



SECURITIES AND
DERIVATIVES MARKETS
QUARTERLY REPORT

Fourth Quarter 2015

Market Highlights

Main Board

Highlights	4th Quarter 2015	4th Quarter 2014
No. of listed companies	1,644	1,548
No. of listed securities	8,792	8,856
Equities		
Ordinary shares	1,645	1,549
Preference shares	8	6
Warrants	4,602	4,948
Equity	12	10
Derivative	4,590	4,938
Equity linked instrument	0	0
Callable bull/bear contracts	1,630	1,579
Unit trusts/mutual funds	145	134
Debt securities	762	640
No. of trading only stocks		
Nasdaq stocks	6	7
iShares	2	2
No. of trading days	63	62
Total turnover in value (HK\$ mil.) *	4,489,395.39	4,970,894.65
Total turnover in shares (Mil. shs)	13,163,614.65	9,522,466.16
Total number of trades (deals)	69,922,588	71,011,185
Total market capitalisation (HK\$ mil.)	24,425,554.96	24,892,419.04
Average P/E ratio (times)	9.90	10.94
Average dividend yield (%)	3.46	3.44
Number of exchange participants		
– Trading	515	500
– Non-trading	35	40
Turnover in value (HK\$ mil.)		
Highest	112,095.13 (04/11)	147,757.45 (05/12)
Lowest	37,862.23 (29/12)	50,172.64 (24/10)
Turnover in shares (Mil. shs)		
Highest	289,932.02 (07/10)	209,008.19 (09/12)
Lowest	116,738.93 (29/12)	96,730.04 (29/12)
Total number of trades (deals)		
Highest	1,625,567 (04/11)	1,791,690 (09/12)
Lowest	699,115 (28/12)	818,188 (23/10)

* Included turnover value traded in non-HKD currency securities.

Market Highlights

Growth Enterprise Market (GEM)

Highlights	4th Quarter 2015	4th Quarter 2014
No. of listed companies	222	204
No. of listed securities	223	204
Equities	222	204
Warrants	1	0
No. of trading days	63	62
Total turnover in value (HK\$ mil.) *	39,890.49	34,223.56
Total turnover in shares (Mil. shs)	136,365.95	77,002.94
Total number of trades (deals)	1,213,644	888,648
Total market capitalisation (HK\$ mil.)	258,175.74	179,409.87
Average P/E ratio (times)	76.93	11.59
Average dividend yield (%)	0.30	0.65
Turnover in value (HK\$ mil.)		
Highest	1,312.73 (02/10)	810.40 (23/10)
Lowest	373.52 (15/12)	325.66 (15/12)
Turnover in shares (Mil. shs)		
Highest	5,456.13 (11/11)	2,580.80 (21/11)
Lowest	967.00 (29/12)	804.23 (03/10)
Total number of trades (deals)		
Highest	39,676 (12/10)	20,758 (23/10)
Lowest	9,477 (15/12)	9,087 (15/12)

* Included turnover value traded in non-HKD currency securities.

Market Highlights

Derivatives Market Highlights

Highlights	4th Quarter 2015	4th Quarter 2014
All futures and options products (excluding stock options)		
No. of trading days	63.0	62.0
Total contract volume	25,213,371	19,930,509
Equity index products	24,997,317	19,746,185
Equity products	116,277	122,185
Interest rates products	3	0
RMB Currency Futures	83,160	53,349
Gold Futures #	-	0
London Metal Mini Futures *	16,614	8,790
Average daily contract volume	400,212	321,460
Total open interest as at the quarter end (contracts)	2,439,302	1,640,259
Equity index products	2,395,818	1,613,541
Equity products	20,088	14,916
Interest rates products	0	5
RMB Currency Futures	23,046	9,747
Gold Futures #	-	0
London Metal Mini Futures *	350	2,050

* Trading in London Metal Mini Futures commenced on 01 Dec 2014

Trading in Gold Futures suspended with effect from 27 May 2015

Stock options		
No. of newly introduced stock options classes	2	3
No. of stock options as at the quarter end		
Class	84	80
Series	29,876	23,440
Total premium (HK\$ mil.)	9,781.44	15,471.60
Call	4,413.07	8,287.38
Put	5,368.37	7,184.22
Average daily premium (HK\$ mil.)	155.26	249.54
Total contract volume	15,195,091	23,238,909
Call	7,713,258	12,540,059
Put	7,481,833	10,698,850
Average daily contract volume	241,192	374,821
Total open interest as at the quarter end (contracts)	4,827,678	6,320,147
Call	2,456,981	3,135,430
Put	2,370,697	3,184,717
Total number of trades	689,909	892,086
Average daily number of trades	10,951	14,388
Total contracts exercised	2,091,602	2,397,501
Average premium per contract (HK\$)	643.72	665.76
Average contract per trade (contracts)	22	26
Put volume/call volume	0.97	0.85
Average daily contract volume/open interest	5.0%	5.9%

All futures and options products		
Total contract volume	40,408,462	43,169,418
Total open interest as at the quarter end (contracts)	7,266,980	7,960,406

Market Highlights

Clearing and Settlement Statistics

Securities Market	4th Quarter 2015	4th Quarter 2014
Average Daily Exchange Trades Handled by CCASS		
– Number of trades	1,106,299	1,151,087
– Value of trades (HK\$bil.)	70.7	80.1
– Share quantity Involved (bil shares)	210.8	154.7
Average Daily Settlement Instructions (“SIs”) Settled by CCASS		
– Number of SIs	89,652	92,208
– Value of SIs (HK\$bil.)	188.4	220.1
– Share quantity involved (bil. Shares)	47.7	58.2
Average Daily Investor SIs (“ISIs”) Settled by CCASS		
– Number of ISIs	267	415
– Value of ISIs (HK\$mil.)	101.5	249.4
– Share quantity involved (mil. shares)	61.5	651.4
Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%)	99.92	99.88
Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%)	99.99	99.99
Average Daily Buy-ins Executed on T+3		
– Number of brokers involved	3	5
– Number of buy-ins	4	8
– Value of buy-ins (HK\$mil)	2.2	14.3
Shares Deposited in the CCASS Depository *		
– Number of shares (bil shares)	5,467.2	4,450.1
– Percentage of total issued shares of the admitted securities	74.50	71.29
– Value of shares (HK\$bil)	15,071.2	14,911.9
– Percentage of the total market capitalisation of the admitted securities	56.58	54.09
Derivatives Market		
Open Interest		
– Equity Index Futures	573,542	421,177
– Stock Futures	20,088	14,916
– Gold Futures [^]	–	0
– Interest Rates Futures	0	5
– RMB Currency Futures	23,046	9,747
– London Metal Mini Futures [#]	350	2,050
– Equity Index Options	1,822,276	1,192,364
– Stock Options	4,827,678	6,320,147
Cumulative Stock Options Exercised		
– Share quantity involved (mil. shs)	2,555	3,583
– Value of shares (HK\$bil.)	41	51

* Share quantity and market value of securities in non-HKD currency are included

[^] Trading in Gold Futures suspended with effect from 27 May 2015

[#] Trading in London Metal Mini Futures commenced on 01 Dec 2014

Main Board Equities

Equity Turnover

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2014	Q4	946,664.36	3,489,289.62	56,518,377
2015	Q1	774,786.99	3,405,759.83	56,467,243
	Q2	2,486,785.70	6,796,873.72	94,261,256
	Q3	1,241,453.02	4,136,147.76	73,808,111
	Q4	944,007.06	2,888,836.92	56,185,286

Equity Trading Statistics

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2014	Q4	62	56,278.86	61,737
2015	Q1	61	55,832.13	60,314
	Q2	60	113,281.23	72,107
	Q3	63	65,653.14	56,039
	Q4	63	45,854.55	51,416

Main Board Equities

20 Most Advanced Stocks

for 4th quarter 2015

Rank	Code	Stock	Closing price		% up
			End of Dec 2015	End of Sep 2015	
1	00143	GLOBAL TECH	0.440	0.065	576.92
2	01831	SHIFANG HLDG	2.360	0.460	413.04
3	01143	TELEFIELD	9.950	1.990	400.00
4	00708	EVERG HEALTH	2.320	1.020	127.45
5	00632	PEARLORIENT OIL	0.415	0.190	118.42
6	00431	G CHINA FIN	1.400	0.680	A 105.88
7	00943	EFORCE HOLD-NEW	0.750	0.365	A 105.48
8	00399	INNOVATIVE PHAR	1.220	0.600	103.33
9	00439	KUANGCHI	3.230	1.590	103.14
10	00075	Y.T. REALTY	5.900	2.950	100.00
11	01025	WUMART	6.200	3.120	98.72
12	00970	SPARKLE ROLL	0.660	0.340	94.12
13	00365	SUN EAST TECH	1.400	0.730	91.78
14	01616	SILVERMAN HL	3.050	1.610	89.44
15	00120	COSMOPOL INT'L	0.380	0.203	87.19
16	01812	CHENMING PAPER	5.540	2.990	85.28
17	02322	NOBLE CENTURY	3.630	1.960	85.20
18	01362	SIS MOBILE	2.130	1.200	77.50
19	01094	C P PROCUREMENT	0.220	0.124	77.42
20	01317	MAPLELEAF EDU	3.810	2.150	77.21

A Adjusted

20 Most Declined Stocks

for 4th quarter 2015

Rank	Code	Stock	Closing price		% down
			End of Dec 2015	End of Sep 2015	
1	00862	VISION VALUES	0.500	1.950	-74.36
2	00061	NORTH ASIA RES	0.035	0.102	-65.69
3	00397	JUN YANG FIN	0.061	0.176	-65.34
4	00764	ETERNITY INV	0.230	0.480	-52.08
5	00650	SHUN CHEONG	2.830	5.900	-52.03
6	00642	PALADIN PREF	0.650	1.350	-51.85
7	00692	CHINA HOUSEHOLD	0.150	0.310	-51.61
8	02222	NVC LIGHTING	0.870	1.760	-50.57
9	00183	WINFULL GP	0.200	0.395	-49.37
10	00776	JF FURNISHINGS	4.500	8.510	-47.12
11	00904	C CULIANGWANG	0.440	0.830	A -46.99
12	03889	GLOBAL SWEET	0.176	0.330	-46.67
13	00209	WINSHINE ENT	0.580	1.070	-45.79
14	02326	BEP INT'L HOLD	0.650	1.160	-43.97
15	00990	THEME INT'L	0.170	0.300	-43.33
16	01159	JIMEI INT ENT	3.830	6.600	-41.97
17	00381	KIU HUNG INT'L	0.085	0.145	-41.38
18	00442	KTL INT'L	2.640	4.500	A -41.33
19	00627	U-RIGHT INT'L	0.435	0.740	-41.22
20	02010	REAL NUTRI	0.790	1.320	-40.15

A Adjusted

Main Board Equities

20 Most Active Stocks by Value

for 4th quarter 2015

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	00700	TENCENT	149,703.75	5.18
2	00941	CHINA MOBILE	86,774.64	3.00
3	02318	PING AN	85,820.20	2.97
4	00939	CCB	84,195.13	2.91
5	01398	ICBC	70,209.76	2.43
6	00388	HKEX	68,484.34	2.37
7	01299	AIA	66,388.35	2.30
8	03988	BANK OF CHINA	66,373.71	2.30
9	02628	CHINA LIFE	58,883.34	2.04
10	00005	HSBC HOLDINGS	58,776.99	2.03
11	00883	CNOOC	42,321.38	1.46
12	00688	CHINA OVERSEAS	34,731.77	1.20
13	00857	PETROCHINA	34,413.35	1.19
14	00001	CKH HOLDINGS	33,238.10	1.15
15	00762	CHINA UNICOM	31,206.38	1.08
16	00386	SINOPEC CORP	30,369.19	1.05
17	00027	GALAXY ENT	30,331.64	1.05
18	01928	SANDS CHINA LTD	29,662.70	1.03
19	03968	CM BANK	29,335.97	1.02
20	01288	ABC	26,197.26	0.91
Total			1,117,417.96	38.68

20 Most Active Stock by Shares

for 4th quarter 2015

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	00261	CCT LAND	69,342.74	7.35
2	01246	NGAISHUN HOLD	55,877.37	5.92
3	00397	JUN YANG FIN	25,968.61	2.75
4	00736	CHINA PPT INV	21,441.11	2.27
5	01076	IMPERIAL PAC	20,187.81	2.14
6	03988	BANK OF CHINA	18,741.09	1.99
7	00939	CCB	15,345.26	1.63
8	01398	ICBC	14,604.91	1.55
9	00364	PING SHAN TEA	11,502.70	1.22
10	00981	SMIC	10,910.65	1.16
11	01288	ABC	8,420.82	0.89
12	02324	CAPITAL VC	8,263.33	0.88
13	00299	NEW SPORTS	7,946.18	0.84
14	00745	CN CULTURE GP	7,916.42	0.84
15	02669	CHINA OVS PPT	6,361.75	0.67
16	01217	CH INNOVATION	6,311.93	0.67
17	00493	GOME	6,174.14	0.65
18	00651	CHI O SHIPBLDG	6,137.27	0.65
19	00857	PETROCHINA	5,971.17	0.63
20	00386	SINOPEC CORP	5,942.58	0.63
Total			333,367.83	35.31

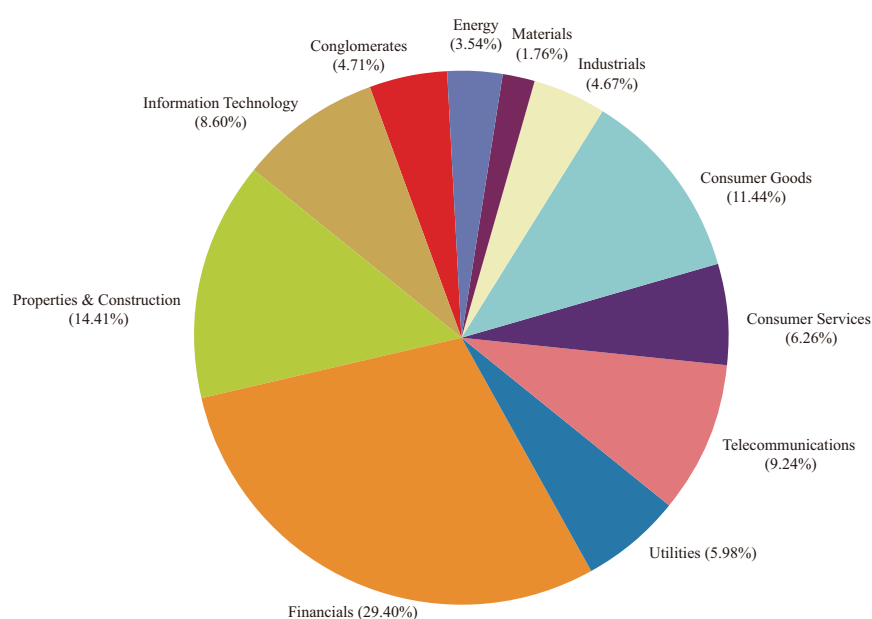
Main Board Equities

Market Capitalisation of listed companies for Main Board

		HK\$ mil.
2014	Q4	24,892,419.04
2015	Q1	26,534,266.95
	Q2	28,754,383.61
	Q3	22,965,987.58
	Q4	24,425,554.96

Market Capitalisation by Hang Seng Industry Classification System * - Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

Main Board Equities

50 Leading Companies by Market Capitalisation

as at the 4th quarter end 2015

Rank	Code	Company	Market capitalisation (HK\$ mil.)	% of market total	
1	00941	China Mobile Ltd.	1,791,604.75	7.33	
2	00700	Tencent Holdings Ltd.	1,433,965.36	5.87	
3	00939	China Construction Bank Corporation – H Shares	1,276,615.97	5.23	
4	00005	HSBC Holdings plc	1,218,480.05	4.99	
5	01299	AIA Group Ltd.	561,453.08	2.30	
6	02378	Prudential plc *	–	463,041.89	N.A.
7	01398	Industrial and Commercial Bank of China Ltd. – H Shares	406,196.13	1.66	
8	00001	CK Hutchison Holdings Ltd.	403,722.37	1.65	
9	00267	CITIC Ltd.	399,118.40	1.63	
10	00883	CNOOC Ltd.	360,304.97	1.48	
11	02318	Ping An Insurance (Group) Co. of China Ltd. – H Shares	320,245.81	1.31	
12	03988	Bank of China Ltd. – H Shares	289,333.08	1.18	
13	00011	Hang Seng Bank Ltd.	281,996.80	1.15	
14	00016	Sun Hung Kai Properties Ltd.	270,819.74	1.11	
15	00688	China Overseas Land & Investment Ltd.	268,207.81	1.10	
16	02388	BOC Hong Kong (Holdings) Ltd.	250,574.89	1.03	
17	00388	Hong Kong Exchanges and Clearing Ltd.	239,894.46	0.98	
18	00945	Manulife Financial Corporation *	–	233,651.06	N.A.
19	00762	China Unicom (Hong Kong) Ltd.	226,299.92	0.93	
20	00066	MTR Corporation Ltd.	224,913.11	0.92	
21	02888	Standard Chartered PLC	214,385.84	0.88	
22	01928	Sands China Ltd.	214,237.20	0.88	
23	01113	Cheung Kong Property Holdings Ltd.	194,527.80	0.80	
24	03328	Bank of Communications Co., Ltd. – H Shares	191,164.77	0.78	
25	02628	China Life Insurance Co. Ltd. – H Shares	186,401.43	0.76	
26	01038	Cheung Kong Infrastructure Holdings Ltd.	180,908.07	0.74	
27	00003	Hong Kong and China Gas Co. Ltd., The	175,726.40	0.72	
28	00002	CLP Holdings Ltd.	166,366.77	0.68	
29	00566	Hanergy Thin Film Power Group Ltd.	163,231.16	0.67	
30	00012	Henderson Land Development Co. Ltd.	157,069.79	0.64	
31	01109	China Resources Land Ltd.	156,639.23	0.64	
32	00006	Power Assets Holdings Ltd.	152,172.86	0.62	
33	00805	Glencore plc *	–	135,286.74	N.A.
34	01972	Swire Properties Ltd.	131,040.00	0.54	
35	00004	Wharf (Holdings) Ltd., The	130,334.18	0.53	
36	00019	Swire Pacific Ltd.	126,378.25	0.52	
37	00386	China Petroleum & Chemical Corporation – H Shares	119,402.89	0.49	
38	00857	PetroChina Co. Ltd. – H Shares	107,182.41	0.44	
39	00530	Goldin Financial Holdings Ltd.	106,490.75	0.44	
40	00656	Fosun International Ltd.	104,179.56	0.43	
41	00027	Galaxy Entertainment Group Ltd.	104,137.69	0.43	
42	01288	Agricultural Bank of China Ltd. – H Shares	97,442.07	0.40	
43	03333	Evergrande Real Estate Group Ltd.	95,287.31	0.39	
44	01044	Hengan International Group Co. Ltd.	89,163.69	0.37	
45	02601	China Pacific Insurance (Group) Co., Ltd. – H Shares	88,532.07	0.36	
46	00992	Lenovo Group Ltd.	87,425.11	0.36	
47	00966	China Taiping Insurance Holdings Co. Ltd.	86,256.44	0.35	
48	03968	China Merchants Bank Co., Ltd. – H Shares	84,013.49	0.34	
49	00101	Hang Lung Properties Ltd.	79,330.18	0.32	
50	02799	China Huarong Asset Management Co., Ltd. – H Shares	76,884.63	0.31	
Total			14,090,058.76	57.69	
Market Total			24,425,554.96	100.00	

Notes:

* not included in Market Total

Main Board Equities

Short Selling (value)

		Short selling turnover (HK\$ mil.)	Market turnover (HK\$ mil.) *	% of market total
2014	Q4	537,312.82	5,005,118.22	10.74
2015	Q1	502,684.62	5,272,006.50	9.53
	Q2	812,595.30	9,894,068.82	8.21
	Q3	673,434.03	6,395,260.35	10.53
	Q4	467,982.84	4,529,285.89	10.33

* Included Market total for Main Board and GEM

Short Selling (share)

		Short selling turnover (Mil. shs)	Market turnover (Mil. shs) *	% of market total
2014	Q4	50,290.13	9,599,469.09	0.52
2015	Q1	42,342.54	11,464,887.20	0.37
	Q2	66,878.15	14,614,838.29	0.46
	Q3	63,796.36	14,314,202.23	0.45
	Q4	45,874.78	13,299,980.60	0.34

* Included Market total for Main Board and GEM

New Listing Companies Statistics

		No. of newly listed companies	No. of companies transferred from GEM *	Funds raised (HK\$ mil.) #
2014	Q4	27	1	100,054.42
2015	Q1	16	3	19,653.73
	Q2	16	2	108,838.86
	Q3	19	7	26,086.55
	Q4	39	2	104,743.10

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

Main Board Equities

Newly Listed Companies

for 4th quarter 2015

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
01970	IMAX China Holding, Inc.	2015/10/08	355,325,000	2,210.30
02199	Regina Miracle International (Holdings) Ltd.	2015/10/08	1,224,250,000	1,899.80
01527	Zhejiang Tengy Environmental Technology Co., Ltd. – H Shares	2015/10/12	35,000,000	381.50
01476	Hengtai Securities Co., Ltd. – H Shares	2015/10/15	450,846,000	1,767.32
01533	Lanzhou Zhuangyuan Pasture Co., Ltd. – H Shares	2015/10/15	35,130,000	186.19
01532	China Partytime Culture Holdings Ltd.	2015/10/16	756,234,000	193.73
02669	China Overseas Property Holdings Ltd.	2015/10/23	3,286,860,460	–
01508	China Reinsurance (Group) Corporation – H Shares	2015/10/26	6,679,416,700	16,394.93
02799	China Huarong Asset Management Co., Ltd. – H Shares	2015/10/30	25,043,852,918	19,696.70
01389	Major Holdings Ltd. *	2015/10/30	960,000,000	–
01495	Jiyi Household International Holdings Ltd.	2015/11/06	360,000,000	115.20
03908	China International Capital Corporation Ltd. – H Shares	2015/11/09	1,520,177,369	7,228.03
01556	Chinney Kin Wing Holdings Ltd.	2015/11/11	1,500,000,000	225.68
01452	Denox Environmental & Technology Holdings Ltd.	2015/11/12	500,000,000	262.50
03799	Dali Foods Group Co. Ltd.	2015/11/20	13,694,117,500	8,894.12
00932	RM Group Holdings Ltd. *	2015/11/20	516,300,000	–
02120	Wenzhou Kangning Hospital Co., Ltd. – H Shares	2015/11/20	20,240,000	783.29
01538	Zhong Ao Home Group Ltd.	2015/11/25	800,000,000	421.12
06865	Flat Glass Group Co., Ltd. – H Shares	2015/11/26	450,000,000	945.00
00722	UMP Healthcare Holdings Ltd.	2015/11/27	736,000,000	379.04
01561	Manfield Chemical Holdings Ltd.	2015/12/01	600,000,000	150.00
01492	China ZhongDi Dairy Holdings Co. Ltd.	2015/12/02	2,174,078,000	471.12
03866	Bank of Qingdao Co., Ltd. – H Shares	2015/12/03	1,763,034,980	4,949.02
00416	Bank of Jinzhou Co., Ltd. – H Shares	2015/12/07	1,517,320,000	7,070.71
03996	China Energy Engineering Corporation Ltd. – H Shares	2015/12/10	9,262,436,000	14,727.27
01341	Clear Lift Holdings Ltd.	2015/12/10	1,000,000,000	120.00
00331	FSE Engineering Holdings Ltd.	2015/12/10	450,000,000	309.38
01447	SFK Construction Holdings Ltd.	2015/12/10	400,000,000	135.00
01979	Ten Pao Group Holdings Ltd.	2015/12/11	1,000,000,000	137.50
02289	Chuangmei Pharmaceutical Co., Ltd. – H Shares	2015/12/14	28,000,000	240.80
03600	Modern Dental Group Ltd.	2015/12/15	1,000,000,000	1,050.00
01786	CRCC High-Tech Equipment Corporation Ltd. – H Shares	2015/12/16	531,900,000	2,792.48
01662	Yee Hop Holdings Ltd.	2015/12/18	500,000,000	125.00
01197	China Hengshi Foundation Co. Ltd.	2015/12/21	1,000,000,000	537.50
06196	Bank of Zhengzhou Co., Ltd. – H Shares	2015/12/23	1,320,000,000	5,082.00
01543	Guangdong Join-Share Financing Guarantee Invest Co Ltd-H Shs	2015/12/23	293,333,334	398.93
01568	Sundart Holdings Ltd.	2015/12/29	2,000,000,000	690.00
01558	YiChang HEC ChangJiang Pharmaceutical Co., Ltd. – H Shares	2015/12/29	225,659,450	1,351.98
01548	Genscript Biotech Corporation	2015/12/30	1,600,000,000	524.00
03678	Holly Futures Co., Ltd. – H Shares	2015/12/30	249,700,000	606.77
01799	Xinte Energy Co., Ltd. – H Shares	2015/12/30	292,698,830	1,289.20
Total				104,743.10

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Main Board Equities

Withdrawal of Listed Companies

for 4th quarter 2015

Code	Company name	Last trading date	Delisted date
02266	Dorsett Hospitality International Ltd.	2015/10/05	2015/10/19
00350	Jingwei Textile Machinery Co. Ltd. – H Shares	2015/12/21	2015/12/29

Main Board Equities

Company Name Changes

for 4th quarter 2015

Code	Old name	New name	Effective date	Adoption date
01661	Wisdom Holdings Group	Wisdom Sports Group	2015/08/28	2015/10/05
01663	Sino Harbour Property Group Ltd.	Sino Harbour Holdings Group Ltd.	2015/08/24	2015/10/06
00726	South East Group Ltd.	China Minsheng Drawin Technology Group Ltd.	2015/09/10	2015/10/06
00139	China Jinhai International Group Ltd.	China Soft Power Technology Holdings Ltd.	2015/09/14	2015/10/08
00399	United Gene High-Tech Group Ltd.	Innovative Pharmaceutical Biotech Ltd.	2015/09/09	2015/10/08
02213	Yi Hua Department Store Holdings Ltd.	Yi Hua Holdings Ltd.	2015/08/31	2015/10/20
01003	21 Holdings Ltd.	Huanxi Media Group Ltd.	2015/09/22	2015/10/22
00412	Heritage International Holdings Ltd.	China Innovative Finance Group Ltd.	2015/09/24	2015/10/22
00291	China Resources Enterprise, Ltd.	China Resources Beer (Holdings) Co. Ltd.	2015/10/16	2015/10/23
00282	Next Media Ltd. *	Next Digital Ltd.	2015/10/20	2015/10/29
00299	SinoCom Software Group Ltd.	New Sports Group Ltd.	2015/09/18	2015/11/02
01282	World Wide Touch Technology (Holdings) Ltd.	China Goldjoy Group Ltd.	2015/10/08	2015/11/05
00030	ABC Communications (Holdings) Ltd.	Ban Loong Holdings Ltd.	2015/10/27	2015/11/12
00201	Magnificent Estates Ltd.	Magnificent Hotel Investments Ltd.	2015/11/11	2015/11/25
00993	Simsen International Corporation Ltd.	Huarong International Financial Holdings Ltd.	2015/10/05	2015/11/27
00136	Mascotte Holdings Ltd.	HengTen Networks Group Ltd.	2015/10/26	2015/12/02
01811	CGN Meiya Power Holdings Co., Ltd.	CGN New Energy Holdings Co., Ltd.	2015/11/09	2015/12/07
00221	PNG Resources Holdings Ltd.	Easy One Financial Group Ltd.	2015/11/13	2015/12/07
00094	Greenheart Group Ltd. #	Greenheart Group Ltd.	2015/11/18	2015/12/11
00810	Opes Asia Development Ltd.	China Internet Investment Finance Holdings Ltd.	2015/11/16	2015/12/11
00431	Greater China Holdings Ltd.	Greater China Financial Holdings Ltd.	2015/11/19	2015/12/14
01182	C Y Foundation Group Ltd.	Success Dragon International Holdings Ltd.	2015/10/30	2015/12/16
01863	Sijia Group Co. Ltd.	China Longevity Group Co. Ltd.	2015/11/10	2015/12/16
00380	China Pipe Group Ltd.	Softpower International Ltd.	2015/11/17	2015/12/17
01166	Solartech International Holdings Ltd. #	Solartech International Holdings Ltd.	2015/12/03	2015/12/21
00245	China Seven Star Holdings Ltd.	China Minsheng Financial Holding Corporation Ltd.	2015/12/10	2015/12/22
01096	Active Group Holdings Ltd.	Sino Energy International Holdings Group Ltd.	2015/11/30	2015/12/23
00559	Guocang Group Ltd.	DeTai New Energy Group Ltd.	2015/11/25	2015/12/23
00905	Mastermind Capital Ltd.	Global Mastermind Capital Ltd.	2015/12/02	2015/12/29

* Change of English name only

Change of Chinese name only

Main Board Equities

Bonus Issues/Bonus Warrants

for 4th quarter 2015

Code	Company	Particulars	Ex-date
02319	China Mengniu Dairy Co. Ltd.	1 for 1	2015/10/13
02342	Comba Telecom Systems Holdings Ltd.	1 for 10	2015/10/15
00211	Styland Holdings Ltd.	2 wts for 10	2015/10/16
01819	Fuguiniao Co., Ltd. – H Shares	1.5 for 1	2015/10/22
03993	China Molybdenum Co., Ltd. – H Shares	20 for 10	2015/11/03
00091	International Standard Resources Holdings Ltd.	1 wt for 5	2015/11/12
01177	Sino Biopharmaceutical Ltd.	1 for 2	2015/11/13
01271	Grand Ming Group Holdings Ltd.	1 for 10	2015/12/02
02324	Capital VC Ltd.	1 for 1	2015/12/15
01566	China Animation Characters Co. Ltd.	1 for 1	2015/12/18
00039	China Beidahuang Industry Group Holdings Ltd.	1 for 1	2015/12/29
01020	Sinoref Holdings Ltd.	1 wt for 5	2015/12/30

Main Board Equities

Share Split/Consolidation

for 4th quarter 2015

Code	Company	Particulars	Effective date
03628	RENHENG Enterprise Holdings Ltd.	Split 1 into 4	2015/10/02
00616	Easyknit Enterprises Holdings Ltd.	Consolidation 10 into 1	2015/10/08
02098	Zall Development Group Ltd.	Split 1 into 3	2015/10/19
00442	KTL International Holdings Group Ltd.	Split 1 into 2	2015/10/23
00136	HengTen Networks Group Ltd.	Consolidation 2 into 1	2015/10/27
01106	Sino Haijing Holdings Ltd.	Split 1 into 10	2015/10/27
00269	China Resources and Transportation Group Ltd.	Consolidation 20 into 1	2015/11/05
00326	China Star Entertainment Ltd.	Consolidation 25 into 1	2015/11/09
00205	SEEC Media Group Ltd.	Consolidation 2 into 1	2015/11/16
00265	Orient Victory China Holdings Ltd.	Split 1 into 5	2015/11/17
00904	China Culiangwang Beverages Holdings Ltd.	Consolidation 5 into 1	2015/11/25
06878	Differ Group Holding Co. Ltd.	Split 1 into 4	2015/12/01
00943	eForce Holdings Ltd.	Consolidation 4 into 1	2015/12/07
01226	China Investment and Finance Group Ltd.	Consolidation 5 into 1	2015/12/14
00149	China Agri-Products Exchange Ltd.	Consolidation 5 into 1	2015/12/22
03822	Sam Woo Construction Group Ltd.	Split 1 into 4	2015/12/23
00431	Greater China Financial Holdings Ltd.	Split 1 into 5	2015/12/28
00197	Heng Tai Consumables Group Ltd.	Consolidation 10 into 1	2015/12/30

Main Board Equities

Rights Issues & Open Offers

for 4th quarter 2015

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Oct	00091	International Standard Resources Holdings Ltd.	Open offer 1 for 4 @\$0.168	191.28
	01355	Legend Strategy International Holdings Group Co. Ltd.	Open offer 1 for 4 @\$0.80	62.96
	00801	Golden Meditech Holdings Ltd.	Open offer 1 for 2 @\$1.00	985.70
	00656	Fosun International Ltd.	Rts 56 for 500 @\$13.42	11,637.59
	01195	Kingwell Group Ltd.	Open offer 1 for 9 @\$0.16	46.15
	00616	Easyknit Enterprises Holdings Ltd.	Rts 20 for 1 @\$0.48	510.45
			(after consolidation 10 into 1)	
Nov	00705	Ding He Mining Holdings Ltd.	Open offer 1 for 2 @\$0.10	183.65
	00352	Fortune Sun (China) Holdings Ltd.	Rts 1 for 5 @\$0.85	34.51
	00601	Group Sense (International) Ltd.	Open offer 1 for 1 @\$0.20	287.44
	00431	Greater China Financial Holdings Ltd.	Rts 1 for 2 @\$2.20	474.37
	00521	HNA International Investment Holdings Ltd.	Rts 9 for 5 @\$0.376	2,755.54
	00108	GR Properties Ltd.	Rts 1 for 2 @\$1.30	343.13
	00269	China Resources and Transportation Group Ltd.	Rts 4 for 1 @\$0.20	1,080.38
		(after consolidation 20 into 1)		
Dec	01717	Ausnutria Dairy Corporation Ltd.	Open offer 1 for 10 @\$2.20	249.55
	00620	UDL Holdings Ltd.	Open offer 2 for 5 @\$3.10	420.39
	01237	Merry Garden Holdings Ltd.	Open offer 1 for 2 @\$0.20	171.59
	02888	Standard Chartered PLC	Rts 2 for 7 @\$55.60	40,500.84
	01225	Lerado Group (Holding) Co. Ltd.	Open offer 3 for 1 @\$0.15	431.85
	00205	SEEC Media Group Ltd.	Open offer 5 for 1 @HKD 0.10	531.13
	00943	eForce Holdings Ltd.	Open offer 4 for 1 @\$0.35	336.65
			(after consolidation 4 into 1)	
Total				61,235.15

Main Board Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)	
2015						
Oct	00090	AMBER ENERGY	43,600,000	0.9700	42.29	
	00030	BAN LOONG HOLD	30,000,000	0.1970	5.91	
	01194	C PRECIOUSMETAL	917,200,000	0.2500	229.30	
	00572	CH FOR YOU GP	700,000,000	0.1350	94.50	
	00204	CHINA INV DEV	570,000,000	0.1480	84.36	
	00471	CMMB VISION	60,332,830	0.1200	7.24	
	01019	CONVOY	11,988,300,000	0.3500	4,195.91	
	00727	CROWNICORP	260,000,000	1.3000	338.00	
	01363	CTEG	200,000,000	2.5750	515.00	
	01037	DAIWA ASSOCIATE	225,000,000	1.1440	257.40	
	06878	DIFFER GP-NEW	42,000,000	3.7000	155.40	
	00524	E-KONG GROUP	104,200,000	0.5200	54.18	
	01102	ENVIRO ENERGY	1,048,000,000	0.2000	209.60	
	01269	FIRST CAP GP	76,800,000	2.0000	153.60	
	00809	GLOBAL BIO-CHEM	3,135,509,196	0.2300	721.17	
	00136	HENG TEN NET	110,229,738,846	0.0061	672.40	
	00397	JUN YANG FIN	12,031,350,000	0.1130	1,359.54	
	01555	MIE HOLDINGS	276,300,000	0.9000	248.67	
	00276	MONGOLIA ENERGY	14,449,760	0.2500	3.61	
	02322	NOBLE CENTURY	81,000,000	1.7000	137.70	
	00265	ORIENT VICTORY	372,466,100	0.7400	275.62	
	01663	S HARBOURHOLD	32,000,000	1.5600	49.92	
	00981	SMIC	961,849,809	0.6593	634.15	
	02188	TITANS ENERGY	84,096,000	1.1900	100.07	
	00620	UDL HOLDINGS	55,023,081	2.5000	137.56	
	00332	YUANHENG GAS	300,000,000	0.6000	180.00	
	00332	YUANHENG GAS	80,833,333	0.6000	48.50	
	00475	ZHONG FA ZHAN	24,000,000	2.1000	50.40	
	Nov	00630	AMCO UNITED	874,100,000	0.2300	201.04
		00899	ASIA RESOURCES	1,330,000,000	0.3600	478.80
00718		BESTWAY INT'L	250,180,000	0.6600	165.12	
00963		BLOOMAGE BIOTEC	16,145,834	12.0000	193.75	
00024		BURWILL	100,000,000	0.3250	32.50	
00721		C FIN INT INV	3,900,000,000	0.2000	780.00	
00871		CH DREDG ENV	97,340,000	1.1300	109.99	
00871		CH DREDG ENV	108,004,000	1.1500	124.20	
00009		CHEUNG WO IHL	175,500,000	1.1400	200.07	
00736		CHINA PPT INV	809,000,000	0.1900	153.71	
01322		CW GROUP HOLD	93,781,682	3.6300	340.43	
00729		FDG EVEHICLES	1,000,000,000	0.5000	500.00	
01175		FU JI CATERING	107,260,000	0.9100	97.61	
02280		HC INTL	74,540,000	3.8200	284.74	
02882		HKRH	300,000,000	0.1230	36.90	
00902		HUANENG POWER	780,000,000	7.3200	5,709.60	
01886		HUIYUAN JUICE	14,117,705	3.2800	46.31	
00106		LANDSEA PPT	327,002,604	0.6529	213.50	
00095		LVGEM CHINA	800,000,000	2.2000	1,760.00	

Main Board Equities

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
	00299	NEW SPORTS	670,000,000	0.2360	158.12
	00885	RENTIAN TECH	900,000,000	0.3200	288.00
	00376	REORIENT GROUP	1,942,520,000	2.0000	3,885.04
	00724	SINO-TECH INT'L	722,500,000	0.0470	33.96
	01166	SOLARTECH INT'L	272,120,000	0.1700	46.26
	00223	SRGL	30,000,000	0.1690	5.07
	02012	SUNSHINE OIL	36,912,000	0.6300	23.25
	02012	SUNSHINE OIL	78,125,000	0.6400	50.00
	01143	TELEFIELD	79,700,000	2.4400	194.47
	00570	TRAD CHI MED	197,749,762	4.2120	832.92
	00539	VICTORY CITY	100,000,000	1.0000	100.00
	00968	XINYI SOLAR	168,800,000	3.2200	543.54
	00475	ZHONG FA ZHAN	3,000,000	2.1000	6.30
	02379	ZHONGTIAN INT'L	47,700,000	1.0800	51.52
Dec	00833	ALLTRONICS	69,172,000	1.8800	130.04
	00959	AMAX INT HOLD	24,000,000	0.6400	15.36
	00519	APPLIED DEV	347,930,000	0.3200	111.34
	01963	BCQ	421,827,300	7.6500	3,226.98
	01768	BRACELL	1,000,000	0.8100	0.81
	00721	C FIN INT INV	1,800,000,000	0.2000	360.00
	04606	CCB 15USDPREF	152,500,000	156.0000	23,790.00
	02229	CG DUNXIN	198,560,000	0.5500	109.21
	00572	CH FOR YOU GP	900,000,000	0.1350	121.50
	00340	CHINA MINING	4,000,000,000	0.1000	400.00
	00866	CHINA QINFA	200,000,000	0.2490	49.80
	02330	CHINA UPTOWN	188,288,000	0.3290	61.95
	01332	CHNOE	575,063,972	0.1600	92.01
	00479	CIL HOLDINGS	252,000,000	0.1000	25.20
	02039	CIMC	286,096,100	13.4800	3,856.58
	00471	CMMB VISION	757,499,997	0.1200	90.90
	00245	CN MINSHENG FIN	26,316,000,000	0.1900	5,000.04
	00383	COL CAPITAL	2,000,000,000	0.4500	900.00
	01145	COURAGEMARINE	21,176,000	4.0000	84.70
	00547	DIGITAL DOMAIN	560,000,000	0.4250	238.00
	01269	FIRST CAP GP	92,160,000	2.0000	184.32
	00607	FULLSHARE	448,717,500	1.5600	700.00
	02882	HKRH	31,899,993	0.1230	3.92
	02028	JOLIMARK	18,000,000	1.6000	28.80
	00496	KASEN	348,696,896	0.6000	209.22
	02488	LAUNCH TECH	27,360,000	9.5700	261.84
	03380	LOGAN PPT	557,554,000	2.7800	1,550.00
	01555	MIE HOLDINGS	55,718,000	0.9500	52.93
	00276	MONGOLIA ENERGY	33,298,239	0.2030	6.76
	01227	NATIONAL INV	52,085,920	0.4090	21.30
	00456	NEW CITY DEV	136,060,042	0.2470	33.61
	02178	PETRO-KING	93,480,000	0.7900	73.85
	01348	QUALI-SMART	40,500,000	3.8800	157.14
	01622	REDCO PPT	175,804,661	4.4300	778.81
	01831	SHIFANG HLDG	167,000,000	0.7000	116.90
	01207	SRE GROUP	14,900,000,000	0.1000	1,490.00
	01201	TESSON HOLDINGS	200,000,000	0.8000	160.00

Main Board Equities

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
	00648	WAH YAN HEALTH	435,424,554	0.1120	48.77
	06869	YOFC	11,869,000	7.1500	84.86
Total					73,236.17

* Due to the reporting time-lag, placing figures for the quarter are provisional.

Main Board Warrants

Warrant Trading Statistics

		Equity wts (HK\$ mil.)	Derivative wts (HK\$ mil.)	Total (HK\$ mil.)
2014	Q4	1,124.93	601,944.06	603,068.99
2015	Q1	1,242.98	897,585.47	898,828.45
	Q2	1,727.22	1,721,585.57	1,723,312.78
	Q3	723.63	1,090,657.45	1,091,381.08
	Q4	242.28	794,250.41	794,492.69

Warrant Market Value

		Number	Equity wts (HK\$ mil.)	Number	Derivative wts (HK\$ mil.)	Total no.	Total (HK\$ mil.)
2014	Q4	10	3,031.11	4,938	146,741.31	4,948	149,772.41
2015	Q1	12	2,484.83	4,806	148,902.89	4,818	151,387.72
	Q2	14	4,383.11	5,680	197,036.57	5,694	201,419.68
	Q3	13	2,472.60	5,633	84,057.90	5,646	86,530.49
	Q4	12	1,836.69	4,590	60,433.79	4,602	62,270.48

New Listing Statistics – Warrants

		No. of newly listed equity wts	No. of newly listed derivative wts	Amount raised (HK\$ mil.)
2014	Q4	3	1,986	48,705.91
2015	Q1	2	1,498	40,081.11
	Q2	2	2,124	68,662.15
	Q3	1	1,552	46,018.14
	Q4	2	1,162	27,608.36

Newly Listed Equity Warrants

for 4th quarter 2015

Code	Equity warrants	Initial issued amount (HK\$)	Listing date	Expiry	Amount raised (HK\$ mil.)
01535	Styland Holdings Ltd. Warrants 2016	85,712,528	2015/11/06	2016/11/04	–
01425	International Standard Resources Holdings Ltd. Warrants 2016	103,615,845	2015/12/01	2016/11/30	–
Total					–

Main Board Warrants

Newly Listed Derivative Warrants

for 4th quarter 2015

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
12208	BNP Paribas Arbit Issu B.V. – BOCL European Wts May 2016 A	60,000,000	2015/10/02	2016/05/04	17.40
12207	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Apr 2016 A	30,000,000	2015/10/02	2016/04/05	17.10
12197	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jul 2016 A	30,000,000	2015/10/02	2016/07/05	21.00
12202	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Jul 2016 A	50,000,000	2015/10/02	2016/07/05	23.00
12203	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Apr 2016 A	60,000,000	2015/10/02	2016/04/05	15.00
12206	BNP Paribas Arbit Issu B.V. – HSBC European Wts May 2016 A	50,000,000	2015/10/02	2016/05/04	12.50
12200	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Apr 2016 A	40,000,000	2015/10/02	2016/04/05	12.80
12205	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt Apr 2016 A	60,000,000	2015/10/02	2016/04/05	17.40
12195	Credit Suisse AG – HSBC European Wts Apr 2016 B	80,000,000	2015/10/02	2016/04/01	20.00
12196	Credit Suisse AG – ICBC European Warrants Jul 2016 A	80,000,000	2015/10/02	2016/07/29	28.80
12231	J P Morgan SP BV – CNOOC European Warrants Jul 2018 A	200,000,000	2015/10/02	2018/07/31	31.40
12233	J P Morgan SP BV – Galaxy Ent European Put Wts Jun 2016 B	100,000,000	2015/10/02	2016/06/01	25.10
12235	J P Morgan SP BV – HS H-SHARE European Warrants Sep 2018 A	200,000,000	2015/10/02	2018/09/27	31.20
12234	J P Morgan SP BV – HSI European Warrants Apr 2016 D	300,000,000	2015/10/02	2016/04/28	75.00
12224	J P Morgan SP BV – PetroChina European Warrants May 2016 A	100,000,000	2015/10/02	2016/05/05	43.20
12230	J P Morgan SP BV – Stanchart European Warrants Apr 2016 A	40,000,000	2015/10/02	2016/04/05	11.64
12222	Macquarie Bank Ltd. – Tencent European Warrants Jul 2016 D	65,000,000	2015/10/02	2016/07/05	10.01
12182	Standard Chartered Bank – A50 China Euro Warrants Jan 2017 A	80,000,000	2015/10/02	2017/01/06	12.00
12186	Standard Chartered Bank – HKEx European Warrants Dec 2016 A	100,000,000	2015/10/02	2016/12/19	25.00
12183	Standard Chartered Bank – HSBC European Warrants Jan 2016 C	80,000,000	2015/10/02	2016/01/27	12.00
12188	Standard Chartered Bank – Ping An European Wts Jun 2016 A	100,000,000	2015/10/02	2016/06/27	25.00
12187	Standard Chartered Bank – Ping An Euro Put Wts Feb 2016 C	80,000,000	2015/10/02	2016/02/26	12.00
12189	Standard Chartered Bank – Tencent Hldg Eu Put Wts Dec 2016 B	80,000,000	2015/10/02	2016/12/21	15.12
12210	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt May16A	26,000,000	2015/10/02	2016/05/05	10.53
12213	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Apr 2016A	40,000,000	2015/10/02	2016/04/06	34.80
12215	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jun 2016A	40,000,000	2015/10/02	2016/06/03	27.20
12219	SGA Societe Generale Acceptance N.V. – CRRC Euro Wts Jun16 A	60,000,000	2015/10/02	2016/06/03	15.30
12221	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Jun 2016 A	12,000,000	2015/10/02	2016/06/03	10.32
12191	UBS AG – BOCL European Warrants Jul 2016 A	60,000,000	2015/10/02	2016/07/22	13.20
12190	UBS AG – China Life European Warrants Feb 2016 A	100,000,000	2015/10/02	2016/02/24	23.50
12193	UBS AG – Galaxy Ent European Warrants Apr 2016 A	70,000,000	2015/10/02	2016/04/08	18.90
12192	UBS AG – ICBC European Warrants Mar 2016 A	60,000,000	2015/10/02	2016/03/02	19.80
12238	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Apr 2016 A	60,000,000	2015/10/05	2016/04/05	15.60
12239	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Apr 2016 B	30,000,000	2015/10/05	2016/04/05	11.10
12240	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Apr 2016 B	30,000,000	2015/10/05	2016/04/05	14.70
12241	Credit Suisse AG – HSI European Warrants Mar 2016 D	150,000,000	2015/10/05	2016/03/30	22.50
12243	Credit Suisse AG – HSI European Put Warrants Mar 2016 E	150,000,000	2015/10/05	2016/03/30	30.00
12247	Goldman Sachs SP (Asia) – Fosun Intl European Wts May 2016 A	50,000,000	2015/10/05	2016/05/27	13.45
12245	J P Morgan SP BV – Agricultural Bk Euro Warrants May 2016 A	100,000,000	2015/10/05	2016/05/04	25.20
12246	J P Morgan SP BV – Sands China European Put Wts May 2016 B	100,000,000	2015/10/05	2016/05/04	25.00
12244	Macquarie Bank Ltd. – PetroChina European Wts Apr 2016 B	22,000,000	2015/10/05	2016/04/05	10.01
12237	SGA Societe Generale Acceptance N.V. – CKP Euro Wts May 16 A	50,000,000	2015/10/05	2016/05/05	32.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12254	Credit Suisse AG – Agricultural Bank European Wts Mar 2016 A	70,000,000	2015/10/06	2016/03/03	17.50
12255	Credit Suisse AG – BOCL European Warrants Jul 2016 A	70,000,000	2015/10/06	2016/07/29	17.50
12259	Credit Suisse AG – CNOOC European Warrants Apr 2016 A	70,000,000	2015/10/06	2016/04/05	51.10
12262	Credit Suisse AG – Galaxy Ent European Warrants Apr 2016 A	70,000,000	2015/10/06	2016/04/05	17.50
12263	Credit Suisse AG – Galaxy Ent European Put Wts Apr 2016 B	60,000,000	2015/10/06	2016/04/05	15.00
12251	J P Morgan SP BV – China Taiping European Wts Jun 2016 A	80,000,000	2015/10/06	2016/06/02	29.52
12264	J P Morgan SP BV – Esprit European Wts Oct 2017 A	60,000,000	2015/10/06	2017/10/03	10.14
12249	J P Morgan SP BV – PICC Group European Warrants May 2016 A	60,000,000	2015/10/06	2016/05/04	27.12
12296	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts May 2016 A	60,000,000	2015/10/07	2016/05/04	23.40
12298	BNP Paribas Arbit Issu B.V. – CM Bank Euro Warrants May 2016 A	60,000,000	2015/10/07	2016/05/04	15.00
12309	BNP Paribas Arbit Issu B.V. – CRRC European Wts Jul 2016 A	60,000,000	2015/10/07	2016/07/05	15.00
12299	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts Jun 2016 A	60,000,000	2015/10/07	2016/06/02	18.60
12297	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2016 A	60,000,000	2015/10/07	2016/05/04	22.80
12287	Credit Suisse AG – AIA European Warrants Apr 2016 A	80,000,000	2015/10/07	2016/04/29	27.20
12283	Credit Suisse AG – CC Bank European Warrants Apr 2016 A	80,000,000	2015/10/07	2016/04/29	28.80
12289	Credit Suisse AG – China Cinda European Warrants Apr 2016 B	70,000,000	2015/10/07	2016/04/29	17.50
12284	Credit Suisse AG – HSBC European Wts Apr 2016 C	80,000,000	2015/10/07	2016/04/11	24.80
12268	Credit Suisse AG – HSI European Warrants Mar 2016 F	150,000,000	2015/10/07	2016/03/30	27.00
12271	Credit Suisse AG – HSI European Warrants Mar 2016 G	150,000,000	2015/10/07	2016/03/30	33.00
12294	Credit Suisse AG – HSI European Put Warrants Apr 2016 A	150,000,000	2015/10/07	2016/04/28	37.50
12292	Credit Suisse AG – Sands China European Warrants Apr 2016 A	70,000,000	2015/10/07	2016/04/29	22.40
12286	Credit Suisse AG – Stanchart European Warrants Apr 2016 B	50,000,000	2015/10/07	2016/04/29	20.00
12265	Bank of East Asia – CNOOC European Warrants Aug 2018 A	60,000,000	2015/10/07	2018/08/08	10.20
12295	Bank of East Asia – CRRC European Warrants Jul 2016 A	40,000,000	2015/10/07	2016/07/06	10.00
12266	Bank of East Asia – New World Dev European Wts Apr 2018 A	40,000,000	2015/10/07	2018/04/04	10.00
12417	Goldman Sachs SP (Asia) – Ch Comm Cons Euro Wts Sep 2016 A	60,000,000	2015/10/07	2016/09/30	15.00
12386	Goldman Sachs SP (Asia) – CKP European Warrants Jun 2016 A	60,000,000	2015/10/07	2016/06/03	37.68
12352	Goldman Sachs SP (Asia) – HSCEI European Warrants Apr 2016 B	100,000,000	2015/10/07	2016/04/28	25.10
12355	Goldman Sachs SP (Asia) – HSCEI European Put Wts Dec 2016 A	100,000,000	2015/10/07	2016/12/29	25.00
12384	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2016 E	100,000,000	2015/10/07	2016/04/28	25.30
12419	J P Morgan SP BV – CC Bank European Wts Jul 2016 A	100,000,000	2015/10/07	2016/07/29	31.80
12274	J P Morgan SP BV – Chi Res Land European Warrants Jul 2016 A	100,000,000	2015/10/07	2016/07/05	27.00
12272	J P Morgan SP BV – China Shenhua European Wts Dec 2016 A	100,000,000	2015/10/07	2016/12/30	18.00
12421	J P Morgan SP BV – Galaxy Ent European Warrants Mar 2016 C	100,000,000	2015/10/07	2016/03/31	17.20
12277	J P Morgan SP BV – HSCEI European Wts May 2016 B	200,000,000	2015/10/07	2016/05/30	50.00
12275	J P Morgan SP BV – HSI European Warrants Apr 2016 E	300,000,000	2015/10/07	2016/04/28	45.00
12276	J P Morgan SP BV – HSI European Warrants Jun 2016 B	300,000,000	2015/10/07	2016/06/29	45.30
12279	J P Morgan SP BV – Tracker Fund European Warrants Dec 2017 A	100,000,000	2015/10/07	2017/12/29	25.20
12278	Standard Chartered Bank – HSI European Put Wts Apr 2016 A	100,000,000	2015/10/07	2016/04/28	25.00
12267	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Jul16 A	10,000,000	2015/10/07	2016/07/06	10.10
12456	BOCI Asia Ltd. – CNOOC European Warrants Jun 2016 A	100,000,000	2015/10/08	2016/06/27	53.00
12446	BOCI Asia Ltd. – Galaxy Ent European Warrants Jun 2016 A	100,000,000	2015/10/08	2016/06/06	25.00
12453	BOCI Asia Ltd. – HKEx European Warrants Jun 2016 D	100,000,000	2015/10/08	2016/06/02	19.00
12436	BOCI Asia Ltd. – HSBC European Warrants May 2016 B	100,000,000	2015/10/08	2016/05/03	30.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
12475	BOCI Asia Ltd. – Sands China European Warrants May 2016 B	100,000,000	2015/10/08	2016/05/30	29.00
12692	Goldman Sachs SP (Asia) – Galaxy Ent European Wts May 2016 A	80,000,000	2015/10/08	2016/05/31	27.52
12688	J P Morgan SP BV – Wynn Macau European Warrants Jul 2016 A	80,000,000	2015/10/08	2016/07/04	12.08
12661	Standard Chartered Bank – BOCL European Warrants May 2016 A	80,000,000	2015/10/08	2016/05/16	16.00
12677	Standard Chartered Bank – BYD European Warrants Feb 2016 A	60,000,000	2015/10/08	2016/02/05	42.00
12577	Standard Chartered Bank – CC Bank European Wts Apr 2016 A	80,000,000	2015/10/08	2016/04/07	14.80
12662	Standard Chartered Bank – CNOOC European Warrants Jul 2016 A	60,000,000	2015/10/08	2016/07/29	48.00
12647	Standard Chartered Bank – ICBC European Warrants Apr 2016 A	80,000,000	2015/10/08	2016/04/12	20.00
12685	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Aug 2018A	300,000,000	2015/10/08	2018/08/03	45.00
12687	SGA Societe Generale Acceptance N.V – HS H-SHARE Eu Wts Oct18A	300,000,000	2015/10/08	2018/10/04	48.00
10364	SGA Societe Generale Acceptance NV – Nikkei 225 Eu Wt Jun 16 C	150,000,000	2015/10/08	2016/06/10	23.25
12552	UBS AG – CITIC Bank European Warrants Apr 2016 A	40,000,000	2015/10/08	2016/04/07	18.00
12494	UBS AG – CNOOC European Warrants Apr 2016 A	50,000,000	2015/10/08	2016/04/07	39.00
12568	UBS AG – Geely Auto European Warrants Apr 2016 A	40,000,000	2015/10/08	2016/04/07	22.00
12508	UBS AG – HSI European Warrants Apr 2016 A	300,000,000	2015/10/08	2016/04/28	75.00
12511	UBS AG – Kingsoft European Warrants Apr 2016 A	100,000,000	2015/10/08	2016/04/11	31.00
12488	UBS AG – PetroChina European Warrants Apr 2016 A	50,000,000	2015/10/08	2016/04/07	20.50
12502	UBS AG – Sands China European Warrants Apr 2016 A	70,000,000	2015/10/08	2016/04/07	19.60
12911	Goldman Sachs SP (Asia) – A50 China European Wts Apr 2016 B	60,000,000	2015/10/09	2016/04/28	49.32
12907	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2016 C	100,000,000	2015/10/09	2016/04/26	21.00
12893	J P Morgan SP BV – Ch Overseas European Warrants Jul 2016 B	100,000,000	2015/10/09	2016/07/04	25.30
12880	J P Morgan SP BV – Sands China European Warrants May 2016 C	100,000,000	2015/10/09	2016/05/03	25.10
12744	Macquarie Bank Ltd. – Brilliance Chi Euro Wts Feb 2017 A	40,000,000	2015/10/09	2017/02/02	10.00
12736	Macquarie Bank Ltd. – Sinopec Corp European Wts May 2016 A	20,000,000	2015/10/09	2016/05/04	10.00
12816	Macquarie Bank Ltd. – Geely Auto European Wts Aug 2016 A	20,000,000	2015/10/09	2016/08/02	10.18
12879	Macquarie Bank Ltd. – R&F Ppt European Warrants May 2017 A	40,000,000	2015/10/09	2017/05/04	10.00
12806	Macquarie Bank Ltd. – Greatwall Motor European Wts Dec 2016 A	40,000,000	2015/10/09	2016/12/02	10.00
12833	Macquarie Bank Ltd. – MGM China European Warrants Jul 2017 A	40,000,000	2015/10/09	2017/07/03	10.00
12823	Macquarie Bank Ltd. – Poly HK Inv European Wts May 2016 A	24,000,000	2015/10/09	2016/05/04	10.10
12695	Standard Chartered Bank – PetroChina European Wts Jun 2016 A	60,000,000	2015/10/09	2016/06/30	21.60
14544	BNP Paribas Arbit Issu B.V. – CAM CSI300 Euro Wts Mar 2016 B	50,000,000	2015/10/12	2016/03/02	11.50
14428	BNP Paribas Arbit Issu B.V. – CITIC Sec Euro Wts May 2016 A	60,000,000	2015/10/12	2016/05/04	18.00
14279	BNP Paribas Arbit Issu B.V. – HKEx European Wts Dec 2016 A	60,000,000	2015/10/12	2016/12/02	15.60
13983	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2016 A	50,000,000	2015/10/12	2016/04/05	10.50
14630	Credit Suisse AG – HSI European Warrants Mar 2016 H	150,000,000	2015/10/12	2016/03/30	22.50
14760	Goldman Sachs SP (Asia) – HSCEI European Warrants Jul 2016 A	100,000,000	2015/10/12	2016/07/28	25.50
13045	HK Bank – BOCL European Warrants Aug 2016 A	80,000,000	2015/10/12	2016/08/31	24.00
13076	HK Bank – China Life European Warrants Jun 2016 A	100,000,000	2015/10/12	2016/06/30	25.00
12974	HK Bank – HSCEI European Put Warrants Apr 2016 B	180,000,000	2015/10/12	2016/04/28	45.00
12978	HK Bank – HSI European Put Warrants Mar 2016 I	180,000,000	2015/10/12	2016/03/30	27.00
13035	HK Bank – Ping An European Warrants Apr 2016 A	60,000,000	2015/10/12	2016/04/29	24.00
13258	HK Bank – Sands China European Warrants Aug 2016 A	80,000,000	2015/10/12	2016/08/31	20.00
13982	Macquarie Bank Ltd. – CITIC Bank European Wts May 2016 A	22,000,000	2015/10/12	2016/05/04	10.01
13754	UBS AG – AIA European Warrants May 2016 A	100,000,000	2015/10/12	2016/05/30	23.00

