



證券及衍生產品市場 季報

2015年第四季

市場概況

主板

概況	2015 年第 4 季	2014 年第 4 季
上市公司數目	1,644	1,548
上市證券數目	8,792	8,856
股份		
普通股	1,645	1,549
優先股	8	6
認股權證	4,602	4,948
股份	12	10
衍生	4,590	4,938
股票掛鈎票據	0	0
牛熊證	1,630	1,579
單位信託及互惠基金	145	134
債券	762	640
非上市可交易股份數目		
Nasdaq 股份	6	7
iShares	2	2
交易日數	63	62
總成交金額(百萬港元)*	4,489,395.39	4,970,894.65
總成交股數(百萬股)	13,163,614.65	9,522,466.16
總成交宗數(宗數)	69,922,588	71,011,185
上市公司市價總值(百萬港元)	24,425,554.96	24,892,419.04
平均市盈率(倍)	9.90	10.94
平均週息率(百分率)	3.46	3.44
交易所參與者數目		
開業	515	500
非開業	35	40
成交金額(百萬港元)		
最高	112,095.13 (04/11)	147,757.45 (05/12)
最低	37,862.23 (29/12)	50,172.64 (24/10)
成交股數(百萬股)		
最高	289,932.02 (07/10)	209,008.19 (09/12)
最低	116,738.93 (29/12)	96,730.04 (29/12)
總成交宗數(宗數)		
最高	1,625,567 (04/11)	1,791,690 (09/12)
最低	699,115 (28/12)	818,188 (23/10)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2015 年第 4 季	2014 年第 4 季
上市公司數目	222	204
上市證券數目	223	204
股份	222	204
認股權證	1	0
交易日數	63	62
總成交金額(百萬港元)*	39,890.49	34,223.56
總成交股數(百萬股)	136,365.95	77,002.94
總成交宗數(宗數)	1,213,644	888,648
上市公司市價總值(百萬港元)	258,175.74	179,409.87
平均市盈率(倍)	76.93	11.59
平均週息率(百分率)	0.30	0.65
成交金額(百萬港元)		
最高	1,312.73 (02/10)	810.40 (23/10)
最低	373.52 (15/12)	325.66 (15/12)
成交股數(百萬股)		
最高	5,456.13 (11/11)	2,580.80 (21/11)
最低	967.00 (29/12)	804.23 (03/10)
總成交宗數(宗數)		
最高	39,676 (12/10)	20,758 (23/10)
最低	9,477 (15/12)	9,087 (15/12)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2015年第4季	2014年第4季
所有期貨及期權產品(股票期權除外)		
交易日數	63.0	62.0
總合約成交量	25,213,371	19,930,509
股市指數產品	24,997,317	19,746,185
股票產品	116,277	122,185
利率產品	3	0
人民幣貨幣期貨	83,160	53,349
黃金期貨#	—	0
倫敦金屬期貨小型合約*	16,614	8,790
平均每日合約成交量	400,212	321,460
季末未平倉合約		
股市指數產品	2,439,302	1,640,259
股票產品	2,395,818	1,613,541
利率產品	20,088	14,916
利率產品	0	5
人民幣貨幣期貨	23,046	9,747
黃金期貨#	—	0
倫敦金屬期貨小型合約*	350	2,050

* 倫敦金屬期貨小型合約由2014年12月01日開始買賣

黃金期貨由2015年5月27日停止買賣

股票期權		
新開辦期權類別	2	3
股票期權數目(於季末數目)		
類別	84	80
系列	29,876	23,440
期權金總額(百萬港元)		
認購	9,781.44	15,471.60
認沽	4,413.07	8,287.38
認沽	5,368.37	7,184.22
平均每日期權金總額(百萬港元)	155.26	249.54
總合約成交量		
認購	15,195,091	23,238,909
認沽	7,713,258	12,540,059
認沽	7,481,833	10,698,850
平均每日合約成交量	241,192	374,821
季末未平倉合約		
認購	4,827,678	6,320,147
認沽	2,456,981	3,135,430
認沽	2,370,697	3,184,717
總成交宗數	689,909	892,086
平均每日成交宗數	10,951	14,388
已行使合約總數	2,091,602	2,397,501
每張合約平均期權金(港元)	643.72	665.76
每宗交易平均合約數目	22	26
認沽數量／認購數量	0.97	0.85
平均每日合約成交量／未平倉合約	5.0%	5.9%

所有期貨及期權產品		
總合約成交量	40,408,462	43,169,418
季末未平倉合約	7,266,980	7,960,406

市場概況

結算及交收

中央結算系統營運資料	2015年第4季	2014年第4季
經中央結算系統處理之聯交所交易(每日平均數)		
— 交易宗數	1,106,299	1,151,087
— 交易金額(十億港元)	70.7	80.1
— 涉及股數(十億股)	210.8	154.7
經中央結算系統處理的交收指示(每日平均數)		
— 交收指示數目	89,652	92,208
— 交收指示涉及金額(十億港元)	188.4	220.1
— 涉及股數(十億股)	47.7	58.2
經中央結算系統處理的投資者交收指示(每日平均數)		
— 交收指示數目	267	415
— 交收指示涉及金額(百萬港元)	101.5	249.4
— 涉及股數(百萬股)	61.5	651.4
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.92	99.88
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
— 涉及經紀數目	3	5
— 補購宗數	4	8
— 補購涉及金額(百萬港元)	2.2	14.3
存放於中央結算系統證券存管處的股份*		
— 股數(十億股)	5,467.2	4,450.1
— 獲納入系統的證券佔已發行股本總數的百分比	74.50	71.29
— 股份價值(十億港元)	15,071.2	14,911.9
— 獲納入系統的證券佔市價總值的百分比	56.58	54.09
衍生產品結算及交收系統營運資料		
季末未平倉合約		
— 股市指數期貨	573,542	421,177
— 股票期貨	20,088	14,916
— 黃金期貨 [^]	—	0
— 利率期貨	0	5
— 人民幣貨幣期貨	23,046	9,747
— 倫敦金屬期貨小型合約 [#]	350	2,050
— 股市指數期權	1,822,276	1,192,364
— 股票期權	4,827,678	6,320,147
累積行使股票期權		
— 涉及股數(百萬股)	2,555	3,583
— 涉及金額(十億港元)	41	51

* 股數及市價總值包括非港元證券

[^] 黃金期貨由2015年5月27日停止買賣

[#] 倫敦金屬期貨小型合約由2014年12月01日開始買賣

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2014	第 4 季	946,664.36	3,489,289.62	56,518,377
2015	第 1 季	774,786.99	3,405,759.83	56,467,243
	第 2 季	2,486,785.70	6,796,873.72	94,261,256
	第 3 季	1,241,453.02	4,136,147.76	73,808,111
	第 4 季	944,007.06	2,888,836.92	56,185,286

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2014	第 4 季	62	56,278.86	61,737
2015	第 1 季	61	55,832.13	60,314
	第 2 季	60	113,281.23	72,107
	第 3 季	63	65,653.14	56,039
	第 4 季	63	45,854.55	51,416

主 板 股 份

20種升幅最大之股份

2015年第4季

順次	股份代號	股份	收市價		升幅
			2015年12月底	2015年9月底	
1	00143	GLOBAL TECH	0.440	0.065	576.92
2	01831	十方控股	2.360	0.460	413.04
3	01143	中慧國際控股	9.950	1.990	400.00
4	00708	恒大健康	2.320	1.020	127.45
5	00632	東方明珠石油	0.415	0.190	118.42
6	00431	大中華金融	1.400	0.680	A 105.88
7	00943	意科控股	0.750	0.365	A 105.48
8	00399	領航醫藥生物科技	1.220	0.600	103.33
9	00439	光啟科學	3.230	1.590	103.14
10	00075	渝太地產	5.900	2.950	100.00
11	01025	物美商業	6.200	3.120	98.72
12	00970	耀萊集團	0.660	0.340	94.12
13	00365	日東科技	1.400	0.730	91.78
14	01616	銀仕來控股	3.050	1.610	89.44
15	00120	COSMOPOL INT'L	0.380	0.203	87.19
16	01812	晨鳴紙業	5.540	2.990	85.28
17	02322	仁瑞投資	3.630	1.960	85.20
18	01362	新龍移動	2.130	1.200	77.50
19	01094	中國公共採購	0.220	0.124	77.42
20	01317	楓葉教育	3.810	2.150	77.21

A 經調整

20種跌幅最大之股份

2015年第4季

順次	股份代號	股份	收市價		跌幅
			2015年12月底	2015年9月底	
1	00862	遠見控股	0.500	1.950	-74.36
2	00061	北亞資源	0.035	0.102	-65.69
3	00397	君陽金融	0.061	0.176	-65.34
4	00764	永恒策略	0.230	0.480	-52.08
5	00650	順昌集團	2.830	5.900	-52.03
6	00642	PALADIN PREF	0.650	1.350	-51.85
7	00692	中國家居	0.150	0.310	-51.61
8	02222	雷士照明	0.870	1.760	-50.57
9	00183	宏輝集團	0.200	0.395	-49.37
10	00776	捷豐家居	4.500	8.510	-47.12
11	00904	中國粗糧王飲品	0.440	0.830	A -46.99
12	03889	大成糖業	0.176	0.330	-46.67
13	00209	中國瀛晟娛樂	0.580	1.070	-45.79
14	02326	百靈達國際控股	0.650	1.160	-43.97
15	00990	榮暉國際	0.170	0.300	-43.33
16	01159	集美國際娛樂	3.830	6.600	-41.97
17	00381	僑雄國際	0.085	0.145	-41.38
18	00442	KTL INT'L	2.640	4.500	A -41.33
19	00627	佑威國際	0.435	0.740	-41.22
20	02010	瑞年國際	0.790	1.320	-40.15

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2015年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	149,703.75	5.18
2	00941	中國移動	86,774.64	3.00
3	02318	中國平安	85,820.20	2.97
4	00939	建設銀行	84,195.13	2.91
5	01398	工商銀行	70,209.76	2.43
6	00388	香港交易所	68,484.34	2.37
7	01299	友邦保險	66,388.35	2.30
8	03988	中國銀行	66,373.71	2.30
9	02628	中國人壽	58,883.34	2.04
10	00005	匯豐控股	58,776.99	2.03
11	00883	中國海洋石油	42,321.38	1.46
12	00688	中國海外發展	34,731.77	1.20
13	00857	中國石油股份	34,413.35	1.19
14	00001	長和	33,238.10	1.15
15	00762	中國聯通	31,206.38	1.08
16	00386	中國石油化工股份	30,369.19	1.05
17	00027	銀河娛樂	30,331.64	1.05
18	01928	金沙中國有限公司	29,662.70	1.03
19	03968	招商銀行	29,335.97	1.02
20	01288	農業銀行	26,197.26	0.91
總 額			1,117,417.96	38.68

20種成交最活躍股份(股數)

2015年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	00261	中建置地	69,342.74	7.35
2	01246	毅信控股	55,877.37	5.92
3	00397	君陽金融	25,968.61	2.75
4	00736	中國置業投資	21,441.11	2.27
5	01076	博華太平洋	20,187.81	2.14
6	03988	中國銀行	18,741.09	1.99
7	00939	建設銀行	15,345.26	1.63
8	01398	工商銀行	14,604.91	1.55
9	00364	坪山茶業	11,502.70	1.22
10	00981	中芯國際	10,910.65	1.16
11	01288	農業銀行	8,420.82	0.89
12	02324	首都創投	8,263.33	0.88
13	00299	新體育	7,946.18	0.84
14	00745	中國國家文化產業	7,916.42	0.84
15	02669	中海物業	6,361.75	0.67
16	01217	中國創新投資	6,311.93	0.67
17	00493	國美電器	6,174.14	0.65
18	00651	中海船舶	6,137.27	0.65
19	00857	中國石油股份	5,971.17	0.63
20	00386	中國石油化工股份	5,942.58	0.63
總 數			333,367.83	35.31

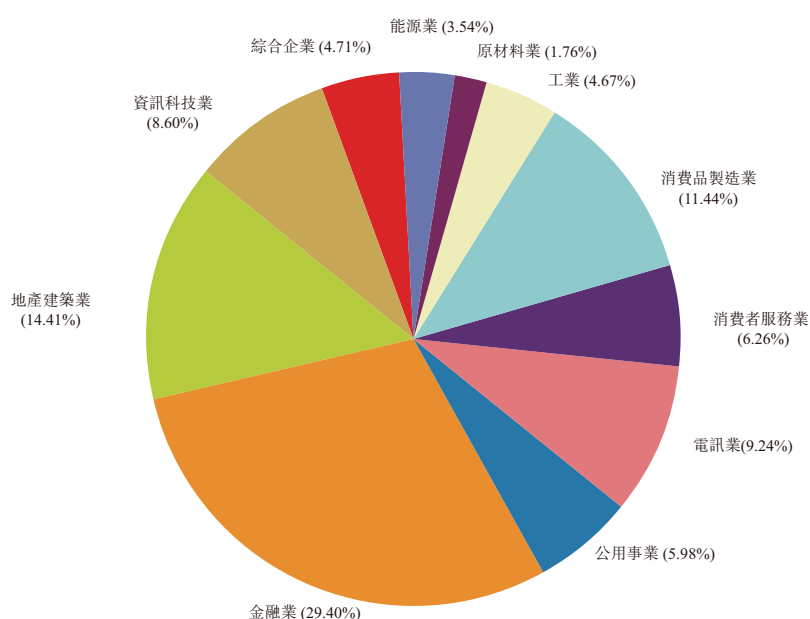
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2014	第 4 季	24,892,419.04
2015	第 1 季	26,534,266.95
	第 2 季	28,754,383.61
	第 3 季	22,965,987.58
	第 4 季	24,425,554.96

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2015年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00941	中國移動有限公司	1,791,604.75	7.33
2	00700	騰訊控股有限公司	1,433,965.36	5.87
3	00939	中國建設銀行股份有限公司－H股	1,276,615.97	5.23
4	00005	匯豐控股有限公司	1,218,480.05	4.99
5	01299	友邦保險控股有限公司	561,453.08	2.30
6	02378	英國保誠有限公司*	–	463,041.89 N.A.
7	01398	中國工商銀行股份有限公司－H股	406,196.13	1.66
8	00001	長江和記實業有限公司	403,722.37	1.65
9	00267	中國中信股份有限公司	399,118.40	1.63
10	00883	中國海洋石油有限公司	360,304.97	1.48
11	02318	中國平安保險(集團)股份有限公司－H股	320,245.81	1.31
12	03988	中國銀行股份有限公司－H股	289,333.08	1.18
13	00011	恒生銀行有限公司	281,996.80	1.15
14	00016	新鴻基地產發展有限公司	270,819.74	1.11
15	00688	中國海外發展有限公司	268,207.81	1.10
16	02388	中銀香港(控股)有限公司	250,574.89	1.03
17	00388	香港交易及結算所有限公司	239,894.46	0.98
18	00945	Manulife Financial Corporation *	–	233,651.06 N.A.
19	00762	中國聯合網絡通信(香港)股份有限公司	226,299.92	0.93
20	00066	香港鐵路有限公司	224,913.11	0.92
21	02888	渣打集團有限公司	214,385.84	0.88
22	01928	金沙中國有限公司	214,237.20	0.88
23	01113	長江實業地產有限公司	194,527.80	0.80
24	03328	交通銀行股份有限公司－H股	191,164.77	0.78
25	02628	中國人壽保險股份有限公司－H股	186,401.43	0.76
26	01038	長江基建集團有限公司	180,908.07	0.74
27	00003	香港中華煤氣有限公司	175,726.40	0.72
28	00002	中電控股有限公司	166,366.77	0.68
29	00566	漢能薄膜發電集團有限公司	163,231.16	0.67
30	00012	恒基兆業地產有限公司	157,069.79	0.64
31	01109	華潤置地有限公司	156,639.23	0.64
32	00006	電能實業有限公司	152,172.86	0.62
33	00805	Glencore plc *	–	135,286.74 N.A.
34	01972	太古地產有限公司	131,040.00	0.54
35	00004	九龍倉集團有限公司	130,334.18	0.53
36	00019	太古股份有限公司	126,378.25	0.52
37	00386	中國石油化工股份有限公司－H股	119,402.89	0.49
38	00857	中國石油天然氣股份有限公司－H股	107,182.41	0.44
39	00530	高銀金融(集團)有限公司	106,490.75	0.44
40	00656	復星國際有限公司	104,179.56	0.43
41	00027	銀河娛樂集團有限公司	104,137.69	0.43
42	01288	中國農業銀行股份有限公司－H股	97,442.07	0.40
43	03333	恒大地產集團有限公司	95,287.31	0.39
44	01044	恒安國際集團有限公司	89,163.69	0.37
45	02601	中國太平洋保險(集團)股份有限公司－H股	88,532.07	0.36
46	00992	聯想集團有限公司	87,425.11	0.36
47	00966	中國太平保險控股有限公司	86,256.44	0.35
48	03968	招商銀行股份有限公司－H股	84,013.49	0.34
49	00101	恒隆地產有限公司	79,330.18	0.32
50	02799	中國華融資產管理股份有限公司－H股	76,884.63	0.31
總值			14,090,058.76	57.69
市場總值			24,425,554.96	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2014	第4季	537,312.82	5,005,118.22	10.74
2015	第1季	502,684.62	5,272,006.50	9.53
	第2季	812,595.30	9,894,068.82	8.21
	第3季	673,434.03	6,395,260.35	10.53
	第4季	467,982.84	4,529,285.89	10.33

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2014	第4季	50,290.13	9,599,469.09	0.52
2015	第1季	42,342.54	11,464,887.20	0.37
	第2季	66,878.15	14,614,838.29	0.46
	第3季	63,796.36	14,314,202.23	0.45
	第4季	45,874.78	13,299,980.60	0.34

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2014	第4季	27	1	100,054.42
2015	第1季	16	3	19,653.73
	第2季	16	2	108,838.86
	第3季	19	7	26,086.55
	第4季	39	2	104,743.10

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2015年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
01970	IMAX China Holding, Inc.	2015/10/08	355,325,000	2,210.30
02199	維珍妮國際(控股)有限公司	2015/10/08	1,224,250,000	1,899.80
01527	浙江天潔環境科技股份有限公司—H股	2015/10/12	35,000,000	381.50
01476	恒泰証券股份有限公司—H股	2015/10/15	450,846,000	1,767.32
01533	蘭州莊園牧場股份有限公司—H股	2015/10/15	35,130,000	186.19
01532	中國派對文化控股有限公司	2015/10/16	756,234,000	193.73
02669	中海物業集團有限公司	2015/10/23	3,286,860,460	—
01508	中國再保險(集團)股份有限公司—H股	2015/10/26	6,679,416,700	16,394.93
02799	中國華融資產管理股份有限公司—H股	2015/10/30	25,043,852,918	19,696.70
01389	美捷滙控股有限公司*	2015/10/30	960,000,000	—
01495	集一家居國際控股有限公司	2015/11/06	360,000,000	115.20
03908	中國國際金融股份有限公司—H股	2015/11/09	1,520,177,369	7,228.03
01556	建業建榮控股有限公司	2015/11/11	1,500,000,000	225.68
01452	迪諾斯環保科技控股有限公司	2015/11/12	500,000,000	262.50
03799	達利食品集團有限公司	2015/11/20	13,694,117,500	8,894.12
00932	御藥堂集團控股有限公司*	2015/11/20	516,300,000	—
02120	溫州康寧醫院股份有限公司—H股	2015/11/20	20,240,000	783.29
01538	中奧到家集團有限公司	2015/11/25	800,000,000	421.12
06865	福萊特玻璃集團股份有限公司—H股	2015/11/26	450,000,000	945.00
00722	聯合醫務集團有限公司	2015/11/27	736,000,000	379.04
01561	萬輝化工控股有限公司	2015/12/01	600,000,000	150.00
01492	中國中地乳業控股有限公司	2015/12/02	2,174,078,000	471.12
03866	青島銀行股份有限公司—H股	2015/12/03	1,763,034,980	4,949.02
00416	錦州銀行股份有限公司—H股	2015/12/07	1,517,320,000	7,070.71
03996	中國能源建設股份有限公司—H股	2015/12/10	9,262,436,000	14,727.27
01341	焯陞企業控股有限公司	2015/12/10	1,000,000,000	120.00
00331	豐盛機電控股有限公司	2015/12/10	450,000,000	309.38
01447	新福港建設集團有限公司	2015/12/10	400,000,000	135.00
01979	天寶集團控股有限公司	2015/12/11	1,000,000,000	137.50
02289	創美藥業股份有限公司—H股	2015/12/14	28,000,000	240.80
03600	現代牙科集團有限公司	2015/12/15	1,000,000,000	1,050.00
01786	中國鐵建高新裝備股份有限公司—H股	2015/12/16	531,900,000	2,792.48
01662	義合控股有限公司	2015/12/18	500,000,000	125.00
01197	中國恒石基業有限公司	2015/12/21	1,000,000,000	537.50
06196	鄭州銀行股份有限公司—H股	2015/12/23	1,320,000,000	5,082.00
01543	廣東中盈盛達融資擔保投資股份有限公司—H股	2015/12/23	293,333,334	398.93
01568	承達集團有限公司	2015/12/29	2,000,000,000	690.00
01558	宜昌東陽光長江藥業股份有限公司—H股	2015/12/29	225,659,450	1,351.98
01548	金斯瑞生物科技股份有限公司	2015/12/30	1,600,000,000	524.00
03678	弘業期貨股份有限公司—H股	2015/12/30	249,700,000	606.77
01799	新特能源股份有限公司—H股	2015/12/30	292,698,830	1,289.20
總額				104,743.10

* 原為創業板上市公司,後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2015年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
02266	帝盛酒店集團有限公司	2015/10/05	2015/10/19
00350	經緯紡織機械股份有限公司－H股	2015/12/21	2015/12/29

主 板 股 份

公司名稱更改

2015 年第 4 季

股份代號	原有名稱	新名稱	生效日期	採用日期
01661	智美控股集團	智美體育集團	2015/08/28	2015/10/05
01663	漢港房地產集團有限公司	漢港控股集團有限公司	2015/08/24	2015/10/06
00726	東南國際集團有限公司	中民築友科技集團有限公司	2015/09/10	2015/10/06
00139	中國金海國際集團有限公司	中國軟實力科技集團有限公司	2015/09/14	2015/10/08
00399	聯合基因科技集團有限公司	領航醫藥及生物科技有限公司	2015/09/09	2015/10/08
02213	益華百貨控股有限公司	益華控股有限公司	2015/08/31	2015/10/20
01003	21 控股有限公司	歡喜傳媒集團有限公司	2015/09/22	2015/10/22
00412	漢基控股有限公司	中國新金融集團有限公司	2015/09/24	2015/10/22
00291	華潤創業有限公司	華潤啤酒(控股)有限公司	2015/10/16	2015/10/23
00282	壹傳媒有限公司*	壹傳媒有限公司	2015/10/20	2015/10/29
00299	中訊軟件集團股份有限公司	新體育集團有限公司	2015/09/18	2015/11/02
01282	世達科技(控股)有限公司	中國金洋集團有限公司	2015/10/08	2015/11/05
00030	佳訊(控股)有限公司	萬隆控股集團有限公司	2015/10/27	2015/11/12
00201	華大地產投資有限公司	華大酒店投資有限公司	2015/11/11	2015/11/25
00993	天行國際(控股)有限公司	華融國際金融控股有限公司	2015/10/05	2015/11/27
00136	馬斯葛集團有限公司	恒騰網絡集團有限公司	2015/10/26	2015/12/02
01811	中國廣核美亞電力控股有限公司	中國廣核新能源控股有限公司	2015/11/09	2015/12/07
00221	PNG 資源控股有限公司	易易壹金融集團有限公司	2015/11/13	2015/12/07
00094	綠森集團有限公司#	綠心集團有限公司	2015/11/18	2015/12/11
00810	華保亞洲發展有限公司	中國互聯網投資金融集團有限公司	2015/11/16	2015/12/11
00431	大中華實業控股有限公司	大中華金融控股有限公司	2015/11/19	2015/12/14
01182	中青基業集團有限公司	勝龍國際控股有限公司	2015/10/30	2015/12/16
01863	思嘉集團有限公司	中國龍天集團有限公司	2015/11/10	2015/12/16
00380	中國管業集團有限公司	冠力國際有限公司	2015/11/17	2015/12/17
01166	蒙古礦業控股有限公司#	星凱控股有限公司	2015/12/03	2015/12/21
00245	中國七星控股有限公司	中國民生金融控股有限公司	2015/12/10	2015/12/22
01096	動感集團控股有限公司	中能國際控股集團有限公司	2015/11/30	2015/12/23
00559	國藏集團有限公司	德泰新能源集團有限公司	2015/11/25	2015/12/23
00905	慧德投資有限公司	環球大通投資有限公司	2015/12/02	2015/12/29

*祇改英文名稱

#祇改中文名稱

主 板 股 份

紅股／紅利認股權證

2015年第4季

股份代號	公 司	詳 情	除 淨 日
02319	中國蒙牛乳業有限公司	1送1	2015/10/13
02342	京信通信系統控股有限公司	10送1	2015/10/15
00211	大凌集團有限公司	10股送2份認股權證	2015/10/16
01819	富貴鳥股份有限公司－H股	1送1.5	2015/10/22
03993	洛陽樂川鋁業集團股份有限公司－H股	10送20	2015/11/03
00091	標準資源控股有限公司	5股送1份認股權證	2015/11/12
01177	中國生物製藥有限公司	2送1	2015/11/13
01271	佳明集團控股有限公司	10送1	2015/12/02
02324	首都創投有限公司	1送1	2015/12/15
01566	華夏動漫形象有限公司	1送1	2015/12/18
00039	中國北大荒產業集團控股有限公司	1送1	2015/12/29
01020	華耐控股有限公司	5股送1份認股權證	2015/12/30

主 板 股 份

股份拆細及合併

2015年第4季

股份代號	公司	詳情	生效日期
03628	仁恒實業控股有限公司	1股分拆為4股	2015/10/02
00616	永義實業集團有限公司	10股合併為1股	2015/10/08
02098	卓爾發展集團有限公司	1股分拆為3股	2015/10/19
00442	KTL International Holdings Group Ltd.	1股分拆為2股	2015/10/23
00136	恒騰網絡集團有限公司	2股合併為1股	2015/10/27
01106	中國海景控股有限公司	1股分拆為10股	2015/10/27
00269	中國資源交通集團有限公司	20股合併為1股	2015/11/05
00326	中國星集團有限公司	25股合併為1股	2015/11/09
00205	財訊傳媒集團有限公司	2股合併為1股	2015/11/16
00265	東勝中國控股有限公司	1股分拆為5股	2015/11/17
00904	中國粗糧王飲品控股有限公司	5股合併為1股	2015/11/25
06878	鼎豐集團控股有限公司	1股分拆為4股	2015/12/01
00943	意科控股有限公司	4股合併為1股	2015/12/07
01226	中國投融资集團有限公司	5股合併為1股	2015/12/14
00149	中國農產品交易有限公司	5股合併為1股	2015/12/22
03822	三和建築集團有限公司	1股分拆為4股	2015/12/23
00431	大中華金融控股有限公司	1股分拆為5股	2015/12/28
00197	亨泰消費品集團有限公司	10股合併為1股	2015/12/30

主 板 股 份

供股／公開售股

2015 年第 4 季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10 月	00091	標準資源控股有限公司	公開售股 4 供 1，每股供 0.168 港元	191.28
	01355	枋濬國際集團控股有限公司	公開售股 4 供 1，每股供 0.80 港元	62.96
	00801	金衛醫療集團有限公司	公開售股 2 供 1，每股供 1.00 港元	985.70
	00656	復星國際有限公司	股權 500 供 56，每股供 13.42 港元	11,637.59
	01195	京維集團有限公司	公開售股 9 供 1，每股供 0.16 港元	46.15
	00616	永義實業集團有限公司	股權 1 供 20，每股供 0.48 港元	510.45
			(10 股合併為 1 股後)	
11 月	00705	鼎和礦業控股有限公司	公開售股 2 供 1，每股供 0.10 港元	183.65
	00352	富陽(中國)控股有限公司	股權 5 供 1，每股供 0.85 港元	34.51
	00601	權智(國際)有限公司	公開售股 1 供 1，每股供 0.20 港元	287.44
	00431	大中華金融控股有限公司	股權 2 供 1，每股供 2.20 港元	474.37
	00521	海航國際投資集團有限公司	股權 5 供 9，每股供 0.376 港元	2,755.54
	00108	國銳地產有限公司	股權 2 供 1，每股供 1.30 港元	343.13
12 月	00269	中國資源交通集團有限公司	股權 1 供 4，每股供 0.20 港元	1,080.38
			(20 股合併為 1 股後)	
	01717	澳優乳業股份有限公司	公開售股 10 供 1，每股供 2.20 港元	249.55
	00620	太元集團有限公司	公開售股 5 供 2，每股供 3.10 港元	420.39
	01237	美麗家園控股有限公司	公開售股 2 供 1，每股供 0.20 港元	171.59
	02888	渣打集團有限公司	股權 7 供 2，每股供 55.60 港元	40,500.84
	01225	隆成集團(控股)有限公司	公開售股 1 供 3，每股供 0.15 港元	431.85
	00205	財訊傳媒集團有限公司	公開售股 1 供 5，每股供 0.10 港元	531.13
	00943	意科控股有限公司	公開售股 1 供 4，每股供 0.35 港元	336.65
			(4 股合併為 1 股後)	
總額				61,235.15

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2015					
10月	00090	琥珀能源	43,600,000	0.9700	42.29
	00030	萬隆控股集團	30,000,000	0.1970	5.91
	01194	中國貴金屬	917,200,000	0.2500	229.30
	00572	中國富佑集團	700,000,000	0.1350	94.50
	00204	中國投資開發	570,000,000	0.1480	84.36
	00471	中播控股	60,332,830	0.1200	7.24
	01019	康宏金融	11,988,300,000	0.3500	4,195.91
	00727	皇冠環球集團	260,000,000	1.3000	338.00
	01363	中滔環保	200,000,000	2.5750	515.00
	01037	DAIWA ASSOCIATE	225,000,000	1.1440	257.40
	06878	鼎豐集團控股	42,000,000	3.7000	155.40
	00524	E-KONG GROUP	104,200,000	0.5200	54.18
	01102	環能國際	1,048,000,000	0.2000	209.60
	01269	首控集團	76,800,000	2.0000	153.60
	00809	大成生化科技	3,135,509,196	0.2300	721.17
	00136	恒騰網絡	110,229,738,846	0.0061	672.40
	00397	君陽金融	12,031,350,000	0.1130	1,359.54
	01555	MI能源	276,300,000	0.9000	248.67
	00276	蒙古能源	14,449,760	0.2500	3.61
	02322	仁瑞投資	81,000,000	1.7000	137.70
	00265	東勝中國	372,466,100	0.7400	275.62
	01663	漢港控股	32,000,000	1.5600	49.92
	00981	中芯國際	961,849,809	0.6593	634.15
	02188	泰坦能源技術	84,096,000	1.1900	100.07
	00620	UDL HOLDINGS	55,023,081	2.5000	137.56
	00332	元亨燃氣	300,000,000	0.6000	180.00
	00332	元亨燃氣	80,833,333	0.6000	48.50
	00475	中發展控股	24,000,000	2.1000	50.40
11月	00630	雋泰控股	874,100,000	0.2300	201.04
	00899	亞洲資源	1,330,000,000	0.3600	478.80
	00718	百威國際	250,180,000	0.6600	165.12
	00963	華熙生物科技	16,145,834	12.0000	193.75
	00024	寶威控股	100,000,000	0.3250	32.50
	00721	中國金融國際	3,900,000,000	0.2000	780.00
	00871	中國疏浚環保	97,340,000	1.1300	109.99
	00871	中國疏浚環保	108,004,000	1.1500	124.20
	00009	長和國際實業	175,500,000	1.1400	200.07
	00736	中國置業投資	809,000,000	0.1900	153.71
	01322	創達科技控股	93,781,682	3.6300	340.43
	00729	五龍電動車	1,000,000,000	0.5000	500.00
	01175	福記食品服務	107,260,000	0.9100	97.61
	02280	慧聰網	74,540,000	3.8200	284.74
	02882	香港資源控股	300,000,000	0.1230	36.90
	00902	華能國際電力股份	780,000,000	7.3200	5,709.60
	01886	匯源果汁	14,117,705	3.2800	46.31
	00106	朗詩綠色地產	327,002,604	0.6529	213.50
	00095	綠景中國地產	800,000,000	2.2000	1,760.00

主 板 股 份

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
	00299	新體育	670,000,000	0.2360	158.12
	00885	仁天科技控股	900,000,000	0.3200	288.00
	00376	瑞東集團	1,942,520,000	2.0000	3,885.04
	00724	泰豐國際	722,500,000	0.0470	33.96
	01166	星凱控股	272,120,000	0.1700	46.26
	00223	神州資源	30,000,000	0.1690	5.07
	02012	陽光油砂	36,912,000	0.6300	23.25
	02012	陽光油砂	78,125,000	0.6400	50.00
	01143	中慧國際控股	79,700,000	2.4400	194.47
	00570	中國中藥	197,749,762	4.2120	832.92
	00539	冠華國際控股	100,000,000	1.0000	100.00
	00968	信義光能	168,800,000	3.2200	543.54
	00475	中發展控股	3,000,000	2.1000	6.30
	02379	中天國際	47,700,000	1.0800	51.52
12月	00833	華訊	69,172,000	1.8800	130.04
	00959	奧瑪仕國際	24,000,000	0.6400	15.36
	00519	實力建業	347,930,000	0.3200	111.34
	01963	重慶銀行	421,827,300	7.6500	3,226.98
	01768	BRACELL	1,000,000	0.8100	0.81
	00721	中國金融國際	1,800,000,000	0.2000	360.00
	04606	CCB 15USDPREF	152,500,000	156.0000	23,790.00
	02229	長港敦信	198,560,000	0.5500	109.21
	00572	中國富佑集團	900,000,000	0.1350	121.50
	00340	中國礦業	4,000,000,000	0.1000	400.00
	00866	中國秦發	200,000,000	0.2490	49.80
	02330	中國上城	188,288,000	0.3290	61.95
	01332	中國光電控股	575,063,972	0.1600	92.01
	00479	華建控股	252,000,000	0.1000	25.20
	02039	中集集團	286,096,100	13.4800	3,856.58
	00471	中播控股	757,499,997	0.1200	90.90
	00245	中國民生金融	26,316,000,000	0.1900	5,000.04
	00383	中國網絡資本	2,000,000,000	0.4500	900.00
	01145	勇利航業	21,176,000	4.0000	84.70
	00547	數字王國	560,000,000	0.4250	238.00
	01269	首控集團	92,160,000	2.0000	184.32
	00607	豐盛控股	448,717,500	1.5600	700.00
	02882	香港資源控股	31,899,993	0.1230	3.92
	02028	映美控股	18,000,000	1.6000	28.80
	00496	卡森國際	348,696,896	0.6000	209.22
	02488	元征科技	27,360,000	9.5700	261.84
	03380	龍光地產	557,554,000	2.7800	1,550.00
	01555	MI能源	55,718,000	0.9500	52.93
	00276	蒙古能源	33,298,239	0.2030	6.76
	01227	國盛投資	52,085,920	0.4090	21.30
	00456	新城市建設發展	136,060,042	0.2470	33.61
	02178	百勤油服	93,480,000	0.7900	73.85
	01348	滙達富控股	40,500,000	3.8800	157.14
	01622	力高地產	175,804,661	4.4300	778.81
	01831	十方控股	167,000,000	0.7000	116.90
	01207	上置集團	14,900,000,000	0.1000	1,490.00
	01201	天臣控股	200,000,000	0.8000	160.00

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	00648	中 國 華 仁 醫 療	435,424,554	0.1120	48.77
	06869	長 飛 光 纖 光 纜	11,869,000	7.1500	84.86
總 額					73,236.17

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	總額 (百萬港元)
2014	第4季	1,124.93	601,944.06	603,068.99
2015	第1季	1,242.98	897,585.47	898,828.45
	第2季	1,727.22	1,721,585.57	1,723,312.78
	第3季	723.63	1,090,657.45	1,091,381.08
	第4季	242.28	794,250.41	794,492.69

認股權證市價總值

		數目	股份認股權證 (百萬港元)	數目	衍生權證 (百萬港元)	總數	總額 (百萬港元)
2014	第4季	10	3,031.11	4,938	146,741.31	4,948	149,772.41
2015	第1季	12	2,484.83	4,806	148,902.89	4,818	151,387.72
	第2季	14	4,383.11	5,680	197,036.57	5,694	201,419.68
	第3季	13	2,472.60	5,633	84,057.90	5,646	86,530.49
	第4季	12	1,836.69	4,590	60,433.79	4,602	62,270.48

新上市統計—認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百萬港元)
2014	第4季	3	1,986	48,705.91
2015	第1季	2	1,498	40,081.11
	第2季	2	2,124	68,662.15
	第3季	1	1,552	46,018.14
	第4季	2	1,162	27,608.36

新上市股份認股權證

2015年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01535	大凌集團有限公司2016年認股權證	85,712,528	2015/11/06	2016/11/04	—
01425	標準資源控股有限公司2016年認股權證	103,615,845	2015/12/01	2016/11/30	—
總額					—

主 板 認 股 權 證

新上市衍生權證

2015 年 第 4 季

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12208	BNP Paribas Arbit Issu B.V. – BOCL European Wts May 2016 A	60,000,000	2015/10/02	2016/05/04	17.40
12207	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2016 A	30,000,000	2015/10/02	2016/04/05	17.10
12197	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2016 A	30,000,000	2015/10/02	2016/07/05	21.00
12202	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Jul 2016 A	50,000,000	2015/10/02	2016/07/05	23.00
12203	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Apr 2016 A	60,000,000	2015/10/02	2016/04/05	15.00
12206	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2016 A	50,000,000	2015/10/02	2016/05/04	12.50
12200	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Apr 2016 A	40,000,000	2015/10/02	2016/04/05	12.80
12205	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Apr 2016 A	60,000,000	2015/10/02	2016/04/05	17.40
12195	Credit Suisse AG – HSBC European Wts Apr 2016 B	80,000,000	2015/10/02	2016/04/01	20.00
12196	Credit Suisse AG – ICBC European Warrants Jul 2016 A	80,000,000	2015/10/02	2016/07/29	28.80
12231	J P Morgan SP BV – CNOOC European Warrants Jul 2018 A	200,000,000	2015/10/02	2018/07/31	31.40
12233	J P Morgan SP BV – Galaxy Ent European Put Wts Jun 2016 B	100,000,000	2015/10/02	2016/06/01	25.10
12235	J P Morgan SP BV – HS H-SHARE European Warrants Sep 2018 A	200,000,000	2015/10/02	2018/09/27	31.20
12234	J P Morgan SP BV – HSI European Warrants Apr 2016 D	300,000,000	2015/10/02	2016/04/28	75.00
12224	J P Morgan SP BV – PetroChina European Warrants May 2016 A	100,000,000	2015/10/02	2016/05/05	43.20
12230	J P Morgan SP BV – Stanchart European Warrants Apr 2016 A	40,000,000	2015/10/02	2016/04/05	11.64
12222	Macquarie Bank Ltd. – Tencent European Warrants Jul 2016 D	65,000,000	2015/10/02	2016/07/05	10.01
12182	Standard Chartered Bank – A50 China Euro Warrants Jan 2017 A	80,000,000	2015/10/02	2017/01/06	12.00
12186	Standard Chartered Bank – HKEx European Warrants Dec 2016 A	100,000,000	2015/10/02	2016/12/19	25.00
12183	Standard Chartered Bank – HSBC European Warrants Jan 2016 C	80,000,000	2015/10/02	2016/01/27	12.00
12188	Standard Chartered Bank – Ping An European Wts Jun 2016 A	100,000,000	2015/10/02	2016/06/27	25.00
12187	Standard Chartered Bank – Ping An Euro Put Wts Feb 2016 C	80,000,000	2015/10/02	2016/02/26	12.00
12189	Standard Chartered Bank – Tencent Hldg Eu Put Wts Dec 2016 B	80,000,000	2015/10/02	2016/12/21	15.12
12210	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt May16 A	26,000,000	2015/10/02	2016/05/05	10.53
12213	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2016 A	40,000,000	2015/10/02	2016/04/06	34.80
12215	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2016 A	40,000,000	2015/10/02	2016/06/03	27.20
12219	SGA Societe Generale Acceptance N.V. – CRRC Euro Wts Jun16 A	60,000,000	2015/10/02	2016/06/03	15.30
12221	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Jun 2016 A	12,000,000	2015/10/02	2016/06/03	10.32
12191	UBS AG – BOCL European Warrants Jul 2016 A	60,000,000	2015/10/02	2016/07/22	13.20
12190	UBS AG – China Life European Warrants Feb 2016 A	100,000,000	2015/10/02	2016/02/24	23.50
12193	UBS AG – Galaxy Ent European Warrants Apr 2016 A	70,000,000	2015/10/02	2016/04/08	18.90
12192	UBS AG – ICBC European Warrants Mar 2016 A	60,000,000	2015/10/02	2016/03/02	19.80
12238	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Apr 2016 A	60,000,000	2015/10/05	2016/04/05	15.60
12239	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Apr 2016 B	30,000,000	2015/10/05	2016/04/05	11.10
12240	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2016 B	30,000,000	2015/10/05	2016/04/05	14.70
12241	Credit Suisse AG – HSI European Warrants Mar 2016 D	150,000,000	2015/10/05	2016/03/30	22.50
12243	Credit Suisse AG – HSI European Put Warrants Mar 2016 E	150,000,000	2015/10/05	2016/03/30	30.00
12247	Goldman Sachs SP (Asia) – Fosun Intl European Wts May 2016 A	50,000,000	2015/10/05	2016/05/27	13.45
12245	J P Morgan SP BV – Agricultural Bk Euro Warrants May 2016 A	100,000,000	2015/10/05	2016/05/04	25.20
12246	J P Morgan SP BV – Sands China European Put Wts May 2016 B	100,000,000	2015/10/05	2016/05/04	25.00
12244	Macquarie Bank Ltd. – PetroChina European Wts Apr 2016 B	22,000,000	2015/10/05	2016/04/05	10.01
12237	SGA Societe Generale Acceptance N.V. – CKP Euro Wts May16 A	50,000,000	2015/10/05	2016/05/05	32.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12254	Credit Suisse AG – Agricultural Bank European Wts Mar 2016 A	70,000,000	2015/10/06	2016/03/03	17.50
12255	Credit Suisse AG – BOCL European Warrants Jul 2016 A	70,000,000	2015/10/06	2016/07/29	17.50
12259	Credit Suisse AG – CNOOC European Warrants Apr 2016 A	70,000,000	2015/10/06	2016/04/05	51.10
12262	Credit Suisse AG – Galaxy Ent European Warrants Apr 2016 A	70,000,000	2015/10/06	2016/04/05	17.50
12263	Credit Suisse AG – Galaxy Ent European Put Wts Apr 2016 B	60,000,000	2015/10/06	2016/04/05	15.00
12251	J P Morgan SP BV – China Taiping European Wts Jun 2016 A	80,000,000	2015/10/06	2016/06/02	29.52
12264	J P Morgan SP BV – Esprit European Wts Oct 2017 A	60,000,000	2015/10/06	2017/10/03	10.14
12249	J P Morgan SP BV – PICC Group European Warrants May 2016 A	60,000,000	2015/10/06	2016/05/04	27.12
12296	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts May 2016 A	60,000,000	2015/10/07	2016/05/04	23.40
12298	BNP Paribas Arbit Issu B.V. – CM Bank Euro Warrants May 2016 A	60,000,000	2015/10/07	2016/05/04	15.00
12309	BNP Paribas Arbit Issu B.V. – CRRC European Wts Jul 2016 A	60,000,000	2015/10/07	2016/07/05	15.00
12299	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Jun 2016 A	60,000,000	2015/10/07	2016/06/02	18.60
12297	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2016 A	60,000,000	2015/10/07	2016/05/04	22.80
12287	Credit Suisse AG – AIA European Warrants Apr 2016 A	80,000,000	2015/10/07	2016/04/29	27.20
12283	Credit Suisse AG – CC Bank European Warrants Apr 2016 A	80,000,000	2015/10/07	2016/04/29	28.80
12289	Credit Suisse AG – China Cinda European Warrants Apr 2016 B	70,000,000	2015/10/07	2016/04/29	17.50
12284	Credit Suisse AG – HSBC European Wts Apr 2016 C	80,000,000	2015/10/07	2016/04/11	24.80
12268	Credit Suisse AG – HSI European Warrants Mar 2016 F	150,000,000	2015/10/07	2016/03/30	27.00
12271	Credit Suisse AG – HSI European Warrants Mar 2016 G	150,000,000	2015/10/07	2016/03/30	33.00
12294	Credit Suisse AG – HSI European Put Warrants Apr 2016 A	150,000,000	2015/10/07	2016/04/28	37.50
12292	Credit Suisse AG – Sands China European Warrants Apr 2016 A	70,000,000	2015/10/07	2016/04/29	22.40
12286	Credit Suisse AG – Stanchart European Warrants Apr 2016 B	50,000,000	2015/10/07	2016/04/29	20.00
12265	Bank of East Asia – CNOOC European Warrants Aug 2018 A	60,000,000	2015/10/07	2018/08/08	10.20
12295	Bank of East Asia – CRRC European Warrants Jul 2016 A	40,000,000	2015/10/07	2016/07/06	10.00
12266	Bank of East Asia – New World Dev European Wts Apr 2018 A	40,000,000	2015/10/07	2018/04/04	10.00
12417	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Sep 2016 A	60,000,000	2015/10/07	2016/09/30	15.00
12386	Goldman Sachs SP (Asia) – CKP European Warrants Jun 2016 A	60,000,000	2015/10/07	2016/06/03	37.68
12352	Goldman Sachs SP (Asia) – HSCEI European Warrants Apr 2016 B	100,000,000	2015/10/07	2016/04/28	25.10
12355	Goldman Sachs SP (Asia) – HSCEI European Put Wts Dec 2016 A	100,000,000	2015/10/07	2016/12/29	25.00
12384	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2016 E	100,000,000	2015/10/07	2016/04/28	25.30
12419	J P Morgan SP BV – CC Bank European Wts Jul 2016 A	100,000,000	2015/10/07	2016/07/29	31.80
12274	J P Morgan SP BV – Chi Res Land European Warrants Jul 2016 A	100,000,000	2015/10/07	2016/07/05	27.00
12272	J P Morgan SP BV – China Shenhua European Wts Dec 2016 A	100,000,000	2015/10/07	2016/12/30	18.00
12421	J P Morgan SP BV – Galaxy Ent European Warrants Mar 2016 C	100,000,000	2015/10/07	2016/03/31	17.20
12277	J P Morgan SP BV – HSCEI European Wts May 2016 B	200,000,000	2015/10/07	2016/05/30	50.00
12275	J P Morgan SP BV – HSI European Warrants Apr 2016 E	300,000,000	2015/10/07	2016/04/28	45.00
12276	J P Morgan SP BV – HSI European Warrants Jun 2016 B	300,000,000	2015/10/07	2016/06/29	45.30
12279	J P Morgan SP BV – Tracker Fund European Warrants Dec 2017 A	100,000,000	2015/10/07	2017/12/29	25.20
12278	Standard Chartered Bank – HSI European Put Wts Apr 2016 A	100,000,000	2015/10/07	2016/04/28	25.00
12267	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Jul16 A	10,000,000	2015/10/07	2016/07/06	10.10
12456	BOCI Asia Ltd. – CNOOC European Warrants Jun 2016 A	100,000,000	2015/10/08	2016/06/27	53.00
12446	BOCI Asia Ltd. – Galaxy Ent European Warrants Jun 2016 A	100,000,000	2015/10/08	2016/06/06	25.00
12453	BOCI Asia Ltd. – HKEx European Warrants Jun 2016 D	100,000,000	2015/10/08	2016/06/02	19.00
12436	BOCI Asia Ltd. – HSBC European Warrants May 2016 B	100,000,000	2015/10/08	2016/05/03	30.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
12475	BOCI Asia Ltd. – Sands China European Warrants May 2016 B	100,000,000	2015/10/08	2016/05/30	29.00
12692	Goldman Sachs SP (Asia) – Galaxy Ent European Wts May 2016 A	80,000,000	2015/10/08	2016/05/31	27.52
12688	J P Morgan SP BV – Wynn Macau European Warrants Jul 2016 A	80,000,000	2015/10/08	2016/07/04	12.08
12661	Standard Chartered Bank – BOCL European Warrants May 2016 A	80,000,000	2015/10/08	2016/05/16	16.00
12677	Standard Chartered Bank – BYD European Warrants Feb 2016 A	60,000,000	2015/10/08	2016/02/05	42.00
12577	Standard Chartered Bank – CC Bank European Wts Apr 2016 A	80,000,000	2015/10/08	2016/04/07	14.80
12662	Standard Chartered Bank – CNOOC European Warrants Jul 2016 A	60,000,000	2015/10/08	2016/07/29	48.00
12647	Standard Chartered Bank – ICBC European Warrants Apr 2016 A	80,000,000	2015/10/08	2016/04/12	20.00
12685	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2018 A	300,000,000	2015/10/08	2018/08/03	45.00
12687	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Oct18 A	300,000,000	2015/10/08	2018/10/04	48.00
10364	SGA Societe Generale Acceptance NV – Nikkei 225 Eu Wt Jun16 C	150,000,000	2015/10/08	2016/06/10	23.25
12552	UBS AG – CITIC Bank European Warrants Apr 2016 A	40,000,000	2015/10/08	2016/04/07	18.00
12494	UBS AG – CNOOC European Warrants Apr 2016 A	50,000,000	2015/10/08	2016/04/07	39.00
12568	UBS AG – Geely Auto European Warrants Apr 2016 A	40,000,000	2015/10/08	2016/04/07	22.00
12508	UBS AG – HSI European Warrants Apr 2016 A	300,000,000	2015/10/08	2016/04/28	75.00
12511	UBS AG – Kingsoft European Warrants Apr 2016 A	100,000,000	2015/10/08	2016/04/11	31.00
12488	UBS AG – PetroChina European Warrants Apr 2016 A	50,000,000	2015/10/08	2016/04/07	20.50
12502	UBS AG – Sands China European Warrants Apr 2016 A	70,000,000	2015/10/08	2016/04/07	19.60
12911	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2016 B	60,000,000	2015/10/09	2016/04/28	49.32
12907	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2016 C	100,000,000	2015/10/09	2016/04/26	21.00
12893	J P Morgan SP BV – Ch Overseas European Warrants Jul 2016 B	100,000,000	2015/10/09	2016/07/04	25.30
12880	J P Morgan SP BV – Sands China European Warrants May 2016 C	100,000,000	2015/10/09	2016/05/03	25.10
12744	Macquarie Bank Ltd. – Brilliance Chi Euro Wts Feb 2017 A	40,000,000	2015/10/09	2017/02/02	10.00
12736	Macquarie Bank Ltd. – Sinopec Corp European Wts May 2016 A	20,000,000	2015/10/09	2016/05/04	10.00
12816	Macquarie Bank Ltd. – Geely Auto European Wts Aug 2016 A	20,000,000	2015/10/09	2016/08/02	10.18
12879	Macquarie Bank Ltd. – R&F Ppt European Warrants May 2017 A	40,000,000	2015/10/09	2017/05/04	10.00
12806	Macquarie Bank Ltd. – Greatwall Motor European Wts Dec 2016 A	40,000,000	2015/10/09	2016/12/02	10.00
12833	Macquarie Bank Ltd. – MGM China European Warrants Jul 2017 A	40,000,000	2015/10/09	2017/07/03	10.00
12823	Macquarie Bank Ltd. – Poly HK Inv European Wts May 2016 A	24,000,000	2015/10/09	2016/05/04	10.10
12695	Standard Chartered Bank – PetroChina European Wts Jun 2016 A	60,000,000	2015/10/09	2016/06/30	21.60
14544	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Mar 2016 B	50,000,000	2015/10/12	2016/03/02	11.50
14428	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts May 2016 A	60,000,000	2015/10/12	2016/05/04	18.00
14279	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2016 A	60,000,000	2015/10/12	2016/12/02	15.60
13983	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2016 A	50,000,000	2015/10/12	2016/04/05	10.50
14630	Credit Suisse AG – HSI European Warrants Mar 2016 H	150,000,000	2015/10/12	2016/03/30	22.50
14760	Goldman Sachs SP (Asia) – HSCEI European Warrants Jul 2016 A	100,000,000	2015/10/12	2016/07/28	25.50
13045	HK Bank – BOCL European Warrants Aug 2016 A	80,000,000	2015/10/12	2016/08/31	24.00
13076	HK Bank – China Life European Warrants Jun 2016 A	100,000,000	2015/10/12	2016/06/30	25.00
12974	HK Bank – HSCEI European Put Warrants Apr 2016 B	180,000,000	2015/10/12	2016/04/28	45.00
12978	HK Bank – HSI European Put Warrants Mar 2016 I	180,000,000	2015/10/12	2016/03/30	27.00
13035	HK Bank – Ping An European Warrants Apr 2016 A	60,000,000	2015/10/12	2016/04/29	24.00
13258	HK Bank – Sands China European Warrants Aug 2016 A	80,000,000	2015/10/12	2016/08/31	20.00
13982	Macquarie Bank Ltd. – CITIC Bank European Wts May 2016 A	22,000,000	2015/10/12	2016/05/04	10.01
13754	UBS AG – AIA European Warrants May 2016 A	100,000,000	2015/10/12	2016/05/30	23.00

