wts May 2014 A	50,000,000	2013/11/26	2014/05/28	12.50
29183	J P Morgan SP BV - PICC European Warrants Feb 2015 A	150,000,000	2013/11/26	2015/02/03	37.50
29196	J P Morgan SP BV - Ping An European Put Warrants Jun 2014 B	100,000,000	2013/11/26	2014/06/03	25.00
29175	J P Morgan SP BV - Sinopharm European Warrants Sep 2014 A	68,000,000	2013/11/26	2014/09/01	17.00
29174	J P Morgan SP BV - SJM Holdings European Warrants Jul 2014 A	100,000,000	2013/11/26	2014/07/02	25.00
29160	Standard Chartered Bank - CC Bank European Wts Sep 2014 A	80,000,000	2013/11/26	2014/09/11	12.00
29155	Standard Chartered Bank - China Life European Wts Aug 2014 C	150,000,000	2013/11/26	2014/08/29	37.50
29159	Standard Chartered Bank - Sinopec Corp Euro Wts Jul 2014 A	60,000,000	2013/11/26	2014/07/11	15.00
29169	Standard Chartered Bank - HSCEI European Put Wts Jun 2014 A	100,000,000	2013/11/26	2014/06/27	15.00
29158	Standard Chartered Bank - PetroChina European Wts Aug 2014 A	80,000,000	2013/11/26	2014/08/18	20.00
29164	Standard Chartered Bank - Ping An European Wts Jul 2014 A	100,000,000	2013/11/26	2014/07/04	31.00
29163	Standard Chartered Bank - Ping An Euro Put Wts Jun 2014 A	100,000,000	2013/11/26	2014/06/05	25.00
29109	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Jun14A	150,000,000	2013/11/26	2014/06/04	27.00
29111	SGA Societe Generale Acceptance N.V. - CAM CSI300 Eu Wt Sep14A	150,000,000	2013/11/26	2014/09/03	26.25
29240	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2014 A	100,000,000	2013/11/26	2014/10/06	25.00
29241	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2015 A	100,000,000	2013/11/26	2015/06/03	25.00
29242	SGA Societe Generale Acceptance N.V. - CMB Eu Wts Jul 2014 A	30,000,000	2013/11/26	2014/07/02	12.60
29144	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Aug 2014A	70,000,000	2013/11/26	2014/08/04	18.20
29218	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jun14A	50,000,000	2013/11/26	2014/06/04	12.50
29247	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Jun 2014 B	100,000,000	2013/11/26	2014/06/04	25.00
29254	SGA Societe Generale Acceptance N.V. - HSBC Eu Put Wt Jun14 C	100,000,000	2013/11/26	2014/06/04	35.50
29243	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Apr 14 D	300,000,000	2013/11/26	2014/04/29	48.00
29246	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Apr 14 E	300,000,000	2013/11/26	2014/04/29	48.00
29113	SGA Societe Generale Acceptance N.V. - Petch Eu Wt May 2014A	60,000,000	2013/11/26	2014/05/07	12.90
29115	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jul 14 B	100,000,000	2013/11/26	2014/07/02	45.50
29131	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jul 14 C	100,000,000	2013/11/26	2014/07/02	30.00
29132	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Aug 14 A	100,000,000	2013/11/26	2014/08/04	29.50
29134	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Jul14D	100,000,000	2013/11/26	2014/07/02	30.00
29256	UBS AG - CC Bank European Put Warrants May 2014 A	50,000,000	2013/11/26	2014/05/26	12.50
29263	UBS AG - China Life European Warrants Mar 2014 A	100,000,000	2013/11/26	2014/03/26	19.00
29262	UBS AG - China Life European Warrants Jul 2014 C	100,000,000	2013/11/26	2014/07/09	25.00
29261	UBS AG - Sinopec Corp European Warrants Jun 2014 A	80,000,000	2013/11/26	2014/06/30	24.00
29257	UBS AG - HKEx European Warrants Mar 2014 A	100,000,000	2013/11/26	2014/03/26	24.00
29259	UBS AG - HSCEI European Warrants Sep 2014 A	100,000,000	2013/11/26	2014/09/29	15.00
29264	UBS AG - Ping An European Put Warrants Jun 2014 B	100,000,000	2013/11/26	2014/06/10	30.00
29343	BOCI Asia Ltd. - China Life European Put Warrants Oct 2014 A	100,000,000	2013/11/27	2014/10/30	25.00
29342	BOCI Asia Ltd. - Sinopec Corp European Warrants May 2014 A	100,000,000	2013/11/27	2014/05/07	33.00
29338	BOCI Asia Ltd. - HSI European Put Warrants Mar 2014 B	100,000,000	2013/11/27	2014/03/28	15.00
29409	BNP Paribas Arbit Issu B.V. - Agricultural Bank Eu Wt Jan15A	100,000,000	2013/11/27	2015/01/05	25.00
29582	BNP Paribas Arbit Issu B.V. - CNBM European Wts Jul 2016 A	100,000,000	2013/11/27	2016/07/05	26.00
29565	BNP Paribas Arbit Issu B.V. - Ch Oilfield Eur Wts Aug 2014 A	100,000,000	2013/11/27	2014/08/04	32.00
29402	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Jan 2015 A	100,000,000	2013/11/27	2015/01/05	25.00

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29415	BNP Paribas Arbit Issu B.V. - CPIC European Wts Oct 2014 A	100,000,000	2013/11/27	2014/10/06	25.00
29564	BNP Paribas Arbit Issu B.V. - Ch Ship Cont Eur Wt Aug 2014 A	75,000,000	2013/11/27	2014/08/04	21.00
29403	BNP Paribas Arbit Issu B.V. - China Taiping Eu Wt Jul 2015 A	60,000,000	2013/11/27	2015/07/03	15.00
29401	BNP Paribas Arbit Issu B.V. - Esprit European Wts Oct 2014 A	100,000,000	2013/11/27	2014/10/06	25.00
29416	BNP Paribas Arbit Issu B.V. - GCL-Poly Euro Wts Aug 2014 A	100,000,000	2013/11/27	2014/08/04	25.00
29581	BNP Paribas Arbit Issu B.V. - Golden Eagle Eu Wt Jul 2015 A	53,000,000	2013/11/27	2015/07/03	13.78
29407	BNP Paribas Arbit Issu B.V. - Haier Elec Euro Wts Jun 2014 A	75,000,000	2013/11/27	2014/06/04	18.75
29412	BNP Paribas Arbit Issu B.V. - NCI European Wts Jun 2014 A	40,000,000	2013/11/27	2014/06/04	10.00
29414	BNP Paribas Arbit Issu B.V. - PICC European Wts Jan 2016 A	100,000,000	2013/11/27	2016/01/05	25.00
29413	BNP Paribas Arbit Issu B.V. - PICC Group Euro Wts Jan 2015 A	55,000,000	2013/11/27	2015/01/05	13.75
29562	BNP Paribas Arbit Issu B.V. - Ping An European Wt Aug 2014 A	100,000,000	2013/11/27	2014/08/04	25.00
29499	Credit Suisse AG - China Coal European Warrants Mar 2014 A	70,000,000	2013/11/27	2014/03/31	14.00
29502	Credit Suisse AG - China Coal European Warrants Jun 2014 A	70,000,000	2013/11/27	2014/06/23	18.90
29524	Credit Suisse AG - China Life European Warrants May 2014 A	80,000,000	2013/11/27	2014/05/26	20.00
29525	Credit Suisse AG - CSR European Warrants Dec 2014 A	70,000,000	2013/11/27	2014/12/22	10.50
29527	Credit Suisse AG - A50 China European Warrants Aug 2014 A	80,000,000	2013/11/27	2014/08/15	12.00
29514	Credit Suisse AG - GCL-Poly Energy European Wts Apr 2014 B	70,000,000	2013/11/27	2014/04/09	12.60
29522	Credit Suisse AG - HKEx European Warrants May 2014 B	70,000,000	2013/11/27	2014/05/26	19.60
29496	Credit Suisse AG - HSBC European Wts Aug 2014 A	70,000,000	2013/11/27	2014/08/01	13.30
29528	Credit Suisse - SPDR Gold Trust European Warrants May 2014 A	70,000,000	2013/11/27	2014/05/05	25.90
29497	Credit Suisse AG - Shenzhen Int'l European Wts Sep 2014 A	70,000,000	2013/11/27	2014/09/29	11.20
29550	Citigroup Global Mkt H Inc. - HKEx Euro Put Wts Apr 2014 A	100,000,000	2013/11/27	2014/04/28	15.00
29531	Citigroup Global Mkt H Inc. - HSBC European Wts Mar 2014 C	100,000,000	2013/11/27	2014/03/26	20.00
29386	Daiwa Capital Mkt - HSCEI European Put Warrants Jun 2014 C	100,000,000	2013/11/27	2014/06/27	25.00
29379	Daiwa Capital Mkt - HSI European Warrants Jun 2014 A	138,000,000	2013/11/27	2014/06/27	20.70
29383	Daiwa Capital Mkt - HSI European Warrants Sep 2014 A	138,000,000	2013/11/27	2014/09/29	22.08
29384	Daiwa Capital Mkt - HSI European Put Warrants Jun 2014 B	138,000,000	2013/11/27	2014/06/27	34.50
29385	Daiwa Capital Mkt - Hutchison European Put Wts Jun 2014 A	80,000,000	2013/11/27	2014/06/03	28.00
29347	Goldman Sachs SP (Asia) - China Life Euro Put Wts Sep 2014 A	80,000,000	2013/11/27	2014/09/29	20.00
29358	Goldman Sachs SP (Asia) - HKEx European Warrants Jun 2014 B	80,000,000	2013/11/27	2014/06/30	20.00
29434	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2014 A	150,000,000	2013/11/27	2014/06/27	23.40
29424	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2014 D	150,000,000	2013/11/27	2014/04/29	27.45
29432	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2014 E	150,000,000	2013/11/27	2014/04/29	22.65
29344	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Dec 2014 A	80,000,000	2013/11/27	2014/12/31	20.00
29312	HK Bank - Agricultural Bank European Warrants Jul 2014 C	80,000,000	2013/11/27	2014/07/21	20.00
29313	HK Bank - BOCL European Warrants Mar 2014 A	80,000,000	2013/11/27	2014/03/24	12.00
29320	HK Bank - CITIC Sec European Warrants Aug 2014 A	100,000,000	2013/11/27	2014/08/29	25.00
29317	HK Bank - Sinopec Corp European Warrants Jun 2014 A	80,000,000	2013/11/27	2014/06/30	20.00
29318	HK Bank - CPIC European Warrants Jun 2014 B	80,000,000	2013/11/27	2014/06/30	20.00
29335	HK Bank - HSCEI European Warrants Jun 2014 D	200,000,000	2013/11/27	2014/06/27	50.00
29336	HK Bank - HSCEI European Put Warrants May 2014 A	200,000,000	2013/11/27	2014/05/29	34.00
29322	HK Bank - HSI European Warrants Apr 2014 G	200,000,000	2013/11/27	2014/04/29	36.00
29325	HK Bank - HSI European Warrants Jul 2014 B	200,000,000	2013/11/27	2014/07/30	50.00
29332	HK Bank - HSI European Put Warrants Mar 2014 J	200,000,000	2013/11/27	2014/03/28	32.00

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29311	J P Morgan SP BV - China Life European Warrants Oct 2014 A	300,000,000	2013/11/27	2014/10/03	45.00
29397	J P Morgan SP BV - China Life European Put Wts Oct 2014 B	200,000,000	2013/11/27	2014/10/03	50.00
29298	J P Morgan SP BV - China Railway European Wts Jun 2014 A	50,000,000	2013/11/27	2014/06/04	12.50
29396	J P Morgan SP BV - China Taiping European Wts Nov 2014 A	60,000,000	2013/11/27	2014/11/03	15.00
29297	J P Morgan SP BV - Esprit European Wts Oct 2014 A	100,000,000	2013/11/27	2014/10/06	25.00
29583	J P Morgan SP BV - HKEx European Warrants Apr 2014 A	50,000,000	2013/11/27	2014/04/01	17.40
29310	J P Morgan SP BV - HSCEI European Put Warrants May 2014 B	300,000,000	2013/11/27	2014/05/29	75.00
29309	J P Morgan SP BV - HSI European Put Warrants Jun 2014 B	500,000,000	2013/11/27	2014/06/27	125.00
29393	J P Morgan SP BV - Melco Int'l Dev European Wts Jul 2014 A	80,000,000	2013/11/27	2014/07/03	20.00
29388	J P Morgan SP BV - MGM China European Warrants Jun 2014 A	80,000,000	2013/11/27	2014/06/04	20.00
29307	J P Morgan SP BV - Weichai Power European Wts Jun 2014 A	50,000,000	2013/11/27	2014/06/04	12.50
29480	Macquarie Bank Ltd. - China Life European Wts Aug 2014 A	40,000,000	2013/11/27	2014/08/04	10.08
29291	Macquarie Bank Ltd. - Sinopec Corp European Wts Sep 2014 A	40,000,000	2013/11/27	2014/09/02	10.00
29465	Macquarie Bank Ltd. - CPIC European Warrants Jun 2014 A	40,000,000	2013/11/27	2014/06/04	10.00
29290	Macquarie Bank Ltd. - China Shenhua European Wts Sep 2014 A	40,000,000	2013/11/27	2014/09/02	10.00
29361	Macquarie Bank Ltd. - Ping An European Warrants Jun 2014 A	40,000,000	2013/11/27	2014/06/04	10.00
29295	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts May 2014 B	100,000,000	2013/11/27	2014/05/29	25.00
29296	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts May 2014 C	100,000,000	2013/11/27	2014/05/29	25.00
29292	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts May 2014 A	40,000,000	2013/11/27	2014/05/29	10.00
29495	Standard Chartered Bank - HKEx European Warrants Jul 2014 B	80,000,000	2013/11/27	2014/07/02	20.00
29481	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Oct 2014 B	180,000,000	2013/11/27	2014/10/06	27.00
29482	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jun 2014 D	50,000,000	2013/11/27	2014/06/04	19.25
29483	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt Jun14E	50,000,000	2013/11/27	2014/06/04	20.75
29494	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt May 14 D	150,000,000	2013/11/27	2014/05/07	30.75
29464	UBS AG - Cheung Kong European Warrants Apr 2014 A	100,000,000	2013/11/27	2014/04/03	15.00
29435	UBS AG - China Life European Warrants Sep 2014 A	100,000,000	2013/11/27	2014/09/25	15.00
29458	UBS AG - China Mobile European Warrants May 2014 A	100,000,000	2013/11/27	2014/05/07	31.00
29363	UBS AG - Esprit European Warrants Jun 2014 A	100,000,000	2013/11/27	2014/06/30	25.00
29463	UBS AG - A50 China European Warrants Apr 2014 A	100,000,000	2013/11/27	2014/04/16	50.00
29461	UBS AG - A50 China European Warrants Dec 2015 A	300,000,000	2013/11/27	2015/12/23	51.00
29436	UBS AG - ICBC European Warrants Apr 2014 B	100,000,000	2013/11/27	2014/04/30	16.00
29459	UBS AG - Tencent Holdings European Put Warrants Jun 2014 B	100,000,000	2013/11/27	2014/06/03	15.00
29633	BOCI Asia Ltd. - HKEx European Warrants Dec 2014 A	100,000,000	2013/11/28	2014/12/24	15.00
29626	BOCI Asia Ltd. - HKEx European Put Warrants Jun 2014 B	100,000,000	2013/11/28	2014/06/30	15.00
29620	BOCI Asia Ltd. - Lenovo European Warrants Jun 2014 A	100,000,000	2013/11/28	2014/06/30	34.00
29621	BOCI Asia Ltd. - PetroChina European Warrants May 2014 A	100,000,000	2013/11/28	2014/05/13	30.00
29754	Credit Suisse AG - CC Bank European Put Warrants May 2014 A	70,000,000	2013/11/28	2014/05/30	17.50
29769	Credit Suisse AG - CITIC Pacific European Wts Apr 2014 A	60,000,000	2013/11/28	2014/04/28	19.20
29780	Credit Suisse AG - CSR Times European Warrants May 2014 A	60,000,000	2013/11/28	2014/05/30	15.00
29765	Credit Suisse AG - Esprit European Warrants Jun 2014 A	70,000,000	2013/11/28	2014/06/23	13.30
29775	Credit Suisse AG - Gome European Warrants Oct 2014 A	60,000,000	2013/11/28	2014/10/06	15.00
29635	Credit Suisse AG - HSI European Warrants Jun 2014 A	200,000,000	2013/11/28	2014/06/27	30.00
29770	Credit Suisse AG - Kingsoft European Warrants May 2014 A	80,000,000	2013/11/28	2014/05/30	16.80
29646	Credit Suisse AG - Ping An European Warrants May 2014 B	80,000,000	2013/11/28	2014/05/30	20.00

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29774	Credit Suisse AG - SkyworthDig European Warrants May 2014 A	60,000,000	2013/11/28	2014/05/30	15.00
29811	Citigroup Global Mkt H Inc. - CC Bank European Wt Apr 2014 C	100,000,000	2013/11/28	2014/04/22	19.50
29809	Citigroup Global Mkt H Inc. - CGS European Warrants May 14 B	35,000,000	2013/11/28	2014/05/27	17.85
29735	Daiwa Capital Mkt - Galaxy Ent European Warrants Apr 2014 C	80,000,000	2013/11/28	2014/04/24	16.80
29729	Daiwa Capital Mkt - HSBC European Warrants Sep 2014 A	128,000,000	2013/11/28	2014/09/24	19.20
29734	Daiwa Capital Mkt - HSBC European Put Warrants Apr 2014 C	80,000,000	2013/11/28	2014/04/08	34.40
29737	Daiwa Capital Mkt - Hutchison European Warrants Apr 2014 B	128,000,000	2013/11/28	2014/04/08	32.00
29738	Daiwa Capital Mkt - PetroChina European Warrants May 2014 A	98,000,000	2013/11/28	2014/05/02	24.50
29614	HK Bank - CNOOC European Warrants Feb 2015 A	100,000,000	2013/11/28	2015/02/23	16.00
29615	HK Bank - HKEx European Warrants May 2014 A	60,000,000	2013/11/28	2014/05/30	18.00
29616	HK Bank - HKEx European Warrants Dec 2014 B	150,000,000	2013/11/28	2014/12/31	22.50
29619	HK Bank - HSCEI European Warrants Jun 2014 E	150,000,000	2013/11/28	2014/06/27	37.50
29618	HK Bank - HSI European Warrants May 2014 G	150,000,000	2013/11/28	2014/05/29	37.50
29617	HK Bank - ICBC European Warrants Jul 2014 C	100,000,000	2013/11/28	2014/07/31	15.00
29613	J P Morgan SP BV - Anhui Conch European Warrants Jul 2014 A	100,000,000	2013/11/28	2014/07/03	25.00
29605	J P Morgan SP BV - China Mob European Wts Apr 2014 D	200,000,000	2013/11/28	2014/04/01	30.20
29604	J P Morgan SP BV - Sinopec Corp European Warrants Jul 2014 A	80,000,000	2013/11/28	2014/07/02	20.00
29586	J P Morgan SP BV - China Shenhua European Wts Sep 2014 A	200,000,000	2013/11/28	2014/09/01	50.00
29585	J P Morgan SP BV - A50 China European Warrants Jun 2014 A	120,000,000	2013/11/28	2014/06/03	42.60
29793	Macquarie Bank Ltd. - Avichina European Warrants Jun 2014 A	100,000,000	2013/11/28	2014/06/04	25.00
29795	Macquarie Bank Ltd. - China COSCO European Wts Jul 2014 A	100,000,000	2013/11/28	2014/07/03	25.10
29719	Macquarie Bank Ltd. - China Coal European Wts Jul 2014 A	100,000,000	2013/11/28	2014/07/03	25.10
29783	Macquarie Bank Ltd. - China EB Ltd Euro Warrants Jan 2015 A	100,000,000	2013/11/28	2015/01/05	25.00
29794	Macquarie Bank Ltd. - Cathay Pacific European Wts Apr 2015 A	100,000,000	2013/11/28	2015/04/02	25.00
29698	Standard Chartered Bank - BOCL European Put Wts Jun 2014 A	80,000,000	2013/11/28	2014/06/24	12.00
29694	Standard Chartered Bank - China Life Euro Put Wts Aug 2014 D	100,000,000	2013/11/28	2014/08/25	25.00
29665	Standard Chartered Bank - A50 China Euro Warrants Jun 2014 B	80,000,000	2013/11/28	2014/06/10	20.00
29666	Standard Chartered Bank - A50 China Euro Warrants Dec 2016 A	100,000,000	2013/11/28	2016/12/28	21.40
29693	Standard Chartered Bank - HKEx European Warrants Dec 2014 A	150,000,000	2013/11/28	2014/12/24	22.50
29713	Standard Chartered Bank - HSBC European Warrants Apr 2014 A	100,000,000	2013/11/28	2014/04/01	32.00
29716	Standard Chartered Bank - HSBC European Put Wts Jun 2014 A	100,000,000	2013/11/28	2014/06/05	27.00
29663	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt Apr 14 C	100,000,000	2013/11/28	2014/04/09	15.00
29742	UBS AG - CAM CSI300 European Warrants Aug 2014 A	100,000,000	2013/11/28	2014/08/22	18.00
29741	UBS AG - Evergrande European Warrants Apr 2014 A	50,000,000	2013/11/28	2014/04/25	11.00
29740	UBS AG - Sands China European Warrants May 2014 A	40,000,000	2013/11/28	2014/05/05	12.80
29753	UBS AG - SHK Ppt European Warrants May 2014 A	60,000,000	2013/11/28	2014/05/19	10.20
29748	UBS AG - SPDR Gold Trust European Warrants May 2014 B	100,000,000	2013/11/28	2014/05/27	50.00
29739	UBS AG - Yanzhou Coal European Wts Aug 2014 A	100,000,000	2013/11/28	2014/08/26	15.00
11005	BNP Paribas Arbit Issu B.V. - China EB Int'l Eu Wts Oct 16 A	150,000,000	2013/11/29	2016/10/04	39.00
11008	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jun 2015 A	100,000,000	2013/11/29	2015/06/02	25.00
11007	BNP Paribas Arbit Issu B.V. - Haier Elec Euro Wts Nov 2014 B	75,000,000	2013/11/29	2014/11/04	28.50
11006	BNP Paribas Arbit Issu B.V. - Sino Land Euro Wt Dec 2016 A	100,000,000	2013/11/29	2016/12/02	25.00
11009	Credit Suisse AG - Ping An European Warrants May 2014 C	80,000,000	2013/11/29	2014/05/30	20.00
29943	Daiwa Capital Mkt - Sinopec Corp European Wts Sep 2014 A	80,000,000	2013/11/29	2014/09/01	20.00

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		發行單位	上市日期	到期日	
29957	Daiwa Capital Mkt - CSOP A50 ETF European Wts May 2014 A	80,000,000	2013/11/29	2014/05/02	12.00
29944	Daiwa Capital Mkt - HKEx European Warrants Jul 2014 B	80,000,000	2013/11/29	2014/07/02	20.00
29972	Daiwa Capital Mkt - Ping An European Warrants Aug 2014 A	108,000,000	2013/11/29	2014/08/01	27.00
29946	Daiwa Capital Mkt - Tencent Holdings Euro Put Wts Jun 2014 B	98,000,000	2013/11/29	2014/06/03	24.50
29842	Goldman Sachs SP (Asia) - HSCEI European Warrants Sep 2014 A	150,000,000	2013/11/29	2014/09/29	23.85
29834	Goldman Sachs SP (Asia) - HSCEI European Warrants Oct 2014 A	150,000,000	2013/11/29	2014/10/30	37.50
29833	Goldman Sachs SP (Asia) - HSCEI European Put Wts May 2014 A	150,000,000	2013/11/29	2014/05/29	25.20
29825	Goldman Sachs SP (Asia) - HSCEI European Put Wts Jun 2014 B	150,000,000	2013/11/29	2014/06/27	22.50
29845	HK Bank - AIA European Warrants Mar 2014 D	100,000,000	2013/11/29	2014/03/26	15.00
29869	HK Bank - China Overseas European Warrants Jun 2014 A	100,000,000	2013/11/29	2014/06/23	15.00
29868	HK Bank - Ping An European Warrants May 2014 B	60,000,000	2013/11/29	2014/05/28	15.00
29880	HK Bank - Yanzhou Coal European Warrants Aug 2014 B	100,000,000	2013/11/29	2014/08/26	16.00
11001	Macquarie Bank Ltd. - AIA European Warrants Jul 2014 A	40,000,000	2013/11/29	2014/07/03	10.00
11004	Macquarie Bank Ltd. - KECL European Warrants Aug 2015 A	80,000,000	2013/11/29	2015/08/04	20.08
11003	Macquarie Bank Ltd. - Luk Fook Hold European Wts Jul 2014 B	40,000,000	2013/11/29	2014/07/17	10.00
11002	Macquarie Bank Ltd. - PICC P&C European Warrants Jul 2015 A	40,000,000	2013/11/29	2015/07/03	10.00
29941	Standard Chartered Bank - China Life European Wts Sep 2014 A	150,000,000	2013/11/29	2014/09/25	25.50
29922	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jun 2014 A	180,000,000	2013/11/29	2014/06/04	45.00
29905	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Dec14A	180,000,000	2013/11/29	2014/12/03	27.00
29921	SGA Societe Generale Acceptance N.V. - Foxconn Eu Wt Jun14 A	35,000,000	2013/11/29	2014/06/04	16.45
29939	SGA Societe Generale Acceptance N.V. - HKEx Eu Wt Jul 2014 A	50,000,000	2013/11/29	2014/07/02	13.50
29940	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jul 14 E	100,000,000	2013/11/29	2014/07/02	25.00
22863 #	Merrill Lynch Int'l & Co. C.V. - HKEx Euro Wts Jul 2014 A	160,000,000	2013/11/29	2014/07/23	10.08
11031	Citigroup Global Mkt H Inc. - Ping An Euro Wts May 2014 C	80,000,000	2013/12/02	2014/05/23	20.00
11021	Daiwa Capital Mkt - China Life European Warrants Aug 2014 A	138,000,000	2013/12/02	2014/08/01	20.70
11026	Daiwa Capital Mkt - China Mobile European Wts May 2014 A	128,000,000	2013/12/02	2014/05/02	34.56
11025	Daiwa Capital Mkt - China Mobile European Wts Jul 2014 A	128,000,000	2013/12/02	2014/07/21	24.32
11027	Daiwa Capital Mkt - China Mobile European Put Wts Jun 2014 B	80,000,000	2013/12/02	2014/06/09	37.60
11028	Daiwa Capital Mkt - ICBC European Put Warrants May 2014 B	80,000,000	2013/12/02	2014/05/02	13.60
11022	Goldman Sachs SP (Asia) - Belle Int'l Euro Wts Dec 2015 A	80,000,000	2013/12/02	2015/12/30	20.00
11023	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2014 B	150,000,000	2013/12/02	2014/09/29	25.80
11024	Goldman Sachs SP (Asia) - China Shenhua Euro Wts Oct 2014 A	100,000,000	2013/12/02	2014/10/30	25.00
11016	HK Bank - China Life European Warrants Sep 2014 A	100,000,000	2013/12/02	2014/09/25	15.00
11017	HK Bank - Foxconn European Warrants Jun 2014 A	60,000,000	2013/12/02	2014/06/30	18.00
11013	HK Bank - A50 China European Warrants Jun 2014 B	60,000,000	2013/12/02	2014/06/03	19.80
11029	UBS AG - China Shenhua European Warrants Apr 2014 A	80,000,000	2013/12/02	2014/04/01	13.60
11030	UBS AG - Ping An European Warrants Jun 2014 C	100,000,000	2013/12/02	2014/06/17	38.00
11048	BOCI Asia Ltd. - China Life European Warrants Sep 2014 A	100,000,000	2013/12/03	2014/09/29	16.00
11084	Credit Suisse AG - CITIC Sec European Warrants Aug 2014 A	60,000,000	2013/12/03	2014/08/25	13.80
11086	Credit Suisse AG - China Life European Warrants Sep 2014 A	70,000,000	2013/12/03	2014/09/29	10.50
11087	Credit Suisse AG - China Life European Put Warrants Jun 14 A	70,000,000	2013/12/03	2014/06/30	10.50
11085	Credit Suisse AG - Esprit European Warrants Sep 2014 A	60,000,000	2013/12/03	2014/09/29	13.80
11083	Credit Suisse AG - A50 China European Warrants Apr 2014 B	80,000,000	2013/12/03	2014/04/28	12.00
11089	Credit Suisse - PetroChina European Put Warrants Jun 2014 A	70,000,000	2013/12/03	2014/06/30	10.50

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11093	Citigroup Global Mkt H Inc. - Henganintl Euro Wts Jun 2014 A	50,000,000	2013/12/03	2014/06/03	14.50
11091	Citigroup Global Mkt H Inc. - HKEx Euro Put Wts Sep 2015 A	200,000,000	2013/12/03	2015/09/21	38.00
11094	Citigroup Global Mkt H Inc. - SPDR Gold T Euro Wt Jun 2014 A	60,000,000	2013/12/03	2014/06/03	30.00
11095	Citigroup Global Mkt H Inc. - Tencent European Wts Apr 2014 B	80,000,000	2013/12/03	2014/04/15	19.20
11092	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Apr 2014 A	80,000,000	2013/12/03	2014/04/15	20.80
11071	Daiwa Capital Mkt - AIA European Warrants Dec 2014 A	128,000,000	2013/12/03	2014/12/19	19.20
11069	Daiwa Capital Mkt - AIA European Put Warrants Apr 2014 B	80,000,000	2013/12/03	2014/04/15	16.80
11072	Daiwa Capital Mkt - China Telecom European Wts Jun 2014 B	80,000,000	2013/12/03	2014/06/18	20.00
11067	Daiwa Capital Mkt - A50 China European Put Wts Nov 2014 B	108,000,000	2013/12/03	2014/11/18	18.36
11068	Daiwa Capital Mkt - Tencent Holdings European Wts Dec 2014 A	108,000,000	2013/12/03	2014/12/01	27.00
11038	Goldman Sachs SP (Asia) - A50 China European Wts Jun 2014 A	100,000,000	2013/12/03	2014/06/30	29.80
11039	Goldman Sachs SP (Asia) - A50 China Euro Put Wts Jun 2014 B	100,000,000	2013/12/03	2014/06/30	32.30
11053	J P Morgan SP BV - Biostime European Warrants Jul 2015 A	150,000,000	2013/12/03	2015/07/02	37.50
11052	J P Morgan SP BV - China Mengniu European Warrants Jun 2014A	80,000,000	2013/12/03	2014/06/03	20.00
11051	J P Morgan SP BV - PICC Group European Warrants Jun 2014 A	100,000,000	2013/12/03	2014/06/04	25.00
11041	Macquarie Bank Ltd. - CC Bank European Warrants Aug 2014 A	100,000,000	2013/12/03	2014/08/04	25.50
11043	Macquarie Bank Ltd. - China Overseas European Wts Sep 2014 A	100,000,000	2013/12/03	2014/09/29	16.10
11047	Macquarie Bank Ltd. - Haier Elec European Wts Oct 2014 A	100,000,000	2013/12/03	2014/10/06	25.60
11046	Macquarie Bank Ltd. - HKEx European Warrants Aug 2014 A	100,000,000	2013/12/03	2014/08/04	25.70
11042	Macquarie Bank Ltd. - Shui On Land European Wts Jan 2015 A	100,000,000	2013/12/03	2015/01/05	25.20
11066	Macquarie Bank Ltd. - Yanzhou Coal European Wts Aug 2014 A	100,000,000	2013/12/03	2014/08/04	30.30
11032	Merrill Lynch Int'l & Co. C.V. - China Life Eu Wt Jul 2014 A	60,000,000	2013/12/03	2014/07/11	11.58
11035	Merrill Lynch Int'l & Co. C.V. - Sinopec Euro Wts Jun 2014 A	40,000,000	2013/12/03	2014/06/03	11.40
11037	Merrill Lynch Int'l & Co. C.V. - HSI European Wts Aug 2014 A	100,000,000	2013/12/03	2014/08/28	25.00
11033	Merrill Lynch Int'l & Co. C.V. - HSI Euro Put Wts Jun 2014 C	100,000,000	2013/12/03	2014/06/27	25.00
11036	Merrill Lynch Int'l & Co. C.V. - PetroChina Eu Wt Jun 2014 A	40,000,000	2013/12/03	2014/06/03	10.80
11034	Merrill Lynch Int'l & Co. C.V. - Ping An Euro Wts Jul 2014 A	40,000,000	2013/12/03	2014/07/04	10.60
11050	Standard Chartered Bank - Agricultural Bk Eur Wts Sep 2014 A	80,000,000	2013/12/03	2014/09/29	20.00
11049	Standard Chartered Bank - China Mobile Euro Wts Jul 2014 B	100,000,000	2013/12/03	2014/07/28	17.00
11078	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Jul 2014 B	180,000,000	2013/12/03	2014/07/14	35.10
11055	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Jul 14 D	150,000,000	2013/12/03	2014/07/02	37.50
11065	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jun14B	150,000,000	2013/12/03	2014/06/04	37.50
23486 #	Standard Chartered Bank - China Life European Wts Aug 2014 A	100,000,000	2013/12/03	2014/08/08	11.70
11120	Credit Suisse AG - CC Bank European Warrants Mar 2014 B	70,000,000	2013/12/04	2014/03/31	10.50
11118	Credit Suisse AG - CC Bank European Warrants Sep 2014 A	70,000,000	2013/12/04	2014/09/15	12.60
11124	Credit Suisse AG - CM Bank European Warrants Jun 2014 A	60,000,000	2013/12/04	2014/06/30	16.20
11123	Credit Suisse AG - CNBM European Warrants Mar 2014 B	60,000,000	2013/12/04	2014/03/31	10.80
11130	Credit Suisse AG - KECL European Warrants Jun 2014 B	60,000,000	2013/12/04	2014/06/09	15.00
11131	Credit Suisse AG - Tencent Holdings European Wts Apr 2014 D	100,000,000	2013/12/04	2014/04/28	15.00
11127	Credit Suisse AG - Yanzhou Coal European Warrants Jun 2014 A	60,000,000	2013/12/04	2014/06/03	15.00
11144	Citigroup Global Mkt H Inc. - CAM CSI300 Euro Wts Jun 2014 A	100,000,000	2013/12/04	2014/06/03	26.50
11137	Daiwa Capital Mkt - Agricultural Bank Euro Put Wt Jul 2014 A	80,000,000	2013/12/04	2014/07/02	20.00
11139	Daiwa Capital Mkt - China Life European Put Wts Jul 2014 D	98,000,000	2013/12/04	2014/07/02	29.40
11135	Daiwa Capital Mkt - Sinopec Corp European Put Wts Jun 2014 A	68,000,000	2013/12/04	2014/06/18	23.12

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11134	Daiwa Capital Mkt - CPIC European Warrants Aug 2014 A	98,000,000	2013/12/04	2014/08/01	24.50
11141	Daiwa Capital Mkt - PICC European Warrants Jan 2015 A	98,000,000	2013/12/04	2015/01/27	18.62
11105	Goldman Sachs SP (Asia) - CR Cement European Wts Jun 2014 A	48,000,000	2013/12/04	2014/06/30	12.00
11106	Goldman Sachs SP (Asia) - HSBC European Warrants Aug 2014 A	80,000,000	2013/12/04	2014/08/01	12.24
11107	Goldman Sachs SP (Asia) - Lenovo European Wts Jun 2014 A	80,000,000	2013/12/04	2014/06/30	28.56
11102	Goldman Sachs SP (Asia) - PICC European Warrants Jan 2015 A	80,000,000	2013/12/04	2015/01/27	12.00
11109	Goldman Sachs SP (Asia) - Ping An European Wts Jun 2014 B	68,000,000	2013/12/04	2014/06/30	17.00
11099	HK Bank - China Life European Put Warrants Jun 2014 A	80,000,000	2013/12/04	2014/06/24	12.00
11101	HK Bank - China Mobile European Warrants Apr 2014 D	80,000,000	2013/12/04	2014/04/24	12.00
11096	HK Bank - HSCEI European Warrants Jul 2014 A	150,000,000	2013/12/04	2014/07/30	28.50
11098	HK Bank - PICC European Warrants Jan 2015 A	80,000,000	2013/12/04	2015/01/27	15.20
11097	HK Bank - Ping An European Put Warrants Jun 2014 A	80,000,000	2013/12/04	2014/06/30	24.00
11145	J P Morgan SP BV - HS Bank European Warrants Jun 2014 A	40,000,000	2013/12/04	2014/06/04	10.04
11142	SGA Societe Generale Acceptance N.V. - Ch Mob Eu Wt May 14 D	100,000,000	2013/12/04	2014/05/07	15.00
11143	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2014 E	100,000,000	2013/12/04	2014/04/09	15.00
11110	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2014 A	100,000,000	2013/12/04	2014/08/04	25.00
11117	UBS AG - Anhui Conch European Warrants Jul 2014 A	70,000,000	2013/12/04	2014/07/21	17.50
11116	UBS AG - China Life European Warrants Aug 2014 B	100,000,000	2013/12/04	2014/08/01	15.00
11113	UBS AG - PICC Group European Warrants Jun 2014 A	60,000,000	2013/12/04	2014/06/03	15.00
11114	UBS AG - Yurun Food European Warrants Apr 2014 A	70,000,000	2013/12/04	2014/04/28	10.50
11162	BNP Paribas Arbit Issu B.V. - Anhui Conch Euro Wt Jan 2015 A	100,000,000	2013/12/05	2015/01/05	25.00
11166	BNP Paribas Arbit Issu B.V. - BOCL European Put Wts Jul 2014 A	100,000,000	2013/12/05	2014/07/03	28.00
11163	BNP Paribas Arbit Issu B.V. - Brilliance Chi Eu Wt Apr 2015A	100,000,000	2013/12/05	2015/04/02	25.00
11164	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2015 A	100,000,000	2013/12/05	2015/10/05	25.00
11159	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Jun 2014 A	100,000,000	2013/12/05	2014/06/04	25.00
11205	Daiwa Capital Mkt - Agricultural Bank European Wts Jul 2014 B	108,000,000	2013/12/05	2014/07/15	27.00
11206	Daiwa Capital Mkt - BOCL European Warrants Aug 2014 B	108,000,000	2013/12/05	2014/08/01	27.00
11209	Daiwa Capital Mkt - BOCL European Put Warrants Jun 2014 A	68,000,000	2013/12/05	2014/06/16	40.80
11208	Daiwa Capital Mkt - Galaxy Ent European Put Wts Jun 2014 A	80,000,000	2013/12/05	2014/06/18	24.00
11207	Daiwa Capital Mkt - Sands China European Put Wts Jun 2014 A	80,000,000	2013/12/05	2014/06/10	20.00
11170	Goldman Sachs SP (Asia) - Anhui Conch Euro Wts Jul 2014 A	80,000,000	2013/12/05	2014/07/02	20.00
11171	Goldman Sachs SP (Asia) - CR Cement European Wts Jul 2014 A	68,000,000	2013/12/05	2014/07/02	17.20
11169	Goldman Sachs SP (Asia) - Chi Res Land Euro Wts Sep 2014 A	80,000,000	2013/12/05	2014/09/29	20.08
11172	Goldman Sachs SP (Asia) - Huaneng European Wts Jul 2014 A	68,000,000	2013/12/05	2014/07/30	24.62
11146	HK Bank - Agricultural Bank European Warrants May 2014 A	80,000,000	2013/12/05	2014/05/02	16.00
11147	HK Bank - China Life European Warrants Jun 2014 B	80,000,000	2013/12/05	2014/06/30	24.00
11148	HK Bank - Minsheng Bank European Warrants Jul 2014 B	50,000,000	2013/12/05	2014/07/31	22.50
11200	J P Morgan SP BV - Agricultural Bk Euro Warrants Jun 2014 A	150,000,000	2013/12/05	2014/06/04	37.65
11199	J P Morgan SP BV - Belle Int'l European Warrants Dec 2015 A	100,000,000	2013/12/05	2015/12/01	25.00
11192	J P Morgan SP BV - CITIC Pacific Euro Wts Oct 2016 A	100,000,000	2013/12/05	2016/10/04	25.10
11195	J P Morgan SP BV - Ch LongYuan European Warrants Dec 2015 A	150,000,000	2013/12/05	2015/12/02	37.50
11196	J P Morgan SP BV - Cathay Pacific European Warrants Feb 15 A	70,000,000	2013/12/05	2015/02/05	17.57
11194	J P Morgan SP BV - Chi Res Land European Warrants Jun 2014 A	150,000,000	2013/12/05	2014/06/04	37.50
11202	J P Morgan SP BV - Ch Ship Cont European Warrants Jun 2014 A	100,000,000	2013/12/05	2014/06/23	22.40

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11193	J P Morgan SP BV - China Unicom European Warrants Dec 2014 A	200,000,000	2013/12/05	2014/12/24	30.00
11191	J P Morgan SP BV - Datang European Wts Jul 2014 A	40,000,000	2013/12/05	2014/07/25	10.04
11190	J P Morgan SP BV - Esprit European Put Warrants Aug 2014 A	100,000,000	2013/12/05	2014/08/04	25.00
11189	J P Morgan SP BV - Foxconn European Warrants Jun 2014 A	40,000,000	2013/12/05	2014/06/04	10.00
11201	J P Morgan SP BV - Henganintl European Warrants Dec 2015 A	150,000,000	2013/12/05	2015/12/30	37.50
11187	J P Morgan SP BV - Henderson Land Dev European Wt Jul 2014 A	80,000,000	2013/12/05	2014/07/03	20.00
11186	J P Morgan SP BV - HSBC European Warrants Jun 2014 A	200,000,000	2013/12/05	2014/06/04	50.00
11185	J P Morgan SP BV - Huaneng Power European Wts Jun 2014 A	50,000,000	2013/12/05	2014/06/04	12.55
11184	J P Morgan SP BV - ICBC European Warrants Jul 2014 B	200,000,000	2013/12/05	2014/07/03	50.20
11183	J P Morgan SP BV - ICBC European Put Wts Jul 2014 A	200,000,000	2013/12/05	2014/07/03	50.20
11151	Macquarie Bank Ltd. - BYD European Warrants Jul 2014 A	100,000,000	2013/12/05	2014/07/03	29.50
11152	Macquarie Bank Ltd. - CLP European Warrants Nov 2014 A	100,000,000	2013/12/05	2014/11/04	25.00
11154	SGA Societe Generale Acceptance N.V. - AAC Tech Eu Wt Jul14A	50,000,000	2013/12/05	2014/07/02	20.00
11156	SGA Societe Generale Acceptance N.V. - AAC Tech Eu Wt Aug14A	50,000,000	2013/12/05	2014/08/04	13.00
11179	SGA Societe Generale Acceptance N.V. - Anton Oil Eu Wt Jul14A	35,000,000	2013/12/05	2014/07/02	24.85
11215	SGA Societe Generale Acceptance N.V. - CITIC Pac Eu Wt Jul14A	30,000,000	2013/12/05	2014/07/02	11.10
11221	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Oct14A	100,000,000	2013/12/05	2014/10/06	25.00
11213	SGA Societe Generale Acceptance N.V. - CLife Eu Wt Aug 2014 B	180,000,000	2013/12/05	2014/08/04	27.00
11214	SGA Societe Generale Acceptance N.V. - CLife Eu Put Wt Aug14C	150,000,000	2013/12/05	2014/08/04	37.50
11176	SGA Societe Generale Acceptance N.V. - CRCC Eu Wt Jun 2014 A	30,000,000	2013/12/05	2014/06/04	13.20
11177	SGA Societe Generale Acceptance N.V. - Shenhua Eu Wt Sep 14 A	100,000,000	2013/12/05	2014/09/03	19.00
11157	SGA Societe Generale Acceptance N.V. - CSR Euro Wts Jul 14 A	25,000,000	2013/12/05	2014/07/02	12.00
11216	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Jul14A	60,000,000	2013/12/05	2014/07/02	15.00
11217	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Jul14A	60,000,000	2013/12/05	2014/07/02	15.00
11181	SGA Societe Generale Acceptance NV - HuanengPower Eu Wt Jun14A	40,000,000	2013/12/05	2014/06/04	15.40
11158	SGA Societe Generale Acceptance N.V. - HWL Eur Wt May 2014 C	70,000,000	2013/12/05	2014/05/07	15.75
11222	SGA Societe Generale Acceptance N.V. - KECL Eu Wt Jul 2014 B	20,000,000	2013/12/05	2014/07/02	10.20
11174	SGA Societe Generale Acceptance N.V. - Ch Mengniu Eu Wt Jun14A	70,000,000	2013/12/05	2014/06/04	17.50
11219	SGA Societe Generale Acceptance N.V. - PICC Eu Wts Jun 2014A	60,000,000	2013/12/05	2014/06/04	15.30
11220	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt May 14 E	150,000,000	2013/12/05	2014/05/07	22.50
11178	SGA Societe Generale Acceptance N.V. - Wharf Eu Wts Aug 14 A	40,000,000	2013/12/05	2014/08/04	10.20
11223	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Jun14A	30,000,000	2013/12/05	2014/06/04	10.05
11180	SGA Societe Generale Acceptance N.V. - Zoomlion Eu Wt Jun14A	30,000,000	2013/12/05	2014/06/04	18.90
11224	SGA Societe Generale Acceptance NV - Zijin Mining Eu Wt Aug14A	70,000,000	2013/12/05	2014/08/04	10.85
11153	UBS AG - HSI European Put Warrants Apr 2014 F	300,000,000	2013/12/05	2014/04/29	45.00
11204	UBS AG - HSI European Put Warrants Apr 2014 G	300,000,000	2013/12/05	2014/04/29	48.00
11203	UBS AG - Hutchison European Put Warrants May 2014 A	70,000,000	2013/12/05	2014/05/07	18.90
11252	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Oct 2018 A	100,000,000	2013/12/06	2018/10/03	25.00
11251	BNP Paribas Arbit Issu B.V. - CSR European Wts Dec 2018 A	100,000,000	2013/12/06	2018/12/04	25.00
11253	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Oct 2018 A	300,000,000	2013/12/06	2018/10/03	75.00
11250	BNP Paribas Arbit Issu B.V. - HKEx European Wts Jul 2014 C	50,000,000	2013/12/06	2014/07/03	12.50
11247	BNP Paribas Arbit Issu B.V. - HSBC Euro Put Wts Jul 2014 A	100,000,000	2013/12/06	2014/07/03	29.00
11248	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Jul 2014 A	88,000,000	2013/12/06	2014/07/03	22.00
11249	BNP Paribas Arbit Issu B.V. - Hutchison Euro Wts Apr 2018 A	300,000,000	2013/12/06	2018/04/04	75.00

