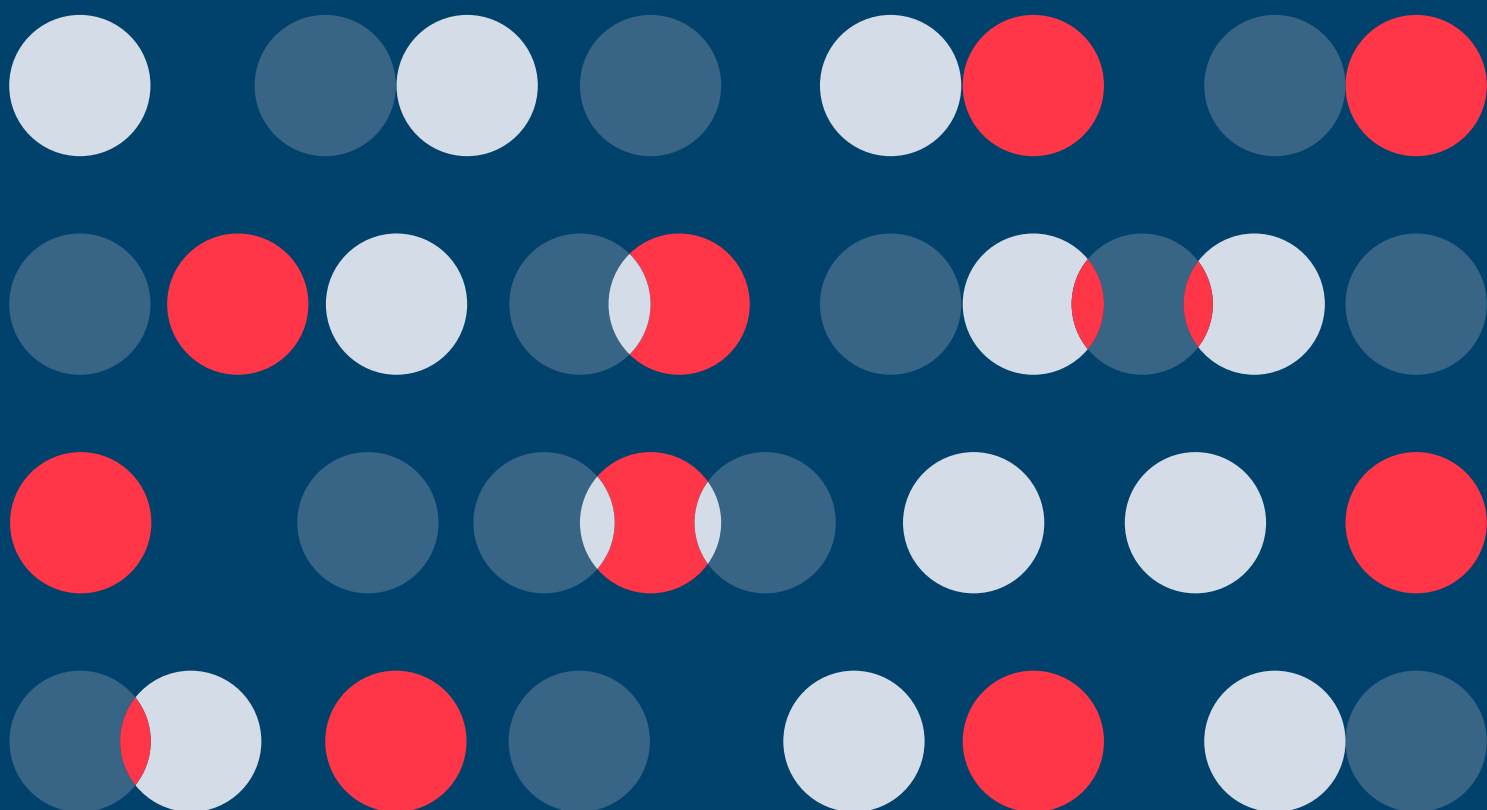


SECURITIES AND DERIVATIVES MARKETS QUARTERLY REPORT

Fourth Quarter 2020



MARKET HIGHLIGHTS

Market Highlights – Main Board

| Highlights | 4th Quarter 2020 | 4th Quarter 2019 |
|--|--------------------|--------------------|
| No. of listed companies | 2,170 | 2,071 |
| No. of listed securities | 16,672 | 12,416 |
| Equities | | |
| Ordinary shares | 2,171 | 2,072 |
| Preference shares | 15 | 15 |
| Warrants | 8,014 | 5,495 |
| Equity | 0 | 2 |
| Derivative | 7,507 | 4,571 |
| Inline | 507 | 922 |
| Equity linked instrument | 0 | 0 |
| Callable bull/bear contracts | 4,739 | 3,298 |
| Unit trusts/mutual funds | 159 | 148 |
| Debt securities | 1,574 | 1,388 |
| No. of trading only stocks | | |
| Nasdaq stocks | 6 | 6 |
| iShares | 0 | 0 |
| No. of trading days | 61 | 62 |
| Total turnover in value (HK\$mil.) * | 8,575,140.05 | 4,770,469.26 |
| Total turnover in shares (mil. shs) | 13,559,751.63 | 11,429,226.50 |
| Total number of trades (deals) | 124,016,773 | 78,268,180 |
| Total market capitalisation (HK\$mil.) | 47,392,196.36 | 38,058,338.10 |
| Average P/E ratio (times) | 17.55 | 13.28 |
| Average dividend yield (%) | 1.98 | 2.73 |
| Number of exchange participants | | |
| – Trading | 635 | 658 |
| – Non-trading | 65 | 46 |
| Turnover in value (HK\$mil.) | | |
| Highest | 267,456.85 (11/11) | 132,993.30 (26/11) |
| Lowest | 86,810.51 (06/10) | 61,697.54 (10/12) |
| Turnover in shares (mil. shs) | | |
| Highest | 335,526.54 (12/10) | 243,230.74 (11/10) |
| Lowest | 149,114.64 (29/12) | 131,863.18 (27/12) |
| Total number of trades (deals) | | |
| Highest | 3,338,937 (11/11) | 1,662,871 (13/12) |
| Lowest | 1,422,039 (06/10) | 1,067,625 (03/10) |

* Included turnover value traded in non-HKD currency securities.

MARKET HIGHLIGHTS

Market Highlights – GEM

| Highlights | 4th Quarter 2020 | 4th Quarter 2019 |
|--|------------------|------------------|
| No. of listed companies | 368 | 378 |
| No. of listed securities | 369 | 379 |
| Equities | 368 | 378 |
| Warrants | 1 | 1 |
| No. of trading days | 61 | 62 |
| Total turnover in value (HK\$mil.) * | 26,081.21 | 10,677.20 |
| Total turnover in shares (mil. shs) | 47,458.05 | 43,141.05 |
| Total number of trades (deals) | 746,779 | 567,378 |
| Total market capitalisation (HK\$mil.) | 130,822.84 | 106,699.32 |
| Average P/E ratio (times) | 29.59 | 22.04 |
| Average dividend yield (%) | 0.51 | 1.79 |
| Turnover in value (HK\$mil.) | | |
| Highest | 2,646.68 (30/11) | 401.13 (22/11) |
| Lowest | 196.17 (16/12) | 79.71 (06/11) |
| Turnover in shares (mil. shs) | | |
| Highest | 1,896.19 (30/11) | 1,241.80 (10/10) |
| Lowest | 454.16 (14/10) | 367.78 (04/10) |
| Total number of trades (deals) | | |
| Highest | 22,154 (10/11) | 19,778 (22/10) |
| Lowest | 8,564 (08/10) | 4,895 (02/10) |

* Included turnover value traded in non-HKD currency securities.

MARKET HIGHLIGHTS

Derivatives Market Highlights

| Highlights | 4th Quarter 2020 | 4th Quarter 2019 |
|---|---------------------|---------------------|
| All futures and options products (excluding stock options) | | |
| No. of trading days | 62 | 62 |
| Total contract volume | 30,704,168 | 34,645,935 |
| Equity index products | 29,845,984 | 33,919,364 |
| Equity products | 296,642 | 167,566 |
| Interest rates products | 7 | 9 |
| RMB Currency products | 458,281 | 374,879 |
| INR Currency products * | 26,645 | 11,136 |
| Gold Futures | 1,036 | 154,282 |
| Silver Futures # | 333 | - |
| Iron Ore Futures | 14,391 | 5,746 |
| London Metal Mini Futures | 60,849 | 12,953 |
| Average daily contract volume | 495,229 | 558,805 |
| Total open interest as at the quarter end (contracts) | 2,318,939 | 2,996,013 |
| Equity index products | 2,263,490 | 2,939,047 |
| Equity products | 22,965 | 27,277 |
| Interest rates products | 15 | 60 |
| RMB Currency products | 30,858 | 26,279 |
| INR Currency products * | 237 | 175 |
| Gold Futures | 68 | 1,137 |
| Silver Futures # | 0 | - |
| Iron Ore Futures | 1,174 | 1,685 |
| London Metal Mini Futures | 132 | 353 |

* Trading in INR Currency products commenced on 4 Nov 2019

Trading in Silver Futures commenced on 8 Jun 2020

| Stock options | | |
|---|------------|------------|
| No. of newly introduced stock options classes | 4 | 1 |
| No. of stock options as at the quarter end | | |
| Class | 107 | 96 |
| Series | 43,798 | 34,652 |
| Total premium (HK\$mil.) | 49,941.46 | 17,177.74 |
| Call | 25,828.20 | 9,529.08 |
| Put | 24,113.26 | 7,648.66 |
| Average daily premium (HK\$mil.) | 805.51 | 277.06 |
| Total contract volume | 33,251,718 | 23,442,562 |
| Call | 18,380,640 | 12,225,114 |
| Put | 14,871,078 | 11,217,448 |
| Average daily contract volume | 536,318 | 378,106 |
| Total open interest as at the quarter end (contracts) | 8,942,852 | 6,702,538 |
| Call | 4,417,371 | 3,247,627 |
| Put | 4,525,481 | 3,454,911 |
| Total number of trades | 1,852,043 | 1,104,599 |
| Average daily number of trades | 29,872 | 17,816 |
| Total contracts exercised | 2,753,533 | 2,442,798 |
| Average premium per contract (HK\$) | 1,501.92 | 732.76 |
| Average contract per trade (contracts) | 18 | 21 |
| Put volume/call volume | 0.81 | 0.92 |
| Average daily contract volume/open interest | 6.0% | 5.6% |

| | | |
|---|------------|------------|
| All futures and options products | | |
| Total contract volume | 63,955,886 | 58,088,497 |
| Total open interest as at the quarter end (contracts) | 11,261,791 | 9,698,551 |

MARKET HIGHLIGHTS

Clearing and Settlement Statistics

| Securities Market | 4th Quarter 2020 | 4th Quarter 2019 |
|---|------------------|------------------|
| Average Daily Exchange Trades Handled by CCASS | | |
| - Number of trades | 2,043,909 | 1,270,877 |
| - Value of trades (HK\$bil.) | 140.6 | 76.8 |
| - Share quantity Involved (bil shares) | 223.1 | 185.0 |
| Average Daily Settlement Instructions ("SIs") Settled by CCASS | | |
| - Number of SIs | 122,869 | 90,585 |
| - Value of SIs (HK\$bil.) | 374.3 | 229.7 |
| - Share quantity involved (bil. Shares) | 47.6 | 39.5 |
| Average Daily Investor SIs ("ISIs") Settled by CCASS | | |
| - Number of ISIs | 274 | 212 |
| - Value of ISIs (HK\$mil.) | 143.2 | 138.7 |
| - Share quantity involved (mil. shares) | 36.2 | 97.8 |
| Average Daily Settlement Efficiency of CNS Stock Positions on Due Day (T+2) (%) | 99.93 | 99.93 |
| Average Daily Settlement Efficiency of CNS Stock Positions on the Day following the Due Day (T+3) (%) | 99.99 | 99.99 |
| Average Daily Buy-ins Executed on T+3 | | |
| - Number of brokers involved | 3 | 2 |
| - Number of buy-ins | 4 | 3 |
| - Value of buy-ins (HK\$mil) | 2.9 | 1.3 |
| Shares Deposited in the CCASS Depository * | | |
| - Number of shares (bil shares) | 6,869.8 | 6,500.6 |
| - Percentage of total issued shares of the admitted securities | 76.84 | 75.41 |
| - Value of shares (HK\$bil) | 30,271.5 | 22,828.2 |
| - Percentage of the total market capitalisation of the admitted securities | 60.92 | 56.79 |

| Derivatives Market | 4th Quarter 2020 | 4th Quarter 2019 |
|--------------------------------------|------------------|------------------|
| Open Interest | | |
| - Equity Index Futures | 566,431 | 604,384 |
| - Stock Futures | 22,965 | 27,277 |
| - Interest Rates Futures | 15 | 60 |
| - RMB Currency Futures | 28,375 | 24,636 |
| - INR Currency Futures # | 237 | 175 |
| - Gold Futures | 68 | 1,137 |
| - Silver Futures ^ | 0 | - |
| - Iron Ore Futures | 1,174 | 1,685 |
| - London Metal Mini Futures | 132 | 353 |
| - Equity Index Options | 1,697,059 | 2,334,663 |
| - Stock Options | 8,942,852 | 6,702,538 |
| - RMB Currency Options | 2,483 | 1,643 |
| Cumulative Stock Options Exercised | | |
| - Share quantity involved (mil. shs) | 2,903 | 2,751 |
| - Value of shares (HK\$bil.) | 84 | 55 |

* Share quantity and market value of securities in non-HKD currency are included

Trading in INR Currency Futures commenced on 4 Nov 2019

^ Trading in Silver Futures commenced on 8 Jun 2020

MAIN BOARD EQUITIES

Equity Turnover

| | | Share (mil. shs) | Value (HK\$ mil.) | No. of deals |
|------|----|---------------------|----------------------|--------------|
| 2019 | Q4 | 563,410.34 | 3,665,234.76 | 63,389,379 |
| 2020 | Q1 | 760,153.23 | 5,843,191.55 | 92,821,917 |
| | Q2 | 659,267.22 | 5,343,917.46 | 85,789,051 |
| | Q3 | 890,513.82 | 7,301,782.10 | 107,726,029 |
| | Q4 | 788,673.56 | 7,133,433.06 | 101,634,694 |

Equity Trading Statistics

| | | No. of trading days | Average daily turnover (HK\$ mil.) | Average value per deal |
|------|----|------------------------|---------------------------------------|---------------------------|
| 2019 | Q4 | 62 | 59,116.69 | 57,821 |
| 2020 | Q1 | 62 | 94,245.02 | 62,951 |
| | Q2 | 60 | 89,065.29 | 62,291 |
| | Q3 | 65 | 112,335.11 | 67,781 |
| | Q4 | 61 | 116,941.53 | 70,187 |

MAIN BOARD EQUITIES

20 Most Advanced Stocks

for 4th quarter 2020

| Rank | Code | Stock | Closing price | | % up |
|------|-------|-----------------|-----------------|-----------------|--------|
| | | | End of Dec 2020 | End of Sep 2020 | |
| 1 | 00305 | WULING MOTORS | 2.260 | 0.330 | 584.85 |
| 2 | 01661 | WISDOM SPORTS | 0.410 | 0.082 | 400.00 |
| 3 | 01134 | KELFRED | 3.260 | 0.670 | 386.57 |
| 4 | 01365 | RUNDONG AUTO | 0.740 | 0.165 | 348.48 |
| 5 | 02399 | FORDOO | 3.380 | 0.820 | 312.20 |
| 6 | 00508 | DINGYI GP INV | 0.130 | 0.034 | 282.35 |
| 7 | 03800 | GCL-POLY ENERGY | 1.230 | 0.325 | 278.46 |
| 8 | 00767 | APSR INV | 0.390 | 0.106 | 267.92 |
| 9 | 00712 | COMTEC SOLAR | 0.350 | 0.097 | 260.82 |
| 10 | 00524 | GREAT WALL B&R | 0.380 | 0.108 | 251.85 |
| 11 | 01250 | BE CLEAN ENERGY | 0.131 | 0.038 | 244.74 |
| 12 | 03738 | VOBILE GROUP | 17.500 | 5.200 | 236.54 |
| 13 | 00558 | LK TECH | 1.640 | 0.495 | 231.31 |
| 14 | 00235 | CHINA STRATEGIC | 0.250 | 0.076 | 228.95 |
| 15 | 01108 | LUOYANG GLASS | 8.160 | 2.500 | 226.40 |
| 16 | 01709 | DL HOLDINGS GP | 2.600 | 0.860 | 202.33 |
| 17 | 00657 | G-VISION INT'L | 0.101 | 0.035 | 188.57 |
| 18 | 00065 | GRAND OCEAN AR | 0.770 | 0.270 | 185.19 |
| 19 | 00730 | SHOUGANG GRAND | 0.305 | 0.107 | 185.05 |
| 20 | 00975 | MONGOL MINING | 1.220 | 0.430 | 183.72 |

A Adjusted

20 Most Declined Stocks

for 4th quarter 2020

| Rank | Code | Stock | Closing price | | % down |
|------|-------|-----------------|-----------------|-----------------|--------|
| | | | End of Dec 2020 | End of Sep 2020 | |
| 1 | 02699 | XINMING CHINA | 0.092 | 1.020 | -90.98 |
| 2 | 01408 | MACAU E&M | 0.490 | 3.600 | -86.39 |
| 3 | 01429 | SKYMISSION GP | 0.156 | 1.010 | -84.55 |
| 4 | 00007 | HK FINANCE INV | 0.101 | 0.600 | -83.17 |
| 5 | 06128 | EARTHASIA | 0.830 | 4.180 | -80.14 |
| 6 | 01683 | HOPE LIFE INT | 0.260 | 1.200 | -78.33 |
| 7 | 00804 | PINESTONE | 0.024 | 0.098 | -75.51 |
| 8 | 01679 | RISECOMM GP | 0.227 | 0.920 | -75.33 |
| 9 | 01727 | HEBEI CONS | 4.230 | 14.860 | -71.53 |
| 10 | 00530 | GOLDIN FIN HOLD | 0.320 | 1.010 | -68.32 |
| 11 | 00996 | CARNIVAL GROUP | 0.094 | 0.250 | -62.40 |
| 12 | 01160 | YOUTH CHAMP | 0.720 | 1.850 | -61.08 |
| 13 | 00432 | PCPD | 0.820 | 2.030 | -59.61 |
| 14 | 01191 | CHINA GEM HLDGS | 0.012 | 0.028 | -57.14 |
| 15 | 01743 | CANGNAN INST | 17.340 | 38.050 | -54.43 |
| 16 | 03938 | LFG INV HLDGS | 0.270 | 0.590 | -54.24 |
| 17 | 01372 | BISU TECH | 1.060 | 2.220 | -52.25 |
| 18 | 01693 | BGMC | 0.034 | 0.070 | -51.43 |
| 19 | 00875 | CHINA FIN INV | 0.950 | 1.950 | -51.28 |
| 20 | 01657 | SG GROUP HLDGS | 2.840 | 5.800 | -51.03 |

A Adjusted

MAIN BOARD EQUITIES

20 Most Active Stocks by Value

for 4th quarter 2020

| Rank | Code | Stock | Turnover (HK\$ mil.) | % of total |
|--------------|-------|-----------------|----------------------|--------------|
| 1 | 00700 | TENCENT | 673,571.84 | 9.44 |
| 2 | 09988 | BABA-SW | 475,963.57 | 6.67 |
| 3 | 03690 | MEITUAN-W | 411,996.31 | 5.78 |
| 4 | 01810 | XIAOMI-W | 329,546.09 | 4.62 |
| 5 | 02318 | PING AN | 181,888.07 | 2.55 |
| 6 | 01211 | BYD COMPANY | 141,305.19 | 1.98 |
| 7 | 00939 | CCB | 133,230.30 | 1.87 |
| 8 | 09618 | JD-SW | 124,967.41 | 1.75 |
| 9 | 01299 | AIA | 114,983.88 | 1.61 |
| 10 | 00175 | GEELY AUTO | 114,684.98 | 1.61 |
| 11 | 00388 | HKEX | 108,836.22 | 1.53 |
| 12 | 00981 | SMIC | 104,547.43 | 1.47 |
| 13 | 00941 | CHINA MOBILE | 99,703.79 | 1.40 |
| 14 | 00005 | HSBC HOLDINGS | 89,909.43 | 1.26 |
| 15 | 01398 | ICBC | 86,164.86 | 1.21 |
| 16 | 00883 | CNOOC | 78,707.18 | 1.10 |
| 17 | 02269 | WUXI BIO | 61,345.98 | 0.86 |
| 18 | 06618 | JD HEALTH | 60,207.79 | 0.84 |
| 19 | 02382 | SUNNY OPTICAL | 60,097.36 | 0.84 |
| 20 | 02333 | GREATWALL MOTOR | 54,040.89 | 0.76 |
| Total | | | 3,505,698.54 | 49.14 |

20 Most Active Stock by Shares

for 4th quarter 2020

| Rank | Code | Stock | Turnover (mil. shs) | % of total |
|--------------|-------|-----------------|---------------------|--------------|
| 1 | 03800 | GCL-POLY ENERGY | 41,524.63 | 5.27 |
| 2 | 00788 | CHINA TOWER | 24,130.26 | 3.06 |
| 3 | 00939 | CCB | 23,128.71 | 2.93 |
| 4 | 01398 | ICBC | 18,468.55 | 2.34 |
| 5 | 03988 | BANK OF CHINA | 16,698.66 | 2.12 |
| 6 | 00136 | HENG TEN NET | 16,049.34 | 2.03 |
| 7 | 01810 | XIAOMI-W | 12,848.75 | 1.63 |
| 8 | 00451 | GCL NEWENERGY | 11,656.53 | 1.48 |
| 9 | 01250 | BE CLEAN ENERGY | 11,205.92 | 1.42 |
| 10 | 00883 | CNOOC | 10,442.49 | 1.32 |
| 11 | 00386 | SINOPEC CORP | 7,884.38 | 1.00 |
| 12 | 00007 | HK FINANCE INV | 6,691.93 | 0.85 |
| 13 | 00857 | PETROCHINA | 6,331.48 | 0.80 |
| 14 | 00728 | CHINA TELECOM | 6,321.10 | 0.80 |
| 15 | 00707 | ATV HOLDINGS | 6,284.02 | 0.80 |
| 16 | 01269 | FIRST CAP GP | 6,232.72 | 0.79 |
| 17 | 00235 | CHINA STRATEGIC | 6,047.97 | 0.77 |
| 18 | 00305 | WULING MOTORS | 6,045.74 | 0.77 |
| 19 | 01288 | ABC | 5,852.00 | 0.74 |
| 20 | 00175 | GEELY AUTO | 5,589.16 | 0.71 |
| Total | | | 249,434.33 | 31.63 |

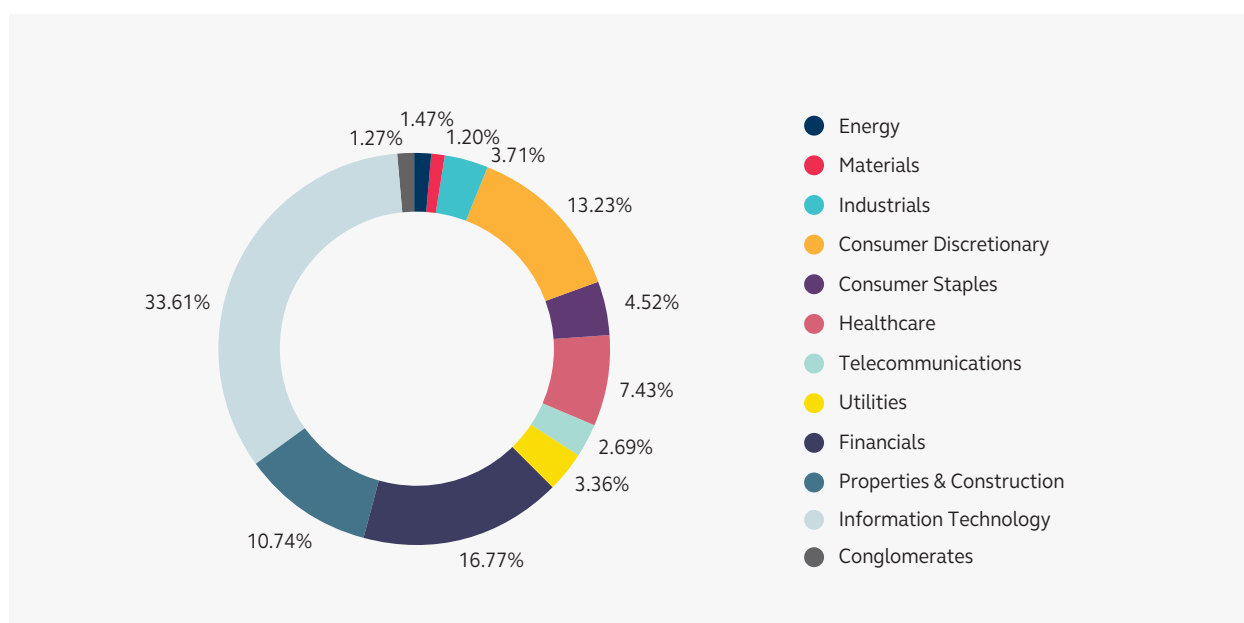
MAIN BOARD EQUITIES

Market Capitalisation of Listed Companies for Main Board

| | | HK\$ mil.. |
|------|----|---------------|
| 2019 | Q4 | 38,058,338.10 |
| 2020 | Q1 | 32,706,395.63 |
| | Q2 | 37,802,933.53 |
| | Q3 | 41,530,331.01 |
| | Q4 | 47,392,196.36 |

Market Capitalisation by Hang Seng Industry Classification System * - Main Board

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2019.

MAIN BOARD EQUITIES

50 Leading Companies by Market Capitalisation

as at the 4th quarter end 2020

| Rank | Code | Company | Market capitalisation | | |
|---------------------|-------|---|-----------------------|-------------------|------|
| | | | (HK\$ mil.) | % of market total | |
| 1 | 00700 | Tencent Holdings Ltd. | 5,409,700.37 | 11.41 | |
| 2 | 09988 | Alibaba Group Holding Ltd. – SW | 5,034,648.59 | 10.62 | |
| 3 | 03690 | Meituan – W | 1,733,416.04 | 3.66 | |
| 4 | 00939 | China Construction Bank Corporation – H Shares | 1,416,058.01 | 2.99 | |
| 5 | 01299 | AIA Group Ltd. | 1,148,972.59 | 2.42 | |
| 6 | 09618 | JD.com, Inc. – SW | 1,070,389.49 | 2.26 | |
| 7 | 00941 | China Mobile Ltd. | 905,016.34 | 1.91 | |
| 8 | 00005 | HSBC Holdings plc | 843,260.80 | 1.78 | |
| 9 | 01810 | Xiaomi Corporation – W | 836,168.39 | 1.76 | |
| 10 | 02318 | Ping An Insurance (Group) Co. of China, Ltd. – H Shares | 707,519.81 | 1.49 | |
| 11 | 00388 | Hong Kong Exchanges and Clearing Ltd. | 538,830.68 | 1.14 | |
| 12 | 09999 | NetEase, Inc. – S | 509,975.34 | 1.08 | |
| 13 | 06618 | JD Health International Inc. | 477,655.07 | 1.01 | |
| 14 | 01398 | Industrial and Commercial Bank of China Ltd. – H Shares | 436,574.04 | 0.92 | |
| 15 | 02269 | WuXi Biologics (Cayman) Inc. | 419,647.74 | 0.89 | |
| 16 | 02378 | Prudential plc * | – | 375,244.62 | N.A. |
| 17 | 06969 | Smooere International Holdings Ltd. | 350,779.94 | 0.74 | |
| 18 | 01876 | Budweiser Brewing Co. APAC Ltd. | 339,030.96 | 0.72 | |
| 19 | 02020 | ANTA Sports Products Ltd. | 332,239.13 | 0.70 | |
| 20 | 00883 | CNOOC Ltd. | 320,568.73 | 0.68 | |
| 21 | 06862 | Haidilao International Holding Ltd. | 316,410.00 | 0.67 | |
| 22 | 00241 | Alibaba Health Information Technology Ltd. | 308,098.31 | 0.65 | |
| 23 | 00016 | Sun Hung Kai Properties Ltd. | 289,778.03 | 0.61 | |
| 24 | 09633 | Nongfu Spring Co., Ltd. – H Shares | 276,403.19 | 0.58 | |
| 25 | 01928 | Sands China Ltd. | 275,460.72 | 0.58 | |
| 26 | 00960 | Longfor Group Holdings Ltd. | 275,047.37 | 0.58 | |
| 27 | 00066 | MTR Corporation Ltd. | 267,926.92 | 0.57 | |
| 28 | 00708 | China Evergrande New Energy Vehicle Group Ltd. | 266,260.72 | 0.56 | |
| 29 | 00945 | Manulife Financial Corporation – S * | – | 265,414.67 | N.A. |
| 30 | 00027 | Galaxy Entertainment Group Ltd. | 261,714.25 | 0.55 | |
| 31 | 00175 | Geely Automobile Holdings Ltd. | 260,128.68 | 0.55 | |
| 32 | 00011 | Hang Seng Bank Ltd. | 255,613.37 | 0.54 | |
| 33 | 02388 | BOC Hong Kong (Holdings) Ltd. | 248,460.34 | 0.52 | |
| 34 | 09901 | New Oriental Education & Technology Group Inc. – S | 236,870.91 | 0.50 | |
| 35 | 02007 | Country Garden Holdings Co. Ltd. | 236,219.58 | 0.50 | |
| 36 | 00291 | China Resources Beer (Holdings) Co. Ltd. | 231,634.23 | 0.49 | |
| 37 | 02313 | Shenzhou International Group Holdings Ltd. | 228,489.80 | 0.48 | |
| 38 | 01109 | China Resources Land Ltd. | 228,190.07 | 0.48 | |
| 39 | 03968 | China Merchants Bank Co., Ltd. – H Shares | 224,954.16 | 0.47 | |
| 40 | 03692 | Hansoh Pharmaceutical Group Co. Ltd. | 222,554.07 | 0.47 | |
| 41 | 03988 | Bank of China Ltd. – H Shares | 221,599.03 | 0.47 | |
| 42 | 00001 | CK Hutchison Holdings Ltd. | 208,622.61 | 0.44 | |
| 43 | 00003 | Hong Kong and China Gas Co. Ltd., The | 205,791.71 | 0.43 | |
| 44 | 00669 | Techtronic Industries Co. Ltd. | 202,687.82 | 0.43 | |
| 45 | 03333 | China Evergrande Group | 197,265.35 | 0.42 | |
| 46 | 02057 | ZTO Express (Cayman) Inc. – SW | 193,298.05 | 0.41 | |
| 47 | 09987 | Yum China Holdings, Inc. – S | 186,334.70 | 0.39 | |
| 48 | 02382 | Sunny Optical Technology (Group) Co. Ltd. | 186,135.39 | 0.39 | |
| 49 | 01211 | BYD Co. Ltd. – H Shares | 185,928.00 | 0.39 | |
| 50 | 06160 | BeiGene, Ltd. | 185,586.74 | 0.39 | |
| Total | | | 29,713,916.17 | 62.70 | |
| Market Total | | | 47,392,196.36 | 100.00 | |

Notes:

* not included in Market Total

MAIN BOARD EQUITIES

Short Selling (value)

| | | Short selling turnover (HK\$ mil.) | Market turnover (HK\$ mil.) * | % of market total |
|------|----|------------------------------------|-------------------------------|-------------------|
| 2019 | Q4 | 682,349.40 | 4,781,146.46 | 14.27 |
| 2020 | Q1 | 1,112,964.87 | 7,498,539.15 | 14.84 |
| | Q2 | 966,888.71 | 6,841,267.89 | 14.13 |
| | Q3 | 1,198,238.46 | 9,169,119.59 | 13.07 |
| | Q4 | 1,099,700.46 | 8,601,221.26 | 12.79 |

* Included Market total for Main Board and GEM

Short Selling (share)

| | | Short selling turnover (mil. shs) | Market turnover (mil. shs) * | % of market total |
|------|----|-----------------------------------|------------------------------|-------------------|
| 2019 | Q4 | 56,995.38 | 11,472,367.54 | 0.50 |
| 2020 | Q1 | 83,289.61 | 12,608,920.66 | 0.66 |
| | Q2 | 68,573.75 | 12,209,730.73 | 0.56 |
| | Q3 | 80,723.55 | 16,289,666.39 | 0.50 |
| | Q4 | 76,988.65 | 13,607,209.69 | 0.57 |

* Included Market total for Main Board and GEM

New Listing Companies Statistics

| | | No. of newly listed companies | No. of companies transferred from GEM * | Funds raised (HK\$ mil.) # |
|------|----|-------------------------------|---|----------------------------|
| 2019 | Q4 | 58 | 10 | 179,963.81 |
| 2020 | Q1 | 35 | 2 | 14,316.03 |
| | Q2 | 19 | 3 | 78,206.06 |
| | Q3 | 38 | 0 | 122,965.41 |
| | Q4 | 46 | 3 | 183,893.56 |

* Included only the transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008

All funds raised are attributed to the initial public offers of newly listed companies

MAIN BOARD EQUITIES

Newly Listed Companies

for 4th quarter 2020

| Code | Company | Listing date | No. of issued shares | Funds raised (HK\$ mil.) |
|-------|--|--------------|----------------------|--------------------------|
| 02102 | Tak Lee Machinery Holdings Ltd.* | 2020/10/06 | 1,000,000,000 | - |
| 06998 | JHBP (CY) Holdings Ltd. - B | 2020/10/07 | 491,030,371 | 3,112.40 |
| 01952 | Everest Medicines Ltd. - B | 2020/10/09 | 293,222,389 | 4,019.35 |
| 09677 | Weihai City Commercial Bank Co., Ltd. - H Shares | 2020/10/12 | 1,008,861,000 | 3,379.68 |
| 02115 | Channel Micron Holdings Co. Ltd. | 2020/10/15 | 1,400,000,000 | 126.00 |
| 02130 | CN Logistics International Holdings Ltd. | 2020/10/15 | 250,000,000 | 142.84 |
| 06989 | Excellence Commercial Property & Facilities Mgt Group Ltd. | 2020/10/19 | 1,222,490,000 | 3,444.19 |
| 01597 | China Nature Energy Technology Holdings Ltd. | 2020/10/20 | 250,000,000 | 125.00 |
| 02132 | Landrich Holding Ltd. | 2020/10/20 | 1,600,000,000 | 130.00 |
| 02107 | First Service Holding Ltd. | 2020/10/22 | 1,000,000,000 | 600.00 |
| 02169 | Canggang Railway Ltd. | 2020/10/23 | 1,000,000,000 | 237.50 |
| 02096 | Simcere Pharmaceutical Group Ltd. | 2020/10/27 | 2,608,641,618 | 3,610.28 |
| 09993 | Radiance Holdings (Group) Co. Ltd. | 2020/10/29 | 4,045,227,000 | 2,774.48 |
| 03913 | KWG Living Group Holdings Ltd. | 2020/10/30 | 2,017,810,233 | 3,024.90 |
| 00873 | Shimao Services Holdings Ltd. | 2020/10/30 | 2,363,973,000 | 9,947.83 |
| 09698 | GDS Holdings Ltd. - SW | 2020/11/02 | 1,495,179,155 | 14,881.92 |
| 02126 | JW (Cayman) Therapeutics Co. Ltd - B | 2020/11/03 | 387,905,729 | 2,604.23 |
| 09963 | Transtech Optelecom Science Holdings Ltd.* | 2020/11/05 | 260,000,000 | - |
| 09901 | New Oriental Education & Technology Group Inc. - S | 2020/11/09 | 170,165,887 | 11,645.94 |
| 09995 | RemeGen Co., Ltd. - B - H Shares | 2020/11/09 | 118,348,877 | 4,585.71 |
| 01351 | Bright Future Technology Holdings Ltd. | 2020/11/11 | 500,000,000 | 137.50 |
| 09666 | Jinke Smart Services Group Co., Ltd. - H Shares | 2020/11/17 | 152,848,100 | 6,832.31 |
| 06900 | Sunkwan Properties Group Ltd. | 2020/11/17 | 2,072,940,000 | 1,306.30 |
| 02599 | Shinsun Holdings (Group) Co., Ltd. | 2020/11/18 | 3,043,403,000 | 3,596.62 |
| 01795 | Yadong Group Holdings Ltd. | 2020/11/18 | 600,000,000 | 126.00 |
| 01516 | Sunac Services Holdings Ltd. | 2020/11/19 | 3,103,500,000 | 9,204.60 |
| 06996 | Antengene Corporation Ltd. - B | 2020/11/20 | 671,180,644 | 2,841.02 |
| 06666 | Evergrande Property Services Group Ltd. | 2020/12/02 | 10,810,811,000 | 14,270.27 |
| 02110 | Yue Kan Holdings Ltd. | 2020/12/07 | 2,224,000,000 | 125.10 |
| 06618 | JD Health International Inc. | 2020/12/08 | 3,184,367,111 | 30,997.68 |
| 01209 | China Resources Mixc Lifestyle Services Ltd. | 2020/12/09 | 2,282,500,000 | 14,104.75 |
| 01153 | Jiayuan Services Holdings Ltd. | 2020/12/09 | 611,709,000 | 624.20 |
| 02142 | HBM Holdings Ltd. - B | 2020/12/10 | 767,891,160 | 1,711.18 |
| 06999 | Leading Holdings Group Ltd. | 2020/12/10 | 1,026,945,000 | 1,575.82 |
| 02117 | Datang Group Holdings Ltd. | 2020/12/11 | 1,363,544,000 | 1,657.76 |
| 09992 | Pop Mart International Group Ltd. | 2020/12/11 | 1,401,937,550 | 6,008.79 |
| 01481 | Smart Globe Holdings Ltd.* | 2020/12/11 | 1,000,000,000 | - |
| 06993 | Blue Moon Group Holdings Ltd. | 2020/12/16 | 5,859,195,000 | 11,307.01 |
| 02131 | Netjoy Holdings Ltd. | 2020/12/17 | 800,000,000 | 1,593.66 |
| 06677 | Sino-Ocean Service Holding Ltd. | 2020/12/17 | 1,184,000,000 | 1,740.48 |
| 02148 | Vesync Co., Ltd | 2020/12/18 | 1,165,254,800 | 1,783.79 |
| 01167 | Jacobio Pharmaceuticals Group Co., Ltd. - B | 2020/12/21 | 771,462,180 | 1,515.98 |
| 06690 | Haier Smart Home Co., Ltd. - H Shares | 2020/12/23 | 2,448,279,814 | - |

MAIN BOARD EQUITIES

| Code | Company | Listing date | No. of issued shares | Funds raised (HK\$ mil.) |
|--------------|--|--------------|----------------------|--------------------------|
| 02135 | Raily Aesthetic Medicine International Holdings Ltd. | 2020/12/28 | 2,055,000,000 | 137.00 |
| 01940 | China Gas Industry Investment Holdings Co. Ltd. | 2020/12/29 | 1,200,000,000 | 411.00 |
| 02127 | Huisen Household International Group Ltd. | 2020/12/29 | 3,000,000,000 | 1,327.50 |
| 01379 | Wenling Zhejiang Measure & Cut Tools Trading Ctr Co Ltd-H Sh | 2020/12/30 | 20,000,000 | 125.00 |
| 01945 | Zero2IPO Holdings Inc. | 2020/12/30 | 300,000,000 | 440.00 |
| 02156 | C&D Property Management Group Co., Ltd | 2020/12/31 | 1,176,711,106 | - |
| Total | | | | 183,893.56 |

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

Withdrawal of Listed Companies

for 4th quarter 2020

| Code | Company | Last trading date | Delisted date |
|-------|---|-------------------|---------------|
| 06139 | Jinmao Hotel & Jinmao (China) Hotel Inv and Mgt Ltd. – SS | 2020/09/22 | 2020/10/05 |
| 01322 | CW Group Holdings Ltd. | 2018/07/11 | 2020/10/12 |
| 00933 | Brightoil Petroleum (Holdings) Ltd. | 2017/09/29 | 2020/10/20 |
| 00877 | O-Net Technologies (Group) Ltd. | 2020/10/05 | 2020/10/20 |
| 00221 | Easy One Financial Group Ltd. | 2020/09/10 | 2020/10/21 |
| 00801 | Golden Meditech Holdings Ltd. | 2020/09/23 | 2020/10/21 |
| 00015 | Vantage International (Holdings) Ltd. | 2020/10/12 | 2020/10/22 |
| 00469 | Capxon International Electronic Co. Ltd. | 2020/10/05 | 2020/10/27 |
| 01698 | Boshiwa International Holding Ltd. | 2012/03/15 | 2020/11/03 |
| 02277 | Huarong Investment Stock Corporation Ltd. | 2020/11/03 | 2020/11/13 |
| 01219 | Tenwow International Holdings Ltd. | 2018/08/10 | 2020/11/13 |
| 01990 | Xinghua Port Holdings Ltd. | 2020/09/30 | 2020/11/20 |
| 00056 | Allied Properties (HK) Ltd. | 2020/08/14 | 2020/11/27 |
| 03335 | DBA Telecommunication (Asia) Holdings Ltd. | 2013/06/05 | 2020/11/30 |
| 01155 | Centron Telecom International Holding Ltd. | 2018/03/29 | 2020/12/01 |
| 01006 | Changshouhua Food Co. Ltd. | 2020/11/20 | 2020/12/07 |
| 01659 | Haitian Energy International Ltd. | 2019/02/22 | 2020/12/07 |
| 01369 | Wuzhou International Holdings Ltd. | 2018/08/31 | 2020/12/08 |
| 00198 | SMI Holdings Group Ltd. | 2018/08/31 | 2020/12/14 |
| 00587 | Hua Han Health Industry Holdings Ltd. | 2016/09/26 | 2020/12/16 |
| 01169 | Haier Electronics Group Co., Ltd. | 2020/12/11 | 2020/12/23 |
| 01089 | Leyou Technologies Holdings Ltd. | 2020/12/14 | 2020/12/24 |

MAIN BOARD EQUITIES

Company Name Changes

for 4th quarter 2020

| Code | Old name | New name | Effective date | Adoption date |
|-------|--|---|----------------|---------------|
| 00377 | Huajun International Group Ltd. | China Huajun Group Ltd. | 2020/09/24 | 2020/10/20 |
| 01610 | COFCO Meat Holdings Ltd. | COFCO Joycome Foods Ltd. | 2020/10/16 | 2020/10/27 |
| 00474 | Hao Tian Development Group Ltd. | Aceso Life Science Group Ltd. | 2020/09/21 | 2020/10/29 |
| 03690 | Meituan Dianping – W | Meituan – W | 2020/09/30 | 2020/10/29 |
| 03319 | A-Living Services Co., Ltd. – H Shares | A-Living Smart City Services Co., Ltd. – H Shares | 2020/10/09 | 2020/11/05 |
| 00580 | Sun.King Power Electronics Group Ltd. | Sun.King Technology Group Ltd. | 2020/10/13 | 2020/11/10 |
| 00901 | Eagle Ride Investment Holdings Ltd. | Goldstone Investment Group Ltd. | 2020/10/23 | 2020/11/23 |
| 01399 | Scud Group Ltd. | Veson Holdings Ltd. | 2020/10/16 | 2020/11/27 |
| 01255 | S. Culture International Holdings Ltd. | TATA Health International Holdings Ltd. | 2020/06/19 | 2020/12/02 |
| 01140 | OP Financial Ltd. | Wealthking Investments Ltd. | 2020/11/10 | 2020/12/21 |

MAIN BOARD EQUITIES

Bonus Issues/Bonus Warrants

for 4th quarter 2020

| Code | Company | Particulars | Ex-date |
|-------|--------------------------------|-------------|------------|
| 01093 | CSPC Pharmaceutical Group Ltd. | 3 for 5 | 2020/10/15 |

Share Split/Consolidation

for 4th quarter 2020

| Code | Company | Particulars | Effective date |
|-------|---|-------------------------|----------------|
| 00726 | DIT Group Ltd. | Consolidation 4 into 1 | 2020/10/06 |
| 00290 | China Fortune Financial Group Ltd. | Consolidation 10 into 1 | 2020/10/20 |
| 02330 | China Uptown Group Co. Ltd. | Consolidation 10 into 1 | 2020/10/20 |
| 00498 | PYI Corporation Ltd. | Consolidation 5 into 1 | 2020/10/30 |
| 00996 | Carnival Group International Holdings Ltd. | Consolidation 25 into 1 | 2020/11/03 |
| 02269 | WuXi Biologics (Cayman) Inc. | Split 1 into 3 | 2020/11/16 |
| 00704 | Huscoke Holdings Ltd. | Consolidation 10 into 1 | 2020/11/23 |
| 00058 | Sunway International Holdings Ltd. | Consolidation 5 into 1 | 2020/11/23 |
| 03893 | CROSSTEC Group Holdings Ltd. | Consolidation 4 into 1 | 2020/11/24 |
| 00188 | Sunwah Kingsway Capital Holdings Ltd. | Consolidation 10 into 1 | 2020/11/26 |
| 00660 | Wai Chun Bio-Technology Ltd. | Consolidation 10 into 1 | 2020/11/27 |
| 01013 | Wai Chun Group Holdings Ltd. | Consolidation 10 into 1 | 2020/11/27 |
| 00033 | Amber Hill Financial Holdings Ltd. | Consolidation 10 into 1 | 2020/12/01 |
| 01460 | ICO Group Ltd. | Consolidation 10 into 1 | 2020/12/08 |
| 02211 | Universal Health International Group Holding Ltd. | Consolidation 10 into 1 | 2020/12/14 |

MAIN BOARD EQUITIES

Rights Issues & Open Offers

for 4th quarter 2020

| Month | Code | Company | Ratio | Funds raised (HK\$ mil.) |
|--------------|-------|--|---------------------------------|--------------------------|
| Oct | 00102 | Summit Ascent Holdings Ltd. | Rts 3 for 2 @\$0.60 | 1,623.40 |
| Nov | 01150 | Milan Station Holdings Ltd. | Rts 5 for 2 @\$0.11 | 55.94 |
| Dec | 00033 | Amber Hill Financial Holdings Ltd. | Rts 3 for 1 @\$0.71 | 83.96 |
| | | | (after consolidation 10 into 1) | |
| | 00632 | CHK Oil Ltd. | Rts 3 for 8 @\$0.20 | 45.92 |
| | 01145 | Courage Investment Group Ltd. | Open offer 1 for 1 @\$0.13 | 71.35 |
| | 02700 | Green International Holdings Ltd. | Rts 1 for 1 @\$0.06 | 98.98 |
| | 00145 | Hong Kong Building and Loan Agency Ltd., The | Rts 1 for 3 @\$0.18 | 23.55 |
| Total | | | | 2,003.11 |

MAIN BOARD EQUITIES

Placing*

| Month | Code | Company | No. of new shares placed | Placing price (HK\$) | Funds raised (HK\$ mil.) | |
|-------|-------|-----------------|--------------------------|----------------------|--------------------------|-------|
| 2020 | | | | | | |
| Oct | 00474 | ACESO LIFE SCI | 200,000,000 | 0.2500 | 50.00 | |
| | 00630 | AMCO UNITED | 370,000,000 | 0.1000 | 37.00 | |
| | 00612 | CHINA DYF | 24,000,000 | 2.3100 | 55.44 | |
| | 02616 | CSTONE PHARMA-B | 115,928,803 | 13.3700 | 1,549.97 | |
| | 00994 | CT VISION | 60,000,000 | 0.8000 | 48.00 | |
| | 00210 | DAPHNE INT'L | 164,914,238 | 0.1817 | 29.96 | |
| | 03333 | EVERGRANDE | 260,650,000 | 16.5000 | 4,300.73 | |
| | 01691 | JS GLOBAL LIFE | 76,458,000 | 14.1200 | 1,079.59 | |
| | 00381 | KIU HUNG INT'L | 101,900,000 | 0.2000 | 20.38 | |
| | 01745 | LVJI TECH | 53,350,000 | 0.9000 | 48.02 | |
| | 00329 | OCI INTL | 440,000,000 | 0.6500 | 286.00 | |
| | 01833 | PA GOODDOCTOR | 80,000,000 | 98.2000 | 7,856.00 | |
| | 01703 | PALACE BANQUET | 150,000,000 | 0.2500 | 37.50 | |
| | 02777 | R&F PROPERTIES | 257,000,000 | 9.8200 | 2,523.74 | |
| | 02138 | UM HEALTHCARE | 10,000,000 | 4.2500 | 42.50 | |
| | 01368 | XTEP INT'L | 5,000,000 | 2.4800 | 12.40 | |
| | 00376 | YUNFENG FIN | 644,665,279 | 3.1700 | 2,043.59 | |
| | Nov | 00274 | C BILLION RES | 139,500,000 | 0.0992 | 13.84 |
| | | 01027 | CHINA JICHENG | 37,860,000 | 0.2000 | 7.57 |
| | | 02369 | COOLPAD GROUP | 500,000,000 | 0.1800 | 90.00 |
| 00544 | | DAIDO GROUP | 468,800,000 | 0.0248 | 11.63 | |
| 02048 | | E-HOUSE ENT | 118,300,000 | 7.0000 | 828.10 | |
| 01808 | | ENTERPRISE DEV | 126,362,155 | 0.1680 | 21.23 | |
| 01683 | | HOPE LIFE INT | 100,000,000 | 0.9500 | 95.00 | |
| 01323 | | HUASHENG INTL | 130,000,000 | 0.6400 | 83.20 | |

MAIN BOARD EQUITIES

| Month | Code | Company | No. of new shares placed | Placing price (HK\$) | Funds raised (HK\$ mil.) |
|--------------|-------|-----------------|--------------------------|----------------------|--------------------------|
| 2020 | | | | | |
| Dec | 00797 | 7ROAD | 133,700,000 | 2.5900 | 346.28 |
| | 00860 | APOLLO FMG | 280,168,000 | 0.4600 | 128.88 |
| | 02139 | BANK OF GANSU | 1,250,000,000 | 1.4900 | 1,862.50 |
| | 02309 | BIRMINGHAM SPTS | 516,400,000 | 0.1350 | 69.71 |
| | 06098 | CG SERVICES | 173,000,000 | 45.0000 | 7,785.00 |
| | 00204 | CHINA INV DEV | 211,600,000 | 0.1010 | 21.37 |
| | 00235 | CHINA STRATEGIC | 3,397,540,000 | 0.0600 | 203.85 |
| | 02330 | CHINA UPTOWN | 72,000,000 | 1.0000 | 72.00 |
| | 01378 | CHINAHONGQIAO | 307,500,000 | 6.3000 | 1,937.25 |
| | 01609 | CHONG KIN GP | 31,860,781 | 2.3000 | 73.28 |
| | 00302 | CMGE | 180,000,000 | 3.0200 | 543.60 |
| | 00223 | ELIFE HLDGS | 111,702,127 | 0.1880 | 21.00 |
| | 02212 | FB MINING | 518,580,000 | 0.0290 | 15.04 |
| | 01862 | JINGRUI HLDGS | 138,619,000 | 2.2000 | 304.96 |
| | 01797 | KOOLEARN | 59,432,000 | 30.0000 | 1,782.96 |
| | 01302 | LIFETECH SCI | 287,320,000 | 3.2368 | 930.00 |
| | 00960 | LONGFOR GROUP | 50,000,000 | 47.0000 | 2,350.00 |
| | 03395 | PERSTA | 60,000,000 | 0.3000 | 18.00 |
| | 09909 | POWERLONG CM | 11,250,000 | 24.3000 | 273.38 |
| | 01583 | QINQIN FOODS | 80,000,000 | 2.2000 | 176.00 |
| | 00988 | SILKROAD LOG | 285,714,285 | 0.0280 | 8.00 |
| | 01773 | TIANLI EDU | 91,000,000 | 7.7200 | 702.52 |
| | 00082 | V1 GROUP | 215,180,180 | 0.2220 | 47.77 |
| | 03738 | VOBILE GROUP | 28,901,734 | 13.8400 | 400.00 |
| | 01810 | XIAOMI-W | 1,000,000,000 | 23.7000 | 23,700.00 |
| | 00968 | XINYI SOLAR | 300,000,000 | 13.0000 | 3,900.00 |
| | 09923 | YEAHKA | 20,795,052 | 37.8800 | 787.72 |
| | 00185 | ZENSUN ENT | 7,603,806,750 | 0.4600 | 3,497.75 |
| Total | | | | | 73,130.19 |

* Due to the reporting time-lag, placing figures for the quarter are provisional.