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13530	UBS AG – CC Bank European Warrants Mar 2016 B	100,000,000	2015/10/12	2016/03/22	27.50
13560	UBS AG – CC Bank European Warrants Mar 2016 C	100,000,000	2015/10/12	2016/03/31	18.00
13495	UBS AG – China Life European Warrants Mar 2016 A	100,000,000	2015/10/12	2016/03/24	27.50
13378	UBS AG – Galaxy Ent European Warrants May 2016 A	70,000,000	2015/10/12	2016/05/03	12.60
13494	UBS AG – HKEx European Warrants Nov 2016 A	300,000,000	2015/10/12	2016/11/28	75.00
13981	UBS AG – HSI European Put Warrants Mar 2016 L	300,000,000	2015/10/12	2016/03/30	66.00
13417	UBS AG – Sands China European Warrants Mar 2016 C	70,000,000	2015/10/12	2016/03/24	11.90
15295	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Jul 2016 A	30,000,000	2015/10/13	2016/07/28	10.80
15303	Goldman Sachs SP (Asia) – China Tel European Wts Jul 2016 A	40,000,000	2015/10/13	2016/07/28	13.96
15410	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts May 2016 B	50,000,000	2015/10/13	2016/05/30	18.75
15358	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Apr 2016 B	50,000,000	2015/10/13	2016/04/28	17.70
15057	HK Bank – HSI European Warrants Mar 2016 J	180,000,000	2015/10/13	2016/03/30	27.00
14994	HK Bank – Jiangxi Copper European Warrants Oct 2016 A	80,000,000	2015/10/13	2016/10/31	12.80
15053	HK Bank – PetroChina European Warrants Apr 2016 A	80,000,000	2015/10/13	2016/04/29	40.00
15115	HK Bank – Stanchart European Warrants Apr 2016 A	50,000,000	2015/10/13	2016/04/29	22.50
15058	HK Bank – Tencent European Warrants Jun 2016 E	100,000,000	2015/10/13	2016/06/27	15.00
15276	Standard Chartered Bank – HSI European Warrants Apr 2016 B	100,000,000	2015/10/13	2016/04/28	15.00
14922	UBS AG – HSCEI European Warrants Mar 2016 I	200,000,000	2015/10/13	2016/03/30	30.00
15201	UBS AG – HSI European Warrants Mar 2016 M	300,000,000	2015/10/13	2016/03/30	57.00
14950	UBS AG – HSI European Warrants Jun 2016 C	300,000,000	2015/10/13	2016/06/29	75.00
16549	Credit Suisse AG – China Mobile European Warrants Mar 2016 B	80,000,000	2015/10/14	2016/03/30	40.00
16596	Credit Suisse AG – PetroChina European Put Warrants Apr16 A	70,000,000	2015/10/14	2016/04/29	44.10
15773	HK Bank – Sinopec Corp European Warrants Jun 2016 A	60,000,000	2015/10/14	2016/06/30	21.00
15817	HK Bank – HKEx European Warrants May 2016 B	100,000,000	2015/10/14	2016/05/30	18.00
15869	HK Bank – HKEx European Warrants Oct 2016 A	100,000,000	2015/10/14	2016/10/31	16.00
16878	J P Morgan SP BV – China Mob European Put Wts Apr 2016 B	100,000,000	2015/10/14	2016/04/29	32.40
17092	J P Morgan SP BV – CNOOC European Put Warrants Dec 2016 A	100,000,000	2015/10/14	2016/12/30	15.10
16298	Macquarie Bank Ltd. – AIA European Warrants Jul 2016 A	40,000,000	2015/10/14	2016/07/05	10.00
15597	Macquarie Bank Ltd. – New World Dev European Wts Jun 2016 A	22,000,000	2015/10/14	2016/06/02	10.01
15415	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2016 A	15,000,000	2015/10/14	2016/06/02	10.02
15694	Standard Chartered Bank – Galaxy Ent European Wts Jun 2016 A	60,000,000	2015/10/14	2016/06/29	15.00
15713	Standard Chartered Bank – Sands China Euro Wts Apr 2016 A	60,000,000	2015/10/14	2016/04/27	10.20
15904	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2016 C	100,000,000	2015/10/14	2016/05/05	25.00
15979	SGA Societe Generale Acceptance N.V. – Wynn Eu Wt Jul 2016 A	60,000,000	2015/10/14	2016/07/06	12.60
16164	SGA Societe Generale Acceptance N.V. – ZTE Eu Wt Jul 2016 A	35,000,000	2015/10/14	2016/07/06	11.20
16746	UBS AG – BankComm European Warrants Jun 2016 A	40,000,000	2015/10/14	2016/06/13	20.00
16822	UBS AG – China Life European Put Warrants Apr 2016 A	100,000,000	2015/10/14	2016/04/26	25.00
16722	UBS AG – China Mobile European Warrants Feb 2016 A	100,000,000	2015/10/14	2016/02/24	30.00
16710	UBS AG – CNOOC European Warrants Apr 2016 B	40,000,000	2015/10/14	2016/04/14	27.20
16793	UBS AG – China Tel European Warrants Jun 2016 A	40,000,000	2015/10/14	2016/06/27	15.40
16612	UBS AG – Ping An European Warrants Mar 2016 B	100,000,000	2015/10/14	2016/03/29	16.80
16661	UBS AG – Ping An European Put Warrants Apr 2016 A	70,000,000	2015/10/14	2016/04/13	25.20
16765	UBS AG – Sands China European Warrants Apr 2016 B	70,000,000	2015/10/14	2016/04/26	10.50
17396	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Jul 2016 A	60,000,000	2015/10/15	2016/07/05	33.60

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17599	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	14.80
17485	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	11.20
17443	BNP Paribas Arbit Issu B.V. – Haitong Sec Eu Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	11.60
17429	BNP Paribas Arbit Issu B.V. – Ping An Eur Put Wts May 2016 A	60,000,000	2015/10/15	2016/05/04	21.60
17247	Credit Suisse AG – HKEx European Warrants Jun 2016 C	150,000,000	2015/10/15	2016/06/01	22.50
17680	Credit Suisse AG – Sands China European Warrants Mar 2016 A	70,000,000	2015/10/15	2016/03/30	17.50
17277	HK Bank – CAM CSI300 European Warrants Aug 2016 A	80,000,000	2015/10/15	2016/08/02	22.40
17251	HK Bank – CNOOC European Warrants Jun 2016 A	50,000,000	2015/10/15	2016/06/30	50.00
17271	HK Bank – HSCEI European Warrants Apr 2016 C	180,000,000	2015/10/15	2016/04/28	45.00
17264	HK Bank – HSCEI European Warrants Jul 2016 A	180,000,000	2015/10/15	2016/07/28	45.00
17153	Macquarie Bank Ltd. – China Life European Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	10.00
17094	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2018 A	40,000,000	2015/10/15	2018/06/04	10.00
17752	Macquarie Bank Ltd. – NCI European Warrants May 2016 A	33,000,000	2015/10/15	2016/05/04	10.07
17720	SGA Societe Generale Acceptance N.V. – AIA Eur Wt May 2016 B	100,000,000	2015/10/15	2016/05/05	25.00
17308	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 A	150,000,000	2015/10/15	2016/08/03	37.50
17309	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2016 A	300,000,000	2015/10/15	2016/09/05	75.00
17321	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul16 E	500,000,000	2015/10/15	2016/07/06	75.00
17394	UBS AG – Ch Oilfield European Warrants Nov 2016 A	80,000,000	2015/10/15	2016/11/30	20.00
17360	UBS AG – HKEx European Warrants Jun 2016 D	300,000,000	2015/10/15	2016/06/01	45.00
17370	UBS AG – Link REIT European Warrants Jul 2016 A	70,000,000	2015/10/15	2016/07/11	29.75
17994	Credit Suisse AG – ICBC European Warrants Apr 2016 A	80,000,000	2015/10/16	2016/04/29	28.80
17773	Bank of East Asia – BYD European Warrants Aug 2017 A	70,000,000	2015/10/16	2017/08/15	10.50
17770	Bank of East Asia – Great Wall Motor European Wts Jul 2016 A	40,000,000	2015/10/16	2016/07/04	10.00
17810	Bank of East Asia – HSBC European Warrants May 2016 A	40,000,000	2015/10/16	2016/05/05	10.00
18004	Bank of East Asia – Haitong Sec European Warrants Jun 2016 A	40,000,000	2015/10/16	2016/06/22	10.00
17984	Bank of East Asia – Sands China European Warrants Jun 2016 A	40,000,000	2015/10/16	2016/06/20	10.00
18079	Goldman Sachs SP (Asia) – China Life Euro Wts May 2016 A	80,000,000	2015/10/16	2016/05/04	20.00
18070	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2016 B	100,000,000	2015/10/16	2016/06/01	15.00
18056	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts May 2016 A	80,000,000	2015/10/16	2016/05/31	20.00
18094	Goldman Sachs SP (Asia) – Ping An European Wts May 2016 A	80,000,000	2015/10/16	2016/05/30	24.32
17761	HK Bank – BOCL European Warrants Aug 2016 B	80,000,000	2015/10/16	2016/08/31	20.00
17758	HK Bank – HSI European Warrants Mar 2016 K	180,000,000	2015/10/16	2016/03/30	27.00
17768	HK Bank – PetroChina European Warrants Apr 2016 B	80,000,000	2015/10/16	2016/04/29	24.00
17997	J P Morgan SP BV – MGM China European Warrants Jul 2017 A	100,000,000	2015/10/16	2017/07/04	25.00
17822	Macquarie Bank Ltd. – Kingsoft European Warrants Jul 2016 A	30,000,000	2015/10/16	2016/07/05	10.02
17840	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt May16 A	50,000,000	2015/10/16	2016/05/05	13.25
17906	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2016 C	200,000,000	2015/10/16	2016/06/03	30.00
17851	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2016 C	150,000,000	2015/10/16	2016/04/28	37.50
17888	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jul 2016 A	150,000,000	2015/10/16	2016/07/28	37.50
17897	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts May 16 B	50,000,000	2015/10/16	2016/05/05	27.00
17940	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2016 B	100,000,000	2015/10/16	2016/05/05	35.00
17983	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Jun16 A	80,000,000	2015/10/16	2016/06/03	20.00
18124	UBS AG – CRRC European Warrants Jun 2016 A	70,000,000	2015/10/16	2016/06/30	18.20
18147	UBS AG – Galaxy Ent European Warrants Mar 2016 D	70,000,000	2015/10/16	2016/03/24	12.25

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18192	UBS AG – SHK Ppt European Warrants Jun 2016 A	50,000,000	2015/10/16	2016/06/13	28.50
18239	UBS AG – Wynn Macau European Warrants Oct 2016 B	40,000,000	2015/10/16	2016/10/31	10.00
18391	J P Morgan SP BV – Haitong Sec European Warrants Mar 2016 A	100,000,000	2015/10/19	2016/03/07	15.00
18371	Macquarie Bank Ltd. – China Tel European Warrants May 2016 A	40,000,000	2015/10/19	2016/05/04	10.00
18370	Macquarie Bank Ltd. – Galaxy Ent European Wts May 2016 B	40,000,000	2015/10/19	2016/05/04	10.00
18345	Macquarie Bank Ltd. – HKEx European Warrants May 2016 A	40,000,000	2015/10/19	2016/05/04	10.00
18343	Standard Chartered Bank – AIA European Warrants May 2016 A	80,000,000	2015/10/19	2016/05/06	20.00
18324	Standard Chartered Bank – China Life European Wts Apr 2016 A	100,000,000	2015/10/19	2016/04/29	25.00
18265	Standard Chartered Bank – China Mobile Euro Wts Apr 2016 A	60,000,000	2015/10/19	2016/04/29	15.00
18386	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jun 2016 A	18,000,000	2015/10/19	2016/06/03	10.62
18628	Credit Suisse AG – Galaxy Ent European Warrants Mar 2016 B	80,000,000	2015/10/20	2016/03/31	17.60
18635	Credit Suisse AG – Galaxy Ent European Put Wts Mar 2016 C	70,000,000	2015/10/20	2016/03/31	14.00
18442	HK Bank – CITIC Sec European Warrants Jul 2016 B	60,000,000	2015/10/20	2016/07/29	15.00
18434	HK Bank – China Tel European Warrants Jun 2016 A	40,000,000	2015/10/20	2016/06/30	11.20
18465	HK Bank – Haitong Sec European Warrants Jul 2016 A	60,000,000	2015/10/20	2016/07/29	15.00
18426	HK Bank – Lenovo European Warrants Jul 2016 A	40,000,000	2015/10/20	2016/07/29	24.80
18412	HK Bank – SHK Ppt European Warrants Apr 2016 A	60,000,000	2015/10/20	2016/04/29	16.20
18578	Macquarie Bank Ltd. – BYD European Warrants Jun 2016 A	11,000,000	2015/10/20	2016/06/02	10.01
18617	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2016 A	25,000,000	2015/10/20	2016/07/05	10.00
18525	Standard Chartered Bank – HSBC European Warrants May 2016 A	30,000,000	2015/10/20	2016/05/12	27.90
18503	Standard Chartered Bank – HSBC European Warrants Jun 2016 A	80,000,000	2015/10/20	2016/06/30	20.00
18652	SGA Societe Generale Acceptance N.V. – CGS Euro Wts Dec 16 A	100,000,000	2015/10/20	2016/12/05	21.00
18686	SGA Societe Generale Acceptance N.V. – Shimao Ppt Eu Wt Sep16 A	50,000,000	2015/10/20	2016/09/05	13.25
18673	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Aug16 A	40,000,000	2015/10/20	2016/08/03	11.00
18696	UBS AG – China Life European Warrants Mar 2016 B	100,000,000	2015/10/20	2016/03/02	15.00
18712	UBS AG – Sinopec Corp European Warrants Apr 2016 A	70,000,000	2015/10/20	2016/04/19	20.30
18968	Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2016 A	100,000,000	2015/10/22	2016/03/30	45.50
18972	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Mar 2016 D	80,000,000	2015/10/22	2016/03/30	19.20
18775	HK Bank – Agricultural Bank European Warrants Jul 2016 B	50,000,000	2015/10/22	2016/07/29	12.50
18772	HK Bank – Agricultural Bank European Warrants Aug 2016 A	50,000,000	2015/10/22	2016/08/31	12.50
18832	HK Bank – CC Bank European Warrants Jul 2016 B	50,000,000	2015/10/22	2016/07/29	15.00
18787	HK Bank – CC Bank European Warrants Aug 2016 A	50,000,000	2015/10/22	2016/08/31	13.00
18856	HK Bank – HSI European Warrants Jun 2016 A	200,000,000	2015/10/22	2016/06/29	30.00
18872	HK Bank – HSI European Put Warrants Mar 2016 L	200,000,000	2015/10/22	2016/03/30	32.00
18937	J P Morgan SP BV – China Life European Warrants May 2016 B	100,000,000	2015/10/22	2016/05/03	25.00
18947	J P Morgan SP BV – Galaxy Ent European Put Wts Mar 2016 D	100,000,000	2015/10/22	2016/03/02	20.90
18966	J P Morgan SP BV – HSBC European Warrants Jun 2016 B	50,000,000	2015/10/22	2016/06/02	25.90
18926	Macquarie Bank Ltd. – China Mobile European Wts Jul 2016 A	40,000,000	2015/10/22	2016/07/05	10.00
18920	Macquarie Bank Ltd. – HSBC European Warrants Jul 2016 B	40,000,000	2015/10/22	2016/07/05	10.00
18876	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jul 2016 A	30,000,000	2015/10/22	2016/07/05	10.05
18766	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2016 B	150,000,000	2015/10/22	2016/06/29	22.50
10365	SGA Societe Generale Acceptance NV – N225 Eu Put Wt Dec 2016 A	150,000,000	2015/10/22	2016/12/09	28.50
19028	BOCI Asia Ltd. – China Life European Warrants Jun 2016 A	100,000,000	2015/10/23	2016/06/27	27.00
18978	BOCI Asia Ltd. – China Mobile European Warrants Apr 2016 A	100,000,000	2015/10/23	2016/04/06	38.00

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19019	BOCI Asia Ltd. – China Mobile European Put Wts Apr 2016 B	100,000,000	2015/10/23	2016/04/25	46.00
19080	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2016 A	100,000,000	2015/10/23	2016/12/20	17.00
19085	BOCI Asia Ltd. – Tracker Fund European Put Wts Oct 2016 A	100,000,000	2015/10/23	2016/10/31	25.00
19141	Credit Suisse AG – CC Bank European Warrants Apr 2016 B	80,000,000	2015/10/23	2016/04/29	23.20
19127	Credit Suisse AG – HSI European Put Warrants Jan 2016 J	150,000,000	2015/10/23	2016/01/28	22.50
19136	Credit Suisse AG – Ping An European Warrants Mar 2016 E	80,000,000	2015/10/23	2016/03/31	13.60
19113	Macquarie Bank Ltd. – Galaxy Ent European Wts Mar 2016 B	67,000,000	2015/10/23	2016/03/02	10.05
19126	Macquarie Bank Ltd. – Tencent European Put Wts May 2016 A	15,000,000	2015/10/23	2016/05/04	10.01
19154	Credit Suisse AG – HSI European Warrants Mar 2016 I	150,000,000	2015/10/26	2016/03/30	22.50
19394	Goldman Sachs SP (Asia) – CAM CSI300 European Wts May 2016 A	50,000,000	2015/10/26	2016/05/04	14.80
19364	Goldman Sachs SP (Asia) – China Railway Euro Wts Sep 2016 A	80,000,000	2015/10/26	2016/09/23	14.24
19392	Goldman Sachs SP (Asia) – CRCC European Warrants May 2016 A	80,000,000	2015/10/26	2016/05/30	20.32
19418	Goldman Sachs SP (Asia) – China Unicom Euro Wts Oct 2017 A	100,000,000	2015/10/26	2017/10/30	25.00
19343	Goldman Sachs SP (Asia) – SHK Ppt European Wts Apr 2016 A	60,000,000	2015/10/26	2016/04/28	21.66
19330	J P Morgan SP BV – HKEx European Warrants Feb 2016 E	200,000,000	2015/10/26	2016/02/03	30.40
19341	J P Morgan SP BV – Tencent European Warrants May 2016 A	100,000,000	2015/10/26	2016/05/04	65.50
19305	Macquarie Bank Ltd. – CHALCO European Warrants Jul 2016 A	35,000,000	2015/10/26	2016/07/05	10.05
19315	Macquarie Bank Ltd. – CPIC European Warrants Aug 2016 A	38,000,000	2015/10/26	2016/08/02	10.07
19286	Macquarie Bank Ltd. – HKEx European Warrants Feb 2016 C	67,000,000	2015/10/26	2016/02/02	10.05
19161	Standard Chartered Bank – Galaxy Ent European Wts May 2016 A	60,000,000	2015/10/26	2016/05/30	15.00
19179	Standard Chartered Bank – ICBC European Warrants May 2016 A	80,000,000	2015/10/26	2016/05/09	20.00
19187	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May16 B	150,000,000	2015/10/26	2016/05/30	37.50
19220	UBS AG – China Shenhua European Warrants Mar 2016 B	60,000,000	2015/10/26	2016/03/29	10.20
19211	UBS AG – GCL–Poly Energy European Warrants Jun 2016 A	40,000,000	2015/10/26	2016/06/17	13.00
19213	UBS AG – HKEx European Warrants Nov 2016 B	300,000,000	2015/10/26	2016/11/08	79.50
19217	UBS AG – Ping An European Warrants Mar 2016 C	100,000,000	2015/10/26	2016/03/23	25.00
19190	UBS AG – Tencent Holdings European Warrants Jun 2016 C	300,000,000	2015/10/26	2016/06/02	45.00
19441	Credit Suisse AG – HKEx European Warrants Sep 2016 A	150,000,000	2015/10/27	2016/09/30	37.50
19533	Credit Suisse AG – HSCEI European Warrants Jun 2016 A	100,000,000	2015/10/27	2016/06/29	25.00
19425	Credit Suisse AG – Ping An European Warrants Mar 2016 F	80,000,000	2015/10/27	2016/03/30	12.00
19428	Credit Suisse AG – Tencent Holdings European Wts Jun 2016 C	150,000,000	2015/10/27	2016/06/06	22.50
19810	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Mar 2016 A	40,000,000	2015/10/27	2016/03/31	25.72
19509	HK Bank – AIA European Warrants May 2016 A	60,000,000	2015/10/27	2016/05/31	15.00
19501	HK Bank – China Life European Warrants Jun 2016 B	80,000,000	2015/10/27	2016/06/30	20.00
19444	HK Bank – Sinopec Corp European Warrants Jun 2016 B	50,000,000	2015/10/27	2016/06/30	20.00
19477	HK Bank – Galaxy Ent European Warrants Apr 2016 A	50,000,000	2015/10/27	2016/04/29	12.50
19532	HK Bank – HSCEI European Put Warrants Apr 2016 D	200,000,000	2015/10/27	2016/04/28	50.00
19523	HK Bank – HSI European Put Warrants Apr 2016 A	200,000,000	2015/10/27	2016/04/28	50.00
19500	HK Bank – Sands China European Warrants Jul 2016 B	50,000,000	2015/10/27	2016/07/29	12.50
19770	J P Morgan SP BV – CNOOC European Warrants Jun 2017 A	200,000,000	2015/10/27	2017/06/30	30.20
19547	Macquarie Bank Ltd. – Tencent European Warrants May 2016 B	22,000,000	2015/10/27	2016/05/04	10.05
19578	Macquarie Bank Ltd. – Tencent European Warrants May 2016 C	10,000,000	2015/10/27	2016/05/04	10.01
19545	Standard Chartered Bank – AIA European Put Wts May 2016 B	80,000,000	2015/10/27	2016/05/23	20.00
19585	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2016 B	100,000,000	2015/10/27	2016/06/03	25.00

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		發行單位	上市日期	到期日	
19591	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2016 B	100,000,000	2015/10/27	2016/05/05	31.50
19597	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May16 A	80,000,000	2015/10/27	2016/05/05	39.20
19610	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Aug16 A	200,000,000	2015/10/27	2016/08/03	50.00
19618	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Jul16 A	40,000,000	2015/10/27	2016/07/06	13.40
19669	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May16 A	50,000,000	2015/10/27	2016/05/05	35.00
19744	UBS AG – AIA European Put Warrants Apr 2016 A	70,000,000	2015/10/27	2016/04/26	18.90
19695	UBS AG – CHALCO European Warrants Jun 2016 A	40,000,000	2015/10/27	2016/06/17	19.60
19704	UBS AG – CGN Power European Warrants Oct 2017 A	70,000,000	2015/10/27	2017/10/23	10.50
19690	UBS AG – Jiangxi Copper European Warrants Feb 2017 A	70,000,000	2015/10/27	2017/02/27	18.20
19689	UBS AG – PICC Group European Warrants Jun 2016 A	30,000,000	2015/10/27	2016/06/17	13.20
19702	UBS AG – SJM Holdings European Warrants Dec 2017 A	40,000,000	2015/10/27	2017/12/18	10.00
19698	UBS AG – Yanzhou Coal European Wts Jun 2016 A	70,000,000	2015/10/27	2016/06/27	37.10
19946	Credit Suisse AG – China Mobile European Warrants Apr 2016 A	100,000,000	2015/10/28	2016/04/29	38.00
19931	HK Bank – BYD European Warrants Dec 2016 C	70,000,000	2015/10/28	2016/12/20	10.50
19925	HK Bank – CM Bank European Warrants Oct 2016 A	60,000,000	2015/10/28	2016/10/31	15.00
19885	HK Bank – China Railway European Warrants Sep 2016 A	70,000,000	2015/10/28	2016/09/26	10.50
19889	HK Bank – CRCC European Warrants Sep 2016 B	40,000,000	2015/10/28	2016/09/30	10.00
19902	HK Bank – Geely Auto European Warrants Jul 2016 A	40,000,000	2015/10/28	2016/07/29	22.00
20055	J P Morgan SP BV – AIA European Warrants Jun 2016 A	100,000,000	2015/10/28	2016/06/01	25.30
20013	J P Morgan SP BV – Ch LongYuan European Warrants Oct 2017 A	100,000,000	2015/10/28	2017/10/31	25.00
20061	J P Morgan SP BV – HKEx European Put Wts Aug 2016 A	200,000,000	2015/10/28	2016/08/01	50.00
20073	J P Morgan SP BV – Sands China European Warrants Jul 2016 A	100,000,000	2015/10/28	2016/07/04	25.30
19949	Macquarie Bank Ltd. – BOCL European Warrants May 2016 A	40,000,000	2015/10/28	2016/05/04	10.00
19967	Macquarie Bank Ltd. – CNOOC European Warrants May 2016 A	11,000,000	2015/10/28	2016/05/04	10.01
19978	Macquarie Bank Ltd. – Ping An European Warrants Jun 2016 A	40,000,000	2015/10/28	2016/06/02	10.00
19984	Standard Chartered Bank – CGN Power European Warrants Apr 16 A	30,000,000	2015/10/28	2016/04/12	16.50
19817	Standard Chartered Bank – Galaxy Ent Euro Put Wts Apr 2016 A	80,000,000	2015/10/28	2016/04/12	12.00
19839	Standard Chartered Bank – HKEx European Put Wts May 2016 B	60,000,000	2015/10/28	2016/05/03	18.00
19845	Standard Chartered Bank – Sands China Euro Wts May 2016 A	60,000,000	2015/10/28	2016/05/30	15.00
19990	UBS AG – China EB Int'l European Warrants Aug 2016 A	60,000,000	2015/10/28	2016/08/29	15.60
20012	UBS AG – HSCEI European Warrants Jun 2016 A	100,000,000	2015/10/28	2016/06/29	25.00
20154	J P Morgan SP BV – China Mob European Wts Mar 2016 A	100,000,000	2015/10/29	2016/03/01	36.40
20166	J P Morgan SP BV – Evergrande European Warrants Jul 2017 A	100,000,000	2015/10/29	2017/07/03	25.10
20165	J P Morgan SP BV – HKEx European Warrants Jun 2016 C	200,000,000	2015/10/29	2016/06/01	31.20
20149	J P Morgan SP BV – HSI European Put Warrants Apr 2016 F	200,000,000	2015/10/29	2016/04/28	50.00
20108	UBS AG – Anhui Conch European Warrants Jun 2016 A	70,000,000	2015/10/29	2016/06/27	18.90
20136	UBS AG – China Mobile European Warrants Apr 2016 A	100,000,000	2015/10/29	2016/04/28	67.00
20099	UBS AG – China National Building European Wts Jun 2016 B	30,000,000	2015/10/29	2016/06/27	16.50
20169	Credit Suisse AG – AIA European Warrants Feb 2016 C	80,000,000	2015/10/30	2016/02/29	12.80
20405	Credit Suisse AG – CITIC European Warrants May 2016 A	70,000,000	2015/10/30	2016/05/03	10.50
20174	Credit Suisse AG – CKP European Warrants Apr 2016 A	70,000,000	2015/10/30	2016/04/29	26.60
20172	Credit Suisse AG – Jiangxi Copper European Wts Oct 2016 A	70,000,000	2015/10/30	2016/10/31	16.10
20184	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2016 C	300,000,000	2015/10/30	2016/06/29	75.00
20347	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2016 D	100,000,000	2015/10/30	2016/04/28	15.10

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20209	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2016 B	300,000,000	2015/10/30	2016/10/03	49.20
20384	J P Morgan SP BV – Air China European Warrants Mar 2017 A	80,000,000	2015/10/30	2017/03/31	20.00
20398	J P Morgan SP BV – Beijing Ent European Warrants Jul 2016 A	60,000,000	2015/10/30	2016/07/15	26.58
20397	J P Morgan SP BV – Ch Southern Air European Warrants Aug17 A	80,000,000	2015/10/30	2017/08/01	20.08
20218	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jul 2016 A	100,000,000	2015/10/30	2016/07/06	25.00
20231	SGA Societe Generale Acceptance N.V. – AIA Eu Put Wt Jun16 A	100,000,000	2015/10/30	2016/06/03	27.50
20277	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May16 B	80,000,000	2015/10/30	2016/05/05	20.80
20279	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jun16 A	80,000,000	2015/10/30	2016/06/03	36.00
20345	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jun 2016 B	80,000,000	2015/10/30	2016/06/03	36.40
20310	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2016 A	100,000,000	2015/10/30	2016/07/06	25.00
20327	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug16 A	40,000,000	2015/10/30	2016/08/03	10.00
20478	Credit Suisse AG – China Life European Put Wts May 2016 A	80,000,000	2015/11/02	2016/05/03	20.00
20475	Credit Suisse AG – China Mobile European Put Wts May 2016 A	80,000,000	2015/11/02	2016/05/03	41.60
20480	Credit Suisse AG – HKEx European Put Warrants Jun 2016 D	150,000,000	2015/11/02	2016/06/29	37.50
20578	Goldman Sachs SP (Asia) – AIA European Put Wts May 2016 A	60,000,000	2015/11/02	2016/05/03	17.10
20558	Goldman Sachs SP (Asia) – CRRC European Warrants May 2016 A	80,000,000	2015/11/02	2016/05/30	20.00
20588	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 A	150,000,000	2015/11/02	2016/06/29	31.80
20463	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2016 B	150,000,000	2015/11/02	2016/02/26	22.95
20439	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2016 I	150,000,000	2015/11/02	2016/03/30	39.30
20427	HK Bank – AIA European Put Warrants Jun 2016 A	50,000,000	2015/11/02	2016/06/30	12.50
20420	HK Bank – HKEx European Warrants Jun 2016 B	80,000,000	2015/11/02	2016/06/01	12.00
20431	HK Bank – HSI European Put Warrants May 2016 A	200,000,000	2015/11/02	2016/05/30	50.00
20436	HK Bank – Tencent European Warrants May 2016 B	60,000,000	2015/11/02	2016/05/31	37.20
20418	HK Bank – Tencent European Put Warrants Jun 2016 F	80,000,000	2015/11/02	2016/06/27	12.00
20501	Macquarie Bank Ltd. – Haier Elec European Wts Sep 2016 A	32,000,000	2015/11/02	2016/09/19	10.02
20499	Macquarie Bank Ltd. – Lenovo European Warrants May 2016 A	10,000,000	2015/11/02	2016/05/04	10.00
20411	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2016 C	150,000,000	2015/11/02	2016/05/30	37.50
20548	UBS AG – Agricultural Bank European Warrants May 2016 A	50,000,000	2015/11/02	2016/05/10	12.75
20549	UBS AG – CM Bank European Warrants Jul 2016 A	100,000,000	2015/11/02	2016/07/25	25.00
20550	UBS AG – Greatwall Motor European Warrants Jun 2016 A	60,000,000	2015/11/02	2016/06/24	10.80
20537	UBS AG – HSI European Warrants May 2016 A	300,000,000	2015/11/02	2016/05/30	75.00
20513	UBS AG – HSI European Warrants Jun 2016 D	300,000,000	2015/11/02	2016/06/29	75.00
20546	UBS AG – HSI European Put Warrants Jun 2016 E	300,000,000	2015/11/02	2016/06/29	75.00
20670	BOCI Asia Ltd. – BOCL European Warrants Sep 2016 A	100,000,000	2015/11/03	2016/09/26	29.00
20626	BOCI Asia Ltd. – Sinopec Corp European Warrants Apr 2016 A	100,000,000	2015/11/03	2016/04/12	39.00
20629	BOCI Asia Ltd. – HKEx European Warrants Nov 2016 A	100,000,000	2015/11/03	2016/11/21	25.00
20663	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2016 A	100,000,000	2015/11/03	2016/10/05	16.00
20689	Credit Suisse AG – AIA European Put Warrants May 2016 B	80,000,000	2015/11/03	2016/05/03	22.40
20688	Credit Suisse AG – China Vanke European Warrants Feb 2016 A	80,000,000	2015/11/03	2016/02/26	12.00
20775	J P Morgan SP BV – China Coal European Warrants Jun 2016 A	60,000,000	2015/11/03	2016/06/01	24.48
20774	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2016 B	30,000,000	2015/11/03	2016/06/01	12.33
20760	Macquarie Bank Ltd. – Air China European Warrants Jul 2017 A	40,000,000	2015/11/03	2017/07/04	10.00
20765	Macquarie Bank Ltd. – Greatwall Motor European Wts Oct 2016 A	40,000,000	2015/11/03	2016/10/04	10.00
20675	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jul 2017 A	40,000,000	2015/11/03	2017/07/04	10.00

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20705	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2016 A	100,000,000	2015/11/03	2016/10/05	25.00
20724	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jun 2016 A	150,000,000	2015/11/03	2016/06/29	22.50
20757	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Dec 17 A	100,000,000	2015/11/03	2017/12/11	19.50
20884	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2016 A	50,000,000	2015/11/04	2016/08/02	12.50
20940	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2016 B	60,000,000	2015/11/04	2016/07/05	13.20
20951	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts May 2016 B	60,000,000	2015/11/04	2016/05/04	13.80
20912	BNP Paribas Arbit Issu B.V. – HKEx European Wts Apr 2016 A	60,000,000	2015/11/04	2016/04/05	12.60
20930	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2016 C	70,000,000	2015/11/04	2016/06/02	10.50
20910	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2016 B	50,000,000	2015/11/04	2016/05/04	12.50
20972	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2016 A	60,000,000	2015/11/04	2016/06/02	15.60
21059	Goldman Sachs SP (Asia) – CKP European Put Warrants Jun 16 B	50,000,000	2015/11/04	2016/06/30	21.55
21125	Goldman Sachs SP (Asia) – CM Bank European Wts May 2016 A	80,000,000	2015/11/04	2016/05/30	20.08
20864	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2016 A	80,000,000	2015/11/04	2016/05/03	29.84
20806	Goldman Sachs SP (Asia) – HKEx European Warrants Sep 2016 A	300,000,000	2015/11/04	2016/09/28	45.90
20785	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2016 A	300,000,000	2015/11/04	2016/10/28	62.10
21091	Goldman Sachs Sp (Asia) – ICBC European Warrants Apr 2016 A	80,000,000	2015/11/04	2016/04/29	19.04
21062	Goldman Sachs SP (Asia) – Ping An Euro Put Wts May 2016 B	60,000,000	2015/11/04	2016/05/30	24.66
21083	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2016 A	100,000,000	2015/11/04	2016/07/04	25.10
21064	J P Morgan SP BV – HSCEI European Wts Jun 2016 B	200,000,000	2015/11/04	2016/06/29	30.00
20877	Macquarie Bank Ltd. – China Life European Wts Jul 2016 A	40,000,000	2015/11/04	2016/07/05	10.00
20983	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jul16 A	50,000,000	2015/11/04	2016/07/06	13.75
20980	SGA Societe Generale Acceptance N.V. – CKP Euro Wts Jul16 A	50,000,000	2015/11/04	2016/07/06	15.50
21038	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2016 A	200,000,000	2015/11/04	2016/10/05	35.00
21028	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May16 D	150,000,000	2015/11/04	2016/05/30	38.25
21009	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun16 C	150,000,000	2015/11/04	2016/06/29	38.25
20974	UBS AG – HSI European Put Warrants Apr 2016 B	300,000,000	2015/11/04	2016/04/28	63.00
21249	Credit Suisse AG – China Life European Warrants Apr 2016 A	80,000,000	2015/11/05	2016/04/28	12.00
21155	Bank of East Asia – Great Wall Motor European Wts Apr 2016 A	50,000,000	2015/11/05	2016/04/12	11.00
21157	Bank of East Asia – Great Wall Motor European Wts Oct 2016 B	40,000,000	2015/11/05	2016/10/21	10.40
21330	J P Morgan SP BV – Esprit European Wts Apr 2017 A	60,000,000	2015/11/05	2017/04/03	15.00
21303	J P Morgan SP BV – Greatwall Motor European Wts Mar 2017 A	80,000,000	2015/11/05	2017/03/01	20.24
21306	J P Morgan SP BV – SFCE European Warrants Jul 2016 A	60,000,000	2015/11/05	2016/07/04	25.14
21132	Macquarie Bank Ltd. – Anta Sports European Wts Jul 2016 A	31,000,000	2015/11/05	2016/07/19	10.01
21143	Macquarie Bank Ltd. – CC Bank European Put Warrants Apr 16 D	31,000,000	2015/11/05	2016/04/05	10.04
21204	Macquarie Bank Ltd. – China Life European Wts May 2016 B	25,000,000	2015/11/05	2016/05/06	10.00
21258	Macquarie Bank Ltd. – Esprit European Warrants Dec 2016 A	40,000,000	2015/11/05	2016/12/02	10.00
21231	Macquarie Bank Ltd. – PetroChina European Wts Jun 2016 A	20,000,000	2015/11/05	2016/06/02	10.00
21197	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Jun16 B	150,000,000	2015/11/05	2016/06/29	37.50
21192	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr16 C	150,000,000	2015/11/05	2016/04/28	26.25
21191	SGA Societe Generale Acceptance N.V. – Ping An Eu Put Wt Aug16 A	120,000,000	2015/11/05	2016/08/03	37.20
21179	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul17 A	500,000,000	2015/11/05	2017/07/05	125.00
21355	Credit Suisse AG – Greatwall Motor European Wts Jun 2016 A	100,000,000	2015/11/06	2016/06/30	15.00
21350	Credit Suisse AG – Greatwall Motor European Wts Nov 2016 A	100,000,000	2015/11/06	2016/11/30	15.00
21357	Macquarie Bank Ltd. – China Mengniu European Wts Sep 2016 A	40,000,000	2015/11/06	2016/09/02	10.00

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21387	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2016 A	60,000,000	2015/11/06	2016/07/06	27.60
21339	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt May16 B	120,000,000	2015/11/06	2016/05/05	45.00
21345	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun16 A	120,000,000	2015/11/06	2016/06/03	35.40
21466	Credit Suisse AG – Sinopec Corp European Warrants Mar 2016 A	80,000,000	2015/11/09	2016/03/31	27.20
21486	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2016 B	100,000,000	2015/11/09	2016/03/29	15.20
21471	Goldman Sachs SP (Asia) – Kingsoft European Wts May 2016 A	60,000,000	2015/11/09	2016/05/09	19.80
21541	J P Morgan SP BV – China Life European Warrants Jun 2016 A	100,000,000	2015/11/09	2016/06/30	17.50
21534	J P Morgan SP BV – CR Beer European Warrants Aug 2016 A	60,000,000	2015/11/09	2016/08/02	15.12
21532	J P Morgan SP BV – GF Sec European Warrants May 2016 A	80,000,000	2015/11/09	2016/05/09	20.00
21515	J P Morgan SP BV – HTSC European Warrants May 2016 A	80,000,000	2015/11/09	2016/05/09	20.00
21489	J P Morgan SP BV – China Mengniu European Wts Aug 2016 A	50,000,000	2015/11/09	2016/08/01	12.50
21539	J P Morgan SP BV – Zijin Mining European Warrants Jul 2016 A	60,000,000	2015/11/09	2016/07/04	19.50
21391	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Aug 2016 A	30,000,000	2015/11/09	2016/08/03	10.05
21418	UBS AG – CKP European Warrants Apr 2016 A	50,000,000	2015/11/09	2016/04/25	13.75
21404	UBS AG – China Life European Warrants Apr 2016 B	100,000,000	2015/11/09	2016/04/05	20.00
21420	UBS AG – China Life European Warrants May 2016 A	100,000,000	2015/11/09	2016/05/09	31.50
21439	UBS AG – HSBC European Warrants Apr 2016 B	100,000,000	2015/11/09	2016/04/01	15.00
21424	UBS AG – Zijin Mining European Warrants May 2016 A	40,000,000	2015/11/09	2016/05/09	10.40
21703	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2017 B	70,000,000	2015/11/10	2017/01/04	10.50
21696	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jun 2016 A	50,000,000	2015/11/10	2016/06/02	22.00
21707	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Aug 2016 A	40,000,000	2015/11/10	2016/08/02	10.00
21711	Goldman Sachs SP (Asia) – Stanchart European Wts May 2016 A	40,000,000	2015/11/10	2016/05/10	19.32
21680	J P Morgan SP BV – CKP European Put Warrants Jun 2016 A	80,000,000	2015/11/10	2016/06/30	35.60
21682	J P Morgan SP BV – CM Bank European Warrants Sep 2016 A	100,000,000	2015/11/10	2016/09/02	25.10
21651	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2016 A	100,000,000	2015/11/10	2016/07/04	26.20
21668	J P Morgan SP BV – R&F Ppt European Warrants Apr 2017 A	80,000,000	2015/11/10	2017/04/03	20.00
21694	J P Morgan SP BV – Sands China European Put Wts May 2016 D	100,000,000	2015/11/10	2016/05/31	34.20
21543	Macquarie Bank Ltd. – Anhui Conch European Wts Jun 2016 A	25,000,000	2015/11/10	2016/06/02	10.00
21563	Macquarie Bank Ltd. – China Life European Wts Jun 2016 A	40,000,000	2015/11/10	2016/06/02	10.00
21626	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2016 B	150,000,000	2015/11/10	2016/05/05	22.50
21621	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 B	150,000,000	2015/11/10	2016/08/03	37.50
21635	UBS AG – Evergrande European Warrants Oct 2016 A	200,000,000	2015/11/10	2016/10/24	50.00
21628	UBS AG – Stanchart European Warrants Apr 2016 B	20,000,000	2015/11/10	2016/04/22	17.80
21649	UBS AG – Stanchart European Warrants Jun 2017 A	100,000,000	2015/11/10	2017/06/19	25.00
21836	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2016 A	80,000,000	2015/11/11	2016/06/30	65.04
21822	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 A	60,000,000	2015/11/11	2016/06/30	31.68
21813	Goldman Sachs SP (Asia) – PICC Group European Wts May 2016 A	30,000,000	2015/11/11	2016/05/30	10.62
21818	Goldman Sachs SP (Asia) – Ping An European Wts May 2016 C	100,000,000	2015/11/11	2016/05/30	40.40
21852	J P Morgan SP BV – HSI European Warrants Jun 2016 C	300,000,000	2015/11/11	2016/06/29	45.30
21744	J P Morgan SP BV – HSI European Put Warrants Feb 2016 G	300,000,000	2015/11/11	2016/02/26	50.70
21763	J P Morgan SP BV – HSI European Put Warrants Apr 2016 G	300,000,000	2015/11/11	2016/04/28	53.10
21784	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2016 B	200,000,000	2015/11/11	2016/08/03	50.00
21736	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr16 D	150,000,000	2015/11/11	2016/04/28	27.75
21729	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun16 D	150,000,000	2015/11/11	2016/06/29	30.75

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21722	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Dec 17 A	80,000,000	2015/11/11	2017/12/05	20.00
21812	UBS AG – Geely Auto European Warrants Jun 2016 A	40,000,000	2015/11/11	2016/06/27	20.40
21811	UBS AG – HKEx European Warrants Oct 2016 A	300,000,000	2015/11/11	2016/10/24	75.00
21785	UBS AG – HKEx European Put Warrants Jun 2016 E	100,000,000	2015/11/11	2016/06/22	19.00
21904	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr 16 A	60,000,000	2015/11/12	2016/04/05	10.20
21918	BNP Paribas Arbit Issu B.V. – AIA European Wts Jun 2016 A	50,000,000	2015/11/12	2016/06/02	12.50
21941	BNP Paribas Arbit Issu B.V. – HKEx Euro Put Wts Jul 2016 C	60,000,000	2015/11/12	2016/07/05	13.20
21897	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2016 B	70,000,000	2015/11/12	2016/04/05	11.20
21933	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt May 2016 A	50,000,000	2015/11/12	2016/05/04	11.50
21981	Credit Suisse AG – ChiUnicom European Warrants Sep 2017 A	150,000,000	2015/11/12	2017/09/28	22.50
21980	Credit Suisse AG – HSI European Put Warrants Feb 2016 A	150,000,000	2015/11/12	2016/02/26	22.50
21992	Goldman Sachs SP (Asia) – HKEx European Put Wts May 2016 C	200,000,000	2015/11/12	2016/05/30	50.00
21863	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2016 J	150,000,000	2015/11/12	2016/03/30	23.85
21875	Goldman Sachs SP (Asia) – HSI European Warrants May 2016 A	150,000,000	2015/11/12	2016/05/30	23.70
21986	J P Morgan SP BV – ZTE European Warrants Jul 2016 A	60,000,000	2015/11/12	2016/07/04	15.18
21881	Macquarie Bank Ltd. – CKP European Put Warrants Jul 2016 A	24,000,000	2015/11/12	2016/07/05	10.13
21876	Macquarie Bank Ltd. – China Life European Put Warrants Jun 16 B	40,000,000	2015/11/12	2016/06/02	10.00
21949	SGA Societe Generale Acceptance N.V. – CITIC Sec Eu Wt Aug16 A	40,000,000	2015/11/12	2016/08/03	12.80
21962	SGA Societe Generale Acceptance N.V. – Haitong Sec Eu Wt Jul16 A	50,000,000	2015/11/12	2016/07/06	12.50
21970	UBS AG – CITIC Bank European Warrants Jun 2016 A	40,000,000	2015/11/12	2016/06/20	16.40
21963	UBS AG – China Unicom European Warrants Oct 2017 A	100,000,000	2015/11/12	2017/10/23	17.00
21976	UBS AG – Ping An European Warrants Sep 2016 A	300,000,000	2015/11/12	2016/09/26	45.00
21965	UBS AG – ZTE European Warrants Jul 2016 A	40,000,000	2015/11/12	2016/07/25	10.00
22009	BOCI Asia Ltd. – AIA European Warrants May 2016 A	100,000,000	2015/11/13	2016/05/10	28.00
22020	BOCI Asia Ltd. – CAM CSI300 European Warrants Aug 2016 A	100,000,000	2015/11/13	2016/08/01	46.00
22019	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Dec 2016 D	100,000,000	2015/11/13	2016/12/28	15.00
21997	BOCI Asia Ltd. – HKEx European Put Warrants Jul 2016 A	100,000,000	2015/11/13	2016/07/27	18.00
22023	BOCI Asia Ltd. – Kingsoft European Warrants Aug 2016 A	100,000,000	2015/11/13	2016/08/08	41.00
22010	BOCI Asia Ltd. – Ping An European Warrants May 2016 B	100,000,000	2015/11/13	2016/05/30	30.00
22013	BOCI Asia Ltd. – Ping An European Put Warrants May 2016 C	100,000,000	2015/11/13	2016/05/23	31.00
22078	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Jul 2016 A	30,000,000	2015/11/13	2016/07/05	10.20
22064	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2016 C	40,000,000	2015/11/13	2016/03/02	10.00
22045	Credit Suisse AG – CITIC Sec European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/30	22.40
22057	Credit Suisse AG – China Tel European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/30	20.00
22084	Credit Suisse AG – Fosun Intl European Warrants Mar 2016 C	80,000,000	2015/11/13	2016/03/30	12.00
22046	Credit Suisse AG – GF Sec European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/16	20.00
22049	Credit Suisse AG – HTSC European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/16	23.20
22033	Credit Suisse AG – Haitong Sec European Warrants Apr 2016 A	80,000,000	2015/11/13	2016/04/29	17.60
22032	Credit Suisse AG – Tencent Holdings European Wts Apr 2016 A	60,000,000	2015/11/13	2016/04/29	48.00
22102	Bank of East Asia – Haitong Sec European Warrants May 2016 A	55,000,000	2015/11/13	2016/05/11	10.34
22030	Bank of East Asia – Yanzhou Coal European Wts Sep 2017 A	100,000,000	2015/11/13	2017/09/15	15.80
22125	Goldman Sachs SP (Asia) – CITIC Sec European Wts May 2016 A	70,000,000	2015/11/13	2016/05/30	22.68
22116	Goldman Sachs SP (Asia) – China Life Euro Wts May 2016 B	100,000,000	2015/11/13	2016/05/30	25.20
22130	J P Morgan SP BV – Agricultural Bk Euro Warrants Sep 2016 A	100,000,000	2015/11/13	2016/09/30	25.40

