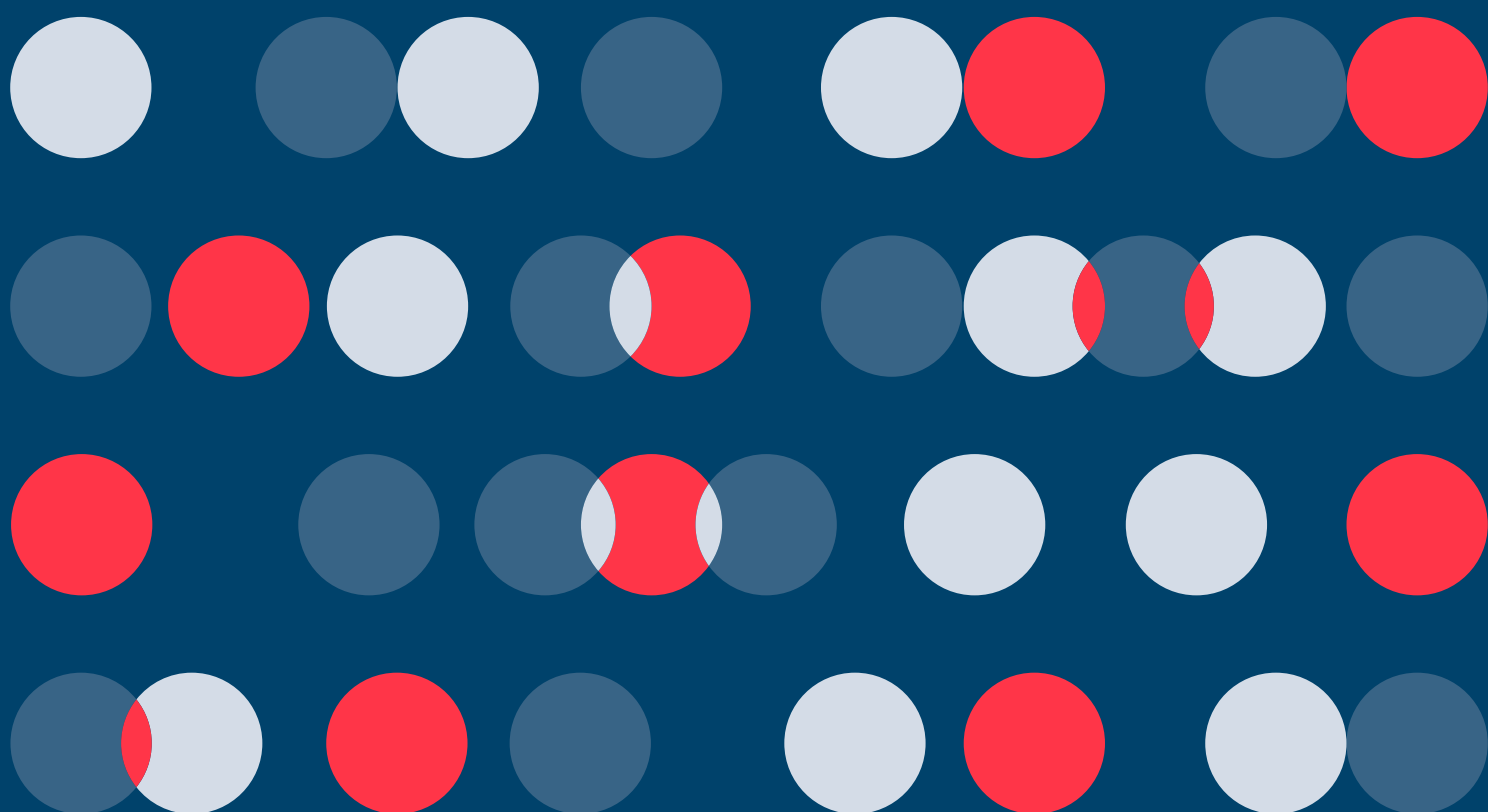


# 證券及衍生產品市場季報

## 2019年第四季



# 市場概況

## 主板

概況	2019年第4季	2018年第4季
上市公司數目	2,071	1,926
上市證券數目	12,416	12,900
股份		
普通股	2,072	1,927
優先股	15	17
認股權證	5,495	6,765
股份	2	2
衍生	4,571	6,763
界內	922	-
股票掛鈎票據	0	0
牛熊證	3,298	2,847
單位信託及互惠基金	148	149
債券	1,388	1,195
非上市可交易股份數目		
納斯達克股份	6	6
iShares	0	0
交易日數	62	62
總成交金額(百萬港元)*	4,770,469.26	5,292,292.28
總成交股數(百萬股)	11,429,226.50	15,114,883.84
總成交宗數(宗數)	78,268,180	88,708,348
上市公司市價總值(百萬港元)	38,058,338.10	29,723,238.27
平均市盈率(倍)	13.28	10.45
平均週息率(百分率)	2.73	3.34
交易所參與者數目		
開業	658	640
非開業	46	33
成交金額(百萬港元)		
最高	132,993.30 (26/11)	170,304.82 (02/11)
最低	61,697.54 (10/12)	46,958.83 (28/12)
成交股數(百萬股)		
最高	243,230.74 (11/10)	375,151.25 (02/11)
最低	131,863.18 (27/12)	134,630.80 (19/12)
總成交宗數(宗數)		
最高	1,662,871 (13/12)	2,228,038 (02/11)
最低	1,067,625 (03/10)	905,024 (28/12)

\* 成交金額包括非港元交易買賣的證券

# 市場概況

## GEM

概況	2019年第四季	2018年第四季
上市公司數目	378	389
上市證券數目	379	390
股份	378	389
認股權證	1	1
交易日數	62	62
總成交金額(百萬港元)*	10,677.20	19,482.20
總成交股數(百萬股)	43,141.05	73,429.54
總成交宗數(宗數)	567,378	864,340
上市公司市價總值(百萬港元)	106,699.32	186,183.32
平均市盈率(倍)	22.04	28.16
平均週息率(百分率)	1.79	0.45
成交金額(百萬港元)		
最高	401.13 (22/11)	673.60 (29/11)
最低	79.71 (06/11)	209.48 (10/10)
成交股數(百萬股)		
最高	1,241.80 (10/10)	6,876.97 (04/12)
最低	367.78 (04/10)	557.56 (10/10)
總成交宗數(宗數)		
最高	19,778 (22/10)	26,295 (29/11)
最低	4,895 (02/10)	8,272 (10/10)

\* 成交金額包括非港元交易買賣的證券

# 市場概況

## 衍生產品市場

概況	2019年第4季	2018年第4季
<b>所有期貨及期權產品(股票期權除外)</b>		
交易日數	62	62
總合約成交量	34,645,935	46,788,119
股市指數產品	33,919,364	45,866,534
股票產品	167,566	393,577
利率產品	9	74
人民幣貨幣產品	374,879	422,353
印度盧比貨幣產品*	11,136	-
黃金期貨	154,282	100,978
鐵礦石期貨	5,746	4,408
倫敦金屬期貨小型合約	12,953	195
平均每日合約成交量	558,805	754,647
季末未平倉合約	2,996,013	3,381,251
股市指數產品	2,939,047	3,321,233
股票產品	27,277	20,476
利率產品	60	272
人民幣貨幣產品	26,279	37,909
印度盧比貨幣產品*	175	-
黃金期貨	1,137	236
鐵礦石期貨	1,685	1,124
倫敦金屬期貨小型合約	353	1

\* 印度盧比貨幣產品由2019年11月4日開始買賣

<b>股票期權</b>		
新開辦期權類別	1	0
股票期權數目(於季末數目)		
類別	96	96
系列	34,652	36,474
期權金總額(百萬港元)	17,177.74	26,126.32
認購	9,529.08	9,305.30
認沽	7,648.66	16,821.02
平均每日期權金總額(百萬港元)	277.06	421.39
總合約成交量	23,442,562	29,028,271
認購	12,225,114	14,146,517
認沽	11,217,448	14,881,754
平均每日合約成交量	378,106	468,198
季末未平倉合約	6,702,538	7,213,486
認購	3,247,627	3,539,977
認沽	3,454,911	3,673,509
總成交宗數	1,104,599	1,283,647
平均每日成交宗數	17,816	20,704
已行使合約總數	2,442,798	2,823,552
每張合約平均期權金(港元)	732.76	900.03
每宗交易平均合約數目	21	23
認沽數量/認購數量	0.92	1.05
平均每日合約成交量/未平倉合約	5.6%	6.5%
<b>所有期貨及期權產品</b>		
總合約成交量	58,088,497	75,816,390
季末未平倉合約	9,698,551	10,594,737

# 市場概況

## 結算及交收

中央結算系統營運資料	2019年第4季	2018年第4季
經中央結算系統處理之聯交所交易(每日平均數)		
– 交易宗數	1,270,877	1,444,003
– 交易金額(十億港元)	76.8	85.4
– 涉及股數(十億股)	185.0	245.0
經中央結算系統處理的交收指示(每日平均數)		
– 交收指示數目	90,585	98,625
– 交收指示涉及金額(十億港元)	229.7	225.6
– 涉及股數(十億股)	39.5	47.2
經中央結算系統處理的投資者交收指示(每日平均數)		
– 交收指示數目	212	214
– 交收指示涉及金額(百萬港元)	138.7	187.9
– 涉及股數(百萬股)	97.8	54.0
以「持續淨額交收」的股份數額於到期交收日(T+2)的交收效率(每日平均數)	99.93	99.92
以「持續淨額交收」的股份數額於到期交收翌日(T+3)的交收效率(每日平均數)	99.99	99.99
在T+3日進行補購(每日平均數)		
– 涉及經紀數目	2	4
– 補購宗數	3	5
– 補購涉及金額(百萬港元)	1.3	2.6
存放於中央結算系統證券存管處的股份*		
– 股數(十億股)	6,500.6	6,644.4
– 獲納入系統的證券佔已發行股本總數的百分比	75.41	76.15
– 股份價值(十億港元)	22,828.2	18,815.1
– 獲納入系統的證券佔市價總值的百分比	56.79	58.78

衍生產品結算及交收系統營運資料	2019年第4季	2018年第4季
季末未平倉合約		
– 股市指數期貨	604,384	708,430
– 股票期貨	27,277	20,476
– 利率期貨	60	272
– 人民幣貨幣期貨	24,636	32,284
– 印度盧比貨幣期貨#	175	–
– 黃金期貨	1,137	236
– 鐵礦石期貨	1,685	1,124
– 倫敦金屬期貨小型合約	353	1
– 股市指數期權	2,334,663	2,612,803
– 股票期權	6,702,538	7,213,486
– 人民幣貨幣期權	1,643	5,625
累積行使股票期權		
– 涉及股數(百萬股)	2,751	2,453
– 涉及金額(十億港元)	55	64

\* 股數及市價總值包括非港元證券

# 印度盧比貨幣產品由2019年11月4日開始買賣

# 主板 股份

## 股份成交

		股數 (百萬股)	金額 (百萬港元)	成交宗數
2018	第4季	586,848.27	3,646,713.37	64,855,996
2019	第1季	750,792.38	4,110,410.18	73,076,097
	第2季	650,222.76	4,073,009.59	70,896,400
	第3季	653,090.18	3,699,380.57	69,429,153
	第4季	563,410.34	3,665,234.76	63,389,379

## 股份交易統計

		交易日數	每日平均成交 金額(百萬港元)	平均每宗 交易成交金額
2018	第4季	62	58,817.96	56,228
2019	第1季	60	68,506.84	56,248
	第2季	59	69,034.06	57,450
	第3季	65	56,913.55	53,283
	第4季	62	59,116.69	57,821

# 主板 股份

## 20種升幅最大之股份

2019年第4季

順次	股份代號	股份	收市價		升幅
			2019年12月底	2019年9月底	
1	00720	意達利控股	0.236	0.058	306.90
2	00784	凌銳控股	2.180	0.600	263.33
3	00195	綠科科技國際	0.165	0.053	211.32
4	01679	瑞斯康集團	1.190	0.480	147.92
5	00775	長江生命科技	0.870	0.355	145.07
6	01735	中環控股	9.330	3.920	138.01
7	01195	京維集團	0.170	0.075	126.67
8	03708	日成控股	0.270	0.125	116.00
9	01701	途屹控股	0.710	0.350	102.86
10	02680	創陞控股	3.680	1.820	102.20
11	00687	泰昇集團	1.000	0.501 A	99.60
12	01105	星島	1.590	0.800	98.75
13	00707	亞洲電視控股	0.109	0.055	98.18
14	01989	松齡護老集團	1.170	0.610	91.80
15	01725	恒達科技控股	2.180	1.140	91.23
16	00515	達進東方照明	0.089	0.048	85.42
17	03978	卓越教育集團	3.850	2.100	83.33
18	01755	新城悅服務	12.180	6.740	80.71
19	01108	洛陽玻璃股份	3.050	1.690	80.47
20	06185	康希諾生物-B	58.950	33.400	76.50

A 經調整

## 20種跌幅最大之股份

2019年第4季

順次	股份代號	股份	收市價		跌幅
			2019年12月底	2019年9月底	
1	03313	雅高控股	0.260	2.620	-90.08
2	01269	首控集團	0.270	2.290	-88.21
3	00361	順龍控股	0.021	0.150	-86.00
4	06189	愛得威建設集團	3.060	15.740	-80.56
5	01731	其利工業集團	0.365	1.680	-78.27
6	01781	新昌創展控股	0.232	0.900	-74.22
7	01960	TBKS HLDGS	0.218	0.800	-72.75
8	00627	福晟國際	0.148	0.450	-67.11
9	01143	環亞國際實業	0.031	0.094	-67.02
10	01011	泰凌醫藥	0.165	0.500	-67.00
11	00651	中海重工	0.141	0.400 A	-64.75
12	01115	西藏水資源	0.530	1.410	-62.41
13	01194	灣區黃金	0.049	0.127	-61.42
14	01280	匯銀智慧社區	0.143	0.355	-59.72
15	00313	裕田中國	0.012	0.029	-58.62
16	01466	錢唐控股	0.073	0.176	-58.52
17	00231	平安證券集團控股	0.032	0.074	-56.76
18	00353	能源國際投資	0.087	0.200	-56.50
19	01520	華信金融投資	0.040	0.091	-56.04
20	00996	嘉年華國際	0.015	0.034	-55.88

A 經調整

# 主板 股份

## 20種成交最活躍股份(金額)

2019年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	00700	騰訊控股	350,164.03	9.55
2	03690	美團點評-W	129,840.76	3.54
3	00939	建設銀行	124,827.57	3.41
4	02318	中國平安	118,845.52	3.24
5	01299	友邦保險	111,370.19	3.04
6	09988	阿里巴巴-SW	89,901.65	2.45
7	01810	小米集團-W	67,328.47	1.84
8	00005	匯豐控股	64,811.46	1.77
9	00388	香港交易所	62,532.50	1.71
10	01398	工商銀行	62,050.88	1.69
11	00941	中國移動	58,839.71	1.61
12	02382	舜宇光學科技	44,956.41	1.23
13	01093	石藥集團	42,951.05	1.17
14	03988	中國銀行	39,414.91	1.08
15	02628	中國人壽	38,578.49	1.05
16	03968	招商銀行	36,811.59	1.00
17	02018	瑞聲科技	36,340.35	0.99
18	00788	中國鐵塔	35,960.04	0.98
19	00016	新鴻基地產	34,615.93	0.94
20	01918	融創中國	34,042.57	0.93
總額			1,584,184.08	43.22

## 20種成交最活躍股份(股數)

2019年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	00788	中國鐵塔	21,294.77	3.78
2	00939	建設銀行	19,743.15	3.50
3	03313	雅高控股	15,816.57	2.81
4	03988	中國銀行	12,292.58	2.18
5	01398	工商銀行	10,992.95	1.95
6	01269	首控集團	9,918.24	1.76
7	00136	恒騰網絡	8,867.40	1.57
8	01810	小米集團-W	7,136.11	1.27
9	03800	保利協鑫能源	5,687.82	1.01
10	00996	嘉年華國際	5,283.24	0.94
11	00386	中國石油化工股份	5,025.87	0.89
12	01288	農業銀行	4,990.80	0.89
13	00857	中國石油股份	4,782.41	0.85
14	01007	龍輝國際控股	4,498.63	0.80
15	00607	豐盛控股	4,323.93	0.77
16	00775	長江生命科技	3,400.45	0.60
17	00381	僑雄國際	3,336.85	0.59
18	00728	中國電信	3,313.68	0.59
19	00627	福晟國際	2,985.25	0.53
20	00412	中國山東高速金融	2,976.07	0.53
總數			156,666.76	27.81



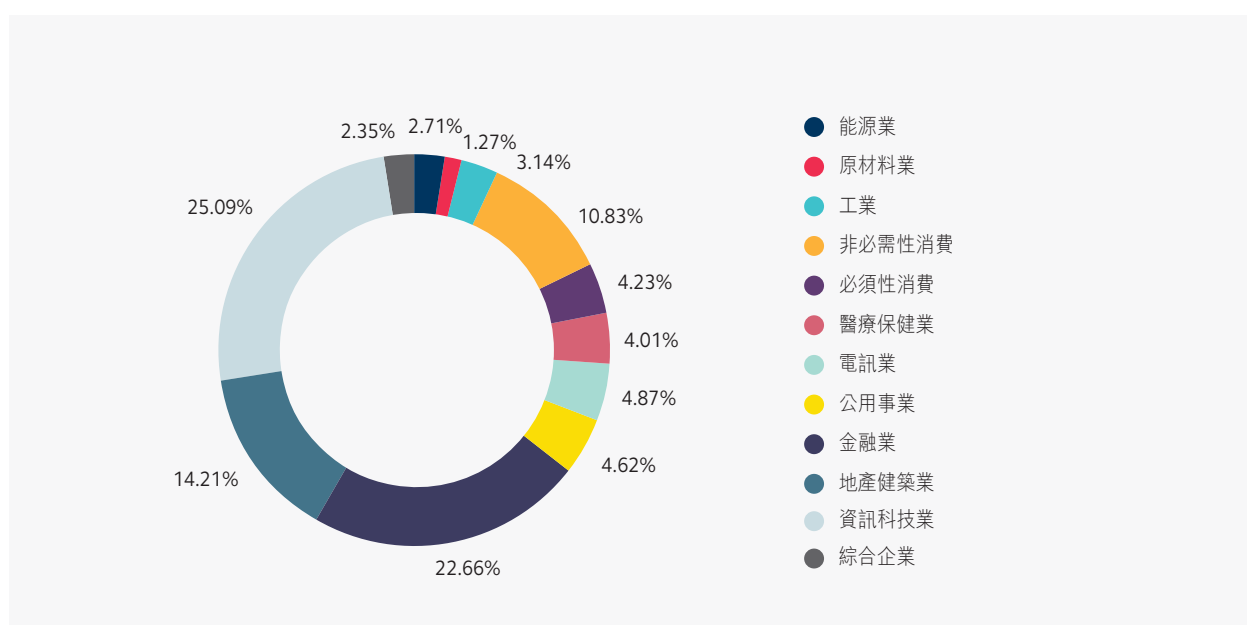
# 主板 股份

## 上市公司市價總值－主板

		百萬港元
2018	第4季	29,723,238.27
2019	第1季	33,647,822.31
	第2季	32,591,655.65
	第3季	30,521,427.39
	第4季	38,058,338.10

## 恒生行業分類系統之證券市價總值\*－主板

季末數字



\* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

# 主板 股份

## 50間市價總值最大之上市公司

截至2019年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	09988	阿里巴巴集團控股有限公司－SW	4,446,881.70	11.68
2	00700	騰訊控股有限公司	3,587,872.92	9.43
3	00939	中國建設銀行股份有限公司－H股	1,618,008.56	4.25
4	00941	中國移動有限公司	1,341,144.13	3.52
5	00005	匯豐控股有限公司	1,255,813.46	3.30
6	01299	友邦保險控股有限公司	988,863.94	2.60
7	02318	中國平安保險(集團)股份有限公司－H股	685,921.83	1.80
8	03690	美團點評－W	591,768.25	1.55
9	00883	中國海洋石油有限公司	578,631.03	1.52
10	01398	中國工商銀行股份有限公司－H股	520,764.27	1.37
11	02378	英國保誠有限公司*	–	384,191.32 不適用
12	01876	百威亞太控股有限公司	348,301.34	0.92
13	00016	新鴻基地產發展有限公司	345,705.19	0.91
14	01928	金沙中國有限公司	336,857.73	0.89
15	00688	中國海外發展有限公司	332,520.72	0.87
16	00388	香港交易及結算所有限公司	319,083.93	0.84
17	00011	恒生銀行有限公司	307,806.68	0.81
18	00945	Manulife Financial Corporation *	–	303,254.84 不適用
19	00267	中國中信股份有限公司	303,120.54	0.80
20	00001	長江和記實業有限公司	286,518.67	0.75
21	02388	中銀香港(控股)有限公司	285,993.71	0.75
22	03333	中國恒大集團	284,657.13	0.75
23	00066	香港鐵路有限公司	283,568.60	0.75
24	03988	中國銀行股份有限公司－H股	278,462.18	0.73
25	01109	華潤置地有限公司	276,680.46	0.73
26	02007	碧桂園控股有限公司	272,621.38	0.72
27	01810	小米集團－W	258,962.59	0.68
28	00003	香港中華煤氣有限公司	257,599.30	0.68
29	00027	銀河娛樂集團有限公司	248,733.37	0.65
30	02888	渣打集團有限公司	231,725.36	0.61
31	00762	中國聯合網絡通信(香港)股份有限公司	224,590.23	0.59
32	00960	龍湖集團控股有限公司	218,178.77	0.57
33	01113	長江實業集團有限公司	207,753.78	0.55
34	00002	中電控股有限公司	206,916.30	0.54
35	01918	融創中國控股有限公司	206,828.89	0.54
36	03328	交通銀行股份有限公司－H股	193,965.72	0.51
37	02020	安踏體育用品有限公司	188,456.62	0.50
38	00012	恒基兆業地產有限公司	185,183.05	0.49
39	03968	招商銀行股份有限公司－H股	183,865.59	0.48
40	02313	申洲國際集團控股有限公司	171,217.03	0.45
41	06862	海底撈國際控股有限公司	165,890.00	0.44
42	02628	中國人壽保險股份有限公司－H股	161,101.44	0.42
43	00384	中國燃氣控股有限公司	152,382.04	0.40
44	01972	太古地產有限公司	151,222.50	0.40
45	03692	翰森製藥集團有限公司	149,925.03	0.39
46	02382	舜宇光學科技(集團)有限公司	147,965.02	0.39
47	01038	長江基建集團有限公司	146,979.99	0.39
48	01997	九龍倉置業地產投資有限公司	144,372.61	0.38
49	00291	華潤啤酒(控股)有限公司	139,824.02	0.37
50	00175	吉利汽車控股有限公司	139,705.04	0.37
<b>總值</b>			<b>24,360,912.63</b>	<b>64.01</b>
<b>市場總值</b>			<b>38,058,338.10</b>	<b>100.00</b>

註：

\* 不包括市場總值內

# 主板 股份

## 賣空交易(金額)

		賣空交易 (百萬港元)	市場成交金額 (百萬港元)*	佔市場成交 百分比
2018	第4季	777,054.26	5,311,774.48	14.63
2019	第1季	831,592.80	6,063,241.38	13.72
	第2季	809,812.75	5,590,062.03	14.49
	第3季	775,830.36	5,005,599.30	15.50
	第4季	682,349.40	4,781,146.46	14.27

\* 包括主板及GEM市場總額

## 賣空交易(股數)

		賣空交易 (百萬股)	市場成交股數 (百萬股)*	佔市場成交 百分比
2018	第4季	64,067.68	15,188,313.38	0.42
2019	第1季	70,290.01	20,448,501.82	0.34
	第2季	73,356.84	14,806,813.31	0.50
	第3季	66,945.13	13,469,789.17	0.50
	第4季	56,995.38	11,472,367.54	0.50

\* 包括主板及GEM市場總股數

## 新上市統計—公司

		新上市 公司數目	GEM公司 公司轉板數目*	集資金額 (百萬港元)#
2018	第4季	42	2	44,400.75
2019	第1季	32	6	20,840.88
	第2季	38	2	50,600.82
	第3季	20	2	61,865.95
	第4季	58	10	179,715.79

\* 祇包括引用《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章由GEM轉至主板的上市公司。上述規則於2008年7月1日生效。

# 集資金額為新上市公司首次公開招股的集資總額

# 主板 股份

## 新上市公司

2019年第4季

股份代號	公司	上市日期	已發行股數	集資金額(百萬港元)
06820	FriendTimes Inc.	2019/10/08	2,194,168,000	501.60
03601	360魯大師控股有限公司	2019/10/10	269,000,000	186.30
06110	滔搏國際控股有限公司	2019/10/10	6,201,222,024	9,007.54
01895	鑫苑物業服務集團有限公司	2019/10/11	500,000,000	260.00
01846	德視佳國際眼科有限公司	2019/10/15	329,234,000	684.26
01582	華營建築集團控股有限公司	2019/10/16	500,000,000	138.85
01955	香港莊臣控股有限公司	2019/10/16	500,000,000	125.00
01084	綠新親水膠體海洋科技有限公司	2019/10/17	800,000,000	232.00
01283	高陞集團控股有限公司	2019/10/18	800,000,000	146.00
01959	世紀聯合控股有限公司	2019/10/18	500,000,000	135.00
01967	信懇智能控股有限公司	2019/10/18	250,000,000	125.00
02606	四川藍光嘉寶服務集團股份有限公司－H股	2019/10/18	49,353,700	1,826.09
01843	快餐帝國控股有限公司	2019/10/23	800,000,000	130.00
01871	向中國國際控股有限公司	2019/10/24	400,000,000	128.00
01853	吉林省春城熱力股份有限公司－H股	2019/10/24	116,700,000	274.25
06069	盛業資本有限公司*	2019/10/24	880,012,000	-
01692	登輝控股有限公司	2019/10/25	400,000,000	130.00
06855	亞盛醫藥集團－B	2019/10/28	208,901,727	479.07
00302	中手游科技集團有限公司	2019/10/31	2,330,150,000	1,500.32
01847	雲南建投綠色高性能混凝土股份有限公司－H股	2019/10/31	133,882,000	449.84
01821	ESR Cayman Ltd.	2019/11/01	3,036,584,643	14,064.73
02163	長沙遠大住宅工業集團股份有限公司－H股	2019/11/06	122,035,400	1,181.30
01922	銀城生活服務有限公司	2019/11/06	267,152,000	146.39
01870	益美國際控股有限公司	2019/11/08	520,000,000	126.10
01987	Beng Soon Machinery Holdings Ltd.	2019/11/08	1,000,000,000	125.00
01921	達力普控股有限公司	2019/11/08	1,500,000,000	477.00
01501	上海康德萊醫療器械股份有限公司－H股	2019/11/08	46,000,000	956.80
01875	東曜藥業股份有限公司－B	2019/11/08	570,000,000	589.50
03603	信基沙溪集團股份有限公司	2019/11/08	1,500,000,000	375.00
01427	中國天保集團發展有限公司	2019/11/11	809,456,000	523.64
01640	瑞誠(中國)傳媒集團有限公司	2019/11/12	400,000,000	125.00
03681	中國抗體製藥有限公司－B	2019/11/12	1,006,240,400	1,384.18
06186	中國飛鶴有限公司	2019/11/13	8,933,340,000	6,702.37
06908	宏光照明控股有限公司*	2019/11/13	400,000,000	-
01346	利華控股集團	2019/11/13	640,000,000	146.88
01401	Sprocomm Intelligence Ltd.	2019/11/13	1,000,000,000	125.00
01747	Home Control International Ltd.	2019/11/14	500,000,000	127.50
03348	中國鵬飛集團有限公司	2019/11/15	500,000,000	197.50
02103	新力控股(集團)有限公司	2019/11/15	3,570,187,000	2,269.34
01792	CMON Ltd. *	2019/11/19	1,806,000,000	-
02296	華記環球集團控股有限公司	2019/11/21	2,000,000,000	125.00
01756	華立大學集團有限公司	2019/11/25	1,200,000,000	978.00
09988	阿里巴巴集團控股有限公司－SW	2019/11/26	21,461,784,264	101,200.00
01425	捷隆控股有限公司	2019/11/28	1,250,000,000	125.00

# 主板 股份

股份代號	公司	上市日期	已發行股數	集資金額(百萬港元)
03759	康龍化成(北京)新藥技術股份有限公司－H股	2019/11/28	134,016,500	5,293.65
02231	景業名邦集團控股有限公司	2019/12/05	1,646,173,000	1,409.91
02500	杭州啓明醫療器械股份有限公司－B－H股	2019/12/10	182,714,864	2,980.49
09966	康寧傑瑞生物製藥－B	2019/12/12	923,921,575	2,104.39
03860	尚捷集團控股有限公司*	2019/12/12	500,000,000	–
02400	心動公司	2019/12/12	428,018,500	751.03
01593	辰林教育集團控股有限公司	2019/12/13	1,000,000,000	550.00
06919	人瑞人才科技控股有限公司	2019/12/13	153,669,579	1,094.06
03680	索信達控股有限公司	2019/12/13	400,000,000	150.00
06966	中國萬桐園(控股)有限公司*	2019/12/17	1,000,000,000	–
01402	超智能控股有限公司*	2019/12/18	1,000,000,000	–
01691	JS環球生活有限公司	2019/12/18	3,407,172,677	2,988.98
01553	邁科管業控股有限公司	2019/12/18	433,800,000	224.35
06193	泰林科建控股有限公司	2019/12/18	400,000,000	102.70
01529	健升物流(中國)控股有限公司*	2019/12/19	800,000,000	–
06049	保利物業發展股份有限公司－H股	2019/12/19	153,333,400	5,382.00
09928	時代鄰里控股有限公司	2019/12/19	908,672,747	833.37
06928	TOMO Holdings Ltd. *	2019/12/23	450,000,000	–
01410	安領國際控股有限公司*	2019/12/24	1,001,446,000	–
06199	貴州銀行股份有限公司－H股	2019/12/30	2,200,000,000	5,456.00
09900	德益控股有限公司*	2019/12/30	372,000,000	–
09909	寶龍商業管理控股有限公司	2019/12/30	600,000,000	1,425.00
09911	赤子城科技有限公司	2019/12/31	1,000,000,000	228.48
01542	台州市水務集團股份有限公司－H股	2019/12/31	50,000,000	211.00
<b>總額</b>				<b>179,715.79</b>

\* 原為GEM上市公司，後引用於2008年7月1日生效的《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

# 主板 股份

## 除牌上市公司

2019年第4季

股份代號	公司	最後買賣日期	除牌日期
02229	長港敦信實業有限公司	2016/01/19	2019/10/16
00569	中國自動化集團有限公司	2019/10/10	2019/10/29
00121	卜蜂蓮花有限公司	2019/10/08	2019/10/29
00903	冠捷科技有限公司	2019/11/04	2019/11/15
01236	國農控股有限公司	2017/03/27	2019/11/22
01819	富貴鳥股份有限公司－H股	2016/08/31	2019/11/25
00692	神舟航天樂園集團有限公司	2017/06/21	2019/12/10
06863	中國輝山乳業控股有限公司	2017/03/24	2019/12/23
01048	煜新控股有限公司	2017/07/31	2019/12/27
00404	新昌集團控股有限公司	2017/03/31	2019/12/31

# 主板 股份

## 公司名稱更改

2019年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
00660	偉俊礦業集團有限公司	瑋俊生物科技有限公司	2019/09/03	2019/10/04
01259	未來發展控股有限公司*	未來發展控股有限公司	2019/09/11	2019/10/15
00475	中發展控股有限公司*	中發展控股有限公司	2019/09/05	2019/10/16
02225	Kakiko Group Ltd.	今海國際集團控股有限公司	2019/09/19	2019/10/21
01194	麥盛資本集團有限公司	灣區黃金集團有限公司	2019/10/07	2019/10/29
00076	南海石油控股有限公司	誼礫控股有限公司	2019/10/23	2019/11/01
01611	桐成控股有限公司	火幣科技控股有限公司	2019/10/11	2019/11/18
01735	泓盈控股有限公司	中環控股集團有限公司	2019/10/25	2019/11/19
01143	華夏健康產業集團有限公司	環亞國際實業有限公司	2019/10/30	2019/11/20
00357	瑞港國際機場集團股份有限公司 — H股	海南美蘭國際空港股份有限公司— H股	2019/10/17	2019/11/20
01030	新城發展控股有限公司*	新城發展控股有限公司	2019/10/31	2019/11/22
00106	朗詩綠色集團有限公司	朗詩綠色地產有限公司	2019/10/08	2019/11/26
00723	永保林業控股有限公司	信保環球控股有限公司	2019/11/14	2019/12/10
00286	同佳國際健康產業集團有限公司	愛帝宮母嬰健康股份有限公司	2019/11/05	2019/12/12
06877	昆侖國際金融集團有限公司	CLSA Premium Ltd.	2019/10/31	2019/12/13
01468	英裘(控股)有限公司	京基金融國際(控股)有限公司	2019/11/06	2019/12/13
00959	奧瑪仕國際控股有限公司	世紀娛樂國際控股有限公司	2019/10/14	2019/12/16
00726	中民築友智造科技集團有限公司	築友智造科技集團有限公司	2019/11/07	2019/12/17
01036	萬科置業(海外)有限公司	萬科海外投資控股有限公司	2019/11/01	2019/12/17
01561	萬輝化工控股有限公司	聯洋智能控股有限公司	2019/11/19	2019/12/20
00264	中壘國際控股有限公司	中聯發展控股集團有限公司	2019/11/26	2019/12/30

\* 祇改英文名稱

# 主板 股份

## 紅股／紅利認股權證

2019年第4季

股份代號	公司	詳情	除淨日
00211	大凌集團有限公司	5股送1份認股權證	2019/11/04

## 股份拆細及合併

2019年第4季

股份代號	公司	詳情	生效日期
02399	中國虎都控股有限公司	1股分拆為4股	2019/10/17
01660	兆邦基地產控股有限公司	1股分拆為5股	2019/10/17
01237	中科生物控股有限公司	5股合併為1股	2019/10/22
00651	中海重工集團有限公司	40股合併為1股	2019/10/29
00471	中國移動多媒體廣播控股有限公司	20股合併為1股	2019/11/12
00048	中國汽車內飾集團有限公司	5股合併為1股	2019/12/27



# 主板 股份

## 供股／公開售股

2019年第4季

月份	股份代號	公司	比率	集資金額 (百萬港元)
10月	00428	中國天弓控股有限公司	股權1供2，每股供0.28港元	67.15
	01366	江南集團有限公司	股權2供1，每股供0.28港元	571.04
12月	01869	利寶閣集團有限公司	股權4供1，每股供0.238港元	47.60
總額				685.79

# 主板 股份

## 股份配售\*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2019					
10月	02623	愛德新能源	447,220,000	0.090	40.25
	03908	中金公司	176,000,000	14.400	2,534.40
	00471	中播控股	630,266,440	0.035	22.06
	01962	訓修實業	33,180,000	1.550	51.43
	01801	信達生物-B	97,000,000	24.600	2,386.20
	01238	寶龍地產	146,600,000	5.400	791.64
	01679	瑞斯康集團	147,500,000	0.400	59.00
	01239	TEAMWAY INTL GP	226,400,000	0.064	14.49
11月	00633	中國全通	30,000,000	0.280	8.40
	01109	華潤置地	200,000,000	33.650	6,730.00
	00245	中國民生金融	5,785,740,000	0.085	491.79
	01962	訓修實業	12,902,000	1.550	20.00
	00729	五龍電動車	280,000,000	0.220	61.60
	01468	京基金融國際	234,140,000	0.205	48.00
	01323	NEWTREE GROUP	152,800,000	0.460	70.29
	02066	盛京銀行	800,000,000	6.818	5,454.55
	00750	興業太陽能	1,687,008,585	0.920	1,552.05
	02269	藥明生物	46,500,000	85.000	3,952.50
	02728	裕華能源	78,000,000	0.122	9.52
12月	00286	愛帝宮	100,000,000	0.500	50.00
	00922	安賢園中國	148,000,000	0.150	22.20
	01993	雅仕維	35,675,676	4.100	146.27
	00274	中富資源	2,100,000,000	0.018	37.80
	00810	中國互聯網投資	156,900,000	0.105	16.47
	02369	酷派集團	800,000,000	0.215	172.00
	01282	寶新金融	2,400,000,000	0.250	600.00
	03836	和諧汽車	52,000,000	3.000	156.00
	02322	香港潮商集團	440,000,000	0.500	220.00
	03990	美的置業	40,000,000	19.100	764.00
	01478	丘鈦科技	15,000,000	13.680	205.20
	02777	富力地產	273,000,000	13.680	3,734.64
	00697	SHOUGANG INT'L	1,500,000,000	0.300	450.00
	01142	西伯利亞礦業	241,695,104	0.200	48.34
<b>總額</b>					<b>30,921.07</b>

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

# 主板 認股權證

## 認股權證交易統計

		股份認股權證 (百萬港元)	衍生權證 (百萬港元)	界內證* (百萬港元)	總額 (百萬港元)
2018	第4季	6.44	863,336.45	-	863,342.90
2019	第1季	0.92	1,009,753.31	-	1,009,754.23
	第2季	2.21	614,814.59	-	614,816.80
	第3季	0.82	434,520.06	80.41	434,601.30
	第4季	4.85	359,048.09	79.35	359,132.29

\* 界內證於2019年7月18日開始進行交易

## 認股權證市價總值

		股份認股權證		衍生權證		界內證*		總額	
		數目	(百萬港元)	數目	(百萬港元)	數目	(百萬港元)	總數	(百萬港元)
2018	第4季	2	71.12	6,763	70,515.61	-	-	6,765	70,586.73
2019	第1季	2	43.87	6,724	110,213.51	-	-	6,726	110,257.38
	第2季	2	46.47	5,981	72,589.26	-	-	5,983	72,635.73
	第3季	1	17.92	5,295	49,285.74	412	3,016.30	5,708	52,319.97
	第4季	2	55.92	4,571	61,435.12	922	6,216.69	5,495	67,707.73

\* 界內證於2019年7月18日開始進行交易

## 新上市統計－認股權證

		新上市股份認股 權證數目	新上市衍生 權證數目	界內證* 權證數目	集資金額 (百萬港元)
2018	第4季	1	2,661	-	52,904.05
2019	第1季	0	2,965	-	53,532.77
	第2季	0	2,083	-	39,993.21
	第3季	0	1,951	412	39,516.13
	第4季	2	1,940	511	39,549.67

\* 界內證於2019年7月18日開始進行交易

## 新上市股份認股權證

2019年第4季

股份 代號	股份認股權證	上市時之發行 金額(港元)	上市日期	到期日	集資金額 (百萬港元)
01807	標準資源控股有限公司2020年認股權證	5,750,215	2019/10/17	2020/10/15	-
01435	大凌集團有限公司2020年認股權證	11,760,964	2019/11/19	2020/11/17	-
總額					-

# 主板 認股權證

## 新上市衍生權證

2019年第4季

股份 代號	衍生權證	上市時之		集資金額 到期日(百萬港元)	
		發行單位	上市日期		
11317	BOCI Asia Ltd. - CAM CSI300 European Warrants Jan 2020 A	70,000,000	2019/10/02	2020/01/22	10.50
11319	BOCI Asia Ltd. - Galaxy Ent European Warrants Jan 2020 A	70,000,000	2019/10/02	2020/01/02	10.50
11321	BOCI Asia Ltd. - Galaxy Ent European Put Warrants Feb 2020 A	40,000,000	2019/10/02	2020/02/27	10.40
11329	BOCI Asia Ltd. - Meituan European Warrants Apr 2020 D	100,000,000	2019/10/02	2020/04/22	15.00
11318	BOCI Asia Ltd. - Sunny Optical European Put Warrants Feb20 B	70,000,000	2019/10/02	2020/02/19	10.50
11327	BOCI Asia Ltd. - WH Group European Warrants May 2020 A	50,000,000	2019/10/02	2020/05/22	10.00
11323	BOCI Asia Ltd. - Xiaomi European Warrants Mar 2020 B	70,000,000	2019/10/02	2020/03/31	10.50
11341	BNP Paribas Issuance B.V. - AAC Tech Euro Wts Apr 2020 A	40,000,000	2019/10/02	2020/04/02	17.20
11345	BNP Paribas Issuance B.V. - China Tower European Wts Jul20 A	50,000,000	2019/10/02	2020/07/03	12.50
11346	BNP Paribas Issuance B.V. - SMIC European Wts Apr 2020 A	50,000,000	2019/10/02	2020/04/02	12.50
11344	BNP Paribas Issuance B.V. - Xiaomi European Warrants Jul20 A	70,000,000	2019/10/02	2020/07/03	10.50
11352	Credit Suisse AG - Bud Apac European Warrants Apr 2020 A	100,000,000	2019/10/02	2020/04/01	25.00
11351	Credit Suisse AG - Kingsoft European Warrants Apr 2020 B	40,000,000	2019/10/02	2020/04/29	10.00
11357	Bank of East Asia - Bud Apac European Warrants Apr 2020 A	25,000,000	2019/10/02	2020/04/03	13.00
11358	Bank of East Asia - Bud Apac European Warrants May 2020 A	25,000,000	2019/10/02	2020/05/15	10.00
11359	Bank of East Asia - Bud Apac European Warrants Jul 2020 A	28,000,000	2019/10/02	2020/07/30	10.64
11360	Bank of East Asia - Meituan European Warrants Nov 2020 A	40,000,000	2019/10/02	2020/11/30	10.40
11381	Goldman Sachs SP (Asia) - Bud Apac European Warrants Apr20 A	50,000,000	2019/10/02	2020/04/02	12.50
11380	Goldman Sachs SP (Asia) - CM Bank European Wts Jan 2020 A	70,000,000	2019/10/02	2020/01/02	10.50
11375	Goldman Sachs SP (Asia) - Link REIT European Wts Jan 2020 A	70,000,000	2019/10/02	2020/01/24	10.50
11356	Haitong Int'l Sec - CSPC Pharma European Put Wts Jun 2020 A	70,000,000	2019/10/02	2020/06/26	11.20
11353	J P Morgan SP BV - CPIC European Warrants Apr 2020 A	60,000,000	2019/10/02	2020/04/02	15.06
11355	J P Morgan SP BV - Swire Pacific A European Warrants Feb20 C	70,000,000	2019/10/02	2020/02/14	11.13
11310	Macquarie Bank Ltd. - CNOOC European Warrants Dec 2020 A	40,000,000	2019/10/02	2020/12/02	10.04
11348	Macquarie Bank Ltd. - CSPC Pharma European Wts Dec 2020 A	40,000,000	2019/10/02	2020/12/02	10.00
11307	Macquarie Bank Ltd. - Geely Auto European Wts Apr 2020 B	36,000,000	2019/10/02	2020/04/02	10.04
11312	Macquarie Bank Ltd. - PetroChina European Wts Jul 2020 A	40,000,000	2019/10/02	2020/07/03	10.00
11301	Macquarie Bank Ltd. - Ping An European Warrants Apr 2020 C	28,000,000	2019/10/02	2020/04/02	10.16
11311	Macquarie Bank Ltd. - SMIC European Warrants Apr 2020 A	36,500,000	2019/10/02	2020/04/02	10.04
11314	Macquarie Bank Ltd. - Stanchart European Warrants Jul 2020 A	23,000,000	2019/10/02	2020/07/03	10.05
11316	Macquarie Bank Ltd. - Xiaomi European Warrants Apr 2020 C	12,900,000	2019/10/02	2020/04/02	10.05
11367	SG Issuer - China Life European Put Warrants Feb 2020 B	70,000,000	2019/10/02	2020/02/27	10.50
11373	SG Issuer - HKEX European Put Warrants Jan 2020 B	15,000,000	2019/10/02	2020/01/24	10.05
11334	UBS AG - Galaxy Ent European Warrants Jan 2020 C	60,000,000	2019/10/02	2020/01/08	10.80
11340	UBS AG - HKEX European Warrants Feb 2020 D	150,000,000	2019/10/02	2020/02/25	22.50
11387	Bank Vontobel - CSPC Pharma European Warrants May 2020 A	40,000,000	2019/10/02	2020/05/04	10.00
11383	Bank Vontobel - HKEX European Warrants Apr 2020 C	80,000,000	2019/10/02	2020/04/03	20.00
11384	Bank Vontobel - HKEX European Put Warrants Apr 2020 D	80,000,000	2019/10/02	2020/04/06	20.00
11435	BOCI Asia Ltd. - China Life European Put Warrants Feb 2020 A	70,000,000	2019/10/03	2020/02/27	10.50
11482	BOCI Asia Ltd. - China Tower European Warrants Jun 2020 A	80,000,000	2019/10/03	2020/06/30	20.00
11431	BOCI Asia Ltd. - Tencent European Warrants Jan 2020 D	200,000,000	2019/10/03	2020/01/03	30.00
11430	BOCI Asia Ltd. - Tencent European Put Wts Mar 2020 E	100,000,000	2019/10/03	2020/03/26	15.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
11438	BNP Paribas Issuance B.V. - Meituan European Warrants May20B	30,000,000	2019/10/03	2020/05/05	12.60
11412	Credit Suisse AG - CSPC Pharma European Warrants Feb 2020 A	50,000,000	2019/10/03	2020/02/25	10.00
11429	Credit Suisse AG - GF Sec European Warrants May 2020 A	40,000,000	2019/10/03	2020/05/29	10.00
11424	Credit Suisse AG - HKEX European Warrants Mar 2020 C	200,000,000	2019/10/03	2020/03/23	36.00
11388	Credit Suisse AG - HSI European Warrants Mar 2020 B	150,000,000	2019/10/03	2020/03/30	22.50
11417	Credit Suisse AG - Meituan European Warrants Apr 2020 C	40,000,000	2019/10/03	2020/04/02	10.00
11419	Credit Suisse AG - Meituan European Put Warrants Apr 2020 D	50,000,000	2019/10/03	2020/04/02	12.50
11416	Credit Suisse AG - NCI European Warrants Feb 2020 B	70,000,000	2019/10/03	2020/02/21	10.50
11411	Credit Suisse AG - WH Group European Warrants Apr 2020 A	40,000,000	2019/10/03	2020/04/29	10.00
11415	Credit Suisse AG - Wynn Macau European Warrants Mar 2020 A	60,000,000	2019/10/03	2020/03/24	10.02
11389	Credit Suisse AG - Xiaomi European Warrants Jun 2020 A	100,000,000	2019/10/03	2020/06/23	15.00
11487	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Jun 2020 A	100,000,000	2019/10/03	2020/06/26	15.00
11486	Goldman Sachs SP (Asia) - Tencent European Wts Feb 2020 G	150,000,000	2019/10/03	2020/02/26	39.90
11397	HK Bank - Galaxy Ent European Warrants Dec 2020 A	100,000,000	2019/10/03	2020/12/23	15.00
11398	HK Bank - HSI European Warrants Mar 2020 G	180,000,000	2019/10/03	2020/03/30	27.00
11399	HK Bank - HSI European Warrants Mar 2020 H	180,000,000	2019/10/03	2020/03/30	27.00
11395	HK Bank - Meituan European Warrants Apr 2020 B	80,000,000	2019/10/03	2020/04/29	12.00
11390	HK Bank - SPDR Gold Trust European Warrants Oct 2020 A	100,000,000	2019/10/03	2020/10/05	25.00
11410	J P Morgan SP BV - CGN Power European Warrants Sep 2020 A	60,000,000	2019/10/03	2020/09/03	15.60
11407	J P Morgan SP BV - SPDR Gold Trust European Wts Jun 2020 A	60,000,000	2019/10/03	2020/06/26	12.12
11436	J P Morgan SP BV - Xinyi Solar European Warrants Nov 2020 A	60,000,000	2019/10/03	2020/11/30	15.00
11393	Macquarie Bank Ltd. - CMS European Warrants Oct 2020 A	35,700,000	2019/10/03	2020/10/16	10.03
11394	Macquarie Bank Ltd. - NCI European Put Warrants Jun 2020 A	40,000,000	2019/10/03	2020/06/02	10.04
11463	SG Issuer - BYD Company European Warrants Feb 2020 C	30,000,000	2019/10/03	2020/02/26	10.50
11468	SG Issuer - China Mobile European Put Warrants Feb 2020 F	60,000,000	2019/10/03	2020/02/28	10.20
11471	SG Issuer - Galaxy Ent European Warrants Apr 2020 B	40,000,000	2019/10/03	2020/04/29	15.60
11469	SG Issuer - Galaxy Ent European Put Warrants Apr 2020 A	40,000,000	2019/10/03	2020/04/02	10.00
11474	SG Issuer - Sands China Ltd European Warrants Apr 2020 A	40,000,000	2019/10/03	2020/04/02	10.00
11476	SG Issuer - Sands China European Put Warrants Apr 2020 B	40,000,000	2019/10/03	2020/04/02	10.00
11477	SG Issuer - Swire Pacific A European Warrants Apr 2020 A	25,000,000	2019/10/03	2020/04/02	10.00
11406	UBS AG - CSPC Pharma European Put Warrants Feb 2020 A	50,000,000	2019/10/03	2020/02/24	10.00
11496	BOCI Asia Ltd. - HSI European Warrants Mar 2020 G	200,000,000	2019/10/04	2020/03/30	30.00
11498	BOCI Asia Ltd. - HSI European Warrants Mar 2020 H	200,000,000	2019/10/04	2020/03/30	30.00
11521	Credit Suisse AG - AIA European Warrants Apr 2020 B	70,000,000	2019/10/04	2020/04/03	23.80
11520	Credit Suisse AG - A-Living European Warrants Apr 2020 A	40,000,000	2019/10/04	2020/04/09	10.00
11519	Credit Suisse AG - Brilliance Chi European Wts Apr 2020 A	40,000,000	2019/10/04	2020/04/29	10.00
11518	Credit Suisse AG - CGN Power European Warrants Apr 2020 A	40,000,000	2019/10/04	2020/04/03	10.00
11488	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2020 A	150,000,000	2019/10/04	2020/06/22	22.50
11525	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2020 B	100,000,000	2019/10/04	2020/02/25	32.20
11504	HK Bank - Agricultural Bank European Warrants May 2020 A	80,000,000	2019/10/04	2020/05/04	12.00
11512	HK Bank - Bank of China European Warrants Feb 2020 A	80,000,000	2019/10/04	2020/02/21	12.00
11506	HK Bank - CC Bank European Warrants Feb 2020 C	80,000,000	2019/10/04	2020/02/28	12.00
11502	HK Bank - HKEX European Warrants May 2020 C	80,000,000	2019/10/04	2020/05/05	12.00
11513	HK Bank - ICBC European Warrants Apr 2020 A	80,000,000	2019/10/04	2020/04/03	20.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之		集資金額 到期日(百萬港元)	
		發行單位	上市日期		
11500	HK Bank - Meituan Dianping European Put Warrants Mar 2020 A	80,000,000	2019/10/04	2020/03/09	12.00
11489	HK Bank - SPDR Gold Trust European Warrants Apr 2020 A	100,000,000	2019/10/04	2020/04/06	25.00
11516	J P Morgan SP BV - China Cinda European Warrants Jun 2020 A	60,000,000	2019/10/04	2020/06/03	15.06
11515	J P Morgan SP BV - Zijin Mining European Warrants Apr 2020 A	60,000,000	2019/10/04	2020/04/22	10.68
11493	Macquarie Bank Ltd. - Tencent European Warrants Apr 2020 H	40,000,000	2019/10/04	2020/04/24	12.96
11494	Macquarie Bank Ltd. - Tencent European Warrants Apr 2020 I	40,000,000	2019/10/04	2020/04/24	10.00
11526	SG Issuer - AIA European Warrants Jul 2021 A	150,000,000	2019/10/04	2021/07/27	22.50
11533	SG Issuer - CC Bank European Put Warrants Apr 2020 C	40,000,000	2019/10/04	2020/04/29	10.00
11535	SG Issuer - CK Asset European Warrants Feb 2020 B	30,000,000	2019/10/04	2020/02/13	10.20
11534	SG Issuer - CM Bank European Put Warrants Apr 2020 A	40,000,000	2019/10/04	2020/04/29	10.00
11530	SG Issuer - Huaneng Power European Warrants Apr 2020 A	30,000,000	2019/10/04	2020/04/29	10.05
11538	SG Issuer - ICBC European Put Wt Apr 2020 A	40,000,000	2019/10/04	2020/04/29	10.00
11514	UBS AG - SMIC European Warrants Mar 2020 B	50,000,000	2019/10/04	2020/03/18	10.00
11490	Bank Vontobel - Tencent European Warrants Feb 2020 F	100,000,000	2019/10/04	2020/02/25	26.10
11491	Bank Vontobel - Tencent European Warrants Feb 2020 G	100,000,000	2019/10/04	2020/02/18	20.10
11540	BOCI Asia Ltd. - AIA European Warrants Jun 2020 A	150,000,000	2019/10/08	2020/06/22	22.50
11561	BOCI Asia Ltd. - Geely Auto European Put Warrants Feb 2020 A	100,000,000	2019/10/08	2020/02/25	15.00
11543	BOCI Asia Ltd. - Kingsoft European Warrants Mar 2020 A	70,000,000	2019/10/08	2020/03/26	11.20
11555	Credit Suisse AG - Agricultural Bank European Wts Mar 2020 A	60,000,000	2019/10/08	2020/03/23	10.02
11558	Credit Suisse AG - Avichina European Warrants Feb 2021 A	60,000,000	2019/10/08	2021/02/26	15.00
11553	Credit Suisse AG - Huaneng Power European Warrants Apr 2020A	30,000,000	2019/10/08	2020/04/07	10.20
11556	Credit Suisse AG - Ping An European Warrants Feb 2020 D	150,000,000	2019/10/08	2020/02/24	45.00
11554	Credit Suisse AG - Zijin Mining European Warrants Apr 2020 A	40,000,000	2019/10/08	2020/04/07	10.00
11567	Goldman Sachs SP (Asia) - HSBC European Warrants Jun 2020 A	80,000,000	2019/10/08	2020/06/24	12.00
11568	Goldman Sachs SP (Asia) - HSBC European Put Wts Mar 2020 C	80,000,000	2019/10/08	2020/03/06	12.00
11562	Haitong Int'l Sec - HS Bank European Warrants Mar 2020 A	70,000,000	2019/10/08	2020/03/26	10.50
11565	Haitong Int'l Sec - Link REIT European Warrants May 2020 A	70,000,000	2019/10/08	2020/05/22	10.50
11549	J P Morgan SP BV - AviChina European Warrants Dec 2020 A	70,000,000	2019/10/08	2020/12/23	10.57
11550	J P Morgan SP BV - Geely Auto European Warrants Feb 2020 B	100,000,000	2019/10/08	2020/02/28	23.50
11548	J P Morgan SP BV - Meituan European Put Warrants Jul 2020 A	60,000,000	2019/10/08	2020/07/06	15.00
11551	J P Morgan SP BV - Ping An European Warrants Feb 2020 D	200,000,000	2019/10/08	2020/02/24	67.80
11546	Macquarie Bank Ltd. - Zijin Mining European Wts Jul 2020 B	27,000,000	2019/10/08	2020/07/03	10.04
11570	SG Issuer - AIA European Put Warrants Feb 2020 C	50,000,000	2019/10/08	2020/02/25	11.50
11577	SG Issuer - China Tower European Warrants Apr 2020 A	60,000,000	2019/10/08	2020/04/29	15.00
11573	SG Issuer - Ping An European Put Warrants Feb 2020 F	70,000,000	2019/10/08	2020/02/06	10.50
11576	SG Issuer - SUNAC European Warrants Feb 2020 B	50,000,000	2019/10/08	2020/02/25	11.25
11547	UBS AG - HKEX European Warrants Mar 2020 B	300,000,000	2019/10/08	2020/03/24	45.00
11586	Credit Suisse AG - AIA European Put Warrants Feb 2020 B	50,000,000	2019/10/09	2020/02/25	10.00
11587	Credit Suisse AG - Bud Apac European Warrants Mar 2022 A	200,000,000	2019/10/09	2022/03/23	30.00
11589	Goldman Sachs SP (Asia) - AIA European Warrants Feb 2020 B	80,000,000	2019/10/09	2020/02/25	12.08
11588	Goldman Sachs SP (Asia) - Tencent European Put Wt Jun 2020 A	100,000,000	2019/10/09	2020/06/22	15.00
11583	Haitong Int'l Sec - SPDR Gold Trust European Warrants Jun20A	70,000,000	2019/10/09	2020/06/26	14.00
11582	J P Morgan SP BV - Tencent European Warrants Mar 2020 B	250,000,000	2019/10/09	2020/03/31	39.25
11579	Macquarie Bank Ltd. - Ping An European Put Wts May 2020 A	39,000,000	2019/10/09	2020/05/05	10.06

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
11580	Macquarie Bank Ltd. - Poly Property European Wts Dec 2020 A	30,000,000	2019/10/09	2020/12/16	10.02
11599	BOCI Asia Ltd. - CC Bank European Warrants Feb 2020 E	80,000,000	2019/10/10	2020/02/03	13.60
11598	BOCI Asia Ltd. - Country Garden European Warrants Mar 2020 A	70,000,000	2019/10/10	2020/03/26	13.30
11600	BOCI Asia Ltd. - NCI European Warrants Feb 2020 A	70,000,000	2019/10/10	2020/02/21	10.50
11601	Credit Suisse AG - BankComm European Warrants Apr 2020 A	40,000,000	2019/10/10	2020/04/09	10.00
11602	Credit Suisse AG - Bud Apac European Warrants Mar 2020 D	100,000,000	2019/10/10	2020/03/26	15.00
11605	Credit Suisse AG - SUNAC European Warrants Feb 2020 B	40,000,000	2019/10/10	2020/02/25	10.00
11619	Goldman Sachs SP (Asia) - Kingsoft European Wts Jan 2020 A	60,000,000	2019/10/10	2020/01/10	10.02
11613	Goldman Sachs SP (Asia) - Meituan European Warrants Mar 20 A	60,000,000	2019/10/10	2020/03/26	10.62
11597	HK Bank - China Tower European Warrants Jun 2020 A	80,000,000	2019/10/10	2020/06/24	12.00
11594	HK Bank - SPDR Gold Trust European Put Warrants Sep 2020 A	80,000,000	2019/10/10	2020/09/24	12.80
11593	HK Bank - SUNAC European Warrants Feb 2020 A	50,000,000	2019/10/10	2020/02/26	11.00
11609	Haitong Int'l Sec - Bud Apac European Warrants Mar 2020 B	70,000,000	2019/10/10	2020/03/23	10.50
11608	J P Morgan SP BV - ZTE European Warrants Apr 2020 A	60,000,000	2019/10/10	2020/04/17	15.30
11592	Macquarie Bank Ltd. - CPIC European Warrants Sep 2020 A	40,000,000	2019/10/10	2020/09/02	10.04
11591	Macquarie Bank Ltd. - Meituan European Put Warrants Jan 21 A	40,000,000	2019/10/10	2021/01/05	10.00
11622	SG Issuer - MTR Corporation European Put Warrants Apr 2020 A	40,000,000	2019/10/10	2020/04/29	10.00
11623	SG Issuer - Tencent European Warrants Feb 2020 J	200,000,000	2019/10/10	2020/02/18	33.00
11607	UBS AG - Bud Apac European Warrants Mar 2020 G	100,000,000	2019/10/10	2020/03/26	15.00
11640	BOCI Asia Ltd. - Galaxy Ent European Warrants Apr 2020 A	80,000,000	2019/10/11	2020/04/20	20.00
11647	BOCI Asia Ltd. - MTR Corporation European Warrants Feb2020 A	70,000,000	2019/10/11	2020/02/12	11.20
11644	BOCI Asia Ltd. - Meituan European Warrants Mar 2020 B	50,000,000	2019/10/11	2020/03/26	12.00
11645	BOCI Asia Ltd. - ZTE European Warrants Mar 2020 A	50,000,000	2019/10/11	2020/03/16	10.00
11659	Credit Suisse AG - A-Living European Warrants Apr 2020 B	40,000,000	2019/10/11	2020/04/29	10.00
11658	Credit Suisse AG - Bank of China European Wts Feb 2020 A	60,000,000	2019/10/11	2020/02/24	10.02
11655	Credit Suisse AG - China State Con European Warrants Mar20 A	70,000,000	2019/10/11	2020/03/31	10.50
11657	Credit Suisse AG - Tencent European Wts Feb 2020 D	250,000,000	2019/10/11	2020/02/18	37.50
11667	Goldman Sachs SP (Asia) - Bud Apac European Warrants Mar20 C	50,000,000	2019/10/11	2020/03/23	10.00
11668	Goldman Sachs SP (Asia) - Tencent European Wts Mar 2020 D	150,000,000	2019/10/11	2020/03/26	22.50
11660	Haitong Int'l Sec - SMIC European Warrants Mar 2020 A	70,000,000	2019/10/11	2020/03/20	11.20
11664	J P Morgan SP BV - A-Living European Warrants Apr 2020 A	60,000,000	2019/10/11	2020/04/14	19.02
11665	J P Morgan SP BV - CKI Holdings European Warrants Feb 2020 A	65,000,000	2019/10/11	2020/02/21	10.73
11661	J P Morgan SP BV - Mengniu Dairy European Wts Apr 2020 A	60,000,000	2019/10/11	2020/04/17	15.06
11662	J P Morgan SP BV - Meituan European Warrants Mar 2020 C	60,000,000	2019/10/11	2020/03/31	17.46
11638	Macquarie Bank Ltd. - Bank of E Asia European Wts Jul 2020 A	40,000,000	2019/10/11	2020/07/03	10.20
11625	Macquarie Bank Ltd. - China Tower European Wts Jul 2020 D	40,000,000	2019/10/11	2020/07/03	10.12
11624	Macquarie Bank Ltd. - China Tower European Put Wts Jul2020 C	40,000,000	2019/10/11	2020/07/03	10.04
11633	Macquarie Bank Ltd. - Henganintl European Wts Jul 2020 A	40,000,000	2019/10/11	2020/07/03	10.84
11636	Macquarie Bank Ltd. - Hang Seng Bank European Wts Jul 2020 A	40,000,000	2019/10/11	2020/07/03	10.04
11629	Macquarie Bank Ltd. - Li & Fung European Warrants Apr 2021 A	40,000,000	2019/10/11	2021/04/07	10.16
11639	Macquarie Bank Ltd. - Swire Pacific A Euro Put Wts Jul2020 A	17,000,000	2019/10/11	2020/07/03	10.17
11630	Macquarie Bank Ltd. - Wynn Macau European Wts Jul 2020 A	40,000,000	2019/10/11	2020/07/03	10.00
11652	SG Issuer - CNBM European Warrants Jul 2020 A	50,000,000	2019/10/11	2020/07/31	12.50
11654	SG Issuer - China Res Land European Warrants Apr 2020 A	40,000,000	2019/10/11	2020/04/29	10.00



# 主 板 認 股 權 證

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11648	UBS AG - MTR Corporation European Warrants Feb 2020 A	60,000,000	2019/10/11	2020/02/12	10.02
11649	Bank Vontobel - Meituan European Warrants Mar 2020 A	40,000,000	2019/10/11	2020/03/26	11.20
11650	Bank Vontobel - Meituan European Put Warrants Mar 2020 B	50,000,000	2019/10/11	2020/03/26	10.00
11678	Credit Suisse AG - Country Garden Euro Put Wts Apr 2020 A	40,000,000	2019/10/14	2020/04/14	10.00
11679	Credit Suisse AG - China Taiping European Warrants Apr 2020A	60,000,000	2019/10/14	2020/04/08	10.02
11677	Credit Suisse AG - HSI European Warrants Mar 2020 C	150,000,000	2019/10/14	2020/03/30	22.50
11697	Goldman Sachs SP (Asia) - HSI European Put Wts Mar 2020 B	150,000,000	2019/10/14	2020/03/30	22.50
11695	Goldman Sachs SP (Asia) - MTR Corporation European Wt Feb20A	60,000,000	2019/10/14	2020/02/12	10.02
11682	J P Morgan SP BV - Tencent European Warrants Feb 2020 G	250,000,000	2019/10/14	2020/02/18	37.50
11671	Macquarie Bank Ltd. - Yihai Intl European Warrants Jun2020 A	10,000,000	2019/10/14	2020/06/19	10.01
11686	SG Issuer - AIA European Warrants Mar 2020 D	40,000,000	2019/10/14	2020/03/26	14.80
11684	SG Issuer - HSI European Warrants Mar 2020 S	300,000,000	2019/10/14	2020/03/30	45.00
11687	SG Issuer - MTR Corporation European Warrants Apr 2020 B	30,000,000	2019/10/14	2020/04/29	10.35
11688	SG Issuer - SHK Ppt European Warrants Feb 2020 C	30,000,000	2019/10/14	2020/02/24	10.20
11672	UBS AG - Bud Apac European Warrants Mar 2020 H	100,000,000	2019/10/14	2020/03/24	20.10
11676	UBS AG - SPDR Gold Trust European Warrants Apr 2020 A	30,000,000	2019/10/14	2020/04/14	16.53
11702	BNP Paribas Issuance B.V. - HKEX European Wts Jul 2020 C	40,000,000	2019/10/15	2020/07/03	10.00
11699	BNP Paribas Issuance B.V. - Ping An European Wt Apr 2020 G	40,000,000	2019/10/15	2020/04/02	13.60
11700	BNP Paribas Issuance B.V. - Ping An European Wt Jul 2020 A	40,000,000	2019/10/15	2020/07/03	12.80
11698	BNP Paribas Issuance B.V. - Tencent European Wts May 2020 B	80,000,000	2019/10/15	2020/05/05	20.00
11722	Credit Suisse AG - CSPC Pharma European Put Warrants Apr20 A	60,000,000	2019/10/15	2020/04/29	15.00
11721	Credit Suisse AG - Geely Auto European Put Wts Jul 2020 A	60,000,000	2019/10/15	2020/07/31	15.00
11720	Credit Suisse AG - Meituan European Put Warrants Apr 2020 E	100,000,000	2019/10/15	2020/04/14	25.00
11723	Credit Suisse AG - Yihai Intl European Warrants Jul 2020 A	40,000,000	2019/10/15	2020/07/31	10.00
11736	Goldman Sachs SP (Asia) - CSPC Pharma Euro Wts Feb 2020 A	60,000,000	2019/10/15	2020/02/25	11.22
11738	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Apr 2020 A	80,000,000	2019/10/15	2020/04/22	21.92
11740	Goldman Sachs SP (Asia) - HKEX European Warrants Mar 2020 B	150,000,000	2019/10/15	2020/03/30	22.50
11741	Goldman Sachs SP (Asia) - HKEX European Warrants May 2020 B	150,000,000	2019/10/15	2020/05/26	22.50
11743	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2020 C	150,000,000	2019/10/15	2020/03/30	22.50
11717	Haitong Int'l Sec - Meituan European Warrants Jun 2020 C	70,000,000	2019/10/15	2020/06/22	17.50
11735	J P Morgan SP BV - CC Bank European Wts Jan 2020 D	100,000,000	2019/10/15	2020/01/31	18.70
11733	J P Morgan SP BV - Li Ning European Put Warrants May 2020 A	40,000,000	2019/10/15	2020/05/04	10.08
11734	J P Morgan SP BV - Meituan European Put Warrants Mar 2020 D	100,000,000	2019/10/15	2020/03/31	15.00
11707	Macquarie Bank Ltd. - Bank of China European Wts Oct 2020 A	40,000,000	2019/10/15	2020/10/06	10.12
11712	Macquarie Bank Ltd. - CM Bank European Warrants Jul 2020 A	40,000,000	2019/10/15	2020/07/03	10.00
11711	Macquarie Bank Ltd. - PICC P&C European Warrants Jan 2021 A	40,000,000	2019/10/15	2021/01/05	10.24
11709	Macquarie Bank Ltd. - Zoomlion European Warrants Jun 2021 A	40,000,000	2019/10/15	2021/06/16	10.04
11724	SG Issuer - Agricultural Bank European Warrants Mar 2020 A	70,000,000	2019/10/15	2020/03/23	10.50
11726	SG Issuer - CNOOC European Warrants Apr 2020 B	60,000,000	2019/10/15	2020/04/29	15.00
11725	SG Issuer - China Shenhua European Warrants Apr 2020 A	40,000,000	2019/10/15	2020/04/29	10.00
11727	SG Issuer - Henganintl Eu Wt Apr 2020 A	25,000,000	2019/10/15	2020/04/29	10.13
11729	SG Issuer - Meituan European Warrants Mar 2020 B	20,000,000	2019/10/15	2020/03/26	10.00
11730	SG Issuer - Meituan European Warrants Apr 2020 A	50,000,000	2019/10/15	2020/04/29	12.50
11719	UBS AG - Meituan European Put Warrants Mar 2020 B	70,000,000	2019/10/15	2020/03/26	10.50



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11716	Bank Vontobel - CSPC Pharma European Warrants Oct 2020 A	40,000,000	2019/10/15	2020/10/05	10.00
11762	BOCI Asia Ltd. - Meituan European Put Warrants Mar 2020 C	100,000,000	2019/10/16	2020/03/26	15.00
11758	BOCI Asia Ltd. - Ping An European Warrants Jan 2020 B	80,000,000	2019/10/16	2020/01/24	12.00
11760	BOCI Asia Ltd. - Ping An European Warrants Mar 2020 D	200,000,000	2019/10/16	2020/03/24	30.00
11748	BOCI Asia Ltd. - Tencent European Warrants Mar 2020 F	200,000,000	2019/10/16	2020/03/18	30.00
11755	BOCI Asia Ltd. - Tencent European Warrants Mar 2020 G	200,000,000	2019/10/16	2020/03/31	30.00
11757	BOCI Asia Ltd. - Tencent European Put Wts Jun 2020 A	200,000,000	2019/10/16	2020/06/22	36.00
11764	BNP Paribas Issuance B.V. - Geely Auto Euro Wts Jun 2020 A	40,000,000	2019/10/16	2020/06/02	10.00
11772	Credit Suisse AG - Hang Seng Bank European Warrants Apr 20 B	50,000,000	2019/10/16	2020/04/29	12.50
11784	Credit Suisse AG - Meitu European Warrants Apr 2020 A	30,000,000	2019/10/16	2020/04/15	10.20
11789	Credit Suisse AG - Meituan European Warrants Apr 2020 F	40,000,000	2019/10/16	2020/04/15	10.00
11788	Credit Suisse AG - PICC Group European Warrants Apr 2020 A	40,000,000	2019/10/16	2020/04/15	10.00
11777	Credit Suisse AG - Swire Pacific A European Warrants Apr20 A	30,000,000	2019/10/16	2020/04/29	10.20
11781	Credit Suisse AG - SwireProperties European Warrants Apr20 A	40,000,000	2019/10/16	2020/04/15	10.00
11774	Credit Suisse AG - Tencent European Wts Feb 2020 E	250,000,000	2019/10/16	2020/02/25	40.00
11773	Credit Suisse AG - Tencent European Wts Mar 2020 C	250,000,000	2019/10/16	2020/03/26	37.50
11812	Goldman Sachs SP (Asia) - BOC HK European Wts Feb 2020 B	70,000,000	2019/10/16	2020/02/12	10.50
11810	Goldman Sachs SP (Asia) - Sinopec Corp Euro Wts Feb 2020 A	70,000,000	2019/10/16	2020/02/21	10.50
11750	HK Bank - Meituan European Warrants Aug 2020 A	80,000,000	2019/10/16	2020/08/03	20.00
11752	HK Bank - Meituan Dianping European Put Warrants Mar 2020 B	80,000,000	2019/10/16	2020/03/26	12.80
11767	Haitong Int'l Sec - Meituan European Put Warrants Mar 2020 A	70,000,000	2019/10/16	2020/03/27	10.50
11816	J P Morgan SP BV - AAC Tech European Warrants Apr 2020 A	60,000,000	2019/10/16	2020/04/01	23.88
11813	J P Morgan SP BV - AIA European Warrants Mar 2020 A	100,000,000	2019/10/16	2020/03/31	28.10
11814	J P Morgan SP BV - AIA European Put Warrants Mar 2020 B	100,000,000	2019/10/16	2020/03/02	20.90
11828	J P Morgan SP BV - Link REIT European Warrants May 2020 A	60,000,000	2019/10/16	2020/05/04	22.44
11823	J P Morgan SP BV - SJM Holdings European Warrants Jan 2021 A	70,000,000	2019/10/16	2021/01/11	10.64
11824	J P Morgan SP BV - Stanchart European Warrants May 2020 A	33,000,000	2019/10/16	2020/05/04	10.63
11817	J P Morgan SP BV - Wynn Macau European Warrants Mar 2020 A	70,000,000	2019/10/16	2020/03/24	10.64
11753	Macquarie Bank Ltd. - Haidilao European Warrants Apr 2020 A	14,300,000	2019/10/16	2020/04/20	10.01
11744	Macquarie Bank Ltd. - SUNAC European Warrants Jul 2020 A	29,000,000	2019/10/16	2020/07/03	10.03
11746	Macquarie Bank Ltd. - SUNAC European Put Warrants May 2020 A	40,000,000	2019/10/16	2020/05/05	10.00
11754	Macquarie Bank Ltd. - Tencent European Put Wts Apr 2020 J	40,000,000	2019/10/16	2020/04/24	10.08
11790	SG Issuer - BOC HK European Warrants Feb 2020 C	70,000,000	2019/10/16	2020/02/12	11.20
11792	SG Issuer - Hang Seng Bank European Warrants Jun 2020 A	40,000,000	2019/10/16	2020/06/30	10.00
11791	SG Issuer - Hang Seng Bank European Put Warrants Apr 2020 B	20,000,000	2019/10/16	2020/04/29	10.20
11800	SG Issuer - Tencent European Warrants Feb 2020 K	200,000,000	2019/10/16	2020/02/25	38.00
11768	UBS AG - Bud Apac European Warrants Mar 2020 I	100,000,000	2019/10/16	2020/03/23	15.60
11769	UBS AG - CSPC Pharma European Warrants Feb 2020 B	40,000,000	2019/10/16	2020/02/25	10.04
11770	UBS AG - MTR Corporation European Put Warrants Apr 2020 A	60,000,000	2019/10/16	2020/04/24	10.02
11804	UBS AG - Meituan European Warrants Feb 2020 A	150,000,000	2019/10/16	2020/02/10	22.50
11837	BOCI Asia Ltd. - Geely Auto European Warrants Apr 2020 A	100,000,000	2019/10/17	2020/04/23	15.00
11838	BNP Paribas Issuance B.V. - Li Ning European Wts Jun 2020 A	40,000,000	2019/10/17	2020/06/02	10.40
11839	BNP Paribas Issuance B.V. - Meituan European Warrants May20C	30,000,000	2019/10/17	2020/05/05	11.10
11851	Credit Suisse AG - CK Asset European Warrants Apr 2020 A	40,000,000	2019/10/17	2020/04/16	16.80

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11853	Credit Suisse AG - CK Asset European Put Warrants Apr 2020 B	40,000,000	2019/10/17	2020/04/16	10.00
11855	Credit Suisse AG - Link REIT European Warrants Aug 2020 B	50,000,000	2019/10/17	2020/08/10	12.50
11849	Credit Suisse AG - Meituan European Warrants Jan 2020 B	150,000,000	2019/10/17	2020/01/24	22.50
11861	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Mar 2020 B	50,000,000	2019/10/17	2020/03/26	10.00
11860	Goldman Sachs SP (Asia) - Meituan European Warrants Apr 20 D	60,000,000	2019/10/17	2020/04/17	15.00
11850	Haitong Int'l Sec - AAC Tech European Put Warrants Apr2020 A	30,000,000	2019/10/17	2020/04/28	13.20
11844	J P Morgan SP BV - AAC Tech European Put Warrants Jan 2020 A	60,000,000	2019/10/17	2020/01/17	14.40
11845	J P Morgan SP BV - CS Services European Warrants May 2020 A	60,000,000	2019/10/17	2020/05/04	16.02
11836	Macquarie Bank Ltd. - Tencent European Warrants May 2020 A	40,000,000	2019/10/17	2020/05/26	10.00
11857	SG Issuer - CSPC Pharma European Warrants Feb 2020 A	40,000,000	2019/10/17	2020/02/25	10.00
11858	SG Issuer - Meituan European Warrants Dec 2020 A	40,000,000	2019/10/17	2020/12/31	10.00
11859	SG Issuer - Shimao Ppt Eu Wt Apr 2020 A	40,000,000	2019/10/17	2020/04/16	10.20
11840	UBS AG - Link REIT European Warrants Apr 2020 A	50,000,000	2019/10/17	2020/04/20	14.05
11843	UBS AG - Meituan European Warrants Apr 2020 A	40,000,000	2019/10/17	2020/04/16	17.20
11829	Bank Vontobel - Meituan European Warrants Apr 2020 C	30,000,000	2019/10/17	2020/04/16	10.83
11831	Bank Vontobel - Meituan European Put Warrants Apr 2020 D	40,000,000	2019/10/17	2020/04/16	10.00
11832	Bank Vontobel - Sands China Ltd European Warrants Mar 2020 B	50,000,000	2019/10/17	2020/03/27	10.05
11881	BNP Paribas Issuance B.V. - Country Garden Eu Wt Jun 2020 A	60,000,000	2019/10/18	2020/06/02	11.40
11890	Credit Suisse AG - Mengniu Dairy European Wts Apr 2020 A	50,000,000	2019/10/18	2020/04/17	12.50
11887	Credit Suisse AG - Meituan European Warrants Apr 2020 G	100,000,000	2019/10/18	2020/04/29	25.00
11893	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Sep 2020 A	70,000,000	2019/10/18	2020/09/25	10.50
11894	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Feb 2020 C	80,000,000	2019/10/18	2020/02/24	12.00
11891	J P Morgan SP BV - Anta Sports European Warrants Dec 2020 A	60,000,000	2019/10/18	2020/12/31	15.06
11892	J P Morgan SP BV - Li Ning European Warrants May 2020 B	60,000,000	2019/10/18	2020/05/04	15.42
11866	Macquarie Bank Ltd. - Tencent European Warrants May 2020 B	40,000,000	2019/10/18	2020/05/26	15.80
11884	UBS AG - Hang Seng Bank European Warrants Jun 2020 A	60,000,000	2019/10/18	2020/06/24	10.02
11882	UBS AG - HSI European Put Warrants Feb 2020 F	300,000,000	2019/10/18	2020/02/27	53.40
11871	Bank Vontobel - GAC European Warrants Jun 2020 A	40,000,000	2019/10/18	2020/06/03	10.00
11872	Bank Vontobel - Greatwall Motor European Warrants May 2020 A	20,000,000	2019/10/18	2020/05/05	10.00
11867	Bank Vontobel - Tencent European Warrants Mar 2020 D	100,000,000	2019/10/18	2020/03/26	15.00
11868	Bank Vontobel - Tencent European Put Warrants Mar 2020 E	80,000,000	2019/10/18	2020/03/18	24.00
11873	Bank Vontobel - Xiaomi European Warrants Sep 2020 A	40,000,000	2019/10/18	2020/09/01	10.00
11869	Bank Vontobel - Zijin Mining European Warrants Apr 2020 A	50,000,000	2019/10/18	2020/04/01	10.50
11899	BNP Paribas Issuance B.V. - CC Bank Euro Wts May 2020 A	40,000,000	2019/10/21	2020/05/05	10.00
11903	BNP Paribas Issuance B.V. - CC Bank Euro Wts Oct 2020 A	40,000,000	2019/10/21	2020/10/06	10.00
11905	BNP Paribas Issuance B.V. - China Mobile Euro Wts Mar 2020 A	50,000,000	2019/10/21	2020/03/03	10.00
11897	BNP Paribas Issuance B.V. - Galaxy Ent Euro Wts Jun 2020 A	40,000,000	2019/10/21	2020/06/02	14.80
11923	BNP Paribas Issuance B.V. - Sands China Euro Wt May 2020 A	40,000,000	2019/10/21	2020/05/05	10.00
11895	BNP Paribas Issuance B.V. - SUNAC European Wts May 2020 A	40,000,000	2019/10/21	2020/05/05	12.40
11896	BNP Paribas Issuance B.V. - Xiaomi European Warrants Sep20 A	60,000,000	2019/10/21	2020/09/02	15.00
11933	Credit Suisse AG - China Lit European Warrants Apr 2020 A	30,000,000	2019/10/21	2020/04/20	10.20
11931	Credit Suisse AG - Ch Molybdenum European Wts Apr 2020 A	40,000,000	2019/10/21	2020/04/20	10.00
11932	Credit Suisse AG - Li Ning European Warrants Apr 2020 A	40,000,000	2019/10/21	2020/04/20	10.00
11935	Goldman Sachs SP (Asia) - BOC HK European Wts Apr 2020 A	70,000,000	2019/10/21	2020/04/22	10.50

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11936	Goldman Sachs SP (Asia) - CC Bank European Wts Jan 2020 A	80,000,000	2019/10/21	2020/01/31	17.92
11906	HK Bank - Bank of China European Warrants Aug 2020 A	100,000,000	2019/10/21	2020/08/31	25.00
11908	HK Bank - Galaxy Ent European Put Warrants Apr 2020 A	40,000,000	2019/10/21	2020/04/29	10.00
11911	HK Bank - HSCEI European Warrants Apr 2020 A	180,000,000	2019/10/21	2020/04/28	27.00
11929	J P Morgan SP BV - HSI European Put Warrants Apr 2020 Y	400,000,000	2019/10/21	2020/04/28	100.40
11930	J P Morgan SP BV - Ping An European Put Warrants Mar 2020 D	200,000,000	2019/10/21	2020/03/02	30.00
11940	Macquarie Bank Ltd. - Genscript Bio European Wts May 2020 A	33,700,000	2019/10/21	2020/05/15	10.01
11939	Macquarie Bank Ltd. - Meitu European Warrants May 2020 A	34,300,000	2019/10/21	2020/05/18	10.02
11912	SG Issuer - CGS European Warrants Apr 2020 A	25,000,000	2019/10/21	2020/04/20	10.00
11914	SG Issuer - China Mobile European Warrants Feb 2020 G	60,000,000	2019/10/21	2020/02/26	10.20
11915	SG Issuer - CPIC European Warrants Mar 2020 A	60,000,000	2019/10/21	2020/03/26	10.80
11919	SG Issuer - China Unicom European Warrants Apr 2020 A	20,000,000	2019/10/21	2020/04/29	10.00
11920	SG Issuer - GF Sec European Warrants Apr 2020 A	40,000,000	2019/10/21	2020/04/29	10.00
11921	SG Issuer - HTSC European Warrants Sep 2020 A	50,000,000	2019/10/21	2020/09/11	12.50
11925	UBS AG - CC Bank European Warrants Jan 2020 A	70,000,000	2019/10/21	2020/01/31	17.36
11927	UBS AG - HKEX European Put Warrants Mar 2020 C	300,000,000	2019/10/21	2020/03/30	45.00
19145#	J P Morgan SP BV - Xiaomi European Warrants Dec 2019 B	500,000,000	2019/10/21	2019/12/24	10.00
11953	BNP Paribas Issuance B.V. - BYD Company Euro Wts Mar 2020 A	40,000,000	2019/10/22	2020/03/03	10.00
11949	BNP Paribas Issuance B.V. - HSI European Wts Jul 2020 A	60,000,000	2019/10/22	2020/07/30	15.60
11952	BNP Paribas Issuance B.V. - HSI Euro Put Wts Feb 2020 I	70,000,000	2019/10/22	2020/02/27	10.50
11976	Credit Suisse AG - China Mobile European Warrants Mar 2020 A	60,000,000	2019/10/22	2020/03/26	10.02
11973	Credit Suisse AG - China Tower European Warrants Jun 2020 A	70,000,000	2019/10/22	2020/06/24	10.50
11977	Credit Suisse AG - Meituan European Warrants Jun 2020 C	100,000,000	2019/10/22	2020/06/15	15.00
11954	Bank of East Asia - Meituan European Warrants Nov 2020 B	40,000,000	2019/10/22	2020/11/30	10.00
11955	Bank of East Asia - Meituan European Put Warrants Oct 2020 A	40,000,000	2019/10/22	2020/10/27	10.00
11956	Bank of East Asia - Tencent European Wts May 2020 A	40,000,000	2019/10/22	2020/05/04	10.00
11960	Bank of East Asia - Tencent European Put Wts Jul 2020 A	40,000,000	2019/10/22	2020/07/15	10.00
12116	Goldman Sachs SP (Asia) - China Tel European Wts Jan 2020 A	70,000,000	2019/10/22	2020/01/22	10.50
12111	Goldman Sachs SP (Asia) - HKEX European Put Wts Jun 2020 C	100,000,000	2019/10/22	2020/06/24	15.00
12108	Goldman Sachs SP (Asia) - Lenovo European Wts Jan 2020 A	60,000,000	2019/10/22	2020/01/22	10.02
11978	Haitong Int'l Sec - Galaxy Ent European Put Wts Apr 2020 A	40,000,000	2019/10/22	2020/04/27	11.60
12104	J P Morgan SP BV - Beijing Airport European Warrants Sep20 A	60,000,000	2019/10/22	2020/09/30	15.54
12105	J P Morgan SP BV - CC Bank European Wts May 2020 B	100,000,000	2019/10/22	2020/05/04	25.60
11999	J P Morgan SP BV - Minsheng Bank European Wts May 2020 A	40,000,000	2019/10/22	2020/05/04	12.56
11996	J P Morgan SP BV - China Mobile European Wts Mar 2020 A	100,000,000	2019/10/22	2020/03/02	16.30
12103	J P Morgan SP BV - Chi Res Land European Warrants May 2020 A	100,000,000	2019/10/22	2020/05/04	25.20
12107	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2020 A	100,000,000	2019/10/22	2020/04/09	28.40
11997	J P Morgan SP BV - HSBC European Warrants May 2020 A	100,000,000	2019/10/22	2020/05/04	25.30
11983	J P Morgan SP BV - Kingsoft European Warrants Feb 2020 B	60,000,000	2019/10/22	2020/02/04	12.96
12106	J P Morgan SP BV - NCI European Warrants Mar 2020 A	70,000,000	2019/10/22	2020/03/02	10.78
11998	J P Morgan SP BV - Sands China Ltd European Put Wts May 20 A	80,000,000	2019/10/22	2020/05/04	20.00
11968	Macquarie Bank Ltd. - BankComm European Warrants Sep 2020 A	31,000,000	2019/10/22	2020/09/02	10.01
11966	Macquarie Bank Ltd. - CC Bank European Put Wts Jul 2020 A	30,000,000	2019/10/22	2020/07/03	10.59
11967	Macquarie Bank Ltd. - Henganintl European Put Wts Jul 2020 B	36,000,000	2019/10/22	2020/07/03	10.62

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股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
11965	Macquarie Bank Ltd. - MTRC European European Put Wts Jul20 A	40,000,000	2019/10/22	2020/07/03	11.28
11944	Macquarie Bank Ltd. - Ping An European Warrants May 2020 B	25,500,000	2019/10/22	2020/05/05	10.07
11945	Macquarie Bank Ltd. - SHK Ppt European Put Wts May 2020 A	20,000,000	2019/10/22	2020/05/05	10.32
12112	SG Issuer - China Lit European Warrants Apr 2020 A	35,000,000	2019/10/22	2020/04/21	10.85
12115	SG Issuer - HKEX European Put Warrants Mar 2020 D	100,000,000	2019/10/22	2020/03/30	15.00
11971	UBS AG - AIA European Warrants Mar 2020 A	100,000,000	2019/10/22	2020/03/26	33.70
11969	UBS AG - China Mobile European Warrants Feb 2020 A	100,000,000	2019/10/22	2020/02/20	23.10
11970	UBS AG - Ping An European Warrants Jan 2020 B	100,000,000	2019/10/22	2020/01/23	15.10
11972	UBS AG - Tencent European Put Warrants Feb 2020 A	300,000,000	2019/10/22	2020/02/25	48.00
11979	Bank Vontobel - Bud Apac European Warrants Apr 2020 A	40,000,000	2019/10/22	2020/04/21	11.60
10104	BNP Paribas Issuance B.V. - Oil Futures Euro Wt May 2020 A	50,000,000	2019/10/23	2020/05/15	12.50
10105	BNP Paribas Issuance B.V. - Oil Futures Eu Put Wt May 2020 B	50,000,000	2019/10/23	2020/05/15	12.50
12145	Credit Suisse AG - Meituan European Warrants Jul 2020 A	100,000,000	2019/10/23	2020/07/27	15.00
12142	Credit Suisse AG - ZA Onine European Warrants Feb 2020 B	60,000,000	2019/10/23	2020/02/20	10.02
12152	Goldman Sachs SP (Asia) - HSI European Put Wts Jan 2020 B	150,000,000	2019/10/23	2020/01/30	22.50
12151	Goldman Sachs SP (Asia) - PetroChina European Wts Apr 2020 A	80,000,000	2019/10/23	2020/04/22	12.00
12174	J P Morgan SP BV - Angang Steel European Warrants May 2020 A	30,000,000	2019/10/23	2020/05/04	10.68
10106	J P Morgan SP BV - N225 Index Euro Wts Jun 2020 A	80,000,000	2019/10/23	2020/06/12	20.40
10107	J P Morgan SP BV - N225 Index Euro Put Wts Jun 2020 B	80,000,000	2019/10/23	2020/06/12	20.48
12175	J P Morgan SP BV - Yihai Intl European Warrants Jul 2020 A	60,000,000	2019/10/23	2020/07/02	15.06
12140	Macquarie Bank Ltd. - CSOP A50 ETF European Wts May 2020 A	38,000,000	2019/10/23	2020/05/05	10.22
12141	Macquarie Bank Ltd. - A50 China European Warrants May 2020 A	36,000,000	2019/10/23	2020/05/05	10.12
12118	Macquarie Bank Ltd. - Meituan European Warrants Jun 2020 B	45,000,000	2019/10/23	2020/06/29	10.04
12117	Macquarie Bank Ltd. - Meituan European Warrants Jan 2021 B	40,000,000	2019/10/23	2021/01/05	11.00
12120	Macquarie Bank Ltd. - Tencent European Warrants May 2020 C	30,000,000	2019/10/23	2020/05/04	23.70
12130	Macquarie Bank Ltd. - Tencent European Warrants May 2020 D	30,000,000	2019/10/23	2020/05/04	16.32
12197	SG Issuer - Henderson Land European Warrants Feb 2020 A	70,000,000	2019/10/23	2020/02/21	10.50
12202	SG Issuer - Meituan European Warrants Apr 2020 B	25,000,000	2019/10/23	2020/04/06	10.00
12211	SG Issuer - New World Dev Eu Wt May 2020 A	70,000,000	2019/10/23	2020/05/29	10.50
12164	UBS AG - CSOP A50 ETF European Warrants Jun 2020 A	70,000,000	2019/10/23	2020/06/26	10.50
12167	UBS AG - China Tower European Warrants Jun 2020 A	70,000,000	2019/10/23	2020/06/22	10.50
12155	UBS AG - Ping An European Put Warrants Mar 2020 D	100,000,000	2019/10/23	2020/03/26	23.10
12191	Bank Vontobel - China Tower European Warrants Apr 2020 A	70,000,000	2019/10/23	2020/04/29	11.27
12179	Bank Vontobel - China Tower European Warrants Jun 2020 A	60,000,000	2019/10/23	2020/06/22	10.26
12188	Bank Vontobel - HSBC European Warrants May 2020 A	40,000,000	2019/10/23	2020/05/04	10.04
12193	Bank Vontobel - HSBC European Put Warrants Jun 2020 B	30,000,000	2019/10/23	2020/06/01	13.83
12196	Bank Vontobel - HSI European Warrants Apr 2020 F	100,000,000	2019/10/23	2020/04/28	25.10
12234	BNP Paribas Issuance B.V. - New World Dev Eu Wt May 2020 A	30,000,000	2019/10/24	2020/05/05	12.60
12248	Credit Suisse AG - CK Asset European Warrants Mar 2020 A	70,000,000	2019/10/24	2020/03/02	10.50
12258	Credit Suisse AG - China State Con European Warrants Jun20 A	70,000,000	2019/10/24	2020/06/30	17.50
12260	Credit Suisse AG - Hang Seng Bank European Put Wts Jul2020 A	40,000,000	2019/10/24	2020/07/31	10.00
12247	Credit Suisse AG - Meituan European Warrants Dec 2020 A	100,000,000	2019/10/24	2020/12/23	15.00
12249	Credit Suisse AG - ZTE European Warrants Apr 2020 A	40,000,000	2019/10/24	2020/04/23	10.00
12302	Goldman Sachs SP (Asia) - CKA European Warrants Mar 2020 A	70,000,000	2019/10/24	2020/03/02	10.50

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12303	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Jan 2020 D	80,000,000	2019/10/24	2020/01/24	14.72
12237	HK Bank - HSCEI European Put Warrants May 2020 A	180,000,000	2019/10/24	2020/05/28	27.00
12218	HK Bank - HSI European Put Warrants Apr 2020 C	180,000,000	2019/10/24	2020/04/28	45.00
12236	HK Bank - SHK Ppt European Warrants Dec 2020 A	80,000,000	2019/10/24	2020/12/31	20.00
12235	HK Bank - Tencent European Warrants Mar 2020 C	80,000,000	2019/10/24	2020/03/18	12.00
12242	Haitong Int'l Sec - China Tower European Warrants Apr 2020 A	70,000,000	2019/10/24	2020/04/22	10.50
12269	J P Morgan SP BV - CK Asset European Put Warrants Apr 2020 A	60,000,000	2019/10/24	2020/04/02	10.62
12270	J P Morgan SP BV - CKH European Put Warrants Mar 2020 A	60,000,000	2019/10/24	2020/03/02	10.92
12271	J P Morgan SP BV - China Tower European Warrants Jul 2020 A	70,000,000	2019/10/24	2020/07/02	10.64
12272	J P Morgan SP BV - China Tower European Put Wts Dec 2020 A	60,000,000	2019/10/24	2020/12/31	15.06
12265	J P Morgan SP BV - HSI European Put Warrants Apr 2020 Z	400,000,000	2019/10/24	2020/04/28	100.40
12268	J P Morgan SP BV - Meituan European Warrants Apr 2020 B	80,000,000	2019/10/24	2020/04/06	29.84
12267	J P Morgan SP BV - Meituan European Warrants Dec 2020 A	150,000,000	2019/10/24	2020/12/23	23.70
12274	J P Morgan SP BV - New World Dev European Wts Jul 2020 A	70,000,000	2019/10/24	2020/07/02	10.71
12223	Macquarie Bank Ltd. - Evergrande European Wts May 2020 A	23,000,000	2019/10/24	2020/05/05	10.21
12229	Macquarie Bank Ltd. - Meituan European Warrants Apr 2022 A	40,000,000	2019/10/24	2022/04/04	10.56
12230	Macquarie Bank Ltd. - Tencent European Warrants May 2020 E	8,000,000	2019/10/24	2020/05/04	10.01
12233	Macquarie Bank Ltd. - Tencent European Warrants May 2020 F	15,500,000	2019/10/24	2020/05/04	10.01
12286	SG Issuer - CC Bank European Warrants Apr 2020 D	40,000,000	2019/10/24	2020/04/23	10.00
12288	SG Issuer - China Railway European Warrants Dec 2020 A	80,000,000	2019/10/24	2020/12/23	12.00
12295	SG Issuer - CRRC European Warrants May 2021 A	80,000,000	2019/10/24	2021/05/11	14.40
12293	SG Issuer - China Tower European Warrants Jun 2020 A	80,000,000	2019/10/24	2020/06/24	12.00
12298	SG Issuer - Xiaomi European Warrants Dec 2020 A	120,000,000	2019/10/24	2020/12/22	18.00
12300	SG Issuer - ZA Online European Warrants Apr 2020 A	40,000,000	2019/10/24	2020/04/23	10.00
12301	SG Issuer - Zijin Mining European Warrants Jul 2020 A	40,000,000	2019/10/24	2020/07/31	10.00
12219	UBS AG - AIA European Put Warrants Feb 2020 A	100,000,000	2019/10/24	2020/02/25	15.00
12222	UBS AG - China Mobile European Put Warrants Feb 2020 B	100,000,000	2019/10/24	2020/02/28	15.00
12220	UBS AG - Link REIT European Put Warrants Mar 2020 A	50,000,000	2019/10/24	2020/03/26	12.20
12215	UBS AG - Tencent European Warrants Jan 2020 B	300,000,000	2019/10/24	2020/01/23	45.00
12281	Bank Vontobel - AIA European Warrants Feb 2020 E	50,000,000	2019/10/24	2020/02/25	10.00
12277	Bank Vontobel - Meituan European Warrants Jun 2020 A	60,000,000	2019/10/24	2020/06/15	13.80
12276	Bank Vontobel - SHK Ppt European Warrants Apr 2020 A	30,000,000	2019/10/24	2020/04/27	10.50
12336	Credit Suisse AG - China Tower European Warrants Apr 2020 A	70,000,000	2019/10/25	2020/04/22	10.50
12334	Credit Suisse AG - Xiaomi European Warrants Sep 2020 A	100,000,000	2019/10/25	2020/09/30	25.00
12337	Credit Suisse AG - ZA Online European Warrants Apr 2020 A	40,000,000	2019/10/25	2020/04/24	10.00
12338	Goldman Sachs SP (Asia) - China Tower Euro Wts Jun 2020 A	80,000,000	2019/10/25	2020/06/22	12.00
12339	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2020 D	150,000,000	2019/10/25	2020/03/30	22.50
12328	HK Bank - CSOP A50 ETF European Warrants Jun 2020 C	80,000,000	2019/10/25	2020/06/30	20.00
12329	HK Bank - CSPC Pharma European Warrants Jun 2020 A	80,000,000	2019/10/25	2020/06/30	20.00
12332	HK Bank - A50 China European Warrants Jul 2020 A	80,000,000	2019/10/25	2020/07/31	20.00
12330	HK Bank - HKEX European Put Warrants Mar 2020 B	80,000,000	2019/10/25	2020/03/31	12.00
12333	HK Bank - HSI European Warrants Apr 2020 D	180,000,000	2019/10/25	2020/04/28	45.00
12327	J P Morgan SP BV - Tencent European Put Warrants Apr 2020 C	250,000,000	2019/10/25	2020/04/17	37.50
12326	J P Morgan SP BV - ZA Online European Warrants Jun 2020 A	60,000,000	2019/10/25	2020/06/02	15.48



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12309	Macquarie Bank Ltd. - Ch LongYuan European Wts Oct 2021 A	40,000,000	2019/10/25	2021/10/18	10.00
12305	Macquarie Bank Ltd. - Tencent European Put Wts May 2020 G	30,000,000	2019/10/25	2020/05/04	17.25
12306	Macquarie Bank Ltd. - Tencent European Put Wts May 2020 H	8,500,000	2019/10/25	2020/05/04	10.14
12307	Macquarie Bank Ltd. - WH Group European Warrants Oct 2020 A	40,000,000	2019/10/25	2020/10/16	10.00
12317	UBS AG - BYD Company European Warrants Feb 2020 A	50,000,000	2019/10/25	2020/02/24	10.00
12313	UBS AG - HSI European Warrants Mar 2020 B	300,000,000	2019/10/25	2020/03/30	46.80
12324	UBS AG - Sands China Ltd European Warrants Mar 2020 A	70,000,000	2019/10/25	2020/03/26	16.52
12341	BNP Paribas Issuance B.V. - Meituan European Warrants Jun20A	40,000,000	2019/10/28	2020/06/02	10.80
12376	Credit Suisse AG - CGS European Warrants Apr 2020 A	30,000,000	2019/10/28	2020/04/27	10.20
12372	Credit Suisse AG - HKEX European Put Warrants Mar 2020 D	100,000,000	2019/10/28	2020/03/30	15.00
12375	Credit Suisse AG - Meituan European Warrants Apr 2020 H	40,000,000	2019/10/28	2020/04/07	10.00
12379	Credit Suisse AG - Meituan European Put Warrants Apr 2020 I	100,000,000	2019/10/28	2020/04/27	25.00
12371	Credit Suisse AG - Vitasoy Intl European Warrants Apr 2020 A	30,000,000	2019/10/28	2020/04/27	10.20
12408	Goldman Sachs SP (Asia) - ICBC European Warrants Feb 2020 A	80,000,000	2019/10/28	2020/02/04	16.88
12409	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Jan 2020A	80,000,000	2019/10/28	2020/01/29	12.00
12365	Haitong Int'l Sec - China Lit European Warrants Apr 2020 A	40,000,000	2019/10/28	2020/04/28	10.00
12368	Haitong Int'l Sec - HSI European Warrants Mar 2020 Q	300,000,000	2019/10/28	2020/03/30	51.00
12407	J P Morgan SP BV - Conch Cement European Warrants Mar 2020 A	60,000,000	2019/10/28	2020/03/02	18.42
12404	J P Morgan SP BV - Anta Sports European Put Warrants Dec20 B	60,000,000	2019/10/28	2020/12/31	15.00
12403	J P Morgan SP BV - BankComm European Warrants May 2020 A	60,000,000	2019/10/28	2020/05/04	17.40
12393	J P Morgan SP BV - China East Air European Wts Mar 2020 A	28,000,000	2019/10/28	2020/03/17	11.23
12382	J P Morgan SP BV - China Life European Put Wts Apr 2020 C	100,000,000	2019/10/28	2020/04/29	25.10
12400	J P Morgan SP BV - China Lit European Warrants May 2020 A	40,000,000	2019/10/28	2020/05/04	10.76
12397	J P Morgan SP BV - Ch LongYuan European Warrants Jul 2021 A	60,000,000	2019/10/28	2021/07/30	15.00
12391	J P Morgan SP BV - Baiyunshan Ph European Wts Mar 2020 A	50,000,000	2019/10/28	2020/03/02	10.35
12390	J P Morgan SP BV - Meituan European Warrants Jun 2020 A	80,000,000	2019/10/28	2020/06/03	20.08
12388	J P Morgan SP BV - Meituan European Put Warrants Apr 2020 C	80,000,000	2019/10/28	2020/04/14	12.48
12405	J P Morgan SP BV - PSBC European Warrants Jun 2020 A	60,000,000	2019/10/28	2020/06/12	18.24
12387	J P Morgan SP BV - Xiaomi European Put Warrants Dec 2020 A	80,000,000	2019/10/28	2020/12/31	20.08
12342	Macquarie Bank Ltd. - Bud Apac European Warrants May 2020 A	40,000,000	2019/10/28	2020/05/05	10.00
12343	Macquarie Bank Ltd. - Bud Apac European Warrants Jun 2020 A	40,000,000	2019/10/28	2020/06/02	10.04
10108	SG Issuer - Nikkei 225 European Warrants Jun 2020 A	100,000,000	2019/10/28	2020/06/12	25.00
10109	SG Issuer - Nikkei 225 European Warrants Jun 2020 B	100,000,000	2019/10/28	2020/06/12	25.00
10110	SG Issuer - Nikkei 225 European Put Warrants Jun 2020 C	100,000,000	2019/10/28	2020/06/12	25.00
12369	SG Issuer - ZA Online European Warrants Apr 2020 B	40,000,000	2019/10/28	2020/04/29	10.00
12370	UBS AG - CC Bank European Put Warrants Apr 2020 A	70,000,000	2019/10/28	2020/04/22	10.50
12346	UBS AG - China Life European Put Warrants Apr 2020 B	50,000,000	2019/10/28	2020/04/27	12.50
12345	UBS AG - Meituan European Warrants Apr 2020 C	40,000,000	2019/10/28	2020/04/07	19.32
12344	UBS AG - Meituan European Put Warrants Apr 2020 B	70,000,000	2019/10/28	2020/04/03	11.48
12348	Bank Vontobel - China Life European Put Warrants May 2020 A	40,000,000	2019/10/28	2020/05/05	10.00
12350	Bank Vontobel - HSI European Warrants Sep 2020 A	80,000,000	2019/10/28	2020/09/29	20.00
12353	Bank Vontobel - HSI European Warrants Dec 2020 A	80,000,000	2019/10/28	2020/12/30	20.00
12354	Bank Vontobel - HSI European Put Warrants Sep 2020 B	80,000,000	2019/10/28	2020/09/29	24.00
12355	Bank Vontobel - HSI European Put Warrants Dec 2020 B	80,000,000	2019/10/28	2020/12/30	24.00

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12349	Bank Vontobel - Meituan European Warrants Jul 2020 A	40,000,000	2019/10/28	2020/07/06	10.00
12426	BNP Paribas Issuance B.V. - AAC Tech Euro Wts Jul 2020 A	40,000,000	2019/10/29	2020/07/03	24.80
12427	BNP Paribas Issuance B.V. - CITIC Sec Euro Wts Aug 2020 A	40,000,000	2019/10/29	2020/08/04	10.80
12424	BNP Paribas Issuance B.V. - China Life Euro Wts Jul 2020 A	40,000,000	2019/10/29	2020/07/03	10.40
12423	BNP Paribas Issuance B.V. - HSBC European Wts Jul 2020 B	40,000,000	2019/10/29	2020/07/03	10.40
12420	BNP Paribas Issuance B.V. - Tencent Eu Put Wt Jul 2020 A	40,000,000	2019/10/29	2020/07/03	11.60
12447	Credit Suisse AG - CC Bank European Put Warrants Apr 2020 A	40,000,000	2019/10/29	2020/04/28	10.00
12452	Credit Suisse AG - China Lit European Warrants Apr 2020 B	40,000,000	2019/10/29	2020/04/28	10.00
12451	Credit Suisse AG - ICBC European Put Warrants Apr 2020 A	40,000,000	2019/10/29	2020/04/28	10.00
12457	Credit Suisse AG - Meituan European Warrants May 2020 A	100,000,000	2019/10/29	2020/05/29	25.00
12453	Credit Suisse AG - PetroChina European Warrants Apr 2020 A	70,000,000	2019/10/29	2020/04/22	10.50
12459	Goldman Sachs SP (Asia) - HSBC European Put Wts Mar 2020 D	80,000,000	2019/10/29	2020/03/24	12.00
12458	Goldman Sachs SP (Asia) - Xiaomi European Wts Jun 2020 A	70,000,000	2019/10/29	2020/06/23	10.50
12419	HK Bank - Meituan European Warrants Jul 2020 A	100,000,000	2019/10/29	2020/07/06	25.00
12440	J P Morgan SP BV - Ali Health European Warrants Oct 2020 A	60,000,000	2019/10/29	2020/10/05	15.12
12443	J P Morgan SP BV - CGS European Warrants Apr 2020 A	50,000,000	2019/10/29	2020/04/09	13.30
12441	J P Morgan SP BV - Huaneng Power European Wts Apr 2020 A	70,000,000	2019/10/29	2020/04/22	10.85
12439	J P Morgan SP BV - Kunlun Energy European Warrants May2020 A	40,000,000	2019/10/29	2020/05/04	15.28
12437	J P Morgan SP BV - Meituan European Warrants May 2020 A	80,000,000	2019/10/29	2020/05/04	15.52
12444	J P Morgan SP BV - China Vanke European Warrants Mar 2020 A	60,000,000	2019/10/29	2020/03/02	15.06
12435	J P Morgan SP BV - Xiaomi European Warrants Dec 2020 B	80,000,000	2019/10/29	2020/12/22	12.00
12412	Macquarie Bank Ltd. - China Life European Wts May 2020 A	40,000,000	2019/10/29	2020/05/05	10.04
12417	Macquarie Bank Ltd. - Sinopec Corp European Wts Jul 2020 A	40,000,000	2019/10/29	2020/07/03	10.00
12413	Macquarie Bank Ltd. - China Tel European Warrants Sep 2020 A	40,000,000	2019/10/29	2020/09/02	10.04
12410	Macquarie Bank Ltd. - China Unicom European Wts May 2020 A	40,000,000	2019/10/29	2020/05/05	10.04
12431	Macquarie Bank Ltd. - Xiaomi European Warrants May 2020 A	38,000,000	2019/10/29	2020/05/05	44.19
12433	Macquarie Bank Ltd. - Xiaomi European Warrants May 2020 B	12,500,000	2019/10/29	2020/05/05	10.00
12460	SG Issuer - AAC Tech European Warrants Apr 2020 C	25,000,000	2019/10/29	2020/04/29	10.00
12463	SG Issuer - Geely Auto European Warrants May 2020 A	40,000,000	2019/10/29	2020/05/29	10.00
12428	UBS AG - Tencent European Warrants Feb 2020 B	300,000,000	2019/10/29	2020/02/18	46.50
12430	UBS AG - WH Group European Warrants Jun 2020 A	60,000,000	2019/10/29	2020/06/22	10.68
12445	Bank Vontobel - HKEX European Warrants Feb 2020 A	40,000,000	2019/10/29	2020/02/25	10.00
12446	Bank Vontobel - PetroChina European Warrants Apr 2020 B	70,000,000	2019/10/29	2020/04/27	10.50
12488	Credit Suisse AG - CPIC European Warrants Mar 2020 A	70,000,000	2019/10/30	2020/03/26	10.50
12487	Credit Suisse AG - Geely Auto European Warrants Apr 2020 B	50,000,000	2019/10/30	2020/04/29	12.50
12490	Credit Suisse AG - HSI European Warrants Mar 2020 D	150,000,000	2019/10/30	2020/03/30	22.50
12496	Credit Suisse AG - Meituan European Put Warrants May 2020 B	100,000,000	2019/10/30	2020/05/29	25.00
12493	Credit Suisse AG - Tencent European Wts Apr 2020 A	250,000,000	2019/10/30	2020/04/17	37.50
12495	Credit Suisse AG - Tencent Euro Put Wts Jun 2020 A	200,000,000	2019/10/30	2020/06/22	30.00
12504	Goldman Sachs SP (Asia) - HKEX European Put Wts Mar 2020 C	100,000,000	2019/10/30	2020/03/30	15.00
12505	Goldman Sachs SP (Asia) - Meituan European Warrants Apr20 E	80,000,000	2019/10/30	2020/04/22	12.00
12468	HK Bank - AAC Tech European Warrants Sep 2020 B	100,000,000	2019/10/30	2020/09/30	15.00
12476	HK Bank - Tencent European Warrants Feb 2020 F	80,000,000	2019/10/30	2020/02/24	12.00
12472	HK Bank - Tencent European Warrants Mar 2020 D	80,000,000	2019/10/30	2020/03/26	12.00

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		發行單位	上市日期		
12483	J P Morgan SP BV - CNOOC European Warrants Dec 2020 A	80,000,000	2019/10/30	2020/12/22	12.16
12482	J P Morgan SP BV - Tencent European Warrants Feb 2020 H	250,000,000	2019/10/30	2020/02/28	42.25
12480	J P Morgan SP BV - Tencent European Warrants Apr 2020 D	250,000,000	2019/10/30	2020/04/01	39.50
12475	Macquarie Bank Ltd. - Ping An European Warrants Jul 2022 A	33,500,000	2019/10/30	2022/07/05	10.25
12478	UBS AG - ICBC European Warrants Apr 2020 A	60,000,000	2019/10/30	2020/04/29	15.06
12479	UBS AG - NCI European Warrants May 2020 A	70,000,000	2019/10/30	2020/05/11	17.50
12497	Bank Vontobel - China Res Land European Warrants May 2020 A	40,000,000	2019/10/30	2020/05/05	10.00
12501	Bank Vontobel - Geely Auto European Warrants May 2020 A	40,000,000	2019/10/30	2020/05/05	10.00
12499	Bank Vontobel - PICC GROUPEuropean Warrants May 2020 A	40,000,000	2019/10/30	2020/05/05	10.00
12532	BOCI Asia Ltd. - Bud Apac European Warrants Mar 2020 A	70,000,000	2019/10/31	2020/03/23	14.00
12533	BOCI Asia Ltd. - Bud Apac European Warrants Mar 2020 B	70,000,000	2019/10/31	2020/03/26	10.50
12529	BNP Paribas Issuance B.V. - Bud Apac European WarrantsApr20A	70,000,000	2019/10/31	2020/04/02	10.50
12530	BNP Paribas Issuance B.V. - Bud Apac European WarrantsMay20A	60,000,000	2019/10/31	2020/05/05	15.00
12545	Credit Suisse AG - Bud Apac European Warrants May 2020 A	80,000,000	2019/10/31	2020/05/04	20.00
12548	Credit Suisse AG - Bud Apac European Put Warrants May 2020 B	50,000,000	2019/10/31	2020/05/04	12.50
12539	Credit Suisse AG - CC Bank European Warrants May 2020 A	50,000,000	2019/10/31	2020/05/04	12.50
12543	Credit Suisse AG - HSBC European Wts Apr 2020 A	80,000,000	2019/10/31	2020/04/23	12.00
12544	Credit Suisse AG - HSBC European Put Warrants Mar 2020 D	80,000,000	2019/10/31	2020/03/24	12.00
12540	Credit Suisse AG - ICBC European Warrants May 2020 A	50,000,000	2019/10/31	2020/05/04	12.50
12542	Credit Suisse AG - SHK Ppt European Warrants Dec 2020 A	80,000,000	2019/10/31	2020/12/23	12.00
12559	Goldman Sachs SP (Asia) - China Tower Euro Wts Apr 2020 A	70,000,000	2019/10/31	2020/04/22	10.50
12558	Goldman Sachs SP (Asia) - SPDR Gold Trust Euro Wt Feb 2020 A	60,000,000	2019/10/31	2020/02/10	10.02
12516	HK Bank - Bud Apac European Warrants Mar 2020 A	80,000,000	2019/10/31	2020/03/24	12.00
12517	HK Bank - Bud Apac European Warrants Mar 2020 B	80,000,000	2019/10/31	2020/03/27	12.80
12518	HK Bank - China Tower European Warrants Apr 2020 A	80,000,000	2019/10/31	2020/04/22	12.00
12535	J P Morgan SP BV - Bank of China European Wts Aug 2020 A	100,000,000	2019/10/31	2020/08/24	16.70
12519	J P Morgan SP BV - Bud Apac European Warrants Mar 2020 A	80,000,000	2019/10/31	2020/03/31	17.60
12520	J P Morgan SP BV - Bud Apac European Warrants Mar 2020 B	80,000,000	2019/10/31	2020/03/26	12.32
12521	J P Morgan SP BV - Bud Apac European Warrants Mar 2020 C	80,000,000	2019/10/31	2020/03/23	12.00
12526	J P Morgan SP BV - Bud Apac European Put Warrants May 2020 A	80,000,000	2019/10/31	2020/05/04	20.16
12538	J P Morgan SP BV - China Shenhua European Wts Jun 2020 A	60,000,000	2019/10/31	2020/06/03	15.06
12536	J P Morgan SP BV - ICBC European Warrants May 2020 A	100,000,000	2019/10/31	2020/05/05	25.10
12509	Macquarie Bank Ltd. - China Railway European Wts Jul 2020 A	23,500,000	2019/10/31	2020/07/03	10.08
12506	Macquarie Bank Ltd. - Geely Auto European Wts May 2020 A	8,500,000	2019/10/31	2020/05/05	10.56
12549	SG Issuer - Agricultural Bank European Warrants Apr 2020 A	70,000,000	2019/10/31	2020/04/24	10.50
12553	SG Issuer - Bank of China European Warrants Aug 2020 A	70,000,000	2019/10/31	2020/08/24	10.50
12555	SG Issuer - ICBC European Warrants May 2020 A	40,000,000	2019/10/31	2020/05/04	10.00
12556	SG Issuer - PSBC European Warrants May 2020 A	40,000,000	2019/10/31	2020/05/04	10.00
12557	SG Issuer - Xiaomi European Warrants Jun 2020 A	70,000,000	2019/10/31	2020/06/23	10.50
12534	UBS AG - CC Bank European Warrants Apr 2020 B	50,000,000	2019/10/31	2020/04/23	11.95
11381*	Goldman Sachs SP (Asia) - Bud Apac European Warrants Apr20 A	90,000,000	2019/10/31	2020/04/02	10.44
12619	BNP Paribas Issuance B.V. - CSPC Pharma Euro Wts May 2020 A	40,000,000	2019/11/01	2020/05/05	10.00
12561	BNP Paribas Issuance B.V. - Tencent European Wts May 2020 D	80,000,000	2019/11/01	2020/05/05	20.00
12563	BNP Paribas Issuance B.V. - Tencent European Wts Jul 2020 B	80,000,000	2019/11/01	2020/07/03	20.00



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12618	Credit Suisse AG - Agricultural Bank European Wts Apr 2020 A	60,000,000	2019/11/01	2020/04/24	10.02
12625	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Feb 2020 A	80,000,000	2019/11/01	2020/02/27	12.00
12624	Goldman Sachs SP (Asia) - Tencent European Wts Apr 2020 B	150,000,000	2019/11/01	2020/04/17	22.50
12611	HK Bank - Ping An European Warrants Feb 2020 C	80,000,000	2019/11/01	2020/02/28	16.00
12616	HK Bank - Ping An European Warrants Mar 2020 D	80,000,000	2019/11/01	2020/03/26	12.00
12617	HK Bank - Xiaomi European Warrants Jun 2020 A	80,000,000	2019/11/01	2020/06/24	12.00
12607	HK Bank - Xiaomi European Warrants Dec 2020 A	100,000,000	2019/11/01	2020/12/24	15.00
12622	J P Morgan SP BV - Meituan European Warrants Jun 2020 B	200,000,000	2019/11/01	2020/06/15	32.40
12620	J P Morgan SP BV - Ping An European Warrants Feb 2020 E	200,000,000	2019/11/01	2020/02/04	31.00
12579	Macquarie Bank Ltd. - China Mobile European Wts May 2020 A	20,000,000	2019/11/01	2020/05/05	10.10
12581	Macquarie Bank Ltd. - China Mobile European Wts Jul 2020 A	40,000,000	2019/11/01	2020/07/03	10.12
12573	Macquarie Bank Ltd. - HSBC European Warrants May 2020 A	34,000,000	2019/11/01	2020/05/05	10.17
12575	Macquarie Bank Ltd. - HSBC European Put Warrants May 2020 B	25,000,000	2019/11/01	2020/05/05	10.03
12583	Macquarie Bank Ltd. - Ping An European Warrants May 2020 C	30,000,000	2019/11/01	2020/05/05	10.14
12588	Macquarie Bank Ltd. - Ping An European Warrants May 2020 D	18,500,000	2019/11/01	2020/05/05	10.21
12626	SG Issuer - Ping An European Warrants Mar 2020 F	200,000,000	2019/11/01	2020/03/24	30.00
12590	UBS AG - Bud Apac European Put Warrants May 2020 A	60,000,000	2019/11/01	2020/05/04	15.00
12591	UBS AG - Bud Apac European Put Warrants May 2020 B	40,000,000	2019/11/01	2020/05/29	10.68
12595	UBS AG - Meituan European Warrants Jun 2020 C	100,000,000	2019/11/01	2020/06/15	18.30
12601	UBS AG - Ping An European Warrants Feb 2020 B	40,000,000	2019/11/01	2020/02/24	11.04
12623	Bank Vontobel - Bud Apac European Warrants Mar 2020 A	40,000,000	2019/11/01	2020/03/27	11.60
12645	BOCI Asia Ltd. - HKEX European Put Warrants Mar 2020 D	200,000,000	2019/11/04	2020/03/30	30.00
12646	BOCI Asia Ltd. - Tencent European Warrants Feb 2020 G	200,000,000	2019/11/04	2020/02/28	44.00
12649	BOCI Asia Ltd. - Tencent European Warrants Mar 2020 H	200,000,000	2019/11/04	2020/03/26	32.00
12651	BOCI Asia Ltd. - Tencent European Put Wts Apr 2020 C	200,000,000	2019/11/04	2020/04/17	36.00
12628	BNP Paribas Issuance B.V. - Bosideng European Warrants May20A	20,000,000	2019/11/04	2020/05/05	11.20
12665	Credit Suisse AG - China Life European Put Wts Apr 2020 B	60,000,000	2019/11/04	2020/04/20	10.02
12676	Credit Suisse AG - Geely Auto European Put Wts May 2020 A	60,000,000	2019/11/04	2020/05/13	15.00
12672	Credit Suisse AG - ZA Online European Warrants May 2020 A	40,000,000	2019/11/04	2020/05/04	10.00
12692	Goldman Sachs SP (Asia) - Kingsoft European Wts Mar 2020 A	60,000,000	2019/11/04	2020/03/26	10.02
12693	Goldman Sachs SP (Asia) - ZA Online European Wts May 2020 A	50,000,000	2019/11/04	2020/05/04	12.50
12631	HK Bank - Geely Auto European Warrants Aug 2020 A	80,000,000	2019/11/04	2020/08/11	12.00
12630	HK Bank - HSI European Put Warrants Apr 2020 F	180,000,000	2019/11/04	2020/04/28	27.00
12632	HK Bank - Meituan Dianping European Put Warrants Apr 2020 C	80,000,000	2019/11/04	2020/04/03	13.60
12639	Haitong Int'l Sec - WH Group European Warrants Oct 2020 A	70,000,000	2019/11/04	2020/10/09	16.10
12654	J P Morgan SP BV - AIA European Put Warrants Feb 2020 D	100,000,000	2019/11/04	2020/02/28	19.20
12652	J P Morgan SP BV - Bud Apac European Warrants Mar 2020 D	80,000,000	2019/11/04	2020/03/24	20.24
12653	J P Morgan SP BV - Bud Apac European Put Warrants Jun 2020 A	80,000,000	2019/11/04	2020/06/02	20.40
12657	J P Morgan SP BV - CSPC Pharma European Warrants Jul 2020 B	60,000,000	2019/11/04	2020/07/03	15.12
12661	J P Morgan SP BV - Geely Auto European Warrants May 2020 A	60,000,000	2019/11/04	2020/05/04	15.06
12663	J P Morgan SP BV - Greatwall Motor European Wts May 2020 A	40,000,000	2019/11/04	2020/05/04	17.40
12656	J P Morgan SP BV - WH Group European Warrants Jun 2020 A	60,000,000	2019/11/04	2020/06/22	11.28
12664	J P Morgan SP BV - ZA Online European Warrants May 2020 A	60,000,000	2019/11/04	2020/05/04	15.18
12678	SG Issuer - HSI European Put Warrants Apr 2020 J	300,000,000	2019/11/04	2020/04/28	45.00

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12684	SG Issuer - HSI European Put Warrants Apr 2020 K	300,000,000	2019/11/04	2020/04/28	45.00
12686	SG Issuer - ZTE European Warrants Mar 2020 A	50,000,000	2019/11/04	2020/03/16	10.25
12638	UBS AG - AAC Tech European Warrants Mar 2020 A	40,000,000	2019/11/04	2020/03/26	12.00
12634	UBS AG - Lenovo European Warrants May 2020 A	50,000,000	2019/11/04	2020/05/11	14.80
12687	Bank Vontobel - Tencent European Warrants May 2020 D	100,000,000	2019/11/04	2020/05/04	31.00
12689	Bank Vontobel - Tencent European Put Warrants Apr 2020 F	100,000,000	2019/11/04	2020/04/17	17.00
12749	Credit Suisse AG - Bud Apac European Put Warrants May 2020 C	50,000,000	2019/11/05	2020/05/29	12.50
12746	Credit Suisse AG - CSPC Pharma European Warrants Jul 2020 A	50,000,000	2019/11/05	2020/07/31	12.50
12739	Credit Suisse AG - Geely Auto European Warrants Jul 2020 B	80,000,000	2019/11/05	2020/07/28	12.00
12738	Credit Suisse AG - HSI European Put Warrants Apr 2020 A	150,000,000	2019/11/05	2020/04/28	22.50
12752	Goldman Sachs SP (Asia) - AIA European Put Wts Feb 2020 C	80,000,000	2019/11/05	2020/02/25	12.00
12751	Goldman Sachs SP (Asia) - ICBC European Warrants Feb 2020 B	80,000,000	2019/11/05	2020/02/05	12.00
12750	Goldman Sachs SP (Asia) - Meituan European Put Wts Apr2020 F	70,000,000	2019/11/05	2020/04/03	10.50
12714	HK Bank - Bud Apac European Warrants Apr 2020 A	80,000,000	2019/11/05	2020/04/01	12.00
12734	J P Morgan SP BV - HSBC European Warrants Apr 2020 A	100,000,000	2019/11/05	2020/04/23	15.40
12737	J P Morgan SP BV - HSI European Warrants Mar 2020 T	300,000,000	2019/11/05	2020/03/30	46.80
12702	Macquarie Bank Ltd. - AIA European Warrants Jul 2020 A	30,000,000	2019/11/05	2020/07/03	13.20
12705	Macquarie Bank Ltd. - CC Bank European Warrants Jul 2020 B	40,000,000	2019/11/05	2020/07/03	10.20
12706	Macquarie Bank Ltd. - CITIC Sec European Warrants Aug 2020 A	40,000,000	2019/11/05	2020/08/04	10.04
12707	Macquarie Bank Ltd. - Hua Hong Semi Euro Warrants Dec 2020 A	37,000,000	2019/11/05	2020/12/16	10.03
12712	Macquarie Bank Ltd. - Lenovo European Warrants Jul 2020 A	28,200,000	2019/11/05	2020/07/03	10.01
12713	Macquarie Bank Ltd. - PSBC European Warrants Sep 2020 A	40,000,000	2019/11/05	2020/09/02	10.04
12700	Macquarie Bank Ltd. - Sands China Ltd European Wts Jul2020 A	40,000,000	2019/11/05	2020/07/03	10.16
12699	Macquarie Bank Ltd. - Wynn Macau European Wts Oct 2020 A	40,000,000	2019/11/05	2020/10/06	11.08
12715	SG Issuer - Anta Sports European Warrants Oct 2020 A	40,000,000	2019/11/05	2020/10/30	10.00
12718	SG Issuer - CSPC Pharma European Put Warrants Jun 2020 B	40,000,000	2019/11/05	2020/06/30	10.00
12719	SG Issuer - Galaxy Ent European Put Warrants Feb 2020 A	70,000,000	2019/11/05	2020/02/27	10.50
12720	SG Issuer - Haitong Sec European Warrants Jul 2020 A	40,000,000	2019/11/05	2020/07/31	10.00
12723	SG Issuer - Kingsoft European Warrants May 2020 A	40,000,000	2019/11/05	2020/05/04	10.00
12725	SG Issuer - WH Group European Warrants Jul 2020 A	40,000,000	2019/11/05	2020/07/31	10.00
12731	UBS AG - AIA European Warrants Feb 2020 B	100,000,000	2019/11/05	2020/02/25	15.10
12728	UBS AG - HSI European Warrants Feb 2020 G	300,000,000	2019/11/05	2020/02/27	45.00
12727	UBS AG - Ping An European Warrants Feb 2020 C	100,000,000	2019/11/05	2020/02/04	15.00
12732	UBS AG - Tencent European Warrants Feb 2020 C	300,000,000	2019/11/05	2020/02/25	45.00
12759	BOCI Asia Ltd. - AIA European Put Warrants Feb 2020 C	70,000,000	2019/11/06	2020/02/25	10.50
12757	BOCI Asia Ltd. - HSBC European Warrants Jun 2020 A	70,000,000	2019/11/06	2020/06/24	11.20
12758	BOCI Asia Ltd. - Meituan European Warrants Jun 2020 B	100,000,000	2019/11/06	2020/06/26	17.00
12754	BNP Paribas Issuance B.V. - HSI European Wts Mar 2020 C	70,000,000	2019/11/06	2020/03/30	10.50
12753	BNP Paribas Issuance B.V. - HSI Euro Put Wts Apr 2020 D	70,000,000	2019/11/06	2020/04/28	10.50
12764	Credit Suisse AG - Angang Steel European Warrants May 2020 A	30,000,000	2019/11/06	2020/05/22	10.50
12763	Credit Suisse AG - CSPC Pharma European Put Warrants May20 A	60,000,000	2019/11/06	2020/05/05	15.00
12777	Goldman Sachs SP (Asia) - CKH Holdings European Wts Feb20 A	70,000,000	2019/11/06	2020/02/25	10.50
12776	Goldman Sachs SP (Asia) - Geely Auto European Wts May 2020 A	50,000,000	2019/11/06	2020/05/06	12.50
12773	J P Morgan SP BV - BYD Company European Warrants Apr 2020 A	60,000,000	2019/11/06	2020/04/20	16.68

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12769	J P Morgan SP BV - China Life European Warrants Dec 2020 A	100,000,000	2019/11/06	2020/12/02	25.50
12775	J P Morgan SP BV - China Tower European Warrants Apr 2020 A	70,000,000	2019/11/06	2020/04/22	10.57
12772	J P Morgan SP BV - Meituan European Warrants Apr 2020 D	100,000,000	2019/11/06	2020/04/07	28.60
12768	J P Morgan SP BV - WuXi Bio European Warrants Mar 2020 A	70,000,000	2019/11/06	2020/03/10	10.57
12756	Macquarie Bank Ltd. - Tencent European Put Wts May 2020 I	40,000,000	2019/11/06	2020/05/26	11.76
12760	UBS AG - HKEX European Warrants May 2020 A	300,000,000	2019/11/06	2020/05/26	45.00
12762	UBS AG - Tencent European Put Warrants Apr 2020 A	300,000,000	2019/11/06	2020/04/17	45.90
22210#	HK Bank - HSI European Put Warrants Dec 2019 H	280,000,000	2019/11/06	2019/12/30	10.08
22218#	HK Bank - HSI European Put Warrants Feb 2020 C	170,000,000	2019/11/06	2020/02/27	10.37
12793	BOCI Asia Ltd. - Geely Auto European Warrants Apr 2020 B	70,000,000	2019/11/07	2020/04/22	17.50
12784	BNP Paribas Issuance B.V. - A-Living European Wts Jul 2020 A	50,000,000	2019/11/07	2020/07/03	20.00
12779	BNP Paribas Issuance B.V. - Anta Sports Euro Wt Aug 2020 A	60,000,000	2019/11/07	2020/08/04	15.00
12783	BNP Paribas Issuance B.V. - CS Services European Wts Jul20 A	50,000,000	2019/11/07	2020/07/03	17.00
12813	Credit Suisse AG - NCI European Warrants May 2020 A	40,000,000	2019/11/07	2020/05/06	10.00
12814	Credit Suisse AG - Sunny Optical European Put Wts May 2020 A	50,000,000	2019/11/07	2020/05/06	12.50
12817	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2020 A	150,000,000	2019/11/07	2020/04/28	22.50
12818	Goldman Sachs SP (Asia) - Meituan European Warrants Jun20 B	80,000,000	2019/11/07	2020/06/26	12.00
12789	HK Bank - Sunny Optical European Warrants Jul 2020 B	100,000,000	2019/11/07	2020/07/31	25.00
12778	HK Bank - Sunny Optical European Put Warrants Dec 2020 A	100,000,000	2019/11/07	2020/12/31	25.00
12810	J P Morgan SP BV - CAM CSI300 European Warrants May 2020 A	80,000,000	2019/11/07	2020/05/29	16.48
12811	J P Morgan SP BV - Country Garden European Wts Nov 2020 A	60,000,000	2019/11/07	2020/11/02	15.24
12807	J P Morgan SP BV - Hua Hong Semi European Warrants Apr2020 A	70,000,000	2019/11/07	2020/04/08	10.50
12800	Macquarie Bank Ltd. - ASM Pacific European Wts Jul 2021 A	40,000,000	2019/11/07	2021/07/05	11.00
12798	Macquarie Bank Ltd. - BYD Electronic European Wts Jul 2020 A	40,000,000	2019/11/07	2020/07/03	10.04
12805	Macquarie Bank Ltd. - CSPC Pharma European Wts Jun 2020 A	40,000,000	2019/11/07	2020/06/02	10.00
12799	Macquarie Bank Ltd. - Guangdong Inv European Warrants Jan21A	40,000,000	2019/11/07	2021/01/05	10.64
12801	Macquarie Bank Ltd. - Haidilao European Warrants Jun 2020 A	35,000,000	2019/11/07	2020/06/16	10.01
12794	Macquarie Bank Ltd. - MTRC European Warrants Jul 2020 B	40,000,000	2019/11/07	2020/07/03	10.48
12803	Macquarie Bank Ltd. - Shimao Ppt European Wts Jan 2021 A	20,000,000	2019/11/07	2021/01/05	11.02
12795	Macquarie Bank Ltd. - Shenzhen Int'l European Wts Oct 2020 A	40,000,000	2019/11/07	2020/10/06	10.00
12821	SG Issuer - AAC Tech European Put Warrants May 2020 A	25,000,000	2019/11/07	2020/05/06	10.50
12819	SG Issuer - China Life European Put Warrants Apr 2020 B	50,000,000	2019/11/07	2020/04/24	10.00
12823	SG Issuer - Hang Seng Bank European Put Warrants May 2020 A	40,000,000	2019/11/07	2020/05/06	10.00
12825	SG Issuer - Sunny Optical European Warrants May 2020 A	40,000,000	2019/11/07	2020/05/06	10.00
12820	SG Issuer - Sunny Optical European Put Warrants Mar 2020 C	40,000,000	2019/11/07	2020/03/31	11.40
12826	SG Issuer - Tencent European Warrants Mar 2020 H	150,000,000	2019/11/07	2020/03/26	22.50
12806	UBS AG - Tencent European Warrants Mar 2020 A	300,000,000	2019/11/07	2020/03/26	45.00
12815	Bank Vontobel - CSPC Pharma European Warrants Jun 2020 A	40,000,000	2019/11/07	2020/06/02	10.00
12816	Bank Vontobel - CSPC Pharma European Put Warrants Jun 2020 B	40,000,000	2019/11/07	2020/06/03	10.00
12835	Credit Suisse AG - AAC Tech European Warrants May 2020 B	30,000,000	2019/11/08	2020/05/07	10.50
12833	Credit Suisse AG - HSI European Warrants Feb 2020 G	150,000,000	2019/11/08	2020/02/27	22.50
12829	Credit Suisse AG - WuXi Bio European Warrants Jul 2020 B	40,000,000	2019/11/08	2020/07/31	10.00
12834	Credit Suisse AG - ZTE European Warrants May 2020 A	40,000,000	2019/11/08	2020/05/29	10.00
12847	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts Mar 2020 C	60,000,000	2019/11/08	2020/03/24	10.02