MAIN BOARD WARRANTS

Warrant Trading Statistics

| | | Equity wts (HK\$ mil.) | Derivative wts (HK\$ mil.) | Inline wts (HK\$ mil.) | Total (HK\$ mil.) |
|------|----|---------------------------|-------------------------------|---------------------------|----------------------|
| 2019 | Q4 | 4.85 | 359,048.09 | 79.35 | 359,132.29 |
| 2020 | Q1 | 0.96 | 498,963.93 | 278.49 | 499,243.38 |
| | Q2 | 7.35 | 465,458.81 | 275.58 | 465,741.75 |
| | Q3 | 5.41 | 728,076.48 | 256.66 | 728,338.55 |
| | Q4 | 1.46 | 568,417.68 | 263.02 | 568,682.16 |

Warrant Market Value

| | | Number | Equity wts (HK\$ mil.) | Number | Derivative wts (HK\$ mil.) | Number | Inline wts (HK\$ mil.) | Total no. | Total (HK\$ mil.) |
|------|----|--------|---------------------------|--------|-------------------------------|--------|---------------------------|-----------|----------------------|
| 2019 | Q4 | 2 | 55.92 | 4,571 | 61,435.12 | 922 | 6,216.69 | 5,495 | 67,707.73 |
| 2020 | Q1 | 2 | 40.00 | 5,747 | 77,350.74 | 860 | 4,959.26 | 6,609 | 82,350.00 |
| | Q2 | 2 | 36.29 | 6,172 | 131,599.84 | 833 | 4,269.03 | 7,007 | 135,905.16 |
| | Q3 | 2 | 23.08 | 7,135 | 161,351.74 | 717 | 3,734.06 | 7,854 | 165,108.89 |
| | Q4 | 0 | 0.00 | 7,507 | 198,813.60 | 507 | 2,455.56 | 8,014 | 201,269.16 |

New Listing Statistics – Warrants

| | | No. of newly listed equity wts | No. of newly listed derivative wts | No. of newly listed inline wts | Amount raised (HK\$ mil.) |
|------|----|-----------------------------------|---------------------------------------|-----------------------------------|------------------------------|
| 2019 | Q4 | 2 | 1,940 | 511 | 39,549.67 |
| 2020 | Q1 | 0 | 3,044 | 253 | 65,494.70 |
| | Q2 | 0 | 2,416 | 256 | 50,382.02 |
| | Q3 | 0 | 3,443 | 167 | 80,220.62 |
| | Q4 | 0 | 3,225 | 104 | 69,368.14 |

Newly Listed Equity Warrants

for 4th quarter 2020

| Code | Equity warrants | Initial issued amount (HK\$) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|------|-----------------|---------------------------------|--------------|--------|------------------------------|
| | Nil | | | | |
| | Total | | | | - |

MAIN BOARD WARRANTS

Newly Listed Derivative Warrants

for 4th quarter 2020

| Code | Derivative warrants | No. of issue | | Expiry | Amount raised (HK\$ mil.) |
|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | | |
| 28336 | BOCI Asia Ltd. – SMIC European Warrants Jan 2021 B | 40,000,000 | 2020/10/05 | 2021/01/05 | 10.00 |
| 28369 | BNP Paribas Issuance B.V. – Alibaba European Wts May 2021 C | 80,000,000 | 2020/10/05 | 2021/05/04 | 20.00 |
| 28376 | Credit Suisse AG – Country Garden Euro Warrants Apr 2021 B | 60,000,000 | 2020/10/05 | 2021/04/30 | 15.00 |
| 28381 | Credit Suisse AG – CNOOC European Warrants Jul 2021 A | 80,000,000 | 2020/10/05 | 2021/07/26 | 12.00 |
| 28375 | Credit Suisse AG – China Tower European Warrants Jun 2021 B | 100,000,000 | 2020/10/05 | 2021/06/23 | 15.00 |
| 28378 | Credit Suisse AG – PetroChina European Warrants May 2021 A | 50,000,000 | 2020/10/05 | 2021/05/24 | 10.00 |
| 28372 | Citigroup Global – China Mobile European Put Wts Apr 2021 A | 100,000,000 | 2020/10/05 | 2021/04/29 | 25.00 |
| 28374 | Citigroup Global – Galaxy Ent European Warrants Jan 2021 B | 100,000,000 | 2020/10/05 | 2021/01/28 | 23.40 |
| 28373 | Citigroup Global – SMIC European Warrants Mar 2021 B | 100,000,000 | 2020/10/05 | 2021/03/03 | 32.00 |
| 28333 | Guotai Junan – HSBC European Put Warrants Apr 2021 A | 100,000,000 | 2020/10/05 | 2021/04/08 | 24.50 |
| 28386 | Goldman Sachs SP (Asia) – China Tower Euro Wts Mar 2021 A | 100,000,000 | 2020/10/05 | 2021/03/26 | 15.00 |
| 28385 | Goldman Sachs SP (Asia) – Evergrande European Wts Mar 2021 A | 60,000,000 | 2020/10/05 | 2021/03/08 | 15.72 |
| 28384 | Goldman Sachs SP (Asia) – HSI European Warrants Sep 2021 A | 150,000,000 | 2020/10/05 | 2021/09/29 | 22.50 |
| 28365 | Haitong Int'l Sec – Everg Vehicle Euro Warrants Sep 2021 A | 40,000,000 | 2020/10/05 | 2021/09/29 | 10.00 |
| 28362 | Haitong Int'l Sec – CSC European Warrants May 2021 A | 60,000,000 | 2020/10/05 | 2021/05/07 | 10.80 |
| 28359 | Haitong Int'l Sec – Evergrande European Warrants Feb 2021 A | 50,000,000 | 2020/10/05 | 2021/02/10 | 10.00 |
| 28364 | Haitong Int'l Sec – Lenovo European Warrants Jan 2021 B | 40,000,000 | 2020/10/05 | 2021/01/07 | 10.80 |
| 28357 | Haitong Int'l Sec – Luye Pharma European Warrants Aug 2021 B | 40,000,000 | 2020/10/05 | 2021/08/31 | 10.00 |
| 28341 | J P Morgan SP BV – BOC HK European Warrants Apr 2021 A | 60,000,000 | 2020/10/05 | 2021/04/30 | 16.14 |
| 28345 | J P Morgan SP BV – China Life European Warrants Aug 2021 A | 100,000,000 | 2020/10/05 | 2021/08/31 | 15.90 |
| 28342 | J P Morgan SP BV – China Tower European Warrants Aug 2021 A | 60,000,000 | 2020/10/05 | 2021/08/31 | 15.06 |
| 28343 | J P Morgan SP BV – JD.com European Warrants Jan 2021 C | 200,000,000 | 2020/10/05 | 2021/01/08 | 32.80 |
| 28347 | J P Morgan SP BV – Sands China Ltd European Warrants Jan21 A | 80,000,000 | 2020/10/05 | 2021/01/22 | 12.48 |
| 28346 | J P Morgan SP BV – SMIC European Wts Feb 2021 A | 60,000,000 | 2020/10/05 | 2021/02/26 | 16.92 |
| 28329 | Macquarie Bank Ltd. – Alibaba European Warrants Apr 2021 F | 37,500,000 | 2020/10/05 | 2021/04/07 | 10.05 |
| 28330 | Macquarie Bank Ltd. – Alibaba European Warrants May 2021 A | 38,000,000 | 2020/10/05 | 2021/05/04 | 10.03 |
| 28332 | Macquarie Bank Ltd. – Beijing Air European Wts Jun 2021 A | 16,500,000 | 2020/10/05 | 2021/06/11 | 10.05 |
| 28337 | Macquarie Bank Ltd. – BOCHK European Put Warrants May 2021 A | 40,000,000 | 2020/10/05 | 2021/05/04 | 10.52 |
| 28338 | Macquarie Bank Ltd. – Sinopharm European Warrants Apr 2021 A | 37,900,000 | 2020/10/05 | 2021/04/07 | 10.04 |
| 28355 | Morgan Stanley Asia Products Ltd. – CNOOC Euro Wts Jul2021 A | 68,000,000 | 2020/10/05 | 2021/07/26 | 10.20 |
| 28354 | Morgan Stanley Asia Products Ltd. – PetroChina Eu Wt Jun21 A | 68,000,000 | 2020/10/05 | 2021/06/29 | 10.20 |
| 28421 | BOCI Asia Ltd. – Alibaba European Warrants Mar 2021 C | 100,000,000 | 2020/10/06 | 2021/03/22 | 15.00 |
| 28408 | BOCI Asia Ltd. – CK Asset European Warrants Mar 2021 A | 60,000,000 | 2020/10/06 | 2021/03/30 | 10.80 |
| 28407 | BOCI Asia Ltd. – Sinopec Corp European Warrants Mar 2021 A | 50,000,000 | 2020/10/06 | 2021/03/24 | 10.50 |
| 28419 | BOCI Asia Ltd. – Sands China Ltd European Warrants Jan2021 A | 70,000,000 | 2020/10/06 | 2021/01/22 | 10.50 |
| 28460 | Credit Suisse AG – BOC HK European Warrants Apr 2021 A | 50,000,000 | 2020/10/06 | 2021/04/30 | 12.50 |
| 28461 | Credit Suisse AG – BOC HK European Put Warrants Apr 2021 A | 40,000,000 | 2020/10/06 | 2021/04/30 | 10.00 |
| 28463 | Credit Suisse AG – CC Bank European Warrants Mar 2021 A | 60,000,000 | 2020/10/06 | 2021/03/24 | 12.00 |
| 28466 | Credit Suisse AG – CM Bank European Warrants Apr 2021 A | 50,000,000 | 2020/10/06 | 2021/04/07 | 12.50 |
| 28462 | Credit Suisse AG – Sinopec Corp European Warrants Apr 2021 A | 50,000,000 | 2020/10/06 | 2021/04/07 | 12.50 |
| 28459 | Credit Suisse AG – NetEase European Warrants Mar 2021 A | 150,000,000 | 2020/10/06 | 2021/03/26 | 22.50 |
| 28477 | Citigroup Global – CCB European Warrants Mar 2021 A | 100,000,000 | 2020/10/06 | 2021/03/30 | 22.60 |

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| 28471 | Citigroup Global – HKEX European Warrants Apr 2021 A | 100,000,000 | 2020/10/06 | 2021/04/29 | 27.00 |
| 28472 | Citigroup Global – ICBC European Warrants Apr 2021 A | 100,000,000 | 2020/10/06 | 2021/04/29 | 25.50 |
| 28473 | Citigroup Global – Ping An European Warrants Sep 2022 A | 120,000,000 | 2020/10/06 | 2022/09/29 | 18.96 |
| 28486 | Goldman Sachs SP (Asia) – CNOOC European Warrants May 2021 A | 80,000,000 | 2020/10/06 | 2021/05/14 | 12.00 |
| 28481 | Goldman Sachs SP (Asia) – ICBC European Warrants Mar 2021 B | 80,000,000 | 2020/10/06 | 2021/03/24 | 12.00 |
| 28485 | Goldman Sachs SP (Asia) – Ping An European Wts Jan 2021 C | 100,000,000 | 2020/10/06 | 2021/01/26 | 15.00 |
| 28479 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 A | 150,000,000 | 2020/10/06 | 2021/03/03 | 23.25 |
| 28428 | J P Morgan SP BV – China Mobile European Put Wts Apr 2021 A | 100,000,000 | 2020/10/06 | 2021/04/30 | 25.00 |
| 28432 | J P Morgan SP BV – Galaxy Ent European Warrants Apr 2021 A | 60,000,000 | 2020/10/06 | 2021/04/07 | 25.14 |
| 28427 | J P Morgan SP BV – Xinyi Solar European Warrants Jul 2021 A | 80,000,000 | 2020/10/06 | 2021/07/30 | 20.48 |
| 28449 | Macquarie Bank Ltd. – Bank of China European Wts Oct 2021 A | 40,000,000 | 2020/10/06 | 2021/10/05 | 10.92 |
| 28398 | Macquarie Bank Ltd. – CM Bank European Warrants Apr 2021 A | 40,000,000 | 2020/10/06 | 2021/04/07 | 10.00 |
| 28399 | Macquarie Bank Ltd. – Minsheng Bank European Wts May 2021 A | 40,000,000 | 2020/10/06 | 2021/05/04 | 10.00 |
| 28392 | Macquarie Bank Ltd. – HSBC European Warrants Aug 2021 A | 40,000,000 | 2020/10/06 | 2021/08/03 | 10.00 |
| 28401 | Macquarie Bank Ltd. – Tencent European Put Wts Apr 2021 B | 27,000,000 | 2020/10/06 | 2021/04/27 | 10.15 |
| 28394 | Macquarie Bank Ltd. – Xiaomi European Warrants May 2021 C | 40,000,000 | 2020/10/06 | 2021/05/04 | 10.00 |
| 28402 | Macquarie Bank Ltd. – Xiaomi European Warrants Jun 2021 C | 40,000,000 | 2020/10/06 | 2021/06/02 | 10.04 |
| 28426 | Morgan Stanley Asia Products Ltd. – Ch Life Eu Wts Aug2021 A | 80,000,000 | 2020/10/06 | 2021/08/26 | 12.00 |
| 28406 | Morgan Stanley Asia Products Ltd. – China Tower Eu Wt Sep21A | 108,000,000 | 2020/10/06 | 2021/09/21 | 16.20 |
| 28425 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts May21 B | 108,000,000 | 2020/10/06 | 2021/05/24 | 20.41 |
| 28434 | SG Issuer – CC Bank European Warrants Jul 2021 A | 60,000,000 | 2020/10/06 | 2021/07/30 | 11.10 |
| 28442 | SG Issuer – Galaxy Ent European Warrants Jan 2021 A | 60,000,000 | 2020/10/06 | 2021/01/29 | 12.00 |
| 28448 | SG Issuer – HSI European Put Warrants Feb 2021 A | 300,000,000 | 2020/10/06 | 2021/02/25 | 58.50 |
| 28446 | SG Issuer – ICBC European Warrants Mar 2021 A | 70,000,000 | 2020/10/06 | 2021/03/24 | 10.50 |
| 28447 | SG Issuer – Sands China Ltd European Warrants Apr 2021 A | 60,000,000 | 2020/10/06 | 2021/04/30 | 15.00 |
| 28457 | Bank Vontobel – BOC HK European Warrants Apr 2021 A | 40,000,000 | 2020/10/06 | 2021/04/09 | 10.04 |
| 28453 | Bank Vontobel – Evergrande European Warrants Apr 2021 A | 30,000,000 | 2020/10/06 | 2021/04/30 | 10.23 |
| 28458 | Bank Vontobel – Zijin Mining European Warrants Sep 2021 A | 70,000,000 | 2020/10/06 | 2021/09/24 | 10.57 |
| 28584 | BOCI Asia Ltd. – BYD Electronic European Warrants Apr 2021 A | 30,000,000 | 2020/10/07 | 2021/04/09 | 10.50 |
| 28580 | BOCI Asia Ltd. – China Life European Warrants Apr 2021 A | 80,000,000 | 2020/10/07 | 2021/04/23 | 13.60 |
| 28577 | BOCI Asia Ltd. – China Tower European Warrants Jun 2021 A | 70,000,000 | 2020/10/07 | 2021/06/23 | 10.50 |
| 28579 | BOCI Asia Ltd. – ICBC European Warrants Jul 2021 A | 70,000,000 | 2020/10/07 | 2021/07/23 | 11.20 |
| 28585 | BOCI Asia Ltd. – NetEase European Warrants Feb 2021 B | 70,000,000 | 2020/10/07 | 2021/02/01 | 10.50 |
| 28595 | BOCI Asia Ltd. – Tencent European Warrants Mar 2021 A | 100,000,000 | 2020/10/07 | 2021/03/03 | 20.00 |
| 28612 | Credit Suisse AG – CITIC European Warrants Feb 2022 A | 60,000,000 | 2020/10/07 | 2022/02/28 | 15.00 |
| 28616 | Credit Suisse AG – PA Gooddoctor Euro Warrants Jun 2021 A | 100,000,000 | 2020/10/07 | 2021/06/30 | 25.00 |
| 28614 | Credit Suisse AG – Xinyi Solar European Warrants Apr 2021 A | 40,000,000 | 2020/10/07 | 2021/04/07 | 11.20 |
| 28611 | Credit Suisse AG – ZA Online European Warrants Sep 2021 A | 100,000,000 | 2020/10/07 | 2021/09/23 | 20.00 |
| 28519 | Citigroup Global – BYD Company European Warrants Aug 2021 A | 100,000,000 | 2020/10/07 | 2021/08/30 | 25.50 |
| 28515 | Citigroup Global – PA Gooddoctor European Warrants Apr 2021A | 100,000,000 | 2020/10/07 | 2021/04/29 | 25.50 |
| 28620 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2021 B | 80,000,000 | 2020/10/07 | 2021/03/08 | 12.00 |
| 28622 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Jan 2021 B | 80,000,000 | 2020/10/07 | 2021/01/22 | 12.00 |
| 28623 | Goldman Sachs SP (Asia) – Lenovo European Wts Dec 2021 A | 80,000,000 | 2020/10/07 | 2021/12/23 | 12.00 |
| 28624 | Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt May 2021 A | 100,000,000 | 2020/10/07 | 2021/05/24 | 15.00 |

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| 28607 | Haitong Int'l Sec - Bank of China Euro Warrants Apr 2021 A | 70,000,000 | 2020/10/07 | 2021/04/28 | 10.50 |
| 28600 | Haitong Int'l Sec - CM Bank European Warrants Feb 2021 A | 40,000,000 | 2020/10/07 | 2021/02/24 | 10.00 |
| 28610 | Haitong Int'l Sec - China Mobile European Wts Mar 2021 A | 70,000,000 | 2020/10/07 | 2021/03/08 | 10.50 |
| 28598 | Haitong Int'l Sec - Sinopec Corp European Wts Apr 2021 A | 40,000,000 | 2020/10/07 | 2021/04/08 | 10.00 |
| 28604 | Haitong Int'l Sec - PetroChina European Warrants May 2021 A | 60,000,000 | 2020/10/07 | 2021/05/24 | 10.20 |
| 28599 | Haitong Int'l Sec - Xinyi Solar European Warrants Apr 2021 A | 35,000,000 | 2020/10/07 | 2021/04/08 | 10.15 |
| 28557 | J P Morgan SP BV - BYD Company European Warrants Apr 2021 B | 100,000,000 | 2020/10/07 | 2021/04/09 | 26.50 |
| 28573 | J P Morgan SP BV - BYD Electronic European Wts Mar 2021 B | 40,000,000 | 2020/10/07 | 2021/03/03 | 14.72 |
| 28567 | J P Morgan SP BV - China Com Services European Wts Nov 2021A | 60,000,000 | 2020/10/07 | 2021/11/01 | 15.00 |
| 28555 | J P Morgan SP BV - Ch Molybdenum European Wts Apr 2021 A | 60,000,000 | 2020/10/07 | 2021/04/30 | 25.44 |
| 28566 | J P Morgan SP BV - HSI European Warrants Apr 2021 C | 400,000,000 | 2020/10/07 | 2021/04/29 | 100.40 |
| 28543 | J P Morgan SP BV - HSI European Put Warrants Apr 2021 B | 400,000,000 | 2020/10/07 | 2021/04/29 | 100.80 |
| 28548 | J P Morgan SP BV - HSI European Put Warrants Apr 2021 C | 400,000,000 | 2020/10/07 | 2021/04/29 | 100.40 |
| 28552 | J P Morgan SP BV - HSI European Put Warrants Jun 2021 A | 400,000,000 | 2020/10/07 | 2021/06/29 | 100.80 |
| 28559 | J P Morgan SP BV - Huaneng Power European Wts Apr 2021 A | 60,000,000 | 2020/10/07 | 2021/04/30 | 19.14 |
| 28568 | J P Morgan SP BV - PA Gooddoctor Euro Warrants Mar 2021 B | 70,000,000 | 2020/10/07 | 2021/03/22 | 10.50 |
| 28560 | J P Morgan SP BV - Sinopharm European Warrants May 2021 A | 60,000,000 | 2020/10/07 | 2021/05/31 | 15.00 |
| 28493 | Macquarie Bank Ltd. - BYD Company European Wts Jan 2022 B | 39,300,000 | 2020/10/07 | 2022/01/04 | 10.02 |
| 28492 | Macquarie Bank Ltd. - Fosun Pharma European Wts Apr 2021 A | 27,700,000 | 2020/10/07 | 2021/04/16 | 10.03 |
| 28513 | Macquarie Bank Ltd. - ICBC European Put Warrants Jun 2021 A | 39,500,000 | 2020/10/07 | 2021/06/02 | 10.07 |
| 28499 | Morgan Stanley Asia Products Ltd. - CCB Euro Wts Apr 2021 A | 68,000,000 | 2020/10/07 | 2021/04/27 | 10.20 |
| 28494 | Morgan Stanley Asia Products Ltd. - Ch Mob Eu Put Wt Apr 21A | 68,000,000 | 2020/10/07 | 2021/04/12 | 17.00 |
| 28504 | Morgan Stanley Asia Products Ltd. - Ch Tower Eu Wt Jun 2021B | 108,000,000 | 2020/10/07 | 2021/06/23 | 16.20 |
| 28506 | Morgan Stanley Asia Products Ltd. - Ch Tower Eu Wt Aug 2021A | 108,000,000 | 2020/10/07 | 2021/08/26 | 16.20 |
| 28498 | Morgan Stanley Asia Products Ltd. - Galaxy Eu Wts Feb 2021 A | 68,000,000 | 2020/10/07 | 2021/02/01 | 13.87 |
| 28500 | Morgan Stanley Asia Products Ltd. - ICBC Euro Wts Mar 2021 B | 68,000,000 | 2020/10/07 | 2021/03/24 | 10.61 |
| 28503 | Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Jan 21C | 68,000,000 | 2020/10/07 | 2021/01/26 | 11.63 |
| 28507 | Morgan Stanley Asia Products Ltd. - SHK Ppt Euro Wts Apr 21B | 40,000,000 | 2020/10/07 | 2021/04/07 | 10.00 |
| 28533 | SG Issuer - Ali Health European Warrants Apr 2021 A | 60,000,000 | 2020/10/07 | 2021/04/07 | 15.00 |
| 28541 | SG Issuer - China Tower European Warrants Mar 2021 A | 100,000,000 | 2020/10/07 | 2021/03/26 | 15.00 |
| 28534 | SG Issuer - Meituan European Warrants Feb 2021 E | 100,000,000 | 2020/10/07 | 2021/02/16 | 20.00 |
| 28526 | SG Issuer - PA Gooddoctor European Warrants Apr 2021 B | 100,000,000 | 2020/10/07 | 2021/04/07 | 25.00 |
| 28625 | Bank Vontobel - Alibaba Group European Warrants Feb 2021 B | 80,000,000 | 2020/10/07 | 2021/02/22 | 12.88 |
| 28657 | BOCI Asia Ltd. - AAC Tech European Warrants May 2021 A | 80,000,000 | 2020/10/08 | 2021/05/31 | 12.00 |
| 28705 | BOCI Asia Ltd. - AIA European Warrants Dec 2021 A | 70,000,000 | 2020/10/08 | 2021/12/28 | 11.90 |
| 28642 | BOCI Asia Ltd. - Bank of China European Warrants Apr 2021 A | 80,000,000 | 2020/10/08 | 2021/04/28 | 12.00 |
| 28643 | BOCI Asia Ltd. - CITIC Sec European Warrants Jan 2021 B | 60,000,000 | 2020/10/08 | 2021/01/26 | 10.20 |
| 28640 | BOCI Asia Ltd. - SD Gold European Warrants Apr 2021 A | 50,000,000 | 2020/10/08 | 2021/04/26 | 13.50 |
| 28703 | BOCI Asia Ltd. - SHK Ppt European Warrants Mar 2021 A | 50,000,000 | 2020/10/08 | 2021/03/24 | 10.50 |
| 28648 | BOCI Asia Ltd. - ZA Online European Warrants Sep 2021 A | 80,000,000 | 2020/10/08 | 2021/09/23 | 19.20 |
| 28627 | Credit Suisse AG - Lenovo European Warrants Dec 2021 B | 100,000,000 | 2020/10/08 | 2021/12/31 | 15.00 |
| 28671 | Credit Suisse AG - Yanzhou Coal European Warrants Apr 2021 A | 60,000,000 | 2020/10/08 | 2021/04/07 | 15.00 |
| 28776 | Citigroup Global - AIA European Warrants Apr 2021 A | 100,000,000 | 2020/10/08 | 2021/04/30 | 28.00 |
| 28778 | Citigroup Global - Alibaba Group Euro Warrants Apr 2021 B | 100,000,000 | 2020/10/08 | 2021/04/30 | 20.40 |

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| 28777 | Citigroup Global – Xiaomi European Warrants May 2021 A | 100,000,000 | 2020/10/08 | 2021/05/31 | 22.30 |
| 28727 | Goldman Sachs SP (Asia) – Alibaba European Wts Jan 2021 M | 150,000,000 | 2020/10/08 | 2021/01/11 | 24.30 |
| 28729 | Goldman Sachs SP (Asia) – Alibaba European Wts Jan 2021 N | 150,000,000 | 2020/10/08 | 2021/01/08 | 30.75 |
| 28722 | Goldman Sachs SP (Asia) – HSI European Put Wts Mar 2021 A | 150,000,000 | 2020/10/08 | 2021/03/30 | 22.50 |
| 28756 | Goldman Sachs SP (Asia) – NetEase Euro Warrants Jan 2021 D | 80,000,000 | 2020/10/08 | 2021/01/08 | 12.00 |
| 28737 | Goldman Sachs SP (Asia) – PA Gooddoctor Euro Wts Mar 2021 A | 80,000,000 | 2020/10/08 | 2021/03/01 | 12.00 |
| 28769 | Haitong Int'l Sec – China Com Services European Wts Aug21 A | 40,000,000 | 2020/10/08 | 2021/08/27 | 10.40 |
| 28668 | J P Morgan SP BV – BYD Company European Put Wts Apr 2021 A | 150,000,000 | 2020/10/08 | 2021/04/30 | 23.70 |
| 28669 | J P Morgan SP BV – Haidilao European Warrants Nov 2021 B | 70,000,000 | 2020/10/08 | 2021/11/05 | 17.57 |
| 28664 | J P Morgan SP BV – HSI European Put Warrants May 2021 A | 400,000,000 | 2020/10/08 | 2021/05/28 | 100.40 |
| 28665 | J P Morgan SP BV – Li Ning European Warrants Apr 2021 A | 60,000,000 | 2020/10/08 | 2021/04/09 | 22.68 |
| 28630 | Macquarie Bank Ltd. – Alibaba European Warrants May 2021 B | 28,500,000 | 2020/10/08 | 2021/05/04 | 10.00 |
| 28629 | Macquarie Bank Ltd. – Alibaba European Warrants Jul 2022 B | 21,000,000 | 2020/10/08 | 2022/07/04 | 10.04 |
| 28633 | Macquarie Bank Ltd. – Tingyi European Warrants Aug 2021 A | 40,000,000 | 2020/10/08 | 2021/08/17 | 10.00 |
| 28634 | Macquarie Bank Ltd. – Xinyi Solar Euro Warrants Sep 2021 A | 40,000,000 | 2020/10/08 | 2021/09/16 | 10.04 |
| 28708 | UBS AG – BYD Company European Warrants Apr 2021 D | 150,000,000 | 2020/10/08 | 2021/04/07 | 37.50 |
| 28709 | UBS AG – China Life European Warrants Aug 2021 A | 150,000,000 | 2020/10/08 | 2021/08/26 | 22.50 |
| 28723 | UBS AG – China Mobile European Warrants Mar 2021 A | 100,000,000 | 2020/10/08 | 2021/03/08 | 15.00 |
| 28717 | UBS AG – China Tower European Warrants Jun 2021 B | 70,000,000 | 2020/10/08 | 2021/06/23 | 10.50 |
| 28628 | UBS AG – HKEX European Warrants Apr 2021 A | 300,000,000 | 2020/10/08 | 2021/04/23 | 45.00 |
| 28757 | UBS AG – HSI European Warrants Mar 2021 F | 300,000,000 | 2020/10/08 | 2021/03/30 | 45.00 |
| 28720 | UBS AG – PetroChina European Warrants May 2021 A | 70,000,000 | 2020/10/08 | 2021/05/24 | 10.50 |
| 28754 | UBS AG – Ping An European Warrants Jan 2021 C | 100,000,000 | 2020/10/08 | 2021/01/26 | 15.00 |
| 28707 | UBS AG – Sands China Ltd European Warrants Jan 2021 A | 70,000,000 | 2020/10/08 | 2021/01/21 | 10.50 |
| 28728 | UBS AG – Xiaomi European Warrants Feb 2021 D | 200,000,000 | 2020/10/08 | 2021/02/22 | 30.00 |
| 28699 | Bank Vontobel – Alibaba European Put Warrants Feb 2021 B | 60,000,000 | 2020/10/08 | 2021/02/26 | 11.40 |
| 28869 | BOCI Asia Ltd. – HSBC European Warrants Apr 2021 A | 70,000,000 | 2020/10/09 | 2021/04/26 | 17.50 |
| 28871 | BOCI Asia Ltd. – Lenovo European Warrants Dec 2021 A | 100,000,000 | 2020/10/09 | 2021/12/23 | 16.00 |
| 28874 | BOCI Asia Ltd. – Xiaomi European Warrants Mar 2021 B | 100,000,000 | 2020/10/09 | 2021/03/08 | 16.00 |
| 28867 | BNP Paribas Issuance B.V. – AAC Tech Euro Wts May 2021 C | 80,000,000 | 2020/10/09 | 2021/05/04 | 12.00 |
| 28804 | BNP Paribas Issuance B.V. – Alibaba European Wts Jun 2021 C | 80,000,000 | 2020/10/09 | 2021/06/02 | 20.80 |
| 28803 | BNP Paribas Issuance B.V. – HSBC European Wts Feb 2021 C | 70,000,000 | 2020/10/09 | 2021/02/02 | 10.50 |
| 28810 | BNP Paribas Issuance B.V. – ICBC European Wts Apr 2021 B | 60,000,000 | 2020/10/09 | 2021/04/07 | 10.20 |
| 28806 | BNP Paribas Issuance B.V. – PA Gooddoctor European Wt May21A | 60,000,000 | 2020/10/09 | 2021/05/04 | 15.00 |
| 29007 | Credit Suisse AG – AAC Tech European Put Warrants Apr 2021 A | 30,000,000 | 2020/10/09 | 2021/04/08 | 12.90 |
| 29011 | Credit Suisse AG – Alibaba European Warrants Mar 2021 I | 400,000,000 | 2020/10/09 | 2021/03/23 | 60.00 |
| 29029 | Credit Suisse AG – Alibaba European Warrants Jun 2021 B | 400,000,000 | 2020/10/09 | 2021/06/25 | 60.00 |
| 29008 | Credit Suisse AG – Ch Molybdenum European Wts Apr 2021 A | 40,000,000 | 2020/10/09 | 2021/04/30 | 16.00 |
| 29009 | Credit Suisse AG – Sinopharm European Warrants Apr 2021 A | 50,000,000 | 2020/10/09 | 2021/04/30 | 12.50 |
| 29033 | Citigroup Global – HKEX European Warrants Mar 2021 A | 100,000,000 | 2020/10/09 | 2021/03/30 | 21.00 |
| 29040 | Citigroup Global – HSBC European Warrants Feb 2021 A | 100,000,000 | 2020/10/09 | 2021/02/05 | 15.00 |
| 28845 | Guotai Junan – Alibaba Group European Warrants Feb 2021 B | 100,000,000 | 2020/10/09 | 2021/02/26 | 15.80 |
| 28865 | Guotai Junan – Galaxy Ent European Warrants Feb 2021 A | 100,000,000 | 2020/10/09 | 2021/02/03 | 17.50 |
| 28864 | Guotai Junan – Meituan European Warrants Feb 2021 B | 100,000,000 | 2020/10/09 | 2021/02/26 | 19.00 |

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| 28997 | Goldman Sachs SP (Asia) – Alibaba Euro Put Wts Jan 2021 C | 150,000,000 | 2020/10/09 | 2021/01/11 | 22.50 |
| 28999 | Goldman Sachs SP (Asia) – BYD Company Euro Wts Aug 2021 A | 80,000,000 | 2020/10/09 | 2021/08/24 | 17.20 |
| 28993 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Feb 2021 L | 100,000,000 | 2020/10/09 | 2021/02/19 | 18.60 |
| 29002 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Feb 2021 B | 80,000,000 | 2020/10/09 | 2021/02/24 | 12.00 |
| 28990 | Haitong Int'l Sec – Alibaba European Warrants Apr 2021 B | 100,000,000 | 2020/10/09 | 2021/04/01 | 15.00 |
| 28987 | Haitong Int'l Sec – Haidilao European Warrants Oct 2021 A | 50,000,000 | 2020/10/09 | 2021/10/29 | 12.50 |
| 28986 | Haitong Int'l Sec – ZTE European Warrants Apr 2021 A | 50,000,000 | 2020/10/09 | 2021/04/09 | 12.50 |
| 28967 | J P Morgan SP BV – Alibaba European Warrants Mar 2021 E | 300,000,000 | 2020/10/09 | 2021/03/01 | 45.30 |
| 28954 | J P Morgan SP BV – Ali Health European Warrants Apr 2021 A | 60,000,000 | 2020/10/09 | 2021/04/30 | 16.08 |
| 28966 | J P Morgan SP BV – Greatwall Motor European Wts Apr 2021 A | 80,000,000 | 2020/10/09 | 2021/04/30 | 22.56 |
| 28981 | J P Morgan SP BV – HKEX European Warrants Apr 2021 C | 200,000,000 | 2020/10/09 | 2021/04/30 | 42.00 |
| 28952 | J P Morgan SP BV – ICBC European Warrants Apr 2021 A | 100,000,000 | 2020/10/09 | 2021/04/30 | 25.20 |
| 28972 | J P Morgan SP BV – Microport European Warrants Jan 2021 A | 60,000,000 | 2020/10/09 | 2021/01/11 | 16.68 |
| 28971 | J P Morgan SP BV – SPDR Gold Trust European Wts Apr 2021 A | 70,000,000 | 2020/10/09 | 2021/04/30 | 10.50 |
| 28968 | J P Morgan SP BV – Sunny Optical European Wts Jun 2021 A | 80,000,000 | 2020/10/09 | 2021/06/30 | 13.84 |
| 28839 | Macquarie Bank Ltd. – China Life European Wts Jun 2021 A | 40,000,000 | 2020/10/09 | 2021/06/02 | 10.04 |
| 28843 | Macquarie Bank Ltd. – China Life European Put Wts Oct 2021 A | 40,000,000 | 2020/10/09 | 2021/10/05 | 10.00 |
| 28838 | Macquarie Bank Ltd. – China Mobile European Wts May 2021 A | 29,000,000 | 2020/10/09 | 2021/05/04 | 10.03 |
| 28811 | Macquarie Bank Ltd. – Tencent European Warrants May 2021 A | 29,300,000 | 2020/10/09 | 2021/05/03 | 10.02 |
| 28830 | Macquarie Bank Ltd. – Tencent European Warrants May 2021 B | 40,000,000 | 2020/10/09 | 2021/05/03 | 10.04 |
| 28798 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Feb21 E | 98,000,000 | 2020/10/09 | 2021/02/22 | 14.70 |
| 28800 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Feb21 F | 98,000,000 | 2020/10/09 | 2021/02/22 | 14.70 |
| 28797 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts Apr 2021 B | 68,000,000 | 2020/10/09 | 2021/04/09 | 17.00 |
| 28801 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts Apr21 A | 188,000,000 | 2020/10/09 | 2021/04/29 | 47.00 |
| 28947 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts Apr21 C | 98,000,000 | 2020/10/09 | 2021/04/01 | 14.70 |
| 28931 | UBS AG – BYD Company European Warrants Jul 2021 A | 150,000,000 | 2020/10/09 | 2021/07/19 | 37.50 |
| 28879 | UBS AG – BYD Company European Put Warrants Apr 2021 B | 150,000,000 | 2020/10/09 | 2021/04/23 | 22.50 |
| 28923 | UBS AG – CC Bank European Warrants Mar 2021 A | 70,000,000 | 2020/10/09 | 2021/03/24 | 10.50 |
| 28883 | UBS AG – China Mobile European Warrants Mar 2021 B | 100,000,000 | 2020/10/09 | 2021/03/24 | 15.00 |
| 28925 | UBS AG – Sinopec Corp European Warrants Mar 2021 A | 70,000,000 | 2020/10/09 | 2021/03/24 | 10.50 |
| 28946 | UBS AG – Galaxy Ent European Warrants Jan 2021 B | 80,000,000 | 2020/10/09 | 2021/01/22 | 12.00 |
| 28926 | UBS AG – ICBC European Warrants Mar 2021 B | 60,000,000 | 2020/10/09 | 2021/03/24 | 10.20 |
| 28885 | UBS AG – Ping An European Warrants Mar 2021 A | 100,000,000 | 2020/10/09 | 2021/03/26 | 15.00 |
| 29042 | Bank Vontobel – Alibaba Group European Warrants Mar 2021 D | 70,000,000 | 2020/10/09 | 2021/03/29 | 10.50 |
| 29159 | BOCI Asia Ltd. – AAC Tech European Warrants Sep 2021 A | 100,000,000 | 2020/10/12 | 2021/09/27 | 15.00 |
| 29151 | BOCI Asia Ltd. – Alibaba European Warrants Jun 2021 A | 100,000,000 | 2020/10/12 | 2021/06/25 | 20.00 |
| 29098 | BOCI Asia Ltd. – BYD Company European Warrants Jun 2021 A | 120,000,000 | 2020/10/12 | 2021/06/28 | 30.00 |
| 29202 | Credit Suisse AG – HSI European Warrants Mar 2021 D | 150,000,000 | 2020/10/12 | 2021/03/30 | 22.50 |
| 29207 | Credit Suisse AG – HSI European Put Warrants Apr 2021 A | 150,000,000 | 2020/10/12 | 2021/04/29 | 22.50 |
| 29296 | Goldman Sachs SP (Asia) – Alibaba European Wts Feb 2021 D | 150,000,000 | 2020/10/12 | 2021/02/22 | 27.15 |
| 29307 | Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2021 A | 80,000,000 | 2020/10/12 | 2021/03/24 | 17.36 |
| 29297 | Goldman Sachs SP (Asia) – HKEX European Warrants Jan 2021 K | 150,000,000 | 2020/10/12 | 2021/01/15 | 22.50 |
| 29216 | Haitong Int'l Sec – CK Asset European Warrants Apr 2021 A | 40,000,000 | 2020/10/12 | 2021/04/12 | 10.00 |
| 29218 | Haitong Int'l Sec – Sinopharm European Warrants Apr 2021 A | 60,000,000 | 2020/10/12 | 2021/04/27 | 10.20 |

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| | | (units) | Listing date | Expiry | |
| 29200 | J P Morgan SP BV – Ping An European Warrants Apr 2021 A | 100,000,000 | 2020/10/12 | 2021/04/12 | 33.70 |
| 29223 | J P Morgan SP BV – Sunart Retail Euro Warrants Jul 2021 A | 60,000,000 | 2020/10/12 | 2021/07/30 | 15.06 |
| 29221 | J P Morgan SP BV – Sunny Optical European Put Wts Apr 2021 A | 80,000,000 | 2020/10/12 | 2021/04/30 | 20.00 |
| 29046 | Macquarie Bank Ltd. – Conch Cement European Wts May 2021 A | 21,000,000 | 2020/10/12 | 2021/05/04 | 10.50 |
| 29056 | Macquarie Bank Ltd. – BYD Company European Put Wts Jun2021 B | 34,800,000 | 2020/10/12 | 2021/06/02 | 10.02 |
| 29048 | Macquarie Bank Ltd. – BYD Electronic European Wts Jun 2022 A | 40,000,000 | 2020/10/12 | 2022/06/02 | 10.12 |
| 29050 | Macquarie Bank Ltd. – Meituan European Warrants Jun 2021 B | 35,100,000 | 2020/10/12 | 2021/06/02 | 10.04 |
| 29079 | Macquarie Bank Ltd. – Tencent European Put Wts May 2021 A | 15,700,000 | 2020/10/12 | 2021/05/03 | 10.05 |
| 29086 | Morgan Stanley Asia Products Ltd. – Alibaba Eu Put Wt Feb21A | 80,000,000 | 2020/10/12 | 2021/02/22 | 12.00 |
| 29096 | Morgan Stanley Asia Products Ltd. – Alibaba Eu Put Wt Apr21A | 68,000,000 | 2020/10/12 | 2021/04/12 | 17.00 |
| 29176 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts Apr 2021 A | 68,000,000 | 2020/10/12 | 2021/04/27 | 10.81 |
| 29166 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts Mar21 F | 98,000,000 | 2020/10/12 | 2021/03/26 | 14.70 |
| 29084 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts Apr21 D | 98,000,000 | 2020/10/12 | 2021/04/27 | 14.70 |
| 29287 | SG Issuer – Alibaba Group European Warrants Jun 2021 B | 150,000,000 | 2020/10/12 | 2021/06/25 | 22.50 |
| 29276 | SG Issuer – HSBC European Put Warrants Mar 2021 B | 80,000,000 | 2020/10/12 | 2021/03/24 | 16.00 |
| 29271 | SG Issuer – Lenovo European Warrants Feb 2021 A | 50,000,000 | 2020/10/12 | 2021/02/24 | 10.50 |
| 29179 | UBS AG – Ali Health European Warrants Jan 2021 C | 60,000,000 | 2020/10/12 | 2021/01/18 | 10.20 |
| 29182 | UBS AG – China Mobile European Put Warrants Mar 2021 A | 100,000,000 | 2020/10/12 | 2021/03/31 | 15.00 |
| 29185 | UBS AG – PA Gooddoctor European Warrants Mar 2021 A | 80,000,000 | 2020/10/12 | 2021/03/26 | 12.00 |
| 29246 | Bank Vontobel – AAC Tech European Put Warrants Aug 2021 A | 40,000,000 | 2020/10/12 | 2021/08/31 | 10.00 |
| 29263 | Bank Vontobel – Meituan European Warrants Feb 2021 B | 80,000,000 | 2020/10/12 | 2021/02/19 | 19.20 |
| 29257 | Bank Vontobel – Meituan European Put Warrants Feb 2021 D | 80,000,000 | 2020/10/12 | 2021/02/11 | 12.80 |
| 29249 | Bank Vontobel – Xiaomi European Warrants Feb 2021 C | 80,000,000 | 2020/10/12 | 2021/02/22 | 16.00 |
| 29530 | BOCI Asia Ltd. – Sino Biopharm European Warrants Apr 2021 A | 60,000,000 | 2020/10/13 | 2021/04/23 | 11.40 |
| 29424 | BOCI Asia Ltd. – SMIC European Warrants Feb 2021 A | 60,000,000 | 2020/10/13 | 2021/02/05 | 15.60 |
| 29528 | Credit Suisse AG – BYD Company European Warrants Sep 2021 A | 150,000,000 | 2020/10/13 | 2021/09/30 | 37.50 |
| 29516 | Credit Suisse AG – NetEase European Warrants Mar 2021 B | 150,000,000 | 2020/10/13 | 2021/03/26 | 22.50 |
| 29515 | Credit Suisse AG – Sunart Retail Euro Warrants Apr 2021 A | 40,000,000 | 2020/10/13 | 2021/04/30 | 10.00 |
| 29501 | Credit Suisse AG – Sunny Optical European Put Wts Apr 2021 A | 60,000,000 | 2020/10/13 | 2021/04/12 | 15.00 |
| 29519 | Credit Suisse AG – Tencent European Wts Mar 2021 B | 250,000,000 | 2020/10/13 | 2021/03/24 | 42.50 |
| 29524 | Credit Suisse AG – Tencent Euro Put Wts Mar 2021 A | 200,000,000 | 2020/10/13 | 2021/03/19 | 36.00 |
| 29549 | Citigroup Global – Geely Auto European Warrants Apr 2021 B | 100,000,000 | 2020/10/13 | 2021/04/29 | 17.70 |
| 29550 | Citigroup Global – HKEX European Warrants May 2021 A | 100,000,000 | 2020/10/13 | 2021/05/28 | 25.00 |
| 29619 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Apr 2021 C | 80,000,000 | 2020/10/13 | 2021/04/23 | 12.00 |
| 29627 | Goldman Sachs SP (Asia) – Alibaba European Wts Feb 2021 E | 150,000,000 | 2020/10/13 | 2021/02/22 | 34.35 |
| 29635 | Goldman Sachs SP (Asia) – Alibaba European Wts Mar 2021 I | 150,000,000 | 2020/10/13 | 2021/03/30 | 22.50 |
| 29603 | Goldman Sachs SP (Asia) – Alibaba European Wts Jun 2021 B | 150,000,000 | 2020/10/13 | 2021/06/25 | 22.50 |
| 29618 | Goldman Sachs SP (Asia) – Xiaomi European Wts Apr 2021 A | 100,000,000 | 2020/10/13 | 2021/04/16 | 23.80 |
| 29379 | HK Bank – Alibaba European Warrants Mar 2021 C | 100,000,000 | 2020/10/13 | 2021/03/23 | 22.00 |
| 29375 | HK Bank – Ali Health European Warrants Apr 2021 A | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 29410 | HK Bank – Bank of China European Warrants Sep 2021 A | 80,000,000 | 2020/10/13 | 2021/09/27 | 12.80 |
| 29455 | HK Bank – Geely Auto European Warrants Apr 2021 A | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 29348 | HK Bank – Galaxy Ent European Warrants Apr 2021 A | 80,000,000 | 2020/10/13 | 2021/04/30 | 24.00 |
| 29389 | HK Bank – HKEX European Warrants Jan 2021 B | 80,000,000 | 2020/10/13 | 2021/01/29 | 12.00 |

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| 29397 | HK Bank – HKEX European Put Warrants Apr 2021 A | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 29380 | HK Bank – Meituan Dianping European Put Warrants Feb 2021 B | 80,000,000 | 2020/10/13 | 2021/02/23 | 14.40 |
| 29402 | HK Bank – PA Gooddoctor European Warrants Mar 2021 A | 80,000,000 | 2020/10/13 | 2021/03/26 | 12.00 |
| 29407 | HK Bank – Ping An European Warrants Sep 2022 A | 180,000,000 | 2020/10/13 | 2022/09/28 | 27.00 |
| 29536 | Haitong Int'l Sec – Ali Health European Warrants Apr 2021 A | 50,000,000 | 2020/10/13 | 2021/04/13 | 12.50 |
| 29544 | Haitong Int'l Sec – CCB European Warrants Mar 2021 B | 50,000,000 | 2020/10/13 | 2021/03/24 | 10.00 |
| 29539 | Haitong Int'l Sec – Fosun Intl European Warrants Mar 2021 A | 70,000,000 | 2020/10/13 | 2021/03/30 | 10.50 |
| 29537 | Haitong Int'l Sec – Greatwall Motor European Warrants Apr21A | 50,000,000 | 2020/10/13 | 2021/04/13 | 12.50 |
| 29464 | J P Morgan SP BV – Alibaba European Warrants Jun 2021 A | 300,000,000 | 2020/10/13 | 2021/06/30 | 77.10 |
| 29500 | J P Morgan SP BV – Alibaba European Warrants Jun 2021 B | 300,000,000 | 2020/10/13 | 2021/06/30 | 46.20 |
| 29463 | J P Morgan SP BV – Alibaba European Warrants Dec 2021 A | 300,000,000 | 2020/10/13 | 2021/12/31 | 93.90 |
| 29465 | J P Morgan SP BV – Alibaba European Put Warrants Jan 2021 C | 200,000,000 | 2020/10/13 | 2021/01/15 | 30.00 |
| 29499 | J P Morgan SP BV – NetEase European Warrants Jan 2021 D | 200,000,000 | 2020/10/13 | 2021/01/29 | 30.00 |
| 29483 | J P Morgan SP BV – NetEase European Warrants Mar 2021 C | 300,000,000 | 2020/10/13 | 2021/03/26 | 45.30 |
| 29460 | J P Morgan SP BV – Xinyi Solar European Warrants May 2021 A | 80,000,000 | 2020/10/13 | 2021/05/31 | 20.00 |
| 29430 | Macquarie Bank Ltd. – Alibaba European Warrants May 2021 C | 38,000,000 | 2020/10/13 | 2021/05/04 | 10.15 |
| 29534 | Macquarie Bank Ltd. – Bosideng European Warrants Oct 2021 A | 14,500,000 | 2020/10/13 | 2021/10/08 | 10.60 |
| 29432 | Macquarie Bank Ltd. – Xinyi Solar Euro Warrants Nov 2021 A | 36,000,000 | 2020/10/13 | 2021/11/16 | 10.01 |
| 29342 | Morgan Stanley Asia Products Ltd. – Conch Cement Eu Wt May21A | 40,000,000 | 2020/10/13 | 2021/05/03 | 10.00 |
| 29313 | Morgan Stanley Asia Products Ltd. – Ali Health Eu Wt Apr21 A | 58,000,000 | 2020/10/13 | 2021/04/13 | 14.50 |
| 29338 | Morgan Stanley Asia Products Ltd. – Sunny Eu Wt Feb 2021 A | 68,000,000 | 2020/10/13 | 2021/02/23 | 10.20 |
| 29545 | Morgan Stanley Asia Products Ltd. – Sunny Eu Wt May 2021 A | 80,000,000 | 2020/10/13 | 2021/05/03 | 20.00 |
| 29332 | Morgan Stanley Asia Products Ltd. – Sunny Opt Eu Put Wt Apr21A | 48,000,000 | 2020/10/13 | 2021/04/13 | 12.00 |
| 29557 | SG Issuer – Alibaba Group European Warrants Mar 2021 F | 200,000,000 | 2020/10/13 | 2021/03/23 | 30.00 |
| 29554 | SG Issuer – BYD Company European Warrants Mar 2021 B | 50,000,000 | 2020/10/13 | 2021/03/26 | 15.25 |
| 29553 | SG Issuer – JD.com European Warrants Mar 2021 C | 70,000,000 | 2020/10/13 | 2021/03/01 | 10.50 |
| 29551 | SG Issuer – NetEase European Warrants Apr 2021 B | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.80 |
| 29435 | UBS AG – BOC HK European Warrants Jun 2021 A | 100,000,000 | 2020/10/13 | 2021/06/15 | 25.00 |
| 29454 | UBS AG – PA Gooddoctor European Warrants Jun 2021 A | 100,000,000 | 2020/10/13 | 2021/06/23 | 15.00 |
| 29579 | Bank Vontobel – BYD Company European Warrants Apr 2021 B | 30,000,000 | 2020/10/13 | 2021/04/30 | 10.02 |
| 29583 | Bank Vontobel – CC Bank European Warrants Mar 2021 B | 45,000,000 | 2020/10/13 | 2021/03/25 | 10.35 |
| 29572 | Bank Vontobel – Evergrande European Warrants May 2021 A | 30,000,000 | 2020/10/13 | 2021/05/28 | 10.02 |
| 29586 | Bank Vontobel – Ping An European Warrants Mar 2021 A | 45,000,000 | 2020/10/13 | 2021/03/25 | 10.35 |
| 29769 | BOCI Asia Ltd. – Alibaba European Warrants Mar 2021 D | 100,000,000 | 2020/10/15 | 2021/03/31 | 15.00 |
| 29783 | BOCI Asia Ltd. – Ping An European Warrants Mar 2021 A | 70,000,000 | 2020/10/15 | 2021/03/24 | 16.10 |
| 29768 | BOCI Asia Ltd. – Tencent European Put Wts Mar 2021 A | 100,000,000 | 2020/10/15 | 2021/03/29 | 16.00 |
| 29942 | Credit Suisse AG – Greatwall Motor European Wts Apr 2021 B | 60,000,000 | 2020/10/15 | 2021/04/13 | 15.00 |
| 29935 | Credit Suisse AG – Xinyi Solar European Warrants Apr 2021 B | 60,000,000 | 2020/10/15 | 2021/04/13 | 15.00 |
| 29737 | Guotai Junan – Alibaba Group Euro Put Warrants Mar 2021 A | 100,000,000 | 2020/10/15 | 2021/03/02 | 17.60 |
| 29735 | Guotai Junan – Tencent European Warrants Mar 2021 A | 100,000,000 | 2020/10/15 | 2021/03/02 | 18.10 |
| 11032 | Goldman Sachs SP (Asia) – Alibaba European Wts Jan 2021 O | 100,000,000 | 2020/10/15 | 2021/01/22 | 19.80 |
| 11020 | Goldman Sachs SP (Asia) – Alibaba European Wts Mar 2021 J | 150,000,000 | 2020/10/15 | 2021/03/23 | 22.50 |
| 11049 | Goldman Sachs SP (Asia) – Bud Apac Euro Warrants Jan 2021 A | 80,000,000 | 2020/10/15 | 2021/01/22 | 12.00 |
| 11033 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Feb 2021 M | 150,000,000 | 2020/10/15 | 2021/02/22 | 25.95 |

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| 11062 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 B | 150,000,000 | 2020/10/15 | 2021/02/19 | 40.65 |
| 11002 | Haitong Int'l Sec – Geely Auto European Warrants Mar 2021 A | 70,000,000 | 2020/10/15 | 2021/03/31 | 10.50 |
| 29950 | Haitong Int'l Sec – Jiumaojiu European Warrants Apr 2021 A | 40,000,000 | 2020/10/15 | 2021/04/14 | 10.00 |
| 29958 | Haitong Int'l Sec – Sands China European Warrants Apr 2021 A | 40,000,000 | 2020/10/15 | 2021/04/30 | 10.00 |
| 29957 | Haitong Int'l Sec – Sunart Retail Euro Warrants Apr 2021 A | 40,000,000 | 2020/10/15 | 2021/04/23 | 10.00 |
| 29795 | J P Morgan SP BV – AAC Tech European Put Warrants Apr 2021 A | 50,000,000 | 2020/10/15 | 2021/04/30 | 18.50 |
| 29842 | J P Morgan SP BV – Alibaba European Put Warrants Apr 2021 A | 200,000,000 | 2020/10/15 | 2021/04/30 | 66.60 |
| 29834 | J P Morgan SP BV – Ch LongYuan European Warrants Dec 2021 A | 70,000,000 | 2020/10/15 | 2021/12/31 | 17.57 |
| 29830 | J P Morgan SP BV – CR Beer European Warrants Apr 2021 A | 60,000,000 | 2020/10/15 | 2021/04/16 | 24.84 |
| 29845 | J P Morgan SP BV – Chow Tai Fook European Warrants Mar 2021A | 40,000,000 | 2020/10/15 | 2021/03/23 | 10.00 |
| 29880 | J P Morgan SP BV – Meituan European Warrants Mar 2021 F | 300,000,000 | 2020/10/15 | 2021/03/31 | 57.90 |
| 29833 | J P Morgan SP BV – Meituan European Put Warrants Apr 2021 B | 250,000,000 | 2020/10/15 | 2021/04/30 | 99.00 |
| 29889 | J P Morgan SP BV – PA Gooddoctor Euro Warrants Apr 2021 A | 60,000,000 | 2020/10/15 | 2021/04/22 | 14.40 |
| 29856 | J P Morgan SP BV – Yanzhou Coal European Warrants Mar 2021 A | 60,000,000 | 2020/10/15 | 2021/03/26 | 10.32 |
| 29852 | J P Morgan SP BV – CRRC Times European Warrants Jan 2021 A | 50,000,000 | 2020/10/15 | 2021/01/29 | 10.35 |
| 29637 | Macquarie Bank Ltd. – BYD Company European Wts May 2021 C | 40,000,000 | 2020/10/15 | 2021/05/04 | 10.00 |
| 29713 | Macquarie Bank Ltd. – JD.com European Warrants Jun 2021 A | 24,000,000 | 2020/10/15 | 2021/06/02 | 10.01 |
| 29734 | Macquarie Bank Ltd. – JD.com Euro Put Warrants Jul 2021 A | 26,000,000 | 2020/10/15 | 2021/07/05 | 10.01 |
| 29666 | Macquarie Bank Ltd. – Stanchart European Warrants Jul 2021 A | 19,000,000 | 2020/10/15 | 2021/07/05 | 10.01 |
| 29740 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 A | 108,000,000 | 2020/10/15 | 2021/05/07 | 27.00 |
| 29754 | Morgan Stanley Asia Products Ltd. – HKEX Euro Wts Mar 2021 B | 128,000,000 | 2020/10/15 | 2021/03/26 | 29.70 |
| 29751 | Morgan Stanley Asia Products Ltd. – PA Gooddoctor Eu Wt Jun21A | 68,000,000 | 2020/10/15 | 2021/06/23 | 10.20 |
| 29748 | Morgan Stanley Asia Products Ltd. – Sands Eur Wts Mar 2021 A | 68,000,000 | 2020/10/15 | 2021/03/18 | 12.58 |
| 11018 | SG Issuer – Meituan European Warrants Feb 2021 F | 80,000,000 | 2020/10/15 | 2021/02/19 | 19.60 |
| 11012 | SG Issuer – ZA Online European Warrants Jun 2021 A | 100,000,000 | 2020/10/15 | 2021/06/23 | 15.00 |
| 29890 | UBS AG – Avichina European Warrants Oct 2021 A | 50,000,000 | 2020/10/15 | 2021/10/22 | 10.00 |
| 11007 | Bank Vontobel – China Mobile European Warrants Jul 2021 A | 40,000,000 | 2020/10/15 | 2021/07/09 | 10.00 |
| 11004 | Bank Vontobel – HKEX European Warrants Apr 2021 A | 70,000,000 | 2020/10/15 | 2021/04/19 | 10.50 |
| 11008 | Bank Vontobel – Tencent European Warrants Feb 2022 A | 100,000,000 | 2020/10/15 | 2022/02/24 | 15.70 |
| 11120 | BOCI Asia Ltd. – Meituan European Warrants Feb 2021 A | 150,000,000 | 2020/10/16 | 2021/02/22 | 24.00 |
| 11105 | BOCI Asia Ltd. – Tencent European Put Wts Jan 2021 B | 100,000,000 | 2020/10/16 | 2021/01/15 | 17.00 |
| 11245 | Credit Suisse AG – Bud Apac European Warrants Apr 2021 A | 60,000,000 | 2020/10/16 | 2021/04/14 | 15.00 |
| 11249 | Credit Suisse AG – China Res Beer Euro Warrants Apr 2021 A | 30,000,000 | 2020/10/16 | 2021/04/14 | 10.20 |
| 11261 | Credit Suisse AG – Innovent Bio European Warrants Apr 2021 A | 70,000,000 | 2020/10/16 | 2021/04/14 | 17.50 |
| 11255 | Credit Suisse AG – Shenzhen Int'l European Wts Apr 2021 A | 40,000,000 | 2020/10/16 | 2021/04/14 | 10.00 |
| 11256 | Credit Suisse AG – WuXi Bio European Warrants Apr 2021 A | 60,000,000 | 2020/10/16 | 2021/04/14 | 15.00 |
| 11169 | Citigroup Global – AIA European Put Warrants Feb 2021 A | 100,000,000 | 2020/10/16 | 2021/02/25 | 22.00 |
| 11186 | Citigroup Global – China Mobile European Warrants Mar 2021 B | 120,000,000 | 2020/10/16 | 2021/03/08 | 19.44 |
| 11181 | Citigroup Global – NetEase European Warrants Feb 2021 A | 100,000,000 | 2020/10/16 | 2021/02/01 | 15.60 |
| 11178 | Citigroup Global – NetEase European Warrants May 2021 A | 100,000,000 | 2020/10/16 | 2021/05/28 | 25.00 |
| 11171 | Citigroup Global – Sunny Optical Euro Warrants Nov 2021 A | 100,000,000 | 2020/10/16 | 2021/11/29 | 25.00 |
| 11366 | Goldman Sachs SP (Asia) – CKH Holdings Euro Wts Feb 2021 A | 70,000,000 | 2020/10/16 | 2021/02/23 | 10.50 |
| 11338 | Goldman Sachs SP (Asia) – China Tower Euro Wts Nov 2021 A | 80,000,000 | 2020/10/16 | 2021/11/25 | 13.04 |
| 11335 | Goldman Sachs SP (Asia) – HSI European Put Wts Jan 2021 A | 150,000,000 | 2020/10/16 | 2021/01/28 | 22.50 |