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22024	Macquarie Bank Ltd. – CKH European Warrants Jun 2016 A	13,000,000	2015/11/13	2016/06/02	12.82
22027	Macquarie Bank Ltd. – CKP European Warrants Jun 2016 A	25,000,000	2015/11/13	2016/06/02	10.33
22028	Macquarie Bank Ltd. – ZTE European Warrants Aug 2016 A	29,000,000	2015/11/13	2016/08/15	10.30
22158	BOCI Asia Ltd. – HSCEI European Warrants Jul 2016 A	100,000,000	2015/11/16	2016/07/28	15.00
22156	BOCI Asia Ltd. – HSI European Warrants May 2016 A	100,000,000	2015/11/16	2016/05/30	16.00
22214	BNP Paribas Arbit Issu B.V. – CITIC Euro Warrants Aug 2016 A	100,000,000	2015/11/16	2016/08/02	19.00
22212	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2016 A	60,000,000	2015/11/16	2016/06/02	15.00
22217	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2016 A	80,000,000	2015/11/16	2016/10/04	20.00
22211	Goldman Sachs SP (Asia) – Ping An European Wts Mar 2016 D	100,000,000	2015/11/16	2016/03/31	15.00
22209	J P Morgan SP BV – CAM CSI300 European Warrants Jun 2016 A	100,000,000	2015/11/16	2016/06/02	31.60
22210	J P Morgan SP BV – China Mob European Wts May 2016 A	100,000,000	2015/11/16	2016/05/03	44.00
22162	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jun16 A	50,000,000	2015/11/16	2016/06/03	12.75
22163	SGA Societe Generale Acceptance N.V. – PICC Eu Wts Jun 2016 A	18,000,000	2015/11/16	2016/06/03	10.08
22178	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun16 B	500,000,000	2015/11/16	2016/06/03	75.00
22196	UBS AG – CITIC Sec European Warrants May 2016 A	40,000,000	2015/11/16	2016/05/16	11.20
22203	UBS AG – HSBC European Warrants Jun 2016 A	100,000,000	2015/11/16	2016/06/24	19.00
22204	UBS AG – HSBC European Warrants Jun 2016 B	100,000,000	2015/11/16	2016/06/08	25.00
22197	UBS AG – Haitong Sec European Warrants Apr 2016 A	60,000,000	2015/11/16	2016/04/26	10.80
22181	UBS AG – Tencent Holdings European Warrants Apr 2016 A	50,000,000	2015/11/16	2016/04/26	52.50
22279	Goldman Sachs SP (Asia) – CAM CSI300 European Wts May 2016 B	80,000,000	2015/11/17	2016/05/30	21.52
22282	Goldman Sachs SP (Asia) – CITIC European Warrants Jul 2016 A	80,000,000	2015/11/17	2016/07/29	14.48
22292	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Jun 2016 A	80,000,000	2015/11/17	2016/06/24	20.00
22256	HK Bank – China Life European Warrants Jun 2016 C	80,000,000	2015/11/17	2016/06/30	24.00
22241	HK Bank – China Mobile European Warrants Jun 2016 A	60,000,000	2015/11/17	2016/06/30	42.00
22252	HK Bank – HKEx European Warrants Sep 2016 A	180,000,000	2015/11/17	2016/09/26	27.00
22237	HK Bank – HSCEI European Warrants Jun 2016 A	180,000,000	2015/11/17	2016/06/29	27.00
22235	HK Bank – HSI European Warrants May 2016 B	180,000,000	2015/11/17	2016/05/30	28.80
22307	J P Morgan SP BV – Ch Merchants European Warrants Aug 2016 A	60,000,000	2015/11/17	2016/08/01	22.08
22295	J P Morgan SP BV – KECL European Warrants Jul 2016 A	40,000,000	2015/11/17	2016/07/04	16.28
22304	J P Morgan SP BV – Ping An European Put Warrants Aug 2016 A	100,000,000	2015/11/17	2016/08/02	34.30
22309	J P Morgan SP BV – Wanda Comm European Warrants Dec 2017 A	60,000,000	2015/11/17	2017/12/29	15.00
22265	Macquarie Bank Ltd. – JNCEC European Warrants Dec 2016 A	15,000,000	2015/11/17	2016/12/16	10.01
22258	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2016 A	15,000,000	2015/11/17	2016/06/02	10.01
22268	Macquarie Bank Ltd – Skyworth Digital European Wts Dec 2017 A	40,000,000	2015/11/17	2017/12/18	10.00
22273	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Apr 2017 A	50,000,000	2015/11/17	2017/04/06	10.00
22272	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2016 A	40,000,000	2015/11/17	2016/07/06	17.40
22366	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2016 A	80,000,000	2015/11/18	2016/04/29	20.48
22356	Goldman Sachs SP (Asia) – CC Bank European Wts May 2016 A	100,000,000	2015/11/18	2016/05/30	31.90
22400	Goldman Sachs SP (Asia) – Fosun Intl European Wts Jul 2016 B	80,000,000	2015/11/18	2016/07/08	20.00
22313	Goldman Sachs SP (Asia) – HSI European Warrants May 2016 B	150,000,000	2015/11/18	2016/05/30	37.80
22320	Goldman Sachs SP (Asia) – HSI European Put Wts May 2016 C	150,000,000	2015/11/18	2016/05/30	38.70
22369	Goldman Sachs Sp (Asia) – ICBC European Warrants May 2016 A	100,000,000	2015/11/18	2016/05/30	25.40
22354	Goldman Sachs SP (Asia) – Tencent European Wt May 2016 B	100,000,000	2015/11/18	2016/05/03	67.60
22353	J P Morgan SP BV – HSI European Warrants May 2016 D	300,000,000	2015/11/18	2016/05/30	45.00

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22349	Macquarie Bank Ltd. – HN Renewables European Wts Dec 2016 A	20,000,000	2015/11/18	2016/12/16	10.00
22331	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul16 A	80,000,000	2015/11/18	2016/07/06	41.60
22311	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Oct16 A	80,000,000	2015/11/18	2016/10/17	21.60
22312	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Jan17 A	80,000,000	2015/11/18	2017/01/04	14.80
22310	SGA Societe Generale Acceptance N.V. – Evergrande Eu Wt Jul17 A	80,000,000	2015/11/18	2017/07/03	27.20
22342	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Jul 16 B	80,000,000	2015/11/18	2016/07/06	21.60
22341	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Aug16 A	80,000,000	2015/11/18	2016/08/03	31.20
22343	SGA Societe Generale Acceptance N.V. – Galaxy Eu Put Wt Jul 16 C	80,000,000	2015/11/18	2016/07/06	29.60
22346	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Aug16 A	80,000,000	2015/11/18	2016/08/03	27.20
22496	Credit Suisse AG – China Life European Warrants Mar 2016 B	80,000,000	2015/11/19	2016/03/24	16.00
22487	Credit Suisse AG – China Shenhua European Wts Dec 2016 A	80,000,000	2015/11/19	2016/12/29	13.60
22492	Credit Suisse AG – HKEx European Warrants Jul 2016 A	180,000,000	2015/11/19	2016/07/29	32.40
22505	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2016 B	80,000,000	2015/11/19	2016/03/24	12.00
22477	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2016 A	80,000,000	2015/11/19	2016/04/29	42.72
22482	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2017 A	300,000,000	2015/11/19	2017/12/04	45.00
22409	HK Bank – CITIC European Warrants Jul 2016 A	100,000,000	2015/11/19	2016/07/29	15.00
22402	HK Bank – CKP European Warrants Jun 2016 B	80,000,000	2015/11/19	2016/06/30	24.00
22432	HK Bank – China Unicom European Warrants Oct 2017 A	100,000,000	2015/11/19	2017/10/31	15.00
22441	HK Bank – Evergrande European Warrants Dec 2016 A	80,000,000	2015/11/19	2016/12/30	20.00
22407	HK Bank – PICC Group European Warrants May 2016 A	50,000,000	2015/11/19	2016/05/31	15.00
22516	J P Morgan SP BV – AIA European Warrants Jun 2016 B	100,000,000	2015/11/19	2016/06/02	25.00
22515	Macquarie Bank Ltd. – HKEx European Warrants Jun 2016 A	60,000,000	2015/11/19	2016/06/02	10.02
22443	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2016 B	100,000,000	2015/11/19	2016/09/05	25.00
22450	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2016 A	100,000,000	2015/11/19	2016/10/05	16.50
22454	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2016 B	100,000,000	2015/11/19	2016/08/03	33.50
22456	SGA Societe Generale Acceptance N.V. – CITIC Euro Wt Feb17 A	50,000,000	2015/11/19	2017/02/03	12.50
22460	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2016 B	100,000,000	2015/11/19	2016/08/03	32.00
25088 [#]	Credit Suisse AG – ChiUnicom European Warrants Sep 2016 B	150,000,000	2015/11/19	2016/09/30	10.05
22522	BOCI Asia Ltd. – HKEx European Warrants Jun 2016 E	100,000,000	2015/11/20	2016/06/13	17.00
22632	BNP Paribas Arbit Issu B.V. – Car Inc European Wts Jun 16 A	100,000,000	2015/11/20	2016/06/02	25.00
22634	Credit Suisse AG – China Mobile European Warrants Apr 2016 B	80,000,000	2015/11/20	2016/04/22	32.00
22633	Credit Suisse AG – PetroChina European Warrants Apr 2016 B	70,000,000	2015/11/20	2016/04/28	27.30
22563	HK Bank – AIA European Warrants May 2016 B	80,000,000	2015/11/20	2016/05/31	20.00
22565	HK Bank – CGS European Warrants May 2016 A	80,000,000	2015/11/20	2016/05/31	12.00
22545	HK Bank – China Overseas European Warrants Jun 2016 A	80,000,000	2015/11/20	2016/06/30	20.00
22569	HK Bank – HKEx European Warrants Jun 2016 C	180,000,000	2015/11/20	2016/06/30	45.00
22543	HK Bank – Li & Fung European Warrants Jun 2016 A	50,000,000	2015/11/20	2016/06/30	15.00
22637	J P Morgan SP BV – CITIC European Warrants Jul 2016 A	100,000,000	2015/11/20	2016/07/29	15.30
22581	J P Morgan SP BV – Huaneng Power European Wts Nov 2017 A	100,000,000	2015/11/20	2017/11/30	25.10
22676	Macquarie Bank Ltd. – Ch Oilfield European Wts Oct 2016 A	40,000,000	2015/11/20	2016/10/18	10.00
22589	Macquarie Bank Ltd. – Tencent European Put Wts Jun 2016 A	10,000,000	2015/11/20	2016/06/02	10.00
22597	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Aug 2016 A	100,000,000	2015/11/20	2016/08/03	25.00
22614	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Aug16 A	25,000,000	2015/11/20	2016/08/03	10.00
22615	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jul16 A	15,000,000	2015/11/20	2016/07/06	10.05

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22621	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Oct 16 A	15,000,000	2015/11/20	2016/10/05	10.05
22625	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Sep 2016 A	100,000,000	2015/11/20	2016/09/05	19.50
22630	SGA Societe Generale Acceptance N.V. – ChiUnicom Eu Wt Nov17 A	70,000,000	2015/11/20	2017/11/03	11.20
22572	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2016 A	200,000,000	2015/11/20	2016/12/05	50.00
22724	Credit Suisse AG – PICC European Warrants Jul 2016 A	70,000,000	2015/11/23	2016/07/29	11.20
22773	J P Morgan SP BV – China Tel European Warrants Jul 2016 A	80,000,000	2015/11/23	2016/07/04	22.48
22771	J P Morgan SP BV – Li & Fung European Warrants Aug 2016 A	50,000,000	2015/11/23	2016/08/01	17.25
22705	Macquarie Bank Ltd. – China Mobile European Wts Mar 2016 C	50,000,000	2015/11/23	2016/03/02	10.00
22691	Macquarie Bank Ltd. – China Mobile European Wts May 2016 A	50,000,000	2015/11/23	2016/05/04	10.05
22682	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2016 A	10,000,000	2015/11/23	2016/07/05	10.00
22687	Macquarie Bank Ltd. – Digital China European Wts Dec 2017 A	37,000,000	2015/11/23	2017/12/18	10.03
22707	Macquarie Bank Ltd. – HKEx European Warrants Mar 2016 D	52,000,000	2015/11/23	2016/03/02	10.04
22743	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2016 A	80,000,000	2015/11/23	2016/08/03	24.00
22729	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt Jun16 B	30,000,000	2015/11/23	2016/06/03	14.85
22734	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 2016 D	30,000,000	2015/11/23	2016/06/03	15.60
22732	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Jun16 A	50,000,000	2015/11/23	2016/06/03	18.75
22735	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2016 B	60,000,000	2015/11/23	2016/07/06	29.70
22738	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun16 B	120,000,000	2015/11/23	2016/06/03	19.80
22862	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2016 A	80,000,000	2015/11/24	2016/04/28	24.72
22867	Goldman Sachs SP (Asia) – China Life Euro Wts May 2016 C	80,000,000	2015/11/24	2016/05/30	20.48
22868	Goldman Sachs SP (Asia) – HKEx European Warrants Mar 2016 B	80,000,000	2015/11/24	2016/03/31	23.28
22851	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2016 A	80,000,000	2015/11/24	2016/06/06	27.76
22861	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2016 B	80,000,000	2015/11/24	2016/06/06	20.48
22872	Goldman Sachs SP (Asia) – Ping An European Wts Apr 2016 A	80,000,000	2015/11/24	2016/04/29	29.92
22781	HK Bank – Agricultural Bank European Warrants Sep 2016 A	80,000,000	2015/11/24	2016/09/30	20.00
22774	HK Bank – AIA European Warrants May 2016 C	80,000,000	2015/11/24	2016/05/31	28.00
22775	HK Bank – China Mobile European Warrants Jun 2016 B	80,000,000	2015/11/24	2016/06/30	28.00
22788	HK Bank – HSI European Warrants Apr 2016 B	180,000,000	2015/11/24	2016/04/28	28.80
22834	J P Morgan SP BV – Car Inc European Warrants Jun 2016 A	100,000,000	2015/11/24	2016/06/02	25.40
22842	J P Morgan SP BV – HKEx European Warrants Aug 2016 B	200,000,000	2015/11/24	2016/08/01	30.60
22838	J P Morgan SP BV – HKEx European Warrants Sep 2016 B	200,000,000	2015/11/24	2016/09/30	31.80
22843	J P Morgan SP BV – HSBC European Warrants Jul 2016 A	100,000,000	2015/11/24	2016/07/05	25.00
22849	J P Morgan SP BV – Ping An European Warrants Jun 2016 A	100,000,000	2015/11/24	2016/06/02	25.10
22804	Macquarie Bank Ltd. – China Gas Hold Euro Wts Oct 2016 A	47,000,000	2015/11/24	2016/10/14	10.01
22803	Macquarie Bank Ltd. – Minsheng Bank European Wts Jun 2016 A	17,000,000	2015/11/24	2016/06/02	10.08
22815	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Oct 2016 A	70,000,000	2015/11/24	2016/10/05	11.90
22814	SGA Societe Generale Acceptance N.V. – CKH Euro Wt Jul 2016 A	30,000,000	2015/11/24	2016/07/06	15.60
22802	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 16 A	80,000,000	2015/11/24	2016/04/06	17.60
22806	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Aug16 A	40,000,000	2015/11/24	2016/08/03	15.40
22832	UBS AG – AIA European Warrants Apr 2016 B	100,000,000	2015/11/24	2016/04/29	29.00
22829	UBS AG – China Mobile European Warrants Jun 2016 A	100,000,000	2015/11/24	2016/06/23	61.00
22820	UBS AG – HSI European Put Warrants Apr 2016 C	300,000,000	2015/11/24	2016/04/28	50.40
22824	UBS AG – HSI European Put Warrants Apr 2016 D	300,000,000	2015/11/24	2016/04/28	45.00
22822	UBS AG – HSI European Put Warrants Jun 2016 F	300,000,000	2015/11/24	2016/06/29	45.00

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22906	BOCI Asia Ltd. – CKP European Warrants Jun 2016 A	100,000,000	2015/11/25	2016/06/27	42.00
22894	BOCI Asia Ltd. – China Mobile European Warrants May 2016 A	100,000,000	2015/11/25	2016/05/03	40.00
22890	BOCI Asia Ltd. – HSBC European Warrants May 2016 C	100,000,000	2015/11/25	2016/05/10	20.00
22968	BNP Paribas Arbit Issu B.V. – AIA European Wts Jun 2016 B	40,000,000	2015/11/25	2016/06/02	10.00
22980	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2016 A	70,000,000	2015/11/25	2016/10/04	10.50
22956	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2016 A	40,000,000	2015/11/25	2016/07/05	23.20
22941	Credit Suisse AG – Galaxy Ent European Warrants May 2016 A	50,000,000	2015/11/25	2016/05/30	14.00
22929	Credit Suisse AG – PICC Group European Warrants Mar 2016 A	80,000,000	2015/11/25	2016/03/30	16.80
22955	Goldman Sachs SP (Asia) – BOCL European Warrants May 2016 A	80,000,000	2015/11/25	2016/05/30	20.32
22948	Goldman Sachs SP (Asia) – HSBC European Put Wts Apr 2016 E	80,000,000	2015/11/25	2016/04/29	25.52
22880	HK Bank – CRRRC European Warrants May 2016 A	80,000,000	2015/11/25	2016/05/31	12.00
22882	HK Bank – HKEx European Put Warrants Jul 2016 A	100,000,000	2015/11/25	2016/07/29	17.00
22889	HK Bank – Kingsoft European Warrants Jun 2016 A	50,000,000	2015/11/25	2016/06/30	15.00
22879	HK Bank – Stanchart European Warrants Jun 2017 B	100,000,000	2015/11/25	2017/06/12	15.00
22989	J P Morgan SP BV – KECL European Warrants Mar 2016 A	60,000,000	2015/11/25	2016/03/02	10.44
22982	J P Morgan SP BV – SJM Holdings European Warrants Dec 2017 A	60,000,000	2015/11/25	2017/12/04	15.12
22915	Macquarie Bank Ltd. – Tencent European Warrants Jun 2016 B	13,000,000	2015/11/25	2016/06/02	10.01
22925	Macquarie Bank Ltd. – Tencent European Warrants Jun 2016 C	27,000,000	2015/11/25	2016/06/02	10.02
22945	UBS AG – CNOOC European Warrants Mar 2016 B	50,000,000	2015/11/25	2016/03/21	17.00
22946	UBS AG – HSI European Warrants Apr 2016 E	300,000,000	2015/11/25	2016/04/28	51.00
22947	UBS AG – HSI European Warrants May 2016 B	300,000,000	2015/11/25	2016/05/30	45.00
23013	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2016 B	50,000,000	2015/11/26	2016/08/02	11.50
23006	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2016 A	100,000,000	2015/11/26	2016/08/02	19.00
23009	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2016 A	50,000,000	2015/11/26	2016/07/05	10.50
23000	BNP Paribas Arbit Issu B.V. – KECL European Wts Jul 2016 A	20,000,000	2015/11/26	2016/07/05	12.00
22998	Credit Suisse AG – HSI European Put Warrants Apr 2016 B	150,000,000	2015/11/26	2016/04/28	22.50
23033	Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2016 B	80,000,000	2015/11/26	2016/04/28	18.48
23027	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2016 A	100,000,000	2015/11/26	2016/06/30	67.30
23029	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Apr 2016 B	100,000,000	2015/11/26	2016/04/25	37.20
23024	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Jun 2016 A	80,000,000	2015/11/26	2016/06/30	25.60
23035	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2016 K	150,000,000	2015/11/26	2016/03/30	23.55
23021	Goldman Sachs SP (Asia) – Kingsoft European Wts Jun 2016 A	60,000,000	2015/11/26	2016/06/30	25.86
22995	HK Bank – CITIC Bank European Warrants Jun 2016 A	50,000,000	2015/11/26	2016/06/30	12.50
22992	HK Bank – HSI European Put Warrants Apr 2016 C	180,000,000	2015/11/26	2016/04/28	28.80
22993	HK Bank – HSI European Put Warrants May 2016 C	180,000,000	2015/11/26	2016/05/30	45.00
22990	HK Bank – HSI European Put Warrants Jun 2016 B	180,000,000	2015/11/26	2016/06/29	27.00
22996	HK Bank – KECL European Warrants Jun 2016 B	50,000,000	2015/11/26	2016/06/30	17.50
23041	J P Morgan SP BV – Chi Res Land European Warrants Sep 2016 A	100,000,000	2015/11/26	2016/09/02	25.10
22997	J P Morgan SP BV – HSI European Put Warrants Jun 2016 D	300,000,000	2015/11/26	2016/06/29	75.30
23044	J P Morgan SP BV – Stanchart European Warrants Jun 2017 A	100,000,000	2015/11/26	2017/06/12	15.20
23020	Macquarie Bank Ltd. – Chinare Cement European Wt Dec 2016 A	22,000,000	2015/11/26	2016/12/15	10.01
23016	Macquarie Bank Ltd. – Towngas China European Wts Jun 2016 A	14,000,000	2015/11/26	2016/06/13	10.63
23037	UBS AG – Kingsoft European Warrants Jun 2016 A	100,000,000	2015/11/26	2016/06/27	29.00
10370	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Sep 2016 A	50,000,000	2015/11/27	2016/09/23	12.50

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10371	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Sep 2016 B	50,000,000	2015/11/27	2016/09/23	12.50
10366	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Sep 2016 A	50,000,000	2015/11/27	2016/09/23	12.50
10367	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wt Sep 2016 B	50,000,000	2015/11/27	2016/09/23	12.50
10368	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Sep 2016 A	50,000,000	2015/11/27	2016/09/23	12.50
10369	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Sep 2016 B	50,000,000	2015/11/27	2016/09/23	12.50
23057	Goldman Sachs SP (Asia) – HKEx European Warrants Jul 2016 A	300,000,000	2015/11/27	2016/07/27	49.80
23069	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Apr 2016 B	60,000,000	2015/11/27	2016/04/08	11.04
23053	HK Bank – CSOP A50 ETF European Warrants Jul 2016 A	180,000,000	2015/11/27	2016/07/20	27.00
23052	HK Bank – CSOP A50 ETF European Warrants Dec 2016 B	180,000,000	2015/11/27	2016/12/30	27.00
23054	HK Bank – A50 China European Warrants Mar 2017 A	180,000,000	2015/11/27	2017/03/24	27.00
23055	HK Bank – HSI European Warrants May 2016 D	180,000,000	2015/11/27	2016/05/30	32.40
23056	HK Bank – Link REIT European Warrants Jul 2016 A	50,000,000	2015/11/27	2016/07/29	12.50
23047	Macquarie Bank Ltd. – AIA European Put Warrants May 2016 A	65,000,000	2015/11/27	2016/05/04	10.21
23060	Macquarie Bank Ltd. – Kingsoft European Warrants Jun 2016 A	32,000,000	2015/11/27	2016/06/02	10.02
23046	Macquarie Bank Ltd. – Tencent European Warrants Jun 2016 D	10,000,000	2015/11/27	2016/06/02	14.39
23063	SGA Societe Generale Acceptance N.V – Ch Oilfield Eu Wt Oct16 A	80,000,000	2015/11/27	2016/10/12	15.20
23064	SGA Societe Generale Acceptance N.V – Haitong Sec Eu Wt Jun16 B	40,000,000	2015/11/27	2016/06/03	10.60
23066	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Sep 2016 A	12,000,000	2015/11/27	2016/09/05	10.08
23074	Credit Suisse AG – China Mobile European Put Wts Apr 2016 C	70,000,000	2015/11/30	2016/04/22	19.60
23086	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2016 B	100,000,000	2015/11/30	2016/07/05	25.00
23091	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Jul 2016 B	30,000,000	2015/12/01	2016/07/05	11.70
23089	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Jun 2016 A	40,000,000	2015/12/01	2016/06/02	11.60
23100	Credit Suisse AG – Sands China European Warrants Apr 2016 B	80,000,000	2015/12/01	2016/04/29	19.20
23113	Goldman Sachs SP (Asia) – BOCL European Warrants Aug 2016 A	80,000,000	2015/12/01	2016/08/31	27.36
23116	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Apr 2016 A	80,000,000	2015/12/01	2016/04/29	29.20
23107	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts May 2016 C	60,000,000	2015/12/01	2016/05/06	10.50
23106	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Jul 2016 A	60,000,000	2015/12/01	2016/07/29	13.32
23099	UBS AG – Lenovo European Warrants Dec 2016 B	100,000,000	2015/12/01	2016/12/20	15.00
23123	BOCI Asia Ltd. – China Life European Warrants May 2016 A	100,000,000	2015/12/02	2016/05/23	24.00
23134	BOCI Asia Ltd. – CM Bank European Warrants Nov 2016 A	100,000,000	2015/12/02	2016/11/28	26.00
23120	BOCI Asia Ltd. – Tencent Holdings European Wts Feb 2017 A	100,000,000	2015/12/02	2017/02/27	25.00
23155	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jun16A	60,000,000	2015/12/02	2016/06/02	10.20
23156	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Jul 2016 A	50,000,000	2015/12/02	2016/07/05	20.50
23144	Bank of East Asia – CNBM European Warrants Jul 2016 A	30,000,000	2015/12/02	2016/07/04	10.20
23152	Bank of East Asia – CNOOC European Warrants May 2018 A	65,000,000	2015/12/02	2018/05/28	10.40
23178	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2016 A	80,000,000	2015/12/02	2016/07/29	21.52
23187	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2016 A	100,000,000	2015/12/02	2016/07/29	42.50
23176	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2016 A	100,000,000	2015/12/02	2016/07/29	41.50
23170	UBS AG – BYD European Warrants Sep 2017 A	300,000,000	2015/12/02	2017/09/25	75.00
23167	UBS AG – HKEx European Warrants Jul 2016 A	300,000,000	2015/12/02	2016/07/27	59.10
23165	UBS AG – HKEx European Warrants Dec 2016 A	300,000,000	2015/12/02	2016/12/28	75.00
23169	UBS AG – Tencent Holdings European Warrants Apr 2017 A	300,000,000	2015/12/02	2017/04/10	75.00
23201	Credit Suisse AG – Ch Comm Cons European Warrants Sep 2016 A	70,000,000	2015/12/03	2016/09/29	11.20
23203	Credit Suisse AG – CRRC European Warrants May 2016 A	70,000,000	2015/12/03	2016/05/23	11.90

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23232	Goldman Sachs SP (Asia) – CITIC European Warrants Jun 2016 A	70,000,000	2015/12/03	2016/06/30	50.33
23248	Goldman Sachs SP (Asia) – CITIC European Warrants May 2017 A	80,000,000	2015/12/03	2017/05/04	15.20
23241	Goldman Sachs SP (Asia) – China Tel European Wts Jun 2016 A	60,000,000	2015/12/03	2016/06/30	22.14
23235	Goldman Sachs SP (Asia) – China Unicom Euro Wts Dec 2017 A	80,000,000	2015/12/03	2017/12/29	20.00
23194	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2016 F	150,000,000	2015/12/03	2016/04/28	25.50
23220	Goldman Sachs SP (Asia) – Link REIT European Wts Jul 2016 A	60,000,000	2015/12/03	2016/07/05	24.54
23223	Goldman Sachs SP (Asia) – PetroChina European Put Wts Jun 16 A	60,000,000	2015/12/03	2016/06/03	27.90
23222	Goldman Sachs SP (Asia) – SHK Ppt European Put Wt Jun 2016 A	20,000,000	2015/12/03	2016/06/30	10.02
23256	J P Morgan SP BV – Anta Sports European Warrants Sep 2016 A	60,000,000	2015/12/03	2016/09/01	21.42
23253	J P Morgan SP BV – ICBC European Warrants Oct 2016 A	100,000,000	2015/12/03	2016/10/03	25.10
23270	J P Morgan SP BV – Tencent European Warrants Mar 2017 A	200,000,000	2015/12/03	2017/03/02	50.00
23282	J P Morgan SP BV – Tencent European Put Warrants Feb 2017 A	200,000,000	2015/12/03	2017/02/02	50.20
23255	J P Morgan SP BV – Tingyi European Warrants Dec 2017 A	60,000,000	2015/12/03	2017/12/29	15.30
23206	UBS AG – CC Bank European Warrants Apr 2016 A	100,000,000	2015/12/03	2016/04/27	18.30
23207	UBS AG – CC Bank European Warrants Jul 2016 A	100,000,000	2015/12/03	2016/07/18	32.50
23210	UBS AG – ICBC European Warrants Apr 2016 A	100,000,000	2015/12/03	2016/04/27	18.70
23212	UBS AG – Tencent Holdings European Put Warrants Jun 2016 D	500,000,000	2015/12/03	2016/06/27	75.00
23355	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2016 D	70,000,000	2015/12/04	2016/07/05	13.30
23380	Credit Suisse AG – HSI European Warrants Mar 2016 J	150,000,000	2015/12/04	2016/03/30	22.50
23301	HK Bank – Tencent European Warrants Sep 2016 A	180,000,000	2015/12/04	2016/09/30	28.80
23389	J P Morgan SP BV – China Mob European Wts Jul 2016 A	100,000,000	2015/12/04	2016/07/04	47.90
23383	J P Morgan SP BV – HSI European Warrants Jul 2016 B	300,000,000	2015/12/04	2016/07/28	75.30
23387	J P Morgan SP BV – Ping An European Warrants Jun 2016 B	100,000,000	2015/12/04	2016/06/06	25.10
23312	J P Morgan SP BV – Tencent European Warrants Jun 2017 A	200,000,000	2015/12/04	2017/06/02	50.20
23350	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Jul 2016 A	35,000,000	2015/12/04	2016/07/05	10.01
23286	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Aug 2016 A	27,000,000	2015/12/04	2016/08/02	10.15
23351	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Aug 2016 A	20,000,000	2015/12/04	2016/08/03	10.00
23314	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Jun 2016 A	50,000,000	2015/12/04	2016/06/03	14.75
23332	SGA Societe Generale Acceptance NV – Chi Res Land Eu Wt Aug16 A	40,000,000	2015/12/04	2016/08/03	13.40
23337	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Aug 2016 A	35,000,000	2015/12/04	2016/08/03	10.15
23353	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jun16 D	200,000,000	2015/12/04	2016/06/03	50.00
23291	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2016 E	150,000,000	2015/12/04	2016/04/28	22.50
23287	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2016 E	150,000,000	2015/12/04	2016/05/30	31.50
23345	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Oct 2016 A	12,000,000	2015/12/04	2016/10/05	10.08
23354	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Oct16 A	40,000,000	2015/12/04	2016/10/05	15.40
23342	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Oct16 A	50,000,000	2015/12/04	2016/10/05	13.75
23368	UBS AG – BYD European Warrants Jun 2016 A	50,000,000	2015/12/04	2016/06/13	21.00
23364	UBS AG – China Life European Warrants May 2016 B	100,000,000	2015/12/04	2016/05/23	17.60
23377	UBS AG – ICBC European Warrants May 2016 A	100,000,000	2015/12/04	2016/05/06	35.00
23375	UBS AG – China Vanke European Warrants Aug 2016 A	100,000,000	2015/12/04	2016/08/08	31.00
23425	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jan 2017 A	150,000,000	2015/12/07	2017/01/03	22.50
23422	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2016 B	100,000,000	2015/12/07	2016/12/02	15.00
23419	Credit Suisse AG – Sinopec Corp European Warrants Jun 2016 A	80,000,000	2015/12/07	2016/06/30	20.80
23418	Credit Suisse AG – Ping An European Warrants Apr 2016 A	80,000,000	2015/12/07	2016/04/29	17.60

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23433	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 B	80,000,000	2015/12/07	2016/06/30	32.24
23426	Goldman Sachs SP (Asia) – Stanchart European Wts Jul 2016 A	40,000,000	2015/12/07	2016/07/28	21.36
23391	HK Bank – Sinopec Corp European Warrants Jul 2016 A	80,000,000	2015/12/07	2016/07/05	28.00
23403	HK Bank – Zijin Mining European Warrants Jul 2016 A	50,000,000	2015/12/07	2016/07/05	12.50
23421	J P Morgan SP BV – China Life European Warrants May 2016 C	100,000,000	2015/12/07	2016/05/04	24.00
23420	J P Morgan SP BV – CSOP A50 ETF European Warrants Apr 2016 B	100,000,000	2015/12/07	2016/04/29	49.10
23406	Macquarie Bank Ltd. – BOCL European Warrants Jun 2016 A	35,000,000	2015/12/07	2016/06/06	10.01
23404	Macquarie Bank Ltd. – China Life European Wts Jul 2016 B	35,000,000	2015/12/07	2016/07/05	10.01
23407	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Mar 2018 A	200,000,000	2015/12/07	2018/03/05	40.00
23408	SGA Societe Generale Acceptance NV – NewWorld Dev Eu Wt Sep 16 A	13,000,000	2015/12/07	2016/09/05	10.01
23414	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 2016 A	30,000,000	2015/12/07	2016/08/03	15.30
23470	Goldman Sachs SP (Asia) – HSCEI European Warrants May 2016 C	150,000,000	2015/12/08	2016/05/30	31.05
23472	Goldman Sachs SP (Asia) – Tencent European Wt May 2016 C	80,000,000	2015/12/08	2016/05/04	70.40
23443	HK Bank – Anhui Conch European Warrants Jun 2016 A	80,000,000	2015/12/08	2016/06/30	12.00
23442	HK Bank – China Shenhua European Warrants Dec 2016 A	80,000,000	2015/12/08	2016/12/20	12.00
23445	HK Bank – Dongfeng Motor European Warrants Oct 2016 A	80,000,000	2015/12/08	2016/10/31	12.00
23439	HK Bank – Wanda Comm European Warrants Dec 2017 A	80,000,000	2015/12/08	2017/12/20	14.40
23491	J P Morgan SP BV – CC Bank European Wts Oct 2016 A	100,000,000	2015/12/08	2016/10/03	25.50
23489	J P Morgan SP BV – CITIC Bank European Warrants Oct 2016 A	100,000,000	2015/12/08	2016/10/03	29.30
23480	J P Morgan SP BV – CKH European Put Warrants Jul 2016 A	100,000,000	2015/12/08	2016/07/05	50.90
23484	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2016 A	100,000,000	2015/12/08	2016/10/03	30.50
23488	J P Morgan SP BV – PetroChina European Warrants Aug 2016 A	100,000,000	2015/12/08	2016/08/02	28.00
23482	J P Morgan SP BV – SHK Ppt European Warrants Aug 2016 A	60,000,000	2015/12/08	2016/08/01	51.78
23477	J P Morgan SP BV – China Vanke European Warrants Aug 2016 A	80,000,000	2015/12/08	2016/08/01	21.76
23449	Macquarie Bank Ltd. – CC Bank European Warrants May 2016 A	25,000,000	2015/12/08	2016/05/04	10.20
23447	Macquarie Bank Ltd. – PWR Assets European Wts Nov 2016 A	17,000,000	2015/12/08	2016/11/02	10.51
23448	SGA Societe Generale Acceptance N.V. – Link REIT Eu Wt Oct16 A	28,000,000	2015/12/08	2016/10/05	10.08
23467	UBS AG – CSOP A50 ETF European Warrants Dec 2016 A	300,000,000	2015/12/08	2016/12/21	45.00
23464	UBS AG – A50 China European Warrants Mar 2017 A	300,000,000	2015/12/08	2017/03/24	45.00
23460	UBS AG – Ping An European Warrants May 2016 A	100,000,000	2015/12/08	2016/05/26	15.50
23463	UBS AG – Ping An European Warrants Mar 2017 A	300,000,000	2015/12/08	2017/03/27	45.00
23458	UBS AG – Sands China European Warrants Jul 2016 A	70,000,000	2015/12/08	2016/07/04	10.50
23517	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2016 A	100,000,000	2015/12/09	2016/06/29	27.00
23522	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2016 A	100,000,000	2015/12/09	2016/08/30	27.00
23523	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2016 A	100,000,000	2015/12/09	2016/09/29	26.00
23524	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2016 B	100,000,000	2015/12/09	2016/06/29	26.00
23535	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2016 B	100,000,000	2015/12/09	2016/08/30	26.00
23538	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2016 B	100,000,000	2015/12/09	2016/09/29	25.00
23600	Goldman Sachs SP (Asia) – Ch Overseas European Wts Jul 2016 A	60,000,000	2015/12/09	2016/07/04	16.74
23599	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Aug 2016 A	60,000,000	2015/12/09	2016/08/31	19.26
23625	Goldman Sachs SP (Asia) – NCI European Warrants Sep 2016 A	40,000,000	2015/12/09	2016/09/30	19.00
23654	J P Morgan SP BV – Belle Int'l European Warrants Dec 2017 A	100,000,000	2015/12/09	2017/12/29	25.10
23656	J P Morgan SP BV – China EB Int'l European Wts Nov 2016 A	100,000,000	2015/12/09	2016/11/02	25.00
23627	J P Morgan SP BV – CGN Power European Warrants Aug 2016 A	60,000,000	2015/12/09	2016/08/01	35.52

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23646	J P Morgan SP BV – CITIC Sec European Warrants Aug 2016 A	100,000,000	2015/12/09	2016/08/01	30.40
23644	J P Morgan SP BV – CNBM European Warrants Jul 2016 A	60,000,000	2015/12/09	2016/07/04	21.60
23651	J P Morgan SP BV – Ch Oilfield Euro Wts Oct 2016 A	80,000,000	2015/12/09	2016/10/11	14.96
23653	J P Morgan SP BV – Chsouthcity European Warrants Dec 2016 A	30,000,000	2015/12/09	2016/12/30	14.10
23647	J P Morgan SP BV – Haitong Sec European Warrants Aug 2016 A	100,000,000	2015/12/09	2016/08/02	25.30
23639	J P Morgan SP BV – NCI European Warrants Aug 2016 A	60,000,000	2015/12/09	2016/08/02	23.22
23493	Macquarie Bank Ltd. – China Life European Wts Jul 2016 C	52,000,000	2015/12/09	2016/07/05	10.04
23508	Macquarie Bank Ltd. – Chi Res Power European Wts Oct 2016 A	39,000,000	2015/12/09	2016/10/04	10.18
23496	Macquarie Bank Ltd. – Tencent European Warrants Jan 2017 A	50,000,000	2015/12/09	2017/01/04	10.00
23547	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2017 A	200,000,000	2015/12/09	2017/10/03	40.00
23549	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Sep 2016 B	40,000,000	2015/12/09	2016/09/05	10.00
23550	SGA Societe Generale Acceptance N.V. – CPIC Eur Wt Jul 2016 A	30,000,000	2015/12/09	2016/07/06	11.55
23551	SGA Societe Generale Acceptance NV – Chi Res Land Eu Wt Oct16 A	40,000,000	2015/12/09	2016/10/05	11.00
23569	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Aug16 A	18,000,000	2015/12/09	2016/08/03	10.08
23579	UBS AG – CNOOC European Warrants Jun 2016 B	50,000,000	2015/12/09	2016/06/28	19.50
23571	UBS AG – Sinopec Corp European Warrants Jun 2016 A	60,000,000	2015/12/09	2016/06/20	28.50
23591	UBS AG – HKEx European Warrants May 2017 A	300,000,000	2015/12/09	2017/05/29	63.00
23716	Credit Suisse AG – China Life European Put Wts Apr 2016 B	70,000,000	2015/12/10	2016/04/26	12.60
23714	Credit Suisse AG – GCL-Poly Energy European Wts Jun 2016 A	50,000,000	2015/12/10	2016/06/30	12.50
23683	Credit Suisse AG – HSI European Warrants May 2016 A	150,000,000	2015/12/10	2016/05/30	22.50
23715	Credit Suisse AG – Ping An European Put Warrants Apr 2016 B	70,000,000	2015/12/10	2016/04/29	13.30
23750	Credit Suisse AG – Stanchart European Warrants Jun 2016 A	50,000,000	2015/12/10	2016/06/10	26.50
23718	J P Morgan SP BV – HSCEI European Wts Jul 2016 B	200,000,000	2015/12/10	2016/07/28	30.00
23719	J P Morgan SP BV – Tracker Fund European Warrants Sep 2016 A	100,000,000	2015/12/10	2016/09/30	15.70
23673	Macquarie Bank Ltd. – CC Bank European Warrants Jul 2016 A	39,000,000	2015/12/10	2016/07/05	10.10
23674	Macquarie Bank Ltd. – China Coal European Wts Oct 2016 A	18,000,000	2015/12/10	2016/10/04	10.01
23720	Macquarie Bank Ltd. – China Overseas European Wts Aug 2016 A	40,000,000	2015/12/10	2016/08/02	10.00
23721	Macquarie Bank Ltd. – ICBC European Warrants May 2016 B	67,000,000	2015/12/10	2016/05/04	10.05
23748	UBS AG – China Cinda European Warrants Aug 2016 A	50,000,000	2015/12/10	2016/08/08	21.25
23745	UBS AG – CKP European Warrants Jul 2016 A	50,000,000	2015/12/10	2016/07/18	20.50
23744	UBS AG – China Mobile European Warrants Jun 2016 B	100,000,000	2015/12/10	2016/06/20	57.00
23734	UBS AG – China Mobile European Warrants Nov 2017 A	300,000,000	2015/12/10	2017/11/27	51.00
23749	UBS AG – China Overseas European Warrants Jul 2016 A	70,000,000	2015/12/10	2016/07/11	21.00
23726	UBS AG – China Tel European Warrants Jun 2016 B	40,000,000	2015/12/10	2016/06/27	13.40
23758	Credit Suisse AG – Agricultural Bank European Wts May 2016 A	70,000,000	2015/12/11	2016/05/30	10.50
23756	Credit Suisse AG – CRCC European Warrants Apr 2016 A	70,000,000	2015/12/11	2016/04/25	10.50
23793	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2016 A	40,000,000	2015/12/11	2016/07/29	19.00
23772	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Jun 2016 A	80,000,000	2015/12/11	2016/06/29	34.00
23784	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Sep 2016 A	80,000,000	2015/12/11	2016/09/29	20.56
23766	J P Morgan SP BV – China Cinda European Warrants Aug 2016 A	80,000,000	2015/12/11	2016/08/01	20.48
23771	J P Morgan SP BV – GCL-Poly European Warrants Aug 2016 A	60,000,000	2015/12/11	2016/08/02	15.48
23753	J P Morgan SP BV – HSI European Warrants Mar 2016 H	200,000,000	2015/12/11	2016/03/30	30.20
23752	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2016 B	18,000,000	2015/12/11	2016/07/05	10.04
18070 #	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2016 B	150,000,000	2015/12/11	2016/06/01	13.65

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23796	BNP Paribas Arbit Issu B.V. – CKH European Wts Jul 2016 A	50,000,000	2015/12/14	2016/07/05	12.50
23804	BNP Paribas Arbit Issu B.V. – CKP European Warrants May16 A	50,000,000	2015/12/14	2016/05/04	12.00
23833	BNP Paribas Arbit Issu B.V. – CRCC European Wts Jul 2016 A	65,000,000	2015/12/14	2016/07/05	10.40
23807	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Jul 2016 A	40,000,000	2015/12/14	2016/07/05	10.00
23827	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Jul 2016 B	30,000,000	2015/12/14	2016/07/05	10.20
10372	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2016 A	50,000,000	2015/12/14	2016/11/17	12.50
10373	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Nov 16 B	50,000,000	2015/12/14	2016/11/17	12.50
23834	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Dec 2016 B	100,000,000	2015/12/14	2016/12/02	25.00
23820	Goldman Sachs SP (Asia) – Sands China Euro Wts Jul 2016 A	100,000,000	2015/12/14	2016/07/29	25.20
23848	J P Morgan SP BV – Sh Electric European Warrants Sep 2016 A	70,000,000	2015/12/14	2016/09/06	10.57
23814	Macquarie Bank Ltd. – PetroChina European Wts Jul 2016 A	20,000,000	2015/12/14	2016/07/05	10.00
10374	SGA Societe Generale Acceptance NV – Nikkei 225 Eu Wt Dec 16 B	150,000,000	2015/12/14	2016/12/09	37.50
23841	UBS AG – HSI European Warrants May 2016 C	300,000,000	2015/12/14	2016/05/30	45.00
23836	UBS AG – Stanchart European Warrants Jun 2016 A	50,000,000	2015/12/14	2016/06/20	21.50
23870	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Jun 2016 A	50,000,000	2015/12/15	2016/06/02	15.00
23858	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2016 A	40,000,000	2015/12/15	2016/08/02	17.60
23920	Credit Suisse AG – China Life European Warrants May 2016 B	80,000,000	2015/12/15	2016/05/04	12.80
23935	Credit Suisse AG – China Mobile European Warrants Jul 2016 A	80,000,000	2015/12/15	2016/07/05	20.00
23937	Credit Suisse AG – CNOOC European Warrants Jul 2016 B	60,000,000	2015/12/15	2016/07/05	24.60
23912	Credit Suisse AG – HKEx European Warrants Jun 2016 E	180,000,000	2015/12/15	2016/06/30	27.00
23927	Credit Suisse AG – HSBC European Wts May 2016 A	120,000,000	2015/12/15	2016/05/04	18.00
23931	Credit Suisse AG – HSBC European Wts Jul 2016 A	120,000,000	2015/12/15	2016/07/05	30.00
23915	Credit Suisse AG – ICBC European Warrants May 2016 A	80,000,000	2015/12/15	2016/05/04	12.00
23917	Credit Suisse AG – Ping An European Warrants Jun 2016 B	80,000,000	2015/12/15	2016/06/02	12.00
23939	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 C	80,000,000	2015/12/15	2016/06/30	20.32
23944	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2016 B	80,000,000	2015/12/15	2016/06/30	43.68
23938	Goldman Sachs SP (Asia) – Tracker Fund European Wts Mar 17 A	80,000,000	2015/12/15	2017/03/30	20.24
23967	J P Morgan SP BV – CRRC European Warrants Oct 2016 A	100,000,000	2015/12/15	2016/10/03	25.10
23968	J P Morgan SP BV – HKEx European Warrants Sep 2016 C	200,000,000	2015/12/15	2016/09/09	31.00
10375	J P Morgan SP BV – N225 Index Euro Wts Dec 2016 A	100,000,000	2015/12/15	2016/12/09	15.10
23969	J P Morgan SP BV – PetroChina European Warrants Aug 2016 B	100,000,000	2015/12/15	2016/08/01	37.80
23872	Macquarie Bank Ltd. – MTRC European Warrants Dec 2016 A	34,000,000	2015/12/15	2016/12/02	10.20
23891	SGA Societe Generale Acceptance N.V. – BOCOM Eu Wt Aug 2016 A	25,000,000	2015/12/15	2016/08/03	10.00
23900	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May16 C	80,000,000	2015/12/15	2016/05/05	25.20
23901	SGA Societe Generale Acceptance N.V. – CNOOC Eu Put Wt Dec17 A	300,000,000	2015/12/15	2017/12/05	75.00
23909	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2016 B	80,000,000	2015/12/15	2016/08/03	30.40
23876	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2016 G	150,000,000	2015/12/15	2016/03/30	23.25
23885	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep16 A	150,000,000	2015/12/15	2016/09/29	37.50
23905	SGA Societe Generale Acceptance N.V. – Petch Eu Put Wt Aug16 A	60,000,000	2015/12/15	2016/08/03	30.00
23946	UBS AG – Anhui Conch European Warrants Jul 2016 A	70,000,000	2015/12/15	2016/07/05	10.50
23954	UBS AG – CAM CSI300 European Warrants May 2016 A	50,000,000	2015/12/15	2016/05/04	10.75
23945	UBS AG – HKEx European Warrants Jun 2016 F	300,000,000	2015/12/15	2016/06/22	45.30
23962	UBS AG – HSBC European Warrants Jun 2016 C	100,000,000	2015/12/15	2016/06/14	25.00
23957	UBS AG – HSCEI European Warrants May 2016 A	200,000,000	2015/12/15	2016/05/30	30.00

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23964	UBS AG – HSCEI European Warrants Jun 2016 B	200,000,000	2015/12/15	2016/06/29	50.00
23960	UBS AG – HSCEI European Put Warrants Dec 2016 A	200,000,000	2015/12/15	2016/12/29	31.20
23961	UBS AG – Ping An European Warrants Apr 2016 B	100,000,000	2015/12/15	2016/04/27	25.00
23950	UBS AG – China Vanke European Warrants Jul 2016 A	100,000,000	2015/12/15	2016/07/11	25.00
24026	Credit Suisse AG – CITIC European Warrants Jul 2016 A	100,000,000	2015/12/16	2016/07/29	15.00
24029	Credit Suisse AG – CITIC Sec European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/29	20.80
24027	Credit Suisse AG – Fosun Intl European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/05	12.80
24033	Credit Suisse AG – HS H-SHARE European Warrants Jun 2016 A	60,000,000	2015/12/16	2016/06/02	11.40
24031	Credit Suisse AG – Haitong Sec European Warrants May 2016 A	80,000,000	2015/12/16	2016/05/30	12.80
24032	Credit Suisse AG – China Vanke European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/05	24.00
24000	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2016 G	150,000,000	2015/12/16	2016/04/28	22.65
24057	Goldman Sachs SP (Asia) – China Vanke European Wts Jul 2016 A	60,000,000	2015/12/16	2016/07/28	23.22
24045	Goldman Sachs SP (Asia) – China Vanke European Wts Nov 2016 A	60,000,000	2015/12/16	2016/11/29	26.76
23979	HK Bank – China Life European Warrants Jun 2016 D	80,000,000	2015/12/16	2016/06/30	20.00
23985	HK Bank – CSR Times European Warrants Dec 2017 A	80,000,000	2015/12/16	2017/12/29	20.00
23974	HK Bank – HSCEI European Put Warrants May 2016 A	180,000,000	2015/12/16	2016/05/30	28.80
23975	HK Bank – HSI European Warrants May 2016 E	180,000,000	2015/12/16	2016/05/30	27.00
23970	HK Bank – HSI European Warrants Jun 2016 C	180,000,000	2015/12/16	2016/06/29	27.00
23983	HK Bank – PetroChina European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/29	36.00
23981	HK Bank – Ping An European Warrants May 2016 A	80,000,000	2015/12/16	2016/05/30	19.20
23987	HK Bank – Ping An European Warrants Jun 2016 A	80,000,000	2015/12/16	2016/06/30	20.00
23984	HK Bank – Ping An European Warrants Dec 2016 C	180,000,000	2015/12/16	2016/12/30	27.00
23986	HK Bank – Ping An European Warrants Mar 2017 A	180,000,000	2015/12/16	2017/03/31	27.00
23989	Macquarie Bank Ltd. – CNBM European Warrants Aug 2016 A	13,000,000	2015/12/16	2016/08/02	10.01
23997	Macquarie Bank Ltd. – HSBC European Warrants May 2016 A	67,000,000	2015/12/16	2016/05/04	10.05
23988	Macquarie Bank Ltd. – Stanchart European Warrants Sep 2016 A	15,000,000	2015/12/16	2016/09/02	10.04
24022	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Mar 2017 A	80,000,000	2015/12/16	2017/03/03	20.00
24019	SGA Societe Generale Acceptance N.V. – CRRCC Euro Wts Nov16 A	80,000,000	2015/12/16	2016/11/03	20.00
24013	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan17 A	100,000,000	2015/12/16	2017/01/05	15.00
24020	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Feb17 A	100,000,000	2015/12/16	2017/02/03	25.00
24041	UBS AG – China EB Int'l European Warrants Sep 2016 A	40,000,000	2015/12/16	2016/09/26	10.00
24043	UBS AG – China Shenhua European Warrants Dec 2016 A	70,000,000	2015/12/16	2016/12/20	10.57
24039	UBS AG – Link REIT European Warrants Jul 2016 B	40,000,000	2015/12/16	2016/07/25	11.80
24112	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2016 B	60,000,000	2015/12/17	2016/08/02	15.00
24115	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2016 A	40,000,000	2015/12/17	2016/05/04	12.40
24120	BNP Paribas Arbit Issu B.V. – Fosun Intl European Wts Jul16 A	100,000,000	2015/12/17	2016/07/05	21.00
24113	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2016 E	80,000,000	2015/12/17	2016/07/05	18.40
24119	BNP Paribas Arbit Issu B.V. – ICBC European Wts Oct 2016 B	50,000,000	2015/12/17	2016/10/04	15.00
24114	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2016 B	50,000,000	2015/12/17	2016/06/02	13.00
24128	Credit Suisse AG – Anhui Conch European Warrants May 2016 A	70,000,000	2015/12/17	2016/05/30	10.50
24133	Credit Suisse AG – Ch Overseas European Warrants Jul 2016 A	50,000,000	2015/12/17	2016/07/05	12.50
24131	Credit Suisse AG – China Tel European Warrants May 2016 B	60,000,000	2015/12/17	2016/05/30	11.40
24138	Credit Suisse AG – HSI European Warrants Apr 2016 C	150,000,000	2015/12/17	2016/04/28	22.50
24137	Credit Suisse AG – HSI European Warrants May 2016 B	150,000,000	2015/12/17	2016/05/30	22.50