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11259	Daiwa Capital Mkt - BOC HK European Warrants Nov 2014 A	98,000,000	2013/12/06	2014/11/24	14.70
11255	Goldman Sachs SP (Asia) - CC Bank Euro Put Wts Jun 2014 A	68,000,000	2013/12/06	2014/06/30	24.14
11256	Goldman Sachs SP (Asia) - Geely Auto European Wts Jul 2014 A	68,000,000	2013/12/06	2014/07/30	17.14
11257	Goldman Sachs SP (Asia) - SPDR Gold Trust Euro Wt May 2014 A	80,000,000	2013/12/06	2014/05/12	12.40
11238	HK Bank - Henderson Land Dev European Warrants Jun 2014 A	60,000,000	2013/12/06	2014/06/30	15.00
11245	HK Bank - HSI European Warrants Jul 2014 C	180,000,000	2013/12/06	2014/07/30	32.40
11243	HK Bank - New World Dev European Warrants Sep 2014 A	80,000,000	2013/12/06	2014/09/23	12.00
11246	HK Bank - Tingyi European Warrants Jul 2014 A	50,000,000	2013/12/06	2014/07/31	12.50
11226	J P Morgan SP BV - BJ Ent Water European Warrants Jul 2014 A	40,000,000	2013/12/06	2014/07/02	12.52
11229	J P Morgan SP BV - Ch State Con European Warrants Mar 2015 A	60,000,000	2013/12/06	2015/03/02	15.00
11228	J P Morgan SP BV - GAC European Warrants Mar 2015 A	100,000,000	2013/12/06	2015/03/02	25.00
11227	J P Morgan SP BV - Techtronic Ind European Warrants Nov 14 A	60,000,000	2013/12/06	2014/11/03	15.00
11230	Nomura Int'l plc - China Gas Hold European Wts Jun 2015 A	80,000,000	2013/12/06	2015/06/30	20.00
11233	Nomura Int'l plc - Greatwall Motor European Wts Jun 2014 A	50,000,000	2013/12/06	2014/06/18	14.90
11236	Nomura Int'l plc - Haitong Sec European Warrants Aug 2015 A	80,000,000	2013/12/06	2015/08/18	22.24
11231	Nomura Int'l plc - Yanzhou Coal European Warrants Jul 2014 A	50,000,000	2013/12/06	2014/07/18	22.75
11232	Nomura Int'l plc - Yanzhou Coal European Put Warrants Aug15A	80,000,000	2013/12/06	2015/08/18	20.00
11263	Standard Chartered Bank - Anhui Conch Euro Wts Sep 2014 A	80,000,000	2013/12/06	2014/09/29	20.00
11264	Standard Chartered Bank - China Shenhua Euro Wts Sep 2014 A	80,000,000	2013/12/06	2014/09/02	17.60
11260	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Jul 14 A	50,000,000	2013/12/06	2014/07/02	12.50
11261	SGA Societe Generale Acceptance N.V. - CRail Eu Wt Jun 2014A	50,000,000	2013/12/06	2014/06/04	12.50
11262	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jul 2014 A	300,000,000	2013/12/06	2014/07/30	45.00
11284	Citigroup Global Mkt H Inc. - CGS European Warrants Jun 14 A	30,000,000	2013/12/09	2014/06/09	11.70
11267	J P Morgan SP BV - Ping An European Warrants Jun 2014 C	180,000,000	2013/12/09	2014/06/04	35.28
11269	Macquarie Bank Ltd. - CGS European Warrants Jun 2014 A	50,000,000	2013/12/09	2014/06/09	17.75
11271	Macquarie Bank Ltd. - CITIC Sec European Warrants Sep 2014 A	120,000,000	2013/12/09	2014/09/30	34.80
11274	Macquarie Bank Ltd. - China Life European Wts Aug 2014 B	100,000,000	2013/12/09	2014/08/04	15.00
11273	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Jun 2014 A	40,000,000	2013/12/09	2014/06/17	10.00
11270	Macquarie Bank Ltd. - Haitong Sec European Wts Dec 2014 A	120,000,000	2013/12/09	2014/12/02	30.00
11272	Macquarie Bank Ltd. - Lumena Newmat European Wts Nov 2014 A	40,000,000	2013/12/09	2014/11/04	10.00
11276	UBS AG - CITIC Sec European Warrants Jun 2014 A	80,000,000	2013/12/09	2014/06/10	20.00
11279	UBS AG - China Life European Put Warrants Jul 2014 D	100,000,000	2013/12/09	2014/07/11	16.00
11277	UBS AG - HSI European Warrants May 2014 A	300,000,000	2013/12/09	2014/05/29	63.00
11278	UBS AG - HSI European Warrants Jul 2014 A	300,000,000	2013/12/09	2014/07/30	63.00
11275	UBS AG - Haitong Sec European Warrants Oct 2014 A	80,000,000	2013/12/09	2014/10/30	20.00
11281	UBS AG - Ping An European Put Warrants Jun 2014 D	50,000,000	2013/12/09	2014/06/17	24.00
11298	BOCI Asia Ltd. - BOC HK European Warrants Nov 2014 A	100,000,000	2013/12/10	2014/11/24	15.00
11297	BOCI Asia Ltd. - Haitong Sec European Warrants Dec 2014 A	100,000,000	2013/12/10	2014/12/01	25.00
11300	BOCI Asia Ltd. - Ping An European Warrants Jul 2014 B	100,000,000	2013/12/10	2014/07/09	28.00
11301	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2014 A	100,000,000	2013/12/10	2014/06/16	25.00
11314	BNP Paribas Arbit Issu B.V. - BankComm Euro Wts Oct 2014 A	44,000,000	2013/12/10	2014/10/06	11.44
11315	BNP Paribas Arbit Issu B.V. - CGS European Warrants Dec 15 A	100,000,000	2013/12/10	2015/12/02	25.00
11310	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Jan 2015 A	100,000,000	2013/12/10	2015/01/05	33.00
11303	BNP Paribas Arbit Issu B.V. - Haitong Sec Eu Wts Jan 2015 A	100,000,000	2013/12/10	2015/01/05	25.00

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11311	BNP Paribas Arbit Issu B.V. - Ping An European Wt Oct 2014 A	100,000,000	2013/12/10	2014/10/06	25.00
11335	Credit Suisse AG - China Life European Warrants Aug 2014 A	70,000,000	2013/12/10	2014/08/01	10.50
11336	Credit Suisse AG - Galaxy Ent European Warrants Apr 2014 E	70,000,000	2013/12/10	2014/04/28	15.40
11337	Credit Suisse AG - Hutchison European Put Wts Apr 2014 A	70,000,000	2013/12/10	2014/04/28	14.70
11355	Citigroup Global Mkt H Inc. - A50 China Euro Wts May 2014 A	50,000,000	2013/12/10	2014/05/26	22.50
11343	Bank of East Asia - China Life European Warrants Sep 2014 A	50,000,000	2013/12/10	2014/09/30	12.50
11344	Bank of East Asia - China Life European Put Wts Jul 2014 A	50,000,000	2013/12/10	2014/07/30	12.50
11334	Bank of East Asia - Ch Mobile European Warrants Oct 2014 A	40,000,000	2013/12/10	2014/10/10	10.00
11346	Bank of East Asia - Ch Mobile European Put Warrants Oct 14 B	40,000,000	2013/12/10	2014/10/10	10.00
11327	Bank of East Asia - China Overseas Eur Put Wts Jun 2014 A	70,000,000	2013/12/10	2014/06/26	10.50
11326	Bank of East Asia - JIANG European Warrants Apr 2015 A	50,000,000	2013/12/10	2015/04/22	12.50
11328	Bank of East Asia - Nine Dragons European Wts Feb 2016 A	90,000,000	2013/12/10	2016/02/12	22.50
11341	Bank of East Asia - PICC European Warrants Jun 2015 A	70,000,000	2013/12/10	2015/06/24	17.50
11345	Bank of East Asia - Ping An European Warrants Jun 2014 A	40,000,000	2013/12/10	2014/06/30	10.00
11342	Bank of East Asia - Tencent Holdings European Wts Nov 2014 A	40,000,000	2013/12/10	2014/11/14	10.00
11348	Goldman Sachs SP (Asia) - Ch Overseas Euro Put Wt Sep 2014 B	80,000,000	2013/12/10	2014/09/29	20.24
11347	Goldman Sachs SP (Asia) - R&F Ppt European Warrants Dec 14 A	80,000,000	2013/12/10	2014/12/24	12.00
11291	HK Bank - CITIC Sec European Warrants Sep 2014 A	100,000,000	2013/12/10	2014/09/30	25.00
11289	HK Bank - China Life European Warrants Aug 2014 A	100,000,000	2013/12/10	2014/08/01	15.00
11294	HK Bank - HSI European Warrants Sep 2014 C	180,000,000	2013/12/10	2014/09/29	45.00
11292	HK Bank - HSI European Put Warrants Apr 2014 H	180,000,000	2013/12/10	2014/04/29	34.20
11290	HK Bank - Haitong Sec European Warrants Dec 2014 B	100,000,000	2013/12/10	2014/12/31	25.00
11295	HK Bank - PICC Group European Warrants Jun 2014 A	60,000,000	2013/12/10	2014/06/30	15.00
11288	HK Bank - Ping An European Warrants Dec 2015 A	180,000,000	2013/12/10	2015/12/31	45.00
11286	HK Bank - Tencent European Warrants Jun 2014 A	100,000,000	2013/12/10	2014/06/30	25.00
11287	HK Bank - Tencent European Put Warrants May 2014 A	100,000,000	2013/12/10	2014/05/26	17.00
11296	J P Morgan SP BV - CGS European Warrants Jun 2014 A	40,000,000	2013/12/10	2014/06/10	10.68
11285	J P Morgan SP BV - CITIC Sec European Warrants Aug 2014 A	100,000,000	2013/12/10	2014/08/04	25.00
11353	J P Morgan SP BV - Hutchison European Warrants Apr 2014 B	80,000,000	2013/12/10	2014/04/07	27.12
11352	J P Morgan SP BV - Hutchison European Warrants Jun 2014 B	80,000,000	2013/12/10	2014/06/20	20.00
11350	J P Morgan SP BV - Hutchison European Put Wts Jun 2014 A	80,000,000	2013/12/10	2014/06/10	20.00
11349	J P Morgan SP BV - Sands China European Put Wts Jun 2014 A	150,000,000	2013/12/10	2014/06/30	57.90
11325	Standard Chartered Bank - CITIC Sec European Wts Jun 2014 A	100,000,000	2013/12/10	2014/06/09	25.00
11323	Standard Chartered Bank - Foxconn European Wts Jun 2014 A	80,000,000	2013/12/10	2014/06/30	22.40
11316	Standard Chartered Bank - NCI European Warrants Jun 2014 A	80,000,000	2013/12/10	2014/06/25	20.00
11321	Standard Chartered Bank - PICC Group European Wts Apr 2014 A	100,000,000	2013/12/10	2014/04/23	19.50
11317	Standard Chartered Bank - Ping An European Wts May 2014 A	100,000,000	2013/12/10	2014/05/23	25.00
11318	Standard Chartered Bank - Ping An European Wts May 2014 B	100,000,000	2013/12/10	2014/05/23	36.00
11319	Standard Chartered Bank - Ping An Euro Put Wts Jun 2014 B	100,000,000	2013/12/10	2014/06/09	37.50
11340	UBS AG - Ping An European Warrants Jun 2014 E	100,000,000	2013/12/10	2014/06/24	25.00
11338	UBS AG - Sands China European Put Warrants Jun 2014 A	40,000,000	2013/12/10	2014/06/23	17.20
11364	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Aug 2014 A	100,000,000	2013/12/11	2014/08/04	27.00
11362	BNP Paribas Arbit Issu B.V. - Hutchison Eu Put Wt Jun 2014 B	90,000,000	2013/12/11	2014/06/04	22.50
11388	Credit Suisse AG - Anhui Conch European Warrants Jun 2014 B	70,000,000	2013/12/11	2014/06/16	17.50

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11380	Credit Suisse AG - Agricultural Bank European Wts Sep 2014 A	70,000,000	2013/12/11	2014/09/22	13.30
11378	Credit Suisse AG - AIA European Warrants Jun 2014 A	80,000,000	2013/12/11	2014/06/30	12.00
11395	Credit Suisse AG - Anton Oilfield European Warrants Jun 14 A	70,000,000	2013/12/11	2014/06/30	39.20
11384	Credit Suisse AG - CITIC Sec European Warrants Jun 2014 A	70,000,000	2013/12/11	2014/06/16	17.50
11389	Credit Suisse AG - HKEx European Warrants Jun 2014 A	80,000,000	2013/12/11	2014/06/16	22.40
11390	Credit Suisse AG - HKEx European Warrants Jun 2014 B	80,000,000	2013/12/11	2014/06/30	20.00
11376	Credit Suisse AG - HSCEI European Warrants Oct 2014 A	100,000,000	2013/12/11	2014/10/30	20.00
11377	Credit Suisse AG - HSI European Put Warrants Apr 2014 C	200,000,000	2013/12/11	2014/04/29	30.00
11393	Credit Suisse AG - PICC Group European Warrants Jun 2014 A	70,000,000	2013/12/11	2014/06/16	17.50
11381	Credit Suisse AG - Ping An European Put Warrants May 2014 D	80,000,000	2013/12/11	2014/05/30	12.00
11383	Credit Suisse AG - Ping An European Put Warrants Jun 2014 B	80,000,000	2013/12/11	2014/06/16	21.60
11400	Citigroup Global Mkt H Inc. - China Mobile Eur Wt Apr 2014 E	100,000,000	2013/12/11	2014/04/01	23.00
11361	Goldman Sachs SP (Asia) - HSBC European Put Wts Mar 2014 B	80,000,000	2013/12/11	2014/03/31	12.08
11360	HK Bank - Galaxy Ent European Warrants Jun 2014 A	80,000,000	2013/12/11	2014/06/30	28.00
11357	HK Bank - HWL European Warrants Jun 2014 B	80,000,000	2013/12/11	2014/06/30	20.00
11358	HK Bank - HWL European Warrants Jun 2014 C	80,000,000	2013/12/11	2014/06/30	40.00
11356	HK Bank - HWL European Warrants Nov 2015 A	250,000,000	2013/12/11	2015/11/30	62.50
11359	HK Bank - Sands China European Warrants Jun 2014 A	80,000,000	2013/12/11	2014/06/30	24.00
11396	J P Morgan SP BV - HSBC European Warrants Jun 2014 B	200,000,000	2013/12/11	2014/06/03	50.20
11397	Macquarie Bank Ltd. - Agricultural Bk Euro Warrants Jul 2014 A	70,000,000	2013/12/11	2014/07/14	10.50
11399	Macquarie Bank Ltd. - New World Dev European Wts Jul 2014 A	40,000,000	2013/12/11	2014/07/03	10.00
11398	Macquarie Bank Ltd. - SUNAC European Warrants Jul 2014 A	40,000,000	2013/12/11	2014/07/03	10.00
11373	Nomura Int'l plc - Minsheng Bank European Wts Apr 2016 A	150,000,000	2013/12/11	2016/04/28	37.50
11371	Nomura Int'l plc - Hutchison European Warrants Jun 2014 A	50,000,000	2013/12/11	2014/06/18	12.55
11372	Nomura Int'l plc - Li & Fung European Warrants Jun 2016 A	50,000,000	2013/12/11	2016/06/28	12.55
11375	Nomura Int'l plc - Ping An European Warrants Jun 2014 A	50,000,000	2013/12/11	2014/06/30	13.00
11374	Nomura Int'l plc - Ping An European Warrants Oct 2016 A	100,000,000	2013/12/11	2016/10/28	25.20
11367	Standard Chartered Bank - Haitong Sec Euro Wts Oct 2014 A	100,000,000	2013/12/11	2014/10/30	25.00
11369	Standard Chartered Bank - Sands China Euro Wts May 2014 C	100,000,000	2013/12/11	2014/05/02	15.00
12218 #	J P Morgan SP BV - Stanchart European Warrants Jul 2014 A	240,000,000	2013/12/11	2014/07/02	10.32
26241 #	Credit Suisse AG - Stanchart European Warrants Jun 2014 A	300,000,000	2013/12/11	2014/06/30	10.80
11406	BOCI Asia Ltd. - China Mobile European Warrants May 2014 A	100,000,000	2013/12/12	2014/05/12	16.00
11409	BOCI Asia Ltd. - HSBC European Warrants Aug 2014 A	100,000,000	2013/12/12	2014/08/01	15.00
11407	BOCI Asia Ltd. - Hutchison European Put Warrants May 2014 B	100,000,000	2013/12/12	2014/05/07	22.00
11404	Credit Suisse AG - AIA European Warrants Jun 2014 B	80,000,000	2013/12/12	2014/06/10	16.00
11432	Credit Suisse AG - BOCL European Warrants May 2014 A	70,000,000	2013/12/12	2014/05/23	11.20
11431	Credit Suisse AG - BOCL European Warrants Jul 2014 A	70,000,000	2013/12/12	2014/07/25	14.70
11430	Credit Suisse AG - CC Bank European Warrants May 2014 B	70,000,000	2013/12/12	2014/05/28	11.90
11429	Credit Suisse AG - CC Bank European Warrants Jul 2014 A	70,000,000	2013/12/12	2014/07/07	10.50
11426	Credit Suisse AG - China Mobile European Put Wts Apr 2014 C	70,000,000	2013/12/12	2014/04/30	10.50
11405	Credit Suisse AG - China Shenhua European Wts Aug 2014 A	70,000,000	2013/12/12	2014/08/25	13.30
11414	Credit Suisse AG - HSBC European Wts Jun 2014 A	70,000,000	2013/12/12	2014/06/04	14.70
11415	Credit Suisse AG - HSBC European Wts Jun 2014 B	70,000,000	2013/12/12	2014/06/04	11.90
11427	Credit Suisse AG - ICBC European Warrants Jun 2014 A	70,000,000	2013/12/12	2014/06/26	15.40

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11424	Citigroup Global Mkt H Inc. - CC Bank European Wt Jul 2014 A	100,000,000	2013/12/12	2014/07/08	15.00
11423	Daiwa Capital Mkt - China Mobile European Wts Apr 2014 C	128,000,000	2013/12/12	2014/04/25	19.20
11420	Daiwa Capital Mkt - Hutchison European Warrants Jun 2014 B	128,000,000	2013/12/12	2014/06/11	32.00
11445	Goldman Sachs SP (Asia) - HSI European Warrants Jul 2014 A	150,000,000	2013/12/12	2014/07/30	23.10
11446	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2014 A	150,000,000	2013/12/12	2014/03/28	22.50
11403	J P Morgan SP BV - HSBC European Warrants Apr 2014 D	200,000,000	2013/12/12	2014/04/01	47.40
11411	Macquarie Bank Ltd. - Country Garden European Wts Jul 2014 B	40,000,000	2013/12/12	2014/07/03	10.00
11412	Macquarie Bank Ltd. - HSBC European Warrants Jul 2014 A	100,000,000	2013/12/12	2014/07/03	25.00
11410	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jul 2014 A	80,000,000	2013/12/12	2014/07/03	20.00
11413	Macquarie Bank Ltd. - Stanchart European Warrants Jul 2015 A	100,000,000	2013/12/12	2015/07/03	25.00
11419	Standard Chartered Bank - AIA European Warrants Jun 2014 A	100,000,000	2013/12/12	2014/06/27	15.00
11418	Standard Chartered Bank - China Mobile Euro Wts Aug 2014 A	100,000,000	2013/12/12	2014/08/29	25.00
11443	Standard Chartered Bank - China Overseas Euro Wt Jul 2014 A	100,000,000	2013/12/12	2014/07/02	15.00
11416	Standard Chartered Bank - China Unicom Euro Wts Sep 2014 A	100,000,000	2013/12/12	2014/09/25	15.00
11417	Standard Chartered Bank - Datang Power Euro Wts Nov 2014 A	50,000,000	2013/12/12	2014/11/04	12.50
11433	Standard Chartered Bank - Hutchison Euro Euro Wts Jul 2014 A	100,000,000	2013/12/12	2014/07/02	25.00
11440	UBS AG - China Mobile European Warrants Apr 2014 C	100,000,000	2013/12/12	2014/04/24	29.00
11435	UBS AG - China Tel European Warrants May 2014 A	70,000,000	2013/12/12	2014/05/12	14.00
11436	UBS AG - HKEx European Warrants Jun 2014 B	100,000,000	2013/12/12	2014/06/04	35.00
11442	UBS AG - HSBC European Warrants Apr 2014 C	100,000,000	2013/12/12	2014/04/15	22.00
11441	UBS AG - Hutchison European Warrants Jun 2014 A	100,000,000	2013/12/12	2014/06/11	45.00
11434	UBS AG - ICBC European Put Warrants Apr 2014 C	60,000,000	2013/12/12	2014/04/28	10.80
11449	BOCI Asia Ltd. - CITIC Sec European Warrants Sep 2014 A	100,000,000	2013/12/13	2014/09/29	26.00
11450	BOCI Asia Ltd. - HSBC European Warrants Jun 2014 A	100,000,000	2013/12/13	2014/06/03	15.00
11467	Credit Suisse AG - Cheung Kong European Warrants Apr 2014 A	60,000,000	2013/12/13	2014/04/28	17.40
11470	Credit Suisse AG - China Mobile European Warrants Apr 2014 D	80,000,000	2013/12/13	2014/04/28	12.00
11474	Credit Suisse AG - Sinopharm European Warrants Jul 2014 A	60,000,000	2013/12/13	2014/07/28	15.00
11468	Credit Suisse AG - SHK Ppt European Warrants May 2014 A	60,000,000	2013/12/13	2014/05/26	10.80
11469	Credit Suisse AG - SHK Ppt European Put Warrants Jun 2014 A	60,000,000	2013/12/13	2014/06/16	15.00
11471	Credit Suisse AG - SJM Holdings European Warrants Jul 2014 A	60,000,000	2013/12/13	2014/07/28	15.00
11475	Credit Suisse AG - Stanchart European Warrants Jul 2015 A	60,000,000	2013/12/13	2015/07/27	15.00
11447	J P Morgan SP BV - Stanchart European Warrants Apr 2015 A	130,000,000	2013/12/13	2015/04/02	32.50
11480	J P Morgan SP BV - Tencent European Warrants May 2014 C	200,000,000	2013/12/13	2014/05/02	54.80
11477	J P Morgan SP BV - Tencent European Warrants Jul 2014 C	200,000,000	2013/12/13	2014/07/02	50.00
11476	J P Morgan SP BV - Tencent European Put Warrants Jul 2014 B	200,000,000	2013/12/13	2014/07/02	88.20
11459	Macquarie Bank Ltd. - BJ Ent Water European Wts Jun 2014 A	40,000,000	2013/12/13	2014/06/13	10.00
11463	Macquarie Bank Ltd. - CITIC Bank European Wts Jul 2014 A	70,000,000	2013/12/13	2014/07/03	17.50
11461	Macquarie Bank Ltd. - Datang European Warrants Dec 2014 A	40,000,000	2013/12/13	2014/12/02	10.00
11464	Macquarie Bank Ltd. - Greatwall Motor European Wts Jul 2014 A	40,000,000	2013/12/13	2014/07/03	10.00
11458	Macquarie Bank Ltd. - Lee & Man Paper European Wt Jun 2014 A	40,000,000	2013/12/13	2014/06/13	10.00
11460	Macquarie Bank Ltd. - Want Want China European Wts Aug 2014 A	100,000,000	2013/12/13	2014/08/04	15.00
11452	Standard Chartered Bank - CNBM European Warrants May 2014 A	50,000,000	2013/12/13	2014/05/30	26.50
11453	Standard Chartered Bank - HSBC European Warrants Mar 2014 C	100,000,000	2013/12/13	2014/03/21	33.00
11454	Standard Chartered Bank - HSCEI European Warrants Jul 2014 A	100,000,000	2013/12/13	2014/07/30	15.00

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11457	Standard Chartered Bank - HSCEI European Put Wts May 2014 A	150,000,000	2013/12/13	2014/05/29	22.50
11451	Standard Chartered Bank - HuanengPower Euro Wts Jun 2014 A	50,000,000	2013/12/13	2014/06/03	19.50
24730 #	J P Morgan SP BV - CNBM European Warrants Jun 2014 A	200,000,000	2013/12/13	2014/06/09	10.60
23856 #	Standard Chartered Bank - China Coal European Wts Nov 2014 A	220,000,000	2013/12/13	2014/11/03	10.12
11486	BOCI Asia Ltd. - CC Bank European Warrants May 2014 A	100,000,000	2013/12/16	2014/05/30	20.00
11485	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Jun 2014 A	100,000,000	2013/12/16	2014/06/03	26.00
11494	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Jul 2014 A	50,000,000	2013/12/16	2014/07/03	16.50
11493	BNP Paribas Arbit Issu B.V. - Stanchart Euro Wts Jul 2016 A	100,000,000	2013/12/16	2016/07/05	25.00
11506	Credit Suisse AG - Ch Overseas European Warrants Sep 2014 A	70,000,000	2013/12/16	2014/09/22	10.50
11507	Credit Suisse AG - China Shenhua European Wts Oct 2014 A	70,000,000	2013/12/16	2014/10/27	13.30
11505	Credit Suisse AG - KECL European Warrants Jul 2015 A	70,000,000	2013/12/16	2015/07/31	15.40
11504	Credit Suisse AG - SHK Ppt European Warrants Jun 2014 B	70,000,000	2013/12/16	2014/06/23	10.50
11501	Credit Suisse AG - Tencent Holdings European Wts Apr 2014 E	100,000,000	2013/12/16	2014/04/28	15.00
11500	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 C	100,000,000	2013/12/16	2014/06/30	18.00
11503	Credit Suisse AG - Tencent Holdings Euro Put Wts Apr 2014 F	100,000,000	2013/12/16	2014/04/17	20.00
11502	Credit Suisse AG - Tencent Holdings Euro Put Wts Jun 2014 D	100,000,000	2013/12/16	2014/06/23	15.00
11510	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2014 C	80,000,000	2013/12/16	2014/04/30	14.00
11508	Goldman Sachs SP (Asia) - Sands China Euro Wts May 2014 A	80,000,000	2013/12/16	2014/05/05	15.92
11509	Goldman Sachs SP (Asia) - Tencent European Wt Apr 2014 E	80,000,000	2013/12/16	2014/04/28	13.28
11482	HK Bank - Jiangxi Copper European Warrants Oct 2014 A	88,000,000	2013/12/16	2014/10/20	13.20
11484	HK Bank - Tencent European Warrants Aug 2014 A	100,000,000	2013/12/16	2014/08/29	25.00
11483	HK Bank - Tencent European Put Warrants Jun 2014 B	100,000,000	2013/12/16	2014/06/30	35.00
11488	Macquarie Bank Ltd. - CSR Times European Warrants Jul 2014 A	40,000,000	2013/12/16	2014/07/03	10.00
11491	Macquarie Bank Ltd. - Huaneng Power European Wts Aug 2014 A	40,000,000	2013/12/16	2014/08/04	10.00
11490	Macquarie Bank Ltd. - PICC Group European Wts Oct 2014 A	40,000,000	2013/12/16	2014/10/06	10.00
11492	Macquarie Bank Ltd. - Sh Ind H European Warrants Dec 2014 A	40,000,000	2013/12/16	2014/12/02	10.00
11499	Standard Chartered Bank - Tencent Hldg Eu Put Wts Jun 2014 C	100,000,000	2013/12/16	2014/06/16	30.00
11496	UBS AG - China National Building European Wts Jun 2014 A	30,000,000	2013/12/16	2014/06/16	10.50
11487	UBS AG - Galaxy Ent European Put Warrants May 2014 B	70,000,000	2013/12/16	2014/05/05	10.50
11497	UBS AG - Tencent Holdings European Warrants Jun 2014 C	100,000,000	2013/12/16	2014/06/16	25.00
11498	UBS AG - Tencent Holdings European Put Warrants Apr 2014 E	100,000,000	2013/12/16	2014/04/25	24.00
11495	UBS AG - ZTE European Warrants May 2014 B	100,000,000	2013/12/16	2014/05/05	19.00
11518	BOCI Asia Ltd. - Anhui Conch European Warrants Jul 2014 A	100,000,000	2013/12/17	2014/07/09	20.00
11519	BOCI Asia Ltd. - CAM CSI300 European Warrants Oct 2014 A	100,000,000	2013/12/17	2014/10/13	15.00
11517	BOCI Asia Ltd. - HSI European Warrants Aug 2014 A	100,000,000	2013/12/17	2014/08/28	16.00
11516	BOCI Asia Ltd. - HSI European Put Warrants May 2014 A	100,000,000	2013/12/17	2014/05/29	17.00
11525	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Sep 2014 A	62,000,000	2013/12/17	2014/09/02	15.50
11529	BNP Paribas Arbit Issu B.V. - Cathay Pacific Eu Wt Feb 2015 A	54,000,000	2013/12/17	2015/02/03	13.50
11524	BNP Paribas Arbit Issu B.V. - Dongfeng Motor Eur Wt Oct 2015 A	100,000,000	2013/12/17	2015/10/05	25.00
11528	BNP Paribas Arbit Issu B.V. - NagaCorp Euro Wts Apr 2015 A	94,000,000	2013/12/17	2015/04/02	23.50
11526	BNP Paribas Arbit Issu B.V. - SMIC European Wts Jul 2016 A	100,000,000	2013/12/17	2016/07/05	25.00
11540	Credit Suisse AG - CNOOC European Put Warrants Aug 2014 A	70,000,000	2013/12/17	2014/08/04	12.60
11538	Credit Suisse AG - China Shenhua European Wts Jul 2014 A	70,000,000	2013/12/17	2014/07/28	12.60
11536	Credit Suisse AG - China Shenhua European Wts Aug 2014 B	70,000,000	2013/12/17	2014/08/29	10.50

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		發行單位	上市日期	到期日	
11539	Credit Suisse AG - HKEx European Put Warrants Sep 2015 A	70,000,000	2013/12/17	2015/09/21	11.90
11535	Credit Suisse AG - Jiangxi Copper European Wts Oct 2014 A	70,000,000	2013/12/17	2014/10/20	11.20
11533	Credit Suisse AG - Link REIT European Warrants Jul 2014 A	70,000,000	2013/12/17	2014/07/28	11.90
11543	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 A	100,000,000	2013/12/17	2014/08/28	25.00
11544	Credit Suisse AG - Tencent Holdings European Wts Nov 2014 A	100,000,000	2013/12/17	2014/11/24	25.00
11560	Citigroup Global Mkt H Inc. - CGS European Wts Nov 2015 A	100,000,000	2013/12/17	2015/11/25	18.50
11530	Daiwa Capital Mkt - HSBC European Put Warrants Jun 2014 A	80,000,000	2013/12/17	2014/06/16	20.00
11515	Goldman Sachs SP (Asia) - Ch COSCO European Wts Jun 2014 A	80,000,000	2013/12/17	2014/06/30	21.76
11514	Goldman Sachs SP (Asia) - China Life Euro Wts May 2015 A	80,000,000	2013/12/17	2015/05/26	19.04
11512	Goldman Sachs SP (Asia) - A50 China European Wts Jun 2014 C	80,000,000	2013/12/17	2014/06/17	20.96
11520	Standard Chartered Bank - Anhui Conch Eur Put Wt Jul 2014 B	80,000,000	2013/12/17	2014/07/28	20.00
11521	Standard Chartered Bank - China COSCO European Wt Aug 2014 B	50,000,000	2013/12/17	2014/08/29	15.00
11523	Standard Chartered Bank - Zijin Mining Euro Wts Jul 2014 A	100,000,000	2013/12/17	2014/07/31	15.00
11545	SGA Societe Generale Acceptance N.V. - Belle Int'l Eu Wt Dec15A	150,000,000	2013/12/17	2015/12/02	28.50
11559	SGA Societe Generale Acceptance N.V. - BYD Eu Wt Jul 2014 A	50,000,000	2013/12/17	2014/07/02	12.50
11546	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt May 2014 A	100,000,000	2013/12/17	2014/05/07	18.50
11513	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2014 B	70,000,000	2013/12/17	2014/07/02	10.50
11547	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Aug 14 B	100,000,000	2013/12/17	2014/08/04	20.50
11548	SGA Societe Generale Acceptance N.V. - Tencent Eu Wt Dec 14 A	150,000,000	2013/12/17	2014/12/03	30.75
11549	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jul14E	150,000,000	2013/12/17	2014/07/02	44.25
11553	UBS AG - BOCL European Warrants May 2014 A	100,000,000	2013/12/17	2014/05/23	17.00
11551	UBS AG - BOCL European Warrants Jul 2014 A	100,000,000	2013/12/17	2014/07/25	15.00
11555	UBS AG - CC Bank European Warrants Jul 2014 B	100,000,000	2013/12/17	2014/07/07	16.00
11558	UBS AG - HSBC European Warrants Jun 2014 A	100,000,000	2013/12/17	2014/06/04	18.00
11556	UBS AG - HSCEI European Warrants Jul 2014 A	100,000,000	2013/12/17	2014/07/30	15.00
11550	UBS AG - PetroChina European Warrants Jun 2014 A	70,000,000	2013/12/17	2014/06/16	17.50
11629	Credit Suisse AG - BOCL European Warrants Aug 2014 A	80,000,000	2013/12/18	2014/08/29	17.60
11634	Credit Suisse AG - CC Bank European Warrants Apr 2014 B	80,000,000	2013/12/18	2014/04/22	12.00
11641	Credit Suisse AG - China EB Int'l European Wts Mar 2015 A	60,000,000	2013/12/18	2015/03/30	15.00
11632	Credit Suisse AG - China Mobile European Warrants May 2014 B	80,000,000	2013/12/18	2014/05/07	16.00
11633	Credit Suisse AG - China Mobile European Warrants Jul 2014 A	80,000,000	2013/12/18	2014/07/21	12.00
11637	Credit Suisse AG - Esprit European Warrants Dec 2014 B	60,000,000	2013/12/18	2014/12/15	13.20
11638	Credit Suisse AG - Esprit European Put Warrants Oct 2014 A	60,000,000	2013/12/18	2014/10/27	15.00
11630	Credit Suisse AG - HSBC European Wts Apr 2014 A	80,000,000	2013/12/18	2014/04/01	14.40
11631	Credit Suisse AG - HSBC European Wts Apr 2014 B	80,000,000	2013/12/18	2014/04/28	12.00
11639	Credit Suisse AG - Hutchison European Warrants Apr 2014 B	80,000,000	2013/12/18	2014/04/28	26.40
11636	Credit Suisse AG - ICBC European Warrants Jul 2014 B	80,000,000	2013/12/18	2014/07/28	17.60
11640	Credit Suisse AG - Jiangxi Copper Euro Put Wts Oct 2014 B	60,000,000	2013/12/18	2014/10/20	15.00
11622	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2014 A	80,000,000	2013/12/18	2014/06/30	20.00
11621	Goldman Sachs SP (Asia) - Galaxy Ent Euro Wts Jun 2014 A	80,000,000	2013/12/18	2014/06/30	34.16
11578	HK Bank - China Mobile European Put Warrants Jul 2014 A	60,000,000	2013/12/18	2014/07/31	20.40
11573	HK Bank - CNBM European Warrants Jun 2016 A	200,000,000	2013/12/18	2016/06/27	44.00
11577	HK Bank - CSR European Warrants Jun 2014 B	50,000,000	2013/12/18	2014/06/30	15.00
11576	HK Bank - GCL-Poly Energy European Warrants Jun 2014 A	68,000,000	2013/12/18	2014/06/30	17.00

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11594	J P Morgan SP BV - Sands China European Warrants Jul 2014 A	200,000,000	2013/12/18	2014/07/02	50.00
11595	Macquarie Bank Ltd. - CNBM European Warrants Nov 2015 A	150,000,000	2013/12/18	2015/11/03	37.50
11596	Macquarie Bank Ltd. - CQRC Bank European Warrants Jul 2014 A	50,000,000	2013/12/18	2014/07/03	12.50
11593	Macquarie Bank Ltd. - Sinopharm European Warrants Sep 2014 A	80,000,000	2013/12/18	2014/09/02	20.00
11591	Macquarie Bank Ltd. - SHK Ppt European Warrants Oct 2014 A	88,000,000	2013/12/18	2014/10/06	22.00
11592	Macquarie Bank Ltd. - SHK Ppt European Put Wts Oct 2014 B	88,000,000	2013/12/18	2014/10/06	22.00
11568	Nomura Int'l plc - A50 China European Warrants Jun 2014 A	80,000,000	2013/12/18	2014/06/18	20.00
11570	Nomura Int'l plc - A50 China European Warrants Oct 2014 C	80,000,000	2013/12/18	2014/10/31	22.08
11598	Nomura Int'l plc - A50 China European Put Wts Aug 2015 A	150,000,000	2013/12/18	2015/08/18	37.50
11561	Nomura Int'l plc - Link REIT European Warrants Oct 2014 A	50,000,000	2013/12/18	2014/10/28	12.50
11562	Nomura Int'l plc - Link REIT European Put Wts Oct 2014 B	50,000,000	2013/12/18	2014/10/08	13.00
11584	Standard Chartered Bank - Belle Int'l European Wts Apr 2015A	100,000,000	2013/12/18	2015/04/29	25.00
11599	Standard Chartered Bank - China Coal European Wts Aug 2014 A	80,000,000	2013/12/18	2014/08/08	35.60
11600	Standard Chartered Bank - China Coal European Put Wt Sep14 A	80,000,000	2013/12/18	2014/09/02	29.20
11582	Standard Chartered Bank - Sinopec Corp Eu Put Wt Aug 2014 A	80,000,000	2013/12/18	2014/08/04	25.60
11579	Standard Chartered Bank - A50 China Euro Warrants Sep 2014 B	100,000,000	2013/12/18	2014/09/02	25.00
11583	Standard Chartered Bank - HSI European Warrants Sep 2014 A	100,000,000	2013/12/18	2014/09/29	25.00
11601	Standard Chartered Bank - HSI European Put Wts May 2014 B	100,000,000	2013/12/18	2014/05/29	18.40
11580	Standard Chartered Bank - Sands China Eu Put Wts Jun 2014 C	100,000,000	2013/12/18	2014/06/18	42.00
11585	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Oct 2014 A	100,000,000	2013/12/18	2014/10/06	17.00
11586	SGA Societe Generale Acceptance N.V. - CCB Eur Wt Jul 2014 A	100,000,000	2013/12/18	2014/07/07	15.00
11628	SGA Societe Generale Acceptance N.V. - Chi COSCO Eu Wt Aug 14 A	50,000,000	2013/12/18	2014/08/04	15.25
11602	SGA Societe Generale Acceptance N.V. - CCoal Eu Wt Jul 2014 A	40,000,000	2013/12/18	2014/07/02	17.60
11623	SGA Societe Generale Acceptance N.V. - CITBK Eu Wt Jul 14 A	40,000,000	2013/12/18	2014/07/02	10.00
11624	SGA Societe Generale Acceptance NV - Minsheng Bk Eu Wt May14 B	50,000,000	2013/12/18	2014/05/07	17.00
11625	SGA Societe Generale Acceptance N.V. - CSR Euro Wts May 14 A	25,000,000	2013/12/18	2014/05/12	10.50
11605	SGA Societe Generale Acceptance N.V. - Galaxy Eu Put Wt Jul14A	100,000,000	2013/12/18	2014/07/02	49.00
11606	SGA Societe Generale Acceptance N.V. - HKEx Eu Put Wt May14A	300,000,000	2013/12/18	2014/05/07	45.00
11587	SGA Societe Generale Acceptance N.V. - HLand Eur Wt Jul 14 B	70,000,000	2013/12/18	2014/07/02	11.20
11588	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Apr 2014 F	100,000,000	2013/12/18	2014/04/28	26.00
11607	SGA Societe Generale Acceptance N.V. - HSBC Eu Wt Aug 2014 B	100,000,000	2013/12/18	2014/08/04	15.00
11627	SGA Societe Generale Acceptance N.V. - JIANG Eu Wt Feb 2015A	100,000,000	2013/12/18	2015/02/04	25.00
11608	SGA Societe Generale Acceptance N.V. - Ping An Eu Put Wt Jun14A	100,000,000	2013/12/18	2014/06/04	40.00
11610	SGA Societe Generale Acceptance N.V. - Sands China Eu Wt Aug14A	100,000,000	2013/12/18	2014/08/04	25.00
11589	SGA Societe Generale Acceptance NV - Sands Ch Eu Put Wt Jul14A	80,000,000	2013/12/18	2014/07/02	29.60
11615	UBS AG - Belle Int'l European Warrants Aug 2015 A	80,000,000	2013/12/18	2015/08/24	20.00
11614	UBS AG - CNOOC European Warrants Feb 2015 A	100,000,000	2013/12/18	2015/02/23	15.00
11611	UBS AG - Greatwall Motor European Warrants Jun 2014 A	60,000,000	2013/12/18	2014/06/04	22.20
11619	UBS AG - SHK Ppt European Warrants Jun 2014 A	60,000,000	2013/12/18	2014/06/17	24.00
11680	Credit Suisse AG - AIA European Warrants Apr 2014 C	100,000,000	2013/12/19	2014/04/28	15.00
11677	Credit Suisse AG - AIA European Warrants Jul 2014 A	100,000,000	2013/12/19	2014/07/14	25.00
11678	Credit Suisse AG - AIA European Warrants Nov 2014 A	100,000,000	2013/12/19	2014/11/24	16.00
11679	Credit Suisse AG - AIA European Warrants Dec 2014 B	100,000,000	2013/12/19	2014/12/24	15.00
11672	Credit Suisse AG - Minsheng Bank European Wts Apr 2014 A	80,000,000	2013/12/19	2014/04/22	24.00

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11673	Credit Suisse AG - Minsheng Bank European Wts Jul 2014 A	80,000,000	2013/12/19	2014/07/28	36.00
11683	Credit Suisse AG - China Mobile European Warrants Apr 2014 E	100,000,000	2013/12/19	2014/04/28	26.00
11684	Credit Suisse AG - China Mobile European Warrants Jun 2014 A	100,000,000	2013/12/19	2014/06/23	25.00
11671	Credit Suisse AG - HKEx European Warrants Jun 2014 C	100,000,000	2013/12/19	2014/06/23	30.00
11681	Credit Suisse AG - Hutchison European Warrants Jun 2014 A	100,000,000	2013/12/19	2014/06/04	36.00
11682	Credit Suisse AG - Hutchison European Warrants Jun 2014 B	100,000,000	2013/12/19	2014/06/23	15.00
11676	Credit Suisse AG - ICBC European Warrants Apr 2014 B	100,000,000	2013/12/19	2014/04/28	26.00
11690	Credit Suisse AG - Li & Fung European Warrants Mar 2015 A	70,000,000	2013/12/19	2015/03/30	10.50
11674	Credit Suisse AG - Ping An European Warrants Jun 2014 C	100,000,000	2013/12/19	2014/06/30	18.00
11675	Credit Suisse AG - Ping An European Warrants Jul 2014 A	100,000,000	2013/12/19	2014/07/28	30.00
11686	Citigroup Global Mkt H Inc. - CGS European Put Wts Jun 2014B	15,000,000	2013/12/19	2014/06/18	12.00
11687	Citigroup Global Mkt H Inc. - China Life Euro Wts Jun 2014 A	150,000,000	2013/12/19	2014/06/24	28.50
11688	Citigroup Global Mkt H Inc. - HSBC European Wts May 2014 A	100,000,000	2013/12/19	2014/05/27	23.50
11689	Citigroup Global Mkt H Inc. - HSBC European Wts Jul 2014 A	100,000,000	2013/12/19	2014/07/28	15.50
11645	HK Bank - AIA European Warrants Jun 2014 B	100,000,000	2013/12/19	2014/06/30	25.00
11644	HK Bank - AIA European Put Warrants Sep 2014 A	80,000,000	2013/12/19	2014/09/30	20.00
11647	HK Bank - CC Bank European Warrants Jul 2014 B	80,000,000	2013/12/19	2014/07/31	20.00
11643	HK Bank - China Tel European Warrants Jun 2014 A	80,000,000	2013/12/19	2014/06/25	12.00
11653	HK Bank - HSCEI European Warrants Jun 2014 F	200,000,000	2013/12/19	2014/06/27	50.00
11651	HK Bank - HSI European Warrants Apr 2014 I	200,000,000	2013/12/19	2014/04/29	30.00
11652	HK Bank - HSI European Warrants Jun 2014 C	200,000,000	2013/12/19	2014/06/27	50.00
11650	HK Bank - Huaneng Power European Warrants Jul 2014 B	60,000,000	2013/12/19	2014/07/31	19.80
11648	HK Bank - SHK Ppt European Warrants Jun 2014 A	60,000,000	2013/12/19	2014/06/18	15.00
11649	HK Bank - SHK Ppt European Warrants Jun 2014 B	120,000,000	2013/12/19	2014/06/30	18.00
11670	Macquarie Bank Ltd. - CITIC Pacific European Wts Jan 2016 A	80,000,000	2013/12/19	2016/01/05	20.00
11668	Macquarie Bank Ltd. - Weigao Group European Wts Sep 2014 A	80,000,000	2013/12/19	2014/09/29	20.00
11655	SGA Societe Generale Acceptance N.V. - Anhui Conch Eu Wt Jun14A	100,000,000	2013/12/19	2014/06/09	15.00
11656	SGA Societe Generale Acceptance N.V. - BOCL Eu Wt Sep 2014 A	100,000,000	2013/12/19	2014/09/03	21.50
11658	SGA Societe Generale Acceptance N.V. - CITIC Sec Eu Wt Aug14A	100,000,000	2013/12/19	2014/08/04	16.50
11665	SGA Societe Generale Acceptance N.V. - CP&CC Eu Wt Aug 2014B	70,000,000	2013/12/19	2014/08/04	24.15
11657	SGA Societe Generale Acceptance NV - Ch Ship Cont Eu Wt Sep14A	60,000,000	2013/12/19	2014/09/03	11.70
11692	SGA Societe Generale Acceptance N.V. - ChiUnicom Eu Wt Nov14 A	150,000,000	2013/12/19	2014/11/05	22.50
11659	SGA Societe Generale Acceptance N.V. - Esprit Eu Wt Jul 14 A	150,000,000	2013/12/19	2014/07/02	22.50
11660	SGA Societe Generale Acceptance N.V. - Evergrande Eu Wt Jul14A	50,000,000	2013/12/19	2014/07/02	15.75
11661	SGA Societe Generale Acceptance N.V. - Geely Auto Eu Wt Aug14A	60,000,000	2013/12/19	2014/08/04	16.80
11654	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt May 14 B	300,000,000	2013/12/19	2014/05/29	45.00
11662	SGA Societe Generale Acceptance N.V. - Haitong Sec Eu Wt Oct14A	100,000,000	2013/12/19	2014/10/27	15.00
11695	SGA Societe Generale Acceptance N.V. - HWL Eur Wt Jul 2014 C	70,000,000	2013/12/19	2014/07/02	10.50
11696	SGA Societe Generale Acceptance N.V. - Li & Fung Eu Wt Apr15 A	100,000,000	2013/12/19	2015/04/08	15.00
11664	SGA Societe Generale Acceptance N.V. - Ping An Eu Wt Jul 14 F	100,000,000	2013/12/19	2014/07/02	23.00
11666	SGA Societe Generale Acceptance N.V. - SHK P Eu Wt Jul 2014A	300,000,000	2013/12/19	2014/07/02	45.00
11667	SGA Societe Generale Acceptance N.V. - Tencent Eu Put Wt Jul14F	150,000,000	2013/12/19	2014/07/02	65.25
11699	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt May14A	45,000,000	2013/12/19	2014/05/07	10.13
11697	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Jul14A	30,000,000	2013/12/19	2014/07/02	21.90

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		發行單位	上市日期	到期日	
11698	SGA Societe Generale Acceptance NV - Yanzhou Coal Eu Wt Jul14B	30,000,000	2013/12/19	2014/07/02	13.65
27558 #	Goldman Sachs SP (Asia) - HSI European Warrants Feb 2014 D	200,000,000	2013/12/19	2014/02/27	10.40
28004 #	Macquarie Bank Ltd. - China Mobile European Wts May 2014 A	150,000,000	2013/12/19	2014/05/05	12.60
11745	Credit Suisse AG - CNBM European Warrants May 2014 A	70,000,000	2013/12/20	2014/05/19	26.60
11736	Credit Suisse AG - CNOOC European Warrants Apr 2014 A	70,000,000	2013/12/20	2014/04/28	17.50
11735	Credit Suisse AG - CSOP A50 ETF European Warrants Apr 2014 A	80,000,000	2013/12/20	2014/04/28	15.20
11734	Credit Suisse AG - CSOP A50 ETF European Warrants May 2014 A	80,000,000	2013/12/20	2014/05/30	14.40
11732	Credit Suisse AG - A50 China European Warrants May 2014 A	80,000,000	2013/12/20	2014/05/26	18.40
11733	Credit Suisse AG - A50 China European Warrants Jun 2014 A	80,000,000	2013/12/20	2014/06/03	12.00
11746	Credit Suisse AG - GCL-Poly Energy European Wts Jun 2014 A	60,000,000	2013/12/20	2014/06/10	11.40
11738	Credit Suisse AG - Galaxy Ent European Warrants Jun 2014 A	80,000,000	2013/12/20	2014/06/23	42.40
11740	Credit Suisse AG - Galaxy Ent European Warrants Jun 2014 B	80,000,000	2013/12/20	2014/06/30	28.00
11741	Credit Suisse AG - Galaxy Ent European Put Wts Apr 2014 F	70,000,000	2013/12/20	2014/04/28	10.50
11748	Credit Suisse AG - Greatwall Motor European Wts May 2014 A	60,000,000	2013/12/20	2014/05/30	18.60
11750	Credit Suisse AG - Greatwall Motor European Wts Jun 2014 A	60,000,000	2013/12/20	2014/06/30	10.80
11757	Credit Suisse AG - HSI European Warrants Aug 2014 A	200,000,000	2013/12/20	2014/08/28	30.00
11758	Credit Suisse AG - HSI European Warrants Sep 2014 B	200,000,000	2013/12/20	2014/09/29	30.00
11755	Credit Suisse AG - HSI European Put Warrants May 2014 A	200,000,000	2013/12/20	2014/05/29	30.00
11756	Credit Suisse AG - HSI European Put Warrants May 2014 B	200,000,000	2013/12/20	2014/05/29	30.00
11754	Credit Suisse AG - HSI European Put Warrants Jun 2014 B	200,000,000	2013/12/20	2014/06/27	30.00
11743	Credit Suisse AG - Sands China European Warrants Jun 2014 A	70,000,000	2013/12/20	2014/06/23	23.10
11752	Credit Suisse AG - SJM Holdings European Warrants Aug 2014 A	70,000,000	2013/12/20	2014/08/25	11.20
11768	Citigroup Global Mkt H Inc. - China Coal Euro Wts Jun 2014 A	40,000,000	2013/12/20	2014/06/23	10.00
11769	Citigroup Global Mkt H Inc. - Cheung Kong Euro Wt Apr 2014 A	50,000,000	2013/12/20	2014/04/24	11.00
11762	Citigroup Global Mkt H Inc. - CNBM Euro Warrants Apr 2014 B	40,000,000	2013/12/20	2014/04/28	28.80
11763	Citigroup Global Mkt H Inc. - MGM China Euro Wts May 2014 A	50,000,000	2013/12/20	2014/05/27	17.50
11767	Citigroup Global Mkt H Inc. - Ping An Euro Wts May 2014 E	100,000,000	2013/12/20	2014/05/21	25.00
11766	Citigroup Global Mkt H Inc. - Ping An Euro Put Wt May 2014 D	80,000,000	2013/12/20	2014/05/26	15.60
11770	Citigroup Global Mkt H Inc. - SHK Ppt Euro Wts Jun 2014 B	100,000,000	2013/12/20	2014/06/23	15.00
11765	Citigroup Global Mkt H Inc. - Tencent European Wts Apr 2014 C	100,000,000	2013/12/20	2014/04/28	17.00
11761	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Jun 2014 A	80,000,000	2013/12/20	2014/06/19	28.00
11764	Citigroup Global Mkt H Inc. - Tencent Euro Put Wt Jun 2014 B	80,000,000	2013/12/20	2014/06/23	12.00
11722	HK Bank - Ch Comm Cons European Warrants Jul 2014 A	60,000,000	2013/12/20	2014/07/31	15.00
11719	HK Bank - China Mobile European Warrants Jun 2014 B	80,000,000	2013/12/20	2014/06/30	20.00
11717	HK Bank - China Mobile European Warrants Jul 2014 B	100,000,000	2013/12/20	2014/07/28	19.00
11716	HK Bank - China Mobile European Warrants Aug 2014 A	100,000,000	2013/12/20	2014/08/25	15.00
11723	HK Bank - HSI European Put Warrants Jun 2014 D	150,000,000	2013/12/20	2014/06/27	42.00
11720	HK Bank - ICBC European Warrants May 2014 A	80,000,000	2013/12/20	2014/05/02	12.00
11721	HK Bank - Lenovo European Warrants Jun 2014 A	60,000,000	2013/12/20	2014/06/30	18.00
11725	HK Bank - PICC Group European Warrants Jul 2014 A	60,000,000	2013/12/20	2014/07/31	15.00
11726	HK Bank - Wharf European Warrants Jun 2014 A	60,000,000	2013/12/20	2014/06/30	15.00
11724	HK Bank - Yanzhou Coal European Warrants Jul 2014 A	60,000,000	2013/12/20	2014/07/31	24.00
11730	Macquarie Bank Ltd. - Ch LongYuan European Wts Jul 2015 A	80,000,000	2013/12/20	2015/07/03	20.00
11753	Macquarie Bank Ltd. - China Mobile European Wts Jul 2014 A	120,000,000	2013/12/20	2014/07/03	30.00

