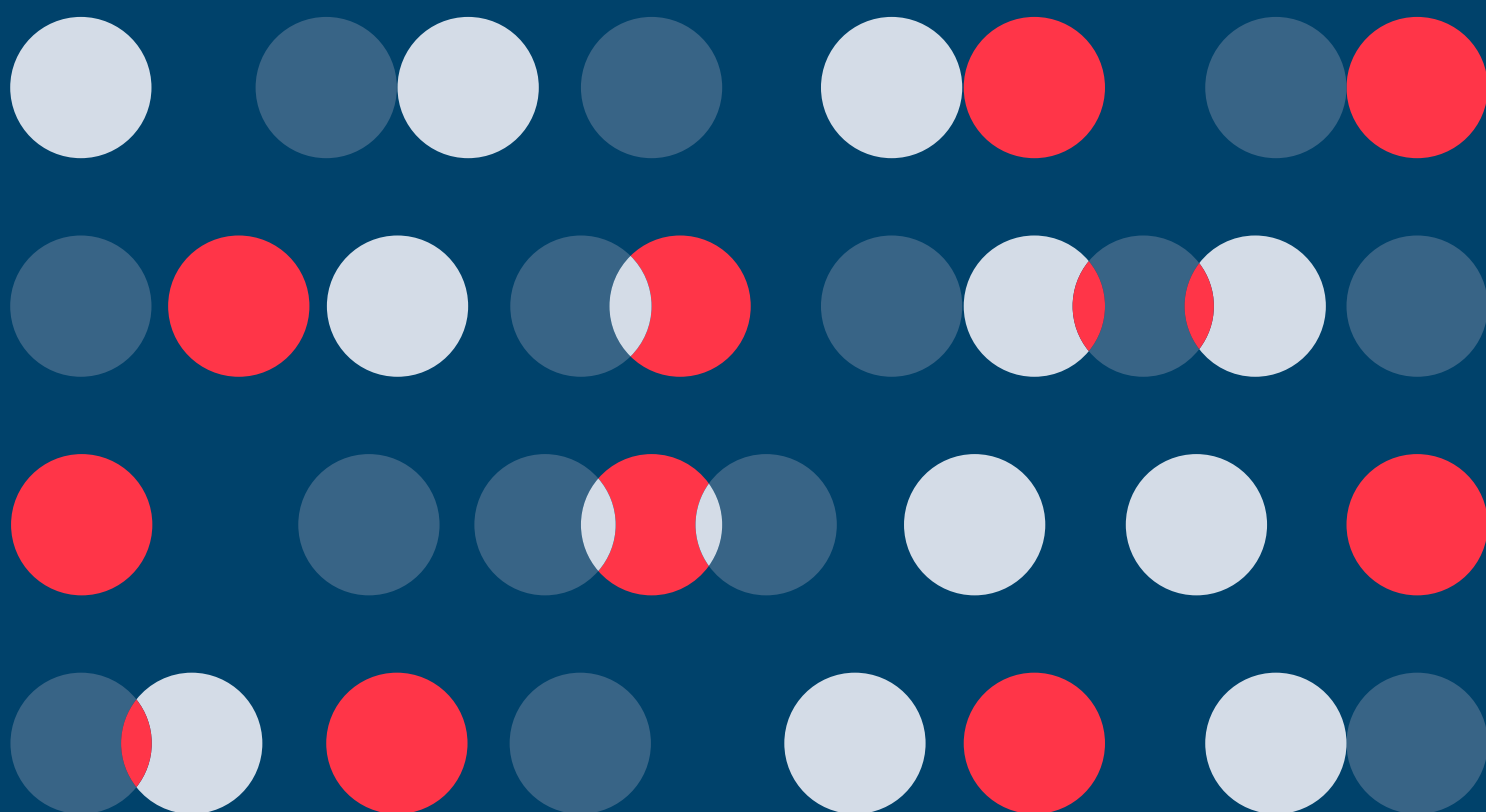


證券及衍生產品市場季報

2016年第四季



市場概況

主板

概況	2016年第4季	2015年第4季
上市公司數目	1,713	1,644
上市證券數目	8,330	8,792
股份		
普通股	1,714	1,645
優先股	10	8
認股權證	3,713	4,602
股份	8	12
衍生	3,705	4,590
股票掛鈎票據	0	0
牛熊證	1,844	1,630
單位信託及互惠基金	157	145
債券	892	762
非上市可交易股份數目		
Nasdaq 股份	6	6
iShares	2	2
交易日數	61	63
總成交金額(百萬港元)*	3,897,077.94	4,489,395.39
總成交股數(百萬股)	9,620,079.20	13,163,614.65
總成交宗數(宗數)	63,494,503	69,922,588
上市公司市價總值(百萬港元)	24,450,434.51	24,425,554.96
平均市盈率(倍)	10.53	9.90
平均週息率(百分率)	3.24	3.46
交易所參與者數目		
開業	556	515
非開業	36	35
成交金額(百萬港元)		
最高	104,157.60 (09/11)	112,095.13 (04/11)
最低	47,614.32 (21/12)	37,862.23 (29/12)
成交股數(百萬股)		
最高	206,399.46 (15/12)	289,932.02 (07/10)
最低	110,034.85 (28/12)	116,738.93 (29/12)
總成交宗數(宗數)		
最高	1,558,248 (09/11)	1,625,567 (04/11)
最低	772,172 (23/12)	699,115 (28/12)

* 成交金額包括非港元交易買賣的證券

市場概況

創業板

概況	2016年第4季	2015年第4季
上市公司數目	260	222
上市證券數目	261	223
股份	260	222
認股權證	1	1
交易日數	61	63
總成交金額(百萬港元)*	26,609.10	39,890.49
總成交股數(百萬股)	101,836.66	136,365.95
總成交宗數(宗數)	769,986	1,213,644
上市公司市價總值(百萬港元)	310,871.86	258,175.74
平均市盈率(倍)	71.31	76.93
平均週息率(百分率)	0.25	0.30
成交金額(百萬港元)		
最高	708.65 (13/10)	1,312.73 (02/10)
最低	281.99 (24/11)	373.52 (15/12)
成交股數(百萬股)		
最高	4,466.48 (12/12)	5,456.13 (11/11)
最低	872.32 (20/12)	967.00 (29/12)
總成交宗數(宗數)		
最高	18,436 (04/10)	39,676 (12/10)
最低	7,628 (24/11)	9,477 (15/12)

* 成交金額包括非港元交易買賣的證券

市場概況

衍生產品市場

概況	2016年第4季	2015年第4季
所有期貨及期權產品(股票期權除外)		
交易日數	62.0	63.0
總合約成交量	27,071,832	25,213,371
股市指數產品	26,825,869	24,997,317
股票產品	40,813	116,277
利率產品	40	3
人民幣貨幣期貨	202,204	83,160
倫敦金屬期貨小型合約	2,906	16,614
平均每日合約成交量	436,642	400,212
季末未平倉合約	2,968,607	2,439,302
股市指數產品	2,915,908	2,395,818
股票產品	5,365	20,088
利率產品	40	0
人民幣貨幣期貨	47,241	23,046
倫敦金屬期貨小型合約	53	350
股票期權		
新開辦期權類別	0	2
股票期權數目(於季末數目)		
類別	84	84
系列	31,080	29,876
期權金總額(百萬港元)	9,144.11	9,781.44
認購	4,317.48	4,413.07
認沽	4,826.63	5,368.37
平均每日期權金總額(百萬港元)	147.49	155.26
總合約成交量	18,636,926	15,195,091
認購	9,692,449	7,713,258
認沽	8,944,477	7,481,833
平均每日合約成交量	300,596	241,192
季末未平倉合約	6,327,503	4,827,678
認購	3,522,728	2,456,981
認沽	2,804,775	2,370,697
總成交宗數	705,900	689,909
平均每日成交宗數	11,385	10,951
已行使合約總數	1,629,290	2,091,602
每張合約平均期權金(港元)	490.64	643.72
每宗交易平均合約數目	26	22
認沽數量／認購數量	0.92	0.97
平均每日合約成交量／未平倉合約	4.8%	5.0%
所有期貨及期權產品		
總合約成交量	45,708,758	40,408,462
季末未平倉合約	9,296,110	7,266,980

市場概況

結算及交收

中央結算系統營運資料	2016年第4季	2015年第4季
經中央結算系統處理之聯交所交易(每日平均數)		
— 交易宗數	1,052,858	1,106,299
— 交易金額(十億港元)	64.2	70.7
— 涉及股數(十億股)	159.4	210.8
經中央結算系統處理的交收指示(每日平均數)		
— 交收指示數目	83,191	89,652
— 交收指示涉及金額(十億港元)	181.3	188.4
— 涉及股數(十億股)	45.8	47.7
經中央結算系統處理的投資者交收指示(每日平均數)		
— 交收指示數目	266	267
— 交收指示涉及金額(百萬港元)	133.7	101.5
— 涉及股數(百萬股)	55.5	61.5
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.90	99.92
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
— 涉及經紀數目	1	3
— 補購宗數	1	4
— 補購涉及金額(百萬港元)	1.0	2.2
存放於中央結算系統證券存管處的股份*		
— 股數(十億股)	5,878.9	5,467.2
— 獲納入系統的證券佔已發行股本總數的百分比	74.27	74.50
— 股份價值(十億港元)	15,381.5	15,071.2
— 獲納入系統的證券佔市價總值的百分比	56.60	56.58
衍生產品結算及交收系統營運資料		
季末未平倉合約		
— 股市指數期貨	572,757	573,542
— 股票期貨	5,365	20,088
— 利率期貨	40	0
— 人民幣貨幣期貨	47,241	23,046
— 倫敦金屬期貨小型合約	53	350
— 股市指數期權	2,343,151	1,822,276
— 股票期權	6,327,503	4,827,678
累積行使股票期權		
— 涉及股數(百萬股)	1,766	2,555
— 涉及金額(十億港元)	31	41

* 股數及市價總值包括非港元證券

主 板 股 份

股份成交

		股數 (百 萬 股)	金 額 (百 萬 港 元)	成 交 宗 數
2015	第 4 季	944,007.06	2,888,836.92	56,185,286
2016	第 1 季	862,976.22	2,639,071.92	55,813,607
	第 2 季	781,041.26	2,563,892.49	50,058,306
	第 3 季	822,456.65	2,993,023.85	52,694,671
	第 4 季	806,179.21	2,860,715.28	51,911,116

股份交易統計

		交 易 日 數	每日平均成交 金 額 (百 萬 港 元)	平均每宗 交 易 成 交 金 額
2015	第 4 季	63	45,854.55	51,416
2016	第 1 季	59	44,730.03	47,284
	第 2 季	62	41,353.10	51,218
	第 3 季	63	47,508.32	56,799
	第 4 季	61	46,896.97	55,108

主 板 股 份

20種升幅最大之股份

2016年第4季

順次	股份代號	股份	收市價		升幅
			2016年12月底	2016年9月底	
1	03708	日成控股	3.960	1.520	160.53
2	03344	互益集團	1.100	0.430	155.81
3	02399	虎都	7.800	3.210	142.99
4	00329	叁龍國際	1.690	0.700	141.43
5	00575	勵品太平洋	0.590	0.250	136.00
6	01367	恒寶企業控股	3.140	1.340	134.33
7	00147	國際商業結算	1.560	0.680	129.41
8	00932	御藥堂	3.490	1.580	120.89
9	01466	民生珠寶	3.300	1.530	115.69
10	00471	中播控股	0.620	0.300	106.67
11	00311	聯泰控股	3.270	1.600	104.38
12	01626	嘉耀控股	3.600	1.780	102.25
13	01372	怡益控股	9.200	4.600	100.00
14	00692	中國家居	0.210	0.105	100.00
15	00149	中國農產品交易	1.260	0.640	96.88
16	01623	海隆控股	2.250	1.150	95.65
17	01263	栢能集團	2.190	1.180	85.59
18	00464	建福集團	1.850	1.020	81.37
19	01029	鐵貨	0.325	0.180	80.56
20	01215	開源控股	0.084	0.047	78.72

A 經調整

20種跌幅最大之股份

2016年第4季

順次	股份代號	股份	收市價		跌幅
			2016年12月底	2016年9月底	
1	00865	第一電訊	0.180	0.647	- 72.18
2	02310	申基國際	0.475	1.340	- 64.55
3	02623	愛德新能源	0.118	0.270	- 56.30
4	00582	藍鼎國際	0.100	0.201	- 50.25
5	02309	伯明翰環球	0.475	0.920	- 48.37
6	01165	順風清潔能源	0.485	0.920	- 47.28
7	00871	中國疏浚環保	0.350	0.660	- 46.97
8	00642	PALADIN PREF	0.330	0.620	- 46.77
9	02369	酷派集團	0.800	1.460	- 45.21
10	01227	國盛投資	0.117	0.210	- 44.29
11	01069	中國農林低碳	0.173	0.310	- 44.19
12	01175	鮮馳達控股	0.420	0.750	- 44.00
13	01239	金寶寶控股	0.094	0.165	- 43.03
14	01389	美捷滙控股	1.200	2.080	- 42.31
15	00141	大中華集團	2.400	3.960	- 39.39
16	02199	維珍妮	6.430	10.600	- 39.34
17	01176	珠光控股	0.730	1.199	- 39.12
18	00913	合一投資	0.153	0.250	- 38.80
19	02312	中國金融租賃	0.239	0.390	- 38.72
20	00997	普匯中金國際	0.032	0.052	- 38.46

A 經調整

主 板 股 份

20種成交最活躍股份(金額)

2016年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	00700	騰訊控股	202,032.55	7.06
2	00005	匯豐控股	105,429.21	3.69
3	00939	建設銀行	99,812.50	3.49
4	01299	友邦保險	82,852.66	2.90
5	00941	中國移動	79,351.56	2.77
6	02318	中國平安	71,931.67	2.51
7	02628	中國人壽	67,489.03	2.36
8	01398	工商銀行	67,132.79	2.35
9	03988	中國銀行	52,324.57	1.83
10	00388	香港交易所	49,774.70	1.74
11	00883	中國海洋石油	39,382.54	1.38
12	00857	中國石油股份	38,823.23	1.36
13	01928	金沙中國有限公司	38,135.45	1.33
14	00027	銀河娛樂	35,744.94	1.25
15	00688	中國海外發展	32,995.01	1.15
16	00016	新鴻基地產	32,152.79	1.12
17	00175	吉利汽車	31,914.53	1.12
18	00001	長和	30,732.38	1.07
19	00762	中國聯通	30,384.00	1.06
20	00386	中國石油化工股份	29,141.09	1.02
總 額			1,217,537.19	42.56

20種成交最活躍股份(股數)

2016年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	00261	中建置地	27,045.09	3.35
2	00981	中芯國際	19,042.98	2.36
3	00939	建設銀行	17,479.98	2.17
4	00136	恒騰網絡	15,289.27	1.90
5	03988	中國銀行	15,054.96	1.87
6	01398	工商銀行	14,320.01	1.78
7	00061	北亞資源	11,954.06	1.48
8	00364	坪山茶業	10,927.33	1.36
9	00619	南華金融	9,374.24	1.16
10	02324	首都創投	7,805.41	0.97
11	00857	中國石油股份	7,051.75	0.87
12	03823	德普科技	6,713.89	0.83
13	00648	中國華仁醫療	6,628.25	0.82
14	00997	普匯中金國際	6,501.32	0.81
15	01106	中國海景	6,049.40	0.75
16	00651	中海重工	5,910.84	0.73
17	01288	農業銀行	5,579.88	0.69
18	00204	中國投資開發	5,320.71	0.66
19	01029	鐵貨	5,229.29	0.65
20	00692	中國家居	5,225.14	0.65
總 數			208,503.78	25.86

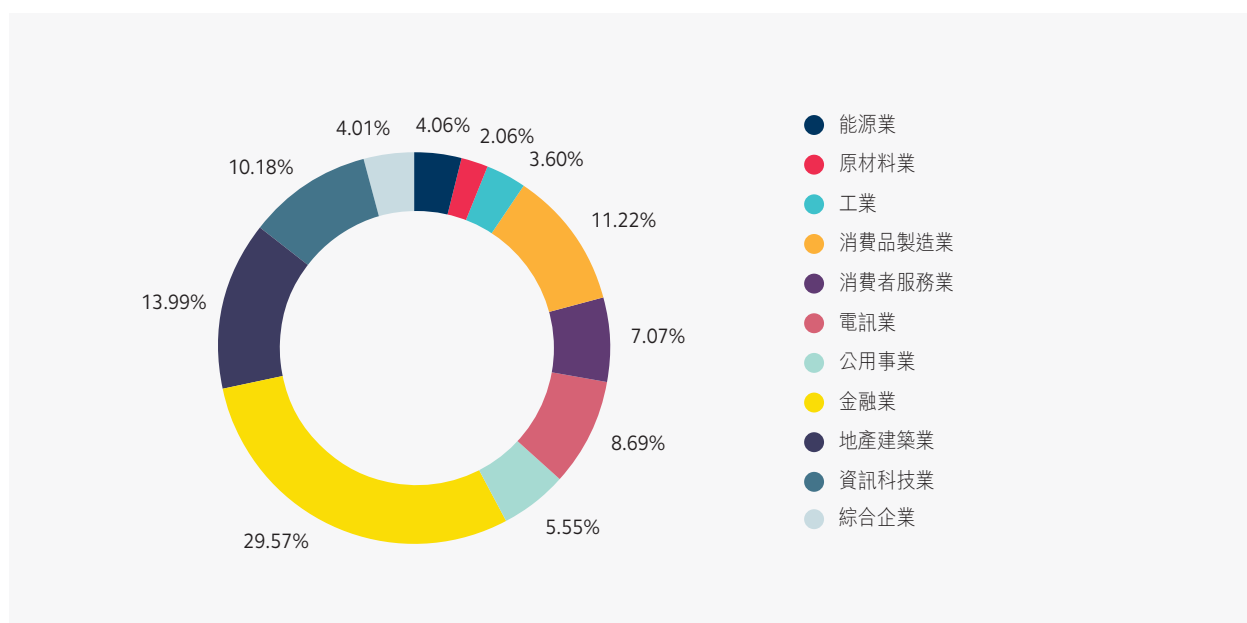
主 板 股 份

上市公司市價總值－主板

		百 萬 港 元
2015	第 4 季	24,425,554.96
2016	第 1 季	23,195,583.34
	第 2 季	22,796,551.70
	第 3 季	25,317,548.47
	第 4 季	24,450,434.51

恒生行業分類系統之證券市價總值*－主板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

主 板 股 份

50間市價總值最大之上市公司

截至2016年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	00700	騰訊控股有限公司	1,797,761.25	7.35
2	00941	中國移動有限公司	1,683,084.69	6.88
3	00939	中國建設銀行股份有限公司－H股	1,435,291.40	5.87
4	00005	匯豐控股有限公司	1,256,890.04	5.14
5	01299	友邦保險控股有限公司	527,469.73	2.16
6	00883	中國海洋石油有限公司	433,080.32	1.77
7	01398	中國工商銀行股份有限公司－H股	403,592.31	1.65
8	02378	英國保誠有限公司*	–	396,192.95 N.A.
9	00805	Glencore plc *	–	346,838.05 N.A.
10	00001	長江和記實業有限公司	339,089.94	1.39
11	00267	中國中信股份有限公司	322,901.92	1.32
12	02388	中銀香港(控股)有限公司	293,394.65	1.20
13	02318	中國平安保險(集團)股份有限公司－H股	288,965.98	1.18
14	03988	中國銀行股份有限公司－H股	287,660.63	1.18
15	00016	新鴻基地產發展有限公司	283,754.81	1.16
16	00011	恒生銀行有限公司	275,878.91	1.13
17	00945	Manulife Financial Corporation *	–	272,250.03 N.A.
18	01928	金沙中國有限公司	271,988.13	1.11
19	00688	中國海外發展有限公司	225,149.94	0.92
20	00388	香港交易及結算所有限公司	224,295.90	0.92
21	00066	香港鐵路有限公司	222,612.09	0.91
22	00762	中國聯合網絡通信(香港)股份有限公司	216,242.14	0.88
23	02888	渣打集團有限公司	204,593.62	0.84
24	03328	交通銀行股份有限公司－H股	196,416.55	0.80
25	01113	長江實業地產有限公司	181,831.18	0.74
26	00002	中電控股有限公司	180,009.60	0.74
27	00003	香港中華煤氣有限公司	174,732.16	0.71
28	01038	長江基建集團有限公司	163,546.71	0.67
29	00004	九龍倉集團有限公司	156,306.16	0.64
30	02628	中國人壽保險股份有限公司－H股	150,311.74	0.61
31	00012	恒基兆業地產有限公司	150,042.99	0.61
32	00006	電能實業有限公司	145,876.78	0.60
33	00027	銀河娛樂集團有限公司	144,369.22	0.59
34	00386	中國石油化工股份有限公司－H股	140,323.91	0.57
35	01972	太古地產有限公司	125,190.00	0.51
36	00857	中國石油天然氣股份有限公司－H股	121,951.64	0.50
37	01109	華潤置地有限公司	120,875.59	0.49
38	00019	太古股份有限公司	106,986.74	0.44
39	01288	中國農業銀行股份有限公司－H股	97,749.46	0.40
40	00656	復星國際有限公司	94,464.02	0.39
41	02007	碧桂園控股有限公司	93,773.26	0.38
42	00288	萬洲國際有限公司	91,860.57	0.38
43	00020	會德豐有限公司	88,814.62	0.36
44	02018	瑞聲科技控股有限公司	86,512.60	0.35
45	03968	招商銀行股份有限公司－H股	83,462.58	0.34
46	01658	中國郵政儲蓄銀行股份有限公司－H股	83,197.34	0.34
47	00023	東亞銀行有限公司	80,271.42	0.33
48	00017	新世界發展有限公司	77,035.32	0.32
49	02601	中國太平洋保險(集團)股份有限公司－H股	75,071.87	0.31
50	00101	恒隆地產有限公司	73,940.14	0.30
總值			14,278,622.59	58.40
市場總值			24,450,434.51	100.00

註：

* 不包括市場總值內

主 板 股 份

賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2015	第4季	467,982.84	4,529,285.89	10.33
2016	第1季	529,347.58	4,288,073.96	12.34
	第2季	488,227.56	3,881,459.36	12.58
	第3季	490,674.64	4,303,204.62	11.40
	第4季	406,569.77	3,923,687.04	10.36

* 包括主板及創業板市場總額

賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2015	第4季	45,874.78	13,299,980.60	0.34
2016	第1季	54,180.01	13,043,825.48	0.42
	第2季	53,429.40	11,848,238.65	0.45
	第3季	53,475.70	10,997,917.09	0.49
	第4季	47,872.62	9,721,915.86	0.49

* 包括主板及創業板市場總股數

新上市統計—公司

		新上市 公司數目	創業板 公司轉板數目*	集資金額 (百萬港元)#
2015	第4季	39	2	105,769.28
2016	第1季	13	1	29,916.67
	第2季	11	0	12,489.33
	第3季	22	3	91,602.58
	第4季	29	2	56,716.17

* 祇包括引用《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由創業板轉至主板的上市公司。上述規則於2008年7月1日生效。

集資金額為新上市公司首次公開招股的集資總額

主 板 股 份

新上市公司

2016年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額(百萬港元)
06858	本間高爾夫有限公司	2016/10/06	609,050,000	1,339.91
01526	瑞慈醫療服務控股有限公司	2016/10/06	1,592,079,000	1,022.28
06099	招商證券股份有限公司－H股	2016/10/07	980,401,180	10,695.29
02166	芯智控股有限公司	2016/10/07	500,000,000	228.75
01460	揚科集團有限公司*	2016/10/12	4,000,000,000	–
01547	IBI Group Holdings Ltd.	2016/10/14	800,000,000	90.00
02113	東盈控股有限公司	2016/10/17	767,750,000	148.93
01609	創建集團(控股)有限公司	2016/10/17	764,800,000	133.84
01539	滙能集團控股國際有限公司*	2016/10/26	500,000,000	–
03320	華潤醫藥集團有限公司	2016/10/28	6,284,506,461	15,061.25
03306	江南布衣有限公司	2016/10/31	518,750,000	920.00
01610	中糧肉食控股有限公司	2016/11/01	3,901,998,323	1,951.20
01572	中國藝術金融控股有限公司	2016/11/08	1,600,000,000	300.00
03686	祈福生活服務控股有限公司	2016/11/08	1,000,000,000	115.00
03689	廣東康華醫療股份有限公司－H股	2016/11/08	84,394,000	978.97
06163	彭順國際有限公司	2016/11/11	250,000,000	80.00
01633	上諭集團控股有限公司	2016/11/11	684,750,000	151.80
01458	周黑鴨國際控股有限公司	2016/11/11	2,383,140,500	2,870.27
01272	大唐環境產業集團股份有限公司－H股	2016/11/15	624,296,200	2,145.31
01611	桐成控股有限公司	2016/11/21	300,000,000	135.00
01608	偉能集團國際控股有限公司	2016/11/24	2,560,000,000	1,612.80
06189	廣東愛得威建設(集團)股份有限公司－H股	2016/11/25	52,763,000	274.37
01629	盟科控股有限公司	2016/11/25	500,000,000	87.50
01632	膳源控股有限公司	2016/11/29	200,000,000	100.00
01635	上海大眾公用事業(集團)股份有限公司－H股	2016/12/05	533,643,000	1,921.11
01581	進昇集團控股有限公司	2016/12/08	1,037,500,000	172.50
06066	中信建投證券股份有限公司－H股	2016/12/09	1,261,023,762	8,197.23
01617	南方通信控股有限公司	2016/12/12	1,120,000,000	285.60
01357	美圖公司	2016/12/15	4,227,294,550	4,879.00
01580	大森控股集團有限公司	2016/12/19	747,000,000	144.90
01596	河北翼辰實業集團股份有限公司－H股	2016/12/21	224,460,000	673.38
總額				56,716.17

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

主 板 股 份

除牌上市公司

2016年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
02618	TCL通訊科技控股有限公司	2016/09/22	2016/10/03
01438	富貴生命國際有限公司	2016/09/29	2016/10/07
01768	Bracell Ltd.	2016/10/06	2016/10/25
01968	匹克體育用品有限公司	2016/10/24	2016/11/03
00839	安徽天大石油管材股份有限公司－H股	2016/11/29	2016/12/12

主 板 股 份

公司名稱更改

2016年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
00565	錦藝紡織科技國際有限公司	錦藝集團控股有限公司	2016/09/13	2016/10/07
00850	中亞能源控股有限公司	投融長富集團有限公司	2016/08/31	2016/10/07
01194	中國貴金屬資源控股有限公司	麥盛資本集團有限公司	2016/09/20	2016/10/11
00687	泰昇集團控股有限公司	香港國際建設投資管理集團有限公司	2016/08/31	2016/10/14
00612	中國投資基金有限公司	中國投資基金國際控股有限公司	2016/10/03	2016/10/20
00279	民眾金服控股有限公司	民眾金融科技控股有限公司	2016/09/21	2016/10/20
00505	興業銅業國際集團有限公司	歡悅互娛控股有限公司	2016/09/13	2016/10/20
00365	日東科技(控股)有限公司	紫光科技(控股)有限公司	2016/09/02	2016/10/25
00275	錦興集團有限公司	凱華集團有限公司	2016/10/12	2016/10/27
00485	實華發展有限公司	中國華星集團有限公司	2016/09/07	2016/10/28
00711	中國城建集團股份有限公司	亞洲聯合基建控股有限公司	2016/10/03	2016/11/01
00989	廣澤地產有限公司	廣澤國際發展有限公司	2016/09/22	2016/11/01
02277	震昇工程控股有限公司	華融投資股份有限公司	2016/09/30	2016/11/04
00798	光谷聯合控股有限公司	中電光谷聯合控股有限公司	2016/09/30	2016/11/09
00376	瑞東集團有限公司	雲鋒金融集團有限公司	2016/10/28	2016/11/09
01253	博大綠澤國際有限公司	中國綠地博大綠澤集團有限公司	2016/10/11	2016/11/14
00776	捷豐家居用品有限公司	帝國集團環球控股有限公司	2016/10/31	2016/11/23
01178	天年集團有限公司	共享經濟集團有限公司	2016/10/27	2016/11/25
01138	中海發展股份有限公司－H股	中遠海運能源運輸股份有限公司－H股	2016/10/18	2016/11/28
00572	中達金融集團有限公司	未來世界金融控股有限公司	2016/11/01	2016/11/29
00223	神州資源集團有限公司	易生活控股有限公司	2016/10/28	2016/12/02
01515	鳳凰醫療集團有限公司	華潤鳳凰醫療控股有限公司	2016/11/02	2016/12/05
01919	中國遠洋控股股份有限公司－H股	中遠海運控股股份有限公司－H股	2016/11/04	2016/12/08
00063	榮豐國際有限公司	中國烯谷集團有限公司	2016/11/18	2016/12/16
02866	中海集裝箱運輸股份有限公司－H股	中遠海運發展股份有限公司－H股	2016/11/18	2016/12/22
00517	中遠國際控股有限公司	中遠海運國際(香港)有限公司	2016/11/16	2016/12/22
00147	超越集團有限公司	國際商業結算控股有限公司	2016/11/09	2016/12/28
02662	奕達國際集團有限公司	承興國際控股有限公司	2016/11/30	2016/12/30
01488	理文手袋集團有限公司	百福控股有限公司	2016/12/06	2016/12/30
01048	新源控股有限公司	煜新控股有限公司	2016/12/13	2016/12/30

主 板 股 份

紅股／紅利認股權證

2016年第4季

股份代號	公司	詳情	除淨日
02342	京信通信系統控股有限公司	10送1	2016/10/13
00362	中國天化工集團有限公司	每供1股送1股股份	2016/11/02
01673	華章科技控股有限公司	1送1	2016/11/15
00565	錦藝集團控股有限公司	10送10	2016/11/18
00080	中國新經濟投資有限公司	每供3股送2股股份	2016/12/16
00413	南華集團控股有限公司	4送1	2016/12/29

股份拆細及合併

2016年第4季

股份代號	公司	詳情	生效日期
00190	香港建設(控股)有限公司	25股合併為1股	2016/10/07
00986	中國環保能源投資有限公司	10股合併為1股	2016/10/21
01622	力高地產集團有限公司	1股分拆為2股	2016/10/28
02339	京西重工國際有限公司	10股合併為1股	2016/11/17
00981	中芯國際集成電路製造有限公司	10股合併為1股	2016/12/07
01243	宏安地產有限公司	1股分拆為10股	2016/12/07
01462	金誠控股有限公司	1股分拆為10股	2016/12/21

主 板 股 份

供股／公開售股

2016年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
10月	00265	東勝中國控股有限公司	公開售股5供1 @\$0.128 (有權選擇承購所有或部分 其出售永久可換股證券的配額)	36.28
	00865	第一電訊集團有限公司	公開售股1供2，每股供0.13港元 (10股合併為1股後)	50.60
11月	02086	龍傑智能卡控股有限公司	股權8供1，每股供1.14港元	40.48
	00718	太和控股有限公司	股權1供2，每股供1.00港元	3,002.18
	00997	普匯中金國際控股有限公司	股權1供5，每股供0.04港元	558.34
	00362	中國天化工集團有限公司	公開售股4供1，每股供0.10港元 另每供1股送1股股份	71.87
	01176	珠光控股集團有限公司	股權3供1，每股供0.80港元	1,284.88
12月	00170	中國資本(控股)有限公司	公開售股5供2，每股供3.95港元	121.28
	01383	太陽世紀集團有限公司	股權1供3，每股供0.20港元	901.39
	01208	五礦資源有限公司	股權2供1，每股供1.50港元	3,967.55
	00030	萬隆控股集團有限公司	股權2供1，每股供0.11港元	146.89
	00539	冠華國際控股有限公司	股權2供1，每股供0.25港元	349.48
	00472	新絲路文旅有限公司	公開售股5供2，每股供1.60港元	1,466.33
總額				11,997.55

主 板 股 份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2016					
10月	00789	雅天妮	2,440,000,000	0.0740	180.56
	02309	伯明翰環球	3,125,000,000	0.0800	250.00
	03998	波司登	1,834,862,385	0.9300	1,706.42
	03623	集成金融	55,000,000	2.0000	110.00
	02312	中國金融租賃	120,000,000	0.2900	34.80
	01282	中國金洋	600,000,000	0.6800	408.00
	00326	中國星集團	150,600,000	0.5300	79.82
	04607	CINDA 16USDPRF	160,000,000	156.0000	24,960.00
	00707	協盛協豐	642,380,000	0.2200	141.32
	00547	數字王國	615,454,546	0.5500	338.50
	00935	龍翔集團	110,966,000	1.2500	138.71
	00901	鷹力投資	118,000,000	0.2550	30.09
	00865	第一電訊	1,167,597,940	0.1550	180.98
	02310	申基國際	57,291,898	1.2000	68.75
	03638	晶芯科技控股	330,000,000	0.4600	151.80
	01082	香港教育國際	91,256,000	0.6630	60.50
	00687	香港國際建投	57,000,000	4.0800	232.56
	01076	博華太平洋	1,000,000,000	0.1500	150.00
	00397	君陽金融	485,280,000	0.1800	87.35
	00273	民信金控	3,072,880,000	0.2400	737.49
	01150	米蘭站	135,496,000	0.3290	44.58
	00055	中星集團控股	42,270,000	0.4700	19.87
	01142	西伯利亞礦業	102,600,000	0.2690	27.60
	01106	中國海景	6,000,000,000	0.1000	600.00
	03882	天彩控股	48,000,000	1.0500	50.40
	02012	陽光油砂	161,470,588	0.3400	54.90
	02012	陽光油砂	13,333,333	0.7500	10.00
	02678	天虹紡織	30,318,827	9.5000	288.03
	01191	粵首環保	599,200,000	0.2500	149.80
11月	00833	華訊	52,562,020	1.4900	78.32
	00959	奧瑪仕國際	13,600,000	0.5300	7.21
	01522	中國城市軌道科技	669,053,524	1.3500	903.22
	00646	中國環保科技	55,608,000	0.1800	10.01
	01332	中國新進	1,632,880,000	0.2500	408.22
	04609	CMBC 16USDPRF	71,950,000	156.0000	11,224.20
	00524	E-KONG GROUP	145,880,000	0.3800	55.43
	00223	易生活控股	549,066,000	0.2250	123.54
	00115	鈞濠集團	62,952,645	0.2154	13.56
	00989	廣澤國際發展	130,000,000	1.0000	130.00
	04608	HSBNK 16USDPRF	44,400,000	156.0000	6,926.40
	00428	匯嘉中國	7,811,722	1.6700	13.05

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	00704	和嘉資源	1,400,000,000	0.1500	210.00
	00381	僑雄國際	840,000,000	0.1100	92.40
	00988	樓東俊安資源	318,165,000	0.4600	146.36
	01281	隆基泰和智慧能源	121,000,000	1.7000	205.70
	01107	當代置業	243,525,000	1.1000	267.88
	00166	新時代能源	500,000,000	0.2200	110.00
	00361	順龍控股	2,861,000,000	0.1140	326.15
	01020	華耐控股	207,400,000	0.1660	34.43
	01166	星凱控股	391,860,000	0.3000	117.56
	01918	融創中國	453,074,433	6.1800	2,800.00
	00621	壇金礦業	2,974,920,000	0.0883	262.69
	01468	英裘控股	210,000,000	0.1800	37.80
	00648	中國華仁醫療	1,800,000,000	0.0550	99.00
	00209	瀛晟科學	496,976,000	0.1600	79.52
12 月	00833	華訊	23,437,980	1.4900	34.92
	03337	安東油田服務	221,619,605	1.0080	223.39
	00416	錦州銀行	1,000,000,000	7.5000	7,500.00
	01004	中國智慧能源	1,560,000,000	0.6500	1,014.00
	01908	建發國際集團	68,000,000	3.9700	269.96
	01329	首創鉅大	190,384,616	2.1000	399.81
	00996	嘉年華國際	830,350,000	0.8730	724.90
	01164	中廣核礦業	659,400,000	0.5200	342.89
	00476	中國動力控股	740,000,000	0.2450	181.30
	01566	華夏動漫	30,770,000	3.2500	100.00
	01359	中國信達	1,907,845,112	3.2200	6,143.26
	00646	中國環保科技	444,448,000	0.1900	84.45
	00153	中國賽特	203,800,000	0.5200	105.98
	00550	先傳媒	35,000,000	2.0500	71.75
	01240	青建國際	99,000,000	2.5500	252.45
	00861	神州控股	58,119,000	5.5300	321.40
	00223	易生活控股	255,760,000	0.2290	58.57
	00717	英皇證券	612,900,000	0.6600	404.51
	01808	企展控股	83,584,000	0.6500	54.33
	01269	首控集團	100,000,000	10.0000	1,000.00
	01039	鑫網易商	138,888,000	1.9800	275.00
	01175	鮮馳達控股	218,820,000	0.4100	89.72
	00905	環球大通投資	195,500,000	0.4500	87.98
	00147	國際商業結算	1,280,000,000	1.2500	1,600.00
	01029	鐵貨	937,500,000	0.2100	196.88
	02028	映美控股	12,000,000	1.6000	19.20
	00381	僑雄國際	18,906,000	0.1000	1.89
	01041	林達控股	139,480,000	0.2300	32.08
	01360	MEGAEXPO HLDG	150,800,000	0.6300	95.00
	01227	國盛投資	48,000,000	0.1130	5.42
	00299	新體育	16,269,629,000	0.0620	1,008.72

主 板 股 份

月 份	股 份 代 號	公 司 名 稱	配 售 股 份 數 目	配 售 價 (港 元)	集 資 金 額 (百 萬 港 元)
	00433	北方礦業	1,654,929,577	0.1420	235.00
	01478	丘鈦科技	40,000,000	3.9000	156.00
	00911	前海健康	1,000,000,000	0.1350	135.00
	03332	中生聯合	45,411,600	1.6100	73.11
	00395	中國智能集團	793,672,000	0.1033	81.99
	02012	陽光油砂	50,000,000	0.3210	16.05
	02012	陽光油砂	98,453,334	0.7500	73.84
	00718	太和控股	300,000,000	1.2000	360.00
	03823	德普科技	318,000,000	0.1920	61.06
	01219	天喔國際	132,358,000	2.4137	319.47
	02323	至卓國際	240,000,000	2.0000	480.00
	00686	聯合光伏	100,000,000	0.6000	60.00
	01718	宏基集團控股	160,000,000	0.8600	137.60
	00629	悅達礦業	250,000,000	0.3800	95.00
總 額					80,959.32

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

主 板 認 股 權 證

認股權證交易統計

		股份認股權證 (百 萬 港 元)	衍生權證 (百 萬 港 元)	總 額 (百 萬 港 元)
2015	第 4 季	242.28	794,250.41	794,492.69
2016	第 1 季	92.83	915,484.74	915,577.57
	第 2 季	64.04	677,965.49	678,029.53
	第 3 季	83.83	618,585.83	618,669.66
	第 4 季	70.69	514,959.75	515,030.44

認股權證市價總值

		數 目	股份認股權證 (百 萬 港 元)	數 目	衍生權證 (百 萬 港 元)	總 數	總 額 (百 萬 港 元)
2015	第 4 季	12	1,836.69	4,590	60,433.79	4,602	62,270.48
2016	第 1 季	12	1,444.55	4,184	58,444.35	4,196	59,888.90
	第 2 季	11	1,018.90	4,070	51,518.27	4,081	52,537.17
	第 3 季	11	1,371.20	4,006	76,719.27	4,017	78,090.47
	第 4 季	8	847.55	3,705	42,844.32	3,713	43,691.87

新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	集資金額 (百 萬 港 元)
2015	第 4 季	2	1,162	27,608.36
2016	第 1 季	2	1,276	31,890.47
	第 2 季	2	1,045	21,652.05
	第 3 季	1	1,354	28,479.35
	第 4 季	0	1,200	23,813.28

新上市股份認股權證

2016 年 第 4 季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百 萬 港 元)
NIL					
總 額					-

主 板 認 股 權 證

新上市衍生權證

2016 年 第 4 季

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬元)
13576	Credit Suisse AG - Tencent Holdings European Wts Oct 2017 A	100,000,000	2016/10/03	2017/10/06	25.00
13574	HK Bank - China Overseas European Warrants Apr 2017 A	80,000,000	2016/10/03	2017/04/03	20.00
13575	HK Bank - ICBC European Warrants Jul 2017 C	80,000,000	2016/10/03	2017/07/31	20.00
13578	J P Morgan SP BV - HSI European Warrants Jun 2017 B	300,000,000	2016/10/03	2017/06/29	45.30
13577	J P Morgan SP BV - Intime European Warrants Dec 2018 A	80,000,000	2016/10/03	2018/12/28	20.08
13572	Macquarie Bank Ltd. - CKI Holdings European Warrants Apr17 A	30,000,000	2016/10/03	2017/04/05	10.11
13573	SG Issuer - Tencent European Warrants Apr 2017 D	400,000,000	2016/10/03	2017/04/06	100.00
13586	Bank of East Asia - CNBM European Warrants Jul 2017 A	36,000,000	2016/10/04	2017/07/03	10.26
13585	Bank of East Asia - Yanzhou Coal European Wts Apr 2017 A	25,000,000	2016/10/04	2017/04/05	10.75
13587	J P Morgan SP BV - Sinopec Corp European Warrants Mar 2017 A	100,000,000	2016/10/04	2017/03/09	16.20
13581	Macquarie Bank Ltd. - Lenovo European Warrants Apr 2017 B	29,000,000	2016/10/04	2017/04/05	10.01
13579	Macquarie Bank Ltd. - Tencent European Warrants Apr 2017 E	10,000,000	2016/10/04	2017/04/05	22.70
13582	SG Issuer - Tencent European Put Warrants Mar 2017 F	200,000,000	2016/10/04	2017/03/06	36.00
13608	Credit Suisse AG - China Mobile European Warrants Apr 2017 A	60,000,000	2016/10/05	2017/04/05	24.00
13597	HK Bank - CAM CSI300 European Warrants May 2017 A	50,000,000	2016/10/05	2017/05/31	12.50
13596	HK Bank - CSOP A50 ETF European Warrants Apr 2017 A	50,000,000	2016/10/05	2017/04/28	15.00
13595	HK Bank - A50 China European Warrants Apr 2017 A	50,000,000	2016/10/05	2017/04/05	15.00
13611	Macquarie Bank Ltd. - China Gas Hold Euro Wts Dec 2017 A	40,000,000	2016/10/05	2017/12/04	10.00
13588	Macquarie Bank Ltd. - HKEX European Warrants Apr 2017 B	7,000,000	2016/10/05	2017/04/05	12.96
13589	Macquarie Bank Ltd. - HKEX European Warrants Apr 2017 C	13,000,000	2016/10/05	2017/04/05	10.05
13590	Macquarie Bank Ltd. - HKEX European Put Warrants Apr 2017 D	8,500,000	2016/10/05	2017/04/05	10.00
13610	Macquarie Bank Ltd. - Link REIT European Warrants Apr 2017 A	40,000,000	2016/10/05	2017/04/05	10.24
13598	Macquarie Bank Ltd. - SUNAC European Warrants Nov 2018 A	40,000,000	2016/10/05	2018/11/16	10.00
13609	Macquarie Bank Ltd. - Xinyi Glass European Wts Aug 2018 A	40,000,000	2016/10/05	2018/08/13	10.00
13600	SG Issuer - AGBK European Put Warrants Apr 2017 B	80,000,000	2016/10/05	2017/04/06	20.00
13591	SG Issuer - AIA European Warrants Aug 2017 A	100,000,000	2016/10/05	2017/08/03	25.00
13601	SG Issuer - CGS European Warrants May 2017 A	12,000,000	2016/10/05	2017/05/05	10.08
13603	SG Issuer - CITIC Sec European Warrants Sep 2017 A	55,000,000	2016/10/05	2017/09/04	10.18
13606	SG Issuer - MGM China European Warrants Oct 2017 A	60,000,000	2016/10/05	2017/10/06	10.80
13594	SG Issuer - PetroChina European Warrants May 2017 A	60,000,000	2016/10/05	2017/05/05	15.00
13607	SG Issuer - Zijin Mining European Warrants May 2017 A	35,000,000	2016/10/05	2017/05/05	10.15
19484 #	J P Morgan SP BV - Ping An European Warrants Apr 2017 B	300,000,000	2016/10/05	2017/04/03	11.10
13635	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Apr 2017 A	60,000,000	2016/10/06	2017/04/05	11.40
13629	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts May 2017 A	40,000,000	2016/10/06	2017/05/04	10.00
13636	BNP Paribas Arbit Issu B.V. - HKEX European Wts Aug 2017 B	60,000,000	2016/10/06	2017/08/02	10.20
13637	BNP Paribas Arbit Issu B.V. - HKEX European Wts Oct 2017 A	60,000,000	2016/10/06	2017/10/03	13.20
13628	BNP Paribas Arbit Issu B.V. - Kingsoft Euro Wts May 2017 A	40,000,000	2016/10/06	2017/05/04	10.00
13626	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Sep 2017 A	80,000,000	2016/10/06	2017/09/04	20.00
13640	Credit Suisse AG - CNOOC European Warrants Mar 2017 A	50,000,000	2016/10/06	2017/03/03	11.10
13642	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2017 B	80,000,000	2016/10/06	2017/04/10	20.00
13643	Goldman Sachs Sp (Asia) - ICBC European Warrants Feb 2017 B	60,000,000	2016/10/06	2017/02/21	10.32
13618	HK Bank - AIA European Warrants Apr 2017 A	80,000,000	2016/10/06	2017/04/05	20.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
13619	HK Bank - AIA European Put Warrants Apr 2017 B	50,000,000	2016/10/06	2017/04/27	12.50
13622	HK Bank - BYD European Warrants Dec 2018 A	100,000,000	2016/10/06	2018/12/20	15.00
13620	HK Bank - China Mobile European Warrants Apr 2017 A	50,000,000	2016/10/06	2017/04/05	35.00
13613	Macquarie Bank Ltd. - CM Bank European Warrants Sep 2017 A	40,000,000	2016/10/06	2017/09/04	10.00
13612	Macquarie Bank Ltd. - China Mobile European Wts Nov 2018 A	40,000,000	2016/10/06	2018/11/02	10.00
13639	Macquarie Bank Ltd. - Ch Oilfield European Wts Oct 2018 B	40,000,000	2016/10/06	2018/10/08	10.00
13614	Macquarie Bank Ltd. - HKEX European Warrants May 2017 B	18,000,000	2016/10/06	2017/05/04	10.03
13616	Macquarie Bank Ltd. - HKEX European Warrants May 2017 C	7,000,000	2016/10/06	2017/05/04	10.32
13638	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Oct 2018 A	40,000,000	2016/10/06	2018/10/19	10.00
13630	SG Issuer - CCoal European Warrants May 2017 A	20,000,000	2016/10/06	2017/05/05	10.00
13617	SG Issuer - CNOOC European Put Warrants Apr 2017 A	20,000,000	2016/10/06	2017/04/06	14.80
13631	SG Issuer - Shenhua European Warrants Jun 2017 A	80,000,000	2016/10/06	2017/06/05	14.40
13633	SG Issuer - Geely Auto European Warrants Dec 2018 A	80,000,000	2016/10/06	2018/12/05	20.00
13644	SG Issuer - Tencent European Warrants Aug 2018 A	200,000,000	2016/10/06	2018/08/03	50.00
13634	SG Issuer - Yanzhou Coal Eu Wt May 2017 A	20,000,000	2016/10/06	2017/05/05	10.00
13641	UBS AG - China Mobile European Warrants Jan 2017 C	100,000,000	2016/10/06	2017/01/20	32.60
13382 #	Goldman Sachs SP (Asia) - Tencent European Wt Jan 2017 E	150,000,000	2016/10/06	2017/01/24	19.05
13662	Credit Suisse AG - China Life European Warrants Apr 2017 C	80,000,000	2016/10/07	2017/04/06	12.00
13663	Credit Suisse AG - A50 China European Warrants Apr 2017 A	40,000,000	2016/10/07	2017/04/06	13.00
13647	Goldman Sachs SP (Asia) - HKEX European Warrants Feb 2017 D	40,000,000	2016/10/07	2017/02/27	23.44
13646	Goldman Sachs SP (Asia) - HS H-SHARE European Wts Apr 2017 A	50,000,000	2016/10/07	2017/04/12	12.50
13651	HK Bank - CKH European Warrants Apr 2017 A	50,000,000	2016/10/07	2017/04/06	20.00
13649	HK Bank - CKH European Warrants Jun 2017 B	50,000,000	2016/10/07	2017/06/30	15.00
13650	HK Bank - Tencent European Warrants Sep 2017 D	150,000,000	2016/10/07	2017/09/22	27.00
13667	J P Morgan SP BV - China EB Int'l European Wts Jan 2018 A	80,000,000	2016/10/07	2018/01/03	20.00
13671	J P Morgan SP BV - China Mob European Wts Mar 2017 B	100,000,000	2016/10/07	2017/03/31	44.80
13670	J P Morgan SP BV - Ping An European Warrants Mar 2017 B	100,000,000	2016/10/07	2017/03/01	21.00
13652	SG Issuer - AIA European Warrants Apr 2017 A	80,000,000	2016/10/07	2017/04/06	20.00
13653	SG Issuer - China Overseas European Warrants Aug 2017 A	60,000,000	2016/10/07	2017/08/03	10.20
13661	SG Issuer - CP&CC European Warrants Apr 2017 A	60,000,000	2016/10/07	2017/04/06	22.50
13654	SG Issuer - CSOP A50 ETF European Warrants Apr 2017 A	20,000,000	2016/10/07	2017/04/06	11.40
13659	SG Issuer - A50 China European Warrants Apr 2017 B	20,000,000	2016/10/07	2017/04/06	11.00
13656	SG Issuer - HKEX European Warrants Sep 2017 B	200,000,000	2016/10/07	2017/09/05	50.00
13657	SG Issuer - HKEX European Warrants Oct 2017 B	200,000,000	2016/10/07	2017/10/06	36.00
13648	SG Issuer - HKEX European Warrants Dec 2018 A	200,000,000	2016/10/07	2018/12/05	50.00
13683	UBS AG - AAC Acoustic European Warrants Oct 2017 B	50,000,000	2016/10/07	2017/10/16	12.65
13681	UBS AG - AIA European Warrants Jan 2017 B	100,000,000	2016/10/07	2017/01/20	15.20
13680	UBS AG - HSBC European Warrants Mar 2017 B	100,000,000	2016/10/07	2017/03/29	25.10
13676	UBS AG - HSBC European Warrants Apr 2017 A	100,000,000	2016/10/07	2017/04/06	15.80
13682	UBS AG - HSI European Warrants Feb 2017 C	300,000,000	2016/10/07	2017/02/27	50.70
13686	BOCI Asia Ltd. - AIA European Warrants Apr 2017 A	200,000,000	2016/10/11	2017/04/18	50.00
13685	BOCI Asia Ltd. - China Life European Warrants Nov 2017 A	200,000,000	2016/10/11	2017/11/03	39.40
13687	BOCI Asia Ltd. - HSBC European Warrants May 2017 A	200,000,000	2016/10/11	2017/05/02	32.00
13691	BNP Paribas Arbit Issu B.V. - HKEX Euro Put Wts Jun 2017 D	60,000,000	2016/10/11	2017/06/02	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
13690	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Jul 2017 A	40,000,000	2016/10/11	2017/07/04	10.00
13684	Goldman Sachs SP (Asia) - HKEX European Warrants Jun 2017 A	200,000,000	2016/10/11	2017/06/28	30.00
13695	HK Bank - CITIC Sec European Warrants Apr 2017 A	80,000,000	2016/10/11	2017/04/26	12.00
13693	HK Bank - Geely Auto European Warrants Apr 2017 A	30,000,000	2016/10/11	2017/04/28	18.00
13694	HK Bank - HSI European Put Warrants Apr 2017 B	150,000,000	2016/10/11	2017/04/27	22.50
13697	HK Bank - Ping An European Warrants Mar 2017 B	60,000,000	2016/10/11	2017/03/27	12.00
13692	HK Bank - Ping An European Warrants Apr 2017 A	80,000,000	2016/10/11	2017/04/26	12.00
13696	HK Bank - Ping An European Warrants Apr 2017 B	50,000,000	2016/10/11	2017/04/28	17.50
13701	Macquarie Bank Ltd. - GCL-Poly Energy European Wts Oct 2017 A	36,000,000	2016/10/11	2017/10/04	10.01
13688	SG Issuer - AIA European Put Warrants Jun 2017 A	80,000,000	2016/10/11	2017/06/05	20.00
13689	SG Issuer - CNBM European Warrants May 2017 A	26,000,000	2016/10/11	2017/05/05	10.01
13703	Goldman Sachs SP (Asia) - Ch Overseas European Wts Mar 2017 A	80,000,000	2016/10/12	2017/03/27	12.00
13712	Goldman Sachs SP (Asia) - HSCEI European Put Wts Mar 2017 B	200,000,000	2016/10/12	2017/03/30	30.00
13711	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2017 E	200,000,000	2016/10/12	2017/03/30	32.20
13713	J P Morgan SP BV - Ch Overseas European Warrants Jun 2017 A	100,000,000	2016/10/12	2017/06/02	25.20
13714	J P Morgan SP BV - HSBC European Warrants May 2017 B	100,000,000	2016/10/12	2017/05/02	22.60
13704	Macquarie Bank Ltd. - CNOOC European Warrants Sep 2017 A	40,000,000	2016/10/12	2017/09/04	10.04
13705	Macquarie Bank Ltd. - Ping An European Warrants Aug 2017 B	40,000,000	2016/10/12	2017/08/02	10.00
13706	Macquarie Bank Ltd. - Tencent European Warrants Oct 2018 A	40,000,000	2016/10/12	2018/10/03	10.00
13710	UBS AG - Evergrande European Warrants Apr 2017 A	30,000,000	2016/10/12	2017/04/11	14.70
13707	UBS AG - Ping An European Warrants Apr 2017 C	100,000,000	2016/10/12	2017/04/03	15.00
12518 #	J P Morgan SP BV - HSI European Put Warrants Feb 2017 F	300,000,000	2016/10/12	2017/02/27	24.00
13718	BOCI Asia Ltd. - Ping An European Warrants Apr 2017 B	200,000,000	2016/10/13	2017/04/25	50.00
13723	Credit Suisse AG - CICC European Warrants Aug 2017 A	40,000,000	2016/10/13	2017/08/31	10.00
13722	Credit Suisse AG - Galaxy Ent European Put Wts Mar 2017 A	60,000,000	2016/10/13	2017/03/29	11.40
13725	Credit Suisse AG - Ping An European Warrants Apr 2017 B	80,000,000	2016/10/13	2017/04/12	20.00
13728	J P Morgan SP BV - PetroChina European Warrants May 2017 B	100,000,000	2016/10/13	2017/05/02	25.30
13727	J P Morgan SP BV - PetroChina European Put Warrants May 17 A	80,000,000	2016/10/13	2017/05/04	24.16
13715	Macquarie Bank Ltd. - AIA European Warrants Jan 2018 A	35,000,000	2016/10/13	2018/01/03	10.05
13726	Macquarie Bank Ltd. - Sands China European Wts Apr 2017 D	60,000,000	2016/10/13	2017/04/05	10.26
13721	Macquarie Bank Ltd. - Tencent European Warrants May 2017 A	25,000,000	2016/10/13	2017/05/05	10.00
13720	SG Issuer - Ping An European Put Warrants May 2017 B	120,000,000	2016/10/13	2017/05/05	30.00
11767 #	J P Morgan SP BV - HSI European Put Warrants Feb 2017 D	300,000,000	2016/10/13	2017/02/27	20.40
13735	Goldman Sachs SP (Asia) - CNOOC European Warrants Feb 2017 A	50,000,000	2016/10/14	2017/02/24	11.00
13731	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Apr 2017 A	60,000,000	2016/10/14	2017/04/13	11.64
13759	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2017 A	200,000,000	2016/10/14	2017/04/27	30.00
13757	Goldman Sachs SP (Asia) - Tencent European Wt Feb 2017 C	50,000,000	2016/10/14	2017/02/23	18.65
13758	Goldman Sachs SP (Asia) - Tencent European Wt Mar 2017 B	50,000,000	2016/10/14	2017/03/29	28.80
13768	J P Morgan SP BV - AIA European Put Warrants Apr 2017 A	100,000,000	2016/10/14	2017/04/28	25.30
13767	J P Morgan SP BV - CNBM European Warrants Mar 2017 A	60,000,000	2016/10/14	2017/03/31	18.36
13763	J P Morgan SP BV - HSCEI European Put Warrants Mar 2017 B	200,000,000	2016/10/14	2017/03/30	30.20
13760	J P Morgan SP BV - MGM China European Warrants Sep 2017 A	60,000,000	2016/10/14	2017/09/29	15.24
13730	Macquarie Bank Ltd. - AAC Acoustic European Wts Apr 2018 A	40,000,000	2016/10/14	2018/04/17	10.00
13742	Macquarie Bank Ltd. - Anhui Conch European Wts Jul 2017 A	40,000,000	2016/10/14	2017/07/04	10.04