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24142	Credit Suisse AG – HSI European Put Warrants Apr 2016 D	150,000,000	2015/12/17	2016/04/28	25.50
24136	Credit Suisse AG – Kingsoft European Warrants Jul 2016 A	50,000,000	2015/12/17	2016/07/05	12.50
24129	Credit Suisse AG – ZTE European Warrants Aug 2016 A	60,000,000	2015/12/17	2016/08/08	10.80
24199	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 D	80,000,000	2015/12/17	2016/06/30	36.80
24202	Goldman Sachs SP (Asia) – Ping An European Wts Apr 2016 C	100,000,000	2015/12/17	2016/04/29	38.70
24101	HK Bank – CC Bank European Warrants Aug 2016 B	80,000,000	2015/12/17	2016/08/31	20.00
24075	HK Bank – CC Bank European Warrants Sep 2016 A	80,000,000	2015/12/17	2016/09/30	28.00
24067	HK Bank – China Tel European Warrants Jun 2016 B	80,000,000	2015/12/17	2016/06/30	20.00
24061	HK Bank – China Unicom European Warrants Dec 2017 A	100,000,000	2015/12/17	2017/12/22	16.00
24062	HK Bank – Foxconn European Warrants Jun 2016 A	50,000,000	2015/12/17	2016/06/30	12.50
24069	HK Bank – ZTE European Warrants Sep 2016 A	80,000,000	2015/12/17	2016/09/30	20.00
24168	J P Morgan SP BV – CHALCO European Warrants Jun 2016 A	45,000,000	2015/12/17	2016/06/30	13.19
24150	J P Morgan SP BV – China Life European Warrants Sep 2016 A	100,000,000	2015/12/17	2016/09/01	28.30
24151	J P Morgan SP BV – China Life European Warrants Oct 2016 A	100,000,000	2015/12/17	2016/10/03	25.00
24157	J P Morgan SP BV – Sinopec Corp European Warrants Aug 2016 A	100,000,000	2015/12/17	2016/08/02	26.00
24163	J P Morgan SP BV – Evergrande European Warrants Dec 2016 B	70,000,000	2015/12/17	2016/12/30	10.71
24166	J P Morgan SP BV – HSI European Warrants Jun 2016 E	300,000,000	2015/12/17	2016/06/29	45.60
24167	J P Morgan SP BV – HSI European Warrants Aug 2016 A	300,000,000	2015/12/17	2016/08/30	75.30
24103	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2016 B	23,000,000	2015/12/17	2016/07/05	10.03
24105	Macquarie Bank Ltd. – KECL European Warrants Jul 2016 A	15,000,000	2015/12/17	2016/07/05	10.23
24111	Macquarie Bank Ltd. – Tencent European Warrants Jul 2016 E	10,000,000	2015/12/17	2016/07/05	10.00
24121	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Oct 2016 B	100,000,000	2015/12/17	2016/10/05	15.00
24122	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Aug16 B	15,000,000	2015/12/17	2016/08/03	10.65
24106	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2016 E	150,000,000	2015/12/17	2016/06/29	27.00
24107	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2016 A	150,000,000	2015/12/17	2016/08/30	25.50
24108	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2016 B	150,000,000	2015/12/17	2016/09/29	23.25
24124	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Aug16 B	40,000,000	2015/12/17	2016/08/03	10.00
24188	UBS AG – Ch Comm Cons European Warrants Sep 2016 A	100,000,000	2015/12/17	2016/09/23	15.50
24196	UBS AG – China Life European Warrants Jun 2016 A	100,000,000	2015/12/17	2016/06/23	15.00
24191	UBS AG – Fosun Intl European Warrants Aug 2016 B	70,000,000	2015/12/17	2016/08/22	10.50
24173	UBS AG – HSCEI European Warrants Jul 2016 A	100,000,000	2015/12/17	2016/07/28	25.00
24172	UBS AG – HSCEI European Put Warrants Jun 2016 C	100,000,000	2015/12/17	2016/06/29	25.00
24247	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2016 A	100,000,000	2015/12/18	2016/06/30	40.10
24242	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2016 A	100,000,000	2015/12/18	2016/06/30	25.30
24241	J P Morgan SP BV – Ch Comm Cons European Warrants Nov 2017 A	100,000,000	2015/12/18	2017/11/02	25.10
24238	J P Morgan SP BV – Ping An European Warrants Aug 2016 B	100,000,000	2015/12/18	2016/08/05	33.80
24230	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2016 A	40,000,000	2015/12/18	2016/07/05	10.00
24228	Macquarie Bank Ltd. – HSBC European Warrants Aug 2016 A	40,000,000	2015/12/18	2016/08/02	10.00
24206	Macquarie Bank Ltd. – PICC Group European Wts Jul 2016 A	15,000,000	2015/12/18	2016/07/05	10.02
24223	Macquarie Bank Ltd. – Sands China European Wts Aug 2016 A	40,000,000	2015/12/18	2016/08/02	10.00
24222	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 C	150,000,000	2015/12/18	2016/08/03	22.50
24214	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Dec 2016 A	80,000,000	2015/12/18	2016/12/05	20.00
24220	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 17 A	500,000,000	2015/12/18	2017/04/03	87.50
24237	UBS AG – HSBC European Put Warrants Jun 2016 D	80,000,000	2015/12/18	2016/06/02	33.60

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
26074#	J P Morgan SP BV – Galaxy Ent European Warrants May 2016 A	150,000,000	2015/12/18	2016/05/03	11.85
24348	Bank of East Asia – BYD European Warrants Mar 2018 A	60,000,000	2015/12/21	2018/03/28	10.80
24347	Bank of East Asia – CKH European Warrants Sep 2016 A	60,000,000	2015/12/21	2016/09/23	10.80
24349	Bank of East Asia – Tencent Holdings European Wts Nov 2016 A	50,000,000	2015/12/21	2016/11/30	10.00
24256	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Jul 2016 B	80,000,000	2015/12/21	2016/07/04	20.00
24284	HK Bank – CNOOC European Warrants Jun 2016 B	60,000,000	2015/12/21	2016/06/30	42.00
24289	HK Bank – CNOOC European Warrants Jun 2016 C	60,000,000	2015/12/21	2016/06/30	27.00
24280	HK Bank – HSCEI European Warrants May 2016 B	200,000,000	2015/12/21	2016/05/30	30.00
24263	HK Bank – HSI European Warrants Apr 2016 D	200,000,000	2015/12/21	2016/04/28	40.00
24262	HK Bank – HSI European Warrants Jun 2016 D	200,000,000	2015/12/21	2016/06/29	30.00
24266	HK Bank – HSI European Put Warrants Apr 2016 E	200,000,000	2015/12/21	2016/04/28	32.00
24300	HK Bank – ICBC European Warrants May 2016 A	80,000,000	2015/12/21	2016/05/03	12.00
24296	HK Bank – ICBC European Warrants Jul 2016 A	60,000,000	2015/12/21	2016/07/29	16.20
24283	HK Bank – ICBC European Warrants Sep 2016 A	80,000,000	2015/12/21	2016/09/30	12.00
24343	Macquarie Bank Ltd. – ICBC European Warrants May 2016 C	67,000,000	2015/12/21	2016/05/04	10.05
24342	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jul 2016 B	100,000,000	2015/12/21	2016/07/06	26.50
24329	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2016 A	150,000,000	2015/12/21	2016/09/05	37.50
24313	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2016 B	150,000,000	2015/12/21	2016/05/30	22.50
24312	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jul 2016 B	150,000,000	2015/12/21	2016/07/28	37.50
24327	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2016 F	150,000,000	2015/12/21	2016/04/28	32.25
24315	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2016 F	150,000,000	2015/12/21	2016/06/29	37.50
24362	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Aug 2016 B	60,000,000	2015/12/21	2016/08/03	25.80
24336	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun16 C	120,000,000	2015/12/21	2016/06/03	21.60
24352	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Jun16 B	80,000,000	2015/12/21	2016/06/03	19.60
24354	SGA Societe Generale Acceptance NV – Sands Ch Eu Put Wt Jul16 A	80,000,000	2015/12/21	2016/07/06	21.60
24381	UBS AG – Fosun Intl European Warrants May 2016 B	70,000,000	2015/12/21	2016/05/20	10.57
24382	UBS AG – HKEx European Put Warrants Jun 2016 G	100,000,000	2015/12/21	2016/06/06	15.00
24374	UBS AG – HSI European Warrants Jun 2016 G	300,000,000	2015/12/21	2016/06/29	75.00
24376	UBS AG – HSI European Warrants Jun 2016 H	300,000,000	2015/12/21	2016/06/29	47.70
24380	UBS AG – HSI European Put Warrants Apr 2016 F	300,000,000	2015/12/21	2016/04/28	45.00
24389	BOCI Asia Ltd. – Agricultural Bk European Wts Jul 2016 A	100,000,000	2015/12/22	2016/07/11	21.00
24394	BOCI Asia Ltd. – BOCL European Warrants Sep 2016 B	100,000,000	2015/12/22	2016/09/26	21.00
24385	BOCI Asia Ltd. – CC Bank European Warrants Jul 2016 A	100,000,000	2015/12/22	2016/07/25	25.00
24391	BOCI Asia Ltd. – China Life European Warrants Nov 2016 A	100,000,000	2015/12/22	2016/11/28	27.00
24392	BOCI Asia Ltd. – A50 China European Warrants Mar 2017 A	100,000,000	2015/12/22	2017/03/24	15.00
24402	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Feb 2017 A	50,000,000	2015/12/22	2017/02/02	16.00
24396	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2017 A	75,000,000	2015/12/22	2017/06/02	20.25
24399	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2017 A	50,000,000	2015/12/22	2017/05/02	14.50
24398	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Mar 2017 A	100,000,000	2015/12/22	2017/03/02	18.00
24432	Credit Suisse AG – AIA European Warrants Jul 2016 A	80,000,000	2015/12/22	2016/07/05	22.40
24436	Goldman Sachs SP (Asia) – AIA European Warrants May 2016 B	80,000,000	2015/12/22	2016/05/31	13.60
24441	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jun 2016 B	60,000,000	2015/12/22	2016/06/30	25.02
24440	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2016 B	50,000,000	2015/12/22	2016/06/30	28.70
24405	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2018 A	40,000,000	2015/12/22	2018/12/04	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24406	Macquarie Bank Ltd. – Ping An European Warrants May 2016 B	55,000,000	2015/12/22	2016/05/04	10.07
24410	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec17 A	500,000,000	2015/12/22	2017/12/05	75.00
24433	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2016 B	100,000,000	2015/12/22	2016/07/06	19.00
24422	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Jul16 C	150,000,000	2015/12/22	2016/07/28	37.50
24419	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Sep16 A	150,000,000	2015/12/22	2016/09/29	37.50
24424	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Sep16 B	150,000,000	2015/12/22	2016/09/29	37.50
24425	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun16 G	150,000,000	2015/12/22	2016/06/29	31.50
24427	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul16 A	150,000,000	2015/12/22	2016/07/28	37.50
24429	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug16 B	150,000,000	2015/12/22	2016/08/30	39.00
24415	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt May16 C	120,000,000	2015/12/22	2016/05/05	39.60
24416	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Aug16 A	20,000,000	2015/12/22	2016/08/03	10.20
24435	UBS AG – PetroChina European Warrants Jun 2016 A	50,000,000	2015/12/22	2016/06/21	23.25
24461	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Jul 2016 A	40,000,000	2015/12/23	2016/07/05	13.60
24490	Credit Suisse AG – CC Bank European Warrants May 2016 A	80,000,000	2015/12/23	2016/05/30	12.80
24491	Credit Suisse AG – China Life European Warrants May 2016 C	80,000,000	2015/12/23	2016/05/30	12.00
24493	Credit Suisse AG – CPIC European Warrants Jul 2016 A	60,000,000	2015/12/23	2016/07/05	15.00
24495	Credit Suisse AG – Link REIT European Warrants Jul 2016 A	60,000,000	2015/12/23	2016/07/05	15.00
24486	Credit Suisse AG – Tencent Holdings European Wts Jun 2016 D	150,000,000	2015/12/23	2016/06/30	22.50
24455	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jun 2016 A	60,000,000	2015/12/23	2016/06/24	15.54
24457	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jun 2016 B	60,000,000	2015/12/23	2016/06/30	24.18
24460	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2017 A	300,000,000	2015/12/23	2017/11/27	45.90
24459	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2018 A	300,000,000	2015/12/23	2018/11/27	54.00
24496	J P Morgan SP BV – CAM CSI300 European Warrants Aug 2016 A	100,000,000	2015/12/23	2016/08/02	30.30
24464	Macquarie Bank Ltd. – China Tel European Warrants Oct 2016 A	40,000,000	2015/12/23	2016/10/04	10.00
24466	Macquarie Bank Ltd. – China Unicom European Wts Jul 2016 A	40,000,000	2015/12/23	2016/07/04	10.00
24469	Macquarie Bank Ltd. – Ping An European Warrants Nov 2016 B	40,000,000	2015/12/23	2016/11/02	10.00
24467	Macquarie Bank Ltd. – Sinopharm European Warrants Oct 2016 A	14,000,000	2015/12/23	2016/10/17	10.01
24449	Macquarie Bank Ltd. – Tencent European Warrants Jul 2016 F	25,000,000	2015/12/23	2016/07/04	10.00
24470	SGA Societe Generale Acceptance N.V. – COVS Eu Put Wt Aug16 B	40,000,000	2015/12/23	2016/08/03	12.40
24476	SGA Societe Generale Acceptance N.V. – CP&CC Eu Put Wt Aug16 C	80,000,000	2015/12/23	2016/08/03	30.00
24472	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jun16 A	100,000,000	2015/12/23	2016/06/03	38.00
24480	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Feb17 A	500,000,000	2015/12/23	2017/02/03	110.00
24451	UBS AG – HSI European Put Warrants Jun 2016 I	300,000,000	2015/12/23	2016/06/29	63.60
24452	UBS AG – HSI European Put Warrants Sep 2016 A	300,000,000	2015/12/23	2016/09/29	75.00
24448	UBS AG – Stanchart European Warrants Dec 2017 A	100,000,000	2015/12/23	2017/12/05	15.00
24515	BOCI Asia Ltd. – China Life European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/07	24.00
24499	BOCI Asia Ltd. – Galaxy Ent European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/05	22.00
24498	BOCI Asia Ltd. – HSBC European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/11	23.00
24511	BOCI Asia Ltd. – PetroChina European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/27	42.00
24514	BOCI Asia Ltd. – Ping An European Warrants Jun 2016 A	100,000,000	2015/12/24	2016/06/06	23.00
24517	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2016 H	150,000,000	2015/12/24	2016/04/28	27.45
24533	Macquarie Bank Ltd. – Lenovo European Warrants Jul 2016 A	17,000,000	2015/12/24	2016/07/05	10.03
24523	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jul16 B	50,000,000	2015/12/24	2016/07/06	18.25
24524	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Aug16 A	50,000,000	2015/12/24	2016/08/03	26.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24614	Goldman Sachs SP (Asia) – CKH European Wts Jun 2016 A	50,000,000	2015/12/28	2016/06/28	20.20
24618	Goldman Sachs SP (Asia) – CKH European Wts Aug 2016 A	50,000,000	2015/12/28	2016/08/22	14.80
24615	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2016 B	100,000,000	2015/12/28	2016/06/28	31.00
24597	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2016 A	300,000,000	2015/12/28	2016/12/05	45.60
24599	Goldman Sachs SP (Asia) – HKEx European Warrants May 2017 A	300,000,000	2015/12/28	2017/05/29	54.90
24596	Goldman Sachs SP (Asia) – Tencent European Wt Apr 2017 A	300,000,000	2015/12/28	2017/04/03	52.80
24595	J P Morgan SP BV – Guotai Junani European Warrants Oct 2016 B	60,000,000	2015/12/28	2016/10/03	56.34
24594	Macquarie Bank Ltd. – BankComm European Warrants Sep 2016 A	27,000,000	2015/12/28	2016/09/02	10.29
24589	Macquarie Bank Ltd. – CITIC Sec European Warrants Sep 2016 A	40,000,000	2015/12/28	2016/09/02	10.00
24587	Macquarie Bank Ltd. – China Mobile European Wts Oct 2016 A	40,000,000	2015/12/28	2016/10/04	10.00
24580	Macquarie Bank Ltd. – China Mobile European Put Wts Jul16 B	40,000,000	2015/12/28	2016/07/05	10.00
24593	Macquarie Bank Ltd. – A50 China European Warrants Apr 2017 A	60,000,000	2015/12/28	2017/04/04	10.08
24579	Macquarie Bank Ltd. – R&F Ppt European Warrants Mar 2017 A	40,000,000	2015/12/28	2017/03/02	10.00
24591	Macquarie Bank Ltd. – HSBC European Put Warrants Jul 2016 C	40,000,000	2015/12/28	2016/07/05	10.04
24565	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Sep 2017 A	200,000,000	2015/12/28	2017/09/04	39.00
24537	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2016 A	100,000,000	2015/12/28	2016/09/05	25.00
24568	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Aug 2017 A	100,000,000	2015/12/28	2017/08/03	25.00
24567	SGA Societe Generale Acceptance N.V. – CKP Euro Wts Aug16 A	50,000,000	2015/12/28	2016/08/03	19.75
24570	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul16 B	80,000,000	2015/12/28	2016/07/06	42.40
24571	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug16 B	80,000,000	2015/12/28	2016/08/03	20.40
24573	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2016 B	40,000,000	2015/12/28	2016/07/06	24.00
24558	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt Aug16 C	30,000,000	2015/12/28	2016/08/03	11.10
24538	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2016 A	100,000,000	2015/12/28	2016/09/05	25.00
24574	SGA Societe Generale Acceptance NV – NewWorld Dev Eu Wt Nov16 A	12,000,000	2015/12/28	2016/11/03	11.76
24575	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Nov 2016 A	60,000,000	2015/12/28	2016/11/03	15.00
24543	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Sep 2016 A	30,000,000	2015/12/28	2016/09/05	22.20
24641	Credit Suisse AG – PetroChina European Warrants Aug 2016 A	80,000,000	2015/12/29	2016/08/02	28.00
24646	Goldman Sachs SP (Asia) – Agri Bk European Wts Oct 2016 A	100,000,000	2015/12/29	2016/10/05	19.70
24624	Goldman Sachs SP (Asia) – CKH European Wts Sep 2016 A	100,000,000	2015/12/29	2016/09/26	16.20
24652	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2016 D	40,000,000	2015/12/29	2016/06/30	17.84
24619	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2016 A	300,000,000	2015/12/29	2016/11/29	51.00
24639	Goldman Sachs SP (Asia) – HSCEI European Warrants Aug 2016 A	150,000,000	2015/12/29	2016/08/30	37.50
24644	J P Morgan SP BV – New World Dev European Wts Apr 2018 A	100,000,000	2015/12/29	2018/04/03	16.80
24625	UBS AG – Galaxy Ent European Warrants May 2016 B	70,000,000	2015/12/29	2016/05/23	13.16
24629	UBS AG – Galaxy Ent European Put Warrants May 2016 C	60,000,000	2015/12/29	2016/05/27	14.34
24632	UBS AG – PetroChina European Warrants Jul 2016 A	50,000,000	2015/12/29	2016/07/18	13.00
24634	UBS AG – Sands China European Warrants Jul 2016 B	70,000,000	2015/12/29	2016/07/22	13.16
24636	UBS AG – Sands China European Put Warrants Jul 2016 C	60,000,000	2015/12/29	2016/07/11	17.10
24734	Credit Suisse AG – China Mobile European Warrants Jul 2016 B	80,000,000	2015/12/30	2016/07/04	24.80
24721	Credit Suisse AG – Tencent Holdings European Wts Sep 2016 A	150,000,000	2015/12/30	2016/09/30	22.50
24732	Credit Suisse AG – Tencent Holdings European Wts Nov 2016 B	150,000,000	2015/12/30	2016/11/30	30.00
24680	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 B	150,000,000	2015/12/30	2016/06/29	22.95
24688	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 D	150,000,000	2015/12/30	2016/06/29	41.10
24712	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2016 A	150,000,000	2015/12/30	2016/07/28	22.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
24684	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2016 C	150,000,000	2015/12/30	2016/06/29	22.50
24691	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2016 E	150,000,000	2015/12/30	2016/06/29	22.65
24674	HK Bank – Sinopec Corp European Warrants Jul 2016 B	50,000,000	2015/12/30	2016/07/29	12.50
24663	HK Bank – HSI European Warrants May 2016 F	180,000,000	2015/12/30	2016/05/30	27.00
24669	HK Bank – Stanchart European Warrants Nov 2017 A	100,000,000	2015/12/30	2017/11/30	15.00
24739	J P Morgan SP BV – Minsheng Bank European Wts Aug 2016 A	60,000,000	2015/12/30	2016/08/01	29.10
24705	Macquarie Bank Ltd. – BYD European Warrants Aug 2016 A	11,000,000	2015/12/30	2016/08/02	10.01
24707	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jul 2016 C	25,000,000	2015/12/30	2016/07/05	10.00
24709	Macquarie Bank Ltd. – A50 China European Warrants Jul 2016 B	22,000,000	2015/12/30	2016/07/05	10.10
24662	Macquarie Bank Ltd. – ICBC European Warrants Mar 2017 A	40,000,000	2015/12/30	2017/03/02	10.00
24694	Macquarie Bank Ltd. – Tencent European Put Wts Jul 2016 G	13,000,000	2015/12/30	2016/07/05	10.01
24657	Macquarie Bank Ltd. – Zijin Mining European Wts Dec 2016 A	28,000,000	2015/12/30	2016/12/02	10.28
24675	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Nov 16 A	15,000,000	2015/12/30	2016/11/03	10.05
24750	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Jun 2016 A	100,000,000	2015/12/31	2016/06/06	52.00
24751	BOCI Asia Ltd. – A50 China European Warrants Jun 2016 B	100,000,000	2015/12/31	2016/06/13	49.00
24757	Goldman Sachs SP (Asia) – CNOOC European Warrants Jul 2016 A	60,000,000	2015/12/31	2016/07/04	46.92
24760	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2016 C	60,000,000	2015/12/31	2016/06/30	46.92
24758	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jul 2016 C	100,000,000	2015/12/31	2016/07/29	15.10
24740	Macquarie Bank Ltd. – Huaneng Power European Wts Mar 2018 A	40,000,000	2015/12/31	2018/03/02	10.08
24755	Macquarie Bank Ltd. – PICC P&C European Warrants Dec 2016 A	40,000,000	2015/12/31	2016/12/02	10.00
24749	UBS AG – CNOOC European Warrants Jun 2016 C	50,000,000	2015/12/31	2016/06/23	26.50
24746	UBS AG – Sinopec Corp European Warrants Jun 2016 B	60,000,000	2015/12/31	2016/06/23	24.00
24748	UBS AG – Sinopec Corp European Warrants Jun 2016 C	60,000,000	2015/12/31	2016/06/30	18.30
總 額					27,608.36

進一步發行

主板 債務證券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2014	第4季	—	1,264.59
2015	第1季	—	1,023.80
	第2季	—	2,000.30
	第3季	—	5,208.52
	第4季	—	1,147.96

債券面值

		發行數目	面值(百萬港元)
2014	第4季	640	2,221,339.57
2015	第1季	665	2,393,288.98
	第2季	708	2,637,617.58
	第3季	736	2,750,133.27
	第4季	762	2,741,871.80

新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2014	第4季	75	267,144.56
2015	第1季	38	186,536.69
	第2季	59	307,836.43
	第3季	37	141,193.57
	第4季	43	115,190.75

主板 債務證券

新上市債券

2015年第4季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04219#	中華人民共和國香港特別行政區1.47%債券2019年	HKD 4,200,000,000	2015/10/09	2019/02/20	4,294.50
05567	天譽置業(控股)有限公司0.10%債券2031年B	HKD 40,000,000	2015/10/13	2031/11/14	-
05567#	天譽置業(控股)有限公司0.10%債券2031年B	HKD 30,000,000	2015/11/04	2031/11/14	-
04229	中華人民共和國香港特別行政區0.91%債券2020年	HKD 3,000,000,000	2015/11/06	2020/11/05	2,951.10
05568	中國清潔能源開發有限公司4.00%有擔保債券2025年	USD 500,000,000	2015/11/06	2025/11/05	3,870.17
05569	工銀國際租賃財務有限公司2.60%票據2018年A	USD 500,000,000	2015/11/11	2018/11/13	3,875.51
05570	工銀國際租賃財務有限公司浮息票據2018年B	USD 300,000,000	2015/11/11	2018/11/13	2,340.00
05571	工銀國際租賃財務有限公司3.20%票據2020年	USD 700,000,000	2015/11/11	2020/11/10	5,392.35
05572	山東黃金國際礦業投資控股有限公司2.50%信用增強債券2018年	USD 300,000,000	2015/11/16	2018/11/13	2,325.87
04062	香港金融管理局0.42%外匯基金票據2017年	HKD 1,200,000,000	2015/11/19	2017/11/20	1,198.20
05573	光滙石油(控股)有限公司5.00%可換股債券2018年	USD 12,000,000	2015/11/20	2018/11/13	93.60
05574	CSCEC Finance (Cayman) I Ltd. 2.95%有擔保票據2020年	USD 500,000,000	2015/11/20	2020/11/19	3,859.21
05575	Huarong Finance II Co., Ltd. 2.875%有擔保票據2018年	USD 500,000,000	2015/11/20	2018/11/19	3,889.55
05576	Huarong Finance II Co., Ltd. 3.75%有擔保票據2020年	USD 500,000,000	2015/11/20	2020/11/19	3,878.75
05577	Huarong Finance II Co., Ltd. 5.00%有擔保票據2025年	USD 800,000,000	2015/11/20	2025/11/19	6,224.96
05578	Profit Fast Ltd. 5.50%有擔保債券2018年	USD 300,000,000	2015/11/20	2018/11/19	2,324.09
05580	天譽置業(控股)有限公司0.10%債券2024年B	HKD 10,000,000	2015/11/20	2024/09/12	-
05579	新昌營造集團有限公司6.00%可換股債券2017年	USD 100,000,000	2015/11/23	2017/11/20	780.00
05581	啟迪科華有限公司5.375%有擔保債券2018年	USD 400,000,000	2015/11/25	2018/11/24	3,092.39
05567#	天譽置業(控股)有限公司0.10%債券2031年B	HKD 20,000,000	2015/11/26	2031/11/14	-
85721	Huarui Investment Holding Co Ltd 5.25%有擔保人民幣債券2018年	RMB 1,500,000,000	2015/11/27	2018/11/26	1,810.05
85722	中國建設銀行(亞洲)股份有限公司4.00%人民幣票據2017年	RMB 1,000,000,000	2015/11/27	2017/11/26	1,206.70
05567#	天譽置業(控股)有限公司0.10%債券2031年B	HKD 10,000,000	2015/11/27	2031/11/14	-
05580#	天譽置業(控股)有限公司0.10%債券2024年B	HKD 10,000,000	2015/11/27	2024/09/12	-
05582	NWD (MTN) Ltd. 4.375%有擔保票據2022年	USD 950,000,000	2015/12/01	2022/11/30	7,365.91
86632	中華人民共和國財政部3.29%人民幣債券2018年	RMB 7,000,000,000	2015/12/01	2018/11/30	8,481.90
86633	中華人民共和國財政部3.40%人民幣債券2020年	RMB 3,000,000,000	2015/12/01	2020/11/30	3,635.10
86634	中華人民共和國財政部3.31%人民幣債券2025年	RMB 1,000,000,000	2015/12/01	2025/11/30	1,211.70
86635	中華人民共和國財政部4.00%人民幣債券2035年	RMB 1,000,000,000	2015/12/01	2035/11/30	1,211.70
05584	寶鋼香港投資有限公司零息可交換債券2018年	USD 500,000,000	2015/12/02	2018/12/01	3,900.00
05583	東亞銀行有限公司無期限非累積次級額外一級資本證券	USD 650,000,000	2015/12/03	-	5,070.00
05587	GRAM International Ltd. 2.75%債券2020年	USD 500,000,000	2015/12/03	2020/11/02	3,878.90
05585	廣州地鐵投融資(維京)有限公司2.875%有擔保票據2018年	USD 400,000,000	2015/12/04	2018/12/03	3,114.32
05586	廣州地鐵投融資(維京)有限公司3.375%有擔保票據2020年	USD 200,000,000	2015/12/04	2020/12/03	1,552.96
05588	Alibaba Group Holding Ltd. 浮息優先票據2017年C	USD 285,200,000	2015/12/07	2017/11/28	-
05589	Alibaba Group Holding Ltd. 1.625%優先票據2017年D	USD 996,658,000	2015/12/07	2017/11/28	-
05590	Alibaba Group Holding Ltd. 2.50%優先票據2019年B	USD 2,217,290,000	2015/12/07	2019/11/28	-
05591	Alibaba Group Holding Ltd. 3.125%優先票據2021年B	USD 1,473,138,000	2015/12/07	2021/11/28	-
05592	Alibaba Group Holding Ltd. 3.60%優先票據2024年B	USD 2,233,431,000	2015/12/07	2024/11/28	-
05593	Alibaba Group Holding Ltd. 4.50%優先票據2034年B	USD 697,670,000	2015/12/07	2034/11/28	-

主 板 債 務 證 券

股份代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
05594	eHi Car Services Ltd. 7.50% 優先票據2018年	USD	200,000,000	2015/12/09	2018/12/08	1,549.74
05595	香港保融發展有限公司3.625% 票據2018年	USD	500,000,000	2015/12/10	2018/12/09	3,881.91
05596	Unigroup International Holdings Ltd. 5.25%有擔保債券2018年	USD	450,000,000	2015/12/11	2018/12/10	3,474.13
05597	Unigroup International Holdings Ltd. 6.00%有擔保債券2020年	USD	350,000,000	2015/12/11	2020/12/10	2,686.76
05598	Top Luxury Investment Ltd. 4.99%有擔保債券2040年	USD	200,000,000	2015/12/18	2040/12/17	1,518.71
05599	Bluestar Finance Holdings Ltd. 優先擔保永續證券	USD	500,000,000	2015/12/18	–	3,886.47
86636	中華人民共和國財政部3.45%人民幣債券2017年	RMB	2,000,000,000	2015/12/21	2017/12/28	2,391.20
85723	Charming Light Investments Ltd. 5.50%人民幣票據2025年	RMB	2,500,000,000	2015/12/30	2025/12/29	2,972.38
總額						115,190.75

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2014	第4季	516,125.20	24,602.84	100.91	540,828.95	10.88
2015	第1季	534,465.77	20,224.94	146.89	554,837.60	10.59
	第2季	780,241.86	25,576.54	775.90	806,594.30	8.26
	第3季	568,942.55	22,063.78	92.32	591,098.64	9.32
	第4季	287,309.24	14,162.34	88.40	301,559.98	6.72

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		房地產投資 信託基金 (REIT) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目	
2014	第4季	122	559,491.12	11	205,636.83	1	1,764.52	134	766,892.47
2015	第1季	126	566,607.94	11	207,324.24	1	2,077.14	138	776,009.32
	第2季	130	565,937.82	11	204,798.09	1	2,635.24	142	773,371.15
	第3季	131	483,672.20	11	187,754.18	1	1,739.34	143	673,165.72
	第4季	133	477,142.97	11	199,652.72	1	2,236.60	145	679,032.29

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2014	第4季	3	—
2015	第1季	5	—
	第2季	4	—
	第3季	1	—
	第4季	4	—

主板 單位信託及互惠基金

新上市單位信託及互惠基金

2015年第4季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
83129/03129	南方東英滬深300精明ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2015/10/23
03157	華夏恒生小型股指數ETF	華夏基金(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2015/10/26
03102	易亞中信里昂證券收益增長ETF	易亞投資管理有限公司	n.a.	n.a.	n.a.	n.a.	2015/11/11
03149/83149	南方東英MSCI中國A國際ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2015/11/26

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2014	第4季	1,579	55,419.48	336,442.50
2015	第1季	1,641	51,783.06	379,789.64
	第2季	1,905	84,638.00	433,656.16
	第3季	1,871	104,265.78	520,050.32
	第4季	1,630	56,424.40	503,357.75

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2014	第4季	2,482	122,022.54
2015	第1季	2,287	101,545.07
	第2季	3,066	133,613.20
	第3季	3,799	161,333.51
	第4季	2,061	87,034.75

主板 可收回牛熊證

新上市牛熊證

2015 年第 4 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69815	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/10/02	2016/11/29	62.50
69757	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/10/02	2016/11/29	62.50
69807	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2015/10/02	2016/11/29	62.50
60005	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Z	250,000,000	2015/10/02	2016/11/29	62.50
69756	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2015/10/02	2016/12/29	62.50
69776	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Z	250,000,000	2015/10/02	2016/12/29	62.50
60010	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 B	200,000,000	2015/10/02	2016/03/30	50.00
60020	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/10/02	2016/03/30	50.00
60009	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/10/02	2016/04/28	50.00
60019	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/10/02	2016/04/28	50.00
60025	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/10/02	2016/04/28	50.00
60178	Credit Suisse AG – HSCEI R Bear CBBC Jan 2016 E	100,000,000	2015/10/02	2016/01/28	25.00
60155	Credit Suisse AG – HSI R Bull CBBC Jun 2016 R	200,000,000	2015/10/02	2016/06/29	50.00
60171	Credit Suisse AG – HSI R Bull CBBC Jun 2016 S	200,000,000	2015/10/02	2016/06/29	50.00
60173	Credit Suisse AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2015/10/02	2016/06/29	50.00
60176	Credit Suisse AG – HSI R Bull CBBC Jun 2016 U	200,000,000	2015/10/02	2016/06/29	50.00
60177	Credit Suisse AG – HSI R Bull CBBC Jun 2016 V	200,000,000	2015/10/02	2016/06/29	50.00
60181	Credit Suisse AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/02	2016/01/28	50.00
60182	Credit Suisse AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/02	2016/01/28	50.00
60183	Credit Suisse AG – HSI R Bear CBBC Jan 2016 H	200,000,000	2015/10/02	2016/01/28	50.00
60186	Credit Suisse AG – HSI R Bear CBBC Jan 2016 I	200,000,000	2015/10/02	2016/01/28	50.00
60187	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/10/02	2016/03/30	50.00
60028	Bank of East Asia – CSOP A50 ETF R Bull CBBC Oct 2016 A	40,000,000	2015/10/02	2016/10/28	10.00
60026	Bank of East Asia – A50 China R Bull CBBC Oct 2016 A	40,000,000	2015/10/02	2016/10/20	10.00
60029	Bank of East Asia – HKEx R Bull CBBC Sep 2016 A	40,000,000	2015/10/02	2016/09/27	10.00
60048	Bank of East Asia – Ping An R Bull CBBC Oct 2016 A	80,000,000	2015/10/02	2016/10/27	20.00
60045	Bank of East Asia – TCH R Bull CBBC Oct 2016 A	40,000,000	2015/10/02	2016/10/26	10.00
60204	J P Morgan SP BV – HSCEI R Bull CBBC Jul 2016 L	100,000,000	2015/10/02	2016/07/28	25.00
60206	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 Q	100,000,000	2015/10/02	2016/04/28	25.00
60262	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 H	200,000,000	2015/10/02	2016/04/28	50.00
60263	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 M	200,000,000	2015/10/02	2016/10/28	50.00
69966	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 A	200,000,000	2015/10/02	2017/08/30	50.00
69933	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 A	200,000,000	2015/10/02	2017/10/30	50.00
69972	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/02	2016/01/28	50.00
69980	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/02	2016/02/26	50.00
69997	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/10/02	2016/03/30	50.00
69999	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/10/02	2016/04/28	50.00
69880	Standard Chartered Bank – HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/10/02	2016/03/30	25.00
69818	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 N	100,000,000	2015/10/02	2016/08/30	25.00
69845	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 O	150,000,000	2015/10/02	2016/08/30	37.50
69846	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 P	100,000,000	2015/10/02	2016/08/30	25.00
69883	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 E	150,000,000	2015/10/02	2016/03/30	37.50
69887	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 F	100,000,000	2015/10/02	2016/03/30	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69888	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 G	100,000,000	2015/10/02	2016/03/30	25.00
69863	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/10/02	2016/07/28	50.00
69865	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 W	200,000,000	2015/10/02	2016/07/28	50.00
69870	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/10/02	2016/07/28	50.00
69889	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Y	200,000,000	2015/10/02	2016/07/28	50.00
69904	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/10/02	2016/05/30	50.00
69911	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 W	200,000,000	2015/10/02	2016/05/30	50.00
69927	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/10/02	2016/05/30	50.00
60097	UBS AG – HSI R Bull CBBC Jun 2016 K	200,000,000	2015/10/02	2016/06/29	50.00
60067	UBS AG – HSI R Bull CBBC Jul 2016 S	200,000,000	2015/10/02	2016/07/28	50.00
60070	UBS AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/10/02	2016/07/28	50.00
60089	UBS AG – HSI R Bull CBBC Aug 2016 F	200,000,000	2015/10/02	2016/08/30	50.00
60096	UBS AG – HSI R Bull CBBC Aug 2016 G	200,000,000	2015/10/02	2016/08/30	50.00
60098	UBS AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/02	2016/01/28	50.00
60145	UBS AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/02	2016/01/28	50.00
60131	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/02	2016/02/26	50.00
60457	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 G	100,000,000	2015/10/05	2016/04/28	25.00
60455	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/10/05	2016/03/30	50.00
60333	Credit Suisse AG – HSI R Bull CBBC May 2016 B	200,000,000	2015/10/05	2016/05/30	50.00
60347	Credit Suisse AG – HSI R Bull CBBC May 2016 C	200,000,000	2015/10/05	2016/05/30	50.00
60439	Credit Suisse AG – HSI R Bull CBBC May 2016 D	200,000,000	2015/10/05	2016/05/30	50.00
60441	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/05	2016/02/26	50.00
60445	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/10/05	2016/02/26	50.00
60443	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/10/05	2016/02/26	50.00
60446	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/10/05	2016/02/26	50.00
60414	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 G	200,000,000	2015/10/05	2016/04/28	50.00
60323	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 R	200,000,000	2015/10/05	2016/04/28	50.00
60413	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 U	200,000,000	2015/10/05	2016/08/30	50.00
60408	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/05	2016/01/28	50.00
60402	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/05	2016/02/26	50.00
60409	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/10/05	2016/03/30	50.00
60386	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 G	40,000,000	2015/10/05	2016/03/30	10.00
60392	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 H	40,000,000	2015/10/05	2016/03/30	10.00
60321	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 Q	100,000,000	2015/10/05	2016/08/30	25.00
60305	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 H	150,000,000	2015/10/05	2016/03/30	37.50
60318	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 I	100,000,000	2015/10/05	2016/03/30	25.00
60265	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 S	200,000,000	2015/10/05	2016/08/30	50.00
60288	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 T	200,000,000	2015/10/05	2016/08/30	50.00
60297	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 U	200,000,000	2015/10/05	2016/08/30	50.00
60385	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/10/05	2016/05/30	50.00
60299	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 G	200,000,000	2015/10/05	2016/06/29	50.00
60375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 H	200,000,000	2015/10/05	2016/06/29	50.00
60416	UBS AG – HSI R Bull CBBC Jun 2016 O	200,000,000	2015/10/05	2016/06/29	50.00
60417	UBS AG – HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/10/05	2016/07/28	50.00
60422	UBS AG – HSI R Bull CBBC Nov 2016 G	200,000,000	2015/10/05	2016/11/29	83.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60420	UBS AG – HSI R Bull CBBC Dec 2016 A	200,000,000	2015/10/05	2016/12/29	73.00
60438	UBS AG – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/05	2016/01/28	50.00
60437	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/05	2016/02/26	50.00
60423	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/05	2016/02/26	50.00
60558	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 N	250,000,000	2015/10/06	2016/11/29	62.50
60517	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 A	250,000,000	2015/10/06	2016/12/29	62.50
60561	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/10/06	2016/04/28	50.00
60582	Credit Suisse AG – HSI R Bull CBBC Jul 2016 F	200,000,000	2015/10/06	2016/07/28	50.00
60599	Credit Suisse AG – HSI R Bull CBBC Jul 2016 G	200,000,000	2015/10/06	2016/07/28	50.00
60562	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/10/06	2016/03/30	50.00
60565	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/10/06	2016/03/30	50.00
60564	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/10/06	2016/03/30	50.00
60569	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/06	2016/03/30	50.00
60563	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/06	2016/03/30	50.00
60537	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 T	200,000,000	2015/10/06	2016/07/28	50.00
60554	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 G	200,000,000	2015/10/06	2016/12/29	50.00
60540	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 W	200,000,000	2015/10/06	2016/01/28	50.00
60547	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/10/06	2016/03/30	50.00
60555	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 R	150,000,000	2015/10/06	2016/08/30	37.50
60529	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 J	150,000,000	2015/10/06	2016/03/30	37.50
60505	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 F	200,000,000	2015/10/06	2016/07/28	50.00
60496	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Z	200,000,000	2015/10/06	2016/07/28	50.00
60482	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 V	200,000,000	2015/10/06	2016/08/30	50.00
60493	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 W	200,000,000	2015/10/06	2016/08/30	50.00
60461	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 M	200,000,000	2015/10/06	2016/09/29	50.00
60485	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 N	200,000,000	2015/10/06	2016/09/29	50.00
60516	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 G	200,000,000	2015/10/06	2016/04/28	50.00
60576	UBS AG – HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/10/06	2016/07/28	50.00
60577	UBS AG – HSI R Bull CBBC Dec 2016 B	200,000,000	2015/10/06	2016/12/29	73.00
60578	UBS AG – HSI R Bull CBBC Dec 2016 C	200,000,000	2015/10/06	2016/12/29	83.00
60579	UBS AG – HSI R Bear CBBC Jan 2016 X	200,000,000	2015/10/06	2016/01/28	50.00
60580	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/10/06	2016/02/26	50.00
60833	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Mar 2016 G	100,000,000	2015/10/07	2016/03/30	25.00
60684	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 U	250,000,000	2015/10/07	2016/11/29	77.50
60691	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 C	250,000,000	2015/10/07	2016/12/29	62.50
60682	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 F	250,000,000	2015/10/07	2016/12/29	90.00
60709	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 G	250,000,000	2015/10/07	2016/12/29	62.50
60711	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 T	250,000,000	2015/10/07	2016/12/29	62.50
60685	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 X	250,000,000	2015/10/07	2016/12/29	70.00
60817	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/10/07	2016/03/30	50.00
60815	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/07	2016/03/30	50.00
60830	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/10/07	2016/03/30	50.00
60837	BNP Paribas Arbit Issu B.V. – Petroch R Bull CBBC Mar 2016 A	60,000,000	2015/10/07	2016/03/31	15.00
60845	Credit Suisse AG – HSI R Bull CBBC Jul 2016 H	200,000,000	2015/10/07	2016/07/28	50.00
60850	Credit Suisse AG – HSI R Bull CBBC Jul 2016 I	200,000,000	2015/10/07	2016/07/28	50.00

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60873	Credit Suisse AG – HSI R Bull CBBC Jul 2016 J	200,000,000	2015/10/07	2016/07/28	50.00
60875	Credit Suisse AG – HSI R Bull CBBC Jul 2016 L	200,000,000	2015/10/07	2016/07/28	50.00
60909	Credit Suisse AG – HSI R Bull CBBC Jul 2016 M	200,000,000	2015/10/07	2016/07/28	50.00
60882	Credit Suisse AG – HSI R Bull CBBC Aug 2016 M	200,000,000	2015/10/07	2016/08/30	50.00
60884	Credit Suisse AG – HSI R Bull CBBC Aug 2016 N	200,000,000	2015/10/07	2016/08/30	50.00
60886	Credit Suisse AG – HSI R Bull CBBC Aug 2016 O	200,000,000	2015/10/07	2016/08/30	50.00
60887	Credit Suisse AG – HSI R Bull CBBC Aug 2016 P	200,000,000	2015/10/07	2016/08/30	50.00
60889	Credit Suisse AG – HSI R Bull CBBC Aug 2016 Q	200,000,000	2015/10/07	2016/08/30	50.00
60890	Credit Suisse AG – HSI R Bull CBBC Sep 2016 P	200,000,000	2015/10/07	2016/09/29	50.00
60892	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Q	200,000,000	2015/10/07	2016/09/29	50.00
60895	Credit Suisse AG – HSI R Bull CBBC Sep 2016 R	200,000,000	2015/10/07	2016/09/29	64.00
60896	Credit Suisse AG – HSI R Bull CBBC Sep 2016 S	200,000,000	2015/10/07	2016/09/29	68.00
60901	Credit Suisse AG – HSI R Bull CBBC Sep 2016 T	200,000,000	2015/10/07	2016/09/29	70.00
60902	Credit Suisse AG – HSI R Bear CBBC Jan 2016 K	200,000,000	2015/10/07	2016/01/28	50.00
60905	Credit Suisse AG – HSI R Bear CBBC Jan 2016 M	200,000,000	2015/10/07	2016/01/28	50.00
60904	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/10/07	2016/02/26	50.00
60907	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/10/07	2016/02/26	50.00
60906	Credit Suisse AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/10/07	2016/03/30	50.00
60671	J P Morgan SP BV – HSCEI R Bull CBBC Jul 2016 M	100,000,000	2015/10/07	2016/07/28	25.00
60838	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 R	100,000,000	2015/10/07	2016/04/28	25.00
60680	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 I	200,000,000	2015/10/07	2016/04/28	50.00
60672	J P Morgan SP BV – HSI R Bull CBBC Jun 2016 G	200,000,000	2015/10/07	2016/06/29	50.00
60678	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 B	200,000,000	2015/10/07	2016/07/28	50.00
60781	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/07	2016/01/28	50.00
60784	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 P	200,000,000	2015/10/07	2016/02/26	50.00
60787	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/07	2016/03/30	50.00
60791	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/10/07	2016/04/28	50.00
60799	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/10/07	2016/04/28	50.00
60963	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 G	40,000,000	2015/10/07	2016/03/30	10.00
60964	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 I	40,000,000	2015/10/07	2016/03/30	10.00
60719	Standard Chartered Bank – China Life R Bull CBBC Aug 2016 B	50,000,000	2015/10/07	2016/08/29	12.50
60712	Standard Chartered Bank – HKEx R Bull CBBC Jul 2016 B	50,000,000	2015/10/07	2016/07/11	12.50
60717	Standard Chartered Bank – HKEx R Bear CBBC May 2016 A	50,000,000	2015/10/07	2016/05/30	12.50
60653	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 S	150,000,000	2015/10/07	2016/08/30	37.50
60660	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 T	150,000,000	2015/10/07	2016/08/30	37.50
60663	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 U	150,000,000	2015/10/07	2016/08/30	37.50
60664	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 V	100,000,000	2015/10/07	2016/08/30	25.00
60721	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 K	100,000,000	2015/10/07	2016/03/30	25.00
60722	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 L	150,000,000	2015/10/07	2016/03/30	37.50
60728	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 M	100,000,000	2015/10/07	2016/03/30	25.00
60650	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 W	200,000,000	2015/10/07	2016/06/29	50.00
60649	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 G	200,000,000	2015/10/07	2016/07/28	50.00
60756	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 J	200,000,000	2015/10/07	2016/08/30	50.00
60754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 K	200,000,000	2015/10/07	2016/08/30	50.00
60751	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 L	200,000,000	2015/10/07	2016/08/30	50.00

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60752	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 M	200,000,000	2015/10/07	2016/08/30	50.00
60647	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 X	200,000,000	2015/10/07	2016/08/30	50.00
60742	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Y	200,000,000	2015/10/07	2016/08/30	50.00
60747	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Z	200,000,000	2015/10/07	2016/08/30	50.00
60638	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 O	200,000,000	2015/10/07	2016/09/29	50.00
60730	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 P	200,000,000	2015/10/07	2016/09/29	52.00
60734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Q	200,000,000	2015/10/07	2016/09/29	50.00
60735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 R	200,000,000	2015/10/07	2016/09/29	50.00
60739	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 S	200,000,000	2015/10/07	2016/09/29	50.00
60741	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 T	200,000,000	2015/10/07	2016/09/29	50.00
60765	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 B	200,000,000	2015/10/07	2016/05/30	50.00
60775	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 C	200,000,000	2015/10/07	2016/05/30	50.00
60762	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Z	200,000,000	2015/10/07	2016/05/30	50.00
60758	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 I	200,000,000	2015/10/07	2016/06/29	50.00
60945	UBS AG – HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/10/07	2016/06/29	50.00
60930	UBS AG – HSI R Bull CBBC Aug 2016 H	200,000,000	2015/10/07	2016/08/30	50.00
60931	UBS AG – HSI R Bull CBBC Aug 2016 K	200,000,000	2015/10/07	2016/08/30	50.00
60952	UBS AG – HSI R Bull CBBC Aug 2016 O	200,000,000	2015/10/07	2016/08/30	50.00
60910	UBS AG – HSI R Bull CBBC Sep 2016 P	200,000,000	2015/10/07	2016/09/29	50.00
60923	UBS AG – HSI R Bull CBBC Sep 2016 Q	200,000,000	2015/10/07	2016/09/29	50.00
60954	UBS AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/07	2016/01/28	50.00
60956	UBS AG – HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/10/07	2016/01/28	50.00
60955	UBS AG – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/10/07	2016/02/26	50.00
60959	UBS AG – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/10/07	2016/03/30	50.00
60962	UBS AG – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/10/07	2016/03/30	50.00
61036	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 V	250,000,000	2015/10/08	2016/11/29	62.50
61008	Credit Suisse AG – HSI R Bull CBBC Jun 2016 B	200,000,000	2015/10/08	2016/06/29	50.00
61002	Credit Suisse AG – HSI R Bull CBBC Jun 2016 W	200,000,000	2015/10/08	2016/06/29	50.00
61004	Credit Suisse AG – HSI R Bull CBBC Jun 2016 X	200,000,000	2015/10/08	2016/06/29	50.00
61006	Credit Suisse AG – HSI R Bull CBBC Jun 2016 Y	200,000,000	2015/10/08	2016/06/29	50.00
61001	Credit Suisse AG – HSI R Bull CBBC Dec 2016 F	200,000,000	2015/10/08	2016/12/29	90.00
60987	Credit Suisse AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/10/08	2016/04/28	50.00
61000	Credit Suisse AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/10/08	2016/04/28	50.00
60983	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/10/08	2016/04/28	50.00
60992	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/10/08	2016/04/28	50.00
61038	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 M	200,000,000	2015/10/08	2016/07/28	50.00
61044	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 V	200,000,000	2015/10/08	2016/08/30	50.00
61045	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/10/08	2016/11/29	50.00
60965	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/08	2016/02/26	50.00
60966	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/10/08	2016/03/30	50.00
61050	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/10/08	2016/04/28	50.00
60971	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 W	100,000,000	2015/10/08	2016/08/30	25.00
60982	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 X	150,000,000	2015/10/08	2016/08/30	37.50
60969	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 N	150,000,000	2015/10/08	2016/03/30	37.50
60970	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 O	150,000,000	2015/10/08	2016/03/30	37.50