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11715	Macquarie Bank Ltd. - Shougang Res European Wts Nov 2014 A	40,000,000	2013/12/20	2014/11/03	10.00
11702	Nomura Int'l plc - BJ Ent Water European Warrants Jun 2014 A	50,000,000	2013/12/20	2014/06/30	14.45
11701	Nomura Int'l plc - China EB Int'l European Wts Oct 2015 A	50,000,000	2013/12/20	2015/10/28	12.60
11703	Nomura Int'l plc - Haier Elec European Warrants Aug 2014 A	80,000,000	2013/12/20	2014/08/18	20.08
11700	Nomura Int'l plc - Hutchison European Put Warrants Jun 2014B	50,000,000	2013/12/20	2014/06/30	12.55
11727	Nomura Int'l plc - Xinyi Glass European Warrants Nov 2016 A	150,000,000	2013/12/20	2016/11/28	37.50
11712	Standard Chartered Bank - BOC HK European Wts Aug 2014 A	100,000,000	2013/12/20	2014/08/04	15.00
11714	Standard Chartered Bank - China Mobile Euro Wts Apr 2014 E	100,000,000	2013/12/20	2014/04/30	18.00
11713	Standard Chartered Bank - Chi Res Power Euro Wts Jun 2014 A	100,000,000	2013/12/20	2014/06/03	15.00
11711	Standard Chartered Bank - Jiangxi Copper Euro Wts Oct 2014 A	100,000,000	2013/12/20	2014/10/31	15.00
11707	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Jul14A	30,000,000	2013/12/20	2014/07/02	11.85
11706	SGA Societe Generale Acceptance NV - CSOP A50 ETF Eu Wt Oct15A	300,000,000	2013/12/20	2015/10/05	46.50
11709	SGA Societe Generale Acceptance N.V. - Evergrande Eu Wt Jul14 B	50,000,000	2013/12/20	2014/07/02	17.25
11708	SGA Societe Generale Acceptance N.V. - A50 Ch Eu Wt Jan 2016A	300,000,000	2013/12/20	2016/01/06	45.00
11728	SGA Societe Generale Acceptance N.V. - Stanchart Eu Wt Apr15A	100,000,000	2013/12/20	2015/04/08	15.00
11729	SGA Societe Generale Acceptance NV - Stanchart Eu Put WtSep14A	100,000,000	2013/12/20	2014/09/03	25.00
11760	UBS AG - Stanchart European Warrants Jun 2015 A	100,000,000	2013/12/20	2015/06/25	15.00
21656 #	Standard Chartered Bank - HKEx European Warrants Jul 2014 A	150,000,000	2013/12/20	2014/07/03	10.80
22964 #	J P Morgan SP BV - CNOOC European Warrants Jul 2014 A	350,000,000	2013/12/20	2014/07/02	10.15
11774	BOCI Asia Ltd. - AIA European Warrants May 2014 A	100,000,000	2013/12/23	2014/05/07	15.00
11777	BOCI Asia Ltd. - Galaxy Ent European Warrants Jun 2014 A	100,000,000	2013/12/23	2014/06/03	27.00
11776	BOCI Asia Ltd. - Galaxy Ent European Put Warrants May 2014 A	100,000,000	2013/12/23	2014/05/02	19.00
11803	Credit Suisse AG - CC Bank European Warrants Apr 2014 C	80,000,000	2013/12/23	2014/04/28	12.00
11805	Credit Suisse AG - CC Bank European Warrants May 2014 C	80,000,000	2013/12/23	2014/05/26	17.60
11804	Credit Suisse AG - CC Bank European Warrants Jul 2014 B	80,000,000	2013/12/23	2014/07/28	12.00
11810	Credit Suisse AG - CNBM European Warrants Jun 2016 A	100,000,000	2013/12/23	2016/06/30	21.00
11806	Credit Suisse AG - Ch Overseas European Warrants Jul 2014 A	70,000,000	2013/12/23	2014/07/28	12.60
11807	Credit Suisse AG - Ch Overseas European Warrants Dec 2014 A	70,000,000	2013/12/23	2014/12/15	10.50
11802	Credit Suisse AG - CSOP A50 ETF European Warrants Sep 2015 A	100,000,000	2013/12/23	2015/09/29	15.00
11812	Credit Suisse AG - Galaxy Ent European Put Wts Apr 2014 G	70,000,000	2013/12/23	2014/04/22	10.50
11801	Credit Suisse AG - HSI European Warrants May 2014 C	200,000,000	2013/12/23	2014/05/29	32.00
11800	Credit Suisse AG - HSI European Warrants Jul 2014 A	200,000,000	2013/12/23	2014/07/30	30.00
11814	Credit Suisse AG - Hutchison European Warrants Jun 2014 C	70,000,000	2013/12/23	2014/06/23	33.60
11813	Credit Suisse AG - Kingsoft European Warrants Jun 2014 A	70,000,000	2013/12/23	2014/06/23	18.20
11816	Credit Suisse AG - Sands China European Warrants Jun 2014 B	70,000,000	2013/12/23	2014/06/23	17.50
11808	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 E	100,000,000	2013/12/23	2014/06/23	30.00
11788	HK Bank - Minsheng Bank European Warrants Apr 2016 A	150,000,000	2013/12/23	2016/04/21	25.50
11790	HK Bank - A50 China European Warrants Jun 2014 C	60,000,000	2013/12/23	2014/06/30	22.20
11789	HK Bank - HSCEI European Put Warrants Aug 2014 A	150,000,000	2013/12/23	2014/08/28	37.50
11817	J P Morgan SP BV - ENN Energy European Warrants Jun 2014 A	70,000,000	2013/12/23	2014/06/24	20.93
11787	J P Morgan SP BV - Galaxy Ent European Put Wts Jul 2014 B	150,000,000	2013/12/23	2014/07/03	47.55
11781	J P Morgan SP BV - HSI European Put Warrants Jun 2014 C	300,000,000	2013/12/23	2014/06/27	75.00
11784	J P Morgan SP BV - HSI European Put Warrants Jun 2014 D	300,000,000	2013/12/23	2014/06/27	75.00
11779	J P Morgan SP BV - Wynn Macau European Warrants Jul 2014 A	150,000,000	2013/12/23	2014/07/03	37.50

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11818	Macquarie Bank Ltd. - Galaxy Ent European Wts Jul 2014 A	40,000,000	2013/12/23	2014/07/03	10.04
11772	Macquarie Bank Ltd. - Tencent European Warrants Jul 2014 C	200,000,000	2013/12/23	2014/07/03	50.00
11773	Macquarie Bank Ltd. - Xinyi Glass European Wts Aug 2014 A	35,000,000	2013/12/23	2014/08/04	10.08
11791	Standard Chartered Bank - Galaxy Ent European Wts Aug 2014 B	100,000,000	2013/12/23	2014/08/29	40.00
11792	Standard Chartered Bank - Galaxy Ent European Wts Oct 2014 A	100,000,000	2013/12/23	2014/10/08	32.00
11778	SGA Societe Generale Acceptance N.V. - Stanchart Eu Wt Jul15A	100,000,000	2013/12/23	2015/07/06	25.00
11797	UBS AG - Anhui Conch European Warrants Jun 2014 A	70,000,000	2013/12/23	2014/06/30	21.00
11793	UBS AG - CITIC Sec European Warrants Jul 2014 A	80,000,000	2013/12/23	2014/07/21	21.60
11796	UBS AG - Cheung Kong European Warrants Apr 2014 B	100,000,000	2013/12/23	2014/04/24	24.00
11799	UBS AG - Galaxy Ent European Warrants Jun 2014 B	40,000,000	2013/12/23	2014/06/23	11.20
11798	UBS AG - Galaxy Ent European Put Warrants Jun 2014 A	40,000,000	2013/12/23	2014/06/23	17.20
11794	UBS AG - Li & Fung European Warrants Mar 2015 A	100,000,000	2013/12/23	2015/03/26	15.00
11795	UBS AG - Lenovo European Warrants May 2014 B	50,000,000	2013/12/23	2014/05/26	21.50
11832	BOCI Asia Ltd. - ICBC European Warrants Jul 2014 A	100,000,000	2013/12/24	2014/07/08	15.00
11831	BOCI Asia Ltd. - Sands China European Warrants Oct 2014 A	100,000,000	2013/12/24	2014/10/03	32.00
11836	BOCI Asia Ltd. - Tencent Holdings European Put Wts May 2014 A	100,000,000	2013/12/24	2014/05/12	20.00
11887	Credit Suisse AG - Anhui Conch European Warrants Sep 2014 A	70,000,000	2013/12/24	2014/09/22	12.60
11889	Credit Suisse AG - Brilliance Chi European Warrants Dec 14 A	70,000,000	2013/12/24	2014/12/22	13.30
11888	Credit Suisse AG - Brilliance Chi European Warrants Mar 15 A	70,000,000	2013/12/24	2015/03/30	10.50
11885	Credit Suisse AG - CNOOC European Warrants Dec 2014 A	70,000,000	2013/12/24	2014/12/29	10.50
11886	Credit Suisse AG - CNOOC European Warrants Dec 2015 A	80,000,000	2013/12/24	2015/12/30	12.00
11891	Credit Suisse AG - Galaxy Ent European Warrants Jun 2014 C	80,000,000	2013/12/24	2014/06/23	20.00
11892	Credit Suisse AG - Galaxy Ent European Put Wts Jun 2014 D	80,000,000	2013/12/24	2014/06/23	28.00
11893	Credit Suisse AG - HSBC European Put Warrants Jun 2014 C	80,000,000	2013/12/24	2014/06/23	20.00
11876	Credit Suisse AG - HSI European Put Warrants Apr 2014 D	200,000,000	2013/12/24	2014/04/29	36.00
11878	Credit Suisse AG - HSI European Put Warrants Apr 2014 E	200,000,000	2013/12/24	2014/04/29	36.00
11877	Credit Suisse AG - HSI European Put Warrants May 2014 D	200,000,000	2013/12/24	2014/05/29	50.00
11895	Credit Suisse AG - PICC Group European Warrants Jul 2014 A	70,000,000	2013/12/24	2014/07/28	17.50
11881	Credit Suisse AG - SJM Holdings European Warrants Aug 2014 B	70,000,000	2013/12/24	2014/08/29	15.40
11896	Credit Suisse AG - Xinyi Glass European Warrants Jun 2014 A	70,000,000	2013/12/24	2014/06/23	27.30
11890	Credit Suisse AG - Yurun Food European Warrants Jun 2014 A	70,000,000	2013/12/24	2014/06/10	15.40
11884	Credit Suisse AG - ZTE European Warrants Jul 2014 A	70,000,000	2013/12/24	2014/07/28	11.20
11894	Credit Suisse AG - ZTE European Warrants Jul 2014 B	70,000,000	2013/12/24	2014/07/28	17.50
11882	Credit Suisse AG - ZTE European Put Warrants Jun 2014 B	60,000,000	2013/12/24	2014/06/30	12.00
11844	Citigroup Global Mkt H Inc. - CC Bank European Wt Jul 2014 B	100,000,000	2013/12/24	2014/07/28	15.00
11839	Citigroup Global Mkt H Inc. - China Life Euro Wts Sep 2014 B	150,000,000	2013/12/24	2014/09/25	22.50
11838	Citigroup Global Mkt H Inc. - China Mobile Eur Wt May 2014 A	100,000,000	2013/12/24	2014/05/27	36.00
11837	Citigroup Global Mkt H Inc. - Galaxy Ent Euro Wts Jun 2014 A	60,000,000	2013/12/24	2014/06/27	24.00
11845	Citigroup Global Mkt H Inc. - HSBC European Wts May 2014 C	100,000,000	2013/12/24	2014/05/27	15.00
11842	Citigroup Global Mkt H Inc. - HSBC Euro Put Wts May 2014 B	100,000,000	2013/12/24	2014/05/27	46.00
11840	Citigroup Global Mkt H Inc. - Hutchison Euro Wts Jun 2014 A	50,000,000	2013/12/24	2014/06/13	12.50
11843	Citigroup Global Mkt H Inc. - ICBC European Wts Jun 2014 A	100,000,000	2013/12/24	2014/06/25	15.00
11849	Citigroup Global Mkt H Inc. - MGM China Euro Wts May 2014 B	80,000,000	2013/12/24	2014/05/29	15.20
11846	Citigroup Global Mkt H Inc. - Sands China Euro Wt Apr 2014 E	60,000,000	2013/12/24	2014/04/22	14.10

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11820	Daiwa Capital Mkt - AIA European Warrants Jun 2014 A	128,000,000	2013/12/24	2014/06/03	19.20
11853	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2014 A	80,000,000	2013/12/24	2014/06/06	15.60
11854	Goldman Sachs SP (Asia) - China Coal European Wts May 2014 A	80,000,000	2013/12/24	2014/05/02	15.52
11855	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2014 C	80,000,000	2013/12/24	2014/06/27	29.12
11850	HK Bank - Evergrande European Warrants Jul 2014 A	60,000,000	2013/12/24	2014/07/31	15.00
11851	HK Bank - Galaxy Ent European Warrants Jun 2014 B	60,000,000	2013/12/24	2014/06/30	18.00
11852	HK Bank - HKEx European Put Warrants Sep 2015 A	150,000,000	2013/12/24	2015/09/22	30.00
11823	Macquarie Bank Ltd. - Anhui Conch European Wts Jul 2014 A	40,000,000	2013/12/24	2014/07/03	10.00
11824	Macquarie Bank Ltd. - Jiangxi Copper European Wts Nov 2014 A	80,000,000	2013/12/24	2014/11/04	20.00
11828	Standard Chartered Bank - China Life European Wts Jul 2014 A	150,000,000	2013/12/24	2014/07/30	25.50
11829	Standard Chartered Bank - CNOOC European Warrants Jun 2014 A	50,000,000	2013/12/24	2014/06/30	12.50
11830	Standard Chartered Bank - HSBC European Warrants Apr 2014 B	100,000,000	2013/12/24	2014/04/15	20.00
11856	SGA Societe Generale Acceptance N.V. - COVS Eu Wts Aug 2014 A	100,000,000	2013/12/24	2014/08/04	18.00
11857	SGA Societe Generale Acceptance N.V. - Galaxy Ent Eu Wt Jul14B	100,000,000	2013/12/24	2014/07/02	25.00
11858	SGA Societe Generale Acceptance N.V. - Kingsoft Eu Wt Jul14A	60,000,000	2013/12/24	2014/07/02	15.00
11859	SGA Societe Generale Acceptance N.V. - Petch Eu Wt Jul 2014A	60,000,000	2013/12/24	2014/07/02	13.50
11875	UBS AG - Cheung Kong European Warrants Jun 2014 A	40,000,000	2013/12/24	2014/06/23	20.00
11873	UBS AG - Cheung Kong European Warrants Mar 2017 A	100,000,000	2013/12/24	2017/03/28	17.00
11860	UBS AG - China Overseas European Warrants Jul 2014 A	100,000,000	2013/12/24	2014/07/28	20.00
11862	UBS AG - Sinopec Corp European Warrants Jul 2014 A	80,000,000	2013/12/24	2014/07/14	24.00
11866	UBS AG - Geely Auto European Warrants Jun 2014 A	60,000,000	2013/12/24	2014/06/23	15.00
11867	UBS AG - Galaxy Ent European Warrants Jun 2014 C	40,000,000	2013/12/24	2014/06/30	24.00
11861	UBS AG - HKEx European Warrants Jun 2014 C	100,000,000	2013/12/24	2014/06/11	44.00
11872	UBS AG - HSBC European Warrants Jun 2014 B	100,000,000	2013/12/24	2014/06/23	25.00
11871	UBS AG - HSBC European Warrants Aug 2014 A	100,000,000	2013/12/24	2014/08/01	15.00
11869	UBS AG - Hutchison European Warrants Jun 2014 B	100,000,000	2013/12/24	2014/06/19	28.00
11870	UBS AG - Hutchison European Warrants Jun 2014 C	100,000,000	2013/12/24	2014/06/27	70.00
11865	UBS AG - Jiangxi Copper European Warrants Nov 2014 A	50,000,000	2013/12/24	2014/11/10	12.50
11868	UBS AG - SHK Ppt European Warrants Jun 2014 B	100,000,000	2013/12/24	2014/06/25	15.00
11948	Credit Suisse AG - AIA European Put Warrants Jun 2014 C	70,000,000	2013/12/27	2014/06/03	16.80
11947	Credit Suisse AG - China Coal European Put Warrants Jun 14 B	70,000,000	2013/12/27	2014/06/23	18.20
11949	Credit Suisse AG - CITIC Bank European Warrants Jun 2014 A	70,000,000	2013/12/27	2014/06/16	14.00
11955	Credit Suisse - Cheung Kong European Put Warrants Jun 2014 A	70,000,000	2013/12/27	2014/06/30	23.10
11939	Credit Suisse AG - China Life European Warrants Aug 2014 B	70,000,000	2013/12/27	2014/08/01	18.90
11943	Credit Suisse AG - Minsheng Bank European Wts Mar 2016 A	70,000,000	2013/12/27	2016/03/01	19.60
11946	Credit Suisse AG - Ch Overseas European Put Warrants Jun 14B	70,000,000	2013/12/27	2014/06/30	11.20
11950	Credit Suisse AG - Sinopec Corp European Warrants Jul 2014 A	70,000,000	2013/12/27	2014/07/14	17.50
11941	Credit Suisse AG - CSR European Warrants Dec 2014 B	70,000,000	2013/12/27	2014/12/22	10.50
11954	Credit Suisse AG - Esprit European Warrants Oct 2014 B	70,000,000	2013/12/27	2014/10/27	17.50
11952	Credit Suisse AG - Evergrande European Warrants Jul 2014 A	70,000,000	2013/12/27	2014/07/07	17.50
11944	Credit Suisse AG - GAC European Warrants Feb 2015 A	70,000,000	2013/12/27	2015/02/23	11.20
11940	Credit Suisse AG - Geely Auto European Warrants Jul 2014 A	70,000,000	2013/12/27	2014/07/28	18.20
11945	Credit Suisse AG - Sands China European Put Wts Jun 2014 C	70,000,000	2013/12/27	2014/06/23	14.70
11942	Credit Suisse AG - Wynn Macau European Warrants Apr 2014 B	70,000,000	2013/12/27	2014/04/28	12.60

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11937	Citigroup Global Mkt H Inc. - HSI European Wts May 2014 A	150,000,000	2013/12/27	2014/05/29	24.75
11938	Citigroup Global Mkt H Inc. - HSI European Put Wt Apr 2014 B	150,000,000	2013/12/27	2014/04/29	26.25
11968	Goldman Sachs SP (Asia) - CC Bank European Wts Jun 2014 B	80,000,000	2013/12/27	2014/06/30	20.80
11967	Goldman Sachs SP (Asia) - China Life Euro Wts Jul 2014 A	80,000,000	2013/12/27	2014/07/02	16.88
11964	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2014 A	80,000,000	2013/12/27	2014/06/30	20.56
11965	Goldman Sachs SP (Asia) - HSBC European Warrants Jul 2014 A	80,000,000	2013/12/27	2014/07/30	20.00
11966	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2014 D	80,000,000	2013/12/27	2014/06/30	22.72
11911	HK Bank - Sinopec Corp European Warrants Jul 2014 A	80,000,000	2013/12/27	2014/07/31	20.80
11915	HK Bank - Foxconn European Warrants Jul 2014 A	50,000,000	2013/12/27	2014/07/31	20.50
11914	HK Bank - Geely Auto European Warrants Jun 2014 A	60,000,000	2013/12/27	2014/06/30	16.20
11909	HK Bank - HSI European Warrants Jun 2014 E	150,000,000	2013/12/27	2014/06/27	37.50
11910	HK Bank - HSI European Warrants Jun 2014 F	150,000,000	2013/12/27	2014/06/27	37.50
11919	HK Bank - HSI European Put Warrants Jun 2014 G	150,000,000	2013/12/27	2014/06/27	37.50
11918	HK Bank - KECL European Warrants Jun 2015 A	60,000,000	2013/12/27	2015/06/30	15.00
11913	HK Bank - Li & Fung European Warrants Apr 2015 A	100,000,000	2013/12/27	2015/04/09	15.00
11916	HK Bank - Ping An European Warrants Jun 2014 B	60,000,000	2013/12/27	2014/06/30	22.80
11912	HK Bank - Stanchart European Warrants Jun 2015 A	100,000,000	2013/12/27	2015/06/30	15.00
11969	J P Morgan SP BV - Anton Oilfield European Wts Jun 2014 A	40,000,000	2013/12/27	2014/06/27	14.32
11897	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2014 A	150,000,000	2013/12/27	2014/06/27	37.50
11970	J P Morgan SP BV - Zijin Mining European Warrants Jul 2014 A	70,000,000	2013/12/27	2014/07/28	10.64
11961	Macquarie Bank Ltd. - BOCHK European Warrants Dec 2014 A	80,000,000	2013/12/27	2014/12/30	12.00
11904	Macquarie Bank Ltd. - China EB Int'l European Wts Mar 2015 A	70,000,000	2013/12/27	2015/03/23	15.19
11962	Macquarie Bank Ltd. - Cheung Kong Euro Put Wts Jul 2014 A	80,000,000	2013/12/27	2014/07/03	20.00
11963	Macquarie Bank Ltd. - CNOOC European Warrants Aug 2016 A	100,000,000	2013/12/27	2016/08/02	25.00
11905	Macquarie Bank Ltd. - ENN Energy European Warrants Jul 2014A	40,000,000	2013/12/27	2014/07/18	11.32
11900	Nomura Int'l plc - China Mer Hold European Wts Nov 2014 A	50,000,000	2013/12/27	2014/11/18	12.70
11903	Nomura Int'l plc - CSR European Warrants Jun 2014 A	50,000,000	2013/12/27	2014/06/30	12.80
11902	Nomura Int'l plc - Henganintl European Warrants Mar 2014 A	80,000,000	2013/12/27	2014/03/31	12.40
11901	Nomura Int'l plc - SMIC European Warrants Dec 2015 A	50,000,000	2013/12/27	2015/12/29	12.50
11899	Nomura Int'l plc - Wharf European Warrants Sep 2014 A	80,000,000	2013/12/27	2014/09/25	12.48
11906	Standard Chartered Bank - Galaxy Ent Euro Put Wts Jun 2014 C	80,000,000	2013/12/27	2014/06/26	20.00
11908	SGA Societe Generale Acceptance N.V. - CNBM Eu Wt Jul 2014 A	30,000,000	2013/12/27	2014/07/02	12.00
11920	SGA Societe Generale Acceptance NV - Ch Ship Cont Eu Wt Jul14A	60,000,000	2013/12/27	2014/07/02	10.20
11921	SGA Societe Generale Acceptance N.V. - GCL-Poly Eu Wt Jul14B	60,000,000	2013/12/27	2014/07/02	19.20
11922	SGA Societe Generale Acceptance N.V. - Greatwall Eu Wt Jul14A	60,000,000	2013/12/27	2014/07/02	25.50
11956	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jun 14 B	300,000,000	2013/12/27	2014/06/27	76.50
11960	SGA Societe Generale Acceptance N.V. - HSI Eu Put Wt Jun 14 C	300,000,000	2013/12/27	2014/06/27	79.50
11924	UBS AG - CC Bank European Warrants Jul 2014 C	100,000,000	2013/12/27	2014/07/28	15.00
11925	UBS AG - CC Bank European Warrants Aug 2014 A	100,000,000	2013/12/27	2014/08/08	25.00
11923	UBS AG - China Mobile European Warrants Jun 2014 A	100,000,000	2013/12/27	2014/06/26	40.00
11926	UBS AG - CNOOC European Warrants Nov 2015 A	100,000,000	2013/12/27	2015/11/23	15.00
11932	UBS AG - China Tel European Warrants Jul 2014 A	70,000,000	2013/12/27	2014/07/28	10.50
11933	UBS AG - China Tel European Warrants Jul 2014 B	70,000,000	2013/12/27	2014/07/09	17.50
11931	UBS AG - China Unicom European Warrants Oct 2014 A	100,000,000	2013/12/27	2014/10/23	15.00

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股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
11930	UBS AG - Link REIT European Warrants Jul 2014 A	100,000,000	2013/12/27	2014/07/10	17.00
10266	UBS AG - Nikkei 225 European Warrants Jun 2014 F	100,000,000	2013/12/27	2014/06/13	23.00
10268	UBS AG - Nikkei 225 European Warrants Sep 2014 B	100,000,000	2013/12/27	2014/09/12	25.00
10270	UBS AG - Nikkei 225 European Warrants Sep 2014 C	100,000,000	2013/12/27	2014/09/12	25.00
10264	UBS AG - Nikkei 225 European Warrants Dec 2014 A	100,000,000	2013/12/27	2014/12/12	25.00
10265	UBS AG - Nikkei 225 European Put Warrants Jun 2014 E	100,000,000	2013/12/27	2014/06/13	22.80
10267	UBS AG - Nikkei 225 European Put Warrants Sep 2014 A	100,000,000	2013/12/27	2014/09/12	25.00
10269	UBS AG - Nikkei 225 European Put Warrants Dec 2014 B	100,000,000	2013/12/27	2014/12/12	25.00
11929	UBS AG - PetroChina European Warrants Jun 2014 B	70,000,000	2013/12/27	2014/06/26	35.00
11928	UBS AG - SJM Holdings European Warrants Jun 2014 A	80,000,000	2013/12/27	2014/06/30	24.00
11927	UBS AG - SJM Holdings European Warrants Jul 2014 A	80,000,000	2013/12/27	2014/07/09	20.00
11936	UBS AG - Tencent Holdings European Warrants Jun 2014 D	100,000,000	2013/12/27	2014/06/26	35.00
11935	UBS AG - Tencent Holdings European Warrants Jul 2014 A	100,000,000	2013/12/27	2014/07/09	25.00
27962 #	BOCI Asia Ltd. - HSBC European Warrants Apr 2014 B	275,000,000	2013/12/27	2014/04/11	10.18
28034 #	BOCI Asia Ltd. - CC Bank European Warrants Apr 2014 A	140,000,000	2013/12/27	2014/04/29	10.08
28249 #	BOCI Asia Ltd. - AIA European Warrants Jul 2014 A	125,000,000	2013/12/27	2014/07/07	10.00
11985	BOCI Asia Ltd. - CNOOC European Warrants Nov 2014 A	100,000,000	2013/12/30	2014/11/17	15.00
11984	BOCI Asia Ltd. - CNOOC European Put Warrants Oct 2014 A	100,000,000	2013/12/30	2014/10/31	16.00
11983	BOCI Asia Ltd. - PetroChina European Warrants Jun 2014 A	100,000,000	2013/12/30	2014/06/03	18.00
11995	Credit Suisse AG - AIA European Put Warrants Sep 2014 A	70,000,000	2013/12/30	2014/09/29	13.30
11996	Credit Suisse AG - Hutchison European Warrants Jun 2014 D	80,000,000	2013/12/30	2014/06/30	16.00
11987	Credit Suisse AG - Tencent Holdings European Wts Apr 2014 G	100,000,000	2013/12/30	2014/04/28	28.00
11988	Credit Suisse AG - Tencent Holdings European Wts Apr 2014 H	100,000,000	2013/12/30	2014/04/28	33.00
11986	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 F	100,000,000	2013/12/30	2014/06/03	29.00
11989	Credit Suisse AG - Tencent Holdings European Wts Jun 2014 G	100,000,000	2013/12/30	2014/06/23	52.00
11990	Credit Suisse AG - Tencent Holdings European Wts Aug 2014 B	100,000,000	2013/12/30	2014/08/01	66.00
11992	Credit Suisse AG - Tencent Holdings Euro Put Wts May 2014 A	100,000,000	2013/12/30	2014/05/30	15.00
11991	Credit Suisse AG - Tencent Holdings Euro Put Wts Jun 2014 H	100,000,000	2013/12/30	2014/06/09	31.00
11993	Credit Suisse AG - Tencent Holdings Euro Put Wts Jun 2014 I	100,000,000	2013/12/30	2014/06/30	38.00
11978	HK Bank - AIA European Warrants Jun 2014 C	100,000,000	2013/12/30	2014/06/05	17.00
11981	HK Bank - CM Bank European Warrants Dec 2014 A	80,000,000	2013/12/30	2014/12/31	20.00
11982	HK Bank - China Railway European Warrants Aug 2014 A	60,000,000	2013/12/30	2014/08/29	18.00
11974	HK Bank - China Shenhua European Warrants Sep 2014 A	80,000,000	2013/12/30	2014/09/30	20.00
11980	HK Bank - Dongfeng Motor European Warrants Jul 2015 A	80,000,000	2013/12/30	2015/07/31	20.00
11973	HK Bank - Greatwall Motor European Warrants Jun 2014 A	60,000,000	2013/12/30	2014/06/11	15.00
11975	HK Bank - HSI European Warrants Apr 2014 J	150,000,000	2013/12/30	2014/04/29	25.50
11976	HK Bank - HSI European Put Warrants Jun 2014 H	150,000,000	2013/12/30	2014/06/27	30.00
11979	HK Bank - Jiangxi Copper European Warrants Jan 2015 A	80,000,000	2013/12/30	2015/01/28	19.20
11977	HK Bank - Ping An European Warrants Jun 2014 C	80,000,000	2013/12/30	2014/06/23	15.20
12319	J P Morgan SP BV - China Mob European Wts Jul 2014 A	200,000,000	2013/12/30	2014/07/03	50.00
11972	Macquarie Bank Ltd. - China Coal European Wts Oct 2014 A	40,000,000	2013/12/30	2014/10/06	10.00
11971	Macquarie Bank Ltd. - Yanzhou Coal European Wts Jul 2014 A	35,000,000	2013/12/30	2014/07/03	10.50
12316	SGA Societe Generale Acceptance N.V. - Henganintl Eu Wt Jan16A	150,000,000	2013/12/30	2016/01/04	24.00
12315	SGA Societe Generale Acceptance N.V. - HSI Eur Wt Jun 2014 D	300,000,000	2013/12/30	2014/06/27	55.50

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12317	SGA Societe Generale Acceptance N.V. - Wynn Macau Eu Wt Jul14A	50,000,000	2013/12/30	2014/07/02	12.50
12300	UBS AG - Agricultural Bank European Warrants Jul 2014 A	70,000,000	2013/12/30	2014/07/21	10.50
12301	UBS AG - Agricultural Bank European Warrants Jul 2014 B	70,000,000	2013/12/30	2014/07/28	17.50
12000	UBS AG - AIA European Warrants Jun 2014 B	100,000,000	2013/12/30	2014/06/30	25.00
12302	UBS AG - BYD European Warrants Jun 2014 A	80,000,000	2013/12/30	2014/06/30	32.00
12310	UBS AG - China Mobile European Warrants Jul 2014 A	100,000,000	2013/12/30	2014/07/21	15.00
12304	UBS AG - China Shenhua European Warrants Aug 2014 A	80,000,000	2013/12/30	2014/08/29	12.00
12305	UBS AG - China Shenhua European Warrants Aug 2014 B	80,000,000	2013/12/30	2014/08/22	13.20
12311	UBS AG - HSCEI European Warrants Jun 2015 A	100,000,000	2013/12/30	2015/06/29	25.00
12313	UBS AG - HSCEI European Put Warrants May 2014 A	100,000,000	2013/12/30	2014/05/29	18.00
12312	UBS AG - HSCEI European Put Warrants Sep 2014 B	100,000,000	2013/12/30	2014/09/29	25.00
11997	UBS AG - ICBC European Warrants Jul 2014 A	100,000,000	2013/12/30	2014/07/10	15.00
11998	UBS AG - ICBC European Warrants Jul 2014 B	100,000,000	2013/12/30	2014/07/28	23.00
11999	UBS AG - PICC Group European Warrants Jul 2014 A	60,000,000	2013/12/30	2014/07/28	15.00
12303	UBS AG - Wynn Macau European Warrants Jun 2014 A	50,000,000	2013/12/30	2014/06/30	17.50
12306	UBS AG - Yurun Food European Warrants Jun 2014 A	50,000,000	2013/12/30	2014/06/17	11.00
12350	Credit Suisse AG - CSOP A50 ETF European Warrants Aug 2016 A	100,000,000	2013/12/31	2016/08/01	16.00
12351	Credit Suisse AG - A50 China European Put Wts Dec 2014 A	70,000,000	2013/12/31	2014/12/01	13.30
12353	Credit Suisse AG - HKEx European Warrants May 2014 C	80,000,000	2013/12/31	2014/05/30	26.40
12352	Credit Suisse AG - HSBC European Wts Apr 2014 C	80,000,000	2013/12/31	2014/04/14	14.40
12349	Credit Suisse AG - Link REIT European Warrants Sep 2014 A	70,000,000	2013/12/31	2014/09/29	19.60
12354	Credit Suisse AG - PetroChina European Warrants May 2014 B	70,000,000	2013/12/31	2014/05/19	10.50
12355	Credit Suisse AG - Yanzhou Coal European Warrants Apr 2014 A	70,000,000	2013/12/31	2014/04/22	10.50
12326	HK Bank - CAM CSI300 European Warrants May 2014 A	120,000,000	2013/12/31	2014/05/26	21.60
12320	HK Bank - BOC HK European Warrants Apr 2015 A	80,000,000	2013/12/31	2015/04/27	12.00
12324	HK Bank - CSOP A50 ETF European Warrants Jul 2014 A	60,000,000	2013/12/31	2014/07/02	21.00
12325	HK Bank - CSOP A50 ETF European Warrants Jul 2014 B	60,000,000	2013/12/31	2014/07/02	15.00
12322	HK Bank - A50 China European Warrants Dec 2016 A	300,000,000	2013/12/31	2016/12/28	51.00
12321	HK Bank - GCL-Poly Energy European Warrants Jul 2014 A	50,000,000	2013/12/31	2014/07/02	12.50
12366	J P Morgan Int'l Der. Ltd. - CC Bank European Wts Jul 2014 B	200,000,000	2013/12/31	2014/07/08	50.00
12356	J P Morgan SP BV - KECL European Warrants Jul 2015 A	200,000,000	2013/12/31	2015/07/28	30.00
12365	J P Morgan SP BV - Kingsoft European Warrants Jul 2014 A	150,000,000	2013/12/31	2014/07/03	37.50
12348	SGA Societe Generale Acceptance N.V. - AGBK Eu Wt Aug 2014 A	100,000,000	2013/12/31	2014/08/04	25.00
12346	UBS AG - Minsheng Bank European Warrants Jul 2014 A	30,000,000	2013/12/31	2014/07/28	11.40
12338	UBS AG - China National Building European Wts Jun 2014 B	50,000,000	2013/12/31	2014/06/30	12.50
12342	UBS AG - CSOP A50 ETF European Warrants Jul 2014 A	60,000,000	2013/12/31	2014/07/21	31.80
12343	UBS AG - CSOP A50 ETF European Warrants Jul 2014 B	60,000,000	2013/12/31	2014/07/28	18.00
12337	UBS AG - Evergrande European Warrants Jun 2014 A	50,000,000	2013/12/31	2014/06/30	17.50
12336	UBS AG - Evergrande European Warrants Jul 2014 A	50,000,000	2013/12/31	2014/07/09	15.00
12340	UBS AG - A50 China European Warrants May 2014 C	100,000,000	2013/12/31	2014/05/26	15.00
12339	UBS AG - A50 China European Warrants Jun 2014 B	100,000,000	2013/12/31	2014/06/30	40.00
12341	UBS AG - A50 China European Warrants Jul 2014 A	100,000,000	2013/12/31	2014/07/09	25.00
12334	UBS AG - GCL-Poly Energy European Warrants Jun 2014 A	60,000,000	2013/12/31	2014/06/30	21.00
12344	UBS AG - Greatwall Motor European Warrants Jun 2014 B	60,000,000	2013/12/31	2014/06/30	22.20

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股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12332	UBS AG - HSI European Warrants Jul 2014 B	300,000,000	2013/12/31	2014/07/30	45.00
12330	UBS AG - HSI European Warrants Sep 2014 A	300,000,000	2013/12/31	2014/09/29	45.00
12329	UBS AG - HSI European Put Warrants May 2014 B	300,000,000	2013/12/31	2014/05/29	46.50
12327	UBS AG - HSI European Put Warrants Jun 2014 B	300,000,000	2013/12/31	2014/06/27	66.00
12328	UBS AG - HSI European Put Warrants Jun 2014 C	300,000,000	2013/12/31	2014/06/27	48.00
12333	UBS AG - Kingsoft European Warrants Jun 2014 B	100,000,000	2013/12/31	2014/06/30	30.00
12345	UBS AG - Ping An European Warrants Jul 2014 A	100,000,000	2013/12/31	2014/07/09	55.00
12347	UBS AG - Sands China European Warrants Jun 2014 B	40,000,000	2013/12/31	2014/06/30	12.00
總 額					45,648.98

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2012	第4季	—	567.60
2013	第1季	—	585.65
	第2季	—	1,818.61
	第3季	—	1,171.98
	第4季	—	886.67

債券面值

		發行數目	面值(百萬港元)
2012	第4季	269	883,784.51
2013	第1季	299	960,682.29
	第2季	355	1,185,676.77
	第3季	367	1,212,064.53
	第4季	403	1,378,794.08

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2012	第4季	29	80,894.77
2013	第1季	37	113,959.19
	第2季	69	237,646.45
	第3季	16	34,683.76
	第4季	48	190,108.73

主板 債務證券

新上市債券

2013年第4季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬元)
05972	CNOOC Curtis Funding No.1 Pty Ltd. 4.50% 優先票據2023年	USD 1,300,000,000	2013/10/04	2023/10/03	10,140.00
05973	CNOOC Curtis Funding No.1 Pty Ltd. 2.75% 優先票據2020年	EUR 500,000,000	2013/10/04	2020/10/03	5,243.73
05974	禹洲地產股份有限公司8.75% 優先票據2018年	USD 300,000,000	2013/10/07	2018/10/04	2,340.00
05971	China Uranium Development Co. Ltd. 3.50% 有擔保債券2018年	USD 600,000,000	2013/10/09	2018/10/08	4,649.68
05975	Swire Pacific MTN Financing Ltd. 4.50% 有擔保票據2023年	USD 700,000,000	2013/10/10	2023/10/09	5,427.40
05976	中國工商銀行(亞洲)有限公司二級資本後償票據2023年	USD 500,000,000	2013/10/11	2023/10/10	3,896.22
05983	永利澳門有限公司5.25% 優先票據2021年	USD 600,000,000	2013/10/17	2021/10/15	4,680.00
05977	Franshion Brilliant Ltd. 5.375% 有擔保優先票據2018年	USD 300,000,000	2013/10/18	2018/10/17	2,340.00
05978	Sinopec Group Overseas Development (2013) Ltd. 2.50% 優先票據2018年	USD 750,000,000	2013/10/18	2018/10/17	5,815.66
05979	Sinopec Group Overseas Development (2013) Ltd. 4.375% 優先票據2023年	USD 1,500,000,000	2013/10/18	2023/10/17	11,619.51
05980	Sinopec Group Overseas Development (2013) Ltd. 5.375% 優先票據2043年	USD 500,000,000	2013/10/18	2043/10/17	3,875.86
05981	Sinopec Group Overseas Development (2013) Ltd. 2.625% 優先票據2020年	EUR 550,000,000	2013/10/18	2020/10/17	5,727.07
05984	China Taiping New Horizon Ltd. 6.00% 有擔保票據2023年	USD 400,000,000	2013/10/18	2023/10/18	3,120.00
05982	綠地香港控股有限公司4.75% 債券2016年	USD 700,000,000	2013/10/21	2016/10/18	5,441.16
05985	Chalco HK Inv Co. Ltd. 6.625% 優先永久債券	USD 350,000,000	2013/10/30	-	2,730.00
05986	Haitong Int'l Fin Hldgs Ltd. 3.95% 信用增強債券2018年	USD 900,000,000	2013/10/30	2018/10/29	6,990.09
05987	China Overseas Fin (Cayman) III Ltd. 3.375% 有擔保票據2018年	USD 500,000,000	2013/10/30	2018/10/29	3,884.91
05988	China Overseas Fin (Cayman) III Ltd. 5.375% 有擔保票據2023年	USD 500,000,000	2013/10/30	2023/10/29	3,884.21
05989	China Overseas Fin (Cayman) III Ltd. 6.375% 有擔保票據2043年	USD 500,000,000	2013/10/30	2043/10/29	3,880.89
05990	恒大地產集團有限公司8.75% 優先票據2018年	USD 1,000,000,000	2013/10/31	2018/10/30	7,800.00
05991	安東油田服務集團7.50% 優先票據2018年	USD 250,000,000	2013/11/07	2018/11/06	1,950.00
05992	China CITIC Bank Int'l Ltd. 二級資本後償票據2024年	USD 300,000,000	2013/11/08	2024/05/07	2,340.00
04211 #	中華人民共和國香港特別行政區政府0.97% 債券2016年	HKD 3,000,000,000	2013/11/08	2016/12/08	3,015.90
05993	興輝有限公司3.00% 有擔保票據2018年	USD 500,000,000	2013/11/13	2018/11/12	3,877.23
05994	興輝有限公司4.625% 有擔保票據2023年	USD 500,000,000	2013/11/13	2023/11/12	3,849.34
05995	香港按揭證券有限公司浮息票據2014年	GBP 215,000,000	2013/11/13	2014/11/12	2,664.12
05990 #	恒大地產集團有限公司8.75% 優先票據2018年	USD 500,000,000	2013/11/13	2018/10/30	3,900.00
85932	China Development Bank Corporation 浮息人民幣票據2015年	RMB 1,900,000,000	2013/11/14	2015/11/13	2,417.75
85933	China Development Bank Corporation 3.60% 人民幣票據2018年	RMB 1,700,000,000	2013/11/14	2018/11/13	2,163.25
85934	China Development Bank Corporation 4.50% 人民幣票據2028年	RMB 900,000,000	2013/11/14	2028/11/13	1,145.25
04044	香港金融管理局0.30% 外匯基金票據2015年	HKD 1,200,000,000	2013/11/19	2015/11/18	1,200.48
05997	Wanda Properties Overseas Ltd. 4.875% 有擔保債券2018	USD 600,000,000	2013/11/22	2018/11/21	4,630.21
05996	Logo Star Ltd. 1.50% 有擔保可換股債券2018年	HKD 3,875,000,000	2013/11/25	2018/11/22	3,875.00
86613	中華人民共和國財政部2.60% 人民幣債券2016年	RMB 5,000,000,000	2013/11/25	2016/11/22	6,360.50
86614	中華人民共和國財政部3.09% 人民幣債券2018年	RMB 2,000,000,000	2013/11/25	2018/11/22	2,544.20
05999	中國蒙牛乳業有限公司3.50% 債券2018年	USD 500,000,000	2013/11/28	2018/11/27	3,878.79
05998	中國水務地產集團有限公司12.50% 優先票據2016年	HKD 350,000,000	2013/11/29	2016/11/28	345.73
04045	香港金融管理局1.94% 外匯基金票據2023年	HKD 800,000,000	2013/12/03	2023/12/04	791.12
85935	RKI Finance (2013) Ltd. 6.00% 有擔保人民幣優先票據2016年	RMB 2,200,000,000	2013/12/04	2016/12/03	2,798.40
85936	Bestgain Real Estate Lyra Ltd. 4.50% 有擔保人民幣票據2018年	RMB 1,000,000,000	2013/12/05	2018/12/04	1,272.30

主 板 債 務 證 券

股份 代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
06000	Amipeace Ltd. 2.00%有擔保票據2016年	USD	600,000,000	2013/12/09	2016/12/06	4,652.15
06001	中國農業銀行股份有限公司香港分行2.875%票據2018年	USD	500,000,000	2013/12/11	2018/12/11	3,892.63
06002	招商局置地有限公司4.021%信用增強債券2018年	USD	500,000,000	2013/12/12	2018/12/11	3,900.00
85937	遠東宏信有限公司5.45%人民幣票據2016年	RMB	700,000,000	2013/12/12	2016/12/11	893.76
86615	中華人民共和國財政部2.80%人民幣債券2015年	RMB	3,000,000,000	2013/12/12	2015/12/11	3,830.40
06003	CSSC Capital 2013 Ltd. 2.75%信用增強債券2016年	USD	800,000,000	2013/12/13	2016/12/12	6,225.90
06004	Bao-Trans Enterprises Ltd. 3.75%債券2018年	USD	500,000,000	2013/12/13	2018/12/12	3,868.76
85938	Bestgain Real Estate Lyra Ltd. 4.05%有擔保人民幣票據2016年	RMB	1,000,000,000	2013/12/17	2016/12/16	1,276.70
06005	Big Right Investment Ltd. 4.8%有擔保票據2018年	USD	110,000,000	2013/12/19	2018/12/18	858.00
04046	香港金融管理局1.17%外匯基金票據2018年	HKD	1,000,000,000	2013/12/20	2018/12/19	995.50
04022 #	香港金融管理局0.82%外匯基金票據2016年	HKD	1,200,000,000	2013/12/20	2016/12/19	1,209.00
總額						190,108.73

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2012	第4季	213,637.42	22,489.26	51.26	236,177.94	6.93
2013	第1季	309,073.10	22,090.25	84.66	331,248.01	7.57
	第2季	232,045.35	27,829.00	41.10	259,915.45	6.98
	第3季	187,460.35	19,308.78	32.94	206,802.07	5.98
	第4季	174,554.43	13,129.54	37.59	187,721.56	5.17

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (發行數目)	房地產投資 信託基金 (REIT) (發行數目)	其他 (發行數目)	合計 (發行數目)
		(百萬港元)	(百萬港元)	(百萬港元)	(百萬港元)
2012	第4季	100 839,802.08	9 174,124.01	2 1,474.98	111 1,015,401.07
2013	第1季	100 808,762.75	9 188,509.03	2 1,445.61	111 998,717.39
	第2季	110 577,695.30	9 174,766.41	2 1,290.35	121 753,752.05
	第3季	113 592,893.27	10 175,966.45	2 1,319.72	125 770,179.44
	第4季	116 538,892.05	11 177,310.63	2 1,376.37	129 717,579.05

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2012	第4季	4	—
2013	第1季	—	—
	第2季	10	—
	第3季	4	675.50
	第4季	4	1,674.50

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2013年第4季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83120/ 03120	易方達中華交易服務中國120指數ETF	易方達資產管理(香港) 有限公司	n.a.	n.a.	n.a.	n.a.	2013/10/21
83128/ 03128	恒生A股行業龍頭指數ETF	恒生投資管理有限公司	n.a.	n.a.	n.a.	n.a.	2013/11/26
01426	春泉產業信託	春泉資產管理有限公司	3.81	439,500,000	1,674.50	0.156	2013/12/05
82832/ 02832	博時富時中國A50指數ETF	博時基金(國際)有限公司	n.a.	n.a.	n.a.	n.a.	2013/12/09

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2012	第4季	1,214	53,414.12	315,589.20
2013	第1季	1,276	45,190.12	355,912.23
	第2季	1,222	52,676.48	326,008.67
	第3季	1,596	68,940.37	330,779.62
	第4季	1,620	68,388.12	256,527.75

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2012	第4季	1,451	80,018.42
2013	第1季	1,922	100,336.26
	第2季	2,415	132,125.62
	第3季	2,455	137,493.48
	第4季	2,156	112,648.01