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12848	Goldman Sachs SP (Asia) - Tencent European Put Wt Apr 2020 C	100,000,000	2019/11/08	2020/04/17	15.00
12838	Haitong Int'l Sec - CSPC Pharma European Warrants May 2020 A	70,000,000	2019/11/08	2020/05/15	17.50
12839	Haitong Int'l Sec - Meituan European Warrants May 2020 A	70,000,000	2019/11/08	2020/05/22	11.20
12842	J P Morgan SP BV - China Telecom European Wts Apr 2020 A	70,000,000	2019/11/08	2020/04/01	10.50
12843	J P Morgan SP BV - Evergrande European Warrants Apr 2020 A	68,000,000	2019/11/08	2020/04/01	10.20
12840	J P Morgan SP BV - Sunny Optical European Wts Jul 2020 B	100,000,000	2019/11/08	2020/07/02	25.10
12827	Macquarie Bank Ltd. - Sunny Optical European Wts Jun 2020 A	35,000,000	2019/11/08	2020/06/02	10.12
12828	UBS AG - Sunny Optical European Put Warrants Mar 2020 D	100,000,000	2019/11/08	2020/03/05	15.00
12844	Bank Vontobel - Sunny Optical European Warrants Jun 2020 A	40,000,000	2019/11/08	2020/06/05	10.40
12845	Bank Vontobel - Sunny Optical European Put Warrants Jun20 B	40,000,000	2019/11/08	2020/06/08	10.40
12851	BOCI Asia Ltd. - CSPC Pharma European Warrants Jun 2020 A	100,000,000	2019/11/11	2020/06/30	26.00
12852	BOCI Asia Ltd. - Geely Auto European Warrants Jul 2020 A	100,000,000	2019/11/11	2020/07/28	15.00
12918	BNP Paribas Issuance B.V. - Sunny Optical Eu Wt Jul 2020 A	40,000,000	2019/11/11	2020/07/03	11.60
12893	Credit Suisse AG - AIA European Put Warrants Mar 2020 A	50,000,000	2019/11/11	2020/03/31	10.00
12895	Credit Suisse AG - China EB Ltd European Warrants May 2020 B	40,000,000	2019/11/11	2020/05/11	10.00
12891	Credit Suisse AG - HSI European Put Warrants Apr 2020 B	150,000,000	2019/11/11	2020/04/28	22.50
12896	Credit Suisse AG - Tencent Euro Put Wts Apr 2020 B	200,000,000	2019/11/11	2020/04/17	30.00
12919	Goldman Sachs SP (Asia) - CSPC Pharma Euro Wts May 2020 A	70,000,000	2019/11/11	2020/05/11	17.50
12920	Goldman Sachs SP (Asia) - HSBC European Warrants Apr 2020 A	80,000,000	2019/11/11	2020/04/23	12.00
12857	HK Bank - HSI European Put Warrants May 2020 D	180,000,000	2019/11/11	2020/05/28	45.00
12884	Haitong Int'l Sec - AAC Tech European Warrants Mar 2020 A	40,000,000	2019/11/11	2020/03/26	15.60
12885	Haitong Int'l Sec - ZTE European Warrants May 2020 A	60,000,000	2019/11/11	2020/05/11	15.00
12866	J P Morgan SP BV - Country Garden European Put Wt Apr 2020 B	70,000,000	2019/11/11	2020/04/03	10.57
12878	J P Morgan SP BV - CITIC Sec European Warrants Apr 2020 A	70,000,000	2019/11/11	2020/04/03	10.71
12881	J P Morgan SP BV - CSPC Pharma European Warrants Aug 2020 A	60,000,000	2019/11/11	2020/08/04	15.18
12865	J P Morgan SP BV - CSPC Pharma European Put Warrants Sep20 A	60,000,000	2019/11/11	2020/09/03	15.12
12869	J P Morgan SP BV - HSCEI European Wts Oct 2020 A	400,000,000	2019/11/11	2020/10/29	100.40
12859	J P Morgan SP BV - HSI European Put Warrants May 2020 A	400,000,000	2019/11/11	2020/05/28	100.40
12862	J P Morgan SP BV - HSI European Put Warrants May 2020 B	400,000,000	2019/11/11	2020/05/28	100.40
12874	J P Morgan SP BV - ND Paper European Warrants Apr 2020 B	70,000,000	2019/11/11	2020/04/02	10.57
12879	J P Morgan SP BV - Ping An European Put Warrants May 2020 A	200,000,000	2019/11/11	2020/05/28	52.60
12871	J P Morgan SP BV - SUNAC European Put Warrants May 2020 A	60,000,000	2019/11/11	2020/05/29	15.18
12873	J P Morgan SP BV - ZTE European Warrants Jul 2020 A	60,000,000	2019/11/11	2020/07/03	15.24
12850	Macquarie Bank Ltd. - CSPC Pharma European Wts Mar 2021 A	40,000,000	2019/11/11	2021/03/02	10.04
12849	Macquarie Bank Ltd. - Sino Biopharm European Wts Jul 2021 A	40,000,000	2019/11/11	2021/07/16	10.00
12910	SG Issuer - BYD Electronic European Warrants Jul 2020 A	50,000,000	2019/11/11	2020/07/31	12.50
12914	SG Issuer - CM Bank European Warrants May 2020 A	40,000,000	2019/11/11	2020/05/29	10.00
12915	SG Issuer - CSPC Pharma European Warrants May 2020 A	60,000,000	2019/11/11	2020/05/27	15.00
12903	SG Issuer - Geely Auto European Put Warrants May 2020 B	70,000,000	2019/11/11	2020/05/06	11.55
12906	SG Issuer - Tencent European Put Warrants Apr 2020 A	100,000,000	2019/11/11	2020/04/17	15.00
12917	SG Issuer - ZTE European Warrants May 2020 A	40,000,000	2019/11/11	2020/05/11	10.20
12901	Bank Vontobel - Galaxy Ent European Put Warrants Jun 2020 A	30,000,000	2019/11/11	2020/06/01	13.20
12902	Bank Vontobel - Kingsoft European Warrants Jun 2020 A	40,000,000	2019/11/11	2020/06/26	10.00
12934	BOCI Asia Ltd. - Tencent European Put Wts Mar 2020 I	200,000,000	2019/11/12	2020/03/18	50.00

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12921	BNP Paribas Issuance B.V. - AAC Tech Euro Wts Oct 2020 A	70,000,000	2019/11/12	2020/10/06	10.50
12929	BNP Paribas Issuance B.V. - HKEX European Wts Jun 2020 B	40,000,000	2019/11/12	2020/06/02	10.00
12925	BNP Paribas Issuance B.V. - Tencent European Wts Jun 2020 A	80,000,000	2019/11/12	2020/06/02	20.00
12927	BNP Paribas Issuance B.V. - Tencent European Wts Oct 2020 A	80,000,000	2019/11/12	2020/10/06	21.60
12948	Credit Suisse AG - BYD Electronic European Wts Jul 2020 A	50,000,000	2019/11/12	2020/07/31	12.50
12956	Credit Suisse AG - CM Bank European Warrants May 2020 A	50,000,000	2019/11/12	2020/05/11	12.50
12957	Credit Suisse AG - CSPC Pharma European Warrants Jul 2020 B	50,000,000	2019/11/12	2020/07/31	12.50
12951	Credit Suisse AG - Maanshan Iron European Warrants May2020 A	35,000,000	2019/11/12	2020/05/11	10.15
12955	Credit Suisse AG - Meituan European Warrants May 2020 C	40,000,000	2019/11/12	2020/05/11	10.00
12950	Credit Suisse AG - SUNAC European Put Warrants May 2020 A	40,000,000	2019/11/12	2020/05/11	10.00
12949	Credit Suisse AG - Sunny Optical European Warrants May2020 B	60,000,000	2019/11/12	2020/05/11	15.00
12988	Goldman Sachs SP (Asia) - HSI European Put Wts Apr 2020 B	150,000,000	2019/11/12	2020/04/28	22.50
12990	Goldman Sachs SP (Asia) - Meituan European Warrants Dec20 A	70,000,000	2019/11/12	2020/12/23	10.78
12937	HK Bank - CNOOC European Warrants Dec 2020 A	100,000,000	2019/11/12	2020/12/22	15.00
12936	HK Bank - CSPC Pharma European Warrants Jun 2020 B	100,000,000	2019/11/12	2020/06/30	25.00
12942	HK Bank - HSI European Warrants Jun 2020 E	200,000,000	2019/11/12	2020/06/29	50.00
12940	HK Bank - Sino Biopharm European Warrants May 2020 A	100,000,000	2019/11/12	2020/05/22	15.00
12939	HK Bank - Tencent European Put Warrants Apr 2020 B	100,000,000	2019/11/12	2020/04/17	15.00
12991	Haitong Int'l Sec - Tencent European Warrants Mar 2020 F	100,000,000	2019/11/12	2020/03/26	17.00
12973	J P Morgan SP BV - AAC Tech European Put Warrants May 2020 A	70,000,000	2019/11/12	2020/05/26	10.57
12959	J P Morgan SP BV - Air China European Warrants May 2020 A	70,000,000	2019/11/12	2020/05/22	10.85
12975	J P Morgan SP BV - CITIC European Warrants Mar 2020 A	35,000,000	2019/11/12	2020/03/11	10.40
12958	J P Morgan SP BV - CM Bank European Warrants Mar 2020 A	70,000,000	2019/11/12	2020/03/09	11.13
12963	J P Morgan SP BV - Galaxy Ent European Warrants Apr 2020 B	70,000,000	2019/11/12	2020/04/29	17.29
12972	J P Morgan SP BV - HSI European Warrants May 2020 C	400,000,000	2019/11/12	2020/05/28	102.00
12964	J P Morgan SP BV - Haitong Sec European Warrants Jul 2020 A	70,000,000	2019/11/12	2020/07/10	11.62
12967	J P Morgan SP BV - Tencent European Warrants Mar 2020 E	150,000,000	2019/11/12	2020/03/02	28.95
12971	J P Morgan SP BV - Tencent European Warrants Apr 2020 E	150,000,000	2019/11/12	2020/04/24	22.95
12930	Macquarie Bank Ltd. - Anta Sports European Wts Sep 2020 A	40,000,000	2019/11/12	2020/09/02	10.16
12976	Morgan Stanley Asia Products Ltd. - China Life Eu Wts Sep20A	88,000,000	2019/11/12	2020/09/15	22.00
12978	Morgan Stanley Asia Products Ltd. - Ch Life Eu Put Wt Jun20A	88,000,000	2019/11/12	2020/06/18	22.88
12981	Morgan Stanley Asia Products Ltd. - HKEX European Wts Jun20A	80,000,000	2019/11/12	2020/06/12	20.00
12982	Morgan Stanley Asia Products Ltd. - HKEX Eu Put Wts Dec20 A	80,000,000	2019/11/12	2020/12/02	20.00
12983	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Jun20 A	80,000,000	2019/11/12	2020/06/01	20.00
12987	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Oct20 A	88,000,000	2019/11/12	2020/10/16	22.00
12986	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Nov20 A	80,000,000	2019/11/12	2020/11/18	20.00
12979	Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Jun20 A	158,000,000	2019/11/12	2020/06/04	39.50
12980	Morgan Stanley Asia Products Ltd. - Tencent Eu Put Wt Jul20A	158,000,000	2019/11/12	2020/07/02	41.08
13006	SG Issuer - CITIC Sec European Warrants Mar 2020 B	60,000,000	2019/11/12	2020/03/31	10.20
13000	SG Issuer - China Life European Warrants May 2020 A	60,000,000	2019/11/12	2020/05/29	15.00
13001	SG Issuer - Ch Molybdenum European Warrants Apr 2020 A	50,000,000	2019/11/12	2020/04/09	10.00
13008	SG Issuer - Lenovo European Warrants Mar 2020 A	50,000,000	2019/11/12	2020/03/24	11.50
13010	SG Issuer - Meituan European Warrants Apr 2020 C	25,000,000	2019/11/12	2020/04/07	10.50
13015	SG Issuer - Ping An European Warrants Mar 2020 G	70,000,000	2019/11/12	2020/03/26	10.50



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12943	UBS AG - AIA European Put Warrants Mar 2020 B	100,000,000	2019/11/12	2020/03/24	20.10
12947	UBS AG - HSI European Warrants Apr 2020 A	300,000,000	2019/11/12	2020/04/28	45.30
13060	Credit Suisse AG - BBMG European Warrants May 2020 A	50,000,000	2019/11/13	2020/05/11	10.00
13059	Credit Suisse AG - China Cinda European Warrants May 2020 A	40,000,000	2019/11/13	2020/05/29	10.00
13058	Credit Suisse AG - GAC European Warrants Sep 2020 A	40,000,000	2019/11/13	2020/09/30	10.00
13062	Credit Suisse AG - Hua Hong Semi European Wts May 2020 A	40,000,000	2019/11/13	2020/05/12	10.00
13055	Credit Suisse AG - Ping An European Warrants Mar 2020 C	150,000,000	2019/11/13	2020/03/31	22.50
13063	Credit Suisse AG - SJM Holdings European Warrants Aug 2020 A	50,000,000	2019/11/13	2020/08/31	12.50
13056	Credit Suisse AG - WH Group European Warrants Jul 2020 A	40,000,000	2019/11/13	2020/07/31	10.00
13075	Goldman Sachs SP (Asia) - AIA European Put Wts Feb 2020 D	80,000,000	2019/11/13	2020/02/25	12.00
13074	Goldman Sachs SP (Asia) - China Life Euro Put Wts Apr 2020 B	70,000,000	2019/11/13	2020/04/24	10.50
13032	Haitong Int'l Sec - HSI European Warrants Mar 2020 R	250,000,000	2019/11/13	2020/03/30	42.50
13038	Haitong Int'l Sec - HSI European Warrants Apr 2020 Q	200,000,000	2019/11/13	2020/04/28	32.00
13033	Haitong Int'l Sec - HSI European Put Warrants Apr 2020 P	250,000,000	2019/11/13	2020/04/28	37.50
13049	J P Morgan SP BV - Agricultural Bank Euro Wts Mar 2020 B	70,000,000	2019/11/13	2020/03/30	10.57
13043	J P Morgan SP BV - A-Living European Warrants Jul 2020 A	60,000,000	2019/11/13	2020/07/02	24.90
13048	J P Morgan SP BV - CITIC Bank European Warrants Aug 2020 A	50,000,000	2019/11/13	2020/08/03	12.55
13040	J P Morgan SP BV - Ch Molybdenum European Wts Apr 2020 A	60,000,000	2019/11/13	2020/04/17	14.04
13044	J P Morgan SP BV - KB Laminates European Warrants May 2020 A	60,000,000	2019/11/13	2020/05/15	15.36
13045	J P Morgan SP BV - Lenovo European Warrants May 2020 A	60,000,000	2019/11/13	2020/05/12	16.14
13046	J P Morgan SP BV - Shimao Property European Wts Jun 2020 A	60,000,000	2019/11/13	2020/06/01	16.44
13039	J P Morgan SP BV - Tsingtao Brew European Warrants May 2020A	60,000,000	2019/11/13	2020/05/15	21.78
13017	Macquarie Bank Ltd. - Conch Cement European Wts Jul 2020 A	30,000,000	2019/11/13	2020/07/03	10.26
13026	Macquarie Bank Ltd. - AIA European Warrants Jan 2022 A	40,000,000	2019/11/13	2022/01/04	10.12
13018	Macquarie Bank Ltd. - CGN Power European Warrants Jun 2021 A	24,000,000	2019/11/13	2021/06/02	10.03
13030	Macquarie Bank Ltd. - CSPC Pharma European Wts Dec 2021 A	24,000,000	2019/11/13	2021/12/02	10.01
13019	Macquarie Bank Ltd. - HKEX European Warrants Jan 2022 A	40,000,000	2019/11/13	2022/01/04	11.40
13028	Macquarie Bank Ltd. - Meituan European Warrants Jul 2020 B	56,000,000	2019/11/13	2020/07/03	10.36
13065	SG Issuer - Conch Cement European Warrants May 2020 A	30,000,000	2019/11/13	2020/05/29	10.20
13066	SG Issuer - China Comm Cons European Warrants Feb 2020 A	40,000,000	2019/11/13	2020/02/28	10.60
13069	SG Issuer - Country Garden European Warrants Jun 2020 A	40,000,000	2019/11/13	2020/06/30	10.00
13067	SG Issuer - China Telecom European Warrants Jun 2020 A	70,000,000	2019/11/13	2020/06/30	10.50
13073	SG Issuer - Meituan European Warrants May 2020 A	80,000,000	2019/11/13	2020/05/22	13.60
13071	SG Issuer - Tencent European Put Warrants Mar 2020 I	100,000,000	2019/11/13	2020/03/18	22.00
13072	SG Issuer - ZA Online European Warrants Apr 2020 C	50,000,000	2019/11/13	2020/04/23	10.00
13031	UBS AG - Tencent European Warrants Mar 2020 B	300,000,000	2019/11/13	2020/03/18	45.00
13053	Bank Vontobel - China Life European Warrants Jul 2020 A	40,000,000	2019/11/13	2020/07/06	10.00
13050	Bank Vontobel - Meituan European Warrants Aug 2020 A	40,000,000	2019/11/13	2020/08/04	10.00
19300*	Macquarie Bank Ltd. - China Tower European Warrants Jun 21 A	40,000,000	2019/11/13	2021/06/02	10.60
13095	Credit Suisse AG - A-Living European Warrants May 2020 A	40,000,000	2019/11/14	2020/05/13	10.00
13098	Credit Suisse AG - COSCO Sh Hold European Warrants May2020 A	40,000,000	2019/11/14	2020/05/13	10.00
13094	Credit Suisse AG - CSPC Pharma European Put Warrants May20 B	60,000,000	2019/11/14	2020/05/13	15.00
13096	Credit Suisse AG - Lenovo European Warrants Mar 2020 B	40,000,000	2019/11/14	2020/03/24	10.00
13122	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2020 B	100,000,000	2019/11/14	2020/03/26	15.00

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13123	Goldman Sachs SP (Asia) - PSBC European Warrants May 2020 A	40,000,000	2019/11/14	2020/05/15	10.00
13091	J P Morgan SP BV - BOC HK European Warrants May 2020 A	80,000,000	2019/11/14	2020/05/04	16.24
13090	J P Morgan SP BV - CC Bank European Wts Apr 2020 A	100,000,000	2019/11/14	2020/04/23	16.20
13093	J P Morgan SP BV - CSOP A50 ETF European Warrants Jun 2020 B	60,000,000	2019/11/14	2020/06/01	15.24
13092	J P Morgan SP BV - A50 China European Warrants Jun 2020 B	60,000,000	2019/11/14	2020/06/01	16.74
13085	J P Morgan SP BV - Genscript Bio European Warrants Jul2021 A	200,000,000	2019/11/14	2021/07/09	30.00
13086	J P Morgan SP BV - Ping An European Warrants Mar 2020 G	200,000,000	2019/11/14	2020/03/31	30.60
13080	Macquarie Bank Ltd. - Melco Int'l Dev Euro Wts Oct 2020 A	37,000,000	2019/11/14	2020/10/06	10.10
13083	Macquarie Bank Ltd. - Zhongsheng Hold European Wts Oct2020 A	34,000,000	2019/11/14	2020/10/16	10.03
13118	SG Issuer - HSBC European Warrants May 2020 A	60,000,000	2019/11/14	2020/05/29	15.00
13079	UBS AG - HKEX European Warrants Jun 2020 B	300,000,000	2019/11/14	2020/06/23	45.00
13076	UBS AG - Ping An European Warrants Mar 2020 E	100,000,000	2019/11/14	2020/03/26	15.10
13108	Bank Vontobel - AAC Tech European Warrants Sep 2020 B	70,000,000	2019/11/14	2020/09/25	10.50
13101	Bank Vontobel - BYD Company European Warrants Jun 2020 A	40,000,000	2019/11/14	2020/06/26	10.00
13106	Bank Vontobel - Galaxy Ent European Warrants Jun 2020 B	30,000,000	2019/11/14	2020/06/01	10.80
13109	Bank Vontobel - HK & China Gas European Warrants Sep 2020 A	50,000,000	2019/11/14	2020/09/28	11.00
13107	Bank Vontobel - ICBC European Warrants Jun 2020 A	40,000,000	2019/11/14	2020/06/01	11.20
13099	Bank Vontobel - Kunlun Energy European Warrants Jun 2020 A	30,000,000	2019/11/14	2020/06/26	13.50
13128	BNP Paribas Issuance B.V. - Bosideng European Warrants Jun20A	30,000,000	2019/11/15	2020/06/02	12.30
13126	BNP Paribas Issuance B.V. - CSPC Pharma Euro Wts Jul 2020 A	40,000,000	2019/11/15	2020/07/03	10.00
13169	Credit Suisse AG - Conch Cement European Warrants May 2020 A	40,000,000	2019/11/15	2020/05/14	10.00
13175	Credit Suisse AG - Air China European Warrants Sep 2020 A	50,000,000	2019/11/15	2020/09/30	12.50
13164	Credit Suisse AG - Angang Steel European Warrants May 2020 B	40,000,000	2019/11/15	2020/05/14	10.00
13172	Credit Suisse AG - China Com Services European Wts May2020 A	40,000,000	2019/11/15	2020/05/14	10.00
13166	Credit Suisse AG - IGG European Warrants Dec 2020 A	40,000,000	2019/11/15	2020/12/31	10.00
13165	Credit Suisse AG - Meitu European Warrants May 2020 A	40,000,000	2019/11/15	2020/05/14	10.00
13174	Credit Suisse AG - Meituan European Warrants Apr 2020 J	40,000,000	2019/11/15	2020/04/24	10.00
13173	Credit Suisse AG - Meituan European Warrants May 2020 D	40,000,000	2019/11/15	2020/05/14	26.00
13167	Credit Suisse AG - Zhongsheng Hldg European Wts May 2020 A	40,000,000	2019/11/15	2020/05/14	10.00
13198	Goldman Sachs SP (Asia) - HKEX European Warrants Apr 2020 B	150,000,000	2019/11/15	2020/04/29	22.50
13200	Goldman Sachs SP (Asia) - Ping An Euro Put Wts Mar 2020 C	80,000,000	2019/11/15	2020/03/26	12.96
13199	Goldman Sachs SP (Asia) - Tencent European Wts Apr 2020 D	150,000,000	2019/11/15	2020/04/17	22.50
13153	HK Bank - China Life European Warrants Dec 2020 A	100,000,000	2019/11/15	2020/12/21	15.00
13151	HK Bank - CSPC Pharma European Warrants Feb 2020 B	100,000,000	2019/11/15	2020/02/27	70.00
13147	HK Bank - CSPC Pharma European Warrants Mar 2020 A	100,000,000	2019/11/15	2020/03/06	60.00
13138	HK Bank - Lenovo European Warrants Aug 2021 A	100,000,000	2019/11/15	2021/08/26	15.00
13181	J P Morgan SP BV - AAC Tech European Warrants Sep 2020 A	100,000,000	2019/11/15	2020/09/30	15.00
13197	J P Morgan SP BV - Chinasoft Int'l European Warrants May20 A	36,000,000	2019/11/15	2020/05/15	10.84
13179	J P Morgan SP BV - A50 China European Put Wts Dec 2020 A	100,000,000	2019/11/15	2020/12/01	25.30
13177	J P Morgan SP BV - GAC European Warrants Nov 2020 A	70,000,000	2019/11/15	2020/11/30	10.64
13186	J P Morgan SP BV - Haidilao European Warrants Mar 2020 B	60,000,000	2019/11/15	2020/03/24	18.78
13176	J P Morgan SP BV - Hang Seng Bank European Warrants Apr 20 A	70,000,000	2019/11/15	2020/04/29	10.64
13184	J P Morgan SP BV - ICBC European Put Wts Jun 2020 A	100,000,000	2019/11/15	2020/06/01	25.40
13192	J P Morgan SP BV - MTRC European Warrants Jul 2020 A	70,000,000	2019/11/15	2020/07/02	10.85

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13183	J P Morgan SP BV - Meituan European Warrants Jul 2020 B	60,000,000	2019/11/15	2020/07/31	12.06
13178	J P Morgan SP BV - PICC P&C European Warrants Dec 2020 B	60,000,000	2019/11/15	2020/12/31	15.06
13185	J P Morgan SP BV - SHK Ppt European Warrants Apr 2020 A	60,000,000	2019/11/15	2020/04/01	15.06
13130	Macquarie Bank Ltd. - BOC HK European Warrants Oct 2020 A	20,000,000	2019/11/15	2020/10/06	10.78
13132	Macquarie Bank Ltd. - BOCHK European Put Warrants Oct 2020 B	40,000,000	2019/11/15	2020/10/06	13.12
13133	Macquarie Bank Ltd. - CITIC Sec European Put Warrants Oct20A	40,000,000	2019/11/15	2020/10/06	11.20
13136	Macquarie Bank Ltd. - CPIC European Put Warrants Sep 2020 B	37,300,000	2019/11/15	2020/09/02	10.03
13131	Macquarie Bank Ltd. - Kingsoft European Put Warrants Jan21 A	40,000,000	2019/11/15	2021/01/05	10.96
13129	Macquarie Bank Ltd. - Link REIT European Warrants Jun 2020 A	28,000,000	2019/11/15	2020/06/02	10.14
13135	Macquarie Bank Ltd. - PICC Group European Wts Dec 2020 A	36,700,000	2019/11/15	2020/12/02	10.02
13158	SG Issuer - Geely Auto European Warrants Jul 2020 A	80,000,000	2019/11/15	2020/07/28	12.00
13157	SG Issuer - NCI European Warrants May 2020 A	40,000,000	2019/11/15	2020/05/29	10.00
13162	UBS AG - Haidilao European Warrants Feb 2020 A	60,000,000	2019/11/15	2020/02/25	10.02
13159	UBS AG - SPDR Gold Trust European Warrants Sep 2020 A	100,000,000	2019/11/15	2020/09/24	15.00
13228	Credit Suisse AG - CRCC European Warrants Sep 2020 A	70,000,000	2019/11/18	2020/09/23	10.50
13229	Credit Suisse AG - Meituan European Warrants Jun 2020 D	100,000,000	2019/11/18	2020/06/26	15.00
13225	Credit Suisse AG - PA Gooddoctor European Warrants May2020 A	80,000,000	2019/11/18	2020/05/18	20.00
13226	Credit Suisse AG - PA Gooddoctor European Warrants Jul2020 A	80,000,000	2019/11/18	2020/07/31	20.00
13241	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Apr 2020 B	80,000,000	2019/11/18	2020/04/09	16.16
13238	Goldman Sachs SP (Asia) - Tencent European Wts Mar 2020 E	150,000,000	2019/11/18	2020/03/18	27.75
13216	Haitong Int'l Sec - Evergrande European Warrants Apr 2020 A	70,000,000	2019/11/18	2020/04/27	10.50
13215	Haitong Int'l Sec - Meituan European Warrants Apr 2020 B	35,000,000	2019/11/18	2020/04/07	10.15
13222	J P Morgan SP BV - AIA European Warrants Apr 2020 B	100,000,000	2019/11/18	2020/04/02	17.90
13220	J P Morgan SP BV - China Life European Warrants Jul 2020 A	100,000,000	2019/11/18	2020/07/02	25.30
13210	J P Morgan SP BV - China Mobile European Wts May 2020 B	100,000,000	2019/11/18	2020/05/18	25.40
13203	J P Morgan SP BV - HSI European Warrants May 2020 D	400,000,000	2019/11/18	2020/05/28	100.40
13205	J P Morgan SP BV - HSI European Warrants May 2020 E	400,000,000	2019/11/18	2020/05/28	100.00
13231	J P Morgan SP BV - PA Gooddoctor European Warrants Sep2020 A	80,000,000	2019/11/18	2020/09/02	20.32
13224	J P Morgan SP BV - PICC Group European Warrants Jun 2020 A	40,000,000	2019/11/18	2020/06/04	10.04
13218	J P Morgan SP BV - SHK Ppt European Warrants Apr 2020 B	60,000,000	2019/11/18	2020/04/02	13.44
13207	J P Morgan SP BV - Tracker Fund European Warrants Jul 2020 A	100,000,000	2019/11/18	2020/07/03	25.20
13208	J P Morgan SP BV - Tracker Fund European Warrants Dec 2020 A	100,000,000	2019/11/18	2020/12/02	25.30
13219	J P Morgan SP BV - Wharf Reic European Warrants Jun 2020 A	60,000,000	2019/11/18	2020/06/03	15.24
13209	J P Morgan SP BV - Xiaomi European Warrants Mar 2020 C	100,000,000	2019/11/18	2020/03/03	15.10
13213	Macquarie Bank Ltd. - Nexteer European Warrants Jul 2020 A	40,000,000	2019/11/18	2020/07/10	10.00
13214	UBS AG - Galaxy Ent European Put Warrants Mar 2020 A	60,000,000	2019/11/18	2020/03/30	11.28
13245	BOCI Asia Ltd. - AIA European Put Warrants Feb 2020 D	70,000,000	2019/11/19	2020/02/28	11.20
13242	BNP Paribas Issuance B.V. - PA Gooddoctor European Wt Aug20A	100,000,000	2019/11/19	2020/08/04	25.00
13276	Credit Suisse AG - SD Gold European Warrants May 2020 A	40,000,000	2019/11/19	2020/05/18	10.00
13274	Credit Suisse AG - Zhaojin Mining European Wts May 2020 A	40,000,000	2019/11/19	2020/05/18	10.00
13277	Goldman Sachs SP (Asia) - Lenovo European Wts Dec 2020 A	80,000,000	2019/11/19	2020/12/28	12.00
13282	Goldman Sachs SP (Asia) - Tencent European Wts Jun 2020 B	150,000,000	2019/11/19	2020/06/03	22.80
13278	Goldman Sachs SP (Asia) - Xiaomi European Wts Feb 2020 A	100,000,000	2019/11/19	2020/02/25	15.00
13266	Haitong Int'l Sec - PA Gooddoctor European Warrants May20 A	70,000,000	2019/11/19	2020/05/19	17.50



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13257	J P Morgan SP BV - CR Beer European Warrants Mar 2020 A	70,000,000	2019/11/19	2020/03/18	11.62
13254	J P Morgan SP BV - SJM Holdings European Warrants Mar 2021 A	70,000,000	2019/11/19	2021/03/15	10.57
13243	Macquarie Bank Ltd. - Longfor Group European Wts Sep 2020 A	17,500,000	2019/11/19	2020/09/11	10.31
13244	Macquarie Bank Ltd. - Weigao Group European Wts Jun 2021 A	40,000,000	2019/11/19	2021/06/16	10.08
13246	SG Issuer - HSI European Warrants Apr 2020 L	300,000,000	2019/11/19	2020/04/28	45.00
13248	SG Issuer - HSI European Warrants May 2020 A	300,000,000	2019/11/19	2020/05/28	45.00
13249	UBS AG - ZA Onine European Warrants May 2020 A	50,000,000	2019/11/19	2020/05/25	12.50
13262	Bank Vontobel - AIA European Put Warrants Jun 2020 A	30,000,000	2019/11/19	2020/06/05	10.53
13261	Bank Vontobel - CC Bank European Warrants Apr 2020 B	40,000,000	2019/11/19	2020/04/24	10.04
13258	Bank Vontobel - Geely Auto European Warrants Jun 2020 A	40,000,000	2019/11/19	2020/06/26	10.00
13259	Bank Vontobel - HKEX European Warrants Dec 2020 B	60,000,000	2019/11/19	2020/12/23	10.08
13283	Credit Suisse AG - Tencent European Wts Apr 2020 C	250,000,000	2019/11/20	2020/04/17	37.50
13319	Goldman Sachs SP (Asia) - HSI European Warrants Apr 2020 C	150,000,000	2019/11/20	2020/04/28	22.50
13326	Goldman Sachs SP (Asia) - Ping An European Wts Feb 2020 D	100,000,000	2019/11/20	2020/02/25	15.00
13320	Goldman Sachs SP (Asia) - Tencent European Wts Apr 2020 E	150,000,000	2019/11/20	2020/04/24	27.90
13314	HK Bank - CC Bank European Warrants Jul 2020 B	80,000,000	2019/11/20	2020/07/31	20.00
13313	HK Bank - CM Bank European Warrants Jul 2020 A	80,000,000	2019/11/20	2020/07/31	20.00
13310	HK Bank - HSI European Warrants May 2020 E	200,000,000	2019/11/20	2020/05/28	30.00
13315	HK Bank - ICBC European Warrants Jul 2020 A	80,000,000	2019/11/20	2020/07/31	20.00
13331	J P Morgan SP BV - AIA European Warrants Mar 2020 E	100,000,000	2019/11/20	2020/03/02	22.20
13334	J P Morgan SP BV - AIA European Warrants Jun 2020 B	100,000,000	2019/11/20	2020/06/01	25.50
13342	J P Morgan SP BV - China Mer Port European Warrants Jun 20 A	40,000,000	2019/11/20	2020/06/02	10.12
13335	J P Morgan SP BV - China Mobile European Wts Apr 2020 B	100,000,000	2019/11/20	2020/04/24	21.00
13345	J P Morgan SP BV - CMS European Warrants Jun 2020 A	60,000,000	2019/11/20	2020/06/02	17.46
13339	J P Morgan SP BV - Sinopec Corp European Warrants Apr 2020 A	100,000,000	2019/11/20	2020/04/02	18.20
13292	J P Morgan SP BV - HSI European Warrants Jun 2020 I	400,000,000	2019/11/20	2020/06/29	100.40
13297	J P Morgan SP BV - HSI European Warrants Jun 2020 J	400,000,000	2019/11/20	2020/06/29	100.00
13309	J P Morgan SP BV - Melco Int'l Dev European Wts Mar 2020 A	70,000,000	2019/11/20	2020/03/13	10.57
13340	J P Morgan SP BV - MTRC European Warrants Apr 2020 A	60,000,000	2019/11/20	2020/04/29	14.28
13329	J P Morgan SP BV - Ping An European Warrants May 2020 B	200,000,000	2019/11/20	2020/05/19	50.60
13336	J P Morgan SP BV - Swire Pacific A European Warrants Apr20 A	50,000,000	2019/11/20	2020/04/02	10.20
13298	J P Morgan SP BV - Techtronic European Warrants Jul 2020 A	70,000,000	2019/11/20	2020/07/24	10.78
13288	Macquarie Bank Ltd. - Air China European Warrants Jan 2021 A	40,000,000	2019/11/20	2021/01/05	10.80
13289	Macquarie Bank Ltd. - Ch Molybdenum European Wts Jul 2020 A	31,000,000	2019/11/20	2020/07/03	10.32
13286	Macquarie Bank Ltd. - China Tower European Put Wts Jul2021 A	40,000,000	2019/11/20	2021/07/05	10.04
13290	Macquarie Bank Ltd. - SUNAC European Warrants Jun 2020 A	34,100,000	2019/11/20	2020/06/02	10.03
13291	Macquarie Bank Ltd. - Tencent European Warrants Jun 2020 A	40,000,000	2019/11/20	2020/06/24	10.00
13316	SG Issuer - China Mobile European Warrants Apr 2020 A	50,000,000	2019/11/20	2020/04/24	11.50
13285	UBS AG - Meituan European Warrants Apr 2020 D	40,000,000	2019/11/20	2020/04/06	18.52
13317	Bank Vontobel - China Mobile European Warrants Jun 2020 C	40,000,000	2019/11/20	2020/06/05	10.44
13318	Bank Vontobel - Meituan European Warrants May 2020 A	60,000,000	2019/11/20	2020/05/27	10.08
13373	Credit Suisse AG - AIA European Warrants Mar 2020 B	70,000,000	2019/11/21	2020/03/31	10.50
13365	Credit Suisse AG - China Mer Port European Warrants May20 A	40,000,000	2019/11/21	2020/05/20	10.00
13366	Credit Suisse AG - China Mobile European Warrants May 2020 A	60,000,000	2019/11/21	2020/05/20	15.00

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13379	Goldman Sachs SP (Asia) - Bank of China Euro Wts Aug 2020 A	80,000,000	2019/11/21	2020/08/24	12.00
13375	Goldman Sachs SP (Asia) - China Mobile Euro Wts Apr 2020 A	80,000,000	2019/11/21	2020/04/24	16.96
13380	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2020 D	100,000,000	2019/11/21	2020/03/24	15.00
13361	J P Morgan SP BV - China Everbright Ltd Euro Wts May 2020 A	70,000,000	2019/11/21	2020/05/04	15.12
13363	J P Morgan SP BV - Fosun Intl European Warrants Apr 2020 A	70,000,000	2019/11/21	2020/04/29	11.13
13364	J P Morgan SP BV - Henderson Land European Wt Jul 2020 A	70,000,000	2019/11/21	2020/07/03	17.57
13350	J P Morgan SP BV - Kingsoft European Put Warrants Apr 2020 A	70,000,000	2019/11/21	2020/04/29	10.57
13359	J P Morgan SP BV - Tencent European Warrants Mar 2020 F	250,000,000	2019/11/21	2020/03/02	47.50
13351	J P Morgan SP BV - Tencent European Warrants Apr 2020 F	250,000,000	2019/11/21	2020/04/02	43.50
13353	J P Morgan SP BV - Tencent European Warrants Apr 2020 G	250,000,000	2019/11/21	2020/04/17	40.50
13356	Macquarie Bank Ltd. - Ping An European Warrants Jun 2020 A	20,000,000	2019/11/21	2020/06/02	10.18
13348	Macquarie Bank Ltd. - Tencent European Warrants Jun 2020 B	40,000,000	2019/11/21	2020/06/24	10.00
13357	UBS AG - HSI European Warrants Mar 2020 C	300,000,000	2019/11/21	2020/03/30	45.00
13358	UBS AG - Tencent European Put Warrants Jun 2020 A	300,000,000	2019/11/21	2020/06/22	46.50
13383	BOCI Asia Ltd. - China Mobile European Warrants Apr 2020 C	70,000,000	2019/11/22	2020/04/24	14.00
13382	BOCI Asia Ltd. - Meituan European Warrants Jul 2020 A	100,000,000	2019/11/22	2020/07/28	17.00
13390	Credit Suisse AG - Bank of China European Wts Aug 2020 A	70,000,000	2019/11/22	2020/08/24	10.50
13392	Credit Suisse AG - Ping An European Put Warrants Mar 2020 D	100,000,000	2019/11/22	2020/03/31	23.50
13397	Goldman Sachs SP (Asia) - AIA European Warrants Dec 2020 A	80,000,000	2019/11/22	2020/12/23	12.00
13401	Goldman Sachs SP (Asia) - CAM CSI300 European Wts May 2020 A	60,000,000	2019/11/22	2020/05/26	10.80
13396	Goldman Sachs SP (Asia) - SHK Ppt European Wts Jun 2020 A	80,000,000	2019/11/22	2020/06/22	12.00
13386	HK Bank - China Mobile European Warrants Apr 2020 B	80,000,000	2019/11/22	2020/04/24	18.40
13388	HK Bank - China Mobile European Warrants Jun 2020 C	80,000,000	2019/11/22	2020/06/30	20.00
13385	HK Bank - Link REIT European Warrants Aug 2020 A	80,000,000	2019/11/22	2020/08/03	12.00
13393	J P Morgan SP BV - HK & China Gas European Warrants Aug20 A	60,000,000	2019/11/22	2020/08/24	11.88
13394	J P Morgan SP BV - Link REIT European Warrants May 2020 B	60,000,000	2019/11/22	2020/05/21	21.24
13395	J P Morgan SP BV - Link REIT European Put Warrants May2020 C	60,000,000	2019/11/22	2020/05/28	15.06
13384	Macquarie Bank Ltd. - Ping An European Warrants Jun 2020 B	38,500,000	2019/11/22	2020/06/02	10.09
13415	Credit Suisse AG - Hengan Intl European Warrants May 2020 A	40,000,000	2019/11/25	2020/05/25	10.00
13427	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Sep 2020 B	80,000,000	2019/11/25	2020/09/21	12.00
13428	Goldman Sachs SP (Asia) - Tencent European Wts Mar 2020 F	150,000,000	2019/11/25	2020/03/26	23.55
13413	J P Morgan SP BV - Geely Auto European Put Wts May 2020 B	70,000,000	2019/11/25	2020/05/06	10.57
13402	Macquarie Bank Ltd. - China Mobile Euro Put Wts Jul 2020 B	40,000,000	2019/11/25	2020/07/03	10.04
13406	Macquarie Bank Ltd. - Chinares Cement European Wt Oct 2021 A	40,000,000	2019/11/25	2021/10/05	10.44
13404	Macquarie Bank Ltd. - Baiyunshan Ph European Warrants Sep20A	20,300,000	2019/11/25	2020/09/16	10.03
13408	Macquarie Bank Ltd. - Mengniu Dairy European Wts Oct 2020 A	35,000,000	2019/11/25	2020/10/06	10.29
13405	Macquarie Bank Ltd. - Towngas China European Wts Jul 2021 A	40,000,000	2019/11/25	2021/07/05	10.12
13420	SG Issuer - Sands China Ltd European Warrants Mar 2020 A	70,000,000	2019/11/25	2020/03/24	10.50
13419	UBS AG - CSPC Pharma European Warrants May 2020 A	50,000,000	2019/11/25	2020/05/20	11.30
13449	BNP Paribas Issuance B.V. - Link REIT Euro Wts Sep 2020 A	40,000,000	2019/11/26	2020/09/02	10.00
13452	Credit Suisse AG - AAC Tech European Put Warrants Jul 2020 A	100,000,000	2019/11/26	2020/07/31	25.00
13451	Credit Suisse AG - Chinares Cement European Warrants Jun20 A	40,000,000	2019/11/26	2020/06/30	10.00
13475	Bank of East Asia - Alibaba Group European Warrants May20 A	60,000,000	2019/11/26	2020/05/28	18.30
13477	Bank of East Asia - Alibaba Group European Warrants Jun20 A	60,000,000	2019/11/26	2020/06/22	15.00

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13479	Bank of East Asia - Alibaba Group European Warrants Nov20 A	60,000,000	2019/11/26	2020/11/30	15.00
13516	Goldman Sachs SP (Asia) - CC Bank European Wts Apr 2020 A	80,000,000	2019/11/26	2020/04/23	16.64
13515	Goldman Sachs SP (Asia) - Link REIT European Wts Apr 2020 A	50,000,000	2019/11/26	2020/04/09	10.00
13514	Goldman Sachs SP (Asia) - Xiaomi European Wts Apr 2020 A	80,000,000	2019/11/26	2020/04/23	12.00
13487	Haitong Int'l Sec - Alibaba Group European Warrants May20 A	150,000,000	2019/11/26	2020/05/26	37.50
13489	Haitong Int'l Sec - Alibaba Group European Warrants May20 B	100,000,000	2019/11/26	2020/05/26	25.00
13488	Haitong Int'l Sec - Alibaba Group European Warrants Sep20 A	150,000,000	2019/11/26	2020/09/30	37.50
13450	Haitong Int'l Sec - ZA Online European Warrants May 2020 A	50,000,000	2019/11/26	2020/05/18	13.50
13474	J P Morgan SP BV - Hua Hong Semi European Warrants Jun2020 A	70,000,000	2019/11/26	2020/06/09	11.06
13465	J P Morgan SP BV - PA Gooddoctor European Warrants Jul2020 A	60,000,000	2019/11/26	2020/07/02	15.00
13472	J P Morgan SP BV - Tencent European Put Warrants May 2020 A	250,000,000	2019/11/26	2020/05/19	38.75
13435	Macquarie Bank Ltd. - AIA European Put Warrants Jul 2020 B	32,000,000	2019/11/26	2020/07/03	10.08
13494	Macquarie Bank Ltd. - Alibaba Group European Warrants Jun20A	27,000,000	2019/11/26	2020/06/02	10.02
13498	Macquarie Bank Ltd. - Alibaba Group European Warrants Jun20B	37,500,000	2019/11/26	2020/06/02	10.01
13506	Macquarie Bank Ltd. - Alibaba Group European Warrants Jul20A	38,500,000	2019/11/26	2020/07/03	10.09
13500	Macquarie Bank Ltd. - Alibaba Group European Warrants Oct21A	39,000,000	2019/11/26	2021/10/05	10.02
13439	Macquarie Bank Ltd. - China Life European Put Wts Jun 2020 A	40,000,000	2019/11/26	2020/06/02	10.04
13448	Macquarie Bank Ltd. - CNOOC European Warrants Oct 2020 A	40,000,000	2019/11/26	2020/10/06	10.04
13443	Macquarie Bank Ltd. - HSBC European Put Warrants Jun 2020 A	40,000,000	2019/11/26	2020/06/02	10.04
13447	Macquarie Bank Ltd. - KB Laminates European Wts Jun 2021 A	40,000,000	2019/11/26	2021/06/16	10.00
13438	Macquarie Bank Ltd. - Meituan European Put Warrants Oct20 B	40,000,000	2019/11/26	2020/10/06	10.00
13431	Macquarie Bank Ltd. - Ping An European Put Wts Jun 2020 C	40,000,000	2019/11/26	2020/06/02	10.00
13518	SG Issuer - Alibaba Group European Warrants May 2020 A	100,000,000	2019/11/26	2020/05/25	26.00
13520	SG Issuer - Alibaba Group European Warrants May 2020 B	50,000,000	2019/11/26	2020/05/25	14.00
13526	SG Issuer - Alibaba Group European Warrants Jun 2020 A	100,000,000	2019/11/26	2020/06/30	25.00
13534	SG Issuer - Alibaba Group European Warrants Jun 2020 B	50,000,000	2019/11/26	2020/06/30	12.50
13463	SG Issuer - PA Gooddoctor European Warrants May 2020 A	80,000,000	2019/11/26	2020/05/12	18.40
13464	SG Issuer - PA Gooddoctor European Warrants Jul 2020 A	80,000,000	2019/11/26	2020/07/03	20.00
13507	Bank Vontobel - Alibaba Group European Warrants May 2020 A	40,000,000	2019/11/26	2020/05/25	10.00
13508	Bank Vontobel - Alibaba Group European Warrants May 2020 B	40,000,000	2019/11/26	2020/05/25	10.00
13511	Bank Vontobel - Alibaba Group European Warrants Nov 2020 A	40,000,000	2019/11/26	2020/11/03	10.00
12390#	J P Morgan SP BV - Meituan European Warrants Jun 2020 A	90,000,000	2019/11/26	2020/06/03	10.89
13535	BOCI Asia Ltd. - Bank of China European Warrants Aug 2020 A	80,000,000	2019/11/27	2020/08/24	12.00
13536	BOCI Asia Ltd. - China Life European Warrants May 2020 A	70,000,000	2019/11/27	2020/05/29	10.50
13538	BOCI Asia Ltd. - Geely Auto European Put Warrants May 2020 A	100,000,000	2019/11/27	2020/05/06	15.00
13572	Credit Suisse AG - Haidilao European Warrants May 2020 A	40,000,000	2019/11/27	2020/05/26	10.00
13567	Credit Suisse AG - KB Laminates European Warrants May 2020 A	40,000,000	2019/11/27	2020/05/26	10.00
13571	Credit Suisse AG - PA Gooddoctor European Warrants Jun2020 A	80,000,000	2019/11/27	2020/06/30	20.00
13596	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2020 A	80,000,000	2019/11/27	2020/03/26	12.00
13597	Goldman Sachs SP (Asia) - Meituan European Put Wts May2020 A	70,000,000	2019/11/27	2020/05/22	10.50
13573	J P Morgan SP BV - Sands China Ltd European Warrants Apr20 A	80,000,000	2019/11/27	2020/04/02	12.00
13574	J P Morgan SP BV - SUNAC European Warrants Jun 2020 A	60,000,000	2019/11/27	2020/06/30	15.06
13577	Macquarie Bank Ltd. - Alibaba Group European Warrants Jun20C	23,500,000	2019/11/27	2020/06/02	10.06
13579	Macquarie Bank Ltd. - Alibaba Group European Warrants Jul20B	37,500,000	2019/11/27	2020/07/03	10.13

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13581	Macquarie Bank Ltd. - Alibaba Group European Warrants Jul20C	39,000,000	2019/11/27	2020/07/03	10.02
13588	Macquarie Bank Ltd. - Alibaba Group European Warrants Dec20A	22,500,000	2019/11/27	2020/12/02	10.15
13549	Macquarie Bank Ltd. - Tencent European Warrants Jun 2020 C	30,000,000	2019/11/27	2020/06/01	18.99
13554	Macquarie Bank Ltd. - Tencent European Warrants Jun 2020 D	31,000,000	2019/11/27	2020/06/01	10.08
13555	Macquarie Bank Ltd. - Tencent European Warrants Jun 2020 E	11,800,000	2019/11/27	2020/06/01	10.04
13562	Macquarie Bank Ltd. - Tencent European Warrants Jun 2020 F	27,300,000	2019/11/27	2020/06/01	10.02
13565	Macquarie Bank Ltd. - Tencent European Put Wts Jun 2020 G	30,000,000	2019/11/27	2020/06/01	20.34
13540	Macquarie Bank Ltd. - Xiaomi European Put Warrants Jun2021 B	40,000,000	2019/11/27	2021/06/02	10.12
13566	UBS AG - PA Gooddoctor European Warrants Jun 2020 A	80,000,000	2019/11/27	2020/06/22	20.00
13589	Bank Vontobel - AAC Tech European Warrants Jun 2020 A	20,000,000	2019/11/27	2020/06/30	10.00
13595	Bank Vontobel - AIA European Warrants Mar 2020 C	30,000,000	2019/11/27	2020/03/26	12.90
13590	Bank Vontobel - Ping An European Warrants Jun 2020 B	30,000,000	2019/11/27	2020/06/26	11.40
13594	Bank Vontobel - Tencent European Warrants Apr 2020 G	100,000,000	2019/11/27	2020/04/17	15.00
13618	BNP Paribas Issuance B.V. - HKEX Euro Put Wts Jun 2020 C	40,000,000	2019/11/28	2020/06/02	10.40
13629	Credit Suisse AG - HKEX European Warrants Dec 2020 A	200,000,000	2019/11/28	2020/12/23	30.00
13628	Credit Suisse AG - JXR European Warrants May 2020 A	40,000,000	2019/11/28	2020/05/27	10.00
13635	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Apr 2020 A	70,000,000	2019/11/28	2020/04/27	10.50
13633	Goldman Sachs SP (Asia) - CITIC Sec European Wts Jul 2020 A	60,000,000	2019/11/28	2020/07/28	10.98
13634	Goldman Sachs SP (Asia) - Haitong Sec Euro Wts Jul 2020 A	60,000,000	2019/11/28	2020/07/24	10.02
13607	HK Bank - HSI European Put Warrants May 2020 F	200,000,000	2019/11/28	2020/05/28	50.00
13620	J P Morgan SP BV - Ali Pictures European Warrants Jun 2020 A	35,000,000	2019/11/28	2020/06/02	10.01
13622	J P Morgan SP BV - China Railway European Wts Jun 2020 A	40,000,000	2019/11/28	2020/06/02	10.16
13619	J P Morgan SP BV - China Telecom European Wts Sep 2020 A	60,000,000	2019/11/28	2020/09/30	15.12
13627	J P Morgan SP BV - Vitasoy Intl European Warrants Apr 2020 A	50,000,000	2019/11/28	2020/04/24	11.55
13616	Macquarie Bank Ltd. - China Lit European Warrants Jul 2020 A	31,500,000	2019/11/28	2020/07/10	10.02
13612	Macquarie Bank Ltd. - China Mobile European Wts Jun 2020 A	20,500,000	2019/11/28	2020/06/02	10.15
13614	Macquarie Bank Ltd. - China Mobile European Wts Dec 2020 A	40,000,000	2019/11/28	2020/12/31	10.08
13610	Macquarie Bank Ltd. - China Tel European Warrants Jun 2020 A	40,000,000	2019/11/28	2020/06/02	10.00
13608	Macquarie Bank Ltd. - China Unicom European Wts Dec 2020 A	40,000,000	2019/11/28	2020/12/02	10.00
13617	Macquarie Bank Ltd. - HSBC European Warrants Jun 2020 B	35,500,000	2019/11/28	2020/06/02	10.05
13609	Macquarie Bank Ltd. - PetroChina European Wts Jun 2020 A	27,500,000	2019/11/28	2020/06/02	10.01
13631	SG Issuer - HSI European Warrants Mar 2020 T	300,000,000	2019/11/28	2020/03/30	45.00
13630	SG Issuer - Ping An European Warrants Mar 2020 H	60,000,000	2019/11/28	2020/03/24	12.00
13598	SG Issuer - Tencent European Warrants Apr 2020 B	200,000,000	2019/11/28	2020/04/17	30.00
13606	UBS AG - CITIC Sec European Warrants Jul 2020 A	70,000,000	2019/11/28	2020/07/28	10.50
13601	UBS AG - HSI European Put Warrants Apr 2020 B	300,000,000	2019/11/28	2020/04/28	45.00
13600	UBS AG - MTR Corporation European Warrants Apr 2020 B	60,000,000	2019/11/28	2020/04/22	10.62
13659	Credit Suisse AG - Ping An European Warrants Mar 2020 E	150,000,000	2019/11/29	2020/03/31	22.50
13661	Credit Suisse AG - Vitasoy Intl European Warrants May 2020 A	30,000,000	2019/11/29	2020/05/28	10.20
13646	Guotai Junan - Alibaba Group European Warrants May 2020 A	50,000,000	2019/11/29	2020/05/28	12.65
13642	Guotai Junan - Alibaba Group European Warrants Jun 2020 A	100,000,000	2019/11/29	2020/06/26	25.10
13648	Guotai Junan - Alibaba Group European Warrants Nov 2020 A	100,000,000	2019/11/29	2020/11/16	25.30
13639	Guotai Junan - Tencent European Warrants Mar 2020 A	100,000,000	2019/11/29	2020/03/02	16.50
13638	Guotai Junan - Tencent European Warrants Apr 2020 A	100,000,000	2019/11/29	2020/04/02	18.70

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13640	Guotai Junan - Tencent European Tencent put Warrants Mar20 B	100,000,000	2019/11/29	2020/03/25	27.30
13673	Goldman Sachs SP (Asia) - HKEX European Warrants Nov 2020 A	150,000,000	2019/11/29	2020/11/25	23.70
13676	Goldman Sachs SP (Asia) - Meituan European Warrants May 20 B	80,000,000	2019/11/29	2020/05/22	12.00
13671	J P Morgan SP BV - Meituan European Warrants May 2020 B	100,000,000	2019/11/29	2020/05/04	25.30
13670	J P Morgan SP BV - Meituan European Warrants Jul 2020 C	100,000,000	2019/11/29	2020/07/31	15.40
13662	J P Morgan SP BV - Meituan European Warrants Oct 2020 A	100,000,000	2019/11/29	2020/10/19	15.60
13663	J P Morgan SP BV - Meituan European Warrants Dec 2020 B	100,000,000	2019/11/29	2020/12/31	25.00
13654	Macquarie Bank Ltd. - SHK Ppt European Warrants Jun 2020 A	28,000,000	2019/11/29	2020/06/02	10.08
13520#	SG Issuer - Alibaba Group European Warrants May 2020 B	50,000,000	2019/11/29	2020/05/25	17.25
13698	Goldman Sachs SP (Asia) - China Life Euro Wts Sep 2020 A	100,000,000	2019/12/02	2020/09/08	15.00
13703	Goldman Sachs SP (Asia) - PA Gooddoctor European Wts May20A	80,000,000	2019/12/02	2020/05/11	12.00
13689	J P Morgan SP BV - AIA European Put Warrants Mar 2020 F	100,000,000	2019/12/02	2020/03/31	25.80
13688	J P Morgan SP BV - HSBC European Put Warrants Mar 2020 D	100,000,000	2019/12/02	2020/03/31	16.30
13692	J P Morgan SP BV - Meituan European Put Warrants May 2020 C	100,000,000	2019/12/02	2020/05/22	15.10
13691	J P Morgan SP BV - Tencent European Warrants Apr 2020 H	250,000,000	2019/12/02	2020/04/17	37.75
13683	Macquarie Bank Ltd. - BYD Company European Wts Jun 2020 B	40,000,000	2019/12/02	2020/06/02	10.08
13686	Macquarie Bank Ltd. - BYD Company European Wts Sep 2022 A	40,000,000	2019/12/02	2022/09/02	10.00
13687	Macquarie Bank Ltd. - Kingsoft European Warrants Aug 2020 A	40,000,000	2019/12/02	2020/08/04	10.36
13681	Macquarie Bank Ltd. - NCI European Warrants Sep 2020 A	40,000,000	2019/12/02	2020/09/02	10.04
13680	Macquarie Bank Ltd. - Ping An European Warrants Jun 2020 D	15,500,000	2019/12/02	2020/06/02	10.23
13697	Bank Vontobel - China Mobile European Warrants May 2020 A	70,000,000	2019/12/02	2020/05/11	11.20
13696	Bank Vontobel - CNOOC European Warrants Dec 2020 A	70,000,000	2019/12/02	2020/12/22	10.50
13695	Bank Vontobel - HKEX European Warrants May 2020 C	60,000,000	2019/12/02	2020/05/26	10.20
13693	Bank Vontobel - Sino Biopharm European Warrants May 2020 A	70,000,000	2019/12/02	2020/05/22	10.50
12388#	J P Morgan SP BV - Meituan European Put Warrants Apr 2020 C	150,000,000	2019/12/02	2020/04/14	12.00
13534#	SG Issuer - Alibaba Group European Warrants Jun 2020 B	100,000,000	2019/12/02	2020/06/30	32.50
13714	BOCI Asia Ltd. - China Life European Put Warrants Apr 2020 B	70,000,000	2019/12/03	2020/04/22	10.50
13731	Credit Suisse AG - Meituan European Put Warrants Jun 2020 E	100,000,000	2019/12/03	2020/06/30	25.00
13728	Bank of East Asia - Alibaba Group European Wts Sep 2020 A	60,000,000	2019/12/03	2020/09/09	15.00
13729	Bank of East Asia - PA Good Doctor European Wts Sep 2020 A	60,000,000	2019/12/03	2020/09/15	15.00
13745	Goldman Sachs SP (Asia) - China Mobile Euro Wts May 2020 A	80,000,000	2019/12/03	2020/05/11	12.00
13739	Goldman Sachs SP (Asia) - HKEX European Put Wts Mar 2020 D	80,000,000	2019/12/03	2020/03/03	12.00
13725	Haitong Int'l Sec - Alibaba Group European Wts Jun 2020 A	80,000,000	2019/12/03	2020/06/30	20.00
13727	J P Morgan SP BV - CKI Holdings European Warrants Jul 2020 A	60,000,000	2019/12/03	2020/07/02	15.36
13726	J P Morgan SP BV - Xiaomi European Warrants Apr 2020 B	80,000,000	2019/12/03	2020/04/24	12.08
13706	Macquarie Bank Ltd. - CC Bank European Warrants Sep 2020 A	40,000,000	2019/12/03	2020/09/02	10.04
13707	Macquarie Bank Ltd. - CSPC Pharma European Put Wts Dec 2020B	26,500,000	2019/12/03	2020/12/02	10.02
13710	Macquarie Bank Ltd. - Geely Auto European Wts Jun 2020 A	40,000,000	2019/12/03	2020/06/02	10.12
13711	Macquarie Bank Ltd. - PICC Group European Put Wts Dec 2020 B	31,500,000	2019/12/03	2020/12/02	10.05
13713	Macquarie Bank Ltd. - Xiaomi European Warrants Sep 2020 A	60,000,000	2019/12/03	2020/09/02	10.14
13712	Macquarie Bank Ltd. - Xiaomi European Warrants Jan 2021 A	40,000,000	2019/12/03	2021/01/05	10.12
13761	Morgan Stanley Asia Products Ltd. - Geely Auto Eu Wt Jun 20A	40,000,000	2019/12/03	2020/06/02	10.00
13721	Morgan Stanley Asia Products Ltd. - Tencent Eu Wt May 2020 A	68,000,000	2019/12/03	2020/05/04	10.61
13720	Morgan Stanley Asia Products Ltd. - Tencent Eu Wt Jun 2020 C	58,000,000	2019/12/03	2020/06/17	10.27



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13718	Morgan Stanley Asia Products Ltd. - Tencent Eu Put Wt Jun20B	48,000,000	2019/12/03	2020/06/02	12.00
13759	Morgan Stanley Asia Products Ltd. - WH Gp Euro Wts Jun 2020A	40,000,000	2019/12/03	2020/06/18	10.00
13758	Morgan Stanley Asia Products Ltd. - WH Gp Euro Wts Jul 2020A	68,000,000	2019/12/03	2020/07/24	10.20
13746	SG Issuer - Alibaba Group European Warrants May 2020 C	80,000,000	2019/12/03	2020/05/19	20.40
13749	SG Issuer - Alibaba Group European Warrants Jun 2020 C	80,000,000	2019/12/03	2020/06/02	27.20
13750	SG Issuer - Alibaba Group European Warrants Jun 2020 D	80,000,000	2019/12/03	2020/06/02	20.00
13751	SG Issuer - Alibaba Group European Warrants Jun 2020 E	150,000,000	2019/12/03	2020/06/30	37.50
13754	SG Issuer - Alibaba Group European Warrants Sep 2020 A	80,000,000	2019/12/03	2020/09/02	20.00
13757	SG Issuer - Alibaba Group European Warrants Oct 2020 A	150,000,000	2019/12/03	2020/10/30	37.50
13722	UBS AG - China Unicom European Warrants Jun 2020 A	25,000,000	2019/12/03	2020/06/15	10.73
13735	Bank Vontobel - Alibaba Group European Warrants Jul 2020 A	40,000,000	2019/12/03	2020/07/03	10.00
13821	BOCI Asia Ltd. - Meituan European Warrants Apr 2020 E	50,000,000	2019/12/04	2020/04/07	22.00
13823	BOCI Asia Ltd. - Meituan European Put Warrants Apr 2020 F	100,000,000	2019/12/04	2020/04/20	15.00
13825	BOCI Asia Ltd. - Tencent European Warrants May 2020 A	200,000,000	2019/12/04	2020/05/28	40.00
13826	BOCI Asia Ltd. - Tencent European Put Wts May 2020 B	200,000,000	2019/12/04	2020/05/19	30.00
13849	BNP Paribas Issuance B.V. - ICBC European Wts Aug 2020 A	40,000,000	2019/12/04	2020/08/04	10.40
13885	Credit Suisse AG - CK Asset European Warrants Jun 2020 A	40,000,000	2019/12/04	2020/06/03	10.00
13883	Credit Suisse AG - CKI Holdings European Warrants Jun 2020 A	40,000,000	2019/12/04	2020/06/03	10.00
13896	Credit Suisse AG - Meituan European Warrants Jun 2020 F	40,000,000	2019/12/04	2020/06/03	10.00
13894	Credit Suisse AG - Meituan European Warrants Jul 2020 B	120,000,000	2019/12/04	2020/07/31	30.00
13900	Credit Suisse AG - Meituan European Put Warrants Jun 2020 G	100,000,000	2019/12/04	2020/06/03	25.00
13876	Credit Suisse AG - Sino Biopharm European Wts Jun 2020 A	40,000,000	2019/12/04	2020/06/03	10.00
13881	Credit Suisse AG - Wynn Macau European Warrants Sep 2020 A	40,000,000	2019/12/04	2020/09/30	10.00
13846	Bank of East Asia - Alibaba Group European Warrants Jun20 B	40,000,000	2019/12/04	2020/06/04	11.60
13832	Bank of East Asia - Alibaba Group European Warrants Jul20 A	40,000,000	2019/12/04	2020/07/02	10.00
13847	Guotai Junan - Alibaba Group European Warrants Oct 2020 A	100,000,000	2019/12/04	2020/10/13	25.00
13914	Goldman Sachs SP (Asia) - China Tower Euro Wts May 2021 A	80,000,000	2019/12/04	2021/05/26	12.24
13913	Goldman Sachs SP (Asia) - Meituan European Warrants Jul20 A	80,000,000	2019/12/04	2020/07/28	12.00
13915	Goldman Sachs SP (Asia) - Tencent European Put Wt May 2020 A	100,000,000	2019/12/04	2020/05/27	15.00
13775	HK Bank - AAC Tech European Put Warrants May 2020 A	80,000,000	2019/12/04	2020/05/26	12.00
13790	HK Bank - CAM CSI300 European Warrants Aug 2020 A	80,000,000	2019/12/04	2020/08/31	20.00
13788	HK Bank - Galaxy Ent European Warrants Jun 2020 A	50,000,000	2019/12/04	2020/06/03	12.50
13787	HK Bank - Tencent European Warrants Jun 2020 C	100,000,000	2019/12/04	2020/06/03	25.00
13872	Haitong Int'l Sec - Alibaba Group European Warrants Jul20 A	80,000,000	2019/12/04	2020/07/07	20.00
13857	Haitong Int'l Sec - Meituan European Put Warrants Apr 2020 C	100,000,000	2019/12/04	2020/04/20	16.00
13854	Haitong Int'l Sec - Tencent European Put Warrants Mar 2020 G	80,000,000	2019/12/04	2020/03/31	12.80
13869	J P Morgan SP BV - China Tower European Warrants May 2021 A	80,000,000	2019/12/04	2021/05/26	17.12
13870	J P Morgan SP BV - HSI European Warrants Apr 2020 T	300,000,000	2019/12/04	2020/04/28	45.90
13868	J P Morgan SP BV - Meituan European Warrants Jun 2020 C	100,000,000	2019/12/04	2020/06/03	33.40
13867	J P Morgan SP BV - Meituan European Put Warrants Apr 2020 E	200,000,000	2019/12/04	2020/04/29	32.20
13809	Macquarie Bank Ltd. - Alibaba Group European Warrants Jun20D	31,000,000	2019/12/04	2020/06/02	10.01
13802	Macquarie Bank Ltd. - Alibaba Group European Warrants Jul20D	40,000,000	2019/12/04	2020/07/03	10.16
13808	Macquarie Bank Ltd. - Alibaba Group European Warrants Aug20A	40,000,000	2019/12/04	2020/08/04	10.00
13810	Macquarie Bank Ltd. - Alibaba Group European Warrants Oct20A	13,000,000	2019/12/04	2020/10/06	10.08

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13776	Macquarie Bank Ltd. - HKEX European Warrants Sep 2020 A	36,000,000	2019/12/04	2020/09/02	10.08
13778	Macquarie Bank Ltd. - HKEX European Put Warrants Oct 2020 A	40,000,000	2019/12/04	2020/10/06	10.32
13791	Macquarie Bank Ltd. - Meituan European Warrants Aug 2020 A	40,000,000	2019/12/04	2020/08/04	10.28
13797	Macquarie Bank Ltd. - Meituan European Warrants Jan 2021 C	40,000,000	2019/12/04	2021/01/05	10.40
13799	Macquarie Bank Ltd. - PA Gooddoctor European Warrants Jan21A	40,000,000	2019/12/04	2021/01/05	10.40
13780	Macquarie Bank Ltd. - SUNAC European Warrants Aug 2020 A	32,500,000	2019/12/04	2020/08/04	10.04
13783	Macquarie Bank Ltd. - SUNAC European Put Warrants Jul 2020 B	29,500,000	2019/12/04	2020/07/03	10.12
13779	Macquarie Bank Ltd. - Tsingtao Brew European Warrants Jun20A	28,200,000	2019/12/04	2020/06/16	10.01
13767	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Jul20 A	80,000,000	2019/12/04	2020/07/28	12.00
13859	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Oct20 C	80,000,000	2019/12/04	2020/10/16	20.00
13772	Morgan Stanley Asia Products Ltd. - Meituan Eu Put Wt Apr20A	68,000,000	2019/12/04	2020/04/20	12.72
13768	Morgan Stanley Asia Products Ltd. - Meituan Eu Put Wt Oct20B	68,000,000	2019/12/04	2020/10/19	10.27
13763	Morgan Stanley Asia Products Ltd. - Tencent Euro Wts May20 B	68,000,000	2019/12/04	2020/05/04	21.01
13765	Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Oct20 A	68,000,000	2019/12/04	2020/10/27	10.27
13905	SG Issuer - Alibaba Group European Warrants May 2020 D	150,000,000	2019/12/04	2020/05/18	44.25
13902	SG Issuer - Alibaba Group European Warrants Jun 2020 F	80,000,000	2019/12/04	2020/06/03	20.00
13906	SG Issuer - Alibaba Group European Warrants Jun 2020 G	150,000,000	2019/12/04	2020/06/03	62.25
13909	SG Issuer - Alibaba Group European Warrants Jun 2020 H	80,000,000	2019/12/04	2020/06/03	26.40
13910	SG Issuer - Alibaba Group European Warrants Jul 2020 A	100,000,000	2019/12/04	2020/07/06	25.00
13911	SG Issuer - Alibaba Group European Warrants Jul 2020 B	200,000,000	2019/12/04	2020/07/31	50.00
13903	SG Issuer - Meituan European Warrants May 2020 B	20,000,000	2019/12/04	2020/05/11	10.00
13852	UBS AG - Meituan European Warrants Sep 2020 A	100,000,000	2019/12/04	2020/09/30	25.00
13862	Bank Vontobel - Alibaba Group European Warrants Jun 2020 A	40,000,000	2019/12/04	2020/06/22	10.00
13864	Bank Vontobel - Alibaba Group European Warrants Oct 2020 A	40,000,000	2019/12/04	2020/10/06	10.00
13961	Credit Suisse AG - China Aoyuan European Warrants Jul 2020 A	40,000,000	2019/12/05	2020/07/31	10.00
13956	Credit Suisse AG - Sino Biopharm European Wts Apr 2020 A	70,000,000	2019/12/05	2020/04/22	10.50
13960	Credit Suisse AG - SUNAC European Warrants Jun 2020 A	40,000,000	2019/12/05	2020/06/04	10.00
13958	Credit Suisse AG - Tencent Euro Put Wts May 2020 A	200,000,000	2019/12/05	2020/05/19	30.00
13963	Credit Suisse AG - Xiaomi European Put Warrants Dec 2020 B	60,000,000	2019/12/05	2020/12/23	10.02
14000	Goldman Sachs SP (Asia) - Meituan European Warrants Aug 20 A	80,000,000	2019/12/05	2020/08/31	20.00
13993	Goldman Sachs SP (Asia) - Meituan European Put Wts Apr2020 G	70,000,000	2019/12/05	2020/04/20	10.50
13994	Goldman Sachs SP (Asia) - Xiaomi European Put Wts May 2021 A	70,000,000	2019/12/05	2021/05/26	10.50
13925	HK Bank - Meituan Dianping European Put Warrants Apr 2020 D	100,000,000	2019/12/05	2020/04/20	15.00
13928	HK Bank - Xiaomi European Put Warrants Dec 2020 B	100,000,000	2019/12/05	2020/12/23	15.00
13938	Haitong Int'l Sec - Alibaba Group European Warrants Jun 20 B	100,000,000	2019/12/05	2020/06/10	25.00
13989	J P Morgan SP BV - China Unicom European Warrants Nov 2020 A	80,000,000	2019/12/05	2020/11/30	12.08
13986	J P Morgan SP BV - HSI European Warrants Jun 2020 N	300,000,000	2019/12/05	2020/06/29	75.60
13992	J P Morgan SP BV - Xiaomi European Warrants Aug 2020 A	100,000,000	2019/12/05	2020/08/31	15.20
13935	Macquarie Bank Ltd. - AAC Tech European Wts Jul 2020 A	36,700,000	2019/12/05	2020/07/03	10.02
13936	Macquarie Bank Ltd. - Alibaba Group European Warrants Aug20B	33,500,000	2019/12/05	2020/08/04	10.08
13933	Macquarie Bank Ltd. - ICBC European Warrants Aug 2020 A	40,000,000	2019/12/05	2020/08/04	10.12
13932	Macquarie Bank Ltd. - SHK Ppt European Warrants Jul 2020 A	39,500,000	2019/12/05	2020/07/03	10.07
13978	SG Issuer - Alibaba Group European Warrants Jun 2020 I	80,000,000	2019/12/05	2020/06/04	20.00
13969	SG Issuer - Xiaomi European Warrants Apr 2020 A	25,000,000	2019/12/05	2020/04/02	10.00

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13937	UBS AG - MTR Corporation European Warrants Jun 2020 A	60,000,000	2019/12/05	2020/06/24	10.02
13953	Bank Vontobel - CITIC Sec European Warrants Jul 2020 A	50,000,000	2019/12/05	2020/07/29	10.00
13939	Bank Vontobel - CNBM European Warrants Jun 2020 A	70,000,000	2019/12/05	2020/06/30	10.50
13948	Bank Vontobel - China Shenhua European Warrants Apr 2020 A	60,000,000	2019/12/05	2020/04/22	10.80
13941	Bank Vontobel - Meituan European Warrants Jun 2020 B	30,000,000	2019/12/05	2020/06/04	10.50
13943	Bank Vontobel - Meituan European Put Warrants Jun 2020 C	60,000,000	2019/12/05	2020/06/04	15.60
13945	Bank Vontobel - Tencent European Warrants Apr 2020 H	100,000,000	2019/12/05	2020/04/24	15.00
13949	Bank Vontobel - Xiaomi European Warrants Jul 2020 A	40,000,000	2019/12/05	2020/07/03	10.00
13952	Bank Vontobel - Xiaomi European Put Warrants Jun 2020 A	30,000,000	2019/12/05	2020/06/04	10.20
13507#	Bank Vontobel - Alibaba Group European Warrants May 2020 A	40,000,000	2019/12/05	2020/05/25	15.00
14049	BOCI Asia Ltd. - BYD Company European Warrants Apr 2020 A	50,000,000	2019/12/06	2020/04/20	10.50
14054	BOCI Asia Ltd. - China Tower European Warrants May 2021 A	80,000,000	2019/12/06	2021/05/26	14.40
14071	Credit Suisse AG - CM Bank European Warrants Jun 2020 A	50,000,000	2019/12/06	2020/06/05	12.50
14070	Credit Suisse AG - China Shenhua European Wts Apr 2020 B	60,000,000	2019/12/06	2020/04/22	10.02
14067	Credit Suisse AG - CSPC Pharma European Warrants Jun 2020 A	50,000,000	2019/12/06	2020/06/30	12.50
14074	Credit Suisse AG - JXR European Warrants Jun 2020 A	40,000,000	2019/12/06	2020/06/05	10.00
14069	Credit Suisse AG - MTRC European Warrants Jun 2020 A	40,000,000	2019/12/06	2020/06/05	10.00
14075	Credit Suisse AG - PA Gooddoctor European Warrants Jun2020 B	80,000,000	2019/12/06	2020/06/05	20.00
14016	Bank of East Asia - Alibaba Group European Warrants Jul20 B	40,000,000	2019/12/06	2020/07/03	10.00
14017	Bank of East Asia - Alibaba Group European Warrants Sep20 B	40,000,000	2019/12/06	2020/09/30	10.00
14097	Goldman Sachs SP (Asia) - China Mobile Euro Wts May 2020 B	80,000,000	2019/12/06	2020/05/29	12.00
14104	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2020 E	80,000,000	2019/12/06	2020/03/06	12.00
14103	Goldman Sachs SP (Asia) - Tencent European Put Wt Mar 2020 G	100,000,000	2019/12/06	2020/03/18	17.30
14003	HK Bank - China Life European Warrants Sep 2020 A	80,000,000	2019/12/06	2020/09/08	12.00
14004	HK Bank - CSPC Pharma European Warrants Jul 2020 A	80,000,000	2019/12/06	2020/07/31	20.00
14006	HK Bank - HSI European Warrants Jun 2020 F	180,000,000	2019/12/06	2020/06/29	27.00
14025	HK Bank - Meituan European Warrants Jul 2020 B	80,000,000	2019/12/06	2020/07/31	20.00
14026	HK Bank - Meituan Dianping European Put Warrants Dec 2020 A	100,000,000	2019/12/06	2020/12/23	18.00
14044	Haitong Int'l Sec - Alibaba Group European Warrants Jun20 C	80,000,000	2019/12/06	2020/06/18	26.40
14039	Haitong Int'l Sec - China Mobile European Wts Jun 2020 A	40,000,000	2019/12/06	2020/06/15	10.00
14043	Haitong Int'l Sec - Kingsoft European Warrants Apr 2020 A	60,000,000	2019/12/06	2020/04/28	10.80
14058	J P Morgan SP BV - China Mobile European Wts Jun 2020 A	100,000,000	2019/12/06	2020/06/12	25.40
14065	J P Morgan SP BV - HSCEI European Wts Jun 2020 B	200,000,000	2019/12/06	2020/06/29	50.00
14055	J P Morgan SP BV - HSI European Warrants Jul 2020 C	400,000,000	2019/12/06	2020/07/30	100.40
14056	J P Morgan SP BV - HSI European Warrants Jul 2020 D	400,000,000	2019/12/06	2020/07/30	100.40
14063	J P Morgan SP BV - ICBC European Warrants Mar 2020 C	100,000,000	2019/12/06	2020/03/27	19.20
14057	J P Morgan SP BV - Meituan European Warrants Jul 2020 D	100,000,000	2019/12/06	2020/07/31	25.10
14060	J P Morgan SP BV - NCI European Warrants Jun 2020 A	80,000,000	2019/12/06	2020/06/30	20.24
14061	J P Morgan SP BV - Tencent European Warrants Oct 2020 A	250,000,000	2019/12/06	2020/10/30	38.00
14019	Macquarie Bank Ltd. - Alibaba Group European Warrants Jul20E	40,000,000	2019/12/06	2020/07/03	10.20
14023	Macquarie Bank Ltd. - Alibaba Group European Warrants Aug20C	40,000,000	2019/12/06	2020/08/04	10.08
14024	Macquarie Bank Ltd. - Galaxy Ent European Wts Aug 2020 A	24,000,000	2019/12/06	2020/08/04	10.03
14027	Macquarie Bank Ltd. - ZA Online European Warrants Dec 2020 A	29,400,000	2019/12/06	2020/12/16	10.03
14076	SG Issuer - CC Bank European Warrants Jul 2020 B	60,000,000	2019/12/06	2020/07/24	10.20



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14080	SG Issuer - China Mobile European Warrants Jun 2020 B	50,000,000	2019/12/06	2020/06/30	14.00
14088	SG Issuer - CNOOC European Warrants Sep 2020 A	70,000,000	2019/12/06	2020/09/25	10.50
14091	SG Issuer - CSPC Pharma European Warrants Jun 2020 C	60,000,000	2019/12/06	2020/06/22	10.80
14083	SG Issuer - China Unicom European Warrants Nov 2020 A	80,000,000	2019/12/06	2020/11/25	12.00
14093	SG Issuer - ICBC European Warrants Jul 2020 A	60,000,000	2019/12/06	2020/07/24	10.20
14096	SG Issuer - PetroChina European Warrants Jul 2020 A	150,000,000	2019/12/06	2020/07/31	37.50
14033	UBS AG - China Mobile European Warrants Apr 2020 A	100,000,000	2019/12/06	2020/04/24	15.00
14048	UBS AG - Galaxy Ent European Warrants Apr 2020 A	60,000,000	2019/12/06	2020/04/03	10.02
14038	UBS AG - Meituan European Put Warrants Apr 2020 E	100,000,000	2019/12/06	2020/04/20	15.70
14030	UBS AG - Tencent European Put Warrants May 2020 A	300,000,000	2019/12/06	2020/05/19	45.00
14141	BOCI Asia Ltd. - China Mobile European Warrants Jun 2020 A	50,000,000	2019/12/09	2020/06/15	12.50
14142	BOCI Asia Ltd. - China Unicom European Warrants Nov 2020 A	70,000,000	2019/12/09	2020/11/25	10.50
14143	BOCI Asia Ltd. - Ping An European Warrants Mar 2020 E	70,000,000	2019/12/09	2020/03/24	10.50
14192	BNP Paribas Issuance B.V. - SUNAC European Wts Jul 2020 A	40,000,000	2019/12/09	2020/07/03	10.00
14184	Credit Suisse AG - China Coal European Warrants Jun 2020 A	40,000,000	2019/12/09	2020/06/08	10.00
14185	Credit Suisse AG - Meituan European Put Warrants Dec 2020 A	100,000,000	2019/12/09	2020/12/23	15.00
14198	Goldman Sachs SP (Asia) - AIA European Warrants Mar 2020 B	80,000,000	2019/12/09	2020/03/26	33.28
14205	Goldman Sachs SP (Asia) - Evergrande European Wts Apr 2020 A	70,000,000	2019/12/09	2020/04/23	10.50
14197	Goldman Sachs SP (Asia) - Ping An European Wts Mar 2020 F	100,000,000	2019/12/09	2020/03/24	15.00
14200	Goldman Sachs SP (Asia) - Sands China Ltd Euro Wts Mar2020 A	80,000,000	2019/12/09	2020/03/26	12.00
14148	Haitong Int'l Sec - Country Garden European Warrants Oct20 A	50,000,000	2019/12/09	2020/10/27	11.00
14152	J P Morgan SP BV - China Mobile European Put Wts Jun 2020 A	100,000,000	2019/12/09	2020/06/30	25.40
14153	J P Morgan SP BV - Ch Overseas European Warrants Dec 2020 A	80,000,000	2019/12/09	2020/12/31	20.08
14151	J P Morgan SP BV - Kingsoft European Warrants Jul 2020 A	80,000,000	2019/12/09	2020/07/31	16.08
14150	J P Morgan SP BV - PetroChina European Warrants Jul 2020 A	100,000,000	2019/12/09	2020/07/06	25.00
14133	Macquarie Bank Ltd. - Fortune REIT European Wts Apr 2021 A	29,700,000	2019/12/09	2021/04/16	10.01
14128	Macquarie Bank Ltd. - Galaxy Ent European Wts Jul 2020 A	30,500,000	2019/12/09	2020/07/03	10.13
14129	Macquarie Bank Ltd. - Galaxy Ent European Put Wts Jul 2020 A	32,000,000	2019/12/09	2020/07/03	10.27
14134	Macquarie Bank Ltd. - Lenovo European Put Warrants Oct2020 A	29,500,000	2019/12/09	2020/10/06	10.00
14163	SG Issuer - Galaxy Ent European Warrants Apr 2020 C	70,000,000	2019/12/09	2020/04/24	10.50
14157	SG Issuer - HSI European Warrants Jun 2020 A	300,000,000	2019/12/09	2020/06/29	45.00
14155	SG Issuer - HSI European Put Warrants May 2020 A	300,000,000	2019/12/09	2020/05/28	45.00
14156	SG Issuer - HSI European Put Warrants May 2020 B	300,000,000	2019/12/09	2020/05/28	51.00
14171	SG Issuer - Lenovo European Warrants Jun 2020 A	25,000,000	2019/12/09	2020/06/30	10.00
14172	SG Issuer - NCI European Warrants Apr 2020 A	70,000,000	2019/12/09	2020/04/27	10.50
14178	SG Issuer - Ping An European Put Warrants May 2020 A	40,000,000	2019/12/09	2020/05/21	10.00
14105	SG Issuer - Tencent European Put Warrants Jun 2020 A	150,000,000	2019/12/09	2020/06/22	22.50
14147	UBS AG - AAC Tech European Put Warrants Mar 2020 A	40,000,000	2019/12/09	2020/03/26	10.56
14144	UBS AG - CNBM European Wts Sep 2020 A	80,000,000	2019/12/09	2020/09/25	12.00
14146	UBS AG - Xiaomi European Warrants Sep 2020 A	70,000,000	2019/12/09	2020/09/23	11.76
14212	BOCI Asia Ltd. - CSPC Pharma European Warrants Mar 2020 A	70,000,000	2019/12/10	2020/03/26	11.20
14213	BOCI Asia Ltd. - Sunny Optical European Warrants Mar 2020 B	70,000,000	2019/12/10	2020/03/24	10.50
14246	Credit Suisse AG - BOC HK European Put Warrants Jul 2020 A	40,000,000	2019/12/10	2020/07/30	10.00
14245	Credit Suisse AG - CICC European Warrants Sep 2020 A	40,000,000	2019/12/10	2020/09/30	10.00

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14247	Credit Suisse AG - China Mobile European Warrants Jun 2020 A	60,000,000	2019/12/10	2020/06/30	15.00
14227	Credit Suisse AG - CNBM European Warrants Sep 2020 A	70,000,000	2019/12/10	2020/09/25	10.50
14226	Credit Suisse AG - Evergrande European Warrants Apr 2020 A	70,000,000	2019/12/10	2020/04/23	10.50
14233	Credit Suisse AG - Baiyunshan Ph European Warrants Jun2020 A	40,000,000	2019/12/10	2020/06/09	10.00
14244	Credit Suisse AG - CRRC Times European Warrants Jun 2020 A	40,000,000	2019/12/10	2020/06/09	10.00
14306	Goldman Sachs SP (Asia) - China Overseas European Wts Jun20A	60,000,000	2019/12/10	2020/06/30	15.00
14301	Goldman Sachs SP (Asia) - HSBC European Warrants May 2020 A	80,000,000	2019/12/10	2020/05/28	12.00
14300	Goldman Sachs SP (Asia) - HSI European Warrants Mar 2020 E	150,000,000	2019/12/10	2020/03/30	22.50
14302	Goldman Sachs SP (Asia) - MTR Corporation European Wt Apr20A	60,000,000	2019/12/10	2020/04/22	10.02
14225	J P Morgan SP BV - Weigao Group European Warrants Nov 2020 A	80,000,000	2019/12/10	2020/11/30	20.08
14211	Macquarie Bank Ltd. - China East Air European Wts Jul 2020 A	23,000,000	2019/12/10	2020/07/17	10.12
14210	Macquarie Bank Ltd. - Sinopharm European Warrants Jun 2021 A	21,300,000	2019/12/10	2021/06/02	10.03
14249	Morgan Stanley Asia Products Ltd. - Xiaomi Euro Wts Apr20A	68,000,000	2019/12/10	2020/04/23	10.20
14253	Morgan Stanley Asia Products Ltd. - Xiaomi Euro Wts Aug20A	68,000,000	2019/12/10	2020/08/25	10.47
14254	Morgan Stanley Asia Products Ltd. - Xiaomi Eu Put Wts Aug20A	40,000,000	2019/12/10	2020/08/21	10.00
14281	SG Issuer - Alibaba Group European Warrants Mar 2021 A	200,000,000	2019/12/10	2021/03/31	50.00
14284	SG Issuer - Alibaba Group European Warrants Jun 2021 A	200,000,000	2019/12/10	2021/06/30	50.00
14285	SG Issuer - Alibaba Group European Warrants Dec 2021 A	200,000,000	2019/12/10	2021/12/31	50.00
14294	SG Issuer - CSOP A50 ETF European Warrants Jun 2020 B	80,000,000	2019/12/10	2020/06/22	12.00
14295	SG Issuer - A50 China European Warrants Jul 2020 A	80,000,000	2019/12/10	2020/07/24	12.00
14289	SG Issuer - SUNAC European Warrants May 2020 A	30,000,000	2019/12/10	2020/05/26	11.10
14218	UBS AG - CSOP A50 ETF European Warrants Jun 2020 B	70,000,000	2019/12/10	2020/06/29	10.50
14219	UBS AG - Sunny Optical European Warrants Apr 2020 A	60,000,000	2019/12/10	2020/04/23	10.44
14223	UBS AG - Sunny Optical European Put Warrants Apr 2020 A	60,000,000	2019/12/10	2020/04/27	12.12
14265	Bank Vontobel - CK Asset European Warrants Jun 2020 A	30,000,000	2019/12/10	2020/06/09	10.50
14268	Bank Vontobel - China Mobile European Warrants Jul 2020 A	30,000,000	2019/12/10	2020/07/31	12.90
14279	Bank Vontobel - China Mobile European Put Warrants Jul2020 A	40,000,000	2019/12/10	2020/07/03	10.80
14266	Bank Vontobel - GAC European Warrants Nov 2020 A	80,000,000	2019/12/10	2020/11/27	12.00
14267	Bank Vontobel - Greatwall Motor European Warrants Apr 2020 A	25,000,000	2019/12/10	2020/04/27	10.00
14263	Bank Vontobel - Hang Seng Bank European Warrants Jul 2020 A	40,000,000	2019/12/10	2020/07/02	10.00
14257	Bank Vontobel - Ping An European Put Warrants Jun 2020 A	20,000,000	2019/12/10	2020/06/26	10.00
14255	Bank Vontobel - SHK Ppt European Warrants Jun 2020 A	20,000,000	2019/12/10	2020/06/09	11.60
14258	Bank Vontobel - SJM Holdings European Warrants Aug 2020 A	80,000,000	2019/12/10	2020/08/24	12.00
14262	Bank Vontobel - SUNAC European Warrants Jun 2020 A	40,000,000	2019/12/10	2020/06/09	11.20
13511*	Bank Vontobel - Alibaba Group European Warrants Nov 2020 A	40,000,000	2019/12/10	2020/11/03	11.20
14310	BOCI Asia Ltd. - Meituan European Warrants Sep 2020 A	100,000,000	2019/12/11	2020/09/23	20.00
14320	Credit Suisse AG - AIA European Warrants Jun 2020 B	70,000,000	2019/12/11	2020/06/10	17.50
14322	Credit Suisse AG - China East Air European Wts Jun 2020 A	30,000,000	2019/12/11	2020/06/10	10.50
14315	Credit Suisse AG - CMS European Warrants Jun 2020 A	40,000,000	2019/12/11	2020/06/10	10.00
14342	Credit Suisse AG - HSBC European Wts Jun 2020 B	80,000,000	2019/12/11	2020/06/10	20.00
14313	Credit Suisse AG - HSI European Warrants Apr 2020 A	150,000,000	2019/12/11	2020/04/28	22.50
14316	Credit Suisse AG - Meituan European Warrants Oct 2020 A	100,000,000	2019/12/11	2020/10/09	15.00
14324	Credit Suisse AG - Xiaomi European Warrants Apr 2020 A	100,000,000	2019/12/11	2020/04/27	15.00
14360	Goldman Sachs SP (Asia) - ICBC European Warrants Apr 2020 A	80,000,000	2019/12/11	2020/04/23	12.00

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14363	Goldman Sachs SP (Asia) - Tencent European Wts Jun 2020 C	150,000,000	2019/12/11	2020/06/17	22.50
14364	Goldman Sachs SP (Asia) - Tencent European Wts Oct 2020 A	150,000,000	2019/12/11	2020/10/27	22.50
14361	Goldman Sachs SP (Asia) - Xiaomi European Wts Aug 2020 A	60,000,000	2019/12/11	2020/08/25	10.02
14331	J P Morgan SP BV - CICC European Warrants Jul 2020 A	60,000,000	2019/12/11	2020/07/02	15.18
14330	J P Morgan SP BV - CK Asset European Warrants Jul 2020 A	80,000,000	2019/12/11	2020/07/02	20.16
14327	J P Morgan SP BV - China Life European Warrants Sep 2020 B	100,000,000	2019/12/11	2020/09/08	15.60
14333	J P Morgan SP BV - CSOP A50 ETF European Warrants Jul 2020 A	80,000,000	2019/12/11	2020/07/02	12.16
14328	J P Morgan SP BV - HSI European Warrants Jun 2020 O	400,000,000	2019/12/11	2020/06/29	100.40
14329	J P Morgan SP BV - HSI European Warrants Jul 2020 E	400,000,000	2019/12/11	2020/07/30	100.00
14336	J P Morgan SP BV - CRRC Times European Warrants Jul 2020 A	50,000,000	2019/12/11	2020/07/02	12.55
14307	Macquarie Bank Ltd. - WH Group European Warrants May 2021 A	38,400,000	2019/12/11	2021/05/18	10.02
14357	SG Issuer - AAC Tech European Warrants Sep 2020 B	80,000,000	2019/12/11	2020/09/30	12.00
14352	UBS AG - CAM CSI300 European Warrants May 2020 A	70,000,000	2019/12/11	2020/05/26	10.50
14350	UBS AG - CNOOC European Warrants Apr 2020 A	70,000,000	2019/12/11	2020/04/22	10.50
14343	UBS AG - Ping An European Put Warrants Mar 2020 E	100,000,000	2019/12/11	2020/03/26	15.10
14354	Bank Vontobel - Alibaba Group European Warrants Jun 2020 B	40,000,000	2019/12/11	2020/06/10	10.80
14355	Bank Vontobel - Alibaba Group European Warrants Oct 2020 B	40,000,000	2019/12/11	2020/10/28	10.00
14419	Credit Suisse AG - HSI European Warrants Jun 2020 A	150,000,000	2019/12/12	2020/06/29	22.50
14418	Credit Suisse AG - Ping An European Put Warrants Mar 2020 E	100,000,000	2019/12/12	2020/03/30	15.00
14414	Credit Suisse AG - ZA Onine European Warrants Apr 2020 B	50,000,000	2019/12/12	2020/04/27	10.00
14421	Goldman Sachs SP (Asia) - CC Bank European Wts Apr 2020 B	80,000,000	2019/12/12	2020/04/23	12.00
14425	Goldman Sachs SP (Asia) - Galaxy Ent European Wts Apr 2020 C	80,000,000	2019/12/12	2020/04/24	12.00
14423	Goldman Sachs SP (Asia) - Link REIT European Wts Aug 2020 A	70,000,000	2019/12/12	2020/08/03	10.50
14427	Goldman Sachs SP (Asia) - NCI European Warrants Apr 2020 A	70,000,000	2019/12/12	2020/04/28	10.50
14397	HK Bank - Sinopec Corp European Warrants Sep 2020 A	80,000,000	2019/12/12	2020/09/30	20.00
14379	HK Bank - PetroChina European Warrants Sep 2020 A	80,000,000	2019/12/12	2020/09/30	20.00
14389	HK Bank - Ping An European Warrants Jun 2020 A	80,000,000	2019/12/12	2020/06/30	20.80
14391	HK Bank - Ping An European Warrants Mar 2022 A	180,000,000	2019/12/12	2022/03/28	27.00
14387	HK Bank - Tencent European Warrants Apr 2020 A	100,000,000	2019/12/12	2020/04/24	18.00
14392	HK Bank - Zhaojin Mining European Warrants Nov 2020 A	80,000,000	2019/12/12	2020/11/20	12.00
14410	J P Morgan SP BV - AAC Tech European Warrants Jul 2020 A	50,000,000	2019/12/12	2020/07/02	21.25
14412	J P Morgan SP BV - Bosideng European Warrants Jun 2020 A	25,000,000	2019/12/12	2020/06/11	10.10
14401	J P Morgan SP BV - HSBC European Warrants Jul 2020 A	100,000,000	2019/12/12	2020/07/02	25.80
14378	Macquarie Bank Ltd. - CK Asset European Warrants Jul 2020 A	40,000,000	2019/12/12	2020/07/03	10.20
14372	Macquarie Bank Ltd. - China Taiping European Wts Aug 2020 A	23,500,000	2019/12/12	2020/08/14	10.08
14377	Macquarie Bank Ltd. - New World Dev European Wts Jun 2021 A	38,000,000	2019/12/12	2021/06/02	10.03
14486	SG Issuer - HSI European Warrants Jun 2020 B	300,000,000	2019/12/12	2020/06/29	45.00
14367	UBS AG - AAC Tech European Warrants Sep 2020 A	100,000,000	2019/12/12	2020/09/21	15.00
14365	UBS AG - MTR Corporation European Warrants Jun 2020 B	60,000,000	2019/12/12	2020/06/15	15.06
14368	UBS AG - PA Gooddoctor European Warrants May 2020 A	80,000,000	2019/12/12	2020/05/12	12.00
14468	Bank Vontobel - AAC Tech European Warrants Apr 2020 C	20,000,000	2019/12/12	2020/04/29	10.00
14470	Bank Vontobel - China Life European Warrants Sep 2020 A	40,000,000	2019/12/12	2020/09/07	10.00
14474	Bank Vontobel - China Life European Warrants Dec 2020 A	40,000,000	2019/12/12	2020/12/04	10.00
14448	Bank Vontobel - CNOOC European Warrants Apr 2020 A	70,000,000	2019/12/12	2020/04/24	10.50

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14462	Bank Vontobel - CSOP A50 ETF European Warrants Jul 2020 B	40,000,000	2019/12/12	2020/07/06	10.00
14465	Bank Vontobel - CSOP A50 ETF European Warrants Aug 2020 A	60,000,000	2019/12/12	2020/08/24	10.20
14467	Bank Vontobel - CSOP A50 ETF European Put Warrants Apr2020 A	70,000,000	2019/12/12	2020/04/22	10.50
14444	Bank Vontobel - China Unicom European Warrants Nov 2020 A	70,000,000	2019/12/12	2020/11/26	11.20
14440	Bank Vontobel - HKEX European Put Warrants Jun 2020 A	70,000,000	2019/12/12	2020/06/26	10.50
14429	Bank Vontobel - HSBC European Put Warrants Jun 2020 C	40,000,000	2019/12/12	2020/06/26	10.00
14484	Bank Vontobel - NCI European Warrants May 2020 A	70,000,000	2019/12/12	2020/05/11	10.50
14479	Bank Vontobel - NCI European Warrants Jul 2020 A	40,000,000	2019/12/12	2020/07/06	10.00
14482	Bank Vontobel - NCI European Warrants Dec 2020 A	40,000,000	2019/12/12	2020/12/07	11.20
14447	Bank Vontobel - PetroChina European Warrants Sep 2020 A	40,000,000	2019/12/12	2020/09/01	10.00
14458	Bank Vontobel - Ping An European Warrants Jul 2020 A	30,000,000	2019/12/12	2020/07/06	14.10
14435	Bank Vontobel - Swire Pacific A European Warrants Sep 2020 A	20,000,000	2019/12/12	2020/09/28	10.20
14533	BOCI Asia Ltd. - AAC Tech European Warrants Nov 2020 A	100,000,000	2019/12/13	2020/11/30	25.00
14519	BOCI Asia Ltd. - HSBC European Put Warrants Mar 2020 C	70,000,000	2019/12/13	2020/03/24	11.90
14522	BOCI Asia Ltd. - PetroChina European Warrants Jul 2020 A	70,000,000	2019/12/13	2020/07/24	12.60
14518	BOCI Asia Ltd. - Ping An European Put Warrants May 2020 A	80,000,000	2019/12/13	2020/05/29	22.40
14527	BNP Paribas Issuance B.V. - AAC Tech Euro Wts Jul 2020 B	20,000,000	2019/12/13	2020/07/03	11.60
14547	Credit Suisse AG - AAC Tech European Warrants Oct 2020 A	80,000,000	2019/12/13	2020/10/30	20.00
14537	Credit Suisse AG - China Lit European Warrants Jun 2020 A	40,000,000	2019/12/13	2020/06/12	10.00
14539	Credit Suisse AG - HSI European Put Warrants May 2020 A	150,000,000	2019/12/13	2020/05/28	22.50
14536	Credit Suisse AG - Ping An European Warrants May 2020 A	150,000,000	2019/12/13	2020/05/12	24.00
14534	Credit Suisse AG - Xiaomi European Put Warrants Sep 2020 A	60,000,000	2019/12/13	2020/09/30	15.00
14607	Goldman Sachs SP (Asia) - CKA European Warrants May 2020 A	70,000,000	2019/12/13	2020/05/29	10.50
14608	Goldman Sachs SP (Asia) - CNOOC European Warrants Apr 2020 A	80,000,000	2019/12/13	2020/04/22	12.00
14609	Goldman Sachs SP (Asia) - CSOP A50 ETF Euro Wts Apr 2020 A	100,000,000	2019/12/13	2020/04/21	15.00
14614	Goldman Sachs SP (Asia) - Lenovo European Wts Apr 2020 A	60,000,000	2019/12/13	2020/04/20	10.08
14597	Haitong Int'l Sec - AAC Tech European Put Warrants Jul2020 A	80,000,000	2019/12/13	2020/07/24	12.00
14596	Haitong Int'l Sec - AIA European Warrants Mar 2020 A	50,000,000	2019/12/13	2020/03/26	17.00
14603	Haitong Int'l Sec - Sunny Optical European Wts May 2020 A	50,000,000	2019/12/13	2020/05/26	13.00
14601	Haitong Int'l Sec - Sunny Optical European Put Wts Apr2020 A	80,000,000	2019/12/13	2020/04/27	12.00
14550	J P Morgan SP BV - AAC Tech European Put Warrants Jul 2020 A	80,000,000	2019/12/13	2020/07/31	12.72
14565	J P Morgan SP BV - AIA European Warrants Jun 2020 C	100,000,000	2019/12/13	2020/06/12	35.60
14554	J P Morgan SP BV - CNOOC European Warrants Apr 2020 A	80,000,000	2019/12/13	2020/04/22	12.00
14567	J P Morgan SP BV - HSI European Put Warrants May 2020 C	300,000,000	2019/12/13	2020/05/28	45.00
14548	J P Morgan SP BV - Sunny Optical European Put Wts Oct 2020 A	100,000,000	2019/12/13	2020/10/05	25.20
14500	Macquarie Bank Ltd. - Alibaba Group European Warrants Jun20E	42,000,000	2019/12/13	2020/06/02	10.25
14503	Macquarie Bank Ltd. - Alibaba Group European Warrants Dec22A	24,500,000	2019/12/13	2022/12/02	10.07
14515	Macquarie Bank Ltd. - Xinyi Solar European Warrants Oct21 A	40,000,000	2019/12/13	2021/10/18	10.00
14498	Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Jun20A	68,000,000	2019/12/13	2020/06/02	10.20
14487	Morgan Stanley Asia Products Ltd. - Ping An Eu Put Wt Apr20A	68,000,000	2019/12/13	2020/04/01	10.20
14571	SG Issuer - AAC Tech European Warrants Jun 2020 A	20,000,000	2019/12/13	2020/06/30	10.00
14572	SG Issuer - AAC Tech European Put Warrants Jul 2020 A	70,000,000	2019/12/13	2020/07/24	11.55
14578	SG Issuer - Meituan European Put Warrants Apr 2020 A	70,000,000	2019/12/13	2020/04/20	10.50
14581	SG Issuer - SHK Ppt European Warrants May 2020 A	30,000,000	2019/12/13	2020/05/26	10.05

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14588	SG Issuer - Sunny Optical European Warrants May 2020 B	60,000,000	2019/12/13	2020/05/04	10.80
14504	UBS AG - AAC Tech European Warrants Jun 2020 A	40,000,000	2019/12/13	2020/06/30	22.12
14509	UBS AG - BOC HK European Warrants Jun 2020 A	50,000,000	2019/12/13	2020/06/22	12.50
14514	UBS AG - PetroChina European Warrants Jul 2020 A	70,000,000	2019/12/13	2020/07/24	10.50
14589	Bank Vontobel - AAC Tech European Warrants Jul 2020 A	30,000,000	2019/12/13	2020/07/03	10.80
14593	Bank Vontobel - AAC Tech European Warrants May 2021 A	40,000,000	2019/12/13	2021/05/03	10.00
14595	Bank Vontobel - Sunny Optical European Warrants Aug 2020 A	40,000,000	2019/12/13	2020/08/03	10.40
14693	Credit Suisse AG - Sunny Optical European Put Wts Jun 2020 A	50,000,000	2019/12/16	2020/06/15	12.50
14618	Guotai Junan - Meituan European Warrants May 2020 A	100,000,000	2019/12/16	2020/05/29	20.80
14620	Guotai Junan - Meituan European Warrants Jul 2020 A	100,000,000	2019/12/16	2020/07/28	15.80
14615	Guotai Junan - Ping An European Warrants Mar 2020 A	100,000,000	2019/12/16	2020/03/31	15.50
14616	Guotai Junan - Ping An European Put Warrants May 2020 A	100,000,000	2019/12/16	2020/05/28	30.80
14710	Goldman Sachs SP (Asia) - HSI European Put Wts May 2020 A	150,000,000	2019/12/16	2020/05/28	22.50
14719	Goldman Sachs SP (Asia) - Ping An European Wts May 2020 A	100,000,000	2019/12/16	2020/05/12	15.00
14711	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts May 2020 A	60,000,000	2019/12/16	2020/05/26	13.56
14714	Goldman Sachs SP (Asia) - Sunny Optical Euro Put Wts Apr20 A	70,000,000	2019/12/16	2020/04/27	10.50
14627	HK Bank - HSI European Warrants Jun 2020 G	180,000,000	2019/12/16	2020/06/29	27.00
14626	HK Bank - HSI European Put Warrants May 2020 G	180,000,000	2019/12/16	2020/05/28	27.00
14662	J P Morgan SP BV - China Gas Hold European Wts Jul 2020 A	60,000,000	2019/12/16	2020/07/03	15.12
14681	J P Morgan SP BV - CSOP A50 ETF European Warrants Apr 2020 A	80,000,000	2019/12/16	2020/04/21	12.16
14666	J P Morgan SP BV - Genscript Bio European Warrants Jul2020 A	60,000,000	2019/12/16	2020/07/02	19.38
14672	J P Morgan SP BV - SMIC European Wts Mar 2020 B	70,000,000	2019/12/16	2020/03/31	10.85
14677	J P Morgan SP BV - SUNAC European Warrants Aug 2020 A	60,000,000	2019/12/16	2020/08/03	17.46
14649	Macquarie Bank Ltd. - Minsheng Bank European Wts Jun 2021 A	24,900,000	2019/12/16	2021/06/02	10.03
14654	Macquarie Bank Ltd. - CSOP A50 ETF European Wts Jul 2020 A	25,000,000	2019/12/16	2020/07/03	10.65
14656	Macquarie Bank Ltd. - A50 China European Warrants Jul 2020 A	21,000,000	2019/12/16	2020/07/03	10.35
14645	Macquarie Bank Ltd. - Lenovo European Warrants Sep 2020 A	20,000,000	2019/12/16	2020/09/02	10.04
14650	Macquarie Bank Ltd. - Xiaomi European Warrants Dec 2020 A	40,000,000	2019/12/16	2020/12/02	10.12
14644	Morgan Stanley Asia Products Ltd. - HKEX European Wts Nov20A	68,000,000	2019/12/16	2020/11/25	10.81
14637	Morgan Stanley Asia Products Ltd. - Ping An Euro Wts May20A	68,000,000	2019/12/16	2020/05/12	10.20
14643	Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Dec21A	68,000,000	2019/12/16	2021/12/28	10.20
14709	SG Issuer - CAM CSI300 European Warrants May 2020 A	60,000,000	2019/12/16	2020/05/26	10.80
14696	SG Issuer - Sands China European Put Warrants Jun 2020 A	40,000,000	2019/12/16	2020/06/30	11.20
14701	SG Issuer - Sunny Optical European Put Warrants Apr 2020 A	60,000,000	2019/12/16	2020/04/27	10.20
14657	UBS AG - AAC Tech European Put Warrants Jul 2020 A	100,000,000	2019/12/16	2020/07/24	15.00
14660	UBS AG - HSI European Put Warrants Apr 2020 C	300,000,000	2019/12/16	2020/04/28	45.00
14658	UBS AG - Sunny Optical European Warrants Jun 2020 A	60,000,000	2019/12/16	2020/06/22	17.28
14625	Bank Vontobel - CM Bank European Warrants Aug 2020 A	40,000,000	2019/12/16	2020/08/03	10.40
14621	Bank Vontobel - China Overseas European Warrants Sep 2020 A	40,000,000	2019/12/16	2020/09/30	10.00
14635	Bank Vontobel - China Tower European Warrants May 2021 A	40,000,000	2019/12/16	2021/05/17	10.00
14629	Bank Vontobel - Evergrande European Warrants Aug 2020 A	40,000,000	2019/12/16	2020/08/27	10.00
23936#	Credit Suisse AG - Xiaomi European Warrants Mar 2020 B	110,000,000	2019/12/16	2020/03/31	10.01
14741	BOCI Asia Ltd. - CK Asset European Warrants Jun 2020 A	40,000,000	2019/12/17	2020/06/29	10.40
14738	BOCI Asia Ltd. - CNOOC European Warrants Apr 2020 A	100,000,000	2019/12/17	2020/04/22	15.00



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14740	BOCI Asia Ltd. - Genscript Bio European Warrants Jun 2020 A	70,000,000	2019/12/17	2020/06/29	19.60
14739	BOCI Asia Ltd. - Link REIT European Warrants Apr 2020 A	70,000,000	2019/12/17	2020/04/23	11.20
14737	BNP Paribas Issuance B.V. - China Life Euro Wts Oct 2020 A	40,000,000	2019/12/17	2020/10/06	10.00
14736	BNP Paribas Issuance B.V. - Meituan European Warrants Aug20A	100,000,000	2019/12/17	2020/08/04	15.00
14730	BNP Paribas Issuance B.V. - Tencent European Wts Jul 2020 C	40,000,000	2019/12/17	2020/07/03	10.40
14731	BNP Paribas Issuance B.V. - Tencent European Wts Oct 2020 B	80,000,000	2019/12/17	2020/10/06	20.00
14792	Credit Suisse AG - Country Garden European Warrants Jul 20 A	40,000,000	2019/12/17	2020/07/31	10.00
14788	Credit Suisse AG - China Life European Warrants Jun 2020 A	60,000,000	2019/12/17	2020/06/23	10.02
14781	Credit Suisse AG - Sinopec Corp European Warrants Jul 2020 A	50,000,000	2019/12/17	2020/07/31	12.50
14774	Credit Suisse AG - Genscript Bio European Warrants Jun2020 A	35,000,000	2019/12/17	2020/06/16	10.15
14789	Credit Suisse AG - SUNAC European Warrants Jun 2020 B	40,000,000	2019/12/17	2020/06/30	10.00
14768	Credit Suisse AG - Tencent European Wts Apr 2020 D	150,000,000	2019/12/17	2020/04/24	22.50
14772	Credit Suisse AG - Tencent European Wts May 2020 A	250,000,000	2019/12/17	2020/05/27	37.50
14785	Credit Suisse AG - Zijin Mining European Warrants Jun 2020 A	40,000,000	2019/12/17	2020/06/16	10.00
14802	Goldman Sachs SP (Asia) - AAC Tech Euro Wts Jun 2020 A	50,000,000	2019/12/17	2020/06/22	20.60
14801	Goldman Sachs SP (Asia) - AIA European Warrants Jun 2020 B	80,000,000	2019/12/17	2020/06/01	13.52
14807	Goldman Sachs SP (Asia) - Bank of China Euro Wts Sep 2020 A	80,000,000	2019/12/17	2020/09/25	12.00
14796	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2020 A	150,000,000	2019/12/17	2020/06/29	22.50
14798	Goldman Sachs SP (Asia) - SUNAC European Warrants May 2020 A	40,000,000	2019/12/17	2020/05/26	10.00
14763	J P Morgan SP BV - Greatwall Motor European Wts Jul 2020 A	40,000,000	2019/12/17	2020/07/03	15.84
14764	J P Morgan SP BV - Hua Hong Semi European Warrants Aug2020 A	60,000,000	2019/12/17	2020/08/03	15.66
14767	J P Morgan SP BV - JXR European Warrants Jun 2020 A	60,000,000	2019/12/17	2020/06/02	12.18
14753	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 A	30,000,000	2019/12/17	2020/07/02	16.50
14755	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 B	25,000,000	2019/12/17	2020/07/02	23.58
14746	Macquarie Bank Ltd. - Tencent European Put Wts Jun 2020 H	35,000,000	2019/12/17	2020/06/24	10.08
14793	Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Dec20A	58,000,000	2019/12/17	2020/12/23	10.44
14794	SG Issuer - CKH Holdings European Warrants Jun 2020 A	40,000,000	2019/12/17	2020/06/30	10.00
14795	SG Issuer - SMIC European Warrants May 2020 A	70,000,000	2019/12/17	2020/05/26	11.20
14760	UBS AG - Evergrande European Warrants Jul 2020 A	40,000,000	2019/12/17	2020/07/20	11.20
14742	UBS AG - Kingsoft European Warrants Apr 2020 A	50,000,000	2019/12/17	2020/04/22	10.05
14745	UBS AG - Sino Biopharm European Warrants Jun 2020 A	40,000,000	2019/12/17	2020/06/30	10.00
14720	Bank Vontobel - Sunny Optical European Put Warrants Jun20 C	30,000,000	2019/12/17	2020/06/26	10.80
14721	Bank Vontobel - Sunny Optical European Put Warrants Dec20 A	70,000,000	2019/12/17	2020/12/23	10.50
14878	BOCI Asia Ltd. - Evergrande European Warrants Apr 2020 A	50,000,000	2019/12/18	2020/04/24	12.00
14874	BOCI Asia Ltd. - Xiaomi European Warrants Jun 2020 A	70,000,000	2019/12/18	2020/06/23	10.50
14870	BOCI Asia Ltd. - Xiaomi European Put Warrants Aug 2020 A	70,000,000	2019/12/18	2020/08/14	10.50
15032	Credit Suisse AG - AAC Tech European Warrants Jun 2020 A	30,000,000	2019/12/18	2020/06/30	10.20
15039	Credit Suisse AG - AAC Tech European Put Warrants Jun 2020 A	80,000,000	2019/12/18	2020/06/30	20.00
15029	Credit Suisse AG - CHALCO European Wts Jun 2020 A	40,000,000	2019/12/18	2020/06/17	10.00
15005	Credit Suisse AG - Nine Dragons European Warrants Aug 2020 A	40,000,000	2019/12/18	2020/08/31	10.00
15022	Credit Suisse AG - Xinyi Glass European Warrants Jul 2020 A	40,000,000	2019/12/18	2020/07/31	10.00
15072	Goldman Sachs SP (Asia) - A50 China European Wts Jul 2020 A	70,000,000	2019/12/18	2020/07/24	10.50
15112	Goldman Sachs SP (Asia) - HSBC European Warrants Mar 2020 C	80,000,000	2019/12/18	2020/03/24	12.00
15048	Goldman Sachs SP (Asia) - Meituan European Warrants Apr20 F	60,000,000	2019/12/18	2020/04/07	20.46

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15058	Goldman Sachs SP (Asia) - PetroChina European Wts Jun 2020 A	80,000,000	2019/12/18	2020/06/29	12.00
15066	Goldman Sachs SP (Asia) - Xiaomi European Put Wts Aug 2020 A	70,000,000	2019/12/18	2020/08/14	10.50
14849	HK Bank - Xiaomi European Put Warrants Oct 2020 A	80,000,000	2019/12/18	2020/10/05	20.00
14943	Haitong Int'l Sec - AAC Tech European Warrants Jun 2020 A	40,000,000	2019/12/18	2020/06/23	29.20
14934	Haitong Int'l Sec - Galaxy Ent European Warrants May 2020 A	40,000,000	2019/12/18	2020/05/27	10.40
14935	Haitong Int'l Sec - SUNAC European Warrants Jun 2020 A	40,000,000	2019/12/18	2020/06/02	12.80
14993	J P Morgan SP BV - CAM CSI300 European Warrants Aug 2020 A	60,000,000	2019/12/18	2020/08/31	11.40
14999	J P Morgan SP BV - Meituan European Warrants Jun 2020 D	100,000,000	2019/12/18	2020/06/30	17.30
14987	J P Morgan SP BV - Xiaomi European Put Warrants Aug 2020 A	100,000,000	2019/12/18	2020/08/14	15.20
14994	J P Morgan SP BV - Zijin Mining European Warrants Jul 2020 A	60,000,000	2019/12/18	2020/07/02	15.06
14850	Macquarie Bank Ltd. - China Unicom European Wts Jul 2020 A	40,000,000	2019/12/18	2020/07/03	10.08
14869	Macquarie Bank Ltd. - SMIC European Warrants Jul 2020 A	40,000,000	2019/12/18	2020/07/07	10.00
14860	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 C	16,000,000	2019/12/18	2020/07/02	10.00
14861	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 D	8,000,000	2019/12/18	2020/07/02	11.25
14866	Macquarie Bank Ltd. - Xiaomi European Warrants Oct 2020 A	40,000,000	2019/12/18	2020/10/06	10.08
14855	Macquarie Bank Ltd. - Xiaomi European Warrants Apr 2021 A	40,000,000	2019/12/18	2021/04/07	10.04
14966	Morgan Stanley Asia Products Ltd. - AAC Tech Eu Put Wt Jul20A	68,000,000	2019/12/18	2020/07/24	10.20
14808	Morgan Stanley Asia Products Ltd. - AIA European Wts Dec20A	88,000,000	2019/12/18	2020/12/23	13.20
14824	Morgan Stanley Asia Products Ltd. - AIA European Wts Jan21A	88,000,000	2019/12/18	2021/01/15	22.00
14841	Morgan Stanley Asia Products Ltd. - HKEX European Wts Dec20A	108,000,000	2019/12/18	2020/12/23	16.20
14958	Morgan Stanley Asia Products Ltd. - Sunny Opt Eu Put Wt Dec20A	68,000,000	2019/12/18	2020/12/23	10.20
14952	Morgan Stanley Asia Products Ltd. - Xiaomi Euro Wts Jun20 A	68,000,000	2019/12/18	2020/06/23	10.20
14950	Morgan Stanley Asia Products Ltd. - Xiaomi Euro Wts Dec20 A	80,000,000	2019/12/18	2020/12/22	12.96
14978	SG Issuer - China Comm Cons European Warrants Jul 2020 A	30,000,000	2019/12/18	2020/07/31	10.05
14984	SG Issuer - CITIC Sec European Warrants Jul 2020 A	60,000,000	2019/12/18	2020/07/28	10.20
14983	SG Issuer - Sinopec Corp European Warrants Jul 2020 A	40,000,000	2019/12/18	2020/07/31	10.00
14986	SG Issuer - Xiaomi European Put Warrants Aug 2020 A	70,000,000	2019/12/18	2020/08/14	10.50
14894	UBS AG - Meituan European Warrants May 2020 A	200,000,000	2019/12/18	2020/05/22	32.20
14898	UBS AG - Ping An European Warrants Mar 2020 F	100,000,000	2019/12/18	2020/03/26	15.10
14905	UBS AG - Xiaomi European Put Warrants Aug 2020 A	100,000,000	2019/12/18	2020/08/14	15.30
12336*	Credit Suisse AG - China Tower European Warrants Apr 2020 A	130,000,000	2019/12/18	2020/04/22	10.14
15208	BNP Paribas Issuance B.V. - Tencent Eu Put Wt Jun 2020 A	70,000,000	2019/12/19	2020/06/02	10.50
15275	Credit Suisse AG - Minsheng Bank European Wts Jun 2020 A	40,000,000	2019/12/19	2020/06/18	10.00
15263	Credit Suisse AG - CNOOC European Warrants Sep 2020 A	60,000,000	2019/12/19	2020/09/25	10.02
15269	Credit Suisse AG - NCI European Warrants May 2020 B	70,000,000	2019/12/19	2020/05/22	10.50
15265	Credit Suisse AG - PICC European Warrants Dec 2020 A	60,000,000	2019/12/19	2020/12/23	10.02
15278	Credit Suisse AG - Tencent Euro Put Wts Mar 2020 A	200,000,000	2019/12/19	2020/03/18	30.00
15388	Credit Suisse AG - Xiaomi European Warrants Dec 2020 B	100,000,000	2019/12/19	2020/12/31	25.00
15289	Goldman Sachs SP (Asia) - AIA European Put Wts Mar 2020 A	80,000,000	2019/12/19	2020/03/24	14.32
15324	Goldman Sachs SP (Asia) - HSI European Warrants Jun 2020 B	150,000,000	2019/12/19	2020/06/29	22.50
15303	Goldman Sachs SP (Asia) - ICBC European Warrants Mar 2020 A	80,000,000	2019/12/19	2020/03/27	14.64
15304	Goldman Sachs SP (Asia) - Tencent European Put Wt May 2020 B	100,000,000	2019/12/19	2020/05/27	15.00
15155	HK Bank - AAC Tech European Warrants Oct 2020 A	100,000,000	2019/12/19	2020/10/05	25.00
15123	HK Bank - AAC Tech European Put Warrants Jul 2020 A	80,000,000	2019/12/19	2020/07/24	12.00

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15143	HK Bank - HSI European Put Warrants May 2020 H	200,000,000	2019/12/19	2020/05/28	30.00
15144	HK Bank - Sunny Optical European Warrants Oct 2020 A	100,000,000	2019/12/19	2020/10/05	25.00
15121	HK Bank - Sunny Optical European Put Warrants Nov 2020 A	80,000,000	2019/12/19	2020/11/02	20.00
15156	HK Bank - Tencent European Put Warrants May 2020 A	100,000,000	2019/12/19	2020/05/26	15.00
15210	Haitong Int'l Sec - CC Bank European Warrants Jul 2020 A	60,000,000	2019/12/19	2020/07/24	11.40
15218	Haitong Int'l Sec - CM Bank European Warrants May 2020 A	80,000,000	2019/12/19	2020/05/19	12.00
15188	J P Morgan SP BV - HSI European Put Warrants Jun 2020 A	400,000,000	2019/12/19	2020/06/29	100.00
15191	J P Morgan SP BV - HSI European Put Warrants Jun 2020 B	400,000,000	2019/12/19	2020/06/29	100.40
15192	J P Morgan SP BV - HSI European Put Warrants Jul 2020 A	400,000,000	2019/12/19	2020/07/30	100.40
15194	J P Morgan SP BV - Tencent European Put Warrants Mar 2020 A	200,000,000	2019/12/19	2020/03/18	30.20
15206	J P Morgan SP BV - Tencent European Put Warrants Jun 2020 B	150,000,000	2019/12/19	2020/06/24	22.65
15196	J P Morgan SP BV - Xiaomi European Put Warrants Jun 2021 A	100,000,000	2019/12/19	2021/06/02	15.10
15139	Macquarie Bank Ltd. - AAC Tech European Wts Aug 2021 B	40,000,000	2019/12/19	2021/08/03	10.00
15127	Macquarie Bank Ltd. - Tencent European Put Wts Jul 2020 A	8,000,000	2019/12/19	2020/07/02	10.95
15452	Morgan Stanley Asia Products Ltd. - AAC Tech Euro Wts Sep20A	68,000,000	2019/12/19	2020/09/01	17.00
15455	Morgan Stanley Asia Products Ltd. - AIA European Wts Jun20A	88,000,000	2019/12/19	2020/06/22	13.20
15474	Morgan Stanley Asia Products Ltd. - AIA European Wts Jul21A	88,000,000	2019/12/19	2021/07/27	13.20
15437	Morgan Stanley Asia Products Ltd. - China Life Eu Wts May20A	80,000,000	2019/12/19	2020/05/22	12.00
15389	Morgan Stanley Asia Products Ltd. - China Life Eu Wts Jun20A	80,000,000	2019/12/19	2020/06/23	12.16
15419	Morgan Stanley Asia Products Ltd. - HKEX Eu Put Wts Apr20A	80,000,000	2019/12/19	2020/04/01	17.60
15487	Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Feb21A	68,000,000	2019/12/19	2021/02/01	10.20
15430	Morgan Stanley Asia Products Ltd. - Ping An Eu Put Wt Apr20B	68,000,000	2019/12/19	2020/04/01	18.16
15415	Morgan Stanley Asia Products Ltd. - SUNAC Eu Wt Jun20A	40,000,000	2019/12/19	2020/06/18	10.00
15447	Morgan Stanley Asia Products Ltd. - Sunny Opt Eu Wt Jun20A	68,000,000	2019/12/19	2020/06/18	21.76
15491	Morgan Stanley Asia Products Ltd. - Sunny Opt Eu Wt Jul20A	68,000,000	2019/12/19	2020/07/10	17.00
15402	Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Apr20 A	128,000,000	2019/12/19	2020/04/01	19.20
15410	Morgan Stanley Asia Products Ltd. - Tencent Eu Put Wt Mar20A	80,000,000	2019/12/19	2020/03/20	12.00
15331	SG Issuer - Bud Apac European Warrants Mar 2020 A	60,000,000	2019/12/19	2020/03/26	10.50
15342	SG Issuer - Bud Apac European Warrants Apr 2020 A	70,000,000	2019/12/19	2020/04/23	10.50
15344	SG Issuer - Bud Apac European Put Warrants Apr 2020 A	50,000,000	2019/12/19	2020/04/23	10.75
15356	SG Issuer - Geely Auto European Warrants Apr 2020 C	60,000,000	2019/12/19	2020/04/27	10.20
15346	SG Issuer - Galaxy Ent European Put Warrants May 2020 A	40,000,000	2019/12/19	2020/05/25	15.00
15387	SG Issuer - HSI European Put Warrants May 2020 C	300,000,000	2019/12/19	2020/05/28	45.00
15375	SG Issuer - Tencent European Put Warrants Mar 2020 J	100,000,000	2019/12/19	2020/03/26	20.00
15383	SG Issuer - Tencent European Put Warrants May 2020 A	100,000,000	2019/12/19	2020/05/26	15.00
15384	SG Issuer - Wynn Macau European Warrants Sep 2020 A	60,000,000	2019/12/19	2020/09/30	11.40
15187	UBS AG - HSI European Put Warrants May 2020 A	200,000,000	2019/12/19	2020/05/28	30.20
15173	UBS AG - Tencent European Warrants Apr 2020 A	150,000,000	2019/12/19	2020/04/24	22.65
15157	UBS AG - Tencent European Put Warrants Mar 2020 A	300,000,000	2019/12/19	2020/03/19	45.60
15160	UBS AG - Tencent European Put Warrants Mar 2020 B	300,000,000	2019/12/19	2020/03/26	77.10
15232	Bank Vontobel - Tencent European Warrants Oct 2020 A	100,000,000	2019/12/19	2020/10/27	17.00
15246	Bank Vontobel - Tencent European Put Warrants Mar 2020 F	100,000,000	2019/12/19	2020/03/26	22.00
15259	Bank Vontobel - Xiaomi European Warrants Jun 2020 A	80,000,000	2019/12/19	2020/06/23	12.00
15262	Bank Vontobel - Xiaomi European Put Warrants Aug 2020 A	80,000,000	2019/12/19	2020/08/14	12.00



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股份 代號	衍生權證	上市時之			集資金額 到期日 (百萬港元)
		發行單位	上市日期		
15597	BOCI Asia Ltd. - AAC Tech European Put Warrants Jul 2020 A	70,000,000	2019/12/20	2020/07/24	10.50
15589	BOCI Asia Ltd. - Sunny Optical European Warrants May 2020 A	50,000,000	2019/12/20	2020/05/04	11.00
15593	BOCI Asia Ltd. - Sunny Optical European Put Warrants Sep20 A	50,000,000	2019/12/20	2020/09/24	10.00
15583	BOCI Asia Ltd. - Tencent European Put Wts Mar 2020 J	200,000,000	2019/12/20	2020/03/26	42.00
15579	BOCI Asia Ltd. - Tencent European Put Wts May 2020 C	200,000,000	2019/12/20	2020/05/26	30.00
15617	BOCI Asia Ltd. - Xiaomi European Warrants Nov 2020 A	70,000,000	2019/12/20	2020/11/20	17.50
15754	Credit Suisse AG - HSBC European Put Warrants Jun 2020 A	80,000,000	2019/12/20	2020/06/18	12.00
15716	Credit Suisse AG - HSI European Put Warrants May 2020 B	150,000,000	2019/12/20	2020/05/28	22.50
15757	Credit Suisse AG - Ping An European Warrants May 2020 B	150,000,000	2019/12/20	2020/05/26	22.50
15756	Credit Suisse AG - SUNAC European Put Warrants Jun 2020 A	30,000,000	2019/12/20	2020/06/19	10.50
15750	Credit Suisse AG - Tencent Euro Put Wts Mar 2020 B	200,000,000	2019/12/20	2020/03/26	30.00
15746	Credit Suisse AG - Tencent Euro Put Wts May 2020 B	200,000,000	2019/12/20	2020/05/26	30.00
15958	Goldman Sachs SP (Asia) - Ch Comm Cons Euro Wts Dec 2020 A	80,000,000	2019/12/20	2020/12/23	12.00
15957	Goldman Sachs SP (Asia) - Galaxy Ent European Wts May 2020 A	80,000,000	2019/12/20	2020/05/25	22.24
15950	Goldman Sachs SP (Asia) - Tencent European Put Wt Mar 2020 H	100,000,000	2019/12/20	2020/03/26	15.00
15943	Goldman Sachs SP (Asia) - Xiaomi European Wts Mar 2020 C	80,000,000	2019/12/20	2020/03/26	12.00
15599	HK Bank - HSI European Warrants May 2020 F	180,000,000	2019/12/20	2020/05/28	27.00
15547	HK Bank - SMIC European Warrants May 2020 A	80,000,000	2019/12/20	2020/05/26	20.00
15533	HK Bank - Tencent European Warrants Jun 2020 D	80,000,000	2019/12/20	2020/06/30	20.00
15563	HK Bank - Xiaomi European Warrants Nov 2020 A	80,000,000	2019/12/20	2020/11/02	20.00
15940	Haitong Int'l Sec - CAM CSI300 European Warrants Aug 2020 A	50,000,000	2019/12/20	2020/08/24	10.50
15939	Haitong Int'l Sec - Sands China European Warrants Jun 2020 A	50,000,000	2019/12/20	2020/06/24	10.00
15910	Haitong Int'l Sec - SJM Holdings European Warrants Aug2020 A	50,000,000	2019/12/20	2020/08/24	11.00
15804	J P Morgan SP BV - CKH Holdings European Warrants Aug 2020 A	60,000,000	2019/12/20	2020/08/03	15.24
15815	J P Morgan SP BV - Ch Molybdenum European Wts Jul 2020 A	60,000,000	2019/12/20	2020/07/10	15.12
15799	J P Morgan SP BV - Galaxy Ent European Warrants Jul 2020 A	100,000,000	2019/12/20	2020/07/02	25.20
15796	J P Morgan SP BV - Galaxy Ent European Put Wts Jun 2020 A	100,000,000	2019/12/20	2020/06/30	25.00
15837	J P Morgan SP BV - HSI European Put Warrants May 2020 D	400,000,000	2019/12/20	2020/05/28	60.00
15492	J P Morgan SP BV - HSI European Put Warrants Jun 2020 C	400,000,000	2019/12/20	2020/06/29	100.40
15801	J P Morgan SP BV - Sands China Ltd European Put Wts Jul20 A	80,000,000	2019/12/20	2020/07/02	30.96
15808	J P Morgan SP BV - Tencent European Put Warrants May 2020 B	250,000,000	2019/12/20	2020/05/29	37.50
15498	J P Morgan SP BV - Tencent European Put Warrants Jun 2020 C	250,000,000	2019/12/20	2020/06/19	62.75
15802	J P Morgan SP BV - Wynn Macau European Warrants Jul 2020 A	70,000,000	2019/12/20	2020/07/02	17.64
15817	J P Morgan SP BV - Xiaomi European Warrants Dec 2020 C	100,000,000	2019/12/20	2020/12/31	25.30
15529	J P Morgan SP BV - Xiaomi European Put Warrants Sep 2020 A	100,000,000	2019/12/20	2020/09/03	25.00
15625	Macquarie Bank Ltd. - Shenzhou Intl European Put Wts Feb22A	40,000,000	2019/12/20	2022/02/07	10.00
15565	Macquarie Bank Ltd. - Tencent European Warrants Jan 2021 A	37,000,000	2019/12/20	2021/01/04	10.03
15566	Macquarie Bank Ltd. - Tencent European Put Wts Jul 2020 B	30,000,000	2019/12/20	2020/07/02	21.96
15633	Morgan Stanley Asia Products Ltd. - AIA Euro Put Wts Jun20A	58,000,000	2019/12/20	2020/06/19	14.50
15649	Morgan Stanley Asia Products Ltd. - Ch Life Eu Put Wt May20A	68,000,000	2019/12/20	2020/05/26	10.20
15655	Morgan Stanley Asia Products Ltd. - HKEX Eu Put Wts Jun 20 A	98,000,000	2019/12/20	2020/06/24	14.70
15663	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Jun20B	80,000,000	2019/12/20	2020/06/26	12.00
15669	Morgan Stanley Asia Products Ltd. - SUNAC Euro Put Wt May20A	68,000,000	2019/12/20	2020/05/04	10.20
15675	Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Mar20A	128,000,000	2019/12/20	2020/03/26	20.48