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| 11343 | Goldman Sachs SP (Asia) – Meituan Euro Put Wts Feb 2021 B | 100,000,000 | 2020/10/16 | 2021/02/19 | 16.70 |
| 11368 | Goldman Sachs SP (Asia) – Tencent European Put Wt Jan 2021 C | 100,000,000 | 2020/10/16 | 2021/01/15 | 16.70 |
| 11157 | HK Bank – HSI European Warrants Mar 2021 E | 180,000,000 | 2020/10/16 | 2021/03/30 | 27.00 |
| 11158 | HK Bank – HSI European Put Warrants Apr 2021 A | 180,000,000 | 2020/10/16 | 2021/04/29 | 27.00 |
| 11166 | HK Bank – HSI European Put Warrants May 2021 A | 180,000,000 | 2020/10/16 | 2021/05/28 | 27.00 |
| 11159 | HK Bank – HSI European Put Warrants Jun 2021 A | 180,000,000 | 2020/10/16 | 2021/06/29 | 27.00 |
| 11334 | Haitong Int'l Sec – Country Garden European Wts Apr 2021 A | 60,000,000 | 2020/10/16 | 2021/04/16 | 10.20 |
| 11287 | Haitong Int'l Sec – Greatwall Motor European Wts Apr 2021 B | 40,000,000 | 2020/10/16 | 2021/04/15 | 11.60 |
| 11295 | Haitong Int'l Sec – Kingdee Int'l European Wts Apr 2021 A | 40,000,000 | 2020/10/16 | 2021/04/15 | 10.00 |
| 11315 | Haitong Int'l Sec – Meituan European Warrants Mar 2021 B | 100,000,000 | 2020/10/16 | 2021/03/01 | 15.00 |
| 11328 | Haitong Int'l Sec – Tencent European Warrants Feb 2021 A | 100,000,000 | 2020/10/16 | 2021/02/23 | 22.00 |
| 11319 | Haitong Int'l Sec – Tencent European Warrants Apr 2021 B | 100,000,000 | 2020/10/16 | 2021/04/23 | 15.00 |
| 11271 | J P Morgan SP BV – Alibaba European Warrants Dec 2021 B | 300,000,000 | 2020/10/16 | 2021/12/31 | 75.30 |
| 11284 | J P Morgan SP BV – Alibaba European Warrants Jun 2022 A | 300,000,000 | 2020/10/16 | 2022/06/30 | 75.60 |
| 11203 | J P Morgan SP BV – HSI European Warrants May 2021 A | 400,000,000 | 2020/10/16 | 2021/05/28 | 100.40 |
| 11219 | J P Morgan SP BV – HSI European Put Warrants May 2021 B | 400,000,000 | 2020/10/16 | 2021/05/28 | 100.40 |
| 11238 | J P Morgan SP BV – Innovent Bio European Warrants May 2021 A | 70,000,000 | 2020/10/16 | 2021/05/07 | 15.75 |
| 11226 | J P Morgan SP BV – Sands China Ltd European Wts Apr 2021 A | 80,000,000 | 2020/10/16 | 2021/04/30 | 20.16 |
| 11116 | Macquarie Bank Ltd. – Alibaba European Warrants May 2021 D | 29,000,000 | 2020/10/16 | 2021/05/04 | 10.38 |
| 11107 | Macquarie Bank Ltd. – Xiaomi European Warrants May 2021 D | 40,000,000 | 2020/10/16 | 2021/05/04 | 10.04 |
| 11154 | Morgan Stanley Asia Products Ltd. – CCB Euro Put Wts Mar 21A | 68,000,000 | 2020/10/16 | 2021/03/24 | 10.20 |
| 11123 | Morgan Stanley Asia Products Ltd. – CITIC Sec Euro Wt May21A | 68,000,000 | 2020/10/16 | 2021/05/28 | 10.20 |
| 11149 | Morgan Stanley Asia Products Ltd. – HKEX Euro Wts Apr 2021 C | 128,000,000 | 2020/10/16 | 2021/04/23 | 27.52 |
| 11122 | Morgan Stanley Asia Products Ltd. – SMIC Euro Wts Dec 2021 B | 108,000,000 | 2020/10/16 | 2021/12/23 | 16.20 |
| 11144 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt Mar21A | 60,000,000 | 2020/10/16 | 2021/03/26 | 11.40 |
| 11145 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt Mar21B | 68,000,000 | 2020/10/16 | 2021/03/19 | 10.20 |
| 11199 | UBS AG – Alibaba European Warrants Mar 2021 J | 200,000,000 | 2020/10/16 | 2021/03/23 | 30.00 |
| 11198 | UBS AG – NetEase European Warrants Jan 2021 D | 200,000,000 | 2020/10/16 | 2021/01/20 | 30.00 |
| 11195 | UBS AG – Tencent European Warrants Mar 2021 A | 300,000,000 | 2020/10/16 | 2021/03/24 | 51.00 |
| 11192 | UBS AG – Tencent European Put Warrants Mar 2021 A | 300,000,000 | 2020/10/16 | 2021/03/19 | 45.00 |
| 11263 | Bank Vontobel – Conch Cement European Warrants Apr 2021 A | 25,000,000 | 2020/10/16 | 2021/04/30 | 10.00 |
| 11509 | BOCI Asia Ltd. – Alibaba European Warrants Jun 2021 B | 100,000,000 | 2020/10/19 | 2021/06/28 | 18.00 |
| 11505 | BOCI Asia Ltd. – China Mobile European Warrants Mar 2021 B | 70,000,000 | 2020/10/19 | 2021/03/08 | 10.50 |
| 11503 | BOCI Asia Ltd. – Tencent European Put Wts Jan 2021 C | 100,000,000 | 2020/10/19 | 2021/01/18 | 15.00 |
| 11870 | Credit Suisse AG – Alibaba European Warrants Dec 2021 A | 400,000,000 | 2020/10/19 | 2021/12/31 | 100.00 |
| 11876 | Credit Suisse AG – Alibaba European Warrants Jun 2022 A | 400,000,000 | 2020/10/19 | 2022/06/30 | 100.00 |
| 11880 | Credit Suisse AG – CC Bank European Put Warrants Mar 2021 A | 60,000,000 | 2020/10/19 | 2021/03/26 | 10.02 |
| 11809 | Credit Suisse AG – CITIC Sec European Warrants May 2021 A | 80,000,000 | 2020/10/19 | 2021/05/26 | 12.00 |
| 11878 | Credit Suisse AG – Sands China European Warrants Apr 2021 A | 50,000,000 | 2020/10/19 | 2021/04/19 | 12.50 |
| 11841 | Credit Suisse AG – Tencent European Wts Apr 2021 A | 250,000,000 | 2020/10/19 | 2021/04/23 | 37.50 |
| 11797 | Citigroup Global – Alibaba Group Euro Warrants Mar 2021 D | 100,000,000 | 2020/10/19 | 2021/03/30 | 15.00 |
| 11798 | Citigroup Global – BYD Company European Warrants Jul 2021 A | 100,000,000 | 2020/10/19 | 2021/07/29 | 25.00 |
| 11795 | Citigroup Global – BYD Company European Put Warrants Apr21A | 100,000,000 | 2020/10/19 | 2021/04/29 | 25.00 |
| 11918 | Goldman Sachs SP (Asia) – Country Garden Euro Wts Apr 2021 A | 70,000,000 | 2020/10/19 | 2021/04/16 | 10.50 |

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|-------|--|--------------|--------------|------------|---------------------------|
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| 11928 | Goldman Sachs SP (Asia) – Tencent European Wts Jan 2021 L | 150,000,000 | 2020/10/19 | 2021/01/26 | 29.40 |
| 11888 | Goldman Sachs SP (Asia) – Tencent European Put Wt Jan 2021 D | 100,000,000 | 2020/10/19 | 2021/01/19 | 15.10 |
| 11909 | Goldman Sachs SP (Asia) – Xiaomi European Put Wts Feb 2021 B | 100,000,000 | 2020/10/19 | 2021/02/24 | 15.00 |
| 11779 | Haitong Int'l Sec – Galaxy Ent European Warrants Jan 2021 A | 60,000,000 | 2020/10/19 | 2021/01/28 | 10.20 |
| 11714 | J P Morgan SP BV – CSOP A50 ETF European Warrants Feb 2021 A | 100,000,000 | 2020/10/19 | 2021/02/26 | 15.00 |
| 11708 | J P Morgan SP BV – China Feihe European Warrants Mar 2021 B | 50,000,000 | 2020/10/19 | 2021/03/15 | 12.10 |
| 11680 | J P Morgan SP BV – A50 China European Warrants Feb 2021 A | 100,000,000 | 2020/10/19 | 2021/02/26 | 15.00 |
| 11745 | J P Morgan SP BV – GAC European Warrants Jun 2021 A | 80,000,000 | 2020/10/19 | 2021/06/30 | 14.80 |
| 11635 | J P Morgan SP BV – Greatwall Motor European Wts Apr 2021 B | 80,000,000 | 2020/10/19 | 2021/04/19 | 20.64 |
| 11704 | J P Morgan SP BV – Ping An European Put Warrants Feb 2021 A | 100,000,000 | 2020/10/19 | 2021/02/26 | 20.90 |
| 11653 | J P Morgan SP BV – Sands China European Put Wts Feb 2021 A | 80,000,000 | 2020/10/19 | 2021/02/26 | 17.36 |
| 11643 | J P Morgan SP BV – Shenzhen Int'l European Wts Apr 2021 A | 40,000,000 | 2020/10/19 | 2021/04/30 | 10.00 |
| 11776 | J P Morgan SP BV – Tencent European Put Warrants Jan 2021 C | 250,000,000 | 2020/10/19 | 2021/01/29 | 46.50 |
| 11467 | Macquarie Bank Ltd. – CR Beer European Warrants May 2021 A | 32,000,000 | 2020/10/19 | 2021/05/04 | 10.40 |
| 11453 | Macquarie Bank Ltd. – Fosun Intl European Wts Aug 2021 A | 40,000,000 | 2020/10/19 | 2021/08/09 | 10.08 |
| 11481 | Macquarie Bank Ltd. – Innovent Bio Euro Warrants May 2021 A | 40,000,000 | 2020/10/19 | 2021/05/04 | 10.60 |
| 11457 | Macquarie Bank Ltd. – Jiumaojiu European Warrants May 2021 A | 34,700,000 | 2020/10/19 | 2021/05/18 | 10.03 |
| 11446 | Macquarie Bank Ltd. – Microport European Warrants May 2022 A | 40,000,000 | 2020/10/19 | 2022/05/17 | 10.08 |
| 11461 | Macquarie Bank Ltd. – Meituan European Warrants May 2021 D | 30,000,000 | 2020/10/19 | 2021/05/04 | 10.32 |
| 11483 | Macquarie Bank Ltd. – Sands China European Wts May 2021 A | 40,000,000 | 2020/10/19 | 2021/05/04 | 10.24 |
| 11464 | Macquarie Bank Ltd. – Xiaomi European Warrants Jun 2021 D | 40,000,000 | 2020/10/19 | 2021/06/02 | 10.08 |
| 11432 | Morgan Stanley Asia Products Ltd. – Ali Health Eu Wt Feb21 A | 68,000,000 | 2020/10/19 | 2021/02/05 | 10.20 |
| 11370 | Morgan Stanley Asia Products Ltd. – BOC Euro Wts Jul 2021 A | 68,000,000 | 2020/10/19 | 2021/07/26 | 10.20 |
| 11491 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts May21 A | 188,000,000 | 2020/10/19 | 2021/05/28 | 28.20 |
| 11499 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts Jun21 A | 188,000,000 | 2020/10/19 | 2021/06/29 | 28.20 |
| 11442 | Morgan Stanley Asia Products Ltd. – Link Euro Wt Mar 2021 A | 68,000,000 | 2020/10/19 | 2021/03/15 | 10.20 |
| 11380 | Morgan Stanley Asia Products Ltd. – Meituan Eu Put Wt Feb21D | 68,000,000 | 2020/10/19 | 2021/02/19 | 13.33 |
| 11445 | Morgan Stanley Asia Products Ltd. – SMIC Euro Wts Feb 2021 A | 68,000,000 | 2020/10/19 | 2021/02/23 | 10.20 |
| 11371 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put WtJan21 C | 68,000,000 | 2020/10/19 | 2021/01/22 | 10.20 |
| 11431 | Morgan Stanley Asia Products Ltd. – Zijin Eu Wt Sep 2021 A | 58,000,000 | 2020/10/19 | 2021/09/23 | 11.02 |
| 11801 | SG Issuer – Alibaba European Put Warrants Mar 2021 A | 100,000,000 | 2020/10/19 | 2021/03/31 | 21.00 |
| 11799 | SG Issuer – Tencent European Put Warrants Jan 2021 C | 100,000,000 | 2020/10/19 | 2021/01/19 | 37.00 |
| 11557 | UBS AG – Alibaba European Put Warrants Mar 2021 A | 200,000,000 | 2020/10/19 | 2021/03/31 | 30.00 |
| 11585 | UBS AG – HKEX European Warrants Apr 2021 B | 300,000,000 | 2020/10/19 | 2021/04/23 | 45.00 |
| 11631 | UBS AG – HSI European Put Warrants Feb 2021 B | 300,000,000 | 2020/10/19 | 2021/02/25 | 45.00 |
| 11619 | UBS AG – HSI European Put Warrants Apr 2021 A | 300,000,000 | 2020/10/19 | 2021/04/29 | 45.00 |
| 11581 | UBS AG – Meituan European Warrants Mar 2021 D | 200,000,000 | 2020/10/19 | 2021/03/26 | 30.00 |
| 12127 | BOCI Asia Ltd. – Alibaba European Put Warrants Feb 2021 A | 100,000,000 | 2020/10/20 | 2021/02/25 | 15.00 |
| 12126 | BOCI Asia Ltd. – CSPC Pharma European Warrants Jun 2021 A | 60,000,000 | 2020/10/20 | 2021/06/25 | 15.00 |
| 12159 | Credit Suisse AG – Ali Health European Warrants Apr 2021 A | 40,000,000 | 2020/10/20 | 2021/04/19 | 10.00 |
| 12143 | Credit Suisse AG – BYD Company Euro Put Warrants Apr 2021 C | 150,000,000 | 2020/10/20 | 2021/04/19 | 37.50 |
| 12144 | Credit Suisse AG – GAC European Warrants Jun 2021 A | 60,000,000 | 2020/10/20 | 2021/06/30 | 10.80 |
| 11957 | Guotai Junan – Sands China Ltd European Warrants May 2021 A | 100,000,000 | 2020/10/20 | 2021/05/05 | 24.20 |
| 11946 | Guotai Junan – Tencent Euro Tencent put Warrants Mar 2021 A | 100,000,000 | 2020/10/20 | 2021/03/02 | 15.40 |

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|-------|---|--------------|--------------|------------|---------------------------|
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| 11938 | Guotai Junan – Xiaomi European Warrants Mar 2021 D | 100,000,000 | 2020/10/20 | 2021/03/03 | 17.00 |
| 12224 | Goldman Sachs SP (Asia) – Bank of China Euro Wts Jul 2021 A | 80,000,000 | 2020/10/20 | 2021/07/26 | 12.00 |
| 12199 | Goldman Sachs SP (Asia) – BYD Company Euro Wts Dec 2021 A | 80,000,000 | 2020/10/20 | 2021/12/23 | 17.36 |
| 12240 | Goldman Sachs SP (Asia) – CSPC Pharma Euro Wts Apr 2021 A | 80,000,000 | 2020/10/20 | 2021/04/20 | 20.00 |
| 12214 | Goldman Sachs SP (Asia) – Sands China Euro Wts Mar 2021 A | 80,000,000 | 2020/10/20 | 2021/03/18 | 12.00 |
| 12231 | Goldman Sachs SP (Asia) – Tencent European Wts Jan 2021 M | 150,000,000 | 2020/10/20 | 2021/01/20 | 22.95 |
| 12125 | J P Morgan SP BV – Alibaba European Warrants Mar 2021 F | 300,000,000 | 2020/10/20 | 2021/03/31 | 51.00 |
| 12124 | J P Morgan SP BV – Bank of China European Wts Jul 2021 A | 100,000,000 | 2020/10/20 | 2021/07/30 | 15.10 |
| 12114 | J P Morgan SP BV – HSI European Warrants May 2021 B | 400,000,000 | 2020/10/20 | 2021/05/28 | 101.20 |
| 12121 | J P Morgan SP BV – Sands China Ltd European Warrants Apr21B | 80,000,000 | 2020/10/20 | 2021/04/30 | 20.00 |
| 11980 | Macquarie Bank Ltd. – Alibaba European Warrants Feb 2021 E | 53,000,000 | 2020/10/20 | 2021/02/22 | 10.02 |
| 11981 | Macquarie Bank Ltd. – NetEase European Warrants May 2021 A | 40,000,000 | 2020/10/20 | 2021/05/04 | 10.00 |
| 12102 | Macquarie Bank Ltd. – Tencent European Put Wts Jun 2021 A | 35,400,000 | 2020/10/20 | 2021/06/25 | 10.02 |
| 11986 | Macquarie Bank Ltd. – Goldwind European Warrants Oct 2021 A | 40,000,000 | 2020/10/20 | 2021/10/18 | 10.00 |
| 11975 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts Jun 2021 A | 98,000,000 | 2020/10/20 | 2021/06/18 | 24.50 |
| 12110 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts Mar21 B | 168,000,000 | 2020/10/20 | 2021/03/30 | 31.08 |
| 12113 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts Apr21 B | 168,000,000 | 2020/10/20 | 2021/04/29 | 25.20 |
| 11961 | Morgan Stanley Asia Products Ltd. – ICBC Euro Wts Mar 2021 C | 68,000,000 | 2020/10/20 | 2021/03/29 | 10.20 |
| 11963 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts Apr21 E | 88,000,000 | 2020/10/20 | 2021/04/20 | 22.26 |
| 12129 | UBS AG – Alibaba European Warrants Jun 2021 C | 200,000,000 | 2020/10/20 | 2021/06/25 | 30.00 |
| 12135 | UBS AG – BYD Company European Warrants Jun 2021 A | 150,000,000 | 2020/10/20 | 2021/06/28 | 37.50 |
| 12131 | UBS AG – Tencent European Warrants Apr 2021 B | 300,000,000 | 2020/10/20 | 2021/04/23 | 45.00 |
| 12192 | Bank Vontobel – Hang Seng Bank European Warrants May 2021 A | 60,000,000 | 2020/10/20 | 2021/05/31 | 10.80 |
| 12244 | BOCI Asia Ltd. – ICBC European Warrants Mar 2021 A | 70,000,000 | 2020/10/21 | 2021/03/26 | 10.50 |
| 12467 | Credit Suisse AG – Alibaba European Warrants Mar 2021 J | 400,000,000 | 2020/10/21 | 2021/03/30 | 60.00 |
| 12498 | Credit Suisse AG – Alibaba European Warrants Jul 2021 A | 400,000,000 | 2020/10/21 | 2021/07/30 | 100.00 |
| 12438 | Credit Suisse AG – CSPC Pharma European Warrants Apr 2021 B | 80,000,000 | 2020/10/21 | 2021/04/20 | 20.00 |
| 12448 | Credit Suisse AG – Dongfeng Motor European Wts Apr 2021 A | 40,000,000 | 2020/10/21 | 2021/04/20 | 28.00 |
| 12454 | Credit Suisse AG – HSI European Warrants Feb 2021 A | 150,000,000 | 2020/10/21 | 2021/02/25 | 22.50 |
| 12455 | Credit Suisse AG – HSI European Warrants Sep 2021 A | 150,000,000 | 2020/10/21 | 2021/09/29 | 22.50 |
| 12456 | Credit Suisse AG – HSI European Put Warrants May 2021 A | 150,000,000 | 2020/10/21 | 2021/05/28 | 22.50 |
| 12429 | Citigroup Global – Alibaba Group Euro Warrants Jun 2021 A | 100,000,000 | 2020/10/21 | 2021/06/29 | 16.70 |
| 12241 | Guotai Junan – Tencent European Warrants Mar 2021 B | 100,000,000 | 2020/10/21 | 2021/03/02 | 19.70 |
| 12527 | Goldman Sachs SP (Asia) – Alibaba European Wts Feb 2021 F | 150,000,000 | 2020/10/21 | 2021/02/22 | 22.50 |
| 12511 | Goldman Sachs SP (Asia) – CC Bank European Wts Mar 2021 B | 80,000,000 | 2020/10/21 | 2021/03/26 | 12.00 |
| 12502 | Goldman Sachs SP (Asia) – CKA European Warrants Mar 2021 B | 80,000,000 | 2020/10/21 | 2021/03/26 | 12.00 |
| 12508 | Goldman Sachs SP (Asia) – ICBC European Warrants Mar 2021 C | 80,000,000 | 2020/10/21 | 2021/03/26 | 12.00 |
| 12560 | Goldman Sachs SP (Asia) – ZA Online European Wts Jun 2021 A | 80,000,000 | 2020/10/21 | 2021/06/23 | 12.00 |
| 12398 | J P Morgan SP BV – Chinares Cement Euro Warrants Feb 2021 A | 70,000,000 | 2020/10/21 | 2021/02/03 | 10.64 |
| 12422 | J P Morgan SP BV – Dongfeng Motor European Wts Feb 2021 A | 40,000,000 | 2020/10/21 | 2021/02/05 | 16.84 |
| 12416 | J P Morgan SP BV – ICBC European Warrants Mar 2021 C | 100,000,000 | 2020/10/21 | 2021/03/26 | 15.20 |
| 12245 | Macquarie Bank Ltd. – Greatwall Motor Euro Wts Jul 2021 A | 40,000,000 | 2020/10/21 | 2021/07/05 | 10.00 |
| 12243 | Macquarie Bank Ltd. – Micropoint European Warrants May 2021 A | 27,300,000 | 2020/10/21 | 2021/05/04 | 10.02 |
| 12255 | Morgan Stanley Asia Products Ltd. – CCB Euro Wts Feb 2021 A | 68,000,000 | 2020/10/21 | 2021/02/04 | 10.20 |

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|--------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 12262 | Morgan Stanley Asia Products Ltd. – CCB Euro Wts Jul 2021 B | 68,000,000 | 2020/10/21 | 2021/07/26 | 11.70 |
| 12261 | Morgan Stanley Asia Products Ltd. – ICBC Euro Wts May 2021 A | 68,000,000 | 2020/10/21 | 2021/05/21 | 10.20 |
| 12254 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts Feb 21E | 68,000,000 | 2020/10/21 | 2021/02/23 | 10.20 |
| 12253 | SG Issuer – Meituan European Warrants Mar 2021 C | 150,000,000 | 2020/10/21 | 2021/03/31 | 22.50 |
| 12251 | SG Issuer – Tencent European Warrants Apr 2021 C | 150,000,000 | 2020/10/21 | 2021/04/30 | 37.50 |
| 12263 | UBS AG – AAC Tech European Put Warrants Apr 2021 A | 100,000,000 | 2020/10/21 | 2021/04/23 | 26.00 |
| 12283 | UBS AG – Bank of China European Warrants Jul 2021 A | 70,000,000 | 2020/10/21 | 2021/07/26 | 10.50 |
| 12282 | UBS AG – CC Bank European Put Warrants Mar 2021 A | 70,000,000 | 2020/10/21 | 2021/03/26 | 10.50 |
| 12287 | UBS AG – HSI European Put Warrants Mar 2021 B | 300,000,000 | 2020/10/21 | 2021/03/30 | 45.00 |
| 12285 | UBS AG – HSI European Put Warrants Apr 2021 B | 300,000,000 | 2020/10/21 | 2021/04/29 | 45.00 |
| 12266 | UBS AG – Sunny Optical European Put Warrants Mar 2021 A | 80,000,000 | 2020/10/21 | 2021/03/31 | 16.80 |
| 12311 | Bank Vontobel – Bank of China European Warrants Apr 2021 A | 70,000,000 | 2020/10/21 | 2021/04/28 | 10.50 |
| 12347 | Bank Vontobel – CK Asset European Warrants May 2021 A | 30,000,000 | 2020/10/21 | 2021/05/05 | 10.20 |
| 12363 | Bank Vontobel – CKH Holdings European Warrants May 2021 A | 30,000,000 | 2020/10/21 | 2021/05/05 | 10.20 |
| 12314 | Bank Vontobel – CNOOC European Warrants May 2021 A | 70,000,000 | 2020/10/21 | 2021/05/27 | 10.50 |
| 12290 | Bank Vontobel – Sinopec Corp European Warrants Jul 2021 A | 40,000,000 | 2020/10/21 | 2021/07/05 | 10.00 |
| 12308 | Bank Vontobel – China Unicom European Warrants Jul 2021 A | 60,000,000 | 2020/10/21 | 2021/07/05 | 10.20 |
| 12374 | Bank Vontobel – SUNAC European Warrants Mar 2021 B | 40,000,000 | 2020/10/21 | 2021/03/24 | 11.60 |
| 12316 | Bank Vontobel – Sunny Optical European Warrants Feb 2021 B | 70,000,000 | 2020/10/21 | 2021/02/26 | 10.50 |
| 23758# | HK Bank – Link REIT European Warrants Dec 2021 A | 132,000,000 | 2020/10/21 | 2021/12/31 | 10.03 |
| 12613 | BOCI Asia Ltd. – CNOOC European Warrants Jul 2021 A | 70,000,000 | 2020/10/22 | 2021/07/26 | 10.50 |
| 12923 | Credit Suisse AG – BAIC Motor European Warrants Apr 2021 B | 30,000,000 | 2020/10/22 | 2021/04/21 | 10.20 |
| 12994 | Credit Suisse AG – BYD Company European Warrants Mar 2021 B | 100,000,000 | 2020/10/22 | 2021/03/30 | 28.00 |
| 12909 | Credit Suisse AG – China Life European Put Wts Mar 2021 A | 80,000,000 | 2020/10/22 | 2021/03/31 | 12.00 |
| 12922 | Credit Suisse AG – Geely Auto European Warrants Apr 2021 C | 100,000,000 | 2020/10/22 | 2021/04/21 | 25.00 |
| 12928 | Credit Suisse AG – Meidong Auto European Warrants Apr 2021 A | 30,000,000 | 2020/10/22 | 2021/04/21 | 13.20 |
| 12945 | Credit Suisse AG – Ping An European Warrants Feb 2021 D | 150,000,000 | 2020/10/22 | 2021/02/26 | 22.50 |
| 12974 | Credit Suisse AG – PSBC European Warrants Apr 2021 A | 50,000,000 | 2020/10/22 | 2021/04/21 | 12.50 |
| 12911 | Credit Suisse AG – SMIC European Put Warrants Apr 2021 A | 50,000,000 | 2020/10/22 | 2021/04/21 | 17.50 |
| 12669 | Citigroup Global – Alibaba European Put Warrants Mar 2021 A | 100,000,000 | 2020/10/22 | 2021/03/31 | 23.90 |
| 12698 | Citigroup Global – CCB European Warrants Jul 2021 A | 100,000,000 | 2020/10/22 | 2021/07/29 | 25.00 |
| 12685 | Citigroup Global – ICBC European Warrants Jul 2021 A | 100,000,000 | 2020/10/22 | 2021/07/29 | 18.90 |
| 12688 | Citigroup Global – JD.com European Warrants Feb 2021 C | 120,000,000 | 2020/10/22 | 2021/02/25 | 18.48 |
| 12666 | Citigroup Global – SMIC European Warrants Apr 2021 A | 100,000,000 | 2020/10/22 | 2021/04/29 | 28.00 |
| 13089 | Goldman Sachs SP (Asia) – AIA European Warrants Jan 2021 C | 80,000,000 | 2020/10/22 | 2021/01/22 | 12.00 |
| 13145 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Mar 2021 C | 80,000,000 | 2020/10/22 | 2021/03/24 | 12.00 |
| 13152 | Goldman Sachs SP (Asia) – CSOP A50 ETF Euro Wts Feb 2021 A | 100,000,000 | 2020/10/22 | 2021/02/19 | 15.00 |
| 13097 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Mar 2021 A | 80,000,000 | 2020/10/22 | 2021/03/23 | 20.40 |
| 13149 | Goldman Sachs SP (Asia) – Ping An European Wts Jan 2021 D | 100,000,000 | 2020/10/22 | 2021/01/29 | 15.00 |
| 12615 | HK Bank – Alibaba European Warrants Mar 2021 D | 100,000,000 | 2020/10/22 | 2021/03/30 | 15.00 |
| 12621 | HK Bank – Alibaba European Put Warrants Apr 2021 A | 100,000,000 | 2020/10/22 | 2021/04/30 | 25.00 |
| 13035 | HK Bank – BYD Company European Warrants Jun 2021 A | 80,000,000 | 2020/10/22 | 2021/06/01 | 20.00 |
| 12642 | HK Bank – HKEX European Warrants Mar 2021 B | 80,000,000 | 2020/10/22 | 2021/03/23 | 12.00 |
| 12635 | HK Bank – ICBC European Warrants Aug 2021 B | 80,000,000 | 2020/10/22 | 2021/08/31 | 20.00 |

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| 13037 | HK Bank – NetEase European Warrants Oct 2021 A | 100,000,000 | 2020/10/22 | 2021/10/04 | 25.00 |
| 12655 | HK Bank – PetroChina European Warrants Jul 2021 A | 80,000,000 | 2020/10/22 | 2021/07/30 | 20.00 |
| 12660 | HK Bank – SUNAC European Warrants Jun 2021 A | 50,000,000 | 2020/10/22 | 2021/06/30 | 12.50 |
| 13077 | HK Bank – Sunny Optical European Warrants Oct 2021 A | 100,000,000 | 2020/10/22 | 2021/10/04 | 25.00 |
| 12650 | HK Bank – Tencent European Put Warrants Apr 2021 A | 80,000,000 | 2020/10/22 | 2021/04/30 | 28.00 |
| 12808 | J P Morgan SP BV – CC Bank European Wts Feb 2021 B | 100,000,000 | 2020/10/22 | 2021/02/26 | 15.00 |
| 12788 | J P Morgan SP BV – China Lit European Warrants Jun 2021 B | 60,000,000 | 2020/10/22 | 2021/06/30 | 15.06 |
| 12796 | J P Morgan SP BV – ICBC European Warrants May 2021 A | 100,000,000 | 2020/10/22 | 2021/05/31 | 15.20 |
| 12824 | J P Morgan SP BV – Lenovo European Warrants Jan 2021 A | 50,000,000 | 2020/10/22 | 2021/01/29 | 10.30 |
| 12804 | J P Morgan SP BV – Microport European Warrants Jul 2021 A | 100,000,000 | 2020/10/22 | 2021/07/07 | 15.00 |
| 12792 | J P Morgan SP BV – Sino Biopharm Euro Warrants Apr 2021 B | 68,000,000 | 2020/10/22 | 2021/04/30 | 10.34 |
| 12571 | Macquarie Bank Ltd. – China Lit European Warrants Jun 2021 A | 40,000,000 | 2020/10/22 | 2021/06/09 | 10.04 |
| 12576 | Macquarie Bank Ltd. – Ch Molybdenum European Wts Jul 2021 A | 24,000,000 | 2020/10/22 | 2021/07/05 | 10.20 |
| 12585 | Macquarie Bank Ltd. – Dongfeng Motor European Wts May 2021 A | 22,200,000 | 2020/10/22 | 2021/05/04 | 10.10 |
| 12612 | Macquarie Bank Ltd. – HKEX European Warrants May 2021 A | 38,200,000 | 2020/10/22 | 2021/05/04 | 10.01 |
| 12586 | Macquarie Bank Ltd. – PICC Group European Wts Sep 2021 A | 40,000,000 | 2020/10/22 | 2021/09/02 | 10.00 |
| 12602 | Macquarie Bank Ltd. – SD Gold European Warrants May 2021 A | 33,900,000 | 2020/10/22 | 2021/05/18 | 10.00 |
| 12908 | SG Issuer – AAC Tech European Warrants Sep 2021 A | 100,000,000 | 2020/10/22 | 2021/09/27 | 15.00 |
| 12830 | SG Issuer – CM Bank European Warrants Apr 2021 A | 40,000,000 | 2020/10/22 | 2021/04/30 | 10.00 |
| 12904 | SG Issuer – Galaxy Ent European Warrants Mar 2021 A | 40,000,000 | 2020/10/22 | 2021/03/23 | 13.60 |
| 12883 | SG Issuer – Sands China Ltd European Warrants Apr 2021 B | 60,000,000 | 2020/10/22 | 2021/04/12 | 11.40 |
| 12872 | SG Issuer – Sunny Optical European Warrants Apr 2021 B | 60,000,000 | 2020/10/22 | 2021/04/30 | 15.00 |
| 12855 | SG Issuer – Tencent European Warrants Jun 2021 A | 150,000,000 | 2020/10/22 | 2021/06/30 | 37.50 |
| 12998 | Bank Vontobel – Ping An European Warrants Jul 2021 A | 40,000,000 | 2020/10/22 | 2021/07/05 | 10.40 |
| 12924 | Bank Vontobel – Sands China Ltd European Warrants Apr 2021 B | 50,000,000 | 2020/10/22 | 2021/04/28 | 11.00 |
| 13528 | Credit Suisse AG – Alibaba European Put Warrants Mar 2021 B | 100,000,000 | 2020/10/23 | 2021/03/31 | 19.00 |
| 13517 | Credit Suisse AG – Ali Health European Warrants Mar 2021 B | 40,000,000 | 2020/10/23 | 2021/03/26 | 10.00 |
| 13530 | Credit Suisse AG – Geely Auto European Put Wts May 2021 A | 80,000,000 | 2020/10/23 | 2021/05/31 | 20.00 |
| 13484 | Credit Suisse AG – Galaxy Ent European Warrants Mar 2021 A | 40,000,000 | 2020/10/23 | 2021/03/23 | 12.00 |
| 13504 | Credit Suisse AG – Genscript Bio European Warrants Apr 2021A | 40,000,000 | 2020/10/23 | 2021/04/22 | 10.00 |
| 13478 | Credit Suisse AG – HKEX European Warrants Mar 2021 C | 200,000,000 | 2020/10/23 | 2021/03/23 | 30.00 |
| 13482 | Credit Suisse AG – ICBC European Warrants Jul 2021 A | 70,000,000 | 2020/10/23 | 2021/07/23 | 10.50 |
| 13462 | Credit Suisse AG – Link REIT European Warrants Apr 2021 A | 50,000,000 | 2020/10/23 | 2021/04/22 | 12.50 |
| 13521 | Credit Suisse AG – SD Gold European Warrants Apr 2021 A | 40,000,000 | 2020/10/23 | 2021/04/22 | 10.00 |
| 13476 | Credit Suisse AG – SHK Ppt European Warrants Apr 2021 B | 40,000,000 | 2020/10/23 | 2021/04/22 | 10.00 |
| 13522 | Credit Suisse AG – Zijin Mining European Warrants Jul 2021 A | 100,000,000 | 2020/10/23 | 2021/07/30 | 25.00 |
| 13546 | Goldman Sachs SP (Asia) – Alibaba European Wts Feb 2021 G | 150,000,000 | 2020/10/23 | 2021/02/22 | 22.50 |
| 13552 | Goldman Sachs SP (Asia) – BYD Company Euro Wts Jun 2021 A | 80,000,000 | 2020/10/23 | 2021/06/24 | 12.00 |
| 13564 | Goldman Sachs SP (Asia) – China Tower Euro Wts Aug 2021 B | 80,000,000 | 2020/10/23 | 2021/08/24 | 12.96 |
| 13569 | Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2021 A | 150,000,000 | 2020/10/23 | 2021/02/25 | 22.50 |
| 13561 | Goldman Sachs SP (Asia) – Xiaomi European Wts Feb 2021 I | 100,000,000 | 2020/10/23 | 2021/02/22 | 16.30 |
| 13352 | J P Morgan SP BV – Beigene European Warrants Apr 2021 A | 60,000,000 | 2020/10/23 | 2021/04/30 | 15.30 |
| 13378 | J P Morgan SP BV – BYD Company European Warrants Mar 2021 B | 100,000,000 | 2020/10/23 | 2021/03/31 | 32.50 |
| 13387 | J P Morgan SP BV – Chi Res Power European Wts Apr 2021 A | 70,000,000 | 2020/10/23 | 2021/04/23 | 10.64 |

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| | | (units) | Listing date | Expiry | |
| 13371 | J P Morgan SP BV – Guangdong Inv European Warrants Jul 2021A | 70,000,000 | 2020/10/23 | 2021/07/23 | 10.57 |
| 13372 | J P Morgan SP BV – Longfor Group European Warrants Mar 2021A | 60,000,000 | 2020/10/23 | 2021/03/05 | 15.30 |
| 13355 | J P Morgan SP BV – Meidong Auto European Warrants Apr 2021 A | 60,000,000 | 2020/10/23 | 2021/04/23 | 20.82 |
| 13368 | J P Morgan SP BV – Weichai Power European Wts Jun 2021 A | 70,000,000 | 2020/10/23 | 2021/06/09 | 10.64 |
| 13325 | J P Morgan SP BV – Xinyi Glass European Warrants May 2021 A | 60,000,000 | 2020/10/23 | 2021/05/31 | 15.00 |
| 13221 | Macquarie Bank Ltd. – AAC Tech European Wts May 2021 A | 27,300,000 | 2020/10/23 | 2021/05/04 | 10.02 |
| 13267 | Macquarie Bank Ltd. – AIA European Put Warrants Jan 2022 A | 40,000,000 | 2020/10/23 | 2022/01/04 | 10.04 |
| 13233 | Macquarie Bank Ltd. – BYD Company European Wts Dec 2021 A | 40,000,000 | 2020/10/23 | 2021/12/02 | 10.00 |
| 13240 | Macquarie Bank Ltd. – China Com Services Euro Wts Dec 2021 A | 40,000,000 | 2020/10/23 | 2021/12/02 | 10.08 |
| 13206 | Macquarie Bank Ltd. – China Life European Wts Oct 2021 A | 39,000,000 | 2020/10/23 | 2021/10/05 | 10.02 |
| 13201 | Macquarie Bank Ltd. – Sinopec Corp European Wts Oct 2021 A | 27,000,000 | 2020/10/23 | 2021/10/05 | 10.10 |
| 13295 | Macquarie Bank Ltd. – CRCC European Warrants May 2022 A | 40,000,000 | 2020/10/23 | 2022/05/03 | 10.00 |
| 13235 | Macquarie Bank Ltd. – CSPC Pharma European Wts Oct 2021 A | 40,000,000 | 2020/10/23 | 2021/10/05 | 10.04 |
| 13212 | Macquarie Bank Ltd. – China Tel European Warrants Oct 2021 A | 28,000,000 | 2020/10/23 | 2021/10/05 | 10.02 |
| 13180 | Macquarie Bank Ltd. – Melco Int'l Dev Euro Wts Aug 2021 A | 40,000,000 | 2020/10/23 | 2021/08/13 | 10.00 |
| 13294 | Macquarie Bank Ltd. – Nine Dragons European Wts Jul 2021 A | 40,000,000 | 2020/10/23 | 2021/07/05 | 10.48 |
| 13299 | Macquarie Bank Ltd. – PA Gooddoctor European Wts May 2021 A | 39,500,000 | 2020/10/23 | 2021/05/04 | 10.03 |
| 13163 | Macquarie Bank Ltd. – PetroChina European Wts May 2021 A | 40,000,000 | 2020/10/23 | 2021/05/04 | 10.04 |
| 13247 | Macquarie Bank Ltd. – Wynn Macau European Wts Oct 2021 A | 40,000,000 | 2020/10/23 | 2021/10/05 | 10.00 |
| 13302 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts Apr 2021 C | 80,000,000 | 2020/10/23 | 2021/04/23 | 20.00 |
| 13271 | Morgan Stanley Asia Products Ltd. – China Tower Eu Wt Jul21B | 138,000,000 | 2020/10/23 | 2021/07/02 | 34.50 |
| 13460 | SG Issuer – Kingsoft European Warrants Oct 2021 A | 100,000,000 | 2020/10/23 | 2021/10/22 | 16.50 |
| 13412 | SG Issuer – Mengniu Dairy European Warrants Apr 2021 A | 40,000,000 | 2020/10/23 | 2021/04/30 | 10.00 |
| 13441 | SG Issuer – PA Gooddoctor European Warrants Jun 2021 A | 100,000,000 | 2020/10/23 | 2021/06/23 | 16.50 |
| 13418 | SG Issuer – ZA Online European Warrants Sep 2021 A | 100,000,000 | 2020/10/23 | 2021/09/23 | 22.00 |
| 13417 | SG Issuer – ZTE European Warrants Apr 2021 A | 40,000,000 | 2020/10/23 | 2021/04/30 | 10.00 |
| 13400 | Bank Vontobel – Alibaba European Put Warrants Apr 2021 A | 60,000,000 | 2020/10/23 | 2021/04/28 | 15.00 |
| 13399 | Bank Vontobel – Anta Sports European Warrants Jun 2021 A | 40,000,000 | 2020/10/23 | 2021/06/04 | 10.00 |
| 13391 | Bank Vontobel – HKEX European Warrants Jul 2021 A | 40,000,000 | 2020/10/23 | 2021/07/05 | 10.00 |
| 13389 | Bank Vontobel – PetroChina European Warrants May 2021 A | 70,000,000 | 2020/10/23 | 2021/05/24 | 10.50 |
| 13592 | BOCI Asia Ltd. – BYD Company European Warrants Jun 2021 B | 120,000,000 | 2020/10/27 | 2021/06/28 | 30.00 |
| 13824 | Credit Suisse AG – China EB Ltd European Warrants Apr 2021 A | 40,000,000 | 2020/10/27 | 2021/04/26 | 10.00 |
| 13782 | Credit Suisse AG – Cathay Pacific European Wts Aug 2021 A | 60,000,000 | 2020/10/27 | 2021/08/31 | 15.00 |
| 13837 | Credit Suisse AG – China Shenhua European Wts Mar 2021 A | 70,000,000 | 2020/10/27 | 2021/03/31 | 10.50 |
| 13785 | Credit Suisse AG – Greatwall Motor European Wts Apr 2021 C | 60,000,000 | 2020/10/27 | 2021/04/26 | 16.20 |
| 13845 | Credit Suisse AG – Jiangxi Copper European Wts Apr 2021 A | 70,000,000 | 2020/10/27 | 2021/04/27 | 10.50 |
| 13811 | Credit Suisse AG – PICC European Warrants Mar 2021 A | 50,000,000 | 2020/10/27 | 2021/03/24 | 14.50 |
| 13770 | Credit Suisse AG – Tencent European Wts Feb 2021 B | 250,000,000 | 2020/10/27 | 2021/02/19 | 50.00 |
| 13771 | Credit Suisse AG – Tencent European Wts Feb 2021 C | 250,000,000 | 2020/10/27 | 2021/02/23 | 40.00 |
| 13838 | Credit Suisse AG – Xinyi Glass European Warrants Apr 2021 A | 50,000,000 | 2020/10/27 | 2021/04/26 | 12.50 |
| 13794 | Credit Suisse AG – Yongda Auto European Warrants Apr 2021 A | 30,000,000 | 2020/10/27 | 2021/04/26 | 10.20 |
| 13736 | Citigroup Global – CITIC Sec European Warrants Jan 2021 A | 100,000,000 | 2020/10/27 | 2021/01/28 | 23.70 |
| 13741 | Citigroup Global – CITIC Sec European Warrants May 2021 A | 100,000,000 | 2020/10/27 | 2021/05/27 | 15.00 |
| 13732 | Citigroup Global – JD.com European Put Warrants Feb 2021 A | 100,000,000 | 2020/10/27 | 2021/02/25 | 17.20 |

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| 13733 | Citigroup Global – PA Gooddoctor European Warrants Apr 2021B | 100,000,000 | 2020/10/27 | 2021/04/29 | 25.50 |
| 13871 | Goldman Sachs SP (Asia) – Alibaba European Wts Mar 2021 K | 150,000,000 | 2020/10/27 | 2021/03/26 | 22.50 |
| 13873 | Goldman Sachs SP (Asia) – China Unicom Euro Wts Dec 2021 A | 80,000,000 | 2020/10/27 | 2021/12/22 | 16.88 |
| 13851 | Goldman Sachs SP (Asia) – HSBC European Warrants Jan 2021 E | 80,000,000 | 2020/10/27 | 2021/01/29 | 12.00 |
| 13877 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Feb 2021 C | 120,000,000 | 2020/10/27 | 2021/02/19 | 26.76 |
| 13848 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Feb 2021 N | 150,000,000 | 2020/10/27 | 2021/02/22 | 22.50 |
| 13660 | J P Morgan SP BV – Chinasoft Int'l Euro Warrants May 2021 A | 70,000,000 | 2020/10/27 | 2021/05/21 | 10.64 |
| 13682 | J P Morgan SP BV – WuXi Bio European Warrants Apr 2021 A | 80,000,000 | 2020/10/27 | 2021/04/07 | 18.00 |
| 13709 | J P Morgan SP BV – Zhongsheng Hold Euro Warrants Apr 2022 A | 40,000,000 | 2020/10/27 | 2022/04/29 | 10.08 |
| 13636 | Macquarie Bank Ltd. – Alibaba European Put Wts May 2021 A | 33,000,000 | 2020/10/27 | 2021/05/04 | 10.07 |
| 13644 | Macquarie Bank Ltd. – BYD Company European Put Wts May 2021B | 31,200,000 | 2020/10/27 | 2021/05/04 | 10.02 |
| 13649 | Macquarie Bank Ltd. – CITIC Bank European Put Wts May 2021 A | 26,600,000 | 2020/10/27 | 2021/05/04 | 10.05 |
| 13655 | Macquarie Bank Ltd. – CM Bank Euro Put Warrants Jul 2021 A | 26,000,000 | 2020/10/27 | 2021/07/05 | 10.14 |
| 13578 | Morgan Stanley Asia Products Ltd. – Ch Tower Eu Wt Aug 2021B | 138,000,000 | 2020/10/27 | 2021/08/24 | 25.39 |
| 13764 | SG Issuer – BOC HK European Warrants Mar 2021 A | 50,000,000 | 2020/10/27 | 2021/03/31 | 10.00 |
| 13752 | SG Issuer – CITIC Sec European Warrants May 2021 A | 70,000,000 | 2020/10/27 | 2021/05/26 | 10.50 |
| 13756 | SG Issuer – China Unicom European Warrants Oct 2021 A | 70,000,000 | 2020/10/27 | 2021/10/29 | 11.90 |
| 13747 | SG Issuer – Link REIT European Warrants Mar 2021 A | 70,000,000 | 2020/10/27 | 2021/03/31 | 10.50 |
| 13744 | SG Issuer – WuXi Bio European Warrants Apr 2021 A | 40,000,000 | 2020/10/27 | 2021/04/30 | 10.00 |
| 13716 | UBS AG – Bank of China European Warrants Apr 2021 B | 100,000,000 | 2020/10/27 | 2021/04/28 | 16.00 |
| 13719 | UBS AG – CC Bank European Warrants Jul 2021 B | 100,000,000 | 2020/10/27 | 2021/07/26 | 19.50 |
| 13730 | UBS AG – CM Bank European Warrants Jan 2021 A | 70,000,000 | 2020/10/27 | 2021/01/27 | 10.50 |
| 13717 | UBS AG – ICBC European Warrants Jul 2021 B | 100,000,000 | 2020/10/27 | 2021/07/23 | 16.10 |
| 14014 | BOCI Asia Ltd. – Galaxy Ent European Warrants Jan 2021 A | 50,000,000 | 2020/10/28 | 2021/01/28 | 10.50 |
| 14111 | BOCI Asia Ltd. – Tencent European Warrants Feb 2021 A | 150,000,000 | 2020/10/28 | 2021/02/26 | 22.50 |
| 14173 | Credit Suisse AG – China Aoyuan European Warrants Apr 2021 A | 40,000,000 | 2020/10/28 | 2021/04/27 | 10.00 |
| 14187 | Credit Suisse AG – CS Services European Warrants Dec 2021 A | 100,000,000 | 2020/10/28 | 2021/12/23 | 15.00 |
| 14132 | Credit Suisse AG – China Tower European Warrants Aug 2021 A | 100,000,000 | 2020/10/28 | 2021/08/24 | 17.00 |
| 14136 | Credit Suisse AG – China Tower European Warrants Nov 2021 A | 100,000,000 | 2020/10/28 | 2021/11/25 | 15.00 |
| 14170 | Credit Suisse AG – Hansoh Pharma European Warrants Apr2021 A | 30,000,000 | 2020/10/28 | 2021/04/27 | 10.20 |
| 14149 | Credit Suisse AG – Kingdee Int'l Euro Warrants Apr 2021 A | 40,000,000 | 2020/10/28 | 2021/04/27 | 10.00 |
| 14117 | Credit Suisse AG – Koolearn European Warrants Dec 2021 A | 80,000,000 | 2020/10/28 | 2021/12/31 | 20.00 |
| 14131 | Credit Suisse AG – Sands China European Put Wts Feb 2021 B | 70,000,000 | 2020/10/28 | 2021/02/23 | 10.50 |
| 13907 | Citigroup Global – Alibaba Group Euro Warrants May 2021 A | 100,000,000 | 2020/10/28 | 2021/05/28 | 25.00 |
| 13922 | Citigroup Global – Alibaba Group Euro Warrants Sep 2021 A | 100,000,000 | 2020/10/28 | 2021/09/29 | 25.50 |
| 13929 | Citigroup Global – Alibaba European Put Warrants Mar 2021 B | 100,000,000 | 2020/10/28 | 2021/03/30 | 16.90 |
| 13944 | Citigroup Global – Alibaba European Put Warrants Apr 2021 A | 100,000,000 | 2020/10/28 | 2021/04/29 | 33.00 |
| 13934 | Citigroup Global – Alibaba European Put Warrants Jun 2021 A | 100,000,000 | 2020/10/28 | 2021/06/29 | 26.00 |
| 14261 | Goldman Sachs SP (Asia) – Agricultural Bank Eur Wt Jul2021 A | 80,000,000 | 2020/10/28 | 2021/07/26 | 12.00 |
| 14241 | Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2021 D | 80,000,000 | 2020/10/28 | 2021/02/23 | 12.00 |
| 14251 | Goldman Sachs SP (Asia) – CC Bank Euro Put Wts Apr 2021 A | 80,000,000 | 2020/10/28 | 2021/04/28 | 20.00 |
| 14269 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 C | 150,000,000 | 2020/10/28 | 2021/02/19 | 22.50 |
| 13982 | HK Bank – CSPC Pharma European Warrants Apr 2021 A | 80,000,000 | 2020/10/28 | 2021/04/26 | 12.00 |
| 13957 | HK Bank – China Telecom European Warrants Jun 2021 B | 80,000,000 | 2020/10/28 | 2021/06/30 | 20.00 |

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| 13991 | HK Bank – China Unicom European Warrants Oct 2021 A | 80,000,000 | 2020/10/28 | 2021/10/22 | 12.00 |
| 13980 | HK Bank – Sino Biopharm European Warrants Sep 2021 A | 80,000,000 | 2020/10/28 | 2021/09/30 | 20.00 |
| 14005 | HK Bank – SHK Ppt European Put Warrants Aug 2021 A | 80,000,000 | 2020/10/28 | 2021/08/24 | 12.00 |
| 13990 | HK Bank – Xiaomi European Warrants Apr 2021 B | 100,000,000 | 2020/10/28 | 2021/04/30 | 25.00 |
| 14020 | J P Morgan SP BV – CGN Power European Warrants Apr 2021 A | 70,000,000 | 2020/10/28 | 2021/04/23 | 10.57 |
| 14022 | J P Morgan SP BV – Tencent European Warrants Feb 2021 E | 250,000,000 | 2020/10/28 | 2021/02/26 | 52.00 |
| 14041 | J P Morgan SP BV – Tencent European Warrants Feb 2021 F | 250,000,000 | 2020/10/28 | 2021/02/26 | 70.25 |
| 14009 | Macquarie Bank Ltd. – Ch Comm Cons European Wts Jul 2021 A | 23,500,000 | 2020/10/28 | 2021/07/05 | 10.11 |
| 13889 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Jul21 B | 108,000,000 | 2020/10/28 | 2021/07/23 | 18.25 |
| 13888 | Morgan Stanley Asia Products Ltd. – China Tower Eu Wt Nov21A | 138,000,000 | 2020/10/28 | 2021/11/25 | 20.70 |
| 14045 | Morgan Stanley Asia Products Ltd. – Galaxy Eu Wts Apr 2021 A | 88,000,000 | 2020/10/28 | 2021/04/28 | 22.00 |
| 14066 | Morgan Stanley Asia Products Ltd. – SMIC Euro Wts Apr 2021 B | 88,000,000 | 2020/10/28 | 2021/04/28 | 22.00 |
| 14239 | SG Issuer – Alibaba Group European Warrants Mar 2021 G | 150,000,000 | 2020/10/28 | 2021/03/26 | 30.00 |
| 14240 | SG Issuer – Alibaba Group European Warrants Mar 2021 H | 150,000,000 | 2020/10/28 | 2021/03/24 | 38.25 |
| 14188 | SG Issuer – Alibaba Group European Warrants Jun 2021 C | 150,000,000 | 2020/10/28 | 2021/06/30 | 37.50 |
| 14199 | SG Issuer – Alibaba Group European Warrants Sep 2021 A | 150,000,000 | 2020/10/28 | 2021/09/30 | 37.50 |
| 14238 | SG Issuer – Tencent European Warrants Feb 2021 D | 150,000,000 | 2020/10/28 | 2021/02/19 | 31.50 |
| 14201 | SG Issuer – Tencent European Warrants Apr 2021 D | 150,000,000 | 2020/10/28 | 2021/04/30 | 51.00 |
| 14207 | SG Issuer – Tencent European Warrants Jun 2021 B | 150,000,000 | 2020/10/28 | 2021/06/30 | 37.50 |
| 14297 | UBS AG – China Life European Warrants May 2021 A | 100,000,000 | 2020/10/28 | 2021/05/31 | 22.00 |
| 14299 | UBS AG – Li Ning European Warrants Apr 2021 A | 20,000,000 | 2020/10/28 | 2021/04/16 | 10.60 |
| 14271 | UBS AG – Meituan European Warrants Jun 2021 A | 100,000,000 | 2020/10/28 | 2021/06/28 | 34.00 |
| 14305 | UBS AG – Tencent European Warrants Sep 2022 A | 300,000,000 | 2020/10/28 | 2022/09/19 | 54.00 |
| 14116 | Bank Vontobel – CSPC Pharma European Warrants May 2021 A | 40,000,000 | 2020/10/28 | 2021/05/03 | 10.40 |
| 14115 | Bank Vontobel – SJM Holdings European Warrants Jul 2021 A | 40,000,000 | 2020/10/28 | 2021/07/02 | 10.00 |
| 24317# | HK Bank – CKH Holdings European Warrants Jul 2021 A | 360,000,000 | 2020/10/28 | 2021/07/05 | 10.08 |
| 14341 | BOCI Asia Ltd. – China Tower European Warrants Aug 2021 A | 70,000,000 | 2020/10/29 | 2021/08/30 | 11.20 |
| 14353 | BOCI Asia Ltd. – HSI European Warrants Mar 2021 A | 150,000,000 | 2020/10/29 | 2021/03/30 | 22.50 |
| 14358 | BOCI Asia Ltd. – HSI European Put Warrants Apr 2021 A | 150,000,000 | 2020/10/29 | 2021/04/29 | 22.50 |
| 14351 | BOCI Asia Ltd. – PetroChina European Warrants May 2021 A | 70,000,000 | 2020/10/29 | 2021/05/24 | 10.50 |
| 14359 | BNP Paribas Issuance B.V. – Alibaba European Wts Jul 2021 C | 80,000,000 | 2020/10/29 | 2021/07/05 | 20.00 |
| 14428 | BNP Paribas Issuance B.V. – China Mobile Euro Wts May 2021 A | 40,000,000 | 2020/10/29 | 2021/05/04 | 11.20 |
| 14369 | BNP Paribas Issuance B.V. – HKEX European Wts Apr 2021 H | 40,000,000 | 2020/10/29 | 2021/04/07 | 10.00 |
| 14390 | BNP Paribas Issuance B.V. – HKEX European Wts May 2021 A | 60,000,000 | 2020/10/29 | 2021/05/04 | 10.80 |
| 14396 | BNP Paribas Issuance B.V. – Meituan Euro Warrants May 2021 D | 80,000,000 | 2020/10/29 | 2021/05/04 | 20.00 |
| 14416 | BNP Paribas Issuance B.V. – Ping An European Wt May 2021 A | 40,000,000 | 2020/10/29 | 2021/05/04 | 12.00 |
| 14434 | BNP Paribas Issuance B.V. – Tencent European Wts Feb 2021 H | 80,000,000 | 2020/10/29 | 2021/02/16 | 24.00 |
| 14441 | BNP Paribas Issuance B.V. – Tencent European Wts Feb 2021 I | 80,000,000 | 2020/10/29 | 2021/02/19 | 32.80 |
| 14402 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants May 2021 C | 80,000,000 | 2020/10/29 | 2021/05/04 | 20.00 |
| 14471 | Credit Suisse AG – CC Bank European Warrants Feb 2021 C | 70,000,000 | 2020/10/29 | 2021/02/26 | 10.50 |
| 14473 | Credit Suisse AG – Sinopec Corp European Warrants Apr 2021 B | 50,000,000 | 2020/10/29 | 2021/04/28 | 12.50 |
| 14488 | Credit Suisse AG – Melco Int'l Dev European Wts May 2021 A | 40,000,000 | 2020/10/29 | 2021/05/31 | 10.00 |
| 14495 | Credit Suisse AG – Vinda Intl European Warrants Apr 2021 A | 30,000,000 | 2020/10/29 | 2021/04/28 | 11.40 |
| 14492 | Credit Suisse AG – Zhongsheng Hldg European Wts Dec 2021 A | 60,000,000 | 2020/10/29 | 2021/12/31 | 15.00 |