主板 可收回牛熊證

新上市牛熊證

2013 年第 4 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63666	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/10/02	2014/08/28	75.00
63667	Credit Suisse AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2013/10/02	2014/09/29	75.00
63669	Credit Suisse AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2013/10/02	2014/09/29	75.00
63680	Credit Suisse AG - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/10/02	2014/01/29	75.00
63686	Credit Suisse AG - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/10/02	2014/01/29	75.00
63689	Credit Suisse AG - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/10/02	2014/01/29	75.00
63706	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 L	120,000,000	2013/10/02	2014/01/29	30.00
63690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 C	150,000,000	2013/10/02	2014/02/27	37.80
63691	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 D	150,000,000	2013/10/02	2014/02/27	37.50
63701	J P Morgan SP BV - HSI R Bull CBBC Jun 2014 G	300,000,000	2013/10/02	2014/06/27	75.00
63700	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 F	300,000,000	2013/10/02	2014/07/30	75.00
63698	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 D	300,000,000	2013/10/02	2014/08/28	75.00
63705	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 I	300,000,000	2013/10/02	2014/02/27	75.00
63703	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 K	300,000,000	2013/10/02	2014/03/28	75.00
63679	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 A	100,000,000	2013/10/02	2014/08/28	25.00
63676	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Feb 14F	200,000,000	2013/10/02	2014/02/27	50.00
63677	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14M	200,000,000	2013/10/02	2014/01/29	50.00
63674	UBS AG - HSI R Bull CBBC Jan 2014 H	350,000,000	2013/10/02	2014/01/29	87.50
63675	UBS AG - HSI R Bull CBBC Jul 2014 R	300,000,000	2013/10/02	2014/07/30	75.00
63694	UBS AG - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/10/02	2014/01/29	75.00
63692	UBS AG - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/10/02	2014/03/28	75.00
63695	UBS AG - HSI R Bear CBBC Mar 2014 S	300,000,000	2013/10/02	2014/03/28	75.00
63708	Credit Suisse AG - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/10/03	2014/01/29	75.00
63724	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 M	120,000,000	2013/10/03	2014/01/29	30.00
63711	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 E	150,000,000	2013/10/03	2014/02/27	38.55
63712	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 F	150,000,000	2013/10/03	2014/02/27	38.10
63718	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 G	150,000,000	2013/10/03	2014/02/27	37.80
63719	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 G	300,000,000	2013/10/03	2014/07/30	75.00
63722	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/10/03	2014/02/27	75.00
63720	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/10/03	2014/03/28	75.00
63710	UBS AG - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/10/03	2014/06/27	75.00
63709	UBS AG - HSI R Bear CBBC Feb 2014 O	300,000,000	2013/10/03	2014/02/27	75.00
63725	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/10/04	2014/08/28	75.00
63751	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/10/04	2014/02/27	75.00
63729	Credit Suisse AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2013/10/04	2014/09/29	75.00
63752	Credit Suisse AG - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/10/04	2014/01/29	75.00
63754	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 A	150,000,000	2013/10/04	2014/02/27	37.50
63755	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 H	300,000,000	2013/10/04	2014/07/30	75.00
63756	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/10/04	2014/02/27	75.00
63726	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 D	100,000,000	2013/10/04	2014/06/27	25.00
63746	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 C	100,000,000	2013/10/04	2014/03/28	25.00
63748	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 D	100,000,000	2013/10/04	2014/03/28	25.00
63749	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 E	100,000,000	2013/10/04	2014/03/28	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63739	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 I	200,000,000	2013/10/04	2014/06/27	50.00
63744	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 J	200,000,000	2013/10/04	2014/06/27	50.00
63745	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 K	200,000,000	2013/10/04	2014/06/27	50.00
63740	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 N	200,000,000	2013/10/04	2014/01/29	50.00
63743	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 O	200,000,000	2013/10/04	2014/01/29	50.00
63737	UBS AG - HSI R Bull CBBC Jan 2014 F	300,000,000	2013/10/04	2014/01/29	75.00
63738	UBS AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/10/04	2014/08/28	75.00
63753	UBS AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/10/04	2014/02/27	75.00
63778	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/10/07	2014/02/27	75.00
63759	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2013/10/07	2014/09/29	75.00
63782	Credit Suisse AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2013/10/07	2014/09/29	75.00
63784	Credit Suisse AG - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/10/07	2014/01/29	75.00
63760	Credit Suisse AG - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/10/07	2014/01/29	75.00
63762	Credit Suisse AG - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/10/07	2014/01/29	75.00
63771	Credit Suisse AG - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/10/07	2014/01/29	75.00
63772	Credit Suisse AG - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/10/07	2014/01/29	75.00
63790	J P Morgan SP BV - HSI R Bull CBBC Jul 2014 I	300,000,000	2013/10/07	2014/07/30	75.00
63791	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 L	300,000,000	2013/10/07	2014/02/27	75.00
63774	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 E	100,000,000	2013/10/07	2014/06/27	25.00
63773	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 A	100,000,000	2013/10/07	2014/04/29	25.00
63786	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 P	200,000,000	2013/10/07	2014/01/29	50.00
63789	UBS AG - China Mobile R Bear CBBC Mar 2014 A	100,000,000	2013/10/07	2014/03/17	25.00
63788	UBS AG - HSI R Bull CBBC Apr 2014 V	350,000,000	2013/10/07	2014/04/29	87.50
63787	UBS AG - HSI R Bull CBBC Jun 2014 B	300,000,000	2013/10/07	2014/06/27	75.00
63763	UBS AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/10/07	2014/02/27	75.00
63766	UBS AG - HSI R Bear CBBC Mar 2014 T	350,000,000	2013/10/07	2014/03/28	87.50
63792	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Q	300,000,000	2013/10/08	2014/08/28	75.00
63837	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/10/08	2014/02/27	75.00
63808	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/10/08	2014/02/27	75.00
63812	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/10/08	2014/02/27	75.00
63813	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/10/08	2014/02/27	75.00
63835	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/10/08	2014/02/27	75.00
63795	Credit Suisse AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2013/10/08	2014/09/29	75.00
63796	Credit Suisse AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2013/10/08	2014/09/29	75.00
63797	Credit Suisse AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2013/10/08	2014/09/29	75.00
63815	Credit Suisse AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2013/10/08	2014/09/29	75.00
63816	Credit Suisse AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2013/10/08	2014/09/29	75.00
63829	Credit Suisse AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/10/08	2014/02/27	75.00
63830	Credit Suisse AG - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/10/08	2014/02/27	75.00
63831	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/10/08	2014/02/27	75.00
63828	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/10/08	2014/02/27	75.00
63853	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 N	120,000,000	2013/10/08	2014/01/29	30.00
63818	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 H	150,000,000	2013/10/08	2014/02/27	38.40
63823	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 I	150,000,000	2013/10/08	2014/02/27	37.50
63847	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 J	150,000,000	2013/10/08	2014/02/27	37.80

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63848	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 K	150,000,000	2013/10/08	2014/02/27	37.65
63841	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/10/08	2014/01/29	75.00
63845	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/10/08	2014/01/29	75.00
63846	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/10/08	2014/01/29	75.00
63794	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 A	100,000,000	2013/10/08	2014/07/30	25.00
63856	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 A	100,000,000	2013/10/08	2014/08/28	25.00
63807	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 W	100,000,000	2013/10/08	2014/02/27	25.00
63854	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 B	100,000,000	2013/10/08	2014/04/29	25.00
63798	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 L	200,000,000	2013/10/08	2014/06/27	50.00
63799	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 M	200,000,000	2013/10/08	2014/06/27	50.00
63802	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 Q	200,000,000	2013/10/08	2014/01/29	50.00
63801	UBS AG - HSI R Bull CBBC Feb 2014 N	300,000,000	2013/10/08	2014/02/27	75.00
63824	UBS AG - HSI R Bull CBBC Feb 2014 P	300,000,000	2013/10/08	2014/02/27	75.00
63800	UBS AG - HSI R Bull CBBC Sep 2014 K	300,000,000	2013/10/08	2014/09/29	75.00
63827	UBS AG - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/10/08	2014/01/29	75.00
63826	UBS AG - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/10/08	2014/01/29	75.00
63825	UBS AG - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/10/08	2014/03/28	75.00
63877	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/10/09	2014/08/28	75.00
63906	Credit Suisse AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/10/09	2014/08/28	75.00
63908	Credit Suisse AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/10/09	2014/08/28	75.00
63860	Credit Suisse AG - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/10/09	2014/01/29	75.00
63863	Credit Suisse AG - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/10/09	2014/01/29	75.00
63865	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/10/09	2014/01/29	75.00
63919	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 C	120,000,000	2013/10/09	2014/01/29	30.00
63888	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 B	150,000,000	2013/10/09	2014/02/27	38.55
63897	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 C	150,000,000	2013/10/09	2014/02/27	38.55
63917	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 E	300,000,000	2013/10/09	2014/08/28	75.00
63915	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 A	300,000,000	2013/10/09	2014/09/29	75.00
63918	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/10/09	2014/02/27	75.00
63886	Standard Chartered Bank - HSI R Bull CBBC Mar 2014 E	100,000,000	2013/10/09	2014/03/28	25.00
63887	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 X	200,000,000	2013/10/09	2014/02/27	50.00
63874	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 N	200,000,000	2013/10/09	2014/06/27	50.00
63857	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 R	200,000,000	2013/10/09	2014/01/29	50.00
63859	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 S	200,000,000	2013/10/09	2014/01/29	50.00
63901	UBS AG - HSI R Bull CBBC Apr 2014 W	300,000,000	2013/10/09	2014/04/29	75.00
63905	UBS AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/10/09	2014/08/28	75.00
63872	UBS AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/10/09	2014/02/27	75.00
63869	UBS AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/10/09	2014/02/27	75.00
63873	UBS AG - HSI R Bear CBBC Apr 2014 N	350,000,000	2013/10/09	2014/04/29	87.50
63900	UBS AG - HSI R Bear CBBC May 2014 A	300,000,000	2013/10/09	2014/05/29	75.00
63899	UBS AG - HSI R Bear CBBC Aug 2014 A	300,000,000	2013/10/09	2014/08/28	75.00
63968	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 S	300,000,000	2013/10/10	2014/08/28	75.00
63969	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Q	300,000,000	2013/10/10	2014/08/28	75.00
63971	Credit Suisse AG - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/10/10	2014/08/28	75.00
63940	Credit Suisse AG - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/10/10	2014/01/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63946	Credit Suisse AG - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/10/10	2014/01/29	75.00
63976	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Feb 2014 A	120,000,000	2013/10/10	2014/02/27	30.00
63928	HK Bank - AIA R Bull CBBC Jun 2014 B	60,000,000	2013/10/10	2014/06/30	15.00
63929	HK Bank - AIA R Bear CBBC Jun 2014 A	50,000,000	2013/10/10	2014/06/23	16.50
63939	HK Bank - China Mobile R Bear CBBC Apr 2014 B	50,000,000	2013/10/10	2014/04/28	12.50
63930	HK Bank - Cheung Kong R Bull CBBC Jun 2014 A	60,000,000	2013/10/10	2014/06/23	15.00
63931	HK Bank - Cheung Kong R Bear CBBC Apr 2014 B	50,000,000	2013/10/10	2014/04/08	12.50
63936	HK Bank - Galaxy Ent R Bear CBBC Oct 2014 A	40,000,000	2013/10/10	2014/10/27	10.00
63937	HK Bank - HKEx R Bear CBBC Jul 2014 A	50,000,000	2013/10/10	2014/07/04	12.50
63924	HK Bank - HSCEI R Bear CBBC May 2014 C	68,000,000	2013/10/10	2014/05/29	17.00
63920	HK Bank - HSI R Bear CBBC Apr 2014 D	100,000,000	2013/10/10	2014/04/29	25.00
63927	HK Bank - Hutchison R Bear CBBC Apr 2014 A	50,000,000	2013/10/10	2014/04/08	12.50
63972	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/10/10	2014/08/28	75.00
63973	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/10/10	2014/09/29	75.00
63990	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 C	200,000,000	2013/10/10	2014/04/29	50.00
63979	UBS AG - Galaxy Ent R Bull CBBC Jul 2014 A	100,000,000	2013/10/10	2014/07/14	25.00
63977	UBS AG - Galaxy Ent R Bear CBBC May 2014 A	100,000,000	2013/10/10	2014/05/12	25.00
63980	UBS AG - HSCEI R Bear CBBC Jan 2014 B	100,000,000	2013/10/10	2014/01/29	25.00
63981	UBS AG - HSCEI R Bear CBBC Mar 2014 A	100,000,000	2013/10/10	2014/03/28	25.00
63983	UBS AG - HSI R Bull CBBC Jun 2014 K	300,000,000	2013/10/10	2014/06/27	75.00
63986	UBS AG - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/10/10	2014/06/27	75.00
63967	UBS AG - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/10/10	2014/02/27	75.00
63961	UBS AG - HSI R Bear CBBC Mar 2014 V	350,000,000	2013/10/10	2014/03/28	87.50
63964	UBS AG - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/10/10	2014/03/28	75.00
64016	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 T	300,000,000	2013/10/11	2014/08/28	75.00
64022	Credit Suisse AG - HSI R Bull CBBC Jul 2014 N	300,000,000	2013/10/11	2014/07/30	75.00
64036	Credit Suisse AG - HSI R Bull CBBC Jul 2014 O	300,000,000	2013/10/11	2014/07/30	75.00
64038	Credit Suisse AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/10/11	2014/02/27	75.00
64041	Credit Suisse AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/10/11	2014/02/27	75.00
64052	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 D	150,000,000	2013/10/11	2014/02/27	38.10
64015	HK Bank - CC Bank R Bear CBBC Sep 2014 A	40,000,000	2013/10/11	2014/09/17	10.00
64014	HK Bank - China Life R Bear CBBC Aug 2014 A	50,000,000	2013/10/11	2014/08/07	12.50
64011	HK Bank - China Shenhua R Bear CBBC Aug 2014 A	40,000,000	2013/10/11	2014/08/15	20.00
63992	HK Bank - Galaxy Ent R Bull CBBC Jun 2014 A	50,000,000	2013/10/11	2014/06/23	12.50
63993	HK Bank - Galaxy Ent R Bear CBBC Jun 2014 A	40,000,000	2013/10/11	2014/06/23	36.00
63997	HK Bank - Galaxy Ent R Bear CBBC Oct 2014 B	40,000,000	2013/10/11	2014/10/28	10.00
64006	HK Bank - Hutchison R Bull CBBC Jun 2014 B	60,000,000	2013/10/11	2014/06/16	15.00
64012	HK Bank - ICBC R Bear CBBC Apr 2014 A	40,000,000	2013/10/11	2014/04/28	10.00
64010	HK Bank - Sands China R Bear CBBC Jul 2014 A	50,000,000	2013/10/11	2014/07/21	12.50
64051	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/10/11	2014/09/29	75.00
64035	Standard Chartered Bank - HSI R Bull CBBC Mar 2014 F	100,000,000	2013/10/11	2014/03/28	25.00
64023	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 B	100,000,000	2013/10/11	2014/07/30	25.00
64028	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 O	200,000,000	2013/10/11	2014/06/27	50.00
64031	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 T	200,000,000	2013/10/11	2014/01/29	50.00
64032	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 U	200,000,000	2013/10/11	2014/01/29	50.00

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64034	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 V	200,000,000	2013/10/11	2014/01/29	50.00
64017	UBS AG - HSI R Bull CBBC Jan 2014 G	300,000,000	2013/10/11	2014/01/29	75.00
64019	UBS AG - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/10/11	2014/08/28	75.00
64048	UBS AG - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/10/11	2014/01/29	75.00
64044	UBS AG - HSI R Bear CBBC Feb 2014 W	350,000,000	2013/10/11	2014/02/27	87.50
64043	UBS AG - Hutchison R Bull CBBC Jul 2014 A	100,000,000	2013/10/11	2014/07/07	25.00
64076	Credit Suisse AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2013/10/15	2014/09/29	75.00
64077	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Y	300,000,000	2013/10/15	2014/09/29	75.00
64110	Credit Suisse AG - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/10/15	2014/01/29	75.00
64117	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 C	120,000,000	2013/10/15	2014/02/27	30.00
64079	Bank of East Asia - GCL-Poly Energy R Bull CBBC Dec 2014 A	150,000,000	2013/10/15	2014/12/22	37.50
64078	Bank of East Asia - Galaxy Ent R Bull CBBC Sep 2014 A	60,000,000	2013/10/15	2014/09/26	15.00
64053	HK Bank - BOCL R Bull CBBC Sep 2014 A	80,000,000	2013/10/15	2014/09/29	20.00
64060	HK Bank - BOCL R Bear CBBC Aug 2014 A	40,000,000	2013/10/15	2014/08/12	10.00
64063	HK Bank - China Mobile R Bear CBBC Apr 2014 C	50,000,000	2013/10/15	2014/04/22	12.50
64083	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 T	300,000,000	2013/10/15	2014/01/29	75.00
64116	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 B	100,000,000	2013/10/15	2014/08/28	25.00
64113	Standard Chartered Bank - HSI R Bear CBBC Feb 2014 Y	100,000,000	2013/10/15	2014/02/27	25.00
64106	UBS AG - Cheung Kong R Bear CBBC Mar 2014 A	100,000,000	2013/10/15	2014/03/31	25.00
64101	UBS AG - China Life R Bear CBBC Apr 2014 A	100,000,000	2013/10/15	2014/04/07	25.00
64096	UBS AG - HSCEI R Bear CBBC Feb 2014 C	100,000,000	2013/10/15	2014/02/27	25.00
64075	UBS AG - HSI R Bull CBBC Jul 2014 S	300,000,000	2013/10/15	2014/07/30	75.00
64112	UBS AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/10/15	2014/03/28	75.00
64104	UBS AG - ICBC R Bear CBBC May 2014 A	100,000,000	2013/10/15	2014/05/12	25.00
64102	UBS AG - Ping An R Bull CBBC Jul 2014 C	100,000,000	2013/10/15	2014/07/07	25.00
64103	UBS AG - Sands China R Bear CBBC May 2014 A	100,000,000	2013/10/15	2014/05/05	25.00
64100	UBS AG - TCH R Bull CBBC Jun 2014 K	50,000,000	2013/10/15	2014/06/09	14.00
64147	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/10/16	2014/02/27	75.00
64148	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/10/16	2014/02/27	75.00
64149	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/10/16	2014/02/27	75.00
64181	Credit Suisse AG - HSI R Bull CBBC Jul 2014 P	300,000,000	2013/10/16	2014/07/30	75.00
64186	Credit Suisse AG - HSI R Bull CBBC Jul 2014 Q	300,000,000	2013/10/16	2014/07/30	75.00
64150	Credit Suisse AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/10/16	2014/02/27	75.00
64151	Credit Suisse AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/10/16	2014/02/27	75.00
64152	Credit Suisse AG - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/10/16	2014/02/27	75.00
64191	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 D	120,000,000	2013/10/16	2014/02/27	30.00
64123	HK Bank - HSI R Bull CBBC Jun 2014 A	100,000,000	2013/10/16	2014/06/27	25.00
64132	HK Bank - HSI R Bull CBBC Jun 2014 B	100,000,000	2013/10/16	2014/06/27	25.00
64130	HK Bank - HSI R Bull CBBC Jul 2014 A	100,000,000	2013/10/16	2014/07/30	25.00
64142	HK Bank - HSI R Bull CBBC Aug 2014 A	100,000,000	2013/10/16	2014/08/28	25.00
64143	HK Bank - HSI R Bear CBBC Apr 2014 E	100,000,000	2013/10/16	2014/04/29	25.00
64144	HK Bank - Hutchison R Bear CBBC Oct 2014 A	50,000,000	2013/10/16	2014/10/20	12.50
64188	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/10/16	2014/09/29	75.00
64190	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/10/16	2014/01/29	75.00
64187	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 C	100,000,000	2013/10/16	2014/08/28	25.00

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64179	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 P	200,000,000	2013/10/16	2014/06/27	50.00
64167	UBS AG - HSI R Bull CBBC Jun 2014 N	300,000,000	2013/10/16	2014/06/27	75.00
64180	UBS AG - HSI R Bull CBBC Jul 2014 T	350,000,000	2013/10/16	2014/07/30	87.50
64164	UBS AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2013/10/16	2014/09/29	75.00
64156	UBS AG - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/10/16	2014/01/29	75.00
64154	UBS AG - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/10/16	2014/02/27	75.00
64220	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 U	300,000,000	2013/10/17	2014/08/28	75.00
64198	Credit Suisse AG - HSI R Bull CBBC Sep 2014 A	300,000,000	2013/10/17	2014/09/29	75.00
64197	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Z	300,000,000	2013/10/17	2014/09/29	75.00
64195	Credit Suisse AG - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/10/17	2014/03/28	75.00
64227	Credit Suisse AG - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/10/17	2014/03/28	75.00
64228	Credit Suisse AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/10/17	2014/03/28	75.00
64229	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 E	120,000,000	2013/10/17	2014/02/27	30.00
64203	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 E	150,000,000	2013/10/17	2014/02/27	37.95
64207	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 L	150,000,000	2013/10/17	2014/02/27	38.25
64214	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 M	150,000,000	2013/10/17	2014/02/27	38.10
64192	HK Bank - CSOP A50 ETF R Bear CBBC May 2014 A	40,000,000	2013/10/17	2014/05/26	10.00
64193	HK Bank - TCH R Bull CBBC Jun 2014 D	50,000,000	2013/10/17	2014/06/23	15.00
64232	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/10/17	2014/01/29	75.00
64215	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 F	100,000,000	2013/10/17	2014/06/27	25.00
64217	UBS AG - AIA R Bull CBBC Sep 2014 A	100,000,000	2013/10/17	2014/09/22	25.00
64216	UBS AG - AIA R Bear CBBC Aug 2014 A	100,000,000	2013/10/17	2014/08/04	25.00
64201	UBS AG - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/10/17	2014/08/28	75.00
64202	UBS AG - HSI R Bull CBBC Oct 2014 B	300,000,000	2013/10/17	2014/10/30	75.00
64226	UBS AG - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/10/17	2014/02/27	75.00
64225	UBS AG - HSI R Bear CBBC Mar 2014 Y	350,000,000	2013/10/17	2014/03/28	87.50
64219	UBS AG - Hutchison R Bear CBBC Jul 2014 A	100,000,000	2013/10/17	2014/07/07	25.00
64235	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 V	300,000,000	2013/10/18	2014/08/28	75.00
64236	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 W	300,000,000	2013/10/18	2014/08/28	75.00
64277	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/10/18	2014/02/27	75.00
64238	Credit Suisse AG - HSI R Bull CBBC Aug 2014 S	300,000,000	2013/10/18	2014/08/28	75.00
64239	Credit Suisse AG - HSI R Bull CBBC Aug 2014 T	300,000,000	2013/10/18	2014/08/28	75.00
64240	Credit Suisse AG - HSI R Bull CBBC Aug 2014 U	300,000,000	2013/10/18	2014/08/28	75.00
64288	Credit Suisse AG - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/10/18	2014/01/29	75.00
64287	Credit Suisse AG - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/10/18	2014/01/29	75.00
64298	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 F	150,000,000	2013/10/18	2014/02/27	37.50
64290	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 N	150,000,000	2013/10/18	2014/02/27	37.80
64274	HK Bank - BOCL R Bear CBBC May 2014 A	40,000,000	2013/10/18	2014/05/12	10.00
64276	HK Bank - COVS R Bear CBBC Jul 2014 A	40,000,000	2013/10/18	2014/07/18	10.80
64270	HK Bank - Sinopec Corp R Bear CBBC Aug 2014 A	40,000,000	2013/10/18	2014/08/04	10.00
64261	HK Bank - HSI R Bear CBBC May 2014 D	400,000,000	2013/10/18	2014/05/29	100.00
64269	HK Bank - HSI R Bear CBBC May 2014 E	100,000,000	2013/10/18	2014/05/29	25.00
64266	HK Bank - HSI R Bear CBBC Jun 2014 C	400,000,000	2013/10/18	2014/06/27	100.00
64267	HK Bank - HSI R Bear CBBC Aug 2014 A	400,000,000	2013/10/18	2014/08/28	100.00
64268	HK Bank - HSI R Bear CBBC Sep 2014 A	400,000,000	2013/10/18	2014/09/29	100.00

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64275	HK Bank - PetCh R Bear CBBC Aug 2014 A	40,000,000	2013/10/18	2014/08/04	10.00
64301	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 A	300,000,000	2013/10/18	2014/10/30	75.00
64303	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 B	300,000,000	2013/10/18	2014/10/30	75.00
64305	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/10/18	2014/03/28	75.00
64243	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 C	100,000,000	2013/10/18	2014/07/30	25.00
64241	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 D	100,000,000	2013/10/18	2014/08/28	25.00
64247	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 E	200,000,000	2013/10/18	2014/08/28	50.00
64306	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 D	200,000,000	2013/10/18	2014/04/29	50.00
64307	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 E	200,000,000	2013/10/18	2014/04/29	50.00
64248	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 Q	200,000,000	2013/10/18	2014/06/27	50.00
64285	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 W	200,000,000	2013/10/18	2014/01/29	50.00
64249	UBS AG - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/10/18	2014/06/27	75.00
64260	UBS AG - HSI R Bull CBBC Jun 2014 P	300,000,000	2013/10/18	2014/06/27	75.00
64257	UBS AG - HSI R Bull CBBC Jul 2014 U	300,000,000	2013/10/18	2014/07/30	75.00
64296	UBS AG - HSI R Bull CBBC Jul 2014 V	350,000,000	2013/10/18	2014/07/30	87.50
64293	UBS AG - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/10/18	2014/01/29	75.00
64292	UBS AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/10/18	2014/02/27	75.00
64297	UBS AG - HSI R Bear CBBC Apr 2014 O	350,000,000	2013/10/18	2014/04/29	87.50
64340	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 X	300,000,000	2013/10/21	2014/08/28	75.00
64318	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/10/21	2014/02/27	75.00
64348	Credit Suisse AG - HSI R Bull CBBC Aug 2014 V	300,000,000	2013/10/21	2014/08/28	75.00
64352	Credit Suisse AG - HSI R Bull CBBC Aug 2014 W	300,000,000	2013/10/21	2014/08/28	75.00
64353	Credit Suisse AG - HSI R Bull CBBC Aug 2014 X	300,000,000	2013/10/21	2014/08/28	75.00
64357	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Y	300,000,000	2013/10/21	2014/08/28	75.00
64323	Credit Suisse AG - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/10/21	2014/01/29	75.00
64319	Credit Suisse AG - HSI R Bear CBBC Jan 2014 S	300,000,000	2013/10/21	2014/01/29	75.00
64320	Credit Suisse AG - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/10/21	2014/01/29	75.00
64321	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/10/21	2014/01/29	75.00
64342	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 G	150,000,000	2013/10/21	2014/02/27	41.25
64343	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 H	150,000,000	2013/10/21	2014/02/27	37.65
64341	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 O	150,000,000	2013/10/21	2014/02/27	38.40
64313	HK Bank - HSI R Bull CBBC Mar 2014 H	100,000,000	2013/10/21	2014/03/28	25.00
64308	HK Bank - HSI R Bull CBBC May 2014 B	100,000,000	2013/10/21	2014/05/29	25.00
64309	HK Bank - HSI R Bull CBBC Aug 2014 B	400,000,000	2013/10/21	2014/08/28	100.00
64311	HK Bank - HSI R Bull CBBC Sep 2014 A	400,000,000	2013/10/21	2014/09/29	108.00
64312	HK Bank - HSI R Bull CBBC Oct 2014 A	400,000,000	2013/10/21	2014/10/30	128.00
64361	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/10/21	2014/08/28	75.00
64360	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/10/21	2014/09/29	75.00
64359	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 C	300,000,000	2013/10/21	2014/10/30	75.00
64339	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 F	200,000,000	2013/10/21	2014/08/28	50.00
64331	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 F	200,000,000	2013/10/21	2014/03/28	50.00
64314	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 R	200,000,000	2013/10/21	2014/06/27	50.00
64335	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 S	200,000,000	2013/10/21	2014/06/27	50.00
64316	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 X	200,000,000	2013/10/21	2014/01/29	50.00
64317	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 Y	200,000,000	2013/10/21	2014/01/29	50.00

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64346	UBS AG - AIA R Bear CBBC Jul 2014 A	100,000,000	2013/10/21	2014/07/21	25.00
64344	UBS AG - HSI R Bull CBBC Jun 2014 Y	300,000,000	2013/10/21	2014/06/27	75.00
64345	UBS AG - HSI R Bull CBBC Jul 2014 W	300,000,000	2013/10/21	2014/07/30	75.00
64356	UBS AG - HSI R Bull CBBC Jul 2014 X	300,000,000	2013/10/21	2014/07/30	75.00
64329	UBS AG - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/10/21	2014/01/29	75.00
64324	UBS AG - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/10/21	2014/01/29	75.00
64330	UBS AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/10/21	2014/02/27	75.00
63187 #	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 K	140,000,000	2013/10/21	2014/01/29	10.08
64365	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Y	300,000,000	2013/10/22	2014/08/28	75.00
64392	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Z	300,000,000	2013/10/22	2014/08/28	75.00
64367	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/10/22	2014/02/27	75.00
64394	Credit Suisse AG - HSI R Bull CBBC Jul 2014 R	300,000,000	2013/10/22	2014/07/30	75.00
64395	Credit Suisse AG - HSI R Bull CBBC Jul 2014 S	300,000,000	2013/10/22	2014/07/30	75.00
64385	Credit Suisse AG - HSI R Bear CBBC Jan 2014 B	300,000,000	2013/10/22	2014/01/29	75.00
64387	Credit Suisse AG - HSI R Bear CBBC Jan 2014 H	300,000,000	2013/10/22	2014/01/29	75.00
64386	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/10/22	2014/01/29	75.00
64371	Credit Suisse AG - HSI R Bear CBBC Mar 2014 H	300,000,000	2013/10/22	2014/03/28	75.00
64372	Credit Suisse AG - HSI R Bear CBBC Mar 2014 I	300,000,000	2013/10/22	2014/03/28	75.00
64373	Credit Suisse AG - HSI R Bear CBBC Mar 2014 J	300,000,000	2013/10/22	2014/03/28	75.00
64374	Credit Suisse AG - HSI R Bear CBBC Mar 2014 K	300,000,000	2013/10/22	2014/03/28	75.00
64375	Credit Suisse AG - HSI R Bear CBBC Apr 2014 G	300,000,000	2013/10/22	2014/04/29	75.00
64380	Credit Suisse AG - HSI R Bear CBBC Jun 2014 A	300,000,000	2013/10/22	2014/06/27	102.00
64381	Credit Suisse AG - HSI R Bear CBBC Jun 2014 B	300,000,000	2013/10/22	2014/06/27	111.00
64384	Credit Suisse AG - HSI R Bear CBBC Jun 2014 C	300,000,000	2013/10/22	2014/06/27	126.00
64464	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 H	120,000,000	2013/10/22	2014/01/29	30.00
64465	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 P	120,000,000	2013/10/22	2014/01/29	30.00
64467	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Jan 2014 Q	120,000,000	2013/10/22	2014/01/29	30.00
64453	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 I	150,000,000	2013/10/22	2014/02/27	37.95
64455	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 J	150,000,000	2013/10/22	2014/02/27	37.80
64458	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 F	300,000,000	2013/10/22	2014/09/29	75.00
64461	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/10/22	2014/09/29	75.00
64456	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 U	300,000,000	2013/10/22	2014/01/29	75.00
64452	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 G	100,000,000	2013/10/22	2014/08/28	25.00
64388	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 A	100,000,000	2013/10/22	2014/06/27	25.00
64389	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 T	200,000,000	2013/10/22	2014/06/27	50.00
64390	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 U	200,000,000	2013/10/22	2014/06/27	50.00
64391	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 V	200,000,000	2013/10/22	2014/06/27	50.00
64363	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 M	200,000,000	2013/10/22	2014/01/29	50.00
64362	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 Z	200,000,000	2013/10/22	2014/01/29	50.00
64396	UBS AG - HSBC R Bull CBBC Jun 2014 B	100,000,000	2013/10/22	2014/06/09	25.00
64399	UBS AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/10/22	2014/08/28	75.00
64451	UBS AG - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/10/22	2014/08/28	75.00
64398	UBS AG - HSI R Bull CBBC Dec 2014 D	300,000,000	2013/10/22	2014/12/30	75.00
64369	UBS AG - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/10/22	2014/02/27	75.00
64370	UBS AG - HSI R Bear CBBC Mar 2014 Z	350,000,000	2013/10/22	2014/03/28	87.50

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64397	UBS AG - HSI R Bear CBBC May 2014 B	300,000,000	2013/10/22	2014/05/29	75.00
64468	Credit Suisse AG - HSI R Bull CBBC May 2014 H	300,000,000	2013/10/23	2014/05/29	75.00
64470	Credit Suisse AG - HSI R Bull CBBC May 2014 I	300,000,000	2013/10/23	2014/05/29	75.00
64495	Credit Suisse AG - HSI R Bear CBBC Jan 2014 I	300,000,000	2013/10/23	2014/01/29	75.00
64496	Credit Suisse AG - HSI R Bear CBBC Jan 2014 J	300,000,000	2013/10/23	2014/01/29	75.00
64510	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Feb 2014 B	120,000,000	2013/10/23	2014/02/27	30.00
64494	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 P	150,000,000	2013/10/23	2014/02/27	37.80
64477	HK Bank - Anhui Conch R Bull CBBC Dec 2014 A	50,000,000	2013/10/23	2014/12/08	12.50
64486	HK Bank - AIA R Bear CBBC Jun 2014 B	50,000,000	2013/10/23	2014/06/26	25.00
64490	HK Bank - AIA R Bear CBBC Jun 2014 C	50,000,000	2013/10/23	2014/06/23	12.50
64471	HK Bank - HSI R Bull CBBC Apr 2014 D	100,000,000	2013/10/23	2014/04/29	25.00
64474	HK Bank - HSI R Bull CBBC Jun 2014 C	400,000,000	2013/10/23	2014/06/27	100.00
64487	HK Bank - Sands China R Bull CBBC Jul 2014 B	60,000,000	2013/10/23	2014/07/28	15.00
64478	HK Bank - Wharf Holdings R Bull CBBC Aug 2014 A	40,000,000	2013/10/23	2014/08/18	10.00
64516	J P Morgan SP BV - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/10/23	2014/08/28	75.00
64513	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/10/23	2014/09/29	75.00
64512	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 D	300,000,000	2013/10/23	2014/10/30	75.00
64511	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/10/23	2014/01/29	75.00
64506	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 D	100,000,000	2013/10/23	2014/07/30	25.00
64509	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 E	100,000,000	2013/10/23	2014/07/30	25.00
64491	UBS AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/10/23	2014/08/28	75.00
64492	UBS AG - HSI R Bull CBBC Sep 2014 M	300,000,000	2013/10/23	2014/09/29	75.00
64497	UBS AG - HSI R Bear CBBC Jan 2014 M	300,000,000	2013/10/23	2014/01/29	75.00
64505	UBS AG - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/10/23	2014/02/27	75.00
64536	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 A	300,000,000	2013/10/24	2014/04/29	75.00
64537	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/10/24	2014/04/29	75.00
64527	Credit Suisse AG - HSI R Bull CBBC Jul 2014 T	300,000,000	2013/10/24	2014/07/30	75.00
64529	Credit Suisse AG - HSI R Bull CBBC Jul 2014 U	300,000,000	2013/10/24	2014/07/30	75.00
64524	Credit Suisse AG - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/10/24	2014/01/29	75.00
64535	Credit Suisse AG - HSI R Bear CBBC Jan 2014 N	300,000,000	2013/10/24	2014/01/29	75.00
64525	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Jan 2014 O	120,000,000	2013/10/24	2014/01/29	30.00
64517	HK Bank - BOCL R Bull CBBC Aug 2014 B	80,000,000	2013/10/24	2014/08/18	20.00
64518	HK Bank - China Mobile R Bull CBBC Jul 2014 A	120,000,000	2013/10/24	2014/07/14	30.00
64521	HK Bank - China Mobile R Bull CBBC Jul 2014 B	120,000,000	2013/10/24	2014/07/28	30.00
64522	HK Bank - China Mobile R Bull CBBC Oct 2014 A	120,000,000	2013/10/24	2014/10/06	30.00
64543	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 I	300,000,000	2013/10/24	2014/09/29	75.00
64544	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 E	300,000,000	2013/10/24	2014/10/30	75.00
64541	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 W	300,000,000	2013/10/24	2014/01/29	75.00
64542	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/10/24	2014/02/27	75.00
64534	UBS AG - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/10/24	2014/06/27	75.00
64532	UBS AG - HSI R Bull CBBC Jul 2014 Y	350,000,000	2013/10/24	2014/07/30	87.50
64533	UBS AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2013/10/24	2014/09/29	75.00
64538	UBS AG - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/10/24	2014/01/29	75.00
64540	UBS AG - HSI R Bear CBBC Mar 2014 C	350,000,000	2013/10/24	2014/03/28	87.50
64561	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/10/25	2014/08/28	75.00

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64582	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Z	300,000,000	2013/10/25	2014/08/28	75.00
64545	Credit Suisse AG - HSI R Bear CBBC Jan 2014 O	300,000,000	2013/10/25	2014/01/29	75.00
64548	Credit Suisse AG - HSI R Bear CBBC Jan 2014 P	300,000,000	2013/10/25	2014/01/29	75.00
64559	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 14 A	100,000,000	2013/10/25	2014/04/24	25.00
64558	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 14 A	100,000,000	2013/10/25	2014/04/24	25.00
64598	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Feb 2014 C	120,000,000	2013/10/25	2014/02/27	30.00
64603	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 F	120,000,000	2013/10/25	2014/02/27	30.00
64606	Goldman Sachs SP (Asia) - HSI R Bull CBBC Feb 2014 K	150,000,000	2013/10/25	2014/02/27	37.65
64553	HK Bank - CNBM R Bull CBBC Jun 2014 B	50,000,000	2013/10/25	2014/06/30	12.50
64550	HK Bank - Sands China R Bull CBBC Jul 2014 C	60,000,000	2013/10/25	2014/07/21	15.00
64551	HK Bank - Sands China R Bull CBBC Aug 2014 A	60,000,000	2013/10/25	2014/08/18	15.00
64554	HK Bank - Sands China R Bear CBBC Sep 2014 A	50,000,000	2013/10/25	2014/09/15	12.50
64552	HK Bank - Sands China R Bear CBBC Oct 2014 A	50,000,000	2013/10/25	2014/10/20	12.50
64608	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 J	300,000,000	2013/10/25	2014/09/29	75.00
64609	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 F	300,000,000	2013/10/25	2014/10/30	75.00
64607	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 X	300,000,000	2013/10/25	2014/01/29	75.00
64610	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 G	100,000,000	2013/10/25	2014/03/28	25.00
64611	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 H	100,000,000	2013/10/25	2014/03/28	25.00
64555	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 W	200,000,000	2013/10/25	2014/06/27	50.00
64557	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 Q	200,000,000	2013/10/25	2014/01/29	50.00
64573	UBS AG - China Mobile R Bear CBBC Apr 2014 C	100,000,000	2013/10/25	2014/04/14	25.00
64574	UBS AG - CC Bank R Bear CBBC Apr 2014 A	100,000,000	2013/10/25	2014/04/07	25.00
64566	UBS AG - Minsheng Bank R Bull CBBC Jul 2014 A	50,000,000	2013/10/25	2014/07/21	12.50
64565	UBS AG - Minsheng Bank R Bear CBBC Mar 2014 A	50,000,000	2013/10/25	2014/03/24	12.50
64581	UBS AG - HSBC R Bear CBBC Feb 2014 A	100,000,000	2013/10/25	2014/02/10	25.00
64594	UBS AG - HSI R Bull CBBC Jun 2014 W	350,000,000	2013/10/25	2014/06/27	87.50
64588	UBS AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2013/10/25	2014/09/29	75.00
64592	UBS AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2013/10/25	2014/09/29	75.00
64546	UBS AG - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/10/25	2014/02/27	75.00
64571	UBS AG - ICBC R Bear CBBC May 2014 B	100,000,000	2013/10/25	2014/05/19	25.00
64570	UBS AG - Sands China R Bull CBBC Jul 2014 D	100,000,000	2013/10/25	2014/07/28	25.00
64568	UBS AG - Sands China R Bear CBBC May 2014 B	100,000,000	2013/10/25	2014/05/05	25.00
64575	UBS AG - TCH R Bull CBBC Jun 2014 L	50,000,000	2013/10/25	2014/06/16	13.25
64624	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Jun 2014 B	200,000,000	2013/10/28	2014/06/27	50.00
64620	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/10/28	2014/08/28	75.00
64634	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/10/28	2014/08/28	75.00
64623	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 O	300,000,000	2013/10/28	2014/02/27	75.00
64666	Credit Suisse AG - HSI R Bull CBBC Aug 2014 D	300,000,000	2013/10/28	2014/08/28	75.00
64667	Credit Suisse AG - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/10/28	2014/08/28	75.00
64632	Credit Suisse AG - HSI R Bear CBBC Jan 2014 C	300,000,000	2013/10/28	2014/01/29	75.00
64631	Credit Suisse AG - HSI R Bear CBBC Jan 2014 D	300,000,000	2013/10/28	2014/01/29	75.00
64689	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Feb 2014 D	120,000,000	2013/10/28	2014/02/27	30.00
64687	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2014 Q	150,000,000	2013/10/28	2014/02/27	37.95
64612	HK Bank - AIA R Bull CBBC Jul 2014 A	60,000,000	2013/10/28	2014/07/07	21.60
64613	HK Bank - AIA R Bear CBBC Jul 2014 A	50,000,000	2013/10/28	2014/07/07	12.50

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64614	HK Bank - Galaxy Ent R Bull CBBC Sep 2014 A	50,000,000	2013/10/28	2014/09/29	27.00
64616	HK Bank - TCH R Bear CBBC Jul 2014 B	50,000,000	2013/10/28	2014/07/28	31.50
64688	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 K	300,000,000	2013/10/28	2014/09/29	75.00
64617	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 F	100,000,000	2013/10/28	2014/04/29	25.00
64618	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 G	100,000,000	2013/10/28	2014/04/29	25.00
64635	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 X	200,000,000	2013/10/28	2014/06/27	50.00
64658	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 P	200,000,000	2013/10/28	2014/01/29	50.00
64619	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 R	200,000,000	2013/10/28	2014/01/29	50.00
64683	UBS AG - Galaxy Ent R Bull CBBC Jul 2014 B	100,000,000	2013/10/28	2014/07/21	25.00
64682	UBS AG - Galaxy Ent R Bear CBBC Jun 2014 A	100,000,000	2013/10/28	2014/06/16	25.00
64686	UBS AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2013/10/28	2014/09/29	75.00
64685	UBS AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2013/10/28	2014/10/30	75.00
64629	UBS AG - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/10/28	2014/01/29	75.00
64630	UBS AG - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/10/28	2014/03/28	75.00
64681	UBS AG - TCH R Bull CBBC Jul 2014 D	50,000,000	2013/10/28	2014/07/07	21.00
64673	UBS AG - TCH R Bear CBBC May 2014 A	50,000,000	2013/10/28	2014/05/26	39.50
64678	UBS AG - TCH R Bear CBBC May 2014 B	50,000,000	2013/10/28	2014/05/19	24.50
64679	UBS AG - TCH R Bear CBBC May 2014 C	50,000,000	2013/10/28	2014/05/12	14.50
64712	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/10/29	2014/08/28	75.00
64713	Credit Suisse AG - HSI R Bull CBBC Jul 2014 V	300,000,000	2013/10/29	2014/07/30	75.00
64714	Credit Suisse AG - HSI R Bull CBBC Jul 2014 W	300,000,000	2013/10/29	2014/07/30	75.00
64718	Credit Suisse AG - HSI R Bear CBBC Jan 2014 G	300,000,000	2013/10/29	2014/01/29	75.00
64719	Credit Suisse AG - HSI R Bear CBBC Jan 2014 Q	300,000,000	2013/10/29	2014/01/29	75.00
64699	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2014 A	80,000,000	2013/10/29	2014/07/28	20.00
64701	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2014 A	80,000,000	2013/10/29	2014/08/11	20.00
64702	HK Bank - Galaxy Ent R Bull CBBC Aug 2014 B	50,000,000	2013/10/29	2014/08/11	26.00
64695	HK Bank - Hutchison R Bull CBBC Jul 2014 A	60,000,000	2013/10/29	2014/07/07	15.00
64704	HK Bank - Sands China R Bull CBBC Sep 2014 A	60,000,000	2013/10/29	2014/09/01	15.00
64703	HK Bank - Sands China R Bear CBBC Nov 2014 A	50,000,000	2013/10/29	2014/11/03	12.50
64690	HK Bank - TCH R Bull CBBC Jun 2014 E	50,000,000	2013/10/29	2014/06/30	21.00
64692	HK Bank - TCH R Bull CBBC Jul 2014 B	50,000,000	2013/10/29	2014/07/14	16.00
64693	HK Bank - TCH R Bear CBBC Jun 2014 C	50,000,000	2013/10/29	2014/06/23	32.50
64694	HK Bank - TCH R Bear CBBC Sep 2014 A	50,000,000	2013/10/29	2014/09/29	60.00
64726	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 G	300,000,000	2013/10/29	2014/10/30	75.00
64725	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 Y	300,000,000	2013/10/29	2014/01/29	75.00
64717	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 H	100,000,000	2013/10/29	2014/08/28	25.00
64705	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 14Y	200,000,000	2013/10/29	2014/06/27	50.00
64710	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 14S	200,000,000	2013/10/29	2014/01/29	50.00
64711	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan 14U	200,000,000	2013/10/29	2014/01/29	50.00
64724	UBS AG - AIA R Bull CBBC Oct 2014 A	100,000,000	2013/10/29	2014/10/20	25.00
64715	UBS AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/10/29	2014/08/28	75.00
64721	UBS AG - HSI R Bear CBBC Feb 2014 O	300,000,000	2013/10/29	2014/02/27	75.00
64720	UBS AG - HSI R Bear CBBC Apr 2014 P	350,000,000	2013/10/29	2014/04/29	87.50
64765	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/10/30	2014/08/28	75.00
64751	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/10/30	2014/08/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64734	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/10/30	2014/02/27	75.00
64758	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/10/30	2014/02/27	75.00
64775	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/10/30	2014/02/27	75.00
64748	Credit Suisse AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/10/30	2014/08/28	75.00
64749	Credit Suisse AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/10/30	2014/08/28	75.00
64762	Credit Suisse AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/10/30	2014/08/28	75.00
64747	Credit Suisse AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/10/30	2014/08/28	75.00
64750	Credit Suisse AG - HSI R Bear CBBC Jan 2014 F	300,000,000	2013/10/30	2014/01/29	75.00
64766	Credit Suisse AG - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/10/30	2014/01/29	75.00
64768	Credit Suisse AG - HSI R Bear CBBC Jan 2014 R	300,000,000	2013/10/30	2014/01/29	75.00
64767	Credit Suisse AG - HSI R Bear CBBC Jan 2014 V	300,000,000	2013/10/30	2014/01/29	75.00
64728	HK Bank - China Mobile R Bear CBBC Aug 2014 A	50,000,000	2013/10/30	2014/08/04	12.50
64730	HK Bank - GCL-Poly Energy R Bull CBBC Oct 2014 A	30,000,000	2013/10/30	2014/10/27	21.00
64731	HK Bank - GCL-Poly Energy R Bull CBBC Dec 2014 B	50,000,000	2013/10/30	2014/12/30	12.50
64727	HK Bank - HSI R Bull CBBC Jun 2014 D	100,000,000	2013/10/30	2014/06/27	25.00
64729	HK Bank - Hutchison R Bull CBBC Jul 2014 B	60,000,000	2013/10/30	2014/07/07	15.00
64777	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 A	300,000,000	2013/10/30	2014/01/29	75.00
64779	J P Morgan SP BV - HSI R Bear CBBC Jan 2014 Z	300,000,000	2013/10/30	2014/01/29	75.00
64776	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 O	300,000,000	2013/10/30	2014/02/27	75.00
64778	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/10/30	2014/02/27	75.00
64759	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 F	100,000,000	2013/10/30	2014/07/30	25.00
64761	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 G	100,000,000	2013/10/30	2014/07/30	25.00
64760	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 B	100,000,000	2013/10/30	2014/06/27	25.00
64784	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 A	100,000,000	2013/10/30	2014/07/30	25.00
64783	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 B	100,000,000	2013/10/30	2014/08/28	25.00
64746	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 Z	200,000,000	2013/10/30	2014/06/27	50.00
64745	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 T	200,000,000	2013/10/30	2014/01/29	50.00
64743	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Jan14 W	200,000,000	2013/10/30	2014/01/29	50.00
64769	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 D	200,000,000	2013/10/30	2014/02/27	50.00
64774	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 E	200,000,000	2013/10/30	2014/02/27	50.00
64737	UBS AG - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/10/30	2014/06/27	75.00
64763	UBS AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/10/30	2014/08/28	75.00
64764	UBS AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2013/10/30	2014/10/30	75.00
64741	UBS AG - HSI R Bear CBBC Jan 2014 K	300,000,000	2013/10/30	2014/01/29	75.00
64782	UBS AG - HSI R Bear CBBC Jan 2014 L	300,000,000	2013/10/30	2014/01/29	75.00
64780	UBS AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/10/30	2014/02/27	75.00
64785	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/10/31	2014/08/28	75.00
64788	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/10/31	2014/08/28	75.00
64803	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/10/31	2014/04/29	75.00
64807	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 D	300,000,000	2013/10/31	2014/04/29	75.00
64809	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/10/31	2014/04/29	75.00
64810	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 F	300,000,000	2013/10/31	2014/04/29	75.00
64799	Credit Suisse AG - HSI R Bull CBBC Jul 2014 X	300,000,000	2013/10/31	2014/07/30	75.00
64800	Credit Suisse AG - HSI R Bull CBBC Jul 2014 Y	300,000,000	2013/10/31	2014/07/30	75.00
64801	Credit Suisse AG - HSI R Bull CBBC Jul 2014 Z	300,000,000	2013/10/31	2014/07/30	75.00