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Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
13530	UBS AG – CC Bank European Warrants Mar 2016 B	100,000,000	2015/10/12	2016/03/22	27.50
13560	UBS AG – CC Bank European Warrants Mar 2016 C	100,000,000	2015/10/12	2016/03/31	18.00
13495	UBS AG – China Life European Warrants Mar 2016 A	100,000,000	2015/10/12	2016/03/24	27.50
13378	UBS AG – Galaxy Ent European Warrants May 2016 A	70,000,000	2015/10/12	2016/05/03	12.60
13494	UBS AG – HKEx European Warrants Nov 2016 A	300,000,000	2015/10/12	2016/11/28	75.00
13981	UBS AG – HSI European Put Warrants Mar 2016 L	300,000,000	2015/10/12	2016/03/30	66.00
13417	UBS AG – Sands China European Warrants Mar 2016 C	70,000,000	2015/10/12	2016/03/24	11.90
15295	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Jul 2016 A	30,000,000	2015/10/13	2016/07/28	10.80
15303	Goldman Sachs SP (Asia) – China Tel European Wts Jul 2016 A	40,000,000	2015/10/13	2016/07/28	13.96
15410	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts May 2016 B	50,000,000	2015/10/13	2016/05/30	18.75
15358	Goldman Sachs SP (Asia) – Sands China Eur Put Wts Apr 2016 B	50,000,000	2015/10/13	2016/04/28	17.70
15057	HK Bank – HSI European Warrants Mar 2016 J	180,000,000	2015/10/13	2016/03/30	27.00
14994	HK Bank – Jiangxi Copper European Warrants Oct 2016 A	80,000,000	2015/10/13	2016/10/31	12.80
15053	HK Bank – PetroChina European Warrants Apr 2016 A	80,000,000	2015/10/13	2016/04/29	40.00
15115	HK Bank – Stanchart European Warrants Apr 2016 A	50,000,000	2015/10/13	2016/04/29	22.50
15058	HK Bank – Tencent European Warrants Jun 2016 E	100,000,000	2015/10/13	2016/06/27	15.00
15276	Standard Chartered Bank – HSI European Warrants Apr 2016 B	100,000,000	2015/10/13	2016/04/28	15.00
14922	UBS AG – HSCEI European Warrants Mar 2016 I	200,000,000	2015/10/13	2016/03/30	30.00
15201	UBS AG – HSI European Warrants Mar 2016 M	300,000,000	2015/10/13	2016/03/30	57.00
14950	UBS AG – HSI European Warrants Jun 2016 C	300,000,000	2015/10/13	2016/06/29	75.00
16549	Credit Suisse AG – China Mobile European Warrants Mar 2016 B	80,000,000	2015/10/14	2016/03/30	40.00
16596	Credit Suisse AG – PetroChina European Put Warrants Apr 16 A	70,000,000	2015/10/14	2016/04/29	44.10
15773	HK Bank – Sinopec Corp European Warrants Jun 2016 A	60,000,000	2015/10/14	2016/06/30	21.00
15817	HK Bank – HKEx European Warrants May 2016 B	100,000,000	2015/10/14	2016/05/30	18.00
15869	HK Bank – HKEx European Warrants Oct 2016 A	100,000,000	2015/10/14	2016/10/31	16.00
16878	J P Morgan SP BV – China Mob European Put Wts Apr 2016 B	100,000,000	2015/10/14	2016/04/29	32.40
17092	J P Morgan SP BV – CNOOC European Put Warrants Dec 2016 A	100,000,000	2015/10/14	2016/12/30	15.10
16298	Macquarie Bank Ltd. – AIA European Warrants Jul 2016 A	40,000,000	2015/10/14	2016/07/05	10.00
15597	Macquarie Bank Ltd. – New World Dev European Wts Jun 2016 A	22,000,000	2015/10/14	2016/06/02	10.01
15415	Macquarie Bank Ltd. – Stanchart European Warrants Jun 2016 A	15,000,000	2015/10/14	2016/06/02	10.02
15694	Standard Chartered Bank – Galaxy Ent European Wts Jun 2016 A	60,000,000	2015/10/14	2016/06/29	15.00
15713	Standard Chartered Bank – Sands China Euro Wts Apr 2016 A	60,000,000	2015/10/14	2016/04/27	10.20
15904	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt May 2016 C	100,000,000	2015/10/14	2016/05/05	25.00
15979	SGA Societe Generale Acceptance N.V. – Wynn Eu Wt Jul 2016 A	60,000,000	2015/10/14	2016/07/06	12.60
16164	SGA Societe Generale Acceptance N.V. – ZTE Eu Wt Jul 2016 A	35,000,000	2015/10/14	2016/07/06	11.20
16746	UBS AG – BankComm European Warrants Jun 2016 A	40,000,000	2015/10/14	2016/06/13	20.00
16822	UBS AG – China Life European Put Warrants Apr 2016 A	100,000,000	2015/10/14	2016/04/26	25.00
16722	UBS AG – China Mobile European Warrants Feb 2016 A	100,000,000	2015/10/14	2016/02/24	30.00
16710	UBS AG – CNOOC European Warrants Apr 2016 B	40,000,000	2015/10/14	2016/04/14	27.20
16793	UBS AG – China Tel European Warrants Jun 2016 A	40,000,000	2015/10/14	2016/06/27	15.40
16612	UBS AG – Ping An European Warrants Mar 2016 B	100,000,000	2015/10/14	2016/03/29	16.80
16661	UBS AG – Ping An European Put Warrants Apr 2016 A	70,000,000	2015/10/14	2016/04/13	25.20
16765	UBS AG – Sands China European Warrants Apr 2016 B	70,000,000	2015/10/14	2016/04/26	10.50
17396	BNP Paribas Arbit Issu B.V. – CITIC Bank Euro Wts Jul 2016 A	60,000,000	2015/10/15	2016/07/05	33.60

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
17599	BNP Paribas Arbit Issu B.V. – China Tel Euro Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	14.80
17485	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	11.20
17443	BNP Paribas Arbit Issu B.V. – Haitong Sec Eu Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	11.60
17429	BNP Paribas Arbit Issu B.V. – Ping An Eur Put Wts May 2016 A	60,000,000	2015/10/15	2016/05/04	21.60
17247	Credit Suisse AG – HKEx European Warrants Jun 2016 C	150,000,000	2015/10/15	2016/06/01	22.50
17680	Credit Suisse AG – Sands China European Warrants Mar 2016 A	70,000,000	2015/10/15	2016/03/30	17.50
17277	HK Bank – CAM CSI300 European Warrants Aug 2016 A	80,000,000	2015/10/15	2016/08/02	22.40
17251	HK Bank – CNOOC European Warrants Jun 2016 A	50,000,000	2015/10/15	2016/06/30	50.00
17271	HK Bank – HSCEI European Warrants Apr 2016 C	180,000,000	2015/10/15	2016/04/28	45.00
17264	HK Bank – HSCEI European Warrants Jul 2016 A	180,000,000	2015/10/15	2016/07/28	45.00
17153	Macquarie Bank Ltd. – China Life European Wts May 2016 A	40,000,000	2015/10/15	2016/05/04	10.00
17094	Macquarie Bank Ltd. – CNOOC European Warrants Jun 2018 A	40,000,000	2015/10/15	2018/06/04	10.00
17752	Macquarie Bank Ltd. – NCI European Warrants May 2016 A	33,000,000	2015/10/15	2016/05/04	10.07
17720	SGA Societe Generale Acceptance N.V. – AIA Eur Wt May 2016 B	100,000,000	2015/10/15	2016/05/05	25.00
17308	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 A	150,000,000	2015/10/15	2016/08/03	37.50
17309	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Sep 2016 A	300,000,000	2015/10/15	2016/09/05	75.00
17321	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 16 E	500,000,000	2015/10/15	2016/07/06	75.00
17394	UBS AG – Ch Oilfield European Warrants Nov 2016 A	80,000,000	2015/10/15	2016/11/30	20.00
17360	UBS AG – HKEx European Warrants Jun 2016 D	300,000,000	2015/10/15	2016/06/01	45.00
17370	UBS AG – Link REIT European Warrants Jul 2016 A	70,000,000	2015/10/15	2016/07/11	29.75
17994	Credit Suisse AG – ICBC European Warrants Apr 2016 A	80,000,000	2015/10/16	2016/04/29	28.80
17773	Bank of East Asia – BYD European Warrants Aug 2017 A	70,000,000	2015/10/16	2017/08/15	10.50
17770	Bank of East Asia – Great Wall Motor European Wts Jul 2016 A	40,000,000	2015/10/16	2016/07/04	10.00
17810	Bank of East Asia – HSBC European Warrants May 2016 A	40,000,000	2015/10/16	2016/05/05	10.00
18004	Bank of East Asia – Haitong Sec European Warrants Jun 2016 A	40,000,000	2015/10/16	2016/06/22	10.00
17984	Bank of East Asia – Sands China European Warrants Jun 2016 A	40,000,000	2015/10/16	2016/06/20	10.00
18079	Goldman Sachs SP (Asia) – China Life Euro Wts May 2016 A	80,000,000	2015/10/16	2016/05/04	20.00
18070	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2016 B	100,000,000	2015/10/16	2016/06/01	15.00
18056	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts May 2016 A	80,000,000	2015/10/16	2016/05/31	20.00
18094	Goldman Sachs SP (Asia) – Ping An European Wts May 2016 A	80,000,000	2015/10/16	2016/05/30	24.32
17761	HK Bank – BOCL European Warrants Aug 2016 B	80,000,000	2015/10/16	2016/08/31	20.00
17758	HK Bank – HSI European Warrants Mar 2016 K	180,000,000	2015/10/16	2016/03/30	27.00
17768	HK Bank – PetroChina European Warrants Apr 2016 B	80,000,000	2015/10/16	2016/04/29	24.00
17997	J P Morgan SP BV – MGM China European Warrants Jul 2017 A	100,000,000	2015/10/16	2017/07/04	25.00
17822	Macquarie Bank Ltd. – Kingsoft European Warrants Jul 2016 A	30,000,000	2015/10/16	2016/07/05	10.02
17840	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt May16A	50,000,000	2015/10/16	2016/05/05	13.25
17906	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Jun 2016 C	200,000,000	2015/10/16	2016/06/03	30.00
17851	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Apr 2016C	150,000,000	2015/10/16	2016/04/28	37.50
17888	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jul 2016A	150,000,000	2015/10/16	2016/07/28	37.50
17897	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts May16B	50,000,000	2015/10/16	2016/05/05	27.00
17940	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt May 2016 B	100,000,000	2015/10/16	2016/05/05	35.00
17983	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Jun16A	80,000,000	2015/10/16	2016/06/03	20.00
18124	UBS AG – CRRC European Warrants Jun 2016 A	70,000,000	2015/10/16	2016/06/30	18.20
18147	UBS AG – Galaxy Ent European Warrants Mar 2016 D	70,000,000	2015/10/16	2016/03/24	12.25

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
18192	UBS AG – SHK Ppt European Warrants Jun 2016 A	50,000,000	2015/10/16	2016/06/13	28.50
18239	UBS AG – Wynn Macau European Warrants Oct 2016 B	40,000,000	2015/10/16	2016/10/31	10.00
18391	J P Morgan SP BV – Haitong Sec European Warrants Mar 2016 A	100,000,000	2015/10/19	2016/03/07	15.00
18371	Macquarie Bank Ltd. – China Tel European Warrants May 2016 A	40,000,000	2015/10/19	2016/05/04	10.00
18370	Macquarie Bank Ltd. – Galaxy Ent European Wts May 2016 B	40,000,000	2015/10/19	2016/05/04	10.00
18345	Macquarie Bank Ltd. – HKEx European Warrants May 2016 A	40,000,000	2015/10/19	2016/05/04	10.00
18343	Standard Chartered Bank – AIA European Warrants May 2016 A	80,000,000	2015/10/19	2016/05/06	20.00
18324	Standard Chartered Bank – China Life European Wts Apr 2016 A	100,000,000	2015/10/19	2016/04/29	25.00
18265	Standard Chartered Bank – China Mobile Euro Wts Apr 2016 A	60,000,000	2015/10/19	2016/04/29	15.00
18386	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Jun 2016 A	18,000,000	2015/10/19	2016/06/03	10.62
18628	Credit Suisse AG – Galaxy Ent European Warrants Mar 2016 B	80,000,000	2015/10/20	2016/03/31	17.60
18635	Credit Suisse AG – Galaxy Ent European Put Wts Mar 2016 C	70,000,000	2015/10/20	2016/03/31	14.00
18442	HK Bank – CITIC Sec European Warrants Jul 2016 B	60,000,000	2015/10/20	2016/07/29	15.00
18434	HK Bank – China Tel European Warrants Jun 2016 A	40,000,000	2015/10/20	2016/06/30	11.20
18465	HK Bank – Haitong Sec European Warrants Jul 2016 A	60,000,000	2015/10/20	2016/07/29	15.00
18426	HK Bank – Lenovo European Warrants Jul 2016 A	40,000,000	2015/10/20	2016/07/29	24.80
18412	HK Bank – SHK Ppt European Warrants Apr 2016 A	60,000,000	2015/10/20	2016/04/29	16.20
18578	Macquarie Bank Ltd. – BYD European Warrants Jun 2016 A	11,000,000	2015/10/20	2016/06/02	10.01
18617	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2016 A	25,000,000	2015/10/20	2016/07/05	10.00
18525	Standard Chartered Bank – HSBC European Warrants May 2016 A	30,000,000	2015/10/20	2016/05/12	27.90
18503	Standard Chartered Bank – HSBC European Warrants Jun 2016 A	80,000,000	2015/10/20	2016/06/30	20.00
18652	SGA Societe Generale Acceptance N.V. – CGS Euro Wts Dec 16 A	100,000,000	2015/10/20	2016/12/05	21.00
18686	SGA Societe Generale Acceptance N.V. – Shimao Ppt Eu Wt Sep16A	50,000,000	2015/10/20	2016/09/05	13.25
18673	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Aug16 A	40,000,000	2015/10/20	2016/08/03	11.00
18696	UBS AG – China Life European Warrants Mar 2016 B	100,000,000	2015/10/20	2016/03/02	15.00
18712	UBS AG – Sinopec Corp European Warrants Apr 2016 A	70,000,000	2015/10/20	2016/04/19	20.30
18968	Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2016 A	100,000,000	2015/10/22	2016/03/30	45.50
18972	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Mar 2016 D	80,000,000	2015/10/22	2016/03/30	19.20
18775	HK Bank – Agricultural Bank European Warrants Jul 2016 B	50,000,000	2015/10/22	2016/07/29	12.50
18772	HK Bank – Agricultural Bank European Warrants Aug 2016 A	50,000,000	2015/10/22	2016/08/31	12.50
18832	HK Bank – CC Bank European Warrants Jul 2016 B	50,000,000	2015/10/22	2016/07/29	15.00
18787	HK Bank – CC Bank European Warrants Aug 2016 A	50,000,000	2015/10/22	2016/08/31	13.00
18856	HK Bank – HSI European Warrants Jun 2016 A	200,000,000	2015/10/22	2016/06/29	30.00
18872	HK Bank – HSI European Put Warrants Mar 2016 L	200,000,000	2015/10/22	2016/03/30	32.00
18937	J P Morgan SP BV – China Life European Warrants May 2016 B	100,000,000	2015/10/22	2016/05/03	25.00
18947	J P Morgan SP BV – Galaxy Ent European Put Wts Mar 2016 D	100,000,000	2015/10/22	2016/03/02	20.90
18966	J P Morgan SP BV – HSBC European Warrants Jun 2016 B	50,000,000	2015/10/22	2016/06/02	25.90
18926	Macquarie Bank Ltd. – China Mobile European Wts Jul 2016 A	40,000,000	2015/10/22	2016/07/05	10.00
18920	Macquarie Bank Ltd. – HSBC European Warrants Jul 2016 B	40,000,000	2015/10/22	2016/07/05	10.00
18876	Macquarie Bank Ltd. – Hang Seng Bank European Wts Jul 2016 A	30,000,000	2015/10/22	2016/07/05	10.05
18766	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2016 B	150,000,000	2015/10/22	2016/06/29	22.50
10365	SGA Societe Generale Acceptance NV – N225 Eu Put Wt Dec 2016 A	150,000,000	2015/10/22	2016/12/09	28.50
19028	BOCI Asia Ltd. – China Life European Warrants Jun 2016 A	100,000,000	2015/10/23	2016/06/27	27.00
18978	BOCI Asia Ltd. – China Mobile European Warrants Apr 2016 A	100,000,000	2015/10/23	2016/04/06	38.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19019	BOCI Asia Ltd. – China Mobile European Put Wts Apr 2016 B	100,000,000	2015/10/23	2016/04/25	46.00
19080	BOCI Asia Ltd. – Tracker Fund European Warrants Dec 2016 A	100,000,000	2015/10/23	2016/12/20	17.00
19085	BOCI Asia Ltd. – Tracker Fund European Put Wts Oct 2016 A	100,000,000	2015/10/23	2016/10/31	25.00
19141	Credit Suisse AG – CC Bank European Warrants Apr 2016 B	80,000,000	2015/10/23	2016/04/29	23.20
19127	Credit Suisse AG – HSI European Put Warrants Jan 2016 J	150,000,000	2015/10/23	2016/01/28	22.50
19136	Credit Suisse AG – Ping An European Warrants Mar 2016 E	80,000,000	2015/10/23	2016/03/31	13.60
19113	Macquarie Bank Ltd. – Galaxy Ent European Wts Mar 2016 B	67,000,000	2015/10/23	2016/03/02	10.05
19126	Macquarie Bank Ltd. – Tencent European Put Wts May 2016 A	15,000,000	2015/10/23	2016/05/04	10.01
19154	Credit Suisse AG – HSI European Warrants Mar 2016 I	150,000,000	2015/10/26	2016/03/30	22.50
19394	Goldman Sachs SP (Asia) – CAM CSI300 European Wts May 2016 A	50,000,000	2015/10/26	2016/05/04	14.80
19364	Goldman Sachs SP (Asia) – China Railway Euro Wts Sep 2016 A	80,000,000	2015/10/26	2016/09/23	14.24
19392	Goldman Sachs SP (Asia) – CRCC European Warrants May 2016 A	80,000,000	2015/10/26	2016/05/30	20.32
19418	Goldman Sachs SP (Asia) – China Unicom Euro Wts Oct 2017 A	100,000,000	2015/10/26	2017/10/30	25.00
19343	Goldman Sachs SP (Asia) – SHK Ppt European Wts Apr 2016 A	60,000,000	2015/10/26	2016/04/28	21.66
19330	J P Morgan SP BV – HKEx European Warrants Feb 2016 E	200,000,000	2015/10/26	2016/02/03	30.40
19341	J P Morgan SP BV – Tencent European Warrants May 2016 A	100,000,000	2015/10/26	2016/05/04	65.50
19305	Macquarie Bank Ltd. – CHALCO European Warrants Jul 2016 A	35,000,000	2015/10/26	2016/07/05	10.05
19315	Macquarie Bank Ltd. – CPIC European Warrants Aug 2016 A	38,000,000	2015/10/26	2016/08/02	10.07
19286	Macquarie Bank Ltd. – HKEx European Warrants Feb 2016 C	67,000,000	2015/10/26	2016/02/02	10.05
19161	Standard Chartered Bank – Galaxy Ent European Wts May 2016 A	60,000,000	2015/10/26	2016/05/30	15.00
19179	Standard Chartered Bank – ICBC European Warrants May 2016 A	80,000,000	2015/10/26	2016/05/09	20.00
19187	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May16 B	150,000,000	2015/10/26	2016/05/30	37.50
19220	UBS AG – China Shenhua European Warrants Mar 2016 B	60,000,000	2015/10/26	2016/03/29	10.20
19211	UBS AG – GCL-Poly Energy European Warrants Jun 2016 A	40,000,000	2015/10/26	2016/06/17	13.00
19213	UBS AG – HKEx European Warrants Nov 2016 B	300,000,000	2015/10/26	2016/11/08	79.50
19217	UBS AG – Ping An European Warrants Mar 2016 C	100,000,000	2015/10/26	2016/03/23	25.00
19190	UBS AG – Tencent Holdings European Warrants Jun 2016 C	300,000,000	2015/10/26	2016/06/02	45.00
19441	Credit Suisse AG – HKEx European Warrants Sep 2016 A	150,000,000	2015/10/27	2016/09/30	37.50
19533	Credit Suisse AG – HSCEI European Warrants Jun 2016 A	100,000,000	2015/10/27	2016/06/29	25.00
19425	Credit Suisse AG – Ping An European Warrants Mar 2016 F	80,000,000	2015/10/27	2016/03/30	12.00
19428	Credit Suisse AG – Tencent Holdings European Wts Jun 2016 C	150,000,000	2015/10/27	2016/06/06	22.50
19810	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Mar 2016 A	40,000,000	2015/10/27	2016/03/31	25.72
19509	HK Bank – AIA European Warrants May 2016 A	60,000,000	2015/10/27	2016/05/31	15.00
19501	HK Bank – China Life European Warrants Jun 2016 B	80,000,000	2015/10/27	2016/06/30	20.00
19444	HK Bank – Sinopec Corp European Warrants Jun 2016 B	50,000,000	2015/10/27	2016/06/30	20.00
19477	HK Bank – Galaxy Ent European Warrants Apr 2016 A	50,000,000	2015/10/27	2016/04/29	12.50
19532	HK Bank – HSCEI European Put Warrants Apr 2016 D	200,000,000	2015/10/27	2016/04/28	50.00
19523	HK Bank – HSI European Put Warrants Apr 2016 A	200,000,000	2015/10/27	2016/04/28	50.00
19500	HK Bank – Sands China European Warrants Jul 2016 B	50,000,000	2015/10/27	2016/07/29	12.50
19770	J P Morgan SP BV – CNOOC European Warrants Jun 2017 A	200,000,000	2015/10/27	2017/06/30	30.20
19547	Macquarie Bank Ltd. – Tencent European Warrants May 2016 B	22,000,000	2015/10/27	2016/05/04	10.05
19578	Macquarie Bank Ltd. – Tencent European Warrants May 2016 C	10,000,000	2015/10/27	2016/05/04	10.01
19545	Standard Chartered Bank – AIA European Put Wts May 2016 B	80,000,000	2015/10/27	2016/05/23	20.00
19585	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Jun 2016 B	100,000,000	2015/10/27	2016/06/03	25.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
19591	SGA Societe Generale Acceptance N.V. – CCB Eur Wt May 2016 B	100,000,000	2015/10/27	2016/05/05	31.50
19597	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 16 A	80,000,000	2015/10/27	2016/05/05	39.20
19610	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Aug16A	200,000,000	2015/10/27	2016/08/03	50.00
19618	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Jul16A	40,000,000	2015/10/27	2016/07/06	13.40
19669	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt May 16 A	50,000,000	2015/10/27	2016/05/05	35.00
19744	UBS AG – AIA European Put Warrants Apr 2016 A	70,000,000	2015/10/27	2016/04/26	18.90
19695	UBS AG – CHALCO European Warrants Jun 2016 A	40,000,000	2015/10/27	2016/06/17	19.60
19704	UBS AG – CGN Power European Warrants Oct 2017 A	70,000,000	2015/10/27	2017/10/23	10.50
19690	UBS AG – Jiangxi Copper European Warrants Feb 2017 A	70,000,000	2015/10/27	2017/02/27	18.20
19689	UBS AG – PICC Group European Warrants Jun 2016 A	30,000,000	2015/10/27	2016/06/17	13.20
19702	UBS AG – SJM Holdings European Warrants Dec 2017 A	40,000,000	2015/10/27	2017/12/18	10.00
19698	UBS AG – Yanzhou Coal European Wts Jun 2016 A	70,000,000	2015/10/27	2016/06/27	37.10
19946	Credit Suisse AG – China Mobile European Warrants Apr 2016 A	100,000,000	2015/10/28	2016/04/29	38.00
19931	HK Bank – BYD European Warrants Dec 2016 C	70,000,000	2015/10/28	2016/12/20	10.50
19925	HK Bank – CM Bank European Warrants Oct 2016 A	60,000,000	2015/10/28	2016/10/31	15.00
19885	HK Bank – China Railway European Warrants Sep 2016 A	70,000,000	2015/10/28	2016/09/26	10.50
19889	HK Bank – CRCC European Warrants Sep 2016 B	40,000,000	2015/10/28	2016/09/30	10.00
19902	HK Bank – Geely Auto European Warrants Jul 2016 A	40,000,000	2015/10/28	2016/07/29	22.00
20055	J P Morgan SP BV – AIA European Warrants Jun 2016 A	100,000,000	2015/10/28	2016/06/01	25.30
20013	J P Morgan SP BV – Ch LongYuan European Warrants Oct 2017 A	100,000,000	2015/10/28	2017/10/31	25.00
20061	J P Morgan SP BV – HKEx European Put Wts Aug 2016 A	200,000,000	2015/10/28	2016/08/01	50.00
20073	J P Morgan SP BV – Sands China European Warrants Jul 2016 A	100,000,000	2015/10/28	2016/07/04	25.30
19949	Macquarie Bank Ltd. – BOCL European Warrants May 2016 A	40,000,000	2015/10/28	2016/05/04	10.00
19967	Macquarie Bank Ltd. – CNOOC European Warrants May 2016 A	11,000,000	2015/10/28	2016/05/04	10.01
19978	Macquarie Bank Ltd. – Ping An European Warrants Jun 2016 A	40,000,000	2015/10/28	2016/06/02	10.00
19984	Standard Chartered Bank – CGN Power European Warrants Apr16A	30,000,000	2015/10/28	2016/04/12	16.50
19817	Standard Chartered Bank – Galaxy Ent Euro Put Wts Apr 2016 A	80,000,000	2015/10/28	2016/04/12	12.00
19839	Standard Chartered Bank – HKEx European Put Wts May 2016 B	60,000,000	2015/10/28	2016/05/03	18.00
19845	Standard Chartered Bank – Sands China Euro Wts May 2016 A	60,000,000	2015/10/28	2016/05/30	15.00
19990	UBS AG – China EB Int'l European Warrants Aug 2016 A	60,000,000	2015/10/28	2016/08/29	15.60
20012	UBS AG – HSCEI European Warrants Jun 2016 A	100,000,000	2015/10/28	2016/06/29	25.00
20154	J P Morgan SP BV – China Mob European Wts Mar 2016 A	100,000,000	2015/10/29	2016/03/01	36.40
20166	J P Morgan SP BV – Evergrande European Warrants Jul 2017 A	100,000,000	2015/10/29	2017/07/03	25.10
20165	J P Morgan SP BV – HKEx European Warrants Jun 2016 C	200,000,000	2015/10/29	2016/06/01	31.20
20149	J P Morgan SP BV – HSI European Put Warrants Apr 2016 F	200,000,000	2015/10/29	2016/04/28	50.00
20108	UBS AG – Anhui Conch European Warrants Jun 2016 A	70,000,000	2015/10/29	2016/06/27	18.90
20136	UBS AG – China Mobile European Warrants Apr 2016 A	100,000,000	2015/10/29	2016/04/28	67.00
20099	UBS AG – China National Building European Wts Jun 2016 B	30,000,000	2015/10/29	2016/06/27	16.50
20169	Credit Suisse AG – AIA European Warrants Feb 2016 C	80,000,000	2015/10/30	2016/02/29	12.80
20405	Credit Suisse AG – CITIC European Warrants May 2016 A	70,000,000	2015/10/30	2016/05/03	10.50
20174	Credit Suisse AG – CKP European Warrants Apr 2016 A	70,000,000	2015/10/30	2016/04/29	26.60
20172	Credit Suisse AG – Jiangxi Copper European Wts Oct 2016 A	70,000,000	2015/10/30	2016/10/31	16.10
20184	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2016 C	300,000,000	2015/10/30	2016/06/29	75.00
20347	Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2016 D	100,000,000	2015/10/30	2016/04/28	15.10

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		issue (units)	Listing date	Expiry	
20209	Goldman Sachs SP (Asia) – Tencent European Wt Oct 2016 B	300,000,000	2015/10/30	2016/10/03	49.20
20384	J P Morgan SP BV – Air China European Warrants Mar 2017 A	80,000,000	2015/10/30	2017/03/31	20.00
20398	J P Morgan SP BV – Beijing Ent European Warrants Jul 2016 A	60,000,000	2015/10/30	2016/07/15	26.58
20397	J P Morgan SP BV – Ch Southern Air European Warrants Aug17 A	80,000,000	2015/10/30	2017/08/01	20.08
20218	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jul 2016 A	100,000,000	2015/10/30	2016/07/06	25.00
20231	SGA Societe Generale Acceptance N.V. – AIA Eu Put Wt Jun16 A	100,000,000	2015/10/30	2016/06/03	27.50
20277	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 16 B	80,000,000	2015/10/30	2016/05/05	20.80
20279	SGA Societe Generale Acceptance N.V. – CMob Eu Put Wt Jun16A	80,000,000	2015/10/30	2016/06/03	36.00
20345	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Jun 2016B	80,000,000	2015/10/30	2016/06/03	36.40
20310	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2016 A	100,000,000	2015/10/30	2016/07/06	25.00
20327	SGA Societe Generale Acceptance N.V. – PICC P&C Eu Wt Aug16 A	40,000,000	2015/10/30	2016/08/03	10.00
20478	Credit Suisse AG – China Life European Put Wts May 2016 A	80,000,000	2015/11/02	2016/05/03	20.00
20475	Credit Suisse AG – China Mobile European Put Wts May 2016 A	80,000,000	2015/11/02	2016/05/03	41.60
20480	Credit Suisse AG – HKEx European Put Warrants Jun 2016 D	150,000,000	2015/11/02	2016/06/29	37.50
20578	Goldman Sachs SP (Asia) – AIA European Put Wts May 2016 A	60,000,000	2015/11/02	2016/05/03	17.10
20558	Goldman Sachs SP (Asia) – CRRC European Warrants May 2016 A	80,000,000	2015/11/02	2016/05/30	20.00
20588	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 A	150,000,000	2015/11/02	2016/06/29	31.80
20463	Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2016 B	150,000,000	2015/11/02	2016/02/26	22.95
20439	Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2016 I	150,000,000	2015/11/02	2016/03/30	39.30
20427	HK Bank – AIA European Put Warrants Jun 2016 A	50,000,000	2015/11/02	2016/06/30	12.50
20420	HK Bank – HKEx European Warrants Jun 2016 B	80,000,000	2015/11/02	2016/06/01	12.00
20431	HK Bank – HSI European Put Warrants May 2016 A	200,000,000	2015/11/02	2016/05/30	50.00
20436	HK Bank – Tencent European Warrants May 2016 B	60,000,000	2015/11/02	2016/05/31	37.20
20418	HK Bank – Tencent European Put Warrants Jun 2016 F	80,000,000	2015/11/02	2016/06/27	12.00
20501	Macquarie Bank Ltd. – Haier Elec European Wts Sep 2016 A	32,000,000	2015/11/02	2016/09/19	10.02
20499	Macquarie Bank Ltd. – Lenovo European Warrants May 2016 A	10,000,000	2015/11/02	2016/05/04	10.00
20411	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2016 C	150,000,000	2015/11/02	2016/05/30	37.50
20548	UBS AG – Agricultural Bank European Warrants May 2016 A	50,000,000	2015/11/02	2016/05/10	12.75
20549	UBS AG – CM Bank European Warrants Jul 2016 A	100,000,000	2015/11/02	2016/07/25	25.00
20550	UBS AG – Greatwall Motor European Warrants Jun 2016 A	60,000,000	2015/11/02	2016/06/24	10.80
20537	UBS AG – HSI European Warrants May 2016 A	300,000,000	2015/11/02	2016/05/30	75.00
20513	UBS AG – HSI European Warrants Jun 2016 D	300,000,000	2015/11/02	2016/06/29	75.00
20546	UBS AG – HSI European Put Warrants Jun 2016 E	300,000,000	2015/11/02	2016/06/29	75.00
20670	BOCI Asia Ltd. – BOCL European Warrants Sep 2016 A	100,000,000	2015/11/03	2016/09/26	29.00
20626	BOCI Asia Ltd. – Sinopec Corp European Warrants Apr 2016 A	100,000,000	2015/11/03	2016/04/12	39.00
20629	BOCI Asia Ltd. – HKEx European Warrants Nov 2016 A	100,000,000	2015/11/03	2016/11/21	25.00
20663	BOCI Asia Ltd. – Tencent Holdings European Wts Oct 2016 A	100,000,000	2015/11/03	2016/10/05	16.00
20689	Credit Suisse AG – AIA European Put Warrants May 2016 B	80,000,000	2015/11/03	2016/05/03	22.40
20688	Credit Suisse AG – China Vanke European Warrants Feb 2016 A	80,000,000	2015/11/03	2016/02/26	12.00
20775	J P Morgan SP BV – China Coal European Warrants Jun 2016 A	60,000,000	2015/11/03	2016/06/01	24.48
20774	J P Morgan SP BV – Yanzhou Coal European Warrants Jun 2016 B	30,000,000	2015/11/03	2016/06/01	12.33
20760	Macquarie Bank Ltd. – Air China European Warrants Jul 2017 A	40,000,000	2015/11/03	2017/07/04	10.00
20765	Macquarie Bank Ltd. – Greatwall Motor European Wts Oct 2016 A	40,000,000	2015/11/03	2016/10/04	10.00
20675	Macquarie Bank Ltd. – Jiangxi Copper European Wts Jul 2017 A	40,000,000	2015/11/03	2017/07/04	10.00

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		issue (units)	Listing date	Expiry	
20705	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Oct 2016 A	100,000,000	2015/11/03	2016/10/05	25.00
20724	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jun 2016A	150,000,000	2015/11/03	2016/06/29	22.50
20757	SGA Societe Generale Acceptance N.V. – SJM Hold Eu Wt Dec17A	100,000,000	2015/11/03	2017/12/11	19.50
20884	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2016 A	50,000,000	2015/11/04	2016/08/02	12.50
20940	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jul 2016 B	60,000,000	2015/11/04	2016/07/05	13.20
20951	BNP Paribas Arbit Issu B.V. – Galaxy Ent Euro Wts May 2016 B	60,000,000	2015/11/04	2016/05/04	13.80
20912	BNP Paribas Arbit Issu B.V. – HKEx European Wts Apr 2016 A	60,000,000	2015/11/04	2016/04/05	12.60
20930	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2016 C	70,000,000	2015/11/04	2016/06/02	10.50
20910	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2016 B	50,000,000	2015/11/04	2016/05/04	12.50
20972	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2016 A	60,000,000	2015/11/04	2016/06/02	15.60
21059	Goldman Sachs SP (Asia) – CKP European Put Warrants Jun 16 B	50,000,000	2015/11/04	2016/06/30	21.55
21125	Goldman Sachs SP (Asia) – CM Bank European Wts May 2016 A	80,000,000	2015/11/04	2016/05/30	20.08
20864	Goldman Sachs SP (Asia) – China Mobile Euro Wts May 2016 A	80,000,000	2015/11/04	2016/05/03	29.84
20806	Goldman Sachs SP (Asia) – HKEx European Warrants Sep 2016 A	300,000,000	2015/11/04	2016/09/28	45.90
20785	Goldman Sachs SP (Asia) – HKEx European Warrants Oct 2016 A	300,000,000	2015/11/04	2016/10/28	62.10
21091	Goldman Sachs Sp (Asia) – ICBC European Warrants Apr 2016 A	80,000,000	2015/11/04	2016/04/29	19.04
21062	Goldman Sachs SP (Asia) – Ping An Euro Put Wts May 2016 B	60,000,000	2015/11/04	2016/05/30	24.66
21083	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2016 A	100,000,000	2015/11/04	2016/07/04	25.10
21064	J P Morgan SP BV – HSCEI European Wts Jun 2016 B	200,000,000	2015/11/04	2016/06/29	30.00
20877	Macquarie Bank Ltd. – China Life European Wts Jul 2016 A	40,000,000	2015/11/04	2016/07/05	10.00
20983	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jul16A	50,000,000	2015/11/04	2016/07/06	13.75
20980	SGA Societe Generale Acceptance N.V. – CKP Euro Wts Jul 16 A	50,000,000	2015/11/04	2016/07/06	15.50
21038	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Oct 2016 A	200,000,000	2015/11/04	2016/10/05	35.00
21028	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt May 16 D	150,000,000	2015/11/04	2016/05/30	38.25
21009	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 16 C	150,000,000	2015/11/04	2016/06/29	38.25
20974	UBS AG – HSI European Put Warrants Apr 2016 B	300,000,000	2015/11/04	2016/04/28	63.00
21249	Credit Suisse AG – China Life European Warrants Apr 2016 A	80,000,000	2015/11/05	2016/04/28	12.00
21155	Bank of East Asia – Great Wall Motor European Wts Apr 2016 A	50,000,000	2015/11/05	2016/04/12	11.00
21157	Bank of East Asia – Great Wall Motor European Wts Oct 2016 B	40,000,000	2015/11/05	2016/10/21	10.40
21330	J P Morgan SP BV – Esprit European Wts Apr 2017 A	60,000,000	2015/11/05	2017/04/03	15.00
21303	J P Morgan SP BV – Greatwall Motor European Wts Mar 2017 A	80,000,000	2015/11/05	2017/03/01	20.24
21306	J P Morgan SP BV – SFCE European Warrants Jul 2016 A	60,000,000	2015/11/05	2016/07/04	25.14
21132	Macquarie Bank Ltd. – Anta Sports European Wts Jul 2016 A	31,000,000	2015/11/05	2016/07/19	10.01
21143	Macquarie Bank Ltd. – CC Bank European Put Warrants Apr 16 D	31,000,000	2015/11/05	2016/04/05	10.04
21204	Macquarie Bank Ltd. – China Life European Wts May 2016 B	25,000,000	2015/11/05	2016/05/06	10.00
21258	Macquarie Bank Ltd. – Esprit European Warrants Dec 2016 A	40,000,000	2015/11/05	2016/12/02	10.00
21231	Macquarie Bank Ltd. – PetroChina European Wts Jun 2016 A	20,000,000	2015/11/05	2016/06/02	10.00
21197	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Jun16B	150,000,000	2015/11/05	2016/06/29	37.50
21192	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 16 C	150,000,000	2015/11/05	2016/04/28	26.25
21191	SGA Societe Generale Acceptance N.V. – Ping An Eu Put Wt Aug16A	120,000,000	2015/11/05	2016/08/03	37.20
21179	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jul 17 A	500,000,000	2015/11/05	2017/07/05	125.00
21355	Credit Suisse AG – Greatwall Motor European Wts Jun 2016 A	100,000,000	2015/11/06	2016/06/30	15.00
21350	Credit Suisse AG – Greatwall Motor European Wts Nov 2016 A	100,000,000	2015/11/06	2016/11/30	15.00
21357	Macquarie Bank Ltd. – China Mengniu European Wts Sep 2016 A	40,000,000	2015/11/06	2016/09/02	10.00

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21387	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2016A	60,000,000	2015/11/06	2016/07/06	27.60
21339	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt May 16 B	120,000,000	2015/11/06	2016/05/05	45.00
21345	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun 16 A	120,000,000	2015/11/06	2016/06/03	35.40
21466	Credit Suisse AG – Sinopec Corp European Warrants Mar 2016 A	80,000,000	2015/11/09	2016/03/31	27.20
21486	Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2016 B	100,000,000	2015/11/09	2016/03/29	15.20
21471	Goldman Sachs SP (Asia) – Kingsoft European Wts May 2016 A	60,000,000	2015/11/09	2016/05/09	19.80
21541	J P Morgan SP BV – China Life European Warrants Jun 2016 A	100,000,000	2015/11/09	2016/06/30	17.50
21534	J P Morgan SP BV – CR Beer European Warrants Aug 2016 A	60,000,000	2015/11/09	2016/08/02	15.12
21532	J P Morgan SP BV – GF Sec European Warrants May 2016 A	80,000,000	2015/11/09	2016/05/09	20.00
21515	J P Morgan SP BV – HTSC European Warrants May 2016 A	80,000,000	2015/11/09	2016/05/09	20.00
21489	J P Morgan SP BV – China Mengniu European Wts Aug 2016 A	50,000,000	2015/11/09	2016/08/01	12.50
21539	J P Morgan SP BV – Zijin Mining European Warrants Jul 2016 A	60,000,000	2015/11/09	2016/07/04	19.50
21391	SGA Societe Generale Acceptance N.V – COVS Eu Wts Aug 2016 A	30,000,000	2015/11/09	2016/08/03	10.05
21418	UBS AG – CKP European Warrants Apr 2016 A	50,000,000	2015/11/09	2016/04/25	13.75
21404	UBS AG – China Life European Warrants Apr 2016 B	100,000,000	2015/11/09	2016/04/05	20.00
21420	UBS AG – China Life European Warrants May 2016 A	100,000,000	2015/11/09	2016/05/09	31.50
21439	UBS AG – HSBC European Warrants Apr 2016 B	100,000,000	2015/11/09	2016/04/01	15.00
21424	UBS AG – Zijin Mining European Warrants May 2016 A	40,000,000	2015/11/09	2016/05/09	10.40
21703	BNP Paribas Arbit Issu B.V. – BYD European Wts Jan 2017 B	70,000,000	2015/11/10	2017/01/04	10.50
21696	BNP Paribas Arbit Issu B.V. – CNOOC European Wts Jun 2016 A	50,000,000	2015/11/10	2016/06/02	22.00
21707	BNP Paribas Arbit Issu B.V. – China Mengniu Eu Wt Aug 2016 A	40,000,000	2015/11/10	2016/08/02	10.00
21711	Goldman Sachs SP (Asia) – Stanchart European Wts May 2016 A	40,000,000	2015/11/10	2016/05/10	19.32
21680	J P Morgan SP BV – CKP European Put Warrants Jun 2016 A	80,000,000	2015/11/10	2016/06/30	35.60
21682	J P Morgan SP BV – CM Bank European Warrants Sep 2016 A	100,000,000	2015/11/10	2016/09/02	25.10
21651	J P Morgan SP BV – Sinopec Corp European Warrants Jul 2016 A	100,000,000	2015/11/10	2016/07/04	26.20
21668	J P Morgan SP BV – R&F Ppt European Warrants Apr 2017 A	80,000,000	2015/11/10	2017/04/03	20.00
21694	J P Morgan SP BV – Sands China European Put Wts May 2016 D	100,000,000	2015/11/10	2016/05/31	34.20
21543	Macquarie Bank Ltd. – Anhui Conch European Wts Jun 2016 A	25,000,000	2015/11/10	2016/06/02	10.00
21563	Macquarie Bank Ltd. – China Life European Wts Jun 2016 A	40,000,000	2015/11/10	2016/06/02	10.00
21626	SGA Societe Generale Acceptance N.V. – CLife Eu Wt May 2016 B	150,000,000	2015/11/10	2016/05/05	22.50
21621	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 B	150,000,000	2015/11/10	2016/08/03	37.50
21635	UBS AG – Evergrande European Warrants Oct 2016 A	200,000,000	2015/11/10	2016/10/24	50.00
21628	UBS AG – Stanchart European Warrants Apr 2016 B	20,000,000	2015/11/10	2016/04/22	17.80
21649	UBS AG – Stanchart European Warrants Jun 2017 A	100,000,000	2015/11/10	2017/06/19	25.00
21836	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2016 A	80,000,000	2015/11/11	2016/06/30	65.04
21822	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 A	60,000,000	2015/11/11	2016/06/30	31.68
21813	Goldman Sachs SP (Asia) – PICC Group European Wts May 2016 A	30,000,000	2015/11/11	2016/05/30	10.62
21818	Goldman Sachs SP (Asia) – Ping An European Wts May 2016 C	100,000,000	2015/11/11	2016/05/30	40.40
21852	J P Morgan SP BV – HSI European Warrants Jun 2016 C	300,000,000	2015/11/11	2016/06/29	45.30
21744	J P Morgan SP BV – HSI European Put Warrants Feb 2016 G	300,000,000	2015/11/11	2016/02/26	50.70
21763	J P Morgan SP BV – HSI European Put Warrants Apr 2016 G	300,000,000	2015/11/11	2016/04/28	53.10
21784	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Aug 2016 B	200,000,000	2015/11/11	2016/08/03	50.00
21736	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Apr 16 D	150,000,000	2015/11/11	2016/04/28	27.75
21729	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 16 D	150,000,000	2015/11/11	2016/06/29	30.75

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
21722	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Dec 17A	80,000,000	2015/11/11	2017/12/05	20.00
21812	UBS AG – Geely Auto European Warrants Jun 2016 A	40,000,000	2015/11/11	2016/06/27	20.40
21811	UBS AG – HKEx European Warrants Oct 2016 A	300,000,000	2015/11/11	2016/10/24	75.00
21785	UBS AG – HKEx European Put Warrants Jun 2016 E	100,000,000	2015/11/11	2016/06/22	19.00
21904	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Apr16A	60,000,000	2015/11/12	2016/04/05	10.20
21918	BNP Paribas Arbit Issu B.V. – AIA European Wts Jun 2016 A	50,000,000	2015/11/12	2016/06/02	12.50
21941	BNP Paribas Arbit Issu B.V. – HKEx Euro Put Wts Jul 2016 C	60,000,000	2015/11/12	2016/07/05	13.20
21897	BNP Paribas Arbit Issu B.V. – Ping An European Wt Apr 2016 B	70,000,000	2015/11/12	2016/04/05	11.20
21933	BNP Paribas Arbit Issu B.V. – Sands China Euro Wt May 2016 A	50,000,000	2015/11/12	2016/05/04	11.50
21981	Credit Suisse AG – ChiUnicom European Warrants Sep 2017 A	150,000,000	2015/11/12	2017/09/28	22.50
21980	Credit Suisse AG – HSI European Put Warrants Feb 2016 A	150,000,000	2015/11/12	2016/02/26	22.50
21992	Goldman Sachs SP (Asia) – HKEx European Put Wts May 2016 C	200,000,000	2015/11/12	2016/05/30	50.00
21863	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2016 J	150,000,000	2015/11/12	2016/03/30	23.85
21875	Goldman Sachs SP (Asia) – HSI European Warrants May 2016 A	150,000,000	2015/11/12	2016/05/30	23.70
21986	J P Morgan SP BV – ZTE European Warrants Jul 2016 A	60,000,000	2015/11/12	2016/07/04	15.18
21881	Macquarie Bank Ltd. – CKP European Put Warrants Jul 2016 A	24,000,000	2015/11/12	2016/07/05	10.13
21876	Macquarie Bank Ltd. – China Life European Put Warrants Jun16B	40,000,000	2015/11/12	2016/06/02	10.00
21949	SGA Societe Generale Acceptance N.V. – CITIC Sec Eu Wt Aug16A	40,000,000	2015/11/12	2016/08/03	12.80
21962	SGA Societe Generale Acceptance N.V. – Haitong Sec Eu Wt Jul16A	50,000,000	2015/11/12	2016/07/06	12.50
21970	UBS AG – CITIC Bank European Warrants Jun 2016 A	40,000,000	2015/11/12	2016/06/20	16.40
21963	UBS AG – China Unicom European Warrants Oct 2017 A	100,000,000	2015/11/12	2017/10/23	17.00
21976	UBS AG – Ping An European Warrants Sep 2016 A	300,000,000	2015/11/12	2016/09/26	45.00
21965	UBS AG – ZTE European Warrants Jul 2016 A	40,000,000	2015/11/12	2016/07/25	10.00
22009	BOCI Asia Ltd. – AIA European Warrants May 2016 A	100,000,000	2015/11/13	2016/05/10	28.00
22020	BOCI Asia Ltd. – CAM CSI300 European Warrants Aug 2016 A	100,000,000	2015/11/13	2016/08/01	46.00
22019	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Dec 2016 D	100,000,000	2015/11/13	2016/12/28	15.00
21997	BOCI Asia Ltd. – HKEx European Put Warrants Jul 2016 A	100,000,000	2015/11/13	2016/07/27	18.00
22023	BOCI Asia Ltd. – Kingsoft European Warrants Aug 2016 A	100,000,000	2015/11/13	2016/08/08	41.00
22010	BOCI Asia Ltd. – Ping An European Warrants May 2016 B	100,000,000	2015/11/13	2016/05/30	30.00
22013	BOCI Asia Ltd. – Ping An European Put Warrants May 2016 C	100,000,000	2015/11/13	2016/05/23	31.00
22078	BNP Paribas Arbit Issu B.V. – Anhui Conch Euro Wt Jul 2016 A	30,000,000	2015/11/13	2016/07/05	10.20
22064	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Mar 2016 C	40,000,000	2015/11/13	2016/03/02	10.00
22045	Credit Suisse AG – CITIC Sec European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/30	22.40
22057	Credit Suisse AG – China Tel European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/30	20.00
22084	Credit Suisse AG – Fosun Intl European Warrants Mar 2016 C	80,000,000	2015/11/13	2016/03/30	12.00
22046	Credit Suisse AG – GF Sec European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/16	20.00
22049	Credit Suisse AG – HTSC European Warrants May 2016 A	80,000,000	2015/11/13	2016/05/16	23.20
22033	Credit Suisse AG – Haitong Sec European Warrants Apr 2016 A	80,000,000	2015/11/13	2016/04/29	17.60
22032	Credit Suisse AG – Tencent Holdings European Wts Apr 2016 A	60,000,000	2015/11/13	2016/04/29	48.00
22102	Bank of East Asia – Haitong Sec European Warrants May 2016 A	55,000,000	2015/11/13	2016/05/11	10.34
22030	Bank of East Asia – Yanzhou Coal European Wts Sep 2017 A	100,000,000	2015/11/13	2017/09/15	15.80
22125	Goldman Sachs SP (Asia) – CITIC Sec European Wts May 2016 A	70,000,000	2015/11/13	2016/05/30	22.68
22116	Goldman Sachs SP (Asia) – China Life Euro Wts May 2016 B	100,000,000	2015/11/13	2016/05/30	25.20
22130	J P Morgan SP BV – Agricultural Bk Euro Warrants Sep 2016 A	100,000,000	2015/11/13	2016/09/30	25.40

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22024	Macquarie Bank Ltd. – CKH European Warrants Jun 2016 A	13,000,000	2015/11/13	2016/06/02	12.82
22027	Macquarie Bank Ltd. – CKP European Warrants Jun 2016 A	25,000,000	2015/11/13	2016/06/02	10.33
22028	Macquarie Bank Ltd. – ZTE European Warrants Aug 2016 A	29,000,000	2015/11/13	2016/08/15	10.30
22158	BOCI Asia Ltd. – HSCEI European Warrants Jul 2016 A	100,000,000	2015/11/16	2016/07/28	15.00
22156	BOCI Asia Ltd. – HSI European Warrants May 2016 A	100,000,000	2015/11/16	2016/05/30	16.00
22214	BNP Paribas Arbit Issu B.V. – CITIC Euro Warrants Aug 2016 A	100,000,000	2015/11/16	2016/08/02	19.00
22212	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Jun 2016 A	60,000,000	2015/11/16	2016/06/02	15.00
22217	BNP Paribas Arbit Issu B.V. – HKEx European Wts Oct 2016 A	80,000,000	2015/11/16	2016/10/04	20.00
22211	Goldman Sachs SP (Asia) – Ping An European Wts Mar 2016 D	100,000,000	2015/11/16	2016/03/31	15.00
22209	J P Morgan SP BV – CAM CSI300 European Warrants Jun 2016 A	100,000,000	2015/11/16	2016/06/02	31.60
22210	J P Morgan SP BV – China Mob European Wts May 2016 A	100,000,000	2015/11/16	2016/05/03	44.00
22162	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jun16A	50,000,000	2015/11/16	2016/06/03	12.75
22163	SGA Societe Generale Acceptance N.V. – PICC Eu Wts Jun 2016A	18,000,000	2015/11/16	2016/06/03	10.08
22178	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Jun 16 B	500,000,000	2015/11/16	2016/06/03	75.00
22196	UBS AG – CITIC Sec European Warrants May 2016 A	40,000,000	2015/11/16	2016/05/16	11.20
22203	UBS AG – HSBC European Warrants Jun 2016 A	100,000,000	2015/11/16	2016/06/24	19.00
22204	UBS AG – HSBC European Warrants Jun 2016 B	100,000,000	2015/11/16	2016/06/08	25.00
22197	UBS AG – Haitong Sec European Warrants Apr 2016 A	60,000,000	2015/11/16	2016/04/26	10.80
22181	UBS AG – Tencent Holdings European Warrants Apr 2016 A	50,000,000	2015/11/16	2016/04/26	52.50
22279	Goldman Sachs SP (Asia) – CAM CSI300 European Wts May 2016 B	80,000,000	2015/11/17	2016/05/30	21.52
22282	Goldman Sachs SP (Asia) – CITIC European Warrants Jul 2016 A	80,000,000	2015/11/17	2016/07/29	14.48
22292	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Jun 2016 A	80,000,000	2015/11/17	2016/06/24	20.00
22256	HK Bank – China Life European Warrants Jun 2016 C	80,000,000	2015/11/17	2016/06/30	24.00
22241	HK Bank – China Mobile European Warrants Jun 2016 A	60,000,000	2015/11/17	2016/06/30	42.00
22252	HK Bank – HKEx European Warrants Sep 2016 A	180,000,000	2015/11/17	2016/09/26	27.00
22237	HK Bank – HSCEI European Warrants Jun 2016 A	180,000,000	2015/11/17	2016/06/29	27.00
22235	HK Bank – HSI European Warrants May 2016 B	180,000,000	2015/11/17	2016/05/30	28.80
22307	J P Morgan SP BV – Ch Merchants European Warrants Aug 2016 A	60,000,000	2015/11/17	2016/08/01	22.08
22295	J P Morgan SP BV – KECL European Warrants Jul 2016 A	40,000,000	2015/11/17	2016/07/04	16.28
22304	J P Morgan SP BV – Ping An European Put Warrants Aug 2016 A	100,000,000	2015/11/17	2016/08/02	34.30
22309	J P Morgan SP BV – Wanda Comm European Warrants Dec 2017 A	60,000,000	2015/11/17	2017/12/29	15.00
22265	Macquarie Bank Ltd. – JNCEC European Warrants Dec 2016 A	15,000,000	2015/11/17	2016/12/16	10.01
22258	Macquarie Bank Ltd. – SHK Ppt European Warrants Jun 2016 A	15,000,000	2015/11/17	2016/06/02	10.01
22268	Macquarie Bank Ltd – Skyworth Digital European Wts Dec 2017 A	40,000,000	2015/11/17	2017/12/18	10.00
22273	SGA Societe Generale Acceptance N.V. – BOCHK Eu Wt Apr 2017A	50,000,000	2015/11/17	2017/04/06	10.00
22272	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2016A	40,000,000	2015/11/17	2016/07/06	17.40
22366	Goldman Sachs SP (Asia) – AIA European Warrants Apr 2016 A	80,000,000	2015/11/18	2016/04/29	20.48
22356	Goldman Sachs SP (Asia) – CC Bank European Wts May 2016 A	100,000,000	2015/11/18	2016/05/30	31.90
22400	Goldman Sachs SP (Asia) – Fosun Intl European Wts Jul 2016 B	80,000,000	2015/11/18	2016/07/08	20.00
22313	Goldman Sachs SP (Asia) – HSI European Warrants May 2016 B	150,000,000	2015/11/18	2016/05/30	37.80
22320	Goldman Sachs SP (Asia) – HSI European Put Wts May 2016 C	150,000,000	2015/11/18	2016/05/30	38.70
22369	Goldman Sachs Sp (Asia) – ICBC European Warrants May 2016 A	100,000,000	2015/11/18	2016/05/30	25.40
22354	Goldman Sachs SP (Asia) – Tencent European Wt May 2016 B	100,000,000	2015/11/18	2016/05/03	67.60
22353	J P Morgan SP BV – HSI European Warrants May 2016 D	300,000,000	2015/11/18	2016/05/30	45.00