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| 14308 | Guotai Junan – HKEX European Warrants Mar 2021 A | 100,000,000 | 2020/10/29 | 2021/03/02 | 15.60 |
| 14314 | Guotai Junan – Tencent European Warrants Mar 2021 C | 100,000,000 | 2020/10/29 | 2021/03/02 | 19.70 |
| 14502 | Goldman Sachs SP (Asia) – BYD Company Euro Put Wts Apr2021 A | 100,000,000 | 2020/10/29 | 2021/04/27 | 15.00 |
| 14499 | Goldman Sachs SP (Asia) – CNBM European Warrants Apr 2021 A | 80,000,000 | 2020/10/29 | 2021/04/26 | 12.00 |
| 14505 | Goldman Sachs SP (Asia) – HSI European Put Wts May 2021 A | 150,000,000 | 2020/10/29 | 2021/05/28 | 22.50 |
| 14455 | J P Morgan SP BV – PA Gooddoctor Euro Warrants Mar 2021 C | 70,000,000 | 2020/10/29 | 2021/03/26 | 10.50 |
| 14454 | J P Morgan SP BV – SMIC European Put Warrants Apr 2021 A | 60,000,000 | 2020/10/29 | 2021/04/30 | 20.10 |
| 14332 | Macquarie Bank Ltd. – CPIC European Put Warrants May 2021 A | 38,300,000 | 2020/10/29 | 2021/05/04 | 10.07 |
| 14453 | Macquarie Bank Ltd. – China Res Gas European Wts Jul 2021 A | 29,600,000 | 2020/10/29 | 2021/07/09 | 10.00 |
| 14317 | Macquarie Bank Ltd. – NCI European Put Warrants May 2021 A | 30,800,000 | 2020/10/29 | 2021/05/04 | 10.07 |
| 14466 | Bank Vontobel – AIA European Warrants May 2021 A | 30,000,000 | 2020/10/29 | 2021/05/05 | 10.50 |
| 14634 | BOCI Asia Ltd. – HSBC European Put Warrants Mar 2021 B | 70,000,000 | 2020/10/30 | 2021/03/25 | 13.30 |
| 14751 | Credit Suisse AG – HSBC European Wts Mar 2021 B | 100,000,000 | 2020/10/30 | 2021/03/26 | 15.00 |
| 14750 | Credit Suisse AG – HSBC European Put Warrants May 2021 A | 80,000,000 | 2020/10/30 | 2021/05/24 | 12.00 |
| 14704 | Credit Suisse AG – Ping An European Warrants Mar 2021 C | 150,000,000 | 2020/10/30 | 2021/03/24 | 45.00 |
| 14706 | Credit Suisse AG – Ping An European Warrants Mar 2021 D | 150,000,000 | 2020/10/30 | 2021/03/26 | 25.50 |
| 14727 | Credit Suisse AG – Tencent Euro Put Wts Feb 2021 B | 200,000,000 | 2020/10/30 | 2021/02/23 | 30.00 |
| 14728 | Credit Suisse AG – Tencent Euro Put Wts Mar 2021 B | 200,000,000 | 2020/10/30 | 2021/03/25 | 44.00 |
| 14799 | Citigroup Global – BYD Company European Warrants Apr 2021 A | 100,000,000 | 2020/10/30 | 2021/04/01 | 37.00 |
| 14791 | Citigroup Global – CNOOC European Warrants May 2021 A | 120,000,000 | 2020/10/30 | 2021/05/28 | 18.12 |
| 14783 | Citigroup Global – HKEX European Put Warrants May 2021 A | 100,000,000 | 2020/10/30 | 2021/05/03 | 25.00 |
| 14776 | Citigroup Global – HSBC European Warrants Mar 2021 B | 120,000,000 | 2020/10/30 | 2021/03/30 | 18.24 |
| 14779 | Citigroup Global – Ping An European Put Warrants Feb 2021 A | 120,000,000 | 2020/10/30 | 2021/02/26 | 20.40 |
| 14553 | Guotai Junan – Alibaba Group European Warrants Mar 2021 D | 100,000,000 | 2020/10/30 | 2021/03/03 | 16.60 |
| 14555 | Guotai Junan – Geely Auto European Warrants May 2021 A | 100,000,000 | 2020/10/30 | 2021/05/03 | 16.90 |
| 14566 | Guotai Junan – HSBC European Warrants Apr 2021 A | 100,000,000 | 2020/10/30 | 2021/04/07 | 15.20 |
| 14544 | Guotai Junan – JD.com European Warrants Mar 2021 B | 100,000,000 | 2020/10/30 | 2021/03/03 | 17.10 |
| 14833 | Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2021 B | 80,000,000 | 2020/10/30 | 2021/02/23 | 12.00 |
| 14844 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 D | 150,000,000 | 2020/10/30 | 2021/02/01 | 22.50 |
| 14835 | Goldman Sachs SP (Asia) – Tencent European Wts Apr 2021 B | 150,000,000 | 2020/10/30 | 2021/04/23 | 22.50 |
| 14827 | Goldman Sachs SP (Asia) – Tencent European Put Wt Feb 2021 A | 100,000,000 | 2020/10/30 | 2021/02/01 | 29.40 |
| 14828 | Goldman Sachs SP (Asia) – Tencent European Put Wt Apr 2021 A | 100,000,000 | 2020/10/30 | 2021/04/26 | 32.60 |
| 14692 | J P Morgan SP BV – AIA European Warrants Dec 2021 A | 200,000,000 | 2020/10/30 | 2021/12/31 | 30.40 |
| 14674 | J P Morgan SP BV – Alibaba European Warrants Jul 2021 A | 300,000,000 | 2020/10/30 | 2021/07/30 | 67.50 |
| 14775 | J P Morgan SP BV – CSPC Pharma European Warrants Apr 2021 A | 70,000,000 | 2020/10/30 | 2021/04/13 | 10.50 |
| 14699 | J P Morgan SP BV – Fosun Intl European Warrants Apr 2021 A | 70,000,000 | 2020/10/30 | 2021/04/01 | 10.71 |
| 14673 | J P Morgan SP BV – HSBC European Put Warrants May 2021 B | 100,000,000 | 2020/10/30 | 2021/05/03 | 27.40 |
| 14694 | J P Morgan SP BV – Ping An European Warrants Feb 2021 F | 100,000,000 | 2020/10/30 | 2021/02/01 | 24.40 |
| 14685 | J P Morgan SP BV – Tencent European Put Warrants Feb 2021 B | 250,000,000 | 2020/10/30 | 2021/02/01 | 78.25 |
| 14585 | Macquarie Bank Ltd. – Chinaires Pharma European Wts May21A | 30,300,000 | 2020/10/30 | 2021/05/18 | 10.03 |
| 14576 | Macquarie Bank Ltd. – Greentown Ser European Wts May21A | 40,000,000 | 2020/10/30 | 2021/05/18 | 10.08 |
| 14574 | Macquarie Bank Ltd. – Hansoh Pharma European Warrants May21A | 33,900,000 | 2020/10/30 | 2021/05/18 | 10.03 |
| 14577 | Macquarie Bank Ltd. – Kerry Log Net European Warrants Aug21A | 35,000,000 | 2020/10/30 | 2021/08/03 | 10.29 |
| 14667 | Morgan Stanley Asia Products Ltd. – HSBC Eur Put Wts Mar21 A | 68,000,000 | 2020/10/30 | 2021/03/30 | 10.20 |

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| 14510 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Apr21 F | 128,000,000 | 2020/10/30 | 2021/04/23 | 21.50 |
| 14535 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt Feb21A | 68,000,000 | 2020/10/30 | 2021/02/01 | 11.15 |
| 14820 | SG Issuer – BYD Electronic European Warrants Oct 2021 A | 100,000,000 | 2020/10/30 | 2021/10/22 | 18.50 |
| 14812 | SG Issuer – BYD Electronic European Warrants Nov 2021 A | 100,000,000 | 2020/10/30 | 2021/11/23 | 15.50 |
| 14809 | SG Issuer – Tencent European Put Warrants Feb 2021 A | 100,000,000 | 2020/10/30 | 2021/02/01 | 17.00 |
| 14804 | SG Issuer – Tencent European Put Warrants Apr 2022 A | 200,000,000 | 2020/10/30 | 2022/04/22 | 30.00 |
| 14638 | UBS AG – HKEX European Warrants Mar 2021 C | 300,000,000 | 2020/10/30 | 2021/03/29 | 60.00 |
| 15102 | BOCI Asia Ltd. – Tencent European Put Wts Feb 2021 A | 100,000,000 | 2020/11/02 | 2021/02/02 | 26.00 |
| 15177 | Credit Suisse AG – Alibaba European Warrants Sep 2021 B | 400,000,000 | 2020/11/02 | 2021/09/30 | 100.00 |
| 15168 | Credit Suisse AG – China Com Services European Wts Oct2021 A | 60,000,000 | 2020/11/02 | 2021/10/25 | 12.00 |
| 15175 | Credit Suisse AG – HKEX European Warrants Feb 2021 C | 200,000,000 | 2020/11/02 | 2021/02/23 | 30.00 |
| 15176 | Credit Suisse AG – HKEX European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/02 | 2021/04/23 | 15.00 |
| 15137 | Credit Suisse AG – Tencent Euro Put Wts Feb 2021 C | 200,000,000 | 2020/11/02 | 2021/02/01 | 50.00 |
| 15142 | Credit Suisse AG – Tencent Euro Put Wts Apr 2022 A | 300,000,000 | 2020/11/02 | 2022/04/22 | 45.00 |
| 15159 | Credit Suisse AG – WH Group European Warrants Jun 2021 A | 70,000,000 | 2020/11/02 | 2021/06/23 | 10.50 |
| 15133 | Credit Suisse AG – Xiaomi European Warrants Mar 2021 I | 200,000,000 | 2020/11/02 | 2021/03/26 | 30.00 |
| 14980 | Bank of East Asia – Alibaba Group Euro Warrants Mar 2021 C | 70,000,000 | 2020/11/02 | 2021/03/30 | 10.50 |
| 14973 | Bank of East Asia – Tencent European Put Wts May 2021 A | 40,000,000 | 2020/11/02 | 2021/05/03 | 12.00 |
| 14888 | Guotai Junan – Alibaba Group European Warrants Mar 2021 E | 100,000,000 | 2020/11/02 | 2021/03/03 | 16.30 |
| 14895 | Guotai Junan – Meituan European Warrants Apr 2021 B | 100,000,000 | 2020/11/02 | 2021/04/07 | 15.50 |
| 15237 | Goldman Sachs SP (Asia) – Alibaba Euro Put Wts Mar 2021 A | 100,000,000 | 2020/11/02 | 2021/03/31 | 15.00 |
| 15260 | Goldman Sachs SP (Asia) – HKEX European Warrants Feb 2021 C | 150,000,000 | 2020/11/02 | 2021/02/19 | 22.50 |
| 15254 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 D | 150,000,000 | 2020/11/02 | 2021/03/01 | 22.50 |
| 15258 | Goldman Sachs SP (Asia) – Meituan Euro Put Wts Feb 2021 C | 100,000,000 | 2020/11/02 | 2021/02/19 | 15.00 |
| 15243 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 E | 150,000,000 | 2020/11/02 | 2021/02/02 | 25.95 |
| 14924 | HK Bank – Alibaba European Warrants Jul 2021 A | 80,000,000 | 2020/11/02 | 2021/07/23 | 12.00 |
| 14920 | HK Bank – HKEX European Warrants Apr 2021 B | 80,000,000 | 2020/11/02 | 2021/04/07 | 12.00 |
| 14906 | HK Bank – Meituan European Warrants May 2021 A | 80,000,000 | 2020/11/02 | 2021/05/03 | 20.00 |
| 14914 | HK Bank – Meituan European Put Warrants May 2021 A | 80,000,000 | 2020/11/02 | 2021/05/03 | 20.00 |
| 14953 | HK Bank – Ping An European Warrants Dec 2022 B | 280,000,000 | 2020/11/02 | 2022/12/23 | 44.80 |
| 14963 | HK Bank – Tencent European Put Warrants May 2021 A | 80,000,000 | 2020/11/02 | 2021/05/03 | 32.00 |
| 15110 | J P Morgan SP BV – Alibaba European Warrants Feb 2021 C | 200,000,000 | 2020/11/02 | 2021/02/26 | 33.00 |
| 15120 | J P Morgan SP BV – Alibaba European Warrants Feb 2021 D | 200,000,000 | 2020/11/02 | 2021/02/26 | 30.00 |
| 15131 | J P Morgan SP BV – BYD Company European Warrants May 2021 A | 150,000,000 | 2020/11/02 | 2021/05/31 | 37.80 |
| 15106 | J P Morgan SP BV – BYD Company European Put Wts Apr 2021 B | 150,000,000 | 2020/11/02 | 2021/04/12 | 23.55 |
| 15125 | J P Morgan SP BV – Tencent European Warrants May 2021 A | 300,000,000 | 2020/11/02 | 2021/05/03 | 78.60 |
| 14991 | Macquarie Bank Ltd. – Alibaba European Warrants May 2021 E | 40,000,000 | 2020/11/02 | 2021/05/04 | 10.00 |
| 14995 | Macquarie Bank Ltd. – Ping An European Warrants May 2021 A | 26,000,000 | 2020/11/02 | 2021/05/04 | 10.06 |
| 15011 | Macquarie Bank Ltd. – Tencent European Warrants May 2021 C | 37,800,000 | 2020/11/02 | 2021/05/03 | 10.02 |
| 15017 | Macquarie Bank Ltd. – Tencent European Put Wts Jun 2021 B | 20,000,000 | 2020/11/02 | 2021/06/01 | 15.88 |
| 14887 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Dec21 A | 128,000,000 | 2020/11/02 | 2021/12/23 | 19.20 |
| 15213 | SG Issuer – BYD Company European Warrants May 2021 A | 120,000,000 | 2020/11/02 | 2021/05/31 | 30.00 |
| 15234 | SG Issuer – Tencent European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/02 | 2021/04/26 | 34.50 |
| 15217 | SG Issuer – Tencent European Put Warrants Aug 2022 A | 200,000,000 | 2020/11/02 | 2022/08/24 | 30.00 |

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| 15020 | Bank Vontobel – Tencent European Warrants Feb 2021 C | 80,000,000 | 2020/11/02 | 2021/02/26 | 24.80 |
| 15069 | Bank Vontobel – Tencent European Warrants May 2021 A | 80,000,000 | 2020/11/02 | 2021/05/03 | 24.80 |
| 15092 | Bank Vontobel – Tencent European Put Warrants May 2021 A | 80,000,000 | 2020/11/02 | 2021/05/03 | 27.20 |
| 15293 | BOCI Asia Ltd. – HKEX European Warrants Apr 2021 A | 100,000,000 | 2020/11/03 | 2021/04/23 | 20.00 |
| 15294 | BOCI Asia Ltd. – Tencent European Warrants May 2021 A | 100,000,000 | 2020/11/03 | 2021/05/03 | 25.00 |
| 10168 | BNP Paribas Issuance B.V. – EUR/USD Euro Wts Jun 2021 A | 50,000,000 | 2020/11/03 | 2021/06/21 | 12.50 |
| 10173 | BNP Paribas Issuance B.V. – EUR/USD Euro Put Wt Jun 2021 A | 50,000,000 | 2020/11/03 | 2021/06/21 | 12.50 |
| 10166 | BNP Paribas Issuance B.V. – GBP/USD European Wts Jun 2021 A | 50,000,000 | 2020/11/03 | 2021/06/21 | 12.50 |
| 10167 | BNP Paribas Issuance B.V. – GBP/USD Euro Put Wt Jun 2021 A | 50,000,000 | 2020/11/03 | 2021/06/21 | 12.50 |
| 10164 | BNP Paribas Issuance B.V. – Oil Futures Euro Wt May 2021 A | 50,000,000 | 2020/11/03 | 2021/05/18 | 12.50 |
| 10165 | BNP Paribas Issuance B.V. – Oil Futures Eu Put Wt May 2021 A | 50,000,000 | 2020/11/03 | 2021/05/18 | 12.50 |
| 15397 | Credit Suisse AG – BYD Company European Warrants May 2021 A | 150,000,000 | 2020/11/03 | 2021/05/31 | 37.50 |
| 15422 | Credit Suisse AG – China Mobile European Warrants Apr 2021 A | 70,000,000 | 2020/11/03 | 2021/04/27 | 16.80 |
| 15341 | Citigroup Global – BYD Company European Warrants May 2021 A | 100,000,000 | 2020/11/03 | 2021/05/03 | 25.50 |
| 15358 | Citigroup Global – CSPC Pharma European Warrants Apr 2021 B | 100,000,000 | 2020/11/03 | 2021/04/29 | 19.40 |
| 15336 | Citigroup Global – China Tower European Warrants Aug 2021 A | 120,000,000 | 2020/11/03 | 2021/08/30 | 18.00 |
| 15359 | Citigroup Global – Meituan European Warrants Feb 2021 F | 100,000,000 | 2020/11/03 | 2021/02/25 | 22.40 |
| 15347 | Citigroup Global – Meituan European Warrants Mar 2021 B | 100,000,000 | 2020/11/03 | 2021/03/30 | 21.80 |
| 15276 | Guotai Junan – AIA European Warrants Feb 2021 A | 100,000,000 | 2020/11/03 | 2021/02/03 | 17.10 |
| 15315 | Guotai Junan – Meituan European Warrants Apr 2021 C | 100,000,000 | 2020/11/03 | 2021/04/07 | 15.80 |
| 15266 | Guotai Junan – Xiaomi European Warrants Apr 2021 A | 100,000,000 | 2020/11/03 | 2021/04/07 | 15.20 |
| 15543 | Goldman Sachs SP (Asia) – BYD Company Euro Wts May 2021 B | 80,000,000 | 2020/11/03 | 2021/05/04 | 20.00 |
| 15542 | Goldman Sachs SP (Asia) – Kingsoft European Wts Oct 2021 A | 100,000,000 | 2020/11/03 | 2021/10/22 | 15.00 |
| 15545 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 E | 150,000,000 | 2020/11/03 | 2021/03/26 | 22.50 |
| 15554 | Goldman Sachs SP (Asia) – Ping An European Wts Dec 2022 A | 200,000,000 | 2020/11/03 | 2022/12/23 | 30.00 |
| 15544 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 F | 100,000,000 | 2020/11/03 | 2021/02/03 | 18.30 |
| 15326 | J P Morgan SP BV – Meituan European Warrants Feb 2021 J | 300,000,000 | 2020/11/03 | 2021/02/26 | 45.30 |
| 15330 | J P Morgan SP BV – Xiaomi European Warrants Apr 2021 B | 200,000,000 | 2020/11/03 | 2021/04/01 | 30.40 |
| 15288 | Macquarie Bank Ltd. – BYD Company European Wts Oct 2021 B | 40,000,000 | 2020/11/03 | 2021/10/05 | 10.00 |
| 15291 | Macquarie Bank Ltd. – HKEX European Warrants Jun 2021 A | 40,000,000 | 2020/11/03 | 2021/06/02 | 10.00 |
| 15286 | Macquarie Bank Ltd. – Ping An European Warrants May 2021 B | 23,000,000 | 2020/11/03 | 2021/05/04 | 10.14 |
| 15453 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 B | 108,000,000 | 2020/11/03 | 2021/05/03 | 27.00 |
| 15541 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 C | 68,000,000 | 2020/11/03 | 2021/05/03 | 17.00 |
| 15538 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts Apr 2021 B | 68,000,000 | 2020/11/03 | 2021/04/22 | 11.42 |
| 15511 | Morgan Stanley Asia Products Ltd. – HKEX Euro Wts Mar 2021 C | 128,000,000 | 2020/11/03 | 2021/03/01 | 19.20 |
| 15485 | Morgan Stanley Asia Products Ltd. – HKEX Eu Put Wts Apr21 A | 80,000,000 | 2020/11/03 | 2021/04/23 | 15.04 |
| 15471 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts May21 B | 108,000,000 | 2020/11/03 | 2021/05/03 | 27.00 |
| 15476 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Jul21 A | 108,000,000 | 2020/11/03 | 2021/07/19 | 27.00 |
| 15460 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt Feb21B | 68,000,000 | 2020/11/03 | 2021/02/02 | 16.18 |
| 15461 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt May21A | 68,000,000 | 2020/11/03 | 2021/05/03 | 19.04 |
| 15556 | SG Issuer – Alibaba European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/03 | 2021/04/22 | 26.50 |
| 15561 | SG Issuer – Meituan European Put Warrants Apr 2021 A | 80,000,000 | 2020/11/03 | 2021/04/23 | 24.00 |
| 15434 | Bank Vontobel – Alibaba Group European Warrants May 2021 A | 40,000,000 | 2020/11/03 | 2021/05/03 | 10.40 |
| 15442 | Bank Vontobel – BYD Company European Warrants May 2021 B | 40,000,000 | 2020/11/03 | 2021/05/03 | 10.00 |

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| | | (units) | Listing date | Expiry | |
| 15627 | BOCI Asia Ltd. – China Mobile European Warrants May 2021 A | 60,000,000 | 2020/11/04 | 2021/05/03 | 11.40 |
| 15629 | BOCI Asia Ltd. – Meituan European Warrants Mar 2021 E | 150,000,000 | 2020/11/04 | 2021/03/26 | 24.00 |
| 15604 | BNP Paribas Issuance B.V. – BYD Company Euro Wts May 2021 A | 80,000,000 | 2020/11/04 | 2021/05/04 | 20.80 |
| 15685 | Credit Suisse AG – Alibaba European Warrants May 2021 A | 400,000,000 | 2020/11/04 | 2021/05/26 | 60.00 |
| 15681 | Credit Suisse AG – Alibaba European Warrants Sep 2021 C | 400,000,000 | 2020/11/04 | 2021/09/23 | 60.00 |
| 15644 | Credit Suisse AG – BYD Electronic European Wts Oct 2021 B | 100,000,000 | 2020/11/04 | 2021/10/15 | 25.00 |
| 15652 | Credit Suisse AG – SMIC European Warrants Feb 2021 A | 70,000,000 | 2020/11/04 | 2021/02/23 | 14.00 |
| 15645 | Credit Suisse AG – SMIC European Warrants Apr 2021 A | 70,000,000 | 2020/11/04 | 2021/04/22 | 22.40 |
| 15692 | Credit Suisse AG – SMIC European Put Warrants May 2021 A | 50,000,000 | 2020/11/04 | 2021/05/03 | 22.50 |
| 15702 | Citigroup Global – Meituan European Put Warrants Feb 2021 C | 100,000,000 | 2020/11/04 | 2021/02/25 | 18.40 |
| 15700 | Citigroup Global – Sunny Optical Euro Warrants Feb 2021 A | 100,000,000 | 2020/11/04 | 2021/02/25 | 15.10 |
| 15696 | Citigroup Global – Tencent European Warrants Feb 2021 A | 100,000,000 | 2020/11/04 | 2021/02/23 | 29.00 |
| 15697 | Citigroup Global – Tencent European Put Warrants Apr 2022 A | 100,000,000 | 2020/11/04 | 2022/04/29 | 15.70 |
| 15577 | Bank of East Asia – BYD Company European Warrants May 2021 A | 50,000,000 | 2020/11/04 | 2021/05/04 | 14.00 |
| 15574 | Bank of East Asia – Meituan European Put Warrants Jun 2021 A | 40,000,000 | 2020/11/04 | 2021/06/30 | 10.00 |
| 15713 | Goldman Sachs SP (Asia) – Alibaba European Wts Jul 2021 A | 150,000,000 | 2020/11/04 | 2021/07/23 | 22.50 |
| 15720 | Goldman Sachs SP (Asia) – China Life Euro Wts Aug 2021 A | 100,000,000 | 2020/11/04 | 2021/08/26 | 15.00 |
| 15715 | Goldman Sachs SP (Asia) – Geely Auto European Wts Apr 2021 A | 80,000,000 | 2020/11/04 | 2021/04/23 | 12.24 |
| 15714 | Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2021 B | 150,000,000 | 2020/11/04 | 2021/03/31 | 28.20 |
| 15709 | Goldman Sachs SP (Asia) – Xiaomi European Wts Mar 2021 F | 100,000,000 | 2020/11/04 | 2021/03/15 | 17.90 |
| 15592 | HK Bank – BYD Company European Warrants May 2021 B | 80,000,000 | 2020/11/04 | 2021/05/03 | 20.00 |
| 15610 | J P Morgan SP BV – China Life European Warrants Apr 2021 A | 100,000,000 | 2020/11/04 | 2021/04/30 | 15.10 |
| 15608 | J P Morgan SP BV – Fosun Pharma European Warrants May 2021 A | 40,000,000 | 2020/11/04 | 2021/05/03 | 12.68 |
| 15622 | J P Morgan SP BV – Koolearn European Warrants Dec 2021 A | 70,000,000 | 2020/11/04 | 2021/12/23 | 11.20 |
| 15584 | Macquarie Bank Ltd. – Meituan European Warrants Jun 2021 C | 40,000,000 | 2020/11/04 | 2021/06/02 | 10.00 |
| 15590 | Macquarie Bank Ltd. – Meituan European Warrants Jul 2021 C | 30,000,000 | 2020/11/04 | 2021/07/05 | 10.05 |
| 15591 | Macquarie Bank Ltd. – Pharmaron European Warrants Nov 2021 A | 40,000,000 | 2020/11/04 | 2021/11/19 | 10.12 |
| 15641 | Bank Vontobel – Alibaba Group European Warrants Jul 2021 A | 80,000,000 | 2020/11/04 | 2021/07/26 | 14.00 |
| 15777 | BNP Paribas Issuance B.V. – BYD Company Euro Wts Jun 2021 A | 80,000,000 | 2020/11/05 | 2021/06/02 | 20.00 |
| 15775 | BNP Paribas Issuance B.V. – Meituan Euro Warrants May 2021 E | 80,000,000 | 2020/11/05 | 2021/05/04 | 22.40 |
| 15768 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Jun 2021 B | 80,000,000 | 2020/11/05 | 2021/06/02 | 22.40 |
| 16074 | Credit Suisse AG – Bud Apac European Warrants May 2021 A | 60,000,000 | 2020/11/05 | 2021/05/04 | 15.00 |
| 16085 | Credit Suisse AG – BYD Company Euro Put Warrants May 2021 B | 150,000,000 | 2020/11/05 | 2021/05/04 | 37.50 |
| 16080 | Credit Suisse AG – China Life European Warrants Apr 2021 A | 100,000,000 | 2020/11/05 | 2021/04/23 | 15.00 |
| 16071 | Credit Suisse AG – China Ovs Ppt Euro Warrants Jul 2021 A | 50,000,000 | 2020/11/05 | 2021/07/30 | 12.50 |
| 16097 | Credit Suisse AG – CSPC Pharma European Warrants Apr 2021 C | 80,000,000 | 2020/11/05 | 2021/04/26 | 13.60 |
| 16065 | Credit Suisse AG – Fosun Pharma European Warrants May 2021 A | 40,000,000 | 2020/11/05 | 2021/05/04 | 12.80 |
| 16089 | Credit Suisse AG – Geely Auto European Warrants Mar 2021 A | 100,000,000 | 2020/11/05 | 2021/03/31 | 18.00 |
| 16088 | Credit Suisse AG – NetEase European Warrants Apr 2021 B | 100,000,000 | 2020/11/05 | 2021/04/23 | 20.00 |
| 16076 | Credit Suisse AG – ZTE European Warrants May 2021 A | 50,000,000 | 2020/11/05 | 2021/05/04 | 12.50 |
| 15829 | Citigroup Global – BYD Company European Put Warrants May21 A | 100,000,000 | 2020/11/05 | 2021/05/05 | 28.00 |
| 15840 | Citigroup Global – JD.com European Warrants Mar 2021 A | 100,000,000 | 2020/11/05 | 2021/03/29 | 20.30 |
| 15842 | Citigroup Global – Tencent European Warrants Feb 2021 B | 100,000,000 | 2020/11/05 | 2021/02/05 | 22.20 |
| 15861 | Citigroup Global – Tencent European Warrants Feb 2021 C | 100,000,000 | 2020/11/05 | 2021/02/25 | 19.10 |

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| 15850 | Citigroup Global – Tencent European Put Warrants Mar 2021 A | 100,000,000 | 2020/11/05 | 2021/03/26 | 15.80 |
| 15749 | Guotai Junan – Ping An European Warrants Apr 2021 A | 100,000,000 | 2020/11/05 | 2021/04/07 | 20.30 |
| 16192 | Goldman Sachs SP (Asia) – Alibaba Euro Put Wts Apr 2021 A | 100,000,000 | 2020/11/05 | 2021/04/22 | 27.30 |
| 16200 | Goldman Sachs SP (Asia) – China Mobile Euro Wts Apr 2021 A | 80,000,000 | 2020/11/05 | 2021/04/27 | 18.24 |
| 16196 | Goldman Sachs SP (Asia) – Geely Auto European Wts Feb 2021 A | 80,000,000 | 2020/11/05 | 2021/02/23 | 12.00 |
| 16187 | Goldman Sachs SP (Asia) – HSI European Put Wts Feb 2021 B | 150,000,000 | 2020/11/05 | 2021/02/25 | 22.50 |
| 16211 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Feb 2021 D | 150,000,000 | 2020/11/05 | 2021/02/22 | 22.50 |
| 15813 | Haitong Int'l Sec – BYD Company European Warrants May 2021 A | 70,000,000 | 2020/11/05 | 2021/05/05 | 17.50 |
| 15828 | Haitong Int'l Sec – BYD Company European Put Wts May 2021 C | 70,000,000 | 2020/11/05 | 2021/05/05 | 17.50 |
| 15877 | J P Morgan SP BV – BYD Company European Warrants May 2021 B | 150,000,000 | 2020/11/05 | 2021/05/04 | 37.50 |
| 15762 | Macquarie Bank Ltd. – BYD Company European Wts Jun 2021 B | 24,900,000 | 2020/11/05 | 2021/06/02 | 10.06 |
| 15755 | Macquarie Bank Ltd. – BYD Company European Put Wts Jun2021 C | 25,100,000 | 2020/11/05 | 2021/06/02 | 10.04 |
| 15731 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 B | 128,000,000 | 2020/11/05 | 2021/05/26 | 19.20 |
| 15745 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 C | 128,000,000 | 2020/11/05 | 2021/05/05 | 32.00 |
| 15724 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Jun21 B | 128,000,000 | 2020/11/05 | 2021/06/25 | 22.66 |
| 15737 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Sep21 A | 128,000,000 | 2020/11/05 | 2021/09/23 | 19.20 |
| 15739 | Morgan Stanley Asia Products Ltd. – ZTE Euro Wts May 2021 A | 48,000,000 | 2020/11/05 | 2021/05/05 | 12.00 |
| 15778 | UBS AG – Alibaba European Warrants May 2021 A | 200,000,000 | 2020/11/05 | 2021/05/26 | 30.00 |
| 15785 | UBS AG – Alibaba European Warrants Sep 2021 B | 200,000,000 | 2020/11/05 | 2021/09/23 | 30.00 |
| 15807 | UBS AG – BYD Company European Warrants May 2021 A | 150,000,000 | 2020/11/05 | 2021/05/10 | 37.50 |
| 15790 | UBS AG – BYD Company European Put Warrants Apr 2021 C | 150,000,000 | 2020/11/05 | 2021/04/12 | 22.50 |
| 15805 | UBS AG – HKEX European Warrants Feb 2021 B | 300,000,000 | 2020/11/05 | 2021/02/23 | 45.00 |
| 15794 | UBS AG – HKEX European Put Warrants Apr 2021 A | 300,000,000 | 2020/11/05 | 2021/04/23 | 45.00 |
| 15791 | UBS AG – SMIC European Warrants Apr 2021 A | 70,000,000 | 2020/11/05 | 2021/04/22 | 18.20 |
| 16483 | BOCI Asia Ltd. – BYD Company European Warrants May 2021 A | 120,000,000 | 2020/11/06 | 2021/05/05 | 30.00 |
| 16373 | BOCI Asia Ltd. – Meituan European Put Warrants Apr 2021 B | 100,000,000 | 2020/11/06 | 2021/04/26 | 20.00 |
| 16364 | BOCI Asia Ltd. – Sunny Optical European Warrants Apr 2021 A | 100,000,000 | 2020/11/06 | 2021/04/23 | 16.00 |
| 16276 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts Jul 2021 A | 60,000,000 | 2020/11/06 | 2021/07/05 | 16.20 |
| 16266 | BNP Paribas Issuance B.V. – HKEX European Wts Jul 2021 A | 50,000,000 | 2020/11/06 | 2021/07/05 | 12.50 |
| 16258 | BNP Paribas Issuance B.V. – JD.com Euro Warrants Jun 2021 A | 50,000,000 | 2020/11/06 | 2021/06/02 | 14.00 |
| 16263 | BNP Paribas Issuance B.V. – Tencent European Wts May 2021 C | 50,000,000 | 2020/11/06 | 2021/05/04 | 14.00 |
| 16265 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Jul 2021 B | 50,000,000 | 2020/11/06 | 2021/07/05 | 12.50 |
| 16281 | BNP Paribas Issuance B.V. – ZA Online Euro Wts Dec 2021 A | 100,000,000 | 2020/11/06 | 2021/12/02 | 25.00 |
| 16543 | Credit Suisse AG – Agricultural Bank European Wts Jul 2021 A | 70,000,000 | 2020/11/06 | 2021/07/26 | 10.50 |
| 16551 | Credit Suisse AG – BAIC Motor European Warrants May 2021 A | 30,000,000 | 2020/11/06 | 2021/05/05 | 10.20 |
| 16567 | Credit Suisse AG – CICC European Warrants May 2021 A | 60,000,000 | 2020/11/06 | 2021/05/05 | 15.00 |
| 16563 | Credit Suisse AG – CNBM European Warrants Apr 2021 A | 60,000,000 | 2020/11/06 | 2021/04/26 | 10.80 |
| 16550 | Credit Suisse AG – GAC European Warrants May 2021 A | 60,000,000 | 2020/11/06 | 2021/05/31 | 15.00 |
| 16581 | Credit Suisse AG – Geely Auto European Warrants May 2021 A | 100,000,000 | 2020/11/06 | 2021/05/31 | 25.00 |
| 16578 | Credit Suisse AG – Geely Auto European Put Wts May 2021 B | 80,000,000 | 2020/11/06 | 2021/05/05 | 20.00 |
| 16558 | Credit Suisse AG – HSI European Put Warrants Feb 2021 A | 150,000,000 | 2020/11/06 | 2021/02/25 | 22.50 |
| 16556 | Credit Suisse AG – HSI European Put Warrants Apr 2021 B | 150,000,000 | 2020/11/06 | 2021/04/29 | 22.50 |
| 16559 | Credit Suisse AG – Innovent Bio European Warrants May 2021 A | 70,000,000 | 2020/11/06 | 2021/05/05 | 17.50 |
| 16575 | Credit Suisse AG – Meituan European Put Warrants May 2021 A | 100,000,000 | 2020/11/06 | 2021/05/05 | 31.00 |

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| Code | Derivative warrants | No. of issue | | | Amount raised (HK\$ mil.) |
|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 16579 | Credit Suisse AG – PSBC European Warrants Apr 2021 B | 70,000,000 | 2020/11/06 | 2021/04/27 | 10.50 |
| 16542 | Credit Suisse AG – Sunny Optical European Put Wts May 2021 A | 100,000,000 | 2020/11/06 | 2021/05/05 | 25.00 |
| 16561 | Credit Suisse AG – Zijin Mining European Warrants Dec 2021 A | 100,000,000 | 2020/11/06 | 2021/12/31 | 25.00 |
| 16299 | Citigroup Global – BYD Company European Warrants May 2021 B | 100,000,000 | 2020/11/06 | 2021/05/31 | 31.00 |
| 16307 | Citigroup Global – Geely Auto European Warrants May 2021 A | 100,000,000 | 2020/11/06 | 2021/05/06 | 25.00 |
| 16285 | Citigroup Global – Geely Auto European Put Warrants May21 A | 100,000,000 | 2020/11/06 | 2021/05/06 | 25.00 |
| 16305 | Citigroup Global – Galaxy Ent European Warrants May 2021 A | 100,000,000 | 2020/11/06 | 2021/05/06 | 35.00 |
| 16287 | Citigroup Global – NetEase European Warrants Sep 2021 A | 100,000,000 | 2020/11/06 | 2021/09/29 | 16.80 |
| 16535 | Goldman Sachs SP (Asia) – Alibaba European Wts May 2021 A | 150,000,000 | 2020/11/06 | 2021/05/26 | 22.50 |
| 16537 | Goldman Sachs SP (Asia) – BYD Company Euro Wts May 2021 C | 80,000,000 | 2020/11/06 | 2021/05/06 | 20.00 |
| 16540 | Goldman Sachs SP (Asia) – BYD Company Euro Put Wts May2021 B | 80,000,000 | 2020/11/06 | 2021/05/06 | 20.00 |
| 16536 | Goldman Sachs SP (Asia) – Geely Auto European Wts Feb 2021 B | 80,000,000 | 2020/11/06 | 2021/02/08 | 12.00 |
| 16389 | HK Bank – BYD Company European Put Warrants Apr 2021 A | 80,000,000 | 2020/11/06 | 2021/04/27 | 12.80 |
| 16386 | HK Bank – China Mobile European Warrants Jun 2021 A | 80,000,000 | 2020/11/06 | 2021/06/30 | 20.00 |
| 16403 | HK Bank – Geely Auto European Warrants Jun 2021 A | 80,000,000 | 2020/11/06 | 2021/06/30 | 20.00 |
| 16387 | HK Bank – Sunny Optical European Warrants May 2021 A | 80,000,000 | 2020/11/06 | 2021/05/07 | 12.00 |
| 16397 | HK Bank – Tencent European Warrants Mar 2021 A | 80,000,000 | 2020/11/06 | 2021/03/01 | 12.80 |
| 16401 | HK Bank – Tencent European Warrants Mar 2021 B | 80,000,000 | 2020/11/06 | 2021/03/19 | 20.80 |
| 16391 | HK Bank – ZTE European Warrants Jun 2021 B | 80,000,000 | 2020/11/06 | 2021/06/30 | 20.80 |
| 16452 | J P Morgan SP BV – Geely Auto European Warrants May 2021 A | 80,000,000 | 2020/11/06 | 2021/05/05 | 20.00 |
| 16455 | J P Morgan SP BV – Geely Auto European Put Wts May 2021 A | 80,000,000 | 2020/11/06 | 2021/05/05 | 20.00 |
| 16350 | J P Morgan SP BV – HSI European Put Warrants Jun 2021 B | 400,000,000 | 2020/11/06 | 2021/06/29 | 100.00 |
| 16467 | J P Morgan SP BV – JXR European Warrants Jul 2021 A | 60,000,000 | 2020/11/06 | 2021/07/30 | 10.62 |
| 16465 | J P Morgan SP BV – SMIC European Wts Feb 2021 B | 60,000,000 | 2020/11/06 | 2021/02/26 | 13.56 |
| 16346 | J P Morgan SP BV – Sunny Optical European Put Wts May 2021 A | 100,000,000 | 2020/11/06 | 2021/05/21 | 25.00 |
| 16256 | Macquarie Bank Ltd. – BYD Company European Wts Nov 2021 A | 37,000,000 | 2020/11/06 | 2021/11/02 | 10.03 |
| 16224 | Macquarie Bank Ltd. – Galaxy Ent European Wts Jun 2021 A | 25,000,000 | 2020/11/06 | 2021/06/02 | 10.25 |
| 16246 | Macquarie Bank Ltd. – HKEX European Put Warrants Sep 2021 A | 40,000,000 | 2020/11/06 | 2021/09/02 | 10.00 |
| 16233 | Macquarie Bank Ltd. – Link REIT European Warrants Jun 2021 A | 38,500,000 | 2020/11/06 | 2021/06/02 | 10.05 |
| 16252 | Macquarie Bank Ltd. – Tencent European Put Wts Jun 2021 C | 31,100,000 | 2020/11/06 | 2021/06/01 | 10.01 |
| 16222 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 D | 88,000,000 | 2020/11/06 | 2021/05/05 | 22.00 |
| 16216 | Morgan Stanley Asia Products Ltd. – Innovent Bio Eu Wt May21A | 48,000,000 | 2020/11/06 | 2021/05/06 | 12.00 |
| 16283 | Morgan Stanley Asia Products Ltd. – Sunny Eu Wt Jun21A | 80,000,000 | 2020/11/06 | 2021/06/29 | 20.00 |
| 16534 | SG Issuer – CSPC Pharma European Warrants Apr 2021 B | 80,000,000 | 2020/11/06 | 2021/04/26 | 12.80 |
| 16527 | SG Issuer – Geely Auto European Warrants May 2021 A | 80,000,000 | 2020/11/06 | 2021/05/05 | 20.00 |
| 16417 | UBS AG – Geely Auto European Warrants Mar 2021 A | 100,000,000 | 2020/11/06 | 2021/03/26 | 15.00 |
| 16425 | UBS AG – NetEase European Warrants Apr 2021 B | 200,000,000 | 2020/11/06 | 2021/04/23 | 30.00 |
| 16408 | UBS AG – Tencent European Warrants Feb 2021 C | 300,000,000 | 2020/11/06 | 2021/02/19 | 45.00 |
| 16412 | UBS AG – Tencent European Put Warrants Mar 2021 B | 300,000,000 | 2020/11/06 | 2021/03/25 | 45.00 |
| 16414 | UBS AG – Tencent European Put Warrants Apr 2021 A | 300,000,000 | 2020/11/06 | 2021/04/26 | 63.00 |
| 16489 | Bank Vontobel – AAC Tech European Warrants May 2021 C | 30,000,000 | 2020/11/06 | 2021/05/28 | 10.02 |
| 16484 | Bank Vontobel – CM Bank European Warrants May 2021 A | 30,000,000 | 2020/11/06 | 2021/05/05 | 10.02 |
| 16494 | Bank Vontobel – Meituan European Warrants May 2021 A | 60,000,000 | 2020/11/06 | 2021/05/05 | 15.00 |
| 16510 | Bank Vontobel – Sunny Optical European Warrants Apr 2021 A | 70,000,000 | 2020/11/06 | 2021/04/27 | 10.50 |

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|-------|--|--------------|--------------|------------|---------------------------|
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| 16677 | Credit Suisse AG – HSBC European Put Warrants Apr 2021 A | 80,000,000 | 2020/11/09 | 2021/04/26 | 17.60 |
| 16673 | Credit Suisse AG – Haitong Sec European Warrants Sep 2021 A | 60,000,000 | 2020/11/09 | 2021/09/30 | 15.00 |
| 16681 | Credit Suisse AG – Jiumaojiu European Warrants May 2021 A | 40,000,000 | 2020/11/09 | 2021/05/10 | 12.00 |
| 16680 | Credit Suisse AG – Ping An European Warrants Mar 2021 E | 150,000,000 | 2020/11/09 | 2021/03/26 | 30.00 |
| 16678 | Credit Suisse AG – Xiaomi European Put Warrants May 2021 A | 100,000,000 | 2020/11/09 | 2021/05/10 | 25.00 |
| 16622 | Guotai Junan – China Life European Warrants May 2021 A | 100,000,000 | 2020/11/09 | 2021/05/26 | 18.40 |
| 16625 | Guotai Junan – Geely Auto European Warrants Feb 2021 B | 100,000,000 | 2020/11/09 | 2021/02/09 | 17.70 |
| 16624 | Guotai Junan – Meituan European Put Warrants May 2021 A | 100,000,000 | 2020/11/09 | 2021/05/04 | 20.60 |
| 16760 | Goldman Sachs SP (Asia) – Alibaba European Wts Mar 2021 L | 150,000,000 | 2020/11/09 | 2021/03/26 | 22.50 |
| 16765 | Goldman Sachs SP (Asia) – Geely Auto Euro Put Wts May 2021 A | 80,000,000 | 2020/11/09 | 2021/05/24 | 12.00 |
| 16758 | Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2021 B | 80,000,000 | 2020/11/09 | 2021/03/26 | 12.00 |
| 16755 | Goldman Sachs SP (Asia) – HSI European Warrants Feb 2021 B | 150,000,000 | 2020/11/09 | 2021/02/25 | 22.50 |
| 16762 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Mar 2021 C | 150,000,000 | 2020/11/09 | 2021/03/24 | 31.35 |
| 16636 | HK Bank – Alibaba European Warrants Apr 2021 B | 80,000,000 | 2020/11/09 | 2021/04/22 | 12.00 |
| 16635 | HK Bank – Alibaba European Warrants May 2021 B | 80,000,000 | 2020/11/09 | 2021/05/10 | 20.00 |
| 16634 | HK Bank – JD.com European Warrants Feb 2021 D | 80,000,000 | 2020/11/09 | 2021/02/26 | 12.00 |
| 16628 | HK Bank – Meituan European Warrants Mar 2021 F | 80,000,000 | 2020/11/09 | 2021/03/31 | 17.60 |
| 16640 | HK Bank – Meituan Dianping European Put Warrants Apr 2021 B | 80,000,000 | 2020/11/09 | 2021/04/23 | 16.80 |
| 16637 | HK Bank – Xiaomi European Warrants Mar 2021 C | 80,000,000 | 2020/11/09 | 2021/03/15 | 12.00 |
| 16643 | HK Bank – Xiaomi European Put Warrants Mar 2021 A | 80,000,000 | 2020/11/09 | 2021/03/26 | 19.20 |
| 16693 | Haitong Int'l Sec – Meituan European Warrants May 2021 A | 100,000,000 | 2020/11/09 | 2021/05/10 | 28.00 |
| 16669 | J P Morgan SP BV – BYD Company European Put Wts May 2021 A | 150,000,000 | 2020/11/09 | 2021/05/10 | 45.00 |
| 16672 | J P Morgan SP BV – Meituan European Put Warrants May 2021 A | 250,000,000 | 2020/11/09 | 2021/05/10 | 86.25 |
| 16611 | Macquarie Bank Ltd. – AIA European Put Warrants Jun 2021 A | 30,000,000 | 2020/11/09 | 2021/06/02 | 13.65 |
| 16602 | Macquarie Bank Ltd. – Air China European Warrants May 2022 A | 40,000,000 | 2020/11/09 | 2022/05/03 | 10.08 |
| 16608 | Macquarie Bank Ltd. – China Shenhua European Wts Jun 2021 A | 36,000,000 | 2020/11/09 | 2021/06/02 | 10.01 |
| 16615 | Macquarie Bank Ltd. – China Tower European Wts Jul 2021 B | 68,000,000 | 2020/11/09 | 2021/07/02 | 10.27 |
| 16604 | Macquarie Bank Ltd. – Henganintl European Wts Jun 2021 A | 40,000,000 | 2020/11/09 | 2021/06/02 | 10.16 |
| 16600 | Macquarie Bank Ltd. – MTRC European Warrants Oct 2021 A | 40,000,000 | 2020/11/09 | 2021/10/05 | 10.28 |
| 16612 | Macquarie Bank Ltd. – Shenzhen Int'l European Wts Jun 2021 A | 40,000,000 | 2020/11/09 | 2021/06/02 | 10.04 |
| 16598 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Mar21 G | 128,000,000 | 2020/11/09 | 2021/03/08 | 23.04 |
| 16617 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Apr21 B | 128,000,000 | 2020/11/09 | 2021/04/23 | 21.12 |
| 16723 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 D | 128,000,000 | 2020/11/09 | 2021/05/10 | 32.00 |
| 16595 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Sep21 B | 128,000,000 | 2020/11/09 | 2021/09/01 | 32.00 |
| 16736 | Morgan Stanley Asia Products Ltd. – Ch LIT Euro Wts Jun 21 A | 68,000,000 | 2020/11/09 | 2021/06/23 | 10.20 |
| 16721 | Morgan Stanley Asia Products Ltd. – Geely Auto Eu Wt May21 A | 58,000,000 | 2020/11/09 | 2021/05/10 | 15.43 |
| 16722 | Morgan Stanley Asia Products Ltd. – Geely Auto Eu Wt May21 B | 58,000,000 | 2020/11/09 | 2021/05/10 | 14.50 |
| 16585 | Morgan Stanley Asia Products Ltd. – Geely Eu Put Wt May 21 A | 68,000,000 | 2020/11/09 | 2021/05/24 | 10.20 |
| 16594 | Morgan Stanley Asia Products Ltd. – Geely Eu Put Wt May 21 B | 48,000,000 | 2020/11/09 | 2021/05/10 | 12.00 |
| 16735 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts Mar 2021 B | 80,000,000 | 2020/11/09 | 2021/03/26 | 13.12 |
| 16732 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts Jun 2021 A | 80,000,000 | 2020/11/09 | 2021/06/02 | 20.00 |
| 16727 | Morgan Stanley Asia Products Ltd. – HSBC Eur Put Wts Apr21 B | 68,000,000 | 2020/11/09 | 2021/04/26 | 13.33 |
| 16754 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts May 2021 A | 188,000,000 | 2020/11/09 | 2021/05/28 | 28.20 |
| 16726 | Morgan Stanley Asia Products Ltd. – JD.com Euro Wts May 21 A | 68,000,000 | 2020/11/09 | 2021/05/10 | 17.00 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | | |
| 16724 | Morgan Stanley Asia Products Ltd. – JD.com Eur Put Wt Mar21A | 68,000,000 | 2020/11/09 | 2021/03/01 | 11.36 |
| 16750 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts May21 A | 98,000,000 | 2020/11/09 | 2021/05/10 | 24.50 |
| 16744 | Morgan Stanley Asia Products Ltd. – Meituan Eu Put Wt Apr21A | 68,000,000 | 2020/11/09 | 2021/04/23 | 13.53 |
| 16740 | Morgan Stanley Asia Products Ltd. – Meituan Eu Put Wt May21A | 68,000,000 | 2020/11/09 | 2021/05/10 | 18.50 |
| 16698 | SG Issuer – Meituan European Warrants May 2021 A | 100,000,000 | 2020/11/09 | 2021/05/10 | 25.00 |
| 16696 | SG Issuer – Xiaomi European Put Warrants May 2021 A | 80,000,000 | 2020/11/09 | 2021/05/31 | 20.00 |
| 16583 | UBS AG – Alibaba European Warrants Mar 2021 K | 200,000,000 | 2020/11/09 | 2021/03/26 | 30.00 |
| 16584 | UBS AG – Alibaba European Warrants Jul 2021 A | 200,000,000 | 2020/11/09 | 2021/07/23 | 30.00 |
| 16645 | UBS AG – Alibaba European Put Warrants Mar 2021 B | 200,000,000 | 2020/11/09 | 2021/03/18 | 30.00 |
| 16647 | UBS AG – China Life European Warrants Apr 2021 A | 150,000,000 | 2020/11/09 | 2021/04/23 | 22.50 |
| 16662 | UBS AG – China Tower European Warrants Aug 2021 A | 70,000,000 | 2020/11/09 | 2021/08/24 | 10.50 |
| 16656 | UBS AG – Meituan European Put Warrants Apr 2021 A | 200,000,000 | 2020/11/09 | 2021/04/23 | 30.00 |
| 16665 | UBS AG – SMIC European Warrants Mar 2021 A | 70,000,000 | 2020/11/09 | 2021/03/02 | 10.50 |
| 16890 | BOCI Asia Ltd. – JD.com European Warrants Mar 2021 B | 100,000,000 | 2020/11/10 | 2021/03/01 | 16.00 |
| 16886 | BOCI Asia Ltd. – Meituan European Warrants May 2021 A | 100,000,000 | 2020/11/10 | 2021/05/10 | 25.00 |
| 17006 | Credit Suisse AG – Alibaba European Warrants Jun 2021 C | 400,000,000 | 2020/11/10 | 2021/06/25 | 72.00 |
| 17043 | Credit Suisse AG – BYD Company European Warrants May 2021 B | 150,000,000 | 2020/11/10 | 2021/05/10 | 37.50 |
| 17044 | Credit Suisse AG – BYD Company Euro Put Warrants May 2021 C | 150,000,000 | 2020/11/10 | 2021/05/10 | 48.00 |
| 17049 | Credit Suisse AG – China Life European Put Wts Jun 2021 A | 80,000,000 | 2020/11/10 | 2021/06/23 | 12.00 |
| 17038 | Credit Suisse AG – Geely Auto European Warrants May 2021 B | 100,000,000 | 2020/11/10 | 2021/05/10 | 25.00 |
| 17040 | Credit Suisse AG – Geely Auto European Put Wts May 2021 C | 80,000,000 | 2020/11/10 | 2021/05/10 | 21.60 |
| 17047 | Credit Suisse AG – Hang Seng Bank European Wts Apr 2021 A | 80,000,000 | 2020/11/10 | 2021/04/19 | 12.00 |
| 17008 | Credit Suisse AG – JD.com European Warrants May 2021 A | 200,000,000 | 2020/11/10 | 2021/05/10 | 50.00 |
| 17016 | Credit Suisse AG – Meituan European Warrants May 2021 A | 200,000,000 | 2020/11/10 | 2021/05/10 | 50.00 |
| 17018 | Credit Suisse AG – Tencent European Wts Feb 2021 D | 250,000,000 | 2020/11/10 | 2021/02/19 | 45.00 |
| 17019 | Credit Suisse AG – Tencent European Wts Apr 2021 B | 250,000,000 | 2020/11/10 | 2021/04/26 | 50.00 |
| 17032 | Credit Suisse AG – Xiaomi European Warrants Feb 2021 D | 200,000,000 | 2020/11/10 | 2021/02/23 | 30.00 |
| 17050 | Credit Suisse AG – ZTE European Warrants May 2021 B | 50,000,000 | 2020/11/10 | 2021/05/10 | 12.50 |
| 16980 | Citigroup Global – BYD Company European Warrants May 2021 C | 100,000,000 | 2020/11/10 | 2021/05/11 | 31.00 |
| 17001 | Citigroup Global – Geely Auto European Warrants May 2021 B | 100,000,000 | 2020/11/10 | 2021/05/11 | 25.00 |
| 16970 | Citigroup Global – JD.com European Warrants Mar 2021 B | 100,000,000 | 2020/11/10 | 2021/03/01 | 17.50 |
| 16976 | Citigroup Global – Meituan European Put Warrants May 2021 A | 100,000,000 | 2020/11/10 | 2021/05/11 | 29.50 |
| 16962 | Citigroup Global – Sunny Optical Euro Warrants Feb 2021 B | 100,000,000 | 2020/11/10 | 2021/02/25 | 15.00 |
| 16815 | Guotai Junan – China Mobile European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/10 | 2021/04/07 | 18.10 |
| 16817 | Guotai Junan – JD.com European Warrants Mar 2021 C | 100,000,000 | 2020/11/10 | 2021/03/03 | 17.30 |
| 16821 | Guotai Junan – JD.com European Put Warrants Mar 2021 A | 100,000,000 | 2020/11/10 | 2021/03/03 | 18.90 |
| 16814 | Guotai Junan – Tencent European Warrants May 2021 A | 100,000,000 | 2020/11/10 | 2021/05/04 | 24.50 |
| 16807 | Guotai Junan – Xiaomi European Put Warrants Mar 2021 C | 100,000,000 | 2020/11/10 | 2021/03/08 | 16.80 |
| 17074 | Goldman Sachs SP (Asia) – Alibaba European Wts Sep 2021 C | 150,000,000 | 2020/11/10 | 2021/09/23 | 22.50 |
| 17058 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Apr 2021 B | 150,000,000 | 2020/11/10 | 2021/04/27 | 45.00 |
| 17060 | Goldman Sachs SP (Asia) – Meituan Euro Warrants May 2021 A | 150,000,000 | 2020/11/10 | 2021/05/10 | 37.50 |
| 17063 | Goldman Sachs SP (Asia) – Meituan Euro Put Wts Apr 2021 A | 100,000,000 | 2020/11/10 | 2021/04/23 | 15.00 |
| 17073 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 G | 150,000,000 | 2020/11/10 | 2021/02/23 | 43.35 |
| 10174 | HK Bank – DJIA European Warrants Jun 2021 A | 200,000,000 | 2020/11/10 | 2021/06/18 | 50.00 |