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64815	Credit Suisse AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/10/31	2014/02/27	75.00
64813	Credit Suisse AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/10/31	2014/02/27	75.00
64811	Credit Suisse AG - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/10/31	2014/02/27	75.00
64812	Credit Suisse AG - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/10/31	2014/02/27	75.00
64828	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/10/31	2014/02/27	75.00
64829	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/10/31	2014/02/27	75.00
64830	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/10/31	2014/02/27	75.00
64793	Standard Chartered Bank - HSI R Bull CBBC Jul 2014 H	100,000,000	2013/10/31	2014/07/30	25.00
64791	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 I	150,000,000	2013/10/31	2014/08/28	37.50
64792	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 J	100,000,000	2013/10/31	2014/08/28	25.00
64826	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 H	150,000,000	2013/10/31	2014/04/29	37.50
64794	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 14C	200,000,000	2013/10/31	2014/06/27	50.00
64795	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 14E	200,000,000	2013/10/31	2014/06/27	50.00
64796	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 14F	200,000,000	2013/10/31	2014/02/27	50.00
64802	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb 14G	200,000,000	2013/10/31	2014/02/27	50.00
64797	UBS AG - HSI R Bull CBBC Jul 2014 Z	300,000,000	2013/10/31	2014/07/30	75.00
64798	UBS AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2013/10/31	2014/10/30	75.00
64821	UBS AG - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/10/31	2014/02/27	75.00
64819	UBS AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/10/31	2014/02/27	75.00
64816	UBS AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/10/31	2014/02/27	75.00
64820	UBS AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/10/31	2014/02/27	75.00
64855	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/11/01	2014/08/28	75.00
64917	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 G	300,000,000	2013/11/01	2014/04/29	75.00
64921	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 H	300,000,000	2013/11/01	2014/04/29	75.00
64857	Credit Suisse AG - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/11/01	2014/08/28	75.00
64856	Credit Suisse AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/11/01	2014/08/28	75.00
64896	Credit Suisse AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/11/01	2014/08/28	75.00
64916	Credit Suisse AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/01	2014/02/27	75.00
64897	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/11/01	2014/02/27	75.00
64859	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Apr14A	100,000,000	2013/11/01	2014/04/30	25.00
64860	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Apr14A	100,000,000	2013/11/01	2014/04/30	25.00
64862	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 14 B	100,000,000	2013/11/01	2014/04/30	25.00
64866	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 14 C	100,000,000	2013/11/01	2014/04/30	25.00
64864	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 14 B	100,000,000	2013/11/01	2014/04/30	25.00
64867	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 14 C	100,000,000	2013/11/01	2014/04/30	25.00
64834	HK Bank - China Mobile R Bear CBBC Jul 2014 A	50,000,000	2013/11/01	2014/07/21	12.50
64844	HK Bank - CC Bank R Bull CBBC Jul 2014 B	80,000,000	2013/11/01	2014/07/07	20.00
64846	HK Bank - A50 R Bull CBBC Jul 2014 B	100,000,000	2013/11/01	2014/07/28	25.00
64850	HK Bank - A50 R Bull CBBC Aug 2014 A	100,000,000	2013/11/01	2014/08/25	25.00
64851	HK Bank - A50 R Bull CBBC Sep 2014 A	100,000,000	2013/11/01	2014/09/29	25.00
64852	HK Bank - A50 R Bull CBBC Oct 2014 A	100,000,000	2013/11/01	2014/10/24	25.00
64845	HK Bank - A50 R Bear CBBC Jun 2014 A	50,000,000	2013/11/01	2014/06/23	12.50
64833	HK Bank - HSI R Bear CBBC Apr 2014 F	100,000,000	2013/11/01	2014/04/29	25.00
64832	HK Bank - HSI R Bear CBBC May 2014 F	100,000,000	2013/11/01	2014/05/29	25.00
64842	HK Bank - ICBC R Bull CBBC Aug 2014 A	80,000,000	2013/11/01	2014/08/19	20.00

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64929	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 L	300,000,000	2013/11/01	2014/09/29	75.00
64882	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 H	300,000,000	2013/11/01	2014/10/30	75.00
64938	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 I	300,000,000	2013/11/01	2014/10/30	75.00
64939	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 J	300,000,000	2013/11/01	2014/10/30	75.00
64932	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/11/01	2014/02/27	75.00
64933	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/11/01	2014/02/27	75.00
64934	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/11/01	2014/02/27	75.00
64935	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/11/01	2014/02/27	75.00
64885	Standard Chartered Bank - HSI R Bull CBBC May 2014 A	150,000,000	2013/11/01	2014/05/29	37.50
64894	Standard Chartered Bank - HSI R Bull CBBC May 2014 B	100,000,000	2013/11/01	2014/05/29	25.00
64892	Standard Chartered Bank - HSI R Bear CBBC May 2014 A	150,000,000	2013/11/01	2014/05/29	37.50
64884	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 B	200,000,000	2013/11/01	2014/06/27	50.00
64883	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 F	200,000,000	2013/11/01	2014/06/27	50.00
64873	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 H	200,000,000	2013/11/01	2014/02/27	50.00
64880	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 I	200,000,000	2013/11/01	2014/02/27	50.00
64913	UBS AG - China Mobile R Bull CBBC May 2014 A	100,000,000	2013/11/01	2014/05/05	25.00
64928	UBS AG - China Mobile R Bear CBBC Apr 2014 D	100,000,000	2013/11/01	2014/04/14	25.00
64911	UBS AG - China Mobile R Bear CBBC Jul 2014 A	100,000,000	2013/11/01	2014/07/21	25.00
64905	UBS AG - GCL-Poly R Bull CBBC Dec 2014 A	50,000,000	2013/11/01	2014/12/22	12.50
64906	UBS AG - GCL-Poly R Bull CBBC Dec 2014 B	50,000,000	2013/11/01	2014/12/08	12.50
64908	UBS AG - HSCEI R Bear CBBC Feb 2014 D	100,000,000	2013/11/01	2014/02/27	25.00
64853	UBS AG - HSI R Bull CBBC Jun 2014 D	300,000,000	2013/11/01	2014/06/27	75.00
64854	UBS AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2013/11/01	2014/10/30	75.00
64927	UBS AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/11/01	2014/02/27	75.00
64922	UBS AG - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/11/01	2014/03/28	75.00
64923	UBS AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/11/01	2014/03/28	75.00
64914	UBS AG - Wharf Holdings R Bull CBBC Jun 2014 A	50,000,000	2013/11/01	2014/06/09	12.50
64989	Credit Suisse AG - HSI R Bull CBBC May 2014 J	300,000,000	2013/11/04	2014/05/29	75.00
64991	Credit Suisse AG - HSI R Bull CBBC May 2014 M	300,000,000	2013/11/04	2014/05/29	75.00
64986	Credit Suisse AG - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/11/04	2014/02/27	75.00
64987	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/11/04	2014/02/27	75.00
64969	HK Bank - CM Bank R Bull CBBC Jun 2014 A	40,000,000	2013/11/04	2014/06/30	10.00
64974	HK Bank - CM Bank R Bear CBBC Apr 2014 A	40,000,000	2013/11/04	2014/04/07	10.00
64953	HK Bank - HSI R Bull CBBC May 2014 C	100,000,000	2013/11/04	2014/05/29	25.00
64961	HK Bank - HSI R Bull CBBC May 2014 D	100,000,000	2013/11/04	2014/05/29	25.00
64959	HK Bank - HSI R Bull CBBC Jun 2014 E	100,000,000	2013/11/04	2014/06/27	25.00
64967	HK Bank - HSI R Bull CBBC Jul 2014 B	400,000,000	2013/11/04	2014/07/30	100.00
64947	HK Bank - HSI R Bear CBBC May 2014 G	100,000,000	2013/11/04	2014/05/29	25.00
64968	HK Bank - ICBC R Bull CBBC Sep 2014 A	80,000,000	2013/11/04	2014/09/15	20.00
64978	HK Bank - Kunlun Energy R Bull CBBC Jun 2014 A	40,000,000	2013/11/04	2014/06/30	10.00
64977	HK Bank - Kunlun Energy R Bull CBBC Jul 2014 A	40,000,000	2013/11/04	2014/07/28	10.00
65028	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 M	300,000,000	2013/11/04	2014/09/29	75.00
65029	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 K	300,000,000	2013/11/04	2014/10/30	75.00
65001	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 D	200,000,000	2013/11/04	2014/06/27	50.00
65011	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 G	200,000,000	2013/11/04	2014/06/27	50.00

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65016	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 H	200,000,000	2013/11/04	2014/06/27	50.00
65017	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 J	200,000,000	2013/11/04	2014/02/27	50.00
65019	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 K	200,000,000	2013/11/04	2014/02/27	50.00
65020	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 L	200,000,000	2013/11/04	2014/02/27	50.00
65026	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 M	200,000,000	2013/11/04	2014/02/27	50.00
65027	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 N	200,000,000	2013/11/04	2014/02/27	50.00
65000	UBS AG - CSOP A50 ETF R Bear CBBC Mar 2014 A	100,000,000	2013/11/04	2014/03/03	25.00
64999	UBS AG - A50 China R Bear CBBC Mar 2014 A	100,000,000	2013/11/04	2014/03/10	25.00
64997	UBS AG - HSI R Bull CBBC Jun 2014 B	350,000,000	2013/11/04	2014/06/27	87.50
64998	UBS AG - HSI R Bull CBBC Jun 2014 K	350,000,000	2013/11/04	2014/06/27	87.50
64994	UBS AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2013/11/04	2014/09/29	75.00
64996	UBS AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2013/11/04	2014/09/29	75.00
64984	UBS AG - HSI R Bear CBBC Mar 2014 J	300,000,000	2013/11/04	2014/03/28	75.00
64979	UBS AG - HSI R Bear CBBC Apr 2014 Q	300,000,000	2013/11/04	2014/04/29	75.00
65065	Credit Suisse AG - HSI R Bull CBBC Oct 2014 B	300,000,000	2013/11/05	2014/10/30	75.00
65066	Credit Suisse AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2013/11/05	2014/10/30	75.00
65058	Credit Suisse AG - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/11/05	2014/03/28	75.00
65060	Credit Suisse AG - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/11/05	2014/03/28	75.00
65088	Bank of East Asia - CM Bank R Bull CBBC Sep 2014 A	50,000,000	2013/11/05	2014/09/30	12.50
65089	Bank of East Asia - CM Bank R Bear CBBC Apr 2014 A	50,000,000	2013/11/05	2014/04/02	12.50
65092	Bank of East Asia - Galaxy Ent R Bear CBBC Apr 2014 A	60,000,000	2013/11/05	2014/04/16	15.00
65093	Bank of East Asia - Hutchison R Bull CBBC Dec 2014 A	50,000,000	2013/11/05	2014/12/22	12.50
65096	Bank of East Asia - Kunlun Energy R Bull CBBC Dec 2014 A	50,000,000	2013/11/05	2014/12/22	12.50
65097	Bank of East Asia - Sands China R Bull CBBC Dec 2014 A	60,000,000	2013/11/05	2014/12/22	15.00
65048	HK Bank - China Life R Bull CBBC Jun 2014 A	60,000,000	2013/11/05	2014/06/23	19.20
65049	HK Bank - China Life R Bull CBBC Jul 2014 A	60,000,000	2013/11/05	2014/07/14	25.20
65045	HK Bank - Galaxy Ent R Bear CBBC Aug 2014 B	40,000,000	2013/11/05	2014/08/25	10.00
65033	HK Bank - HSCEI R Bull CBBC May 2014 A	100,000,000	2013/11/05	2014/05/29	25.00
65035	HK Bank - HSCEI R Bull CBBC Jun 2014 A	100,000,000	2013/11/05	2014/06/27	25.00
65037	HK Bank - HSCEI R Bull CBBC Jul 2014 A	100,000,000	2013/11/05	2014/07/30	25.00
65031	HK Bank - HSCEI R Bear CBBC May 2014 D	68,000,000	2013/11/05	2014/05/29	17.00
65032	HK Bank - HSCEI R Bear CBBC Jun 2014 A	68,000,000	2013/11/05	2014/06/27	17.00
65039	HK Bank - Sands China R Bear CBBC Aug 2014 A	50,000,000	2013/11/05	2014/08/25	12.50
65057	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/11/05	2014/02/27	75.00
65071	Standard Chartered Bank - HSI R Bull CBBC May 2014 C	100,000,000	2013/11/05	2014/05/29	25.00
65082	Standard Chartered Bank - HSI R Bear CBBC May 2014 B	100,000,000	2013/11/05	2014/05/29	25.00
65063	UBS AG - CM Bank R Bull CBBC Jul 2014 A	40,000,000	2013/11/05	2014/07/21	10.00
65051	UBS AG - HSI R Bull CBBC Aug 2014 Q	300,000,000	2013/11/05	2014/08/28	75.00
65086	UBS AG - HSI R Bull CBBC Dec 2014 E	300,000,000	2013/11/05	2014/12/30	75.00
65061	UBS AG - HSI R Bear CBBC Apr 2014 R	350,000,000	2013/11/05	2014/04/29	87.50
65062	UBS AG - Kunlun Energy R Bull CBBC Jun 2014 A	40,000,000	2013/11/05	2014/06/16	10.00
65146	Credit Suisse AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2013/11/06	2014/10/30	75.00
65147	Credit Suisse AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2013/11/06	2014/10/30	75.00
65148	Credit Suisse AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2013/11/06	2014/10/30	75.00
65150	Credit Suisse AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2013/11/06	2014/10/30	75.00

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65123	Credit Suisse AG - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/11/06	2014/03/28	75.00
65128	Credit Suisse AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/11/06	2014/03/28	75.00
65135	Credit Suisse AG - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/11/06	2014/03/28	75.00
65137	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/11/06	2014/03/28	75.00
65139	Credit Suisse AG - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/11/06	2014/03/28	75.00
65115	HK Bank - Sinopec Corp R Bull CBBC Oct 2014 A	80,000,000	2013/11/06	2014/10/13	20.00
65117	HK Bank - Sinopec Corp R Bear CBBC Aug 2014 B	40,000,000	2013/11/06	2014/08/04	10.00
65107	HK Bank - HKEx R Bull CBBC May 2014 B	60,000,000	2013/11/06	2014/05/26	15.00
65104	HK Bank - HKEx R Bull CBBC Sep 2014 A	60,000,000	2013/11/06	2014/09/29	15.00
65118	HK Bank - PetCh R Bull CBBC Oct 2014 A	60,000,000	2013/11/06	2014/10/13	15.00
65113	HK Bank - SHK Ppt R Bull CBBC Jul 2014 A	60,000,000	2013/11/06	2014/07/14	15.00
65114	HK Bank - SHK Ppt R Bear CBBC Oct 2014 A	50,000,000	2013/11/06	2014/10/13	12.50
65098	HK Bank - TCH R Bull CBBC Sep 2014 A	50,000,000	2013/11/06	2014/09/15	17.50
65101	HK Bank - TCH R Bull CBBC Oct 2014 A	50,000,000	2013/11/06	2014/10/06	22.50
65102	HK Bank - TCH R Bear CBBC Jul 2014 C	50,000,000	2013/11/06	2014/07/07	22.50
65167	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 L	300,000,000	2013/11/06	2014/10/30	75.00
65171	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 M	300,000,000	2013/11/06	2014/10/30	75.00
65164	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 A	300,000,000	2013/11/06	2014/11/27	75.00
65170	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 B	300,000,000	2013/11/06	2014/11/27	75.00
65169	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 A	300,000,000	2013/11/06	2014/12/30	75.00
65144	Standard Chartered Bank - HSI R Bull CBBC May 2014 D	100,000,000	2013/11/06	2014/05/29	25.00
65156	Standard Chartered Bank - HSI R Bull CBBC May 2014 E	100,000,000	2013/11/06	2014/05/29	25.00
65157	Standard Chartered Bank - HSI R Bull CBBC May 2014 F	100,000,000	2013/11/06	2014/05/29	25.00
65120	Standard Chartered Bank - HSI R Bear CBBC May 2014 C	100,000,000	2013/11/06	2014/05/29	25.00
65121	Standard Chartered Bank - HSI R Bear CBBC May 2014 D	100,000,000	2013/11/06	2014/05/29	25.00
65138	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 I	200,000,000	2013/11/06	2014/06/27	50.00
65151	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 L	200,000,000	2013/11/06	2014/06/27	50.00
65119	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 O	200,000,000	2013/11/06	2014/02/27	50.00
65153	UBS AG - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/11/06	2014/08/28	75.00
65154	UBS AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2013/11/06	2014/10/30	75.00
65155	UBS AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2013/11/06	2014/10/30	75.00
65143	UBS AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/06	2014/02/27	75.00
65142	UBS AG - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/11/06	2014/02/27	75.00
65131	UBS AG - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/11/06	2014/02/27	75.00
65134	UBS AG - HSI R Bear CBBC Apr 2014 S	350,000,000	2013/11/06	2014/04/29	87.50
65192	Credit Suisse AG - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/11/07	2014/09/29	75.00
65193	Credit Suisse AG - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/11/07	2014/09/29	75.00
65194	Credit Suisse AG - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/11/07	2014/09/29	75.00
65215	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/11/07	2014/02/27	75.00
65232	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Feb 2014 E	150,000,000	2013/11/07	2014/02/27	37.50
65235	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 O	150,000,000	2013/11/07	2014/03/28	37.50
65245	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 P	150,000,000	2013/11/07	2014/03/28	37.50
65234	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Feb 2014 G	150,000,000	2013/11/07	2014/02/27	37.50
65178	HK Bank - Minsheng Bank R Bull CBBC Oct 2014 A	40,000,000	2013/11/07	2014/10/13	11.20
65179	HK Bank - Minsheng Bank R Bear CBBC Aug 2014 A	40,000,000	2013/11/07	2014/08/04	10.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65185	HK Bank - CNBM R Bull CBBC Jun 2014 C	50,000,000	2013/11/07	2014/06/30	12.50
65183	HK Bank - China Shenhua R Bear CBBC Dec 2014 A	40,000,000	2013/11/07	2014/12/22	10.00
65177	HK Bank - HSCEI R Bull CBBC Jul 2014 B	100,000,000	2013/11/07	2014/07/30	25.00
65174	HK Bank - HSI R Bull CBBC May 2014 E	100,000,000	2013/11/07	2014/05/29	25.00
65172	HK Bank - HSI R Bull CBBC Jun 2014 F	100,000,000	2013/11/07	2014/06/27	25.00
65191	HK Bank - Ping An R Bear CBBC Aug 2014 A	40,000,000	2013/11/07	2014/08/04	10.00
65231	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 N	300,000,000	2013/11/07	2014/10/30	75.00
65230	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 C	300,000,000	2013/11/07	2014/11/27	75.00
65229	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 B	300,000,000	2013/11/07	2014/12/30	75.00
65227	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/11/07	2014/02/27	75.00
65212	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 B	100,000,000	2013/11/07	2014/07/30	25.00
65214	Standard Chartered Bank - HSI R Bear CBBC Jul 2014 C	100,000,000	2013/11/07	2014/07/30	25.00
65195	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 M	200,000,000	2013/11/07	2014/06/27	50.00
65221	UBS AG - Galaxy Ent R Bear CBBC Apr 2014 A	100,000,000	2013/11/07	2014/04/28	25.00
65210	UBS AG - HSI R Bull CBBC Jul 2014 O	300,000,000	2013/11/07	2014/07/30	75.00
65201	UBS AG - HSI R Bull CBBC Aug 2014 S	300,000,000	2013/11/07	2014/08/28	75.00
65202	UBS AG - HSI R Bull CBBC Aug 2014 T	300,000,000	2013/11/07	2014/08/28	75.00
65224	UBS AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/11/07	2014/02/27	75.00
65219	UBS AG - HSI R Bear CBBC Apr 2014 T	350,000,000	2013/11/07	2014/04/29	87.50
65218	UBS AG - HSI R Bear CBBC May 2014 C	350,000,000	2013/11/07	2014/05/29	87.50
65223	UBS AG - TCH R Bear CBBC Jun 2014 D	50,000,000	2013/11/07	2014/06/30	18.50
65264	Credit Suisse AG - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/11/08	2014/08/28	75.00
65269	Credit Suisse AG - HSI R Bull CBBC Aug 2014 S	300,000,000	2013/11/08	2014/08/28	75.00
65262	Credit Suisse AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/11/08	2014/02/27	75.00
65263	Credit Suisse AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/11/08	2014/02/27	75.00
65301	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Feb 2014 F	150,000,000	2013/11/08	2014/02/27	37.50
65279	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 Q	150,000,000	2013/11/08	2014/03/28	37.50
65278	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 O	300,000,000	2013/11/08	2014/10/30	75.00
65277	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 D	300,000,000	2013/11/08	2014/11/27	75.00
65275	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/11/08	2014/02/27	75.00
65272	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 D	300,000,000	2013/11/08	2014/04/29	75.00
65271	J P Morgan SP BV - HSI R Bear CBBC May 2014 A	300,000,000	2013/11/08	2014/05/29	75.00
65259	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 K	100,000,000	2013/11/08	2014/08/28	25.00
65248	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 A	200,000,000	2013/11/08	2014/06/27	50.00
65249	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 P	200,000,000	2013/11/08	2014/02/27	50.00
65260	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 Q	200,000,000	2013/11/08	2014/02/27	50.00
65261	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 R	200,000,000	2013/11/08	2014/02/27	50.00
65266	UBS AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2013/11/08	2014/09/29	75.00
65268	UBS AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2013/11/08	2014/09/29	75.00
65265	UBS AG - HSI R Bear CBBC Apr 2014 U	350,000,000	2013/11/08	2014/04/29	87.50
65313	Credit Suisse AG - HSI R Bull CBBC Aug 2014 X	300,000,000	2013/11/11	2014/08/28	75.00
65323	Credit Suisse AG - HSI R Bear CBBC Mar 2014 S	300,000,000	2013/11/11	2014/03/28	75.00
65331	Credit Suisse AG - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/11/11	2014/03/28	75.00
65315	Credit Suisse AG - HSI R Bear CBBC Apr 2014 H	300,000,000	2013/11/11	2014/04/29	75.00
65322	Credit Suisse AG - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/11/11	2014/04/29	75.00

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65338	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 N	300,000,000	2013/11/11	2014/09/29	75.00
65339	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/11	2014/02/27	75.00
65314	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 S	200,000,000	2013/11/11	2014/02/27	50.00
65312	UBS AG - HSI R Bull CBBC May 2014 Z	350,000,000	2013/11/11	2014/05/29	87.50
65311	UBS AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2013/11/11	2014/09/29	75.00
65332	UBS AG - HSI R Bear CBBC Apr 2014 V	350,000,000	2013/11/11	2014/04/29	87.50
65337	UBS AG - HSI R Bear CBBC Apr 2014 W	300,000,000	2013/11/11	2014/04/29	75.00
65399	Credit Suisse AG - HSI R Bull CBBC Oct 2014 H	300,000,000	2013/11/12	2014/10/30	75.00
65400	Credit Suisse AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2013/11/12	2014/10/30	75.00
65465	Credit Suisse AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/11/12	2014/02/27	75.00
65443	Credit Suisse AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/11/12	2014/02/27	75.00
65449	Credit Suisse AG - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/11/12	2014/02/27	75.00
65377	HK Bank - CM Bank R Bull CBBC Jul 2014 A	40,000,000	2013/11/12	2014/07/14	10.00
65384	HK Bank - CM Bank R Bear CBBC Jul 2014 A	40,000,000	2013/11/12	2014/07/14	11.20
65364	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2014 A	80,000,000	2013/11/12	2014/09/15	20.00
65373	HK Bank - Kunlun Energy R Bull CBBC Jul 2014 B	40,000,000	2013/11/12	2014/07/14	10.00
65374	HK Bank - Kunlun Energy R Bull CBBC Aug 2014 A	40,000,000	2013/11/12	2014/08/18	10.00
65394	HK Bank - Lenovo R Bear CBBC Jun 2014 B	40,000,000	2013/11/12	2014/06/09	10.00
65483	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 O	300,000,000	2013/11/12	2014/09/29	75.00
65484	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/11/12	2014/02/27	75.00
65412	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 L	100,000,000	2013/11/12	2014/08/28	25.00
65424	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 I	100,000,000	2013/11/12	2014/03/28	25.00
65421	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 N	200,000,000	2013/11/12	2014/06/27	50.00
65422	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 T	200,000,000	2013/11/12	2014/02/27	50.00
65433	UBS AG - China Life R Bull CBBC Aug 2014 A	100,000,000	2013/11/12	2014/08/11	25.00
65429	UBS AG - CSOP A50 ETF R Bear CBBC Apr 2014 A	100,000,000	2013/11/12	2014/04/07	25.00
65437	UBS AG - HKEx R Bear CBBC Mar 2014 B	100,000,000	2013/11/12	2014/03/31	25.00
65440	UBS AG - HSBC R Bull CBBC Jun 2014 C	100,000,000	2013/11/12	2014/06/23	25.00
65427	UBS AG - HSCEI R Bull CBBC Sep 2014 A	100,000,000	2013/11/12	2014/09/29	25.00
65411	UBS AG - HSI R Bull CBBC Jun 2014 M	300,000,000	2013/11/12	2014/06/27	75.00
65406	UBS AG - HSI R Bull CBBC Oct 2014 I	300,000,000	2013/11/12	2014/10/30	75.00
65480	UBS AG - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/11/12	2014/02/27	75.00
65475	UBS AG - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/11/12	2014/02/27	75.00
65439	UBS AG - Kunlun Energy R Bull CBBC Jul 2014 A	40,000,000	2013/11/12	2014/07/21	10.00
65436	UBS AG - Ping An R Bear CBBC Apr 2014 B	100,000,000	2013/11/12	2014/04/07	25.00
65511	Credit Suisse AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2013/11/13	2014/10/30	75.00
65500	Credit Suisse AG - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/11/13	2014/03/28	75.00
65492	HK Bank - ABC R Bull CBBC Aug 2014 C	80,000,000	2013/11/13	2014/08/11	20.00
65490	HK Bank - CC Bank R Bull CBBC Jul 2014 C	80,000,000	2013/11/13	2014/07/28	20.00
65491	HK Bank - CC Bank R Bull CBBC Aug 2014 A	80,000,000	2013/11/13	2014/08/11	20.00
65485	HK Bank - China Life R Bull CBBC Jul 2014 B	60,000,000	2013/11/13	2014/07/28	18.00
65535	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 P	300,000,000	2013/11/13	2014/10/30	75.00
65537	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 E	300,000,000	2013/11/13	2014/02/27	75.00
65493	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 M	100,000,000	2013/11/13	2014/08/28	25.00
65532	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 A	80,000,000	2013/11/13	2014/12/30	20.00

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65495	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 J	100,000,000	2013/11/13	2014/03/28	25.00
65507	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 O	200,000,000	2013/11/13	2014/06/27	50.00
65510	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 U	200,000,000	2013/11/13	2014/02/27	50.00
65512	UBS AG - HSI R Bull CBBC Aug 2014 U	300,000,000	2013/11/13	2014/08/28	75.00
65524	UBS AG - HSI R Bull CBBC Aug 2014 V	350,000,000	2013/11/13	2014/08/28	87.50
65503	UBS AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/11/13	2014/03/28	75.00
65505	UBS AG - HSI R Bear CBBC Apr 2014 X	350,000,000	2013/11/13	2014/04/29	87.50
65506	UBS AG - TCH R Bear CBBC Jun 2014 E	50,000,000	2013/11/13	2014/06/09	18.00
65619	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/11/14	2014/04/29	75.00
65621	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/11/14	2014/04/29	75.00
65626	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 K	300,000,000	2013/11/14	2014/04/29	75.00
65646	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 L	300,000,000	2013/11/14	2014/04/29	75.00
65647	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 M	300,000,000	2013/11/14	2014/04/29	75.00
65584	Credit Suisse AG - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/11/14	2014/09/29	75.00
65585	Credit Suisse AG - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/11/14	2014/09/29	75.00
65588	Credit Suisse AG - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/11/14	2014/09/29	75.00
65669	Credit Suisse AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/11/14	2014/02/27	75.00
65670	Credit Suisse AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/11/14	2014/02/27	75.00
65689	Credit Suisse AG - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/11/14	2014/02/27	75.00
65706	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC May 14 A	100,000,000	2013/11/14	2014/05/13	25.00
65707	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC May 14 A	100,000,000	2013/11/14	2014/05/13	25.00
65538	HK Bank - Anhui Conch R Bull CBBC Dec 2014 B	50,000,000	2013/11/14	2014/12/18	12.50
65547	HK Bank - CNOOC R Bull CBBC Jun 2014 A	60,000,000	2013/11/14	2014/06/30	22.20
65545	HK Bank - Sinopec Corp R Bull CBBC Aug 2014 A	80,000,000	2013/11/14	2014/08/04	20.00
65546	HK Bank - Hutchison R Bear CBBC Nov 2014 A	50,000,000	2013/11/14	2014/11/17	12.50
65702	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Q	300,000,000	2013/11/14	2014/10/30	75.00
65705	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 C	300,000,000	2013/11/14	2014/02/27	75.00
65703	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/11/14	2014/02/27	75.00
65577	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 B	150,000,000	2013/11/14	2014/12/30	37.50
65578	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 C	150,000,000	2013/11/14	2014/12/30	37.50
65575	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 K	150,000,000	2013/11/14	2014/03/28	37.50
65648	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 I	150,000,000	2013/11/14	2014/04/29	37.50
65591	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 U	200,000,000	2013/11/14	2014/06/27	50.00
65592	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 V	200,000,000	2013/11/14	2014/02/27	50.00
65600	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 W	200,000,000	2013/11/14	2014/02/27	50.00
65565	UBS AG - HSI R Bull CBBC Jun 2014 X	300,000,000	2013/11/14	2014/06/27	75.00
65555	UBS AG - HSI R Bull CBBC Aug 2014 W	300,000,000	2013/11/14	2014/08/28	75.00
65697	UBS AG - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/11/14	2014/02/27	75.00
65698	UBS AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/11/14	2014/02/27	75.00
65692	UBS AG - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/11/14	2014/03/28	75.00
68581 #	HK Bank - Minsheng Bank R Bull CBBC Mar 2014 B	88,000,000	2013/11/14	2014/03/10	13.02
65722	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/11/15	2014/08/28	75.00
65755	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/11/15	2014/08/28	75.00
65723	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 N	300,000,000	2013/11/15	2014/04/29	75.00
65756	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 O	300,000,000	2013/11/15	2014/04/29	75.00

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65738	Credit Suisse AG - HSI R Bull CBBC Aug 2014 T	300,000,000	2013/11/15	2014/08/28	75.00
65743	Credit Suisse AG - HSI R Bull CBBC Aug 2014 U	300,000,000	2013/11/15	2014/08/28	75.00
65732	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Y	300,000,000	2013/11/15	2014/08/28	75.00
65745	Credit Suisse AG - HSI R Bear CBBC Mar 2014 V	300,000,000	2013/11/15	2014/03/28	75.00
65778	Credit Suisse AG - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/11/15	2014/03/28	75.00
65780	Credit Suisse AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/11/15	2014/03/28	75.00
65709	HK Bank - HSI R Bear CBBC Jun 2014 D	100,000,000	2013/11/15	2014/06/27	25.00
65711	HK Bank - HSI R Bear CBBC Jun 2014 E	100,000,000	2013/11/15	2014/06/27	25.00
65712	HK Bank - HSI R Bear CBBC Jun 2014 F	100,000,000	2013/11/15	2014/06/27	25.00
65787	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 P	300,000,000	2013/11/15	2014/09/29	75.00
65792	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/11/15	2014/02/27	75.00
65793	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 I	300,000,000	2013/11/15	2014/02/27	75.00
65739	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 D	150,000,000	2013/11/15	2014/12/30	37.50
65761	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 J	100,000,000	2013/11/15	2014/04/29	25.00
65716	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 V	200,000,000	2013/11/15	2014/06/27	50.00
65717	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 X	200,000,000	2013/11/15	2014/02/27	50.00
65754	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 Y	200,000,000	2013/11/15	2014/02/27	50.00
65725	UBS AG - HSI R Bull CBBC Jul 2014 Q	300,000,000	2013/11/15	2014/07/30	75.00
65730	UBS AG - HSI R Bull CBBC Oct 2014 J	300,000,000	2013/11/15	2014/10/30	75.00
65770	UBS AG - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/11/15	2014/02/27	75.00
65765	UBS AG - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/11/15	2014/03/28	75.00
65773	UBS AG - TCH R Bear CBBC Apr 2014 C	50,000,000	2013/11/15	2014/04/07	19.75
65937	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/11/18	2014/08/28	75.00
65939	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Q	300,000,000	2013/11/18	2014/08/28	75.00
65912	Credit Suisse AG - HSI R Bull CBBC Nov 2014 A	300,000,000	2013/11/18	2014/11/27	75.00
65914	Credit Suisse AG - HSI R Bull CBBC Nov 2014 B	300,000,000	2013/11/18	2014/11/27	75.00
65915	Credit Suisse AG - HSI R Bull CBBC Nov 2014 C	300,000,000	2013/11/18	2014/11/27	75.00
65916	Credit Suisse AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2013/11/18	2014/11/27	75.00
65920	Credit Suisse AG - HSI R Bull CBBC Nov 2014 E	300,000,000	2013/11/18	2014/11/27	75.00
65880	Credit Suisse AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/18	2014/02/27	75.00
65885	Credit Suisse AG - HSI R Bear CBBC Feb 2014 W	300,000,000	2013/11/18	2014/02/27	75.00
65908	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/11/18	2014/02/27	75.00
65871	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 B	150,000,000	2013/11/18	2014/03/28	38.40
65874	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 C	150,000,000	2013/11/18	2014/03/28	38.55
65875	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 D	150,000,000	2013/11/18	2014/03/28	38.40
65876	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 E	150,000,000	2013/11/18	2014/03/28	38.25
65879	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 F	150,000,000	2013/11/18	2014/03/28	37.80
65836	HK Bank - CC Bank R Bear CBBC Aug 2014 A	40,000,000	2013/11/18	2014/08/18	10.00
65855	HK Bank - Minsheng Bank R Bear CBBC Jul 2014 A	40,000,000	2013/11/18	2014/07/14	10.00
65865	HK Bank - HSCEI R Bear CBBC May 2014 E	68,000,000	2013/11/18	2014/05/29	17.00
65797	HK Bank - HSI R Bear CBBC May 2014 H	100,000,000	2013/11/18	2014/05/29	25.00
65796	HK Bank - HSI R Bear CBBC Jun 2014 G	100,000,000	2013/11/18	2014/06/27	25.00
65795	HK Bank - HSI R Bear CBBC Jul 2014 B	100,000,000	2013/11/18	2014/07/30	25.00
65832	HK Bank - TCH R Bull CBBC Aug 2014 A	50,000,000	2013/11/18	2014/08/25	20.00
65833	HK Bank - TCH R Bull CBBC Sep 2014 B	50,000,000	2013/11/18	2014/09/29	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65823	HK Bank - TCH R Bear CBBC Jun 2014 D	50,000,000	2013/11/18	2014/06/26	21.00
65826	HK Bank - TCH R Bear CBBC Jul 2014 D	50,000,000	2013/11/18	2014/07/10	15.50
65798	HK Bank - TCH R Bear CBBC Sep 2014 B	50,000,000	2013/11/18	2014/09/29	23.00
65830	HK Bank - TCH R Bear CBBC Sep 2014 C	50,000,000	2013/11/18	2014/09/15	18.00
65799	HK Bank - TCH R Bear CBBC Oct 2014 A	50,000,000	2013/11/18	2014/10/27	26.00
65946	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 Q	300,000,000	2013/11/18	2014/09/29	75.00
65942	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 R	300,000,000	2013/11/18	2014/10/30	75.00
65943	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 S	300,000,000	2013/11/18	2014/10/30	75.00
65866	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 E	100,000,000	2013/11/18	2014/12/30	25.00
65868	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 F	100,000,000	2013/11/18	2014/12/30	25.00
65904	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 P	200,000,000	2013/11/18	2014/06/27	50.00
65907	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 Z	200,000,000	2013/11/18	2014/02/27	50.00
65934	UBS AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2013/11/18	2014/09/29	75.00
65933	UBS AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2013/11/18	2014/10/30	75.00
65935	UBS AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2013/11/18	2014/10/30	75.00
65911	UBS AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/11/18	2014/02/27	75.00
65892	UBS AG - HSI R Bear CBBC Mar 2014 S	350,000,000	2013/11/18	2014/03/28	87.50
65910	UBS AG - HSI R Bear CBBC Apr 2014 Y	300,000,000	2013/11/18	2014/04/29	75.00
65970	Credit Suisse AG - HSI R Bull CBBC Oct 2014 K	300,000,000	2013/11/19	2014/10/30	75.00
65971	Credit Suisse AG - HSI R Bull CBBC Oct 2014 L	300,000,000	2013/11/19	2014/10/30	75.00
66063	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Y	300,000,000	2013/11/19	2014/03/28	75.00
66050	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 A	150,000,000	2013/11/19	2014/03/28	38.70
66057	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 B	150,000,000	2013/11/19	2014/03/28	41.10
65969	HK Bank - A50 R Bull CBBC Jun 2014 B	100,000,000	2013/11/19	2014/06/30	25.00
65956	HK Bank - HSI R Bull CBBC May 2014 F	100,000,000	2013/11/19	2014/05/29	25.00
65963	HK Bank - HSI R Bull CBBC Jul 2014 C	100,000,000	2013/11/19	2014/07/30	25.00
65950	HK Bank - HSI R Bull CBBC Aug 2014 C	100,000,000	2013/11/19	2014/08/28	25.00
65951	HK Bank - HSI R Bear CBBC Jun 2014 H	100,000,000	2013/11/19	2014/06/27	25.00
65968	HK Bank - Lenovo R Bull CBBC Oct 2014 A	60,000,000	2013/11/19	2014/10/06	15.00
65967	HK Bank - TCH R Bull CBBC Aug 2014 B	50,000,000	2013/11/19	2014/08/04	12.50
66071	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 E	300,000,000	2013/11/19	2014/11/27	75.00
66072	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/11/19	2014/02/27	75.00
65973	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 S	200,000,000	2013/11/19	2014/06/27	50.00
66042	UBS AG - Cheung Kong R Bull CBBC Jun 2014 B	100,000,000	2013/11/19	2014/06/16	25.00
65997	UBS AG - China Life R Bear CBBC May 2014 A	100,000,000	2013/11/19	2014/05/12	30.50
66002	UBS AG - Minsheng Bank R Bull CBBC Jul 2014 B	50,000,000	2013/11/19	2014/07/14	12.50
66017	UBS AG - Sinopec Corp R Bull CBBC Jul 2014 A	100,000,000	2013/11/19	2014/07/21	25.00
66025	UBS AG - Galaxy Ent R Bull CBBC May 2014 A	100,000,000	2013/11/19	2014/05/26	25.00
66038	UBS AG - HSBC R Bull CBBC Sep 2014 A	100,000,000	2013/11/19	2014/09/08	25.00
66027	UBS AG - HSBC R Bear CBBC Apr 2014 A	100,000,000	2013/11/19	2014/04/07	25.00
65980	UBS AG - HSI R Bull CBBC Jun 2014 N	350,000,000	2013/11/19	2014/06/27	87.50
65974	UBS AG - HSI R Bull CBBC Aug 2014 X	300,000,000	2013/11/19	2014/08/28	75.00
65979	UBS AG - HSI R Bull CBBC Oct 2014 M	300,000,000	2013/11/19	2014/10/30	75.00
66067	UBS AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/11/19	2014/02/27	75.00
65990	UBS AG - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/11/19	2014/03/28	75.00

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65993	UBS AG - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/11/19	2014/03/28	75.00
66068	UBS AG - HSI R Bear CBBC Mar 2014 W	350,000,000	2013/11/19	2014/03/28	87.50
65985	UBS AG - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/11/19	2014/04/29	75.00
65983	UBS AG - HSI R Bear CBBC Apr 2014 Z	300,000,000	2013/11/19	2014/04/29	75.00
66006	UBS AG - Sands China R Bear CBBC May 2014 C	100,000,000	2013/11/19	2014/05/05	25.00
66026	UBS AG - SHK Ppt R Bear CBBC Feb 2014 A	100,000,000	2013/11/19	2014/02/24	25.00
66081	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 S	300,000,000	2013/11/20	2014/08/28	75.00
66208	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 T	300,000,000	2013/11/20	2014/08/28	75.00
66213	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Z	300,000,000	2013/11/20	2014/08/28	75.00
66084	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/11/20	2014/04/29	75.00
66101	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 Q	300,000,000	2013/11/20	2014/04/29	75.00
66224	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 R	300,000,000	2013/11/20	2014/04/29	75.00
66345	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 S	300,000,000	2013/11/20	2014/04/29	75.00
66348	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 T	300,000,000	2013/11/20	2014/04/29	75.00
66349	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 U	300,000,000	2013/11/20	2014/04/29	75.00
66421	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 V	300,000,000	2013/11/20	2014/04/29	75.00
66085	Credit Suisse AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2013/11/20	2014/09/29	75.00
66088	Credit Suisse AG - HSI R Bull CBBC Sep 2014 J	300,000,000	2013/11/20	2014/09/29	75.00
66090	Credit Suisse AG - HSI R Bull CBBC Sep 2014 K	300,000,000	2013/11/20	2014/09/29	75.00
66093	Credit Suisse AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2013/11/20	2014/09/29	75.00
66197	Credit Suisse AG - HSI R Bull CBBC Sep 2014 M	300,000,000	2013/11/20	2014/09/29	75.00
66198	Credit Suisse AG - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/11/20	2014/02/27	75.00
66283	Credit Suisse AG - HSI R Bear CBBC Feb 2014 Q	300,000,000	2013/11/20	2014/02/27	75.00
66286	Credit Suisse AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/11/20	2014/02/27	75.00
66126	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 C	150,000,000	2013/11/20	2014/03/28	37.95
66157	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 G	150,000,000	2013/11/20	2014/03/28	38.40
66158	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 H	150,000,000	2013/11/20	2014/03/28	38.25
66162	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 I	150,000,000	2013/11/20	2014/03/28	37.80
66104	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 T	300,000,000	2013/11/20	2014/10/30	75.00
66454	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 U	300,000,000	2013/11/20	2014/10/30	75.00
66114	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 F	300,000,000	2013/11/20	2014/11/27	75.00
66444	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 G	300,000,000	2013/11/20	2014/11/27	75.00
66106	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 C	300,000,000	2013/11/20	2014/12/30	75.00
66443	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 M	300,000,000	2013/11/20	2014/02/27	75.00
66435	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/11/20	2014/03/28	75.00
66436	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/11/20	2014/03/28	75.00
66076	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 N	150,000,000	2013/11/20	2014/08/28	37.50
66077	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 O	150,000,000	2013/11/20	2014/08/28	37.50
66184	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 P	150,000,000	2013/11/20	2014/08/28	37.50
66288	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 Q	150,000,000	2013/11/20	2014/08/28	37.50
66174	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 K	100,000,000	2013/11/20	2014/04/29	25.00
66287	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 L	150,000,000	2013/11/20	2014/04/29	37.50
66259	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 J	200,000,000	2013/11/20	2014/06/27	50.00
66231	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 Q	200,000,000	2013/11/20	2014/06/27	50.00
66248	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 R	200,000,000	2013/11/20	2014/06/27	50.00

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66094	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 T	200,000,000	2013/11/20	2014/06/27	50.00
66333	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 W	200,000,000	2013/11/20	2014/06/27	50.00
66341	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 X	200,000,000	2013/11/20	2014/06/27	50.00
66095	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 A	200,000,000	2013/11/20	2014/07/30	50.00
66272	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 B	200,000,000	2013/11/20	2014/07/30	50.00
66280	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 C	200,000,000	2013/11/20	2014/07/30	50.00
66100	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 D	200,000,000	2013/11/20	2014/02/27	50.00
66281	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 E	200,000,000	2013/11/20	2014/02/27	50.00
66342	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 G	200,000,000	2013/11/20	2014/02/27	50.00
66080	UBS AG - HSI R Bull CBBC Jun 2014 P	350,000,000	2013/11/20	2014/06/27	87.50
66078	UBS AG - HSI R Bull CBBC Aug 2014 Y	300,000,000	2013/11/20	2014/08/28	75.00
66079	UBS AG - HSI R Bull CBBC Sep 2014 Y	300,000,000	2013/11/20	2014/09/29	75.00
66282	UBS AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2013/11/20	2014/10/30	75.00
66331	UBS AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/11/20	2014/02/27	75.00
66308	UBS AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/11/20	2014/02/27	75.00
66292	UBS AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/11/20	2014/03/28	75.00
66304	UBS AG - HSI R Bear CBBC Mar 2014 Y	300,000,000	2013/11/20	2014/03/28	75.00
66290	UBS AG - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/11/20	2014/04/29	75.00
66776	Credit Suisse AG - HSI R Bull CBBC Jul 2014 K	300,000,000	2013/11/21	2014/07/30	75.00
66780	Credit Suisse AG - HSI R Bull CBBC Jul 2014 M	300,000,000	2013/11/21	2014/07/30	75.00
66599	Credit Suisse AG - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/11/21	2014/03/28	75.00
66604	Credit Suisse AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/11/21	2014/03/28	75.00
66606	Credit Suisse AG - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/11/21	2014/03/28	75.00
66596	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/11/21	2014/03/28	75.00
66565	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun14A	80,000,000	2013/11/21	2014/06/03	20.00
66593	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jun14A	80,000,000	2013/11/21	2014/06/03	20.00
66796	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2014 B	150,000,000	2013/11/21	2014/03/28	37.50
66801	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2014 C	150,000,000	2013/11/21	2014/03/28	37.50
66803	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 D	120,000,000	2013/11/21	2014/03/28	30.00
66531	HK Bank - HSI R Bull CBBC Jun 2014 G	100,000,000	2013/11/21	2014/06/27	25.00
66532	HK Bank - HSI R Bull CBBC Jul 2014 D	100,000,000	2013/11/21	2014/07/30	25.00
66510	HK Bank - HSI R Bear CBBC May 2014 I	100,000,000	2013/11/21	2014/05/29	25.00
66511	HK Bank - HSI R Bear CBBC Jun 2014 I	100,000,000	2013/11/21	2014/06/27	25.00
66455	HK Bank - HSI R Bear CBBC Aug 2014 B	100,000,000	2013/11/21	2014/08/28	25.00
66554	HK Bank - ICBC R Bear CBBC Oct 2014 A	40,000,000	2013/11/21	2014/10/06	10.00
66552	HK Bank - TCH R Bear CBBC Jul 2014 E	50,000,000	2013/11/21	2014/07/16	12.50
66536	HK Bank - TCH R Bear CBBC Aug 2014 A	50,000,000	2013/11/21	2014/08/27	14.50
66545	HK Bank - TCH R Bear CBBC Aug 2014 B	50,000,000	2013/11/21	2014/08/20	12.50
66534	HK Bank - TCH R Bear CBBC Sep 2014 D	50,000,000	2013/11/21	2014/09/04	17.50
66816	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 V	300,000,000	2013/11/21	2014/10/30	75.00
66858	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 W	300,000,000	2013/11/21	2014/10/30	75.00
66854	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 H	300,000,000	2013/11/21	2014/11/27	75.00
66862	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 I	300,000,000	2013/11/21	2014/11/27	75.00
66831	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/11/21	2014/03/28	75.00
66853	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/11/21	2014/03/28	75.00