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60978	Standard Chartered Bank – Ping An R Bear CBBC Apr 2016 A	50,000,000	2015/10/08	2016/04/07	12.50
60973	Standard Chartered Bank – TCH R Bear CBBC Jun 2016 B	50,000,000	2015/10/08	2016/06/13	12.50
61026	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 H	200,000,000	2015/10/08	2016/07/28	50.00
61028	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 I	200,000,000	2015/10/08	2016/07/28	50.00
61029	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 J	200,000,000	2015/10/08	2016/06/29	50.00
61030	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 K	200,000,000	2015/10/08	2016/06/29	50.00
61019	UBS AG – HSI R Bull CBBC Jun 2016 P	200,000,000	2015/10/08	2016/06/29	50.00
61018	UBS AG – HSI R Bull CBBC Jun 2016 R	200,000,000	2015/10/08	2016/06/29	50.00
61020	UBS AG – HSI R Bull CBBC Aug 2016 L	200,000,000	2015/10/08	2016/08/30	50.00
61011	UBS AG – HSI R Bear CBBC Jan 2016 A	200,000,000	2015/10/08	2016/01/28	50.00
61014	UBS AG – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/10/08	2016/02/26	50.00
61296	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 G	100,000,000	2015/10/09	2016/11/29	25.00
61254	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 F	250,000,000	2015/10/09	2016/11/29	62.50
61420	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 L	250,000,000	2015/10/09	2016/11/29	62.50
61272	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 T	250,000,000	2015/10/09	2016/11/29	62.50
61290	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 K	250,000,000	2015/10/09	2016/12/29	62.50
61266	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 U	250,000,000	2015/10/09	2016/12/29	62.50
61052	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/10/09	2016/03/30	50.00
61294	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/10/09	2016/03/30	50.00
61051	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/10/09	2016/04/28	50.00
61297	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Sep 2016 C	50,000,000	2015/10/09	2016/09/30	12.50
61399	Credit Suisse AG – HSI R Bull CBBC Apr 2016 D	200,000,000	2015/10/09	2016/04/28	50.00
61401	Credit Suisse AG – HSI R Bull CBBC Apr 2016 E	200,000,000	2015/10/09	2016/04/28	50.00
61388	Credit Suisse AG – HSI R Bull CBBC Apr 2016 L	200,000,000	2015/10/09	2016/04/28	50.00
61412	Credit Suisse AG – HSI R Bull CBBC Apr 2016 M	200,000,000	2015/10/09	2016/04/28	50.00
61397	Credit Suisse AG – HSI R Bull CBBC Apr 2016 Q	200,000,000	2015/10/09	2016/04/28	50.00
61414	Credit Suisse AG – HSI R Bull CBBC Apr 2016 S	200,000,000	2015/10/09	2016/04/28	50.00
61182	Credit Suisse AG – HSI R Bear CBBC Jan 2016 H	200,000,000	2015/10/09	2016/01/28	50.00
61167	Credit Suisse AG – HSI R Bear CBBC Jan 2016 T	200,000,000	2015/10/09	2016/01/28	50.00
61177	Credit Suisse AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/09	2016/01/28	50.00
61181	Credit Suisse AG – HSI R Bear CBBC Jan 2016 W	200,000,000	2015/10/09	2016/01/28	50.00
61222	HK Bank – HSCEI R Bull CBBC Sep 2016 G	100,000,000	2015/10/09	2016/09/29	25.00
61200	HK Bank – HSI R Bull CBBC Sep 2016 P	150,000,000	2015/10/09	2016/09/29	37.50
61218	HK Bank – HSI R Bull CBBC Oct 2016 K	150,000,000	2015/10/09	2016/10/28	37.50
61219	HK Bank – HSI R Bull CBBC Nov 2016 K	150,000,000	2015/10/09	2016/11/29	37.50
61217	HK Bank – HSI R Bear CBBC May 2016 T	100,000,000	2015/10/09	2016/05/30	25.00
61337	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 N	200,000,000	2015/10/09	2016/04/28	50.00
61342	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 U	200,000,000	2015/10/09	2016/07/28	50.00
61361	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 W	200,000,000	2015/10/09	2016/08/30	50.00
61352	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/10/09	2016/10/28	50.00
61065	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/10/09	2016/02/26	50.00
61069	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/10/09	2016/03/30	50.00
61362	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	200,000,000	2015/10/09	2016/04/28	50.00
61064	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 H	40,000,000	2015/10/09	2016/03/30	10.00
61070	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 I	40,000,000	2015/10/09	2016/03/30	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61109	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 J	40,000,000	2015/10/09	2016/03/30	10.20
61114	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 J	40,000,000	2015/10/09	2016/03/30	10.00
61244	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 A	100,000,000	2015/10/09	2016/08/30	25.00
61228	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 Y	150,000,000	2015/10/09	2016/08/30	37.50
61238	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 Z	100,000,000	2015/10/09	2016/08/30	25.00
61118	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 N	200,000,000	2015/10/09	2016/08/30	50.00
61141	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 O	200,000,000	2015/10/09	2016/08/30	50.00
61116	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 U	200,000,000	2015/10/09	2016/09/29	50.00
61053	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 D	200,000,000	2015/10/09	2016/05/30	50.00
61057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/10/09	2016/05/30	50.00
61062	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 L	200,000,000	2015/10/09	2016/06/29	50.00
61335	UBS AG – HSCEI R Bull CBBC Sep 2016 C	200,000,000	2015/10/09	2016/09/29	50.00
61306	UBS AG – HSI R Bull CBBC Jul 2016 J	200,000,000	2015/10/09	2016/07/28	50.00
61317	UBS AG – HSI R Bull CBBC Aug 2016 U	200,000,000	2015/10/09	2016/08/30	50.00
61301	UBS AG – HSI R Bear CBBC Jan 2016 C	200,000,000	2015/10/09	2016/01/28	50.00
61302	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/09	2016/02/26	50.00
66786 [#]	HK Bank – Sands China R Bull CBBC Sep 2016 A	238,000,000	2015/10/09	2016/09/26	11.90
61943	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 G	100,000,000	2015/10/12	2016/12/29	25.00
61865	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 G	250,000,000	2015/10/12	2016/11/29	62.50
61900	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 I	250,000,000	2015/10/12	2016/11/29	62.50
61896	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 J	250,000,000	2015/10/12	2016/12/29	62.50
61852	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 S	250,000,000	2015/10/12	2016/12/29	62.50
61526	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 B	200,000,000	2015/10/12	2016/03/30	50.00
61528	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/10/12	2016/03/30	50.00
61527	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/10/12	2016/04/28	50.00
61944	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 C	68,000,000	2015/10/12	2016/12/30	17.00
62098	Credit Suisse AG – BOCL R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
62023	Credit Suisse AG – China Mobile R Bull CBBC Apr 2016 A	70,000,000	2015/10/12	2016/04/29	17.50
62044	Credit Suisse AG – CCB R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
62123	Credit Suisse AG – CRRC R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
61989	Credit Suisse AG – A50 China R Bull CBBC May 2016 B	100,000,000	2015/10/12	2016/05/31	25.00
62006	Credit Suisse AG – HKEx R Bull CBBC May 2016 B	100,000,000	2015/10/12	2016/05/31	25.00
61982	Credit Suisse AG – HSCEI R Bull CBBC May 2016 D	100,000,000	2015/10/12	2016/05/30	25.00
61983	Credit Suisse AG – HSCEI R Bull CBBC May 2016 E	100,000,000	2015/10/12	2016/05/30	25.00
62183	Credit Suisse AG – HSI R Bull CBBC Jun 2016 C	200,000,000	2015/10/12	2016/06/29	50.00
62184	Credit Suisse AG – HSI R Bull CBBC Jun 2016 D	200,000,000	2015/10/12	2016/06/29	50.00
62221	Credit Suisse AG – HSI R Bull CBBC Jun 2016 E	200,000,000	2015/10/12	2016/06/29	50.00
62243	Credit Suisse AG – HSI R Bull CBBC Jun 2016 F	200,000,000	2015/10/12	2016/06/29	50.00
62149	Credit Suisse AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/12	2016/01/28	50.00
62172	Credit Suisse AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/12	2016/01/28	50.00
62140	Credit Suisse AG – HSI R Bear CBBC Jan 2016 I	200,000,000	2015/10/12	2016/01/28	50.00
62078	Credit Suisse AG – ICBC R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
61998	Credit Suisse AG – Ping An R Bull CBBC Aug 2016 A	100,000,000	2015/10/12	2016/08/31	25.00
62022	Credit Suisse AG – TCH R Bull CBBC Apr 2016 B	100,000,000	2015/10/12	2016/04/29	25.00
61488	HK Bank – AIA R Bull CBBC Oct 2016 C	60,000,000	2015/10/12	2016/10/31	22.80

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61518	HK Bank – BOCL R Bull CBBC Dec 2016 C	100,000,000	2015/10/12	2016/12/05	25.00
61481	HK Bank – China Mobile R Bull CBBC Oct 2016 E	120,000,000	2015/10/12	2016/10/31	30.00
61492	HK Bank – China Life R Bull CBBC Sep 2016 C	60,000,000	2015/10/12	2016/09/19	16.20
61496	HK Bank – CNOOC R Bull CBBC Dec 2016 E	60,000,000	2015/10/12	2016/12/05	15.00
61475	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 B	80,000,000	2015/10/12	2016/10/31	20.00
61460	HK Bank – HKEx R Bull CBBC Sep 2016 G	100,000,000	2015/10/12	2016/09/30	25.00
61472	HK Bank – HKEx R Bull CBBC Nov 2016 D	100,000,000	2015/10/12	2016/11/14	25.00
61512	HK Bank – HSCEI R Bear CBBC Oct 2016 B	68,000,000	2015/10/12	2016/10/28	17.00
61513	HK Bank – HSI R Bull CBBC Oct 2016 L	150,000,000	2015/10/12	2016/10/28	37.50
61494	HK Bank – HSI R Bull CBBC Feb 2017 A	400,000,000	2015/10/12	2017/02/27	100.00
61490	HK Bank – PetCh R Bull CBBC Dec 2016 E	60,000,000	2015/10/12	2016/12/05	15.00
61517	HK Bank – Ping An R Bull CBBC Nov 2016 K	188,000,000	2015/10/12	2016/11/14	47.00
61514	HK Bank – Ping An R Bull CBBC Dec 2016 D	188,000,000	2015/10/12	2016/12/30	47.00
61445	HK Bank – TCH R Bull CBBC Jun 2016 H	100,000,000	2015/10/12	2016/06/27	25.00
61446	HK Bank – TCH R Bull CBBC Jul 2016 M	100,000,000	2015/10/12	2016/07/11	25.00
61458	HK Bank – TCH R Bull CBBC Aug 2016 K	100,000,000	2015/10/12	2016/08/08	25.00
62244	J P Morgan SP BV – HSI R Bull CBBC Jun 2016 I	200,000,000	2015/10/12	2016/06/29	50.00
62245	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/10/12	2016/07/28	50.00
62271	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 X	200,000,000	2015/10/12	2016/08/30	50.00
62273	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 L	200,000,000	2015/10/12	2016/09/29	50.00
61530	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/12	2016/02/26	50.00
61531	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/10/12	2016/03/30	50.00
62274	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/10/12	2016/03/30	50.00
61532	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 K	40,000,000	2015/10/12	2016/03/30	10.00
61536	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 L	40,000,000	2015/10/12	2016/03/30	10.00
61520	Standard Chartered Bank – HSCEI R Bull CBBC Aug 2016 B	100,000,000	2015/10/12	2016/08/30	25.00
61573	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 B	100,000,000	2015/10/12	2016/08/30	25.00
61577	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 C	100,000,000	2015/10/12	2016/08/30	25.00
61604	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 M	150,000,000	2015/10/12	2016/08/30	37.50
61521	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 P	100,000,000	2015/10/12	2016/03/30	25.00
61523	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 Q	150,000,000	2015/10/12	2016/03/30	37.50
61524	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 R	150,000,000	2015/10/12	2016/03/30	37.50
61559	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 P	200,000,000	2015/10/12	2016/08/30	50.00
61569	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Q	200,000,000	2015/10/12	2016/08/30	50.00
61547	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 V	200,000,000	2015/10/12	2016/09/29	50.00
61568	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 W	200,000,000	2015/10/12	2016/09/29	50.00
61425	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2015/10/12	2016/05/30	50.00
61433	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2015/10/12	2016/05/30	50.00
61437	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/10/12	2016/05/30	50.00
61434	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 M	200,000,000	2015/10/12	2016/06/29	50.00
61439	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 N	200,000,000	2015/10/12	2016/06/29	50.00
61748	UBS AG – HSI R Bull CBBC Jun 2016 D	200,000,000	2015/10/12	2016/06/29	50.00
61646	UBS AG – HSI R Bull CBBC Jun 2016 U	200,000,000	2015/10/12	2016/06/29	50.00
61649	UBS AG – HSI R Bull CBBC Jun 2016 V	200,000,000	2015/10/12	2016/06/29	50.00
61720	UBS AG – HSI R Bull CBBC Jul 2016 A	200,000,000	2015/10/12	2016/07/28	50.00

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61636	UBS AG – HSI R Bull CBBC Jul 2016 K	200,000,000	2015/10/12	2016/07/28	50.00
61706	UBS AG – HSI R Bull CBBC Jul 2016 M	200,000,000	2015/10/12	2016/07/28	50.00
61650	UBS AG – HSI R Bull CBBC Sep 2016 R	200,000,000	2015/10/12	2016/09/29	50.00
61747	UBS AG – HSI R Bull CBBC Sep 2016 S	200,000,000	2015/10/12	2016/09/29	50.00
61608	UBS AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/12	2016/01/28	50.00
61610	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/12	2016/02/26	50.00
61612	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/12	2016/02/26	50.00
62626	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/10/13	2016/03/30	50.00
62615	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/10/13	2016/04/28	50.00
62867	Credit Suisse AG – HSI R Bull CBBC May 2016 L	200,000,000	2015/10/13	2016/05/30	50.00
62871	Credit Suisse AG – HSI R Bull CBBC May 2016 N	200,000,000	2015/10/13	2016/05/30	50.00
62874	Credit Suisse AG – HSI R Bull CBBC May 2016 O	200,000,000	2015/10/13	2016/05/30	50.00
63192	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/10/13	2016/02/26	50.00
63210	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/13	2016/02/26	50.00
63234	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/10/13	2016/02/26	50.00
62355	HK Bank – COVS R Bull CBBC Nov 2016 A	50,000,000	2015/10/13	2016/11/28	21.00
62444	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 G	100,000,000	2015/10/13	2016/08/15	25.00
62351	HK Bank – HS H-SH ETF R Bull CBBC Jul 2016 B	100,000,000	2015/10/13	2016/07/28	25.00
62414	HK Bank – HSCEI R Bull CBBC Aug 2016 I	100,000,000	2015/10/13	2016/08/30	25.00
62408	HK Bank – HSI R Bull CBBC Jul 2016 W	150,000,000	2015/10/13	2016/07/28	37.50
62399	HK Bank – HSI R Bull CBBC Aug 2016 N	150,000,000	2015/10/13	2016/08/30	37.50
62405	HK Bank – HSI R Bull CBBC Aug 2016 O	150,000,000	2015/10/13	2016/08/30	37.50
62394	HK Bank – HSI R Bull CBBC Sep 2016 Q	150,000,000	2015/10/13	2016/09/29	37.50
62301	HK Bank – TCH R Bull CBBC Jul 2016 N	100,000,000	2015/10/13	2016/07/25	25.00
62339	HK Bank – TCH R Bull CBBC Sep 2016 D	100,000,000	2015/10/13	2016/09/26	25.00
62722	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2016 D	100,000,000	2015/10/13	2016/09/29	25.00
62725	J P Morgan SP BV – HSI R Bull CBBC Jun 2016 J	200,000,000	2015/10/13	2016/06/29	50.00
62754	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 C	200,000,000	2015/10/13	2016/07/28	50.00
62765	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 M	200,000,000	2015/10/13	2016/09/29	50.00
62776	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 H	200,000,000	2015/10/13	2016/12/29	50.00
62778	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/13	2016/02/26	50.00
62780	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/10/13	2016/04/28	50.00
62684	Standard Chartered Bank – HKEx R Bull CBBC Jul 2016 C	50,000,000	2015/10/13	2016/07/18	12.50
62809	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 A	150,000,000	2015/10/13	2016/09/29	37.50
62810	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 B	100,000,000	2015/10/13	2016/09/29	25.00
62710	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 S	100,000,000	2015/10/13	2016/03/30	25.00
62691	Standard Chartered Bank – Ping An R Bull CBBC Aug 2016 C	50,000,000	2015/10/13	2016/08/29	12.50
62709	Standard Chartered Bank – Ping An R Bear CBBC Apr 2016 B	50,000,000	2015/10/13	2016/04/11	12.50
62679	Standard Chartered Bank – TCH R Bull CBBC Jun 2016 B	50,000,000	2015/10/13	2016/06/30	12.50
62682	Standard Chartered Bank – TCH R Bear CBBC Jun 2016 C	50,000,000	2015/10/13	2016/06/20	12.50
62627	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 X	200,000,000	2015/10/13	2016/09/29	50.00
62633	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Y	200,000,000	2015/10/13	2016/09/29	50.00
62671	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2015/10/13	2016/05/30	50.00
62677	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 O	200,000,000	2015/10/13	2016/06/29	50.00
62905	UBS AG – CAM CSI300 R Bull CBBC Aug 2016 B	100,000,000	2015/10/13	2016/08/22	25.00

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62956	UBS AG – China Mobile R Bull CBBC Jun 2016 A	50,000,000	2015/10/13	2016/06/20	12.50
62961	UBS AG – China Mobile R Bear CBBC Apr 2016 B	50,000,000	2015/10/13	2016/04/25	12.50
62963	UBS AG – A50 China R Bull CBBC Jun 2016 B	50,000,000	2015/10/13	2016/06/20	12.50
63079	UBS AG – HSCEI R Bull CBBC Aug 2016 A	200,000,000	2015/10/13	2016/08/30	50.00
63068	UBS AG – HSI R Bull CBBC Jun 2016 W	200,000,000	2015/10/13	2016/06/29	50.00
62964	UBS AG – HSI R Bull CBBC Jun 2016 X	200,000,000	2015/10/13	2016/06/29	50.00
63074	UBS AG – HSI R Bull CBBC Jul 2016 B	200,000,000	2015/10/13	2016/07/28	50.00
63047	UBS AG – HSI R Bull CBBC Jul 2016 H	200,000,000	2015/10/13	2016/07/28	50.00
62991	UBS AG – HSI R Bull CBBC Aug 2016 W	200,000,000	2015/10/13	2016/08/30	50.00
62892	UBS AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/13	2016/01/28	50.00
63093	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/10/13	2016/02/26	50.00
62929	UBS AG – TCH R Bull CBBC Jun 2016 C	50,000,000	2015/10/13	2016/06/13	12.50
62954	UBS AG – Tencent R Bear CBBC Apr 2016 B	50,000,000	2015/10/13	2016/04/25	12.50
60653 [#]	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 S	150,000,000	2015/10/13	2016/08/30	10.05
63476	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Mar16 H	100,000,000	2015/10/14	2016/03/31	25.00
63490	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2016 G	100,000,000	2015/10/14	2016/03/31	25.00
63448	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 H	100,000,000	2015/10/14	2016/11/29	25.00
63978	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 H	100,000,000	2015/10/14	2016/12/29	25.00
63936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 M	250,000,000	2015/10/14	2016/11/29	62.50
63939	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 O	250,000,000	2015/10/14	2016/11/29	62.50
63974	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/10/14	2016/11/29	62.50
63423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 N	250,000,000	2015/10/14	2016/12/29	62.50
63938	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 R	250,000,000	2015/10/14	2016/12/29	62.50
63973	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Y	250,000,000	2015/10/14	2016/12/29	62.50
63432	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/10/14	2016/03/30	50.00
63734	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/14	2016/03/30	50.00
63433	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/10/14	2016/04/28	50.00
63431	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/10/14	2016/04/28	50.00
63503	BNP Paribas Arbit Issu B.V. – Petroch R Bull CBBC Mar 2016 B	60,000,000	2015/10/14	2016/03/31	15.00
63869	Credit Suisse AG – HSCEI R Bull CBBC Jun 2016 C	100,000,000	2015/10/14	2016/06/29	25.00
63865	Credit Suisse AG – HSCEI R Bull CBBC Jul 2016 C	100,000,000	2015/10/14	2016/07/28	25.00
63848	Credit Suisse AG – HSI R Bull CBBC Jul 2016 N	200,000,000	2015/10/14	2016/07/28	50.00
63818	Credit Suisse AG – HSI R Bull CBBC Jul 2016 O	200,000,000	2015/10/14	2016/07/28	50.00
63821	Credit Suisse AG – HSI R Bull CBBC Jul 2016 P	200,000,000	2015/10/14	2016/07/28	50.00
63826	Credit Suisse AG – HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/10/14	2016/07/28	50.00
63824	Credit Suisse AG – HSI R Bull CBBC Jul 2016 R	200,000,000	2015/10/14	2016/07/28	50.00
63825	Credit Suisse AG – HSI R Bull CBBC Jul 2016 S	200,000,000	2015/10/14	2016/07/28	50.00
63724	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/10/14	2016/03/30	50.00
63708	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/10/14	2016/03/30	50.00
63709	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/10/14	2016/03/30	50.00
63719	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/10/14	2016/03/30	50.00
63722	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/10/14	2016/03/30	50.00
63291	HK Bank – CM Bank R Bull CBBC Oct 2016 C	40,000,000	2015/10/14	2016/10/31	11.20
63290	HK Bank – CNOOC R Bull CBBC Nov 2016 D	60,000,000	2015/10/14	2016/11/21	15.00
63287	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 C	80,000,000	2015/10/14	2016/10/24	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63289	HK Bank – HKEx R Bull CBBC Sep 2016 H	100,000,000	2015/10/14	2016/09/23	25.00
63564	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2016 E	100,000,000	2015/10/14	2016/09/29	25.00
63571	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 S	100,000,000	2015/10/14	2016/04/28	25.00
63979	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 W	200,000,000	2015/10/14	2016/07/28	50.00
63985	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/10/14	2016/08/30	50.00
64001	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 N	200,000,000	2015/10/14	2016/09/29	50.00
64012	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/10/14	2016/10/28	50.00
64026	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/10/14	2016/11/29	50.00
63655	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/10/14	2016/02/26	50.00
63377	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/10/14	2016/02/26	50.00
64027	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/10/14	2016/02/26	50.00
64034	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/10/14	2016/03/30	50.00
64035	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/10/14	2016/04/28	50.00
63677	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 M	40,000,000	2015/10/14	2016/03/30	10.00
63688	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 N	40,000,000	2015/10/14	2016/03/30	10.20
63689	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 K	40,000,000	2015/10/14	2016/03/30	10.00
63285	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 C	150,000,000	2015/10/14	2016/09/29	37.50
63787	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 D	150,000,000	2015/10/14	2016/09/29	37.50
63788	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 E	150,000,000	2015/10/14	2016/09/29	37.50
63797	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 F	150,000,000	2015/10/14	2016/09/29	37.50
63262	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 T	150,000,000	2015/10/14	2016/03/30	37.50
63418	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 U	150,000,000	2015/10/14	2016/03/30	37.50
63551	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 V	150,000,000	2015/10/14	2016/03/30	37.50
63701	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Q	200,000,000	2015/10/14	2016/07/28	50.00
63800	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/10/14	2016/07/28	50.00
63306	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 R	200,000,000	2015/10/14	2016/08/30	50.00
63705	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 J	200,000,000	2015/10/14	2016/09/29	50.00
63799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2015/10/14	2016/09/29	50.00
63816	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/10/14	2016/09/29	50.00
63292	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Z	200,000,000	2015/10/14	2016/09/29	50.00
63350	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 L	200,000,000	2015/10/14	2016/05/30	50.00
63333	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 P	200,000,000	2015/10/14	2016/06/29	50.00
63894	UBS AG – HSCEI R Bull CBBC Jul 2016 A	200,000,000	2015/10/14	2016/07/28	50.00
63911	UBS AG – HSCEI R Bear CBBC Jan 2016 B	100,000,000	2015/10/14	2016/01/28	25.00
63920	UBS AG – HSI R Bull CBBC Jul 2016 D	200,000,000	2015/10/14	2016/07/28	50.00
63916	UBS AG – HSI R Bull CBBC Aug 2016 P	200,000,000	2015/10/14	2016/08/30	50.00
63929	UBS AG – HSI R Bull CBBC Aug 2016 V	200,000,000	2015/10/14	2016/08/30	50.00
63913	UBS AG – HSI R Bull CBBC Sep 2016 T	200,000,000	2015/10/14	2016/09/29	50.00
63919	UBS AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/10/14	2016/09/29	50.00
63924	UBS AG – HSI R Bull CBBC Sep 2016 V	200,000,000	2015/10/14	2016/09/29	50.00
63878	UBS AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/14	2016/01/28	50.00
63910	UBS AG – HSI R Bear CBBC Jan 2016 X	200,000,000	2015/10/14	2016/01/28	50.00
63901	UBS AG – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/14	2016/01/28	50.00
63880	UBS AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/10/14	2016/02/26	50.00
64284	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 B	40,000,000	2015/10/15	2016/09/30	10.00

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64283	BNP Paribas Arbit Issu B.V. – HS H ETF R Bull CBBC Mar 2016A	60,000,000	2015/10/15	2016/03/31	15.00
64285	Credit Suisse AG – HSI R Bull CBBC Aug 2016 R	200,000,000	2015/10/15	2016/08/30	50.00
64287	Credit Suisse AG – HSI R Bull CBBC Aug 2016 S	200,000,000	2015/10/15	2016/08/30	50.00
64288	Credit Suisse AG – HSI R Bull CBBC Aug 2016 T	200,000,000	2015/10/15	2016/08/30	50.00
64297	Credit Suisse AG – HSI R Bull CBBC Aug 2016 U	200,000,000	2015/10/15	2016/08/30	50.00
64316	Credit Suisse AG – HSI R Bull CBBC Aug 2016 V	200,000,000	2015/10/15	2016/08/30	50.00
64317	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/10/15	2016/02/26	50.00
64322	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/10/15	2016/02/26	50.00
64157	HK Bank – China Life R Bull CBBC Sep 2016 D	60,000,000	2015/10/15	2016/09/19	15.00
64066	HK Bank – CNOOC R Bull CBBC Nov 2016 E	60,000,000	2015/10/15	2016/11/21	15.00
64111	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 C	80,000,000	2015/10/15	2016/11/21	20.00
64171	HK Bank – A50 R Bull CBBC Dec 2016 C	100,000,000	2015/10/15	2016/12/28	25.00
64052	HK Bank – HKEx R Bull CBBC Oct 2016 K	100,000,000	2015/10/15	2016/10/17	25.00
64043	HK Bank – HSCEI R Bull CBBC Aug 2016 J	100,000,000	2015/10/15	2016/08/30	25.00
64040	HK Bank – HSCEI R Bull CBBC Oct 2016 H	100,000,000	2015/10/15	2016/10/28	25.00
64036	HK Bank – HSI R Bull CBBC Sep 2016 R	150,000,000	2015/10/15	2016/09/29	37.50
64038	HK Bank – HSI R Bull CBBC Oct 2016 M	150,000,000	2015/10/15	2016/10/28	37.50
64057	HK Bank – PetCh R Bull CBBC Nov 2016 C	60,000,000	2015/10/15	2016/11/28	15.00
64051	HK Bank – TCH R Bull CBBC Sep 2016 E	100,000,000	2015/10/15	2016/09/12	25.00
64219	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/10/15	2016/08/30	50.00
64236	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 O	200,000,000	2015/10/15	2016/09/29	50.00
64242	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/10/15	2016/10/28	50.00
64247	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/10/15	2016/11/29	50.00
64324	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/10/15	2016/03/30	50.00
64328	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/10/15	2016/04/28	50.00
64209	Standard Chartered Bank – HSCEI R Bull CBBC Sep 2016 A	100,000,000	2015/10/15	2016/09/29	25.00
64195	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 G	150,000,000	2015/10/15	2016/09/29	37.50
64208	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 H	150,000,000	2015/10/15	2016/09/29	37.50
64282	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 W	150,000,000	2015/10/15	2016/03/30	37.50
64178	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 X	200,000,000	2015/10/15	2016/06/29	50.00
64182	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Y	200,000,000	2015/10/15	2016/06/29	50.00
64186	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 O	200,000,000	2015/10/15	2016/07/28	50.00
64181	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 P	200,000,000	2015/10/15	2016/07/28	50.00
64192	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 J	200,000,000	2015/10/15	2016/05/30	50.00
64187	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 M	200,000,000	2015/10/15	2016/05/30	50.00
64189	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 N	200,000,000	2015/10/15	2016/05/30	50.00
64193	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 O	200,000,000	2015/10/15	2016/05/30	50.00
64194	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 P	200,000,000	2015/10/15	2016/05/30	50.00
64329	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Q	200,000,000	2015/10/15	2016/05/30	50.00
64274	UBS AG – HSCEI R Bear CBBC Feb 2016 A	100,000,000	2015/10/15	2016/02/26	25.00
64260	UBS AG – HSI R Bull CBBC Jun 2016 H	200,000,000	2015/10/15	2016/06/29	50.00
64249	UBS AG – HSI R Bull CBBC Jun 2016 I	200,000,000	2015/10/15	2016/06/29	50.00
64269	UBS AG – HSI R Bull CBBC Aug 2016 X	200,000,000	2015/10/15	2016/08/30	50.00
64259	UBS AG – HSI R Bull CBBC Sep 2016 W	200,000,000	2015/10/15	2016/09/29	50.00
64266	UBS AG – HSI R Bull CBBC Sep 2016 X	200,000,000	2015/10/15	2016/09/29	50.00

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64276	UBS AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/15	2016/01/28	50.00
64275	UBS AG – Ping An R Bull CBBC Jul 2016 A	100,000,000	2015/10/15	2016/07/11	25.00
64451	Credit Suisse AG – HSI R Bull CBBC Jun 2016 G	200,000,000	2015/10/16	2016/06/29	50.00
64457	Credit Suisse AG – HSI R Bull CBBC Jun 2016 L	200,000,000	2015/10/16	2016/06/29	50.00
64458	Credit Suisse AG – HSI R Bull CBBC Jun 2016 M	200,000,000	2015/10/16	2016/06/29	50.00
64455	Credit Suisse AG – HSI R Bull CBBC Jun 2016 O	200,000,000	2015/10/16	2016/06/29	50.00
64452	Credit Suisse AG – HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/10/16	2016/06/29	50.00
64395	Credit Suisse AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/16	2016/01/28	50.00
64394	Credit Suisse AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/16	2016/01/28	50.00
64397	Credit Suisse AG – HSI R Bear CBBC Jan 2016 K	200,000,000	2015/10/16	2016/01/28	50.00
64471	J P Morgan SP BV – HSCEI R Bull CBBC Nov 2016 B	100,000,000	2015/10/16	2016/11/29	25.00
64472	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 T	100,000,000	2015/10/16	2016/04/28	25.00
64481	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/10/16	2016/07/28	50.00
64482	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 A	200,000,000	2015/10/16	2016/08/30	50.00
64464	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 P	200,000,000	2015/10/16	2016/09/29	50.00
64475	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/10/16	2016/10/28	50.00
64379	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/10/16	2016/02/26	50.00
64380	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/10/16	2016/04/28	50.00
64484	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/10/16	2016/04/28	50.00
64459	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 O	40,000,000	2015/10/16	2016/03/30	10.00
64343	Standard Chartered Bank – A50 China R Bull CBBC Jul 2016 C	50,000,000	2015/10/16	2016/07/04	12.50
64330	Standard Chartered Bank – HKEx R Bull CBBC Jul 2016 D	50,000,000	2015/10/16	2016/07/25	12.50
64340	Standard Chartered Bank – HKEx R Bear CBBC Mar 2016 E	50,000,000	2015/10/16	2016/03/14	12.50
64381	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 I	150,000,000	2015/10/16	2016/09/29	37.50
64383	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 J	150,000,000	2015/10/16	2016/09/29	37.50
64345	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 X	150,000,000	2015/10/16	2016/03/30	37.50
64390	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 B	200,000,000	2015/10/16	2016/06/29	50.00
64389	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Z	200,000,000	2015/10/16	2016/06/29	50.00
64384	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 S	200,000,000	2015/10/16	2016/07/28	50.00
64388	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 T	200,000,000	2015/10/16	2016/07/28	50.00
64392	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 U	200,000,000	2015/10/16	2016/07/28	50.00
64393	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/10/16	2016/07/28	50.00
64378	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 R	200,000,000	2015/10/16	2016/05/30	50.00
64369	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/10/16	2016/05/30	50.00
64375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 W	200,000,000	2015/10/16	2016/05/30	50.00
64356	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Q	200,000,000	2015/10/16	2016/06/29	50.00
64360	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2015/10/16	2016/06/29	50.00
64361	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2015/10/16	2016/06/29	50.00
64362	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 T	200,000,000	2015/10/16	2016/06/29	50.00
64364	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 U	200,000,000	2015/10/16	2016/06/29	50.00
64546	UBS AG – CSOP A50 ETF R Bull CBBC May 2016 B	50,000,000	2015/10/16	2016/05/23	12.50
64535	UBS AG – HSI R Bull CBBC Jun 2016 S	200,000,000	2015/10/16	2016/06/29	50.00
64537	UBS AG – HSI R Bull CBBC Jul 2016 E	200,000,000	2015/10/16	2016/07/28	50.00
64538	UBS AG – HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/10/16	2016/08/30	50.00
64545	UBS AG – HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/10/16	2016/08/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64534	UBS AG – HSI R Bull CBBC Sep 2016 Y	200,000,000	2015/10/16	2016/09/29	50.00
64536	UBS AG – HSI R Bull CBBC Sep 2016 Z	200,000,000	2015/10/16	2016/09/29	50.00
64487	UBS AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/16	2016/01/28	50.00
64527	UBS AG – HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/10/16	2016/01/28	50.00
64505	UBS AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/10/16	2016/02/26	50.00
64679	Credit Suisse AG – HSI R Bull CBBC May 2016 P	200,000,000	2015/10/19	2016/05/30	50.00
64683	Credit Suisse AG – HSI R Bull CBBC May 2016 Q	200,000,000	2015/10/19	2016/05/30	50.00
64685	Credit Suisse AG – HSI R Bull CBBC May 2016 R	200,000,000	2015/10/19	2016/05/30	50.00
64658	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/10/19	2016/02/26	50.00
64667	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/10/19	2016/02/26	50.00
64551	HK Bank – BOCL R Bull CBBC Nov 2016 B	100,000,000	2015/10/19	2016/11/28	25.00
64567	HK Bank – BOCL R Bull CBBC Dec 2016 D	100,000,000	2015/10/19	2016/12/19	25.00
64571	HK Bank – CGN Power R Bull CBBC Nov 2016 A	40,000,000	2015/10/19	2016/11/28	10.00
64570	HK Bank – CITIC Sec R Bull CBBC Oct 2016 B	60,000,000	2015/10/19	2016/10/24	24.60
64590	HK Bank – CRRCL R Bull CBBC Jul 2016 A	40,000,000	2015/10/19	2016/07/25	10.00
64588	HK Bank – HS H-SH ETF R Bull CBBC Jul 2016 C	100,000,000	2015/10/19	2016/07/28	25.00
64548	HK Bank – HSI R Bull CBBC Aug 2016 P	150,000,000	2015/10/19	2016/08/30	37.50
64594	HK Bank – HSI R Bull CBBC Nov 2016 L	400,000,000	2015/10/19	2016/11/29	100.00
64592	HK Bank – HSI R Bear CBBC Apr 2016 W	100,000,000	2015/10/19	2016/04/28	25.00
64591	HK Bank – Haitong Sec R Bull CBBC Aug 2016 C	60,000,000	2015/10/19	2016/08/22	16.20
64550	HK Bank – TCH R Bull CBBC Jun 2016 I	100,000,000	2015/10/19	2016/06/27	25.00
64630	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/10/19	2016/07/28	50.00
64633	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/10/19	2016/11/29	50.00
64634	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/10/19	2016/12/29	50.00
64624	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/19	2016/03/30	50.00
64648	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/10/19	2016/04/28	50.00
64616	Standard Chartered Bank – HSCEI R Bull CBBC Sep 2016 B	100,000,000	2015/10/19	2016/09/29	25.00
64655	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 K	150,000,000	2015/10/19	2016/09/29	37.50
64606	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 Y	150,000,000	2015/10/19	2016/03/30	37.50
64613	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 Z	150,000,000	2015/10/19	2016/03/30	37.50
64602	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 C	400,000,000	2015/10/19	2016/06/29	100.00
64620	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 D	200,000,000	2015/10/19	2016/06/29	50.00
64604	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 W	400,000,000	2015/10/19	2016/07/28	100.00
64605	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 H	200,000,000	2015/10/19	2016/04/28	50.00
64689	UBS AG – CAM CSI300 R Bull CBBC Sep 2016 C	100,000,000	2015/10/19	2016/09/05	25.00
64688	UBS AG – HSCEI R Bull CBBC Aug 2016 B	200,000,000	2015/10/19	2016/08/30	50.00
64690	UBS AG – HSI R Bull CBBC Jun 2016 A	200,000,000	2015/10/19	2016/06/29	50.00
64692	UBS AG – HSI R Bull CBBC Jul 2016 L	200,000,000	2015/10/19	2016/07/28	50.00
64686	UBS AG – HSI R Bear CBBC Jan 2016 A	200,000,000	2015/10/19	2016/01/28	50.00
64695	UBS AG – TCH R Bull CBBC Jul 2016 A	50,000,000	2015/10/19	2016/07/18	12.50
64749	Credit Suisse AG – HKEx R Bull CBBC Jun 2016 B	100,000,000	2015/10/20	2016/06/30	25.00
64759	Credit Suisse AG – HSI R Bull CBBC Apr 2016 T	200,000,000	2015/10/20	2016/04/28	50.00
64769	Credit Suisse AG – HSI R Bull CBBC Apr 2016 U	200,000,000	2015/10/20	2016/04/28	50.00
64784	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/10/20	2016/03/30	50.00
64796	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/20	2016/03/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64751	Credit Suisse AG – ICBC R Bull CBBC Aug 2016 A	100,000,000	2015/10/20	2016/08/31	25.00
64714	HK Bank – AIA R Bull CBBC Jun 2016 B	60,000,000	2015/10/20	2016/06/27	24.60
64712	HK Bank – AIA R Bear CBBC Jun 2016 B	40,000,000	2015/10/20	2016/06/27	10.00
64717	HK Bank – CAM CSI300 R Bull CBBC Sep 2016 E	100,000,000	2015/10/20	2016/09/12	42.00
64719	HK Bank – CSOP A50 ETF R Bull CBBC Jul 2016 H	100,000,000	2015/10/20	2016/07/18	25.00
64715	HK Bank – Evergrande R Bull CBBC Nov 2016 C	60,000,000	2015/10/20	2016/11/21	15.00
64713	HK Bank – A50 R Bull CBBC Sep 2016 C	100,000,000	2015/10/20	2016/09/26	25.00
64706	HK Bank – Gome R Bull CBBC Dec 2016 B	40,000,000	2015/10/20	2016/12/19	17.20
64705	HK Bank – HKEx R Bull CBBC Oct 2016 L	100,000,000	2015/10/20	2016/10/07	25.00
64704	HK Bank – HSI R Bull CBBC Sep 2016 S	150,000,000	2015/10/20	2016/09/29	37.50
64723	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 O	200,000,000	2015/10/20	2016/11/29	50.00
64807	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/10/20	2016/11/29	50.00
64805	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 D	200,000,000	2015/10/20	2016/03/30	50.00
64806	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/10/20	2016/04/28	50.00
64720	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 F	150,000,000	2015/10/20	2016/03/30	37.50
64728	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 E	200,000,000	2015/10/20	2016/06/29	50.00
64737	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 J	200,000,000	2015/10/20	2016/04/28	50.00
64811	UBS AG – HSI R Bull CBBC Aug 2016 E	200,000,000	2015/10/20	2016/08/30	50.00
64812	UBS AG – HSI R Bear CBBC Jan 2016 C	200,000,000	2015/10/20	2016/01/28	50.00
64843	Credit Suisse AG – HSI R Bull CBBC Aug 2016 W	200,000,000	2015/10/22	2016/08/30	50.00
64865	Credit Suisse AG – HSI R Bear CBBC Jan 2016 H	200,000,000	2015/10/22	2016/01/28	50.00
64860	Credit Suisse AG – HSI R Bear CBBC Jan 2016 M	200,000,000	2015/10/22	2016/01/28	50.00
64935	Bank of East Asia – China Mobile R Bull CBBC Dec 2016 A	40,000,000	2015/10/22	2016/12/09	10.00
64947	Bank of East Asia – China Mobile R Bear CBBC Feb 2017 A	40,000,000	2015/10/22	2017/02/03	10.00
64987	Bank of East Asia – CSOP A50 ETF R Bull CBBC Dec 2016 A	40,000,000	2015/10/22	2016/12/22	10.00
64983	Bank of East Asia – A50 China R Bull CBBC Dec 2016 A	40,000,000	2015/10/22	2016/12/23	10.00
64954	Bank of East Asia – Haitong Sec R Bull CBBC Nov 2016 A	40,000,000	2015/10/22	2016/11/17	12.40
64837	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 A	150,000,000	2015/10/22	2016/07/28	38.10
64830	HK Bank – ABC R Bull CBBC Oct 2016 D	80,000,000	2015/10/22	2016/10/31	20.00
64831	HK Bank – ABC R Bull CBBC Dec 2016 A	80,000,000	2015/10/22	2016/12/05	20.00
64818	HK Bank – CC Bank R Bull CBBC Nov 2016 D	100,000,000	2015/10/22	2016/11/21	25.00
64828	HK Bank – CC Bank R Bull CBBC Nov 2016 E	100,000,000	2015/10/22	2016/11/14	25.00
64813	HK Bank – CC Bank R Bull CBBC Dec 2016 D	100,000,000	2015/10/22	2016/12/23	25.00
64815	HK Bank – CC Bank R Bull CBBC Dec 2016 E	100,000,000	2015/10/22	2016/12/05	25.00
64911	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/10/22	2016/10/28	50.00
64881	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/10/22	2016/12/29	50.00
64888	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 P	200,000,000	2015/10/22	2016/02/26	50.00
64883	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/10/22	2016/04/28	50.00
64833	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 L	150,000,000	2015/10/22	2016/09/29	37.50
64838	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 G	150,000,000	2015/10/22	2016/03/30	37.50
64834	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/10/22	2016/09/29	50.00
64836	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 K	400,000,000	2015/10/22	2016/04/28	100.00
64916	UBS AG – HSI R Bull CBBC Jul 2016 N	200,000,000	2015/10/22	2016/07/28	50.00
65166	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jun 2016 B	100,000,000	2015/10/23	2016/06/30	25.00
65179	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Mar 2016 A	100,000,000	2015/10/23	2016/03/31	25.00

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65239	Credit Suisse AG – HKEx R Bear CBBC Feb 2016 A	80,000,000	2015/10/23	2016/02/26	20.00
65240	Credit Suisse AG – HKEx R Bear CBBC Mar 2016 B	80,000,000	2015/10/23	2016/03/31	21.60
65271	Credit Suisse AG – HSI R Bull CBBC Jul 2016 T	200,000,000	2015/10/23	2016/07/28	50.00
65290	Credit Suisse AG – HSI R Bull CBBC Jul 2016 U	200,000,000	2015/10/23	2016/07/28	50.00
65302	Credit Suisse AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/10/23	2016/07/28	50.00
65154	Credit Suisse AG – HSI R Bear CBBC Jan 2016 T	200,000,000	2015/10/23	2016/01/28	50.00
65155	Credit Suisse AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/23	2016/01/28	50.00
65010	HK Bank – HSI R Bull CBBC Oct 2016 N	400,000,000	2015/10/23	2016/10/28	100.00
65011	HK Bank – HSI R Bear CBBC May 2016 U	100,000,000	2015/10/23	2016/05/30	25.00
65009	HK Bank – HSI R Bear CBBC Oct 2016 E	400,000,000	2015/10/23	2016/10/28	100.00
65004	HK Bank – HSI R Bear CBBC Dec 2016 D	400,000,000	2015/10/23	2016/12/29	100.00
65366	J P Morgan SP BV – HSI R Bull CBBC Mar 2016 A	200,000,000	2015/10/23	2016/03/30	50.00
65339	J P Morgan SP BV – HSI R Bull CBBC Mar 2016 B	200,000,000	2015/10/23	2016/03/30	50.00
65322	J P Morgan SP BV – HSI R Bull CBBC Mar 2016 F	200,000,000	2015/10/23	2016/03/30	50.00
65145	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 E	200,000,000	2015/10/23	2016/03/30	50.00
65144	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/10/23	2016/04/28	50.00
65147	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 P	40,000,000	2015/10/23	2016/03/30	10.00
65153	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 Q	40,000,000	2015/10/23	2016/03/30	10.00
65146	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 M	150,000,000	2015/10/23	2016/09/29	37.50
65026	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 E	200,000,000	2015/10/23	2016/05/30	50.00
65045	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 A	200,000,000	2015/10/23	2016/06/29	50.00
65072	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 B	200,000,000	2015/10/23	2016/05/30	50.00
65077	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 C	200,000,000	2015/10/23	2016/05/30	50.00
65103	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 E	200,000,000	2015/10/23	2016/05/30	50.00
65049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2015/10/23	2016/05/30	50.00
65059	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 U	200,000,000	2015/10/23	2016/05/30	50.00
65017	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/10/23	2016/05/30	50.00
65020	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/10/23	2016/05/30	50.00
65133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Z	200,000,000	2015/10/23	2016/05/30	50.00
65373	UBS AG – HKEx R Bull CBBC Sep 2016 C	50,000,000	2015/10/23	2016/09/12	12.50
65381	UBS AG – HSCEI R Bear CBBC Feb 2016 B	100,000,000	2015/10/23	2016/02/26	25.00
65401	UBS AG – HSI R Bull CBBC Jun 2016 F	200,000,000	2015/10/23	2016/06/29	50.00
65367	UBS AG – HSI R Bear CBBC Jan 2016 N	200,000,000	2015/10/23	2016/01/28	50.00
65369	UBS AG – HSI R Bear CBBC Jan 2016 R	200,000,000	2015/10/23	2016/01/28	50.00
65608	Credit Suisse AG – HSI R Bull CBBC Jun 2016 N	200,000,000	2015/10/26	2016/06/29	50.00
65614	Credit Suisse AG – HSI R Bull CBBC Jun 2016 P	200,000,000	2015/10/26	2016/06/29	50.00
65601	Credit Suisse AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/26	2016/01/28	50.00
65576	Credit Suisse AG – HSI R Bear CBBC Jan 2016 W	200,000,000	2015/10/26	2016/01/28	50.00
65567	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/10/26	2016/02/26	50.00
65581	Credit Suisse AG – TCH R Bear CBBC Feb 2016 A	80,000,000	2015/10/26	2016/02/26	21.60
65439	HK Bank – ABC R Bull CBBC Nov 2016 B	80,000,000	2015/10/26	2016/11/14	20.00
65424	HK Bank – CAM CSI300 R Bull CBBC Sep 2016 F	100,000,000	2015/10/26	2016/09/05	25.00
65485	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 D	80,000,000	2015/10/26	2016/10/17	20.00
65447	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 H	100,000,000	2015/10/26	2016/08/08	25.00
65448	HK Bank – HKEx R Bull CBBC Sep 2016 I	100,000,000	2015/10/26	2016/09/19	25.00

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65509	HK Bank – HSCEI R Bull CBBC Sep 2016 H	100,000,000	2015/10/26	2016/09/29	25.00
65410	HK Bank – HSI R Bull CBBC Oct 2016 O	150,000,000	2015/10/26	2016/10/28	37.50
65507	HK Bank – HSI R Bull CBBC Oct 2016 P	400,000,000	2015/10/26	2016/10/28	100.00
65501	HK Bank – PetCh R Bull CBBC Nov 2016 D	60,000,000	2015/10/26	2016/11/21	15.00
65496	HK Bank – TCH R Bull CBBC Sep 2016 F	100,000,000	2015/10/26	2016/09/05	25.00
65711	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 B	200,000,000	2015/10/26	2016/08/30	50.00
65714	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Q	200,000,000	2015/10/26	2016/11/29	50.00
65557	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/10/26	2016/02/26	50.00
65561	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/10/26	2016/04/28	50.00
65735	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/10/26	2016/04/28	50.00
65566	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 L	40,000,000	2015/10/26	2016/03/30	10.00
65565	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 N	150,000,000	2015/10/26	2016/09/29	37.50
65534	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 B	150,000,000	2015/10/26	2016/03/30	37.50
65539	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 C	150,000,000	2015/10/26	2016/03/30	37.50
65541	Standard Chartered Bank – Ping An R Bull CBBC Aug 2016 D	100,000,000	2015/10/26	2016/08/31	25.00
65542	Standard Chartered Bank – TCH R Bull CBBC Jul 2016 A	50,000,000	2015/10/26	2016/07/15	12.50
65554	Standard Chartered Bank – TCH R Bear CBBC Jun 2016 D	50,000,000	2015/10/26	2016/06/27	12.50
65707	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 J	200,000,000	2015/10/26	2016/06/29	50.00
65697	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/10/26	2016/07/28	50.00
65512	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 M	200,000,000	2015/10/26	2016/04/28	50.00
65519	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 N	200,000,000	2015/10/26	2016/04/28	50.00
65515	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2015/10/26	2016/05/30	50.00
65690	UBS AG – HSI R Bull CBBC Jun 2016 N	200,000,000	2015/10/26	2016/06/29	50.00
65677	UBS AG – HSI R Bull CBBC Jul 2016 O	200,000,000	2015/10/26	2016/07/28	50.00
65668	UBS AG – HSI R Bear CBBC Jan 2016 T	200,000,000	2015/10/26	2016/01/28	50.00
65673	UBS AG – HSI R Bear CBBC Jan 2016 U	200,000,000	2015/10/26	2016/01/28	50.00
65834	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Feb 2016 A	80,000,000	2015/10/27	2016/02/26	20.00
65813	Credit Suisse AG – A50 China R Bull CBBC Jun 2016 B	100,000,000	2015/10/27	2016/06/30	25.00
65821	Credit Suisse AG – A50 China R Bear CBBC Feb 2016 A	80,000,000	2015/10/27	2016/02/26	20.00
65819	Credit Suisse AG – A50 China R Bear CBBC Mar 2016 A	80,000,000	2015/10/27	2016/03/31	20.00
65856	Credit Suisse AG – HSI R Bull CBBC May 2016 F	200,000,000	2015/10/27	2016/05/30	50.00
65839	Credit Suisse AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/27	2016/01/28	50.00
65842	Credit Suisse AG – HSI R Bear CBBC Jan 2016 I	200,000,000	2015/10/27	2016/01/28	50.00
65881	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 Q	200,000,000	2015/10/27	2016/09/29	50.00
65802	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 R	200,000,000	2015/10/27	2016/11/29	50.00
65805	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/27	2016/02/26	50.00
65757	Standard Chartered Bank – CAM CSI300 R Bull CBBC May 2016 B	50,000,000	2015/10/27	2016/05/13	22.50
65739	Standard Chartered Bank – CSOP A50 R Bull CBBC Jul 2016 C	50,000,000	2015/10/27	2016/07/11	12.50
65743	Standard Chartered Bank – A50 China R Bull CBBC Jul 2016 D	50,000,000	2015/10/27	2016/07/11	12.50
65749	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 O	150,000,000	2015/10/27	2016/09/29	37.50
65779	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 F	200,000,000	2015/10/27	2016/05/30	50.00
65789	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 G	200,000,000	2015/10/27	2016/05/30	50.00
65796	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar16 T	200,000,000	2015/10/27	2016/03/30	50.00
66010	UBS AG – CAM CSI300 R Bull CBBC Aug 2016 C	100,000,000	2015/10/27	2016/08/29	25.00
65959	UBS AG – HKEx R Bull CBBC Oct 2016 D	50,000,000	2015/10/27	2016/10/17	15.75