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15676	Morgan Stanley Asia Products Ltd. - Xiaomi Euro Wts Mar20 A	68,000,000	2019/12/20	2020/03/31	10.20
15852	SG Issuer - Galaxy Ent European Warrants Jun 2020 A	40,000,000	2019/12/20	2020/06/30	10.00
15902	SG Issuer - HSI European Warrants May 2020 B	300,000,000	2019/12/20	2020/05/28	45.00
15904	SG Issuer - HSI European Put Warrants May 2020 D	300,000,000	2019/12/20	2020/05/28	45.00
15863	SG Issuer - MGM China European Warrants Sep 2020 A	70,000,000	2019/12/20	2020/09/25	10.85
15869	SG Issuer - Sands China Ltd European Warrants Jun 2020 A	70,000,000	2019/12/20	2020/06/24	10.50
15894	SG Issuer - SJM Holdings Eu Wt Aug 2020 A	60,000,000	2019/12/20	2020/08/24	10.20
15897	SG Issuer - Xiaomi European Warrants Jun 2020 B	20,000,000	2019/12/20	2020/06/30	10.00
15900	SG Issuer - Xiaomi European Warrants Sep 2020 A	60,000,000	2019/12/20	2020/09/30	15.00
15901	SG Issuer - ZA Online European Warrants Jun 2020 A	40,000,000	2019/12/20	2020/06/19	10.00
15679	UBS AG - China Life European Warrants May 2020 A	100,000,000	2019/12/20	2020/05/22	15.60
15683	UBS AG - China Life European Warrants Dec 2020 A	200,000,000	2019/12/20	2020/12/21	30.20
15704	UBS AG - Geely Auto European Warrants May 2020 A	70,000,000	2019/12/20	2020/05/06	11.55
15694	UBS AG - SHK Ppt European Warrants Dec 2020 A	70,000,000	2019/12/20	2020/12/23	11.06
15765	Bank Vontobel - Minsheng Bank European Warrants Jun 2020 A	40,000,000	2019/12/20	2020/06/26	10.00
15795	Bank Vontobel - China Res Land European Warrants Jun 2020 A	40,000,000	2019/12/20	2020/06/19	10.00
15758	Bank Vontobel - Lenovo European Warrants Aug 2020 A	40,000,000	2019/12/20	2020/08/03	10.00
15773	Bank Vontobel - Sands China Ltd European Warrants Jun 2020 A	70,000,000	2019/12/20	2020/06/24	11.20
15784	Bank Vontobel - Zijin Mining European Warrants Aug 2020 A	40,000,000	2019/12/20	2020/08/04	10.00
15974	BOCI Asia Ltd. - HSBC European Put Warrants Jun 2020 A	70,000,000	2019/12/23	2020/06/18	10.50
15976	BOCI Asia Ltd. - Tencent European Warrants Oct 2020 A	200,000,000	2019/12/23	2020/10/27	36.00
15977	BNP Paribas Issuance B.V. - SMIC European Wts Aug 2020 A	40,000,000	2019/12/23	2020/08/04	10.40
16075	BNP Paribas Issuance B.V. - Xiaomi European Warrants Jul20B	30,000,000	2019/12/23	2020/07/03	13.20
16079	BNP Paribas Issuance B.V. - Xiaomi European Warrants Dec20A	40,000,000	2019/12/23	2020/12/02	10.00
16164	Credit Suisse AG - Tencent European Wts Mar 2020 D	250,000,000	2019/12/23	2020/03/26	37.50
15959	Guotai Junan - Tencent European Warrants May 2020 A	50,000,000	2019/12/23	2020/05/05	12.95
16434	Goldman Sachs SP (Asia) - Conch Cement European Wts May20 A	50,000,000	2019/12/23	2020/05/07	11.45
16426	Goldman Sachs SP (Asia) - CPIC European Warrants Aug 2020 A	60,000,000	2019/12/23	2020/08/26	11.10
16422	Goldman Sachs SP (Asia) - Galaxy Ent Euro Put Wts Jun 2020 A	80,000,000	2019/12/23	2020/06/29	20.00
16413	Goldman Sachs SP (Asia) - Xiaomi European Wts Sep 2020 A	80,000,000	2019/12/23	2020/09/30	20.00
16191	Haitong Int'l Sec - HSI European Warrants Mar 2020 S	250,000,000	2019/12/23	2020/03/30	40.00
16170	Haitong Int'l Sec - HSI European Warrants May 2020 A	250,000,000	2019/12/23	2020/05/28	37.50
16184	Haitong Int'l Sec - HSI European Put Warrants May 2020 A	250,000,000	2019/12/23	2020/05/28	37.50
16241	Haitong Int'l Sec - Link REIT European Warrants Aug 2020 A	70,000,000	2019/12/23	2020/08/03	10.50
16394	Haitong Int'l Sec - Tencent European Warrants Apr 2020 A	50,000,000	2019/12/23	2020/04/24	10.50
16400	Haitong Int'l Sec - Tencent European Put Warrants Apr 2020 A	50,000,000	2019/12/23	2020/04/23	11.50
16396	Haitong Int'l Sec - Xiaomi European Put Warrants Jun 2020 A	40,000,000	2019/12/23	2020/06/22	10.00
16409	J P Morgan SP BV - HSI European Warrants Jul 2020 F	400,000,000	2019/12/23	2020/07/30	100.40
16392	J P Morgan SP BV - SUNAC European Put Warrants Jul 2020 A	60,000,000	2019/12/23	2020/07/03	22.50
16358	J P Morgan SP BV - Tencent European Warrants Mar 2020 G	250,000,000	2019/12/23	2020/03/31	37.50
16370	J P Morgan SP BV - Tencent European Warrants Apr 2020 I	70,000,000	2019/12/23	2020/04/01	10.57
16380	J P Morgan SP BV - Xiaomi European Warrants Sep 2020 A	100,000,000	2019/12/23	2020/09/02	25.10
16090	Macquarie Bank Ltd. - AIA European Warrants Jun 2020 A	27,000,000	2019/12/23	2020/06/02	10.10
16082	Macquarie Bank Ltd. - AIA European Warrants Jul 2020 B	40,000,000	2019/12/23	2020/07/03	10.32

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16091	Macquarie Bank Ltd. - China Shenhua European Wts Sep 2020 A	40,000,000	2019/12/23	2020/09/02	10.12
16108	Macquarie Bank Ltd. - Sunny Optical European Wts Jul 2020 A	33,500,000	2019/12/23	2020/07/03	10.05
16144	Macquarie Bank Ltd. - Sunny Optical Euro Put Wts Jul 2020 A	38,500,000	2019/12/23	2020/07/03	10.01
16109	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 E	21,400,000	2019/12/23	2020/07/02	10.02
16135	Macquarie Bank Ltd. - Weichai Power European Wts Jun 2021 A	37,000,000	2019/12/23	2021/06/16	10.03
16105	Macquarie Bank Ltd. - Xiaomi European Warrants Aug 2020 A	40,000,000	2019/12/23	2020/08/04	10.40
15979	Morgan Stanley Asia Products Ltd. - China Life Eu Wts Dec20A	80,000,000	2019/12/23	2020/12/21	12.00
16026	Morgan Stanley Asia Products Ltd. - Ch Mobile Euro Wt Jun20A	80,000,000	2019/12/23	2020/06/22	12.16
16023	Morgan Stanley Asia Products Ltd. - Ch Mob Eu Put Wt Jun20A	58,000,000	2019/12/23	2020/06/24	10.44
16014	Morgan Stanley Asia Products Ltd. - Geely Eu Put Wt Nov20A	68,000,000	2019/12/23	2020/11/25	10.20
15982	Morgan Stanley Asia Products Ltd. - Galaxy Eu Put Wt Jul20A	48,000,000	2019/12/23	2020/07/02	12.00
16009	Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Mar20B	128,000,000	2019/12/23	2020/03/26	19.20
16271	SG Issuer - BYD Company European Warrants May 2020 A	50,000,000	2019/12/23	2020/05/26	11.00
16288	SG Issuer - CITIC Bank European Warrants Jul 2020 A	60,000,000	2019/12/23	2020/07/27	10.20
16284	SG Issuer - CK Asset European Warrants Jun 2020 A	40,000,000	2019/12/23	2020/06/30	10.00
16301	SG Issuer - Ping An European Warrants May 2020 A	70,000,000	2019/12/23	2020/05/26	10.50
16333	SG Issuer - Tencent European Warrants Apr 2020 C	30,000,000	2019/12/23	2020/04/01	10.05
16344	SG Issuer - Tencent European Warrants Jul 2020 A	200,000,000	2019/12/23	2020/07/31	50.00
16251	UBS AG - AIA European Warrants Mar 2020 B	70,000,000	2019/12/23	2020/03/26	10.78
16248	UBS AG - HSBC European Warrants Jun 2020 A	100,000,000	2019/12/23	2020/06/24	15.50
16243	UBS AG - Sands China Ltd European Warrants Jun 2020 A	70,000,000	2019/12/23	2020/06/24	10.78
16270	UBS AG - ZA Online European Warrants Apr 2020 A	50,000,000	2019/12/23	2020/04/23	10.00
16213	Bank Vontobel - Tencent European Warrants Dec 2021 A	100,000,000	2019/12/23	2021/12/29	25.00
16203	Bank Vontobel - Tencent European Put Warrants Jul 2020 A	100,000,000	2019/12/23	2020/07/06	25.00
16221	Bank Vontobel - Xiaomi European Warrants Dec 2020 A	40,000,000	2019/12/23	2020/12/04	10.00
16548	BOCI Asia Ltd. - AIA European Warrants Mar 2020 A	70,000,000	2019/12/24	2020/03/26	12.60
16571	BOCI Asia Ltd. - AIA European Put Warrants Mar 2020 A	70,000,000	2019/12/24	2020/03/24	12.60
16490	BOCI Asia Ltd. - Country Garden European Warrants Oct 2020 A	70,000,000	2019/12/24	2020/10/23	14.00
16454	BOCI Asia Ltd. - Galaxy Ent European Warrants Apr 2020 B	40,000,000	2019/12/24	2020/04/24	11.20
16482	BOCI Asia Ltd. - Greatwall Motor European Warrants Apr2020 A	60,000,000	2019/12/24	2020/04/23	10.80
16445	BOCI Asia Ltd. - Tencent European Warrants Mar 2020 I	200,000,000	2019/12/24	2020/03/31	30.00
16492	BOCI Asia Ltd. - ZA Online European Warrants Apr 2020 A	70,000,000	2019/12/24	2020/04/23	14.70
16741	Credit Suisse AG - CICC European Warrants Jul 2020 A	60,000,000	2019/12/24	2020/07/09	10.02
16743	Credit Suisse AG - Ch Molybdenum European Wts Jul 2020 A	40,000,000	2019/12/24	2020/07/31	10.00
16729	Credit Suisse AG - Ch Oilfield European Warrants Jun 2020 A	40,000,000	2019/12/24	2020/06/30	10.00
16759	Credit Suisse AG - Meituan European Warrants Jun 2020 G	40,000,000	2019/12/24	2020/06/23	12.00
16706	Credit Suisse AG - SMIC European Warrants Jun 2020 A	50,000,000	2019/12/24	2020/06/23	12.50
16746	Credit Suisse AG - Tencent European Wts Mar 2020 E	50,000,000	2019/12/24	2020/03/31	12.50
16957	Goldman Sachs SP (Asia) - HSI European Put Wts May 2020 B	150,000,000	2019/12/24	2020/05/28	22.50
16954	Goldman Sachs SP (Asia) - Ping An European Wts Apr 2020 A	80,000,000	2019/12/24	2020/04/24	15.52
16947	Goldman Sachs SP (Asia) - Tencent European Wts Apr 2020 F	100,000,000	2019/12/24	2020/04/24	23.50
16951	Goldman Sachs SP (Asia) - Tencent European Wts Jun 2020 D	150,000,000	2019/12/24	2020/06/29	37.50
16574	Haitong Int'l Sec - HSI European Warrants Jun 2020 A	250,000,000	2019/12/24	2020/06/29	40.00
16609	Haitong Int'l Sec - HSI European Warrants Jun 2020 B	250,000,000	2019/12/24	2020/06/29	37.50

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16837	J P Morgan SP BV - AAC Tech European Warrants Aug 2020 A	60,000,000	2019/12/24	2020/08/03	32.64
16781	J P Morgan SP BV - Country Garden European Wts Jun 2020 A	100,000,000	2019/12/24	2020/06/30	15.10
16797	J P Morgan SP BV - China Life European Put Wts Jul 2020 A	100,000,000	2019/12/24	2020/07/02	25.20
16836	J P Morgan SP BV - GF Sec European Warrants Nov 2020 A	60,000,000	2019/12/24	2020/11/02	15.30
16899	J P Morgan SP BV - HKEX European Warrants Dec 2020 B	200,000,000	2019/12/24	2020/12/23	31.60
16777	J P Morgan SP BV - HSI European Put Warrants Jul 2020 B	400,000,000	2019/12/24	2020/07/30	100.80
16816	J P Morgan SP BV - HTSC European Warrants Nov 2020 A	100,000,000	2019/12/24	2020/11/10	18.60
16825	J P Morgan SP BV - Haitong Sec European Warrants Dec 2020 A	100,000,000	2019/12/24	2020/12/01	25.90
16793	J P Morgan SP BV - ICBC European Warrants Jul 2020 A	100,000,000	2019/12/24	2020/07/31	15.40
16850	J P Morgan SP BV - ND Paper European Warrants Aug 2020 A	50,000,000	2019/12/24	2020/08/03	12.60
16812	J P Morgan SP BV - Ping An European Warrants Apr 2020 A	100,000,000	2019/12/24	2020/04/29	20.30
16838	J P Morgan SP BV - Sands China Ltd European Warrants May20 A	80,000,000	2019/12/24	2020/05/04	18.00
16892	J P Morgan SP BV - Tencent European Warrants Apr 2020 J	250,000,000	2019/12/24	2020/04/09	39.25
16897	J P Morgan SP BV - Tencent European Warrants Jul 2020 A	250,000,000	2019/12/24	2020/07/02	63.00
16855	J P Morgan SP BV - Tencent European Put Warrants Apr 2020 D	250,000,000	2019/12/24	2020/04/01	38.25
16538	Macquarie Bank Ltd. - Avichina European Warrants Oct 2020 A	15,500,000	2019/12/24	2020/10/09	10.14
16495	Macquarie Bank Ltd. - BYD Electronic European Wts Oct 2020 A	36,000,000	2019/12/24	2020/10/06	10.01
16508	Macquarie Bank Ltd. - CM Bank European Put Warrants Oct20 A	40,000,000	2019/12/24	2020/10/06	10.20
16500	Macquarie Bank Ltd. - China Overseas European Put Wts Jan21A	34,000,000	2019/12/24	2021/01/05	10.30
16547	Macquarie Bank Ltd. - WuXi Bio European Warrants Jul 2020 B	38,000,000	2019/12/24	2020/07/03	10.03
16926	Morgan Stanley Asia Products Ltd. - AAC Tech Euro Wts May21A	108,000,000	2019/12/24	2021/05/03	27.00
16922	Morgan Stanley Asia Products Ltd. - CCB European Wts Jul20A	68,000,000	2019/12/24	2020/07/24	14.08
16911	Morgan Stanley Asia Products Ltd. - CCB European Wts Sep20A	68,000,000	2019/12/24	2020/09/25	11.97
16938	Morgan Stanley Asia Products Ltd. - CCB Euro Put Wts Jul20A	58,000,000	2019/12/24	2020/07/10	10.32
16900	Morgan Stanley Asia Products Ltd. - Galaxy Ent Eu Wts Oct20A	80,000,000	2019/12/24	2020/10/30	12.00
16906	Morgan Stanley Asia Products Ltd. - Galaxy Ent Eu Wts Dec20A	80,000,000	2019/12/24	2020/12/08	20.00
16908	Morgan Stanley Asia Products Ltd. - Tencent Eu Put Wt Jun20C	98,000,000	2019/12/24	2020/06/17	14.70
16941	Morgan Stanley Asia Products Ltd. - WH Gp Eu Put Wt Dec20A	40,000,000	2019/12/24	2020/12/18	10.00
16619	SG Issuer - AIA European Warrants Mar 2020 E	70,000,000	2019/12/24	2020/03/26	11.90
16627	SG Issuer - AIA European Warrants Jun 2020 B	40,000,000	2019/12/24	2020/06/30	10.00
16641	SG Issuer - HKEX European Put Warrants Apr 2020 A	100,000,000	2019/12/24	2020/04/15	16.00
16653	SG Issuer - Sunny Optical European Put Warrants Sep 2020 A	60,000,000	2019/12/24	2020/09/24	10.20
16658	SG Issuer - Tencent European Put Warrants Jun 2020 B	100,000,000	2019/12/24	2020/06/30	25.00
16667	UBS AG - Galaxy Ent European Warrants Jun 2020 A	50,000,000	2019/12/24	2020/06/23	13.00
16676	UBS AG - HSI European Warrants Jun 2020 A	200,000,000	2019/12/24	2020/06/29	30.40
16670	UBS AG - Link REIT European Warrants Aug 2020 A	100,000,000	2019/12/24	2020/08/03	15.10
16679	UBS AG - Tencent European Warrants Mar 2020 C	300,000,000	2019/12/24	2020/03/26	45.30
16692	Bank Vontobel - HKEX European Put Warrants Jun 2020 B	40,000,000	2019/12/24	2020/06/26	11.20
11237*	J P Morgan SP BV - Xiaomi European Warrants Apr 2020 A	100,000,000	2019/12/24	2020/04/01	10.50
17037	BOCI Asia Ltd. - CC Bank European Warrants Apr 2020 A	70,000,000	2019/12/27	2020/04/23	12.60
17033	BOCI Asia Ltd. - CM Bank European Warrants May 2020 A	70,000,000	2019/12/27	2020/05/22	13.30
17030	BOCI Asia Ltd. - HSI European Warrants May 2020 A	200,000,000	2019/12/27	2020/05/28	30.00
17031	BOCI Asia Ltd. - HSI European Warrants May 2020 B	200,000,000	2019/12/27	2020/05/28	30.00
17034	BOCI Asia Ltd. - Tencent European Warrants Jun 2020 A	200,000,000	2019/12/27	2020/06/30	50.00

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
17036	BOCI Asia Ltd. - Tencent European Put Wts Jun 2020 B	200,000,000	2019/12/27	2020/06/29	50.00
17113	Credit Suisse AG - BOC HK European Warrants Apr 2020 B	70,000,000	2019/12/27	2020/04/24	10.50
17092	Credit Suisse AG - CC Bank European Warrants Jun 2020 A	50,000,000	2019/12/27	2020/06/26	12.50
17102	Credit Suisse AG - CS Services European Warrants Jun 2020 A	40,000,000	2019/12/27	2020/06/26	10.00
17076	Credit Suisse AG - CPIC European Warrants Sep 2020 A	40,000,000	2019/12/27	2020/09/30	10.00
17101	Credit Suisse AG - GF Sec European Warrants Sep 2020 A	40,000,000	2019/12/27	2020/09/30	10.00
17106	Credit Suisse AG - Haidilao European Warrants Jun 2020 A	40,000,000	2019/12/27	2020/06/26	10.00
17116	Credit Suisse AG - HKEX European Put Warrants Mar 2020 E	100,000,000	2019/12/27	2020/03/26	15.00
17070	Credit Suisse AG - HKEX European Put Warrants Nov 2020 A	100,000,000	2019/12/27	2020/11/25	15.00
17109	Credit Suisse AG - HSI European Warrants May 2020 A	150,000,000	2019/12/27	2020/05/28	22.50
17100	Credit Suisse AG - ICBC European Warrants Jul 2020 A	50,000,000	2019/12/27	2020/07/31	12.50
17075	Credit Suisse AG - Ping An European Warrants May 2020 C	150,000,000	2019/12/27	2020/05/26	52.50
17071	Credit Suisse AG - Ping An European Warrants Mar 2022 A	200,000,000	2019/12/27	2022/03/28	30.00
17207	Credit Suisse AG - Xiaomi European Warrants Oct 2020 A	100,000,000	2019/12/27	2020/10/30	25.00
17241	Goldman Sachs SP (Asia) - AAC Tech Euro Put Wts Jul20A	70,000,000	2019/12/27	2020/07/24	10.50
17243	Goldman Sachs SP (Asia) - HSI European Warrants May 2020 A	150,000,000	2019/12/27	2020/05/28	22.50
17240	Goldman Sachs SP (Asia) - Ping An Euro Put Wts May 2020 A	80,000,000	2019/12/27	2020/05/21	12.00
17238	Goldman Sachs SP (Asia) - SHK Ppt European Wts Apr 2020 A	70,000,000	2019/12/27	2020/04/20	10.50
17237	Goldman Sachs SP (Asia) - Sunny Optical Euro Wts May 2020 B	80,000,000	2019/12/27	2020/05/04	13.76
16978	HK Bank - HKEX European Put Warrants Nov 2020 A	80,000,000	2019/12/27	2020/11/25	12.80
16979	HK Bank - HSCEI European Warrants Oct 2020 A	150,000,000	2019/12/27	2020/10/29	22.50
16975	HK Bank - HSI European Put Warrants Jun 2020 A	200,000,000	2019/12/27	2020/06/29	50.00
16972	HK Bank - Tencent European Warrants Jun 2020 E	100,000,000	2019/12/27	2020/06/30	25.00
16964	HK Bank - Tencent European Put Warrants Jun 2020 C	80,000,000	2019/12/27	2020/06/30	20.00
17210	Haitong Int'l Sec - AIA European Put Warrants Mar 2020 A	60,000,000	2019/12/27	2020/03/31	11.40
17226	J P Morgan SP BV - CITIC Sec European Warrants Sep 2020 A	50,000,000	2019/12/27	2020/09/02	12.65
17224	J P Morgan SP BV - Tencent European Warrants Jun 2020 A	150,000,000	2019/12/27	2020/06/30	37.95
17227	J P Morgan SP BV - Tencent European Warrants Jun 2020 B	250,000,000	2019/12/27	2020/06/30	62.50
17223	J P Morgan SP BV - Xiaomi European Warrants Jul 2020 C	100,000,000	2019/12/27	2020/07/31	25.70
17012	Macquarie Bank Ltd. - CPIC European Warrants Jun 2021 A	35,500,000	2019/12/27	2021/06/02	10.01
17014	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 F	26,000,000	2019/12/27	2020/07/02	10.01
17000	Morgan Stanley Asia Products Ltd. - CITIC Sec Euro Wt Sep20A	68,000,000	2019/12/27	2020/09/24	10.20
16991	Morgan Stanley Asia Products Ltd. - CSOP A50 ETF Eu Wt Jun20A	80,000,000	2019/12/27	2020/06/22	12.40
16988	Morgan Stanley Asia Products Ltd. - CSOP A50 ETF Eu Wt Apr21A	108,000,000	2019/12/27	2021/04/15	27.00
16986	Morgan Stanley Asia Products Ltd. - CSPC Pharma Eu Wt Jul20A	68,000,000	2019/12/27	2020/07/28	10.20
16987	Morgan Stanley Asia Products Ltd. - CSPC Phar Eu Put Wt Jun20A	40,000,000	2019/12/27	2020/06/03	10.52
16981	Morgan Stanley Asia Products Ltd. - China Tower Eu Wt Jun20A	68,000,000	2019/12/27	2020/06/24	10.20
16985	Morgan Stanley Asia Products Ltd. - Ch Tower Eu Put Wt Jun20A	58,000,000	2019/12/27	2020/06/24	10.79
16997	Morgan Stanley Asia Products Ltd. - Link REIT Euro Wt Aug20A	68,000,000	2019/12/27	2020/08/03	10.20
17157	SG Issuer - AAC Tech European Put Warrants Jun 2020 A	70,000,000	2019/12/27	2020/06/22	11.55
17140	SG Issuer - BYD Electronic European Warrants Jul 2020 B	40,000,000	2019/12/27	2020/07/17	10.00
17167	SG Issuer - China Telecom European Warrants Sep 2020 A	60,000,000	2019/12/27	2020/09/23	10.50
17147	SG Issuer - HSBC European Warrants Apr 2020 A	70,000,000	2019/12/27	2020/04/23	10.50
17048	UBS AG - CC Bank European Warrants May 2020 A	60,000,000	2019/12/27	2020/05/04	10.68



# 主板 認股權證

股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
17054	UBS AG - Meituan European Warrants Jul 2020 A	100,000,000	2019/12/27	2020/07/24	16.10
17057	UBS AG - Tencent European Warrants Mar 2020 D	30,000,000	2019/12/27	2020/03/27	12.66
17041	UBS AG - Xiaomi European Warrants Mar 2020 B	100,000,000	2019/12/27	2020/03/27	15.00
17066	UBS AG - ZTE European Warrants May 2020 A	50,000,000	2019/12/27	2020/05/04	10.10
17125	Bank Vontobel - CNOOC European Warrants Nov 2020 A	70,000,000	2019/12/27	2020/11/26	10.50
17121	Bank Vontobel - HSI European Warrants Jun 2020 A	80,000,000	2019/12/27	2020/06/29	20.00
17123	Bank Vontobel - HSI European Put Warrants Jun 2020 A	80,000,000	2019/12/27	2020/06/29	20.00
17129	Bank Vontobel - ICBC European Put Warrants May 2020 A	70,000,000	2019/12/27	2020/05/25	10.50
17127	Bank Vontobel - Sino Biopharm European Warrants Aug 2020 A	40,000,000	2019/12/27	2020/08/27	10.00
17134	Bank Vontobel - Tencent European Warrants Mar 2020 E	100,000,000	2019/12/27	2020/03/26	16.00
17320	BOCI Asia Ltd. - HSI European Put Warrants Jun 2020 A	200,000,000	2019/12/30	2020/06/29	30.00
17321	BOCI Asia Ltd. - HSI European Put Warrants Jun 2020 B	200,000,000	2019/12/30	2020/06/29	30.00
17461	Credit Suisse AG - BYD Company European Warrants Jun 2020 A	35,000,000	2019/12/30	2020/06/29	10.15
17447	Credit Suisse AG - CITIC European Warrants Dec 2020 A	40,000,000	2019/12/30	2020/12/31	10.00
17476	Credit Suisse AG - CITIC Sec European Warrants Jul 2020 A	50,000,000	2019/12/30	2020/07/31	12.50
17456	Credit Suisse AG - GAC European Warrants Jul 2020 A	40,000,000	2019/12/30	2020/07/31	10.00
17481	Credit Suisse AG - Ping An European Put Warrants May 2020 A	100,000,000	2019/12/30	2020/05/21	15.00
17485	Credit Suisse AG - SHK Ppt European Put Warrants Jun 2020 A	40,000,000	2019/12/30	2020/06/29	10.00
17308	Guotai Junan - AIA European Warrants Apr 2020 A	80,000,000	2019/12/30	2020/04/02	15.36
17309	Guotai Junan - AIA European Put Warrants Jun 2020 A	80,000,000	2019/12/30	2020/06/05	29.04
17304	Guotai Junan - China Life European Warrants Jul 2020 A	80,000,000	2019/12/30	2020/07/06	16.80
17307	Guotai Junan - China Life European Put Warrants May 2020 A	80,000,000	2019/12/30	2020/05/12	12.00
17290	Guotai Junan - HKEX European Warrants Jun 2020 A	80,000,000	2019/12/30	2020/06/09	21.52
17297	Guotai Junan - HKEX European Put Warrants Oct 2020 A	80,000,000	2019/12/30	2020/10/13	12.00
17298	Guotai Junan - HSBC European Warrants Jul 2020 A	80,000,000	2019/12/30	2020/07/10	16.72
17300	Guotai Junan - HSBC European Put Warrants Jul 2020 A	80,000,000	2019/12/30	2020/07/06	13.68
17277	Guotai Junan - Tencent European Warrants Apr 2020 B	20,000,000	2019/12/30	2020/04/23	11.40
17286	Guotai Junan - Tencent European Tencent put Warrants Jul 20 A	80,000,000	2019/12/30	2020/07/02	12.24
17531	Goldman Sachs SP (Asia) - BYD Company Euro Wts May 2020 A	70,000,000	2019/12/30	2020/05/26	12.88
17519	Goldman Sachs SP (Asia) - China Life Euro Wts May 2020 A	80,000,000	2019/12/30	2020/05/22	12.00
17530	Goldman Sachs SP (Asia) - China Tower Euro Wts Jun 2020 B	70,000,000	2019/12/30	2020/06/24	10.50
17520	Goldman Sachs SP (Asia) - China Unicom Euro Wts Jun 2020 A	50,000,000	2019/12/30	2020/06/09	10.00
17524	Goldman Sachs SP (Asia) - HSBC European Put Wts Jun 2020 A	80,000,000	2019/12/30	2020/06/18	12.00
17365	Haitong Int'l Sec - AIA European Warrants Jun 2020 A	40,000,000	2019/12/30	2020/06/01	10.80
17370	Haitong Int'l Sec - AIA European Put Warrants Jun 2020 A	70,000,000	2019/12/30	2020/06/29	23.10
17361	Haitong Int'l Sec - BOC HK European Warrants Jul 2020 A	70,000,000	2019/12/30	2020/07/17	18.20
17388	Haitong Int'l Sec - Geely Auto European Put Wts Jul 2020 A	70,000,000	2019/12/30	2020/07/24	10.50
17360	Haitong Int'l Sec - HSI European Put Warrants Jun 2020 A	250,000,000	2019/12/30	2020/06/29	47.50
17362	Haitong Int'l Sec - ICBC European Warrants Jul 2020 A	40,000,000	2019/12/30	2020/07/20	10.80
17391	Haitong Int'l Sec - Ping An European Warrants Apr 2020 A	60,000,000	2019/12/30	2020/04/24	11.40
17396	J P Morgan SP BV - Tencent European Warrants Aug 2020 A	250,000,000	2019/12/30	2020/08/04	62.50
17395	J P Morgan SP BV - Tencent European Warrants Sep 2020 A	250,000,000	2019/12/30	2020/09/03	62.50
17397	J P Morgan SP BV - ZA Online European Warrants Apr 2020 A	70,000,000	2019/12/30	2020/04/29	10.71
17313	Macquarie Bank Ltd. - CITIC Bank European Wts Dec 2020 A	40,000,000	2019/12/30	2020/12/02	10.04

# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
17264	Macquarie Bank Ltd. - China Life European Wts Apr 2021 A	40,000,000	2019/12/30	2021/04/07	10.12
17275	Macquarie Bank Ltd. - China Mobile European Wts Oct 2020 A	40,000,000	2019/12/30	2020/10/06	10.08
17257	Macquarie Bank Ltd. - CNOOC European Warrants Jul 2020 A	22,000,000	2019/12/30	2020/07/03	10.03
17273	Macquarie Bank Ltd. - China Tel European Warrants Dec 2020 A	40,000,000	2019/12/30	2020/12/31	10.00
17269	Macquarie Bank Ltd. - PetroChina European Wts Dec 2020 A	40,000,000	2019/12/30	2020/12/02	10.08
17246	Macquarie Bank Ltd. - Ping An European Warrants Jul 2020 A	21,500,000	2019/12/30	2020/07/03	10.06
17247	Macquarie Bank Ltd. - Ping An European Warrants Jul 2020 B	34,500,000	2019/12/30	2020/07/03	10.04
17249	Macquarie Bank Ltd. - Ping An European Warrants Jul 2020 C	35,000,000	2019/12/30	2020/07/03	10.15
17251	Macquarie Bank Ltd. - Ping An European Put Wts Jul 2020 A	35,000,000	2019/12/30	2020/07/03	10.08
17319	Macquarie Bank Ltd. - Tencent European Warrants Jul 2020 G	40,000,000	2019/12/30	2020/07/28	10.00
17500	SG Issuer - Meituan European Warrants Jul 2020 A	80,000,000	2019/12/30	2020/07/24	12.00
17517	SG Issuer - Tencent European Warrants Jun 2020 A	100,000,000	2019/12/30	2020/06/30	25.00
17488	SG Issuer - Tencent European Warrants Jul 2020 B	200,000,000	2019/12/30	2020/07/31	50.00
17490	SG Issuer - Tencent European Warrants Dec 2020 B	200,000,000	2019/12/30	2020/12/31	50.00
17336	UBS AG - BYD Company European Warrants Jun 2020 A	40,000,000	2019/12/30	2020/06/30	10.08
17342	UBS AG - CNOOC European Warrants Dec 2020 A	100,000,000	2019/12/30	2020/12/22	15.00
17331	UBS AG - Hang Seng Bank European Put Warrants Oct 2020 A	70,000,000	2019/12/30	2020/10/27	12.74
17332	UBS AG - SUNAC European Warrants May 2020 A	30,000,000	2019/12/30	2020/05/26	11.01
17324	UBS AG - ZA Onine European Warrants May 2020 B	30,000,000	2019/12/30	2020/05/04	11.34
17418	Bank Vontobel - Conch Cement European Warrants Jul 2020 A	30,000,000	2019/12/30	2020/07/02	10.20
17432	Bank Vontobel - CM Bank European Warrants Jul 2020 A	60,000,000	2019/12/30	2020/07/24	10.20
17416	Bank Vontobel - China Overseas European Warrants Nov 2020 A	40,000,000	2019/12/30	2020/11/02	10.00
17403	Bank Vontobel - Power Assets European Warrants Aug 2020 A	40,000,000	2019/12/30	2020/08/03	10.00
17405	Bank Vontobel - SHK Ppt European Warrants Jul 2020 A	20,000,000	2019/12/30	2020/07/03	10.00
17411	Bank Vontobel - SHK Ppt European Put Warrants Jul 2020 A	20,000,000	2019/12/30	2020/07/03	11.20
17400	Bank Vontobel - Wharf Holdings European Warrants Sep 2020 A	40,000,000	2019/12/30	2020/09/28	10.00
17575	BOCI Asia Ltd. - HSI European Warrants Jun 2020 A	200,000,000	2019/12/31	2020/06/29	32.00
17585	BOCI Asia Ltd. - HSI European Warrants Jun 2020 B	200,000,000	2019/12/31	2020/06/29	30.00
17584	BOCI Asia Ltd. - HSI European Warrants Jul 2020 A	200,000,000	2019/12/31	2020/07/30	34.00
17592	BOCI Asia Ltd. - HSI European Put Warrants Jun 2020 C	200,000,000	2019/12/31	2020/06/29	36.00
17600	BOCI Asia Ltd. - HSI European Put Warrants Dec 2020 A	200,000,000	2019/12/31	2020/12/30	54.00
17614	BNP Paribas Issuance B.V. - China Mobile Euro Wts Oct 2020 A	40,000,000	2019/12/31	2020/10/06	10.40
17610	BNP Paribas Issuance B.V. - China Mobile Euro Wts Nov 2020 A	40,000,000	2019/12/31	2020/11/03	10.00
17620	BNP Paribas Issuance B.V. - Ping An European Wt Oct 2020 A	40,000,000	2019/12/31	2020/10/06	11.60
17553	Credit Suisse AG - China Mobile European Put Wts Jun 2020 A	70,000,000	2019/12/31	2020/06/24	10.50
17622	Credit Suisse AG - Tencent European Wts Jun 2020 A	250,000,000	2019/12/31	2020/06/30	62.50
17747	Guotai Junan - Alibaba Group European Warrants Jul 2020 A	50,000,000	2019/12/31	2020/07/06	13.75
17789	Goldman Sachs SP (Asia) - China Mobile Euro Wts Jun 2020 A	80,000,000	2019/12/31	2020/06/22	12.00
17770	Goldman Sachs SP (Asia) - Sinopec Corp Euro Wts Mar 2020 A	70,000,000	2019/12/31	2020/03/31	10.50
17777	Goldman Sachs SP (Asia) - CSPC Pharma Euro Wts Jun 2020 A	70,000,000	2019/12/31	2020/06/24	10.50
17774	Goldman Sachs SP (Asia) - HKEX European Put Wts Mar 2020 E	100,000,000	2019/12/31	2020/03/31	15.00
17776	Goldman Sachs SP (Asia) - Tencent European Wts Mar 2020 G	25,000,000	2019/12/31	2020/03/31	10.05
17656	J P Morgan SP BV - AIA European Put Warrants Jun 2020 A	100,000,000	2019/12/31	2020/06/12	15.50
17652	J P Morgan SP BV - Bank of China European Wts May 2021 A	100,000,000	2019/12/31	2021/05/04	25.10



# 主 板 認 股 權 證

股份 代號	衍生權證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
17659	J P Morgan SP BV - BYD Company European Warrants Jul 2020 A	50,000,000	2019/12/31	2020/07/02	12.55
17672	J P Morgan SP BV - Ch Comm Cons European Warrants Aug 2020 A	40,000,000	2019/12/31	2020/08/03	10.04
17676	J P Morgan SP BV - China Com Services European Wts Jul 2020A	60,000,000	2019/12/31	2020/07/31	15.06
17630	J P Morgan SP BV - China Mobile European Wts Aug 2020 A	100,000,000	2019/12/31	2020/08/03	25.10
17661	J P Morgan SP BV - Haidilao European Warrants Jul 2020 A	40,000,000	2019/12/31	2020/07/02	10.16
17623	J P Morgan SP BV - Sunny Optical European Wts May 2020 A	70,000,000	2019/12/31	2020/05/04	10.85
17625	J P Morgan SP BV - Xiaomi European Put Warrants Sep 2020 B	100,000,000	2019/12/31	2020/09/30	15.10
17556	Macquarie Bank Ltd. - Brilliance Chi Euro Wts Sep 2020 A	40,000,000	2019/12/31	2020/09/11	10.08
17570	Macquarie Bank Ltd. - Huaneng Power European Wts Jul 2020 A	39,000,000	2019/12/31	2020/07/03	10.02
17740	Morgan Stanley Asia Products Ltd. - AIA Euro Put Wts May20A	48,000,000	2019/12/31	2020/05/29	14.35
17701	Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Jul20B	80,000,000	2019/12/31	2020/07/24	12.00
17705	Morgan Stanley Asia Products Ltd. - Meituan Eu Put Wt Dec20A	68,000,000	2019/12/31	2020/12/23	10.20
17734	Morgan Stanley Asia Products Ltd. - Ping An Eu Put Wt May20A	68,000,000	2019/12/31	2020/05/28	12.10
17728	Morgan Stanley Asia Products Ltd. - Tencent Eu Put Wt Jul20B	98,000,000	2019/12/31	2020/07/02	24.50
17761	SG Issuer - China Lit European Warrants Jun 2020 A	40,000,000	2019/12/31	2020/06/30	10.00
17763	SG Issuer - China Mobile European Warrants May 2020 A	70,000,000	2019/12/31	2020/05/11	11.20
17755	SG Issuer - China Mobile European Put Warrants Jun 2020 A	60,000,000	2019/12/31	2020/06/30	15.00
17760	SG Issuer - PSBC European Warrants Jul 2020 A	40,000,000	2019/12/31	2020/07/31	10.00
17764	SG Issuer - Tencent European Put Warrants Jun 2020 C	100,000,000	2019/12/31	2020/06/12	15.00
17699	UBS AG - China Mobile European Warrants Jun 2020 A	100,000,000	2019/12/31	2020/06/22	15.10
<b>總額</b>					<b>33,291.26</b>

# 進一步發行

# 主板 認股權證

## 新上市界內證

2019 第 4 季

股份 代號	界內證	上市時之			集資金額 到期日 (百萬港元)
		發行單位	上市日期		
47417	Bank Vontobel - HSI Euro Inline Warrants Apr 2020 E	21,000,000	2019/10/02	2020/04/28	10.42
47418	Bank Vontobel - Ping An Euro Inline Warrants Apr 2020 B	29,000,000	2019/10/02	2020/04/29	10.03
47419	Bank Vontobel - Ping An Euro Inline Warrants Apr 2020 C	20,000,000	2019/10/02	2020/04/29	10.46
47420	Bank Vontobel - Ping An Euro Inline Warrants Apr 2020 D	20,000,000	2019/10/02	2020/04/29	10.58
47421	Bank Vontobel - Ping An Euro Inline Warrants Jun 2020 A	26,000,000	2019/10/02	2020/06/30	10.37
47424	Bank Vontobel - Tencent Euro Inline Warrants Apr 2020 B	22,000,000	2019/10/02	2020/04/28	10.23
47425	Bank Vontobel - Tencent Euro Inline Warrants Apr 2020 C	22,000,000	2019/10/02	2020/04/28	10.21
47422	Bank Vontobel - Tencent Euro Inline Warrants May 2020 B	31,000,000	2019/10/02	2020/05/29	10.08
47426	Bank Vontobel - Tencent Euro Inline Warrants May 2020 C	29,000,000	2019/10/02	2020/05/29	10.35
47423	Bank Vontobel - Tencent Euro Inline Warrants Jun 2020 A	35,000,000	2019/10/02	2020/06/30	10.15
47427	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 A	30,000,000	2019/10/03	2020/04/28	10.05
47428	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 B	30,000,000	2019/10/03	2020/04/28	10.20
47429	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 C	25,000,000	2019/10/03	2020/04/28	10.60
47430	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 D	25,000,000	2019/10/03	2020/04/28	14.35
47431	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 E	25,000,000	2019/10/03	2020/04/28	17.88
47432	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 F	25,000,000	2019/10/03	2020/04/28	18.75
47433	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 G	25,000,000	2019/10/03	2020/04/28	16.78
47434	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 H	25,000,000	2019/10/03	2020/04/28	12.08
47435	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 I	27,000,000	2019/10/03	2020/04/28	10.31
47436	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 J	30,000,000	2019/10/03	2020/04/28	10.05
47437	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 K	31,800,000	2019/10/03	2020/04/28	10.02
47438	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 L	30,000,000	2019/10/03	2020/04/28	10.02
47439	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 M	30,000,000	2019/10/03	2020/04/28	10.02
47440	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 N	25,000,000	2019/10/03	2020/04/28	10.15
47441	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 O	25,000,000	2019/10/03	2020/04/28	11.75
47442	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 P	25,000,000	2019/10/03	2020/04/28	11.85
47443	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 Q	28,000,000	2019/10/03	2020/04/28	10.36
47444	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 R	30,000,000	2019/10/03	2020/04/28	10.20
47445	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 S	30,800,000	2019/10/03	2020/04/28	10.01
47450	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 T	25,000,000	2019/10/03	2020/04/28	10.48
47451	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 U	25,000,000	2019/10/03	2020/04/28	11.45
47452	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 V	25,000,000	2019/10/03	2020/04/28	12.18
47453	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 W	25,000,000	2019/10/03	2020/04/28	12.10
47454	J P Morgan SP BV - HSI Euro Inline Warrants Apr 2020 X	25,000,000	2019/10/03	2020/04/28	11.33
47446	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 C	25,000,000	2019/10/03	2020/06/29	21.95
47447	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 D	25,000,000	2019/10/03	2020/06/29	17.70
47448	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 E	25,000,000	2019/10/03	2020/06/29	13.88
47449	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 F	25,000,000	2019/10/03	2020/06/29	10.00
47455	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 G	25,000,000	2019/10/03	2020/06/29	13.48
47456	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 H	25,000,000	2019/10/03	2020/06/29	10.95
47457	Bank Vontobel - AIA Euro Inline Warrants Apr 2020 A	21,000,000	2019/10/03	2020/04/29	10.10
47458	Bank Vontobel - AIA Euro Inline Warrants Apr 2020 B	20,000,000	2019/10/03	2020/04/29	10.18

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股份 代號	界內證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
47459	Bank Vontobel - AIA Euro Inline Warrants Apr 2020 C	23,000,000	2019/10/03	2020/04/29	10.12
47462	Bank Vontobel - CCB Euro Inline Warrants Apr 2020 A	18,000,000	2019/10/03	2020/04/29	10.15
47460	Bank Vontobel - China Mobile Euro Inline Warrants Apr 2020 A	22,000,000	2019/10/03	2020/04/29	10.41
47461	Bank Vontobel - China Mobile Euro Inline Warrants Apr 2020 B	18,000,000	2019/10/03	2020/04/29	10.28
47469	HK Bank - AIA Euro Inline Warrants Mar 2021 A	19,000,000	2019/10/08	2021/03/31	10.07
47470	HK Bank - AIA Euro Inline Warrants Jun 2021 A	21,000,000	2019/10/08	2021/06/30	10.29
47468	HK Bank - CCB Euro Inline Warrants Nov 2020 A	20,000,000	2019/10/08	2020/11/30	10.00
47467	HK Bank - CCB Euro Inline Warrants Mar 2021 A	17,000,000	2019/10/08	2021/03/31	10.20
47471	HK Bank - China Mobile Euro Inline Warrants Mar 2021 A	20,000,000	2019/10/08	2021/03/31	10.00
47472	HK Bank - China Mobile Euro Inline Warrants Jun 2021 A	18,000,000	2019/10/08	2021/06/30	10.44
47463	HK Bank - Ping An Euro Inline Warrants May 2020 A	22,000,000	2019/10/08	2020/05/29	10.34
47464	HK Bank - Ping An Euro Inline Warrants Jul 2020 C	17,000,000	2019/10/08	2020/07/31	10.54
47465	HK Bank - Tencent Euro Inline Warrants Apr 2020 A	17,000,000	2019/10/08	2020/04/29	10.03
47466	HK Bank - Tencent Euro Inline Warrants Jul 2020 A	19,000,000	2019/10/08	2020/07/31	10.07
47479	BOCI Asia Ltd. - AIA Euro Inline Warrants Apr 2020 A	30,000,000	2019/10/09	2020/04/29	11.70
47480	BOCI Asia Ltd. - AIA Euro Inline Warrants Apr 2020 B	30,000,000	2019/10/09	2020/04/29	11.10
47475	BOCI Asia Ltd. - CCB Euro Inline Warrants Apr 2020 A	35,000,000	2019/10/09	2020/04/29	10.50
47476	BOCI Asia Ltd. - CCB Euro Inline Warrants Apr 2020 B	35,000,000	2019/10/09	2020/04/29	10.85
47477	BOCI Asia Ltd. - China Mobile Euro Inline Warrants Apr2020 A	35,000,000	2019/10/09	2020/04/29	11.55
47478	BOCI Asia Ltd. - China Mobile Euro Inline Warrants Apr2020 B	30,000,000	2019/10/09	2020/04/29	11.10
47481	BOCI Asia Ltd. - Ping An Euro Inline Warrants Apr 2020 A	30,000,000	2019/10/09	2020/04/29	11.40
47482	BOCI Asia Ltd. - Ping An Euro Inline Warrants Apr 2020 B	30,000,000	2019/10/09	2020/04/29	13.80
47473	BOCI Asia Ltd. - Tencent Euro Inline Warrants Apr 2020 A	30,000,000	2019/10/09	2020/04/29	12.30
47474	BOCI Asia Ltd. - Tencent Euro Inline Warrants Apr 2020 B	30,000,000	2019/10/09	2020/04/29	11.40
47013*	HK Bank - HSI Euro Inline Warrants Jan 2020 A	38,000,000	2019/10/24	2020/01/30	10.83
47485	BNP Paribas Issuance B.V. - China Mobile Eu Inline Wt May2020A	20,000,000	2019/10/25	2020/05/05	12.20
47486	BNP Paribas Issuance B.V. - HSI Euro Inline Warrants Apr20 C	20,000,000	2019/10/25	2020/04/28	12.20
47484	BNP Paribas Issuance B.V. - Ping An Euro Inline Wts May20 A	20,000,000	2019/10/25	2020/05/05	13.20
47483	BNP Paribas Issuance B.V. - Tencent Inline Warrants May20 C	20,000,000	2019/10/25	2020/05/05	12.40
47487	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 A	40,000,000	2019/10/25	2020/04/28	11.40
47488	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 B	40,000,000	2019/10/25	2020/04/28	15.32
47489	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 C	40,000,000	2019/10/25	2020/04/28	12.24
47490	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 D	40,000,000	2019/10/25	2020/04/28	15.40
47491	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 E	40,000,000	2019/10/25	2020/04/28	21.96
47492	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 F	40,000,000	2019/10/25	2020/04/28	19.04
47493	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 G	40,000,000	2019/10/25	2020/04/28	19.56
47494	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 H	40,000,000	2019/10/25	2020/04/28	25.80
47495	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 I	40,000,000	2019/10/25	2020/04/28	18.48
47496	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 J	40,000,000	2019/10/25	2020/04/28	23.28
47497	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 K	40,000,000	2019/10/25	2020/04/28	18.40
47498	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 L	40,000,000	2019/10/25	2020/04/28	16.48
47499	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 M	40,000,000	2019/10/25	2020/04/28	17.28
47500	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 N	40,000,000	2019/10/25	2020/04/28	15.08
47501	Haitong Int'l Sec - HSI Euro Inline Warrants Apr 2020 O	40,000,000	2019/10/25	2020/04/28	11.80

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47502	HK Bank - HSI Euro Inline Warrants Apr 2020 E	34,000,000	2019/10/28	2020/04/28	10.20
47503	HK Bank - HSI Euro Inline Warrants Dec 2021 B	27,000,000	2019/10/29	2021/12/30	10.26
47506	HK Bank - HSI Euro Inline Warrants Jan 2022 A	26,000,000	2019/10/29	2022/01/28	10.14
47507	HK Bank - HSI Euro Inline Warrants Feb 2022 A	27,000,000	2019/10/29	2022/02/25	10.26
47504	HK Bank - HSI Euro Inline Warrants Mar 2022 A	24,000,000	2019/10/29	2022/03/30	10.32
47505	HK Bank - HSI Euro Inline Warrants Apr 2022 A	28,000,000	2019/10/29	2022/04/28	10.08
47509	HK Bank - HSI Euro Inline Warrants May 2022 A	32,000,000	2019/10/29	2022/05/30	10.24
47510	HK Bank - HSI Euro Inline Warrants Jun 2022 A	25,000,000	2019/10/29	2022/06/29	10.25
47508	HK Bank - HSI Euro Inline Warrants Jul 2022 A	29,000,000	2019/10/29	2022/07/28	10.15
47515	SG Issuer - HSI Euro Inline Warrants Apr 2020 A	40,000,000	2019/10/29	2020/04/28	14.40
47516	SG Issuer - HSI Euro Inline Warrants Apr 2020 B	40,000,000	2019/10/29	2020/04/28	20.00
47517	SG Issuer - HSI Euro Inline Warrants Apr 2020 C	40,000,000	2019/10/29	2020/04/28	28.00
47518	SG Issuer - HSI Euro Inline Warrants Apr 2020 D	40,000,000	2019/10/29	2020/04/28	11.60
47519	SG Issuer - HSI Euro Inline Warrants Apr 2020 E	40,000,000	2019/10/29	2020/04/28	17.20
47520	SG Issuer - HSI Euro Inline Warrants Apr 2020 F	40,000,000	2019/10/29	2020/04/28	26.40
47521	SG Issuer - HSI Euro Inline Warrants Apr 2020 G	40,000,000	2019/10/29	2020/04/28	21.20
47522	SG Issuer - HSI Euro Inline Warrants Apr 2020 H	40,000,000	2019/10/29	2020/04/28	20.40
47523	SG Issuer - HSI Euro Inline Warrants Apr 2020 I	40,000,000	2019/10/29	2020/04/28	12.40
47524	SG Issuer - HSI Euro Inline Warrants Jun 2020 A	40,000,000	2019/10/29	2020/06/29	20.00
47525	SG Issuer - HSI Euro Inline Warrants Jun 2020 B	40,000,000	2019/10/29	2020/06/29	25.20
47526	SG Issuer - HSI Euro Inline Warrants Aug 2020 A	40,000,000	2019/10/29	2020/08/28	20.40
47527	SG Issuer - HSI Euro Inline Warrants Sep 2020 A	40,000,000	2019/10/29	2020/09/29	29.20
47528	SG Issuer - HSI Euro Inline Warrants Sep 2020 B	40,000,000	2019/10/29	2020/09/29	25.20
47529	SG Issuer - HSI Euro Inline Warrants Dec 2020 A	40,000,000	2019/10/29	2020/12/30	18.80
47513	Bank Vontobel - Ping An Euro Inline Warrants May 2020 A	23,000,000	2019/10/29	2020/05/29	10.12
47514	Bank Vontobel - Ping An Euro Inline Warrants May 2020 B	23,000,000	2019/10/29	2020/05/29	10.19
47511	Bank Vontobel - Tencent Euro Inline Warrants Apr 2020 D	20,000,000	2019/10/29	2020/04/29	10.40
47512	Bank Vontobel - Tencent Euro Inline Warrants Apr 2020 E	21,000,000	2019/10/29	2020/04/29	10.19
47563	Haitong Int'l Sec - AIA Euro Inline Warrants May 2020 A	25,000,000	2019/10/30	2020/05/04	10.95
47564	Haitong Int'l Sec - AIA Euro Inline Warrants May 2020 B	25,000,000	2019/10/30	2020/05/05	13.95
47565	Haitong Int'l Sec - Ping An Euro Inline Warrants May 2020 A	25,000,000	2019/10/30	2020/05/04	10.15
47566	Haitong Int'l Sec - Ping An Euro Inline Warrants May 2020 B	25,000,000	2019/10/30	2020/05/05	11.13
47560	Haitong Int'l Sec - Tencent Euro Inline Warrants May 2020 A	30,000,000	2019/10/30	2020/05/04	11.64
47561	Haitong Int'l Sec - Tencent Euro Inline Warrants May 2020 B	30,000,000	2019/10/30	2020/05/05	17.28
47562	Haitong Int'l Sec - Tencent Euro Inline Warrants May 2020 C	30,000,000	2019/10/30	2020/05/06	18.45
47550	J P Morgan SP BV - AIA Euro Inline Warrants Mar 2020 C	20,000,000	2019/10/30	2020/03/31	10.36
47556	J P Morgan SP BV - AIA Euro Inline Warrants Mar 2020 D	30,000,000	2019/10/30	2020/03/31	10.47
47551	J P Morgan SP BV - CC Bank Euro Inline Warrants Feb 2020 C	20,000,000	2019/10/30	2020/02/27	11.04
47552	J P Morgan SP BV - CC Bank Euro Inline Warrants Mar 2020 B	20,000,000	2019/10/30	2020/03/30	13.38
47554	J P Morgan SP BV - China Mobile Euro Inline Warrants Jan20 E	30,000,000	2019/10/30	2020/01/31	10.59
47549	J P Morgan SP BV - China Mobile Euro Inline Warrants Mar20 B	20,000,000	2019/10/30	2020/03/31	10.26
47555	J P Morgan SP BV - China Mobile Euro Inline Warrants Mar20 C	20,000,000	2019/10/30	2020/03/30	13.64
47540	J P Morgan SP BV - HSI Euro Inline Warrants Jan 2020 P	30,800,000	2019/10/30	2020/01/30	10.16
47541	J P Morgan SP BV - HSI Euro Inline Warrants Jan 2020 Q	32,000,000	2019/10/30	2020/01/30	10.08

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47542	J P Morgan SP BV - HSI Euro Inline Warrants Jan 2020 R	25,000,000	2019/10/30	2020/01/30	16.90
47543	J P Morgan SP BV - HSI Euro Inline Warrants Jan 2020 S	26,800,000	2019/10/30	2020/01/30	10.18
47530	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 G	32,000,000	2019/10/30	2020/03/30	10.24
47531	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 H	30,000,000	2019/10/30	2020/03/30	10.20
47532	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 I	26,000,000	2019/10/30	2020/03/30	10.01
47533	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 J	25,000,000	2019/10/30	2020/03/30	10.58
47534	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 K	25,000,000	2019/10/30	2020/03/30	12.05
47535	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 L	25,000,000	2019/10/30	2020/03/30	11.85
47536	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 M	25,000,000	2019/10/30	2020/03/30	10.00
47537	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 N	30,000,000	2019/10/30	2020/03/30	10.50
47538	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 O	30,000,000	2019/10/30	2020/03/30	10.05
47539	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 P	32,000,000	2019/10/30	2020/03/30	10.24
47557	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 Q	25,000,000	2019/10/30	2020/03/30	12.58
47558	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 R	25,000,000	2019/10/30	2020/03/30	10.00
47559	J P Morgan SP BV - HSI Euro Inline Warrants Mar 2020 S	30,000,000	2019/10/30	2020/03/30	10.05
47547	J P Morgan SP BV - Ping An Euro Inline Warrants Jan 2020 G	25,000,000	2019/10/30	2020/01/31	16.53
47548	J P Morgan SP BV - Ping An Euro Inline Warrants Mar 2020 E	25,000,000	2019/10/30	2020/03/30	12.80
47553	J P Morgan SP BV - Ping An Euro Inline Warrants Mar 2020 F	25,000,000	2019/10/30	2020/03/30	16.25
47544	J P Morgan SP BV - Tencent Euro Inline Warrants Jan 2020 H	25,000,000	2019/10/30	2020/01/30	12.08
47545	J P Morgan SP BV - Tencent Euro Inline Warrants Mar 2020 C	25,000,000	2019/10/30	2020/03/30	14.03
47546	J P Morgan SP BV - Tencent Euro Inline Warrants Mar 2020 D	27,000,000	2019/10/30	2020/03/31	10.37
47571	SG Issuer - CC Bank Euro Inline Warrants May 2020 A	20,000,000	2019/10/30	2020/05/29	14.20
47572	SG Issuer - CC Bank Euro Inline Warrants Jul 2020 A	20,000,000	2019/10/30	2020/07/31	16.80
47573	SG Issuer - China Mobile Euro Inline Warrants Jul 2020 A	20,000,000	2019/10/30	2020/07/31	11.80
47574	SG Issuer - China Mobile Euro Inline Warrants Jul 2020 B	30,000,000	2019/10/30	2020/07/31	11.40
47575	SG Issuer - China Mobile Euro Inline Warrants Sep 2020 A	20,000,000	2019/10/30	2020/09/30	15.40
47576	SG Issuer - Ping An Euro Inline Warrants May 2020 A	25,000,000	2019/10/30	2020/05/29	11.75
47577	SG Issuer - Ping An Euro Inline Warrants Jul 2020 B	20,000,000	2019/10/30	2020/07/31	17.00
47578	SG Issuer - Ping An Euro Inline Warrants Sep 2020 A	25,000,000	2019/10/30	2020/09/30	10.25
47579	SG Issuer - Tencent Euro Inline Warrants Sep 2020 B	20,000,000	2019/10/30	2020/09/30	10.60
47580	SG Issuer - Tencent Euro Inline Warrants Sep 2020 C	20,000,000	2019/10/30	2020/09/30	10.80
47581	SG Issuer - Tencent Euro Inline Warrants Dec 2020 B	25,000,000	2019/10/30	2020/12/31	10.75
47569	Bank Vontobel - AIA Euro Inline Warrants Sep 2020 A	23,000,000	2019/10/30	2020/09/30	10.12
47570	Bank Vontobel - CC Bank Euro Inline Warrants Aug 2020 A	25,000,000	2019/10/30	2020/08/31	10.10
47567	Bank Vontobel - China Mobile Euro Inline Warrants Jun 2020 A	24,000,000	2019/10/30	2020/06/30	10.20
47568	Bank Vontobel - China Mobile Euro Inline Warrants Jun 2020 B	23,000,000	2019/10/30	2020/06/30	10.10
47592	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 A	30,000,000	2019/10/31	2020/06/29	10.80
47593	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 B	30,000,000	2019/10/31	2020/06/29	20.70
47594	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 C	30,000,000	2019/10/31	2020/06/29	12.30
47595	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 D	40,000,000	2019/10/31	2020/06/29	12.40
47596	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 E	30,000,000	2019/10/31	2020/06/29	10.50
47597	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 F	30,000,000	2019/10/31	2020/06/29	11.40
47598	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 G	30,000,000	2019/10/31	2020/06/29	13.20
47599	BOCI Asia Ltd. - HSI Euro Inline Warrants Sep 2020 A	40,000,000	2019/10/31	2020/09/29	10.80

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47600	BOCI Asia Ltd. - HSI Euro Inline Warrants Sep 2020 B	40,000,000	2019/10/31	2020/09/29	10.80
47601	BOCI Asia Ltd. - HSI Euro Inline Warrants Sep 2020 C	30,000,000	2019/10/31	2020/09/29	10.20
47583	HK Bank - AIA Euro Inline Warrants Sep 2021 A	24,000,000	2019/10/31	2021/09/30	10.32
47586	HK Bank - AIA Euro Inline Warrants Dec 2021 A	23,000,000	2019/10/31	2021/12/31	10.12
47585	HK Bank - CCB Euro Inline Warrants Jul 2021 A	25,000,000	2019/10/31	2021/07/30	10.00
47582	HK Bank - CCB Euro Inline Warrants Dec 2021 A	22,000,000	2019/10/31	2021/12/31	10.34
47590	HK Bank - China Mobile Euro Inline Warrants Sep 2021 A	22,000,000	2019/10/31	2021/09/30	10.34
47591	HK Bank - China Mobile Euro Inline Warrants Dec 2021 A	28,000,000	2019/10/31	2021/12/31	10.36
47588	HK Bank - Ping An Euro Inline Warrants Mar 2021 B	23,000,000	2019/10/31	2021/03/31	10.12
47584	HK Bank - Ping An Euro Inline Warrants Jun 2021 A	20,000,000	2019/10/31	2021/06/30	10.40
47587	HK Bank - Tencent Euro Inline Warrants Mar 2021 A	22,000,000	2019/10/31	2021/03/31	10.12
47589	HK Bank - Tencent Euro Inline Warrants Jun 2021 A	22,000,000	2019/10/31	2021/06/30	10.12
47602	SG Issuer - AIA Euro Inline Warrants Jun 2020 B	20,000,000	2019/10/31	2020/06/30	10.40
47603	SG Issuer - AIA Euro Inline Warrants Sep 2020 A	20,000,000	2019/10/31	2020/09/30	15.40
47604	SG Issuer - Tencent Euro Inline Warrants May 2020 B	25,000,000	2019/10/31	2020/05/29	10.75
47605	SG Issuer - Tencent Euro Inline Warrants May 2020 C	25,000,000	2019/10/31	2020/05/29	11.50
47606	Bank Vontobel - HSI Euro Inline Warrants May 2020 C	34,000,000	2019/11/01	2020/05/28	10.13
47607	Bank Vontobel - HSI Euro Inline Warrants May 2020 D	32,000,000	2019/11/01	2020/05/28	10.24
47608	Bank Vontobel - HSI Euro Inline Warrants May 2020 E	24,000,000	2019/11/01	2020/05/28	10.42
47609	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 B	28,000,000	2019/11/01	2020/06/29	10.16
47611	Bank Vontobel - HSI Euro Inline Warrants May 2020 F	31,000,000	2019/11/04	2020/05/28	10.17
47612	Bank Vontobel - HSI Euro Inline Warrants May 2020 G	26,000,000	2019/11/04	2020/05/28	10.14
47613	Bank Vontobel - HSI Euro Inline Warrants May 2020 H	31,000,000	2019/11/04	2020/05/28	10.17
47610	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 C	24,000,000	2019/11/04	2020/09/29	10.20
47623	HK Bank - HSI Euro Inline Warrants May 2020 C	35,000,000	2019/11/05	2020/05/28	10.15
47619	HK Bank - HSI Euro Inline Warrants Mar 2022 B	20,000,000	2019/11/05	2022/03/30	10.00
47621	HK Bank - HSI Euro Inline Warrants Jun 2022 B	34,000,000	2019/11/05	2022/06/29	10.20
47620	HK Bank - HSI Euro Inline Warrants Aug 2022 A	20,000,000	2019/11/05	2022/08/30	10.20
47614	HK Bank - HSI Euro Inline Warrants Sep 2022 A	24,000,000	2019/11/05	2022/09/29	10.32
47616	HK Bank - HSI Euro Inline Warrants Sep 2022 B	23,000,000	2019/11/05	2022/09/29	10.12
47615	HK Bank - HSI Euro Inline Warrants Oct 2022 A	25,000,000	2019/11/05	2022/10/28	10.00
47618	HK Bank - HSI Euro Inline Warrants Nov 2022 A	23,000,000	2019/11/05	2022/11/29	10.35
47617	HK Bank - HSI Euro Inline Warrants Dec 2022 A	35,000,000	2019/11/05	2022/12/29	10.15
47622	HK Bank - HSI Euro Inline Warrants Dec 2022 B	30,000,000	2019/11/05	2022/12/29	10.20
47625	Bank Vontobel - HSI Euro Inline Warrants May 2020 I	22,000,000	2019/11/05	2020/05/28	10.47
47627	Bank Vontobel - HSI Euro Inline Warrants May 2020 J	25,000,000	2019/11/05	2020/05/28	10.00
47624	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 C	21,000,000	2019/11/05	2020/06/29	10.35
47626	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 D	26,000,000	2019/11/05	2020/06/29	10.17
47634	BOCI Asia Ltd. - AIA Euro Inline Warrants Jul 2020 A	30,000,000	2019/11/07	2020/07/30	13.50
47635	BOCI Asia Ltd. - AIA Euro Inline Warrants Jul 2020 B	30,000,000	2019/11/07	2020/07/30	12.90
47630	BOCI Asia Ltd. - CCB Euro Inline Warrants Aug 2020 A	30,000,000	2019/11/07	2020/08/28	10.80
47631	BOCI Asia Ltd. - CCB Euro Inline Warrants Aug 2020 B	30,000,000	2019/11/07	2020/08/28	10.50
47632	BOCI Asia Ltd. - China Mobile Euro Inline Warrants Jul 2020C	30,000,000	2019/11/07	2020/07/30	12.60
47633	BOCI Asia Ltd. - China Mobile Euro Inline Warrants Jul 2020D	30,000,000	2019/11/07	2020/07/30	12.60



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47636	BOCI Asia Ltd. - Ping An Euro Inline Warrants Aug 2020 A	30,000,000	2019/11/07	2020/08/28	13.20
47637	BOCI Asia Ltd. - Ping An Euro Inline Warrants Aug 2020 B	30,000,000	2019/11/07	2020/08/28	12.00
47628	BOCI Asia Ltd. - Tencent Euro Inline Warrants Aug 2020 A	30,000,000	2019/11/07	2020/08/28	13.80
47629	BOCI Asia Ltd. - Tencent Euro Inline Warrants Aug 2020 B	30,000,000	2019/11/07	2020/08/28	12.60
47640	HK Bank - China Mobile Euro Inline Warrants Jun 2022 A	17,000,000	2019/11/14	2022/06/30	10.54
47638	HK Bank - China Mobile Euro Inline Warrants Sep 2022 A	19,000,000	2019/11/14	2022/09/30	10.45
47639	HK Bank - China Mobile Euro Inline Warrants Dec 2022 A	29,000,000	2019/11/14	2022/12/30	10.15
47643	Bank Vontobel - China Mobile Euro Inline Warrants Oct 2020 B	27,000,000	2019/11/14	2020/10/30	10.10
47641	Bank Vontobel - China Mobile Euro Inline Warrants Nov 2020 A	20,000,000	2019/11/14	2020/11/30	10.26
47644	Bank Vontobel - China Mobile Euro Inline Warrants Dec 2020 A	36,000,000	2019/11/14	2020/12/31	10.19
47642	Bank Vontobel - China Mobile Euro Inline Warrants Mar 2021 A	23,000,000	2019/11/14	2021/03/31	10.12
47649	J P Morgan SP BV - China Mobile Euro Inline Warrants Apr20 A	18,000,000	2019/11/15	2020/04/29	10.66
47650	J P Morgan SP BV - China Mobile Euro Inline Warrants May20 A	15,000,000	2019/11/15	2020/05/04	10.32
47645	SG Issuer - China Mobile Euro Inline Warrants Jun 2020 A	20,000,000	2019/11/15	2020/06/30	15.20
47646	SG Issuer - China Mobile Euro Inline Warrants Oct 2020 A	20,000,000	2019/11/15	2020/10/30	12.00
47647	SG Issuer - China Mobile Euro Inline Warrants Nov 2020 A	20,000,000	2019/11/15	2020/11/30	15.20
47648	SG Issuer - China Mobile Euro Inline Warrants Dec 2020 A	25,000,000	2019/11/15	2020/12/31	12.00
47651	HK Bank - HKEX Euro Inline Warrants May 2020 D	28,000,000	2019/11/18	2020/05/29	10.36
47654	HK Bank - HKEX Euro Inline Warrants Jun 2020 D	17,000,000	2019/11/18	2020/06/30	10.03
47652	HK Bank - HKEX Euro Inline Warrants Sep 2020 A	18,000,000	2019/11/18	2020/09/30	10.26
47653	HK Bank - HKEX Euro Inline Warrants Dec 2020 B	24,000,000	2019/11/18	2020/12/31	10.08
47655	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 A	40,000,000	2019/11/22	2020/05/28	11.92
47656	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 B	40,000,000	2019/11/22	2020/05/28	16.60
47657	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 C	40,000,000	2019/11/22	2020/05/28	13.00
47658	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 D	40,000,000	2019/11/22	2020/05/28	16.68
47659	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 E	40,000,000	2019/11/22	2020/05/28	23.56
47660	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 F	40,000,000	2019/11/22	2020/05/28	20.40
47661	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 G	40,000,000	2019/11/22	2020/05/28	20.44
47662	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 H	40,000,000	2019/11/22	2020/05/28	26.08
47663	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 I	40,000,000	2019/11/22	2020/05/28	18.72
47664	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 J	40,000,000	2019/11/22	2020/05/28	22.68
47665	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 K	40,000,000	2019/11/22	2020/05/28	17.96
47666	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 L	40,000,000	2019/11/22	2020/05/28	15.64
47667	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 M	40,000,000	2019/11/22	2020/05/28	15.96
47668	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 N	40,000,000	2019/11/22	2020/05/28	13.92
47669	Haitong Int'l Sec - HSI Euro Inline Warrants May 2020 O	40,000,000	2019/11/22	2020/05/28	11.28
47678	BNP Paribas Issuance B.V. - HSI Euro Inline Warrants May20 A	20,000,000	2019/11/27	2020/05/28	10.60
47679	BNP Paribas Issuance B.V. - HSI Euro Inline Warrants May20 B	30,000,000	2019/11/27	2020/05/28	11.10
47680	BNP Paribas Issuance B.V. - HSI Euro Inline Warrants Jun20 A	20,000,000	2019/11/27	2020/06/29	12.20
47672	HK Bank - Tencent Euro Inline Warrants Sep 2021 A	25,000,000	2019/11/27	2021/09/30	10.00
47671	HK Bank - Tencent Euro Inline Warrants Dec 2021 A	27,000,000	2019/11/27	2021/12/31	10.26
47670	HK Bank - Tencent Euro Inline Warrants Mar 2022 A	19,000,000	2019/11/27	2022/03/31	10.07
47673	SG Issuer - HKEX Euro Inline Warrants May 2020 B	20,000,000	2019/11/27	2020/05/29	12.20
47674	SG Issuer - HKEX Euro Inline Warrants Jul 2020 A	20,000,000	2019/11/27	2020/07/31	12.00

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47675	SG Issuer - HKEX Euro Inline Warrants Jul 2020 B	20,000,000	2019/11/27	2020/07/31	10.40
47676	SG Issuer - HKEX Euro Inline Warrants Sep 2020 A	20,000,000	2019/11/27	2020/09/30	11.60
47677	SG Issuer - HKEX Euro Inline Warrants Dec 2020 C	20,000,000	2019/11/27	2020/12/31	12.40
47699	Haitong Int'l Sec - AIA Euro Inline Warrants Jun 2020 A	25,000,000	2019/11/28	2020/06/30	11.70
47700	Haitong Int'l Sec - AIA Euro Inline Warrants Jul 2020 A	25,000,000	2019/11/28	2020/07/02	13.18
47703	Haitong Int'l Sec - HKEX Euro Inline Warrants Jun 2020 A	25,000,000	2019/11/28	2020/06/30	10.88
47704	Haitong Int'l Sec - HKEX Euro Inline Warrants Jul 2020 A	20,000,000	2019/11/28	2020/07/02	11.14
47705	Haitong Int'l Sec - HKEX Euro Inline Warrants Jul 2020 B	25,000,000	2019/11/28	2020/07/03	10.40
47701	Haitong Int'l Sec - Ping An Euro HKEX inline Warrants Jun20C	30,000,000	2019/11/28	2020/06/30	11.55
47702	Haitong Int'l Sec - Ping An Euro Inline Warrants Jul 2020 A	25,000,000	2019/11/28	2020/07/02	11.68
47696	Haitong Int'l Sec - Tencent Euro Inline Warrants Jun 2020 A	30,000,000	2019/11/28	2020/06/30	12.45
47697	Haitong Int'l Sec - Tencent Euro Inline Warrants Jul 2020 A	30,000,000	2019/11/28	2020/07/02	16.95
47698	Haitong Int'l Sec - Tencent Euro Inline Warrants Jul 2020 B	30,000,000	2019/11/28	2020/07/03	17.34
47729	J P Morgan SP BV - AIA Euro Inline Warrants May 2020 A	23,000,000	2019/11/28	2020/05/29	10.67
47727	J P Morgan SP BV - AIA Euro Inline Warrants Jun 2020 C	20,000,000	2019/11/28	2020/06/30	17.20
47728	J P Morgan SP BV - AIA Euro Inline Warrants Jul 2020 A	30,000,000	2019/11/28	2020/07/31	10.38
47730	J P Morgan SP BV - CCB Euro Inline Warrants May 2020 C	20,000,000	2019/11/28	2020/05/29	12.14
47726	J P Morgan SP BV - CCB Euro Inline Warrants Jul 2020 A	30,000,000	2019/11/28	2020/07/31	10.35
47725	J P Morgan SP BV - CCB Euro Inline Warrants Aug 2020 A	23,000,000	2019/11/28	2020/08/31	10.44
47719	J P Morgan SP BV - HKEX Euro Inline Warrants May 2020 C	25,000,000	2019/11/28	2020/05/29	10.90
47720	J P Morgan SP BV - HKEX Euro Inline Warrants Jun 2020 E	20,000,000	2019/11/28	2020/06/30	11.96
47721	J P Morgan SP BV - HKEX Euro Inline Warrants Jul 2020 A	22,000,000	2019/11/28	2020/07/31	10.23
47691	J P Morgan SP BV - HSI Euro Inline Warrants May 2020 F	25,000,000	2019/11/28	2020/05/28	14.65
47684	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 K	25,000,000	2019/11/28	2020/06/29	18.15
47690	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 L	25,000,000	2019/11/28	2020/06/29	13.60
47692	J P Morgan SP BV - HSI Euro Inline Warrants Jun 2020 M	25,000,000	2019/11/28	2020/06/29	13.85
47689	J P Morgan SP BV - HSI Euro Inline Warrants Jul 2020 A	25,000,000	2019/11/28	2020/07/30	11.90
47693	J P Morgan SP BV - HSI Euro Inline Warrants Jul 2020 B	25,000,000	2019/11/28	2020/07/30	12.55
47683	J P Morgan SP BV - HSI Euro Inline Warrants Aug 2020 A	25,000,000	2019/11/28	2020/08/28	16.15
47688	J P Morgan SP BV - HSI Euro Inline Warrants Aug 2020 B	25,000,000	2019/11/28	2020/08/28	10.45
47694	J P Morgan SP BV - HSI Euro Inline Warrants Aug 2020 C	25,000,000	2019/11/28	2020/08/28	10.65
47682	J P Morgan SP BV - HSI Euro Inline Warrants Sep 2020 A	25,000,000	2019/11/28	2020/09/29	14.25
47685	J P Morgan SP BV - HSI Euro Inline Warrants Sep 2020 B	25,000,000	2019/11/28	2020/09/29	16.73
47687	J P Morgan SP BV - HSI Euro Inline Warrants Sep 2020 C	25,000,000	2019/11/28	2020/09/29	10.00
47695	J P Morgan SP BV - HSI Euro Inline Warrants Sep 2020 D	25,000,000	2019/11/28	2020/09/29	10.00
47681	J P Morgan SP BV - HSI Euro Inline Warrants Nov 2020 B	25,000,000	2019/11/28	2020/11/27	13.05
47686	J P Morgan SP BV - HSI Euro Inline Warrants Nov 2020 C	25,000,000	2019/11/28	2020/11/27	14.40
47723	J P Morgan SP BV - Ping An Euro Inline Warrants Jun 2020 B	20,000,000	2019/11/28	2020/06/30	15.70
47724	J P Morgan SP BV - Ping An Euro Inline Warrants Jul 2020 B	22,000,000	2019/11/28	2020/07/31	10.45
47722	J P Morgan SP BV - Ping An Euro Inline Warrants Aug 2020 A	20,000,000	2019/11/28	2020/08/31	15.64
47716	J P Morgan SP BV - Tencent Euro Inline Warrants May 2020 B	20,000,000	2019/11/28	2020/05/29	15.44
47717	J P Morgan SP BV - Tencent Euro Inline Warrants Jun 2020 B	22,000,000	2019/11/28	2020/06/30	10.36
47718	J P Morgan SP BV - Tencent Euro Inline Warrants Jul 2020 A	20,000,000	2019/11/28	2020/07/31	10.60
47713	SG Issuer - HKEX Euro Inline Warrants May 2020 C	30,000,000	2019/11/28	2020/05/29	13.80

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47714	SG Issuer - HKEX Euro Inline Warrants Jun 2020 B	20,000,000	2019/11/28	2020/06/30	14.60
47715	SG Issuer - HKEX Euro Inline Warrants Dec 2020 D	20,000,000	2019/11/28	2020/12/31	15.40
47706	SG Issuer - HSI Euro Inline Warrants May 2020 B	40,000,000	2019/11/28	2020/05/28	12.40
47707	SG Issuer - HSI Euro Inline Warrants May 2020 C	40,000,000	2019/11/28	2020/05/28	10.80
47708	SG Issuer - HSI Euro Inline Warrants May 2020 D	30,000,000	2019/11/28	2020/05/28	10.80
47709	SG Issuer - HSI Euro Inline Warrants May 2020 E	20,000,000	2019/11/28	2020/05/28	11.20
47710	SG Issuer - HSI Euro Inline Warrants May 2020 F	20,000,000	2019/11/28	2020/05/28	12.20
47711	SG Issuer - HSI Euro Inline Warrants May 2020 G	30,000,000	2019/11/28	2020/05/28	11.40
47712	SG Issuer - HSI Euro Inline Warrants Dec 2020 B	40,000,000	2019/11/28	2020/12/30	13.20
47738	SG Issuer - AIA Euro Inline Warrants May 2020 A	20,000,000	2019/11/29	2020/05/29	13.20
47739	SG Issuer - AIA Euro Inline Warrants Aug 2020 A	30,000,000	2019/11/29	2020/08/31	13.50
47740	SG Issuer - AIA Euro Inline Warrants Dec 2020 B	20,000,000	2019/11/29	2020/12/31	12.20
47741	SG Issuer - CCB Euro Inline Warrants Sep 2020 A	20,000,000	2019/11/29	2020/09/30	11.80
47742	SG Issuer - CCB Euro Inline Warrants Dec 2020 A	20,000,000	2019/11/29	2020/12/31	12.40
47743	SG Issuer - Ping An Euro Inline Warrants May 2020 B	30,000,000	2019/11/29	2020/05/29	14.70
47744	SG Issuer - Ping An Euro Inline Warrants May 2020 C	40,000,000	2019/11/29	2020/05/29	11.60
47745	SG Issuer - Ping An Euro Inline Warrants Sep 2020 B	20,000,000	2019/11/29	2020/09/30	14.20
47746	SG Issuer - Tencent Euro Inline Warrants Jun 2020 A	30,000,000	2019/11/29	2020/06/30	10.20
47747	SG Issuer - Tencent Euro Inline Warrants Jul 2020 A	20,000,000	2019/11/29	2020/07/31	14.40
47748	SG Issuer - Tencent Euro Inline Warrants Oct 2020 A	20,000,000	2019/11/29	2020/10/30	15.20
47731	Bank Vontobel - HSI Euro Inline Warrants Aug 2020 A	28,000,000	2019/11/29	2020/08/28	10.16
47734	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 D	19,000,000	2019/11/29	2020/09/29	10.32
47733	Bank Vontobel - HSI Euro Inline Warrants Nov 2020 A	26,000,000	2019/11/29	2020/11/27	10.19
47732	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 C	27,000,000	2019/11/29	2020/12/30	10.23
47735	Bank Vontobel - Tencent Euro Inline Warrants Sep 2020 A	24,000,000	2019/11/29	2020/09/30	10.34
47737	Bank Vontobel - Tencent Euro Inline Warrants Oct 2020 A	24,000,000	2019/11/29	2020/10/30	10.39
47736	Bank Vontobel - Tencent Euro Inline Warrants Nov 2020 A	24,000,000	2019/11/29	2020/11/30	10.20
47749	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 E	23,000,000	2019/12/02	2020/09/29	10.35
47750	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 D	25,000,000	2019/12/02	2020/12/30	10.25
47751	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 E	31,000,000	2019/12/02	2020/12/30	10.26
47752	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 F	23,000,000	2019/12/02	2020/12/30	10.37
47753	Bank Vontobel - Ping An Euro Inline Warrants Sep 2020 A	23,000,000	2019/12/02	2020/09/30	10.10
47755	Bank Vontobel - Ping An Euro Inline Warrants Oct 2020 A	26,000,000	2019/12/02	2020/10/30	10.06
47754	Bank Vontobel - Ping An Euro Inline Warrants Dec 2020 B	21,000,000	2019/12/02	2020/12/31	10.29
47762	HK Bank - AIA Euro Inline Warrants Mar 2022 A	24,000,000	2019/12/03	2022/03/31	10.08
47757	HK Bank - AIA Euro Inline Warrants Jun 2022 A	21,000,000	2019/12/03	2022/06/30	10.29
47756	HK Bank - CCB Euro Inline Warrants Mar 2022 A	20,000,000	2019/12/03	2022/03/31	10.00
47758	HK Bank - CCB Euro Inline Warrants Jul 2022 A	29,000,000	2019/12/03	2022/07/29	10.15
47765	HK Bank - HKEX Euro Inline Warrants Jun 2021 A	23,000,000	2019/12/03	2021/06/30	10.12
47761	HK Bank - HKEX Euro Inline Warrants Dec 2021 A	29,000,000	2019/12/03	2021/12/31	10.15
47759	HK Bank - Ping An Euro Inline Warrants Dec 2021 A	26,000,000	2019/12/03	2021/12/31	10.14
47763	HK Bank - Ping An Euro Inline Warrants Jun 2022 A	22,000,000	2019/12/03	2022/06/30	10.34
47764	HK Bank - Tencent Euro Inline Warrants Jun 2022 A	22,000,000	2019/12/03	2022/06/30	10.34
47760	HK Bank - Tencent Euro Inline Warrants Sep 2022 A	23,000,000	2019/12/03	2022/09/30	10.12

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47770	Bank Vontobel - HKEX Euro Inline Warrants Sep 2020 A	24,000,000	2019/12/03	2020/09/30	10.42
47773	Bank Vontobel - HKEX Euro Inline Warrants Nov 2020 A	31,000,000	2019/12/03	2020/11/30	10.11
47771	Bank Vontobel - HKEX Euro Inline Warrants Dec 2020 C	22,000,000	2019/12/03	2020/12/31	10.10
47772	Bank Vontobel - HKEX Euro Inline Warrants Dec 2020 D	24,000,000	2019/12/03	2020/12/31	10.18
47768	Bank Vontobel - HSI Euro Inline Warrants Jul 2020 A	32,000,000	2019/12/03	2020/07/30	10.05
47769	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 F	35,000,000	2019/12/03	2020/09/29	10.05
47767	Bank Vontobel - HSI Euro Inline Warrants Nov 2020 B	28,000,000	2019/12/03	2020/11/27	10.36
47766	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 G	29,000,000	2019/12/03	2020/12/30	10.03
47780	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 H	30,000,000	2019/12/04	2020/06/29	17.10
47781	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 I	30,000,000	2019/12/04	2020/06/29	18.00
47782	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 J	30,000,000	2019/12/04	2020/06/29	15.00
47783	BOCI Asia Ltd. - HSI Euro Inline Warrants Jun 2020 K	40,000,000	2019/12/04	2020/06/29	12.40
47774	BOCI Asia Ltd. - HSI Euro Inline Warrants Nov 2020 A	30,000,000	2019/12/04	2020/11/27	12.30
47775	BOCI Asia Ltd. - HSI Euro Inline Warrants Nov 2020 B	30,000,000	2019/12/04	2020/11/27	12.30
47776	BOCI Asia Ltd. - HSI Euro Inline Warrants Nov 2020 C	30,000,000	2019/12/04	2020/11/27	12.00
47777	BOCI Asia Ltd. - HSI Euro Inline Warrants Nov 2020 D	30,000,000	2019/12/04	2020/11/27	12.00
47778	BOCI Asia Ltd. - HSI Euro Inline Warrants Nov 2020 E	40,000,000	2019/12/04	2020/11/27	12.40
47779	BOCI Asia Ltd. - HSI Euro Inline Warrants Nov 2020 F	40,000,000	2019/12/04	2020/11/27	12.00
47786	Bank Vontobel - AIA Euro Inline Warrants Dec 2020 B	24,000,000	2019/12/04	2020/12/31	10.32
47787	Bank Vontobel - AIA Euro Inline Warrants Dec 2020 C	26,000,000	2019/12/04	2020/12/31	10.27
47784	Bank Vontobel - CCB Euro Inline Warrants Dec 2020 A	22,000,000	2019/12/04	2020/12/31	10.47
47785	Bank Vontobel - CCB Euro Inline Warrants Jun 2021 A	24,000,000	2019/12/04	2021/06/30	10.18
47788	HK Bank - HSI Euro Inline Warrants Mar 2021 C	26,000,000	2019/12/05	2021/03/30	10.14
47792	HK Bank - HSI Euro Inline Warrants Jun 2021 C	20,000,000	2019/12/05	2021/06/29	10.20
47791	HK Bank - HSI Euro Inline Warrants Sep 2021 C	20,000,000	2019/12/05	2021/09/29	10.40
47796	HK Bank - HSI Euro Inline Warrants Dec 2021 C	22,000,000	2019/12/05	2021/12/30	10.12
47795	HK Bank - HSI Euro Inline Warrants Mar 2022 C	20,000,000	2019/12/05	2022/03/30	10.00
47797	HK Bank - HSI Euro Inline Warrants Jun 2022 C	21,000,000	2019/12/05	2022/06/29	10.08
47793	HK Bank - HSI Euro Inline Warrants Jan 2023 A	28,000,000	2019/12/05	2023/01/30	10.08
47789	HK Bank - HSI Euro Inline Warrants Feb 2023 A	25,000,000	2019/12/05	2023/02/27	10.00
47794	HK Bank - HSI Euro Inline Warrants Mar 2023 A	24,000,000	2019/12/05	2023/03/30	10.32
47790	HK Bank - HSI Euro Inline Warrants Apr 2023 A	24,000,000	2019/12/05	2023/04/27	10.08
47798	BOCI Asia Ltd. - HKEX Euro Inline Warrants Jul 2020 A	30,000,000	2019/12/06	2020/07/31	15.00
47799	BOCI Asia Ltd. - HKEX Euro Inline Warrants Jul 2020 B	30,000,000	2019/12/06	2020/07/31	13.80
47800	BOCI Asia Ltd. - HKEX Euro Inline Warrants Sep 2020 A	40,000,000	2019/12/06	2020/09/30	12.00
47801	BOCI Asia Ltd. - HKEX Euro Inline Warrants Sep 2020 B	40,000,000	2019/12/06	2020/09/30	11.60
47802	BOCI Asia Ltd. - HKEX Euro Inline Warrants Oct 2020 A	30,000,000	2019/12/06	2020/10/30	20.10
47803	BOCI Asia Ltd. - HKEX Euro Inline Warrants Oct 2020 B	30,000,000	2019/12/06	2020/10/30	15.60
47806	BOCI Asia Ltd. - Ping An Euro Inline Warrants Jul 2020 B	30,000,000	2019/12/06	2020/07/31	20.10
47807	BOCI Asia Ltd. - Ping An Euro Inline Warrants Jul 2020 C	30,000,000	2019/12/06	2020/07/31	15.90
47804	BOCI Asia Ltd. - Tencent Euro Inline Warrants Jul 2020 C	40,000,000	2019/12/06	2020/07/31	12.40
47805	BOCI Asia Ltd. - Tencent Euro Inline Warrants Jul 2020 D	40,000,000	2019/12/06	2020/07/31	12.40
47811	Bank Vontobel - Ping An Euro Inline Warrants Oct 2020 B	23,000,000	2019/12/17	2020/10/30	10.10
47808	Bank Vontobel - Tencent Euro Inline Warrants Sep 2020 B	23,000,000	2019/12/17	2020/09/30	10.35

# 主 板 認 股 權 證

股份 代號	界內證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
47810	Bank Vontobel - Tencent Euro Inline Warrants Dec 2020 A	24,000,000	2019/12/17	2020/12/31	10.42
47809	Bank Vontobel - Tencent Euro Inline Warrants Sep 2021 A	25,000,000	2019/12/17	2021/09/30	10.10
47816	SG Issuer - HSI Euro Inline Warrants Jun 2020 C	20,000,000	2019/12/18	2020/06/29	15.60
47817	SG Issuer - HSI Euro Inline Warrants Jun 2020 D	25,000,000	2019/12/18	2020/06/29	11.25
47818	SG Issuer - HSI Euro Inline Warrants Aug 2020 B	20,000,000	2019/12/18	2020/08/28	11.60
47819	SG Issuer - HSI Euro Inline Warrants Aug 2020 C	20,000,000	2019/12/18	2020/08/28	13.40
47820	SG Issuer - HSI Euro Inline Warrants Aug 2020 D	35,000,000	2019/12/18	2020/08/28	11.55
47821	SG Issuer - HSI Euro Inline Warrants Sep 2020 C	25,000,000	2019/12/18	2020/09/29	10.50
47822	SG Issuer - HSI Euro Inline Warrants Sep 2020 D	20,000,000	2019/12/18	2020/09/29	14.20
47823	SG Issuer - HSI Euro Inline Warrants Sep 2020 E	25,000,000	2019/12/18	2020/09/29	11.50
47824	SG Issuer - HSI Euro Inline Warrants Oct 2020 A	20,000,000	2019/12/18	2020/10/29	13.00
47825	SG Issuer - HSI Euro Inline Warrants Oct 2020 B	20,000,000	2019/12/18	2020/10/29	11.20
47814	Bank Vontobel - AIA Euro Inline Warrants Sep 2020 B	26,000,000	2019/12/18	2020/09/30	10.35
47815	Bank Vontobel - AIA Euro Inline Warrants Dec 2020 D	24,000,000	2019/12/18	2020/12/31	10.25
47813	Bank Vontobel - HKEX Euro Inline Warrants Oct 2020 A	29,000,000	2019/12/18	2020/10/30	10.15
47812	Bank Vontobel - HKEX Euro Inline Warrants Nov 2020 B	22,000,000	2019/12/18	2020/11/30	10.47
47831	Bank Vontobel - CCB Euro Inline Warrants Oct 2020 A	26,000,000	2019/12/19	2020/10/30	10.17
47830	Bank Vontobel - CCB Euro Inline Warrants Dec 2020 B	23,000,000	2019/12/19	2020/12/31	10.30
47827	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 E	19,000,000	2019/12/19	2020/06/29	10.43
47828	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 F	26,000,000	2019/12/19	2020/06/29	10.24
47829	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 G	33,000,000	2019/12/19	2020/06/29	10.30
47826	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 G	29,000,000	2019/12/19	2020/09/29	10.06
47832	SG Issuer - HSI Euro Inline Warrants Nov 2020 A	40,000,000	2019/12/20	2020/11/27	11.60
47833	SG Issuer - HSI Euro Inline Warrants Nov 2020 B	25,000,000	2019/12/20	2020/11/27	11.75
47834	SG Issuer - HSI Euro Inline Warrants Nov 2020 C	20,000,000	2019/12/20	2020/11/27	13.40
47835	SG Issuer - HSI Euro Inline Warrants Nov 2020 D	25,000,000	2019/12/20	2020/11/27	10.50
47836	SG Issuer - HSI Euro Inline Warrants Dec 2020 C	20,000,000	2019/12/20	2020/12/30	12.60
47837	SG Issuer - HSI Euro Inline Warrants Dec 2020 D	20,000,000	2019/12/20	2020/12/30	10.60
47838	SG Issuer - HSI Euro Inline Warrants Dec 2020 E	40,000,000	2019/12/20	2020/12/30	12.40
47839	SG Issuer - HSI Euro Inline Warrants Jan 2021 A	20,000,000	2019/12/20	2021/01/28	11.40
47840	SG Issuer - HSI Euro Inline Warrants Jan 2021 B	20,000,000	2019/12/20	2021/01/28	11.80
47841	SG Issuer - HSI Euro Inline Warrants Jan 2021 C	30,000,000	2019/12/20	2021/01/28	10.80
47842	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 H	24,000,000	2019/12/20	2020/09/29	10.08
47843	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 I	18,000,000	2019/12/20	2020/09/29	10.03
47844	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 H	29,000,000	2019/12/20	2020/12/30	10.30
47845	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 I	23,000,000	2019/12/20	2020/12/30	10.05
47848	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 H	13,000,000	2019/12/23	2020/06/29	10.06
47849	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 I	17,000,000	2019/12/23	2020/06/29	10.05
47846	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 J	20,000,000	2019/12/23	2020/12/30	10.32
47847	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 K	16,000,000	2019/12/23	2020/12/30	10.29
47850	SG Issuer - AIA Euro Inline Warrants Dec 2020 C	20,000,000	2019/12/24	2020/12/31	12.00
47851	SG Issuer - CCB Euro Inline Warrants Jun 2020 A	20,000,000	2019/12/24	2020/06/30	16.00
47852	SG Issuer - HKEX Euro Inline Warrants Nov 2020 A	20,000,000	2019/12/24	2020/11/30	12.40
47855	SG Issuer - HSI Euro Inline Warrants Jun 2021 A	20,000,000	2019/12/24	2021/06/29	11.80

# 主 板 認 股 權 證

股份 代號	界內證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
47856	SG Issuer - HSI Euro Inline Warrants Jun 2021 B	25,000,000	2019/12/24	2021/06/29	11.25
47857	SG Issuer - HSI Euro Inline Warrants Jun 2021 C	40,000,000	2019/12/24	2021/06/29	12.40
47858	SG Issuer - HSI Euro Inline Warrants Dec 2021 A	20,000,000	2019/12/24	2021/12/30	10.20
47859	SG Issuer - HSI Euro Inline Warrants Dec 2021 B	30,000,000	2019/12/24	2021/12/30	11.10
47853	SG Issuer - Ping An Euro Inline Warrants Dec 2020 A	20,000,000	2019/12/24	2020/12/31	14.00
47854	SG Issuer - Tencent Euro Inline Warrants Nov 2020 A	20,000,000	2019/12/24	2020/11/30	11.20
47860	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 J	26,000,000	2019/12/24	2020/06/29	10.35
47861	Bank Vontobel - HSI Euro Inline Warrants Jun 2020 K	34,000,000	2019/12/24	2020/06/29	10.23
47862	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 J	18,000,000	2019/12/24	2020/09/29	10.08
47863	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 K	24,000,000	2019/12/24	2020/09/29	10.13
47864	Bank Vontobel - HSI Euro Inline Warrants Sep 2020 L	30,000,000	2019/12/27	2020/09/29	10.20
47865	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 L	17,000,000	2019/12/27	2020/12/30	10.01
47866	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 M	22,000,000	2019/12/27	2020/12/30	10.27
47867	Bank Vontobel - HSI Euro Inline Warrants Dec 2020 N	27,000,000	2019/12/27	2020/12/30	10.04
47868	HK Bank - HSI Euro Inline Warrants Jun 2020 E	13,000,000	2019/12/30	2020/06/29	10.53
47870	HK Bank - HSI Euro Inline Warrants Jul 2020 C	20,000,000	2019/12/30	2020/07/30	10.20
47869	HK Bank - HSI Euro Inline Warrants Aug 2020 C	21,000,000	2019/12/30	2020/08/28	10.29
47873	HK Bank - HSI Euro Inline Warrants Aug 2020 D	29,000,000	2019/12/30	2020/08/28	10.15
47871	HK Bank - HSI Euro Inline Warrants Oct 2020 C	15,000,000	2019/12/30	2020/10/29	10.65
47874	HK Bank - HSI Euro Inline Warrants Oct 2020 D	23,000,000	2019/12/30	2020/10/29	10.35
47872	HK Bank - HSI Euro Inline Warrants Nov 2020 C	28,000,000	2019/12/30	2020/11/27	10.36
47875	HK Bank - HSI Euro Inline Warrants Nov 2020 D	19,000,000	2019/12/30	2020/11/27	10.45
47876	HK Bank - HSI Euro Inline Warrants Jan 2021 B	21,000,000	2019/12/30	2021/01/28	10.08
47877	HK Bank - HSI Euro Inline Warrants Feb 2021 B	15,000,000	2019/12/30	2021/02/25	10.50
47896	Haitong Int'l Sec - AIA Euro Inline Warrants Sep 2020 A	25,000,000	2019/12/31	2020/09/30	12.28
47897	Haitong Int'l Sec - AIA Euro Inline Warrants Oct 2020 A	25,000,000	2019/12/31	2020/10/05	12.05
47906	Haitong Int'l Sec - AIA Euro Inline Warrants Dec 2020 A	25,000,000	2019/12/31	2020/12/29	11.68
47907	Haitong Int'l Sec - AIA Euro Inline Warrants Dec 2020 B	25,000,000	2019/12/31	2020/12/30	11.13
47900	Haitong Int'l Sec - HKEX Euro Inline Warrants Sep 2020 A	25,000,000	2019/12/31	2020/09/30	10.13
47901	Haitong Int'l Sec - HKEX Euro Inline Warrants Oct 2020 A	20,000,000	2019/12/31	2020/10/05	10.44
47902	Haitong Int'l Sec - HKEX Euro Inline Warrants Oct 2020 B	25,000,000	2019/12/31	2020/10/06	10.35
47910	Haitong Int'l Sec - HKEX Euro Inline Warrants Dec 2020 A	25,000,000	2019/12/31	2020/12/29	10.00
47911	Haitong Int'l Sec - HKEX Euro Inline Warrants Dec 2020 B	25,000,000	2019/12/31	2020/12/30	12.20
47912	Haitong Int'l Sec - HKEX Euro Inline Warrants Dec 2020 C	28,000,000	2019/12/31	2020/12/31	11.06
47898	Haitong Int'l Sec - Ping An Euro Inline Warrants Sep 2020 A	30,000,000	2019/12/31	2020/09/30	11.64
47899	Haitong Int'l Sec - Ping An Euro Inline Warrants Oct 2020 A	25,000,000	2019/12/31	2020/10/05	11.38
47908	Haitong Int'l Sec - Ping An Euro Inline Warrants Dec 2020 A	28,000,000	2019/12/31	2020/12/29	10.92
47909	Haitong Int'l Sec - Ping An Euro Inline Warrants Dec 2020 B	25,000,000	2019/12/31	2020/12/30	10.53
47893	Haitong Int'l Sec - Tencent Euro Inline Warrants Sep 2020 A	30,000,000	2019/12/31	2020/09/30	14.67
47894	Haitong Int'l Sec - Tencent Euro Inline Warrants Oct 2020 A	30,000,000	2019/12/31	2020/10/05	14.64
47895	Haitong Int'l Sec - Tencent Euro Inline Warrants Oct 2020 B	30,000,000	2019/12/31	2020/10/06	13.47
47903	Haitong Int'l Sec - Tencent Euro Inline Warrants Dec 2020 A	30,000,000	2019/12/31	2020/12/29	13.68
47904	Haitong Int'l Sec - Tencent Euro Inline Warrants Dec 2020 B	30,000,000	2019/12/31	2020/12/30	13.38
47905	Haitong Int'l Sec - Tencent Euro Inline Warrants Dec 2020 C	30,000,000	2019/12/31	2020/12/31	12.90



# 主 板 認 股 權 證

股份 代號	界內證	上市時之			集資金額 到期日(百萬港元)
		發行單位	上市日期		
47922	J P Morgan SP BV - AIA Euro Inline Warrants Jun 2020 D	20,000,000	2019/12/31	2020/06/30	15.00
47923	J P Morgan SP BV - AIA Euro Inline Warrants Jul 2020 B	22,000,000	2019/12/31	2020/07/31	10.52
47924	J P Morgan SP BV - AIA Euro Inline Warrants Aug 2020 A	20,000,000	2019/12/31	2020/08/31	12.54
47925	J P Morgan SP BV - CCB Euro Inline Warrants Jul 2020 B	20,000,000	2019/12/31	2020/07/31	19.62
47926	J P Morgan SP BV - CCB Euro Inline Warrants Aug 2020 B	20,000,000	2019/12/31	2020/08/31	13.44
47927	J P Morgan SP BV - CCB Euro Inline Warrants Sep 2020 A	20,000,000	2019/12/31	2020/09/30	11.50
47919	J P Morgan SP BV - HKEX Euro Inline Warrants Jun 2020 F	20,000,000	2019/12/31	2020/06/30	12.18
47920	J P Morgan SP BV - HKEX Euro Inline Warrants Jul 2020 B	20,000,000	2019/12/31	2020/07/31	11.66
47921	J P Morgan SP BV - HKEX Euro Inline Warrants Sep 2020 A	30,000,000	2019/12/31	2020/09/30	10.56
47878	J P Morgan SP BV - HSI Euro Inline Warrants Jul 2020 C	27,500,000	2019/12/31	2020/07/30	10.18
47890	J P Morgan SP BV - HSI Euro Inline Warrants Jul 2020 D	25,000,000	2019/12/31	2020/07/30	11.03
47879	J P Morgan SP BV - HSI Euro Inline Warrants Aug 2020 D	26,500,000	2019/12/31	2020/08/28	10.07
47891	J P Morgan SP BV - HSI Euro Inline Warrants Sep 2020 E	25,000,000	2019/12/31	2020/09/29	10.55
47892	J P Morgan SP BV - HSI Euro Inline Warrants Sep 2020 F	25,000,000	2019/12/31	2020/09/29	10.48
47880	J P Morgan SP BV - HSI Euro Inline Warrants Oct 2020 A	25,000,000	2019/12/31	2020/10/29	12.33
47881	J P Morgan SP BV - HSI Euro Inline Warrants Oct 2020 B	25,000,000	2019/12/31	2020/10/29	12.33
47882	J P Morgan SP BV - HSI Euro Inline Warrants Oct 2020 C	25,000,000	2019/12/31	2020/10/29	10.28
47883	J P Morgan SP BV - HSI Euro Inline Warrants Oct 2020 D	25,000,000	2019/12/31	2020/10/29	10.00
47884	J P Morgan SP BV - HSI Euro Inline Warrants Dec 2020 A	25,000,000	2019/12/31	2020/12/30	12.93
47885	J P Morgan SP BV - HSI Euro Inline Warrants Dec 2020 B	25,000,000	2019/12/31	2020/12/30	10.28
47886	J P Morgan SP BV - HSI Euro Inline Warrants Dec 2020 C	25,000,000	2019/12/31	2020/12/30	18.13
47887	J P Morgan SP BV - HSI Euro Inline Warrants Dec 2020 D	25,000,000	2019/12/31	2020/12/30	12.65
47888	J P Morgan SP BV - HSI Euro Inline Warrants Jan 2021 B	25,000,000	2019/12/31	2021/01/28	11.50
47889	J P Morgan SP BV - HSI Euro Inline Warrants Feb 2021 A	25,000,000	2019/12/31	2021/02/25	11.20
47916	J P Morgan SP BV - Ping An Euro Inline Warrants Jun 2020 C	20,000,000	2019/12/31	2020/06/30	16.76
47917	J P Morgan SP BV - Ping An Euro Inline Warrants Jul 2020 C	20,000,000	2019/12/31	2020/07/31	12.28
47918	J P Morgan SP BV - Ping An Euro Inline Warrants Sep 2020 A	20,000,000	2019/12/31	2020/09/30	12.20
47913	J P Morgan SP BV - Tencent Euro Inline Warrants Jun 2020 C	20,000,000	2019/12/31	2020/06/30	18.26
47914	J P Morgan SP BV - Tencent Euro Inline Warrants Jul 2020 B	20,000,000	2019/12/31	2020/07/31	15.06
47915	J P Morgan SP BV - Tencent Euro Inline Warrants Aug 2020 A	20,000,000	2019/12/31	2020/08/31	10.54
<b>總額</b>					<b>6,258.41</b>

# 進一步發行

# 主板 債務證券

## 債券交易統計

		成交金額	
		外匯基金票據(百萬港元)	市場總值(百萬港元)
2018	第4季	59.73	13,055.16
2019	第1季	180.86	17,574.55
	第2季	112.77	16,566.96
	第3季	239.99	13,294.79
	第4季	164.91	13,255.37

## 債券面值

		發行數目	面值(百萬港元)
2018	第4季	1,195	4,588,705.55
2019	第1季	1,243	4,806,055.20
	第2季	1,291	4,908,086.43
	第3季	1,347	5,086,272.01
	第4季	1,388	5,204,984.02

## 新上市統計—債券

		新上市債券數目	集資金額(百萬港元)
2018	第4季	86	254,127.38
2019	第1季	94	330,173.31
	第2季	107	353,472.05
	第3季	107	349,112.45
	第4季	112	369,156.62

# 主板 債務證券

## 新上市債券

2019 第 4 季

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)
40013	廣西柳州市東城投資開發集團有限公司7.00%債券2022年	USD 300,000,000	2019/10/02	2022/09/30	2,340.00
40015	CNOOC Finance (2013) Ltd. 2.875%有擔保票據2029年	USD 1,000,000,000	2019/10/02	2029/09/30	7,756.32
40016	CNOOC Finance (2013) Ltd. 3.30%有擔保票據2049年	USD 500,000,000	2019/10/02	2049/09/30	3,900.00
40008	正榮地產集團有限公司8.70%優先票據2022年	USD 300,000,000	2019/10/04	2022/08/03	2,320.41
40012	正商實業有限公司12.80%優先票據2021年	USD 220,000,000	2019/10/04	2021/10/03	1,695.54
40011	Haiguo Xintai Capital Ltd. 4.30%有擔保債券2022年	USD 500,000,000	2019/10/09	2022/10/08	3,900.00
40017	香港國際(青島)有限公司4.00%債券2024年	USD 300,000,000	2019/10/09	2024/10/08	2,340.00
40019	Hongkong Ideal Investment Ltd. 14.75%有擔保優先票據2022年	USD 110,000,000	2019/10/09	2022/10/08	858.00
40014	鄭州地產集團有限公司3.95%債券2022年	USD 550,000,000	2019/10/10	2022/10/09	4,290.00
40018	濟南高新控股集團有限公司4.50%債券2022年	USD 300,000,000	2019/10/10	2022/10/09	2,340.00
05713 #	興證國際金融集團有限公司5.00%有擔保債券2020年	USD 75,000,000	2019/10/10	2020/08/28	585.47
40005 #	中梁控股集團有限公司11.50%優先票據2021年	USD 100,000,000	2019/10/11	2021/09/26	776.58
40020	Bank of China Ltd., Macau Branch 零息票據2021年	EUR 300,000,000	2019/10/17	2021/10/16	2,595.36
85907	Bank of China Ltd., Macau Branch 3.15%人民幣票據2021年	RMB 2,000,000,000	2019/10/17	2021/10/16	2,214.80
40021	Bank of China Ltd., Macau Branch 浮息票據2022年	USD 350,000,000	2019/10/18	2022/10/17	2,730.00
40022	山東海運國際發展有限公司5.90%有擔保債券2022年	USD 200,000,000	2019/10/18	2022/10/17	1,555.77
40025	東方資本有限公司7.00%有擔保債券2022年	USD 360,000,000	2019/10/18	2022/10/17	2,808.00
40026	昆明市城建投資開發有限責任公司5.80%票據2022年	USD 300,000,000	2019/10/18	2022/10/17	2,327.32
04215 #	中華人民共和國香港特別行政區1.10%債券2023年	HKD 4,000,000,000	2019/10/21	2023/01/17	3,938.00
40023	佳源國際控股有限公司13.75%優先票據2023年	USD 200,000,000	2019/10/21	2023/02/18	1,560.00
40024	易居(中國)企業控股有限公司7.625%優先票據2022年	USD 200,000,000	2019/10/21	2022/04/18	1,534.09
05498 #	武漢金融控股(集團)有限公司5.80%票據2021年	USD 300,000,000	2019/10/21	2021/12/27	2,425.60
40027	中國建設銀行股份有限公司香港分行浮息票據2022年	USD 1,000,000,000	2019/10/23	2022/10/22	7,800.00
40028	Soar Wise Ltd. 有擔保永久資本票據	USD 200,000,000	2019/10/24	-	1,560.00
40030	Sunny Express Enterprises Corp. 3.00%有擔保票據2029年	USD 300,000,000	2019/10/24	2029/10/23	2,316.62
40031	Sunny Express Enterprises Corp. 優先永久有擔保證券	USD 300,000,000	2019/10/24	-	2,340.00
40029	健合(H&H)國際控股有限公司5.625%優先票據2024年	USD 300,000,000	2019/10/25	2024/10/24	2,340.00
40032	CITIC Securities Finance MTN Co., Ltd. 2.75%有擔保票據2022年	USD 500,000,000	2019/10/25	2022/10/24	3,890.21
40033	CITIC Securities Finance MTN Co., Ltd. 2.875%有擔保票據2024年	USD 200,000,000	2019/10/25	2024/10/24	1,551.58
40034	Suqian Economic Development (BVI) Co. 7.00%有擔保債券2022年	USD 150,000,000	2019/10/25	2022/10/24	1,170.00
40035	邦傑環球有限公司浮息票據2024年	USD 700,000,000	2019/10/25	2024/10/24	5,460.00
40036	博新源國際有限公司5.65%有擔保票據2022年	USD 300,000,000	2019/10/29	2022/10/28	2,340.00
40037	新路國際控股有限公司3.25%票據2020年	USD 300,000,000	2019/10/29	2022/10/25	2,334.92
40038	江蘇中關村控股集團(國際)有限公司6.50%有擔保債券2022年	USD 300,000,000	2019/10/29	2022/10/28	2,340.00
40040	PCGI Intermediate Ltd. 4.75%有擔保票據2024年	USD 250,000,000	2019/10/29	2024/10/28	1,950.00
40041	如皋市經濟貿易開發總公司6.00%債券2022年	USD 150,000,000	2019/10/29	2022/10/28	1,170.00
40039	綿陽市投資控股(集團)有限公司5.95%債券2022年	USD 300,000,000	2019/10/31	2022/10/30	2,340.00
40042	Huayi Finance I Ltd. 3.00%有擔保票據2024年	USD 350,000,000	2019/10/31	2024/10/30	2,717.93
40043	禹洲地產股份有限公司8.375%優先票據2024年	USD 500,000,000	2019/10/31	2024/10/30	3,900.00

# 主板 債務證券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
40045	弘陽地產集團有限公司13.00%優先票據2021年	USD	100,000,000	2019/10/31	2021/10/30	780.00
05814 #	ENN Clean Energy Int'l Invest Ltd. 7.50%有擔保優先票據2021年	USD	250,000,000	2019/10/31	2021/02/27	1,998.60
05856 #	NWD Finance (BVI) Ltd. 6.25%有擔保優先永久資本證券	USD	400,000,000	2019/11/04	-	3,164.06
85908	中核資本有限公司3.97%有擔保人民幣債券2022年	RMB	1,000,000,000	2019/11/04	2022/11/01	1,113.00
40044	CLP Power HK Finance Ltd. 永久次級有擔保資本證券	USD	500,000,000	2019/11/07	-	3,890.92
40047	正榮地產集團有限公司9.15%優先票據2023年	USD	300,000,000	2019/11/07	2023/05/06	2,340.00
85909	中國農業發展銀行3.40%人民幣債券2024年	RMB	3,000,000,000	2019/11/07	2024/11/06	3,352.20
85910	中國農業發展銀行3.18%人民幣債券2022年	RMB	2,500,000,000	2019/11/07	2022/11/06	2,793.50
40046	旭輝控股(集團)有限公司6.45%優先票據2024年	USD	400,000,000	2019/11/08	2024/11/07	3,120.00
40053	香港國際(青島)有限公司3.90%債券2022年	USD	300,000,000	2019/11/12	2022/11/11	2,340.00
40055	萬科地產(香港)有限公司3.15%票據2025年	USD	423,000,000	2019/11/13	2025/05/12	3,294.95
40056	萬科地產(香港)有限公司3.50%票據2029年	USD	300,000,000	2019/11/13	2029/11/12	2,324.58
40048	Sinopec Grp Overseas Dev (2018) Ltd. 2.50%優先票據2024年	USD	700,000,000	2019/11/13	2024/11/12	5,452.36
40049	Sinopec Grp Overseas Dev (2018) Ltd. 2.95%優先票據2029年	USD	1,000,000,000	2019/11/13	2024/11/12	7,762.48
40050	Sinopec Grp Overseas Dev (2018) Ltd. 3.44%優先票據2049年	USD	300,000,000	2019/11/13	2024/11/12	2,340.00
40052	綠城中國控股有限公司4.55%優先票據2020年	USD	600,000,000	2019/11/13	2020/11/10	4,680.00
40051	Greenland Global Investment Ltd. 5.60%票據2022年	USD	370,000,000	2019/11/14	2022/11/13	2,886.00
40058	鳳美國際投資有限公司6.50%有擔保債券2022年	USD	50,000,000	2019/11/14	2022/03/13	390.00
04221 #	中華人民共和國香港特別行政區2.22%債券2024年	HKD	2,500,000,000	2019/11/14	2024/08/07	2,560.50
06024 #	大發地產集團有限公司12.875%優先票據2021年	USD	120,000,000	2019/11/14	2021/07/11	920.42
05964 #	弘陽地產集團有限公司9.95%優先票據2022年	USD	150,000,000	2019/11/14	2022/04/11	1,104.67
40059	Huarong Finance 2019 Co., Ltd. 3.25%有擔保票據2024年	USD	500,000,000	2019/11/14	2024/11/13	3,891.27
40060	Huarong Finance 2019 Co., Ltd. 3.875%有擔保票據2029年	USD	500,000,000	2019/11/14	2029/11/13	3,893.26
40054	廣州地鐵投資(維京)有限公司2.609%有擔保票據2024年	USD	200,000,000	2019/11/15	2024/11/14	1,560.00
40061	成都交子金融控股集團有限公司3.24%票據2022年	USD	300,000,000	2019/11/15	2022/11/14	2,340.00
40062	Central Plaza Development Ltd. 次級有擔保永久證券	USD	500,000,000	2019/11/15	-	3,900.00
40063	鄭州城建集團投資有限公司3.80%票據2022年	USD	300,000,000	2019/11/15	2022/11/14	2,340.00
40064	長沙先導投資控股集團有限公司3.80%票據2022年	USD	350,000,000	2019/11/15	2022/11/14	2,730.00
40065	Sun Hung Kai & Co. (BVI) Ltd. 5.75%票據2024年	USD	350,000,000	2019/11/18	2024/11/15	2,730.00
40066	蘭州市城市發展投資有限公司4.15%有擔保票據2022年	USD	300,000,000	2019/11/18	2022/11/15	2,340.00
40046 #	旭輝控股(集團)有限公司6.45%優先票據2024年	USD	100,000,000	2019/11/18	2024/11/07	780.00
40057	海通國際證券集團有限公司3.125%債券2025年	USD	400,000,000	2019/11/19	2025/05/18	3,101.75
40067	XI HAI AN 2019 Ltd. 3.90%有擔保債券2022年	USD	300,000,000	2019/11/19	2022/11/18	2,340.00
85911	正榮地產集團有限公司8.00%優先人民幣票據2020年	RMB	700,000,000	2019/11/19	2020/11/16	781.69
05624 #	德信中國控股有限公司12.875%優先票據2021年	USD	100,000,000	2019/11/19	2021/08/06	768.02
40068	工銀國際租賃財務有限公司浮息票據2024年	USD	600,000,000	2019/11/21	2024/11/20	4,680.00
40069	南洋商業銀行二級後償票據2029年	USD	700,000,000	2019/11/21	2029/11/20	5,443.02
04078	香港金融管理局1.63%外匯基金票據2021年	HKD	1,200,000,000	2019/11/21	2021/11/22	1,197.36
40070	Chalico Hong Kong Corporation Ltd. 優先有擔保永久資本證券	USD	350,000,000	2019/11/22	-	2,730.00
40071	鳳美國際投資有限公司7.00%有擔保債券2022年	USD	205,000,000	2019/11/25	2022/11/22	1,599.00
40072	鎮江文化旅遊產業集團有限責任公司7.50%債券2022年	USD	230,000,000	2019/11/25	2022/11/22	1,794.00
40073	陝西省西咸新區澧西新城開發建設(集團)有限公司 3.50%信用增強債券2022年	USD	120,000,000	2019/11/25	2022/11/22	936.00
40074	PCGI Intermediate Holdings (II) Ltd. 5.50%有擔保票據2024年	USD	250,000,000	2019/11/25	2024/11/22	1,950.00

# 主板 債務證券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
85913	Eastern Creation II Inv. Holdings Ltd. 3.40%有擔保人民幣票據2022	RMB	1,000,000,000	2019/11/27	2022/11/26	1,112.10
40075	瑞聲科技控股有限公司3.00%票據2024年	USD	388,000,000	2019/11/28	2024/11/27	3,013.72
40076	China Overseas Finance (Cayman) VIII Ltd. 3.05%有擔保票據2029年	USD	294,000,000	2019/11/28	2029/11/27	2,274.23
40079	禹洲地產股份有限公司8.30%優先票據2025年	USD	500,000,000	2019/11/28	2025/05/27	3,900.00
40080	珠海大橫琴投資有限公司3.80%債券2022年	USD	450,000,000	2019/11/28	2022/11/27	3,510.00
85915	珠海大橫琴投資有限公司4.60%人民幣債券2021年	RMB	800,000,000	2019/11/28	2021/11/27	890.40
86655 #	中華人民共和國財政部2.95%人民幣債券2021年	RMB	3,500,000,000	2019/12/02	2021/06/24	3,908.38
86656 #	中華人民共和國財政部3.03%人民幣債券2024年	RMB	1,500,000,000	2019/12/02	2024/06/24	1,685.72
40078	中原資產管理有限公司4.20%票據2022年	USD	400,000,000	2019/12/02	2022/11/29	3,120.00
40077	安東油田服務集團7.50%優先票據2022年	USD	300,000,000	2019/12/03	2022/12/02	2,316.95
40081	Gaoxin International Investment Co., Ltd. 6.00%債券2022年	USD	100,000,000	2019/12/03	2022/12/02	780.00
40083	Ch State Construct Fin (Cayman) III Ltd. 次級有擔保永久資本證券	USD	500,000,000	2019/12/04	-	3,891.97
04418 #	佳源國際控股有限公司13.75%優先票據2022年	USD	30,000,000	2019/12/04	2022/03/11	234.00
40023 #	佳源國際控股有限公司13.75%優先票據2023年	USD	37,500,000	2019/12/04	2023/02/18	292.50
40084	Yichun Dev Inv HaiTong Co., Ltd. 4.20%信用增強債券2022年	USD	300,000,000	2019/12/04	2022/12/03	2,340.00
06755	中華人民共和國財政部1.875%債券2022年B	USD	1,500,000,000	2019/12/04	2022/12/03	11,681.63
06756	中華人民共和國財政部1.95%債券2024年	USD	2,000,000,000	2019/12/04	2024/12/03	15,566.00
06757	中華人民共和國財政部2.125%債券2029年	USD	2,000,000,000	2019/12/04	2029/12/03	15,442.90
06758	中華人民共和國財政部2.75%債券2039年	USD	500,000,000	2019/12/04	2039/12/03	3,822.74
40082	紹興市上虞區國有資本投資運營有限公司4.18%票據2022年	USD	300,000,000	2019/12/05	2022/12/04	2,340.00
40087	LinJiang Inv and Dev (BVI) Co., Ltd. 5.30%有擔保票據2022年	USD	300,000,000	2019/12/05	2022/12/04	2,340.00
40085	蚌埠高新投資集團有限公司3.60%信用增強債券2022年	USD	100,000,000	2019/12/06	2022/12/05	780.00
40086	江西省水利投資集團(中國)有限公司3.40%有擔保綠色債券2022年	USD	300,000,000	2019/12/06	2022/12/05	2,340.00
40088	萬達地產海外有限公司6.95%有擔保債券2022年	USD	400,000,000	2019/12/06	2022/12/05	3,084.90
40089	Amipeace Ltd. 2.50%票據2024年	USD	600,000,000	2019/12/06	2024/12/05	4,661.00
40071 #	鳳美國際投資有限公司7.00%有擔保債券2022年	USD	20,000,000	2019/12/09	2022/11/22	156.00
40090	天風證券股份有限公司4.30%票據2022年	USD	200,000,000	2019/12/10	2022/12/09	1,560.00
40091	華潤置地有限公司次級永久資本證券	USD	1,050,000,000	2019/12/10	1900/01/00	8,190.00
40092	交銀租賃管理香港有限公司浮息票據2024年	USD	600,000,000	2019/12/11	2024/12/10	4,680.00
40093	中國華能集團香港財資管理控股有限公司2.40%有擔保債券2022年	USD	400,000,000	2019/12/11	2022/12/10	3,115.51
40094	中國華能集團香港財資管理控股有限公司2.60%有擔保債券2024年	USD	500,000,000	2019/12/11	2024/12/10	3,894.39
40095	中國華能集團香港財資管理控股有限公司3.00%有擔保債券2029年	USD	600,000,000	2019/12/11	2029/12/10	4,666.76
40097	PB Issuer (No.5) Ltd. 3.00%有擔保可換股債券2025年	USD	175,000,000	2019/12/11	2025/12/10	1,365.00
40098	Guofa Overseas Investment Co. Ltd. 7.00%有擔保債券2022年	USD	100,000,000	2019/12/11	2022/12/10	780.00
40096	China Everbright Bank Co., Ltd., HK Branch浮息票據2022年	USD	500,000,000	2019/12/12	2022/12/11	3,900.00
40099	鑫港國際控股有限公司7.00%有擔保債券2022年	USD	100,000,000	2019/12/12	2022/12/11	780.00
40100	昆明軌道交通集團有限公司3.50%債券2022年	USD	300,000,000	2019/12/13	2022/12/12	2,340.00

# 主板 債務證券

股份代號	債券	發行本金	上市日期	到期日	集資金額 (百萬港元)	
40101	昆明軌道交通集團有限公司3.90%債券2024年	USD	200,000,000	2019/12/13	2024/12/12	1,560.00
40102	永利澳門有限公司5.125%優先票據2029年	USD	1,000,000,000	2019/12/18	2029/12/15	7,800.00
40103	天津國有資本投資運營有限公司零息債券2024年	USD	27,880,000	2019/12/18	2024/12/17	217.46
40104	天津國有資本投資運營有限公司0.15%債券2026年	USD	37,391,000	2019/12/18	2026/12/17	291.65
40105	天津國有資本投資運營有限公司1.55%債券2029年	USD	41,010,000	2019/12/18	2029/12/17	319.88
40106	天津國有資本投資運營有限公司1.60%債券2039年	USD	180,489,000	2019/12/18	2039/12/17	1,407.81
40107	波司登國際控股有限公司1.00%可換股債券2024年	USD	275,000,000	2019/12/18	2024/12/17	2,145.00
40108	河鋼香港有限公司3.75%有擔保票據2022年	USD	300,000,000	2019/12/19	2022/12/18	2,323.62
40012 #	正商實業有限公司12.80%優先票據2021年	USD	120,000,000	2019/12/20	2021/10/03	932.64
05512 #	山東省商業集團有限公司8.50%債券2021年	USD	180,000,000	2019/12/23	2021/12/31	1,419.87
05599 #	萬達集團海外有限公司7.50%有擔保債券2022年	USD	150,000,000	2019/12/23	2022/07/24	1,147.83
40109	中國宇華教育集團有限公司0.90%可換股債券2024年	HKD	2,088,000,000	2019/12/30	2024/12/27	2,088.00
<b>總額</b>					<b>369,156.62</b>	

# 進一步發行



# 主板 單位信託及互惠基金

## 單位信託及互惠基金交易統計

		交易所 買賣基金 (ETF) (百萬港元)	槓桿及 反向產品 (L&I Products) (百萬港元)	房地產投資 信託基金 (REITs) (百萬港元)	其他 (百萬港元)	合計 (百萬港元)	佔市場 百分比
2018	第4季	190,252.89	33,546.71	29,121.88	41.46	252,962.94	4.78
2019	第1季	260,981.84	17,819.92	33,967.70	18.91	312,788.36	5.17
	第2季	320,187.84	27,260.07	31,098.07	23.36	378,569.33	6.79
	第3季	270,633.80	50,710.39	45,153.02	21.28	366,518.49	7.34
	第4季	224,727.67	45,633.27	39,435.74	38.35	309,835.02	6.49

註：成交金額包括非港元交易買賣的證券

## 單位信託及互惠基金市值

		交易所 買賣基金 (ETF)		槓桿及 反向產品 (L&I Products)		房地產投資 信託基金 (REITs)		其他		合計	
		發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)	發行數目	(百萬港元)
2018	第4季	115	551,375.79	22	5,252.56	11	280,106.35	1	556.89	149	837,291.59
2019	第1季	115	596,384.72	22	6,808.44	11	323,936.88	1	675.83	149	927,805.86
	第2季	114	582,193.93	23	7,296.53	11	331,090.25	1	639.39	149	921,220.10
	第3季	117	631,343.22	24	7,589.72	11	292,015.66	1	639.39	153	931,587.98
	第4季	111	671,496.58	24	7,543.00	12	288,708.06	1	752.14	148	968,499.79

## 新上市統計－單位信託及互惠基金

		新上市單位信託 及互惠基金數目	集資金額 (百萬港元)
2018	第4季	6	–
2019	第1季	1	–
	第2季	3	–
	第3季	7	–
	第4季	2	0.00

# 主板 單位信託及互惠基金

## 新上市單位信託及互惠基金

2019 第 4 季

股份代號	單位信託及互惠基金	基金經理	發行價(港元)	上市時之 發行單位	集資金額 (百萬港元)	認購倍數	上市日期
03072/09072	日興資產管理環球互聯網ETF	日興資產管理香港有限公司	n.a.	n.a.	n.a.	n.a.	2019/10/24
01503	招商局商業房地產投資信託基金	招商局置地資管有限公司	3.42	750,000,000	2,565.00	1.43	2019/12/10

# 主板 可收回牛熊證

## 牛熊證交易統計

		數目	牛熊證市價總值 (百萬港元)	交易總額 (百萬港元)
2018	第4季	2,847	66,272.76	516,217.92
2019	第1季	3,899	107,176.42	597,463.35
	第2季	3,884	109,546.95	493,005.18
	第3季	3,684	92,124.47	481,965.34
	第4季	3,298	86,307.46	423,011.82

## 新上市牛熊證統計

		新上市牛熊證數目	集資金額(百萬港元)
2018	第4季	7,158	234,769.20
2019	第1季	6,467	211,608.09
	第2季	6,036	216,341.07
	第3季	6,528	246,999.38
	第4季	5,701	203,533.52