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66743	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 E	200,000,000	2013/11/21	2014/06/27	50.00
66642	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 Y	200,000,000	2013/11/21	2014/06/27	50.00
66647	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 Z	200,000,000	2013/11/21	2014/06/27	50.00
66616	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 D	200,000,000	2013/11/21	2014/07/30	50.00
66636	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 E	200,000,000	2013/11/21	2014/07/30	50.00
66681	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 F	200,000,000	2013/11/21	2014/02/27	50.00
66730	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 H	200,000,000	2013/11/21	2014/02/27	50.00
66749	UBS AG - HSI R Bull CBBC Jul 2014 N	350,000,000	2013/11/21	2014/07/30	87.50
66781	UBS AG - HSI R Bull CBBC Sep 2014 Z	300,000,000	2013/11/21	2014/09/29	75.00
66741	UBS AG - HSI R Bear CBBC Feb 2014 Y	300,000,000	2013/11/21	2014/02/27	75.00
66740	UBS AG - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/11/21	2014/03/28	75.00
66737	UBS AG - HSI R Bear CBBC Apr 2014 F	300,000,000	2013/11/21	2014/04/29	75.00
66970	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 W	300,000,000	2013/11/22	2014/04/29	75.00
66971	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 X	300,000,000	2013/11/22	2014/04/29	75.00
66989	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 Y	300,000,000	2013/11/22	2014/04/29	75.00
67033	Credit Suisse AG - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/11/22	2014/08/28	75.00
67048	Credit Suisse AG - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/11/22	2014/08/28	75.00
67050	Credit Suisse AG - HSI R Bull CBBC Aug 2014 V	300,000,000	2013/11/22	2014/08/28	75.00
67031	Credit Suisse AG - HSI R Bull CBBC Aug 2014 W	300,000,000	2013/11/22	2014/08/28	75.00
67071	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Z	300,000,000	2013/11/22	2014/08/28	75.00
66921	Credit Suisse AG - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/11/22	2014/04/29	75.00
66922	Credit Suisse AG - HSI R Bear CBBC Apr 2014 K	300,000,000	2013/11/22	2014/04/29	75.00
66941	Credit Suisse AG - HSI R Bear CBBC Apr 2014 L	300,000,000	2013/11/22	2014/04/29	75.00
66864	HK Bank - HSI R Bull CBBC Jun 2014 H	100,000,000	2013/11/22	2014/06/27	25.00
66866	HK Bank - TCH R Bull CBBC Jul 2014 C	50,000,000	2013/11/22	2014/07/24	12.50
66865	HK Bank - TCH R Bull CBBC Aug 2014 C	50,000,000	2013/11/22	2014/08/14	15.00
67114	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 X	300,000,000	2013/11/22	2014/10/30	75.00
67113	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 J	300,000,000	2013/11/22	2014/11/27	75.00
67112	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 D	300,000,000	2013/11/22	2014/12/30	75.00
67111	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/11/22	2014/02/27	75.00
67109	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/11/22	2014/03/28	75.00
67029	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 A	150,000,000	2013/11/22	2014/09/29	37.50
66992	Standard Chartered Bank - HSI R Bear CBBC May 2014 E	100,000,000	2013/11/22	2014/05/29	25.00
67000	Standard Chartered Bank - HSI R Bear CBBC May 2014 F	100,000,000	2013/11/22	2014/05/29	25.00
67006	Standard Chartered Bank - HSI R Bear CBBC May 2014 G	100,000,000	2013/11/22	2014/05/29	25.00
67018	Standard Chartered Bank - HSI R Bear CBBC May 2014 H	100,000,000	2013/11/22	2014/05/29	25.00
67009	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 I	200,000,000	2013/11/22	2014/06/27	50.00
67007	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 L	200,000,000	2013/11/22	2014/06/27	50.00
66867	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 I	200,000,000	2013/11/22	2014/02/27	50.00
66877	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 J	200,000,000	2013/11/22	2014/02/27	50.00
66887	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 K	200,000,000	2013/11/22	2014/02/27	50.00
66892	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 L	200,000,000	2013/11/22	2014/02/27	50.00
67090	UBS AG - HSI R Bull CBBC Jul 2014 P	300,000,000	2013/11/22	2014/07/30	75.00
67095	UBS AG - HSI R Bull CBBC Jul 2014 R	300,000,000	2013/11/22	2014/07/30	75.00
67102	UBS AG - HSI R Bull CBBC Sep 2014 K	300,000,000	2013/11/22	2014/09/29	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67081	UBS AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2013/11/22	2014/10/30	75.00
66914	UBS AG - HSI R Bear CBBC Feb 2014 D	300,000,000	2013/11/22	2014/02/27	75.00
66919	UBS AG - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/11/22	2014/03/28	75.00
66920	UBS AG - HSI R Bear CBBC Apr 2014 D	350,000,000	2013/11/22	2014/04/29	87.50
67569	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 E	300,000,000	2013/11/25	2014/08/28	75.00
67960	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/11/25	2014/08/28	75.00
67580	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 G	300,000,000	2013/11/25	2014/08/28	75.00
67575	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/11/25	2014/08/28	75.00
67966	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/11/25	2014/08/28	75.00
67583	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/11/25	2014/08/28	75.00
67585	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/11/25	2014/08/28	75.00
67963	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/11/25	2014/08/28	75.00
67502	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 U	300,000,000	2013/11/25	2014/08/28	75.00
67533	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 V	300,000,000	2013/11/25	2014/08/28	75.00
67568	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 W	300,000,000	2013/11/25	2014/08/28	75.00
67527	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 X	300,000,000	2013/11/25	2014/08/28	75.00
67577	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 Y	300,000,000	2013/11/25	2014/08/28	75.00
67144	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 A	300,000,000	2013/11/25	2014/04/29	75.00
67164	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/11/25	2014/04/29	75.00
67166	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/11/25	2014/04/29	75.00
67586	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 D	300,000,000	2013/11/25	2014/04/29	75.00
67988	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/11/25	2014/04/29	75.00
67602	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 G	300,000,000	2013/11/25	2014/04/29	75.00
67604	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 H	300,000,000	2013/11/25	2014/04/29	75.00
67606	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/11/25	2014/04/29	75.00
67612	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/11/25	2014/04/29	75.00
67969	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 O	300,000,000	2013/11/25	2014/04/29	75.00
67143	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 Z	300,000,000	2013/11/25	2014/04/29	75.00
67684	Credit Suisse AG - HSI R Bull CBBC Jul 2014 I	300,000,000	2013/11/25	2014/07/30	75.00
67704	Credit Suisse AG - HSI R Bull CBBC Jul 2014 J	300,000,000	2013/11/25	2014/07/30	75.00
67706	Credit Suisse AG - HSI R Bull CBBC Aug 2014 D	300,000,000	2013/11/25	2014/08/28	75.00
67736	Credit Suisse AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/11/25	2014/08/28	75.00
67738	Credit Suisse AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/11/25	2014/08/28	75.00
67739	Credit Suisse AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/11/25	2014/08/28	75.00
67710	Credit Suisse AG - HSI R Bull CBBC Aug 2014 M	300,000,000	2013/11/25	2014/08/28	75.00
67130	Credit Suisse AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/11/25	2014/02/27	75.00
67138	Credit Suisse AG - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/11/25	2014/02/27	75.00
67122	Credit Suisse AG - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/11/25	2014/03/28	75.00
67128	Credit Suisse AG - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/11/25	2014/03/28	75.00
67129	Credit Suisse AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/11/25	2014/03/28	75.00
67665	Credit Suisse AG - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/11/25	2014/03/28	75.00
67682	Credit Suisse AG - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/11/25	2014/03/28	75.00
67683	Credit Suisse AG - HSI R Bear CBBC Mar 2014 V	300,000,000	2013/11/25	2014/03/28	75.00
67762	Credit Suisse AG - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/11/25	2014/03/28	75.00
67774	Credit Suisse AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/11/25	2014/03/28	75.00

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68116	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Apr14B	100,000,000	2013/11/25	2014/04/28	25.00
68117	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC May14A	100,000,000	2013/11/25	2014/05/26	25.00
68104	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Apr14B	100,000,000	2013/11/25	2014/04/28	25.00
68114	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC May14A	100,000,000	2013/11/25	2014/05/26	25.00
68121	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC Apr 14 D	100,000,000	2013/11/25	2014/04/28	25.00
68127	Citigroup Global Mkt H Inc. - A50 China R Bull CBBC May 14 B	100,000,000	2013/11/25	2014/05/26	25.00
68119	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC Apr 14 D	100,000,000	2013/11/25	2014/04/28	25.00
68120	Citigroup Global Mkt H Inc. - A50 China R Bear CBBC May 14 B	100,000,000	2013/11/25	2014/05/26	25.00
68077	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 R	150,000,000	2013/11/25	2014/03/28	37.50
68079	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 S	150,000,000	2013/11/25	2014/03/28	37.50
68092	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2014 D	150,000,000	2013/11/25	2014/03/28	37.50
68100	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2014 E	150,000,000	2013/11/25	2014/03/28	37.50
67395	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 E	150,000,000	2013/11/25	2014/03/28	39.00
67398	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 F	150,000,000	2013/11/25	2014/03/28	39.15
67402	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 G	150,000,000	2013/11/25	2014/03/28	38.25
67406	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 H	150,000,000	2013/11/25	2014/03/28	37.80
67407	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 I	150,000,000	2013/11/25	2014/03/28	38.70
67386	HK Bank - HSI R Bull CBBC May 2014 G	100,000,000	2013/11/25	2014/05/29	25.00
67352	HK Bank - HSI R Bull CBBC Jun 2014 I	100,000,000	2013/11/25	2014/06/27	25.00
67368	HK Bank - HSI R Bull CBBC Jun 2014 J	100,000,000	2013/11/25	2014/06/27	25.00
67344	HK Bank - HSI R Bull CBBC Jul 2014 E	100,000,000	2013/11/25	2014/07/30	25.00
67348	HK Bank - HSI R Bull CBBC Jul 2014 F	100,000,000	2013/11/25	2014/07/30	25.00
67365	HK Bank - HSI R Bull CBBC Jul 2014 G	100,000,000	2013/11/25	2014/07/30	25.00
67346	HK Bank - HSI R Bull CBBC Aug 2014 D	100,000,000	2013/11/25	2014/08/28	25.00
67989	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 R	300,000,000	2013/11/25	2014/09/29	75.00
68034	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 S	300,000,000	2013/11/25	2014/09/29	75.00
68014	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Y	300,000,000	2013/11/25	2014/10/30	75.00
68051	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Z	300,000,000	2013/11/25	2014/10/30	75.00
68005	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 K	300,000,000	2013/11/25	2014/11/27	75.00
68002	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 E	300,000,000	2013/11/25	2014/12/30	75.00
67500	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 N	300,000,000	2013/11/25	2014/02/27	75.00
67485	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 S	300,000,000	2013/11/25	2014/03/28	75.00
67490	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/11/25	2014/03/28	75.00
67437	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/11/25	2014/04/29	75.00
67479	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 F	300,000,000	2013/11/25	2014/04/29	75.00
67482	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 G	300,000,000	2013/11/25	2014/04/29	75.00
67489	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 H	300,000,000	2013/11/25	2014/04/29	75.00
67631	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 B	150,000,000	2013/11/25	2014/09/29	37.50
67642	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 C	150,000,000	2013/11/25	2014/09/29	37.50
67643	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 D	100,000,000	2013/11/25	2014/09/29	25.00
68067	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 A	100,000,000	2013/11/25	2014/11/27	25.00
68072	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 B	100,000,000	2013/11/25	2014/11/27	25.00
67655	Standard Chartered Bank - HSI R Bear CBBC Mar 2014 L	100,000,000	2013/11/25	2014/03/28	25.00
68052	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 M	100,000,000	2013/11/25	2014/04/29	25.00
67212	Standard Chartered Bank - HSI R Bear CBBC May 2014 I	100,000,000	2013/11/25	2014/05/29	25.00

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67266	Standard Chartered Bank - HSI R Bear CBBC May 2014 J	150,000,000	2013/11/25	2014/05/29	37.50
67274	Standard Chartered Bank - HSI R Bear CBBC May 2014 K	100,000,000	2013/11/25	2014/05/29	25.00
67618	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 A	200,000,000	2013/11/25	2014/06/27	50.00
67622	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 B	200,000,000	2013/11/25	2014/06/27	50.00
67615	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 M	200,000,000	2013/11/25	2014/06/27	50.00
67616	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 F	200,000,000	2013/11/25	2014/07/30	50.00
67619	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 G	200,000,000	2013/11/25	2014/07/30	50.00
67173	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 M	200,000,000	2013/11/25	2014/02/27	50.00
67193	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 N	200,000,000	2013/11/25	2014/02/27	50.00
67204	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 O	200,000,000	2013/11/25	2014/02/27	50.00
67411	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 P	200,000,000	2013/11/25	2014/02/27	50.00
67430	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 S	200,000,000	2013/11/25	2014/02/27	50.00
67431	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 T	200,000,000	2013/11/25	2014/02/27	50.00
67434	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 U	200,000,000	2013/11/25	2014/02/27	50.00
67408	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 V	200,000,000	2013/11/25	2014/02/27	50.00
67956	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 W	200,000,000	2013/11/25	2014/02/27	50.00
67409	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 X	200,000,000	2013/11/25	2014/02/27	50.00
67410	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 Y	200,000,000	2013/11/25	2014/02/27	50.00
67932	UBS AG - China Mobile R Bear CBBC Aug 2014 A	100,000,000	2013/11/25	2014/08/11	25.00
67922	UBS AG - China Life R Bull CBBC Jul 2014 E	100,000,000	2013/11/25	2014/07/14	30.00
67913	UBS AG - China Life R Bear CBBC May 2014 B	100,000,000	2013/11/25	2014/05/26	40.50
67955	UBS AG - HKEx R Bear CBBC Jul 2014 A	100,000,000	2013/11/25	2014/07/21	26.00
67901	UBS AG - HSCEI R Bull CBBC Jul 2014 C	100,000,000	2013/11/25	2014/07/30	25.00
67900	UBS AG - HSCEI R Bull CBBC Sep 2014 B	100,000,000	2013/11/25	2014/09/29	25.00
67898	UBS AG - HSCEI R Bear CBBC May 2014 A	100,000,000	2013/11/25	2014/05/29	25.50
67899	UBS AG - HSCEI R Bear CBBC May 2014 B	100,000,000	2013/11/25	2014/05/29	25.00
67783	UBS AG - HSI R Bull CBBC Sep 2014 I	300,000,000	2013/11/25	2014/09/29	75.00
67814	UBS AG - HSI R Bull CBBC Sep 2014 L	300,000,000	2013/11/25	2014/09/29	75.00
67818	UBS AG - HSI R Bull CBBC Oct 2014 P	300,000,000	2013/11/25	2014/10/30	75.00
67838	UBS AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2013/11/25	2014/10/30	75.00
67289	UBS AG - HSI R Bear CBBC Feb 2014 F	300,000,000	2013/11/25	2014/02/27	75.00
67293	UBS AG - HSI R Bear CBBC Feb 2014 K	300,000,000	2013/11/25	2014/02/27	75.00
67892	UBS AG - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/11/25	2014/02/27	75.00
67300	UBS AG - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/11/25	2014/03/28	75.00
67306	UBS AG - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/11/25	2014/03/28	75.00
67848	UBS AG - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/11/25	2014/04/29	75.00
67845	UBS AG - HSI R Bear CBBC May 2014 D	300,000,000	2013/11/25	2014/05/29	82.50
67930	UBS AG - Ping An R Bull CBBC Oct 2014 B	100,000,000	2013/11/25	2014/10/20	25.00
67927	UBS AG - Ping An R Bear CBBC Apr 2014 C	100,000,000	2013/11/25	2014/04/28	25.00
67936	UBS AG - TCH R Bull CBBC Jul 2014 E	50,000,000	2013/11/25	2014/07/07	18.25
68216	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Jun 2014 D	200,000,000	2013/11/26	2014/06/27	50.00
68203	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Sep 2014 A	100,000,000	2013/11/26	2014/09/29	25.00
68204	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Apr 2014 A	100,000,000	2013/11/26	2014/04/29	25.00
68239	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/11/26	2014/08/28	75.00
68188	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/26	2014/02/27	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68189	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/11/26	2014/02/27	75.00
68181	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 X	300,000,000	2013/11/26	2014/02/27	75.00
68190	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2014 Z	300,000,000	2013/11/26	2014/02/27	75.00
68230	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2014 A	300,000,000	2013/11/26	2014/03/28	75.00
68232	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2014 B	300,000,000	2013/11/26	2014/03/28	75.00
68235	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/11/26	2014/03/28	75.00
68238	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/11/26	2014/03/28	75.00
68191	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 F	300,000,000	2013/11/26	2014/04/29	75.00
68197	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 K	300,000,000	2013/11/26	2014/04/29	75.00
68198	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 L	300,000,000	2013/11/26	2014/04/29	75.00
68199	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 M	300,000,000	2013/11/26	2014/04/29	75.00
68250	Credit Suisse AG - HSI R Bull CBBC Jul 2014 L	300,000,000	2013/11/26	2014/07/30	75.00
68265	Credit Suisse AG - HSI R Bull CBBC Jul 2014 N	300,000,000	2013/11/26	2014/07/30	75.00
68268	Credit Suisse AG - HSI R Bull CBBC Jul 2014 P	300,000,000	2013/11/26	2014/07/30	75.00
68269	Credit Suisse AG - HSI R Bull CBBC Jul 2014 S	300,000,000	2013/11/26	2014/07/30	75.00
68272	Credit Suisse AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/11/26	2014/08/28	75.00
68276	Credit Suisse AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/11/26	2014/08/28	75.00
68273	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Q	300,000,000	2013/11/26	2014/08/28	75.00
68172	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/11/26	2014/03/28	75.00
68179	Credit Suisse AG - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/11/26	2014/03/28	75.00
68295	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 T	150,000,000	2013/11/26	2014/03/28	37.50
68297	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Mar 2014 F	150,000,000	2013/11/26	2014/03/28	37.50
68289	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 J	150,000,000	2013/11/26	2014/03/28	38.10
68293	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 K	150,000,000	2013/11/26	2014/03/28	38.25
68290	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 J	150,000,000	2013/11/26	2014/03/28	40.05
68292	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 K	150,000,000	2013/11/26	2014/03/28	39.90
68169	HK Bank - CC Bank R Bull CBBC Jul 2014 D	80,000,000	2013/11/26	2014/07/25	20.00
68140	HK Bank - China Life R Bull CBBC Jul 2014 C	60,000,000	2013/11/26	2014/07/25	15.00
68151	HK Bank - China Life R Bull CBBC Jul 2014 D	60,000,000	2013/11/26	2014/07/25	24.00
68139	HK Bank - China Life R Bear CBBC Jul 2014 A	50,000,000	2013/11/26	2014/07/11	25.00
68136	HK Bank - Minsheng Bank R Bull CBBC Jul 2014 B	40,000,000	2013/11/26	2014/07/30	10.00
68138	HK Bank - Sinopec Corp R Bull CBBC Jul 2014 B	80,000,000	2013/11/26	2014/07/11	20.00
68129	HK Bank - Sinopec Corp R Bear CBBC Jul 2014 A	40,000,000	2013/11/26	2014/07/11	10.00
68155	HK Bank - HSCEI R Bull CBBC Jun 2014 B	100,000,000	2013/11/26	2014/06/27	25.00
68163	HK Bank - HSCEI R Bull CBBC Jul 2014 C	100,000,000	2013/11/26	2014/07/30	25.00
68164	HK Bank - HSCEI R Bull CBBC Aug 2014 A	100,000,000	2013/11/26	2014/08/28	25.00
68130	HK Bank - ICBC R Bull CBBC Jul 2014 C	80,000,000	2013/11/26	2014/07/25	20.00
68165	HK Bank - Ping An R Bull CBBC Jun 2014 A	60,000,000	2013/11/26	2014/06/27	15.00
68167	HK Bank - Ping An R Bear CBBC Jun 2014 A	40,000,000	2013/11/26	2014/06/27	10.00
68166	HK Bank - Ping An R Bear CBBC Jul 2014 A	40,000,000	2013/11/26	2014/07/31	10.00
68327	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 T	300,000,000	2013/11/26	2014/09/29	75.00
68333	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 U	300,000,000	2013/11/26	2014/09/29	75.00
68319	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 B	300,000,000	2013/11/26	2014/10/30	75.00
68332	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 C	300,000,000	2013/11/26	2014/10/30	75.00
68301	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 P	300,000,000	2013/11/26	2014/02/27	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68302	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/11/26	2014/02/27	75.00
68300	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/11/26	2014/03/28	75.00
68299	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/11/26	2014/04/29	75.00
68298	J P Morgan SP BV - HSI R Bear CBBC May 2014 B	300,000,000	2013/11/26	2014/05/29	75.00
68222	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 N	100,000,000	2013/11/26	2014/04/29	25.00
68225	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 O	100,000,000	2013/11/26	2014/04/29	25.00
68226	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 P	100,000,000	2013/11/26	2014/04/29	25.00
68241	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 C	200,000,000	2013/11/26	2014/06/27	50.00
68243	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 N	200,000,000	2013/11/26	2014/06/27	50.00
68240	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 H	200,000,000	2013/11/26	2014/07/30	50.00
68242	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 I	200,000,000	2013/11/26	2014/07/30	50.00
68244	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 J	200,000,000	2013/11/26	2014/07/30	50.00
68245	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 Z	200,000,000	2013/11/26	2014/02/27	50.00
68284	UBS AG - HSI R Bull CBBC Aug 2014 C	300,000,000	2013/11/26	2014/08/28	75.00
68277	UBS AG - HSI R Bull CBBC Aug 2014 Z	300,000,000	2013/11/26	2014/08/28	75.00
68285	UBS AG - HSI R Bull CBBC Sep 2014 M	300,000,000	2013/11/26	2014/09/29	75.00
68281	UBS AG - HSI R Bull CBBC Oct 2014 R	300,000,000	2013/11/26	2014/10/30	75.00
68287	UBS AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2013/11/26	2014/10/30	75.00
68288	UBS AG - HSI R Bull CBBC Nov 2014 B	300,000,000	2013/11/26	2014/11/27	75.00
68227	UBS AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/26	2014/02/27	75.00
68229	UBS AG - HSI R Bear CBBC Feb 2014 E	350,000,000	2013/11/26	2014/02/27	87.50
68484	Credit Suisse AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2013/11/27	2014/09/29	75.00
68485	Credit Suisse AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2013/11/27	2014/09/29	75.00
68489	Credit Suisse AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2013/11/27	2014/09/29	75.00
68491	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2013/11/27	2014/09/29	75.00
68378	Credit Suisse AG - HSI R Bear CBBC Feb 2014 A	300,000,000	2013/11/27	2014/02/27	75.00
68379	Credit Suisse AG - HSI R Bear CBBC Feb 2014 B	300,000,000	2013/11/27	2014/02/27	75.00
68380	Credit Suisse AG - HSI R Bear CBBC Feb 2014 R	300,000,000	2013/11/27	2014/02/27	75.00
68494	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2014 A	50,000,000	2013/11/27	2014/05/26	12.50
68495	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC May 2014 B	50,000,000	2013/11/27	2014/05/26	12.50
68496	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC May 2014 A	50,000,000	2013/11/27	2014/05/26	12.50
68497	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC May 2014 B	50,000,000	2013/11/27	2014/05/26	12.50
68364	HK Bank - Sinopec Corp R Bear CBBC Aug 2014 C	40,000,000	2013/11/27	2014/08/04	10.00
68360	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2014 B	80,000,000	2013/11/27	2014/08/25	20.00
68355	HK Bank - A50 R Bull CBBC Aug 2014 B	100,000,000	2013/11/27	2014/08/18	25.00
68356	HK Bank - A50 R Bull CBBC Oct 2014 B	100,000,000	2013/11/27	2014/10/20	25.00
68344	HK Bank - HSCEI R Bull CBBC May 2014 B	100,000,000	2013/11/27	2014/05/29	25.00
68345	HK Bank - HSCEI R Bull CBBC Jun 2014 C	100,000,000	2013/11/27	2014/06/27	25.00
68346	HK Bank - HSCEI R Bull CBBC Jul 2014 D	100,000,000	2013/11/27	2014/07/30	25.00
68351	HK Bank - HSCEI R Bear CBBC Aug 2014 A	68,000,000	2013/11/27	2014/08/28	17.00
68353	HK Bank - HSCEI R Bear CBBC Sep 2014 A	68,000,000	2013/11/27	2014/09/29	17.00
68334	HK Bank - HSI R Bull CBBC Jul 2014 H	100,000,000	2013/11/27	2014/07/30	25.00
68339	HK Bank - HSI R Bull CBBC Aug 2014 E	400,000,000	2013/11/27	2014/08/28	100.00
68338	HK Bank - HSI R Bear CBBC Jun 2014 J	100,000,000	2013/11/27	2014/06/27	25.00
68340	HK Bank - HSI R Bear CBBC Jun 2014 K	100,000,000	2013/11/27	2014/06/27	25.00

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68341	HK Bank - HSI R Bear CBBC Jul 2014 C	100,000,000	2013/11/27	2014/07/30	25.00
68343	HK Bank - HSI R Bear CBBC Jul 2014 D	400,000,000	2013/11/27	2014/07/30	100.00
68342	HK Bank - HSI R Bear CBBC Aug 2014 C	100,000,000	2013/11/27	2014/08/28	25.00
68555	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 V	300,000,000	2013/11/27	2014/09/29	75.00
68557	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 W	300,000,000	2013/11/27	2014/09/29	75.00
68543	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 A	300,000,000	2013/11/27	2014/10/30	75.00
68548	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 F	300,000,000	2013/11/27	2014/10/30	75.00
68556	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 G	300,000,000	2013/11/27	2014/10/30	75.00
68535	J P Morgan SP BV - HSI R Bear CBBC Feb 2014 S	300,000,000	2013/11/27	2014/02/27	75.00
68499	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 V	300,000,000	2013/11/27	2014/03/28	75.00
68498	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/11/27	2014/04/29	75.00
68393	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 R	150,000,000	2013/11/27	2014/08/28	37.50
68406	Standard Chartered Bank - HSI R Bull CBBC Aug 2014 S	100,000,000	2013/11/27	2014/08/28	25.00
68385	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 C	150,000,000	2013/11/27	2014/06/27	37.50
68387	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 D	100,000,000	2013/11/27	2014/06/27	25.00
68409	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 C	150,000,000	2013/11/27	2014/08/28	37.50
68423	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 D	150,000,000	2013/11/27	2014/08/28	37.50
68388	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 H	200,000,000	2013/11/27	2014/06/27	50.00
68390	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 K	200,000,000	2013/11/27	2014/06/27	50.00
68374	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 D	200,000,000	2013/11/27	2014/02/27	50.00
68460	UBS AG - ABC R Bear CBBC May 2014 B	100,000,000	2013/11/27	2014/05/26	25.00
68461	UBS AG - China Mobile R Bull CBBC Oct 2014 A	100,000,000	2013/11/27	2014/10/20	25.00
68481	UBS AG - CC Bank R Bull CBBC Jul 2014 C	100,000,000	2013/11/27	2014/07/21	25.00
68442	UBS AG - China Life R Bull CBBC Jul 2014 F	100,000,000	2013/11/27	2014/07/28	27.50
68441	UBS AG - China Life R Bear CBBC May 2014 C	100,000,000	2013/11/27	2014/05/05	43.00
68447	UBS AG - Minsheng Bank R Bull CBBC Jul 2014 C	100,000,000	2013/11/27	2014/07/28	25.00
68493	UBS AG - Sinopec Corp R Bull CBBC Jul 2014 B	100,000,000	2013/11/27	2014/07/14	25.00
68492	UBS AG - HKEx R Bull CBBC Sep 2014 A	100,000,000	2013/11/27	2014/09/15	25.00
68482	UBS AG - HKEx R Bear CBBC Jun 2014 A	100,000,000	2013/11/27	2014/06/30	27.50
68426	UBS AG - HSI R Bull CBBC Jul 2014 S	300,000,000	2013/11/27	2014/07/30	75.00
68428	UBS AG - HSI R Bull CBBC Jul 2014 T	300,000,000	2013/11/27	2014/07/30	75.00
68429	UBS AG - HSI R Bull CBBC Jul 2014 X	300,000,000	2013/11/27	2014/07/30	75.00
68427	UBS AG - HSI R Bull CBBC Aug 2014 A	300,000,000	2013/11/27	2014/08/28	75.00
68439	UBS AG - HSI R Bull CBBC Aug 2014 B	350,000,000	2013/11/27	2014/08/28	87.50
68438	UBS AG - HSI R Bull CBBC Sep 2014 N	300,000,000	2013/11/27	2014/09/29	75.00
68375	UBS AG - HSI R Bear CBBC Feb 2014 I	300,000,000	2013/11/27	2014/02/27	75.00
68376	UBS AG - HSI R Bear CBBC Feb 2014 J	300,000,000	2013/11/27	2014/02/27	75.00
68377	UBS AG - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/11/27	2014/04/29	75.00
68451	UBS AG - ICBC R Bull CBBC Jul 2014 C	100,000,000	2013/11/27	2014/07/07	25.00
68445	UBS AG - Ping An R Bull CBBC Aug 2014 A	100,000,000	2013/11/27	2014/08/18	25.00
68443	UBS AG - Ping An R Bear CBBC Apr 2014 D	100,000,000	2013/11/27	2014/04/07	25.00
68606	Credit Suisse AG - HSI R Bull CBBC Oct 2014 M	300,000,000	2013/11/28	2014/10/30	75.00
68607	Credit Suisse AG - HSI R Bull CBBC Oct 2014 N	300,000,000	2013/11/28	2014/10/30	75.00
68744	Credit Suisse AG - HSI R Bear CBBC Feb 2014 T	300,000,000	2013/11/28	2014/02/27	75.00
68756	Credit Suisse AG - HSI R Bear CBBC Feb 2014 U	300,000,000	2013/11/28	2014/02/27	75.00

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68763	Credit Suisse AG - HSI R Bear CBBC Feb 2014 V	300,000,000	2013/11/28	2014/02/27	75.00
68612	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Jul14A	100,000,000	2013/11/28	2014/07/21	25.00
68617	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Apr14A	100,000,000	2013/11/28	2014/04/25	25.00
68574	HK Bank - ABC R Bull CBBC Jul 2014 B	80,000,000	2013/11/28	2014/07/31	20.00
68563	HK Bank - BOCL R Bull CBBC Jul 2014 B	80,000,000	2013/11/28	2014/07/31	20.00
68576	HK Bank - China Life R Bull CBBC Jul 2014 E	60,000,000	2013/11/28	2014/07/07	15.60
68580	HK Bank - China Life R Bull CBBC Jul 2014 F	60,000,000	2013/11/28	2014/07/17	15.00
68575	HK Bank - China Life R Bull CBBC Sep 2014 A	60,000,000	2013/11/28	2014/09/18	18.60
68583	HK Bank - China Life R Bear CBBC Jul 2014 B	50,000,000	2013/11/28	2014/07/21	13.50
68564	HK Bank - CNBM R Bull CBBC Jun 2014 D	50,000,000	2013/11/28	2014/06/27	12.50
68558	HK Bank - HKEx R Bull CBBC Jul 2014 A	60,000,000	2013/11/28	2014/07/18	15.00
68559	HK Bank - HKEx R Bull CBBC Jul 2014 B	60,000,000	2013/11/28	2014/07/04	15.00
68562	HK Bank - PetCh R Bull CBBC Jun 2014 B	400,000,000	2013/11/28	2014/06/27	100.00
68605	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 L	300,000,000	2013/11/28	2014/10/30	75.00
68587	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 M	300,000,000	2013/11/28	2014/10/30	75.00
68584	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/11/28	2014/03/28	75.00
68743	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 K	200,000,000	2013/11/28	2014/07/30	50.00
68742	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Feb14 E	200,000,000	2013/11/28	2014/02/27	50.00
68609	UBS AG - HSI R Bull CBBC Jun 2014 C	300,000,000	2013/11/28	2014/06/27	75.00
68611	UBS AG - HSI R Bull CBBC Jun 2014 Y	350,000,000	2013/11/28	2014/06/27	87.50
68867	UBS AG - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/11/28	2014/03/28	75.00
68811	UBS AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/11/28	2014/03/28	75.00
68788	UBS AG - HSI R Bear CBBC Apr 2014 K	300,000,000	2013/11/28	2014/04/29	84.00
68786	UBS AG - HSI R Bear CBBC May 2014 E	300,000,000	2013/11/28	2014/05/29	93.00
60068	Credit Suisse AG - HSI R Bull CBBC Oct 2014 O	300,000,000	2013/11/29	2014/10/30	75.00
60073	Credit Suisse AG - HSI R Bull CBBC Oct 2014 P	300,000,000	2013/11/29	2014/10/30	75.00
60046	Credit Suisse AG - HSI R Bear CBBC Mar 2014 S	300,000,000	2013/11/29	2014/03/28	75.00
60047	Credit Suisse AG - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/11/29	2014/03/28	75.00
60096	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun14B	80,000,000	2013/11/29	2014/06/23	20.00
60105	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Apr14A	80,000,000	2013/11/29	2014/04/28	20.00
60004	HK Bank - ABC R Bear CBBC May 2014 C	40,000,000	2013/11/29	2014/05/12	10.00
60005	HK Bank - ABC R Bear CBBC Aug 2014 A	40,000,000	2013/11/29	2014/08/25	10.00
69905	HK Bank - China Mobile R Bull CBBC Oct 2014 B	120,000,000	2013/11/29	2014/10/20	30.00
60009	HK Bank - CM Bank R Bull CBBC Aug 2014 A	40,000,000	2013/11/29	2014/08/25	10.00
60013	HK Bank - CM Bank R Bear CBBC Nov 2014 A	40,000,000	2013/11/29	2014/11/24	13.60
69291	HK Bank - CNBM R Bear CBBC Oct 2014 A	50,000,000	2013/11/29	2014/10/27	12.50
69532	HK Bank - CNBM R Bear CBBC Nov 2014 A	50,000,000	2013/11/29	2014/11/24	12.50
69916	HK Bank - China Shenhua R Bull CBBC Jul 2014 C	60,000,000	2013/11/29	2014/07/28	21.00
60003	HK Bank - China Shenhua R Bear CBBC Jan 2015 A	40,000,000	2013/11/29	2015/01/26	10.00
69884	HK Bank - HKEx R Bear CBBC Jul 2014 B	50,000,000	2013/11/29	2014/07/21	17.50
69614	HK Bank - Hutchison R Bull CBBC Sep 2014 A	60,000,000	2013/11/29	2014/09/29	15.00
60006	HK Bank - ICBC R Bear CBBC May 2014 B	40,000,000	2013/11/29	2014/05/05	10.00
69883	HK Bank - Kunlun Energy R Bull CBBC Sep 2014 A	40,000,000	2013/11/29	2014/09/29	10.00
69175	HK Bank - Lenovo R Bear CBBC Oct 2014 A	40,000,000	2013/11/29	2014/10/06	10.00
69903	HK Bank - PetCh R Bear CBBC Dec 2014 A	40,000,000	2013/11/29	2014/12/15	10.00

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60007	HK Bank - Ping An R Bull CBBC Jul 2014 A	60,000,000	2013/11/29	2014/07/28	15.00
60017	HK Bank - Ping An R Bear CBBC Jul 2014 B	40,000,000	2013/11/29	2014/07/28	10.00
69661	HK Bank - SHK Ppt R Bear CBBC Oct 2014 B	50,000,000	2013/11/29	2014/10/20	12.50
69892	HK Bank - TCH R Bull CBBC Sep 2014 C	50,000,000	2013/11/29	2014/09/15	12.50
60093	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 N	300,000,000	2013/11/29	2014/10/30	75.00
68905	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/11/29	2014/03/28	75.00
60095	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 Y	300,000,000	2013/11/29	2014/03/28	75.00
60058	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 G	100,000,000	2013/11/29	2014/12/30	25.00
60044	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 E	100,000,000	2013/11/29	2014/08/28	25.00
60045	Standard Chartered Bank - HSI R Bear CBBC Aug 2014 F	100,000,000	2013/11/29	2014/08/28	25.00
60056	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 L	200,000,000	2013/11/29	2014/07/30	50.00
60018	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 A	200,000,000	2013/11/29	2014/03/28	50.00
60041	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 B	200,000,000	2013/11/29	2014/03/28	50.00
60042	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 C	200,000,000	2013/11/29	2014/03/28	50.00
60043	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 D	200,000,000	2013/11/29	2014/03/28	50.00
60074	UBS AG - HSI R Bull CBBC Jul 2014 U	350,000,000	2013/11/29	2014/07/30	87.50
60048	UBS AG - HSI R Bear CBBC Mar 2014 J	300,000,000	2013/11/29	2014/03/28	75.00
60050	UBS AG - HSI R Bear CBBC Apr 2014 N	350,000,000	2013/11/29	2014/04/29	87.50
60204	Credit Suisse AG - HSI R Bull CBBC Aug 2014 J	300,000,000	2013/12/02	2014/08/28	75.00
60205	Credit Suisse AG - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/12/02	2014/03/28	75.00
60310	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun14C	80,000,000	2013/12/02	2014/06/03	20.00
60314	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun14D	80,000,000	2013/12/02	2014/06/03	20.00
60315	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jun14B	80,000,000	2013/12/02	2014/06/03	20.00
60317	Citigroup Global Mkt H Inc. - China Mobile R Bear CBBC Jun14C	80,000,000	2013/12/02	2014/06/03	20.00
60301	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 U	150,000,000	2013/12/02	2014/03/28	37.50
60309	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Mar 2014 V	150,000,000	2013/12/02	2014/03/28	37.50
60288	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 L	150,000,000	2013/12/02	2014/03/28	37.50
60108	HK Bank - China Life R Bull CBBC Jul 2014 G	60,000,000	2013/12/02	2014/07/30	15.00
60115	HK Bank - China Life R Bull CBBC Aug 2014 A	60,000,000	2013/12/02	2014/08/11	15.00
60120	HK Bank - China Life R Bear CBBC Sep 2014 A	50,000,000	2013/12/02	2014/09/29	20.50
60117	HK Bank - China Life R Bear CBBC Nov 2014 A	50,000,000	2013/12/02	2014/11/24	13.00
60124	HK Bank - Ping An R Bull CBBC Aug 2014 A	60,000,000	2013/12/02	2014/08/04	15.00
60286	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 H	300,000,000	2013/12/02	2014/10/30	75.00
60287	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 O	300,000,000	2013/12/02	2014/10/30	75.00
60125	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 H	100,000,000	2013/12/02	2014/12/30	25.00
60128	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 I	100,000,000	2013/12/02	2014/12/30	25.00
60285	UBS AG - China Mobile R Bull CBBC Oct 2014 B	100,000,000	2013/12/02	2014/10/27	25.00
60283	UBS AG - China Life R Bear CBBC Jul 2014 A	100,000,000	2013/12/02	2014/07/21	30.00
60278	UBS AG - Sinopec Corp R Bear CBBC Oct 2014 A	100,000,000	2013/12/02	2014/10/06	25.00
60280	UBS AG - CSOP A50 ETF R Bear CBBC Apr 2014 B	100,000,000	2013/12/02	2014/04/28	25.00
60206	UBS AG - HSI R Bull CBBC May 2014 C	300,000,000	2013/12/02	2014/05/29	75.00
60207	UBS AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/12/02	2014/04/29	75.00
60208	UBS AG - Lenovo R Bull CBBC Aug 2014 A	100,000,000	2013/12/02	2014/08/25	25.00
60249	UBS AG - TCH R Bull CBBC Jul 2014 F	50,000,000	2013/12/02	2014/07/14	19.50
60393	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/12/03	2014/08/28	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60364	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 N	300,000,000	2013/12/03	2014/04/29	75.00
60380	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Q	300,000,000	2013/12/03	2014/10/30	75.00
60379	Credit Suisse AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/12/03	2014/03/28	75.00
60344	HK Bank - CNBM R Bull CBBC Jul 2014 A	50,000,000	2013/12/03	2014/07/21	12.50
60323	HK Bank - TCH R Bull CBBC Sep 2014 D	50,000,000	2013/12/03	2014/09/26	13.50
60324	HK Bank - TCH R Bull CBBC Sep 2014 E	50,000,000	2013/12/03	2014/09/17	12.50
60318	HK Bank - TCH R Bull CBBC Oct 2014 B	50,000,000	2013/12/03	2014/10/13	16.00
60336	HK Bank - TCH R Bear CBBC Sep 2014 E	50,000,000	2013/12/03	2014/09/17	15.00
60341	HK Bank - TCH R Bear CBBC Sep 2014 F	50,000,000	2013/12/03	2014/09/26	20.00
60343	HK Bank - TCH R Bear CBBC Oct 2014 B	50,000,000	2013/12/03	2014/10/13	25.00
60445	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 L	300,000,000	2013/12/03	2014/11/27	75.00
60443	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/12/03	2014/03/28	75.00
60410	Standard Chartered Bank - HSI R Bull CBBC Apr 2014 B	150,000,000	2013/12/03	2014/04/29	37.50
60416	Standard Chartered Bank - HSI R Bull CBBC Apr 2014 C	100,000,000	2013/12/03	2014/04/29	25.00
60420	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 Q	100,000,000	2013/12/03	2014/04/29	25.00
60381	UBS AG - BOCL R Bear CBBC Oct 2014 A	100,000,000	2013/12/03	2014/10/13	25.00
60423	UBS AG - HSI R Bull CBBC Dec 2014 F	300,000,000	2013/12/03	2014/12/30	75.00
60345	UBS AG - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/12/03	2014/03/28	75.00
60438	UBS AG - HSI R Bear CBBC Apr 2014 R	350,000,000	2013/12/03	2014/04/29	87.50
60385	UBS AG - Ping An R Bear CBBC Jul 2014 A	100,000,000	2013/12/03	2014/07/21	25.00
60388	UBS AG - SHK Ppt R Bull CBBC May 2014 A	100,000,000	2013/12/03	2014/05/26	25.00
60467	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 D	300,000,000	2013/12/04	2014/08/28	75.00
60518	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/12/04	2014/08/28	75.00
60469	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/12/04	2014/04/29	75.00
60542	Credit Suisse AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2013/12/04	2014/09/29	75.00
60553	Credit Suisse AG - HSI R Bull CBBC Sep 2014 S	300,000,000	2013/12/04	2014/09/29	75.00
60472	Credit Suisse AG - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/12/04	2014/03/28	75.00
60540	Credit Suisse AG - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/12/04	2014/03/28	75.00
60483	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/12/04	2014/03/28	75.00
60555	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Apr 2014 A	150,000,000	2013/12/04	2014/04/29	37.50
60573	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Apr 2014 B	150,000,000	2013/12/04	2014/04/29	37.50
60500	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 L	150,000,000	2013/12/04	2014/03/28	38.55
60501	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 M	150,000,000	2013/12/04	2014/03/28	37.95
60447	HK Bank - China Life R Bear CBBC Aug 2014 B	50,000,000	2013/12/04	2014/08/18	12.50
60455	HK Bank - Minsheng Bank R Bull CBBC Oct 2014 B	40,000,000	2013/12/04	2014/10/06	10.00
60457	HK Bank - Minsheng Bank R Bear CBBC Oct 2014 A	40,000,000	2013/12/04	2014/10/06	10.00
60454	HK Bank - HSCEI R Bull CBBC Sep 2014 A	100,000,000	2013/12/04	2014/09/29	25.00
60453	HK Bank - HSCEI R Bear CBBC Jul 2014 A	68,000,000	2013/12/04	2014/07/30	17.00
60446	HK Bank - HSI R Bull CBBC Sep 2014 B	400,000,000	2013/12/04	2014/09/29	100.00
60554	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 F	300,000,000	2013/12/04	2014/12/30	75.00
60519	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 G	100,000,000	2013/12/04	2014/06/27	25.00
60522	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 E	100,000,000	2013/12/04	2014/06/27	25.00
60494	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 M	200,000,000	2013/12/04	2014/07/30	50.00
60499	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 E	200,000,000	2013/12/04	2014/03/28	50.00
60507	UBS AG - ABC R Bull CBBC Aug 2014 A	100,000,000	2013/12/04	2014/08/11	25.00

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60504	UBS AG - BOCL R Bull CBBC Sep 2014 A	100,000,000	2013/12/04	2014/09/29	25.00
60506	UBS AG - CNBM R Bull CBBC Jun 2014 A	50,000,000	2013/12/04	2014/06/23	12.50
60505	UBS AG - CNBM R Bear CBBC Apr 2014 A	50,000,000	2013/12/04	2014/04/14	12.50
60508	UBS AG - China Shenhua R Bull CBBC Dec 2014 B	100,000,000	2013/12/04	2014/12/22	25.00
60517	UBS AG - HSBC R Bear CBBC Jun 2014 A	100,000,000	2013/12/04	2014/06/23	25.00
60539	UBS AG - HSI R Bull CBBC Aug 2014 H	300,000,000	2013/12/04	2014/08/28	75.00
60534	UBS AG - HSI R Bull CBBC Sep 2014 O	300,000,000	2013/12/04	2014/09/29	75.00
60493	UBS AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/12/04	2014/03/28	75.00
60509	UBS AG - Lenovo R Bear CBBC Jun 2014 A	50,000,000	2013/12/04	2014/06/16	12.50
60510	UBS AG - Petrochina R Bear CBBC Jul 2014 A	100,000,000	2013/12/04	2014/07/21	25.00
60514	UBS AG - TCH R Bull CBBC Jun 2014 M	50,000,000	2013/12/04	2014/06/16	15.50
60516	UBS AG - TCH R Bull CBBC Jul 2014 G	50,000,000	2013/12/04	2014/07/28	30.50
60610	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 A	300,000,000	2013/12/05	2014/09/29	75.00
60611	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/12/05	2014/09/29	75.00
60621	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/12/05	2014/09/29	75.00
60612	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 Q	300,000,000	2013/12/05	2014/04/29	75.00
60613	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 R	300,000,000	2013/12/05	2014/04/29	75.00
60614	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 S	300,000,000	2013/12/05	2014/04/29	75.00
60679	Credit Suisse AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2013/12/05	2014/09/29	75.00
60680	Credit Suisse AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2013/12/05	2014/09/29	75.00
60681	Credit Suisse AG - HSI R Bull CBBC Sep 2014 W	300,000,000	2013/12/05	2014/09/29	75.00
60717	Credit Suisse AG - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/12/05	2014/03/28	75.00
60722	Credit Suisse AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/12/05	2014/03/28	75.00
60727	Credit Suisse AG - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/12/05	2014/03/28	75.00
60682	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 N	150,000,000	2013/12/05	2014/03/28	37.95
60576	HK Bank - China Mobile R Bull CBBC Oct 2014 C	120,000,000	2013/12/05	2014/10/13	30.00
60580	HK Bank - China Mobile R Bull CBBC Oct 2014 D	120,000,000	2013/12/05	2014/10/27	30.00
60581	HK Bank - China Mobile R Bull CBBC Nov 2014 A	120,000,000	2013/12/05	2014/11/17	30.00
60585	HK Bank - China Mobile R Bull CBBC Dec 2014 A	120,000,000	2013/12/05	2014/12/22	30.00
60587	HK Bank - CNBM R Bear CBBC Dec 2014 A	50,000,000	2013/12/05	2014/12/22	14.50
60738	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 D	300,000,000	2013/12/05	2014/10/30	75.00
60620	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 A	300,000,000	2013/12/05	2014/03/28	75.00
60740	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 B	300,000,000	2013/12/05	2014/03/28	75.00
60715	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 H	100,000,000	2013/12/05	2014/06/27	25.00
60594	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 F	100,000,000	2013/12/05	2014/06/27	25.00
60599	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 G	100,000,000	2013/12/05	2014/06/27	25.00
60606	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 H	150,000,000	2013/12/05	2014/06/27	37.50
60622	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 N	200,000,000	2013/12/05	2014/07/30	50.00
60628	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 O	200,000,000	2013/12/05	2014/07/30	50.00
60631	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 F	200,000,000	2013/12/05	2014/03/28	50.00
60639	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 G	200,000,000	2013/12/05	2014/03/28	50.00
60643	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 H	200,000,000	2013/12/05	2014/03/28	50.00
60644	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 I	200,000,000	2013/12/05	2014/03/28	50.00
60645	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 J	200,000,000	2013/12/05	2014/03/28	50.00
60647	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 K	200,000,000	2013/12/05	2014/03/28	50.00

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60649	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 L	200,000,000	2013/12/05	2014/03/28	50.00
60731	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 M	200,000,000	2013/12/05	2014/03/28	50.00
60650	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 A	200,000,000	2013/12/05	2014/04/29	50.00
60651	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 B	200,000,000	2013/12/05	2014/04/29	50.00
60668	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 C	200,000,000	2013/12/05	2014/04/29	50.00
60710	UBS AG - CSOP A50 ETF R Bull CBBC Jul 2014 A	100,000,000	2013/12/05	2014/07/07	25.00
60705	UBS AG - A50 China R Bull CBBC May 2014 A	100,000,000	2013/12/05	2014/05/19	25.00
60704	UBS AG - HSCEI R Bull CBBC Jul 2014 D	100,000,000	2013/12/05	2014/07/30	25.00
60699	UBS AG - HSCEI R Bull CBBC Aug 2014 A	100,000,000	2013/12/05	2014/08/28	25.00
60698	UBS AG - HSCEI R Bear CBBC Mar 2014 B	100,000,000	2013/12/05	2014/03/28	25.00
60692	UBS AG - HSCEI R Bear CBBC Apr 2014 A	100,000,000	2013/12/05	2014/04/29	25.00
60696	UBS AG - HSCEI R Bear CBBC Apr 2014 B	100,000,000	2013/12/05	2014/04/29	25.00
60685	UBS AG - HSCEI R Bear CBBC May 2014 C	100,000,000	2013/12/05	2014/05/29	27.50
60684	UBS AG - HSI R Bull CBBC Jun 2014 O	300,000,000	2013/12/05	2014/06/27	75.00
60683	UBS AG - HSI R Bull CBBC Oct 2014 T	300,000,000	2013/12/05	2014/10/30	75.00
60615	UBS AG - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/12/05	2014/03/28	75.00
60616	UBS AG - HSI R Bear CBBC Mar 2014 S	300,000,000	2013/12/05	2014/03/28	75.00
60729	UBS AG - HSI R Bear CBBC Apr 2014 S	350,000,000	2013/12/05	2014/04/29	87.50
60714	UBS AG - Hutchison R Bull CBBC Oct 2014 A	100,000,000	2013/12/05	2014/10/06	25.00
60873	Credit Suisse AG - HSI R Bull CBBC Nov 2014 F	300,000,000	2013/12/06	2014/11/27	75.00
60875	Credit Suisse AG - HSI R Bull CBBC Nov 2014 G	300,000,000	2013/12/06	2014/11/27	75.00
60776	Credit Suisse AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2013/12/06	2014/04/29	75.00
60777	Credit Suisse AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/12/06	2014/04/29	75.00
60742	Credit Suisse AG - HSI R Bear CBBC Jul 2014 A	300,000,000	2013/12/06	2014/07/30	81.00
60754	Credit Suisse AG - HSI R Bear CBBC Jul 2014 B	300,000,000	2013/12/06	2014/07/30	96.00
60775	Credit Suisse AG - HSI R Bear CBBC Jul 2014 C	300,000,000	2013/12/06	2014/07/30	117.00
60744	Credit Suisse AG - HSI R Bear CBBC Aug 2014 A	300,000,000	2013/12/06	2014/08/28	87.00
60768	Credit Suisse AG - HSI R Bear CBBC Aug 2014 B	300,000,000	2013/12/06	2014/08/28	105.00
60876	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bull CBBC Jun14A	100,000,000	2013/12/06	2014/06/05	25.00
60877	Citigroup Global Mkt H Inc. - CSOP A50 ETF R Bear CBBC Jun14A	100,000,000	2013/12/06	2014/06/05	25.00
60879	Citigroup Global Mkt H Inc. - HKEx R Bull CBBC Jun 2014 A	50,000,000	2013/12/06	2014/06/05	12.50
60880	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jun 2014 A	50,000,000	2013/12/06	2014/06/05	12.50
60881	Citigroup Global Mkt H Inc. - HKEx R Bear CBBC Jun 2014 B	50,000,000	2013/12/06	2014/06/05	12.50
60810	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 M	150,000,000	2013/12/06	2014/03/28	37.80
60814	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 A	150,000,000	2013/12/06	2014/06/27	37.80
60817	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 B	150,000,000	2013/12/06	2014/06/27	37.80
60821	Goldman Sachs SP (Asia) - HSI R Bear CBBC Jun 2014 C	150,000,000	2013/12/06	2014/06/27	37.80
60809	HK Bank - Cheung Kong R Bull CBBC Jun 2014 B	60,000,000	2013/12/06	2014/06/18	15.00
60802	HK Bank - HSI R Bull CBBC Jul 2014 I	100,000,000	2013/12/06	2014/07/30	25.00
60778	HK Bank - HSI R Bull CBBC Aug 2014 F	100,000,000	2013/12/06	2014/08/28	25.00
60798	HK Bank - HSI R Bear CBBC May 2014 J	100,000,000	2013/12/06	2014/05/29	25.00
60792	HK Bank - HSI R Bear CBBC Jun 2014 L	100,000,000	2013/12/06	2014/06/27	25.00
60791	HK Bank - HSI R Bear CBBC Jul 2014 E	100,000,000	2013/12/06	2014/07/30	25.00
60801	HK Bank - HSI R Bear CBBC Jul 2014 F	100,000,000	2013/12/06	2014/07/30	25.00
60794	HK Bank - HSI R Bear CBBC Aug 2014 D	100,000,000	2013/12/06	2014/08/28	25.00