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| 10176 | HK Bank – DJIA European Warrants Jun 2021 B | 200,000,000 | 2020/11/10 | 2021/06/18 | 50.00 |
| 10177 | HK Bank – DJIA European Put Warrants Jun 2021 A | 200,000,000 | 2020/11/10 | 2021/06/18 | 50.00 |
| 10071 | HK Bank – DJIA European Put Warrants Jun 2021 B | 200,000,000 | 2020/11/10 | 2021/06/18 | 50.00 |
| 16790 | HK Bank – HSI European Put Warrants Apr 2021 B | 280,000,000 | 2020/11/10 | 2021/04/29 | 42.00 |
| 16787 | HK Bank – HSI European Put Warrants May 2021 B | 200,000,000 | 2020/11/10 | 2021/05/28 | 50.00 |
| 10072 | HK Bank – NQ100 Index European Put Warrants Mar 2021 B | 200,000,000 | 2020/11/10 | 2021/03/19 | 30.00 |
| 10169 | HK Bank – NQ100 Index European Put Warrants Mar 2021 C | 200,000,000 | 2020/11/10 | 2021/03/19 | 34.00 |
| 10170 | HK Bank – NQ100 Index European Put Warrants Mar 2021 D | 200,000,000 | 2020/11/10 | 2021/03/19 | 36.00 |
| 16946 | Haitong Int'l Sec – BYD Company European Warrants May 2021 B | 40,000,000 | 2020/11/10 | 2021/05/11 | 10.00 |
| 16952 | Haitong Int'l Sec – Tencent European Warrants Jun 2021 A | 100,000,000 | 2020/11/10 | 2021/06/30 | 25.00 |
| 16876 | J P Morgan SP BV – BYD Company European Warrants May 2021 C | 150,000,000 | 2020/11/10 | 2021/05/31 | 46.50 |
| 16877 | J P Morgan SP BV – CKH Holdings European Warrants Jun 2021 A | 60,000,000 | 2020/11/10 | 2021/06/30 | 15.00 |
| 16869 | J P Morgan SP BV – Geely Auto European Warrants May 2021 B | 80,000,000 | 2020/11/10 | 2021/05/31 | 20.32 |
| 16862 | J P Morgan SP BV – HSCEI European Put Warrants Jun 2021 B | 200,000,000 | 2020/11/10 | 2021/06/29 | 50.40 |
| 16865 | J P Morgan SP BV – HSI European Put Warrants May 2021 C | 400,000,000 | 2020/11/10 | 2021/05/28 | 100.00 |
| 16864 | J P Morgan SP BV – HSI European Put Warrants Jun 2021 C | 400,000,000 | 2020/11/10 | 2021/06/29 | 100.40 |
| 16880 | J P Morgan SP BV – JD.com European Warrants Mar 2021 D | 200,000,000 | 2020/11/10 | 2021/03/01 | 33.60 |
| 16870 | J P Morgan SP BV – JD.com European Warrants Jun 2021 A | 200,000,000 | 2020/11/10 | 2021/06/30 | 51.20 |
| 16863 | J P Morgan SP BV – Meituan European Warrants May 2021 A | 200,000,000 | 2020/11/10 | 2021/05/31 | 62.80 |
| 16878 | J P Morgan SP BV – SHK Ppt European Warrants Mar 2021 A | 60,000,000 | 2020/11/10 | 2021/03/01 | 10.50 |
| 16832 | Macquarie Bank Ltd. – Anta Sports European Wts Jul 2021 A | 40,000,000 | 2020/11/10 | 2021/07/05 | 10.72 |
| 16841 | Macquarie Bank Ltd. – BYD Company European Put Wts Jul 2021A | 20,900,000 | 2020/11/10 | 2021/07/05 | 10.07 |
| 16831 | Macquarie Bank Ltd. – CNOOC European Warrants Jun 2021 A | 40,000,000 | 2020/11/10 | 2021/06/02 | 10.08 |
| 16857 | Macquarie Bank Ltd. – Geely Auto European Wts Jun 2021 A | 39,400,000 | 2020/11/10 | 2021/06/02 | 10.01 |
| 16847 | Macquarie Bank Ltd. – Geely Auto European Put Wts Jun 2021 A | 39,500,000 | 2020/11/10 | 2021/06/02 | 10.07 |
| 16824 | Macquarie Bank Ltd. – JD.com European Warrants Jun 2021 B | 33,000,000 | 2020/11/10 | 2021/06/02 | 10.03 |
| 16861 | Macquarie Bank Ltd. – Meituan Euro Put Warrants Jun 2021 B | 32,000,000 | 2020/11/10 | 2021/06/02 | 10.40 |
| 16826 | Macquarie Bank Ltd. – SMIC European Warrants May 2021 B | 24,000,000 | 2020/11/10 | 2021/05/28 | 10.01 |
| 16828 | Macquarie Bank Ltd. – Tencent European Warrants May 2021 D | 30,700,000 | 2020/11/10 | 2021/05/20 | 10.04 |
| 16830 | Macquarie Bank Ltd. – Tencent European Warrants May 2021 E | 40,000,000 | 2020/11/10 | 2021/05/26 | 10.08 |
| 16989 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 E | 128,000,000 | 2020/11/10 | 2021/05/10 | 32.00 |
| 16785 | Morgan Stanley Asia Products Ltd. – Alibaba Eu Put Wt Jun21A | 80,000,000 | 2020/11/10 | 2021/06/01 | 20.00 |
| 16984 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts Jun 2021 B | 88,000,000 | 2020/11/10 | 2021/06/01 | 22.00 |
| 16800 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts May 2021 B | 188,000,000 | 2020/11/10 | 2021/05/28 | 47.00 |
| 16803 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts May 2021 C | 188,000,000 | 2020/11/10 | 2021/05/28 | 33.84 |
| 16780 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts Apr21 C | 188,000,000 | 2020/11/10 | 2021/04/29 | 28.20 |
| 16795 | Morgan Stanley Asia Products Ltd. – Meituan Eu Put Wt Apr21B | 68,000,000 | 2020/11/10 | 2021/04/26 | 10.20 |
| 16796 | Morgan Stanley Asia Products Ltd. – Meituan Eu Put Wt Aug21A | 68,000,000 | 2020/11/10 | 2021/08/02 | 17.00 |
| 16992 | SG Issuer – Geely Auto European Warrants May 2021 B | 80,000,000 | 2020/11/10 | 2021/05/31 | 20.00 |
| 16990 | SG Issuer – Geely Auto European Put Warrants May 2021 A | 80,000,000 | 2020/11/10 | 2021/05/10 | 20.00 |
| 16994 | SG Issuer – JD.com European Warrants Mar 2021 D | 80,000,000 | 2020/11/10 | 2021/03/01 | 13.20 |
| 16999 | SG Issuer – JD.com European Put Warrants Mar 2021 A | 80,000,000 | 2020/11/10 | 2021/03/01 | 18.00 |
| 16928 | UBS AG – AAC Tech European Warrants Sep 2021 A | 100,000,000 | 2020/11/10 | 2021/09/27 | 15.00 |
| 16918 | UBS AG – AIA European Warrants May 2021 A | 100,000,000 | 2020/11/10 | 2021/05/10 | 25.00 |

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| 16943 | UBS AG – Alibaba European Warrants Apr 2021 C | 200,000,000 | 2020/11/10 | 2021/04/23 | 30.00 |
| 16944 | UBS AG – Alibaba European Warrants Apr 2021 D | 200,000,000 | 2020/11/10 | 2021/04/23 | 30.00 |
| 16923 | UBS AG – BYD Company European Warrants May 2021 B | 150,000,000 | 2020/11/10 | 2021/05/10 | 37.50 |
| 16945 | UBS AG – BYD Company European Put Warrants Apr 2021 D | 150,000,000 | 2020/11/10 | 2021/04/27 | 22.50 |
| 16909 | UBS AG – CNBM European Wts May 2021 A | 60,000,000 | 2020/11/10 | 2021/05/10 | 15.00 |
| 16893 | UBS AG – HSBC European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/10 | 2021/06/07 | 25.00 |
| 16940 | UBS AG – JD.com European Warrants Feb 2021 A | 200,000,000 | 2020/11/10 | 2021/02/19 | 48.00 |
| 16929 | UBS AG – Sunny Optical European Warrants Apr 2021 A | 100,000,000 | 2020/11/10 | 2021/04/23 | 15.00 |
| 17004 | Bank Vontobel – BYD Company European Warrants May 2021 C | 30,000,000 | 2020/11/10 | 2021/05/26 | 10.02 |
| 17003 | Bank Vontobel – Geely Auto European Warrants May 2021 A | 40,000,000 | 2020/11/10 | 2021/05/10 | 10.00 |
| 17094 | BOCI Asia Ltd. – SMIC European Warrants Mar 2021 C | 80,000,000 | 2020/11/11 | 2021/03/02 | 19.20 |
| 17099 | BNP Paribas Issuance B.V. – JD.com Euro Warrants Mar 2021 D | 60,000,000 | 2020/11/11 | 2021/03/02 | 13.80 |
| 17096 | BNP Paribas Issuance B.V. – JD.com Euro Warrants Jul 2021 A | 60,000,000 | 2020/11/11 | 2021/07/05 | 16.20 |
| 17185 | Credit Suisse AG – Anta Sports European Warrants May 2021 A | 50,000,000 | 2020/11/11 | 2021/05/10 | 12.50 |
| 17190 | Credit Suisse AG – CKH Holdings European Warrants Jun 2021 B | 60,000,000 | 2020/11/11 | 2021/06/08 | 15.00 |
| 17178 | Credit Suisse AG – China Lit European Warrants May 2021 B | 100,000,000 | 2020/11/11 | 2021/05/31 | 25.00 |
| 17186 | Credit Suisse AG – Li Ning European Warrants May 2021 A | 30,000,000 | 2020/11/11 | 2021/05/10 | 11.40 |
| 17176 | Credit Suisse AG – Yihai Intl European Warrants May 2021 A | 80,000,000 | 2020/11/11 | 2021/05/10 | 20.00 |
| 17180 | Credit Suisse AG – Zhaojin Mining European Wts May 2021 A | 60,000,000 | 2020/11/11 | 2021/05/10 | 15.00 |
| 17250 | Goldman Sachs SP (Asia) – Alibaba Euro Put Wts Feb 2021 A | 100,000,000 | 2020/11/11 | 2021/02/22 | 15.00 |
| 17244 | Goldman Sachs SP (Asia) – HSBC European Put Wts Mar 2021 B | 80,000,000 | 2020/11/11 | 2021/03/25 | 12.00 |
| 17235 | Goldman Sachs SP (Asia) – JD.com Euro Put Wts Mar 2021 A | 100,000,000 | 2020/11/11 | 2021/03/01 | 16.10 |
| 17236 | Goldman Sachs SP (Asia) – PA Gooddoctor Euro Wts Mar 2021 B | 80,000,000 | 2020/11/11 | 2021/03/26 | 12.00 |
| 17118 | HK Bank – CM Bank European Warrants Jul 2021 A | 80,000,000 | 2020/11/11 | 2021/07/30 | 20.00 |
| 17103 | HK Bank – HSI European Warrants Mar 2021 F | 200,000,000 | 2020/11/11 | 2021/03/30 | 30.00 |
| 17104 | HK Bank – SPDR Gold Trust European Warrants Apr 2021 A | 100,000,000 | 2020/11/11 | 2021/04/20 | 15.00 |
| 17111 | HK Bank – SPDR Gold Trust European Warrants Aug 2021 A | 100,000,000 | 2020/11/11 | 2021/08/18 | 18.00 |
| 17107 | HK Bank – SPDR Gold Trust European Warrants Nov 2021 A | 100,000,000 | 2020/11/11 | 2021/11/23 | 19.00 |
| 17204 | Haitong Int'l Sec – HSI European Warrants Mar 2021 C | 400,000,000 | 2020/11/11 | 2021/03/30 | 60.00 |
| 17208 | Haitong Int'l Sec – Meituan European Put Warrants Apr 2021 A | 70,000,000 | 2020/11/11 | 2021/04/28 | 17.50 |
| 17213 | Haitong Int'l Sec – Tencent European Put Warrants Apr 2021 A | 70,000,000 | 2020/11/11 | 2021/04/26 | 25.20 |
| 17195 | J P Morgan SP BV – Anta Sports European Warrants Jun 2021 B | 60,000,000 | 2020/11/11 | 2021/06/30 | 15.12 |
| 17198 | J P Morgan SP BV – CSOP A50 ETF European Warrants Oct 2021 A | 100,000,000 | 2020/11/11 | 2021/10/29 | 25.10 |
| 17192 | J P Morgan SP BV – Dongfeng Motor European Wts Sep 2021 A | 80,000,000 | 2020/11/11 | 2021/09/30 | 20.80 |
| 17203 | J P Morgan SP BV – HSBC European Warrants Mar 2021 C | 100,000,000 | 2020/11/11 | 2021/03/31 | 15.20 |
| 17199 | J P Morgan SP BV – Meituan European Warrants Apr 2021 C | 300,000,000 | 2020/11/11 | 2021/04/01 | 81.60 |
| 17194 | J P Morgan SP BV – Zijin Mining European Warrants Sep 2021 A | 80,000,000 | 2020/11/11 | 2021/09/30 | 20.16 |
| 17191 | J P Morgan SP BV – ZTE European Warrants May 2021 A | 60,000,000 | 2020/11/11 | 2021/05/31 | 17.94 |
| 17089 | Macquarie Bank Ltd. – Dongfeng Motor European Wts Dec 2021 A | 40,000,000 | 2020/11/11 | 2021/12/02 | 10.00 |
| 17090 | Macquarie Bank Ltd. – Li Ning European Warrants Dec 2022 A | 40,000,000 | 2020/11/11 | 2022/12/16 | 10.04 |
| 17077 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 A | 68,000,000 | 2020/11/11 | 2021/05/03 | 11.36 |
| 17088 | Morgan Stanley Asia Products Ltd. – Ch Mob Eur Wt Aug 2021 A | 68,000,000 | 2020/11/11 | 2021/08/16 | 17.00 |
| 17231 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts May21 B | 188,000,000 | 2020/11/11 | 2021/05/28 | 28.20 |
| 17078 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Apr21 G | 128,000,000 | 2020/11/11 | 2021/04/26 | 52.99 |

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| 17082 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts May21 C | 128,000,000 | 2020/11/11 | 2021/05/10 | 43.39 |
| 17086 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Jul21 B | 128,000,000 | 2020/11/11 | 2021/07/02 | 40.45 |
| 17087 | Morgan Stanley Asia Products Ltd. – Zijin Eu Wt Oct 2021 A | 48,000,000 | 2020/11/11 | 2021/10/28 | 12.00 |
| 17216 | SG Issuer – Anta Sports European Warrants Dec 2021 A | 120,000,000 | 2020/11/11 | 2021/12/31 | 30.00 |
| 17230 | SG Issuer – BYD Company European Warrants Apr 2021 B | 80,000,000 | 2020/11/11 | 2021/04/28 | 24.80 |
| 17220 | SG Issuer – Geely Auto European Put Warrants Apr 2021 A | 80,000,000 | 2020/11/11 | 2021/04/28 | 12.00 |
| 17128 | UBS AG – Geely Auto European Warrants May 2021 A | 150,000,000 | 2020/11/11 | 2021/05/10 | 37.50 |
| 17153 | UBS AG – Geely Auto European Put Warrants Apr 2021 A | 80,000,000 | 2020/11/11 | 2021/04/28 | 12.00 |
| 17143 | UBS AG – HSBC European Warrants Apr 2021 A | 100,000,000 | 2020/11/11 | 2021/04/19 | 15.00 |
| 17158 | UBS AG – HSI European Warrants Feb 2021 B | 300,000,000 | 2020/11/11 | 2021/02/25 | 45.00 |
| 17171 | UBS AG – ICBC European Warrants Mar 2021 C | 60,000,000 | 2020/11/11 | 2021/03/26 | 10.20 |
| 17135 | UBS AG – JD.com European Warrants May 2021 A | 200,000,000 | 2020/11/11 | 2021/05/10 | 50.00 |
| 17175 | UBS AG – JD.com European Put Warrants Mar 2021 B | 200,000,000 | 2020/11/11 | 2021/03/01 | 30.00 |
| 17165 | UBS AG – Link REIT European Warrants Apr 2021 A | 60,000,000 | 2020/11/11 | 2021/04/15 | 10.20 |
| 17133 | UBS AG – Meituan European Warrants May 2021 A | 200,000,000 | 2020/11/11 | 2021/05/10 | 50.00 |
| 17139 | UBS AG – Meituan European Put Warrants May 2021 A | 200,000,000 | 2020/11/11 | 2021/05/10 | 50.00 |
| 17142 | UBS AG – Ping An European Warrants Mar 2021 B | 100,000,000 | 2020/11/11 | 2021/03/24 | 15.00 |
| 17161 | UBS AG – SHK Ppt European Warrants Mar 2021 A | 70,000,000 | 2020/11/11 | 2021/03/26 | 10.50 |
| 17160 | UBS AG – Tencent European Warrants Apr 2021 C | 300,000,000 | 2020/11/11 | 2021/04/26 | 45.00 |
| 17146 | UBS AG – ZTE European Warrants Mar 2021 B | 50,000,000 | 2020/11/11 | 2021/03/09 | 11.00 |
| 17306 | BOCI Asia Ltd. – Tencent European Put Wts Mar 2021 B | 100,000,000 | 2020/11/12 | 2021/03/31 | 15.00 |
| 17284 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts May 2021 B | 40,000,000 | 2020/11/12 | 2021/05/04 | 12.00 |
| 17261 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Feb 2021 E | 60,000,000 | 2020/11/12 | 2021/02/22 | 14.40 |
| 17255 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Mar 2021 G | 60,000,000 | 2020/11/12 | 2021/03/02 | 21.00 |
| 17262 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Apr 2021 H | 60,000,000 | 2020/11/12 | 2021/04/07 | 18.00 |
| 17267 | BNP Paribas Issuance B.V. – Meituan Euro Warrants May 2021 F | 60,000,000 | 2020/11/12 | 2021/05/04 | 16.80 |
| 17296 | BNP Paribas Issuance B.V. – SMIC European Wts May 2021 A | 40,000,000 | 2020/11/12 | 2021/05/04 | 20.00 |
| 17295 | BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Aug 2021 A | 60,000,000 | 2020/11/12 | 2021/08/03 | 15.00 |
| 17407 | Credit Suisse AG – Dongfeng Motor European Wts May 2021 A | 50,000,000 | 2020/11/12 | 2021/05/11 | 12.50 |
| 17408 | Credit Suisse AG – Ganfeng Lithium European Warrants May21 A | 40,000,000 | 2020/11/12 | 2021/05/11 | 10.00 |
| 17406 | Credit Suisse AG – JD.com European Put Warrants May 2021 A | 100,000,000 | 2020/11/12 | 2021/05/11 | 32.00 |
| 17404 | Credit Suisse AG – Meituan European Put Warrants May 2021 B | 100,000,000 | 2020/11/12 | 2021/05/11 | 30.00 |
| 17389 | Credit Suisse AG – Sunny Optical Euro Warrants Jun 2021 A | 100,000,000 | 2020/11/12 | 2021/06/30 | 25.00 |
| 17387 | Credit Suisse AG – Sunny Optical European Put Wts May 2021 B | 100,000,000 | 2020/11/12 | 2021/05/11 | 26.00 |
| 17401 | Credit Suisse AG – Xiaomi European Warrants Mar 2021 J | 200,000,000 | 2020/11/12 | 2021/03/31 | 30.00 |
| 17399 | Credit Suisse AG – Xiaomi European Put Warrants May 2021 B | 100,000,000 | 2020/11/12 | 2021/05/11 | 28.00 |
| 17421 | Citigroup Global – HKEX European Warrants Mar 2021 B | 100,000,000 | 2020/11/12 | 2021/03/30 | 18.10 |
| 17414 | Citigroup Global – Meituan European Warrants Mar 2021 C | 100,000,000 | 2020/11/12 | 2021/03/30 | 28.50 |
| 17417 | Citigroup Global – Sands China European Warrants Mar 2021 B | 100,000,000 | 2020/11/12 | 2021/03/30 | 18.90 |
| 17410 | Citigroup Global – Xiaomi European Warrants Apr 2021 A | 100,000,000 | 2020/11/12 | 2021/04/29 | 22.60 |
| 17428 | Goldman Sachs SP (Asia) – Geely Auto European Wts May 2021 A | 80,000,000 | 2020/11/12 | 2021/05/12 | 20.00 |
| 17430 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Feb 2021 A | 80,000,000 | 2020/11/12 | 2021/02/16 | 12.00 |
| 17409 | Goldman Sachs SP (Asia) – HSI European Warrants Mar 2021 C | 150,000,000 | 2020/11/12 | 2021/03/30 | 22.50 |
| 17423 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Mar 2021 D | 120,000,000 | 2020/11/12 | 2021/03/01 | 18.00 |

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| 17434 | Goldman Sachs SP (Asia) – Xiaomi European Wts Mar 2021 G | 80,000,000 | 2020/11/12 | 2021/03/01 | 12.00 |
| 17327 | Haitong Int'l Sec – Geely Auto European Warrants May 2021 A | 80,000,000 | 2020/11/12 | 2021/05/11 | 20.00 |
| 17334 | Haitong Int'l Sec – HSI European Put Warrants May 2021 A | 400,000,000 | 2020/11/12 | 2021/05/28 | 60.00 |
| 17328 | Haitong Int'l Sec – Sunny Optical European Wts May 2021 A | 80,000,000 | 2020/11/12 | 2021/05/11 | 22.40 |
| 17337 | Haitong Int'l Sec – Xiaomi European Put Warrants Feb 2021 C | 100,000,000 | 2020/11/12 | 2021/02/22 | 15.00 |
| 17386 | J P Morgan SP BV – AAC Tech European Warrants Feb 2022 A | 80,000,000 | 2020/11/12 | 2022/02/28 | 12.80 |
| 17352 | J P Morgan SP BV – CAM CSI300 European Warrants May 2021 A | 60,000,000 | 2020/11/12 | 2021/05/31 | 15.24 |
| 17368 | J P Morgan SP BV – A50 China European Warrants Sep 2021 A | 100,000,000 | 2020/11/12 | 2021/09/30 | 25.20 |
| 17353 | J P Morgan SP BV – GF Sec European Warrants May 2021 A | 60,000,000 | 2020/11/12 | 2021/05/14 | 15.06 |
| 17374 | J P Morgan SP BV – HSCEI European Wts Jun 2021 A | 200,000,000 | 2020/11/12 | 2021/06/29 | 50.00 |
| 17355 | J P Morgan SP BV – HSCEI European Wts Sep 2021 A | 200,000,000 | 2020/11/12 | 2021/09/29 | 50.20 |
| 17315 | J P Morgan SP BV – HSCEI European Put Warrants May 2021 A | 200,000,000 | 2020/11/12 | 2021/05/28 | 50.60 |
| 17349 | J P Morgan SP BV – HSI European Warrants May 2021 C | 400,000,000 | 2020/11/12 | 2021/05/28 | 100.00 |
| 17382 | J P Morgan SP BV – Haitong Sec European Warrants May 2021 A | 80,000,000 | 2020/11/12 | 2021/05/31 | 12.64 |
| 17379 | J P Morgan SP BV – Jiangxi Copper European Wts Apr 2021 B | 70,000,000 | 2020/11/12 | 2021/04/07 | 10.64 |
| 17375 | J P Morgan SP BV – SMIC European Put Warrants May 2021 A | 60,000,000 | 2020/11/12 | 2021/05/31 | 26.22 |
| 17367 | J P Morgan SP BV – Sunny Optical European Wts May 2021 A | 60,000,000 | 2020/11/12 | 2021/05/14 | 15.24 |
| 17299 | Macquarie Bank Ltd. – Chinasoft Int'l European Wt Aug 2021 A | 39,500,000 | 2020/11/12 | 2021/08/20 | 10.03 |
| 17311 | Macquarie Bank Ltd. – Ganfeng Lithium European Wts Jul21A | 40,000,000 | 2020/11/12 | 2021/07/05 | 10.08 |
| 17253 | Macquarie Bank Ltd. – IGG European Warrants Jul 2021 A | 30,500,000 | 2020/11/12 | 2021/07/16 | 10.07 |
| 17252 | Macquarie Bank Ltd. – Meituan European Warrants May 2021 E | 38,000,000 | 2020/11/12 | 2021/05/11 | 10.03 |
| 17301 | Macquarie Bank Ltd. – Xiaomi European Warrants May 2021 E | 40,000,000 | 2020/11/12 | 2021/05/11 | 10.04 |
| 17302 | Macquarie Bank Ltd. – Xiaomi European Warrants Jun 2021 E | 40,000,000 | 2020/11/12 | 2021/06/02 | 10.04 |
| 17312 | Macquarie Bank Ltd. – Zhou Hei Ya European Warrants Jun21 A | 40,000,000 | 2020/11/12 | 2021/06/02 | 10.04 |
| 17347 | Morgan Stanley Asia Products Ltd. – Anta Sports Eu Wt Jun21A | 40,000,000 | 2020/11/12 | 2021/06/15 | 10.00 |
| 17344 | Morgan Stanley Asia Products Ltd. – JD.com Euro Wts May21 B | 80,000,000 | 2020/11/12 | 2021/05/28 | 20.00 |
| 17341 | Morgan Stanley Asia Products Ltd. – Sunny Eu Wt Jun 2021 B | 80,000,000 | 2020/11/12 | 2021/06/15 | 20.00 |
| 17338 | Morgan Stanley Asia Products Ltd. – Sunny Opt Eu Put Wt Apr21B | 68,000,000 | 2020/11/12 | 2021/04/28 | 10.20 |
| 17339 | Morgan Stanley Asia Products Ltd. – Xiaomi Eu Put Wt Feb21 D | 80,000,000 | 2020/11/12 | 2021/02/23 | 14.08 |
| 17316 | UBS AG – Geely Auto European Warrants May 2021 B | 150,000,000 | 2020/11/12 | 2021/05/03 | 27.00 |
| 17318 | UBS AG – Sunny Optical European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/12 | 2021/04/28 | 15.00 |
| 17325 | UBS AG – Xiaomi European Put Warrants May 2021 B | 200,000,000 | 2020/11/12 | 2021/05/24 | 30.00 |
| 17215 [#] | J P Morgan SP BV – HSCEI European Wts Feb 2021 A | 300,000,000 | 2020/11/12 | 2021/02/25 | 31.50 |
| 17573 | Credit Suisse AG – AIA European Warrants Mar 2021 A | 70,000,000 | 2020/11/13 | 2021/03/05 | 11.90 |
| 17574 | Credit Suisse AG – AIA European Put Warrants May 2021 A | 50,000,000 | 2020/11/13 | 2021/05/12 | 22.50 |
| 17581 | Credit Suisse AG – Alibaba European Warrants Apr 2021 C | 400,000,000 | 2020/11/13 | 2021/04/23 | 60.00 |
| 17579 | Credit Suisse AG – CM Bank European Warrants May 2021 A | 50,000,000 | 2020/11/13 | 2021/05/12 | 12.50 |
| 17563 | Credit Suisse AG – CNOOC European Put Warrants May 2021 A | 80,000,000 | 2020/11/13 | 2021/05/31 | 20.00 |
| 17568 | Credit Suisse AG – Sinopec Corp European Put Warrants May21A | 40,000,000 | 2020/11/13 | 2021/05/12 | 10.00 |
| 17594 | Credit Suisse AG – HSBC European Wts Mar 2021 C | 100,000,000 | 2020/11/13 | 2021/03/24 | 18.00 |
| 17572 | Credit Suisse AG – HSBC European Put Warrants May 2021 B | 80,000,000 | 2020/11/13 | 2021/05/12 | 20.00 |
| 17583 | Credit Suisse AG – HSI European Put Warrants May 2021 B | 150,000,000 | 2020/11/13 | 2021/05/28 | 25.50 |
| 17589 | Credit Suisse AG – HSI European Put Warrants May 2021 C | 150,000,000 | 2020/11/13 | 2021/05/28 | 22.50 |
| 17582 | Credit Suisse AG – Link REIT European Warrants May 2021 A | 50,000,000 | 2020/11/13 | 2021/05/12 | 12.50 |

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| 17564 | Credit Suisse AG – PetroChina Euro Put Warrants May 2021 A | 40,000,000 | 2020/11/13 | 2021/05/12 | 10.00 |
| 17577 | Credit Suisse AG – Sands China European Warrants Mar 2021 A | 60,000,000 | 2020/11/13 | 2021/03/26 | 10.02 |
| 17608 | Citigroup Global – AIA European Warrants Mar 2021 A | 100,000,000 | 2020/11/13 | 2021/03/05 | 23.00 |
| 17607 | Citigroup Global – Alibaba Group Euro Warrants Mar 2021 E | 100,000,000 | 2020/11/13 | 2021/03/24 | 16.80 |
| 17599 | Citigroup Global – Geely Auto European Warrants May 2021 C | 100,000,000 | 2020/11/13 | 2021/05/28 | 25.00 |
| 17603 | Citigroup Global – HSBC European Warrants Jun 2021 A | 100,000,000 | 2020/11/13 | 2021/06/29 | 25.50 |
| 17602 | Citigroup Global – SMIC European Warrants Feb 2021 A | 100,000,000 | 2020/11/13 | 2021/02/26 | 21.10 |
| 17466 | Guotai Junan – AIA European Warrants Mar 2021 A | 100,000,000 | 2020/11/13 | 2021/03/08 | 22.10 |
| 17463 | Guotai Junan – Geely Auto European Put Warrants May 2021 A | 100,000,000 | 2020/11/13 | 2021/05/04 | 19.80 |
| 17454 | Guotai Junan – HSBC European Warrants Apr 2021 B | 100,000,000 | 2020/11/13 | 2021/04/07 | 19.00 |
| 17615 | Goldman Sachs SP (Asia) – BYD Company Euro Wts May 2021 D | 80,000,000 | 2020/11/13 | 2021/05/04 | 14.08 |
| 17609 | Goldman Sachs SP (Asia) – HSBC European Warrants Mar 2021 C | 80,000,000 | 2020/11/13 | 2021/03/24 | 13.12 |
| 17616 | Goldman Sachs SP (Asia) – HSI European Warrants Mar 2021 D | 150,000,000 | 2020/11/13 | 2021/03/30 | 22.50 |
| 17611 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 H | 150,000,000 | 2020/11/13 | 2021/02/19 | 27.15 |
| 17504 | J P Morgan SP BV – AIA European Warrants Mar 2021 A | 100,000,000 | 2020/11/13 | 2021/03/05 | 22.20 |
| 17507 | J P Morgan SP BV – AIA European Put Warrants Dec 2021 A | 200,000,000 | 2020/11/13 | 2021/12/31 | 30.60 |
| 17506 | J P Morgan SP BV – CC Bank European Wts Feb 2021 C | 100,000,000 | 2020/11/13 | 2021/02/26 | 16.30 |
| 17512 | J P Morgan SP BV – CC Bank European Put Wts Apr 2021 A | 80,000,000 | 2020/11/13 | 2021/04/30 | 13.52 |
| 17522 | J P Morgan SP BV – China Gas Hold European Wts Mar 2021 A | 60,000,000 | 2020/11/13 | 2021/03/31 | 11.88 |
| 17494 | J P Morgan SP BV – CM Bank European Warrants May 2021 A | 60,000,000 | 2020/11/13 | 2021/05/31 | 17.58 |
| 17502 | J P Morgan SP BV – China Mobile European Wts May 2021 A | 100,000,000 | 2020/11/13 | 2021/05/31 | 27.40 |
| 17497 | J P Morgan SP BV – CSOP A50 ETF Euro Put Wts May 2021 A | 100,000,000 | 2020/11/13 | 2021/05/31 | 28.20 |
| 17514 | J P Morgan SP BV – China Tower European Warrants Jun 2021 D | 80,000,000 | 2020/11/13 | 2021/06/30 | 12.24 |
| 17518 | J P Morgan SP BV – Galaxy Ent European Warrants Apr 2021 B | 60,000,000 | 2020/11/13 | 2021/04/30 | 22.26 |
| 17496 | J P Morgan SP BV – HSBC European Put Warrants May 2021 C | 100,000,000 | 2020/11/13 | 2021/05/31 | 30.50 |
| 17499 | J P Morgan SP BV – HSI European Warrants Jun 2021 C | 400,000,000 | 2020/11/13 | 2021/06/29 | 100.80 |
| 17503 | J P Morgan SP BV – HSI European Put Warrants Jun 2021 D | 400,000,000 | 2020/11/13 | 2021/06/29 | 61.20 |
| 17473 | J P Morgan SP BV – HSI European Put Warrants Jul 2021 A | 200,000,000 | 2020/11/13 | 2021/07/29 | 50.20 |
| 17521 | J P Morgan SP BV – NetEase European Warrants Apr 2021 B | 200,000,000 | 2020/11/13 | 2021/04/30 | 50.00 |
| 17523 | J P Morgan SP BV – Ping An European Warrants Mar 2021 B | 100,000,000 | 2020/11/13 | 2021/03/31 | 18.50 |
| 17477 | J P Morgan SP BV – SPDR Gold Trust European Wts Apr 2021 B | 70,000,000 | 2020/11/13 | 2021/04/09 | 10.50 |
| 17493 | J P Morgan SP BV – SPDR Gold Trust European Wts May 2021 A | 60,000,000 | 2020/11/13 | 2021/05/31 | 15.24 |
| 17446 | Macquarie Bank Ltd. – CHALCO European Warrants Jun 2021 A | 39,500,000 | 2020/11/13 | 2021/06/02 | 10.11 |
| 17449 | Macquarie Bank Ltd. – Dongfeng Motor European Wts Aug 2021 A | 40,000,000 | 2020/11/13 | 2021/08/03 | 10.00 |
| 17450 | Macquarie Bank Ltd. – Razer European Warrants Jun 2021 B | 27,400,000 | 2020/11/13 | 2021/06/16 | 10.00 |
| 17472 | Macquarie Bank Ltd. – Sunny Optical Euro Put Wts Oct 2021 A | 40,000,000 | 2020/11/13 | 2021/10/05 | 10.00 |
| 17452 | Macquarie Bank Ltd. – Wharf Holdings European Wts Aug 2021 A | 39,100,000 | 2020/11/13 | 2021/08/03 | 10.05 |
| 17445 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Apr21 C | 128,000,000 | 2020/11/13 | 2021/04/22 | 19.20 |
| 17442 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Jul21 C | 128,000,000 | 2020/11/13 | 2021/07/02 | 20.74 |
| 17557 | Morgan Stanley Asia Products Ltd. – Sinopec Corp Eu Wt May21A | 48,000,000 | 2020/11/13 | 2021/05/28 | 12.00 |
| 17437 | Morgan Stanley Asia Products Ltd. – Geely Auto Eu Wt May21 C | 80,000,000 | 2020/11/13 | 2021/05/04 | 14.56 |
| 17554 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts Mar 2021 C | 68,000,000 | 2020/11/13 | 2021/03/24 | 11.70 |
| 17548 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts Sep 2021 A | 68,000,000 | 2020/11/13 | 2021/09/27 | 10.81 |
| 17560 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts May21 C | 188,000,000 | 2020/11/13 | 2021/05/28 | 28.20 |

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|--------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 17551 | Morgan Stanley Asia Products Ltd. – JD.com Euro Wts May21 C | 80,000,000 | 2020/11/13 | 2021/05/24 | 20.00 |
| 17436 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts May21 B | 108,000,000 | 2020/11/13 | 2021/05/03 | 16.20 |
| 17558 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts May21 C | 98,000,000 | 2020/11/13 | 2021/05/13 | 28.42 |
| 17482 | SG Issuer – CAM CSI300 European Warrants May 2021 A | 40,000,000 | 2020/11/13 | 2021/05/31 | 10.00 |
| 17483 | SG Issuer – CSOP A50 ETF European Warrants Mar 2021 B | 70,000,000 | 2020/11/13 | 2021/03/26 | 10.50 |
| 17484 | SG Issuer – A50 China European Warrants May 2021 A | 60,000,000 | 2020/11/13 | 2021/05/31 | 15.00 |
| 17487 | SG Issuer – HSBC European Warrants Mar 2021 B | 80,000,000 | 2020/11/13 | 2021/03/24 | 13.60 |
| 17491 | SG Issuer – HSBC European Warrants Aug 2021 A | 80,000,000 | 2020/11/13 | 2021/08/31 | 20.00 |
| 17489 | SG Issuer – HSBC European Put Warrants Apr 2021 A | 80,000,000 | 2020/11/13 | 2021/04/26 | 13.20 |
| 17479 | SG Issuer – HSI European Put Warrants May 2021 A | 300,000,000 | 2020/11/13 | 2021/05/28 | 45.00 |
| 17492 | SG Issuer – SHK Ppt European Warrants Apr 2021 B | 25,000,000 | 2020/11/13 | 2021/04/15 | 10.75 |
| 17547 | UBS AG – Alibaba European Warrants Feb 2021 C | 300,000,000 | 2020/11/13 | 2021/02/22 | 45.00 |
| 17526 | UBS AG – Alibaba European Warrants Jun 2021 D | 300,000,000 | 2020/11/13 | 2021/06/23 | 45.00 |
| 17533 | UBS AG – BYD Company European Warrants Apr 2021 E | 150,000,000 | 2020/11/13 | 2021/04/28 | 31.50 |
| 17542 | UBS AG – CSOP A50 ETF European Warrants Mar 2021 A | 70,000,000 | 2020/11/13 | 2021/03/26 | 10.50 |
| 17527 | UBS AG – HSBC European Warrants Mar 2021 B | 100,000,000 | 2020/11/13 | 2021/03/24 | 15.00 |
| 17535 | UBS AG – HSI European Put Warrants May 2021 A | 300,000,000 | 2020/11/13 | 2021/05/28 | 45.00 |
| 17536 | UBS AG – Meituan European Warrants May 2021 B | 200,000,000 | 2020/11/13 | 2021/05/03 | 30.00 |
| 17525 | UBS AG – Tencent European Warrants Feb 2021 D | 300,000,000 | 2020/11/13 | 2021/02/23 | 45.00 |
| 17528 | UBS AG – Xiaomi European Put Warrants Feb 2021 C | 200,000,000 | 2020/11/13 | 2021/02/23 | 30.00 |
| 16188# | J P Morgan SP BV – HSI European Put Warrants Mar 2021 A | 600,000,000 | 2020/11/13 | 2021/03/30 | 28.20 |
| 17826 | BOCI Asia Ltd. – Alibaba European Warrants Mar 2021 E | 100,000,000 | 2020/11/16 | 2021/03/26 | 17.00 |
| 17816 | BOCI Asia Ltd. – HSBC European Warrants Feb 2021 B | 70,000,000 | 2020/11/16 | 2021/02/23 | 11.20 |
| 17768 | BNP Paribas Issuance B.V. – Alibaba European Wts Jun 2021 D | 80,000,000 | 2020/11/16 | 2021/06/02 | 20.80 |
| 17778 | BNP Paribas Issuance B.V. – China Mobile Euro Wts Jun 2021 A | 40,000,000 | 2020/11/16 | 2021/06/02 | 10.80 |
| 17780 | BNP Paribas Issuance B.V. – HSBC European Wts Jul 2021 A | 40,000,000 | 2020/11/16 | 2021/07/05 | 11.60 |
| 17773 | BNP Paribas Issuance B.V. – Sands China Euro Wt Jun 2021 A | 40,000,000 | 2020/11/16 | 2021/06/02 | 10.40 |
| 17779 | BNP Paribas Issuance B.V. – Sunny Optical Eu Wt May 2021 A | 70,000,000 | 2020/11/16 | 2021/05/04 | 10.50 |
| 17860 | Credit Suisse AG – AIA European Put Warrants Dec 2021 A | 150,000,000 | 2020/11/16 | 2021/12/28 | 22.50 |
| 17842 | Credit Suisse AG – Alibaba European Warrants Feb 2021 C | 400,000,000 | 2020/11/16 | 2021/02/23 | 60.00 |
| 17839 | Credit Suisse AG – Alibaba European Warrants Mar 2021 K | 400,000,000 | 2020/11/16 | 2021/03/26 | 68.00 |
| 17840 | Credit Suisse AG – Alibaba European Warrants Apr 2021 D | 400,000,000 | 2020/11/16 | 2021/04/27 | 60.00 |
| 17838 | Credit Suisse AG – Alibaba European Warrants May 2021 B | 400,000,000 | 2020/11/16 | 2021/05/26 | 72.00 |
| 17849 | Credit Suisse AG – CC Bank European Put Warrants Apr 2021 A | 70,000,000 | 2020/11/16 | 2021/04/21 | 10.50 |
| 17861 | Credit Suisse AG – HSBC European Wts May 2021 A | 100,000,000 | 2020/11/16 | 2021/05/17 | 25.00 |
| 17831 | Credit Suisse AG – HSI European Put Warrants Jun 2021 A | 150,000,000 | 2020/11/16 | 2021/06/29 | 22.50 |
| 17854 | Credit Suisse AG – ICBC European Put Warrants May 2021 A | 40,000,000 | 2020/11/16 | 2021/05/17 | 10.00 |
| 17880 | Credit Suisse AG – JD.com European Warrants Apr 2021 A | 200,000,000 | 2020/11/16 | 2021/04/27 | 30.00 |
| 17836 | Credit Suisse AG – Microport European Warrants Dec 2021 A | 80,000,000 | 2020/11/16 | 2021/12/31 | 20.00 |
| 17863 | Credit Suisse AG – Meituan European Warrants Apr 2021 B | 200,000,000 | 2020/11/16 | 2021/04/27 | 30.00 |
| 17868 | Credit Suisse AG – Meituan European Warrants Apr 2021 C | 200,000,000 | 2020/11/16 | 2021/04/29 | 40.00 |
| 17872 | Credit Suisse AG – Meituan European Warrants Apr 2021 D | 200,000,000 | 2020/11/16 | 2021/04/27 | 50.00 |
| 17847 | Credit Suisse AG – SMIC European Warrants Feb 2021 B | 70,000,000 | 2020/11/16 | 2021/02/25 | 17.50 |
| 17882 | Credit Suisse AG – Tencent European Wts Mar 2021 C | 250,000,000 | 2020/11/16 | 2021/03/19 | 40.00 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 17877 | Credit Suisse AG – Tencent European Wts Apr 2021 C | 250,000,000 | 2020/11/16 | 2021/04/26 | 45.00 |
| 17879 | Credit Suisse AG – Tencent European Wts Apr 2021 D | 250,000,000 | 2020/11/16 | 2021/04/26 | 75.00 |
| 17832 | Credit Suisse AG – ZA Online European Warrants Dec 2021 A | 100,000,000 | 2020/11/16 | 2021/12/31 | 25.00 |
| 17923 | Citigroup Global – CNOOC European Warrants Nov 2021 A | 100,000,000 | 2020/11/16 | 2021/11/29 | 25.00 |
| 17922 | Citigroup Global – Tencent European Warrants Feb 2021 D | 100,000,000 | 2020/11/16 | 2021/02/25 | 34.50 |
| 17934 | Citigroup Global – Tencent European Warrants Feb 2021 E | 100,000,000 | 2020/11/16 | 2021/02/25 | 21.50 |
| 17644 | Guotai Junan – AIA European Warrants Aug 2021 A | 100,000,000 | 2020/11/16 | 2021/08/03 | 15.00 |
| 17642 | Guotai Junan – AIA European Put Warrants Jan 2022 A | 100,000,000 | 2020/11/16 | 2022/01/04 | 16.90 |
| 17668 | Guotai Junan – Alibaba Group European Warrants May 2021 A | 100,000,000 | 2020/11/16 | 2021/05/04 | 19.10 |
| 17669 | Guotai Junan – Alibaba Group European Warrants May 2021 B | 100,000,000 | 2020/11/16 | 2021/05/04 | 15.00 |
| 17677 | Guotai Junan – Alibaba Group European Warrants Jun 2021 A | 100,000,000 | 2020/11/16 | 2021/06/02 | 15.00 |
| 17665 | Guotai Junan – China Life European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/16 | 2021/04/07 | 16.70 |
| 17647 | Guotai Junan – Galaxy Ent European Put Warrants Dec 2021 A | 100,000,000 | 2020/11/16 | 2021/12/02 | 16.30 |
| 17663 | Guotai Junan – HKEX European Warrants Jun 2021 A | 100,000,000 | 2020/11/16 | 2021/06/02 | 16.30 |
| 17657 | Guotai Junan – HKEX European Put Warrants May 2021 A | 100,000,000 | 2020/11/16 | 2021/05/04 | 20.40 |
| 17648 | Guotai Junan – Meituan European Warrants May 2021 A | 100,000,000 | 2020/11/16 | 2021/05/10 | 15.20 |
| 17666 | Guotai Junan – Ping An European Warrants Apr 2021 B | 100,000,000 | 2020/11/16 | 2021/04/07 | 28.70 |
| 17640 | Guotai Junan – Sands China Ltd European Warrants Apr 2021 A | 100,000,000 | 2020/11/16 | 2021/04/09 | 18.40 |
| 17650 | Guotai Junan – Xiaomi European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/16 | 2021/06/02 | 22.70 |
| 17915 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Feb 2022 A | 80,000,000 | 2020/11/16 | 2022/02/23 | 12.00 |
| 17919 | Goldman Sachs SP (Asia) – AIA European Warrants Jul 2021 A | 80,000,000 | 2020/11/16 | 2021/07/27 | 12.00 |
| 17899 | Goldman Sachs SP (Asia) – Alibaba European Wts Mar 2021 M | 150,000,000 | 2020/11/16 | 2021/03/26 | 22.50 |
| 17897 | Goldman Sachs SP (Asia) – Alibaba European Wts Apr 2021 B | 150,000,000 | 2020/11/16 | 2021/04/23 | 22.50 |
| 17921 | Goldman Sachs SP (Asia) – HSI European Put Wts May 2021 B | 150,000,000 | 2020/11/16 | 2021/05/28 | 22.50 |
| 17914 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 F | 120,000,000 | 2020/11/16 | 2021/03/15 | 18.00 |
| 17904 | Goldman Sachs SP (Asia) – Ping An European Wts Feb 2021 B | 100,000,000 | 2020/11/16 | 2021/02/19 | 15.00 |
| 17907 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 I | 150,000,000 | 2020/11/16 | 2021/02/16 | 22.50 |
| 17631 | HK Bank – Alibaba European Warrants Apr 2021 C | 100,000,000 | 2020/11/16 | 2021/04/30 | 17.00 |
| 17633 | HK Bank – Alibaba European Warrants Apr 2021 D | 100,000,000 | 2020/11/16 | 2021/04/30 | 15.00 |
| 17617 | HK Bank – Alibaba European Warrants Sep 2021 A | 100,000,000 | 2020/11/16 | 2021/09/30 | 17.00 |
| 17624 | HK Bank – Alibaba European Put Warrants May 2021 A | 100,000,000 | 2020/11/16 | 2021/05/31 | 25.00 |
| 17635 | HK Bank – Meituan European Warrants Mar 2021 G | 100,000,000 | 2020/11/16 | 2021/03/01 | 15.00 |
| 17636 | HK Bank – Meituan European Warrants Mar 2021 H | 100,000,000 | 2020/11/16 | 2021/03/29 | 20.00 |
| 17626 | HK Bank – Meituan European Put Warrants May 2021 B | 100,000,000 | 2020/11/16 | 2021/05/31 | 16.00 |
| 17637 | HK Bank – Tencent European Warrants Mar 2021 C | 100,000,000 | 2020/11/16 | 2021/03/01 | 15.00 |
| 17627 | HK Bank – Tencent European Warrants Apr 2021 A | 100,000,000 | 2020/11/16 | 2021/04/23 | 15.00 |
| 17638 | HK Bank – Tencent European Warrants May 2021 A | 80,000,000 | 2020/11/16 | 2021/05/31 | 28.00 |
| 17629 | HK Bank – Tencent European Put Warrants Mar 2021 B | 100,000,000 | 2020/11/16 | 2021/03/31 | 19.00 |
| 17815 | Haitong Int'l Sec – Geely Auto European Put Wts Apr 2021 A | 80,000,000 | 2020/11/16 | 2021/04/29 | 16.80 |
| 17809 | Haitong Int'l Sec – Sunny Optical European Put Wts Apr 2021 A | 80,000,000 | 2020/11/16 | 2021/04/07 | 12.00 |
| 17810 | Haitong Int'l Sec – ZTE European Warrants Mar 2021 A | 70,000,000 | 2020/11/16 | 2021/03/08 | 11.20 |
| 17688 | J P Morgan SP BV – Alibaba European Warrants Mar 2021 G | 300,000,000 | 2020/11/16 | 2021/03/31 | 60.90 |
| 17721 | J P Morgan SP BV – Alibaba European Warrants Apr 2021 D | 300,000,000 | 2020/11/16 | 2021/04/30 | 52.50 |
| 17698 | J P Morgan SP BV – Alibaba European Put Warrants May 2021 A | 200,000,000 | 2020/11/16 | 2021/05/31 | 50.00 |

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| 17724 | J P Morgan SP BV – BOC HK European Warrants Mar 2021 A | 80,000,000 | 2020/11/16 | 2021/03/31 | 14.96 |
| 17689 | J P Morgan SP BV – Country Garden European Wts Jun 2021 A | 60,000,000 | 2020/11/16 | 2021/06/30 | 15.06 |
| 17729 | J P Morgan SP BV – CNOOC European Warrants Dec 2021 A | 80,000,000 | 2020/11/16 | 2021/12/31 | 13.76 |
| 17711 | J P Morgan SP BV – CPIC European Warrants May 2021 A | 60,000,000 | 2020/11/16 | 2021/05/31 | 15.12 |
| 17690 | J P Morgan SP BV – R&F Ppt European Warrants Jun 2021 A | 60,000,000 | 2020/11/16 | 2021/06/30 | 15.12 |
| 17726 | J P Morgan SP BV – HKEX European Put Wts Apr 2021 A | 200,000,000 | 2020/11/16 | 2021/04/30 | 41.40 |
| 17730 | J P Morgan SP BV – HSBC European Warrants Mar 2021 D | 100,000,000 | 2020/11/16 | 2021/03/31 | 29.70 |
| 17708 | J P Morgan SP BV – HSBC European Warrants Jun 2021 A | 100,000,000 | 2020/11/16 | 2021/06/30 | 25.00 |
| 17704 | J P Morgan SP BV – Melco Int'l Dev European Wts Jun 2021 A | 50,000,000 | 2020/11/16 | 2021/06/30 | 12.50 |
| 17719 | J P Morgan SP BV – Meituan European Warrants Mar 2021 G | 300,000,000 | 2020/11/16 | 2021/03/29 | 56.70 |
| 17723 | J P Morgan SP BV – Meituan European Put Warrants May 2021 B | 200,000,000 | 2020/11/16 | 2021/05/31 | 38.00 |
| 17712 | J P Morgan SP BV – NCI European Warrants May 2021 A | 60,000,000 | 2020/11/16 | 2021/05/31 | 15.00 |
| 17696 | J P Morgan SP BV – PSBC European Warrants Jun 2021 A | 60,000,000 | 2020/11/16 | 2021/06/30 | 15.06 |
| 17693 | J P Morgan SP BV – Sands China Ltd European Warrants Jul21 A | 80,000,000 | 2020/11/16 | 2021/07/30 | 20.16 |
| 17716 | J P Morgan SP BV – Sunny Optical European Wts Apr 2021 A | 100,000,000 | 2020/11/16 | 2021/04/30 | 16.30 |
| 17725 | J P Morgan SP BV – Tencent European Warrants Feb 2021 G | 300,000,000 | 2020/11/16 | 2021/02/26 | 45.00 |
| 17731 | J P Morgan SP BV – Tencent European Put Warrants Mar 2021 A | 250,000,000 | 2020/11/16 | 2021/03/31 | 60.50 |
| 17683 | Macquarie Bank Ltd. – CKH Holdings European Wts Sep 2021 A | 40,000,000 | 2020/11/16 | 2021/09/02 | 10.04 |
| 17678 | Macquarie Bank Ltd. – Ch LongYuan European Wts Mar 2022 A | 40,000,000 | 2020/11/16 | 2022/03/16 | 10.00 |
| 17785 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Apr21 D | 128,000,000 | 2020/11/16 | 2021/04/27 | 21.76 |
| 17784 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 F | 128,000,000 | 2020/11/16 | 2021/05/17 | 32.00 |
| 17802 | Morgan Stanley Asia Products Ltd. – CCB Euro Wts Jun 2021 A | 58,000,000 | 2020/11/16 | 2021/06/01 | 14.50 |
| 17799 | Morgan Stanley Asia Products Ltd. – Ch Mob Eur Wt May 2021 A | 68,000,000 | 2020/11/16 | 2021/05/17 | 17.00 |
| 17786 | Morgan Stanley Asia Products Ltd. – CNOOC Euro Wts Dec2021 A | 68,000,000 | 2020/11/16 | 2021/12/22 | 10.20 |
| 17796 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts Jun 2021 B | 68,000,000 | 2020/11/16 | 2021/06/15 | 17.00 |
| 17788 | Morgan Stanley Asia Products Ltd. – HSBC Eur Put Wts May21 A | 68,000,000 | 2020/11/16 | 2021/05/28 | 21.08 |
| 17790 | Morgan Stanley Asia Products Ltd. – HSBC Eur Put Wts May21 B | 68,000,000 | 2020/11/16 | 2021/05/31 | 10.20 |
| 17787 | Morgan Stanley Asia Products Ltd. – JD.com Euro Wts May21 D | 80,000,000 | 2020/11/16 | 2021/05/17 | 23.20 |
| 17800 | Morgan Stanley Asia Products Ltd. – Link Euro Wt Aug 2021 A | 68,000,000 | 2020/11/16 | 2021/08/18 | 17.00 |
| 17890 | SG Issuer – Alibaba Group European Warrants Mar 2021 I | 150,000,000 | 2020/11/16 | 2021/03/26 | 22.50 |
| 17886 | SG Issuer – Meituan European Warrants Feb 2021 G | 100,000,000 | 2020/11/16 | 2021/02/22 | 22.50 |
| 17883 | SG Issuer – Tencent European Warrants Feb 2021 E | 150,000,000 | 2020/11/16 | 2021/02/24 | 40.50 |
| 17766 | UBS AG – Alibaba European Warrants Feb 2021 D | 300,000,000 | 2020/11/16 | 2021/02/23 | 45.00 |
| 17767 | UBS AG – Alibaba European Warrants Feb 2021 E | 300,000,000 | 2020/11/16 | 2021/02/26 | 60.00 |
| 17765 | UBS AG – Alibaba European Warrants Apr 2021 E | 300,000,000 | 2020/11/16 | 2021/04/27 | 45.00 |
| 17762 | UBS AG – Alibaba European Warrants May 2021 B | 300,000,000 | 2020/11/16 | 2021/05/03 | 45.00 |
| 17744 | UBS AG – Ali Health European Warrants Mar 2021 A | 60,000,000 | 2020/11/16 | 2021/03/26 | 10.20 |
| 17741 | UBS AG – CC Bank European Warrants May 2021 A | 70,000,000 | 2020/11/16 | 2021/05/17 | 17.50 |
| 17745 | UBS AG – Geely Auto European Put Warrants May 2021 A | 150,000,000 | 2020/11/16 | 2021/05/24 | 22.50 |
| 17749 | UBS AG – Galaxy Ent European Warrants Apr 2021 A | 80,000,000 | 2020/11/16 | 2021/04/21 | 17.60 |
| 17750 | UBS AG – HSBC European Warrants Mar 2021 C | 100,000,000 | 2020/11/16 | 2021/03/02 | 15.00 |
| 17739 | UBS AG – HSBC European Put Warrants May 2021 A | 100,000,000 | 2020/11/16 | 2021/05/17 | 25.00 |
| 17753 | UBS AG – Meituan European Warrants Mar 2021 E | 200,000,000 | 2020/11/16 | 2021/03/26 | 30.00 |
| 17751 | UBS AG – Meituan European Warrants May 2021 C | 200,000,000 | 2020/11/16 | 2021/05/03 | 30.00 |