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65957	UBS AG – HSCEI R Bull CBBC Sep 2016 D	200,000,000	2015/10/27	2016/09/29	50.00
65932	UBS AG – HSI R Bull CBBC Jul 2016 P	200,000,000	2015/10/27	2016/07/28	50.00
65955	UBS AG – HSI R Bull CBBC Aug 2016 J	200,000,000	2015/10/27	2016/08/30	50.00
65969	UBS AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/27	2016/01/28	50.00
65967	UBS AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/27	2016/01/28	50.00
65971	UBS AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/27	2016/01/28	50.00
65986	UBS AG – HSI R Bear CBBC Jan 2016 X	200,000,000	2015/10/27	2016/01/28	50.00
65996	UBS AG – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/27	2016/01/28	52.00
65998	UBS AG – TCH R Bull CBBC Jun 2016 D	50,000,000	2015/10/27	2016/06/20	12.50
66159	Credit Suisse AG – HSI R Bull CBBC May 2016 S	200,000,000	2015/10/28	2016/05/30	50.00
66163	Credit Suisse AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/28	2016/01/28	50.00
66166	Credit Suisse AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/28	2016/01/28	50.00
66125	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/28	2016/03/30	50.00
66156	Credit Suisse AG – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/10/28	2016/04/28	50.00
66114	Bank of East Asia – CC Bank R Bull CBBC Nov 2016 A	50,000,000	2015/10/28	2016/11/14	12.50
66099	Bank of East Asia – CGN Power R Bull CBBC Nov 2016 A	80,000,000	2015/10/28	2016/11/16	20.00
66124	Bank of East Asia – CRRR R Bull CBBC Oct 2016 A	40,000,000	2015/10/28	2016/10/17	10.00
66096	Bank of East Asia – HKEx R Bull CBBC Oct 2016 A	40,000,000	2015/10/28	2016/10/28	10.00
66111	Bank of East Asia – HSBC Holdings R Bull CBBC Nov 2016 A	50,000,000	2015/10/28	2016/11/15	12.50
66045	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 R	200,000,000	2015/10/28	2016/09/29	50.00
66054	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/10/28	2016/10/28	50.00
66218	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 F	200,000,000	2015/10/28	2016/03/30	50.00
66016	Standard Chartered Bank – China Mobile R Bull CBBC Jul 2016 A	50,000,000	2015/10/28	2016/07/25	12.50
66025	Standard Chartered Bank – China Mobile R Bear CBBC Jul 2016 A	50,000,000	2015/10/28	2016/07/15	12.50
66066	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/10/28	2016/07/28	50.00
66092	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 O	200,000,000	2015/10/28	2016/04/28	50.00
66214	UBS AG – HSCEI R Bear CBBC Feb 2016 C	100,000,000	2015/10/28	2016/02/26	26.50
66189	UBS AG – HSI R Bull CBBC Jul 2016 C	200,000,000	2015/10/28	2016/07/28	50.00
66207	UBS AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/28	2016/01/28	50.00
66291	Credit Suisse AG – HSI R Bull CBBC Jul 2016 W	200,000,000	2015/10/29	2016/07/28	50.00
66295	Credit Suisse AG – HSI R Bull CBBC Jul 2016 X	200,000,000	2015/10/29	2016/07/28	50.00
66341	Credit Suisse AG – HSI R Bear CBBC Jan 2016 K	200,000,000	2015/10/29	2016/01/28	50.00
66227	HK Bank – China Mobile R Bull CBBC Sep 2016 A	120,000,000	2015/10/29	2016/09/30	30.00
66259	HK Bank – HKEx R Bear CBBC Mar 2016 C	50,000,000	2015/10/29	2016/03/21	12.50
66261	HK Bank – HSI R Bear CBBC Apr 2016 X	100,000,000	2015/10/29	2016/04/28	25.00
66226	HK Bank – TCH R Bull CBBC Jun 2016 J	100,000,000	2015/10/29	2016/06/13	25.00
66343	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 S	200,000,000	2015/10/29	2016/11/29	50.00
66268	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 K	200,000,000	2015/10/29	2016/12/29	50.00
66347	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 N	200,000,000	2015/10/29	2016/02/26	50.00
66358	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/10/29	2016/04/28	50.00
66302	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 H	400,000,000	2015/10/29	2016/05/30	100.00
66325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 I	200,000,000	2015/10/29	2016/05/30	50.00
66297	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 K	400,000,000	2015/10/29	2016/06/29	100.00
66326	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 T	200,000,000	2015/10/29	2016/06/29	50.00
66327	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 P	200,000,000	2015/10/29	2016/04/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66328	UBS AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2015/10/29	2016/06/29	50.00
66329	UBS AG – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/10/29	2016/02/26	50.00
66529	Credit Suisse AG – HSI R Bull CBBC Apr 2016 F	200,000,000	2015/10/30	2016/04/28	50.00
66549	Credit Suisse AG – HSI R Bull CBBC Apr 2016 G	200,000,000	2015/10/30	2016/04/28	50.00
66387	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/30	2016/02/26	50.00
66516	Credit Suisse AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/10/30	2016/02/26	50.00
66397	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/10/30	2016/02/26	50.00
66434	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 B	200,000,000	2015/10/30	2016/07/28	53.20
66441	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/10/30	2016/04/28	54.00
66431	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 E	100,000,000	2015/10/30	2016/12/29	25.00
66404	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 T	200,000,000	2015/10/30	2016/10/28	50.00
66430	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	200,000,000	2015/10/30	2016/12/29	50.00
66378	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/10/30	2016/02/26	50.00
66376	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 G	200,000,000	2015/10/30	2016/03/30	50.00
66401	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 H	200,000,000	2015/10/30	2016/03/30	50.00
66400	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/10/30	2016/04/28	50.00
66359	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 E	150,000,000	2015/10/30	2016/03/30	37.50
66501	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 J	200,000,000	2015/10/30	2016/05/30	50.00
66369	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 D	200,000,000	2015/10/30	2016/05/30	50.00
66514	UBS AG – HSI R Bull CBBC Jul 2016 G	200,000,000	2015/10/30	2016/07/28	50.00
66507	UBS AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/30	2016/02/26	50.00
66508	UBS AG – HSI R Bear CBBC Feb 2016 C	200,000,000	2015/10/30	2016/02/26	50.00
66505	UBS AG – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/10/30	2016/02/26	50.00
66630	Credit Suisse AG – HSCEI R Bull CBBC Jul 2016 D	100,000,000	2015/11/02	2016/07/28	25.00
66632	Credit Suisse AG – HSCEI R Bear CBBC Feb 2016 B	100,000,000	2015/11/02	2016/02/26	25.00
66660	Credit Suisse AG – HSI R Bull CBBC Jun 2016 K	200,000,000	2015/11/02	2016/06/29	50.00
66659	Credit Suisse AG – HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/11/02	2016/06/29	50.00
66637	Credit Suisse AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/02	2016/03/30	50.00
66639	Credit Suisse AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/11/02	2016/03/30	50.00
66665	Credit Suisse AG – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/11/02	2016/04/28	50.00
66636	Credit Suisse AG – TCH R Bull CBBC Jun 2016 B	100,000,000	2015/11/02	2016/06/30	25.00
66634	Credit Suisse AG – TCH R Bull CBBC Jul 2016 A	100,000,000	2015/11/02	2016/07/29	25.00
66557	HK Bank – BOCL R Bull CBBC Nov 2016 C	100,000,000	2015/11/02	2016/11/21	25.00
66583	HK Bank – China Mobile R Bull CBBC Oct 2016 F	120,000,000	2015/11/02	2016/10/31	30.00
66590	HK Bank – COVS R Bull CBBC Nov 2016 B	50,000,000	2015/11/02	2016/11/14	16.50
66555	HK Bank – Evergrande R Bull CBBC Nov 2016 D	60,000,000	2015/11/02	2016/11/14	15.00
66566	HK Bank – HSI R Bull CBBC Sep 2016 T	150,000,000	2015/11/02	2016/09/29	37.50
66569	HK Bank – HSI R Bull CBBC Sep 2016 U	400,000,000	2015/11/02	2016/09/29	100.00
66577	HK Bank – HSI R Bear CBBC May 2016 V	100,000,000	2015/11/02	2016/05/30	25.00
66584	HK Bank – TCH R Bear CBBC Jul 2016 F	100,000,000	2015/11/02	2016/07/25	25.00
66667	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 C	200,000,000	2015/11/02	2016/08/30	50.00
66677	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/11/02	2016/10/28	50.00
66616	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 I	200,000,000	2015/11/02	2016/03/30	50.00
66674	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/11/02	2016/03/30	50.00
66625	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 R	40,000,000	2015/11/02	2016/03/30	10.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66629	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 M	40,000,000	2015/11/02	2016/03/30	10.00
66585	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Q	200,000,000	2015/11/02	2016/04/28	50.00
66588	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 R	200,000,000	2015/11/02	2016/04/28	50.00
66686	UBS AG – HSI R Bull CBBC Sep 2016 F	200,000,000	2015/11/02	2016/09/29	50.00
66679	UBS AG – HSI R Bear CBBC Feb 2016 E	200,000,000	2015/11/02	2016/02/26	50.00
66682	UBS AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/02	2016/02/26	50.00
66760	Credit Suisse AG – HSI R Bull CBBC May 2016 T	200,000,000	2015/11/03	2016/05/30	50.00
66761	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/03	2016/02/26	50.00
66763	Credit Suisse AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/03	2016/02/26	50.00
66765	Credit Suisse AG – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/11/03	2016/04/28	50.00
66718	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 M	200,000,000	2015/11/03	2016/12/29	50.00
66784	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 F	200,000,000	2015/11/03	2017/01/26	50.00
66769	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/03	2016/02/26	50.00
66773	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/11/03	2016/03/30	50.00
66698	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 P	200,000,000	2015/11/03	2016/06/29	50.00
66726	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 R	200,000,000	2015/11/03	2016/06/29	50.00
66712	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar16 U	200,000,000	2015/11/03	2016/03/30	50.00
66727	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 T	200,000,000	2015/11/03	2016/04/28	50.00
66702	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/11/03	2016/05/30	50.00
66732	UBS AG – HSI R Bull CBBC Jun 2016 B	200,000,000	2015/11/03	2016/06/29	50.00
66747	UBS AG – HSI R Bull CBBC Jun 2016 L	200,000,000	2015/11/03	2016/06/29	50.00
66753	UBS AG – HSI R Bear CBBC Feb 2016 G	200,000,000	2015/11/03	2016/02/26	50.00
66759	UBS AG – HSI R Bear CBBC Feb 2016 I	200,000,000	2015/11/03	2016/02/26	50.00
66931	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 E	100,000,000	2015/11/04	2016/09/30	25.00
66917	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 I	100,000,000	2015/11/04	2016/11/29	25.00
66924	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 I	100,000,000	2015/11/04	2016/12/29	25.00
66884	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 A	250,000,000	2015/11/04	2016/10/28	62.50
66893	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 B	250,000,000	2015/11/04	2016/10/28	62.50
66901	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 C	250,000,000	2015/11/04	2016/10/28	62.50
66894	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/11/04	2016/11/29	62.50
66883	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2015/11/04	2016/11/29	62.50
66885	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 J	250,000,000	2015/11/04	2016/11/29	62.50
66904	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/11/04	2016/11/29	62.50
66866	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2015/11/04	2016/12/29	62.50
66976	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/04	2016/03/30	50.00
66907	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/11/04	2016/03/30	50.00
66973	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/11/04	2016/04/28	50.00
66905	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/11/04	2016/04/28	50.00
66912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/11/04	2016/04/28	50.00
66951	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 D	68,000,000	2015/11/04	2016/12/30	17.00
66936	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Jun 2016 A	50,000,000	2015/11/04	2016/06/30	12.50
66947	BNP Paribas Arbit Issu B.V. – TCH R Bear CBBC Jun 2016 A	50,000,000	2015/11/04	2016/06/30	12.50
67047	Credit Suisse AG – HSI R Bull CBBC May 2016 G	200,000,000	2015/11/04	2016/05/30	50.00
67051	Credit Suisse AG – HSI R Bull CBBC May 2016 H	200,000,000	2015/11/04	2016/05/30	50.00
67055	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/04	2016/03/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67052	Credit Suisse AG – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/11/04	2016/03/30	50.00
66834	HK Bank – ABC R Bull CBBC Sep 2016 B	80,000,000	2015/11/04	2016/09/30	20.00
66860	HK Bank – China Life R Bear CBBC Oct 2016 B	50,000,000	2015/11/04	2016/10/17	20.50
66828	HK Bank – A50 R Bull CBBC May 2016 H	100,000,000	2015/11/04	2016/05/31	25.00
66863	HK Bank – HSCEI R Bear CBBC Sep 2016 B	68,000,000	2015/11/04	2016/09/29	17.00
66855	HK Bank – HSI R Bear CBBC May 2016 W	100,000,000	2015/11/04	2016/05/30	25.00
66851	HK Bank – HSI R Bear CBBC Aug 2016 G	100,000,000	2015/11/04	2016/08/30	25.00
67154	J P Morgan SP BV – China Mobile R Bull CBBC Jul 2016 A	100,000,000	2015/11/04	2016/07/15	25.00
67158	J P Morgan SP BV – China Mobile R Bull CBBC Aug 2016 A	100,000,000	2015/11/04	2016/08/19	25.00
67165	J P Morgan SP BV – China Mobile R Bear CBBC May 2016 A	100,000,000	2015/11/04	2016/05/20	25.00
67079	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Jun 2016 F	100,000,000	2015/11/04	2016/06/17	25.00
67108	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Jul 2016 A	100,000,000	2015/11/04	2016/07/15	25.00
67117	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Sep 2016 A	100,000,000	2015/11/04	2016/09/15	25.00
67076	J P Morgan SP BV – A50 China R Bull CBBC Jul 2016 D	100,000,000	2015/11/04	2016/07/15	25.00
67075	J P Morgan SP BV – A50 China R Bull CBBC Aug 2016 C	100,000,000	2015/11/04	2016/08/19	25.00
67066	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 A	100,000,000	2015/11/04	2016/09/15	25.00
67074	J P Morgan SP BV – HKEx R Bear CBBC Jun 2016 A	100,000,000	2015/11/04	2016/06/17	25.00
67016	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/11/04	2016/10/28	50.00
67018	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/11/04	2016/10/28	50.00
67189	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/11/04	2016/02/26	50.00
67046	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/11/04	2016/04/28	50.00
67169	J P Morgan SP BV – Ping An R Bull CBBC Oct 2016 D	100,000,000	2015/11/04	2016/10/14	25.00
67185	J P Morgan SP BV – Tencent R Bull CBBC Jun 2016 D	100,000,000	2015/11/04	2016/06/17	25.00
67188	J P Morgan SP BV – Tencent R Bull CBBC Jul 2016 E	100,000,000	2015/11/04	2016/07/15	25.00
66960	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 K	200,000,000	2015/11/04	2016/05/30	50.00
66961	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2015/11/04	2016/05/30	50.00
66967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2015/11/04	2016/05/30	50.00
67057	UBS AG – HSI R Bull CBBC Jun 2016 E	200,000,000	2015/11/04	2016/06/29	50.00
67059	UBS AG – HSI R Bear CBBC Feb 2016 J	200,000,000	2015/11/04	2016/02/26	50.00
67225	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/05	2016/03/30	50.00
67270	Credit Suisse AG – HSI R Bull CBBC Jun 2016 H	200,000,000	2015/11/05	2016/06/29	50.00
67277	Credit Suisse AG – HSI R Bull CBBC Aug 2016 X	200,000,000	2015/11/05	2016/08/30	50.00
67278	Credit Suisse AG – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/11/05	2016/04/28	50.00
67279	Credit Suisse AG – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/11/05	2016/04/28	50.00
67238	Bank of East Asia – CAM CSI300 R Bull CBBC Oct 2016 A	60,000,000	2015/11/05	2016/10/26	15.00
67239	Bank of East Asia – BOCL R Bull CBBC Oct 2016 A	60,000,000	2015/11/05	2016/10/25	15.00
67240	Bank of East Asia – CGN Power R Bull CBBC Oct 2016 A	60,000,000	2015/11/05	2016/10/28	15.00
67217	HK Bank – AIA R Bull CBBC Oct 2016 D	60,000,000	2015/11/05	2016/10/17	24.60
67209	HK Bank – CGN Power R Bull CBBC Nov 2016 B	40,000,000	2015/11/05	2016/11/07	10.00
67205	HK Bank – Evergrande R Bull CBBC Nov 2016 E	60,000,000	2015/11/05	2016/11/14	15.00
67201	HK Bank – TCH R Bull CBBC Aug 2016 L	100,000,000	2015/11/05	2016/08/29	25.00
67287	J P Morgan SP BV – HSBC R Bull CBBC Nov 2016 A	100,000,000	2015/11/05	2016/11/18	25.00
67224	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 D	200,000,000	2015/11/05	2016/08/30	50.00
67303	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 N	200,000,000	2015/11/05	2016/12/29	50.00
67295	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/11/05	2016/02/26	50.00

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67300	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/11/05	2016/03/30	50.00
67283	J P Morgan SP BV – Tencent R Bear CBBC Jun 2016 A	100,000,000	2015/11/05	2016/06/17	25.00
67269	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 A	40,000,000	2015/11/05	2016/05/30	10.00
67219	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Jun16 D	200,000,000	2015/11/05	2016/06/29	50.00
67223	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Jun16 E	200,000,000	2015/11/05	2016/06/29	50.00
67232	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 B	200,000,000	2015/11/05	2016/10/28	50.00
67233	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar16 V	200,000,000	2015/11/05	2016/03/30	50.00
67236	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 U	200,000,000	2015/11/05	2016/04/28	50.00
67252	UBS AG – HSI R Bull CBBC Aug 2016 Q	200,000,000	2015/11/05	2016/08/30	50.00
67253	UBS AG – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/11/05	2016/02/26	50.00
67254	UBS AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/05	2016/02/26	50.00
67514	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/11/06	2016/03/30	50.00
67516	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/11/06	2016/04/28	50.00
67346	Credit Suisse AG – HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/11/06	2016/07/28	50.00
67349	Credit Suisse AG – HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/11/06	2016/07/28	50.00
67373	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/06	2016/03/30	50.00
67370	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/06	2016/03/30	50.00
67379	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/11/06	2016/03/30	50.00
67335	Bank of East Asia – China Comm Constr R Bull CBBC Jan 2017 A	40,000,000	2015/11/06	2017/01/26	10.00
67318	HK Bank – CNOOC R Bull CBBC Nov 2016 F	60,000,000	2015/11/06	2016/11/14	15.00
67305	HK Bank – Gome R Bull CBBC Dec 2016 C	40,000,000	2015/11/06	2016/12/05	14.80
67332	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 T	200,000,000	2015/11/06	2016/11/29	50.00
67394	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 G	200,000,000	2015/11/06	2017/01/26	50.00
67393	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/11/06	2016/02/26	50.00
67389	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/11/06	2016/04/28	50.00
67326	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 B	40,000,000	2015/11/06	2016/05/30	10.00
67324	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 S	200,000,000	2015/11/06	2016/06/29	50.00
67325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/11/06	2016/07/28	50.00
67489	UBS AG – China Mobile R Bear CBBC Apr 2016 C	50,000,000	2015/11/06	2016/04/11	12.50
67471	UBS AG – HSI R Bull CBBC Jun 2016 G	200,000,000	2015/11/06	2016/06/29	50.00
67412	UBS AG – HSI R Bull CBBC Aug 2016 S	200,000,000	2015/11/06	2016/08/30	50.00
67498	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/11/06	2016/02/26	50.00
67503	UBS AG – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/06	2016/02/26	50.00
67488	UBS AG – Tencent R Bear CBBC Apr 2016 C	50,000,000	2015/11/06	2016/04/18	12.50
67692	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Sep 2016 A	40,000,000	2015/11/09	2016/09/30	10.00
67690	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/11/09	2016/03/30	50.00
67689	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/11/09	2016/04/28	50.00
67691	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/11/09	2016/04/28	50.00
67610	Credit Suisse AG – HSI R Bull CBBC Jun 2016 I	200,000,000	2015/11/09	2016/06/29	50.00
67614	Credit Suisse AG – HSI R Bull CBBC Jun 2016 J	200,000,000	2015/11/09	2016/06/29	50.00
67616	Credit Suisse AG – HSI R Bull CBBC Jun 2016 R	200,000,000	2015/11/09	2016/06/29	50.00
67680	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/09	2016/02/26	50.00
67682	Credit Suisse AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/09	2016/02/26	50.00
67681	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/09	2016/02/26	50.00
67637	Bank of East Asia – CKP R Bull CBBC Nov 2016 A	60,000,000	2015/11/09	2016/11/11	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67635	Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2016 A	20,000,000	2015/11/09	2016/11/02	10.00
67636	Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2016 B	100,000,000	2015/11/09	2016/11/07	25.00
67633	Bank of East Asia – Sands China R Bull CBBC Nov 2016 A	20,000,000	2015/11/09	2016/11/18	10.40
67634	Bank of East Asia – Sands China R Bull CBBC Nov 2016 B	100,000,000	2015/11/09	2016/11/28	25.00
67550	HK Bank – CITIC R Bull CBBC Oct 2016 B	40,000,000	2015/11/09	2016/10/17	10.00
67540	HK Bank – CITIC R Bull CBBC Nov 2016 A	40,000,000	2015/11/09	2016/11/14	10.80
67554	HK Bank – CITIC Sec R Bull CBBC Sep 2016 C	60,000,000	2015/11/09	2016/09/26	22.80
67521	HK Bank – CKP R Bull CBBC Nov 2016 A	50,000,000	2015/11/09	2016/11/07	26.00
67539	HK Bank – Galaxy Ent R Bull CBBC Oct 2016 C	50,000,000	2015/11/09	2016/10/17	17.50
67535	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 C	50,000,000	2015/11/09	2016/11/21	30.50
67532	HK Bank – Galaxy Ent R Bull CBBC Dec 2016 B	50,000,000	2015/11/09	2016/12/05	40.50
67551	HK Bank – Haitong Sec R Bull CBBC Sep 2016 C	60,000,000	2015/11/09	2016/09/05	16.20
67523	HK Bank – Sands China R Bull CBBC Aug 2016 B	50,000,000	2015/11/09	2016/08/29	21.00
67522	HK Bank – Sands China R Bull CBBC Oct 2016 A	50,000,000	2015/11/09	2016/10/17	30.50
67529	HK Bank – Sands China R Bull CBBC Nov 2016 A	50,000,000	2015/11/09	2016/11/14	42.00
67549	HK Bank – SHK Ppt R Bull CBBC Dec 2016 C	60,000,000	2015/11/09	2016/12/19	15.00
67700	J P Morgan SP BV – Galaxy Ent R Bull CBBC Oct 2016 A	100,000,000	2015/11/09	2016/10/14	25.00
67707	J P Morgan SP BV – Galaxy Ent R Bull CBBC Nov 2016 A	100,000,000	2015/11/09	2016/11/18	25.00
67708	J P Morgan SP BV – Galaxy Ent R Bear CBBC Aug 2016 A	100,000,000	2015/11/09	2016/08/19	25.00
67694	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 S	200,000,000	2015/11/09	2016/09/29	50.00
67609	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 U	200,000,000	2015/11/09	2016/11/29	50.00
67743	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 V	200,000,000	2015/11/09	2016/11/29	50.00
67745	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 O	200,000,000	2015/11/09	2016/12/29	50.00
67724	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/09	2016/02/26	50.00
67734	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 B	200,000,000	2015/11/09	2016/04/28	50.00
67742	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 C	200,000,000	2015/11/09	2016/04/28	50.00
67695	J P Morgan SP BV – Sands China R Bull CBBC Sep 2016 A	100,000,000	2015/11/09	2016/09/15	25.00
67698	J P Morgan SP BV – Sands China R Bull CBBC Oct 2016 A	100,000,000	2015/11/09	2016/10/14	25.00
67699	J P Morgan SP BV – Sands China R Bear CBBC May 2016 A	100,000,000	2015/11/09	2016/05/20	25.00
67596	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/11/09	2016/07/28	50.00
67601	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 V	200,000,000	2015/11/09	2016/04/28	50.00
67607	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 W	200,000,000	2015/11/09	2016/04/28	50.00
67599	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/11/09	2016/05/30	50.00
67600	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2015/11/09	2016/05/30	50.00
67650	UBS AG – HSI R Bull CBBC Jun 2016 J	200,000,000	2015/11/09	2016/06/29	50.00
67646	UBS AG – HSI R Bull CBBC Jul 2016 I	200,000,000	2015/11/09	2016/07/28	50.00
67671	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/09	2016/02/26	50.00
67672	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/11/09	2016/02/26	50.00
67849	Credit Suisse AG – HSI R Bull CBBC May 2016 E	200,000,000	2015/11/10	2016/05/30	50.00
67816	Credit Suisse AG – HSI R Bull CBBC May 2016 U	200,000,000	2015/11/10	2016/05/30	50.00
67845	Credit Suisse AG – HSI R Bull CBBC May 2016 Z	200,000,000	2015/11/10	2016/05/30	50.00
67810	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/11/10	2016/03/30	50.00
67812	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/11/10	2016/03/30	50.00
67813	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/11/10	2016/03/30	50.00
67753	HK Bank – BOCL R Bull CBBC Jul 2016 A	100,000,000	2015/11/10	2016/07/18	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67754	HK Bank – HSCEI R Bear CBBC Aug 2016 E	68,000,000	2015/11/10	2016/08/30	17.00
67752	HK Bank – HSI R Bear CBBC Aug 2016 H	100,000,000	2015/11/10	2016/08/30	25.00
67749	HK Bank – HSI R Bear CBBC Nov 2016 B	100,000,000	2015/11/10	2016/11/29	25.00
67854	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 T	200,000,000	2015/11/10	2016/09/29	50.00
67851	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 P	200,000,000	2015/11/10	2016/12/29	50.00
67780	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/11/10	2016/03/30	50.00
67859	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/10	2016/03/30	50.00
67785	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/11/10	2016/04/28	50.00
67809	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/11/10	2016/07/28	50.00
67808	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/11/10	2016/09/29	50.00
67761	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Y	200,000,000	2015/11/10	2016/04/28	50.00
67772	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Z	200,000,000	2015/11/10	2016/04/28	50.00
67758	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 L	200,000,000	2015/11/10	2016/05/30	50.00
67888	UBS AG – HSCEI R Bull CBBC Sep 2016 E	200,000,000	2015/11/10	2016/09/29	50.00
67895	UBS AG – HSI R Bull CBBC Jun 2016 M	200,000,000	2015/11/10	2016/06/29	50.00
67896	UBS AG – HSI R Bull CBBC Aug 2016 T	200,000,000	2015/11/10	2016/08/30	50.00
67879	UBS AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/10	2016/02/26	50.00
67860	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/11/10	2016/02/26	50.00
68056	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Jun16 A	100,000,000	2015/11/11	2016/06/30	25.00
68078	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2016 A	100,000,000	2015/11/11	2016/06/30	25.00
68085	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 C	40,000,000	2015/11/11	2016/09/30	10.00
68020	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 D	250,000,000	2015/11/11	2016/10/28	62.50
68041	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 E	250,000,000	2015/11/11	2016/10/28	62.50
68053	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 F	250,000,000	2015/11/11	2016/10/28	62.50
68048	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/11/11	2016/11/29	62.50
68036	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2015/11/11	2016/11/29	62.50
67938	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/11/11	2016/03/30	50.00
67936	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/11/11	2016/03/30	50.00
67925	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/11/11	2016/04/28	50.00
67937	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/11/11	2016/04/28	50.00
68050	Credit Suisse AG – HSI R Bull CBBC May 2016 I	200,000,000	2015/11/11	2016/05/30	50.00
68082	Credit Suisse AG – HSI R Bull CBBC Jun 2016 S	200,000,000	2015/11/11	2016/06/29	50.00
68044	Credit Suisse AG – HSI R Bull CBBC Jul 2016 D	200,000,000	2015/11/11	2016/07/28	50.00
68074	Credit Suisse AG – HSI R Bull CBBC Jul 2016 E	200,000,000	2015/11/11	2016/07/28	50.00
68037	Credit Suisse AG – HSI R Bull CBBC Jul 2016 K	200,000,000	2015/11/11	2016/07/28	50.00
68009	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/11	2016/02/26	50.00
68015	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/11/11	2016/02/26	50.00
68035	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/11/11	2016/02/26	50.00
68013	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/11	2016/02/26	50.00
67988	HK Bank – CAM CSI300 R Bull CBBC Oct 2016 D	100,000,000	2015/11/11	2016/10/31	25.00
67990	HK Bank – China Mobile R Bull CBBC Oct 2016 G	120,000,000	2015/11/11	2016/10/17	30.00
67979	HK Bank – China Life R Bull CBBC Jul 2016 C	60,000,000	2015/11/11	2016/07/25	15.00
67986	HK Bank – CSOP A50 ETF R Bull CBBC Dec 2016 A	100,000,000	2015/11/11	2016/12/30	25.00
67978	HK Bank – HKEx R Bull CBBC Jun 2016 M	100,000,000	2015/11/11	2016/06/27	25.00
67975	HK Bank – HSCEI R Bull CBBC Jul 2016 G	100,000,000	2015/11/11	2016/07/28	25.00

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67974	HK Bank – HSI R Bull CBBC Jul 2016 X	150,000,000	2015/11/11	2016/07/28	37.50
67973	HK Bank – HSI R Bull CBBC Aug 2016 Q	150,000,000	2015/11/11	2016/08/30	37.50
67972	HK Bank – HSI R Bull CBBC Sep 2016 V	150,000,000	2015/11/11	2016/09/29	37.50
68186	J P Morgan SP BV – AIA R Bull CBBC Sep 2016 B	100,000,000	2015/11/11	2016/09/15	25.00
68187	J P Morgan SP BV – AIA R Bull CBBC Oct 2016 A	100,000,000	2015/11/11	2016/10/14	25.00
68191	J P Morgan SP BV – CKP R Bull CBBC Oct 2016 A	100,000,000	2015/11/11	2016/10/14	25.00
68194	J P Morgan SP BV – CKP R Bull CBBC Nov 2016 A	100,000,000	2015/11/11	2016/11/18	25.00
68157	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 B	100,000,000	2015/11/11	2016/09/15	25.00
68197	J P Morgan SP BV – HKEx R Bull CBBC Oct 2016 F	100,000,000	2015/11/11	2016/10/14	25.00
68159	J P Morgan SP BV – HKEx R Bear CBBC Jul 2016 A	100,000,000	2015/11/11	2016/07/15	38.00
68120	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/11/11	2016/09/29	50.00
68129	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 X	200,000,000	2015/11/11	2016/10/28	50.00
68123	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 W	200,000,000	2015/11/11	2016/11/29	50.00
68137	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Q	200,000,000	2015/11/11	2016/12/29	50.00
67953	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/11/11	2016/02/26	50.00
67958	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/11	2016/03/30	50.00
67965	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/11/11	2016/03/30	50.00
67969	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 E	200,000,000	2015/11/11	2016/04/28	50.00
68171	J P Morgan SP BV – Tencent R Bull CBBC Jun 2016 E	100,000,000	2015/11/11	2016/06/17	25.00
68182	J P Morgan SP BV – Tencent R Bear CBBC Jun 2016 B	100,000,000	2015/11/11	2016/06/17	25.00
68196	J P Morgan SP BV – Tracker Fund R Bull CBBC Dec 2016 A	100,000,000	2015/11/11	2016/12/16	25.00
68198	J P Morgan SP BV – Tracker Fund R Bull CBBC Dec 2016 B	100,000,000	2015/11/11	2016/12/16	25.00
68004	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 H	200,000,000	2015/11/11	2016/06/29	50.00
68007	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 L	200,000,000	2015/11/11	2016/06/29	50.00
68003	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 O	200,000,000	2015/11/11	2016/06/29	50.00
67993	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 U	400,000,000	2015/11/11	2016/06/29	100.00
68000	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 O	400,000,000	2015/11/11	2016/07/28	100.00
68001	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 C	200,000,000	2015/11/11	2016/10/28	50.00
67942	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 J	200,000,000	2015/11/11	2016/05/30	50.00
67944	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 M	200,000,000	2015/11/11	2016/05/30	50.00
67952	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 N	200,000,000	2015/11/11	2016/05/30	50.00
68008	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 O	200,000,000	2015/11/11	2016/05/30	50.00
68119	UBS AG – HSI R Bull CBBC Jun 2016 A	200,000,000	2015/11/11	2016/06/29	50.00
68097	UBS AG – HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/11/11	2016/06/29	50.00
68098	UBS AG – HSI R Bull CBBC Jul 2016 R	200,000,000	2015/11/11	2016/07/28	50.00
68115	UBS AG – HSI R Bull CBBC Jul 2016 S	200,000,000	2015/11/11	2016/07/28	50.00
68101	UBS AG – HSI R Bull CBBC Sep 2016 H	200,000,000	2015/11/11	2016/09/29	50.00
68116	UBS AG – HSI R Bull CBBC Sep 2016 J	200,000,000	2015/11/11	2016/09/29	50.00
68087	UBS AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/11/11	2016/02/26	50.00
68090	UBS AG – HSI R Bear CBBC Feb 2016 N	200,000,000	2015/11/11	2016/02/26	50.00
68091	UBS AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/11	2016/02/26	50.00
68086	UBS AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/11	2016/02/26	50.00
68317	Credit Suisse AG – HSI R Bull CBBC May 2016 J	200,000,000	2015/11/12	2016/05/30	50.00
68312	Credit Suisse AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2015/11/12	2016/06/29	50.00
68311	Credit Suisse AG – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/11/12	2016/03/30	50.00