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
13744	Macquarie Bank Ltd. - Beijing Air European Warrants May 18 A	35,000,000	2016/10/14	2018/05/23	10.71
13743	Macquarie Bank Ltd. - Ping An European Warrants Oct 2017 A	40,000,000	2016/10/14	2017/10/04	10.00
13739	SG Issuer - CNOOC European Warrants May 2017 A	27,000,000	2016/10/14	2017/05/05	10.26
13740	SG Issuer - Galaxy Ent European Warrants Jul 2017 A	60,000,000	2016/10/14	2017/07/05	15.00
13741	SG Issuer - PetroChina European Warrants Apr 2017 B	60,000,000	2016/10/14	2017/04/06	10.20
13752	UBS AG - Sinopec Corp European Warrants Mar 2017 A	40,000,000	2016/10/14	2017/03/02	12.48
13748	UBS AG - China Shenhua European Warrants Sep 2017 A	70,000,000	2016/10/14	2017/09/29	17.50
13753	UBS AG - Galaxy Ent European Warrants Jun 2017 A	70,000,000	2016/10/14	2017/06/30	17.50
13751	UBS AG - Lenovo European Warrants Feb 2017 A	40,000,000	2016/10/14	2017/02/24	10.08
13749	UBS AG - PetroChina European Warrants Mar 2017 A	70,000,000	2016/10/14	2017/03/24	12.74
13750	UBS AG - SPDR Gold Trust European Warrants Apr 2017 A	50,000,000	2016/10/14	2017/04/13	34.35
13745	UBS AG - Yanzhou Coal European Wts Aug 2018 A	70,000,000	2016/10/14	2018/08/28	11.48
13786	Credit Suisse AG - Lenovo European Warrants Apr 2017 A	30,000,000	2016/10/17	2017/04/18	11.25
13787	Credit Suisse AG - SPDR Gold Trust European Warrants Apr17 A	35,000,000	2016/10/17	2017/04/18	10.50
13776	HK Bank - CNOOC European Warrants Mar 2017 A	40,000,000	2016/10/17	2017/03/01	20.00
13774	HK Bank - Zijin Mining European Warrants Jul 2017 A	40,000,000	2016/10/17	2017/07/31	12.00
13788	J P Morgan SP BV - China Taiping European Wts Apr 2017 A	80,000,000	2016/10/17	2017/04/03	12.48
13789	J P Morgan SP BV - Zijin Mining European Warrants Jul 2017 A	60,000,000	2016/10/17	2017/07/03	15.18
13777	Macquarie Bank Ltd. - HKEX European Warrants Oct 2017 C	30,000,000	2016/10/17	2017/10/04	10.02
13772	Macquarie Bank Ltd. - Tencent European Warrants May 2017 B	40,000,000	2016/10/17	2017/05/04	10.00
13773	SG Issuer - HSI European Warrants Dec 2017 A	150,000,000	2016/10/17	2017/12/28	37.50
13778	SG Issuer - HSI European Put Warrants Mar 2017 F	150,000,000	2016/10/17	2017/03/30	25.50
13782	UBS AG - CNOOC European Put Warrants May 2018 A	40,000,000	2016/10/17	2018/05/21	10.00
13780	UBS AG - PetroChina European Put Warrants Apr 2017 A	50,000,000	2016/10/17	2017/04/18	19.60
13781	UBS AG - Wynn Macau European Put Warrants Oct 2017 A	40,000,000	2016/10/17	2017/10/16	10.00
27694 #	J P Morgan SP BV - China Life European Warrants Apr 2017 A	100,000,000	2016/10/17	2017/04/28	10.60
13801	HK Bank - Ch Comm Cons European Warrants Oct 2017 A	80,000,000	2016/10/18	2017/10/26	12.00
13791	HK Bank - PetroChina European Warrants Mar 2017 A	40,000,000	2016/10/18	2017/03/01	14.00
13790	HK Bank - PetroChina European Warrants Apr 2017 A	40,000,000	2016/10/18	2017/04/28	12.00
13799	HK Bank - SHK Ppt European Warrants Apr 2017 A	40,000,000	2016/10/18	2017/04/28	14.00
13800	HK Bank - SHK Ppt European Put Warrants Aug 2017 A	80,000,000	2016/10/18	2017/08/02	12.00
13810	J P Morgan SP BV - CKH European Put Warrants Mar 2017 A	60,000,000	2016/10/18	2017/03/02	24.24
13811	J P Morgan SP BV - CNOOC European Warrants May 2017 A	100,000,000	2016/10/18	2017/05/02	49.60
13809	J P Morgan SP BV - Galaxy Ent European Warrants May 2017 A	100,000,000	2016/10/18	2017/05/02	28.80
13808	J P Morgan SP BV - SPDR Gold Trust European Wts Apr 2017 A	25,000,000	2016/10/18	2017/04/18	11.48
13803	Macquarie Bank Ltd. - CNBM European Warrants Jul 2017 A	18,000,000	2016/10/18	2017/07/04	10.01
13807	Macquarie Bank Ltd. - Fuyao Glass European Warrants Aug 17 A	36,000,000	2016/10/18	2017/08/02	10.04
13804	Macquarie Bank Ltd. - ICBC European Warrants Jun 2017 B	40,000,000	2016/10/18	2017/06/02	10.04
13806	Macquarie Bank Ltd. - China Mengniu European Wts Feb 2018 A	40,000,000	2016/10/18	2018/02/02	10.00
13802	SG Issuer - China Overseas European Warrants May 2017 A	40,000,000	2016/10/18	2017/05/05	10.00
27884 #	J P Morgan SP BV - HKEX European Warrants Feb 2017 A	200,000,000	2016/10/18	2017/02/28	19.40
13828	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Jul 2017 A	30,000,000	2016/10/19	2017/07/04	19.20
13833	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Aug 2017 A	40,000,000	2016/10/19	2017/08/02	12.40
13839	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Aug 2017 A	30,000,000	2016/10/19	2017/08/02	19.80

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13836	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Aug 2017 A	50,000,000	2016/10/19	2017/08/02	12.50
13840	BNP Paribas Arbit Issu B.V. - HSI European Wts Jun 2017 C	80,000,000	2016/10/19	2017/06/29	20.00
13841	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jun 2017 D	80,000,000	2016/10/19	2017/06/29	20.80
13830	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Aug 2017 A	40,000,000	2016/10/19	2017/08/02	11.20
13835	BNP Paribas Arbit Issu B.V. - Sands China Euro Wt Oct 2017 A	50,000,000	2016/10/19	2017/10/04	13.50
13855	Credit Suisse AG - Ch Comm Cons European Warrants Oct 2017 A	70,000,000	2016/10/19	2017/10/30	10.50
13846	Credit Suisse AG - China Re European Warrants Apr 2017 A	40,000,000	2016/10/19	2017/04/28	10.00
13854	Credit Suisse AG - CITIC Sec European Warrants Apr 2017 A	70,000,000	2016/10/19	2017/04/28	10.50
13849	Credit Suisse AG - CRRC European Warrants Sep 2017 A	70,000,000	2016/10/19	2017/09/29	10.50
13856	Credit Suisse AG - HTSC European Warrants Jun 2017 A	40,000,000	2016/10/19	2017/06/28	10.00
13852	Credit Suisse AG - Li & Fung European Warrants Mar 2017 A	30,000,000	2016/10/19	2017/03/24	10.80
13847	Credit Suisse AG - Zijin Mining European Warrants Apr 2017 A	40,000,000	2016/10/19	2017/04/28	10.00
13865	Goldman Sachs SP (Asia) - CGS European Warrants Apr 2017 A	30,000,000	2016/10/19	2017/04/28	10.23
13868	Goldman Sachs SP (Asia) - China Mobile Euro Wts Feb 2017 A	70,000,000	2016/10/19	2017/02/24	11.06
13866	Goldman Sachs SP (Asia) - China Mobile Euro Wts Mar 2017 B	80,000,000	2016/10/19	2017/03/28	21.44
13826	HK Bank - China Mobile European Warrants Mar 2017 B	50,000,000	2016/10/19	2017/03/30	20.00
13822	HK Bank - China Overseas European Warrants Jul 2017 A	80,000,000	2016/10/19	2017/07/24	12.00
13823	HK Bank - KECL European Warrants Apr 2017 A	40,000,000	2016/10/19	2017/04/28	12.00
13821	HK Bank - SHK Ppt European Warrants Apr 2017 B	40,000,000	2016/10/19	2017/04/28	20.00
13859	J P Morgan SP BV - China Life European Warrants Mar 2017 A	80,000,000	2016/10/19	2017/03/01	36.08
13858	J P Morgan SP BV - Galaxy Ent European Put Wts Jun 2017 B	100,000,000	2016/10/19	2017/06/02	29.40
13813	Macquarie Bank Ltd. - Tencent European Warrants May 2017 C	11,000,000	2016/10/19	2017/05/04	10.04
13812	Macquarie Bank Ltd. - Travelsky Tech European Wts Sep 2017 A	39,000,000	2016/10/19	2017/09/11	10.02
13845	SG Issuer - CP&CC European Warrants Jun 2017 A	30,000,000	2016/10/19	2017/06/05	11.70
13842	SG Issuer - China Tel European Warrants Jul 2017 A	30,000,000	2016/10/19	2017/07/05	10.20
13814	SG Issuer - GCL-Poly Eu Wt Aug 2017 A	50,000,000	2016/10/19	2017/08/03	10.25
13815	SG Issuer - Geely Auto European Warrants Aug 2017 A	12,000,000	2016/10/19	2017/08/03	10.44
13816	SG Issuer - HLand European Warrants Aug 2017 A	29,000,000	2016/10/19	2017/08/03	10.01
13843	SG Issuer - Huaneng Power European Warrants Aug 2017 A	30,000,000	2016/10/19	2017/08/03	10.20
13818	SG Issuer - JIANG European Warrants Jul 2017 A	13,000,000	2016/10/19	2017/07/05	10.53
13844	SG Issuer - Li & Fung European Warrants Jul 2017 A	30,000,000	2016/10/19	2017/07/05	10.20
13861	UBS AG - Agricultural Bank European Warrants Jul 2017 A	70,000,000	2016/10/19	2017/07/26	11.48
13860	UBS AG - CC Bank European Warrants Apr 2017 A	70,000,000	2016/10/19	2017/04/18	18.41
13862	UBS AG - Minsheng Bank European Warrants Mar 2017 A	70,000,000	2016/10/19	2017/03/29	36.40
13864	UBS AG - Zijin Mining European Warrants Apr 2017 A	50,000,000	2016/10/19	2017/04/28	12.40
13892	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Jul 2017 B	40,000,000	2016/10/20	2017/07/04	23.20
13888	BNP Paribas Arbit Issu B.V. - CSOP A50 ETF Eu Wts Aug 2017 B	40,000,000	2016/10/20	2017/08/02	14.80
13891	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jul 2017 A	60,000,000	2016/10/20	2017/07/04	22.20
13890	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jul 2017 B	100,000,000	2016/10/20	2017/07/04	25.00
13893	BNP Paribas Arbit Issu B.V. - Tencent Eu Put Wt Nov 2017 A	100,000,000	2016/10/20	2017/11/02	18.00
13897	Credit Suisse AG - HKEX European Warrants Jul 2017 A	100,000,000	2016/10/20	2017/07/18	15.00
13894	Credit Suisse AG - Tencent Holdings European Wts Apr 2017 F	100,000,000	2016/10/20	2017/04/06	15.00
13869	Goldman Sachs SP (Asia) - CC Bank European Wts Feb 2017 B	70,000,000	2016/10/20	2017/02/22	10.50
13873	HK Bank - China Life European Warrants Mar 2017 A	80,000,000	2016/10/20	2017/03/31	12.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
13879	HK Bank - HSCEI European Warrants Dec 2017 A	180,000,000	2016/10/20	2017/12/28	28.80
13876	HK Bank - Tencent European Warrants Mar 2017 C	100,000,000	2016/10/20	2017/03/31	15.00
13906	J P Morgan SP BV - Geely Auto European Warrants May 2017 A	60,000,000	2016/10/20	2017/05/02	36.00
13872	J P Morgan SP BV - HSI European Warrants May 2017 E	300,000,000	2016/10/20	2017/05/29	45.30
13905	J P Morgan SP BV - Tencent European Warrants May 2017 A	200,000,000	2016/10/20	2017/05/31	30.20
13898	Macquarie Bank Ltd. - Agricultural Bk Euro Put Wt Aug 2017 B	40,000,000	2016/10/20	2017/08/02	10.00
13900	Macquarie Bank Ltd. - Sinopec Corp Euro Put Wts Jun 2017 A	37,000,000	2016/10/20	2017/06/02	10.06
13880	Macquarie Bank Ltd. - Tencent European Put Wts May 2017 D	15,000,000	2016/10/20	2017/05/04	10.02
13881	SG Issuer - CKI Holdings European Warrants May 2017 A	22,000,000	2016/10/20	2017/05/05	10.01
13883	SG Issuer - HN Renewables European Warrants May 2017 A	30,000,000	2016/10/20	2017/05/05	10.05
13885	SG Issuer - Stanchart European Warrants May 2017 A	15,000,000	2016/10/20	2017/05/05	10.05
13887	SG Issuer - Tencent European Warrants Oct 2017 D	200,000,000	2016/10/20	2017/10/06	30.00
13904	UBS AG - Geely Auto European Put Warrants Jun 2017 A	20,000,000	2016/10/20	2017/06/26	12.78
13903	UBS AG - HSI European Warrants Apr 2017 C	300,000,000	2016/10/20	2017/04/27	75.00
13902	UBS AG - Kingsoft European Warrants Mar 2017 B	100,000,000	2016/10/20	2017/03/22	18.30
13910	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2017 C	100,000,000	2016/10/21	2017/03/27	15.00
13913	Goldman Sachs SP (Asia) - Tencent European Wt Mar 2017 D	300,000,000	2016/10/21	2017/03/29	45.00
13911	Goldman Sachs SP (Asia) - Tencent European Put Wt Mar 2017 C	200,000,000	2016/10/21	2017/03/29	30.00
13907	Macquarie Bank Ltd. - Li Ning European Warrants Sep 2019 A	40,000,000	2016/10/21	2019/09/17	10.00
13908	Macquarie Bank Ltd. - MTRC European Warrants Sep 2017 A	40,000,000	2016/10/21	2017/09/04	10.00
10418	SG Issuer - Nikkei 225 European Warrants Jun 2017 A	150,000,000	2016/10/21	2017/06/09	32.25
10419	SG Issuer - Nikkei 225 European Warrants Sep 2017 A	150,000,000	2016/10/21	2017/09/08	37.50
10420	SG Issuer - Nikkei 225 European Put Warrants Jun 2017 B	150,000,000	2016/10/21	2017/06/09	37.50
10421	BNP Paribas Arbit Issu B.V. - GBP/USD European Wts Jun 2017A	50,000,000	2016/10/24	2017/06/23	12.50
13923	Macquarie Bank Ltd. - Chi Res Land European Put Wts Jul17A	40,000,000	2016/10/24	2017/07/04	10.00
13918	Macquarie Bank Ltd. - Geely Auto European Wts Jul 2017 A	14,000,000	2016/10/24	2017/07/04	10.36
13919	Macquarie Bank Ltd. - Tencent European Warrants May 2017 E	10,000,000	2016/10/24	2017/05/04	16.49
13924	SG Issuer - GWMC European Warrants Sep 2017 A	80,000,000	2016/10/24	2017/09/05	12.00
13925	SG Issuer - HS H-SH European Put Warrants May 2017 A	25,000,000	2016/10/24	2017/05/05	10.88
13928	UBS AG - China Mobile European Warrants Apr 2017 B	100,000,000	2016/10/24	2017/04/24	49.30
13927	UBS AG - Sands China European Warrants Apr 2017 B	40,000,000	2016/10/24	2017/04/24	10.00
12652 #	Goldman Sachs SP (Asia) - China Life Euro Wts Apr 2017 A	120,000,000	2016/10/24	2017/04/24	10.32
13224 #	Credit Suisse AG - China Life European Warrants Apr 2017 A	150,000,000	2016/10/24	2017/04/28	13.50
13933	BOCI Asia Ltd. - CKP European Warrants May 2017 A	100,000,000	2016/10/25	2017/05/08	32.90
13935	BOCI Asia Ltd. - China Mobile European Warrants Apr 2017 B	200,000,000	2016/10/25	2017/04/03	68.40
13936	BOCI Asia Ltd. - China Mobile European Put Wts May 2017 A	100,000,000	2016/10/25	2017/05/02	35.70
13932	BOCI Asia Ltd. - Lenovo European Warrants May 2017 A	100,000,000	2016/10/25	2017/05/05	33.50
13937	Goldman Sachs SP (Asia) - China Life Euro Wts Mar 2017 A	120,000,000	2016/10/25	2017/03/29	18.00
13938	Macquarie Bank Ltd. - CKH European Warrants May 2017 A	20,000,000	2016/10/25	2017/05/04	10.00
13941	Macquarie Bank Ltd. - Greatwall Motor European Wts Nov 2018 A	40,000,000	2016/10/25	2018/11/02	10.00
13939	Macquarie Bank Ltd. - Yanzhou Coal European Wts May 2017 A	13,000,000	2016/10/25	2017/05/04	10.28
13929	SG Issuer - Geely Auto European Warrants Jun 2018 A	70,000,000	2016/10/25	2018/06/05	17.50
13931	SG Issuer - HSCEI European Put Warrants Aug 2017 A	150,000,000	2016/10/25	2017/08/30	37.50
13945	UBS AG - HSBC European Put Warrants Mar 2017 C	100,000,000	2016/10/25	2017/03/13	18.80

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
13943	UBS AG - HSI European Put Warrants Apr 2017 D	300,000,000	2016/10/25	2017/04/27	51.00
13956	Credit Suisse AG - Ch Overseas European Warrants May 2017 A	50,000,000	2016/10/26	2017/05/31	12.50
13949	Credit Suisse AG - Geely Auto European Warrants Apr 2017 A	30,000,000	2016/10/26	2017/04/28	13.50
13952	Credit Suisse AG - Geely Auto European Put Warrants Apr 17 B	25,000,000	2016/10/26	2017/04/28	10.75
13953	Credit Suisse AG - Ping An European Warrants Mar 2017 C	80,000,000	2016/10/26	2017/03/02	16.40
13948	Macquarie Bank Ltd. - AIA European Warrants Jun 2017 A	36,000,000	2016/10/26	2017/06/02	10.19
13947	Macquarie Bank Ltd. - PWR Assets European Put Wts Sep17A	40,000,000	2016/10/26	2017/09/05	10.04
13958	UBS AG - Geely Auto European Warrants Apr 2017 A	40,000,000	2016/10/26	2017/04/25	21.04
13963	BOCI Asia Ltd. - Geely Auto European Warrants May 2017 A	100,000,000	2016/10/27	2017/05/08	58.50
13962	BOCI Asia Ltd. - Greatwall Motor European Warrants Sep 2017A	100,000,000	2016/10/27	2017/09/04	15.80
13961	BOCI Asia Ltd. - Tencent Holdings European Wts Apr 2017 B	200,000,000	2016/10/27	2017/04/06	30.00
13960	BOCI Asia Ltd. - Yanzhou Coal European Warrants Sep 2018 A	100,000,000	2016/10/27	2018/09/05	15.00
13969	Credit Suisse AG - CNOOC European Put Warrants Apr 2017 A	40,000,000	2016/10/27	2017/04/05	10.00
13959	Goldman Sachs SP (Asia) - AIA European Warrants Apr 2017 A	80,000,000	2016/10/27	2017/04/07	12.00
13965	HK Bank - Dongfeng Motor European Warrants Dec 2017 A	80,000,000	2016/10/27	2017/12/22	12.00
13968	HK Bank - Greatwall Motor European Warrants Aug 2017 A	80,000,000	2016/10/27	2017/08/30	12.00
13988	J P Morgan SP BV - GCL-Poly European Warrants Aug 2017 A	70,000,000	2016/10/27	2017/08/01	10.50
13986	J P Morgan SP BV - Geely Auto European Warrants Dec 2018 A	200,000,000	2016/10/27	2018/12/31	50.00
13980	Macquarie Bank Ltd. - China Life European Put Warrants Apr18A	40,000,000	2016/10/27	2018/04/04	10.00
13979	Macquarie Bank Ltd. - China Shenhua European Wts Dec 2017 A	40,000,000	2016/10/27	2017/12/04	10.04
13964	SG Issuer - GAC European Warrants Sep 2017 A	70,000,000	2016/10/27	2017/09/18	11.20
13991	J P Morgan SP BV - CAM CSI300 European Warrants Sep 2017 A	100,000,000	2016/10/31	2017/09/04	25.00
13995	J P Morgan SP BV - BOC HK European Warrants Dec 2017 A	100,000,000	2016/10/31	2017/12/29	25.00
13994	J P Morgan SP BV - China Mob European Wts May 2017 A	100,000,000	2016/10/31	2017/05/02	48.00
13992	J P Morgan SP BV - CSOP A50 ETF European Warrants Mar 2017 A	100,000,000	2016/10/31	2017/03/02	38.50
13993	J P Morgan SP BV - A50 China European Warrants Feb 2017 A	100,000,000	2016/10/31	2017/02/03	15.10
13990	Macquarie Bank Ltd. - Ch State Con European Wts Dec 2017 A	40,000,000	2016/10/31	2017/12/04	10.00
13989	Macquarie Bank Ltd. - HKEX European Warrants Jun 2017 A	30,000,000	2016/10/31	2017/06/02	10.08
26884 #	Credit Suisse AG - Car Inc European Warrants Mar 2017 A	190,000,000	2016/10/31	2017/03/31	10.07
14009	Credit Suisse AG - AAC Acoustic European Warrants Oct 2017 B	70,000,000	2016/11/01	2017/10/09	14.35
14007	Credit Suisse AG - China Mobile European Warrants Apr 2017 B	60,000,000	2016/11/01	2017/04/03	23.10
14010	Goldman Sachs SP (Asia) - CAM CSI300 European Wts Jun 2017 A	80,000,000	2016/11/01	2017/06/30	20.00
14001	Macquarie Bank Ltd. - BOCHK European Warrants Nov 2017 A	10,000,000	2016/11/01	2017/11/02	10.05
13996	Macquarie Bank Ltd. - China Life European Wts Mar 2018 B	40,000,000	2016/11/01	2018/03/02	10.00
14000	Macquarie Bank Ltd. - CSOP A50 ETF European Wts May 2017 A	36,000,000	2016/11/01	2017/05/04	17.10
14002	Macquarie Bank Ltd. - Galaxy Ent European Wts Sep 2017 A	40,000,000	2016/11/01	2017/09/04	10.00
14006	UBS AG - China Life European Warrants Mar 2017 A	100,000,000	2016/11/01	2017/03/30	15.00
14003	UBS AG - China Mobile European Warrants Apr 2017 C	100,000,000	2016/11/01	2017/04/03	23.00
14004	UBS AG - Ping An European Warrants Apr 2017 D	100,000,000	2016/11/01	2017/04/21	31.00
13044 #	Credit Suisse AG - Ping An European Warrants Mar 2017 B	80,000,000	2016/11/01	2017/03/08	10.40
14024	Credit Suisse AG - CHALCO European Wts May 2017 A	40,000,000	2016/11/02	2017/05/08	10.00
14019	Credit Suisse AG - Cathay Pacific European Warrants May 17 A	15,000,000	2016/11/02	2017/05/31	12.45
14018	Credit Suisse AG - China State Con European Warrants Dec17 A	40,000,000	2016/11/02	2017/12/29	10.00
14025	Credit Suisse AG - Henganintl European Warrants May 2017 A	25,000,000	2016/11/02	2017/05/04	13.50

主 板 認 股 權 證

股份 代號	衍生權證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
14026	Bank of East Asia - BYD European Warrants Dec 2018 B	70,000,000	2016/11/02	2018/12/24	11.62
14027	Bank of East Asia - Geely Auto European Warrants Dec 2018 A	60,000,000	2016/11/02	2018/12/24	15.00
14012	Bank of East Asia - Great Wall Motor European Wts Feb 2018 A	40,000,000	2016/11/02	2018/02/23	10.00
14011	Goldman Sachs SP (Asia) - Geely Auto European Wts Sep 2017 A	80,000,000	2016/11/02	2017/09/29	20.00
14015	HK Bank - China Shenhua European Warrants Sep 2017 A	80,000,000	2016/11/02	2017/09/22	14.40
14017	HK Bank - Geely Auto European Warrants May 2017 A	20,000,000	2016/11/02	2017/05/02	14.00
14028	J P Morgan SP BV - AAC Acoustic European Warrants Oct 2017 A	80,000,000	2016/11/02	2017/10/23	12.00
14013	J P Morgan SP BV - Greatwall Motor European Wts Sep 2017 A	80,000,000	2016/11/02	2017/09/01	12.00
14030	Credit Suisse AG - AIA European Warrants Apr 2017 A	70,000,000	2016/11/03	2017/04/03	14.98
14032	Credit Suisse AG - China Life European Warrants Sep 2017 B	80,000,000	2016/11/03	2017/09/04	20.00
14034	Haitong Int'l Sec - BYD European Warrants Feb 2018 A	70,000,000	2016/11/03	2018/02/26	10.50
14036	Haitong Int'l Sec - China Shenhua European Warrants Sep 17 A	50,000,000	2016/11/03	2017/09/22	11.00
14033	Haitong Int'l Sec - Kingsoft European Warrants Mar 2017 A	70,000,000	2016/11/03	2017/03/30	10.50
14048	J P Morgan SP BV - AIA European Warrants Mar 2017 A	100,000,000	2016/11/03	2017/03/31	20.20
14047	J P Morgan SP BV - China Life European Warrants Nov 2017 B	100,000,000	2016/11/03	2017/11/02	18.60
14049	J P Morgan SP BV - Sinopec Corp European Warrants May 2017 A	100,000,000	2016/11/03	2017/05/04	32.10
14054	J P Morgan SP BV - Tencent European Warrants Mar 2017 C	100,000,000	2016/11/03	2017/03/02	99.90
14039	Macquarie Bank Ltd. - China Life European Wts May 2017 B	13,000,000	2016/11/03	2017/05/04	10.01
14040	Macquarie Bank Ltd. - CNOOC European Warrants Nov 2017 A	25,000,000	2016/11/03	2017/11/02	10.03
14042	Macquarie Bank Ltd. - Sands China European Wts Jul 2017 B	40,000,000	2016/11/03	2017/07/04	10.00
14038	Macquarie Bank Ltd. - Tencent European Warrants Jun 2017 B	40,000,000	2016/11/03	2017/06/02	10.00
14043	SG Issuer - China Res Land European Warrants Jun 2017 A	40,000,000	2016/11/03	2017/06/05	10.00
14044	SG Issuer - Shenhua European Warrants Dec 2017 A	50,000,000	2016/11/03	2017/12/05	10.00
14046	SG Issuer - Henganintl Eu Wt Sep 2017 A	13,000,000	2016/11/03	2017/09/05	10.14
27071 #	Credit Suisse AG - HKEX European Warrants Feb 2017 A	150,000,000	2016/11/03	2017/02/28	12.00
14058	Credit Suisse AG - BYD European Warrants Mar 2017 A	25,000,000	2016/11/04	2017/03/02	10.38
14061	Credit Suisse AG - CKH Holdings European Warrants May 2017 A	40,000,000	2016/11/04	2017/05/05	20.40
14059	Credit Suisse AG - GCL-Poly Energy European Wts Aug 2017 A	50,000,000	2016/11/04	2017/08/30	12.50
14060	Credit Suisse AG - CSR Times European Warrants May 2017 A	40,000,000	2016/11/04	2017/05/08	18.00
14064	J P Morgan SP BV - Belle Int'l European Warrants Jun 2019 B	100,000,000	2016/11/04	2019/06/26	15.10
14067	J P Morgan SP BV - CPIC European Warrants May 2017 A	80,000,000	2016/11/04	2017/05/05	20.00
14069	J P Morgan SP BV - Ping An European Warrants May 2017 B	100,000,000	2016/11/04	2017/05/02	16.70
14065	J P Morgan SP BV - Sands China European Warrants Apr 2017 D	100,000,000	2016/11/04	2017/04/03	21.30
14063	J P Morgan SP BV - WH Group European Warrants Dec 2018 A	60,000,000	2016/11/04	2018/12/31	15.00
14055	Macquarie Bank Ltd. - CHALCO European Warrants Jun 2017 A	30,000,000	2016/11/04	2017/06/02	10.02
14056	SG Issuer - Ch Mob European Warrants Apr 2017 C	60,000,000	2016/11/04	2017/04/06	17.70
14057	SG Issuer - China Overseas European Warrants Jun 2017 A	60,000,000	2016/11/04	2017/06/05	10.20
14073	BOCI Asia Ltd. - China Life European Warrants Dec 2017 A	200,000,000	2016/11/07	2017/12/18	50.00
14072	BOCI Asia Ltd. - HKEX European Warrants Jul 2017 B	200,000,000	2016/11/07	2017/07/03	32.00
14088	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2017 B	80,000,000	2016/11/07	2017/03/29	12.00
14082	HK Bank - AIA European Warrants May 2017 A	50,000,000	2016/11/07	2017/05/31	15.00
14081	J P Morgan SP BV - AIA European Warrants Feb 2017 B	100,000,000	2016/11/07	2017/02/07	21.40
14089	J P Morgan SP BV - CKH European Warrants Jun 2017 A	100,000,000	2016/11/07	2017/06/05	40.30
14085	Macquarie Bank Ltd. - AIA European Warrants Jun 2017 B	24,000,000	2016/11/07	2017/06/02	10.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14086	Macquarie Bank Ltd. - HKEX European Warrants Jun 2019 A	40,000,000	2016/11/07	2019/06/04	10.00
14075	Macquarie Bank Ltd. - Tencent European Warrants Feb 2019 A	50,000,000	2016/11/07	2019/02/04	12.50
14074	SG Issuer - AIA European Warrants Jun 2017 B	80,000,000	2016/11/07	2017/06/05	26.40
14083	UBS AG - AIA European Warrants Mar 2017 A	100,000,000	2016/11/07	2017/03/30	28.00
14084	UBS AG - Sands China European Warrants Jun 2017 A	70,000,000	2016/11/07	2017/06/27	11.34
14097	Credit Suisse AG - AIA European Warrants Feb 2017 C	70,000,000	2016/11/08	2017/02/07	10.50
14098	Credit Suisse AG - BOC HK European Warrants Dec 2017 A	70,000,000	2016/11/08	2017/12/29	11.20
14099	Credit Suisse AG - Chi Res Land European Warrants Jul 2017 A	50,000,000	2016/11/08	2017/07/03	10.00
14104	Goldman Sachs SP (Asia) - HSI European Warrants May 2017 A	150,000,000	2016/11/08	2017/05/29	22.50
14105	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2017 B	150,000,000	2016/11/08	2017/04/27	22.50
14103	Goldman Sachs SP (Asia) - Tencent European Wt May 2017 B	250,000,000	2016/11/08	2017/05/31	37.50
14123	J P Morgan SP BV - China Shenhua European Wts Nov 2017 A	80,000,000	2016/11/08	2017/11/02	20.08
14125	J P Morgan SP BV - HSCEI European Put Warrants Aug 2017 A	200,000,000	2016/11/08	2017/08/30	37.60
14122	Macquarie Bank Ltd. - CITIC European Warrants Dec 2017 A	20,000,000	2016/11/08	2017/12/04	10.04
14121	Macquarie Bank Ltd. - China Tel European Warrants Nov 2017 A	40,000,000	2016/11/08	2017/11/02	10.04
14111	Macquarie Bank Ltd. - HSBC European Warrants Nov 2017 A	40,000,000	2016/11/08	2017/11/02	10.00
14110	SG Issuer - CCoal European Warrants Jul 2017 A	28,000,000	2016/11/08	2017/07/05	10.08
14106	SG Issuer - CKP European Warrants Jul 2017 A	40,000,000	2016/11/08	2017/07/05	13.60
14091	SG Issuer - CSOP A50 ETF European Warrants May 2017 A	35,000,000	2016/11/08	2017/05/05	10.15
14096	SG Issuer - A50 China European Warrants Jun 2017 A	35,000,000	2016/11/08	2017/06/05	11.38
14093	SG Issuer - HS H-SHARE European Warrants Jun 2017 A	40,000,000	2016/11/08	2017/06/05	11.00
27772 #	UBS AG - CNOOC European Warrants Jun 2017 A	200,000,000	2016/11/08	2017/06/27	10.80
14143	Credit Suisse AG - AIA European Warrants Mar 2017 A	70,000,000	2016/11/09	2017/03/03	14.00
14141	Goldman Sachs SP (Asia) - Ping An European Wts Apr 2017 A	80,000,000	2016/11/09	2017/04/21	19.20
14136	HK Bank - China Mobile European Warrants Jun 2017 B	40,000,000	2016/11/09	2017/06/30	20.00
14130	HK Bank - Sinopec Corp European Warrants Jun 2017 A	40,000,000	2016/11/09	2017/06/30	12.00
14132	HK Bank - HSCEI European Put Warrants Aug 2017 A	100,000,000	2016/11/09	2017/08/30	18.00
14137	HK Bank - HSI European Put Warrants Apr 2017 C	100,000,000	2016/11/09	2017/04/27	16.00
14129	HK Bank - Tencent European Warrants May 2017 C	100,000,000	2016/11/09	2017/05/29	15.00
14145	J P Morgan SP BV - Melco Int'l Dev European Wts Dec 2017 A	60,000,000	2016/11/09	2017/12/29	15.00
14138	Macquarie Bank Ltd. - SMIC European Warrants Apr 2019 B	36,000,000	2016/11/09	2019/04/16	10.01
14139	Macquarie Bank Ltd. - Stanchart European Warrants Jul 2017 B	26,000,000	2016/11/09	2017/07/04	10.01
14140	SG Issuer - CAM CSI300 European Warrants Jul 2017 A	60,000,000	2016/11/09	2017/07/05	10.20
14127	SG Issuer - BOCHK European Warrants Jan 2018 A	60,000,000	2016/11/09	2018/01/04	10.20
14128	SG Issuer - HSI European Warrants Apr 2017 D	150,000,000	2016/11/09	2017/04/27	24.75
14144	UBS AG - Stanchart European Warrants May 2017 A	50,000,000	2016/11/09	2017/05/09	32.50
16422 #	Credit Suisse AG - China Mobile European Warrants Mar 2017 A	250,000,000	2016/11/09	2017/03/06	10.00
14153	Credit Suisse AG - Ping An European Warrants Apr 2017 C	70,000,000	2016/11/10	2017/04/21	19.95
14152	Credit Suisse AG - Tencent Holdings European Wts May 2017 A	150,000,000	2016/11/10	2017/05/04	22.50
14151	Goldman Sachs SP (Asia) - CKH European Wts Mar 2017 B	80,000,000	2016/11/10	2017/03/29	12.00
14146	Goldman Sachs SP (Asia) - Ch Overseas European Wts Apr 2017 A	80,000,000	2016/11/10	2017/04/26	12.00
14148	Goldman Sachs SP (Asia) - A50 China European Wts May 2017 A	80,000,000	2016/11/10	2017/05/31	20.00
14150	Goldman Sachs SP (Asia) - Lenovo European Wts Apr 2017 A	40,000,000	2016/11/10	2017/04/07	10.00
14158	HK Bank - HKEX European Warrants Jun 2017 A	100,000,000	2016/11/10	2017/06/30	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14159	HK Bank - HKEX European Put Warrants Sep 2017 C	100,000,000	2016/11/10	2017/09/29	18.00
14160	HK Bank - Tencent European Put Warrants Oct 2017 A	100,000,000	2016/11/10	2017/10/24	18.00
14162	Macquarie Bank Ltd. - Sinopec Corp European Wts Aug 2017 A	40,000,000	2016/11/10	2017/08/02	10.00
14155	Macquarie Bank Ltd. - Geely Auto European Put Ws May17A	18,000,000	2016/11/10	2017/05/19	10.08
14156	Macquarie Bank Ltd. - Tencent European Warrants Jun 2017 C	25,000,000	2016/11/10	2017/06/02	10.13
14149	UBS AG - CNOOC European Warrants May 2018 B	150,000,000	2016/11/10	2018/05/28	22.50
14179	Credit Suisse AG - PCCW European Warrants May 2017 A	40,000,000	2016/11/11	2017/05/10	10.00
14185	Goldman Sachs SP (Asia) - HKEX European Warrants Mar 2017 A	150,000,000	2016/11/11	2017/03/30	22.50
14178	Goldman Sachs SP (Asia) - PetroChina European Wts Apr 2017 A	60,000,000	2016/11/11	2017/04/26	10.02
14163	HK Bank - Greatwall Motor European Warrants Jun 2017 A	20,000,000	2016/11/11	2017/06/30	12.00
14177	Macquarie Bank Ltd. - CITIC European Warrants Dec 2017 B	20,000,000	2016/11/11	2017/12/04	10.02
14176	Macquarie Bank Ltd. - China Life European Wts Jul 2018 A	40,000,000	2016/11/11	2018/07/04	10.00
14174	Macquarie Bank Ltd. - Henganintl European Wts Mar 2018 A	20,000,000	2016/11/11	2018/03/02	10.68
14170	Macquarie Bank Ltd. - Want Want China European Wts Jan 2018 A	35,000,000	2016/11/11	2018/01/03	11.69
14165	SG Issuer - CMob European Put Warrants Jul 2017 B	60,000,000	2016/11/11	2017/07/05	21.00
14167	SG Issuer - Geely Auto European Warrants Oct 2017 A	80,000,000	2016/11/11	2017/10/06	12.00
14183	UBS AG - Stanchart European Warrants May 2017 B	50,000,000	2016/11/11	2017/05/10	14.00
14184	UBS AG - Tencent Holdings European Warrants May 2017 A	300,000,000	2016/11/11	2017/05/26	45.00
14222	Credit Suisse AG - China Shenhua European Wts Oct 2017 A	40,000,000	2016/11/14	2017/10/31	10.00
14219	Credit Suisse AG - New World Dev European Wts May 2017 A	30,000,000	2016/11/14	2017/05/15	10.35
14218	Credit Suisse AG - SHK Ppt European Warrants Apr 2017 A	40,000,000	2016/11/14	2017/04/28	10.00
14228	Goldman Sachs SP (Asia) - China Life Euro Wts Aug 2017 A	150,000,000	2016/11/14	2017/08/28	22.50
14227	Goldman Sachs SP (Asia) - HSI European Warrants Feb 2017 D	150,000,000	2016/11/14	2017/02/27	22.50
14229	Goldman Sachs SP (Asia) - SHK Ppt European Wts Apr 2017 A	40,000,000	2016/11/14	2017/04/21	10.00
14207	HK Bank - BOC HK European Warrants Dec 2017 A	80,000,000	2016/11/14	2017/12/22	12.00
14206	HK Bank - BOCL European Warrants Sep 2017 A	80,000,000	2016/11/14	2017/09/29	20.00
14194	Haitong Int'l Sec - China Dongxiang European Warrants Aug17A	40,000,000	2016/11/14	2017/08/08	10.04
14198	Haitong Int'l Sec - China Mobile European Warrants May 2017A	50,000,000	2016/11/14	2017/05/15	12.50
14192	Haitong Int'l Sec - Chow Tai Fook European Warrants Dec 18 A	40,000,000	2016/11/14	2018/12/31	10.00
14189	Haitong Int'l Sec - Baiyunshan Ph European Warrants Jun 17 A	40,000,000	2016/11/14	2017/06/30	12.12
14188	Haitong Int'l Sec - Huadian Fuxin European Warrants Jun 17 A	40,000,000	2016/11/14	2017/06/30	10.60
14190	Haitong Int'l Sec - Phoenixhealth European Warrants Jun 17 A	50,000,000	2016/11/14	2017/06/30	12.50
14202	Haitong Int'l Sec - Tencent European Warrants Nov 2017 B	70,000,000	2016/11/14	2017/11/28	10.50
14209	Haitong Int'l Sec - Tracker Fund European Warrants Dec 2017A	50,000,000	2016/11/14	2017/12/21	10.00
14186	Haitong Int'l Sec - ZTE European Warrants Sep 2017 A	50,000,000	2016/11/14	2017/09/29	12.50
14231	J P Morgan SP BV - Henderson Land Dev European Wt Jul 2017 A	60,000,000	2016/11/14	2017/07/03	15.06
14234	J P Morgan SP BV - New World Dev European Wts Jul 2017 A	40,000,000	2016/11/14	2017/07/03	14.16
14233	J P Morgan SP BV - SHK Ppt European Warrants May 2017 A	100,000,000	2016/11/14	2017/05/02	24.20
14235	J P Morgan SP BV - ZTE European Warrants Dec 2017 A	60,000,000	2016/11/14	2017/12/29	15.12
14214	Macquarie Bank Ltd. - Baiyunshan Ph European Warrants Sep17A	29,000,000	2016/11/14	2017/09/18	10.01
14216	Macquarie Bank Ltd. - ZTE European Warrants Nov 2017 A	40,000,000	2016/11/14	2017/11/02	10.00
14210	SG Issuer - CKP European Warrants May 2017 A	30,000,000	2016/11/14	2017/05/05	10.05
14204	SG Issuer - NewWorld Dev Eu Wt Jun 2017 A	12,000,000	2016/11/14	2017/06/05	11.40
14211	SG Issuer - SHK P European Warrants Jun 2017 A	25,000,000	2016/11/14	2017/06/05	13.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14212	SG Issuer - SHKP European Put Warrants Jun 2017 B	25,000,000	2016/11/14	2017/06/05	10.63
14226	UBS AG - China Overseas European Warrants May 2017 A	70,000,000	2016/11/14	2017/05/24	14.00
14225	UBS AG - SHK Ppt European Warrants May 2017 A	40,000,000	2016/11/14	2017/05/15	15.60
13662 #	Credit Suisse AG - China Life European Warrants Apr 2017 C	170,000,000	2016/11/14	2017/04/06	10.54
13894 #	Credit Suisse AG - Tencent Holdings European Wts Apr 2017 F	150,000,000	2016/11/14	2017/04/06	12.45
14242	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2017 A	80,000,000	2016/11/15	2017/10/04	20.00
14243	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Oct 2017 A	40,000,000	2016/11/15	2017/10/04	19.60
14240	BNP Paribas Arbit Issu B.V. - A50 China Euro Wts Aug 2017 A	40,000,000	2016/11/15	2017/08/02	10.80
14241	BNP Paribas Arbit Issu B.V. - Ping An European Wt Oct 2017 A	60,000,000	2016/11/15	2017/10/04	16.20
14249	Credit Suisse AG - HKEX European Warrants Feb 2017 B	50,000,000	2016/11/15	2017/02/27	24.25
14253	Credit Suisse AG - WH Group European Warrants May 2017 A	15,000,000	2016/11/15	2017/05/15	13.20
14248	Credit Suisse AG - ZTE European Warrants Oct 2017 A	40,000,000	2016/11/15	2017/10/31	10.00
14246	HK Bank - SHK Ppt European Warrants May 2017 A	30,000,000	2016/11/15	2017/05/31	15.00
14247	HK Bank - Tencent European Warrants Feb 2017 B	40,000,000	2016/11/15	2017/02/28	20.00
14250	Macquarie Bank Ltd. - CITIC Sec European Warrants Sep 2017 A	40,000,000	2016/11/15	2017/09/04	10.00
14244	Macquarie Bank Ltd. - China Mobile European Wts Jun 2017 B	31,000,000	2016/11/15	2017/06/02	10.01
14245	Macquarie Bank Ltd. - HKEX European Warrants Jun 2017 B	14,000,000	2016/11/15	2017/06/02	10.02
14252	Macquarie Bank Ltd. - Henderson Land Dev Euro Wts Oct 2017 A	36,000,000	2016/11/15	2017/10/03	10.01
14239	SG Issuer - Tencent European Put Warrants Nov 2017 C	100,000,000	2016/11/15	2017/11/03	17.50
14238	SG Issuer - Tencent European Put Warrants Apr 2018 A	100,000,000	2016/11/15	2018/04/04	16.50
14236	UBS AG - HSI European Warrants May 2017 B	300,000,000	2016/11/15	2017/05/29	45.00
14237	UBS AG - HSI European Warrants Jun 2017 A	300,000,000	2016/11/15	2017/06/29	45.00
14275	Goldman Sachs SP (Asia) - Tencent European Wt Apr 2017 B	250,000,000	2016/11/16	2017/04/26	37.50
14257	HK Bank - HSI European Warrants Mar 2017 F	150,000,000	2016/11/16	2017/03/30	24.00
14254	HK Bank - HSI European Warrants Apr 2017 D	150,000,000	2016/11/16	2017/04/27	22.50
14277	J P Morgan SP BV - AIA European Warrants Jun 2017 A	100,000,000	2016/11/16	2017/06/02	25.10
14290	J P Morgan SP BV - CKP European Warrants May 2017 A	100,000,000	2016/11/16	2017/05/02	23.80
14280	J P Morgan SP BV - China Life European Warrants Dec 2017 B	100,000,000	2016/11/16	2017/12/11	18.00
14287	J P Morgan SP BV - CNOOC European Warrants May 2017 B	100,000,000	2016/11/16	2017/05/15	41.40
14292	J P Morgan SP BV - GCL-Poly European Warrants Aug 2017 B	80,000,000	2016/11/16	2017/08/30	12.00
14289	J P Morgan SP BV - HSBC European Warrants Apr 2017 C	100,000,000	2016/11/16	2017/04/05	20.30
14272	J P Morgan SP BV - HSI European Warrants Jun 2017 C	300,000,000	2016/11/16	2017/06/29	50.10
14291	J P Morgan SP BV - HSI European Warrants Jun 2017 D	300,000,000	2016/11/16	2017/06/29	75.00
14276	J P Morgan SP BV - HSI European Warrants Jul 2017 A	300,000,000	2016/11/16	2017/07/28	75.30
14284	J P Morgan SP BV - Ping An European Warrants Jun 2017 C	100,000,000	2016/11/16	2017/06/01	27.50
14285	J P Morgan SP BV - Stanchart European Warrants Jun 2017 B	60,000,000	2016/11/16	2017/06/02	20.34
14258	SG Issuer - AIA European Warrants Jun 2017 C	80,000,000	2016/11/16	2017/06/05	27.60
14261	SG Issuer - CITIC Bank European Warrants Jul 2017 A	34,000,000	2016/11/16	2017/07/05	10.20
14262	SG Issuer - HSI European Put Warrants May 2017 C	150,000,000	2016/11/16	2017/05/29	37.50
14266	UBS AG - AIA European Warrants Jun 2017 A	100,000,000	2016/11/16	2017/06/02	26.00
14265	UBS AG - China Mobile European Warrants May 2017 A	100,000,000	2016/11/16	2017/05/15	39.30
14267	UBS AG - CSOP A50 ETF European Warrants May 2017 A	70,000,000	2016/11/16	2017/05/15	24.15
14263	UBS AG - HSI European Put Warrants May 2017 C	300,000,000	2016/11/16	2017/05/29	75.00
14309	Credit Suisse AG - HSBC European Wts Aug 2017 A	100,000,000	2016/11/17	2017/08/03	15.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14303	HK Bank - BYD European Warrants Dec 2017 A	180,000,000	2016/11/17	2017/12/20	27.00
14319	J P Morgan SP BV - Anhui Conch European Warrants Jul 2017 A	60,000,000	2016/11/17	2017/07/04	15.00
14315	J P Morgan SP BV - CHALCO European Warrants Jun 2017 A	40,000,000	2016/11/17	2017/06/02	10.84
14320	J P Morgan SP BV - CNBM European Warrants Jun 2017 A	60,000,000	2016/11/17	2017/06/09	15.06
14321	J P Morgan SP BV - MCC European Warrants Aug 2017 A	40,000,000	2016/11/17	2017/08/01	11.64
14295	Macquarie Bank Ltd. - Tencent European Warrants Jun 2017 E	12,000,000	2016/11/17	2017/06/02	10.06
14294	Macquarie Bank Ltd. - Tencent European Put Wts Jun 2017 D	8,000,000	2016/11/17	2017/06/02	10.00
14306	SG Issuer - CCB European Warrants Jun 2017 A	60,000,000	2016/11/17	2017/06/05	15.00
14296	SG Issuer - China Life European Put Warrants Dec 2017 B	150,000,000	2016/11/17	2017/12/05	37.50
14307	SG Issuer - Ch Mob European Warrants Jun 2017 A	60,000,000	2016/11/17	2017/06/05	27.30
14308	SG Issuer - CRCC European Warrants Dec 2017 A	70,000,000	2016/11/17	2017/12/05	10.50
14312	SG Issuer - HSBC European Warrants Aug 2017 A	100,000,000	2016/11/17	2017/08/03	15.00
14301	SG Issuer - HSBC European Put Warrants Jun 2017 A	100,000,000	2016/11/17	2017/06/05	25.00
14297	SG Issuer - HSCEI European Put Warrants Jun 2017 B	150,000,000	2016/11/17	2017/06/29	37.50
14300	SG Issuer - HSI European Put Warrants May 2017 D	150,000,000	2016/11/17	2017/05/29	37.50
14298	SG Issuer - HSI European Put Warrants Jul 2017 B	150,000,000	2016/11/17	2017/07/28	37.50
14329	BOCI Asia Ltd. - AIA European Warrants May 2017 A	200,000,000	2016/11/18	2017/05/22	51.20
14326	BOCI Asia Ltd. - CC Bank European Warrants May 2017 A	100,000,000	2016/11/18	2017/05/26	28.70
14331	BOCI Asia Ltd. - CITIC Sec European Warrants May 2017 A	100,000,000	2016/11/18	2017/05/10	15.10
14328	BOCI Asia Ltd. - China Mobile European Warrants May 2017 B	200,000,000	2016/11/18	2017/05/08	62.80
14323	BOCI Asia Ltd. - Geely Auto European Warrants Oct 2017 A	100,000,000	2016/11/18	2017/10/03	15.50
14322	BOCI Asia Ltd. - Galaxy Ent European Warrants May 2017 B	100,000,000	2016/11/18	2017/05/29	25.30
14330	BOCI Asia Ltd. - Ping An European Warrants May 2017 B	200,000,000	2016/11/18	2017/05/22	51.00
14324	BOCI Asia Ltd. - Tencent Holdings European Wts Jun 2017 D	200,000,000	2016/11/18	2017/06/05	33.00
14349	Credit Suisse AG - CC Bank European Warrants Jul 2017 A	50,000,000	2016/11/18	2017/07/31	12.50
14357	Credit Suisse AG - CC Bank European Put Warrants May 2017 A	40,000,000	2016/11/18	2017/05/17	10.00
14360	Credit Suisse AG - China Life European Put Wts Apr 2017 D	70,000,000	2016/11/18	2017/04/28	10.50
14352	Credit Suisse AG - Sinopec Corp European Warrants Jun 2017 A	40,000,000	2016/11/18	2017/06/02	10.00
14358	Credit Suisse AG - CSOP A50 ETF European Warrants Mar 2017 B	70,000,000	2016/11/18	2017/03/30	10.50
14355	Credit Suisse AG - Galaxy Ent European Warrants May 2017 A	50,000,000	2016/11/18	2017/05/31	12.50
14350	Credit Suisse AG - ICBC European Warrants May 2017 A	70,000,000	2016/11/18	2017/05/02	10.50
14354	Credit Suisse AG - PICC European Warrants Jun 2017 A	25,000,000	2016/11/18	2017/06/05	15.25
14361	Goldman Sachs SP (Asia) - AIA European Warrants May 2017 A	80,000,000	2016/11/18	2017/05/29	20.00
14372	Goldman Sachs SP (Asia) - BOCL European Warrants May 2017 C	80,000,000	2016/11/18	2017/05/31	20.00
14363	Goldman Sachs SP (Asia) - China Mobile Euro Wts May 2017 A	80,000,000	2016/11/18	2017/05/31	20.00
14367	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2017 A	80,000,000	2016/11/18	2017/06/08	20.00
14365	Goldman Sachs SP (Asia) - Ping An European Wts Apr 2017 B	100,000,000	2016/11/18	2017/04/26	15.00
14339	HK Bank - Jiangxi Copper European Warrants Jun 2017 A	80,000,000	2016/11/18	2017/06/30	12.00
14340	HK Bank - Stanchart European Warrants Jun 2017 C	40,000,000	2016/11/18	2017/06/30	16.00
14341	J P Morgan SP BV - JX Copper European Warrants Jun 2017 A	70,000,000	2016/11/18	2017/06/30	10.64
14343	Macquarie Bank Ltd. - BYD European Put Warrants Jun 2017 A	25,000,000	2016/11/18	2017/06/02	10.00
14342	Macquarie Bank Ltd. - Tencent European Warrants Jun 2017 F	11,000,000	2016/11/18	2017/06/02	21.31
14333	SG Issuer - CHALCO European Warrants Jun 2017 A	26,000,000	2016/11/18	2017/06/05	10.14
14334	SG Issuer - ChiUnicom European Warrants Jul 2017 A	16,000,000	2016/11/18	2017/07/05	10.08

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14347	SG Issuer - HS H-SHARE European Warrants Jun 2017 B	40,000,000	2016/11/18	2017/06/05	22.00
14335	SG Issuer - JIANC European Warrants Dec 2017 B	80,000,000	2016/11/18	2017/12/05	20.00
14344	UBS AG - Tencent Holdings European Warrants Mar 2017 C	50,000,000	2016/11/18	2017/03/01	28.30
14407	Credit Suisse AG - CRCC European Warrants Nov 2017 A	70,000,000	2016/11/21	2017/11/30	11.90
14389	Credit Suisse AG - HSBC European Put Warrants Apr 2017 F	50,000,000	2016/11/21	2017/04/06	11.25
14391	Credit Suisse AG - HS H-SHARE European Warrants Apr 2017 A	50,000,000	2016/11/21	2017/04/05	11.85
14408	Credit Suisse AG - Jiangxi Copper European Wts Jun 2017 A	100,000,000	2016/11/21	2017/06/27	15.00
14377	Credit Suisse AG - Tencent Holdings European Wts Mar 2017 D	50,000,000	2016/11/21	2017/03/31	44.00
14378	Credit Suisse AG - Tencent Holdings European Wts Jun 2017 C	150,000,000	2016/11/21	2017/06/05	37.50
14376	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 C	150,000,000	2016/11/21	2017/07/31	22.50
14392	Credit Suisse AG - Zijin Mining European Warrants May 2017 A	40,000,000	2016/11/21	2017/05/22	10.00
14421	Goldman Sachs SP (Asia) - CC Bank European Wts Apr 2017 A	80,000,000	2016/11/21	2017/04/07	12.00
14424	Goldman Sachs SP (Asia) - HSBC European Put Wts Apr 2017 C	80,000,000	2016/11/21	2017/04/24	12.00
14423	Goldman Sachs Sp (Asia) - ICBC European Warrants Apr 2017 A	80,000,000	2016/11/21	2017/04/24	12.00
14420	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 D	250,000,000	2016/11/21	2017/06/23	37.50
14384	HK Bank - CC Bank European Warrants Aug 2017 A	50,000,000	2016/11/21	2017/08/31	20.00
14386	HK Bank - PetroChina European Warrants Jun 2017 A	50,000,000	2016/11/21	2017/06/30	20.00
14416	J P Morgan SP BV - CITIC Bank European Warrants Jul 2017 A	100,000,000	2016/11/21	2017/07/03	25.20
14412	J P Morgan SP BV - Ch LongYuan European Warrants Aug 2018 A	70,000,000	2016/11/21	2018/08/31	10.64
14413	J P Morgan SP BV - Huaneng Power European Wts Aug 2017 A	60,000,000	2016/11/21	2017/08/01	18.48
14415	J P Morgan SP BV - PCCW European Warrants Jul 2017 A	40,000,000	2016/11/21	2017/07/03	10.12
14410	J P Morgan SP BV - Tencent European Warrants Apr 2017 C	100,000,000	2016/11/21	2017/04/03	86.50
14373	J P Morgan SP BV - Tencent European Warrants Jun 2017 C	300,000,000	2016/11/21	2017/06/30	45.30
14411	J P Morgan SP BV - Tencent European Put Warrants Dec 2017 C	200,000,000	2016/11/21	2017/12/29	50.20
14387	Macquarie Bank Ltd. - BYD European Warrants Jun 2017 B	15,000,000	2016/11/21	2017/06/02	10.02
14388	Macquarie Bank Ltd. - KECL European Warrants Oct 2017 A	15,000,000	2016/11/21	2017/10/04	10.11
14404	Macquarie Bank Ltd. - Swire Pacific A European Wts Feb18A	40,000,000	2016/11/21	2018/02/02	10.00
14379	SG Issuer - Anhui Conch European Warrants Aug 2017 A	40,000,000	2016/11/21	2017/08/03	10.00
14393	SG Issuer - CKH European Warrants Jul 2017 B	30,000,000	2016/11/21	2017/07/05	12.75
14394	SG Issuer - CKH European Put Warrants Jun 2017 A	30,000,000	2016/11/21	2017/06/05	10.05
14380	SG Issuer - CNBM European Warrants Jul 2017 A	25,000,000	2016/11/21	2017/07/05	10.00
14399	SG Issuer - CSOP A50 Eu Put Wt Jun 2017 A	20,000,000	2016/11/21	2017/06/05	11.80
14401	SG Issuer - A50 China European Put Warrants Jun 2017 B	20,000,000	2016/11/21	2017/06/05	10.00
14396	SG Issuer - PetroChina European Warrants Jul 2017 A	60,000,000	2016/11/21	2017/07/05	20.70
14397	SG Issuer - Tencent European Warrants May 2017 B	20,000,000	2016/11/21	2017/05/05	11.60
14381	SG Issuer - Tencent European Warrants Sep 2017 A	200,000,000	2016/11/21	2017/09/05	50.00
14398	SG Issuer - ZTE European Warrants Aug 2017 A	60,000,000	2016/11/21	2017/08/03	15.00
14419	UBS AG - Tencent Holdings European Warrants Mar 2017 D	50,000,000	2016/11/21	2017/03/29	50.40
14426	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Oct 2017 A	80,000,000	2016/11/22	2017/10/26	12.00
14427	Goldman Sachs SP (Asia) - Tencent European Wt Mar 2017 E	50,000,000	2016/11/22	2017/03/28	31.15
14431	Goldman Sachs SP (Asia) - Tencent European Wt Jul 2017 B	300,000,000	2016/11/22	2017/07/27	45.00
14434	Goldman Sachs SP (Asia) - Tencent European Put Wt Oct 2017 A	200,000,000	2016/11/22	2017/10/24	36.00
14449	J P Morgan SP BV - Ch Comm Cons European Warrants Dec 2017 A	100,000,000	2016/11/22	2017/12/04	15.10
14457	J P Morgan SP BV - Ch Southern Air European Warrants Jun 19A	70,000,000	2016/11/22	2019/06/04	10.64