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## 新上市牛熊證

2019 第 4 季

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
56643	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 A	150,000,000	2019/10/02	2021/11/29	37.50
56644	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 C	150,000,000	2019/10/02	2020/03/30	37.50
56649	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 C	240,000,000	2019/10/02	2021/11/29	60.00
56650	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 B	250,000,000	2019/10/02	2020/02/27	62.50
56651	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 F	250,000,000	2019/10/02	2020/02/27	62.50
56652	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 M	250,000,000	2019/10/02	2020/02/27	62.50
56653	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 N	240,000,000	2019/10/02	2020/02/27	60.00
56665	Credit Suisse AG - Geely Auto R Bull CBBC Oct 2020 A	100,000,000	2019/10/02	2020/10/29	25.00
56666	Credit Suisse AG - HSI R Bull CBBC Nov 2021 S	200,000,000	2019/10/02	2021/11/29	50.00
56663	Credit Suisse AG - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/02	2020/01/30	50.00
56662	Credit Suisse AG - HSI R Bear CBBC Jan 2020 I	200,000,000	2019/10/02	2020/01/30	50.00
56664	Credit Suisse AG - HSI R Bear CBBC Feb 2020 I	200,000,000	2019/10/02	2020/02/27	50.00
56667	Credit Suisse AG - Meituan Dianping R Bull CBBC Apr 2020 B	40,000,000	2019/10/02	2020/04/28	10.00
56640	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 E	200,000,000	2019/10/02	2022/10/28	50.00
56641	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 F	200,000,000	2019/10/02	2022/10/28	50.00
56636	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/10/02	2020/02/27	50.00
56642	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/10/02	2020/03/30	50.00
56639	HK Bank - China Mobile R Bull CBBC Apr 2020 A	60,000,000	2019/10/02	2020/04/14	15.00
56638	HK Bank - HKEX R Bull CBBC May 2020 C	100,000,000	2019/10/02	2020/05/18	25.00
56637	HK Bank - HKEX R Bear CBBC May 2020 B	100,000,000	2019/10/02	2020/05/25	25.00
56635	HK Bank - HSI R Bear CBBC Feb 2020 H	100,000,000	2019/10/02	2020/02/27	25.00
56661	Haitong Int'l Sec - AIA R Bull CBBC Mar 2020 B	60,000,000	2019/10/02	2020/03/23	15.00
56655	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/10/02	2021/10/28	37.50
56656	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 S	100,000,000	2019/10/02	2021/10/28	25.00
56657	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 Q	150,000,000	2019/10/02	2021/11/29	37.50
56654	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 P	200,000,000	2019/10/02	2020/02/27	50.00
56658	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Q	150,000,000	2019/10/02	2020/02/27	37.50
56659	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 R	150,000,000	2019/10/02	2020/02/27	37.50
56660	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 S	200,000,000	2019/10/02	2020/02/27	50.00
56671	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/10/02	2021/10/28	50.00
56668	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 V	200,000,000	2019/10/02	2021/10/28	50.00
56669	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/10/02	2020/03/30	50.00
56670	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/10/02	2020/03/30	50.00
56674	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/10/02	2020/03/30	50.00
56672	J P Morgan SP BV - Meituan Dianping R Bull CBBC Apr 2020 A	70,000,000	2019/10/02	2020/04/09	17.50
56673	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 A	70,000,000	2019/10/02	2020/06/12	17.50
56682	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 S	80,000,000	2019/10/02	2021/12/30	20.00
56683	SG Issuer - AIA R Bull CBBC Mar 2020 A	60,000,000	2019/10/02	2020/03/26	15.00
56684	SG Issuer - AIA R Bull CBBC Mar 2020 B	60,000,000	2019/10/02	2020/03/30	15.00
56685	SG Issuer - China Life R Bear CBBC Apr 2020 A	150,000,000	2019/10/02	2020/04/15	37.50
56686	SG Issuer - CM Bank R Bull CBBC Jun 2020 A	100,000,000	2019/10/02	2020/06/08	25.00
56694	SG Issuer - Galaxy Ent R Bull CBBC May 2020 B	100,000,000	2019/10/02	2020/05/25	25.00
56696	SG Issuer - HKEX R Bull CBBC Feb 2020 J	50,000,000	2019/10/02	2020/02/18	12.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
56687	SG Issuer - HKEX R Bull CBBC Apr 2020 F	50,000,000	2019/10/02	2020/04/08	12.50
56697	SG Issuer - HKEX R Bull CBBC May 2020 B	50,000,000	2019/10/02	2020/05/25	15.75
56695	SG Issuer - HKEX R Bear CBBC Jan 2020 C	50,000,000	2019/10/02	2020/01/15	12.50
56699	SG Issuer - HSI R Bull CBBC Dec 2021 N	250,000,000	2019/10/02	2021/12/30	62.50
56700	SG Issuer - HSI R Bull CBBC Dec 2021 T	250,000,000	2019/10/02	2021/12/30	62.50
56707	SG Issuer - HSI R Bull CBBC Jan 2022 A	250,000,000	2019/10/02	2022/01/28	62.50
56708	SG Issuer - HSI R Bull CBBC Jan 2022 B	250,000,000	2019/10/02	2022/01/28	62.50
56709	SG Issuer - HSI R Bull CBBC Jan 2022 D	250,000,000	2019/10/02	2022/01/28	62.50
56706	SG Issuer - HSI R Bull CBBC Jan 2022 Z	250,000,000	2019/10/02	2022/01/28	62.50
56691	SG Issuer - HSI R Bear CBBC Feb 2020 N	250,000,000	2019/10/02	2020/02/27	62.50
56703	SG Issuer - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/10/02	2020/03/30	62.50
56692	SG Issuer - HSI R Bear CBBC Mar 2020 D	250,000,000	2019/10/02	2020/03/30	62.50
56704	SG Issuer - HSI R Bear CBBC Mar 2020 E	250,000,000	2019/10/02	2020/03/30	62.50
56705	SG Issuer - HSI R Bear CBBC Apr 2020 X	250,000,000	2019/10/02	2020/04/28	62.50
56693	SG Issuer - HSI R Bear CBBC May 2020 Q	500,000,000	2019/10/02	2020/05/28	125.00
56698	SG Issuer - Ping An R Bull CBBC Mar 2020 G	100,000,000	2019/10/02	2020/03/06	25.00
56701	SG Issuer - Ping An R Bear CBBC Feb 2020 I	100,000,000	2019/10/02	2020/02/20	25.00
56688	SG Issuer - Sunny Optical R Bear CBBC Feb 2020 E	40,000,000	2019/10/02	2020/02/28	10.00
56689	SG Issuer - Tencent R Bull CBBC Jan 2020 R	80,000,000	2019/10/02	2020/01/31	20.00
56702	SG Issuer - Tencent R Bear CBBC Mar 2020 V	80,000,000	2019/10/02	2020/03/30	20.00
56690	SG Issuer - Tencent R Bear CBBC Apr 2020 Q	80,000,000	2019/10/02	2020/04/08	20.00
56646	UBS AG - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/10/02	2022/01/28	50.00
56647	UBS AG - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/10/02	2022/01/28	50.00
56645	UBS AG - HSI R Bear CBBC Jan 2020 L	200,000,000	2019/10/02	2020/01/30	50.00
56648	UBS AG - HSI R Bear CBBC Jan 2020 M	200,000,000	2019/10/02	2020/01/30	50.00
56677	Bank Vontobel - AIA R Bear CBBC Apr 2020 A	40,000,000	2019/10/02	2020/04/24	10.00
56679	Bank Vontobel - Sinopec Corp R Bear CBBC Mar 2020 A	40,000,000	2019/10/02	2020/03/20	10.00
56678	Bank Vontobel - HKEX R Bull CBBC Jun 2020 C	40,000,000	2019/10/02	2020/06/19	12.84
56676	Bank Vontobel - HKEX R Bear CBBC May 2020 A	40,000,000	2019/10/02	2020/05/15	10.00
56675	Bank Vontobel - HSI N Bear CBBC Apr 2020 U	80,000,000	2019/10/02	2020/04/28	20.00
56681	Bank Vontobel - Sunny Optical R Bear CBBC Jul 2020 C	40,000,000	2019/10/02	2020/07/17	10.00
56680	Bank Vontobel - Tencent R Bear CBBC Apr 2020 A	40,000,000	2019/10/02	2020/04/24	10.00
56795	BOCI Asia Ltd. - Geely Auto R Bull CBBC Feb 2020 B	40,000,000	2019/10/03	2020/02/10	10.00
56710	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 B	150,000,000	2019/10/03	2021/11/29	37.50
56711	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 C	150,000,000	2019/10/03	2021/11/29	37.50
56712	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 D	150,000,000	2019/10/03	2021/11/29	37.50
56798	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/10/03	2020/03/30	37.50
56799	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/10/03	2020/03/30	37.50
56800	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 F	150,000,000	2019/10/03	2020/03/30	42.00
56796	BOCI Asia Ltd. - Xiaomi R Bull CBBC Feb 2020 A	40,000,000	2019/10/03	2020/02/10	10.00
56797	BOCI Asia Ltd. - Xiaomi R Bear CBBC Feb 2020 C	40,000,000	2019/10/03	2020/02/10	10.00
56713	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 D	250,000,000	2019/10/03	2021/11/29	62.50
56714	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 G	250,000,000	2019/10/03	2021/11/29	62.50
56756	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 I	240,000,000	2019/10/03	2021/11/29	60.00
56754	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 O	250,000,000	2019/10/03	2020/02/27	62.50

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56755	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 P	250,000,000	2019/10/03	2020/02/27	62.50
56757	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 V	250,000,000	2019/10/03	2020/02/27	62.50
56758	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 W	240,000,000	2019/10/03	2020/02/27	60.00
56828	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 A	50,000,000	2019/10/03	2020/11/27	12.50
56753	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 B	50,000,000	2019/10/03	2020/12/30	12.50
56783	Credit Suisse AG - AAC Tech R Bear CBBC Apr 2020 B	40,000,000	2019/10/03	2020/04/28	10.00
56781	Credit Suisse AG - AIA R Bull CBBC Jan 2020 S	70,000,000	2019/10/03	2020/01/30	17.50
56785	Credit Suisse AG - HKEX R Bear CBBC Apr 2020 E	100,000,000	2019/10/03	2020/04/24	25.00
56787	Credit Suisse AG - HSI R Bull CBBC Oct 2021 S	200,000,000	2019/10/03	2021/10/28	50.00
56792	Credit Suisse AG - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/10/03	2021/11/29	50.00
56793	Credit Suisse AG - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/10/03	2021/11/29	50.00
56794	Credit Suisse AG - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/10/03	2021/11/29	50.00
56788	Credit Suisse AG - HSI R Bear CBBC Jan 2020 D	200,000,000	2019/10/03	2020/01/30	50.00
56790	Credit Suisse AG - HSI R Bear CBBC Feb 2020 T	200,000,000	2019/10/03	2020/02/27	50.00
56791	Credit Suisse AG - HSI R Bear CBBC Feb 2020 U	200,000,000	2019/10/03	2020/02/27	50.00
56789	Credit Suisse AG - HSI R Bear CBBC May 2020 E	300,000,000	2019/10/03	2020/05/28	75.00
56784	Credit Suisse AG - Ping An R Bear CBBC Feb 2020 E	60,000,000	2019/10/03	2020/02/21	15.00
56786	Credit Suisse AG - Sunny Optical R Bear CBBC Apr 2020 A	40,000,000	2019/10/03	2020/04/28	10.00
56782	Credit Suisse AG - Tencent R Bear CBBC Apr 2020 D	100,000,000	2019/10/03	2020/04/28	25.00
56715	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 G	200,000,000	2019/10/03	2022/10/28	50.00
56716	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 H	200,000,000	2019/10/03	2022/10/28	50.00
56717	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 I	200,000,000	2019/10/03	2022/10/28	50.00
56718	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 B	80,000,000	2019/10/03	2020/01/03	20.00
56735	HK Bank - China Life R Bear CBBC Apr 2020 A	60,000,000	2019/10/03	2020/04/06	15.00
56736	HK Bank - Galaxy Ent R Bear CBBC Feb 2020 C	40,000,000	2019/10/03	2020/02/24	10.00
56732	HK Bank - HKEX R Bear CBBC Feb 2020 G	40,000,000	2019/10/03	2020/02/10	10.00
56722	HK Bank - HSI R Bull CBBC Dec 2020 H	100,000,000	2019/10/03	2020/12/30	25.00
56733	HK Bank - HSI R Bear CBBC Feb 2020 K	100,000,000	2019/10/03	2020/02/27	25.00
56737	HK Bank - Ping An R Bear CBBC Apr 2020 A	50,000,000	2019/10/03	2020/04/14	12.50
56721	HK Bank - Tencent R Bull CBBC Apr 2020 I	40,000,000	2019/10/03	2020/04/20	10.00
56734	HK Bank - Tencent R Bear CBBC Feb 2020 E	40,000,000	2019/10/03	2020/02/17	10.00
56751	Haitong Int'l Sec - AIA R Bear CBBC Apr 2020 H	60,000,000	2019/10/03	2020/04/09	15.00
56749	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 H	200,000,000	2019/10/03	2021/10/28	50.00
56747	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 T	150,000,000	2019/10/03	2021/10/28	37.50
56748	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 W	150,000,000	2019/10/03	2021/10/28	37.50
56759	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 B	150,000,000	2019/10/03	2021/11/29	38.85
56760	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 O	100,000,000	2019/10/03	2021/11/29	25.90
56761	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 U	150,000,000	2019/10/03	2021/11/29	37.50
56762	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 T	150,000,000	2019/10/03	2020/02/27	37.50
56763	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 U	150,000,000	2019/10/03	2020/02/27	37.50
56764	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/10/03	2020/02/27	50.00
56801	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/10/03	2020/02/27	50.00
56752	Haitong Int'l Sec - Ping An R Bear CBBC Mar 2020 E	50,000,000	2019/10/03	2020/03/11	12.50
56750	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 D	40,000,000	2019/10/03	2020/03/23	10.00
56776	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/10/03	2021/09/29	50.00



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
56769	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/10/03	2021/09/29	50.00
56770	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/10/03	2021/10/28	50.00
56771	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 L	200,000,000	2019/10/03	2021/11/29	50.00
56772	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/10/03	2021/12/30	50.00
56777	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/10/03	2020/03/30	50.00
56778	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/10/03	2020/03/30	50.00
56779	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/10/03	2020/03/30	50.00
56780	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/10/03	2020/03/30	50.00
56775	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 H	50,000,000	2019/10/03	2020/03/13	12.50
56773	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 G	50,000,000	2019/10/03	2020/03/13	12.50
56774	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 H	250,000,000	2019/10/03	2020/03/13	62.50
56723	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2020 E	9,400,000	2019/10/03	2020/04/29	10.07
56724	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2020 B	22,700,000	2019/10/03	2020/05/29	10.01
56766	Morgan Stanley Asia Products - HKEX R Bear CBBC Apr 2020 A	40,000,000	2019/10/03	2020/04/02	10.00
56720	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 S	80,000,000	2019/10/03	2021/11/29	20.00
56719	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 T	80,000,000	2019/10/03	2021/12/30	20.00
56765	Morgan Stanley Asia Products - HSI R Bear CBBC Jan 2020 T	80,000,000	2019/10/03	2020/01/30	20.00
56767	Morgan Stanley Asia Products - Tencent R Bear CBBC Apr2020 C	40,000,000	2019/10/03	2020/04/15	10.00
56768	Morgan Stanley Asia Products - Tencent R Bear CBBC Apr2020 D	40,000,000	2019/10/03	2020/04/02	10.00
56806	SG Issuer - HKEX R Bull CBBC Apr 2020 G	50,000,000	2019/10/03	2020/04/28	12.50
56822	SG Issuer - HKEX R Bull CBBC Jun 2020 B	200,000,000	2019/10/03	2020/06/29	50.00
56819	SG Issuer - HSI R Bull CBBC Sep 2021 E	250,000,000	2019/10/03	2021/09/29	62.50
56820	SG Issuer - HSI R Bull CBBC Sep 2021 O	250,000,000	2019/10/03	2021/09/29	62.50
56821	SG Issuer - HSI R Bull CBBC Oct 2021 R	500,000,000	2019/10/03	2021/10/28	125.00
56802	SG Issuer - HSI R Bull CBBC Nov 2021 X	250,000,000	2019/10/03	2021/11/29	62.50
56804	SG Issuer - HSI R Bull CBBC Dec 2021 Q	250,000,000	2019/10/03	2021/12/30	62.50
56805	SG Issuer - HSI R Bull CBBC Dec 2021 R	250,000,000	2019/10/03	2021/12/30	62.50
56803	SG Issuer - HSI R Bull CBBC Dec 2021 V	250,000,000	2019/10/03	2021/12/30	62.50
56812	SG Issuer - HSI R Bull CBBC Jan 2022 E	250,000,000	2019/10/03	2022/01/28	62.50
56813	SG Issuer - HSI R Bear CBBC Feb 2020 U	250,000,000	2019/10/03	2020/02/27	62.50
56814	SG Issuer - HSI R Bear CBBC Mar 2020 G	250,000,000	2019/10/03	2020/03/30	62.50
56815	SG Issuer - HSI R Bear CBBC Mar 2020 J	250,000,000	2019/10/03	2020/03/30	62.50
56816	SG Issuer - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/10/03	2020/04/28	62.50
56817	SG Issuer - HSI R Bear CBBC Apr 2020 Z	250,000,000	2019/10/03	2020/04/28	62.50
56818	SG Issuer - HSI R Bear CBBC May 2020 R	500,000,000	2019/10/03	2020/05/28	125.00
56807	SG Issuer - Ping An R Bull CBBC Feb 2020 N	100,000,000	2019/10/03	2020/02/20	25.00
56810	SG Issuer - Ping An R Bull CBBC Feb 2020 O	100,000,000	2019/10/03	2020/02/25	25.00
56823	SG Issuer - Tencent R Bull CBBC Feb 2020 X	80,000,000	2019/10/03	2020/02/26	20.00
56808	SG Issuer - Tencent R Bull CBBC Mar 2020 L	80,000,000	2019/10/03	2020/03/30	20.00
56809	SG Issuer - Tencent R Bull CBBC Mar 2020 M	80,000,000	2019/10/03	2020/03/31	20.00
56811	SG Issuer - Tencent R Bull CBBC Mar 2020 N	80,000,000	2019/10/03	2020/03/31	20.00
56825	SG Issuer - Tencent R Bull CBBC Mar 2020 O	80,000,000	2019/10/03	2020/03/26	26.00
56826	SG Issuer - Tencent R Bull CBBC Apr 2020 A	80,000,000	2019/10/03	2020/04/28	50.40
56827	SG Issuer - Tencent R Bull CBBC Apr 2020 B	80,000,000	2019/10/03	2020/04/28	38.00
56824	SG Issuer - Tencent R Bear CBBC Feb 2020 G	80,000,000	2019/10/03	2020/02/26	20.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
56744	UBS AG - HKEX R Bear CBBC Jun 2020 C	200,000,000	2019/10/03	2020/06/16	50.00
56738	UBS AG - HSI R Bull CBBC Nov 2021 H	300,000,000	2019/10/03	2021/11/29	75.00
56739	UBS AG - HSI R Bull CBBC Nov 2021 I	200,000,000	2019/10/03	2021/11/29	50.00
56741	UBS AG - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/10/03	2021/12/30	50.00
56742	UBS AG - HSI R Bull CBBC Jan 2022 J	200,000,000	2019/10/03	2022/01/28	50.00
56745	UBS AG - HSI R Bear CBBC Jan 2020 N	200,000,000	2019/10/03	2020/01/30	50.00
56746	UBS AG - HSI R Bear CBBC Jan 2020 Q	200,000,000	2019/10/03	2020/01/30	50.00
56740	UBS AG - Tencent R Bull CBBC Feb 2020 S	40,000,000	2019/10/03	2020/02/19	10.00
56743	UBS AG - Tencent R Bear CBBC Feb 2020 L	40,000,000	2019/10/03	2020/02/18	10.00
56726	Bank Vontobel - HSI R Bull CBBC Apr 2021 E	80,000,000	2019/10/03	2021/04/29	20.00
56725	Bank Vontobel - HSI R Bear CBBC Apr 2020 V	80,000,000	2019/10/03	2020/04/28	20.00
56729	Bank Vontobel - HSI R Bear CBBC Apr 2020 W	80,000,000	2019/10/03	2020/04/28	20.00
56730	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 A	60,000,000	2019/10/03	2020/06/19	15.00
56731	Bank Vontobel - Meituan Dianping R Bear CBBC Apr 2020 B	60,000,000	2019/10/03	2020/04/17	15.00
56727	Bank Vontobel - Tencent R Bull CBBC Apr 2020 D	40,000,000	2019/10/03	2020/04/24	10.00
56728	Bank Vontobel - Tencent R Bull CBBC Apr 2020 E	40,000,000	2019/10/03	2020/04/24	36.04
56837	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 E	150,000,000	2019/10/04	2021/11/29	37.50
56838	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 G	150,000,000	2019/10/04	2021/11/29	37.50
56839	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 G	150,000,000	2019/10/04	2020/03/30	37.50
56857	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 P	240,000,000	2019/10/04	2021/11/29	60.00
56859	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 Q	250,000,000	2019/10/04	2020/02/27	62.50
56860	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 R	240,000,000	2019/10/04	2020/02/27	60.00
56858	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 X	250,000,000	2019/10/04	2020/02/27	62.50
56833	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 A	50,000,000	2019/10/04	2020/12/30	12.50
56834	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 B	50,000,000	2019/10/04	2020/12/30	12.50
56869	Credit Suisse AG - HSI R Bull CBBC Sep 2021 C	200,000,000	2019/10/04	2021/09/29	50.00
56868	Credit Suisse AG - HSI R Bull CBBC Sep 2021 V	200,000,000	2019/10/04	2021/09/29	50.00
56865	Credit Suisse AG - HSI R Bear CBBC Jan 2020 W	200,000,000	2019/10/04	2020/01/30	50.00
56864	Credit Suisse AG - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/10/04	2020/02/27	50.00
56866	Credit Suisse AG - Meituan R Bear CBBC Feb 2020 A	40,000,000	2019/10/04	2020/02/27	10.00
56867	Credit Suisse AG - Meituan R Bear CBBC Apr 2020 A	40,000,000	2019/10/04	2020/04/28	10.00
56884	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 J	200,000,000	2019/10/04	2022/10/28	50.00
56885	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 K	200,000,000	2019/10/04	2022/10/28	50.00
56830	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/10/04	2020/04/28	50.00
56829	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 M	200,000,000	2019/10/04	2020/04/28	50.00
56831	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/10/04	2020/04/28	50.00
56886	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 C	80,000,000	2019/10/04	2020/01/06	20.00
56887	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jan 2020 Q	60,000,000	2019/10/04	2020/01/30	15.00
56842	HK Bank - HSCEI R Bull CBBC Dec 2020 B	50,000,000	2019/10/04	2020/12/30	12.50
56841	HK Bank - HSCEI R Bear CBBC Mar 2020 A	50,000,000	2019/10/04	2020/03/30	12.50
56840	HK Bank - HSI R Bull CBBC Dec 2020 I	100,000,000	2019/10/04	2020/12/30	25.00
56832	HK Bank - HSI R Bear CBBC Mar 2020 D	50,000,000	2019/10/04	2020/03/30	12.50
56850	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 I	100,000,000	2019/10/04	2021/11/29	25.00
56851	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 N	100,000,000	2019/10/04	2021/11/29	25.00
56852	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 P	150,000,000	2019/10/04	2021/11/29	37.50

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56849	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 Z	150,000,000	2019/10/04	2021/11/29	37.50
56854	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 A	150,000,000	2019/10/04	2020/02/27	37.50
56855	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 B	150,000,000	2019/10/04	2020/02/27	37.50
56848	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 X	150,000,000	2019/10/04	2020/02/27	37.50
56853	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Y	150,000,000	2019/10/04	2020/02/27	37.50
56856	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Z	150,000,000	2019/10/04	2020/02/27	37.50
56861	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 H	40,000,000	2019/10/04	2020/03/16	10.00
56862	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 E	40,000,000	2019/10/04	2020/03/30	10.00
56863	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2020 S	40,000,000	2019/10/04	2020/04/06	10.00
56872	J P Morgan SP BV - HKEX R Bull CBBC May 2020 E	150,000,000	2019/10/04	2020/05/08	37.50
56873	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/10/04	2021/10/28	50.00
56874	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 P	200,000,000	2019/10/04	2021/11/29	50.00
56875	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/10/04	2021/12/30	50.00
56876	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/10/04	2020/03/30	50.00
56877	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/10/04	2020/03/30	50.00
56870	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/10/04	2020/03/30	50.00
56871	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 I	50,000,000	2019/10/04	2020/03/13	12.50
56878	SG Issuer - China Life R Bear CBBC Apr 2020 B	150,000,000	2019/10/04	2020/04/28	37.50
56883	SG Issuer - HSI R Bull CBBC Dec 2021 J	250,000,000	2019/10/04	2021/12/30	62.50
56880	SG Issuer - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/10/04	2020/04/28	62.50
56881	SG Issuer - HSI R Bear CBBC Apr 2020 D	250,000,000	2019/10/04	2020/04/28	62.50
56882	SG Issuer - HSI R Bear CBBC May 2020 S	250,000,000	2019/10/04	2020/05/28	62.50
56879	SG Issuer - Tencent R Bear CBBC Mar 2020 W	80,000,000	2019/10/04	2020/03/09	20.00
56846	UBS AG - HSI R Bull CBBC Nov 2021 O	200,000,000	2019/10/04	2021/11/29	50.00
56847	UBS AG - HSI R Bull CBBC Nov 2021 Q	200,000,000	2019/10/04	2021/11/29	50.00
56843	UBS AG - HSI R Bear CBBC Jan 2020 R	200,000,000	2019/10/04	2020/01/30	50.00
56844	UBS AG - HSI R Bear CBBC Jan 2020 S	200,000,000	2019/10/04	2020/01/30	50.00
56845	UBS AG - HSI R Bear CBBC Jan 2020 T	200,000,000	2019/10/04	2020/01/30	50.00
56835	Bank Vontobel - HSI R Bear CBBC Apr 2020 X	80,000,000	2019/10/04	2020/04/28	20.00
56836	Bank Vontobel - SHK Ppt R Bear CBBC Apr 2020 A	40,000,000	2019/10/04	2020/04/17	10.00
56890	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 H	150,000,000	2019/10/08	2021/11/29	37.50
56898	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 H	150,000,000	2019/10/08	2020/03/30	37.50
56888	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 Q	250,000,000	2019/10/08	2021/11/29	62.50
56909	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 R	240,000,000	2019/10/08	2021/11/29	60.00
56910	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 S	240,000,000	2019/10/08	2020/02/27	60.00
56915	Credit Suisse AG - HSI R Bull CBBC Oct 2021 I	200,000,000	2019/10/08	2021/10/28	50.00
56916	Credit Suisse AG - HSI R Bull CBBC Oct 2021 O	200,000,000	2019/10/08	2021/10/28	50.00
56917	Credit Suisse AG - HSI R Bear CBBC Jan 2020 X	200,000,000	2019/10/08	2020/01/30	50.00
56918	Credit Suisse AG - Meituan Dianping R Bull CBBC May 2020 A	80,000,000	2019/10/08	2020/05/28	20.00
56919	Credit Suisse AG - Meituan Dianping R Bear CBBC Apr 2020 B	40,000,000	2019/10/08	2020/04/28	10.00
56929	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Aug 2020 A	80,000,000	2019/10/08	2020/08/28	20.00
56928	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 L	200,000,000	2019/10/08	2022/10/28	50.00
56927	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/10/08	2020/03/30	50.00
56907	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 N	200,000,000	2019/10/08	2020/04/28	50.00
56891	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 D	80,000,000	2019/10/08	2020/01/08	20.00

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56895	HK Bank - AAC Tech R Bear CBBC Feb 2020 C	40,000,000	2019/10/08	2020/02/17	10.00
56897	HK Bank - China Mobile R Bear CBBC Mar 2020 B	40,000,000	2019/10/08	2020/03/09	10.00
56892	HK Bank - CPIC R Bear CBBC Feb 2020 A	40,000,000	2019/10/08	2020/02/24	10.00
56894	HK Bank - HKEX R Bull CBBC Feb 2020 H	40,000,000	2019/10/08	2020/02/17	10.00
56889	HK Bank - HSI R Bull CBBC Dec 2020 J	50,000,000	2019/10/08	2020/12/30	12.50
56893	HK Bank - Sands China R Bear CBBC Mar 2020 B	40,000,000	2019/10/08	2020/03/23	10.00
56896	HK Bank - SUNAC R Bear CBBC Apr 2020 A	40,000,000	2019/10/08	2020/04/06	10.00
56920	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 J	150,000,000	2019/10/08	2021/11/29	37.50
56921	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 P	150,000,000	2019/10/08	2021/12/30	37.50
56922	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 T	150,000,000	2019/10/08	2021/12/30	37.50
56923	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 C	150,000,000	2019/10/08	2020/02/27	37.50
56924	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 D	150,000,000	2019/10/08	2020/02/27	37.50
56925	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 E	150,000,000	2019/10/08	2020/02/27	37.50
56926	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 F	150,000,000	2019/10/08	2020/02/27	37.50
56913	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/10/08	2021/10/28	50.00
56914	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/10/08	2021/11/29	50.00
56911	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/10/08	2020/03/30	50.00
56912	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/10/08	2020/03/30	50.00
56908	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 S	80,000,000	2019/10/08	2020/02/27	20.00
56950	SG Issuer - AIA R Bull CBBC Feb 2020 N	60,000,000	2019/10/08	2020/02/13	15.00
56952	SG Issuer - China Mobile R Bull CBBC Jul 2020 H	100,000,000	2019/10/08	2020/07/29	25.00
56951	SG Issuer - CC Bank R Bull CBBC Aug 2020 E	100,000,000	2019/10/08	2020/08/05	25.00
56942	SG Issuer - China Life R Bull CBBC Jul 2020 B	150,000,000	2019/10/08	2020/07/29	37.50
56937	SG Issuer - CNOOC R Bull CBBC Feb 2020 C	40,000,000	2019/10/08	2020/02/18	10.00
56930	SG Issuer - China Tower R Bull CBBC Jun 2020 A	120,000,000	2019/10/08	2020/06/05	30.00
56948	SG Issuer - HSI R Bull CBBC Sep 2021 Q	250,000,000	2019/10/08	2021/09/29	62.50
56949	SG Issuer - HSI R Bull CBBC Sep 2021 R	250,000,000	2019/10/08	2021/09/29	62.50
56935	SG Issuer - HSI R Bull CBBC Oct 2021 D	500,000,000	2019/10/08	2021/10/28	125.00
56939	SG Issuer - HSI R Bull CBBC Oct 2021 S	250,000,000	2019/10/08	2021/10/28	62.50
56941	SG Issuer - HSI R Bull CBBC Oct 2021 V	250,000,000	2019/10/08	2021/10/28	62.50
56936	SG Issuer - HSI R Bull CBBC Nov 2021 H	250,000,000	2019/10/08	2021/11/29	62.50
56940	SG Issuer - HSI R Bull CBBC Dec 2021 O	250,000,000	2019/10/08	2021/12/30	62.50
56943	SG Issuer - HSI R Bear CBBC Mar 2020 K	250,000,000	2019/10/08	2020/03/30	62.50
56944	SG Issuer - HSI R Bear CBBC Mar 2020 O	250,000,000	2019/10/08	2020/03/30	62.50
56945	SG Issuer - HSI R Bear CBBC Apr 2020 E	250,000,000	2019/10/08	2020/04/28	62.50
56946	SG Issuer - HSI R Bear CBBC Apr 2020 P	250,000,000	2019/10/08	2020/04/28	62.50
56947	SG Issuer - HSI R Bear CBBC May 2020 T	250,000,000	2019/10/08	2020/05/28	62.50
56931	SG Issuer - Ping An R Bull CBBC Feb 2020 P	100,000,000	2019/10/08	2020/02/27	25.00
56932	SG Issuer - Sands China R Bull CBBC Jul 2020 A	80,000,000	2019/10/08	2020/07/03	20.00
56933	SG Issuer - SUNAC R Bull CBBC Jul 2020 C	80,000,000	2019/10/08	2020/07/28	20.00
56934	SG Issuer - Tencent R Bull CBBC Feb 2020 Y	80,000,000	2019/10/08	2020/02/27	20.00
56938	SG Issuer - Tencent R Bull CBBC Mar 2020 P	80,000,000	2019/10/08	2020/03/30	20.00
56902	UBS AG - HSI R Bull CBBC Nov 2021 X	200,000,000	2019/10/08	2021/11/29	50.00
56903	UBS AG - HSI R Bear CBBC Jan 2020 U	200,000,000	2019/10/08	2020/01/30	50.00
56904	UBS AG - HSI R Bear CBBC Jan 2020 V	200,000,000	2019/10/08	2020/01/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
56905	UBS AG - HSI R Bear CBBC Jan 2020 W	200,000,000	2019/10/08	2020/01/30	50.00
56906	UBS AG - Tencent R Bull CBBC Mar 2020 B	200,000,000	2019/10/08	2020/03/16	50.00
56900	Bank Vontobel - HSI R Bull CBBC Apr 2021 F	80,000,000	2019/10/08	2021/04/29	20.00
56899	Bank Vontobel - HSI R Bear CBBC Apr 2020 Y	80,000,000	2019/10/08	2020/04/28	20.00
56901	Bank Vontobel - Meituan Dianping R Bear CBBC Jun 2020 B	60,000,000	2019/10/08	2020/06/19	15.00
56979	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 I	150,000,000	2019/10/09	2021/11/29	37.50
56980	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 I	150,000,000	2019/10/09	2020/03/30	37.50
56981	BOCI Asia Ltd. - Tencent R Bull CBBC Feb 2020 G	40,000,000	2019/10/09	2020/02/14	10.00
56982	BOCI Asia Ltd. - Tencent R Bear CBBC Feb 2020 B	40,000,000	2019/10/09	2020/02/14	10.00
56953	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 S	250,000,000	2019/10/09	2021/11/29	62.50
56983	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 T	250,000,000	2019/10/09	2021/11/29	62.50
56984	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 T	250,000,000	2019/10/09	2020/02/27	62.50
56985	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 U	240,000,000	2019/10/09	2020/02/27	60.00
57030	Credit Suisse AG - CM Bank R Bull CBBC May 2020 D	70,000,000	2019/10/09	2020/05/28	17.50
57021	Credit Suisse AG - HKEX R Bull CBBC May 2020 B	100,000,000	2019/10/09	2020/05/28	25.00
57036	Credit Suisse AG - HSI R Bull CBBC Sep 2021 W	200,000,000	2019/10/09	2021/09/29	50.00
57032	Credit Suisse AG - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/10/09	2021/10/28	50.00
57035	Credit Suisse AG - HSI R Bull CBBC Oct 2021 F	200,000,000	2019/10/09	2021/10/28	50.00
57033	Credit Suisse AG - HSI R Bull CBBC Dec 2021 N	300,000,000	2019/10/09	2021/12/30	75.00
57013	Credit Suisse AG - HSI R Bear CBBC Jan 2020 Y	200,000,000	2019/10/09	2020/01/30	50.00
57040	Credit Suisse AG - HSI R Bear CBBC Feb 2020 J	200,000,000	2019/10/09	2020/02/27	50.00
57014	Credit Suisse AG - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/10/09	2020/02/27	50.00
57026	Credit Suisse AG - Ping An R Bull CBBC Apr 2020 B	80,000,000	2019/10/09	2020/04/28	20.00
57031	Credit Suisse AG - Xiaomi R Bear CBBC Apr 2020 C	40,000,000	2019/10/09	2020/04/28	10.00
56961	Bank of East Asia - China Mobile R Bull CBBC May 2020 A	70,000,000	2019/10/09	2020/05/13	17.50
56959	Bank of East Asia - CC Bank R Bull CBBC May 2020 A	70,000,000	2019/10/09	2020/05/13	17.50
56960	Bank of East Asia - Geely Auto R Bull CBBC May 2020 A	40,000,000	2019/10/09	2020/05/13	10.00
56962	Bank of East Asia - HKEX R Bull CBBC Jun 2020 A	100,000,000	2019/10/09	2020/06/24	25.00
56958	Bank of East Asia - Tencent R Bull CBBC May 2020 D	30,000,000	2019/10/09	2020/05/13	10.50
57042	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 M	200,000,000	2019/10/09	2022/10/28	50.00
57050	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 N	200,000,000	2019/10/09	2022/10/28	50.00
56963	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/10/09	2020/03/30	50.00
57051	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/10/09	2020/03/30	50.00
57054	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 E	80,000,000	2019/10/09	2020/01/09	20.00
56956	HK Bank - HKEX R Bull CBBC Feb 2020 I	40,000,000	2019/10/09	2020/02/24	10.00
56957	HK Bank - Meituan Dianping R Bull CBBC May 2020 B	40,000,000	2019/10/09	2020/05/08	10.00
57059	Haitong Int'l Sec - Galaxy Ent R Bear CBBC Feb 2020 D	40,000,000	2019/10/09	2020/02/14	10.00
56970	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 I	150,000,000	2019/10/09	2021/10/28	37.50
56971	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 J	150,000,000	2019/10/09	2021/10/28	37.50
56972	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 K	100,000,000	2019/10/09	2021/10/28	25.00
56969	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 G	150,000,000	2019/10/09	2020/02/27	37.50
56973	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 H	150,000,000	2019/10/09	2020/02/27	37.50
56974	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 I	150,000,000	2019/10/09	2020/02/27	37.50
56975	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 J	200,000,000	2019/10/09	2020/02/27	50.00
57057	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 I	40,000,000	2019/10/09	2020/03/06	10.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
56978	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/10/09	2021/11/29	50.00
56976	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/10/09	2021/11/29	50.00
56977	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/10/09	2020/03/30	50.00
56987	Morgan Stanley Asia Products - HSI R Bear CBBC Jan 2020 U	100,000,000	2019/10/09	2020/01/30	25.00
56986	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 T	100,000,000	2019/10/09	2020/02/27	25.00
56988	SG Issuer - AIA R Bull CBBC Apr 2020 B	60,000,000	2019/10/09	2020/04/15	15.00
57009	SG Issuer - Bank of China R Bull CBBC Aug 2020 G	100,000,000	2019/10/09	2020/08/06	25.00
57012	SG Issuer - CC Bank R Bull CBBC Aug 2020 F	100,000,000	2019/10/09	2020/08/06	25.00
56954	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 J	100,000,000	2019/10/09	2020/04/28	25.00
56955	SG Issuer - A50 China R Bull CBBC Apr 2020 A	100,000,000	2019/10/09	2020/04/29	25.00
56992	SG Issuer - HKEX R Bull CBBC Apr 2020 H	50,000,000	2019/10/09	2020/04/29	12.50
56989	SG Issuer - HKEX R Bear CBBC Feb 2020 K	50,000,000	2019/10/09	2020/02/18	12.50
57006	SG Issuer - HSI R Bull CBBC Aug 2021 J	250,000,000	2019/10/09	2021/08/30	62.50
57007	SG Issuer - HSI R Bull CBBC Sep 2021 T	250,000,000	2019/10/09	2021/09/29	62.50
57000	SG Issuer - HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/10/09	2021/10/28	62.50
56990	SG Issuer - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/10/09	2020/03/30	62.50
56991	SG Issuer - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/10/09	2020/04/28	62.50
56997	SG Issuer - HSI R Bear CBBC May 2020 U	250,000,000	2019/10/09	2020/05/28	62.50
56998	SG Issuer - HSI R Bear CBBC May 2020 V	250,000,000	2019/10/09	2020/05/28	62.50
56999	SG Issuer - HSI R Bear CBBC Jul 2020 B	250,000,000	2019/10/09	2020/07/30	62.50
56993	SG Issuer - Ping An R Bull CBBC Mar 2020 H	100,000,000	2019/10/09	2020/03/03	25.00
56994	SG Issuer - Tencent R Bull CBBC Mar 2020 Q	80,000,000	2019/10/09	2020/03/03	20.00
56995	SG Issuer - Tencent R Bull CBBC Apr 2020 C	80,000,000	2019/10/09	2020/04/06	20.00
56996	SG Issuer - Tencent R Bear CBBC Apr 2020 R	80,000,000	2019/10/09	2020/04/06	20.00
56968	UBS AG - HSI R Bull CBBC Dec 2021 A	200,000,000	2019/10/09	2021/12/30	50.00
56965	UBS AG - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/09	2020/01/30	50.00
56966	UBS AG - HSI R Bear CBBC Jan 2020 B	200,000,000	2019/10/09	2020/01/30	50.00
56964	UBS AG - HSI R Bear CBBC Jan 2020 X	300,000,000	2019/10/09	2020/01/30	75.00
56967	UBS AG - HSI R Bear CBBC Jan 2020 Y	200,000,000	2019/10/09	2020/01/30	50.00
57079	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 J	150,000,000	2019/10/10	2021/11/29	37.50
57080	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 J	150,000,000	2019/10/10	2020/03/30	37.50
57126	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 E	240,000,000	2019/10/10	2021/11/29	60.00
57127	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 G	240,000,000	2019/10/10	2020/02/27	60.00
57089	Credit Suisse AG - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/10/10	2021/11/29	50.00
57087	Credit Suisse AG - HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/10/10	2021/11/29	50.00
57090	Credit Suisse AG - HSI R Bear CBBC Feb 2020 K	200,000,000	2019/10/10	2020/02/27	50.00
57078	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 O	200,000,000	2019/10/10	2022/10/28	50.00
57082	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/10/10	2020/03/30	50.00
57083	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/10/10	2020/03/30	50.00
57084	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 F	80,000,000	2019/10/10	2020/01/10	20.00
57086	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jan 2020 R	60,000,000	2019/10/10	2020/01/30	15.00
57075	HK Bank - China Mobile R Bull CBBC Mar 2020 C	60,000,000	2019/10/10	2020/03/30	15.00
57060	HK Bank - HSI R Bull CBBC Dec 2020 K	50,000,000	2019/10/10	2020/12/30	12.50
57061	HK Bank - Tencent R Bull CBBC Mar 2020 P	40,000,000	2019/10/10	2020/03/16	10.00
57124	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 C	150,000,000	2019/10/10	2021/10/28	37.50



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
57118	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/10/10	2021/10/28	50.00
57111	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/10/10	2021/11/29	50.00
57112	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 J	200,000,000	2019/10/10	2020/03/20	50.00
57076	Macquarie Bank Ltd. - Tencent R Bull CBBC Mar 2020 L	12,300,000	2019/10/10	2020/03/31	10.01
57077	Macquarie Bank Ltd. - Tencent R Bear CBBC May 2020 C	24,700,000	2019/10/10	2020/05/29	10.03
57068	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 T	80,000,000	2019/10/10	2021/11/29	20.00
57062	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 A	80,000,000	2019/10/10	2022/01/28	20.00
57135	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 F	100,000,000	2019/10/10	2020/03/31	25.00
57145	SG Issuer - HKEX R Bull CBBC Feb 2020 K	50,000,000	2019/10/10	2020/02/06	12.50
57155	SG Issuer - HSI R Bull CBBC Sep 2021 M	250,000,000	2019/10/10	2021/09/29	62.50
57136	SG Issuer - HSI R Bull CBBC Sep 2021 Y	500,000,000	2019/10/10	2021/09/29	125.00
57154	SG Issuer - HSI R Bull CBBC Sep 2021 Z	250,000,000	2019/10/10	2021/09/29	62.50
57137	SG Issuer - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/10/10	2021/10/28	62.50
57156	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/10/10	2021/12/30	62.50
57148	SG Issuer - HSI R Bear CBBC Apr 2020 G	250,000,000	2019/10/10	2020/04/28	62.50
57152	SG Issuer - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/10/10	2020/04/28	62.50
57146	SG Issuer - HSI R Bear CBBC May 2020 W	250,000,000	2019/10/10	2020/05/28	62.50
57153	SG Issuer - HSI R Bear CBBC Jul 2020 C	250,000,000	2019/10/10	2020/07/30	62.50
57134	SG Issuer - Tencent R Bull CBBC Feb 2020 Z	80,000,000	2019/10/10	2020/02/07	20.00
57157	SG Issuer - Tencent R Bull CBBC Mar 2020 R	80,000,000	2019/10/10	2020/03/30	20.00
57158	SG Issuer - Tencent R Bear CBBC Mar 2020 X	80,000,000	2019/10/10	2020/03/30	20.00
57091	UBS AG - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/10/10	2022/01/28	50.00
57097	UBS AG - HSI R Bull CBBC Jan 2022 L	200,000,000	2019/10/10	2022/01/28	50.00
57100	UBS AG - HSI R Bull CBBC Jan 2022 M	400,000,000	2019/10/10	2022/01/28	100.00
57102	UBS AG - HSI R Bear CBBC Jan 2020 C	200,000,000	2019/10/10	2020/01/30	50.00
57101	UBS AG - HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/10/10	2020/01/30	50.00
57110	UBS AG - HSI R Bear CBBC Feb 2020 E	400,000,000	2019/10/10	2020/02/27	100.00
57133	Bank Vontobel - China Life R Bear CBBC Jul 2020 A	40,000,000	2019/10/10	2020/07/17	10.00
57132	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 B	60,000,000	2019/10/10	2020/06/12	15.00
57170	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 F	150,000,000	2019/10/11	2021/11/29	37.50
57262	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 K	150,000,000	2019/10/11	2021/11/29	37.50
57171	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 K	150,000,000	2019/10/11	2020/03/30	37.50
57161	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 F	250,000,000	2019/10/11	2021/11/29	62.50
57193	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 U	250,000,000	2019/10/11	2021/11/29	62.50
57194	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 V	250,000,000	2019/10/11	2021/11/29	62.50
57188	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 H	250,000,000	2019/10/11	2020/02/27	62.50
57191	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 I	250,000,000	2019/10/11	2020/02/27	62.50
57196	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 J	240,000,000	2019/10/11	2020/02/27	60.00
57268	Credit Suisse AG - AIA R Bull CBBC Feb 2020 H	70,000,000	2019/10/11	2020/02/27	17.50
57281	Credit Suisse AG - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/10/11	2021/11/29	50.00
57284	Credit Suisse AG - HSI R Bull CBBC Nov 2021 D	200,000,000	2019/10/11	2021/11/29	50.00
57285	Credit Suisse AG - HSI R Bull CBBC Nov 2021 J	200,000,000	2019/10/11	2021/11/29	50.00
57271	Credit Suisse AG - HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/10/11	2020/01/30	50.00
57277	Credit Suisse AG - HSI R Bear CBBC Feb 2020 F	200,000,000	2019/10/11	2020/02/27	50.00
57273	Credit Suisse AG - HSI R Bear CBBC May 2020 F	300,000,000	2019/10/11	2020/05/28	75.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
57340	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Feb 2020C	80,000,000	2019/10/11	2020/02/27	20.00
57162	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 P	200,000,000	2019/10/11	2022/10/28	50.00
57172	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/10/11	2020/03/30	50.00
57341	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 G	80,000,000	2019/10/11	2020/01/13	20.00
57346	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 H	80,000,000	2019/10/11	2020/01/13	20.00
57169	HK Bank - HSI R Bull CBBC Dec 2020 L	100,000,000	2019/10/11	2020/12/30	25.00
57163	HK Bank - Sunny Optical R Bull CBBC Apr 2020 C	40,000,000	2019/10/11	2020/04/29	10.00
57168	HK Bank - Tencent R Bear CBBC Apr 2020 H	40,000,000	2019/10/11	2020/04/09	10.00
57291	Haitong Int'l Sec - CNOOC R Bull CBBC Mar 2020 B	40,000,000	2019/10/11	2020/03/25	10.00
57302	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 E	150,000,000	2019/10/11	2021/10/28	37.50
57290	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 V	150,000,000	2019/10/11	2021/10/28	37.50
57295	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 K	150,000,000	2019/10/11	2020/02/27	37.50
57305	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 M	150,000,000	2019/10/11	2020/02/27	37.50
57306	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 T	200,000,000	2019/10/11	2020/02/27	50.00
57299	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Mar 2020 B	50,000,000	2019/10/11	2020/03/27	12.50
57310	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 O	200,000,000	2019/10/11	2021/10/28	50.00
57307	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/10/11	2021/10/28	50.00
57330	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/10/11	2021/11/29	50.00
57311	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/10/11	2020/03/30	50.00
57312	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/10/11	2020/03/30	50.00
57313	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/10/11	2020/03/30	50.00
57325	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/10/11	2020/03/30	50.00
57317	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 A	70,000,000	2019/10/11	2020/05/15	17.50
57318	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jul 2020 A	70,000,000	2019/10/11	2020/07/17	17.50
57323	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 K	200,000,000	2019/10/11	2020/03/20	50.00
57339	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 U	80,000,000	2019/10/11	2021/12/30	20.00
57337	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 U	80,000,000	2019/10/11	2020/02/27	20.00
57261	SG Issuer - A50 China R Bull CBBC Mar 2020 N	100,000,000	2019/10/11	2020/03/31	25.00
57258	SG Issuer - Galaxy Ent R Bull CBBC Apr 2020 G	100,000,000	2019/10/11	2020/04/29	25.00
57240	SG Issuer - HKEX R Bear CBBC Feb 2020 L	50,000,000	2019/10/11	2020/02/21	12.50
57252	SG Issuer - HSI R Bull CBBC Sep 2021 N	250,000,000	2019/10/11	2021/09/29	62.50
57254	SG Issuer - HSI R Bull CBBC Sep 2021 V	250,000,000	2019/10/11	2021/09/29	62.50
57257	SG Issuer - HSI R Bull CBBC Dec 2021 D	250,000,000	2019/10/11	2021/12/30	62.50
57226	SG Issuer - HSI R Bull CBBC Dec 2021 L	250,000,000	2019/10/11	2021/12/30	62.50
57228	SG Issuer - HSI R Bear CBBC Feb 2020 U	250,000,000	2019/10/11	2020/02/27	62.50
57229	SG Issuer - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/10/11	2020/04/28	62.50
57230	SG Issuer - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/10/11	2020/04/28	62.50
57250	SG Issuer - HSI R Bear CBBC May 2020 A	250,000,000	2019/10/11	2020/05/28	62.50
57251	SG Issuer - HSI R Bear CBBC May 2020 B	250,000,000	2019/10/11	2020/05/28	62.50
57235	SG Issuer - HSI R Bear CBBC May 2020 X	500,000,000	2019/10/11	2020/05/28	125.00
57243	SG Issuer - HSI R Bear CBBC May 2020 Y	250,000,000	2019/10/11	2020/05/28	62.50
57248	SG Issuer - HSI R Bear CBBC May 2020 Z	250,000,000	2019/10/11	2020/05/28	62.50
57259	SG Issuer - Ping An R Bull CBBC Feb 2020 Q	100,000,000	2019/10/11	2020/02/28	25.00
57260	SG Issuer - SHK Ppt R Bull CBBC Apr 2020 D	100,000,000	2019/10/11	2020/04/29	25.00
57223	SG Issuer - Tencent R Bull CBBC Feb 2020 A	80,000,000	2019/10/11	2020/02/26	20.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
57211	UBS AG - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/10/11	2021/11/29	50.00
57198	UBS AG - HSI R Bear CBBC Jan 2020 D	200,000,000	2019/10/11	2020/01/30	50.00
57220	UBS AG - HSI R Bear CBBC Feb 2020 F	400,000,000	2019/10/11	2020/02/27	100.00
57212	UBS AG - Meituan Dianping R Bull CBBC Feb 2020 A	50,000,000	2019/10/11	2020/02/10	12.50
57183	Bank Vontobel - CNOOC R Bear CBBC Aug 2020 A	40,000,000	2019/10/11	2020/08/07	10.00
57177	Bank Vontobel - Galaxy Ent R Bear CBBC May 2020 A	40,000,000	2019/10/11	2020/05/22	10.00
57179	Bank Vontobel - Tencent R Bear CBBC Apr 2020 B	40,000,000	2019/10/11	2020/04/17	10.00
57354	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 L	150,000,000	2019/10/14	2021/11/29	37.50
57355	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 M	150,000,000	2019/10/14	2021/11/29	37.50
57351	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 W	250,000,000	2019/10/14	2021/11/29	62.50
57385	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 X	240,000,000	2019/10/14	2021/11/29	60.00
57388	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 K	250,000,000	2019/10/14	2020/02/27	62.50
57389	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 O	240,000,000	2019/10/14	2020/02/27	60.00
57419	Credit Suisse AG - HSI R Bull CBBC Sep 2021 K	200,000,000	2019/10/14	2021/09/29	50.00
57413	Credit Suisse AG - HSI R Bull CBBC Sep 2021 X	200,000,000	2019/10/14	2021/09/29	50.00
57414	Credit Suisse AG - HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/10/14	2021/09/29	50.00
57415	Credit Suisse AG - HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/10/14	2021/09/29	50.00
57417	Credit Suisse AG - HSI R Bull CBBC Nov 2021 U	200,000,000	2019/10/14	2021/11/29	50.00
57420	Credit Suisse AG - HSI R Bear CBBC Jan 2020 E	200,000,000	2019/10/14	2020/01/30	50.00
57427	Credit Suisse AG - HSI R Bear CBBC Jan 2020 T	200,000,000	2019/10/14	2020/01/30	50.00
57426	Credit Suisse AG - HSI R Bear CBBC Apr 2020 A	300,000,000	2019/10/14	2020/04/28	75.00
57429	Credit Suisse AG - Meituan Dianping R Bull CBBC Apr 2020 C	80,000,000	2019/10/14	2020/04/28	20.00
57430	Credit Suisse AG - Tencent R Bear CBBC Apr 2020 E	100,000,000	2019/10/14	2020/04/24	25.00
57347	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 Q	200,000,000	2019/10/14	2022/10/28	50.00
57364	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 R	200,000,000	2019/10/14	2022/10/28	50.00
57368	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 S	200,000,000	2019/10/14	2022/10/28	50.00
57369	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 T	200,000,000	2019/10/14	2022/10/28	50.00
57546	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/10/14	2020/04/28	50.00
57375	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 A	100,000,000	2019/10/14	2020/06/30	25.00
57548	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2020 A	60,000,000	2019/10/14	2020/06/30	15.00
57349	HK Bank - Galaxy Ent R Bear CBBC Feb 2020 D	40,000,000	2019/10/14	2020/02/17	10.00
57348	HK Bank - HSI R Bear CBBC Mar 2020 E	50,000,000	2019/10/14	2020/03/30	12.50
57457	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 F	150,000,000	2019/10/14	2021/10/28	37.50
57459	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/10/14	2021/11/29	50.00
57461	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 I	150,000,000	2019/10/14	2021/11/29	37.50
57463	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 M	100,000,000	2019/10/14	2021/11/29	25.00
57460	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 H	150,000,000	2019/10/14	2021/12/30	37.50
57468	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 U	150,000,000	2019/10/14	2020/02/27	37.50
57478	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 X	150,000,000	2019/10/14	2020/02/27	37.50
57480	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Y	150,000,000	2019/10/14	2020/02/27	37.50
57435	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 L	200,000,000	2019/10/14	2021/10/28	50.00
57436	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 S	200,000,000	2019/10/14	2021/10/28	50.00
57437	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 U	200,000,000	2019/10/14	2021/10/28	50.00
57434	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 L	200,000,000	2019/10/14	2021/11/29	50.00
57433	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/10/14	2021/11/29	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
57446	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/10/14	2020/03/30	50.00
57447	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/10/14	2020/03/30	50.00
57443	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/10/14	2020/03/30	50.00
57445	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/10/14	2020/03/30	50.00
57441	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 A	60,000,000	2019/10/14	2020/06/19	15.00
57438	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 I	50,000,000	2019/10/14	2020/03/13	12.50
57390	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 V	80,000,000	2019/10/14	2021/12/30	20.00
57396	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 V	80,000,000	2019/10/14	2020/02/27	20.00
57393	Morgan Stanley Asia Products - HSI R Bear CBBC Dec 2021 A	80,000,000	2019/10/14	2021/12/30	20.00
57491	SG Issuer - AIA R Bull CBBC Feb 2020 O	60,000,000	2019/10/14	2020/02/18	15.00
57492	SG Issuer - AIA R Bull CBBC Apr 2020 C	60,000,000	2019/10/14	2020/04/29	15.00
57532	SG Issuer - China Mobile R Bear CBBC Apr 2020 A	100,000,000	2019/10/14	2020/04/28	25.00
57493	SG Issuer - CPIC R Bull CBBC Sep 2020 A	100,000,000	2019/10/14	2020/09/29	25.00
57533	SG Issuer - Galaxy Ent R Bear CBBC Apr 2020 B	100,000,000	2019/10/14	2020/04/17	25.00
57534	SG Issuer - HSBC R Bear CBBC Mar 2020 A	100,000,000	2019/10/14	2020/03/31	25.00
57499	SG Issuer - HSI R Bull CBBC Aug 2021 Y	250,000,000	2019/10/14	2021/08/30	62.50
57503	SG Issuer - HSI R Bull CBBC Sep 2021 B	250,000,000	2019/10/14	2021/09/29	62.50
57525	SG Issuer - HSI R Bull CBBC Sep 2021 F	250,000,000	2019/10/14	2021/09/29	62.50
57526	SG Issuer - HSI R Bull CBBC Sep 2021 G	250,000,000	2019/10/14	2021/09/29	62.50
57527	SG Issuer - HSI R Bull CBBC Sep 2021 L	250,000,000	2019/10/14	2021/09/29	62.50
57490	SG Issuer - HSI R Bull CBBC Nov 2021 X	250,000,000	2019/10/14	2021/11/29	62.50
57507	SG Issuer - HSI R Bull CBBC Dec 2021 N	250,000,000	2019/10/14	2021/12/30	62.50
57508	SG Issuer - HSI R Bull CBBC Dec 2021 T	250,000,000	2019/10/14	2021/12/30	62.50
57512	SG Issuer - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/10/14	2020/04/28	62.50
57523	SG Issuer - HSI R Bear CBBC May 2020 C	250,000,000	2019/10/14	2020/05/28	62.50
57524	SG Issuer - HSI R Bear CBBC May 2020 D	250,000,000	2019/10/14	2020/05/28	62.50
57494	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 A	100,000,000	2019/10/14	2020/04/28	25.00
57495	SG Issuer - Tencent R Bull CBBC Feb 2020 E	80,000,000	2019/10/14	2020/02/28	20.00
57537	SG Issuer - Tencent R Bull CBBC Mar 2020 S	80,000,000	2019/10/14	2020/03/31	37.20
57498	SG Issuer - Tencent R Bull CBBC Apr 2020 D	80,000,000	2019/10/14	2020/04/03	20.00
57545	SG Issuer - Tencent R Bull CBBC Apr 2020 E	80,000,000	2019/10/14	2020/04/03	23.60
57539	SG Issuer - Tencent R Bear CBBC Mar 2020 Y	80,000,000	2019/10/14	2020/03/31	20.00
57398	UBS AG - HSI R Bull CBBC Nov 2021 D	200,000,000	2019/10/14	2021/11/29	50.00
57397	UBS AG - HSI R Bull CBBC Nov 2021 S	300,000,000	2019/10/14	2021/11/29	75.00
57399	UBS AG - HSI R Bull CBBC Jan 2022 N	200,000,000	2019/10/14	2022/01/28	50.00
57401	UBS AG - HSI R Bull CBBC Jan 2022 O	200,000,000	2019/10/14	2022/01/28	50.00
57402	UBS AG - HSI R Bull CBBC Jan 2022 P	200,000,000	2019/10/14	2022/01/28	50.00
57406	UBS AG - HSI R Bull CBBC Jan 2022 Q	200,000,000	2019/10/14	2022/01/28	50.00
57407	UBS AG - HSI R Bear CBBC Jan 2020 E	200,000,000	2019/10/14	2020/01/30	50.00
57410	UBS AG - HSI R Bear CBBC Jan 2020 F	200,000,000	2019/10/14	2020/01/30	50.00
57411	UBS AG - HSI R Bear CBBC Jan 2020 G	200,000,000	2019/10/14	2020/01/30	50.00
57412	UBS AG - HSI R Bear CBBC Jan 2020 N	200,000,000	2019/10/14	2020/01/30	50.00
57379	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 A	60,000,000	2019/10/14	2020/08/14	15.00
57384	Bank Vontobel - Meituan Dianping R Bear CBBC May 2020 A	60,000,000	2019/10/14	2020/05/08	15.00
57585	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 N	150,000,000	2019/10/15	2021/11/29	37.50

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
57592	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 L	150,000,000	2019/10/15	2020/03/30	37.50
57567	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bear CBBC Oct20 G	60,000,000	2019/10/15	2020/10/29	15.00
57562	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 L	50,000,000	2019/10/15	2020/09/29	12.50
57564	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 M	50,000,000	2019/10/15	2020/09/29	12.50
57566	BNP Paribas Issuance B.V. - HSBC R Bull CBBC Mar 2020 I	150,000,000	2019/10/15	2020/03/30	37.50
57600	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 Y	250,000,000	2019/10/15	2021/11/29	62.50
57621	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 Z	240,000,000	2019/10/15	2021/11/29	60.00
57631	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 R	240,000,000	2019/10/15	2020/02/27	60.00
57623	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 V	250,000,000	2019/10/15	2020/02/27	62.50
57627	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 W	250,000,000	2019/10/15	2020/02/27	62.50
57549	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 C	50,000,000	2019/10/15	2020/12/30	12.50
57555	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 B	50,000,000	2019/10/15	2020/12/30	12.50
57560	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 A	50,000,000	2019/10/15	2020/11/27	12.50
57556	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 C	50,000,000	2019/10/15	2020/12/30	12.50
57561	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 D	50,000,000	2019/10/15	2020/12/30	12.50
57758	Credit Suisse AG - AAC Tech R Bull CBBC Apr 2020 C	40,000,000	2019/10/15	2020/04/28	10.00
57764	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Apr 2020 D	50,000,000	2019/10/15	2020/04/28	12.50
57731	Credit Suisse AG - Geely Auto R Bull CBBC Sep 2020 C	100,000,000	2019/10/15	2020/09/29	25.00
57723	Credit Suisse AG - HSI R Bull CBBC Oct 2021 G	200,000,000	2019/10/15	2021/10/28	50.00
57730	Credit Suisse AG - HSI R Bull CBBC Oct 2021 J	200,000,000	2019/10/15	2021/10/28	50.00
57724	Credit Suisse AG - HSI R Bull CBBC Oct 2021 N	200,000,000	2019/10/15	2021/10/28	50.00
57714	Credit Suisse AG - HSI R Bear CBBC Jan 2020 O	200,000,000	2019/10/15	2020/01/30	50.00
57713	Credit Suisse AG - HSI R Bear CBBC Feb 2020 G	200,000,000	2019/10/15	2020/02/27	50.00
57715	Credit Suisse AG - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/10/15	2020/03/30	50.00
57762	Credit Suisse AG - Meituan Dianping R Bull CBBC May 2020 B	80,000,000	2019/10/15	2020/05/28	20.00
57763	Credit Suisse AG - Meituan Dianping R Bear CBBC May 2020 A	40,000,000	2019/10/15	2020/05/28	10.00
57761	Credit Suisse AG - Ping An R Bull CBBC Apr 2020 C	80,000,000	2019/10/15	2020/04/28	20.00
57733	Credit Suisse AG - Tencent R Bull CBBC Apr 2020 A	100,000,000	2019/10/15	2020/04/28	25.00
57602	Bank of East Asia - BOCL R Bull CBBC Sep 2020 A	60,000,000	2019/10/15	2020/09/18	15.00
57604	Bank of East Asia - China Life R Bull CBBC May 2020 A	40,000,000	2019/10/15	2020/05/28	10.00
57607	Bank of East Asia - Galaxy Ent R Bear CBBC Jun 2020 A	80,000,000	2019/10/15	2020/06/15	20.00
57608	Bank of East Asia - HKEX R Bull CBBC Jun 2020 B	30,000,000	2019/10/15	2020/06/15	10.50
57601	Bank of East Asia - HSBC R Bull CBBC Jul 2020 A	50,000,000	2019/10/15	2020/07/30	12.50
57606	Bank of East Asia - SUNAC R Bull CBBC Sep 2020 A	60,000,000	2019/10/15	2020/09/18	15.00
57609	Bank of East Asia - Tencent R Bear CBBC May 2020 C	150,000,000	2019/10/15	2020/05/28	37.50
57820	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2020 D	100,000,000	2019/10/15	2020/02/20	25.00
57821	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2020 E	100,000,000	2019/10/15	2020/02/20	25.00
57610	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 U	200,000,000	2019/10/15	2022/10/28	50.00
57611	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 V	200,000,000	2019/10/15	2022/10/28	50.00
57571	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/10/15	2020/03/30	50.00
57572	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/10/15	2020/03/30	50.00
57574	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/10/15	2020/03/30	50.00
57613	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2020 F	100,000,000	2019/10/15	2020/02/27	25.00
57584	HK Bank - HKEX R Bull CBBC May 2020 D	40,000,000	2019/10/15	2020/05/29	10.00
57578	HK Bank - HSI R Bull CBBC Dec 2020 M	50,000,000	2019/10/15	2020/12/30	12.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
57582	HK Bank - HSI R Bear CBBC Mar 2020 F	100,000,000	2019/10/15	2020/03/30	25.00
57577	HK Bank - Meituan Dianping R Bull CBBC Apr 2020 B	40,000,000	2019/10/15	2020/04/27	10.00
57575	HK Bank - Ping An R Bull CBBC Apr 2020 E	60,000,000	2019/10/15	2020/04/27	15.00
57576	HK Bank - Tencent R Bull CBBC Apr 2020 J	40,000,000	2019/10/15	2020/04/27	10.00
57769	Haitong Int'l Sec - AIA R Bull CBBC Apr 2020 A	60,000,000	2019/10/15	2020/04/01	15.00
57679	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 N	150,000,000	2019/10/15	2021/11/29	37.50
57680	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 R	150,000,000	2019/10/15	2021/11/29	37.50
57681	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 U	150,000,000	2019/10/15	2021/12/30	37.50
57658	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 C	150,000,000	2019/10/15	2020/02/27	37.50
57662	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 D	150,000,000	2019/10/15	2020/02/27	37.50
57682	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 C	150,000,000	2019/10/15	2020/03/30	37.50
57778	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 A	50,000,000	2019/10/15	2020/04/01	12.50
57779	Haitong Int'l Sec - Meituan Dianping R Bear CBBC May 2020 B	50,000,000	2019/10/15	2020/05/14	12.50
57772	Haitong Int'l Sec - SUNAC R Bull CBBC Aug 2020 A	130,000,000	2019/10/15	2020/08/11	32.50
57775	Haitong Int'l Sec - SUNAC R Bull CBBC Sep 2020 A	130,000,000	2019/10/15	2020/09/01	32.50
57795	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 O	200,000,000	2019/10/15	2021/11/29	50.00
57796	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 P	200,000,000	2019/10/15	2021/11/29	50.00
57797	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 R	200,000,000	2019/10/15	2021/11/29	50.00
57786	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/10/15	2020/03/30	50.00
57788	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/10/15	2020/03/30	50.00
57789	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/10/15	2020/03/30	50.00
57804	J P Morgan SP BV - Meituan Dianping R Bull CBBC Mar 2020 A	50,000,000	2019/10/15	2020/03/20	12.50
57808	J P Morgan SP BV - Meituan Dianping R Bear CBBC May 2020 A	50,000,000	2019/10/15	2020/05/15	12.50
57813	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 H	200,000,000	2019/10/15	2020/04/17	50.00
57814	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 I	200,000,000	2019/10/15	2020/04/17	50.00
57817	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 J	200,000,000	2019/10/15	2020/04/09	50.00
57810	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 J	50,000,000	2019/10/15	2020/03/13	12.50
57568	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 E	31,000,000	2019/10/15	2020/04/29	10.32
57865	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 K	100,000,000	2019/10/15	2020/04/09	25.00
57842	SG Issuer - Geely Auto R Bull CBBC Sep 2020 B	150,000,000	2019/10/15	2020/09/30	37.50
57850	SG Issuer - HKEX R Bull CBBC May 2020 C	50,000,000	2019/10/15	2020/05/29	12.50
57827	SG Issuer - HKEX R Bear CBBC Feb 2020 M	50,000,000	2019/10/15	2020/02/19	12.50
57867	SG Issuer - HSI R Bull CBBC Nov 2021 N	250,000,000	2019/10/15	2021/11/29	62.50
57870	SG Issuer - HSI R Bull CBBC Nov 2021 U	250,000,000	2019/10/15	2021/11/29	62.50
57832	SG Issuer - HSI R Bear CBBC Mar 2020 M	250,000,000	2019/10/15	2020/03/30	62.50
57839	SG Issuer - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/10/15	2020/04/28	62.50
57840	SG Issuer - HSI R Bear CBBC May 2020 E	500,000,000	2019/10/15	2020/05/28	125.00
57841	SG Issuer - HSI R Bear CBBC May 2020 F	250,000,000	2019/10/15	2020/05/28	62.50
57852	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 B	100,000,000	2019/10/15	2020/04/29	25.00
57855	SG Issuer - Ping An R Bull CBBC Mar 2020 I	100,000,000	2019/10/15	2020/03/31	25.00
57829	SG Issuer - Ping An R Bear CBBC Feb 2020 J	100,000,000	2019/10/15	2020/02/19	25.00
57856	SG Issuer - Tencent R Bull CBBC Mar 2020 T	80,000,000	2019/10/15	2020/03/31	20.00
57860	SG Issuer - Tencent R Bull CBBC Mar 2020 U	80,000,000	2019/10/15	2020/03/31	20.00
57862	SG Issuer - Tencent R Bull CBBC Apr 2020 F	80,000,000	2019/10/15	2020/04/09	20.00
57830	SG Issuer - Tencent R Bear CBBC Mar 2020 Z	80,000,000	2019/10/15	2020/03/30	20.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
57784	UBS AG - HKEX R Bull CBBC Jun 2020 D	50,000,000	2019/10/15	2020/06/15	12.50
57710	UBS AG - HSI R Bull CBBC Jan 2022 R	200,000,000	2019/10/15	2022/01/28	50.00
57687	UBS AG - HSI R Bear CBBC Jan 2020 Q	200,000,000	2019/10/15	2020/01/30	50.00
57692	UBS AG - HSI R Bear CBBC Jan 2020 R	200,000,000	2019/10/15	2020/01/30	50.00
57711	UBS AG - HSI R Bear CBBC Feb 2020 G	200,000,000	2019/10/15	2020/02/27	50.00
57707	UBS AG - Meituan Dianping R Bull CBBC Feb 2020 B	40,000,000	2019/10/15	2020/02/17	10.00
57703	UBS AG - Tencent R Bull CBBC Mar 2020 C	40,000,000	2019/10/15	2020/03/16	10.00
57596	Bank Vontobel - Geely Auto R Bull CBBC Jun 2020 B	40,000,000	2019/10/15	2020/06/12	10.00
57599	Bank Vontobel - HKEX R Bull CBBC Jun 2020 D	40,000,000	2019/10/15	2020/06/19	10.00
57597	Bank Vontobel - HSI R Bull CBBC Apr 2021 G	80,000,000	2019/10/15	2021/04/29	20.00
57593	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 C	60,000,000	2019/10/15	2020/06/12	15.00
57632	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 D	60,000,000	2019/10/15	2020/06/19	15.00
57595	Bank Vontobel - Meituan Dianping R Bear CBBC Aug 2020 B	60,000,000	2019/10/15	2020/08/21	19.26
57598	Bank Vontobel - Meituan Dianping R Bear CBBC Aug 2020 C	60,000,000	2019/10/15	2020/08/14	15.00
57633	Bank Vontobel - Sunny Optical R Bear CBBC Jul 2020 D	40,000,000	2019/10/15	2020/07/17	10.00
57898	BOCI Asia Ltd. - HSBC R Bull CBBC Apr 2020 A	70,000,000	2019/10/16	2020/04/20	17.50
57904	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 O	150,000,000	2019/10/16	2021/11/29	37.50
57915	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 M	150,000,000	2019/10/16	2020/03/30	37.50
57916	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 N	150,000,000	2019/10/16	2020/03/30	37.50
57901	BOCI Asia Ltd. - Meituan Dianping R Bull CBBC Apr 2020 A	40,000,000	2019/10/16	2020/04/20	10.00
57897	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 K	250,000,000	2019/10/16	2021/11/29	62.50
58009	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 L	240,000,000	2019/10/16	2021/11/29	60.00
57918	BNP Paribas Issuance B.V. - HSI R Bear CBBC Feb 2020 S	250,000,000	2019/10/16	2020/02/27	62.50
58010	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/10/16	2020/04/28	62.50
58011	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 B	250,000,000	2019/10/16	2020/04/28	62.50
58116	Credit Suisse AG - ABC R Bull CBBC Jul 2020 B	80,000,000	2019/10/16	2020/07/30	20.00
58117	Credit Suisse AG - AIA R Bull CBBC Apr 2020 B	70,000,000	2019/10/16	2020/04/28	17.50
58123	Credit Suisse AG - AIA R Bull CBBC Apr 2020 C	70,000,000	2019/10/16	2020/04/28	17.50
58109	Credit Suisse AG - AIA R Bear CBBC Apr 2020 B	70,000,000	2019/10/16	2020/04/28	17.50
58106	Credit Suisse AG - HSBC R Bear CBBC Apr 2020 A	60,000,000	2019/10/16	2020/04/28	15.00
58104	Credit Suisse AG - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/10/16	2021/09/29	50.00
58105	Credit Suisse AG - HSI R Bull CBBC Sep 2021 E	200,000,000	2019/10/16	2021/09/29	50.00
58124	Credit Suisse AG - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/10/16	2021/10/28	50.00
58125	Credit Suisse AG - HSI R Bear CBBC Jan 2020 J	200,000,000	2019/10/16	2020/01/30	50.00
58126	Credit Suisse AG - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/10/16	2020/03/30	50.00
58113	Credit Suisse AG - SUNAC R Bull CBBC Jul 2020 A	60,000,000	2019/10/16	2020/07/30	15.00
57919	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2020 F	100,000,000	2019/10/16	2020/02/20	25.00
57873	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 W	200,000,000	2019/10/16	2022/10/28	50.00
57876	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 X	200,000,000	2019/10/16	2022/10/28	50.00
58133	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/10/16	2020/03/30	50.00
58134	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/10/16	2020/03/30	50.00
57892	HK Bank - AIA R Bear CBBC Apr 2020 B	40,000,000	2019/10/16	2020/04/27	10.00
57894	HK Bank - HSI R Bull CBBC Dec 2020 N	50,000,000	2019/10/16	2020/12/30	12.50
57893	HK Bank - HSI R Bear CBBC Mar 2020 G	50,000,000	2019/10/16	2020/03/30	12.50
57889	HK Bank - Meituan Dianping R Bear CBBC Apr 2020 A	40,000,000	2019/10/16	2020/04/06	10.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
57890	HK Bank - SUNAC R Bull CBBC Sep 2020 A	60,000,000	2019/10/16	2020/09/30	15.00
57888	HK Bank - Tencent R Bear CBBC Apr 2020 I	40,000,000	2019/10/16	2020/04/27	10.00
57926	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 G	150,000,000	2019/10/16	2021/10/28	37.50
57923	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 T	150,000,000	2019/10/16	2021/11/29	37.50
57928	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 J	150,000,000	2019/10/16	2021/12/30	37.50
57930	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/10/16	2020/03/30	37.50
57931	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/10/16	2020/03/30	37.50
57935	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/10/16	2020/03/30	50.00
57946	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 B	50,000,000	2019/10/16	2020/04/02	12.50
57964	Haitong Int'l Sec - Meituan Dianping R Bear CBBC May 2020 C	50,000,000	2019/10/16	2020/05/07	12.50
57945	Haitong Int'l Sec - SHK Ppt R Bull CBBC May 2020 A	40,000,000	2019/10/16	2020/05/15	10.00
57941	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 J	40,000,000	2019/10/16	2020/03/24	11.72
57958	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2020 T	40,000,000	2019/10/16	2020/04/01	10.00
58138	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/10/16	2021/11/29	50.00
58135	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 Y	200,000,000	2019/10/16	2021/11/29	50.00
58144	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/10/16	2020/03/30	50.00
58160	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/10/16	2020/03/30	50.00
58146	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 C	200,000,000	2019/10/16	2020/04/28	50.00
58150	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 K	200,000,000	2019/10/16	2020/04/17	50.00
58156	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 L	200,000,000	2019/10/16	2020/04/17	50.00
58158	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 M	200,000,000	2019/10/16	2020/04/09	50.00
58148	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 L	50,000,000	2019/10/16	2020/04/17	12.50
57882	Morgan Stanley Asia Products - HSI R Bull CBBC Sep 2021 J	80,000,000	2019/10/16	2021/09/29	20.00
57884	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 W	80,000,000	2019/10/16	2021/12/30	20.00
57887	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 W	80,000,000	2019/10/16	2020/02/27	20.00
57969	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 X	80,000,000	2019/10/16	2020/02/27	20.00
57883	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 O	80,000,000	2019/10/16	2020/03/30	20.00
57971	Morgan Stanley Asia Products - Tencent R Bull CBBC Apr 2020 D	80,000,000	2019/10/16	2020/04/16	20.00
57967	Morgan Stanley Asia Products - Tencent R Bear CBBC Mar 2020 E	40,000,000	2019/10/16	2020/03/09	10.00
58031	SG Issuer - ABC R Bull CBBC Aug 2020 B	100,000,000	2019/10/16	2020/08/05	25.00
58034	SG Issuer - ABC R Bull CBBC Aug 2020 C	100,000,000	2019/10/16	2020/08/10	25.00
58039	SG Issuer - AIA R Bull CBBC Feb 2020 P	60,000,000	2019/10/16	2020/02/10	15.00
58070	SG Issuer - AIA R Bear CBBC Feb 2020 F	60,000,000	2019/10/16	2020/02/14	15.00
58047	SG Issuer - China Life R Bull CBBC Jul 2020 C	150,000,000	2019/10/16	2020/07/29	37.50
58012	SG Issuer - Galaxy Ent R Bull CBBC Apr 2020 H	100,000,000	2019/10/16	2020/04/20	25.00
58048	SG Issuer - HKEX R Bull CBBC Apr 2020 I	200,000,000	2019/10/16	2020/04/28	50.00
58051	SG Issuer - HKEX R Bull CBBC Apr 2020 J	200,000,000	2019/10/16	2020/04/29	50.00
58013	SG Issuer - HSBC R Bull CBBC Apr 2020 F	100,000,000	2019/10/16	2020/04/20	25.00
58055	SG Issuer - HSCEI R Bull CBBC Sep 2020 G	100,000,000	2019/10/16	2020/09/29	25.00
58066	SG Issuer - HSI R Bull CBBC Sep 2021 P	250,000,000	2019/10/16	2021/09/29	62.50
58025	SG Issuer - HSI R Bull CBBC Oct 2021 T	250,000,000	2019/10/16	2021/10/28	62.50
58030	SG Issuer - HSI R Bull CBBC Nov 2021 H	250,000,000	2019/10/16	2021/11/29	62.50
58029	SG Issuer - HSI R Bull CBBC Nov 2021 V	250,000,000	2019/10/16	2021/11/29	62.50
58098	SG Issuer - HSI R Bull CBBC Dec 2021 Q	250,000,000	2019/10/16	2021/12/30	62.50
58099	SG Issuer - HSI R Bull CBBC Dec 2021 R	250,000,000	2019/10/16	2021/12/30	62.50

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58083	SG Issuer - HSI R Bear CBBC Mar 2020 Q	250,000,000	2019/10/16	2020/03/30	62.50
58092	SG Issuer - HSI R Bear CBBC Mar 2020 R	250,000,000	2019/10/16	2020/03/30	62.50
58097	SG Issuer - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/10/16	2020/04/28	62.50
58096	SG Issuer - HSI R Bear CBBC Apr 2020 K	250,000,000	2019/10/16	2020/04/28	62.50
58073	SG Issuer - Meituan Dianping R Bull CBBC Feb 2020 E	100,000,000	2019/10/16	2020/02/14	25.00
58052	SG Issuer - Tencent R Bull CBBC Feb 2020 F	80,000,000	2019/10/16	2020/02/28	20.00
58053	SG Issuer - Tencent R Bull CBBC Mar 2020 V	80,000,000	2019/10/16	2020/03/30	20.00
58023	SG Issuer - Tencent R Bull CBBC Apr 2020 G	80,000,000	2019/10/16	2020/04/20	20.00
58076	SG Issuer - Tencent R Bear CBBC Apr 2020 S	80,000,000	2019/10/16	2020/04/09	20.00
57972	UBS AG - HSI R Bull CBBC Jan 2022 S	200,000,000	2019/10/16	2022/01/28	50.00
57974	UBS AG - HSI R Bull CBBC Jan 2022 T	200,000,000	2019/10/16	2022/01/28	50.00
57976	UBS AG - HSI R Bull CBBC Jan 2022 U	200,000,000	2019/10/16	2022/01/28	50.00
57981	UBS AG - HSI R Bull CBBC Jan 2022 V	200,000,000	2019/10/16	2022/01/28	50.00
57982	UBS AG - HSI R Bear CBBC Jan 2020 S	200,000,000	2019/10/16	2020/01/30	50.00
57984	UBS AG - HSI R Bear CBBC Feb 2020 H	200,000,000	2019/10/16	2020/02/27	50.00
57986	UBS AG - HSI R Bear CBBC Feb 2020 I	200,000,000	2019/10/16	2020/02/27	50.00
57987	UBS AG - HSI R Bear CBBC Feb 2020 J	200,000,000	2019/10/16	2020/02/27	50.00
58005	Bank Vontobel - AAC Tech R Bull CBBC Jul 2020 C	40,000,000	2019/10/16	2020/07/10	10.00
57996	Bank Vontobel - AIA R Bull CBBC Jul 2020 A	40,000,000	2019/10/16	2020/07/10	10.00
57993	Bank Vontobel - HSBC R Bear CBBC Apr 2020 A	40,000,000	2019/10/16	2020/04/24	10.00
57988	Bank Vontobel - HSI R Bear CBBC Apr 2020 Z	80,000,000	2019/10/16	2020/04/28	20.00
57997	Bank Vontobel - Sands China R Bull CBBC Jul 2020 C	40,000,000	2019/10/16	2020/07/24	10.00
58000	Bank Vontobel - SHK Ppt R Bull CBBC Apr 2020 A	40,000,000	2019/10/16	2020/04/24	10.00
58236	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 P	150,000,000	2019/10/17	2021/11/29	37.50
58178	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 M	250,000,000	2019/10/17	2021/11/29	62.50
58359	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 N	240,000,000	2019/10/17	2021/11/29	60.00
58361	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/10/17	2020/04/28	62.50
58369	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 D	240,000,000	2019/10/17	2020/04/28	60.00
58384	Credit Suisse AG - HSI R Bull CBBC Nov 2021 E	200,000,000	2019/10/17	2021/11/29	50.00
58387	Credit Suisse AG - HSI R Bull CBBC Dec 2021 Q	300,000,000	2019/10/17	2021/12/30	75.00
58386	Credit Suisse AG - HSI R Bear CBBC Feb 2020 H	200,000,000	2019/10/17	2020/02/27	50.00
58392	Credit Suisse AG - Meituan Dianping R Bull CBBC Apr 2020 D	80,000,000	2019/10/17	2020/04/28	20.00
58403	Credit Suisse AG - Meituan Dianping R Bear CBBC Jun 2020 A	40,000,000	2019/10/17	2020/06/29	10.00
58405	Credit Suisse AG - Meituan Dianping R Bear CBBC Jul 2020 A	40,000,000	2019/10/17	2020/07/30	10.00
58270	Bank of East Asia - AIA R Bull CBBC Jul 2020 A	40,000,000	2019/10/17	2020/07/15	10.00
58241	Bank of East Asia - Meituan Dianping R Bull CBBC Jun 2020 A	60,000,000	2019/10/17	2020/06/15	15.00
58248	Bank of East Asia - Meituan Dianping R Bear CBBC Jun 2020 A	60,000,000	2019/10/17	2020/06/15	15.00
58255	Bank of East Asia - Sunny Optical R Bull CBBC Jul 2020 A	40,000,000	2019/10/17	2020/07/15	10.00
58273	Bank of East Asia - Tencent R Bull CBBC Jun 2020 C	30,000,000	2019/10/17	2020/06/15	10.50
58275	Bank of East Asia - Tencent R Bull CBBC Jun 2020 D	150,000,000	2019/10/17	2020/06/15	37.50
58259	Bank of East Asia - Tencent R Bear CBBC Jun 2020 A	30,000,000	2019/10/17	2020/06/15	10.50
58468	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 Y	200,000,000	2019/10/17	2022/10/28	50.00
58484	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/10/17	2020/03/30	50.00
58486	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 I	100,000,000	2019/10/17	2020/01/30	25.00
58493	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jan 2020 S	100,000,000	2019/10/17	2020/01/30	25.00

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58197	HK Bank - AIA R Bull CBBC Jun 2020 A	60,000,000	2019/10/17	2020/06/30	15.00
58196	HK Bank - Geely Auto R Bear CBBC Sep 2020 A	40,000,000	2019/10/17	2020/09/29	10.00
58199	HK Bank - HSI R Bull CBBC Sep 2020 T	50,000,000	2019/10/17	2020/09/29	12.50
58216	HK Bank - HSI R Bear CBBC Mar 2020 H	100,000,000	2019/10/17	2020/03/30	25.00
58217	HK Bank - Meituan Dianping R Bull CBBC May 2020 C	40,000,000	2019/10/17	2020/05/18	10.00
58200	HK Bank - Tencent R Bull CBBC Apr 2020 K	40,000,000	2019/10/17	2020/04/06	10.00
58356	Haitong Int'l Sec - AAC Tech R Bull CBBC May 2020 B	100,000,000	2019/10/17	2020/05/13	25.00
58327	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Y	150,000,000	2019/10/17	2021/10/28	37.50
58332	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 Z	100,000,000	2019/10/17	2021/11/29	25.00
58324	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 S	150,000,000	2019/10/17	2021/12/30	37.50
58319	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 G	150,000,000	2019/10/17	2020/03/30	37.50
58343	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 H	150,000,000	2019/10/17	2020/03/30	37.50
58347	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 C	50,000,000	2019/10/17	2020/04/03	12.50
58357	Haitong Int'l Sec - Meituan Dianping R Bear CBBC May 2020 D	50,000,000	2019/10/17	2020/05/08	12.50
58349	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2020 U	40,000,000	2019/10/17	2020/04/01	10.00
58375	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/10/17	2021/10/28	50.00
58383	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 C	200,000,000	2019/10/17	2021/12/30	50.00
58370	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/10/17	2020/03/30	50.00
58374	J P Morgan SP BV - Meituan Dianping R Bull CBBC Apr 2020 B	60,000,000	2019/10/17	2020/04/17	15.00
58373	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 N	200,000,000	2019/10/17	2020/04/09	50.00
58186	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 Y	80,000,000	2019/10/17	2020/02/27	20.00
58193	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 P	80,000,000	2019/10/17	2020/03/30	20.00
58412	SG Issuer - HKEX R Bull CBBC May 2020 D	50,000,000	2019/10/17	2020/05/28	12.50
58450	SG Issuer - HSI R Bull CBBC Sep 2021 U	250,000,000	2019/10/17	2021/09/29	62.50
58420	SG Issuer - HSI R Bull CBBC Oct 2021 W	250,000,000	2019/10/17	2021/10/28	62.50
58421	SG Issuer - HSI R Bull CBBC Dec 2021 V	250,000,000	2019/10/17	2021/12/30	62.50
58428	SG Issuer - HSI R Bear CBBC Mar 2020 G	250,000,000	2019/10/17	2020/03/30	62.50
58415	SG Issuer - HSI R Bear CBBC Apr 2020 D	250,000,000	2019/10/17	2020/04/28	62.50
58416	SG Issuer - HSI R Bear CBBC May 2020 G	250,000,000	2019/10/17	2020/05/28	62.50
58433	SG Issuer - HSI R Bear CBBC May 2020 H	250,000,000	2019/10/17	2020/05/28	62.50
58413	SG Issuer - Ping An R Bull CBBC Apr 2020 C	100,000,000	2019/10/17	2020/04/28	25.00
58414	SG Issuer - Tencent R Bull CBBC Mar 2020 W	80,000,000	2019/10/17	2020/03/30	20.00
58298	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2020 A	200,000,000	2019/10/17	2020/11/30	50.00
58279	UBS AG - HSI R Bull CBBC Jan 2022 W	200,000,000	2019/10/17	2022/01/28	50.00
58280	UBS AG - HSI R Bull CBBC Jan 2022 X	400,000,000	2019/10/17	2022/01/28	100.00
58276	UBS AG - HSI R Bear CBBC Feb 2020 K	200,000,000	2019/10/17	2020/02/27	50.00
58282	UBS AG - HSI R Bear CBBC Feb 2020 L	200,000,000	2019/10/17	2020/02/27	50.00
58283	UBS AG - HSI R Bear CBBC Feb 2020 M	200,000,000	2019/10/17	2020/02/27	50.00
58293	UBS AG - HSI R Bear CBBC Feb 2020 N	200,000,000	2019/10/17	2020/02/27	50.00
58297	UBS AG - Tencent R Bear CBBC Feb 2020 M	40,000,000	2019/10/17	2020/02/26	10.00
58170	Bank Vontobel - AAC Tech R Bear CBBC Jun 2020 A	40,000,000	2019/10/17	2020/06/19	10.00
58172	Bank Vontobel - AIA R Bull CBBC Sep 2020 A	40,000,000	2019/10/17	2020/09/25	10.00
58173	Bank Vontobel - AIA R Bear CBBC Jul 2020 A	40,000,000	2019/10/17	2020/07/10	10.00
58164	Bank Vontobel - CSOP A50 ETF R Bull CBBC Aug 2020 A	40,000,000	2019/10/17	2020/08/21	10.00
58176	Bank Vontobel - HSI N Bear CBBC May 2020 B	80,000,000	2019/10/17	2020/05/28	20.00

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58174	Bank Vontobel - HSI R Bull CBBC Apr 2021 I	80,000,000	2019/10/17	2021/04/29	20.00
58175	Bank Vontobel - HSI R Bear CBBC May 2020 A	80,000,000	2019/10/17	2020/05/28	20.00
58239	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 E	60,000,000	2019/10/17	2020/06/19	15.00
58168	Bank Vontobel - Meituan Dianping R Bear CBBC Jul 2020 A	60,000,000	2019/10/17	2020/07/10	15.00
59130	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 Q	150,000,000	2019/10/18	2021/11/29	37.50
59155	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 R	150,000,000	2019/10/18	2021/11/29	37.50
58611	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 O	150,000,000	2019/10/18	2020/03/30	37.50
58620	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 P	150,000,000	2019/10/18	2020/03/30	37.50
58699	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Q	150,000,000	2019/10/18	2020/03/30	37.50
58896	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 C	250,000,000	2019/10/18	2021/11/29	62.50
58897	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 D	250,000,000	2019/10/18	2021/11/29	62.50
59659	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 G	250,000,000	2019/10/18	2021/11/29	62.50
59669	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 I	250,000,000	2019/10/18	2021/11/29	62.50
58836	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 O	250,000,000	2019/10/18	2021/11/29	62.50
58730	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 E	250,000,000	2019/10/18	2020/04/28	62.50
58731	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/10/18	2020/04/28	62.50
58742	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 G	240,000,000	2019/10/18	2020/04/28	60.00
58750	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/10/18	2020/04/28	62.50
58899	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/10/18	2020/04/28	62.50
59676	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 J	240,000,000	2019/10/18	2020/04/28	60.00
58819	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 D	50,000,000	2019/10/18	2020/12/30	12.50
59547	Credit Suisse AG - AIA R Bull CBBC Jun 2020 A	70,000,000	2019/10/18	2020/06/29	17.50
59537	Credit Suisse AG - CC Bank R Bull CBBC Jul 2020 E	100,000,000	2019/10/18	2020/07/30	25.00
59492	Credit Suisse AG - HKEX R Bull CBBC Apr 2020 B	100,000,000	2019/10/18	2020/04/28	25.00
59481	Credit Suisse AG - HSBC R Bull CBBC Apr 2020 B	70,000,000	2019/10/18	2020/04/28	17.50
59598	Credit Suisse AG - HSCEI R Bull CBBC Feb 2021 A	100,000,000	2019/10/18	2021/02/25	25.00
59600	Credit Suisse AG - HSCEI R Bear CBBC Feb 2020 A	100,000,000	2019/10/18	2020/02/27	25.00
59570	Credit Suisse AG - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/10/18	2021/10/28	50.00
59588	Credit Suisse AG - HSI R Bull CBBC Nov 2021 N	200,000,000	2019/10/18	2021/11/29	50.00
59591	Credit Suisse AG - HSI R Bull CBBC Nov 2021 P	200,000,000	2019/10/18	2021/11/29	50.00
59587	Credit Suisse AG - HSI R Bull CBBC Dec 2021 S	300,000,000	2019/10/18	2021/12/30	75.00
59365	Credit Suisse AG - HSI R Bear CBBC Jan 2020 B	200,000,000	2019/10/18	2020/01/30	50.00
59413	Credit Suisse AG - HSI R Bear CBBC Jan 2020 D	200,000,000	2019/10/18	2020/01/30	50.00
59378	Credit Suisse AG - HSI R Bear CBBC Feb 2020 O	200,000,000	2019/10/18	2020/02/27	50.00
59389	Credit Suisse AG - HSI R Bear CBBC Feb 2020 P	200,000,000	2019/10/18	2020/02/27	50.00
59456	Credit Suisse AG - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/10/18	2020/02/27	50.00
59474	Credit Suisse AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/10/18	2020/03/30	50.00
59346	Credit Suisse AG - HSI R Bear CBBC May 2020 G	300,000,000	2019/10/18	2020/05/28	75.00
59544	Credit Suisse AG - ICBC R Bull CBBC Jul 2020 D	100,000,000	2019/10/18	2020/07/30	25.00
59559	Credit Suisse AG - Ping An R Bull CBBC Apr 2020 D	80,000,000	2019/10/18	2020/04/24	20.00
59904	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Feb2020 D	100,000,000	2019/10/18	2020/02/27	25.00
59916	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Feb 2020 G	100,000,000	2019/10/18	2020/02/20	25.00
59892	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 A	200,000,000	2019/10/18	2022/10/28	50.00
59895	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 B	200,000,000	2019/10/18	2022/10/28	50.00
59888	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 Z	200,000,000	2019/10/18	2022/10/28	50.00

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59862	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/10/18	2020/03/30	50.00
59852	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/10/18	2020/03/30	50.00
59875	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/10/18	2020/03/30	50.00
59879	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/10/18	2020/03/30	50.00
59886	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/10/18	2020/03/30	50.00
59903	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 J	100,000,000	2019/10/18	2020/01/30	25.00
58934	HK Bank - AAC Tech R Bull CBBC May 2020 C	40,000,000	2019/10/18	2020/05/18	10.00
58933	HK Bank - AAC Tech R Bear CBBC Mar 2020 B	40,000,000	2019/10/18	2020/03/30	10.00
58900	HK Bank - CSOP A50 ETF R Bull CBBC Mar 2020 C	40,000,000	2019/10/18	2020/03/23	10.00
58905	HK Bank - A50 China R Bull CBBC Mar 2020 C	40,000,000	2019/10/18	2020/03/23	10.00
58955	HK Bank - HKEX R Bull CBBC May 2020 E	40,000,000	2019/10/18	2020/05/18	10.00
59122	HK Bank - HSCEI R Bull CBBC Dec 2020 C	50,000,000	2019/10/18	2020/12/30	12.50
59100	HK Bank - HSI R Bull CBBC Dec 2020 O	100,000,000	2019/10/18	2020/12/30	25.00
59187	HK Bank - HSI R Bull CBBC Dec 2020 P	50,000,000	2019/10/18	2020/12/30	12.50
58606	HK Bank - HSI R Bear CBBC Mar 2020 I	50,000,000	2019/10/18	2020/03/30	12.50
59112	HK Bank - HSI R Bear CBBC Mar 2020 J	50,000,000	2019/10/18	2020/03/30	12.50
58956	HK Bank - Ping An R Bull CBBC Mar 2020 D	60,000,000	2019/10/18	2020/03/23	15.00
58952	HK Bank - SUNAC R Bull CBBC Apr 2020 B	40,000,000	2019/10/18	2020/04/20	10.00
58931	HK Bank - Tencent R Bull CBBC Mar 2020 Q	40,000,000	2019/10/18	2020/03/30	10.00
58937	HK Bank - WH Group R Bull CBBC Apr 2020 C	40,000,000	2019/10/18	2020/04/29	10.00
59657	Haitong Int'l Sec - HKEX R Bull CBBC Feb 2020 E	40,000,000	2019/10/18	2020/02/17	10.00
59639	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 D	150,000,000	2019/10/18	2021/10/28	37.50
59642	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 M	150,000,000	2019/10/18	2021/10/28	37.50
59619	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 J	150,000,000	2019/10/18	2021/11/29	37.50
59633	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 O	150,000,000	2019/10/18	2021/11/29	37.50
59606	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 A	150,000,000	2019/10/18	2020/02/27	37.50
59610	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 E	150,000,000	2019/10/18	2020/02/27	37.50
59613	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 G	150,000,000	2019/10/18	2020/02/27	37.50
59615	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 H	200,000,000	2019/10/18	2020/02/27	50.00
59643	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 K	150,000,000	2019/10/18	2020/02/27	37.50
59650	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 I	150,000,000	2019/10/18	2020/03/30	37.50
59658	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 K	40,000,000	2019/10/18	2020/03/10	10.00
59679	J P Morgan SP BV - HKEX R Bull CBBC May 2020 F	150,000,000	2019/10/18	2020/05/08	37.50
59070	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 V	200,000,000	2019/10/18	2021/10/28	50.00
59047	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/10/18	2021/11/29	50.00
59097	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/10/18	2021/11/29	50.00
59048	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 D	200,000,000	2019/10/18	2021/12/30	50.00
59099	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/10/18	2021/12/30	50.00
59685	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 P	200,000,000	2019/10/18	2021/12/30	50.00
58992	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 T	200,000,000	2019/10/18	2020/01/30	50.00
58993	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/10/18	2020/02/27	50.00
58973	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/10/18	2020/03/30	50.00
58962	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/10/18	2020/03/30	50.00
58964	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/10/18	2020/03/30	50.00
58991	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/10/18	2020/03/30	50.00



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58994	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/10/18	2020/03/30	50.00
59015	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/10/18	2020/03/30	50.00
58961	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/10/18	2020/03/30	50.00
59680	J P Morgan SP BV - Ping An R Bull CBBC Feb 2020 I	100,000,000	2019/10/18	2020/02/21	25.00
58957	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 F	11,200,000	2019/10/18	2020/04/29	10.06
59850	Morgan Stanley Asia Products - HSI R Bull CBBC Sep 2021 L	80,000,000	2019/10/18	2021/09/29	20.00
59847	Morgan Stanley Asia Products - HSI R Bull CBBC Oct 2021 I	80,000,000	2019/10/18	2021/10/28	20.00
59179	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 Z	80,000,000	2019/10/18	2020/02/27	20.00
59161	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 Q	80,000,000	2019/10/18	2020/03/30	20.00
59165	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 R	80,000,000	2019/10/18	2020/03/30	20.00
59168	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 F	80,000,000	2019/10/18	2020/04/28	20.00
59754	SG Issuer - AAC Tech R Bull CBBC May 2020 B	100,000,000	2019/10/18	2020/05/05	25.00
59755	SG Issuer - Bank of China R Bull CBBC Aug 2020 H	100,000,000	2019/10/18	2020/08/04	25.00
59765	SG Issuer - CC Bank R Bull CBBC Jul 2020 E	100,000,000	2019/10/18	2020/07/31	25.00
59768	SG Issuer - China Life R Bull CBBC Jul 2020 D	150,000,000	2019/10/18	2020/07/30	37.50
59846	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 L	100,000,000	2019/10/18	2020/04/27	25.00
59775	SG Issuer - Galaxy Ent R Bull CBBC May 2020 C	100,000,000	2019/10/18	2020/05/28	25.00
59778	SG Issuer - HKEX R Bull CBBC Apr 2020 K	50,000,000	2019/10/18	2020/04/02	12.50
59690	SG Issuer - HKEX R Bear CBBC Feb 2020 N	50,000,000	2019/10/18	2020/02/18	12.50
59803	SG Issuer - HSI R Bull CBBC Oct 2021 C	250,000,000	2019/10/18	2021/10/28	62.50
59789	SG Issuer - HSI R Bull CBBC Dec 2021 G	250,000,000	2019/10/18	2021/12/30	62.50
59811	SG Issuer - HSI R Bull CBBC Dec 2021 J	250,000,000	2019/10/18	2021/12/30	62.50
59830	SG Issuer - HSI R Bull CBBC Dec 2021 O	250,000,000	2019/10/18	2021/12/30	62.50
59828	SG Issuer - HSI R Bull CBBC Dec 2021 P	250,000,000	2019/10/18	2021/12/30	62.50
59726	SG Issuer - HSI R Bear CBBC Feb 2020 N	250,000,000	2019/10/18	2020/02/27	62.50
59732	SG Issuer - HSI R Bear CBBC Feb 2020 R	250,000,000	2019/10/18	2020/02/27	62.50
59749	SG Issuer - HSI R Bear CBBC Mar 2020 D	250,000,000	2019/10/18	2020/03/30	62.50
59733	SG Issuer - HSI R Bear CBBC Mar 2020 J	250,000,000	2019/10/18	2020/03/30	62.50
59737	SG Issuer - HSI R Bear CBBC Mar 2020 K	250,000,000	2019/10/18	2020/03/30	62.50
59787	SG Issuer - HSI R Bear CBBC May 2020 I	250,000,000	2019/10/18	2020/05/28	62.50
59788	SG Issuer - HSI R Bear CBBC May 2020 J	250,000,000	2019/10/18	2020/05/28	62.50
59794	SG Issuer - HSI R Bear CBBC May 2020 K	250,000,000	2019/10/18	2020/05/28	62.50
59784	SG Issuer - ICBC R Bull CBBC Jul 2020 F	100,000,000	2019/10/18	2020/07/31	25.00
59843	SG Issuer - Ping An R Bull CBBC Apr 2020 D	100,000,000	2019/10/18	2020/04/27	25.00
59692	SG Issuer - Ping An R Bear CBBC Mar 2020 A	100,000,000	2019/10/18	2020/03/13	25.00
59785	SG Issuer - SUNAC R Bull CBBC Aug 2020 A	80,000,000	2019/10/18	2020/08/04	20.00
59786	SG Issuer - Tencent R Bull CBBC Feb 2020 B	80,000,000	2019/10/18	2020/02/25	20.00
59709	SG Issuer - Tencent R Bear CBBC Mar 2020 A	80,000,000	2019/10/18	2020/03/13	20.00
59311	UBS AG - CSOP A50 ETF R Bull CBBC Jun 2020 B	50,000,000	2019/10/18	2020/06/30	12.50
59687	UBS AG - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/10/18	2022/01/28	50.00
59226	UBS AG - HSI R Bull CBBC Jan 2022 Z	200,000,000	2019/10/18	2022/01/28	50.00
59204	UBS AG - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/18	2020/01/30	50.00
59236	UBS AG - HSI R Bear CBBC Jan 2020 B	200,000,000	2019/10/18	2020/01/30	50.00
59253	UBS AG - HSI R Bear CBBC Jan 2020 C	200,000,000	2019/10/18	2020/01/30	50.00
59284	UBS AG - HSI R Bear CBBC Jan 2020 D	200,000,000	2019/10/18	2020/01/30	50.00

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59286	UBS AG - HSI R Bear CBBC Jan 2020 L	400,000,000	2019/10/18	2020/01/30	100.00
59201	UBS AG - HSI R Bear CBBC Jan 2020 U	200,000,000	2019/10/18	2020/01/30	50.00
59319	UBS AG - Ping An R Bull CBBC Feb 2020 A	100,000,000	2019/10/18	2020/02/28	25.00
59288	UBS AG - Tencent R Bull CBBC Mar 2020 D	200,000,000	2019/10/18	2020/03/30	50.00
58783	Bank Vontobel - AIA R Bull CBBC Jul 2020 B	40,000,000	2019/10/18	2020/07/10	10.00
58778	Bank Vontobel - China Life R Bull CBBC Jul 2020 A	40,000,000	2019/10/18	2020/07/10	10.00
58812	Bank Vontobel - HSI N Bull CBBC Apr 2021 K	80,000,000	2019/10/18	2021/04/29	20.00
58810	Bank Vontobel - HSI R Bull CBBC Apr 2021 H	80,000,000	2019/10/18	2021/04/29	20.00
58806	Bank Vontobel - HSI R Bull CBBC Apr 2021 J	80,000,000	2019/10/18	2021/04/29	20.00
58775	Bank Vontobel - Ping An R Bull CBBC Jul 2020 A	40,000,000	2019/10/18	2020/07/10	10.00
58752	Bank Vontobel - Tencent R Bull CBBC Apr 2020 F	40,000,000	2019/10/18	2020/04/17	10.00
60151	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 S	150,000,000	2019/10/21	2021/11/29	37.50
60155	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 T	150,000,000	2019/10/21	2021/11/29	37.50
60156	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 R	150,000,000	2019/10/21	2020/03/30	37.50
60098	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec20 A	60,000,000	2019/10/21	2020/12/30	15.00
60103	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec20 B	60,000,000	2019/10/21	2020/12/30	15.00
60626	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 E	250,000,000	2019/10/21	2021/11/29	67.50
60157	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 P	250,000,000	2019/10/21	2021/11/29	62.50
60161	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 Q	250,000,000	2019/10/21	2021/11/29	62.50
60613	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/10/21	2021/11/29	62.50
60620	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 S	250,000,000	2019/10/21	2021/11/29	62.50
60622	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 T	250,000,000	2019/10/21	2021/11/29	62.50
60074	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 K	250,000,000	2019/10/21	2020/04/28	62.50
60088	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 L	250,000,000	2019/10/21	2020/04/28	62.50
60092	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 M	250,000,000	2019/10/21	2020/04/28	62.50
60640	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 N	240,000,000	2019/10/21	2020/04/28	60.00
60107	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 E	50,000,000	2019/10/21	2020/12/30	12.50
60104	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Sep 2020 D	200,000,000	2019/10/21	2020/09/29	50.00
60117	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 B	50,000,000	2019/10/21	2020/11/27	12.50
60114	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 C	50,000,000	2019/10/21	2020/12/30	12.50
60522	Credit Suisse AG - CM Bank R Bull CBBC Jul 2020 B	70,000,000	2019/10/21	2020/07/30	17.50
60569	Credit Suisse AG - CSOP A50 ETF R Bull CBBC May 2020 A	50,000,000	2019/10/21	2020/05/28	12.50
60502	Credit Suisse AG - HSI R Bull CBBC Sep 2021 F	200,000,000	2019/10/21	2021/09/29	50.00
60465	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Y	200,000,000	2019/10/21	2021/10/28	50.00
60433	Credit Suisse AG - HSI R Bull CBBC Nov 2021 F	200,000,000	2019/10/21	2021/11/29	50.00
60450	Credit Suisse AG - HSI R Bull CBBC Nov 2021 R	200,000,000	2019/10/21	2021/11/29	50.00
60446	Credit Suisse AG - HSI R Bull CBBC Dec 2021 I	300,000,000	2019/10/21	2021/12/30	75.00
60379	Credit Suisse AG - HSI R Bear CBBC Jan 2020 W	200,000,000	2019/10/21	2020/01/30	50.00
60387	Credit Suisse AG - HSI R Bear CBBC Jan 2020 X	200,000,000	2019/10/21	2020/01/30	50.00
60376	Credit Suisse AG - HSI R Bear CBBC Feb 2020 T	200,000,000	2019/10/21	2020/02/27	50.00
60392	Credit Suisse AG - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/10/21	2020/03/30	50.00
60429	Credit Suisse AG - HSI R Bear CBBC May 2020 H	300,000,000	2019/10/21	2020/05/28	75.00
60561	Credit Suisse AG - Meituan Dianping R Bear CBBC May 2020 B	40,000,000	2019/10/21	2020/05/28	10.00
60519	Credit Suisse AG - Ping An R Bull CBBC Feb 2020 K	80,000,000	2019/10/21	2020/02/27	20.00
60511	Credit Suisse AG - Sands China R Bull CBBC Jul 2020 B	50,000,000	2019/10/21	2020/07/30	12.50

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60698	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Jul 2020 B	100,000,000	2019/10/21	2020/07/30	25.00
60707	Goldman Sachs SP (Asia) - CC Bank R Bull CBBC Jul 2020 C	100,000,000	2019/10/21	2020/07/30	25.00
60670	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 C	200,000,000	2019/10/21	2022/10/28	50.00
60679	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 D	200,000,000	2019/10/21	2022/10/28	50.00
60684	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 E	200,000,000	2019/10/21	2022/10/28	50.00
60693	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 F	200,000,000	2019/10/21	2022/10/28	50.00
60647	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/10/21	2020/03/30	50.00
60663	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/10/21	2020/03/30	50.00
60644	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/10/21	2020/03/30	50.00
60713	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2020 G	100,000,000	2019/10/21	2020/02/27	25.00
60674	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 K	100,000,000	2019/10/21	2020/01/30	25.00
60668	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2020 I	100,000,000	2019/10/21	2020/02/28	25.00
60000	HK Bank - CAM CSI300 R Bull CBBC May 2020 A	40,000,000	2019/10/21	2020/05/04	10.00
60020	HK Bank - CC Bank R Bull CBBC May 2020 B	60,000,000	2019/10/21	2020/05/18	15.00
59983	HK Bank - CSOP A50 ETF R Bull CBBC May 2020 C	40,000,000	2019/10/21	2020/05/04	10.00
59999	HK Bank - CSOP A50 ETF R Bear CBBC Mar 2020 A	40,000,000	2019/10/21	2020/03/16	10.80
59986	HK Bank - A50 China R Bull CBBC May 2020 C	40,000,000	2019/10/21	2020/05/04	10.00
60008	HK Bank - A50 China R Bear CBBC Mar 2020 A	40,000,000	2019/10/21	2020/03/16	10.80
60016	HK Bank - Galaxy Ent R Bull CBBC Apr 2020 B	60,000,000	2019/10/21	2020/04/27	15.00
60122	HK Bank - HSI R Bull CBBC Dec 2020 Q	50,000,000	2019/10/21	2020/12/30	12.50
60128	HK Bank - HSI R Bull CBBC Dec 2020 R	50,000,000	2019/10/21	2020/12/30	12.50
59918	HK Bank - HSI R Bear CBBC Mar 2020 K	50,000,000	2019/10/21	2020/03/30	12.50
60129	HK Bank - HSI R Bear CBBC Mar 2020 L	100,000,000	2019/10/21	2020/03/30	25.00
60126	HK Bank - HSI R Bear CBBC Jul 2020 A	100,000,000	2019/10/21	2020/07/30	25.00
60022	HK Bank - ICBC R Bull CBBC May 2020 A	60,000,000	2019/10/21	2020/05/04	15.00
60029	HK Bank - Tencent R Bull CBBC Apr 2020 L	40,000,000	2019/10/21	2020/04/14	10.00
59985	HK Bank - Tencent R Bear CBBC Jun 2020 B	100,000,000	2019/10/21	2020/06/08	25.00
60263	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 O	150,000,000	2019/10/21	2021/10/28	37.50
60281	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 U	150,000,000	2019/10/21	2021/10/28	37.50
60295	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 Q	150,000,000	2019/10/21	2021/11/29	37.50
60289	Haitong Int'l Sec - HSI R Bull CBBC Dec 2021 Z	150,000,000	2019/10/21	2021/12/30	37.50
60240	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 M	150,000,000	2019/10/21	2020/02/27	37.50
60252	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 P	150,000,000	2019/10/21	2020/02/27	37.50
60254	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 T	150,000,000	2019/10/21	2020/02/27	37.50
60299	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 W	150,000,000	2019/10/21	2020/02/27	37.50
60301	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 J	150,000,000	2019/10/21	2020/03/30	37.50
60325	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 D	50,000,000	2019/10/21	2020/04/06	12.50
60357	Haitong Int'l Sec - Meituan Dianping R Bear CBBC May 2020 E	50,000,000	2019/10/21	2020/05/13	12.50
60356	Haitong Int'l Sec - Sands China R Bear CBBC May 2020 A	110,000,000	2019/10/21	2020/05/19	27.50
60306	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 L	40,000,000	2019/10/21	2020/03/12	10.00
60427	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/10/21	2021/10/28	50.00
60445	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 N	200,000,000	2019/10/21	2021/10/28	50.00
60457	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/10/21	2021/10/28	50.00
60430	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 G	200,000,000	2019/10/21	2021/12/30	50.00
60485	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 K	200,000,000	2019/10/21	2021/12/30	50.00

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60449	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/10/21	2021/12/30	50.00
60359	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/10/21	2020/01/30	50.00
60369	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/10/21	2020/02/27	50.00
60374	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/10/21	2020/02/27	50.00
60377	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/10/21	2020/03/30	50.00
60503	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/10/21	2020/03/30	50.00
60518	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/10/21	2020/03/30	50.00
60554	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 O	50,000,000	2019/10/21	2020/04/09	12.50
60540	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 P	200,000,000	2019/10/21	2020/04/17	50.00
60555	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 K	50,000,000	2019/10/21	2020/03/20	12.50
60846	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 U	80,000,000	2019/10/21	2021/11/29	20.00
60850	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 X	80,000,000	2019/10/21	2021/12/30	20.00
60055	Morgan Stanley Asia Products - HSI R Bear CBBC Jan 2020 V	80,000,000	2019/10/21	2020/01/30	20.00
60070	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 D	80,000,000	2019/10/21	2020/02/27	20.00
60031	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 S	80,000,000	2019/10/21	2020/03/30	20.00
60714	SG Issuer - AAC Tech R Bear CBBC Apr 2020 C	100,000,000	2019/10/21	2020/04/08	25.00
60739	SG Issuer - ABC R Bull CBBC Aug 2020 D	100,000,000	2019/10/21	2020/08/10	25.00
60746	SG Issuer - AIA R Bull CBBC Apr 2020 D	60,000,000	2019/10/21	2020/04/03	15.00
60798	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 M	100,000,000	2019/10/21	2020/04/29	25.00
60809	SG Issuer - A50 China R Bull CBBC Apr 2020 B	100,000,000	2019/10/21	2020/04/29	25.00
60766	SG Issuer - Geely Auto R Bear CBBC Jul 2020 A	150,000,000	2019/10/21	2020/07/30	37.50
60752	SG Issuer - Galaxy Ent R Bear CBBC Apr 2020 C	100,000,000	2019/10/21	2020/04/15	25.00
60838	SG Issuer - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/10/21	2021/10/28	62.50
60834	SG Issuer - HSI R Bull CBBC Oct 2021 P	250,000,000	2019/10/21	2021/10/28	62.50
60816	SG Issuer - HSI R Bull CBBC Nov 2021 M	250,000,000	2019/10/21	2021/11/29	62.50
60820	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/10/21	2021/11/29	62.50
60821	SG Issuer - HSI R Bull CBBC Dec 2021 E	250,000,000	2019/10/21	2021/12/30	62.50
60732	SG Issuer - HSI R Bear CBBC Feb 2020 G	250,000,000	2019/10/21	2020/02/27	62.50
60731	SG Issuer - HSI R Bear CBBC Feb 2020 U	250,000,000	2019/10/21	2020/02/27	62.50
60822	SG Issuer - HSI R Bear CBBC Apr 2020 E	250,000,000	2019/10/21	2020/04/28	62.50
60826	SG Issuer - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/10/21	2020/04/28	62.50
60737	SG Issuer - HSI R Bear CBBC May 2020 L	500,000,000	2019/10/21	2020/05/28	125.00
60827	SG Issuer - HSI R Bear CBBC May 2020 M	250,000,000	2019/10/21	2020/05/28	62.50
60768	SG Issuer - Ping An R Bull CBBC Apr 2020 E	100,000,000	2019/10/21	2020/04/03	25.00
60715	SG Issuer - Ping An R Bear CBBC Mar 2020 B	100,000,000	2019/10/21	2020/03/05	25.00
60775	SG Issuer - Ping An R Bear CBBC Apr 2020 B	100,000,000	2019/10/21	2020/04/03	25.00
60785	SG Issuer - Tencent R Bull CBBC Jan 2020 S	80,000,000	2019/10/21	2020/01/31	20.00
60720	SG Issuer - Tencent R Bear CBBC Mar 2020 C	80,000,000	2019/10/21	2020/03/31	20.00
60730	SG Issuer - Tencent R Bear CBBC Apr 2020 T	80,000,000	2019/10/21	2020/04/08	20.00
60196	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2020 B	200,000,000	2019/10/21	2020/11/23	50.00
60177	UBS AG - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/10/21	2022/01/28	50.00
60178	UBS AG - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/10/21	2022/01/28	50.00
60193	UBS AG - HSI R Bull CBBC Jan 2022 D	200,000,000	2019/10/21	2022/01/28	50.00
60165	UBS AG - HSI R Bear CBBC Jan 2020 O	200,000,000	2019/10/21	2020/01/30	50.00
60167	UBS AG - HSI R Bear CBBC Jan 2020 P	200,000,000	2019/10/21	2020/01/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
60170	UBS AG - HSI R Bear CBBC Jan 2020 T	200,000,000	2019/10/21	2020/01/30	50.00
60222	UBS AG - Tencent R Bull CBBC Mar 2020 E	40,000,000	2019/10/21	2020/03/23	10.00
59919	Bank Vontobel - CSOP A50 ETF R Bull CBBC Aug 2020 B	40,000,000	2019/10/21	2020/08/21	10.00
59924	Bank Vontobel - HKEX R Bull CBBC Jul 2020 A	40,000,000	2019/10/21	2020/07/10	10.00
59930	Bank Vontobel - HKEX R Bull CBBC Jul 2020 B	40,000,000	2019/10/21	2020/07/10	10.00
59954	Bank Vontobel - HSCEI R Bull CBBC Dec 2020 A	40,000,000	2019/10/21	2020/12/30	10.00
59960	Bank Vontobel - HSCEI R Bear CBBC Sep 2020 A	40,000,000	2019/10/21	2020/09/29	10.00
59947	Bank Vontobel - HSI N Bull CBBC Apr 2021 R	80,000,000	2019/10/21	2021/04/29	20.00
59941	Bank Vontobel - HSI R Bull CBBC Apr 2021 L	80,000,000	2019/10/21	2021/04/29	20.00
59952	Bank Vontobel - HSI R Bull CBBC Apr 2021 W	80,000,000	2019/10/21	2021/04/29	20.00
59953	Bank Vontobel - HSI R Bear CBBC May 2020 C	80,000,000	2019/10/21	2020/05/28	20.00
59939	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 F	60,000,000	2019/10/21	2020/06/12	15.00
59920	Bank Vontobel - Tencent R Bear CBBC Apr 2020 C	40,000,000	2019/10/21	2020/04/17	10.00
60901	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 S	150,000,000	2019/10/22	2020/03/30	37.50
61208	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 F	250,000,000	2019/10/22	2021/11/29	62.50
61211	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 U	240,000,000	2019/10/22	2021/11/29	60.00
61218	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 O	240,000,000	2019/10/22	2020/04/28	60.00
60886	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Apr 2020 I	200,000,000	2019/10/22	2020/04/29	50.00
60895	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 E	50,000,000	2019/10/22	2020/12/30	12.50
60991	Credit Suisse AG - Geely Auto R Bear CBBC Jul 2020 A	80,000,000	2019/10/22	2020/07/30	20.00
60953	Credit Suisse AG - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/10/22	2021/10/28	50.00
60971	Credit Suisse AG - HSI R Bull CBBC Oct 2021 L	200,000,000	2019/10/22	2021/10/28	50.00
60973	Credit Suisse AG - HSI R Bull CBBC Nov 2021 H	200,000,000	2019/10/22	2021/11/29	50.00
60982	Credit Suisse AG - HSI R Bull CBBC Nov 2021 S	200,000,000	2019/10/22	2021/11/29	50.00
60997	Credit Suisse AG - HSI R Bear CBBC Feb 2020 F	200,000,000	2019/10/22	2020/02/27	50.00
60993	Credit Suisse AG - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/10/22	2020/02/27	50.00
61275	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Feb 2020 A	100,000,000	2019/10/22	2020/02/20	25.00
61229	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 G	200,000,000	2019/10/22	2022/10/28	50.00
61251	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 H	200,000,000	2019/10/22	2022/10/28	50.00
61257	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 I	200,000,000	2019/10/22	2022/10/28	50.00
61258	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/10/22	2020/03/30	50.00
61267	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 C	100,000,000	2019/10/22	2020/02/27	25.00
61272	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 D	100,000,000	2019/10/22	2020/02/27	25.00
61230	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2020 J	100,000,000	2019/10/22	2020/02/28	28.50
60873	HK Bank - HSCEI R Bull CBBC Dec 2020 D	50,000,000	2019/10/22	2020/12/30	12.50
60876	HK Bank - HSCEI R Bear CBBC Mar 2020 B	50,000,000	2019/10/22	2020/03/30	12.50
60878	HK Bank - HSI R Bull CBBC Dec 2020 S	100,000,000	2019/10/22	2020/12/30	25.00
60864	HK Bank - HSI R Bear CBBC Mar 2020 M	100,000,000	2019/10/22	2020/03/30	25.00
60867	HK Bank - HSI R Bear CBBC Mar 2020 N	100,000,000	2019/10/22	2020/03/30	25.00
61031	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 H	100,000,000	2019/10/22	2021/10/28	25.00
61022	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/10/22	2021/10/28	37.50
61027	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 S	150,000,000	2019/10/22	2021/10/28	37.50
61026	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 S	150,000,000	2019/10/22	2021/11/29	37.50
61037	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 I	150,000,000	2019/10/22	2020/02/27	37.50
61056	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 N	150,000,000	2019/10/22	2020/02/27	37.50

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61058	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 M	40,000,000	2019/10/22	2020/03/30	10.00
61064	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 F	40,000,000	2019/10/22	2020/03/26	10.00
61195	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/10/22	2021/10/28	50.00
61194	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/10/22	2021/10/28	50.00
61207	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/10/22	2021/11/29	50.00
61184	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/10/22	2021/11/29	50.00
61201	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 Q	200,000,000	2019/10/22	2021/12/30	50.00
61200	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/10/22	2020/03/30	50.00
61198	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/10/22	2020/03/30	50.00
61183	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 Q	200,000,000	2019/10/22	2020/04/17	50.00
60912	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 V	80,000,000	2019/10/22	2021/11/29	20.00
60908	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 Y	80,000,000	2019/10/22	2021/12/30	20.00
61095	SG Issuer - CM Bank R Bull CBBC Jun 2020 B	100,000,000	2019/10/22	2020/06/05	25.00
61179	SG Issuer - CSOP A50 ETF R Bull CBBC Mar 2020 I	100,000,000	2019/10/22	2020/03/31	25.00
61182	SG Issuer - CSOP A50 ETF R Bear CBBC Apr 2020 C	100,000,000	2019/10/22	2020/04/28	25.00
61078	SG Issuer - HKEX R Bull CBBC Apr 2020 L	50,000,000	2019/10/22	2020/04/08	12.50
61146	SG Issuer - HSI R Bull CBBC Sep 2021 A	250,000,000	2019/10/22	2021/09/29	62.50
61066	SG Issuer - HSI R Bull CBBC Oct 2021 S	250,000,000	2019/10/22	2021/10/28	62.50
61152	SG Issuer - HSI R Bull CBBC Oct 2021 V	250,000,000	2019/10/22	2021/10/28	62.50
61164	SG Issuer - HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/10/22	2021/10/28	62.50
61073	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/10/22	2021/12/30	62.50
61119	SG Issuer - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/10/22	2020/03/30	62.50
61125	SG Issuer - HSI R Bear CBBC Mar 2020 I	250,000,000	2019/10/22	2020/03/30	62.50
61131	SG Issuer - HSI R Bear CBBC Apr 2020 G	250,000,000	2019/10/22	2020/04/28	62.50
61136	SG Issuer - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/10/22	2020/04/28	62.50
61096	SG Issuer - Meituan Dianping R Bull CBBC May 2020 A	100,000,000	2019/10/22	2020/05/28	25.00
61104	SG Issuer - Meituan Dianping R Bull CBBC Jun 2020 A	100,000,000	2019/10/22	2020/06/19	25.00
61169	SG Issuer - Meituan Dianping R Bull CBBC Jun 2020 B	100,000,000	2019/10/22	2020/06/05	25.00
61105	SG Issuer - Ping An R Bull CBBC Mar 2020 J	100,000,000	2019/10/22	2020/03/31	25.00
61110	SG Issuer - Tencent R Bull CBBC Mar 2020 X	80,000,000	2019/10/22	2020/03/31	20.00
61174	SG Issuer - Tencent R Bull CBBC Mar 2020 Y	80,000,000	2019/10/22	2020/03/31	20.00
61088	SG Issuer - Tencent R Bear CBBC Apr 2020 U	80,000,000	2019/10/22	2020/04/08	20.00
60916	UBS AG - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/10/22	2022/01/28	50.00
60919	UBS AG - HSI R Bear CBBC Jan 2020 V	200,000,000	2019/10/22	2020/01/30	50.00
60938	UBS AG - HSI R Bear CBBC Jan 2020 X	200,000,000	2019/10/22	2020/01/30	50.00
60940	UBS AG - Meituan Dianping R Bear CBBC Feb 2020 A	40,000,000	2019/10/22	2020/02/17	10.00
60941	UBS AG - Tencent R Bear CBBC Mar 2020 A	200,000,000	2019/10/22	2020/03/23	50.00
61010	Bank Vontobel - HSI R Bull CBBC Apr 2021 S	80,000,000	2019/10/22	2021/04/29	20.00
61004	Bank Vontobel - HSI R Bear CBBC May 2020 D	80,000,000	2019/10/22	2020/05/28	20.00
61014	Bank Vontobel - Meituan Dianping R Bull CBBC Jun 2020 G	60,000,000	2019/10/22	2020/06/19	15.00
52382 <sup>#</sup>	Credit Suisse AG - CITIC Sec R Bull CBBC Jul 2020 A	130,000,000	2019/10/22	2020/07/30	10.14
61311	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 U	150,000,000	2019/10/23	2021/11/29	37.50
61319	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 W	150,000,000	2019/10/23	2021/11/29	37.50
61502	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 G	250,000,000	2019/10/23	2021/12/30	62.50
61494	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 J	250,000,000	2019/10/23	2021/12/30	62.50



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61505	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 K	240,000,000	2019/10/23	2021/12/30	60.00
61491	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 L	250,000,000	2019/10/23	2021/12/30	62.50
61495	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 O	250,000,000	2019/10/23	2021/12/30	62.50
61501	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 U	250,000,000	2019/10/23	2021/12/30	62.50
61509	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 P	240,000,000	2019/10/23	2020/04/28	60.00
61337	Credit Suisse AG - AIA R Bull CBBC Apr 2020 D	70,000,000	2019/10/23	2020/04/28	17.50
61332	Credit Suisse AG - CC Bank R Bull CBBC Sep 2020 A	100,000,000	2019/10/23	2020/09/29	25.00
61344	Credit Suisse AG - HSI R Bull CBBC Sep 2021 L	200,000,000	2019/10/23	2021/09/29	50.00
61342	Credit Suisse AG - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/10/23	2021/11/29	50.00
61328	Credit Suisse AG - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/23	2020/01/30	50.00
61329	Credit Suisse AG - HSI R Bear CBBC Jan 2020 I	200,000,000	2019/10/23	2020/01/30	50.00
61339	Credit Suisse AG - SHK Ppt R Bull CBBC May 2020 C	40,000,000	2019/10/23	2020/05/28	10.00
61367	Goldman Sachs SP (Asia) - China Life R Bull CBBC Jan 2020 A	100,000,000	2019/10/23	2020/01/30	25.00
61351	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 J	200,000,000	2019/10/23	2022/10/28	50.00
61354	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 K	200,000,000	2019/10/23	2022/10/28	50.00
61346	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/10/23	2020/02/27	50.00
61345	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/10/23	2020/02/27	50.00
61364	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2020 H	100,000,000	2019/10/23	2020/02/27	25.00
61361	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 E	100,000,000	2019/10/23	2020/02/27	25.00
61278	HK Bank - HSI R Bull CBBC Dec 2020 T	60,000,000	2019/10/23	2020/12/30	15.00
61291	HK Bank - HSI R Bull CBBC Dec 2020 U	80,000,000	2019/10/23	2020/12/30	20.00
61277	HK Bank - HSI R Bear CBBC Mar 2020 O	60,000,000	2019/10/23	2020/03/30	15.00
61304	HK Bank - HSI R Bear CBBC Mar 2020 P	80,000,000	2019/10/23	2020/03/30	20.00
61425	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 T	150,000,000	2019/10/23	2021/10/28	37.50
61413	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 A	150,000,000	2019/10/23	2022/01/28	37.50
61417	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 B	150,000,000	2019/10/23	2022/01/28	37.50
61446	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 J	150,000,000	2019/10/23	2020/01/30	37.50
61399	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Q	150,000,000	2019/10/23	2020/02/27	37.50
61410	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 U	150,000,000	2019/10/23	2020/02/27	37.50
61515	J P Morgan SP BV - HSCEI R Bull CBBC Oct 2020 F	100,000,000	2019/10/23	2020/10/29	25.00
61521	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 H	200,000,000	2019/10/23	2021/10/28	50.00
61553	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/10/23	2021/10/28	50.00
61546	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/10/23	2021/10/28	50.00
61554	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/10/23	2021/12/30	50.00
61552	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/10/23	2021/12/30	50.00
61557	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/10/23	2021/12/30	50.00
61522	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 W	200,000,000	2019/10/23	2020/01/30	50.00
61513	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/10/23	2020/03/30	50.00
61543	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/10/23	2020/03/30	50.00
61542	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 L	50,000,000	2019/10/23	2020/03/20	12.50
61472	Morgan Stanley Asia Products - HSI R Bull CBBC Sep 2021 M	80,000,000	2019/10/23	2021/09/29	20.00
61475	Morgan Stanley Asia Products - HSI R Bull CBBC Oct 2021 J	80,000,000	2019/10/23	2021/10/28	20.00
61448	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 T	80,000,000	2019/10/23	2020/03/30	20.00
61452	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 U	80,000,000	2019/10/23	2020/03/30	20.00
61568	SG Issuer - AIA R Bear CBBC Apr 2020 A	60,000,000	2019/10/23	2020/04/28	15.00