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		issue (units)	Listing date	Expiry	
22349	Macquarie Bank Ltd. – HN Renewables European Wts Dec 2016 A	20,000,000	2015/11/18	2016/12/16	10.00
22331	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 16 A	80,000,000	2015/11/18	2016/07/06	41.60
22311	SGA Societe Generale Acceptance N.V – Evergrande Eu Wt Oct16 A	80,000,000	2015/11/18	2016/10/17	21.60
22312	SGA Societe Generale Acceptance N.V – Evergrande Eu Wt Jan17 A	80,000,000	2015/11/18	2017/01/04	14.80
22310	SGA Societe Generale Acceptance N.V – Evergrande Eu Wt Jul17 A	80,000,000	2015/11/18	2017/07/03	27.20
22342	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Jul16B	80,000,000	2015/11/18	2016/07/06	21.60
22341	SGA Societe Generale Acceptance N.V. – Galaxy Ent Eu Wt Aug16A	80,000,000	2015/11/18	2016/08/03	31.20
22343	SGA Societe Generale Acceptance N.V. – Galaxy Eu Put Wt Jul16C	80,000,000	2015/11/18	2016/07/06	29.60
22346	SGA Societe Generale Acceptance N.V – Sands China Eu Wt Aug16A	80,000,000	2015/11/18	2016/08/03	27.20
22496	Credit Suisse AG – China Life European Warrants Mar 2016 B	80,000,000	2015/11/19	2016/03/24	16.00
22487	Credit Suisse AG – China Shenhua European Wts Dec 2016 A	80,000,000	2015/11/19	2016/12/29	13.60
22492	Credit Suisse AG – HKEx European Warrants Jul 2016 A	180,000,000	2015/11/19	2016/07/29	32.40
22505	Credit Suisse AG – Tencent Holdings Euro Put Wts Mar 2016 B	80,000,000	2015/11/19	2016/03/24	12.00
22477	Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2016 A	80,000,000	2015/11/19	2016/04/29	42.72
22482	Goldman Sachs SP (Asia) – China Mobile Euro Wts Dec 2017 A	300,000,000	2015/11/19	2017/12/04	45.00
22409	HK Bank – CITIC European Warrants Jul 2016 A	100,000,000	2015/11/19	2016/07/29	15.00
22402	HK Bank – CKP European Warrants Jun 2016 B	80,000,000	2015/11/19	2016/06/30	24.00
22432	HK Bank – China Unicom European Warrants Oct 2017 A	100,000,000	2015/11/19	2017/10/31	15.00
22441	HK Bank – Evergrande European Warrants Dec 2016 A	80,000,000	2015/11/19	2016/12/30	20.00
22407	HK Bank – PICC Group European Warrants May 2016 A	50,000,000	2015/11/19	2016/05/31	15.00
22516	J P Morgan SP BV – AIA European Warrants Jun 2016 B	100,000,000	2015/11/19	2016/06/02	25.00
22515	Macquarie Bank Ltd. – HKEx European Warrants Jun 2016 A	60,000,000	2015/11/19	2016/06/02	10.02
22443	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Sep 2016 B	100,000,000	2015/11/19	2016/09/05	25.00
22450	SGA Societe Generale Acceptance N.V. – BOCL Eu Wt Oct 2016 A	100,000,000	2015/11/19	2016/10/05	16.50
22454	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Aug 2016 B	100,000,000	2015/11/19	2016/08/03	33.50
22456	SGA Societe Generale Acceptance N.V. – CITIC Euro Wt Feb17 A	50,000,000	2015/11/19	2017/02/03	12.50
22460	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Aug 2016 B	100,000,000	2015/11/19	2016/08/03	32.00
25088 #	Credit Suisse AG – ChiUnicom European Warrants Sep 2016 B	150,000,000	2015/11/19	2016/09/30	10.05
22522	BOCI Asia Ltd. – HKEx European Warrants Jun 2016 E	100,000,000	2015/11/20	2016/06/13	17.00
22632	BNP Paribas Arbit Issu B.V. – Car Inc European Wts Jun16A	100,000,000	2015/11/20	2016/06/02	25.00
22634	Credit Suisse AG – China Mobile European Warrants Apr 2016 B	80,000,000	2015/11/20	2016/04/22	32.00
22633	Credit Suisse AG – PetroChina European Warrants Apr 2016 B	70,000,000	2015/11/20	2016/04/28	27.30
22563	HK Bank – AIA European Warrants May 2016 B	80,000,000	2015/11/20	2016/05/31	20.00
22565	HK Bank – CGS European Warrants May 2016 A	80,000,000	2015/11/20	2016/05/31	12.00
22545	HK Bank – China Overseas European Warrants Jun 2016 A	80,000,000	2015/11/20	2016/06/30	20.00
22569	HK Bank – HKEx European Warrants Jun 2016 C	180,000,000	2015/11/20	2016/06/30	45.00
22543	HK Bank – Li & Fung European Warrants Jun 2016 A	50,000,000	2015/11/20	2016/06/30	15.00
22637	J P Morgan SP BV – CITIC European Warrants Jul 2016 A	100,000,000	2015/11/20	2016/07/29	15.30
22581	J P Morgan SP BV – Huaneng Power European Wts Nov 2017 A	100,000,000	2015/11/20	2017/11/30	25.10
22676	Macquarie Bank Ltd. – Ch Oilfield European Wts Oct 2016 A	40,000,000	2015/11/20	2016/10/18	10.00
22589	Macquarie Bank Ltd. – Tencent European Put Wts Jun 2016 A	10,000,000	2015/11/20	2016/06/02	10.00
22597	SGA Societe Generale Acceptance N.V. – AGBK Eu Wt Aug 2016 A	100,000,000	2015/11/20	2016/08/03	25.00
22614	SGA Societe Generale Acceptance N.V. – Ch Cinda Eu Wt Aug16A	25,000,000	2015/11/20	2016/08/03	10.00
22615	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Jul 16 A	15,000,000	2015/11/20	2016/07/06	10.05

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22621	SGA Societe Generale Acceptance N.V. – CITBK Eu Wt Oct 16 A	15,000,000	2015/11/20	2016/10/05	10.05
22625	SGA Societe Generale Acceptance N.V. – CMB Eu Wts Sep 2016 A	100,000,000	2015/11/20	2016/09/05	19.50
22630	SGA Societe Generale Acceptance N.V. – ChiUnicom Eu Wt Nov17 A	70,000,000	2015/11/20	2017/11/03	11.20
22572	SGA Societe Generale Acceptance N.V. – HKEx Eu Wt Dec 2016 A	200,000,000	2015/11/20	2016/12/05	50.00
22724	Credit Suisse AG – PICC European Warrants Jul 2016 A	70,000,000	2015/11/23	2016/07/29	11.20
22773	J P Morgan SP BV – China Tel European Warrants Jul 2016 A	80,000,000	2015/11/23	2016/07/04	22.48
22771	J P Morgan SP BV – Li & Fung European Warrants Aug 2016 A	50,000,000	2015/11/23	2016/08/01	17.25
22705	Macquarie Bank Ltd. – China Mobile European Wts Mar 2016 C	50,000,000	2015/11/23	2016/03/02	10.00
22691	Macquarie Bank Ltd. – China Mobile European Wts May 2016 A	50,000,000	2015/11/23	2016/05/04	10.05
22682	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2016 A	10,000,000	2015/11/23	2016/07/05	10.00
22687	Macquarie Bank Ltd. – Digital China European Wts Dec 2017 A	37,000,000	2015/11/23	2017/12/18	10.03
22707	Macquarie Bank Ltd. – HKEx European Warrants Mar 2016 D	52,000,000	2015/11/23	2016/03/02	10.04
22743	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2016A	80,000,000	2015/11/23	2016/08/03	24.00
22729	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt Jun16B	30,000,000	2015/11/23	2016/06/03	14.85
22734	SGA Societe Generale Acceptance N.V. – A50 Ch Eu Wt Jun 2016D	30,000,000	2015/11/23	2016/06/03	15.60
22732	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Jun16A	50,000,000	2015/11/23	2016/06/03	18.75
22735	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Jul 2016B	60,000,000	2015/11/23	2016/07/06	29.70
22738	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun 16 B	120,000,000	2015/11/23	2016/06/03	19.80
22862	Goldman Sachs SP (Asia) – China Life Euro Wts Apr 2016 A	80,000,000	2015/11/24	2016/04/28	24.72
22867	Goldman Sachs SP (Asia) – China Life Euro Wts May 2016 C	80,000,000	2015/11/24	2016/05/30	20.48
22868	Goldman Sachs SP (Asia) – HKEx European Warrants Mar 2016 B	80,000,000	2015/11/24	2016/03/31	23.28
22851	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2016 A	80,000,000	2015/11/24	2016/06/06	27.76
22861	Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2016 B	80,000,000	2015/11/24	2016/06/06	20.48
22872	Goldman Sachs SP (Asia) – Ping An European Wts Apr 2016 A	80,000,000	2015/11/24	2016/04/29	29.92
22781	HK Bank – Agricultural Bank European Warrants Sep 2016 A	80,000,000	2015/11/24	2016/09/30	20.00
22774	HK Bank – AIA European Warrants May 2016 C	80,000,000	2015/11/24	2016/05/31	28.00
22775	HK Bank – China Mobile European Warrants Jun 2016 B	80,000,000	2015/11/24	2016/06/30	28.00
22788	HK Bank – HSI European Warrants Apr 2016 B	180,000,000	2015/11/24	2016/04/28	28.80
22834	J P Morgan SP BV – Car Inc European Warrants Jun 2016 A	100,000,000	2015/11/24	2016/06/02	25.40
22842	J P Morgan SP BV – HKEx European Warrants Aug 2016 B	200,000,000	2015/11/24	2016/08/01	30.60
22838	J P Morgan SP BV – HKEx European Warrants Sep 2016 B	200,000,000	2015/11/24	2016/09/30	31.80
22843	J P Morgan SP BV – HSBC European Warrants Jul 2016 A	100,000,000	2015/11/24	2016/07/05	25.00
22849	J P Morgan SP BV – Ping An European Warrants Jun 2016 A	100,000,000	2015/11/24	2016/06/02	25.10
22804	Macquarie Bank Ltd. – China Gas Hold Euro Wts Oct 2016 A	47,000,000	2015/11/24	2016/10/14	10.01
22803	Macquarie Bank Ltd. – Minsheng Bank European Wts Jun 2016 A	17,000,000	2015/11/24	2016/06/02	10.08
22815	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Oct 2016 A	70,000,000	2015/11/24	2016/10/05	11.90
22814	SGA Societe Generale Acceptance N.V. – CKH Euro Wt Jul 2016A	30,000,000	2015/11/24	2016/07/06	15.60
22802	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Apr 16 A	80,000,000	2015/11/24	2016/04/06	17.60
22806	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Aug16A	40,000,000	2015/11/24	2016/08/03	15.40
22832	UBS AG – AIA European Warrants Apr 2016 B	100,000,000	2015/11/24	2016/04/29	29.00
22829	UBS AG – China Mobile European Warrants Jun 2016 A	100,000,000	2015/11/24	2016/06/23	61.00
22820	UBS AG – HSI European Put Warrants Apr 2016 C	300,000,000	2015/11/24	2016/04/28	50.40
22824	UBS AG – HSI European Put Warrants Apr 2016 D	300,000,000	2015/11/24	2016/04/28	45.00
22822	UBS AG – HSI European Put Warrants Jun 2016 F	300,000,000	2015/11/24	2016/06/29	45.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
22906	BOCI Asia Ltd. – CKP European Warrants Jun 2016 A	100,000,000	2015/11/25	2016/06/27	42.00
22894	BOCI Asia Ltd. – China Mobile European Warrants May 2016 A	100,000,000	2015/11/25	2016/05/03	40.00
22890	BOCI Asia Ltd. – HSBC European Warrants May 2016 C	100,000,000	2015/11/25	2016/05/10	20.00
22968	BNP Paribas Arbit Issu B.V. – AIA European Wts Jun 2016 B	40,000,000	2015/11/25	2016/06/02	10.00
22980	BNP Paribas Arbit Issu B.V. – BOCL European Wts Oct 2016 A	70,000,000	2015/11/25	2016/10/04	10.50
22956	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts Jul 2016 A	40,000,000	2015/11/25	2016/07/05	23.20
22941	Credit Suisse AG – Galaxy Ent European Warrants May 2016 A	50,000,000	2015/11/25	2016/05/30	14.00
22929	Credit Suisse AG – PICC Group European Warrants Mar 2016 A	80,000,000	2015/11/25	2016/03/30	16.80
22955	Goldman Sachs SP (Asia) – BOCL European Warrants May 2016 A	80,000,000	2015/11/25	2016/05/30	20.32
22948	Goldman Sachs SP (Asia) – HSBC European Put Wts Apr 2016 E	80,000,000	2015/11/25	2016/04/29	25.52
22880	HK Bank – CRRC European Warrants May 2016 A	80,000,000	2015/11/25	2016/05/31	12.00
22882	HK Bank – HKEx European Put Warrants Jul 2016 A	100,000,000	2015/11/25	2016/07/29	17.00
22889	HK Bank – Kingsoft European Warrants Jun 2016 A	50,000,000	2015/11/25	2016/06/30	15.00
22879	HK Bank – Stanchart European Warrants Jun 2017 B	100,000,000	2015/11/25	2017/06/12	15.00
22989	J P Morgan SP BV – KECL European Warrants Mar 2016 A	60,000,000	2015/11/25	2016/03/02	10.44
22982	J P Morgan SP BV – SJM Holdings European Warrants Dec 2017 A	60,000,000	2015/11/25	2017/12/04	15.12
22915	Macquarie Bank Ltd. – Tencent European Warrants Jun 2016 B	13,000,000	2015/11/25	2016/06/02	10.01
22925	Macquarie Bank Ltd. – Tencent European Warrants Jun 2016 C	27,000,000	2015/11/25	2016/06/02	10.02
22945	UBS AG – CNOOC European Warrants Mar 2016 B	50,000,000	2015/11/25	2016/03/21	17.00
22946	UBS AG – HSI European Warrants Apr 2016 E	300,000,000	2015/11/25	2016/04/28	51.00
22947	UBS AG – HSI European Warrants May 2016 B	300,000,000	2015/11/25	2016/05/30	45.00
23013	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Aug 2016 B	50,000,000	2015/11/26	2016/08/02	11.50
23006	BNP Paribas Arbit Issu B.V. – HKEx European Wts Aug 2016 A	100,000,000	2015/11/26	2016/08/02	19.00
23009	BNP Paribas Arbit Issu B.V. – HSBC European Wts Jul 2016 A	50,000,000	2015/11/26	2016/07/05	10.50
23000	BNP Paribas Arbit Issu B.V. – KECL European Wts Jul 2016 A	20,000,000	2015/11/26	2016/07/05	12.00
22998	Credit Suisse AG – HSI European Put Warrants Apr 2016 B	150,000,000	2015/11/26	2016/04/28	22.50
23033	Goldman Sachs SP (Asia) – China Life Euro Put Wts Apr 2016 B	80,000,000	2015/11/26	2016/04/28	18.48
23027	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2016 A	100,000,000	2015/11/26	2016/06/30	67.30
23029	Goldman Sachs SP (Asia) – China Mobile Eu Put Wts Apr 2016 B	100,000,000	2015/11/26	2016/04/25	37.20
23024	Goldman Sachs SP (Asia) – Galaxy Ent European Wts Jun 2016 A	80,000,000	2015/11/26	2016/06/30	25.60
23035	Goldman Sachs SP (Asia) – HSI European Warrants Mar 2016 K	150,000,000	2015/11/26	2016/03/30	23.55
23021	Goldman Sachs SP (Asia) – Kingsoft European Wts Jun 2016 A	60,000,000	2015/11/26	2016/06/30	25.86
22995	HK Bank – CITIC Bank European Warrants Jun 2016 A	50,000,000	2015/11/26	2016/06/30	12.50
22992	HK Bank – HSI European Put Warrants Apr 2016 C	180,000,000	2015/11/26	2016/04/28	28.80
22993	HK Bank – HSI European Put Warrants May 2016 C	180,000,000	2015/11/26	2016/05/30	45.00
22990	HK Bank – HSI European Put Warrants Jun 2016 B	180,000,000	2015/11/26	2016/06/29	27.00
22996	HK Bank – KECL European Warrants Jun 2016 B	50,000,000	2015/11/26	2016/06/30	17.50
23041	J P Morgan SP BV – Chi Res Land European Warrants Sep 2016 A	100,000,000	2015/11/26	2016/09/02	25.10
22997	J P Morgan SP BV – HSI European Put Warrants Jun 2016 D	300,000,000	2015/11/26	2016/06/29	75.30
23044	J P Morgan SP BV – Stanchart European Warrants Jun 2017 A	100,000,000	2015/11/26	2017/06/12	15.20
23020	Macquarie Bank Ltd. – Chinares Cement European Wt Dec 2016 A	22,000,000	2015/11/26	2016/12/15	10.01
23016	Macquarie Bank Ltd. – Towngas China European Wts Jun 2016 A	14,000,000	2015/11/26	2016/06/13	10.63
23037	UBS AG – Kingsoft European Warrants Jun 2016 A	100,000,000	2015/11/26	2016/06/27	29.00
10370	BNP Paribas Arbit Issu B.V. – AUD/USD European Wts Sep 2016 A	50,000,000	2015/11/27	2016/09/23	12.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
10371	BNP Paribas Arbit Issu B.V. – AUD/USD Euro Put Wts Sep 2016 B	50,000,000	2015/11/27	2016/09/23	12.50
10366	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Wts Sep 2016 A	50,000,000	2015/11/27	2016/09/23	12.50
10367	BNP Paribas Arbit Issu B.V. – EUR/USD Euro Put Wt Sep 2016 B	50,000,000	2015/11/27	2016/09/23	12.50
10368	BNP Paribas Arbit Issu B.V. – USD/YEN Euro Wts Sep 2016 A	50,000,000	2015/11/27	2016/09/23	12.50
10369	BNP Paribas Arbit Issu B.V. – USD/YEN Eur Put Wts Sep 2016 B	50,000,000	2015/11/27	2016/09/23	12.50
23057	Goldman Sachs SP (Asia) – HKEx European Warrants Jul 2016 A	300,000,000	2015/11/27	2016/07/27	49.80
23069	Goldman Sachs SP (Asia) – Ping An Euro Put Wts Apr 2016 B	60,000,000	2015/11/27	2016/04/08	11.04
23053	HK Bank – CSOP A50 ETF European Warrants Jul 2016 A	180,000,000	2015/11/27	2016/07/20	27.00
23052	HK Bank – CSOP A50 ETF European Warrants Dec 2016 B	180,000,000	2015/11/27	2016/12/30	27.00
23054	HK Bank – A50 China European Warrants Mar 2017 A	180,000,000	2015/11/27	2017/03/24	27.00
23055	HK Bank – HSI European Warrants May 2016 D	180,000,000	2015/11/27	2016/05/30	32.40
23056	HK Bank – Link REIT European Warrants Jul 2016 A	50,000,000	2015/11/27	2016/07/29	12.50
23047	Macquarie Bank Ltd. – AIA European Put Warrants May 2016 A	65,000,000	2015/11/27	2016/05/04	10.21
23060	Macquarie Bank Ltd. – Kingsoft European Warrants Jun 2016 A	32,000,000	2015/11/27	2016/06/02	10.02
23046	Macquarie Bank Ltd. – Tencent European Warrants Jun 2016 D	10,000,000	2015/11/27	2016/06/02	14.39
23063	SGA Societe Generale Acceptance N.V – Ch Oilfield Eu Wt Oct16A	80,000,000	2015/11/27	2016/10/12	15.20
23064	SGA Societe Generale Acceptance N.V – Haitong Sec Eu Wt Jun16B	40,000,000	2015/11/27	2016/06/03	10.60
23066	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Sep 2016 A	12,000,000	2015/11/27	2016/09/05	10.08
23074	Credit Suisse AG – China Mobile European Put Wts Apr 2016 C	70,000,000	2015/11/30	2016/04/22	19.60
23086	J P Morgan SP BV – Galaxy Ent European Warrants Jul 2016 B	100,000,000	2015/11/30	2016/07/05	25.00
23091	BNP Paribas Arbit Issu B.V. – Ch Mobile Eu Put Wt Jul 2016 B	30,000,000	2015/12/01	2016/07/05	11.70
23089	BNP Paribas Arbit Issu B.V. – HSBC Euro Put Wts Jun 2016 A	40,000,000	2015/12/01	2016/06/02	11.60
23100	Credit Suisse AG – Sands China European Warrants Apr 2016 B	80,000,000	2015/12/01	2016/04/29	19.20
23113	Goldman Sachs SP (Asia) – BOCL European Warrants Aug 2016 A	80,000,000	2015/12/01	2016/08/31	27.36
23116	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Apr 2016 A	80,000,000	2015/12/01	2016/04/29	29.20
23107	Goldman Sachs SP (Asia) – Galaxy Ent Euro Put Wts May 2016 C	60,000,000	2015/12/01	2016/05/06	10.50
23106	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Jul 2016 A	60,000,000	2015/12/01	2016/07/29	13.32
23099	UBS AG – Lenovo European Warrants Dec 2016 B	100,000,000	2015/12/01	2016/12/20	15.00
23123	BOCI Asia Ltd. – China Life European Warrants May 2016 A	100,000,000	2015/12/02	2016/05/23	24.00
23134	BOCI Asia Ltd. – CM Bank European Warrants Nov 2016 A	100,000,000	2015/12/02	2016/11/28	26.00
23120	BOCI Asia Ltd. – Tencent Holdings European Wts Feb 2017 A	100,000,000	2015/12/02	2017/02/27	25.00
23155	BNP Paribas Arbit Issu B.V. – Agricultural Bank Eu Wt Jun16A	60,000,000	2015/12/02	2016/06/02	10.20
23156	BNP Paribas Arbit Issu B.V. – Kingsoft Euro Wts Jul 2016 A	50,000,000	2015/12/02	2016/07/05	20.50
23144	Bank of East Asia – CNBM European Warrants Jul 2016 A	30,000,000	2015/12/02	2016/07/04	10.20
23152	Bank of East Asia – CNOOC European Warrants May 2018 A	65,000,000	2015/12/02	2018/05/28	10.40
23178	Goldman Sachs SP (Asia) – Agri Bk European Wts Jul 2016 A	80,000,000	2015/12/02	2016/07/29	21.52
23187	Goldman Sachs SP (Asia) – CC Bank European Wts Jul 2016 A	100,000,000	2015/12/02	2016/07/29	42.50
23176	Goldman Sachs Sp (Asia) – ICBC European Warrants Jul 2016 A	100,000,000	2015/12/02	2016/07/29	41.50
23170	UBS AG – BYD European Warrants Sep 2017 A	300,000,000	2015/12/02	2017/09/25	75.00
23167	UBS AG – HKEx European Warrants Jul 2016 A	300,000,000	2015/12/02	2016/07/27	59.10
23165	UBS AG – HKEx European Warrants Dec 2016 A	300,000,000	2015/12/02	2016/12/28	75.00
23169	UBS AG – Tencent Holdings European Warrants Apr 2017 A	300,000,000	2015/12/02	2017/04/10	75.00
23201	Credit Suisse AG – Ch Comm Cons European Warrants Sep 2016 A	70,000,000	2015/12/03	2016/09/29	11.20
23203	Credit Suisse AG – CRRG European Warrants May 2016 A	70,000,000	2015/12/03	2016/05/23	11.90

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23232	Goldman Sachs SP (Asia) – CITIC European Warrants Jun 2016 A	70,000,000	2015/12/03	2016/06/30	50.33
23248	Goldman Sachs SP (Asia) – CITIC European Warrants May 2017 A	80,000,000	2015/12/03	2017/05/04	15.20
23241	Goldman Sachs SP (Asia) – China Tel European Wts Jun 2016 A	60,000,000	2015/12/03	2016/06/30	22.14
23235	Goldman Sachs SP (Asia) – China Unicom Euro Wts Dec 2017 A	80,000,000	2015/12/03	2017/12/29	20.00
23194	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2016 F	150,000,000	2015/12/03	2016/04/28	25.50
23220	Goldman Sachs SP (Asia) – Link REIT European Wts Jul 2016 A	60,000,000	2015/12/03	2016/07/05	24.54
23223	Goldman Sachs SP (Asia) – PetroChina European Put Wts Jun16A	60,000,000	2015/12/03	2016/06/03	27.90
23222	Goldman Sachs SP (Asia) – SHK Ppt European Put Wt Jun 2016 A	20,000,000	2015/12/03	2016/06/30	10.02
23256	J P Morgan SP BV – Anta Sports European Warrants Sep 2016 A	60,000,000	2015/12/03	2016/09/01	21.42
23253	J P Morgan SP BV – ICBC European Warrants Oct 2016 A	100,000,000	2015/12/03	2016/10/03	25.10
23270	J P Morgan SP BV – Tencent European Warrants Mar 2017 A	200,000,000	2015/12/03	2017/03/02	50.00
23282	J P Morgan SP BV – Tencent European Put Warrants Feb 2017 A	200,000,000	2015/12/03	2017/02/02	50.20
23255	J P Morgan SP BV – Tingyi European Warrants Dec 2017 A	60,000,000	2015/12/03	2017/12/29	15.30
23206	UBS AG – CC Bank European Warrants Apr 2016 A	100,000,000	2015/12/03	2016/04/27	18.30
23207	UBS AG – CC Bank European Warrants Jul 2016 A	100,000,000	2015/12/03	2016/07/18	32.50
23210	UBS AG – ICBC European Warrants Apr 2016 A	100,000,000	2015/12/03	2016/04/27	18.70
23212	UBS AG – Tencent Holdings European Put Warrants Jun 2016 D	500,000,000	2015/12/03	2016/06/27	75.00
23355	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2016 D	70,000,000	2015/12/04	2016/07/05	13.30
23380	Credit Suisse AG – HSI European Warrants Mar 2016 J	150,000,000	2015/12/04	2016/03/30	22.50
23301	HK Bank – Tencent European Warrants Sep 2016 A	180,000,000	2015/12/04	2016/09/30	28.80
23389	J P Morgan SP BV – China Mob European Wts Jul 2016 A	100,000,000	2015/12/04	2016/07/04	47.90
23383	J P Morgan SP BV – HSI European Warrants Jul 2016 B	300,000,000	2015/12/04	2016/07/28	75.30
23387	J P Morgan SP BV – Ping An European Warrants Jun 2016 B	100,000,000	2015/12/04	2016/06/06	25.10
23312	J P Morgan SP BV – Tencent European Warrants Jun 2017 A	200,000,000	2015/12/04	2017/06/02	50.20
23350	Macquarie Bank Ltd. – Agricultural Bk Euro Warrants Jul 2016 A	35,000,000	2015/12/04	2016/07/05	10.01
23286	Macquarie Bank Ltd. – Henderson Land Dev Euro Wts Aug 2016 A	27,000,000	2015/12/04	2016/08/02	10.15
23351	SGA Societe Generale Acceptance N.V. – CNBM Eu Wt Aug 2016 A	20,000,000	2015/12/04	2016/08/03	10.00
23314	SGA Societe Generale Acceptance N.V. – COVS Eu Wts Jun 2016 A	50,000,000	2015/12/04	2016/06/03	14.75
23332	SGA Societe Generale Acceptance NV – Chi Res Land Eu Wt Aug16A	40,000,000	2015/12/04	2016/08/03	13.40
23337	SGA Societe Generale Acceptance N.V. – CTel Eu Wt Aug 2016 A	35,000,000	2015/12/04	2016/08/03	10.15
23353	SGA Societe Generale Acceptance N.V. – HKEx Eu Put Wt Jun16D	200,000,000	2015/12/04	2016/06/03	50.00
23291	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2016 E	150,000,000	2015/12/04	2016/04/28	22.50
23287	SGA Societe Generale Acceptance N.V. – HSI Eur Wt May 2016 E	150,000,000	2015/12/04	2016/05/30	31.50
23345	SGA Societe Generale Acceptance N.V. – KECL Eu Wt Oct 2016 A	12,000,000	2015/12/04	2016/10/05	10.08
23354	SGA Societe Generale Acceptance N.V. – Kingsoft Eu Wt Oct16A	40,000,000	2015/12/04	2016/10/05	15.40
23342	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Oct 16A	50,000,000	2015/12/04	2016/10/05	13.75
23368	UBS AG – BYD European Warrants Jun 2016 A	50,000,000	2015/12/04	2016/06/13	21.00
23364	UBS AG – China Life European Warrants May 2016 B	100,000,000	2015/12/04	2016/05/23	17.60
23377	UBS AG – ICBC European Warrants May 2016 A	100,000,000	2015/12/04	2016/05/06	35.00
23375	UBS AG – China Vanke European Warrants Aug 2016 A	100,000,000	2015/12/04	2016/08/08	31.00
23425	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF Eu Wts Jan 2017 A	150,000,000	2015/12/07	2017/01/03	22.50
23422	BNP Paribas Arbit Issu B.V. – A50 China Euro Wts Dec 2016 B	100,000,000	2015/12/07	2016/12/02	15.00
23419	Credit Suisse AG – Sinopec Corp European Warrants Jun 2016 A	80,000,000	2015/12/07	2016/06/30	20.80
23418	Credit Suisse AG – Ping An European Warrants Apr 2016 A	80,000,000	2015/12/07	2016/04/29	17.60

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23433	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 B	80,000,000	2015/12/07	2016/06/30	32.24
23426	Goldman Sachs SP (Asia) – Stanchart European Wts Jul 2016 A	40,000,000	2015/12/07	2016/07/28	21.36
23391	HK Bank – Sinopec Corp European Warrants Jul 2016 A	80,000,000	2015/12/07	2016/07/05	28.00
23403	HK Bank – Zijin Mining European Warrants Jul 2016 A	50,000,000	2015/12/07	2016/07/05	12.50
23421	J P Morgan SP BV – China Life European Warrants May 2016 C	100,000,000	2015/12/07	2016/05/04	24.00
23420	J P Morgan SP BV – CSOP A50 ETF European Warrants Apr 2016 B	100,000,000	2015/12/07	2016/04/29	49.10
23406	Macquarie Bank Ltd. – BOCL European Warrants Jun 2016 A	35,000,000	2015/12/07	2016/06/06	10.01
23404	Macquarie Bank Ltd. – China Life European Wts Jul 2016 B	35,000,000	2015/12/07	2016/07/05	10.01
23407	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Mar 2018 A	200,000,000	2015/12/07	2018/03/05	40.00
23408	SGA Societe Generale Acceptance NV – NewWorld Dev Eu Wt Sep16A	13,000,000	2015/12/07	2016/09/05	10.01
23414	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Aug 2016A	30,000,000	2015/12/07	2016/08/03	15.30
23470	Goldman Sachs SP (Asia) – HSCEI European Warrants May 2016 C	150,000,000	2015/12/08	2016/05/30	31.05
23472	Goldman Sachs SP (Asia) – Tencent European Wt May 2016 C	80,000,000	2015/12/08	2016/05/04	70.40
23443	HK Bank – Anhui Conch European Warrants Jun 2016 A	80,000,000	2015/12/08	2016/06/30	12.00
23442	HK Bank – China Shenhua European Warrants Dec 2016 A	80,000,000	2015/12/08	2016/12/20	12.00
23445	HK Bank – Dongfeng Motor European Warrants Oct 2016 A	80,000,000	2015/12/08	2016/10/31	12.00
23439	HK Bank – Wanda Comm European Warrants Dec 2017 A	80,000,000	2015/12/08	2017/12/20	14.40
23491	J P Morgan SP BV – CC Bank European Wts Oct 2016 A	100,000,000	2015/12/08	2016/10/03	25.50
23489	J P Morgan SP BV – CITIC Bank European Warrants Oct 2016 A	100,000,000	2015/12/08	2016/10/03	29.30
23480	J P Morgan SP BV – CKH European Put Warrants Jul 2016 A	100,000,000	2015/12/08	2016/07/05	50.90
23484	J P Morgan SP BV – Sinopec Corp European Warrants Oct 2016 A	100,000,000	2015/12/08	2016/10/03	30.50
23488	J P Morgan SP BV – PetroChina European Warrants Aug 2016 A	100,000,000	2015/12/08	2016/08/02	28.00
23482	J P Morgan SP BV – SHK Ppt European Warrants Aug 2016 A	60,000,000	2015/12/08	2016/08/01	51.78
23477	J P Morgan SP BV – China Vanke European Warrants Aug 2016 A	80,000,000	2015/12/08	2016/08/01	21.76
23449	Macquarie Bank Ltd. – CC Bank European Warrants May 2016 A	25,000,000	2015/12/08	2016/05/04	10.20
23447	Macquarie Bank Ltd. – PWR Assets European Wts Nov 2016 A	17,000,000	2015/12/08	2016/11/02	10.51
23448	SGA Societe Generale Acceptance N.V. – Link REIT Eu Wt Oct16A	28,000,000	2015/12/08	2016/10/05	10.08
23467	UBS AG – CSOP A50 ETF European Warrants Dec 2016 A	300,000,000	2015/12/08	2016/12/21	45.00
23464	UBS AG – A50 China European Warrants Mar 2017 A	300,000,000	2015/12/08	2017/03/24	45.00
23460	UBS AG – Ping An European Warrants May 2016 A	100,000,000	2015/12/08	2016/05/26	15.50
23463	UBS AG – Ping An European Warrants Mar 2017 A	300,000,000	2015/12/08	2017/03/27	45.00
23458	UBS AG – Sands China European Warrants Jul 2016 A	70,000,000	2015/12/08	2016/07/04	10.50
23517	BNP Paribas Arbit Issu B.V. – HSI European Wts Jun 2016 A	100,000,000	2015/12/09	2016/06/29	27.00
23522	BNP Paribas Arbit Issu B.V. – HSI European Wts Aug 2016 A	100,000,000	2015/12/09	2016/08/30	27.00
23523	BNP Paribas Arbit Issu B.V. – HSI European Wts Sep 2016 A	100,000,000	2015/12/09	2016/09/29	26.00
23524	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Jun 2016 B	100,000,000	2015/12/09	2016/06/29	26.00
23535	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Aug 2016 B	100,000,000	2015/12/09	2016/08/30	26.00
23538	BNP Paribas Arbit Issu B.V. – HSI Euro Put Wts Sep 2016 B	100,000,000	2015/12/09	2016/09/29	25.00
23600	Goldman Sachs SP (Asia) – Ch Overseas European Wts Jul 2016 A	60,000,000	2015/12/09	2016/07/04	16.74
23599	Goldman Sachs SP (Asia) – Chi Res Land Euro Wts Aug 2016 A	60,000,000	2015/12/09	2016/08/31	19.26
23625	Goldman Sachs SP (Asia) – NCI European Warrants Sep 2016 A	40,000,000	2015/12/09	2016/09/30	19.00
23654	J P Morgan SP BV – Belle Int'l European Warrants Dec 2017 A	100,000,000	2015/12/09	2017/12/29	25.10
23656	J P Morgan SP BV – China EB Int'l European Wts Nov 2016 A	100,000,000	2015/12/09	2016/11/02	25.00
23627	J P Morgan SP BV – CGN Power European Warrants Aug 2016 A	60,000,000	2015/12/09	2016/08/01	35.52

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23646	J P Morgan SP BV – CITIC Sec European Warrants Aug 2016 A	100,000,000	2015/12/09	2016/08/01	30.40
23644	J P Morgan SP BV – CNBM European Warrants Jul 2016 A	60,000,000	2015/12/09	2016/07/04	21.60
23651	J P Morgan SP BV – Ch Oilfield Euro Wts Oct 2016 A	80,000,000	2015/12/09	2016/10/11	14.96
23653	J P Morgan SP BV – Chsouthcity European Warrants Dec 2016 A	30,000,000	2015/12/09	2016/12/30	14.10
23647	J P Morgan SP BV – Haitong Sec European Warrants Aug 2016 A	100,000,000	2015/12/09	2016/08/02	25.30
23639	J P Morgan SP BV – NCI European Warrants Aug 2016 A	60,000,000	2015/12/09	2016/08/02	23.22
23493	Macquarie Bank Ltd. – China Life European Wts Jul 2016 C	52,000,000	2015/12/09	2016/07/05	10.04
23508	Macquarie Bank Ltd. – Chi Res Power European Wts Oct 2016 A	39,000,000	2015/12/09	2016/10/04	10.18
23496	Macquarie Bank Ltd. – Tencent European Warrants Jan 2017 A	50,000,000	2015/12/09	2017/01/04	10.00
23547	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Oct 2017 A	200,000,000	2015/12/09	2017/10/03	40.00
23549	SGA Societe Generale Acceptance N.V – COVS Eu Wts Sep 2016 B	40,000,000	2015/12/09	2016/09/05	10.00
23550	SGA Societe Generale Acceptance N.V. – CPIC Eur Wt Jul 2016 A	30,000,000	2015/12/09	2016/07/06	11.55
23551	SGA Societe Generale Acceptance NV – Chi Res Land Eu Wt Oct16A	40,000,000	2015/12/09	2016/10/05	11.00
23569	SGA Societe Generale Acceptance N.V. – Li & Fung Eu Wt Aug16 A	18,000,000	2015/12/09	2016/08/03	10.08
23579	UBS AG – CNOOC European Warrants Jun 2016 B	50,000,000	2015/12/09	2016/06/28	19.50
23571	UBS AG – Sinopec Corp European Warrants Jun 2016 A	60,000,000	2015/12/09	2016/06/20	28.50
23591	UBS AG – HKEx European Warrants May 2017 A	300,000,000	2015/12/09	2017/05/29	63.00
23716	Credit Suisse AG – China Life European Put Wts Apr 2016 B	70,000,000	2015/12/10	2016/04/26	12.60
23714	Credit Suisse AG – GCL-Poly Energy European Wts Jun 2016 A	50,000,000	2015/12/10	2016/06/30	12.50
23683	Credit Suisse AG – HSI European Warrants May 2016 A	150,000,000	2015/12/10	2016/05/30	22.50
23715	Credit Suisse AG – Ping An European Put Warrants Apr 2016 B	70,000,000	2015/12/10	2016/04/29	13.30
23750	Credit Suisse AG – Stanchart European Warrants Jun 2016 A	50,000,000	2015/12/10	2016/06/10	26.50
23718	J P Morgan SP BV – HSCEI European Wts Jul 2016 B	200,000,000	2015/12/10	2016/07/28	30.00
23719	J P Morgan SP BV – Tracker Fund European Warrants Sep 2016 A	100,000,000	2015/12/10	2016/09/30	15.70
23673	Macquarie Bank Ltd. – CC Bank European Warrants Jul 2016 A	39,000,000	2015/12/10	2016/07/05	10.10
23674	Macquarie Bank Ltd. – China Coal European Wts Oct 2016 A	18,000,000	2015/12/10	2016/10/04	10.01
23720	Macquarie Bank Ltd. – China Overseas European Wts Aug 2016 A	40,000,000	2015/12/10	2016/08/02	10.00
23721	Macquarie Bank Ltd. – ICBC European Warrants May 2016 B	67,000,000	2015/12/10	2016/05/04	10.05
23748	UBS AG – China Cinda European Warrants Aug 2016 A	50,000,000	2015/12/10	2016/08/08	21.25
23745	UBS AG – CKP European Warrants Jul 2016 A	50,000,000	2015/12/10	2016/07/18	20.50
23744	UBS AG – China Mobile European Warrants Jun 2016 B	100,000,000	2015/12/10	2016/06/20	57.00
23734	UBS AG – China Mobile European Warrants Nov 2017 A	300,000,000	2015/12/10	2017/11/27	51.00
23749	UBS AG – China Overseas European Warrants Jul 2016 A	70,000,000	2015/12/10	2016/07/11	21.00
23726	UBS AG – China Tel European Warrants Jun 2016 B	40,000,000	2015/12/10	2016/06/27	13.40
23758	Credit Suisse AG – Agricultural Bank European Wts May 2016 A	70,000,000	2015/12/11	2016/05/30	10.50
23756	Credit Suisse AG – CRCC European Warrants Apr 2016 A	70,000,000	2015/12/11	2016/04/25	10.50
23793	Goldman Sachs SP (Asia) – Minsheng Bank Euro Wts Jul 2016 A	40,000,000	2015/12/11	2016/07/29	19.00
23772	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Jun 2016 A	80,000,000	2015/12/11	2016/06/29	34.00
23784	Goldman Sachs SP (Asia) – HS H-SHARE European Wts Sep 2016 A	80,000,000	2015/12/11	2016/09/29	20.56
23766	J P Morgan SP BV – China Cinda European Warrants Aug 2016 A	80,000,000	2015/12/11	2016/08/01	20.48
23771	J P Morgan SP BV – GCL-Poly European Warrants Aug 2016 A	60,000,000	2015/12/11	2016/08/02	15.48
23753	J P Morgan SP BV – HSI European Warrants Mar 2016 H	200,000,000	2015/12/11	2016/03/30	30.20
23752	Macquarie Bank Ltd. – CNOOC European Warrants Jul 2016 B	18,000,000	2015/12/11	2016/07/05	10.04
18070 [#]	Goldman Sachs SP (Asia) – HKEx European Warrants Jun 2016 B	150,000,000	2015/12/11	2016/06/01	13.65

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23796	BNP Paribas Arbit Issu B.V. – CKH European Wts Jul 2016 A	50,000,000	2015/12/14	2016/07/05	12.50
23804	BNP Paribas Arbit Issu B.V. – CKP European Warrants May 16 A	50,000,000	2015/12/14	2016/05/04	12.00
23833	BNP Paribas Arbit Issu B.V. – CRCC European Wts Jul 2016 A	65,000,000	2015/12/14	2016/07/05	10.40
23807	BNP Paribas Arbit Issu B.V. – GCL-Poly Euro Wts Jul 2016 A	40,000,000	2015/12/14	2016/07/05	10.00
23827	BNP Paribas Arbit Issu B.V. – Li & Fung Euro Wts Jul 2016 B	30,000,000	2015/12/14	2016/07/05	10.20
10372	BNP Paribas Arbit Issu B.V. – Oil Futures Euro Wt Nov 2016 A	50,000,000	2015/12/14	2016/11/17	12.50
10373	BNP Paribas Arbit Issu B.V. – Oil Futures Eu Put Wt Nov 16 B	50,000,000	2015/12/14	2016/11/17	12.50
23834	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Dec 2016 B	100,000,000	2015/12/14	2016/12/02	25.00
23820	Goldman Sachs SP (Asia) – Sands China Euro Wts Jul 2016 A	100,000,000	2015/12/14	2016/07/29	25.20
23848	J P Morgan SP BV – Sh Electric European Warrants Sep 2016 A	70,000,000	2015/12/14	2016/09/06	10.57
23814	Macquarie Bank Ltd. – PetroChina European Wts Jul 2016 A	20,000,000	2015/12/14	2016/07/05	10.00
10374	SGA Societe Generale Acceptance NV – Nikkei 225 Eu Wt Dec 16 B	150,000,000	2015/12/14	2016/12/09	37.50
23841	UBS AG – HSI European Warrants May 2016 C	300,000,000	2015/12/14	2016/05/30	45.00
23836	UBS AG – Stanchart European Warrants Jun 2016 A	50,000,000	2015/12/14	2016/06/20	21.50
23870	BNP Paribas Arbit Issu B.V. – Sinopec Corp Eu Wts Jun 2016 A	50,000,000	2015/12/15	2016/06/02	15.00
23858	BNP Paribas Arbit Issu B.V. – PetroCh Euro Wts Aug 2016 A	40,000,000	2015/12/15	2016/08/02	17.60
23920	Credit Suisse AG – China Life European Warrants May 2016 B	80,000,000	2015/12/15	2016/05/04	12.80
23935	Credit Suisse AG – China Mobile European Warrants Jul 2016 A	80,000,000	2015/12/15	2016/07/05	20.00
23937	Credit Suisse AG – CNOOC European Warrants Jul 2016 B	60,000,000	2015/12/15	2016/07/05	24.60
23912	Credit Suisse AG – HKEx European Warrants Jun 2016 E	180,000,000	2015/12/15	2016/06/30	27.00
23927	Credit Suisse AG – HSBC European Wts May 2016 A	120,000,000	2015/12/15	2016/05/04	18.00
23931	Credit Suisse AG – HSBC European Wts Jul 2016 A	120,000,000	2015/12/15	2016/07/05	30.00
23915	Credit Suisse AG – ICBC European Warrants May 2016 A	80,000,000	2015/12/15	2016/05/04	12.00
23917	Credit Suisse AG – Ping An European Warrants Jun 2016 B	80,000,000	2015/12/15	2016/06/02	12.00
23939	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 C	80,000,000	2015/12/15	2016/06/30	20.32
23944	Goldman Sachs SP (Asia) – PetroChina European Wts Jun 2016 B	80,000,000	2015/12/15	2016/06/30	43.68
23938	Goldman Sachs SP (Asia) – Tracker Fund European Wts Mar 17 A	80,000,000	2015/12/15	2017/03/30	20.24
23967	J P Morgan SP BV – CRRC European Warrants Oct 2016 A	100,000,000	2015/12/15	2016/10/03	25.10
23968	J P Morgan SP BV – HKEx European Warrants Sep 2016 C	200,000,000	2015/12/15	2016/09/09	31.00
10375	J P Morgan SP BV – N225 Index Euro Wts Dec 2016 A	100,000,000	2015/12/15	2016/12/09	15.10
23969	J P Morgan SP BV – PetroChina European Warrants Aug 2016 B	100,000,000	2015/12/15	2016/08/01	37.80
23872	Macquarie Bank Ltd. – MTRC European Warrants Dec 2016 A	34,000,000	2015/12/15	2016/12/02	10.20
23891	SGA Societe Generale Acceptance N.V. – BOCom Eu Wt Aug 2016A	25,000,000	2015/12/15	2016/08/03	10.00
23900	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt May 16 C	80,000,000	2015/12/15	2016/05/05	25.20
23901	SGA Societe Generale Acceptance N.V. – CNOOC Eu Put Wt Dec17A	300,000,000	2015/12/15	2017/12/05	75.00
23909	SGA Societe Generale Acceptance N.V. – CP&CC Eu Wt Aug 2016B	80,000,000	2015/12/15	2016/08/03	30.40
23876	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Mar 2016 G	150,000,000	2015/12/15	2016/03/30	23.25
23885	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Sep 16 A	150,000,000	2015/12/15	2016/09/29	37.50
23905	SGA Societe Generale Acceptance N.V. – Petch Eu Put Wt Aug16A	60,000,000	2015/12/15	2016/08/03	30.00
23946	UBS AG – Anhui Conch European Warrants Jul 2016 A	70,000,000	2015/12/15	2016/07/05	10.50
23954	UBS AG – CAM CSI300 European Warrants May 2016 A	50,000,000	2015/12/15	2016/05/04	10.75
23945	UBS AG – HKEx European Warrants Jun 2016 F	300,000,000	2015/12/15	2016/06/22	45.30
23962	UBS AG – HSBC European Warrants Jun 2016 C	100,000,000	2015/12/15	2016/06/14	25.00
23957	UBS AG – HSCEI European Warrants May 2016 A	200,000,000	2015/12/15	2016/05/30	30.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
23964	UBS AG – HSCEI European Warrants Jun 2016 B	200,000,000	2015/12/15	2016/06/29	50.00
23960	UBS AG – HSCEI European Put Warrants Dec 2016 A	200,000,000	2015/12/15	2016/12/29	31.20
23961	UBS AG – Ping An European Warrants Apr 2016 B	100,000,000	2015/12/15	2016/04/27	25.00
23950	UBS AG – China Vanke European Warrants Jul 2016 A	100,000,000	2015/12/15	2016/07/11	25.00
24026	Credit Suisse AG – CITIC European Warrants Jul 2016 A	100,000,000	2015/12/16	2016/07/29	15.00
24029	Credit Suisse AG – CITIC Sec European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/29	20.80
24027	Credit Suisse AG – Fosun Intl European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/05	12.80
24033	Credit Suisse AG – HS H-SHARE European Warrants Jun 2016 A	60,000,000	2015/12/16	2016/06/02	11.40
24031	Credit Suisse AG – Haitong Sec European Warrants May 2016 A	80,000,000	2015/12/16	2016/05/30	12.80
24032	Credit Suisse AG – China Vanke European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/05	24.00
24000	Goldman Sachs SP (Asia) – HSI European Warrants Apr 2016 G	150,000,000	2015/12/16	2016/04/28	22.65
24057	Goldman Sachs SP (Asia) – China Vanke European Wts Jul 2016A	60,000,000	2015/12/16	2016/07/28	23.22
24045	Goldman Sachs SP (Asia) – China Vanke European Wts Nov 2016A	60,000,000	2015/12/16	2016/11/29	26.76
23979	HK Bank – China Life European Warrants Jun 2016 D	80,000,000	2015/12/16	2016/06/30	20.00
23985	HK Bank – CSR Times European Warrants Dec 2017 A	80,000,000	2015/12/16	2017/12/29	20.00
23974	HK Bank – HSCEI European Put Warrants May 2016 A	180,000,000	2015/12/16	2016/05/30	28.80
23975	HK Bank – HSI European Warrants May 2016 E	180,000,000	2015/12/16	2016/05/30	27.00
23970	HK Bank – HSI European Warrants Jun 2016 C	180,000,000	2015/12/16	2016/06/29	27.00
23983	HK Bank – PetroChina European Warrants Jul 2016 A	80,000,000	2015/12/16	2016/07/29	36.00
23981	HK Bank – Ping An European Warrants May 2016 A	80,000,000	2015/12/16	2016/05/30	19.20
23987	HK Bank – Ping An European Warrants Jun 2016 A	80,000,000	2015/12/16	2016/06/30	20.00
23984	HK Bank – Ping An European Warrants Dec 2016 C	180,000,000	2015/12/16	2016/12/30	27.00
23986	HK Bank – Ping An European Warrants Mar 2017 A	180,000,000	2015/12/16	2017/03/31	27.00
23989	Macquarie Bank Ltd. – CNBM European Warrants Aug 2016 A	13,000,000	2015/12/16	2016/08/02	10.01
23997	Macquarie Bank Ltd. – HSBC European Warrants May 2016 A	67,000,000	2015/12/16	2016/05/04	10.05
23988	Macquarie Bank Ltd. – Stanchart European Warrants Sep 2016 A	15,000,000	2015/12/16	2016/09/02	10.04
24022	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Mar 2017 A	80,000,000	2015/12/16	2017/03/03	20.00
24019	SGA Societe Generale Acceptance N.V. – CRRC Euro Wts Nov16 A	80,000,000	2015/12/16	2016/11/03	20.00
24013	SGA Societe Generale Acceptance N.V. – Shenhua Eu Wt Jan 17 A	100,000,000	2015/12/16	2017/01/05	15.00
24020	SGA Societe Generale Acceptance N.V. – Esprit Eu Wt Feb 17 A	100,000,000	2015/12/16	2017/02/03	25.00
24041	UBS AG – China EB Int'l European Warrants Sep 2016 A	40,000,000	2015/12/16	2016/09/26	10.00
24043	UBS AG – China Shenhua European Warrants Dec 2016 A	70,000,000	2015/12/16	2016/12/20	10.57
24039	UBS AG – Link REIT European Warrants Jul 2016 B	40,000,000	2015/12/16	2016/07/25	11.80
24112	BNP Paribas Arbit Issu B.V. – China Life Euro Wts Aug 2016 B	60,000,000	2015/12/17	2016/08/02	15.00
24115	BNP Paribas Arbit Issu B.V. – Ch Mobile Euro Wts May 2016 A	40,000,000	2015/12/17	2016/05/04	12.40
24120	BNP Paribas Arbit Issu B.V. – Fosun Intl European Wts Jul16A	100,000,000	2015/12/17	2016/07/05	21.00
24113	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jul 2016 E	80,000,000	2015/12/17	2016/07/05	18.40
24119	BNP Paribas Arbit Issu B.V. – ICBC European Wts Oct 2016 B	50,000,000	2015/12/17	2016/10/04	15.00
24114	BNP Paribas Arbit Issu B.V. – Ping An European Wt Jun 2016 B	50,000,000	2015/12/17	2016/06/02	13.00
24128	Credit Suisse AG – Anhui Conch European Warrants May 2016 A	70,000,000	2015/12/17	2016/05/30	10.50
24133	Credit Suisse AG – Ch Overseas European Warrants Jul 2016 A	50,000,000	2015/12/17	2016/07/05	12.50
24131	Credit Suisse AG – China Tel European Warrants May 2016 B	60,000,000	2015/12/17	2016/05/30	11.40
24138	Credit Suisse AG – HSI European Warrants Apr 2016 C	150,000,000	2015/12/17	2016/04/28	22.50
24137	Credit Suisse AG – HSI European Warrants May 2016 B	150,000,000	2015/12/17	2016/05/30	22.50