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14451	J P Morgan SP BV - China Tel European Warrants Jul 2017 B	100,000,000	2016/11/22	2017/07/03	26.10
14450	J P Morgan SP BV - Zhaojin Mining European Wts Dec 2017 A	70,000,000	2016/11/22	2017/12/15	10.50
14435	Macquarie Bank Ltd. - China Life European Wts Jun 2017 B	25,000,000	2016/11/22	2017/06/02	10.00
14425	Macquarie Bank Ltd. - HKEX European Warrants Jun 2017 C	8,000,000	2016/11/22	2017/06/02	15.78
14437	SG Issuer - BYD European Warrants Jul 2017 A	22,000,000	2016/11/22	2017/07/05	10.01
14439	SG Issuer - GWMC European Warrants Jul 2017 A	13,000,000	2016/11/22	2017/07/05	10.40
14441	SG Issuer - HSI European Warrants Apr 2017 E	150,000,000	2016/11/22	2017/04/27	22.50
14445	SG Issuer - HSI European Warrants Jun 2017 D	150,000,000	2016/11/22	2017/06/29	22.50
14448	UBS AG - HSBC European Warrants May 2017 A	100,000,000	2016/11/22	2017/05/22	25.10
14447	UBS AG - HSBC European Put Warrants Mar 2017 D	100,000,000	2016/11/22	2017/03/27	15.40
14468	Credit Suisse AG - PetroChina European Warrants Apr 2017 A	70,000,000	2016/11/23	2017/04/28	10.50
14465	Credit Suisse AG - Tencent Holdings European Wts Mar 2017 E	150,000,000	2016/11/23	2017/03/03	22.50
14467	Credit Suisse AG - China Vanke European Warrants Apr 2017 A	70,000,000	2016/11/23	2017/04/27	10.50
14461	HK Bank - Sinopec Corp European Warrants Jun 2017 B	50,000,000	2016/11/23	2017/06/30	17.50
14462	HK Bank - HSI European Put Warrants May 2017 A	150,000,000	2016/11/23	2017/05/29	24.00
14460	HK Bank - Tencent European Warrants Jun 2017 A	150,000,000	2016/11/23	2017/06/26	22.50
14459	HK Bank - Tencent European Warrants Jul 2017 B	150,000,000	2016/11/23	2017/07/31	22.50
14458	J P Morgan SP BV - Ch Overseas European Warrants May 2017 B	100,000,000	2016/11/23	2017/05/31	15.30
14472	J P Morgan SP BV - Geely Auto European Warrants Jun 2018 A	200,000,000	2016/11/23	2018/06/01	30.20
14470	J P Morgan SP BV - HSBC European Warrants Apr 2017 D	100,000,000	2016/11/23	2017/04/21	21.80
14469	J P Morgan SP BV - HSBC European Warrants Oct 2017 A	100,000,000	2016/11/23	2017/10/03	16.00
14464	SG Issuer - HSI European Warrants Jun 2017 E	150,000,000	2016/11/23	2017/06/29	24.75
13905 #	J P Morgan SP BV - Tencent European Warrants May 2017 A	200,000,000	2016/11/23	2017/05/31	13.20
14482	BOCI Asia Ltd. - CKH European Warrants Jun 2017 A	100,000,000	2016/11/24	2017/06/12	31.50
14490	BOCI Asia Ltd. - HSCEI European Warrants Jul 2017 A	100,000,000	2016/11/24	2017/07/28	15.00
14491	BOCI Asia Ltd. - HSCEI European Put Warrants Aug 2017 A	100,000,000	2016/11/24	2017/08/30	20.10
14487	BOCI Asia Ltd. - HS H-SHARE European Warrants Jun 2017 A	100,000,000	2016/11/24	2017/06/01	38.10
14488	BOCI Asia Ltd. - HSI European Warrants Jul 2017 A	100,000,000	2016/11/24	2017/07/28	15.60
14489	BOCI Asia Ltd. - HSI European Put Warrants May 2017 A	100,000,000	2016/11/24	2017/05/29	15.40
14484	BOCI Asia Ltd. - SHK Ppt European Warrants Jun 2017 A	100,000,000	2016/11/24	2017/06/05	43.60
14496	BNP Paribas Arbit Issu B.V. - AIA European Wts Jan 2018 A	40,000,000	2016/11/24	2018/01/02	10.40
14495	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Apr 2018 A	70,000,000	2016/11/24	2018/04/03	17.50
14506	BNP Paribas Arbit Issu B.V. - HSBC European Wts Apr 2017 D	60,000,000	2016/11/24	2017/04/05	12.00
14493	BNP Paribas Arbit Issu B.V. - HSI European Wts Jul 2017 A	100,000,000	2016/11/24	2017/07/28	18.00
14494	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Jul 2017 B	100,000,000	2016/11/24	2017/07/28	25.00
14515	Credit Suisse AG - Galaxy Ent European Put Wts Jun 2017 A	50,000,000	2016/11/24	2017/06/02	13.25
14514	Credit Suisse AG - Sands China European Put Wts Apr 2017 B	70,000,000	2016/11/24	2017/04/05	12.60
14511	Bank of East Asia - Galaxy Ent European Warrants Jun 2017 A	40,000,000	2016/11/24	2017/06/27	10.00
14508	Bank of East Asia - ZTE European Warrants Oct 2017 A	40,000,000	2016/11/24	2017/10/27	10.00
14517	Goldman Sachs SP (Asia) - Ch Overseas European Wts Jul 2017 A	80,000,000	2016/11/24	2017/07/31	20.00
14525	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2017 C	200,000,000	2016/11/24	2017/04/27	30.00
14498	HK Bank - China Overseas European Warrants Jun 2017 A	80,000,000	2016/11/24	2017/06/07	12.00
14500	HK Bank - Galaxy Ent European Warrants May 2017 A	50,000,000	2016/11/24	2017/05/31	12.50
14503	HK Bank - Galaxy Ent European Put Warrants May 2017 B	50,000,000	2016/11/24	2017/05/31	14.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14497	HK Bank - Lenovo European Warrants Jul 2017 A	50,000,000	2016/11/24	2017/07/31	15.00
14504	HK Bank - Sands China European Put Warrants May 2017 A	50,000,000	2016/11/24	2017/05/23	15.00
14536	J P Morgan SP BV - CC Bank European Wts Jun 2017 A	100,000,000	2016/11/24	2017/06/02	17.40
14533	J P Morgan SP BV - CC Bank European Wts Aug 2017 A	100,000,000	2016/11/24	2017/08/01	25.20
14526	J P Morgan SP BV - Galaxy Ent European Warrants Jun 2017 C	100,000,000	2016/11/24	2017/06/30	25.00
14528	J P Morgan SP BV - Galaxy Ent European Put Wts Aug 2017 A	100,000,000	2016/11/24	2017/08/01	31.10
14539	J P Morgan SP BV - HSI European Put Warrants May 2017 F	300,000,000	2016/11/24	2017/05/29	45.30
14537	J P Morgan SP BV - PICC European Warrants Apr 2017 A	60,000,000	2016/11/24	2017/04/06	14.04
14531	J P Morgan SP BV - Sands China European Warrants Oct 2017 A	100,000,000	2016/11/24	2017/10/03	25.10
14530	J P Morgan SP BV - Sands China European Put Wts Jun 2017 A	100,000,000	2016/11/24	2017/06/30	37.40
14480	Macquarie Bank Ltd. - China Overseas European Wts Mar 2018 A	40,000,000	2016/11/24	2018/03/02	10.00
14479	Macquarie Bank Ltd. - Lenovo European Warrants Jun 2017 A	26,000,000	2016/11/24	2017/06/02	10.01
14474	Macquarie Bank Ltd. - PetroChina European Wts Jun 2017 A	36,000,000	2016/11/24	2017/06/02	10.01
14492	SG Issuer - Galaxy Ent European Put Warrants Jun 2017 A	60,000,000	2016/11/24	2017/06/05	15.00
14519	SG Issuer - HSI European Warrants Jul 2017 C	150,000,000	2016/11/24	2017/07/28	37.50
14520	SG Issuer - Sands China European Put Warrants Jul 2017 A	60,000,000	2016/11/24	2017/07/05	15.00
14522	SG Issuer - Tencent European Warrants Apr 2017 E	20,000,000	2016/11/24	2017/04/06	17.60
14523	SG Issuer - Zijin Mining European Warrants Jul 2017 A	40,000,000	2016/11/24	2017/07/05	10.00
14152 #	Credit Suisse AG - Tencent Holdings European Wts May 2017 A	100,000,000	2016/11/24	2017/05/04	10.20
17101 #	J P Morgan SP BV - China Mob European Wts Mar 2017 A	500,000,000	2016/11/24	2017/03/06	10.50
14552	BOCI Asia Ltd. - China Life European Put Warrants Oct 2017 A	100,000,000	2016/11/25	2017/10/23	25.00
14551	BOCI Asia Ltd. - Ch Overseas European Warrants Oct 2017 A	100,000,000	2016/11/25	2017/10/16	25.00
14550	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Jun 2017 A	100,000,000	2016/11/25	2017/06/05	28.80
14554	BOCI Asia Ltd. - HSBC European Put Warrants Jun 2017 A	100,000,000	2016/11/25	2017/06/12	33.00
14571	BNP Paribas Arbit Issu B.V. - CKH European Wts Jun 2017 A	40,000,000	2016/11/25	2017/06/02	12.80
14573	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Sep 2017 A	40,000,000	2016/11/25	2017/09/04	10.00
14572	BNP Paribas Arbit Issu B.V. - SHK Ppt Euro Wts Jun 2017 A	40,000,000	2016/11/25	2017/06/02	15.20
14575	Credit Suisse AG - BOCL European Warrants Apr 2017 B	70,000,000	2016/11/25	2017/04/05	10.50
14579	Credit Suisse AG - HSBC European Wts Oct 2017 A	100,000,000	2016/11/25	2017/10/06	15.00
14578	Credit Suisse AG - Sands China European Warrants May 2017 A	50,000,000	2016/11/25	2017/05/31	12.50
14574	Credit Suisse AG - Tencent Holdings European Wts May 2017 B	50,000,000	2016/11/25	2017/05/04	33.50
14557	HK Bank - CSOP A50 ETF European Warrants Jun 2017 A	40,000,000	2016/11/25	2017/06/30	20.00
14556	HK Bank - Link REIT European Warrants Jul 2017 A	50,000,000	2016/11/25	2017/07/31	12.50
14592	J P Morgan SP BV - China Mob European Wts Jun 2017 A	100,000,000	2016/11/25	2017/06/30	37.10
14588	J P Morgan SP BV - Sinopec Corp European Warrants Mar 2017 B	100,000,000	2016/11/25	2017/03/01	19.10
14587	J P Morgan SP BV - China Unicom European Warrants Dec 2017 A	200,000,000	2016/11/25	2017/12/01	30.20
14581	J P Morgan SP BV - China Vanke European Warrants Jun 2017 A	100,000,000	2016/11/25	2017/06/02	15.20
14559	SG Issuer - Galaxy Ent European Warrants Aug 2017 B	60,000,000	2016/11/25	2017/08/03	15.00
14548	SG Issuer - HSI European Put Warrants May 2017 E	150,000,000	2016/11/25	2017/05/29	37.50
14546	SG Issuer - HSI European Put Warrants Jun 2017 F	150,000,000	2016/11/25	2017/06/29	37.50
14543	SG Issuer - HSI European Put Warrants Jul 2017 D	150,000,000	2016/11/25	2017/07/28	37.50
14541	SG Issuer - HSI European Put Warrants Aug 2017 A	150,000,000	2016/11/25	2017/08/30	37.50
14540	SG Issuer - HSI European Put Warrants Sep 2017 A	150,000,000	2016/11/25	2017/09/28	37.50
14549	SG Issuer - MTRC European Warrants Aug 2017 A	40,000,000	2016/11/25	2017/08/03	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14560	SG Issuer - Sands China European Warrants Aug 2017 A	60,000,000	2016/11/25	2017/08/03	15.00
14561	SG Issuer - Tencent European Warrants Jul 2017 E	200,000,000	2016/11/25	2017/07/05	50.00
14564	UBS AG - HKEX European Warrants Jun 2017 B	300,000,000	2016/11/25	2017/06/28	46.80
14567	UBS AG - HSBC European Warrants Apr 2017 B	100,000,000	2016/11/25	2017/04/21	16.10
14565	UBS AG - Lenovo European Warrants Apr 2017 A	50,000,000	2016/11/25	2017/04/07	10.00
14599	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Jan 2018 A	70,000,000	2016/11/28	2018/01/03	11.20
14601	BNP Paribas Arbit Issu B.V. - Ping An European Wt May 2017 B	60,000,000	2016/11/28	2017/05/04	10.20
14611	Credit Suisse AG - ChiUnicom European Warrants Jul 2017 A	30,000,000	2016/11/28	2017/07/03	10.95
14613	Credit Suisse AG - Geely Auto European Warrants Sep 2017 A	100,000,000	2016/11/28	2017/09/29	15.00
14609	Credit Suisse AG - Ping An European Put Warrants Jun 2017 C	50,000,000	2016/11/28	2017/06/02	13.00
14623	Goldman Sachs SP (Asia) - CITIC Sec European Wts Aug 2017 A	80,000,000	2016/11/28	2017/08/21	12.00
14622	Goldman Sachs SP (Asia) - China Life Euro Wts Jun 2017 B	80,000,000	2016/11/28	2017/06/23	12.00
14594	HK Bank - Sands China European Warrants Jul 2017 A	50,000,000	2016/11/28	2017/07/05	12.50
14593	HK Bank - China Vanke European Warrants Apr 2017 A	80,000,000	2016/11/28	2017/04/28	12.00
14621	J P Morgan SP BV - China Life European Warrants Apr 2017 C	100,000,000	2016/11/28	2017/04/03	26.70
14620	J P Morgan SP BV - Tencent European Warrants Mar 2017 D	100,000,000	2016/11/28	2017/03/01	33.30
14615	J P Morgan SP BV - Tencent European Warrants Jun 2017 D	200,000,000	2016/11/28	2017/06/01	30.20
14619	J P Morgan SP BV - Tencent European Warrants Jun 2017 E	200,000,000	2016/11/28	2017/06/23	30.00
14597	Macquarie Bank Ltd. - AIA European Put Warrants Jun 2017 C	40,000,000	2016/11/28	2017/06/02	10.04
14596	Macquarie Bank Ltd. - Minsheng Bank European Wts Jun 2017 A	12,000,000	2016/11/28	2017/06/02	10.01
14595	Macquarie Bank Ltd. - Tencent European Put Wts Jun 2017 G	20,000,000	2016/11/28	2017/06/02	10.00
14604	UBS AG - CAM CSI300 European Warrants Jun 2017 A	50,000,000	2016/11/28	2017/06/23	10.60
14607	UBS AG - Geely Auto European Warrants Apr 2017 B	40,000,000	2016/11/28	2017/04/25	21.84
14603	UBS AG - Tencent Holdings European Put Warrants Apr 2017 B	30,000,000	2016/11/28	2017/04/28	22.92
14662	Credit Suisse AG - Minsheng Bank European Wts Mar 2017 A	35,000,000	2016/11/29	2017/03/29	10.33
14663	Credit Suisse AG - HKEX European Warrants Jun 2017 D	150,000,000	2016/11/29	2017/06/28	22.50
14641	HK Bank - China Life European Warrants Jun 2017 B	100,000,000	2016/11/29	2017/06/30	15.00
14640	Haitong Int'l Sec - Ch Overseas European Warrants Jul 2017 A	68,000,000	2016/11/29	2017/07/31	10.20
14629	Haitong Int'l Sec - Galaxy Ent European Warrants May 2017 A	40,000,000	2016/11/29	2017/05/29	10.36
14625	Haitong Int'l Sec - HSBC European Warrants Mar 2017 B	80,000,000	2016/11/29	2017/03/29	12.00
14639	Haitong Int'l Sec - Jiangxi Copper European Warrants Dec17 A	40,000,000	2016/11/29	2017/12/29	10.00
14626	Haitong Int'l Sec - SHK Ppt European Warrants May 2017 A	40,000,000	2016/11/29	2017/05/29	10.00
14673	J P Morgan SP BV - China Life European Warrants Jun 2017 A	100,000,000	2016/11/29	2017/06/30	15.50
14674	J P Morgan SP BV - China Life European Warrants Sep 2017 A	100,000,000	2016/11/29	2017/09/01	15.20
14671	J P Morgan SP BV - Galaxy Ent European Warrants Aug 2017 B	100,000,000	2016/11/29	2017/08/01	25.10
14672	J P Morgan SP BV - Galaxy Ent European Put Wts Jun 2017 D	100,000,000	2016/11/29	2017/06/05	33.40
14642	Macquarie Bank Ltd. - China Life European Wts Feb 2018 A	40,000,000	2016/11/29	2018/02/02	10.00
14643	SG Issuer - CCB European Put Warrants Jun 2017 B	60,000,000	2016/11/29	2017/06/05	16.50
14644	SG Issuer - Ch Mob European Warrants May 2017 A	70,000,000	2016/11/29	2017/05/05	10.50
14648	SG Issuer - China Oilfield European Warrants Jun 2018 A	100,000,000	2016/11/29	2018/06/05	25.00
14649	SG Issuer - Geely Auto European Warrants Sep 2018 A	70,000,000	2016/11/29	2018/09/05	17.50
14650	SG Issuer - HSBC European Put Warrants Jun 2017 B	100,000,000	2016/11/29	2017/06/05	34.00
14654	SG Issuer - ICBC European Put Wt Jun 2017 B	60,000,000	2016/11/29	2017/06/05	15.00
14655	SG Issuer - KECL European Warrants Jul 2017 A	22,000,000	2016/11/29	2017/07/05	10.01

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
10422	SG Issuer - Nikkei 225 European Warrants Sep 2017 B	150,000,000	2016/11/29	2017/09/08	38.25
10424	SG Issuer - Nikkei 225 European Warrants Sep 2017 C	150,000,000	2016/11/29	2017/09/08	37.50
10423	SG Issuer - Nikkei 225 European Warrants Dec 2017 A	150,000,000	2016/11/29	2017/12/08	39.00
10425	SG Issuer - Nikkei 225 European Warrants Dec 2017 B	150,000,000	2016/11/29	2017/12/08	37.50
10427	SG Issuer - Nikkei 225 European Put Warrants Sep 2017 D	150,000,000	2016/11/29	2017/09/08	39.75
10426	SG Issuer - Nikkei 225 European Put Warrants Dec 2017 C	150,000,000	2016/11/29	2017/12/08	39.00
14656	SG Issuer - Ping An European Put Warrants Jun 2017 C	100,000,000	2016/11/29	2017/06/05	25.50
14657	SG Issuer - Tencent European Put Warrants Jun 2017 B	20,000,000	2016/11/29	2017/06/05	11.80
14666	UBS AG - BOCL European Warrants Mar 2017 B	70,000,000	2016/11/29	2017/03/29	11.76
14664	UBS AG - CC Bank European Warrants May 2017 A	70,000,000	2016/11/29	2017/05/19	23.66
14668	UBS AG - China Overseas European Warrants Jul 2017 A	70,000,000	2016/11/29	2017/07/24	10.85
14669	UBS AG - PetroChina European Warrants Apr 2017 B	70,000,000	2016/11/29	2017/04/21	11.90
16422 #	Credit Suisse AG - China Mobile European Warrants Mar 2017 A	570,000,000	2016/11/29	2017/03/06	10.83
14679	BOCI Asia Ltd. - China Life European Warrants Dec 2017 B	200,000,000	2016/11/30	2017/12/20	34.60
14676	BOCI Asia Ltd. - Galaxy Ent European Warrants Jul 2017 A	100,000,000	2016/11/30	2017/07/10	29.20
14675	BOCI Asia Ltd. - HSBC European Warrants Jul 2017 A	200,000,000	2016/11/30	2017/07/17	50.00
14682	BOCI Asia Ltd. - Ping An European Put Warrants Jun 2017 A	100,000,000	2016/11/30	2017/06/19	26.90
14683	BOCI Asia Ltd. - Tencent Holdings European Put Wts Dec 2017 A	100,000,000	2016/11/30	2017/12/20	18.00
14689	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Oct 2017 A	40,000,000	2016/11/30	2017/10/04	12.00
14685	BNP Paribas Arbit Issu B.V. - Ping An European Wt Apr 2018 A	50,000,000	2016/11/30	2018/04/03	13.00
10428	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Jun 2017 A	50,000,000	2016/11/30	2017/06/23	12.50
10429	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Jun 2017 B	50,000,000	2016/11/30	2017/06/23	12.50
14710	Credit Suisse AG - CNOOC European Warrants Apr 2017 B	40,000,000	2016/11/30	2017/04/05	10.40
14695	SG Issuer - BOCL European Warrants Aug 2017 A	80,000,000	2016/11/30	2017/08/03	20.00
14696	SG Issuer - BOCOM European Warrants Jun 2017 A	22,000,000	2016/11/30	2017/06/05	10.01
14698	SG Issuer - CCB European Warrants Aug 2017 A	80,000,000	2016/11/30	2017/08/03	20.00
14699	SG Issuer - CMB European Warrants Aug 2017 A	10,000,000	2016/11/30	2017/08/03	10.00
14701	SG Issuer - Minsheng Bank European Warrants Jun 2017 A	17,000,000	2016/11/30	2017/06/05	10.03
14702	SG Issuer - China Overseas European Warrants Aug 2017 B	50,000,000	2016/11/30	2017/08/03	12.50
14708	SG Issuer - CP&CC European Put Warrants Jul 2017 A	60,000,000	2016/11/30	2017/07/05	19.20
14703	SG Issuer - Evergrande Eu Wt Sep 2017 A	22,000,000	2016/11/30	2017/09/05	10.01
14725	J P Morgan SP BV - China Life European Warrants Jan 2018 A	200,000,000	2016/12/01	2018/01/31	30.20
14727	J P Morgan SP BV - Ping An European Warrants Apr 2017 D	60,000,000	2016/12/01	2017/04/28	13.08
14724	Macquarie Bank Ltd. - CNOOC European Warrants Oct 2017 A	18,000,000	2016/12/01	2017/10/04	10.93
14712	Macquarie Bank Ltd. - NCI European Warrants Sep 2017 A	40,000,000	2016/12/01	2017/09/04	10.00
14711	Macquarie Bank Ltd. - Tencent European Warrants Jul 2017 A	23,000,000	2016/12/01	2017/07/04	10.03
14713	UBS AG - China Life European Warrants Jan 2018 A	100,000,000	2016/12/01	2018/01/29	15.00
14720	UBS AG - Galaxy Ent European Warrants May 2017 A	70,000,000	2016/12/01	2017/05/31	17.50
14721	UBS AG - Galaxy Ent European Put Warrants May 2017 B	70,000,000	2016/12/01	2017/05/31	24.57
14718	UBS AG - Sands China European Warrants Jul 2017 A	70,000,000	2016/12/01	2017/07/04	11.06
14719	UBS AG - Sands China European Put Warrants May 2017 A	70,000,000	2016/12/01	2017/05/31	22.68
25399 #	Credit Suisse AG - ChiUnicom European Warrants Dec 2017 A	280,000,000	2016/12/01	2017/12/29	22.40
14737	Credit Suisse AG - China Life European Warrants Apr 2017 E	30,000,000	2016/12/02	2017/04/03	21.00
14738	Credit Suisse AG - China Life European Put Wts May 2017 A	65,000,000	2016/12/02	2017/05/04	10.08

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14736	Credit Suisse AG - Ping An European Warrants Apr 2017 D	80,000,000	2016/12/02	2017/04/28	12.00
14728	Goldman Sachs SP (Asia) - CC Bank European Wts Sep 2017 A	80,000,000	2016/12/02	2017/09/25	12.00
14731	Goldman Sachs SP (Asia) - China Life Euro Wts Mar 2017 B	30,000,000	2016/12/02	2017/03/31	10.98
14730	Goldman Sachs SP (Asia) - Tencent European Wt May 2017 C	300,000,000	2016/12/02	2017/05/31	45.00
14732	HK Bank - China Mobile European Warrants Jun 2017 C	50,000,000	2016/12/02	2017/06/30	20.00
14735	HK Bank - CNOOC European Warrants Jun 2017 B	50,000,000	2016/12/02	2017/06/30	20.00
14734	HK Bank - China Tel European Warrants Jun 2017 A	40,000,000	2016/12/02	2017/06/30	10.00
14769	J P Morgan SP BV - CITIC Sec European Warrants Sep 2017 A	100,000,000	2016/12/02	2017/09/01	15.40
14767	J P Morgan SP BV - China Life European Put Wts May 2017 A	100,000,000	2016/12/02	2017/05/02	15.10
14770	J P Morgan SP BV - CNOOC European Warrants May 2018 A	200,000,000	2016/12/02	2018/05/31	30.40
14765	J P Morgan SP BV - NCI European Warrants Jul 2017 A	60,000,000	2016/12/02	2017/07/03	18.00
14766	J P Morgan SP BV - Ping An European Warrants Sep 2017 A	100,000,000	2016/12/02	2017/09/29	16.60
14739	SG Issuer - CCCCL European Warrants Jun 2017 A	12,000,000	2016/12/02	2017/06/05	10.08
14740	SG Issuer - China Life European Warrants Apr 2017 C	14,000,000	2016/12/02	2017/04/06	10.36
14741	SG Issuer - China Life European Warrants Jun 2017 C	15,000,000	2016/12/02	2017/06/05	10.05
14742	SG Issuer - CRail Eu Wt Jun 2017 A	20,000,000	2016/12/02	2017/06/05	10.00
14745	SG Issuer - Huaneng Power European Warrants Jun 2017 A	25,000,000	2016/12/02	2017/06/05	10.00
14746	SG Issuer - Lenovo European Warrants Jun 2017 A	25,000,000	2016/12/02	2017/06/05	10.75
14753	SG Issuer - Link REIT European Warrants Jun 2017 A	25,000,000	2016/12/02	2017/06/05	10.00
14755	SG Issuer - PICC European Warrants Jun 2017 A	32,000,000	2016/12/02	2017/06/05	10.24
14757	SG Issuer - Ping An European Warrants Jun 2017 D	100,000,000	2016/12/02	2017/06/05	27.50
14761	SG Issuer - Stanchart European Warrants Jun 2017 A	22,000,000	2016/12/02	2017/06/05	10.67
14791	Credit Suisse AG - CC Bank European Warrants Jun 2017 A	70,000,000	2016/12/05	2017/06/05	17.50
14795	Credit Suisse AG - CGS European Warrants Jun 2017 A	20,000,000	2016/12/05	2017/06/06	12.40
14789	Credit Suisse AG - CM Bank European Warrants Jun 2017 B	20,000,000	2016/12/05	2017/06/05	13.20
14794	Credit Suisse AG - CNBM European Warrants May 2017 A	30,000,000	2016/12/05	2017/05/04	11.10
14792	Credit Suisse AG - A50 China European Warrants May 2017 A	40,000,000	2016/12/05	2017/05/04	10.60
14793	Credit Suisse AG - Galaxy Ent European Warrants Jun 2017 B	70,000,000	2016/12/05	2017/06/05	17.50
14786	Credit Suisse AG - GF Sec European Warrants Sep 2017 A	40,000,000	2016/12/05	2017/09/29	10.00
14798	Credit Suisse AG - Huaneng Power European Warrants Jun 2017A	40,000,000	2016/12/05	2017/06/05	11.40
14788	Credit Suisse AG - PICC European Warrants Apr 2017 A	30,000,000	2016/12/05	2017/04/05	10.65
14787	Credit Suisse AG - PICC Group European Warrants Jun 2017 A	55,000,000	2016/12/05	2017/06/02	10.18
14796	Credit Suisse AG - Sunny Optical European Warrants Apr 2017A	35,000,000	2016/12/05	2017/04/28	10.85
14771	Goldman Sachs SP (Asia) - Ping An European Wts Aug 2017 A	200,000,000	2016/12/05	2017/08/08	30.00
14812	J P Morgan SP BV - China Life European Warrants Apr 2018 A	200,000,000	2016/12/05	2018/04/30	30.40
14808	J P Morgan SP BV - Goldwind European Warrants Jun 2017 A	80,000,000	2016/12/05	2017/06/09	12.16
14777	Macquarie Bank Ltd. - CC Bank European Warrants Jun 2017 A	36,000,000	2016/12/05	2017/06/05	10.12
14778	Macquarie Bank Ltd. - MGM China European Warrants Dec 2017 A	40,000,000	2016/12/05	2017/12/04	10.00
14815	SG Issuer - China Cinda European Warrants Jun 2017 A	40,000,000	2016/12/05	2017/06/05	10.00
14781	SG Issuer - CSOP A50 ETF European Warrants Jun 2017 B	40,000,000	2016/12/05	2017/06/05	10.00
14774	SG Issuer - A50 China European Warrants May 2017 A	40,000,000	2016/12/05	2017/05/05	10.00
14785	SG Issuer - A50 China European Warrants Jun 2017 C	40,000,000	2016/12/05	2017/06/05	10.00
14817	SG Issuer - GF Sec European Warrants Sep 2017 A	40,000,000	2016/12/05	2017/09/05	10.00
14783	SG Issuer - HS H-SHARE European Warrants Aug 2017 A	40,000,000	2016/12/05	2017/08/03	10.80

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
14818	SG Issuer - HTSC European Warrants Nov 2017 A	40,000,000	2016/12/05	2017/11/03	10.00
14821	SG Issuer - NCI European Warrants Jun 2017 A	30,000,000	2016/12/05	2017/06/05	10.50
14807	UBS AG - AIA European Put Warrants May 2017 A	70,000,000	2016/12/05	2017/05/25	10.99
14806	UBS AG - BOCL European Warrants Aug 2017 A	70,000,000	2016/12/05	2017/08/29	10.85
14805	UBS AG - China Life European Warrants Jul 2017 B	100,000,000	2016/12/05	2017/07/03	21.00
14800	UBS AG - HKEX European Warrants Sep 2017 A	300,000,000	2016/12/05	2017/09/26	45.90
14801	UBS AG - Ping An European Warrants May 2017 A	100,000,000	2016/12/05	2017/05/08	20.40
14802	UBS AG - Ping An European Put Warrants May 2017 B	70,000,000	2016/12/05	2017/05/25	10.85
14831	BOCI Asia Ltd. - CSOP A50 ETF European Warrants Jul 2017 A	100,000,000	2016/12/06	2017/07/17	47.60
14830	BOCI Asia Ltd. - A50 China European Warrants Jul 2017 A	100,000,000	2016/12/06	2017/07/10	42.90
14826	BOCI Asia Ltd. - Geely Auto European Warrants Dec 2017 A	100,000,000	2016/12/06	2017/12/27	25.30
14827	BOCI Asia Ltd. - Geely Auto European Warrants Jul 2018 A	100,000,000	2016/12/06	2018/07/30	25.10
14825	BOCI Asia Ltd. - ICBC European Warrants Aug 2017 A	100,000,000	2016/12/06	2017/08/07	21.20
14832	BOCI Asia Ltd. - Sands China European Warrants Jul 2017 A	100,000,000	2016/12/06	2017/07/03	27.60
10430	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Wts Jun 2017 A	50,000,000	2016/12/06	2017/06/23	12.50
10431	BNP Paribas Arbit Issu B.V. - Gold European Wts Dec 2017 A	50,000,000	2016/12/06	2017/12/22	12.50
10432	BNP Paribas Arbit Issu B.V. - Gold Euro Put Wts Dec 2017 B	50,000,000	2016/12/06	2017/12/22	12.50
14822	Goldman Sachs SP (Asia) - BOCL European Warrants Aug 2017 A	80,000,000	2016/12/06	2017/08/29	12.00
14823	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Jun 2017 A	50,000,000	2016/12/06	2017/06/06	12.50
14824	Goldman Sachs SP (Asia) - Stanchart European Wts Jun 2017 A	50,000,000	2016/12/06	2017/06/06	12.50
14838	HK Bank - CSOP A50 ETF European Warrants Jun 2017 B	40,000,000	2016/12/06	2017/06/30	14.00
14837	HK Bank - A50 China European Warrants Jun 2017 A	40,000,000	2016/12/06	2017/06/30	14.00
14842	HK Bank - HSI European Warrants Jun 2017 D	150,000,000	2016/12/06	2017/06/29	22.50
14839	HK Bank - HSI European Warrants Jul 2017 A	150,000,000	2016/12/06	2017/07/28	22.50
14836	HK Bank - ICBC European Warrants Aug 2017 A	60,000,000	2016/12/06	2017/08/31	21.00
14859	J P Morgan SP BV - Ch Railway Cons European Wts Dec 2017 A	100,000,000	2016/12/06	2017/12/01	16.00
14858	J P Morgan SP BV - CSOP A50 ETF European Warrants Jun 2017 A	100,000,000	2016/12/06	2017/06/05	25.10
14855	J P Morgan SP BV - A50 China European Warrants May 2017 A	100,000,000	2016/12/06	2017/05/02	21.50
14857	J P Morgan SP BV - A50 China European Warrants Jun 2017 A	100,000,000	2016/12/06	2017/06/05	25.20
10433	J P Morgan SP BV - N225 Index Euro Wts Oct 2017 A	100,000,000	2016/12/06	2017/10/13	25.20
10434	J P Morgan SP BV - N225 Idx Euro Put Wts Nov 2017 A	100,000,000	2016/12/06	2017/11/10	25.30
14843	Macquarie Bank Ltd. - Tencent European Warrants Jul 2017 B	40,000,000	2016/12/06	2017/07/04	10.00
14848	SG Issuer - BOCHK European Warrants Aug 2017 A	40,000,000	2016/12/06	2017/08/03	10.00
14849	SG Issuer - CPIC Eur Wt Jun 2017 A	40,000,000	2016/12/06	2017/06/05	10.00
14851	SG Issuer - HSI European Warrants Jul 2017 E	150,000,000	2016/12/06	2017/07/28	23.25
14853	SG Issuer - PICC P&C European Warrants Aug 2018 A	70,000,000	2016/12/06	2018/08/03	17.50
14465 #	Credit Suisse AG - Tencent Holdings European Wts Mar 2017 E	150,000,000	2016/12/06	2017/03/03	13.20
14882	BNP Paribas Arbit Issu B.V. - HSI European Wts Sep 2017 A	80,000,000	2016/12/07	2017/09/28	20.00
14885	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Sep 2017 B	80,000,000	2016/12/07	2017/09/28	20.00
14880	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2018 A	100,000,000	2016/12/07	2018/01/03	25.00
14860	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jun 2017 A	60,000,000	2016/12/07	2017/06/07	15.00
14873	HK Bank - Ch Comm Cons European Warrants Nov 2017 A	100,000,000	2016/12/07	2017/11/27	15.00
14872	HK Bank - CRCC European Warrants Nov 2017 A	100,000,000	2016/12/07	2017/11/27	15.00
14864	Haitong Int'l Sec - HSI European Warrants May 2017 A	100,000,000	2016/12/07	2017/05/29	15.20

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14863	Haitong Int'l Sec - HSI European Warrants Jun 2017 A	100,000,000	2016/12/07	2017/06/29	15.10
14866	Haitong Int'l Sec - HSI European Put Warrants Apr 2017 A	100,000,000	2016/12/07	2017/04/27	15.00
14865	Haitong Int'l Sec - HSI European Put Warrants May 2017 B	100,000,000	2016/12/07	2017/05/29	20.80
14879	J P Morgan SP BV - Fosun Intl European Warrants Oct 2017 A	80,000,000	2016/12/07	2017/10/03	12.08
14878	J P Morgan SP BV - ICBC European Warrants May 2017 A	100,000,000	2016/12/07	2017/05/08	15.10
14877	Macquarie Bank Ltd. - Tencent European Warrants Jul 2017 C	10,000,000	2016/12/07	2017/07/04	10.01
14867	SG Issuer - CITIC Sec European Warrants Oct 2017 A	70,000,000	2016/12/07	2017/10/03	10.50
14869	UBS AG - CSOP A50 ETF European Warrants Jun 2017 A	40,000,000	2016/12/07	2017/06/06	10.00
14870	UBS AG - A50 China European Warrants Apr 2017 B	40,000,000	2016/12/07	2017/04/27	10.28
14871	UBS AG - HSCEI European Put Warrants Aug 2017 A	100,000,000	2016/12/07	2017/08/30	16.00
17567 #	Credit Suisse AG - China Mobile European Warrants Nov 2017 B	350,000,000	2016/12/07	2017/11/27	24.50
28841 #	J P Morgan SP BV - BYD European Warrants Sep 2017 A	200,000,000	2016/12/07	2017/09/18	11.00
14905	Credit Suisse AG - ChiUnicom European Warrants Apr 2017 A	40,000,000	2016/12/08	2017/04/03	13.80
14891	HK Bank - CKP European Warrants Jun 2017 A	40,000,000	2016/12/08	2017/06/30	10.00
14893	HK Bank - CKP European Warrants Jun 2017 B	40,000,000	2016/12/08	2017/06/30	15.20
14890	HK Bank - Galaxy Ent European Warrants Jun 2017 A	50,000,000	2016/12/08	2017/06/30	15.00
14925	J P Morgan SP BV - China Mob European Put Wts Jun 2017 B	100,000,000	2016/12/08	2017/06/16	25.40
14924	J P Morgan SP BV - CNOOC European Put Warrants May 2018 B	200,000,000	2016/12/08	2018/05/14	31.00
14926	J P Morgan SP BV - PWR Assets European Warrants Sep 2017 A	60,000,000	2016/12/08	2017/09/05	15.06
14901	Macquarie Bank Ltd. - China East Air European Wts Dec 2017 A	13,000,000	2016/12/08	2017/12/04	10.56
14902	Macquarie Bank Ltd. - China Unicom European Wts Jul 2017 A	20,000,000	2016/12/08	2017/07/04	10.04
14903	Macquarie Bank Ltd. - China Vanke European Warrants Sep 17 A	40,000,000	2016/12/08	2017/09/04	10.00
14913	SG Issuer - ChiUnicom European Warrants Aug 2017 A	15,000,000	2016/12/08	2017/08/03	10.05
14910	SG Issuer - ChiUnicom European Warrants Sep 2017 A	13,000,000	2016/12/08	2017/09/05	13.91
14915	SG Issuer - Geely Auto European Warrants Jul 2017 A	12,000,000	2016/12/08	2017/07/05	16.08
14886	SG Issuer - Geely Auto European Warrants Dec 2017 A	120,000,000	2016/12/08	2017/12/05	30.00
14916	SG Issuer - PetroChina European Put Warrants Jul 2017 B	60,000,000	2016/12/08	2017/07/05	22.50
14900	UBS AG - CC Bank European Put Warrants Mar 2017 A	60,000,000	2016/12/08	2017/03/29	11.46
14894	UBS AG - CKH European Warrants Mar 2017 B	70,000,000	2016/12/08	2017/03/29	11.34
14898	UBS AG - China Mobile European Put Warrants Jun 2017 A	50,000,000	2016/12/08	2017/06/07	23.30
14899	UBS AG - Haitong Sec European Warrants Aug 2017 A	70,000,000	2016/12/08	2017/08/28	10.50
14897	UBS AG - Tencent Holdings European Put Warrants Jun 2017 B	200,000,000	2016/12/08	2017/06/19	50.20
14945	BOCI Asia Ltd. - China Unicom European Warrants Dec 2017 A	100,000,000	2016/12/09	2017/12/11	15.00
14946	BOCI Asia Ltd. - Haitong Sec European Warrants Sep 2017 A	100,000,000	2016/12/09	2017/09/04	15.50
14983	Credit Suisse AG - AIA European Warrants May 2017 B	70,000,000	2016/12/09	2017/05/29	10.50
14984	Credit Suisse AG - AIA European Warrants Jun 2017 A	70,000,000	2016/12/09	2017/06/08	17.50
14982	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 D	150,000,000	2016/12/09	2017/07/03	22.50
14966	Goldman Sachs SP (Asia) - AIA European Warrants May 2017 B	80,000,000	2016/12/09	2017/05/29	12.00
14938	Goldman Sachs SP (Asia) - CC Bank European Wts Jun 2017 A	80,000,000	2016/12/09	2017/06/09	20.00
14943	Goldman Sachs SP (Asia) - A50 China European Wts Apr 2017 B	80,000,000	2016/12/09	2017/04/26	12.24
14942	Goldman Sachs SP (Asia) - HKEX European Warrants Sep 2017 B	150,000,000	2016/12/09	2017/09/25	22.50
14935	Goldman Sachs SP (Asia) - HSBC European Warrants May 2017 A	80,000,000	2016/12/09	2017/05/15	12.00
14990	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2017 C	200,000,000	2016/12/09	2017/06/29	30.00
14987	Goldman Sachs SP (Asia) - HSI European Put Wts May 2017 B	200,000,000	2016/12/09	2017/05/29	30.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
14934	Goldman Sachs SP (Asia) - SHK Ppt European Wts May 2017 A	40,000,000	2016/12/09	2017/05/29	11.08
14961	Goldman Sachs SP (Asia) - Tencent European Wt Sep 2017 C	300,000,000	2016/12/09	2017/09/22	46.80
14933	Haitong Int'l Sec - BYD European Put Warrants Oct 2017 A	20,000,000	2016/12/09	2017/10/30	10.54
14932	Haitong Int'l Sec - China Railway European Warrants Dec 17 A	100,000,000	2016/12/09	2017/12/27	15.00
14985	J P Morgan SP BV - AIA European Put Warrants Jul 2017 A	100,000,000	2016/12/09	2017/07/03	25.40
14986	J P Morgan SP BV - China Unicom European Warrants Jun 2017 A	80,000,000	2016/12/09	2017/06/08	32.72
14929	Macquarie Bank Ltd. - Ch LongYuan European Wts Jun 2019 A	40,000,000	2016/12/09	2019/06/18	10.00
14931	Macquarie Bank Ltd. - HKEX European Warrants Jul 2017 A	24,000,000	2016/12/09	2017/07/04	10.03
14981	Macquarie Bank Ltd. - Li & Fung European Warrants Dec 2017 B	26,000,000	2016/12/09	2017/12/04	10.09
14974	Macquarie Bank Ltd. - Ping An European Warrants Nov 2017 A	40,000,000	2016/12/09	2017/11/02	10.04
14975	Macquarie Bank Ltd. - Zijin Mining European Wts Dec 2017 A	30,000,000	2016/12/09	2017/12/04	10.08
14953	SG Issuer - AIA European Warrants Jul 2017 A	80,000,000	2016/12/09	2017/07/05	20.00
14956	SG Issuer - Geely Auto European Warrants May 2018 A	120,000,000	2016/12/09	2018/05/04	30.00
14967	SG Issuer - HSI European Warrants Jun 2017 G	150,000,000	2016/12/09	2017/06/29	37.50
14968	SG Issuer - HSI European Warrants Jul 2017 F	150,000,000	2016/12/09	2017/07/28	37.50
14969	SG Issuer - HSI European Warrants Aug 2017 B	150,000,000	2016/12/09	2017/08/30	37.50
14970	SG Issuer - HSI European Warrants Jan 2018 A	150,000,000	2016/12/09	2018/01/30	37.50
14957	SG Issuer - Tencent European Warrants Dec 2017 B	200,000,000	2016/12/09	2017/12/05	50.00
14960	SG Issuer - ZTE European Warrants Dec 2017 A	60,000,000	2016/12/09	2017/12/05	15.00
14996	BOCI Asia Ltd. - AIA European Warrants Jul 2017 A	100,000,000	2016/12/12	2017/07/24	25.00
14999	BOCI Asia Ltd. - CNOOC European Warrants Jul 2017 A	100,000,000	2016/12/12	2017/07/17	47.30
14991	BOCI Asia Ltd. - Sinopec Corp European Warrants Jul 2017 A	100,000,000	2016/12/12	2017/07/31	31.00
14993	BOCI Asia Ltd. - HKEX European Warrants Sep 2017 A	200,000,000	2016/12/12	2017/09/11	30.00
14995	BOCI Asia Ltd. - PetroChina European Warrants Jul 2017 A	100,000,000	2016/12/12	2017/07/03	32.10
14998	BOCI Asia Ltd. - Tencent Holdings European Wts Aug 2017 B	200,000,000	2016/12/12	2017/08/31	30.00
15022	Credit Suisse AG - China Mobile European Warrants May 2017 A	60,000,000	2016/12/12	2017/05/29	15.90
15025	J P Morgan SP BV - HKEX European Warrants Jul 2017 A	200,000,000	2016/12/12	2017/07/31	34.60
15031	J P Morgan SP BV - HKEX European Warrants Sep 2017 A	200,000,000	2016/12/12	2017/09/04	30.20
15010	Macquarie Bank Ltd. - HSBC European Warrants Feb 2018 A	40,000,000	2016/12/12	2018/02/02	10.00
15008	Macquarie Bank Ltd. - PetroChina European Put Warrants Jul17A	25,000,000	2016/12/12	2017/07/04	10.00
15006	Macquarie Bank Ltd. - PWR Assets European Wts Nov 2017 A	40,000,000	2016/12/12	2017/11/02	10.00
15004	SG Issuer - AIA European Put Warrants Jul 2017 B	80,000,000	2016/12/12	2017/07/05	20.00
15011	SG Issuer - BYD European Warrants Aug 2017 A	20,000,000	2016/12/12	2017/08/03	13.60
15013	SG Issuer - CITIC Bank European Warrants Sep 2017 A	35,000,000	2016/12/12	2017/09/05	10.15
15015	SG Issuer - GF Sec European Warrants Jul 2017 A	40,000,000	2016/12/12	2017/07/05	10.00
15016	SG Issuer - HKEX European Warrants Jul 2017 C	200,000,000	2016/12/12	2017/07/05	50.00
15014	SG Issuer - China Vanke European Warrants Aug 2017 A	40,000,000	2016/12/12	2017/08/03	10.00
13049 #	Credit Suisse AG - HSBC European Wts Mar 2017 D	100,000,000	2016/12/12	2017/03/31	15.00
15043	BOCI Asia Ltd. - Ch Comm Cons European Warrants Oct 2017 A	100,000,000	2016/12/13	2017/10/26	15.30
15042	BOCI Asia Ltd. - China Mobile European Warrants Jun 2017 A	100,000,000	2016/12/13	2017/06/19	31.10
15047	BOCI Asia Ltd. - Evergrande European Warrants Aug 2017 A	100,000,000	2016/12/13	2017/08/21	58.70
15044	BOCI Asia Ltd. - China Vanke European Warrants Sep 2017 A	100,000,000	2016/12/13	2017/09/11	25.00
15046	BOCI Asia Ltd. - Zijin Mining European Warrants Sep 2017 A	100,000,000	2016/12/13	2017/09/18	26.30
15059	BNP Paribas Arbit Issu B.V. - AIA European Wts Oct 2017 A	40,000,000	2016/12/13	2017/10/04	10.00

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15050	BNP Paribas Arbit Issu B.V. - CKH European Wts Oct 2017 A	40,000,000	2016/12/13	2017/10/04	11.20
15051	BNP Paribas Arbit Issu B.V. - CKP European Wts Oct 2017 A	40,000,000	2016/12/13	2017/10/04	11.20
15049	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jul 2018 A	80,000,000	2016/12/13	2018/07/03	20.80
15070	Goldman Sachs SP (Asia) - CNOOC European Warrants Jun 2017 A	50,000,000	2016/12/13	2017/06/30	16.85
15075	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jun 2017 A	40,000,000	2016/12/13	2017/06/30	14.36
15072	Goldman Sachs SP (Asia) - Sands China Euro Wts May 2017 A	60,000,000	2016/12/13	2017/05/24	10.32
15079	J P Morgan SP BV - HSBC European Put Warrants Jul 2017 B	100,000,000	2016/12/13	2017/07/03	26.50
15061	Macquarie Bank Ltd. - AIA European Warrants Jul 2017 A	40,000,000	2016/12/13	2017/07/04	10.00
15060	Macquarie Bank Ltd. - China Mobile European Wts Jul 2017 B	20,000,000	2016/12/13	2017/07/04	10.48
15033	Macquarie Bank Ltd. - Tencent European Warrants Jul 2017 D	10,000,000	2016/12/13	2017/07/04	20.95
15040	Macquarie Bank Ltd. - Tencent European Put Wts Jul 2017 E	32,000,000	2016/12/13	2017/07/04	10.08
15064	SG Issuer - Haitong Sec European Warrants Nov 2017 A	60,000,000	2016/12/13	2017/11/03	15.00
15066	UBS AG - CKP European Warrants Apr 2017 A	70,000,000	2016/12/13	2017/04/27	10.57
15065	UBS AG - Jiangxi Copper European Warrants Dec 2017 A	40,000,000	2016/12/13	2017/12/08	10.00
15085	BOCI Asia Ltd. - HSBC European Warrants Jun 2017 B	200,000,000	2016/12/14	2017/06/26	58.80
15089	BOCI Asia Ltd. - HSBC European Warrants Sep 2017 A	200,000,000	2016/12/14	2017/09/27	32.00
15102	BNP Paribas Arbit Issu B.V. - CITIC Sec Euro Wts Jul 2018 A	40,000,000	2016/12/14	2018/07/03	10.80
15103	BNP Paribas Arbit Issu B.V. - China Life Euro Wts Oct 2017 B	70,000,000	2016/12/14	2017/10/04	18.20
15110	BNP Paribas Arbit Issu B.V. - Galaxy Ent Euro Wts Jan 2018 A	40,000,000	2016/12/14	2018/01/02	10.40
15101	BNP Paribas Arbit Issu B.V. - HKEX European Wts Jul 2017 A	40,000,000	2016/12/14	2017/07/04	12.00
15100	BNP Paribas Arbit Issu B.V. - Ping An European Wt Jul 2017 B	50,000,000	2016/12/14	2017/07/04	13.50
15099	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jul 2017 C	30,000,000	2016/12/14	2017/07/04	11.10
15135	Credit Suisse AG - HKEX European Warrants Jul 2017 B	150,000,000	2016/12/14	2017/07/31	22.50
15137	Credit Suisse AG - HSBC European Wts Jun 2017 A	100,000,000	2016/12/14	2017/06/30	25.00
15092	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2017 B	80,000,000	2016/12/14	2017/06/29	20.00
15093	Goldman Sachs SP (Asia) - HSBC European Put Wts Jun 2017 C	80,000,000	2016/12/14	2017/06/28	20.00
15143	J P Morgan SP BV - AIA European Warrants Jul 2017 B	100,000,000	2016/12/14	2017/07/04	25.20
15139	J P Morgan SP BV - HSBC European Warrants Mar 2017 A	100,000,000	2016/12/14	2017/03/24	15.10
15140	J P Morgan SP BV - HSBC European Warrants Sep 2017 A	100,000,000	2016/12/14	2017/09/01	25.00
15098	Macquarie Bank Ltd. - HKEX European Put Warrants Jul 2017 B	15,000,000	2016/12/14	2017/07/04	10.05
15119	Macquarie Bank Ltd. - HSBC European Warrants Dec 2017 A	35,000,000	2016/12/14	2017/12/04	10.40
15113	Macquarie Bank Ltd. - HSBC European Put Warrants Sep 2017 A	40,000,000	2016/12/14	2017/09/04	10.04
15152	SG Issuer - AIA European Warrants Jul 2017 C	80,000,000	2016/12/14	2017/07/05	22.80
15122	SG Issuer - AIA European Warrants Oct 2017 A	80,000,000	2016/12/14	2017/10/06	20.00
15124	SG Issuer - Geely Auto European Warrants Sep 2017 A	10,000,000	2016/12/14	2017/09/05	10.70
15156	SG Issuer - HSBC European Warrants Apr 2017 G	100,000,000	2016/12/14	2017/04/06	16.50
15125	SG Issuer - HSBC European Warrants Jul 2017 B	100,000,000	2016/12/14	2017/07/05	25.00
15130	SG Issuer - HSBC European Warrants Dec 2017 A	100,000,000	2016/12/14	2017/12/05	25.00
15159	SG Issuer - HSBC European Put Warrants Jul 2017 C	100,000,000	2016/12/14	2017/07/05	28.50
15132	SG Issuer - JIANG European Warrants Mar 2018 A	80,000,000	2016/12/14	2018/03/05	20.00
15151	UBS AG - Evergrande European Warrants Jul 2017 A	40,000,000	2016/12/14	2017/07/24	10.20
15150	UBS AG - HKEX European Warrants Jul 2017 A	300,000,000	2016/12/14	2017/07/26	45.90
15144	UBS AG - HSBC European Warrants Jun 2017 B	100,000,000	2016/12/14	2017/06/15	25.00
15146	UBS AG - HSBC European Put Warrants May 2017 B	100,000,000	2016/12/14	2017/05/29	26.70

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15182	Credit Suisse AG - HSBC European Wts Jun 2017 B	100,000,000	2016/12/15	2017/06/23	25.00
15185	Credit Suisse AG - HSBC European Wts Sep 2017 A	100,000,000	2016/12/15	2017/09/05	25.00
15186	Credit Suisse AG - HSBC European Put Warrants Jun 2017 C	50,000,000	2016/12/15	2017/06/30	16.00
15170	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2017 A	60,000,000	2016/12/15	2017/06/30	15.00
15173	Goldman Sachs SP (Asia) - CC Bank European Wts May 2017 A	80,000,000	2016/12/15	2017/05/19	24.72
15169	J P Morgan SP BV - AIA European Warrants Dec 2017 A	100,000,000	2016/12/15	2017/12/29	15.10
15167	J P Morgan SP BV - HSBC European Warrants Nov 2017 A	100,000,000	2016/12/15	2017/11/02	25.00
15180	Macquarie Bank Ltd. - BOCL European Warrants Aug 2017 A	40,000,000	2016/12/15	2017/08/02	10.04
15162	Macquarie Bank Ltd. - China Life European Wts Feb 2018 B	40,000,000	2016/12/15	2018/02/02	10.00
15178	Macquarie Bank Ltd. - China Overseas European Wts Jul 2017 A	16,000,000	2016/12/15	2017/07/04	10.38
15160	Macquarie Bank Ltd. - Geely Auto European Wts Feb 2019 A	40,000,000	2016/12/15	2019/02/04	10.00
15177	Macquarie Bank Ltd. - R&F Ppt European Warrants Apr 2018 A	40,000,000	2016/12/15	2018/04/04	10.16
15161	Macquarie Bank Ltd. - New World Dev European Wts Feb 2018 A	20,000,000	2016/12/15	2018/02/02	10.04
15176	SG Issuer - HSBC European Warrants Apr 2018 A	100,000,000	2016/12/15	2018/04/06	25.00
15188	UBS AG - AIA European Warrants Jun 2017 B	100,000,000	2016/12/15	2017/06/08	16.20
15198	UBS AG - BOCL European Warrants Jul 2017 A	70,000,000	2016/12/15	2017/07/24	10.78
15187	UBS AG - China Mobile European Warrants Jun 2017 B	100,000,000	2016/12/15	2017/06/14	49.10
15191	UBS AG - CNOOC European Warrants Apr 2017 A	50,000,000	2016/12/15	2017/04/25	14.10
15194	UBS AG - CSOP A50 ETF European Warrants Sep 2018 B	300,000,000	2016/12/15	2018/09/21	45.00
15196	UBS AG - ICBC European Warrants Apr 2017 A	70,000,000	2016/12/15	2017/04/25	13.86
15211	BOCI Asia Ltd. - AIA European Put Warrants Aug 2017 A	100,000,000	2016/12/16	2017/08/31	25.10
15212	BOCI Asia Ltd. - China Life European Warrants Aug 2017 B	200,000,000	2016/12/16	2017/08/29	30.60
15206	BOCI Asia Ltd. - Geely Auto European Warrants Aug 2018 A	100,000,000	2016/12/16	2018/08/27	25.00
15208	BOCI Asia Ltd. - Geely Auto European Warrants Dec 2018 A	100,000,000	2016/12/16	2018/12/20	20.00
15203	BOCI Asia Ltd. - HSBC European Warrants Jun 2017 C	200,000,000	2016/12/16	2017/06/19	50.20
15204	BOCI Asia Ltd. - HSBC European Put Warrants Jul 2017 B	100,000,000	2016/12/16	2017/07/10	35.50
15240	Credit Suisse AG - Tencent Holdings European Wts Jul 2017 E	150,000,000	2016/12/16	2017/07/03	22.50
15247	Goldman Sachs SP (Asia) - Lenovo European Wts Jun 2017 A	40,000,000	2016/12/16	2017/06/30	10.00
15214	HK Bank - AIA European Warrants Jun 2017 A	50,000,000	2016/12/16	2017/06/30	12.50
15222	HK Bank - AIA European Put Warrants Jun 2017 B	50,000,000	2016/12/16	2017/06/30	12.50
15242	J P Morgan SP BV - AIA European Warrants Jun 2017 B	100,000,000	2016/12/16	2017/06/30	25.50
15234	Macquarie Bank Ltd. - Chi Res Land European Wts Apr 2018 A	40,000,000	2016/12/16	2018/04/04	10.00
15228	Macquarie Bank Ltd. - Galaxy Ent European Wts Nov 2017 A	40,000,000	2016/12/16	2017/11/02	10.04
15231	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Oct 2017 A	40,000,000	2016/12/16	2017/10/04	10.00
15199	Macquarie Bank Ltd. - HKEX European Warrants Jul 2017 C	11,000,000	2016/12/16	2017/07/04	10.01
15200	Macquarie Bank Ltd. - HKEX European Warrants Jul 2017 D	40,000,000	2016/12/16	2017/07/04	10.44
15224	Macquarie Bank Ltd. - SHK Ppt European Warrants Aug 2017 A	20,000,000	2016/12/16	2017/08/02	10.06
15235	SG Issuer - Ch Mob European Warrants Jul 2017 C	60,000,000	2016/12/16	2017/07/05	21.90
15237	SG Issuer - Kingsoft European Warrants Aug 2017 A	50,000,000	2016/12/16	2017/08/03	13.50
15238	SG Issuer - Sands China European Warrants Jul 2017 B	60,000,000	2016/12/16	2017/07/05	21.30
26744 #	Credit Suisse AG - HKEX European Warrants Jun 2017 B	150,000,000	2016/12/16	2017/06/05	10.35
14378 #	Credit Suisse AG - Tencent Holdings European Wts Jun 2017 C	110,000,000	2016/12/16	2017/06/05	10.45
15260	BNP Paribas Arbit Issu B.V. - CITIC Bank Euro Wts Oct 2017 A	40,000,000	2016/12/19	2017/10/04	10.80
15256	BNP Paribas Arbit Issu B.V. - China Overseas Eu Wt Jan 2018A	40,000,000	2016/12/19	2018/01/02	10.40