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61616	SG Issuer - HSI R Bull CBBC Sep 2021 H	250,000,000	2019/10/23	2021/09/29	62.50
61619	SG Issuer - HSI R Bull CBBC Sep 2021 I	250,000,000	2019/10/23	2021/09/29	62.50
61629	SG Issuer - HSI R Bull CBBC Sep 2021 K	250,000,000	2019/10/23	2021/09/29	62.50
61582	SG Issuer - HSI R Bear CBBC Feb 2020 M	250,000,000	2019/10/23	2020/02/27	62.50
61585	SG Issuer - HSI R Bear CBBC Mar 2020 A	250,000,000	2019/10/23	2020/03/30	62.50
61615	SG Issuer - HSI R Bear CBBC Apr 2020 P	250,000,000	2019/10/23	2020/04/28	62.50
61569	SG Issuer - Ping An R Bull CBBC Apr 2020 F	100,000,000	2019/10/23	2020/04/28	25.00
61595	SG Issuer - Sands China R Bear CBBC Jun 2020 A	80,000,000	2019/10/23	2020/06/29	20.00
61612	SG Issuer - SHK Ppt R Bull CBBC Apr 2020 E	100,000,000	2019/10/23	2020/04/28	25.00
61613	SG Issuer - Tencent R Bull CBBC Feb 2020 G	80,000,000	2019/10/23	2020/02/25	20.00
61599	SG Issuer - Xiaomi R Bull CBBC Apr 2020 A	60,000,000	2019/10/23	2020/04/29	15.00
61384	UBS AG - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/10/23	2022/01/28	50.00
61377	UBS AG - HSI R Bear CBBC Jan 2020 E	200,000,000	2019/10/23	2020/01/30	50.00
61380	UBS AG - HSI R Bear CBBC Jan 2020 F	200,000,000	2019/10/23	2020/01/30	50.00
61376	UBS AG - HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/10/23	2020/01/30	50.00
61487	Bank Vontobel - AIA R Bull CBBC Oct 2020 A	40,000,000	2019/10/23	2020/10/23	10.00
61488	Bank Vontobel - Galaxy Ent R Bull CBBC May 2020 A	40,000,000	2019/10/23	2020/05/22	10.00
61476	Bank Vontobel - HSBC R Bull CBBC Jun 2020 A	40,000,000	2019/10/23	2020/06/19	10.00
61490	Bank Vontobel - SHK Ppt R Bull CBBC Jun 2020 A	40,000,000	2019/10/23	2020/06/19	10.00
61716	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 X	150,000,000	2019/10/24	2021/11/29	37.50
61677	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 T	150,000,000	2019/10/24	2020/03/30	37.50
61678	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 U	150,000,000	2019/10/24	2020/03/30	37.50
61879	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 E	250,000,000	2019/10/24	2021/12/30	62.50
61702	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 F	250,000,000	2019/10/24	2021/12/30	62.50
61697	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 N	250,000,000	2019/10/24	2021/12/30	62.50
61883	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 P	250,000,000	2019/10/24	2021/12/30	62.50
61679	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 Q	250,000,000	2019/10/24	2020/04/28	62.50
61684	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/10/24	2020/04/28	62.50
61694	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 S	250,000,000	2019/10/24	2020/04/28	62.50
61887	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 T	240,000,000	2019/10/24	2020/04/28	60.00
61955	Credit Suisse AG - AIA R Bull CBBC Feb 2020 I	70,000,000	2019/10/24	2020/02/27	17.50
61946	Credit Suisse AG - HSI R Bull CBBC Oct 2021 P	200,000,000	2019/10/24	2021/10/28	50.00
61935	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/10/24	2021/10/28	50.00
61952	Credit Suisse AG - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/10/24	2021/11/29	50.00
61941	Credit Suisse AG - HSI R Bull CBBC Dec 2021 K	300,000,000	2019/10/24	2021/12/30	75.00
61958	Credit Suisse AG - HSI R Bear CBBC Jan 2020 E	200,000,000	2019/10/24	2020/01/30	50.00
61923	Credit Suisse AG - HSI R Bear CBBC Jan 2020 Y	200,000,000	2019/10/24	2020/01/30	50.00
61924	Credit Suisse AG - HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/10/24	2020/01/30	50.00
61927	Credit Suisse AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/10/24	2020/03/30	50.00
61929	Credit Suisse AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/10/24	2020/03/30	50.00
61932	Credit Suisse AG - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/10/24	2020/03/30	50.00
61956	Credit Suisse AG - SUNAC R Bull CBBC Jul 2020 B	60,000,000	2019/10/24	2020/07/24	15.00
61794	Bank of East Asia - AIA R Bull CBBC May 2020 B	60,000,000	2019/10/24	2020/05/04	15.00
61831	Bank of East Asia - Geely Auto R Bear CBBC Jun 2020 A	40,000,000	2019/10/24	2020/06/30	14.00
61824	Bank of East Asia - Galaxy Ent R Bull CBBC Jun 2020 A	100,000,000	2019/10/24	2020/06/30	25.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61841	Bank of East Asia - Meituan Dianping R Bull CBBC May 2020 A	60,000,000	2019/10/24	2020/05/04	15.00
61847	Bank of East Asia - Ping An R Bull CBBC May 2020 D	100,000,000	2019/10/24	2020/05/04	25.00
61856	Bank of East Asia - Ping An R Bull CBBC May 2020 E	100,000,000	2019/10/24	2020/05/04	25.00
61795	Bank of East Asia - SHK Ppt R Bull CBBC May 2020 A	40,000,000	2019/10/24	2020/05/04	10.00
61773	Bank of East Asia - Tencent R Bull CBBC May 2020 E	150,000,000	2019/10/24	2020/05/04	37.50
61782	Bank of East Asia - Tencent R Bull CBBC May 2020 F	30,000,000	2019/10/24	2020/05/04	10.50
61772	Bank of East Asia - Tencent R Bear CBBC Jun 2020 B	30,000,000	2019/10/24	2020/06/30	10.50
62202	Goldman Sachs SP (Asia) - AIA R Bull CBBC Mar 2020 A	100,000,000	2019/10/24	2020/03/30	25.00
62206	Goldman Sachs SP (Asia) - AIA R Bull CBBC Mar 2020 B	100,000,000	2019/10/24	2020/03/30	25.00
62177	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 L	200,000,000	2019/10/24	2022/10/28	50.00
62186	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 M	200,000,000	2019/10/24	2022/10/28	50.00
62190	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 N	200,000,000	2019/10/24	2022/10/28	50.00
62172	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/10/24	2020/03/30	50.00
62175	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/10/24	2020/03/30	50.00
62207	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Feb 2020 I	100,000,000	2019/10/24	2020/02/27	25.00
62198	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jan 2020 T	100,000,000	2019/10/24	2020/01/30	25.00
61727	HK Bank - AIA R Bull CBBC Apr 2020 D	60,000,000	2019/10/24	2020/04/06	15.00
61735	HK Bank - CPIC R Bull CBBC Jul 2020 B	40,000,000	2019/10/24	2020/07/20	10.00
61736	HK Bank - HSI R Bull CBBC Dec 2020 V	60,000,000	2019/10/24	2020/12/30	15.00
61653	HK Bank - HSI R Bear CBBC Mar 2020 Q	80,000,000	2019/10/24	2020/03/30	20.00
61738	HK Bank - HSI R Bear CBBC Jul 2020 B	100,000,000	2019/10/24	2020/07/30	25.00
61728	HK Bank - Sands China R Bull CBBC Mar 2020 A	40,000,000	2019/10/24	2020/03/30	10.00
61731	HK Bank - SHK Ppt R Bull CBBC Apr 2020 C	40,000,000	2019/10/24	2020/04/14	10.00
61729	HK Bank - SUNAC R Bull CBBC Mar 2020 B	40,000,000	2019/10/24	2020/03/30	10.00
61864	Haitong Int'l Sec - AIA R Bull CBBC Apr 2020 B	60,000,000	2019/10/24	2020/04/14	15.00
61859	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 W	150,000,000	2019/10/24	2021/10/28	37.50
61889	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 C	150,000,000	2019/10/24	2022/01/28	37.50
61892	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 D	150,000,000	2019/10/24	2022/01/28	37.50
61857	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 A	150,000,000	2019/10/24	2020/01/30	37.50
61858	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 E	150,000,000	2019/10/24	2020/01/30	37.50
61904	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 M	150,000,000	2019/10/24	2020/01/30	37.50
61905	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 X	150,000,000	2019/10/24	2020/01/30	37.50
61913	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/10/24	2020/02/27	50.00
61878	Haitong Int'l Sec - Ping An R Bull CBBC Mar 2020 B	50,000,000	2019/10/24	2020/03/12	12.50
61862	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 N	40,000,000	2019/10/24	2020/03/06	10.00
61984	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 M	200,000,000	2019/10/24	2021/09/29	50.00
61987	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/10/24	2021/09/29	50.00
61999	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 U	200,000,000	2019/10/24	2021/09/29	50.00
62002	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 V	200,000,000	2019/10/24	2021/09/29	50.00
62013	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/10/24	2021/10/28	50.00
61966	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/24	2020/01/30	50.00
61970	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 B	200,000,000	2019/10/24	2020/01/30	50.00
61974	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/10/24	2020/03/30	50.00
62015	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 L	50,000,000	2019/10/24	2020/03/20	12.50
62071	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 W	80,000,000	2019/10/24	2021/11/29	20.00

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61670	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 E	80,000,000	2019/10/24	2020/02/27	20.00
61661	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 V	80,000,000	2019/10/24	2020/03/30	20.00
61676	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 W	80,000,000	2019/10/24	2020/03/30	20.00
61743	Morgan Stanley Asia Products - Meituan R Bull CBBC Apr2020 A	40,000,000	2019/10/24	2020/04/03	10.00
61748	Morgan Stanley Asia Products - Meituan R Bull CBBC Apr2020 B	40,000,000	2019/10/24	2020/04/08	10.00
61755	Morgan Stanley Asia Products - Meituan R Bear CBBC Apr2020 A	40,000,000	2019/10/24	2020/04/22	10.00
61739	Morgan Stanley Asia Products - Meituan R Bear CBBC May2020 A	40,000,000	2019/10/24	2020/05/22	10.00
62110	SG Issuer - AIA R Bull CBBC Apr 2020 E	60,000,000	2019/10/24	2020/04/03	15.00
62165	SG Issuer - CC Bank R Bear CBBC Apr 2020 B	100,000,000	2019/10/24	2020/04/29	25.00
62074	SG Issuer - Galaxy Ent R Bear CBBC Apr 2020 D	100,000,000	2019/10/24	2020/04/29	25.00
62118	SG Issuer - HSBC R Bull CBBC Jun 2020 B	100,000,000	2019/10/24	2020/06/29	25.00
62126	SG Issuer - HSI R Bull CBBC Aug 2021 N	250,000,000	2019/10/24	2021/08/30	62.50
62151	SG Issuer - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/10/24	2021/10/28	62.50
62152	SG Issuer - HSI R Bull CBBC Oct 2021 J	250,000,000	2019/10/24	2021/10/28	62.50
62159	SG Issuer - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/10/24	2021/10/28	62.50
62097	SG Issuer - HSI R Bear CBBC Jan 2020 M	250,000,000	2019/10/24	2020/01/30	62.50
62098	SG Issuer - HSI R Bear CBBC Jan 2020 U	250,000,000	2019/10/24	2020/01/30	62.50
62100	SG Issuer - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/10/24	2020/03/30	62.50
62150	SG Issuer - HSI R Bear CBBC Mar 2020 M	250,000,000	2019/10/24	2020/03/30	62.50
62125	SG Issuer - HSI R Bear CBBC Mar 2020 N	250,000,000	2019/10/24	2020/03/30	62.50
62148	SG Issuer - HSI R Bear CBBC Mar 2020 O	250,000,000	2019/10/24	2020/03/30	62.50
62104	SG Issuer - HSI R Bear CBBC May 2020 N	500,000,000	2019/10/24	2020/05/28	125.00
62167	SG Issuer - Ping An R Bear CBBC Apr 2020 C	100,000,000	2019/10/24	2020/04/29	25.00
62119	SG Issuer - Tencent R Bull CBBC Feb 2020 H	80,000,000	2019/10/24	2020/02/26	20.00
62079	SG Issuer - Tencent R Bear CBBC Mar 2020 B	80,000,000	2019/10/24	2020/03/30	20.00
61762	UBS AG - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/10/24	2022/01/28	50.00
61764	UBS AG - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/10/24	2022/01/28	50.00
61761	UBS AG - HSI R Bear CBBC Jan 2020 G	200,000,000	2019/10/24	2020/01/30	50.00
61768	UBS AG - HSI R Bear CBBC Jan 2020 I	200,000,000	2019/10/24	2020/01/30	50.00
61770	UBS AG - HSI R Bear CBBC Jan 2020 J	200,000,000	2019/10/24	2020/01/30	50.00
62053	Bank Vontobel - HSBC R Bull CBBC Jun 2020 B	40,000,000	2019/10/24	2020/06/12	10.00
62047	Bank Vontobel - HSI N Bear CBBC May 2020 F	80,000,000	2019/10/24	2020/05/28	20.00
62036	Bank Vontobel - HSI R Bear CBBC May 2020 E	80,000,000	2019/10/24	2020/05/28	20.00
62060	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 B	60,000,000	2019/10/24	2020/08/14	15.00
62066	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 C	60,000,000	2019/10/24	2020/08/14	15.00
62020	Bank Vontobel - SHK Ppt R Bull CBBC Jun 2020 B	40,000,000	2019/10/24	2020/06/19	10.00
62233	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 A	150,000,000	2019/10/25	2021/11/29	37.50
62348	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 S	240,000,000	2019/10/25	2021/12/30	60.00
62350	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 U	240,000,000	2019/10/25	2020/04/28	60.00
62361	Credit Suisse AG - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/10/25	2021/09/29	50.00
62360	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/10/25	2021/10/28	50.00
62356	Credit Suisse AG - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/10/25	2021/11/29	50.00
62364	Credit Suisse AG - HSI R Bear CBBC Jan 2020 T	200,000,000	2019/10/25	2020/01/30	50.00
62389	Credit Suisse AG - HSI R Bear CBBC Feb 2020 J	200,000,000	2019/10/25	2020/02/27	50.00
62494	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 O	200,000,000	2019/10/25	2022/10/28	50.00

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62515	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/10/25	2020/02/27	50.00
62543	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/10/25	2020/03/30	50.00
62513	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 F	100,000,000	2019/10/25	2020/02/27	25.00
62215	HK Bank - HSI R Bull CBBC Mar 2021 A	100,000,000	2019/10/25	2021/03/30	25.00
62219	HK Bank - HSI R Bull CBBC Mar 2021 B	80,000,000	2019/10/25	2021/03/30	20.00
62208	HK Bank - HSI R Bear CBBC Jul 2020 C	100,000,000	2019/10/25	2020/07/30	25.00
62222	HK Bank - Meituan Dianping R Bull CBBC Apr 2020 C	40,000,000	2019/10/25	2020/04/06	10.00
62220	HK Bank - Meituan Dianping R Bear CBBC Apr 2020 B	40,000,000	2019/10/25	2020/04/20	10.00
62287	Haitong Int'l Sec - CC Bank R Bull CBBC Apr 2020 B	50,000,000	2019/10/25	2020/04/16	12.50
62237	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 F	150,000,000	2019/10/25	2022/01/28	37.50
62247	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 G	150,000,000	2019/10/25	2022/01/28	37.50
62249	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 H	150,000,000	2019/10/25	2022/01/28	37.50
62251	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 I	100,000,000	2019/10/25	2022/01/28	25.00
62258	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 N	150,000,000	2019/10/25	2020/01/30	37.50
62267	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 X	150,000,000	2019/10/25	2020/02/27	37.50
62291	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 E	50,000,000	2019/10/25	2020/04/16	12.50
62312	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 W	200,000,000	2019/10/25	2021/09/29	50.00
62323	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/10/25	2021/09/29	50.00
62321	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 V	200,000,000	2019/10/25	2020/01/30	50.00
62335	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/10/25	2020/03/30	50.00
62339	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/10/25	2020/03/30	50.00
62399	Morgan Stanley Asia Products - HSI R Bull CBBC Sep 2021 N	80,000,000	2019/10/25	2021/09/29	20.00
62401	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 F	80,000,000	2019/10/25	2020/02/27	20.00
62402	SG Issuer - China Tower R Bear CBBC May 2020 A	120,000,000	2019/10/25	2020/05/28	30.00
62405	SG Issuer - HKEX R Bear CBBC Feb 2020 O	50,000,000	2019/10/25	2020/02/25	12.50
62419	SG Issuer - HSI R Bull CBBC Aug 2021 Z	250,000,000	2019/10/25	2021/08/30	62.50
62413	SG Issuer - HSI R Bull CBBC Sep 2021 C	250,000,000	2019/10/25	2021/09/29	62.50
62432	SG Issuer - HSI R Bull CBBC Sep 2021 D	250,000,000	2019/10/25	2021/09/29	62.50
62428	SG Issuer - HSI R Bull CBBC Sep 2021 S	250,000,000	2019/10/25	2021/09/29	62.50
62436	SG Issuer - HSI R Bear CBBC Feb 2020 F	250,000,000	2019/10/25	2020/02/27	62.50
62489	SG Issuer - HSI R Bear CBBC Feb 2020 J	250,000,000	2019/10/25	2020/02/27	62.50
62492	SG Issuer - Meituan Dianping R Bull CBBC Jun 2020 C	100,000,000	2019/10/25	2020/06/29	25.00
62410	SG Issuer - SUNAC R Bull CBBC Aug 2020 B	80,000,000	2019/10/25	2020/08/05	20.00
62416	SG Issuer - Tencent R Bull CBBC Mar 2020 Z	80,000,000	2019/10/25	2020/03/31	20.00
62411	SG Issuer - Xiaomi R Bear CBBC Apr 2020 A	60,000,000	2019/10/25	2020/04/03	15.00
62293	UBS AG - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/10/25	2022/01/28	50.00
62299	UBS AG - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/10/25	2022/01/28	50.00
62300	UBS AG - HSI R Bull CBBC Jan 2022 L	200,000,000	2019/10/25	2022/01/28	50.00
62305	UBS AG - HSI R Bear CBBC Jan 2020 M	200,000,000	2019/10/25	2020/01/30	50.00
62308	UBS AG - HSI R Bear CBBC Jan 2020 W	200,000,000	2019/10/25	2020/01/30	50.00
62310	UBS AG - Meituan Dianping R Bull CBBC Feb 2020 C	40,000,000	2019/10/25	2020/02/27	10.00
62355	Bank Vontobel - China Life R Bear CBBC Jul 2020 B	40,000,000	2019/10/25	2020/07/10	10.00
62354	Bank Vontobel - HSI N Bull CBBC Apr 2021 Y	80,000,000	2019/10/25	2021/04/29	20.00
62615	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 B	150,000,000	2019/10/28	2021/11/29	37.50
62617	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 V	150,000,000	2019/10/28	2020/03/30	37.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
62669	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 W	250,000,000	2019/10/28	2021/12/30	62.50
62676	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 X	240,000,000	2019/10/28	2021/12/30	60.00
62678	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 V	240,000,000	2019/10/28	2020/04/28	60.00
62553	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 F	50,000,000	2019/10/28	2020/12/30	12.50
62546	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 C	50,000,000	2019/10/28	2020/11/27	12.50
62831	Credit Suisse AG - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/10/28	2021/10/28	50.00
62826	Credit Suisse AG - HSI R Bear CBBC Jan 2020 U	200,000,000	2019/10/28	2020/01/30	50.00
62823	Credit Suisse AG - HSI R Bear CBBC Feb 2020 K	200,000,000	2019/10/28	2020/02/27	50.00
62834	Credit Suisse AG - Meituan Dianping R Bull CBBC Apr 2020 E	80,000,000	2019/10/28	2020/04/28	20.00
62838	Credit Suisse AG - Meituan Dianping R Bull CBBC May 2020 C	80,000,000	2019/10/28	2020/05/28	20.00
62837	Credit Suisse AG - Xiaomi R Bull CBBC Jun 2020 B	50,000,000	2019/10/28	2020/06/29	12.50
62853	Goldman Sachs SP (Asia) - China Life R Bull CBBC Jan 2020 B	100,000,000	2019/10/28	2020/01/30	25.00
62851	Goldman Sachs SP (Asia) - HSI R Bull CBBC Sep 2022 A	200,000,000	2019/10/28	2022/09/29	50.00
62850	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 U	200,000,000	2019/10/28	2020/02/27	50.00
62856	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2020 K	100,000,000	2019/10/28	2020/02/28	25.00
62583	HK Bank - China Life R Bull CBBC Apr 2020 A	40,000,000	2019/10/28	2020/04/14	10.00
62611	HK Bank - HKEEX R Bull CBBC Jun 2020 I	40,000,000	2019/10/28	2020/06/29	10.00
62576	HK Bank - HSI R Bull CBBC Mar 2021 C	60,000,000	2019/10/28	2021/03/30	15.00
62569	HK Bank - Meituan Dianping R Bull CBBC Mar 2020 C	40,000,000	2019/10/28	2020/03/23	10.00
62572	HK Bank - Meituan Dianping R Bear CBBC Mar 2020 A	40,000,000	2019/10/28	2020/03/30	10.00
62578	HK Bank - Ping An R Bear CBBC Apr 2020 B	50,000,000	2019/10/28	2020/04/14	12.50
62612	HK Bank - Tencent R Bull CBBC Mar 2020 R	40,000,000	2019/10/28	2020/03/16	10.00
62599	HK Bank - Tencent R Bear CBBC Apr 2020 J	40,000,000	2019/10/28	2020/04/14	10.00
62561	HK Bank - Xiaomi R Bull CBBC Apr 2020 A	40,000,000	2019/10/28	2020/04/20	10.00
62566	HK Bank - Xiaomi R Bear CBBC Apr 2020 A	40,000,000	2019/10/28	2020/04/06	10.00
62718	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 J	150,000,000	2019/10/28	2022/01/28	37.50
62723	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 K	150,000,000	2019/10/28	2022/01/28	37.50
62724	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 C	150,000,000	2019/10/28	2020/02/27	37.50
62714	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Y	150,000,000	2019/10/28	2020/02/27	37.50
62719	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 F	50,000,000	2019/10/28	2020/04/17	12.50
62713	Haitong Int'l Sec - Meituan Dianping R Bear CBBC May 2020 F	50,000,000	2019/10/28	2020/05/19	12.50
62722	Haitong Int'l Sec - Meituan Dianping R Bear CBBC May 2020 G	50,000,000	2019/10/28	2020/05/21	12.50
62813	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 C	200,000,000	2019/10/28	2021/09/29	50.00
62814	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 E	200,000,000	2019/10/28	2021/09/29	50.00
62791	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 T	200,000,000	2019/10/28	2020/01/30	50.00
62822	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/10/28	2020/02/27	50.00
62800	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 B	60,000,000	2019/10/28	2020/05/15	15.00
62797	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 B	60,000,000	2019/10/28	2020/06/12	15.00
62805	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 B	60,000,000	2019/10/28	2020/06/12	15.00
62812	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 C	60,000,000	2019/10/28	2020/06/19	15.00
62839	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 Z	80,000,000	2019/10/28	2021/12/30	20.00
62841	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 X	80,000,000	2019/10/28	2020/03/30	20.00
62742	SG Issuer - China Life R Bull CBBC Feb 2020 I	40,000,000	2019/10/28	2020/02/25	10.00
62765	SG Issuer - CSOP A50 ETF R Bull CBBC Feb 2020 Q	100,000,000	2019/10/28	2020/02/25	25.00
62767	SG Issuer - HSI R Bull CBBC Jul 2021 T	250,000,000	2019/10/28	2021/07/29	62.50



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
62768	SG Issuer - HSI R Bull CBBC Aug 2021 R	250,000,000	2019/10/28	2021/08/30	62.50
62772	SG Issuer - HSI R Bull CBBC Sep 2021 T	250,000,000	2019/10/28	2021/09/29	62.50
62728	SG Issuer - HSI R Bear CBBC Feb 2020 N	250,000,000	2019/10/28	2020/02/27	62.50
62729	SG Issuer - HSI R Bear CBBC Mar 2020 Q	250,000,000	2019/10/28	2020/03/30	62.50
62769	SG Issuer - HSI R Bear CBBC Mar 2020 R	250,000,000	2019/10/28	2020/03/30	62.50
62787	SG Issuer - Meituan Dianping R Bull CBBC May 2020 B	100,000,000	2019/10/28	2020/05/29	25.00
62743	SG Issuer - Ping An R Bull CBBC Feb 2020 R	100,000,000	2019/10/28	2020/02/25	25.00
62725	SG Issuer - Ping An R Bear CBBC Mar 2020 C	100,000,000	2019/10/28	2020/03/31	25.00
62727	SG Issuer - Tencent R Bull CBBC Mar 2020 A	80,000,000	2019/10/28	2020/03/31	20.00
62753	SG Issuer - Tencent R Bull CBBC Mar 2020 C	80,000,000	2019/10/28	2020/03/31	20.00
62788	SG Issuer - Tencent R Bear CBBC Apr 2020 V	80,000,000	2019/10/28	2020/04/03	20.00
62656	UBS AG - HSI R Bull CBBC Dec 2021 L	200,000,000	2019/10/28	2021/12/30	50.00
62646	UBS AG - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/10/28	2021/12/30	50.00
62635	UBS AG - HSI R Bear CBBC Jan 2020 Q	200,000,000	2019/10/28	2020/01/30	50.00
62629	UBS AG - HSI R Bear CBBC Jan 2020 Y	200,000,000	2019/10/28	2020/01/30	50.00
62664	UBS AG - Meituan Dianping R Bull CBBC Mar 2020 A	40,000,000	2019/10/28	2020/03/18	10.00
62665	UBS AG - Meituan Dianping R Bear CBBC Mar 2020 A	40,000,000	2019/10/28	2020/03/18	10.00
62663	UBS AG - Tencent R Bear CBBC Mar 2020 B	40,000,000	2019/10/28	2020/03/16	10.00
62682	Bank Vontobel - AIA R Bull CBBC Jul 2020 C	40,000,000	2019/10/28	2020/07/17	10.00
62695	Bank Vontobel - China Life R Bull CBBC Jul 2020 B	60,000,000	2019/10/28	2020/07/17	15.00
62683	Bank Vontobel - HSI R Bull CBBC Apr 2021 T	80,000,000	2019/10/28	2021/04/29	20.00
62698	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 D	60,000,000	2019/10/28	2020/08/14	15.00
62710	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 E	60,000,000	2019/10/28	2020/08/21	15.00
62703	Bank Vontobel - Meituan Dianping R Bear CBBC Aug 2020 D	60,000,000	2019/10/28	2020/08/14	18.00
62696	Bank Vontobel - Xiaomi R Bull CBBC Aug 2020 A	40,000,000	2019/10/28	2020/08/07	10.00
62919	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 C	150,000,000	2019/10/29	2021/11/29	37.50
62923	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 Y	250,000,000	2019/10/29	2021/12/30	62.50
62925	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 W	250,000,000	2019/10/29	2020/04/28	62.50
62927	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 X	240,000,000	2019/10/29	2020/04/28	60.00
62954	Credit Suisse AG - AAC Tech R Bull CBBC Jul 2020 A	40,000,000	2019/10/29	2020/07/30	10.00
62957	Credit Suisse AG - AAC Tech R Bear CBBC May 2020 A	40,000,000	2019/10/29	2020/05/28	10.00
62959	Credit Suisse AG - HSI R Bull CBBC Nov 2021 G	200,000,000	2019/10/29	2021/11/29	50.00
62952	Credit Suisse AG - HSI R Bear CBBC Jan 2020 V	200,000,000	2019/10/29	2020/01/30	50.00
62883	Bank of East Asia - Geely Auto R Bull CBBC May 2020 B	40,000,000	2019/10/29	2020/05/29	10.00
62885	Bank of East Asia - HKEX R Bull CBBC Jun 2020 C	30,000,000	2019/10/29	2020/06/30	10.50
62888	Bank of East Asia - Meituan Dianping R Bull CBBC Jul 2020 A	50,000,000	2019/10/29	2020/07/08	12.50
62891	Bank of East Asia - Meituan Dianping R Bear CBBC Jul 2020 A	50,000,000	2019/10/29	2020/07/08	12.50
62963	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/10/29	2020/03/30	50.00
62880	HK Bank - AAC Tech R Bull CBBC Apr 2020 D	40,000,000	2019/10/29	2020/04/27	10.00
62882	HK Bank - CM Bank R Bull CBBC May 2020 A	40,000,000	2019/10/29	2020/05/04	10.00
62866	HK Bank - Geely Auto R Bull CBBC Apr 2020 B	40,000,000	2019/10/29	2020/04/27	10.00
62862	HK Bank - HSI R Bull CBBC Mar 2021 D	100,000,000	2019/10/29	2021/03/30	25.00
62861	HK Bank - HSI R Bear CBBC Mar 2020 R	100,000,000	2019/10/29	2020/03/30	25.00
62873	HK Bank - HSI R Bear CBBC Mar 2020 S	100,000,000	2019/10/29	2020/03/30	25.00
62872	HK Bank - Tencent R Bull CBBC Jun 2020 C	100,000,000	2019/10/29	2020/06/22	25.00

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62908	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 L	150,000,000	2019/10/29	2022/01/28	37.50
62909	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 M	150,000,000	2019/10/29	2022/01/28	37.50
62918	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 N	100,000,000	2019/10/29	2022/01/28	25.00
62907	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 D	150,000,000	2019/10/29	2020/02/27	37.50
62906	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 G	50,000,000	2019/10/29	2020/04/17	12.50
62932	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 F	200,000,000	2019/10/29	2021/09/29	50.00
62929	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/10/29	2021/09/29	50.00
62921	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 Z	200,000,000	2019/10/29	2020/01/30	50.00
62922	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/10/29	2020/02/27	50.00
62933	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/10/29	2020/02/27	50.00
62928	J P Morgan SP BV - Meituan Dianping R Bear CBBC Apr 2020 A	60,000,000	2019/10/29	2020/04/17	15.00
62974	Morgan Stanley Asia Products - HKEX R Bull CBBC Jun 2020 B	40,000,000	2019/10/29	2020/06/01	10.00
62995	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 G	80,000,000	2019/10/29	2020/02/27	20.00
62982	Morgan Stanley Asia Products - Ping An R Bull CBBC Apr2020 A	40,000,000	2019/10/29	2020/04/20	10.00
62976	Morgan Stanley Asia Products - Tencent R Bull CBBC Apr2020 E	50,000,000	2019/10/29	2020/04/28	12.50
63013	SG Issuer - AAC Tech R Bull CBBC May 2020 C	100,000,000	2019/10/29	2020/05/28	25.00
63059	SG Issuer - Geely Auto R Bull CBBC Sep 2020 C	150,000,000	2019/10/29	2020/09/25	37.50
63017	SG Issuer - HKEX R Bull CBBC Apr 2020 M	50,000,000	2019/10/29	2020/04/02	12.50
63058	SG Issuer - HSI R Bull CBBC Aug 2021 K	250,000,000	2019/10/29	2021/08/30	62.50
63055	SG Issuer - HSI R Bull CBBC Aug 2021 W	250,000,000	2019/10/29	2021/08/30	62.50
63048	SG Issuer - HSI R Bull CBBC Aug 2021 X	250,000,000	2019/10/29	2021/08/30	62.50
63022	SG Issuer - HSI R Bear CBBC Feb 2020 R	250,000,000	2019/10/29	2020/02/27	62.50
63006	SG Issuer - HSI R Bear CBBC Mar 2020 B	250,000,000	2019/10/29	2020/03/30	62.50
63024	SG Issuer - HSI R Bear CBBC Mar 2020 E	250,000,000	2019/10/29	2020/03/30	62.50
63004	SG Issuer - HSI R Bear CBBC Mar 2020 X	250,000,000	2019/10/29	2020/03/30	62.50
63020	SG Issuer - Meituan Dianping R Bull CBBC May 2020 C	100,000,000	2019/10/29	2020/05/26	25.00
63060	SG Issuer - Tencent R Bull CBBC Mar 2020 H	80,000,000	2019/10/29	2020/03/31	20.00
62899	UBS AG - HSI R Bull CBBC Dec 2021 U	200,000,000	2019/10/29	2021/12/30	50.00
62905	UBS AG - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/10/29	2021/12/30	50.00
62902	UBS AG - HSI R Bear CBBC Jan 2020 N	400,000,000	2019/10/29	2020/01/30	100.00
62893	UBS AG - HSI R Bear CBBC Jan 2020 R	200,000,000	2019/10/29	2020/01/30	50.00
62934	Bank Vontobel - AAC Tech R Bull CBBC Aug 2020 A	40,000,000	2019/10/29	2020/08/07	10.00
62937	Bank Vontobel - AAC Tech R Bear CBBC Aug 2020 A	40,000,000	2019/10/29	2020/08/07	10.00
62945	Bank Vontobel - Meituan Dianping R Bear CBBC Jul 2020 B	60,000,000	2019/10/29	2020/07/10	15.00
62946	Bank Vontobel - Meituan Dianping R Bear CBBC Jul 2020 C	60,000,000	2019/10/29	2020/07/10	15.00
63135	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 D	150,000,000	2019/10/30	2021/11/29	37.50
63137	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 E	150,000,000	2019/10/30	2021/11/29	37.50
63138	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 W	150,000,000	2019/10/30	2020/03/30	37.50
63140	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 X	150,000,000	2019/10/30	2020/03/30	37.50
63149	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 N	50,000,000	2019/10/30	2020/09/29	12.50
63110	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 P	250,000,000	2019/10/30	2021/11/29	62.50
63131	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 U	250,000,000	2019/10/30	2021/11/29	62.50
63211	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 D	240,000,000	2019/10/30	2021/12/30	60.00
63153	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/10/30	2020/04/28	62.50
63145	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 D	50,000,000	2019/10/30	2020/11/27	12.50

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63133	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 D	50,000,000	2019/10/30	2020/12/30	12.50
63147	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 B	50,000,000	2019/10/30	2020/11/27	12.50
63148	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 F	50,000,000	2019/10/30	2020/12/30	12.50
63319	Credit Suisse AG - HSI R Bull CBBC Sep 2021 O	200,000,000	2019/10/30	2021/09/29	50.00
63328	Credit Suisse AG - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/10/30	2021/11/29	50.00
63341	Credit Suisse AG - HSI R Bear CBBC Jan 2020 J	200,000,000	2019/10/30	2020/01/30	50.00
63338	Credit Suisse AG - HSI R Bear CBBC Jan 2020 O	200,000,000	2019/10/30	2020/01/30	50.00
63345	Credit Suisse AG - Meituan Dianping R Bear CBBC Jun 2020 B	40,000,000	2019/10/30	2020/06/29	10.00
63343	Credit Suisse AG - Tencent R Bear CBBC Apr 2020 F	100,000,000	2019/10/30	2020/04/28	25.00
63364	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 A	200,000,000	2019/10/30	2022/11/29	50.00
63369	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 B	200,000,000	2019/10/30	2022/11/29	50.00
63374	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/10/30	2020/03/30	50.00
63376	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/10/30	2020/03/30	50.00
63373	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 L	80,000,000	2019/10/30	2020/01/30	20.00
63380	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 A	60,000,000	2019/10/30	2020/03/30	15.00
63063	HK Bank - HSI R Bull CBBC Mar 2021 E	60,000,000	2019/10/30	2021/03/30	15.00
63095	HK Bank - HSI R Bull CBBC Mar 2021 F	100,000,000	2019/10/30	2021/03/30	25.00
63197	HK Bank - HSI R Bear CBBC Mar 2020 T	60,000,000	2019/10/30	2020/03/30	15.00
63155	HK Bank - Tencent R Bull CBBC Apr 2020 M	40,000,000	2019/10/30	2020/04/14	10.00
63105	HK Bank - Tencent R Bull CBBC Jun 2020 D	100,000,000	2019/10/30	2020/06/29	25.00
63080	HK Bank - Tencent R Bull CBBC Jul 2020 B	100,000,000	2019/10/30	2020/07/13	25.00
63097	HK Bank - Tencent R Bear CBBC Mar 2020 J	40,000,000	2019/10/30	2020/03/12	10.00
63232	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 R	150,000,000	2019/10/30	2021/10/28	37.50
63212	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 O	150,000,000	2019/10/30	2022/01/28	37.50
63227	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 P	150,000,000	2019/10/30	2022/01/28	37.50
63219	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 B	150,000,000	2019/10/30	2020/02/27	37.50
63242	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 F	150,000,000	2019/10/30	2020/02/27	37.50
63239	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 R	150,000,000	2019/10/30	2020/02/27	37.50
63224	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2020 V	40,000,000	2019/10/30	2020/04/09	10.00
63201	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/10/30	2021/10/28	50.00
63202	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/10/30	2021/10/28	50.00
63208	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/10/30	2021/10/28	50.00
63210	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 G	200,000,000	2019/10/30	2021/12/30	50.00
63203	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 W	200,000,000	2019/10/30	2020/01/30	50.00
63206	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/10/30	2020/03/30	50.00
63209	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/10/30	2020/03/30	50.00
63067	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 A	80,000,000	2019/10/30	2021/12/30	20.00
63363	Morgan Stanley Asia Products - HSI R Bear CBBC Jan 2020 W	80,000,000	2019/10/30	2020/01/30	20.00
63351	SG Issuer - AAC Tech R Bear CBBC Apr 2020 D	100,000,000	2019/10/30	2020/04/27	25.00
63265	SG Issuer - AIA R Bull CBBC Apr 2020 F	60,000,000	2019/10/30	2020/04/28	15.00
63303	SG Issuer - HSI R Bull CBBC Aug 2021 T	250,000,000	2019/10/30	2021/08/30	62.50
63316	SG Issuer - HSI R Bull CBBC Aug 2021 U	250,000,000	2019/10/30	2021/08/30	62.50
63249	SG Issuer - HSI R Bull CBBC Sep 2021 M	250,000,000	2019/10/30	2021/09/29	62.50
63255	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/10/30	2021/11/29	62.50
63256	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/10/30	2021/12/30	62.50

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63350	SG Issuer - HSI R Bear CBBC Feb 2020 G	250,000,000	2019/10/30	2020/02/27	62.50
63348	SG Issuer - HSI R Bear CBBC Feb 2020 Y	250,000,000	2019/10/30	2020/02/27	62.50
63293	SG Issuer - HSI R Bear CBBC Mar 2020 G	250,000,000	2019/10/30	2020/03/30	62.50
63270	SG Issuer - Ping An R Bull CBBC Feb 2020 S	100,000,000	2019/10/30	2020/02/28	25.00
63276	SG Issuer - Tencent R Bull CBBC Feb 2020 P	80,000,000	2019/10/30	2020/02/28	20.00
63284	SG Issuer - Tencent R Bull CBBC Mar 2020 I	80,000,000	2019/10/30	2020/03/31	20.00
63356	SG Issuer - Tencent R Bull CBBC May 2020 A	80,000,000	2019/10/30	2020/05/29	28.80
63352	SG Issuer - Tencent R Bear CBBC Apr 2020 W	80,000,000	2019/10/30	2020/04/03	20.00
63291	SG Issuer - Xiaomi R Bull CBBC Jun 2020 A	60,000,000	2019/10/30	2020/06/29	15.00
63165	UBS AG - HSI R Bull CBBC Dec 2021 H	200,000,000	2019/10/30	2021/12/30	50.00
63170	UBS AG - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/10/30	2021/12/30	50.00
63157	UBS AG - HSI R Bull CBBC Dec 2021 S	200,000,000	2019/10/30	2021/12/30	50.00
63184	UBS AG - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/10/30	2021/12/30	50.00
63183	UBS AG - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/30	2020/01/30	50.00
63196	UBS AG - HSI R Bear CBBC Jan 2020 C	200,000,000	2019/10/30	2020/01/30	50.00
63171	UBS AG - HSI R Bear CBBC Jan 2020 S	200,000,000	2019/10/30	2020/01/30	50.00
63244	Bank Vontobel - Meituan Dianping R Bull CBBC Jul 2020 A	60,000,000	2019/10/30	2020/07/10	15.00
63247	Bank Vontobel - Meituan Dianping R Bear CBBC Jul 2020 D	60,000,000	2019/10/30	2020/07/10	15.00
63494	BOCI Asia Ltd. - AIA R Bull CBBC Feb 2020 A	60,000,000	2019/10/31	2020/02/24	15.00
63471	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 G	150,000,000	2019/10/31	2021/11/29	37.50
63482	BOCI Asia Ltd. - Ping An R Bull CBBC Feb 2020 B	70,000,000	2019/10/31	2020/02/24	17.50
63497	BOCI Asia Ltd. - Tencent R Bear CBBC Feb 2020 C	40,000,000	2019/10/31	2020/02/24	10.00
63474	BOCI Asia Ltd. - Xiaomi R Bull CBBC Feb 2020 B	40,000,000	2019/10/31	2020/02/24	10.00
63586	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 M	250,000,000	2019/10/31	2021/12/30	62.50
63592	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 T	240,000,000	2019/10/31	2021/12/30	60.00
63581	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/10/31	2020/04/28	62.50
63381	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 Z	250,000,000	2019/10/31	2020/04/28	62.50
63676	Credit Suisse AG - Bank of China R Bull CBBC Sep 2020 B	100,000,000	2019/10/31	2020/09/29	25.00
63651	Credit Suisse AG - HSBC R Bull CBBC Jun 2020 B	70,000,000	2019/10/31	2020/06/29	17.50
63654	Credit Suisse AG - HSBC R Bear CBBC Apr 2020 B	70,000,000	2019/10/31	2020/04/28	17.50
63701	Credit Suisse AG - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/10/31	2021/10/28	50.00
63691	Credit Suisse AG - HSI R Bull CBBC Dec 2021 J	300,000,000	2019/10/31	2021/12/30	75.00
63644	Credit Suisse AG - HSI R Bear CBBC Jan 2020 R	200,000,000	2019/10/31	2020/01/30	50.00
63678	Credit Suisse AG - HSI R Bear CBBC Jan 2020 S	200,000,000	2019/10/31	2020/01/30	50.00
63664	Credit Suisse AG - ICBC R Bull CBBC Sep 2020 B	100,000,000	2019/10/31	2020/09/29	25.00
63446	Bank of East Asia - Meituan Dianping R Bull CBBC Jul 2020 B	50,000,000	2019/10/31	2020/07/14	12.50
63447	Bank of East Asia - Meituan Dianping R Bear CBBC Jul 2020 B	50,000,000	2019/10/31	2020/07/14	12.50
63448	Bank of East Asia - Tencent R Bull CBBC Jul 2020 A	30,000,000	2019/10/31	2020/07/14	10.50
63462	Bank of East Asia - Tencent R Bear CBBC Jul 2020 A	150,000,000	2019/10/31	2020/07/14	37.50
63787	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Mar 2020 A	50,000,000	2019/10/31	2020/03/30	12.50
63776	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 C	200,000,000	2019/10/31	2022/11/29	50.00
63780	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 D	200,000,000	2019/10/31	2022/11/29	50.00
63756	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/10/31	2020/03/30	50.00
63768	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/10/31	2020/03/30	50.00
63788	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 M	200,000,000	2019/10/31	2020/04/28	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63784	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jan 2020 M	60,000,000	2019/10/31	2020/01/31	15.00
63442	HK Bank - Bank of China R Bull CBBC Apr 2020 C	40,000,000	2019/10/31	2020/04/27	10.00
63431	HK Bank - Bank of China R Bear CBBC Apr 2020 A	40,000,000	2019/10/31	2020/04/06	10.00
63392	HK Bank - HSI R Bull CBBC Mar 2021 G	100,000,000	2019/10/31	2021/03/30	25.00
63399	HK Bank - HSI R Bull CBBC Mar 2021 H	100,000,000	2019/10/31	2021/03/30	25.00
63421	HK Bank - HSI R Bull CBBC Sep 2021 A	100,000,000	2019/10/31	2021/09/29	25.00
63419	HK Bank - HSI R Bear CBBC Mar 2020 U	60,000,000	2019/10/31	2020/03/30	15.00
63443	HK Bank - ICBC R Bull CBBC Apr 2020 C	60,000,000	2019/10/31	2020/04/06	15.00
63382	HK Bank - Tencent R Bull CBBC Mar 2020 S	40,000,000	2019/10/31	2020/03/30	10.00
63428	HK Bank - Tencent R Bull CBBC Jul 2020 C	100,000,000	2019/10/31	2020/07/20	25.00
63423	HK Bank - Tencent R Bear CBBC Mar 2020 K	40,000,000	2019/10/31	2020/03/26	10.00
63577	Haitong Int'l Sec - China Life R Bull CBBC Apr 2020 A	40,000,000	2019/10/31	2020/04/21	10.00
63547	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 I	150,000,000	2019/10/31	2021/10/28	37.50
63544	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Q	150,000,000	2019/10/31	2022/01/28	37.50
63548	Haitong Int'l Sec - HSI R Bear CBBC Jan 2020 E	150,000,000	2019/10/31	2020/01/30	37.50
63536	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 J	150,000,000	2019/10/31	2020/02/27	37.50
63543	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 O	150,000,000	2019/10/31	2020/02/27	37.50
63575	Haitong Int'l Sec - Tencent R Bull CBBC Apr 2020 A	40,000,000	2019/10/31	2020/04/01	10.00
63580	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2020 W	40,000,000	2019/10/31	2020/04/07	10.00
63620	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/10/31	2021/09/29	50.00
63626	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 L	200,000,000	2019/10/31	2021/09/29	50.00
63637	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/10/31	2021/12/30	50.00
63643	J P Morgan SP BV - HSI R Bear CBBC Jan 2020 A	200,000,000	2019/10/31	2020/01/30	50.00
63753	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/10/31	2020/03/30	50.00
63750	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/10/31	2020/03/30	50.00
63597	J P Morgan SP BV - Meituan Dianping R Bull CBBC Mar 2020 B	60,000,000	2019/10/31	2020/03/20	15.00
63604	J P Morgan SP BV - Meituan Dianping R Bull CBBC Apr 2020 C	60,000,000	2019/10/31	2020/04/17	15.00
63610	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 C	60,000,000	2019/10/31	2020/05/08	15.00
63617	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 M	50,000,000	2019/10/31	2020/04/17	12.50
63619	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 N	250,000,000	2019/10/31	2020/04/17	62.50
63504	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 Y	80,000,000	2019/10/31	2020/03/30	20.00
63705	SG Issuer - CC Bank R Bull CBBC Aug 2020 G	100,000,000	2019/10/31	2020/08/05	25.00
63707	SG Issuer - HKEX R Bear CBBC Feb 2020 P	50,000,000	2019/10/31	2020/02/28	12.50
63748	SG Issuer - HSI R Bull CBBC Aug 2021 V	250,000,000	2019/10/31	2021/08/30	62.50
63749	SG Issuer - HSI R Bull CBBC Sep 2021 O	250,000,000	2019/10/31	2021/09/29	62.50
63730	SG Issuer - HSI R Bear CBBC Feb 2020 U	250,000,000	2019/10/31	2020/02/27	62.50
63731	SG Issuer - HSI R Bear CBBC Mar 2020 D	250,000,000	2019/10/31	2020/03/30	62.50
63746	SG Issuer - HSI R Bear CBBC Mar 2020 J	250,000,000	2019/10/31	2020/03/30	62.50
63744	SG Issuer - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/10/31	2020/04/28	62.50
63733	SG Issuer - ICBC R Bull CBBC Aug 2020 E	100,000,000	2019/10/31	2020/08/04	25.00
63735	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 C	100,000,000	2019/10/31	2020/04/29	25.00
63708	SG Issuer - Tencent R Bull CBBC Apr 2020 H	80,000,000	2019/10/31	2020/04/28	20.00
63709	SG Issuer - Tencent R Bull CBBC Apr 2020 I	80,000,000	2019/10/31	2020/04/29	20.00
63719	SG Issuer - Tencent R Bull CBBC May 2020 B	80,000,000	2019/10/31	2020/05/29	39.20
63742	SG Issuer - Tencent R Bear CBBC Apr 2020 X	80,000,000	2019/10/31	2020/04/08	20.00

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63535	UBS AG - HSI R Bull CBBC Dec 2021 A	200,000,000	2019/10/31	2021/12/30	50.00
63534	UBS AG - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/10/31	2021/12/30	50.00
63512	UBS AG - HSI R Bear CBBC Jan 2020 D	200,000,000	2019/10/31	2020/01/30	50.00
63521	UBS AG - HSI R Bear CBBC Jan 2020 L	200,000,000	2019/10/31	2020/01/30	50.00
63527	UBS AG - HSI R Bear CBBC Jan 2020 U	200,000,000	2019/10/31	2020/01/30	50.00
63530	UBS AG - Tencent R Bull CBBC Mar 2020 F	200,000,000	2019/10/31	2020/03/30	50.00
63523	UBS AG - Tencent R Bear CBBC Mar 2020 C	40,000,000	2019/10/31	2020/03/02	10.00
63554	Bank Vontobel - CC Bank R Bull CBBC Jun 2020 A	40,000,000	2019/10/31	2020/06/19	10.00
63560	Bank Vontobel - ICBC R Bull CBBC Jun 2020 A	40,000,000	2019/10/31	2020/06/19	10.00
63571	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 F	60,000,000	2019/10/31	2020/08/14	15.00
63574	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 A	60,000,000	2019/10/31	2020/09/11	15.00
63562	Bank Vontobel - Tencent R Bull CBBC Oct 2020 A	40,000,000	2019/10/31	2020/10/09	10.00
63568	Bank Vontobel - Tencent R Bull CBBC Oct 2020 B	40,000,000	2019/10/31	2020/10/09	10.00
63886	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 V	240,000,000	2019/11/01	2021/12/30	60.00
63887	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 B	240,000,000	2019/11/01	2020/04/28	60.00
63876	Credit Suisse AG - HSI R Bull CBBC Oct 2021 K	200,000,000	2019/11/01	2021/10/28	50.00
63874	Credit Suisse AG - HSI R Bull CBBC Oct 2021 T	200,000,000	2019/11/01	2021/10/28	50.00
63881	Credit Suisse AG - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/11/01	2021/11/29	50.00
63882	Credit Suisse AG - HSI R Bear CBBC Feb 2020 U	200,000,000	2019/11/01	2020/02/27	50.00
63885	Credit Suisse AG - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/11/01	2020/02/27	50.00
64041	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 C	200,000,000	2019/11/01	2022/10/28	50.00
64059	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 G	200,000,000	2019/11/01	2022/10/28	50.00
64061	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 N	200,000,000	2019/11/01	2020/04/28	50.00
64063	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/11/01	2020/04/28	50.00
64064	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2020 L	60,000,000	2019/11/01	2020/02/03	15.00
63811	HK Bank - Galaxy Ent R Bull CBBC May 2020 D	60,000,000	2019/11/01	2020/05/04	15.00
63802	HK Bank - HSCEI R Bull CBBC Mar 2021 A	50,000,000	2019/11/01	2021/03/30	12.50
63809	HK Bank - HSCEI R Bear CBBC Mar 2020 C	50,000,000	2019/11/01	2020/03/30	12.50
63797	HK Bank - HSI R Bull CBBC Mar 2021 I	80,000,000	2019/11/01	2021/03/30	20.00
63813	HK Bank - Meituan Dianping R Bull CBBC Apr 2020 D	40,000,000	2019/11/01	2020/04/06	10.00
63798	HK Bank - Ping An R Bull CBBC Apr 2020 F	60,000,000	2019/11/01	2020/04/06	15.00
63815	HK Bank - Tencent R Bull CBBC Aug 2020 A	100,000,000	2019/11/01	2020/08/03	25.00
63825	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 R	150,000,000	2019/11/01	2022/01/28	37.50
63851	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 S	150,000,000	2019/11/01	2022/01/28	37.50
63852	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 T	150,000,000	2019/11/01	2022/01/28	37.50
63845	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 S	150,000,000	2019/11/01	2020/02/27	37.50
63853	Haitong Int'l Sec - ICBC R Bull CBBC May 2020 B	50,000,000	2019/11/01	2020/05/20	12.50
63857	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 H	50,000,000	2019/11/01	2020/04/21	12.50
63860	Haitong Int'l Sec - Ping An R Bear CBBC Mar 2020 F	50,000,000	2019/11/01	2020/03/04	12.50
63929	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 K	200,000,000	2019/11/01	2021/09/29	50.00
63891	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 O	200,000,000	2019/11/01	2021/09/29	50.00
63894	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 X	200,000,000	2019/11/01	2021/09/29	50.00
63923	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/11/01	2020/03/30	50.00
63931	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/11/01	2020/03/30	50.00
63898	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 D	60,000,000	2019/11/01	2020/05/15	15.00



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63910	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 O	50,000,000	2019/11/01	2020/04/17	12.50
63918	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 P	200,000,000	2019/11/01	2020/04/09	50.00
63816	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 C	80,000,000	2019/11/01	2021/12/30	20.00
63995	SG Issuer - AIA R Bull CBBC Apr 2020 G	60,000,000	2019/11/01	2020/04/29	15.00
63951	SG Issuer - Galaxy Ent R Bull CBBC Apr 2020 I	100,000,000	2019/11/01	2020/04/29	25.00
64009	SG Issuer - HSI R Bull CBBC Sep 2021 R	250,000,000	2019/11/01	2021/09/29	62.50
64010	SG Issuer - HSI R Bull CBBC Sep 2021 Y	250,000,000	2019/11/01	2021/09/29	62.50
63961	SG Issuer - HSI R Bull CBBC Jan 2022 F	250,000,000	2019/11/01	2022/01/28	62.50
63990	SG Issuer - HSI R Bull CBBC Jan 2022 G	250,000,000	2019/11/01	2022/01/28	62.50
64005	SG Issuer - HSI R Bear CBBC Mar 2020 K	250,000,000	2019/11/01	2020/03/30	62.50
64015	SG Issuer - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/11/01	2020/04/28	62.50
64018	SG Issuer - HSI R Bear CBBC May 2020 R	500,000,000	2019/11/01	2020/05/28	125.00
63999	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 D	100,000,000	2019/11/01	2020/04/29	25.00
63958	SG Issuer - Ping An R Bull CBBC Apr 2020 G	100,000,000	2019/11/01	2020/04/29	25.00
64022	SG Issuer - Ping An R Bull CBBC Apr 2020 H	100,000,000	2019/11/01	2020/04/29	25.00
64027	SG Issuer - Ping An R Bull CBBC Jul 2020 A	100,000,000	2019/11/01	2020/07/31	25.00
64037	SG Issuer - Ping An R Bull CBBC Aug 2020 A	100,000,000	2019/11/01	2020/08/05	25.00
64019	SG Issuer - Ping An R Bear CBBC Apr 2020 D	100,000,000	2019/11/01	2020/04/02	25.00
64024	SG Issuer - Ping An R Bear CBBC Apr 2020 E	100,000,000	2019/11/01	2020/04/29	25.00
64002	SG Issuer - Tencent R Bull CBBC Apr 2020 J	80,000,000	2019/11/01	2020/04/29	20.00
63818	UBS AG - HSI R Bull CBBC Jan 2022 M	200,000,000	2019/11/01	2022/01/28	50.00
63821	UBS AG - HSI R Bear CBBC Feb 2020 O	200,000,000	2019/11/01	2020/02/27	50.00
63824	UBS AG - HSI R Bear CBBC Feb 2020 P	400,000,000	2019/11/01	2020/02/27	100.00
63945	Bank Vontobel - HSI R Bear CBBC May 2020 G	80,000,000	2019/11/01	2020/05/28	20.00
63942	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 B	60,000,000	2019/11/01	2020/09/04	15.00
63935	Bank Vontobel - Tencent R Bear CBBC Apr 2020 D	40,000,000	2019/11/01	2020/04/24	10.00
64259	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 H	150,000,000	2019/11/04	2021/11/29	37.50
64256	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 V	150,000,000	2019/11/04	2021/11/29	37.50
64080	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/11/04	2020/03/30	37.50
64075	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Y	150,000,000	2019/11/04	2020/03/30	37.50
64077	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Z	150,000,000	2019/11/04	2020/03/30	37.50
64177	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 F	250,000,000	2019/11/04	2021/12/30	62.50
64118	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/11/04	2021/12/30	62.50
64120	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 L	250,000,000	2019/11/04	2021/12/30	62.50
64180	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 N	250,000,000	2019/11/04	2021/12/30	62.50
64181	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 S	240,000,000	2019/11/04	2021/12/30	60.00
64069	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/11/04	2020/04/28	62.50
64070	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 D	250,000,000	2019/11/04	2020/04/28	62.50
64071	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 E	240,000,000	2019/11/04	2020/04/28	60.00
64360	Credit Suisse AG - AIA R Bull CBBC Apr 2020 E	70,000,000	2019/11/04	2020/04/28	17.50
64358	Credit Suisse AG - Geely Auto R Bull CBBC Oct 2020 B	100,000,000	2019/11/04	2020/10/29	25.00
64356	Credit Suisse AG - Galaxy Ent R Bull CBBC Jul 2020 A	50,000,000	2019/11/04	2020/07/30	12.50
64375	Credit Suisse AG - HSBC R Bear CBBC Mar 2020 A	60,000,000	2019/11/04	2020/03/30	15.00
64355	Credit Suisse AG - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/11/04	2021/11/29	50.00
64344	Credit Suisse AG - HSI R Bull CBBC Nov 2021 L	200,000,000	2019/11/04	2021/11/29	50.00

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64351	Credit Suisse AG - HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/11/04	2021/11/29	50.00
64337	Credit Suisse AG - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/11/04	2020/03/30	50.00
64339	Credit Suisse AG - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/11/04	2020/03/30	50.00
64331	Credit Suisse AG - HSI R Bear CBBC May 2020 I	300,000,000	2019/11/04	2020/05/28	75.00
64578	Goldman Sachs SP (Asia) - AIA R Bull CBBC Jun 2020 A	80,000,000	2019/11/04	2020/06/29	20.00
64568	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 J	200,000,000	2019/11/04	2022/10/28	50.00
64570	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 L	200,000,000	2019/11/04	2022/10/28	50.00
64567	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/11/04	2020/03/30	50.00
64562	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/11/04	2020/04/28	50.00
64581	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 G	80,000,000	2019/11/04	2020/02/04	20.00
64085	HK Bank - AIA R Bull CBBC Jun 2020 B	60,000,000	2019/11/04	2020/06/22	15.00
64097	HK Bank - China Life R Bear CBBC Apr 2020 B	60,000,000	2019/11/04	2020/04/27	15.00
64081	HK Bank - CM Bank R Bull CBBC May 2020 B	40,000,000	2019/11/04	2020/05/25	10.00
64108	HK Bank - CNOOC R Bull CBBC May 2020 A	40,000,000	2019/11/04	2020/05/04	10.00
64095	HK Bank - China Tower R Bull CBBC Jul 2020 A	40,000,000	2019/11/04	2020/07/20	10.00
64082	HK Bank - Geely Auto R Bear CBBC May 2020 A	40,000,000	2019/11/04	2020/05/04	10.80
64129	HK Bank - HSI R Bull CBBC Mar 2021 J	60,000,000	2019/11/04	2021/03/30	15.00
64068	HK Bank - HSI R Bear CBBC Mar 2020 V	80,000,000	2019/11/04	2020/03/30	20.00
64086	HK Bank - Meituan Dianping R Bull CBBC Mar 2020 D	40,000,000	2019/11/04	2020/03/23	10.00
64109	HK Bank - SUNAC R Bull CBBC May 2020 C	40,000,000	2019/11/04	2020/05/04	10.00
64083	HK Bank - Tencent R Bull CBBC Apr 2020 N	100,000,000	2019/11/04	2020/04/27	25.00
64242	Haitong Int'l Sec - Galaxy Ent R Bull CBBC May 2020 A	50,000,000	2019/11/04	2020/05/21	12.50
64230	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 J	150,000,000	2019/11/04	2021/10/28	37.50
64228	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 U	150,000,000	2019/11/04	2022/01/28	37.50
64232	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 V	150,000,000	2019/11/04	2022/01/28	37.50
64222	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 A	150,000,000	2019/11/04	2020/02/27	37.50
64224	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 E	150,000,000	2019/11/04	2020/02/27	37.50
64240	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 G	150,000,000	2019/11/04	2020/02/27	37.50
64216	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Z	150,000,000	2019/11/04	2020/02/27	37.50
64252	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2020 A	50,000,000	2019/11/04	2020/07/10	12.50
64299	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/11/04	2021/09/29	50.00
64308	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 J	200,000,000	2019/11/04	2021/09/29	50.00
64317	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 P	200,000,000	2019/11/04	2021/09/29	50.00
64305	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 S	200,000,000	2019/11/04	2021/09/29	50.00
64281	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/04	2020/02/27	50.00
64286	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/11/04	2020/03/30	50.00
64321	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/11/04	2020/03/30	50.00
64294	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/11/04	2020/03/30	50.00
64326	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 E	60,000,000	2019/11/04	2020/05/15	15.00
64328	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 R	50,000,000	2019/11/04	2020/04/09	12.50
64329	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 Q	250,000,000	2019/11/04	2020/04/17	62.50
64322	J P Morgan SP BV - Xiaomi R Bull CBBC Apr 2020 A	60,000,000	2019/11/04	2020/04/09	15.00
64324	J P Morgan SP BV - Xiaomi R Bull CBBC May 2020 A	60,000,000	2019/11/04	2020/05/08	15.00
64195	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 X	80,000,000	2019/11/04	2021/11/29	20.00
64186	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 D	80,000,000	2019/11/04	2021/12/30	20.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64111	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 H	80,000,000	2019/11/04	2020/02/27	20.00
64191	Morgan Stanley Asia Products - Meituan R Bull CBBC May2020 A	40,000,000	2019/11/04	2020/05/18	10.00
64187	Morgan Stanley Asia Products - Meituan R Bear CBBC May2020 B	40,000,000	2019/11/04	2020/05/08	10.00
64211	Morgan Stanley Asia Products - Ping An R Bear CBBC Apr2020 B	40,000,000	2019/11/04	2020/04/28	10.00
64483	SG Issuer - AIA R Bull CBBC Apr 2020 H	60,000,000	2019/11/04	2020/04/29	15.00
64511	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 N	100,000,000	2019/11/04	2020/04/29	25.00
64376	SG Issuer - China Tower R Bull CBBC Jul 2020 A	120,000,000	2019/11/04	2020/07/30	30.00
64486	SG Issuer - Galaxy Ent R Bull CBBC Apr 2020 J	100,000,000	2019/11/04	2020/04/29	25.00
64497	SG Issuer - Galaxy Ent R Bear CBBC May 2020 A	100,000,000	2019/11/04	2020/05/29	25.00
64543	SG Issuer - HSBC R Bear CBBC Apr 2020 B	100,000,000	2019/11/04	2020/04/29	25.00
64516	SG Issuer - HSI R Bull CBBC Sep 2021 N	250,000,000	2019/11/04	2021/09/29	62.50
64561	SG Issuer - HSI R Bull CBBC Sep 2021 V	250,000,000	2019/11/04	2021/09/29	62.50
64522	SG Issuer - HSI R Bull CBBC Oct 2021 J	250,000,000	2019/11/04	2021/10/28	62.50
64396	SG Issuer - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/11/04	2020/03/30	62.50
64458	SG Issuer - HSI R Bear CBBC Mar 2020 I	250,000,000	2019/11/04	2020/03/30	62.50
64467	SG Issuer - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/11/04	2020/04/28	62.50
64478	SG Issuer - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/11/04	2020/04/28	62.50
64558	SG Issuer - HSI R Bear CBBC Apr 2020 U	250,000,000	2019/11/04	2020/04/28	62.50
64499	SG Issuer - Ping An R Bull CBBC Apr 2020 I	100,000,000	2019/11/04	2020/04/29	25.00
64509	SG Issuer - Sands China R Bull CBBC Jul 2020 B	80,000,000	2019/11/04	2020/07/06	20.00
64545	SG Issuer - Tencent R Bull CBBC Apr 2020 K	80,000,000	2019/11/04	2020/04/29	20.00
64389	SG Issuer - Tencent R Bear CBBC Mar 2020 E	80,000,000	2019/11/04	2020/03/31	20.00
64551	SG Issuer - WH Group R Bull CBBC Apr 2020 A	40,000,000	2019/11/04	2020/04/29	10.00
64393	SG Issuer - Xiaomi R Bear CBBC Apr 2020 B	60,000,000	2019/11/04	2020/04/03	15.00
64139	UBS AG - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/11/04	2022/01/28	50.00
64138	UBS AG - HSI R Bull CBBC Jan 2022 Y	200,000,000	2019/11/04	2022/01/28	50.00
64136	UBS AG - HSI R Bear CBBC Feb 2020 Q	200,000,000	2019/11/04	2020/02/27	50.00
64159	UBS AG - HSI R Bear CBBC Feb 2020 R	200,000,000	2019/11/04	2020/02/27	50.00
64161	UBS AG - HSI R Bear CBBC Feb 2020 S	200,000,000	2019/11/04	2020/02/27	50.00
64171	UBS AG - Ping An R Bull CBBC Feb 2020 B	100,000,000	2019/11/04	2020/02/28	25.00
64176	UBS AG - Ping An R Bull CBBC Feb 2020 C	100,000,000	2019/11/04	2020/02/17	25.00
64261	Bank Vontobel - Geely Auto R Bull CBBC Jul 2020 A	40,000,000	2019/11/04	2020/07/17	10.00
64279	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 C	60,000,000	2019/11/04	2020/09/25	15.00
64265	Bank Vontobel - Meituan Dianping R Bear CBBC Sep 2020 A	60,000,000	2019/11/04	2020/09/18	19.20
64277	Bank Vontobel - Tencent R Bull CBBC Aug 2020 A	40,000,000	2019/11/04	2020/08/07	10.00
64658	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 I	150,000,000	2019/11/05	2021/11/29	37.50
64703	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 Y	250,000,000	2019/11/05	2021/12/30	62.50
64706	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 F	240,000,000	2019/11/05	2020/04/28	60.00
64701	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 C	50,000,000	2019/11/05	2020/11/27	12.50
64794	Credit Suisse AG - HSI R Bull CBBC Oct 2021 I	200,000,000	2019/11/05	2021/10/28	50.00
64804	Credit Suisse AG - HSI R Bear CBBC Feb 2020 G	200,000,000	2019/11/05	2020/02/27	50.00
64801	Credit Suisse AG - HSI R Bear CBBC Feb 2020 I	200,000,000	2019/11/05	2020/02/27	50.00
64607	Bank of East Asia - China Mobile R Bear CBBC Jun 2020 A	60,000,000	2019/11/05	2020/06/19	15.00
64590	Bank of East Asia - China Life R Bull CBBC Jul 2020 A	30,000,000	2019/11/05	2020/07/14	10.50
64605	Bank of East Asia - Galaxy Ent R Bull CBBC Jun 2020 B	100,000,000	2019/11/05	2020/06/19	25.00

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64604	Bank of East Asia - HSBC R Bear CBBC Jun 2020 A	50,000,000	2019/11/05	2020/06/19	12.50
64592	Bank of East Asia - Xiaomi R Bear CBBC Jul 2020 A	40,000,000	2019/11/05	2020/07/14	10.00
65018	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Jun 2020 A	60,000,000	2019/11/05	2020/06/29	15.00
65027	Goldman Sachs SP (Asia) - HSBC R Bear CBBC Jun 2020 A	60,000,000	2019/11/05	2020/06/29	15.00
64988	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 M	200,000,000	2019/11/05	2022/10/28	50.00
64997	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 N	200,000,000	2019/11/05	2022/10/28	50.00
64989	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/11/05	2020/02/27	50.00
65042	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Mar20A	50,000,000	2019/11/05	2020/03/30	12.50
64623	HK Bank - China Mobile R Bear CBBC Apr 2020 A	40,000,000	2019/11/05	2020/04/20	10.00
64634	HK Bank - CC Bank R Bear CBBC Apr 2020 A	40,000,000	2019/11/05	2020/04/06	10.00
64616	HK Bank - Geely Auto R Bull CBBC May 2020 A	40,000,000	2019/11/05	2020/05/11	10.00
64627	HK Bank - Galaxy Ent R Bear CBBC Apr 2020 A	40,000,000	2019/11/05	2020/04/20	10.00
64652	HK Bank - HKEX R Bear CBBC May 2020 C	100,000,000	2019/11/05	2020/05/11	25.00
64588	HK Bank - HSI R Bull CBBC Mar 2021 K	100,000,000	2019/11/05	2021/03/30	25.00
64589	HK Bank - HSI R Bear CBBC Mar 2020 W	60,000,000	2019/11/05	2020/03/30	15.00
64620	HK Bank - WH Group R Bull CBBC Apr 2020 D	40,000,000	2019/11/05	2020/04/14	10.00
64750	Haitong Int'l Sec - Geely Auto R Bull CBBC Sep 2020 A	50,000,000	2019/11/05	2020/09/30	12.50
64739	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 K	150,000,000	2019/11/05	2021/10/28	37.50
64718	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 W	150,000,000	2019/11/05	2022/01/28	37.50
64748	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 X	150,000,000	2019/11/05	2022/01/28	37.50
64722	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 H	150,000,000	2019/11/05	2020/02/27	37.50
64749	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 K	150,000,000	2019/11/05	2020/02/27	37.50
64719	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 I	50,000,000	2019/11/05	2020/04/23	12.50
64754	Haitong Int'l Sec - WH Group R Bull CBBC Apr 2020 A	50,000,000	2019/11/05	2020/04/27	12.50
64765	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/11/05	2021/09/29	50.00
64761	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/11/05	2021/09/29	50.00
64755	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 I	200,000,000	2019/11/05	2021/09/29	50.00
64771	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/11/05	2020/02/27	50.00
64758	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/11/05	2020/03/30	50.00
64852	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 Y	80,000,000	2019/11/05	2021/11/29	20.00
64847	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 Z	80,000,000	2019/11/05	2020/03/30	20.00
64876	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 C	80,000,000	2019/11/05	2020/05/28	20.00
64878	Morgan Stanley Asia Products - Tencent R Bull CBBC Dec2020 A	80,000,000	2019/11/05	2020/12/18	20.00
64880	Morgan Stanley Asia Products - Tencent R Bear CBBC Jul2020 A	80,000,000	2019/11/05	2020/07/02	20.00
64881	Morgan Stanley Asia Products - Tencent R Bear CBBC Dec2020 A	80,000,000	2019/11/05	2020/12/18	20.00
64884	SG Issuer - AAC Tech R Bull CBBC Apr 2020 E	100,000,000	2019/11/05	2020/04/28	25.00
64890	SG Issuer - Geely Auto R Bear CBBC Jul 2020 B	150,000,000	2019/11/05	2020/07/31	37.50
64973	SG Issuer - Galaxy Ent R Bear CBBC Apr 2020 E	100,000,000	2019/11/05	2020/04/28	25.00
64941	SG Issuer - HSI R Bull CBBC Jul 2021 V	250,000,000	2019/11/05	2021/07/29	62.50
64904	SG Issuer - HSI R Bull CBBC Aug 2021 C	250,000,000	2019/11/05	2021/08/30	62.50
64920	SG Issuer - HSI R Bull CBBC Sep 2021 I	250,000,000	2019/11/05	2021/09/29	62.50
64925	SG Issuer - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/11/05	2021/10/28	62.50
64933	SG Issuer - HSI R Bear CBBC Apr 2020 X	250,000,000	2019/11/05	2020/04/28	62.50
64966	SG Issuer - HSI R Bear CBBC Apr 2020 Z	250,000,000	2019/11/05	2020/04/28	62.50
64892	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 E	100,000,000	2019/11/05	2020/04/29	25.00

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64978	SG Issuer - Sunny Optical R Bear CBBC Apr 2020 A	40,000,000	2019/11/05	2020/04/28	10.00
64903	SG Issuer - Tencent R Bull CBBC Feb 2020 Q	80,000,000	2019/11/05	2020/02/28	20.00
64986	SG Issuer - Tencent R Bull CBBC Jul 2020 A	200,000,000	2019/11/05	2020/07/31	50.00
64659	UBS AG - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/11/05	2022/01/28	50.00
64666	UBS AG - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/11/05	2022/01/28	50.00
64667	UBS AG - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/11/05	2022/01/28	50.00
64668	UBS AG - HSI R Bear CBBC Feb 2020 T	200,000,000	2019/11/05	2020/02/27	50.00
64673	UBS AG - HSI R Bear CBBC Feb 2020 U	400,000,000	2019/11/05	2020/02/27	100.00
64678	UBS AG - Tencent R Bull CBBC Apr 2020 A	200,000,000	2019/11/05	2020/04/20	50.00
64698	UBS AG - Tencent R Bull CBBC Apr 2020 B	200,000,000	2019/11/05	2020/04/27	50.00
64843	Bank Vontobel - CNOOC R Bull CBBC Aug 2020 A	40,000,000	2019/11/05	2020/08/21	10.00
64825	Bank Vontobel - HSBC R Bear CBBC Jun 2020 A	40,000,000	2019/11/05	2020/06/19	10.00
65100	BOCI Asia Ltd. - China Life R Bull CBBC Feb 2020 A	40,000,000	2019/11/06	2020/02/24	10.00
65087	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 J	150,000,000	2019/11/06	2021/11/29	37.50
65089	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/11/06	2020/03/30	37.50
65097	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 G	150,000,000	2019/11/06	2020/03/30	37.50
65046	BNP Paribas Issuance B.V. - HSBC R Bear CBBC Sep 2020 A	150,000,000	2019/11/06	2020/09/29	37.50
65186	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 W	240,000,000	2019/11/06	2021/12/30	60.00
65189	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 G	240,000,000	2019/11/06	2020/04/28	60.00
65043	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 E	50,000,000	2019/11/06	2020/12/30	12.50
65160	Credit Suisse AG - HSI R Bull CBBC Oct 2021 O	200,000,000	2019/11/06	2021/10/28	50.00
65167	Credit Suisse AG - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/11/06	2021/12/30	50.00
65175	Credit Suisse AG - HSI R Bear CBBC Feb 2020 H	200,000,000	2019/11/06	2020/02/27	50.00
65176	Credit Suisse AG - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/11/06	2020/03/30	50.00
65161	Credit Suisse AG - Tencent R Bull CBBC Nov 2020 A	100,000,000	2019/11/06	2020/11/27	25.00
65288	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 O	200,000,000	2019/11/06	2022/10/28	50.00
65292	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/06	2020/02/27	50.00
65296	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 H	60,000,000	2019/11/06	2020/02/06	15.00
65048	HK Bank - HSI R Bear CBBC Mar 2020 X	60,000,000	2019/11/06	2020/03/30	15.00
65251	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 E	100,000,000	2019/11/06	2022/01/28	25.00
65218	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Y	150,000,000	2019/11/06	2022/01/28	37.50
65225	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Z	150,000,000	2019/11/06	2022/01/28	37.50
65252	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 M	150,000,000	2019/11/06	2020/02/27	37.50
65262	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 P	150,000,000	2019/11/06	2020/02/27	37.50
65200	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 H	200,000,000	2019/11/06	2021/09/29	50.00
65194	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/11/06	2021/10/28	50.00
65210	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/11/06	2020/03/30	50.00
65215	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/11/06	2020/03/30	50.00
65063	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 G	12,400,000	2019/11/06	2020/04/29	10.04
65064	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2020 F	11,100,000	2019/11/06	2020/04/29	10.02
65065	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2020 G	30,800,000	2019/11/06	2020/04/29	10.04
65286	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 E	80,000,000	2019/11/06	2021/12/30	20.00
65263	SG Issuer - AIA R Bull CBBC Apr 2020 I	60,000,000	2019/11/06	2020/04/29	15.00
65276	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/11/06	2021/11/29	62.50
65280	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/11/06	2021/12/30	62.50

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65274	SG Issuer - HSI R Bear CBBC Feb 2020 M	250,000,000	2019/11/06	2020/02/27	62.50
65284	SG Issuer - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/11/06	2020/04/28	62.50
65281	SG Issuer - Tencent R Bull CBBC Apr 2020 L	80,000,000	2019/11/06	2020/04/27	20.00
65282	SG Issuer - Tencent R Bull CBBC Apr 2020 M	80,000,000	2019/11/06	2020/04/27	20.00
65108	UBS AG - HSI R Bull CBBC Dec 2021 N	200,000,000	2019/11/06	2021/12/30	50.00
65120	UBS AG - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/11/06	2021/12/30	50.00
65119	UBS AG - HSI R Bull CBBC Dec 2021 Y	200,000,000	2019/11/06	2021/12/30	50.00
65139	UBS AG - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/11/06	2020/02/27	50.00
65148	UBS AG - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/11/06	2020/02/27	50.00
65076	Bank Vontobel - AAC Tech R Bear CBBC Jul 2020 A	40,000,000	2019/11/06	2020/07/10	10.00
65083	Bank Vontobel - China Life R Bull CBBC Jul 2020 C	40,000,000	2019/11/06	2020/07/24	10.00
65103	Bank Vontobel - HSI R Bear CBBC May 2020 H	80,000,000	2019/11/06	2020/05/28	20.00
65086	Bank Vontobel - ICBC R Bear CBBC Jun 2020 A	40,000,000	2019/11/06	2020/06/19	10.00
65071	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 G	60,000,000	2019/11/06	2020/08/07	15.00
65101	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 H	60,000,000	2019/11/06	2020/08/07	15.00
65379	BOCI Asia Ltd. - HKEX R Bull CBBC May 2020 B	40,000,000	2019/11/07	2020/05/29	10.00
65358	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 K	150,000,000	2019/11/07	2021/11/29	37.50
65382	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 H	150,000,000	2019/11/07	2020/03/30	37.50
65360	BOCI Asia Ltd. - Meituan Dianping R Bull CBBC Apr 2020 B	40,000,000	2019/11/07	2020/04/24	10.00
65361	BOCI Asia Ltd. - Meituan Dianping R Bull CBBC Apr 2020 C	40,000,000	2019/11/07	2020/04/24	10.40
65362	BOCI Asia Ltd. - Tencent R Bull CBBC Feb 2020 H	40,000,000	2019/11/07	2020/02/24	10.00
65373	BOCI Asia Ltd. - Tencent R Bear CBBC Feb 2020 D	40,000,000	2019/11/07	2020/02/24	10.00
65571	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 D	240,000,000	2019/11/07	2021/10/28	60.00
65564	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 X	250,000,000	2019/11/07	2021/10/28	62.50
65380	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 X	250,000,000	2019/11/07	2021/12/30	62.50
65314	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/11/07	2020/04/28	62.50
65318	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/11/07	2020/04/28	62.50
65575	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/11/07	2020/04/28	62.50
65537	Credit Suisse AG - AAC Tech R Bull CBBC Sep 2020 A	40,000,000	2019/11/07	2020/09/25	10.00
65526	Credit Suisse AG - AAC Tech R Bear CBBC Nov 2020 A	40,000,000	2019/11/07	2020/11/18	10.00
65546	Credit Suisse AG - HKEX R Bull CBBC Nov 2020 A	100,000,000	2019/11/07	2020/11/27	25.00
65547	Credit Suisse AG - HSI R Bull CBBC Sep 2021 R	200,000,000	2019/11/07	2021/09/29	50.00
65558	Credit Suisse AG - HSI R Bull CBBC Dec 2021 O	300,000,000	2019/11/07	2021/12/30	75.00
65512	Credit Suisse AG - HSI R Bear CBBC Feb 2020 O	200,000,000	2019/11/07	2020/02/27	50.00
65515	Credit Suisse AG - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/11/07	2020/03/30	50.00
65521	Credit Suisse AG - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/11/07	2020/03/30	50.00
65544	Credit Suisse AG - Sunny Optical R Bull CBBC Dec 2020 A	40,000,000	2019/11/07	2020/12/30	10.00
65545	Credit Suisse AG - Sunny Optical R Bear CBBC Nov 2020 A	40,000,000	2019/11/07	2020/11/06	10.00
65523	Credit Suisse AG - Sunny Optical R Bear CBBC Dec 2020 A	100,000,000	2019/11/07	2020/12/22	25.00
65711	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Mar 2020 A	60,000,000	2019/11/07	2020/03/30	15.00
65686	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 E	200,000,000	2019/11/07	2022/11/29	50.00
65678	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 U	200,000,000	2019/11/07	2020/02/27	50.00
65676	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/11/07	2020/02/27	50.00
65685	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/11/07	2020/03/30	50.00
65699	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 I	60,000,000	2019/11/07	2020/02/10	15.00



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65706	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 B	50,000,000	2019/11/07	2020/03/30	12.50
65357	HK Bank - AAC Tech R Bull CBBC Apr 2020 E	40,000,000	2019/11/07	2020/04/06	10.00
65351	HK Bank - China Life R Bull CBBC May 2020 B	40,000,000	2019/11/07	2020/05/04	10.00
65355	HK Bank - HKEX R Bull CBBC May 2020 F	40,000,000	2019/11/07	2020/05/14	10.00
65339	HK Bank - HSI R Bull CBBC Mar 2021 L	60,000,000	2019/11/07	2021/03/30	15.00
65331	HK Bank - HSI R Bear CBBC Mar 2020 Y	100,000,000	2019/11/07	2020/03/30	25.00
65330	HK Bank - HSI R Bear CBBC Jul 2020 D	100,000,000	2019/11/07	2020/07/30	25.00
65349	HK Bank - Sunny Optical R Bull CBBC May 2020 A	40,000,000	2019/11/07	2020/05/04	10.00
65299	HK Bank - Tencent R Bear CBBC Apr 2020 K	40,000,000	2019/11/07	2020/04/27	10.00
65412	Haitong Int'l Sec - AAC Tech R Bull CBBC Apr 2020 A	40,000,000	2019/11/07	2020/04/29	10.00
65403	Haitong Int'l Sec - HKEX R Bull CBBC Jun 2020 A	40,000,000	2019/11/07	2020/06/17	10.00
65394	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 C	150,000,000	2019/11/07	2021/10/28	37.50
65391	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 A	150,000,000	2019/11/07	2022/01/28	37.50
65400	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 I	150,000,000	2019/11/07	2020/02/27	37.50
65384	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 T	150,000,000	2019/11/07	2020/02/27	37.50
65386	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 W	150,000,000	2019/11/07	2020/02/27	37.50
65413	Haitong Int'l Sec - Sunny Optical R Bull CBBC Apr 2020 A	40,000,000	2019/11/07	2020/04/28	10.00
65424	Haitong Int'l Sec - Sunny Optical R Bear CBBC Apr 2020 B	40,000,000	2019/11/07	2020/04/29	10.00
65407	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 O	40,000,000	2019/11/07	2020/03/18	10.00
65471	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 M	200,000,000	2019/11/07	2021/09/29	50.00
65477	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/11/07	2021/09/29	50.00
65458	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/11/07	2020/03/30	50.00
65459	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/11/07	2020/03/30	50.00
65478	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/11/07	2020/03/30	50.00
65462	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/11/07	2020/03/30	50.00
65488	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 S	200,000,000	2019/11/07	2020/04/17	50.00
65712	Morgan Stanley Asia Products - HSI R Bull CBBC Oct 2021 K	80,000,000	2019/11/07	2021/10/28	20.00
65714	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 Z	80,000,000	2019/11/07	2021/11/29	20.00
65319	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 D	80,000,000	2019/11/07	2020/03/30	20.00
65618	SG Issuer - AAC Tech R Bear CBBC Apr 2020 E	100,000,000	2019/11/07	2020/04/29	25.00
65655	SG Issuer - HKEX R Bull CBBC Apr 2020 N	50,000,000	2019/11/07	2020/04/29	12.50
65599	SG Issuer - HSI R Bull CBBC Jul 2021 F	250,000,000	2019/11/07	2021/07/29	62.50
65666	SG Issuer - HSI R Bull CBBC Aug 2021 I	250,000,000	2019/11/07	2021/08/30	62.50
65602	SG Issuer - HSI R Bull CBBC Sep 2021 K	250,000,000	2019/11/07	2021/09/29	62.50
65590	SG Issuer - HSI R Bear CBBC Feb 2020 F	250,000,000	2019/11/07	2020/02/27	62.50
65593	SG Issuer - HSI R Bear CBBC Feb 2020 J	250,000,000	2019/11/07	2020/02/27	62.50
65596	SG Issuer - HSI R Bear CBBC Mar 2020 A	250,000,000	2019/11/07	2020/03/30	62.50
65613	SG Issuer - Ping An R Bull CBBC Mar 2020 K	100,000,000	2019/11/07	2020/03/27	25.00
65660	SG Issuer - Sunny Optical R Bull CBBC Apr 2020 A	40,000,000	2019/11/07	2020/04/29	10.00
65663	SG Issuer - Sunny Optical R Bear CBBC Apr 2020 B	40,000,000	2019/11/07	2020/04/29	12.00
65617	SG Issuer - Tencent R Bull CBBC Mar 2020 J	80,000,000	2019/11/07	2020/03/27	20.00
65598	SG Issuer - Tencent R Bear CBBC Mar 2020 F	80,000,000	2019/11/07	2020/03/30	20.00
65449	UBS AG - HSI R Bull CBBC Dec 2021 L	200,000,000	2019/11/07	2021/12/30	50.00
65434	UBS AG - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/11/07	2021/12/30	50.00
65322	UBS AG - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/07	2020/02/27	50.00

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65328	UBS AG - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/11/07	2020/02/27	50.00
65586	Bank Vontobel - AAC Tech R Bull CBBC Oct 2020 A	40,000,000	2019/11/07	2020/10/16	10.00
65585	Bank Vontobel - AAC Tech R Bear CBBC Oct 2020 A	40,000,000	2019/11/07	2020/10/16	10.00
65579	Bank Vontobel - HKEEX R Bull CBBC Jul 2020 C	40,000,000	2019/11/07	2020/07/10	10.00
65577	Bank Vontobel - HSI R Bull CBBC Apr 2021 Z	80,000,000	2019/11/07	2021/04/29	20.00
65583	Bank Vontobel - Sunny Optical R Bull CBBC Oct 2020 A	40,000,000	2019/11/07	2020/10/16	10.00
65737	BOCI Asia Ltd. - HSI R Bull CBBC Nov 2021 S	150,000,000	2019/11/08	2021/11/29	37.50
65732	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 A	150,000,000	2019/11/08	2020/03/30	37.50
65920	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 B	250,000,000	2019/11/08	2021/10/28	62.50
65728	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 G	250,000,000	2019/11/08	2021/10/28	62.50
65730	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 K	250,000,000	2019/11/08	2020/04/28	62.50
65928	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 L	240,000,000	2019/11/08	2020/04/28	60.00
65864	Credit Suisse AG - AIA R Bull CBBC Nov 2020 B	70,000,000	2019/11/08	2020/11/11	17.50
65876	Credit Suisse AG - AIA R Bull CBBC Dec 2020 A	70,000,000	2019/11/08	2020/12/03	17.50
65872	Credit Suisse AG - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/11/08	2021/10/28	50.00
65874	Credit Suisse AG - HSI R Bull CBBC Oct 2021 F	300,000,000	2019/11/08	2021/10/28	75.00
65852	Credit Suisse AG - HSI R Bear CBBC Feb 2020 P	200,000,000	2019/11/08	2020/02/27	50.00
65854	Credit Suisse AG - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/11/08	2020/03/30	50.00
65868	Credit Suisse AG - Sunny Optical R Bull CBBC Oct 2020 A	40,000,000	2019/11/08	2020/10/30	10.00
65742	Bank of East Asia - Meituan Dianping R Bear CBBC May 2020 A	50,000,000	2019/11/08	2020/05/11	12.50
65745	Bank of East Asia - Sunny Optical R Bull CBBC May 2020 A	40,000,000	2019/11/08	2020/05/11	10.00
66075	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 F	200,000,000	2019/11/08	2022/11/29	50.00
66072	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/11/08	2020/03/30	50.00
66086	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/11/08	2020/03/30	50.00
66052	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/11/08	2020/03/30	50.00
65715	HK Bank - AAC Tech R Bear CBBC Apr 2020 A	40,000,000	2019/11/08	2020/04/06	10.00
65718	HK Bank - Sinopec Corp R Bear CBBC Apr 2020 A	40,000,000	2019/11/08	2020/04/14	10.00
65719	HK Bank - HKEEX R Bear CBBC May 2020 D	100,000,000	2019/11/08	2020/05/25	25.00
65879	HK Bank - HSI R Bull CBBC Mar 2021 M	60,000,000	2019/11/08	2021/03/30	15.00
65725	HK Bank - HSI R Bear CBBC Mar 2020 Z	60,000,000	2019/11/08	2020/03/30	15.00
65724	HK Bank - Sunny Optical R Bull CBBC Apr 2020 D	40,000,000	2019/11/08	2020/04/06	10.00
65910	Haitong Int'l Sec - AAC Tech R Bear CBBC Jun 2020 A	80,000,000	2019/11/08	2020/06/10	20.00
65900	Haitong Int'l Sec - AIA R Bull CBBC Apr 2020 C	60,000,000	2019/11/08	2020/04/27	15.00
65904	Haitong Int'l Sec - China Tower R Bear CBBC May 2020 A	120,000,000	2019/11/08	2020/05/26	30.00
65886	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 C	150,000,000	2019/11/08	2022/01/28	37.50
65887	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 F	150,000,000	2019/11/08	2022/01/28	37.50
65885	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 N	150,000,000	2019/11/08	2020/02/27	37.50
65893	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Q	150,000,000	2019/11/08	2020/02/27	37.50
65894	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 U	150,000,000	2019/11/08	2020/02/27	37.50
65901	Haitong Int'l Sec - SUNAC R Bull CBBC Sep 2020 B	110,000,000	2019/11/08	2020/09/11	27.50
65951	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 U	200,000,000	2019/11/08	2021/09/29	50.00
65952	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 V	200,000,000	2019/11/08	2021/09/29	50.00
65963	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 W	200,000,000	2019/11/08	2021/09/29	50.00
65978	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/11/08	2020/03/30	50.00
65941	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/11/08	2020/03/30	50.00

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65967	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/11/08	2020/03/30	50.00
65933	J P Morgan SP BV - HSI R Bear CBBC Sep 2021 A	200,000,000	2019/11/08	2021/09/29	50.00
65771	Morgan Stanley Asia Products - CSOP A50 ETF R Bull CBBC May20A	40,000,000	2019/11/08	2020/05/04	10.00
65772	Morgan Stanley Asia Products - CSOP A50 ETF R Bull CBBC Jun20A	40,000,000	2019/11/08	2020/06/08	10.00
65773	Morgan Stanley Asia Products - CSOP A50 ETF R Bear CBBC Jun20A	40,000,000	2019/11/08	2020/06/01	10.00
65753	Morgan Stanley Asia Products - CSOP A50 ETF R Bear CBBC Jul20A	40,000,000	2019/11/08	2020/07/15	10.00
65788	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 M	80,000,000	2019/11/08	2021/11/29	20.00
66010	SG Issuer - China Life R Bull CBBC Apr 2020 B	40,000,000	2019/11/08	2020/04/29	10.00
66028	SG Issuer - HSI R Bull CBBC Jul 2021 G	250,000,000	2019/11/08	2021/07/29	62.50
66030	SG Issuer - HSI R Bull CBBC Jul 2021 H	250,000,000	2019/11/08	2021/07/29	62.50
66041	SG Issuer - HSI R Bull CBBC Aug 2021 L	250,000,000	2019/11/08	2021/08/30	62.50
65992	SG Issuer - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/11/08	2020/03/30	62.50
66006	SG Issuer - HSI R Bear CBBC Mar 2020 N	250,000,000	2019/11/08	2020/03/30	62.50
66013	SG Issuer - HSI R Bear CBBC Mar 2020 Q	250,000,000	2019/11/08	2020/03/30	62.50
66042	SG Issuer - Sunny Optical R Bull CBBC Apr 2020 B	40,000,000	2019/11/08	2020/04/29	10.00
65843	UBS AG - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/11/08	2022/01/28	50.00
65793	UBS AG - HSI R Bear CBBC Feb 2020 A	200,000,000	2019/11/08	2020/02/27	50.00
65798	UBS AG - HSI R Bear CBBC Feb 2020 B	200,000,000	2019/11/08	2020/02/27	50.00
65819	UBS AG - HSI R Bear CBBC Feb 2020 C	200,000,000	2019/11/08	2020/02/27	50.00
65832	UBS AG - HSI R Bear CBBC Feb 2020 D	200,000,000	2019/11/08	2020/02/27	50.00
65789	UBS AG - HSI R Bear CBBC Feb 2020 Z	200,000,000	2019/11/08	2020/02/27	50.00
65838	UBS AG - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/11/08	2020/03/30	50.00
65984	Bank Vontobel - HSI R Bull CBBC Apr 2021 X	80,000,000	2019/11/08	2021/04/29	20.00
65989	Bank Vontobel - Sunny Optical R Bull CBBC Oct 2020 B	40,000,000	2019/11/08	2020/10/09	10.00
65991	Bank Vontobel - Sunny Optical R Bear CBBC Jun 2020 A	30,000,000	2019/11/08	2020/06/12	10.20
66109	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 A	150,000,000	2019/11/11	2021/12/30	37.50
66111	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 B	150,000,000	2019/11/11	2021/12/30	37.50
66114	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 C	150,000,000	2019/11/11	2021/12/30	37.50
66115	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 D	150,000,000	2019/11/11	2021/12/30	37.50
66105	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 B	150,000,000	2019/11/11	2020/03/30	37.50
66119	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 C	150,000,000	2019/11/11	2020/03/30	37.50
66525	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec20 C	60,000,000	2019/11/11	2020/12/30	15.00
66527	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec20 D	60,000,000	2019/11/11	2020/12/30	15.00
66509	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 O	50,000,000	2019/11/11	2020/09/29	12.50
66510	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 P	50,000,000	2019/11/11	2020/09/29	12.50
66251	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 A	250,000,000	2019/11/11	2021/10/28	62.50
66239	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 C	250,000,000	2019/11/11	2021/10/28	62.50
66446	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/11/11	2021/10/28	62.50
66243	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 W	250,000,000	2019/11/11	2021/10/28	62.50
66475	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/11/11	2020/04/28	62.50
66089	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 M	250,000,000	2019/11/11	2020/04/28	62.50
66094	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 N	250,000,000	2019/11/11	2020/04/28	62.50
66102	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 O	250,000,000	2019/11/11	2020/04/28	62.50
66258	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 P	250,000,000	2019/11/11	2020/04/28	62.50
66447	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 Q	240,000,000	2019/11/11	2020/04/28	60.00

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66448	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/11/11	2020/04/28	62.50
66452	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 S	250,000,000	2019/11/11	2020/04/28	62.50
66460	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 T	250,000,000	2019/11/11	2020/04/28	62.50
66449	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 U	250,000,000	2019/11/11	2020/04/28	62.50
66465	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 V	250,000,000	2019/11/11	2020/04/28	62.50
66469	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 W	250,000,000	2019/11/11	2020/04/28	62.50
66470	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 X	250,000,000	2019/11/11	2020/04/28	62.50
66473	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/11/11	2020/04/28	62.50
66479	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 Z	250,000,000	2019/11/11	2020/04/28	62.50
66518	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 G	50,000,000	2019/11/11	2020/12/30	12.50
66531	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Sep 2020 E	200,000,000	2019/11/11	2020/09/29	50.00
66265	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 E	50,000,000	2019/11/11	2020/11/27	12.50
66275	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 F	50,000,000	2019/11/11	2020/12/30	12.50
66279	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 G	50,000,000	2019/11/11	2020/12/30	12.50
66624	Credit Suisse AG - AIA R Bull CBBC Sep 2020 A	70,000,000	2019/11/11	2020/09/29	17.50
66585	Credit Suisse AG - CC Bank R Bull CBBC Sep 2020 B	100,000,000	2019/11/11	2020/09/29	25.00
66656	Credit Suisse AG - HSI R Bull CBBC Sep 2021 S	200,000,000	2019/11/11	2021/09/29	50.00
66641	Credit Suisse AG - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/11/11	2021/10/28	50.00
66629	Credit Suisse AG - HSI R Bull CBBC Oct 2021 V	200,000,000	2019/11/11	2021/10/28	50.00
66642	Credit Suisse AG - HSI R Bull CBBC Nov 2021 D	200,000,000	2019/11/11	2021/11/29	50.00
66638	Credit Suisse AG - HSI R Bull CBBC Dec 2021 R	300,000,000	2019/11/11	2021/12/30	75.00
66567	Credit Suisse AG - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/11/11	2020/02/27	50.00
66573	Credit Suisse AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/11/11	2020/03/30	50.00
66579	Credit Suisse AG - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/11/11	2020/03/30	50.00
66582	Credit Suisse AG - HSI R Bear CBBC Apr 2020 H	200,000,000	2019/11/11	2020/04/28	50.00
66581	Credit Suisse AG - HSI R Bear CBBC Jun 2020 A	300,000,000	2019/11/11	2020/06/29	75.00
66594	Credit Suisse AG - ICBC R Bull CBBC Sep 2020 C	100,000,000	2019/11/11	2020/09/29	25.00
66601	Credit Suisse AG - Meituan Dianping R Bull CBBC Jul 2020 A	80,000,000	2019/11/11	2020/07/30	20.00
66596	Credit Suisse AG - Ping An R Bull CBBC Jul 2020 A	80,000,000	2019/11/11	2020/07/30	20.00
66623	Credit Suisse AG - Tencent R Bull CBBC Jul 2020 A	100,000,000	2019/11/11	2020/07/30	25.00
66861	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 G	200,000,000	2019/11/11	2022/11/29	50.00
66863	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 H	200,000,000	2019/11/11	2022/11/29	50.00
66884	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 I	200,000,000	2019/11/11	2022/11/29	50.00
66891	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 J	200,000,000	2019/11/11	2022/11/29	50.00
66829	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/11/11	2020/03/30	50.00
66843	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/11/11	2020/03/30	50.00
66850	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/11/11	2020/03/30	50.00
66859	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/11/11	2020/03/30	50.00
66894	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2020 A	80,000,000	2019/11/11	2020/07/15	20.00
66892	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 J	80,000,000	2019/11/11	2020/02/11	20.00
66197	HK Bank - AIA R Bull CBBC Mar 2020 D	60,000,000	2019/11/11	2020/03/30	15.00
66173	HK Bank - CSOP A50 ETF R Bull CBBC May 2020 D	40,000,000	2019/11/11	2020/05/25	10.00
66190	HK Bank - A50 China R Bull CBBC May 2020 D	40,000,000	2019/11/11	2020/05/25	10.00
66214	HK Bank - Galaxy Ent R Bull CBBC Jun 2020 C	60,000,000	2019/11/11	2020/06/01	15.00
66165	HK Bank - HSCEI R Bull CBBC Mar 2021 B	50,000,000	2019/11/11	2021/03/30	12.50

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
66174	HK Bank - HSCEI R Bear CBBC Mar 2020 D	50,000,000	2019/11/11	2020/03/30	12.50
66444	HK Bank - HSI R Bull CBBC Mar 2021 N	60,000,000	2019/11/11	2021/03/30	15.00
66445	HK Bank - HSI R Bull CBBC Mar 2021 O	100,000,000	2019/11/11	2021/03/30	25.00
66185	HK Bank - HSI R Bear CBBC Mar 2020 C	60,000,000	2019/11/11	2020/03/30	15.00
66161	HK Bank - HSI R Bear CBBC Mar 2020 D	80,000,000	2019/11/11	2020/03/30	20.00
66178	HK Bank - HSI R Bear CBBC Mar 2020 E	60,000,000	2019/11/11	2020/03/30	15.00
66183	HK Bank - HSI R Bear CBBC Mar 2020 F	60,000,000	2019/11/11	2020/03/30	15.00
66172	HK Bank - HSI R Bear CBBC Jul 2020 E	100,000,000	2019/11/11	2020/07/30	25.00
66228	HK Bank - Meituan Dianping R Bull CBBC Mar 2020 E	40,000,000	2019/11/11	2020/03/23	10.00
66224	HK Bank - Ping An R Bull CBBC Jul 2020 C	60,000,000	2019/11/11	2020/07/27	15.00
66232	HK Bank - Sands China R Bull CBBC Jul 2020 A	50,000,000	2019/11/11	2020/07/06	12.50
66201	HK Bank - SUNAC R Bull CBBC Apr 2020 C	40,000,000	2019/11/11	2020/04/20	10.00
66207	HK Bank - SUNAC R Bear CBBC Apr 2020 B	40,000,000	2019/11/11	2020/04/06	10.00
66208	HK Bank - Tencent R Bull CBBC Apr 2020 O	40,000,000	2019/11/11	2020/04/14	10.00
66142	HK Bank - Tencent R Bear CBBC Mar 2020 L	40,000,000	2019/11/11	2020/03/26	10.00
66237	HK Bank - WH Group R Bull CBBC Jul 2020 A	40,000,000	2019/11/11	2020/07/06	10.00
66550	Haitong Int'l Sec - CM Bank R Bull CBBC Jul 2020 A	50,000,000	2019/11/11	2020/07/29	12.50
66540	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC May 2020 A	40,000,000	2019/11/11	2020/05/04	10.00
66566	Haitong Int'l Sec - CSOP A50 ETF R Bear CBBC Jun 2020 A	40,000,000	2019/11/11	2020/06/08	10.00
66291	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 G	150,000,000	2019/11/11	2022/01/28	37.50
66284	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 J	150,000,000	2019/11/11	2022/01/28	37.50
66293	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 L	150,000,000	2019/11/11	2022/01/28	37.50
66295	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 O	150,000,000	2019/11/11	2022/01/28	37.50
66297	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 B	150,000,000	2019/11/11	2020/02/27	37.50
66296	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 D	150,000,000	2019/11/11	2020/02/27	37.50
66280	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 X	150,000,000	2019/11/11	2020/02/27	37.50
66282	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Y	150,000,000	2019/11/11	2020/02/27	37.50
66549	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Apr 2020 J	50,000,000	2019/11/11	2020/04/29	12.50
66548	Haitong Int'l Sec - Ping An R Bull CBBC Jul 2020 B	50,000,000	2019/11/11	2020/07/03	12.50
66538	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 P	40,000,000	2019/11/11	2020/03/09	10.00
66440	J P Morgan SP BV - HSCEI R Bull CBBC Nov 2021 E	200,000,000	2019/11/11	2021/11/29	50.00
66423	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/11/11	2021/09/29	50.00
66419	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/11/11	2021/09/29	50.00
66416	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/11/11	2021/09/29	50.00
66425	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/11/11	2022/01/28	50.00
66429	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/11/11	2022/01/28	50.00
66430	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/11/11	2022/01/28	50.00
66299	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/11	2020/02/27	50.00
66301	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/11/11	2020/02/27	50.00
66306	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/11/11	2020/03/30	50.00
66313	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/11/11	2020/03/30	50.00
66386	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/11/11	2020/03/30	50.00
66387	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/11/11	2020/03/30	50.00
66384	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/11/11	2020/03/30	50.00
66389	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/11/11	2020/03/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66305	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/11/11	2020/03/30	50.00
66390	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/11/11	2020/03/30	50.00
66314	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 D	200,000,000	2019/11/11	2020/04/28	55.00
66339	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 F	200,000,000	2019/11/11	2020/04/28	65.00
66342	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 G	200,000,000	2019/11/11	2020/04/28	75.00
66394	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 H	200,000,000	2019/11/11	2020/04/28	50.00
66395	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 I	200,000,000	2019/11/11	2020/04/28	50.00
66404	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/11/11	2020/04/28	50.00
66405	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 L	200,000,000	2019/11/11	2020/04/28	50.00
66411	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 M	200,000,000	2019/11/11	2020/04/28	50.00
66414	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 N	200,000,000	2019/11/11	2020/04/28	50.00
66346	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 F	60,000,000	2019/11/11	2020/05/15	15.00
66348	J P Morgan SP BV - Meituan Dianping R Bear CBBC Apr 2020 B	60,000,000	2019/11/11	2020/04/17	15.00
66377	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 T	50,000,000	2019/11/11	2020/04/09	12.50
66383	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 U	200,000,000	2019/11/11	2020/04/17	50.00
66533	Morgan Stanley Asia Products - HKEX R Bull CBBC Jul 2020 A	40,000,000	2019/11/11	2020/07/02	10.00
66330	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 I	80,000,000	2019/11/11	2021/11/29	20.00
66350	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 G	80,000,000	2019/11/11	2021/12/30	20.00
66120	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 E	80,000,000	2019/11/11	2020/03/30	20.00
66341	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 G	80,000,000	2019/11/11	2020/03/30	20.00
66345	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 H	80,000,000	2019/11/11	2020/03/30	20.00
66132	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 G	80,000,000	2019/11/11	2020/04/28	20.00
66347	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 H	80,000,000	2019/11/11	2020/04/28	20.00
66794	SG Issuer - AIA R Bull CBBC Mar 2020 D	60,000,000	2019/11/11	2020/03/31	15.00
66747	SG Issuer - HKEX R Bull CBBC Apr 2020 O	50,000,000	2019/11/11	2020/04/29	12.50
66782	SG Issuer - HSI R Bull CBBC May 2021 I	250,000,000	2019/11/11	2021/05/28	62.50
66784	SG Issuer - HSI R Bull CBBC Jul 2021 I	250,000,000	2019/11/11	2021/07/29	62.50
66786	SG Issuer - HSI R Bull CBBC Jul 2021 J	250,000,000	2019/11/11	2021/07/29	62.50
66788	SG Issuer - HSI R Bull CBBC Aug 2021 O	250,000,000	2019/11/11	2021/08/30	62.50
66769	SG Issuer - HSI R Bear CBBC Feb 2020 G	250,000,000	2019/11/11	2020/02/27	62.50
66694	SG Issuer - HSI R Bear CBBC Feb 2020 N	250,000,000	2019/11/11	2020/02/27	62.50
66766	SG Issuer - HSI R Bear CBBC Feb 2020 R	250,000,000	2019/11/11	2020/02/27	62.50
66695	SG Issuer - HSI R Bear CBBC Mar 2020 B	250,000,000	2019/11/11	2020/03/30	62.50
66739	SG Issuer - HSI R Bear CBBC Mar 2020 R	250,000,000	2019/11/11	2020/03/30	62.50
66696	SG Issuer - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/11/11	2020/04/28	62.50
66780	SG Issuer - HSI R Bear CBBC Apr 2020 D	250,000,000	2019/11/11	2020/04/28	62.50
66716	SG Issuer - HSI R Bear CBBC Apr 2020 K	250,000,000	2019/11/11	2020/04/28	62.50
66735	SG Issuer - HSI R Bear CBBC Apr 2020 W	250,000,000	2019/11/11	2020/04/28	62.50
66781	SG Issuer - HSI R Bear CBBC May 2020 P	250,000,000	2019/11/11	2020/05/28	62.50
66798	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 F	100,000,000	2019/11/11	2020/04/06	25.00
66796	SG Issuer - Meituan Dianping R Bear CBBC Apr 2020 A	100,000,000	2019/11/11	2020/04/03	25.00
66806	SG Issuer - Meituan Dianping R Bear CBBC May 2020 A	100,000,000	2019/11/11	2020/05/28	25.00
66757	SG Issuer - Ping An R Bull CBBC Apr 2020 J	100,000,000	2019/11/11	2020/04/29	25.00
66736	SG Issuer - Ping An R Bear CBBC Apr 2020 F	100,000,000	2019/11/11	2020/04/17	25.00
66807	SG Issuer - Tencent R Bull CBBC Mar 2020 K	80,000,000	2019/11/11	2020/03/31	20.00



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66497	UBS AG - HSI R Bull CBBC Dec 2021 S	200,000,000	2019/11/11	2021/12/30	50.00
66493	UBS AG - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/11/11	2022/01/28	50.00
66498	UBS AG - HSI R Bull CBBC Feb 2022 A	200,000,000	2019/11/11	2022/02/25	50.00
66483	UBS AG - HSI R Bear CBBC Feb 2020 E	200,000,000	2019/11/11	2020/02/27	50.00
66482	UBS AG - HSI R Bear CBBC Feb 2020 F	200,000,000	2019/11/11	2020/02/27	50.00
66484	UBS AG - HSI R Bear CBBC Feb 2020 G	200,000,000	2019/11/11	2020/02/27	50.00
66486	UBS AG - HSI R Bear CBBC Feb 2020 H	200,000,000	2019/11/11	2020/02/27	50.00
66490	UBS AG - HSI R Bear CBBC Feb 2020 I	200,000,000	2019/11/11	2020/02/27	50.00
66491	UBS AG - HSI R Bear CBBC Feb 2020 J	200,000,000	2019/11/11	2020/02/27	50.00
66505	UBS AG - Tencent R Bull CBBC Apr 2020 C	200,000,000	2019/11/11	2020/04/22	50.00
66681	Bank Vontobel - AIA R Bull CBBC Sep 2020 B	40,000,000	2019/11/11	2020/09/25	10.00
66682	Bank Vontobel - Galaxy Ent R Bull CBBC Jun 2020 A	40,000,000	2019/11/11	2020/06/19	10.00
66661	Bank Vontobel - HSI N Bull CBBC Apr 2021 V	80,000,000	2019/11/11	2021/04/29	20.00
66674	Bank Vontobel - HSI N Bull CBBC May 2021 J	80,000,000	2019/11/11	2021/05/28	20.00
66686	Bank Vontobel - HSI N Bull CBBC May 2021 K	80,000,000	2019/11/11	2021/05/28	20.00
66666	Bank Vontobel - HSI N Bear CBBC Apr 2020 G	80,000,000	2019/11/11	2020/04/28	20.00
66673	Bank Vontobel - HSI R Bull CBBC May 2021 I	80,000,000	2019/11/11	2021/05/28	20.00
66683	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 I	60,000,000	2019/11/11	2020/08/14	15.00
66677	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 D	60,000,000	2019/11/11	2020/09/04	15.00
67044	BOCI Asia Ltd. - HKEX R Bull CBBC Jun 2020 A	40,000,000	2019/11/12	2020/06/01	10.00
67005	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 E	150,000,000	2019/11/12	2021/12/30	37.50
67031	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 F	150,000,000	2019/11/12	2021/12/30	37.50
67035	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 G	150,000,000	2019/11/12	2021/12/30	37.50
66995	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 I	150,000,000	2019/11/12	2020/03/30	37.50
67047	BOCI Asia Ltd. - Ping An R Bull CBBC Apr 2020 A	70,000,000	2019/11/12	2020/04/27	17.50
66999	BOCI Asia Ltd. - Tencent R Bull CBBC Mar 2020 A	40,000,000	2019/11/12	2020/03/09	10.00
67003	BOCI Asia Ltd. - Tencent R Bear CBBC Mar 2020 A	40,000,000	2019/11/12	2020/03/09	10.00
67276	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 F	250,000,000	2019/11/12	2021/10/28	62.50
67279	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 H	250,000,000	2019/11/12	2021/10/28	62.50
67281	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 I	240,000,000	2019/11/12	2021/10/28	60.00
66916	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 B	250,000,000	2019/11/12	2020/04/28	62.50
67277	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/11/12	2020/04/28	62.50
67321	Credit Suisse AG - CSOP A50 ETF R Bull CBBC May 2020 B	50,000,000	2019/11/12	2020/05/28	12.50
67332	Credit Suisse AG - Galaxy Ent R Bull CBBC May 2020 A	50,000,000	2019/11/12	2020/05/28	12.50
67305	Credit Suisse AG - HSI R Bull CBBC Nov 2021 F	200,000,000	2019/11/12	2021/11/29	50.00
67314	Credit Suisse AG - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/11/12	2021/11/29	50.00
67315	Credit Suisse AG - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/11/12	2021/11/29	50.00
67312	Credit Suisse AG - HSI R Bull CBBC Feb 2022 A	300,000,000	2019/11/12	2022/02/25	75.00
67343	Credit Suisse AG - HSI R Bear CBBC Feb 2020 F	200,000,000	2019/11/12	2020/02/27	50.00
67336	Credit Suisse AG - HSI R Bear CBBC Feb 2020 T	200,000,000	2019/11/12	2020/02/27	50.00
67283	Credit Suisse AG - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/11/12	2020/04/28	50.00
67288	Credit Suisse AG - HSI R Bear CBBC Apr 2020 M	200,000,000	2019/11/12	2020/04/28	50.00
67296	Credit Suisse AG - HSI R Bear CBBC Apr 2020 N	200,000,000	2019/11/12	2020/04/28	50.00
67298	Credit Suisse AG - HSI R Bear CBBC Apr 2020 O	200,000,000	2019/11/12	2020/04/28	50.00
67289	Credit Suisse AG - HSI R Bear CBBC May 2020 J	200,000,000	2019/11/12	2020/05/28	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67303	Credit Suisse AG - HSI R Bear CBBC May 2020 K	200,000,000	2019/11/12	2020/05/28	50.00
67304	Credit Suisse AG - HSI R Bear CBBC May 2020 L	200,000,000	2019/11/12	2020/05/28	50.00
67325	Credit Suisse AG - Tencent R Bull CBBC Apr 2020 B	100,000,000	2019/11/12	2020/04/28	25.00
67111	Bank of East Asia - AIA R Bull CBBC Jul 2020 B	60,000,000	2019/11/12	2020/07/28	15.00
67122	Bank of East Asia - Geely Auto R Bull CBBC May 2020 C	40,000,000	2019/11/12	2020/05/29	10.00
67119	Bank of East Asia - Geely Auto R Bear CBBC Jul 2020 A	40,000,000	2019/11/12	2020/07/28	10.00
67127	Bank of East Asia - HKEX R Bull CBBC Jul 2020 A	30,000,000	2019/11/12	2020/07/28	10.50
67087	Bank of East Asia - Meituan Dianping R Bull CBBC Jul 2020 C	50,000,000	2019/11/12	2020/07/28	12.50
67086	Bank of East Asia - Meituan Dianping R Bear CBBC Jul 2020 C	50,000,000	2019/11/12	2020/07/28	12.50
67118	Bank of East Asia - Ping An R Bear CBBC Jul 2020 A	80,000,000	2019/11/12	2020/07/28	20.00
67103	Bank of East Asia - Tencent R Bull CBBC Jul 2020 B	30,000,000	2019/11/12	2020/07/28	10.50
67108	Bank of East Asia - Tencent R Bear CBBC Jul 2020 B	30,000,000	2019/11/12	2020/07/28	10.50
67349	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 K	200,000,000	2019/11/12	2022/11/29	50.00
67353	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 L	200,000,000	2019/11/12	2022/11/29	50.00
67355	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 M	200,000,000	2019/11/12	2022/11/29	50.00
67356	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 N	200,000,000	2019/11/12	2022/11/29	50.00
67361	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 O	200,000,000	2019/11/12	2022/11/29	50.00
66906	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 M	200,000,000	2019/11/12	2020/04/28	50.00
66910	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 N	200,000,000	2019/11/12	2020/04/28	50.00
67365	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Jun20A	50,000,000	2019/11/12	2020/06/30	12.50
67363	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 K	80,000,000	2019/11/12	2020/02/12	20.00
67367	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 L	80,000,000	2019/11/12	2020/02/12	20.00
66895	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Feb 2020 M	60,000,000	2019/11/12	2020/02/28	15.00
66954	HK Bank - AIA R Bull CBBC Apr 2020 E	60,000,000	2019/11/12	2020/04/27	15.00
66979	HK Bank - CC Bank R Bull CBBC Mar 2020 B	60,000,000	2019/11/12	2020/03/30	15.00
66955	HK Bank - China Life R Bull CBBC Mar 2020 C	40,000,000	2019/11/12	2020/03/30	10.00
66976	HK Bank - HKEX R Bull CBBC May 2020 G	40,000,000	2019/11/12	2020/05/28	10.00
66956	HK Bank - HSI R Bull CBBC Mar 2021 P	80,000,000	2019/11/12	2021/03/30	20.00
66993	HK Bank - HSI R Bull CBBC Mar 2021 Q	60,000,000	2019/11/12	2021/03/30	15.00
66947	HK Bank - HSI R Bear CBBC Mar 2020 G	60,000,000	2019/11/12	2020/03/30	15.00
66966	HK Bank - HSI R Bear CBBC Jul 2020 F	100,000,000	2019/11/12	2020/07/30	25.00
66970	HK Bank - HSI R Bear CBBC Jul 2020 G	100,000,000	2019/11/12	2020/07/30	25.00
66977	HK Bank - HSI R Bear CBBC Jul 2020 H	100,000,000	2019/11/12	2020/07/30	25.00
66973	HK Bank - Sunny Optical R Bull CBBC May 2020 B	40,000,000	2019/11/12	2020/05/11	10.00
66992	HK Bank - Tencent R Bull CBBC Apr 2020 P	40,000,000	2019/11/12	2020/04/06	10.00
66942	HK Bank - Tencent R Bear CBBC Apr 2020 L	40,000,000	2019/11/12	2020/04/14	10.00
67261	Haitong Int'l Sec - China Life R Bull CBBC Apr 2020 B	40,000,000	2019/11/12	2020/04/21	10.00
67229	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 E	150,000,000	2019/11/12	2021/10/28	37.50
67230	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 O	150,000,000	2019/11/12	2021/10/28	37.50
67221	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Q	150,000,000	2019/11/12	2022/01/28	37.50
67231	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 F	150,000,000	2019/11/12	2020/02/27	37.50
67232	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 J	150,000,000	2019/11/12	2020/02/27	37.50
67217	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 R	150,000,000	2019/11/12	2020/02/27	37.50
67235	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 K	150,000,000	2019/11/12	2020/03/30	37.50
67236	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 L	150,000,000	2019/11/12	2020/03/30	37.50

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67243	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 M	150,000,000	2019/11/12	2020/03/30	37.50
67267	Haitong Int'l Sec - Ping An R Bear CBBC Mar 2020 G	50,000,000	2019/11/12	2020/03/18	12.50
67272	Haitong Int'l Sec - Sunny Optical R Bear CBBC Apr 2020 C	40,000,000	2019/11/12	2020/04/24	10.00
67260	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 Q	40,000,000	2019/11/12	2020/03/06	10.00
67344	J P Morgan SP BV - HKEX R Bull CBBC Jun 2020 A	150,000,000	2019/11/12	2020/06/12	37.50
67167	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 D	200,000,000	2019/11/12	2022/01/28	50.00
67169	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/11/12	2022/01/28	50.00
67176	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/11/12	2022/01/28	50.00
67179	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/11/12	2022/01/28	50.00
67192	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/11/12	2022/01/28	50.00
67163	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/11/12	2020/02/27	50.00
67170	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 Z	200,000,000	2019/11/12	2020/02/27	50.00
67171	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/11/12	2020/03/30	50.00
67172	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/11/12	2020/03/30	50.00
67174	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/11/12	2020/03/30	50.00
67175	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 O	200,000,000	2019/11/12	2020/04/28	50.00
66929	Macquarie Bank Ltd. - Tencent R Bull CBBC Mar 2020 M	38,500,000	2019/11/12	2020/03/31	10.01
66920	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 H	11,500,000	2019/11/12	2020/04/29	10.03
67399	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 J	80,000,000	2019/11/12	2021/11/29	20.00
67384	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 I	80,000,000	2019/11/12	2020/02/27	20.00
67388	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 J	80,000,000	2019/11/12	2020/02/27	20.00
67397	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 F	80,000,000	2019/11/12	2020/03/30	20.00
67398	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 I	80,000,000	2019/11/12	2020/04/28	20.00
67368	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 D	80,000,000	2019/11/12	2020/05/28	20.00
67369	Morgan Stanley Asia Products - HSI R Bear CBBC Jun 2020 A	80,000,000	2019/11/12	2020/06/29	20.00
67432	SG Issuer - AAC Tech R Bull CBBC Jul 2020 A	100,000,000	2019/11/12	2020/07/28	25.00
67403	SG Issuer - CNOOC R Bull CBBC Apr 2020 A	40,000,000	2019/11/12	2020/04/29	10.00
67413	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 O	100,000,000	2019/11/12	2020/04/28	25.00
67400	SG Issuer - Geely Auto R Bull CBBC Apr 2020 C	40,000,000	2019/11/12	2020/04/29	10.00
67421	SG Issuer - HSI R Bull CBBC May 2021 E	250,000,000	2019/11/12	2021/05/28	62.50
67428	SG Issuer - HSI R Bull CBBC May 2021 Z	250,000,000	2019/11/12	2021/05/28	62.50
67410	SG Issuer - HSI R Bull CBBC Aug 2021 S	250,000,000	2019/11/12	2021/08/30	62.50
67411	SG Issuer - HSI R Bull CBBC Sep 2021 C	250,000,000	2019/11/12	2021/09/29	62.50
67415	SG Issuer - HSI R Bear CBBC Feb 2020 Q	250,000,000	2019/11/12	2020/02/27	62.50
67414	SG Issuer - HSI R Bear CBBC Feb 2020 U	250,000,000	2019/11/12	2020/02/27	62.50
67402	SG Issuer - HSI R Bear CBBC Feb 2020 Y	250,000,000	2019/11/12	2020/02/27	62.50
67416	SG Issuer - HSI R Bear CBBC Mar 2020 X	250,000,000	2019/11/12	2020/03/30	62.50
67418	SG Issuer - HSI R Bear CBBC Apr 2020 L	250,000,000	2019/11/12	2020/04/28	62.50
67434	SG Issuer - ICBC R Bull CBBC Jul 2020 G	100,000,000	2019/11/12	2020/07/31	25.00
67406	SG Issuer - Ping An R Bull CBBC Apr 2020 K	100,000,000	2019/11/12	2020/04/29	25.00
67437	SG Issuer - Sands China R Bull CBBC Jun 2020 A	80,000,000	2019/11/12	2020/06/29	20.00
67401	SG Issuer - SUNAC R Bull CBBC Sep 2020 A	80,000,000	2019/11/12	2020/09/28	20.00
67409	SG Issuer - Tencent R Bull CBBC Apr 2020 N	80,000,000	2019/11/12	2020/04/29	20.00
67438	SG Issuer - WH Group R Bull CBBC Jul 2020 A	40,000,000	2019/11/12	2020/07/28	10.00
67082	UBS AG - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/11/12	2021/11/29	50.00

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67055	UBS AG - HSI R Bear CBBC Feb 2020 K	200,000,000	2019/11/12	2020/02/27	50.00
67061	UBS AG - HSI R Bear CBBC Feb 2020 L	200,000,000	2019/11/12	2020/02/27	50.00
67062	UBS AG - HSI R Bear CBBC Feb 2020 M	200,000,000	2019/11/12	2020/02/27	50.00
67077	UBS AG - HSI R Bear CBBC Feb 2020 N	200,000,000	2019/11/12	2020/02/27	50.00
67078	UBS AG - HSI R Bear CBBC Feb 2020 O	200,000,000	2019/11/12	2020/02/27	50.00
67081	UBS AG - HSI R Bear CBBC Feb 2020 P	200,000,000	2019/11/12	2020/02/27	50.00
67085	UBS AG - Tencent R Bull CBBC Apr 2020 D	200,000,000	2019/11/12	2020/04/23	50.00
67162	Bank Vontobel - China Tower R Bull CBBC Jul 2020 B	40,000,000	2019/11/12	2020/07/24	10.00
67146	Bank Vontobel - HSI R Bull CBBC May 2021 L	80,000,000	2019/11/12	2021/05/28	20.00
67147	Bank Vontobel - HSI R Bull CBBC May 2021 M	80,000,000	2019/11/12	2021/05/28	20.00
67149	Bank Vontobel - HSI R Bull CBBC May 2021 N	80,000,000	2019/11/12	2021/05/28	20.00
67145	Bank Vontobel - Meituan Dianping R Bear CBBC Aug 2020 E	60,000,000	2019/11/12	2020/08/07	15.00
67132	Bank Vontobel - Sands China R Bull CBBC Jul 2020 D	40,000,000	2019/11/12	2020/07/17	10.00
67143	Bank Vontobel - Sands China R Bull CBBC Jul 2020 E	40,000,000	2019/11/12	2020/07/17	10.00
67139	Bank Vontobel - Sands China R Bull CBBC Oct 2020 A	40,000,000	2019/11/12	2020/10/09	10.00
67515	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 H	150,000,000	2019/11/13	2021/12/30	39.00
67522	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 I	150,000,000	2019/11/13	2021/12/30	39.00
67551	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 F	150,000,000	2019/11/13	2020/03/30	37.50
67543	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 J	150,000,000	2019/11/13	2020/03/30	37.50
67548	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 K	150,000,000	2019/11/13	2020/03/30	37.50
67587	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 J	250,000,000	2019/11/13	2021/10/28	62.50
67588	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 K	250,000,000	2019/11/13	2021/10/28	62.50
67593	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 L	240,000,000	2019/11/13	2021/10/28	60.00
67598	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 D	240,000,000	2019/11/13	2020/04/28	60.00
67674	Credit Suisse AG - CM Bank R Bull CBBC Jul 2020 C	70,000,000	2019/11/13	2020/07/30	17.50
67680	Credit Suisse AG - HSI R Bull CBBC Sep 2021 H	200,000,000	2019/11/13	2021/09/29	50.00
67676	Credit Suisse AG - HSI R Bull CBBC Oct 2021 P	200,000,000	2019/11/13	2021/10/28	50.00
67679	Credit Suisse AG - HSI R Bull CBBC Nov 2021 G	200,000,000	2019/11/13	2021/11/29	50.00
67681	Credit Suisse AG - HSI R Bull CBBC Feb 2022 B	300,000,000	2019/11/13	2022/02/25	75.00
67670	Credit Suisse AG - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/11/13	2020/03/30	50.00
67744	Goldman Sachs SP (Asia) - China Life R Bull CBBC Jun 2020 A	80,000,000	2019/11/13	2020/06/30	20.00
67733	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 P	200,000,000	2019/11/13	2022/11/29	50.00
67734	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Q	200,000,000	2019/11/13	2022/11/29	50.00
67745	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 R	200,000,000	2019/11/13	2022/11/29	50.00
67746	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 S	200,000,000	2019/11/13	2022/11/29	50.00
67735	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/11/13	2020/04/28	50.00
67743	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2020 A	80,000,000	2019/11/13	2020/05/29	20.00
67750	Goldman Sachs SP (Asia) - Xiaomi R Bull CBBC Jun 2020 A	60,000,000	2019/11/13	2020/06/30	15.00
67506	HK Bank - AAC Tech R Bear CBBC May 2020 A	40,000,000	2019/11/13	2020/05/04	10.00
67510	HK Bank - ABC R Bull CBBC Aug 2020 A	60,000,000	2019/11/13	2020/08/03	15.00
67508	HK Bank - ABC R Bear CBBC Jul 2020 A	40,000,000	2019/11/13	2020/07/20	10.00
67507	HK Bank - AIA R Bear CBBC Apr 2020 C	40,000,000	2019/11/13	2020/04/20	10.00
67511	HK Bank - CC Bank R Bear CBBC Apr 2020 B	40,000,000	2019/11/13	2020/04/27	10.00
67484	HK Bank - CSOP A50 ETF R Bull CBBC Apr 2020 C	40,000,000	2019/11/13	2020/04/14	10.00
67514	HK Bank - China Tower R Bull CBBC Aug 2020 A	40,000,000	2019/11/13	2020/08/03	10.00

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67482	HK Bank - A50 China R Bull CBBC Apr 2020 C	40,000,000	2019/11/13	2020/04/14	10.00
67470	HK Bank - HKEX R Bear CBBC May 2020 E	100,000,000	2019/11/13	2020/05/18	25.00
67489	HK Bank - HSI R Bull CBBC Mar 2021 R	100,000,000	2019/11/13	2021/03/30	25.00
67467	HK Bank - HSI R Bear CBBC Mar 2020 B	60,000,000	2019/11/13	2020/03/30	15.00
67488	HK Bank - HSI R Bear CBBC Jul 2020 I	100,000,000	2019/11/13	2020/07/30	25.00
67492	HK Bank - Sands China R Bear CBBC Jun 2020 A	40,000,000	2019/11/13	2020/06/22	10.00
67468	HK Bank - Sunny Optical R Bear CBBC May 2020 A	40,000,000	2019/11/13	2020/05/04	14.00
67472	HK Bank - Tencent R Bull CBBC Mar 2020 T	40,000,000	2019/11/13	2020/03/26	10.00
67625	Haitong Int'l Sec - China Life R Bear CBBC May 2020 A	40,000,000	2019/11/13	2020/05/07	10.00
67615	Haitong Int'l Sec - CNOOC R Bull CBBC Apr 2020 A	40,000,000	2019/11/13	2020/04/03	10.00
67606	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/11/13	2021/10/28	37.50
67607	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 W	150,000,000	2019/11/13	2021/10/28	37.50
67608	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 A	150,000,000	2019/11/13	2022/02/25	37.50
67609	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 O	150,000,000	2019/11/13	2020/02/27	37.50
67610	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 N	150,000,000	2019/11/13	2020/03/30	37.50
67611	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 O	150,000,000	2019/11/13	2020/03/30	37.50
67612	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 P	150,000,000	2019/11/13	2020/03/30	37.50
67618	Haitong Int'l Sec - SHK Ppt R Bull CBBC May 2020 B	40,000,000	2019/11/13	2020/05/20	10.00
67614	Haitong Int'l Sec - Tencent R Bull CBBC Mar 2020 R	40,000,000	2019/11/13	2020/03/18	10.00
67622	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 C	40,000,000	2019/11/13	2020/03/23	10.00
67646	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/11/13	2022/01/28	50.00
67651	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 J	200,000,000	2019/11/13	2022/01/28	50.00
67655	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/11/13	2022/01/28	50.00
67662	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 L	200,000,000	2019/11/13	2022/01/28	50.00
67637	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/11/13	2020/02/27	50.00
67639	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/11/13	2020/03/30	50.00
67665	J P Morgan SP BV - Tencent R Bull CBBC May 2020 A	50,000,000	2019/11/13	2020/05/08	12.50
67666	J P Morgan SP BV - Tencent R Bull CBBC May 2020 B	200,000,000	2019/11/13	2020/05/15	50.00
67452	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 I	80,000,000	2019/11/13	2020/03/30	20.00
67439	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 J	80,000,000	2019/11/13	2020/04/28	20.00
67453	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 K	80,000,000	2019/11/13	2020/04/28	20.00
67580	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 L	80,000,000	2019/11/13	2020/04/28	20.00
67456	Morgan Stanley Asia Products - Tencent R Bull CBBC Jul 2020D	40,000,000	2019/11/13	2020/07/02	10.00
67460	Morgan Stanley Asia Products - Tencent R Bear CBBC Jul 2020B	40,000,000	2019/11/13	2020/07/02	10.00
67683	SG Issuer - AIA R Bull CBBC Apr 2020 J	60,000,000	2019/11/13	2020/04/29	15.00
67724	SG Issuer - Bank of China R Bull CBBC Aug 2020 I	100,000,000	2019/11/13	2020/08/10	25.00
67728	SG Issuer - CC Bank R Bull CBBC Aug 2020 H	100,000,000	2019/11/13	2020/08/10	25.00
67699	SG Issuer - CM Bank R Bull CBBC Jul 2020 A	100,000,000	2019/11/13	2020/07/31	25.00
67686	SG Issuer - Sinopec Corp R Bull CBBC Jul 2020 B	40,000,000	2019/11/13	2020/07/27	10.00
67689	SG Issuer - HSI R Bull CBBC May 2021 H	250,000,000	2019/11/13	2021/05/28	62.50
67709	SG Issuer - HSI R Bull CBBC Aug 2021 A	250,000,000	2019/11/13	2021/08/30	62.50
67723	SG Issuer - HSI R Bull CBBC Aug 2021 B	250,000,000	2019/11/13	2021/08/30	62.50
67696	SG Issuer - HSI R Bull CBBC Sep 2021 D	250,000,000	2019/11/13	2021/09/29	62.50
67710	SG Issuer - HSI R Bear CBBC Feb 2020 E	250,000,000	2019/11/13	2020/02/27	62.50
67716	SG Issuer - HSI R Bear CBBC Feb 2020 H	250,000,000	2019/11/13	2020/02/27	62.50

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67720	SG Issuer - HSI R Bear CBBC Mar 2020 D	250,000,000	2019/11/13	2020/03/30	62.50
67708	SG Issuer - HSI R Bear CBBC Mar 2020 G	250,000,000	2019/11/13	2020/03/30	62.50
67722	SG Issuer - HSI R Bear CBBC Apr 2020 V	250,000,000	2019/11/13	2020/04/28	62.50
67687	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 G	100,000,000	2019/11/13	2020/04/29	25.00
67729	SG Issuer - Sunny Optical R Bull CBBC Apr 2020 C	40,000,000	2019/11/13	2020/04/29	10.00
67700	SG Issuer - Tencent R Bull CBBC Mar 2020 L	80,000,000	2019/11/13	2020/03/30	20.00
67707	SG Issuer - Tencent R Bull CBBC Mar 2020 M	80,000,000	2019/11/13	2020/03/31	20.00
67559	UBS AG - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/11/13	2021/11/29	50.00
67563	UBS AG - HSI R Bear CBBC Feb 2020 Q	200,000,000	2019/11/13	2020/02/27	50.00
67570	UBS AG - HSI R Bear CBBC Feb 2020 R	200,000,000	2019/11/13	2020/02/27	50.00
67571	UBS AG - HSI R Bear CBBC Feb 2020 S	200,000,000	2019/11/13	2020/02/27	50.00
67575	UBS AG - HSI R Bear CBBC Feb 2020 T	200,000,000	2019/11/13	2020/02/27	50.00
67578	UBS AG - Meituan Dianping R Bull CBBC Mar 2020 B	40,000,000	2019/11/13	2020/03/18	10.00
67630	Bank Vontobel - HSI R Bull CBBC May 2021 O	80,000,000	2019/11/13	2021/05/28	20.00
67762	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 J	150,000,000	2019/11/14	2021/12/30	37.50
67767	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 K	150,000,000	2019/11/14	2021/12/30	37.50
67846	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 L	150,000,000	2019/11/14	2021/12/30	37.50
67778	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 L	150,000,000	2019/11/14	2020/03/30	37.50
67782	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 M	150,000,000	2019/11/14	2020/03/30	37.50
67786	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 N	150,000,000	2019/11/14	2020/03/30	37.50
67851	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 O	150,000,000	2019/11/14	2020/03/30	37.50
67856	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 P	150,000,000	2019/11/14	2020/03/30	37.50
67822	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 M	250,000,000	2019/11/14	2021/10/28	62.50
67830	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 O	250,000,000	2019/11/14	2021/10/28	62.50
67831	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 R	250,000,000	2019/11/14	2021/10/28	62.50
67837	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 T	250,000,000	2019/11/14	2021/10/28	62.50
67838	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 U	250,000,000	2019/11/14	2021/10/28	62.50
67840	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 V	250,000,000	2019/11/14	2021/10/28	62.50
67816	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 E	250,000,000	2019/11/14	2020/04/28	62.50
67817	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/11/14	2020/04/28	62.50
68036	Credit Suisse AG - HSI R Bull CBBC Sep 2021 I	200,000,000	2019/11/14	2021/09/29	50.00
68034	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/11/14	2021/10/28	50.00
68035	Credit Suisse AG - HSI R Bull CBBC Feb 2022 C	300,000,000	2019/11/14	2022/02/25	75.00
67976	Credit Suisse AG - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/14	2020/02/27	50.00
67978	Credit Suisse AG - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/11/14	2020/03/30	50.00
67982	Credit Suisse AG - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/11/14	2020/03/30	50.00
68015	Credit Suisse AG - HSI R Bear CBBC Apr 2020 K	200,000,000	2019/11/14	2020/04/28	50.00
68016	Credit Suisse AG - HSI R Bear CBBC Apr 2020 L	200,000,000	2019/11/14	2020/04/28	50.00
68003	Credit Suisse AG - HSI R Bear CBBC Apr 2020 P	200,000,000	2019/11/14	2020/04/28	50.00
68025	Credit Suisse AG - HSI R Bear CBBC Apr 2020 R	200,000,000	2019/11/14	2020/04/28	50.00
68008	Credit Suisse AG - HSI R Bear CBBC Apr 2020 S	200,000,000	2019/11/14	2020/04/28	50.00
68018	Credit Suisse AG - HSI R Bear CBBC May 2020 M	200,000,000	2019/11/14	2020/05/28	50.00
68030	Credit Suisse AG - HSI R Bear CBBC May 2020 N	200,000,000	2019/11/14	2020/05/28	50.00
68031	Credit Suisse AG - Ping An R Bull CBBC Jul 2020 B	80,000,000	2019/11/14	2020/07/24	20.00
67974	Credit Suisse AG - SHK Ppt R Bull CBBC Jul 2020 B	40,000,000	2019/11/14	2020/07/30	10.00