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68299	Credit Suisse AG – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/11/12	2016/03/30	50.00
68352	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 B	200,000,000	2015/11/12	2016/04/28	50.80
68210	HK Bank – CITIC Sec R Bull CBBC Sep 2016 D	60,000,000	2015/11/12	2016/09/19	24.60
68199	HK Bank – CNOOC R Bull CBBC Oct 2016 E	60,000,000	2015/11/12	2016/10/26	15.00
68204	HK Bank – A50 R Bull CBBC Aug 2016 E	100,000,000	2015/11/12	2016/08/29	25.00
68200	HK Bank – HSI R Bull CBBC Jul 2016 Y	150,000,000	2015/11/12	2016/07/28	37.50
68213	HK Bank – Haitong Sec R Bull CBBC Aug 2016 D	60,000,000	2015/11/12	2016/08/29	15.00
68234	HK Bank – PetCh R Bull CBBC Nov 2016 E	60,000,000	2015/11/12	2016/11/23	15.00
68323	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Dec 2016 A	100,000,000	2015/11/12	2016/12/30	25.00
68324	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Sep 2016 B	100,000,000	2015/11/12	2016/09/15	25.00
68329	J P Morgan SP BV – A50 China R Bull CBBC Dec 2016 A	100,000,000	2015/11/12	2016/12/30	25.00
68283	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Y	200,000,000	2015/11/12	2016/10/28	50.00
68298	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/11/12	2016/11/29	50.00
68297	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 R	200,000,000	2015/11/12	2016/12/29	50.00
68330	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 L	200,000,000	2015/11/12	2016/02/26	50.00
68342	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/11/12	2016/02/26	50.00
68343	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/12	2016/03/30	50.00
68350	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/11/12	2016/04/28	50.00
68325	J P Morgan SP BV – Ping An R Bull CBBC Oct 2016 E	100,000,000	2015/11/12	2016/10/14	25.00
68250	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 L	200,000,000	2015/11/12	2016/05/30	50.00
68236	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 M	200,000,000	2015/11/12	2016/06/29	50.00
68235	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 D	200,000,000	2015/11/12	2016/10/28	50.00
68275	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 E	200,000,000	2015/11/12	2016/10/28	50.00
68279	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 V	200,000,000	2015/11/12	2016/06/29	50.00
68281	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 W	200,000,000	2015/11/12	2016/06/29	50.00
68364	UBS AG – HSBC R Bull CBBC Nov 2016 B	100,000,000	2015/11/12	2016/11/21	25.00
68383	UBS AG – HSI R Bull CBBC Jun 2016 F	200,000,000	2015/11/12	2016/06/29	50.00
68385	UBS AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/11/12	2016/07/28	50.00
68401	UBS AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/12	2016/02/26	50.00
68408	UBS AG – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/11/12	2016/02/26	50.00
68371	UBS AG – Ping An R Bull CBBC Nov 2016 D	100,000,000	2015/11/12	2016/11/28	25.00
68370	UBS AG – TCH R Bull CBBC Jul 2016 B	50,000,000	2015/11/12	2016/07/11	12.50
68460	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/11/13	2016/03/30	50.00
68469	Credit Suisse AG – HSI R Bull CBBC Jul 2016 N	200,000,000	2015/11/13	2016/07/28	50.00
68470	Credit Suisse AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/11/13	2016/07/28	50.00
68471	Credit Suisse AG – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/11/13	2016/04/28	50.00
68472	Credit Suisse AG – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/11/13	2016/04/28	50.00
68480	Credit Suisse AG – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/11/13	2016/04/28	50.00
68468	Bank of East Asia – CKH R Bull CBBC Jan 2017 A	40,000,000	2015/11/13	2017/01/13	10.00
68464	Bank of East Asia – HSBC Holdings R Bull CBBC Dec 2016 B	40,000,000	2015/11/13	2016/12/01	10.00
68467	Bank of East Asia – TCH R Bull CBBC Dec 2016 A	40,000,000	2015/11/13	2016/12/02	10.00
68410	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 I	100,000,000	2015/11/13	2016/08/22	25.00
68443	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 S	200,000,000	2015/11/13	2016/12/29	50.00
68449	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 T	200,000,000	2015/11/13	2016/12/29	50.00
68546	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/13	2016/02/26	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68547	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 F	200,000,000	2015/11/13	2016/04/28	50.00
68418	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 M	200,000,000	2015/11/13	2016/05/30	50.00
68441	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 N	200,000,000	2015/11/13	2016/05/30	50.00
68440	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 F	200,000,000	2015/11/13	2016/10/28	50.00
68459	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 X	200,000,000	2015/11/13	2016/06/29	50.00
68482	UBS AG – HSI R Bull CBBC Aug 2016 F	200,000,000	2015/11/13	2016/08/30	50.00
68509	UBS AG – HSI R Bull CBBC Aug 2016 G	200,000,000	2015/11/13	2016/08/30	50.00
68522	UBS AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/11/13	2016/02/26	50.00
68523	UBS AG – HSI R Bear CBBC Feb 2016 E	200,000,000	2015/11/13	2016/02/26	50.00
68524	UBS AG – HSI R Bear CBBC Feb 2016 G	200,000,000	2015/11/13	2016/02/26	50.00
68785	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 F	100,000,000	2015/11/16	2016/09/30	25.00
68752	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Jun16B	100,000,000	2015/11/16	2016/06/30	25.00
68784	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2016 B	100,000,000	2015/11/16	2016/06/30	25.00
68663	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/11/16	2016/11/29	62.50
68703	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/11/16	2016/04/28	50.00
68595	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Aug 2016 A	100,000,000	2015/11/16	2016/08/31	25.00
68603	Credit Suisse AG – A50 China R Bull CBBC Aug 2016 A	100,000,000	2015/11/16	2016/08/31	25.00
68609	Credit Suisse AG – HKEx R Bull CBBC Jul 2016 B	100,000,000	2015/11/16	2016/07/29	25.00
68610	Credit Suisse AG – HKEx R Bull CBBC Sep 2016 A	100,000,000	2015/11/16	2016/09/30	25.00
68619	Credit Suisse AG – HSI R Bull CBBC Jun 2016 M	200,000,000	2015/11/16	2016/06/29	50.00
68615	Credit Suisse AG – HSI R Bull CBBC Jun 2016 U	200,000,000	2015/11/16	2016/06/29	50.00
68626	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/16	2016/02/26	50.00
68589	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/16	2016/02/26	50.00
68625	Credit Suisse AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/16	2016/02/26	50.00
68612	Credit Suisse AG – TCH R Bear CBBC Mar 2016 A	80,000,000	2015/11/16	2016/03/31	23.20
68681	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2016 A	100,000,000	2015/11/16	2016/09/15	25.00
68687	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2016 B	100,000,000	2015/11/16	2016/09/15	25.00
68676	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Dec 2016 B	100,000,000	2015/11/16	2016/12/30	25.00
68678	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Oct 2016 A	100,000,000	2015/11/16	2016/10/14	25.00
68646	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Z	200,000,000	2015/11/16	2016/10/28	50.00
68653	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 U	200,000,000	2015/11/16	2016/12/29	50.00
68583	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/11/16	2016/02/26	50.00
68667	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/11/16	2016/03/30	50.00
68553	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 O	200,000,000	2015/11/16	2016/05/30	50.00
68569	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 G	200,000,000	2015/11/16	2016/04/28	50.00
68570	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Y	200,000,000	2015/11/16	2016/06/29	50.00
68635	UBS AG – HSI R Bull CBBC Nov 2016 H	200,000,000	2015/11/16	2016/11/29	50.00
68627	UBS AG – HSI R Bear CBBC Feb 2016 I	200,000,000	2015/11/16	2016/02/26	50.00
68936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 G	250,000,000	2015/11/17	2016/10/28	62.50
68933	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/11/17	2016/11/29	62.50
68928	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Z	250,000,000	2015/11/17	2016/12/29	62.50
69021	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 B	200,000,000	2015/11/17	2016/03/30	50.00
69016	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/11/17	2016/03/30	50.00
69017	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/11/17	2016/04/28	50.00
69010	Credit Suisse AG – HSI R Bull CBBC May 2016 A	200,000,000	2015/11/17	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69009	Credit Suisse AG – HSI R Bull CBBC May 2016 K	200,000,000	2015/11/17	2016/05/30	50.00
69015	Credit Suisse AG – HSI R Bull CBBC May 2016 M	200,000,000	2015/11/17	2016/05/30	50.00
69035	Credit Suisse AG – HSI R Bull CBBC May 2016 W	200,000,000	2015/11/17	2016/05/30	50.00
69056	Credit Suisse AG – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/11/17	2016/03/30	50.00
69057	Credit Suisse AG – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/11/17	2016/03/30	50.00
69036	Credit Suisse AG – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/11/17	2016/03/30	50.00
69042	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/11/17	2016/03/30	50.00
69055	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/11/17	2016/03/30	50.00
68952	Bank of East Asia – CITIC Sec R Bull CBBC Dec 2016 B	30,000,000	2015/11/17	2016/12/06	13.50
68962	Bank of East Asia – A50 China R Bull CBBC Nov 2016 A	60,000,000	2015/11/17	2016/11/21	15.00
68957	Bank of East Asia – HKEx R Bull CBBC Nov 2016 A	40,000,000	2015/11/17	2016/11/18	10.00
68961	Bank of East Asia – HKEx R Bear CBBC Nov 2016 A	40,000,000	2015/11/17	2016/11/18	10.00
68955	Bank of East Asia – Haitong Sec R Bull CBBC Dec 2016 F	35,000,000	2015/11/17	2016/12/07	10.50
68835	HK Bank – AIA R Bull CBBC Sep 2016 F	60,000,000	2015/11/17	2016/09/26	19.80
68793	HK Bank – CAM CSI300 R Bull CBBC Oct 2016 E	100,000,000	2015/11/17	2016/10/17	36.00
68830	HK Bank – CITIC Sec R Bull CBBC Sep 2016 E	60,000,000	2015/11/17	2016/09/12	22.80
68831	HK Bank – CM Bank R Bull CBBC Oct 2016 D	40,000,000	2015/11/17	2016/10/24	10.80
68791	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 J	100,000,000	2015/11/17	2016/08/18	25.00
68792	HK Bank – A50 R Bull CBBC Oct 2016 E	100,000,000	2015/11/17	2016/10/31	25.00
68825	HK Bank – Galaxy Ent R Bear CBBC Jul 2016 A	50,000,000	2015/11/17	2016/07/25	30.50
68824	HK Bank – Galaxy Ent R Bear CBBC Nov 2016 B	50,000,000	2015/11/17	2016/11/28	12.50
68832	HK Bank – HSI R Bear CBBC Sep 2016 F	100,000,000	2015/11/17	2016/09/29	25.00
68798	HK Bank – Sands China R Bear CBBC Apr 2016 B	50,000,000	2015/11/17	2016/04/25	24.50
68800	HK Bank – Sands China R Bear CBBC Nov 2016 C	50,000,000	2015/11/17	2016/11/28	12.50
68805	HK Bank – TCH R Bull CBBC Jul 2016 O	100,000,000	2015/11/17	2016/07/25	25.00
69074	J P Morgan SP BV – China Life R Bull CBBC Oct 2016 A	100,000,000	2015/11/17	2016/10/14	25.00
69081	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 B	200,000,000	2015/11/17	2016/11/29	50.00
68973	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/11/17	2016/11/29	50.00
69080	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Z	200,000,000	2015/11/17	2016/11/29	50.00
68967	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 V	200,000,000	2015/11/17	2016/12/29	50.00
69092	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 W	200,000,000	2015/11/17	2016/12/29	50.00
69076	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 P	200,000,000	2015/11/17	2016/02/26	50.00
69077	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/17	2016/03/30	50.00
69078	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/11/17	2016/04/28	50.00
68947	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 A	40,000,000	2015/11/17	2016/05/30	10.20
68948	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 B	40,000,000	2015/11/17	2016/05/30	10.00
68950	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 C	40,000,000	2015/11/17	2016/05/30	10.40
69060	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 C	40,000,000	2015/11/17	2016/05/30	10.40
69069	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 D	40,000,000	2015/11/17	2016/05/30	10.20
69072	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 E	40,000,000	2015/11/17	2016/05/30	10.00
68839	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 N	200,000,000	2015/11/17	2016/06/29	50.00
68906	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Q	200,000,000	2015/11/17	2016/06/29	50.00
68995	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 A	200,000,000	2015/11/17	2016/05/30	50.00
69008	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 P	400,000,000	2015/11/17	2016/05/30	100.00
68979	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Q	200,000,000	2015/11/17	2016/05/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69006	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun 16Z	200,000,000	2015/11/17	2016/06/29	50.00
69025	UBS AG – HSI R Bull CBBC Oct 2016 L	200,000,000	2015/11/17	2016/10/28	50.00
69027	UBS AG – HSI R Bull CBBC Nov 2016 I	200,000,000	2015/11/17	2016/11/29	50.00
69031	UBS AG – HSI R Bear CBBC Feb 2016 J	200,000,000	2015/11/17	2016/02/26	50.00
69034	UBS AG – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/11/17	2016/02/26	50.00
69032	UBS AG – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/11/17	2016/02/26	50.00
69154	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 H	100,000,000	2015/11/18	2016/04/28	25.00
69140	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/11/18	2016/04/28	50.00
69134	Credit Suisse AG – HSCEI R Bear CBBC Feb 2016 C	100,000,000	2015/11/18	2016/02/26	25.00
69138	Credit Suisse AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/11/18	2016/09/29	50.00
69139	Credit Suisse AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/18	2016/02/26	50.00
69156	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/18	2016/02/26	50.00
69100	HK Bank – CKH R Bull CBBC Dec 2016 A	60,000,000	2015/11/18	2016/12/19	15.00
69109	HK Bank – CKP R Bull CBBC Oct 2016 A	50,000,000	2015/11/18	2016/10/24	12.50
69111	HK Bank – CKP R Bull CBBC Dec 2016 A	50,000,000	2015/11/18	2016/12/12	12.50
69126	HK Bank – Galaxy Ent R Bull CBBC Oct 2016 D	50,000,000	2015/11/18	2016/10/31	26.50
69125	HK Bank – Galaxy Ent R Bull CBBC Dec 2016 C	50,000,000	2015/11/18	2016/12/12	12.50
69093	HK Bank – HSI R Bear CBBC Aug 2016 I	100,000,000	2015/11/18	2016/08/30	25.00
69098	HK Bank – SHK Ppt R Bull CBBC Dec 2016 D	60,000,000	2015/11/18	2016/12/23	15.00
69102	HK Bank – TCH R Bear CBBC Jul 2016 G	100,000,000	2015/11/18	2016/07/25	25.00
69195	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 B	200,000,000	2015/11/18	2016/10/28	50.00
69203	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/18	2016/02/26	50.00
69201	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/11/18	2016/03/30	50.00
69200	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/11/18	2016/03/30	50.00
69127	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 G	200,000,000	2015/11/18	2016/10/28	50.00
69133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 A	200,000,000	2015/11/18	2016/06/29	50.00
69157	UBS AG – HSI R Bull CBBC Sep 2016 K	200,000,000	2015/11/18	2016/09/29	50.00
69168	UBS AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/18	2016/02/26	50.00
69169	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/11/18	2016/02/26	50.00
69355	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 D	40,000,000	2015/11/19	2016/09/30	10.00
69353	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 H	250,000,000	2015/11/19	2016/10/28	62.50
69354	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 A	200,000,000	2015/11/19	2016/05/30	50.00
69279	Credit Suisse AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/11/19	2016/10/28	50.00
69281	Credit Suisse AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/11/19	2016/10/28	50.00
69282	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/11/19	2016/10/28	50.00
69303	Credit Suisse AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/11/19	2016/10/28	50.00
69272	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/19	2016/03/30	50.00
69243	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/19	2016/03/30	50.00
69250	Credit Suisse AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/19	2016/03/30	50.00
69219	HK Bank – AIA R Bull CBBC Oct 2016 E	60,000,000	2015/11/19	2016/10/24	60.60
69218	HK Bank – China Life R Bear CBBC Oct 2016 C	50,000,000	2015/11/19	2016/10/31	19.50
69213	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 D	80,000,000	2015/11/19	2016/11/30	20.00
69227	HK Bank – PetCh R Bull CBBC Dec 2016 F	60,000,000	2015/11/19	2016/12/08	15.00
69217	HK Bank – TCH R Bull CBBC Dec 2016 A	100,000,000	2015/11/19	2016/12/19	25.00
69356	J P Morgan SP BV – China Mobile R Bull CBBC Oct 2016 A	100,000,000	2015/11/19	2016/10/14	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69391	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 C	150,000,000	2015/11/19	2016/11/29	37.50
69410	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 E	150,000,000	2015/11/19	2016/11/29	37.50
69411	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 N	150,000,000	2015/11/19	2016/02/26	37.50
69304	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Q	150,000,000	2015/11/19	2016/02/26	37.50
69415	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 B	150,000,000	2015/11/19	2016/03/30	37.50
69305	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 T	150,000,000	2015/11/19	2016/03/30	37.50
69364	J P Morgan SP BV – Tencent R Bull CBBC Jun 2016 F	100,000,000	2015/11/19	2016/06/17	25.00
69366	J P Morgan SP BV – Tencent R Bear CBBC Dec 2016 A	100,000,000	2015/11/19	2016/12/16	28.00
69275	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 D	40,000,000	2015/11/19	2016/05/30	10.00
69276	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 F	40,000,000	2015/11/19	2016/05/30	10.00
69278	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 G	40,000,000	2015/11/19	2016/05/30	10.20
69307	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 X	200,000,000	2015/11/19	2016/05/30	50.00
69342	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 V	200,000,000	2015/11/19	2016/06/29	50.00
69312	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 H	200,000,000	2015/11/19	2016/10/28	50.00
69231	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 I	200,000,000	2015/11/19	2016/04/28	50.00
69236	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 S	200,000,000	2015/11/19	2016/04/28	50.00
69230	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 X	200,000,000	2015/11/19	2016/04/28	50.00
69459	UBS AG – HSI R Bull CBBC Jun 2016 N	200,000,000	2015/11/19	2016/06/29	50.00
69460	UBS AG – HSI R Bull CBBC Oct 2016 M	200,000,000	2015/11/19	2016/10/28	50.00
69457	UBS AG – HSI R Bull CBBC Dec 2016 D	200,000,000	2015/11/19	2016/12/29	50.00
69458	UBS AG – HSI R Bull CBBC Dec 2016 E	200,000,000	2015/11/19	2016/12/29	50.00
69454	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/19	2016/02/26	50.00
69419	UBS AG – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/19	2016/02/26	50.00
69455	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/11/19	2016/02/26	50.00
69517	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 I	250,000,000	2015/11/20	2016/10/28	62.50
69523	Credit Suisse AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2015/11/20	2016/11/29	50.00
69524	Credit Suisse AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/11/20	2016/11/29	50.00
69526	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/20	2016/02/26	50.00
69530	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/20	2016/02/26	50.00
69542	Credit Suisse AG – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/11/20	2016/04/28	50.00
69466	HK Bank – HKEx R Bull CBBC Sep 2016 J	100,000,000	2015/11/20	2016/09/26	25.00
69465	HK Bank – HKEx R Bull CBBC Oct 2016 M	100,000,000	2015/11/20	2016/10/21	25.00
69464	HK Bank – HSCEI R Bull CBBC Jul 2016 H	100,000,000	2015/11/20	2016/07/28	25.00
69462	HK Bank – HSI R Bull CBBC Jul 2016 Z	150,000,000	2015/11/20	2016/07/28	37.50
69463	HK Bank – HSI R Bull CBBC Aug 2016 R	150,000,000	2015/11/20	2016/08/30	37.50
69559	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 C	100,000,000	2015/11/20	2016/09/15	25.00
69570	J P Morgan SP BV – HKEx R Bear CBBC Jul 2016 B	100,000,000	2015/11/20	2016/07/15	25.00
69579	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 V	200,000,000	2015/11/20	2016/09/29	50.00
69478	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 C	150,000,000	2015/11/20	2016/10/28	37.50
69573	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/11/20	2016/04/28	50.00
69578	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/11/20	2016/04/28	50.00
69473	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 T	200,000,000	2015/11/20	2016/05/30	50.00
69476	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 U	200,000,000	2015/11/20	2016/05/30	50.00
69477	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 I	200,000,000	2015/11/20	2016/10/28	50.00
69549	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2015/11/20	2016/05/30	50.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69546	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 B	200,000,000	2015/11/20	2016/06/29	50.00
69505	UBS AG – China Mobile R Bull CBBC Oct 2016 C	50,000,000	2015/11/20	2016/10/24	12.50
69490	UBS AG – HSI R Bull CBBC Sep 2016 G	200,000,000	2015/11/20	2016/09/29	50.00
69489	UBS AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/11/20	2016/10/28	50.00
69629	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2015/11/23	2016/11/29	62.50
69617	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 J	250,000,000	2015/11/23	2016/11/29	62.50
69618	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2015/11/23	2016/12/29	62.50
69616	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Y	250,000,000	2015/11/23	2016/12/29	62.50
69654	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/11/23	2016/03/30	50.00
69659	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/23	2016/03/30	50.00
69656	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/11/23	2016/04/28	50.00
69660	Credit Suisse AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/11/23	2016/11/29	50.00
69661	Credit Suisse AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/11/23	2016/11/29	50.00
69676	Credit Suisse AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/11/23	2016/11/29	50.00
69678	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/23	2016/03/30	50.00
69681	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/11/23	2016/03/30	50.00
69751	J P Morgan SP BV – HKEx R Bear CBBC Jul 2016 C	100,000,000	2015/11/23	2016/07/15	25.00
69639	J P Morgan SP BV – HSCEI R Bull CBBC Oct 2016 B	100,000,000	2015/11/23	2016/10/28	25.00
69640	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 W	150,000,000	2015/11/23	2016/09/29	37.50
69641	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 D	150,000,000	2015/11/23	2016/10/28	37.50
69752	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 S	150,000,000	2015/11/23	2016/02/26	37.50
69762	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	150,000,000	2015/11/23	2016/03/30	37.50
69731	J P Morgan SP BV – Tencent R Bear CBBC Jun 2016 C	100,000,000	2015/11/23	2016/06/17	25.00
69652	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 H	40,000,000	2015/11/23	2016/05/30	10.00
69615	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 A	200,000,000	2015/11/23	2016/06/29	50.00
69598	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 D	200,000,000	2015/11/23	2016/06/29	50.00
69599	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 U	200,000,000	2015/11/23	2016/07/28	50.00
69613	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/11/23	2016/09/29	50.00
69642	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 E	200,000,000	2015/11/23	2016/04/28	50.00
69644	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 L	200,000,000	2015/11/23	2016/04/28	50.00
69650	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/11/23	2016/05/30	50.00
69704	UBS AG – HSCEI R Bear CBBC Feb 2016 D	200,000,000	2015/11/23	2016/02/26	50.00
69683	UBS AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2015/11/23	2016/11/29	50.00
69682	UBS AG – HSI R Bull CBBC Dec 2016 F	200,000,000	2015/11/23	2016/12/29	50.00
69689	UBS AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2015/11/23	2016/12/29	50.00
69697	UBS AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/23	2016/02/26	50.00
69694	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/11/23	2016/02/26	50.00
69836	Credit Suisse AG – HSI R Bull CBBC Sep 2016 V	200,000,000	2015/11/24	2016/09/29	50.00
69837	Credit Suisse AG – HSI R Bull CBBC Sep 2016 W	200,000,000	2015/11/24	2016/09/29	50.00
69840	Credit Suisse AG – HSI R Bull CBBC Sep 2016 X	200,000,000	2015/11/24	2016/09/29	50.00
69832	Credit Suisse AG – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/11/24	2016/04/28	50.00
69831	Credit Suisse AG – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/11/24	2016/04/28	50.00
69787	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 C	200,000,000	2015/11/24	2016/07/28	52.00
69788	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 C	200,000,000	2015/11/24	2016/04/28	51.80
69768	HK Bank – ABC R Bull CBBC Jan 2017 A	80,000,000	2015/11/24	2017/01/16	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69772	HK Bank – China Mobile R Bull CBBC Dec 2016 B	120,000,000	2015/11/24	2016/12/05	30.00
69773	HK Bank – A50 R Bull CBBC Dec 2016 D	100,000,000	2015/11/24	2016/12/30	25.00
69774	HK Bank – HS H-SH ETF R Bull CBBC Jan 2017 A	100,000,000	2015/11/24	2017/01/11	25.00
69763	HK Bank – HSI R Bear CBBC May 2016 X	100,000,000	2015/11/24	2016/05/30	25.00
69764	HK Bank – HSI R Bear CBBC May 2016 Y	100,000,000	2015/11/24	2016/05/30	25.00
69766	HK Bank – HSI R Bear CBBC Oct 2016 F	400,000,000	2015/11/24	2016/10/28	100.00
69915	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 U	100,000,000	2015/11/24	2016/04/28	25.00
69822	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 X	150,000,000	2015/11/24	2016/12/29	37.50
69823	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Y	150,000,000	2015/11/24	2016/12/29	37.50
69953	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Z	150,000,000	2015/11/24	2016/12/29	37.50
69819	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 T	150,000,000	2015/11/24	2016/02/26	37.50
69917	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	150,000,000	2015/11/24	2016/03/30	37.50
69931	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	150,000,000	2015/11/24	2016/03/30	37.50
69814	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 Y	200,000,000	2015/11/24	2016/05/30	50.00
69816	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 J	200,000,000	2015/11/24	2016/06/29	50.00
69802	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 J	200,000,000	2015/11/24	2016/10/28	50.00
69786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2015/11/24	2016/05/30	50.00
69784	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/11/24	2016/05/30	50.00
69854	UBS AG – HSI R Bull CBBC Sep 2016 M	200,000,000	2015/11/24	2016/09/29	50.00
69866	UBS AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/11/24	2016/10/28	50.00
69876	UBS AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/11/24	2016/10/28	50.00
69843	UBS AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/11/24	2016/02/26	50.00
69848	UBS AG – HSI R Bear CBBC Feb 2016 J	200,000,000	2015/11/24	2016/02/26	50.00
69844	UBS AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/24	2016/02/26	50.00
60033	Credit Suisse AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/11/25	2016/10/28	50.00
60036	Credit Suisse AG – HSI R Bull CBBC Oct 2016 T	200,000,000	2015/11/25	2016/10/28	50.00
60040	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/11/25	2016/03/30	50.00
69973	Bank of East Asia – China Mobile R Bull CBBC Dec 2016 B	40,000,000	2015/11/25	2016/12/19	10.00
69987	Bank of East Asia – Galaxy Ent R Bull CBBC Dec 2016 C	20,000,000	2015/11/25	2016/12/07	10.40
69990	Bank of East Asia – HSBC Holdings R Bull CBBC Nov 2016 B	40,000,000	2015/11/25	2016/11/02	10.00
69989	Bank of East Asia – PetCh R Bull CBBC Dec 2016 B	50,000,000	2015/11/25	2016/12/05	12.50
69992	Bank of East Asia – Ping An R Bull CBBC Nov 2016 A	60,000,000	2015/11/25	2016/11/01	15.00
60032	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 V	100,000,000	2015/11/25	2016/04/28	25.00
60008	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 I	150,000,000	2015/11/25	2016/10/28	37.50
60014	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 F	150,000,000	2015/11/25	2016/12/29	37.50
60030	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 K	150,000,000	2015/11/25	2016/12/29	37.50
60174	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Y	150,000,000	2015/11/25	2016/03/30	37.50
60185	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	150,000,000	2015/11/25	2016/04/28	37.50
60138	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 E	40,000,000	2015/11/25	2016/05/30	10.20
60167	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 F	40,000,000	2015/11/25	2016/05/30	10.00
69954	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 K	200,000,000	2015/11/25	2016/10/28	50.00
69968	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/11/25	2016/05/30	50.00
69962	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 C	200,000,000	2015/11/25	2016/06/29	50.00
60057	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2016 A	50,000,000	2015/11/25	2016/06/06	12.50
60052	UBS AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/11/25	2016/11/29	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60050	UBS AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2015/11/25	2016/12/29	50.00
60094	UBS AG – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/11/25	2016/03/30	50.00
60363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/11/26	2016/11/29	62.50
60218	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/11/26	2016/03/30	50.00
60215	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/11/26	2016/04/28	50.00
60310	Credit Suisse AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2015/11/26	2016/11/29	50.00
60319	Credit Suisse AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/11/26	2016/11/29	50.00
60340	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2015/11/26	2016/11/29	50.00
60285	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/11/26	2016/03/30	50.00
60286	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/11/26	2016/03/30	50.00
60364	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 F	150,000,000	2015/11/26	2016/11/29	37.50
60356	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 H	150,000,000	2015/11/26	2017/01/26	37.50
60238	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 X	150,000,000	2015/11/26	2016/03/30	37.50
60343	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 A	150,000,000	2015/11/26	2016/04/28	37.50
60219	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	150,000,000	2015/11/26	2016/04/28	37.50
60246	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 T	200,000,000	2015/11/26	2016/06/29	50.00
60261	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 L	200,000,000	2015/11/26	2016/10/28	50.00
60211	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 H	200,000,000	2015/11/26	2016/04/28	50.00
60212	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 F	200,000,000	2015/11/26	2016/06/29	50.00
60284	UBS AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/11/26	2016/12/29	50.00
60264	UBS AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/26	2016/02/26	50.00
60282	UBS AG – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/26	2016/02/26	50.00
60501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 J	250,000,000	2015/11/27	2016/10/28	62.50
60502	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/11/27	2016/11/29	62.50
60500	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2015/11/27	2016/11/29	62.50
60374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/11/27	2016/04/28	50.00
60394	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/11/27	2016/04/28	50.00
60384	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 B	200,000,000	2015/11/27	2016/05/30	50.00
60486	Credit Suisse AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2015/11/27	2016/12/29	50.00
60488	Credit Suisse AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2015/11/27	2016/12/29	50.00
60489	Credit Suisse AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/11/27	2016/12/29	50.00
60484	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/27	2016/02/26	50.00
60470	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/27	2016/02/26	50.00
60520	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 X	150,000,000	2015/11/27	2016/09/29	37.50
60507	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 K	150,000,000	2015/11/27	2016/10/28	37.50
60523	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 L	150,000,000	2015/11/27	2016/10/28	37.50
60524	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	150,000,000	2015/11/27	2016/02/26	37.50
60497	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	150,000,000	2015/11/27	2016/02/26	37.50
60498	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Z	150,000,000	2015/11/27	2016/03/30	37.50
60503	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 Z	200,000,000	2015/11/27	2016/05/30	50.00
60504	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 M	200,000,000	2015/11/27	2016/10/28	50.00
60415	UBS AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/11/27	2016/10/28	50.00
60372	UBS AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/11/27	2016/11/29	50.00
60400	UBS AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/11/27	2016/11/29	50.00
60396	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/27	2016/02/26	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60642	Credit Suisse AG – HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/11/30	2016/03/30	25.00
60643	Credit Suisse AG – HSCEI R Bear CBBC Apr 2016 A	100,000,000	2015/11/30	2016/04/28	25.00
60670	Credit Suisse AG – HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/11/30	2016/08/30	50.00
60673	Credit Suisse AG – HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/11/30	2016/08/30	50.00
60699	Credit Suisse AG – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/11/30	2016/03/30	50.00
60683	Credit Suisse AG – HSI R Bear CBBC May 2016 S	200,000,000	2015/11/30	2016/05/30	50.00
60624	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 D	200,000,000	2015/11/30	2016/07/28	50.00
60640	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 E	200,000,000	2015/11/30	2016/07/28	50.00
60641	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/11/30	2016/04/28	51.60
60573	HK Bank – AIA R Bear CBBC Dec 2016 A	40,000,000	2015/11/30	2016/12/30	10.00
60612	HK Bank – CC Bank R Bull CBBC Dec 2016 F	100,000,000	2015/11/30	2016/12/16	25.00
60621	HK Bank – Galaxy Ent R Bear CBBC Jun 2016 B	50,000,000	2015/11/30	2016/06/27	27.50
60610	HK Bank – HKEx R Bull CBBC Sep 2016 K	100,000,000	2015/11/30	2016/09/26	25.00
60526	HK Bank – HSI R Bull CBBC Aug 2016 S	150,000,000	2015/11/30	2016/08/30	37.50
60572	HK Bank – HSI R Bull CBBC Sep 2016 W	150,000,000	2015/11/30	2016/09/29	37.50
60620	HK Bank – TCH R Bull CBBC Sep 2016 G	100,000,000	2015/11/30	2016/09/26	25.00
60768	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 I	150,000,000	2015/11/30	2016/11/29	37.50
60746	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 D	200,000,000	2015/11/30	2016/03/30	50.00
60740	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 B	200,000,000	2015/11/30	2016/06/29	50.00
60744	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 D	200,000,000	2015/11/30	2016/05/30	50.00
60732	UBS AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/11/30	2016/11/29	50.00
60731	UBS AG – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/11/30	2016/12/29	50.00
60723	UBS AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/30	2016/03/30	50.00
60806	Credit Suisse AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/12/01	2016/10/28	50.00
60813	Credit Suisse AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/12/01	2016/04/28	50.00
60807	Credit Suisse AG – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/12/01	2016/04/28	50.00
60820	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	150,000,000	2015/12/01	2016/12/29	37.50
60816	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	150,000,000	2015/12/01	2016/12/29	37.50
60819	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 N	150,000,000	2015/12/01	2016/04/28	37.50
60824	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 O	150,000,000	2015/12/01	2016/04/28	37.50
60774	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/12/01	2016/07/28	50.00
60779	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 J	200,000,000	2015/12/01	2016/04/28	50.00
60778	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 G	200,000,000	2015/12/01	2016/06/29	50.00
60800	UBS AG – CSOP A50 ETF R Bear CBBC Jun 2016 A	50,000,000	2015/12/01	2016/06/13	12.50
60789	UBS AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/12/01	2016/10/28	50.00
60780	UBS AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2015/12/01	2016/11/29	50.00
60797	UBS AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/12/01	2016/03/30	50.00
60853	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 A	100,000,000	2015/12/02	2016/05/30	25.00
60836	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 K	250,000,000	2015/12/02	2016/10/28	62.50
60848	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 C	200,000,000	2015/12/02	2016/05/30	50.00
60863	Credit Suisse AG – HSI R Bull CBBC Aug 2016 A	200,000,000	2015/12/02	2016/08/30	50.00
60864	Credit Suisse AG – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/12/02	2016/03/30	50.00
60867	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/12/02	2016/03/30	50.00
60876	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 H	150,000,000	2015/12/02	2016/10/28	37.50
60879	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 R	150,000,000	2015/12/02	2016/04/28	37.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60854	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 E	200,000,000	2015/12/02	2016/06/29	50.00
60857	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/12/02	2016/05/30	50.00
60860	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2015/12/02	2016/05/30	50.00
60870	UBS AG – CAM CSI300 R Bull CBBC Oct 2016 C	100,000,000	2015/12/02	2016/10/03	25.00
60871	UBS AG – HSCEI R Bull CBBC Nov 2016 C	200,000,000	2015/12/02	2016/11/29	50.00
60872	UBS AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/02	2016/03/30	50.00
60869	UBS AG – TCH R Bull CBBC Jul 2016 C	50,000,000	2015/12/02	2016/07/04	12.50
60915	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 M	250,000,000	2015/12/03	2016/11/29	62.50
60880	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/12/03	2016/03/30	50.00
60881	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 K	200,000,000	2015/12/03	2016/04/28	76.00
60991	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Y	200,000,000	2015/12/03	2016/09/29	50.00
61017	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Z	200,000,000	2015/12/03	2016/09/29	50.00
60938	Credit Suisse AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/12/03	2016/03/30	50.00
61043	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 R	150,000,000	2015/12/03	2016/11/29	37.50
61041	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 M	150,000,000	2015/12/03	2016/12/29	37.50
61040	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 E	150,000,000	2015/12/03	2016/03/30	37.50
60911	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2015/12/03	2016/05/30	50.00
60883	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 H	200,000,000	2015/12/03	2016/06/29	50.00
61032	UBS AG – HSI R Bull CBBC Dec 2016 K	200,000,000	2015/12/03	2016/12/29	50.00
61033	UBS AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/12/03	2016/03/30	50.00
61035	UBS AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/03	2016/03/30	50.00
61103	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 B	75,000,000	2015/12/04	2016/12/30	18.75
61056	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 L	250,000,000	2015/12/04	2016/10/28	62.50
61310	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 M	250,000,000	2015/12/04	2016/10/28	62.50
61061	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 O	250,000,000	2015/12/04	2016/11/29	62.50
61098	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/12/04	2016/11/29	62.50
61102	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/12/04	2016/04/28	50.00
61099	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 D	200,000,000	2015/12/04	2016/05/30	50.00
61312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 E	200,000,000	2015/12/04	2016/05/30	50.00
61132	Credit Suisse AG – China Mobile R Bull CBBC Jul 2016 A	80,000,000	2015/12/04	2016/07/29	20.00
61138	Credit Suisse AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/04	2016/10/28	50.00
61144	Credit Suisse AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/12/04	2016/10/28	50.00
61147	Credit Suisse AG – HSI R Bull CBBC Oct 2016 X	200,000,000	2015/12/04	2016/10/28	50.00
61172	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/04	2016/03/30	50.00
61148	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/12/04	2016/03/30	50.00
61149	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/04	2016/03/30	50.00
61120	Bank of East Asia – BOCL R Bull CBBC Nov 2016 A	60,000,000	2015/12/04	2016/11/04	15.00
61104	Bank of East Asia – COVS R Bull CBBC Dec 2016 B	25,000,000	2015/12/04	2016/12/16	10.00
61119	Bank of East Asia – CC Bank R Bull CBBC Nov 2016 B	60,000,000	2015/12/04	2016/11/03	15.00
61110	Bank of East Asia – CITIC R Bull CBBC Dec 2016 A	40,000,000	2015/12/04	2016/12/23	10.00
61246	J P Morgan SP BV – China Mobile R Bull CBBC Oct 2016 B	100,000,000	2015/12/04	2016/10/21	25.00
61263	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Aug 2016 D	100,000,000	2015/12/04	2016/08/19	25.00
61259	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Jul 2016 B	100,000,000	2015/12/04	2016/07/15	25.00
61250	J P Morgan SP BV – A50 China R Bear CBBC Jun 2016 D	100,000,000	2015/12/04	2016/06/17	25.00
61243	J P Morgan SP BV – HSCEI R Bull CBBC Oct 2016 C	100,000,000	2015/12/04	2016/10/28	25.00

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61245	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 W	100,000,000	2015/12/04	2016/04/28	25.00
61236	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 J	150,000,000	2015/12/04	2016/10/28	37.50
61093	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Q	150,000,000	2015/12/04	2016/11/29	37.50
61215	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 N	150,000,000	2015/12/04	2016/12/29	37.50
61237	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 P	150,000,000	2015/12/04	2016/12/29	37.50
61242	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 I	150,000,000	2015/12/04	2017/01/26	37.50
61232	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 F	150,000,000	2015/12/04	2016/03/30	37.50
61233	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 G	150,000,000	2015/12/04	2016/03/30	37.50
61235	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 S	150,000,000	2015/12/04	2016/04/28	37.50
61094	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 I	40,000,000	2015/12/04	2016/05/30	10.00
61071	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 P	200,000,000	2015/12/04	2016/06/29	50.00
61096	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 R	200,000,000	2015/12/04	2016/06/29	50.00
61083	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 N	200,000,000	2015/12/04	2016/10/28	50.00
61131	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 K	200,000,000	2015/12/04	2016/04/28	50.00
61127	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/12/04	2016/05/30	50.00
61129	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 D	200,000,000	2015/12/04	2016/06/29	50.00
61178	UBS AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/12/04	2016/11/29	50.00
61174	UBS AG – HSI R Bull CBBC Dec 2016 L	200,000,000	2015/12/04	2016/12/29	50.00
61179	UBS AG – HSI R Bull CBBC Dec 2016 M	200,000,000	2015/12/04	2016/12/29	50.00
61193	UBS AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/12/04	2016/03/30	50.00
61212	UBS AG – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/12/04	2016/03/30	50.00
61213	UBS AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/04	2016/03/30	50.00
61423	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 I	100,000,000	2015/12/07	2016/04/28	25.00
61422	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 B	100,000,000	2015/12/07	2016/05/30	25.00
61421	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/12/07	2016/04/28	50.00
61485	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/12/07	2016/04/28	50.00
61463	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Y	200,000,000	2015/12/07	2016/10/28	50.00
61464	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Z	200,000,000	2015/12/07	2016/10/28	50.00
61466	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/07	2016/03/30	50.00
61468	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/07	2016/03/30	50.00
61477	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/07	2016/03/30	50.00
61338	HK Bank – HSCEI R Bear CBBC Aug 2016 F	68,000,000	2015/12/07	2016/08/30	17.00
61316	HK Bank – HSI R Bear CBBC Aug 2016 J	100,000,000	2015/12/07	2016/08/30	25.00
61323	HK Bank – HSI R Bear CBBC Sep 2016 G	100,000,000	2015/12/07	2016/09/29	25.00
61506	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 T	150,000,000	2015/12/07	2016/10/28	37.50
61339	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 O	150,000,000	2015/12/07	2016/11/29	37.50
61509	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 J	150,000,000	2015/12/07	2017/01/26	37.50
61487	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 M	150,000,000	2015/12/07	2016/03/30	37.50
61501	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Q	150,000,000	2015/12/07	2016/03/30	37.50
61504	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 M	150,000,000	2015/12/07	2016/04/28	37.50
61495	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	150,000,000	2015/12/07	2016/04/28	37.50
61341	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 G	40,000,000	2015/12/07	2016/05/30	10.00
61351	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 H	40,000,000	2015/12/07	2016/05/30	10.20
61358	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 J	40,000,000	2015/12/07	2016/05/30	10.00
61373	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 K	40,000,000	2015/12/07	2016/05/30	10.20

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61378	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 J	200,000,000	2015/12/07	2016/09/29	50.00
61395	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2015/12/07	2016/05/30	50.00
61385	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 E	200,000,000	2015/12/07	2016/06/29	50.00
61410	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 I	200,000,000	2015/12/07	2016/06/29	50.00
61436	UBS AG – HSI R Bull CBBC Dec 2016 N	200,000,000	2015/12/07	2016/12/29	50.00
61440	UBS AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/07	2016/03/30	50.00
61444	UBS AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/12/07	2016/03/30	50.00
61462	UBS AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/12/07	2016/03/30	50.00
61609	Credit Suisse AG – HSI R Bull CBBC Oct 2016 A	200,000,000	2015/12/08	2016/10/28	50.00
61607	Credit Suisse AG – HSI R Bull CBBC Oct 2016 D	200,000,000	2015/12/08	2016/10/28	50.00
61603	Credit Suisse AG – HSI R Bull CBBC Oct 2016 K	200,000,000	2015/12/08	2016/10/28	50.00
61600	Credit Suisse AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/12/08	2016/10/28	50.00
61584	Credit Suisse AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/12/08	2016/04/28	50.00
61599	Credit Suisse AG – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/12/08	2016/04/28	50.00
61585	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/12/08	2016/04/28	50.00
61597	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/12/08	2016/04/28	50.00
61540	HK Bank – China Mobile R Bull CBBC Oct 2016 H	120,000,000	2015/12/08	2016/10/17	30.00
61549	HK Bank – CNOOC R Bull CBBC Oct 2016 F	60,000,000	2015/12/08	2016/10/24	15.00
61533	HK Bank – Galaxy Ent R Bull CBBC Aug 2017 A	50,000,000	2015/12/08	2017/08/16	12.50
61535	HK Bank – HKEx R Bull CBBC Oct 2016 N	100,000,000	2015/12/08	2016/10/31	25.00
61539	HK Bank – HKEx R Bear CBBC Jul 2016 G	50,000,000	2015/12/08	2016/07/04	12.50
61552	HK Bank – HSI R Bear CBBC Aug 2016 K	400,000,000	2015/12/08	2016/08/30	100.00
61550	HK Bank – HSI R Bear CBBC Sep 2016 H	150,000,000	2015/12/08	2016/09/29	37.50
61670	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2016 F	100,000,000	2015/12/08	2016/09/29	25.00
61704	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Q	150,000,000	2015/12/08	2016/10/28	37.50
61613	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 C	150,000,000	2015/12/08	2016/03/30	37.50
61676	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 S	150,000,000	2015/12/08	2016/03/30	37.50
61702	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 P	150,000,000	2015/12/08	2016/04/28	37.50
61578	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 O	200,000,000	2015/12/08	2016/07/28	50.00
61555	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/12/08	2016/07/28	50.00
61557	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/12/08	2016/07/28	50.00
61582	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 J	200,000,000	2015/12/08	2016/06/29	50.00
61583	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 K	200,000,000	2015/12/08	2016/06/29	50.00
61626	UBS AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/12/08	2016/10/28	50.00
61668	UBS AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2015/12/08	2016/11/29	50.00
61622	UBS AG – HSI R Bull CBBC Dec 2016 O	200,000,000	2015/12/08	2016/12/29	50.00
61637	UBS AG – HSI R Bull CBBC Dec 2016 P	200,000,000	2015/12/08	2016/12/29	50.00
61657	UBS AG – HSI R Bull CBBC Dec 2016 Q	200,000,000	2015/12/08	2016/12/29	50.00
61618	UBS AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/08	2016/03/30	50.00
61619	UBS AG – HSI R Bear CBBC Mar 2016 D	200,000,000	2015/12/08	2016/03/30	50.00
61620	UBS AG – HSI R Bear CBBC Mar 2016 G	200,000,000	2015/12/08	2016/03/30	50.00
61614	UBS AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/12/08	2016/03/30	50.00
61656	UBS AG – TCH R Bull CBBC Jul 2016 D	50,000,000	2015/12/08	2016/07/18	12.50
61791	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 N	250,000,000	2015/12/09	2016/10/28	62.50
61734	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 F	200,000,000	2015/12/09	2016/05/30	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61771	Credit Suisse AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/12/09	2016/10/28	50.00
61775	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/12/09	2016/10/28	50.00
61788	Credit Suisse AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/12/09	2016/10/28	50.00
61769	Credit Suisse AG – HSI R Bear CBBC Apr 2016 K	200,000,000	2015/12/09	2016/04/28	50.00
61803	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 E	200,000,000	2015/12/09	2016/04/28	51.40
61719	HK Bank – HSI R Bull CBBC Aug 2016 T	150,000,000	2015/12/09	2016/08/30	37.50
61718	HK Bank – HSI R Bull CBBC Sep 2016 X	150,000,000	2015/12/09	2016/09/29	37.50
61806	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 S	150,000,000	2015/12/09	2016/11/29	37.50
61804	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Q	150,000,000	2015/12/09	2016/12/29	37.50
61770	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 U	150,000,000	2015/12/09	2016/04/28	37.50
61807	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 W	150,000,000	2015/12/09	2016/04/28	37.50
61764	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 A	40,000,000	2015/12/09	2016/07/28	10.00
61789	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 L	40,000,000	2015/12/09	2016/05/30	10.00
61755	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 P	200,000,000	2015/12/09	2016/07/28	50.00
61743	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2015/12/09	2016/09/29	50.00
61757	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/12/09	2016/09/29	50.00
61730	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 L	200,000,000	2015/12/09	2016/05/30	50.00
61792	UBS AG – HSCEI R Bull CBBC Oct 2016 D	200,000,000	2015/12/09	2016/10/28	50.00
61794	UBS AG – HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/12/09	2016/03/30	25.00
61798	UBS AG – HSI R Bull CBBC Oct 2016 T	200,000,000	2015/12/09	2016/10/28	50.00
61795	UBS AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2015/12/09	2016/11/29	50.00
61800	UBS AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2015/12/09	2016/11/29	50.00
61802	UBS AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2015/12/09	2016/11/29	50.00
61797	UBS AG – HSI R Bull CBBC Dec 2016 R	200,000,000	2015/12/09	2016/12/29	50.00
61801	UBS AG – HSI R Bull CBBC Dec 2016 S	200,000,000	2015/12/09	2016/12/29	50.00
61967	Credit Suisse AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2015/12/10	2016/11/29	50.00
61971	Credit Suisse AG – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/12/10	2016/04/28	50.00
61882	HK Bank – AIA R Bull CBBC Sep 2016 G	60,000,000	2015/12/10	2016/09/19	21.00
61829	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2016 A	100,000,000	2015/12/10	2016/09/05	25.00
61815	HK Bank – A50 R Bull CBBC Sep 2016 D	100,000,000	2015/12/10	2016/09/19	25.00
61897	HK Bank – HKEx R Bull CBBC Sep 2016 L	100,000,000	2015/12/10	2016/09/21	25.00
61859	HK Bank – HSCEI R Bull CBBC Sep 2016 I	100,000,000	2015/12/10	2016/09/29	25.00
61840	HK Bank – HSI R Bull CBBC Jul 2016 A	150,000,000	2015/12/10	2016/07/28	37.50
61960	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 T	150,000,000	2015/12/10	2016/11/29	37.50
62026	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 K	150,000,000	2015/12/10	2017/01/26	37.50
62055	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 O	150,000,000	2015/12/10	2016/03/30	37.50
61977	UBS AG – HSI R Bull CBBC Dec 2016 T	200,000,000	2015/12/10	2016/12/29	50.00
62057	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 A	250,000,000	2015/12/11	2016/09/29	62.50
62086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 O	250,000,000	2015/12/11	2016/10/28	62.50
62154	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/12/11	2016/11/29	62.50
62242	Credit Suisse AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2015/12/11	2016/11/29	50.00
62270	Credit Suisse AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2015/12/11	2016/11/29	50.00
62303	Credit Suisse AG – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/12/11	2016/04/28	50.00
62304	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/12/11	2016/04/28	50.00
62305	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 R	150,000,000	2015/12/11	2016/12/29	37.50

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62449	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 S	150,000,000	2015/12/11	2016/12/29	37.50
62432	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 T	150,000,000	2015/12/11	2016/03/30	37.50
62446	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Y	150,000,000	2015/12/11	2016/04/28	37.50
62198	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 S	200,000,000	2015/12/11	2016/07/28	50.00
62228	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 J	200,000,000	2015/12/11	2016/05/30	50.00
62233	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Q	200,000,000	2015/12/11	2016/05/30	50.00
62356	UBS AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2015/12/11	2016/11/29	50.00
62306	UBS AG – HSI R Bull CBBC Dec 2016 U	200,000,000	2015/12/11	2016/12/29	50.00
62629	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 G	200,000,000	2015/12/14	2016/05/30	50.00
62642	Credit Suisse AG – HSI R Bull CBBC Sep 2016 J	200,000,000	2015/12/14	2016/09/29	50.00
62638	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/12/14	2016/03/30	50.00
62512	HK Bank – CKH R Bull CBBC Oct 2016 B	60,000,000	2015/12/14	2016/10/31	15.00
62481	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2016 A	100,000,000	2015/12/14	2016/10/03	25.00
62556	HK Bank – Sands China R Bull CBBC Dec 2016 A	50,000,000	2015/12/14	2016/12/05	12.50
62459	HK Bank – TCH R Bull CBBC Oct 2016 C	100,000,000	2015/12/14	2016/10/31	25.00
62462	HK Bank – TCH R Bull CBBC Nov 2016 A	100,000,000	2015/12/14	2016/11/14	25.00
62484	HK Bank – TCH R Bear CBBC Jul 2016 H	100,000,000	2015/12/14	2016/07/25	25.00
62674	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 Y	150,000,000	2015/12/14	2016/09/29	37.50
62678	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Z	150,000,000	2015/12/14	2016/04/28	37.50
62664	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 O	200,000,000	2015/12/14	2016/10/28	50.00
62646	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 L	200,000,000	2015/12/14	2016/06/29	50.00
62672	UBS AG – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/12/14	2016/03/30	50.00
62673	UBS AG – HSI R Bear CBBC Mar 2016 E	200,000,000	2015/12/14	2016/03/30	50.00
62788	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 J	100,000,000	2015/12/15	2016/04/28	25.00
62698	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 P	250,000,000	2015/12/15	2016/10/28	62.50
62702	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 Q	250,000,000	2015/12/15	2016/10/28	62.50
62700	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/12/15	2016/11/29	62.50
62763	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/12/15	2016/04/28	50.00
62761	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 H	200,000,000	2015/12/15	2016/05/30	50.00
62766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 I	200,000,000	2015/12/15	2016/05/30	50.00
62708	Credit Suisse AG – HSCEI R Bear CBBC Mar 2016 B	100,000,000	2015/12/15	2016/03/30	25.00
62723	Credit Suisse AG – HSI R Bull CBBC Sep 2016 B	200,000,000	2015/12/15	2016/09/29	50.00
62713	Credit Suisse AG – HSI R Bull CBBC Sep 2016 K	200,000,000	2015/12/15	2016/09/29	50.00
62719	Credit Suisse AG – HSI R Bull CBBC Sep 2016 L	200,000,000	2015/12/15	2016/09/29	50.00
62831	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/15	2016/03/30	50.00
62825	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/12/15	2016/03/30	50.00
62824	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/12/15	2016/03/30	50.00
62848	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 A	200,000,000	2015/12/15	2016/09/29	60.00
62887	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 F	200,000,000	2015/12/15	2016/04/28	53.20
62755	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 X	100,000,000	2015/12/15	2016/04/28	25.00
62756	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 S	150,000,000	2015/12/15	2016/10/28	37.50
62758	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 U	150,000,000	2015/12/15	2016/11/29	37.50
62760	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 T	150,000,000	2015/12/15	2016/12/29	37.50
62820	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 L	150,000,000	2015/12/15	2017/01/26	37.50
62791	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 N	150,000,000	2015/12/15	2016/03/30	37.50

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62806	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	150,000,000	2015/12/15	2016/03/30	37.50
62813	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 B	150,000,000	2015/12/15	2016/04/28	37.50
62790	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 M	40,000,000	2015/12/15	2016/05/30	10.00
62689	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 S	200,000,000	2015/12/15	2016/06/29	50.00
62680	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 P	200,000,000	2015/12/15	2016/10/28	50.00
62727	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 F	200,000,000	2015/12/15	2016/04/28	50.00
62733	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 M	200,000,000	2015/12/15	2016/04/28	50.00
62736	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 O	200,000,000	2015/12/15	2016/04/28	50.00
62749	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 M	400,000,000	2015/12/15	2016/06/29	100.00
62889	UBS AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2015/12/15	2016/11/29	50.00
62897	UBS AG – HSI R Bull CBBC Nov 2016 W	200,000,000	2015/12/15	2016/11/29	50.00
62895	UBS AG – HSI R Bull CBBC Dec 2016 V	200,000,000	2015/12/15	2016/12/29	50.00
62899	UBS AG – HSI R Bear CBBC Mar 2016 F	200,000,000	2015/12/15	2016/03/30	50.00
62901	UBS AG – HSI R Bear CBBC Mar 2016 H	200,000,000	2015/12/15	2016/03/30	50.00
62903	UBS AG – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/12/15	2016/03/30	50.00
63053	Credit Suisse AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/12/16	2016/10/28	50.00
63072	Credit Suisse AG – HSI R Bear CBBC Apr 2016 F	200,000,000	2015/12/16	2016/04/28	50.00
63073	Credit Suisse AG – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/12/16	2016/04/28	50.00
62909	HK Bank – Sinopec Corp R Bear CBBC Nov 2016 A	40,000,000	2015/12/16	2016/11/28	10.00
62968	HK Bank – HSI R Bull CBBC Aug 2016 U	150,000,000	2015/12/16	2016/08/30	37.50
63009	HK Bank – HSI R Bear CBBC May 2016 I	100,000,000	2015/12/16	2016/05/30	25.00
62993	HK Bank – HSI R Bear CBBC May 2016 Z	100,000,000	2015/12/16	2016/05/30	25.00
63013	HK Bank – PetCh R Bear CBBC Nov 2016 A	40,000,000	2015/12/16	2016/11/28	10.00
62931	HK Bank – Ping An R Bull CBBC Oct 2016 I	188,000,000	2015/12/16	2016/10/26	47.00
62948	HK Bank – Ping An R Bull CBBC Oct 2016 J	188,000,000	2015/12/16	2016/10/28	47.00
62966	HK Bank – Ping An R Bear CBBC Oct 2016 C	40,000,000	2015/12/16	2016/10/28	10.00
63123	J P Morgan SP BV – HSCEI R Bull CBBC Nov 2016 C	100,000,000	2015/12/16	2016/11/29	25.00
63118	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 Y	100,000,000	2015/12/16	2016/04/28	25.00
63082	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 W	150,000,000	2015/12/16	2016/11/29	37.50
63108	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 M	150,000,000	2015/12/16	2017/01/26	37.50
63083	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	150,000,000	2015/12/16	2016/03/30	37.50
63088	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 C	150,000,000	2015/12/16	2016/04/28	37.50
63104	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 D	150,000,000	2015/12/16	2016/04/28	37.50
63042	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 H	200,000,000	2015/12/16	2016/06/29	50.00
63014	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Q	200,000,000	2015/12/16	2016/10/28	50.00
63015	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 R	200,000,000	2015/12/16	2016/10/28	50.00
63049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 A	200,000,000	2015/12/16	2016/05/30	50.00
63051	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 N	200,000,000	2015/12/16	2016/06/29	50.00
63055	UBS AG – HSI R Bull CBBC Dec 2016 W	200,000,000	2015/12/16	2016/12/29	50.00
63062	UBS AG – HSI R Bear CBBC Mar 2016 I	200,000,000	2015/12/16	2016/03/30	50.00
63069	UBS AG – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/12/16	2016/03/30	50.00
63135	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 B	250,000,000	2015/12/17	2016/09/29	62.50
63124	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/12/17	2016/04/28	50.00
63153	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/12/17	2016/04/28	50.00
63145	Credit Suisse AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/12/17	2016/10/28	50.00

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63147	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/17	2016/03/30	50.00
63148	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/17	2016/03/30	50.00
63164	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 Z	100,000,000	2015/12/17	2016/04/28	25.00
63155	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 I	150,000,000	2015/12/17	2016/12/29	37.50
63173	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	150,000,000	2015/12/17	2016/03/30	37.50
63171	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Y	150,000,000	2015/12/17	2016/03/30	37.50
63178	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	150,000,000	2015/12/17	2016/04/28	37.50
63136	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 A	40,000,000	2015/12/17	2016/07/28	10.00
63125	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 S	200,000,000	2015/12/17	2016/10/28	50.00
63133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2015/12/17	2016/05/30	50.00
63126	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 O	200,000,000	2015/12/17	2016/06/29	50.00
63197	UBS AG – HSCEI R Bear CBBC Mar 2016 B	100,000,000	2015/12/17	2016/03/30	25.00
63181	UBS AG – HSI R Bull CBBC Feb 2017 A	200,000,000	2015/12/17	2017/02/27	50.00
63186	UBS AG – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/12/17	2016/03/30	50.00
63184	UBS AG – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/12/17	2016/03/30	50.00
63248	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 K	100,000,000	2015/12/18	2016/04/28	25.00
63207	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 C	100,000,000	2015/12/18	2016/05/30	25.00
63227	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 C	250,000,000	2015/12/18	2016/09/29	62.50
63201	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 R	250,000,000	2015/12/18	2016/10/28	62.50
63311	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 S	250,000,000	2015/12/18	2016/10/28	62.50
63237	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/12/18	2016/04/28	50.00
63246	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 J	200,000,000	2015/12/18	2016/05/30	50.00
63316	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 K	200,000,000	2015/12/18	2016/05/30	50.00
63251	Credit Suisse AG – HSI R Bull CBBC Sep 2016 M	200,000,000	2015/12/18	2016/09/29	50.00
63256	Credit Suisse AG – HSI R Bull CBBC Sep 2016 N	200,000,000	2015/12/18	2016/09/29	50.00
63294	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/18	2016/03/30	50.00
63265	Credit Suisse AG – HSI R Bear CBBC May 2016 T	200,000,000	2015/12/18	2016/05/30	50.00
63293	Credit Suisse AG – HSI R Bear CBBC May 2016 U	200,000,000	2015/12/18	2016/05/30	50.00
63317	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 U	150,000,000	2015/12/18	2016/12/29	37.50
63321	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 N	150,000,000	2015/12/18	2017/01/26	37.50
63335	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 I	150,000,000	2015/12/18	2016/03/30	37.50
63324	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 X	150,000,000	2015/12/18	2016/03/30	37.50
63332	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	150,000,000	2015/12/18	2016/04/28	37.50
63338	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	150,000,000	2015/12/18	2016/04/28	37.50
63250	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 B	40,000,000	2015/12/18	2016/07/28	10.00
63209	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 L	200,000,000	2015/12/18	2016/06/29	50.00
63216	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 T	200,000,000	2015/12/18	2016/10/28	50.00
63224	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/12/18	2016/05/30	50.00
63217	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 P	200,000,000	2015/12/18	2016/06/29	50.00
63297	UBS AG – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/12/18	2016/11/29	50.00
63300	UBS AG – HSI R Bull CBBC Dec 2016 X	200,000,000	2015/12/18	2016/12/29	50.00
63301	UBS AG – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/12/18	2016/03/30	50.00
63305	UBS AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/12/18	2016/04/28	50.00
63414	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 D	250,000,000	2015/12/21	2016/09/29	62.50
63405	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 T	250,000,000	2015/12/21	2016/10/28	62.50