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15259	BNP Paribas Arbit Issu B.V. - Lenovo European Wts Oct 2017 A	40,000,000	2016/12/19	2017/10/04	11.60
15261	BNP Paribas Arbit Issu B.V. - Stanchart Euro Wts Oct 2017 A	40,000,000	2016/12/19	2017/10/04	14.00
15258	BNP Paribas Arbit Issu B.V. - Zijin Mining Eu Wts Oct 2017 A	40,000,000	2016/12/19	2017/10/04	10.00
15268	Goldman Sachs SP (Asia) - Tencent European Wt Jun 2017 E	300,000,000	2016/12/19	2017/06/28	45.00
15255	HK Bank - CC Bank European Warrants Jul 2017 B	60,000,000	2016/12/19	2017/07/27	11.40
15253	HK Bank - CC Bank European Warrants Aug 2017 B	50,000,000	2016/12/19	2017/08/31	16.00
15248	SG Issuer - HKEX European Warrants Aug 2017 B	200,000,000	2016/12/19	2017/08/03	50.00
15251	SG Issuer - HKEX European Warrants Feb 2018 A	200,000,000	2016/12/19	2018/02/05	50.00
15263	SG Issuer - Ping An European Warrants May 2017 C	100,000,000	2016/12/19	2017/05/05	29.50
15265	UBS AG - CC Bank European Warrants May 2017 B	70,000,000	2016/12/19	2017/05/29	11.69
15267	UBS AG - HSI European Warrants Jul 2017 A	300,000,000	2016/12/19	2017/07/28	50.10
15264	UBS AG - Tencent Holdings European Put Warrants Oct 2017 B	200,000,000	2016/12/19	2017/10/24	48.20
15280	BOCI Asia Ltd. - HKEX European Warrants Sep 2017 B	200,000,000	2016/12/20	2017/09/04	30.00
15286	BNP Paribas Arbit Issu B.V. - Geely Auto Euro Wts Jun 2018 A	80,000,000	2016/12/20	2018/06/04	16.00
15292	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2017 A	50,000,000	2016/12/20	2017/06/30	13.50
15282	Goldman Sachs SP (Asia) - HKEX European Warrants Jun 2017 B	200,000,000	2016/12/20	2017/06/28	30.00
15291	J P Morgan SP BV - Sinopec Corp European Warrants Aug 2017 A	100,000,000	2016/12/20	2017/08/01	25.10
15288	J P Morgan SP BV - HKEX European Warrants Dec 2017 A	200,000,000	2016/12/20	2017/12/01	30.00
15289	J P Morgan SP BV - PetroChina European Warrants Mar 2017 B	100,000,000	2016/12/20	2017/03/31	15.50
15284	Macquarie Bank Ltd. - PetroChina European Wts Jul 2017 B	40,000,000	2016/12/20	2017/07/04	10.00
15287	SG Issuer - CMob European Put Warrants Aug 2017 A	60,000,000	2016/12/20	2017/08/03	15.00
12347 #	Credit Suisse AG - Tencent Holdings European Wts Sep 2017 B	200,000,000	2016/12/20	2017/09/29	23.80
15308	BNP Paribas Arbit Issu B.V. - CNOOC European Wts Oct 2017 A	30,000,000	2016/12/21	2017/10/04	15.60
15311	BNP Paribas Arbit Issu B.V. - Sinopec Corp Eu Wts Jan 2018 A	40,000,000	2016/12/21	2018/01/03	10.00
15304	BNP Paribas Arbit Issu B.V. - PetroCh Euro Wts Jan 2018 A	40,000,000	2016/12/21	2018/01/03	13.60
15301	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jul 2017 D	80,000,000	2016/12/21	2017/07/04	12.00
15338	Credit Suisse AG - AIA European Warrants Jun 2017 B	70,000,000	2016/12/21	2017/06/30	17.50
15335	Credit Suisse AG - Sinopec Corp European Warrants Jul 2017 A	50,000,000	2016/12/21	2017/07/03	12.50
15337	Credit Suisse AG - PetroChina European Warrants Jun 2017 A	50,000,000	2016/12/21	2017/06/30	12.50
15365	J P Morgan SP BV - Sinopec Corp Eu Put Wt Jul 2017 A	100,000,000	2016/12/21	2017/07/03	28.60
15366	J P Morgan SP BV - HKEX European Warrants Apr 2017 A	200,000,000	2016/12/21	2017/04/05	30.00
15361	J P Morgan SP BV - PetroChina European Warrants Jul 2017 A	100,000,000	2016/12/21	2017/07/03	25.20
15364	J P Morgan SP BV - PetroChina European Put Warrants Jul 17 B	100,000,000	2016/12/21	2017/07/03	25.40
15325	Macquarie Bank Ltd. - CNOOC European Put Warrants Dec 2017 A	10,000,000	2016/12/21	2017/12/04	10.03
15331	Macquarie Bank Ltd. - Sinopec Corp European Wts Jun 2017 B	30,000,000	2016/12/21	2017/06/02	10.32
15329	Macquarie Bank Ltd. - ICBC European Warrants Aug 2017 B	35,000,000	2016/12/21	2017/08/02	10.26
15323	Macquarie Bank Ltd. - PetroChina European Wts Oct 2017 A	32,000,000	2016/12/21	2017/10/04	10.02
15296	Macquarie Bank Ltd. - Sunny Optical European Warrants Sep17A	16,000,000	2016/12/21	2017/09/04	10.00
15312	SG Issuer - Minsheng Bank European Warrants Aug 2017 A	15,000,000	2016/12/21	2017/08/03	12.00
15318	SG Issuer - CNOOC European Put Warrants Jul 2017 B	20,000,000	2016/12/21	2017/07/05	12.60
15320	SG Issuer - CP&CC European Warrants Aug 2017 A	60,000,000	2016/12/21	2017/08/03	11.10
15316	SG Issuer - China Tel European Warrants Sep 2017 A	26,000,000	2016/12/21	2017/09/05	10.01
15319	SG Issuer - PetroChina European Warrants Jul 2017 C	60,000,000	2016/12/21	2017/07/05	15.00
15321	SG Issuer - Wharf European Warrants Jul 2017 A	26,000,000	2016/12/21	2017/07/05	10.01

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15356	UBS AG - A50 China European Warrants May 2017 A	70,000,000	2016/12/21	2017/05/26	11.06
15351	UBS AG - A50 China European Warrants Dec 2017 A	300,000,000	2016/12/21	2017/12/29	67.20
15348	UBS AG - Galaxy Ent European Warrants May 2017 C	70,000,000	2016/12/21	2017/05/02	14.84
10435	UBS AG - Nikkei 225 European Warrants Sep 2017 A	100,000,000	2016/12/21	2017/09/08	25.00
10436	UBS AG - Nikkei 225 European Warrants Sep 2017 B	100,000,000	2016/12/21	2017/09/08	25.10
10438	UBS AG - Nikkei 225 European Warrants Sep 2017 C	100,000,000	2016/12/21	2017/09/08	25.00
10437	UBS AG - Nikkei 225 European Warrants Dec 2017 A	100,000,000	2016/12/21	2017/12/08	25.00
10440	UBS AG - Nikkei 225 European Put Warrants Sep 2017 D	100,000,000	2016/12/21	2017/09/08	16.50
10441	UBS AG - Nikkei 225 European Put Warrants Sep 2017 E	100,000,000	2016/12/21	2017/09/08	25.00
10439	UBS AG - Nikkei 225 European Put Warrants Dec 2017 B	100,000,000	2016/12/21	2017/12/08	15.10
15357	UBS AG - Tencent Holdings European Warrants Oct 2017 C	300,000,000	2016/12/21	2017/10/13	45.00
15346	UBS AG - Wynn Macau European Warrants Apr 2017 A	70,000,000	2016/12/21	2017/04/25	11.06
15422	BNP Paribas Arbit Issu B.V. - AIA European Wts Oct 2017 B	40,000,000	2016/12/22	2017/10/04	10.40
15425	BNP Paribas Arbit Issu B.V. - Ch Mobile Euro Wts Aug 2017 A	40,000,000	2016/12/22	2017/08/02	14.40
15424	BNP Paribas Arbit Issu B.V. - HSBC European Wts Oct 2017 A	50,000,000	2016/12/22	2017/10/04	20.00
15371	BNP Paribas Arbit Issu B.V. - HSI European Wts Aug 2017 C	80,000,000	2016/12/22	2017/08/30	20.00
15374	BNP Paribas Arbit Issu B.V. - HSI Euro Put Wts Oct 2017 B	80,000,000	2016/12/22	2017/10/30	20.00
15423	BNP Paribas Arbit Issu B.V. - Tencent Hldgs Eu Wt Jan 2018 B	80,000,000	2016/12/22	2018/01/03	24.80
15426	Credit Suisse AG - CKP European Warrants Jun 2017 A	40,000,000	2016/12/22	2017/06/30	11.40
15370	Goldman Sachs SP (Asia) - Sinopec Corp European Wts May 2017 A	50,000,000	2016/12/22	2017/05/29	10.85
15458	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2017 D	200,000,000	2016/12/22	2017/06/29	30.00
15404	HK Bank - Geely Auto European Warrants Jun 2017 A	30,000,000	2016/12/22	2017/06/30	24.00
15408	HK Bank - HKEX European Warrants Jun 2017 B	100,000,000	2016/12/22	2017/06/28	15.00
15405	HK Bank - PetroChina European Warrants Jun 2017 B	50,000,000	2016/12/22	2017/06/30	15.00
15407	HK Bank - Tencent European Warrants Jun 2017 B	150,000,000	2016/12/22	2017/06/30	22.50
15397	J P Morgan SP BV - China Life European Warrants Apr 2017 D	100,000,000	2016/12/22	2017/04/03	15.10
15402	J P Morgan SP BV - HSI European Warrants Mar 2017 F	200,000,000	2016/12/22	2017/03/30	30.20
15451	J P Morgan SP BV - HSI European Warrants Aug 2017 A	400,000,000	2016/12/22	2017/08/30	60.00
15447	J P Morgan SP BV - Lenovo European Warrants Jun 2017 A	80,000,000	2016/12/22	2017/06/01	21.52
15455	J P Morgan SP BV - Link REIT European Warrants Jun 2017 A	100,000,000	2016/12/22	2017/06/01	24.00
15403	Macquarie Bank Ltd. - PCCW European Warrants Dec 2017 A	22,000,000	2016/12/22	2017/12/04	10.01
15436	SG Issuer - CAM CSI300 European Warrants Jul 2017 B	60,000,000	2016/12/22	2017/07/05	15.00
15428	SG Issuer - BOCHK European Warrants Sep 2017 A	40,000,000	2016/12/22	2017/09/05	10.00
15431	SG Issuer - CCB European Warrants Aug 2017 B	60,000,000	2016/12/22	2017/08/03	20.40
15443	SG Issuer - CSOP A50 ETF European Warrants Jul 2017 A	30,000,000	2016/12/22	2017/07/05	13.65
15444	SG Issuer - A50 China European Warrants Jul 2017 B	30,000,000	2016/12/22	2017/07/05	22.50
15445	SG Issuer - HKEX European Put Warrants Aug 2017 C	200,000,000	2016/12/22	2017/08/03	50.00
15390	SG Issuer - ICBC European Warrants Aug 2017 A	60,000,000	2016/12/22	2017/08/03	15.00
15391	SG Issuer - NewWorld Dev Eu Wt Aug 2017 A	13,000,000	2016/12/22	2017/08/03	10.01
15394	SG Issuer - SHK P European Warrants Aug 2017 A	20,000,000	2016/12/22	2017/08/03	14.20
15409	UBS AG - AIA European Warrants Jun 2017 C	100,000,000	2016/12/22	2017/06/21	25.50
15414	UBS AG - Geely Auto European Warrants Jul 2018 A	70,000,000	2016/12/22	2018/07/23	13.65
15389	UBS AG - HKEX European Warrants Apr 2017 A	300,000,000	2016/12/22	2017/04/18	45.30
15388	UBS AG - HSCEI European Warrants Apr 2017 A	100,000,000	2016/12/22	2017/04/27	15.90

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15387	UBS AG - HSI European Warrants Mar 2017 G	300,000,000	2016/12/22	2017/03/30	112.20
15386	UBS AG - HSI European Warrants Jun 2017 B	300,000,000	2016/12/22	2017/06/29	77.40
15420	UBS AG - ICBC European Warrants Jun 2017 A	100,000,000	2016/12/22	2017/06/21	31.70
15418	UBS AG - PetroChina European Warrants Jun 2017 A	40,000,000	2016/12/22	2017/06/21	12.32
15381	UBS AG - Tencent Holdings European Warrants Aug 2017 A	300,000,000	2016/12/22	2017/08/29	45.30
10446	BNP Paribas Arbit Issu B.V. - EUR/USD Euro Put Wt Jun 2017 B	50,000,000	2016/12/23	2017/06/23	12.50
10447	BNP Paribas Arbit Issu B.V. - GBP/USD European Put Wt Sep17A	50,000,000	2016/12/23	2017/09/22	12.50
10442	BNP Paribas Arbit Issu B.V. - Gold European Wts Sep 2017 A	50,000,000	2016/12/23	2017/09/22	12.50
10443	BNP Paribas Arbit Issu B.V. - Gold Euro Put Wts Sep 2017 B	50,000,000	2016/12/23	2017/09/22	12.50
10444	BNP Paribas Arbit Issu B.V. - USD/YEN Euro Wts Sep 2017 A	50,000,000	2016/12/23	2017/09/22	12.50
10445	BNP Paribas Arbit Issu B.V. - USD/YEN Eur Put Wts Sep 2017 B	50,000,000	2016/12/23	2017/09/22	12.50
15482	Credit Suisse AG - Ping An European Warrants Jul 2017 B	70,000,000	2016/12/23	2017/07/04	17.50
15493	Goldman Sachs SP (Asia) - BOCL European Warrants Jul 2017 A	80,000,000	2016/12/23	2017/07/27	12.00
15489	Goldman Sachs SP (Asia) - CC Bank European Wts Jul 2017 A	80,000,000	2016/12/23	2017/07/28	20.80
15495	Goldman Sachs SP (Asia) - HSI European Put Wts Jun 2017 E	200,000,000	2016/12/23	2017/06/29	32.20
15494	Goldman Sachs Sp (Asia) - ICBC European Warrants Jul 2017 B	80,000,000	2016/12/23	2017/07/28	20.00
15491	Goldman Sachs SP (Asia) - Ping An European Wts May 2017 A	100,000,000	2016/12/23	2017/05/29	15.00
15468	Haitong Int'l Sec - CC Bank European Warrants Sep 2017 B	40,000,000	2016/12/23	2017/09/05	10.00
15465	Haitong Int'l Sec - China Life European Warrants Dec 2017 A	70,000,000	2016/12/23	2017/12/05	10.50
15467	Haitong Int'l Sec - China Mobile European Warrants Jun 2017C	40,000,000	2016/12/23	2017/06/29	13.20
15464	Haitong Int'l Sec - HSBC European Warrants Jun 2017 B	40,000,000	2016/12/23	2017/06/29	10.40
15466	Haitong Int'l Sec - Ping An European Warrants Aug 2017 A	40,000,000	2016/12/23	2017/08/02	10.00
15460	Macquarie Bank Ltd. - CKH European Warrants Jul 2017 B	24,000,000	2016/12/23	2017/07/04	10.01
15459	Macquarie Bank Ltd. - China Life European Wts Jul 2017 A	40,000,000	2016/12/23	2017/07/04	10.00
15481	Macquarie Bank Ltd. - Ping An European Warrants Jul 2017 B	25,000,000	2016/12/23	2017/07/04	10.10
15475	SG Issuer - Anhui Conch European Warrants Jul 2017 A	40,000,000	2016/12/23	2017/07/05	10.00
15472	SG Issuer - CHALCO European Warrants Aug 2017 A	20,000,000	2016/12/23	2017/08/03	11.00
15461	SG Issuer - CNBM European Warrants Aug 2017 A	22,000,000	2016/12/23	2017/08/03	10.12
15462	SG Issuer - Evergrande Eu Wt Oct 2017 A	18,000,000	2016/12/23	2017/10/06	10.62
15478	SG Issuer - HSCEI European Warrants Sep 2017 B	150,000,000	2016/12/23	2017/09/28	37.50
15477	SG Issuer - HTSC European Warrants Jun 2017 A	60,000,000	2016/12/23	2017/06/21	10.20
15463	SG Issuer - Li & Fung European Warrants Oct 2017 A	26,000,000	2016/12/23	2017/10/06	10.79
13551 #	Credit Suisse AG - China Life European Warrants Jun 2017 A	120,000,000	2016/12/23	2017/06/02	10.20
15496	HK Bank - China Vanke European Warrants Jul 2017 A	50,000,000	2016/12/28	2017/07/31	12.50
15554	J P Morgan SP BV - HKEX European Warrants Jun 2017 B	200,000,000	2016/12/28	2017/06/30	30.00
15552	J P Morgan SP BV - HSI European Warrants Jan 2018 A	400,000,000	2016/12/28	2018/01/30	60.40
15516	Macquarie Bank Ltd. - CGN Power European Warrants Oct 2017 A	40,000,000	2016/12/28	2017/10/09	10.00
15513	Macquarie Bank Ltd. - CNBM European Warrants Sep 2017 A	33,000,000	2016/12/28	2017/09/04	10.03
15512	Macquarie Bank Ltd. - Geely Auto European Wts Jul 2017 B	12,000,000	2016/12/28	2017/07/04	10.82
15535	SG Issuer - BOCOM European Warrants Aug 2017 A	22,000,000	2016/12/28	2017/08/03	10.01
15550	SG Issuer - CKH European Warrants Aug 2017 A	30,000,000	2016/12/28	2017/08/03	18.00
15542	SG Issuer - CKP European Warrants Jul 2017 B	30,000,000	2016/12/28	2017/07/05	10.05
15502	SG Issuer - CNOOC European Warrants Jul 2017 C	26,000,000	2016/12/28	2017/07/05	10.14
15497	SG Issuer - China Overseas European Warrants Sep 2017 A	50,000,000	2016/12/28	2017/09/05	12.50
15543	SG Issuer - China Overseas European Warrants Jan 2018 A	65,000,000	2016/12/28	2018/01/04	10.08

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬港元)
		發行單位	上市日期	到期日	
15545	SG Issuer - China Overseas European Put Warrants Aug 2017 C	50,000,000	2016/12/28	2017/08/03	12.50
15501	SG Issuer - China Res Land European Warrants Sep 2017 A	40,000,000	2016/12/28	2017/09/05	10.00
15504	SG Issuer - KECL European Warrants Oct 2017 A	22,000,000	2016/12/28	2017/10/06	10.01
15506	SG Issuer - MTRC European Warrants Oct 2017 A	30,000,000	2016/12/28	2017/10/06	10.05
15507	SG Issuer - Petch European Warrants Aug 2017 A	60,000,000	2016/12/28	2017/08/03	23.70
15546	SG Issuer - China Vanke European Warrants Oct 2017 A	40,000,000	2016/12/28	2017/10/06	11.00
15551	SG Issuer - Zijin Mining European Warrants Aug 2017 A	35,000,000	2016/12/28	2017/08/03	10.15
15518	UBS AG - China Mobile European Put Warrants Jun 2017 C	50,000,000	2016/12/28	2017/06/23	17.15
15533	UBS AG - Galaxy Ent European Put Warrants May 2017 D	70,000,000	2016/12/28	2017/05/26	11.13
15524	UBS AG - HKEX European Put Warrants Sep 2017 B	100,000,000	2016/12/28	2017/09/26	15.00
15517	UBS AG - HSBC European Put Warrants Jul 2017 A	100,000,000	2016/12/28	2017/07/31	25.20
15532	UBS AG - Sands China European Put Warrants Jul 2017 B	70,000,000	2016/12/28	2017/07/07	19.04
15534	UBS AG - China Vanke European Warrants Aug 2017 A	50,000,000	2016/12/28	2017/08/01	12.50
29536 #	Credit Suisse AG - ChiUnicom European Warrants Nov 2017 A	100,000,000	2016/12/28	2017/11/28	10.30
15605	BOCI Asia Ltd. - CC Bank European Warrants Jul 2017 A	100,000,000	2016/12/29	2017/07/24	32.30
15601	BOCI Asia Ltd. - China Mobile European Warrants Jul 2017 A	200,000,000	2016/12/29	2017/07/10	78.80
15603	BOCI Asia Ltd. - China Mobile European Put Wts Jul 2017 B	100,000,000	2016/12/29	2017/07/03	34.50
15607	BOCI Asia Ltd. - HKEX European Warrants Jul 2017 C	200,000,000	2016/12/29	2017/07/10	30.00
15609	BOCI Asia Ltd. - HKEX European Put Warrants Oct 2017 A	200,000,000	2016/12/29	2017/10/03	30.00
15621	Goldman Sachs SP (Asia) - China Life Euro Wts Oct 2017 A	100,000,000	2016/12/29	2017/10/26	15.00
15646	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2017 B	60,000,000	2016/12/29	2017/06/29	27.24
15638	HK Bank - China Life European Warrants Oct 2017 B	100,000,000	2016/12/29	2017/10/30	15.00
15634	HK Bank - HKEX European Warrants Jun 2017 C	100,000,000	2016/12/29	2017/06/27	15.00
15636	HK Bank - HSI European Warrants Jun 2017 E	150,000,000	2016/12/29	2017/06/29	24.00
15637	HK Bank - HSI European Put Warrants Jun 2017 F	100,000,000	2016/12/29	2017/06/29	25.00
15571	Haitong Int'l Sec - AIA European Warrants Jun 2017 A	50,000,000	2016/12/29	2017/06/23	10.50
15563	Haitong Int'l Sec - Galaxy Ent European Warrants May 2017 B	50,000,000	2016/12/29	2017/05/25	10.00
15556	Haitong Int'l Sec - HSI European Warrants Jul 2017 A	100,000,000	2016/12/29	2017/07/28	15.00
15560	Haitong Int'l Sec - HSI European Put Warrants Jul 2017 B	100,000,000	2016/12/29	2017/07/28	18.50
15573	Haitong Int'l Sec - China Vanke European Warrants Sep 2017 A	40,000,000	2016/12/29	2017/09/29	10.00
15750	J P Morgan SP BV - China Life European Warrants May 2017 B	80,000,000	2016/12/29	2017/05/02	31.68
15710	J P Morgan SP BV - Ch Overseas European Warrants Nov 2017 A	100,000,000	2016/12/29	2017/11/30	25.00
15758	J P Morgan SP BV - Cathay Pacific European Wts Dec 2018 A	80,000,000	2016/12/29	2018/12/31	20.00
15757	J P Morgan SP BV - CSOP A50 ETF European Warrants May 2017 A	100,000,000	2016/12/29	2017/05/04	15.50
15754	J P Morgan SP BV - A50 China European Warrants May 2017 B	100,000,000	2016/12/29	2017/05/02	25.30
15746	J P Morgan SP BV - HSBC European Warrants Jun 2017 A	100,000,000	2016/12/29	2017/06/12	15.00
15711	J P Morgan SP BV - ICBC European Warrants Jul 2017 B	100,000,000	2016/12/29	2017/07/31	15.20
15747	J P Morgan SP BV - Ping An European Warrants Aug 2017 A	100,000,000	2016/12/29	2017/08/01	15.50
15632	Macquarie Bank Ltd. - CITIC Bank European Wts Oct 2017 A	40,000,000	2016/12/29	2017/10/04	10.00
15616	Macquarie Bank Ltd. - HSBC European Warrants Sep 2017 B	40,000,000	2016/12/29	2017/09/04	10.00
15628	Macquarie Bank Ltd. - CRRC Times European Warrants Dec 2017B	20,000,000	2016/12/29	2017/12/04	10.00
15682	SG Issuer - AIA European Put Warrants Sep 2017 A	80,000,000	2016/12/29	2017/09/05	20.00
15686	SG Issuer - CCCCL European Warrants Nov 2017 A	100,000,000	2016/12/29	2017/11/03	15.00
15688	SG Issuer - Minsheng Bank European Warrants Sep 2017 A	12,000,000	2016/12/29	2017/09/05	11.28
15690	SG Issuer - CRRC European Warrants Sep 2017 A	12,000,000	2016/12/29	2017/09/05	10.92

主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 (百萬元)
		發行單位	上市日期	到期日	
15705	SG Issuer - CSOP A50 ETF European Warrants Aug 2017 A	20,000,000	2016/12/29	2017/08/03	13.80
15615	SG Issuer - Evergrande Eu Wt Jan 2018 A	20,000,000	2016/12/29	2018/01/04	10.60
15668	SG Issuer - HSI European Warrants Jun 2017 H	150,000,000	2016/12/29	2017/06/29	37.50
15670	SG Issuer - HSI European Warrants Aug 2017 C	150,000,000	2016/12/29	2017/08/30	37.50
15672	SG Issuer - HSI European Warrants Oct 2017 A	150,000,000	2016/12/29	2017/10/30	37.50
15578	SG Issuer - HSI European Warrants Dec 2017 B	150,000,000	2016/12/29	2017/12/28	37.50
15673	SG Issuer - HSI European Warrants Mar 2018 A	150,000,000	2016/12/29	2018/03/28	37.50
15590	SG Issuer - HSI European Warrants Jul 2018 A	150,000,000	2016/12/29	2018/07/30	37.50
15675	SG Issuer - HSI European Warrants Oct 2018 A	150,000,000	2016/12/29	2018/10/30	37.50
15676	SG Issuer - HSI European Warrants Dec 2018 A	150,000,000	2016/12/29	2018/12/28	37.50
15600	SG Issuer - HSI European Put Warrants Sep 2017 B	150,000,000	2016/12/29	2017/09/28	37.50
15594	SG Issuer - HSI European Put Warrants Feb 2018 A	150,000,000	2016/12/29	2018/02/27	37.50
15591	SG Issuer - HSI European Put Warrants May 2018 A	150,000,000	2016/12/29	2018/05/30	37.50
15680	SG Issuer - HSI European Put Warrants Jun 2018 A	150,000,000	2016/12/29	2018/06/28	37.50
15679	SG Issuer - HSI European Put Warrants Sep 2018 A	150,000,000	2016/12/29	2018/09/27	37.50
15693	SG Issuer - Lenovo European Warrants Sep 2017 A	32,000,000	2016/12/29	2017/09/05	10.08
15697	SG Issuer - Shimao Ppt Eu Wt Jul 2018 A	80,000,000	2016/12/29	2018/07/05	20.00
15701	SG Issuer - Stanchart European Warrants Aug 2017 A	15,000,000	2016/12/29	2017/08/03	10.65
15611	SG Issuer - Tencent European Put Warrants Aug 2017 C	200,000,000	2016/12/29	2017/08/03	50.00
15707	SG Issuer - Tracker Fund European Warrants Mar 2018 A	100,000,000	2016/12/29	2018/03/05	25.00
15665	UBS AG - Minsheng Bank European Warrants Jul 2017 A	40,000,000	2016/12/29	2017/07/03	10.00
15655	UBS AG - Geely Auto European Warrants Mar 2017 B	20,000,000	2016/12/29	2017/03/29	12.82
15666	UBS AG - Lenovo European Warrants May 2017 A	50,000,000	2016/12/29	2017/05/25	11.50
15667	UBS AG - Tencent Holdings European Warrants Jun 2017 C	300,000,000	2016/12/29	2017/06/29	75.00
15787	BNP Paribas Arbit Issu B.V. - CITIC Euro Warrants Oct 2017 A	25,000,000	2016/12/30	2017/10/04	12.50
15771	BNP Paribas Arbit Issu B.V. - Esprit European Wts Oct 2017 A	20,000,000	2016/12/30	2017/10/04	10.00
15780	BNP Paribas Arbit Issu B.V. - HK Gas European Wts Jan 2018 A	30,000,000	2016/12/30	2018/01/03	10.50
15781	BNP Paribas Arbit Issu B.V. - Hang Lung Ppt Eu Wt Oct 2017 A	25,000,000	2016/12/30	2017/10/04	15.50
15774	BNP Paribas Arbit Issu B.V. - KECL European Wts Oct 2017 A	40,000,000	2016/12/30	2017/10/04	11.60
15790	BNP Paribas Arbit Issu B.V. - Sunny Optical Eu Wt Oct 2017 A	40,000,000	2016/12/30	2017/10/04	18.80
15782	BNP Paribas Arbit Issu B.V. - Want Want Ch Eu Wts Oct 2017 A	40,000,000	2016/12/30	2017/10/04	10.00
15795	Credit Suisse AG - Ping An European Warrants May 2017 A	70,000,000	2016/12/30	2017/05/04	17.50
15759	Goldman Sachs SP (Asia) - HKEX European Put Wts Sep 2017 C	150,000,000	2016/12/30	2017/09/25	22.50
15806	J P Morgan SP BV - Minsheng Bank European Wts Jul 2017 A	60,000,000	2016/12/30	2017/07/03	26.70
15804	J P Morgan SP BV - HKT-SS European Warrants Dec 2018 A	60,000,000	2016/12/30	2018/12/31	15.00
15797	J P Morgan SP BV - HSI European Put Warrants Jun 2017 E	400,000,000	2016/12/30	2017/06/29	100.00
15808	J P Morgan SP BV - PICC Group European Warrants Jun 2017 A	60,000,000	2016/12/30	2017/06/02	10.32
15818	J P Morgan SP BV - SMIC European Wts Dec 2017 A	60,000,000	2016/12/30	2017/12/29	15.06
15798	J P Morgan SP BV - SPDR Gold Trust European Wts Jul 2017 A	25,000,000	2016/12/30	2017/07/03	10.08
15760	Macquarie Bank Ltd. - Skyworth Digital European Wts Sep 2017 A	10,000,000	2016/12/30	2017/09/18	10.00
15796	UBS AG - Sinopec Corp European Warrants May 2017 A	50,000,000	2016/12/30	2017/05/26	10.35
26272 #	Credit Suisse AG - Greatwall Motor European Wts Dec 2017 A	100,000,000	2016/12/30	2017/12/29	15.90
總額					23,813.28

進一步發行

主 板 債 務 證 券

債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2015	第4季	–	1,147.96
2016	第1季	–	2,120.63
	第2季	–	6,070.66
	第3季	3.00	6,333.92
	第4季	3.00	6,753.04

債券面值

		發行數目	面值(百萬港元)
2015	第4季	762	2,741,871.80
2016	第1季	769	2,777,917.26
	第2季	797	2,923,871.68
	第3季	841	3,089,402.31
	第4季	892	3,258,654.54

新上市統計－債券

		新上市債券數目	集資金額(百萬港元)
2015	第4季	43	115,190.75
2016	第1季	26	89,996.61
	第2季	59	253,671.85
	第3季	51	191,245.48
	第4季	75	239,694.08

主 板 債 務 證 券

新上市債券

2016年第4季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
04550	Bluestar Finance Holdings Ltd. 3.125%有擔保優先票據2019年	USD 500,000,000	2016/10/03	2019/09/30	3,895.01
04556	Bluestar Finance Holdings Ltd. 3.50%有擔保優先票據2021年	USD 600,000,000	2016/10/03	2021/09/30	4,661.93
04564	China Construction Bank Corp, HK Branch 1.75%定息票據2019年	USD 600,000,000	2016/10/03	2019/09/30	4,676.86
04561	NWD Finance (BVI) Ltd. 擔保優先永久證券	USD 1,200,000,000	2016/10/06	-	9,360.00
04225 #	中華人民共和國香港特別行政區 1.06%債券2020年	HKD 4,000,000,000	2016/10/07	2020/02/05	4,056.40
04577	TVB Finance Ltd. 3.625%有擔保票據2021年	USD 500,000,000	2016/10/12	2021/10/11	3,900.00
04588	濟南西城投資開發集團有限公司 3.125%債券2021年	USD 300,000,000	2016/10/12	2021/10/11	2,318.61
04594	Ch CITIC Bank 4.25%無期限非累積次級額外一級資本債券A	USD 500,000,000	2016/10/12	-	3,900.00
04575	Bestgain Real Estate Lyra Ltd. 2.95%有擔保票據2021年	USD 220,000,000	2016/10/17	2021/10/14	1,704.16
05709	Treasure Generator Ltd. 4.75%有擔保票據2021年	USD 200,000,000	2016/10/17	2021/10/14	1,542.93
05602 #	天譽置業(控股)有限公司 0.10%債券2032年	HKD 40,000,000	2016/10/19	2032/11/14	-
05710	株洲市城市建設發展集團有限公司 2.98%債券2019年	USD 300,000,000	2016/10/20	2019/10/19	2,324.53
05735	啟迪科華有限公司 4.30%有擔保債券2019年	USD 500,000,000	2016/10/20	2019/10/19	3,885.92
05711	邦傑環球有限公司 2.25%定息票據2021年	USD 700,000,000	2016/10/21	2021/10/20	5,435.16
05557 #	泛海控股國際2015有限公司 9.625%有擔保優先票據2020年	USD 200,000,000	2016/10/25	2020/08/11	1,677.44
05622 #	AMTD Group Co. Ltd. 5.00%票據2019年	USD 50,000,000	2016/10/25	2019/03/21	390.00
05753	海通國際證券集團有限公司 零息可換股債券2021年	HKD 3,880,000,000	2016/10/26	2021/10/25	3,880.00
05784	淮安市交通控股有限公司 4.95%債券2019年	USD 300,000,000	2016/10/26	2019/10/25	2,340.00
05830	禹洲地產股份有限公司 6.00%優先票據2023年	USD 250,000,000	2016/10/26	2023/10/25	1,950.00
05934	China Great Wall Int'l Hldgs III Ltd. 2.25%有擔保票據2019年	USD 700,000,000	2016/10/28	2019/10/27	5,450.06
05995	China Great Wall Int'l Hldgs III Ltd. 2.625%有擔保票據2021年	USD 800,000,000	2016/10/28	2021/10/27	6,224.65
05602 #	天譽置業(控股)有限公司 0.10%債券2032年	HKD 40,000,000	2016/10/31	2032/11/14	-
05703	Azure Nova International Finance Ltd. 2.25%有擔保票據2019年	USD 500,000,000	2016/11/02	2019/11/01	3,896.30
05731	Azure Nova International Finance Ltd. 2.625%有擔保票據2021年	USD 1,000,000,000	2016/11/02	2021/11/01	7,767.71
05881	MTR Corporation (C.I.) Ltd. 2.50%定息票據2026年	USD 600,000,000	2016/11/03	2026/11/02	4,664.79
05864	China Development Bank Corporation HK Branch 0.125%票據2019年	EUR 500,000,000	2016/11/04	2019/11/03	4,294.87
05865	China Development Bank Corporation HK Branch 1.875%票據2021年	USD 350,000,000	2016/11/04	2021/11/03	2,707.40
05902	東亞銀行有限公司 4.00%二級資本有期限次級票據2026年	USD 500,000,000	2016/11/04	2026/11/03	3,893.68
05921	廣西交通投資集團有限公司 3.00%票據2019年	USD 300,000,000	2016/11/04	2019/11/04	2,335.46
05927	耀河國際有限公司 3.375%有擔保債券2021年	USD 500,000,000	2016/11/04	2021/11/03	3,860.34
04209 #	中華人民共和國香港特別行政區政府 2.46%債券2021年	HKD 2,500,000,000	2016/11/04	2021/08/04	2,686.25
05920	Chalco HK Investment Co. Ltd. 4.25%優先永久債券	USD 500,000,000	2016/11/08	-	3,900.00
05939	武漢地鐵集團有限公司 2.375%票據2019年	USD 290,000,000	2016/11/09	2019/11/08	2,256.80
05956	江蘇中關村控股集團(國際)有限公司 5.70%有擔保債券2019年	USD 220,000,000	2016/11/09	2019/11/08	1,704.37

主 板 債 務 證 券

股份代號	債券	發行本金		上市日期	到期日	集資金額 (百萬港元)
05300	Ch Yangtze Power Int'l (BVI) 1 零息可換股票據 2021 年	USD	300,000,000	2016/11/10	2021/11/09	2,340.00
05301	Ch Yangtze Power Int'l (BVI) 2 零息可換股票據 2021 年	EUR	200,000,000	2016/11/10	2021/11/09	1,712.26
05602 #	天譽置業(控股)有限公司 0.10% 債券 2032 年	HKD	40,000,000	2016/11/10	2032/11/14	-
05302	Suqian Economic Development (BVI) Co. 5.375% 有擔保債券 2019 年	USD	150,000,000	2016/11/11	2019/11/10	1,166.01
85725	CNI Capital Ltd. 4.30% 有擔保人民幣債券 2019 年	RMB	1,500,000,000	2016/11/14	2019/11/11	1,710.60
05602 #	天譽置業(控股)有限公司 0.10% 債券 2032 年	HKD	70,000,000	2016/11/18	2032/11/14	-
05626 #	天譽置業(控股)有限公司 0.10% 債券 2025 年	HKD	10,000,000	2016/11/18	2025/09/12	-
05680 #	Hanrui Overseas Investment Co., Ltd. 4.90% 有擔保債券 2019 年	USD	190,000,000	2016/11/18	2019/06/28	1,467.61
05304	甘肅省公路航空旅遊投資集團有限公司 3.00% 債券 2019 年	USD	500,000,000	2016/11/21	2019/11/18	3,888.81
04066	香港金融管理局 0.55% 外匯基金票據 2018 年	HKD	1,200,000,000	2016/11/22	2018/11/21	1,194.48
05305	東方資本有限公司 5.15% 有擔保債券 2019 年	USD	300,000,000	2016/11/23	2019/11/22	2,324.00
05306	Huarong Finance II Co., Ltd. 2.875% 有擔保票據 2019 年	USD	1,000,000,000	2016/11/23	2019/11/22	7,777.30
05307	Huarong Finance II Co., Ltd. 3.625% 有擔保票據 2021 年	USD	1,350,000,000	2016/11/23	2021/11/22	10,508.52
05308	Huarong Finance II Co., Ltd. 4.875% 有擔保票據 2026 年	USD	650,000,000	2016/11/23	2026/11/22	5,048.96
05303	山東海運環球金融有限公司優先擔保永續資本證券	USD	120,000,000	2016/11/25	-	921.89
05310	天譽置業(控股)有限公司 0.10% 債券 2026 年	HKD	10,000,000	2016/11/25	2026/09/12	-
05311	天譽置業(控股)有限公司 0.10% 債券 2033 年	HKD	10,000,000	2016/11/25	2033/11/14	-
05309	哲源國際有限公司 5.95% 有擔保債券 2018 年	USD	250,000,000	2016/11/28	2018/11/25	1,950.00
05310 #	天譽置業(控股)有限公司 0.10% 債券 2026 年	HKD	10,000,000	2016/11/29	2026/09/12	-
05311 #	天譽置業(控股)有限公司 0.10% 債券 2033 年	HKD	30,000,000	2016/11/29	2033/11/14	-
05312	CMBLEMTN 1 Ltd. 2.625% 有擔保票據 2019 年	USD	300,000,000	2016/11/30	2019/11/29	2,332.44
05313	CMBLEMTN 1 Ltd. 3.25% 有擔保票據 2021 年	USD	900,000,000	2016/11/30	2021/11/29	7,006.81
05314	成都興城投資集團有限公司 3.25% 債券 2021 年	USD	300,000,000	2016/11/30	2021/11/29	2,296.22
05316	大新銀行有限公司二級資本有限期限次級票據 2026 年	USD	250,000,000	2016/12/01	2026/11/30	1,943.66
05319	鎮江文化旅遊產業集團有限責任公司 4.95% 債券 2019 年	USD	230,000,000	2016/12/02	2019/12/01	1,776.80
05321	Chalieco HK Corp Ltd. 5.70% 優先擔保永久證券	USD	350,000,000	2016/12/02	-	2,730.00
05310 #	天譽置業(控股)有限公司 0.10% 債券 2026 年	HKD	10,000,000	2016/12/02	2026/09/12	-
05311 #	天譽置業(控股)有限公司 0.10% 債券 2033 年	HKD	30,000,000	2016/12/02	2033/11/14	-
05315	長沙先導投資控股集團有限公司 3.10% 票據 2019 年	USD	350,000,000	2016/12/05	2019/12/02	2,694.10
05318	Horsepower Finance Ltd. 2.15% 有擔保票據 2019 年	USD	550,000,000	2016/12/05	2019/12/02	4,248.48
05317	陝西省西咸新區澧西新城開發建設(集團)有限公司 5.75% 債券 2019 年	USD	120,000,000	2016/12/06	2019/12/05	929.66
05320	聯邦制藥國際控股有限公司 4.50% 可換股債券 2021 年	USD	130,000,000	2016/12/06	2021/12/05	1,014.00
05324	國家電投 2016 年美元債有限公司 3.00% 有擔保債券 2021 年	USD	900,000,000	2016/12/07	2021/12/06	6,980.97
05325	國家電投 2016 年美元債有限公司 3.875% 有擔保債券 2026 年	USD	300,000,000	2016/12/07	2026/12/06	2,332.51
05322	Inventive Global Investments Ltd. 2.375% 定息票據 2019 年	USD	500,000,000	2016/12/08	2019/12/07	3,891.81
05323	GUANGZHOU Silk Road Investment Ltd. 3.85% 有擔保債券 2021 年	USD	200,000,000	2016/12/08	2021/12/07	1,557.19
05311 #	天譽置業(控股)有限公司 0.10% 債券 2033 年	HKD	30,000,000	2016/12/09	2033/11/14	-
86642	中華人民共和國財政部 3.40% 人民幣債券 2019 年	RMB	7,000,000,000	2016/12/13	2019/12/12	7,865.20
86643	中華人民共和國財政部 3.55% 人民幣債券 2021 年	RMB	3,000,000,000	2016/12/13	2021/12/12	3,370.80

主 板 債 務 證 券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
86644	中華人民共和國財政部3.85%人民幣債券2026年	RMB 1,000,000,000	2016/12/13	2026/12/12	1,123.60
86645	中華人民共和國財政部4.15%人民幣債券2031年	RMB 500,000,000	2016/12/13	2031/12/12	561.80
86646	中華人民共和國財政部4.40%人民幣債券2046年	RMB 500,000,000	2016/12/13	2046/12/12	561.80
05326	Yunnan Energy Investment Overseas Fin 3.50% 有擔保債券2019年	USD 310,000,000	2016/12/14	2019/12/13	2,394.45
05327	Yunnan Energy Investment Overseas Fin 4.25% 有擔保債券2021年	USD 130,000,000	2016/12/14	2021/12/13	1,003.57
05311 #	天譽置業(控股)有限公司0.10%債券2033年	HKD 50,000,000	2016/12/14	2033/11/14	-
05328	常德市城市建設投資集團有限公司3.70%債券2019年	USD 250,000,000	2016/12/16	2019/12/15	1,923.48
05329	Xiangxing Overseas Investment Co. Ltd. 5.95% 有擔保債券2019年	USD 130,000,000	2016/12/19	2019/12/16	1,007.16
05330	鑫港國際控股有限公司5.80%有擔保債券2019年	USD 110,000,000	2016/12/19	2019/12/16	858.00
05331	福建漳龍集團有限公司4.50%票據2019年	USD 150,000,000	2016/12/19	2019/12/16	1,160.44
05332	鳳美國際投資有限公司5.50%債券2019年	USD 220,000,000	2016/12/19	2019/12/16	1,699.70
05335	Gaoxin International Investment Co., Ltd. 5.90%債券2019年	USD 200,000,000	2016/12/21	2019/12/20	1,545.27
05336	沛縣國有資產經營有限公司6.10%債券2019年	USD 50,000,000	2016/12/21	2019/12/20	390.00
05333	Charming Light Investments Ltd. 4.50%票據2026年	USD 229,000,000	2016/12/22	2026/12/21	1,716.59
05338	中國鐵建股份有限公司1.50%美元結算可換股債券2021年	RMB 3,450,000,000	2016/12/22	2021/12/21	3,855.03
05311 #	天譽置業(控股)有限公司0.10%債券2033年	HKD 90,000,000	2016/12/22	2033/11/14	-
05323 #	GUANGZHOU Silk Road Investment Ltd. 3.85% 有擔保債券2021年	USD 30,000,000	2016/12/22	2021/12/07	227.30
05332 #	鳳美國際投資有限公司5.50%債券2019年	USD 80,000,000	2016/12/22	2019/12/16	618.09
05337	Greenland Global Investment Ltd. 4.375%有擔保票據2019年	USD 180,000,000	2016/12/23	2019/12/22	1,383.67
05334	Bestgain Real Estate Lyra Ltd. 3.95%有擔保票據2019年	USD 600,000,000	2016/12/28	2019/12/23	4,673.45
05339	Mudajaya Ventures Ltd. 7.00%票據2019年	USD 60,000,000	2016/12/29	2019/12/28	468.00
總額					239,694.08

進一步發行

主板 單位信託及互惠基金

單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2015	第4季	287,309.24	-	14,162.34	88.40	301,559.98	6.72
2016	第1季	304,713.11	-	21,668.39	40.27	326,421.77	7.66
	第2季	237,211.91	65.38	18,190.19	20.96	255,488.43	6.64
	第3季	269,308.99	153.00	25,916.93	23.08	295,402.00	6.92
	第4季	200,178.89	286.95	25,310.73	25.00	225,801.57	5.79

註：成交金額包括非港元交易買賣的證券

單位信託及互惠基金市值

		交易所 買賣基金 (ETF) (百萬港元)		槓桿及 反向產品 (L&I Products) (百萬港元)		房地產投資 信託基金 (REITs) (百萬港元)		其他 (百萬港元)		合計 (百萬港元)	
		發行數目		發行數目		發行數目		發行數目		發行數目	
2015	第4季	133	477,142.97	-	-	11	199,652.72	1	2,236.60	145	679,032.29
2016	第1季	137	535,139.73	-	-	11	199,170.18	1	1,342.80	149	735,652.71
	第2季	143	589,881.25	4	111	11	219,952.15	1	1,401.55	159	811,345.96
	第3季	138	627,842.20	8	434.09	11	235,115.93	1	1,188.38	158	864,580.60
	第4季	133	561,308.69	12	517.17	11	210,386.83	1	1,134.67	157	773,347.35

新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2015	第4季	4	-
2016	第1季	5	-
	第2季	16	-
	第3季	4	-
	第4季	9	-

主 板 單 位 信 託 及 互 惠 基 金

新上市單位信託及互惠基金

2016年第4季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
07225	未來資產日本東證每日槓桿(2x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/10/11
07315	未來資產日本東證每日反向(-1x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/10/11
07222	未來資產標普500每日槓桿(2x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/10/11
07322	未來資產標普500每日反向(-1x)產品	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/10/11
82843/02843	東方匯理富時中國A50指數ETF	東方匯理資產管理香港有限公司	n.a.	n.a.	n.a.	n.a.	2016/10/31
03116	易亞富時黃金礦業ETF	易亞投資管理有限公司	n.a.	n.a.	n.a.	n.a.	2016/11/18
03115/83115/ 9115	iShares安碩核心恒生指數ETF	貝萊德資產管理北亞有限公司	n.a.	n.a.	n.a.	n.a.	2016/11/23
03153	未來資產標普亞洲(日本除外) 醫療保健指數ETF	未來資產環球投資(香港)有限公司	n.a.	n.a.	n.a.	n.a.	2016/11/30
03167	南方東英標普中國新經濟行業ETF	南方東英資產管理有限公司	n.a.	n.a.	n.a.	n.a.	2016/12/08

主板 可收回牛熊證

牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2015	第4季	1,630	56,424.40	503,357.75
2016	第1季	1,613	48,512.71	379,212.60
	第2季	1,547	44,132.86	346,430.63
	第3季	2,105	74,015.13	357,151.89
	第4季	1,844	46,982.16	288,777.61

新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2015	第4季	2,061	87,034.75
2016	第1季	2,421	94,755.60
	第2季	1,985	84,611.84
	第3季	2,489	105,390.41
	第4季	2,001	78,979.94