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24142	Credit Suisse AG – HSI European Put Warrants Apr 2016 D	150,000,000	2015/12/17	2016/04/28	25.50
24136	Credit Suisse AG – Kingsoft European Warrants Jul 2016 A	50,000,000	2015/12/17	2016/07/05	12.50
24129	Credit Suisse AG – ZTE European Warrants Aug 2016 A	60,000,000	2015/12/17	2016/08/08	10.80
24199	Goldman Sachs SP (Asia) – Sinopec Corp European Wts Jun 2016 D	80,000,000	2015/12/17	2016/06/30	36.80
24202	Goldman Sachs SP (Asia) – Ping An European Wts Apr 2016 C	100,000,000	2015/12/17	2016/04/29	38.70
24101	HK Bank – CC Bank European Warrants Aug 2016 B	80,000,000	2015/12/17	2016/08/31	20.00
24075	HK Bank – CC Bank European Warrants Sep 2016 A	80,000,000	2015/12/17	2016/09/30	28.00
24067	HK Bank – China Tel European Warrants Jun 2016 B	80,000,000	2015/12/17	2016/06/30	20.00
24061	HK Bank – China Unicom European Warrants Dec 2017 A	100,000,000	2015/12/17	2017/12/22	16.00
24062	HK Bank – Foxconn European Warrants Jun 2016 A	50,000,000	2015/12/17	2016/06/30	12.50
24069	HK Bank – ZTE European Warrants Sep 2016 A	80,000,000	2015/12/17	2016/09/30	20.00
24168	J P Morgan SP BV – CHALCO European Warrants Jun 2016 A	45,000,000	2015/12/17	2016/06/30	13.19
24150	J P Morgan SP BV – China Life European Warrants Sep 2016 A	100,000,000	2015/12/17	2016/09/01	28.30
24151	J P Morgan SP BV – China Life European Warrants Oct 2016 A	100,000,000	2015/12/17	2016/10/03	25.00
24157	J P Morgan SP BV – Sinopec Corp European Warrants Aug 2016 A	100,000,000	2015/12/17	2016/08/02	26.00
24163	J P Morgan SP BV – Evergrande European Warrants Dec 2016 B	70,000,000	2015/12/17	2016/12/30	10.71
24166	J P Morgan SP BV – HSI European Warrants Jun 2016 E	300,000,000	2015/12/17	2016/06/29	45.60
24167	J P Morgan SP BV – HSI European Warrants Aug 2016 A	300,000,000	2015/12/17	2016/08/30	75.30
24103	Macquarie Bank Ltd. – Sinopec Corp European Wts Jul 2016 B	23,000,000	2015/12/17	2016/07/05	10.03
24105	Macquarie Bank Ltd. – KECL European Warrants Jul 2016 A	15,000,000	2015/12/17	2016/07/05	10.23
24111	Macquarie Bank Ltd. – Tencent European Warrants Jul 2016 E	10,000,000	2015/12/17	2016/07/05	10.00
24121	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Oct 2016 B	100,000,000	2015/12/17	2016/10/05	15.00
24122	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Aug16 B	15,000,000	2015/12/17	2016/08/03	10.65
24106	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2016 E	150,000,000	2015/12/17	2016/06/29	27.00
24107	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Aug 2016 A	150,000,000	2015/12/17	2016/08/30	25.50
24108	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Sep 2016 B	150,000,000	2015/12/17	2016/09/29	23.25
24124	SGA Societe Generale Acceptance N.V. – Ch Vanke Eu Wts Aug16 B	40,000,000	2015/12/17	2016/08/03	10.00
24188	UBS AG – Ch Comm Cons European Warrants Sep 2016 A	100,000,000	2015/12/17	2016/09/23	15.50
24196	UBS AG – China Life European Warrants Jun 2016 A	100,000,000	2015/12/17	2016/06/23	15.00
24191	UBS AG – Fosun Intl European Warrants Aug 2016 B	70,000,000	2015/12/17	2016/08/22	10.50
24173	UBS AG – HSCEI European Warrants Jul 2016 A	100,000,000	2015/12/17	2016/07/28	25.00
24172	UBS AG – HSCEI European Put Warrants Jun 2016 C	100,000,000	2015/12/17	2016/06/29	25.00
24247	Goldman Sachs SP (Asia) – AIA European Warrants Jun 2016 A	100,000,000	2015/12/18	2016/06/30	40.10
24242	Goldman Sachs SP (Asia) – China Life Euro Wts Jun 2016 A	100,000,000	2015/12/18	2016/06/30	25.30
24241	J P Morgan SP BV – Ch Comm Cons European Warrants Nov 2017 A	100,000,000	2015/12/18	2017/11/02	25.10
24238	J P Morgan SP BV – Ping An European Warrants Aug 2016 B	100,000,000	2015/12/18	2016/08/05	33.80
24230	Macquarie Bank Ltd. – Galaxy Ent European Wts Jul 2016 A	40,000,000	2015/12/18	2016/07/05	10.00
24228	Macquarie Bank Ltd. – HSBC European Warrants Aug 2016 A	40,000,000	2015/12/18	2016/08/02	10.00
24206	Macquarie Bank Ltd. – PICC Group European Wts Jul 2016 A	15,000,000	2015/12/18	2016/07/05	10.02
24223	Macquarie Bank Ltd. – Sands China European Wts Aug 2016 A	40,000,000	2015/12/18	2016/08/02	10.00
24222	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Aug 2016 C	150,000,000	2015/12/18	2016/08/03	22.50
24214	SGA Societe Generale Acceptance N.V. – CRCC Eu Wt Dec 2016 A	80,000,000	2015/12/18	2016/12/05	20.00
24220	SGA Societe Generale Acceptance N.V. – Tencent Eu Wt Apr 17 A	500,000,000	2015/12/18	2017/04/03	87.50
24237	UBS AG – HSBC European Put Warrants Jun 2016 D	80,000,000	2015/12/18	2016/06/02	33.60

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
26074#	J P Morgan SP BV – Galaxy Ent European Warrants May 2016 A	150,000,000	2015/12/18	2016/05/03	11.85
24348	Bank of East Asia – BYD European Warrants Mar 2018 A	60,000,000	2015/12/21	2018/03/28	10.80
24347	Bank of East Asia – CKH European Warrants Sep 2016 A	60,000,000	2015/12/21	2016/09/23	10.80
24349	Bank of East Asia – Tencent Holdings European Wts Nov 2016 A	50,000,000	2015/12/21	2016/11/30	10.00
24256	Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Jul 2016 B	80,000,000	2015/12/21	2016/07/04	20.00
24284	HK Bank – CNOOC European Warrants Jun 2016 B	60,000,000	2015/12/21	2016/06/30	42.00
24289	HK Bank – CNOOC European Warrants Jun 2016 C	60,000,000	2015/12/21	2016/06/30	27.00
24280	HK Bank – HSCEI European Warrants May 2016 B	200,000,000	2015/12/21	2016/05/30	30.00
24263	HK Bank – HSI European Warrants Apr 2016 D	200,000,000	2015/12/21	2016/04/28	40.00
24262	HK Bank – HSI European Warrants Jun 2016 D	200,000,000	2015/12/21	2016/06/29	30.00
24266	HK Bank – HSI European Put Warrants Apr 2016 E	200,000,000	2015/12/21	2016/04/28	32.00
24300	HK Bank – ICBC European Warrants May 2016 A	80,000,000	2015/12/21	2016/05/03	12.00
24296	HK Bank – ICBC European Warrants Jul 2016 A	60,000,000	2015/12/21	2016/07/29	16.20
24283	HK Bank – ICBC European Warrants Sep 2016 A	80,000,000	2015/12/21	2016/09/30	12.00
24343	Macquarie Bank Ltd. – ICBC European Warrants May 2016 C	67,000,000	2015/12/21	2016/05/04	10.05
24342	SGA Societe Generale Acceptance N.V. – AIA Eur Wt Jul 2016 B	100,000,000	2015/12/21	2016/07/06	26.50
24329	SGA Societe Generale Acceptance N.V. – CLife Eu Wt Sep 2016 A	150,000,000	2015/12/21	2016/09/05	37.50
24313	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt May 2016B	150,000,000	2015/12/21	2016/05/30	22.50
24312	SGA Societe Generale Acceptance N.V. – HSCEI Eu Wt Jul 2016B	150,000,000	2015/12/21	2016/07/28	37.50
24327	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Apr 2016 F	150,000,000	2015/12/21	2016/04/28	32.25
24315	SGA Societe Generale Acceptance N.V. – HSI Eur Wt Jun 2016 F	150,000,000	2015/12/21	2016/06/29	37.50
24362	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Aug 2016B	60,000,000	2015/12/21	2016/08/03	25.80
24336	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt Jun 16 C	120,000,000	2015/12/21	2016/06/03	21.60
24352	SGA Societe Generale Acceptance N.V. – Sands China Eu Wt Jun16B	80,000,000	2015/12/21	2016/06/03	19.60
24354	SGA Societe Generale Acceptance NV – Sands Ch Eu Put Wt Jul16A	80,000,000	2015/12/21	2016/07/06	21.60
24381	UBS AG – Fosun Intl European Warrants May 2016 B	70,000,000	2015/12/21	2016/05/20	10.57
24382	UBS AG – HKEx European Put Warrants Jun 2016 G	100,000,000	2015/12/21	2016/06/06	15.00
24374	UBS AG – HSI European Warrants Jun 2016 G	300,000,000	2015/12/21	2016/06/29	75.00
24376	UBS AG – HSI European Warrants Jun 2016 H	300,000,000	2015/12/21	2016/06/29	47.70
24380	UBS AG – HSI European Put Warrants Apr 2016 F	300,000,000	2015/12/21	2016/04/28	45.00
24389	BOCI Asia Ltd. – Agricultural Bk European Wts Jul 2016 A	100,000,000	2015/12/22	2016/07/11	21.00
24394	BOCI Asia Ltd. – BOCL European Warrants Sep 2016 B	100,000,000	2015/12/22	2016/09/26	21.00
24385	BOCI Asia Ltd. – CC Bank European Warrants Jul 2016 A	100,000,000	2015/12/22	2016/07/25	25.00
24391	BOCI Asia Ltd. – China Life European Warrants Nov 2016 A	100,000,000	2015/12/22	2016/11/28	27.00
24392	BOCI Asia Ltd. – A50 China European Warrants Mar 2017 A	100,000,000	2015/12/22	2017/03/24	15.00
24402	BNP Paribas Arbit Issu B.V. – CC Bank Euro Wts Feb 2017 A	50,000,000	2015/12/22	2017/02/02	16.00
24396	BNP Paribas Arbit Issu B.V. – HKEx European Wts Jun 2017 A	75,000,000	2015/12/22	2017/06/02	20.25
24399	BNP Paribas Arbit Issu B.V. – ICBC European Wts May 2017 A	50,000,000	2015/12/22	2017/05/02	14.50
24398	BNP Paribas Arbit Issu B.V. – Tencent Hldgs Eu Wt Mar 2017 A	100,000,000	2015/12/22	2017/03/02	18.00
24432	Credit Suisse AG – AIA European Warrants Jul 2016 A	80,000,000	2015/12/22	2016/07/05	22.40
24436	Goldman Sachs SP (Asia) – AIA European Warrants May 2016 B	80,000,000	2015/12/22	2016/05/31	13.60
24441	Goldman Sachs SP (Asia) – CAM CSI300 European Wts Jun 2016 B	60,000,000	2015/12/22	2016/06/30	25.02
24440	Goldman Sachs SP (Asia) – CNOOC European Warrants Jun 2016 B	50,000,000	2015/12/22	2016/06/30	28.70
24405	Macquarie Bank Ltd. – CNOOC European Warrants Dec 2018 A	40,000,000	2015/12/22	2018/12/04	10.00

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Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24406	Macquarie Bank Ltd. – Ping An European Warrants May 2016 B	55,000,000	2015/12/22	2016/05/04	10.07
24410	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Dec 17 A	500,000,000	2015/12/22	2017/12/05	75.00
24433	SGA Societe Generale Acceptance N.V. – HSBC Eu Wt Jul 2016 B	100,000,000	2015/12/22	2016/07/06	19.00
24422	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Jul16C	150,000,000	2015/12/22	2016/07/28	37.50
24419	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Sep16A	150,000,000	2015/12/22	2016/09/29	37.50
24424	SGA Societe Generale Acceptance N.V. – HSCEI Eu Put Wt Sep16B	150,000,000	2015/12/22	2016/09/29	37.50
24425	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jun 16 G	150,000,000	2015/12/22	2016/06/29	31.50
24427	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Jul 16 A	150,000,000	2015/12/22	2016/07/28	37.50
24429	SGA Societe Generale Acceptance N.V. – HSI Eu Put Wt Aug 16 B	150,000,000	2015/12/22	2016/08/30	39.00
24415	SGA Societe Generale Acceptance N.V. – Ping An Eu Wt May 16 C	120,000,000	2015/12/22	2016/05/05	39.60
24416	SGA Societe Generale Acceptance N.V. – Stanchart Eu Wt Aug16A	20,000,000	2015/12/22	2016/08/03	10.20
24435	UBS AG – PetroChina European Warrants Jun 2016 A	50,000,000	2015/12/22	2016/06/21	23.25
24461	BNP Paribas Arbit Issu B.V. – HS H-SHARE Euro Wts Jul 2016 A	40,000,000	2015/12/23	2016/07/05	13.60
24490	Credit Suisse AG – CC Bank European Warrants May 2016 A	80,000,000	2015/12/23	2016/05/30	12.80
24491	Credit Suisse AG – China Life European Warrants May 2016 C	80,000,000	2015/12/23	2016/05/30	12.00
24493	Credit Suisse AG – CPIC European Warrants Jul 2016 A	60,000,000	2015/12/23	2016/07/05	15.00
24495	Credit Suisse AG – Link REIT European Warrants Jul 2016 A	60,000,000	2015/12/23	2016/07/05	15.00
24486	Credit Suisse AG – Tencent Holdings European Wts Jun 2016 D	150,000,000	2015/12/23	2016/06/30	22.50
24455	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jun 2016 A	60,000,000	2015/12/23	2016/06/24	15.54
24457	Goldman Sachs SP (Asia) – CITIC Bank European Wts Jun 2016 B	60,000,000	2015/12/23	2016/06/30	24.18
24460	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2017 A	300,000,000	2015/12/23	2017/11/27	45.90
24459	Goldman Sachs SP (Asia) – China Mobile Euro Wts Nov 2018 A	300,000,000	2015/12/23	2018/11/27	54.00
24496	J P Morgan SP BV – CAM CSI300 European Warrants Aug 2016 A	100,000,000	2015/12/23	2016/08/02	30.30
24464	Macquarie Bank Ltd. – China Tel European Warrants Oct 2016 A	40,000,000	2015/12/23	2016/10/04	10.00
24466	Macquarie Bank Ltd. – China Unicom European Wts Jul 2016 A	40,000,000	2015/12/23	2016/07/04	10.00
24469	Macquarie Bank Ltd. – Ping An European Warrants Nov 2016 B	40,000,000	2015/12/23	2016/11/02	10.00
24467	Macquarie Bank Ltd. – Sinopharm European Warrants Oct 2016 A	14,000,000	2015/12/23	2016/10/17	10.01
24449	Macquarie Bank Ltd. – Tencent European Warrants Jul 2016 F	25,000,000	2015/12/23	2016/07/04	10.00
24470	SGA Societe Generale Acceptance N.V. – COVS Eu Put Wt Aug16B	40,000,000	2015/12/23	2016/08/03	12.40
24476	SGA Societe Generale Acceptance N.V. – CP&CC Eu Put Wt Aug16C	80,000,000	2015/12/23	2016/08/03	30.00
24472	SGA Societe Generale Acceptance N.V. – HSBC Eu Put Wt Jun16 A	100,000,000	2015/12/23	2016/06/03	38.00
24480	SGA Societe Generale Acceptance N.V. – Tencent Eu Put Wt Feb17A	500,000,000	2015/12/23	2017/02/03	110.00
24451	UBS AG – HSI European Put Warrants Jun 2016 I	300,000,000	2015/12/23	2016/06/29	63.60
24452	UBS AG – HSI European Put Warrants Sep 2016 A	300,000,000	2015/12/23	2016/09/29	75.00
24448	UBS AG – Stanchart European Warrants Dec 2017 A	100,000,000	2015/12/23	2017/12/05	15.00
24515	BOCI Asia Ltd. – China Life European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/07	24.00
24499	BOCI Asia Ltd. – Galaxy Ent European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/05	22.00
24498	BOCI Asia Ltd. – HSBC European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/11	23.00
24511	BOCI Asia Ltd. – PetroChina European Warrants Jul 2016 A	100,000,000	2015/12/24	2016/07/27	42.00
24514	BOCI Asia Ltd. – Ping An European Warrants Jun 2016 A	100,000,000	2015/12/24	2016/06/06	23.00
24517	Goldman Sachs SP (Asia) – HSI European Put Wts Apr 2016 H	150,000,000	2015/12/24	2016/04/28	27.45
24533	Macquarie Bank Ltd. – Lenovo European Warrants Jul 2016 A	17,000,000	2015/12/24	2016/07/05	10.03
24523	SGA Societe Generale Acceptance N.V. – CAM CSI300 Eu Wt Jul16B	50,000,000	2015/12/24	2016/07/06	18.25
24524	SGA Societe Generale Acceptance N.V. – HS H-SHARE Eu Wts Aug16A	50,000,000	2015/12/24	2016/08/03	26.50

Main Board Warrants

Code	Derivative warrants	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
24614	Goldman Sachs SP (Asia) – CKH European Wts Jun 2016 A	50,000,000	2015/12/28	2016/06/28	20.20
24618	Goldman Sachs SP (Asia) – CKH European Wts Aug 2016 A	50,000,000	2015/12/28	2016/08/22	14.80
24615	Goldman Sachs SP (Asia) – China Mobile Euro Wts Jun 2016 B	100,000,000	2015/12/28	2016/06/28	31.00
24597	Goldman Sachs SP (Asia) – HKEx European Warrants Dec 2016 A	300,000,000	2015/12/28	2016/12/05	45.60
24599	Goldman Sachs SP (Asia) – HKEx European Warrants May 2017 A	300,000,000	2015/12/28	2017/05/29	54.90
24596	Goldman Sachs SP (Asia) – Tencent European Wt Apr 2017 A	300,000,000	2015/12/28	2017/04/03	52.80
24595	J P Morgan SP BV – Guotai Junani European Warrants Oct 2016B	60,000,000	2015/12/28	2016/10/03	56.34
24594	Macquarie Bank Ltd. – BankComm European Warrants Sep 2016 A	27,000,000	2015/12/28	2016/09/02	10.29
24589	Macquarie Bank Ltd. – CITIC Sec European Warrants Sep 2016 A	40,000,000	2015/12/28	2016/09/02	10.00
24587	Macquarie Bank Ltd. – China Mobile European Wts Oct 2016 A	40,000,000	2015/12/28	2016/10/04	10.00
24580	Macquarie Bank Ltd. – China Mobile European Put Wts Jul 16 B	40,000,000	2015/12/28	2016/07/05	10.00
24593	Macquarie Bank Ltd. – A50 China European Warrants Apr 2017 A	60,000,000	2015/12/28	2017/04/04	10.08
24579	Macquarie Bank Ltd. – R&F Ppt European Warrants Mar 2017 A	40,000,000	2015/12/28	2017/03/02	10.00
24591	Macquarie Bank Ltd. – HSBC European Put Warrants Jul 2016 C	40,000,000	2015/12/28	2016/07/05	10.04
24565	SGA Societe Generale Acceptance N.V. – BYD Eu Wt Sep 2017 A	200,000,000	2015/12/28	2017/09/04	39.00
24537	SGA Societe Generale Acceptance N.V. – CCB Eur Wt Sep 2016 A	100,000,000	2015/12/28	2016/09/05	25.00
24568	SGA Societe Generale Acceptance N.V. – CCCCL Eu Wt Aug 2017 A	100,000,000	2015/12/28	2017/08/03	25.00
24567	SGA Societe Generale Acceptance N.V. – CKP Euro Wts Aug 16 A	50,000,000	2015/12/28	2016/08/03	19.75
24570	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Jul 16 B	80,000,000	2015/12/28	2016/07/06	42.40
24571	SGA Societe Generale Acceptance N.V. – Ch Mob Eu Wt Aug 16 B	80,000,000	2015/12/28	2016/08/03	20.40
24573	SGA Societe Generale Acceptance N.V. – CNOOC Eu Wt Jul 2016B	40,000,000	2015/12/28	2016/07/06	24.00
24558	SGA Societe Generale Acceptance NV – CSOP A50 ETF Eu Wt Aug16C	30,000,000	2015/12/28	2016/08/03	11.10
24538	SGA Societe Generale Acceptance N.V. – ICBC Eu Wt Sep 2016 A	100,000,000	2015/12/28	2016/09/05	25.00
24574	SGA Societe Generale Acceptance NV – NewWorld Dev Eu Wt Nov16A	12,000,000	2015/12/28	2016/11/03	11.76
24575	SGA Societe Generale Acceptance N.V. – Petch Eu Wt Nov 2016A	60,000,000	2015/12/28	2016/11/03	15.00
24543	SGA Societe Generale Acceptance N.V. – SHK P Eu Wt Sep 2016A	30,000,000	2015/12/28	2016/09/05	22.20
24641	Credit Suisse AG – PetroChina European Warrants Aug 2016 A	80,000,000	2015/12/29	2016/08/02	28.00
24646	Goldman Sachs SP (Asia) – Agri Bk European Wts Oct 2016 A	100,000,000	2015/12/29	2016/10/05	19.70
24624	Goldman Sachs SP (Asia) – CKH European Wts Sep 2016 A	100,000,000	2015/12/29	2016/09/26	16.20
24652	Goldman Sachs SP (Asia) – A50 China European Wts Jun 2016 D	40,000,000	2015/12/29	2016/06/30	17.84
24619	Goldman Sachs SP (Asia) – HKEx European Warrants Nov 2016 A	300,000,000	2015/12/29	2016/11/29	51.00
24639	Goldman Sachs SP (Asia) – HSCEI European Warrants Aug 2016 A	150,000,000	2015/12/29	2016/08/30	37.50
24644	J P Morgan SP BV – New World Dev European Wts Apr 2018 A	100,000,000	2015/12/29	2018/04/03	16.80
24625	UBS AG – Galaxy Ent European Warrants May 2016 B	70,000,000	2015/12/29	2016/05/23	13.16
24629	UBS AG – Galaxy Ent European Put Warrants May 2016 C	60,000,000	2015/12/29	2016/05/27	14.34
24632	UBS AG – PetroChina European Warrants Jul 2016 A	50,000,000	2015/12/29	2016/07/18	13.00
24634	UBS AG – Sands China European Warrants Jul 2016 B	70,000,000	2015/12/29	2016/07/22	13.16
24636	UBS AG – Sands China European Put Warrants Jul 2016 C	60,000,000	2015/12/29	2016/07/11	17.10
24734	Credit Suisse AG – China Mobile European Warrants Jul 2016 B	80,000,000	2015/12/30	2016/07/04	24.80
24721	Credit Suisse AG – Tencent Holdings European Wts Sep 2016 A	150,000,000	2015/12/30	2016/09/30	22.50
24732	Credit Suisse AG – Tencent Holdings European Wts Nov 2016 B	150,000,000	2015/12/30	2016/11/30	30.00
24680	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 B	150,000,000	2015/12/30	2016/06/29	22.95
24688	Goldman Sachs SP (Asia) – HSI European Warrants Jun 2016 D	150,000,000	2015/12/30	2016/06/29	41.10
24712	Goldman Sachs SP (Asia) – HSI European Warrants Jul 2016 A	150,000,000	2015/12/30	2016/07/28	22.50

Main Board Warrants

Code	Derivative warrants	No. of			Amount raised (HK\$ mil.)
		issue (units)	Listing date	Expiry	
24684	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2016 C	150,000,000	2015/12/30	2016/06/29	22.50
24691	Goldman Sachs SP (Asia) – HSI European Put Wts Jun 2016 E	150,000,000	2015/12/30	2016/06/29	22.65
24674	HK Bank – Sinopec Corp European Warrants Jul 2016 B	50,000,000	2015/12/30	2016/07/29	12.50
24663	HK Bank – HSI European Warrants May 2016 F	180,000,000	2015/12/30	2016/05/30	27.00
24669	HK Bank – Stanchart European Warrants Nov 2017 A	100,000,000	2015/12/30	2017/11/30	15.00
24739	J P Morgan SP BV – Minsheng Bank European Wts Aug 2016 A	60,000,000	2015/12/30	2016/08/01	29.10
24705	Macquarie Bank Ltd. – BYD European Warrants Aug 2016 A	11,000,000	2015/12/30	2016/08/02	10.01
24707	Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jul 2016 C	25,000,000	2015/12/30	2016/07/05	10.00
24709	Macquarie Bank Ltd. – A50 China European Warrants Jul 2016 B	22,000,000	2015/12/30	2016/07/05	10.10
24662	Macquarie Bank Ltd. – ICBC European Warrants Mar 2017 A	40,000,000	2015/12/30	2017/03/02	10.00
24694	Macquarie Bank Ltd. – Tencent European Put Wts Jul 2016 G	13,000,000	2015/12/30	2016/07/05	10.01
24657	Macquarie Bank Ltd. – Zijin Mining European Wts Dec 2016 A	28,000,000	2015/12/30	2016/12/02	10.28
24675	SGA Societe Generale Acceptance NV – Minsheng Bk Eu Wt Nov 16A	15,000,000	2015/12/30	2016/11/03	10.05
24750	BOCI Asia Ltd. – CSOP A50 ETF European Warrants Jun 2016 A	100,000,000	2015/12/31	2016/06/06	52.00
24751	BOCI Asia Ltd. – A50 China European Warrants Jun 2016 B	100,000,000	2015/12/31	2016/06/13	49.00
24757	Goldman Sachs SP (Asia) – CNOOC European Warrants Jul 2016 A	60,000,000	2015/12/31	2016/07/04	46.92
24760	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jun 2016 C	60,000,000	2015/12/31	2016/06/30	46.92
24758	Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Jul 2016 C	100,000,000	2015/12/31	2016/07/29	15.10
24740	Macquarie Bank Ltd. – Huaneng Power European Wts Mar 2018 A	40,000,000	2015/12/31	2018/03/02	10.08
24755	Macquarie Bank Ltd. – PICC P&C European Warrants Dec 2016 A	40,000,000	2015/12/31	2016/12/02	10.00
24749	UBS AG – CNOOC European Warrants Jun 2016 C	50,000,000	2015/12/31	2016/06/23	26.50
24746	UBS AG – Sinopec Corp European Warrants Jun 2016 B	60,000,000	2015/12/31	2016/06/23	24.00
24748	UBS AG – Sinopec Corp European Warrants Jun 2016 C	60,000,000	2015/12/31	2016/06/30	18.30
Total					27,608.36

Further issue

Main Board Debt Securities

Debt Securities Trading Statistics

		Turnover value	
		Exchange fund notes (HK\$ mil)	Market total (HK\$ mil)
2014	Q4	–	1,264.59
2015	Q1	–	1,023.80
	Q2	–	2,000.30
	Q3	–	5,208.52
	Q4	–	1,147.96

Debt Securities Nominal Value

		No. of issues	Nominal value (HK\$ mil.)
2014	Q4	640	2,221,339.57
2015	Q1	665	2,393,288.98
	Q2	708	2,637,617.58
	Q3	736	2,750,133.27
	Q4	762	2,741,871.80

New Listing Statistics – Debt Securities

		No. of newly listed debt securities	Amount raised (HK\$ mil.)
2014	Q4	75	267,144.56
2015	Q1	38	186,536.69
	Q2	59	307,836.43
	Q3	37	141,193.57
	Q4	43	115,190.75

Main Board Debt Securities

Newly Listed Debt Securities

for 4th quarter 2015

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
04219#	The Govt of the HKSAR of the PRC 1.47% Bonds 2019	HKD 4,200,000,000	2015/10/09	2019/02/20	4,294.50
05567	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2031B	HKD 40,000,000	2015/10/13	2031/11/14	-
05567#	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2031B	HKD 30,000,000	2015/11/04	2031/11/14	-
04229	The Govt of the HKSAR of the PRC 0.91% Bonds 2020	HKD 3,000,000,000	2015/11/06	2020/11/05	2,951.10
05568	China Clean Energy Development Ltd. 4.00% Guar. Bonds 2025	USD 500,000,000	2015/11/06	2025/11/05	3,870.17
05569	ICBCIL Finance Co. Ltd. 2.60% Notes 2018 A	USD 500,000,000	2015/11/11	2018/11/13	3,875.51
05570	ICBCIL Finance Co. Ltd. Floating Rate Notes 2018 B	USD 300,000,000	2015/11/11	2018/11/13	2,340.00
05571	ICBCIL Finance Co. Ltd. 3.20% Notes 2020	USD 700,000,000	2015/11/11	2020/11/10	5,392.35
05572	Shandong Gold International Mining Investment Holding Ltd. 2.50% Credit Enhanced Bonds 2018	USD 300,000,000	2015/11/16	2018/11/13	2,325.87
04062	Hong Kong Monetary Authority, The 0.42% Exchange Fund Notes 2017	HKD 1,200,000,000	2015/11/19	2017/11/20	1,198.20
05573	Brightoil Petroleum (Holdings) Ltd. 5.00% Conv. Bonds 2018	USD 12,000,000	2015/11/20	2018/11/13	93.60
05574	CSCEC Finance (Cayman) I Ltd. 2.95% Guaranteed Notes 2020	USD 500,000,000	2015/11/20	2020/11/19	3,859.21
05575	Huarong Finance II Co., Ltd. 2.875% Guaranteed Notes 2018	USD 500,000,000	2015/11/20	2018/11/19	3,889.55
05576	Huarong Finance II Co., Ltd. 3.75% Guaranteed Notes 2020	USD 500,000,000	2015/11/20	2020/11/19	3,878.75
05577	Huarong Finance II Co., Ltd. 5.00% Guaranteed Notes 2025	USD 800,000,000	2015/11/20	2025/11/19	6,224.96
05578	Profit Fast Ltd. 5.50% Guaranteed Bonds 2018	USD 300,000,000	2015/11/20	2018/11/19	2,324.09
05580	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2024B	HKD 10,000,000	2015/11/20	2024/09/12	-
05579	Hsin Chong Construction Group Ltd. 6.00% Conv. Bonds 2017	USD 100,000,000	2015/11/23	2017/11/20	780.00
05581	Tuspark Forward Ltd. 5.375% Guaranteed Bonds 2018	USD 400,000,000	2015/11/25	2018/11/24	3,092.39
05567#	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2031B	HKD 20,000,000	2015/11/26	2031/11/14	-
85721	Huarui Investment Holding Co. Ltd. 5.25% Guar. CNY Bonds 2018	RMB 1,500,000,000	2015/11/27	2018/11/26	1,810.05
85722	China Construction Bank (Asia) Corporation Ltd. 4.00% CNY Notes 2017	RMB 1,000,000,000	2015/11/27	2017/11/26	1,206.70
05567#	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2031B	HKD 10,000,000	2015/11/27	2031/11/14	-
05580#	Skyfame Realty (Holdings) Ltd. 0.10% Bonds 2024B	HKD 10,000,000	2015/11/27	2024/09/12	-
05582	NWD (MTN) Ltd. 4.375% Guaranteed Notes 2022	USD 950,000,000	2015/12/01	2022/11/30	7,365.91
86632	Ministry of Finance of the PRC 3.29% CNY Bonds 2018	RMB 7,000,000,000	2015/12/01	2018/11/30	8,481.90
86633	Ministry of Finance of the PRC 3.40% CNY Bonds 2020	RMB 3,000,000,000	2015/12/01	2020/11/30	3,635.10
86634	Ministry of Finance of the PRC 3.31% CNY Bonds 2025	RMB 1,000,000,000	2015/12/01	2025/11/30	1,211.70
86635	Ministry of Finance of the PRC 4.00% CNY Bonds 2035	RMB 1,000,000,000	2015/12/01	2035/11/30	1,211.70
05584	Baosteel HK Investment Co. Ltd. Zero Coupon Exchangeable Bonds 2018	USD 500,000,000	2015/12/02	2018/12/01	3,900.00
05583	Bank of East Asia, Ltd., The Undated Non-Cumulative Subordinated Additional Tier 1 Capital Securities	USD 650,000,000	2015/12/03	-	5,070.00
05587	GRAM International Ltd. 2.75% Bonds 2020	USD 500,000,000	2015/12/03	2020/11/02	3,878.90
05585	Guangzhou Metro Investment Finance (BVI) Ltd. 2.875% Guar. Notes 2018	USD 400,000,000	2015/12/04	2018/12/03	3,114.32
05586	Guangzhou Metro Investment Finance (BVI) Ltd. 3.375% Guar. Notes 2020	USD 200,000,000	2015/12/04	2020/12/03	1,552.96
05588	Alibaba Group Holding Ltd. Floating Rate Senior Notes 2017C	USD 285,200,000	2015/12/07	2017/11/28	-
05589	Alibaba Group Holding Ltd. 1.625% Senior Notes 2017D	USD 996,658,000	2015/12/07	2017/11/28	-
05590	Alibaba Group Holding Ltd. 2.50% Senior Notes 2019B	USD 2,217,290,000	2015/12/07	2019/11/28	-
05591	Alibaba Group Holding Ltd. 3.125% Senior Notes 2021B	USD 1,473,138,000	2015/12/07	2021/11/28	-
05592	Alibaba Group Holding Ltd. 3.60% Senior Notes 2024B	USD 2,233,431,000	2015/12/07	2024/11/28	-
05593	Alibaba Group Holding Ltd. 4.50% Senior Notes 2034B	USD 697,670,000	2015/12/07	2034/11/28	-

Main Board Debt Securities

Code	Debt securities	Principal	Listing date	Maturity	Amount raised (HK\$ mil.)
05594	eHi Car Services Ltd. 7.50% Senior Notes 2018	USD 200,000,000	2015/12/09	2018/12/08	1,549.74
05595	Hongkong Baorong Development Ltd. 3.625% Notes 2018	USD 500,000,000	2015/12/10	2018/12/09	3,881.91
05596	Unigroup International Holdings Ltd. 5.25% Guar. Bonds 2018	USD 450,000,000	2015/12/11	2018/12/10	3,474.13
05597	Unigroup International Holdings Ltd. 6.00% Guar. Bonds 2020	USD 350,000,000	2015/12/11	2020/12/10	2,686.76
05598	Top Luxury Investment Ltd. 4.99% Guaranteed Bonds 2040	USD 200,000,000	2015/12/18	2040/12/17	1,518.71
05599	Bluestar Finance Holdings Ltd. Senior Guar. Perpetual Sec	USD 500,000,000	2015/12/18	-	3,886.47
86636	Ministry of Finance of the PRC 3.45% CNY Bonds 2017	RMB 2,000,000,000	2015/12/21	2017/12/28	2,391.20
85723	Charming Light Investments Ltd. 5.50% CNY Notes 2025	RMB 2,500,000,000	2015/12/30	2025/12/29	2,972.38
Total					115,190.75

Further issue

Main Board Unit Trusts and Mutual Funds

Unit Trusts and Mutual Funds Trading Statistics

		Exchange Traded Fund (ETF) (HK\$ mil.)	Real Estate Investment Trusts (REITs) (HK\$ mil.)	Others (HK\$ mil.)	Total (HK\$ mil.)	% of market total
2014	Q4	516,125.20	24,602.84	100.91	540,828.95	10.88
2015	Q1	534,465.77	20,224.94	146.89	554,837.60	10.59
	Q2	780,241.86	25,576.54	775.90	806,594.30	8.26
	Q3	568,942.55	22,063.78	92.32	591,098.64	9.32
	Q4	287,309.24	14,162.34	88.40	301,559.98	6.72

Note: Turnover value traded in non-HKD currency securities have been included

Unit Trusts and Mutual Funds Market Value

		No. of issues	Exchange Traded Funds (ETF) (HK\$ mil.)	No. of issues	Real Estate Investment Trusts (REITs) (HK\$ mil.)	No. of issues	Others (HK\$ mil.)	No. of issues	Total (HK\$ mil.)
2014	Q4	122	559,491.12	11	205,636.83	1	1,764.52	134	766,892.47
2015	Q1	126	566,607.94	11	207,324.24	1	2,077.14	138	776,009.32
	Q2	130	565,937.82	11	204,798.09	1	2,635.24	142	773,371.15
	Q3	131	483,672.20	11	187,754.18	1	1,739.34	143	673,165.72
	Q4	133	477,142.97	11	199,652.72	1	2,236.60	145	679,032.29

New Listing Unit Trust and Mutual Funds Statistics

		No. of newly listed unit trust and mutual funds	Funds raised (HK\$ mil.)
2014	Q4	3	–
2015	Q1	5	–
	Q2	4	–
	Q3	1	–
	Q4	4	–

Main Board Unit Trusts and Mutual Funds

Newly Listed Unit Trust and Mutual Funds

for 4th quarter 2015

Code	Unit trust and Mutual funds	Fund manager	Issue price (HK\$)	No. of unit offer	Amount raised (HK\$ mil)	Subscription rate (times)	Listing date
83129/03129	CSOP China CSI 300 Smart ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2015/10/23
03157	ChinaAMC Hang Seng SmallCap Index ETF	China Asset Management (Hong Kong) Limited	n.a.	n.a.	n.a.	n.a.	2015/10/26
03102	XIE Shares CLSA GARY ETF	Enhanced Investment Products Limited	n.a.	n.a.	n.a.	n.a.	2015/11/11
03149/83149	CSOP MSCI China A International ETF	CSOP Asset Management Limited	n.a.	n.a.	n.a.	n.a.	2015/11/26

Main Board Callable Bull/Bear Contracts

Callable Bull/Bear Contracts Trading Statistics

		Number	Market value (HK\$ mil.)	Turnover value (HK\$ mil.)
2014	Q4	1,579	55,419.48	336,442.50
2015	Q1	1,641	51,783.06	379,789.64
	Q2	1,905	84,638.00	433,656.16
	Q3	1,871	104,265.78	520,050.32
	Q4	1,630	56,424.40	503,357.75

New Listings Callable Bull/Bear Contracts Statistics

		No. of newly listed CBBC	Amount raised (HK\$ mil.)
2014	Q4	2,482	122,022.54
2015	Q1	2,287	101,545.07
	Q2	3,066	133,613.20
	Q3	3,799	161,333.51
	Q4	2,061	87,034.75

Main Board Callable Bull/Bear Contracts

Newly Listed Callable Bull/Bear Contracts

for 4th quarter 2015

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69815	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/10/02	2016/11/29	62.50
69757	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/10/02	2016/11/29	62.50
69807	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2015/10/02	2016/11/29	62.50
60005	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Z	250,000,000	2015/10/02	2016/11/29	62.50
69756	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2015/10/02	2016/12/29	62.50
69776	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Z	250,000,000	2015/10/02	2016/12/29	62.50
60010	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 B	200,000,000	2015/10/02	2016/03/30	50.00
60020	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/10/02	2016/03/30	50.00
60009	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/10/02	2016/04/28	50.00
60019	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/10/02	2016/04/28	50.00
60025	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/10/02	2016/04/28	50.00
60178	Credit Suisse AG – HSCEI R Bear CBBC Jan 2016 E	100,000,000	2015/10/02	2016/01/28	25.00
60155	Credit Suisse AG – HSI R Bull CBBC Jun 2016 R	200,000,000	2015/10/02	2016/06/29	50.00
60171	Credit Suisse AG – HSI R Bull CBBC Jun 2016 S	200,000,000	2015/10/02	2016/06/29	50.00
60173	Credit Suisse AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2015/10/02	2016/06/29	50.00
60176	Credit Suisse AG – HSI R Bull CBBC Jun 2016 U	200,000,000	2015/10/02	2016/06/29	50.00
60177	Credit Suisse AG – HSI R Bull CBBC Jun 2016 V	200,000,000	2015/10/02	2016/06/29	50.00
60181	Credit Suisse AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/02	2016/01/28	50.00
60182	Credit Suisse AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/02	2016/01/28	50.00
60183	Credit Suisse AG – HSI R Bear CBBC Jan 2016 H	200,000,000	2015/10/02	2016/01/28	50.00
60186	Credit Suisse AG – HSI R Bear CBBC Jan 2016 I	200,000,000	2015/10/02	2016/01/28	50.00
60187	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/10/02	2016/03/30	50.00
60028	Bank of East Asia – CSOP A50 ETF R Bull CBBC Oct 2016 A	40,000,000	2015/10/02	2016/10/28	10.00
60026	Bank of East Asia – A50 China R Bull CBBC Oct 2016 A	40,000,000	2015/10/02	2016/10/20	10.00
60029	Bank of East Asia – HKEx R Bull CBBC Sep 2016 A	40,000,000	2015/10/02	2016/09/27	10.00
60048	Bank of East Asia – Ping An R Bull CBBC Oct 2016 A	80,000,000	2015/10/02	2016/10/27	20.00
60045	Bank of East Asia – TCH R Bull CBBC Oct 2016 A	40,000,000	2015/10/02	2016/10/26	10.00
60204	J P Morgan SP BV – HSCEI R Bull CBBC Jul 2016 L	100,000,000	2015/10/02	2016/07/28	25.00
60206	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 Q	100,000,000	2015/10/02	2016/04/28	25.00
60262	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 H	200,000,000	2015/10/02	2016/04/28	50.00
60263	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 M	200,000,000	2015/10/02	2016/10/28	50.00
69966	J P Morgan SP BV – HSI R Bull CBBC Aug 2017 A	200,000,000	2015/10/02	2017/08/30	50.00
69933	J P Morgan SP BV – HSI R Bull CBBC Oct 2017 A	200,000,000	2015/10/02	2017/10/30	50.00
69972	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/02	2016/01/28	50.00
69980	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/02	2016/02/26	50.00
69997	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/10/02	2016/03/30	50.00
69999	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/10/02	2016/04/28	50.00
69880	Standard Chartered Bank – HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/10/02	2016/03/30	25.00
69818	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 N	100,000,000	2015/10/02	2016/08/30	25.00
69845	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 O	150,000,000	2015/10/02	2016/08/30	37.50
69846	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 P	100,000,000	2015/10/02	2016/08/30	25.00
69883	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 E	150,000,000	2015/10/02	2016/03/30	37.50
69887	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 F	100,000,000	2015/10/02	2016/03/30	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69888	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 G	100,000,000	2015/10/02	2016/03/30	25.00
69863	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/10/02	2016/07/28	50.00
69865	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 W	200,000,000	2015/10/02	2016/07/28	50.00
69870	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/10/02	2016/07/28	50.00
69889	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Y	200,000,000	2015/10/02	2016/07/28	50.00
69904	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/10/02	2016/05/30	50.00
69911	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 W	200,000,000	2015/10/02	2016/05/30	50.00
69927	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/10/02	2016/05/30	50.00
60097	UBS AG – HSI R Bull CBBC Jun 2016 K	200,000,000	2015/10/02	2016/06/29	50.00
60067	UBS AG – HSI R Bull CBBC Jul 2016 S	200,000,000	2015/10/02	2016/07/28	50.00
60070	UBS AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/10/02	2016/07/28	50.00
60089	UBS AG – HSI R Bull CBBC Aug 2016 F	200,000,000	2015/10/02	2016/08/30	50.00
60096	UBS AG – HSI R Bull CBBC Aug 2016 G	200,000,000	2015/10/02	2016/08/30	50.00
60098	UBS AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/02	2016/01/28	50.00
60145	UBS AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/02	2016/01/28	50.00
60131	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/02	2016/02/26	50.00
60457	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 G	100,000,000	2015/10/05	2016/04/28	25.00
60455	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/10/05	2016/03/30	50.00
60333	Credit Suisse AG – HSI R Bull CBBC May 2016 B	200,000,000	2015/10/05	2016/05/30	50.00
60347	Credit Suisse AG – HSI R Bull CBBC May 2016 C	200,000,000	2015/10/05	2016/05/30	50.00
60439	Credit Suisse AG – HSI R Bull CBBC May 2016 D	200,000,000	2015/10/05	2016/05/30	50.00
60441	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/05	2016/02/26	50.00
60445	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/10/05	2016/02/26	50.00
60443	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/10/05	2016/02/26	50.00
60446	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/10/05	2016/02/26	50.00
60414	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 G	200,000,000	2015/10/05	2016/04/28	50.00
60323	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 R	200,000,000	2015/10/05	2016/04/28	50.00
60413	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 U	200,000,000	2015/10/05	2016/08/30	50.00
60408	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/05	2016/01/28	50.00
60402	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/05	2016/02/26	50.00
60409	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/10/05	2016/03/30	50.00
60386	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 G	40,000,000	2015/10/05	2016/03/30	10.00
60392	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 H	40,000,000	2015/10/05	2016/03/30	10.00
60321	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 Q	100,000,000	2015/10/05	2016/08/30	25.00
60305	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 H	150,000,000	2015/10/05	2016/03/30	37.50
60318	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 I	100,000,000	2015/10/05	2016/03/30	25.00
60265	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 S	200,000,000	2015/10/05	2016/08/30	50.00
60288	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 T	200,000,000	2015/10/05	2016/08/30	50.00
60297	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 U	200,000,000	2015/10/05	2016/08/30	50.00
60385	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/10/05	2016/05/30	50.00
60299	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 G	200,000,000	2015/10/05	2016/06/29	50.00
60375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 H	200,000,000	2015/10/05	2016/06/29	50.00
60416	UBS AG – HSI R Bull CBBC Jun 2016 O	200,000,000	2015/10/05	2016/06/29	50.00
60417	UBS AG – HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/10/05	2016/07/28	50.00
60422	UBS AG – HSI R Bull CBBC Nov 2016 G	200,000,000	2015/10/05	2016/11/29	83.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60420	UBS AG – HSI R Bull CBBC Dec 2016 A	200,000,000	2015/10/05	2016/12/29	73.00
60438	UBS AG – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/05	2016/01/28	50.00
60437	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/05	2016/02/26	50.00
60423	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/05	2016/02/26	50.00
60558	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 N	250,000,000	2015/10/06	2016/11/29	62.50
60517	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 A	250,000,000	2015/10/06	2016/12/29	62.50
60561	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/10/06	2016/04/28	50.00
60582	Credit Suisse AG – HSI R Bull CBBC Jul 2016 F	200,000,000	2015/10/06	2016/07/28	50.00
60599	Credit Suisse AG – HSI R Bull CBBC Jul 2016 G	200,000,000	2015/10/06	2016/07/28	50.00
60562	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/10/06	2016/03/30	50.00
60565	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/10/06	2016/03/30	50.00
60564	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/10/06	2016/03/30	50.00
60569	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/06	2016/03/30	50.00
60563	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/06	2016/03/30	50.00
60537	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 T	200,000,000	2015/10/06	2016/07/28	50.00
60554	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 G	200,000,000	2015/10/06	2016/12/29	50.00
60540	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 W	200,000,000	2015/10/06	2016/01/28	50.00
60547	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/10/06	2016/03/30	50.00
60555	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 R	150,000,000	2015/10/06	2016/08/30	37.50
60529	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 J	150,000,000	2015/10/06	2016/03/30	37.50
60505	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 F	200,000,000	2015/10/06	2016/07/28	50.00
60496	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Z	200,000,000	2015/10/06	2016/07/28	50.00
60482	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 V	200,000,000	2015/10/06	2016/08/30	50.00
60493	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 W	200,000,000	2015/10/06	2016/08/30	50.00
60461	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 M	200,000,000	2015/10/06	2016/09/29	50.00
60485	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 N	200,000,000	2015/10/06	2016/09/29	50.00
60516	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 G	200,000,000	2015/10/06	2016/04/28	50.00
60576	UBS AG – HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/10/06	2016/07/28	50.00
60577	UBS AG – HSI R Bull CBBC Dec 2016 B	200,000,000	2015/10/06	2016/12/29	73.00
60578	UBS AG – HSI R Bull CBBC Dec 2016 C	200,000,000	2015/10/06	2016/12/29	83.00
60579	UBS AG – HSI R Bear CBBC Jan 2016 X	200,000,000	2015/10/06	2016/01/28	50.00
60580	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/10/06	2016/02/26	50.00
60833	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Mar 2016 G	100,000,000	2015/10/07	2016/03/30	25.00
60684	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 U	250,000,000	2015/10/07	2016/11/29	77.50
60691	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 C	250,000,000	2015/10/07	2016/12/29	62.50
60682	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 F	250,000,000	2015/10/07	2016/12/29	90.00
60709	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 G	250,000,000	2015/10/07	2016/12/29	62.50
60711	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 T	250,000,000	2015/10/07	2016/12/29	62.50
60685	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 X	250,000,000	2015/10/07	2016/12/29	70.00
60817	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/10/07	2016/03/30	50.00
60815	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/07	2016/03/30	50.00
60830	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/10/07	2016/03/30	50.00
60837	BNP Paribas Arbit Issu B.V. – Petroch R Bull CBBC Mar 2016 A	60,000,000	2015/10/07	2016/03/31	15.00
60845	Credit Suisse AG – HSI R Bull CBBC Jul 2016 H	200,000,000	2015/10/07	2016/07/28	50.00
60850	Credit Suisse AG – HSI R Bull CBBC Jul 2016 I	200,000,000	2015/10/07	2016/07/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60873	Credit Suisse AG – HSI R Bull CBBC Jul 2016 J	200,000,000	2015/10/07	2016/07/28	50.00
60875	Credit Suisse AG – HSI R Bull CBBC Jul 2016 L	200,000,000	2015/10/07	2016/07/28	50.00
60909	Credit Suisse AG – HSI R Bull CBBC Jul 2016 M	200,000,000	2015/10/07	2016/07/28	50.00
60882	Credit Suisse AG – HSI R Bull CBBC Aug 2016 M	200,000,000	2015/10/07	2016/08/30	50.00
60884	Credit Suisse AG – HSI R Bull CBBC Aug 2016 N	200,000,000	2015/10/07	2016/08/30	50.00
60886	Credit Suisse AG – HSI R Bull CBBC Aug 2016 O	200,000,000	2015/10/07	2016/08/30	50.00
60887	Credit Suisse AG – HSI R Bull CBBC Aug 2016 P	200,000,000	2015/10/07	2016/08/30	50.00
60889	Credit Suisse AG – HSI R Bull CBBC Aug 2016 Q	200,000,000	2015/10/07	2016/08/30	50.00
60890	Credit Suisse AG – HSI R Bull CBBC Sep 2016 P	200,000,000	2015/10/07	2016/09/29	50.00
60892	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Q	200,000,000	2015/10/07	2016/09/29	50.00
60895	Credit Suisse AG – HSI R Bull CBBC Sep 2016 R	200,000,000	2015/10/07	2016/09/29	64.00
60896	Credit Suisse AG – HSI R Bull CBBC Sep 2016 S	200,000,000	2015/10/07	2016/09/29	68.00
60901	Credit Suisse AG – HSI R Bull CBBC Sep 2016 T	200,000,000	2015/10/07	2016/09/29	70.00
60902	Credit Suisse AG – HSI R Bear CBBC Jan 2016 K	200,000,000	2015/10/07	2016/01/28	50.00
60905	Credit Suisse AG – HSI R Bear CBBC Jan 2016 M	200,000,000	2015/10/07	2016/01/28	50.00
60904	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/10/07	2016/02/26	50.00
60907	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/10/07	2016/02/26	50.00
60906	Credit Suisse AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/10/07	2016/03/30	50.00
60671	J P Morgan SP BV – HSCEI R Bull CBBC Jul 2016 M	100,000,000	2015/10/07	2016/07/28	25.00
60838	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 R	100,000,000	2015/10/07	2016/04/28	25.00
60680	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 I	200,000,000	2015/10/07	2016/04/28	50.00
60672	J P Morgan SP BV – HSI R Bull CBBC Jun 2016 G	200,000,000	2015/10/07	2016/06/29	50.00
60678	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 B	200,000,000	2015/10/07	2016/07/28	50.00
60781	J P Morgan SP BV – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/07	2016/01/28	50.00
60784	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 P	200,000,000	2015/10/07	2016/02/26	50.00
60787	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/07	2016/03/30	50.00
60791	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/10/07	2016/04/28	50.00
60799	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/10/07	2016/04/28	50.00
60963	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 G	40,000,000	2015/10/07	2016/03/30	10.00
60964	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 I	40,000,000	2015/10/07	2016/03/30	10.00
60719	Standard Chartered Bank – China Life R Bull CBBC Aug 2016 B	50,000,000	2015/10/07	2016/08/29	12.50
60712	Standard Chartered Bank – HKEx R Bull CBBC Jul 2016 B	50,000,000	2015/10/07	2016/07/11	12.50
60717	Standard Chartered Bank – HKEx R Bear CBBC May 2016 A	50,000,000	2015/10/07	2016/05/30	12.50
60653	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 S	150,000,000	2015/10/07	2016/08/30	37.50
60660	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 T	150,000,000	2015/10/07	2016/08/30	37.50
60663	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 U	150,000,000	2015/10/07	2016/08/30	37.50
60664	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 V	100,000,000	2015/10/07	2016/08/30	25.00
60721	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 K	100,000,000	2015/10/07	2016/03/30	25.00
60722	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 L	150,000,000	2015/10/07	2016/03/30	37.50
60728	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 M	100,000,000	2015/10/07	2016/03/30	25.00
60650	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 W	200,000,000	2015/10/07	2016/06/29	50.00
60649	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 G	200,000,000	2015/10/07	2016/07/28	50.00
60756	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 J	200,000,000	2015/10/07	2016/08/30	50.00
60754	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 K	200,000,000	2015/10/07	2016/08/30	50.00
60751	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 L	200,000,000	2015/10/07	2016/08/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60752	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 M	200,000,000	2015/10/07	2016/08/30	50.00
60647	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 X	200,000,000	2015/10/07	2016/08/30	50.00
60742	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Y	200,000,000	2015/10/07	2016/08/30	50.00
60747	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Z	200,000,000	2015/10/07	2016/08/30	50.00
60638	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 O	200,000,000	2015/10/07	2016/09/29	50.00
60730	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 P	200,000,000	2015/10/07	2016/09/29	52.00
60734	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Q	200,000,000	2015/10/07	2016/09/29	50.00
60735	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 R	200,000,000	2015/10/07	2016/09/29	50.00
60739	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 S	200,000,000	2015/10/07	2016/09/29	50.00
60741	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 T	200,000,000	2015/10/07	2016/09/29	50.00
60765	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 B	200,000,000	2015/10/07	2016/05/30	50.00
60775	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 C	200,000,000	2015/10/07	2016/05/30	50.00
60762	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Z	200,000,000	2015/10/07	2016/05/30	50.00
60758	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 I	200,000,000	2015/10/07	2016/06/29	50.00
60945	UBS AG – HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/10/07	2016/06/29	50.00
60930	UBS AG – HSI R Bull CBBC Aug 2016 H	200,000,000	2015/10/07	2016/08/30	50.00
60931	UBS AG – HSI R Bull CBBC Aug 2016 K	200,000,000	2015/10/07	2016/08/30	50.00
60952	UBS AG – HSI R Bull CBBC Aug 2016 O	200,000,000	2015/10/07	2016/08/30	50.00
60910	UBS AG – HSI R Bull CBBC Sep 2016 P	200,000,000	2015/10/07	2016/09/29	50.00
60923	UBS AG – HSI R Bull CBBC Sep 2016 Q	200,000,000	2015/10/07	2016/09/29	50.00
60954	UBS AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/07	2016/01/28	50.00
60956	UBS AG – HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/10/07	2016/01/28	50.00
60955	UBS AG – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/10/07	2016/02/26	50.00
60959	UBS AG – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/10/07	2016/03/30	50.00
60962	UBS AG – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/10/07	2016/03/30	50.00
61036	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 V	250,000,000	2015/10/08	2016/11/29	62.50
61008	Credit Suisse AG – HSI R Bull CBBC Jun 2016 B	200,000,000	2015/10/08	2016/06/29	50.00
61002	Credit Suisse AG – HSI R Bull CBBC Jun 2016 W	200,000,000	2015/10/08	2016/06/29	50.00
61004	Credit Suisse AG – HSI R Bull CBBC Jun 2016 X	200,000,000	2015/10/08	2016/06/29	50.00
61006	Credit Suisse AG – HSI R Bull CBBC Jun 2016 Y	200,000,000	2015/10/08	2016/06/29	50.00
61001	Credit Suisse AG – HSI R Bull CBBC Dec 2016 F	200,000,000	2015/10/08	2016/12/29	90.00
60987	Credit Suisse AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/10/08	2016/04/28	50.00
61000	Credit Suisse AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/10/08	2016/04/28	50.00
60983	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/10/08	2016/04/28	50.00
60992	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/10/08	2016/04/28	50.00
61038	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 M	200,000,000	2015/10/08	2016/07/28	50.00
61044	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 V	200,000,000	2015/10/08	2016/08/30	50.00
61045	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/10/08	2016/11/29	50.00
60965	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/08	2016/02/26	50.00
60966	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/10/08	2016/03/30	50.00
61050	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/10/08	2016/04/28	50.00
60971	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 W	100,000,000	2015/10/08	2016/08/30	25.00
60982	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 X	150,000,000	2015/10/08	2016/08/30	37.50
60969	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 N	150,000,000	2015/10/08	2016/03/30	37.50
60970	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 O	150,000,000	2015/10/08	2016/03/30	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60978	Standard Chartered Bank – Ping An R Bear CBBC Apr 2016 A	50,000,000	2015/10/08	2016/04/07	12.50
60973	Standard Chartered Bank – TCH R Bear CBBC Jun 2016 B	50,000,000	2015/10/08	2016/06/13	12.50
61026	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 H	200,000,000	2015/10/08	2016/07/28	50.00
61028	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 I	200,000,000	2015/10/08	2016/07/28	50.00
61029	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 J	200,000,000	2015/10/08	2016/06/29	50.00
61030	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 K	200,000,000	2015/10/08	2016/06/29	50.00
61019	UBS AG – HSI R Bull CBBC Jun 2016 P	200,000,000	2015/10/08	2016/06/29	50.00
61018	UBS AG – HSI R Bull CBBC Jun 2016 R	200,000,000	2015/10/08	2016/06/29	50.00
61020	UBS AG – HSI R Bull CBBC Aug 2016 L	200,000,000	2015/10/08	2016/08/30	50.00
61011	UBS AG – HSI R Bear CBBC Jan 2016 A	200,000,000	2015/10/08	2016/01/28	50.00
61014	UBS AG – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/10/08	2016/02/26	50.00
61296	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 G	100,000,000	2015/10/09	2016/11/29	25.00
61254	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 F	250,000,000	2015/10/09	2016/11/29	62.50
61420	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 L	250,000,000	2015/10/09	2016/11/29	62.50
61272	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 T	250,000,000	2015/10/09	2016/11/29	62.50
61290	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 K	250,000,000	2015/10/09	2016/12/29	62.50
61266	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 U	250,000,000	2015/10/09	2016/12/29	62.50
61052	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/10/09	2016/03/30	50.00
61294	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/10/09	2016/03/30	50.00
61051	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/10/09	2016/04/28	50.00
61297	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Sep 2016 C	50,000,000	2015/10/09	2016/09/30	12.50
61399	Credit Suisse AG – HSI R Bull CBBC Apr 2016 D	200,000,000	2015/10/09	2016/04/28	50.00
61401	Credit Suisse AG – HSI R Bull CBBC Apr 2016 E	200,000,000	2015/10/09	2016/04/28	50.00
61388	Credit Suisse AG – HSI R Bull CBBC Apr 2016 L	200,000,000	2015/10/09	2016/04/28	50.00
61412	Credit Suisse AG – HSI R Bull CBBC Apr 2016 M	200,000,000	2015/10/09	2016/04/28	50.00
61397	Credit Suisse AG – HSI R Bull CBBC Apr 2016 Q	200,000,000	2015/10/09	2016/04/28	50.00
61414	Credit Suisse AG – HSI R Bull CBBC Apr 2016 S	200,000,000	2015/10/09	2016/04/28	50.00
61182	Credit Suisse AG – HSI R Bear CBBC Jan 2016 H	200,000,000	2015/10/09	2016/01/28	50.00
61167	Credit Suisse AG – HSI R Bear CBBC Jan 2016 T	200,000,000	2015/10/09	2016/01/28	50.00
61177	Credit Suisse AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/09	2016/01/28	50.00
61181	Credit Suisse AG – HSI R Bear CBBC Jan 2016 W	200,000,000	2015/10/09	2016/01/28	50.00
61222	HK Bank – HSCEI R Bull CBBC Sep 2016 G	100,000,000	2015/10/09	2016/09/29	25.00
61200	HK Bank – HSI R Bull CBBC Sep 2016 P	150,000,000	2015/10/09	2016/09/29	37.50
61218	HK Bank – HSI R Bull CBBC Oct 2016 K	150,000,000	2015/10/09	2016/10/28	37.50
61219	HK Bank – HSI R Bull CBBC Nov 2016 K	150,000,000	2015/10/09	2016/11/29	37.50
61217	HK Bank – HSI R Bear CBBC May 2016 T	100,000,000	2015/10/09	2016/05/30	25.00
61337	J P Morgan SP BV – HSI R Bull CBBC Apr 2016 N	200,000,000	2015/10/09	2016/04/28	50.00
61342	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 U	200,000,000	2015/10/09	2016/07/28	50.00
61361	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 W	200,000,000	2015/10/09	2016/08/30	50.00
61352	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/10/09	2016/10/28	50.00
61065	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/10/09	2016/02/26	50.00
61069	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/10/09	2016/03/30	50.00
61362	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	200,000,000	2015/10/09	2016/04/28	50.00
61064	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 H	40,000,000	2015/10/09	2016/03/30	10.00
61070	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 I	40,000,000	2015/10/09	2016/03/30	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61109	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 J	40,000,000	2015/10/09	2016/03/30	10.20
61114	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 J	40,000,000	2015/10/09	2016/03/30	10.00
61244	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 A	100,000,000	2015/10/09	2016/08/30	25.00
61228	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 Y	150,000,000	2015/10/09	2016/08/30	37.50
61238	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 Z	100,000,000	2015/10/09	2016/08/30	25.00
61118	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 N	200,000,000	2015/10/09	2016/08/30	50.00
61141	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 O	200,000,000	2015/10/09	2016/08/30	50.00
61116	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 U	200,000,000	2015/10/09	2016/09/29	50.00
61053	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 D	200,000,000	2015/10/09	2016/05/30	50.00
61057	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/10/09	2016/05/30	50.00
61062	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 L	200,000,000	2015/10/09	2016/06/29	50.00
61335	UBS AG – HSCEI R Bull CBBC Sep 2016 C	200,000,000	2015/10/09	2016/09/29	50.00
61306	UBS AG – HSI R Bull CBBC Jul 2016 J	200,000,000	2015/10/09	2016/07/28	50.00
61317	UBS AG – HSI R Bull CBBC Aug 2016 U	200,000,000	2015/10/09	2016/08/30	50.00
61301	UBS AG – HSI R Bear CBBC Jan 2016 C	200,000,000	2015/10/09	2016/01/28	50.00
61302	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/09	2016/02/26	50.00
66786 [#]	HK Bank – Sands China R Bull CBBC Sep 2016 A	238,000,000	2015/10/09	2016/09/26	11.90
61943	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 G	100,000,000	2015/10/12	2016/12/29	25.00
61865	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 G	250,000,000	2015/10/12	2016/11/29	62.50
61900	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 I	250,000,000	2015/10/12	2016/11/29	62.50
61896	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 J	250,000,000	2015/10/12	2016/12/29	62.50
61852	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 S	250,000,000	2015/10/12	2016/12/29	62.50
61526	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 B	200,000,000	2015/10/12	2016/03/30	50.00
61528	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/10/12	2016/03/30	50.00
61527	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/10/12	2016/04/28	50.00
61944	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 C	68,000,000	2015/10/12	2016/12/30	17.00
62098	Credit Suisse AG – BOCL R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
62023	Credit Suisse AG – China Mobile R Bull CBBC Apr 2016 A	70,000,000	2015/10/12	2016/04/29	17.50
62044	Credit Suisse AG – CCB R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
62123	Credit Suisse AG – CRRC R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
61989	Credit Suisse AG – A50 China R Bull CBBC May 2016 B	100,000,000	2015/10/12	2016/05/31	25.00
62006	Credit Suisse AG – HKEx R Bull CBBC May 2016 B	100,000,000	2015/10/12	2016/05/31	25.00
61982	Credit Suisse AG – HSCEI R Bull CBBC May 2016 D	100,000,000	2015/10/12	2016/05/30	25.00
61983	Credit Suisse AG – HSCEI R Bull CBBC May 2016 E	100,000,000	2015/10/12	2016/05/30	25.00
62183	Credit Suisse AG – HSI R Bull CBBC Jun 2016 C	200,000,000	2015/10/12	2016/06/29	50.00
62184	Credit Suisse AG – HSI R Bull CBBC Jun 2016 D	200,000,000	2015/10/12	2016/06/29	50.00
62221	Credit Suisse AG – HSI R Bull CBBC Jun 2016 E	200,000,000	2015/10/12	2016/06/29	50.00
62243	Credit Suisse AG – HSI R Bull CBBC Jun 2016 F	200,000,000	2015/10/12	2016/06/29	50.00
62149	Credit Suisse AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/12	2016/01/28	50.00
62172	Credit Suisse AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/12	2016/01/28	50.00
62140	Credit Suisse AG – HSI R Bear CBBC Jan 2016 I	200,000,000	2015/10/12	2016/01/28	50.00
62078	Credit Suisse AG – ICBC R Bull CBBC Jul 2016 A	70,000,000	2015/10/12	2016/07/29	17.50
61998	Credit Suisse AG – Ping An R Bull CBBC Aug 2016 A	100,000,000	2015/10/12	2016/08/31	25.00
62022	Credit Suisse AG – TCH R Bull CBBC Apr 2016 B	100,000,000	2015/10/12	2016/04/29	25.00
61488	HK Bank – AIA R Bull CBBC Oct 2016 C	60,000,000	2015/10/12	2016/10/31	22.80