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| 17756 | UBS AG – Tencent European Warrants Feb 2021 E | 300,000,000 | 2020/11/16 | 2021/02/22 | 45.00 |
| 17829 | Bank Vontobel – Alibaba Group European Warrants Apr 2021 B | 60,000,000 | 2020/11/16 | 2021/04/30 | 10.20 |
| 17828 | Bank Vontobel – Alibaba European Put Warrants Mar 2021 A | 60,000,000 | 2020/11/16 | 2021/03/30 | 13.80 |
| 17942 | BOCI Asia Ltd. – AIA European Put Warrants Dec 2021 A | 70,000,000 | 2020/11/17 | 2021/12/28 | 11.20 |
| 18048 | Credit Suisse AG – Alibaba European Put Warrants May 2021 A | 150,000,000 | 2020/11/17 | 2021/05/17 | 37.50 |
| 18065 | Credit Suisse AG – BankComm European Warrants Apr 2021 A | 40,000,000 | 2020/11/17 | 2021/04/27 | 11.20 |
| 18071 | Credit Suisse AG – Ch Comm Cons European Warrants May 2021 A | 35,000,000 | 2020/11/17 | 2021/05/17 | 13.30 |
| 18057 | Credit Suisse AG – CK Asset European Put Warrants May 2021 A | 40,000,000 | 2020/11/17 | 2021/05/31 | 10.00 |
| 18061 | Credit Suisse AG – China Mobile European Warrants Mar 2021 C | 70,000,000 | 2020/11/17 | 2021/03/26 | 10.50 |
| 18075 | Credit Suisse AG – CNBM European Warrants Oct 2021 A | 80,000,000 | 2020/11/17 | 2021/10/22 | 12.00 |
| 18060 | Credit Suisse AG – CNOOC European Warrants Dec 2021 A | 80,000,000 | 2020/11/17 | 2021/12/22 | 12.00 |
| 18044 | Credit Suisse AG – CPIC European Warrants May 2021 A | 40,000,000 | 2020/11/17 | 2021/05/17 | 10.00 |
| 18068 | Credit Suisse AG – China Railway Euro Warrants May 2021 A | 35,000,000 | 2020/11/17 | 2021/05/17 | 10.50 |
| 18074 | Credit Suisse AG – CRRR European Warrants May 2021 B | 35,000,000 | 2020/11/17 | 2021/05/17 | 10.15 |
| 18059 | Credit Suisse AG – Galaxy Ent European Warrants May 2021 A | 50,000,000 | 2020/11/17 | 2021/05/17 | 22.50 |
| 18051 | Credit Suisse AG – Meituan European Put Warrants Apr 2021 B | 100,000,000 | 2020/11/17 | 2021/04/26 | 18.00 |
| 18046 | Credit Suisse AG – NCI European Warrants May 2021 A | 40,000,000 | 2020/11/17 | 2021/05/17 | 10.00 |
| 18050 | Credit Suisse AG – Tencent Euro Put Wts Mar 2021 C | 200,000,000 | 2020/11/17 | 2021/03/26 | 30.00 |
| 18031 | Citigroup Global – AIA European Put Warrants Dec 2021 A | 100,000,000 | 2020/11/17 | 2021/12/30 | 16.90 |
| 18032 | Citigroup Global – Alibaba Group Euro Warrants Mar 2021 F | 100,000,000 | 2020/11/17 | 2021/03/26 | 15.90 |
| 18024 | Citigroup Global – Alibaba Group Euro Warrants May 2021 B | 100,000,000 | 2020/11/17 | 2021/05/26 | 15.80 |
| 18028 | Citigroup Global – Alibaba European Put Warrants Feb 2021 A | 100,000,000 | 2020/11/17 | 2021/02/23 | 18.70 |
| 18025 | Citigroup Global – HSBC European Put Warrants Apr 2021 B | 100,000,000 | 2020/11/17 | 2021/04/26 | 15.00 |
| 17997 | Goldman Sachs SP (Asia) – Alibaba European Wts Feb 2021 H | 150,000,000 | 2020/11/17 | 2021/02/23 | 22.50 |
| 18003 | Goldman Sachs SP (Asia) – Alibaba European Wts May 2021 B | 150,000,000 | 2020/11/17 | 2021/05/26 | 22.50 |
| 17994 | Goldman Sachs SP (Asia) – CC Bank European Wts Feb 2021 E | 80,000,000 | 2020/11/17 | 2021/02/26 | 12.00 |
| 18007 | Goldman Sachs SP (Asia) – China Tower Euro Wts Jun 2021 D | 80,000,000 | 2020/11/17 | 2021/06/24 | 12.00 |
| 18005 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Feb 2021 B | 80,000,000 | 2020/11/17 | 2021/02/19 | 12.00 |
| 17939 | HK Bank – CNOOC European Warrants Dec 2021 A | 80,000,000 | 2020/11/17 | 2021/12/23 | 12.00 |
| 17937 | HK Bank – Sinopec Corp European Warrants Sep 2021 A | 80,000,000 | 2020/11/17 | 2021/09/30 | 20.00 |
| 17956 | Haitong Int'l Sec – Ganfeng Lithium European Warrants Jun21A | 40,000,000 | 2020/11/17 | 2021/06/30 | 10.00 |
| 18011 | J P Morgan SP BV – Alibaba European Warrants Mar 2021 H | 200,000,000 | 2020/11/17 | 2021/03/31 | 36.00 |
| 18020 | J P Morgan SP BV – CC Bank European Wts May 2021 A | 100,000,000 | 2020/11/17 | 2021/05/31 | 26.20 |
| 18009 | J P Morgan SP BV – CITIC European Warrants Mar 2022 A | 70,000,000 | 2020/11/17 | 2022/03/31 | 11.48 |
| 18017 | J P Morgan SP BV – Fosun Intl European Warrants Jun 2021 A | 70,000,000 | 2020/11/17 | 2021/06/30 | 17.71 |
| 18012 | J P Morgan SP BV – GAC European Warrants May 2021 A | 80,000,000 | 2020/11/17 | 2021/05/31 | 20.00 |
| 18008 | J P Morgan SP BV – Sands China European Put Wts Mar 2021 B | 80,000,000 | 2020/11/17 | 2021/03/01 | 12.40 |
| 17952 | Macquarie Bank Ltd. – Alibaba European Warrants Jun 2021 A | 33,000,000 | 2020/11/17 | 2021/06/02 | 10.13 |
| 17955 | Macquarie Bank Ltd. – Alibaba European Warrants Jun 2021 B | 26,500,000 | 2020/11/17 | 2021/06/02 | 10.10 |
| 17957 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 G | 128,000,000 | 2020/11/17 | 2021/05/26 | 19.20 |
| 17959 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Sep21 C | 128,000,000 | 2020/11/17 | 2021/09/27 | 21.76 |
| 17961 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Sep21 D | 128,000,000 | 2020/11/17 | 2021/09/27 | 19.20 |
| 17962 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Dec21 B | 128,000,000 | 2020/11/17 | 2021/12/23 | 19.20 |
| 17968 | Morgan Stanley Asia Products Ltd. – CCB Euro Wts Feb 2021 B | 68,000,000 | 2020/11/17 | 2021/02/26 | 12.24 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 17963 | Morgan Stanley Asia Products Ltd. – CCB Euro Put Wts Apr21 A | 58,000,000 | 2020/11/17 | 2021/04/21 | 10.38 |
| 17969 | Morgan Stanley Asia Products Ltd. – JD.com Euro Wts Mar21 E | 80,000,000 | 2020/11/17 | 2021/03/26 | 16.16 |
| 17971 | Morgan Stanley Asia Products Ltd. – Meituan Eu Put Wt May21B | 80,000,000 | 2020/11/17 | 2021/05/26 | 12.00 |
| 17981 | UBS AG – Alibaba European Warrants May 2021 C | 200,000,000 | 2020/11/17 | 2021/05/26 | 30.00 |
| 17975 | UBS AG – Alibaba European Put Warrants May 2021 A | 200,000,000 | 2020/11/17 | 2021/05/17 | 50.00 |
| 17987 | UBS AG – Galaxy Ent European Put Warrants Apr 2021 A | 60,000,000 | 2020/11/17 | 2021/04/07 | 10.20 |
| 17982 | UBS AG – HSCEI European Put Warrants Jun 2021 A | 200,000,000 | 2020/11/17 | 2021/06/29 | 30.00 |
| 17973 | UBS AG – Meituan European Warrants Apr 2021 B | 200,000,000 | 2020/11/17 | 2021/04/14 | 30.00 |
| 17974 | UBS AG – Meituan European Warrants Apr 2021 C | 200,000,000 | 2020/11/17 | 2021/04/26 | 30.00 |
| 17972 | UBS AG – Meituan European Put Warrants May 2021 B | 200,000,000 | 2020/11/17 | 2021/05/26 | 30.00 |
| 17989 | UBS AG – Tencent European Warrants Feb 2021 F | 300,000,000 | 2020/11/17 | 2021/02/19 | 45.00 |
| 17990 | UBS AG – Tencent European Warrants Apr 2021 D | 300,000,000 | 2020/11/17 | 2021/04/26 | 45.00 |
| 17988 | UBS AG – Tencent European Put Warrants Mar 2021 C | 300,000,000 | 2020/11/17 | 2021/03/26 | 45.00 |
| 18033 | Bank Vontobel – HSBC European Warrants May 2021 A | 40,000,000 | 2020/11/17 | 2021/05/31 | 10.00 |
| 18039 | Bank Vontobel – Meituan European Warrants Jun 2021 A | 60,000,000 | 2020/11/17 | 2021/06/02 | 21.00 |
| 18034 | Bank Vontobel – Meituan European Put Warrants Jun 2021 A | 40,000,000 | 2020/11/17 | 2021/06/02 | 13.20 |
| 18042 | Bank Vontobel – Sunny Optical European Warrants Jun 2021 A | 60,000,000 | 2020/11/17 | 2021/06/25 | 10.20 |
| 18043 | Bank Vontobel – Tencent European Warrants Apr 2021 A | 40,000,000 | 2020/11/17 | 2021/04/28 | 12.40 |
| 18106 | BOCI Asia Ltd. – Alibaba European Warrants May 2021 A | 100,000,000 | 2020/11/18 | 2021/05/03 | 17.00 |
| 18101 | BOCI Asia Ltd. – HSBC European Put Warrants May 2021 A | 70,000,000 | 2020/11/18 | 2021/05/07 | 16.10 |
| 18104 | BOCI Asia Ltd. – JD.com European Warrants Jun 2021 A | 100,000,000 | 2020/11/18 | 2021/06/30 | 28.00 |
| 18103 | BOCI Asia Ltd. – SPDR Gold Trust Euro Warrants Nov 2021 A | 70,000,000 | 2020/11/18 | 2021/11/23 | 11.90 |
| 18143 | Credit Suisse AG – CHALCO European Wts May 2021 A | 40,000,000 | 2020/11/18 | 2021/05/17 | 10.00 |
| 18147 | Credit Suisse AG – Ch Molybdenum European Wts May 2021 A | 40,000,000 | 2020/11/18 | 2021/05/17 | 15.60 |
| 18141 | Credit Suisse AG – Chinares Cement Euro Warrants May 2021 A | 50,000,000 | 2020/11/18 | 2021/05/17 | 13.50 |
| 18139 | Credit Suisse AG – Fosun Intl European Warrants May 2021 A | 60,000,000 | 2020/11/18 | 2021/05/17 | 15.00 |
| 18148 | Credit Suisse AG – Sands China European Put Wts May 2021 A | 50,000,000 | 2020/11/18 | 2021/05/17 | 12.50 |
| 18137 | Credit Suisse AG – SJM Holdings European Warrants May 2021 A | 50,000,000 | 2020/11/18 | 2021/05/17 | 12.50 |
| 18199 | Citigroup Global – Alibaba European Put Warrants Feb 2021 B | 100,000,000 | 2020/11/18 | 2021/02/25 | 16.80 |
| 18198 | Citigroup Global – JD.com European Put Warrants May 2021 A | 100,000,000 | 2020/11/18 | 2021/05/28 | 28.50 |
| 18205 | Citigroup Global – Meituan European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/18 | 2021/04/27 | 18.60 |
| 18200 | Citigroup Global – Sands China European Warrants Apr 2021 A | 100,000,000 | 2020/11/18 | 2021/04/09 | 15.00 |
| 18204 | Citigroup Global – Tencent European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/18 | 2021/04/26 | 32.50 |
| 18188 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Feb 2021 E | 150,000,000 | 2020/11/18 | 2021/02/19 | 34.95 |
| 18186 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 G | 150,000,000 | 2020/11/18 | 2021/03/26 | 30.30 |
| 18197 | Goldman Sachs SP (Asia) – Ping An European Wts Dec 2021 B | 150,000,000 | 2020/11/18 | 2021/12/22 | 22.50 |
| 18183 | Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt Mar 2021 A | 80,000,000 | 2020/11/18 | 2021/03/24 | 12.00 |
| 18195 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 J | 150,000,000 | 2020/11/18 | 2021/02/24 | 58.35 |
| 18196 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 K | 150,000,000 | 2020/11/18 | 2021/02/23 | 50.85 |
| 18083 | HK Bank – China Life European Warrants Sep 2021 A | 100,000,000 | 2020/11/18 | 2021/09/27 | 16.00 |
| 18087 | HK Bank – HSI European Warrants May 2021 A | 200,000,000 | 2020/11/18 | 2021/05/28 | 30.00 |
| 18077 | HK Bank – HSI European Warrants Jun 2021 C | 200,000,000 | 2020/11/18 | 2021/06/29 | 30.00 |
| 18086 | HK Bank – HSI European Put Warrants May 2021 C | 200,000,000 | 2020/11/18 | 2021/05/28 | 32.00 |
| 18085 | HK Bank – HSI European Put Warrants Jun 2021 B | 200,000,000 | 2020/11/18 | 2021/06/29 | 30.00 |

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| 18081 | HK Bank – JD.com European Warrants May 2021 A | 80,000,000 | 2020/11/18 | 2021/05/03 | 14.40 |
| 18079 | HK Bank – JD.com European Put Warrants Mar 2021 B | 80,000,000 | 2020/11/18 | 2021/03/03 | 14.40 |
| 18125 | Haitong Int'l Sec – HSI European Warrants May 2021 A | 400,000,000 | 2020/11/18 | 2021/05/28 | 80.00 |
| 18129 | Haitong Int'l Sec – HSI European Warrants Jun 2021 A | 400,000,000 | 2020/11/18 | 2021/06/29 | 84.00 |
| 18116 | Haitong Int'l Sec – HSI European Put Warrants Jun 2021 A | 400,000,000 | 2020/11/18 | 2021/06/29 | 60.00 |
| 18131 | Haitong Int'l Sec – Meituan European Warrants Apr 2021 A | 100,000,000 | 2020/11/18 | 2021/04/27 | 23.00 |
| 18166 | J P Morgan SP BV – Air China European Warrants Sep 2021 A | 70,000,000 | 2020/11/18 | 2021/09/30 | 12.04 |
| 18096 | Macquarie Bank Ltd. – Tencent European Warrants Jun 2021 B | 23,700,000 | 2020/11/18 | 2021/06/01 | 10.00 |
| 18097 | Macquarie Bank Ltd. – Tencent European Warrants Jun 2021 C | 25,000,000 | 2020/11/18 | 2021/06/01 | 15.33 |
| 18095 | Macquarie Bank Ltd. – Zijin Mining European Wts Jan 2022 A | 32,500,000 | 2020/11/18 | 2022/01/04 | 10.04 |
| 18163 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 H | 108,000,000 | 2020/11/18 | 2021/05/20 | 35.21 |
| 18161 | Morgan Stanley Asia Products Ltd. – JD.com Eur Put Wt May21A | 98,000,000 | 2020/11/18 | 2021/05/18 | 24.50 |
| 18162 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts Jun21 A | 108,000,000 | 2020/11/18 | 2021/06/01 | 40.93 |
| 18157 | UBS AG – AIA European Warrants Jul 2021 A | 100,000,000 | 2020/11/18 | 2021/07/27 | 15.00 |
| 18152 | UBS AG – Alibaba European Warrants Mar 2021 L | 200,000,000 | 2020/11/18 | 2021/03/26 | 30.00 |
| 18154 | UBS AG – CK Asset European Warrants Mar 2021 A | 60,000,000 | 2020/11/18 | 2021/03/31 | 10.20 |
| 18150 | UBS AG – Sunny Optical European Warrants Jun 2021 A | 100,000,000 | 2020/11/18 | 2021/06/23 | 15.00 |
| 18177 | Bank Vontobel – CC Bank European Warrants Aug 2021 A | 40,000,000 | 2020/11/18 | 2021/08/06 | 10.00 |
| 18173 | Bank Vontobel – China Life European Warrants Aug 2021 A | 40,000,000 | 2020/11/18 | 2021/08/03 | 10.40 |
| 18167 | Bank Vontobel – ICBC European Warrants Aug 2021 A | 40,000,000 | 2020/11/18 | 2021/08/03 | 10.00 |
| 18181 | Bank Vontobel – JD.com European Warrants May 2021 B | 40,000,000 | 2020/11/18 | 2021/05/03 | 10.00 |
| 18178 | Bank Vontobel – JD.com European Put Warrants Mar 2021 A | 50,000,000 | 2020/11/18 | 2021/03/01 | 11.00 |
| 18171 | Bank Vontobel – NCI European Warrants Jun 2021 A | 40,000,000 | 2020/11/18 | 2021/06/18 | 10.00 |
| 18170 | Bank Vontobel – Xiaomi European Warrants May 2021 A | 50,000,000 | 2020/11/18 | 2021/05/04 | 10.50 |
| 18169 | Bank Vontobel – Xiaomi European Put Warrants Jun 2021 A | 40,000,000 | 2020/11/18 | 2021/06/02 | 10.00 |
| 18209 | BOCI Asia Ltd. – Bank of China European Warrants Mar 2021 A | 80,000,000 | 2020/11/19 | 2021/03/22 | 12.00 |
| 18208 | BOCI Asia Ltd. – JD.com European Put Warrants Jun 2021 A | 70,000,000 | 2020/11/19 | 2021/06/25 | 10.50 |
| 18255 | Credit Suisse AG – AK Medical European Warrants May 2021 A | 30,000,000 | 2020/11/19 | 2021/05/18 | 10.20 |
| 18252 | Credit Suisse AG – Alibaba European Warrants May 2021 C | 400,000,000 | 2020/11/19 | 2021/05/03 | 60.00 |
| 18253 | Credit Suisse AG – Alibaba European Warrants May 2021 D | 400,000,000 | 2020/11/19 | 2021/05/26 | 60.00 |
| 18245 | Credit Suisse AG – Alibaba European Warrants Jun 2021 D | 400,000,000 | 2020/11/19 | 2021/06/25 | 60.00 |
| 18254 | Credit Suisse AG – HSBC European Wts Feb 2021 D | 100,000,000 | 2020/11/19 | 2021/02/23 | 15.00 |
| 18243 | Credit Suisse AG – HSI European Warrants May 2021 A | 150,000,000 | 2020/11/19 | 2021/05/28 | 22.50 |
| 18256 | Credit Suisse AG – Jiangxi Copper European Wts May 2021 A | 60,000,000 | 2020/11/19 | 2021/05/18 | 15.00 |
| 18320 | Citigroup Global – AIA European Warrants Jul 2021 A | 100,000,000 | 2020/11/19 | 2021/07/27 | 15.00 |
| 18318 | Citigroup Global – Alibaba European Put Warrants May 2021 A | 100,000,000 | 2020/11/19 | 2021/05/28 | 20.50 |
| 18319 | Citigroup Global – HKEX European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/19 | 2021/06/29 | 25.00 |
| 18317 | Citigroup Global – HSBC European Put Warrants May 2021 A | 100,000,000 | 2020/11/19 | 2021/05/28 | 32.00 |
| 18339 | Goldman Sachs SP (Asia) – HKEX European Warrants Apr 2021 B | 150,000,000 | 2020/11/19 | 2021/04/23 | 25.80 |
| 18324 | Goldman Sachs SP (Asia) – HSBC European Put Wts Apr 2021 A | 80,000,000 | 2020/11/19 | 2021/04/26 | 12.00 |
| 18323 | Goldman Sachs SP (Asia) – Meituan Euro Warrants May 2021 B | 150,000,000 | 2020/11/19 | 2021/05/03 | 28.95 |
| 18336 | Goldman Sachs SP (Asia) – NetEase Euro Warrants Sep 2022 A | 150,000,000 | 2020/11/19 | 2022/09/27 | 27.30 |
| 18273 | Haitong Int'l Sec – AAC Tech Euro Put Warrants Mar 2021 A | 40,000,000 | 2020/11/19 | 2021/03/29 | 12.80 |
| 18258 | Haitong Int'l Sec – Anta Sports European Warrants May 2021 A | 40,000,000 | 2020/11/19 | 2021/05/18 | 10.00 |

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| 18262 | Haitong Int'l Sec - HSBC European Warrants Mar 2021 A | 70,000,000 | 2020/11/19 | 2021/03/09 | 10.50 |
| 18269 | Haitong Int'l Sec - HSBC European Put Warrants Apr 2021 A | 70,000,000 | 2020/11/19 | 2021/04/26 | 10.50 |
| 18260 | Haitong Int'l Sec - Ping An European Warrants Apr 2021 A | 60,000,000 | 2020/11/19 | 2021/04/27 | 10.20 |
| 18261 | Haitong Int'l Sec - Ping An European Put Warrants Jun 2021 A | 50,000,000 | 2020/11/19 | 2021/06/25 | 17.50 |
| 18316 | J P Morgan SP BV - Ganfeng Lithium European Wts May 2021 A | 40,000,000 | 2020/11/19 | 2021/05/28 | 10.04 |
| 18313 | J P Morgan SP BV - Hansoh Pharma Euro Warrants Apr 2021 A | 50,000,000 | 2020/11/19 | 2021/04/30 | 17.60 |
| 18312 | J P Morgan SP BV - JD.com European Warrants May 2021 A | 200,000,000 | 2020/11/19 | 2021/05/03 | 50.00 |
| 18308 | J P Morgan SP BV - JD.com European Put Warrants May 2021 A | 200,000,000 | 2020/11/19 | 2021/05/04 | 74.80 |
| 18221 | Macquarie Bank Ltd. - Dongfeng Motor European Wts Jun 2021 A | 37,600,000 | 2020/11/19 | 2021/06/02 | 10.04 |
| 18215 | Macquarie Bank Ltd. - Kingdee Int'l European Wts Jun 2021 A | 37,000,000 | 2020/11/19 | 2021/06/16 | 10.03 |
| 18210 | Macquarie Bank Ltd. - Maoyan Ent European Warrants Oct2021 A | 40,000,000 | 2020/11/19 | 2021/10/15 | 10.00 |
| 18219 | Macquarie Bank Ltd. - Tencent European Put Wts May 2021 B | 21,400,000 | 2020/11/19 | 2021/05/26 | 10.06 |
| 18223 | Morgan Stanley Asia Products Ltd. - Alibaba Eu Put Wt Mar21A | 80,000,000 | 2020/11/19 | 2021/03/01 | 12.00 |
| 18222 | Morgan Stanley Asia Products Ltd. - HSBC Euro Wts Jun 2021 C | 80,000,000 | 2020/11/19 | 2021/06/23 | 12.00 |
| 18307 | SG Issuer - Alibaba European Put Warrants May 2021 A | 100,000,000 | 2020/11/19 | 2021/05/24 | 19.50 |
| 18306 | SG Issuer - Tencent European Put Warrants Mar 2021 A | 100,000,000 | 2020/11/19 | 2021/03/26 | 15.00 |
| 18237 | UBS AG - HSI European Warrants May 2021 A | 300,000,000 | 2020/11/19 | 2021/05/28 | 45.00 |
| 18234 | UBS AG - HSI European Warrants Jun 2021 B | 300,000,000 | 2020/11/19 | 2021/06/29 | 45.00 |
| 18242 | UBS AG - HSI European Put Warrants May 2021 B | 300,000,000 | 2020/11/19 | 2021/05/28 | 45.00 |
| 18241 | UBS AG - HSI European Put Warrants Jun 2021 A | 300,000,000 | 2020/11/19 | 2021/06/29 | 45.00 |
| 18227 | UBS AG - JD.com European Put Warrants May 2021 A | 200,000,000 | 2020/11/19 | 2021/05/18 | 50.00 |
| 18279 | Bank Vontobel - Alibaba Group European Warrants May 2021 B | 60,000,000 | 2020/11/19 | 2021/05/26 | 14.10 |
| 18281 | Bank Vontobel - Anta Sports European Warrants Jul 2021 A | 35,000,000 | 2020/11/19 | 2021/07/05 | 10.85 |
| 18280 | Bank Vontobel - Bud Apac European Warrants Apr 2021 A | 60,000,000 | 2020/11/19 | 2021/04/07 | 10.80 |
| 18299 | Bank Vontobel - CK Asset European Warrants Jul 2021 A | 40,000,000 | 2020/11/19 | 2021/07/05 | 10.00 |
| 18294 | Bank Vontobel - CKH Holdings European Warrants Jul 2021 A | 40,000,000 | 2020/11/19 | 2021/07/05 | 10.88 |
| 18303 | Bank Vontobel - CSOP A50 ETF European Warrants Jun 2021 A | 50,000,000 | 2020/11/19 | 2021/06/02 | 12.90 |
| 18301 | Bank Vontobel - CSOP A50 ETF European Warrants Oct 2021 A | 50,000,000 | 2020/11/19 | 2021/10/05 | 12.50 |
| 18305 | Bank Vontobel - CSOP A50 ETF Euro Put Warrants Jun 2021 A | 50,000,000 | 2020/11/19 | 2021/06/02 | 12.75 |
| 18298 | Bank Vontobel - China Shenhua European Warrants Oct 2021 A | 40,000,000 | 2020/11/19 | 2021/10/05 | 10.00 |
| 18274 | Bank Vontobel - HSBC European Put Warrants May 2021 A | 50,000,000 | 2020/11/19 | 2021/05/05 | 11.50 |
| 18276 | Bank Vontobel - Meituan European Warrants Jun 2021 B | 60,000,000 | 2020/11/19 | 2021/06/02 | 20.40 |
| 18291 | Bank Vontobel - SHK Ppt European Warrants Aug 2021 A | 30,000,000 | 2020/11/19 | 2021/08/03 | 11.70 |
| 18346 | BOCI Asia Ltd. - AIA European Warrants Jul 2021 A | 150,000,000 | 2020/11/20 | 2021/07/27 | 22.50 |
| 18349 | BOCI Asia Ltd. - Genscript Bio European Warrants Apr 2021 A | 70,000,000 | 2020/11/20 | 2021/04/09 | 13.30 |
| 18344 | BOCI Asia Ltd. - HSCEI European Warrants Sep 2021 A | 100,000,000 | 2020/11/20 | 2021/09/29 | 18.00 |
| 18372 | BNP Paribas Issuance B.V. - AIA European Wts Aug 2021 A | 80,000,000 | 2020/11/20 | 2021/08/03 | 12.00 |
| 18369 | BNP Paribas Issuance B.V. - China Life Euro Wts Oct 2021 A | 60,000,000 | 2020/11/20 | 2021/10/05 | 12.60 |
| 18370 | BNP Paribas Issuance B.V. - Galaxy Ent Euro Wts Jun 2021 A | 40,000,000 | 2020/11/20 | 2021/06/02 | 17.60 |
| 18365 | BNP Paribas Issuance B.V. - Tencent European Wts Jul 2021 C | 60,000,000 | 2020/11/20 | 2021/07/05 | 31.80 |
| 18410 | Credit Suisse AG - Air China European Warrants Nov 2021 A | 60,000,000 | 2020/11/20 | 2021/11/30 | 15.00 |
| 18396 | Credit Suisse AG - CK Asset European Warrants Apr 2021 A | 60,000,000 | 2020/11/20 | 2021/04/27 | 10.02 |
| 18401 | Credit Suisse AG - Cathay Pacific European Wts Sep 2021 A | 60,000,000 | 2020/11/20 | 2021/09/30 | 15.00 |
| 18398 | Credit Suisse AG - Geely Auto European Warrants May 2021 C | 100,000,000 | 2020/11/20 | 2021/05/03 | 29.00 |

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| 18389 | Credit Suisse AG – HSBC European Wts Jun 2021 A | 100,000,000 | 2020/11/20 | 2021/06/23 | 15.00 |
| 18388 | Credit Suisse AG – Microport European Warrants Dec 2021 B | 80,000,000 | 2020/11/20 | 2021/12/31 | 20.00 |
| 18390 | Credit Suisse AG – Ping An European Put Warrants May 2021 A | 100,000,000 | 2020/11/20 | 2021/05/20 | 25.00 |
| 18374 | Goldman Sachs SP (Asia) – Alibaba European Wts Feb 2021 I | 150,000,000 | 2020/11/20 | 2021/02/22 | 25.20 |
| 18387 | Goldman Sachs SP (Asia) – Bud Apac Euro Warrants Apr 2021 A | 80,000,000 | 2020/11/20 | 2021/04/07 | 12.00 |
| 18382 | Goldman Sachs SP (Asia) – HSBC European Warrants Feb 2021 C | 80,000,000 | 2020/11/20 | 2021/02/23 | 12.00 |
| 18380 | Goldman Sachs SP (Asia) – Tencent European Wts Feb 2021 L | 150,000,000 | 2020/11/20 | 2021/02/22 | 29.25 |
| 18364 | Haitong Int'l Sec – Tencent European Put Warrants Mar 2021 B | 100,000,000 | 2020/11/20 | 2021/03/25 | 22.00 |
| 18350 | J P Morgan SP BV – AIA European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/20 | 2021/06/03 | 42.00 |
| 18357 | J P Morgan SP BV – AK Medical European Warrants May 2021 A | 50,000,000 | 2020/11/20 | 2021/05/31 | 13.10 |
| 18351 | J P Morgan SP BV – CICC European Warrants Jun 2021 A | 80,000,000 | 2020/11/20 | 2021/06/30 | 20.00 |
| 18359 | J P Morgan SP BV – Sinopec Corp Eu Put Wt May 2021 A | 70,000,000 | 2020/11/20 | 2021/05/05 | 10.50 |
| 18356 | J P Morgan SP BV – Lenovo European Warrants May 2021 A | 50,000,000 | 2020/11/20 | 2021/05/31 | 17.85 |
| 18360 | J P Morgan SP BV – ZA Online European Warrants Sep 2021 A | 100,000,000 | 2020/11/20 | 2021/09/09 | 15.10 |
| 18343 | Macquarie Bank Ltd. – CSOP A50 ETF European Wts Jun 2021 A | 20,000,000 | 2020/11/20 | 2021/06/02 | 11.30 |
| 18342 | Macquarie Bank Ltd. – China Vanke European Wts Jul 2021 A | 25,500,000 | 2020/11/20 | 2021/07/05 | 10.10 |
| 18361 | Morgan Stanley Asia Products Ltd. – AIA Euro Wts Aug 2021 A | 98,000,000 | 2020/11/20 | 2021/08/30 | 24.50 |
| 18432 | SG Issuer – JD.com European Warrants May 2021 A | 80,000,000 | 2020/11/20 | 2021/05/03 | 23.20 |
| 18430 | SG Issuer – JD.com European Put Warrants May 2021 A | 80,000,000 | 2020/11/20 | 2021/05/31 | 20.00 |
| 18431 | SG Issuer – Link REIT European Warrants May 2021 A | 30,000,000 | 2020/11/20 | 2021/05/05 | 10.05 |
| 18419 | Bank Vontobel – AIA European Warrants Jun 2021 A | 30,000,000 | 2020/11/20 | 2021/06/02 | 12.90 |
| 18418 | Bank Vontobel – Kunlun Energy European Warrants Oct 2021 A | 40,000,000 | 2020/11/20 | 2021/10/04 | 10.00 |
| 18424 | Bank Vontobel – Lenovo European Warrants Jun 2021 A | 20,000,000 | 2020/11/20 | 2021/06/25 | 10.00 |
| 18460 | BOCI Asia Ltd. – Geely Auto European Warrants May 2021 A | 70,000,000 | 2020/11/23 | 2021/05/03 | 16.80 |
| 18463 | BOCI Asia Ltd. – Link REIT European Warrants May 2021 A | 60,000,000 | 2020/11/23 | 2021/05/05 | 20.40 |
| 18467 | BOCI Asia Ltd. – Ping An European Warrants Jul 2021 A | 50,000,000 | 2020/11/23 | 2021/07/08 | 12.50 |
| 18466 | BOCI Asia Ltd. – Tencent European Warrants Feb 2021 B | 100,000,000 | 2020/11/23 | 2021/02/23 | 15.00 |
| 18586 | BNP Paribas Issuance B.V. – HSTECH European Warrants Jun21 A | 80,000,000 | 2020/11/23 | 2021/06/29 | 20.80 |
| 18488 | Credit Suisse AG – CGS European Warrants May 2021 A | 30,000,000 | 2020/11/23 | 2021/05/24 | 16.50 |
| 18490 | Credit Suisse AG – Geely Auto European Warrants May 2021 D | 100,000,000 | 2020/11/23 | 2021/05/24 | 25.00 |
| 18487 | Credit Suisse AG – GF Sec European Warrants May 2021 A | 40,000,000 | 2020/11/23 | 2021/05/24 | 10.00 |
| 18485 | Credit Suisse AG – Zijin Mining European Warrants Jul 2021 B | 100,000,000 | 2020/11/23 | 2021/07/30 | 25.00 |
| 18549 | Goldman Sachs SP (Asia) – Alibaba European Wts Apr 2021 C | 150,000,000 | 2020/11/23 | 2021/04/27 | 29.25 |
| 18548 | Goldman Sachs SP (Asia) – Geely Auto European Wts May 2021 B | 80,000,000 | 2020/11/23 | 2021/05/04 | 17.60 |
| 18544 | Goldman Sachs SP (Asia) – Lenovo European Wts Feb 2021 A | 80,000,000 | 2020/11/23 | 2021/02/24 | 13.92 |
| 18543 | Goldman Sachs SP (Asia) – Sands China Euro Wts Feb 2021 A | 80,000,000 | 2020/11/23 | 2021/02/23 | 12.00 |
| 18441 | HK Bank – AIA European Put Warrants Dec 2021 A | 150,000,000 | 2020/11/23 | 2021/12/29 | 22.50 |
| 18451 | HK Bank – Alibaba European Put Warrants Mar 2021 A | 100,000,000 | 2020/11/23 | 2021/03/29 | 19.00 |
| 18445 | HK Bank – Alibaba European Put Warrants Aug 2021 A | 100,000,000 | 2020/11/23 | 2021/08/02 | 25.00 |
| 18537 | HK Bank – HSTECH European Warrants May 2021 A | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 18539 | HK Bank – HSTECH European Warrants May 2021 B | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 18541 | HK Bank – HSTECH European Put Warrants May 2021 A | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 18499 | Haitong Int'l Sec – HSTECH European Warrants May 2021 A | 400,000,000 | 2020/11/23 | 2021/05/28 | 100.00 |
| 18506 | Haitong Int'l Sec – HSTECH European Warrants May 2021 B | 400,000,000 | 2020/11/23 | 2021/05/28 | 100.00 |

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| 18497 | Haitong Int'l Sec - HSTECH European Warrants Jun 2021 A | 400,000,000 | 2020/11/23 | 2021/06/29 | 100.00 |
| 18501 | Haitong Int'l Sec - HSTECH European Put Warrants May 2021 A | 400,000,000 | 2020/11/23 | 2021/05/28 | 100.00 |
| 18505 | Haitong Int'l Sec - HSTECH European Put Warrants May 2021 B | 400,000,000 | 2020/11/23 | 2021/05/28 | 100.00 |
| 18502 | Haitong Int'l Sec - HSTECH European Put Warrants Jun 2021 A | 400,000,000 | 2020/11/23 | 2021/06/29 | 100.00 |
| 18468 | J P Morgan SP BV - Geely Auto European Put Wts May 2021 B | 80,000,000 | 2020/11/23 | 2021/05/03 | 18.00 |
| 18532 | J P Morgan SP BV - HSTECH European Warrants May 2021 A | 200,000,000 | 2020/11/23 | 2021/05/28 | 50.60 |
| 18534 | J P Morgan SP BV - HSTECH European Warrants May 2021 B | 200,000,000 | 2020/11/23 | 2021/05/28 | 50.80 |
| 18533 | J P Morgan SP BV - HSTECH European Warrants Jun 2021 A | 200,000,000 | 2020/11/23 | 2021/06/29 | 50.60 |
| 18529 | J P Morgan SP BV - HSTECH European Put Warrants May 2021 A | 200,000,000 | 2020/11/23 | 2021/05/28 | 50.60 |
| 18536 | J P Morgan SP BV - HSTECH European Put Warrants May 2021 B | 200,000,000 | 2020/11/23 | 2021/05/28 | 50.40 |
| 18530 | J P Morgan SP BV - HSTECH European Put Warrants Jun 2021 A | 200,000,000 | 2020/11/23 | 2021/06/29 | 50.40 |
| 18456 | Macquarie Bank Ltd. - BYD Company European Wts Jul 2021 C | 32,900,000 | 2020/11/23 | 2021/07/05 | 10.03 |
| 18455 | Macquarie Bank Ltd. - Dongfeng Motor European Wts Oct 2021 A | 40,000,000 | 2020/11/23 | 2021/10/05 | 10.04 |
| 18509 | Morgan Stanley Asia Products Ltd. - HSTECH Euro Wts May21A | 188,000,000 | 2020/11/23 | 2021/05/28 | 47.00 |
| 18510 | Morgan Stanley Asia Products Ltd. - HSTECH Euro Wts May21B | 188,000,000 | 2020/11/23 | 2021/05/28 | 47.00 |
| 18507 | Morgan Stanley Asia Products Ltd. - HSTECH Eu Put Wts May21A | 188,000,000 | 2020/11/23 | 2021/05/28 | 47.00 |
| 18508 | Morgan Stanley Asia Products Ltd. - HSTECH Eu Put Wts May21B | 188,000,000 | 2020/11/23 | 2021/05/28 | 47.00 |
| 18624 | SG Issuer - Bud Apac European Warrants May 2021 A | 40,000,000 | 2020/11/23 | 2021/05/28 | 10.00 |
| 18625 | SG Issuer - CNBM European Warrants Dec 2021 A | 50,000,000 | 2020/11/23 | 2021/12/31 | 10.25 |
| 18627 | SG Issuer - CPIC European Warrants May 2021 A | 50,000,000 | 2020/11/23 | 2021/05/28 | 10.00 |
| 18628 | SG Issuer - Geely Auto European Warrants May 2021 C | 50,000,000 | 2020/11/23 | 2021/05/03 | 14.25 |
| 18616 | SG Issuer - HSCEI European Warrants Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 15.00 |
| 18619 | SG Issuer - HSCEI European Warrants Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 15.00 |
| 18618 | SG Issuer - HSCEI European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 15.00 |
| 18590 | SG Issuer - HSI European Warrants Mar 2021 D | 300,000,000 | 2020/11/23 | 2021/03/30 | 57.00 |
| 18591 | SG Issuer - HSI European Warrants Mar 2021 E | 300,000,000 | 2020/11/23 | 2021/03/30 | 46.50 |
| 18594 | SG Issuer - HSI European Warrants May 2021 A | 300,000,000 | 2020/11/23 | 2021/05/28 | 46.50 |
| 18605 | SG Issuer - HSI European Put Warrants May 2021 B | 300,000,000 | 2020/11/23 | 2021/05/28 | 45.00 |
| 18606 | SG Issuer - HSI European Put Warrants Jun 2021 A | 300,000,000 | 2020/11/23 | 2021/06/29 | 45.00 |
| 18613 | SG Issuer - HSI European Put Warrants Jul 2021 A | 300,000,000 | 2020/11/23 | 2021/07/29 | 45.00 |
| 18640 | SG Issuer - HSTECH European Warrants Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 18641 | SG Issuer - HSTECH European Warrants Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 18642 | SG Issuer - HSTECH European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 18644 | SG Issuer - HSTECH European Put Warrants Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 18636 | SG Issuer - MTR Corporation European Warrants May 2021 A | 40,000,000 | 2020/11/23 | 2021/05/28 | 10.00 |
| 18639 | SG Issuer - New World Dev Eu Wt May 2021 A | 40,000,000 | 2020/11/23 | 2021/05/28 | 10.00 |
| 18469 | UBS AG - CNOOC European Warrants May 2021 B | 70,000,000 | 2020/11/23 | 2021/05/31 | 17.50 |
| 18470 | UBS AG - Galaxy Ent European Warrants May 2021 A | 80,000,000 | 2020/11/23 | 2021/05/24 | 20.00 |
| 18474 | UBS AG - Galaxy Ent European Put Warrants May 2021 A | 60,000,000 | 2020/11/23 | 2021/05/31 | 15.00 |
| 18482 | UBS AG - HSBC European Warrants Jun 2021 A | 70,000,000 | 2020/11/23 | 2021/06/23 | 10.50 |
| 18557 | UBS AG - HSTECH European Warrants Jun 2021 A | 200,000,000 | 2020/11/23 | 2021/06/29 | 50.00 |
| 18559 | UBS AG - HSTECH European Warrants Sep 2021 A | 200,000,000 | 2020/11/23 | 2021/09/29 | 50.00 |
| 18554 | UBS AG - HSTECH European Warrants Nov 2021 A | 200,000,000 | 2020/11/23 | 2021/11/29 | 50.00 |
| 18550 | UBS AG - HSTECH European Warrants Dec 2021 A | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |

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| 18561 | UBS AG – HSTECH European Put Warrants Jun 2021 A | 200,000,000 | 2020/11/23 | 2021/06/29 | 50.00 |
| 18563 | UBS AG – HSTECH European Put Warrants Sep 2021 A | 200,000,000 | 2020/11/23 | 2021/09/29 | 50.00 |
| 18478 | UBS AG – Ping An European Warrants May 2021 A | 100,000,000 | 2020/11/23 | 2021/05/31 | 25.00 |
| 18565 | Bank Vontobel – Geely Auto European Warrants May 2021 B | 40,000,000 | 2020/11/23 | 2021/05/04 | 10.40 |
| 18567 | Bank Vontobel – Geely Auto European Warrants Jun 2021 A | 40,000,000 | 2020/11/23 | 2021/06/02 | 10.40 |
| 18580 | Bank Vontobel – Geely Auto European Put Warrants May 2021 A | 50,000,000 | 2020/11/23 | 2021/05/03 | 11.50 |
| 18581 | Bank Vontobel – Geely Auto European Put Warrants May 2021 B | 70,000,000 | 2020/11/23 | 2021/05/04 | 10.50 |
| 18573 | Bank Vontobel – Hang Seng Bank European Warrants Oct 2021 A | 40,000,000 | 2020/11/23 | 2021/10/05 | 10.00 |
| 18583 | Bank Vontobel – HSTECH European Warrants May 2021 A | 50,000,000 | 2020/11/23 | 2021/05/28 | 12.50 |
| 18584 | Bank Vontobel – HSTECH European Put Warrants May 2021 A | 50,000,000 | 2020/11/23 | 2021/05/28 | 12.50 |
| 18671 | BOCI Asia Ltd. – Alibaba European Put Warrants May 2021 A | 100,000,000 | 2020/11/24 | 2021/05/31 | 18.00 |
| 18666 | BOCI Asia Ltd. – Xiaomi European Put Warrants Mar 2021 A | 100,000,000 | 2020/11/24 | 2021/03/01 | 17.00 |
| 18692 | Credit Suisse AG – Alibaba European Warrants May 2021 E | 400,000,000 | 2020/11/24 | 2021/05/24 | 60.00 |
| 18718 | Goldman Sachs SP (Asia) – Alibaba European Wts May 2021 C | 150,000,000 | 2020/11/24 | 2021/05/26 | 27.75 |
| 18716 | Goldman Sachs SP (Asia) – European Warrants Feb 2021 A | 70,000,000 | 2020/11/24 | 2021/02/24 | 10.92 |
| 18715 | Goldman Sachs SP (Asia) – HKEX European Put Wts Feb 2021 A | 100,000,000 | 2020/11/24 | 2021/02/24 | 18.30 |
| 18650 | HK Bank – JD.com European Warrants May 2021 B | 80,000,000 | 2020/11/24 | 2021/05/31 | 20.00 |
| 18647 | HK Bank – Meituan European Warrants Apr 2021 D | 80,000,000 | 2020/11/24 | 2021/04/28 | 16.00 |
| 18648 | HK Bank – Meituan European Warrants May 2021 B | 80,000,000 | 2020/11/24 | 2021/05/03 | 12.80 |
| 18661 | HK Bank – Ping An European Warrants Jun 2021 B | 50,000,000 | 2020/11/24 | 2021/06/30 | 12.50 |
| 18664 | HK Bank – Ping An European Put Warrants Aug 2021 A | 200,000,000 | 2020/11/24 | 2021/08/06 | 30.00 |
| 18675 | Haitong Int'l Sec – BYD Company European Warrants Jun 2021 A | 70,000,000 | 2020/11/24 | 2021/06/21 | 26.60 |
| 18672 | Haitong Int'l Sec – Xiaomi European Put Warrants May 2021 A | 70,000,000 | 2020/11/24 | 2021/05/24 | 10.50 |
| 18685 | J P Morgan SP BV – Cathay Pacific European Wts Oct 2021 A | 60,000,000 | 2020/11/24 | 2021/10/29 | 15.06 |
| 18683 | J P Morgan SP BV – Geely Auto European Warrants Jun 2021 A | 80,000,000 | 2020/11/24 | 2021/06/30 | 20.00 |
| 18708 | J P Morgan SP BV – HSTECH European Warrants May 2021 C | 200,000,000 | 2020/11/24 | 2021/05/28 | 50.00 |
| 18707 | J P Morgan SP BV – HSTECH European Warrants Jun 2021 B | 200,000,000 | 2020/11/24 | 2021/06/29 | 50.60 |
| 18699 | J P Morgan SP BV – HSTECH European Warrants Jul 2021 A | 200,000,000 | 2020/11/24 | 2021/07/29 | 50.40 |
| 18701 | J P Morgan SP BV – HSTECH European Warrants Jul 2021 B | 200,000,000 | 2020/11/24 | 2021/07/29 | 50.40 |
| 18709 | J P Morgan SP BV – HSTECH European Warrants Aug 2021 A | 200,000,000 | 2020/11/24 | 2021/08/30 | 50.40 |
| 18710 | J P Morgan SP BV – HSTECH European Put Warrants Jul 2021 A | 200,000,000 | 2020/11/24 | 2021/07/29 | 50.40 |
| 18691 | J P Morgan SP BV – Ping An European Warrants Apr 2021 B | 100,000,000 | 2020/11/24 | 2021/04/30 | 22.40 |
| 18681 | Macquarie Bank Ltd. – Meituan European Warrants Aug 2021 B | 32,000,000 | 2020/11/24 | 2021/08/03 | 10.05 |
| 18646 | Macquarie Bank Ltd. – Ping An European Warrants Jun 2021 A | 40,000,000 | 2020/11/24 | 2021/06/02 | 10.08 |
| 18698 | Morgan Stanley Asia Products Ltd. – HSTECH Euro Wts May21C | 188,000,000 | 2020/11/24 | 2021/05/28 | 47.00 |
| 18711 | SG Issuer – HSTECH European Warrants May 2021 A | 100,000,000 | 2020/11/24 | 2021/05/28 | 25.00 |
| 18712 | SG Issuer – HSTECH European Put Warrants May 2021 A | 100,000,000 | 2020/11/24 | 2021/05/28 | 25.00 |
| 18677 | UBS AG – Alibaba European Warrants May 2021 D | 200,000,000 | 2020/11/24 | 2021/05/26 | 30.00 |
| 18679 | UBS AG – Alibaba European Warrants Jun 2021 E | 200,000,000 | 2020/11/24 | 2021/06/25 | 30.00 |
| 18676 | UBS AG – Geely Auto European Put Warrants May 2021 B | 80,000,000 | 2020/11/24 | 2021/05/24 | 20.00 |
| 18725 | BOCI Asia Ltd. – HKEX European Put Warrants Apr 2021 A | 100,000,000 | 2020/11/25 | 2021/04/23 | 15.00 |
| 18752 | Credit Suisse AG – CKH Holdings European Warrants Jun 2021 C | 60,000,000 | 2020/11/25 | 2021/06/30 | 15.00 |
| 18751 | Credit Suisse AG – Ping An European Warrants May 2021 A | 150,000,000 | 2020/11/25 | 2021/05/24 | 37.50 |
| 18770 | Citigroup Global – Bud Apac European Warrants Apr 2021 A | 100,000,000 | 2020/11/25 | 2021/04/07 | 22.20 |

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| 18771 | Citigroup Global – Bud Apac European Warrants Jul 2021 A | 100,000,000 | 2020/11/25 | 2021/07/29 | 25.50 |
| 18776 | Citigroup Global – Geely Auto European Put Warrants May21 B | 100,000,000 | 2020/11/25 | 2021/05/28 | 25.50 |
| 18786 | Citigroup Global – Ping An European Warrants Apr 2021 A | 100,000,000 | 2020/11/25 | 2021/04/29 | 20.20 |
| 18773 | Citigroup Global – SHK Ppt European Warrants Feb 2021 A | 100,000,000 | 2020/11/25 | 2021/02/25 | 49.00 |
| 18784 | Goldman Sachs SP (Asia) – BYD Company Euro Wts May 2021 E | 80,000,000 | 2020/11/25 | 2021/05/25 | 12.00 |
| 18782 | Goldman Sachs SP (Asia) – Geely Auto Euro Put Wts May 2021 B | 80,000,000 | 2020/11/25 | 2021/05/03 | 12.00 |
| 18778 | Goldman Sachs SP (Asia) – Ping An European Wts Feb 2021 C | 100,000,000 | 2020/11/25 | 2021/02/26 | 15.00 |
| 18743 | J P Morgan SP BV – Brilliance Chi European Wts Sep 2021 A | 50,000,000 | 2020/11/25 | 2021/09/30 | 13.75 |
| 18740 | J P Morgan SP BV – CR Beer European Warrants May 2021 A | 60,000,000 | 2020/11/25 | 2021/05/31 | 21.66 |
| 18744 | J P Morgan SP BV – Dongfeng Motor European Wts Mar 2021 A | 80,000,000 | 2020/11/25 | 2021/03/02 | 12.00 |
| 18741 | J P Morgan SP BV – Greatwall Motor European Wts May 2021 A | 80,000,000 | 2020/11/25 | 2021/05/31 | 24.00 |
| 18722 | Macquarie Bank Ltd. – CGS European Warrants Jun 2021 A | 26,500,000 | 2020/11/25 | 2021/06/16 | 10.02 |
| 18721 | Macquarie Bank Ltd. – Geely Auto European Wts Jul 2021 A | 32,500,000 | 2020/11/25 | 2021/07/05 | 10.04 |
| 18731 | Macquarie Bank Ltd. – Greatwall Motor Euro Wts Jul 2021 B | 39,000,000 | 2020/11/25 | 2021/07/05 | 10.02 |
| 18763 | Morgan Stanley Asia Products Ltd. – Geely Auto Eu Wt May21D | 80,000,000 | 2020/11/25 | 2021/05/28 | 20.00 |
| 18753 | Morgan Stanley Asia Products Ltd. – HSTECH Euro Wts Jun21A | 188,000,000 | 2020/11/25 | 2021/06/29 | 47.00 |
| 18756 | Morgan Stanley Asia Products Ltd. – HSTECH Euro Wts Jun21B | 188,000,000 | 2020/11/25 | 2021/06/29 | 47.00 |
| 18760 | Morgan Stanley Asia Products Ltd. – HSTECH Euro Wts Sep21A | 188,000,000 | 2020/11/25 | 2021/09/29 | 47.00 |
| 18724 | Morgan Stanley Asia Products Ltd. – HSTECH Eu Put Wt Aug21A | 188,000,000 | 2020/11/25 | 2021/08/30 | 47.00 |
| 18734 | UBS AG – BYD Company European Warrants May 2021 C | 150,000,000 | 2020/11/25 | 2021/05/24 | 37.50 |
| 18735 | UBS AG – BYD Company European Warrants Jun 2021 B | 150,000,000 | 2020/11/25 | 2021/06/30 | 37.50 |
| 18733 | UBS AG – Geely Auto European Warrants May 2021 C | 150,000,000 | 2020/11/25 | 2021/05/24 | 37.50 |
| 18736 | UBS AG – JD.com European Put Warrants May 2021 B | 200,000,000 | 2020/11/25 | 2021/05/24 | 50.00 |
| 18737 | UBS AG – Xiaomi European Warrants Mar 2021 G | 200,000,000 | 2020/11/25 | 2021/03/24 | 30.00 |
| 18739 | UBS AG – Xiaomi European Warrants Mar 2021 H | 200,000,000 | 2020/11/25 | 2021/03/26 | 30.00 |
| 18768 | Bank Vontobel – Greatwall Motor European Warrants Jun 2021 A | 40,000,000 | 2020/11/25 | 2021/06/02 | 10.00 |
| 18769 | Bank Vontobel – Kingsoft European Warrants Oct 2021 A | 60,000,000 | 2020/11/25 | 2021/10/22 | 10.80 |
| 18764 | Bank Vontobel – New World Dev European Warrants May 2021 A | 40,000,000 | 2020/11/25 | 2021/05/24 | 10.00 |
| 18765 | Bank Vontobel – Swire Pacific A European Warrants Jun 2021 A | 40,000,000 | 2020/11/25 | 2021/06/02 | 10.00 |
| 18315# | Bank Vontobel – Kingsoft European Warrants Jan 2021 B | 40,000,000 | 2020/11/25 | 2021/01/27 | 10.80 |
| 18851 | BNP Paribas Issuance B.V. – Tencent Eu Put Wt Jun 2021 A | 80,000,000 | 2020/11/26 | 2021/06/02 | 24.00 |
| 18863 | Credit Suisse AG – CHALCO European Wts May 2021 B | 40,000,000 | 2020/11/26 | 2021/05/25 | 10.00 |
| 18861 | Credit Suisse AG – CS Services European Warrants Dec 2021 B | 100,000,000 | 2020/11/26 | 2021/12/31 | 25.00 |
| 18873 | Credit Suisse AG – Ch LongYuan European Warrants Jul 2021 B | 60,000,000 | 2020/11/26 | 2021/07/30 | 15.00 |
| 18864 | Credit Suisse AG – Ch Molybdenum European Wts May 2021 B | 40,000,000 | 2020/11/26 | 2021/05/25 | 12.80 |
| 18869 | Credit Suisse AG – Ganfeng Lithium European Wts May 2021 B | 40,000,000 | 2020/11/26 | 2021/05/25 | 10.00 |
| 18875 | Credit Suisse AG – Greatwall Motor European Wts Aug 2021 A | 80,000,000 | 2020/11/26 | 2021/08/13 | 20.00 |
| 18853 | Credit Suisse AG – HSTECH European Warrants May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/28 | 20.00 |
| 18859 | Credit Suisse AG – HSTECH European Put Warrants Jun 2021 A | 100,000,000 | 2020/11/26 | 2021/06/29 | 20.00 |
| 18874 | Credit Suisse AG – Tsingtao Brew Euro Warrants Sep 2021 B | 60,000,000 | 2020/11/26 | 2021/09/30 | 15.00 |
| 18886 | Citigroup Global – BYD Company European Put Wts May 2021 B | 100,000,000 | 2020/11/26 | 2021/05/03 | 29.50 |
| 18879 | Citigroup Global – HSBC European Warrants May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/24 | 20.80 |
| 18887 | Citigroup Global – JD.com European Put Warrants Mar 2021 A | 100,000,000 | 2020/11/26 | 2021/03/01 | 20.10 |
| 18884 | Citigroup Global – Ping An European Put Warrants Jul 2021 A | 100,000,000 | 2020/11/26 | 2021/07/29 | 15.00 |