主板 可收回牛熊證

新上市牛熊證

2016 年第 4 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60890	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/10/03	2017/11/29	62.50
60918	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/10/03	2017/02/27	62.50
60922	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 H	250,000,000	2016/10/03	2017/03/30	62.50
60894	Credit Suisse AG - HSI R Bull CBBC Aug 2017 H	200,000,000	2016/10/03	2017/08/30	50.00
60892	Credit Suisse AG - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/10/03	2017/09/28	50.00
60893	Credit Suisse AG - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/10/03	2017/12/28	50.00
60912	Credit Suisse AG - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/10/03	2017/02/27	50.00
60916	Credit Suisse AG - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/10/03	2017/02/27	50.00
60915	Credit Suisse AG - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/10/03	2017/03/30	50.00
60917	Credit Suisse AG - HSI R Bear CBBC Mar 2017 O	200,000,000	2016/10/03	2017/03/30	50.00
60889	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 W	200,000,000	2016/10/03	2017/12/28	50.00
60963	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/10/03	2017/02/27	50.00
60882	HK Bank - COVS R Bull CBBC Oct 2017 A	40,000,000	2016/10/03	2017/10/30	12.00
60884	HK Bank - COVS R Bull CBBC Nov 2017 A	40,000,000	2016/10/03	2017/11/29	20.00
60887	HK Bank - HSI R Bear CBBC Mar 2017 P	150,000,000	2016/10/03	2017/03/30	37.50
60886	HK Bank - HSI R Bear CBBC Apr 2017 J	150,000,000	2016/10/03	2017/04/27	37.50
60931	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/10/03	2017/10/30	50.00
60930	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/10/03	2017/11/29	50.00
60945	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/10/03	2017/01/26	50.00
60947	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/10/03	2017/01/26	50.00
60939	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/10/03	2017/01/26	50.00
60949	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/10/03	2018/03/28	50.00
60957	SG Issuer - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/10/03	2017/02/27	50.00
60958	SG Issuer - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/10/03	2017/02/27	50.00
60952	SG Issuer - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/10/03	2017/02/27	50.00
60960	SG Issuer - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/10/03	2017/02/27	50.00
60909	UBS AG - HSI R Bull CBBC Sep 2017 A	300,000,000	2016/10/03	2017/09/28	75.00
60911	UBS AG - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/10/03	2017/09/28	50.00
60910	UBS AG - HSI R Bull CBBC Oct 2017 P	250,000,000	2016/10/03	2017/10/30	62.50
60925	UBS AG - HSI R Bear CBBC Jan 2017 L	250,000,000	2016/10/03	2017/01/26	62.50
60926	UBS AG - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/10/03	2017/02/27	50.00
60923	UBS AG - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/10/03	2017/02/27	50.00
60928	UBS AG - HSI R Bear CBBC Mar 2017 K	300,000,000	2016/10/03	2017/03/30	75.00
60990	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 Q	250,000,000	2016/10/04	2017/11/29	62.50
60988	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 O	250,000,000	2016/10/04	2017/12/28	62.50
60999	Credit Suisse AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/10/04	2017/09/28	50.00
61002	Credit Suisse AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/10/04	2017/10/30	50.00
60994	Credit Suisse AG - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/10/04	2017/02/27	50.00
60991	Credit Suisse AG - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/10/04	2017/03/30	50.00
60998	Credit Suisse AG - HSI R Bear CBBC Apr 2017 F	200,000,000	2016/10/04	2017/04/27	50.00
61026	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 X	200,000,000	2016/10/04	2017/12/28	50.00
61028	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 Z	200,000,000	2016/10/04	2017/02/27	50.00
60968	HK Bank - HSCEI R Bear CBBC Apr 2017 D	50,000,000	2016/10/04	2017/04/27	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
60974	HK Bank - HSI R Bull CBBC Sep 2017 F	150,000,000	2016/10/04	2017/09/28	37.50
61025	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/10/04	2017/10/30	50.00
61018	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 H	250,000,000	2016/10/04	2017/01/26	62.50
61022	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 U	250,000,000	2016/10/04	2017/01/26	62.50
61024	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/10/04	2017/02/27	62.50
61019	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 B	40,000,000	2016/10/04	2017/12/15	10.00
61020	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 C	40,000,000	2016/10/04	2017/11/17	10.80
61016	SG Issuer - HSI R Bull CBBC Mar 2018 F	200,000,000	2016/10/04	2018/03/28	50.00
61017	SG Issuer - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/10/04	2017/02/27	50.00
61015	UBS AG - HSI R Bull CBBC Sep 2017 Y	250,000,000	2016/10/04	2017/09/28	62.50
61013	UBS AG - HSI R Bull CBBC Nov 2017 Q	250,000,000	2016/10/04	2017/11/29	62.50
61008	UBS AG - HSI R Bear CBBC Jan 2017 M	250,000,000	2016/10/04	2017/01/26	62.50
61009	UBS AG - HSI R Bear CBBC Jan 2017 V	250,000,000	2016/10/04	2017/01/26	62.50
61004	UBS AG - HSI R Bear CBBC Jan 2017 Y	250,000,000	2016/10/04	2017/01/26	62.50
61007	UBS AG - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/10/04	2017/02/27	50.00
61006	UBS AG - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/10/04	2017/02/27	50.00
61060	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 P	250,000,000	2016/10/05	2017/12/28	62.50
61045	Credit Suisse AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/10/05	2017/09/28	50.00
61044	Credit Suisse AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/10/05	2017/12/28	50.00
61046	Credit Suisse AG - HSI R Bear CBBC Jan 2017 B	200,000,000	2016/10/05	2017/01/26	50.00
61031	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/10/05	2017/12/28	50.00
61071	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Z	200,000,000	2016/10/05	2017/12/28	50.00
61042	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/10/05	2017/02/27	50.00
61043	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/10/05	2017/02/27	50.00
61032	HK Bank - HSCEI R Bear CBBC May 2017 E	50,000,000	2016/10/05	2017/05/29	12.50
61038	HK Bank - HSI R Bear CBBC Apr 2017 K	150,000,000	2016/10/05	2017/04/27	37.50
61036	HK Bank - Sands China R Bear CBBC May 2017 A	40,000,000	2016/10/05	2017/05/15	10.00
61070	J P Morgan SP BV - China Life R Bull CBBC Feb 2018 A	100,000,000	2016/10/05	2018/02/15	25.00
61061	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 M	250,000,000	2016/10/05	2017/10/30	62.50
61064	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 V	200,000,000	2016/10/05	2017/01/26	50.00
61066	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/10/05	2017/01/26	50.00
61041	Macquarie Bank Ltd. - HSI R Bear CBBC May 2017 A	40,000,000	2016/10/05	2017/05/29	10.00
61072	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2016/10/05	2018/03/28	50.00
61073	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/10/05	2018/03/28	50.00
61074	SG Issuer - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/10/05	2017/02/27	50.00
61049	UBS AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/10/05	2017/11/29	50.00
61048	UBS AG - HSI R Bull CBBC Dec 2017 X	300,000,000	2016/10/05	2017/12/28	75.00
61056	UBS AG - HSI R Bear CBBC Jan 2017 E	250,000,000	2016/10/05	2017/01/26	62.50
61059	UBS AG - HSI R Bear CBBC Feb 2017 K	250,000,000	2016/10/05	2017/02/27	62.50
61054	UBS AG - HSI R Bear CBBC Mar 2017 L	300,000,000	2016/10/05	2017/03/30	75.00
61077	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 R	250,000,000	2016/10/06	2017/11/29	62.50
61076	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 W	250,000,000	2016/10/06	2017/02/27	62.50
61075	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/10/06	2017/03/30	62.50
61080	Credit Suisse AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/10/06	2017/10/30	50.00
61078	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Q	200,000,000	2016/10/06	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61093	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/10/06	2017/10/30	50.00
61095	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/10/06	2017/03/30	50.00
61089	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/10/06	2017/10/30	50.00
61092	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/10/06	2017/10/30	50.00
61087	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/10/06	2017/01/26	50.00
61096	SG Issuer - HSI R Bull CBBC Jan 2018 T	200,000,000	2016/10/06	2018/01/30	50.00
61097	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2016/10/06	2018/03/28	50.00
61098	SG Issuer - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/10/06	2017/01/26	50.00
61084	UBS AG - HSI R Bull CBBC Nov 2017 B	250,000,000	2016/10/06	2017/11/29	62.50
61083	UBS AG - HSI R Bear CBBC Jan 2017 N	250,000,000	2016/10/06	2017/01/26	62.50
61125	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 L	250,000,000	2016/10/07	2017/02/27	62.50
61124	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/10/07	2017/03/30	62.50
61119	Credit Suisse AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/10/07	2017/09/28	50.00
61115	Credit Suisse AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/10/07	2017/10/30	50.00
61116	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/10/07	2017/12/28	50.00
61120	Credit Suisse AG - HSI R Bear CBBC Jan 2017 C	200,000,000	2016/10/07	2017/01/26	50.00
61122	Credit Suisse AG - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/10/07	2017/02/27	50.00
61118	Credit Suisse AG - Tencent R Bull CBBC Sep 2017 C	50,000,000	2016/10/07	2017/09/15	12.50
61099	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 G	200,000,000	2016/10/07	2018/01/30	50.00
61135	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/10/07	2017/03/30	50.00
61136	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/10/07	2017/03/30	50.00
61103	HK Bank - CKH R Bull CBBC Oct 2017 B	40,000,000	2016/10/07	2017/10/30	10.00
61106	HK Bank - CKH R Bull CBBC Nov 2017 A	40,000,000	2016/10/07	2017/11/30	10.00
61104	HK Bank - CNOOC R Bull CBBC Oct 2017 B	50,000,000	2016/10/07	2017/10/16	12.50
61105	HK Bank - Sinopec Corp R Bull CBBC Oct 2017 A	50,000,000	2016/10/07	2017/10/30	12.50
61108	HK Bank - Sands China R Bull CBBC Jul 2017 E	40,000,000	2016/10/07	2017/07/31	10.00
61138	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/10/07	2017/10/30	50.00
61140	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 X	200,000,000	2016/10/07	2018/01/30	50.00
61141	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Y	200,000,000	2016/10/07	2018/01/30	50.00
61144	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/10/07	2017/01/26	50.00
61146	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/10/07	2017/01/26	50.00
61147	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/10/07	2017/02/27	50.00
61109	SG Issuer - CNOOC R Bear CBBC Apr 2017 A	40,000,000	2016/10/07	2017/04/27	10.00
61110	SG Issuer - CNOOC R Bear CBBC Apr 2017 B	40,000,000	2016/10/07	2017/04/27	10.00
61127	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/10/07	2018/03/28	50.00
61129	SG Issuer - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/10/07	2017/01/26	50.00
61130	SG Issuer - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/10/07	2017/03/30	50.00
61132	SG Issuer - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/10/07	2017/03/30	50.00
61134	SG Issuer - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/10/07	2017/03/30	50.00
61111	SG Issuer - Ping An R Bear CBBC Jul 2017 B	100,000,000	2016/10/07	2017/07/28	25.00
61113	SG Issuer - Tencent R Bull CBBC Nov 2017 D	100,000,000	2016/10/07	2017/11/29	32.00
61153	UBS AG - HSCEI R Bull CBBC Oct 2017 C	100,000,000	2016/10/07	2017/10/30	25.00
61156	UBS AG - HSI R Bull CBBC Aug 2017 U	250,000,000	2016/10/07	2017/08/30	62.50
61159	UBS AG - HSI R Bull CBBC Aug 2017 V	250,000,000	2016/10/07	2017/08/30	62.50
61158	UBS AG - HSI R Bull CBBC Sep 2017 G	250,000,000	2016/10/07	2017/09/28	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61155	UBS AG - HSI R Bull CBBC Sep 2017 O	250,000,000	2016/10/07	2017/09/28	62.50
61150	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/10/07	2017/11/29	50.00
61152	UBS AG - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/10/07	2017/11/29	62.50
61149	UBS AG - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/10/07	2017/12/28	50.00
61161	UBS AG - HSI R Bear CBBC Jan 2017 S	250,000,000	2016/10/07	2017/01/26	62.50
61162	UBS AG - HSI R Bear CBBC Jan 2017 X	250,000,000	2016/10/07	2017/01/26	62.50
61165	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/10/11	2017/02/27	50.00
61170	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/10/11	2017/03/30	50.00
61200	Credit Suisse AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/10/11	2017/10/30	50.00
61199	Credit Suisse AG - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/10/11	2017/01/26	50.00
61198	Credit Suisse AG - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/10/11	2017/02/27	50.00
61197	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 H	200,000,000	2016/10/11	2018/01/30	50.00
61163	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/10/11	2017/02/27	50.00
61164	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/10/11	2017/03/30	50.00
61179	HK Bank - HSI R Bull CBBC Jul 2017 L	150,000,000	2016/10/11	2017/07/28	37.50
61173	HK Bank - HSI R Bull CBBC Aug 2017 K	150,000,000	2016/10/11	2017/08/30	37.50
61194	HK Bank - HSI R Bull CBBC Aug 2017 L	150,000,000	2016/10/11	2017/08/30	37.50
61174	HK Bank - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/10/11	2017/10/30	50.00
61178	HK Bank - HSI R Bear CBBC Sep 2017 C	150,000,000	2016/10/11	2017/09/28	37.50
61192	HK Bank - Ping An R Bull CBBC Oct 2017 B	50,000,000	2016/10/11	2017/10/30	12.50
61189	HK Bank - Ping An R Bull CBBC Nov 2017 A	50,000,000	2016/10/11	2017/11/27	12.50
61183	HK Bank - Ping An R Bull CBBC Dec 2017 A	50,000,000	2016/10/11	2017/12/18	12.50
61193	HK Bank - Ping An R Bear CBBC May 2017 A	40,000,000	2016/10/11	2017/05/31	10.00
61180	HK Bank - Tencent R Bull CBBC Aug 2017 J	50,000,000	2016/10/11	2017/08/28	12.50
61215	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/10/11	2017/02/27	50.00
61216	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/10/11	2017/02/27	50.00
61214	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/10/11	2018/03/28	50.00
61212	SG Issuer - HSI R Bull CBBC Mar 2018 W	200,000,000	2016/10/11	2018/03/28	50.00
61172	SG Issuer - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/10/11	2017/02/27	50.00
61211	UBS AG - HSI R Bull CBBC Oct 2017 W	250,000,000	2016/10/11	2017/10/30	62.50
61201	UBS AG - HSI R Bear CBBC Feb 2017 B	200,000,000	2016/10/11	2017/02/27	50.00
61208	UBS AG - HSI R Bear CBBC Feb 2017 L	200,000,000	2016/10/11	2017/02/27	50.00
61243	Credit Suisse AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/10/12	2017/10/30	50.00
61242	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/10/12	2017/02/27	50.00
61272	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 I	200,000,000	2016/10/12	2018/01/30	50.00
61273	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/10/12	2017/03/30	50.00
61233	HK Bank - Galaxy Ent R Bull CBBC Nov 2017 C	40,000,000	2016/10/12	2017/11/30	10.00
61232	HK Bank - HKEX R Bull CBBC Nov 2017 C	50,000,000	2016/10/12	2017/11/27	12.50
61236	HK Bank - HSI R Bear CBBC Sep 2017 D	200,000,000	2016/10/12	2017/09/28	50.00
61237	HK Bank - HSI R Bear CBBC Dec 2017 B	150,000,000	2016/10/12	2017/12/28	45.00
61222	Haitong Int'l Sec - COVS R Bull CBBC Oct 2017 A	40,000,000	2016/10/12	2017/10/31	10.00
61221	Haitong Int'l Sec - CNOOC R Bull CBBC Oct 2017 A	40,000,000	2016/10/12	2017/10/30	10.00
61220	Haitong Int'l Sec - HKEX R Bull CBBC Sep 2017 B	40,000,000	2016/10/12	2017/09/27	10.00
61219	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2017 B	40,000,000	2016/10/12	2017/09/28	10.00
61218	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2017 A	40,000,000	2016/10/12	2017/10/27	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61266	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 C	40,000,000	2016/10/12	2017/12/15	10.00
61269	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/10/12	2017/12/28	50.00
61274	SG Issuer - HSI R Bull CBBC Mar 2018 Z	200,000,000	2016/10/12	2018/03/28	50.00
61229	SG Issuer - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/10/12	2017/02/27	50.00
61246	UBS AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/10/12	2017/10/30	50.00
61263	UBS AG - HSI R Bull CBBC Dec 2017 Z	300,000,000	2016/10/12	2017/12/28	75.00
61261	UBS AG - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/10/12	2017/02/27	50.00
61254	UBS AG - HSI R Bear CBBC Mar 2017 M	300,000,000	2016/10/12	2017/03/30	75.00
61295	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 S	250,000,000	2016/10/13	2017/11/29	62.50
61275	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 Q	250,000,000	2016/10/13	2017/12/28	62.50
61310	Credit Suisse AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/10/13	2017/09/28	50.00
61306	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/10/13	2017/11/29	50.00
61304	Credit Suisse AG - HSI R Bear CBBC Mar 2017 R	200,000,000	2016/10/13	2017/03/30	50.00
61299	Bank of East Asia - China Life R Bull CBBC Dec 2017 A	40,000,000	2016/10/13	2017/12/07	10.00
61300	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 B	25,000,000	2016/10/13	2017/12/07	10.00
61303	Bank of East Asia - Tencent R Bear CBBC Dec 2017 A	30,000,000	2016/10/13	2017/12/07	10.50
61296	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/10/13	2017/12/28	50.00
61276	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 Y	200,000,000	2016/10/13	2017/03/30	50.00
61311	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/10/13	2017/03/30	50.00
61285	HK Bank - AIA R Bull CBBC Nov 2017 A	40,000,000	2016/10/13	2017/11/27	10.00
61282	HK Bank - AIA R Bear CBBC Apr 2017 A	40,000,000	2016/10/13	2017/04/24	10.00
61283	HK Bank - Sinopec Corp R Bear CBBC Aug 2017 A	40,000,000	2016/10/13	2017/08/14	10.00
61284	HK Bank - HS H-SH ETF R Bull CBBC Aug 2017 B	50,000,000	2016/10/13	2017/08/30	12.50
61289	HK Bank - HSCEI R Bull CBBC Oct 2017 B	60,000,000	2016/10/13	2017/10/30	15.00
61288	HK Bank - HSI R Bull CBBC Aug 2017 M	150,000,000	2016/10/13	2017/08/30	37.50
61316	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 J	200,000,000	2016/10/13	2018/02/27	50.00
61312	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/10/13	2017/01/26	50.00
61293	Macquarie Bank Ltd. - HSI R Bull CBBC Sep 2017 A	80,000,000	2016/10/13	2017/09/28	20.00
61290	Macquarie Bank Ltd. - HSI R Bear CBBC Sep 2017 A	80,000,000	2016/10/13	2017/09/28	20.00
61322	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/10/13	2018/03/28	50.00
61328	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/10/13	2018/03/28	50.00
61331	SG Issuer - HSI R Bear CBBC Jan 2017 X	200,000,000	2016/10/13	2017/01/26	50.00
61278	SG Issuer - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/10/13	2017/02/27	50.00
61321	UBS AG - HSI R Bull CBBC Sep 2017 K	250,000,000	2016/10/13	2017/09/28	62.50
61320	UBS AG - HSI R Bull CBBC Sep 2017 R	250,000,000	2016/10/13	2017/09/28	62.50
61317	UBS AG - HSI R Bear CBBC Mar 2017 N	300,000,000	2016/10/13	2017/03/30	75.00
61376	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 T	250,000,000	2016/10/14	2017/11/29	62.50
61375	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 R	250,000,000	2016/10/14	2017/12/28	62.50
61377	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 E	250,000,000	2016/10/14	2017/02/27	62.50
61334	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 S	250,000,000	2016/10/14	2017/02/27	62.50
61335	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Y	250,000,000	2016/10/14	2017/03/30	62.50
61385	Credit Suisse AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/10/14	2017/10/30	50.00
61383	Credit Suisse AG - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/10/14	2017/12/28	50.00
61381	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Z	200,000,000	2016/10/14	2017/02/27	50.00
61382	Credit Suisse AG - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/10/14	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61394	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 J	200,000,000	2016/10/14	2018/01/30	50.00
61395	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 K	200,000,000	2016/10/14	2018/01/30	50.00
61388	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/10/14	2017/02/27	50.00
61333	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/10/14	2017/03/30	50.00
61365	HK Bank - CNOOC R Bull CBBC Oct 2017 C	50,000,000	2016/10/14	2017/10/23	12.50
61366	HK Bank - HKEX R Bear CBBC Jul 2017 C	40,000,000	2016/10/14	2017/07/31	10.00
61364	HK Bank - Power Assets R Bull CBBC Sep 2017 A	40,000,000	2016/10/14	2017/09/29	10.00
61416	J P Morgan SP BV - BOCL R Bull CBBC Sep 2017 A	100,000,000	2016/10/14	2017/09/15	25.00
61414	J P Morgan SP BV - CC Bank R Bull CBBC Sep 2017 A	100,000,000	2016/10/14	2017/09/15	25.00
61420	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 B	100,000,000	2016/10/14	2017/12/15	25.00
61428	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/10/14	2017/10/30	50.00
61430	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Z	200,000,000	2016/10/14	2018/01/30	50.00
61412	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 N	200,000,000	2016/10/14	2017/01/26	50.00
61397	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 O	200,000,000	2016/10/14	2017/01/26	50.00
61402	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Q	200,000,000	2016/10/14	2017/01/26	50.00
61399	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 D	200,000,000	2016/10/14	2017/04/27	50.00
61401	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 E	200,000,000	2016/10/14	2017/04/27	50.00
61410	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 F	200,000,000	2016/10/14	2017/04/27	50.00
61419	J P Morgan SP BV - Sands China R Bull CBBC Dec 2017 A	100,000,000	2016/10/14	2017/12/15	25.00
61378	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2017 A	80,000,000	2016/10/14	2017/10/30	20.00
61339	SG Issuer - COVS R Bull CBBC Aug 2017 A	40,000,000	2016/10/14	2017/08/30	10.00
61337	SG Issuer - COVS R Bull CBBC Oct 2017 A	40,000,000	2016/10/14	2017/10/30	17.20
61342	SG Issuer - CUni R Bull CBBC Apr 2018 A	40,000,000	2016/10/14	2018/04/27	10.00
61341	SG Issuer - CUni R Bull CBBC Jun 2018 A	40,000,000	2016/10/14	2018/06/28	10.00
61340	SG Issuer - CUni R Bull CBBC Aug 2018 A	40,000,000	2016/10/14	2018/08/30	10.00
61343	SG Issuer - CNOOC R Bull CBBC May 2017 A	40,000,000	2016/10/14	2017/05/29	10.00
61346	SG Issuer - CNOOC R Bear CBBC Jun 2017 A	40,000,000	2016/10/14	2017/06/29	10.00
61361	SG Issuer - CP&CC R Bull CBBC Oct 2017 B	40,000,000	2016/10/14	2017/10/30	10.00
61350	SG Issuer - Galaxy R Bull CBBC Jun 2017 C	40,000,000	2016/10/14	2017/06/29	10.00
61349	SG Issuer - Galaxy R Bull CBBC Aug 2017 C	40,000,000	2016/10/14	2017/08/30	14.00
61351	SG Issuer - Galaxy R Bear CBBC Nov 2017 A	100,000,000	2016/10/14	2017/11/29	25.00
61352	SG Issuer - HSBC R Bull CBBC Nov 2018 D	100,000,000	2016/10/14	2018/11/29	25.00
61421	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/10/14	2018/03/28	50.00
61426	SG Issuer - HSI R Bull CBBC Mar 2018 Y	200,000,000	2016/10/14	2018/03/28	50.00
61355	SG Issuer - Lenovo R Bull CBBC Jun 2017 A	40,000,000	2016/10/14	2017/06/29	10.00
61353	SG Issuer - Lenovo R Bull CBBC Dec 2017 C	40,000,000	2016/10/14	2017/12/28	10.00
61356	SG Issuer - PetroChina R Bull CBBC Oct 2017 B	40,000,000	2016/10/14	2017/10/30	10.00
61360	SG Issuer - Sands R Bull CBBC Jul 2017 C	40,000,000	2016/10/14	2017/07/28	10.00
61358	SG Issuer - Sands R Bull CBBC Oct 2017 A	40,000,000	2016/10/14	2017/10/30	15.60
61386	UBS AG - HSI R Bull CBBC Dec 2017 E	250,000,000	2016/10/14	2017/12/28	62.50
61380	UBS AG - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/10/14	2017/01/26	50.00
61379	UBS AG - HSI R Bear CBBC Feb 2017 M	250,000,000	2016/10/14	2017/02/27	62.50
61463	Credit Suisse AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/10/17	2017/10/30	50.00
61466	Credit Suisse AG - HSI R Bear CBBC Feb 2017 A	200,000,000	2016/10/17	2017/02/27	50.00
61464	Credit Suisse AG - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/10/17	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61462	Bank of East Asia - PetCh R Bull CBBC Dec 2017 B	40,000,000	2016/10/17	2017/12/07	10.00
61461	Bank of East Asia - Ping An R Bull CBBC Dec 2017 C	16,000,000	2016/10/17	2017/12/07	10.40
61485	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 L	200,000,000	2016/10/17	2018/01/30	50.00
61487	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/10/17	2017/03/30	50.00
61457	HK Bank - China Life R Bull CBBC Aug 2017 B	50,000,000	2016/10/17	2017/08/31	15.00
61459	HK Bank - China Life R Bear CBBC Apr 2017 A	40,000,000	2016/10/17	2017/04/28	10.00
61460	HK Bank - China Life R Bear CBBC Oct 2017 A	40,000,000	2016/10/17	2017/10/31	20.00
61455	HK Bank - Sinopec Corp R Bull CBBC Oct 2017 B	50,000,000	2016/10/17	2017/10/16	12.50
61456	HK Bank - Galaxy Ent R Bull CBBC Oct 2017 A	40,000,000	2016/10/17	2017/10/31	10.00
61475	J P Morgan SP BV - COVS R Bull CBBC Nov 2017 A	100,000,000	2016/10/17	2017/11/17	25.00
61477	J P Morgan SP BV - China Life R Bull CBBC Dec 2017 B	100,000,000	2016/10/17	2017/12/15	25.00
61476	J P Morgan SP BV - HKEX R Bull CBBC Nov 2017 G	40,000,000	2016/10/17	2017/11/17	10.00
61473	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/10/17	2017/10/30	50.00
61482	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/10/17	2017/10/30	50.00
61483	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/10/17	2017/01/26	50.00
61431	SG Issuer - AIA R Bear CBBC Jul 2017 A	100,000,000	2016/10/17	2017/07/28	25.00
61436	SG Issuer - BOCL R Bear CBBC May 2017 A	40,000,000	2016/10/17	2017/05/29	10.00
61454	SG Issuer - CP&CC R Bear CBBC May 2017 A	40,000,000	2016/10/17	2017/05/29	10.00
61488	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/10/17	2018/03/28	50.00
61489	SG Issuer - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/10/17	2017/02/27	50.00
61440	SG Issuer - ICBC R Bear CBBC Jun 2017 A	40,000,000	2016/10/17	2017/06/29	10.00
61450	SG Issuer - PetroChina R Bear CBBC May 2017 A	40,000,000	2016/10/17	2017/05/29	10.00
61452	SG Issuer - PetroChina R Bear CBBC Aug 2017 A	40,000,000	2016/10/17	2017/08/30	10.00
61467	UBS AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/10/17	2017/11/29	50.00
61468	UBS AG - HSI R Bear CBBC Jan 2017 O	250,000,000	2016/10/17	2017/01/26	62.50
61472	UBS AG - HSI R Bear CBBC Feb 2017 C	250,000,000	2016/10/17	2017/02/27	62.50
61506	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 U	250,000,000	2016/10/18	2017/11/29	62.50
61509	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 J	250,000,000	2016/10/18	2017/02/27	62.50
61511	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 F	250,000,000	2016/10/18	2017/03/30	62.50
61513	Credit Suisse AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/10/18	2017/09/28	50.00
61514	Credit Suisse AG - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/10/18	2017/10/30	50.00
61515	Credit Suisse AG - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/10/18	2017/12/28	50.00
61517	Credit Suisse AG - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/10/18	2017/02/27	50.00
61518	Credit Suisse AG - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/10/18	2017/02/27	50.00
61516	Credit Suisse AG - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/10/18	2017/03/30	50.00
61497	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 M	200,000,000	2016/10/18	2018/01/30	50.00
61546	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/10/18	2017/02/27	50.00
61545	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/10/18	2017/02/27	50.00
61490	HK Bank - HSI R Bear CBBC Apr 2017 L	150,000,000	2016/10/18	2017/04/27	37.50
61491	HK Bank - HSI R Bear CBBC Apr 2017 M	150,000,000	2016/10/18	2017/04/27	37.50
61492	HK Bank - PetCh R Bull CBBC Oct 2017 A	50,000,000	2016/10/18	2017/10/16	12.50
61493	HK Bank - PetCh R Bear CBBC Dec 2017 A	40,000,000	2016/10/18	2017/12/22	10.00
61494	HK Bank - SHK Ppt R Bull CBBC Dec 2017 A	40,000,000	2016/10/18	2017/12/21	10.00
61496	HK Bank - SHK Ppt R Bull CBBC Jan 2018 A	40,000,000	2016/10/18	2018/01/18	10.00
61495	HK Bank - SHK Ppt R Bear CBBC Sep 2017 A	40,000,000	2016/10/18	2017/09/29	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61563	J P Morgan SP BV - CKH R Bull CBBC Oct 2017 B	40,000,000	2016/10/18	2017/10/20	10.00
61557	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/10/18	2017/10/30	50.00
61558	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 K	200,000,000	2016/10/18	2018/02/27	50.00
61559	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/10/18	2017/01/26	50.00
61562	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/10/18	2017/02/27	50.00
61532	Macquarie Bank Ltd. - HSI R Bull CBBC Oct 2017 A	80,000,000	2016/10/18	2017/10/30	20.00
61534	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2017 A	80,000,000	2016/10/18	2017/11/29	20.00
61547	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/10/18	2018/03/28	50.00
61549	SG Issuer - HSI R Bull CBBC Mar 2018 F	200,000,000	2016/10/18	2018/03/28	50.00
61550	SG Issuer - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/10/18	2017/02/27	50.00
61554	SG Issuer - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/10/18	2017/02/27	50.00
61553	SG Issuer - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/10/18	2017/02/27	50.00
61555	SG Issuer - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/10/18	2017/02/27	50.00
61538	UBS AG - HSI R Bull CBBC Nov 2017 B	250,000,000	2016/10/18	2017/11/29	62.50
61536	UBS AG - HSI R Bull CBBC Nov 2017 V	250,000,000	2016/10/18	2017/11/29	62.50
61535	UBS AG - HSI R Bull CBBC Jan 2018 B	250,000,000	2016/10/18	2018/01/30	62.50
61543	UBS AG - HSI R Bear CBBC Jan 2017 R	250,000,000	2016/10/18	2017/01/26	62.50
61540	UBS AG - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/10/18	2017/02/27	62.50
61542	UBS AG - HSI R Bear CBBC Mar 2017 O	300,000,000	2016/10/18	2017/03/30	75.00
61567	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 V	250,000,000	2016/10/19	2017/11/29	62.50
61564	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 S	250,000,000	2016/10/19	2017/12/28	62.50
61574	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 T	250,000,000	2016/10/19	2017/02/27	62.50
61573	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/10/19	2017/03/30	62.50
61575	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/10/19	2017/03/30	62.50
61597	Credit Suisse AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/10/19	2017/10/30	50.00
61600	Credit Suisse AG - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/10/19	2017/12/28	50.00
61601	Credit Suisse AG - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/10/19	2017/02/27	50.00
61604	Credit Suisse AG - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/10/19	2017/02/27	50.00
61603	Credit Suisse AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/10/19	2017/03/30	50.00
61569	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/10/19	2017/10/30	50.00
61568	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 N	200,000,000	2016/10/19	2018/01/30	50.00
61627	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/10/19	2017/02/27	50.00
61578	HK Bank - China Mobile R Bear CBBC Apr 2017 C	40,000,000	2016/10/19	2017/04/28	10.00
61580	HK Bank - COVS R Bull CBBC Oct 2017 B	40,000,000	2016/10/19	2017/10/31	12.00
61579	HK Bank - CUni R Bull CBBC Nov 2017 A	40,000,000	2016/10/19	2017/11/30	10.00
61577	HK Bank - Tencent R Bull CBBC Nov 2017 A	50,000,000	2016/10/19	2017/11/27	12.50
61584	HK Bank - Tencent R Bull CBBC Nov 2017 B	50,000,000	2016/10/19	2017/11/13	12.50
61585	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/10/19	2017/10/30	50.00
61592	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/10/19	2017/10/30	50.00
61594	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 V	200,000,000	2016/10/19	2017/01/26	50.00
61615	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/10/19	2017/02/27	50.00
61616	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/10/19	2017/02/27	50.00
61606	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2016/10/19	2018/03/28	50.00
61607	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2016/10/19	2018/03/28	50.00
61609	SG Issuer - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/10/19	2017/01/26	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61611	SG Issuer - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/10/19	2017/01/26	50.00
61613	SG Issuer - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/10/19	2017/03/30	50.00
61614	SG Issuer - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/10/19	2017/03/30	50.00
61622	UBS AG - HSI R Bull CBBC Sep 2017 N	250,000,000	2016/10/19	2017/09/28	62.50
61621	UBS AG - HSI R Bull CBBC Oct 2017 W	250,000,000	2016/10/19	2017/10/30	62.50
61625	UBS AG - HSI R Bear CBBC Jan 2017 T	250,000,000	2016/10/19	2017/01/26	62.50
61626	UBS AG - HSI R Bear CBBC Jan 2017 W	200,000,000	2016/10/19	2017/01/26	50.00
61630	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 W	250,000,000	2016/10/20	2017/11/29	62.50
61631	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 T	250,000,000	2016/10/20	2017/12/28	62.50
61664	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 U	250,000,000	2016/10/20	2017/02/27	62.50
61662	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/10/20	2017/03/30	62.50
61658	Credit Suisse AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/10/20	2017/09/28	50.00
61660	Credit Suisse AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/10/20	2017/10/30	50.00
61661	Credit Suisse AG - HSI R Bull CBBC Dec 2017 W	200,000,000	2016/10/20	2017/12/28	50.00
61666	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/10/20	2017/02/27	50.00
61668	Credit Suisse AG - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/10/20	2017/02/27	50.00
61665	Credit Suisse AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/10/20	2017/03/30	50.00
61670	Credit Suisse AG - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/10/20	2017/03/30	50.00
61632	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 O	200,000,000	2016/10/20	2018/01/30	50.00
61636	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 P	200,000,000	2016/10/20	2018/01/30	50.00
61688	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 Q	200,000,000	2016/10/20	2018/01/30	50.00
61689	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/10/20	2017/02/27	50.00
61690	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/10/20	2017/02/27	50.00
61691	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/10/20	2017/02/27	50.00
61649	HK Bank - China Mobile R Bull CBBC Nov 2017 A	50,000,000	2016/10/20	2017/11/30	12.50
61656	HK Bank - CLP Holdings R Bull CBBC Dec 2017 A	40,000,000	2016/10/20	2017/12/22	10.00
61646	HK Bank - HSCEI R Bear CBBC Mar 2017 D	50,000,000	2016/10/20	2017/03/30	12.50
61655	HK Bank - HSI R Bear CBBC Mar 2017 Q	150,000,000	2016/10/20	2017/03/30	37.50
61647	HK Bank - HSI R Bear CBBC Apr 2017 N	150,000,000	2016/10/20	2017/04/27	37.50
61650	HK Bank - Tencent R Bear CBBC Apr 2017 H	40,000,000	2016/10/20	2017/04/28	10.00
61695	J P Morgan SP BV - CC Bank R Bear CBBC May 2017 A	100,000,000	2016/10/20	2017/05/19	25.00
61694	J P Morgan SP BV - HKEX R Bear CBBC Apr 2017 C	40,000,000	2016/10/20	2017/04/21	10.00
61698	J P Morgan SP BV - HSBC R Bear CBBC Apr 2017 A	100,000,000	2016/10/20	2017/04/21	25.00
61699	J P Morgan SP BV - HSCEI R Bear CBBC May 2017 A	100,000,000	2016/10/20	2017/05/29	25.00
61637	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/10/20	2017/10/30	50.00
61644	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/10/20	2017/10/30	50.00
61706	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 D	200,000,000	2016/10/20	2017/01/26	50.00
61704	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/10/20	2017/01/26	50.00
61701	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/10/20	2017/02/27	50.00
61705	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/10/20	2017/02/27	50.00
61692	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 D	40,000,000	2016/10/20	2017/11/17	10.00
61657	Macquarie Bank Ltd. - HSI R Bear CBBC Oct 2017 B	40,000,000	2016/10/20	2017/10/30	10.00
61707	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/10/20	2018/03/28	50.00
61708	SG Issuer - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/10/20	2017/03/30	50.00
61709	SG Issuer - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/10/20	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61710	SG Issuer - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/10/20	2017/03/30	50.00
61711	SG Issuer - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/10/20	2017/03/30	50.00
61714	SG Issuer - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/10/20	2017/03/30	50.00
61680	UBS AG - China Mobile R Bull CBBC Oct 2017 A	100,000,000	2016/10/20	2017/10/16	25.00
61683	UBS AG - China Life R Bull CBBC Feb 2018 A	100,000,000	2016/10/20	2018/02/12	25.00
61681	UBS AG - HS H-SH ETF R Bull CBBC Jul 2017 A	100,000,000	2016/10/20	2017/07/17	25.00
61679	UBS AG - HSBC R Bull CBBC Nov 2017 C	100,000,000	2016/10/20	2017/11/27	25.00
61678	UBS AG - HSI R Bull CBBC Sep 2017 A	250,000,000	2016/10/20	2017/09/28	62.50
61673	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/10/20	2017/11/29	50.00
61677	UBS AG - HSI R Bull CBBC Nov 2017 Q	250,000,000	2016/10/20	2017/11/29	62.50
61685	UBS AG - HSI R Bear CBBC Jan 2017 A	200,000,000	2016/10/20	2017/01/26	50.00
61686	UBS AG - HSI R Bear CBBC Jan 2017 B	250,000,000	2016/10/20	2017/01/26	62.50
61687	UBS AG - HSI R Bear CBBC Jan 2017 G	250,000,000	2016/10/20	2017/01/26	62.50
61684	UBS AG - HSI R Bear CBBC Feb 2017 E	250,000,000	2016/10/20	2017/02/27	62.50
61682	UBS AG - Tracker Fund R Bull CBBC Jun 2017 A	50,000,000	2016/10/20	2017/06/19	12.50
61242 #	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Y	230,000,000	2016/10/20	2017/02/27	10.35
61738	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/10/21	2017/11/29	50.00
61739	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/10/21	2017/11/29	50.00
61726	Credit Suisse AG - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/10/21	2017/02/27	50.00
61740	Credit Suisse AG - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/10/21	2017/03/30	50.00
61727	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Y	200,000,000	2016/10/21	2017/03/30	50.00
61728	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/10/21	2017/03/30	50.00
61723	Credit Suisse AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/10/21	2017/04/27	50.00
61719	Bank of East Asia - HKEX R Bear CBBC Jun 2017 A	30,000,000	2016/10/21	2017/06/22	10.50
61718	Bank of East Asia - HSBC Holdings R Bear CBBC Apr 2017 A	40,000,000	2016/10/21	2017/04/24	10.00
61715	Bank of East Asia - Tencent R Bear CBBC Apr 2017 E	30,000,000	2016/10/21	2017/04/24	10.50
61775	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/10/21	2017/03/30	50.00
61772	J P Morgan SP BV - HSI R Bull CBBC Feb 2018 L	200,000,000	2016/10/21	2018/02/27	50.00
61768	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/10/21	2017/01/26	50.00
61764	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/10/21	2017/02/27	50.00
61771	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 C	40,000,000	2016/10/21	2017/12/15	10.00
61773	J P Morgan SP BV - Tencent R Bear CBBC Dec 2017 A	40,000,000	2016/10/21	2017/12/15	10.00
61720	Macquarie Bank Ltd. - HSI R Bear CBBC Nov 2017 B	40,000,000	2016/10/21	2017/11/29	10.00
61755	SG Issuer - HSI R Bull CBBC Mar 2018 W	200,000,000	2016/10/21	2018/03/28	50.00
61757	SG Issuer - HSI R Bull CBBC Mar 2018 Z	200,000,000	2016/10/21	2018/03/28	50.00
61763	SG Issuer - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/10/21	2017/03/30	50.00
61747	UBS AG - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/10/21	2017/10/30	50.00
61748	UBS AG - HSI R Bull CBBC Dec 2017 I	250,000,000	2016/10/21	2017/12/28	62.50
61744	UBS AG - HSI R Bear CBBC Jan 2017 E	200,000,000	2016/10/21	2017/01/26	50.00
61741	UBS AG - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/10/21	2017/01/26	50.00
61743	UBS AG - HSI R Bear CBBC Jan 2017 Y	200,000,000	2016/10/21	2017/01/26	50.00
61777	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 U	250,000,000	2016/10/24	2017/12/28	62.50
61791	Credit Suisse AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/10/24	2017/10/30	50.00
61790	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/10/24	2017/11/29	50.00
61792	Credit Suisse AG - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/10/24	2017/02/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61795	Credit Suisse AG - HSI R Bear CBBC Mar 2017 A	200,000,000	2016/10/24	2017/03/30	50.00
61793	Credit Suisse AG - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/10/24	2017/04/27	50.00
61815	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/10/24	2017/02/27	50.40
61780	HK Bank - COVS R Bull CBBC Oct 2017 C	40,000,000	2016/10/24	2017/10/30	22.00
61778	HK Bank - Galaxy Ent R Bear CBBC Jul 2017 B	40,000,000	2016/10/24	2017/07/31	10.00
61779	HK Bank - Sands China R Bear CBBC Apr 2017 F	40,000,000	2016/10/24	2017/04/21	10.00
61781	Haitong Int'l Sec - COVS R Bull CBBC Oct 2017 B	40,000,000	2016/10/24	2017/10/31	11.28
61788	Haitong Int'l Sec - China Life R Bull CBBC Jul 2017 A	40,000,000	2016/10/24	2017/07/24	10.00
61783	Haitong Int'l Sec - HKEX R Bear CBBC Jul 2017 A	40,000,000	2016/10/24	2017/07/25	10.00
61785	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2017 A	30,000,000	2016/10/24	2017/10/20	12.42
61782	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2017 A	40,000,000	2016/10/24	2017/09/27	10.00
61798	J P Morgan SP BV - China Mobile R Bull CBBC Nov 2017 C	100,000,000	2016/10/24	2017/11/17	25.00
61797	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Q	200,000,000	2016/10/24	2018/01/30	50.00
61811	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 P	200,000,000	2016/10/24	2017/01/26	50.00
61812	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/10/24	2017/02/27	50.00
61814	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 Z	200,000,000	2016/10/24	2017/02/27	50.00
61799	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 D	40,000,000	2016/10/24	2017/12/15	10.00
61808	SG Issuer - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/10/24	2017/03/30	50.00
61810	SG Issuer - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/10/24	2017/03/30	50.00
61800	UBS AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/10/24	2017/10/30	50.00
61801	UBS AG - HSI R Bull CBBC Dec 2017 N	250,000,000	2016/10/24	2017/12/28	62.50
61804	UBS AG - HSI R Bear CBBC Feb 2017 I	250,000,000	2016/10/24	2017/02/27	62.50
61802	UBS AG - HSI R Bear CBBC Mar 2017 P	300,000,000	2016/10/24	2017/03/30	75.00
61806	UBS AG - HSI R Bear CBBC Mar 2017 Q	300,000,000	2016/10/24	2017/03/30	75.00
61821	Credit Suisse AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/10/25	2017/09/28	50.00
61822	Credit Suisse AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/10/25	2017/10/30	50.00
61818	Credit Suisse AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/10/25	2017/03/30	50.00
61819	Credit Suisse AG - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/10/25	2017/04/27	50.00
61816	Bank of East Asia - Tencent R Bull CBBC Dec 2017 B	30,000,000	2016/10/25	2017/12/08	10.50
61817	Bank of East Asia - Tencent R Bull CBBC Dec 2017 C	30,000,000	2016/10/25	2017/12/08	10.50
61846	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 R	200,000,000	2016/10/25	2018/01/30	50.00
61842	J P Morgan SP BV - China Mobile R Bull CBBC Nov 2017 D	100,000,000	2016/10/25	2017/11/17	25.00
61840	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/10/25	2017/10/30	50.00
61839	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/10/25	2017/11/29	50.00
61838	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 I	200,000,000	2016/10/25	2017/01/26	50.00
61836	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 U	200,000,000	2016/10/25	2017/01/26	50.00
61841	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 E	40,000,000	2016/10/25	2017/12/15	10.00
61831	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/10/25	2018/03/28	50.00
61834	SG Issuer - HSI R Bull CBBC Mar 2018 L	200,000,000	2016/10/25	2018/03/28	50.00
61829	UBS AG - HSI R Bull CBBC Nov 2017 R	300,000,000	2016/10/25	2017/11/29	75.00
61823	UBS AG - HSI R Bear CBBC Jan 2017 J	250,000,000	2016/10/25	2017/01/26	62.50
61825	UBS AG - HSI R Bear CBBC Jan 2017 N	250,000,000	2016/10/25	2017/01/26	62.50
61852	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 X	250,000,000	2016/10/26	2017/11/29	62.50
61847	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 D	250,000,000	2016/10/26	2017/02/27	62.50
61856	Credit Suisse AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/10/26	2017/10/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61857	Credit Suisse AG - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/10/26	2017/03/30	50.00
61864	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 S	200,000,000	2016/10/26	2018/01/30	50.00
61849	HK Bank - HSI R Bull CBBC Oct 2017 E	150,000,000	2016/10/26	2017/10/30	37.50
61858	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/10/26	2017/10/30	50.00
61861	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 L	200,000,000	2016/10/26	2017/01/26	50.00
61848	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/10/26	2018/03/28	50.00
61862	SG Issuer - HSI R Bull CBBC Mar 2018 N	200,000,000	2016/10/26	2018/03/28	50.00
61863	SG Issuer - HSI R Bear CBBC Jan 2017 M	200,000,000	2016/10/26	2017/01/26	50.00
61859	UBS AG - HSI R Bull CBBC Sep 2017 Q	250,000,000	2016/10/26	2017/09/28	62.50
61860	UBS AG - HSI R Bear CBBC Jan 2017 S	250,000,000	2016/10/26	2017/01/26	62.50
61872	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 E	250,000,000	2016/10/27	2017/03/30	62.50
61893	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/10/27	2017/11/29	50.00
61891	Credit Suisse AG - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/10/27	2017/02/27	50.00
61865	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 Z	200,000,000	2016/10/27	2017/02/27	50.00
61869	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/10/27	2017/03/30	50.00
61874	HK Bank - HSCEI R Bull CBBC Oct 2017 C	60,000,000	2016/10/27	2017/10/30	15.00
61882	HK Bank - HSI R Bull CBBC Oct 2017 F	150,000,000	2016/10/27	2017/10/30	37.50
61889	HK Bank - Tencent R Bull CBBC Oct 2017 C	50,000,000	2016/10/27	2017/10/31	12.50
61887	HK Bank - Tencent R Bull CBBC Nov 2017 C	50,000,000	2016/10/27	2017/11/30	12.50
61896	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/10/27	2017/10/30	50.00
61895	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/10/27	2017/11/29	50.00
61894	J P Morgan SP BV - HSI R Bear CBBC Jan 2017 T	200,000,000	2016/10/27	2017/01/26	50.00
61905	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2016/10/27	2018/03/28	50.00
61873	SG Issuer - HSI R Bear CBBC Jan 2017 Z	200,000,000	2016/10/27	2017/01/26	50.00
61906	SG Issuer - HSI R Bear CBBC Mar 2017 O	200,000,000	2016/10/27	2017/03/30	50.00
61904	UBS AG - HSI R Bull CBBC Sep 2017 K	250,000,000	2016/10/27	2017/09/28	62.50
61902	UBS AG - HSI R Bull CBBC Sep 2017 Y	250,000,000	2016/10/27	2017/09/28	62.50
61899	UBS AG - HSI R Bear CBBC Jan 2017 H	200,000,000	2016/10/27	2017/01/26	50.00
61900	UBS AG - HSI R Bear CBBC Jan 2017 T	300,000,000	2016/10/27	2017/01/26	75.00
61897	UBS AG - HSI R Bear CBBC Jan 2017 X	250,000,000	2016/10/27	2017/01/26	62.50
61915	Credit Suisse AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/10/31	2017/09/28	50.00
61920	Credit Suisse AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/10/31	2017/10/30	50.00
61919	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/10/31	2017/11/29	50.00
61914	Credit Suisse AG - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/10/31	2017/02/27	50.00
61918	Credit Suisse AG - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/10/31	2017/03/30	50.00
61911	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/10/31	2017/10/30	50.00
61907	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/10/31	2017/03/30	50.00
61949	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Oct 2017 B	80,000,000	2016/10/31	2017/10/20	20.00
61944	J P Morgan SP BV - A50 China R Bull CBBC Nov 2017 B	100,000,000	2016/10/31	2017/11/17	25.00
61951	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 D	40,000,000	2016/10/31	2017/12/15	10.00
61952	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/10/31	2017/10/30	50.00
61955	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 U	200,000,000	2016/10/31	2018/01/30	50.00
61943	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 E	200,000,000	2016/10/31	2017/02/27	50.00
61950	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 C	40,000,000	2016/10/31	2017/11/17	10.00
61940	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/10/31	2018/03/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61941	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/10/31	2018/03/28	50.00
61938	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/10/31	2017/10/30	50.00
61937	UBS AG - HSI R Bull CBBC Dec 2017 O	250,000,000	2016/10/31	2017/12/28	62.50
61934	UBS AG - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/10/31	2017/02/27	50.00
61936	UBS AG - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/10/31	2017/02/27	50.00
61972	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 Y	250,000,000	2016/11/01	2017/11/29	62.50
61977	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 Z	250,000,000	2016/11/01	2017/11/29	62.50
61975	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 V	250,000,000	2016/11/01	2017/12/28	62.50
61978	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 H	250,000,000	2016/11/01	2017/02/27	62.50
61981	Credit Suisse AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/11/01	2017/09/28	50.00
61979	Credit Suisse AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/11/01	2017/10/30	50.00
61982	Credit Suisse AG - HSI R Bear CBBC Mar 2017 O	200,000,000	2016/11/01	2017/03/30	50.00
62016	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/11/01	2017/10/30	50.00
62015	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/11/01	2017/03/30	50.00
61967	HK Bank - CSOP A50 ETF R Bull CBBC Jul 2017 B	50,000,000	2016/11/01	2017/07/31	12.50
61963	HK Bank - A50 R Bull CBBC Jul 2017 B	50,000,000	2016/11/01	2017/07/31	12.50
61962	HK Bank - HKEX R Bull CBBC Oct 2017 G	50,000,000	2016/11/01	2017/10/09	12.50
61970	HK Bank - HSI R Bull CBBC Sep 2017 G	150,000,000	2016/11/01	2017/09/28	37.50
61956	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 K	150,000,000	2016/11/01	2017/02/27	38.25
61960	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 L	150,000,000	2016/11/01	2017/02/27	37.95
61961	Haitong Int'l Sec - HSI R Bear CBBC Feb 2017 M	150,000,000	2016/11/01	2017/02/27	37.50
61989	J P Morgan SP BV - AIA R Bull CBBC Nov 2017 A	100,000,000	2016/11/01	2017/11/17	25.00
61990	J P Morgan SP BV - Galaxy Ent R Bull CBBC Jan 2018 A	100,000,000	2016/11/01	2018/01/19	25.00
61983	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/11/01	2017/10/30	50.00
61984	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 V	200,000,000	2016/11/01	2018/01/30	50.00
61985	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/11/01	2017/02/27	50.00
62003	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/11/01	2018/03/28	50.00
62006	SG Issuer - HSI R Bear CBBC Feb 2017 O	200,000,000	2016/11/01	2017/02/27	50.00
62002	UBS AG - HSCEI R Bull CBBC Nov 2017 B	100,000,000	2016/11/01	2017/11/29	25.00
61993	UBS AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/11/01	2017/11/29	50.00
61998	UBS AG - HSI R Bear CBBC Feb 2017 N	250,000,000	2016/11/01	2017/02/27	62.50
62036	Credit Suisse AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/11/02	2017/09/28	50.00
62035	Credit Suisse AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/11/02	2017/10/30	50.00
62044	Credit Suisse AG - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/11/02	2017/02/27	50.00
62045	Credit Suisse AG - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/11/02	2017/03/30	50.00
62030	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 T	200,000,000	2016/11/02	2018/01/30	50.00
62033	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 U	200,000,000	2016/11/02	2018/01/30	50.00
62053	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 V	200,000,000	2016/11/02	2018/01/30	50.00
62054	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 R	200,000,000	2016/11/02	2017/03/30	50.00
62024	HK Bank - Galaxy Ent R Bull CBBC Nov 2017 D	40,000,000	2016/11/02	2017/11/27	10.00
62026	HK Bank - Sands China R Bull CBBC Sep 2017 B	40,000,000	2016/11/02	2017/09/29	10.00
62021	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/11/02	2017/12/28	50.00
62051	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 J	200,000,000	2016/11/02	2017/02/27	50.00
62057	SG Issuer - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/11/02	2017/03/30	50.00
62048	UBS AG - HSI R Bull CBBC Sep 2017 M	250,000,000	2016/11/02	2017/09/28	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62047	UBS AG - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/11/02	2017/11/29	62.50
62049	UBS AG - HSI R Bear CBBC Feb 2017 P	250,000,000	2016/11/02	2017/02/27	62.50
62050	UBS AG - HSI R Bear CBBC Mar 2017 R	250,000,000	2016/11/02	2017/03/30	62.50
62058	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 A	250,000,000	2016/11/03	2017/11/29	62.50
62060	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 B	250,000,000	2016/11/03	2017/11/29	62.50
62059	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 W	250,000,000	2016/11/03	2017/12/28	62.50
62069	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/11/03	2017/02/27	50.00
62061	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 P	250,000,000	2016/11/03	2017/02/27	62.50
62105	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 V	250,000,000	2016/11/03	2017/02/27	62.50
62108	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 J	250,000,000	2016/11/03	2017/03/30	62.50
62103	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/11/03	2017/03/30	62.50
62118	Credit Suisse AG - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/11/03	2017/09/28	50.00
62115	Credit Suisse AG - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/11/03	2017/10/30	50.00
62117	Credit Suisse AG - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/11/03	2017/12/28	50.00
62123	Credit Suisse AG - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/11/03	2017/02/27	50.00
62125	Credit Suisse AG - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/11/03	2017/03/30	50.00
62124	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Q	200,000,000	2016/11/03	2017/03/30	50.00
62072	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 W	200,000,000	2016/11/03	2018/01/30	50.00
62073	Goldman Sachs SP (Asia) - HSI R Bull CBBC Jan 2018 X	200,000,000	2016/11/03	2018/01/30	50.00
62112	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/11/03	2017/02/27	50.00
62126	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/11/03	2017/02/27	50.00
62086	HK Bank - HSI R Bear CBBC Mar 2017 R	150,000,000	2016/11/03	2017/03/30	37.50
62080	HK Bank - HSI R Bear CBBC Apr 2017 O	150,000,000	2016/11/03	2017/04/27	37.50
62162	J P Morgan SP BV - China Mobile R Bull CBBC Dec 2017 A	100,000,000	2016/11/03	2017/12/15	25.00
62165	J P Morgan SP BV - China Mobile R Bear CBBC May 2017 B	100,000,000	2016/11/03	2017/05/19	25.00
62166	J P Morgan SP BV - China Life R Bull CBBC Feb 2018 B	100,000,000	2016/11/03	2018/02/15	25.00
62077	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/11/03	2017/10/30	50.00
62078	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 W	200,000,000	2016/11/03	2018/01/30	50.00
62161	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 C	200,000,000	2016/11/03	2017/02/27	50.00
62175	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 K	200,000,000	2016/11/03	2017/02/27	50.00
62174	J P Morgan SP BV - PICC P&C R Bull CBBC Dec 2017 A	40,000,000	2016/11/03	2017/12/15	10.00
62129	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/11/03	2018/03/28	50.00
62128	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/11/03	2018/03/28	50.00
62071	SG Issuer - HSI R Bull CBBC Mar 2018 Y	200,000,000	2016/11/03	2018/03/28	50.00
62154	SG Issuer - HSI R Bear CBBC Mar 2017 Q	200,000,000	2016/11/03	2017/03/30	50.00
62157	SG Issuer - HSI R Bear CBBC Mar 2017 R	200,000,000	2016/11/03	2017/03/30	50.00
62159	SG Issuer - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/11/03	2017/03/30	50.00
62096	UBS AG - HSI R Bull CBBC Oct 2017 B	250,000,000	2016/11/03	2017/10/30	62.50
62095	UBS AG - HSI R Bull CBBC Nov 2017 O	200,000,000	2016/11/03	2017/11/29	50.00
62097	UBS AG - HSI R Bear CBBC Feb 2017 J	250,000,000	2016/11/03	2017/02/27	62.50
62098	UBS AG - HSI R Bear CBBC Feb 2017 R	250,000,000	2016/11/03	2017/02/27	62.50
62101	UBS AG - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/11/03	2017/02/27	50.00
62266	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 E	250,000,000	2016/11/04	2017/11/29	62.50
62261	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 X	250,000,000	2016/11/04	2017/12/28	62.50
62288	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/11/04	2017/02/27	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62270	Credit Suisse AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/11/04	2017/09/28	50.00
62271	Credit Suisse AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/11/04	2017/10/30	50.00
62273	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/11/04	2017/11/29	50.00
62282	Credit Suisse AG - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/11/04	2017/02/27	50.00
62280	Credit Suisse AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/11/04	2017/03/30	50.00
62283	Credit Suisse AG - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/11/04	2017/03/30	50.00
62319	Bank of East Asia - China Mobile R Bear CBBC May 2017 A	40,000,000	2016/11/04	2017/05/02	10.00
62316	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 C	25,000,000	2016/11/04	2017/12/12	10.00
62267	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/11/04	2017/10/30	50.00
62289	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/11/04	2017/03/30	50.00
62293	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/11/04	2017/03/30	50.00
62257	HK Bank - China Mobile R Bear CBBC Apr 2017 D	40,000,000	2016/11/04	2017/04/10	10.00
62258	HK Bank - China Life R Bear CBBC Apr 2017 B	40,000,000	2016/11/04	2017/04/10	12.00
62249	HK Bank - PetCh R Bull CBBC Oct 2017 B	50,000,000	2016/11/04	2017/10/30	12.50
62255	HK Bank - PetCh R Bear CBBC Mar 2017 A	40,000,000	2016/11/04	2017/03/28	10.00
62260	HK Bank - Tencent R Bear CBBC Mar 2017 B	40,000,000	2016/11/04	2017/03/28	10.00
62323	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/11/04	2017/10/30	50.00
62301	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/11/04	2017/11/29	50.00
62321	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/11/04	2017/02/27	50.00
62325	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 H	200,000,000	2016/11/04	2017/02/27	50.00
62326	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/11/04	2017/03/30	50.00
62304	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 D	40,000,000	2016/11/04	2017/10/20	10.00
62305	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 F	40,000,000	2016/11/04	2017/12/15	10.00
62302	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 E	40,000,000	2016/11/04	2017/11/17	10.00
62268	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2018 A	40,000,000	2016/11/04	2018/01/30	10.00
62269	Macquarie Bank Ltd. - HSI R Bear CBBC Jan 2018 B	40,000,000	2016/11/04	2018/01/30	10.00
62188	SG Issuer - ABC R Bull CBBC Oct 2017 A	40,000,000	2016/11/04	2017/10/30	10.00
62187	SG Issuer - ABC R Bull CBBC Dec 2017 A	40,000,000	2016/11/04	2017/12/28	10.00
62184	SG Issuer - ABC R Bull CBBC Feb 2018 A	40,000,000	2016/11/04	2018/02/27	10.00
62198	SG Issuer - ABC R Bear CBBC Jul 2017 A	40,000,000	2016/11/04	2017/07/28	10.00
62200	SG Issuer - ABC R Bear CBBC Sep 2017 A	40,000,000	2016/11/04	2017/09/28	10.00
62227	SG Issuer - BOCL R Bull CBBC Oct 2017 A	40,000,000	2016/11/04	2017/10/30	10.00
62229	SG Issuer - BOCL R Bull CBBC Dec 2017 A	40,000,000	2016/11/04	2017/12/28	10.00
62226	SG Issuer - BOCL R Bull CBBC Feb 2018 A	40,000,000	2016/11/04	2018/02/27	10.00
62230	SG Issuer - BOCL R Bear CBBC Jun 2017 A	40,000,000	2016/11/04	2017/06/29	10.00
62242	SG Issuer - CMob R Bear CBBC Jun 2017 B	100,000,000	2016/11/04	2017/06/29	25.00
62206	SG Issuer - CUni R Bull CBBC Oct 2018 A	40,000,000	2016/11/04	2018/10/30	10.00
62207	SG Issuer - CUni R Bear CBBC May 2017 A	40,000,000	2016/11/04	2017/05/29	10.00
62243	SG Issuer - CUni R Bear CBBC Jul 2017 A	40,000,000	2016/11/04	2017/07/28	10.00
62231	SG Issuer - CCB R Bear CBBC Jun 2017 B	40,000,000	2016/11/04	2017/06/29	10.00
62222	SG Issuer - CKH R Bear CBBC May 2017 A	100,000,000	2016/11/04	2017/05/29	25.00
62201	SG Issuer - CKP R Bear CBBC Aug 2017 A	100,000,000	2016/11/04	2017/08/30	25.00
62232	SG Issuer - China Life R Bull CBBC May 2018 B	100,000,000	2016/11/04	2018/05/30	25.00
62235	SG Issuer - China Life R Bear CBBC Jun 2018 A	100,000,000	2016/11/04	2018/06/28	25.00
62221	SG Issuer - CNOOC R Bull CBBC Aug 2017 B	40,000,000	2016/11/04	2017/08/30	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62218	SG Issuer - CNOOC R Bear CBBC Jun 2017 B	40,000,000	2016/11/04	2017/06/29	10.00
62213	SG Issuer - CP&CC R Bear CBBC Sep 2017 A	40,000,000	2016/11/04	2017/09/28	10.00
62217	SG Issuer - Galaxy R Bull CBBC Aug 2018 A	100,000,000	2016/11/04	2018/08/30	25.00
62244	SG Issuer - HS H-SH ETF R Bear CBBC May 2017 A	100,000,000	2016/11/04	2017/05/29	25.00
62306	SG Issuer - HSI R Bull CBBC Mar 2018 F	200,000,000	2016/11/04	2018/03/28	50.00
62308	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2016/11/04	2018/03/28	50.00
62309	SG Issuer - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/11/04	2017/03/30	50.00
62310	SG Issuer - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/11/04	2017/03/30	50.00
62312	SG Issuer - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/11/04	2017/03/30	50.00
62314	SG Issuer - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/11/04	2017/03/30	50.00
62215	SG Issuer - ICBC R Bull CBBC Aug 2017 A	40,000,000	2016/11/04	2017/08/30	10.00
62177	SG Issuer - ICBC R Bull CBBC Oct 2017 A	40,000,000	2016/11/04	2017/10/30	10.00
62183	SG Issuer - ICBC R Bull CBBC Dec 2017 A	40,000,000	2016/11/04	2017/12/28	10.00
62176	SG Issuer - Lenovo R Bull CBBC Jun 2018 A	40,000,000	2016/11/04	2018/06/28	10.00
62214	SG Issuer - PetroChina R Bull CBBC Jul 2018 A	40,000,000	2016/11/04	2018/07/30	10.00
62247	SG Issuer - PICC P&C R Bull CBBC Dec 2017 B	40,000,000	2016/11/04	2017/12/28	10.00
62245	SG Issuer - PICC P&C R Bear CBBC Jul 2017 A	40,000,000	2016/11/04	2017/07/28	10.00
62212	SG Issuer - SHK Ppt P R Bull CBBC Oct 2018 A	100,000,000	2016/11/04	2018/10/30	25.00
62208	SG Issuer - SHK Ppt P R Bear CBBC Jun 2017 A	100,000,000	2016/11/04	2017/06/29	25.00
62294	UBS AG - China Life R Bull CBBC Feb 2018 B	100,000,000	2016/11/04	2018/02/12	25.00
62298	UBS AG - HSI R Bull CBBC Oct 2017 P	250,000,000	2016/11/04	2017/10/30	62.50
62297	UBS AG - HSI R Bull CBBC Dec 2017 P	250,000,000	2016/11/04	2017/12/28	62.50
62299	UBS AG - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/11/04	2017/02/27	62.50
62300	UBS AG - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/11/04	2017/02/27	50.00
62351	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 Y	250,000,000	2016/11/07	2017/12/28	62.50
62360	Credit Suisse AG - China Life R Bull CBBC Jul 2017 A	200,000,000	2016/11/07	2017/07/25	50.00
62356	Credit Suisse AG - HSI R Bull CBBC Sep 2017 D	200,000,000	2016/11/07	2017/09/28	50.00
62357	Credit Suisse AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/11/07	2017/10/30	50.00
62355	Credit Suisse AG - HSI R Bear CBBC Feb 2017 N	200,000,000	2016/11/07	2017/02/27	50.00
62352	Credit Suisse AG - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/11/07	2017/03/30	50.00
62361	Credit Suisse AG - HSI R Bear CBBC Mar 2017 V	250,000,000	2016/11/07	2017/03/30	62.50
62342	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/11/07	2017/10/30	50.00
62365	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/11/07	2017/10/30	50.00
62369	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/11/07	2017/10/30	50.00
62335	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/11/07	2017/02/27	50.00
62346	HK Bank - AIA R Bull CBBC Nov 2017 B	40,000,000	2016/11/07	2017/11/30	10.00
62343	HK Bank - AIA R Bear CBBC Apr 2017 B	40,000,000	2016/11/07	2017/04/13	10.00
62402	J P Morgan SP BV - AIA R Bull CBBC Oct 2017 B	100,000,000	2016/11/07	2017/10/20	25.00
62405	J P Morgan SP BV - AIA R Bear CBBC Apr 2017 A	100,000,000	2016/11/07	2017/04/21	25.00
62406	J P Morgan SP BV - A50 China R Bull CBBC Oct 2017 B	100,000,000	2016/11/07	2017/10/20	25.00
62401	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/11/07	2017/10/30	50.00
62408	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 D	200,000,000	2016/11/07	2017/02/27	50.00
62329	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/11/07	2017/02/27	50.00
62381	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2016/11/07	2018/03/28	50.00
62399	SG Issuer - HSI R Bear CBBC Mar 2017 B	200,000,000	2016/11/07	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62386	SG Issuer - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/11/07	2017/03/30	50.00
62393	SG Issuer - HSI R Bear CBBC Mar 2017 Y	200,000,000	2016/11/07	2017/03/30	50.00
62394	SG Issuer - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/11/07	2017/03/30	50.00
62376	UBS AG - HSI R Bull CBBC Dec 2017 A	250,000,000	2016/11/07	2017/12/28	62.50
62373	UBS AG - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/11/07	2017/12/28	50.00
62377	UBS AG - HSI R Bear CBBC Feb 2017 B	250,000,000	2016/11/07	2017/02/27	62.50
62379	UBS AG - HSI R Bear CBBC Feb 2017 E	250,000,000	2016/11/07	2017/02/27	62.50
62378	UBS AG - HSI R Bear CBBC Feb 2017 L	250,000,000	2016/11/07	2017/02/27	62.50
62429	Credit Suisse AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/11/08	2017/09/28	50.00
62431	Credit Suisse AG - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/11/08	2017/10/30	50.00
62427	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Q	250,000,000	2016/11/08	2017/02/27	62.50
62426	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Y	200,000,000	2016/11/08	2017/03/30	50.00
62469	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/11/08	2017/10/30	50.00
62481	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/11/08	2017/10/30	50.00
62483	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/11/08	2017/02/27	50.00
62425	HK Bank - A50 R Bull CBBC Jun 2017 B	50,000,000	2016/11/08	2017/06/16	12.50
62424	HK Bank - HSI R Bull CBBC Sep 2017 H	150,000,000	2016/11/08	2017/09/28	37.50
62422	HK Bank - HSI R Bull CBBC Oct 2017 G	150,000,000	2016/11/08	2017/10/30	37.50
62420	HK Bank - Tencent R Bull CBBC Oct 2017 D	50,000,000	2016/11/08	2017/10/17	12.50
62411	Haitong Int'l Sec - AIA R Bull CBBC Sep 2017 A	40,000,000	2016/11/08	2017/09/28	10.00
62412	Haitong Int'l Sec - China Mobile R Bull CBBC Sep 2017 B	40,000,000	2016/11/08	2017/09/28	10.00
62413	Haitong Int'l Sec - China Life R Bull CBBC Jul 2017 B	40,000,000	2016/11/08	2017/07/28	10.00
62419	Haitong Int'l Sec - CM Bank R Bull CBBC Oct 2017 A	40,000,000	2016/11/08	2017/10/27	10.00
62414	Haitong Int'l Sec - Ping An R Bull CBBC Sep 2017 A	40,000,000	2016/11/08	2017/09/27	10.00
62468	J P Morgan SP BV - China Life R Bull CBBC Mar 2018 A	100,000,000	2016/11/08	2018/03/16	25.00
62461	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/11/08	2017/10/30	50.00
62462	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 S	200,000,000	2016/11/08	2017/10/30	50.00
62459	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/11/08	2017/02/27	50.00
62466	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 P	200,000,000	2016/11/08	2017/02/27	50.00
62454	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/11/08	2018/03/28	50.00
62445	UBS AG - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/11/08	2017/11/29	50.00
62449	UBS AG - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/11/08	2017/11/29	50.00
62444	UBS AG - HSI R Bull CBBC Dec 2017 X	250,000,000	2016/11/08	2017/12/28	62.50
62441	UBS AG - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/11/08	2017/02/27	50.00
62545	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 L	250,000,000	2016/11/09	2017/03/30	62.50
62513	Credit Suisse AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/11/09	2017/09/28	50.00
62527	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	250,000,000	2016/11/09	2017/11/29	62.50
62529	Credit Suisse AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/11/09	2017/03/30	50.00
62485	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/11/09	2017/10/30	50.00
62566	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/11/09	2017/10/30	50.00
62567	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/11/09	2017/10/30	50.00
62568	Goldman Sachs SP (Asia) - HSI R Bear CBBC Oct 2017 A	200,000,000	2016/11/09	2017/10/30	50.00
62512	HK Bank - CLP Holdings R Bull CBBC Dec 2017 B	40,000,000	2016/11/09	2017/12/22	10.00
62487	HK Bank - CM Bank R Bull CBBC Dec 2017 A	40,000,000	2016/11/09	2017/12/22	10.00
62499	HK Bank - HSCEI R Bear CBBC Apr 2017 E	50,000,000	2016/11/09	2017/04/27	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62505	HK Bank - HSI R Bear CBBC Apr 2017 P	150,000,000	2016/11/09	2017/04/27	37.50
62486	HK Bank - Power Assets R Bull CBBC Dec 2017 A	40,000,000	2016/11/09	2017/12/22	10.00
62493	HK Bank - Tencent R Bear CBBC Apr 2017 I	40,000,000	2016/11/09	2017/04/13	10.00
62551	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/11/09	2017/11/29	50.00
62554	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/11/09	2017/02/27	50.00
62561	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/11/09	2017/03/30	50.00
62552	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 D	40,000,000	2016/11/09	2017/11/17	10.00
62546	SG Issuer - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/11/09	2017/03/30	50.00
62549	SG Issuer - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/11/09	2017/03/30	50.00
62542	UBS AG - HSI R Bull CBBC Sep 2017 R	250,000,000	2016/11/09	2017/09/28	62.50
62530	UBS AG - HSI R Bull CBBC Nov 2017 K	250,000,000	2016/11/09	2017/11/29	62.50
62543	UBS AG - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/11/09	2017/02/27	62.50
62599	Credit Suisse AG - HKEX R Bull CBBC Sep 2017 C	40,000,000	2016/11/10	2017/09/22	10.00
62591	Credit Suisse AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/11/10	2017/09/28	50.00
62603	Credit Suisse AG - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/11/10	2017/02/27	50.00
62605	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/11/10	2017/03/30	50.00
62586	Bank of East Asia - AIA R Bull CBBC Nov 2017 A	20,000,000	2016/11/10	2017/11/29	10.00
62584	Bank of East Asia - COVS R Bull CBBC Nov 2017 B	25,000,000	2016/11/10	2017/11/29	10.00
62583	Bank of East Asia - CM Bank R Bull CBBC Nov 2017 A	25,000,000	2016/11/10	2017/11/29	10.00
62589	Bank of East Asia - HKEX R Bull CBBC Nov 2017 B	40,000,000	2016/11/10	2017/11/29	10.00
62590	Bank of East Asia - Tencent R Bear CBBC Nov 2017 A	30,000,000	2016/11/10	2017/11/29	10.50
62578	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 N	200,000,000	2016/11/10	2017/09/28	50.00
62617	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 W	200,000,000	2016/11/10	2017/02/27	50.00
62574	HK Bank - AIA R Bear CBBC Mar 2017 D	40,000,000	2016/11/10	2017/03/31	10.00
62577	HK Bank - HKEX R Bear CBBC Mar 2017 D	40,000,000	2016/11/10	2017/03/30	10.00
62570	HK Bank - HSI R Bear CBBC Mar 2017 S	150,000,000	2016/11/10	2017/03/30	37.50
62576	HK Bank - Tencent R Bear CBBC Mar 2017 C	40,000,000	2016/11/10	2017/03/30	10.00
62611	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 T	200,000,000	2016/11/10	2017/10/30	50.00
62614	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 R	200,000,000	2016/11/10	2017/02/27	50.00
62616	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/11/10	2017/03/30	50.00
62607	SG Issuer - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/11/10	2017/03/30	50.00
62618	UBS AG - HSI R Bull CBBC Oct 2017 I	250,000,000	2016/11/10	2017/10/30	62.50
62620	UBS AG - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/11/10	2017/03/30	62.50
62621	UBS AG - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/11/10	2017/03/30	50.00
62634	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/11/11	2017/11/29	50.00
62636	Credit Suisse AG - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/11/11	2017/02/27	50.00
62635	Credit Suisse AG - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/11/11	2017/03/30	50.00
62630	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 O	200,000,000	2016/11/11	2017/09/28	50.00
62632	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/11/11	2017/09/28	50.00
62633	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 G	200,000,000	2016/11/11	2017/02/27	50.00
62631	HK Bank - HSI R Bear CBBC Mar 2017 T	150,000,000	2016/11/11	2017/03/30	37.50
62622	Haitong Int'l Sec - HSI R Bear CBBC Mar 2017 C	150,000,000	2016/11/11	2017/03/30	37.65
62625	Haitong Int'l Sec - HSI R Bear CBBC Mar 2017 D	150,000,000	2016/11/11	2017/03/30	37.65
62627	Haitong Int'l Sec - HSI R Bear CBBC Mar 2017 E	150,000,000	2016/11/11	2017/03/30	37.65
62649	J P Morgan SP BV - China Mobile R Bear CBBC May 2017 C	100,000,000	2016/11/11	2017/05/19	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62648	J P Morgan SP BV - HKEX R Bear CBBC Apr 2017 D	40,000,000	2016/11/11	2017/04/21	10.00
62644	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/11/11	2017/10/30	50.00
62645	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/11/11	2017/03/30	50.00
62647	J P Morgan SP BV - Tencent R Bear CBBC Oct 2017 B	40,000,000	2016/11/11	2017/10/20	10.00
62643	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/11/11	2018/03/28	50.00
62637	UBS AG - HSI R Bull CBBC Oct 2017 W	200,000,000	2016/11/11	2017/10/30	50.00
62642	UBS AG - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/11/11	2017/03/30	62.50
62683	BNP Paribas Arbit Issu B.V. - HSCEI R Bear CBBC Mar 2017 K	100,000,000	2016/11/14	2017/03/30	25.00
62680	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 F	250,000,000	2016/11/14	2017/11/29	62.50
62685	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 Z	250,000,000	2016/11/14	2017/12/28	62.50
62681	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 W	250,000,000	2016/11/14	2017/02/27	62.50
62687	Credit Suisse AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/11/14	2017/09/28	50.00
62688	Credit Suisse AG - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/11/14	2017/10/30	50.00
62679	Credit Suisse AG - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/11/14	2017/02/27	50.00
62676	Credit Suisse AG - HSI R Bear CBBC Apr 2017 J	250,000,000	2016/11/14	2017/04/27	62.50
62692	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 Q	200,000,000	2016/11/14	2017/09/28	50.00
62652	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 A	200,000,000	2016/11/14	2017/04/27	50.00
62674	HK Bank - AAC Tech R Bull CBBC Oct 2017 A	40,000,000	2016/11/14	2017/10/17	10.00
62654	HK Bank - BOC HK R Bull CBBC Oct 2017 A	40,000,000	2016/11/14	2017/10/31	12.00
62663	HK Bank - BOCL R Bull CBBC Dec 2017 A	50,000,000	2016/11/14	2017/12/29	12.50
62656	HK Bank - China Mobile R Bull CBBC Oct 2017 B	50,000,000	2016/11/14	2017/10/17	12.50
62660	HK Bank - CNOOC R Bear CBBC Apr 2017 C	40,000,000	2016/11/14	2017/04/13	10.00
62669	HK Bank - Geely Auto R Bull CBBC Jul 2017 A	40,000,000	2016/11/14	2017/07/28	10.00
62655	HK Bank - HKEX R Bull CBBC Oct 2017 H	50,000,000	2016/11/14	2017/10/16	12.50
62664	HK Bank - Tencent R Bull CBBC Sep 2017 F	50,000,000	2016/11/14	2017/09/29	12.50
62667	HK Bank - Tencent R Bull CBBC Sep 2017 G	50,000,000	2016/11/14	2017/09/22	12.50
62653	HK Bank - China Vanke R Bull CBBC Sep 2017 A	40,000,000	2016/11/14	2017/09/15	12.00
62695	J P Morgan SP BV - AAC Tech R Bull CBBC Jul 2017 A	80,000,000	2016/11/14	2017/07/21	20.00
62696	J P Morgan SP BV - BOC HK R Bull CBBC Oct 2017 A	100,000,000	2016/11/14	2017/10/20	25.00
62694	J P Morgan SP BV - Geely Auto R Bull CBBC Jul 2017 A	80,000,000	2016/11/14	2017/07/21	20.00
62698	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 V	200,000,000	2016/11/14	2017/10/30	50.00
62693	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/11/14	2018/03/28	50.00
62650	SG Issuer - SHK Ppt P R Bull CBBC Oct 2018 B	100,000,000	2016/11/14	2018/10/30	25.00
62651	SG Issuer - SHK Ppt P R Bear CBBC Sep 2017 A	100,000,000	2016/11/14	2017/09/28	25.00
62690	UBS AG - HSI R Bull CBBC Dec 2017 Y	250,000,000	2016/11/14	2017/12/28	62.50
62689	UBS AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/11/14	2017/03/30	50.00
62720	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 G	250,000,000	2016/11/15	2017/11/29	62.50
62721	Credit Suisse AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/11/15	2017/09/28	50.00
62719	Credit Suisse AG - HSI R Bull CBBC Dec 2017 S	250,000,000	2016/11/15	2017/12/28	62.50
62705	Credit Suisse AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/11/15	2017/03/30	50.00
62703	Credit Suisse AG - HSI R Bear CBBC Apr 2017 K	250,000,000	2016/11/15	2017/04/27	62.50
62738	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/11/15	2017/09/28	50.00
62739	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 B	200,000,000	2016/11/15	2017/04/27	50.00
62702	HK Bank - HSI R Bull CBBC Sep 2017 I	150,000,000	2016/11/15	2017/09/28	37.50
62701	HK Bank - HSI R Bear CBBC Sep 2017 E	200,000,000	2016/11/15	2017/09/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62699	HK Bank - SHK Ppt R Bull CBBC Dec 2017 B	40,000,000	2016/11/15	2017/12/22	10.00
62700	HK Bank - SHK Ppt R Bear CBBC Sep 2017 B	40,000,000	2016/11/15	2017/09/14	10.00
62723	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/11/15	2017/11/29	50.00
62724	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/11/15	2017/03/30	50.00
62726	J P Morgan SP BV - SHK Ppt R Bull CBBC Dec 2017 A	80,000,000	2016/11/15	2017/12/15	20.00
62727	J P Morgan SP BV - SHK Ppt R Bull CBBC Jan 2018 A	80,000,000	2016/11/15	2018/01/19	20.00
62725	J P Morgan SP BV - China Vanke R Bull CBBC Feb 2018 A	300,000,000	2016/11/15	2018/02/15	75.00
62707	SG Issuer - AAC Tech R Bull CBBC Aug 2017 A	100,000,000	2016/11/15	2017/08/30	25.00
62706	SG Issuer - AAC Tech R Bull CBBC Oct 2017 A	100,000,000	2016/11/15	2017/10/30	25.00
62713	SG Issuer - BOC HK R Bull CBBC Jul 2018 A	100,000,000	2016/11/15	2018/07/30	25.00
62712	SG Issuer - BOC HK R Bull CBBC Oct 2018 A	100,000,000	2016/11/15	2018/10/30	25.00
62711	SG Issuer - BOC HK R Bull CBBC Dec 2018 A	100,000,000	2016/11/15	2018/12/28	25.00
62718	SG Issuer - Geely Auto R Bull CBBC May 2018 A	40,000,000	2016/11/15	2018/05/30	10.00
62716	SG Issuer - Geely Auto R Bull CBBC Jul 2018 A	40,000,000	2016/11/15	2018/07/30	10.00
62715	SG Issuer - Geely Auto R Bull CBBC Sep 2018 A	40,000,000	2016/11/15	2018/09/27	10.00
62714	SG Issuer - Geely Auto R Bull CBBC Nov 2018 A	40,000,000	2016/11/15	2018/11/29	10.00
62731	SG Issuer - HSI R Bull CBBC Mar 2018 L	200,000,000	2016/11/15	2018/03/28	50.00
62733	SG Issuer - HSI R Bull CBBC Mar 2018 N	200,000,000	2016/11/15	2018/03/28	50.00
62736	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2016/11/15	2018/03/28	50.00
62742	UBS AG - HSI R Bull CBBC Nov 2017 A	250,000,000	2016/11/15	2017/11/29	62.50
62741	UBS AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/11/15	2017/11/29	50.00
62744	UBS AG - HSI R Bear CBBC Feb 2017 A	250,000,000	2016/11/15	2017/02/27	62.50
62745	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 H	250,000,000	2016/11/16	2017/11/29	62.50
62752	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 I	250,000,000	2016/11/16	2017/11/29	62.50
62756	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 J	250,000,000	2016/11/16	2017/11/29	62.50
62746	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 A	250,000,000	2016/11/16	2017/12/28	62.50
62754	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 B	250,000,000	2016/11/16	2017/12/28	62.50
62758	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 F	250,000,000	2016/11/16	2017/12/28	62.50
62782	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 G	250,000,000	2016/11/16	2017/12/28	62.50
62792	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 L	250,000,000	2016/11/16	2017/02/27	62.50
62786	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 Y	250,000,000	2016/11/16	2017/02/27	62.50
62783	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 B	250,000,000	2016/11/16	2017/03/30	62.50
62784	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 H	250,000,000	2016/11/16	2017/03/30	62.50
62787	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/11/16	2017/03/30	62.50
62791	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/11/16	2017/03/30	62.50
62820	Credit Suisse AG - HSCEI R Bull CBBC Oct 2017 A	100,000,000	2016/11/16	2017/10/30	25.00
62818	Credit Suisse AG - HSCEI R Bull CBBC Nov 2017 C	100,000,000	2016/11/16	2017/11/29	25.00
62769	Credit Suisse AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/11/16	2017/09/28	50.00
62781	Credit Suisse AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/11/16	2017/09/28	50.00
62809	Credit Suisse AG - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/11/16	2017/10/30	50.00
62776	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/11/16	2017/11/29	50.00
62772	Credit Suisse AG - HSI R Bull CBBC Dec 2017 X	200,000,000	2016/11/16	2017/12/28	50.00
62774	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/11/16	2017/12/28	50.00
62806	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Z	250,000,000	2016/11/16	2017/12/28	62.50
62813	Credit Suisse AG - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/11/16	2017/02/27	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62817	Credit Suisse AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/11/16	2017/03/30	50.00
62815	Credit Suisse AG - HSI R Bear CBBC Apr 2017 L	200,000,000	2016/11/16	2017/04/27	50.00
62810	Credit Suisse AG - HSI R Bear CBBC Dec 2017 A	250,000,000	2016/11/16	2017/12/28	62.50
62748	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 S	200,000,000	2016/11/16	2017/09/28	50.00
62751	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 T	200,000,000	2016/11/16	2017/09/28	50.00
62800	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 U	200,000,000	2016/11/16	2017/09/28	50.00
62801	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 V	200,000,000	2016/11/16	2017/09/28	50.00
62804	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 W	200,000,000	2016/11/16	2017/09/28	50.00
62805	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 C	200,000,000	2016/11/16	2017/04/27	50.00
62855	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 D	200,000,000	2016/11/16	2017/04/27	50.00
62856	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 E	200,000,000	2016/11/16	2017/04/27	50.00
62766	HK Bank - HSCEI R Bear CBBC Apr 2017 F	50,000,000	2016/11/16	2017/04/27	12.50
62765	HK Bank - HSCEI R Bear CBBC Sep 2017 A	50,000,000	2016/11/16	2017/09/28	12.50
62760	HK Bank - HSI R Bull CBBC Sep 2017 J	150,000,000	2016/11/16	2017/09/28	37.50
62761	HK Bank - HSI R Bull CBBC Oct 2017 H	150,000,000	2016/11/16	2017/10/30	37.50
62762	HK Bank - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/11/16	2017/11/29	50.00
62763	HK Bank - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/11/16	2017/11/29	50.00
62764	HK Bank - HSI R Bear CBBC Apr 2017 Q	150,000,000	2016/11/16	2017/04/27	37.50
62857	J P Morgan SP BV - Geely Auto R Bull CBBC Dec 2017 A	80,000,000	2016/11/16	2017/12/15	20.00
62865	J P Morgan SP BV - Geely Auto R Bull CBBC Feb 2018 A	80,000,000	2016/11/16	2018/02/15	20.00
62845	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 X	200,000,000	2016/11/16	2017/10/30	50.00
62848	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/11/16	2017/11/29	50.00
62852	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 X	200,000,000	2016/11/16	2018/01/30	50.00
62860	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 T	200,000,000	2016/11/16	2017/02/27	50.00
62854	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/11/16	2017/02/27	50.00
62862	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 X	200,000,000	2016/11/16	2017/02/27	50.00
62822	SG Issuer - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/11/16	2017/12/28	50.00
62795	SG Issuer - HSI R Bull CBBC Mar 2018 H	200,000,000	2016/11/16	2018/03/28	50.00
62793	SG Issuer - HSI R Bull CBBC Mar 2018 W	200,000,000	2016/11/16	2018/03/28	50.00
62794	SG Issuer - HSI R Bull CBBC Mar 2018 Z	200,000,000	2016/11/16	2018/03/28	50.00
62797	SG Issuer - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/11/16	2017/03/30	50.00
62798	SG Issuer - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/11/16	2017/03/30	50.00
62823	SG Issuer - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/11/16	2017/03/30	50.00
62842	UBS AG - HSI R Bull CBBC Sep 2017 N	250,000,000	2016/11/16	2017/09/28	62.50
62844	UBS AG - HSI R Bull CBBC Sep 2017 Q	250,000,000	2016/11/16	2017/09/28	62.50
62825	UBS AG - HSI R Bull CBBC Sep 2017 Z	250,000,000	2016/11/16	2017/09/28	62.50
62826	UBS AG - HSI R Bull CBBC Oct 2017 B	250,000,000	2016/11/16	2017/10/30	62.50
62829	UBS AG - HSI R Bull CBBC Oct 2017 H	250,000,000	2016/11/16	2017/10/30	62.50
62831	UBS AG - HSI R Bull CBBC Nov 2017 J	250,000,000	2016/11/16	2017/11/29	62.50
62830	UBS AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/11/16	2017/11/29	50.00
62843	UBS AG - HSI R Bull CBBC Dec 2017 Z	250,000,000	2016/11/16	2017/12/28	62.50
62836	UBS AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/11/16	2017/03/30	50.00
62835	UBS AG - HSI R Bear CBBC Apr 2017 H	250,000,000	2016/11/16	2017/04/27	62.50
62837	UBS AG - HSI R Bear CBBC Apr 2017 I	250,000,000	2016/11/16	2017/04/27	62.50
62838	UBS AG - HSI R Bear CBBC Apr 2017 J	250,000,000	2016/11/16	2017/04/27	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62899	Credit Suisse AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/11/17	2017/09/28	50.00
62895	Credit Suisse AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/11/17	2017/10/30	50.00
62897	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/11/17	2017/11/29	50.00
62890	Credit Suisse AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/11/17	2017/03/30	50.00
62893	Credit Suisse AG - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/11/17	2017/03/30	50.00
62894	Credit Suisse AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2016/11/17	2017/04/27	50.00
62901	Credit Suisse AG - Tencent R Bull CBBC Sep 2017 D	40,000,000	2016/11/17	2017/09/12	10.00
62925	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2017 X	200,000,000	2016/11/17	2017/09/28	50.00
62927	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/11/17	2017/10/30	50.00
62923	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 F	200,000,000	2016/11/17	2017/04/27	50.00
62866	Goldman Sachs SP (Asia) - HSI R Bear CBBC Sep 2017 A	200,000,000	2016/11/17	2017/09/28	50.00
62888	HK Bank - BOCL R Bull CBBC Oct 2017 A	50,000,000	2016/11/17	2017/10/16	12.50
62889	HK Bank - CNOOC R Bull CBBC Oct 2017 D	50,000,000	2016/11/17	2017/10/16	12.50
62873	HK Bank - Sinopec Corp R Bull CBBC Oct 2017 C	50,000,000	2016/11/17	2017/10/16	12.50
62875	HK Bank - Galaxy Ent R Bear CBBC Nov 2017 B	40,000,000	2016/11/17	2017/11/16	10.00
62874	HK Bank - HSCEI R Bull CBBC Aug 2017 F	60,000,000	2016/11/17	2017/08/30	15.00
62871	HK Bank - HSI R Bull CBBC Jul 2017 M	150,000,000	2016/11/17	2017/07/28	37.50
62870	HK Bank - HSI R Bull CBBC Aug 2017 N	150,000,000	2016/11/17	2017/08/30	37.50
62868	HK Bank - HSI R Bull CBBC Sep 2017 K	150,000,000	2016/11/17	2017/09/28	37.50
62867	HK Bank - HSI R Bull CBBC Oct 2017 I	150,000,000	2016/11/17	2017/10/30	37.50
62963	J P Morgan SP BV - China Life R Bull CBBC Mar 2018 B	100,000,000	2016/11/17	2018/03/16	25.00
62949	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 E	40,000,000	2016/11/17	2017/12/15	10.00
62964	J P Morgan SP BV - HSBC R Bull CBBC Jan 2018 B	100,000,000	2016/11/17	2018/01/19	25.00
62968	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/11/17	2017/10/30	50.00
62970	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/11/17	2017/11/29	50.00
62972	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/11/17	2017/11/29	50.00
62977	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Y	200,000,000	2016/11/17	2018/01/30	50.00
62932	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 F	200,000,000	2016/11/17	2017/02/27	50.00
62928	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 Y	200,000,000	2016/11/17	2017/02/27	50.00
62931	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/11/17	2017/04/27	50.00
62960	J P Morgan SP BV - Ping An R Bull CBBC Dec 2017 D	200,000,000	2016/11/17	2017/12/15	50.00
62948	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 G	40,000,000	2016/11/17	2017/12/15	10.00
62938	SG Issuer - HSI R Bull CBBC Mar 2018 I	200,000,000	2016/11/17	2018/03/28	50.00
62934	SG Issuer - HSI R Bull CBBC Mar 2018 J	200,000,000	2016/11/17	2018/03/28	50.00
62936	SG Issuer - HSI R Bull CBBC Mar 2018 K	200,000,000	2016/11/17	2018/03/28	50.00
62940	SG Issuer - HSI R Bull CBBC Mar 2018 O	200,000,000	2016/11/17	2018/03/28	50.00
62942	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/11/17	2018/03/28	50.00
62943	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/11/17	2018/03/28	50.00
62944	SG Issuer - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/11/17	2017/03/30	50.00
62945	SG Issuer - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/11/17	2017/03/30	50.00
62922	UBS AG - HSCEI R Bear CBBC Mar 2017 A	100,000,000	2016/11/17	2017/03/30	25.00
62913	UBS AG - HSI R Bull CBBC Sep 2017 A	200,000,000	2016/11/17	2017/09/28	50.00
62915	UBS AG - HSI R Bull CBBC Sep 2017 J	250,000,000	2016/11/17	2017/09/28	62.50
62914	UBS AG - HSI R Bull CBBC Sep 2017 Y	250,000,000	2016/11/17	2017/09/28	62.50
62903	UBS AG - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/11/17	2017/03/30	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62911	UBS AG - HSI R Bear CBBC Mar 2017 Y	200,000,000	2016/11/17	2017/03/30	50.00
62905	UBS AG - HSI R Bear CBBC Apr 2017 K	200,000,000	2016/11/17	2017/04/27	50.00
63042	Credit Suisse AG - HSBC R Bull CBBC Nov 2017 C	50,000,000	2016/11/18	2017/11/28	12.50
63041	Credit Suisse AG - HSBC R Bull CBBC Dec 2017 C	50,000,000	2016/11/18	2017/12/29	12.50
63029	Credit Suisse AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/11/18	2017/09/28	50.00
63040	Credit Suisse AG - HSI R Bull CBBC Oct 2017 K	200,000,000	2016/11/18	2017/10/30	50.00
63038	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/11/18	2017/11/29	50.00
63046	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/11/18	2017/03/30	50.00
63047	Credit Suisse AG - HSI R Bear CBBC Apr 2017 N	200,000,000	2016/11/18	2017/04/27	50.00
63016	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 B	200,000,000	2016/11/18	2017/10/30	50.00
63115	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/11/18	2017/04/27	50.00
63017	HK Bank - HKEX R Bull CBBC Oct 2017 I	50,000,000	2016/11/18	2017/10/23	12.50
63019	HK Bank - HKEX R Bull CBBC Nov 2017 D	50,000,000	2016/11/18	2017/11/30	12.50
63018	HK Bank - Sands China R Bull CBBC Aug 2017 D	40,000,000	2016/11/18	2017/08/18	10.00
63023	HK Bank - Tencent R Bull CBBC Sep 2017 H	50,000,000	2016/11/18	2017/09/28	12.50
63024	HK Bank - Tencent R Bull CBBC Oct 2017 E	50,000,000	2016/11/18	2017/10/23	12.50
63055	J P Morgan SP BV - China Mobile R Bear CBBC Aug 2017 A	100,000,000	2016/11/18	2017/08/18	25.00
63056	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Sep 2017 B	100,000,000	2016/11/18	2017/09/15	25.00
63057	J P Morgan SP BV - A50 China R Bull CBBC Nov 2017 C	100,000,000	2016/11/18	2017/11/17	25.00
63051	J P Morgan SP BV - HKEX R Bull CBBC Dec 2017 F	40,000,000	2016/11/18	2017/12/15	10.00
63053	J P Morgan SP BV - HSBC R Bull CBBC Dec 2017 D	100,000,000	2016/11/18	2017/12/15	25.00
63027	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/11/18	2017/10/30	50.00
63028	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/11/18	2017/11/29	50.00
63062	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/11/18	2017/11/29	50.00
63061	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/11/18	2017/03/30	50.00
63060	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/11/18	2017/04/27	50.00
63049	J P Morgan SP BV - Tencent R Bear CBBC Dec 2017 B	40,000,000	2016/11/18	2017/12/15	10.00
62978	SG Issuer - AIA R Bear CBBC Jul 2017 B	100,000,000	2016/11/18	2017/07/28	25.00
62991	SG Issuer - COVS R Bull CBBC Oct 2018 A	100,000,000	2016/11/18	2018/10/30	25.00
62993	SG Issuer - COVS R Bear CBBC Sep 2017 A	100,000,000	2016/11/18	2017/09/28	25.00
62995	SG Issuer - COVS R Bear CBBC Nov 2017 A	100,000,000	2016/11/18	2017/11/29	25.00
63006	SG Issuer - CP&CC R Bull CBBC Jul 2018 A	40,000,000	2016/11/18	2018/07/30	10.00
62999	SG Issuer - A50 R Bull CBBC Jan 2018 A	40,000,000	2016/11/18	2018/01/30	10.00
63003	SG Issuer - A50 R Bull CBBC Mar 2018 A	40,000,000	2016/11/18	2018/03/28	10.00
62996	SG Issuer - HSBC R Bull CBBC Mar 2018 D	100,000,000	2016/11/18	2018/03/28	25.00
63083	SG Issuer - HSI R Bull CBBC Mar 2018 A	200,000,000	2016/11/18	2018/03/28	50.00
63087	SG Issuer - HSI R Bull CBBC Mar 2018 E	200,000,000	2016/11/18	2018/03/28	50.00
63088	SG Issuer - HSI R Bull CBBC Mar 2018 G	200,000,000	2016/11/18	2018/03/28	50.00
63107	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/11/18	2018/03/28	50.00
63015	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/11/18	2018/03/28	50.00
63108	SG Issuer - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/11/18	2017/03/30	50.00
63005	SG Issuer - Lenovo R Bull CBBC Oct 2018 A	40,000,000	2016/11/18	2018/10/30	10.00
63012	SG Issuer - SHK Ppt P R Bull CBBC Aug 2018 A	100,000,000	2016/11/18	2018/08/30	25.00
63014	SG Issuer - Tencent R Bear CBBC May 2018 A	100,000,000	2016/11/18	2018/05/30	25.00
63078	UBS AG - AIA R Bull CBBC Sep 2017 A	100,000,000	2016/11/18	2017/09/11	25.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63076	UBS AG - China Mobile R Bull CBBC Dec 2017 A	100,000,000	2016/11/18	2017/12/18	25.00
63063	UBS AG - HSI R Bull CBBC Sep 2017 M	200,000,000	2016/11/18	2017/09/28	50.00
63069	UBS AG - HSI R Bull CBBC Sep 2017 O	250,000,000	2016/11/18	2017/09/28	62.50
63068	UBS AG - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/11/18	2017/10/30	50.00
63072	UBS AG - HSI R Bull CBBC Oct 2017 Q	250,000,000	2016/11/18	2017/10/30	62.50
63070	UBS AG - HSI R Bull CBBC Nov 2017 O	250,000,000	2016/11/18	2017/11/29	62.50
63066	UBS AG - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/11/18	2017/11/29	62.50
63067	UBS AG - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/11/18	2017/12/28	50.00
63082	UBS AG - HSI R Bear CBBC Mar 2017 D	250,000,000	2016/11/18	2017/03/30	62.50
63079	UBS AG - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/11/18	2017/03/30	62.50
63074	UBS AG - Tencent R Bull CBBC Jun 2017 G	50,000,000	2016/11/18	2017/06/19	12.50
63073	UBS AG - Tencent R Bull CBBC Sep 2017 B	50,000,000	2016/11/18	2017/09/11	12.50
63164	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/11/21	2017/02/27	62.50
63154	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/11/21	2017/11/29	50.00
63155	Credit Suisse AG - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/11/21	2017/12/28	50.00
63157	Credit Suisse AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2016/11/21	2017/04/27	50.00
63158	Credit Suisse AG - HSI R Bear CBBC Apr 2017 P	200,000,000	2016/11/21	2017/04/27	50.00
63159	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Q	200,000,000	2016/11/21	2017/04/27	50.00
63133	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/11/21	2017/12/28	50.00
63166	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 I	200,000,000	2016/11/21	2017/02/27	50.00
63129	HK Bank - ABC R Bull CBBC Oct 2017 A	50,000,000	2016/11/21	2017/10/31	12.50
63123	HK Bank - China Mobile R Bull CBBC Oct 2017 C	50,000,000	2016/11/21	2017/10/31	12.50
63124	HK Bank - China Mobile R Bear CBBC Apr 2017 E	40,000,000	2016/11/21	2017/04/27	10.00
63122	HK Bank - A50 R Bull CBBC Jul 2017 C	50,000,000	2016/11/21	2017/07/17	12.50
63127	HK Bank - HSI R Bear CBBC Apr 2017 R	150,000,000	2016/11/21	2017/04/27	37.50
63125	HK Bank - Tencent R Bear CBBC Mar 2017 D	40,000,000	2016/11/21	2017/03/31	10.00
63126	HK Bank - Tencent R Bear CBBC Mar 2017 E	40,000,000	2016/11/21	2017/03/17	10.00
63140	Haitong Int'l Sec - AAC Tech R Bull CBBC Oct 2017 A	40,000,000	2016/11/21	2017/10/19	10.00
63135	Haitong Int'l Sec - China Mobile R Bull CBBC Sep 2017 C	40,000,000	2016/11/21	2017/09/28	10.00
63145	Haitong Int'l Sec - Geely Auto R Bull CBBC Sep 2017 A	40,000,000	2016/11/21	2017/09/27	10.00
63148	Haitong Int'l Sec - HSBC R Bull CBBC Nov 2017 A	40,000,000	2016/11/21	2017/11/29	10.00
63136	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2017 C	40,000,000	2016/11/21	2017/09/28	10.00
63147	Haitong Int'l Sec - China Vanke R Bull CBBC Sep 2017 A	40,000,000	2016/11/21	2017/09/13	10.60
63116	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/11/21	2017/10/30	50.00
63117	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 F	200,000,000	2016/11/21	2018/01/30	50.00
63180	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 M	200,000,000	2016/11/21	2017/02/27	50.00
63181	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/11/21	2017/03/30	50.00
63182	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/11/21	2017/03/30	50.00
63179	J P Morgan SP BV - SHK Ppt R Bull CBBC Feb 2018 A	80,000,000	2016/11/21	2018/02/15	20.00
63176	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 E	40,000,000	2016/11/21	2017/09/15	10.00
63118	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 E	40,000,000	2016/11/21	2017/11/17	10.00
63119	J P Morgan SP BV - Tencent R Bull CBBC Dec 2017 H	40,000,000	2016/11/21	2017/12/15	10.00
63178	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 F	40,000,000	2016/11/21	2017/11/17	10.00
63152	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2017 A	80,000,000	2016/11/21	2017/12/28	20.00
63153	Macquarie Bank Ltd. - HSI R Bear CBBC Dec 2017 B	80,000,000	2016/11/21	2017/12/28	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63196	SG Issuer - CMob R Bear CBBC Jul 2017 A	100,000,000	2016/11/21	2017/07/28	25.00
63195	SG Issuer - CMob R Bear CBBC Sep 2018 A	100,000,000	2016/11/21	2018/09/27	25.00
63206	SG Issuer - HKEX R Bull CBBC Oct 2018 A	100,000,000	2016/11/21	2018/10/30	25.00
63201	SG Issuer - HKEX R Bull CBBC Nov 2018 A	100,000,000	2016/11/21	2018/11/29	25.00
63207	SG Issuer - HKEX R Bull CBBC Nov 2018 B	100,000,000	2016/11/21	2018/11/29	25.00
63213	SG Issuer - HKEX R Bear CBBC Jun 2018 A	100,000,000	2016/11/21	2018/06/28	25.00
63209	SG Issuer - HKEX R Bear CBBC Sep 2018 A	100,000,000	2016/11/21	2018/09/27	25.00
63167	SG Issuer - HSI R Bull CBBC Mar 2018 Y	200,000,000	2016/11/21	2018/03/28	50.00
63175	SG Issuer - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/11/21	2017/03/30	50.00
63171	SG Issuer - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/11/21	2017/03/30	50.00
63173	SG Issuer - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/11/21	2017/03/30	50.00
63174	SG Issuer - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/11/21	2017/03/30	50.00
63216	SG Issuer - Tencent R Bull CBBC Sep 2018 A	100,000,000	2016/11/21	2018/09/27	25.00
63215	SG Issuer - Tencent R Bull CBBC Nov 2018 A	100,000,000	2016/11/21	2018/11/29	25.00
63218	SG Issuer - Tencent R Bear CBBC Jun 2017 B	100,000,000	2016/11/21	2017/06/29	25.00
63220	SG Issuer - Tencent R Bear CBBC Jun 2017 C	100,000,000	2016/11/21	2017/06/29	25.00
63217	SG Issuer - Tencent R Bear CBBC Aug 2017 A	100,000,000	2016/11/21	2017/08/30	25.00
63219	SG Issuer - Tencent R Bear CBBC Aug 2017 B	100,000,000	2016/11/21	2017/08/30	25.00
63184	UBS AG - HSI R Bull CBBC Sep 2017 D	250,000,000	2016/11/21	2017/09/28	62.50
63183	UBS AG - HSI R Bull CBBC Oct 2017 J	300,000,000	2016/11/21	2017/10/30	75.00
63186	UBS AG - HSI R Bull CBBC Dec 2017 D	200,000,000	2016/11/21	2017/12/28	50.00
63191	UBS AG - HSI R Bear CBBC Feb 2017 I	250,000,000	2016/11/21	2017/02/27	62.50
63190	UBS AG - HSI R Bear CBBC Feb 2017 L	250,000,000	2016/11/21	2017/02/27	62.50
63189	UBS AG - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/11/21	2017/03/30	50.00
63193	UBS AG - HSI R Bear CBBC Apr 2017 L	200,000,000	2016/11/21	2017/04/27	50.00
63247	Credit Suisse AG - China Life R Bull CBBC Nov 2017 A	50,000,000	2016/11/22	2017/11/13	12.50
63248	Credit Suisse AG - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/11/22	2017/10/30	50.00
63245	Credit Suisse AG - HSI R Bear CBBC Apr 2017 R	200,000,000	2016/11/22	2017/04/27	50.00
63246	Credit Suisse AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2016/11/22	2017/04/27	50.00
63290	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 H	200,000,000	2016/11/22	2017/12/28	50.00
63289	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 S	200,000,000	2016/11/22	2017/02/27	50.00
63227	HK Bank - BOCL R Bear CBBC Aug 2017 A	40,000,000	2016/11/22	2017/08/31	10.00
63222	HK Bank - COVS R Bull CBBC Nov 2017 B	40,000,000	2016/11/22	2017/11/30	24.00
63237	HK Bank - PetCh R Bull CBBC Nov 2017 A	50,000,000	2016/11/22	2017/11/30	12.50
63224	HK Bank - Sands China R Bear CBBC Aug 2017 A	40,000,000	2016/11/22	2017/08/31	10.00
63231	HK Bank - Tencent R Bull CBBC Aug 2017 K	50,000,000	2016/11/22	2017/08/31	12.50
63301	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 F	100,000,000	2016/11/22	2017/11/17	25.00
63302	J P Morgan SP BV - HSBC R Bear CBBC Jul 2017 A	100,000,000	2016/11/22	2017/07/21	25.00
63297	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Y	200,000,000	2016/11/22	2017/10/30	50.00
63298	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 G	200,000,000	2016/11/22	2018/01/30	50.00
63295	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/11/22	2017/03/30	50.00
63299	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/11/22	2017/03/30	50.00
63303	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 E	40,000,000	2016/11/22	2017/10/20	10.00
63300	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 G	40,000,000	2016/11/22	2017/11/17	10.00
63239	SG Issuer - CMob R Bull CBBC Jul 2018 A	100,000,000	2016/11/22	2018/07/30	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63238	SG Issuer - CMob R Bull CBBC Oct 2018 A	100,000,000	2016/11/22	2018/10/30	25.00
63291	SG Issuer - HSI R Bull CBBC Mar 2018 F	200,000,000	2016/11/22	2018/03/28	50.00
63292	SG Issuer - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/11/22	2017/03/30	50.00
63294	SG Issuer - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/11/22	2017/03/30	50.00
63293	SG Issuer - HSI R Bear CBBC Apr 2017 A	200,000,000	2016/11/22	2017/04/27	50.00
63265	UBS AG - CSOP A50 ETF R Bull CBBC Aug 2017 A	50,000,000	2016/11/22	2017/08/07	12.50
63279	UBS AG - A50 China R Bull CBBC Oct 2017 A	50,000,000	2016/11/22	2017/10/30	12.50
63256	UBS AG - HSBC R Bull CBBC Nov 2017 D	100,000,000	2016/11/22	2017/11/13	25.00
63282	UBS AG - HSI R Bull CBBC Oct 2017 L	250,000,000	2016/11/22	2017/10/30	62.50
63283	UBS AG - HSI R Bull CBBC Nov 2017 B	250,000,000	2016/11/22	2017/11/29	62.50
63285	UBS AG - HSI R Bull CBBC Nov 2017 Q	250,000,000	2016/11/22	2017/11/29	62.50
63288	UBS AG - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/11/22	2017/03/30	62.50
63250	UBS AG - Tencent R Bull CBBC Sep 2017 C	50,000,000	2016/11/22	2017/09/04	12.50
63251	UBS AG - Tencent R Bear CBBC Apr 2017 E	50,000,000	2016/11/22	2017/04/10	12.50
63332	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 E	250,000,000	2016/11/23	2017/12/28	62.50
63335	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/11/23	2017/03/30	62.50
63338	Credit Suisse AG - HSI R Bull CBBC Sep 2017 C	200,000,000	2016/11/23	2017/09/28	50.00
63336	Credit Suisse AG - HSI R Bear CBBC Apr 2017 T	300,000,000	2016/11/23	2017/04/27	75.00
63340	Credit Suisse AG - HSI R Bear CBBC Apr 2017 U	200,000,000	2016/11/23	2017/04/27	50.00
63344	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 U	200,000,000	2016/11/23	2017/02/27	50.00
63314	HK Bank - AAC Tech R Bull CBBC Oct 2017 B	40,000,000	2016/11/23	2017/10/17	10.00
63317	HK Bank - BOC HK R Bull CBBC Oct 2017 B	40,000,000	2016/11/23	2017/10/17	10.00
63324	HK Bank - CNOOC R Bull CBBC Oct 2017 E	50,000,000	2016/11/23	2017/10/17	12.50
63316	HK Bank - Geely Auto R Bull CBBC Sep 2017 A	40,000,000	2016/11/23	2017/09/21	10.00
63322	HK Bank - Geely Auto R Bull CBBC Oct 2017 A	40,000,000	2016/11/23	2017/10/17	10.00
63321	HK Bank - Tencent R Bull CBBC Oct 2017 F	50,000,000	2016/11/23	2017/10/17	12.50
63326	HK Bank - China Vanke R Bull CBBC Oct 2017 A	40,000,000	2016/11/23	2017/10/17	10.00
63311	Haitong Int'l Sec - COVS R Bull CBBC Oct 2017 C	32,000,000	2016/11/23	2017/10/31	10.40
63306	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Nov 2017 B	60,000,000	2016/11/23	2017/11/29	15.00
63307	Haitong Int'l Sec - HSBC R Bear CBBC Apr 2017 A	40,000,000	2016/11/23	2017/04/27	10.00
63308	Haitong Int'l Sec - PICC P&C R Bull CBBC Oct 2017 B	40,000,000	2016/11/23	2017/10/30	10.00
63309	Haitong Int'l Sec - SHK Ppt R Bull CBBC Dec 2017 A	40,000,000	2016/11/23	2017/12/20	10.00
63304	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2017 D	40,000,000	2016/11/23	2017/09/12	10.00
63305	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2017 B	40,000,000	2016/11/23	2017/09/11	10.00
63346	J P Morgan SP BV - China Life R Bull CBBC Mar 2018 C	100,000,000	2016/11/23	2018/03/16	25.00
63348	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/11/23	2017/10/30	50.00
63312	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/11/23	2017/03/30	50.00
63345	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 F	60,000,000	2016/11/23	2017/09/15	15.00
63349	SG Issuer - HSCEI R Bull CBBC Nov 2017 C	200,000,000	2016/11/23	2017/11/29	50.00
63351	SG Issuer - HSCEI R Bull CBBC Dec 2017 C	200,000,000	2016/11/23	2017/12/28	50.00
63359	SG Issuer - HSCEI R Bear CBBC Jun 2017 A	200,000,000	2016/11/23	2017/06/29	50.00
63362	SG Issuer - HSCEI R Bear CBBC Jun 2017 B	200,000,000	2016/11/23	2017/06/29	50.00
63353	SG Issuer - HSCEI R Bear CBBC Jul 2017 A	200,000,000	2016/11/23	2017/07/28	50.00
63361	SG Issuer - HSCEI R Bear CBBC Jul 2017 B	200,000,000	2016/11/23	2017/07/28	50.00
63363	SG Issuer - HSI R Bull CBBC Mar 2018 R	200,000,000	2016/11/23	2018/03/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63378	SG Issuer - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/11/23	2017/03/30	50.00
63379	SG Issuer - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/11/23	2017/03/30	50.00
63381	SG Issuer - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/11/23	2017/03/30	50.00
63384	SG Issuer - HSI R Bear CBBC Apr 2017 B	200,000,000	2016/11/23	2017/04/27	50.00
63330	SG Issuer - Tencent R Bull CBBC Sep 2018 B	100,000,000	2016/11/23	2018/09/27	25.00
63328	SG Issuer - Tencent R Bull CBBC Nov 2018 B	100,000,000	2016/11/23	2018/11/29	25.00
63342	UBS AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/11/23	2017/10/30	50.00
63343	UBS AG - HSI R Bear CBBC Apr 2017 M	250,000,000	2016/11/23	2017/04/27	62.50
63386	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 H	250,000,000	2016/11/24	2017/12/28	62.50
63414	Credit Suisse AG - HSI R Bull CBBC Oct 2017 I	300,000,000	2016/11/24	2017/10/30	75.00
63435	Credit Suisse AG - HSI R Bear CBBC Apr 2017 V	300,000,000	2016/11/24	2017/04/27	75.00
63426	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 I	200,000,000	2016/11/24	2017/12/28	50.00
63445	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2017 V	200,000,000	2016/11/24	2017/02/27	50.00
63405	HK Bank - COVS R Bear CBBC Apr 2017 A	40,000,000	2016/11/24	2017/04/13	18.00
63389	HK Bank - Galaxy Ent R Bull CBBC Oct 2017 B	60,000,000	2016/11/24	2017/10/31	15.00
63399	HK Bank - Galaxy Ent R Bear CBBC Jul 2017 C	40,000,000	2016/11/24	2017/07/17	10.00
63412	HK Bank - Sands China R Bull CBBC Oct 2017 A	60,000,000	2016/11/24	2017/10/31	15.00
63448	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2017 C	100,000,000	2016/11/24	2017/11/17	25.00
63446	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/11/24	2017/12/28	50.00
63455	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/11/24	2017/03/30	50.00
63450	J P Morgan SP BV - Sands China R Bull CBBC Nov 2017 A	100,000,000	2016/11/24	2017/11/17	25.00
63419	SG Issuer - Galaxy R Bull CBBC Oct 2018 A	100,000,000	2016/11/24	2018/10/30	25.00
63420	SG Issuer - Galaxy R Bull CBBC Dec 2018 A	100,000,000	2016/11/24	2018/12/28	25.00
63421	SG Issuer - Galaxy R Bear CBBC Jan 2018 A	100,000,000	2016/11/24	2018/01/30	25.00
63440	SG Issuer - HSI R Bull CBBC Mar 2018 U	200,000,000	2016/11/24	2018/03/28	50.00
63443	SG Issuer - HSI R Bear CBBC Apr 2017 C	200,000,000	2016/11/24	2017/04/27	50.00
63422	SG Issuer - Sands R Bull CBBC Jul 2018 A	100,000,000	2016/11/24	2018/07/30	25.00
63427	UBS AG - HSI R Bull CBBC Sep 2017 E	300,000,000	2016/11/24	2017/09/28	75.00
63428	UBS AG - HSI R Bull CBBC Nov 2017 V	250,000,000	2016/11/24	2017/11/29	62.50
63434	UBS AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/11/24	2017/03/30	50.00
63460	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 K	250,000,000	2016/11/25	2017/11/29	62.50
63476	Credit Suisse AG - HSI R Bull CBBC Sep 2017 Z	200,000,000	2016/11/25	2017/09/28	50.00
63466	Credit Suisse AG - HSI R Bull CBBC Nov 2017 Q	200,000,000	2016/11/25	2017/11/29	50.00
63470	Credit Suisse AG - HSI R Bear CBBC Mar 2017 W	300,000,000	2016/11/25	2017/03/30	75.00
63471	Credit Suisse AG - Tencent R Bear CBBC Apr 2017 D	50,000,000	2016/11/25	2017/04/25	12.50
63475	Credit Suisse AG - Tencent R Bear CBBC Apr 2017 E	50,000,000	2016/11/25	2017/04/26	12.50
63465	Bank of East Asia - China Mobile R Bull CBBC Dec 2017 B	40,000,000	2016/11/25	2017/12/12	10.00
63463	Bank of East Asia - COVS R Bull CBBC Dec 2017 B	25,000,000	2016/11/25	2017/12/12	10.00
63462	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 D	20,000,000	2016/11/25	2017/12/12	10.00
63464	Bank of East Asia - Tencent R Bull CBBC Dec 2017 D	30,000,000	2016/11/25	2017/12/12	10.50
63456	HK Bank - Galaxy Ent R Bull CBBC Oct 2017 C	60,000,000	2016/11/25	2017/10/23	15.00
63458	HK Bank - Galaxy Ent R Bear CBBC Nov 2017 C	40,000,000	2016/11/25	2017/11/27	10.00
63500	J P Morgan SP BV - AAC Tech R Bull CBBC Oct 2017 A	100,000,000	2016/11/25	2017/10/20	25.00
63492	J P Morgan SP BV - Geely Auto R Bull CBBC Nov 2017 A	80,000,000	2016/11/25	2017/11/17	20.00
63494	J P Morgan SP BV - Geely Auto R Bull CBBC Jan 2018 A	80,000,000	2016/11/25	2018/01/19	20.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63502	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 C	100,000,000	2016/11/25	2017/12/15	25.00
63508	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 H	200,000,000	2016/11/25	2017/11/29	50.00
63503	J P Morgan SP BV - China Vanke R Bull CBBC Feb 2018 B	200,000,000	2016/11/25	2018/02/15	50.00
63477	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/11/25	2018/03/28	50.00
63479	SG Issuer - HSI R Bear CBBC Apr 2017 D	200,000,000	2016/11/25	2017/04/27	50.00
63485	SG Issuer - HSI R Bear CBBC Apr 2017 E	200,000,000	2016/11/25	2017/04/27	50.00
63488	UBS AG - HSI R Bull CBBC Oct 2017 N	250,000,000	2016/11/25	2017/10/30	62.50
63490	UBS AG - HSI R Bull CBBC Dec 2017 E	250,000,000	2016/11/25	2017/12/28	62.50
63487	UBS AG - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/11/25	2017/03/30	50.00
63516	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 A	250,000,000	2016/11/28	2017/04/27	62.50
63524	Credit Suisse AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/11/28	2017/10/30	50.00
63523	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/11/28	2017/11/29	50.00
63522	Credit Suisse AG - HSI R Bear CBBC Apr 2017 W	200,000,000	2016/11/28	2017/04/27	50.00
63521	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/11/28	2017/12/28	50.00
63512	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/11/28	2017/03/30	50.00
63537	J P Morgan SP BV - China Life R Bull CBBC Feb 2018 C	100,000,000	2016/11/28	2018/02/15	25.00
63535	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/11/28	2017/11/29	50.00
63543	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/11/28	2017/11/29	50.00
63534	J P Morgan SP BV - HSI R Bear CBBC Feb 2017 Q	200,000,000	2016/11/28	2017/02/27	50.00
63538	J P Morgan SP BV - Ping An R Bull CBBC Nov 2017 D	100,000,000	2016/11/28	2017/11/17	25.00
63530	SG Issuer - HSI R Bull CBBC Mar 2018 D	200,000,000	2016/11/28	2018/03/28	50.00
63532	SG Issuer - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/11/28	2017/03/30	50.00
63529	UBS AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/11/28	2017/09/28	50.00
63527	UBS AG - HSI R Bull CBBC Dec 2017 I	250,000,000	2016/11/28	2017/12/28	62.50
63526	UBS AG - HSI R Bear CBBC Feb 2017 O	250,000,000	2016/11/28	2017/02/27	62.50
63568	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2017 A	60,000,000	2016/11/29	2017/12/29	15.00
63565	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 L	250,000,000	2016/11/29	2017/11/29	62.50
63566	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 I	250,000,000	2016/11/29	2017/12/28	62.50
63558	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/11/29	2017/03/30	62.50
63567	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/11/29	2017/03/30	62.50
63559	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 B	250,000,000	2016/11/29	2017/04/27	62.50
63577	Credit Suisse AG - China Life R Bull CBBC Sep 2017 A	50,000,000	2016/11/29	2017/09/22	12.50
63579	Credit Suisse AG - China Life R Bull CBBC Sep 2017 B	50,000,000	2016/11/29	2017/09/21	12.50
63585	Credit Suisse AG - HSBC R Bull CBBC Nov 2017 D	50,000,000	2016/11/29	2017/11/24	12.50
63592	Credit Suisse AG - HSI R Bull CBBC Sep 2017 A	300,000,000	2016/11/29	2017/09/28	75.00
63589	Credit Suisse AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/11/29	2017/11/29	50.00
63595	Credit Suisse AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/11/29	2017/11/29	50.00
63572	Credit Suisse AG - HSI R Bear CBBC Mar 2017 U	300,000,000	2016/11/29	2017/03/30	75.00
63569	Credit Suisse AG - HSI R Bear CBBC Apr 2017 X	200,000,000	2016/11/29	2017/04/27	50.00
63574	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Y	200,000,000	2016/11/29	2017/04/27	50.00
63586	Credit Suisse AG - Ping An R Bull CBBC Nov 2017 B	50,000,000	2016/11/29	2017/11/22	12.50
63596	Bank of East Asia - China Life R Bull CBBC Dec 2017 B	30,000,000	2016/11/29	2017/12/07	10.50
63598	Bank of East Asia - Geely Auto R Bull CBBC Dec 2017 A	40,000,000	2016/11/29	2017/12/07	10.00
63609	Bank of East Asia - HSBC Holdings R Bull CBBC Dec 2017 B	60,000,000	2016/11/29	2017/12/07	15.00
63597	Bank of East Asia - Ping An R Bull CBBC Dec 2017 D	16,000,000	2016/11/29	2017/12/07	10.40