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61518	HK Bank – BOCL R Bull CBBC Dec 2016 C	100,000,000	2015/10/12	2016/12/05	25.00
61481	HK Bank – China Mobile R Bull CBBC Oct 2016 E	120,000,000	2015/10/12	2016/10/31	30.00
61492	HK Bank – China Life R Bull CBBC Sep 2016 C	60,000,000	2015/10/12	2016/09/19	16.20
61496	HK Bank – CNOOC R Bull CBBC Dec 2016 E	60,000,000	2015/10/12	2016/12/05	15.00
61475	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 B	80,000,000	2015/10/12	2016/10/31	20.00
61460	HK Bank – HKEx R Bull CBBC Sep 2016 G	100,000,000	2015/10/12	2016/09/30	25.00
61472	HK Bank – HKEx R Bull CBBC Nov 2016 D	100,000,000	2015/10/12	2016/11/14	25.00
61512	HK Bank – HSCEI R Bear CBBC Oct 2016 B	68,000,000	2015/10/12	2016/10/28	17.00
61513	HK Bank – HSI R Bull CBBC Oct 2016 L	150,000,000	2015/10/12	2016/10/28	37.50
61494	HK Bank – HSI R Bull CBBC Feb 2017 A	400,000,000	2015/10/12	2017/02/27	100.00
61490	HK Bank – PetCh R Bull CBBC Dec 2016 E	60,000,000	2015/10/12	2016/12/05	15.00
61517	HK Bank – Ping An R Bull CBBC Nov 2016 K	188,000,000	2015/10/12	2016/11/14	47.00
61514	HK Bank – Ping An R Bull CBBC Dec 2016 D	188,000,000	2015/10/12	2016/12/30	47.00
61445	HK Bank – TCH R Bull CBBC Jun 2016 H	100,000,000	2015/10/12	2016/06/27	25.00
61446	HK Bank – TCH R Bull CBBC Jul 2016 M	100,000,000	2015/10/12	2016/07/11	25.00
61458	HK Bank – TCH R Bull CBBC Aug 2016 K	100,000,000	2015/10/12	2016/08/08	25.00
62244	J P Morgan SP BV – HSI R Bull CBBC Jun 2016 I	200,000,000	2015/10/12	2016/06/29	50.00
62245	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/10/12	2016/07/28	50.00
62271	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 X	200,000,000	2015/10/12	2016/08/30	50.00
62273	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 L	200,000,000	2015/10/12	2016/09/29	50.00
61530	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/12	2016/02/26	50.00
61531	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/10/12	2016/03/30	50.00
62274	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/10/12	2016/03/30	50.00
61532	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 K	40,000,000	2015/10/12	2016/03/30	10.00
61536	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 L	40,000,000	2015/10/12	2016/03/30	10.00
61520	Standard Chartered Bank – HSCEI R Bull CBBC Aug 2016 B	100,000,000	2015/10/12	2016/08/30	25.00
61573	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 B	100,000,000	2015/10/12	2016/08/30	25.00
61577	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 C	100,000,000	2015/10/12	2016/08/30	25.00
61604	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 M	150,000,000	2015/10/12	2016/08/30	37.50
61521	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 P	100,000,000	2015/10/12	2016/03/30	25.00
61523	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 Q	150,000,000	2015/10/12	2016/03/30	37.50
61524	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 R	150,000,000	2015/10/12	2016/03/30	37.50
61559	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 P	200,000,000	2015/10/12	2016/08/30	50.00
61569	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Q	200,000,000	2015/10/12	2016/08/30	50.00
61547	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 V	200,000,000	2015/10/12	2016/09/29	50.00
61568	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 W	200,000,000	2015/10/12	2016/09/29	50.00
61425	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2015/10/12	2016/05/30	50.00
61433	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2015/10/12	2016/05/30	50.00
61437	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/10/12	2016/05/30	50.00
61434	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 M	200,000,000	2015/10/12	2016/06/29	50.00
61439	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 N	200,000,000	2015/10/12	2016/06/29	50.00
61748	UBS AG – HSI R Bull CBBC Jun 2016 D	200,000,000	2015/10/12	2016/06/29	50.00
61646	UBS AG – HSI R Bull CBBC Jun 2016 U	200,000,000	2015/10/12	2016/06/29	50.00
61649	UBS AG – HSI R Bull CBBC Jun 2016 V	200,000,000	2015/10/12	2016/06/29	50.00
61720	UBS AG – HSI R Bull CBBC Jul 2016 A	200,000,000	2015/10/12	2016/07/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61636	UBS AG – HSI R Bull CBBC Jul 2016 K	200,000,000	2015/10/12	2016/07/28	50.00
61706	UBS AG – HSI R Bull CBBC Jul 2016 M	200,000,000	2015/10/12	2016/07/28	50.00
61650	UBS AG – HSI R Bull CBBC Sep 2016 R	200,000,000	2015/10/12	2016/09/29	50.00
61747	UBS AG – HSI R Bull CBBC Sep 2016 S	200,000,000	2015/10/12	2016/09/29	50.00
61608	UBS AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/12	2016/01/28	50.00
61610	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/12	2016/02/26	50.00
61612	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/10/12	2016/02/26	50.00
62626	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/10/13	2016/03/30	50.00
62615	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/10/13	2016/04/28	50.00
62867	Credit Suisse AG – HSI R Bull CBBC May 2016 L	200,000,000	2015/10/13	2016/05/30	50.00
62871	Credit Suisse AG – HSI R Bull CBBC May 2016 N	200,000,000	2015/10/13	2016/05/30	50.00
62874	Credit Suisse AG – HSI R Bull CBBC May 2016 O	200,000,000	2015/10/13	2016/05/30	50.00
63192	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/10/13	2016/02/26	50.00
63210	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/13	2016/02/26	50.00
63234	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/10/13	2016/02/26	50.00
62355	HK Bank – COVS R Bull CBBC Nov 2016 A	50,000,000	2015/10/13	2016/11/28	21.00
62444	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 G	100,000,000	2015/10/13	2016/08/15	25.00
62351	HK Bank – HS H-SH ETF R Bull CBBC Jul 2016 B	100,000,000	2015/10/13	2016/07/28	25.00
62414	HK Bank – HSCEI R Bull CBBC Aug 2016 I	100,000,000	2015/10/13	2016/08/30	25.00
62408	HK Bank – HSI R Bull CBBC Jul 2016 W	150,000,000	2015/10/13	2016/07/28	37.50
62399	HK Bank – HSI R Bull CBBC Aug 2016 N	150,000,000	2015/10/13	2016/08/30	37.50
62405	HK Bank – HSI R Bull CBBC Aug 2016 O	150,000,000	2015/10/13	2016/08/30	37.50
62394	HK Bank – HSI R Bull CBBC Sep 2016 Q	150,000,000	2015/10/13	2016/09/29	37.50
62301	HK Bank – TCH R Bull CBBC Jul 2016 N	100,000,000	2015/10/13	2016/07/25	25.00
62339	HK Bank – TCH R Bull CBBC Sep 2016 D	100,000,000	2015/10/13	2016/09/26	25.00
62722	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2016 D	100,000,000	2015/10/13	2016/09/29	25.00
62725	J P Morgan SP BV – HSI R Bull CBBC Jun 2016 J	200,000,000	2015/10/13	2016/06/29	50.00
62754	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 C	200,000,000	2015/10/13	2016/07/28	50.00
62765	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 M	200,000,000	2015/10/13	2016/09/29	50.00
62776	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 H	200,000,000	2015/10/13	2016/12/29	50.00
62778	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/10/13	2016/02/26	50.00
62780	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/10/13	2016/04/28	50.00
62684	Standard Chartered Bank – HKEx R Bull CBBC Jul 2016 C	50,000,000	2015/10/13	2016/07/18	12.50
62809	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 A	150,000,000	2015/10/13	2016/09/29	37.50
62810	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 B	100,000,000	2015/10/13	2016/09/29	25.00
62710	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 S	100,000,000	2015/10/13	2016/03/30	25.00
62691	Standard Chartered Bank – Ping An R Bull CBBC Aug 2016 C	50,000,000	2015/10/13	2016/08/29	12.50
62709	Standard Chartered Bank – Ping An R Bear CBBC Apr 2016 B	50,000,000	2015/10/13	2016/04/11	12.50
62679	Standard Chartered Bank – TCH R Bull CBBC Jun 2016 B	50,000,000	2015/10/13	2016/06/30	12.50
62682	Standard Chartered Bank – TCH R Bear CBBC Jun 2016 C	50,000,000	2015/10/13	2016/06/20	12.50
62627	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 X	200,000,000	2015/10/13	2016/09/29	50.00
62633	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Y	200,000,000	2015/10/13	2016/09/29	50.00
62671	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2015/10/13	2016/05/30	50.00
62677	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 O	200,000,000	2015/10/13	2016/06/29	50.00
62905	UBS AG – CAM CSI300 R Bull CBBC Aug 2016 B	100,000,000	2015/10/13	2016/08/22	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62956	UBS AG – China Mobile R Bull CBBC Jun 2016 A	50,000,000	2015/10/13	2016/06/20	12.50
62961	UBS AG – China Mobile R Bear CBBC Apr 2016 B	50,000,000	2015/10/13	2016/04/25	12.50
62963	UBS AG – A50 China R Bull CBBC Jun 2016 B	50,000,000	2015/10/13	2016/06/20	12.50
63079	UBS AG – HSCEI R Bull CBBC Aug 2016 A	200,000,000	2015/10/13	2016/08/30	50.00
63068	UBS AG – HSI R Bull CBBC Jun 2016 W	200,000,000	2015/10/13	2016/06/29	50.00
62964	UBS AG – HSI R Bull CBBC Jun 2016 X	200,000,000	2015/10/13	2016/06/29	50.00
63074	UBS AG – HSI R Bull CBBC Jul 2016 B	200,000,000	2015/10/13	2016/07/28	50.00
63047	UBS AG – HSI R Bull CBBC Jul 2016 H	200,000,000	2015/10/13	2016/07/28	50.00
62991	UBS AG – HSI R Bull CBBC Aug 2016 W	200,000,000	2015/10/13	2016/08/30	50.00
62892	UBS AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/13	2016/01/28	50.00
63093	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/10/13	2016/02/26	50.00
62929	UBS AG – TCH R Bull CBBC Jun 2016 C	50,000,000	2015/10/13	2016/06/13	12.50
62954	UBS AG – Tencent R Bear CBBC Apr 2016 B	50,000,000	2015/10/13	2016/04/25	12.50
60653 [#]	Standard Chartered Bank – HSI R Bull CBBC Aug 2016 S	150,000,000	2015/10/13	2016/08/30	10.05
63476	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Mar16H	100,000,000	2015/10/14	2016/03/31	25.00
63490	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Mar 2016 G	100,000,000	2015/10/14	2016/03/31	25.00
63448	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 H	100,000,000	2015/10/14	2016/11/29	25.00
63978	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 H	100,000,000	2015/10/14	2016/12/29	25.00
63936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 M	250,000,000	2015/10/14	2016/11/29	62.50
63939	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 O	250,000,000	2015/10/14	2016/11/29	62.50
63974	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/10/14	2016/11/29	62.50
63423	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 N	250,000,000	2015/10/14	2016/12/29	62.50
63938	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 R	250,000,000	2015/10/14	2016/12/29	62.50
63973	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Y	250,000,000	2015/10/14	2016/12/29	62.50
63432	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/10/14	2016/03/30	50.00
63734	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/14	2016/03/30	50.00
63433	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/10/14	2016/04/28	50.00
63431	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/10/14	2016/04/28	50.00
63503	BNP Paribas Arbit Issu B.V. – Petroch R Bull CBBC Mar 2016 B	60,000,000	2015/10/14	2016/03/31	15.00
63869	Credit Suisse AG – HSCEI R Bull CBBC Jun 2016 C	100,000,000	2015/10/14	2016/06/29	25.00
63865	Credit Suisse AG – HSCEI R Bull CBBC Jul 2016 C	100,000,000	2015/10/14	2016/07/28	25.00
63848	Credit Suisse AG – HSI R Bull CBBC Jul 2016 N	200,000,000	2015/10/14	2016/07/28	50.00
63818	Credit Suisse AG – HSI R Bull CBBC Jul 2016 O	200,000,000	2015/10/14	2016/07/28	50.00
63821	Credit Suisse AG – HSI R Bull CBBC Jul 2016 P	200,000,000	2015/10/14	2016/07/28	50.00
63826	Credit Suisse AG – HSI R Bull CBBC Jul 2016 Q	200,000,000	2015/10/14	2016/07/28	50.00
63824	Credit Suisse AG – HSI R Bull CBBC Jul 2016 R	200,000,000	2015/10/14	2016/07/28	50.00
63825	Credit Suisse AG – HSI R Bull CBBC Jul 2016 S	200,000,000	2015/10/14	2016/07/28	50.00
63724	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/10/14	2016/03/30	50.00
63708	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/10/14	2016/03/30	50.00
63709	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/10/14	2016/03/30	50.00
63719	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/10/14	2016/03/30	50.00
63722	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/10/14	2016/03/30	50.00
63291	HK Bank – CM Bank R Bull CBBC Oct 2016 C	40,000,000	2015/10/14	2016/10/31	11.20
63290	HK Bank – CNOOC R Bull CBBC Nov 2016 D	60,000,000	2015/10/14	2016/11/21	15.00
63287	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 C	80,000,000	2015/10/14	2016/10/24	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63289	HK Bank – HKE X R Bull CBBC Sep 2016 H	100,000,000	2015/10/14	2016/09/23	25.00
63564	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2016 E	100,000,000	2015/10/14	2016/09/29	25.00
63571	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 S	100,000,000	2015/10/14	2016/04/28	25.00
63979	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 W	200,000,000	2015/10/14	2016/07/28	50.00
63985	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/10/14	2016/08/30	50.00
64001	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 N	200,000,000	2015/10/14	2016/09/29	50.00
64012	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/10/14	2016/10/28	50.00
64026	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/10/14	2016/11/29	50.00
63655	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/10/14	2016/02/26	50.00
63377	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/10/14	2016/02/26	50.00
64027	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/10/14	2016/02/26	50.00
64034	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/10/14	2016/03/30	50.00
64035	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/10/14	2016/04/28	50.00
63677	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 M	40,000,000	2015/10/14	2016/03/30	10.00
63688	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 N	40,000,000	2015/10/14	2016/03/30	10.20
63689	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 K	40,000,000	2015/10/14	2016/03/30	10.00
63285	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 C	150,000,000	2015/10/14	2016/09/29	37.50
63787	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 D	150,000,000	2015/10/14	2016/09/29	37.50
63788	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 E	150,000,000	2015/10/14	2016/09/29	37.50
63797	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 F	150,000,000	2015/10/14	2016/09/29	37.50
63262	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 T	150,000,000	2015/10/14	2016/03/30	37.50
63418	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 U	150,000,000	2015/10/14	2016/03/30	37.50
63551	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 V	150,000,000	2015/10/14	2016/03/30	37.50
63701	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Q	200,000,000	2015/10/14	2016/07/28	50.00
63800	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/10/14	2016/07/28	50.00
63306	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 R	200,000,000	2015/10/14	2016/08/30	50.00
63705	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 J	200,000,000	2015/10/14	2016/09/29	50.00
63799	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2015/10/14	2016/09/29	50.00
63816	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/10/14	2016/09/29	50.00
63292	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Z	200,000,000	2015/10/14	2016/09/29	50.00
63350	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 L	200,000,000	2015/10/14	2016/05/30	50.00
63333	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 P	200,000,000	2015/10/14	2016/06/29	50.00
63894	UBS AG – HSCEI R Bull CBBC Jul 2016 A	200,000,000	2015/10/14	2016/07/28	50.00
63911	UBS AG – HSCEI R Bear CBBC Jan 2016 B	100,000,000	2015/10/14	2016/01/28	25.00
63920	UBS AG – HSI R Bull CBBC Jul 2016 D	200,000,000	2015/10/14	2016/07/28	50.00
63916	UBS AG – HSI R Bull CBBC Aug 2016 P	200,000,000	2015/10/14	2016/08/30	50.00
63929	UBS AG – HSI R Bull CBBC Aug 2016 V	200,000,000	2015/10/14	2016/08/30	50.00
63913	UBS AG – HSI R Bull CBBC Sep 2016 T	200,000,000	2015/10/14	2016/09/29	50.00
63919	UBS AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/10/14	2016/09/29	50.00
63924	UBS AG – HSI R Bull CBBC Sep 2016 V	200,000,000	2015/10/14	2016/09/29	50.00
63878	UBS AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/14	2016/01/28	50.00
63910	UBS AG – HSI R Bear CBBC Jan 2016 X	200,000,000	2015/10/14	2016/01/28	50.00
63901	UBS AG – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/14	2016/01/28	50.00
63880	UBS AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/10/14	2016/02/26	50.00
64284	BNP Paribas Arbit Issu B.V. – HKE X R Bull CBBC Sep 2016 B	40,000,000	2015/10/15	2016/09/30	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64283	BNP Paribas Arbit Issu B.V. – HS H ETF R Bull CBBC Mar 2016A	60,000,000	2015/10/15	2016/03/31	15.00
64285	Credit Suisse AG – HSI R Bull CBBC Aug 2016 R	200,000,000	2015/10/15	2016/08/30	50.00
64287	Credit Suisse AG – HSI R Bull CBBC Aug 2016 S	200,000,000	2015/10/15	2016/08/30	50.00
64288	Credit Suisse AG – HSI R Bull CBBC Aug 2016 T	200,000,000	2015/10/15	2016/08/30	50.00
64297	Credit Suisse AG – HSI R Bull CBBC Aug 2016 U	200,000,000	2015/10/15	2016/08/30	50.00
64316	Credit Suisse AG – HSI R Bull CBBC Aug 2016 V	200,000,000	2015/10/15	2016/08/30	50.00
64317	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/10/15	2016/02/26	50.00
64322	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/10/15	2016/02/26	50.00
64157	HK Bank – China Life R Bull CBBC Sep 2016 D	60,000,000	2015/10/15	2016/09/19	15.00
64066	HK Bank – CNOOC R Bull CBBC Nov 2016 E	60,000,000	2015/10/15	2016/11/21	15.00
64111	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 C	80,000,000	2015/10/15	2016/11/21	20.00
64171	HK Bank – A50 R Bull CBBC Dec 2016 C	100,000,000	2015/10/15	2016/12/28	25.00
64052	HK Bank – HKEx R Bull CBBC Oct 2016 K	100,000,000	2015/10/15	2016/10/17	25.00
64043	HK Bank – HSCEI R Bull CBBC Aug 2016 J	100,000,000	2015/10/15	2016/08/30	25.00
64040	HK Bank – HSCEI R Bull CBBC Oct 2016 H	100,000,000	2015/10/15	2016/10/28	25.00
64036	HK Bank – HSI R Bull CBBC Sep 2016 R	150,000,000	2015/10/15	2016/09/29	37.50
64038	HK Bank – HSI R Bull CBBC Oct 2016 M	150,000,000	2015/10/15	2016/10/28	37.50
64057	HK Bank – PetCh R Bull CBBC Nov 2016 C	60,000,000	2015/10/15	2016/11/28	15.00
64051	HK Bank – TCH R Bull CBBC Sep 2016 E	100,000,000	2015/10/15	2016/09/12	25.00
64219	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/10/15	2016/08/30	50.00
64236	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 O	200,000,000	2015/10/15	2016/09/29	50.00
64242	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/10/15	2016/10/28	50.00
64247	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/10/15	2016/11/29	50.00
64324	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/10/15	2016/03/30	50.00
64328	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/10/15	2016/04/28	50.00
64209	Standard Chartered Bank – HSCEI R Bull CBBC Sep 2016 A	100,000,000	2015/10/15	2016/09/29	25.00
64195	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 G	150,000,000	2015/10/15	2016/09/29	37.50
64208	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 H	150,000,000	2015/10/15	2016/09/29	37.50
64282	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 W	150,000,000	2015/10/15	2016/03/30	37.50
64178	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 X	200,000,000	2015/10/15	2016/06/29	50.00
64182	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Y	200,000,000	2015/10/15	2016/06/29	50.00
64186	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 O	200,000,000	2015/10/15	2016/07/28	50.00
64181	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 P	200,000,000	2015/10/15	2016/07/28	50.00
64192	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 J	200,000,000	2015/10/15	2016/05/30	50.00
64187	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 M	200,000,000	2015/10/15	2016/05/30	50.00
64189	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 N	200,000,000	2015/10/15	2016/05/30	50.00
64193	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 O	200,000,000	2015/10/15	2016/05/30	50.00
64194	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 P	200,000,000	2015/10/15	2016/05/30	50.00
64329	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Q	200,000,000	2015/10/15	2016/05/30	50.00
64274	UBS AG – HSCEI R Bear CBBC Feb 2016 A	100,000,000	2015/10/15	2016/02/26	25.00
64260	UBS AG – HSI R Bull CBBC Jun 2016 H	200,000,000	2015/10/15	2016/06/29	50.00
64249	UBS AG – HSI R Bull CBBC Jun 2016 I	200,000,000	2015/10/15	2016/06/29	50.00
64269	UBS AG – HSI R Bull CBBC Aug 2016 X	200,000,000	2015/10/15	2016/08/30	50.00
64259	UBS AG – HSI R Bull CBBC Sep 2016 W	200,000,000	2015/10/15	2016/09/29	50.00
64266	UBS AG – HSI R Bull CBBC Sep 2016 X	200,000,000	2015/10/15	2016/09/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64276	UBS AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/15	2016/01/28	50.00
64275	UBS AG – Ping An R Bull CBBC Jul 2016 A	100,000,000	2015/10/15	2016/07/11	25.00
64451	Credit Suisse AG – HSI R Bull CBBC Jun 2016 G	200,000,000	2015/10/16	2016/06/29	50.00
64457	Credit Suisse AG – HSI R Bull CBBC Jun 2016 L	200,000,000	2015/10/16	2016/06/29	50.00
64458	Credit Suisse AG – HSI R Bull CBBC Jun 2016 M	200,000,000	2015/10/16	2016/06/29	50.00
64455	Credit Suisse AG – HSI R Bull CBBC Jun 2016 O	200,000,000	2015/10/16	2016/06/29	50.00
64452	Credit Suisse AG – HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/10/16	2016/06/29	50.00
64395	Credit Suisse AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/16	2016/01/28	50.00
64394	Credit Suisse AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/16	2016/01/28	50.00
64397	Credit Suisse AG – HSI R Bear CBBC Jan 2016 K	200,000,000	2015/10/16	2016/01/28	50.00
64471	J P Morgan SP BV – HSCEI R Bull CBBC Nov 2016 B	100,000,000	2015/10/16	2016/11/29	25.00
64472	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 T	100,000,000	2015/10/16	2016/04/28	25.00
64481	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/10/16	2016/07/28	50.00
64482	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 A	200,000,000	2015/10/16	2016/08/30	50.00
64464	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 P	200,000,000	2015/10/16	2016/09/29	50.00
64475	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/10/16	2016/10/28	50.00
64379	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/10/16	2016/02/26	50.00
64380	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/10/16	2016/04/28	50.00
64484	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/10/16	2016/04/28	50.00
64459	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 O	40,000,000	2015/10/16	2016/03/30	10.00
64343	Standard Chartered Bank – A50 China R Bull CBBC Jul 2016 C	50,000,000	2015/10/16	2016/07/04	12.50
64330	Standard Chartered Bank – HKEx R Bull CBBC Jul 2016 D	50,000,000	2015/10/16	2016/07/25	12.50
64340	Standard Chartered Bank – HKEx R Bear CBBC Mar 2016 E	50,000,000	2015/10/16	2016/03/14	12.50
64381	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 I	150,000,000	2015/10/16	2016/09/29	37.50
64383	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 J	150,000,000	2015/10/16	2016/09/29	37.50
64345	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 X	150,000,000	2015/10/16	2016/03/30	37.50
64390	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 B	200,000,000	2015/10/16	2016/06/29	50.00
64389	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Z	200,000,000	2015/10/16	2016/06/29	50.00
64384	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 S	200,000,000	2015/10/16	2016/07/28	50.00
64388	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 T	200,000,000	2015/10/16	2016/07/28	50.00
64392	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 U	200,000,000	2015/10/16	2016/07/28	50.00
64393	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/10/16	2016/07/28	50.00
64378	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 R	200,000,000	2015/10/16	2016/05/30	50.00
64369	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/10/16	2016/05/30	50.00
64375	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 W	200,000,000	2015/10/16	2016/05/30	50.00
64356	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Q	200,000,000	2015/10/16	2016/06/29	50.00
64360	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2015/10/16	2016/06/29	50.00
64361	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2015/10/16	2016/06/29	50.00
64362	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 T	200,000,000	2015/10/16	2016/06/29	50.00
64364	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 U	200,000,000	2015/10/16	2016/06/29	50.00
64546	UBS AG – CSOP A50 ETF R Bull CBBC May 2016 B	50,000,000	2015/10/16	2016/05/23	12.50
64535	UBS AG – HSI R Bull CBBC Jun 2016 S	200,000,000	2015/10/16	2016/06/29	50.00
64537	UBS AG – HSI R Bull CBBC Jul 2016 E	200,000,000	2015/10/16	2016/07/28	50.00
64538	UBS AG – HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/10/16	2016/08/30	50.00
64545	UBS AG – HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/10/16	2016/08/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64534	UBS AG – HSI R Bull CBBC Sep 2016 Y	200,000,000	2015/10/16	2016/09/29	50.00
64536	UBS AG – HSI R Bull CBBC Sep 2016 Z	200,000,000	2015/10/16	2016/09/29	50.00
64487	UBS AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/16	2016/01/28	50.00
64527	UBS AG – HSI R Bear CBBC Jan 2016 Y	200,000,000	2015/10/16	2016/01/28	50.00
64505	UBS AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/10/16	2016/02/26	50.00
64679	Credit Suisse AG – HSI R Bull CBBC May 2016 P	200,000,000	2015/10/19	2016/05/30	50.00
64683	Credit Suisse AG – HSI R Bull CBBC May 2016 Q	200,000,000	2015/10/19	2016/05/30	50.00
64685	Credit Suisse AG – HSI R Bull CBBC May 2016 R	200,000,000	2015/10/19	2016/05/30	50.00
64658	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/10/19	2016/02/26	50.00
64667	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/10/19	2016/02/26	50.00
64551	HK Bank – BOCL R Bull CBBC Nov 2016 B	100,000,000	2015/10/19	2016/11/28	25.00
64567	HK Bank – BOCL R Bull CBBC Dec 2016 D	100,000,000	2015/10/19	2016/12/19	25.00
64571	HK Bank – CGN Power R Bull CBBC Nov 2016 A	40,000,000	2015/10/19	2016/11/28	10.00
64570	HK Bank – CITIC Sec R Bull CBBC Oct 2016 B	60,000,000	2015/10/19	2016/10/24	24.60
64590	HK Bank – CRRR R Bull CBBC Jul 2016 A	40,000,000	2015/10/19	2016/07/25	10.00
64588	HK Bank – HS H-SH ETF R Bull CBBC Jul 2016 C	100,000,000	2015/10/19	2016/07/28	25.00
64548	HK Bank – HSI R Bull CBBC Aug 2016 P	150,000,000	2015/10/19	2016/08/30	37.50
64594	HK Bank – HSI R Bull CBBC Nov 2016 L	400,000,000	2015/10/19	2016/11/29	100.00
64592	HK Bank – HSI R Bear CBBC Apr 2016 W	100,000,000	2015/10/19	2016/04/28	25.00
64591	HK Bank – Haitong Sec R Bull CBBC Aug 2016 C	60,000,000	2015/10/19	2016/08/22	16.20
64550	HK Bank – TCH R Bull CBBC Jun 2016 I	100,000,000	2015/10/19	2016/06/27	25.00
64630	J P Morgan SP BV – HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/10/19	2016/07/28	50.00
64633	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/10/19	2016/11/29	50.00
64634	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/10/19	2016/12/29	50.00
64624	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/19	2016/03/30	50.00
64648	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/10/19	2016/04/28	50.00
64616	Standard Chartered Bank – HSCEI R Bull CBBC Sep 2016 B	100,000,000	2015/10/19	2016/09/29	25.00
64655	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 K	150,000,000	2015/10/19	2016/09/29	37.50
64606	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 Y	150,000,000	2015/10/19	2016/03/30	37.50
64613	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 Z	150,000,000	2015/10/19	2016/03/30	37.50
64602	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 C	400,000,000	2015/10/19	2016/06/29	100.00
64620	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 D	200,000,000	2015/10/19	2016/06/29	50.00
64604	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 W	400,000,000	2015/10/19	2016/07/28	100.00
64605	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 H	200,000,000	2015/10/19	2016/04/28	50.00
64689	UBS AG – CAM CSI300 R Bull CBBC Sep 2016 C	100,000,000	2015/10/19	2016/09/05	25.00
64688	UBS AG – HSCEI R Bull CBBC Aug 2016 B	200,000,000	2015/10/19	2016/08/30	50.00
64690	UBS AG – HSI R Bull CBBC Jun 2016 A	200,000,000	2015/10/19	2016/06/29	50.00
64692	UBS AG – HSI R Bull CBBC Jul 2016 L	200,000,000	2015/10/19	2016/07/28	50.00
64686	UBS AG – HSI R Bear CBBC Jan 2016 A	200,000,000	2015/10/19	2016/01/28	50.00
64695	UBS AG – TCH R Bull CBBC Jul 2016 A	50,000,000	2015/10/19	2016/07/18	12.50
64749	Credit Suisse AG – HKEx R Bull CBBC Jun 2016 B	100,000,000	2015/10/20	2016/06/30	25.00
64759	Credit Suisse AG – HSI R Bull CBBC Apr 2016 T	200,000,000	2015/10/20	2016/04/28	50.00
64769	Credit Suisse AG – HSI R Bull CBBC Apr 2016 U	200,000,000	2015/10/20	2016/04/28	50.00
64784	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/10/20	2016/03/30	50.00
64796	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/10/20	2016/03/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64751	Credit Suisse AG – ICBC R Bull CBBC Aug 2016 A	100,000,000	2015/10/20	2016/08/31	25.00
64714	HK Bank – AIA R Bull CBBC Jun 2016 B	60,000,000	2015/10/20	2016/06/27	24.60
64712	HK Bank – AIA R Bear CBBC Jun 2016 B	40,000,000	2015/10/20	2016/06/27	10.00
64717	HK Bank – CAM CSI300 R Bull CBBC Sep 2016 E	100,000,000	2015/10/20	2016/09/12	42.00
64719	HK Bank – CSOP A50 ETF R Bull CBBC Jul 2016 H	100,000,000	2015/10/20	2016/07/18	25.00
64715	HK Bank – Evergrande R Bull CBBC Nov 2016 C	60,000,000	2015/10/20	2016/11/21	15.00
64713	HK Bank – A50 R Bull CBBC Sep 2016 C	100,000,000	2015/10/20	2016/09/26	25.00
64706	HK Bank – Gome R Bull CBBC Dec 2016 B	40,000,000	2015/10/20	2016/12/19	17.20
64705	HK Bank – HKEx R Bull CBBC Oct 2016 L	100,000,000	2015/10/20	2016/10/07	25.00
64704	HK Bank – HSI R Bull CBBC Sep 2016 S	150,000,000	2015/10/20	2016/09/29	37.50
64723	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 O	200,000,000	2015/10/20	2016/11/29	50.00
64807	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/10/20	2016/11/29	50.00
64805	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 D	200,000,000	2015/10/20	2016/03/30	50.00
64806	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/10/20	2016/04/28	50.00
64720	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 F	150,000,000	2015/10/20	2016/03/30	37.50
64728	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 E	200,000,000	2015/10/20	2016/06/29	50.00
64737	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 J	200,000,000	2015/10/20	2016/04/28	50.00
64811	UBS AG – HSI R Bull CBBC Aug 2016 E	200,000,000	2015/10/20	2016/08/30	50.00
64812	UBS AG – HSI R Bear CBBC Jan 2016 C	200,000,000	2015/10/20	2016/01/28	50.00
64843	Credit Suisse AG – HSI R Bull CBBC Aug 2016 W	200,000,000	2015/10/22	2016/08/30	50.00
64865	Credit Suisse AG – HSI R Bear CBBC Jan 2016 H	200,000,000	2015/10/22	2016/01/28	50.00
64860	Credit Suisse AG – HSI R Bear CBBC Jan 2016 M	200,000,000	2015/10/22	2016/01/28	50.00
64935	Bank of East Asia – China Mobile R Bull CBBC Dec 2016 A	40,000,000	2015/10/22	2016/12/09	10.00
64947	Bank of East Asia – China Mobile R Bear CBBC Feb 2017 A	40,000,000	2015/10/22	2017/02/03	10.00
64987	Bank of East Asia – CSOP A50 ETF R Bull CBBC Dec 2016 A	40,000,000	2015/10/22	2016/12/22	10.00
64983	Bank of East Asia – A50 China R Bull CBBC Dec 2016 A	40,000,000	2015/10/22	2016/12/23	10.00
64954	Bank of East Asia – Haitong Sec R Bull CBBC Nov 2016 A	40,000,000	2015/10/22	2016/11/17	12.40
64837	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 A	150,000,000	2015/10/22	2016/07/28	38.10
64830	HK Bank – ABC R Bull CBBC Oct 2016 D	80,000,000	2015/10/22	2016/10/31	20.00
64831	HK Bank – ABC R Bull CBBC Dec 2016 A	80,000,000	2015/10/22	2016/12/05	20.00
64818	HK Bank – CC Bank R Bull CBBC Nov 2016 D	100,000,000	2015/10/22	2016/11/21	25.00
64828	HK Bank – CC Bank R Bull CBBC Nov 2016 E	100,000,000	2015/10/22	2016/11/14	25.00
64813	HK Bank – CC Bank R Bull CBBC Dec 2016 D	100,000,000	2015/10/22	2016/12/23	25.00
64815	HK Bank – CC Bank R Bull CBBC Dec 2016 E	100,000,000	2015/10/22	2016/12/05	25.00
64911	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/10/22	2016/10/28	50.00
64881	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/10/22	2016/12/29	50.00
64888	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 P	200,000,000	2015/10/22	2016/02/26	50.00
64883	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/10/22	2016/04/28	50.00
64833	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 L	150,000,000	2015/10/22	2016/09/29	37.50
64838	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 G	150,000,000	2015/10/22	2016/03/30	37.50
64834	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/10/22	2016/09/29	50.00
64836	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 K	400,000,000	2015/10/22	2016/04/28	100.00
64916	UBS AG – HSI R Bull CBBC Jul 2016 N	200,000,000	2015/10/22	2016/07/28	50.00
65166	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Jun 2016 B	100,000,000	2015/10/23	2016/06/30	25.00
65179	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Mar 2016 A	100,000,000	2015/10/23	2016/03/31	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65239	Credit Suisse AG – HKEEx R Bear CBBC Feb 2016 A	80,000,000	2015/10/23	2016/02/26	20.00
65240	Credit Suisse AG – HKEEx R Bear CBBC Mar 2016 B	80,000,000	2015/10/23	2016/03/31	21.60
65271	Credit Suisse AG – HSI R Bull CBBC Jul 2016 T	200,000,000	2015/10/23	2016/07/28	50.00
65290	Credit Suisse AG – HSI R Bull CBBC Jul 2016 U	200,000,000	2015/10/23	2016/07/28	50.00
65302	Credit Suisse AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/10/23	2016/07/28	50.00
65154	Credit Suisse AG – HSI R Bear CBBC Jan 2016 T	200,000,000	2015/10/23	2016/01/28	50.00
65155	Credit Suisse AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/23	2016/01/28	50.00
65010	HK Bank – HSI R Bull CBBC Oct 2016 N	400,000,000	2015/10/23	2016/10/28	100.00
65011	HK Bank – HSI R Bear CBBC May 2016 U	100,000,000	2015/10/23	2016/05/30	25.00
65009	HK Bank – HSI R Bear CBBC Oct 2016 E	400,000,000	2015/10/23	2016/10/28	100.00
65004	HK Bank – HSI R Bear CBBC Dec 2016 D	400,000,000	2015/10/23	2016/12/29	100.00
65366	J P Morgan SP BV – HSI R Bull CBBC Mar 2016 A	200,000,000	2015/10/23	2016/03/30	50.00
65339	J P Morgan SP BV – HSI R Bull CBBC Mar 2016 B	200,000,000	2015/10/23	2016/03/30	50.00
65322	J P Morgan SP BV – HSI R Bull CBBC Mar 2016 F	200,000,000	2015/10/23	2016/03/30	50.00
65145	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 E	200,000,000	2015/10/23	2016/03/30	50.00
65144	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/10/23	2016/04/28	50.00
65147	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 P	40,000,000	2015/10/23	2016/03/30	10.00
65153	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 Q	40,000,000	2015/10/23	2016/03/30	10.00
65146	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 M	150,000,000	2015/10/23	2016/09/29	37.50
65026	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 E	200,000,000	2015/10/23	2016/05/30	50.00
65045	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 A	200,000,000	2015/10/23	2016/06/29	50.00
65072	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 B	200,000,000	2015/10/23	2016/05/30	50.00
65077	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 C	200,000,000	2015/10/23	2016/05/30	50.00
65103	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 E	200,000,000	2015/10/23	2016/05/30	50.00
65049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2015/10/23	2016/05/30	50.00
65059	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 U	200,000,000	2015/10/23	2016/05/30	50.00
65017	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/10/23	2016/05/30	50.00
65020	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/10/23	2016/05/30	50.00
65133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Z	200,000,000	2015/10/23	2016/05/30	50.00
65373	UBS AG – HKEEx R Bull CBBC Sep 2016 C	50,000,000	2015/10/23	2016/09/12	12.50
65381	UBS AG – HSCEI R Bear CBBC Feb 2016 B	100,000,000	2015/10/23	2016/02/26	25.00
65401	UBS AG – HSI R Bull CBBC Jun 2016 F	200,000,000	2015/10/23	2016/06/29	50.00
65367	UBS AG – HSI R Bear CBBC Jan 2016 N	200,000,000	2015/10/23	2016/01/28	50.00
65369	UBS AG – HSI R Bear CBBC Jan 2016 R	200,000,000	2015/10/23	2016/01/28	50.00
65608	Credit Suisse AG – HSI R Bull CBBC Jun 2016 N	200,000,000	2015/10/26	2016/06/29	50.00
65614	Credit Suisse AG – HSI R Bull CBBC Jun 2016 P	200,000,000	2015/10/26	2016/06/29	50.00
65601	Credit Suisse AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/26	2016/01/28	50.00
65576	Credit Suisse AG – HSI R Bear CBBC Jan 2016 W	200,000,000	2015/10/26	2016/01/28	50.00
65567	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/10/26	2016/02/26	50.00
65581	Credit Suisse AG – TCH R Bear CBBC Feb 2016 A	80,000,000	2015/10/26	2016/02/26	21.60
65439	HK Bank – ABC R Bull CBBC Nov 2016 B	80,000,000	2015/10/26	2016/11/14	20.00
65424	HK Bank – CAM CSI300 R Bull CBBC Sep 2016 F	100,000,000	2015/10/26	2016/09/05	25.00
65485	HK Bank – Sinopec Corp R Bull CBBC Oct 2016 D	80,000,000	2015/10/26	2016/10/17	20.00
65447	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 H	100,000,000	2015/10/26	2016/08/08	25.00
65448	HK Bank – HKEEx R Bull CBBC Sep 2016 I	100,000,000	2015/10/26	2016/09/19	25.00

Main Board Callable Bull/Bear Contracts

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65509	HK Bank – HSCEI R Bull CBBC Sep 2016 H	100,000,000	2015/10/26	2016/09/29	25.00
65410	HK Bank – HSI R Bull CBBC Oct 2016 O	150,000,000	2015/10/26	2016/10/28	37.50
65507	HK Bank – HSI R Bull CBBC Oct 2016 P	400,000,000	2015/10/26	2016/10/28	100.00
65501	HK Bank – PetCh R Bull CBBC Nov 2016 D	60,000,000	2015/10/26	2016/11/21	15.00
65496	HK Bank – TCH R Bull CBBC Sep 2016 F	100,000,000	2015/10/26	2016/09/05	25.00
65711	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 B	200,000,000	2015/10/26	2016/08/30	50.00
65714	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Q	200,000,000	2015/10/26	2016/11/29	50.00
65557	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/10/26	2016/02/26	50.00
65561	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/10/26	2016/04/28	50.00
65735	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/10/26	2016/04/28	50.00
65566	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 L	40,000,000	2015/10/26	2016/03/30	10.00
65565	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 N	150,000,000	2015/10/26	2016/09/29	37.50
65534	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 B	150,000,000	2015/10/26	2016/03/30	37.50
65539	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 C	150,000,000	2015/10/26	2016/03/30	37.50
65541	Standard Chartered Bank – Ping An R Bull CBBC Aug 2016 D	100,000,000	2015/10/26	2016/08/31	25.00
65542	Standard Chartered Bank – TCH R Bull CBBC Jul 2016 A	50,000,000	2015/10/26	2016/07/15	12.50
65554	Standard Chartered Bank – TCH R Bear CBBC Jun 2016 D	50,000,000	2015/10/26	2016/06/27	12.50
65707	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 J	200,000,000	2015/10/26	2016/06/29	50.00
65697	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/10/26	2016/07/28	50.00
65512	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 M	200,000,000	2015/10/26	2016/04/28	50.00
65519	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 N	200,000,000	2015/10/26	2016/04/28	50.00
65515	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2015/10/26	2016/05/30	50.00
65690	UBS AG – HSI R Bull CBBC Jun 2016 N	200,000,000	2015/10/26	2016/06/29	50.00
65677	UBS AG – HSI R Bull CBBC Jul 2016 O	200,000,000	2015/10/26	2016/07/28	50.00
65668	UBS AG – HSI R Bear CBBC Jan 2016 T	200,000,000	2015/10/26	2016/01/28	50.00
65673	UBS AG – HSI R Bear CBBC Jan 2016 U	200,000,000	2015/10/26	2016/01/28	50.00
65834	Credit Suisse AG – CSOP A50 ETF R Bear CBBC Feb 2016 A	80,000,000	2015/10/27	2016/02/26	20.00
65813	Credit Suisse AG – A50 China R Bull CBBC Jun 2016 B	100,000,000	2015/10/27	2016/06/30	25.00
65821	Credit Suisse AG – A50 China R Bear CBBC Feb 2016 A	80,000,000	2015/10/27	2016/02/26	20.00
65819	Credit Suisse AG – A50 China R Bear CBBC Mar 2016 A	80,000,000	2015/10/27	2016/03/31	20.00
65856	Credit Suisse AG – HSI R Bull CBBC May 2016 F	200,000,000	2015/10/27	2016/05/30	50.00
65839	Credit Suisse AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/27	2016/01/28	50.00
65842	Credit Suisse AG – HSI R Bear CBBC Jan 2016 I	200,000,000	2015/10/27	2016/01/28	50.00
65881	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 Q	200,000,000	2015/10/27	2016/09/29	50.00
65802	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 R	200,000,000	2015/10/27	2016/11/29	50.00
65805	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/10/27	2016/02/26	50.00
65757	Standard Chartered Bank – CAM CSI300 R Bull CBBC May 2016 B	50,000,000	2015/10/27	2016/05/13	22.50
65739	Standard Chartered Bank – CSOP A50 R Bull CBBC Jul 2016 C	50,000,000	2015/10/27	2016/07/11	12.50
65743	Standard Chartered Bank – A50 China R Bull CBBC Jul 2016 D	50,000,000	2015/10/27	2016/07/11	12.50
65749	Standard Chartered Bank – HSI R Bull CBBC Sep 2016 O	150,000,000	2015/10/27	2016/09/29	37.50
65779	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 F	200,000,000	2015/10/27	2016/05/30	50.00
65789	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 G	200,000,000	2015/10/27	2016/05/30	50.00
65796	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar16 T	200,000,000	2015/10/27	2016/03/30	50.00
66010	UBS AG – CAM CSI300 R Bull CBBC Aug 2016 C	100,000,000	2015/10/27	2016/08/29	25.00
65959	UBS AG – HKEx R Bull CBBC Oct 2016 D	50,000,000	2015/10/27	2016/10/17	15.75