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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68227	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar2020 A	60,000,000	2019/11/14	2020/03/30	15.00
68210	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC May 2020 A	60,000,000	2019/11/14	2020/05/29	15.00
68199	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 T	200,000,000	2019/11/14	2022/11/29	50.00
68200	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 U	200,000,000	2019/11/14	2022/11/29	50.00
68207	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 V	200,000,000	2019/11/14	2022/11/29	50.00
68206	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/11/14	2020/03/30	50.00
68209	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/11/14	2020/03/30	50.00
68202	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/11/14	2020/04/28	50.00
67801	HK Bank - Bank of China R Bear CBBC Apr 2020 B	40,000,000	2019/11/14	2020/04/20	10.00
67799	HK Bank - China Life R Bear CBBC Apr 2020 C	80,000,000	2019/11/14	2020/04/14	20.00
67795	HK Bank - CNOOC R Bear CBBC Apr 2020 A	40,000,000	2019/11/14	2020/04/20	10.00
67787	HK Bank - HSI R Bull CBBC Mar 2021 S	60,000,000	2019/11/14	2021/03/30	15.00
68039	HK Bank - HSI R Bull CBBC Mar 2021 T	60,000,000	2019/11/14	2021/03/30	15.00
68040	HK Bank - HSI R Bear CBBC Mar 2020 H	60,000,000	2019/11/14	2020/03/30	15.00
67790	HK Bank - HSI R Bear CBBC Jul 2020 J	100,000,000	2019/11/14	2020/07/30	25.00
67796	HK Bank - SUNAC R Bear CBBC Apr 2020 C	40,000,000	2019/11/14	2020/04/20	10.00
67751	HK Bank - Tencent R Bull CBBC Apr 2020 Q	40,000,000	2019/11/14	2020/04/23	10.00
68044	Haitong Int'l Sec - AAC Tech R Bull CBBC Sep 2020 A	50,000,000	2019/11/14	2020/09/29	12.50
68043	Haitong Int'l Sec - ABC R Bull CBBC Nov 2020 A	40,000,000	2019/11/14	2020/11/27	10.00
68046	Haitong Int'l Sec - ABC R Bear CBBC Oct 2020 A	40,000,000	2019/11/14	2020/10/21	10.00
68054	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 B	150,000,000	2019/11/14	2022/02/25	37.50
68056	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 C	150,000,000	2019/11/14	2022/02/25	37.50
68058	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 D	150,000,000	2019/11/14	2022/02/25	37.50
68064	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 S	150,000,000	2019/11/14	2020/02/27	37.50
68072	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 Q	150,000,000	2019/11/14	2020/03/30	37.50
68076	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 R	150,000,000	2019/11/14	2020/03/30	37.50
68068	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 E	150,000,000	2019/11/14	2020/04/28	37.50
68080	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 F	150,000,000	2019/11/14	2020/04/28	37.50
68081	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 G	150,000,000	2019/11/14	2020/04/28	37.50
67882	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 M	200,000,000	2019/11/14	2022/01/28	50.00
67892	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 N	200,000,000	2019/11/14	2022/01/28	50.00
67894	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 O	200,000,000	2019/11/14	2022/01/28	50.00
67897	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 P	200,000,000	2019/11/14	2022/01/28	50.00
67951	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 Q	200,000,000	2019/11/14	2022/01/28	50.00
67959	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 R	200,000,000	2019/11/14	2022/01/28	50.00
67902	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/11/14	2020/03/30	50.00
67907	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/11/14	2020/03/30	50.00
67926	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/11/14	2020/03/30	50.00
67928	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/11/14	2020/03/30	50.00
67913	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/11/14	2020/03/30	50.00
67917	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/11/14	2020/03/30	50.00
67934	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 P	200,000,000	2019/11/14	2020/04/28	50.00
67936	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Q	200,000,000	2019/11/14	2020/04/28	50.00
67939	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 R	200,000,000	2019/11/14	2020/04/28	82.00
67940	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 G	60,000,000	2019/11/14	2020/05/08	15.00

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67950	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 M	50,000,000	2019/11/14	2020/03/13	12.50
67760	Morgan Stanley Asia Products - HSI R Bull CBBC Oct 2021 L	80,000,000	2019/11/14	2021/10/28	20.00
67757	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 B	80,000,000	2019/11/14	2022/01/28	20.00
67761	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 C	80,000,000	2019/11/14	2022/01/28	20.00
67873	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 D	80,000,000	2019/11/14	2022/01/28	20.00
67874	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 E	80,000,000	2019/11/14	2020/05/28	20.00
67758	Morgan Stanley Asia Products - HSI R Bear CBBC Jun 2020 B	80,000,000	2019/11/14	2020/06/29	20.00
67877	Morgan Stanley Asia Products - HSI R Bear CBBC Jul 2020 A	108,000,000	2019/11/14	2020/07/30	27.00
67862	Morgan Stanley Asia Products - Meituan R Bull CBBC Jun2020 A	40,000,000	2019/11/14	2020/06/01	10.00
67860	Morgan Stanley Asia Products - Meituan R Bull CBBC Jul2020 A	40,000,000	2019/11/14	2020/07/02	10.00
67858	Morgan Stanley Asia Products - Meituan R Bear CBBC Jul2020 A	40,000,000	2019/11/14	2020/07/15	10.00
68093	SG Issuer - AAC Tech R Bear CBBC Apr 2020 F	100,000,000	2019/11/14	2020/04/29	25.00
68094	SG Issuer - AAC Tech R Bear CBBC Oct 2020 A	100,000,000	2019/11/14	2020/10/30	25.00
68095	SG Issuer - ABC R Bull CBBC Oct 2020 A	100,000,000	2019/11/14	2020/10/29	25.00
68101	SG Issuer - ABC R Bull CBBC Oct 2020 B	100,000,000	2019/11/14	2020/10/30	25.00
68100	SG Issuer - ABC R Bear CBBC Oct 2020 A	100,000,000	2019/11/14	2020/10/29	25.00
68176	SG Issuer - China Life R Bull CBBC Apr 2020 C	40,000,000	2019/11/14	2020/04/29	10.00
68183	SG Issuer - HKEX R Bull CBBC Apr 2020 P	50,000,000	2019/11/14	2020/04/29	12.50
68182	SG Issuer - HKEX R Bear CBBC Feb 2020 Q	50,000,000	2019/11/14	2020/02/28	12.50
68187	SG Issuer - HKEX R Bear CBBC May 2020 A	50,000,000	2019/11/14	2020/05/29	17.50
68128	SG Issuer - HSCEI R Bull CBBC Oct 2020 R	100,000,000	2019/11/14	2020/10/29	25.00
68133	SG Issuer - HSCEI R Bull CBBC Oct 2020 S	100,000,000	2019/11/14	2020/10/29	25.00
68121	SG Issuer - HSCEI R Bear CBBC May 2020 A	100,000,000	2019/11/14	2020/05/28	25.00
68165	SG Issuer - HSI R Bull CBBC Jul 2021 N	250,000,000	2019/11/14	2021/07/29	62.50
68166	SG Issuer - HSI R Bull CBBC Jul 2021 R	250,000,000	2019/11/14	2021/07/29	62.50
68169	SG Issuer - HSI R Bull CBBC Aug 2021 M	250,000,000	2019/11/14	2021/08/30	62.50
68167	SG Issuer - HSI R Bull CBBC Aug 2021 P	250,000,000	2019/11/14	2021/08/30	62.50
68138	SG Issuer - HSI R Bear CBBC Feb 2020 K	250,000,000	2019/11/14	2020/02/27	62.50
68140	SG Issuer - HSI R Bear CBBC Feb 2020 S	250,000,000	2019/11/14	2020/02/27	62.50
68144	SG Issuer - HSI R Bear CBBC Feb 2020 T	250,000,000	2019/11/14	2020/02/27	62.50
68147	SG Issuer - HSI R Bear CBBC Feb 2020 W	250,000,000	2019/11/14	2020/02/27	62.50
68151	SG Issuer - HSI R Bear CBBC Feb 2020 X	250,000,000	2019/11/14	2020/02/27	62.50
68139	SG Issuer - HSI R Bear CBBC Mar 2020 J	250,000,000	2019/11/14	2020/03/30	62.50
68160	SG Issuer - HSI R Bear CBBC Mar 2020 K	250,000,000	2019/11/14	2020/03/30	62.50
68161	SG Issuer - HSI R Bear CBBC Apr 2020 E	250,000,000	2019/11/14	2020/04/28	62.50
68162	SG Issuer - HSI R Bear CBBC May 2020 S	250,000,000	2019/11/14	2020/05/28	62.50
68134	SG Issuer - Ping An R Bull CBBC May 2020 A	100,000,000	2019/11/14	2020/05/29	25.00
68104	SG Issuer - Sands China R Bear CBBC Sep 2020 A	80,000,000	2019/11/14	2020/09/30	20.00
68106	SG Issuer - Sands China R Bear CBBC Oct 2020 A	80,000,000	2019/11/14	2020/10/30	20.00
68109	SG Issuer - Tencent R Bull CBBC Feb 2020 R	80,000,000	2019/11/14	2020/02/28	20.00
68112	SG Issuer - Tencent R Bull CBBC Apr 2020 O	80,000,000	2019/11/14	2020/04/29	20.00
68198	SG Issuer - Tencent R Bear CBBC Apr 2020 B	80,000,000	2019/11/14	2020/04/15	40.80
68136	SG Issuer - Tencent R Bear CBBC Apr 2020 Y	80,000,000	2019/11/14	2020/04/16	20.00
68197	SG Issuer - Tencent R Bear CBBC Apr 2020 Z	80,000,000	2019/11/14	2020/04/08	52.80
68116	SG Issuer - WH Group R Bull CBBC Nov 2020 A	40,000,000	2019/11/14	2020/11/27	10.20

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68195	SG Issuer - Xiaomi R Bull CBBC Apr 2020 B	60,000,000	2019/11/14	2020/04/29	15.00
67803	UBS AG - HSI R Bull CBBC Nov 2021 A	200,000,000	2019/11/14	2021/11/29	50.00
67813	UBS AG - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/11/14	2021/11/29	50.00
67804	UBS AG - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/11/14	2020/03/30	50.00
67811	UBS AG - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/11/14	2020/03/30	50.00
67812	UBS AG - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/11/14	2020/03/30	50.00
68083	Bank Vontobel - AAC Tech R Bear CBBC Jul 2020 B	40,000,000	2019/11/14	2020/07/10	10.00
68085	Bank Vontobel - AAC Tech R Bear CBBC Oct 2020 B	40,000,000	2019/11/14	2020/10/16	10.00
68088	Bank Vontobel - AAC Tech R Bear CBBC Nov 2020 A	40,000,000	2019/11/14	2020/11/13	10.00
68087	Bank Vontobel - Ping An R Bull CBBC Sep 2020 A	40,000,000	2019/11/14	2020/09/25	10.00
68090	Bank Vontobel - SHK Ppt R Bull CBBC Jul 2020 B	40,000,000	2019/11/14	2020/07/10	10.00
68312	BOCI Asia Ltd. - AAC Tech R Bull CBBC Jul 2020 A	80,000,000	2019/11/15	2020/07/08	20.00
68325	BOCI Asia Ltd. - AAC Tech R Bull CBBC Dec 2020 A	80,000,000	2019/11/15	2020/12/30	20.00
68301	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 M	150,000,000	2019/11/15	2021/12/30	37.50
68304	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Q	150,000,000	2019/11/15	2020/03/30	37.50
68330	BOCI Asia Ltd. - Sands China R Bull CBBC Jul 2020 A	80,000,000	2019/11/15	2020/07/08	20.00
68333	BOCI Asia Ltd. - Sands China R Bull CBBC Dec 2020 A	80,000,000	2019/11/15	2020/12/30	20.00
68492	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/11/15	2021/10/28	62.50
68495	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 G	240,000,000	2019/11/15	2020/04/28	60.00
68490	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 D	50,000,000	2019/11/15	2020/11/27	12.50
68489	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 H	50,000,000	2019/11/15	2020/12/30	12.50
68475	Credit Suisse AG - AAC Tech R Bear CBBC Oct 2020 A	40,000,000	2019/11/15	2020/10/29	10.00
68473	Credit Suisse AG - ABC R Bull CBBC Sep 2020 A	80,000,000	2019/11/15	2020/09/29	20.00
68472	Credit Suisse AG - HKEX R Bull CBBC Sep 2020 A	100,000,000	2019/11/15	2020/09/29	25.00
68468	Credit Suisse AG - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/11/15	2021/12/30	50.00
68471	Credit Suisse AG - HSI R Bull CBBC Dec 2021 V	200,000,000	2019/11/15	2021/12/30	50.00
68480	Credit Suisse AG - HSI R Bear CBBC Feb 2020 J	200,000,000	2019/11/15	2020/02/27	50.00
68476	Credit Suisse AG - HSI R Bear CBBC Jun 2020 B	200,000,000	2019/11/15	2020/06/29	50.00
68590	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Apr 2020 A	80,000,000	2019/11/15	2020/04/29	20.00
68586	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 W	200,000,000	2019/11/15	2022/11/29	50.00
68587	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/11/15	2020/03/30	50.00
68596	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 M	80,000,000	2019/11/15	2020/02/17	20.00
68594	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 C	60,000,000	2019/11/15	2020/03/30	15.00
68269	HK Bank - AAC Tech R Bull CBBC Jul 2020 A	40,000,000	2019/11/15	2020/07/20	10.00
68260	HK Bank - CM Bank R Bull CBBC Apr 2020 B	40,000,000	2019/11/15	2020/04/20	10.00
68266	HK Bank - Geely Auto R Bull CBBC Aug 2020 A	60,000,000	2019/11/15	2020/08/24	15.00
68268	HK Bank - Geely Auto R Bear CBBC Jul 2020 A	40,000,000	2019/11/15	2020/07/06	10.00
68261	HK Bank - HKEX R Bull CBBC Jun 2020 J	40,000,000	2019/11/15	2020/06/08	10.00
68231	HK Bank - HSI R Bull CBBC Sep 2021 B	60,000,000	2019/11/15	2021/09/29	15.00
68265	HK Bank - ICBC R Bull CBBC May 2020 B	60,000,000	2019/11/15	2020/05/18	15.00
68257	HK Bank - ICBC R Bear CBBC Apr 2020 A	40,000,000	2019/11/15	2020/04/20	10.00
68271	HK Bank - WH Group R Bull CBBC Aug 2020 A	40,000,000	2019/11/15	2020/08/03	10.00
68451	Haitong Int'l Sec - AAC Tech R Bear CBBC Sep 2020 A	40,000,000	2019/11/15	2020/09/04	10.00
68463	Haitong Int'l Sec - CM Bank R Bear CBBC Jul 2020 A	40,000,000	2019/11/15	2020/07/06	10.00
68446	Haitong Int'l Sec - HSBC R Bear CBBC Apr 2020 A	40,000,000	2019/11/15	2020/04/20	10.00

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68358	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 E	150,000,000	2019/11/15	2022/02/25	37.50
68359	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 F	150,000,000	2019/11/15	2022/02/25	37.50
68380	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 G	150,000,000	2019/11/15	2022/02/25	37.50
68385	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 A	150,000,000	2019/11/15	2020/02/27	37.50
68390	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 C	150,000,000	2019/11/15	2020/02/27	37.50
68383	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 S	150,000,000	2019/11/15	2020/03/30	37.50
68396	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 H	150,000,000	2019/11/15	2020/04/28	37.50
68411	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 I	150,000,000	2019/11/15	2020/04/28	37.50
68417	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 J	150,000,000	2019/11/15	2020/04/28	37.50
68440	Haitong Int'l Sec - Sands China R Bull CBBC Aug 2020 A	40,000,000	2019/11/15	2020/08/28	10.00
68457	Haitong Int'l Sec - Sands China R Bear CBBC Sep 2020 A	40,000,000	2019/11/15	2020/09/29	10.00
68447	Haitong Int'l Sec - Tencent R Bear CBBC Mar 2020 B	40,000,000	2019/11/15	2020/03/30	10.00
68443	Haitong Int'l Sec - WH Group R Bull CBBC Sep 2020 A	40,000,000	2019/11/15	2020/09/11	10.00
68459	Haitong Int'l Sec - WH Group R Bear CBBC Sep 2020 A	40,000,000	2019/11/15	2020/09/10	10.00
68496	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 S	200,000,000	2019/11/15	2022/01/28	50.00
68497	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 T	200,000,000	2019/11/15	2022/01/28	50.00
68501	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 U	200,000,000	2019/11/15	2022/01/28	50.00
68506	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 V	200,000,000	2019/11/15	2022/01/28	50.00
68509	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 W	200,000,000	2019/11/15	2022/01/28	50.00
68511	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 R	200,000,000	2019/11/15	2020/02/27	50.00
68512	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 S	200,000,000	2019/11/15	2020/02/27	50.00
68514	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 D	60,000,000	2019/11/15	2020/06/12	15.00
68518	J P Morgan SP BV - Ping An R Bull CBBC Jun 2020 A	100,000,000	2019/11/15	2020/06/19	25.00
68520	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 M	50,000,000	2019/11/15	2020/03/13	12.50
68524	J P Morgan SP BV - Tencent R Bear CBBC Mar 2020 N	50,000,000	2019/11/15	2020/03/20	12.50
68283	Morgan Stanley Asia Products - HSI R Bull CBBC Oct 2021 M	80,000,000	2019/11/15	2021/10/28	20.00
68284	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 P	80,000,000	2019/11/15	2021/12/30	20.00
68272	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 F	80,000,000	2019/11/15	2020/05/28	20.00
68289	Morgan Stanley Asia Products - HSI R Bear CBBC Jul 2020 B	80,000,000	2019/11/15	2020/07/30	20.00
68581	SG Issuer - AAC Tech R Bear CBBC Nov 2020 A	100,000,000	2019/11/15	2020/11/30	25.00
68549	SG Issuer - AIA R Bear CBBC Jul 2020 A	60,000,000	2019/11/15	2020/07/24	15.00
68561	SG Issuer - CAM CSI300 R Bull CBBC Jun 2020 A	100,000,000	2019/11/15	2020/06/29	25.00
68562	SG Issuer - CSOP A50 ETF R Bull CBBC May 2020 A	100,000,000	2019/11/15	2020/05/29	25.00
68563	SG Issuer - HSI R Bull CBBC May 2021 U	250,000,000	2019/11/15	2021/05/28	62.50
68538	SG Issuer - HSI R Bull CBBC May 2021 Y	250,000,000	2019/11/15	2021/05/28	62.50
68578	SG Issuer - HSI R Bull CBBC Jul 2021 K	250,000,000	2019/11/15	2021/07/29	62.50
68579	SG Issuer - HSI R Bull CBBC Jul 2021 M	250,000,000	2019/11/15	2021/07/29	62.50
68544	SG Issuer - HSI R Bull CBBC Jul 2021 S	250,000,000	2019/11/15	2021/07/29	62.50
68580	SG Issuer - HSI R Bull CBBC Aug 2021 Q	250,000,000	2019/11/15	2021/08/30	62.50
68567	SG Issuer - HSI R Bear CBBC Feb 2020 A	250,000,000	2019/11/15	2020/02/27	62.50
68568	SG Issuer - HSI R Bear CBBC Feb 2020 Z	250,000,000	2019/11/15	2020/02/27	62.50
68576	SG Issuer - HSI R Bear CBBC Mar 2020 E	250,000,000	2019/11/15	2020/03/30	62.50
68525	SG Issuer - Meituan Dianping R Bull CBBC May 2020 D	100,000,000	2019/11/15	2020/05/04	25.00
68527	SG Issuer - Meituan Dianping R Bull CBBC May 2020 E	100,000,000	2019/11/15	2020/05/29	25.00
68558	SG Issuer - Ping An R Bull CBBC Jul 2020 B	100,000,000	2019/11/15	2020/07/31	25.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68584	SG Issuer - Sands China R Bull CBBC Aug 2020 A	80,000,000	2019/11/15	2020/08/31	20.00
68532	SG Issuer - Tencent R Bull CBBC Mar 2020 N	80,000,000	2019/11/15	2020/03/04	20.00
68560	SG Issuer - Tencent R Bull CBBC Apr 2020 P	80,000,000	2019/11/15	2020/04/29	20.00
68349	UBS AG - AAC Tech R Bull CBBC Sep 2020 A	40,000,000	2019/11/15	2020/09/30	10.00
68351	UBS AG - AAC Tech R Bull CBBC Sep 2020 B	40,000,000	2019/11/15	2020/09/28	10.00
68338	UBS AG - HSI R Bull CBBC Nov 2021 U	200,000,000	2019/11/15	2021/11/29	50.00
68339	UBS AG - HSI R Bull CBBC Nov 2021 Y	200,000,000	2019/11/15	2021/11/29	50.00
68337	UBS AG - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/11/15	2021/12/30	50.00
68352	UBS AG - HSI R Bear CBBC Feb 2020 U	200,000,000	2019/11/15	2020/02/27	50.00
68353	UBS AG - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/11/15	2020/02/27	50.00
68340	UBS AG - Sands China R Bull CBBC Sep 2020 A	100,000,000	2019/11/15	2020/09/30	25.00
68346	UBS AG - Sands China R Bull CBBC Sep 2020 B	100,000,000	2019/11/15	2020/09/28	25.00
68465	Bank Vontobel - AAC Tech R Bull CBBC Oct 2020 B	40,000,000	2019/11/15	2020/10/23	10.00
68467	Bank Vontobel - AAC Tech R Bear CBBC Oct 2020 C	40,000,000	2019/11/15	2020/10/23	10.00
68232	Bank Vontobel - CC Bank R Bear CBBC Jul 2020 A	40,000,000	2019/11/15	2020/07/10	10.00
68242	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 J	60,000,000	2019/11/15	2020/08/14	15.00
68240	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 E	60,000,000	2019/11/15	2020/09/18	15.00
68246	Bank Vontobel - Meituan Dianping R Bear CBBC Jul 2020 E	60,000,000	2019/11/15	2020/07/10	15.00
68254	Bank Vontobel - Sands China R Bull CBBC Oct 2020 B	40,000,000	2019/11/15	2020/10/09	10.00
68236	Bank Vontobel - Sands China R Bear CBBC Aug 2020 A	40,000,000	2019/11/15	2020/08/07	10.00
68239	Bank Vontobel - Sands China R Bear CBBC Oct 2020 A	40,000,000	2019/11/15	2020/10/23	10.00
68243	Bank Vontobel - Tencent R Bull CBBC Oct 2020 C	40,000,000	2019/11/15	2020/10/09	10.00
68597	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 N	150,000,000	2019/11/18	2021/12/30	37.50
68602	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 O	150,000,000	2019/11/18	2021/12/30	37.50
68604	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 P	150,000,000	2019/11/18	2021/12/30	37.50
68747	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 Q	150,000,000	2019/11/18	2021/12/30	37.50
68748	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 R	150,000,000	2019/11/18	2020/03/30	37.50
68749	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 S	150,000,000	2019/11/18	2020/03/30	37.50
68753	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 T	150,000,000	2019/11/18	2020/03/30	37.50
68761	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 A	250,000,000	2019/11/18	2021/10/28	62.50
68770	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 B	250,000,000	2019/11/18	2021/10/28	62.50
68640	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 N	250,000,000	2019/11/18	2021/10/28	62.50
68642	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 P	250,000,000	2019/11/18	2021/10/28	62.50
68644	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Q	250,000,000	2019/11/18	2021/10/28	62.50
68650	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 S	250,000,000	2019/11/18	2021/10/28	62.50
68636	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/11/18	2021/10/28	62.50
68772	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/11/18	2020/04/28	62.50
68773	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/11/18	2020/04/28	62.50
68787	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/11/18	2020/04/28	62.50
68794	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 K	250,000,000	2019/11/18	2020/04/28	62.50
68801	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 L	250,000,000	2019/11/18	2020/04/28	62.50
68988	Credit Suisse AG - CSPC Pharma R Bull CBBC Jun 2020 B	100,000,000	2019/11/18	2020/06/29	25.00
68990	Credit Suisse AG - CSPC Pharma R Bear CBBC Apr 2020 B	100,000,000	2019/11/18	2020/04/28	25.00
68950	Credit Suisse AG - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/11/18	2021/10/28	50.00
68962	Credit Suisse AG - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/11/18	2021/10/28	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68947	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/11/18	2021/10/28	50.00
68965	Credit Suisse AG - HSI R Bull CBBC Dec 2021 A	200,000,000	2019/11/18	2021/12/30	50.00
68946	Credit Suisse AG - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/11/18	2021/12/30	50.00
68963	Credit Suisse AG - HSI R Bull CBBC Dec 2021 Z	200,000,000	2019/11/18	2021/12/30	50.00
69035	Credit Suisse AG - HSI R Bear CBBC Feb 2020 E	200,000,000	2019/11/18	2020/02/27	50.00
69014	Credit Suisse AG - HSI R Bear CBBC Feb 2020 K	200,000,000	2019/11/18	2020/02/27	50.00
69017	Credit Suisse AG - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/11/18	2020/03/30	50.00
69021	Credit Suisse AG - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/11/18	2020/03/30	50.00
69016	Credit Suisse AG - HSI R Bear CBBC Jun 2020 C	300,000,000	2019/11/18	2020/06/29	75.00
69011	Credit Suisse AG - Meituan Dianping R Bear CBBC Jun 2020 C	40,000,000	2019/11/18	2020/06/29	10.00
68997	Credit Suisse AG - Sino Biopharm R Bull CBBC Jul 2020 A	50,000,000	2019/11/18	2020/07/30	12.50
68973	Credit Suisse AG - Tencent R Bear CBBC Apr 2020 G	100,000,000	2019/11/18	2020/04/24	25.00
69007	Credit Suisse AG - Xiaomi R Bear CBBC May 2020 A	40,000,000	2019/11/18	2020/05/28	10.00
69124	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 A	200,000,000	2019/11/18	2022/11/29	50.00
69117	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 X	200,000,000	2019/11/18	2022/11/29	50.00
69120	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Y	200,000,000	2019/11/18	2022/11/29	50.00
69121	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Z	200,000,000	2019/11/18	2022/11/29	50.00
69131	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2022 A	200,000,000	2019/11/18	2022/12/29	50.00
69132	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2022 B	200,000,000	2019/11/18	2022/12/29	50.00
69154	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 N	200,000,000	2019/11/18	2020/02/27	50.00
69136	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 R	200,000,000	2019/11/18	2020/02/27	50.00
69144	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 S	200,000,000	2019/11/18	2020/02/27	50.00
69145	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/11/18	2020/02/27	50.00
69152	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/18	2020/02/27	50.00
69134	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 Z	200,000,000	2019/11/18	2020/02/27	50.00
69159	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 D	60,000,000	2019/11/18	2020/03/30	15.00
68690	HK Bank - CSPC Pharma R Bull CBBC Mar 2020 B	40,000,000	2019/11/18	2020/03/30	10.00
68687	HK Bank - CSPC Pharma R Bull CBBC Jun 2020 A	80,000,000	2019/11/18	2020/06/22	20.00
68651	HK Bank - HSI R Bull CBBC Sep 2021 C	60,000,000	2019/11/18	2021/09/29	15.00
68653	HK Bank - HSI R Bull CBBC Sep 2021 D	80,000,000	2019/11/18	2021/09/29	20.00
68754	HK Bank - HSI R Bull CBBC Sep 2021 E	60,000,000	2019/11/18	2021/09/29	15.00
68685	HK Bank - HSI R Bear CBBC Mar 2020 I	60,000,000	2019/11/18	2020/03/30	15.00
68692	HK Bank - Sino Biopharm R Bull CBBC Mar 2020 A	40,000,000	2019/11/18	2020/03/30	10.00
68691	HK Bank - Sino Biopharm R Bull CBBC Apr 2020 A	40,000,000	2019/11/18	2020/04/24	14.00
68914	Haitong Int'l Sec - AIA R Bear CBBC Apr 2020 I	50,000,000	2019/11/18	2020/04/23	12.50
68905	Haitong Int'l Sec - CSPC Pharma R Bull CBBC Jul 2020 C	50,000,000	2019/11/18	2020/07/07	12.50
68867	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 A	150,000,000	2019/11/18	2022/01/28	37.50
68868	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 C	150,000,000	2019/11/18	2022/01/28	37.50
68871	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 F	150,000,000	2019/11/18	2022/01/28	37.50
68701	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 H	150,000,000	2019/11/18	2022/02/25	37.50
68708	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 I	150,000,000	2019/11/18	2022/02/25	37.50
68715	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 J	150,000,000	2019/11/18	2022/02/25	37.50
68720	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 K	150,000,000	2019/11/18	2022/02/25	37.50
68872	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 E	150,000,000	2019/11/18	2020/02/27	37.50
68876	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 G	150,000,000	2019/11/18	2020/02/27	37.50



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
68888	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Z	150,000,000	2019/11/18	2020/02/27	37.50
68898	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 T	150,000,000	2019/11/18	2020/03/30	37.50
68903	Haitong Int'l Sec - Link REIT R Bull CBBC May 2020 A	50,000,000	2019/11/18	2020/05/11	12.50
68916	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Oct 2020 A	50,000,000	2019/11/18	2020/10/05	12.50
68915	Haitong Int'l Sec - Ping An R Bear CBBC Mar 2020 H	50,000,000	2019/11/18	2020/03/02	12.50
68911	Haitong Int'l Sec - Sino Biopharm R Bull CBBC Apr 2020 A	50,000,000	2019/11/18	2020/04/01	12.50
68693	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2020 A	40,000,000	2019/11/18	2020/07/08	10.00
68913	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 A	40,000,000	2019/11/18	2020/05/08	10.00
68803	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/11/18	2021/09/29	50.00
68608	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/11/18	2021/09/29	50.00
68805	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 I	200,000,000	2019/11/18	2021/09/29	50.00
68616	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 V	200,000,000	2019/11/18	2021/09/29	50.00
68619	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/11/18	2021/09/29	50.00
68629	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/11/18	2021/09/29	50.00
68607	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 X	200,000,000	2019/11/18	2022/01/28	50.00
68633	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 Y	200,000,000	2019/11/18	2022/01/28	50.00
68816	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/18	2020/02/27	50.00
68819	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/11/18	2020/03/30	50.00
68820	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/11/18	2020/03/30	50.00
68823	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/11/18	2020/03/30	50.00
68832	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/11/18	2020/03/30	50.00
68931	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/11/18	2020/03/30	50.00
68923	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/11/18	2020/03/30	50.00
68723	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 L	80,000,000	2019/11/18	2021/12/30	20.00
68673	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 Q	80,000,000	2019/11/18	2021/12/30	20.00
68656	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 E	80,000,000	2019/11/18	2022/01/28	20.00
68660	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 F	80,000,000	2019/11/18	2022/01/28	20.00
68741	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 K	80,000,000	2019/11/18	2020/02/27	20.00
68878	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 L	80,000,000	2019/11/18	2020/02/27	20.00
68734	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 J	80,000,000	2019/11/18	2020/03/30	20.00
68743	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 M	80,000,000	2019/11/18	2020/04/28	20.00
69090	SG Issuer - CSPC Pharma R Bull CBBC Apr 2020 B	40,000,000	2019/11/18	2020/04/29	15.80
69091	SG Issuer - CSPC Pharma R Bull CBBC Apr 2020 C	40,000,000	2019/11/18	2020/04/29	10.00
69092	SG Issuer - CSPC Pharma R Bear CBBC Apr 2020 A	40,000,000	2019/11/18	2020/04/29	10.40
69095	SG Issuer - Galaxy Ent R Bull CBBC Apr 2020 K	100,000,000	2019/11/18	2020/04/29	25.00
69097	SG Issuer - HKEX R Bull CBBC Apr 2020 Q	50,000,000	2019/11/18	2020/04/29	12.50
69037	SG Issuer - HSI R Bull CBBC May 2021 W	250,000,000	2019/11/18	2021/05/28	62.50
69039	SG Issuer - HSI R Bull CBBC Jul 2021 L	250,000,000	2019/11/18	2021/07/29	62.50
69042	SG Issuer - HSI R Bull CBBC Jul 2021 U	250,000,000	2019/11/18	2021/07/29	62.50
69043	SG Issuer - HSI R Bull CBBC Jul 2021 W	250,000,000	2019/11/18	2021/07/29	62.50
69049	SG Issuer - HSI R Bull CBBC Aug 2021 D	250,000,000	2019/11/18	2021/08/30	62.50
69053	SG Issuer - HSI R Bull CBBC Aug 2021 E	250,000,000	2019/11/18	2021/08/30	62.50
69060	SG Issuer - HSI R Bull CBBC Aug 2021 F	250,000,000	2019/11/18	2021/08/30	62.50
69061	SG Issuer - HSI R Bull CBBC Aug 2021 H	250,000,000	2019/11/18	2021/08/30	62.50
69111	SG Issuer - HSI R Bull CBBC Sep 2021 K	250,000,000	2019/11/18	2021/09/29	62.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69115	SG Issuer - HSI R Bull CBBC Oct 2021 J	250,000,000	2019/11/18	2021/10/28	62.50
69081	SG Issuer - HSI R Bear CBBC Mar 2020 F	250,000,000	2019/11/18	2020/03/30	62.50
69105	SG Issuer - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/11/18	2020/03/30	62.50
69086	SG Issuer - HSI R Bear CBBC Apr 2020 G	250,000,000	2019/11/18	2020/04/28	62.50
69087	SG Issuer - HSI R Bear CBBC Apr 2020 P	250,000,000	2019/11/18	2020/04/28	62.50
69106	SG Issuer - HSI R Bear CBBC May 2020 T	250,000,000	2019/11/18	2020/05/28	62.50
69109	SG Issuer - HSI R Bear CBBC May 2020 U	250,000,000	2019/11/18	2020/05/28	62.50
69062	SG Issuer - Ping An R Bull CBBC Apr 2020 L	100,000,000	2019/11/18	2020/04/29	25.00
69063	SG Issuer - Sunny Optical R Bull CBBC Apr 2020 D	40,000,000	2019/11/18	2020/04/29	10.00
69068	SG Issuer - Tencent R Bull CBBC Mar 2020 P	80,000,000	2019/11/18	2020/03/31	20.00
69098	SG Issuer - Tencent R Bull CBBC Apr 2020 Q	80,000,000	2019/11/18	2020/04/29	20.00
69099	SG Issuer - Tencent R Bear CBBC Apr 2020 E	80,000,000	2019/11/18	2020/04/29	20.00
69100	SG Issuer - Tencent R Bear CBBC Apr 2020 F	80,000,000	2019/11/18	2020/04/29	20.00
69070	SG Issuer - Xiaomi R Bull CBBC Apr 2020 C	60,000,000	2019/11/18	2020/04/29	15.00
68839	UBS AG - HSI R Bull CBBC Nov 2021 F	200,000,000	2019/11/18	2021/11/29	50.00
68846	UBS AG - HSI R Bull CBBC Nov 2021 H	200,000,000	2019/11/18	2021/11/29	50.00
68845	UBS AG - HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/11/18	2021/11/29	50.00
68837	UBS AG - HSI R Bull CBBC Dec 2021 S	200,000,000	2019/11/18	2021/12/30	50.00
68833	UBS AG - HSI R Bull CBBC Jan 2022 B	400,000,000	2019/11/18	2022/01/28	100.00
68838	UBS AG - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/11/18	2022/01/28	50.00
68877	UBS AG - HSI R Bear CBBC Feb 2020 A	200,000,000	2019/11/18	2020/02/27	50.00
68849	UBS AG - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/11/18	2020/02/27	50.00
68857	UBS AG - HSI R Bear CBBC Feb 2020 X	200,000,000	2019/11/18	2020/02/27	50.00
68864	UBS AG - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/11/18	2020/02/27	50.00
69232	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 R	150,000,000	2019/11/19	2021/12/30	37.50
69236	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 S	150,000,000	2019/11/19	2021/12/30	37.50
69253	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 T	150,000,000	2019/11/19	2021/12/30	37.50
69256	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 U	150,000,000	2019/11/19	2020/03/30	37.50
69262	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 V	150,000,000	2019/11/19	2020/03/30	37.50
69265	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 W	150,000,000	2019/11/19	2020/03/30	37.50
69200	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 Q	50,000,000	2019/11/19	2020/09/29	12.50
69211	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 R	50,000,000	2019/11/19	2020/09/29	12.50
69212	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 A	50,000,000	2019/11/19	2020/07/30	12.50
69215	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 B	50,000,000	2019/11/19	2020/07/30	12.50
69226	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 C	250,000,000	2019/11/19	2021/10/28	62.50
69490	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 D	240,000,000	2019/11/19	2021/10/28	60.00
69497	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 D	240,000,000	2019/11/19	2020/03/30	60.00
69491	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 S	250,000,000	2019/11/19	2020/03/30	62.50
69496	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 T	250,000,000	2019/11/19	2020/03/30	62.50
69161	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 M	250,000,000	2019/11/19	2020/04/28	62.50
69162	BNP Paribas Issuance B.V. - HSI R Bear CBBC Apr 2020 O	240,000,000	2019/11/19	2020/04/28	60.00
69192	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 H	50,000,000	2019/11/19	2020/12/30	12.50
69165	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Sep 2020 F	200,000,000	2019/11/19	2020/09/29	50.00
69176	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Sep 2020 G	200,000,000	2019/11/19	2020/09/29	50.00
69187	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Apr 2020 J	200,000,000	2019/11/19	2020/04/29	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
69189	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Apr 2020 K	200,000,000	2019/11/19	2020/04/29	50.00
69222	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 I	50,000,000	2019/11/19	2020/12/30	12.50
69550	Credit Suisse AG - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/11/19	2021/10/28	50.00
69561	Credit Suisse AG - HSI R Bull CBBC Oct 2021 F	200,000,000	2019/11/19	2021/10/28	50.00
69571	Credit Suisse AG - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/11/19	2021/12/30	50.00
69534	Credit Suisse AG - HSI R Bear CBBC Feb 2020 U	200,000,000	2019/11/19	2020/02/27	50.00
69549	Credit Suisse AG - HSI R Bear CBBC Feb 2020 V	200,000,000	2019/11/19	2020/02/27	50.00
69531	Credit Suisse AG - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/11/19	2020/03/30	50.00
69533	Credit Suisse AG - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/11/19	2020/03/30	50.00
69577	Credit Suisse AG - Tencent R Bull CBBC Sep 2020 A	100,000,000	2019/11/19	2020/09/29	25.00
69583	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2022 C	160,000,000	2019/11/19	2022/12/29	40.00
69589	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2022 D	160,000,000	2019/11/19	2022/12/29	40.00
69585	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 B	160,000,000	2019/11/19	2020/03/30	40.00
69582	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/11/19	2020/03/30	50.00
69596	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 J	160,000,000	2019/11/19	2020/03/30	40.00
69587	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 N	60,000,000	2019/11/19	2020/02/19	15.00
69272	HK Bank - CSPC Pharma R Bear CBBC Apr 2020 A	80,000,000	2019/11/19	2020/04/27	20.00
69277	HK Bank - HSI R Bull CBBC Sep 2021 F	80,000,000	2019/11/19	2021/09/29	20.00
69280	HK Bank - HSI R Bear CBBC Mar 2020 J	60,000,000	2019/11/19	2020/03/30	15.00
69267	HK Bank - HSI R Bear CBBC Apr 2020 A	100,000,000	2019/11/19	2020/04/28	25.00
69276	HK Bank - Link REIT R Bull CBBC Apr 2020 A	40,000,000	2019/11/19	2020/04/27	10.00
69287	HK Bank - Meituan Dianping R Bull CBBC Jun 2020 A	40,000,000	2019/11/19	2020/06/22	10.00
69268	HK Bank - Tencent R Bull CBBC Apr 2020 R	40,000,000	2019/11/19	2020/04/06	10.00
69270	HK Bank - Xiaomi R Bull CBBC May 2020 A	60,000,000	2019/11/19	2020/05/18	15.00
69322	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 G	150,000,000	2019/11/19	2022/01/28	37.50
69329	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 J	150,000,000	2019/11/19	2022/01/28	37.50
69324	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 H	150,000,000	2019/11/19	2020/02/27	37.50
69333	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 K	150,000,000	2019/11/19	2020/02/27	37.50
69357	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 U	150,000,000	2019/11/19	2020/03/30	37.50
69344	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 K	150,000,000	2019/11/19	2020/04/28	37.50
69380	Haitong Int'l Sec - ICBC R Bear CBBC Apr 2020 C	40,000,000	2019/11/19	2020/04/14	10.00
69379	Haitong Int'l Sec - Meituan Dianping R Bull CBBC May 2020 A	50,000,000	2019/11/19	2020/05/11	12.50
69358	Haitong Int'l Sec - Tencent R Bull CBBC May 2020 A	40,000,000	2019/11/19	2020/05/27	10.00
69451	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 M	200,000,000	2019/11/19	2021/09/29	50.00
69454	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 S	200,000,000	2019/11/19	2021/09/29	50.00
69455	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 U	200,000,000	2019/11/19	2021/09/29	50.00
69470	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/11/19	2020/03/30	50.00
69458	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/11/19	2020/03/30	50.00
69223	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 I	12,900,000	2019/11/19	2020/04/29	10.02
69288	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 A	80,000,000	2019/11/19	2022/02/25	20.00
69291	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 B	80,000,000	2019/11/19	2022/02/25	20.00
69298	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 C	80,000,000	2019/11/19	2022/02/25	20.00
69294	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 K	80,000,000	2019/11/19	2020/03/30	20.00
69295	Morgan Stanley Asia Products - Meituan R Bear CBBC Aug2020 A	40,000,000	2019/11/19	2020/08/03	10.00
69498	SG Issuer - Galaxy Ent R Bear CBBC Apr 2020 F	100,000,000	2019/11/19	2020/04/29	25.00

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69517	SG Issuer - HSI R Bull CBBC May 2021 M	250,000,000	2019/11/19	2021/05/28	62.50
69519	SG Issuer - HSI R Bull CBBC Aug 2021 G	250,000,000	2019/11/19	2021/08/30	62.50
69521	SG Issuer - HSI R Bull CBBC Aug 2021 J	250,000,000	2019/11/19	2021/08/30	62.50
69505	SG Issuer - HSI R Bear CBBC Feb 2020 B	250,000,000	2019/11/19	2020/02/27	62.50
69504	SG Issuer - HSI R Bear CBBC Feb 2020 M	250,000,000	2019/11/19	2020/02/27	62.50
69507	SG Issuer - HSI R Bear CBBC Mar 2020 I	250,000,000	2019/11/19	2020/03/30	62.50
69511	SG Issuer - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/11/19	2020/04/28	62.50
69525	SG Issuer - Sino Biopharm R Bull CBBC Apr 2020 A	40,000,000	2019/11/19	2020/04/28	10.00
69526	SG Issuer - Sino Biopharm R Bull CBBC Apr 2020 B	40,000,000	2019/11/19	2020/04/29	10.00
69500	SG Issuer - Tencent R Bear CBBC Apr 2020 D	80,000,000	2019/11/19	2020/04/03	20.00
69314	UBS AG - HSI R Bull CBBC Nov 2021 I	200,000,000	2019/11/19	2021/11/29	50.00
69305	UBS AG - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/11/19	2022/01/28	50.00
69306	UBS AG - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/11/19	2022/01/28	50.00
69301	UBS AG - HSI R Bear CBBC Feb 2020 B	200,000,000	2019/11/19	2020/02/27	50.00
69315	UBS AG - HSI R Bear CBBC Feb 2020 C	200,000,000	2019/11/19	2020/02/27	50.00
69320	UBS AG - HSI R Bear CBBC Feb 2020 Z	200,000,000	2019/11/19	2020/02/27	50.00
69304	UBS AG - Link REIT R Bull CBBC May 2020 A	50,000,000	2019/11/19	2020/05/18	12.50
69413	Bank Vontobel - AIA R Bull CBBC Oct 2020 B	40,000,000	2019/11/19	2020/10/23	10.00
69404	Bank Vontobel - China Life R Bear CBBC Jul 2020 C	40,000,000	2019/11/19	2020/07/17	10.00
69417	Bank Vontobel - CSPC Pharma R Bull CBBC Sep 2020 A	40,000,000	2019/11/19	2020/09/11	10.00
69421	Bank Vontobel - CSPC Pharma R Bull CBBC Sep 2020 B	40,000,000	2019/11/19	2020/09/25	15.64
69392	Bank Vontobel - HSI N Bear CBBC Apr 2020 D	80,000,000	2019/11/19	2020/04/28	20.00
69407	Bank Vontobel - HSI N Bear CBBC Apr 2020 F	80,000,000	2019/11/19	2020/04/28	20.00
69390	Bank Vontobel - HSI R Bear CBBC Apr 2020 K	80,000,000	2019/11/19	2020/04/28	20.00
69429	Bank Vontobel - Meituan Dianping R Bull CBBC Aug 2020 K	60,000,000	2019/11/19	2020/08/07	15.00
69445	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 F	60,000,000	2019/11/19	2020/09/11	15.00
69399	Bank Vontobel - Tencent R Bear CBBC Aug 2020 A	40,000,000	2019/11/19	2020/08/07	10.00
69615	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 U	150,000,000	2019/11/20	2021/12/30	37.50
69619	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 V	150,000,000	2019/11/20	2021/12/30	37.50
69621	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 W	150,000,000	2019/11/20	2021/12/30	37.50
69699	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/11/20	2020/03/30	37.50
69697	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 X	150,000,000	2019/11/20	2020/03/30	37.50
69704	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Y	150,000,000	2019/11/20	2020/03/30	37.50
69820	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 S	50,000,000	2019/11/20	2020/09/29	12.50
69597	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/11/20	2021/10/28	62.50
69614	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 F	240,000,000	2019/11/20	2021/10/28	60.00
69609	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 G	250,000,000	2019/11/20	2021/10/28	62.50
60010	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 I	240,000,000	2019/11/20	2021/10/28	60.00
69611	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 W	250,000,000	2019/11/20	2021/10/28	62.50
69613	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 X	240,000,000	2019/11/20	2021/10/28	60.00
69804	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 F	250,000,000	2019/11/20	2020/03/30	62.50
69819	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 G	250,000,000	2019/11/20	2020/03/30	62.50
69806	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 P	250,000,000	2019/11/20	2020/03/30	62.50
69815	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 Y	250,000,000	2019/11/20	2020/03/30	62.50
69875	Credit Suisse AG - China Mobile R Bull CBBC Jul 2020 A	70,000,000	2019/11/20	2020/07/30	17.50

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69848	Credit Suisse AG - HSI R Bull CBBC Oct 2021 I	200,000,000	2019/11/20	2021/10/28	50.00
69854	Credit Suisse AG - HSI R Bull CBBC Oct 2021 V	200,000,000	2019/11/20	2021/10/28	50.00
69846	Credit Suisse AG - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/11/20	2021/11/29	50.00
69844	Credit Suisse AG - HSI R Bull CBBC Feb 2022 D	300,000,000	2019/11/20	2022/02/25	75.00
69876	Credit Suisse AG - HSI R Bear CBBC Feb 2020 C	200,000,000	2019/11/20	2020/02/27	50.00
69882	Credit Suisse AG - HSI R Bear CBBC Feb 2020 S	200,000,000	2019/11/20	2020/02/27	50.00
69879	Credit Suisse AG - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/11/20	2020/03/30	50.00
69881	Credit Suisse AG - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/11/20	2020/04/28	50.00
69878	Credit Suisse AG - HSI R Bear CBBC Jun 2020 D	300,000,000	2019/11/20	2020/06/29	75.00
69862	Credit Suisse AG - SHK Ppt R Bear CBBC May 2020 A	40,000,000	2019/11/20	2020/05/28	10.00
69870	Credit Suisse AG - Tencent R Bull CBBC Jul 2020 B	100,000,000	2019/11/20	2020/07/30	25.00
60015	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 E	160,000,000	2019/11/20	2022/11/29	40.00
60025	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 F	160,000,000	2019/11/20	2022/11/29	40.00
60026	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 G	160,000,000	2019/11/20	2022/11/29	40.00
60030	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 H	160,000,000	2019/11/20	2022/11/29	40.00
60028	Goldman Sachs SP (Asia) - HSI R Bull CBBC Dec 2022 E	160,000,000	2019/11/20	2022/12/29	40.00
60037	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 C	160,000,000	2019/11/20	2020/03/30	40.00
60032	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 P	160,000,000	2019/11/20	2020/03/30	40.00
60033	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 W	160,000,000	2019/11/20	2020/03/30	40.00
60034	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Y	160,000,000	2019/11/20	2020/03/30	40.00
60035	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Z	160,000,000	2019/11/20	2020/03/30	40.00
60038	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 E	60,000,000	2019/11/20	2020/03/30	15.00
69690	HK Bank - AIA R Bear CBBC Apr 2020 D	40,000,000	2019/11/20	2020/04/14	10.00
69648	HK Bank - HKEX R Bear CBBC Jun 2020 A	40,000,000	2019/11/20	2020/06/01	10.00
69664	HK Bank - HSCEI R Bear CBBC Apr 2020 A	50,000,000	2019/11/20	2020/04/28	12.50
69644	HK Bank - HSI R Bull CBBC Sep 2021 G	80,000,000	2019/11/20	2021/09/29	20.00
69647	HK Bank - HSI R Bull CBBC Sep 2021 H	60,000,000	2019/11/20	2021/09/29	15.00
69667	HK Bank - HSI R Bear CBBC Apr 2020 B	60,000,000	2019/11/20	2020/04/28	15.00
69681	HK Bank - HSI R Bear CBBC Apr 2020 C	80,000,000	2019/11/20	2020/04/28	20.00
69655	HK Bank - Meituan Dianping R Bull CBBC Jun 2020 B	40,000,000	2019/11/20	2020/06/01	10.00
69652	HK Bank - Ping An R Bear CBBC Apr 2020 C	50,000,000	2019/11/20	2020/04/20	12.50
69646	HK Bank - Tencent R Bull CBBC Apr 2020 S	40,000,000	2019/11/20	2020/04/27	10.00
69708	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 L	150,000,000	2019/11/20	2022/01/28	37.50
69720	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 O	150,000,000	2019/11/20	2022/01/28	37.50
69721	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 U	150,000,000	2019/11/20	2022/01/28	37.50
69736	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 W	150,000,000	2019/11/20	2022/01/28	37.50
69717	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 L	150,000,000	2019/11/20	2022/02/25	37.50
69841	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 I	150,000,000	2019/11/20	2020/02/27	37.50
69727	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 P	150,000,000	2019/11/20	2020/02/27	37.50
69732	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 V	150,000,000	2019/11/20	2020/03/30	37.50
69734	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 W	150,000,000	2019/11/20	2020/03/30	37.50
69735	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 L	150,000,000	2019/11/20	2020/04/28	37.50
69842	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 B	40,000,000	2019/11/20	2020/05/26	10.00
69903	J P Morgan SP BV - HKEX R Bear CBBC May 2020 B	150,000,000	2019/11/20	2020/05/15	37.50
69641	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/11/20	2021/09/29	50.00

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69638	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 J	200,000,000	2019/11/20	2021/09/29	50.00
69640	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/11/20	2021/09/29	50.00
69625	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 W	200,000,000	2019/11/20	2021/09/29	50.00
69883	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 S	200,000,000	2019/11/20	2020/04/28	50.00
69886	J P Morgan SP BV - HSI R Bear CBBC Sep 2021 B	200,000,000	2019/11/20	2021/09/29	50.00
69890	J P Morgan SP BV - HSI R Bear CBBC Sep 2021 C	200,000,000	2019/11/20	2021/09/29	50.00
69893	J P Morgan SP BV - HSI R Bear CBBC Sep 2021 D	200,000,000	2019/11/20	2021/09/29	50.00
69900	J P Morgan SP BV - HSI R Bear CBBC Sep 2021 E	200,000,000	2019/11/20	2021/09/29	50.00
60046	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 G	80,000,000	2019/11/20	2022/01/28	20.00
60047	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 H	80,000,000	2019/11/20	2022/01/28	20.00
60050	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 I	80,000,000	2019/11/20	2022/01/28	20.00
60049	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 S	80,000,000	2019/11/20	2020/02/27	20.00
60051	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 O	80,000,000	2019/11/20	2020/03/30	20.00
69928	SG Issuer - AIA R Bull CBBC Mar 2020 E	60,000,000	2019/11/20	2020/03/31	15.00
69937	SG Issuer - AIA R Bear CBBC Mar 2020 A	60,000,000	2019/11/20	2020/03/30	15.00
69936	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 P	100,000,000	2019/11/20	2020/04/29	25.00
69940	SG Issuer - HKEX R Bear CBBC Apr 2020 A	50,000,000	2019/11/20	2020/04/29	12.50
69949	SG Issuer - HSI R Bull CBBC Aug 2021 K	250,000,000	2019/11/20	2021/08/30	62.50
69946	SG Issuer - HSI R Bull CBBC Aug 2021 N	250,000,000	2019/11/20	2021/08/30	62.50
69905	SG Issuer - HSI R Bull CBBC Sep 2021 N	250,000,000	2019/11/20	2021/09/29	62.50
69916	SG Issuer - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/11/20	2021/10/28	62.50
69923	SG Issuer - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/11/20	2021/10/28	62.50
69924	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/11/20	2021/11/29	62.50
69927	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/11/20	2021/12/30	62.50
69984	SG Issuer - HSI R Bear CBBC Mar 2020 M	250,000,000	2019/11/20	2020/03/30	62.50
69989	SG Issuer - HSI R Bear CBBC Mar 2020 O	250,000,000	2019/11/20	2020/03/30	62.50
69999	SG Issuer - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/11/20	2020/04/28	62.50
69997	SG Issuer - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/11/20	2020/04/28	62.50
60002	SG Issuer - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/11/20	2020/04/28	62.50
69942	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 H	100,000,000	2019/11/20	2020/04/27	25.00
69944	SG Issuer - Meituan Dianping R Bear CBBC May 2020 B	100,000,000	2019/11/20	2020/05/28	25.00
69933	SG Issuer - Ping An R Bull CBBC Apr 2020 M	100,000,000	2019/11/20	2020/04/29	25.00
69945	SG Issuer - Ping An R Bear CBBC Apr 2020 G	100,000,000	2019/11/20	2020/04/29	25.00
69935	SG Issuer - Tencent R Bull CBBC Mar 2020 Q	80,000,000	2019/11/20	2020/03/31	20.00
69830	UBS AG - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/11/20	2021/11/29	50.00
69831	UBS AG - HSI R Bull CBBC Nov 2021 O	200,000,000	2019/11/20	2021/11/29	50.00
69827	UBS AG - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/11/20	2022/01/28	50.00
69821	UBS AG - HSI R Bull CBBC Jan 2022 Y	200,000,000	2019/11/20	2022/01/28	50.00
69840	UBS AG - HSI R Bear CBBC Feb 2020 E	200,000,000	2019/11/20	2020/02/27	50.00
69832	UBS AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/11/20	2020/03/30	50.00
69837	UBS AG - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/11/20	2020/03/30	50.00
69838	UBS AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/11/20	2020/03/30	50.00
69739	Bank Vontobel - CSOP A50 ETF R Bull CBBC Sep 2020 A	40,000,000	2019/11/20	2020/09/25	10.00
69751	Bank Vontobel - CSOP A50 ETF R Bull CBBC Nov 2020 A	30,000,000	2019/11/20	2020/11/20	10.83
69745	Bank Vontobel - CSOP A50 ETF R Bear CBBC Jul 2020 A	40,000,000	2019/11/20	2020/07/24	10.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
69758	Bank Vontobel - HKEX R Bear CBBC Jul 2020 A	40,000,000	2019/11/20	2020/07/10	10.00
69797	Bank Vontobel - HSI N Bull CBBC May 2021 Q	80,000,000	2019/11/20	2021/05/28	20.00
69801	Bank Vontobel - HSI N Bear CBBC Apr 2020 J	80,000,000	2019/11/20	2020/04/28	20.00
69778	Bank Vontobel - HSI R Bull CBBC May 2021 P	80,000,000	2019/11/20	2021/05/28	20.00
69759	Bank Vontobel - HSI R Bear CBBC Apr 2020 H	80,000,000	2019/11/20	2020/04/28	20.00
69786	Bank Vontobel - HSI R Bear CBBC Apr 2020 I	80,000,000	2019/11/20	2020/04/28	20.00
69741	Bank Vontobel - Ping An R Bear CBBC Sep 2020 A	40,000,000	2019/11/20	2020/09/25	10.00
60141	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 A	150,000,000	2019/11/21	2021/12/30	37.50
60054	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 X	150,000,000	2019/11/21	2021/12/30	37.50
60056	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 Y	150,000,000	2019/11/21	2021/12/30	37.50
60140	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 Z	150,000,000	2019/11/21	2021/12/30	37.50
60138	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/11/21	2020/03/30	37.50
60137	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Z	150,000,000	2019/11/21	2020/03/30	37.50
60059	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 H	250,000,000	2019/11/21	2021/10/28	62.50
60062	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 J	250,000,000	2019/11/21	2021/10/28	62.50
60235	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 A	250,000,000	2019/11/21	2020/03/30	62.50
60245	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 B	250,000,000	2019/11/21	2020/03/30	62.50
60248	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/11/21	2020/03/30	62.50
60142	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/11/21	2020/03/30	62.50
60251	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 O	250,000,000	2019/11/21	2020/03/30	62.50
60255	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 Q	250,000,000	2019/11/21	2020/03/30	62.50
60256	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 V	240,000,000	2019/11/21	2020/03/30	60.00
60144	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 W	250,000,000	2019/11/21	2020/03/30	62.50
60282	Credit Suisse AG - AIA R Bear CBBC Apr 2020 C	70,000,000	2019/11/21	2020/04/28	17.50
60261	Credit Suisse AG - HSI R Bull CBBC Oct 2021 K	200,000,000	2019/11/21	2021/10/28	50.00
60260	Credit Suisse AG - HSI R Bull CBBC Oct 2021 T	200,000,000	2019/11/21	2021/10/28	50.00
60257	Credit Suisse AG - HSI R Bull CBBC Nov 2021 D	200,000,000	2019/11/21	2021/11/29	50.00
60283	Credit Suisse AG - HSI R Bear CBBC Feb 2020 G	200,000,000	2019/11/21	2020/02/27	50.00
60286	Credit Suisse AG - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/11/21	2020/03/30	50.00
60285	Credit Suisse AG - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/11/21	2020/03/30	50.00
60288	Credit Suisse AG - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/11/21	2020/04/28	50.00
60284	Credit Suisse AG - HSI R Bear CBBC Jun 2020 E	300,000,000	2019/11/21	2020/06/29	75.00
60152	Bank of East Asia - AIA R Bear CBBC Jun 2020 A	50,000,000	2019/11/21	2020/06/24	12.50
60163	Bank of East Asia - Geely Auto R Bear CBBC Jul 2020 B	40,000,000	2019/11/21	2020/07/03	10.00
60160	Bank of East Asia - Galaxy Ent R Bear CBBC Jul 2020 A	100,000,000	2019/11/21	2020/07/03	25.00
60168	Bank of East Asia - HKEX R Bear CBBC Jul 2020 A	30,000,000	2019/11/21	2020/07/03	10.50
60169	Bank of East Asia - Meituan Dianping R Bear CBBC Aug 2020 A	50,000,000	2019/11/21	2020/08/12	12.50
60148	Bank of East Asia - Tencent R Bull CBBC Aug 2020 A	30,000,000	2019/11/21	2020/08/12	10.50
60158	Bank of East Asia - Tencent R Bear CBBC Aug 2020 A	30,000,000	2019/11/21	2020/08/12	10.50
60351	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 I	200,000,000	2019/11/21	2022/11/29	50.00
60352	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 J	200,000,000	2019/11/21	2022/11/29	50.00
60353	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 K	200,000,000	2019/11/21	2022/11/29	50.00
60366	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 D	160,000,000	2019/11/21	2020/03/30	40.00
60362	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 F	160,000,000	2019/11/21	2020/03/30	40.00
60367	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 G	160,000,000	2019/11/21	2020/03/30	40.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
60368	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 N	160,000,000	2019/11/21	2020/03/30	40.00
60373	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 O	80,000,000	2019/11/21	2020/02/21	20.00
60372	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 F	60,000,000	2019/11/21	2020/03/30	15.00
60087	HK Bank - CPIC R Bull CBBC May 2020 B	40,000,000	2019/11/21	2020/05/18	10.00
60093	HK Bank - CSPC Pharma R Bull CBBC Jun 2020 B	80,000,000	2019/11/21	2020/06/29	20.00
60066	HK Bank - HSI R Bull CBBC Sep 2021 I	60,000,000	2019/11/21	2021/09/29	15.00
60081	HK Bank - HSI R Bull CBBC Sep 2021 J	100,000,000	2019/11/21	2021/09/29	25.00
60068	HK Bank - HSI R Bear CBBC Apr 2020 D	80,000,000	2019/11/21	2020/04/28	20.00
60069	HK Bank - HSI R Bear CBBC Apr 2020 E	60,000,000	2019/11/21	2020/04/28	15.00
60082	HK Bank - HSI R Bear CBBC Apr 2020 F	100,000,000	2019/11/21	2020/04/28	25.00
60096	HK Bank - Sino Biopharm R Bull CBBC Apr 2020 B	40,000,000	2019/11/21	2020/04/14	10.00
60063	HK Bank - Tencent R Bull CBBC Apr 2020 T	40,000,000	2019/11/21	2020/04/14	10.00
60073	HK Bank - Tencent R Bull CBBC Jun 2020 E	100,000,000	2019/11/21	2020/06/26	25.00
60079	HK Bank - Tencent R Bull CBBC Jun 2020 F	40,000,000	2019/11/21	2020/06/18	10.00
60091	HK Bank - Tencent R Bear CBBC Jun 2020 C	40,000,000	2019/11/21	2020/06/15	10.00
60195	Haitong Int'l Sec - China Mobile R Bull CBBC May 2020 A	50,000,000	2019/11/21	2020/05/21	12.50
60200	Haitong Int'l Sec - China Tower R Bull CBBC Oct 2020 A	50,000,000	2019/11/21	2020/10/09	12.50
60097	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Q	150,000,000	2019/11/21	2022/01/28	37.50
60099	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 M	150,000,000	2019/11/21	2022/02/25	37.50
60102	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 N	150,000,000	2019/11/21	2022/02/25	37.50
60113	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 L	150,000,000	2019/11/21	2020/02/27	37.50
60116	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 T	150,000,000	2019/11/21	2020/02/27	37.50
60106	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 X	150,000,000	2019/11/21	2020/03/30	37.50
60115	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 Y	150,000,000	2019/11/21	2020/03/30	37.50
60187	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2020 B	40,000,000	2019/11/21	2020/07/23	10.00
60121	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/11/21	2021/10/28	50.00
60123	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/11/21	2021/10/28	50.00
60132	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 H	200,000,000	2019/11/21	2021/10/28	50.00
60130	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/11/21	2021/10/28	50.00
60131	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/11/21	2021/10/28	50.00
60201	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/11/21	2020/04/28	50.00
60206	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/11/21	2020/04/28	50.00
60208	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 W	200,000,000	2019/11/21	2020/04/28	50.00
60209	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 X	200,000,000	2019/11/21	2020/04/28	50.00
60210	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/11/21	2020/04/28	50.00
60218	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Z	200,000,000	2019/11/21	2020/04/28	50.00
60124	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 V	50,000,000	2019/11/21	2020/04/09	12.50
60125	J P Morgan SP BV - Tencent R Bull CBBC May 2020 C	200,000,000	2019/11/21	2020/05/08	50.00
60212	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 R	50,000,000	2019/11/21	2020/04/17	12.50
60214	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 S	200,000,000	2019/11/21	2020/04/17	50.00
60291	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 M	80,000,000	2019/11/21	2021/12/30	20.00
60145	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 D	80,000,000	2019/11/21	2022/02/25	20.00
60290	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 P	80,000,000	2019/11/21	2020/03/30	20.00
60317	SG Issuer - HSI R Bull CBBC Aug 2021 R	250,000,000	2019/11/21	2021/08/30	62.50
60334	SG Issuer - HSI R Bull CBBC Sep 2021 C	250,000,000	2019/11/21	2021/09/29	62.50

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60338	SG Issuer - HSI R Bull CBBC Sep 2021 D	250,000,000	2019/11/21	2021/09/29	62.50
60321	SG Issuer - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/11/21	2021/10/28	62.50
60323	SG Issuer - HSI R Bull CBBC Nov 2021 M	250,000,000	2019/11/21	2021/11/29	62.50
60339	SG Issuer - HSI R Bull CBBC Dec 2021 E	250,000,000	2019/11/21	2021/12/30	62.50
60340	SG Issuer - HSI R Bull CBBC Dec 2021 O	250,000,000	2019/11/21	2021/12/30	62.50
60343	SG Issuer - HSI R Bear CBBC Mar 2020 P	250,000,000	2019/11/21	2020/03/30	62.50
60345	SG Issuer - HSI R Bear CBBC Mar 2020 S	250,000,000	2019/11/21	2020/03/30	62.50
60347	SG Issuer - HSI R Bear CBBC May 2020 W	250,000,000	2019/11/21	2020/05/28	62.50
60348	SG Issuer - HSI R Bear CBBC May 2020 X	250,000,000	2019/11/21	2020/05/28	62.50
60328	SG Issuer - Ping An R Bull CBBC Aug 2020 B	100,000,000	2019/11/21	2020/08/06	25.00
60312	SG Issuer - Tencent R Bull CBBC Apr 2020 R	80,000,000	2019/11/21	2020/04/02	20.00
60315	SG Issuer - Tencent R Bull CBBC Apr 2020 S	80,000,000	2019/11/21	2020/04/22	28.80
60331	SG Issuer - Tencent R Bear CBBC Apr 2020 C	80,000,000	2019/11/21	2020/04/27	20.00
60052	UBS AG - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/11/21	2022/01/28	50.00
60053	UBS AG - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/11/21	2022/01/28	50.00
60172	UBS AG - HSI R Bull CBBC Jan 2022 M	200,000,000	2019/11/21	2022/01/28	50.00
60174	UBS AG - HSI R Bull CBBC Feb 2022 B	200,000,000	2019/11/21	2022/02/25	50.00
60175	UBS AG - HSI R Bull CBBC Feb 2022 C	200,000,000	2019/11/21	2022/02/25	50.00
60179	UBS AG - HSI R Bull CBBC Feb 2022 D	200,000,000	2019/11/21	2022/02/25	50.00
60182	UBS AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/11/21	2020/03/30	50.00
60183	UBS AG - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/11/21	2020/03/30	50.00
60185	UBS AG - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/11/21	2020/03/30	50.00
60186	UBS AG - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/11/21	2020/03/30	50.00
60305	Bank Vontobel - AIA R Bear CBBC Jul 2020 B	40,000,000	2019/11/21	2020/07/17	10.00
60309	Bank Vontobel - Geely Auto R Bear CBBC Dec 2020 A	40,000,000	2019/11/21	2020/12/11	10.00
60292	Bank Vontobel - HSI R Bull CBBC May 2021 R	80,000,000	2019/11/21	2021/05/28	20.00
60297	Bank Vontobel - HSI R Bear CBBC Apr 2020 L	80,000,000	2019/11/21	2020/04/28	20.00
60296	Bank Vontobel - SHK Ppt R Bear CBBC Apr 2020 B	40,000,000	2019/11/21	2020/04/24	10.00
60480	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 K	240,000,000	2019/11/22	2021/10/28	60.00
60481	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 A	250,000,000	2019/11/22	2020/05/28	62.50
60482	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 B	240,000,000	2019/11/22	2020/05/28	60.00
60453	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 I	50,000,000	2019/11/22	2020/12/30	12.50
60452	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 J	50,000,000	2019/11/22	2020/12/30	12.50
60477	Credit Suisse AG - HSI R Bull CBBC Nov 2021 L	200,000,000	2019/11/22	2021/11/29	50.00
60478	Credit Suisse AG - HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/11/22	2021/11/29	50.00
60462	Credit Suisse AG - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/11/22	2020/03/30	50.00
60468	Credit Suisse AG - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/11/22	2020/03/30	50.00
60473	Credit Suisse AG - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/11/22	2020/03/30	50.00
60471	Credit Suisse AG - HSI R Bear CBBC Apr 2020 G	200,000,000	2019/11/22	2020/04/28	50.00
60466	Credit Suisse AG - HSI R Bear CBBC Apr 2020 I	200,000,000	2019/11/22	2020/04/28	50.00
60539	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC Mar2020 A	60,000,000	2019/11/22	2020/03/30	15.00
60531	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 L	200,000,000	2019/11/22	2022/11/29	50.00
60536	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 M	160,000,000	2019/11/22	2022/11/29	40.00
60530	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 A	160,000,000	2019/11/22	2020/03/30	40.00
60534	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 I	160,000,000	2019/11/22	2020/03/30	40.16

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
60535	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 O	160,000,000	2019/11/22	2020/03/30	40.00
60538	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Mar20B	50,000,000	2019/11/22	2020/03/30	12.50
60537	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 P	60,000,000	2019/11/22	2020/02/24	15.00
60380	HK Bank - China Mobile R Bull CBBC Jun 2020 A	60,000,000	2019/11/22	2020/06/29	15.00
60393	HK Bank - China Mobile R Bear CBBC Mar 2020 C	40,000,000	2019/11/22	2020/03/30	10.00
60384	HK Bank - HKEX R Bull CBBC May 2020 H	40,000,000	2019/11/22	2020/05/21	10.00
60381	HK Bank - HSI R Bull CBBC Sep 2021 K	60,000,000	2019/11/22	2021/09/29	15.00
60385	HK Bank - HSI R Bull CBBC Sep 2021 L	80,000,000	2019/11/22	2021/09/29	20.00
60375	HK Bank - HSI R Bear CBBC Apr 2020 G	80,000,000	2019/11/22	2020/04/28	20.00
60378	HK Bank - HSI R Bear CBBC Apr 2020 H	100,000,000	2019/11/22	2020/04/28	25.00
60388	HK Bank - Tencent R Bear CBBC Jun 2020 D	40,000,000	2019/11/22	2020/06/22	10.00
60437	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 R	150,000,000	2019/11/22	2022/01/28	37.50
60414	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 O	150,000,000	2019/11/22	2022/02/25	37.50
60438	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 P	150,000,000	2019/11/22	2022/02/25	37.50
60443	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 V	150,000,000	2019/11/22	2020/02/27	37.50
60451	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 B	150,000,000	2019/11/22	2020/03/30	37.50
60439	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 Z	150,000,000	2019/11/22	2020/03/30	37.50
60418	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 C	200,000,000	2019/11/22	2021/09/29	50.00
60422	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 N	200,000,000	2019/11/22	2021/10/28	50.00
60423	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/11/22	2021/11/29	50.00
60426	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/11/22	2021/12/30	50.00
60415	J P Morgan SP BV - HSI R Bear CBBC Feb 2020 Y	200,000,000	2019/11/22	2020/02/27	50.00
60490	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 A	200,000,000	2019/11/22	2020/04/28	50.00
60487	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 B	200,000,000	2019/11/22	2020/04/28	50.00
60417	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 E	200,000,000	2019/11/22	2020/04/28	50.00
60486	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 K	200,000,000	2019/11/22	2020/04/28	50.00
60489	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/11/22	2020/04/28	50.00
60483	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 H	60,000,000	2019/11/22	2020/05/15	15.00
60484	J P Morgan SP BV - Tencent R Bull CBBC Mar 2020 N	50,000,000	2019/11/22	2020/03/20	12.50
60436	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 J	80,000,000	2019/11/22	2022/01/28	20.00
60434	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 E	80,000,000	2019/11/22	2022/02/25	20.00
60428	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 N	80,000,000	2019/11/22	2020/04/28	20.00
60432	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 O	80,000,000	2019/11/22	2020/04/28	20.00
60508	SG Issuer - HKEX R Bull CBBC May 2020 E	50,000,000	2019/11/22	2020/05/25	12.50
60514	SG Issuer - HSI R Bull CBBC Aug 2021 W	250,000,000	2019/11/22	2021/08/30	62.50
60524	SG Issuer - HSI R Bull CBBC Sep 2021 I	250,000,000	2019/11/22	2021/09/29	62.50
60528	SG Issuer - HSI R Bull CBBC Sep 2021 M	250,000,000	2019/11/22	2021/09/29	62.50
60509	SG Issuer - HSI R Bear CBBC Feb 2020 F	250,000,000	2019/11/22	2020/02/27	62.50
60510	SG Issuer - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/11/22	2020/04/28	62.50
60515	SG Issuer - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/11/22	2020/04/28	62.50
60517	SG Issuer - HSI R Bear CBBC May 2020 Y	250,000,000	2019/11/22	2020/05/28	62.50
60513	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 I	100,000,000	2019/11/22	2020/04/27	25.00
60529	SG Issuer - Tencent R Bull CBBC Apr 2020 T	80,000,000	2019/11/22	2020/04/24	20.00
60403	UBS AG - HSI R Bull CBBC Dec 2021 A	200,000,000	2019/11/22	2021/12/30	50.00
60405	UBS AG - HSI R Bull CBBC Dec 2021 H	200,000,000	2019/11/22	2021/12/30	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
60400	UBS AG - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/11/22	2021/12/30	50.00
60394	UBS AG - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/11/22	2020/03/30	50.00
60396	UBS AG - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/11/22	2020/03/30	50.00
60410	UBS AG - Tencent R Bull CBBC Apr 2020 E	40,000,000	2019/11/22	2020/04/21	10.00
60411	UBS AG - Tencent R Bull CBBC Apr 2020 F	200,000,000	2019/11/22	2020/04/28	50.00
60412	UBS AG - Tencent R Bear CBBC Apr 2020 A	40,000,000	2019/11/22	2020/04/21	10.00
60413	UBS AG - Tencent R Bear CBBC Apr 2020 B	200,000,000	2019/11/22	2020/04/28	50.00
60491	Bank Vontobel - CSPC Pharma R Bull CBBC Sep 2020 C	40,000,000	2019/11/22	2020/09/25	10.00
60495	Bank Vontobel - Galaxy Ent R Bear CBBC May 2020 B	40,000,000	2019/11/22	2020/05/22	10.00
60498	Bank Vontobel - HSBC R Bear CBBC Apr 2020 B	40,000,000	2019/11/22	2020/04/24	10.00
60505	Bank Vontobel - HSI N Bull CBBC May 2021 S	80,000,000	2019/11/22	2021/05/28	20.00
60506	Bank Vontobel - HSI N Bear CBBC Apr 2020 Y	80,000,000	2019/11/22	2020/04/28	20.00
60493	Bank Vontobel - HSI R Bear CBBC Apr 2020 M	80,000,000	2019/11/22	2020/04/28	20.00
60494	Bank Vontobel - HSI R Bear CBBC Apr 2020 W	80,000,000	2019/11/22	2020/04/28	20.00
60500	Bank Vontobel - HSI R Bear CBBC Apr 2020 X	80,000,000	2019/11/22	2020/04/28	20.00
60507	Bank Vontobel - Meituan Dianping R Bull CBBC Sep 2020 G	60,000,000	2019/11/22	2020/09/11	15.00
60575	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 B	150,000,000	2019/11/25	2021/12/30	37.50
60542	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 G	150,000,000	2019/11/25	2020/03/30	37.50
60671	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 L	250,000,000	2019/11/25	2021/10/28	62.50
60672	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 M	250,000,000	2019/11/25	2021/10/28	62.50
60678	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 C	250,000,000	2019/11/25	2020/05/28	62.50
60691	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 D	250,000,000	2019/11/25	2020/05/28	62.50
60692	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 E	240,000,000	2019/11/25	2020/05/28	60.00
60650	Credit Suisse AG - CSPC Pharma R Bull CBBC Jul 2020 E	100,000,000	2019/11/25	2020/07/30	25.00
60660	Credit Suisse AG - HSI R Bull CBBC Dec 2021 D	200,000,000	2019/11/25	2021/12/30	50.00
60652	Credit Suisse AG - HSI R Bull CBBC Dec 2021 G	200,000,000	2019/11/25	2021/12/30	50.00
60664	Credit Suisse AG - HSI R Bull CBBC Dec 2021 H	200,000,000	2019/11/25	2021/12/30	50.00
60656	Credit Suisse AG - HSI R Bull CBBC Dec 2021 Y	200,000,000	2019/11/25	2021/12/30	50.00
60648	Credit Suisse AG - HSI R Bear CBBC Feb 2020 A	200,000,000	2019/11/25	2020/02/27	50.00
60646	Credit Suisse AG - HSI R Bear CBBC Feb 2020 H	200,000,000	2019/11/25	2020/02/27	50.00
60641	Credit Suisse AG - HSI R Bear CBBC Feb 2020 I	200,000,000	2019/11/25	2020/02/27	50.00
60645	Credit Suisse AG - HSI R Bear CBBC Feb 2020 L	200,000,000	2019/11/25	2020/02/27	50.00
60649	Credit Suisse AG - HSI R Bear CBBC Apr 2020 Q	200,000,000	2019/11/25	2020/04/28	50.00
60769	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 B	160,000,000	2019/11/25	2022/11/29	40.00
60758	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 N	160,000,000	2019/11/25	2022/11/29	40.00
60763	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 O	160,000,000	2019/11/25	2022/11/29	40.00
60748	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 R	160,000,000	2019/11/25	2020/03/30	40.00
60749	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 S	160,000,000	2019/11/25	2020/03/30	40.00
60751	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 T	160,000,000	2019/11/25	2020/03/30	40.00
60761	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 Q	60,000,000	2019/11/25	2020/02/25	15.00
60762	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 R	60,000,000	2019/11/25	2020/02/25	15.00
60565	HK Bank - CSPC Pharma R Bull CBBC May 2020 A	40,000,000	2019/11/25	2020/05/04	22.80
60557	HK Bank - HSI R Bull CBBC Sep 2021 M	60,000,000	2019/11/25	2021/09/29	15.00
60541	HK Bank - HSI R Bear CBBC Apr 2020 I	100,000,000	2019/11/25	2020/04/28	25.00
60574	HK Bank - Link REIT R Bull CBBC May 2020 A	40,000,000	2019/11/25	2020/05/11	10.00

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60573	HK Bank - Meituan Dianping R Bull CBBC May 2020 D	40,000,000	2019/11/25	2020/05/04	10.00
60562	HK Bank - Sino Biopharm R Bull CBBC May 2020 A	40,000,000	2019/11/25	2020/05/11	14.00
60635	Haitong Int'l Sec - AIA R Bull CBBC Apr 2020 D	50,000,000	2019/11/25	2020/04/27	12.50
60587	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 Q	150,000,000	2019/11/25	2022/02/25	37.50
60594	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 R	150,000,000	2019/11/25	2022/02/25	37.50
60599	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 S	150,000,000	2019/11/25	2022/02/25	37.50
60608	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 W	150,000,000	2019/11/25	2020/02/27	37.50
60578	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 A	150,000,000	2019/11/25	2020/03/30	37.50
60600	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 C	150,000,000	2019/11/25	2020/03/30	37.50
60577	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 M	150,000,000	2019/11/25	2020/04/28	37.50
60637	Haitong Int'l Sec - Meituan Dianping R Bull CBBC May 2020 B	50,000,000	2019/11/25	2020/05/15	12.50
60632	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2020 C	40,000,000	2019/11/25	2020/07/06	10.00
60579	Haitong Int'l Sec - Tencent R Bear CBBC Apr 2020 X	40,000,000	2019/11/25	2020/04/14	10.00
60625	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/11/25	2021/09/29	50.00
60627	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 H	200,000,000	2019/11/25	2021/09/29	50.00
60629	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 K	200,000,000	2019/11/25	2021/09/29	50.00
60630	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 P	200,000,000	2019/11/25	2021/12/30	50.00
60631	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/11/25	2021/12/30	50.00
60609	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 C	200,000,000	2019/11/25	2020/04/28	50.00
60611	J P Morgan SP BV - HSI R Bear CBBC May 2020 A	200,000,000	2019/11/25	2020/05/28	50.00
60619	J P Morgan SP BV - HSI R Bear CBBC May 2020 B	200,000,000	2019/11/25	2020/05/28	50.00
60624	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 C	60,000,000	2019/11/25	2020/06/19	15.00
60621	J P Morgan SP BV - Tencent R Bull CBBC May 2020 D	50,000,000	2019/11/25	2020/05/08	12.50
60783	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 N	80,000,000	2019/11/25	2021/12/30	20.00
60774	Morgan Stanley Asia Products - HSI R Bear CBBC Feb 2020 U	80,000,000	2019/11/25	2020/02/27	20.00
60773	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 Q	80,000,000	2019/11/25	2020/03/30	20.00
60776	Morgan Stanley Asia Products - Meituan R Bull CBBC Jun2020 B	40,000,000	2019/11/25	2020/06/01	10.00
60777	Morgan Stanley Asia Products - Meituan R Bear CBBC Jul2020 B	40,000,000	2019/11/25	2020/07/02	10.00
60779	Morgan Stanley Asia Products - Tencent R Bull CBBC May2020 A	50,000,000	2019/11/25	2020/05/04	12.50
60782	Morgan Stanley Asia Products - Tencent R Bear CBBC Jun2020 A	50,000,000	2019/11/25	2020/06/08	12.50
60721	SG Issuer - AIA R Bull CBBC Apr 2020 K	60,000,000	2019/11/25	2020/04/29	15.00
60722	SG Issuer - China Life R Bull CBBC Apr 2020 D	40,000,000	2019/11/25	2020/04/29	10.00
60738	SG Issuer - HSI R Bull CBBC Sep 2021 O	250,000,000	2019/11/25	2021/09/29	62.50
60741	SG Issuer - HSI R Bull CBBC Sep 2021 T	250,000,000	2019/11/25	2021/09/29	62.50
60743	SG Issuer - HSI R Bull CBBC Oct 2021 S	250,000,000	2019/11/25	2021/10/28	62.50
60709	SG Issuer - HSI R Bear CBBC Feb 2020 I	250,000,000	2019/11/25	2020/02/27	62.50
60735	SG Issuer - HSI R Bear CBBC Feb 2020 J	250,000,000	2019/11/25	2020/02/27	62.50
60729	SG Issuer - HSI R Bear CBBC Mar 2020 L	250,000,000	2019/11/25	2020/03/30	62.50
60710	SG Issuer - HSI R Bear CBBC Mar 2020 U	250,000,000	2019/11/25	2020/03/30	62.50
60711	SG Issuer - HSI R Bear CBBC Mar 2020 V	250,000,000	2019/11/25	2020/03/30	62.50
60736	SG Issuer - HSI R Bear CBBC Mar 2020 W	250,000,000	2019/11/25	2020/03/30	62.50
60712	SG Issuer - HSI R Bear CBBC Apr 2020 Q	250,000,000	2019/11/25	2020/04/28	62.50
60723	SG Issuer - Link REIT R Bull CBBC Apr 2020 A	60,000,000	2019/11/25	2020/04/29	15.00
60726	SG Issuer - Link REIT R Bull CBBC Jul 2020 A	60,000,000	2019/11/25	2020/07/31	15.00
60744	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 J	100,000,000	2019/11/25	2020/04/29	25.00



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60747	SG Issuer - Tencent R Bull CBBC Apr 2020 U	80,000,000	2019/11/25	2020/04/29	20.00
60717	SG Issuer - Tencent R Bear CBBC Mar 2020 G	80,000,000	2019/11/25	2020/03/31	20.00
60705	UBS AG - HSI R Bull CBBC Nov 2021 Q	200,000,000	2019/11/25	2021/11/29	50.00
60706	UBS AG - HSI R Bull CBBC Dec 2021 L	200,000,000	2019/11/25	2021/12/30	50.00
60696	UBS AG - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/11/25	2020/03/30	50.00
60699	UBS AG - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/11/25	2020/03/30	50.00
60701	UBS AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/11/25	2020/03/30	50.00
60703	UBS AG - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/11/25	2020/03/30	50.00
60704	UBS AG - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/11/25	2020/03/30	50.00
60708	UBS AG - Tencent R Bull CBBC Apr 2020 G	40,000,000	2019/11/25	2020/04/22	10.00
60831	BOCI Asia Ltd. - HKEX R Bull CBBC May 2020 C	40,000,000	2019/11/26	2020/05/29	10.00
60787	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 C	150,000,000	2019/11/26	2021/12/30	37.50
60828	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 A	150,000,000	2019/11/26	2020/03/30	37.50
60784	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 H	150,000,000	2019/11/26	2020/03/30	37.50
60833	BOCI Asia Ltd. - Tencent R Bull CBBC Mar 2020 B	40,000,000	2019/11/26	2020/03/23	10.00
60836	BOCI Asia Ltd. - Tencent R Bull CBBC Mar 2020 C	40,000,000	2019/11/26	2020/03/23	10.00
60830	BOCI Asia Ltd. - Xiaomi R Bear CBBC Mar 2020 A	40,000,000	2019/11/26	2020/03/16	10.00
60843	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 C	50,000,000	2019/11/26	2020/07/30	12.50
60844	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 O	250,000,000	2019/11/26	2021/10/28	62.50
60847	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 R	250,000,000	2019/11/26	2021/10/28	62.50
60879	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 T	250,000,000	2019/11/26	2021/10/28	62.50
60882	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 U	250,000,000	2019/11/26	2021/10/28	62.50
60896	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 V	250,000,000	2019/11/26	2021/10/28	62.50
60897	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Y	240,000,000	2019/11/26	2021/10/28	60.00
60848	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 R	250,000,000	2019/11/26	2020/03/30	62.50
60898	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 F	240,000,000	2019/11/26	2020/05/28	60.00
60839	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 F	50,000,000	2019/11/26	2020/11/27	12.50
60837	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 G	50,000,000	2019/11/26	2020/12/30	12.50
60840	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 H	50,000,000	2019/11/26	2020/12/30	12.50
60842	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 E	50,000,000	2019/11/26	2020/11/27	12.50
60841	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 K	50,000,000	2019/11/26	2020/12/30	12.50
60995	Credit Suisse AG - AIA R Bull CBBC Jul 2020 A	70,000,000	2019/11/26	2020/07/30	17.50
60963	Credit Suisse AG - CC Bank R Bull CBBC Jul 2020 F	100,000,000	2019/11/26	2020/07/30	25.00
60964	Credit Suisse AG - CSPC Pharma R Bear CBBC Jul 2020 B	100,000,000	2019/11/26	2020/07/30	25.00
60962	Credit Suisse AG - HSBC R Bull CBBC Apr 2020 C	70,000,000	2019/11/26	2020/04/28	17.50
60987	Credit Suisse AG - HSI R Bull CBBC Oct 2021 O	200,000,000	2019/11/26	2021/10/28	50.00
60981	Credit Suisse AG - HSI R Bull CBBC Nov 2021 F	200,000,000	2019/11/26	2021/11/29	50.00
60986	Credit Suisse AG - HSI R Bull CBBC Dec 2021 L	200,000,000	2019/11/26	2021/12/30	50.00
60979	Credit Suisse AG - HSI R Bull CBBC Dec 2021 U	200,000,000	2019/11/26	2021/12/30	50.00
60990	Credit Suisse AG - HSI R Bull CBBC Feb 2022 E	300,000,000	2019/11/26	2022/02/25	75.00
60957	Credit Suisse AG - HSI R Bear CBBC Feb 2020 O	200,000,000	2019/11/26	2020/02/27	50.00
60967	Credit Suisse AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/11/26	2020/03/30	50.00
60952	Credit Suisse AG - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/11/26	2020/03/30	50.00
60977	Credit Suisse AG - HSI R Bear CBBC Apr 2020 A	200,000,000	2019/11/26	2020/04/28	50.00
60976	Credit Suisse AG - HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/11/26	2020/04/28	50.00

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60956	Credit Suisse AG - HSI R Bear CBBC Jun 2020 F	300,000,000	2019/11/26	2020/06/29	75.00
60992	Credit Suisse AG - Tencent R Bull CBBC Jul 2020 C	100,000,000	2019/11/26	2020/07/30	25.00
61080	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Apr 2020 B	80,000,000	2019/11/26	2020/04/29	20.00
61065	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 C	160,000,000	2019/11/26	2022/11/29	40.00
61072	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 D	160,000,000	2019/11/26	2022/11/29	40.00
61076	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 P	160,000,000	2019/11/26	2022/11/29	40.00
61079	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Q	160,000,000	2019/11/26	2022/11/29	40.00
61046	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 T	160,000,000	2019/11/26	2020/02/27	40.00
61053	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 U	160,000,000	2019/11/26	2020/02/27	40.00
61054	Goldman Sachs SP (Asia) - HSI R Bear CBBC Feb 2020 Y	160,000,000	2019/11/26	2020/02/27	40.00
61083	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 S	80,000,000	2019/11/26	2020/02/26	20.00
61086	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 T	80,000,000	2019/11/26	2020/02/26	20.00
61081	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 G	60,000,000	2019/11/26	2020/03/30	15.00
60801	HK Bank - CSPC Pharma R Bull CBBC Jul 2020 A	100,000,000	2019/11/26	2020/07/06	25.00
60802	HK Bank - HKEX R Bull CBBC Jun 2020 K	40,000,000	2019/11/26	2020/06/11	10.00
60800	HK Bank - HSI R Bull CBBC Sep 2021 N	80,000,000	2019/11/26	2021/09/29	20.00
60996	HK Bank - HSI R Bull CBBC Sep 2021 O	60,000,000	2019/11/26	2021/09/29	15.00
60796	HK Bank - HSI R Bear CBBC Apr 2020 J	60,000,000	2019/11/26	2020/04/28	15.00
60803	HK Bank - Tencent R Bull CBBC Apr 2020 U	40,000,000	2019/11/26	2020/04/23	10.00
60795	HK Bank - Tencent R Bear CBBC Apr 2020 M	40,000,000	2019/11/26	2020/04/14	10.00
60804	HK Bank - Tencent R Bear CBBC Jun 2020 E	100,000,000	2019/11/26	2020/06/29	25.00
60813	Haitong Int'l Sec - HKEX R Bull CBBC Jun 2020 B	40,000,000	2019/11/26	2020/06/23	10.00
61020	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 D	150,000,000	2019/11/26	2022/01/28	37.50
61023	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 T	150,000,000	2019/11/26	2022/02/25	37.50
61024	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 U	150,000,000	2019/11/26	2022/02/25	37.50
61025	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 V	150,000,000	2019/11/26	2022/02/25	37.50
61032	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 N	150,000,000	2019/11/26	2020/02/27	37.50
60807	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/11/26	2020/03/30	37.50
61030	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/11/26	2020/03/30	37.50
61035	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 F	150,000,000	2019/11/26	2020/03/30	37.50
60805	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 N	150,000,000	2019/11/26	2020/04/28	37.50
60823	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2020 A	40,000,000	2019/11/26	2020/08/03	10.00
60824	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 C	40,000,000	2019/11/26	2020/05/25	10.00
61006	J P Morgan SP BV - HKEX R Bull CBBC Jun 2020 B	200,000,000	2019/11/26	2020/06/19	50.00
61007	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 L	200,000,000	2019/11/26	2021/09/29	50.00
61017	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 O	200,000,000	2019/11/26	2021/09/29	50.00
61018	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 P	200,000,000	2019/11/26	2021/09/29	50.00
61009	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/11/26	2021/10/28	50.00
61013	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/11/26	2021/12/30	50.00
61016	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/11/26	2021/12/30	50.00
60902	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/11/26	2020/03/30	50.00
60915	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/11/26	2020/03/30	50.00
60921	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/11/26	2020/03/30	50.00
60905	J P Morgan SP BV - HSI R Bear CBBC May 2020 C	200,000,000	2019/11/26	2020/05/28	50.00
60914	J P Morgan SP BV - HSI R Bear CBBC May 2020 D	200,000,000	2019/11/26	2020/05/28	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61001	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 W	50,000,000	2019/11/26	2020/04/09	12.50
61002	J P Morgan SP BV - Tencent R Bull CBBC May 2020 E	200,000,000	2019/11/26	2020/05/08	50.00
61005	J P Morgan SP BV - Tencent R Bull CBBC May 2020 F	200,000,000	2019/11/26	2020/05/15	50.00
60792	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 J	13,400,000	2019/11/26	2020/04/29	10.01
60794	Macquarie Bank Ltd. - Tencent R Bear CBBC Apr 2020 H	12,700,000	2019/11/26	2020/04/29	10.03
61043	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 F	80,000,000	2019/11/26	2022/02/25	20.00
61038	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 R	80,000,000	2019/11/26	2020/03/30	20.00
61045	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 P	80,000,000	2019/11/26	2020/04/28	20.00
61040	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 G	80,000,000	2019/11/26	2020/05/28	20.00
61041	Morgan Stanley Asia Products - Ping An R Bull CBBC May2020 B	60,000,000	2019/11/26	2020/05/04	15.00
61102	SG Issuer - CC Bank R Bull CBBC Aug 2020 I	100,000,000	2019/11/26	2020/08/06	25.00
61109	SG Issuer - Sinopec Corp R Bull CBBC Aug 2020 A	40,000,000	2019/11/26	2020/08/05	10.00
61111	SG Issuer - HKEX R Bull CBBC May 2020 F	50,000,000	2019/11/26	2020/05/29	12.50
61128	SG Issuer - HSI R Bull CBBC Aug 2021 V	250,000,000	2019/11/26	2021/08/30	62.50
61135	SG Issuer - HSI R Bull CBBC Sep 2021 H	250,000,000	2019/11/26	2021/09/29	62.50
61134	SG Issuer - HSI R Bull CBBC Sep 2021 V	250,000,000	2019/11/26	2021/09/29	62.50
61140	SG Issuer - HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/11/26	2021/10/28	62.50
61097	SG Issuer - HSI R Bear CBBC Feb 2020 M	250,000,000	2019/11/26	2020/02/27	62.50
61098	SG Issuer - HSI R Bear CBBC Mar 2020 A	250,000,000	2019/11/26	2020/03/30	62.50
61099	SG Issuer - HSI R Bear CBBC Apr 2020 S	250,000,000	2019/11/26	2020/04/28	62.50
61117	SG Issuer - HSI R Bear CBBC Apr 2020 T	250,000,000	2019/11/26	2020/04/28	62.50
61126	SG Issuer - HSI R Bear CBBC Apr 2020 U	250,000,000	2019/11/26	2020/04/28	62.50
61127	SG Issuer - HSI R Bear CBBC May 2020 C	250,000,000	2019/11/26	2020/05/28	62.50
61112	SG Issuer - Meituan Dianping R Bull CBBC May 2020 F	100,000,000	2019/11/26	2020/05/29	25.00
61141	SG Issuer - Ping An R Bull CBBC May 2020 B	100,000,000	2019/11/26	2020/05/29	25.00
61142	SG Issuer - Tencent R Bull CBBC Apr 2020 V	80,000,000	2019/11/26	2020/04/29	20.00
61103	SG Issuer - Tencent R Bear CBBC Mar 2020 J	80,000,000	2019/11/26	2020/03/30	20.00
61113	SG Issuer - Xiaomi R Bull CBBC May 2020 A	60,000,000	2019/11/26	2020/05/29	15.00
60860	UBS AG - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/11/26	2022/01/28	50.00
60869	UBS AG - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/11/26	2022/01/28	50.00
60875	UBS AG - HSI R Bull CBBC Jan 2022 L	200,000,000	2019/11/26	2022/01/28	50.00
60853	UBS AG - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/11/26	2020/03/30	50.00
60854	UBS AG - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/11/26	2020/03/30	50.00
60859	UBS AG - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/11/26	2020/03/30	50.00
60946	Bank Vontobel - China Mobile R Bear CBBC Jun 2020 B	40,000,000	2019/11/26	2020/06/19	10.00
60928	Bank Vontobel - Sinopec Corp R Bull CBBC Oct 2020 A	40,000,000	2019/11/26	2020/10/16	10.00
60925	Bank Vontobel - Sinopec Corp R Bear CBBC Jul 2020 A	40,000,000	2019/11/26	2020/07/17	10.00
60949	Bank Vontobel - Geely Auto R Bull CBBC Oct 2020 A	40,000,000	2019/11/26	2020/10/23	10.00
60944	Bank Vontobel - Tencent R Bull CBBC Oct 2020 D	40,000,000	2019/11/26	2020/10/09	10.00
61150	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 D	150,000,000	2019/11/27	2021/12/30	37.50
61214	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 A	240,000,000	2019/11/27	2021/10/28	60.00
61222	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 G	250,000,000	2019/11/27	2020/05/28	62.50
61172	Credit Suisse AG - HSI R Bull CBBC Oct 2021 P	200,000,000	2019/11/27	2021/10/28	50.00
61173	Credit Suisse AG - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/11/27	2021/10/28	50.00
61177	Credit Suisse AG - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/11/27	2021/11/29	50.00

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61178	Credit Suisse AG - HSI R Bull CBBC Feb 2022 F	300,000,000	2019/11/27	2022/02/25	75.00
61185	Credit Suisse AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/11/27	2020/03/30	50.00
61180	Credit Suisse AG - HSI R Bear CBBC Apr 2020 X	200,000,000	2019/11/27	2020/04/28	50.00
61186	Credit Suisse AG - HSI R Bear CBBC Jun 2020 G	300,000,000	2019/11/27	2020/06/29	75.00
61306	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 R	160,000,000	2019/11/27	2022/11/29	40.00
61307	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 S	160,000,000	2019/11/27	2022/11/29	40.00
61309	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 T	160,000,000	2019/11/27	2022/11/29	40.00
61310	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Q	160,000,000	2019/11/27	2020/03/30	40.00
61312	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 U	60,000,000	2019/11/27	2020/02/27	15.00
61313	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 V	60,000,000	2019/11/27	2020/02/27	15.00
61144	HK Bank - HSI R Bull CBBC Sep 2021 P	80,000,000	2019/11/27	2021/09/29	20.00
61148	HK Bank - HSI R Bear CBBC Apr 2020 K	100,000,000	2019/11/27	2020/04/28	25.00
61246	Haitong Int'l Sec - Geely Auto R Bull CBBC Apr 2020 A	40,000,000	2019/11/27	2020/04/17	10.00
61250	Haitong Int'l Sec - Geely Auto R Bear CBBC Mar 2020 A	40,000,000	2019/11/27	2020/03/18	11.76
61162	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 E	150,000,000	2019/11/27	2021/10/28	37.50
61163	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 J	150,000,000	2019/11/27	2021/11/29	37.50
61165	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/11/27	2022/01/28	50.00
61160	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 W	150,000,000	2019/11/27	2022/02/25	37.50
61161	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 X	150,000,000	2019/11/27	2022/02/25	37.50
61166	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 Q	150,000,000	2019/11/27	2020/02/27	37.50
61167	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 G	150,000,000	2019/11/27	2020/03/30	37.50
61168	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/11/27	2020/03/30	50.00
61170	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 O	150,000,000	2019/11/27	2020/04/28	37.50
61249	Haitong Int'l Sec - Tencent R Bull CBBC Jun 2020 A	40,000,000	2019/11/27	2020/06/16	10.00
61232	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/11/27	2021/09/29	50.00
61227	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 E	200,000,000	2019/11/27	2021/09/29	50.00
61231	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 F	200,000,000	2019/11/27	2021/09/29	50.00
61223	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 X	200,000,000	2019/11/27	2021/09/29	50.00
61235	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 S	200,000,000	2019/11/27	2020/04/28	50.00
61245	J P Morgan SP BV - HSI R Bear CBBC May 2020 F	200,000,000	2019/11/27	2020/05/28	50.00
61202	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 K	80,000,000	2019/11/27	2022/01/28	20.00
61205	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 S	80,000,000	2019/11/27	2020/03/30	20.00
61271	SG Issuer - AIA R Bull CBBC Mar 2020 F	60,000,000	2019/11/27	2020/03/30	15.00
61294	SG Issuer - CSOP A50 ETF R Bull CBBC Apr 2020 Q	100,000,000	2019/11/27	2020/04/29	25.00
61285	SG Issuer - CSPC Pharma R Bull CBBC Apr 2020 D	40,000,000	2019/11/27	2020/04/29	10.00
61287	SG Issuer - HKEX R Bull CBBC May 2020 G	200,000,000	2019/11/27	2020/05/29	50.00
61288	SG Issuer - HKEX R Bear CBBC May 2020 B	50,000,000	2019/11/27	2020/05/29	12.50
61299	SG Issuer - HSI R Bull CBBC Aug 2021 C	250,000,000	2019/11/27	2021/08/30	62.50
61300	SG Issuer - HSI R Bull CBBC Aug 2021 L	250,000,000	2019/11/27	2021/08/30	62.50
61279	SG Issuer - HSI R Bull CBBC Sep 2021 R	250,000,000	2019/11/27	2021/09/29	62.50
61301	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/11/27	2021/11/29	62.50
61281	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/11/27	2021/12/30	62.50
61302	SG Issuer - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/11/27	2020/03/30	62.50
61297	SG Issuer - HSI R Bear CBBC May 2020 D	250,000,000	2019/11/27	2020/05/28	62.50
61274	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 K	100,000,000	2019/11/27	2020/04/29	25.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61303	SG Issuer - Tencent R Bull CBBC Mar 2020 R	80,000,000	2019/11/27	2020/03/27	20.00
61292	SG Issuer - Tencent R Bull CBBC Apr 2020 W	80,000,000	2019/11/27	2020/04/29	20.00
61153	UBS AG - HSI R Bull CBBC Dec 2021 N	200,000,000	2019/11/27	2021/12/30	50.00
61156	UBS AG - HSI R Bull CBBC Dec 2021 U	200,000,000	2019/11/27	2021/12/30	50.00
61158	UBS AG - HSI R Bear CBBC Feb 2020 A	200,000,000	2019/11/27	2020/02/27	50.00
61159	UBS AG - HSI R Bear CBBC Feb 2020 B	200,000,000	2019/11/27	2020/02/27	50.00
61157	UBS AG - HSI R Bear CBBC Feb 2020 F	200,000,000	2019/11/27	2020/02/27	50.00
61259	Bank Vontobel - CNOOC R Bull CBBC Oct 2020 A	40,000,000	2019/11/27	2020/10/16	10.00
61268	Bank Vontobel - CSPC Pharma R Bear CBBC Sep 2020 A	40,000,000	2019/11/27	2020/09/11	10.00
61254	Bank Vontobel - HSI R Bull CBBC May 2021 T	80,000,000	2019/11/27	2021/05/28	20.00
61253	Bank Vontobel - HSI R Bear CBBC Apr 2020 S	80,000,000	2019/11/27	2020/04/28	20.00
61252	Bank Vontobel - HSI R Bear CBBC Apr 2020 U	80,000,000	2019/11/27	2020/04/28	20.00
61269	Bank Vontobel - Meituan Dianping R Bull CBBC Jul 2020 B	60,000,000	2019/11/27	2020/07/10	15.00
61315	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 E	150,000,000	2019/11/28	2021/12/30	37.50
61316	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 F	150,000,000	2019/11/28	2021/12/30	37.50
61317	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 G	150,000,000	2019/11/28	2021/12/30	37.50
61378	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/11/28	2020/03/30	37.50
61360	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 R	150,000,000	2019/11/28	2020/03/30	37.50
61366	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 U	150,000,000	2019/11/28	2020/03/30	37.50
61379	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 X	150,000,000	2019/11/28	2020/03/30	37.50
61343	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 B	250,000,000	2019/11/28	2021/10/28	62.50
61347	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 N	250,000,000	2019/11/28	2021/10/28	62.50
61349	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 P	250,000,000	2019/11/28	2021/10/28	62.50
61355	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Q	250,000,000	2019/11/28	2021/10/28	62.50
61455	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 S	240,000,000	2019/11/28	2021/10/28	60.00
61450	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 H	250,000,000	2019/11/28	2020/05/28	62.50
61451	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 I	250,000,000	2019/11/28	2020/05/28	62.50
61453	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 J	250,000,000	2019/11/28	2020/05/28	62.50
61454	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 K	250,000,000	2019/11/28	2020/05/28	62.50
61639	Credit Suisse AG - AIA R Bull CBBC Jul 2020 B	70,000,000	2019/11/28	2020/07/30	17.50
61638	Credit Suisse AG - CSPC Pharma R Bull CBBC Jun 2020 C	100,000,000	2019/11/28	2020/06/29	25.00
61611	Credit Suisse AG - HSI R Bull CBBC Oct 2021 L	200,000,000	2019/11/28	2021/10/28	50.00
61625	Credit Suisse AG - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/11/28	2021/10/28	50.00
61620	Credit Suisse AG - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/11/28	2021/11/29	50.00
61606	Credit Suisse AG - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/11/28	2021/11/29	50.00
61626	Credit Suisse AG - HSI R Bull CBBC Feb 2022 G	300,000,000	2019/11/28	2022/02/25	75.00
61642	Credit Suisse AG - HSI R Bear CBBC Feb 2020 P	200,000,000	2019/11/28	2020/02/27	50.00
61628	Credit Suisse AG - HSI R Bear CBBC Feb 2020 W	200,000,000	2019/11/28	2020/02/27	50.00
61633	Credit Suisse AG - HSI R Bear CBBC Jun 2020 H	300,000,000	2019/11/28	2020/06/29	75.00
61640	Credit Suisse AG - Sunny Optical R Bear CBBC Apr 2020 B	40,000,000	2019/11/28	2020/04/28	10.00
61416	Bank of East Asia - Meituan Dianping R Bull CBBC Aug 2020 A	50,000,000	2019/11/28	2020/08/18	12.50
61418	Bank of East Asia - Meituan Dianping R Bear CBBC Aug 2020 B	50,000,000	2019/11/28	2020/08/18	12.50
61420	Bank of East Asia - Tencent R Bull CBBC Aug 2020 B	30,000,000	2019/11/28	2020/08/18	10.50
61422	Bank of East Asia - Tencent R Bear CBBC Aug 2020 B	30,000,000	2019/11/28	2020/08/18	10.50
61656	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 A	160,000,000	2019/11/28	2022/11/29	40.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61650	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 U	160,000,000	2019/11/28	2022/11/29	40.00
61651	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 V	160,000,000	2019/11/28	2022/11/29	40.00
61655	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 W	160,000,000	2019/11/28	2022/11/29	40.00
61657	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 H	160,000,000	2019/11/28	2020/03/30	40.00
61659	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 A	160,000,000	2019/11/28	2020/05/28	40.00
61660	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 B	160,000,000	2019/11/28	2020/05/28	40.00
61662	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Feb 2020 W	60,000,000	2019/11/28	2020/02/28	15.00
61665	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 H	60,000,000	2019/11/28	2020/03/30	15.00
61356	HK Bank - CAM CSI300 R Bull CBBC May 2020 B	40,000,000	2019/11/28	2020/05/25	10.00
61321	HK Bank - HSI R Bull CBBC Sep 2021 Q	60,000,000	2019/11/28	2021/09/29	15.00
61322	HK Bank - HSI R Bull CBBC Sep 2021 R	80,000,000	2019/11/28	2021/09/29	20.00
61358	HK Bank - HSI R Bear CBBC Apr 2020 L	60,000,000	2019/11/28	2020/04/28	15.00
61330	HK Bank - Meituan Dianping R Bull CBBC Apr 2020 E	40,000,000	2019/11/28	2020/04/14	10.00
61324	HK Bank - Tencent R Bull CBBC Jun 2020 G	40,000,000	2019/11/28	2020/06/11	10.00
61357	HK Bank - Xiaomi R Bull CBBC Jul 2020 A	60,000,000	2019/11/28	2020/07/06	15.00
61438	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 C	150,000,000	2019/11/28	2021/10/28	37.50
61427	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 O	150,000,000	2019/11/28	2021/10/28	37.50
61428	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 S	150,000,000	2019/11/28	2021/11/29	37.50
61439	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 M	150,000,000	2019/11/28	2022/01/28	37.50
61445	Haitong Int'l Sec - HSI R Bear CBBC Feb 2020 U	150,000,000	2019/11/28	2020/02/27	37.50
61444	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 I	150,000,000	2019/11/28	2020/03/30	37.50
61437	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 P	150,000,000	2019/11/28	2020/04/28	37.50
61434	Haitong Int'l Sec - Meituan Dianping R Bull CBBC May 2020 C	50,000,000	2019/11/28	2020/05/21	12.50
61447	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Sep 2020 A	50,000,000	2019/11/28	2020/09/25	12.50
61449	Haitong Int'l Sec - Sunny Optical R Bear CBBC May 2020 B	40,000,000	2019/11/28	2020/05/27	10.00
61431	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2020 D	40,000,000	2019/11/28	2020/07/13	10.00
61436	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 D	40,000,000	2019/11/28	2020/05/18	10.00
61456	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/11/28	2021/09/29	50.00
61461	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 I	200,000,000	2019/11/28	2021/09/29	50.00
61462	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 V	200,000,000	2019/11/28	2021/09/29	50.00
61463	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/11/28	2021/10/28	50.00
61467	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/11/28	2021/10/28	50.00
61470	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/11/28	2020/04/28	50.00
61489	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/11/28	2020/04/28	50.00
61496	J P Morgan SP BV - HSI R Bear CBBC May 2020 G	200,000,000	2019/11/28	2020/05/28	50.00
61500	J P Morgan SP BV - HSI R Bear CBBC May 2020 H	200,000,000	2019/11/28	2020/05/28	50.00
61504	J P Morgan SP BV - HSI R Bear CBBC May 2020 I	200,000,000	2019/11/28	2020/05/28	50.00
61507	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 E	60,000,000	2019/11/28	2020/06/19	15.00
61511	J P Morgan SP BV - Tencent R Bear CBBC May 2020 A	50,000,000	2019/11/28	2020/05/08	12.50
61518	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 A	50,000,000	2019/11/28	2020/06/19	12.50
61647	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 L	80,000,000	2019/11/28	2022/01/28	20.00
61648	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 G	80,000,000	2019/11/28	2022/02/25	20.00
61649	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 Q	80,000,000	2019/11/28	2020/04/28	20.00
61565	SG Issuer - CSPC Pharma R Bull CBBC Jul 2020 E	150,000,000	2019/11/28	2020/07/29	37.50
61602	SG Issuer - HSI R Bull CBBC Jul 2021 X	250,000,000	2019/11/28	2021/07/29	62.50



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61540	SG Issuer - HSI R Bull CBBC Aug 2021 O	250,000,000	2019/11/28	2021/08/30	62.50
61603	SG Issuer - HSI R Bull CBBC Aug 2021 S	250,000,000	2019/11/28	2021/08/30	62.50
61547	SG Issuer - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/11/28	2021/10/28	62.50
61551	SG Issuer - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/11/28	2021/10/28	62.50
61555	SG Issuer - HSI R Bull CBBC Nov 2021 M	250,000,000	2019/11/28	2021/11/29	62.50
61594	SG Issuer - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/11/28	2020/04/28	62.50
61580	SG Issuer - HSI R Bear CBBC Apr 2020 M	250,000,000	2019/11/28	2020/04/28	62.50
61591	SG Issuer - HSI R Bear CBBC Apr 2020 X	250,000,000	2019/11/28	2020/04/28	62.50
61593	SG Issuer - HSI R Bear CBBC Apr 2020 Z	250,000,000	2019/11/28	2020/04/28	62.50
61559	SG Issuer - Ping An R Bull CBBC Apr 2020 N	100,000,000	2019/11/28	2020/04/29	25.00
61564	SG Issuer - Tencent R Bull CBBC Apr 2020 X	80,000,000	2019/11/28	2020/04/29	20.00
61570	SG Issuer - Xiaomi R Bull CBBC Jun 2020 B	60,000,000	2019/11/28	2020/06/30	15.00
61571	SG Issuer - Xiaomi R Bull CBBC Jul 2020 A	60,000,000	2019/11/28	2020/07/29	15.00
61381	UBS AG - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/11/28	2021/12/30	50.00
61382	UBS AG - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/11/28	2021/12/30	50.00
61385	UBS AG - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/11/28	2021/12/30	50.00
61388	UBS AG - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/11/28	2021/12/30	50.00
61386	UBS AG - HSI R Bull CBBC Dec 2021 Y	200,000,000	2019/11/28	2021/12/30	50.00
61394	UBS AG - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/11/28	2020/03/30	50.00
61397	UBS AG - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/11/28	2020/03/30	50.00
61400	UBS AG - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/11/28	2020/03/30	50.00
61406	UBS AG - Meituan Dianping R Bull CBBC Mar 2020 C	50,000,000	2019/11/28	2020/03/25	12.50
61407	UBS AG - Meituan Dianping R Bear CBBC Mar 2020 B	50,000,000	2019/11/28	2020/03/25	12.50
61534	Bank Vontobel - HSI R Bull CBBC May 2021 U	80,000,000	2019/11/28	2021/05/28	20.00
61539	Bank Vontobel - HSI R Bear CBBC Apr 2020 V	80,000,000	2019/11/28	2020/04/28	20.00
61524	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 A	60,000,000	2019/11/28	2020/11/13	15.00
61530	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 B	60,000,000	2019/11/28	2020/11/13	15.00
61527	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2020 A	60,000,000	2019/11/28	2020/12/18	15.00
61535	Bank Vontobel - Meituan Dianping R Bear CBBC Sep 2020 C	60,000,000	2019/11/28	2020/09/18	15.00
61680	BOCI Asia Ltd. - Meituan Dianping R Bull CBBC Apr 2020 D	40,000,000	2019/11/29	2020/04/29	10.00
61682	BOCI Asia Ltd. - Meituan Dianping R Bear CBBC Apr 2020 A	40,000,000	2019/11/29	2020/04/29	10.00
61737	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Z	240,000,000	2019/11/29	2021/10/28	60.00
61740	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 F	250,000,000	2019/11/29	2020/03/30	62.50
61741	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 G	240,000,000	2019/11/29	2020/03/30	60.00
61719	Credit Suisse AG - HSI R Bull CBBC Nov 2021 G	200,000,000	2019/11/29	2021/11/29	50.00
61720	Credit Suisse AG - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/11/29	2021/11/29	50.00
61705	Credit Suisse AG - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/11/29	2020/03/30	50.00
61706	Credit Suisse AG - HSI R Bear CBBC Apr 2020 W	200,000,000	2019/11/29	2020/04/28	50.00
61713	Credit Suisse AG - Meituan Dianping R Bull CBBC Apr 2020 F	80,000,000	2019/11/29	2020/04/28	20.00
61711	Credit Suisse AG - Meituan Dianping R Bear CBBC Sep 2020 A	40,000,000	2019/11/29	2020/09/29	10.00
61730	Bank of East Asia - Meituan Dianping R Bull CBBC Aug 2020 B	40,000,000	2019/11/29	2020/08/18	10.00
61734	Bank of East Asia - Meituan Dianping R Bear CBBC Aug 2020 C	40,000,000	2019/11/29	2020/08/18	10.00
61838	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 X	160,000,000	2019/11/29	2022/11/29	40.00
61848	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Y	160,000,000	2019/11/29	2022/11/29	40.00
61837	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 P	160,000,000	2019/11/29	2020/03/30	40.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61846	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 W	160,000,000	2019/11/29	2020/03/30	40.00
61849	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Y	160,000,000	2019/11/29	2020/03/30	40.00
61867	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Mar20C	60,000,000	2019/11/29	2020/03/30	15.00
61861	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 B	60,000,000	2019/11/29	2020/03/02	15.00
61866	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 I	60,000,000	2019/11/29	2020/03/30	15.00
61672	HK Bank - Meituan Dianping R Bull CBBC May 2020 E	40,000,000	2019/11/29	2020/05/29	10.00
61666	HK Bank - Meituan Dianping R Bear CBBC Apr 2020 C	40,000,000	2019/11/29	2020/04/29	10.00
61673	HK Bank - Meituan Dianping R Bear CBBC May 2020 A	40,000,000	2019/11/29	2020/05/29	10.00
61822	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 J	150,000,000	2019/11/29	2020/03/30	37.50
61836	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 V	150,000,000	2019/11/29	2020/03/30	37.50
61812	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Sep 2020 B	50,000,000	2019/11/29	2020/09/28	12.50
61813	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Sep 2020 C	50,000,000	2019/11/29	2020/09/24	16.75
61801	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Y	200,000,000	2019/11/29	2021/09/29	50.00
61802	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Z	200,000,000	2019/11/29	2021/09/29	50.00
61796	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 B	200,000,000	2019/11/29	2020/04/28	50.00
61793	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Z	200,000,000	2019/11/29	2020/04/28	50.00
61798	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 I	60,000,000	2019/11/29	2020/05/15	15.00
61799	J P Morgan SP BV - Meituan Dianping R Bear CBBC May 2020 B	60,000,000	2019/11/29	2020/05/08	15.00
61804	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 M	80,000,000	2019/11/29	2022/01/28	20.00
61807	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 R	80,000,000	2019/11/29	2020/04/28	20.00
61803	Morgan Stanley Asia Products - Meituan R Bull CBBC Jun2020 C	40,000,000	2019/11/29	2020/06/15	10.00
61778	SG Issuer - A50 China R Bull CBBC May 2020 A	100,000,000	2019/11/29	2020/05/29	25.00
61789	SG Issuer - HSI R Bull CBBC Jul 2021 A	250,000,000	2019/11/29	2021/07/29	62.50
61791	SG Issuer - HSI R Bull CBBC Jul 2021 E	250,000,000	2019/11/29	2021/07/29	62.50
61788	SG Issuer - HSI R Bull CBBC Jul 2021 Y	250,000,000	2019/11/29	2021/07/29	62.50
61775	SG Issuer - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/11/29	2021/10/28	62.50
61780	SG Issuer - HSI R Bear CBBC Mar 2020 B	250,000,000	2019/11/29	2020/03/30	62.50
61769	SG Issuer - HSI R Bear CBBC Mar 2020 N	250,000,000	2019/11/29	2020/03/30	62.50
61779	SG Issuer - HSI R Bear CBBC Mar 2020 Q	250,000,000	2019/11/29	2020/03/30	62.50
61785	SG Issuer - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/11/29	2020/04/28	62.50
61771	SG Issuer - HSI R Bear CBBC Apr 2020 O	250,000,000	2019/11/29	2020/04/28	62.50
61786	SG Issuer - HSI R Bear CBBC May 2020 Q	250,000,000	2019/11/29	2020/05/28	62.50
61776	SG Issuer - Meituan Dianping R Bull CBBC May 2020 G	100,000,000	2019/11/29	2020/05/29	25.00
61744	SG Issuer - Meituan Dianping R Bear CBBC May 2020 C	100,000,000	2019/11/29	2020/05/28	25.00
61777	SG Issuer - Ping An R Bull CBBC Aug 2020 C	100,000,000	2019/11/29	2020/08/10	25.00
61759	SG Issuer - Sunny Optical R Bull CBBC Apr 2020 E	40,000,000	2019/11/29	2020/04/29	10.00
61760	SG Issuer - Tencent R Bull CBBC Mar 2020 U	80,000,000	2019/11/29	2020/03/30	20.00
61686	UBS AG - HSI R Bull CBBC Dec 2021 S	200,000,000	2019/11/29	2021/12/30	50.00
61703	UBS AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/11/29	2020/03/30	50.00
61685	UBS AG - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/11/29	2020/03/30	50.00
61701	UBS AG - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/11/29	2020/03/30	50.00
61687	UBS AG - Meituan Dianping R Bull CBBC Mar 2020 D	50,000,000	2019/11/29	2020/03/19	12.50
61692	UBS AG - Meituan Dianping R Bear CBBC Mar 2020 C	50,000,000	2019/11/29	2020/03/19	12.50
61699	UBS AG - Tencent R Bull CBBC Jun 2020 A	200,000,000	2019/11/29	2020/06/08	50.00
61722	Bank Vontobel - CSPC Pharma R Bear CBBC Sep 2020 B	40,000,000	2019/11/29	2020/09/18	10.00

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61726	Bank Vontobel - HSI N Bear CBBC Apr 2020 Z	80,000,000	2019/11/29	2020/04/28	20.00
61724	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 C	60,000,000	2019/11/29	2020/11/13	15.00
61725	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2020 B	60,000,000	2019/11/29	2020/12/18	15.00
61721	Bank Vontobel - Meituan Dianping R Bear CBBC Sep 2020 D	60,000,000	2019/11/29	2020/09/11	15.00
61908	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 H	150,000,000	2019/12/02	2021/12/30	37.50
61909	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Y	150,000,000	2019/12/02	2020/03/30	37.50
61910	BOCI Asia Ltd. - Tencent R Bull CBBC Apr 2020 A	40,000,000	2019/12/02	2020/04/06	10.00
61881	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 T	50,000,000	2019/12/02	2020/09/29	12.50
62161	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 U	50,000,000	2019/12/02	2020/09/29	12.50
61890	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 D	50,000,000	2019/12/02	2020/07/30	12.50
61997	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 C	240,000,000	2019/12/02	2021/10/28	60.00
61868	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 L	250,000,000	2019/12/02	2020/05/28	62.50
61869	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 M	240,000,000	2019/12/02	2020/05/28	60.00
61870	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 N	240,000,000	2019/12/02	2020/05/28	60.00
61871	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 O	240,000,000	2019/12/02	2020/05/28	60.00
62000	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 P	240,000,000	2019/12/02	2020/05/28	60.00
61873	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 J	50,000,000	2019/12/02	2020/12/30	12.50
61874	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 K	50,000,000	2019/12/02	2020/12/30	12.50
61880	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Apr 2020 L	200,000,000	2019/12/02	2020/04/29	50.00
62162	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 G	50,000,000	2019/12/02	2020/11/27	12.50
61875	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 I	50,000,000	2019/12/02	2020/12/30	12.50
61877	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 L	50,000,000	2019/12/02	2020/12/30	12.50
61972	Credit Suisse AG - AIA R Bull CBBC Apr 2020 F	70,000,000	2019/12/02	2020/04/28	17.50
61973	Credit Suisse AG - CSPC Pharma R Bear CBBC Jun 2020 A	100,000,000	2019/12/02	2020/06/29	25.00
61961	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Z	200,000,000	2019/12/02	2021/10/28	50.00
61957	Credit Suisse AG - HSI R Bull CBBC Nov 2021 H	200,000,000	2019/12/02	2021/11/29	50.00
61959	Credit Suisse AG - HSI R Bull CBBC Mar 2022 A	300,000,000	2019/12/02	2022/03/30	75.00
61947	Credit Suisse AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/12/02	2020/03/30	50.00
61940	Credit Suisse AG - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/12/02	2020/03/30	50.00
61949	Credit Suisse AG - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/12/02	2020/04/28	50.00
61942	Credit Suisse AG - HSI R Bear CBBC Apr 2020 Z	200,000,000	2019/12/02	2020/04/28	50.00
61963	Credit Suisse AG - Tencent R Bull CBBC May 2020 A	100,000,000	2019/12/02	2020/05/28	25.00
62147	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 E	160,000,000	2019/12/02	2022/11/29	40.00
62142	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Z	160,000,000	2019/12/02	2022/11/29	40.00
62137	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 D	160,000,000	2019/12/02	2020/03/30	40.00
62140	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 F	160,000,000	2019/12/02	2020/03/30	40.00
62128	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 C	160,000,000	2019/12/02	2020/05/28	40.00
62134	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 D	160,000,000	2019/12/02	2020/05/28	40.00
62153	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 C	60,000,000	2019/12/02	2020/03/02	15.00
62157	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 J	60,000,000	2019/12/02	2020/03/30	15.00
61893	HK Bank - HSI R Bull CBBC Sep 2021 S	100,000,000	2019/12/02	2021/09/29	25.00
61894	HK Bank - Tencent R Bull CBBC Apr 2020 V	40,000,000	2019/12/02	2020/04/29	10.00
62004	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 S	150,000,000	2019/12/02	2022/01/28	37.50
62006	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 Y	150,000,000	2019/12/02	2022/02/25	37.50
61906	Haitong Int'l Sec - HSI R Bull CBBC Mar 2022 A	150,000,000	2019/12/02	2022/03/30	37.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
61895	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 X	150,000,000	2019/12/02	2020/03/30	37.50
62022	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 Y	150,000,000	2019/12/02	2020/03/30	37.50
62027	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 Z	150,000,000	2019/12/02	2020/03/30	37.50
61896	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 Q	150,000,000	2019/12/02	2020/04/28	37.50
62016	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 R	150,000,000	2019/12/02	2020/04/28	37.50
61902	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Jul 2020 A	50,000,000	2019/12/02	2020/07/23	12.50
61900	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2020 B	40,000,000	2019/12/02	2020/08/13	10.00
61897	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 E	40,000,000	2019/12/02	2020/05/12	10.00
61981	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 M	200,000,000	2019/12/02	2021/09/29	50.00
61986	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 S	200,000,000	2019/12/02	2021/09/29	50.00
61989	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 U	200,000,000	2019/12/02	2021/09/29	50.00
61979	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 A	200,000,000	2019/12/02	2020/04/28	50.00
61976	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 E	200,000,000	2019/12/02	2020/04/28	50.00
61977	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 K	200,000,000	2019/12/02	2020/04/28	50.00
61978	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 W	200,000,000	2019/12/02	2020/04/28	50.00
61990	J P Morgan SP BV - Tencent R Bull CBBC May 2020 G	50,000,000	2019/12/02	2020/05/15	12.50
61992	J P Morgan SP BV - Tencent R Bull CBBC Jun 2020 A	250,000,000	2019/12/02	2020/06/12	62.50
62063	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 N	80,000,000	2019/12/02	2021/11/29	20.00
62058	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 O	80,000,000	2019/12/02	2021/12/30	20.00
62064	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 S	80,000,000	2019/12/02	2020/04/28	20.00
62065	Morgan Stanley Asia Products - Tencent R Bull CBBC Apr2020 F	80,000,000	2019/12/02	2020/04/29	20.00
62069	SG Issuer - AIA R Bear CBBC Apr 2020 B	60,000,000	2019/12/02	2020/04/29	15.00
62072	SG Issuer - China Life R Bull CBBC Apr 2020 E	40,000,000	2019/12/02	2020/04/29	10.00
62080	SG Issuer - HSCEI R Bull CBBC Oct 2020 T	100,000,000	2019/12/02	2020/10/29	25.00
62115	SG Issuer - HSI R Bull CBBC Aug 2021 A	250,000,000	2019/12/02	2021/08/30	62.50
62117	SG Issuer - HSI R Bull CBBC Aug 2021 B	250,000,000	2019/12/02	2021/08/30	62.50
62124	SG Issuer - HSI R Bull CBBC Sep 2021 S	250,000,000	2019/12/02	2021/09/29	62.50
62105	SG Issuer - HSI R Bull CBBC Jan 2022 C	250,000,000	2019/12/02	2022/01/28	62.50
62103	SG Issuer - HSI R Bull CBBC Jan 2022 H	250,000,000	2019/12/02	2022/01/28	62.50
62081	SG Issuer - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/12/02	2020/03/30	62.50
62082	SG Issuer - HSI R Bear CBBC Mar 2020 M	250,000,000	2019/12/02	2020/03/30	62.50
62084	SG Issuer - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/12/02	2020/04/28	62.50
62091	SG Issuer - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/12/02	2020/04/28	62.50
62108	SG Issuer - HSI R Bear CBBC May 2020 V	250,000,000	2019/12/02	2020/05/28	62.50
62111	SG Issuer - HSI R Bear CBBC May 2020 Z	250,000,000	2019/12/02	2020/05/28	62.50
62099	SG Issuer - Tencent R Bull CBBC Mar 2020 X	80,000,000	2019/12/02	2020/03/31	20.00
62078	SG Issuer - Tencent R Bear CBBC Apr 2020 G	80,000,000	2019/12/02	2020/04/29	20.00
62102	SG Issuer - Tencent R Bear CBBC Apr 2020 H	80,000,000	2019/12/02	2020/04/24	40.80
61917	UBS AG - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/12/02	2022/01/28	50.00
61928	UBS AG - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/12/02	2022/01/28	50.00
61915	UBS AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/12/02	2020/03/30	50.00
61916	UBS AG - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/12/02	2020/03/30	50.00
61931	UBS AG - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/12/02	2020/03/30	50.00
61939	UBS AG - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/12/02	2020/03/30	50.00
61936	UBS AG - Tencent R Bull CBBC Apr 2020 H	40,000,000	2019/12/02	2020/04/14	10.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
61938	UBS AG - Tencent R Bear CBBC Apr 2020 C	200,000,000	2019/12/02	2020/04/14	50.00
62041	Bank Vontobel - AIA R Bull CBBC Oct 2020 C	40,000,000	2019/12/02	2020/10/23	10.00
62048	Bank Vontobel - HSI R Bull CBBC May 2021 V	80,000,000	2019/12/02	2021/05/28	20.00
62051	Bank Vontobel - HSI R Bull CBBC May 2021 W	80,000,000	2019/12/02	2021/05/28	20.00
62054	Bank Vontobel - HSI R Bull CBBC May 2021 X	80,000,000	2019/12/02	2021/05/28	20.00
62028	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 D	60,000,000	2019/12/02	2020/11/06	15.00
62029	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 E	60,000,000	2019/12/02	2020/11/13	15.00
62030	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 F	60,000,000	2019/12/02	2020/11/06	15.00
62035	Bank Vontobel - Sunny Optical R Bear CBBC Nov 2020 A	40,000,000	2019/12/02	2020/11/13	10.00
62032	Bank Vontobel - Tencent R Bull CBBC Sep 2020 A	40,000,000	2019/12/02	2020/09/25	10.00
62033	Bank Vontobel - Xiaomi R Bear CBBC Nov 2020 A	40,000,000	2019/12/02	2020/11/13	10.00
62213	BOCI Asia Ltd. - Geely Auto R Bull CBBC Apr 2020 A	40,000,000	2019/12/03	2020/04/24	10.00
62176	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/12/03	2020/03/30	37.50
62184	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 G	150,000,000	2019/12/03	2020/03/30	37.50
62178	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 Z	150,000,000	2019/12/03	2020/03/30	37.50
62349	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/12/03	2021/10/28	62.50
62362	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/12/03	2021/10/28	62.50
62351	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 F	250,000,000	2019/12/03	2021/10/28	62.50
62368	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 G	240,000,000	2019/12/03	2021/10/28	60.00
62357	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 X	250,000,000	2019/12/03	2021/10/28	62.50
62189	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 Q	250,000,000	2019/12/03	2020/05/28	62.50
62200	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 R	250,000,000	2019/12/03	2020/05/28	62.50
62369	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 S	240,000,000	2019/12/03	2020/05/28	60.00
62295	Credit Suisse AG - HSI R Bull CBBC Dec 2021 F	200,000,000	2019/12/03	2021/12/30	50.00
62304	Credit Suisse AG - HSI R Bull CBBC Feb 2022 H	300,000,000	2019/12/03	2022/02/25	75.00
62314	Credit Suisse AG - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/12/03	2020/03/30	50.00
62306	Credit Suisse AG - HSI R Bear CBBC Apr 2020 F	200,000,000	2019/12/03	2020/04/28	50.00
62318	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 J	160,000,000	2019/12/03	2022/10/28	40.00
62315	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 F	160,000,000	2019/12/03	2022/11/29	40.00
62316	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 A	160,000,000	2019/12/03	2020/03/30	40.00
62317	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 G	160,000,000	2019/12/03	2020/03/30	40.00
62319	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 D	60,000,000	2019/12/03	2020/03/30	15.00
62320	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 K	60,000,000	2019/12/03	2020/03/30	15.00
62205	HK Bank - HSI R Bear CBBC Apr 2020 M	60,000,000	2019/12/03	2020/04/28	15.00
62211	HK Bank - HSI R Bear CBBC Apr 2020 N	100,000,000	2019/12/03	2020/04/28	25.00
62212	HK Bank - HSI R Bear CBBC Apr 2020 O	100,000,000	2019/12/03	2020/04/28	25.00
62254	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 V	150,000,000	2019/12/03	2022/01/28	37.50
62255	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 Z	150,000,000	2019/12/03	2022/02/25	37.50
62248	Haitong Int'l Sec - HSI R Bull CBBC Mar 2022 B	150,000,000	2019/12/03	2022/03/30	37.50
62256	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 A	150,000,000	2019/12/03	2020/03/30	37.50
62266	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 B	150,000,000	2019/12/03	2020/03/30	37.50
62257	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 S	150,000,000	2019/12/03	2020/04/28	37.50
62268	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Nov 2020 A	40,000,000	2019/12/03	2020/11/09	10.00
62289	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 A	200,000,000	2019/12/03	2021/09/29	50.00
62271	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 W	200,000,000	2019/12/03	2021/09/29	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
62290	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/12/03	2021/10/28	50.00
62272	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/12/03	2020/03/30	50.00
62294	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/12/03	2020/03/30	50.00
62276	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 J	60,000,000	2019/12/03	2020/05/15	15.00
62277	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 F	60,000,000	2019/12/03	2020/06/12	16.20
62283	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 B	50,000,000	2019/12/03	2020/06/12	12.50
62325	SG Issuer - HKEX R Bull CBBC Apr 2020 R	50,000,000	2019/12/03	2020/04/29	12.50
62337	SG Issuer - HSI R Bull CBBC Jul 2021 Z	250,000,000	2019/12/03	2021/07/29	62.50
62329	SG Issuer - HSI R Bull CBBC Sep 2021 Y	250,000,000	2019/12/03	2021/09/29	62.50
62330	SG Issuer - HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/12/03	2021/10/28	62.50
62338	SG Issuer - HSI R Bear CBBC Mar 2020 P	250,000,000	2019/12/03	2020/03/30	62.50
62344	SG Issuer - HSI R Bear CBBC Apr 2020 N	250,000,000	2019/12/03	2020/04/28	62.50
62345	SG Issuer - HSI R Bear CBBC May 2020 E	250,000,000	2019/12/03	2020/05/28	62.50
62347	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 L	100,000,000	2019/12/03	2020/04/29	25.00
62332	SG Issuer - Ping An R Bull CBBC May 2020 C	100,000,000	2019/12/03	2020/05/29	25.00
62328	SG Issuer - Tencent R Bull CBBC Apr 2020 Y	80,000,000	2019/12/03	2020/04/29	20.00
62336	SG Issuer - Tencent R Bull CBBC Apr 2020 Z	80,000,000	2019/12/03	2020/04/29	20.00
62214	UBS AG - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/12/03	2022/01/28	50.00
62216	UBS AG - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/12/03	2022/01/28	50.00
62221	UBS AG - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/12/03	2020/03/30	50.00
62217	UBS AG - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/12/03	2020/03/30	50.00
62228	UBS AG - Meituan Dianping R Bull CBBC Apr 2020 A	50,000,000	2019/12/03	2020/04/06	12.50
62225	UBS AG - Tencent R Bull CBBC Apr 2020 I	40,000,000	2019/12/03	2020/04/24	10.00
62227	UBS AG - Tencent R Bear CBBC Jun 2020 A	200,000,000	2019/12/03	2020/06/09	50.00
62235	Bank Vontobel - HSBC R Bull CBBC Nov 2020 A	40,000,000	2019/12/03	2020/11/20	10.00
62236	Bank Vontobel - HSI N Bull CBBC May 2021 Y	80,000,000	2019/12/03	2021/05/28	20.00
62238	Bank Vontobel - HSI R Bull CBBC May 2021 Z	80,000,000	2019/12/03	2021/05/28	20.00
62242	Bank Vontobel - HSI R Bull CBBC Jun 2021 A	80,000,000	2019/12/03	2021/06/29	20.00
62243	Bank Vontobel - HSI R Bull CBBC Jun 2021 B	80,000,000	2019/12/03	2021/06/29	20.00
62239	Bank Vontobel - HSI R Bear CBBC May 2020 I	80,000,000	2019/12/03	2020/05/28	20.00
62230	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 G	60,000,000	2019/12/03	2020/11/20	15.00
62232	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 H	60,000,000	2019/12/03	2020/11/20	15.00
62246	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2020 C	60,000,000	2019/12/03	2020/12/18	15.00
62407	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 I	150,000,000	2019/12/04	2021/12/30	37.50
62409	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 A	150,000,000	2019/12/04	2020/03/30	37.50
62371	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 H	250,000,000	2019/12/04	2021/10/28	62.50
62447	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 I	240,000,000	2019/12/04	2021/10/28	60.00
62449	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 T	240,000,000	2019/12/04	2020/05/28	60.00
62534	Credit Suisse AG - HSI R Bull CBBC Dec 2021 C	200,000,000	2019/12/04	2021/12/30	50.00
62529	Credit Suisse AG - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/12/04	2020/03/30	50.00
62530	Credit Suisse AG - HSI R Bear CBBC Apr 2020 I	200,000,000	2019/12/04	2020/04/28	50.00
62531	Credit Suisse AG - Meituan Dianping R Bull CBBC Jul 2020 B	80,000,000	2019/12/04	2020/07/30	20.00
62535	Credit Suisse AG - Meituan Dianping R Bear CBBC Jul 2020 B	40,000,000	2019/12/04	2020/07/30	11.20
62532	Credit Suisse AG - Sino Biopharm R Bull CBBC Sep 2020 A	50,000,000	2019/12/04	2020/09/29	12.50
62605	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 I	160,000,000	2019/12/04	2020/03/30	40.00