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63669	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/11/29	2017/12/28	50.00
63674	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/11/29	2017/12/28	50.00
63561	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/11/29	2017/03/30	50.00
63562	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/11/29	2017/03/30	50.00
63557	HK Bank - China Life R Bull CBBC Aug 2017 C	50,000,000	2016/11/29	2017/08/31	12.50
63545	HK Bank - Geely Auto R Bull CBBC Aug 2017 A	40,000,000	2016/11/29	2017/08/31	10.00
63547	HK Bank - Galaxy Ent R Bear CBBC Dec 2017 A	60,000,000	2016/11/29	2017/12/18	15.00
63544	HK Bank - HSI R Bull CBBC Sep 2017 L	150,000,000	2016/11/29	2017/09/28	37.50
63556	HK Bank - HSI R Bull CBBC Sep 2017 M	150,000,000	2016/11/29	2017/09/28	37.50
63553	HK Bank - Sands China R Bull CBBC Jul 2017 F	60,000,000	2016/11/29	2017/07/31	15.00
63554	HK Bank - Sands China R Bear CBBC May 2017 B	40,000,000	2016/11/29	2017/05/08	10.00
63643	J P Morgan SP BV - China Life R Bull CBBC Feb 2018 D	200,000,000	2016/11/29	2018/02/15	50.00
63644	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/11/29	2017/10/30	50.00
63645	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/11/29	2017/11/29	50.00
63636	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/11/29	2017/03/30	50.00
63637	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 O	200,000,000	2016/11/29	2017/03/30	50.00
63666	SG Issuer - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/11/29	2017/12/28	50.00
63664	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/11/29	2018/03/28	50.00
63651	SG Issuer - HSI R Bull CBBC Mar 2018 Q	200,000,000	2016/11/29	2018/03/28	50.00
63667	SG Issuer - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/11/29	2017/03/30	50.00
63626	UBS AG - HSI R Bull CBBC Sep 2017 G	250,000,000	2016/11/29	2017/09/28	62.50
63631	UBS AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/11/29	2017/11/29	50.00
63617	UBS AG - HSI R Bull CBBC Dec 2017 N	250,000,000	2016/11/29	2017/12/28	62.50
63612	UBS AG - HSI R Bear CBBC Mar 2017 M	250,000,000	2016/11/29	2017/03/30	62.50
63613	UBS AG - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/11/29	2017/03/30	50.00
63610	UBS AG - HSI R Bear CBBC Apr 2017 N	300,000,000	2016/11/29	2017/04/27	75.00
63739	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 M	250,000,000	2016/11/30	2017/11/29	62.50
63675	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 C	250,000,000	2016/11/30	2017/04/27	62.50
63791	Credit Suisse AG - HSI R Bull CBBC Oct 2017 L	200,000,000	2016/11/30	2017/10/30	50.00
63782	Credit Suisse AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/11/30	2017/11/29	50.00
63775	Credit Suisse AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/11/30	2017/03/30	50.00
63738	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/11/30	2017/11/29	50.00
63730	HK Bank - HSCEI R Bull CBBC Sep 2017 C	80,000,000	2016/11/30	2017/09/28	20.00
63729	HK Bank - HSI R Bull CBBC Sep 2017 N	150,000,000	2016/11/30	2017/09/28	37.50
63794	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/11/30	2017/11/29	50.00
63810	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/11/30	2017/11/29	50.00
63792	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/11/30	2017/03/30	50.00
63750	SG Issuer - AAC Tech R Bear CBBC Jul 2017 A	100,000,000	2016/11/30	2017/07/28	25.00
63753	SG Issuer - AAC Tech R Bear CBBC Oct 2017 A	100,000,000	2016/11/30	2017/10/30	25.00
63676	SG Issuer - CCB R Bull CBBC Oct 2018 A	40,000,000	2016/11/30	2018/10/30	10.00
63679	SG Issuer - China Life R Bull CBBC May 2018 C	100,000,000	2016/11/30	2018/05/30	25.00
63677	SG Issuer - China Life R Bull CBBC Nov 2018 B	100,000,000	2016/11/30	2018/11/29	25.00
63759	SG Issuer - Geely Auto R Bull CBBC May 2018 B	40,000,000	2016/11/30	2018/05/30	10.00
63766	SG Issuer - Geely Auto R Bear CBBC Jun 2017 A	40,000,000	2016/11/30	2017/06/29	10.00
63767	SG Issuer - Geely Auto R Bear CBBC Aug 2017 A	40,000,000	2016/11/30	2017/08/30	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63740	SG Issuer - HSCEI R Bull CBBC Nov 2018 A	100,000,000	2016/11/30	2018/11/29	25.00
63741	SG Issuer - HSCEI R Bull CBBC Dec 2018 A	100,000,000	2016/11/30	2018/12/28	25.00
63744	SG Issuer - HSCEI R Bear CBBC Jun 2017 C	100,000,000	2016/11/30	2017/06/29	25.00
63743	SG Issuer - HSCEI R Bear CBBC Jul 2017 C	100,000,000	2016/11/30	2017/07/28	25.00
63745	SG Issuer - HSCEI R Bear CBBC Jul 2017 D	100,000,000	2016/11/30	2017/07/28	25.00
63812	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/11/30	2018/03/28	50.00
63813	SG Issuer - HSI R Bull CBBC Mar 2018 L	200,000,000	2016/11/30	2018/03/28	50.00
63815	SG Issuer - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/11/30	2017/03/30	50.00
63688	SG Issuer - ICBC R Bull CBBC Dec 2017 B	40,000,000	2016/11/30	2017/12/28	10.00
63707	SG Issuer - ICBC R Bear CBBC Aug 2017 A	40,000,000	2016/11/30	2017/08/30	10.00
63726	SG Issuer - Ping An R Bull CBBC Oct 2018 A	100,000,000	2016/11/30	2018/10/30	25.00
63746	SG Issuer - Sands R Bull CBBC Oct 2018 A	100,000,000	2016/11/30	2018/10/30	25.00
63748	SG Issuer - Sands R Bear CBBC Apr 2018 A	100,000,000	2016/11/30	2018/04/27	25.00
63818	UBS AG - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/11/30	2017/10/30	50.00
63820	UBS AG - HSI R Bull CBBC Nov 2017 L	300,000,000	2016/11/30	2017/11/29	75.00
63817	UBS AG - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/11/30	2017/03/30	62.50
63864	Credit Suisse AG - HSI R Bull CBBC Oct 2017 A	200,000,000	2016/12/01	2017/10/30	50.00
63863	Credit Suisse AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/12/01	2017/11/29	50.00
63868	Credit Suisse AG - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/12/01	2017/03/30	50.00
63886	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Z	200,000,000	2016/12/01	2017/04/27	50.00
63875	Credit Suisse AG - Ping An R Bull CBBC Oct 2017 C	50,000,000	2016/12/01	2017/10/18	12.50
63884	Credit Suisse AG - Ping An R Bear CBBC Aug 2017 A	40,000,000	2016/12/01	2017/08/09	10.00
63872	Credit Suisse AG - Tencent R Bull CBBC Jun 2017 C	50,000,000	2016/12/01	2017/06/20	12.50
63862	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/12/01	2017/03/30	50.00
63859	HK Bank - China Life R Bull CBBC Jul 2017 E	40,000,000	2016/12/01	2017/07/31	14.00
63821	HK Bank - CNOOC R Bull CBBC Jul 2017 C	50,000,000	2016/12/01	2017/07/31	12.50
63860	HK Bank - HSI R Bear CBBC Apr 2017 S	150,000,000	2016/12/01	2017/04/27	37.50
63834	HK Bank - Ping An R Bull CBBC Oct 2017 C	50,000,000	2016/12/01	2017/10/09	12.50
63836	HK Bank - Ping An R Bull CBBC Nov 2017 B	50,000,000	2016/12/01	2017/11/06	12.50
63838	HK Bank - Ping An R Bull CBBC Nov 2017 C	50,000,000	2016/12/01	2017/11/06	12.50
63844	HK Bank - Ping An R Bear CBBC Jun 2017 A	40,000,000	2016/12/01	2017/06/12	10.00
63841	HK Bank - Ping An R Bear CBBC Aug 2017 A	40,000,000	2016/12/01	2017/08/21	10.00
63829	HK Bank - Tencent R Bull CBBC Aug 2017 L	50,000,000	2016/12/01	2017/08/28	12.50
63893	J P Morgan SP BV - Galaxy Ent R Bull CBBC Oct 2017 B	100,000,000	2016/12/01	2017/10/20	25.00
63888	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 S	200,000,000	2016/12/01	2018/01/30	50.00
63889	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 Q	200,000,000	2016/12/01	2017/03/30	50.00
63898	J P Morgan SP BV - Sands China R Bull CBBC Oct 2017 B	100,000,000	2016/12/01	2017/10/20	25.00
63899	SG Issuer - HSI R Bull CBBC Mar 2018 N	200,000,000	2016/12/01	2018/03/28	50.00
63906	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2016/12/01	2018/03/28	50.00
63911	SG Issuer - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/12/01	2017/03/30	50.00
63924	UBS AG - HSI R Bull CBBC Dec 2017 B	250,000,000	2016/12/01	2017/12/28	62.50
63929	UBS AG - HSI R Bear CBBC Apr 2017 O	250,000,000	2016/12/01	2017/04/27	62.50
63930	UBS AG - HSI R Bear CBBC Apr 2017 P	200,000,000	2016/12/01	2017/04/27	50.00
63959	Credit Suisse AG - China Life R Bull CBBC Sep 2017 C	50,000,000	2016/12/02	2017/09/05	12.50
63961	Credit Suisse AG - China Life R Bull CBBC Jan 2018 A	100,000,000	2016/12/02	2018/01/26	25.00