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
65957	UBS AG – HSCEI R Bull CBBC Sep 2016 D	200,000,000	2015/10/27	2016/09/29	50.00
65932	UBS AG – HSI R Bull CBBC Jul 2016 P	200,000,000	2015/10/27	2016/07/28	50.00
65955	UBS AG – HSI R Bull CBBC Aug 2016 J	200,000,000	2015/10/27	2016/08/30	50.00
65969	UBS AG – HSI R Bear CBBC Jan 2016 D	200,000,000	2015/10/27	2016/01/28	50.00
65967	UBS AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/27	2016/01/28	50.00
65971	UBS AG – HSI R Bear CBBC Jan 2016 V	200,000,000	2015/10/27	2016/01/28	50.00
65986	UBS AG – HSI R Bear CBBC Jan 2016 X	200,000,000	2015/10/27	2016/01/28	50.00
65996	UBS AG – HSI R Bear CBBC Jan 2016 Z	200,000,000	2015/10/27	2016/01/28	52.00
65998	UBS AG – TCH R Bull CBBC Jun 2016 D	50,000,000	2015/10/27	2016/06/20	12.50
66159	Credit Suisse AG – HSI R Bull CBBC May 2016 S	200,000,000	2015/10/28	2016/05/30	50.00
66163	Credit Suisse AG – HSI R Bear CBBC Jan 2016 E	200,000,000	2015/10/28	2016/01/28	50.00
66166	Credit Suisse AG – HSI R Bear CBBC Jan 2016 G	200,000,000	2015/10/28	2016/01/28	50.00
66125	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/10/28	2016/03/30	50.00
66156	Credit Suisse AG – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/10/28	2016/04/28	50.00
66114	Bank of East Asia – CC Bank R Bull CBBC Nov 2016 A	50,000,000	2015/10/28	2016/11/14	12.50
66099	Bank of East Asia – CGN Power R Bull CBBC Nov 2016 A	80,000,000	2015/10/28	2016/11/16	20.00
66124	Bank of East Asia – CRRR R Bull CBBC Oct 2016 A	40,000,000	2015/10/28	2016/10/17	10.00
66096	Bank of East Asia – HKEx R Bull CBBC Oct 2016 A	40,000,000	2015/10/28	2016/10/28	10.00
66111	Bank of East Asia – HSBC Holdings R Bull CBBC Nov 2016 A	50,000,000	2015/10/28	2016/11/15	12.50
66045	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 R	200,000,000	2015/10/28	2016/09/29	50.00
66054	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/10/28	2016/10/28	50.00
66218	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 F	200,000,000	2015/10/28	2016/03/30	50.00
66016	Standard Chartered Bank – China Mobile R Bull CBBC Jul 2016A	50,000,000	2015/10/28	2016/07/25	12.50
66025	Standard Chartered Bank – China Mobile R Bear CBBC Jul 2016A	50,000,000	2015/10/28	2016/07/15	12.50
66066	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/10/28	2016/07/28	50.00
66092	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 O	200,000,000	2015/10/28	2016/04/28	50.00
66214	UBS AG – HSCEI R Bear CBBC Feb 2016 C	100,000,000	2015/10/28	2016/02/26	26.50
66189	UBS AG – HSI R Bull CBBC Jul 2016 C	200,000,000	2015/10/28	2016/07/28	50.00
66207	UBS AG – HSI R Bear CBBC Jan 2016 F	200,000,000	2015/10/28	2016/01/28	50.00
66291	Credit Suisse AG – HSI R Bull CBBC Jul 2016 W	200,000,000	2015/10/29	2016/07/28	50.00
66295	Credit Suisse AG – HSI R Bull CBBC Jul 2016 X	200,000,000	2015/10/29	2016/07/28	50.00
66341	Credit Suisse AG – HSI R Bear CBBC Jan 2016 K	200,000,000	2015/10/29	2016/01/28	50.00
66227	HK Bank – China Mobile R Bull CBBC Sep 2016 A	120,000,000	2015/10/29	2016/09/30	30.00
66259	HK Bank – HKEx R Bear CBBC Mar 2016 C	50,000,000	2015/10/29	2016/03/21	12.50
66261	HK Bank – HSI R Bear CBBC Apr 2016 X	100,000,000	2015/10/29	2016/04/28	25.00
66226	HK Bank – TCH R Bull CBBC Jun 2016 J	100,000,000	2015/10/29	2016/06/13	25.00
66343	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 S	200,000,000	2015/10/29	2016/11/29	50.00
66268	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 K	200,000,000	2015/10/29	2016/12/29	50.00
66347	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 N	200,000,000	2015/10/29	2016/02/26	50.00
66358	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/10/29	2016/04/28	50.00
66302	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 H	400,000,000	2015/10/29	2016/05/30	100.00
66325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 I	200,000,000	2015/10/29	2016/05/30	50.00
66297	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 K	400,000,000	2015/10/29	2016/06/29	100.00
66326	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 T	200,000,000	2015/10/29	2016/06/29	50.00
66327	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 P	200,000,000	2015/10/29	2016/04/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66328	UBS AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2015/10/29	2016/06/29	50.00
66329	UBS AG – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/10/29	2016/02/26	50.00
66529	Credit Suisse AG – HSI R Bull CBBC Apr 2016 F	200,000,000	2015/10/30	2016/04/28	50.00
66549	Credit Suisse AG – HSI R Bull CBBC Apr 2016 G	200,000,000	2015/10/30	2016/04/28	50.00
66387	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/30	2016/02/26	50.00
66516	Credit Suisse AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/10/30	2016/02/26	50.00
66397	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/10/30	2016/02/26	50.00
66434	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 B	200,000,000	2015/10/30	2016/07/28	53.20
66441	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/10/30	2016/04/28	54.00
66431	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 E	100,000,000	2015/10/30	2016/12/29	25.00
66404	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 T	200,000,000	2015/10/30	2016/10/28	50.00
66430	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	200,000,000	2015/10/30	2016/12/29	50.00
66378	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/10/30	2016/02/26	50.00
66376	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 G	200,000,000	2015/10/30	2016/03/30	50.00
66401	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 H	200,000,000	2015/10/30	2016/03/30	50.00
66400	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/10/30	2016/04/28	50.00
66359	Standard Chartered Bank – HSI R Bear CBBC Mar 2016 E	150,000,000	2015/10/30	2016/03/30	37.50
66501	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 J	200,000,000	2015/10/30	2016/05/30	50.00
66369	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 D	200,000,000	2015/10/30	2016/05/30	50.00
66514	UBS AG – HSI R Bull CBBC Jul 2016 G	200,000,000	2015/10/30	2016/07/28	50.00
66507	UBS AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/10/30	2016/02/26	50.00
66508	UBS AG – HSI R Bear CBBC Feb 2016 C	200,000,000	2015/10/30	2016/02/26	50.00
66505	UBS AG – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/10/30	2016/02/26	50.00
66630	Credit Suisse AG – HSCEI R Bull CBBC Jul 2016 D	100,000,000	2015/11/02	2016/07/28	25.00
66632	Credit Suisse AG – HSCEI R Bear CBBC Feb 2016 B	100,000,000	2015/11/02	2016/02/26	25.00
66660	Credit Suisse AG – HSI R Bull CBBC Jun 2016 K	200,000,000	2015/11/02	2016/06/29	50.00
66659	Credit Suisse AG – HSI R Bull CBBC Jun 2016 Q	200,000,000	2015/11/02	2016/06/29	50.00
66637	Credit Suisse AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/02	2016/03/30	50.00
66639	Credit Suisse AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/11/02	2016/03/30	50.00
66665	Credit Suisse AG – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/11/02	2016/04/28	50.00
66636	Credit Suisse AG – TCH R Bull CBBC Jun 2016 B	100,000,000	2015/11/02	2016/06/30	25.00
66634	Credit Suisse AG – TCH R Bull CBBC Jul 2016 A	100,000,000	2015/11/02	2016/07/29	25.00
66557	HK Bank – BOCL R Bull CBBC Nov 2016 C	100,000,000	2015/11/02	2016/11/21	25.00
66583	HK Bank – China Mobile R Bull CBBC Oct 2016 F	120,000,000	2015/11/02	2016/10/31	30.00
66590	HK Bank – COVS R Bull CBBC Nov 2016 B	50,000,000	2015/11/02	2016/11/14	16.50
66555	HK Bank – Evergrande R Bull CBBC Nov 2016 D	60,000,000	2015/11/02	2016/11/14	15.00
66566	HK Bank – HSI R Bull CBBC Sep 2016 T	150,000,000	2015/11/02	2016/09/29	37.50
66569	HK Bank – HSI R Bull CBBC Sep 2016 U	400,000,000	2015/11/02	2016/09/29	100.00
66577	HK Bank – HSI R Bear CBBC May 2016 V	100,000,000	2015/11/02	2016/05/30	25.00
66584	HK Bank – TCH R Bear CBBC Jul 2016 F	100,000,000	2015/11/02	2016/07/25	25.00
66667	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 C	200,000,000	2015/11/02	2016/08/30	50.00
66677	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/11/02	2016/10/28	50.00
66616	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 I	200,000,000	2015/11/02	2016/03/30	50.00
66674	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/11/02	2016/03/30	50.00
66625	Macquarie Bank Ltd. – HSI R Bull CBBC Mar 2016 R	40,000,000	2015/11/02	2016/03/30	10.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
66629	Macquarie Bank Ltd. – HSI R Bear CBBC Mar 2016 M	40,000,000	2015/11/02	2016/03/30	10.00
66585	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Q	200,000,000	2015/11/02	2016/04/28	50.00
66588	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 R	200,000,000	2015/11/02	2016/04/28	50.00
66686	UBS AG – HSI R Bull CBBC Sep 2016 F	200,000,000	2015/11/02	2016/09/29	50.00
66679	UBS AG – HSI R Bear CBBC Feb 2016 E	200,000,000	2015/11/02	2016/02/26	50.00
66682	UBS AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/02	2016/02/26	50.00
66760	Credit Suisse AG – HSI R Bull CBBC May 2016 T	200,000,000	2015/11/03	2016/05/30	50.00
66761	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/03	2016/02/26	50.00
66763	Credit Suisse AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/03	2016/02/26	50.00
66765	Credit Suisse AG – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/11/03	2016/04/28	50.00
66718	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 M	200,000,000	2015/11/03	2016/12/29	50.00
66784	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 F	200,000,000	2015/11/03	2017/01/26	50.00
66769	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/03	2016/02/26	50.00
66773	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/11/03	2016/03/30	50.00
66698	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 P	200,000,000	2015/11/03	2016/06/29	50.00
66726	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 R	200,000,000	2015/11/03	2016/06/29	50.00
66712	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar16 U	200,000,000	2015/11/03	2016/03/30	50.00
66727	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 T	200,000,000	2015/11/03	2016/04/28	50.00
66702	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/11/03	2016/05/30	50.00
66732	UBS AG – HSI R Bull CBBC Jun 2016 B	200,000,000	2015/11/03	2016/06/29	50.00
66747	UBS AG – HSI R Bull CBBC Jun 2016 L	200,000,000	2015/11/03	2016/06/29	50.00
66753	UBS AG – HSI R Bear CBBC Feb 2016 G	200,000,000	2015/11/03	2016/02/26	50.00
66759	UBS AG – HSI R Bear CBBC Feb 2016 I	200,000,000	2015/11/03	2016/02/26	50.00
66931	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 E	100,000,000	2015/11/04	2016/09/30	25.00
66917	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 I	100,000,000	2015/11/04	2016/11/29	25.00
66924	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 I	100,000,000	2015/11/04	2016/12/29	25.00
66884	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 A	250,000,000	2015/11/04	2016/10/28	62.50
66893	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 B	250,000,000	2015/11/04	2016/10/28	62.50
66901	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 C	250,000,000	2015/11/04	2016/10/28	62.50
66894	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/11/04	2016/11/29	62.50
66883	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2015/11/04	2016/11/29	62.50
66885	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 J	250,000,000	2015/11/04	2016/11/29	62.50
66904	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/11/04	2016/11/29	62.50
66866	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2015/11/04	2016/12/29	62.50
66976	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/04	2016/03/30	50.00
66907	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/11/04	2016/03/30	50.00
66973	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/11/04	2016/04/28	50.00
66905	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/11/04	2016/04/28	50.00
66912	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/11/04	2016/04/28	50.00
66951	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 D	68,000,000	2015/11/04	2016/12/30	17.00
66936	BNP Paribas Arbit Issu B.V. – TCH R Bull CBBC Jun 2016 A	50,000,000	2015/11/04	2016/06/30	12.50
66947	BNP Paribas Arbit Issu B.V. – TCH R Bear CBBC Jun 2016 A	50,000,000	2015/11/04	2016/06/30	12.50
67047	Credit Suisse AG – HSI R Bull CBBC May 2016 G	200,000,000	2015/11/04	2016/05/30	50.00
67051	Credit Suisse AG – HSI R Bull CBBC May 2016 H	200,000,000	2015/11/04	2016/05/30	50.00
67055	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/04	2016/03/30	50.00

Main Board Callable Bull/Bear Contracts

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67052	Credit Suisse AG – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/11/04	2016/03/30	50.00
66834	HK Bank – ABC R Bull CBBC Sep 2016 B	80,000,000	2015/11/04	2016/09/30	20.00
66860	HK Bank – China Life R Bear CBBC Oct 2016 B	50,000,000	2015/11/04	2016/10/17	20.50
66828	HK Bank – A50 R Bull CBBC May 2016 H	100,000,000	2015/11/04	2016/05/31	25.00
66863	HK Bank – HSCEI R Bear CBBC Sep 2016 B	68,000,000	2015/11/04	2016/09/29	17.00
66855	HK Bank – HSI R Bear CBBC May 2016 W	100,000,000	2015/11/04	2016/05/30	25.00
66851	HK Bank – HSI R Bear CBBC Aug 2016 G	100,000,000	2015/11/04	2016/08/30	25.00
67154	J P Morgan SP BV – China Mobile R Bull CBBC Jul 2016 A	100,000,000	2015/11/04	2016/07/15	25.00
67158	J P Morgan SP BV – China Mobile R Bull CBBC Aug 2016 A	100,000,000	2015/11/04	2016/08/19	25.00
67165	J P Morgan SP BV – China Mobile R Bear CBBC May 2016 A	100,000,000	2015/11/04	2016/05/20	25.00
67079	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Jun 2016 F	100,000,000	2015/11/04	2016/06/17	25.00
67108	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Jul 2016 A	100,000,000	2015/11/04	2016/07/15	25.00
67117	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Sep 2016 A	100,000,000	2015/11/04	2016/09/15	25.00
67076	J P Morgan SP BV – A50 China R Bull CBBC Jul 2016 D	100,000,000	2015/11/04	2016/07/15	25.00
67075	J P Morgan SP BV – A50 China R Bull CBBC Aug 2016 C	100,000,000	2015/11/04	2016/08/19	25.00
67066	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 A	100,000,000	2015/11/04	2016/09/15	25.00
67074	J P Morgan SP BV – HKEx R Bear CBBC Jun 2016 A	100,000,000	2015/11/04	2016/06/17	25.00
67016	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/11/04	2016/10/28	50.00
67018	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/11/04	2016/10/28	50.00
67189	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/11/04	2016/02/26	50.00
67046	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/11/04	2016/04/28	50.00
67169	J P Morgan SP BV – Ping An R Bull CBBC Oct 2016 D	100,000,000	2015/11/04	2016/10/14	25.00
67185	J P Morgan SP BV – Tencent R Bull CBBC Jun 2016 D	100,000,000	2015/11/04	2016/06/17	25.00
67188	J P Morgan SP BV – Tencent R Bull CBBC Jul 2016 E	100,000,000	2015/11/04	2016/07/15	25.00
66960	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 K	200,000,000	2015/11/04	2016/05/30	50.00
66961	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2015/11/04	2016/05/30	50.00
66967	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2015/11/04	2016/05/30	50.00
67057	UBS AG – HSI R Bull CBBC Jun 2016 E	200,000,000	2015/11/04	2016/06/29	50.00
67059	UBS AG – HSI R Bear CBBC Feb 2016 J	200,000,000	2015/11/04	2016/02/26	50.00
67225	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/05	2016/03/30	50.00
67270	Credit Suisse AG – HSI R Bull CBBC Jun 2016 H	200,000,000	2015/11/05	2016/06/29	50.00
67277	Credit Suisse AG – HSI R Bull CBBC Aug 2016 X	200,000,000	2015/11/05	2016/08/30	50.00
67278	Credit Suisse AG – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/11/05	2016/04/28	50.00
67279	Credit Suisse AG – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/11/05	2016/04/28	50.00
67238	Bank of East Asia – CAM CSI300 R Bull CBBC Oct 2016 A	60,000,000	2015/11/05	2016/10/26	15.00
67239	Bank of East Asia – BOCL R Bull CBBC Oct 2016 A	60,000,000	2015/11/05	2016/10/25	15.00
67240	Bank of East Asia – CGN Power R Bull CBBC Oct 2016 A	60,000,000	2015/11/05	2016/10/28	15.00
67217	HK Bank – AIA R Bull CBBC Oct 2016 D	60,000,000	2015/11/05	2016/10/17	24.60
67209	HK Bank – CGN Power R Bull CBBC Nov 2016 B	40,000,000	2015/11/05	2016/11/07	10.00
67205	HK Bank – Evergrande R Bull CBBC Nov 2016 E	60,000,000	2015/11/05	2016/11/14	15.00
67201	HK Bank – TCH R Bull CBBC Aug 2016 L	100,000,000	2015/11/05	2016/08/29	25.00
67287	J P Morgan SP BV – HSBC R Bull CBBC Nov 2016 A	100,000,000	2015/11/05	2016/11/18	25.00
67224	J P Morgan SP BV – HSI R Bull CBBC Aug 2016 D	200,000,000	2015/11/05	2016/08/30	50.00
67303	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 N	200,000,000	2015/11/05	2016/12/29	50.00
67295	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/11/05	2016/02/26	50.00

Main Board Callable Bull/Bear Contracts

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67300	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/11/05	2016/03/30	50.00
67283	J P Morgan SP BV – Tencent R Bear CBBC Jun 2016 A	100,000,000	2015/11/05	2016/06/17	25.00
67269	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 A	40,000,000	2015/11/05	2016/05/30	10.00
67219	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Jun16D	200,000,000	2015/11/05	2016/06/29	50.00
67223	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Jun16E	200,000,000	2015/11/05	2016/06/29	50.00
67232	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 B	200,000,000	2015/11/05	2016/10/28	50.00
67233	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Mar16 V	200,000,000	2015/11/05	2016/03/30	50.00
67236	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 U	200,000,000	2015/11/05	2016/04/28	50.00
67252	UBS AG – HSI R Bull CBBC Aug 2016 Q	200,000,000	2015/11/05	2016/08/30	50.00
67253	UBS AG – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/11/05	2016/02/26	50.00
67254	UBS AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/05	2016/02/26	50.00
67514	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/11/06	2016/03/30	50.00
67516	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/11/06	2016/04/28	50.00
67346	Credit Suisse AG – HSI R Bull CBBC Jul 2016 Y	200,000,000	2015/11/06	2016/07/28	50.00
67349	Credit Suisse AG – HSI R Bull CBBC Jul 2016 Z	200,000,000	2015/11/06	2016/07/28	50.00
67373	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/06	2016/03/30	50.00
67370	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/06	2016/03/30	50.00
67379	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/11/06	2016/03/30	50.00
67335	Bank of East Asia – China Comm Constr R Bull CBBC Jan 2017 A	40,000,000	2015/11/06	2017/01/26	10.00
67318	HK Bank – CNOOC R Bull CBBC Nov 2016 F	60,000,000	2015/11/06	2016/11/14	15.00
67305	HK Bank – Gome R Bull CBBC Dec 2016 C	40,000,000	2015/11/06	2016/12/05	14.80
67332	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 T	200,000,000	2015/11/06	2016/11/29	50.00
67394	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 G	200,000,000	2015/11/06	2017/01/26	50.00
67393	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/11/06	2016/02/26	50.00
67389	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/11/06	2016/04/28	50.00
67326	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 B	40,000,000	2015/11/06	2016/05/30	10.00
67324	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 S	200,000,000	2015/11/06	2016/06/29	50.00
67325	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 R	200,000,000	2015/11/06	2016/07/28	50.00
67489	UBS AG – China Mobile R Bear CBBC Apr 2016 C	50,000,000	2015/11/06	2016/04/11	12.50
67471	UBS AG – HSI R Bull CBBC Jun 2016 G	200,000,000	2015/11/06	2016/06/29	50.00
67412	UBS AG – HSI R Bull CBBC Aug 2016 S	200,000,000	2015/11/06	2016/08/30	50.00
67498	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/11/06	2016/02/26	50.00
67503	UBS AG – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/06	2016/02/26	50.00
67488	UBS AG – Tencent R Bear CBBC Apr 2016 C	50,000,000	2015/11/06	2016/04/18	12.50
67692	BNP Paribas Arbit Issu B.V. – HKEx R Bear CBBC Sep 2016 A	40,000,000	2015/11/09	2016/09/30	10.00
67690	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/11/09	2016/03/30	50.00
67689	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/11/09	2016/04/28	50.00
67691	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/11/09	2016/04/28	50.00
67610	Credit Suisse AG – HSI R Bull CBBC Jun 2016 I	200,000,000	2015/11/09	2016/06/29	50.00
67614	Credit Suisse AG – HSI R Bull CBBC Jun 2016 J	200,000,000	2015/11/09	2016/06/29	50.00
67616	Credit Suisse AG – HSI R Bull CBBC Jun 2016 R	200,000,000	2015/11/09	2016/06/29	50.00
67680	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/09	2016/02/26	50.00
67682	Credit Suisse AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/09	2016/02/26	50.00
67681	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/09	2016/02/26	50.00
67637	Bank of East Asia – CKP R Bull CBBC Nov 2016 A	60,000,000	2015/11/09	2016/11/11	15.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67635	Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2016 A	20,000,000	2015/11/09	2016/11/02	10.00
67636	Bank of East Asia – Galaxy Ent R Bull CBBC Nov 2016 B	100,000,000	2015/11/09	2016/11/07	25.00
67633	Bank of East Asia – Sands China R Bull CBBC Nov 2016 A	20,000,000	2015/11/09	2016/11/18	10.40
67634	Bank of East Asia – Sands China R Bull CBBC Nov 2016 B	100,000,000	2015/11/09	2016/11/28	25.00
67550	HK Bank – CITIC R Bull CBBC Oct 2016 B	40,000,000	2015/11/09	2016/10/17	10.00
67540	HK Bank – CITIC R Bull CBBC Nov 2016 A	40,000,000	2015/11/09	2016/11/14	10.80
67554	HK Bank – CITIC Sec R Bull CBBC Sep 2016 C	60,000,000	2015/11/09	2016/09/26	22.80
67521	HK Bank – CKP R Bull CBBC Nov 2016 A	50,000,000	2015/11/09	2016/11/07	26.00
67539	HK Bank – Galaxy Ent R Bull CBBC Oct 2016 C	50,000,000	2015/11/09	2016/10/17	17.50
67535	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 C	50,000,000	2015/11/09	2016/11/21	30.50
67532	HK Bank – Galaxy Ent R Bull CBBC Dec 2016 B	50,000,000	2015/11/09	2016/12/05	40.50
67551	HK Bank – Haitong Sec R Bull CBBC Sep 2016 C	60,000,000	2015/11/09	2016/09/05	16.20
67523	HK Bank – Sands China R Bull CBBC Aug 2016 B	50,000,000	2015/11/09	2016/08/29	21.00
67522	HK Bank – Sands China R Bull CBBC Oct 2016 A	50,000,000	2015/11/09	2016/10/17	30.50
67529	HK Bank – Sands China R Bull CBBC Nov 2016 A	50,000,000	2015/11/09	2016/11/14	42.00
67549	HK Bank – SHK Ppt R Bull CBBC Dec 2016 C	60,000,000	2015/11/09	2016/12/19	15.00
67700	J P Morgan SP BV – Galaxy Ent R Bull CBBC Oct 2016 A	100,000,000	2015/11/09	2016/10/14	25.00
67707	J P Morgan SP BV – Galaxy Ent R Bull CBBC Nov 2016 A	100,000,000	2015/11/09	2016/11/18	25.00
67708	J P Morgan SP BV – Galaxy Ent R Bear CBBC Aug 2016 A	100,000,000	2015/11/09	2016/08/19	25.00
67694	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 S	200,000,000	2015/11/09	2016/09/29	50.00
67609	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 U	200,000,000	2015/11/09	2016/11/29	50.00
67743	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 V	200,000,000	2015/11/09	2016/11/29	50.00
67745	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 O	200,000,000	2015/11/09	2016/12/29	50.00
67724	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/09	2016/02/26	50.00
67734	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 B	200,000,000	2015/11/09	2016/04/28	50.00
67742	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 C	200,000,000	2015/11/09	2016/04/28	50.00
67695	J P Morgan SP BV – Sands China R Bull CBBC Sep 2016 A	100,000,000	2015/11/09	2016/09/15	25.00
67698	J P Morgan SP BV – Sands China R Bull CBBC Oct 2016 A	100,000,000	2015/11/09	2016/10/14	25.00
67699	J P Morgan SP BV – Sands China R Bear CBBC May 2016 A	100,000,000	2015/11/09	2016/05/20	25.00
67596	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/11/09	2016/07/28	50.00
67601	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 V	200,000,000	2015/11/09	2016/04/28	50.00
67607	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 W	200,000,000	2015/11/09	2016/04/28	50.00
67599	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/11/09	2016/05/30	50.00
67600	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2015/11/09	2016/05/30	50.00
67650	UBS AG – HSI R Bull CBBC Jun 2016 J	200,000,000	2015/11/09	2016/06/29	50.00
67646	UBS AG – HSI R Bull CBBC Jul 2016 I	200,000,000	2015/11/09	2016/07/28	50.00
67671	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/09	2016/02/26	50.00
67672	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/11/09	2016/02/26	50.00
67849	Credit Suisse AG – HSI R Bull CBBC May 2016 E	200,000,000	2015/11/10	2016/05/30	50.00
67816	Credit Suisse AG – HSI R Bull CBBC May 2016 U	200,000,000	2015/11/10	2016/05/30	50.00
67845	Credit Suisse AG – HSI R Bull CBBC May 2016 Z	200,000,000	2015/11/10	2016/05/30	50.00
67810	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/11/10	2016/03/30	50.00
67812	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/11/10	2016/03/30	50.00
67813	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/11/10	2016/03/30	50.00
67753	HK Bank – BOCL R Bull CBBC Jul 2016 A	100,000,000	2015/11/10	2016/07/18	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67754	HK Bank – HSCEI R Bear CBBC Aug 2016 E	68,000,000	2015/11/10	2016/08/30	17.00
67752	HK Bank – HSI R Bear CBBC Aug 2016 H	100,000,000	2015/11/10	2016/08/30	25.00
67749	HK Bank – HSI R Bear CBBC Nov 2016 B	100,000,000	2015/11/10	2016/11/29	25.00
67854	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 T	200,000,000	2015/11/10	2016/09/29	50.00
67851	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 P	200,000,000	2015/11/10	2016/12/29	50.00
67780	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/11/10	2016/03/30	50.00
67859	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/10	2016/03/30	50.00
67785	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/11/10	2016/04/28	50.00
67809	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/11/10	2016/07/28	50.00
67808	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/11/10	2016/09/29	50.00
67761	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Y	200,000,000	2015/11/10	2016/04/28	50.00
67772	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Z	200,000,000	2015/11/10	2016/04/28	50.00
67758	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 L	200,000,000	2015/11/10	2016/05/30	50.00
67888	UBS AG – HSCEI R Bull CBBC Sep 2016 E	200,000,000	2015/11/10	2016/09/29	50.00
67895	UBS AG – HSI R Bull CBBC Jun 2016 M	200,000,000	2015/11/10	2016/06/29	50.00
67896	UBS AG – HSI R Bull CBBC Aug 2016 T	200,000,000	2015/11/10	2016/08/30	50.00
67879	UBS AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/10	2016/02/26	50.00
67860	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/11/10	2016/02/26	50.00
68056	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Jun16A	100,000,000	2015/11/11	2016/06/30	25.00
68078	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2016 A	100,000,000	2015/11/11	2016/06/30	25.00
68085	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 C	40,000,000	2015/11/11	2016/09/30	10.00
68020	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 D	250,000,000	2015/11/11	2016/10/28	62.50
68041	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 E	250,000,000	2015/11/11	2016/10/28	62.50
68053	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 F	250,000,000	2015/11/11	2016/10/28	62.50
68048	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/11/11	2016/11/29	62.50
68036	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2015/11/11	2016/11/29	62.50
67938	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/11/11	2016/03/30	50.00
67936	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/11/11	2016/03/30	50.00
67925	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/11/11	2016/04/28	50.00
67937	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/11/11	2016/04/28	50.00
68050	Credit Suisse AG – HSI R Bull CBBC May 2016 I	200,000,000	2015/11/11	2016/05/30	50.00
68082	Credit Suisse AG – HSI R Bull CBBC Jun 2016 S	200,000,000	2015/11/11	2016/06/29	50.00
68044	Credit Suisse AG – HSI R Bull CBBC Jul 2016 D	200,000,000	2015/11/11	2016/07/28	50.00
68074	Credit Suisse AG – HSI R Bull CBBC Jul 2016 E	200,000,000	2015/11/11	2016/07/28	50.00
68037	Credit Suisse AG – HSI R Bull CBBC Jul 2016 K	200,000,000	2015/11/11	2016/07/28	50.00
68009	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/11	2016/02/26	50.00
68015	Credit Suisse AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/11/11	2016/02/26	50.00
68035	Credit Suisse AG – HSI R Bear CBBC Feb 2016 H	200,000,000	2015/11/11	2016/02/26	50.00
68013	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/11	2016/02/26	50.00
67988	HK Bank – CAM CSI300 R Bull CBBC Oct 2016 D	100,000,000	2015/11/11	2016/10/31	25.00
67990	HK Bank – China Mobile R Bull CBBC Oct 2016 G	120,000,000	2015/11/11	2016/10/17	30.00
67979	HK Bank – China Life R Bull CBBC Jul 2016 C	60,000,000	2015/11/11	2016/07/25	15.00
67986	HK Bank – CSOP A50 ETF R Bull CBBC Dec 2016 A	100,000,000	2015/11/11	2016/12/30	25.00
67978	HK Bank – HKEx R Bull CBBC Jun 2016 M	100,000,000	2015/11/11	2016/06/27	25.00
67975	HK Bank – HSCEI R Bull CBBC Jul 2016 G	100,000,000	2015/11/11	2016/07/28	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
67974	HK Bank – HSI R Bull CBBC Jul 2016 X	150,000,000	2015/11/11	2016/07/28	37.50
67973	HK Bank – HSI R Bull CBBC Aug 2016 Q	150,000,000	2015/11/11	2016/08/30	37.50
67972	HK Bank – HSI R Bull CBBC Sep 2016 V	150,000,000	2015/11/11	2016/09/29	37.50
68186	J P Morgan SP BV – AIA R Bull CBBC Sep 2016 B	100,000,000	2015/11/11	2016/09/15	25.00
68187	J P Morgan SP BV – AIA R Bull CBBC Oct 2016 A	100,000,000	2015/11/11	2016/10/14	25.00
68191	J P Morgan SP BV – CKP R Bull CBBC Oct 2016 A	100,000,000	2015/11/11	2016/10/14	25.00
68194	J P Morgan SP BV – CKP R Bull CBBC Nov 2016 A	100,000,000	2015/11/11	2016/11/18	25.00
68157	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 B	100,000,000	2015/11/11	2016/09/15	25.00
68197	J P Morgan SP BV – HKEx R Bull CBBC Oct 2016 F	100,000,000	2015/11/11	2016/10/14	25.00
68159	J P Morgan SP BV – HKEx R Bear CBBC Jul 2016 A	100,000,000	2015/11/11	2016/07/15	38.00
68120	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/11/11	2016/09/29	50.00
68129	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 X	200,000,000	2015/11/11	2016/10/28	50.00
68123	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 W	200,000,000	2015/11/11	2016/11/29	50.00
68137	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Q	200,000,000	2015/11/11	2016/12/29	50.00
67953	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/11/11	2016/02/26	50.00
67958	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/11	2016/03/30	50.00
67965	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/11/11	2016/03/30	50.00
67969	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 E	200,000,000	2015/11/11	2016/04/28	50.00
68171	J P Morgan SP BV – Tencent R Bull CBBC Jun 2016 E	100,000,000	2015/11/11	2016/06/17	25.00
68182	J P Morgan SP BV – Tencent R Bear CBBC Jun 2016 B	100,000,000	2015/11/11	2016/06/17	25.00
68196	J P Morgan SP BV – Tracker Fund R Bull CBBC Dec 2016 A	100,000,000	2015/11/11	2016/12/16	25.00
68198	J P Morgan SP BV – Tracker Fund R Bull CBBC Dec 2016 B	100,000,000	2015/11/11	2016/12/16	25.00
68004	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 H	200,000,000	2015/11/11	2016/06/29	50.00
68007	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 L	200,000,000	2015/11/11	2016/06/29	50.00
68003	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 O	200,000,000	2015/11/11	2016/06/29	50.00
67993	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 U	400,000,000	2015/11/11	2016/06/29	100.00
68000	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 O	400,000,000	2015/11/11	2016/07/28	100.00
68001	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 C	200,000,000	2015/11/11	2016/10/28	50.00
67942	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 J	200,000,000	2015/11/11	2016/05/30	50.00
67944	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 M	200,000,000	2015/11/11	2016/05/30	50.00
67952	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 N	200,000,000	2015/11/11	2016/05/30	50.00
68008	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 O	200,000,000	2015/11/11	2016/05/30	50.00
68119	UBS AG – HSI R Bull CBBC Jun 2016 A	200,000,000	2015/11/11	2016/06/29	50.00
68097	UBS AG – HSI R Bull CBBC Jun 2016 Z	200,000,000	2015/11/11	2016/06/29	50.00
68098	UBS AG – HSI R Bull CBBC Jul 2016 R	200,000,000	2015/11/11	2016/07/28	50.00
68115	UBS AG – HSI R Bull CBBC Jul 2016 S	200,000,000	2015/11/11	2016/07/28	50.00
68101	UBS AG – HSI R Bull CBBC Sep 2016 H	200,000,000	2015/11/11	2016/09/29	50.00
68116	UBS AG – HSI R Bull CBBC Sep 2016 J	200,000,000	2015/11/11	2016/09/29	50.00
68087	UBS AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/11/11	2016/02/26	50.00
68090	UBS AG – HSI R Bear CBBC Feb 2016 N	200,000,000	2015/11/11	2016/02/26	50.00
68091	UBS AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/11	2016/02/26	50.00
68086	UBS AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/11	2016/02/26	50.00
68317	Credit Suisse AG – HSI R Bull CBBC May 2016 J	200,000,000	2015/11/12	2016/05/30	50.00
68312	Credit Suisse AG – HSI R Bull CBBC Jun 2016 T	200,000,000	2015/11/12	2016/06/29	50.00
68311	Credit Suisse AG – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/11/12	2016/03/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68299	Credit Suisse AG – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/11/12	2016/03/30	50.00
68352	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 B	200,000,000	2015/11/12	2016/04/28	50.80
68210	HK Bank – CITIC Sec R Bull CBBC Sep 2016 D	60,000,000	2015/11/12	2016/09/19	24.60
68199	HK Bank – CNOOC R Bull CBBC Oct 2016 E	60,000,000	2015/11/12	2016/10/26	15.00
68204	HK Bank – A50 R Bull CBBC Aug 2016 E	100,000,000	2015/11/12	2016/08/29	25.00
68200	HK Bank – HSI R Bull CBBC Jul 2016 Y	150,000,000	2015/11/12	2016/07/28	37.50
68213	HK Bank – Haitong Sec R Bull CBBC Aug 2016 D	60,000,000	2015/11/12	2016/08/29	15.00
68234	HK Bank – PetCh R Bull CBBC Nov 2016 E	60,000,000	2015/11/12	2016/11/23	15.00
68323	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Dec 2016 A	100,000,000	2015/11/12	2016/12/30	25.00
68324	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Sep 2016 B	100,000,000	2015/11/12	2016/09/15	25.00
68329	J P Morgan SP BV – A50 China R Bull CBBC Dec 2016 A	100,000,000	2015/11/12	2016/12/30	25.00
68283	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Y	200,000,000	2015/11/12	2016/10/28	50.00
68298	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/11/12	2016/11/29	50.00
68297	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 R	200,000,000	2015/11/12	2016/12/29	50.00
68330	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 L	200,000,000	2015/11/12	2016/02/26	50.00
68342	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/11/12	2016/02/26	50.00
68343	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/12	2016/03/30	50.00
68350	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/11/12	2016/04/28	50.00
68325	J P Morgan SP BV – Ping An R Bull CBBC Oct 2016 E	100,000,000	2015/11/12	2016/10/14	25.00
68250	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 L	200,000,000	2015/11/12	2016/05/30	50.00
68236	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 M	200,000,000	2015/11/12	2016/06/29	50.00
68235	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 D	200,000,000	2015/11/12	2016/10/28	50.00
68275	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 E	200,000,000	2015/11/12	2016/10/28	50.00
68279	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 V	200,000,000	2015/11/12	2016/06/29	50.00
68281	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 W	200,000,000	2015/11/12	2016/06/29	50.00
68364	UBS AG – HSBC R Bull CBBC Nov 2016 B	100,000,000	2015/11/12	2016/11/21	25.00
68383	UBS AG – HSI R Bull CBBC Jun 2016 F	200,000,000	2015/11/12	2016/06/29	50.00
68385	UBS AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/11/12	2016/07/28	50.00
68401	UBS AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/12	2016/02/26	50.00
68408	UBS AG – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/11/12	2016/02/26	50.00
68371	UBS AG – Ping An R Bull CBBC Nov 2016 D	100,000,000	2015/11/12	2016/11/28	25.00
68370	UBS AG – TCH R Bull CBBC Jul 2016 B	50,000,000	2015/11/12	2016/07/11	12.50
68460	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/11/13	2016/03/30	50.00
68469	Credit Suisse AG – HSI R Bull CBBC Jul 2016 N	200,000,000	2015/11/13	2016/07/28	50.00
68470	Credit Suisse AG – HSI R Bull CBBC Jul 2016 V	200,000,000	2015/11/13	2016/07/28	50.00
68471	Credit Suisse AG – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/11/13	2016/04/28	50.00
68472	Credit Suisse AG – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/11/13	2016/04/28	50.00
68480	Credit Suisse AG – HSI R Bear CBBC Apr 2016 V	200,000,000	2015/11/13	2016/04/28	50.00
68468	Bank of East Asia – CKH R Bull CBBC Jan 2017 A	40,000,000	2015/11/13	2017/01/13	10.00
68464	Bank of East Asia – HSBC Holdings R Bull CBBC Dec 2016 B	40,000,000	2015/11/13	2016/12/01	10.00
68467	Bank of East Asia – TCH R Bull CBBC Dec 2016 A	40,000,000	2015/11/13	2016/12/02	10.00
68410	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 I	100,000,000	2015/11/13	2016/08/22	25.00
68443	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 S	200,000,000	2015/11/13	2016/12/29	50.00
68449	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 T	200,000,000	2015/11/13	2016/12/29	50.00
68546	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/13	2016/02/26	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
68547	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 F	200,000,000	2015/11/13	2016/04/28	50.00
68418	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 M	200,000,000	2015/11/13	2016/05/30	50.00
68441	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 N	200,000,000	2015/11/13	2016/05/30	50.00
68440	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 F	200,000,000	2015/11/13	2016/10/28	50.00
68459	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 X	200,000,000	2015/11/13	2016/06/29	50.00
68482	UBS AG – HSI R Bull CBBC Aug 2016 F	200,000,000	2015/11/13	2016/08/30	50.00
68509	UBS AG – HSI R Bull CBBC Aug 2016 G	200,000,000	2015/11/13	2016/08/30	50.00
68522	UBS AG – HSI R Bear CBBC Feb 2016 B	200,000,000	2015/11/13	2016/02/26	50.00
68523	UBS AG – HSI R Bear CBBC Feb 2016 E	200,000,000	2015/11/13	2016/02/26	50.00
68524	UBS AG – HSI R Bear CBBC Feb 2016 G	200,000,000	2015/11/13	2016/02/26	50.00
68785	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 F	100,000,000	2015/11/16	2016/09/30	25.00
68752	BNP Paribas Arbit Issu B.V. – CSOP A50 ETF R Bull CBBC Jun16B	100,000,000	2015/11/16	2016/06/30	25.00
68784	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2016 B	100,000,000	2015/11/16	2016/06/30	25.00
68663	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/11/16	2016/11/29	62.50
68703	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/11/16	2016/04/28	50.00
68595	Credit Suisse AG – CSOP A50 ETF R Bull CBBC Aug 2016 A	100,000,000	2015/11/16	2016/08/31	25.00
68603	Credit Suisse AG – A50 China R Bull CBBC Aug 2016 A	100,000,000	2015/11/16	2016/08/31	25.00
68609	Credit Suisse AG – HKEx R Bull CBBC Jul 2016 B	100,000,000	2015/11/16	2016/07/29	25.00
68610	Credit Suisse AG – HKEx R Bull CBBC Sep 2016 A	100,000,000	2015/11/16	2016/09/30	25.00
68619	Credit Suisse AG – HSI R Bull CBBC Jun 2016 M	200,000,000	2015/11/16	2016/06/29	50.00
68615	Credit Suisse AG – HSI R Bull CBBC Jun 2016 U	200,000,000	2015/11/16	2016/06/29	50.00
68626	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/16	2016/02/26	50.00
68589	Credit Suisse AG – HSI R Bear CBBC Feb 2016 O	200,000,000	2015/11/16	2016/02/26	50.00
68625	Credit Suisse AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/16	2016/02/26	50.00
68612	Credit Suisse AG – TCH R Bear CBBC Mar 2016 A	80,000,000	2015/11/16	2016/03/31	23.20
68681	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2016 A	100,000,000	2015/11/16	2016/09/15	25.00
68687	J P Morgan SP BV – CC Bank R Bull CBBC Sep 2016 B	100,000,000	2015/11/16	2016/09/15	25.00
68676	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Dec 2016 B	100,000,000	2015/11/16	2016/12/30	25.00
68678	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Oct 2016 A	100,000,000	2015/11/16	2016/10/14	25.00
68646	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Z	200,000,000	2015/11/16	2016/10/28	50.00
68653	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 U	200,000,000	2015/11/16	2016/12/29	50.00
68583	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 X	200,000,000	2015/11/16	2016/02/26	50.00
68667	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/11/16	2016/03/30	50.00
68553	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 O	200,000,000	2015/11/16	2016/05/30	50.00
68569	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 G	200,000,000	2015/11/16	2016/04/28	50.00
68570	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Y	200,000,000	2015/11/16	2016/06/29	50.00
68635	UBS AG – HSI R Bull CBBC Nov 2016 H	200,000,000	2015/11/16	2016/11/29	50.00
68627	UBS AG – HSI R Bear CBBC Feb 2016 I	200,000,000	2015/11/16	2016/02/26	50.00
68936	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 G	250,000,000	2015/11/17	2016/10/28	62.50
68933	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/11/17	2016/11/29	62.50
68928	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Z	250,000,000	2015/11/17	2016/12/29	62.50
69021	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 B	200,000,000	2015/11/17	2016/03/30	50.00
69016	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/11/17	2016/03/30	50.00
69017	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/11/17	2016/04/28	50.00
69010	Credit Suisse AG – HSI R Bull CBBC May 2016 A	200,000,000	2015/11/17	2016/05/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69009	Credit Suisse AG – HSI R Bull CBBC May 2016 K	200,000,000	2015/11/17	2016/05/30	50.00
69015	Credit Suisse AG – HSI R Bull CBBC May 2016 M	200,000,000	2015/11/17	2016/05/30	50.00
69035	Credit Suisse AG – HSI R Bull CBBC May 2016 W	200,000,000	2015/11/17	2016/05/30	50.00
69056	Credit Suisse AG – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/11/17	2016/03/30	50.00
69057	Credit Suisse AG – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/11/17	2016/03/30	50.00
69036	Credit Suisse AG – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/11/17	2016/03/30	50.00
69042	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/11/17	2016/03/30	50.00
69055	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/11/17	2016/03/30	50.00
68952	Bank of East Asia – CITIC Sec R Bull CBBC Dec 2016 B	30,000,000	2015/11/17	2016/12/06	13.50
68962	Bank of East Asia – A50 China R Bull CBBC Nov 2016 A	60,000,000	2015/11/17	2016/11/21	15.00
68957	Bank of East Asia – HKEx R Bull CBBC Nov 2016 A	40,000,000	2015/11/17	2016/11/18	10.00
68961	Bank of East Asia – HKEx R Bear CBBC Nov 2016 A	40,000,000	2015/11/17	2016/11/18	10.00
68955	Bank of East Asia – Haitong Sec R Bull CBBC Dec 2016 F	35,000,000	2015/11/17	2016/12/07	10.50
68835	HK Bank – AIA R Bull CBBC Sep 2016 F	60,000,000	2015/11/17	2016/09/26	19.80
68793	HK Bank – CAM CSI300 R Bull CBBC Oct 2016 E	100,000,000	2015/11/17	2016/10/17	36.00
68830	HK Bank – CITIC Sec R Bull CBBC Sep 2016 E	60,000,000	2015/11/17	2016/09/12	22.80
68831	HK Bank – CM Bank R Bull CBBC Oct 2016 D	40,000,000	2015/11/17	2016/10/24	10.80
68791	HK Bank – CSOP A50 ETF R Bull CBBC Aug 2016 J	100,000,000	2015/11/17	2016/08/18	25.00
68792	HK Bank – A50 R Bull CBBC Oct 2016 E	100,000,000	2015/11/17	2016/10/31	25.00
68825	HK Bank – Galaxy Ent R Bear CBBC Jul 2016 A	50,000,000	2015/11/17	2016/07/25	30.50
68824	HK Bank – Galaxy Ent R Bear CBBC Nov 2016 B	50,000,000	2015/11/17	2016/11/28	12.50
68832	HK Bank – HSI R Bear CBBC Sep 2016 F	100,000,000	2015/11/17	2016/09/29	25.00
68798	HK Bank – Sands China R Bear CBBC Apr 2016 B	50,000,000	2015/11/17	2016/04/25	24.50
68800	HK Bank – Sands China R Bear CBBC Nov 2016 C	50,000,000	2015/11/17	2016/11/28	12.50
68805	HK Bank – TCH R Bull CBBC Jul 2016 O	100,000,000	2015/11/17	2016/07/25	25.00
69074	J P Morgan SP BV – China Life R Bull CBBC Oct 2016 A	100,000,000	2015/11/17	2016/10/14	25.00
69081	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 B	200,000,000	2015/11/17	2016/11/29	50.00
68973	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/11/17	2016/11/29	50.00
69080	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Z	200,000,000	2015/11/17	2016/11/29	50.00
68967	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 V	200,000,000	2015/11/17	2016/12/29	50.00
69092	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 W	200,000,000	2015/11/17	2016/12/29	50.00
69076	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 P	200,000,000	2015/11/17	2016/02/26	50.00
69077	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/17	2016/03/30	50.00
69078	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/11/17	2016/04/28	50.00
68947	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 A	40,000,000	2015/11/17	2016/05/30	10.20
68948	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 B	40,000,000	2015/11/17	2016/05/30	10.00
68950	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 C	40,000,000	2015/11/17	2016/05/30	10.40
69060	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 C	40,000,000	2015/11/17	2016/05/30	10.40
69069	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 D	40,000,000	2015/11/17	2016/05/30	10.20
69072	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 E	40,000,000	2015/11/17	2016/05/30	10.00
68839	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 N	200,000,000	2015/11/17	2016/06/29	50.00
68906	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Q	200,000,000	2015/11/17	2016/06/29	50.00
68995	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 A	200,000,000	2015/11/17	2016/05/30	50.00
69008	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 P	400,000,000	2015/11/17	2016/05/30	100.00
68979	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Q	200,000,000	2015/11/17	2016/05/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69006	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Z	200,000,000	2015/11/17	2016/06/29	50.00
69025	UBS AG – HSI R Bull CBBC Oct 2016 L	200,000,000	2015/11/17	2016/10/28	50.00
69027	UBS AG – HSI R Bull CBBC Nov 2016 I	200,000,000	2015/11/17	2016/11/29	50.00
69031	UBS AG – HSI R Bear CBBC Feb 2016 J	200,000,000	2015/11/17	2016/02/26	50.00
69034	UBS AG – HSI R Bear CBBC Feb 2016 M	200,000,000	2015/11/17	2016/02/26	50.00
69032	UBS AG – HSI R Bear CBBC Feb 2016 Y	200,000,000	2015/11/17	2016/02/26	50.00
69154	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 H	100,000,000	2015/11/18	2016/04/28	25.00
69140	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/11/18	2016/04/28	50.00
69134	Credit Suisse AG – HSCEI R Bear CBBC Feb 2016 C	100,000,000	2015/11/18	2016/02/26	25.00
69138	Credit Suisse AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/11/18	2016/09/29	50.00
69139	Credit Suisse AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/18	2016/02/26	50.00
69156	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/18	2016/02/26	50.00
69100	HK Bank – CKH R Bull CBBC Dec 2016 A	60,000,000	2015/11/18	2016/12/19	15.00
69109	HK Bank – CKP R Bull CBBC Oct 2016 A	50,000,000	2015/11/18	2016/10/24	12.50
69111	HK Bank – CKP R Bull CBBC Dec 2016 A	50,000,000	2015/11/18	2016/12/12	12.50
69126	HK Bank – Galaxy Ent R Bull CBBC Oct 2016 D	50,000,000	2015/11/18	2016/10/31	26.50
69125	HK Bank – Galaxy Ent R Bull CBBC Dec 2016 C	50,000,000	2015/11/18	2016/12/12	12.50
69093	HK Bank – HSI R Bear CBBC Aug 2016 I	100,000,000	2015/11/18	2016/08/30	25.00
69098	HK Bank – SHK Ppt R Bull CBBC Dec 2016 D	60,000,000	2015/11/18	2016/12/23	15.00
69102	HK Bank – TCH R Bear CBBC Jul 2016 G	100,000,000	2015/11/18	2016/07/25	25.00
69195	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 B	200,000,000	2015/11/18	2016/10/28	50.00
69203	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/18	2016/02/26	50.00
69201	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/11/18	2016/03/30	50.00
69200	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/11/18	2016/03/30	50.00
69127	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 G	200,000,000	2015/11/18	2016/10/28	50.00
69133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 A	200,000,000	2015/11/18	2016/06/29	50.00
69157	UBS AG – HSI R Bull CBBC Sep 2016 K	200,000,000	2015/11/18	2016/09/29	50.00
69168	UBS AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/18	2016/02/26	50.00
69169	UBS AG – HSI R Bear CBBC Feb 2016 V	200,000,000	2015/11/18	2016/02/26	50.00
69355	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 D	40,000,000	2015/11/19	2016/09/30	10.00
69353	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 H	250,000,000	2015/11/19	2016/10/28	62.50
69354	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 A	200,000,000	2015/11/19	2016/05/30	50.00
69279	Credit Suisse AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/11/19	2016/10/28	50.00
69281	Credit Suisse AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/11/19	2016/10/28	50.00
69282	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/11/19	2016/10/28	50.00
69303	Credit Suisse AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/11/19	2016/10/28	50.00
69272	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/11/19	2016/03/30	50.00
69243	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/19	2016/03/30	50.00
69250	Credit Suisse AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/19	2016/03/30	50.00
69219	HK Bank – AIA R Bull CBBC Oct 2016 E	60,000,000	2015/11/19	2016/10/24	60.60
69218	HK Bank – China Life R Bear CBBC Oct 2016 C	50,000,000	2015/11/19	2016/10/31	19.50
69213	HK Bank – Sinopec Corp R Bull CBBC Nov 2016 D	80,000,000	2015/11/19	2016/11/30	20.00
69227	HK Bank – PetCh R Bull CBBC Dec 2016 F	60,000,000	2015/11/19	2016/12/08	15.00
69217	HK Bank – TCH R Bull CBBC Dec 2016 A	100,000,000	2015/11/19	2016/12/19	25.00
69356	J P Morgan SP BV – China Mobile R Bull CBBC Oct 2016 A	100,000,000	2015/11/19	2016/10/14	25.00