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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
62614	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 N	160,000,000	2019/12/04	2020/03/30	40.00
62607	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 E	60,000,000	2019/12/04	2020/03/05	15.00
62378	HK Bank - HSI R Bear CBBC Apr 2020 P	80,000,000	2019/12/04	2020/04/28	20.00
62382	HK Bank - HSI R Bear CBBC Apr 2020 Q	100,000,000	2019/12/04	2020/04/28	25.00
62380	HK Bank - HSI R Bear CBBC Jul 2020 K	100,000,000	2019/12/04	2020/07/30	25.00
62384	HK Bank - Meituan Dianping R Bull CBBC Jun 2020 C	40,000,000	2019/12/04	2020/06/29	10.00
62394	HK Bank - Meituan Dianping R Bear CBBC May 2020 B	40,000,000	2019/12/04	2020/05/11	10.00
62412	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 I	150,000,000	2019/12/04	2021/10/28	37.50
62422	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 J	150,000,000	2019/12/04	2021/10/28	37.50
62424	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 A	150,000,000	2019/12/04	2022/02/25	37.50
62438	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 C	150,000,000	2019/12/04	2020/03/30	37.50
62427	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 T	150,000,000	2019/12/04	2020/04/28	37.50
62414	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Jul 2020 B	50,000,000	2019/12/04	2020/07/27	12.50
62486	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 J	200,000,000	2019/12/04	2021/09/29	50.00
62505	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 Q	200,000,000	2019/12/04	2021/09/29	50.00
62506	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/12/04	2021/12/30	50.00
62487	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/12/04	2020/03/30	50.00
62507	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 C	200,000,000	2019/12/04	2020/04/28	50.00
62508	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/12/04	2020/04/28	50.00
62488	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 D	60,000,000	2019/12/04	2020/06/12	15.00
62501	J P Morgan SP BV - Meituan Dianping R Bear CBBC May 2020 C	60,000,000	2019/12/04	2020/05/15	17.40
62524	Morgan Stanley Asia Products - AIA R Bull CBBC May 2020 A	40,000,000	2019/12/04	2020/05/08	10.00
62526	Morgan Stanley Asia Products - AIA R Bear CBBC Jun 2020 A	40,000,000	2019/12/04	2020/06/03	10.00
62518	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 R	80,000,000	2019/12/04	2021/12/30	20.00
62574	SG Issuer - AIA R Bull CBBC May 2020 A	60,000,000	2019/12/04	2020/05/28	15.00
62544	SG Issuer - HSBC R Bull CBBC Nov 2020 A	100,000,000	2019/12/04	2020/11/30	25.00
62568	SG Issuer - HSI R Bull CBBC Jul 2021 B	250,000,000	2019/12/04	2021/07/29	62.50
62573	SG Issuer - HSI R Bull CBBC Aug 2021 I	250,000,000	2019/12/04	2021/08/30	62.50
62595	SG Issuer - HSI R Bull CBBC Aug 2021 X	250,000,000	2019/12/04	2021/08/30	62.50
62554	SG Issuer - HSI R Bear CBBC Mar 2020 S	250,000,000	2019/12/04	2020/03/30	62.50
62536	SG Issuer - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/12/04	2020/04/28	62.50
62562	SG Issuer - HSI R Bear CBBC May 2020 F	250,000,000	2019/12/04	2020/05/28	62.50
62545	SG Issuer - Meituan Dianping R Bull CBBC May 2020 H	100,000,000	2019/12/04	2020/05/29	25.00
62584	SG Issuer - Meituan Dianping R Bear CBBC May 2020 D	100,000,000	2019/12/04	2020/05/28	25.00
62589	SG Issuer - Meituan Dianping R Bear CBBC Jul 2020 A	100,000,000	2019/12/04	2020/07/30	25.00
62549	SG Issuer - Sunny Optical R Bull CBBC Jun 2020 A	40,000,000	2019/12/04	2020/06/30	10.00
62550	SG Issuer - Tencent R Bull CBBC Mar 2020 T	80,000,000	2019/12/04	2020/03/31	20.00
62440	UBS AG - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/12/04	2022/01/28	50.00
62441	UBS AG - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/12/04	2020/03/30	50.00
62443	UBS AG - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/12/04	2020/03/30	50.00
62445	UBS AG - Meituan Dianping R Bear CBBC Mar 2020 D	50,000,000	2019/12/04	2020/03/18	12.50
62446	UBS AG - Meituan Dianping R Bear CBBC Apr 2020 A	50,000,000	2019/12/04	2020/04/06	12.50
62466	Bank Vontobel - AIA R Bear CBBC Oct 2020 A	40,000,000	2019/12/04	2020/10/23	10.00
62468	Bank Vontobel - HSI R Bull CBBC May 2021 A	80,000,000	2019/12/04	2021/05/28	20.00
62482	Bank Vontobel - HSI R Bull CBBC May 2021 C	80,000,000	2019/12/04	2021/05/28	20.00

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62483	Bank Vontobel - HSI R Bear CBBC May 2020 J	80,000,000	2019/12/04	2020/05/28	20.00
62484	Bank Vontobel - HSI R Bear CBBC May 2020 K	80,000,000	2019/12/04	2020/05/28	20.00
62454	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 I	60,000,000	2019/12/04	2020/11/20	15.00
62485	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 J	60,000,000	2019/12/04	2020/11/13	15.00
62459	Bank Vontobel - Meituan Dianping R Bear CBBC Dec 2020 A	60,000,000	2019/12/04	2020/12/18	19.20
62451	Bank Vontobel - Sino Biopharm R Bull CBBC Oct 2020 A	40,000,000	2019/12/04	2020/10/16	10.00
62461	Bank Vontobel - Sunny Optical R Bull CBBC Nov 2020 A	40,000,000	2019/12/04	2020/11/13	10.00
62677	BOCI Asia Ltd. - AIA R Bull CBBC Apr 2020 A	60,000,000	2019/12/05	2020/04/27	15.00
62680	BOCI Asia Ltd. - AIA R Bear CBBC Apr 2020 A	60,000,000	2019/12/05	2020/04/27	15.00
62685	BOCI Asia Ltd. - Galaxy Ent R Bull CBBC May 2020 A	40,000,000	2019/12/05	2020/05/08	10.00
62686	BOCI Asia Ltd. - Galaxy Ent R Bear CBBC May 2020 A	40,000,000	2019/12/05	2020/05/08	10.00
62622	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 J	150,000,000	2019/12/05	2021/12/30	37.50
62684	BOCI Asia Ltd. - Xiaomi R Bull CBBC Mar 2020 A	40,000,000	2019/12/05	2020/03/23	10.00
62849	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 T	240,000,000	2019/12/05	2021/10/28	60.00
62620	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 W	250,000,000	2019/12/05	2021/10/28	62.50
62852	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 U	250,000,000	2019/12/05	2020/05/28	62.50
62860	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 V	250,000,000	2019/12/05	2020/05/28	62.50
62623	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 L	50,000,000	2019/12/05	2020/12/30	12.50
62625	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 M	50,000,000	2019/12/05	2020/12/30	12.50
62865	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 N	50,000,000	2019/12/05	2020/12/30	12.50
62835	Credit Suisse AG - HSI R Bull CBBC Nov 2021 R	200,000,000	2019/12/05	2021/11/29	50.00
62840	Credit Suisse AG - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/12/05	2021/11/29	50.00
62848	Credit Suisse AG - HSI R Bear CBBC May 2020 O	200,000,000	2019/12/05	2020/05/28	50.00
62843	Credit Suisse AG - Meituan Dianping R Bull CBBC Sep 2020 A	80,000,000	2019/12/05	2020/09/29	20.00
62845	Credit Suisse AG - Meituan Dianping R Bear CBBC Oct 2020 A	40,000,000	2019/12/05	2020/10/29	10.00
62660	Bank of East Asia - AIA R Bull CBBC Jul 2020 C	60,000,000	2019/12/05	2020/07/21	15.00
62659	Bank of East Asia - China Mobile R Bull CBBC Jul 2020 A	60,000,000	2019/12/05	2020/07/21	15.00
62657	Bank of East Asia - Galaxy Ent R Bear CBBC Jul 2020 B	100,000,000	2019/12/05	2020/07/21	25.00
62661	Bank of East Asia - Meituan Dianping R Bull CBBC Jul 2020 D	40,000,000	2019/12/05	2020/07/21	10.00
62662	Bank of East Asia - Meituan Dianping R Bear CBBC Jul 2020 D	40,000,000	2019/12/05	2020/07/21	10.00
62666	Bank of East Asia - Ping An R Bull CBBC Jul 2020 A	100,000,000	2019/12/05	2020/07/21	25.00
62667	Bank of East Asia - Tencent R Bull CBBC Jul 2020 C	30,000,000	2019/12/05	2020/07/21	10.50
62671	Bank of East Asia - Tencent R Bear CBBC Jul 2020 C	30,000,000	2019/12/05	2020/07/21	10.50
62901	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Mar 2020 B	60,000,000	2019/12/05	2020/03/30	15.00
62886	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 M	160,000,000	2019/12/05	2022/10/28	40.00
62890	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 O	160,000,000	2019/12/05	2020/03/30	40.00
62903	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Mar20D	60,000,000	2019/12/05	2020/03/30	15.00
62894	Goldman Sachs SP (Asia) - Xiaomi R Bull CBBC Mar 2020 A	60,000,000	2019/12/05	2020/03/30	15.00
62647	HK Bank - HSI R Bull CBBC Sep 2021 T	60,000,000	2019/12/05	2021/09/29	15.00
62639	HK Bank - HSI R Bear CBBC Jul 2020 L	100,000,000	2019/12/05	2020/07/30	25.00
62694	HK Bank - Meituan Dianping R Bull CBBC Apr 2020 F	40,000,000	2019/12/05	2020/04/27	10.00
62637	HK Bank - Sunny Optical R Bull CBBC May 2020 C	40,000,000	2019/12/05	2020/05/11	10.00
62630	HK Bank - Tencent R Bull CBBC Apr 2020 W	40,000,000	2019/12/05	2020/04/20	10.00
62715	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 K	150,000,000	2019/12/05	2021/10/28	37.50
62711	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 B	150,000,000	2019/12/05	2022/02/25	37.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
62717	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/12/05	2020/03/30	37.50
62720	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 E	150,000,000	2019/12/05	2020/03/30	37.50
62716	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 U	150,000,000	2019/12/05	2020/04/28	37.50
62770	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Jun 2020 A	40,000,000	2019/12/05	2020/06/29	10.00
62771	Haitong Int'l Sec - Meituan Dianping R Bear CBBC Jul 2020 A	40,000,000	2019/12/05	2020/07/21	10.00
62764	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2020 A	40,000,000	2019/12/05	2020/09/21	10.00
62775	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 E	200,000,000	2019/12/05	2021/09/29	50.00
62774	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 L	200,000,000	2019/12/05	2021/09/29	50.00
62785	J P Morgan SP BV - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/12/05	2020/03/30	50.00
62786	J P Morgan SP BV - Meituan Dianping R Bull CBBC May 2020 K	60,000,000	2019/12/05	2020/05/08	15.00
62790	J P Morgan SP BV - Tencent R Bull CBBC May 2020 H	50,000,000	2019/12/05	2020/05/15	12.50
62654	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2020 A	11,300,000	2019/12/05	2020/05/29	10.01
62655	Macquarie Bank Ltd. - Tencent R Bull CBBC May 2020 B	31,500,000	2019/12/05	2020/05/29	10.02
62869	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 T	80,000,000	2019/12/05	2020/04/28	20.00
62692	Morgan Stanley Asia Products - Meituan R Bull CBBC May2020 B	58,000,000	2019/12/05	2020/05/04	14.50
62691	Morgan Stanley Asia Products - Meituan R Bear CBBC Jun2020 A	58,000,000	2019/12/05	2020/06/01	14.50
62693	Morgan Stanley Asia Products - Meituan R Bear CBBC Aug2020 B	58,000,000	2019/12/05	2020/08/03	14.50
62818	SG Issuer - Geely Auto R Bull CBBC Jul 2020 J	40,000,000	2019/12/05	2020/07/30	10.00
62804	SG Issuer - HSI R Bull CBBC Jul 2021 C	250,000,000	2019/12/05	2021/07/29	62.50
62816	SG Issuer - HSI R Bull CBBC Aug 2021 Z	250,000,000	2019/12/05	2021/08/30	62.50
62792	SG Issuer - HSI R Bull CBBC Nov 2021 M	250,000,000	2019/12/05	2021/11/29	62.50
62806	SG Issuer - HSI R Bear CBBC Mar 2020 L	250,000,000	2019/12/05	2020/03/30	62.50
62810	SG Issuer - HSI R Bear CBBC May 2020 A	250,000,000	2019/12/05	2020/05/28	62.50
62795	SG Issuer - Meituan Dianping R Bull CBBC Apr 2020 M	100,000,000	2019/12/05	2020/04/29	25.00
62827	SG Issuer - Meituan Dianping R Bull CBBC Jun 2020 D	100,000,000	2019/12/05	2020/06/30	25.00
62798	SG Issuer - Ping An R Bull CBBC Jul 2020 C	100,000,000	2019/12/05	2020/07/31	25.00
62801	SG Issuer - SUNAC R Bull CBBC Jul 2020 D	80,000,000	2019/12/05	2020/07/31	20.00
62829	SG Issuer - Tencent R Bear CBBC Jun 2020 A	80,000,000	2019/12/05	2020/06/30	53.60
62705	UBS AG - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/12/05	2022/01/28	50.00
62650	UBS AG - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/12/05	2022/01/28	50.00
62699	UBS AG - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/12/05	2022/01/28	50.00
62648	UBS AG - HSI R Bull CBBC Jan 2022 Y	200,000,000	2019/12/05	2022/01/28	50.00
62708	UBS AG - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/12/05	2020/03/30	50.00
62706	UBS AG - HSI R Bear CBBC Apr 2020 A	200,000,000	2019/12/05	2020/04/28	50.00
62746	Bank Vontobel - China Life R Bear CBBC Nov 2020 A	40,000,000	2019/12/05	2020/11/20	10.00
62744	Bank Vontobel - Geely Auto R Bear CBBC Oct 2020 A	40,000,000	2019/12/05	2020/10/23	10.00
62745	Bank Vontobel - Geely Auto R Bear CBBC Nov 2020 A	40,000,000	2019/12/05	2020/11/13	10.00
62747	Bank Vontobel - HSI R Bull CBBC May 2021 D	80,000,000	2019/12/05	2021/05/28	20.00
62749	Bank Vontobel - HSI R Bull CBBC Jun 2021 C	80,000,000	2019/12/05	2021/06/29	20.00
62748	Bank Vontobel - HSI R Bear CBBC May 2020 L	80,000,000	2019/12/05	2020/05/28	20.00
62755	Bank Vontobel - HSI R Bear CBBC Jun 2021 A	80,000,000	2019/12/05	2021/06/29	20.00
62731	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 K	60,000,000	2019/12/05	2020/11/13	15.00
62763	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 L	60,000,000	2019/12/05	2020/11/20	15.00
62736	Bank Vontobel - Meituan Dianping R Bear CBBC Dec 2020 B	60,000,000	2019/12/05	2020/12/11	15.00
62741	Bank Vontobel - Meituan Dianping R Bear CBBC Dec 2020 C	60,000,000	2019/12/05	2020/12/11	16.20

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62762	Bank Vontobel - Tencent R Bull CBBC Nov 2020 A	40,000,000	2019/12/05	2020/11/20	10.00
62756	Bank Vontobel - Xiaomi R Bull CBBC Nov 2020 A	40,000,000	2019/12/05	2020/11/13	10.00
62761	Bank Vontobel - Xiaomi R Bear CBBC Dec 2020 A	40,000,000	2019/12/05	2020/12/18	10.00
62920	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 K	150,000,000	2019/12/06	2021/12/30	37.50
62924	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 L	150,000,000	2019/12/06	2021/12/30	37.50
62926	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 M	150,000,000	2019/12/06	2021/12/30	37.50
62930	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 N	150,000,000	2019/12/06	2021/12/30	37.50
62938	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 O	150,000,000	2019/12/06	2021/12/30	37.50
63092	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 D	150,000,000	2019/12/06	2020/03/30	37.50
63079	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 H	150,000,000	2019/12/06	2020/03/30	37.50
63111	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 R	150,000,000	2019/12/06	2020/03/30	37.50
63083	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 S	150,000,000	2019/12/06	2020/03/30	37.50
63091	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 W	150,000,000	2019/12/06	2020/03/30	37.50
62984	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 A	250,000,000	2019/12/06	2021/10/28	62.50
63068	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 B	240,000,000	2019/12/06	2021/10/28	60.00
62983	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 O	250,000,000	2019/12/06	2021/10/28	62.50
62986	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 R	250,000,000	2019/12/06	2021/10/28	62.50
62980	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/12/06	2021/10/28	62.50
63074	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/12/06	2020/03/30	62.50
63076	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 D	250,000,000	2019/12/06	2020/03/30	62.50
62991	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/12/06	2020/03/30	62.50
62987	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 P	250,000,000	2019/12/06	2020/03/30	62.50
63078	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 Q	240,000,000	2019/12/06	2020/03/30	60.00
62992	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 V	250,000,000	2019/12/06	2020/03/30	62.50
62993	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 W	250,000,000	2019/12/06	2020/03/30	62.50
62989	BNP Paribas Issuance B.V. - HSI R Bear CBBC Mar 2020 Y	250,000,000	2019/12/06	2020/03/30	62.50
62998	BNP Paribas Issuance B.V. - Ping An R Bear CBBC Apr 2020 M	200,000,000	2019/12/06	2020/04/29	50.00
62994	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 F	50,000,000	2019/12/06	2020/11/27	12.50
62997	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 M	50,000,000	2019/12/06	2020/12/30	12.50
63177	Credit Suisse AG - CSPC Pharma R Bull CBBC Jul 2020 F	100,000,000	2019/12/06	2020/07/30	25.00
63178	Credit Suisse AG - CSPC Pharma R Bear CBBC Jul 2020 C	100,000,000	2019/12/06	2020/07/30	25.00
63160	Credit Suisse AG - HSI R Bull CBBC Dec 2021 J	200,000,000	2019/12/06	2021/12/30	50.00
63158	Credit Suisse AG - HSI R Bull CBBC Dec 2021 K	200,000,000	2019/12/06	2021/12/30	50.00
63154	Credit Suisse AG - HSI R Bull CBBC Dec 2021 N	200,000,000	2019/12/06	2021/12/30	50.00
63164	Credit Suisse AG - HSI R Bull CBBC Dec 2021 O	200,000,000	2019/12/06	2021/12/30	50.00
63162	Credit Suisse AG - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/12/06	2021/12/30	50.00
63192	Credit Suisse AG - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/12/06	2020/03/30	50.00
63198	Credit Suisse AG - HSI R Bear CBBC Apr 2020 G	200,000,000	2019/12/06	2020/04/28	50.00
63200	Credit Suisse AG - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/12/06	2020/04/28	50.00
63199	Credit Suisse AG - HSI R Bear CBBC May 2020 P	200,000,000	2019/12/06	2020/05/28	50.00
63193	Credit Suisse AG - HSI R Bear CBBC Jul 2020 A	300,000,000	2019/12/06	2020/07/30	75.00
63179	Credit Suisse AG - Ping An R Bear CBBC Apr 2020 A	60,000,000	2019/12/06	2020/04/28	15.00
63287	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 C	160,000,000	2019/12/06	2022/10/28	40.00
63289	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 D	160,000,000	2019/12/06	2022/10/28	40.00
63292	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 G	160,000,000	2019/12/06	2022/10/28	40.00

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63297	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 L	160,000,000	2019/12/06	2022/10/28	40.00
63283	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 G	160,000,000	2019/12/06	2022/11/29	40.00
63302	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 B	160,000,000	2019/12/06	2020/03/30	40.00
63298	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 R	160,000,000	2019/12/06	2020/03/30	40.00
63300	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 S	160,000,000	2019/12/06	2020/03/30	40.00
63301	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Z	160,000,000	2019/12/06	2020/03/30	40.00
63285	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jun 2020 A	60,000,000	2019/12/06	2020/06/30	15.00
63286	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jun 2020 B	60,000,000	2019/12/06	2020/06/30	15.00
63295	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 F	60,000,000	2019/12/06	2020/03/30	15.00
63304	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 L	60,000,000	2019/12/06	2020/03/30	15.00
62960	HK Bank - HKEEX R Bull CBBC Jun 2020 L	100,000,000	2019/12/06	2020/06/18	25.00
62958	HK Bank - HSCEI R Bear CBBC Apr 2020 B	50,000,000	2019/12/06	2020/04/28	12.50
62904	HK Bank - HSI R Bull CBBC Sep 2021 U	80,000,000	2019/12/06	2021/09/29	20.00
62911	HK Bank - HSI R Bull CBBC Sep 2021 V	60,000,000	2019/12/06	2021/09/29	15.00
62917	HK Bank - HSI R Bull CBBC Sep 2021 W	80,000,000	2019/12/06	2021/09/29	20.00
62968	HK Bank - HSI R Bull CBBC Sep 2021 X	80,000,000	2019/12/06	2021/09/29	20.00
62961	HK Bank - HSI R Bear CBBC Apr 2020 R	80,000,000	2019/12/06	2020/04/28	20.00
62964	HK Bank - HSI R Bear CBBC Apr 2020 S	60,000,000	2019/12/06	2020/04/28	15.00
62979	HK Bank - HSI R Bear CBBC Apr 2020 T	60,000,000	2019/12/06	2020/04/28	15.00
62970	HK Bank - Tencent R Bear CBBC Apr 2020 N	40,000,000	2019/12/06	2020/04/20	10.00
63029	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 Q	150,000,000	2019/12/06	2021/10/28	37.50
63037	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 W	150,000,000	2019/12/06	2021/10/28	37.50
63038	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 J	150,000,000	2019/12/06	2021/11/29	37.50
63036	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Y	150,000,000	2019/12/06	2022/01/28	37.50
63027	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 C	150,000,000	2019/12/06	2022/02/25	37.50
63041	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 I	150,000,000	2019/12/06	2020/03/30	37.50
63046	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 J	150,000,000	2019/12/06	2020/03/30	37.50
63043	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 V	150,000,000	2019/12/06	2020/04/28	37.50
63044	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 W	150,000,000	2019/12/06	2020/04/28	37.50
63051	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 B	200,000,000	2019/12/06	2021/09/29	50.00
63052	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 C	200,000,000	2019/12/06	2021/09/29	50.00
63049	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 F	200,000,000	2019/12/06	2021/09/29	50.00
63050	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 G	200,000,000	2019/12/06	2021/09/29	50.00
63047	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 X	200,000,000	2019/12/06	2021/09/29	50.00
63065	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/12/06	2021/10/28	50.00
63057	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/12/06	2020/04/28	50.00
63062	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/12/06	2020/04/28	50.00
63053	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 X	200,000,000	2019/12/06	2020/04/28	50.00
63054	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/12/06	2020/04/28	50.00
63056	J P Morgan SP BV - HSI R Bear CBBC May 2020 J	200,000,000	2019/12/06	2020/05/28	50.00
63312	J P Morgan SP BV - Tencent R Bear CBBC May 2020 C	200,000,000	2019/12/06	2020/05/15	50.00
63317	J P Morgan SP BV - Tencent R Bear CBBC May 2020 D	50,000,000	2019/12/06	2020/05/08	12.50
62951	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 N	80,000,000	2019/12/06	2022/01/28	20.00
62939	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 H	80,000,000	2019/12/06	2022/02/25	20.00
62955	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 I	80,000,000	2019/12/06	2022/02/25	20.00

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62971	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 J	80,000,000	2019/12/06	2022/02/25	20.00
62975	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 T	80,000,000	2019/12/06	2020/03/30	20.00
63282	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 U	80,000,000	2019/12/06	2020/03/30	20.00
63252	SG Issuer - AIA R Bear CBBC Jun 2020 A	60,000,000	2019/12/06	2020/06/30	15.00
63217	SG Issuer - China Mobile R Bull CBBC Sep 2020 C	100,000,000	2019/12/06	2020/09/29	25.00
63253	SG Issuer - CSPC Pharma R Bull CBBC Apr 2020 E	40,000,000	2019/12/06	2020/04/28	11.20
63254	SG Issuer - CSPC Pharma R Bull CBBC Apr 2020 F	40,000,000	2019/12/06	2020/04/29	10.00
63259	SG Issuer - CSPC Pharma R Bull CBBC Oct 2020 A	150,000,000	2019/12/06	2020/10/30	37.50
63258	SG Issuer - CSPC Pharma R Bear CBBC Apr 2020 B	40,000,000	2019/12/06	2020/04/29	11.80
63262	SG Issuer - HKEX R Bear CBBC May 2020 C	50,000,000	2019/12/06	2020/05/29	12.50
63220	SG Issuer - HSI R Bull CBBC Aug 2021 M	250,000,000	2019/12/06	2021/08/30	62.50
63251	SG Issuer - HSI R Bull CBBC Aug 2021 P	250,000,000	2019/12/06	2021/08/30	62.50
63278	SG Issuer - HSI R Bull CBBC Sep 2021 H	250,000,000	2019/12/06	2021/09/29	62.50
63268	SG Issuer - HSI R Bull CBBC Sep 2021 N	250,000,000	2019/12/06	2021/09/29	62.50
63280	SG Issuer - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/12/06	2021/10/28	62.50
63204	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/12/06	2021/11/29	62.50
63207	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/12/06	2021/12/30	62.50
63237	SG Issuer - HSI R Bear CBBC Mar 2020 U	250,000,000	2019/12/06	2020/03/30	62.50
63238	SG Issuer - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/12/06	2020/04/28	62.50
63248	SG Issuer - HSI R Bear CBBC Apr 2020 Q	250,000,000	2019/12/06	2020/04/28	62.50
63250	SG Issuer - HSI R Bear CBBC May 2020 B	250,000,000	2019/12/06	2020/05/28	62.50
63264	SG Issuer - Sino Biopharm R Bull CBBC Jul 2020 A	40,000,000	2019/12/06	2020/07/31	10.00
63266	SG Issuer - SUNAC R Bear CBBC Jun 2020 A	80,000,000	2019/12/06	2020/06/29	20.00
63213	SG Issuer - Tencent R Bull CBBC Apr 2020 C	80,000,000	2019/12/06	2020/04/29	20.00
63215	SG Issuer - Tencent R Bull CBBC Apr 2020 G	80,000,000	2019/12/06	2020/04/29	20.00
63218	SG Issuer - Tencent R Bear CBBC Mar 2020 K	80,000,000	2019/12/06	2020/03/31	20.00
63001	UBS AG - HSI R Bull CBBC Nov 2021 X	200,000,000	2019/12/06	2021/11/29	50.00
63007	UBS AG - HSI R Bull CBBC Dec 2021 L	200,000,000	2019/12/06	2021/12/30	50.00
63002	UBS AG - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/12/06	2021/12/30	50.00
62999	UBS AG - HSI R Bull CBBC Dec 2021 N	200,000,000	2019/12/06	2021/12/30	50.00
63003	UBS AG - HSI R Bull CBBC Dec 2021 U	200,000,000	2019/12/06	2021/12/30	50.00
63009	UBS AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/12/06	2020/03/30	50.00
63010	UBS AG - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/12/06	2020/03/30	50.00
63023	UBS AG - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/12/06	2020/03/30	50.00
63025	UBS AG - Tencent R Bull CBBC Apr 2020 J	40,000,000	2019/12/06	2020/04/22	10.00
63026	UBS AG - Tencent R Bear CBBC Apr 2020 D	40,000,000	2019/12/06	2020/04/22	10.00
63152	Bank Vontobel - CNOOC R Bear CBBC Nov 2020 A	40,000,000	2019/12/06	2020/11/06	10.00
63136	Bank Vontobel - CSPC Pharma R Bull CBBC Oct 2020 A	40,000,000	2019/12/06	2020/10/09	10.00
63151	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2020 A	30,000,000	2019/12/06	2020/11/20	12.30
63134	Bank Vontobel - CSPC Pharma R Bear CBBC Oct 2020 A	40,000,000	2019/12/06	2020/10/09	10.80
63122	Bank Vontobel - HSI R Bull CBBC Jun 2021 D	80,000,000	2019/12/06	2021/06/29	20.00
63126	Bank Vontobel - HSI R Bull CBBC Jun 2021 E	80,000,000	2019/12/06	2021/06/29	20.00
63129	Bank Vontobel - HSI R Bear CBBC May 2020 M	80,000,000	2019/12/06	2020/05/28	20.00
63130	Bank Vontobel - HSI R Bear CBBC May 2020 N	80,000,000	2019/12/06	2020/05/28	20.00
63467	BOCI Asia Ltd. - China Mobile R Bull CBBC Apr 2020 A	70,000,000	2019/12/09	2020/04/27	17.50



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63353	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 P	150,000,000	2019/12/09	2021/12/30	37.50
63359	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 U	150,000,000	2019/12/09	2020/03/30	37.50
63469	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 L	240,000,000	2019/12/09	2021/10/28	60.00
63470	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 W	240,000,000	2019/12/09	2020/05/28	60.00
63490	Credit Suisse AG - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/12/09	2021/10/28	50.00
63487	Credit Suisse AG - HSI R Bull CBBC Nov 2021 C	200,000,000	2019/12/09	2021/11/29	50.00
63476	Credit Suisse AG - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/12/09	2020/03/30	50.00
63480	Credit Suisse AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/12/09	2020/03/30	50.00
63472	Credit Suisse AG - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/12/09	2020/04/28	50.00
63484	Credit Suisse AG - HSI R Bear CBBC Apr 2020 X	200,000,000	2019/12/09	2020/04/28	50.00
63491	Credit Suisse AG - Sino Biopharm R Bull CBBC Oct 2020 A	50,000,000	2019/12/09	2020/10/29	12.50
63492	Credit Suisse AG - SUNAC R Bull CBBC Sep 2020 A	60,000,000	2019/12/09	2020/09/29	15.00
63516	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 H	160,000,000	2019/12/09	2022/11/29	40.00
63517	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 I	160,000,000	2019/12/09	2022/11/29	40.00
63510	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 H	160,000,000	2019/12/09	2020/03/30	40.00
63511	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Q	160,000,000	2019/12/09	2020/03/30	40.00
63519	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jun 2020 C	60,000,000	2019/12/09	2020/06/30	15.00
63518	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 B	80,000,000	2019/12/09	2020/06/30	20.00
63386	HK Bank - SUNAC R Bull CBBC Aug 2020 A	100,000,000	2019/12/09	2020/08/31	25.00
63387	HK Bank - SUNAC R Bull CBBC Nov 2020 A	100,000,000	2019/12/09	2020/11/30	25.00
63385	HK Bank - SUNAC R Bear CBBC Sep 2020 A	100,000,000	2019/12/09	2020/09/30	25.00
63391	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 S	150,000,000	2019/12/09	2021/11/29	37.50
63394	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Z	150,000,000	2019/12/09	2022/01/28	37.50
63397	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 V	150,000,000	2019/12/09	2020/03/30	37.50
63390	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 X	150,000,000	2019/12/09	2020/04/28	37.50
63400	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 Y	150,000,000	2019/12/09	2020/04/28	37.50
63404	Haitong Int'l Sec - SUNAC R Bull CBBC Aug 2020 B	50,000,000	2019/12/09	2020/08/20	12.50
63402	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2020 C	40,000,000	2019/12/09	2020/08/27	10.00
63432	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 D	200,000,000	2019/12/09	2021/09/29	50.00
63433	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 H	200,000,000	2019/12/09	2021/09/29	50.00
63415	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 B	200,000,000	2019/12/09	2020/04/28	50.00
63424	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Z	200,000,000	2019/12/09	2020/04/28	50.00
63417	J P Morgan SP BV - HSI R Bear CBBC May 2020 K	200,000,000	2019/12/09	2020/05/28	50.00
63426	J P Morgan SP BV - HSI R Bear CBBC May 2020 L	200,000,000	2019/12/09	2020/05/28	50.00
63500	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 F	80,000,000	2019/12/09	2021/11/29	20.00
63509	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 V	80,000,000	2019/12/09	2020/03/30	20.00
63333	Morgan Stanley Asia Products - Xiaomi R Bull CBBC Jun 2020 A	40,000,000	2019/12/09	2020/06/01	10.00
63339	Morgan Stanley Asia Products - Xiaomi R Bull CBBC Jul 2020 A	40,000,000	2019/12/09	2020/07/02	10.00
63321	Morgan Stanley Asia Products - Xiaomi R Bear CBBC Jul 2020 A	40,000,000	2019/12/09	2020/07/15	10.00
63346	Morgan Stanley Asia Products - Xiaomi R Bear CBBC Aug 2020 A	40,000,000	2019/12/09	2020/08/03	10.00
63444	SG Issuer - China Life R Bull CBBC Jun 2020 A	40,000,000	2019/12/09	2020/06/29	10.00
63455	SG Issuer - Galaxy Ent R Bull CBBC May 2020 D	100,000,000	2019/12/09	2020/05/29	25.00
63460	SG Issuer - HSI R Bull CBBC Aug 2021 T	250,000,000	2019/12/09	2021/08/30	62.50
63464	SG Issuer - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/12/09	2021/10/28	62.50
63451	SG Issuer - HSI R Bear CBBC Mar 2020 V	250,000,000	2019/12/09	2020/03/30	62.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63453	SG Issuer - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/12/09	2020/04/28	62.50
63454	SG Issuer - HSI R Bear CBBC May 2020 G	250,000,000	2019/12/09	2020/05/28	62.50
63459	SG Issuer - HSI R Bear CBBC May 2020 H	250,000,000	2019/12/09	2020/05/28	62.50
63457	SG Issuer - Ping An R Bull CBBC Aug 2020 D	100,000,000	2019/12/09	2020/08/05	25.00
63458	SG Issuer - Tencent R Bull CBBC May 2020 C	80,000,000	2019/12/09	2020/05/29	20.00
63450	SG Issuer - Tencent R Bear CBBC Apr 2020 I	80,000,000	2019/12/09	2020/04/27	20.00
63372	UBS AG - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/12/09	2022/01/28	50.00
63361	UBS AG - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/12/09	2020/03/30	50.00
63365	UBS AG - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/12/09	2020/03/30	50.00
63366	UBS AG - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/12/09	2020/03/30	50.00
63379	UBS AG - Ping An R Bull CBBC Apr 2020 A	100,000,000	2019/12/09	2020/04/20	25.00
63375	UBS AG - Ping An R Bear CBBC Mar 2020 A	100,000,000	2019/12/09	2020/03/23	25.00
63407	Bank Vontobel - HSI R Bull CBBC Jun 2021 F	80,000,000	2019/12/09	2021/06/29	20.00
63408	Bank Vontobel - HSI R Bear CBBC May 2020 O	80,000,000	2019/12/09	2020/05/28	20.00
63414	Bank Vontobel - HSI R Bear CBBC May 2020 P	80,000,000	2019/12/09	2020/05/28	20.00
63405	Bank Vontobel - Tencent R Bear CBBC Sep 2020 A	40,000,000	2019/12/09	2020/09/25	10.00
63540	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 Q	150,000,000	2019/12/10	2021/12/30	37.50
63541	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 R	150,000,000	2019/12/10	2021/12/30	37.50
63552	BOCI Asia Ltd. - HSI R Bear CBBC Mar 2020 X	150,000,000	2019/12/10	2020/03/30	37.50
63556	BOCI Asia Ltd. - Ping An R Bull CBBC Apr 2020 B	70,000,000	2019/12/10	2020/04/29	21.70
63557	BOCI Asia Ltd. - Ping An R Bull CBBC Apr 2020 C	70,000,000	2019/12/10	2020/04/29	21.00
63561	BOCI Asia Ltd. - Xiaomi R Bull CBBC Jul 2020 A	40,000,000	2019/12/10	2020/07/31	16.40
63522	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 M	250,000,000	2019/12/10	2021/10/28	62.50
63531	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 N	250,000,000	2019/12/10	2021/10/28	62.50
63532	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 P	250,000,000	2019/12/10	2021/10/28	62.50
63677	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Q	240,000,000	2019/12/10	2021/10/28	60.00
63679	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 X	250,000,000	2019/12/10	2020/05/28	62.50
63680	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 Y	240,000,000	2019/12/10	2020/05/28	60.00
63689	Credit Suisse AG - HSI R Bull CBBC Nov 2021 D	200,000,000	2019/12/10	2021/11/29	50.00
63686	Credit Suisse AG - HSI R Bull CBBC Dec 2021 I	200,000,000	2019/12/10	2021/12/30	50.00
63698	Credit Suisse AG - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/12/10	2021/12/30	50.00
63694	Credit Suisse AG - HSI R Bear CBBC Apr 2020 W	200,000,000	2019/12/10	2020/04/28	50.00
63692	Credit Suisse AG - HSI R Bear CBBC May 2020 Q	200,000,000	2019/12/10	2020/05/28	50.00
63781	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 N	160,000,000	2019/12/10	2022/10/28	40.00
63786	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 C	160,000,000	2019/12/10	2022/11/29	40.00
63773	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 J	160,000,000	2019/12/10	2022/11/29	40.00
63789	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 P	160,000,000	2019/12/10	2020/03/30	40.00
63791	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 W	160,000,000	2019/12/10	2020/03/30	40.00
63794	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2020 B	80,000,000	2019/12/10	2020/07/30	20.00
63792	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 G	60,000,000	2019/12/10	2020/03/10	15.00
63793	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 H	60,000,000	2019/12/10	2020/03/10	15.00
63546	HK Bank - HSI R Bull CBBC Oct 2020 A	60,000,000	2019/12/10	2020/10/29	15.00
63545	HK Bank - HSI R Bear CBBC Jul 2020 M	80,000,000	2019/12/10	2020/07/30	20.00
63549	HK Bank - Tencent R Bull CBBC Apr 2020 X	40,000,000	2019/12/10	2020/04/17	10.00
63595	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 O	150,000,000	2019/12/10	2021/11/29	37.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63611	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 Q	150,000,000	2019/12/10	2021/11/29	37.50
63603	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 B	150,000,000	2019/12/10	2022/01/28	37.50
63612	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 Z	150,000,000	2019/12/10	2020/04/28	37.50
63613	Haitong Int'l Sec - HSI R Bear CBBC May 2020 A	150,000,000	2019/12/10	2020/05/28	37.50
63615	Haitong Int'l Sec - HSI R Bear CBBC May 2020 B	150,000,000	2019/12/10	2020/05/28	37.50
63636	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/12/10	2021/10/28	50.00
63639	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/12/10	2021/11/29	50.00
63650	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/12/10	2021/12/30	50.00
63665	J P Morgan SP BV - HSI R Bear CBBC May 2020 M	200,000,000	2019/12/10	2020/05/28	50.00
63666	J P Morgan SP BV - HSI R Bear CBBC May 2020 N	200,000,000	2019/12/10	2020/05/28	50.00
63675	J P Morgan SP BV - HSI R Bear CBBC May 2020 O	200,000,000	2019/12/10	2020/05/28	50.00
63657	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 X	50,000,000	2019/12/10	2020/04/17	12.50
63661	J P Morgan SP BV - Tencent R Bear CBBC Jul 2020 A	200,000,000	2019/12/10	2020/07/10	50.00
63550	Macquarie Bank Ltd. - Tencent R Bull CBBC Jun 2020 A	30,000,000	2019/12/10	2020/06/30	10.59
63551	Macquarie Bank Ltd. - Tencent R Bear CBBC Jun 2020 A	30,000,000	2019/12/10	2020/06/30	11.31
63704	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 J	80,000,000	2019/12/10	2021/12/30	20.00
63703	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 U	80,000,000	2019/12/10	2020/04/28	20.00
63700	Morgan Stanley Asia Products - Ping An R Bull CBBC Apr2020 B	48,000,000	2019/12/10	2020/04/20	12.00
63755	SG Issuer - CPIC R Bull CBBC Nov 2020 A	100,000,000	2019/12/10	2020/11/27	25.00
63757	SG Issuer - HKEEX R Bull CBBC Apr 2020 S	50,000,000	2019/12/10	2020/04/29	12.50
63745	SG Issuer - HSI R Bull CBBC Sep 2021 R	250,000,000	2019/12/10	2021/09/29	62.50
63760	SG Issuer - HSI R Bull CBBC Sep 2021 V	250,000,000	2019/12/10	2021/09/29	62.50
63752	SG Issuer - HSI R Bull CBBC Nov 2021 X	250,000,000	2019/12/10	2021/11/29	62.50
63754	SG Issuer - HSI R Bull CBBC Dec 2021 G	250,000,000	2019/12/10	2021/12/30	62.50
63758	SG Issuer - HSI R Bear CBBC May 2020 I	250,000,000	2019/12/10	2020/05/28	62.50
63763	SG Issuer - HSI R Bear CBBC May 2020 J	250,000,000	2019/12/10	2020/05/28	62.50
63771	SG Issuer - HSI R Bear CBBC May 2020 K	250,000,000	2019/12/10	2020/05/28	62.50
63729	SG Issuer - Tencent R Bull CBBC Apr 2020 H	80,000,000	2019/12/10	2020/04/29	20.00
63734	SG Issuer - Xiaomi R Bull CBBC May 2020 B	60,000,000	2019/12/10	2020/05/29	15.00
63564	UBS AG - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/12/10	2021/11/29	50.00
63567	UBS AG - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/12/10	2021/11/29	50.00
63565	UBS AG - HSI R Bull CBBC Jan 2022 L	200,000,000	2019/12/10	2022/01/28	50.00
63569	UBS AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/12/10	2020/03/30	50.00
63582	UBS AG - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/12/10	2020/03/30	50.00
63579	UBS AG - HSI R Bear CBBC Apr 2020 B	200,000,000	2019/12/10	2020/04/28	50.00
63590	UBS AG - HSI R Bear CBBC Apr 2020 C	200,000,000	2019/12/10	2020/04/28	50.00
63706	Bank Vontobel - HSI R Bull CBBC Jun 2021 G	80,000,000	2019/12/10	2021/06/29	20.00
63711	Bank Vontobel - HSI R Bull CBBC Jun 2021 H	80,000,000	2019/12/10	2021/06/29	20.00
63717	Bank Vontobel - HSI R Bear CBBC May 2020 Q	80,000,000	2019/12/10	2020/05/28	20.00
63718	Bank Vontobel - HSI R Bear CBBC Jun 2020 D	80,000,000	2019/12/10	2020/06/29	20.00
63720	Bank Vontobel - HSI R Bear CBBC Jun 2020 E	80,000,000	2019/12/10	2020/06/29	20.00
63725	Bank Vontobel - Tencent R Bear CBBC Nov 2020 A	40,000,000	2019/12/10	2020/11/20	10.00
63812	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 T	150,000,000	2019/12/11	2021/12/30	37.50
63817	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 U	150,000,000	2019/12/11	2021/12/30	37.50
63844	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 A	150,000,000	2019/12/11	2020/04/28	37.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63846	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 B	150,000,000	2019/12/11	2020/04/28	37.50
63847	BOCI Asia Ltd. - Tencent R Bull CBBC Apr 2020 B	40,000,000	2019/12/11	2020/04/27	10.00
63795	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 S	250,000,000	2019/12/11	2021/10/28	62.50
63796	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 U	240,000,000	2019/12/11	2021/10/28	60.00
63808	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 V	240,000,000	2019/12/11	2021/10/28	60.00
63895	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Z	240,000,000	2019/12/11	2021/10/28	60.00
63835	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 A	250,000,000	2019/12/11	2020/05/28	62.50
63830	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 B	250,000,000	2019/12/11	2020/05/28	62.50
63896	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 C	250,000,000	2019/12/11	2020/05/28	62.50
63897	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 D	250,000,000	2019/12/11	2020/05/28	62.50
63901	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 E	250,000,000	2019/12/11	2020/05/28	62.50
63907	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 F	250,000,000	2019/12/11	2020/05/28	62.50
63916	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 G	250,000,000	2019/12/11	2020/05/28	62.50
63819	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 Z	250,000,000	2019/12/11	2020/05/28	62.50
63960	Credit Suisse AG - HSI R Bull CBBC Oct 2021 C	200,000,000	2019/12/11	2021/10/28	50.00
63964	Credit Suisse AG - HSI R Bull CBBC Nov 2021 F	200,000,000	2019/12/11	2021/11/29	50.00
63956	Credit Suisse AG - HSI R Bull CBBC Dec 2021 S	200,000,000	2019/12/11	2021/12/30	50.00
63971	Credit Suisse AG - HSI R Bear CBBC May 2020 R	200,000,000	2019/12/11	2020/05/28	50.00
63977	Credit Suisse AG - HSI R Bear CBBC May 2020 S	200,000,000	2019/12/11	2020/05/28	50.00
63978	Credit Suisse AG - HSI R Bear CBBC Jun 2020 I	200,000,000	2019/12/11	2020/06/29	50.00
63979	Credit Suisse AG - HSI R Bear CBBC Jul 2020 B	300,000,000	2019/12/11	2020/07/30	75.00
63973	Credit Suisse AG - Xiaomi R Bull CBBC Nov 2020 A	50,000,000	2019/12/11	2020/11/30	12.50
64112	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 D	160,000,000	2019/12/11	2022/11/29	40.00
64119	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 R	160,000,000	2019/12/11	2022/11/29	40.00
64121	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 S	160,000,000	2019/12/11	2022/11/29	40.00
64127	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 E	160,000,000	2019/12/11	2020/05/28	40.00
64130	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 F	160,000,000	2019/12/11	2020/05/28	40.00
64141	Goldman Sachs SP (Asia) - Ping An R Bear CBBC Apr 2020 A	60,000,000	2019/12/11	2020/04/29	15.00
64132	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 I	60,000,000	2019/12/11	2020/03/11	15.00
64133	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 J	60,000,000	2019/12/11	2020/03/30	15.00
64140	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Mar 2020 M	60,000,000	2019/12/11	2020/03/30	15.00
63836	HK Bank - HSI R Bear CBBC Jul 2020 N	80,000,000	2019/12/11	2020/07/30	20.00
63849	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 E	150,000,000	2019/12/11	2022/01/28	37.50
63850	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 H	150,000,000	2019/12/11	2022/01/28	37.50
64029	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 N	150,000,000	2019/12/11	2022/01/28	37.50
64030	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 D	150,000,000	2019/12/11	2022/02/25	37.50
64034	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 A	150,000,000	2019/12/11	2020/04/28	37.50
64031	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 C	150,000,000	2019/12/11	2020/04/28	37.50
64032	Haitong Int'l Sec - HSI R Bear CBBC May 2020 D	150,000,000	2019/12/11	2020/05/28	37.50
64035	Haitong Int'l Sec - HSI R Bear CBBC May 2020 E	150,000,000	2019/12/11	2020/05/28	37.50
63863	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Aug 2020 A	40,000,000	2019/12/11	2020/08/13	10.00
63854	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2020 B	40,000,000	2019/12/11	2020/09/22	10.00
63917	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 I	200,000,000	2019/12/11	2021/09/29	50.00
63925	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 A	200,000,000	2019/12/11	2021/10/28	50.00
63930	J P Morgan SP BV - HSI R Bull CBBC Nov 2021 Z	200,000,000	2019/12/11	2021/11/29	50.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
63934	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 P	200,000,000	2019/12/11	2021/12/30	50.00
63946	J P Morgan SP BV - HSI R Bear CBBC May 2020 P	200,000,000	2019/12/11	2020/05/28	50.00
63947	J P Morgan SP BV - HSI R Bear CBBC May 2020 Q	200,000,000	2019/12/11	2020/05/28	50.00
63953	J P Morgan SP BV - HSI R Bear CBBC May 2020 R	200,000,000	2019/12/11	2020/05/28	50.00
63955	J P Morgan SP BV - HSI R Bear CBBC May 2020 S	200,000,000	2019/12/11	2020/05/28	50.00
63939	J P Morgan SP BV - Meituan Dianping R Bear CBBC Jun 2020 G	60,000,000	2019/12/11	2020/06/12	15.00
63940	J P Morgan SP BV - Ping An R Bull CBBC Jul 2020 A	100,000,000	2019/12/11	2020/07/10	25.00
63941	J P Morgan SP BV - Tencent R Bull CBBC Jun 2020 B	50,000,000	2019/12/11	2020/06/12	12.50
64023	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 K	80,000,000	2019/12/11	2022/02/25	20.00
64026	Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2020 X	80,000,000	2019/12/11	2020/03/30	20.00
64025	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 V	80,000,000	2019/12/11	2020/04/28	20.00
64056	SG Issuer - China Life R Bull CBBC Nov 2020 A	150,000,000	2019/12/11	2020/11/27	37.50
64049	SG Issuer - HSI R Bull CBBC Sep 2021 O	250,000,000	2019/12/11	2021/09/29	62.50
64066	SG Issuer - HSI R Bull CBBC Sep 2021 T	250,000,000	2019/12/11	2021/09/29	62.50
64074	SG Issuer - HSI R Bull CBBC Oct 2021 J	250,000,000	2019/12/11	2021/10/28	62.50
64052	SG Issuer - HSI R Bull CBBC Oct 2021 S	250,000,000	2019/12/11	2021/10/28	62.50
64054	SG Issuer - HSI R Bull CBBC Dec 2021 E	250,000,000	2019/12/11	2021/12/30	62.50
64053	SG Issuer - HSI R Bull CBBC Dec 2021 O	250,000,000	2019/12/11	2021/12/30	62.50
64096	SG Issuer - HSI R Bear CBBC Mar 2020 A	250,000,000	2019/12/11	2020/03/30	62.50
64088	SG Issuer - HSI R Bear CBBC Mar 2020 W	250,000,000	2019/12/11	2020/03/30	62.50
64102	SG Issuer - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/12/11	2020/04/28	62.50
64110	SG Issuer - HSI R Bear CBBC May 2020 L	250,000,000	2019/12/11	2020/05/28	62.50
64036	SG Issuer - Meituan Dianping R Bull CBBC May 2020 I	100,000,000	2019/12/11	2020/05/29	25.00
64039	SG Issuer - Ping An R Bull CBBC May 2020 D	100,000,000	2019/12/11	2020/05/29	25.00
64043	SG Issuer - Ping An R Bull CBBC Oct 2020 A	100,000,000	2019/12/11	2020/10/30	25.00
64044	SG Issuer - Tencent R Bull CBBC May 2020 D	80,000,000	2019/12/11	2020/05/29	20.00
63865	UBS AG - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/12/11	2021/12/30	50.00
63869	UBS AG - HSI R Bull CBBC Dec 2021 S	200,000,000	2019/12/11	2021/12/30	50.00
63872	UBS AG - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/12/11	2021/12/30	50.00
63873	UBS AG - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/12/11	2021/12/30	50.00
63877	UBS AG - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/12/11	2021/12/30	50.00
63879	UBS AG - HSI R Bear CBBC Apr 2020 D	200,000,000	2019/12/11	2020/04/28	50.00
63880	UBS AG - HSI R Bear CBBC Apr 2020 E	200,000,000	2019/12/11	2020/04/28	50.00
63884	UBS AG - HSI R Bear CBBC Apr 2020 F	200,000,000	2019/12/11	2020/04/28	50.00
64011	Bank Vontobel - CC Bank R Bear CBBC Oct 2020 A	40,000,000	2019/12/11	2020/10/09	10.00
64016	Bank Vontobel - China Life R Bull CBBC Dec 2020 A	40,000,000	2019/12/11	2020/12/11	14.40
64017	Bank Vontobel - Galaxy Ent R Bull CBBC Dec 2020 A	40,000,000	2019/12/11	2020/12/11	10.00
64021	Bank Vontobel - Galaxy Ent R Bull CBBC Dec 2020 B	40,000,000	2019/12/11	2020/12/11	10.00
63992	Bank Vontobel - HSI R Bull CBBC Jun 2021 I	80,000,000	2019/12/11	2021/06/29	20.00
63994	Bank Vontobel - HSI R Bull CBBC Jun 2021 J	80,000,000	2019/12/11	2021/06/29	20.00
63997	Bank Vontobel - HSI R Bull CBBC Jun 2021 K	80,000,000	2019/12/11	2021/06/29	20.00
64008	Bank Vontobel - HSI R Bear CBBC May 2020 R	80,000,000	2019/12/11	2020/05/28	20.00
63998	Bank Vontobel - HSI R Bear CBBC Jun 2020 F	80,000,000	2019/12/11	2020/06/29	20.00
63988	Bank Vontobel - Meituan Dianping R Bull CBBC Oct 2020 A	60,000,000	2019/12/11	2020/10/23	15.00
63980	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2020 D	60,000,000	2019/12/11	2020/12/18	15.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64012	Bank Vontobel - Meituan Dianping R Bear CBBC Nov 2020 A	60,000,000	2019/12/11	2020/11/13	15.00
64014	Bank Vontobel - SHK Ppt R Bear CBBC Nov 2020 A	40,000,000	2019/12/11	2020/11/20	10.00
64156	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 V	150,000,000	2019/12/12	2021/12/30	37.50
64147	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 C	150,000,000	2019/12/12	2020/04/28	37.50
64148	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 D	150,000,000	2019/12/12	2020/04/28	37.50
64158	BOCI Asia Ltd. - Tencent R Bear CBBC Apr 2020 A	40,000,000	2019/12/12	2020/04/24	10.80
64255	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 C	240,000,000	2019/12/12	2021/10/28	60.00
64263	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 H	240,000,000	2019/12/12	2020/05/28	60.00
64167	BNP Paribas Issuance B.V. - Meituan R Bear CBBC Jul 2020 A	50,000,000	2019/12/12	2020/07/30	12.50
64168	BNP Paribas Issuance B.V. - Meituan R Bear CBBC Jul 2020 B	50,000,000	2019/12/12	2020/07/30	12.50
64278	Credit Suisse AG - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/12/12	2021/12/30	50.00
64282	Credit Suisse AG - HSI R Bull CBBC Dec 2021 V	200,000,000	2019/12/12	2021/12/30	50.00
64268	Credit Suisse AG - HSI R Bear CBBC May 2020 T	200,000,000	2019/12/12	2020/05/28	50.00
64269	Credit Suisse AG - HSI R Bear CBBC May 2020 U	200,000,000	2019/12/12	2020/05/28	50.00
64272	Credit Suisse AG - HSI R Bear CBBC Jun 2020 J	200,000,000	2019/12/12	2020/06/29	50.00
64276	Credit Suisse AG - Sunny Optical R Bull CBBC Nov 2020 A	40,000,000	2019/12/12	2020/11/26	10.00
64330	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 P	160,000,000	2019/12/12	2022/11/29	40.00
64341	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 T	160,000,000	2019/12/12	2022/11/29	40.00
64323	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 G	160,000,000	2019/12/12	2020/05/28	40.00
64327	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 H	160,000,000	2019/12/12	2020/05/28	40.00
64335	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Aug 2020 A	80,000,000	2019/12/12	2020/08/27	20.00
64336	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 K	60,000,000	2019/12/12	2020/03/30	15.48
64151	HK Bank - HSI R Bull CBBC Sep 2021 Y	100,000,000	2019/12/12	2021/09/29	25.00
64154	HK Bank - HSI R Bear CBBC Apr 2020 U	100,000,000	2019/12/12	2020/04/28	25.00
64153	HK Bank - HSI R Bear CBBC Jul 2020 O	80,000,000	2019/12/12	2020/07/30	20.00
64155	HK Bank - HSI R Bear CBBC Jul 2020 P	80,000,000	2019/12/12	2020/07/30	20.00
64209	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 P	150,000,000	2019/12/12	2022/01/28	37.50
64220	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 E	150,000,000	2019/12/12	2022/02/25	37.50
64227	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 D	150,000,000	2019/12/12	2020/04/28	37.50
64203	Haitong Int'l Sec - HSI R Bear CBBC May 2020 F	150,000,000	2019/12/12	2020/05/28	37.50
64233	Haitong Int'l Sec - HSI R Bear CBBC May 2020 G	150,000,000	2019/12/12	2020/05/28	37.50
64244	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 K	200,000,000	2019/12/12	2021/09/29	50.00
64246	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 M	200,000,000	2019/12/12	2021/10/28	50.00
64234	J P Morgan SP BV - HSI R Bear CBBC May 2020 T	200,000,000	2019/12/12	2020/05/28	50.00
64236	J P Morgan SP BV - HSI R Bear CBBC May 2020 U	200,000,000	2019/12/12	2020/05/28	50.00
64249	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 O	80,000,000	2019/12/12	2022/01/28	20.00
64248	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 W	80,000,000	2019/12/12	2020/04/28	20.00
64353	SG Issuer - AIA R Bear CBBC Jun 2020 B	60,000,000	2019/12/12	2020/06/30	15.00
64354	SG Issuer - HKEX R Bull CBBC May 2020 H	50,000,000	2019/12/12	2020/05/27	12.50
64365	SG Issuer - HSI R Bull CBBC Oct 2021 P	250,000,000	2019/12/12	2021/10/28	62.50
64367	SG Issuer - HSI R Bull CBBC Nov 2021 M	250,000,000	2019/12/12	2021/11/29	62.50
64350	SG Issuer - HSI R Bear CBBC Mar 2020 O	250,000,000	2019/12/12	2020/03/30	62.50
64359	SG Issuer - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/12/12	2020/04/28	62.50
64352	SG Issuer - HSI R Bear CBBC Apr 2020 S	250,000,000	2019/12/12	2020/04/28	62.50
64357	SG Issuer - HSI R Bear CBBC Apr 2020 T	250,000,000	2019/12/12	2020/04/28	62.50



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64362	SG Issuer - HSI R Bear CBBC May 2020 M	250,000,000	2019/12/12	2020/05/28	62.50
64343	SG Issuer - Ping An R Bear CBBC Apr 2020 H	100,000,000	2019/12/12	2020/04/29	25.00
64190	UBS AG - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/12/12	2022/01/28	50.00
64196	UBS AG - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/12/12	2022/01/28	50.00
64169	UBS AG - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/12/12	2020/03/30	50.00
64170	UBS AG - HSI R Bear CBBC Apr 2020 G	200,000,000	2019/12/12	2020/04/28	50.00
64174	UBS AG - HSI R Bear CBBC Apr 2020 H	200,000,000	2019/12/12	2020/04/28	50.00
64182	UBS AG - HSI R Bear CBBC Apr 2020 I	200,000,000	2019/12/12	2020/04/28	50.00
64197	UBS AG - Meituan Dianping R Bull CBBC Apr 2020 B	50,000,000	2019/12/12	2020/04/06	12.50
64202	UBS AG - Ping An R Bull CBBC Apr 2020 B	100,000,000	2019/12/12	2020/04/22	25.00
64201	UBS AG - Tencent R Bull CBBC Jun 2020 B	200,000,000	2019/12/12	2020/06/12	50.00
64287	Bank Vontobel - AIA R Bear CBBC Nov 2020 A	40,000,000	2019/12/12	2020/11/06	10.00
64290	Bank Vontobel - BOCL R Bear CBBC Nov 2020 A	40,000,000	2019/12/12	2020/11/06	10.00
64285	Bank Vontobel - CC Bank R Bear CBBC Nov 2020 A	40,000,000	2019/12/12	2020/11/06	10.00
64296	Bank Vontobel - HSI R Bull CBBC Jun 2021 L	80,000,000	2019/12/12	2021/06/29	20.00
64297	Bank Vontobel - HSI R Bull CBBC Jun 2021 M	80,000,000	2019/12/12	2021/06/29	20.00
64298	Bank Vontobel - HSI R Bull CBBC Jun 2021 N	80,000,000	2019/12/12	2021/06/29	20.00
64300	Bank Vontobel - HSI R Bull CBBC Jun 2021 O	80,000,000	2019/12/12	2021/06/29	20.00
64301	Bank Vontobel - HSI R Bull CBBC Jun 2021 P	80,000,000	2019/12/12	2021/06/29	20.00
64318	Bank Vontobel - HSI R Bear CBBC Apr 2020 R	80,000,000	2019/12/12	2020/04/28	20.00
64311	Bank Vontobel - HSI R Bear CBBC May 2020 S	80,000,000	2019/12/12	2020/05/28	20.00
64313	Bank Vontobel - HSI R Bear CBBC May 2020 T	80,000,000	2019/12/12	2020/05/28	20.00
64303	Bank Vontobel - HSI R Bear CBBC Jun 2020 G	80,000,000	2019/12/12	2020/06/29	20.00
64295	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 M	60,000,000	2019/12/12	2020/11/06	15.00
64291	Bank Vontobel - Tencent R Bear CBBC Nov 2020 B	20,000,000	2019/12/12	2020/11/06	11.60
64380	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 W	150,000,000	2019/12/13	2021/12/30	37.50
64382	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 E	150,000,000	2019/12/13	2020/04/28	37.50
64387	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 V	50,000,000	2019/12/13	2020/09/29	12.50
64390	BNP Paribas Issuance B.V. - HKEX R Bear CBBC Jul 2020 G	50,000,000	2019/12/13	2020/07/30	12.50
64517	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/12/13	2021/10/28	62.50
64518	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 E	250,000,000	2019/12/13	2021/10/28	62.50
64520	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 F	250,000,000	2019/12/13	2021/10/28	62.50
64521	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 G	250,000,000	2019/12/13	2021/10/28	62.50
64523	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 J	240,000,000	2019/12/13	2021/10/28	60.00
64368	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 I	250,000,000	2019/12/13	2020/05/28	62.50
64524	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 J	240,000,000	2019/12/13	2020/05/28	60.00
64383	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 O	50,000,000	2019/12/13	2020/12/30	12.50
64384	BNP Paribas Issuance B.V. - Meituan R Bull CBBC Dec 2020 P	50,000,000	2019/12/13	2020/12/30	12.50
64392	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 H	50,000,000	2019/12/13	2020/11/27	12.50
64397	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 J	50,000,000	2019/12/13	2020/12/30	12.50
64533	Credit Suisse AG - HSI R Bull CBBC Oct 2021 Q	200,000,000	2019/12/13	2021/10/28	50.00
64537	Credit Suisse AG - HSI R Bull CBBC Nov 2021 M	200,000,000	2019/12/13	2021/11/29	50.00
64539	Credit Suisse AG - HSI R Bull CBBC Nov 2021 W	200,000,000	2019/12/13	2021/11/29	50.00
64525	Credit Suisse AG - HSI R Bear CBBC May 2020 V	200,000,000	2019/12/13	2020/05/28	50.00
64541	Credit Suisse AG - HSI R Bear CBBC May 2020 W	200,000,000	2019/12/13	2020/05/28	50.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64526	Credit Suisse AG - Sunny Optical R Bull CBBC Nov 2020 B	40,000,000	2019/12/13	2020/11/26	10.00
64527	Credit Suisse AG - Sunny Optical R Bear CBBC Nov 2020 B	40,000,000	2019/12/13	2020/11/05	10.00
64528	Credit Suisse AG - Sunny Optical R Bear CBBC Dec 2020 B	100,000,000	2019/12/13	2020/12/22	25.00
64540	Credit Suisse AG - Xiaomi R Bull CBBC Nov 2020 B	50,000,000	2019/12/13	2020/11/23	12.50
64691	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC Mar2020 B	60,000,000	2019/12/13	2020/03/30	15.00
64655	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 I	160,000,000	2019/12/13	2020/05/28	40.00
64672	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 J	160,000,000	2019/12/13	2020/05/28	40.00
64680	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 K	160,000,000	2019/12/13	2020/05/28	40.00
64692	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Mar20E	60,000,000	2019/12/13	2020/03/30	15.00
64686	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Mar 2020 L	60,000,000	2019/12/13	2020/03/13	15.00
64689	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 C	60,000,000	2019/12/13	2020/06/30	19.02
64690	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Apr 2020 B	60,000,000	2019/12/13	2020/04/29	15.00
64675	Goldman Sachs SP (Asia) - Xiaomi R Bull CBBC May 2020 A	80,000,000	2019/12/13	2020/05/28	20.00
64379	HK Bank - HSI R Bull CBBC Sep 2021 Z	100,000,000	2019/12/13	2021/09/29	25.00
64373	HK Bank - HSI R Bear CBBC Jul 2020 Q	60,000,000	2019/12/13	2020/07/30	15.00
64378	HK Bank - Meituan Dianping R Bull CBBC Jun 2020 D	40,000,000	2019/12/13	2020/06/30	10.00
64377	HK Bank - Sunny Optical R Bull CBBC Jun 2020 A	40,000,000	2019/12/13	2020/06/30	10.00
64371	HK Bank - Tencent R Bull CBBC Jun 2020 H	40,000,000	2019/12/13	2020/06/03	10.00
64374	HK Bank - Xiaomi R Bull CBBC Jun 2020 A	60,000,000	2019/12/13	2020/06/30	15.00
64547	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 T	150,000,000	2019/12/13	2022/01/28	37.50
64552	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 F	150,000,000	2019/12/13	2022/02/25	37.50
64481	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 B	150,000,000	2019/12/13	2020/04/28	37.50
64553	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 L	150,000,000	2019/12/13	2020/04/28	37.50
64485	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 M	150,000,000	2019/12/13	2020/04/28	37.50
64482	Haitong Int'l Sec - HSI R Bear CBBC May 2020 H	150,000,000	2019/12/13	2020/05/28	37.50
64556	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2020 A	50,000,000	2019/12/13	2020/08/18	12.50
64555	Haitong Int'l Sec - Xiaomi R Bull CBBC Jul 2020 A	50,000,000	2019/12/13	2020/07/06	12.50
64500	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 N	200,000,000	2019/12/13	2021/10/28	50.00
64507	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/12/13	2021/10/28	50.00
64508	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 D	200,000,000	2019/12/13	2021/12/30	50.00
64487	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/12/13	2020/04/28	50.00
64488	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 X	200,000,000	2019/12/13	2020/04/28	50.00
64489	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/12/13	2020/04/28	50.00
64494	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 E	60,000,000	2019/12/13	2020/06/12	15.00
64515	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 S	80,000,000	2019/12/13	2021/12/30	20.00
64370	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 H	80,000,000	2019/12/13	2020/05/28	20.00
64572	SG Issuer - CC Bank R Bull CBBC Jul 2020 F	100,000,000	2019/12/13	2020/07/31	25.00
64573	SG Issuer - China Life R Bull CBBC Jun 2020 B	40,000,000	2019/12/13	2020/06/30	10.00
64632	SG Issuer - Sinopec Corp R Bull CBBC Jul 2020 C	40,000,000	2019/12/13	2020/07/30	10.00
64648	SG Issuer - HKEX R Bear CBBC Jun 2020 A	50,000,000	2019/12/13	2020/06/29	12.75
64612	SG Issuer - HSI R Bull CBBC Aug 2021 U	250,000,000	2019/12/13	2021/08/30	62.50
64615	SG Issuer - HSI R Bull CBBC Sep 2021 S	250,000,000	2019/12/13	2021/09/29	62.50
64626	SG Issuer - HSI R Bull CBBC Dec 2021 K	250,000,000	2019/12/13	2021/12/30	62.50
64619	SG Issuer - HSI R Bull CBBC Dec 2021 P	250,000,000	2019/12/13	2021/12/30	62.50
64564	SG Issuer - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/12/13	2020/03/30	62.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64600	SG Issuer - HSI R Bear CBBC Apr 2020 M	250,000,000	2019/12/13	2020/04/28	62.50
64611	SG Issuer - HSI R Bear CBBC Apr 2020 X	250,000,000	2019/12/13	2020/04/28	62.50
64571	SG Issuer - HSI R Bear CBBC May 2020 N	250,000,000	2019/12/13	2020/05/28	62.50
64602	SG Issuer - HSI R Bear CBBC May 2020 R	250,000,000	2019/12/13	2020/05/28	62.50
64579	SG Issuer - Meituan Dianping R Bull CBBC Jun 2020 E	100,000,000	2019/12/13	2020/06/30	25.00
64587	SG Issuer - SUNAC R Bull CBBC Oct 2020 A	80,000,000	2019/12/13	2020/10/29	20.00
64591	SG Issuer - Sunny Optical R Bull CBBC Jul 2020 A	40,000,000	2019/12/13	2020/07/31	10.00
64560	SG Issuer - Sunny Optical R Bear CBBC Jul 2020 A	40,000,000	2019/12/13	2020/07/30	14.20
64651	SG Issuer - Tencent R Bull CBBC Apr 2020 N	80,000,000	2019/12/13	2020/04/29	20.00
64563	SG Issuer - Tencent R Bear CBBC Apr 2020 J	80,000,000	2019/12/13	2020/04/29	20.00
64480	UBS AG - HKEX R Bull CBBC Jun 2022 A	50,000,000	2019/12/13	2022/06/22	12.50
64457	UBS AG - HSI R Bull CBBC Jan 2022 D	200,000,000	2019/12/13	2022/01/28	50.00
64461	UBS AG - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/12/13	2022/01/28	50.00
64400	UBS AG - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/12/13	2020/03/30	50.00
64455	UBS AG - HSI R Bear CBBC Apr 2020 J	200,000,000	2019/12/13	2020/04/28	50.00
64463	UBS AG - HSI R Bear CBBC Apr 2020 K	200,000,000	2019/12/13	2020/04/28	50.00
64464	UBS AG - HSI R Bear CBBC Apr 2020 L	200,000,000	2019/12/13	2020/04/28	50.00
64468	UBS AG - Tencent R Bull CBBC Apr 2020 K	40,000,000	2019/12/13	2020/04/23	10.00
64476	UBS AG - Tencent R Bear CBBC Apr 2020 E	200,000,000	2019/12/13	2020/04/23	50.00
64744	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 X	150,000,000	2019/12/16	2021/12/30	37.50
64745	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 F	150,000,000	2019/12/16	2020/04/28	37.50
64746	BOCI Asia Ltd. - Xiaomi R Bull CBBC Jul 2020 B	40,000,000	2019/12/16	2020/07/30	10.00
64791	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 H	240,000,000	2019/12/16	2021/10/28	60.00
64734	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 K	250,000,000	2019/12/16	2021/10/28	62.50
64785	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 X	250,000,000	2019/12/16	2021/10/28	62.50
64730	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 K	250,000,000	2019/12/16	2020/05/28	62.50
64792	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 W	250,000,000	2019/12/16	2020/05/28	62.50
64795	Credit Suisse AG - HSI R Bull CBBC Oct 2021 D	200,000,000	2019/12/16	2021/10/28	50.00
64799	Credit Suisse AG - HSI R Bull CBBC Dec 2021 A	300,000,000	2019/12/16	2021/12/30	75.00
64798	Credit Suisse AG - HSI R Bull CBBC Feb 2022 I	300,000,000	2019/12/16	2022/02/25	75.00
64800	Credit Suisse AG - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/12/16	2020/03/30	50.00
64803	Credit Suisse AG - HSI R Bear CBBC Jul 2020 C	300,000,000	2019/12/16	2020/07/30	75.00
64796	Credit Suisse AG - Tencent R Bull CBBC Sep 2020 B	100,000,000	2019/12/16	2020/09/29	25.00
64863	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 U	160,000,000	2019/12/16	2022/11/29	40.00
64858	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 L	160,000,000	2019/12/16	2020/05/28	40.00
64867	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 D	60,000,000	2019/12/16	2020/06/30	15.00
64724	HK Bank - HSI R Bull CBBC Sep 2021 B	60,000,000	2019/12/16	2021/09/29	15.00
64729	HK Bank - HSI R Bear CBBC Jul 2020 R	60,000,000	2019/12/16	2020/07/30	15.00
64714	HK Bank - SUNAC R Bull CBBC Sep 2020 B	100,000,000	2019/12/16	2020/09/30	25.00
64713	HK Bank - SUNAC R Bear CBBC Sep 2020 B	60,000,000	2019/12/16	2020/09/30	15.00
64702	HK Bank - Sunny Optical R Bull CBBC Jun 2020 B	40,000,000	2019/12/16	2020/06/16	10.00
64694	HK Bank - Tencent R Bull CBBC Jun 2020 I	40,000,000	2019/12/16	2020/06/10	10.00
64772	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 X	150,000,000	2019/12/16	2022/01/28	37.50
64773	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 G	150,000,000	2019/12/16	2022/02/25	37.50
64774	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 N	150,000,000	2019/12/16	2020/04/28	37.50

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
64770	Haitong Int'l Sec - HSI R Bear CBBC May 2020 I	150,000,000	2019/12/16	2020/05/28	37.50
64778	Haitong Int'l Sec - Sunny Optical R Bear CBBC Jul 2020 A	50,000,000	2019/12/16	2020/07/24	12.50
64767	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 F	60,000,000	2019/12/16	2020/06/19	15.00
64766	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 L	80,000,000	2019/12/16	2022/02/25	20.00
64747	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 X	80,000,000	2019/12/16	2020/04/28	20.00
64812	SG Issuer - AIA R Bull CBBC Jul 2020 A	60,000,000	2019/12/16	2020/07/31	15.00
64828	SG Issuer - CC Bank R Bear CBBC Jul 2020 A	100,000,000	2019/12/16	2020/07/30	25.00
64813	SG Issuer - HSBC R Bear CBBC Apr 2020 C	100,000,000	2019/12/16	2020/04/20	25.00
64849	SG Issuer - HSI R Bull CBBC Aug 2021 D	250,000,000	2019/12/16	2021/08/30	62.50
64848	SG Issuer - HSI R Bull CBBC Aug 2021 Q	250,000,000	2019/12/16	2021/08/30	62.50
64836	SG Issuer - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/12/16	2021/10/28	62.50
64850	SG Issuer - HSI R Bull CBBC Oct 2021 Z	250,000,000	2019/12/16	2021/10/28	62.50
64839	SG Issuer - HSI R Bear CBBC Mar 2020 N	250,000,000	2019/12/16	2020/03/30	62.50
64845	SG Issuer - HSI R Bear CBBC Apr 2020 Z	250,000,000	2019/12/16	2020/04/28	62.50
64822	SG Issuer - HSI R Bear CBBC May 2020 O	250,000,000	2019/12/16	2020/05/28	62.50
64835	SG Issuer - HSI R Bear CBBC May 2020 T	250,000,000	2019/12/16	2020/05/28	62.50
64819	SG Issuer - Tencent R Bear CBBC Jul 2020 A	80,000,000	2019/12/16	2020/07/31	37.60
64855	SG Issuer - Tencent R Bear CBBC Jul 2020 B	80,000,000	2019/12/16	2020/07/28	20.00
64834	SG Issuer - Tencent R Bear CBBC Aug 2020 A	80,000,000	2019/12/16	2020/08/28	47.20
64751	UBS AG - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/12/16	2022/01/28	50.00
64756	UBS AG - HSI R Bear CBBC Apr 2020 M	200,000,000	2019/12/16	2020/04/28	50.00
64757	UBS AG - HSI R Bear CBBC Apr 2020 N	200,000,000	2019/12/16	2020/04/28	50.00
64738	Bank Vontobel - HSI R Bull CBBC Jun 2021 Q	80,000,000	2019/12/16	2021/06/29	20.00
64743	Bank Vontobel - HSI R Bull CBBC Jun 2021 R	80,000,000	2019/12/16	2021/06/29	20.00
64735	Bank Vontobel - HSI R Bear CBBC May 2020 U	80,000,000	2019/12/16	2020/05/28	20.00
64736	Bank Vontobel - HSI R Bear CBBC May 2020 V	80,000,000	2019/12/16	2020/05/28	20.00
64737	Bank Vontobel - HSI R Bear CBBC May 2020 W	80,000,000	2019/12/16	2020/05/28	20.00
64879	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 Y	150,000,000	2019/12/17	2021/12/30	37.50
64882	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 G	150,000,000	2019/12/17	2020/04/28	37.50
64937	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 I	240,000,000	2019/12/17	2021/10/28	60.00
64945	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 Y	240,000,000	2019/12/17	2020/05/28	60.00
64952	Credit Suisse AG - AIA R Bear CBBC Jul 2020 A	70,000,000	2019/12/17	2020/07/30	17.50
64948	Credit Suisse AG - CM Bank R Bull CBBC Sep 2020 A	70,000,000	2019/12/17	2020/09/29	17.50
64946	Credit Suisse AG - HSI R Bull CBBC Mar 2022 B	300,000,000	2019/12/17	2022/03/30	75.00
64954	Credit Suisse AG - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/12/17	2020/03/30	50.00
64959	Credit Suisse AG - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/12/17	2020/03/30	50.00
64947	Credit Suisse AG - Ping An R Bull CBBC Sep 2020 A	80,000,000	2019/12/17	2020/09/29	20.00
64889	Bank of East Asia - AIA R Bear CBBC Jul 2020 A	60,000,000	2019/12/17	2020/07/23	15.00
64888	Bank of East Asia - CSPC Pharma R Bull CBBC Jul 2020 A	40,000,000	2019/12/17	2020/07/23	10.00
64883	Bank of East Asia - Geely Auto R Bull CBBC Aug 2020 A	40,000,000	2019/12/17	2020/08/20	10.00
64885	Bank of East Asia - Geely Auto R Bear CBBC Aug 2020 A	40,000,000	2019/12/17	2020/08/20	10.00
64893	Bank of East Asia - Ping An R Bear CBBC Jun 2020 A	80,000,000	2019/12/17	2020/06/24	20.00
64886	Bank of East Asia - Tencent R Bull CBBC Aug 2020 C	30,000,000	2019/12/17	2020/08/20	10.50
64891	Bank of East Asia - Xiaomi R Bull CBBC Aug 2020 A	40,000,000	2019/12/17	2020/08/20	10.00
65029	Goldman Sachs SP (Asia) - China Mobile R Bull CBBC Jun2020 A	60,000,000	2019/12/17	2020/06/30	15.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65022	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Sep 2020 A	80,000,000	2019/12/17	2020/09/30	20.00
65017	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Dec 2020 A	80,000,000	2019/12/17	2020/12/30	20.00
65025	Goldman Sachs SP (Asia) - HSBC R Bull CBBC Jun 2020 B	60,000,000	2019/12/17	2020/06/30	15.00
65010	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 A	160,000,000	2019/12/17	2022/11/29	40.00
65030	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 L	160,000,000	2019/12/17	2022/11/29	40.00
65032	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 R	160,000,000	2019/12/17	2020/03/30	40.00
65016	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jul 2020 C	80,000,000	2019/12/17	2020/07/30	20.00
65013	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 E	80,000,000	2019/12/17	2020/06/30	20.00
65014	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 F	80,000,000	2019/12/17	2020/06/30	20.00
64872	HK Bank - HSI R Bull CBBC Sep 2021 C	80,000,000	2019/12/17	2021/09/29	20.00
64873	HK Bank - HSI R Bear CBBC Jul 2020 S	60,000,000	2019/12/17	2020/07/30	15.00
64965	Haitong Int'l Sec - CC Bank R Bear CBBC May 2020 A	50,000,000	2019/12/17	2020/05/25	12.50
64960	Haitong Int'l Sec - CSPC Pharma R Bull CBBC Dec 2021 A	50,000,000	2019/12/17	2021/12/31	12.50
64926	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 A	150,000,000	2019/12/17	2022/01/28	37.50
64922	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 H	150,000,000	2019/12/17	2022/02/25	37.50
64928	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 I	150,000,000	2019/12/17	2022/02/25	37.50
64936	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 P	150,000,000	2019/12/17	2020/04/28	37.50
64932	Haitong Int'l Sec - HSI R Bear CBBC May 2020 J	150,000,000	2019/12/17	2020/05/28	37.50
64963	Haitong Int'l Sec - Sino Biopharm R Bull CBBC Jul 2020 A	50,000,000	2019/12/17	2020/07/27	12.50
64921	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 X	200,000,000	2019/12/17	2021/10/28	50.00
64914	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 B	200,000,000	2019/12/17	2020/04/28	50.00
64919	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 E	200,000,000	2019/12/17	2020/04/28	50.00
64974	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 P	80,000,000	2019/12/17	2022/01/28	20.00
64972	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 Y	80,000,000	2019/12/17	2020/04/28	20.00
64996	SG Issuer - CM Bank R Bull CBBC Jul 2020 B	100,000,000	2019/12/17	2020/07/31	25.00
65003	SG Issuer - HSI R Bull CBBC Sep 2021 A	250,000,000	2019/12/17	2021/09/29	62.50
65006	SG Issuer - HSI R Bull CBBC Oct 2021 D	250,000,000	2019/12/17	2021/10/28	62.50
65005	SG Issuer - HSI R Bull CBBC Oct 2021 V	250,000,000	2019/12/17	2021/10/28	62.50
64991	SG Issuer - HSI R Bull CBBC Nov 2021 R	250,000,000	2019/12/17	2021/11/29	62.50
64995	SG Issuer - HSI R Bull CBBC Nov 2021 X	250,000,000	2019/12/17	2021/11/29	62.50
65000	SG Issuer - HSI R Bear CBBC Apr 2020 H	250,000,000	2019/12/17	2020/04/28	62.50
64998	SG Issuer - HSI R Bear CBBC Apr 2020 O	250,000,000	2019/12/17	2020/04/28	62.50
64979	SG Issuer - Meituan Dianping R Bull CBBC Jul 2020 A	100,000,000	2019/12/17	2020/07/30	25.00
64982	SG Issuer - Ping An R Bull CBBC Jul 2020 D	100,000,000	2019/12/17	2020/07/30	25.00
64984	SG Issuer - SUNAC R Bear CBBC Jul 2020 A	80,000,000	2019/12/17	2020/07/30	20.00
65007	SG Issuer - Tencent R Bull CBBC Jun 2020 A	80,000,000	2019/12/17	2020/06/30	20.00
64987	SG Issuer - Xiaomi R Bull CBBC Jul 2020 B	60,000,000	2019/12/17	2020/07/30	15.00
64894	UBS AG - HSI R Bull CBBC Jan 2022 M	200,000,000	2019/12/17	2022/01/28	50.00
64896	UBS AG - HSI R Bull CBBC Jan 2022 Z	200,000,000	2019/12/17	2022/01/28	50.00
64898	UBS AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/12/17	2020/03/30	50.00
64905	UBS AG - HSI R Bear CBBC Apr 2020 O	200,000,000	2019/12/17	2020/04/28	50.00
64913	Bank Vontobel - CSPC Pharma R Bull CBBC Dec 2020 A	40,000,000	2019/12/17	2020/12/18	19.20
64874	Bank Vontobel - HKEX R Bull CBBC Jul 2020 D	40,000,000	2019/12/17	2020/07/17	10.00
64877	Bank Vontobel - HKEX R Bear CBBC Jul 2020 B	40,000,000	2019/12/17	2020/07/03	10.00
64911	Bank Vontobel - Ping An R Bull CBBC Nov 2020 A	40,000,000	2019/12/17	2020/11/20	10.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
64912	Bank Vontobel - Ping An R Bull CBBC Dec 2020 A	40,000,000	2019/12/17	2020/12/11	10.00
65191	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 C	150,000,000	2019/12/18	2021/12/30	37.50
65192	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 D	150,000,000	2019/12/18	2021/12/30	37.50
65115	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 H	150,000,000	2019/12/18	2020/04/28	37.50
65112	BOCI Asia Ltd. - Xiaomi R Bull CBBC Jul 2020 C	40,000,000	2019/12/18	2020/07/31	10.00
65113	BOCI Asia Ltd. - Xiaomi R Bear CBBC Mar 2020 B	40,000,000	2019/12/18	2020/03/31	10.00
65090	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec20 E	60,000,000	2019/12/18	2020/12/30	15.00
65091	BNP Paribas Issuance B.V. - CSOP A50 ETF R Bull CBBC Dec20 F	60,000,000	2019/12/18	2020/12/30	15.00
65154	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 T	250,000,000	2019/12/18	2021/10/28	62.50
65157	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 W	250,000,000	2019/12/18	2021/10/28	62.50
65163	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 A	240,000,000	2019/12/18	2020/05/28	60.00
65073	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 I	50,000,000	2019/12/18	2020/11/27	12.50
65078	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 G	50,000,000	2019/12/18	2020/11/27	12.50
65084	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 H	50,000,000	2019/12/18	2020/11/27	15.00
65077	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 N	50,000,000	2019/12/18	2020/12/30	12.50
65080	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Dec 2020 O	50,000,000	2019/12/18	2020/12/30	12.50
65190	Credit Suisse AG - HSI R Bull CBBC Dec 2021 W	200,000,000	2019/12/18	2021/12/30	50.00
65185	Credit Suisse AG - HSI R Bull CBBC Dec 2021 X	200,000,000	2019/12/18	2021/12/30	50.00
65187	Credit Suisse AG - HSI R Bull CBBC Dec 2021 Z	200,000,000	2019/12/18	2021/12/30	50.00
65169	Credit Suisse AG - HSI R Bear CBBC Mar 2020 Z	200,000,000	2019/12/18	2020/03/30	50.00
65166	Credit Suisse AG - HSI R Bear CBBC Jul 2020 D	300,000,000	2019/12/18	2020/07/30	75.00
65178	Credit Suisse AG - SUNAC R Bull CBBC Oct 2020 A	60,000,000	2019/12/18	2020/10/29	15.00
65170	Credit Suisse AG - Xiaomi R Bear CBBC Sep 2020 A	40,000,000	2019/12/18	2020/09/29	10.00
65171	Credit Suisse AG - Xiaomi R Bear CBBC Dec 2020 A	40,000,000	2019/12/18	2020/12/30	10.00
65092	Bank of East Asia - Meituan Dianping R Bull CBBC Jul 2020 E	50,000,000	2019/12/18	2020/07/24	12.50
65093	Bank of East Asia - Meituan Dianping R Bear CBBC Jul 2020 E	50,000,000	2019/12/18	2020/07/24	12.50
65098	Bank of East Asia - SUNAC R Bull CBBC Jul 2020 A	50,000,000	2019/12/18	2020/07/24	12.50
65106	Bank of East Asia - Tencent R Bull CBBC Aug 2020 D	30,000,000	2019/12/18	2020/08/20	10.50
65110	Bank of East Asia - Tencent R Bear CBBC Aug 2020 C	30,000,000	2019/12/18	2020/08/20	10.50
65363	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 N	160,000,000	2019/12/18	2022/11/29	40.00
65365	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 O	160,000,000	2019/12/18	2022/11/29	40.00
65345	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 H	160,000,000	2019/12/18	2020/03/30	40.00
65352	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Q	160,000,000	2019/12/18	2020/03/30	40.00
65344	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 S	160,000,000	2019/12/18	2020/03/30	40.00
65366	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 G	60,000,000	2019/12/18	2020/06/30	15.00
65367	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Apr 2020 C	60,000,000	2019/12/18	2020/04/29	15.00
65054	HK Bank - HKEEX R Bear CBBC Jun 2020 B	40,000,000	2019/12/18	2020/06/30	10.00
65060	HK Bank - Meituan Dianping R Bull CBBC May 2020 F	40,000,000	2019/12/18	2020/05/29	10.00
65067	HK Bank - Xiaomi R Bull CBBC May 2020 B	60,000,000	2019/12/18	2020/05/29	15.00
65062	HK Bank - Xiaomi R Bear CBBC May 2020 A	40,000,000	2019/12/18	2020/05/29	10.00
65237	Haitong Int'l Sec - China Mobile R Bull CBBC Jul 2020 A	70,000,000	2019/12/18	2020/07/20	17.50
65231	Haitong Int'l Sec - CC Bank R Bull CBBC May 2020 A	70,000,000	2019/12/18	2020/05/14	17.50
65217	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 C	150,000,000	2019/12/18	2022/01/28	37.50
65212	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 J	150,000,000	2019/12/18	2022/02/25	37.50
65208	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 V	150,000,000	2019/12/18	2020/04/28	37.50



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65221	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 X	150,000,000	2019/12/18	2020/04/28	37.50
65205	Haitong Int'l Sec - HSI R Bear CBBC May 2020 K	150,000,000	2019/12/18	2020/05/28	37.50
65226	Haitong Int'l Sec - HSI R Bear CBBC May 2020 L	150,000,000	2019/12/18	2020/05/28	37.50
65244	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Jun 2020 B	40,000,000	2019/12/18	2020/06/09	10.00
65241	Haitong Int'l Sec - Ping An R Bull CBBC Jun 2020 A	50,000,000	2019/12/18	2020/06/15	12.50
65240	Haitong Int'l Sec - Xiaomi R Bull CBBC Jun 2020 A	50,000,000	2019/12/18	2020/06/29	12.50
65242	Haitong Int'l Sec - Xiaomi R Bear CBBC Jul 2020 A	50,000,000	2019/12/18	2020/07/17	12.50
65340	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/12/18	2021/10/28	50.00
65342	J P Morgan SP BV - HSI R Bull CBBC Oct 2021 V	200,000,000	2019/12/18	2021/10/28	50.00
65343	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 G	200,000,000	2019/12/18	2021/12/30	50.00
65338	J P Morgan SP BV - HSI R Bear CBBC May 2020 V	200,000,000	2019/12/18	2020/05/28	50.00
65259	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 Q	80,000,000	2019/12/18	2022/01/28	20.00
65245	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 I	80,000,000	2019/12/18	2020/05/28	20.00
65258	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 J	80,000,000	2019/12/18	2020/05/28	20.00
65265	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 K	80,000,000	2019/12/18	2020/05/28	20.00
65249	Morgan Stanley Asia Products - Meituan R Bull CBBC May2020 C	40,000,000	2019/12/18	2020/05/04	10.00
65246	Morgan Stanley Asia Products - Meituan R Bear CBBC Jun2020 B	40,000,000	2019/12/18	2020/06/15	10.00
65253	Morgan Stanley Asia Products - Xiaomi R Bull CBBC May 2020 A	40,000,000	2019/12/18	2020/05/08	10.00
65257	Morgan Stanley Asia Products - Xiaomi R Bear CBBC May 2020 A	40,000,000	2019/12/18	2020/05/08	10.00
65254	Morgan Stanley Asia Products - Xiaomi R Bear CBBC Jun 2020 A	40,000,000	2019/12/18	2020/06/18	10.00
65268	SG Issuer - Geely Auto R Bull CBBC Jul 2020 K	40,000,000	2019/12/18	2020/07/27	10.00
65309	SG Issuer - HSI R Bull CBBC Aug 2021 E	250,000,000	2019/12/18	2021/08/30	62.50
65312	SG Issuer - HSI R Bull CBBC Sep 2021 K	250,000,000	2019/12/18	2021/09/29	62.50
65316	SG Issuer - HSI R Bull CBBC Sep 2021 M	250,000,000	2019/12/18	2021/09/29	62.50
65317	SG Issuer - HSI R Bull CBBC Sep 2021 Y	250,000,000	2019/12/18	2021/09/29	62.50
65323	SG Issuer - HSI R Bull CBBC Dec 2021 E	250,000,000	2019/12/18	2021/12/30	62.50
65287	SG Issuer - HSI R Bear CBBC Mar 2020 U	250,000,000	2019/12/18	2020/03/30	62.50
65290	SG Issuer - HSI R Bear CBBC Mar 2020 V	250,000,000	2019/12/18	2020/03/30	62.50
65302	SG Issuer - HSI R Bear CBBC Apr 2020 Y	250,000,000	2019/12/18	2020/04/28	62.50
65306	SG Issuer - HSI R Bear CBBC Jul 2020 D	250,000,000	2019/12/18	2020/07/30	62.50
65273	SG Issuer - Meituan Dianping R Bull CBBC Jul 2020 B	100,000,000	2019/12/18	2020/07/27	25.00
65277	SG Issuer - SUNAC R Bull CBBC Jul 2020 E	80,000,000	2019/12/18	2020/07/27	20.00
65327	SG Issuer - Sunny Optical R Bull CBBC Jun 2020 B	40,000,000	2019/12/18	2020/06/30	10.00
65336	SG Issuer - Tencent R Bull CBBC May 2020 E	80,000,000	2019/12/18	2020/05/29	20.00
65294	SG Issuer - Xiaomi R Bull CBBC Jun 2020 C	60,000,000	2019/12/18	2020/06/30	15.00
65298	SG Issuer - Xiaomi R Bear CBBC Jun 2020 A	60,000,000	2019/12/18	2020/06/30	15.00
65278	SG Issuer - Xiaomi R Bear CBBC Jul 2020 A	60,000,000	2019/12/18	2020/07/30	15.00
65138	UBS AG - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/12/18	2022/01/28	50.00
65132	UBS AG - HSI R Bear CBBC Apr 2020 P	200,000,000	2019/12/18	2020/04/28	50.00
65133	UBS AG - HSI R Bear CBBC Apr 2020 Q	200,000,000	2019/12/18	2020/04/28	50.00
65135	UBS AG - HSI R Bear CBBC Apr 2020 R	200,000,000	2019/12/18	2020/04/28	50.00
65151	UBS AG - Ping An R Bear CBBC Apr 2020 A	100,000,000	2019/12/18	2020/04/20	25.00
65150	UBS AG - Tencent R Bull CBBC Jun 2020 C	40,000,000	2019/12/18	2020/06/09	10.00
65146	UBS AG - Tencent R Bear CBBC Jun 2020 B	200,000,000	2019/12/18	2020/06/09	50.00
65141	UBS AG - Xiaomi R Bull CBBC Jun 2020 B	100,000,000	2019/12/18	2020/06/30	25.00

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65035	Bank Vontobel - AIA R Bull CBBC Dec 2020 A	40,000,000	2019/12/18	2020/12/11	10.00
65125	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 N	60,000,000	2019/12/18	2020/11/20	15.00
65049	Bank Vontobel - Meituan Dianping R Bull CBBC Dec 2020 E	60,000,000	2019/12/18	2020/12/11	15.00
65034	Bank Vontobel - Ping An R Bull CBBC Dec 2020 B	40,000,000	2019/12/18	2020/12/04	10.00
65036	Bank Vontobel - Sunny Optical R Bull CBBC Oct 2020 C	40,000,000	2019/12/18	2020/10/23	10.00
65040	Bank Vontobel - Sunny Optical R Bull CBBC Dec 2020 A	40,000,000	2019/12/18	2020/12/04	10.00
65124	Bank Vontobel - Xiaomi R Bull CBBC Nov 2020 B	40,000,000	2019/12/18	2020/11/20	10.00
65118	Bank Vontobel - Xiaomi R Bull CBBC Dec 2020 A	40,000,000	2019/12/18	2020/12/04	10.00
65117	Bank Vontobel - Xiaomi R Bear CBBC Nov 2020 B	40,000,000	2019/12/18	2020/11/20	10.00
65392	BOCI Asia Ltd. - HKEX R Bear CBBC Jun 2020 A	40,000,000	2019/12/19	2020/06/08	10.00
65399	BOCI Asia Ltd. - HKEX R Bear CBBC Jun 2020 B	40,000,000	2019/12/19	2020/06/08	10.00
65437	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 B	150,000,000	2019/12/19	2021/12/30	37.50
65438	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 E	150,000,000	2019/12/19	2021/12/30	37.50
65439	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 F	150,000,000	2019/12/19	2021/12/30	37.50
65375	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 I	150,000,000	2019/12/19	2020/04/28	37.50
65381	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 J	150,000,000	2019/12/19	2020/04/28	37.50
65383	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 K	150,000,000	2019/12/19	2020/04/28	37.50
65442	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 L	150,000,000	2019/12/19	2020/04/28	37.50
65389	BOCI Asia Ltd. - Tencent R Bull CBBC Apr 2020 C	40,000,000	2019/12/19	2020/04/29	10.00
65385	BOCI Asia Ltd. - Tencent R Bear CBBC Apr 2020 B	40,000,000	2019/12/19	2020/04/28	10.00
65387	BOCI Asia Ltd. - Tencent R Bear CBBC Apr 2020 C	40,000,000	2019/12/19	2020/04/28	11.20
65499	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 W	50,000,000	2019/12/19	2020/09/29	12.50
65443	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 A	250,000,000	2019/12/19	2021/10/28	62.50
65473	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 L	240,000,000	2019/12/19	2021/10/28	60.00
65446	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 O	250,000,000	2019/12/19	2021/10/28	62.50
65447	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 R	250,000,000	2019/12/19	2021/10/28	62.50
65469	BNP Paribas Issuance B.V. - HSI R Bull CBBC Oct 2021 Y	250,000,000	2019/12/19	2021/10/28	62.50
65411	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 B	250,000,000	2019/12/19	2020/05/28	62.50
65414	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 C	250,000,000	2019/12/19	2020/05/28	62.50
65415	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 D	250,000,000	2019/12/19	2020/05/28	62.50
65420	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 E	250,000,000	2019/12/19	2020/05/28	62.50
65500	BNP Paribas Issuance B.V. - Ping An R Bull CBBC Sep 2020 H	200,000,000	2019/12/19	2020/09/29	50.00
65491	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 J	50,000,000	2019/12/19	2020/11/27	12.50
65485	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 K	50,000,000	2019/12/19	2020/12/30	12.50
65495	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 L	50,000,000	2019/12/19	2020/12/30	12.50
65611	Credit Suisse AG - AIA R Bull CBBC Jul 2020 C	70,000,000	2019/12/19	2020/07/30	17.50
65604	Credit Suisse AG - CC Bank R Bull CBBC Sep 2020 C	100,000,000	2019/12/19	2020/09/29	25.00
65622	Credit Suisse AG - HSI R Bull CBBC Oct 2021 F	200,000,000	2019/12/19	2021/10/28	50.00
65620	Credit Suisse AG - HSI R Bull CBBC Dec 2021 L	200,000,000	2019/12/19	2021/12/30	50.00
65614	Credit Suisse AG - HSI R Bull CBBC Dec 2021 U	200,000,000	2019/12/19	2021/12/30	50.00
65615	Credit Suisse AG - HSI R Bull CBBC Feb 2022 J	300,000,000	2019/12/19	2022/02/25	75.00
65587	Credit Suisse AG - HSI R Bear CBBC Mar 2020 A	200,000,000	2019/12/19	2020/03/30	50.00
65592	Credit Suisse AG - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/12/19	2020/03/30	50.00
65589	Credit Suisse AG - HSI R Bear CBBC Mar 2020 C	200,000,000	2019/12/19	2020/03/30	50.00
65584	Credit Suisse AG - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/12/19	2020/04/28	50.00

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65597	Credit Suisse AG - HSI R Bear CBBC May 2020 X	200,000,000	2019/12/19	2020/05/28	50.00
65601	Credit Suisse AG - Tencent R Bull CBBC Jul 2020 D	100,000,000	2019/12/19	2020/07/30	25.00
65603	Credit Suisse AG - Tencent R Bull CBBC Sep 2020 C	100,000,000	2019/12/19	2020/09/29	25.00
65612	Credit Suisse AG - Xiaomi R Bull CBBC Sep 2020 A	50,000,000	2019/12/19	2020/09/29	12.50
65650	Goldman Sachs SP (Asia) - HKEX R Bull CBBC Mar 2020 C	60,000,000	2019/12/19	2020/03/30	15.00
65636	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Q	160,000,000	2019/12/19	2022/11/29	40.00
65637	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 V	160,000,000	2019/12/19	2022/11/29	40.00
65639	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 W	160,000,000	2019/12/19	2022/11/29	40.00
65640	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 X	160,000,000	2019/12/19	2022/11/29	40.00
65641	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Y	160,000,000	2019/12/19	2022/11/29	40.00
65632	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 P	160,000,000	2019/12/19	2020/03/30	40.00
65629	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 M	160,000,000	2019/12/19	2020/05/28	40.00
65635	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 N	160,000,000	2019/12/19	2020/05/28	40.00
65648	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jun 2020 D	80,000,000	2019/12/19	2020/06/30	20.00
65644	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 H	60,000,000	2019/12/19	2020/06/30	15.00
65645	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 I	60,000,000	2019/12/19	2020/06/30	15.00
65646	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Apr 2020 D	60,000,000	2019/12/19	2020/04/29	15.00
65423	HK Bank - HKEX R Bull CBBC Jun 2020 M	40,000,000	2019/12/19	2020/06/15	10.00
65432	HK Bank - HSI R Bull CBBC Sep 2021 D	60,000,000	2019/12/19	2021/09/29	15.00
65435	HK Bank - HSI R Bull CBBC Sep 2021 E	80,000,000	2019/12/19	2021/09/29	20.00
65433	HK Bank - HSI R Bull CBBC Dec 2021 A	100,000,000	2019/12/19	2021/12/30	25.00
65402	HK Bank - HSI R Bear CBBC Jul 2020 T	80,000,000	2019/12/19	2020/07/30	20.00
65428	HK Bank - Ping An R Bull CBBC May 2020 B	60,000,000	2019/12/19	2020/05/04	15.00
65425	HK Bank - Tencent R Bull CBBC Jun 2020 J	40,000,000	2019/12/19	2020/06/05	10.00
65528	Haitong Int'l Sec - China Life R Bull CBBC Apr 2020 C	40,000,000	2019/12/19	2020/04/08	10.00
65522	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 F	150,000,000	2019/12/19	2022/01/28	37.50
65525	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 G	150,000,000	2019/12/19	2022/01/28	37.50
65527	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 K	150,000,000	2019/12/19	2022/02/25	37.50
65524	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 Z	150,000,000	2019/12/19	2020/04/28	37.50
65501	Haitong Int'l Sec - Tencent R Bull CBBC Jun 2020 B	40,000,000	2019/12/19	2020/06/24	10.00
65520	Haitong Int'l Sec - Tencent R Bull CBBC Jun 2020 C	40,000,000	2019/12/19	2020/06/24	10.00
65511	Haitong Int'l Sec - Tencent R Bear CBBC Jul 2020 A	40,000,000	2019/12/19	2020/07/09	10.00
65552	J P Morgan SP BV - HSI R Bull CBBC Sep 2021 O	200,000,000	2019/12/19	2021/09/29	50.00
65542	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 Q	200,000,000	2019/12/19	2021/12/30	50.00
65548	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/12/19	2021/12/30	50.00
65551	J P Morgan SP BV - HSI R Bull CBBC Dec 2021 T	200,000,000	2019/12/19	2021/12/30	50.00
65530	J P Morgan SP BV - HSI R Bear CBBC May 2020 W	200,000,000	2019/12/19	2020/05/28	50.00
65532	J P Morgan SP BV - HSI R Bear CBBC May 2020 X	200,000,000	2019/12/19	2020/05/28	50.00
65533	J P Morgan SP BV - HSI R Bear CBBC May 2020 Y	200,000,000	2019/12/19	2020/05/28	50.00
65539	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 Y	50,000,000	2019/12/19	2020/04/09	12.50
65536	J P Morgan SP BV - Tencent R Bull CBBC May 2020 I	250,000,000	2019/12/19	2020/05/15	62.50
65535	J P Morgan SP BV - Tencent R Bull CBBC Jun 2020 C	250,000,000	2019/12/19	2020/06/19	62.50
65529	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 C	200,000,000	2019/12/19	2020/06/19	50.00
65743	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 T	80,000,000	2019/12/19	2021/12/30	20.00
65744	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 M	80,000,000	2019/12/19	2022/02/25	20.00

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65735	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 B	80,000,000	2019/12/19	2020/04/28	20.00
65733	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 Z	80,000,000	2019/12/19	2020/04/28	20.00
65697	SG Issuer - AIA R Bull CBBC May 2020 B	60,000,000	2019/12/19	2020/05/29	15.00
65674	SG Issuer - CSPC Pharma R Bull CBBC Sep 2020 A	150,000,000	2019/12/19	2020/09/29	37.50
65702	SG Issuer - HKEX R Bull CBBC May 2020 I	50,000,000	2019/12/19	2020/05/29	12.50
65675	SG Issuer - HKEX R Bear CBBC Jun 2020 B	50,000,000	2019/12/19	2020/06/29	18.75
65727	SG Issuer - HSI R Bull CBBC Jul 2021 D	250,000,000	2019/12/19	2021/07/29	62.50
65687	SG Issuer - HSI R Bull CBBC Aug 2021 F	250,000,000	2019/12/19	2021/08/30	62.50
65731	SG Issuer - HSI R Bull CBBC Aug 2021 H	250,000,000	2019/12/19	2021/08/30	62.50
65696	SG Issuer - HSI R Bull CBBC Sep 2021 H	250,000,000	2019/12/19	2021/09/29	62.50
65656	SG Issuer - HSI R Bear CBBC Mar 2020 A	250,000,000	2019/12/19	2020/03/30	62.50
65684	SG Issuer - HSI R Bear CBBC Mar 2020 H	250,000,000	2019/12/19	2020/03/30	62.50
65662	SG Issuer - HSI R Bear CBBC Apr 2020 A	250,000,000	2019/12/19	2020/04/28	62.50
65661	SG Issuer - HSI R Bear CBBC Apr 2020 F	250,000,000	2019/12/19	2020/04/28	62.50
65670	SG Issuer - HSI R Bear CBBC May 2020 W	250,000,000	2019/12/19	2020/05/28	62.50
65726	SG Issuer - HSI R Bear CBBC Jul 2020 E	250,000,000	2019/12/19	2020/07/30	62.50
65677	SG Issuer - Meituan Dianping R Bull CBBC May 2020 J	100,000,000	2019/12/19	2020/05/29	25.00
65708	SG Issuer - Ping An R Bull CBBC May 2020 E	100,000,000	2019/12/19	2020/05/29	25.00
65709	SG Issuer - Tencent R Bull CBBC Apr 2020 J	80,000,000	2019/12/19	2020/04/27	20.00
65713	SG Issuer - Tencent R Bull CBBC Jun 2020 B	80,000,000	2019/12/19	2020/06/30	20.00
65681	SG Issuer - Tencent R Bear CBBC Apr 2020 K	80,000,000	2019/12/19	2020/04/29	20.00
65710	SG Issuer - Tencent R Bear CBBC Jun 2020 B	80,000,000	2019/12/19	2020/06/29	20.00
65723	SG Issuer - Tencent R Bear CBBC Nov 2020 A	80,000,000	2019/12/19	2020/11/30	32.00
65682	SG Issuer - Xiaomi R Bull CBBC Jun 2020 D	60,000,000	2019/12/19	2020/06/30	15.00
65467	UBS AG - HKEX R Bull CBBC Jul 2020 C	50,000,000	2019/12/19	2020/07/20	12.50
65464	UBS AG - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/12/19	2022/01/28	50.00
65468	UBS AG - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/12/19	2022/01/28	50.00
65455	UBS AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/12/19	2020/03/30	50.00
65451	UBS AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/12/19	2020/03/30	50.00
65452	UBS AG - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/12/19	2020/03/30	50.00
65457	UBS AG - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/12/19	2020/03/30	50.00
65466	UBS AG - Tencent R Bull CBBC Apr 2020 L	40,000,000	2019/12/19	2020/04/24	10.00
65454	UBS AG - Tencent R Bear CBBC Jun 2020 C	200,000,000	2019/12/19	2020/06/08	50.00
65567	Bank Vontobel - CSOP A50 ETF R Bull CBBC Nov 2020 B	40,000,000	2019/12/19	2020/11/20	10.00
65576	Bank Vontobel - HSI R Bull CBBC May 2021 B	80,000,000	2019/12/19	2021/05/28	20.00
65572	Bank Vontobel - HSI R Bull CBBC May 2021 E	80,000,000	2019/12/19	2021/05/28	20.00
65570	Bank Vontobel - HSI R Bull CBBC Jun 2021 S	80,000,000	2019/12/19	2021/06/29	20.00
65578	Bank Vontobel - HSI R Bull CBBC Jun 2021 T	80,000,000	2019/12/19	2021/06/29	20.00
65569	Bank Vontobel - Meituan Dianping R Bull CBBC Oct 2020 B	60,000,000	2019/12/19	2020/10/16	15.00
65556	Bank Vontobel - Ping An R Bear CBBC Nov 2020 A	40,000,000	2019/12/19	2020/11/06	10.00
65565	Bank Vontobel - Sunny Optical R Bull CBBC Nov 2020 B	40,000,000	2019/12/19	2020/11/20	10.00
65562	Bank Vontobel - Sunny Optical R Bear CBBC Nov 2020 B	30,000,000	2019/12/19	2020/11/20	12.00
65553	Bank Vontobel - Tencent R Bull CBBC Nov 2020 B	40,000,000	2019/12/19	2020/11/06	10.00
65568	Bank Vontobel - Tencent R Bear CBBC Nov 2020 C	40,000,000	2019/12/19	2020/11/06	10.00
65979	BOCI Asia Ltd. - CM Bank R Bull CBBC Sep 2020 A	60,000,000	2019/12/20	2020/09/30	15.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
65973	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 A	150,000,000	2019/12/20	2021/12/30	37.50
65965	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 G	150,000,000	2019/12/20	2021/12/30	37.50
65970	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 H	150,000,000	2019/12/20	2021/12/30	37.50
65790	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 M	150,000,000	2019/12/20	2020/04/28	37.50
65794	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 N	150,000,000	2019/12/20	2020/04/28	37.50
65797	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 O	150,000,000	2019/12/20	2020/04/28	37.50
65975	BOCI Asia Ltd. - Ping An R Bull CBBC Apr 2020 D	70,000,000	2019/12/20	2020/04/29	17.50
65977	BOCI Asia Ltd. - Ping An R Bull CBBC Apr 2020 E	70,000,000	2019/12/20	2020/04/29	17.50
65981	BOCI Asia Ltd. - Tencent R Bear CBBC Apr 2020 D	150,000,000	2019/12/20	2020/04/29	58.50
65974	BOCI Asia Ltd. - Xiaomi R Bull CBBC Jul 2020 D	40,000,000	2019/12/20	2020/07/29	10.00
65994	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 C	250,000,000	2019/12/20	2021/11/29	62.50
65988	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 G	250,000,000	2019/12/20	2021/11/29	62.50
65995	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 O	250,000,000	2019/12/20	2021/11/29	62.50
65986	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 P	250,000,000	2019/12/20	2021/11/29	62.50
65993	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 Q	250,000,000	2019/12/20	2021/11/29	62.50
65987	BNP Paribas Issuance B.V. - HSI R Bull CBBC Nov 2021 U	250,000,000	2019/12/20	2021/11/29	62.50
66205	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 K	240,000,000	2019/12/20	2021/12/30	60.00
66198	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 T	250,000,000	2019/12/20	2021/12/30	62.50
65747	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 F	250,000,000	2019/12/20	2020/05/28	62.50
65761	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 G	250,000,000	2019/12/20	2020/05/28	62.50
65765	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 H	250,000,000	2019/12/20	2020/05/28	62.50
65996	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 M	250,000,000	2019/12/20	2020/05/28	62.50
66213	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 N	240,000,000	2019/12/20	2020/05/28	60.00
65754	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 O	250,000,000	2019/12/20	2020/05/28	62.50
65757	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 Z	250,000,000	2019/12/20	2020/05/28	62.50
65859	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 I	50,000,000	2019/12/20	2020/11/27	12.50
65869	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 J	50,000,000	2019/12/20	2020/11/27	12.50
65890	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 K	50,000,000	2019/12/20	2020/11/27	12.50
65897	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 L	50,000,000	2019/12/20	2020/11/27	12.50
65899	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 M	50,000,000	2019/12/20	2020/11/27	14.00
65903	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 N	50,000,000	2019/12/20	2020/11/27	16.00
65909	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 O	50,000,000	2019/12/20	2020/11/27	18.00
65916	BNP Paribas Issuance B.V. - Tencent R Bear CBBC Nov 2020 P	50,000,000	2019/12/20	2020/11/27	20.00
66233	Credit Suisse AG - AIA R Bull CBBC Oct 2020 A	70,000,000	2019/12/20	2020/10/29	17.50
66221	Credit Suisse AG - Bank of China R Bull CBBC Nov 2020 A	100,000,000	2019/12/20	2020/11/27	25.00
66300	Credit Suisse AG - CSOP A50 ETF R Bull CBBC Sep 2020 A	50,000,000	2019/12/20	2020/09/29	12.50
66304	Credit Suisse AG - Galaxy Ent R Bull CBBC Oct 2020 A	50,000,000	2019/12/20	2020/10/29	12.50
66215	Credit Suisse AG - Galaxy Ent R Bear CBBC Jul 2020 A	50,000,000	2019/12/20	2020/07/30	12.50
66223	Credit Suisse AG - HKEX R Bull CBBC Sep 2020 B	100,000,000	2019/12/20	2020/09/29	25.00
66225	Credit Suisse AG - HKEX R Bear CBBC Sep 2020 A	100,000,000	2019/12/20	2020/09/29	25.00
66303	Credit Suisse AG - HSBC R Bull CBBC Sep 2020 A	70,000,000	2019/12/20	2020/09/29	17.50
66249	Credit Suisse AG - HSI R Bull CBBC Oct 2021 I	200,000,000	2019/12/20	2021/10/28	50.00
66253	Credit Suisse AG - HSI R Bull CBBC Oct 2021 T	200,000,000	2019/12/20	2021/10/28	50.00
66252	Credit Suisse AG - HSI R Bull CBBC Nov 2021 B	200,000,000	2019/12/20	2021/11/29	50.00
66241	Credit Suisse AG - HSI R Bull CBBC Dec 2021 D	200,000,000	2019/12/20	2021/12/30	50.00



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66248	Credit Suisse AG - HSI R Bull CBBC Dec 2021 G	200,000,000	2019/12/20	2021/12/30	50.00
66250	Credit Suisse AG - HSI R Bull CBBC Dec 2021 Y	200,000,000	2019/12/20	2021/12/30	50.00
66245	Credit Suisse AG - HSI R Bull CBBC Mar 2022 C	300,000,000	2019/12/20	2022/03/30	75.00
66254	Credit Suisse AG - HSI R Bear CBBC Mar 2020 D	200,000,000	2019/12/20	2020/03/30	50.00
66260	Credit Suisse AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/12/20	2020/03/30	50.00
66262	Credit Suisse AG - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/12/20	2020/03/30	50.00
66264	Credit Suisse AG - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/12/20	2020/03/30	50.00
66269	Credit Suisse AG - HSI R Bear CBBC Apr 2020 G	200,000,000	2019/12/20	2020/04/28	50.00
66259	Credit Suisse AG - HSI R Bear CBBC Apr 2020 W	200,000,000	2019/12/20	2020/04/28	50.00
66273	Credit Suisse AG - HSI R Bear CBBC May 2020 Y	200,000,000	2019/12/20	2020/05/28	50.00
66286	Credit Suisse AG - HSI R Bear CBBC Jun 2020 K	200,000,000	2019/12/20	2020/06/29	50.00
66288	Credit Suisse AG - HSI R Bear CBBC Jun 2020 L	200,000,000	2019/12/20	2020/06/29	50.00
66216	Credit Suisse AG - ICBC R Bull CBBC Nov 2020 A	100,000,000	2019/12/20	2020/11/27	25.00
66236	Credit Suisse AG - Ping An R Bull CBBC Sep 2020 B	80,000,000	2019/12/20	2020/09/29	20.00
66226	Credit Suisse AG - SHK Ppt R Bull CBBC Sep 2020 A	40,000,000	2019/12/20	2020/09/29	10.00
66229	Credit Suisse AG - Tencent R Bull CBBC Oct 2020 A	100,000,000	2019/12/20	2020/10/29	25.00
66230	Credit Suisse AG - Tencent R Bear CBBC Sep 2020 A	100,000,000	2019/12/20	2020/09/29	25.00
66231	Credit Suisse AG - Tencent R Bear CBBC Dec 2020 A	100,000,000	2019/12/20	2020/12/30	25.00
66217	Credit Suisse AG - Xiaomi R Bull CBBC Oct 2020 A	50,000,000	2019/12/20	2020/10/29	12.50
66219	Credit Suisse AG - Xiaomi R Bear CBBC Oct 2020 A	40,000,000	2019/12/20	2020/10/29	10.00
65959	Bank of East Asia - CM Bank R Bull CBBC Jul 2020 A	80,000,000	2019/12/20	2020/07/27	20.00
65943	Bank of East Asia - Galaxy Ent R Bull CBBC Aug 2020 A	100,000,000	2019/12/20	2020/08/27	25.00
65944	Bank of East Asia - Tencent R Bull CBBC Aug 2020 E	30,000,000	2019/12/20	2020/08/27	10.50
65945	Bank of East Asia - Tencent R Bull CBBC Aug 2020 F	30,000,000	2019/12/20	2020/08/27	10.50
65946	Bank of East Asia - Tencent R Bear CBBC Aug 2020 D	30,000,000	2019/12/20	2020/08/27	10.50
65956	Bank of East Asia - Xiaomi R Bull CBBC Jul 2020 A	40,000,000	2019/12/20	2020/07/27	10.00
65957	Bank of East Asia - Xiaomi R Bear CBBC Jul 2020 B	40,000,000	2019/12/20	2020/07/27	10.00
66472	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 B	160,000,000	2019/12/20	2022/11/29	40.00
66466	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 E	160,000,000	2019/12/20	2022/11/29	40.00
66477	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 F	160,000,000	2019/12/20	2022/11/29	40.00
66485	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 K	160,000,000	2019/12/20	2022/11/29	40.00
66488	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 M	160,000,000	2019/12/20	2022/11/29	40.00
66471	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 Z	160,000,000	2019/12/20	2022/11/29	40.00
66456	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 W	160,000,000	2019/12/20	2020/03/30	40.00
66455	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 O	160,000,000	2019/12/20	2020/05/28	40.00
66457	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 P	160,000,000	2019/12/20	2020/05/28	40.00
66458	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 Q	160,000,000	2019/12/20	2020/05/28	40.00
66459	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 R	160,000,000	2019/12/20	2020/05/28	40.00
66462	Goldman Sachs SP (Asia) - HSI R Bear CBBC May 2020 S	160,000,000	2019/12/20	2020/05/28	40.00
66500	Goldman Sachs SP (Asia) - Ping An R Bull CBBC Jun 2020 E	80,000,000	2019/12/20	2020/06/30	20.00
66489	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 J	60,000,000	2019/12/20	2020/06/30	15.00
66492	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 K	60,000,000	2019/12/20	2020/06/30	15.00
66495	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Apr 2020 E	60,000,000	2019/12/20	2020/04/29	15.00
66501	Goldman Sachs SP (Asia) - Xiaomi R Bull CBBC Mar 2020 B	60,000,000	2019/12/20	2020/03/30	15.00
65842	HK Bank - AIA R Bull CBBC Jun 2020 C	60,000,000	2019/12/20	2020/06/30	15.00



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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
65813	HK Bank - Galaxy Ent R Bull CBBC Jun 2020 D	60,000,000	2019/12/20	2020/06/30	15.00
65804	HK Bank - Galaxy Ent R Bear CBBC Jul 2020 A	40,000,000	2019/12/20	2020/07/31	10.00
65802	HK Bank - HSI R Bull CBBC Sep 2021 F	80,000,000	2019/12/20	2021/09/29	20.00
65839	HK Bank - HSI R Bull CBBC Sep 2021 H	100,000,000	2019/12/20	2021/09/29	25.00
65805	HK Bank - HSI R Bull CBBC Dec 2021 B	60,000,000	2019/12/20	2021/12/30	15.00
65781	HK Bank - HSI R Bear CBBC Apr 2020 V	60,000,000	2019/12/20	2020/04/28	15.00
65779	HK Bank - HSI R Bear CBBC Jul 2020 U	80,000,000	2019/12/20	2020/07/30	20.00
65820	HK Bank - Tencent R Bull CBBC Jun 2020 K	40,000,000	2019/12/20	2020/06/22	10.00
65774	HK Bank - Tencent R Bear CBBC Jun 2020 F	40,000,000	2019/12/20	2020/06/15	10.00
65799	HK Bank - Tencent R Bear CBBC Jul 2020 A	100,000,000	2019/12/20	2020/07/27	25.00
65800	HK Bank - Tencent R Bear CBBC Jul 2020 B	100,000,000	2019/12/20	2020/07/13	25.00
65822	HK Bank - Xiaomi R Bull CBBC Jun 2020 B	60,000,000	2019/12/20	2020/06/15	15.00
66024	Haitong Int'l Sec - AIA R Bull CBBC Sep 2020 A	50,000,000	2019/12/20	2020/09/17	12.50
66015	Haitong Int'l Sec - Galaxy Ent R Bull CBBC Sep 2020 A	50,000,000	2019/12/20	2020/09/15	12.50
66016	Haitong Int'l Sec - HKEX R Bull CBBC Sep 2020 A	40,000,000	2019/12/20	2020/09/14	10.00
66036	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 J	150,000,000	2019/12/20	2022/01/28	37.50
66037	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 L	150,000,000	2019/12/20	2022/01/28	37.50
66025	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 L	150,000,000	2019/12/20	2022/02/25	37.50
66026	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 M	150,000,000	2019/12/20	2022/02/25	37.50
66029	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 T	150,000,000	2019/12/20	2022/02/25	37.50
65927	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 A	150,000,000	2019/12/20	2020/04/28	37.50
65936	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 C	150,000,000	2019/12/20	2020/04/28	37.50
66196	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 D	150,000,000	2019/12/20	2020/04/28	37.50
65922	Haitong Int'l Sec - HSI R Bear CBBC May 2020 M	150,000,000	2019/12/20	2020/05/28	37.50
65934	Haitong Int'l Sec - HSI R Bear CBBC May 2020 N	150,000,000	2019/12/20	2020/05/28	37.50
65997	Haitong Int'l Sec - HSI R Bear CBBC May 2020 O	150,000,000	2019/12/20	2020/05/28	37.50
66009	Haitong Int'l Sec - HSI R Bear CBBC May 2020 P	150,000,000	2019/12/20	2020/05/28	37.50
65999	Haitong Int'l Sec - HSI R Bear CBBC Jun 2020 A	150,000,000	2019/12/20	2020/06/29	37.50
66012	Haitong Int'l Sec - HSI R Bear CBBC Jun 2020 B	150,000,000	2019/12/20	2020/06/29	37.50
66014	Haitong Int'l Sec - HSI R Bear CBBC Jun 2020 C	150,000,000	2019/12/20	2020/06/29	40.65
66020	Haitong Int'l Sec - Tencent R Bull CBBC Sep 2020 C	40,000,000	2019/12/20	2020/09/23	10.00
66021	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2020 A	40,000,000	2019/12/20	2020/10/28	10.00
65917	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 F	40,000,000	2019/12/20	2020/05/28	10.00
66038	Haitong Int'l Sec - Tencent R Bear CBBC May 2020 G	40,000,000	2019/12/20	2020/05/28	10.52
65921	Haitong Int'l Sec - Tencent R Bear CBBC Jun 2020 A	40,000,000	2019/12/20	2020/06/17	10.00
66022	Haitong Int'l Sec - Xiaomi R Bull CBBC Jul 2020 B	40,000,000	2019/12/20	2020/07/13	10.00
66074	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/12/20	2022/01/28	50.00
66080	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/12/20	2022/01/28	50.00
66085	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/12/20	2022/01/28	50.00
66095	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 D	200,000,000	2019/12/20	2022/01/28	50.00
66099	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 E	200,000,000	2019/12/20	2022/01/28	50.00
66101	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 F	200,000,000	2019/12/20	2022/01/28	50.00
66103	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 G	200,000,000	2019/12/20	2022/01/28	50.00
66073	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 Z	200,000,000	2019/12/20	2022/01/28	50.00
65855	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 K	200,000,000	2019/12/20	2020/04/28	50.00

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65856	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 S	200,000,000	2019/12/20	2020/04/28	50.00
65857	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/12/20	2020/04/28	50.00
65858	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 W	200,000,000	2019/12/20	2020/04/28	50.00
66061	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 A	50,000,000	2019/12/20	2020/04/17	12.50
66054	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 Z	250,000,000	2019/12/20	2020/04/17	62.50
66055	J P Morgan SP BV - Tencent R Bull CBBC May 2020 J	250,000,000	2019/12/20	2020/05/15	62.50
66060	J P Morgan SP BV - Tencent R Bull CBBC Jun 2020 D	250,000,000	2019/12/20	2020/06/19	62.50
65847	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 T	50,000,000	2019/12/20	2020/04/17	12.50
65848	J P Morgan SP BV - Tencent R Bear CBBC May 2020 E	250,000,000	2019/12/20	2020/05/15	62.50
66063	J P Morgan SP BV - Tencent R Bear CBBC May 2020 F	250,000,000	2019/12/20	2020/05/15	62.50
66068	J P Morgan SP BV - Tencent R Bear CBBC May 2020 G	250,000,000	2019/12/20	2020/05/15	62.50
65851	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 D	250,000,000	2019/12/20	2020/06/19	62.50
66066	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 E	250,000,000	2019/12/20	2020/06/19	62.50
66069	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 F	250,000,000	2019/12/20	2020/06/19	62.50
66137	Morgan Stanley Asia Products - Ch Mobile R Bull CBBC Jul20A	40,000,000	2019/12/20	2020/07/15	10.00
66133	Morgan Stanley Asia Products - Ch Mobile R Bull CBBC Nov20A	40,000,000	2019/12/20	2020/11/02	10.00
66134	Morgan Stanley Asia Products - Ch Mobile R Bear CBBC May20A	40,000,000	2019/12/20	2020/05/04	10.00
66136	Morgan Stanley Asia Products - Ch Mobile R Bear CBBC Aug20A	40,000,000	2019/12/20	2020/08/10	10.00
66130	Morgan Stanley Asia Products - Galaxy Ent R Bull CBBC Jul20A	40,000,000	2019/12/20	2020/07/10	10.00
66128	Morgan Stanley Asia Products - Galaxy Ent R Bear CBBC Jul20A	40,000,000	2019/12/20	2020/07/10	10.00
66151	Morgan Stanley Asia Products - HSI R Bull CBBC Nov 2021 G	80,000,000	2019/12/20	2021/11/29	20.00
66143	Morgan Stanley Asia Products - HSI R Bull CBBC Feb 2022 N	80,000,000	2019/12/20	2022/02/25	20.00
66127	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 A	80,000,000	2019/12/20	2020/04/28	20.00
66138	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 L	80,000,000	2019/12/20	2020/05/28	20.00
66380	SG Issuer - AIA R Bear CBBC Jun 2020 C	60,000,000	2019/12/20	2020/06/30	15.00
66307	SG Issuer - China Mobile R Bull CBBC Oct 2020 B	100,000,000	2019/12/20	2020/10/30	25.00
66391	SG Issuer - China Life R Bull CBBC May 2020 C	40,000,000	2019/12/20	2020/05/29	10.00
66451	SG Issuer - CSOP A50 ETF R Bull CBBC Jul 2020 A	100,000,000	2019/12/20	2020/07/31	25.00
66453	SG Issuer - A50 China R Bull CBBC Jul 2020 A	100,000,000	2019/12/20	2020/07/31	25.00
66311	SG Issuer - Galaxy Ent R Bull CBBC Jun 2020 A	100,000,000	2019/12/20	2020/06/29	25.00
66397	SG Issuer - Galaxy Ent R Bull CBBC Jun 2020 B	100,000,000	2019/12/20	2020/06/23	25.00
66402	SG Issuer - Galaxy Ent R Bull CBBC Jun 2020 C	100,000,000	2019/12/20	2020/06/30	25.00
66335	SG Issuer - Galaxy Ent R Bear CBBC Jun 2020 A	100,000,000	2019/12/20	2020/06/30	25.00
66403	SG Issuer - Galaxy Ent R Bear CBBC Jul 2020 A	100,000,000	2019/12/20	2020/07/30	25.00
66406	SG Issuer - HKEX R Bull CBBC Jun 2020 C	50,000,000	2019/12/20	2020/06/23	12.50
66410	SG Issuer - HKEX R Bull CBBC Jun 2020 D	50,000,000	2019/12/20	2020/06/30	12.50
66409	SG Issuer - HKEX R Bear CBBC Jun 2020 C	50,000,000	2019/12/20	2020/06/29	24.00
66317	SG Issuer - HSBC R Bull CBBC Jun 2020 C	100,000,000	2019/12/20	2020/06/29	25.00
66360	SG Issuer - HSI R Bull CBBC May 2021 N	250,000,000	2019/12/20	2021/05/28	62.50
66374	SG Issuer - HSI R Bull CBBC Jul 2021 O	250,000,000	2019/12/20	2021/07/29	62.50
66361	SG Issuer - HSI R Bull CBBC Jul 2021 P	250,000,000	2019/12/20	2021/07/29	62.50
66379	SG Issuer - HSI R Bull CBBC Aug 2021 G	250,000,000	2019/12/20	2021/08/30	62.50
66334	SG Issuer - HSI R Bull CBBC Sep 2021 N	250,000,000	2019/12/20	2021/09/29	62.50
66326	SG Issuer - HSI R Bear CBBC Mar 2020 B	250,000,000	2019/12/20	2020/03/30	62.50
66322	SG Issuer - HSI R Bear CBBC Mar 2020 W	250,000,000	2019/12/20	2020/03/30	62.50