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63419	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 U	250,000,000	2015/12/21	2016/10/28	62.50
63479	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/12/21	2016/04/28	50.00
63477	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 L	200,000,000	2015/12/21	2016/05/30	50.00
63537	Credit Suisse AG – HSCEI R Bear CBBC Mar 2016 C	100,000,000	2015/12/21	2016/03/30	25.00
63532	Credit Suisse AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/12/21	2016/10/28	50.00
63534	Credit Suisse AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/21	2016/10/28	50.00
63535	Credit Suisse AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/12/21	2016/10/28	50.00
63538	Credit Suisse AG – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/12/21	2016/04/28	50.00
63556	Credit Suisse AG – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/12/21	2016/04/28	50.00
63557	Credit Suisse AG – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/12/21	2016/04/28	50.00
63558	Credit Suisse AG – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/12/21	2016/04/28	50.00
63491	Bank of East Asia – Sinopec Corp R Bull CBBC Dec 2016 B	60,000,000	2015/12/21	2016/12/06	15.00
63494	Bank of East Asia – TCH R Bull CBBC Dec 2016 B	40,000,000	2015/12/21	2016/12/05	10.00
63595	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 B	200,000,000	2015/12/21	2016/09/29	51.00
63596	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 C	200,000,000	2015/12/21	2016/09/29	51.00
63597	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/12/21	2016/04/28	51.60
63598	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/12/21	2016/04/28	51.20
63343	HK Bank – CC Bank R Bull CBBC Aug 2016 F	100,000,000	2015/12/21	2016/08/29	25.00
63344	HK Bank – CNOOC R Bull CBBC Nov 2016 G	60,000,000	2015/12/21	2016/11/21	15.00
63348	HK Bank – CNOOC R Bear CBBC Nov 2016 A	40,000,000	2015/12/21	2016/11/21	10.00
63358	HK Bank – Sinopec Corp R Bull CBBC Jan 2017 A	80,000,000	2015/12/21	2017/01/16	20.00
63354	HK Bank – HKEx R Bear CBBC Jul 2016 H	50,000,000	2015/12/21	2016/07/11	12.50
63381	HK Bank – HSCEI R Bear CBBC Sep 2016 C	68,000,000	2015/12/21	2016/09/29	17.00
63362	HK Bank – HSCEI R Bear CBBC Oct 2016 C	68,000,000	2015/12/21	2016/10/28	17.00
63386	HK Bank – HSI R Bear CBBC Aug 2016 L	100,000,000	2015/12/21	2016/08/30	25.00
63378	HK Bank – HSI R Bear CBBC Sep 2016 I	100,000,000	2015/12/21	2016/09/29	25.00
63384	HK Bank – ICBC R Bull CBBC Aug 2016 B	80,000,000	2015/12/21	2016/08/31	20.00
63389	HK Bank – ICBC R Bull CBBC Sep 2016 B	80,000,000	2015/12/21	2016/09/30	20.00
63400	HK Bank – ICBC R Bear CBBC Sep 2016 A	40,000,000	2015/12/21	2016/09/30	10.00
63609	J P Morgan SP BV – China Life R Bull CBBC Dec 2016 A	100,000,000	2015/12/21	2016/12/16	25.00
63612	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 D	100,000,000	2015/12/21	2016/09/15	25.00
63470	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 Z	200,000,000	2015/12/21	2016/09/29	50.00
63466	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 U	150,000,000	2015/12/21	2016/10/28	37.50
63471	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/12/21	2016/11/29	50.00
63585	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 F	150,000,000	2015/12/21	2016/03/30	37.50
63586	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 G	200,000,000	2015/12/21	2016/03/30	50.00
63592	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	150,000,000	2015/12/21	2016/04/28	37.50
63610	J P Morgan SP BV – Sands China R Bull CBBC Dec 2016 A	100,000,000	2015/12/21	2016/12/16	25.00
63512	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 I	40,000,000	2015/12/21	2016/05/30	10.00
63521	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 J	40,000,000	2015/12/21	2016/05/30	10.20
63522	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 K	40,000,000	2015/12/21	2016/05/30	10.40
63508	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 C	40,000,000	2015/12/21	2016/07/28	10.00
63440	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 O	200,000,000	2015/12/21	2016/06/29	50.00
63462	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 T	200,000,000	2015/12/21	2016/07/28	50.00
63426	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 U	200,000,000	2015/12/21	2016/10/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63427	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 V	200,000,000	2015/12/21	2016/10/28	50.00
63460	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 W	200,000,000	2015/12/21	2016/10/28	50.00
63527	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 P	200,000,000	2015/12/21	2016/04/28	50.00
63529	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Q	200,000,000	2015/12/21	2016/04/28	50.00
63530	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 T	200,000,000	2015/12/21	2016/04/28	50.00
63561	UBS AG – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/12/21	2016/11/29	50.00
63565	UBS AG – HSI R Bull CBBC Dec 2016 Y	200,000,000	2015/12/21	2016/12/29	50.00
63566	UBS AG – HSI R Bull CBBC Dec 2016 Z	200,000,000	2015/12/21	2016/12/29	50.00
63567	UBS AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/12/21	2016/03/30	50.00
63572	UBS AG – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/12/21	2016/03/30	50.00
63569	UBS AG – HSI R Bear CBBC Apr 2016 B	200,000,000	2015/12/21	2016/04/28	50.00
63707	Credit Suisse AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2015/12/22	2016/11/29	50.00
63726	Credit Suisse AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2015/12/22	2016/11/29	50.00
63729	Credit Suisse AG – HSI R Bull CBBC Nov 2016 W	200,000,000	2015/12/22	2016/11/29	50.00
63675	Credit Suisse AG – HSI R Bear CBBC May 2016 V	200,000,000	2015/12/22	2016/05/30	50.00
63676	Credit Suisse AG – HSI R Bear CBBC May 2016 W	200,000,000	2015/12/22	2016/05/30	50.00
63644	HK Bank – China Life R Bull CBBC Jul 2016 D	60,000,000	2015/12/22	2016/07/29	15.00
63643	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2016 B	100,000,000	2015/12/22	2016/10/17	25.00
63631	HK Bank – HSCEI R Bull CBBC Oct 2016 I	100,000,000	2015/12/22	2016/10/28	25.00
63613	HK Bank – HSI R Bull CBBC Sep 2016 Y	150,000,000	2015/12/22	2016/09/29	37.50
63617	HK Bank – HSI R Bull CBBC Oct 2016 Q	150,000,000	2015/12/22	2016/10/28	37.50
63745	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/22	2016/10/28	50.00
63744	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/12/22	2016/11/29	50.00
63746	J P Morgan SP BV – HSI R Bear CBBC May 2016 A	150,000,000	2015/12/22	2016/05/30	37.50
63664	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Q	200,000,000	2015/12/22	2016/07/28	50.00
63651	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Q	200,000,000	2015/12/22	2016/08/30	50.00
63666	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 J	200,000,000	2015/12/22	2016/09/29	50.00
63667	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Q	200,000,000	2015/12/22	2016/06/29	50.00
63741	UBS AG – HSI R Bull CBBC Nov 2016 H	200,000,000	2015/12/22	2016/11/29	50.00
63740	UBS AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2015/12/22	2016/11/29	50.00
63743	UBS AG – HSI R Bull CBBC Dec 2016 D	200,000,000	2015/12/22	2016/12/29	50.00
63738	UBS AG – HSI R Bear CBBC Apr 2016 C	200,000,000	2015/12/22	2016/04/28	50.00
63739	UBS AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/12/22	2016/04/28	50.00
64837 [#]	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 A	150,000,000	2015/12/22	2016/07/28	16.80
63832	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 C	50,000,000	2015/12/23	2016/12/30	12.50
63829	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 E	40,000,000	2015/12/23	2016/09/30	10.00
63899	Credit Suisse AG – HSCEI R Bull CBBC Sep 2016 B	100,000,000	2015/12/23	2016/09/29	25.00
63898	Credit Suisse AG – HSCEI R Bull CBBC Oct 2016 B	100,000,000	2015/12/23	2016/10/28	25.00
63886	Credit Suisse AG – HSI R Bull CBBC Sep 2016 A	200,000,000	2015/12/23	2016/09/29	50.00
63888	Credit Suisse AG – HSI R Bull CBBC Sep 2016 F	200,000,000	2015/12/23	2016/09/29	50.00
63893	Credit Suisse AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/12/23	2016/09/29	50.00
63889	Credit Suisse AG – HSI R Bull CBBC Oct 2016 A	200,000,000	2015/12/23	2016/10/28	50.00
63868	Credit Suisse AG – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/12/23	2016/04/28	50.00
63884	Credit Suisse AG – HSI R Bear CBBC May 2016 X	200,000,000	2015/12/23	2016/05/30	50.00
63864	Credit Suisse AG – HSI R Bear CBBC Jun 2016 D	200,000,000	2015/12/23	2016/06/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63906	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 W	150,000,000	2015/12/23	2016/10/28	37.50
63935	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/12/23	2016/11/29	50.00
63810	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/12/23	2016/03/30	50.00
63791	J P Morgan SP BV – HSI R Bear CBBC May 2016 B	200,000,000	2015/12/23	2016/05/30	50.00
63817	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 M	200,000,000	2015/12/23	2016/06/29	50.00
63820	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 U	200,000,000	2015/12/23	2016/06/29	50.00
63813	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 R	200,000,000	2015/12/23	2016/08/30	50.00
63750	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 V	200,000,000	2015/12/23	2016/04/28	50.00
63748	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2015/12/23	2016/05/30	50.00
63782	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/12/23	2016/05/30	50.00
63837	UBS AG – HSBC R Bear CBBC Jul 2016 A	100,000,000	2015/12/23	2016/07/18	25.00
63838	UBS AG – HSCEI R Bull CBBC Oct 2016 E	200,000,000	2015/12/23	2016/10/28	50.00
63841	UBS AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/12/23	2016/10/28	50.00
63862	UBS AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/23	2016/10/28	50.00
63859	UBS AG – HSI R Bull CBBC Nov 2016 I	200,000,000	2015/12/23	2016/11/29	50.00
63860	UBS AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2015/12/23	2016/11/29	50.00
63844	UBS AG – HSI R Bull CBBC Feb 2017 B	200,000,000	2015/12/23	2017/02/27	50.00
63834	UBS AG – Ping An R Bull CBBC Oct 2016 D	100,000,000	2015/12/23	2016/10/03	25.00
63836	UBS AG – TCH R Bull CBBC Jul 2016 E	50,000,000	2015/12/23	2016/07/18	12.50
63984	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 J	100,000,000	2015/12/24	2016/11/29	25.00
63986	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 J	100,000,000	2015/12/24	2016/12/29	25.00
64004	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Aug 2016 A	100,000,000	2015/12/24	2016/08/30	25.00
64003	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 E	250,000,000	2015/12/24	2016/09/29	62.50
64002	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 V	250,000,000	2015/12/24	2016/10/28	62.50
63964	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/12/24	2016/04/28	50.00
63981	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/12/24	2016/04/28	50.00
63976	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 M	200,000,000	2015/12/24	2016/05/30	50.00
64016	Credit Suisse AG – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/12/24	2016/11/29	50.00
64017	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/12/24	2016/11/29	50.00
64022	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2015/12/24	2016/11/29	50.00
64014	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/24	2016/03/30	50.00
64015	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/24	2016/03/30	50.00
64013	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/24	2016/03/30	50.00
63937	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 D	200,000,000	2015/12/24	2016/09/29	50.60
63957	HK Bank – ABC R Bull CBBC Nov 2016 C	80,000,000	2015/12/24	2016/11/07	20.00
63941	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 D	50,000,000	2015/12/24	2016/11/28	14.50
63952	HK Bank – HKEx R Bull CBBC Oct 2016 O	100,000,000	2015/12/24	2016/10/12	25.00
63943	HK Bank – HS H-SH ETF R Bull CBBC Sep 2016 C	100,000,000	2015/12/24	2016/09/29	25.00
63954	HK Bank – HSCEI R Bull CBBC Sep 2016 J	100,000,000	2015/12/24	2016/09/29	25.00
63953	HK Bank – HSI R Bull CBBC Aug 2016 V	150,000,000	2015/12/24	2016/08/30	37.50
63987	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 B	40,000,000	2015/12/24	2016/07/28	10.00
63989	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 C	40,000,000	2015/12/24	2016/07/28	10.20
63991	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 D	40,000,000	2015/12/24	2016/07/28	10.40
63995	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Y	200,000,000	2015/12/24	2016/06/29	50.00
63993	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 U	200,000,000	2015/12/24	2016/07/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63963	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 16F	200,000,000	2015/12/24	2016/05/30	50.00
63961	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May 16V	200,000,000	2015/12/24	2016/05/30	50.00
63997	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun 16R	200,000,000	2015/12/24	2016/06/29	50.00
64010	UBS AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/12/24	2016/10/28	50.00
64007	UBS AG – HSI R Bull CBBC Dec 2016 E	200,000,000	2015/12/24	2016/12/29	50.00
64011	UBS AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/12/24	2016/12/29	50.00
64005	UBS AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/24	2016/03/30	50.00
64006	UBS AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/12/24	2016/03/30	50.00
64024	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2015/12/28	2016/11/29	62.50
64053	Credit Suisse AG – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/12/28	2016/12/29	50.00
64058	Credit Suisse AG – HSI R Bull CBBC Dec 2016 K	200,000,000	2015/12/28	2016/12/29	50.00
64059	Credit Suisse AG – HSI R Bull CBBC Dec 2016 L	200,000,000	2015/12/28	2016/12/29	50.00
64078	Credit Suisse AG – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/12/28	2016/04/28	50.00
64084	Credit Suisse AG – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/12/28	2016/04/28	50.00
64089	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 X	150,000,000	2015/12/28	2016/10/28	37.50
64085	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 V	150,000,000	2015/12/28	2016/11/29	37.50
64098	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/12/28	2016/03/30	50.00
64037	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 N	200,000,000	2015/12/28	2016/08/30	50.00
64031	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 P	200,000,000	2015/12/28	2016/08/30	50.00
64032	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Y	200,000,000	2015/12/28	2016/09/29	50.00
64041	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2015/12/28	2016/06/29	50.00
64046	UBS AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/12/28	2016/11/29	50.00
64048	UBS AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/12/28	2016/11/29	50.00
64044	UBS AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/12/28	2016/03/30	50.00
64141	Credit Suisse AG – HSI R Bull CBBC Oct 2016 D	200,000,000	2015/12/29	2016/10/28	50.00
64139	Credit Suisse AG – HSI R Bear CBBC May 2016 Y	200,000,000	2015/12/29	2016/05/30	50.00
64140	Credit Suisse AG – HSI R Bear CBBC May 2016 Z	200,000,000	2015/12/29	2016/05/30	50.00
64155	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 E	200,000,000	2015/12/29	2016/09/29	69.60
64156	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 F	200,000,000	2015/12/29	2016/09/29	57.80
64160	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 G	200,000,000	2015/12/29	2016/09/29	50.60
64101	HK Bank – A50 R Bull CBBC Oct 2016 F	100,000,000	2015/12/29	2016/10/17	25.00
64108	HK Bank – HSI R Bull CBBC Oct 2016 R	150,000,000	2015/12/29	2016/10/28	37.50
64100	HK Bank – TCH R Bull CBBC Aug 2016 M	100,000,000	2015/12/29	2016/08/31	25.00
64143	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/12/29	2016/11/29	50.00
64145	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 V	150,000,000	2015/12/29	2016/12/29	37.50
64149	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 O	150,000,000	2015/12/29	2017/01/26	37.50
64142	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/12/29	2016/03/30	50.00
64153	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 R	150,000,000	2015/12/29	2016/04/28	37.50
64109	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 X	200,000,000	2015/12/29	2016/10/28	50.00
64121	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Y	200,000,000	2015/12/29	2016/04/28	50.00
64133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Z	200,000,000	2015/12/29	2016/04/28	50.00
64136	UBS AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/12/29	2016/11/29	50.00
64135	UBS AG – HSI R Bear CBBC Mar 2016 F	200,000,000	2015/12/29	2016/03/30	50.00
64220	Credit Suisse AG – HSI R Bull CBBC Nov 2016 A	200,000,000	2015/12/30	2016/11/29	50.00
64234	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/30	2016/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64161	HK Bank – HSI R Bull CBBC Sep 2016 Z	150,000,000	2015/12/30	2016/09/29	37.50
64237	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 X	200,000,000	2015/12/30	2016/12/29	50.00
64252	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Y	200,000,000	2015/12/30	2016/12/29	50.00
64240	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/30	2016/03/30	50.00
64244	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/12/30	2016/04/28	50.00
64168	SGA Societe Generale Acceptance N.V. – CSA50 R Bull CBBC Mar17 A	100,000,000	2015/12/30	2017/03/30	25.00
64201	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Feb17 A	100,000,000	2015/12/30	2017/02/27	25.00
64197	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr17 A	100,000,000	2015/12/30	2017/04/27	25.00
64191	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jun17 A	200,000,000	2015/12/30	2017/06/29	50.00
64190	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Sep17 A	200,000,000	2015/12/30	2017/09/28	50.00
64214	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Jul16 A	200,000,000	2015/12/30	2016/07/28	50.00
64207	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Sep16 A	200,000,000	2015/12/30	2016/09/29	50.00
64205	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Nov16 A	200,000,000	2015/12/30	2016/11/29	50.00
64311	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 G	100,000,000	2015/12/31	2016/09/30	25.00
64295	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2016 C	100,000,000	2015/12/31	2016/06/30	25.00
64456	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 F	40,000,000	2015/12/31	2016/09/30	13.20
64399	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 W	250,000,000	2015/12/31	2016/10/28	62.50
64271	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 A	200,000,000	2015/12/31	2016/06/29	50.00
64294	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 A	200,000,000	2015/12/31	2016/07/28	50.00
64312	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 E	50,000,000	2015/12/31	2016/12/30	12.50
64504	Credit Suisse AG – HSI R Bull CBBC Oct 2016 K	200,000,000	2015/12/31	2016/10/28	50.00
64508	Credit Suisse AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/12/31	2016/10/28	50.00
64500	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/12/31	2016/03/30	50.00
64497	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/31	2016/03/30	50.00
64512	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 F	100,000,000	2015/12/31	2016/12/29	25.00
64494	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/12/31	2016/12/29	50.00
64517	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	150,000,000	2015/12/31	2016/12/29	37.50
64474	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/31	2016/03/30	50.00
64521	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 C	150,000,000	2015/12/31	2016/04/28	37.50
64315	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct16 A	200,000,000	2015/12/31	2016/10/28	50.00
64320	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Apr16 A	200,000,000	2015/12/31	2016/04/28	50.00
64366	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC May16 A	200,000,000	2015/12/31	2016/05/30	50.00
64386	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC May16 B	200,000,000	2015/12/31	2016/05/30	50.00
64385	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jun16 A	200,000,000	2015/12/31	2016/06/29	50.00
64387	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jun16 B	200,000,000	2015/12/31	2016/06/29	50.00
64398	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2015/12/31	2016/09/29	50.00
64253	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Y	200,000,000	2015/12/31	2016/10/28	50.00
64391	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Z	400,000,000	2015/12/31	2016/10/28	100.00
64257	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/12/31	2016/05/30	50.00
64473	UBS AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/12/31	2016/11/29	50.00
64460	UBS AG – HSI R Bear CBBC Mar 2016 H	200,000,000	2015/12/31	2016/03/30	50.00
總額					87,034.75

進一步發行

預託證券／股票掛鈎票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2014	第4季	4	81.70
2015	第1季	4	43.92
	第2季	4	107.08
	第3季	4	67.99
	第4季	4	49.30

股票掛鈎票據交易統計

		發行數目	成交金額(百萬港元)
2014	第4季	—	—
2015	第1季	—	—
	第2季	—	—
	第3季	—	—
	第4季	—	—

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2014	第4季	7	1.27
2015	第1季	7	0.20
	第2季	6	0.48
	第3季	6	0.01
	第4季	6	0.01

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2014	第4季	2	—
2015	第1季	2	—
	第2季	2	—
	第3季	2	—
	第4季	2	0.09

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2014	第4季	77,002.94	34,223.56	888,648
2015	第1季	74,102.98	31,767.18	912,664
	第2季	254,155.08	131,631.55	2,815,024
	第3季	137,580.38	51,332.73	1,377,832
	第4季	136,058.68	39,886.12	1,212,890

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2014	第4季	62	551.99	38,512
2015	第1季	61	520.77	34,807
	第2季	60	2,193.86	46,760
	第3季	63	814.81	37,256
	第4季	63	633.11	32,885

20種升幅最大之創業板股份

2015年第4季

順次	股份代號	股份	收市價		升幅
			2015年12月底	2015年9月底	
1	08197	東北虎藥業	1.840	0.390	371.79
2	08265	宏峰太平洋	3.470	0.920	277.17
3	08312	金滿堂控股	0.630	0.225	180.00
4	08326	JC GROUP HLDG	6.240	2.730	128.57
5	08046	恒芯中國	0.260	0.119	118.49
6	08030	匯聯金融服務	1.290	0.610	111.48
7	08125	中持基業	6.000	2.900	106.90
8	08095	北大青鳥環宇	2.080	1.010	105.94
9	08153	科地農業	1.230	0.632	A 94.62
10	08106	浙大蘭德	0.780	0.425	83.53
11	08272	華人飲食集團	0.550	0.310	77.42
12	08068	新宇國際	0.810	0.470	72.34
13	08261	海天水電	1.230	0.720	70.83
14	08077	昆侖國際金融	1.120	0.690	62.32
15	08193	漢華專業服務	0.760	0.470	61.70
16	08036	晶芯科技控股	4.190	2.670	56.93
17	08310	大豐港	0.790	0.510	54.90
18	08105	滙能集團	1.870	1.210	54.55
19	08207	中國信貸	3.030	2.000	51.50
20	08249	寧波萬豪	0.405	0.270	50.00

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2015年第4季

順次	股份代號	股份	收市價		跌幅
			2015年12月底	2015年9月底	
1	08006	華泰瑞銀	0.029	0.690	-95.80
2	08248	豐展控股	0.153	1.150	-86.70
3	08109	科瑞控股	0.034	0.109	-68.81
4	08170	KSL HOLDINGS	2.880	8.500	-66.12
5	08179	新煮意控股	0.038	0.110	-65.45
6	08173	寰亞礦業	0.045	0.106	-57.55
7	08181	港深聯合	0.172	0.400	-57.00
8	08047	宇恒供應鏈	0.085	0.185	-54.05
9	08147	匯思太平洋	1.240	2.480 A	-50.00
10	08212	譽滿國際控股	0.380	0.660 A	-42.42
11	08103	泰盛國際控股	0.620	1.030 A	-39.81
12	08120	中國神農投資	0.056	0.091	-38.46
13	08081	中國手遊文化	0.315	0.510 A	-38.24
14	08177	REF HOLDINGS	0.680	1.090	-37.61
15	08086	DX.COM控股	0.265	0.420 A	-36.90
16	08100	智易控股	0.212	0.335	-36.72
17	08028	天時軟件	0.135	0.205 A	-34.15
18	08059	朝威控股	1.100	1.660	-33.73
19	08019	皓文控股	0.360	0.540 A	-33.33
20	08315	冠輝保安	0.280	0.420	-33.33

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2015年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08207	中國信貸	2,553.64	6.40
2	08369	立基工程控股	1,908.96	4.79
3	08078	中國3D數碼	1,793.81	4.50
4	08006	華泰瑞銀	1,459.09	3.66
5	08279	亞博科技控股	919.46	2.31
6	08167	中國新電信	907.22	2.27
7	08195	L&A INTL HOLD	899.57	2.26
8	08315	冠輝保安	838.49	2.10
9	08095	北大青鳥環宇	746.52	1.87
10	08197	東北虎藥業	743.14	1.86
11	08260	施伯樂策略控股	686.51	1.72
12	08325	中國支付通	658.23	1.65
13	08158	中國再生醫學	639.79	1.60
14	08089	華人策略控股	624.61	1.57
15	08248	豐展控股	619.22	1.55
16	08290	亞勢備份	615.33	1.54
17	08046	恒芯中國	612.34	1.54
18	08125	中持基業	556.30	1.39
19	08087	中國三三傳媒	543.61	1.36
20	08088	滙友資本	529.46	1.33
總額			18,855.30	47.27

創業板 股份

20種成交最活躍創業板股份(股數)

2015年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08006	華泰瑞銀	17,157.94	12.61
2	08179	新煮意控股	7,635.27	5.61
3	08171	中國趨勢	7,233.85	5.32
4	08081	中國手遊文化	6,382.23	4.69
5	08018	匯財金融投資	5,170.79	3.80
6	08201	寶聯控股	3,861.54	2.84
7	08109	科瑞控股	3,591.11	2.64
8	08087	中國三三傳媒	3,457.09	2.54
9	08173	寰亞礦業	3,179.29	2.34
10	08046	恒芯中國	3,076.19	2.26
11	08192	環球能源資源	2,920.71	2.15
12	08148	奧栢中國	2,803.90	2.06
13	08078	中國3D數碼	2,760.79	2.03
14	08315	冠輝保安	2,692.55	1.98
15	08101	家夢控股	2,630.08	1.93
16	08369	立基工程控股	2,607.49	1.92
17	08132	中油港燃	2,357.96	1.73
18	08290	亞勢備份	2,165.51	1.59
19	08238	惠陶集團	1,782.42	1.31
20	08366	FRASER HLDGS	1,609.80	1.18
總數			85,076.50	62.53

創業板 股份

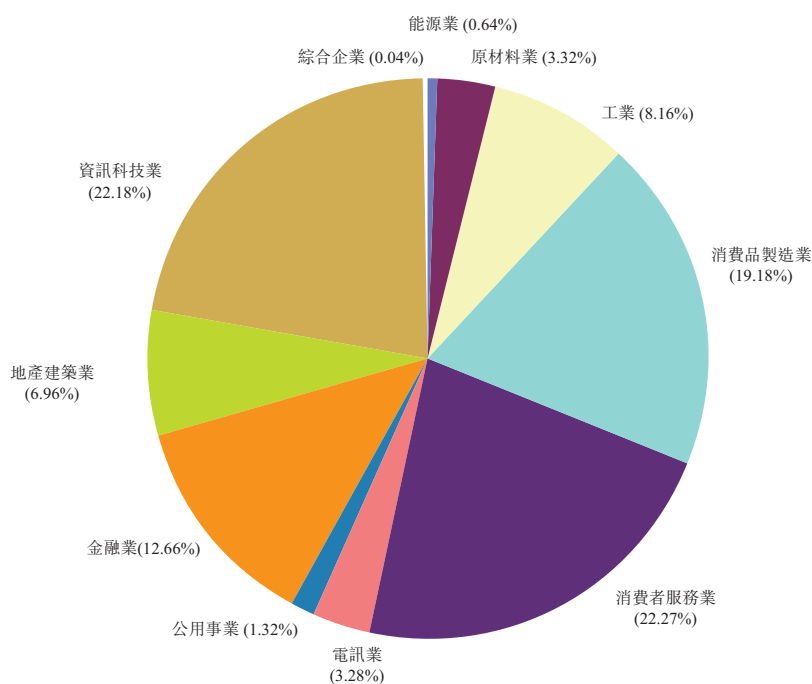
創業板公司市價總值

截至季末

		百萬港元
2014	第4季	179,409.87
2015	第1季	207,611.93
	第2季	326,139.64
	第3季	224,157.68
	第4季	258,175.74

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2015年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08207	中國信貸控股有限公司	11,741.89	4.55
2	08195	樂亞國際控股有限公司	10,440.00	4.04
3	08279	亞博科技控股有限公司	9,280.78	3.59
4	08368	中國創意控股有限公司	8,880.00	3.44
5	08138	北京同仁堂國藥有限公司	8,270.55	3.20
6	08137	洪橋集團有限公司	7,704.59	2.98
7	08158	中國再生醫學國際有限公司	7,212.79	2.79
8	08032	非凡中國控股有限公司	6,998.63	2.71
9	08147	匯思太平洋集團控股有限公司	6,482.47	2.51
10	08008	新意網集團有限公司	5,759.48	2.23
11	08167	中國新電信集團有限公司	5,431.44	2.10
12	08316	柏榮集團(控股)有限公司	4,880.00	1.89
13	08005	裕興科技投資控股有限公司	4,683.71	1.81
14	08021	匯隆控股有限公司	4,596.16	1.78
15	08245	安悅國際控股有限公司	4,579.20	1.77
16	08237	華星控股有限公司	4,467.20	1.73
17	08057	麥迪森酒業控股有限公司	4,152.00	1.61
18	08193	漢華專業服務有限公司	3,692.06	1.43
19	08127	雲裳衣控股有限公司	3,560.00	1.38
20	08140	揚科集團有限公司	3,440.00	1.33
21	08050	雲博產業集團有限公司	3,119.38	1.21
22	08099	中國優材(控股)有限公司	3,080.00	1.19
23	08156	眾彩科技股份有限公司	2,793.19	1.08
24	08261	海天水電國際有限公司	2,686.32	1.04
25	08172	拉近網娛集團有限公司	2,589.62	1.00
26	08097	鼎石資本有限公司	2,534.40	0.98
27	08325	中國支付通集團控股有限公司	2,524.17	0.98
28	08083	中國創新支付集團有限公司	2,496.54	0.97
29	08326	JC Group Holdings Ltd.	2,496.00	0.97
30	08361	中國育兒網絡控股有限公司	2,443.07	0.95
31	08068	新宇國際實業(集團)有限公司	2,394.11	0.93
32	08077	昆侖國際金融集團有限公司	2,277.00	0.88
33	08088	滙友資本控股有限公司	2,275.83	0.88
34	08176	EDS Wellness Holdings Ltd.	2,099.02	0.81
35	08058	山東羅欣藥業集團股份有限公司－H股	2,040.54	0.79
36	08125	中持基業控股有限公司	1,980.00	0.77
37	08030	匯聯金融服務控股有限公司	1,974.77	0.76
38	08016	長虹佳華控股有限公司	1,934.69	0.75
39	08218	毅高(國際)控股集團有限公司	1,920.00	0.74
40	08267	藍港互動集團有限公司	1,822.21	0.71
41	08315	冠輝保安控股有限公司	1,792.00	0.69
42	08078	中國3D數碼娛樂有限公司	1,743.90	0.68
43	08246	北方新能源控股有限公司	1,716.64	0.66
44	08123	首華財經網絡集團有限公司	1,689.73	0.65
45	08260	施伯樂策略控股有限公司	1,681.20	0.65
44	08143	華夏醫療集團有限公司	1,665.26	0.65
47	08155	南華置地有限公司	1,643.24	0.64
48	08311	圓美光電有限公司	1,587.55	0.61
49	08132	中油港燃能源集團控股有限公司	1,581.18	0.61
50	08090	中國融保金融集團有限公司	1,427.63	0.55
總值			190,262.16	73.69
市場總值			258,175.74	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2014	第4季	5	1,083.24
2015	第1季	9	626.75
	第2季	5	404.40
	第3季	7	777.74
	第4季	13	931.94

創業板新上市公司

2015第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08127	雲裳衣控股有限公司	2015/10/07	1,000,000,000	37.50
08290	亞勢備份軟件開發有限公司	2015/10/08	2,000,000,000	100.00
08141	應力控股有限公司	2015/10/08	600,000,000	45.00
08057	麥迪森酒業控股有限公司	2015/10/08	400,000,000	75.00
08122	德萊建業集團有限公司	2015/10/09	800,000,000	65.00
08327	泰邦集團國際控股有限公司	2015/10/09	800,000,000	60.00
08366	Fraser Holdings Ltd.	2015/11/02	1,440,000,000	72.00
08182	中國糖果控股有限公司	2015/11/11	1,340,000,000	75.04
08368	中國創意控股有限公司	2015/11/18	1,200,000,000	117.00
08196	建禹集團控股有限公司	2015/12/09	300,000,000	72.00
08230	中國宇天控股有限公司	2015/12/29	810,000,000	113.40
08331	飛尚非金屬材料科技有限公司	2015/12/29	500,000,000	40.00
08012	環宇物流(亞洲)控股有限公司	2015/12/29	480,000,000	60.00
總額				931.94

除牌上市公司－創業板

2015年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
08209	美捷滙控股有限公司*	2015/10/29	2015/10/30 §
08185	御藥堂集團控股有限公司*	2015/11/19	2015/11/20 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2015年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08163	萬德移動集團有限公司	萬德金融服務集團有限公司	2015/11/23	2015/11/23
08063	和滙集團有限公司	環球大通集團有限公司	2015/11/24	2015/12/29

創業板紅股／紅利認股權證

2015年第4季

股份代號	公司	詳情	除淨日
08078	中國3D數碼娛樂有限公司	1送3	2015/10/23

創業板股份拆細及合併

2015年第4季

股份代號	公司	詳情	生效日期
08140	揚科集團有限公司	1股分拆為4股	2015/10/05
08147	匯思太平洋集團控股有限公司	1股分拆為5股	2015/10/09
08153	科地農業控股有限公司	4股合併為1股	2015/10/15
08019	皓文控股有限公司	20股合併為1股	2015/11/09
08086	DX.com控股有限公司	10股合併為1股	2015/11/10
08175	中國數碼文化(集團)有限公司	4股合併為1股	2015/12/01
08215	第一信用金融集團有限公司	2股合併為1股	2015/12/11
08212	譽滿國際(控股)有限公司	10股合併為1股	2015/12/22
08081	中國手遊文化投資有限公司	10股合併為1股	2015/12/22
08103	泰盛國際(控股)有限公司	10股合併為1股	2015/12/30

創業板供股／公開售股

2015年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10月	08101	家夢控股有限公司	股權1供3，每股供0.08港元	138.82
	08030	匯聯金融服務控股有限公司	公開售股2供1，每股供0.40港元	204.11
12月	08130	智城控股有限公司	公開售股2供1，每股供0.10港元	31.30
	08201	寶聯控股有限公司	公開售股2供1，每股供0.013港元	65.00
	08242	宏創高科集團有限公司	公開售股1供3，每股供0.20港元	207.36
	08167	中國新電信集團有限公司	公開售股2供1，每股供0.38港元	1,206.99
	08028	天時軟件有限公司	公開售股5供1，每股供0.10港元	39.80
	08269	富譽控股有限公司	公開售股2供1，每股供0.07港元	95.87
總額				1,989.25

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2015					
10月	08178	中國信息科技	646,800,000	0.1000	64.68
	08081	中國手遊文化	1,140,210,000	0.0450	51.31
	08207	中國信貸	265,128,000	1.9500	517.00
	08193	漢華專業服務	4,000,000,000	0.1000	400.00
	08192	環球能源資源	767,680,000	0.0530	40.69
	08181	港深聯合	80,000,000	0.4000	32.00
11月	08148	奧栢中國	840,000,000	0.1000	84.00
	08175	中國數碼文化	730,000,000	0.0780	56.94
	08089	華人策略控股	120,000,000	0.4800	57.60
	08176	EDS WELLNESS	345,000,000	0.4000	138.00
	08022	永耀集團控股	190,446,000	0.1980	37.71
	08046	恒芯中國	590,000,000	0.1050	61.95
	08256	金利通	14,000,000	0.1490	2.09
	08103	泰盛國際控股	135,724,862	0.1000	13.57
	08260	施伯樂策略控股	66,500,000	1.1800	78.47
12月	08120	中國神農投資	328,760,000	0.1000	32.88
	08089	華人策略控股	42,080,000	0.9350	39.34
	08207	中國信貸	280,324,000	2.1000	588.68
	08179	新煮意控股	768,000,000	0.0360	27.65
	08083	中國創新支付	300,000,000	0.4060	121.80
	08237	華星控股	690,000,000	0.3300	227.70
	08037	銳康藥業	50,537,000	0.1000	5.05
	08021	匯隆控股	7,680,000,000	0.0688	528.00
	08106	浙大蘭德	150,000,000	0.3200	48.00
總額					3,255.11

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2014	第4季	0	0.00	0.00
2015	第1季	0	0.00	0.00
	第2季	0	0.00	0.00
	第3季	1	41.31	18.74
	第4季	1	4.37	9.86

創業板新上市股份認股權證

2015年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
Nil					
總額					—

股票市場指數

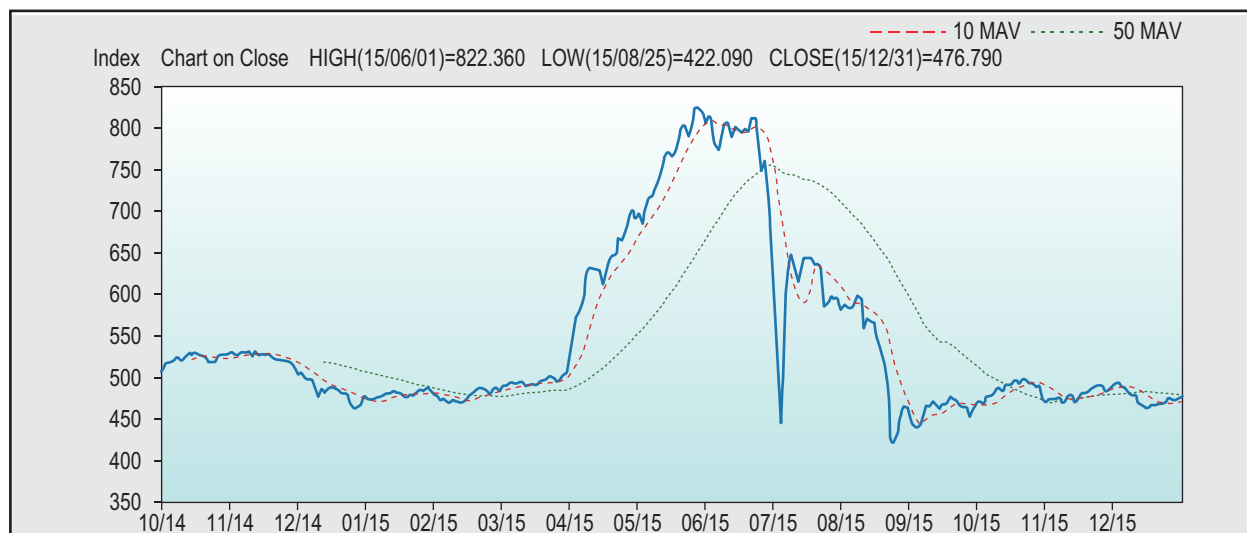
恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2015年12月底 收市	2015年9月底 收市	升跌
標準普爾／香港交易所大型股指數	27007.12	25563.43	+ 5.65%
標準普爾／香港交易所創業板指數	476.79	461.58	+ 3.30%
恒生指數	21914.40	20846.30	+ 5.12%
分類指數			
金融	30576.03	28532.36	+ 7.16%
公用事業	51113.56	51590.14	- 0.92%
地產	29907.17	29418.68	+ 1.66%
工商業	12522.23	11973.08	+ 4.59%
恒生香港中資企業指數	4052.12	3901.65	+ 3.86%
恒生中國企業指數	9661.03	9405.50	+ 2.72%

股票市場指數

世界指數

指數	2015年12月底 收市	2015年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	27007.12	25563.43	+ 5.65%
恒生指數	21914.40	20846.30	+ 5.12%
澳洲			
普通股指數	5344.56	5058.60	+ 5.65%
雅加達			
綜合指數	4593.01	4223.91	+ 8.74%
日本			
日經指數(225)	19033.71	17388.15	+ 9.46%
韓國			
綜合指數	1961.31	1962.81	- 0.08%
吉隆坡			
綜合指數	1692.51	1621.04	+ 4.41%
馬尼拉			
綜合指數	6952.08	6893.98	+ 0.84%
紐西蘭			
證交所指數(50)	6324.26	5593.36	+ 13.07%
上海			
A股指數	3704.30	3197.37	+ 15.85%
B股指數	426.41	309.71	+ 37.68%
深圳			
A股指數	2415.50	1795.36	+ 34.54%
B股指數	1309.36	1050.74	+ 24.61%
新加坡			
FTSE海峽時報指數	2882.73	2790.89	+ 3.29%
台灣			
台北加權股份指數	8338.06	8181.24	+ 1.92%
泰國			
證交所指數	1288.02	1349.00	- 4.52%
紐約			
杜瓊斯工業平均指數	17425.03	16284.70	+ 7.00%
Nasdaq綜合指數	5007.41	4620.17	+ 8.38%
多倫多			
S&P/TSX綜合指數	13009.95	13306.96	- 2.23%
德國			
法蘭克福指數	5390.31	4847.12	+ 11.21%
倫敦			
富時指數(100)	6242.32	6061.61	+ 2.98%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2015年第4季	2014年第4季
恒生指數期貨	7,209,070	4,534,728
恒生指數期權	1,622,372	2,118,013
小型恒生指數期貨	2,611,709	2,131,008
小型恒生指數期權	223,546	276,842
H股指數期貨	7,894,548	6,612,741
H股指數期權	3,970,659	2,716,432
小型H股指數期貨	1,411,243	1,292,073
自訂條款恒生指數期權	3,800	0
自訂條款H股指數期權	3,681	3,450
恒指股息點指數期貨	900	70
恒生國企股息點指數期貨	45,463	50,041
恒指波幅指數期貨	40	31
中華交易服務中國120指數期貨	286	10,756
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	116,277	122,185
股票期權	15,195,091	23,238,909
三個月港元利率期貨	0	0
一個月港元利率期貨	3	0
三年期外匯基金債券期貨 ¹	—	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	83,160	53,349
黃金期貨 ²	—	0
倫敦鋁期貨小型合約 ³	4,925	1,644
倫敦鋅期貨小型合約 ⁴	7,162	2,828
倫敦銅期貨小型合約 ⁵	4,370	4,318
倫敦鉛期貨小型合約 ⁶	0	—
倫敦鎳期貨小型合約 ⁷	155	—
倫敦錫期貨小型合約 ⁸	2	—
總數	40,408,462	43,169,418

¹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

² 黃金期貨由2015年5月27日停止買賣

³ 倫敦鋁期貨小型合約由2014年12月01日開始買賣

⁴ 倫敦鋅期貨小型合約由2014年12月01日開始買賣

⁵ 倫敦銅期貨小型合約由2014年12月01日開始買賣

⁶ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

⁷ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

⁸ 倫敦錫期貨小型合約由2015年12月14日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第4季	4,534,728	99,195
2015年	第1季	3,925,409	115,396
	第2季	4,892,457	120,329
	第3季	5,212,839	102,582
	第4季	7,209,070	97,118

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第4季	2,131,008	7,472
2015年	第1季	1,834,431	7,247
	第2季	2,535,820	10,316
	第3季	3,064,596	7,431
	第4季	2,611,709	7,556

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第4季	2,118,013	169,950
2015年	第1季	1,691,364	203,839
	第2季	2,107,749	202,300
	第3季	2,093,981	257,268
	第4季	1,622,372	172,564

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2014年	第4季	6,612,741	259,173
2015年	第1季	6,635,210	303,145
	第2季	9,273,172	317,137
	第3季	9,576,380	349,891
	第4季	7,894,548	384,767

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2014年	第4季	15,471.60	23,238,909	6,320,147
2015年	第1季	17,410.66	22,162,657	6,545,827
	第2季	30,404.09	31,431,575	7,635,003
	第3季	22,706.73	23,674,156	6,679,004
	第4季	9,781.44	15,195,091	4,827,678

衍生產品市場 期貨及期權

各期權類別之期權金總額

2015年第4季

期權類別		期權金額(百萬港元)	佔市場百分比
X安碩A50中國	A50	895.31	9.15%
安徽海螺水泥股份	ACC	40.03	0.41%
友邦保險	AIA	338.83	3.46%
中國鋁業	ALC	3.62	0.04%
華夏滬深三百	AMC	426.31	4.36%
交通銀行	BCM	16.96	0.17%
東亞銀行	BEA	4.23	0.04%
百麗國際	BIH	12.23	0.13%
中銀香港	BOC	43.36	0.44%
比亞迪股份	BYD	25.54	0.26%
中國交通建設	CCC	58.02	0.59%
中國中煤能源	CCE	6.66	0.07%
中國遠洋	CCS	0.89	0.01%
中國信達	CDA	9.79	0.10%
中廣核電力	CGN	0.55	0.01%
中國移動	CHT	465.00	4.75%
中國聯通	CHU	108.53	1.11%
中信股份	CIT	30.20	0.31%
長和	CKH	114.33	1.17%
長實地產	CKP	5.20	0.05%
中國人壽	CLI	527.42	5.39%
中電控股	CLP	10.71	0.11%
招商銀行	CMB	129.24	1.32%
中國海洋石油	CNC	155.71	1.59%
中國海外發展	COL	248.48	2.54%
國泰航空	CPA	0.35	0.00%
中國石油化工股份	CPC	92.35	0.94%
中國太保	CPI	63.44	0.65%
中國鐵建	CRC	4.78	0.05%
中國中鐵	CRG	8.49	0.09%
華潤置地	CRL	25.41	0.26%
X標智滬深300	CS3	0.02	0.00%
南方A50	CSA	961.45	9.83%
中國神華	CSE	77.30	0.79%
中信銀行	CTB	24.49	0.25%
中國電信	CTC	31.16	0.32%
中信証券	CTS	21.77	0.22%
東風集團股份	DFM	8.31	0.08%
思捷環球	ESP	0.67	0.01%
富智康集團	FIH	0.27	0.00%
銀河娛樂	GLX	266.54	2.72%
長城汽車	GWM	62.14	0.64%
海通證券	HAI	34.54	0.35%
恒生H股ETF	HCF	22.63	0.23%
電能實業	HEH	24.72	0.25%
香港交易所	HEX	997.38	10.20%
恒安國際	HGN	9.52	0.10%
匯豐控股	HKB	359.01	3.67%
香港中華煤氣	HKG	9.50	0.10%
恒基地產	HLD	30.51	0.31%
華能國際電力股份	HNP	22.18	0.23%
恒生銀行	HSB	46.03	0.47%
江西銅業	JXC	43.14	0.44%
昆侖能源	KLE	34.16	0.35%
金山軟件	KSO	25.69	0.26%
聯想集團	LEN	70.01	0.72%
利豐	LIF	24.86	0.25%
蒙牛乳業	MEN	5.77	0.06%

衍生產品市場 期貨及期權

期權類別		期權金額(百萬港元)	佔市場百分比
美高梅中國	MGM	2.03	0.02%
民生銀行	MSB	47.34	0.48%
港鐵公司	MTR	7.70	0.08%
中國建材	NBM	26.53	0.27%
新華保險	NCL	27.22	0.28%
新世界發展	NWD	9.33	0.10%
中國平安	PAI	677.74	6.93%
中國石油股份	PEC	195.94	2.00%
中國人民財產保險	PIC	52.89	0.54%
中國人民保險集團	PIN	6.89	0.07%
保利協鑫能源	PLE	2.81	0.03%
富力地產	RFP	1.72	0.02%
金沙中國有限公司	SAN	140.27	1.43%
新鴻基地產	SHK	265.79	2.72%
渣打集團	STC	35.79	0.37%
太古股份公司A	SWA	23.13	0.24%
騰訊控股	TCH	655.55	6.70%
盈富基金	TRF	7.53	0.08%
九龍倉集團	WHL	34.24	0.35%
中國旺旺	WWC	3.42	0.03%
農業銀行	XAB	73.93	0.76%
中國銀行	XBC	99.09	1.01%
建設銀行	XCC	173.48	1.77%
工商銀行	XIC	109.25	1.12%
兗州煤業股份	YZC	13.35	0.14%
紫金礦業	ZJM	2.66	0.03%
總額		9,781.44	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2015年第4季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	503,195	3.31%
安徽海螺水泥股份	ACC	67,372	0.44%
友邦保險	AIA	322,808	2.12%
中國鋁業	ALC	9,368	0.06%
華夏滬深三百	AMC	130,274	0.86%
交通銀行	BCM	77,223	0.51%
東亞銀行	BEA	26,978	0.18%
百麗國際	BIH	28,244	0.19%
中銀香港	BOC	129,919	0.86%
比亞迪股份	BYD	17,736	0.12%
中國交通建設	CCC	133,791	0.88%
中國中煤能源	CCE	28,388	0.19%
中國遠洋	CCS	2,572	0.02%
中國信達	CDA	10,619	0.07%
中廣核電力	CGN	377	0.00%
中國移動	CHT	472,867	3.11%
中國聯通	CHU	149,270	0.98%
中信股份	CIT	68,845	0.45%
長和	CKH	110,506	0.73%
長實地產	CKP	3,422	0.02%
中國人壽	CLI	562,079	3.70%
中電控股	CLP	24,467	0.16%
招商銀行	CMB	304,713	2.01%
中國海洋石油	CNC	403,853	2.66%
中國海外發展	COL	145,380	0.96%
國泰航空	CPA	1,231	0.01%
中國石油化工股份	CPC	199,510	1.31%
中國太保	CPI	68,039	0.45%
中國鐵建	CRC	13,823	0.09%
中國中鐵	CRG	21,393	0.14%
華潤置地	CRL	13,217	0.09%
X標智滬深300	CS3	9	0.00%
南方A50	CSA	481,208	3.17%
中國神華	CSE	176,694	1.16%
中信銀行	CTB	90,605	0.60%
中國電信	CTC	75,213	0.49%
中信証券	CTS	22,767	0.15%
東風集團股份	DFM	8,107	0.05%
思捷環球	ESP	16,351	0.11%
富智康集團	FIH	1,640	0.01%
銀河娛樂	GLX	256,377	1.69%
長城汽車	GWM	203,130	1.34%
海通證券	HAI	23,231	0.15%
恒生H股ETF	HCF	10,548	0.07%
電能實業	HEH	38,083	0.25%
香港交易所	HEX	1,834,777	12.07%
恒安國際	HGN	9,048	0.06%
匯豐控股	HKB	516,949	3.40%
香港中華煤氣	HKG	27,001	0.18%
恒基地產	HLD	20,334	0.13%
華能國際電力股份	HNP	28,977	0.19%
恒生銀行	HSB	138,141	0.91%
江西銅業	JXC	90,121	0.59%
昆侖能源	KLE	63,562	0.42%
金山軟件	KSO	32,835	0.22%
聯想集團	LEN	98,164	0.65%
利豐	LIF	40,078	0.26%
蒙牛乳業	MEN	6,571	0.04%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
美高梅中國	MGM	7,079	0.05%
民生銀行	MSB	65,852	0.43%
港鐵公司	MTR	19,956	0.13%
中國建材	NBM	35,468	0.23%
新華保險	NCL	10,707	0.07%
新世界發展	NWD	23,578	0.16%
中國平安	PAI	996,262	6.56%
中國石油股份	PEC	325,680	2.14%
中國人民財產保險	PIC	41,859	0.28%
中國人民保險集團	PIN	7,457	0.05%
保利協鑫能源	PLE	4,316	0.03%
富力地產	RFP	7,852	0.05%
金沙中國有限公司	SAN	256,326	1.69%
新鴻基地產	SHK	107,594	0.71%
渣打集團	STC	160,698	1.06%
太古股份公司A	SWA	24,518	0.16%
騰訊控股	TCH	1,743,945	11.48%
盈富基金	TRF	26,152	0.17%
九龍倉集團	WHL	23,071	0.15%
中國旺旺	WWC	9,309	0.06%
農業銀行	XAB	85,287	0.56%
中國銀行	XBC	847,435	5.58%
建設銀行	XCC	1,151,248	7.58%
工商銀行	XIC	818,422	5.39%
兗州煤業股份	YZC	23,835	0.16%
紫金礦業	ZJM	9,185	0.06%
總數		15,195,091	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2014 第4季	92,208	58.24	220.11
2015 第1季	90,242	44.99	209.79
第2季	122,363	72.45	372.55
第3季	101,225	58.26	243.97
第4季	89,652	47.71	188.39

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2014 第4季	415	651.43	249.41
2015 第1季	401	49.48	165.36
第2季	716	231.32	289.16
第3季	390	193.07	220.27
第4季	267	61.49	101.52

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2014 第4季	4,450.01	71.29	14,911.90	54.09
2015 第1季	4,489.32	71.67	15,975.62	54.46
第2季	4,952.17	73.78	17,891.63	57.24
第3季	5,397.98	74.44	14,074.11	56.13
第4季	5,467.21	74.50	15,071.15	56.58

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣,「已劃分的買賣」和兩邊客買賣)

年/月		買賣宗數	佔總成交 宗數 (%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2014	第4季	1,151,087	100	154,712.04	100	80,060.20	100
2015	第1季	1,182,398	100	187,686.03	100	85,005.00	100
	第2季	1,864,260	100	242,342.57	100	158,049.69	100
	第3季	1,449,054	100	226,605.64	100	98,705.62	100
	第4季	1,106,299	100	210,829.38	100	70,729.28	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月		以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2014	第4季	79,394	96.28	26,827.51	91.18
2015	第1季	78,902	96.40	24,508.05	93.29
	第2季	119,864	96.54	51,102.24	88.81
	第3季	85,219	96.81	30,285.88	93.26
	第4季	72,118	96.46	24,746.99	93.92

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月		交收效率(%)	
		到期交收日	到期交收日翌日
2014	第4季	99.88	99.99
2015	第1季	99.93	99.99
	第2季	99.96	99.99
	第3季	99.93	99.99
	第4季	99.92	99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2015年第4季	2014年第4季
恒生指數期貨	97,118	99,195
恒生指數期權	172,564	169,950
小型恒生指數期貨	7,556	7,472
小型恒生指數期權	4,945	5,734
H股指數期貨	384,767	259,173
H股指數期權	1,625,661	991,190
小型H股指數期貨	6,088	3,588
自訂條款恒生指數期權	2,975	50
自訂條款H股指數期權	16,131	25,440
恒指股息點指數期貨	2,581	3,480
恒生國企股息點指數期貨	75,426	48,174
恒指波幅指數期貨	1	2
中華交易服務中國120指數期貨	5	93
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	20,088	14,916
股票期權	4,827,678	6,320,147
三個月港元利率期貨	0	5
一個月港元利率期貨	0	0
三年期外匯基金債券期貨 ¹	—	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	23,046	9,747
黃金期貨 ²	—	0
倫敦鋁期貨小型合約 ³	75	270
倫敦鋅期貨小型合約 ⁴	109	445
倫敦銅期貨小型合約 ⁵	16	1,335
倫敦鉛期貨小型合約 ⁶	0	—
倫敦鎳期貨小型合約 ⁷	150	—
倫敦錫期貨小型合約 ⁸	0	—
總數	7,266,980	7,960,406

¹ 三年期外匯基金債券期貨由2015年9月14日停止買賣

² 黃金期貨由2015年5月27日停止買賣

³ 倫敦鋁期貨小型合約由2014年12月01日開始買賣

⁴ 倫敦鋅期貨小型合約由2014年12月01日開始買賣

⁵ 倫敦銅期貨小型合約由2014年12月01日開始買賣

⁶ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

⁷ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

⁸ 倫敦錫期貨小型合約由2015年12月14日開始買賣

結算及交收

各期權類別之未平倉合約

2015年第4季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	121,157	2.51
安徽海螺水泥股份	ACC	34,628	0.72
友邦保險	AIA	67,591	1.40
中國鋁業	ALC	3,640	0.08
華夏滬深三百	AMC	9,748	0.20
交通銀行	BCM	45,763	0.95
東亞銀行	BEA	5,197	0.11
百麗國際	BIH	8,799	0.18
中銀香港	BOC	31,230	0.65
比亞迪股份	BYD	5,672	0.12
中國交通建設	CCC	37,047	0.77
中國中煤能源	CCE	9,195	0.19
中國遠洋	CCS	4,409	0.09
中國信達	CDA	4,236	0.09
中廣核電力	CGN	184	0.00
中國移動	CHT	99,243	2.06
中國聯通	CHU	41,881	0.87
中信股份	CIT	29,228	0.61
長和	CKH	30,683	0.64
長實地產	CKP	2,239	0.05
中國人壽	CLI	148,682	3.08
中電控股	CLP	6,359	0.13
招商銀行	CMB	138,248	2.86
中國海洋石油	CNC	144,344	2.99
中國海外發展	COL	38,866	0.81
國泰航空	CPA	677	0.01
中國石油化工股份	CPC	88,144	1.83
中國太保	CPI	12,616	0.26
中國鐵建	CRC	6,575	0.14
中國中鐵	CRG	7,814	0.16
華潤置地	CRL	3,373	0.07
X標智滬深300	CS3	6	0.00
南方A50	CSA	91,933	1.90
中國神華	CSE	62,645	1.30
中信銀行	CTB	73,656	1.53
中國電信	CTC	26,564	0.55
中信証券	CTS	9,315	0.19
東風集團股份	DFM	2,260	0.05
思捷環球	ESP	9,783	0.20
富智康集團	FIH	978	0.02
銀河娛樂	GLX	47,213	0.98
長城汽車	GWM	57,815	1.20
海通證券	HAI	10,227	0.21

結算及交收

期權類別		未平倉合約	佔市場百份比
恒生H股ETF	HCF	3,830	0.08
電能實業	HEH	6,747	0.14
香港交易所	HEX	359,498	7.45
恒安國際	HGN	2,438	0.05
匯豐控股	HKB	217,251	4.50
香港中華煤氣	HKG	8,003	0.17
恒基地產	HLD	5,025	0.10
華能國際電力股份	HNP	8,549	0.18
恒生銀行	HSB	52,732	1.09
江西銅業	JXC	23,747	0.49
昆侖能源	KLE	24,574	0.51
金山軟件	KSO	7,555	0.16
聯想集團	LEN	39,640	0.82
利豐	LIF	10,017	0.21
蒙牛乳業	MEN	1,795	0.04
美高梅中國	MGM	1,838	0.04
民生銀行	MSB	27,213	0.56
港鐵公司	MTR	4,866	0.10
中國建材	NBM	20,551	0.43
新華保險	NCL	7,518	0.16
新世界發展	NWD	5,965	0.12
中國平安	PAI	320,774	6.64
中國石油股份	PEC	128,185	2.66
中國人民財產保險	PIC	12,743	0.26
中國人民保險集團	PIN	3,565	0.07
保利協鑫能源	PLE	850	0.02
富力地產	RFP	3,920	0.08
金沙中國有限公司	SAN	62,861	1.30
新鴻基地產	SHK	24,015	0.50
渣打集團	STC	40,726	0.84
太古股份公司A	SWA	6,475	0.13
騰訊控股	TCH	398,280	8.25
盈富基金	TRF	8,725	0.18
九龍倉集團	WHL	6,333	0.13
中國旺旺	WWC	2,977	0.06
農業銀行	XAB	39,826	0.82
中國銀行	XBC	502,630	10.41
建設銀行	XCC	453,892	9.40
工商銀行	XIC	376,119	7.79
兗州煤業股份	YZC	10,483	0.22
紫金礦業	ZJM	5,084	0.11
總數		4,827,678	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2014	第4季	558 (969)	500 (904)	40 (47)	18 (18)
2015	第1季	553 (962)	500 (902)	36 (43)	17 (17)
	第2季	553 (962)	500 (900)	37 (46)	16 (16)
	第3季	556 (965)	505 (905)	35 (44)	16 (16)
	第4季	566 (975)	515 (915)	35 (44)	16 (16)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2014	第4季	231 (255)	179 (201)	—	52 (54)
2015	第1季	231 (255)	179 (201)	—	52 (54)
	第2季	230 (254)	177 (199)	—	53 (55)
	第3季	230 (254)	177 (199)	—	53 (55)
	第4季	232 (256)	179 (201)	—	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2014 第4季	152	9
2015 第1季	152	9
第2季	150	9
第3季	149	9
第4季	151	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2014 第4季	65	9
2015 第1季	66	9
第2季	68	9
第3季	67	9
第4季	69	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2014 第4季	481	10	42
2015 第1季	477	10	42
第2季	478	10	42
第3季	482	10	43
第4季	492	10	43

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEx	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券