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| 18880 | Citigroup Global – Xiaomi European Put Warrants May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/04 | 23.60 |
| 18788 | Guotai Junan – Geely Auto European Warrants May 2021 B | 100,000,000 | 2020/11/26 | 2021/05/04 | 25.60 |
| 18789 | Guotai Junan – Xiaomi European Warrants Apr 2021 B | 100,000,000 | 2020/11/26 | 2021/04/07 | 15.00 |
| 18890 | Goldman Sachs SP (Asia) – AIA European Warrants Mar 2021 A | 80,000,000 | 2020/11/26 | 2021/03/05 | 12.00 |
| 18896 | Goldman Sachs SP (Asia) – BYD Company Euro Wts May 2021 F | 80,000,000 | 2020/11/26 | 2021/05/03 | 21.12 |
| 18889 | Goldman Sachs SP (Asia) – HSTECH European Wts May 2021 A | 150,000,000 | 2020/11/26 | 2021/05/28 | 37.50 |
| 18899 | Goldman Sachs SP (Asia) – Ping An European Wts Feb 2021 D | 100,000,000 | 2020/11/26 | 2021/02/26 | 20.80 |
| 18898 | Goldman Sachs SP (Asia) – Xiaomi European Wts Mar 2021 H | 100,000,000 | 2020/11/26 | 2021/03/26 | 15.30 |
| 18846 | Haitong Int'l Sec – Geely Auto European Warrants May 2021 B | 60,000,000 | 2020/11/26 | 2021/05/17 | 13.80 |
| 18844 | Haitong Int'l Sec – Greatwall Motor European Wts May 2021 A | 40,000,000 | 2020/11/26 | 2021/05/25 | 13.20 |
| 18850 | Haitong Int'l Sec – Link REIT European Warrants Mar 2021 A | 60,000,000 | 2020/11/26 | 2021/03/15 | 13.20 |
| 18849 | Haitong Int'l Sec – Xiaomi European Warrants Apr 2021 B | 100,000,000 | 2020/11/26 | 2021/04/14 | 18.00 |
| 18840 | Haitong Int'l Sec – Zijin Mining Euro Warrants Jul 2021 A | 40,000,000 | 2020/11/26 | 2021/07/30 | 10.00 |
| 18816 | J P Morgan SP BV – Bud Apac European Warrants Jun 2021 A | 60,000,000 | 2020/11/26 | 2021/06/30 | 15.12 |
| 18821 | J P Morgan SP BV – BYD Company European Warrants May 2021 D | 150,000,000 | 2020/11/26 | 2021/05/04 | 35.55 |
| 18812 | J P Morgan SP BV – Ch Molybdenum European Wts May 2021 A | 60,000,000 | 2020/11/26 | 2021/05/31 | 23.10 |
| 18819 | J P Morgan SP BV – Ch Oilfield Euro Wts Apr 2021 A | 60,000,000 | 2020/11/26 | 2021/04/30 | 10.86 |
| 18799 | J P Morgan SP BV – China Shenhua European Wts Aug 2021 A | 70,000,000 | 2020/11/26 | 2021/08/31 | 17.57 |
| 18807 | J P Morgan SP BV – A50 China European Put Wts May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/31 | 25.00 |
| 18820 | J P Morgan SP BV – Kingsoft European Warrants Oct 2021 A | 200,000,000 | 2020/11/26 | 2021/10/22 | 34.20 |
| 18817 | J P Morgan SP BV – Xiaomi European Warrants Mar 2021 I | 200,000,000 | 2020/11/26 | 2021/03/01 | 30.00 |
| 18818 | J P Morgan SP BV – Xiaomi European Warrants Mar 2021 J | 200,000,000 | 2020/11/26 | 2021/03/31 | 30.00 |
| 18808 | J P Morgan SP BV – Xiaomi European Put Warrants May 2021 B | 200,000,000 | 2020/11/26 | 2021/05/31 | 65.40 |
| 18814 | J P Morgan SP BV – Yanzhou Coal European Warrants Dec 2021 A | 60,000,000 | 2020/11/26 | 2021/12/31 | 15.12 |
| 18796 | Macquarie Bank Ltd. – HTSC European Warrants Sep 2021 A | 35,500,000 | 2020/11/26 | 2021/09/16 | 10.01 |
| 18798 | Macquarie Bank Ltd. – Poly Ppt Ser European Wts Jan 2022 A | 40,000,000 | 2020/11/26 | 2022/01/21 | 10.00 |
| 18793 | Macquarie Bank Ltd. – Tsingtao Brew Euro Warrants Dec 2021 A | 40,000,000 | 2020/11/26 | 2021/12/16 | 10.04 |
| 18791 | Macquarie Bank Ltd. – Tongcheng-Elong Euro Wts Dec 2021 A | 25,000,000 | 2020/11/26 | 2021/12/17 | 10.08 |
| 18827 | Morgan Stanley Asia Products Ltd. – JD.com Eur Put Wt May21B | 68,000,000 | 2020/11/26 | 2021/05/26 | 17.00 |
| 18828 | Morgan Stanley Asia Products Ltd. – NetEase Euro Wts Sep 21A | 98,000,000 | 2020/11/26 | 2021/09/24 | 20.09 |
| 18825 | Morgan Stanley Asia Products Ltd. – Sunny Opt Eu Put Wt May21A | 58,000,000 | 2020/11/26 | 2021/05/04 | 10.61 |
| 18834 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts May 21 C | 108,000,000 | 2020/11/26 | 2021/05/28 | 27.00 |
| 18829 | Morgan Stanley Asia Products Ltd. – Xiaomi Eu Put Wt Apr 21A | 68,000,000 | 2020/11/26 | 2021/04/01 | 10.20 |
| 18822 | Morgan Stanley Asia Products Ltd. – ZA Online Eur Wts Dec21A | 68,000,000 | 2020/11/26 | 2021/12/23 | 10.20 |
| 18888 | SG Issuer – HSTECH European Warrants May 2021 B | 100,000,000 | 2020/11/26 | 2021/05/28 | 18.50 |
| 18838 | UBS AG – CNOOC European Warrants Dec 2021 A | 70,000,000 | 2020/11/26 | 2021/12/22 | 10.50 |
| 18835 | UBS AG – Ping An European Put Warrants May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/25 | 25.00 |
| 18837 | UBS AG – Xiaomi European Warrants Mar 2021 I | 200,000,000 | 2020/11/26 | 2021/03/24 | 30.00 |
| 18940 | BOCI Asia Ltd. – Xiaomi European Warrants Feb 2021 C | 100,000,000 | 2020/11/27 | 2021/02/26 | 16.00 |
| 18972 | Credit Suisse AG – BYD Company European Warrants May 2021 C | 150,000,000 | 2020/11/27 | 2021/05/04 | 34.50 |
| 18958 | Credit Suisse AG – CSPC Pharma European Warrants May 2021 A | 80,000,000 | 2020/11/27 | 2021/05/26 | 20.00 |
| 18973 | Credit Suisse AG – Geely Auto European Put Wts May 2021 D | 80,000,000 | 2020/11/27 | 2021/05/26 | 24.00 |
| 18959 | Credit Suisse AG – Genscript Bio European Warrants May2021 A | 40,000,000 | 2020/11/27 | 2021/05/26 | 10.00 |
| 18967 | Credit Suisse AG – Hengan Intl European Warrants May 2021 A | 30,000,000 | 2020/11/27 | 2021/05/31 | 10.20 |

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| 18978 | Credit Suisse AG – Sino Biopharm European Wts Jul 2021 A | 60,000,000 | 2020/11/27 | 2021/07/30 | 15.00 |
| 18962 | Credit Suisse AG – Wuxi Apptec European Warrants Aug 2021 A | 50,000,000 | 2020/11/27 | 2021/08/06 | 10.00 |
| 18947 | Credit Suisse AG – Xiaomi European Warrants Feb 2021 E | 200,000,000 | 2020/11/27 | 2021/02/26 | 30.00 |
| 19005 | Citigroup Global – Alibaba Group Euro Warrants Apr 2021 C | 100,000,000 | 2020/11/27 | 2021/04/29 | 21.80 |
| 19009 | Citigroup Global – JD.com European Warrants May 2021 A | 100,000,000 | 2020/11/27 | 2021/05/28 | 29.50 |
| 19008 | Citigroup Global – Meituan European Warrants Apr 2021 A | 100,000,000 | 2020/11/27 | 2021/04/27 | 24.20 |
| 19004 | Citigroup Global – NetEase European Warrants Mar 2021 A | 100,000,000 | 2020/11/27 | 2021/03/25 | 15.30 |
| 19007 | Citigroup Global – Xiaomi European Warrants Mar 2021 E | 100,000,000 | 2020/11/27 | 2021/03/30 | 20.40 |
| 18937 | Guotai Junan – Alibaba Group European Warrants Apr 2021 B | 100,000,000 | 2020/11/27 | 2021/04/30 | 17.90 |
| 19052 | Goldman Sachs SP (Asia) – Bud Apac Euro Warrants Mar 2021 A | 80,000,000 | 2020/11/27 | 2021/03/01 | 12.00 |
| 19054 | Goldman Sachs SP (Asia) – China Life Euro Wts Mar 2021 B | 100,000,000 | 2020/11/27 | 2021/03/22 | 15.00 |
| 19056 | Goldman Sachs SP (Asia) – CNBM European Warrants Oct 2021 A | 80,000,000 | 2020/11/27 | 2021/10/22 | 12.00 |
| 19057 | Goldman Sachs SP (Asia) – HSI European Put Wts May 2021 C | 150,000,000 | 2020/11/27 | 2021/05/28 | 22.50 |
| 18904 | HK Bank – CAM CSI300 European Warrants Aug 2021 A | 80,000,000 | 2020/11/27 | 2021/08/31 | 20.00 |
| 18903 | HK Bank – CSOP A50 ETF European Warrants Jul 2021 B | 80,000,000 | 2020/11/27 | 2021/07/05 | 20.00 |
| 18905 | HK Bank – CSPC Pharma European Warrants Apr 2021 B | 80,000,000 | 2020/11/27 | 2021/04/30 | 12.00 |
| 18906 | HK Bank – A50 China European Warrants Jul 2021 A | 80,000,000 | 2020/11/27 | 2021/07/05 | 20.00 |
| 18909 | HK Bank – Xiaomi European Warrants Apr 2021 C | 80,000,000 | 2020/11/27 | 2021/04/23 | 20.00 |
| 18908 | HK Bank – Xiaomi European Warrants May 2021 A | 80,000,000 | 2020/11/27 | 2021/05/04 | 19.20 |
| 18987 | Haitong Int'l Sec – Meituan European Warrants Mar 2021 C | 100,000,000 | 2020/11/27 | 2021/03/01 | 21.00 |
| 18981 | Haitong Int'l Sec – Tencent European Warrants May 2021 A | 100,000,000 | 2020/11/27 | 2021/05/26 | 41.00 |
| 18982 | Haitong Int'l Sec – Tencent European Warrants May 2021 B | 100,000,000 | 2020/11/27 | 2021/05/26 | 37.00 |
| 19029 | J P Morgan SP BV – CHALCO European Warrants May 2021 A | 40,000,000 | 2020/11/27 | 2021/05/31 | 10.52 |
| 19039 | J P Morgan SP BV – CITIC Bank European Warrants Nov 2021 A | 50,000,000 | 2020/11/27 | 2021/11/30 | 12.70 |
| 19050 | J P Morgan SP BV – CSPC Pharma European Warrants Apr 2021 B | 70,000,000 | 2020/11/27 | 2021/04/30 | 10.57 |
| 19021 | J P Morgan SP BV – Genscript Bio Euro Warrants Jul 2021 B | 60,000,000 | 2020/11/27 | 2021/07/30 | 15.06 |
| 19051 | J P Morgan SP BV – HKEX European Warrants Mar 2021 D | 200,000,000 | 2020/11/27 | 2021/03/02 | 30.00 |
| 19024 | J P Morgan SP BV – HSBC European Warrants Jun 2021 B | 100,000,000 | 2020/11/27 | 2021/06/02 | 25.20 |
| 19044 | J P Morgan SP BV – HTSC European Warrants Jun 2021 A | 60,000,000 | 2020/11/27 | 2021/06/30 | 10.26 |
| 19030 | J P Morgan SP BV – IGG European Warrants Jun 2021 A | 40,000,000 | 2020/11/27 | 2021/06/02 | 10.12 |
| 19042 | J P Morgan SP BV – Innovent Bio European Warrants Apr 2021 A | 70,000,000 | 2020/11/27 | 2021/04/30 | 11.20 |
| 10172 | J P Morgan SP BV – N225 Index Euro Wts Jun 2021 B | 200,000,000 | 2020/11/27 | 2021/06/11 | 51.20 |
| 10171 | J P Morgan SP BV – N225 Index Euro Put Wts Jun 2021 B | 200,000,000 | 2020/11/27 | 2021/06/11 | 51.00 |
| 19036 | J P Morgan SP BV – New World Dev European Wts Jul 2021 A | 50,000,000 | 2020/11/27 | 2021/07/30 | 12.60 |
| 19040 | J P Morgan SP BV – Tencent European Put Warrants Mar 2021 B | 250,000,000 | 2020/11/27 | 2021/03/31 | 45.50 |
| 18911 | Macquarie Bank Ltd. – Alibaba European Warrants Jun 2021 C | 40,000,000 | 2020/11/27 | 2021/06/02 | 12.72 |
| 18932 | Macquarie Bank Ltd. – CM Bank European Warrants Jun 2021 A | 40,000,000 | 2020/11/27 | 2021/06/02 | 10.32 |
| 18936 | Macquarie Bank Ltd. – HKEX European Warrants Aug 2021 A | 40,000,000 | 2020/11/27 | 2021/08/03 | 10.04 |
| 18935 | Macquarie Bank Ltd. – Kingboard Hldg European Wts Jul 2021 A | 40,000,000 | 2020/11/27 | 2021/07/16 | 10.00 |
| 18913 | Macquarie Bank Ltd. – NetEase European Warrants Jun 2021 A | 11,500,000 | 2020/11/27 | 2021/06/02 | 10.79 |
| 18910 | Macquarie Bank Ltd. – Ping An European Warrants Jun 2021 B | 30,500,000 | 2020/11/27 | 2021/06/02 | 10.61 |
| 18916 | Macquarie Bank Ltd. – Xiaomi European Warrants Jul 2021 B | 26,000,000 | 2020/11/27 | 2021/07/05 | 10.61 |
| 18931 | Macquarie Bank Ltd. – Xiaomi European Warrants Jul 2021 C | 32,000,000 | 2020/11/27 | 2021/07/05 | 10.11 |
| 18938 | Morgan Stanley Asia Products Ltd. – CAM CSI Euro Wts May21 A | 68,000,000 | 2020/11/27 | 2021/05/24 | 10.47 |

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|-------|---|--------------|--------------|------------|---------------------------|
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| 18939 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 B | 68,000,000 | 2020/11/27 | 2021/05/03 | 19.11 |
| 18948 | UBS AG – BYD Company European Warrants May 2021 D | 150,000,000 | 2020/11/27 | 2021/05/26 | 37.50 |
| 18949 | UBS AG – CKH Holdings European Warrants Jun 2021 A | 60,000,000 | 2020/11/27 | 2021/06/30 | 15.00 |
| 18957 | UBS AG – Ch Oilfield European Warrants Sep 2021 A | 50,000,000 | 2020/11/27 | 2021/09/09 | 10.00 |
| 18950 | UBS AG – A50 China European Warrants Sep 2021 A | 100,000,000 | 2020/11/27 | 2021/09/23 | 15.00 |
| 18954 | UBS AG – Ping An European Warrants Feb 2021 C | 100,000,000 | 2020/11/27 | 2021/02/26 | 15.00 |
| 19014 | Bank Vontobel – CLP Holdings European Warrants Jul 2021 A | 40,000,000 | 2020/11/27 | 2021/07/05 | 12.40 |
| 19015 | Bank Vontobel – HKEX European Put Warrants Jun 2021 A | 40,000,000 | 2020/11/27 | 2021/06/02 | 10.00 |
| 19010 | Bank Vontobel – HSCEI European Warrants Jun 2021 A | 80,000,000 | 2020/11/27 | 2021/06/29 | 12.00 |
| 19012 | Bank Vontobel – HSCEI European Put Warrants Jun 2021 A | 80,000,000 | 2020/11/27 | 2021/06/29 | 12.00 |
| 19019 | Bank Vontobel – Xiaomi European Warrants Mar 2021 C | 70,000,000 | 2020/11/27 | 2021/03/01 | 10.50 |
| 19061 | BOCI Asia Ltd. – HSBC European Warrants Jun 2021 A | 70,000,000 | 2020/11/30 | 2021/06/23 | 15.40 |
| 19095 | BNP Paribas Issuance B.V. – Alibaba European Wts Apr 2021 H | 60,000,000 | 2020/11/30 | 2021/04/07 | 12.00 |
| 19104 | BNP Paribas Issuance B.V. – Alibaba European Wts Aug 2021 B | 60,000,000 | 2020/11/30 | 2021/08/03 | 15.00 |
| 19088 | BNP Paribas Issuance B.V. – Alibaba European Wts Sep 2021 A | 60,000,000 | 2020/11/30 | 2021/09/02 | 15.00 |
| 19106 | BNP Paribas Issuance B.V. – HSBC European Wts Jul 2021 B | 40,000,000 | 2020/11/30 | 2021/07/05 | 10.40 |
| 19107 | BNP Paribas Issuance B.V. – HSBC European Wts Oct 2021 A | 40,000,000 | 2020/11/30 | 2021/10/05 | 11.20 |
| 19105 | BNP Paribas Issuance B.V. – Ping An European Wt Mar 2021 C | 70,000,000 | 2020/11/30 | 2021/03/02 | 10.50 |
| 19117 | Credit Suisse AG – AK Medical European Warrants May 2021 B | 35,000,000 | 2020/11/30 | 2021/05/31 | 10.15 |
| 19161 | Credit Suisse AG – BOC HK European Warrants May 2021 A | 50,000,000 | 2020/11/30 | 2021/05/31 | 12.50 |
| 19162 | Credit Suisse AG – BOC HK European Put Warrants May 2021 A | 60,000,000 | 2020/11/30 | 2021/05/31 | 15.00 |
| 19118 | Credit Suisse AG – Ch Oilfield European Warrants Jul 2021 A | 50,000,000 | 2020/11/30 | 2021/07/30 | 12.50 |
| 19157 | Credit Suisse AG – HSBC European Wts Apr 2021 A | 100,000,000 | 2020/11/30 | 2021/04/27 | 15.00 |
| 19121 | Credit Suisse AG – HSBC European Wts Jun 2021 B | 100,000,000 | 2020/11/30 | 2021/06/25 | 15.00 |
| 19158 | Credit Suisse AG – HSBC European Put Warrants Jun 2021 A | 250,000,000 | 2020/11/30 | 2021/06/25 | 37.50 |
| 19160 | Credit Suisse AG – Hang Seng Bank European Put Wts May 2021 A | 40,000,000 | 2020/11/30 | 2021/05/31 | 10.00 |
| 19119 | Credit Suisse AG – Mengniu Dairy European Wts May 2021 A | 50,000,000 | 2020/11/30 | 2021/05/31 | 12.50 |
| 19120 | Credit Suisse AG – Vitasoy Intl European Warrants May 2021 A | 30,000,000 | 2020/11/30 | 2021/05/31 | 10.20 |
| 19074 | Guotai Junan – HSBC European Warrants Apr 2021 C | 100,000,000 | 2020/11/30 | 2021/04/27 | 18.00 |
| 19077 | Guotai Junan – HSBC European Warrants Jul 2021 A | 100,000,000 | 2020/11/30 | 2021/07/05 | 18.80 |
| 19060 | Guotai Junan – HSBC European Put Warrants May 2021 A | 100,000,000 | 2020/11/30 | 2021/05/24 | 20.30 |
| 19192 | Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2021 C | 150,000,000 | 2020/11/30 | 2021/03/24 | 36.90 |
| 19186 | Goldman Sachs SP (Asia) – Lenovo European Wts Mar 2021 A | 80,000,000 | 2020/11/30 | 2021/03/01 | 12.00 |
| 19189 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 H | 150,000,000 | 2020/11/30 | 2021/03/01 | 23.10 |
| 19195 | Goldman Sachs SP (Asia) – PA Gooddoctor Euro Wts Apr 2021 A | 100,000,000 | 2020/11/30 | 2021/04/22 | 15.00 |
| 19167 | Haitong Int'l Sec – HSBC European Warrants Apr 2021 A | 70,000,000 | 2020/11/30 | 2021/04/27 | 10.50 |
| 19164 | Haitong Int'l Sec – HSBC European Put Warrants May 2021 A | 70,000,000 | 2020/11/30 | 2021/05/21 | 14.00 |
| 19163 | Haitong Int'l Sec – Ping An European Warrants Jun 2021 A | 60,000,000 | 2020/11/30 | 2021/06/01 | 15.00 |
| 19147 | J P Morgan SP BV – CITIC Sec European Warrants Mar 2021 A | 60,000,000 | 2020/11/30 | 2021/03/31 | 10.14 |
| 19150 | J P Morgan SP BV – Ch LongYuan European Warrants Oct 2021 A | 60,000,000 | 2020/11/30 | 2021/10/29 | 15.06 |
| 19134 | J P Morgan SP BV – Sinopec Corp European Warrants May 2021 A | 60,000,000 | 2020/11/30 | 2021/05/31 | 12.06 |
| 19136 | J P Morgan SP BV – Chinares Cement Euro Warrants May 2021 A | 60,000,000 | 2020/11/30 | 2021/05/24 | 14.16 |
| 19126 | J P Morgan SP BV – HSBC European Put Warrants Jun 2021 A | 300,000,000 | 2020/11/30 | 2021/06/30 | 45.60 |
| 19156 | J P Morgan SP BV – HSI European Warrants Jul 2021 A | 400,000,000 | 2020/11/30 | 2021/07/29 | 100.80 |

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| 19127 | J P Morgan SP BV – HSI European Put Warrants Jul 2021 B | 400,000,000 | 2020/11/30 | 2021/07/29 | 101.60 |
| 19132 | J P Morgan SP BV – HSI European Put Warrants Jul 2021 C | 400,000,000 | 2020/11/30 | 2021/07/29 | 100.00 |
| 19152 | J P Morgan SP BV – PetroChina European Warrants Jul 2021 A | 60,000,000 | 2020/11/30 | 2021/07/30 | 15.06 |
| 19129 | J P Morgan SP BV – PetroChina European Put Wts May 2021 A | 60,000,000 | 2020/11/30 | 2021/05/31 | 15.06 |
| 19154 | J P Morgan SP BV – Ping An European Warrants Jun 2021 B | 100,000,000 | 2020/11/30 | 2021/06/30 | 25.20 |
| 19151 | J P Morgan SP BV – Ping An European Put Warrants May 2021 A | 100,000,000 | 2020/11/30 | 2021/05/31 | 27.30 |
| 19124 | J P Morgan SP BV – SPDR Gold Trust European Wts Aug 2021 A | 70,000,000 | 2020/11/30 | 2021/08/31 | 10.71 |
| 19153 | J P Morgan SP BV – Stanchart European Warrants May 2021 A | 40,000,000 | 2020/11/30 | 2021/05/31 | 15.40 |
| 19138 | J P Morgan SP BV – Techtronic European Warrants May 2021 A | 60,000,000 | 2020/11/30 | 2021/05/31 | 11.04 |
| 19137 | J P Morgan SP BV – ZA Online European Warrants Dec 2021 A | 100,000,000 | 2020/11/30 | 2021/12/23 | 16.40 |
| 19073 | Macquarie Bank Ltd. – Alibaba European Warrants Jun 2021 D | 38,000,000 | 2020/11/30 | 2021/06/02 | 10.07 |
| 19064 | Macquarie Bank Ltd. – CSPC Pharma European Wts Sep 2021 A | 40,000,000 | 2020/11/30 | 2021/09/02 | 10.00 |
| 19070 | Macquarie Bank Ltd. – Shenzhen Expressway Euro Wts Jul2021 A | 18,000,000 | 2020/11/30 | 2021/07/09 | 10.24 |
| 19086 | Morgan Stanley Asia Products Ltd. – PA Gooddoctor Eu Wt Mar21A | 68,000,000 | 2020/11/30 | 2021/03/24 | 10.20 |
| 19079 | SG Issuer – CC Bank European Warrants Jul 2021 B | 60,000,000 | 2020/11/30 | 2021/07/30 | 15.00 |
| 19087 | SG Issuer – HSBC European Warrants Jun 2021 A | 80,000,000 | 2020/11/30 | 2021/06/25 | 13.20 |
| 19081 | SG Issuer – HSBC European Put Warrants May 2021 A | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 19170 | UBS AG – Geely Auto European Put Warrants Apr 2021 B | 80,000,000 | 2020/11/30 | 2021/04/28 | 12.00 |
| 19176 | UBS AG – HSBC European Warrants May 2021 A | 100,000,000 | 2020/11/30 | 2021/05/31 | 25.00 |
| 19173 | UBS AG – HSI European Put Warrants May 2021 C | 300,000,000 | 2020/11/30 | 2021/05/28 | 45.00 |
| 19174 | UBS AG – HSI European Put Warrants Jul 2021 A | 300,000,000 | 2020/11/30 | 2021/07/29 | 45.00 |
| 19177 | UBS AG – Tencent European Warrants Apr 2021 E | 300,000,000 | 2020/11/30 | 2021/04/27 | 45.00 |
| 19175 | UBS AG – Xinyi Solar European Warrants May 2021 A | 60,000,000 | 2020/11/30 | 2021/05/24 | 10.20 |
| 19112 | Bank Vontobel – Sinopec Corp European Warrants Jul 2021 B | 40,000,000 | 2020/11/30 | 2021/07/05 | 10.00 |
| 19111 | Bank Vontobel – CSPC Pharma European Warrants Jun 2021 A | 50,000,000 | 2020/11/30 | 2021/06/02 | 12.50 |
| 19114 | Bank Vontobel – HSBC European Warrants May 2021 B | 70,000,000 | 2020/11/30 | 2021/05/04 | 11.20 |
| 19115 | Bank Vontobel – HSI European Put Warrants May 2021 A | 80,000,000 | 2020/11/30 | 2021/05/28 | 12.00 |
| 14349# | Bank Vontobel – Conch Cement European Warrants Jun 2021 A | 140,000,000 | 2020/11/30 | 2021/06/25 | 10.22 |
| 19228 | BOCI Asia Ltd. – Sinopec Corp European Warrants Jun 2021 A | 70,000,000 | 2020/12/01 | 2021/06/01 | 17.50 |
| 19235 | BOCI Asia Ltd. – PA Gooddoctor European Warrants Apr 2021 A | 80,000,000 | 2020/12/01 | 2021/04/22 | 12.00 |
| 19233 | BOCI Asia Ltd. – Tencent European Warrants Apr 2021 B | 100,000,000 | 2020/12/01 | 2021/04/23 | 17.00 |
| 19269 | Credit Suisse AG – Bud Apac European Warrants Jun 2021 A | 60,000,000 | 2020/12/01 | 2021/06/23 | 10.20 |
| 19258 | Credit Suisse AG – Sinopec Corp European Warrants May 2021 A | 50,000,000 | 2020/12/01 | 2021/05/31 | 12.50 |
| 19243 | Credit Suisse AG – CPIC European Warrants May 2021 B | 40,000,000 | 2020/12/01 | 2021/05/31 | 10.00 |
| 19264 | Credit Suisse AG – China Res Beer Euro Warrants May 2021 A | 30,000,000 | 2020/12/01 | 2021/05/24 | 10.20 |
| 19245 | Credit Suisse AG – China Shenhua European Wts May 2021 A | 40,000,000 | 2020/12/01 | 2021/05/31 | 10.00 |
| 19270 | Credit Suisse AG – HSI European Warrants Jun 2021 B | 150,000,000 | 2020/12/01 | 2021/06/29 | 22.50 |
| 19262 | Credit Suisse AG – Innovent Bio European Warrants Jun 2021 A | 70,000,000 | 2020/12/01 | 2021/06/30 | 17.50 |
| 19259 | Credit Suisse AG – Luye Pharma European Warrants Oct 2021 A | 70,000,000 | 2020/12/01 | 2021/10/22 | 11.90 |
| 19265 | Credit Suisse AG – SMIC European Warrants May 2021 A | 70,000,000 | 2020/12/01 | 2021/05/31 | 28.00 |
| 19244 | Credit Suisse AG – Yanzhou Coal European Warrants Nov 2021 A | 60,000,000 | 2020/12/01 | 2021/11/30 | 15.00 |
| 19293 | Citigroup Global – BYD Company European Warrants Apr 2021 B | 100,000,000 | 2020/12/01 | 2021/04/29 | 30.00 |
| 19290 | Citigroup Global – Meituan European Put Warrants Apr 2021 B | 100,000,000 | 2020/12/01 | 2021/04/29 | 20.30 |
| 19303 | Citigroup Global – Sunny Optical Euro Warrants Apr 2021 A | 100,000,000 | 2020/12/01 | 2021/04/29 | 16.40 |

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| 19289 | Citigroup Global – Tencent European Warrants Apr 2021 A | 100,000,000 | 2020/12/01 | 2021/04/29 | 38.00 |
| 19283 | Goldman Sachs SP (Asia) – AAC Tech Euro Wts Sep 2021 B | 80,000,000 | 2020/12/01 | 2021/09/23 | 12.00 |
| 19286 | Goldman Sachs SP (Asia) – CKH Holdings Euro Wts Jun 2021 B | 70,000,000 | 2020/12/01 | 2021/06/30 | 17.50 |
| 19287 | Goldman Sachs SP (Asia) – HSBC European Warrants Apr 2021 A | 80,000,000 | 2020/12/01 | 2021/04/27 | 12.00 |
| 19288 | Goldman Sachs SP (Asia) – HSBC European Put Wts Jun 2021 A | 150,000,000 | 2020/12/01 | 2021/06/25 | 22.50 |
| 19285 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 B | 150,000,000 | 2020/12/01 | 2021/03/19 | 22.50 |
| 19282 | Goldman Sachs SP (Asia) – Xiaomi European Wts Mar 2021 I | 80,000,000 | 2020/12/01 | 2021/03/24 | 12.64 |
| 19203 | HK Bank – BYD Company European Warrants May 2021 C | 80,000,000 | 2020/12/01 | 2021/05/31 | 20.00 |
| 19210 | HK Bank – Geely Auto European Warrants May 2021 A | 80,000,000 | 2020/12/01 | 2021/05/31 | 20.80 |
| 19204 | HK Bank – HKEX European Put Warrants May 2021 A | 100,000,000 | 2020/12/01 | 2021/05/31 | 25.00 |
| 19215 | HK Bank – HSCEI European Warrants Jun 2021 A | 200,000,000 | 2020/12/01 | 2021/06/29 | 30.00 |
| 19205 | HK Bank – HSCEI European Warrants Sep 2021 A | 200,000,000 | 2020/12/01 | 2021/09/29 | 30.00 |
| 19206 | HK Bank – HSCEI European Put Warrants Jun 2021 A | 200,000,000 | 2020/12/01 | 2021/06/29 | 30.00 |
| 19216 | HK Bank – HSCEI European Put Warrants Aug 2021 A | 200,000,000 | 2020/12/01 | 2021/08/30 | 30.00 |
| 19219 | HK Bank – PA Gooddoctor European Warrants May 2021 B | 100,000,000 | 2020/12/01 | 2021/05/06 | 15.00 |
| 19236 | Haitong Int'l Sec – Tencent European Warrants Apr 2021 C | 100,000,000 | 2020/12/01 | 2021/04/23 | 19.00 |
| 19248 | J P Morgan SP BV – AK Medical European Warrants Jul 2021 A | 50,000,000 | 2020/12/01 | 2021/07/30 | 12.65 |
| 19255 | J P Morgan SP BV – CNBM European Warrants Apr 2021 A | 80,000,000 | 2020/12/01 | 2021/04/30 | 12.48 |
| 19247 | J P Morgan SP BV – Chinasoft Int'l Euro Warrants Jul 2021 A | 60,000,000 | 2020/12/01 | 2021/07/30 | 15.12 |
| 19249 | J P Morgan SP BV – Greentown Ser European Warrants Mar2021 A | 70,000,000 | 2020/12/01 | 2021/03/12 | 10.50 |
| 19251 | J P Morgan SP BV – HSBC European Warrants Apr 2021 A | 100,000,000 | 2020/12/01 | 2021/04/30 | 15.10 |
| 19256 | J P Morgan SP BV – HSBC European Warrants Jun 2021 C | 100,000,000 | 2020/12/01 | 2021/06/30 | 15.00 |
| 19246 | J P Morgan SP BV – HSBC European Put Warrants Jun 2021 B | 100,000,000 | 2020/12/01 | 2021/06/01 | 30.40 |
| 19254 | J P Morgan SP BV – SD Gold European Warrants Apr 2021 A | 70,000,000 | 2020/12/01 | 2021/04/16 | 11.27 |
| 19227 | Macquarie Bank Ltd. – Ping An European Put Wts Jun 2021 A | 22,000,000 | 2020/12/01 | 2021/06/02 | 10.16 |
| 19224 | Macquarie Bank Ltd. – Sino Biopharm European Wts Jan 2022 A | 39,400,000 | 2020/12/01 | 2022/01/04 | 10.01 |
| 19223 | Macquarie Bank Ltd. – Tencent European Warrants Jun 2021 D | 15,500,000 | 2020/12/01 | 2021/06/18 | 10.03 |
| 19217 | Macquarie Bank Ltd. – Travelsky Tech European Wts Aug 2021 A | 35,500,000 | 2020/12/01 | 2021/08/13 | 10.08 |
| 19218 | Morgan Stanley Asia Products Ltd. – Geely Auto Eu Wt Jul21 A | 80,000,000 | 2020/12/01 | 2021/07/02 | 21.36 |
| 19199 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts May 2021 A | 68,000,000 | 2020/12/01 | 2021/05/31 | 17.00 |
| 19201 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts Jun 2021 D | 80,000,000 | 2020/12/01 | 2021/06/25 | 12.32 |
| 19197 | Morgan Stanley Asia Products Ltd. – Zijin Eu Wt Jul 2021 A | 68,000,000 | 2020/12/01 | 2021/07/23 | 10.20 |
| 19240 | UBS AG – CNBM European Wts Apr 2021 A | 60,000,000 | 2020/12/01 | 2021/04/26 | 12.00 |
| 19238 | UBS AG – HSBC European Warrants Jun 2021 B | 100,000,000 | 2020/12/01 | 2021/06/21 | 25.00 |
| 19280 | Bank Vontobel – Ali Health European Warrants Mar 2021 A | 60,000,000 | 2020/12/01 | 2021/03/01 | 10.20 |
| 19277 | Bank Vontobel – Bud Apac European Warrants Jun 2021 A | 50,000,000 | 2020/12/01 | 2021/06/23 | 10.00 |
| 19273 | Bank Vontobel – HK & China Gas European Warrants Oct 2021 A | 40,000,000 | 2020/12/01 | 2021/10/04 | 10.00 |
| 19272 | Bank Vontobel – Jiangxi Copper European Warrants May 2021 A | 40,000,000 | 2020/12/01 | 2021/05/12 | 10.40 |
| 19278 | Bank Vontobel – PA Gooddoctor European Warrants Apr 2021 A | 70,000,000 | 2020/12/01 | 2021/04/22 | 10.50 |
| 19276 | Bank Vontobel – Ping An European Warrants Jun 2021 B | 50,000,000 | 2020/12/01 | 2021/06/02 | 12.50 |
| 19275 | Bank Vontobel – Sands China Ltd European Warrants Jul 2021 A | 40,000,000 | 2020/12/01 | 2021/07/05 | 10.00 |
| 19332 | BOCI Asia Ltd. – CC Bank European Warrants May 2021 A | 70,000,000 | 2020/12/02 | 2021/05/24 | 11.90 |
| 19330 | BOCI Asia Ltd. – PetroChina European Warrants Jul 2021 A | 70,000,000 | 2020/12/02 | 2021/07/05 | 13.30 |
| 19333 | BOCI Asia Ltd. – Tencent European Put Wts Apr 2021 A | 100,000,000 | 2020/12/02 | 2021/04/26 | 27.00 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 10179 | BNP Paribas Issuance B.V. – USD/CNH European Wts Jun 2021 A | 50,000,000 | 2020/12/02 | 2021/06/21 | 12.50 |
| 10180 | BNP Paribas Issuance B.V. – USD/CNH Euro Put Wts Jun 2021 A | 50,000,000 | 2020/12/02 | 2021/06/21 | 12.50 |
| 10175 | BNP Paribas Issuance B.V. – USD/YEN Euro Wts Jun 2021 A | 50,000,000 | 2020/12/02 | 2021/06/21 | 12.50 |
| 10178 | BNP Paribas Issuance B.V. – USD/YEN Eur Put Wts Jun 2021 A | 50,000,000 | 2020/12/02 | 2021/06/21 | 12.50 |
| 19379 | Credit Suisse AG – Ali Health European Warrants Jun 2021 A | 40,000,000 | 2020/12/02 | 2021/06/01 | 10.00 |
| 19383 | Credit Suisse AG – A-Living European Warrants Jun 2021 A | 30,000,000 | 2020/12/02 | 2021/06/01 | 10.20 |
| 19375 | Credit Suisse AG – HSBC European Put Warrants Jun 2021 B | 80,000,000 | 2020/12/02 | 2021/06/01 | 20.00 |
| 19378 | Credit Suisse AG – Hang Seng Bank European Wts Jun 2021 A | 80,000,000 | 2020/12/02 | 2021/06/25 | 12.00 |
| 19370 | Credit Suisse AG – Meituan European Warrants Mar 2021 G | 200,000,000 | 2020/12/02 | 2021/03/26 | 34.00 |
| 19386 | Credit Suisse AG – PICC Group European Warrants Jun 2021 A | 50,000,000 | 2020/12/02 | 2021/06/01 | 12.50 |
| 19369 | Citigroup Global – CNOOC European Warrants Dec 2021 C | 100,000,000 | 2020/12/02 | 2021/12/29 | 25.00 |
| 19402 | Citigroup Global – CSPC Pharma European Warrants Dec 2021 A | 100,000,000 | 2020/12/02 | 2021/12/29 | 27.00 |
| 19404 | Citigroup Global – HSBC European Warrants Apr 2021 A | 100,000,000 | 2020/12/02 | 2021/04/29 | 15.00 |
| 19368 | Citigroup Global – Ping An European Warrants Dec 2022 A | 100,000,000 | 2020/12/02 | 2022/12/29 | 25.00 |
| 19401 | Citigroup Global – Tencent European Warrants Apr 2021 B | 100,000,000 | 2020/12/02 | 2021/04/29 | 34.50 |
| 19410 | Goldman Sachs SP (Asia) – CKA European Warrants Apr 2021 A | 80,000,000 | 2020/12/02 | 2021/04/27 | 12.00 |
| 19411 | Goldman Sachs SP (Asia) – HSBC European Warrants Jun 2021 A | 80,000,000 | 2020/12/02 | 2021/06/25 | 12.00 |
| 19407 | Goldman Sachs SP (Asia) – HSTECH European Put Wts May 2021 A | 150,000,000 | 2020/12/02 | 2021/05/28 | 22.50 |
| 19414 | Goldman Sachs SP (Asia) – ICBC European Warrants May 2021 A | 80,000,000 | 2020/12/02 | 2021/05/21 | 13.92 |
| 19408 | Goldman Sachs SP (Asia) – Sunny Optical Euro Wts Jun 2021 A | 80,000,000 | 2020/12/02 | 2021/06/23 | 12.00 |
| 19412 | Goldman Sachs SP (Asia) – Tencent European Wts Apr 2021 C | 150,000,000 | 2020/12/02 | 2021/04/23 | 22.50 |
| 19389 | Haitong Int'l Sec – AIA European Put Warrants Dec 2021 A | 40,000,000 | 2020/12/02 | 2021/12/29 | 10.00 |
| 19398 | Haitong Int'l Sec – HSI European Put Warrants May 2021 B | 400,000,000 | 2020/12/02 | 2021/05/28 | 60.00 |
| 19399 | Haitong Int'l Sec – HSI European Put Warrants Jun 2021 B | 400,000,000 | 2020/12/02 | 2021/06/29 | 60.00 |
| 19390 | Haitong Int'l Sec – Sunny Optical European Wts Mar 2021 B | 70,000,000 | 2020/12/02 | 2021/03/24 | 10.50 |
| 19397 | Haitong Int'l Sec – Sunny Optical Euro Put Wts May 2021 A | 70,000,000 | 2020/12/02 | 2021/05/05 | 10.50 |
| 19365 | J P Morgan SP BV – CK Asset European Warrants Apr 2021 A | 60,000,000 | 2020/12/02 | 2021/04/30 | 13.56 |
| 19367 | J P Morgan SP BV – Geely Auto European Warrants May 2021 C | 80,000,000 | 2020/12/02 | 2021/05/04 | 14.32 |
| 19366 | J P Morgan SP BV – Jiangxi Copper European Wts Apr 2021 C | 60,000,000 | 2020/12/02 | 2021/04/27 | 11.46 |
| 19362 | J P Morgan SP BV – JXR European Warrants Aug 2021 A | 60,000,000 | 2020/12/02 | 2021/08/31 | 15.00 |
| 19329 | Macquarie Bank Ltd. – Air China European Warrants May 2022 B | 40,000,000 | 2020/12/02 | 2022/05/03 | 10.00 |
| 19319 | Macquarie Bank Ltd. – CHALCO European Warrants Oct 2021 A | 19,000,000 | 2020/12/02 | 2021/10/05 | 10.22 |
| 19321 | Macquarie Bank Ltd. – CITIC Sec European Warrants Oct 2021 A | 40,000,000 | 2020/12/02 | 2021/10/05 | 10.16 |
| 19334 | Macquarie Bank Ltd. – CR Beer European Warrants Jul 2022 A | 40,000,000 | 2020/12/02 | 2022/07/04 | 10.16 |
| 19323 | Macquarie Bank Ltd. – JXR European Warrants Sep 2021 A | 40,000,000 | 2020/12/02 | 2021/09/10 | 10.04 |
| 19387 | Morgan Stanley Asia Products Ltd. – Ali Health Eu Wt Jul 21A | 68,000,000 | 2020/12/02 | 2021/07/02 | 17.00 |
| 19318 | Morgan Stanley Asia Products Ltd. – Country Gdn Eu Wt Jun21A | 68,000,000 | 2020/12/02 | 2021/06/23 | 10.20 |
| 19307 | Morgan Stanley Asia Products Ltd. – HSBC Euro Wts May 2021 B | 68,000,000 | 2020/12/02 | 2021/05/24 | 20.74 |
| 19311 | Morgan Stanley Asia Products Ltd. – Innovent Bio Eu Wt Jun21A | 58,000,000 | 2020/12/02 | 2021/06/01 | 14.50 |
| 19310 | Morgan Stanley Asia Products Ltd. – Sands Eur Wts Jul 2021 A | 60,000,000 | 2020/12/02 | 2021/07/23 | 10.20 |
| 19308 | Morgan Stanley Asia Products Ltd. – ZA Online Eur Wts Sep21A | 68,000,000 | 2020/12/02 | 2021/09/23 | 10.20 |
| 19351 | UBS AG – CHALCO European Warrants May 2021 A | 40,000,000 | 2020/12/02 | 2021/05/17 | 10.00 |
| 19361 | UBS AG – CC Bank European Warrants Apr 2021 A | 70,000,000 | 2020/12/02 | 2021/04/27 | 20.30 |
| 19353 | UBS AG – China Life European Warrants Sep 2021 A | 150,000,000 | 2020/12/02 | 2021/09/27 | 22.50 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 19360 | UBS AG – Sinopec Corp European Warrants Sep 2021 A | 70,000,000 | 2020/12/02 | 2021/09/23 | 12.60 |
| 19354 | UBS AG – CPIC European Warrants May 2021 A | 50,000,000 | 2020/12/02 | 2021/05/24 | 10.00 |
| 19352 | UBS AG – Jiangxi Copper European Warrants May 2021 A | 60,000,000 | 2020/12/02 | 2021/05/11 | 15.00 |
| 19347 | UBS AG – Tencent European Warrants Apr 2021 F | 300,000,000 | 2020/12/02 | 2021/04/23 | 45.00 |
| 19480 | BOCI Asia Ltd. – HSCEI European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/03 | 2021/06/29 | 15.00 |
| 19481 | BOCI Asia Ltd. – HSI European Warrants Jun 2021 A | 150,000,000 | 2020/12/03 | 2021/06/29 | 22.50 |
| 19485 | BOCI Asia Ltd. – HSI European Put Warrants Jun 2021 A | 150,000,000 | 2020/12/03 | 2021/06/29 | 22.50 |
| 19477 | BOCI Asia Ltd. – ICBC European Warrants Jul 2021 B | 70,000,000 | 2020/12/03 | 2021/07/23 | 19.60 |
| 19472 | BNP Paribas Issuance B.V. – CC Bank Euro Wts Mar 2021 B | 60,000,000 | 2020/12/03 | 2021/03/02 | 11.40 |
| 19473 | BNP Paribas Issuance B.V. – CC Bank Euro Wts Aug 2021 A | 50,000,000 | 2020/12/03 | 2021/08/03 | 15.00 |
| 19475 | BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Jul 2021 A | 40,000,000 | 2020/12/03 | 2021/07/05 | 13.20 |
| 19468 | BNP Paribas Issuance B.V. – ICBC European Wts Aug 2021 A | 50,000,000 | 2020/12/03 | 2021/08/03 | 15.00 |
| 19471 | BNP Paribas Issuance B.V. – ICBC European Wts Oct 2021 A | 50,000,000 | 2020/12/03 | 2021/10/05 | 15.00 |
| 19474 | BNP Paribas Issuance B.V. – Ping An European Wt Jul 2021 A | 40,000,000 | 2020/12/03 | 2021/07/05 | 10.40 |
| 19561 | Credit Suisse AG – CC Bank European Warrants May 2021 A | 60,000,000 | 2020/12/03 | 2021/05/10 | 12.00 |
| 19562 | Credit Suisse AG – CITIC Sec European Warrants Jun 2021 A | 80,000,000 | 2020/12/03 | 2021/06/02 | 20.00 |
| 19551 | Credit Suisse AG – CNOOC European Warrants Jul 2021 B | 80,000,000 | 2020/12/03 | 2021/07/30 | 20.00 |
| 19565 | Credit Suisse AG – CSC European Warrants Sep 2021 A | 80,000,000 | 2020/12/03 | 2021/09/30 | 20.00 |
| 19567 | Credit Suisse AG – Kingdee Int'l Euro Warrants Jun 2021 A | 40,000,000 | 2020/12/03 | 2021/06/02 | 12.00 |
| 19556 | Credit Suisse AG – Nine Dragons European Warrants Oct 2021 A | 80,000,000 | 2020/12/03 | 2021/10/29 | 20.00 |
| 19553 | Credit Suisse AG – PetroChina European Warrants Jun 2021 A | 50,000,000 | 2020/12/03 | 2021/06/02 | 12.50 |
| 19559 | Credit Suisse AG – Wynn Macau European Warrants Jul 2021 A | 60,000,000 | 2020/12/03 | 2021/07/30 | 15.00 |
| 19571 | Citigroup Global – Geely Auto European Warrants May 2021 D | 100,000,000 | 2020/12/03 | 2021/05/03 | 19.30 |
| 19570 | Citigroup Global – SMIC European Warrants Mar 2021 C | 100,000,000 | 2020/12/03 | 2021/03/04 | 18.00 |
| 19577 | Goldman Sachs SP (Asia) – Conch Cement Euro Wts Apr 2021 A | 70,000,000 | 2020/12/03 | 2021/04/23 | 10.50 |
| 19576 | Goldman Sachs SP (Asia) – Alibaba European Wts Mar 2021 N | 150,000,000 | 2020/12/03 | 2021/03/30 | 23.25 |
| 19575 | Goldman Sachs SP (Asia) – CC Bank European Wts May 2021 A | 80,000,000 | 2020/12/03 | 2021/05/24 | 12.00 |
| 19582 | Goldman Sachs SP (Asia) – CPIC European Warrants May 2021 A | 70,000,000 | 2020/12/03 | 2021/05/24 | 11.34 |
| 19573 | Goldman Sachs SP (Asia) – CSPC Pharma Euro Wts May 2021 A | 80,000,000 | 2020/12/03 | 2021/05/18 | 12.00 |
| 19457 | HK Bank – Ali Health European Warrants Jun 2021 A | 100,000,000 | 2020/12/03 | 2021/06/30 | 25.00 |
| 19488 | HK Bank – SPDR Gold Trust European Warrants May 2021 B | 100,000,000 | 2020/12/03 | 2021/05/24 | 15.00 |
| 19548 | Haitong Int'l Sec – Conch Cement Euro Warrants Apr 2021 A | 50,000,000 | 2020/12/03 | 2021/04/27 | 11.00 |
| 19545 | Haitong Int'l Sec – CSPC Pharma European Warrants May 2021 A | 70,000,000 | 2020/12/03 | 2021/05/20 | 10.50 |
| 19549 | Haitong Int'l Sec – HSI European Warrants Jul 2021 A | 400,000,000 | 2020/12/03 | 2021/07/29 | 88.00 |
| 19544 | Haitong Int'l Sec – ICBC European Warrants Jun 2021 A | 40,000,000 | 2020/12/03 | 2021/06/02 | 10.00 |
| 19503 | J P Morgan SP BV – Ali Health European Warrants Jun 2021 A | 60,000,000 | 2020/12/03 | 2021/06/02 | 15.06 |
| 19515 | J P Morgan SP BV – Bank of China European Wts Mar 2021 A | 100,000,000 | 2020/12/03 | 2021/03/31 | 15.20 |
| 19502 | J P Morgan SP BV – ICBC European Warrants Jun 2021 A | 100,000,000 | 2020/12/03 | 2021/06/03 | 25.10 |
| 19508 | J P Morgan SP BV – Ping An European Warrants May 2021 A | 100,000,000 | 2020/12/03 | 2021/05/31 | 15.10 |
| 19505 | J P Morgan SP BV – SPDR Gold Trust European Wts Jul 2021 A | 100,000,000 | 2020/12/03 | 2021/07/30 | 26.40 |
| 19493 | Macquarie Bank Ltd. – CITIC Bank European Wts Jan 2022 A | 40,000,000 | 2020/12/03 | 2022/01/04 | 10.00 |
| 19490 | Macquarie Bank Ltd. – Huaneng Power European Wts Jul 2021 A | 33,000,000 | 2020/12/03 | 2021/07/05 | 10.16 |
| 19489 | Macquarie Bank Ltd. – Jiangxi Copper European Wts Jul 2021 A | 31,000,000 | 2020/12/03 | 2021/07/05 | 10.14 |
| 19499 | Macquarie Bank Ltd. – NCI European Warrants Jul 2021 A | 35,400,000 | 2020/12/03 | 2021/07/05 | 10.02 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 19436 | Morgan Stanley Asia Products Ltd. – Geely Eu Put Wt May 21 C | 48,000,000 | 2020/12/03 | 2021/05/21 | 12.29 |
| 19445 | Morgan Stanley Asia Products Ltd. – HKEX Euro Wts May 2021 A | 88,000,000 | 2020/12/03 | 2021/05/24 | 34.58 |
| 19425 | Morgan Stanley Asia Products Ltd. – HKEX Eu Put Wts Jun21 A | 68,000,000 | 2020/12/03 | 2021/06/22 | 10.20 |
| 19527 | Morgan Stanley Asia Products Ltd. – HSBC Eur Put Wts Jun21 B | 48,000,000 | 2020/12/03 | 2021/06/03 | 16.32 |
| 19452 | Morgan Stanley Asia Products Ltd. – ICBC Euro Wts Jul 2021 B | 68,000,000 | 2020/12/03 | 2021/07/29 | 17.00 |
| 19450 | Morgan Stanley Asia Products Ltd. – ICBC Euro Put Wts May21A | 68,000,000 | 2020/12/03 | 2021/05/10 | 10.20 |
| 19426 | Morgan Stanley Asia Products Ltd – Jiangxi Copper Eu Wt Apr21A | 58,000,000 | 2020/12/03 | 2021/04/20 | 11.43 |
| 19456 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts Jun21 A | 68,000,000 | 2020/12/03 | 2021/06/03 | 17.00 |
| 19517 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts Jun21 B | 68,000,000 | 2020/12/03 | 2021/06/01 | 17.14 |
| 19453 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts Aug21 A | 108,000,000 | 2020/12/03 | 2021/08/02 | 27.00 |
| 19423 | Morgan Stanley Asia Products Ltd. – SinoBiopharm Eu Wt Sep21A | 68,000,000 | 2020/12/03 | 2021/09/23 | 10.20 |
| 19518 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Jun21 A | 108,000,000 | 2020/12/03 | 2021/06/03 | 44.82 |
| 19523 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Jun21 B | 108,000,000 | 2020/12/03 | 2021/06/03 | 34.67 |
| 19430 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt Jun21A | 68,000,000 | 2020/12/03 | 2021/06/18 | 10.20 |
| 19543 | SG Issuer – China Life European Warrants May 2021 B | 60,000,000 | 2020/12/03 | 2021/05/26 | 13.20 |
| 19541 | SG Issuer – China Life European Put Warrants Mar 2021 A | 100,000,000 | 2020/12/03 | 2021/03/31 | 15.00 |
| 19536 | SG Issuer – CNOOC European Warrants Nov 2021 A | 120,000,000 | 2020/12/03 | 2021/11/22 | 19.80 |
| 19542 | SG Issuer – Ping An European Warrants Apr 2021 A | 60,000,000 | 2020/12/03 | 2021/04/27 | 19.50 |
| 19532 | SG Issuer – Ping An European Put Warrants Jun 2021 A | 60,000,000 | 2020/12/03 | 2021/06/02 | 21.30 |
| 19603 | BOCI Asia Ltd. – China Life European Warrants Aug 2021 A | 80,000,000 | 2020/12/04 | 2021/08/26 | 12.00 |
| 19602 | BOCI Asia Ltd. – SMIC European Warrants Apr 2021 A | 80,000,000 | 2020/12/04 | 2021/04/22 | 17.60 |
| 19671 | Credit Suisse AG – China Feihe European Warrants Jun 2021 A | 40,000,000 | 2020/12/04 | 2021/06/03 | 12.00 |
| 19674 | Credit Suisse AG – JD.com European Warrants May 2021 B | 200,000,000 | 2020/12/04 | 2021/05/21 | 40.00 |
| 19670 | Credit Suisse AG – Jiangxi Copper European Wts Jun 2021 A | 60,000,000 | 2020/12/04 | 2021/06/03 | 15.00 |
| 19673 | Credit Suisse AG – Ping An European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/04 | 2021/06/03 | 35.00 |
| 19672 | Credit Suisse AG – PSBC European Warrants Jun 2021 A | 50,000,000 | 2020/12/04 | 2021/06/30 | 12.50 |
| 19667 | Credit Suisse AG – Sino Biopharm European Wts Jun 2021 A | 60,000,000 | 2020/12/04 | 2021/06/03 | 16.80 |
| 19676 | Citigroup Global – AIA European Put Warrants May 2021 A | 100,000,000 | 2020/12/04 | 2021/05/05 | 33.50 |
| 19685 | Citigroup Global – HSBC European Warrants Jun 2021 B | 100,000,000 | 2020/12/04 | 2021/06/28 | 15.00 |
| 19684 | Citigroup Global – ICBC European Warrants Jul 2021 B | 100,000,000 | 2020/12/04 | 2021/07/29 | 25.50 |
| 19683 | Citigroup Global – Ping An European Warrants Mar 2021 B | 100,000,000 | 2020/12/04 | 2021/03/05 | 29.50 |
| 19677 | Citigroup Global – Ping An European Warrants May 2021 A | 100,000,000 | 2020/12/04 | 2021/05/28 | 24.10 |
| 19594 | Guotai Junan – Meituan European Warrants Apr 2021 D | 100,000,000 | 2020/12/04 | 2021/04/27 | 19.80 |
| 19593 | Guotai Junan – Ping An European Warrants May 2021 A | 100,000,000 | 2020/12/04 | 2021/05/26 | 28.00 |
| 19687 | Goldman Sachs SP (Asia) – CITIC Sec European Wts Mar 2021 B | 80,000,000 | 2020/12/04 | 2021/03/26 | 16.64 |
| 19697 | Goldman Sachs SP (Asia) – Ping An European Wts Dec 2021 C | 200,000,000 | 2020/12/04 | 2021/12/28 | 30.00 |
| 19700 | Goldman Sachs SP (Asia) – Ping An European Wts Mar 2022 A | 200,000,000 | 2020/12/04 | 2022/03/28 | 30.00 |
| 19688 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 C | 150,000,000 | 2020/12/04 | 2021/03/19 | 39.15 |
| 19694 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 D | 150,000,000 | 2020/12/04 | 2021/03/24 | 33.00 |
| 19585 | HK Bank – CNOOC European Warrants Nov 2021 A | 100,000,000 | 2020/12/04 | 2021/11/23 | 15.00 |
| 19584 | HK Bank – Sino Biopharm European Warrants Jun 2021 A | 100,000,000 | 2020/12/04 | 2021/06/30 | 25.00 |
| 19590 | HK Bank – SMIC European Warrants Jun 2021 C | 80,000,000 | 2020/12/04 | 2021/06/30 | 24.00 |
| 19586 | HK Bank – SMIC European Warrants Feb 2022 A | 200,000,000 | 2020/12/04 | 2022/02/22 | 30.00 |
| 19624 | Haitong Int'l Sec – China Tower European Warrants Aug 2021 A | 70,000,000 | 2020/12/04 | 2021/08/24 | 10.50 |