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60797	HK Bank - HSI R Bear CBBC Sep 2014 B	100,000,000	2013/12/06	2014/09/29	25.00
60803	HK Bank - Ping An R Bear CBBC Aug 2014 B	40,000,000	2013/12/06	2014/08/11	10.00
60883	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 K	300,000,000	2013/12/06	2014/10/30	75.00
60882	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 M	300,000,000	2013/12/06	2014/11/27	75.00
60906	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/12/06	2014/03/28	75.00
60909	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/12/06	2014/03/28	75.00
60911	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/12/06	2014/03/28	75.00
60824	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 J	100,000,000	2013/12/06	2014/12/30	25.00
60832	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 P	200,000,000	2013/12/06	2014/07/30	50.00
60833	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 N	200,000,000	2013/12/06	2014/03/28	50.00
60846	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 O	200,000,000	2013/12/06	2014/03/28	50.00
60869	UBS AG - HSCEI R Bull CBBC Jul 2014 E	100,000,000	2013/12/06	2014/07/30	25.00
60867	UBS AG - HSCEI R Bull CBBC Sep 2014 C	100,000,000	2013/12/06	2014/09/29	25.00
60866	UBS AG - HSCEI R Bear CBBC Apr 2014 C	100,000,000	2013/12/06	2014/04/29	25.00
60865	UBS AG - HSCEI R Bear CBBC May 2014 D	100,000,000	2013/12/06	2014/05/29	25.00
60860	UBS AG - HSI R Bull CBBC Jun 2014 W	350,000,000	2013/12/06	2014/06/27	87.50
60853	UBS AG - HSI R Bull CBBC Oct 2014 U	300,000,000	2013/12/06	2014/10/30	75.00
60823	UBS AG - HSI R Bear CBBC Apr 2014 T	300,000,000	2013/12/06	2014/04/29	75.00
60822	UBS AG - HSI R Bear CBBC Apr 2014 X	300,000,000	2013/12/06	2014/04/29	75.00
60862	UBS AG - HSI R Bear CBBC Jul 2014 A	300,000,000	2013/12/06	2014/07/30	100.50
60864	UBS AG - HSI R Bear CBBC Jul 2014 B	300,000,000	2013/12/06	2014/07/30	79.50
69178 [#]	HK Bank - HSI R Bear CBBC Jun 2014 A	188,000,000	2013/12/06	2014/06/27	12.22
60966	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 A	200,000,000	2013/12/09	2014/12/30	50.00
60965	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/12/09	2014/09/29	75.00
60938	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 T	300,000,000	2013/12/09	2014/04/29	75.00
60953	Credit Suisse AG - HSI R Bull CBBC Oct 2014 R	300,000,000	2013/12/09	2014/10/30	75.00
60958	Credit Suisse AG - HSI R Bull CBBC Oct 2014 S	300,000,000	2013/12/09	2014/10/30	75.00
60939	Credit Suisse AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/12/09	2014/03/28	75.00
60942	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Y	300,000,000	2013/12/09	2014/03/28	75.00
60947	Credit Suisse AG - HSI R Bear CBBC May 2014 C	300,000,000	2013/12/09	2014/05/29	75.00
60988	Citigroup Global Mkt H Inc. - China Mobile R Bull CBBC Jun14E	80,000,000	2013/12/09	2014/06/09	20.00
60994	Citigroup Global Mkt H Inc. - HSI R Bull CBBC Apr 2014 C	150,000,000	2013/12/09	2014/04/29	37.50
60995	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Apr 2014 A	150,000,000	2013/12/09	2014/04/29	37.50
60979	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 O	150,000,000	2013/12/09	2014/03/28	38.10
60913	HK Bank - A50 R Bull CBBC Sep 2014 B	100,000,000	2013/12/09	2014/09/08	25.00
60931	HK Bank - Galaxy Ent R Bull CBBC Sep 2014 B	50,000,000	2013/12/09	2014/09/01	31.00
60912	HK Bank - HSCEI R Bull CBBC Jul 2014 E	100,000,000	2013/12/09	2014/07/30	25.00
60916	HK Bank - Hutchison R Bull CBBC Oct 2014 A	60,000,000	2013/12/09	2014/10/13	15.00
60926	HK Bank - Kunlun Energy R Bull CBBC Sep 2014 B	40,000,000	2013/12/09	2014/09/08	10.00
60936	HK Bank - Sands China R Bull CBBC Sep 2014 B	60,000,000	2013/12/09	2014/09/15	15.00
60929	HK Bank - TCH R Bull CBBC Sep 2014 F	50,000,000	2013/12/09	2014/09/22	13.50
60930	HK Bank - TCH R Bull CBBC Sep 2014 G	50,000,000	2013/12/09	2014/09/01	12.50
60977	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 X	300,000,000	2013/12/09	2014/09/29	75.00
60978	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 P	300,000,000	2013/12/09	2014/10/30	75.00
60973	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 N	300,000,000	2013/12/09	2014/11/27	75.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60970	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 K	300,000,000	2013/12/09	2014/03/28	75.00
60937	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/12/09	2014/03/28	75.00
60985	UBS AG - HSI R Bull CBBC Jun 2014 Z	300,000,000	2013/12/09	2014/06/27	75.00
60983	UBS AG - HSI R Bull CBBC Sep 2014 P	300,000,000	2013/12/09	2014/09/29	75.00
60945	UBS AG - HSI R Bear CBBC Mar 2014 V	300,000,000	2013/12/09	2014/03/28	75.00
60944	UBS AG - HSI R Bear CBBC Apr 2014 U	300,000,000	2013/12/09	2014/04/29	75.00
60946	UBS AG - HSI R Bear CBBC May 2014 F	350,000,000	2013/12/09	2014/05/29	87.50
61025	Credit Suisse AG - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/12/10	2014/08/28	75.00
61026	Credit Suisse AG - HSI R Bull CBBC Aug 2014 S	300,000,000	2013/12/10	2014/08/28	75.00
61031	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/12/10	2014/03/28	75.00
61034	Credit Suisse AG - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/12/10	2014/03/28	75.00
61027	Credit Suisse AG - HSI R Bear CBBC May 2014 D	300,000,000	2013/12/10	2014/05/29	75.00
61043	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Jun 2014 A	80,000,000	2013/12/10	2014/06/23	20.00
61044	Citigroup Global Mkt H Inc. - Ping An R Bull CBBC Jun 2014 B	80,000,000	2013/12/10	2014/06/23	20.00
61048	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Jun 2014 A	80,000,000	2013/12/10	2014/06/23	20.00
61049	Citigroup Global Mkt H Inc. - Ping An R Bear CBBC Jun 2014 B	80,000,000	2013/12/10	2014/06/23	20.00
61039	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 N	150,000,000	2013/12/10	2014/03/28	47.85
61041	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 O	150,000,000	2013/12/10	2014/03/28	52.80
61042	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 P	150,000,000	2013/12/10	2014/03/28	57.60
61023	HK Bank - China Life R Bull CBBC Sep 2014 B	60,000,000	2013/12/10	2014/09/01	15.00
61008	HK Bank - CSOP A50 ETF R Bear CBBC Oct 2014 A	40,000,000	2013/12/10	2014/10/20	10.00
61007	HK Bank - A50 R Bull CBBC Jul 2014 C	100,000,000	2013/12/10	2014/07/14	25.00
61019	HK Bank - A50 R Bear CBBC Aug 2014 A	50,000,000	2013/12/10	2014/08/25	12.50
61017	HK Bank - A50 R Bear CBBC Oct 2014 A	50,000,000	2013/12/10	2014/10/27	12.50
60998	HK Bank - HSI R Bull CBBC Jun 2014 K	100,000,000	2013/12/10	2014/06/27	25.00
60999	HK Bank - HSI R Bull CBBC Jul 2014 J	100,000,000	2013/12/10	2014/07/30	25.00
61001	HK Bank - HSI R Bear CBBC Jul 2014 G	100,000,000	2013/12/10	2014/07/30	25.00
61005	HK Bank - HSI R Bear CBBC Jul 2014 H	100,000,000	2013/12/10	2014/07/30	25.00
61006	HK Bank - HSI R Bear CBBC Aug 2014 E	100,000,000	2013/12/10	2014/08/28	25.00
61024	HK Bank - Lenovo R Bull CBBC Dec 2014 A	60,000,000	2013/12/10	2014/12/22	15.00
61020	HK Bank - TCH R Bull CBBC Aug 2014 D	50,000,000	2013/12/10	2014/08/20	12.50
61021	HK Bank - TCH R Bear CBBC Aug 2014 C	50,000,000	2013/12/10	2014/08/05	21.00
61022	HK Bank - TCH R Bear CBBC Aug 2014 D	50,000,000	2013/12/10	2014/08/20	40.00
61054	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Q	300,000,000	2013/12/10	2014/10/30	75.00
61050	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/12/10	2014/03/28	75.00
61029	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 E	100,000,000	2013/12/10	2014/09/29	25.00
61028	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 R	100,000,000	2013/12/10	2014/04/29	25.00
61030	UBS AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2013/12/10	2014/09/29	75.00
61035	UBS AG - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/12/10	2014/03/28	75.00
61036	UBS AG - HSI R Bear CBBC Jun 2014 B	350,000,000	2013/12/10	2014/06/27	87.50
61037	UBS AG - Hutchison R Bear CBBC Apr 2014 A	100,000,000	2013/12/10	2014/04/14	25.00
61038	UBS AG - Ping An R Bull CBBC Oct 2014 C	100,000,000	2013/12/10	2014/10/13	25.00
61069	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/12/11	2014/09/29	75.00
61070	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 F	300,000,000	2013/12/11	2014/09/29	75.00
61109	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 U	300,000,000	2013/12/11	2014/04/29	75.00

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61087	Credit Suisse AG - HSI R Bull CBBC Aug 2014 F	300,000,000	2013/12/11	2014/08/28	75.00
61088	Credit Suisse AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/12/11	2014/08/28	75.00
61084	Credit Suisse AG - HSI R Bull CBBC Aug 2014 X	300,000,000	2013/12/11	2014/08/28	75.00
61106	Credit Suisse AG - HSI R Bear CBBC Apr 2014 Q	300,000,000	2013/12/11	2014/04/29	75.00
61108	Credit Suisse AG - HSI R Bear CBBC Apr 2014 R	300,000,000	2013/12/11	2014/04/29	75.00
61099	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 P	150,000,000	2013/12/11	2014/03/28	38.55
61103	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 Q	150,000,000	2013/12/11	2014/03/28	38.55
61104	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 Q	150,000,000	2013/12/11	2014/03/28	37.80
61068	HK Bank - Galaxy Ent R Bull CBBC Sep 2014 C	50,000,000	2013/12/11	2014/09/22	25.00
61065	HK Bank - Galaxy Ent R Bear CBBC Nov 2014 A	40,000,000	2013/12/11	2014/11/24	10.00
61056	HK Bank - HSCEI R Bear CBBC Aug 2014 B	68,000,000	2013/12/11	2014/08/28	17.00
61057	HK Bank - HSCEI R Bear CBBC Sep 2014 B	68,000,000	2013/12/11	2014/09/29	17.00
61058	HK Bank - HSCEI R Bear CBBC Oct 2014 A	68,000,000	2013/12/11	2014/10/30	17.00
61059	HK Bank - HSCEI R Bear CBBC Nov 2014 A	68,000,000	2013/12/11	2014/11/27	17.68
61060	HK Bank - HSCEI R Bear CBBC Dec 2014 A	68,000,000	2013/12/11	2014/12/30	19.72
61061	HK Bank - Hutchison R Bull CBBC Jul 2014 C	60,000,000	2013/12/11	2014/07/14	15.00
61062	HK Bank - Hutchison R Bear CBBC Sep 2014 A	50,000,000	2013/12/11	2014/09/29	12.50
61064	HK Bank - Sands China R Bull CBBC Sep 2014 C	60,000,000	2013/12/11	2014/09/01	15.00
61121	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 Y	300,000,000	2013/12/11	2014/09/29	75.00
61120	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 L	300,000,000	2013/12/11	2014/10/30	75.00
61118	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/12/11	2014/03/28	75.00
61119	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/12/11	2014/03/28	75.00
61117	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 K	300,000,000	2013/12/11	2014/04/29	75.00
61071	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 F	100,000,000	2013/12/11	2014/09/29	25.00
61105	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 S	100,000,000	2013/12/11	2014/04/29	25.00
61097	UBS AG - China Mobile R Bull CBBC Oct 2014 C	100,000,000	2013/12/11	2014/10/06	25.00
61092	UBS AG - A50 China R Bear CBBC May 2014 A	100,000,000	2013/12/11	2014/05/12	25.00
61078	UBS AG - HSI R Bull CBBC Jun 2014 D	350,000,000	2013/12/11	2014/06/27	87.50
61077	UBS AG - HSI R Bull CBBC Oct 2014 V	300,000,000	2013/12/11	2014/10/30	75.00
61110	UBS AG - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/12/11	2014/03/28	75.00
61111	UBS AG - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/12/11	2014/03/28	75.00
61098	UBS AG - Petrochina R Bull CBBC Jun 2014 B	100,000,000	2013/12/11	2014/06/23	25.00
61093	UBS AG - Ping An R Bear CBBC Aug 2014 A	100,000,000	2013/12/11	2014/08/18	25.00
61094	UBS AG - Sands China R Bull CBBC Aug 2014 B	100,000,000	2013/12/11	2014/08/11	25.00
61128	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/12/12	2014/09/29	75.00
61191	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 V	300,000,000	2013/12/12	2014/04/29	75.00
61192	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 A	300,000,000	2013/12/12	2014/06/27	75.00
61199	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 B	300,000,000	2013/12/12	2014/06/27	75.00
61124	Credit Suisse AG - HSI R Bull CBBC Aug 2014 T	300,000,000	2013/12/12	2014/08/28	75.00
61179	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Y	300,000,000	2013/12/12	2014/08/28	75.00
61183	Credit Suisse AG - HSI R Bear CBBC Apr 2014 S	300,000,000	2013/12/12	2014/04/29	75.00
61184	Credit Suisse AG - HSI R Bear CBBC Apr 2014 T	300,000,000	2013/12/12	2014/04/29	75.00
61186	Credit Suisse AG - HSI R Bear CBBC Apr 2014 U	300,000,000	2013/12/12	2014/04/29	75.00
61200	Credit Suisse AG - HSI R Bear CBBC Apr 2014 V	300,000,000	2013/12/12	2014/04/29	75.00
61175	Citigroup Global Mkt H Inc. - Sands China R Bull CBBC Jul14B	60,000,000	2013/12/12	2014/07/21	15.00

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61177	Citigroup Global Mkt H Inc. - Sands China R Bear CBBC Jul14A	60,000,000	2013/12/12	2014/07/21	15.00
61144	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 R	150,000,000	2013/12/12	2014/03/28	38.70
61122	HK Bank - HSI R Bear CBBC Jul 2014 I	100,000,000	2013/12/12	2014/07/30	25.00
61126	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 Z	300,000,000	2013/12/12	2014/09/29	75.00
61125	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 O	300,000,000	2013/12/12	2014/10/30	75.00
61203	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 P	300,000,000	2013/12/12	2014/03/28	75.00
61138	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 G	100,000,000	2013/12/12	2014/09/29	25.00
61142	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 T	100,000,000	2013/12/12	2014/04/29	25.00
61152	UBS AG - Anhui Conch R Bull CBBC Nov 2014 A	100,000,000	2013/12/12	2014/11/24	25.00
61148	UBS AG - CC Bank R Bull CBBC Jul 2014 D	100,000,000	2013/12/12	2014/07/14	25.00
61147	UBS AG - A50 China R Bull CBBC Jun 2014 B	100,000,000	2013/12/12	2014/06/16	25.00
61146	UBS AG - A50 China R Bear CBBC Apr 2014 B	100,000,000	2013/12/12	2014/04/28	25.00
61168	UBS AG - Galaxy Ent R Bull CBBC Oct 2014 A	100,000,000	2013/12/12	2014/10/13	25.00
61159	UBS AG - Galaxy Ent R Bear CBBC Jun 2014 B	100,000,000	2013/12/12	2014/06/23	25.00
61155	UBS AG - Galaxy Ent R Bear CBBC Jul 2014 A	100,000,000	2013/12/12	2014/07/14	25.00
61131	UBS AG - HSI R Bull CBBC Aug 2014 I	300,000,000	2013/12/12	2014/08/28	75.00
61135	UBS AG - HSI R Bull CBBC Aug 2014 J	350,000,000	2013/12/12	2014/08/28	87.50
61201	UBS AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/12/12	2014/03/28	75.00
61202	UBS AG - HSI R Bear CBBC Mar 2014 Y	300,000,000	2013/12/12	2014/03/28	75.00
61174	UBS AG - Hutchison R Bull CBBC Oct 2014 B	100,000,000	2013/12/12	2014/10/20	25.00
61153	UBS AG - TCH R Bull CBBC Jul 2014 H	50,000,000	2013/12/12	2014/07/21	14.75
61211	Credit Suisse AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2013/12/13	2014/09/29	75.00
61233	Credit Suisse AG - HSI R Bull CBBC Sep 2014 X	300,000,000	2013/12/13	2014/09/29	75.00
61212	Credit Suisse AG - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/12/13	2014/03/28	75.00
61213	Credit Suisse AG - HSI R Bear CBBC Mar 2014 S	300,000,000	2013/12/13	2014/03/28	75.00
61242	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 R	150,000,000	2013/12/13	2014/03/28	38.25
61206	HK Bank - China Mobile R Bull CBBC Sep 2014 A	120,000,000	2013/12/13	2014/09/29	30.00
61207	HK Bank - A50 R Bull CBBC Sep 2014 C	100,000,000	2013/12/13	2014/09/01	25.00
61205	HK Bank - HSCEI R Bear CBBC Jul 2014 B	68,000,000	2013/12/13	2014/07/30	17.00
61235	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 D	300,000,000	2013/12/13	2014/10/30	75.00
61234	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/12/13	2014/03/28	75.00
61208	UBS AG - HSI R Bull CBBC Oct 2014 W	300,000,000	2013/12/13	2014/10/30	75.00
61230	UBS AG - HSI R Bull CBBC Dec 2014 G	300,000,000	2013/12/13	2014/12/30	75.00
61215	UBS AG - HSI R Bear CBBC Mar 2014 R	350,000,000	2013/12/13	2014/03/28	87.50
61214	UBS AG - HSI R Bear CBBC Apr 2014 V	300,000,000	2013/12/13	2014/04/29	75.00
61217	UBS AG - TCH R Bull CBBC Aug 2014 A	50,000,000	2013/12/13	2014/08/11	14.00
61216	UBS AG - TCH R Bear CBBC Jun 2014 F	50,000,000	2013/12/13	2014/06/16	31.50
61278	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 W	300,000,000	2013/12/16	2014/04/29	75.00
61294	Credit Suisse AG - HSI R Bull CBBC Oct 2014 T	300,000,000	2013/12/16	2014/10/30	75.00
61296	Credit Suisse AG - HSI R Bull CBBC Oct 2014 U	300,000,000	2013/12/16	2014/10/30	75.00
61281	Credit Suisse AG - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/12/16	2014/03/28	75.00
61288	Credit Suisse AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/12/16	2014/03/28	75.00
61279	Credit Suisse AG - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/12/16	2014/03/28	75.00
61253	HK Bank - CSOP A50 ETF R Bull CBBC Sep 2014 B	80,000,000	2013/12/16	2014/09/29	20.00
61250	HK Bank - HSI R Bull CBBC Jul 2014 K	100,000,000	2013/12/16	2014/07/30	25.00

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61243	HK Bank - HSI R Bull CBBC Aug 2014 G	100,000,000	2013/12/16	2014/08/28	25.00
61251	HK Bank - HSI R Bear CBBC Nov 2014 A	100,000,000	2013/12/16	2014/11/27	25.00
61258	HK Bank - TCH R Bull CBBC Aug 2014 E	50,000,000	2013/12/16	2014/08/18	12.50
61255	HK Bank - TCH R Bull CBBC Oct 2014 C	50,000,000	2013/12/16	2014/10/08	12.50
61261	HK Bank - TCH R Bear CBBC Aug 2014 E	50,000,000	2013/12/16	2014/08/18	24.00
61274	HK Bank - TCH R Bear CBBC Oct 2014 C	50,000,000	2013/12/16	2014/10/08	47.50
61344	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 K	300,000,000	2013/12/16	2014/10/30	75.00
61345	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 P	300,000,000	2013/12/16	2014/10/30	75.00
61343	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 R	300,000,000	2013/12/16	2014/03/28	75.00
61292	Standard Chartered Bank - HSI R Bull CBBC Jun 2014 I	100,000,000	2013/12/16	2014/06/27	25.00
61289	Standard Chartered Bank - HSI R Bear CBBC Apr 2014 U	100,000,000	2013/12/16	2014/04/29	25.00
61309	UBS AG - China Life R Bull CBBC Aug 2014 B	100,000,000	2013/12/16	2014/08/18	25.00
61305	UBS AG - China Life R Bear CBBC Sep 2014 A	100,000,000	2013/12/16	2014/09/01	53.00
61301	UBS AG - A50 China R Bear CBBC Apr 2014 C	100,000,000	2013/12/16	2014/04/28	25.00
61300	UBS AG - HSCEI R Bull CBBC Jul 2014 F	100,000,000	2013/12/16	2014/07/30	25.00
61297	UBS AG - HSI R Bull CBBC Aug 2014 K	300,000,000	2013/12/16	2014/08/28	75.00
61298	UBS AG - HSI R Bull CBBC Sep 2014 T	300,000,000	2013/12/16	2014/09/29	75.00
61290	UBS AG - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/12/16	2014/03/28	75.00
61291	UBS AG - HSI R Bear CBBC May 2014 G	350,000,000	2013/12/16	2014/05/29	87.50
61313	UBS AG - TCH R Bull CBBC Jul 2014 I	50,000,000	2013/12/16	2014/07/07	13.75
61312	UBS AG - TCH R Bear CBBC Aug 2014 A	50,000,000	2013/12/16	2014/08/11	41.50
61310	UBS AG - TCH R Bear CBBC Sep 2014 A	50,000,000	2013/12/16	2014/09/01	46.50
62648	Credit Suisse AG - HSI R Bull CBBC Oct 2014 V	300,000,000	2013/12/17	2014/10/30	75.00
62649	Credit Suisse AG - HSI R Bear CBBC Apr 2014 W	300,000,000	2013/12/17	2014/04/29	75.00
62650	Credit Suisse AG - HSI R Bear CBBC Apr 2014 X	300,000,000	2013/12/17	2014/04/29	75.00
62675	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 S	150,000,000	2013/12/17	2014/03/28	38.55
62684	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 T	150,000,000	2013/12/17	2014/03/28	38.10
62698	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/12/17	2014/09/29	75.00
62686	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 T	300,000,000	2013/12/17	2014/03/28	75.00
62336	Standard Chartered Bank - HSI R Bull CBBC Sep 2014 H	100,000,000	2013/12/17	2014/09/29	25.00
61349	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 14Q	200,000,000	2013/12/17	2014/07/30	50.00
62243	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 14R	200,000,000	2013/12/17	2014/07/30	50.00
62254	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul 14S	200,000,000	2013/12/17	2014/07/30	50.00
62260	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 14P	200,000,000	2013/12/17	2014/03/28	50.00
62332	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 14Q	200,000,000	2013/12/17	2014/03/28	50.00
62334	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar 14R	200,000,000	2013/12/17	2014/03/28	50.00
62542	UBS AG - BOCL R Bear CBBC Jul 2014 A	100,000,000	2013/12/17	2014/07/28	25.00
62592	UBS AG - China Mobile R Bull CBBC Sep 2014 A	100,000,000	2013/12/17	2014/09/15	25.00
62628	UBS AG - COVS R Bull CBBC Jul 2014 A	50,000,000	2013/12/17	2014/07/21	12.50
62543	UBS AG - Minsheng Bank R Bear CBBC May 2014 A	50,000,000	2013/12/17	2014/05/19	12.50
62641	UBS AG - Galaxy Ent R Bull CBBC Sep 2014 A	100,000,000	2013/12/17	2014/09/22	25.00
62647	UBS AG - HSBC R Bull CBBC May 2014 B	100,000,000	2013/12/17	2014/05/12	25.00
62378	UBS AG - HSI R Bull CBBC Aug 2014 M	350,000,000	2013/12/17	2014/08/28	87.50
62379	UBS AG - HSI R Bull CBBC Aug 2014 N	350,000,000	2013/12/17	2014/08/28	87.50
62335	UBS AG - HSI R Bull CBBC Nov 2014 D	300,000,000	2013/12/17	2014/11/27	75.00

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62337	UBS AG - HSI R Bear CBBC Mar 2014 C	300,000,000	2013/12/17	2014/03/28	75.00
62651	UBS AG - HSI R Bear CBBC Apr 2014 W	300,000,000	2013/12/17	2014/04/29	75.00
62629	UBS AG - Kunlun Energy R Bull CBBC Jun 2014 B	40,000,000	2013/12/17	2014/06/23	10.00
62755	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/12/18	2014/09/29	75.00
62760	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 I	300,000,000	2013/12/18	2014/09/29	75.00
62862	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 J	300,000,000	2013/12/18	2014/09/29	75.00
63083	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 K	300,000,000	2013/12/18	2014/09/29	75.00
62876	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 A	300,000,000	2013/12/18	2014/04/29	75.00
62883	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/12/18	2014/04/29	75.00
63088	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/12/18	2014/04/29	75.00
63090	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 D	300,000,000	2013/12/18	2014/04/29	75.00
62869	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 X	300,000,000	2013/12/18	2014/04/29	75.00
62872	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2014 Y	300,000,000	2013/12/18	2014/04/29	75.00
62762	Credit Suisse AG - HSI R Bull CBBC Sep 2014 A	300,000,000	2013/12/18	2014/09/29	75.00
62776	Credit Suisse AG - HSI R Bull CBBC Sep 2014 B	300,000,000	2013/12/18	2014/09/29	75.00
62931	Credit Suisse AG - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/12/18	2014/09/29	75.00
62764	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Y	300,000,000	2013/12/18	2014/09/29	75.00
62761	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Z	300,000,000	2013/12/18	2014/09/29	75.00
62941	Credit Suisse AG - HSI R Bear CBBC Mar 2014 L	300,000,000	2013/12/18	2014/03/28	75.00
62994	Credit Suisse AG - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/12/18	2014/03/28	75.00
62966	Credit Suisse AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/12/18	2014/03/28	75.00
62961	Credit Suisse AG - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/12/18	2014/03/28	75.00
62833	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 U	150,000,000	2013/12/18	2014/03/28	37.65
62835	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 S	150,000,000	2013/12/18	2014/03/28	38.70
62836	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 T	150,000,000	2013/12/18	2014/03/28	37.95
62858	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 U	150,000,000	2013/12/18	2014/03/28	38.70
62861	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 V	150,000,000	2013/12/18	2014/03/28	37.95
62700	HK Bank - COVS R Bull CBBC Jul 2014 A	50,000,000	2013/12/18	2014/07/14	18.50
62701	HK Bank - COVS R Bull CBBC Sep 2014 A	50,000,000	2013/12/18	2014/09/29	28.00
62702	HK Bank - Galaxy Ent R Bear CBBC Dec 2014 A	40,000,000	2013/12/18	2014/12/22	10.00
62699	HK Bank - HSI R Bear CBBC Sep 2014 C	100,000,000	2013/12/18	2014/09/29	25.00
62710	HK Bank - Sands China R Bear CBBC Jul 2014 B	50,000,000	2013/12/18	2014/07/14	12.50
62716	HK Bank - TCH R Bull CBBC Sep 2014 H	50,000,000	2013/12/18	2014/09/08	12.50
62715	HK Bank - TCH R Bull CBBC Oct 2014 D	50,000,000	2013/12/18	2014/10/20	12.50
62714	HK Bank - TCH R Bear CBBC Nov 2014 A	50,000,000	2013/12/18	2014/11/17	60.00
62831	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Q	300,000,000	2013/12/18	2014/10/30	75.00
62814	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 O	300,000,000	2013/12/18	2014/11/27	75.00
62824	J P Morgan SP BV - HSI R Bull CBBC Nov 2014 P	300,000,000	2013/12/18	2014/11/27	75.00
63091	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 V	300,000,000	2013/12/18	2014/03/28	75.00
63095	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 W	300,000,000	2013/12/18	2014/03/28	75.00
63096	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/12/18	2014/03/28	75.00
62736	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 K	100,000,000	2013/12/18	2014/12/30	25.00
62749	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 L	150,000,000	2013/12/18	2014/12/30	37.50
62781	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 M	100,000,000	2013/12/18	2014/12/30	25.00
62940	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 N	100,000,000	2013/12/18	2014/12/30	25.00

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62750	Standard Chartered Bank - HSI R Bear CBBC May 2014 L	150,000,000	2013/12/18	2014/05/29	37.50
62784	Standard Chartered Bank - HSI R Bear CBBC May 2014 M	100,000,000	2013/12/18	2014/05/29	25.00
62803	Standard Chartered Bank - HSI R Bear CBBC May 2014 N	100,000,000	2013/12/18	2014/05/29	25.00
63054	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 O	200,000,000	2013/12/18	2014/06/27	50.00
62751	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 T	200,000,000	2013/12/18	2014/07/30	50.00
62752	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 U	200,000,000	2013/12/18	2014/07/30	50.00
62753	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 V	200,000,000	2013/12/18	2014/07/30	50.00
62808	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 W	200,000,000	2013/12/18	2014/07/30	50.00
62754	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 S	200,000,000	2013/12/18	2014/03/28	50.00
62809	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 T	200,000,000	2013/12/18	2014/03/28	50.00
62810	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 U	200,000,000	2013/12/18	2014/03/28	50.00
62812	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 V	200,000,000	2013/12/18	2014/03/28	50.00
63082	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 W	200,000,000	2013/12/18	2014/03/28	50.00
62885	UBS AG - China Mobile R Bear CBBC Apr 2014 E	100,000,000	2013/12/18	2014/04/22	25.00
62890	UBS AG - HSBC R Bull CBBC Nov 2014 A	100,000,000	2013/12/18	2014/11/17	25.00
63053	UBS AG - HSI R Bull CBBC Jun 2014 M	350,000,000	2013/12/18	2014/06/27	87.50
62758	UBS AG - HSI R Bull CBBC Jul 2014 M	350,000,000	2013/12/18	2014/07/30	87.50
62756	UBS AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/12/18	2014/08/28	75.00
62930	UBS AG - HSI R Bull CBBC Oct 2014 Y	300,000,000	2013/12/18	2014/10/30	75.00
63047	UBS AG - HSI R Bear CBBC Mar 2014 D	300,000,000	2013/12/18	2014/03/28	75.00
63038	UBS AG - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/12/18	2014/03/28	75.00
63051	UBS AG - HSI R Bear CBBC Mar 2014 F	300,000,000	2013/12/18	2014/03/28	75.00
62995	UBS AG - HSI R Bear CBBC Apr 2014 Y	300,000,000	2013/12/18	2014/04/29	75.00
63267	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 B	200,000,000	2013/12/19	2014/12/30	50.00
63227	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 L	300,000,000	2013/12/19	2014/09/29	75.00
63256	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 C	300,000,000	2013/12/19	2014/06/27	75.00
63264	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 D	300,000,000	2013/12/19	2014/06/27	75.00
63250	Credit Suisse AG - HSI R Bull CBBC Aug 2014 L	300,000,000	2013/12/19	2014/08/28	75.00
63254	Credit Suisse AG - HSI R Bull CBBC Aug 2014 O	300,000,000	2013/12/19	2014/08/28	75.00
63362	Credit Suisse AG - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/12/19	2014/04/29	75.00
63384	Credit Suisse AG - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/12/19	2014/04/29	75.00
63336	Credit Suisse AG - HSI R Bear CBBC Apr 2014 Y	300,000,000	2013/12/19	2014/04/29	75.00
63341	Credit Suisse AG - HSI R Bear CBBC Apr 2014 Z	300,000,000	2013/12/19	2014/04/29	75.00
63300	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 V	150,000,000	2013/12/19	2014/03/28	38.10
63301	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 W	150,000,000	2013/12/19	2014/03/28	38.40
63305	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 W	150,000,000	2013/12/19	2014/03/28	38.70
63306	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 X	150,000,000	2013/12/19	2014/03/28	38.25
63173	HK Bank - China Life R Bear CBBC Oct 2014 A	50,000,000	2013/12/19	2014/10/27	12.50
63179	HK Bank - HKEx R Bear CBBC Jun 2014 A	50,000,000	2013/12/19	2014/06/03	12.50
63180	HK Bank - HKEx R Bear CBBC Jun 2014 B	50,000,000	2013/12/19	2014/06/30	12.50
63196	HK Bank - HKEx R Bear CBBC Jul 2014 C	50,000,000	2013/12/19	2014/07/21	15.00
63137	HK Bank - HSCEI R Bear CBBC Jun 2014 B	68,000,000	2013/12/19	2014/06/27	17.00
63136	HK Bank - HSCEI R Bear CBBC Aug 2014 C	68,000,000	2013/12/19	2014/08/28	17.00
63117	HK Bank - HSI R Bear CBBC Jun 2014 M	100,000,000	2013/12/19	2014/06/27	25.00
63103	HK Bank - HSI R Bear CBBC Jul 2014 J	100,000,000	2013/12/19	2014/07/30	25.00

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63135	HK Bank - HSI R Bear CBBC Jul 2014 K	100,000,000	2013/12/19	2014/07/30	25.00
63100	HK Bank - HSI R Bear CBBC Aug 2014 F	100,000,000	2013/12/19	2014/08/28	25.00
63197	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 C	300,000,000	2013/12/19	2014/09/29	75.00
63200	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 C	300,000,000	2013/12/19	2014/10/30	75.00
63386	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 U	300,000,000	2013/12/19	2014/03/28	75.00
63385	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 Y	300,000,000	2013/12/19	2014/03/28	75.00
63389	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 Z	300,000,000	2013/12/19	2014/03/28	75.00
63248	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 O	150,000,000	2013/12/19	2014/12/30	37.50
63297	Standard Chartered Bank - HSI R Bear CBBC May 2014 O	100,000,000	2013/12/19	2014/05/29	25.00
63202	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 U	200,000,000	2013/12/19	2014/06/27	50.00
63276	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 J	200,000,000	2013/12/19	2014/07/30	50.00
63284	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 L	200,000,000	2013/12/19	2014/07/30	50.00
63201	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 X	200,000,000	2013/12/19	2014/07/30	50.00
63270	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 Y	200,000,000	2013/12/19	2014/07/30	50.00
63275	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 Z	200,000,000	2013/12/19	2014/07/30	50.00
63217	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 X	200,000,000	2013/12/19	2014/03/28	50.00
63219	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 Y	200,000,000	2013/12/19	2014/03/28	50.00
63293	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 Z	200,000,000	2013/12/19	2014/03/28	50.00
63335	UBS AG - Galaxy Ent R Bear CBBC Aug 2014 A	100,000,000	2013/12/19	2014/08/04	25.00
63237	UBS AG - HSI R Bull CBBC Sep 2014 R	300,000,000	2013/12/19	2014/09/29	75.00
63246	UBS AG - HSI R Bull CBBC Sep 2014 U	300,000,000	2013/12/19	2014/09/29	75.00
63311	UBS AG - HSI R Bear CBBC Mar 2014 G	300,000,000	2013/12/19	2014/03/28	75.00
63312	UBS AG - HSI R Bear CBBC Apr 2014 C	300,000,000	2013/12/19	2014/04/29	75.00
63313	UBS AG - HSI R Bear CBBC Apr 2014 F	300,000,000	2013/12/19	2014/04/29	75.00
63324	UBS AG - TCH R Bear CBBC Jun 2014 G	50,000,000	2013/12/19	2014/06/09	12.50
63314	UBS AG - TCH R Bear CBBC Jul 2014 A	50,000,000	2013/12/19	2014/07/07	36.50
63463	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 M	300,000,000	2013/12/20	2014/09/29	75.00
63514	Credit Suisse AG - HSI R Bull CBBC Oct 2014 A	300,000,000	2013/12/20	2014/10/30	75.00
63414	Credit Suisse AG - HSI R Bull CBBC Oct 2014 X	300,000,000	2013/12/20	2014/10/30	75.00
63419	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Y	300,000,000	2013/12/20	2014/10/30	75.00
63513	Credit Suisse AG - HSI R Bull CBBC Oct 2014 Z	300,000,000	2013/12/20	2014/10/30	75.00
63470	Credit Suisse AG - HSI R Bear CBBC Mar 2014 N	300,000,000	2013/12/20	2014/03/28	75.00
63516	Credit Suisse AG - HSI R Bear CBBC Mar 2014 X	300,000,000	2013/12/20	2014/03/28	75.00
63518	Credit Suisse AG - HSI R Bear CBBC Apr 2014 K	300,000,000	2013/12/20	2014/04/29	75.00
63477	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 X	150,000,000	2013/12/20	2014/03/28	37.95
63479	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 Y	150,000,000	2013/12/20	2014/03/28	42.60
63493	Goldman Sachs SP (Asia) - HSI R Bull CBBC Mar 2014 Z	150,000,000	2013/12/20	2014/03/28	40.20
63400	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 F	300,000,000	2013/12/20	2014/09/29	75.00
63405	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 G	300,000,000	2013/12/20	2014/10/30	75.00
63527	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 A	300,000,000	2013/12/20	2014/03/28	75.00
63524	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 B	300,000,000	2013/12/20	2014/03/28	75.00
63529	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 E	300,000,000	2013/12/20	2014/03/28	75.00
63427	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 P	150,000,000	2013/12/20	2014/12/30	37.50
63474	Standard Chartered Bank - HSI R Bear CBBC May 2014 P	100,000,000	2013/12/20	2014/05/29	25.00
63462	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 K	200,000,000	2013/12/20	2014/06/27	50.00

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63460	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 V	200,000,000	2013/12/20	2014/06/27	50.00
63471	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 A	200,000,000	2013/12/20	2014/03/28	50.00
63472	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 B	200,000,000	2013/12/20	2014/03/28	50.00
63507	UBS AG - A50 China R Bear CBBC May 2014 B	100,000,000	2013/12/20	2014/05/05	25.00
63500	UBS AG - HSCEI R Bear CBBC Mar 2014 C	100,000,000	2013/12/20	2014/03/28	25.00
63494	UBS AG - HSI R Bull CBBC Jun 2014 B	350,000,000	2013/12/20	2014/06/27	87.50
63497	UBS AG - HSI R Bull CBBC Aug 2014 P	300,000,000	2013/12/20	2014/08/28	75.00
63495	UBS AG - HSI R Bull CBBC Oct 2014 Z	300,000,000	2013/12/20	2014/10/30	75.00
63465	UBS AG - HSI R Bear CBBC Mar 2014 I	300,000,000	2013/12/20	2014/03/28	75.00
63464	UBS AG - HSI R Bear CBBC Mar 2014 J	300,000,000	2013/12/20	2014/03/28	75.00
63466	UBS AG - HSI R Bear CBBC Apr 2014 D	350,000,000	2013/12/20	2014/04/29	87.50
63512	UBS AG - Sands China R Bull CBBC Aug 2014 C	100,000,000	2013/12/20	2014/08/11	25.00
63511	UBS AG - Sands China R Bear CBBC May 2014 D	100,000,000	2013/12/20	2014/05/12	25.00
63697	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 N	300,000,000	2013/12/23	2014/09/29	75.00
63699	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 E	300,000,000	2013/12/23	2014/06/27	75.00
63704	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 F	300,000,000	2013/12/23	2014/06/27	75.00
63717	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 G	300,000,000	2013/12/23	2014/06/27	75.00
63566	Credit Suisse AG - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/12/23	2014/09/29	75.00
63572	Credit Suisse AG - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/12/23	2014/09/29	75.00
63595	Credit Suisse AG - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/12/23	2014/09/29	75.00
63817	Credit Suisse AG - HSI R Bear CBBC Apr 2014 L	300,000,000	2013/12/23	2014/04/29	75.00
63832	Credit Suisse AG - HSI R Bear CBBC Apr 2014 M	300,000,000	2013/12/23	2014/04/29	75.00
63750	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 A	150,000,000	2013/12/23	2014/03/28	37.80
63741	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 Y	150,000,000	2013/12/23	2014/03/28	38.85
63742	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2014 Z	150,000,000	2013/12/23	2014/03/28	38.85
63638	HK Bank - CNOOC R Bear CBBC Nov 2014 A	40,000,000	2013/12/23	2014/11/24	10.00
63639	HK Bank - A50 R Bull CBBC Sep 2014 D	100,000,000	2013/12/23	2014/09/01	25.00
63644	HK Bank - A50 R Bear CBBC Sep 2014 A	50,000,000	2013/12/23	2014/09/15	12.50
63637	HK Bank - Galaxy Ent R Bull CBBC Oct 2014 A	50,000,000	2013/12/23	2014/10/20	12.50
63645	HK Bank - HSI R Bull CBBC Jun 2014 L	100,000,000	2013/12/23	2014/06/27	25.00
63636	HK Bank - HSI R Bear CBBC Sep 2014 D	400,000,000	2013/12/23	2014/09/29	100.00
63834	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 H	300,000,000	2013/12/23	2014/10/30	75.00
63833	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 G	300,000,000	2013/12/23	2014/12/30	75.00
63836	J P Morgan SP BV - HSI R Bear CBBC Mar 2014 M	300,000,000	2013/12/23	2014/03/28	75.00
63631	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 C	100,000,000	2013/12/23	2014/11/27	25.00
63688	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 I	100,000,000	2013/12/23	2014/06/27	25.00
63558	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 N	200,000,000	2013/12/23	2014/06/27	50.00
63540	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 M	200,000,000	2013/12/23	2014/07/30	50.00
63543	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 N	200,000,000	2013/12/23	2014/07/30	50.00
63555	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 O	200,000,000	2013/12/23	2014/07/30	50.00
63565	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 E	200,000,000	2013/12/23	2014/03/28	50.00
63664	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Mar14 M	200,000,000	2013/12/23	2014/03/28	50.00
63757	UBS AG - AIA R Bull CBBC Sep 2014 B	100,000,000	2013/12/23	2014/09/15	25.00
63803	UBS AG - Galaxy Ent R Bull CBBC May 2014 B	100,000,000	2013/12/23	2014/05/12	25.00
63767	UBS AG - Galaxy Ent R Bear CBBC Apr 2014 B	100,000,000	2013/12/23	2014/04/28	25.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63809	UBS AG - HSCEI R Bear CBBC Mar 2014 D	100,000,000	2013/12/23	2014/03/28	25.00
63614	UBS AG - HSI R Bull CBBC Jul 2014 Y	300,000,000	2013/12/23	2014/07/30	75.00
63609	UBS AG - HSI R Bull CBBC Sep 2014 V	300,000,000	2013/12/23	2014/09/29	75.00
63811	UBS AG - HSI R Bear CBBC Mar 2014 Q	300,000,000	2013/12/23	2014/03/28	75.00
63810	UBS AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2013/12/23	2014/04/29	75.00
63951	Credit Suisse AG - AIA R Bull CBBC Sep 2014 A	70,000,000	2013/12/24	2014/09/30	17.50
63963	Credit Suisse AG - AIA R Bull CBBC Sep 2014 B	70,000,000	2013/12/24	2014/09/30	17.50
63935	Credit Suisse AG - China Mobile R Bull CBBC Sep 2014 A	70,000,000	2013/12/24	2014/09/30	17.50
63938	Credit Suisse AG - China Mobile R Bull CBBC Sep 2014 B	70,000,000	2013/12/24	2014/09/30	17.50
63944	Credit Suisse AG - China Mobile R Bull CBBC Sep 2014 C	70,000,000	2013/12/24	2014/09/30	17.50
63898	Credit Suisse AG - A50 China R Bull CBBC May 2014 A	70,000,000	2013/12/24	2014/05/30	17.50
63907	Credit Suisse AG - A50 China R Bull CBBC May 2014 B	70,000,000	2013/12/24	2014/05/30	17.50
63910	Credit Suisse AG - A50 China R Bear CBBC May 2014 A	70,000,000	2013/12/24	2014/05/30	17.50
63925	Credit Suisse AG - A50 China R Bear CBBC May 2014 B	70,000,000	2013/12/24	2014/05/30	17.50
63934	Credit Suisse AG - A50 China R Bear CBBC May 2014 C	70,000,000	2013/12/24	2014/05/30	17.50
63998	Credit Suisse AG - HSI R Bull CBBC Nov 2014 H	300,000,000	2013/12/24	2014/11/27	75.00
64001	Credit Suisse AG - HSI R Bull CBBC Nov 2014 I	300,000,000	2013/12/24	2014/11/27	75.00
64004	Credit Suisse AG - HSI R Bear CBBC May 2014 G	300,000,000	2013/12/24	2014/05/29	75.00
64013	Credit Suisse AG - HSI R Bear CBBC May 2014 H	300,000,000	2013/12/24	2014/05/29	75.00
63878	HK Bank - China Mobile R Bear CBBC Jul 2014 B	50,000,000	2013/12/24	2014/07/21	12.50
63885	HK Bank - China Shenhua R Bull CBBC Aug 2014 B	60,000,000	2013/12/24	2014/08/04	18.00
63875	HK Bank - Galaxy Ent R Bear CBBC Jan 2015 A	40,000,000	2013/12/24	2015/01/12	10.00
63876	HK Bank - Galaxy Ent R Bear CBBC Jan 2015 B	40,000,000	2013/12/24	2015/01/26	10.00
63866	HK Bank - HSI R Bull CBBC Aug 2014 H	100,000,000	2013/12/24	2014/08/28	25.00
63868	HK Bank - HSI R Bear CBBC Aug 2014 G	100,000,000	2013/12/24	2014/08/28	25.00
63864	HK Bank - HSI R Bear CBBC Sep 2014 E	100,000,000	2013/12/24	2014/09/29	25.00
64081	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 L	300,000,000	2013/12/24	2014/10/30	75.00
64080	J P Morgan SP BV - HSI R Bull CBBC Dec 2014 H	300,000,000	2013/12/24	2014/12/30	75.00
64082	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 L	300,000,000	2013/12/24	2014/04/29	75.00
64084	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 M	300,000,000	2013/12/24	2014/04/29	75.00
64085	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 N	300,000,000	2013/12/24	2014/04/29	75.00
63892	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun 14B	200,000,000	2013/12/24	2014/06/27	50.00
63893	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 14D	200,000,000	2013/12/24	2014/04/29	50.00
63894	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr 14E	200,000,000	2013/12/24	2014/04/29	50.00
64068	UBS AG - AIA R Bull CBBC Oct 2014 B	100,000,000	2013/12/24	2014/10/06	25.00
64058	UBS AG - HSI R Bull CBBC Jul 2014 A	350,000,000	2013/12/24	2014/07/30	87.50
64059	UBS AG - HSI R Bull CBBC Oct 2014 B	300,000,000	2013/12/24	2014/10/30	75.00
63844	UBS AG - HSI R Bear CBBC Mar 2014 O	300,000,000	2013/12/24	2014/03/28	75.00
64072	UBS AG - HSI R Bear CBBC Mar 2014 S	350,000,000	2013/12/24	2014/03/28	87.50
64056	UBS AG - HSI R Bear CBBC Mar 2014 V	300,000,000	2013/12/24	2014/03/28	75.00
64066	UBS AG - Sands China R Bear CBBC Apr 2014 A	100,000,000	2013/12/24	2014/04/28	25.00
64070	UBS AG - TCH R Bull CBBC Jul 2014 J	50,000,000	2013/12/24	2014/07/28	12.50
64069	UBS AG - TCH R Bear CBBC Sep 2014 B	50,000,000	2013/12/24	2014/09/22	49.00
64263	Credit Suisse AG - HSI R Bull CBBC Aug 2014 N	300,000,000	2013/12/27	2014/08/28	75.00
64253	Credit Suisse AG - HSI R Bull CBBC Aug 2014 Q	300,000,000	2013/12/27	2014/08/28	75.00