主 板 可 收 回 牛 熊 證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
63957	Credit Suisse AG - China Life R Bear CBBC Aug 2017 A	40,000,000	2016/12/02	2017/08/10	12.40
63964	Credit Suisse AG - HSI R Bull CBBC Sep 2017 D	300,000,000	2016/12/02	2017/09/28	75.00
63954	Credit Suisse AG - HSI R Bear CBBC Apr 2017 F	300,000,000	2016/12/02	2017/04/27	75.00
64011	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 W	200,000,000	2016/12/02	2017/12/28	50.00
64010	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Y	200,000,000	2016/12/02	2017/12/28	50.00
64007	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/12/02	2017/04/27	50.00
63997	J P Morgan SP BV - China Life R Bull CBBC Jan 2018 B	200,000,000	2016/12/02	2018/01/19	50.00
64002	J P Morgan SP BV - China Life R Bull CBBC Jan 2018 C	200,000,000	2016/12/02	2018/01/19	50.00
63991	J P Morgan SP BV - Geely Auto R Bull CBBC Oct 2017 A	40,000,000	2016/12/02	2017/10/20	10.00
63993	J P Morgan SP BV - Galaxy Ent R Bear CBBC Aug 2017 A	100,000,000	2016/12/02	2017/08/18	25.00
64003	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/12/02	2017/12/28	50.00
64005	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 R	200,000,000	2016/12/02	2017/03/30	50.00
63995	J P Morgan SP BV - Ping An R Bull CBBC Oct 2017 C	100,000,000	2016/12/02	2017/10/20	25.00
64006	J P Morgan SP BV - Sands China R Bear CBBC Jun 2017 A	100,000,000	2016/12/02	2017/06/16	25.00
63937	Macquarie Bank Ltd. - HSBC R Bull CBBC Nov 2017 A	40,000,000	2016/12/02	2017/11/29	10.00
63935	Macquarie Bank Ltd. - Tencent R Bull CBBC Nov 2017 A	30,000,000	2016/12/02	2017/11/29	10.02
63943	SG Issuer - China Life R Bull CBBC May 2018 D	100,000,000	2016/12/02	2018/05/30	25.00
63941	SG Issuer - China Life R Bull CBBC Nov 2018 C	100,000,000	2016/12/02	2018/11/29	25.00
63981	SG Issuer - CSA50 R Bear CBBC Jun 2017 A	40,000,000	2016/12/02	2017/06/29	10.00
63987	SG Issuer - A50 R Bear CBBC Jun 2017 A	40,000,000	2016/12/02	2017/06/29	10.00
63989	SG Issuer - A50 R Bear CBBC Aug 2017 A	40,000,000	2016/12/02	2017/08/30	10.00
63984	SG Issuer - HSI R Bull CBBC Mar 2018 H	200,000,000	2016/12/02	2018/03/28	50.00
63986	SG Issuer - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/12/02	2017/03/30	50.00
63952	SG Issuer - PICC P&C R Bull CBBC Dec 2017 C	40,000,000	2016/12/02	2017/12/28	10.00
63953	SG Issuer - Ping An R Bull CBBC Dec 2017 C	100,000,000	2016/12/02	2017/12/28	25.00
63976	UBS AG - HSI R Bull CBBC Oct 2017 W	250,000,000	2016/12/02	2017/10/30	62.50
63970	UBS AG - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/12/02	2017/03/30	62.50
64059	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 J	250,000,000	2016/12/05	2017/12/28	62.50
64012	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 C	250,000,000	2016/12/05	2017/03/30	62.50
64063	Credit Suisse AG - HSI R Bull CBBC Sep 2017 E	200,000,000	2016/12/05	2017/09/28	50.00
64061	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/12/05	2017/11/29	50.00
64041	Credit Suisse AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/12/05	2017/03/30	50.00
64072	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 X	200,000,000	2016/12/05	2017/12/28	50.00
64037	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/12/05	2017/04/27	50.00
64015	HK Bank - China Mobile R Bull CBBC Dec 2017 A	50,000,000	2016/12/05	2017/12/18	12.50
64016	HK Bank - China Life R Bull CBBC Sep 2017 B	40,000,000	2016/12/05	2017/09/29	10.00
64017	HK Bank - China Life R Bear CBBC Dec 2017 A	40,000,000	2016/12/05	2017/12/18	18.00
64014	HK Bank - HKEX R Bull CBBC Oct 2017 J	50,000,000	2016/12/05	2017/10/23	12.50
64013	HK Bank - Tencent R Bull CBBC Sep 2017 I	50,000,000	2016/12/05	2017/09/29	12.50
64032	Haitong Int'l Sec - BOCL R Bull CBBC Aug 2017 A	40,000,000	2016/12/05	2017/08/28	10.00
64029	Haitong Int'l Sec - CUni R Bull CBBC Sep 2017 A	40,000,000	2016/12/05	2017/09/15	10.00
64026	Haitong Int'l Sec - CKH R Bull CBBC Oct 2017 A	40,000,000	2016/12/05	2017/10/23	10.00
64022	Haitong Int'l Sec - China Life R Bull CBBC Sep 2017 A	40,000,000	2016/12/05	2017/09/28	10.00
64023	Haitong Int'l Sec - CNOOC R Bull CBBC Oct 2017 B	40,000,000	2016/12/05	2017/10/26	10.00
64031	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Oct 2017 A	60,000,000	2016/12/05	2017/10/25	15.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64024	Haitong Int'l Sec - PICC P&C R Bull CBBC Sep 2017 A	40,000,000	2016/12/05	2017/09/27	10.00
64033	Haitong Int'l Sec - Ping An R Bull CBBC Oct 2017 B	60,000,000	2016/12/05	2017/10/20	15.00
64109	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Oct 2017 C	80,000,000	2016/12/05	2017/10/20	20.00
64110	J P Morgan SP BV - A50 China R Bull CBBC Sep 2017 B	80,000,000	2016/12/05	2017/09/15	20.00
64101	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 P	200,000,000	2016/12/05	2017/10/30	50.00
64121	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Z	200,000,000	2016/12/05	2018/01/30	50.00
64100	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/12/05	2017/03/30	50.00
64108	J P Morgan SP BV - Tencent R Bull CBBC Sep 2017 G	60,000,000	2016/12/05	2017/09/15	15.00
64044	SG Issuer - BOC HK R Bull CBBC Apr 2018 A	100,000,000	2016/12/05	2018/04/27	25.00
64045	SG Issuer - COVS R Bull CBBC Jul 2018 A	100,000,000	2016/12/05	2018/07/30	25.00
64046	SG Issuer - CSA50 R Bull CBBC Aug 2018 A	40,000,000	2016/12/05	2018/08/30	10.00
64048	SG Issuer - A50 R Bull CBBC Aug 2018 A	40,000,000	2016/12/05	2018/08/30	10.00
64089	SG Issuer - HSI R Bull CBBC Mar 2018 I	200,000,000	2016/12/05	2018/03/28	50.00
64098	SG Issuer - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/12/05	2017/03/30	50.00
64051	SG Issuer - Ping An R Bull CBBC Dec 2018 A	100,000,000	2016/12/05	2018/12/28	25.00
64052	SG Issuer - SHK Ppt P R Bull CBBC Dec 2018 A	100,000,000	2016/12/05	2018/12/28	25.00
64053	SG Issuer - SHK Ppt P R Bear CBBC Jun 2017 B	100,000,000	2016/12/05	2017/06/29	25.00
64058	SG Issuer - Tencent R Bull CBBC Nov 2018 C	100,000,000	2016/12/05	2018/11/29	25.00
64085	UBS AG - HSI R Bull CBBC Nov 2017 M	250,000,000	2016/12/05	2017/11/29	62.50
64084	UBS AG - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/12/05	2017/03/30	62.50
64078	UBS AG - HSI R Bear CBBC May 2017 A	300,000,000	2016/12/05	2017/05/29	75.00
64145	Credit Suisse AG - HSI R Bull CBBC Oct 2017 F	300,000,000	2016/12/06	2017/10/30	75.00
64144	Credit Suisse AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/12/06	2017/03/30	50.00
64147	Credit Suisse AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/12/06	2017/04/27	50.00
64164	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 J	200,000,000	2016/12/06	2017/04/27	50.00
64135	HK Bank - ABC R Bear CBBC Nov 2017 A	40,000,000	2016/12/06	2017/11/30	10.00
64142	HK Bank - CSOP A50 ETF R Bull CBBC Aug 2017 A	50,000,000	2016/12/06	2017/08/31	12.50
64143	HK Bank - Galaxy Ent R Bull CBBC Nov 2017 E	60,000,000	2016/12/06	2017/11/13	15.00
64141	HK Bank - HSCEI R Bull CBBC Oct 2017 D	60,000,000	2016/12/06	2017/10/30	15.00
64140	HK Bank - HSI R Bull CBBC Aug 2017 O	100,000,000	2016/12/06	2017/08/30	25.00
64133	HK Bank - ICBC R Bull CBBC Aug 2017 D	50,000,000	2016/12/06	2017/08/31	12.50
64136	HK Bank - PICC P&C R Bull CBBC Oct 2017 B	40,000,000	2016/12/06	2017/10/31	10.00
64139	HK Bank - China Vanke R Bull CBBC Jul 2017 A	40,000,000	2016/12/06	2017/07/31	10.00
64191	J P Morgan SP BV - BOCL R Bull CBBC Sep 2017 B	200,000,000	2016/12/06	2017/09/15	50.00
64201	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2017 C	100,000,000	2016/12/06	2017/12/28	25.00
64165	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/12/06	2017/11/29	50.00
64168	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 Q	200,000,000	2016/12/06	2018/01/30	50.00
64171	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/12/06	2017/03/30	50.00
64190	J P Morgan SP BV - ICBC R Bull CBBC Sep 2017 A	200,000,000	2016/12/06	2017/09/15	50.00
64197	J P Morgan SP BV - Lenovo R Bull CBBC Dec 2017 B	100,000,000	2016/12/06	2017/12/15	25.00
64155	SG Issuer - HSI R Bull CBBC Mar 2018 O	200,000,000	2016/12/06	2018/03/28	50.00
64156	SG Issuer - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/12/06	2017/03/30	50.00
64160	SG Issuer - Tencent R Bull CBBC Nov 2018 D	100,000,000	2016/12/06	2018/11/29	25.00
64161	SG Issuer - Tencent R Bear CBBC Aug 2018 A	100,000,000	2016/12/06	2018/08/30	25.00
64149	UBS AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/12/06	2017/09/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64150	UBS AG - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/12/06	2017/03/30	62.50
64154	UBS AG - HSI R Bear CBBC May 2017 B	200,000,000	2016/12/06	2017/05/29	50.00
64237	BNP Paribas Arbit Issu B.V. - A50 Ch R Bull CBBC Dec 2017 B	60,000,000	2016/12/07	2017/12/29	15.00
64220	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Nov 2017 B	100,000,000	2016/12/07	2017/11/29	25.00
64234	BNP Paribas Arbit Issu B.V. - HSCEI R Bull CBBC Dec 2017 B	100,000,000	2016/12/07	2017/12/28	25.00
64216	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 D	250,000,000	2016/12/07	2017/04/27	62.50
64242	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/12/07	2017/11/29	50.00
64240	Credit Suisse AG - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/12/07	2017/04/27	50.00
64210	HK Bank - A50 R Bull CBBC Jan 2018 A	50,000,000	2016/12/07	2018/01/15	12.50
64253	J P Morgan SP BV - CUni R Bull CBBC Oct 2017 A	80,000,000	2016/12/07	2017/10/20	20.00
64252	J P Morgan SP BV - China Life R Bear CBBC Nov 2017 A	200,000,000	2016/12/07	2017/11/17	50.00
64247	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/12/07	2017/03/30	50.00
64207	SG Issuer - CUni R Bull CBBC Oct 2018 B	40,000,000	2016/12/07	2018/10/30	10.00
64211	SG Issuer - CUni R Bull CBBC Oct 2018 C	40,000,000	2016/12/07	2018/10/30	10.00
64214	SG Issuer - CUni R Bear CBBC Oct 2018 A	40,000,000	2016/12/07	2018/10/30	10.00
64269	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/12/07	2018/03/28	50.00
64274	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/12/07	2018/03/28	50.00
64279	SG Issuer - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/12/07	2017/03/30	50.00
64275	SG Issuer - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/12/07	2017/03/30	50.00
64283	SG Issuer - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/12/07	2017/03/30	50.00
64246	UBS AG - HSCEI R Bull CBBC Dec 2017 A	100,000,000	2016/12/07	2017/12/28	25.00
64244	UBS AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/12/07	2017/12/28	50.00
64209	UBS AG - HSI R Bear CBBC Apr 2017 Q	250,000,000	2016/12/07	2017/04/27	62.50
64312	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 N	250,000,000	2016/12/08	2017/11/29	62.50
64295	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 E	250,000,000	2016/12/08	2017/04/27	62.50
64330	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/12/08	2017/11/29	50.00
64315	Credit Suisse AG - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/12/08	2017/04/27	50.00
64316	Credit Suisse AG - HSI R Bear CBBC Apr 2017 L	200,000,000	2016/12/08	2017/04/27	50.00
64375	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/12/08	2017/12/28	50.00
64284	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/12/08	2017/03/30	50.00
64291	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 K	200,000,000	2016/12/08	2017/04/27	50.00
64296	HK Bank - CUni R Bull CBBC Oct 2017 A	40,000,000	2016/12/08	2017/10/17	10.00
64301	HK Bank - CUni R Bear CBBC Mar 2017 A	40,000,000	2016/12/08	2017/03/28	10.00
64453	J P Morgan SP BV - AIA R Bull CBBC Nov 2017 B	100,000,000	2016/12/08	2017/11/17	25.00
64399	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/12/08	2017/11/29	50.00
64398	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/12/08	2017/03/30	50.00
64303	SG Issuer - CSA50 R Bull CBBC Jun 2018 A	40,000,000	2016/12/08	2018/06/28	10.00
64311	SG Issuer - CSA50 R Bear CBBC Aug 2017 A	40,000,000	2016/12/08	2017/08/30	10.00
64377	SG Issuer - HSI R Bull CBBC Mar 2018 E	200,000,000	2016/12/08	2018/03/28	50.00
64391	SG Issuer - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/12/08	2017/03/30	50.00
64368	UBS AG - HSI R Bull CBBC Oct 2017 B	250,000,000	2016/12/08	2017/10/30	62.50
64348	UBS AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/12/08	2017/03/30	50.00
64367	UBS AG - HSI R Bear CBBC Apr 2017 R	250,000,000	2016/12/08	2017/04/27	62.50
64456	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 O	250,000,000	2016/12/09	2017/11/29	62.50
64517	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 L	250,000,000	2016/12/09	2017/12/28	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64525	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/12/09	2017/03/30	62.50
64546	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 F	250,000,000	2016/12/09	2017/04/27	62.50
64540	Credit Suisse AG - HSI R Bull CBBC Sep 2017 G	200,000,000	2016/12/09	2017/09/28	50.00
64532	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/12/09	2017/11/29	50.00
64543	Credit Suisse AG - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/12/09	2017/12/28	50.00
64549	Credit Suisse AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2016/12/09	2017/04/27	50.00
64551	Credit Suisse AG - HSI R Bear CBBC Jun 2017 A	300,000,000	2016/12/09	2017/06/29	75.00
64508	Bank of East Asia - AIA R Bull CBBC Nov 2017 B	20,000,000	2016/12/09	2017/11/07	10.00
64509	Bank of East Asia - CUni R Bull CBBC Nov 2017 A	40,000,000	2016/12/09	2017/11/07	10.00
64512	Bank of East Asia - China Life R Bull CBBC Nov 2017 B	30,000,000	2016/12/09	2017/11/07	10.50
64506	Bank of East Asia - A50 China R Bull CBBC Nov 2017 A	40,000,000	2016/12/09	2017/11/07	10.00
64473	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 C	200,000,000	2016/12/09	2017/10/30	50.00
64557	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 D	200,000,000	2016/12/09	2017/10/30	50.00
64564	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 L	200,000,000	2016/12/09	2017/04/27	50.00
64499	HK Bank - HSI R Bear CBBC Mar 2017 U	100,000,000	2016/12/09	2017/03/30	25.00
64504	HK Bank - HSI R Bear CBBC Apr 2017 T	100,000,000	2016/12/09	2017/04/27	25.00
64567	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 F	200,000,000	2016/12/09	2017/11/29	50.00
64570	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/12/09	2017/03/30	50.00
64571	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/12/09	2017/03/30	50.00
64577	J P Morgan SP BV - Tencent R Bear CBBC Oct 2017 C	40,000,000	2016/12/09	2017/10/20	10.00
64474	SG Issuer - CMob R Bull CBBC Jul 2018 B	100,000,000	2016/12/09	2018/07/30	25.00
64482	SG Issuer - CNOOC R Bull CBBC Oct 2018 A	40,000,000	2016/12/09	2018/10/30	10.00
64485	SG Issuer - CNOOC R Bull CBBC Dec 2018 A	40,000,000	2016/12/09	2018/12/28	10.00
64494	SG Issuer - CP&CC R Bull CBBC Dec 2018 A	40,000,000	2016/12/09	2018/12/28	10.00
64579	SG Issuer - HSI R Bull CBBC Mar 2018 G	200,000,000	2016/12/09	2018/03/28	50.00
64588	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/12/09	2018/03/28	50.00
64590	SG Issuer - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/12/09	2017/03/30	50.00
64589	SG Issuer - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/12/09	2017/03/30	50.00
64493	SG Issuer - PetroChina R Bull CBBC Oct 2018 A	40,000,000	2016/12/09	2018/10/30	10.00
64497	SG Issuer - Tencent R Bull CBBC Sep 2018 C	100,000,000	2016/12/09	2018/09/27	25.00
64591	UBS AG - HSI R Bull CBBC Sep 2017 L	300,000,000	2016/12/09	2017/09/28	75.00
64593	UBS AG - HSI R Bull CBBC Oct 2017 H	250,000,000	2016/12/09	2017/10/30	62.50
64595	UBS AG - HSI R Bear CBBC Mar 2017 E	250,000,000	2016/12/09	2017/03/30	62.50
64602	UBS AG - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/12/09	2017/03/30	62.50
64594	UBS AG - Ping An R Bull CBBC Aug 2017 B	100,000,000	2016/12/09	2017/08/21	25.00
64711	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 Q	250,000,000	2016/12/12	2017/11/29	62.50
64632	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 M	250,000,000	2016/12/12	2017/12/28	62.50
64697	Credit Suisse AG - HSI R Bull CBBC Aug 2017 I	300,000,000	2016/12/12	2017/08/30	75.00
64691	Credit Suisse AG - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/12/12	2017/12/28	50.00
64698	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/12/12	2017/03/30	50.00
64695	Credit Suisse AG - HSI R Bear CBBC Apr 2017 P	200,000,000	2016/12/12	2017/04/27	50.00
64604	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/12/12	2017/10/30	50.00
64635	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 M	200,000,000	2016/12/12	2017/04/27	50.00
64710	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 N	200,000,000	2016/12/12	2017/04/27	50.00
64617	HK Bank - PetCh R Bear CBBC Nov 2017 A	40,000,000	2016/12/12	2017/11/17	10.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64627	HK Bank - Sands China R Bear CBBC Nov 2017 A	40,000,000	2016/12/12	2017/11/17	10.00
64608	HK Bank - Tencent R Bear CBBC Mar 2017 F	40,000,000	2016/12/12	2017/03/31	10.00
64717	J P Morgan SP BV - HKEX R Bear CBBC Jul 2017 C	40,000,000	2016/12/12	2017/07/21	10.00
64681	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 G	200,000,000	2016/12/12	2017/11/29	50.00
64689	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 Y	200,000,000	2016/12/12	2017/03/30	50.00
64726	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/12/12	2017/03/30	50.00
64719	J P Morgan SP BV - Tencent R Bull CBBC Oct 2017 F	60,000,000	2016/12/12	2017/10/20	15.00
64720	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 F	60,000,000	2016/12/12	2017/11/17	15.00
64703	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/12/12	2018/03/28	50.00
64702	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/12/12	2018/03/28	50.00
64705	SG Issuer - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/12/12	2017/03/30	50.00
64706	SG Issuer - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/12/12	2017/03/30	50.00
64701	UBS AG - HSI R Bull CBBC Nov 2017 N	250,000,000	2016/12/12	2017/11/29	62.50
64699	UBS AG - HSI R Bull CBBC Dec 2017 W	250,000,000	2016/12/12	2017/12/28	62.50
64713	UBS AG - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/12/12	2017/03/30	62.50
64714	UBS AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2016/12/12	2017/04/27	50.00
64793	Credit Suisse AG - HSBC R Bull CBBC Nov 2017 E	50,000,000	2016/12/13	2017/11/23	12.50
64794	Credit Suisse AG - HSBC R Bull CBBC Nov 2017 F	50,000,000	2016/12/13	2017/11/24	12.50
64799	Credit Suisse AG - HSI R Bull CBBC Sep 2017 H	200,000,000	2016/12/13	2017/09/28	50.00
64795	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/12/13	2017/11/29	50.00
64787	Credit Suisse AG - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/12/13	2017/03/30	50.00
64788	Credit Suisse AG - HSI R Bear CBBC Apr 2017 J	200,000,000	2016/12/13	2017/04/27	50.00
64781	Bank of East Asia - Galaxy Ent R Bull CBBC Dec 2017 E	20,000,000	2016/12/13	2017/12/18	10.00
64815	Bank of East Asia - HKEX R Bull CBBC Dec 2017 C	30,000,000	2016/12/13	2017/12/18	10.50
64818	Bank of East Asia - PICC P&C R Bull CBBC Dec 2017 A	40,000,000	2016/12/13	2017/12/18	10.00
64780	Bank of East Asia - Tencent R Bull CBBC Dec 2017 E	30,000,000	2016/12/13	2017/12/18	10.50
64813	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 B	200,000,000	2016/12/13	2017/11/29	50.00
64800	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/12/13	2017/12/28	50.00
64749	HK Bank - AIA R Bear CBBC Jun 2017 B	40,000,000	2016/12/13	2017/06/30	10.00
64741	HK Bank - China Life R Bear CBBC Apr 2017 C	40,000,000	2016/12/13	2017/04/13	10.00
64740	HK Bank - HKEX R Bull CBBC Dec 2017 A	50,000,000	2016/12/13	2017/12/22	15.00
64736	HK Bank - HKEX R Bear CBBC Mar 2017 E	40,000,000	2016/12/13	2017/03/30	10.00
64730	HK Bank - HSI R Bull CBBC Sep 2017 O	100,000,000	2016/12/13	2017/09/28	25.00
64830	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 G	100,000,000	2016/12/13	2017/11/17	25.00
64826	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 O	200,000,000	2016/12/13	2017/10/30	50.00
64829	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/12/13	2017/12/28	50.00
64820	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/12/13	2017/03/30	50.00
64768	SG Issuer - A50 R Bear CBBC Jul 2017 A	40,000,000	2016/12/13	2017/07/28	10.00
64879	SG Issuer - HKEX R Bear CBBC Jun 2017 B	100,000,000	2016/12/13	2017/06/29	25.00
64884	SG Issuer - HKEX R Bear CBBC Aug 2017 B	100,000,000	2016/12/13	2017/08/30	25.00
64751	SG Issuer - HSBC R Bull CBBC Sep 2018 E	100,000,000	2016/12/13	2018/09/27	25.00
64766	SG Issuer - HSBC R Bull CBBC Nov 2018 E	100,000,000	2016/12/13	2018/11/29	25.00
64863	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/12/13	2018/03/28	50.00
64862	SG Issuer - HSI R Bull CBBC Mar 2018 H	200,000,000	2016/12/13	2018/03/28	50.00
64867	SG Issuer - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/12/13	2017/03/30	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64840	UBS AG - HSI R Bull CBBC Sep 2017 P	200,000,000	2016/12/13	2017/09/28	50.00
64848	UBS AG - HSI R Bull CBBC Oct 2017 J	200,000,000	2016/12/13	2017/10/30	50.00
64832	UBS AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/12/13	2017/03/30	50.00
64837	UBS AG - HSI R Bear CBBC Mar 2017 Z	250,000,000	2016/12/13	2017/03/30	62.50
65013	Credit Suisse AG - China Mobile R Bull CBBC Oct 2017 A	80,000,000	2016/12/14	2017/10/18	20.00
65014	Credit Suisse AG - China Mobile R Bull CBBC Oct 2017 B	80,000,000	2016/12/14	2017/10/24	20.00
65015	Credit Suisse AG - China Mobile R Bear CBBC Jul 2017 A	40,000,000	2016/12/14	2017/07/05	10.00
65010	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jun 2017 A	50,000,000	2016/12/14	2017/06/28	12.50
65012	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Jun 2017 B	50,000,000	2016/12/14	2017/06/16	12.50
65016	Credit Suisse AG - HKEX R Bull CBBC Oct 2017 A	50,000,000	2016/12/14	2017/10/12	12.50
65024	Credit Suisse AG - HKEX R Bull CBBC Oct 2017 B	50,000,000	2016/12/14	2017/10/19	12.50
65028	Credit Suisse AG - HKEX R Bear CBBC Jun 2017 A	50,000,000	2016/12/14	2017/06/22	12.50
64983	Credit Suisse AG - HSBC R Bull CBBC Sep 2017 B	70,000,000	2016/12/14	2017/09/19	17.50
64987	Credit Suisse AG - HSBC R Bear CBBC Jun 2017 A	50,000,000	2016/12/14	2017/06/30	12.50
65007	Credit Suisse AG - HSBC R Bear CBBC Jun 2017 B	50,000,000	2016/12/14	2017/06/26	12.50
64968	Credit Suisse AG - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/12/14	2017/11/29	50.00
65029	Credit Suisse AG - HSI R Bull CBBC Nov 2017 K	200,000,000	2016/12/14	2017/11/29	50.00
64960	Credit Suisse AG - HSI R Bear CBBC Apr 2017 N	200,000,000	2016/12/14	2017/04/27	50.00
65032	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 Z	200,000,000	2016/12/14	2017/12/28	50.00
64886	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/12/14	2017/03/30	50.00
64887	HK Bank - CSOP A50 ETF R Bear CBBC Aug 2017 A	40,000,000	2016/12/14	2017/08/31	10.00
64893	HK Bank - A50 R Bear CBBC Aug 2017 A	40,000,000	2016/12/14	2017/08/31	10.00
65067	J P Morgan SP BV - CSOP A50 ETF R Bear CBBC Nov 2017 A	80,000,000	2016/12/14	2017/11/17	20.00
65066	J P Morgan SP BV - A50 China R Bear CBBC Nov 2017 A	80,000,000	2016/12/14	2017/11/17	20.00
65059	J P Morgan SP BV - HSBC R Bull CBBC Nov 2017 H	100,000,000	2016/12/14	2017/11/17	25.00
65063	J P Morgan SP BV - HSBC R Bear CBBC Sep 2017 A	100,000,000	2016/12/14	2017/09/15	25.00
65052	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 I	200,000,000	2016/12/14	2017/10/30	50.00
65046	J P Morgan SP BV - HSI R Bull CBBC Oct 2018 A	200,000,000	2016/12/14	2018/10/30	50.00
65035	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/12/14	2017/03/30	50.00
64924	SG Issuer - Galaxy R Bull CBBC Oct 2018 B	100,000,000	2016/12/14	2018/10/30	25.00
64932	SG Issuer - HSBC R Bull CBBC Sep 2018 F	100,000,000	2016/12/14	2018/09/27	25.00
65081	SG Issuer - HSI R Bull CBBC Mar 2018 I	200,000,000	2016/12/14	2018/03/28	50.00
65082	SG Issuer - HSI R Bull CBBC Mar 2018 L	200,000,000	2016/12/14	2018/03/28	50.00
65089	SG Issuer - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/12/14	2017/03/30	50.00
65092	SG Issuer - HSI R Bear CBBC Apr 2017 F	200,000,000	2016/12/14	2017/04/27	50.00
64949	SG Issuer - Sands R Bull CBBC Oct 2018 B	100,000,000	2016/12/14	2018/10/30	25.00
64952	SG Issuer - SHK Ppt P R Bull CBBC Aug 2018 B	100,000,000	2016/12/14	2018/08/30	25.00
64954	SG Issuer - Tencent R Bear CBBC Aug 2017 C	100,000,000	2016/12/14	2017/08/30	25.00
65078	UBS AG - HSI R Bull CBBC Nov 2017 A	250,000,000	2016/12/14	2017/11/29	62.50
65079	UBS AG - HSI R Bull CBBC Dec 2017 A	250,000,000	2016/12/14	2017/12/28	62.50
65072	UBS AG - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/12/14	2017/12/28	50.00
65070	UBS AG - HSI R Bear CBBC Mar 2017 Y	300,000,000	2016/12/14	2017/03/30	75.00
65101	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 L	250,000,000	2016/12/15	2017/03/30	62.50
65103	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 G	250,000,000	2016/12/15	2017/04/27	62.50
65139	Credit Suisse AG - HSI R Bull CBBC Sep 2017 I	200,000,000	2016/12/15	2017/09/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65142	Credit Suisse AG - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/12/15	2017/11/29	50.00
65133	Credit Suisse AG - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/12/15	2017/03/30	50.00
65131	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Q	200,000,000	2016/12/15	2017/04/27	50.00
65124	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 F	200,000,000	2016/12/15	2017/10/30	50.00
65104	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/12/15	2017/03/30	50.00
65105	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/12/15	2017/03/30	50.00
65106	HK Bank - Geely Auto R Bull CBBC Nov 2017 A	40,000,000	2016/12/15	2017/11/30	10.00
65108	HK Bank - Geely Auto R Bear CBBC Nov 2017 A	40,000,000	2016/12/15	2017/11/30	10.00
65121	HK Bank - HKEX R Bull CBBC Nov 2017 E	50,000,000	2016/12/15	2017/11/30	17.50
65120	HK Bank - HKEX R Bear CBBC Jul 2017 D	40,000,000	2016/12/15	2017/07/10	10.00
65123	HK Bank - HSI R Bull CBBC Aug 2017 P	100,000,000	2016/12/15	2017/08/30	25.00
65172	J P Morgan SP BV - Galaxy Ent R Bull CBBC Nov 2017 D	150,000,000	2016/12/15	2017/11/17	37.50
65170	J P Morgan SP BV - HKEX R Bear CBBC Jul 2017 D	40,000,000	2016/12/15	2017/07/21	10.00
65150	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 J	200,000,000	2016/12/15	2017/11/29	50.00
65149	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 R	200,000,000	2016/12/15	2017/11/29	50.00
65128	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/12/15	2017/03/30	50.00
65174	SG Issuer - HSI R Bull CBBC Mar 2018 P	200,000,000	2016/12/15	2018/03/28	50.00
65177	SG Issuer - HSI R Bull CBBC Mar 2018 Q	200,000,000	2016/12/15	2018/03/28	50.00
65184	SG Issuer - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/12/15	2017/03/30	50.00
65188	SG Issuer - HSI R Bear CBBC Mar 2017 J	200,000,000	2016/12/15	2017/03/30	50.00
65169	UBS AG - HSI R Bull CBBC Sep 2017 R	250,000,000	2016/12/15	2017/09/28	62.50
65166	UBS AG - HSI R Bull CBBC Oct 2017 I	250,000,000	2016/12/15	2017/10/30	62.50
65162	UBS AG - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/12/15	2017/03/30	62.50
65152	UBS AG - HSI R Bear CBBC Mar 2017 L	250,000,000	2016/12/15	2017/03/30	62.50
65215	Credit Suisse AG - HSI R Bull CBBC Sep 2017 F	200,000,000	2016/12/16	2017/09/28	50.00
65216	Credit Suisse AG - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/12/16	2017/11/29	50.00
65220	Credit Suisse AG - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/12/16	2017/03/30	50.00
65219	Credit Suisse AG - HSI R Bear CBBC Apr 2017 R	200,000,000	2016/12/16	2017/04/27	50.00
65221	Credit Suisse AG - HSI R Bear CBBC Aug 2017 A	200,000,000	2016/12/16	2017/08/30	50.00
65218	Credit Suisse AG - Tencent R Bear CBBC Jul 2017 A	200,000,000	2016/12/16	2017/07/26	50.00
65208	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/12/16	2017/12/28	50.00
65209	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/12/16	2017/03/30	50.00
65195	HK Bank - AIA R Bull CBBC Dec 2017 A	60,000,000	2016/12/16	2017/12/22	15.00
65207	HK Bank - Galaxy Ent R Bear CBBC Jul 2017 D	40,000,000	2016/12/16	2017/07/31	10.00
65203	HK Bank - Sands China R Bull CBBC Aug 2017 E	60,000,000	2016/12/16	2017/08/18	15.00
65201	HK Bank - Sands China R Bull CBBC Dec 2017 A	60,000,000	2016/12/16	2017/12/22	15.00
65206	HK Bank - Sands China R Bear CBBC Dec 2017 A	40,000,000	2016/12/16	2017/12/22	10.00
65267	J P Morgan SP BV - Galaxy Ent R Bull CBBC Dec 2017 D	150,000,000	2016/12/16	2017/12/14	37.50
65263	J P Morgan SP BV - HKEX R Bear CBBC Jul 2017 E	40,000,000	2016/12/16	2017/07/21	10.00
65237	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Z	200,000,000	2016/12/16	2017/10/30	50.00
65247	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/12/16	2017/03/30	50.00
65264	J P Morgan SP BV - Sands China R Bull CBBC Nov 2017 B	150,000,000	2016/12/16	2017/11/17	37.50
65268	J P Morgan SP BV - Tencent R Bull CBBC Nov 2017 G	60,000,000	2016/12/16	2017/11/17	15.00
65212	Macquarie Bank Ltd. - HSBC R Bear CBBC Jan 2018 A	40,000,000	2016/12/16	2018/01/30	10.00
65269	SG Issuer - HSI R Bull CBBC Mar 2018 O	200,000,000	2016/12/16	2018/03/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65272	SG Issuer - HSI R Bear CBBC Mar 2017 C	200,000,000	2016/12/16	2017/03/30	50.00
65277	SG Issuer - HSI R Bear CBBC Mar 2017 H	200,000,000	2016/12/16	2017/03/30	50.00
65223	UBS AG - HSI R Bull CBBC Sep 2017 Z	250,000,000	2016/12/16	2017/09/28	62.50
65224	UBS AG - HSI R Bull CBBC Oct 2017 W	250,000,000	2016/12/16	2017/10/30	62.50
65228	UBS AG - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/12/16	2017/03/30	50.00
65229	UBS AG - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/12/16	2017/03/30	50.00
65230	UBS AG - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/12/16	2017/03/30	50.00
65289	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 R	250,000,000	2016/12/19	2017/11/29	62.50
65313	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 N	250,000,000	2016/12/19	2017/12/28	62.50
65308	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 B	250,000,000	2016/12/19	2017/03/30	62.50
65373	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 H	250,000,000	2016/12/19	2017/03/30	62.50
65298	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 H	250,000,000	2016/12/19	2017/04/27	62.50
65309	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 I	250,000,000	2016/12/19	2017/04/27	62.50
65352	Credit Suisse AG - HKEX R Bear CBBC Jun 2017 B	50,000,000	2016/12/19	2017/06/15	12.50
65316	Credit Suisse AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/12/19	2017/09/28	50.00
65349	Credit Suisse AG - HSI R Bull CBBC Sep 2017 K	200,000,000	2016/12/19	2017/09/28	50.00
65314	Credit Suisse AG - HSI R Bull CBBC Nov 2017 F	250,000,000	2016/12/19	2017/11/29	62.50
65413	Credit Suisse AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/12/19	2017/03/30	50.00
65323	Credit Suisse AG - HSI R Bear CBBC Apr 2017 K	200,000,000	2016/12/19	2017/04/27	50.00
65341	Credit Suisse AG - HSI R Bear CBBC Apr 2017 T	200,000,000	2016/12/19	2017/04/27	50.00
65342	Credit Suisse AG - HSI R Bear CBBC Apr 2017 U	200,000,000	2016/12/19	2017/04/27	50.00
65363	Credit Suisse AG - Tencent R Bull CBBC Jul 2017 C	50,000,000	2016/12/19	2017/07/12	12.50
65355	Credit Suisse AG - Tencent R Bear CBBC Jul 2017 B	50,000,000	2016/12/19	2017/07/19	12.50
65278	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 V	200,000,000	2016/12/19	2017/11/29	50.40
65476	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 O	200,000,000	2016/12/19	2017/04/27	51.20
65477	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 P	200,000,000	2016/12/19	2017/04/27	50.60
65284	HK Bank - CC Bank R Bull CBBC Oct 2017 A	50,000,000	2016/12/19	2017/10/23	12.50
65288	HK Bank - CC Bank R Bull CBBC Oct 2017 B	50,000,000	2016/12/19	2017/10/23	12.50
65279	HK Bank - PetCh R Bull CBBC Dec 2017 A	50,000,000	2016/12/19	2017/12/22	12.50
65282	HK Bank - Tencent R Bear CBBC Oct 2017 B	40,000,000	2016/12/19	2017/10/23	10.00
65499	J P Morgan SP BV - HKEX R Bear CBBC Nov 2017 A	40,000,000	2016/12/19	2017/11/17	10.00
65488	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 Q	200,000,000	2016/12/19	2017/10/30	50.00
65504	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/12/19	2017/03/30	50.00
65521	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 G	200,000,000	2016/12/19	2017/03/30	50.00
65532	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/12/19	2017/03/30	50.00
65496	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 H	40,000,000	2016/12/19	2017/11/17	10.00
65311	Macquarie Bank Ltd. - Tencent R Bull CBBC Sep 2017 A	31,000,000	2016/12/19	2017/09/29	10.14
65310	Macquarie Bank Ltd. - Tencent R Bear CBBC Sep 2017 A	31,000,000	2016/12/19	2017/09/29	10.04
65439	SG Issuer - HSI R Bull CBBC Mar 2018 E	200,000,000	2016/12/19	2018/03/28	50.00
65430	SG Issuer - HSI R Bull CBBC Mar 2018 V	200,000,000	2016/12/19	2018/03/28	50.00
65447	SG Issuer - HSI R Bear CBBC Mar 2017 K	200,000,000	2016/12/19	2017/03/30	50.00
65448	SG Issuer - HSI R Bear CBBC Mar 2017 L	200,000,000	2016/12/19	2017/03/30	50.00
65452	SG Issuer - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/12/19	2017/03/30	50.00
65374	UBS AG - HSI R Bull CBBC Nov 2017 R	300,000,000	2016/12/19	2017/11/29	75.00
65411	UBS AG - HSI R Bull CBBC Nov 2017 Y	200,000,000	2016/12/19	2017/11/29	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65381	UBS AG - HSI R Bull CBBC Dec 2017 V	250,000,000	2016/12/19	2017/12/28	62.50
65397	UBS AG - HSI R Bear CBBC Mar 2017 S	250,000,000	2016/12/19	2017/03/30	62.50
65412	UBS AG - HSI R Bear CBBC Mar 2017 U	250,000,000	2016/12/19	2017/03/30	62.50
65404	UBS AG - HSI R Bear CBBC Apr 2017 T	200,000,000	2016/12/19	2017/04/27	50.00
65409	UBS AG - HSI R Bear CBBC Apr 2017 U	250,000,000	2016/12/19	2017/04/27	62.50
65611	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/12/20	2017/11/29	62.50
65621	Credit Suisse AG - HKEX R Bull CBBC Nov 2017 B	50,000,000	2016/12/20	2017/11/13	12.50
65633	Credit Suisse AG - HSI R Bull CBBC Sep 2017 L	200,000,000	2016/12/20	2017/09/28	50.00
65640	Credit Suisse AG - HSI R Bull CBBC Nov 2017 L	200,000,000	2016/12/20	2017/11/29	50.00
65656	Credit Suisse AG - HSI R Bear CBBC Apr 2017 V	200,000,000	2016/12/20	2017/04/27	50.00
65657	Credit Suisse AG - HSI R Bear CBBC Apr 2017 W	200,000,000	2016/12/20	2017/04/27	50.00
65588	Bank of East Asia - AIA R Bull CBBC Dec 2017 A	15,000,000	2016/12/20	2017/12/14	10.20
65586	Bank of East Asia - China Mobile R Bull CBBC Dec 2017 C	40,000,000	2016/12/20	2017/12/14	10.00
65538	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2017 G	200,000,000	2016/12/20	2017/10/30	50.00
65632	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 Q	200,000,000	2016/12/20	2017/04/27	50.00
65571	HK Bank - HKEX R Bull CBBC Dec 2017 B	50,000,000	2016/12/20	2017/12/15	15.00
65545	HK Bank - HSI R Bear CBBC Apr 2017 U	100,000,000	2016/12/20	2017/04/27	25.00
65551	HK Bank - HSI R Bear CBBC Apr 2017 V	100,000,000	2016/12/20	2017/04/27	25.00
65581	HK Bank - Tencent R Bull CBBC Dec 2017 A	50,000,000	2016/12/20	2017/12/15	12.50
65676	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 C	200,000,000	2016/12/20	2017/12/28	50.00
65694	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 F	200,000,000	2016/12/20	2017/03/30	50.00
65684	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/12/20	2017/03/30	50.00
65660	SG Issuer - HSI R Bear CBBC Mar 2017 D	200,000,000	2016/12/20	2017/03/30	50.00
65695	UBS AG - HSI R Bull CBBC Oct 2017 M	250,000,000	2016/12/20	2017/10/30	62.50
65696	UBS AG - HSI R Bear CBBC Mar 2017 T	250,000,000	2016/12/20	2017/03/30	62.50
65723	UBS AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/12/20	2017/03/30	50.00
65946	Credit Suisse AG - HSI R Bull CBBC Aug 2017 J	300,000,000	2016/12/21	2017/08/30	75.00
65950	Credit Suisse AG - HSI R Bull CBBC Nov 2017 E	200,000,000	2016/12/21	2017/11/29	50.00
65942	Credit Suisse AG - HSI R Bear CBBC Mar 2017 W	200,000,000	2016/12/21	2017/03/30	50.00
65939	Credit Suisse AG - HSI R Bear CBBC Apr 2017 X	200,000,000	2016/12/21	2017/04/27	50.00
65916	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 X	200,000,000	2016/12/21	2017/11/29	50.00
65725	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 R	200,000,000	2016/12/21	2017/04/27	50.00
65904	HK Bank - HSI R Bull CBBC Sep 2017 P	100,000,000	2016/12/21	2017/09/28	25.00
65901	HK Bank - HSI R Bull CBBC Oct 2017 J	100,000,000	2016/12/21	2017/10/30	25.00
65879	HK Bank - PetCh R Bull CBBC Nov 2017 B	50,000,000	2016/12/21	2017/11/15	12.50
65894	HK Bank - PetCh R Bear CBBC Nov 2017 B	40,000,000	2016/12/21	2017/11/15	10.00
65892	HK Bank - Tencent R Bull CBBC Nov 2017 D	50,000,000	2016/12/21	2017/11/15	12.50
65914	HK Bank - China Vanke R Bull CBBC Nov 2017 A	40,000,000	2016/12/21	2017/11/15	16.00
65996	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 E	200,000,000	2016/12/21	2017/10/30	50.00
65986	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 I	200,000,000	2016/12/21	2017/03/30	50.00
65928	Macquarie Bank Ltd. - HSI R Bull CBBC Jan 2018 A	80,000,000	2016/12/21	2018/01/30	20.00
65731	SG Issuer - AAC Tech R Bear CBBC Sep 2017 A	100,000,000	2016/12/21	2017/09/28	25.00
65737	SG Issuer - AIA R Bear CBBC Mar 2018 A	100,000,000	2016/12/21	2018/03/28	25.00
65744	SG Issuer - BOC HK R Bear CBBC Sep 2018 A	100,000,000	2016/12/21	2018/09/27	25.00
65801	SG Issuer - COVS R Bear CBBC Sep 2017 B	40,000,000	2016/12/21	2017/09/28	12.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65813	SG Issuer - CUni R Bear CBBC Sep 2017 A	40,000,000	2016/12/21	2017/09/28	10.00
65834	SG Issuer - CKH R Bear CBBC Sep 2017 A	100,000,000	2016/12/21	2017/09/28	25.00
65800	SG Issuer - China Life R Bear CBBC Dec 2017 B	40,000,000	2016/12/21	2017/12/28	10.00
65846	SG Issuer - CSA50 R Bear CBBC Sep 2017 A	40,000,000	2016/12/21	2017/09/28	10.00
65849	SG Issuer - Galaxy R Bear CBBC Sep 2018 A	100,000,000	2016/12/21	2018/09/27	25.00
65997	SG Issuer - HSI R Bull CBBC Mar 2018 B	200,000,000	2016/12/21	2018/03/28	50.00
65998	SG Issuer - HSI R Bear CBBC Mar 2017 X	200,000,000	2016/12/21	2017/03/30	50.00
65878	SG Issuer - Lenovo R Bear CBBC Dec 2017 A	40,000,000	2016/12/21	2017/12/28	10.00
65861	SG Issuer - SHK Ppt P R Bear CBBC Dec 2017 A	100,000,000	2016/12/21	2017/12/28	25.00
65971	UBS AG - HKEX R Bull CBBC Oct 2017 A	50,000,000	2016/12/21	2017/10/16	12.50
65969	UBS AG - HSBC R Bull CBBC Nov 2017 E	50,000,000	2016/12/21	2017/11/20	12.50
65981	UBS AG - HSCEI R Bear CBBC Apr 2017 A	100,000,000	2016/12/21	2017/04/27	25.00
65980	UBS AG - HSI R Bull CBBC Sep 2017 A	300,000,000	2016/12/21	2017/09/28	75.00
65958	UBS AG - HSI R Bear CBBC Mar 2017 E	200,000,000	2016/12/21	2017/03/30	50.00
65959	UBS AG - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/12/21	2017/03/30	62.50
65976	UBS AG - Tencent R Bull CBBC Nov 2017 A	50,000,000	2016/12/21	2017/11/13	12.50
66010	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 D	250,000,000	2016/12/22	2017/11/29	62.50
66002	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 P	250,000,000	2016/12/22	2017/12/28	62.50
66081	Credit Suisse AG - China Mobile R Bull CBBC Oct 2017 C	50,000,000	2016/12/22	2017/10/20	12.50
66079	Credit Suisse AG - China Mobile R Bull CBBC Nov 2017 A	50,000,000	2016/12/22	2017/11/17	12.50
66077	Credit Suisse AG - China Life R Bull CBBC Sep 2017 D	40,000,000	2016/12/22	2017/09/26	13.20
66073	Credit Suisse AG - HSI R Bull CBBC Oct 2017 U	200,000,000	2016/12/22	2017/10/30	50.00
66038	Credit Suisse AG - HSI R Bull CBBC Nov 2017 S	200,000,000	2016/12/22	2017/11/29	50.00
66042	Credit Suisse AG - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/12/22	2017/12/28	50.00
66094	Credit Suisse AG - HSI R Bear CBBC Mar 2017 Z	200,000,000	2016/12/22	2017/03/30	50.00
66096	Credit Suisse AG - HSI R Bear CBBC Apr 2017 S	200,000,000	2016/12/22	2017/04/27	50.00
66085	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Y	300,000,000	2016/12/22	2017/04/27	75.00
66181	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/12/22	2017/11/29	50.00
66017	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 D	200,000,000	2016/12/22	2017/11/29	50.00
66187	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 S	200,000,000	2016/12/22	2017/04/27	50.00
66198	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 T	200,000,000	2016/12/22	2017/04/27	50.00
66036	HK Bank - AIA R Bear CBBC Jun 2017 C	40,000,000	2016/12/22	2017/06/19	10.00
66022	HK Bank - HKEX R Bull CBBC Dec 2017 C	50,000,000	2016/12/22	2017/12/22	15.00
66031	HK Bank - HKEX R Bear CBBC Jun 2017 E	40,000,000	2016/12/22	2017/06/19	10.00
66029	HK Bank - HSCEI R Bear CBBC May 2017 F	50,000,000	2016/12/22	2017/05/29	12.50
66023	HK Bank - HSI R Bear CBBC May 2017 F	100,000,000	2016/12/22	2017/05/29	25.00
66028	HK Bank - HSI R Bear CBBC May 2017 G	100,000,000	2016/12/22	2017/05/29	25.00
66153	J P Morgan SP BV - HKEX R Bull CBBC Oct 2017 G	60,000,000	2016/12/22	2017/10/20	15.00
66158	J P Morgan SP BV - HKEX R Bear CBBC Nov 2017 B	40,000,000	2016/12/22	2017/11/17	10.00
66021	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 R	200,000,000	2016/12/22	2017/10/30	50.00
66146	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 Z	200,000,000	2016/12/22	2017/11/29	50.00
66150	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 N	200,000,000	2016/12/22	2017/03/30	50.00
66169	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 O	200,000,000	2016/12/22	2017/03/30	50.00
66161	J P Morgan SP BV - Tencent R Bear CBBC Nov 2017 I	40,000,000	2016/12/22	2017/11/17	10.00
66202	SG Issuer - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/12/22	2017/12/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66200	SG Issuer - HSI R Bull CBBC Mar 2018 G	200,000,000	2016/12/22	2018/03/28	50.00
66212	SG Issuer - HSI R Bear CBBC Mar 2017 M	200,000,000	2016/12/22	2017/03/30	50.00
66214	SG Issuer - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/12/22	2017/03/30	50.00
66219	SG Issuer - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/12/22	2017/04/27	50.00
66226	SG Issuer - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/12/22	2017/04/27	50.00
66107	UBS AG - HSI R Bull CBBC Sep 2017 J	200,000,000	2016/12/22	2017/09/28	50.00
66114	UBS AG - HSI R Bull CBBC Sep 2017 M	300,000,000	2016/12/22	2017/09/28	75.00
66111	UBS AG - HSI R Bull CBBC Sep 2017 Y	250,000,000	2016/12/22	2017/09/28	62.50
66118	UBS AG - HSI R Bull CBBC Oct 2017 B	300,000,000	2016/12/22	2017/10/30	75.00
66124	UBS AG - HSI R Bull CBBC Nov 2017 P	250,000,000	2016/12/22	2017/11/29	62.50
66102	UBS AG - HSI R Bull CBBC Dec 2017 X	250,000,000	2016/12/22	2017/12/28	62.50
66137	UBS AG - HSI R Bear CBBC Mar 2017 I	250,000,000	2016/12/22	2017/03/30	62.50
66144	UBS AG - HSI R Bear CBBC Mar 2017 V	200,000,000	2016/12/22	2017/03/30	50.00
66145	UBS AG - HSI R Bear CBBC Apr 2017 V	250,000,000	2016/12/22	2017/04/27	62.50
66297	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 K	250,000,000	2016/12/23	2017/03/30	62.50
66302	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 X	250,000,000	2016/12/23	2017/03/30	62.50
66282	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 J	250,000,000	2016/12/23	2017/04/27	62.50
66298	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 K	250,000,000	2016/12/23	2017/04/27	62.50
66306	Credit Suisse AG - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/12/23	2017/11/29	50.00
66316	Credit Suisse AG - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/12/23	2017/03/30	50.00
66332	Credit Suisse AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2016/12/23	2017/04/27	50.00
66314	Credit Suisse AG - HSI R Bear CBBC Apr 2017 Z	200,000,000	2016/12/23	2017/04/27	50.00
66304	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/12/23	2017/11/29	50.00
66361	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 U	200,000,000	2016/12/23	2017/04/27	50.00
66252	HK Bank - China Mobile R Bull CBBC Dec 2017 B	50,000,000	2016/12/23	2017/12/22	12.50
66255	HK Bank - Geely Auto R Bull CBBC Dec 2017 A	40,000,000	2016/12/23	2017/12/22	10.00
66254	HK Bank - PICC P&C R Bull CBBC Dec 2017 A	40,000,000	2016/12/23	2017/12/22	10.00
66270	HK Bank - Ping An R Bear CBBC Dec 2017 A	40,000,000	2016/12/23	2017/12/22	10.00
66246	HK Bank - SHK Ppt R Bull CBBC Dec 2017 C	40,000,000	2016/12/23	2017/12/22	10.00
66338	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 F	200,000,000	2016/12/23	2017/12/28	50.00
66340	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/12/23	2017/04/27	50.00
66345	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 J	200,000,000	2016/12/23	2017/04/27	50.00
66241	SG Issuer - HKEEX R Bear CBBC Sep 2017 A	100,000,000	2016/12/23	2017/09/28	25.00
66415	SG Issuer - HSI R Bull CBBC Mar 2018 S	200,000,000	2016/12/23	2018/03/28	50.00
66419	SG Issuer - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/12/23	2017/04/27	50.00
66421	SG Issuer - HSI R Bear CBBC Apr 2017 J	200,000,000	2016/12/23	2017/04/27	50.00
66429	SG Issuer - HSI R Bear CBBC Apr 2017 K	200,000,000	2016/12/23	2017/04/27	50.00
66402	UBS AG - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/12/23	2017/10/30	50.00
66403	UBS AG - HSI R Bear CBBC Apr 2017 W	250,000,000	2016/12/23	2017/04/27	62.50
66411	UBS AG - HSI R Bear CBBC Apr 2017 X	200,000,000	2016/12/23	2017/04/27	50.00
66456	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Nov 2017 S	250,000,000	2016/12/28	2017/11/29	62.50
66489	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 K	250,000,000	2016/12/28	2017/12/28	62.50
66435	BNP Paribas Arbit Issu B.V. - HSI R Bull CBBC Dec 2017 O	250,000,000	2016/12/28	2017/12/28	62.50
66606	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Mar 2017 Q	250,000,000	2016/12/28	2017/03/30	62.50
66600	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 L	250,000,000	2016/12/28	2017/04/27	62.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66558	Credit Suisse AG - China Life R Bear CBBC Sep 2017 A	40,000,000	2016/12/28	2017/09/18	10.00
66555	Credit Suisse AG - HKEX R Bear CBBC Jul 2017 A	50,000,000	2016/12/28	2017/07/07	12.50
66593	Credit Suisse AG - HSI R Bull CBBC Sep 2017 R	200,000,000	2016/12/28	2017/09/28	50.00
66590	Credit Suisse AG - HSI R Bull CBBC Nov 2017 I	200,000,000	2016/12/28	2017/11/29	50.00
66554	Credit Suisse AG - HSI R Bear CBBC Apr 2017 F	200,000,000	2016/12/28	2017/04/27	50.00
66545	Credit Suisse AG - HSI R Bear CBBC Apr 2017 G	200,000,000	2016/12/28	2017/04/27	50.00
66588	Credit Suisse AG - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/12/28	2017/04/27	50.00
66578	Credit Suisse AG - Ping An R Bear CBBC Jun 2017 A	50,000,000	2016/12/28	2017/06/28	12.50
66472	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2017 A	200,000,000	2016/12/28	2017/11/29	50.00
66542	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 V	200,000,000	2016/12/28	2017/04/27	50.00
66534	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2018 A	50,000,000	2016/12/28	2018/01/08	12.50
66541	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2018 B	50,000,000	2016/12/28	2018/01/15	12.50
66497	HK Bank - HSCEI R Bear CBBC May 2017 G	50,000,000	2016/12/28	2017/05/29	12.50
66494	HK Bank - HSI R Bull CBBC Nov 2017 D	100,000,000	2016/12/28	2017/11/29	25.00
66508	HK Bank - HSI R Bull CBBC Nov 2017 E	100,000,000	2016/12/28	2017/11/29	25.00
66490	HK Bank - HSI R Bear CBBC May 2017 H	100,000,000	2016/12/28	2017/05/29	25.00
66515	HK Bank - HSI R Bear CBBC Nov 2017 E	100,000,000	2016/12/28	2017/11/29	25.00
66516	HK Bank - China Vanke R Bull CBBC Jan 2018 A	40,000,000	2016/12/28	2018/01/08	20.00
66699	J P Morgan SP BV - HSI R Bull CBBC Oct 2017 H	200,000,000	2016/12/28	2017/10/30	50.00
66697	J P Morgan SP BV - HSI R Bull CBBC Nov 2017 C	200,000,000	2016/12/28	2017/11/29	50.00
66710	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 P	200,000,000	2016/12/28	2017/03/30	50.00
66720	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 Q	200,000,000	2016/12/28	2017/03/30	50.00
66900	J P Morgan SP BV - China Vanke R Bull CBBC Nov 2017 A	40,000,000	2016/12/28	2017/11/17	10.00
66722	SG Issuer - AAC Tech R Bull CBBC Jun 2018 A	100,000,000	2016/12/28	2018/06/28	25.00
66771	SG Issuer - AIA R Bull CBBC Jul 2018 A	100,000,000	2016/12/28	2018/07/30	25.00
66780	SG Issuer - CMob R Bull CBBC Oct 2018 B	100,000,000	2016/12/28	2018/10/30	25.00
66786	SG Issuer - CMob R Bull CBBC Dec 2018 A	100,000,000	2016/12/28	2018/12/28	25.00
66806	SG Issuer - CMob R Bear CBBC Sep 2017 A	100,000,000	2016/12/28	2017/09/28	25.00
66645	SG Issuer - COVS R Bull CBBC Dec 2017 A	40,000,000	2016/12/28	2017/12/28	10.00
66676	SG Issuer - A50 R Bear CBBC Aug 2017 B	40,000,000	2016/12/28	2017/08/30	10.00
66671	SG Issuer - HKEX R Bear CBBC Aug 2017 C	100,000,000	2016/12/28	2017/08/30	25.00
66673	SG Issuer - HSBC R Bear CBBC Aug 2017 C	100,000,000	2016/12/28	2017/08/30	25.00
66842	SG Issuer - HSI R Bull CBBC Mar 2018 T	200,000,000	2016/12/28	2018/03/28	50.00
66852	SG Issuer - HSI R Bull CBBC Mar 2018 X	200,000,000	2016/12/28	2018/03/28	50.00
66859	SG Issuer - HSI R Bear CBBC Apr 2017 L	200,000,000	2016/12/28	2017/04/27	50.00
66896	SG Issuer - HSI R Bear CBBC Apr 2017 M	200,000,000	2016/12/28	2017/04/27	50.00
66899	SG Issuer - HSI R Bear CBBC Apr 2017 N	200,000,000	2016/12/28	2017/04/27	50.00
66680	SG Issuer - PetroChina R Bull CBBC Aug 2018 A	40,000,000	2016/12/28	2018/08/30	10.00
66681	SG Issuer - PetroChina R Bear CBBC Oct 2017 A	40,000,000	2016/12/28	2017/10/30	10.00
66682	SG Issuer - Ping An R Bear CBBC Nov 2017 A	100,000,000	2016/12/28	2017/11/29	25.00
66691	SG Issuer - Sands R Bear CBBC Feb 2018 B	100,000,000	2016/12/28	2018/02/27	25.00
66695	SG Issuer - Tencent R Bear CBBC Aug 2017 D	100,000,000	2016/12/28	2017/08/30	25.00
66664	SG Issuer - China Vanke R Bull CBBC May 2018 A	40,000,000	2016/12/28	2018/05/30	10.00
66617	UBS AG - HSI R Bull CBBC Oct 2017 J	250,000,000	2016/12/28	2017/10/30	62.50
66610	UBS AG - HSI R Bull CBBC Dec 2017 R	300,000,000	2016/12/28	2017/12/28	75.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66626	UBS AG - HSI R Bull CBBC Dec 2017 T	200,000,000	2016/12/28	2017/12/28	50.00
66632	UBS AG - HSI R Bear CBBC Apr 2017 Y	200,000,000	2016/12/28	2017/04/27	50.00
66636	UBS AG - HSI R Bear CBBC Apr 2017 Z	250,000,000	2016/12/28	2017/04/27	62.50
66633	UBS AG - HSI R Bear CBBC May 2017 C	250,000,000	2016/12/28	2017/05/29	62.50
66634	UBS AG - HSI R Bear CBBC May 2017 D	250,000,000	2016/12/28	2017/05/29	62.50
67079	Credit Suisse AG - China Mobile R Bear CBBC Aug 2017 A	50,000,000	2016/12/29	2017/08/04	12.50
67076	Credit Suisse AG - HKEX R Bull CBBC Nov 2017 C	50,000,000	2016/12/29	2017/11/17	12.50
67066	Credit Suisse AG - HSI R Bull CBBC Sep 2017 D	300,000,000	2016/12/29	2017/09/28	75.00
67096	Credit Suisse AG - HSI R Bull CBBC Oct 2017 M	200,000,000	2016/12/29	2017/10/30	50.00
67044	Credit Suisse AG - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/12/29	2017/04/27	50.00
67061	Credit Suisse AG - HSI R Bear CBBC Apr 2017 L	200,000,000	2016/12/29	2017/04/27	50.00
67124	Credit Suisse AG - HSI R Bear CBBC Apr 2017 O	200,000,000	2016/12/29	2017/04/27	50.00
67116	Credit Suisse AG - Tencent R Bull CBBC Oct 2017 A	50,000,000	2016/12/29	2017/10/11	13.25
67095	Credit Suisse AG - Tencent R Bear CBBC Jul 2017 C	50,000,000	2016/12/29	2017/07/07	12.50
67158	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 K	200,000,000	2016/12/29	2017/12/28	50.00
67169	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 L	200,000,000	2016/12/29	2017/12/28	50.00
67173	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 N	200,000,000	2016/12/29	2017/12/28	50.00
66911	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 S	200,000,000	2016/12/29	2017/12/28	50.00
67176	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 W	200,000,000	2016/12/29	2017/04/27	50.00
67002	HK Bank - China Mobile R Bear CBBC Jul 2017 A	40,000,000	2016/12/29	2017/07/17	10.00
66945	HK Bank - COVS R Bull CBBC Jan 2018 A	40,000,000	2016/12/29	2018/01/08	18.00
66951	HK Bank - COVS R Bear CBBC Jul 2017 A	40,000,000	2016/12/29	2017/07/17	14.00
66950	HK Bank - CUni R Bull CBBC Dec 2017 A	40,000,000	2016/12/29	2017/12/22	10.00
67000	HK Bank - CC Bank R Bear CBBC Dec 2017 A	40,000,000	2016/12/29	2017/12/22	10.00
66906	HK Bank - CKH R Bear CBBC Jul 2017 A	40,000,000	2016/12/29	2017/07/17	10.00
67028	HK Bank - China Life R Bull CBBC Dec 2017 A	40,000,000	2016/12/29	2017/12/22	22.00
67033	HK Bank - China Life R Bear CBBC Jul 2017 A	40,000,000	2016/12/29	2017/07/17	12.00
66977	HK Bank - CNOOC R Bull CBBC Dec 2017 A	50,000,000	2016/12/29	2017/12/22	12.50
66986	HK Bank - CNOOC R Bear CBBC Jul 2017 B	40,000,000	2016/12/29	2017/07/17	10.00
66959	HK Bank - Sinopec Corp R Bull CBBC Dec 2017 A	50,000,000	2016/12/29	2017/12/22	12.50
66923	HK Bank - Geely Auto R Bear CBBC Jan 2018 A	40,000,000	2016/12/29	2018/01/08	10.00
66918	HK Bank - Galaxy Ent R Bear CBBC Jan 2018 A	40,000,000	2016/12/29	2018/01/08	10.00
66936	HK Bank - HKEX R Bull CBBC Dec 2017 D	50,000,000	2016/12/29	2017/12/22	15.00
66949	HK Bank - HKEX R Bull CBBC Jan 2018 A	50,000,000	2016/12/29	2018/01/08	17.50
66931	HK Bank - HKEX R Bear CBBC Jul 2017 E	40,000,000	2016/12/29	2017/07/17	10.00
66908	HK Bank - SHK Ppt R Bull CBBC Jan 2018 B	40,000,000	2016/12/29	2018/01/08	12.00
66935	HK Bank - SHK Ppt R Bear CBBC Jul 2017 A	40,000,000	2016/12/29	2017/07/17	10.00
67188	J P Morgan SP BV - AIA R Bull CBBC Jul 2017 A	100,000,000	2016/12/29	2017/07/21	25.00
67185	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 G	200,000,000	2016/12/29	2017/12/28	50.00
67193	J P Morgan SP BV - HSI R Bull CBBC Dec 2017 J	200,000,000	2016/12/29	2017/12/28	50.00
67201	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 R	200,000,000	2016/12/29	2017/03/30	50.00
67205	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 U	200,000,000	2016/12/29	2017/03/30	50.00
67211	J P Morgan SP BV - HSI R Bear CBBC Apr 2017 K	200,000,000	2016/12/29	2017/04/27	50.00
66903	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2017 A	3,500,000	2016/12/29	2017/06/30	10.03
67217	SG Issuer - HSI R Bull CBBC Mar 2018 C	200,000,000	2016/12/29	2018/03/28	50.00