Main Board Callable Bull/Bear Contracts

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69391	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 C	150,000,000	2015/11/19	2016/11/29	37.50
69410	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 E	150,000,000	2015/11/19	2016/11/29	37.50
69411	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 N	150,000,000	2015/11/19	2016/02/26	37.50
69304	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Q	150,000,000	2015/11/19	2016/02/26	37.50
69415	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 B	150,000,000	2015/11/19	2016/03/30	37.50
69305	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 T	150,000,000	2015/11/19	2016/03/30	37.50
69364	J P Morgan SP BV – Tencent R Bull CBBC Jun 2016 F	100,000,000	2015/11/19	2016/06/17	25.00
69366	J P Morgan SP BV – Tencent R Bear CBBC Dec 2016 A	100,000,000	2015/11/19	2016/12/16	28.00
69275	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 D	40,000,000	2015/11/19	2016/05/30	10.00
69276	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 F	40,000,000	2015/11/19	2016/05/30	10.00
69278	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 G	40,000,000	2015/11/19	2016/05/30	10.20
69307	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 X	200,000,000	2015/11/19	2016/05/30	50.00
69342	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 V	200,000,000	2015/11/19	2016/06/29	50.00
69312	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 H	200,000,000	2015/11/19	2016/10/28	50.00
69231	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 I	200,000,000	2015/11/19	2016/04/28	50.00
69236	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 S	200,000,000	2015/11/19	2016/04/28	50.00
69230	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 X	200,000,000	2015/11/19	2016/04/28	50.00
69459	UBS AG – HSI R Bull CBBC Jun 2016 N	200,000,000	2015/11/19	2016/06/29	50.00
69460	UBS AG – HSI R Bull CBBC Oct 2016 M	200,000,000	2015/11/19	2016/10/28	50.00
69457	UBS AG – HSI R Bull CBBC Dec 2016 D	200,000,000	2015/11/19	2016/12/29	50.00
69458	UBS AG – HSI R Bull CBBC Dec 2016 E	200,000,000	2015/11/19	2016/12/29	50.00
69454	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/19	2016/02/26	50.00
69419	UBS AG – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/19	2016/02/26	50.00
69455	UBS AG – HSI R Bear CBBC Feb 2016 Z	200,000,000	2015/11/19	2016/02/26	50.00
69517	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 I	250,000,000	2015/11/20	2016/10/28	62.50
69523	Credit Suisse AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2015/11/20	2016/11/29	50.00
69524	Credit Suisse AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/11/20	2016/11/29	50.00
69526	Credit Suisse AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/20	2016/02/26	50.00
69530	Credit Suisse AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/20	2016/02/26	50.00
69542	Credit Suisse AG – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/11/20	2016/04/28	50.00
69466	HK Bank – HKEx R Bull CBBC Sep 2016 J	100,000,000	2015/11/20	2016/09/26	25.00
69465	HK Bank – HKEx R Bull CBBC Oct 2016 M	100,000,000	2015/11/20	2016/10/21	25.00
69464	HK Bank – HSCEI R Bull CBBC Jul 2016 H	100,000,000	2015/11/20	2016/07/28	25.00
69462	HK Bank – HSI R Bull CBBC Jul 2016 Z	150,000,000	2015/11/20	2016/07/28	37.50
69463	HK Bank – HSI R Bull CBBC Aug 2016 R	150,000,000	2015/11/20	2016/08/30	37.50
69559	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 C	100,000,000	2015/11/20	2016/09/15	25.00
69570	J P Morgan SP BV – HKEx R Bear CBBC Jul 2016 B	100,000,000	2015/11/20	2016/07/15	25.00
69579	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 V	200,000,000	2015/11/20	2016/09/29	50.00
69478	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 C	150,000,000	2015/11/20	2016/10/28	37.50
69573	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/11/20	2016/04/28	50.00
69578	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/11/20	2016/04/28	50.00
69473	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 T	200,000,000	2015/11/20	2016/05/30	50.00
69476	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 U	200,000,000	2015/11/20	2016/05/30	50.00
69477	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 I	200,000,000	2015/11/20	2016/10/28	50.00
69549	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2015/11/20	2016/05/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69546	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 B	200,000,000	2015/11/20	2016/06/29	50.00
69505	UBS AG – China Mobile R Bull CBBC Oct 2016 C	50,000,000	2015/11/20	2016/10/24	12.50
69490	UBS AG – HSI R Bull CBBC Sep 2016 G	200,000,000	2015/11/20	2016/09/29	50.00
69489	UBS AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/11/20	2016/10/28	50.00
69629	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2015/11/23	2016/11/29	62.50
69617	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 J	250,000,000	2015/11/23	2016/11/29	62.50
69618	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 O	250,000,000	2015/11/23	2016/12/29	62.50
69616	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Dec 2016 Y	250,000,000	2015/11/23	2016/12/29	62.50
69654	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/11/23	2016/03/30	50.00
69659	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/11/23	2016/03/30	50.00
69656	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/11/23	2016/04/28	50.00
69660	Credit Suisse AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/11/23	2016/11/29	50.00
69661	Credit Suisse AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/11/23	2016/11/29	50.00
69676	Credit Suisse AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/11/23	2016/11/29	50.00
69678	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/11/23	2016/03/30	50.00
69681	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/11/23	2016/03/30	50.00
69751	J P Morgan SP BV – HKEx R Bear CBBC Jul 2016 C	100,000,000	2015/11/23	2016/07/15	25.00
69639	J P Morgan SP BV – HSCEI R Bull CBBC Oct 2016 B	100,000,000	2015/11/23	2016/10/28	25.00
69640	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 W	150,000,000	2015/11/23	2016/09/29	37.50
69641	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 D	150,000,000	2015/11/23	2016/10/28	37.50
69752	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 S	150,000,000	2015/11/23	2016/02/26	37.50
69762	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	150,000,000	2015/11/23	2016/03/30	37.50
69731	J P Morgan SP BV – Tencent R Bear CBBC Jun 2016 C	100,000,000	2015/11/23	2016/06/17	25.00
69652	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 H	40,000,000	2015/11/23	2016/05/30	10.00
69615	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 A	200,000,000	2015/11/23	2016/06/29	50.00
69598	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 D	200,000,000	2015/11/23	2016/06/29	50.00
69599	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 U	200,000,000	2015/11/23	2016/07/28	50.00
69613	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/11/23	2016/09/29	50.00
69642	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 E	200,000,000	2015/11/23	2016/04/28	50.00
69644	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 L	200,000,000	2015/11/23	2016/04/28	50.00
69650	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/11/23	2016/05/30	50.00
69704	UBS AG – HSCEI R Bear CBBC Feb 2016 D	200,000,000	2015/11/23	2016/02/26	50.00
69683	UBS AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2015/11/23	2016/11/29	50.00
69682	UBS AG – HSI R Bull CBBC Dec 2016 F	200,000,000	2015/11/23	2016/12/29	50.00
69689	UBS AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2015/11/23	2016/12/29	50.00
69697	UBS AG – HSI R Bear CBBC Feb 2016 A	200,000,000	2015/11/23	2016/02/26	50.00
69694	UBS AG – HSI R Bear CBBC Feb 2016 U	200,000,000	2015/11/23	2016/02/26	50.00
69836	Credit Suisse AG – HSI R Bull CBBC Sep 2016 V	200,000,000	2015/11/24	2016/09/29	50.00
69837	Credit Suisse AG – HSI R Bull CBBC Sep 2016 W	200,000,000	2015/11/24	2016/09/29	50.00
69840	Credit Suisse AG – HSI R Bull CBBC Sep 2016 X	200,000,000	2015/11/24	2016/09/29	50.00
69832	Credit Suisse AG – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/11/24	2016/04/28	50.00
69831	Credit Suisse AG – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/11/24	2016/04/28	50.00
69787	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 C	200,000,000	2015/11/24	2016/07/28	52.00
69788	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 C	200,000,000	2015/11/24	2016/04/28	51.80
69768	HK Bank – ABC R Bull CBBC Jan 2017 A	80,000,000	2015/11/24	2017/01/16	20.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
69772	HK Bank – China Mobile R Bull CBBC Dec 2016 B	120,000,000	2015/11/24	2016/12/05	30.00
69773	HK Bank – A50 R Bull CBBC Dec 2016 D	100,000,000	2015/11/24	2016/12/30	25.00
69774	HK Bank – HS H-SH ETF R Bull CBBC Jan 2017 A	100,000,000	2015/11/24	2017/01/11	25.00
69763	HK Bank – HSI R Bear CBBC May 2016 X	100,000,000	2015/11/24	2016/05/30	25.00
69764	HK Bank – HSI R Bear CBBC May 2016 Y	100,000,000	2015/11/24	2016/05/30	25.00
69766	HK Bank – HSI R Bear CBBC Oct 2016 F	400,000,000	2015/11/24	2016/10/28	100.00
69915	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 U	100,000,000	2015/11/24	2016/04/28	25.00
69822	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 X	150,000,000	2015/11/24	2016/12/29	37.50
69823	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Y	150,000,000	2015/11/24	2016/12/29	37.50
69953	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Z	150,000,000	2015/11/24	2016/12/29	37.50
69819	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 T	150,000,000	2015/11/24	2016/02/26	37.50
69917	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	150,000,000	2015/11/24	2016/03/30	37.50
69931	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	150,000,000	2015/11/24	2016/03/30	37.50
69814	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 Y	200,000,000	2015/11/24	2016/05/30	50.00
69816	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 J	200,000,000	2015/11/24	2016/06/29	50.00
69802	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 J	200,000,000	2015/11/24	2016/10/28	50.00
69786	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2015/11/24	2016/05/30	50.00
69784	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/11/24	2016/05/30	50.00
69854	UBS AG – HSI R Bull CBBC Sep 2016 M	200,000,000	2015/11/24	2016/09/29	50.00
69866	UBS AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/11/24	2016/10/28	50.00
69876	UBS AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/11/24	2016/10/28	50.00
69843	UBS AG – HSI R Bear CBBC Feb 2016 D	200,000,000	2015/11/24	2016/02/26	50.00
69848	UBS AG – HSI R Bear CBBC Feb 2016 J	200,000,000	2015/11/24	2016/02/26	50.00
69844	UBS AG – HSI R Bear CBBC Feb 2016 S	200,000,000	2015/11/24	2016/02/26	50.00
60033	Credit Suisse AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/11/25	2016/10/28	50.00
60036	Credit Suisse AG – HSI R Bull CBBC Oct 2016 T	200,000,000	2015/11/25	2016/10/28	50.00
60040	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/11/25	2016/03/30	50.00
69973	Bank of East Asia – China Mobile R Bull CBBC Dec 2016 B	40,000,000	2015/11/25	2016/12/19	10.00
69987	Bank of East Asia – Galaxy Ent R Bull CBBC Dec 2016 C	20,000,000	2015/11/25	2016/12/07	10.40
69990	Bank of East Asia – HSBC Holdings R Bull CBBC Nov 2016 B	40,000,000	2015/11/25	2016/11/02	10.00
69989	Bank of East Asia – PetCh R Bull CBBC Dec 2016 B	50,000,000	2015/11/25	2016/12/05	12.50
69992	Bank of East Asia – Ping An R Bull CBBC Nov 2016 A	60,000,000	2015/11/25	2016/11/01	15.00
60032	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 V	100,000,000	2015/11/25	2016/04/28	25.00
60008	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 I	150,000,000	2015/11/25	2016/10/28	37.50
60014	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 F	150,000,000	2015/11/25	2016/12/29	37.50
60030	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 K	150,000,000	2015/11/25	2016/12/29	37.50
60174	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Y	150,000,000	2015/11/25	2016/03/30	37.50
60185	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	150,000,000	2015/11/25	2016/04/28	37.50
60138	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 E	40,000,000	2015/11/25	2016/05/30	10.20
60167	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 F	40,000,000	2015/11/25	2016/05/30	10.00
69954	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 K	200,000,000	2015/11/25	2016/10/28	50.00
69968	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/11/25	2016/05/30	50.00
69962	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 C	200,000,000	2015/11/25	2016/06/29	50.00
60057	UBS AG – CSOP A50 ETF R Bull CBBC Jun 2016 A	50,000,000	2015/11/25	2016/06/06	12.50
60052	UBS AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/11/25	2016/11/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60050	UBS AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2015/11/25	2016/12/29	50.00
60094	UBS AG – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/11/25	2016/03/30	50.00
60363	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/11/26	2016/11/29	62.50
60218	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/11/26	2016/03/30	50.00
60215	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/11/26	2016/04/28	50.00
60310	Credit Suisse AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2015/11/26	2016/11/29	50.00
60319	Credit Suisse AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/11/26	2016/11/29	50.00
60340	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2015/11/26	2016/11/29	50.00
60285	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/11/26	2016/03/30	50.00
60286	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/11/26	2016/03/30	50.00
60364	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 F	150,000,000	2015/11/26	2016/11/29	37.50
60356	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 H	150,000,000	2015/11/26	2017/01/26	37.50
60238	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 X	150,000,000	2015/11/26	2016/03/30	37.50
60343	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 A	150,000,000	2015/11/26	2016/04/28	37.50
60219	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	150,000,000	2015/11/26	2016/04/28	37.50
60246	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 T	200,000,000	2015/11/26	2016/06/29	50.00
60261	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 L	200,000,000	2015/11/26	2016/10/28	50.00
60211	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 H	200,000,000	2015/11/26	2016/04/28	50.00
60212	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 F	200,000,000	2015/11/26	2016/06/29	50.00
60284	UBS AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/11/26	2016/12/29	50.00
60264	UBS AG – HSI R Bear CBBC Feb 2016 R	200,000,000	2015/11/26	2016/02/26	50.00
60282	UBS AG – HSI R Bear CBBC Feb 2016 W	200,000,000	2015/11/26	2016/02/26	50.00
60501	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 J	250,000,000	2015/11/27	2016/10/28	62.50
60502	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/11/27	2016/11/29	62.50
60500	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 R	250,000,000	2015/11/27	2016/11/29	62.50
60374	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/11/27	2016/04/28	50.00
60394	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/11/27	2016/04/28	50.00
60384	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 B	200,000,000	2015/11/27	2016/05/30	50.00
60486	Credit Suisse AG – HSI R Bull CBBC Dec 2016 G	200,000,000	2015/11/27	2016/12/29	50.00
60488	Credit Suisse AG – HSI R Bull CBBC Dec 2016 H	200,000,000	2015/11/27	2016/12/29	50.00
60489	Credit Suisse AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/11/27	2016/12/29	50.00
60484	Credit Suisse AG – HSI R Bear CBBC Feb 2016 F	200,000,000	2015/11/27	2016/02/26	50.00
60470	Credit Suisse AG – HSI R Bear CBBC Feb 2016 T	200,000,000	2015/11/27	2016/02/26	50.00
60520	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 X	150,000,000	2015/11/27	2016/09/29	37.50
60507	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 K	150,000,000	2015/11/27	2016/10/28	37.50
60523	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 L	150,000,000	2015/11/27	2016/10/28	37.50
60524	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 V	150,000,000	2015/11/27	2016/02/26	37.50
60497	J P Morgan SP BV – HSI R Bear CBBC Feb 2016 Z	150,000,000	2015/11/27	2016/02/26	37.50
60498	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Z	150,000,000	2015/11/27	2016/03/30	37.50
60503	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC May16 Z	200,000,000	2015/11/27	2016/05/30	50.00
60504	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 M	200,000,000	2015/11/27	2016/10/28	50.00
60415	UBS AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/11/27	2016/10/28	50.00
60372	UBS AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/11/27	2016/11/29	50.00
60400	UBS AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/11/27	2016/11/29	50.00
60396	UBS AG – HSI R Bear CBBC Feb 2016 Q	200,000,000	2015/11/27	2016/02/26	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60642	Credit Suisse AG – HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/11/30	2016/03/30	25.00
60643	Credit Suisse AG – HSCEI R Bear CBBC Apr 2016 A	100,000,000	2015/11/30	2016/04/28	25.00
60670	Credit Suisse AG – HSI R Bull CBBC Aug 2016 Y	200,000,000	2015/11/30	2016/08/30	50.00
60673	Credit Suisse AG – HSI R Bull CBBC Aug 2016 Z	200,000,000	2015/11/30	2016/08/30	50.00
60699	Credit Suisse AG – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/11/30	2016/03/30	50.00
60683	Credit Suisse AG – HSI R Bear CBBC May 2016 S	200,000,000	2015/11/30	2016/05/30	50.00
60624	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 D	200,000,000	2015/11/30	2016/07/28	50.00
60640	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 E	200,000,000	2015/11/30	2016/07/28	50.00
60641	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/11/30	2016/04/28	51.60
60573	HK Bank – AIA R Bear CBBC Dec 2016 A	40,000,000	2015/11/30	2016/12/30	10.00
60612	HK Bank – CC Bank R Bull CBBC Dec 2016 F	100,000,000	2015/11/30	2016/12/16	25.00
60621	HK Bank – Galaxy Ent R Bear CBBC Jun 2016 B	50,000,000	2015/11/30	2016/06/27	27.50
60610	HK Bank – HKEX R Bull CBBC Sep 2016 K	100,000,000	2015/11/30	2016/09/26	25.00
60526	HK Bank – HSI R Bull CBBC Aug 2016 S	150,000,000	2015/11/30	2016/08/30	37.50
60572	HK Bank – HSI R Bull CBBC Sep 2016 W	150,000,000	2015/11/30	2016/09/29	37.50
60620	HK Bank – TCH R Bull CBBC Sep 2016 G	100,000,000	2015/11/30	2016/09/26	25.00
60768	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 I	150,000,000	2015/11/30	2016/11/29	37.50
60746	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 D	200,000,000	2015/11/30	2016/03/30	50.00
60740	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 B	200,000,000	2015/11/30	2016/06/29	50.00
60744	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 D	200,000,000	2015/11/30	2016/05/30	50.00
60732	UBS AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/11/30	2016/11/29	50.00
60731	UBS AG – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/11/30	2016/12/29	50.00
60723	UBS AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/11/30	2016/03/30	50.00
60806	Credit Suisse AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/12/01	2016/10/28	50.00
60813	Credit Suisse AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/12/01	2016/04/28	50.00
60807	Credit Suisse AG – HSI R Bear CBBC Apr 2016 U	200,000,000	2015/12/01	2016/04/28	50.00
60820	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	150,000,000	2015/12/01	2016/12/29	37.50
60816	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	150,000,000	2015/12/01	2016/12/29	37.50
60819	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 N	150,000,000	2015/12/01	2016/04/28	37.50
60824	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 O	150,000,000	2015/12/01	2016/04/28	37.50
60774	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul 16R	200,000,000	2015/12/01	2016/07/28	50.00
60779	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr 16J	200,000,000	2015/12/01	2016/04/28	50.00
60778	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun 16G	200,000,000	2015/12/01	2016/06/29	50.00
60800	UBS AG – CSOP A50 ETF R Bear CBBC Jun 2016 A	50,000,000	2015/12/01	2016/06/13	12.50
60789	UBS AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/12/01	2016/10/28	50.00
60780	UBS AG – HSI R Bull CBBC Nov 2016 O	200,000,000	2015/12/01	2016/11/29	50.00
60797	UBS AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/12/01	2016/03/30	50.00
60853	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 A	100,000,000	2015/12/02	2016/05/30	25.00
60836	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 K	250,000,000	2015/12/02	2016/10/28	62.50
60848	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 C	200,000,000	2015/12/02	2016/05/30	50.00
60863	Credit Suisse AG – HSI R Bull CBBC Aug 2016 A	200,000,000	2015/12/02	2016/08/30	50.00
60864	Credit Suisse AG – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/12/02	2016/03/30	50.00
60867	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/12/02	2016/03/30	50.00
60876	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 H	150,000,000	2015/12/02	2016/10/28	37.50
60879	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 R	150,000,000	2015/12/02	2016/04/28	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
60854	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 E	200,000,000	2015/12/02	2016/06/29	50.00
60857	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/12/02	2016/05/30	50.00
60860	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 G	200,000,000	2015/12/02	2016/05/30	50.00
60870	UBS AG – CAM CSI300 R Bull CBBC Oct 2016 C	100,000,000	2015/12/02	2016/10/03	25.00
60871	UBS AG – HSCEI R Bull CBBC Nov 2016 C	200,000,000	2015/12/02	2016/11/29	50.00
60872	UBS AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/02	2016/03/30	50.00
60869	UBS AG – TCH R Bull CBBC Jul 2016 C	50,000,000	2015/12/02	2016/07/04	12.50
60915	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 M	250,000,000	2015/12/03	2016/11/29	62.50
60880	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/12/03	2016/03/30	50.00
60881	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 K	200,000,000	2015/12/03	2016/04/28	76.00
60991	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Y	200,000,000	2015/12/03	2016/09/29	50.00
61017	Credit Suisse AG – HSI R Bull CBBC Sep 2016 Z	200,000,000	2015/12/03	2016/09/29	50.00
60938	Credit Suisse AG – HSI R Bear CBBC Mar 2016 P	200,000,000	2015/12/03	2016/03/30	50.00
61043	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 R	150,000,000	2015/12/03	2016/11/29	37.50
61041	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 M	150,000,000	2015/12/03	2016/12/29	37.50
61040	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 E	150,000,000	2015/12/03	2016/03/30	37.50
60911	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 H	200,000,000	2015/12/03	2016/05/30	50.00
60883	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 H	200,000,000	2015/12/03	2016/06/29	50.00
61032	UBS AG – HSI R Bull CBBC Dec 2016 K	200,000,000	2015/12/03	2016/12/29	50.00
61033	UBS AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/12/03	2016/03/30	50.00
61035	UBS AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/03	2016/03/30	50.00
61103	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 B	75,000,000	2015/12/04	2016/12/30	18.75
61056	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 L	250,000,000	2015/12/04	2016/10/28	62.50
61310	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 M	250,000,000	2015/12/04	2016/10/28	62.50
61061	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 O	250,000,000	2015/12/04	2016/11/29	62.50
61098	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 Q	250,000,000	2015/12/04	2016/11/29	62.50
61102	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 J	200,000,000	2015/12/04	2016/04/28	50.00
61099	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 D	200,000,000	2015/12/04	2016/05/30	50.00
61312	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 E	200,000,000	2015/12/04	2016/05/30	50.00
61132	Credit Suisse AG – China Mobile R Bull CBBC Jul 2016 A	80,000,000	2015/12/04	2016/07/29	20.00
61138	Credit Suisse AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/04	2016/10/28	50.00
61144	Credit Suisse AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/12/04	2016/10/28	50.00
61147	Credit Suisse AG – HSI R Bull CBBC Oct 2016 X	200,000,000	2015/12/04	2016/10/28	50.00
61172	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/04	2016/03/30	50.00
61148	Credit Suisse AG – HSI R Bear CBBC Mar 2016 R	200,000,000	2015/12/04	2016/03/30	50.00
61149	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/04	2016/03/30	50.00
61120	Bank of East Asia – BOCL R Bull CBBC Nov 2016 A	60,000,000	2015/12/04	2016/11/04	15.00
61104	Bank of East Asia – COVS R Bull CBBC Dec 2016 B	25,000,000	2015/12/04	2016/12/16	10.00
61119	Bank of East Asia – CC Bank R Bull CBBC Nov 2016 B	60,000,000	2015/12/04	2016/11/03	15.00
61110	Bank of East Asia – CITIC R Bull CBBC Dec 2016 A	40,000,000	2015/12/04	2016/12/23	10.00
61246	J P Morgan SP BV – China Mobile R Bull CBBC Oct 2016 B	100,000,000	2015/12/04	2016/10/21	25.00
61263	J P Morgan SP BV – CSOP A50 ETF R Bull CBBC Aug 2016 D	100,000,000	2015/12/04	2016/08/19	25.00
61259	J P Morgan SP BV – CSOP A50 ETF R Bear CBBC Jul 2016 B	100,000,000	2015/12/04	2016/07/15	25.00
61250	J P Morgan SP BV – A50 China R Bear CBBC Jun 2016 D	100,000,000	2015/12/04	2016/06/17	25.00
61243	J P Morgan SP BV – HSCEI R Bull CBBC Oct 2016 C	100,000,000	2015/12/04	2016/10/28	25.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
61245	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 W	100,000,000	2015/12/04	2016/04/28	25.00
61236	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 J	150,000,000	2015/12/04	2016/10/28	37.50
61093	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Q	150,000,000	2015/12/04	2016/11/29	37.50
61215	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 N	150,000,000	2015/12/04	2016/12/29	37.50
61237	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 P	150,000,000	2015/12/04	2016/12/29	37.50
61242	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 I	150,000,000	2015/12/04	2017/01/26	37.50
61232	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 F	150,000,000	2015/12/04	2016/03/30	37.50
61233	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 G	150,000,000	2015/12/04	2016/03/30	37.50
61235	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 S	150,000,000	2015/12/04	2016/04/28	37.50
61094	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 I	40,000,000	2015/12/04	2016/05/30	10.00
61071	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 P	200,000,000	2015/12/04	2016/06/29	50.00
61096	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 R	200,000,000	2015/12/04	2016/06/29	50.00
61083	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 N	200,000,000	2015/12/04	2016/10/28	50.00
61131	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 K	200,000,000	2015/12/04	2016/04/28	50.00
61127	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/12/04	2016/05/30	50.00
61129	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 D	200,000,000	2015/12/04	2016/06/29	50.00
61178	UBS AG – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/12/04	2016/11/29	50.00
61174	UBS AG – HSI R Bull CBBC Dec 2016 L	200,000,000	2015/12/04	2016/12/29	50.00
61179	UBS AG – HSI R Bull CBBC Dec 2016 M	200,000,000	2015/12/04	2016/12/29	50.00
61193	UBS AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/12/04	2016/03/30	50.00
61212	UBS AG – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/12/04	2016/03/30	50.00
61213	UBS AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/04	2016/03/30	50.00
61423	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 I	100,000,000	2015/12/07	2016/04/28	25.00
61422	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 B	100,000,000	2015/12/07	2016/05/30	25.00
61421	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/12/07	2016/04/28	50.00
61485	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 R	200,000,000	2015/12/07	2016/04/28	50.00
61463	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Y	200,000,000	2015/12/07	2016/10/28	50.00
61464	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Z	200,000,000	2015/12/07	2016/10/28	50.00
61466	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/07	2016/03/30	50.00
61468	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/07	2016/03/30	50.00
61477	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/07	2016/03/30	50.00
61338	HK Bank – HSCEI R Bear CBBC Aug 2016 F	68,000,000	2015/12/07	2016/08/30	17.00
61316	HK Bank – HSI R Bear CBBC Aug 2016 J	100,000,000	2015/12/07	2016/08/30	25.00
61323	HK Bank – HSI R Bear CBBC Sep 2016 G	100,000,000	2015/12/07	2016/09/29	25.00
61506	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 T	150,000,000	2015/12/07	2016/10/28	37.50
61339	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 O	150,000,000	2015/12/07	2016/11/29	37.50
61509	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 J	150,000,000	2015/12/07	2017/01/26	37.50
61487	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 M	150,000,000	2015/12/07	2016/03/30	37.50
61501	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Q	150,000,000	2015/12/07	2016/03/30	37.50
61504	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 M	150,000,000	2015/12/07	2016/04/28	37.50
61495	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	150,000,000	2015/12/07	2016/04/28	37.50
61341	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 G	40,000,000	2015/12/07	2016/05/30	10.00
61351	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 H	40,000,000	2015/12/07	2016/05/30	10.20
61358	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 J	40,000,000	2015/12/07	2016/05/30	10.00
61373	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 K	40,000,000	2015/12/07	2016/05/30	10.20

Main Board Callable Bull/Bear Contracts

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61378	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 J	200,000,000	2015/12/07	2016/09/29	50.00
61395	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 K	200,000,000	2015/12/07	2016/05/30	50.00
61385	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 E	200,000,000	2015/12/07	2016/06/29	50.00
61410	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 I	200,000,000	2015/12/07	2016/06/29	50.00
61436	UBS AG – HSI R Bull CBBC Dec 2016 N	200,000,000	2015/12/07	2016/12/29	50.00
61440	UBS AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/07	2016/03/30	50.00
61444	UBS AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/12/07	2016/03/30	50.00
61462	UBS AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/12/07	2016/03/30	50.00
61609	Credit Suisse AG – HSI R Bull CBBC Oct 2016 A	200,000,000	2015/12/08	2016/10/28	50.00
61607	Credit Suisse AG – HSI R Bull CBBC Oct 2016 D	200,000,000	2015/12/08	2016/10/28	50.00
61603	Credit Suisse AG – HSI R Bull CBBC Oct 2016 K	200,000,000	2015/12/08	2016/10/28	50.00
61600	Credit Suisse AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/12/08	2016/10/28	50.00
61584	Credit Suisse AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/12/08	2016/04/28	50.00
61599	Credit Suisse AG – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/12/08	2016/04/28	50.00
61585	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/12/08	2016/04/28	50.00
61597	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Z	200,000,000	2015/12/08	2016/04/28	50.00
61540	HK Bank – China Mobile R Bull CBBC Oct 2016 H	120,000,000	2015/12/08	2016/10/17	30.00
61549	HK Bank – CNOOC R Bull CBBC Oct 2016 F	60,000,000	2015/12/08	2016/10/24	15.00
61533	HK Bank – Galaxy Ent R Bull CBBC Aug 2017 A	50,000,000	2015/12/08	2017/08/16	12.50
61535	HK Bank – HKEx R Bull CBBC Oct 2016 N	100,000,000	2015/12/08	2016/10/31	25.00
61539	HK Bank – HKEx R Bear CBBC Jul 2016 G	50,000,000	2015/12/08	2016/07/04	12.50
61552	HK Bank – HSI R Bear CBBC Aug 2016 K	400,000,000	2015/12/08	2016/08/30	100.00
61550	HK Bank – HSI R Bear CBBC Sep 2016 H	150,000,000	2015/12/08	2016/09/29	37.50
61670	J P Morgan SP BV – HSCEI R Bull CBBC Sep 2016 F	100,000,000	2015/12/08	2016/09/29	25.00
61704	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 Q	150,000,000	2015/12/08	2016/10/28	37.50
61613	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 C	150,000,000	2015/12/08	2016/03/30	37.50
61676	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 S	150,000,000	2015/12/08	2016/03/30	37.50
61702	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 P	150,000,000	2015/12/08	2016/04/28	37.50
61578	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 O	200,000,000	2015/12/08	2016/07/28	50.00
61555	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 V	200,000,000	2015/12/08	2016/07/28	50.00
61557	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 X	200,000,000	2015/12/08	2016/07/28	50.00
61582	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 J	200,000,000	2015/12/08	2016/06/29	50.00
61583	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 K	200,000,000	2015/12/08	2016/06/29	50.00
61626	UBS AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/12/08	2016/10/28	50.00
61668	UBS AG – HSI R Bull CBBC Nov 2016 Q	200,000,000	2015/12/08	2016/11/29	50.00
61622	UBS AG – HSI R Bull CBBC Dec 2016 O	200,000,000	2015/12/08	2016/12/29	50.00
61637	UBS AG – HSI R Bull CBBC Dec 2016 P	200,000,000	2015/12/08	2016/12/29	50.00
61657	UBS AG – HSI R Bull CBBC Dec 2016 Q	200,000,000	2015/12/08	2016/12/29	50.00
61618	UBS AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/08	2016/03/30	50.00
61619	UBS AG – HSI R Bear CBBC Mar 2016 D	200,000,000	2015/12/08	2016/03/30	50.00
61620	UBS AG – HSI R Bear CBBC Mar 2016 G	200,000,000	2015/12/08	2016/03/30	50.00
61614	UBS AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/12/08	2016/03/30	50.00
61656	UBS AG – TCH R Bull CBBC Jul 2016 D	50,000,000	2015/12/08	2016/07/18	12.50
61791	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 N	250,000,000	2015/12/09	2016/10/28	62.50
61734	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 F	200,000,000	2015/12/09	2016/05/30	50.00

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61771	Credit Suisse AG – HSI R Bull CBBC Oct 2016 P	200,000,000	2015/12/09	2016/10/28	50.00
61775	Credit Suisse AG – HSI R Bull CBBC Oct 2016 Q	200,000,000	2015/12/09	2016/10/28	50.00
61788	Credit Suisse AG – HSI R Bull CBBC Oct 2016 R	200,000,000	2015/12/09	2016/10/28	50.00
61769	Credit Suisse AG – HSI R Bear CBBC Apr 2016 K	200,000,000	2015/12/09	2016/04/28	50.00
61803	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 E	200,000,000	2015/12/09	2016/04/28	51.40
61719	HK Bank – HSI R Bull CBBC Aug 2016 T	150,000,000	2015/12/09	2016/08/30	37.50
61718	HK Bank – HSI R Bull CBBC Sep 2016 X	150,000,000	2015/12/09	2016/09/29	37.50
61806	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 S	150,000,000	2015/12/09	2016/11/29	37.50
61804	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Q	150,000,000	2015/12/09	2016/12/29	37.50
61770	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 U	150,000,000	2015/12/09	2016/04/28	37.50
61807	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 W	150,000,000	2015/12/09	2016/04/28	37.50
61764	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 A	40,000,000	2015/12/09	2016/07/28	10.00
61789	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 L	40,000,000	2015/12/09	2016/05/30	10.00
61755	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 P	200,000,000	2015/12/09	2016/07/28	50.00
61743	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2015/12/09	2016/09/29	50.00
61757	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 L	200,000,000	2015/12/09	2016/09/29	50.00
61730	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 L	200,000,000	2015/12/09	2016/05/30	50.00
61792	UBS AG – HSCEI R Bull CBBC Oct 2016 D	200,000,000	2015/12/09	2016/10/28	50.00
61794	UBS AG – HSCEI R Bear CBBC Mar 2016 A	100,000,000	2015/12/09	2016/03/30	25.00
61798	UBS AG – HSI R Bull CBBC Oct 2016 T	200,000,000	2015/12/09	2016/10/28	50.00
61795	UBS AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2015/12/09	2016/11/29	50.00
61800	UBS AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2015/12/09	2016/11/29	50.00
61802	UBS AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2015/12/09	2016/11/29	50.00
61797	UBS AG – HSI R Bull CBBC Dec 2016 R	200,000,000	2015/12/09	2016/12/29	50.00
61801	UBS AG – HSI R Bull CBBC Dec 2016 S	200,000,000	2015/12/09	2016/12/29	50.00
61967	Credit Suisse AG – HSI R Bull CBBC Nov 2016 R	200,000,000	2015/12/10	2016/11/29	50.00
61971	Credit Suisse AG – HSI R Bear CBBC Apr 2016 O	200,000,000	2015/12/10	2016/04/28	50.00
61882	HK Bank – AIA R Bull CBBC Sep 2016 G	60,000,000	2015/12/10	2016/09/19	21.00
61829	HK Bank – CSOP A50 ETF R Bull CBBC Sep 2016 A	100,000,000	2015/12/10	2016/09/05	25.00
61815	HK Bank – A50 R Bull CBBC Sep 2016 D	100,000,000	2015/12/10	2016/09/19	25.00
61897	HK Bank – HKEEx R Bull CBBC Sep 2016 L	100,000,000	2015/12/10	2016/09/21	25.00
61859	HK Bank – HSCEI R Bull CBBC Sep 2016 I	100,000,000	2015/12/10	2016/09/29	25.00
61840	HK Bank – HSI R Bull CBBC Jul 2016 A	150,000,000	2015/12/10	2016/07/28	37.50
61960	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 T	150,000,000	2015/12/10	2016/11/29	37.50
62026	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 K	150,000,000	2015/12/10	2017/01/26	37.50
62055	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 O	150,000,000	2015/12/10	2016/03/30	37.50
61977	UBS AG – HSI R Bull CBBC Dec 2016 T	200,000,000	2015/12/10	2016/12/29	50.00
62057	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 A	250,000,000	2015/12/11	2016/09/29	62.50
62086	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 O	250,000,000	2015/12/11	2016/10/28	62.50
62154	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 P	250,000,000	2015/12/11	2016/11/29	62.50
62242	Credit Suisse AG – HSI R Bull CBBC Nov 2016 S	200,000,000	2015/12/11	2016/11/29	50.00
62270	Credit Suisse AG – HSI R Bull CBBC Nov 2016 T	200,000,000	2015/12/11	2016/11/29	50.00
62303	Credit Suisse AG – HSI R Bear CBBC Apr 2016 I	200,000,000	2015/12/11	2016/04/28	50.00
62304	Credit Suisse AG – HSI R Bear CBBC Apr 2016 Q	200,000,000	2015/12/11	2016/04/28	50.00
62305	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 R	150,000,000	2015/12/11	2016/12/29	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62449	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 S	150,000,000	2015/12/11	2016/12/29	37.50
62432	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 T	150,000,000	2015/12/11	2016/03/30	37.50
62446	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Y	150,000,000	2015/12/11	2016/04/28	37.50
62198	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 S	200,000,000	2015/12/11	2016/07/28	50.00
62228	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 J	200,000,000	2015/12/11	2016/05/30	50.00
62233	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Q	200,000,000	2015/12/11	2016/05/30	50.00
62356	UBS AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2015/12/11	2016/11/29	50.00
62306	UBS AG – HSI R Bull CBBC Dec 2016 U	200,000,000	2015/12/11	2016/12/29	50.00
62629	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 G	200,000,000	2015/12/14	2016/05/30	50.00
62642	Credit Suisse AG – HSI R Bull CBBC Sep 2016 J	200,000,000	2015/12/14	2016/09/29	50.00
62638	Credit Suisse AG – HSI R Bear CBBC Mar 2016 X	200,000,000	2015/12/14	2016/03/30	50.00
62512	HK Bank – CKH R Bull CBBC Oct 2016 B	60,000,000	2015/12/14	2016/10/31	15.00
62481	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2016 A	100,000,000	2015/12/14	2016/10/03	25.00
62556	HK Bank – Sands China R Bull CBBC Dec 2016 A	50,000,000	2015/12/14	2016/12/05	12.50
62459	HK Bank – TCH R Bull CBBC Oct 2016 C	100,000,000	2015/12/14	2016/10/31	25.00
62462	HK Bank – TCH R Bull CBBC Nov 2016 A	100,000,000	2015/12/14	2016/11/14	25.00
62484	HK Bank – TCH R Bear CBBC Jul 2016 H	100,000,000	2015/12/14	2016/07/25	25.00
62674	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 Y	150,000,000	2015/12/14	2016/09/29	37.50
62678	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 Z	150,000,000	2015/12/14	2016/04/28	37.50
62664	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 O	200,000,000	2015/12/14	2016/10/28	50.00
62646	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 L	200,000,000	2015/12/14	2016/06/29	50.00
62672	UBS AG – HSI R Bear CBBC Mar 2016 C	200,000,000	2015/12/14	2016/03/30	50.00
62673	UBS AG – HSI R Bear CBBC Mar 2016 E	200,000,000	2015/12/14	2016/03/30	50.00
62788	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 J	100,000,000	2015/12/15	2016/04/28	25.00
62698	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 P	250,000,000	2015/12/15	2016/10/28	62.50
62702	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 Q	250,000,000	2015/12/15	2016/10/28	62.50
62700	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 A	250,000,000	2015/12/15	2016/11/29	62.50
62763	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/12/15	2016/04/28	50.00
62761	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 H	200,000,000	2015/12/15	2016/05/30	50.00
62766	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 I	200,000,000	2015/12/15	2016/05/30	50.00
62708	Credit Suisse AG – HSCEI R Bear CBBC Mar 2016 B	100,000,000	2015/12/15	2016/03/30	25.00
62723	Credit Suisse AG – HSI R Bull CBBC Sep 2016 B	200,000,000	2015/12/15	2016/09/29	50.00
62713	Credit Suisse AG – HSI R Bull CBBC Sep 2016 K	200,000,000	2015/12/15	2016/09/29	50.00
62719	Credit Suisse AG – HSI R Bull CBBC Sep 2016 L	200,000,000	2015/12/15	2016/09/29	50.00
62831	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/15	2016/03/30	50.00
62825	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/12/15	2016/03/30	50.00
62824	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/12/15	2016/03/30	50.00
62848	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 A	200,000,000	2015/12/15	2016/09/29	60.00
62887	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 F	200,000,000	2015/12/15	2016/04/28	53.20
62755	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 X	100,000,000	2015/12/15	2016/04/28	25.00
62756	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 S	150,000,000	2015/12/15	2016/10/28	37.50
62758	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 U	150,000,000	2015/12/15	2016/11/29	37.50
62760	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 T	150,000,000	2015/12/15	2016/12/29	37.50
62820	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 L	150,000,000	2015/12/15	2017/01/26	37.50
62791	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 N	150,000,000	2015/12/15	2016/03/30	37.50

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
62806	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	150,000,000	2015/12/15	2016/03/30	37.50
62813	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 B	150,000,000	2015/12/15	2016/04/28	37.50
62790	Macquarie Bank Ltd. – HSI R Bear CBBC May 2016 M	40,000,000	2015/12/15	2016/05/30	10.00
62689	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 S	200,000,000	2015/12/15	2016/06/29	50.00
62680	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 P	200,000,000	2015/12/15	2016/10/28	50.00
62727	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 F	200,000,000	2015/12/15	2016/04/28	50.00
62733	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 M	200,000,000	2015/12/15	2016/04/28	50.00
62736	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 O	200,000,000	2015/12/15	2016/04/28	50.00
62749	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 M	400,000,000	2015/12/15	2016/06/29	100.00
62889	UBS AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2015/12/15	2016/11/29	50.00
62897	UBS AG – HSI R Bull CBBC Nov 2016 W	200,000,000	2015/12/15	2016/11/29	50.00
62895	UBS AG – HSI R Bull CBBC Dec 2016 V	200,000,000	2015/12/15	2016/12/29	50.00
62899	UBS AG – HSI R Bear CBBC Mar 2016 F	200,000,000	2015/12/15	2016/03/30	50.00
62901	UBS AG – HSI R Bear CBBC Mar 2016 H	200,000,000	2015/12/15	2016/03/30	50.00
62903	UBS AG – HSI R Bear CBBC Mar 2016 K	200,000,000	2015/12/15	2016/03/30	50.00
63053	Credit Suisse AG – HSI R Bull CBBC Oct 2016 O	200,000,000	2015/12/16	2016/10/28	50.00
63072	Credit Suisse AG – HSI R Bear CBBC Apr 2016 F	200,000,000	2015/12/16	2016/04/28	50.00
63073	Credit Suisse AG – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/12/16	2016/04/28	50.00
62909	HK Bank – Sinopec Corp R Bear CBBC Nov 2016 A	40,000,000	2015/12/16	2016/11/28	10.00
62968	HK Bank – HSI R Bull CBBC Aug 2016 U	150,000,000	2015/12/16	2016/08/30	37.50
63009	HK Bank – HSI R Bear CBBC May 2016 I	100,000,000	2015/12/16	2016/05/30	25.00
62993	HK Bank – HSI R Bear CBBC May 2016 Z	100,000,000	2015/12/16	2016/05/30	25.00
63013	HK Bank – PetCh R Bear CBBC Nov 2016 A	40,000,000	2015/12/16	2016/11/28	10.00
62931	HK Bank – Ping An R Bull CBBC Oct 2016 I	188,000,000	2015/12/16	2016/10/26	47.00
62948	HK Bank – Ping An R Bull CBBC Oct 2016 J	188,000,000	2015/12/16	2016/10/28	47.00
62966	HK Bank – Ping An R Bear CBBC Oct 2016 C	40,000,000	2015/12/16	2016/10/28	10.00
63123	J P Morgan SP BV – HSCEI R Bull CBBC Nov 2016 C	100,000,000	2015/12/16	2016/11/29	25.00
63118	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 Y	100,000,000	2015/12/16	2016/04/28	25.00
63082	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 W	150,000,000	2015/12/16	2016/11/29	37.50
63108	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 M	150,000,000	2015/12/16	2017/01/26	37.50
63083	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	150,000,000	2015/12/16	2016/03/30	37.50
63088	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 C	150,000,000	2015/12/16	2016/04/28	37.50
63104	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 D	150,000,000	2015/12/16	2016/04/28	37.50
63042	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 H	200,000,000	2015/12/16	2016/06/29	50.00
63014	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Q	200,000,000	2015/12/16	2016/10/28	50.00
63015	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 R	200,000,000	2015/12/16	2016/10/28	50.00
63049	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 A	200,000,000	2015/12/16	2016/05/30	50.00
63051	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 N	200,000,000	2015/12/16	2016/06/29	50.00
63055	UBS AG – HSI R Bull CBBC Dec 2016 W	200,000,000	2015/12/16	2016/12/29	50.00
63062	UBS AG – HSI R Bear CBBC Mar 2016 I	200,000,000	2015/12/16	2016/03/30	50.00
63069	UBS AG – HSI R Bear CBBC Mar 2016 L	200,000,000	2015/12/16	2016/03/30	50.00
63135	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 B	250,000,000	2015/12/17	2016/09/29	62.50
63124	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/12/17	2016/04/28	50.00
63153	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/12/17	2016/04/28	50.00
63145	Credit Suisse AG – HSI R Bull CBBC Oct 2016 S	200,000,000	2015/12/17	2016/10/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63147	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/17	2016/03/30	50.00
63148	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/17	2016/03/30	50.00
63164	J P Morgan SP BV – HSCEI R Bear CBBC Apr 2016 Z	100,000,000	2015/12/17	2016/04/28	25.00
63155	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 I	150,000,000	2015/12/17	2016/12/29	37.50
63173	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	150,000,000	2015/12/17	2016/03/30	37.50
63171	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 Y	150,000,000	2015/12/17	2016/03/30	37.50
63178	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 I	150,000,000	2015/12/17	2016/04/28	37.50
63136	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 A	40,000,000	2015/12/17	2016/07/28	10.00
63125	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 S	200,000,000	2015/12/17	2016/10/28	50.00
63133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 T	200,000,000	2015/12/17	2016/05/30	50.00
63126	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 O	200,000,000	2015/12/17	2016/06/29	50.00
63197	UBS AG – HSCEI R Bear CBBC Mar 2016 B	100,000,000	2015/12/17	2016/03/30	25.00
63181	UBS AG – HSI R Bull CBBC Feb 2017 A	200,000,000	2015/12/17	2017/02/27	50.00
63186	UBS AG – HSI R Bear CBBC Mar 2016 J	200,000,000	2015/12/17	2016/03/30	50.00
63184	UBS AG – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/12/17	2016/03/30	50.00
63248	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Apr 2016 K	100,000,000	2015/12/18	2016/04/28	25.00
63207	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC May 2016 C	100,000,000	2015/12/18	2016/05/30	25.00
63227	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 C	250,000,000	2015/12/18	2016/09/29	62.50
63201	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 R	250,000,000	2015/12/18	2016/10/28	62.50
63311	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 S	250,000,000	2015/12/18	2016/10/28	62.50
63237	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/12/18	2016/04/28	50.00
63246	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 J	200,000,000	2015/12/18	2016/05/30	50.00
63316	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 K	200,000,000	2015/12/18	2016/05/30	50.00
63251	Credit Suisse AG – HSI R Bull CBBC Sep 2016 M	200,000,000	2015/12/18	2016/09/29	50.00
63256	Credit Suisse AG – HSI R Bull CBBC Sep 2016 N	200,000,000	2015/12/18	2016/09/29	50.00
63294	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/18	2016/03/30	50.00
63265	Credit Suisse AG – HSI R Bear CBBC May 2016 T	200,000,000	2015/12/18	2016/05/30	50.00
63293	Credit Suisse AG – HSI R Bear CBBC May 2016 U	200,000,000	2015/12/18	2016/05/30	50.00
63317	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 U	150,000,000	2015/12/18	2016/12/29	37.50
63321	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 N	150,000,000	2015/12/18	2017/01/26	37.50
63335	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 I	150,000,000	2015/12/18	2016/03/30	37.50
63324	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 X	150,000,000	2015/12/18	2016/03/30	37.50
63332	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 J	150,000,000	2015/12/18	2016/04/28	37.50
63338	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 K	150,000,000	2015/12/18	2016/04/28	37.50
63250	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 B	40,000,000	2015/12/18	2016/07/28	10.00
63209	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 L	200,000,000	2015/12/18	2016/06/29	50.00
63216	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 T	200,000,000	2015/12/18	2016/10/28	50.00
63224	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 X	200,000,000	2015/12/18	2016/05/30	50.00
63217	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 P	200,000,000	2015/12/18	2016/06/29	50.00
63297	UBS AG – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/12/18	2016/11/29	50.00
63300	UBS AG – HSI R Bull CBBC Dec 2016 X	200,000,000	2015/12/18	2016/12/29	50.00
63301	UBS AG – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/12/18	2016/03/30	50.00
63305	UBS AG – HSI R Bear CBBC Apr 2016 A	200,000,000	2015/12/18	2016/04/28	50.00
63414	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 D	250,000,000	2015/12/21	2016/09/29	62.50
63405	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 T	250,000,000	2015/12/21	2016/10/28	62.50

Main Board Callable Bull/Bear Contracts

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63419	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 U	250,000,000	2015/12/21	2016/10/28	62.50
63479	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/12/21	2016/04/28	50.00
63477	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 L	200,000,000	2015/12/21	2016/05/30	50.00
63537	Credit Suisse AG – HSCEI R Bear CBBC Mar 2016 C	100,000,000	2015/12/21	2016/03/30	25.00
63532	Credit Suisse AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/12/21	2016/10/28	50.00
63534	Credit Suisse AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/21	2016/10/28	50.00
63535	Credit Suisse AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/12/21	2016/10/28	50.00
63538	Credit Suisse AG – HSI R Bear CBBC Apr 2016 M	200,000,000	2015/12/21	2016/04/28	50.00
63556	Credit Suisse AG – HSI R Bear CBBC Apr 2016 N	200,000,000	2015/12/21	2016/04/28	50.00
63557	Credit Suisse AG – HSI R Bear CBBC Apr 2016 P	200,000,000	2015/12/21	2016/04/28	50.00
63558	Credit Suisse AG – HSI R Bear CBBC Apr 2016 S	200,000,000	2015/12/21	2016/04/28	50.00
63491	Bank of East Asia – Sinopec Corp R Bull CBBC Dec 2016 B	60,000,000	2015/12/21	2016/12/06	15.00
63494	Bank of East Asia – TCH R Bull CBBC Dec 2016 B	40,000,000	2015/12/21	2016/12/05	10.00
63595	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 B	200,000,000	2015/12/21	2016/09/29	51.00
63596	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 C	200,000,000	2015/12/21	2016/09/29	51.00
63597	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 G	200,000,000	2015/12/21	2016/04/28	51.60
63598	Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2016 H	200,000,000	2015/12/21	2016/04/28	51.20
63343	HK Bank – CC Bank R Bull CBBC Aug 2016 F	100,000,000	2015/12/21	2016/08/29	25.00
63344	HK Bank – CNOOC R Bull CBBC Nov 2016 G	60,000,000	2015/12/21	2016/11/21	15.00
63348	HK Bank – CNOOC R Bear CBBC Nov 2016 A	40,000,000	2015/12/21	2016/11/21	10.00
63358	HK Bank – Sinopec Corp R Bull CBBC Jan 2017 A	80,000,000	2015/12/21	2017/01/16	20.00
63354	HK Bank – HKEx R Bear CBBC Jul 2016 H	50,000,000	2015/12/21	2016/07/11	12.50
63381	HK Bank – HSCEI R Bear CBBC Sep 2016 C	68,000,000	2015/12/21	2016/09/29	17.00
63362	HK Bank – HSCEI R Bear CBBC Oct 2016 C	68,000,000	2015/12/21	2016/10/28	17.00
63386	HK Bank – HSI R Bear CBBC Aug 2016 L	100,000,000	2015/12/21	2016/08/30	25.00
63378	HK Bank – HSI R Bear CBBC Sep 2016 I	100,000,000	2015/12/21	2016/09/29	25.00
63384	HK Bank – ICBC R Bull CBBC Aug 2016 B	80,000,000	2015/12/21	2016/08/31	20.00
63389	HK Bank – ICBC R Bull CBBC Sep 2016 B	80,000,000	2015/12/21	2016/09/30	20.00
63400	HK Bank – ICBC R Bear CBBC Sep 2016 A	40,000,000	2015/12/21	2016/09/30	10.00
63609	J P Morgan SP BV – China Life R Bull CBBC Dec 2016 A	100,000,000	2015/12/21	2016/12/16	25.00
63612	J P Morgan SP BV – HKEx R Bull CBBC Sep 2016 D	100,000,000	2015/12/21	2016/09/15	25.00
63470	J P Morgan SP BV – HSI R Bull CBBC Sep 2016 Z	200,000,000	2015/12/21	2016/09/29	50.00
63466	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 U	150,000,000	2015/12/21	2016/10/28	37.50
63471	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/12/21	2016/11/29	50.00
63585	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 F	150,000,000	2015/12/21	2016/03/30	37.50
63586	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 G	200,000,000	2015/12/21	2016/03/30	50.00
63592	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 L	150,000,000	2015/12/21	2016/04/28	37.50
63610	J P Morgan SP BV – Sands China R Bull CBBC Dec 2016 A	100,000,000	2015/12/21	2016/12/16	25.00
63512	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 I	40,000,000	2015/12/21	2016/05/30	10.00
63521	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 J	40,000,000	2015/12/21	2016/05/30	10.20
63522	Macquarie Bank Ltd. – HSI R Bull CBBC May 2016 K	40,000,000	2015/12/21	2016/05/30	10.40
63508	Macquarie Bank Ltd. – HSI R Bear CBBC Jul 2016 C	40,000,000	2015/12/21	2016/07/28	10.00
63440	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 O	200,000,000	2015/12/21	2016/06/29	50.00
63462	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 T	200,000,000	2015/12/21	2016/07/28	50.00
63426	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 U	200,000,000	2015/12/21	2016/10/28	50.00

Main Board Callable Bull/Bear Contracts

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63427	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 V	200,000,000	2015/12/21	2016/10/28	50.00
63460	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 W	200,000,000	2015/12/21	2016/10/28	50.00
63527	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 P	200,000,000	2015/12/21	2016/04/28	50.00
63529	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Q	200,000,000	2015/12/21	2016/04/28	50.00
63530	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 T	200,000,000	2015/12/21	2016/04/28	50.00
63561	UBS AG – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/12/21	2016/11/29	50.00
63565	UBS AG – HSI R Bull CBBC Dec 2016 Y	200,000,000	2015/12/21	2016/12/29	50.00
63566	UBS AG – HSI R Bull CBBC Dec 2016 Z	200,000,000	2015/12/21	2016/12/29	50.00
63567	UBS AG – HSI R Bear CBBC Mar 2016 T	200,000,000	2015/12/21	2016/03/30	50.00
63572	UBS AG – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/12/21	2016/03/30	50.00
63569	UBS AG – HSI R Bear CBBC Apr 2016 B	200,000,000	2015/12/21	2016/04/28	50.00
63707	Credit Suisse AG – HSI R Bull CBBC Nov 2016 U	200,000,000	2015/12/22	2016/11/29	50.00
63726	Credit Suisse AG – HSI R Bull CBBC Nov 2016 V	200,000,000	2015/12/22	2016/11/29	50.00
63729	Credit Suisse AG – HSI R Bull CBBC Nov 2016 W	200,000,000	2015/12/22	2016/11/29	50.00
63675	Credit Suisse AG – HSI R Bear CBBC May 2016 V	200,000,000	2015/12/22	2016/05/30	50.00
63676	Credit Suisse AG – HSI R Bear CBBC May 2016 W	200,000,000	2015/12/22	2016/05/30	50.00
63644	HK Bank – China Life R Bull CBBC Jul 2016 D	60,000,000	2015/12/22	2016/07/29	15.00
63643	HK Bank – CSOP A50 ETF R Bull CBBC Oct 2016 B	100,000,000	2015/12/22	2016/10/17	25.00
63631	HK Bank – HSCEI R Bull CBBC Oct 2016 I	100,000,000	2015/12/22	2016/10/28	25.00
63613	HK Bank – HSI R Bull CBBC Sep 2016 Y	150,000,000	2015/12/22	2016/09/29	37.50
63617	HK Bank – HSI R Bull CBBC Oct 2016 Q	150,000,000	2015/12/22	2016/10/28	37.50
63745	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/22	2016/10/28	50.00
63744	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/12/22	2016/11/29	50.00
63746	J P Morgan SP BV – HSI R Bear CBBC May 2016 A	150,000,000	2015/12/22	2016/05/30	37.50
63664	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 Q	200,000,000	2015/12/22	2016/07/28	50.00
63651	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 Q	200,000,000	2015/12/22	2016/08/30	50.00
63666	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 J	200,000,000	2015/12/22	2016/09/29	50.00
63667	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 Q	200,000,000	2015/12/22	2016/06/29	50.00
63741	UBS AG – HSI R Bull CBBC Nov 2016 H	200,000,000	2015/12/22	2016/11/29	50.00
63740	UBS AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2015/12/22	2016/11/29	50.00
63743	UBS AG – HSI R Bull CBBC Dec 2016 D	200,000,000	2015/12/22	2016/12/29	50.00
63738	UBS AG – HSI R Bear CBBC Apr 2016 C	200,000,000	2015/12/22	2016/04/28	50.00
63739	UBS AG – HSI R Bear CBBC Apr 2016 D	200,000,000	2015/12/22	2016/04/28	50.00
64837 [#]	Goldman Sachs SP (Asia) – HSI R Bull CBBC Jul 2016 A	150,000,000	2015/12/22	2016/07/28	16.80
63832	BNP Paribas Arbit Issu B.V. – Ch Mob R Bull CBBC Dec 2016 C	50,000,000	2015/12/23	2016/12/30	12.50
63829	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 E	40,000,000	2015/12/23	2016/09/30	10.00
63899	Credit Suisse AG – HSCEI R Bull CBBC Sep 2016 B	100,000,000	2015/12/23	2016/09/29	25.00
63898	Credit Suisse AG – HSCEI R Bull CBBC Oct 2016 B	100,000,000	2015/12/23	2016/10/28	25.00
63886	Credit Suisse AG – HSI R Bull CBBC Sep 2016 A	200,000,000	2015/12/23	2016/09/29	50.00
63888	Credit Suisse AG – HSI R Bull CBBC Sep 2016 F	200,000,000	2015/12/23	2016/09/29	50.00
63893	Credit Suisse AG – HSI R Bull CBBC Sep 2016 U	200,000,000	2015/12/23	2016/09/29	50.00
63889	Credit Suisse AG – HSI R Bull CBBC Oct 2016 A	200,000,000	2015/12/23	2016/10/28	50.00
63868	Credit Suisse AG – HSI R Bear CBBC Apr 2016 W	200,000,000	2015/12/23	2016/04/28	50.00
63884	Credit Suisse AG – HSI R Bear CBBC May 2016 X	200,000,000	2015/12/23	2016/05/30	50.00
63864	Credit Suisse AG – HSI R Bear CBBC Jun 2016 D	200,000,000	2015/12/23	2016/06/29	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63906	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 W	150,000,000	2015/12/23	2016/10/28	37.50
63935	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 P	200,000,000	2015/12/23	2016/11/29	50.00
63810	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 M	200,000,000	2015/12/23	2016/03/30	50.00
63791	J P Morgan SP BV – HSI R Bear CBBC May 2016 B	200,000,000	2015/12/23	2016/05/30	50.00
63817	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 M	200,000,000	2015/12/23	2016/06/29	50.00
63820	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 U	200,000,000	2015/12/23	2016/06/29	50.00
63813	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 R	200,000,000	2015/12/23	2016/08/30	50.00
63750	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 V	200,000,000	2015/12/23	2016/04/28	50.00
63748	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 S	200,000,000	2015/12/23	2016/05/30	50.00
63782	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 Y	200,000,000	2015/12/23	2016/05/30	50.00
63837	UBS AG – HSBC R Bear CBBC Jul 2016 A	100,000,000	2015/12/23	2016/07/18	25.00
63838	UBS AG – HSCEI R Bull CBBC Oct 2016 E	200,000,000	2015/12/23	2016/10/28	50.00
63841	UBS AG – HSI R Bull CBBC Oct 2016 U	200,000,000	2015/12/23	2016/10/28	50.00
63862	UBS AG – HSI R Bull CBBC Oct 2016 V	200,000,000	2015/12/23	2016/10/28	50.00
63859	UBS AG – HSI R Bull CBBC Nov 2016 I	200,000,000	2015/12/23	2016/11/29	50.00
63860	UBS AG – HSI R Bull CBBC Nov 2016 J	200,000,000	2015/12/23	2016/11/29	50.00
63844	UBS AG – HSI R Bull CBBC Feb 2017 B	200,000,000	2015/12/23	2017/02/27	50.00
63834	UBS AG – Ping An R Bull CBBC Oct 2016 D	100,000,000	2015/12/23	2016/10/03	25.00
63836	UBS AG – TCH R Bull CBBC Jul 2016 E	50,000,000	2015/12/23	2016/07/18	12.50
63984	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Nov 2016 J	100,000,000	2015/12/24	2016/11/29	25.00
63986	BNP Paribas Arbit Issu B.V. – HSCEI R Bull CBBC Dec 2016 J	100,000,000	2015/12/24	2016/12/29	25.00
64004	BNP Paribas Arbit Issu B.V. – HSCEI R Bear CBBC Aug 2016 A	100,000,000	2015/12/24	2016/08/30	25.00
64003	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Sep 2016 E	250,000,000	2015/12/24	2016/09/29	62.50
64002	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 V	250,000,000	2015/12/24	2016/10/28	62.50
63964	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 L	200,000,000	2015/12/24	2016/04/28	50.00
63981	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Apr 2016 Y	200,000,000	2015/12/24	2016/04/28	50.00
63976	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC May 2016 M	200,000,000	2015/12/24	2016/05/30	50.00
64016	Credit Suisse AG – HSI R Bull CBBC Nov 2016 X	200,000,000	2015/12/24	2016/11/29	50.00
64017	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/12/24	2016/11/29	50.00
64022	Credit Suisse AG – HSI R Bull CBBC Nov 2016 Z	200,000,000	2015/12/24	2016/11/29	50.00
64014	Credit Suisse AG – HSI R Bear CBBC Mar 2016 A	200,000,000	2015/12/24	2016/03/30	50.00
64015	Credit Suisse AG – HSI R Bear CBBC Mar 2016 Q	200,000,000	2015/12/24	2016/03/30	50.00
64013	Credit Suisse AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/24	2016/03/30	50.00
63937	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 D	200,000,000	2015/12/24	2016/09/29	50.60
63957	HK Bank – ABC R Bull CBBC Nov 2016 C	80,000,000	2015/12/24	2016/11/07	20.00
63941	HK Bank – Galaxy Ent R Bull CBBC Nov 2016 D	50,000,000	2015/12/24	2016/11/28	14.50
63952	HK Bank – HKEx R Bull CBBC Oct 2016 O	100,000,000	2015/12/24	2016/10/12	25.00
63943	HK Bank – HS H-SH ETF R Bull CBBC Sep 2016 C	100,000,000	2015/12/24	2016/09/29	25.00
63954	HK Bank – HSCEI R Bull CBBC Sep 2016 J	100,000,000	2015/12/24	2016/09/29	25.00
63953	HK Bank – HSI R Bull CBBC Aug 2016 V	150,000,000	2015/12/24	2016/08/30	37.50
63987	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 B	40,000,000	2015/12/24	2016/07/28	10.00
63989	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 C	40,000,000	2015/12/24	2016/07/28	10.20
63991	Macquarie Bank Ltd. – HSI R Bull CBBC Jul 2016 D	40,000,000	2015/12/24	2016/07/28	10.40
63995	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jun16 Y	200,000,000	2015/12/24	2016/06/29	50.00
63993	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Jul16 U	200,000,000	2015/12/24	2016/07/28	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
63963	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 F	200,000,000	2015/12/24	2016/05/30	50.00
63961	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 V	200,000,000	2015/12/24	2016/05/30	50.00
63997	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 R	200,000,000	2015/12/24	2016/06/29	50.00
64010	UBS AG – HSI R Bull CBBC Oct 2016 W	200,000,000	2015/12/24	2016/10/28	50.00
64007	UBS AG – HSI R Bull CBBC Dec 2016 E	200,000,000	2015/12/24	2016/12/29	50.00
64011	UBS AG – HSI R Bull CBBC Dec 2016 I	200,000,000	2015/12/24	2016/12/29	50.00
64005	UBS AG – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/24	2016/03/30	50.00
64006	UBS AG – HSI R Bear CBBC Mar 2016 Y	200,000,000	2015/12/24	2016/03/30	50.00
64024	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Nov 2016 H	250,000,000	2015/12/28	2016/11/29	62.50
64053	Credit Suisse AG – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/12/28	2016/12/29	50.00
64058	Credit Suisse AG – HSI R Bull CBBC Dec 2016 K	200,000,000	2015/12/28	2016/12/29	50.00
64059	Credit Suisse AG – HSI R Bull CBBC Dec 2016 L	200,000,000	2015/12/28	2016/12/29	50.00
64078	Credit Suisse AG – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/12/28	2016/04/28	50.00
64084	Credit Suisse AG – HSI R Bear CBBC Apr 2016 X	200,000,000	2015/12/28	2016/04/28	50.00
64089	J P Morgan SP BV – HSI R Bull CBBC Oct 2016 X	150,000,000	2015/12/28	2016/10/28	37.50
64085	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 V	150,000,000	2015/12/28	2016/11/29	37.50
64098	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 N	200,000,000	2015/12/28	2016/03/30	50.00
64037	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 N	200,000,000	2015/12/28	2016/08/30	50.00
64031	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Aug16 P	200,000,000	2015/12/28	2016/08/30	50.00
64032	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 Y	200,000,000	2015/12/28	2016/09/29	50.00
64041	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Jun16 S	200,000,000	2015/12/28	2016/06/29	50.00
64046	UBS AG – HSI R Bull CBBC Nov 2016 L	200,000,000	2015/12/28	2016/11/29	50.00
64048	UBS AG – HSI R Bull CBBC Nov 2016 M	200,000,000	2015/12/28	2016/11/29	50.00
64044	UBS AG – HSI R Bear CBBC Mar 2016 Z	200,000,000	2015/12/28	2016/03/30	50.00
64141	Credit Suisse AG – HSI R Bull CBBC Oct 2016 D	200,000,000	2015/12/29	2016/10/28	50.00
64139	Credit Suisse AG – HSI R Bear CBBC May 2016 Y	200,000,000	2015/12/29	2016/05/30	50.00
64140	Credit Suisse AG – HSI R Bear CBBC May 2016 Z	200,000,000	2015/12/29	2016/05/30	50.00
64155	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 E	200,000,000	2015/12/29	2016/09/29	69.60
64156	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 F	200,000,000	2015/12/29	2016/09/29	57.80
64160	Goldman Sachs SP (Asia) – HSI R Bull CBBC Sep 2016 G	200,000,000	2015/12/29	2016/09/29	50.60
64101	HK Bank – A50 R Bull CBBC Oct 2016 F	100,000,000	2015/12/29	2016/10/17	25.00
64108	HK Bank – HSI R Bull CBBC Oct 2016 R	150,000,000	2015/12/29	2016/10/28	37.50
64100	HK Bank – TCH R Bull CBBC Aug 2016 M	100,000,000	2015/12/29	2016/08/31	25.00
64143	J P Morgan SP BV – HSI R Bull CBBC Nov 2016 Y	200,000,000	2015/12/29	2016/11/29	50.00
64145	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 V	150,000,000	2015/12/29	2016/12/29	37.50
64149	J P Morgan SP BV – HSI R Bull CBBC Jan 2017 O	150,000,000	2015/12/29	2017/01/26	37.50
64142	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 U	200,000,000	2015/12/29	2016/03/30	50.00
64153	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 R	150,000,000	2015/12/29	2016/04/28	37.50
64109	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 X	200,000,000	2015/12/29	2016/10/28	50.00
64121	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Y	200,000,000	2015/12/29	2016/04/28	50.00
64133	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC Apr16 Z	200,000,000	2015/12/29	2016/04/28	50.00
64136	UBS AG – HSI R Bull CBBC Nov 2016 K	200,000,000	2015/12/29	2016/11/29	50.00
64135	UBS AG – HSI R Bear CBBC Mar 2016 F	200,000,000	2015/12/29	2016/03/30	50.00
64220	Credit Suisse AG – HSI R Bull CBBC Nov 2016 A	200,000,000	2015/12/30	2016/11/29	50.00
64234	Credit Suisse AG – HSI R Bear CBBC Mar 2016 S	200,000,000	2015/12/30	2016/03/30	50.00