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64140	Credit Suisse AG - HSI R Bear CBBC Apr 2014 H	300,000,000	2013/12/27	2014/04/29	75.00
64136	Credit Suisse AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2013/12/27	2014/04/29	75.00
64178	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 A	150,000,000	2013/12/27	2014/05/29	37.50
64189	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 B	150,000,000	2013/12/27	2014/05/29	37.50
64196	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 C	150,000,000	2013/12/27	2014/05/29	37.50
64199	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 D	150,000,000	2013/12/27	2014/05/29	37.50
64204	Citigroup Global Mkt H Inc. - HSI R Bull CBBC May 2014 E	150,000,000	2013/12/27	2014/05/29	37.50
64209	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Apr 2014 B	150,000,000	2013/12/27	2014/04/29	37.50
64218	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Apr 2014 C	150,000,000	2013/12/27	2014/04/29	37.50
64224	Citigroup Global Mkt H Inc. - HSI R Bear CBBC Apr 2014 D	150,000,000	2013/12/27	2014/04/29	37.50
64097	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 A	40,000,000	2013/12/27	2014/11/24	10.00
64109	HK Bank - Minsheng Bank R Bull CBBC Nov 2014 B	40,000,000	2013/12/27	2014/11/03	10.00
64119	HK Bank - Minsheng Bank R Bear CBBC Nov 2014 A	40,000,000	2013/12/27	2014/11/24	10.00
64120	HK Bank - Sinopec Corp R Bear CBBC Nov 2014 A	40,000,000	2013/12/27	2014/11/03	10.00
64088	HK Bank - Galaxy Ent R Bull CBBC Sep 2014 D	40,000,000	2013/12/27	2014/09/08	10.00
64122	HK Bank - HSCEI R Bear CBBC Jul 2014 C	68,000,000	2013/12/27	2014/07/30	17.00
64121	HK Bank - HSI R Bear CBBC Jun 2014 N	100,000,000	2013/12/27	2014/06/27	25.00
64087	HK Bank - Sands China R Bull CBBC Oct 2014 A	60,000,000	2013/12/27	2014/10/27	15.00
64124	HK Bank - Sands China R Bear CBBC Dec 2014 A	50,000,000	2013/12/27	2014/12/08	12.50
64089	HK Bank - TCH R Bear CBBC Sep 2014 G	50,000,000	2013/12/27	2014/09/01	12.50
64252	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 G	300,000,000	2013/12/27	2014/09/29	75.00
64349	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 O	300,000,000	2013/12/27	2014/04/29	75.00
64133	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 Q	100,000,000	2013/12/27	2014/12/30	25.00
64135	Standard Chartered Bank - HSI R Bull CBBC Dec 2014 R	100,000,000	2013/12/27	2014/12/30	25.00
64155	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 F	200,000,000	2013/12/27	2014/04/29	50.00
64282	UBS AG - CC Bank R Bear CBBC May 2014 A	100,000,000	2013/12/27	2014/05/12	25.00
64325	UBS AG - Sinopec Corp R Bear CBBC May 2014 A	100,000,000	2013/12/27	2014/05/12	25.00
64281	UBS AG - CSOP A50 ETF R Bull CBBC May 2014 B	100,000,000	2013/12/27	2014/05/26	25.00
64279	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2014 B	100,000,000	2013/12/27	2014/06/16	25.00
64278	UBS AG - A50 China R Bull CBBC Apr 2014 E	100,000,000	2013/12/27	2014/04/28	25.00
64326	UBS AG - Galaxy Ent R Bear CBBC Apr 2014 C	100,000,000	2013/12/27	2014/04/14	25.00
64304	UBS AG - HKEx R Bull CBBC Sep 2014 B	100,000,000	2013/12/27	2014/09/22	25.00
64328	UBS AG - HSBC R Bull CBBC Jul 2014 A	100,000,000	2013/12/27	2014/07/21	25.00
64271	UBS AG - HSI R Bull CBBC Jul 2014 O	300,000,000	2013/12/27	2014/07/30	75.00
64265	UBS AG - HSI R Bull CBBC Nov 2014 E	300,000,000	2013/12/27	2014/11/27	75.00
64163	UBS AG - HSI R Bear CBBC Apr 2014 E	300,000,000	2013/12/27	2014/04/29	75.00
64159	UBS AG - HSI R Bear CBBC Apr 2014 Q	300,000,000	2013/12/27	2014/04/29	75.00
64284	UBS AG - TCH R Bull CBBC Jun 2014 N	50,000,000	2013/12/27	2014/06/23	17.00
64347	UBS AG - TCH R Bear CBBC Jul 2014 B	50,000,000	2013/12/27	2014/07/07	74.00
64851 [#]	HK Bank - A50 R Bull CBBC Sep 2014 A	180,000,000	2013/12/27	2014/09/29	17.10
64852 [#]	HK Bank - A50 R Bull CBBC Oct 2014 A	180,000,000	2013/12/27	2014/10/24	21.06
64665	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2014 C	200,000,000	2013/12/30	2014/12/30	50.00
64531	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 O	300,000,000	2013/12/30	2014/09/29	75.00
64539	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Sep 2014 P	300,000,000	2013/12/30	2014/09/29	75.00
64499	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 H	300,000,000	2013/12/30	2014/06/27	75.00

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64500	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 I	300,000,000	2013/12/30	2014/06/27	75.00
64625	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 J	300,000,000	2013/12/30	2014/06/27	75.00
64626	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 K	300,000,000	2013/12/30	2014/06/27	75.00
64627	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 L	300,000,000	2013/12/30	2014/06/27	75.00
64652	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 M	300,000,000	2013/12/30	2014/06/27	75.00
64564	Credit Suisse AG - HSI R Bull CBBC Oct 2014 C	300,000,000	2013/12/30	2014/10/30	75.00
64560	Credit Suisse AG - HSI R Bull CBBC Oct 2014 E	300,000,000	2013/12/30	2014/10/30	75.00
64562	Credit Suisse AG - HSI R Bull CBBC Oct 2014 F	300,000,000	2013/12/30	2014/10/30	75.00
64563	Credit Suisse AG - HSI R Bull CBBC Oct 2014 G	300,000,000	2013/12/30	2014/10/30	75.00
64578	Credit Suisse AG - HSI R Bear CBBC Apr 2014 I	300,000,000	2013/12/30	2014/04/29	75.00
64579	Credit Suisse AG - HSI R Bear CBBC Apr 2014 J	300,000,000	2013/12/30	2014/04/29	75.00
64577	Credit Suisse AG - HSI R Bear CBBC Apr 2014 O	300,000,000	2013/12/30	2014/04/29	75.00
64572	Credit Suisse AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/12/30	2014/04/29	75.00
64585	Credit Suisse AG - HSI R Bear CBBC Apr 2014 Z	300,000,000	2013/12/30	2014/04/29	75.00
64473	HK Bank - Cheung Kong R Bull CBBC Oct 2014 A	60,000,000	2013/12/30	2014/10/13	15.00
64463	HK Bank - CM Bank R Bear CBBC Oct 2014 A	40,000,000	2013/12/30	2014/10/27	10.00
64355	HK Bank - CNOOC R Bull CBBC Oct 2014 A	60,000,000	2013/12/30	2014/10/06	15.00
64358	HK Bank - CNOOC R Bull CBBC Dec 2014 A	60,000,000	2013/12/30	2014/12/22	19.80
64366	HK Bank - CNOOC R Bear CBBC Oct 2014 A	40,000,000	2013/12/30	2014/10/27	10.00
64460	HK Bank - Sinopec Corp R Bull CBBC Nov 2014 A	80,000,000	2013/12/30	2014/11/03	20.00
64376	HK Bank - Hutchison R Bull CBBC Oct 2014 B	60,000,000	2013/12/30	2014/10/27	15.00
64377	HK Bank - Hutchison R Bull CBBC Nov 2014 A	60,000,000	2013/12/30	2014/11/10	15.00
64454	HK Bank - Hutchison R Bull CBBC Nov 2014 B	60,000,000	2013/12/30	2014/11/24	15.00
64475	HK Bank - PetCh R Bear CBBC Oct 2014 A	40,000,000	2013/12/30	2014/10/27	10.00
64740	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 D	300,000,000	2013/12/30	2014/09/29	75.00
64756	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 E	300,000,000	2013/12/30	2014/09/29	75.00
64698	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 N	300,000,000	2013/12/30	2014/10/30	75.00
64755	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 Z	300,000,000	2013/12/30	2014/10/30	75.00
64675	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/12/30	2014/04/29	75.00
64684	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 Q	300,000,000	2013/12/30	2014/04/29	75.00
64691	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 R	300,000,000	2013/12/30	2014/04/29	75.00
64697	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 S	300,000,000	2013/12/30	2014/04/29	75.00
64504	Macquarie Bank Ltd. - HSI R Bull CBBC Jun 2014 A	300,000,000	2013/12/30	2014/06/27	75.00
64507	Macquarie Bank Ltd. - HSI R Bear CBBC Jun 2014 A	300,000,000	2013/12/30	2014/06/27	75.00
64485	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 D	100,000,000	2013/12/30	2014/11/27	25.00
64493	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 J	100,000,000	2013/12/30	2014/06/27	25.00
64770	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 K	100,000,000	2013/12/30	2014/06/27	25.00
64781	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 L	100,000,000	2013/12/30	2014/06/27	25.00
64526	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 G	200,000,000	2013/12/30	2014/07/30	50.00
64508	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 P	200,000,000	2013/12/30	2014/07/30	50.00
64519	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 G	200,000,000	2013/12/30	2014/04/29	50.00
64615	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 H	200,000,000	2013/12/30	2014/04/29	50.00
64605	UBS AG - Galaxy Ent R Bull CBBC Sep 2014 B	100,000,000	2013/12/30	2014/09/29	25.00
64602	UBS AG - HSCEI R Bear CBBC Apr 2014 D	100,000,000	2013/12/30	2014/04/29	25.00
64604	UBS AG - HSCEI R Bear CBBC Apr 2014 E	100,000,000	2013/12/30	2014/04/29	25.00

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64601	UBS AG - HSI R Bull CBBC Jul 2014 Z	300,000,000	2013/12/30	2014/07/30	75.00
64599	UBS AG - HSI R Bull CBBC Aug 2014 R	300,000,000	2013/12/30	2014/08/28	75.00
64600	UBS AG - HSI R Bull CBBC Oct 2014 C	350,000,000	2013/12/30	2014/10/30	87.50
64593	UBS AG - HSI R Bear CBBC Apr 2014 B	300,000,000	2013/12/30	2014/04/29	75.00
64586	UBS AG - HSI R Bear CBBC Apr 2014 N	300,000,000	2013/12/30	2014/04/29	75.00
68356 #	HK Bank - A50 R Bull CBBC Oct 2014 B	180,000,000	2013/12/30	2014/10/20	23.58
64907	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 N	300,000,000	2013/12/31	2014/06/27	75.00
64912	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Jun 2014 O	300,000,000	2013/12/31	2014/06/27	75.00
64818	Credit Suisse AG - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/12/31	2014/09/29	75.00
65002	Credit Suisse AG - HSI R Bull CBBC Sep 2014 Q	300,000,000	2013/12/31	2014/09/29	75.00
65003	Credit Suisse AG - HSI R Bear CBBC May 2014 J	300,000,000	2013/12/31	2014/05/29	75.00
65012	Credit Suisse AG - HSI R Bear CBBC May 2014 K	300,000,000	2013/12/31	2014/05/29	75.00
65013	Credit Suisse AG - HSI R Bear CBBC May 2014 L	300,000,000	2013/12/31	2014/05/29	75.00
64861	HK Bank - CC Bank R Bear CBBC Oct 2014 A	40,000,000	2013/12/31	2014/10/06	10.00
64825	HK Bank - CSOP A50 ETF R Bull CBBC Oct 2014 A	80,000,000	2013/12/31	2014/10/20	20.00
64827	HK Bank - CSOP A50 ETF R Bull CBBC Nov 2014 A	80,000,000	2013/12/31	2014/11/24	20.00
64831	HK Bank - CSOP A50 ETF R Bear CBBC Dec 2014 A	40,000,000	2013/12/31	2014/12/01	10.00
64886	HK Bank - A50 R Bull CBBC Oct 2014 C	100,000,000	2013/12/31	2014/10/06	25.00
64863	HK Bank - A50 R Bull CBBC Nov 2014 A	100,000,000	2013/12/31	2014/11/17	25.00
64872	HK Bank - A50 R Bull CBBC Nov 2014 B	100,000,000	2013/12/31	2014/11/24	25.00
64899	HK Bank - A50 R Bull CBBC Dec 2014 A	100,000,000	2013/12/31	2014/12/29	25.00
64891	HK Bank - A50 R Bear CBBC Dec 2014 A	50,000,000	2013/12/31	2014/12/01	12.50
64887	HK Bank - HSI R Bull CBBC Jul 2014 L	100,000,000	2013/12/31	2014/07/30	25.00
64888	HK Bank - HSI R Bull CBBC Jul 2014 M	100,000,000	2013/12/31	2014/07/30	25.00
64889	HK Bank - HSI R Bull CBBC Aug 2014 I	100,000,000	2013/12/31	2014/08/28	25.00
64890	HK Bank - HSI R Bull CBBC Sep 2014 C	100,000,000	2013/12/31	2014/09/29	25.00
64879	HK Bank - HSI R Bear CBBC Aug 2014 H	100,000,000	2013/12/31	2014/08/28	25.00
64824	HK Bank - PetCh R Bull CBBC Nov 2014 A	60,000,000	2013/12/31	2014/11/10	15.00
64814	J P Morgan SP BV - HSI R Bull CBBC Sep 2014 H	300,000,000	2013/12/31	2014/09/29	75.00
64903	J P Morgan SP BV - HSI R Bull CBBC Oct 2014 F	300,000,000	2013/12/31	2014/10/30	75.00
65046	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 T	300,000,000	2013/12/31	2014/04/29	75.00
65073	J P Morgan SP BV - HSI R Bear CBBC Apr 2014 U	300,000,000	2013/12/31	2014/04/29	75.00
64787	Standard Chartered Bank - HSI R Bull CBBC Nov 2014 E	100,000,000	2013/12/31	2014/11/27	25.00
64971	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 M	100,000,000	2013/12/31	2014/06/27	25.00
64976	Standard Chartered Bank - HSI R Bear CBBC Jun 2014 N	100,000,000	2013/12/31	2014/06/27	25.00
64942	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 A	200,000,000	2013/12/31	2014/06/27	50.00
64936	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jun14 H	200,000,000	2013/12/31	2014/06/27	50.00
64930	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 F	200,000,000	2013/12/31	2014/07/30	50.00
64919	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 I	200,000,000	2013/12/31	2014/07/30	50.00
64920	SGA Societe Generale Acceptance N.V. - HSI R Bull CBBC Jul14 K	200,000,000	2013/12/31	2014/07/30	50.00
64960	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 I	200,000,000	2013/12/31	2014/04/29	50.00
64963	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 J	200,000,000	2013/12/31	2014/04/29	50.00
64970	SGA Societe Generale Acceptance N.V. - HSI R Bear CBBC Apr14 K	200,000,000	2013/12/31	2014/04/29	50.00
65041	UBS AG - CNOOC R Bull CBBC Oct 2014 A	100,000,000	2013/12/31	2014/10/06	25.00
65038	UBS AG - HSI R Bull CBBC Jul 2014 V	300,000,000	2013/12/31	2014/07/30	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64902	UBS AG - HSI R Bull CBBC Oct 2014 D	300,000,000	2013/12/31	2014/10/30	75.00
65014	UBS AG - HSI R Bear CBBC Apr 2014 P	300,000,000	2013/12/31	2014/04/29	75.00
65015	UBS AG - HSI R Bear CBBC Apr 2014 R	300,000,000	2013/12/31	2014/04/29	75.00
65030	UBS AG - HSI R Bear CBBC Apr 2014 S	300,000,000	2013/12/31	2014/04/29	75.00
總額					112,648.01

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2012	第4季	4	18.62
2013	第1季	4	15.07
	第2季	4	20.85
	第3季	4	7.69
	第4季	4	5.78

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2012	第4季	—	—
2013	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第4季	7	0.00
2013	第1季	7	0.25
	第2季	7	0.03
	第3季	7	0.08
	第4季	7	0.03

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2012	第4季	2	—
2013	第1季	2	—
	第2季	2	—
	第3季	2	—
	第4季	2	—

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2012	第4季	45,943.00	9,037.19	329,420
2013	第1季	55,775.21	12,379.11	371,122
	第2季	38,483.69	15,384.62	413,646
	第3季	56,706.88	18,446.55	542,526
	第4季	78,234.46	32,626.67	914,205

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2012	第4季	61	148.15	27,434
2013	第1季	59	209.82	33,356
	第2季	60	256.41	37,193
	第3季	63	292.80	34,001
	第4季	62	526.24	35,689

20種升幅最大之創業板股份

2013年第4季

順次	股份代號	股份	收市價		升幅
			2013年12月底	2013年9月底	
1	08071	中彩網通控股	0.375	0.079	374.68
2	08156	眾彩股份	2.230	0.470	374.47
3	08298	安捷利實業	0.900	0.400	125.00
4	08166	中國農業生態	0.202	0.106 A	90.57
5	08279	亞博科技控股	1.180	0.640	84.38
6	08198	新濠環彩	1.720	0.940	82.98
7	08356	中國新華電視	1.260	0.690	82.61
8	08179	喜尚控股	0.410	0.230	78.26
9	08146	馬仕達國際	0.490	0.280	75.00
10	08021	匯隆控股	0.098	0.058	68.97
11	08092	ITE HOLDINGS	0.119	0.072	65.28
12	08049	吉林長龍藥業	2.540	1.560	62.82
13	08220	比高集團	0.300	0.185	62.16
14	08240	中國城市軌道科技	1.280	0.860	48.84
15	08003	世大控股	0.110	0.074	48.65
16	08200	修身堂	0.400	0.270	48.15
17	08212	譽滿國際控股	1.330	0.900	47.78
18	08061	光亞	0.060	0.041	46.34
19	08006	華泰瑞銀	13.540	9.290	45.75
20	08143	華夏醫療	0.355	0.246	44.31

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2013年第4季

順次	股份代號	股份	收市價		跌幅
			2013年12月底	2013年9月底	
1	08260	施伯樂策略控股	0.285	2.060	-86.17
2	08270	中國聯盛	0.120	0.330	-63.64
3	08120	東麟農業	0.107	0.255	-58.04
4	08086	DX.COM控股	0.221	0.420	-47.38
5	08037	朗力福集團	0.219	0.415	-47.23
6	08017	百齡國際	0.405	0.760	-46.71
7	08075	寰亞傳媒	0.032	0.059	-45.76
8	08236	寶德科技集團	3.880	7.000 A	-44.57
9	08116	中國幸福投資	0.138	0.231	-40.26
10	08165	華普智通	0.090	0.150	-40.00
11	08108	名家國際	0.074	0.122 A	-39.34
12	08085	香港生命科學	0.295	0.475	-37.89
13	08082	仁智國際集團	0.055	0.088	-37.50
14	08150	無縫綠色	1.110	1.730	-35.84
15	08250	中國天然投資	0.172	0.250	-31.20
16	08055	中國網絡教育	0.091	0.128	-28.91
17	08115	上海青浦消防	0.700	0.980	-28.57
18	08351	俊文寶石	0.149	0.208	-28.37
19	08225	萬全科技藥業	0.235	0.325	-27.69
20	08130	智城控股	0.560	0.770	-27.27

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2013年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08002	IGG	4,713.62	14.45
2	08198	新濠環彩	1,875.25	5.75
3	08270	中國聯盛	1,455.88	4.46
4	08292	慧聰網	1,416.38	4.34
5	08212	譽滿國際控股	1,091.51	3.35
6	08138	同仁堂國藥	1,073.82	3.29
7	08180	新銳醫藥	926.59	2.84
8	08356	中國新華電視	800.60	2.45
9	08279	亞博科技控股	767.07	2.35
10	08321	中國汽車內飾	664.91	2.04
11	08019	皓文控股	596.11	1.83
12	08185	御藥堂	574.47	1.76
13	08156	眾彩股份	561.68	1.72
14	08255	神州數字	549.52	1.68
15	08081	駿科網絡訊息	546.24	1.67
16	08116	中國幸福投資	471.91	1.45
17	08102	上海復旦	451.93	1.39
18	08158	中國生物醫學	451.68	1.38
19	08207	中國信貸	445.21	1.36
20	08078	中國3D數碼	419.92	1.29
總額			19,854.31	60.85

創業板 股份

20種成交最活躍創業板股份(股數)

2013年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08078	中國3D數碼	14,519.50	18.56
2	08270	中國聯盛	7,924.89	10.13
3	08100	聯夢活力世界	3,724.51	4.76
4	08081	駿科網絡訊息	2,919.39	3.73
5	08171	中國趨勢	2,696.96	3.45
6	08116	中國幸福投資	2,544.29	3.25
7	08019	皓文控股	2,369.03	3.03
8	08161	華彩控股	2,141.63	2.74
9	08071	中彩網通控股	1,985.67	2.54
10	08120	東麟農業	1,833.83	2.34
11	08158	中國生物醫學	1,632.42	2.09
12	08198	新濠環彩	1,407.14	1.80
13	08215	FIRST CREDIT	1,329.14	1.70
14	08266	金達集團國際	1,303.07	1.67
15	08002	IGG	1,296.71	1.66
16	08166	中國農業生態	1,271.88	1.63
17	08041	薈萃國際(控股)	1,174.67	1.50
18	08250	中國天然投資	1,149.85	1.47
19	08356	中國新華電視	889.85	1.14
20	08085	香港生命科學	853.51	1.09
總數			54,967.93	70.26

創業板 股份

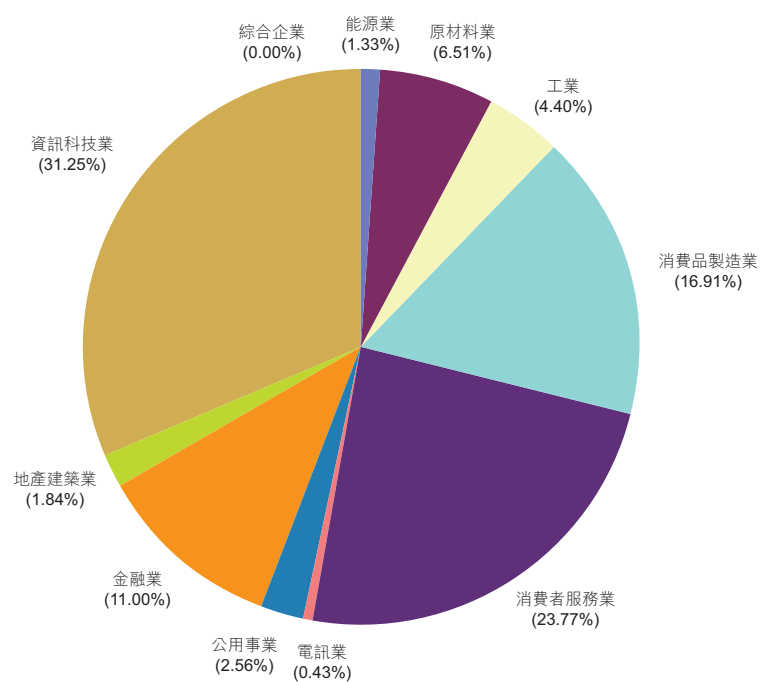
創業板公司市價總值

截至季末

		百萬港元
2012	第4季	78,398.98
2013	第1季	87,318.43
	第2季	98,730.52
	第3季	119,020.80
	第4季	134,002.06

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2013年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08138	北京同仁堂國藥有限公司	8,449.40	6.31
2	08002	IGG Inc	6,998.09	5.22
3	08292	慧聰網有限公司	6,757.62	5.04
4	08137	洪橋集團有限公司	5,967.05	4.45
5	08008	新意網集團有限公司	5,178.82	3.86
6	08279	亞博科技控股有限公司	5,128.59	3.83
7	08198	新濠環彩有限公司	4,130.86	3.08
8	08158	中國生物醫學再生科技有限公司	2,890.77	2.16
9	08035	濱海投資有限公司	2,846.59	2.12
10	08032	非凡中國控股有限公司	2,734.38	2.04
11	08090	中國融保金融集團有限公司	2,726.89	2.03
12	08072	羅馬集團有限公司	2,112.00	1.58
13	08356	中國新華電視控股有限公司	2,110.17	1.57
14	08102	上海復旦微電子集團股份有限公司－H股	2,098.58	1.57
15	08207	中國信貸控股有限公司	1,972.21	1.47
16	08232	中國優通控股有限公司	1,948.80	1.45
17	08155	南華置地有限公司	1,922.70	1.43
18	08005	裕興科技投資控股有限公司	1,827.96	1.36
19	08020	川盟金融集團有限公司	1,753.80	1.31
20	08180	新銳醫藥國際控股有限公司	1,688.00	1.26
21	08156	眾彩科技股份有限公司	1,682.26	1.26
22	08083	中國創新支付集團有限公司	1,482.75	1.11
23	08006	中國華泰瑞銀控股有限公司	1,451.13	1.08
24	08179	喜尚控股有限公司	1,312.00	0.98
25	08050	雲博產業集團有限公司	1,220.63	0.91
26	08128	中國地能產業集團有限公司	1,175.64	0.88
27	08085	香港生命科學技術集團有限公司	1,165.35	0.87
28	08123	首華財經網絡集團有限公司	1,159.33	0.87
29	08325	奧思知集團控股有限公司	1,152.00	0.86
30	08086	DX.com控股有限公司	1,125.89	0.84
31	08255	神州數字銷售技術有限公司	1,099.20	0.82
32	08058	山東羅欣藥業股份有限公司－H股	1,086.10	0.81
33	08185	御藥堂集團控股有限公司	1,055.75	0.79
34	08132	鉦皓控股有限公司	1,042.80	0.78
35	08212	譽滿國際(控股)有限公司	1,020.24	0.76
36	08018	匯財軟件公司	1,016.00	0.76
37	08321	中國汽車內飾集團有限公司	979.20	0.73
38	08025	亞洲資產(控股)有限公司	921.02	0.69
39	08220	比高集團控股有限公司	920.16	0.69
40	08250	中國天然投資有限公司	893.11	0.67
41	08056	鼎豐集團控股有限公司	860.00	0.64
42	08107	譽宴集團控股有限公司	848.00	0.63
43	08219	品牌中國集團有限公司	802.13	0.6
44	08077	昆侖國際金融集團有限公司	800.00	0.6
45	08276	華章科技控股有限公司	764.32	0.57
46	08167	中國新電信集團有限公司	728.15	0.54
47	08071	中彩網通控股有限公司	695.34	0.52
48	08270	中國聯盛煤層氣頁岩氣產業集團有限公司	655.41	0.49
49	08306	中國有色金屬有限公司	647.98	0.48
50	08066	品創控股有限公司	629.21	0.470
總額			101,634.36	75.85
市場總值			134,002.06	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2012	第4季	2	139.50
2013	第1季	1	60.00
	第2季	5	887.80
	第3季	5	362.29
	第4季	12	1,873.36

創業板新上市公司

2013第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08218	毅高(國際)控股集團有限公司	2013/10/11	200,000,000	36.00
08181	港深聯合物業管理(控股)有限公司	2013/10/11	400,000,000	33.00
08185	御藥堂集團控股有限公司	2013/10/11	515,000,000	138.67
08101	家夢控股有限公司	2013/10/15	100,000,000	34.50
08002	IGG Inc	2013/10/18	1,358,852,099	1,054.34
08180	新銳醫藥國際控股有限公司	2013/10/25	800,000,000	70.00
08326	JC Group Holdings Ltd.	2013/11/21	400,000,000	50.00
08309	時尚環球控股有限公司	2013/12/03	600,000,000	52.50
08255	神州數字銷售技術有限公司	2013/12/04	480,000,000	72.00
08056	鼎豐集團控股有限公司	2013/12/09	1,000,000,000	195.00
08107	譽宴集團控股有限公司	2013/12/10	400,000,000	100.00
08320	思城控股有限公司	2013/12/20	180,000,000	37.35
總額				1,873.36

除牌上市公司－創業板

2013年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
08161	華彩控股有限公司*	2013/10/15	2013/10/16 §
08122	先健科技公司*	2013/11/05	2013/11/06 §
08012	仁恒實業控股有限公司*	2013/11/22	2013/11/25 §
08240	中國城市軌道交通科技控股有限公司*	2013/12/05	2013/12/06 §
08231	上海復旦張江生物醫藥股份有限公司－H股*	2013/12/13	2013/12/16 §
08229	東大新材料照明控股有限公司	2004/07/28	2013/12/17

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2013年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08086	易寶有限公司	DX.com控股有限公司	2013/10/08	2013/10/18
08266	流動電訊網絡(控股)有限公司	金達集團國際有限公司	2013/08/13	2013/10/21
08006	中華網科技公司	中國華泰瑞銀控股有限公司	2013/10/16	2013/12/03

創業板紅股／紅利認股權證

2013年第4季

股份代號	公司	詳情	除淨日
08022	彩娛集團有限公司	每供2股送3股股份	2013/11/19

創業板股份拆細及合併

2013年第4季

股份代號	公司	詳情	生效日期
08166	中國農業生態有限公司	2股合併為1股	2013/10/11
08236	寶德科技集團股份有限公司－H股	10股合併為1股	2013/11/12
08201	寶聯控股有限公司	1股分拆為10股	2013/12/23

創業板供股／公開售股

2013年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10月	08019	皓文控股有限公司	公開售股1供8，每股供0.10港元 (10股合併為1股後)	162.13
11月	08108	名家國際控股有限公司	公開售股1供4，每股供0.10港元	90.96
12月	08022	彩娛集團有限公司	公開售股3供2，每股供0.50港元	70.02
			另每供2股送3股股份	
	08192	環球能源資源國際集團有限公司	公開售股2供1，每股供0.07港元	43.92
總額				367.03

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2013					
10月	08292	慧聰網	72,000,000	7.500	540.00
	08202	匯創控股	30,000,000	0.200	6.00
	08017	百齡國際	20,000,000	0.620	12.40
	08266	金達集團國際	164,524,000	0.179	29.45
	08325	奧思知集團控股	120,000,000	1.030	123.60
	08120	東麟農業	200,000,000	0.165	33.00
	08039	天馬娛樂	10,000,000	0.900	9.00
	08250	中國天然投資	300,000,000	0.178	53.40
	08078	中國3D數碼	1,118,520,000	0.025	27.85
11月	08250	中國天然投資	230,000,000	0.198	45.54
	08081	駿科網絡訊息	110,000,000	0.128	14.08
	08088	高誠資本	27,552,000	0.680	18.74
12月	08202	匯創控股	38,383,688	0.180	6.91
	08163	萬德資源	45,000,000	0.156	7.02
	08132	鉦皓控股	11,450,000	1.560	17.86
總額					944.85

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

** 前季度之補充資料。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2012	第4季	1	0.01	8.00
2013	第1季	0	0.85	0.00
	第2季	0	0.00	0.00
	第3季	0	0.00	0.00
	第4季	0	0.00	0.00

創業板新上市股份認股權證

2013年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2013年12月底 收市	2013年9月底 收市	升跌
標準普爾／香港交易所大型股指數	28109.10	27386.46	+ 2.64%
標準普爾／香港交易所創業板指數	461.58	450.16	+ 2.54%
恒生指數	23306.39	22859.86	+ 1.95%
分類指數			
金融	32225.38	31603.12	+ 1.97%
公用事業	48375.90	51148.58	- 5.42%
地產	28504.91	30297.15	- 5.92%
工商業	14026.66	13368.91	- 4.92%
恒生香港中資企業指數	4553.64	4380.23	- 3.96%
恒生中國企業指數	10816.14	10316.12	- 4.85%

股票市場指數

世界指數

指數	2013年12月底 收市	2013年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	28,109.10	27,386.46	+ 2.64%
恒生指數	23,306.39	22,859.80	+ 1.95%
澳洲			
普通股指數	5,353.08	5,217.74	+ 2.59%
雅加達			
綜合指數	4,274.18	4,316.18	- 0.97%
日本			
日經指數(225)	16,291.31	14,455.80	+ 12.70%
韓國			
綜合指數	2,011.34	1,996.96	+ 0.72%
吉隆坡			
綜合指數	1,866.96	1,768.62	+ 5.56%
馬尼拉			
綜合指數	5,889.83	6,191.80	- 4.88%
紐西蘭			
證交所指數(50)	4,737.01	4,736.39	+ 0.01%
上海			
A股指數	2,214.49	2,276.27	- 2.71%
B股指數	253.63	252.55	+ 0.43%
深圳			
A股指數	1,103.81	1,103.18	+ 0.06%
B股指數	868.11	827.64	+ 4.89%
新加坡			
FTSE海峽時報指數	3,167.43	3,167.87	- 0.01%
台灣			
台北加權股份指數	8,611.51	8,173.87	+ 5.35%
泰國			
證交所指數	1,298.71	1,383.16	- 6.11%
紐約			
杜瓊斯工業平均指數	16,576.66	15,129.67	+ 9.56%
Nasdaq綜合指數	4,176.59	3,771.48	+ 10.74%
多倫多			
S&P/TSX綜合指數	13,621.55	12,787.19	+ 6.52%
德國			
法蘭克福指數	5,051.89	4,545.36	+ 11.14%
倫敦			
富時指數(100)	6,749.09	6,462.22	+ 4.44%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2013 年第 4 季	2012 年第 4 季
恒生指數期貨	4,314,395	4,882,303
恒生指數期權	1,774,047	2,115,315
小型恒生指數期貨	1,573,318	1,832,074
小型恒生指數期權	237,173	351,069
H股指數期貨	5,527,741	4,276,867
H股指數期權	2,290,397	1,868,439
小型H股指數期貨	616,619	377,472
自訂條款恒生指數期權	0	8,483
自訂條款H股指數期權	3,914	4,990
恒指股息點指數期貨	1,400	300
恒生國企股息點指數期貨	34,496	16,478
恒指波幅指數期貨	169	249
中華交易服務中國 120 指數期貨 ²	23,901	—
IBOVESPA 期貨	0	0
MICEX 指數期貨	0	0
S&P BSE Sensex 指數期貨	0	0
FTSE/JSE Top40 期貨	0	0
股票期貨	86,788	114,886
股票期權	15,367,765	14,366,259
三個月港元利率期貨	2	0
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ¹	40,548	18,105
黃金期貨	0	0
總數	31,892,673	30,233,289

¹ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

² 中華交易服務中國 120 指數期貨由2013年8月12日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第4季	4,882,303	139,344
2013年	第1季	4,871,620	107,939
	第2季	5,468,244	101,093
	第3季	4,926,071	123,253
	第4季	4,314,395	107,304

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第4季	1,832,074	6,638
2013年	第1季	1,873,375	6,897
	第2季	2,169,689	7,096
	第3季	2,237,418	8,969
	第4季	1,573,318	5,835

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第4季	2,115,315	260,785
2013年	第1季	2,269,370	316,226
	第2季	2,598,223	282,528
	第3季	1,959,869	293,948
	第4季	1,774,047	173,176

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2012年	第4季	4,276,867	181,909
2013年	第1季	4,549,577	147,677
	第2季	5,259,192	197,763
	第3季	5,534,747	214,299
	第4季	5,527,741	217,646

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2012年	第4季	7,341.35	14,366,259	4,031,961
2013年	第1季	10,840.90	16,408,792	4,758,454
	第2季	9,361.17	15,369,742	4,810,169
	第3季	8,479.72	13,681,676	4,882,089
	第4季	10,051.31	15,367,765	4,740,818

衍生產品市場 期貨及期權

各期權類別之期權金總額

2013年第4季

期 權 金 額	期 權 類 別 (百 萬 港 元)	佔 市 場 百 份 比	
X安碩A50中國	A50	572.31	5.69%
農業銀行	ABC/XAB	77.73	0.77%
安徽海螺水泥股份	ACC	67.33	0.67%
友邦保險	AIA	226.47	2.25%
中國鋁業	ALC	11.74	0.12%
華夏滬深三百	AMC	39.42	0.39%
中國銀行	BCL/XBC	83.08	0.83%
交通銀行	BCM	19.20	0.19%
東亞銀行	BEA	3.04	0.03%
百麗國際	BIH	34.24	0.34%
中銀香港	BOC	40.16	0.40%
比亞迪股份	BYD	22.11	0.22%
建設銀行	CCB/XCC	213.02	2.12%
中國交通建設	CCC	16.79	0.17%
中國中煤能源	CCE	42.22	0.42%
中國遠洋	CCS	7.92	0.08%
中國移動	CHT	655.62	6.52%
中國聯通	CHU	52.52	0.52%
中信泰富	CIT	30.72	0.31%
長江實業	CKH	236.33	2.35%
中國人壽	CLI	523.17	5.21%
中電控股	CLP	11.65	0.12%
招商銀行	CMB	67.38	0.67%
中國海洋石油	CNC	106.61	1.06%
中國海外發展	COL	116.18	1.16%
國泰航空	CPA	2.06	0.02%
中國石油化工股份	CPC	95.97	0.95%
中國太保	CPI	116.01	1.15%
中國鐵建	CRC	5.43	0.05%
中國中鐵	CRG	3.46	0.03%
X標智滬深300	CS3	2.92	0.03%
南方A50	CSA	145.10	1.44%
中國神華	CSE	85.50	0.85%
中信銀行	CTB	21.23	0.21%
中國電信	CTC	19.74	0.20%
思捷環球	ESP	5.73	0.06%
富智康集團	FIH	2.66	0.03%
銀河娛樂	GLX	724.13	7.20%
長城汽車	GWM	72.82	0.72%
電能實業	HEH	24.70	0.25%
香港交易所	HEX	312.34	3.11%
匯豐控股	HKB	396.08	3.94%
香港中華煤氣	HKG	11.82	0.12%
恒基地產	HLD	19.28	0.19%
華能國際電力股份	HNP	19.65	0.20%
恒生銀行	HSB	8.16	0.08%
和記黃埔	HWL	513.76	5.11%
工商銀行	ICB/XIC	140.95	1.40%
江西銅業	JXC	30.00	0.30%
聯想集團	LEN	23.30	0.23%
利豐	LIF	121.40	1.21%
美國梅中國	MGM	18.16	0.18%
民生銀行	MSB	161.59	1.61%
地鐵公司	MTR	3.99	0.04%
中國建材	NBM	68.82	0.68%
新世界發展	NWD	35.57	0.35%
中國平安	PAI	627.50	6.24%
中國石油股份	PEC	181.24	1.80%
中國人民財產保險	PIC	50.69	0.50%
中國人民保險集團	PIN	15.57	0.15%
富力地產	RFP	4.33	0.04%
金沙中國有限公司	SAN	510.41	5.08%
新鴻基地產	SHK	196.67	1.96%
渣打集團	STC	7.46	0.07%
太古股份	SWA	17.22	0.17%
騰訊控股	TCH	1,769.03	17.60%
盈富基金	TRF	5.47	0.05%
九龍倉集團	WHL	39.89	0.40%
兗州煤業股份	YZC	129.58	1.29%
紫金礦業	ZJM	6.99	0.07%
總 額	10,051.31	100.00%	

衍生產品市場 期貨及期權

各期權類別之合約成交量

2013年第4季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	466,717	3.04%
農業銀行	ABC/XAB	69,871	0.45%
安徽海螺水泥股份	ACC	126,439	0.82%
友邦保險	AIA	303,510	1.97%
中國鋁業	ALC	34,954	0.23%
華夏滬深三百	AMC	38,123	0.25%
中國銀行	BCL/XBC	744,012	4.84%
交通銀行	BCM	100,916	0.66%
東亞銀行	BEA	23,016	0.15%
百麗國際	BIH	83,859	0.55%
中銀香港	BOC	122,380	0.80%
比亞迪股份	BYD	25,574	0.17%
建設銀行	CCB/XCC	1,389,015	9.04%
中國交通建設	CCC	81,267	0.53%
中國中煤能源	CCE	149,255	0.97%
中國遠洋	CCS	47,026	0.31%
中國移動	CHT	838,740	5.46%
中國聯通	CHU	68,547	0.45%
中信泰富	CIT	92,094	0.60%
長江實業	CKH	105,884	0.69%
中國人壽	CLI	705,419	4.59%
中電控股	CLP	27,830	0.18%
招商銀行	CMB	283,946	1.85%
中國海洋石油	CNC	291,698	1.90%
中國海外發展	COL	130,255	0.85%
國泰航空	CPA	5,220	0.03%
中國石油化工股份	CPC	233,230	1.52%
中國太保	CPI	122,625	0.80%
中國鐵建	CRC	28,455	0.19%
中國中鐵	CRG	16,762	0.11%
X標智滬深300	CS3	3,926	0.03%
南方A50	CSA	133,218	0.87%
中國神華	CSE	181,334	1.18%
中國信託	CTB	65,795	0.43%
中國電信	CTC	89,718	0.58%
中環環球集團	ESP	85,138	0.55%
富智康集團	FIH	13,003	0.08%
銀河娛樂	GLX	514,898	3.35%
長城汽車	GWM	101,014	0.66%
電能實業	HEH	39,549	0.26%
香港交易所	HEX	1,000,004	6.51%
匯豐控股	HKB	622,965	4.05%
香港中華煤氣	HKG	35,167	0.23%
恒基地產	HLD	19,544	0.13%
華能國際電力股份	HNP	31,748	0.21%
恒生銀行	HSB	34,752	0.23%
和記黃埔	HWL	250,572	1.63%
工商銀行	ICB/XIC	921,473	6.00%
江西銅業	JXC	66,444	0.43%
聯想集團	LEN	39,573	0.26%
利豐	LIF	152,383	0.99%
美國梅中國	MGM	36,336	0.24%
民生銀行	MSB	258,948	1.69%
地鐵公司	MTR	19,546	0.13%
中國建材	NBM	103,062	0.67%
新世界發展	NWD	70,247	0.46%
中國平安	PAI	567,076	3.69%
中國石油股份	PEC	385,452	2.51%
中國人民財產保險	PIC	55,386	0.36%
中國人民保險集團	PIN	24,347	0.16%
富力地產	RFP	20,416	0.13%
金沙中國有限公司	SAN	873,601	5.68%
新鴻基地產	SHK	103,676	0.67%
渣打集團	STC	33,999	0.22%
太古股份	SWA	27,085	0.18%
騰訊控股	TCH	1,425,338	9.27%
盈富基金	TRF	25,141	0.16%
九龍倉集團	WHL	30,874	0.20%
兗州煤業股份	YZC	122,393	0.80%
紫金礦業	ZJM	25,985	0.17%
總數		15,367,765	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10 億股)	數值 (10 億港元)
2012 第4季	76,640	50.63	198.54
2013 第1季	89,261	59.01	268.79
第2季	81,848	58.43	218.85
第3季	76,390	49.11	176.60
第4季	84,326	52.14	201.87

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2012 第4季	378	114.69	213.72
2013 第1季	463	240.85	251.98
第2季	386	70.50	229.88
第3季	347	46.57	139.57
第4季	412	143.96	203.73

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10 億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10 億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2012 第4季	3,663.66	70.30	12,330.06	51.73
2013 第1季	3,673.74	69.58	12,345.00	51.66
第2季	3,726.30	70.11	11,616.39	51.72
第3季	3,839.01	70.64	12,905.25	51.98
第4季	3,955.16	70.87	13,878.91	52.68

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年／月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2012	第4季	804,910	100	127,520.75	100	55,786.39	100
2013	第1季	990,740	100	172,627.79	100	74,164.38	100
	第2季	960,186	100	145,842.29	100	62,161.42	100
	第3季	877,568	100	130,868.07	100	55,128.00	100
	第4季	933,875	100	117,032.40	100	58,954.27	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2012	第4季	61,879	95.79	19,248.65	92.00
2013	第1季	71,626	96.07	24,342.25	92.71
	第2季	65,358	96.26	20,347.75	92.80
	第3季	65,100	95.99	18,908.50	92.59
	第4季	72,380	95.83	22,299.70	90.28

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月		交收效率(%)	
		到期交收日	到期交收日翌日
2012	第4季	99.90	99.99
2013	第1季	99.91	99.99
	第2季	99.89	99.99
	第3季	99.91	99.99
	第4季	99.91	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2013年第4季	2012年第4季
恒生指數期貨	107,304	139,344
恒生指數期權	173,176	260,785
小型恒生指數期貨	5,835	6,638
小型恒生指數期權	4,665	12,363
H股指數期貨	217,646	181,909
H股指數期權	858,416	589,342
小型H股指數期貨	3,608	2,276
自訂條款恒生指數期權	506	9,468
自訂條款H股指數期權	25,099	9,821
恒指股息點指數期貨	4,599	1,969
恒生國企股息點指數期貨	51,075	48,879
恒指波幅指數期貨	21	8
中華交易服務中國120指數期貨 ²	203	—
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	—
FTSE/JSE Top40期貨	0	0
股票期貨	18,409	19,516
股票期權	4,740,818	4,031,961
三個月港元利率期貨	1	—
一個月港元利率期貨	0	0
三年期外匯基金債券期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨 ¹	18,701	3,673
黃金期貨	0	0
總數	6,230,082	5,317,952

¹ 人民幣貨幣期貨—美元兌人民幣(香港)期貨由2012年9月17日開始買賣

² 中華交易服務中國120指數期貨由2013年8月12日開始買賣

結算及交收

各期權類別之未平倉合約

2013年第4季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	124,529	2.63
農業銀行	ABC/XAB	28,591	0.60
安徽海螺水泥股份	ACC	51,748	1.09
友邦保險	AIA	72,104	1.52
中國鋁業	ALC	13,278	0.28
華夏滬深三百	AMC	10,306	0.22
中國銀行	BCL/XBC	451,602	9.53
交通銀行	BCM	55,922	1.18
東亞銀行	BEA	11,704	0.25
百麗國際	BIH	20,099	0.42
中銀香港	BOC	55,621	1.17
比亞迪股份	BYD	6,579	0.14
建設銀行	CCB/XCC	448,371	9.46
中國交通建設	CCC	29,745	0.63
中國中煤能源	CCE	60,400	1.27
中國遠洋	CCS	16,509	0.35
中國移動	CHT	202,234	4.27
中國聯通	CHU	21,516	0.45
中信泰富	CIT	15,503	0.33
長江實業	CKH	21,213	0.45
中國人壽	CLI	158,722	3.35
中電控股	CLP	10,334	0.22
招商銀行	CMB	80,312	1.69
中國海洋石油	CNC	106,375	2.24
中國海外發展	COL	38,308	0.81
國泰航空	CPA	2,038	0.04
中國石油化工股份	CPC	99,605	2.10
中國太保	CPI	28,011	0.59
中國鐵建	CRC	22,058	0.47
中國中鐵	CRG	8,627	0.18
X標智滬深300	CS3	1,948	0.04
南方A50	CSA	30,249	0.64
中國神華	CSE	60,630	1.28
中信銀行	CTB	59,475	1.25
中國電信	CTC	29,885	0.63
思捷環球	ESP	18,025	0.38
富智康集團	FIH	4,323	0.09
銀河娛樂	GLX	121,204	2.56
長城汽車	GWM	14,024	0.30
電能實業	HEH	12,295	0.26
香港交易所	HEX	314,912	6.64
匯豐控股	HKB	208,999	4.41
香港中華煤氣	HKG	12,198	0.26

結算及交收

期權類別		未平倉合約	佔市場百分比
恒基地產	HLD	3,706	0.08
華能國際電力股份	HNP	10,169	0.21
恒生銀行	HSB	16,949	0.36
和記黃埔	HWL	47,279	1.00
工商銀行	ICB/XIC	375,756	7.93
江西銅業	JXC	17,885	0.38
聯想集團	LEN	18,581	0.39
利豐	LIF	50,951	1.07
美高梅中國	MGM	11,032	0.23
民生銀行	MSB	62,937	1.33
地鐵公司	MTR	7,938	0.17
中國建材	NBM	25,751	0.54
新世界發展	NWD	26,582	0.56
中國平安	PAI	128,347	2.71
中國石油股份	PEC	133,494	2.82
中國人民財產保險	PIC	18,805	0.40
中國人民保險集團	PIN	9,601	0.20
富力地產	RFP	7,560	0.16
金沙中國有限公司	SAN	198,431	4.19
新鴻基地產	SHK	22,390	0.47
渣打集團	STC	17,222	0.36
太古股份公司A	SWA	5,733	0.12
騰訊控股	TCH	291,844	6.16
盈富基金	TRF	10,908	0.23
九龍倉集團	WHL	8,664	0.18
兗州煤業股份	YZC	39,573	0.83
紫金礦業	ZJM	12,599	0.27
總數		4,740,818	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第4季	571 (996)	511 (928)	39 (47)	21 (21)
2013	第1季	572 (997)	509 (924)	42 (52)	21 (21)
	第2季	569 (987)	507 (918)	42 (49)	20 (20)
	第3季	566 (982)	506 (915)	40 (47)	20 (20)
	第4季	563 (978)	504 (912)	39 (46)	20 (20)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2012	第4季	234 (258)	185 (207)	—	49 (51)
2013	第1季	230 (254)	181 (203)	—	49 (51)
	第2季	233 (257)	180 (202)	—	53 (55)
	第3季	232 (256)	179 (201)	—	53 (55)
	第4季	232 (256)	179 (201)	—	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2012 第4季	158	9
第1季	154	8
2013 第2季	154	8
第3季	152	9
第4季	152	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2012 第4季	63	9
2013 第1季	63	9
第2季	62	9
第3季	62	9
第4季	62	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2012 第4季	493	9	46
2013 第1季	491	9	45
第2季	488	9	44
第3季	486	9	43
第4季	485	9	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券