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66327	SG Issuer - HSI R Bear CBBC Apr 2020 I	250,000,000	2019/12/20	2020/04/28	62.50
66332	SG Issuer - HSI R Bear CBBC Apr 2020 J	250,000,000	2019/12/20	2020/04/28	62.50
66351	SG Issuer - HSI R Bear CBBC May 2020 B	250,000,000	2019/12/20	2020/05/28	62.50
66344	SG Issuer - HSI R Bear CBBC May 2020 C	250,000,000	2019/12/20	2020/05/28	62.50
66355	SG Issuer - HSI R Bear CBBC May 2020 I	250,000,000	2019/12/20	2020/05/28	62.50
66343	SG Issuer - HSI R Bear CBBC May 2020 X	250,000,000	2019/12/20	2020/05/28	62.50
66340	SG Issuer - HSI R Bear CBBC May 2020 Y	250,000,000	2019/12/20	2020/05/28	62.50
66359	SG Issuer - HSI R Bear CBBC Aug 2020 A	300,000,000	2019/12/20	2020/08/28	75.00
66421	SG Issuer - Ping An R Bull CBBC Jul 2020 E	100,000,000	2019/12/20	2020/07/31	25.00
66415	SG Issuer - Ping An R Bear CBBC May 2020 A	100,000,000	2019/12/20	2020/05/29	25.00
66418	SG Issuer - Ping An R Bear CBBC Jul 2020 A	100,000,000	2019/12/20	2020/07/30	25.00
66422	SG Issuer - Tencent R Bull CBBC May 2020 F	80,000,000	2019/12/20	2020/05/29	20.00
66438	SG Issuer - Tencent R Bull CBBC Jun 2020 C	80,000,000	2019/12/20	2020/06/30	20.00
66439	SG Issuer - Tencent R Bear CBBC Nov 2020 B	80,000,000	2019/12/20	2020/11/30	43.20
66321	SG Issuer - Tencent R Bear CBBC Dec 2020 A	80,000,000	2019/12/20	2020/12/28	20.00
66442	SG Issuer - Tencent R Bear CBBC Dec 2020 B	80,000,000	2019/12/20	2020/12/30	52.00
66336	SG Issuer - Xiaomi R Bull CBBC Jul 2020 C	60,000,000	2019/12/20	2020/07/30	15.00
66187	UBS AG - HKEX R Bear CBBC Jun 2020 D	200,000,000	2019/12/20	2020/06/15	50.00
66177	UBS AG - HSI R Bull CBBC Dec 2021 H	200,000,000	2019/12/20	2021/12/30	50.00
66170	UBS AG - HSI R Bull CBBC Dec 2021 Y	200,000,000	2019/12/20	2021/12/30	50.00
66168	UBS AG - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/12/20	2022/01/28	50.00
66164	UBS AG - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/12/20	2022/01/28	50.00
66167	UBS AG - HSI R Bull CBBC Jan 2022 Y	200,000,000	2019/12/20	2022/01/28	50.00
66153	UBS AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/12/20	2020/03/30	50.00
66155	UBS AG - HSI R Bear CBBC Mar 2020 F	400,000,000	2019/12/20	2020/03/30	100.00
66156	UBS AG - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/12/20	2020/03/30	50.00
66157	UBS AG - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/12/20	2020/03/30	50.00
66158	UBS AG - HSI R Bear CBBC Mar 2020 Q	200,000,000	2019/12/20	2020/03/30	50.00
66159	UBS AG - HSI R Bear CBBC Mar 2020 X	200,000,000	2019/12/20	2020/03/30	50.00
66160	UBS AG - HSI R Bear CBBC Mar 2020 Y	200,000,000	2019/12/20	2020/03/30	50.00
66163	UBS AG - HSI R Bear CBBC Mar 2020 Z	300,000,000	2019/12/20	2020/03/30	75.00
66186	UBS AG - Ping An R Bull CBBC Apr 2020 C	100,000,000	2019/12/20	2020/04/24	25.00
66184	UBS AG - Tencent R Bull CBBC Mar 2020 G	40,000,000	2019/12/20	2020/03/23	10.00
66180	UBS AG - Tencent R Bear CBBC Mar 2020 D	200,000,000	2019/12/20	2020/03/23	50.00
66107	Bank Vontobel - China Life R Bear CBBC Dec 2020 A	40,000,000	2019/12/20	2020/12/11	10.00
66113	Bank Vontobel - HSI R Bull CBBC Jun 2021 U	80,000,000	2019/12/20	2021/06/29	20.00
66118	Bank Vontobel - HSI R Bull CBBC Jun 2021 V	80,000,000	2019/12/20	2021/06/29	20.00
66121	Bank Vontobel - HSI R Bull CBBC Jun 2021 W	80,000,000	2019/12/20	2021/06/29	20.00
66122	Bank Vontobel - HSI R Bull CBBC Jun 2021 X	80,000,000	2019/12/20	2021/06/29	20.00
66125	Bank Vontobel - HSI R Bull CBBC Jun 2021 Y	80,000,000	2019/12/20	2021/06/29	20.00
66553	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 S	150,000,000	2019/12/23	2021/12/30	37.50
66555	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 P	150,000,000	2019/12/23	2020/04/28	37.50
66556	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 Q	150,000,000	2019/12/23	2020/04/28	37.50
66557	BOCI Asia Ltd. - Tencent R Bull CBBC May 2020 A	150,000,000	2019/12/23	2020/05/04	37.50
66563	BOCI Asia Ltd. - Tencent R Bear CBBC May 2020 A	150,000,000	2019/12/23	2020/05/04	37.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66688	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 L	240,000,000	2019/12/23	2021/12/30	60.00
66680	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 S	250,000,000	2019/12/23	2021/12/30	62.50
66504	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 P	250,000,000	2019/12/23	2020/05/28	62.50
66691	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 Q	240,000,000	2019/12/23	2020/05/28	60.00
66627	Credit Suisse AG - AIA R Bull CBBC Sep 2020 B	70,000,000	2019/12/23	2020/09/29	17.50
66621	Credit Suisse AG - Galaxy Ent R Bear CBBC Oct 2020 A	50,000,000	2019/12/23	2020/10/29	12.50
66616	Credit Suisse AG - HSI R Bull CBBC Oct 2021 P	200,000,000	2019/12/23	2021/10/28	50.00
66617	Credit Suisse AG - HSI R Bull CBBC Oct 2021 R	200,000,000	2019/12/23	2021/10/28	50.00
66615	Credit Suisse AG - HSI R Bull CBBC Oct 2021 V	200,000,000	2019/12/23	2021/10/28	50.00
66607	Credit Suisse AG - HSI R Bull CBBC Nov 2021 G	200,000,000	2019/12/23	2021/11/29	50.00
66611	Credit Suisse AG - HSI R Bull CBBC Nov 2021 K	200,000,000	2019/12/23	2021/11/29	50.00
66613	Credit Suisse AG - HSI R Bull CBBC Nov 2021 L	200,000,000	2019/12/23	2021/11/29	50.00
66634	Credit Suisse AG - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/12/23	2020/03/30	50.00
66636	Credit Suisse AG - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/12/23	2020/03/30	50.00
66620	Credit Suisse AG - HSI R Bear CBBC May 2020 A	200,000,000	2019/12/23	2020/05/28	50.00
66619	Credit Suisse AG - HSI R Bear CBBC May 2020 Z	200,000,000	2019/12/23	2020/05/28	50.00
66633	Credit Suisse AG - Ping An R Bull CBBC Nov 2020 B	80,000,000	2019/12/23	2020/11/27	20.00
66622	Credit Suisse AG - Xiaomi R Bear CBBC Dec 2020 B	40,000,000	2019/12/23	2020/12/30	10.00
66924	Goldman Sachs SP (Asia) - AIA R Bull CBBC Jun 2020 B	60,000,000	2019/12/23	2020/06/30	15.00
66932	Goldman Sachs SP (Asia) - Galaxy Ent R Bull CBBC Jun 2020 A	60,000,000	2019/12/23	2020/06/30	15.00
66913	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 E	160,000,000	2019/12/23	2022/10/28	40.00
66908	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 O	160,000,000	2019/12/23	2022/10/28	40.00
66907	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 D	160,000,000	2019/12/23	2022/11/29	40.00
66903	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 G	160,000,000	2019/12/23	2022/11/29	40.00
66904	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 H	160,000,000	2019/12/23	2022/11/29	40.00
66905	Goldman Sachs SP (Asia) - HSI R Bull CBBC Nov 2022 J	160,000,000	2019/12/23	2022/11/29	40.00
66909	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 A	160,000,000	2019/12/23	2020/03/30	40.00
66914	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 L	60,000,000	2019/12/23	2020/06/30	15.00
66922	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 M	60,000,000	2019/12/23	2020/06/30	15.00
66923	Goldman Sachs SP (Asia) - Xiaomi R Bull CBBC Jun 2020 B	60,000,000	2019/12/23	2020/06/30	15.00
66551	HK Bank - CC Bank R Bull CBBC Jun 2020 A	60,000,000	2019/12/23	2020/06/01	15.00
66524	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2020 A	40,000,000	2019/12/23	2020/06/01	10.00
66545	HK Bank - HKEX R Bull CBBC Jul 2020 C	40,000,000	2019/12/23	2020/07/06	10.00
66507	HK Bank - HSCEI R Bull CBBC Sep 2021 A	50,000,000	2019/12/23	2021/09/29	12.50
66529	HK Bank - HSCEI R Bear CBBC Jul 2020 A	50,000,000	2019/12/23	2020/07/30	12.50
66502	HK Bank - HSI R Bull CBBC Sep 2021 G	60,000,000	2019/12/23	2021/09/29	15.00
66506	HK Bank - HSI R Bull CBBC Sep 2021 O	100,000,000	2019/12/23	2021/09/29	25.00
66523	HK Bank - HSI R Bull CBBC Sep 2021 P	80,000,000	2019/12/23	2021/09/29	20.00
66515	HK Bank - HSI R Bear CBBC Apr 2020 W	60,000,000	2019/12/23	2020/04/28	15.00
66513	HK Bank - HSI R Bear CBBC Jul 2020 V	80,000,000	2019/12/23	2020/07/30	20.00
66544	HK Bank - Meituan Dianping R Bull CBBC Jun 2020 E	40,000,000	2019/12/23	2020/06/29	10.00
66530	HK Bank - Tencent R Bull CBBC Jul 2020 D	40,000,000	2019/12/23	2020/07/06	10.00
66552	HK Bank - Tencent R Bull CBBC Jul 2020 E	40,000,000	2019/12/23	2020/07/13	10.00
66541	HK Bank - Tencent R Bear CBBC Jun 2020 G	100,000,000	2019/12/23	2020/06/18	25.00
66537	HK Bank - Tencent R Bear CBBC Jul 2020 C	100,000,000	2019/12/23	2020/07/20	25.00

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66759	Haitong Int'l Sec - HSBC R Bull CBBC Jul 2020 A	50,000,000	2019/12/23	2020/07/09	12.50
66588	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 T	150,000,000	2019/12/23	2021/10/28	37.50
66592	Haitong Int'l Sec - HSI R Bull CBBC Nov 2021 S	150,000,000	2019/12/23	2021/11/29	37.50
66583	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 O	150,000,000	2019/12/23	2022/01/28	37.50
66593	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 Q	150,000,000	2019/12/23	2022/01/28	37.50
66576	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 W	150,000,000	2019/12/23	2022/02/25	37.50
66599	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 I	150,000,000	2019/12/23	2020/03/30	37.50
66606	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 V	150,000,000	2019/12/23	2020/03/30	37.50
66597	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 Q	150,000,000	2019/12/23	2020/04/28	37.50
66598	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 U	150,000,000	2019/12/23	2020/04/28	37.50
66604	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 W	150,000,000	2019/12/23	2020/04/28	37.50
66595	Haitong Int'l Sec - HSI R Bear CBBC May 2020 Q	150,000,000	2019/12/23	2020/05/28	37.50
66761	Haitong Int'l Sec - SHK Ppt R Bull CBBC Jul 2020 A	40,000,000	2019/12/23	2020/07/16	10.00
66763	Haitong Int'l Sec - SUNAC R Bull CBBC Aug 2020 C	50,000,000	2019/12/23	2020/08/27	12.50
66569	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2020 E	40,000,000	2019/12/23	2020/07/30	10.00
66565	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2020 D	40,000,000	2019/12/23	2020/08/26	10.00
66572	Haitong Int'l Sec - Tencent R Bear CBBC Jul 2020 B	40,000,000	2019/12/23	2020/07/24	10.00
66575	Haitong Int'l Sec - Tencent R Bear CBBC Sep 2020 A	40,000,000	2019/12/23	2020/09/24	13.00
66760	Haitong Int'l Sec - Xiaomi R Bull CBBC Aug 2020 A	40,000,000	2019/12/23	2020/08/12	10.00
66764	Haitong Int'l Sec - Xiaomi R Bear CBBC Aug 2020 A	40,000,000	2019/12/23	2020/08/27	10.00
66765	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 H	200,000,000	2019/12/23	2022/01/28	50.00
66770	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 I	200,000,000	2019/12/23	2022/01/28	50.00
66771	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 J	200,000,000	2019/12/23	2022/01/28	50.00
66772	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 K	200,000,000	2019/12/23	2022/01/28	50.00
66773	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 L	200,000,000	2019/12/23	2022/01/28	50.00
66776	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 M	200,000,000	2019/12/23	2022/01/28	50.00
66795	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 A	200,000,000	2019/12/23	2020/04/28	50.00
66777	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Z	200,000,000	2019/12/23	2020/04/28	50.00
66799	J P Morgan SP BV - HSI R Bear CBBC May 2020 Z	200,000,000	2019/12/23	2020/05/28	50.00
66801	J P Morgan SP BV - Tencent R Bull CBBC May 2020 K	250,000,000	2019/12/23	2020/05/15	62.50
66804	J P Morgan SP BV - Tencent R Bull CBBC Jul 2020 A	250,000,000	2019/12/23	2020/07/10	62.50
66809	J P Morgan SP BV - Tencent R Bull CBBC Jul 2020 B	250,000,000	2019/12/23	2020/07/17	62.50
66813	J P Morgan SP BV - Tencent R Bear CBBC May 2020 H	250,000,000	2019/12/23	2020/05/15	62.50
66814	J P Morgan SP BV - Tencent R Bear CBBC May 2020 I	250,000,000	2019/12/23	2020/05/08	62.50
66816	J P Morgan SP BV - Tencent R Bear CBBC Jul 2020 B	250,000,000	2019/12/23	2020/07/17	62.50
66718	Morgan Stanley Asia Products - CC Bank R Bull CBBC Jun2020 A	40,000,000	2019/12/23	2020/06/03	10.00
66721	Morgan Stanley Asia Products - CC Bank R Bear CBBC Jul2020 A	40,000,000	2019/12/23	2020/07/15	10.00
66722	Morgan Stanley Asia Products - HSI R Bull CBBC Dec 2021 I	80,000,000	2019/12/23	2021/12/30	20.00
66730	Morgan Stanley Asia Products - Tencent R Bull CBBC Jul2020 E	50,000,000	2019/12/23	2020/07/08	12.50
66733	Morgan Stanley Asia Products - Tencent R Bull CBBC Aug2020 C	50,000,000	2019/12/23	2020/08/14	14.00
66737	Morgan Stanley Asia Products - Tencent R Bear CBBC Sep2020 A	50,000,000	2019/12/23	2020/09/02	12.50
66728	Morgan Stanley Asia Products - Xiaomi R Bull CBBC Jun 2020 B	48,000,000	2019/12/23	2020/06/08	12.00
66723	Morgan Stanley Asia Products - Xiaomi R Bear CBBC Aug 2020 B	48,000,000	2019/12/23	2020/08/03	12.00
66817	SG Issuer - AIA R Bull CBBC Apr 2020 L	60,000,000	2019/12/23	2020/04/29	15.00
66901	SG Issuer - CAM CSI300 R Bull CBBC Jun 2020 B	100,000,000	2019/12/23	2020/06/30	25.00

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66893	SG Issuer - Bank of China R Bull CBBC Sep 2020 A	100,000,000	2019/12/23	2020/09/29	25.00
66846	SG Issuer - CM Bank R Bull CBBC Sep 2020 A	100,000,000	2019/12/23	2020/09/30	25.00
66847	SG Issuer - CNOOC R Bull CBBC Jun 2020 A	40,000,000	2019/12/23	2020/06/30	10.00
66848	SG Issuer - HSBC R Bull CBBC Jun 2020 D	100,000,000	2019/12/23	2020/06/30	25.00
66876	SG Issuer - HSI R Bull CBBC May 2021 R	250,000,000	2019/12/23	2021/05/28	62.50
66851	SG Issuer - HSI R Bull CBBC Jul 2021 G	250,000,000	2019/12/23	2021/07/29	62.50
66883	SG Issuer - HSI R Bull CBBC Jul 2021 H	250,000,000	2019/12/23	2021/07/29	62.50
66830	SG Issuer - HSI R Bull CBBC Jul 2021 Q	250,000,000	2019/12/23	2021/07/29	62.50
66831	SG Issuer - HSI R Bull CBBC Jul 2021 T	250,000,000	2019/12/23	2021/07/29	62.50
66845	SG Issuer - HSI R Bull CBBC Aug 2021 J	250,000,000	2019/12/23	2021/08/30	62.50
66890	SG Issuer - HSI R Bull CBBC Aug 2021 K	250,000,000	2019/12/23	2021/08/30	62.50
66855	SG Issuer - HSI R Bear CBBC Mar 2020 L	250,000,000	2019/12/23	2020/03/30	62.50
66857	SG Issuer - HSI R Bear CBBC Mar 2020 M	250,000,000	2019/12/23	2020/03/30	62.50
66858	SG Issuer - HSI R Bear CBBC Mar 2020 O	250,000,000	2019/12/23	2020/03/30	62.50
66860	SG Issuer - HSI R Bear CBBC Apr 2020 N	250,000,000	2019/12/23	2020/04/28	62.50
66866	SG Issuer - HSI R Bear CBBC Apr 2020 Q	250,000,000	2019/12/23	2020/04/28	62.50
66873	SG Issuer - HSI R Bear CBBC Apr 2020 R	250,000,000	2019/12/23	2020/04/28	62.50
66896	SG Issuer - ICBC R Bull CBBC Jul 2020 H	100,000,000	2019/12/23	2020/07/31	25.00
66819	SG Issuer - Tencent R Bull CBBC Mar 2020 Y	80,000,000	2019/12/23	2020/03/31	20.00
66899	SG Issuer - Tencent R Bull CBBC Jun 2020 D	80,000,000	2019/12/23	2020/06/30	20.00
66752	UBS AG - CSOP A50 ETF R Bull CBBC Nov 2020 C	100,000,000	2019/12/23	2020/11/25	25.00
66740	UBS AG - HSI R Bull CBBC Dec 2021 M	200,000,000	2019/12/23	2021/12/30	50.00
66741	UBS AG - HSI R Bull CBBC Dec 2021 N	200,000,000	2019/12/23	2021/12/30	50.00
66742	UBS AG - HSI R Bull CBBC Dec 2021 R	200,000,000	2019/12/23	2021/12/30	50.00
66745	UBS AG - HSI R Bear CBBC Mar 2020 J	200,000,000	2019/12/23	2020/03/30	50.00
66746	UBS AG - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/12/23	2020/03/30	50.00
66748	UBS AG - HSI R Bear CBBC Mar 2020 M	400,000,000	2019/12/23	2020/03/30	100.00
66755	UBS AG - HSI R Bear CBBC Mar 2020 N	200,000,000	2019/12/23	2020/03/30	50.00
66754	UBS AG - Link REIT R Bull CBBC May 2020 B	100,000,000	2019/12/23	2020/05/25	25.00
66749	UBS AG - Tencent R Bull CBBC Jun 2020 D	200,000,000	2019/12/23	2020/06/10	50.00
66699	Bank Vontobel - China Mobile R Bull CBBC Dec 2020 A	40,000,000	2019/12/23	2020/12/04	10.00
66660	Bank Vontobel - China Life R Bull CBBC Dec 2020 B	40,000,000	2019/12/23	2020/12/11	10.00
66706	Bank Vontobel - CNOOC R Bull CBBC Dec 2020 A	40,000,000	2019/12/23	2020/12/18	10.00
66639	Bank Vontobel - Geely Auto R Bull CBBC Nov 2020 A	40,000,000	2019/12/23	2020/11/20	10.00
66665	Bank Vontobel - Galaxy Ent R Bull CBBC Dec 2020 C	40,000,000	2019/12/23	2020/12/11	10.00
66675	Bank Vontobel - Galaxy Ent R Bull CBBC Dec 2020 D	40,000,000	2019/12/23	2020/12/11	10.00
66640	Bank Vontobel - HKEX R Bull CBBC Dec 2020 A	40,000,000	2019/12/23	2020/12/11	10.00
66707	Bank Vontobel - HSBC R Bear CBBC Dec 2020 A	40,000,000	2019/12/23	2020/12/11	10.00
66684	Bank Vontobel - HSI R Bull CBBC Jun 2021 A	80,000,000	2019/12/23	2021/06/29	20.00
66689	Bank Vontobel - HSI R Bull CBBC Jun 2021 B	80,000,000	2019/12/23	2021/06/29	20.00
66678	Bank Vontobel - HSI R Bull CBBC Jun 2021 Z	80,000,000	2019/12/23	2021/06/29	20.00
66693	Bank Vontobel - HSI R Bear CBBC Jun 2020 H	80,000,000	2019/12/23	2020/06/29	20.00
66697	Bank Vontobel - HSI R Bear CBBC Jun 2020 I	80,000,000	2019/12/23	2020/06/29	20.00
66710	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 O	60,000,000	2019/12/23	2020/11/20	15.00
66715	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 P	60,000,000	2019/12/23	2020/11/06	15.00



# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
66637	Bank Vontobel - SHK Ppt R Bull CBBC Dec 2020 A	40,000,000	2019/12/23	2020/12/04	10.00
66643	Bank Vontobel - Tencent R Bull CBBC Dec 2020 A	40,000,000	2019/12/23	2020/12/04	10.00
66698	Bank Vontobel - Xiaomi R Bull CBBC Dec 2020 B	40,000,000	2019/12/23	2020/12/11	10.00
66657	Bank Vontobel - Xiaomi R Bear CBBC Dec 2020 B	40,000,000	2019/12/23	2020/12/11	10.00
67006	BOCI Asia Ltd. - Geely Auto R Bull CBBC Jun 2020 A	40,000,000	2019/12/24	2020/06/30	10.00
66985	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 I	150,000,000	2019/12/24	2021/12/30	37.50
66982	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 Z	150,000,000	2019/12/24	2021/12/30	37.50
66987	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 R	150,000,000	2019/12/24	2020/04/28	37.50
66994	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 S	150,000,000	2019/12/24	2020/04/28	37.50
67001	BOCI Asia Ltd. - Tencent R Bull CBBC May 2020 B	150,000,000	2019/12/24	2020/05/11	37.50
67002	BOCI Asia Ltd. - Tencent R Bear CBBC May 2020 B	150,000,000	2019/12/24	2020/05/11	49.50
67007	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 D	250,000,000	2019/12/24	2021/12/30	62.50
67011	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 F	250,000,000	2019/12/24	2021/12/30	62.50
67105	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 M	250,000,000	2019/12/24	2021/12/30	62.50
67106	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 N	250,000,000	2019/12/24	2021/12/30	62.50
67107	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 P	250,000,000	2019/12/24	2021/12/30	62.50
67109	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 S	240,000,000	2019/12/24	2020/05/28	60.00
67161	Credit Suisse AG - AIA R Bear CBBC Sep 2020 A	70,000,000	2019/12/24	2020/09/29	17.50
67166	Credit Suisse AG - CC Bank R Bull CBBC Jul 2020 G	100,000,000	2019/12/24	2020/07/30	25.00
67180	Credit Suisse AG - CM Bank R Bull CBBC Jul 2020 D	70,000,000	2019/12/24	2020/07/30	17.50
67189	Credit Suisse AG - HSI R Bull CBBC Jan 2021 Z	200,000,000	2019/12/24	2021/01/28	50.00
67190	Credit Suisse AG - HSI R Bull CBBC Oct 2021 L	200,000,000	2019/12/24	2021/10/28	50.00
67186	Credit Suisse AG - HSI R Bull CBBC Dec 2021 F	200,000,000	2019/12/24	2021/12/30	50.00
67193	Credit Suisse AG - HSI R Bull CBBC Dec 2021 H	200,000,000	2019/12/24	2021/12/30	50.00
67188	Credit Suisse AG - HSI R Bull CBBC Mar 2022 D	300,000,000	2019/12/24	2022/03/30	75.00
67195	Credit Suisse AG - HSI R Bull CBBC Mar 2022 E	300,000,000	2019/12/24	2022/03/30	75.00
67133	Credit Suisse AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/12/24	2020/03/30	50.00
67115	Credit Suisse AG - HSI R Bear CBBC Mar 2020 K	200,000,000	2019/12/24	2020/03/30	50.00
67129	Credit Suisse AG - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/12/24	2020/03/30	50.00
67112	Credit Suisse AG - HSI R Bear CBBC Apr 2020 F	200,000,000	2019/12/24	2020/04/28	50.00
67136	Credit Suisse AG - HSI R Bear CBBC Apr 2020 T	200,000,000	2019/12/24	2020/04/28	50.00
67137	Credit Suisse AG - HSI R Bear CBBC Jun 2020 M	200,000,000	2019/12/24	2020/06/29	50.00
67138	Credit Suisse AG - HSI R Bear CBBC Jun 2020 N	200,000,000	2019/12/24	2020/06/29	50.00
67150	Credit Suisse AG - HSI R Bear CBBC Jun 2020 O	200,000,000	2019/12/24	2020/06/29	50.00
67152	Credit Suisse AG - HSI R Bear CBBC Jul 2020 E	200,000,000	2019/12/24	2020/07/30	58.00
67153	Credit Suisse AG - HSI R Bear CBBC Jul 2020 F	200,000,000	2019/12/24	2020/07/30	64.00
67128	Credit Suisse AG - Sunny Optical R Bear CBBC Dec 2020 C	100,000,000	2019/12/24	2020/12/30	25.00
67155	Credit Suisse AG - Tencent R Bull CBBC Sep 2020 D	100,000,000	2019/12/24	2020/09/29	25.00
67156	Credit Suisse AG - Tencent R Bear CBBC Nov 2020 A	100,000,000	2019/12/24	2020/11/27	25.00
67158	Credit Suisse AG - Tencent R Bear CBBC Dec 2020 B	100,000,000	2019/12/24	2020/12/30	25.00
67307	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 A	160,000,000	2019/12/24	2022/10/28	40.00
67271	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 H	160,000,000	2019/12/24	2022/10/28	40.00
67274	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 I	160,000,000	2019/12/24	2022/10/28	40.00
67300	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 K	160,000,000	2019/12/24	2022/10/28	40.00
67302	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 Z	160,000,000	2019/12/24	2022/10/28	40.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67263	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 B	160,000,000	2019/12/24	2020/03/30	40.00
67266	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 D	160,000,000	2019/12/24	2020/03/30	40.00
67268	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 I	160,000,000	2019/12/24	2020/03/30	40.00
67329	Goldman Sachs SP (Asia) - Meituan Dianping R Bull CBBC Jun20B	60,000,000	2019/12/24	2020/06/30	15.00
67310	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 N	60,000,000	2019/12/24	2020/06/30	15.00
67316	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 O	60,000,000	2019/12/24	2020/06/30	15.00
67319	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2020 B	60,000,000	2019/12/24	2020/06/30	15.00
67320	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2020 C	60,000,000	2019/12/24	2020/06/30	15.00
66952	HK Bank - China Mobile R Bull CBBC Jul 2020 A	60,000,000	2019/12/24	2020/07/06	15.00
66936	HK Bank - CSOP A50 ETF R Bull CBBC Jun 2020 B	40,000,000	2019/12/24	2020/06/15	10.00
66959	HK Bank - CSPC Pharma R Bull CBBC Jun 2020 C	40,000,000	2019/12/24	2020/06/29	10.00
66941	HK Bank - A50 China R Bull CBBC Jun 2020 A	40,000,000	2019/12/24	2020/06/15	10.00
66948	HK Bank - HSI R Bull CBBC Sep 2021 K	60,000,000	2019/12/24	2021/09/29	15.00
66943	HK Bank - HSI R Bull CBBC Sep 2021 N	80,000,000	2019/12/24	2021/09/29	20.00
66946	HK Bank - HSI R Bull CBBC Sep 2021 Q	100,000,000	2019/12/24	2021/09/29	25.00
66937	HK Bank - HSI R Bear CBBC Apr 2020 X	60,000,000	2019/12/24	2020/04/28	15.00
66950	HK Bank - Ping An R Bull CBBC Jul 2020 D	60,000,000	2019/12/24	2020/07/16	15.00
66957	HK Bank - SHK Ppt R Bull CBBC Jun 2020 A	40,000,000	2019/12/24	2020/06/15	10.00
66967	HK Bank - Tencent R Bull CBBC Jun 2020 L	40,000,000	2019/12/24	2020/06/11	10.00
66951	HK Bank - Tencent R Bull CBBC Jul 2020 F	100,000,000	2019/12/24	2020/07/02	25.00
66935	HK Bank - Tencent R Bear CBBC Jun 2020 H	40,000,000	2019/12/24	2020/06/04	10.00
66971	HK Bank - Tencent R Bear CBBC Jun 2020 I	100,000,000	2019/12/24	2020/06/11	25.00
66960	HK Bank - Tencent R Bear CBBC Jul 2020 D	100,000,000	2019/12/24	2020/07/02	25.00
67034	Haitong Int'l Sec - CSOP A50 ETF R Bull CBBC Jun 2020 A	50,000,000	2019/12/24	2020/06/15	12.50
67033	Haitong Int'l Sec - China Tower R Bull CBBC Sep 2020 A	50,000,000	2019/12/24	2020/09/18	12.50
67020	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 E	150,000,000	2019/12/24	2021/10/28	37.50
67016	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 U	150,000,000	2019/12/24	2021/10/28	37.50
67019	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 D	150,000,000	2019/12/24	2022/01/28	37.50
67032	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 S	150,000,000	2019/12/24	2022/01/28	37.50
67017	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 X	150,000,000	2019/12/24	2022/02/25	37.50
67014	Haitong Int'l Sec - HSI R Bear CBBC Mar 2020 A	150,000,000	2019/12/24	2020/03/30	37.50
67027	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 B	150,000,000	2019/12/24	2020/04/28	37.50
67028	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 K	150,000,000	2019/12/24	2020/04/28	37.50
67012	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 Y	150,000,000	2019/12/24	2020/04/28	37.50
67026	Haitong Int'l Sec - HSI R Bear CBBC May 2020 R	150,000,000	2019/12/24	2020/05/28	37.50
67029	Haitong Int'l Sec - HSI R Bear CBBC May 2020 S	150,000,000	2019/12/24	2020/05/28	37.50
67037	Haitong Int'l Sec - Sunny Optical R Bull CBBC Aug 2020 B	50,000,000	2019/12/24	2020/08/25	12.50
67036	Haitong Int'l Sec - Tencent R Bull CBBC Oct 2020 B	40,000,000	2019/12/24	2020/10/16	10.00
67015	Haitong Int'l Sec - Tencent R Bear CBBC Jul 2020 C	40,000,000	2019/12/24	2020/07/22	10.00
67091	J P Morgan SP BV - HKEX R Bull CBBC Jun 2020 C	40,000,000	2019/12/24	2020/06/19	10.00
67094	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 N	200,000,000	2019/12/24	2022/01/28	50.00
67100	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 O	200,000,000	2019/12/24	2022/01/28	50.00
67101	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 P	200,000,000	2019/12/24	2022/01/28	50.00
67102	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 Q	200,000,000	2019/12/24	2022/01/28	50.00
67104	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 R	200,000,000	2019/12/24	2022/01/28	50.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
67092	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 A	200,000,000	2019/12/24	2022/03/30	50.00
67093	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 B	200,000,000	2019/12/24	2022/03/30	50.00
67066	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 C	200,000,000	2019/12/24	2020/04/28	50.00
67067	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 U	200,000,000	2019/12/24	2020/04/28	50.00
67068	J P Morgan SP BV - HSI R Bear CBBC May 2020 A	200,000,000	2019/12/24	2020/05/28	50.00
67090	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jun 2020 G	60,000,000	2019/12/24	2020/06/12	15.00
67073	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 B	50,000,000	2019/12/24	2020/04/09	12.50
67075	J P Morgan SP BV - Tencent R Bull CBBC Apr 2020 C	50,000,000	2019/12/24	2020/04/17	12.50
67079	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 U	50,000,000	2019/12/24	2020/04/09	12.50
67084	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 V	50,000,000	2019/12/24	2020/04/17	13.00
67088	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 W	50,000,000	2019/12/24	2020/04/09	17.50
67089	J P Morgan SP BV - Tencent R Bear CBBC Apr 2020 X	50,000,000	2019/12/24	2020/04/17	22.00
67065	J P Morgan SP BV - Tencent R Bear CBBC May 2020 J	250,000,000	2019/12/24	2020/05/15	62.50
66972	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 K	13,500,000	2019/12/24	2020/04/29	10.02
66981	Macquarie Bank Ltd. - Tencent R Bull CBBC Apr 2020 L	10,900,000	2019/12/24	2020/04/29	10.06
67202	Morgan Stanley Asia Products - AIA R Bull CBBC Jul 2020 A	48,000,000	2019/12/24	2020/07/08	12.00
67203	Morgan Stanley Asia Products - AIA R Bear CBBC Jun 2020 B	40,000,000	2019/12/24	2020/06/15	10.00
67201	Morgan Stanley Asia Products - AIA R Bear CBBC Jul 2020 A	40,000,000	2019/12/24	2020/07/02	10.00
67205	Morgan Stanley Asia Products - China Life R Bull CBBC Oct20A	58,000,000	2019/12/24	2020/10/08	14.50
67206	Morgan Stanley Asia Products - China Life R Bear CBBC Oct20A	40,000,000	2019/12/24	2020/10/08	10.00
67199	Morgan Stanley Asia Products - Ch Tower R Bull CBBC Oct20A	68,000,000	2019/12/24	2020/10/05	17.00
67196	Morgan Stanley Asia Products - Ch Tower R Bear CBBC Jun20A	68,000,000	2019/12/24	2020/06/01	17.00
67209	Morgan Stanley Asia Products - HKEX R Bull CBBC Sep 2020 A	48,000,000	2019/12/24	2020/09/15	12.00
67220	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 R	80,000,000	2019/12/24	2022/01/28	20.00
67213	Morgan Stanley Asia Products - HSI R Bear CBBC May 2020 M	80,000,000	2019/12/24	2020/05/28	20.00
67223	Morgan Stanley Asia Products - HSI R Bear CBBC Jun 2020 C	80,000,000	2019/12/24	2020/06/29	20.00
67222	Morgan Stanley Asia Products - HSI R Bear CBBC Aug 2020 A	80,000,000	2019/12/24	2020/08/28	20.00
67210	Morgan Stanley Asia Products - Tencent R Bull CBBC May2020 B	50,000,000	2019/12/24	2020/05/04	14.40
67211	Morgan Stanley Asia Products - Tencent R Bear CBBC Jun2020 B	50,000,000	2019/12/24	2020/06/01	12.50
67252	SG Issuer - CC Bank R Bull CBBC Sep 2020 A	100,000,000	2019/12/24	2020/09/29	25.00
67241	SG Issuer - HSI R Bull CBBC May 2021 V	250,000,000	2019/12/24	2021/05/28	62.50
67246	SG Issuer - HSI R Bull CBBC Aug 2021 C	250,000,000	2019/12/24	2021/08/30	62.50
67240	SG Issuer - HSI R Bear CBBC Apr 2020 S	250,000,000	2019/12/24	2020/04/28	62.50
67224	SG Issuer - HSI R Bear CBBC May 2020 J	250,000,000	2019/12/24	2020/05/28	62.50
67234	SG Issuer - HSI R Bear CBBC May 2020 L	250,000,000	2019/12/24	2020/05/28	62.50
67255	SG Issuer - Meituan Dianping R Bull CBBC Jul 2020 C	100,000,000	2019/12/24	2020/07/31	25.00
67244	SG Issuer - Tencent R Bull CBBC May 2020 G	80,000,000	2019/12/24	2020/05/29	20.00
67237	SG Issuer - Tencent R Bear CBBC Nov 2020 C	80,000,000	2019/12/24	2020/11/30	20.00
67258	SG Issuer - Tencent R Bear CBBC Nov 2020 D	80,000,000	2019/12/24	2020/11/30	51.20
67041	UBS AG - HSI R Bull CBBC Nov 2021 T	200,000,000	2019/12/24	2021/11/29	50.00
67038	UBS AG - HSI R Bear CBBC Mar 2020 O	200,000,000	2019/12/24	2020/03/30	50.00
67040	UBS AG - HSI R Bear CBBC Mar 2020 R	200,000,000	2019/12/24	2020/03/30	50.00
67042	UBS AG - HSI R Bear CBBC Mar 2020 S	200,000,000	2019/12/24	2020/03/30	50.00
67043	UBS AG - HSI R Bear CBBC Mar 2020 T	200,000,000	2019/12/24	2020/03/30	50.00
67045	UBS AG - HSI R Bear CBBC Mar 2020 U	200,000,000	2019/12/24	2020/03/30	50.00

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67059	UBS AG - Meituan Dianping R Bull CBBC Mar 2020 E	50,000,000	2019/12/24	2020/03/30	12.50
67051	UBS AG - Tencent R Bull CBBC Apr 2020 M	40,000,000	2019/12/24	2020/04/29	10.00
67052	UBS AG - Tencent R Bull CBBC Jun 2020 E	200,000,000	2019/12/24	2020/06/15	50.00
67053	UBS AG - Tencent R Bear CBBC Apr 2020 F	40,000,000	2019/12/24	2020/04/23	10.00
67056	UBS AG - Tencent R Bear CBBC Jun 2020 D	200,000,000	2019/12/24	2020/06/15	50.00
67064	Bank Vontobel - Meituan Dianping R Bull CBBC Oct 2020 C	60,000,000	2019/12/24	2020/10/23	15.00
67060	Bank Vontobel - Meituan Dianping R Bull CBBC Nov 2020 Q	60,000,000	2019/12/24	2020/11/13	15.00
67412	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 J	150,000,000	2019/12/27	2021/12/30	37.50
67419	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 T	150,000,000	2019/12/27	2020/04/28	37.50
67532	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 X	50,000,000	2019/12/27	2020/09/29	12.50
67533	BNP Paribas Issuance B.V. - HKEX R Bull CBBC Sep 2020 Y	50,000,000	2019/12/27	2020/09/29	12.50
67422	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 V	240,000,000	2019/12/27	2021/12/30	60.00
67359	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 T	250,000,000	2019/12/27	2020/05/28	62.50
67509	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 K	50,000,000	2019/12/27	2020/11/27	14.50
67516	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 L	50,000,000	2019/12/27	2020/11/27	12.50
67529	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Nov 2020 M	50,000,000	2019/12/27	2020/11/27	12.50
67512	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 M	50,000,000	2019/12/27	2020/12/30	12.50
67524	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 N	50,000,000	2019/12/27	2020/12/30	12.50
67527	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 O	50,000,000	2019/12/27	2020/12/30	12.50
67531	BNP Paribas Issuance B.V. - Tencent R Bull CBBC Dec 2020 P	50,000,000	2019/12/27	2020/12/30	12.50
67541	Credit Suisse AG - HSI R Bull CBBC Nov 2021 H	200,000,000	2019/12/27	2021/11/29	50.00
67539	Credit Suisse AG - HSI R Bull CBBC Jan 2022 A	200,000,000	2019/12/27	2022/01/28	50.00
67544	Credit Suisse AG - HSI R Bull CBBC Jan 2022 B	200,000,000	2019/12/27	2022/01/28	50.00
67546	Credit Suisse AG - HSI R Bull CBBC Jan 2022 C	200,000,000	2019/12/27	2022/01/28	50.00
67549	Credit Suisse AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/12/27	2020/03/30	50.00
67534	Credit Suisse AG - HSI R Bear CBBC May 2020 B	200,000,000	2019/12/27	2020/05/28	50.00
67536	Credit Suisse AG - HSI R Bear CBBC May 2020 E	200,000,000	2019/12/27	2020/05/28	50.00
67537	Credit Suisse AG - HSI R Bear CBBC May 2020 F	200,000,000	2019/12/27	2020/05/28	50.00
67535	Credit Suisse AG - HSI R Bear CBBC Jun 2020 P	200,000,000	2019/12/27	2020/06/29	50.00
67538	Credit Suisse AG - HSI R Bear CBBC Jul 2020 G	300,000,000	2019/12/27	2020/07/30	75.00
67380	Bank of East Asia - Tencent R Bull CBBC Aug 2020 G	30,000,000	2019/12/27	2020/08/28	10.50
67382	Bank of East Asia - Tencent R Bull CBBC Aug 2020 H	30,000,000	2019/12/27	2020/08/28	10.50
67360	Bank of East Asia - Tencent R Bear CBBC Aug 2020 E	30,000,000	2019/12/27	2020/08/28	10.50
67364	Bank of East Asia - Tencent R Bear CBBC Aug 2020 F	30,000,000	2019/12/27	2020/08/28	10.50
67703	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 F	160,000,000	2019/12/27	2022/10/28	40.00
67706	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 J	160,000,000	2019/12/27	2022/10/28	40.00
67704	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 O	160,000,000	2019/12/27	2020/03/30	40.00
67713	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Y	160,000,000	2019/12/27	2020/03/30	40.00
67732	Goldman Sachs SP (Asia) - Ping An R Bear CBBC May 2020 A	60,000,000	2019/12/27	2020/05/15	15.00
67714	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 P	60,000,000	2019/12/27	2020/06/30	15.00
67721	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 Q	60,000,000	2019/12/27	2020/06/30	15.00
67725	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2020 D	60,000,000	2019/12/27	2020/06/30	15.00
67731	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Jun 2020 E	60,000,000	2019/12/27	2020/06/30	15.00
67392	HK Bank - China Life R Bull CBBC Jul 2020 A	80,000,000	2019/12/27	2020/07/13	20.00
67383	HK Bank - China Tower R Bull CBBC Aug 2020 B	40,000,000	2019/12/27	2020/08/17	10.00

# 主板 可收回牛熊證

股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67386	HK Bank - HKEX R Bull CBBC May 2020 I	40,000,000	2019/12/27	2020/05/21	10.00
67385	HK Bank - HKEX R Bear CBBC Jun 2020 C	100,000,000	2019/12/27	2020/06/22	25.00
67366	HK Bank - HSCEI R Bull CBBC Sep 2021 B	50,000,000	2019/12/27	2021/09/29	12.50
67371	HK Bank - HSCEI R Bear CBBC Jul 2020 B	50,000,000	2019/12/27	2020/07/30	12.50
67372	HK Bank - HSI R Bull CBBC Sep 2021 M	100,000,000	2019/12/27	2021/09/29	25.00
67377	HK Bank - HSI R Bull CBBC Sep 2021 R	80,000,000	2019/12/27	2021/09/29	20.00
67379	HK Bank - HSI R Bull CBBC Sep 2021 S	60,000,000	2019/12/27	2021/09/29	15.00
67370	HK Bank - HSI R Bear CBBC Apr 2020 Y	80,000,000	2019/12/27	2020/04/28	20.00
67378	HK Bank - HSI R Bear CBBC Apr 2020 Z	80,000,000	2019/12/27	2020/04/28	20.00
67391	HK Bank - Tencent R Bull CBBC Apr 2020 Y	40,000,000	2019/12/27	2020/04/27	10.00
67389	HK Bank - Tencent R Bull CBBC Jun 2020 M	100,000,000	2019/12/27	2020/06/15	25.00
67358	HK Bank - Tencent R Bear CBBC Apr 2020 O	40,000,000	2019/12/27	2020/04/20	10.00
67394	HK Bank - Tencent R Bear CBBC Jul 2020 E	100,000,000	2019/12/27	2020/07/06	25.00
67407	HK Bank - Xiaomi R Bear CBBC Jun 2020 A	40,000,000	2019/12/27	2020/06/15	10.40
67685	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 C	150,000,000	2019/12/27	2021/10/28	37.50
67678	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 O	150,000,000	2019/12/27	2021/10/28	37.50
67675	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 I	150,000,000	2019/12/27	2022/01/28	37.50
67684	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 K	150,000,000	2019/12/27	2022/01/28	37.50
67691	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 L	150,000,000	2019/12/27	2020/04/28	37.50
67692	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 M	150,000,000	2019/12/27	2020/04/28	37.50
67690	Haitong Int'l Sec - HSI R Bear CBBC May 2020 T	150,000,000	2019/12/27	2020/05/28	37.50
67693	Haitong Int'l Sec - HSI R Bear CBBC May 2020 U	150,000,000	2019/12/27	2020/05/28	37.50
67694	Haitong Int'l Sec - HSI R Bear CBBC May 2020 V	150,000,000	2019/12/27	2020/05/28	38.85
67697	Haitong Int'l Sec - Tencent R Bull CBBC Aug 2020 E	40,000,000	2019/12/27	2020/08/17	10.00
67701	Haitong Int'l Sec - Tencent R Bear CBBC Jul 2020 D	40,000,000	2019/12/27	2020/07/24	10.00
67702	Haitong Int'l Sec - Tencent R Bear CBBC Jul 2020 E	40,000,000	2019/12/27	2020/07/23	13.08
67494	J P Morgan SP BV - HSCEI R Bull CBBC Dec 2021 B	200,000,000	2019/12/27	2021/12/30	50.00
67495	J P Morgan SP BV - HSCEI R Bear CBBC Apr 2020 A	200,000,000	2019/12/27	2020/04/28	50.00
67497	J P Morgan SP BV - HSCEI R Bear CBBC May 2020 A	200,000,000	2019/12/27	2020/05/28	50.00
67478	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 S	200,000,000	2019/12/27	2022/01/28	50.00
67479	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 T	200,000,000	2019/12/27	2022/01/28	50.00
67480	J P Morgan SP BV - HSI R Bull CBBC Jan 2022 U	200,000,000	2019/12/27	2022/01/28	50.00
67464	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 C	200,000,000	2019/12/27	2022/03/30	50.00
67465	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 D	200,000,000	2019/12/27	2022/03/30	50.00
67504	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 E	200,000,000	2019/12/27	2022/03/30	50.00
67485	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/12/27	2020/04/28	50.00
67490	J P Morgan SP BV - HSI R Bear CBBC May 2020 B	200,000,000	2019/12/27	2020/05/28	50.00
67493	J P Morgan SP BV - HSI R Bear CBBC May 2020 C	200,000,000	2019/12/27	2020/05/28	50.00
67500	J P Morgan SP BV - Tencent R Bull CBBC May 2020 L	50,000,000	2019/12/27	2020/05/08	12.50
67501	J P Morgan SP BV - Tencent R Bull CBBC May 2020 M	50,000,000	2019/12/27	2020/05/15	12.50
67502	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 G	50,000,000	2019/12/27	2020/06/12	20.50
67330	Morgan Stanley Asia Products - CSOP A50 ETF R Bull CBBC Jun20B	58,000,000	2019/12/27	2020/06/01	14.50
67340	Morgan Stanley Asia Products - CSOP A50 ETF R Bull CBBC Jul20A	58,000,000	2019/12/27	2020/07/02	14.50
67423	Morgan Stanley Asia Products - HSI R Bear CBBC Apr 2020 C	80,000,000	2019/12/27	2020/04/28	20.00
67341	Morgan Stanley Asia Products - Link REIT R Bull CBBC May20 A	58,000,000	2019/12/27	2020/05/04	14.50

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股份代號	牛熊證	上市時之發行單位	上市日期	到期日	集資金額 (百萬港元)
67604	SG Issuer - AIA R Bull CBBC Jun 2020 A	60,000,000	2019/12/27	2020/06/30	15.00
67616	SG Issuer - China Tower R Bull CBBC Sep 2020 A	120,000,000	2019/12/27	2020/09/30	30.00
67633	SG Issuer - HSI R Bull CBBC May 2021 X	250,000,000	2019/12/27	2021/05/28	62.50
67664	SG Issuer - HSI R Bull CBBC Jul 2021 I	250,000,000	2019/12/27	2021/07/29	62.50
67672	SG Issuer - HSI R Bull CBBC Jul 2021 J	250,000,000	2019/12/27	2021/07/29	62.50
67657	SG Issuer - HSI R Bear CBBC Mar 2020 C	250,000,000	2019/12/27	2020/03/30	62.50
67658	SG Issuer - HSI R Bear CBBC Mar 2020 F	250,000,000	2019/12/27	2020/03/30	62.50
67659	SG Issuer - HSI R Bear CBBC Mar 2020 G	250,000,000	2019/12/27	2020/03/30	62.50
67654	SG Issuer - HSI R Bear CBBC Mar 2020 Q	250,000,000	2019/12/27	2020/03/30	62.50
67663	SG Issuer - HSI R Bear CBBC Apr 2020 C	250,000,000	2019/12/27	2020/04/28	62.50
67656	SG Issuer - HSI R Bear CBBC Apr 2020 T	250,000,000	2019/12/27	2020/04/28	62.50
67626	SG Issuer - Ping An R Bull CBBC Jul 2020 F	100,000,000	2019/12/27	2020/07/31	25.00
67649	SG Issuer - Sunny Optical R Bull CBBC Jun 2020 C	40,000,000	2019/12/27	2020/06/30	10.00
67652	SG Issuer - Tencent R Bull CBBC Apr 2020 K	80,000,000	2019/12/27	2020/04/29	20.00
67673	SG Issuer - Tencent R Bull CBBC Apr 2020 O	80,000,000	2019/12/27	2020/04/28	20.00
67629	SG Issuer - Tencent R Bear CBBC Dec 2020 C	80,000,000	2019/12/27	2020/12/28	20.00
67631	SG Issuer - Tencent R Bear CBBC Dec 2020 D	80,000,000	2019/12/27	2020/12/30	31.20
67653	SG Issuer - Tencent R Bear CBBC Dec 2020 E	300,000,000	2019/12/27	2020/12/31	75.00
67632	SG Issuer - Xiaomi R Bull CBBC Jul 2020 D	60,000,000	2019/12/27	2020/07/30	15.00
67444	UBS AG - HSI R Bull CBBC Feb 2022 E	200,000,000	2019/12/27	2022/02/25	50.00
67448	UBS AG - HSI R Bull CBBC Feb 2022 F	200,000,000	2019/12/27	2022/02/25	50.00
67426	UBS AG - HSI R Bear CBBC Mar 2020 B	200,000,000	2019/12/27	2020/03/30	50.00
67431	UBS AG - HSI R Bear CBBC Mar 2020 H	200,000,000	2019/12/27	2020/03/30	50.00
67440	UBS AG - HSI R Bear CBBC May 2020 A	200,000,000	2019/12/27	2020/05/28	50.00
67450	UBS AG - Tencent R Bull CBBC Mar 2020 H	40,000,000	2019/12/27	2020/03/30	10.00
67459	UBS AG - Tencent R Bull CBBC Jun 2020 F	200,000,000	2019/12/27	2020/06/22	50.00
67462	UBS AG - Tencent R Bear CBBC Mar 2020 E	40,000,000	2019/12/27	2020/03/30	10.00
67463	UBS AG - Tencent R Bear CBBC Jun 2020 E	200,000,000	2019/12/27	2020/06/22	50.00
67602	Bank Vontobel - AIA R Bull CBBC Nov 2020 A	40,000,000	2019/12/27	2020/11/13	10.00
67583	Bank Vontobel - CNOOC R Bull CBBC Dec 2020 B	40,000,000	2019/12/27	2020/12/18	10.00
67592	Bank Vontobel - Sinopec Corp R Bull CBBC Dec 2020 A	40,000,000	2019/12/27	2020/12/11	10.00
67603	Bank Vontobel - CSPC Pharma R Bull CBBC Nov 2020 B	40,000,000	2019/12/27	2020/11/06	10.00
67586	Bank Vontobel - Galaxy Ent R Bear CBBC Dec 2020 A	40,000,000	2019/12/27	2020/12/11	10.00
67590	Bank Vontobel - Galaxy Ent R Bear CBBC Dec 2020 B	40,000,000	2019/12/27	2020/12/11	10.00
67555	Bank Vontobel - HSI R Bull CBBC Jun 2021 C	80,000,000	2019/12/27	2021/06/29	20.00
67581	Bank Vontobel - HSI R Bull CBBC Jul 2021 A	80,000,000	2019/12/27	2021/07/29	20.00
67582	Bank Vontobel - HSI R Bull CBBC Jul 2021 B	80,000,000	2019/12/27	2021/07/29	20.00
67556	Bank Vontobel - HSI R Bear CBBC Jun 2020 J	80,000,000	2019/12/27	2020/06/29	23.20
67557	Bank Vontobel - HSI R Bear CBBC Jun 2020 K	80,000,000	2019/12/27	2020/06/29	24.80
67558	Bank Vontobel - HSI R Bear CBBC Jun 2020 L	80,000,000	2019/12/27	2020/06/29	26.40
67560	Bank Vontobel - HSI R Bear CBBC Jun 2020 M	80,000,000	2019/12/27	2020/06/29	28.80
67584	Bank Vontobel - Sino Biopharm R Bull CBBC Oct 2020 B	40,000,000	2019/12/27	2020/10/16	10.00
67585	Bank Vontobel - Sino Biopharm R Bull CBBC Oct 2020 C	40,000,000	2019/12/27	2020/10/23	10.00
67552	Bank Vontobel - SHK Ppt R Bear CBBC Dec 2020 A	40,000,000	2019/12/27	2020/12/04	10.00
67597	Bank Vontobel - Tencent R Bull CBBC Nov 2020 C	40,000,000	2019/12/27	2020/11/20	10.00



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67594	Bank Vontobel - Tencent R Bear CBBC Dec 2020 A	40,000,000	2019/12/27	2020/12/11	10.00
67756	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 K	150,000,000	2019/12/30	2021/12/30	37.50
67763	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 U	150,000,000	2019/12/30	2020/04/28	37.50
67770	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 V	150,000,000	2019/12/30	2020/04/28	37.50
67774	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 W	150,000,000	2019/12/30	2020/04/28	37.50
67775	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 X	150,000,000	2019/12/30	2020/04/28	37.50
67777	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 Y	150,000,000	2019/12/30	2020/04/28	37.50
67779	BOCI Asia Ltd. - HSI R Bear CBBC Apr 2020 Z	150,000,000	2019/12/30	2020/04/28	37.50
67878	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 W	240,000,000	2019/12/30	2021/12/30	60.00
67868	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 U	250,000,000	2019/12/30	2020/05/28	62.50
67879	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 X	240,000,000	2019/12/30	2020/05/28	60.00
67968	Credit Suisse AG - China Tower R Bull CBBC Dec 2020 A	40,000,000	2019/12/30	2020/12/30	10.00
67955	Credit Suisse AG - HSI R Bull CBBC Oct 2021 O	200,000,000	2019/12/30	2021/10/28	50.00
67948	Credit Suisse AG - HSI R Bull CBBC Nov 2021 S	200,000,000	2019/12/30	2021/11/29	50.00
67965	Credit Suisse AG - HSI R Bear CBBC Mar 2020 M	200,000,000	2019/12/30	2020/03/30	50.00
67964	Credit Suisse AG - HSI R Bear CBBC Apr 2020 V	200,000,000	2019/12/30	2020/04/28	50.00
67969	Credit Suisse AG - HSI R Bear CBBC Jul 2020 H	200,000,000	2019/12/30	2020/07/30	50.00
68053	Goldman Sachs SP (Asia) - CSOP A50 ETF R Bull CBBC May 2020A	60,000,000	2019/12/30	2020/05/28	15.00
68042	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 Q	160,000,000	2019/12/30	2022/10/28	40.00
68047	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 C	160,000,000	2019/12/30	2020/03/30	40.00
68045	Goldman Sachs SP (Asia) - HSI R Bear CBBC Mar 2020 Z	160,000,000	2019/12/30	2020/03/30	40.00
68049	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2020 B	60,000,000	2019/12/30	2020/05/28	15.00
68050	Goldman Sachs SP (Asia) - Tencent R Bull CBBC May 2020 C	60,000,000	2019/12/30	2020/05/28	15.00
68052	Goldman Sachs SP (Asia) - Tencent R Bear CBBC May 2020 A	60,000,000	2019/12/30	2020/05/28	15.00
67738	HK Bank - CNOOC R Bull CBBC Jul 2020 A	40,000,000	2019/12/30	2020/07/13	10.00
67740	HK Bank - HSI R Bull CBBC Sep 2021 I	80,000,000	2019/12/30	2021/09/29	20.00
67753	HK Bank - HSI R Bear CBBC Apr 2020 B	60,000,000	2019/12/30	2020/04/28	15.00
67739	HK Bank - ICBC R Bull CBBC Jul 2020 A	60,000,000	2019/12/30	2020/07/27	15.00
67736	HK Bank - Tencent R Bull CBBC Jun 2020 N	40,000,000	2019/12/30	2020/06/29	10.00
67737	HK Bank - Tencent R Bear CBBC Jun 2020 J	40,000,000	2019/12/30	2020/06/29	10.00
67785	Haitong Int'l Sec - HSI R Bull CBBC Oct 2021 R	150,000,000	2019/12/30	2021/10/28	37.50
67788	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 M	150,000,000	2019/12/30	2022/01/28	37.50
67781	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 U	150,000,000	2019/12/30	2022/01/28	37.50
67784	Haitong Int'l Sec - HSI R Bull CBBC Jan 2022 V	150,000,000	2019/12/30	2022/01/28	37.50
67797	Haitong Int'l Sec - HSI R Bear CBBC Apr 2020 R	150,000,000	2019/12/30	2020/04/28	37.50
67789	Haitong Int'l Sec - HSI R Bear CBBC May 2020 W	150,000,000	2019/12/30	2020/05/28	37.50
67814	Haitong Int'l Sec - Meituan Dianping R Bull CBBC Jun 2020 C	40,000,000	2019/12/30	2020/06/17	10.00
67798	Haitong Int'l Sec - Tencent R Bull CBBC Jul 2020 F	40,000,000	2019/12/30	2020/07/15	10.00
67806	Haitong Int'l Sec - Tencent R Bear CBBC Aug 2020 A	40,000,000	2019/12/30	2020/08/27	10.00
67808	Haitong Int'l Sec - Tencent R Bear CBBC Aug 2020 B	40,000,000	2019/12/30	2020/08/27	10.24
67864	J P Morgan SP BV - CSOP A50 ETF R Bull CBBC Jul 2020 A	80,000,000	2019/12/30	2020/07/10	20.00
67854	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 F	200,000,000	2019/12/30	2022/03/30	50.00
67853	J P Morgan SP BV - HSI R Bull CBBC Sep 2022 A	200,000,000	2019/12/30	2022/09/29	50.00
67855	J P Morgan SP BV - HSI R Bear CBBC May 2020 D	200,000,000	2019/12/30	2020/05/28	50.00
67861	J P Morgan SP BV - HSI R Bear CBBC May 2020 E	200,000,000	2019/12/30	2020/05/28	50.00

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67850	J P Morgan SP BV - Tencent R Bull CBBC Jun 2020 E	50,000,000	2019/12/30	2020/06/12	12.50
67848	Morgan Stanley Asia Products - HSI R Bull CBBC Jan 2022 S	80,000,000	2019/12/30	2022/01/28	20.00
67971	SG Issuer - China Life R Bull CBBC Aug 2020 A	150,000,000	2019/12/30	2020/08/05	37.50
67972	SG Issuer - Geely Auto R Bull CBBC Jul 2020 L	40,000,000	2019/12/30	2020/07/23	10.00
67994	SG Issuer - HKEX R Bear CBBC Jul 2020 A	200,000,000	2019/12/30	2020/07/29	50.00
67995	SG Issuer - HSBC R Bear CBBC Apr 2020 D	100,000,000	2019/12/30	2020/04/29	25.00
67992	SG Issuer - HSCEI R Bull CBBC Dec 2021 A	100,000,000	2019/12/30	2021/12/30	25.00
68017	SG Issuer - HSI R Bull CBBC May 2021 K	250,000,000	2019/12/30	2021/05/28	62.50
68024	SG Issuer - HSI R Bull CBBC May 2021 O	250,000,000	2019/12/30	2021/05/28	62.50
67989	SG Issuer - HSI R Bull CBBC Aug 2021 L	250,000,000	2019/12/30	2021/08/30	62.50
68033	SG Issuer - HSI R Bull CBBC Aug 2021 O	250,000,000	2019/12/30	2021/08/30	62.50
68005	SG Issuer - HSI R Bear CBBC Apr 2020 K	250,000,000	2019/12/30	2020/04/28	62.50
68007	SG Issuer - HSI R Bear CBBC Apr 2020 M	250,000,000	2019/12/30	2020/04/28	62.50
68014	SG Issuer - HSI R Bear CBBC Apr 2020 U	250,000,000	2019/12/30	2020/04/28	62.50
67996	SG Issuer - ICBC R Bear CBBC May 2020 A	100,000,000	2019/12/30	2020/05/20	25.00
67973	SG Issuer - Meituan Dianping R Bull CBBC Jun 2020 F	100,000,000	2019/12/30	2020/06/29	25.00
67977	SG Issuer - Ping An R Bull CBBC Aug 2020 E	100,000,000	2019/12/30	2020/08/05	25.00
67983	SG Issuer - Tencent R Bull CBBC Apr 2020 P	80,000,000	2019/12/30	2020/04/29	20.00
67984	SG Issuer - Tencent R Bull CBBC Jun 2020 E	80,000,000	2019/12/30	2020/06/29	20.00
67988	SG Issuer - Tencent R Bull CBBC Jun 2020 F	80,000,000	2019/12/30	2020/06/30	20.00
68000	SG Issuer - Tencent R Bear CBBC Nov 2020 E	80,000,000	2019/12/30	2020/11/30	20.00
68002	SG Issuer - Xiaomi R Bear CBBC Nov 2020 A	60,000,000	2019/12/30	2020/11/30	18.30
67844	UBS AG - CC Bank R Bull CBBC Jul 2020 A	80,000,000	2019/12/30	2020/07/27	20.00
67818	UBS AG - HSI R Bull CBBC Feb 2022 G	200,000,000	2019/12/30	2022/02/25	50.00
67819	UBS AG - HSI R Bull CBBC Feb 2022 H	200,000,000	2019/12/30	2022/02/25	50.00
67836	UBS AG - HSI R Bull CBBC Feb 2022 I	200,000,000	2019/12/30	2022/02/25	50.00
67841	UBS AG - HSI R Bull CBBC Feb 2022 J	200,000,000	2019/12/30	2022/02/25	50.00
67821	UBS AG - HSI R Bear CBBC Mar 2020 G	200,000,000	2019/12/30	2020/03/30	50.00
67826	UBS AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/12/30	2020/03/30	50.00
67827	UBS AG - HSI R Bear CBBC Mar 2020 V	200,000,000	2019/12/30	2020/03/30	50.00
67834	UBS AG - HSI R Bear CBBC Mar 2020 W	200,000,000	2019/12/30	2020/03/30	50.00
67843	UBS AG - Tencent R Bear CBBC Jun 2020 F	200,000,000	2019/12/30	2020/06/10	50.00
67887	Bank Vontobel - China Tower R Bull CBBC Sep 2020 A	40,000,000	2019/12/30	2020/09/25	10.00
67946	Bank Vontobel - HKEX R Bear CBBC Nov 2020 A	30,000,000	2019/12/30	2020/11/20	14.70
67923	Bank Vontobel - HSI R Bull CBBC Dec 2021 A	80,000,000	2019/12/30	2021/12/30	20.00
67924	Bank Vontobel - HSI R Bull CBBC Dec 2021 B	80,000,000	2019/12/30	2021/12/30	20.00
67935	Bank Vontobel - HSI R Bull CBBC Dec 2021 C	80,000,000	2019/12/30	2021/12/30	20.00
67938	Bank Vontobel - HSI R Bull CBBC Dec 2021 D	80,000,000	2019/12/30	2021/12/30	20.00
67942	Bank Vontobel - HSI R Bull CBBC Dec 2021 E	80,000,000	2019/12/30	2021/12/30	20.00
67920	Bank Vontobel - HSI R Bear CBBC May 2020 A	80,000,000	2019/12/30	2020/05/28	28.80
67916	Bank Vontobel - HSI R Bear CBBC May 2020 B	80,000,000	2019/12/30	2020/05/28	27.20
67900	Bank Vontobel - HSI R Bear CBBC May 2020 X	80,000,000	2019/12/30	2020/05/28	22.40
67901	Bank Vontobel - HSI R Bear CBBC May 2020 Y	80,000,000	2019/12/30	2020/05/28	24.00
67909	Bank Vontobel - HSI R Bear CBBC May 2020 Z	80,000,000	2019/12/30	2020/05/28	25.60
67893	Bank Vontobel - Tencent R Bear CBBC Dec 2020 B	40,000,000	2019/12/30	2020/12/18	10.00

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股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68062	BOCI Asia Ltd. - HSI R Bull CBBC Dec 2021 L	150,000,000	2019/12/31	2021/12/30	37.50
68071	BOCI Asia Ltd. - HSI R Bear CBBC May 2020 A	150,000,000	2019/12/31	2020/05/28	37.50
68073	BOCI Asia Ltd. - HSI R Bear CBBC May 2020 B	150,000,000	2019/12/31	2020/05/28	37.50
68078	BOCI Asia Ltd. - HSI R Bear CBBC May 2020 C	150,000,000	2019/12/31	2020/05/28	37.50
68079	BOCI Asia Ltd. - HSI R Bear CBBC May 2020 D	150,000,000	2019/12/31	2020/05/28	37.50
68084	BOCI Asia Ltd. - Tencent R Bear CBBC Apr 2020 E	150,000,000	2019/12/31	2020/04/29	46.50
68159	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 E	250,000,000	2019/12/31	2021/12/30	62.50
68157	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 X	250,000,000	2019/12/31	2021/12/30	62.50
68158	BNP Paribas Issuance B.V. - HSI R Bull CBBC Dec 2021 Y	250,000,000	2019/12/31	2021/12/30	62.50
68163	BNP Paribas Issuance B.V. - HSI R Bear CBBC May 2020 I	240,000,000	2019/12/31	2020/05/28	60.00
68175	Credit Suisse AG - China Mobile R Bull CBBC Sep 2020 A	70,000,000	2019/12/31	2020/09/29	17.50
68174	Credit Suisse AG - HSI R Bull CBBC Oct 2021 W	200,000,000	2019/12/31	2021/10/28	50.00
68171	Credit Suisse AG - HSI R Bull CBBC Dec 2021 C	200,000,000	2019/12/31	2021/12/30	50.00
68170	Credit Suisse AG - HSI R Bear CBBC Mar 2020 L	200,000,000	2019/12/31	2020/03/30	50.00
68164	Credit Suisse AG - HSI R Bear CBBC Mar 2020 P	200,000,000	2019/12/31	2020/03/30	50.00
68220	Goldman Sachs SP (Asia) - HKEX R Bear CBBC Jun 2020 A	60,000,000	2019/12/31	2020/06/30	15.00
68204	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 B	160,000,000	2019/12/31	2022/10/28	40.00
68205	Goldman Sachs SP (Asia) - HSI R Bull CBBC Oct 2022 M	160,000,000	2019/12/31	2022/10/28	40.00
68203	Goldman Sachs SP (Asia) - HSI R Bear CBBC Apr 2020 J	160,000,000	2019/12/31	2020/04/28	40.00
68211	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 R	60,000,000	2019/12/31	2020/06/30	15.00
68214	Goldman Sachs SP (Asia) - Tencent R Bull CBBC Jun 2020 S	60,000,000	2019/12/31	2020/06/30	15.00
68219	Goldman Sachs SP (Asia) - Tencent R Bear CBBC Dec 2020 A	80,000,000	2019/12/31	2020/12/30	20.00
68098	HK Bank - China Mobile R Bear CBBC Jun 2020 A	40,000,000	2019/12/31	2020/06/22	10.00
68111	HK Bank - CC Bank R Bear CBBC May 2020 A	40,000,000	2019/12/31	2020/05/11	10.00
68107	HK Bank - CPIC R Bull CBBC May 2020 C	40,000,000	2019/12/31	2020/05/04	10.00
68122	HK Bank - HKEX R Bear CBBC Jun 2020 D	100,000,000	2019/12/31	2020/06/15	25.00
68123	HK Bank - HKEX R Bear CBBC Jun 2020 E	40,000,000	2019/12/31	2020/06/01	10.40
68097	HK Bank - HSCEI R Bear CBBC Apr 2020 C	50,000,000	2019/12/31	2020/04/28	12.50
68091	HK Bank - HSCEI R Bear CBBC Jul 2020 C	50,000,000	2019/12/31	2020/07/30	12.50
68086	HK Bank - HSI R Bull CBBC Sep 2021 T	60,000,000	2019/12/31	2021/09/29	15.00
68096	HK Bank - HSI R Bull CBBC Dec 2021 C	100,000,000	2019/12/31	2021/12/30	25.00
68092	HK Bank - HSI R Bear CBBC Jul 2020 W	80,000,000	2019/12/31	2020/07/30	20.00
68099	HK Bank - SHK Ppt R Bear CBBC May 2020 A	40,000,000	2019/12/31	2020/05/04	10.00
68115	HK Bank - SUNAC R Bull CBBC Jul 2020 A	40,000,000	2019/12/31	2020/07/13	10.00
68119	HK Bank - Tencent R Bull CBBC May 2020 A	40,000,000	2019/12/31	2020/05/04	10.00
68120	HK Bank - Tencent R Bear CBBC Jul 2020 F	100,000,000	2019/12/31	2020/07/09	25.00
68185	Haitong Int'l Sec - HSI R Bull CBBC Feb 2022 O	150,000,000	2019/12/31	2022/02/25	37.50
68184	Haitong Int'l Sec - HSI R Bear CBBC May 2020 X	150,000,000	2019/12/31	2020/05/28	37.50
68188	Haitong Int'l Sec - Sunny Optical R Bear CBBC May 2020 C	40,000,000	2019/12/31	2020/05/05	10.00
68180	Haitong Int'l Sec - Tencent R Bear CBBC Aug 2020 C	40,000,000	2019/12/31	2020/08/27	10.00
68181	Haitong Int'l Sec - Tencent R Bear CBBC Aug 2020 D	40,000,000	2019/12/31	2020/08/27	14.32
68143	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 G	200,000,000	2019/12/31	2022/03/30	50.00
68153	J P Morgan SP BV - HSI R Bull CBBC Mar 2022 H	200,000,000	2019/12/31	2022/03/30	50.00
68142	J P Morgan SP BV - HSI R Bull CBBC Sep 2022 B	200,000,000	2019/12/31	2022/09/29	50.00
68149	J P Morgan SP BV - HSI R Bull CBBC Sep 2022 C	200,000,000	2019/12/31	2022/09/29	50.00

# 主板 可收回牛熊證

股份 代號	牛熊證	上市時之 發行單位	上市日期	到期日	集資金額 (百萬港元)
68155	J P Morgan SP BV - HSI R Bull CBBC Sep 2022 D	200,000,000	2019/12/31	2022/09/29	50.00
68124	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 X	200,000,000	2019/12/31	2020/04/28	50.00
68125	J P Morgan SP BV - HSI R Bear CBBC Apr 2020 Y	200,000,000	2019/12/31	2020/04/28	50.00
68127	J P Morgan SP BV - HSI R Bear CBBC May 2020 G	200,000,000	2019/12/31	2020/05/28	50.00
68130	J P Morgan SP BV - HSI R Bear CBBC May 2020 K	200,000,000	2019/12/31	2020/05/28	50.00
68135	J P Morgan SP BV - Meituan Dianping R Bull CBBC Jul 2020 A	60,000,000	2019/12/31	2020/07/10	15.00
68132	J P Morgan SP BV - Ping An R Bull CBBC Jul 2020 B	100,000,000	2019/12/31	2020/07/17	25.00
68137	J P Morgan SP BV - Tencent R Bull CBBC Jun 2020 F	50,000,000	2019/12/31	2020/06/12	12.50
68141	J P Morgan SP BV - Tencent R Bear CBBC Jun 2020 H	50,000,000	2019/12/31	2020/06/19	12.50
68201	Morgan Stanley Asia Products - HSI R Bull CBBC Mar 2022 A	80,000,000	2019/12/31	2022/03/30	20.00
68264	SG Issuer - AIA R Bull CBBC May 2020 C	60,000,000	2019/12/31	2020/05/29	15.00
68226	SG Issuer - China Mobile R Bull CBBC Oct 2020 C	100,000,000	2019/12/31	2020/10/30	25.00
68263	SG Issuer - CSOP A50 ETF R Bull CBBC Jun 2020 A	100,000,000	2019/12/31	2020/06/30	25.00
68229	SG Issuer - Galaxy Ent R Bull CBBC Jun 2020 D	100,000,000	2019/12/31	2020/06/30	25.00
68234	SG Issuer - HKEX R Bull CBBC Jun 2020 E	50,000,000	2019/12/31	2020/06/30	12.50
68258	SG Issuer - HSI R Bull CBBC Jul 2021 F	250,000,000	2019/12/31	2021/07/29	62.50
68259	SG Issuer - HSI R Bull CBBC Aug 2021 V	250,000,000	2019/12/31	2021/08/30	62.50
68255	SG Issuer - HSI R Bear CBBC Apr 2020 G	250,000,000	2019/12/31	2020/04/28	62.50
68251	SG Issuer - HSI R Bear CBBC Apr 2020 X	250,000,000	2019/12/31	2020/04/28	62.50
68252	SG Issuer - HSI R Bear CBBC May 2020 D	250,000,000	2019/12/31	2020/05/28	62.50
68238	SG Issuer - Meituan Dianping R Bull CBBC Oct 2020 A	100,000,000	2019/12/31	2020/10/30	25.00
68245	SG Issuer - Ping An R Bull CBBC Aug 2020 F	100,000,000	2019/12/31	2020/08/07	25.00
68248	SG Issuer - Tencent R Bull CBBC Jun 2020 G	80,000,000	2019/12/31	2020/06/30	20.00
68250	SG Issuer - Tencent R Bull CBBC Jun 2020 H	80,000,000	2019/12/31	2020/06/30	20.00
68194	UBS AG - AIA R Bull CBBC Jun 2020 A	100,000,000	2019/12/31	2020/06/30	25.00
68196	UBS AG - AIA R Bear CBBC Jun 2020 A	100,000,000	2019/12/31	2020/06/30	25.00
68191	UBS AG - HSI R Bull CBBC Feb 2022 K	200,000,000	2019/12/31	2022/02/25	50.00
68189	UBS AG - HSI R Bear CBBC Mar 2020 E	200,000,000	2019/12/31	2020/03/30	50.00
68190	UBS AG - HSI R Bear CBBC Mar 2020 F	200,000,000	2019/12/31	2020/03/30	50.00
68192	UBS AG - HSI R Bear CBBC Mar 2020 I	200,000,000	2019/12/31	2020/03/30	50.00
68060	Bank Vontobel - Tencent R Bull CBBC Nov 2020 D	40,000,000	2019/12/31	2020/11/13	10.00
68061	Bank Vontobel - Tencent R Bull CBBC Dec 2020 B	40,000,000	2019/12/31	2020/12/04	16.00
68059	Bank Vontobel - Tencent R Bear CBBC Nov 2020 D	80,000,000	2019/12/31	2020/11/20	20.00
68055	Bank Vontobel - Tencent R Bear CBBC Dec 2020 C	80,000,000	2019/12/31	2020/12/11	20.00
<b>總額</b>					<b>203,533.52</b>

# 進一步發行

# 預託證券／股票掛鉤票據／非上市可交易股份交易統計

## 預託證券交易統計

		發行數目	成交金額(百萬港元)
2018	第4季	1	28.59
2019	第1季	1	23.02
	第2季	1	29.27
	第3季	1	22.57
	第4季	1	19.94

## 股票掛鉤票據交易統計

		發行數目	成交金額(百萬港元)
2018	第4季	-	-
2019	第1季	-	-
	第2季	-	-
	第3季	-	-
	第4季	-	-

## Nasdaq 股份交易統計

		發行數目	成交金額(百萬港元)
2018	第4季	6	0.29
2019	第1季	6	2.87
	第2季	6	0.07
	第3季	6	0.00
	第4季	6	0.04

## iShares 股份交易統計

		發行數目	成交金額(百萬港元)
2018	第4季	-	-
2019	第1季	-	-
	第2季	-	-
	第3季	-	-
	第4季	-	-

# GEM 股份

## 股份成交 – GEM

		股數(百萬股)	金額(百萬港元)	成交宗數
2018	第4季	73,427.70	19,482.19	864,331
2019	第1季	50,803.56	15,250.68	706,122
	第2季	54,134.73	14,094.16	665,926
	第3季	41,935.73	9,838.81	478,917
	第4季	43,140.55	10,677.20	567,374

## GEM 股份交易統計

		交易日數	每日平均成交金額 (百萬港元)	平均每宗交易 成交金額
2018	第4季	62	314.23	22,540
2019	第1季	60	254.18	21,598
	第2季	59	238.88	21,165
	第3季	65	151.37	20,544
	第4季	62	172.21	18,819

## 20種升幅最大之GEM股份

2019年第4季

順次	股份代號	股份	收市價		升幅
			2019年12月底	2019年9月底	
1	08153	科地農業	0.070	0.020	250.00
2	08109	麒麟集團控股	0.340	0.133	155.64
3	08310	大豐港	0.405	0.165	145.45
4	08069	縱橫遊控股	1.060	0.435	143.68
5	08521	智紡國際控股	0.465	0.194	139.69
6	08431	浩柏國際	0.220	0.110	100.00
7	08401	源想集團	1.110	0.560	98.21
8	08513	迎宏控股	0.600	0.310	93.55
9	08487	ISP GLOBAL	0.850	0.470	80.85
10	08023	廊文記	0.500	0.285	75.44
11	08160	GOLDWAY EDU	0.174	0.111	56.76
12	08186	同仁資源	0.300	0.194	54.64
13	08079	易還財務投資	0.305	0.198	54.04
14	08619	WAC HOLDINGS	3.000	1.990	50.75
15	08412	高門集團	0.195	0.130	50.00
16	08162	港銀控股	0.880	0.590	49.15
17	08148	奧栢中國	0.082	0.055	49.09
18	08168	寶積資本	0.103	0.070	47.14
19	08612	維亮控股	0.880	0.600	46.67
20	08027	吉輝控股	0.032	0.022	45.45

A 經調整



# GEM 股份

## 20種跌幅最大之GEM股份

2019年第4季

順次	股份代號	股份	收市價		跌幅
			2019年12月底	2019年9月底	
1	08022	永耀集團控股	0.029	0.750	-96.13
2	08265	中國之信集團	0.026	0.300	-91.33
3	08173	萬亞企業控股	0.064	0.625 A	-89.76
4	08030	匯聯金融服務	0.102	0.455	-77.58
5	08259	HON CORP	0.112	0.495	-77.37
6	08341	艾碩控股	0.241	0.970	-75.15
7	08103	HMVOD視頻	0.156	0.580	-73.10
8	08301	明華科技	0.062	0.188	-67.02
9	08147	匯思太平洋	0.103	0.280	-63.21
10	08326	同景新能源	0.068	0.165	-58.79
11	08316	柏榮集團控股	0.087	0.211	-58.77
12	08283	正力控股	0.405	0.970	-58.25
13	08383	東駿控股	0.085	0.200	-57.50
14	08245	安悅國際控股	0.020	0.043	-53.49
15	08227	海天天綫	1.890	3.960	-52.27
16	08260	銀合控股	0.180	0.370	-51.35
17	08269	富譽控股	0.100	0.200 A	-50.00
18	08460	基地錦標集團	0.140	0.260	-46.15
19	08280	中國數字視頻	0.054	0.100	-46.00
20	08315	長城匯理	0.072	0.132	-45.45

A 經調整

# GEM 股份

## 20種成交最活躍GEM股份(金額)

2019年第4季

順次	股份代號	股份	成交金額(百萬港元)	佔市場百分比
1	08083	中國有贊	875.73	8.20
2	08418	傲迪瑪汽車	692.03	6.48
3	08627	旅橙文化	406.96	3.81
4	08441	國際永勝集團	378.29	3.54
5	08621	METROPOLIS CAP	370.97	3.47
6	08259	HON CORP	315.73	2.96
7	08103	HMVOD視頻	261.71	2.45
8	08007	環球戰略集團	233.36	2.19
9	08162	港銀控股	223.45	2.09
10	08341	艾碩控股	222.59	2.08
11	08469	盛業資本	202.96	1.90
12	08491	COOL LINK	196.71	1.84
13	08617	永聯豐控股	176.25	1.65
14	08153	科地農業	173.25	1.62
15	08423	CHI HO DEV	173.02	1.62
16	08086	新維國際控股	167.05	1.56
17	08022	永耀集團控股	164.53	1.54
18	08026	朗華國際集團	156.88	1.47
19	08295	中植資本國際	154.63	1.45
20	08645	NOMAD TECH	153.40	1.44
總額			5,699.49	53.38

# GEM 股份

## 20種成交最活躍GEM股份(股數)

2019年第4季

順次	股份代號	股份	成交股數(百萬股)	佔市場百分比
1	08153	科地農業	3,743.84	8.68
2	08022	永耀集團控股	2,680.00	6.21
3	08265	中國之信集團	2,608.40	6.05
4	08083	中國有贊	1,777.34	4.12
5	08086	新維國際控股	1,191.65	2.76
6	08027	吉輝控股	963.28	2.23
7	08007	環球戰略集團	960.79	2.23
8	08621	METROPOLIS CAP	936.56	2.17
9	08418	傲迪瑪汽車	788.32	1.83
10	08627	旅橙文化	787.78	1.83
11	08147	匯思太平洋	783.00	1.82
12	08228	國藝娛樂	758.87	1.76
13	08173	萬亞企業控股	721.19	1.67
14	08259	HON CORP	715.13	1.66
15	08202	匯創控股	672.27	1.56
16	08441	國際永勝集團	639.62	1.48
17	08112	基石金融	569.28	1.32
18	08101	壹家壹品	535.57	1.24
19	08103	HMVOD視頻	535.55	1.24
20	08156	國藥科技股份	527.77	1.22
總數			22,896.19	53.07

# GEM 股份

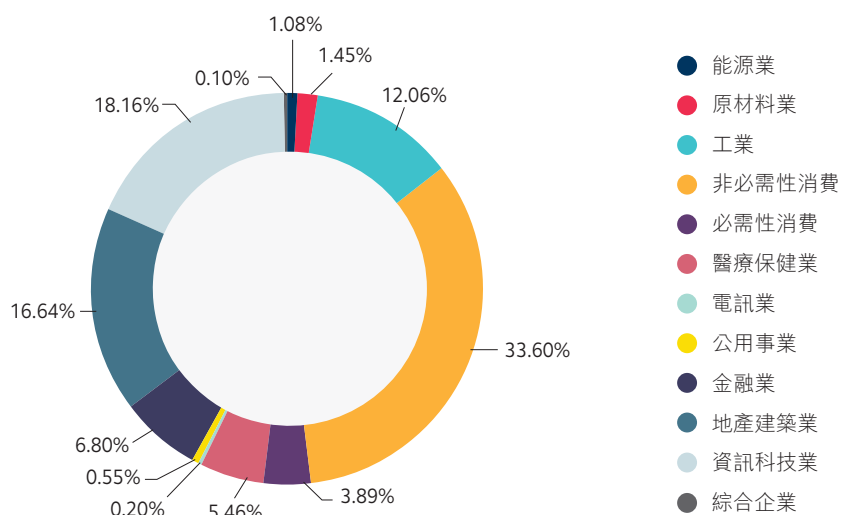
## GEM 公司市價總值

截至季末

		百萬港元
2018	第 4 季	186,183.32
2019	第 1 季	166,890.33
	第 2 季	134,992.16
	第 3 季	121,267.37
	第 4 季	106,699.32

## 恒生行業分類系統之證券市價總值\* – GEM

季末數字



\* 行業分類由恒生指數有限公司提供。

註：新的恒生行業分類系統於2013年9月9日生效。

## 50間市價總值最大之上市公司—GEM

截至2019年第4季季末

順次	股份代號	公司	市價總值(百萬港元)	佔市場總值(%)
1	08083	中國有贊有限公司	7,655.57	7.17
2	08137	洪橋集團有限公司	4,927.27	4.62
3	08032	非凡中國控股有限公司	4,432.17	4.15
4	08279	亞博科技控股有限公司	4,260.40	3.99
5	08619	WAC Holdings Ltd.	2,880.00	2.70
6	08295	中植資本國際有限公司	2,804.89	2.63
7	08516	廣駿集團控股有限公司	1,958.40	1.84
8	08167	中國新電信集團有限公司	1,618.77	1.52
9	08176	超人智能有限公司	1,468.04	1.38
10	08053	比優集團控股有限公司	1,459.08	1.37
11	08170	中國全民國際控股集團有限公司	1,295.28	1.21
12	08037	中國生物科技服務控股有限公司	1,241.35	1.16
13	08227	西安海天天綫科技股份有限公司—H股	1,220.50	1.14
14	08057	麥迪森控股集團有限公司	1,090.47	1.02
15	08418	傲迪瑪汽車集團控股有限公司	1,079.50	1.01
16	08016	長虹佳華控股有限公司	1,061.90	1.00
17	08491	Cool Link (Holdings) Ltd.	1,050.00	0.98
18	08026	朗華國際集團有限公司	1,044.69	0.98
19	08156	國藥科技股份有限公司	1,023.10	0.96
20	08246	中華燃氣控股有限公司	1,021.73	0.96
21	08047	中國海洋捕撈控股有限公司	1,014.23	0.95
22	08039	中國卓銀國際控股有限公司	953.04	0.89
23	08308	古兜控股有限公司	901.60	0.84
24	08328	信義汽車玻璃香港企業有限公司	894.43	0.84
25	08228	國藝娛樂文化集團有限公司	890.67	0.83
26	08283	正力控股有限公司	810.00	0.76
27	08082	太陽娛樂集團有限公司	800.51	0.75
28	08480	飛霓控股有限公司	795.20	0.75
29	08140	人和科技控股有限公司	776.00	0.73
30	08627	旅橙文化控股有限公司	736.00	0.69
31	08313	杰地集團有限公司	690.00	0.65
32	08487	ISP Global Ltd.	680.00	0.64
33	08366	浙江聯合投資控股集團有限公司	646.65	0.61
34	08005	裕興科技投資控股有限公司	642.66	0.60
35	08413	亞洲雜貨有限公司	639.10	0.60
36	08130	大地國際集團有限公司	620.30	0.58
37	08029	太陽國際集團有限公司	618.94	0.58
38	08095	北京北大青島環宇科技股份有限公司—H股	529.40	0.50
39	08612	維亮控股有限公司	528.00	0.49
40	08310	大豐港和順科技股份有限公司	521.64	0.49
41	08041	薈萃國際(控股)有限公司	512.16	0.48
42	08162	港銀控股有限公司	507.03	0.48
43	08206	神通機器人教育集團有限公司	502.36	0.47
44	08178	中國信息科技發展有限公司	480.66	0.45
45	08425	興銘控股有限公司	464.00	0.43
46	08441	國際永勝集團控股有限公司	448.00	0.42
47	08050	量子思維有限公司	434.00	0.41
48	08105	皇崑國際教育企業集團有限公司	432.00	0.40
49	08171	中國趨勢控股有限公司	427.16	0.40
50	08069	縱橫遊控股有限公司	424.00	0.40
<b>總值</b>			<b>63,912.86</b>	<b>59.90</b>
<b>市場總值</b>			<b>106,699.32</b>	<b>100.00</b>

## 新上市統計—GEM 公司

		新上市公司數目	集資金額(百萬港元)
2018	第4季	8	479.54
2019	第1季	5	348.15
	第2季	1	53.00
	第3季	2	154.50
	第4季	7	414.00

## GEM 新上市公司

2019年 第4季

股份代號	公司	上市日期	已發行股數	集資金額 (百萬港元)
08418	傲迪瑪汽車集團控股有限公司	2019/10/11	850,000,000	60.00
08441	國際永勝集團控股有限公司	2019/10/22	800,000,000	64.00
08627	旅橙文化控股有限公司	2019/11/14	800,000,000	55.00
08617	永聯豐集團控股有限公司	2019/11/15	400,000,000	55.00
08208	WMCH Global Investment Ltd.	2019/11/29	600,000,000	60.00
08645	Nomad Technologies Holdings Ltd.	2019/12/09	600,000,000	60.00
08216	豐城控股有限公司	2019/12/13	400,000,000	60.00
<b>總額</b>				<b>414.00</b>

## 除牌上市公司—GEM

2019年 第4季

股份代號	公司	最後買賣日期	除牌日期
08469	盛業資本有限公司*	2019/10/23	2019/10/24 §
08343	宏光照明控股有限公司*	2019/11/12	2019/11/13 §
08278	CMON Ltd. *	2019/11/18	2019/11/19 §
08183	尚捷集團控股有限公司*	2019/12/11	2019/12/12 §
08199	中國萬桐園(控股)有限公司*	2019/12/16	2019/12/17 §
08355	超智能控股有限公司*	2019/12/17	2019/12/18 §
08457	健升物流(中國)控股有限公司*	2019/12/18	2019/12/19 §
08463	TOMO Holdings Ltd. *	2019/12/20	2019/12/23 §
08410	安領國際控股有限公司*	2019/12/23	2019/12/24 §
08522	德益控股有限公司*	2019/12/27	2019/12/30 §
08182	中國糖果控股有限公司	2017/12/11	2019/12/31

\* 原為GEM上市公司，後引用於2008年7月1日生效的《GEM上市規則》經修訂第9.24條以及《主板上市規則》新增第9A章轉至主板上市。

§ 由GEM轉至主板的轉板生效日期。



# GEM 股份

## GEM 公司名稱更改

2019年第4季

股份代號	原有名稱	新名稱	生效日期	採用日期
08287	Zioncom Holdings Ltd. #	百家淘客股份有限公司	2019/10/09	2019/10/15
08163	萬德金融服務集團有限公司	領智金融集團有限公司	2019/09/23	2019/10/24

# 祇改中文名稱

## GEM 紅股／紅利認股權證

2019年第4季

股份代號	公司	詳情	除淨日
無			

## GEM 股份拆細及合併

2019年第4季

股份代號	公司	詳情	生效日期
08269	富譽控股有限公司	4股合併為1股	2019/10/09
08173	萬亞企業控股有限公司	50股合併為1股	2019/10/22

## GEM 供股／公開售股

2019年第4季

月份	股份代號	公司	比率	集資金額 (百萬港元)
11月	08173	萬亞企業控股有限公司	優先發售每持有6股新股份 獲發10股預留股份 每股認購股份供0.19港元 (50股合併為1股後)	21.63
<b>總額</b>				<b>21.63</b>

# GEM 股份

## 股份配售\*

月份	股份代號	公司	配售股份數目	配售價 (港元)	集資金額 (百萬港元)
2019					
10月	08175	中國數碼文化	80,000,000	0.1280	10.24
	08166	中國農業生態	155,420,000	0.0550	8.55
	08153	科地農業	452,000,000	0.0190	8.59
	08293	星亞控股	250,000,000	0.0520	13.00
11月	08265	中國之信集團	300,000,000	0.0500	15.00
	08060	國聯通信	1,175,000,000	0.0348	40.89
	08621	METROPOLIS CAP	160,000,000	0.1620	25.92
	08125	仁德資源	87,000,000	0.0800	6.96
	08173	萬亞企業控股	113,839,925	0.1900	21.63
12月	08128	中國地熱能	250,000,000	0.0800	20.00
	08093	萬星控股	20,000,000	0.2500	5.00
<b>總額</b>					<b>175.78</b>

\* 由於資料呈報之時差，本表所列乃為該季度臨時之股份配售數據。

# GEM 認股權證

## 認股權證交易及市價總值統計—GEM

		數目	成交金額(百萬港元)	市價總值(百萬港元)
2018	第4季	1	0.01	62.83
2019	第1季	1	0.02	62.83
	第2季	1	0.00	62.83
	第3季	1	0.00	62.83
	第4季	1	0.00	62.83

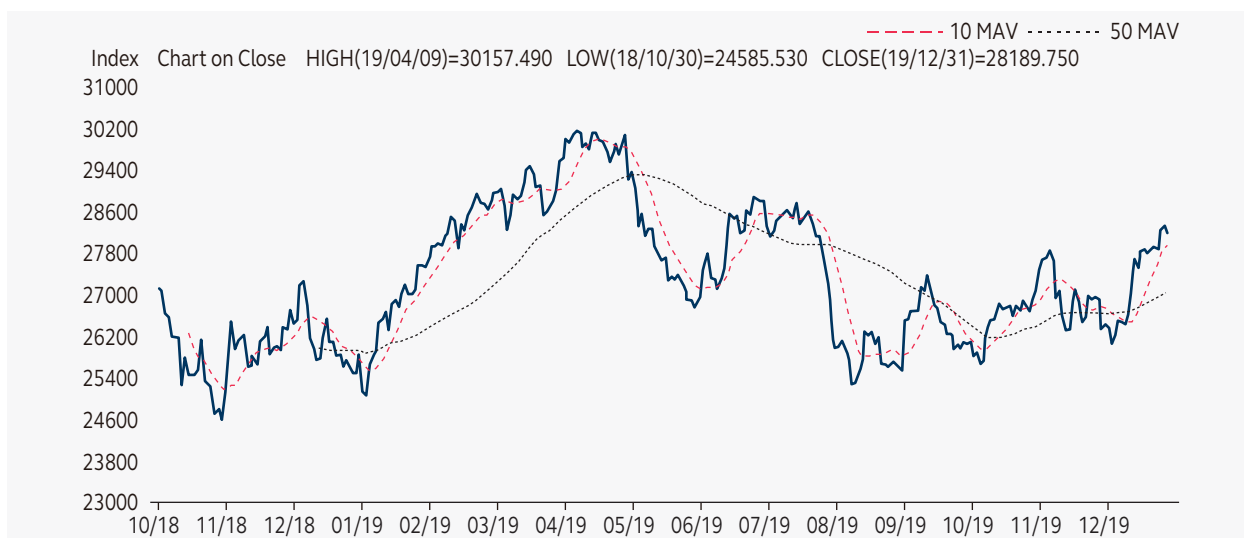
## GEM新上市股份認股權證

2019第4季

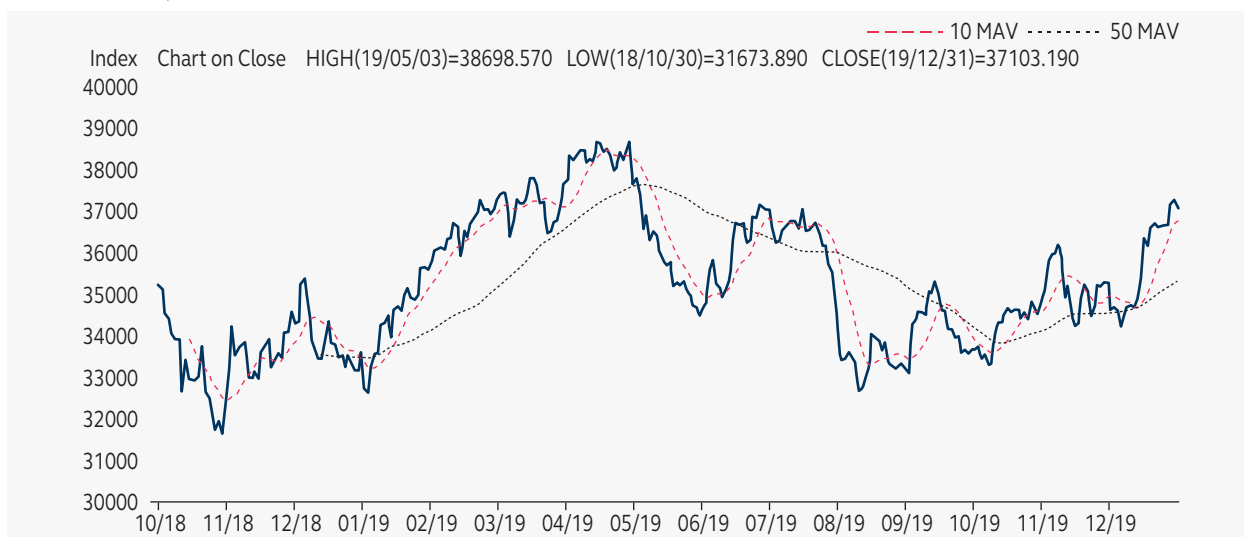
股份代號	股份認股權證	上市時之發行金額(港元)	上市日期	到期日	集資金額(百萬港元)
無					
總額					-

# 股票市場指數

## 恒生指數



## 標準普爾／香港交易所大型股指數



## 標準普爾／香港交易所GEM指數



# 股票市場指數

## 香港股市指數

指數	2019年12月底 收市	2019年9月底 收市	升跌
標準普爾／香港交易所大型股指數	37103.19	33711.41	+ 10.06%
標準普爾／香港交易所GEM指數	79.44	90.50	- 12.22%
恒生指數	28189.75	26092.27	+ 8.04%
分類指數			
金融	39114.61	36394.01	+ 7.48%
公用事業	56584.49	55706.19	+ 1.58%
地產	40190.08	37301.89	+ 7.74%
工商業	16234.26	14785.43	+ 9.80%
恒生香港中資企業指數	4537.76	4147.85	+ 9.40%
恒生中國企業指數	11168.06	10200.97	+ 9.48%

# 股票市場指數

## 世界指數

指數	2019年12月底 收市	2019年9月底 收市	升跌
香港			
標準普爾／香港交易所大型股指數	37103.19	33711.41	+ 10.06%
恒生指數	28189.75	26092.27	+ 8.04%
澳洲			
普通股指數	6802.40	6800.60	+ 0.03%
雅加達			
綜合指數	6299.54	6169.10	+ 2.11%
日本			
日經指數(225)	23656.62	21755.84	+ 8.74%
韓國			
綜合指數	2197.67	2063.05	+ 6.53%
吉隆坡			
綜合指數	1588.76	1583.91	+ 0.31%
馬尼拉			
綜合指數	7815.26	7779.07	+ 0.47%
紐西蘭			
證交所指數(50)	11491.90	10925.62	+ 5.18%
上海			
A股指數	3195.98	3043.35	+ 5.02%
B股指數	256.82	270.67	- 5.12%
深圳			
A股指數	1802.29	1668.45	+ 8.02%
B股指數	961.62	942.52	+ 2.03%
新加坡			
海峽時報指數	3222.83	3119.99	+ 3.30%
台灣			
台北加權股份指數	11997.14	10829.68	+ 10.78%
泰國			
SET指數	1579.84	1637.22	- 3.50%
紐約			
杜瓊斯工業平均指數	28538.44	26916.83	+ 6.02%
Nasdaq綜合指數	8972.60	7999.34	+ 12.17%
多倫多			
S&P/TSX綜合指數	17063.43	16658.63	+ 2.43%
德國			
DAX Kursindex	5909.66	5545.79	+ 6.56%
倫敦			
金融時報100指數	7542.44	7408.21	+ 1.81%



# 衍生產品市場 期貨及期權

## 合約成交量

衍生產品	合約張數	
	2019年第4季	2018年第4季
恒生指數期貨	11,035,508	16,204,377
恒生指數期權	2,775,579	3,392,369
每周恒生指數期權 <sup>1</sup>	237,508	-
小型恒生指數期貨	4,934,747	7,148,037
小型恒生指數期權	827,714	855,445
恒生指數(總股息累計指數)期貨 <sup>2</sup>	44	14
恒生指數(淨股息累計指數)期貨 <sup>3</sup>	40	13
恒生中國企業指數期貨	7,880,717	10,296,311
恒生中國企業指數期權	4,964,442	6,412,434
每周恒生中國企業指數期權 <sup>4</sup>	91,448	-
小型恒生中國企業指數期貨	752,375	1,272,685
小型恒生中國企業指數期權	173,296	143,495
恒生中國企業指數(總股息累計指數)期貨 <sup>5</sup>	10	2
恒生中國企業指數(淨股息累計指數)期貨 <sup>6</sup>	10	2
自訂條款恒生指數期權	0	0
自訂條款恒生中國企業指數期權	0	0
恒指股息點指數期貨	1,255	0
恒生國企股息點指數期貨	143,415	124,338
恒指波幅指數期貨	15	0
MSCI亞洲除日本淨總回報指數期貨	93,680	3,366
中華交易服務中國120指數期貨	11	40
中華交易服務博彩業指數期貨 <sup>7</sup>	0	26
恒生中國內地石油及天然氣指數期貨	3,187	3,467
恒生中國內地銀行指數期貨	2,287	2,319
恒生中國內地醫療保健指數期貨 <sup>8</sup>	0	22
恒生中國內地地產指數期貨	2,076	7,770
恒生資訊科技器材指數期貨 <sup>9</sup>	0	0
恒生軟件服務指數期貨 <sup>10</sup>	0	2
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	167,566	393,577
股票期權	23,442,562	29,028,271
三個月港元利率期貨	1	47
一個月港元利率期貨	8	27
人民幣貨幣期貨—美元兌人民幣(香港)期貨	368,716	400,592
人民幣貨幣期權—美元兌人民幣(香港)期權	2,241	10,757
人民幣貨幣期貨—歐元兌人民幣(香港)期貨	645	4,415
人民幣貨幣期貨—日圓兌人民幣(香港)期貨	511	741
人民幣貨幣期貨—澳元兌人民幣(香港)期貨	450	337
人民幣貨幣期貨—人民幣(香港)兌美元期貨	2,316	5,511
印度盧比貨幣期貨—印度盧比兌美元期貨 <sup>11</sup>	11,130	-
印度盧比貨幣期貨—印度盧比兌人民幣(香港)期貨 <sup>12</sup>	6	-
美元黃金期貨	137,423	94,682
人民幣(香港)黃金期貨	16,859	6,296
鐵礦石期貨—月度合約	5,746	4,408
鐵礦石期貨—季度合約	0	0
人民幣(香港)倫敦鋁期貨小型合約	13	10
人民幣(香港)倫敦鋅期貨小型合約	5	173
人民幣(香港)倫敦銅期貨小型合約	0	6
人民幣(香港)倫敦鉛期貨小型合約	12	0
人民幣(香港)倫敦鎳期貨小型合約	6	6
人民幣(香港)倫敦錫期貨小型合約	0	0
美元倫敦鋁期貨小型合約 <sup>13</sup>	3,916	-
美元倫敦鋅期貨小型合約 <sup>14</sup>	0	-
美元倫敦銅期貨小型合約 <sup>15</sup>	8,656	-
美元倫敦鉛期貨小型合約 <sup>16</sup>	0	-
美元倫敦鎳期貨小型合約 <sup>17</sup>	335	-
美元倫敦錫期貨小型合約 <sup>18</sup>	10	-
<b>總數</b>	<b>58,088,497</b>	<b>75,816,390</b>

## 衍生產品市場 期貨及期權

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- 1 每周恒生指數期權由2019年9月16日開始買賣
- 2 恒生指數(總股息累計指數)期貨由2018年11月05日開始買賣
- 3 恒生指數(淨股息累計指數)期貨由2018年11月05日開始買賣
- 4 每周恒生中國企業指數期權由2019年9月16日開始買賣
- 5 恒生中國企業指數(總股息累計指數)期貨由2018年11月05日開始買賣
- 6 恒生中國企業指數(淨股息累計指數)期貨由2018年11月05日開始買賣
- 7 中華交易服務博彩業指數期貨由2019年12月30日停止買賣
- 8 恒生中國內地醫療保健指數期貨由2019年12月30日停止買賣
- 9 恒生資訊科技器材指數期貨由2019年12月30日停止買賣
- 10 恒生軟件服務指數期貨由2019年12月30日停止買賣
- 11 印度盧比貨幣期貨-印度盧比兌美元期貨由2019年11月04日開始買賣
- 12 印度盧比貨幣期貨-印度盧比兌人民幣(香港)期貨由2019年11月04日開始買賣
- 13 美元倫敦鋁期貨小型合約由2019年8月05日開始買賣
- 14 美元倫敦鋅期貨小型合約由2019年8月05日開始買賣
- 15 美元倫敦銅期貨小型合約由2019年8月05日開始買賣
- 16 美元倫敦鉛期貨小型合約由2019年8月05日開始買賣
- 17 美元倫敦鎳期貨小型合約由2019年8月05日開始買賣
- 18 美元倫敦錫期貨小型合約由2019年8月05日開始買賣

## 衍生產品市場 期貨及期權

### 恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第4季	16,204,377	133,924
2019年	第1季	13,320,506	143,478
	第2季	13,860,691	132,110
	第3季	13,100,989	133,241
	第4季	11,035,508	121,237

### 小型恒生指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第4季	7,148,037	12,587
2019年	第1季	5,641,143	15,429
	第2季	5,779,500	12,239
	第3季	5,815,157	11,387
	第4季	4,934,747	10,635

### 恒生指數期權－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第4季	3,392,369	279,856
2019年	第1季	2,978,149	259,679
	第2季	3,115,323	260,915
	第3季	3,597,803	304,858
	第4季	2,775,579	210,101

### 恒生中國企業指數期貨－合約成交量及未平倉合約(合約張數)

		總合約成交量	季末未平倉合約
2018年	第4季	10,296,311	428,692
2019年	第1季	8,891,576	383,144
	第2季	8,895,438	330,487
	第3季	8,483,368	346,178
	第4季	7,880,717	325,907

### 股票期權市場成交及未平倉合約(合約張數)

		總期權金額 (百萬港元)	總合約成交量	季末未平倉合約
2018年	第4季	26,126.32	29,028,271	7,213,486
2019年	第1季	24,070.13	31,683,747	8,579,783
	第2季	22,663.96	28,792,732	7,893,237
	第3季	16,676.00	24,894,853	7,626,463
	第4季	17,177.74	23,442,562	6,702,538

## 衍生產品市場 期貨及期權

### 各期權類別之期權金總額

2019年第4季

期權類別		期權金額 (百萬港元)	佔市場百分比
安碩 A50	A50	72.70	0.42
瑞聲科技	AAC	381.75	2.22
海螺水泥	ACC	84.03	0.49
友邦保險	AIA	809.53	4.71
中國國航	AIR	8.59	0.05
阿里巴巴	ALB	486.80	2.83
中國鋁業	ALC	1.52	0.01
華夏滬深三百	AMC	125.91	0.73
交通銀行	BCM	7.22	0.04
東亞銀行	BEA	2.62	0.02
中銀香港	BOC	42.39	0.25
百威亞太	BUD	36.37	0.21
比亞迪股份	BYD	64.52	0.38
中國交通建設	CCC	10.09	0.06
中煤能源	CCE	1.14	0.01
中國信達	CDA	2.54	0.01
中廣核電力	CGN	2.31	0.01
中國移動	CHT	334.28	1.95
中國聯通	CHU	47.16	0.27
中信股份	CIT	14.85	0.09
長和	CKH	83.78	0.49
長實集團	CKP	76.58	0.45
中國人壽	CLI	322.63	1.88
中電控股	CLP	31.78	0.19
招商銀行	CMB	114.86	0.67
中國海洋石油	CNC	285.88	1.66
碧桂園	COG	86.99	0.51
中國海外發展	COL	88.66	0.52
國泰航空	CPA	2.55	0.01
中國石油化工股份	CPC	174.87	1.02
中國太保	CPI	124.71	0.73
中國鐵建	CRC	3.98	0.02
中國中鐵	CRG	9.63	0.06
華潤置地	CRL	91.21	0.53
南方 A50	CSA	118.97	0.69
中國神華	CSE	30.36	0.18
石藥集團	CSP	161.96	0.94
中信銀行	CTB	3.95	0.02
中國電信	CTC	7.42	0.04
中信証券	CTS	79.54	0.46
東風集團股份	DFM	4.80	0.03
中國恒大	EVG	112.46	0.65
廣汽集團	GAC	9.72	0.06
吉利汽車	GAH	333.19	1.94
銀河娛樂	GLX	246.63	1.44
長城汽車	GWM	25.29	0.15
海通証券	HAI	46.90	0.27
恒生中國企業	HCF	11.60	0.07
電能實業	HEH	12.36	0.07
香港交易所	HEX	555.91	3.24
恒安國際	HGN	23.58	0.14
匯豐控股	HKB	467.70	2.72
香港中華煤氣	HKG	19.14	0.11
恒基地產	HLD	59.77	0.35
華能國際電力股份	HNP	4.98	0.03
恒生銀行	HSB	60.67	0.35
江西銅業股份	JXC	11.53	0.07
昆侖能源	KLE	12.08	0.07
金山軟件	KSO	126.40	0.74

## 衍生產品市場 期貨及期權

期權類別		期權金額 (百萬港元)	佔市場百份比
聯想集團	LEN	62.38	0.36
利豐	LIF	0.17	0.00
領展房產基金	LNK	158.41	0.92
蒙牛乳業	MEN	35.90	0.21
美團點評-W	MET	1,344.40	7.83
美高梅中國	MGM	1.27	0.01
小米集團-W	MIU	351.60	2.05
民生銀行	MSB	13.53	0.08
港鐵公司	MTR	46.98	0.27
中國建材	NBM	26.11	0.15
新華保險	NCL	72.41	0.42
新世界發展	NWD	20.54	0.12
中國平安	PAI	2,132.75	12.42
中國石油股份	PEC	96.01	0.56
中國財險	PIC	17.65	0.10
中國人民保險集團	PIN	4.26	0.02
富力地產	RFP	3.65	0.02
金沙中國有限公司	SAN	85.53	0.50
新鴻基地產	SHK	407.92	2.37
舜宇光學科技	SNO	455.81	2.65
國藥控股	SNP	18.06	0.11
渣打集團	STC	0.99	0.01
融創中國	SUN	229.03	1.33
太古股份公司A	SWA	19.40	0.11
騰訊控股	TCH	4,234.89	24.65
盈富基金	TRF	2.76	0.02
萬科企業	VNK	13.75	0.08
九龍倉集團	WHL	4.50	0.03
中國旺旺	WWC	6.29	0.04
農業銀行	XAB	41.73	0.24
中國銀行	XBC	77.39	0.45
建設銀行	XCC	319.88	1.86
工商銀行	XIC	186.39	1.09
郵儲銀行	XPB	2.65	0.02
中國鐵塔	XTW	90.96	0.53
兗州煤業股份	YZC	6.58	0.04
紫金礦業	ZJM	3.90	0.02
<b>總額</b>		<b>17,177.74</b>	<b>100.00</b>

# 衍生產品市場 期貨及期權

## 各期權類別之合約成交量

2019年第4季

期權類別		合約成交量	佔市場百分比
安碩 A50	A50	74,244	0.32
瑞聲科技	AAC	215,811	0.92
海螺水泥	ACC	151,981	0.65
友邦保險	AIA	462,161	1.97
中國國航	AIR	13,327	0.06
阿里巴巴	ALB	218,341	0.93
中國鋁業	ALC	8,379	0.04
華夏滬深三百	AMC	102,809	0.44
交通銀行	BCM	61,756	0.26
東亞銀行	BEA	26,683	0.11
中銀香港	BOC	144,405	0.62
百威亞太	BUD	55,262	0.24
比亞迪股份	BYD	117,356	0.50
中國交通建設	CCC	78,817	0.34
中煤能源	CCE	12,622	0.05
中國信達	CDA	3,076	0.01
中廣核電力	CGN	4,686	0.02
中國移動	CHT	445,309	1.90
中國聯通	CHU	97,650	0.42
中信股份	CIT	39,194	0.17
長和	CKH	134,124	0.57
長實集團	CKP	88,112	0.38
中國人壽	CLI	566,278	2.42
中電控股	CLP	62,028	0.26
招商銀行	CMB	313,793	1.34
中國海洋石油	CNC	601,268	2.56
碧桂園	COG	49,247	0.21
中國海外發展	COL	82,293	0.35
國泰航空	CPA	12,250	0.05
中國石油化工股份	CPC	725,677	3.10
中國太保	CPI	154,887	0.66
中國鐵建	CRC	38,373	0.16
中國中鐵	CRG	40,281	0.17
華潤置地	CRL	69,214	0.30
南方 A50	CSA	109,871	0.47
中國神華	CSE	139,268	0.59
石藥集團	CSP	119,249	0.51
中信銀行	CTB	44,413	0.19
中國電信	CTC	33,407	0.14
中信証券	CTS	191,386	0.82
東風集團股份	DFM	10,590	0.05
中國恒大	EVG	100,244	0.43
廣汽集團	GAC	9,273	0.04
吉利汽車	GAH	114,481	0.49
銀河娛樂	GLX	194,544	0.83
長城汽車	GWM	222,102	0.95
海通證券	HAI	98,218	0.42
恒生中國企業	HCF	17,508	0.07
電能實業	HEH	36,735	0.16
香港交易所	HEX	1,025,682	4.38
恒安國際	HGN	34,796	0.15
匯豐控股	HKB	893,736	3.81
香港中華煤氣	HKG	70,838	0.30
恒基地產	HLD	80,289	0.34
華能國際電力股份	HNP	15,862	0.07
恒生銀行	HSB	182,711	0.78
江西銅業股份	JXC	51,169	0.22
昆侖能源	KLE	33,033	0.14
金山軟件	KSO	143,145	0.61



## 衍生產品市場 期貨及期權

期權類別		合約成交量	佔市場百分比
聯想集團	LEN	120,193	0.51
利豐	LIF	1,822	0.01
領展房產基金	LNK	132,056	0.56
蒙牛乳業	MEN	56,128	0.24
美團點評-W	MET	779,979	3.33
美高梅中國	MGM	14,248	0.06
小米集團-W	MIU	1,018,657	4.35
民生銀行	MSB	37,180	0.16
港鐵公司	MTR	138,692	0.59
中國建材	NBM	59,188	0.25
新華保險	NCL	101,274	0.43
新世界發展	NWD	79,816	0.34
中國平安	PAI	1,574,927	6.72
中國石油股份	PEC	359,316	1.53
中國財險	PIC	47,214	0.20
中國人民保險集團	PIN	8,474	0.04
富力地產	RFP	34,658	0.15
金沙中國有限公司	SAN	254,444	1.09
新鴻基地產	SHK	198,861	0.85
舜宇光學科技	SNO	115,042	0.49
國藥控股	SNP	17,924	0.08
渣打集團	STC	19,865	0.08
融創中國	SUN	84,281	0.36
太古股份公司A	SWA	26,686	0.11
騰訊控股	TCH	4,093,680	17.46
盈富基金	TRF	15,913	0.07
萬科企業	VNK	20,865	0.09
九龍倉集團	WHL	9,783	0.04
中國旺旺	WWC	32,002	0.14
農業銀行	XAB	72,401	0.31
中國銀行	XBC	1,042,933	4.45
建設銀行	XCC	2,091,848	8.92
工商銀行	XIC	1,272,960	5.43
郵儲銀行	XPB	9,840	0.04
中國鐵塔	XTW	221,265	0.94
兗州煤業股份	YZC	17,947	0.08
紫金礦業	ZJM	15,956	0.07
<b>總數</b>		<b>23,442,562</b>	<b>100.00</b>

## 結算及交收

### 經中央結算系統處理的交收指示(每日平均數)

(包括待收取及待交付的交收指示)

年/月	交收指示的數量	股數 (10億股)	數值 (10億港元)
2018 第4季	98,625	47.19	225.63
2019 第1季	101,072	47.72	234.62
第2季	99,874	51.17	272.38
第3季	88,236	41.00	216.13
第4季	90,585	39.46	229.70

### 經中央結算系統處理的投資者交收指示(每日平均數)

(投資者交收指示只作單邊計算)

年/月	投資者交收 指示的數量	股數 (百萬股)	數值 (百萬港元)
2018 第4季	214	53.95	187.92
2019 第1季	251	87.13	109.56
第2季	247	344.24	167.32
第3季	221	78.80	210.52
第4季	212	97.81	138.74

### 經中央結算系統存管的股份

(按季度結存統計)

年/月	股數 (10億股)	佔獲納入 中央結算系統的 證券的已發行股數 (%)	市值 (10億港元)	佔獲納入 中央結算系統的 證券的市價總值 (%)
2018 第4季	6,644.42	76.15	18,815.11	58.78
2019 第1季	6,712.97	75.97	21,734.35	60.09
第2季	6,634.14	75.28	21,146.81	60.29
第3季	6,578.06	75.40	19,847.28	60.46
第4季	6,500.57	75.41	22,828.22	56.79

股數及市價總值包括非港元證券

## 結算及交收

### 經中央結算系統處理的聯交所買賣(每日平均數)

(包括以「持續淨額交收」的買賣、「已劃分的買賣」和兩邊客買賣)

年/月	買賣宗數	佔總成交 宗數(%)	股數 (百萬股)	佔成交額 (%)	買賣成交值 (百萬港元)	佔成交額 (%)
2018 第4季	1,444,003	100	244,966.47	100	85,400.12	100
2019 第1季	1,644,627	100	340,802.33	100	100,674.63	100
第2季	1,559,363	100	250,956.59	100	94,382.51	100
第3季	1,350,055	100	207,222.11	100	76,732.85	100
第4季	1,270,877	100	185,033.90	100	76,842.11	100

### 以「持續淨額交收」的買賣的結算效率(每日平均數)

—按待交收股份數額和股數計算

年/月	以「持續淨額交收」 的股份數額宗數	淨額結算 效率 (%)	待交收 的股數 (百萬股)	淨額結算 效率 (%)
2018 第4季	80,124	97.02	27,640.56	94.02
2019 第1季	89,630	97.13	30,306.66	95.46
第2季	82,910	97.18	27,311.43	94.52
第3季	73,520	97.10	22,741.87	94.27
第4季	73,720	96.90	21,764.14	93.96

### 以「持續淨額交收」的股份數額的交收效率(每日平均數)

年/月	交收效率(%)	
	到期交收日	到期交收日翌日
2018 第4季	99.92	99.99
2019 第1季	99.92	99.99
第2季	99.92	99.99
第3季	99.92	99.99
第4季	99.93	99.99

# 結算及交收

## 季末未平倉合約

衍生產品	合約張數	
	2019年第4季	2018年第4季
恒生指數期貨	121,237	133,924
恒生指數期權	210,101	279,856
每周恒生指數期權 <sup>1</sup>	3,157	-
小型恒生指數期貨	10,635	12,587
小型恒生指數期權	17,983	18,777
恒生指數(總股息累計指數)期貨 <sup>2</sup>	12	9
恒生指數(淨股息累計指數)期貨 <sup>3</sup>	10	8
恒生中國企業指數期貨	325,907	428,692
恒生中國企業指數期權	2,088,866	2,309,038
每周恒生中國企業指數期權 <sup>4</sup>	1,592	-
小型恒生中國企業指數期貨	4,214	5,292
小型恒生中國企業指數期權	12,814	5,132
恒生中國企業指數(總股息累計指數)期貨 <sup>5</sup>	0	0
恒生中國企業指數(淨股息累計指數)期貨 <sup>6</sup>	0	0
自訂條款恒生指數期權	0	0
自訂條款恒生中國企業指數期權	150	0
恒指股息點指數期貨	1,899	1,850
恒生國企股息點指數期貨	116,422	125,077
恒指波幅指數期貨	5	0
MSCI亞洲除日本淨總回報指數期貨	23,864	925
中華交易服務中國120指數期貨	3	3
中華交易服務博彩業指數期貨 <sup>7</sup>	-	0
恒生中國內地石油及天然氣指數期貨	42	14
恒生中國內地銀行指數期貨	117	20
恒生中國內地醫療保健指數期貨 <sup>8</sup>	-	0
恒生中國內地地產指數期貨	17	29
恒生資訊科技器材指數期貨 <sup>9</sup>	-	0
恒生軟件服務指數期貨 <sup>10</sup>	-	0
IBOVESPA期貨	0	0
MICEX指數期貨	0	0
FTSE/JSE Top40期貨	0	0
股票期貨	27,277	20,476
股票期權	6,702,538	7,213,486
三個月港元利率期貨	60	272
一個月港元利率期貨	0	0
人民幣貨幣期貨—美元兌人民幣(香港)期貨	23,196	30,797
人民幣貨幣期權—美元兌人民幣(香港)期權	1,643	5,625
人民幣貨幣期貨—歐元兌人民幣(香港)期貨	110	606
人民幣貨幣期貨—日圓兌人民幣(香港)期貨	190	160
人民幣貨幣期貨—澳元兌人民幣(香港)期貨	116	9
人民幣貨幣期貨—人民幣(香港)兌美元期貨	1,024	712
印度盧比貨幣期貨—印度盧比兌美元期貨 <sup>11</sup>	175	-
印度盧比貨幣期貨—印度盧比兌人民幣(香港)期貨 <sup>12</sup>	0	-
美元黃金期貨	1,132	191
人民幣(香港)黃金期貨	5	45
鐵礦石期貨—月度合約	1,685	1,124
鐵礦石期貨—季度合約	0	0
人民幣(香港)倫敦鋁期貨小型合約	0	0
人民幣(香港)倫敦鋅期貨小型合約	1	1
人民幣(香港)倫敦銅期貨小型合約	0	0
人民幣(香港)倫敦鉛期貨小型合約	0	0
人民幣(香港)倫敦鎳期貨小型合約	0	0
人民幣(香港)倫敦錫期貨小型合約	0	0
美元倫敦鋁期貨小型合約 <sup>13</sup>	76	-
美元倫敦鋅期貨小型合約 <sup>14</sup>	0	-
美元倫敦銅期貨小型合約 <sup>15</sup>	262	-

## 結算及交收

衍生產品	合約張數	
	2019年第4季	2018年第4季
美元倫敦鉛期貨小型合約 <sup>16</sup>	0	-
美元倫敦鎳期貨小型合約 <sup>17</sup>	14	-
美元倫敦錫期貨小型合約 <sup>18</sup>	0	-
<b>總數</b>	<b>9,698,551</b>	<b>10,594,737</b>

- 1 每周恒生指數期權由2019年9月16日開始買賣
- 2 恒生指數(總股息累計指數)期貨由2018年11月05日開始買賣
- 3 恒生指數(淨股息累計指數)期貨由2018年11月05日開始買賣
- 4 每周恒生中國企業指數期權由2019年9月16日開始買賣
- 5 恒生中國企業指數(總股息累計指數)期貨由2018年11月05日開始買賣
- 6 恒生中國企業指數(淨股息累計指數)期貨由2018年11月05日開始買賣
- 7 中華交易服務博彩業指數期貨由2019年12月30日停止買賣
- 8 恒生中國內地醫療保健指數期貨由2019年12月30日停止買賣
- 9 恒生資訊科技器材指數期貨由2019年12月30日停止買賣
- 10 恒生軟件服務指數期貨由2019年12月30日停止買賣
- 11 印度盧比貨幣期貨-印度盧比兌美元期貨由2019年11月04日開始買賣
- 12 印度盧比貨幣期貨-印度盧比兌人民幣(香港)期貨由2019年11月04日開始買賣
- 13 美元倫敦鋁期貨小型合約由2019年8月05日開始買賣
- 14 美元倫敦鋅期貨小型合約由2019年8月05日開始買賣
- 15 美元倫敦銅期貨小型合約由2019年8月05日開始買賣
- 16 美元倫敦鉛期貨小型合約由2019年8月05日開始買賣
- 17 美元倫敦鎳期貨小型合約由2019年8月05日開始買賣
- 18 美元倫敦錫期貨小型合約由2019年8月05日開始買賣

# 結算及交收

## 各期權類別之未平倉合約

2019年第4季末

期權類別		未平倉合約	佔市場百分比
安碩A50	A50	18,595	0.28
瑞聲科技	AAC	20,412	0.30
海螺水泥	ACC	20,119	0.30
友邦保險	AIA	76,564	1.14
中國國航	AIR	2,576	0.04
阿里巴巴	ALB	64,578	0.96
中國鋁業	ALC	3,177	0.05
華夏滬深三百	AMC	28,245	0.42
交通銀行	BCM	33,278	0.50
東亞銀行	BEA	8,499	0.13
中銀香港	BOC	36,100	0.54
百威亞太	BUD	13,012	0.19
比亞迪股份	BYD	26,416	0.39
中國交通建設	CCC	26,967	0.40
中煤能源	CCE	4,398	0.07
中國信達	CDA	2,187	0.03
中廣核電力	CGN	1,829	0.03
中國移動	CHT	94,941	1.42
中國聯通	CHU	16,904	0.25
中信股份	CIT	16,633	0.25
長和	CKH	26,324	0.39
長實集團	CKP	14,635	0.22
中國人壽	CLI	140,779	2.10
中電控股	CLP	12,940	0.19
招商銀行	CMB	64,901	0.97
中國海洋石油	CNC	157,095	2.34
碧桂園	COG	12,695	0.19
中國海外發展	COL	14,521	0.22
國泰航空	CPA	1,870	0.03
中國石油化工股份	CPC	176,433	2.63
中國太保	CPI	47,585	0.71
中國鐵建	CRC	11,548	0.17
中國中鐵	CRG	11,885	0.18
華潤置地	CRL	11,144	0.17
南方A50	CSA	22,437	0.33
中國神華	CSE	46,385	0.69
石藥集團	CSP	18,650	0.28
中信銀行	CTB	21,952	0.33
中國電信	CTC	25,655	0.38
中信証券	CTS	47,256	0.71
東風集團股份	DFM	2,524	0.04
中國恒大	EVG	36,849	0.55
廣汽集團	GAC	2,020	0.03



## 結算及交收

期權類別		未平倉合約	佔市場百分比
吉利汽車	GAH	15,385	0.23
銀河娛樂	GLX	33,850	0.51
長城汽車	GWM	92,167	1.38
海通證券	HAI	35,479	0.53
恒生中國企業	HCF	5,828	0.09
電能實業	HEH	7,832	0.12
香港交易所	HEX	219,578	3.28
恒安國際	HGN	7,523	0.11
匯豐控股	HKB	319,422	4.77
香港中華煤氣	HKG	25,570	0.38
恒基地產	HLD	15,202	0.23
華能國際電力股份	HNP	4,871	0.07
恒生銀行	HSB	61,841	0.92
江西銅業股份	JXC	12,522	0.19
昆侖能源	KLE	4,413	0.07
金山軟件	KSO	34,151	0.51
聯想集團	LEN	31,012	0.46
利豐	LIF	1,913	0.03
領展房產基金	LNK	15,955	0.24
蒙牛乳業	MEN	8,063	0.12
美團點評-W	MET	114,567	1.71
美高梅中國	MGM	7,174	0.11
小米集團-W	MIU	237,528	3.54
民生銀行	MSB	18,314	0.27
港鐵公司	MTR	16,129	0.24
中國建材	NBM	14,002	0.21
新華保險	NCL	38,893	0.58
新世界發展	NWD	13,149	0.20
中國平安	PAI	661,536	9.87
中國石油股份	PEC	121,697	1.82
中國財險	PIC	9,286	0.14
中國人民保險集團	PIN	3,660	0.05
富力地產	RFP	13,041	0.19
金沙中國有限公司	SAN	57,319	0.86
新鴻基地產	SHK	21,897	0.33
舜宇光學科技	SNO	11,922	0.18
國藥控股	SNP	5,094	0.08
渣打集團	STC	2,681	0.04
融創中國	SUN	21,034	0.31
太古股份公司A	SWA	5,783	0.09
騰訊控股	TCH	882,864	13.17
盈富基金	TRF	2,961	0.04
萬科企業	VNK	6,011	0.09
九龍倉集團	WHL	2,231	0.03
中國旺旺	WWC	8,239	0.12

## 結算及交收

期權類別		未平倉合約	佔市場百份比
農業銀行	XAB	29,178	0.44
中國銀行	XBC	645,715	9.63
建設銀行	XCC	720,137	10.74
工商銀行	XIC	539,606	8.05
郵儲銀行	XPB	1,528	0.02
中國鐵塔	XTW	62,622	0.93
兗州煤業股份	YZC	4,816	0.07
紫金礦業	ZJM	5,834	0.09
<b>總數</b>		<b>6,702,538</b>	<b>100.00</b>

# 交易所參與者統計

## 聯交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2018	第4季	688 (1084)	640 (1028)	33 (41)	15 (15)
2019	第1季	695 (1090)	646 (1034)	34 (41)	15 (15)
	第2季	706 (1101)	657 (1045)	34 (41)	15 (15)
	第3季	712 (1107)	655 (1040)	42 (52)	15 (15)
	第4季	719 (1110)	658 (1041)	46 (54)	15 (15)

( ) 交易權數目

## 期交所

		交易權 持有人數目	交易所參與者－ 開業	交易所參與者－ 非開業	非交易所參與者
2018	第4季	248 (270)	194 (214)	–	54 (56)
2019	第1季	250 (272)	195 (215)	–	55 (57)
	第2季	250 (272)	194 (214)	–	56 (58)
	第3季	249 (271)	193 (213)	–	56 (58)
	第4季	252 (274)	195 (215)	–	57 (59)

附註：

- (1) 括號內的數字為持有之交易權總數。
- (2) 交易所參與者是指有權在或經交易所進行買賣及已根據《證券及期貨條例》獲發牌經營證券／期貨／期權交易活動之公司。

## 結算所參與者

### 期貨結算所參與者

年／月	結算參與者	全面結算參與者
2018 第4季	162	9
2019 第1季	163	9
第2季	160	9
第3季	159	9
第4季	160	9

### 聯交所期權結算所參與者

年／月	直接結算參與者	全面結算參與者
2018 第4季	63	9
2019 第1季	64	9
第2季	62	9
第3季	64	9
第4季	66	9

### 中央結算所參與者

年／月	直接結算參與者	全面結算參與者	非經紀參與者(*)
2018 第4季	616	9	44
2019 第1季	624	9	44
第2季	633	9	44
第3季	638	9	43
第4季	638	9	42

\* 非經紀參與者包括結算機構參與者、託管商參與者及股份承押人參與者。

## 簡釋

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CBBC	可收回牛熊證
CCASS	中央結算及交收系統
CNS	持續淨額交收
DCASS	衍生產品結算及交收系統
DR	預託證券
DW	衍生權證
ELI	股票掛鉤票據
ETF	交易所買賣基金
HIBOR	香港銀行同業拆息
HKCC	香港期貨結算有限公司
HKEX	香港交易及結算所有限公司
HKSCC	香港中央結算有限公司
HSICS	恒生行業分類系統
ISI	投資者交易指示
L&I Products	槓桿及反向產品
NASDAQ	美國全國證券交易商協會自動報價系統
P/E ratio	市盈率
REITs	房地產投資信託基金
RQFII	人民幣境外合格機構投資者
S&P	標準普爾
SEOCH	香港聯合交易所期權結算所有限公司
SI	交收指示
SS	合訂證券