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67223	SG Issuer - HSI R Bear CBBC Apr 2017 O	200,000,000	2016/12/29	2017/04/27	50.00
67234	SG Issuer - HSI R Bear CBBC Apr 2017 P	200,000,000	2016/12/29	2017/04/27	50.00
67235	SG Issuer - HSI R Bear CBBC Apr 2017 Q	200,000,000	2016/12/29	2017/04/27	50.00
67236	SG Issuer - HSI R Bear CBBC Apr 2017 R	200,000,000	2016/12/29	2017/04/27	50.00
67156	UBS AG - HSCEI R Bull CBBC Nov 2017 C	100,000,000	2016/12/29	2017/11/29	25.00
67157	UBS AG - HSCEI R Bear CBBC Mar 2017 B	100,000,000	2016/12/29	2017/03/30	25.00
67127	UBS AG - HSI R Bull CBBC Nov 2017 L	250,000,000	2016/12/29	2017/11/29	62.50
67137	UBS AG - HSI R Bull CBBC Nov 2017 M	250,000,000	2016/12/29	2017/11/29	62.50
67132	UBS AG - HSI R Bear CBBC Apr 2017 H	200,000,000	2016/12/29	2017/04/27	50.00
67155	UBS AG - HSI R Bear CBBC Apr 2017 I	200,000,000	2016/12/29	2017/04/27	50.00
67149	UBS AG - HSI R Bear CBBC Apr 2017 K	250,000,000	2016/12/29	2017/04/27	62.50
67265	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 M	250,000,000	2016/12/30	2017/04/27	62.50
67272	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 N	250,000,000	2016/12/30	2017/04/27	62.50
67287	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 O	250,000,000	2016/12/30	2017/04/27	62.50
67291	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 P	250,000,000	2016/12/30	2017/04/27	62.50
67293	BNP Paribas Arbit Issu B.V. - HSI R Bear CBBC Apr 2017 Q	250,000,000	2016/12/30	2017/04/27	62.50
67475	Credit Suisse AG - AIA R Bull CBBC Sep 2017 A	50,000,000	2016/12/30	2017/09/29	12.50
67467	Credit Suisse AG - AIA R Bull CBBC Oct 2017 A	50,000,000	2016/12/30	2017/10/10	12.50
67485	Credit Suisse AG - AIA R Bear CBBC Jul 2017 A	50,000,000	2016/12/30	2017/07/21	12.50
67494	Credit Suisse AG - AIA R Bear CBBC Jul 2017 B	50,000,000	2016/12/30	2017/07/07	12.50
67500	Credit Suisse AG - China Life R Bear CBBC Jul 2017 A	40,000,000	2016/12/30	2017/07/11	11.20
67502	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Oct 2017 A	40,000,000	2016/12/30	2017/10/18	10.00
67508	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Oct 2017 B	40,000,000	2016/12/30	2017/10/12	10.00
67440	Credit Suisse AG - HKEX R Bull CBBC Dec 2017 A	50,000,000	2016/12/30	2017/12/08	15.00
67441	Credit Suisse AG - HKEX R Bear CBBC Jul 2017 B	50,000,000	2016/12/30	2017/07/21	14.75
67426	Credit Suisse AG - HSBC R Bear CBBC Sep 2017 A	50,000,000	2016/12/30	2017/09/04	12.50
67510	Credit Suisse AG - HSI R Bull CBBC Dec 2017 U	200,000,000	2016/12/30	2017/12/28	50.00
67511	Credit Suisse AG - HSI R Bull CBBC Dec 2017 V	200,000,000	2016/12/30	2017/12/28	50.00
67415	Credit Suisse AG - HSI R Bear CBBC Apr 2017 P	200,000,000	2016/12/30	2017/04/27	50.00
67419	Credit Suisse AG - HSI R Bear CBBC May 2017 B	300,000,000	2016/12/30	2017/05/29	75.00
67495	Credit Suisse AG - Ping An R Bear CBBC Oct 2017 A	50,000,000	2016/12/30	2017/10/03	12.50
67452	Credit Suisse AG - Tencent R Bull CBBC Oct 2017 B	50,000,000	2016/12/30	2017/10/31	17.50
67376	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 O	200,000,000	2016/12/30	2017/12/28	50.00
67392	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2017 P	200,000,000	2016/12/30	2017/12/28	50.00
67365	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2017 X	200,000,000	2016/12/30	2017/04/27	50.00
67350	HK Bank - AAC Tech R Bull CBBC Jan 2018 A	40,000,000	2016/12/30	2018/01/15	10.00
67310	HK Bank - ABC R Bull CBBC Jan 2018 A	50,000,000	2016/12/30	2018/01/15	12.50
67315	HK Bank - AIA R Bull CBBC Dec 2017 B	60,000,000	2016/12/30	2017/12/22	15.00
67329	HK Bank - AIA R Bull CBBC Jan 2018 A	60,000,000	2016/12/30	2018/01/08	15.00
67330	HK Bank - AIA R Bear CBBC Jul 2017 A	40,000,000	2016/12/30	2017/07/17	10.00
67241	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2018 C	50,000,000	2016/12/30	2018/01/08	12.50
67242	HK Bank - CSOP A50 ETF R Bull CBBC Jan 2018 D	50,000,000	2016/12/30	2018/01/15	12.50
67243	HK Bank - A50 R Bull CBBC Jan 2018 B	50,000,000	2016/12/30	2018/01/15	12.50
67245	HK Bank - A50 R Bull CBBC Jan 2018 C	50,000,000	2016/12/30	2018/01/15	12.50
67250	HK Bank - A50 R Bull CBBC Jan 2018 D	50,000,000	2016/12/30	2018/01/08	12.50

主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67240	HK Bank - HS H-SH ETF R Bull CBBC Dec 2017 A	50,000,000	2016/12/30	2017/12/22	12.50
67238	HK Bank - HS H-SH ETF R Bull CBBC Jan 2018 A	50,000,000	2016/12/30	2018/01/15	12.50
67239	HK Bank - HS H-SH ETF R Bull CBBC Jan 2018 B	50,000,000	2016/12/30	2018/01/22	12.50
67263	HK Bank - HS H-SH ETF R Bull CBBC Jan 2018 C	50,000,000	2016/12/30	2018/01/08	12.50
67237	HK Bank - HS H-SH ETF R Bear CBBC Jul 2017 A	40,000,000	2016/12/30	2017/07/17	10.00
67307	HK Bank - Lenovo R Bear CBBC Jan 2018 A	40,000,000	2016/12/30	2018/01/08	10.00
67339	HK Bank - Ping An R Bull CBBC Jan 2018 A	60,000,000	2016/12/30	2018/01/08	15.00
67342	HK Bank - Ping An R Bull CBBC Jan 2018 B	60,000,000	2016/12/30	2018/01/15	15.00
67348	HK Bank - Ping An R Bear CBBC Dec 2017 B	40,000,000	2016/12/30	2017/12/22	10.00
67338	HK Bank - Sands China R Bear CBBC Jan 2018 A	40,000,000	2016/12/30	2018/01/08	10.00
67540	J P Morgan SP BV - China Mobile R Bull CBBC Oct 2017 C	100,000,000	2016/12/30	2017/10/20	25.00
67554	J P Morgan SP BV - HSI R Bull CBBC Jan 2018 U	200,000,000	2016/12/30	2018/01/30	50.00
67549	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 S	200,000,000	2016/12/30	2017/03/30	50.00
67551	J P Morgan SP BV - HSI R Bear CBBC Mar 2017 T	200,000,000	2016/12/30	2017/03/30	50.00
67298	Macquarie Bank Ltd. - HKEX R Bull CBBC Jul 2017 A	40,000,000	2016/12/30	2017/07/31	10.00
67525	SG Issuer - HSI R Bull CBBC Dec 2017 Q	200,000,000	2016/12/30	2017/12/28	50.00
67529	SG Issuer - HSI R Bull CBBC Dec 2017 R	200,000,000	2016/12/30	2017/12/28	50.00
67524	SG Issuer - HSI R Bull CBBC Mar 2018 F	200,000,000	2016/12/30	2018/03/28	50.00
67531	SG Issuer - HSI R Bull CBBC Mar 2018 H	200,000,000	2016/12/30	2018/03/28	50.00
67532	SG Issuer - HSI R Bull CBBC Mar 2018 M	200,000,000	2016/12/30	2018/03/28	50.00
67538	SG Issuer - HSI R Bear CBBC Apr 2017 S	200,000,000	2016/12/30	2017/04/27	50.00
67522	UBS AG - HSI R Bull CBBC Oct 2017 N	200,000,000	2016/12/30	2017/10/30	50.00
67521	UBS AG - HSI R Bear CBBC Apr 2017 L	250,000,000	2016/12/30	2017/04/27	62.50
67517	UBS AG - HSI R Bear CBBC Apr 2017 M	200,000,000	2016/12/30	2017/04/27	50.00
總額					78,979.94

進一步發行

預託證券／股票掛鉤票據／非上市可交易股份交易統計

預託證券交易統計

		發行數目	成交金額(百萬港元)
2015	第4季	4	49.30
2016	第1季	4	29.99
	第2季	4	54.20
	第3季	2	22.02
	第4季	2	12.84

股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2015	第4季	-	-
2016	第1季	-	-
	第2季	-	-
	第3季	-	-
	第4季	-	-

Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第4季	6	0.01
2016	第1季	6	0.04
	第2季	6	0.10
	第3季	6	0.29
	第4季	6	0.03

iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2015	第4季	2	0.09
2016	第1季	2	-
	第2季	2	-
	第3季	2	-
	第4季	2	-

創業板 股份

股份成交－創業板

		股數(百萬股)	金額(百萬港元)	成交宗數
2015	第4季	136,058.68	39,886.12	1,212,890
2016	第1季	85,420.86	25,666.83	730,890
	第2季	107,905.65	31,516.06	917,745
	第3季	135,466.80	32,617.31	1,028,333
	第4季	101,583.21	26,606.56	769,681

創業板股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2015	第4季	63	633.11	32,885
2016	第1季	59	435.03	35,117
	第2季	62	508.32	34,341
	第3季	63	517.74	31,719
	第4季	61	436.17	34,568

20種升幅最大之創業板股份

2016年第4季

順次	股份代號	股份	收市價		升幅
			2016年12月底	2016年9月底	
1	08293	星亞控股	4.200	1.380	204.35
2	08176	航空互聯	9.400	4.180	124.88
3	08037	銳康藥業	0.360	0.166	116.87
4	08057	麥迪森酒業	2.120	1.130 A	87.61
5	08125	中持基業	3.800	2.100	80.95
6	08060	國聯通信	0.243	0.139	74.82
7	08331	飛尚非金屬	1.030	0.590	74.58
8	08093	愛特麗皮革	1.010	0.600	68.33
9	08088	滙友科技控股	0.120	0.072	66.67
10	08122	德萊建業	0.425	0.255	66.67
11	08047	中國海洋捕撈	0.295	0.179	64.80
12	08190	康佰控股	0.126	0.079	59.49
13	08127	雲裳衣	13.460	8.510	58.17
14	08182	中國糖果	0.158	0.100	58.00
15	08101	家夢控股	0.126	0.080	57.50
16	08227	海天天綫控股	1.480	0.960	54.17
17	08081	互娛中國	0.107	0.074	44.59
18	08237	華星控股	1.150	0.840	36.90
19	08161	醫匯集團	0.237	0.176	34.66
20	08218	毅高國際控股	1.270	0.950	33.68

A 經調整

創業板 股份

20種跌幅最大之創業板股份

2016年第4季

順次	股份代號	股份	收市價		跌幅
			2016年12月底	2016年9月底	
1	08368	中國創意控股	0.260	1.960	-86.73
2	08292	盛良物流	0.495	1.880	-73.67
3	08173	萬亞企業控股	0.014	0.044	-68.18
4	08195	L&A INTL HOLD	0.011	0.031	-64.52
5	08153	科地農業	1.030	2.810	-63.35
6	08046	恒芯中國	0.046	0.093	-50.54
7	08269	富譽控股	0.178	0.354 A	-49.72
8	08066	品創控股	0.033	0.064	-48.44
9	08315	冠輝集團控股	0.044	0.077	-42.86
10	08363	SDM GROUP	0.740	1.270	-41.73
11	08346	創新電子控股	0.295	0.500	-41.00
12	08245	安悅國際控股	0.480	0.813 A	-40.96
13	08189	泰達生物	0.740	1.230	-39.84
14	08056	飲食概念	0.350	0.570	-38.60
15	08345	火岩控股	2.580	4.110	-37.23
16	08007	環球戰略集團	0.164	0.260	-36.92
17	08192	環球能源資源	0.370	0.568 A	-34.86
18	08112	FOCUS MEDIA	0.365	0.560 A	-34.82
19	08089	華人策略控股	0.235	0.355	-33.80
20	08026	長達健康	0.228	0.340	-32.94

A 經調整

創業板 股份

20種成交最活躍創業板股份(金額)

2016年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百份比
1	08227	海天天綫控股	1,345.38	5.06
2	08207	中國信貸	1,188.03	4.47
3	08133	鑄能控股	923.34	3.47
4	08215	FIRST CREDIT	782.26	2.94
5	08102	利寶閣集團	729.45	2.74
6	08083	中國創新支付	627.06	2.36
7	08231	節能元件	559.66	2.10
8	08193	漢華專業服務	483.68	1.82
9	08315	冠輝集團控股	439.64	1.65
10	08336	電訊數碼控股	395.00	1.48
11	08078	HMV數碼中國	390.64	1.47
12	08057	麥迪森酒業	386.62	1.45
13	08149	浩德控股	382.77	1.44
14	08153	科地農業	374.66	1.41
15	08081	互娛中國	372.85	1.40
16	08047	中國海洋捕撈	357.70	1.34
17	08095	北大青鳥環宇	345.83	1.30
18	08278	CMON	327.77	1.23
19	08321	泰錦控股	326.41	1.23
20	08325	中國支付通	319.10	1.20
總額			11,057.83	41.56

創業板 股份

20種成交最活躍創業板股份(股數)

2016年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百份比
1	08195	L&A INTL HOLD	20,785.29	20.46
2	08171	中國趨勢	8,323.18	8.19
3	08315	冠輝集團控股	6,467.08	6.37
4	08081	互娛中國	4,202.53	4.14
5	08186	同仁資源	2,454.37	2.42
6	08133	鑄能控股	2,276.10	2.24
7	08215	FIRST CREDIT	1,784.16	1.76
8	08047	中國海洋捕撈	1,654.85	1.63
9	08173	萬亞企業控股	1,589.09	1.56
10	08088	滙友科技控股	1,498.27	1.47
11	08269	富譽控股	1,468.99	1.45
12	08101	家夢控股	1,415.16	1.39
13	08207	中國信貸	1,347.87	1.33
14	08085	香港生命科學	1,327.60	1.31
15	08086	DX.COM控股	1,291.86	1.27
16	08046	恒芯中國	1,285.30	1.27
17	08072	羅馬集團	1,189.48	1.17
18	08231	節能元件	1,163.45	1.15
19	08182	中國糖果	1,058.41	1.04
20	08278	CMON	995.65	0.98
總數			63,578.68	62.59

創業板 股份

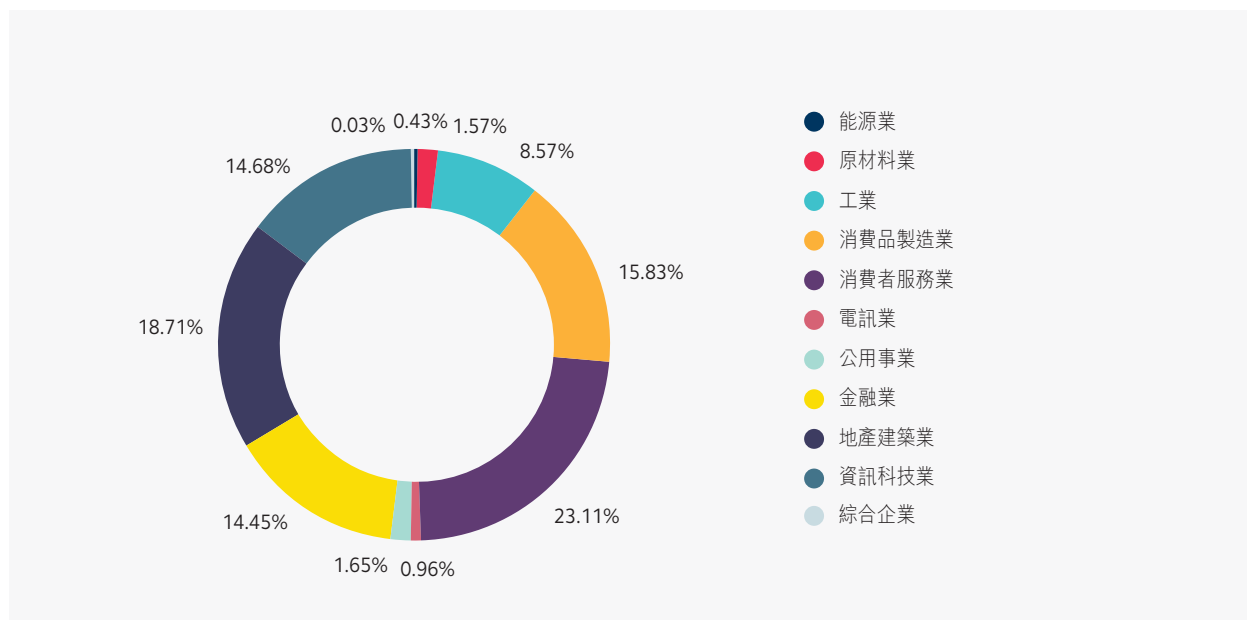
創業板公司市價總值

截至季末

		百 萬 港 元
2015	第 4 季	258,175.74
2016	第 1 季	248,978.34
	第 2 季	266,315.62
	第 3 季	277,457.32
	第 4 季	310,871.86

恒生行業分類系統之證券市價總值*－創業板

季末數字



* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

創業板 股份

50間市價總值最大之上市公司－創業板

截至2016年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08217	聯旺集團控股有限公司	27,955.20	8.99
2	08207	中國信貸科技控股有限公司	20,016.78	6.44
3	08279	亞博科技控股有限公司	14,895.03	4.79
4	08127	雲裳衣控股有限公司	13,460.00	4.33
5	08138	北京同仁堂國藥有限公司	8,772.81	2.82
6	08057	麥迪森酒業控股有限公司	8,480.00	2.73
7	08321	泰錦控股有限公司	7,920.00	2.55
8	08008	新意網集團有限公司	7,710.28	2.48
9	08032	非凡中國控股有限公司	6,573.27	2.11
10	08265	宏峰太平洋集團有限公司	6,481.82	2.09
11	08411	K W Nelson Interior Architect Group Ltd.	5,550.00	1.79
12	08407	興證國際金融集團有限公司	5,280.00	1.70
13	08137	洪橋集團有限公司	5,110.18	1.64
14	08158	中國再生醫學國際有限公司	4,484.38	1.44
15	08176	航空互聯集團有限公司	4,279.06	1.38
16	08078	HMV數碼中國集團有限公司	4,205.53	1.35
17	08167	中國新電信集團有限公司	4,049.76	1.30
18	08237	華星控股有限公司	4,013.50	1.29
19	08083	中國創新支付集團有限公司	3,537.60	1.14
20	08052	陸慶娛樂集團控股有限公司	3,240.00	1.04
21	08193	漢華專業服務有限公司	3,109.10	1.00
22	08352	成安控股有限公司	3,057.60	0.98
23	08021	匯隆控股有限公司	2,936.43	0.94
24	08102	利寶閣集團有限公司	2,880.00	0.93
25	08005	裕興科技投資控股有限公司	2,632.51	0.85
26	08283	正力控股有限公司	2,625.00	0.84
27	08361	中國育兒網絡控股有限公司	2,576.52	0.83
28	08261	海天能源國際有限公司	2,512.40	0.81
29	08325	中國支付通集團控股有限公司	2,455.64	0.79
30	08295	中植資本國際有限公司	2,307.82	0.74
31	08326	同景新能源集團控股有限公司	2,028.64	0.65
32	08245	安悅國際控股有限公司	1,843.20	0.59
33	08172	拉近網娛集團有限公司	1,829.62	0.59
34	08233	中國基建港口有限公司	1,811.32	0.58
35	08030	匯聯金融服務控股有限公司	1,783.38	0.57
36	08090	中國融保金融集團有限公司	1,759.22	0.57
37	08058	山東羅欣藥業集團股份有限公司－H股	1,741.04	0.56
38	08276	建禹集團控股有限公司	1,728.00	0.56
39	08215	第一信用金融集團有限公司	1,687.39	0.54
40	08027	吉輝控股有限公司	1,632.00	0.52
41	08097	鼎石資本有限公司	1,571.20	0.51
42	08262	宏強控股有限公司	1,544.00	0.50
43	08029	太陽國際資源有限公司	1,502.71	0.48
44	08336	電訊數碼控股有限公司	1,466.09	0.47
45	08260	銀合控股有限公司	1,461.57	0.47
46	08310	大豐港和順科技股份有限公司	1,455.44	0.47
47	08125	中持基業控股有限公司	1,379.40	0.44
48	08246	北方新能源控股有限公司	1,373.31	0.44
49	08133	鑄能控股有限公司	1,347.50	0.43
50	08016	長虹佳華控股有限公司	1,338.28	0.43
總值			225,391.54	72.50
市場總值			310,871.86	100.00

創業板 股份

新上市統計－創業板公司

		新上市公司數目	集資金額(百萬港元)
2015	第4季	13	931.94
2016	第1季	6	344.80
	第2季	9	801.32
	第3季	10	775.30
	第4季	20	2,669.32

創業板新上市公司

2016年第4季

股份代號	公司名稱	上市日期	已發行股數	集資金額 (百萬港元)
08035	駿高控股有限公司	2016/10/07	600,000,000	61.50
08231	節能元件有限公司	2016/10/07	1,600,000,000	83.45
08240	展程控股有限公司	2016/10/13	1,280,000,000	72.00
08023	鄭文記集團有限公司	2016/10/13	600,000,000	64.50
08320	沛然環保顧問有限公司	2016/10/17	1,200,000,000	57.12
08149	浩德控股有限公司	2016/10/17	800,000,000	85.00
08407	興證國際金融集團有限公司	2016/10/20	4,000,000,000	1,330.00
08321	泰錦控股有限公司	2016/10/28	800,000,000	70.00
08283	正力控股有限公司	2016/11/08	500,000,000	50.00
08052	陸慶娛樂集團控股有限公司	2016/11/11	1,800,000,000	94.50
08278	CMON Ltd.	2016/12/02	1,806,000,000	74.29
08160	金滙教育集團有限公司	2016/12/02	522,500,000	35.36
08411	K W Nelson Interior Architect Group Ltd.	2016/12/08	1,000,000,000	50.00
08308	古兜控股有限公司	2016/12/09	980,000,000	156.00
08039	KNK Holdings Ltd.	2016/12/12	418,000,000	44.85
08062	俊盟國際控股有限公司	2016/12/15	480,000,000	67.20
08342	飛思達科技控股有限公司	2016/12/15	486,745,000	76.07
08353	安科系統有限公司	2016/12/16	399,158,496	74.00
08352	成安控股有限公司	2016/12/16	672,000,000	60.48
08343	宏光照明控股有限公司	2016/12/30	400,000,000	63.00
總額				2,669.32

除牌上市公司－創業板

2016年第4季

股份代號	公司名稱	最後買賣日期	除牌日期
08140	揚科集團有限公司*	2016/10/11	2016/10/12 §
08017	百齡國際(控股)有限公司	2013/12/03	2016/10/19
08105	滙能集團控股國際有限公司*	2016/10/25	2016/10/26 §

* 原為創業板上市公司，後引用於2008年7月1日生效的《創業板上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由創業板轉至主板的轉板生效日期。

創業板 股份

創業板公司名稱更改

2016年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08207	中國信貸控股有限公司	中國信貸科技控股有限公司	2016/09/15	2016/10/04
08051	千里眼控股有限公司	訊智海國際控股有限公司	2016/08/30	2016/10/20
08026	長達科技控股有限公司	長達健康控股有限公司	2016/09/30	2016/10/21
08020	川盟金融集團有限公司	宏海控股集團有限公司	2016/10/04	2016/11/02
08048	香港珠寶控股有限公司	御德國際控股有限公司	2016/09/07	2016/11/16
08047	宇恒供應鏈集團有限公司	中國海洋捕撈控股有限公司	2016/10/19	2016/11/28

創業板紅股／紅利認股權證

2016年第4季

股份代號	公司	詳情	除淨日
NIL			

創業板股份拆細及合併

2016年第4季

股份代號	公司	詳情	生效日期
08112	Focus Media Network Ltd.	10股合併為1股	2016/11/02
08202	匯創控股有限公司	5股合併為1股	2016/11/04
08057	麥迪森酒業控股有限公司	1股分拆為10股	2016/11/08
08272	華人飲食集團有限公司	2股合併為1股	2016/11/14
08269	富譽控股有限公司	6股合併為1股	2016/12/07
08192	環球能源資源國際集團有限公司	8股合併為1股	2016/12/08
08245	安悅國際控股有限公司	1股分拆為8股	2016/12/15

創業板供股／公開售股

2016年第4季

月份	股份代號	公司名稱	比率	集資金額 (百萬港元)
12月	08272	華人飲食集團有限公司	股權1供9，每股供0.13港元 (2股合併為1股後)	309.09
總額				309.09

創業板 股份

股份配售*

月份	股份代號	公司名稱	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2016					
10月	08212	譽滿國際控股	63,896,000	0.1700	10.86
	08089	華人策略控股	150,000,000	0.2400	36.00
	08202	匯創控股	159,249,356	0.1000	15.92
	08041	蒼萃國際(控股)	600,000,000	0.1000	60.00
	08307	密迪斯肌	80,000,000	0.6480	51.84
	08277	駿東控股	18,400,000	1.1300	20.79
11月	08202	匯創控股	31,849,871	0.3500	11.15
	08101	家夢控股	462,720,000	0.1000	46.27
	08256	金利通	25,000,000	0.1000	2.50
12月	08120	中國神農投資	118,340,000	0.1000	11.83
	08132	中油港燃	200,000,000	0.1010	20.20
	08190	康佰控股	640,000,000	0.1000	64.00
	08086	DX.COM控股	468,750,000	0.1280	60.00
	08063	環球大通集團	800,000,000	0.1400	112.00
	08227	海天天綫控股	92,000,000	0.2500	23.00
	08176	航空互聯	35,416,666	4.8000	170.00
	08028	天時軟件	425,000,000	0.0950	40.38
	08238	惠陶集團	1,440,000,000	0.0280	40.32
	08260	銀合控股	109,050,000	0.9170	100.00
總額					897.07

* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

創業板 認股權證

認股權證交易及市價總值統計－創業板

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2015	第4季	1	4.37	9.86
2016	第1季	2	2.64	99.62
	第2季	2	31.57	72.78
	第3季	1	5.98	62.92
	第4季	1	2.54	62.90

創業板新上市股份認股權證

2016年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
NIL					
總額					—

股票市場指數

恒生指數



標準普爾／香港交易所大型股指數



標準普爾／香港交易所創業板指數



股票市場指數

香港股市指數

指數	2016年12月底 收市	2016年9月底 收市	升跌
標準普爾／香港交易所大型股指數	27926.13	29251.49	- 4.53%
標準普爾／香港交易所創業板指數	358.53	392.83	- 8.73%
恒生指數	22000.56	23297.15	- 5.57%
分類指數			
金融	30308.31	30764.33	- 1.48%
公用事業	50037.47	54938.17	- 8.92%
地產	28991.74	34163.44	- 15.14%
工商業	12884.63	13881.71	- 7.18%
恒生香港中資企業指數	3587.99	3861.20	- 7.08%
恒生中國企業指數	9394.87	9581.93	- 1.95%

股票市場指數

世界指數

指數	2016年12月底 收市	2016年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	27926.13	29251.49	- 4.53%
恒生指數	22000.56	23297.15	- 5.57%
澳洲			
普通股指數	5719.14	5525.15	+ 3.51%
雅加達			
綜合指數	5296.71	5364.80	- 1.27%
日本			
日經指數(225)	19114.37	16449.84	+ 16.20%
韓國			
綜合指數	2026.46	2043.63	- 0.84%
吉隆坡			
綜合指數	1641.73	1652.55	- 0.65%
馬尼拉			
綜合指數	6840.64	7629.73	- 10.34%
紐西蘭			
證交所指數(50)	6881.22	7361.09	- 6.52%
上海			
A股指數	3249.59	3145.17	+ 3.32%
B股指數	341.80	354.14	- 3.48%
深圳			
A股指數	2059.91	2087.32	- 1.31%
B股指數	1127.70	1186.23	- 4.93%
新加坡			
海峽時報指數	2880.76	2869.47	+ 0.39%
台灣			
台北加權股份指數	9253.50	9166.85	+ 0.95%
泰國			
SET指數	1542.94	1483.21	+ 4.03%
紐約			
杜瓊斯工業平均指數	19762.60	18308.15	+ 7.94%
Nasdaq綜合指數	5383.12	5312.00	+ 1.34%
多倫多			
S&P/TSX綜合指數	15287.59	14725.86	+ 3.81%
德國			
DAX Kursindex	5588.27	5116.12	+ 9.23%
倫敦			
金融時報100指數	7142.83	6899.33	+ 3.53%

衍生產品市場 期貨及期權

合約成交量

衍生產品	合約張數	
	2016年第4季	2015年第4季
恒生指數期貨	7,378,971	7,209,070
恒生指數期權	2,312,279	1,622,372
小型恒生指數期貨	2,969,788	2,611,709
小型恒生指數期權	421,244	223,546
H股指數期貨	7,557,545	7,894,548
H股指數期權	4,899,939	3,970,659
小型H股指數期貨	1,048,696	1,411,243
小型H股指數期權 ¹	137,811	–
自訂條款恒生指數期權	0	3,800
自訂條款H股指數期權	5,710	3,681
恒指股息點指數期貨	1,420	900
恒生國企股息點指數期貨	92,050	45,463
恒指波幅指數期貨	0	40
中華交易服務中國120指數期貨	211	286
中華交易服務博彩業指數期貨 ²	0	–
恒生中國內地石油及天然氣指數期貨 ³	6	–
恒生中國內地銀行指數期貨 ⁴	194	–
恒生中國內地醫療保健指數期貨 ⁵	0	–
恒生中國內地地產指數期貨 ⁶	5	–
恒生資訊科技器材指數期貨 ⁷	0	–
恒生軟件服務指數期貨 ⁸	0	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	40,813	116,277
股票期權	18,636,926	15,195,091
三個月港元利率期貨	40	0
一個月港元利率期貨	0	3
人民幣貨幣期貨—美元兌人民幣(香港)期貨	198,244	83,160
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 ⁹	350	–
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 ¹⁰	18	–
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 ¹¹	26	–
人民幣貨幣期貨—人民幣(香港)兌美元期貨 ¹²	3,566	–
倫敦鋁期貨小型合約	329	4,925
倫敦鋅期貨小型合約	900	7,162
倫敦銅期貨小型合約	233	4,370
倫敦鉛期貨小型合約 ¹³	0	0
倫敦鎳期貨小型合約 ¹⁴	1,444	155
倫敦錫期貨小型合約 ¹⁵	0	2
總數	45,708,758	40,408,462

¹ 小型H股指數期權由2016年9月05日開始買賣

² 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

³ 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

⁴ 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

⁵ 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

⁶ 恒生中國內地地產指數期貨由2016年5月09日開始買賣

⁷ 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

⁸ 恒生軟件服務指數期貨由2016年5月09日開始買賣

⁹ 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹⁰ 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

¹¹ 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹² 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

¹³ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

¹⁴ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

¹⁵ 倫敦錫期貨小型合約由2015年12月14日開始買賣

衍生產品市場 期貨及期權

恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第4季	7,209,070	97,118
2016年	第1季	8,344,875	105,887
	第2季	8,405,492	104,573
	第3季	8,184,656	157,669
	第4季	7,378,971	123,004

小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第4季	2,611,709	7,556
2016年	第1季	3,087,080	9,138
	第2季	3,282,211	10,857
	第3季	3,138,473	9,151
	第4季	2,969,788	9,572

恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第4季	1,622,372	172,564
2016年	第1季	2,255,893	243,225
	第2季	2,405,701	226,187
	第3季	2,379,876	280,913
	第4季	2,312,279	249,699

H股恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2015年	第4季	7,894,548	384,767
2016年	第1季	9,089,155	357,894
	第2季	8,563,874	366,688
	第3季	7,820,556	349,588
	第4季	7,557,545	322,024

股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2015年	第4季	9,781.44	15,195,091	4,827,678
2016年	第1季	11,846.68	17,289,771	5,368,449
	第2季	8,723.16	18,146,397	5,550,885
	第3季	8,985.98	19,509,020	6,045,816
	第4季	9,144.11	18,636,926	6,327,503

衍生產品市場 期貨及期權

各期權類別之期權金總額

2016年第4季

期權類別		期權金額 (百萬港元)	佔市場百分比
X安碩A50中國	A50	293.70	3.21%
安徽海螺水泥股份	ACC	24.33	0.27%
友邦保險	AIA	363.88	3.98%
中國鋁業	ALC	8.66	0.09%
華夏滬深三百	AMC	141.97	1.55%
交通銀行	BCM	19.55	0.21%
東亞銀行	BEA	9.24	0.10%
百麗國際	BIH	11.74	0.13%
中銀香港	BOC	41.71	0.46%
比亞迪股份	BYD	41.17	0.45%
中國交通建設	CCC	33.85	0.37%
中國中煤能源	CCE	3.50	0.04%
中遠海控	CCS	2.02	0.02%
中國信達	CDA	4.55	0.05%
中廣核電力	CGN	4.68	0.05%
中國移動	CHT	652.81	7.14%
中國聯通	CHU	154.49	1.69%
中信股份	CIT	8.99	0.10%
長和	CKH	122.44	1.34%
長實地產	CKP	47.82	0.52%
中國人壽	CLI	573.71	6.27%
中電控股	CLP	22.79	0.25%
招商銀行	CMB	40.18	0.44%
中國海洋石油	CNC	254.39	2.78%
中國海外發展	COL	284.68	3.11%
國泰航空	CPA	7.67	0.08%
中國石油化工股份	CPC	70.44	0.77%
中國太保	CPI	25.93	0.28%
中國鐵建	CRC	4.60	0.05%
中國中鐵	CRG	8.85	0.10%
華潤置地	CRL	51.86	0.57%
X標智滬深300	CS3	0.05	0.00%
南方A50	CSA	389.26	4.26%
中國神華	CSE	75.87	0.83%
中信銀行	CTB	9.98	0.11%
中國電信	CTC	27.76	0.30%
中信証券	CTS	24.04	0.26%
東風集團股份	DFM	14.89	0.16%
思捷環球	ESP	0.14	0.00%
富智康集團	FIH	0.14	0.00%
銀河娛樂	GLX	273.98	3.00%
長城汽車	GWM	47.24	0.52%
海通證券	HAI	35.30	0.39%
恒生H股ETF	HCF	14.27	0.16%
電能實業	HEH	23.93	0.26%
香港交易所	HEX	679.29	7.43%
恒安國際	HGN	13.93	0.15%
匯豐控股	HKB	761.22	8.32%
香港中華煤氣	HKG	6.72	0.07%
恒基地產	HLD	14.94	0.16%
華能國際電力股份	HNP	25.35	0.28%
恒生銀行	HSB	11.78	0.13%
江西銅業	JXC	42.02	0.46%
昆侖能源	KLE	5.05	0.06%
金山軟件	KSO	20.99	0.23%
聯想集團	LEN	45.66	0.50%
利豐	LIF	8.15	0.09%
蒙牛乳業	MEN	4.41	0.05%

衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百份比
美高梅中國	MGM	2.56	0.03%
民生銀行	MSB	22.14	0.24%
港鐵公司	MTR	18.82	0.21%
中國建材	NBM	15.98	0.17%
新華保險	NCL	13.53	0.15%
新世界發展	NWD	15.72	0.17%
中國平安	PAI	416.11	4.55%
中國石油股份	PEC	144.25	1.58%
中國人民財產保險	PIC	43.17	0.47%
中國人民保險集團	PIN	5.20	0.06%
保利協鑫能源	PLE	2.94	0.03%
富力地產	RFP	2.60	0.03%
金沙中國有限公司	SAN	174.89	1.91%
新鴻基地產	SHK	302.79	3.31%
渣打集團	STC	5.19	0.06%
太古股份公司A	SWA	42.66	0.47%
騰訊控股	TCH	1,559.49	17.05%
盈富基金	TRF	7.08	0.08%
九龍倉集團	WHL	28.28	0.31%
中國旺旺	WWC	2.24	0.02%
農業銀行	XAB	39.07	0.43%
中國銀行	XBC	71.65	0.78%
建設銀行	XCC	162.61	1.78%
工商銀行	XIC	97.21	1.06%
兗州煤業股份	YZC	27.52	0.30%
紫金礦業	ZJM	7.87	0.09%
總額		9,144.11	100.00%

衍生產品市場 期貨及期權

各期權類別之合約成交量

2016年第4季

期權類別		合約成交量	佔市場百分比
X安碩A50中國	A50	242,301	1.30%
安徽海螺水泥股份	ACC	88,629	0.48%
友邦保險	AIA	395,350	2.12%
中國鋁業	ALC	35,319	0.19%
華夏滬深三百	AMC	106,044	0.57%
交通銀行	BCM	95,987	0.52%
東亞銀行	BEA	45,177	0.24%
百麗國際	BIH	70,286	0.38%
中銀香港	BOC	140,495	0.75%
比亞迪股份	BYD	33,380	0.18%
中國交通建設	CCC	125,977	0.68%
中國中煤能源	CCE	19,821	0.11%
中遠海控	CCS	18,631	0.10%
中國信達	CDA	11,932	0.06%
中廣核電力	CGN	5,612	0.03%
中國移動	CHT	748,123	4.01%
中國聯通	CHU	223,914	1.20%
中信股份	CIT	27,704	0.15%
長和	CKH	154,408	0.83%
長實地產	CKP	39,757	0.21%
中國人壽	CLI	935,302	5.02%
中電控股	CLP	38,512	0.21%
招商銀行	CMB	164,586	0.88%
中國海洋石油	CNC	862,873	4.63%
中國海外發展	COL	222,295	1.19%
國泰航空	CPA	12,511	0.07%
中國石油化工股份	CPC	200,595	1.08%
中國太保	CPI	42,925	0.23%
中國鐵建	CRC	24,763	0.13%
中國中鐵	CRG	33,972	0.18%
華潤置地	CRL	38,844	0.21%
X標智滬深300	CS3	43	0.00%
南方A50	CSA	291,824	1.57%
中國神華	CSE	268,598	1.44%
中信銀行	CTB	110,680	0.59%
中國電信	CTC	86,601	0.46%
中信証券	CTS	51,642	0.28%
東風集團股份	DFM	25,754	0.14%
思捷環球	ESP	3,961	0.02%
富智康集團	FIH	652	0.00%
銀河娛樂	GLX	301,806	1.62%
長城汽車	GWM	301,374	1.62%
海通證券	HAI	42,995	0.23%
恒生H股ETF	HCF	20,792	0.11%
電能實業	HEH	41,859	0.22%
香港交易所	HEX	1,451,152	7.79%
恒安國際	HGN	21,724	0.12%
匯豐控股	HKB	1,178,350	6.32%
香港中華煤氣	HKG	26,633	0.14%
恒基地產	HLD	16,305	0.09%
華能國際電力股份	HNP	65,641	0.35%
恒生銀行	HSB	58,761	0.32%
江西銅業	JXC	108,331	0.58%
昆侖能源	KLE	11,051	0.06%
金山軟件	KSO	35,028	0.19%
聯想集團	LEN	144,054	0.77%
利豐	LIF	29,216	0.16%
蒙牛乳業	MEN	12,617	0.07%

衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
美高梅中國	MGM	12,618	0.07%
民生銀行	MSB	42,507	0.23%
港鐵公司	MTR	51,388	0.28%
中國建材	NBM	77,160	0.41%
新華保險	NCL	16,206	0.09%
新世界發展	NWD	58,382	0.31%
中國平安	PAI	881,591	4.73%
中國石油股份	PEC	478,895	2.57%
中國人民財產保險	PIC	58,505	0.31%
中國人民保險集團	PIN	11,981	0.06%
保利協鑫能源	PLE	8,392	0.05%
富力地產	RFP	12,498	0.07%
金沙中國有限公司	SAN	409,217	2.20%
新鴻基地產	SHK	115,949	0.62%
渣打集團	STC	44,736	0.24%
太古股份公司A	SWA	24,715	0.13%
騰訊控股	TCH	2,991,334	16.05%
盈富基金	TRF	28,397	0.15%
九龍倉集團	WHL	26,833	0.14%
中國旺旺	WWC	14,225	0.08%
農業銀行	XAB	66,265	0.36%
中國銀行	XBC	938,227	5.03%
建設銀行	XCC	1,336,697	7.17%
工商銀行	XIC	919,788	4.94%
兗州煤業股份	YZC	57,591	0.31%
紫金礦業	ZJM	39,360	0.21%
總數		18,636,926	100.00%

結算及交收

經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年／月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2015 第4季	89,652	47.71	188.39
2016 第1季	85,208	47.09	170.11
第2季	81,388	47.01	183.39
第3季	82,516	47.08	190.09
第4季	83,191	45.76	181.31

經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年／月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2015 第4季	267	61.49	101.52
2016 第1季	249	129.91	89.17
第2季	272	102.77	169.31
第3季	314	61.95	148.35
第4季	266	55.54	133.74

經中央結算系統存管的股份

(按季度結存統計)

年／月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2015 第4季	5,467.21	74.50	15,071.15	56.58
2016 第1季	5,558.03	74.67	14,395.88	56.61
第2季	5,694.08	73.49	14,309.49	49.13
第3季	5,865.26	74.21	15,979.62	57.22
第4季	5,878.89	74.27	15,381.54	56.60

股數及市價總值包括非港元證券

結算及交收

經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣，「已劃分的買賣」和兩邊客買賣)

年／月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2015 第4季	1,106,299	100	210,829.38	100	70,729.28	100
2016 第1季	1,196,563	100	221,073.88	100	72,576.98	100
第2季	1,030,032	100	191,095.88	100	62,524.66	100
第3季	1,047,611	100	174,563.55	100	68,188.97	100
第4季	1,052,858	100	159,370.39	100	64,205.68	100

以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年／月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2015 第4季	72,118	96.46	24,746.99	93.92
2016 第1季	69,511	96.91	24,993.76	94.24
第2季	66,419	96.57	23,875.24	93.49
第3季	70,706	96.36	23,634.05	92.51
第4季	71,738	96.37	16,416.17	91.57

以「持續淨額交收」的股份數額的交收效率(每日平均數)

年／月	到期交收日	交收效率(%)	
		到期交收日	到期交收日翌日
2015 第4季	99.92		99.99
2016 第1季	99.93		99.99
第2季	99.92		99.99
第3季	99.91		99.99
第4季	99.90		99.99

結算及交收

季末未平倉合約

衍生產品	合約張數	
	2016年第4季	2015年第4季
恒生指數期貨	123,004	97,118
恒生指數期權	249,699	172,564
小型恒生指數期貨	9,572	7,556
小型恒生指數期權	11,917	4,945
H股指數期貨	322,024	384,767
H股指數期權	2,066,340	1,625,661
小型H股指數期貨	4,399	6,088
小型H股指數期權 ¹	3,265	–
自訂條款恒生指數期權	0	2,975
自訂條款H股指數期權	11,930	16,131
恒指股息點指數期貨	4,621	2,581
恒生國企股息點指數期貨	109,112	75,426
恒指波幅指數期貨	0	1
中華交易服務中國120指數期貨	16	5
中華交易服務博彩業指數期貨 ²	0	–
恒生中國內地石油及天然氣指數期貨 ³	1	–
恒生中國內地銀行指數期貨 ⁴	8	–
恒生中國內地醫療保健指數期貨 ⁵	0	–
恒生中國內地地產指數期貨 ⁶	0	–
恒生資訊科技器材指數期貨 ⁷	0	–
恒生軟件服務指數期貨 ⁸	0	–
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
S&P BSE Sensex指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	5,365	20,088
股票期權	6,327,503	4,827,678
三個月港元利率期貨	40	0
一個月港元利率期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	45,635	23,046
人民幣貨幣期貨—歐元兌人民幣(香港)期貨 ⁹	98	–
人民幣貨幣期貨—日圓兌人民幣(香港)期貨 ¹⁰	6	–
人民幣貨幣期貨—澳元兌人民幣(香港)期貨 ¹¹	8	–
人民幣貨幣期貨—人民幣(香港)兌美元期貨 ¹²	1,494	–
倫敦鋁期貨小型合約	2	75
倫敦鋅期貨小型合約	17	109
倫敦銅期貨小型合約	3	16
倫敦鉛期貨小型合約 ¹³	0	0
倫敦鎳期貨小型合約 ¹⁴	31	150
倫敦錫期貨小型合約 ¹⁵	0	0
總數	9,296,110	7,266,980

¹ 小型H股指數期權由2016年9月05日開始買賣

² 中華交易服務博彩業指數期貨由2016年5月09日開始買賣

³ 恒生中國內地石油及天然氣指數期貨由2016年5月09日開始買賣

⁴ 恒生中國內地銀行指數期貨由2016年5月09日開始買賣

⁵ 恒生中國內地醫療保健指數期貨由2016年5月09日開始買賣

⁶ 恒生中國內地地產指數期貨由2016年5月09日開始買賣

⁷ 恒生資訊科技器材指數期貨由2016年5月09日開始買賣

⁸ 恒生軟件服務指數期貨由2016年5月09日開始買賣

⁹ 人民幣貨幣期貨—歐元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹⁰ 人民幣貨幣期貨—日圓兌人民幣(香港)期貨由2016年5月30日開始買賣

¹¹ 人民幣貨幣期貨—澳元兌人民幣(香港)期貨由2016年5月30日開始買賣

¹² 人民幣貨幣期貨—人民幣(香港)兌美元期貨由2016年5月30日開始買賣

¹³ 倫敦鉛期貨小型合約由2015年12月14日開始買賣

¹⁴ 倫敦鎳期貨小型合約由2015年12月14日開始買賣

¹⁵ 倫敦錫期貨小型合約由2015年12月14日開始買賣

結算及交收

各期權類別之未平倉合約

2016年第4季末

期權類別		未平倉合約	佔市場百份比
X安碩A50中國	A50	81,005	1.28
安徽海螺水泥股份	ACC	21,636	0.34
友邦保險	AIA	87,714	1.39
中國鋁業	ALC	13,317	0.21
華夏滬深三百	AMC	34,456	0.54
交通銀行	BCM	50,815	0.80
東亞銀行	BEA	15,233	0.24
百麗國際	BIH	16,694	0.26
中銀香港	BOC	43,929	0.69
比亞迪股份	BYD	13,582	0.21
中國交通建設	CCC	36,216	0.57
中國中煤能源	CCE	11,883	0.19
中遠海控	CCS	7,729	0.12
中國信達	CDA	6,706	0.11
中廣核電力	CGN	1,764	0.03
中國移動	CHT	166,499	2.63
中國聯通	CHU	102,636	1.62
中信股份	CIT	8,506	0.13
長和	CKH	46,937	0.74
長實地產	CKP	12,574	0.20
中國人壽	CLI	256,730	4.06
中電控股	CLP	8,031	0.13
招商銀行	CMB	67,838	1.07
中國海洋石油	CNC	191,514	3.03
中國海外發展	COL	50,182	0.79
國泰航空	CPA	4,776	0.08
中國石油化工股份	CPC	85,313	1.35
中國太保	CPI	18,338	0.29
中國鐵建	CRC	15,202	0.24
中國中鐵	CRG	16,662	0.26
華潤置地	CRL	15,248	0.24
X標智滬深300	CS3	37	0.00
南方A50	CSA	115,440	1.82
中國神華	CSE	69,833	1.10
中信銀行	CTB	60,133	0.95
中國電信	CTC	68,646	1.08
中信証券	CTS	10,787	0.17
東風集團股份	DFM	10,458	0.17
思捷環球	ESP	1,345	0.02
富智康集團	FIH	608	0.01
銀河娛樂	GLX	56,714	0.90
長城汽車	GWM	101,716	1.61
海通證券	HAI	11,304	0.18

結算及交收

期權類別		未平倉合約	佔市場百分比
恒生H股ETF	HCF	8,474	0.13
電能實業	HEH	7,631	0.12
香港交易所	HEX	501,419	7.92
恒安國際	HGN	3,460	0.05
匯豐控股	HKB	334,459	5.29
香港中華煤氣	HKG	8,918	0.14
恒基地產	HLD	4,693	0.07
華能國際電力股份	HNP	15,678	0.25
恒生銀行	HSB	55,495	0.88
江西銅業	JXC	22,069	0.35
昆侖能源	KLE	4,120	0.07
金山軟件	KSO	10,618	0.17
聯想集團	LEN	72,557	1.15
利豐	LIF	11,002	0.17
蒙牛乳業	MEN	2,475	0.04
美高梅中國	MGM	1,475	0.02
民生銀行	MSB	61,559	0.97
港鐵公司	MTR	11,799	0.19
中國建材	NBM	9,546	0.15
新華保險	NCL	6,524	0.10
新世界發展	NWD	12,317	0.19
中國平安	PAI	318,449	5.03
中國石油股份	PEC	126,338	2.00
中國人民財產保險	PIC	25,643	0.41
中國人民保險集團	PIN	4,823	0.08
保利協鑫能源	PLE	5,197	0.08
富力地產	RFP	4,317	0.07
金沙中國有限公司	SAN	101,311	1.60
新鴻基地產	SHK	23,348	0.37
渣打集團	STC	12,250	0.19
太古股份公司A	SWA	9,778	0.15
騰訊控股	TCH	924,342	14.61
盈富基金	TRF	11,154	0.18
九龍倉集團	WHL	7,117	0.11
中國旺旺	WWC	5,044	0.08
農業銀行	XAB	38,317	0.61
中國銀行	XBC	490,717	7.76
建設銀行	XCC	523,946	8.28
工商銀行	XIC	490,050	7.74
兗州煤業股份	YZC	9,106	0.14
紫金礦業	ZJM	13,282	0.21
總數		6,327,503	100.00

交易所參與者統計

聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第4季	566 (975)	515 (915)	35 (44)	16 (16)
2016	第1季	575 (981)	526 (925)	33 (40)	16 (16)
	第2季	582 (985)	530 (924)	36 (45)	16 (16)
	第3季	599 (1002)	547 (941)	36 (45)	16 (16)
	第4季	608 (1011)	556 (950)	36 (45)	16 (16)

() 交易權數目

期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2015	第4季	232 (256)	179 (201)	–	53 (55)
2016	第1季	230 (253)	177 (198)	–	53 (55)
	第2季	229 (252)	176 (197)	–	53 (55)
	第3季	231 (254)	178 (199)	–	53 (55)
	第4季	233 (256)	180 (201)	–	53 (55)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

結算所參與者

期貨結算所參與者

年／月	結算參與者	全面結算參與者
2015 第4季	151	9
2016 第1季	148	10
第2季	148	9
第3季	150	9
第4季	152	9

聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2015 第4季	69	9
2016 第1季	66	10
第2季	66	9
第3季	65	9
第4季	65	9

中央結算所參與者

年／月	直接結算 參與者	全面結算 參與者	非經紀 參與者(*)
2015 第4季	492	10	43
2016 第1季	503	9	43
第2季	507	9	43
第3季	525	9	43
第4季	533	9	42

* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

簡釋

CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
GEM	創業板
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券