Main Board Callable Bull/Bear Contracts

Code	Callable Bull/Bear Contracts	No. of issue (units)	Listing date	Expiry	Amount raised (HK\$ mil.)
64161	HK Bank – HSI R Bull CBBC Sep 2016 Z	150,000,000	2015/12/30	2016/09/29	37.50
64237	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 X	200,000,000	2015/12/30	2016/12/29	50.00
64252	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 Y	200,000,000	2015/12/30	2016/12/29	50.00
64240	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/30	2016/03/30	50.00
64244	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 T	200,000,000	2015/12/30	2016/04/28	50.00
64168	SGA Societe Generale Acceptance N.V. – CSA50 R Bull CBBC Mar17 A	100,000,000	2015/12/30	2017/03/30	25.00
64201	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Feb17 A	100,000,000	2015/12/30	2017/02/27	25.00
64197	SGA Societe Generale Acceptance N.V. – A50 R Bull CBBC Apr17 A	100,000,000	2015/12/30	2017/04/27	25.00
64191	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Jun17 A	200,000,000	2015/12/30	2017/06/29	50.00
64190	SGA Societe Generale Acceptance N.V. – HSBC R Bull CBBC Sep17 A	200,000,000	2015/12/30	2017/09/28	50.00
64214	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Jul16 A	200,000,000	2015/12/30	2016/07/28	50.00
64207	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Sep16 A	200,000,000	2015/12/30	2016/09/29	50.00
64205	SGA Societe Generale Acceptance N.V. – TCH R Bull CBBC Nov16 A	200,000,000	2015/12/30	2016/11/29	50.00
64311	BNP Paribas Arbit Issu B.V. – CAM CSI300 R Bull CBBC Sep16 G	100,000,000	2015/12/31	2016/09/30	25.00
64295	BNP Paribas Arbit Issu B.V. – A50 Ch R Bull CBBC Jun 2016 C	100,000,000	2015/12/31	2016/06/30	25.00
64456	BNP Paribas Arbit Issu B.V. – HKEx R Bull CBBC Sep 2016 F	40,000,000	2015/12/31	2016/09/30	13.20
64399	BNP Paribas Arbit Issu B.V. – HSI R Bull CBBC Oct 2016 W	250,000,000	2015/12/31	2016/10/28	62.50
64271	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jun 2016 A	200,000,000	2015/12/31	2016/06/29	50.00
64294	BNP Paribas Arbit Issu B.V. – HSI R Bear CBBC Jul 2016 A	200,000,000	2015/12/31	2016/07/28	50.00
64312	BNP Paribas Arbit Issu B.V. – Ping An R Bull CBBC Dec 2016 E	50,000,000	2015/12/31	2016/12/30	12.50
64504	Credit Suisse AG – HSI R Bull CBBC Oct 2016 K	200,000,000	2015/12/31	2016/10/28	50.00
64508	Credit Suisse AG – HSI R Bull CBBC Oct 2016 N	200,000,000	2015/12/31	2016/10/28	50.00
64500	Credit Suisse AG – HSI R Bear CBBC Mar 2016 O	200,000,000	2015/12/31	2016/03/30	50.00
64497	Credit Suisse AG – HSI R Bear CBBC Mar 2016 V	200,000,000	2015/12/31	2016/03/30	50.00
64512	J P Morgan SP BV – HSCEI R Bull CBBC Dec 2016 F	100,000,000	2015/12/31	2016/12/29	25.00
64494	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 J	200,000,000	2015/12/31	2016/12/29	50.00
64517	J P Morgan SP BV – HSI R Bull CBBC Dec 2016 L	150,000,000	2015/12/31	2016/12/29	37.50
64474	J P Morgan SP BV – HSI R Bear CBBC Mar 2016 W	200,000,000	2015/12/31	2016/03/30	50.00
64521	J P Morgan SP BV – HSI R Bear CBBC Apr 2016 C	150,000,000	2015/12/31	2016/04/28	37.50
64315	SGA Societe Generale Acceptance NV – HSCEI R Bull CBBC Oct16 A	200,000,000	2015/12/31	2016/10/28	50.00
64320	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Apr16 A	200,000,000	2015/12/31	2016/04/28	50.00
64366	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC May16 A	200,000,000	2015/12/31	2016/05/30	50.00
64386	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC May16 B	200,000,000	2015/12/31	2016/05/30	50.00
64385	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jun16 A	200,000,000	2015/12/31	2016/06/29	50.00
64387	SGA Societe Generale Acceptance NV – HSCEI R Bear CBBC Jun16 B	200,000,000	2015/12/31	2016/06/29	50.00
64398	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Sep16 K	200,000,000	2015/12/31	2016/09/29	50.00
64253	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Y	200,000,000	2015/12/31	2016/10/28	50.00
64391	SGA Societe Generale Acceptance N.V. – HSI R Bull CBBC Oct16 Z	400,000,000	2015/12/31	2016/10/28	100.00
64257	SGA Societe Generale Acceptance N.V. – HSI R Bear CBBC May16 I	200,000,000	2015/12/31	2016/05/30	50.00
64473	UBS AG – HSI R Bull CBBC Nov 2016 N	200,000,000	2015/12/31	2016/11/29	50.00
64460	UBS AG – HSI R Bear CBBC Mar 2016 H	200,000,000	2015/12/31	2016/03/30	50.00
Total					87,034.75

Further issue

DR/ELI/Trading Only Stocks Trading Statistics

Depository Receipt Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2014	Q4	4	81.70
2015	Q1	4	43.92
	Q2	4	107.08
	Q3	4	67.99
	Q4	4	49.30

Equity Linked Instrument Trading Statistics

		No. of issues	Turnover value (HK\$ mil.)
2014	Q4	–	–
2015	Q1	–	–
	Q2	–	–
	Q3	–	–
	Q4	–	–

NASDAQ Stocks

		No. of issues	Turnover value (HK\$ mil.)
2014	Q4	7	1.27
2015	Q1	7	0.20
	Q2	6	0.48
	Q3	6	0.01
	Q4	6	0.01

iShares

		No. of issues	Turnover value (HK\$ mil.)
2014	Q4	2	–
2015	Q1	2	–
	Q2	2	–
	Q3	2	–
	Q4	2	0.09

GEM Equities

Equity Turnover – GEM

		Share (Mil. shs)	Value (HK\$ mil.)	No. of deals
2014	Q4	77,002.94	34,223.56	888,648
2015	Q1	74,102.98	31,767.18	912,664
	Q2	254,155.08	131,631.55	2,815,024
	Q3	137,580.38	51,332.73	1,377,832
	Q4	136,058.68	39,886.12	1,212,890

Equity Trading Statistics – GEM

		No. of trading days	Average daily turnover (HK\$ mil.)	Average value per deal
2014	Q4	62	551.99	38,512
2015	Q1	61	520.77	34,807
	Q2	60	2,193.86	46,760
	Q3	63	814.81	37,256
	Q4	63	633.11	32,885

20 Most Advanced GEM Stocks

for 4th quarter 2015

Rank	Code	Stock	Closing price		% up
			End of Dec 2015	End of Sep 2015	
1	08197	NORTHEAST TIGER	1.840	0.390	371.79
2	08265	POWERWELL PAC	3.470	0.920	277.17
3	08312	BRILLIANCE WW	0.630	0.225	180.00
4	08326	JC GROUP HLDG	6.240	2.730	128.57
5	08046	HENG XIN CHINA	0.260	0.119	118.49
6	08030	FLYING FIN	1.290	0.610	111.48
7	08125	KATE CHINA	6.000	2.900	106.90
8	08095	BEIDA JADE BIRD	2.080	1.010	105.94
9	08153	CODE AGRI	1.230	0.632	94.62
10	08106	ZHEDA LANDE	0.780	0.425	83.53
11	08272	C FOOD&BEV GP	0.550	0.310	77.42
12	08068	NU INT'L	0.810	0.470	72.34
13	08261	HAITIAN HYDRO	1.230	0.720	70.83
14	08077	KVB KUNLUN FG	1.120	0.690	62.32
15	08193	GC PRO SER	0.760	0.470	61.70
16	08036	GOLDENMARS	4.190	2.670	56.93
17	08310	DAFENG PORT	0.790	0.510	54.90
18	08105	SYNERGY GROUP	1.870	1.210	54.55
19	08207	CREDIT CHINA	3.030	2.000	51.50
20	08249	NINGBO WANHAO	0.405	0.270	50.00

A Adjusted

GEM Equities

20 Most Declined GEM Stocks

for 4th quarter 2015

Rank	Code	Stock	Closing price		% down
			End of Dec 2015	End of Sep 2015	
1	08006	SINO SPLENDID	0.029	0.690	-95.80
2	08248	FDB HOLDINGS	0.153	1.150	-86.70
3	08109	CREATIVE ENERGY	0.034	0.109	-68.81
4	08170	KSL HOLDINGS	2.880	8.500	-66.12
5	08179	FOOD IDEA	0.038	0.110	-65.45
6	08173	PAN ASIA MINING	0.045	0.106	-57.55
7	08181	KONGSHUMUNION	0.172	0.400	-57.00
8	08047	SKY FOREVER	0.085	0.185	-54.05
9	08147	MILLENNIUM PG	1.240	2.480 A	-50.00
10	08212	CELEBRATE INT	0.380	0.660 A	-42.42
11	08103	TAI SHING	0.620	1.030 A	-39.81
12	08120	CH DEMETER INV	0.056	0.091	-38.46
13	08081	CMG CUL INV	0.315	0.510 A	-38.24
14	08177	REF HOLDINGS	0.680	1.090	-37.61
15	08086	DX.COM HOLDINGS	0.265	0.420 A	-36.90
16	08100	GET HOLDINGS	0.212	0.335	-36.72
17	08028	TIMELESS	0.135	0.205 A	-34.15
18	08059	GLORY FLAME	1.100	1.660	-33.73
19	08019	HAO WEN HLDGS	0.360	0.540 A	-33.33
20	08315	KF SECURITY	0.280	0.420	-33.33

A Adjusted

GEM Equities

20 Most Active GEM Stocks by Value

for 4th quarter 2015

Rank	Code	Stock	Turnover (HK\$ mil.)	% of total
1	08207	CREDIT CHINA	2,553.64	6.40
2	08369	LAP KEI ENGRG	1,908.96	4.79
3	08078	CHINA3D DIGIT	1,793.81	4.50
4	08006	SINO SPLENDID	1,459.09	3.66
5	08279	AGTECH HOLDINGS	919.46	2.31
6	08167	NEO TELEMEDIA	907.22	2.27
7	08195	L&A INTL HOLD	899.57	2.26
8	08315	KF SECURITY	838.49	2.10
9	08095	BEIDA JADE BIRD	746.52	1.87
10	08197	NORTHEAST TIGER	743.14	1.86
11	08260	ZEBRA STRAT	686.51	1.72
12	08325	CHINA SMARTPAY	658.23	1.65
13	08158	CRMI	639.79	1.60
14	08089	CHINESE STRAT	624.61	1.57
15	08248	FDB HOLDINGS	619.22	1.55
16	08290	AHSAY BACKUP	615.33	1.54
17	08046	HENG XIN CHINA	612.34	1.54
18	08125	KATE CHINA	556.30	1.39
19	08087	CHINA 33MEDIA	543.61	1.36
20	08088	AID PARTNERS	529.46	1.33
Total			18,855.30	47.27

GEM Equities

20 Most Active GEM Stock by Shares

for 4th quarter 2015

Rank	Code	Stock	Turnover (Mil. shs)	% of total
1	08006	SINO SPLENDID	17,157.94	12.61
2	08179	FOOD IDEA	7,635.27	5.61
3	08171	CHINA TRENDS	7,233.85	5.32
4	08081	CMG CUL INV	6,382.23	4.69
5	08018	FINSOFT FIN	5,170.79	3.80
6	08201	PPS INT'L	3,861.54	2.84
7	08109	CREATIVE ENERGY	3,591.11	2.64
8	08087	CHINA 33MEDIA	3,457.09	2.54
9	08173	PAN ASIA MINING	3,179.29	2.34
10	08046	HENG XIN CHINA	3,076.19	2.26
11	08192	GLOBAL ENERGY	2,920.71	2.15
12	08148	AURUM PACIFIC	2,803.90	2.06
13	08078	CHINA3D DIGIT	2,760.79	2.03
14	08315	KF SECURITY	2,692.55	1.98
15	08101	JIA MENG HLDG	2,630.08	1.93
16	08369	LAP KEI ENGRG	2,607.49	1.92
17	08132	CHINAOILGANGRAN	2,357.96	1.73
18	08290	AHSAY BACKUP	2,165.51	1.59
19	08238	WINTO GROUP	1,782.42	1.31
20	08366	FRASER HLDGS	1,609.80	1.18
Total			85,076.50	62.53

GEM Equities

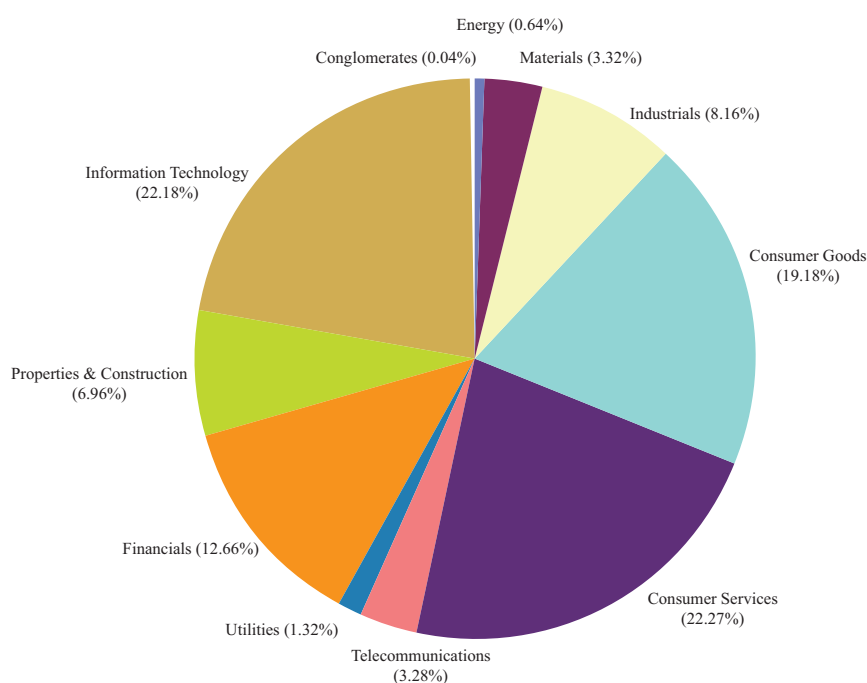
Market Capitalisation of Listed Companies for GEM

as at the quarter end

		HK\$ mil.
2014	Q4	179,409.87
2015	Q1	207,611.93
	Q2	326,139.64
	Q3	224,157.68
	Q4	258,175.74

Market Capitalisation by Hang Seng Industry Classification System* – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2013.

GEM Equities

50 Leading Companies by Market Capitalisation – GEM

as at the 4th quarter end 2015

Rank	Company	Market capitalisation (HK\$ mil.)	% of market total
1	08207 Credit China Holdings Ltd.	11,741.89	4.55
2	08195 L & A International Holdings Ltd.	10,440.00	4.04
3	08279 AGTech Holdings Ltd.	9,280.78	3.59
4	08368 Creative China Holdings Ltd.	8,880.00	3.44
5	08138 Beijing Tong Ren Tang Chinese Medicine Co. Ltd.	8,270.55	3.20
6	08137 Honbridge Holdings Ltd.	7,704.59	2.98
7	08158 China Regenerative Medicine International Ltd.	7,212.79	2.79
8	08032 Viva China Holdings Ltd.	6,998.63	2.71
9	08147 Millennium Pacific Group Holdings Ltd.	6,482.47	2.51
10	08008 Sunevision Holdings Ltd.	5,759.48	2.23
11	08167 Neo Telemedia Ltd.	5,431.44	2.10
12	08316 Pak Wing Group (Holdings) Ltd.	4,880.00	1.89
13	08005 Yuxing InfoTech Investment Holdings Ltd.	4,683.71	1.81
14	08021 WLS Holdings Ltd.	4,596.16	1.78
15	08245 On Real International Holdings Ltd.	4,579.20	1.77
16	08237 Link Holdings Ltd.	4,467.20	1.73
17	08057 Madison Wine Holdings Ltd.	4,152.00	1.61
18	08193 GreaterChina Professional Services Ltd.	3,692.06	1.43
19	08127 Season Pacific Holdings Ltd.	3,560.00	1.38
20	08140 ICO Group Ltd.	3,440.00	1.33
21	08050 Yunbo Digital Synergy Group Ltd.	3,119.38	1.21
22	08099 China Wood Optimization (Holding) Ltd.	3,080.00	1.19
23	08156 China Vanguard Group Ltd.	2,793.19	1.08
24	08261 Haitian Hydropower International Ltd.	2,686.32	1.04
25	08172 Lajin Entertainment Network Group Ltd.	2,589.62	1.00
26	08097 Pinestone Captial Ltd.	2,534.40	0.98
27	08325 China Smartpay Group Holdings Ltd.	2,524.17	0.98
28	08083 China Innovationpay Group Ltd.	2,496.54	0.97
29	08326 JC Group Holdings Ltd.	2,496.00	0.97
30	08361 China Parenting Network Holdings Ltd.	2,443.07	0.95
31	08068 New Universe International Group Ltd.	2,394.11	0.93
32	08077 KVB Kunlun Financial Group Ltd.	2,277.00	0.88
33	08088 AID Partners Capital Holdings Ltd.	2,275.83	0.88
34	08176 EDS Wellness Holdings Ltd.	2,099.02	0.81
35	08058 Shandong Luoxin Pharmaceutical Group Stock Co., Ltd. – H Shs	2,040.54	0.79
36	08125 Kate China Holdings Ltd.	1,980.00	0.77
37	08030 Flying Financial Service Holdings Ltd.	1,974.77	0.76
38	08016 Changhong Jiahua Holdings Ltd.	1,934.69	0.75
39	08218 Echo International Holdings Group Ltd.	1,920.00	0.74
40	08267 Linekong Interactive Group Co., Ltd.	1,822.21	0.71
41	08315 King Force Security Holdings Ltd.	1,792.00	0.69
42	08078 China 3D Digital Entertainment Ltd.	1,743.90	0.68
43	08246 Northern New Energy Holdings Ltd.	1,716.64	0.66
44	08123 First China Financial Network Holdings Ltd.	1,689.73	0.65
45	08260 Zebra Strategic Holdings Ltd.	1,681.20	0.65
46	08143 Hua Xia Healthcare Holdings Ltd.	1,665.26	0.65
47	08155 South China Land Ltd.	1,643.24	0.64
48	08311 Perfect Optronics Ltd.	1,587.55	0.61
49	08132 China Oil Gangran Energy Group Holdings Ltd.	1,581.18	0.61
50	08090 China Assurance Finance Group Ltd.	1,427.63	0.55
Total		190,262.16	73.69
Market Total		258,175.74	100.00

GEM Equities

New Listing Statistics – equities for GEM

		No. of newly listed companies	Funds raised (HK\$ mil.)
2014	Q4	5	1,083.24
2015	Q1	9	626.75
	Q2	5	404.40
	Q3	7	777.74
	Q4	13	931.94

Newly Listed Companies for GEM

for 4th quarter 2015

Code	Company name	Listing date	No. of issued shares	Funds raised (HK\$ mil.)
08127	Season Pacific Holdings Ltd.	2015/10/07	1,000,000,000	37.50
08290	Ahsay Backup Software Development Co. Ltd.	2015/10/08	2,000,000,000	100.00
08141	KPa-BM Holdings Ltd.	2015/10/08	600,000,000	45.00
08057	Madison Wine Holdings Ltd.	2015/10/08	400,000,000	75.00
08122	Thelloy Development Group Ltd.	2015/10/09	800,000,000	65.00
08327	Top Dynamic International Holdings Ltd.	2015/10/09	800,000,000	60.00
08366	Fraser Holdings Ltd.	2015/11/02	1,440,000,000	72.00
08182	China Candy Holdings Ltd.	2015/11/11	1,340,000,000	75.04
08368	Creative China Holdings Ltd.	2015/11/18	1,200,000,000	117.00
08196	Great Water Holdings Ltd.	2015/12/09	300,000,000	72.00
08230	China Yu Tian Holdings Ltd.	2015/12/29	810,000,000	113.40
08331	Feishang Non-metal Materials Technology Ltd.	2015/12/29	500,000,000	40.00
08012	World-Link Logistics (Asia) Holding Ltd.	2015/12/29	480,000,000	60.00
Total				931.94

Withdrawal of Listed Companies – GEM

for 4th quarter 2015

Code	Company name	Last trading date	Delisted date
08209	Major Holdings Ltd. *	2015/10/29	2015/10/30 §
08185	RM Group Holdings Ltd. *	2015/11/19	2015/11/20 §

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

GEM Equities

Company Name Changes for GEM

for 4th quarter 2015

Code	Old name	New name	Effective date	Adoption date
08163	Merdeka Mobile Group Ltd.	Merdeka Financial Services Group Ltd.	2015/11/23	2015/11/23
08063	Well Way Group Ltd.	Global Mastermind Holdings Ltd.	2015/11/24	2015/12/29

Bonus Issues/Bonus Warrants for GEM

for 4th quarter 2015

Code	Company	Particulars	Ex-date
08078	China 3D Digital Entertainment Ltd.	3 for 1	2015/10/23

Share Split/Consolidation for GEM

for 4th quarter 2015

Code	Company	Particulars	Effective date
08140	ICO Group Ltd.	Split 1 into 4	2015/10/05
08147	Millennium Pacific Group Holdings Ltd.	Split 1 into 5	2015/10/09
08153	Code Agriculture (Holdings) Ltd.	Consolidation 4 into 1	2015/10/15
08019	Hao Wen Holdings Ltd.	Consolidation 20 into 1	2015/11/09
08086	DX.com Holdings Ltd.	Consolidation 10 into 1	2015/11/10
08175	China Digital Culture (Group) Ltd.	Consolidation 4 into 1	2015/12/01
08215	First Credit Finance Group Ltd.	Consolidation 2 into 1	2015/12/11
08212	Celebrate International Holdings Ltd.	Consolidation 10 into 1	2015/12/22
08081	China Mobile Games and Cultural Investment Ltd.	Consolidation 10 into 1	2015/12/22
08103	Tai Shing International (Holdings) Ltd.	Consolidation 10 into 1	2015/12/30

Rights Issues & Open Offers for GEM

for 4th quarter 2015

Month	Code	Company	Ratio	Funds raised (HK\$ mil.)
Oct	08101	Jia Meng Holdings Ltd.	Rts 3 for 1 @\$0.08	138.82
	08030	Flying Financial Service Holdings Ltd.	Open offer 1 for 2 @\$0.40	204.11
Dec	08130	Zhi Cheng Holdings Ltd.	Open offer 1 for 2 @\$0.10	31.30
	08201	PPS International (Holdings) Ltd.	Open offer 1 for 2 @\$0.013	65.00
	08242	Megalagic Technology Holdings Ltd.	Open offer 3 for 1 @\$0.20	207.36
	08167	Neo Telemedia Ltd.	Open offer 1 for 2 @\$0.38	1,206.99
	08028	Timeless Software Ltd.	Open offer 1 for 5 @\$0.10	39.80
	08269	Wealth Glory Holdings Ltd.	Open offer 1 for 2 @\$0.07	95.87
Total				1,989.25

GEM Equities

Placing*

Month	Code	Company	No. of new shares placed	Placing price (HK\$)	Funds raised (HK\$ mil.)
2015					
Oct	08178	CHINA INFO TECH	646,800,000	0.1000	64.68
	08081	CMG CUL INV	1,140,210,000	0.0450	51.31
	08207	CREDIT CHINA	265,128,000	1.9500	517.00
	08193	GC PRO SER	4,000,000,000	0.1000	400.00
	08192	GLOBAL ENERGY	767,680,000	0.0530	40.69
	08181	KONGSHUMUNION	80,000,000	0.4000	32.00
	Nov	08148	AURUM PACIFIC	840,000,000	0.1000
08175		C DIGITAL-NEW	730,000,000	0.0780	56.94
08089		CHINESE STRAT	120,000,000	0.4800	57.60
08176		EDS WELLNESS	345,000,000	0.4000	138.00
08022		EVERSHINE GP	190,446,000	0.1980	37.71
08046		HENG XIN CHINA	590,000,000	0.1050	61.95
08256		NETEL	14,000,000	0.1490	2.09
08103		TAI SHING	135,724,862	0.1000	13.57
08260		ZEBRA STRAT	66,500,000	1.1800	78.47
Dec		08120	CH DEMETER INV	328,760,000	0.1000
	08089	CHINESE STRAT	42,080,000	0.9350	39.34
	08207	CREDIT CHINA	280,324,000	2.1000	588.68
	08179	FOOD IDEA	768,000,000	0.0360	27.65
	08083	INNOVATIONPAY	300,000,000	0.4060	121.80
	08237	LINK HOLDINGS	690,000,000	0.3300	227.70
	08037	RUI KANG PHARM	50,537,000	0.1000	5.05
	08021	WLS HOLDINGS	7,680,000,000	0.0688	528.00
	08106	ZHEDA LANDE	150,000,000	0.3200	48.00
Total					3,255.11

* Due to the reporting time-lag, placing figures for the quarter are provisional.

GEM Warrants

Warrant Trading and Market Value Statistics – GEM

		Number	Turnover value (HK\$ mil.)	Market value (HK\$ mil.)
2014	Q4	0	0.00	0.00
2015	Q1	0	0.00	0.00
	Q2	0	0.00	0.00
	Q3	1	41.31	18.74
	Q4	1	4.37	9.86

Newly Listed Equity GEM Warrants

for 4th quarter 2015

Code	Equity warrants	Initial issued amount (HK)	Listing date	Expiry	Amount raised (HK\$ mil.)
	Nil				
Total					-

Stock Market Indices

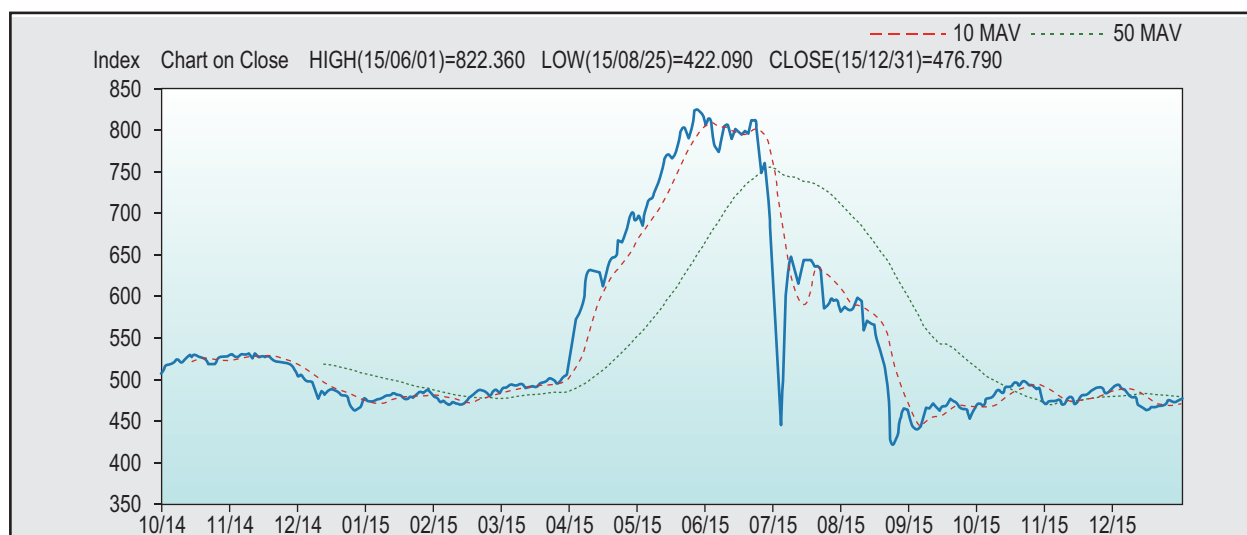
Hang Seng Index



S&P/HKEx LargeCap Index



S&P/HKEx GEM Index



Stock Market Indices

Hong Kong Stock Market Indices

Index	End of Dec 2015 Close	End of Sep 2015 Close	Change %
S&P/HKEx LargeCap Index	27007.12	25563.43	+ 5.65%
S&P/HKEx GEM Index	476.79	461.58	+ 3.30%
HANG SENG INDEX	21914.40	20846.30	+ 5.12%
SECTORIAL INDICES			
Finance	30576.03	28532.36	+ 7.16%
Utilities	51113.56	51590.14	- 0.92%
Properties	29907.17	29418.68	+ 1.66%
Commerce & Industry	12522.23	11973.08	+ 4.59%
HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX	4052.12	3901.65	+ 3.86%
HANG SENG CHINA ENTERPRISES INDEX	9661.03	9405.50	+ 2.72%

Stock Market Indices

WORLD INDICES

Index	End of Dec 2015 Close	End of Sep 2015 Close	Change %
HONG KONG			
S&P/HKEx LargeCap Index	27007.12	25563.43	+ 5.65%
Hang Seng Index	21914.40	20846.30	+ 5.12%
AUSTRALIA			
All Ordinaries Index	5344.56	5058.60	+ 5.65%
JAKARTA			
Composite Index	4593.01	4223.91	+ 8.74%
JAPAN			
Nikkei (225)	19033.71	17388.15	+ 9.46%
KOREA			
Composite Index	1961.31	1962.81	- 0.08%
KUALA LUMPUR			
Composite Index	1692.51	1621.04	+ 4.41%
MANILA			
Composite Index	6952.08	6893.98	+ 0.84%
NEW ZEALAND			
NZSE (50)	6324.26	5593.36	+ 13.07%
SHANGHAI			
A Shares Index	3704.30	3197.37	+ 15.85%
B Shares Index	426.41	309.71	+ 37.68%
SHENZHEN			
A Shares Index	2415.50	1795.36	+ 34.54%
B Shares Index	1309.36	1050.74	+ 24.61%
SINGAPORE			
FTSE Straits Times Index	2882.73	2790.89	+ 3.29%
TAIWAN			
Taipei Weighted Stock Index	8338.06	8181.24	+ 1.92%
THAILAND			
SET Index	1288.02	1349.00	- 4.52%
NEW YORK			
DJIA	17425.03	16284.70	+ 7.00%
Nasdaq Composite Index	5007.41	4620.17	+ 8.38%
TORONTO			
S&P/TSX Composite Index	13009.95	13306.96	- 2.23%
GERMANY			
DAX Capital Value Index	5390.31	4847.12	+ 11.21%
LONDON			
FTSE (100)	6242.32	6061.61	+ 2.98%

Derivatives Market – Futures and Options

Contract Volume by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2015	4th Quarter 2014
Hang Seng Index Futures	7,209,070	4,534,728
Hang Seng Index Options	1,622,372	2,118,013
Mini-Hang Seng Index Futures	2,611,709	2,131,008
Mini-Hang Seng Index Options	223,546	276,842
H-shares Index Futures	7,894,548	6,612,741
H-shares Index Options	3,970,659	2,716,432
Mini H-shares Index Futures	1,411,243	1,292,073
Flexible Hang Seng Index Options	3,800	0
Flexible H-shares Index Options	3,681	3,450
HSI Dividend Point Index Futures	900	70
HSCEI Dividend Point Index Futures	45,463	50,041
HSI Volatility Index Futures	40	31
CES China 120 Index Futures	286	10,756
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures	0	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	116,277	122,185
Stock Options	15,195,091	23,238,909
Three-month HIBOR Futures	0	0
One-month HIBOR Futures	3	0
Three-year Exchange Fund Note Futures ¹	–	0
RMB Currency Futures – USD/CNH Futures	83,160	53,349
Gold Futures ²	–	0
London Aluminium Mini Futures ³	4,925	1,644
London Zinc Mini Futures ⁴	7,162	2,828
London Copper Mini Futures ⁵	4,370	4,318
London Lead Mini Futures ⁶	0	–
London Nickel Mini Futures ⁷	155	–
London Tin Mini Futures ⁸	2	–
Total	40,408,462	43,169,418

¹ Trading in 3-Year Exchange Fund Note Futures suspended with effect from 14 Sep 2015

² Trading in Gold Futures suspended with effect from 27 May 2015

³ Trading in London Aluminium Mini Futures commenced on 01 Dec 2014

⁴ Trading in London Zinc Mini Futures commenced on 01 Dec 2014

⁵ Trading in London Copper Mini Futures commenced on 01 Dec 2014

⁶ Trading in London Lead Mini Futures commenced on 14 Dec 2015

⁷ Trading in London Nickel Mini Futures commenced on 14 Dec 2015

⁸ Trading in London Tin Mini Futures commenced on 14 Dec 2015

Derivatives Market – Futures and Options

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2014	Q4	4,534,728	99,195
2015	Q1	3,925,409	115,396
	Q2	4,892,457	120,329
	Q3	5,212,839	102,582
	Q4	7,209,070	97,118

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2014	Q4	2,131,008	7,472
2015	Q1	1,834,431	7,247
	Q2	2,535,820	10,316
	Q3	3,064,596	7,431
	Q4	2,611,709	7,556

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2014	Q4	2,118,013	169,950
2015	Q1	1,691,364	203,839
	Q2	2,107,749	202,300
	Q3	2,093,981	257,268
	Q4	1,622,372	172,564

H-Share Index Futures – Contract Volume and Open Interest (contracts)

		Total contract volume	Quarter-end open interest
2014	Q4	6,612,741	259,173
2015	Q1	6,635,210	303,145
	Q2	9,273,172	317,137
	Q3	9,576,380	349,891
	Q4	7,894,548	384,767

Stock Options Market Turnover and Open Interest (contracts)

		Total Premium (HK\$ mil.)	Total contract volume	Quarter-end open interest
2014	Q4	15,471.60	23,238,909	6,320,147
2015	Q1	17,410.66	22,162,657	6,545,827
	Q2	30,404.09	31,431,575	7,635,003
	Q3	22,706.73	23,674,156	6,679,004
	Q4	9,781.44	15,195,091	4,827,678

Derivatives Market – Futures and Options

Stock Options Premium by Class

for 4th Quarter 2015

Options class		Premium (HK\$ mil.)	% of total
X ISHARES A50	A50	895.31	9.15%
ANHUI CONCH	ACC	40.03	0.41%
AIA	AIA	338.83	3.46%
CHALCO	ALC	3.62	0.04%
CAM CSI300	AMC	426.31	4.36%
BANKCOMM	BCM	16.96	0.17%
BANK OF E ASIA	BEA	4.23	0.04%
BELLE INT'L	BIH	12.23	0.13%
BOC HONG KONG	BOC	43.36	0.44%
BYD COMPANY	BYD	25.54	0.26%
CHINA COMM CONS	CCC	58.02	0.59%
CHINA COAL	CCE	6.66	0.07%
CHINA COSCO	CCS	0.89	0.01%
CHINA CINDA	CDA	9.79	0.10%
CGN POWER	CGN	0.55	0.01%
CHINA MOBILE	CHT	465.00	4.75%
CHINA UNICOM	CHU	108.53	1.11%
CITIC	CIT	30.20	0.31%
CKH HOLDINGS	CKH	114.33	1.17%
CK PROPERTY	CKP	5.20	0.05%
CHINA LIFE	CLI	527.42	5.39%
CLP HOLDINGS	CLP	10.71	0.11%
CM BANK	CMB	129.24	1.32%
CNOOC	CNC	155.71	1.59%
CHINA OVERSEAS	COL	248.48	2.54%
CATHAY PAC AIR	CPA	0.35	0.00%
SINOPEC CORP	CPC	92.35	0.94%
CPIC	CPI	63.44	0.65%
CHINA RAIL CONS	CRC	4.78	0.05%
CHINA RAILWAY	CRG	8.49	0.09%
CHINA RES LAND	CRL	25.41	0.26%
X WISECSI300ETF	CS3	0.02	0.00%
CSOP A50 ETF	CSA	961.45	9.83%
CHINA SHENHUA	CSE	77.30	0.79%
CITIC BANK	CTB	24.49	0.25%
CHINA TELECOM	CTC	31.16	0.32%
CITIC SEC	CTS	21.77	0.22%
DONGFENG GROUP	DFM	8.31	0.08%
ESPRIT HOLDINGS	ESP	0.67	0.01%
FIH	FIH	0.27	0.00%
GALAXY ENT	GLX	266.54	2.72%
GREATWALL MOTOR	GWM	62.14	0.64%
HAITONG SEC	HAI	34.54	0.35%
HS H-SHARE ETF	HCF	22.63	0.23%
POWER ASSETS	HEH	24.72	0.25%
HKEX	HEX	997.38	10.20%
HENGAN INT'L	HGN	9.52	0.10%
HSBC HOLDINGS	HKB	359.01	3.67%
HK & CHINA GAS	HKG	9.50	0.10%
HENDERSON LAND	HLD	30.51	0.31%
HUANENG POWER	HNP	22.18	0.23%
HANG SENG BANK	HSB	46.03	0.47%
JIANGXI COPPER	JXC	43.14	0.44%
KUNLUN ENERGY	KLE	34.16	0.35%
KINGSOFT	KSO	25.69	0.26%
LENOVO GROUP	LEN	70.01	0.72%
LI & FUNG	LIF	24.86	0.25%
MENGNU DAIRY	MEN	5.77	0.06%

Derivatives Market – Futures and Options

Options class		Premium (HK\$ mil.)	% of total
MGM CHINA	MGM	2.03	0.02%
MINSHENG BANK	MSB	47.34	0.48%
MTR CORPORATION	MTR	7.70	0.08%
CNBM	NBM	26.53	0.27%
NCI	NCL	27.22	0.28%
NEW WORLD DEV	NWD	9.33	0.10%
PING AN	PAI	677.74	6.93%
PETROCHINA	PEC	195.94	2.00%
PICC P&C	PIC	52.89	0.54%
PICC GROUP	PIN	6.89	0.07%
GCL-POLY ENERGY	PLE	2.81	0.03%
R&F PROPERTIES	RFP	1.72	0.02%
SANDS CHINA LTD	SAN	140.27	1.43%
SHK PPT	SHK	265.79	2.72%
STANCHART	STC	35.79	0.37%
SWIRE PACIFIC A	SWA	23.13	0.24%
TENCENT	TCH	655.55	6.70%
TRACKER FUND	TRF	7.53	0.08%
WHARF HOLDINGS	WHL	34.24	0.35%
WANT WANT CHINA	WWC	3.42	0.03%
ABC	XAB	73.93	0.76%
BANK OF CHINA	XBC	99.09	1.01%
CCB	XCC	173.48	1.77%
ICBC	XIC	109.25	1.12%
YANZHOU COAL	YZC	13.35	0.14%
ZIJIN MINING	ZJM	2.66	0.03%
Total		9,781.44	100.00%

Derivatives Market – Futures and Options

Stock Options Contract Volume by Class

for 4th Quarter 2015

Options class		Contract volume	% of total
X ISHARES A50	A50	503,195	3.31%
ANHUI CONCH	ACC	67,372	0.44%
AIA	AIA	322,808	2.12%
CHALCO	ALC	9,368	0.06%
CAM CSI300	AMC	130,274	0.86%
BANKCOMM	BCM	77,223	0.51%
BANK OF E ASIA	BEA	26,978	0.18%
BELLE INT'L	BIH	28,244	0.19%
BOC HONG KONG	BOC	129,919	0.86%
BYD COMPANY	BYD	17,736	0.12%
CHINA COMM CONS	CCC	133,791	0.88%
CHINA COAL	CCE	28,388	0.19%
CHINA COSCO	CCS	2,572	0.02%
CHINA CINDA	CDA	10,619	0.07%
CGN POWER	CGN	377	0.00%
CHINA MOBILE	CHT	472,867	3.11%
CHINA UNICOM	CHU	149,270	0.98%
CITIC	CIT	68,845	0.45%
CKH HOLDINGS	CKH	110,506	0.73%
CK PROPERTY	CKP	3,422	0.02%
CHINA LIFE	CLI	562,079	3.70%
CLP HOLDINGS	CLP	24,467	0.16%
CM BANK	CMB	304,713	2.01%
CNOOC	CNC	403,853	2.66%
CHINA OVERSEAS	COL	145,380	0.96%
CATHAY PAC AIR	CPA	1,231	0.01%
SINOPEC CORP	CPC	199,510	1.31%
CPIC	CPI	68,039	0.45%
CHINA RAIL CONS	CRC	13,823	0.09%
CHINA RAILWAY	CRG	21,393	0.14%
CHINA RES LAND	CRL	13,217	0.09%
X WISECSI300ETF	CS3	9	0.00%
CSOP A50 ETF	CSA	481,208	3.17%
CHINA SHENHUA	CSE	176,694	1.16%
CITIC BANK	CTB	90,605	0.60%
CHINA TELECOM	CTC	75,213	0.49%
CITIC SEC	CTS	22,767	0.15%
DONGFENG GROUP	DFM	8,107	0.05%
ESPRIT HOLDINGS	ESP	16,351	0.11%
FIH	FIH	1,640	0.01%
GALAXY ENT	GLX	256,377	1.69%
GREATWALL MOTOR	GWM	203,130	1.34%
HAITONG SEC	HAI	23,231	0.15%
HS H-SHARE ETF	HCF	10,548	0.07%
POWER ASSETS	HEH	38,083	0.25%
HKEX	HEX	1,834,777	12.07%
HENGAN INT'L	HGN	9,048	0.06%
HSBC HOLDINGS	HKB	516,949	3.40%
HK & CHINA GAS	HKG	27,001	0.18%
HENDERSON LAND	HLD	20,334	0.13%
HUANENG POWER	HNP	28,977	0.19%
HANG SENG BANK	HSB	138,141	0.91%
JIANGXI COPPER	JXC	90,121	0.59%
KUNLUN ENERGY	KLE	63,562	0.42%
KINGSOFT	KSO	32,835	0.22%
LENOVO GROUP	LEN	98,164	0.65%
LI & FUNG	LIF	40,078	0.26%
MENGIU DAIRY	MEN	6,571	0.04%

Derivatives Market – Futures and Options

Options class		Contract volume	% of total
MGM CHINA	MGM	7,079	0.05%
MINSHENG BANK	MSB	65,852	0.43%
MTR CORPORATION	MTR	19,956	0.13%
CNBM	NBM	35,468	0.23%
NCI	NCL	10,707	0.07%
NEW WORLD DEV	NWD	23,578	0.16%
PING AN	PAI	996,262	6.56%
PETROCHINA	PEC	325,680	2.14%
PICC P&C	PIC	41,859	0.28%
PICC GROUP	PIN	7,457	0.05%
GCL-POLY ENERGY	PLE	4,316	0.03%
R&F PROPERTIES	RFP	7,852	0.05%
SANDS CHINA LTD	SAN	256,326	1.69%
SHK PPT	SHK	107,594	0.71%
STANCHART	STC	160,698	1.06%
SWIRE PACIFIC A	SWA	24,518	0.16%
TENCENT	TCH	1,743,945	11.48%
TRACKER FUND	TRF	26,152	0.17%
WHARF HOLDINGS	WHL	23,071	0.15%
WANT WANT CHINA	WWC	9,309	0.06%
ABC	XAB	85,287	0.56%
BANK OF CHINA	XBC	847,435	5.58%
CCB	XCC	1,151,248	7.58%
ICBC	XIC	818,422	5.39%
YANZHOU COAL	YZC	23,835	0.16%
ZIJIN MINING	ZJM	9,185	0.06%
Total		15,195,091	100.00%

Clearing & Settlement

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

Year/Month		Number of SIs	SIs by shares (bil. shs)	SIs by value (HK\$bil.)
2014	Q4	92,208	58.24	220.11
2015	Q1	90,242	44.99	209.79
	Q2	122,363	72.45	372.55
	Q3	101,225	58.26	243.97
	Q4	89,652	47.71	188.39

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

Year/Month		Number of ISIs	ISIs by shares (Mil shs)	ISIs by value (HK\$ mil.)
2014	Q4	415	651.43	249.41
2015	Q1	401	49.48	165.36
	Q2	716	231.32	289.16
	Q3	390	193.07	220.27
	Q4	267	61.49	101.52

Securities under CCASS Custody

(balances at quarter end)

Year/Month		Number of shares (bil. shs)	% of issued shares of admitted securities	Market value (HK\$ bil.)	% of market capitalisation of admitted securities
2014	Q4	4,450.01	71.29	14,911.90	54.09
2015	Q1	4,489.32	71.67	15,975.62	54.46
	Q2	4,952.17	73.78	17,891.63	57.24
	Q3	5,397.98	74.44	14,074.11	56.13
	Q4	5,467.21	74.50	15,071.15	56.58

Share quantity and market value of securities in non-HKD currency are included

Clearing & Settlement

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

Year/Month		No. of CCASS trades	% of Exchange trades	No. of shares (Mil. shs)	% of market turnover	Value of trades (HK\$ mil.)	% of market turnover
2014	Q4	1,151,087	100	154,712.04	100	80,060.20	100
2015	Q1	1,182,398	100	187,686.03	100	85,005.00	100
	Q2	1,864,260	100	242,342.57	100	158,049.69	100
	Q3	1,449,054	100	226,605.64	100	98,705.62	100
	Q4	1,106,299	100	210,829.38	100	70,729.28	100

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

Year/Month		No. of CNS stock positions	Netting efficiency (%)	No. of shares due for settlement (Mil. shs)	Netting efficiency (%)
2014	Q4	79,394	96.28	26,827.51	91.18
2015	Q1	78,902	96.40	24,508.05	93.29
	Q2	119,864	96.54	51,102.24	88.81
	Q3	85,219	96.81	30,285.88	93.26
	Q4	72,118	96.46	24,746.99	93.92

Average Daily Settlement Efficiency of CNS Stock Positions

Year/Month		Settlement efficiency (%)	
		S day	S + 1 day
2014	Q4	99.88	99.99
2015	Q1	99.93	99.99
	Q2	99.96	99.99
	Q3	99.93	99.99
	Q4	99.92	99.99

Clearing & Settlement

Open Interest by Derivatives Products

Derivatives product	Contracts	
	4th Quarter 2015	4th Quarter 2014
Hang Seng Index Futures	97,118	99,195
Hang Seng Index Options	172,564	169,950
Mini-Hang Seng Index Futures	7,556	7,472
Mini-Hang Seng Index Options	4,945	5,734
H-shares Index Futures	384,767	259,173
H-shares Index Options	1,625,661	991,190
Mini H-shares Index Futures	6,088	3,588
Flexible Hang Seng Index Options	2,975	50
Flexible H-shares Index Options	16,131	25,440
HSI Dividend Point Index Futures	2,581	3,480
HSCEI Dividend Point Index Futures	75,426	48,174
HSI Volatility Index Futures	1	2
CES China 120 Index Futures	5	93
IBOVESPA Futures	0	0
MICEX Index Futures	0	0
S&P BSE Sensex Index Futures	0	0
FTSE/JSE Top40 Futures	0	0
Stock Futures	20,088	14,916
Stock Options	4,827,678	6,320,147
Three-month HIBOR Futures	0	5
One-month HIBOR Futures	0	0
Three-year Exchange Fund Note Futures ¹	–	0
RMB Currency Futures – USD/CNH Futures	23,046	9,747
Gold Futures ²	–	0
London Aluminium Mini Futures ³	75	270
London Zinc Mini Futures ⁴	109	445
London Copper Mini Futures ⁵	16	1,335
London Lead Mini Futures ⁶	0	–
London Nickel Mini Futures ⁷	150	–
London Tin Mini Futures ⁸	0	–
Total	7,266,980	7,960,406

¹ Trading in 3-Year Exchange Fund Note Futures suspended with effect from 14 Sep 2015

² Trading in Gold Futures suspended with effect from 27 May 2015

³ Trading in London Aluminium Mini Futures commenced on 01 Dec 2014

⁴ Trading in London Zinc Mini Futures commenced on 01 Dec 2014

⁵ Trading in London Copper Mini Futures commenced on 01 Dec 2014

⁶ Trading in London Lead Mini Futures commenced on 14 Dec 2015

⁷ Trading in London Nickel Mini Futures commenced on 14 Dec 2015

⁸ Trading in London Tin Mini Futures commenced on 14 Dec 2015

Clearing & Settlement

Stock Options Open Interest by Class

as at the end of 4th Quarter 2015

Options class		Open interest (contracts)	% of total
X ISHARES A50	A50	121,157	2.51
ANHUI CONCH	ACC	34,628	0.72
AIA	AIA	67,591	1.40
CHALCO	ALC	3,640	0.08
CAM CSI300	AMC	9,748	0.20
BANKCOMM	BCM	45,763	0.95
BANK OF E ASIA	BEA	5,197	0.11
BELLE INT'L	BIH	8,799	0.18
BOC HONG KONG	BOC	31,230	0.65
BYD COMPANY	BYD	5,672	0.12
CHINA COMM CONS	CCC	37,047	0.77
CHINA COAL	CCE	9,195	0.19
CHINA COSCO	CCS	4,409	0.09
CHINA CINDA	CDA	4,236	0.09
CGN POWER	CGN	184	0.00
CHINA MOBILE	CHT	99,243	2.06
CHINA UNICOM	CHU	41,881	0.87
CITIC	CIT	29,228	0.61
CKH HOLDINGS	CKH	30,683	0.64
CK PROPERTY	CKP	2,239	0.05
CHINA LIFE	CLI	148,682	3.08
CLP HOLDINGS	CLP	6,359	0.13
CM BANK	CMB	138,248	2.86
CNOOC	CNC	144,344	2.99
CHINA OVERSEAS	COL	38,866	0.81
CATHAY PAC AIR	CPA	677	0.01
SINOPEC CORP	CPC	88,144	1.83
CPIC	CPI	12,616	0.26
CHINA RAIL CONS	CRC	6,575	0.14
CHINA RAILWAY	CRG	7,814	0.16
CHINA RES LAND	CRL	3,373	0.07
X WISECSI300ETF	CS3	6	0.00
CSOP A50 ETF	CSA	91,933	1.90
CHINA SHENHUA	CSE	62,645	1.30
CITIC BANK	CTB	73,656	1.53
CHINA TELECOM	CTC	26,564	0.55
CITIC SEC	CTS	9,315	0.19
DONGFENG GROUP	DFM	2,260	0.05
ESPRIT HOLDINGS	ESP	9,783	0.20
FIH	FIH	978	0.02
GALAXY ENT	GLX	47,213	0.98
GREATWALL MOTOR	GWM	57,815	1.20
HAITONG SEC	HAI	10,227	0.21

Clearing & Settlement

Options class		Open interest (contracts)	% of total
HS H-SHARE ETF	HCF	3,830	0.08
POWER ASSETS	HEH	6,747	0.14
HKEX	HEX	359,498	7.45
HENGAN INT'L	HGN	2,438	0.05
HSBC HOLDINGS	HKB	217,251	4.50
HK & CHINA GAS	HKG	8,003	0.17
HENDERSON LAND	HLD	5,025	0.10
HUANENG POWER	HNP	8,549	0.18
HANG SENG BANK	HSB	52,732	1.09
JIANGXI COPPER	JXC	23,747	0.49
KUNLUN ENERGY	KLE	24,574	0.51
KINGSOFT	KSO	7,555	0.16
LENOVO GROUP	LEN	39,640	0.82
LI & FUNG	LIF	10,017	0.21
MENGNUI DAIRY	MEN	1,795	0.04
MGM CHINA	MGM	1,838	0.04
MINSHENG BANK	MSB	27,213	0.56
MTR CORPORATION	MTR	4,866	0.10
CNBM	NBM	20,551	0.43
NCI	NCL	7,518	0.16
NEW WORLD DEV	NWD	5,965	0.12
PING AN	PAI	320,774	6.64
PETROCHINA	PEC	128,185	2.66
PICC P&C	PIC	12,743	0.26
PICC GROUP	PIN	3,565	0.07
GCL-POLY ENERGY	PLE	850	0.02
R&F PROPERTIES	RFP	3,920	0.08
SANDS CHINA LTD	SAN	62,861	1.30
SHK PPT	SHK	24,015	0.50
STANCHART	STC	40,726	0.84
SWIRE PACIFIC A	SWA	6,475	0.13
TENCENT	TCH	398,280	8.25
TRACKER FUND	TRF	8,725	0.18
WHARF HOLDINGS	WHL	6,333	0.13
WANT WANT CHINA	WWC	2,977	0.06
ABC	XAB	39,826	0.82
BANK OF CHINA	XBC	502,630	10.41
CCB	XCC	453,892	9.40
ICBC	XIC	376,119	7.79
YANZHOU COAL	YZC	10,483	0.22
ZIJIN MINING	ZJM	5,084	0.11
Total		4,827,678	100.00

Exchange Participants Statistics

Stock Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2014	Q4	558 (969)	500 (904)	40 (47)	18 (18)
2015	Q1	553 (962)	500 (902)	36 (43)	17 (17)
	Q2	553 (962)	500 (900)	37 (46)	16 (16)
	Q3	556 (965)	505 (905)	35 (44)	16 (16)
	Q4	566 (975)	515 (915)	35 (44)	16 (16)

() No. of trading rights

Futures Exchange

		No. of Trading Right Holders	Exchange Participants – Trading	Exchange Participants – Non-Trading	Non-Exchange Participants
2014	Q4	231 (255)	179 (201)	–	52 (54)
2015	Q1	231 (255)	179 (201)	–	52 (54)
	Q2	230 (254)	177 (199)	–	53 (55)
	Q3	230 (254)	177 (199)	–	53 (55)
	Q4	232 (256)	179 (201)	–	53 (55)

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/futures/options dealing activity.

Clearing Participants Statistics

HKCC Participants

Year/month		Clearing Participants	General Clearing Participants
2014	Q4	152	9
2015	Q1	152	9
	Q2	150	9
	Q3	149	9
	Q4	151	9

SEOCH Participants

Year/month		Direct Clearing Participants	General Clearing Participants
2014	Q4	65	9
2015	Q1	66	9
	Q2	68	9
	Q3	67	9
	Q4	69	9

HKSCC Participants

Year/month		Direct Clearing Participant	General Clearing Participant	Non-broker Participants *
2014	Q4	481	10	42
2015	Q1	477	10	42
	Q2	478	10	42
	Q3	482	10	43
	Q4	492	10	43

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

Acronyms

CBBC	Callable Bull/Bear Contract
CCASS	Central Clearing and Settlement System
CNS	Continuous Net Settlement
DCASS	Derivatives Clearing and Settlement System
DR	Depository Receipt
DW	Derivative Warrant
ELI	Equity Linked Instrument
ETF	Exchange Traded Fund
GEM	Growth Enterprise Market
HIBOR	Hong Kong Interbank Offered Rate
HKCC	HKFE Clearing Corporation Ltd
HKEx	Hong Kong Exchanges and Clearing Ltd
HKSCC	Hong Kong Securities Clearing Co Ltd
HSICS	Hang Seng Industry Classification System
ISI	Investor settlement instruction
NASDAQ	National Association of Securities Dealer Automated Quotations
P/E ratio	Price/earnings ratio
REITs	Real Estate Investment Trusts
RQFII	RMB Qualified Foreign Institutional Investors
S&P	Standard and Poors
SEOH	SEHK Options Clearing House Ltd, The
SI	Settlement instruction
SS	Stapled securities