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| 19623 | Haitong Int'l Sec - Meituan European Put Warrants Mar 2021 B | 100,000,000 | 2020/12/04 | 2021/03/05 | 15.00 |
| 19612 | J P Morgan SP BV - China Taiping European Wts Jun 2021 A | 60,000,000 | 2020/12/04 | 2021/06/03 | 15.48 |
| 19611 | J P Morgan SP BV - Hua Hong Semi Euro Warrants Dec 2021 A | 100,000,000 | 2020/12/04 | 2021/12/31 | 25.30 |
| 19609 | J P Morgan SP BV - Kingdee Intl European Warrants Jun 2021 A | 60,000,000 | 2020/12/04 | 2021/06/03 | 15.30 |
| 19617 | J P Morgan SP BV - Microport European Warrants Dec 2021 A | 100,000,000 | 2020/12/04 | 2021/12/23 | 22.20 |
| 19619 | J P Morgan SP BV - Sino Biopharm Euro Warrants Jul 2021 B | 68,000,000 | 2020/12/04 | 2021/07/23 | 10.27 |
| 19616 | J P Morgan SP BV - Weigao Group European Warrants Jun 2021 A | 60,000,000 | 2020/12/04 | 2021/06/03 | 15.12 |
| 19596 | Macquarie Bank Ltd. - Alibaba European Warrants Jul 2021 E | 40,000,000 | 2020/12/04 | 2021/07/05 | 10.24 |
| 19601 | Macquarie Bank Ltd. - Ali Health Euro Warrants Jul 2021 A | 34,600,000 | 2020/12/04 | 2021/07/05 | 10.03 |
| 19595 | Macquarie Bank Ltd. - Ping An European Warrants Jun 2021 C | 40,000,000 | 2020/12/04 | 2021/06/03 | 10.04 |
| 19600 | Macquarie Bank Ltd. - Razer European Warrants Aug 2021 A | 33,700,000 | 2020/12/04 | 2021/08/17 | 10.01 |
| 19620 | Macquarie Bank Ltd. - Sino Biopharm European Wts Sep 2021 A | 40,000,000 | 2020/12/04 | 2021/09/02 | 10.20 |
| 19640 | Morgan Stanley Asia Products Ltd. - Alibaba Eu Put Wt Jun21B | 80,000,000 | 2020/12/04 | 2021/06/01 | 12.00 |
| 19630 | Morgan Stanley Asia Products Ltd. - CITIC Sec Euro Wt Jun21A | 68,000,000 | 2020/12/04 | 2021/06/04 | 17.00 |
| 19658 | Morgan Stanley Asia Products Ltd. - Ch Life Eu Wts May2021 B | 68,000,000 | 2020/12/04 | 2021/05/26 | 10.20 |
| 19659 | Morgan Stanley Asia Products Ltd. - Ch Life Eu Wts Sep2021 A | 80,000,000 | 2020/12/04 | 2021/09/27 | 12.00 |
| 19648 | Morgan Stanley Asia Products Ltd. - CSOP A50 Eu Wt Jun 2021 A | 58,000,000 | 2020/12/04 | 2021/06/03 | 14.50 |
| 19657 | Morgan Stanley Asia Products Ltd. - CSOP A50 Eu Wt Jun 2021 B | 68,000,000 | 2020/12/04 | 2021/06/25 | 10.20 |
| 19627 | Morgan Stanley Asia Products Ltd. - CSPC Eu Wt May 2021 A | 68,000,000 | 2020/12/04 | 2021/05/26 | 11.42 |
| 19635 | Morgan Stanley Asia Products Ltd. - Galaxy Eu Wts Jul 2021 A | 68,000,000 | 2020/12/04 | 2021/07/02 | 17.00 |
| 19642 | Morgan Stanley Asia Products Ltd. - HSBC Euro Wts Jun 2021 E | 68,000,000 | 2020/12/04 | 2021/06/11 | 10.20 |
| 19655 | Morgan Stanley Asia Products Ltd. - JD.com Euro Wts Jun21 A | 68,000,000 | 2020/12/04 | 2021/06/03 | 22.17 |
| 19650 | Morgan Stanley Asia Products Ltd. - Meituan Euro Wts May21 D | 98,000,000 | 2020/12/04 | 2021/05/26 | 40.18 |
| 19649 | Morgan Stanley Asia Products Ltd. - Meituan Euro Wts Jun21 B | 98,000,000 | 2020/12/04 | 2021/06/03 | 29.40 |
| 19647 | Morgan Stanley Asia Products Ltd. - SinoBiopharm Eu Wt Jul21B | 68,000,000 | 2020/12/04 | 2021/07/23 | 10.20 |
| 19604 | SG Issuer - Ali Health European Warrants Jun 2021 A | 60,000,000 | 2020/12/04 | 2021/06/03 | 15.00 |
| 19608 | SG Issuer - Meituan European Warrants Apr 2021 B | 100,000,000 | 2020/12/04 | 2021/04/26 | 25.00 |
| 19666 | Bank Vontobel - Ali Health European Warrants Apr 2021 A | 50,000,000 | 2020/12/04 | 2021/04/07 | 10.50 |
| 19663 | Bank Vontobel - CITIC Sec European Warrants Jun 2021 A | 40,000,000 | 2020/12/04 | 2021/06/03 | 11.40 |
| 19664 | Bank Vontobel - Ping An European Put Warrants Jun 2021 A | 40,000,000 | 2020/12/04 | 2021/06/03 | 12.80 |
| 19665 | Bank Vontobel - SMIC European Warrants Apr 2021 B | 50,000,000 | 2020/12/04 | 2021/04/23 | 10.00 |
| 19660 | Bank Vontobel - Xiaomi European Put Warrants Jun 2021 B | 50,000,000 | 2020/12/04 | 2021/06/04 | 14.00 |
| 19746 | BOCI Asia Ltd. - CM Bank European Warrants Jun 2021 A | 40,000,000 | 2020/12/07 | 2021/06/28 | 10.00 |
| 19748 | BOCI Asia Ltd. - Geely Auto European Warrants Jun 2021 A | 70,000,000 | 2020/12/07 | 2021/06/07 | 17.50 |
| 19749 | BOCI Asia Ltd. - HSBC European Put Warrants May 2021 B | 70,000,000 | 2020/12/07 | 2021/05/31 | 15.40 |
| 19745 | BOCI Asia Ltd. - JD.com European Warrants Mar 2021 C | 70,000,000 | 2020/12/07 | 2021/03/22 | 20.30 |
| 19764 | BNP Paribas Issuance B.V. - Alibaba European Wts Jul 2021 D | 80,000,000 | 2020/12/07 | 2021/07/05 | 20.00 |
| 19765 | BNP Paribas Issuance B.V. - BYD Company Euro Wts Jul 2021 A | 80,000,000 | 2020/12/07 | 2021/07/05 | 25.60 |
| 19766 | BNP Paribas Issuance B.V. - CNOOC European Wts Aug 2021 A | 80,000,000 | 2020/12/07 | 2021/08/03 | 12.00 |
| 19772 | Credit Suisse AG - HSBC European Wts Jun 2021 C | 100,000,000 | 2020/12/07 | 2021/06/11 | 15.00 |
| 19778 | Credit Suisse AG - Hua Hong Semi European Wts Oct 2021 A | 100,000,000 | 2020/12/07 | 2021/10/29 | 25.00 |
| 19769 | Credit Suisse AG - Meituan European Warrants May 2021 B | 200,000,000 | 2020/12/07 | 2021/05/06 | 36.00 |
| 19773 | Credit Suisse AG - Ping An European Warrants Jun 2021 B | 150,000,000 | 2020/12/07 | 2021/06/07 | 37.50 |
| 19774 | Credit Suisse AG - Xiaomi European Warrants Mar 2021 K | 200,000,000 | 2020/12/07 | 2021/03/26 | 34.00 |

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| 19776 | Credit Suisse AG – Xiaomi European Warrants Apr 2021 C | 200,000,000 | 2020/12/07 | 2021/04/23 | 30.00 |
| 19829 | Goldman Sachs SP (Asia) – China Life Euro Wts Sep 2021 A | 80,000,000 | 2020/12/07 | 2021/09/27 | 12.00 |
| 19826 | Goldman Sachs SP (Asia) – CM Bank European Wts May 2021 A | 70,000,000 | 2020/12/07 | 2021/05/05 | 19.74 |
| 19831 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Mar 2021 E | 120,000,000 | 2020/12/07 | 2021/03/08 | 20.16 |
| 19833 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 I | 150,000,000 | 2020/12/07 | 2021/03/24 | 29.85 |
| 19832 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Apr 2021 C | 150,000,000 | 2020/12/07 | 2021/04/26 | 27.90 |
| 19751 | J P Morgan SP BV – Beigene European Warrants Jul 2021 A | 60,000,000 | 2020/12/07 | 2021/07/30 | 15.06 |
| 19755 | J P Morgan SP BV – CSOP A50 ETF European Warrants Jun 2021 B | 100,000,000 | 2020/12/07 | 2021/06/30 | 15.40 |
| 19757 | J P Morgan SP BV – HSBC European Warrants Jun 2021 D | 100,000,000 | 2020/12/07 | 2021/06/15 | 15.20 |
| 19752 | J P Morgan SP BV – Ping An European Warrants Dec 2022 A | 200,000,000 | 2020/12/07 | 2022/12/30 | 30.80 |
| 19739 | Macquarie Bank Ltd. – PSBC European Warrants Oct 2021 A | 39,100,000 | 2020/12/07 | 2021/10/05 | 10.01 |
| 19742 | Macquarie Bank Ltd. – Sinopharm European Warrants Nov 2021 A | 40,000,000 | 2020/12/07 | 2021/11/02 | 10.04 |
| 19736 | Macquarie Bank Ltd. – Tencent European Warrants Nov 2021 A | 38,100,000 | 2020/12/07 | 2021/11/02 | 10.02 |
| 19730 | Macquarie Bank Ltd. – Xinyi Glass European Wts Jan 2022 A | 26,500,000 | 2020/12/07 | 2022/01/14 | 10.04 |
| 19726 | Morgan Stanley Asia Products Ltd. – AAC Tech Eur Wts Sep21 A | 80,000,000 | 2020/12/07 | 2021/09/23 | 12.00 |
| 19728 | Morgan Stanley Asia Products Ltd. – BYD Euro Wts May 2021 E | 88,000,000 | 2020/12/07 | 2021/05/24 | 16.37 |
| 19784 | Morgan Stanley Asia Products Ltd. – Geely Auto Eu Wt May21 E | 80,000,000 | 2020/12/07 | 2021/05/04 | 12.00 |
| 19725 | Morgan Stanley Asia Products Ltd. – HKEX Euro Wts Jul 2021 A | 98,000,000 | 2020/12/07 | 2021/07/27 | 16.95 |
| 19797 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts May 2021 D | 188,000,000 | 2020/12/07 | 2021/05/28 | 28.20 |
| 19795 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts Jun 2021 A | 188,000,000 | 2020/12/07 | 2021/06/29 | 47.00 |
| 19800 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts Jun 2021 B | 188,000,000 | 2020/12/07 | 2021/06/29 | 28.20 |
| 19801 | Morgan Stanley Asia Products Ltd. – HSI Euro Wts Jul 2021 A | 188,000,000 | 2020/12/07 | 2021/07/29 | 28.20 |
| 19722 | Morgan Stanley Asia Products Ltd. – Haitong Sec Eu Wt May21A | 68,000,000 | 2020/12/07 | 2021/05/24 | 10.20 |
| 19783 | Morgan Stanley Asia Products Ltd. – Meituan Euro Wts Jun21 C | 108,000,000 | 2020/12/07 | 2021/06/15 | 33.16 |
| 19727 | Morgan Stanley Asia Products Ltd. – PICC Grp Eur Wts Sep21 A | 40,000,000 | 2020/12/07 | 2021/09/29 | 10.00 |
| 19716 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts Mar22 A | 128,000,000 | 2020/12/07 | 2022/03/28 | 19.20 |
| 19717 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts Dec22 A | 158,000,000 | 2020/12/07 | 2022/12/21 | 23.70 |
| 19719 | Morgan Stanley Asia Products Ltd. – Ping An Eu Put Wt May21A | 68,000,000 | 2020/12/07 | 2021/05/27 | 10.20 |
| 19786 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts Apr 21 A | 108,000,000 | 2020/12/07 | 2021/04/20 | 23.33 |
| 19791 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts May 21 D | 108,000,000 | 2020/12/07 | 2021/05/04 | 16.20 |
| 19785 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts Jun21 B | 108,000,000 | 2020/12/07 | 2021/06/07 | 28.62 |
| 19713 | SG Issuer – Agricultural Bank European Warrants Aug 2021 A | 80,000,000 | 2020/12/07 | 2021/08/26 | 12.00 |
| 19807 | SG Issuer – Alibaba Group European Warrants Apr 2021 D | 150,000,000 | 2020/12/07 | 2021/04/27 | 22.50 |
| 19810 | SG Issuer – Alibaba European Put Warrants Mar 2021 B | 100,000,000 | 2020/12/07 | 2021/03/18 | 15.00 |
| 19710 | SG Issuer – Ping An European Warrants Jun 2021 B | 60,000,000 | 2020/12/07 | 2021/06/30 | 15.00 |
| 19711 | SG Issuer – Ping An European Warrants Dec 2021 B | 200,000,000 | 2020/12/07 | 2021/12/28 | 30.00 |
| 19804 | SG Issuer – Tencent European Warrants Mar 2021 B | 150,000,000 | 2020/12/07 | 2021/03/31 | 53.25 |
| 19802 | SG Issuer – Xiaomi European Warrants Apr 2021 C | 120,000,000 | 2020/12/07 | 2021/04/20 | 25.20 |
| 19814 | Bank Vontobel – CM Bank European Warrants May 2021 B | 40,000,000 | 2020/12/07 | 2021/05/27 | 10.00 |
| 19817 | Bank Vontobel – HSBC European Put Warrants May 2021 B | 50,000,000 | 2020/12/07 | 2021/05/27 | 11.00 |
| 19813 | Bank Vontobel – Zijin Mining European Warrants Aug 2021 A | 40,000,000 | 2020/12/07 | 2021/08/03 | 10.00 |
| 19846 | BOCI Asia Ltd. – HSBC European Warrants Jun 2021 B | 70,000,000 | 2020/12/08 | 2021/06/11 | 10.50 |
| 19861 | Credit Suisse AG – Sinopharm European Warrants Jun 2021 A | 50,000,000 | 2020/12/08 | 2021/06/30 | 12.50 |
| 19875 | Citigroup Global – Galaxy Ent European Warrants Jun 2021 A | 100,000,000 | 2020/12/08 | 2021/06/29 | 39.00 |

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| 19884 | Citigroup Global – Galaxy Ent Euro Put Warrants Nov 2021 A | 100,000,000 | 2020/12/08 | 2021/11/29 | 15.20 |
| 19886 | Citigroup Global – Meituan European Warrants Mar 2021 D | 100,000,000 | 2020/12/08 | 2021/03/30 | 16.50 |
| 19878 | Citigroup Global – Meituan European Warrants Apr 2021 B | 100,000,000 | 2020/12/08 | 2021/04/29 | 24.90 |
| 19872 | Citigroup Global – Xiaomi European Warrants Apr 2021 B | 100,000,000 | 2020/12/08 | 2021/04/29 | 24.40 |
| 19888 | Goldman Sachs SP (Asia) – ICBC European Warrants May 2021 B | 80,000,000 | 2020/12/08 | 2021/05/26 | 12.00 |
| 19891 | Goldman Sachs SP (Asia) – JD.com Euro Warrants May 2021 A | 100,000,000 | 2020/12/08 | 2021/05/03 | 17.20 |
| 19890 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Mar 2021 J | 150,000,000 | 2020/12/08 | 2021/03/26 | 31.95 |
| 19887 | Goldman Sachs SP (Asia) – Xiaomi European Wts Mar 2021 J | 100,000,000 | 2020/12/08 | 2021/03/24 | 15.00 |
| 19858 | Haitong Int'l Sec – CITIC Sec European Warrants Jun 2021 A | 40,000,000 | 2020/12/08 | 2021/06/07 | 10.00 |
| 19856 | Haitong Int'l Sec – HSI European Warrants Sep 2021 A | 400,000,000 | 2020/12/08 | 2021/09/29 | 100.00 |
| 19860 | Haitong Int'l Sec – Link REIT European Warrants Jul 2021 A | 40,000,000 | 2020/12/08 | 2021/07/30 | 12.40 |
| 19849 | J P Morgan SP BV – Link REIT European Warrants Jul 2021 A | 60,000,000 | 2020/12/08 | 2021/07/30 | 15.36 |
| 19847 | J P Morgan SP BV – Weimob European Warrants Jun 2021 A | 40,000,000 | 2020/12/08 | 2021/06/30 | 10.08 |
| 19852 | J P Morgan SP BV – Xiaomi European Warrants Apr 2021 C | 200,000,000 | 2020/12/08 | 2021/04/30 | 32.20 |
| 19843 | Macquarie Bank Ltd. – BankComm European Warrants Nov 2021 A | 38,900,000 | 2020/12/08 | 2021/11/02 | 10.04 |
| 19836 | Macquarie Bank Ltd. – Swire Pacific A Euro Wts Jul 2021 A | 38,500,000 | 2020/12/08 | 2021/07/05 | 10.05 |
| 19845 | Macquarie Bank Ltd. – Stanchart European Warrants Oct 2021 A | 15,000,000 | 2020/12/08 | 2021/10/05 | 10.05 |
| 19841 | Macquarie Bank Ltd. – Tencent European Warrants Jun 2021 E | 30,300,000 | 2020/12/08 | 2021/06/25 | 10.03 |
| 19838 | Macquarie Bank Ltd. – Tencent European Put Wts Jul 2021 A | 20,000,000 | 2020/12/08 | 2021/07/02 | 12.76 |
| 19868 | SG Issuer – HSI European Warrants Jun 2021 B | 300,000,000 | 2020/12/08 | 2021/06/29 | 46.50 |
| 19867 | SG Issuer – HSI European Warrants Jul 2021 A | 300,000,000 | 2020/12/08 | 2021/07/29 | 45.00 |
| 19862 | SG Issuer – HSI European Put Warrants Jul 2021 B | 300,000,000 | 2020/12/08 | 2021/07/29 | 49.50 |
| 19871 | Bank Vontobel – HSBC European Warrants Jun 2021 A | 70,000,000 | 2020/12/08 | 2021/06/16 | 10.57 |
| 19869 | Bank Vontobel – NetEase European Warrants Jun 2021 A | 40,000,000 | 2020/12/08 | 2021/06/28 | 10.04 |
| 19870 | Bank Vontobel – Sunny Optical Euro Put Warrants Apr 2021 A | 70,000,000 | 2020/12/08 | 2021/04/29 | 10.57 |
| 19917 | BOCI Asia Ltd. – BYD Company European Warrants May 2021 B | 100,000,000 | 2020/12/09 | 2021/05/26 | 25.00 |
| 19916 | BOCI Asia Ltd. – Sino Biopharm European Warrants Jul 2021 A | 70,000,000 | 2020/12/09 | 2021/07/23 | 10.50 |
| 19947 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Apr 2021 I | 60,000,000 | 2020/12/09 | 2021/04/07 | 12.60 |
| 19948 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Jul 2021 B | 60,000,000 | 2020/12/09 | 2021/07/05 | 17.40 |
| 19945 | BNP Paribas Issuance B.V. – Ping An European Wt Jan 2022 B | 120,000,000 | 2020/12/09 | 2022/01/04 | 19.20 |
| 19993 | Credit Suisse AG – BYD Electronic European Wts Jun 2021 A | 30,000,000 | 2020/12/09 | 2021/06/08 | 14.10 |
| 19994 | Credit Suisse AG – JXR European Warrants Jul 2021 B | 80,000,000 | 2020/12/09 | 2021/07/30 | 20.00 |
| 19987 | Credit Suisse AG – Weimob European Warrants Jun 2021 A | 40,000,000 | 2020/12/09 | 2021/06/08 | 10.00 |
| 20001 | Credit Suisse AG – ZA Online European Warrants Jun 2021 B | 40,000,000 | 2020/12/09 | 2021/06/08 | 23.20 |
| 19937 | Guotai Junan – Tencent Euro Tencent put Warrants Apr 2021 A | 100,000,000 | 2020/12/09 | 2021/04/08 | 16.40 |
| 20008 | Goldman Sachs SP (Asia) – Alibaba European Wts May 2021 D | 150,000,000 | 2020/12/09 | 2021/05/03 | 22.50 |
| 20007 | Goldman Sachs SP (Asia) – Haitong Sec Euro Wts Sep 2021 A | 80,000,000 | 2020/12/09 | 2021/09/28 | 20.00 |
| 20005 | Goldman Sachs SP (Asia) – Ping An European Wts Jul 2021 A | 150,000,000 | 2020/12/09 | 2021/07/26 | 33.60 |
| 20006 | Goldman Sachs SP (Asia) – Ping An Euro Put Wts May 2021 A | 80,000,000 | 2020/12/09 | 2021/05/27 | 19.44 |
| 20003 | Goldman Sachs SP (Asia) – ZA Online European Wts Sep 2021 A | 80,000,000 | 2020/12/09 | 2021/09/23 | 12.00 |
| 19944 | Haitong Int'l Sec – HSBC European Warrants Jun 2021 A | 70,000,000 | 2020/12/09 | 2021/06/11 | 10.50 |
| 19943 | Haitong Int'l Sec – Ping An European Warrants Jun 2021 B | 60,000,000 | 2020/12/09 | 2021/06/08 | 16.20 |
| 19936 | J P Morgan SP BV – BAIC Motor European Warrants Apr 2021 B | 50,000,000 | 2020/12/09 | 2021/04/30 | 11.55 |
| 19942 | J P Morgan SP BV – Chi Res Land European Warrants Aug 2021 A | 60,000,000 | 2020/12/09 | 2021/08/30 | 15.54 |

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| 19933 | J P Morgan SP BV – JD.com European Warrants May 2021 B | 200,000,000 | 2020/12/09 | 2021/05/31 | 41.60 |
| 19918 | J P Morgan SP BV – Shimao Property European Wts Jul 2021 A | 60,000,000 | 2020/12/09 | 2021/07/05 | 15.42 |
| 19915 | Macquarie Bank Ltd. – Agile Ppt European Warrants Apr 2022 A | 26,500,000 | 2020/12/09 | 2022/04/11 | 10.04 |
| 19892 | Macquarie Bank Ltd. – Alibaba European Warrants Oct 2021 B | 40,000,000 | 2020/12/09 | 2021/10/05 | 10.00 |
| 19900 | Macquarie Bank Ltd. – Alibaba European Warrants Jan 2022 B | 30,500,000 | 2020/12/09 | 2022/01/04 | 10.07 |
| 19913 | Macquarie Bank Ltd. – China Cinda European Wts Jan 2022 A | 40,000,000 | 2020/12/09 | 2022/01/04 | 10.00 |
| 19906 | Macquarie Bank Ltd. – Chi Res Land European Wts Jul 2021 A | 40,000,000 | 2020/12/09 | 2021/07/05 | 10.24 |
| 19911 | Macquarie Bank Ltd. – COSCO Ship Hold Euro Wts Mar 2022 A | 40,000,000 | 2020/12/09 | 2022/03/11 | 10.16 |
| 19909 | Macquarie Bank Ltd. – Hua Hong Semi Euro Warrants Oct 2021 A | 40,000,000 | 2020/12/09 | 2021/10/18 | 10.00 |
| 19902 | Macquarie Bank Ltd. – IGG European Warrants Jun 2022 A | 40,000,000 | 2020/12/09 | 2022/06/10 | 10.08 |
| 19910 | Macquarie Bank Ltd. – KB Laminates European Wts Nov 2021 A | 40,000,000 | 2020/12/09 | 2021/11/16 | 10.08 |
| 19912 | Macquarie Bank Ltd. – Sh Electric European Wts Jan 2022 A | 27,000,000 | 2020/12/09 | 2022/01/07 | 10.13 |
| 19971 | SG Issuer – CKH Holdings European Warrants Jun 2021 B | 40,000,000 | 2020/12/09 | 2021/06/09 | 10.00 |
| 19951 | SG Issuer – China Life European Warrants Sep 2021 A | 100,000,000 | 2020/12/09 | 2021/09/27 | 17.50 |
| 19966 | SG Issuer – Sinopec Corp European Warrants May 2021 B | 60,000,000 | 2020/12/09 | 2021/05/28 | 10.80 |
| 19957 | SG Issuer – CPIC European Warrants May 2021 B | 70,000,000 | 2020/12/09 | 2021/05/28 | 10.50 |
| 19977 | SG Issuer – Kunlun Energy European Warrants May 2021 A | 60,000,000 | 2020/12/09 | 2021/05/28 | 10.50 |
| 19960 | SG Issuer – NCI European Warrants May 2021 A | 50,000,000 | 2020/12/09 | 2021/05/28 | 11.75 |
| 19982 | SG Issuer – PetroChina European Warrants Jun 2021 A | 80,000,000 | 2020/12/09 | 2021/06/29 | 12.00 |
| 19983 | SG Issuer – SHK Ppt European Warrants Jun 2021 A | 40,000,000 | 2020/12/09 | 2021/06/09 | 10.00 |
| 19962 | SG Issuer – ZA Online European Warrants Dec 2021 A | 80,000,000 | 2020/12/09 | 2021/12/23 | 12.00 |
| 19949 | Bank Vontobel – China Shenhua European Warrants Jun 2021 A | 60,000,000 | 2020/12/09 | 2021/06/08 | 15.06 |
| 20046 | BOCI Asia Ltd. – Alibaba European Warrants Apr 2021 A | 150,000,000 | 2020/12/10 | 2021/04/23 | 37.50 |
| 20045 | BOCI Asia Ltd. – JD.com European Warrants Mar 2021 D | 70,000,000 | 2020/12/10 | 2021/03/29 | 14.70 |
| 20048 | BOCI Asia Ltd. – Tencent European Warrants Mar 2021 B | 100,000,000 | 2020/12/10 | 2021/03/09 | 20.00 |
| 20064 | BNP Paribas Issuance B.V. – Alibaba European Wts Sep 2021 B | 80,000,000 | 2020/12/10 | 2021/09/02 | 20.00 |
| 20051 | BNP Paribas Issuance B.V. – BYD Company Euro Wts May 2021 B | 60,000,000 | 2020/12/10 | 2021/05/04 | 14.40 |
| 20054 | BNP Paribas Issuance B.V. – BYD Company Euro Wts Jul 2021 B | 40,000,000 | 2020/12/10 | 2021/07/05 | 10.00 |
| 20058 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts Jun 2021 A | 60,000,000 | 2020/12/10 | 2021/06/02 | 10.20 |
| 20057 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts Jul 2021 B | 40,000,000 | 2020/12/10 | 2021/07/05 | 10.00 |
| 20157 | Credit Suisse AG – HSI European Warrants Jul 2021 A | 150,000,000 | 2020/12/10 | 2021/07/29 | 22.50 |
| 20160 | Credit Suisse AG – ZTE European Warrants Jun 2021 B | 50,000,000 | 2020/12/10 | 2021/06/09 | 13.50 |
| 20167 | Goldman Sachs SP (Asia) – HSBC European Warrants May 2021 A | 80,000,000 | 2020/12/10 | 2021/05/10 | 16.24 |
| 20161 | Goldman Sachs SP (Asia) – HSI European Warrants May 2021 A | 150,000,000 | 2020/12/10 | 2021/05/28 | 22.50 |
| 20162 | Goldman Sachs SP (Asia) – Tencent European Put Wt Mar 2021 A | 100,000,000 | 2020/12/10 | 2021/03/25 | 15.00 |
| 20044 | HK Bank – Galaxy Ent European Warrants Jun 2021 A | 40,000,000 | 2020/12/10 | 2021/06/30 | 14.00 |
| 20043 | HK Bank – Galaxy Ent European Put Warrants Nov 2021 A | 150,000,000 | 2020/12/10 | 2021/11/24 | 22.50 |
| 20042 | HK Bank – HSI European Warrants Jul 2021 A | 200,000,000 | 2020/12/10 | 2021/07/29 | 30.00 |
| 20040 | HK Bank – Ping An European Warrants Dec 2021 B | 200,000,000 | 2020/12/10 | 2021/12/29 | 30.00 |
| 20011 | HK Bank – Ping An European Warrants Jun 2022 A | 200,000,000 | 2020/12/10 | 2022/06/27 | 30.00 |
| 20041 | HK Bank – Sunny Optical European Warrants Aug 2021 A | 100,000,000 | 2020/12/10 | 2021/08/02 | 15.00 |
| 20039 | HK Bank – Sunny Optical European Put Warrants May 2021 A | 100,000,000 | 2020/12/10 | 2021/05/13 | 15.00 |
| 20145 | Haitong Int'l Sec – Ali Health European Warrants May 2021 A | 50,000,000 | 2020/12/10 | 2021/05/27 | 12.00 |
| 20144 | Haitong Int'l Sec – CCB European Warrants May 2021 A | 60,000,000 | 2020/12/10 | 2021/05/10 | 10.80 |

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| 20139 | Haitong Int'l Sec - CG Services European Warrants Jun 2021 A | 30,000,000 | 2020/12/10 | 2021/06/10 | 10.20 |
| 20151 | Haitong Int'l Sec - CM Bank European Warrants Jun 2021 A | 40,000,000 | 2020/12/10 | 2021/06/21 | 11.60 |
| 20142 | Haitong Int'l Sec - CPIC European Warrants May 2021 A | 50,000,000 | 2020/12/10 | 2021/05/24 | 10.00 |
| 20140 | Haitong Int'l Sec - China Res Beer Euro Warrants Jun 2021 A | 30,000,000 | 2020/12/10 | 2021/06/10 | 13.50 |
| 20138 | Haitong Int'l Sec - Hua Hong Semi European Wts Sep 2021 A | 60,000,000 | 2020/12/10 | 2021/09/30 | 15.00 |
| 20147 | Haitong Int'l Sec - Innovent Bio Euro Warrants Apr 2021 B | 60,000,000 | 2020/12/10 | 2021/04/28 | 12.00 |
| 20149 | Haitong Int'l Sec - PA Gooddoctor Euro Warrants Apr 2021 A | 70,000,000 | 2020/12/10 | 2021/04/22 | 10.50 |
| 20152 | Haitong Int'l Sec - Sino Biopharm European Wts Jun 2021 A | 80,000,000 | 2020/12/10 | 2021/06/23 | 12.00 |
| 20168 | J P Morgan SP BV - HSI European Warrants Jul 2021 B | 400,000,000 | 2020/12/10 | 2021/07/29 | 100.00 |
| 20017 | Macquarie Bank Ltd. - BAIC Motor European Warrants Jul2021 A | 30,000,000 | 2020/12/10 | 2021/07/05 | 10.32 |
| 20018 | Macquarie Bank Ltd. - MGM China European Warrants Jan 2022 A | 40,000,000 | 2020/12/10 | 2022/01/04 | 10.00 |
| 20016 | Macquarie Bank Ltd. - Sunny Optical European Wts Aug 2021 A | 28,500,000 | 2020/12/10 | 2021/08/03 | 10.15 |
| 20023 | Macquarie Bank Ltd. - Tencent European Warrants Jul 2021 A | 40,000,000 | 2020/12/10 | 2021/07/02 | 10.08 |
| 20024 | Macquarie Bank Ltd. - Tencent European Warrants Jul 2021 B | 20,400,000 | 2020/12/10 | 2021/07/02 | 10.06 |
| 20019 | Macquarie Bank Ltd. - ZA Online European Warrants Sep 2022 A | 40,000,000 | 2020/12/10 | 2022/09/16 | 10.00 |
| 20093 | Morgan Stanley Asia Products Ltd. - Alibaba Euro Wts Jul21 D | 108,000,000 | 2020/12/10 | 2021/07/02 | 46.44 |
| 20094 | Morgan Stanley Asia Products Ltd. - Ali Health Eu Wt May21 A | 50,000,000 | 2020/12/10 | 2021/05/27 | 10.00 |
| 20025 | Morgan Stanley Asia Products Ltd. - Ch Life Eu Wts Nov2021 A | 98,000,000 | 2020/12/10 | 2021/11/02 | 24.50 |
| 20086 | Morgan Stanley Asia Products Ltd. - Geely Auto Eu Wt Jun21 A | 68,000,000 | 2020/12/10 | 2021/06/29 | 23.66 |
| 20080 | Morgan Stanley Asia Products Ltd. - HSBC Euro Wts Jul 2021 A | 58,000,000 | 2020/12/10 | 2021/07/02 | 29.93 |
| 20031 | Morgan Stanley Asia Products Ltd. - HSI Euro Put Wts Jun21 B | 188,000,000 | 2020/12/10 | 2021/06/29 | 47.00 |
| 20030 | Morgan Stanley Asia Products Ltd. - HSI Euro Put Wts Jul21 A | 188,000,000 | 2020/12/10 | 2021/07/29 | 36.85 |
| 20032 | Morgan Stanley Asia Products Ltd. - Innovent Bio Eu Wt Aug21A | 58,000,000 | 2020/12/10 | 2021/08/02 | 14.50 |
| 20082 | Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Jun21 C | 68,000,000 | 2020/12/10 | 2021/06/15 | 21.35 |
| 20028 | Morgan Stanley Asia Products Ltd. - Ping An Euro Wts Jul21 A | 80,000,000 | 2020/12/10 | 2021/07/02 | 20.00 |
| 20029 | Morgan Stanley Asia Products Ltd. - SinoBiopharm Eu Wt May21A | 68,000,000 | 2020/12/10 | 2021/05/27 | 10.20 |
| 20085 | Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Jun21C | 98,000,000 | 2020/12/10 | 2021/06/09 | 53.02 |
| 20083 | Morgan Stanley Asia Products Ltd. - Tencent Euro Wts Jul21 E | 98,000,000 | 2020/12/10 | 2021/07/02 | 59.58 |
| 20100 | Morgan Stanley Asia Products Ltd. - Xiaomi Eu Put Wt May21 B | 68,000,000 | 2020/12/10 | 2021/05/28 | 10.20 |
| 20065 | SG Issuer - HSBC European Warrants Apr 2021 A | 80,000,000 | 2020/12/10 | 2021/04/27 | 13.60 |
| 20071 | SG Issuer - HSBC European Warrants Jun 2021 B | 80,000,000 | 2020/12/10 | 2021/06/11 | 12.00 |
| 20074 | SG Issuer - Ping An European Warrants May 2021 A | 80,000,000 | 2020/12/10 | 2021/05/31 | 13.20 |
| 20076 | SG Issuer - Ping An European Warrants Jun 2022 A | 200,000,000 | 2020/12/10 | 2022/06/28 | 33.00 |
| 20078 | SG Issuer - Ping An European Warrants Dec 2022 A | 200,000,000 | 2020/12/10 | 2022/12/21 | 30.00 |
| 20129 | UBS AG - Galaxy Ent European Warrants Apr 2021 B | 80,000,000 | 2020/12/10 | 2021/04/20 | 12.00 |
| 20112 | UBS AG - HSI European Warrants Jul 2021 A | 300,000,000 | 2020/12/10 | 2021/07/29 | 45.00 |
| 20110 | UBS AG - HSI European Put Warrants Jul 2021 B | 300,000,000 | 2020/12/10 | 2021/07/29 | 45.00 |
| 20122 | UBS AG - JD.com European Warrants May 2021 B | 200,000,000 | 2020/12/10 | 2021/05/21 | 30.00 |
| 20123 | UBS AG - Meituan European Warrants May 2021 D | 200,000,000 | 2020/12/10 | 2021/05/06 | 30.00 |
| 20124 | UBS AG - Ping An European Warrants May 2021 B | 100,000,000 | 2020/12/10 | 2021/05/14 | 16.00 |
| 20106 | UBS AG - Ping An European Warrants Mar 2022 A | 100,000,000 | 2020/12/10 | 2022/03/28 | 15.00 |
| 20125 | UBS AG - Ping An European Put Warrants May 2021 B | 100,000,000 | 2020/12/10 | 2021/05/27 | 18.00 |
| 20127 | UBS AG - Tencent European Warrants Mar 2021 B | 300,000,000 | 2020/12/10 | 2021/03/19 | 51.00 |
| 20101 | UBS AG - Xiaomi European Warrants Apr 2021 B | 200,000,000 | 2020/12/10 | 2021/04/01 | 30.00 |

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| 20245 | BOCI Asia Ltd. – Meituan European Warrants May 2021 B | 100,000,000 | 2020/12/11 | 2021/05/03 | 15.00 |
| 20246 | BOCI Asia Ltd. – Meituan European Put Warrants Apr 2021 C | 100,000,000 | 2020/12/11 | 2021/04/28 | 33.00 |
| 20241 | BOCI Asia Ltd. – Xiaomi European Warrants May 2021 A | 100,000,000 | 2020/12/11 | 2021/05/04 | 21.00 |
| 20244 | BOCI Asia Ltd. – Xiaomi European Put Warrants May 2021 A | 100,000,000 | 2020/12/11 | 2021/05/04 | 20.00 |
| 20249 | BNP Paribas Issuance B.V. – AAC Tech Euro Wts Oct 2021 A | 80,000,000 | 2020/12/11 | 2021/10/05 | 12.00 |
| 20315 | BNP Paribas Issuance B.V. – HKEX European Wts Aug 2021 B | 60,000,000 | 2020/12/11 | 2021/08/03 | 15.00 |
| 20317 | BNP Paribas Issuance B.V. – Ping An European Wt Apr 2022 A | 80,000,000 | 2020/12/11 | 2022/04/04 | 12.80 |
| 20251 | BNP Paribas Issuance B.V. – Sunny Optical Eu Wt Oct 2021 A | 60,000,000 | 2020/12/11 | 2021/10/05 | 15.00 |
| 20325 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants May 2021 D | 60,000,000 | 2020/12/11 | 2021/05/04 | 16.20 |
| 20318 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Jun 2021 A | 60,000,000 | 2020/12/11 | 2021/06/02 | 15.60 |
| 20339 | Credit Suisse AG – Evergrande European Warrants Mar 2021 B | 60,000,000 | 2020/12/11 | 2021/03/31 | 14.40 |
| 20331 | Credit Suisse AG – HSBC European Wts May 2021 B | 100,000,000 | 2020/12/11 | 2021/05/31 | 23.00 |
| 20326 | Credit Suisse AG – Innovent Bio European Warrants Aug 2021 A | 70,000,000 | 2020/12/11 | 2021/08/16 | 17.50 |
| 20333 | Credit Suisse AG – JD.com European Warrants Jun 2021 A | 150,000,000 | 2020/12/11 | 2021/06/10 | 43.50 |
| 20334 | Credit Suisse AG – Ping An European Warrants Apr 2021 A | 150,000,000 | 2020/12/11 | 2021/04/27 | 49.50 |
| 20335 | Credit Suisse AG – Ping An European Warrants Jun 2021 C | 150,000,000 | 2020/12/11 | 2021/06/01 | 37.50 |
| 20194 | Guotai Junan – NetEase European Warrants May 2021 B | 100,000,000 | 2020/12/11 | 2021/05/21 | 18.60 |
| 20193 | Guotai Junan – Ping An European Put Warrants May 2021 A | 100,000,000 | 2020/12/11 | 2021/05/13 | 21.00 |
| 20359 | Goldman Sachs SP (Asia) – Geely Auto European Wts Apr 2021 B | 80,000,000 | 2020/12/11 | 2021/04/28 | 13.68 |
| 20363 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Mar 2021 F | 120,000,000 | 2020/12/11 | 2021/03/22 | 31.56 |
| 20347 | Goldman Sachs SP (Asia) – Meituan Euro Put Wts Apr 2021 B | 100,000,000 | 2020/12/11 | 2021/04/26 | 15.00 |
| 20350 | Goldman Sachs SP (Asia) – NetEase Euro Warrants Apr 2021 A | 100,000,000 | 2020/12/11 | 2021/04/23 | 22.20 |
| 20358 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 E | 100,000,000 | 2020/12/11 | 2021/03/24 | 27.20 |
| 20181 | HK Bank – Agricultural Bank European Warrants Dec 2021 A | 100,000,000 | 2020/12/11 | 2021/12/31 | 25.00 |
| 20203 | HK Bank – AIA European Warrants Jun 2021 A | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 20195 | HK Bank – AIA European Warrants Aug 2021 A | 150,000,000 | 2020/12/11 | 2021/08/23 | 30.00 |
| 20225 | HK Bank – AIA European Put Warrants Dec 2021 B | 150,000,000 | 2020/12/11 | 2021/12/21 | 27.00 |
| 20179 | HK Bank – Bank of China European Warrants Dec 2021 A | 100,000,000 | 2020/12/11 | 2021/12/31 | 25.00 |
| 20202 | HK Bank – CSPC Pharma European Warrants Aug 2021 A | 100,000,000 | 2020/12/11 | 2021/08/26 | 15.00 |
| 20230 | HK Bank – China Tower European Warrants Sep 2021 A | 100,000,000 | 2020/12/11 | 2021/09/07 | 15.00 |
| 20198 | HK Bank – PA Gooddoctor European Warrants May 2021 C | 150,000,000 | 2020/12/11 | 2021/05/10 | 22.50 |
| 20199 | HK Bank – PA Gooddoctor European Warrants Jul 2021 B | 150,000,000 | 2020/12/11 | 2021/07/05 | 37.50 |
| 20237 | HK Bank – Sands China European Warrants Jun 2021 B | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 20286 | J P Morgan SP BV – A-Living European Warrants Jun 2021 A | 60,000,000 | 2020/12/11 | 2021/06/02 | 15.84 |
| 20282 | J P Morgan SP BV – China Aoyuan European Warrants Apr 2021 A | 60,000,000 | 2020/12/11 | 2021/04/30 | 10.26 |
| 20284 | J P Morgan SP BV – CITIC European Warrants Feb 2022 A | 60,000,000 | 2020/12/11 | 2022/02/21 | 10.80 |
| 20280 | J P Morgan SP BV – Sinopec Corp European Warrants Jun 2021 A | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.18 |
| 20278 | J P Morgan SP BV – NetEase European Put Warrants Sep 2021 A | 200,000,000 | 2020/12/11 | 2021/09/24 | 50.20 |
| 20281 | J P Morgan SP BV – PICC Group European Warrants May 2021 A | 60,000,000 | 2020/12/11 | 2021/05/31 | 10.08 |
| 20188 | Macquarie Bank Ltd. – CSC European Warrants Dec 2021 A | 40,000,000 | 2020/12/11 | 2021/12/10 | 10.04 |
| 20185 | Macquarie Bank Ltd. – Ping An European Warrants Jul 2021 B | 36,000,000 | 2020/12/11 | 2021/07/05 | 10.15 |
| 20314 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts May21 I | 128,000,000 | 2020/12/11 | 2021/05/24 | 21.25 |
| 20287 | Morgan Stanley Asia Products Ltd. – HSI Euro Put Wts Jul21 B | 188,000,000 | 2020/12/11 | 2021/07/29 | 28.20 |
| 20309 | Morgan Stanley Asia Products Ltd. – JD.com Eur Put Wt Jul21A | 48,000,000 | 2020/12/11 | 2021/07/02 | 12.00 |

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| 20313 | Morgan Stanley Asia Products Ltd. – Ping An Euro Wts May21 A | 68,000,000 | 2020/12/11 | 2021/05/14 | 12.17 |
| 20299 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts Jun21 C | 108,000,000 | 2020/12/11 | 2021/06/10 | 27.00 |
| 20288 | Morgan Stanley Asia Products Ltd. – Xiaomi Eu Put Wt May21 C | 68,000,000 | 2020/12/11 | 2021/05/24 | 18.22 |
| 20305 | Morgan Stanley Asia Products Ltd. – Xiaomi Eu Put Wt May21 D | 68,000,000 | 2020/12/11 | 2021/05/28 | 12.58 |
| 20264 | UBS AG – Alibaba European Warrants May 2021 E | 200,000,000 | 2020/12/11 | 2021/05/24 | 30.00 |
| 20277 | UBS AG – Ali Health European Warrants May 2021 A | 60,000,000 | 2020/12/11 | 2021/05/26 | 10.20 |
| 20266 | UBS AG – BYD Company European Warrants May 2021 E | 150,000,000 | 2020/12/11 | 2021/05/11 | 36.00 |
| 20258 | UBS AG – CSOP A50 ETF European Warrants Jun 2021 A | 70,000,000 | 2020/12/11 | 2021/06/25 | 10.50 |
| 20255 | UBS AG – CSPC Pharma European Warrants May 2021 A | 60,000,000 | 2020/12/11 | 2021/05/18 | 10.20 |
| 20269 | UBS AG – Geely Auto European Warrants May 2021 D | 150,000,000 | 2020/12/11 | 2021/05/03 | 22.50 |
| 20272 | UBS AG – Hang Seng Bank European Warrants Jun 2021 A | 60,000,000 | 2020/12/11 | 2021/06/25 | 10.20 |
| 20271 | UBS AG – Hang Seng Bank European Put Warrants May 2021 A | 60,000,000 | 2020/12/11 | 2021/05/24 | 10.80 |
| 20257 | UBS AG – Sino Biopharm European Warrants Jul 2021 A | 60,000,000 | 2020/12/11 | 2021/07/23 | 10.20 |
| 20342 | Bank Vontobel – PetroChina European Warrants Jul 2021 A | 70,000,000 | 2020/12/11 | 2021/07/02 | 10.50 |
| 20343 | Bank Vontobel – Ping An European Warrants Dec 2021 A | 70,000,000 | 2020/12/11 | 2021/12/28 | 10.50 |
| 20425 | Credit Suisse AG – HSI European Put Warrants Jul 2021 A | 150,000,000 | 2020/12/14 | 2021/07/29 | 22.50 |
| 20428 | Credit Suisse AG – JD.com European Put Warrants May 2021 B | 100,000,000 | 2020/12/14 | 2021/05/17 | 20.00 |
| 20423 | Credit Suisse AG – Tencent Euro Put Wts Apr 2021 A | 200,000,000 | 2020/12/14 | 2021/04/26 | 50.00 |
| 20424 | Credit Suisse AG – Weimob European Warrants Jun 2021 B | 40,000,000 | 2020/12/14 | 2021/06/15 | 10.00 |
| 20434 | Credit Suisse AG – Xiaomi European Put Warrants Mar 2021 D | 100,000,000 | 2020/12/14 | 2021/03/31 | 15.00 |
| 20473 | Goldman Sachs SP (Asia) – AIA European Put Wts Dec 2021 A | 80,000,000 | 2020/12/14 | 2021/12/28 | 12.00 |
| 20468 | Goldman Sachs SP (Asia) – Link REIT European Wts May 2021 A | 80,000,000 | 2020/12/14 | 2021/05/05 | 12.48 |
| 20469 | Goldman Sachs SP (Asia) – PA Gooddoctor Euro Wts May 2021 A | 80,000,000 | 2020/12/14 | 2021/05/05 | 12.00 |
| 20479 | Goldman Sachs SP (Asia) – Xiaomi European Put Wts May 2021 B | 80,000,000 | 2020/12/14 | 2021/05/04 | 13.76 |
| 20378 | HK Bank – Anta Sports European Warrants Dec 2021 A | 80,000,000 | 2020/12/14 | 2021/12/31 | 20.00 |
| 20389 | HK Bank – Country Garden European Warrants Oct 2021 A | 80,000,000 | 2020/12/14 | 2021/10/05 | 20.00 |
| 20396 | HK Bank – CK Asset European Warrants Jun 2021 A | 50,000,000 | 2020/12/14 | 2021/06/30 | 12.50 |
| 20383 | HK Bank – CNBM European Warrants Dec 2021 A | 80,000,000 | 2020/12/14 | 2021/12/28 | 12.00 |
| 20408 | HK Bank – HKEX European Warrants May 2021 C | 100,000,000 | 2020/12/14 | 2021/05/03 | 16.00 |
| 20400 | HK Bank – JD.com European Warrants May 2021 C | 100,000,000 | 2020/12/14 | 2021/05/03 | 16.00 |
| 20382 | HK Bank – PICC P&C European Warrants Dec 2021 A | 80,000,000 | 2020/12/14 | 2021/12/31 | 20.00 |
| 20395 | HK Bank – SHK Ppt European Warrants Dec 2021 A | 100,000,000 | 2020/12/14 | 2021/12/31 | 25.00 |
| 20380 | HK Bank – WuXi Bio European Warrants Jun 2021 A | 80,000,000 | 2020/12/14 | 2021/06/30 | 20.00 |
| 20391 | HK Bank – Xiaomi European Put Warrants May 2021 A | 80,000,000 | 2020/12/14 | 2021/05/05 | 15.20 |
| 20452 | Haitong Int'l Sec – Ganfeng Lithium European Warrants Jun21B | 40,000,000 | 2020/12/14 | 2021/06/15 | 10.80 |
| 20448 | J P Morgan SP BV – AIA European Warrants Jun 2021 A | 100,000,000 | 2020/12/14 | 2021/06/30 | 28.70 |
| 20442 | J P Morgan SP BV – BYD Company European Put Wts Jul 2021 A | 150,000,000 | 2020/12/14 | 2021/07/30 | 37.80 |
| 20443 | J P Morgan SP BV – Henganintl European Warrants Jun 2021 A | 50,000,000 | 2020/12/14 | 2021/06/30 | 15.55 |
| 20435 | J P Morgan SP BV – Hang Seng Bank European Warrants Sep21 A | 80,000,000 | 2020/12/14 | 2021/09/30 | 20.32 |
| 20451 | J P Morgan SP BV – Hang Seng Bank Euro Put Wts May 2021 A | 70,000,000 | 2020/12/14 | 2021/05/31 | 10.85 |
| 10183 | J P Morgan SP BV – NQ100 Index European Warrants Jun 2021 A | 200,000,000 | 2020/12/14 | 2021/06/18 | 50.20 |
| 10185 | J P Morgan SP BV – NQ100 Index European Warrants Jun 2021 B | 200,000,000 | 2020/12/14 | 2021/06/18 | 50.20 |
| 10186 | J P Morgan SP BV – NQ100 Index European Warrants Jun 2021 C | 200,000,000 | 2020/12/14 | 2021/06/18 | 50.60 |
| 10181 | J P Morgan SP BV – NQ100 Index Euro Put Warrants Jun 2021 A | 200,000,000 | 2020/12/14 | 2021/06/18 | 50.20 |

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| 10182 | J P Morgan SP BV – NQ100 Index Euro Put Warrants Jun 2021 B | 200,000,000 | 2020/12/14 | 2021/06/18 | 50.40 |
| 10184 | J P Morgan SP BV – NQ100 Index Euro Put Warrants Jun 2021 C | 200,000,000 | 2020/12/14 | 2021/06/18 | 50.60 |
| 20450 | J P Morgan SP BV – Want Want Ch European Warrants Feb 2022 A | 80,000,000 | 2020/12/14 | 2022/02/28 | 16.32 |
| 20449 | J P Morgan SP BV – Xiaomi European Put Warrants May 2021 C | 200,000,000 | 2020/12/14 | 2021/05/04 | 38.00 |
| 20370 | Macquarie Bank Ltd. – Ali Health Euro Warrants Sep 2021 A | 40,000,000 | 2020/12/14 | 2021/09/02 | 10.04 |
| 20367 | Macquarie Bank Ltd. – CNBM European Warrants Aug 2021 A | 40,000,000 | 2020/12/14 | 2021/08/03 | 10.16 |
| 20371 | Macquarie Bank Ltd. – China CRSC European Warrants Sep 2021A | 24,000,000 | 2020/12/14 | 2021/09/17 | 10.01 |
| 20369 | Macquarie Bank Ltd. – PA Gooddoctor European Wts Jul 2021 A | 38,100,000 | 2020/12/14 | 2021/07/05 | 10.06 |
| 20419 | UBS AG – HSBC European Warrants Jun 2021 C | 100,000,000 | 2020/12/14 | 2021/06/07 | 16.00 |
| 20415 | UBS AG – Hua Hong Semi European Warrants Sep 2021 A | 40,000,000 | 2020/12/14 | 2021/09/23 | 10.00 |
| 20417 | UBS AG – ICBC European Put Warrants May 2021 A | 100,000,000 | 2020/12/14 | 2021/05/10 | 15.00 |
| 20416 | UBS AG – Ping An European Warrants Dec 2021 B | 200,000,000 | 2020/12/14 | 2021/12/28 | 30.00 |
| 20409 | UBS AG – Tencent European Warrants Mar 2021 C | 300,000,000 | 2020/12/14 | 2021/03/26 | 81.00 |
| 20454 | Bank Vontobel – Ali Health European Warrants Jun 2021 A | 60,000,000 | 2020/12/14 | 2021/06/15 | 19.80 |
| 20456 | Bank Vontobel – Ali Health European Warrants Jul 2021 A | 60,000,000 | 2020/12/14 | 2021/07/05 | 15.00 |
| 20457 | Bank Vontobel – Ali Health European Put Warrants Jul 2021 A | 40,000,000 | 2020/12/14 | 2021/07/05 | 15.20 |
| 20460 | Bank Vontobel – JD.com European Warrants Apr 2021 A | 50,000,000 | 2020/12/14 | 2021/04/28 | 10.00 |
| 20465 | Bank Vontobel – Tencent European Warrants May 2021 B | 50,000,000 | 2020/12/14 | 2021/05/28 | 14.50 |
| 20509 | BOCI Asia Ltd. – Ali Health European Warrants Jun 2021 A | 50,000,000 | 2020/12/15 | 2021/06/25 | 12.50 |
| 20510 | BOCI Asia Ltd. – CK Asset European Warrants Jun 2021 A | 60,000,000 | 2020/12/15 | 2021/06/25 | 10.20 |
| 20506 | BOCI Asia Ltd. – CKH Holdings European Warrants Jun 2021 B | 50,000,000 | 2020/12/15 | 2021/06/02 | 10.50 |
| 20507 | BOCI Asia Ltd. – Galaxy Ent European Warrants Apr 2021 A | 50,000,000 | 2020/12/15 | 2021/04/21 | 13.50 |
| 20539 | BOCI Asia Ltd. – HSI European Warrants Dec 2021 A | 150,000,000 | 2020/12/15 | 2021/12/30 | 37.50 |
| 20540 | BOCI Asia Ltd. – HSI European Put Warrants Dec 2021 A | 150,000,000 | 2020/12/15 | 2021/12/30 | 37.50 |
| 20580 | Credit Suisse AG – AIA European Warrants Jun 2021 A | 70,000,000 | 2020/12/15 | 2021/06/29 | 17.50 |
| 20583 | Credit Suisse AG – Ali Health European Warrants Jun 2021 B | 40,000,000 | 2020/12/15 | 2021/06/15 | 10.00 |
| 20567 | Credit Suisse AG – Hang Seng Bank European Wts Sep 2021 A | 80,000,000 | 2020/12/15 | 2021/09/30 | 20.00 |
| 20560 | Citigroup Global – CCB European Warrants May 2021 A | 100,000,000 | 2020/12/15 | 2021/05/28 | 15.00 |
| 20543 | Citigroup Global – CITIC Sec European Warrants May 2021 B | 100,000,000 | 2020/12/15 | 2021/05/28 | 15.00 |
| 20553 | Citigroup Global – JD.com European Warrants May 2021 B | 100,000,000 | 2020/12/15 | 2021/05/28 | 27.50 |
| 20559 | Citigroup Global – JD.com European Put Warrants May 2021 B | 100,000,000 | 2020/12/15 | 2021/05/25 | 16.70 |
| 20554 | Citigroup Global – Ping An European Put Warrants May 2021 A | 100,000,000 | 2020/12/15 | 2021/05/28 | 25.00 |
| 20589 | Goldman Sachs SP (Asia) – SPDR Gold Trust Euro Wt Aug 2021 A | 100,000,000 | 2020/12/15 | 2021/08/18 | 15.00 |
| 20502 | HK Bank – Alibaba European Warrants Sep 2021 B | 100,000,000 | 2020/12/15 | 2021/09/27 | 15.00 |
| 20495 | HK Bank – CC Bank European Warrants Sep 2021 A | 100,000,000 | 2020/12/15 | 2021/09/30 | 25.00 |
| 20503 | HK Bank – ICBC European Warrants Oct 2021 A | 100,000,000 | 2020/12/15 | 2021/10/06 | 15.00 |
| 20520 | HK Bank – Lenovo European Warrants Dec 2022 A | 200,000,000 | 2020/12/15 | 2022/12/30 | 50.00 |
| 20496 | HK Bank – Ping An European Warrants Jun 2021 C | 80,000,000 | 2020/12/15 | 2021/06/30 | 20.00 |
| 20492 | HK Bank – Tencent European Warrants Jun 2021 A | 80,000,000 | 2020/12/15 | 2021/06/30 | 40.00 |
| 20522 | J P Morgan SP BV – Beijing Airport European Warrants Aug21 A | 60,000,000 | 2020/12/15 | 2021/08/30 | 15.54 |
| 20527 | J P Morgan SP BV – China East Air European Wts Jun 2021 A | 40,000,000 | 2020/12/15 | 2021/06/18 | 12.72 |
| 20528 | J P Morgan SP BV – Ganfeng Lithium European Wts Jun 2021 A | 40,000,000 | 2020/12/15 | 2021/06/18 | 11.52 |
| 20524 | J P Morgan SP BV – PA Gooddoctor Euro Warrants Sep 2021 A | 70,000,000 | 2020/12/15 | 2021/09/29 | 17.78 |
| 20530 | J P Morgan SP BV – Yihai Intl European Warrants May 2021 A | 80,000,000 | 2020/12/15 | 2021/05/03 | 12.32 |

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| 20529 | J P Morgan SP BV – Yihai Intl European Warrants Jun 2021 A | 60,000,000 | 2020/12/15 | 2021/06/21 | 16.80 |
| 20531 | J P Morgan SP BV – ZA Online European Warrants Sep 2021 B | 100,000,000 | 2020/12/15 | 2021/09/30 | 15.30 |
| 20488 | Macquarie Bank Ltd. – Conch Cement European Wts Jul 2021 A | 30,000,000 | 2020/12/15 | 2021/07/05 | 10.26 |
| 20487 | Macquarie Bank Ltd. – Beijing Air European Wts Feb 2022 A | 40,000,000 | 2020/12/15 | 2022/02/11 | 10.36 |
| 20504 | Macquarie Bank Ltd. – CS Services Euro Warrants Jul 2021 A | 27,700,000 | 2020/12/15 | 2021/07/16 | 10.03 |
| 20491 | Macquarie Bank Ltd. – Poly Property European Wts Sep 2021 A | 34,500,000 | 2020/12/15 | 2021/09/16 | 10.01 |
| 20534 | Macquarie Bank Ltd. – Sands China European Wts Jul 2021 A | 37,500,000 | 2020/12/15 | 2021/07/05 | 10.01 |
| 20484 | Macquarie Bank Ltd. – Xiaomi European Warrants Jan 2022 B | 20,000,000 | 2020/12/15 | 2022/01/04 | 10.88 |
| 20482 | Macquarie Bank Ltd. – Xiaomi Euro Put Warrants Jul 2021 A | 30,000,000 | 2020/12/15 | 2021/07/05 | 10.02 |
| 20489 | Macquarie Bank Ltd. – Zhaojin Mining Euro Wts Dec 2021 A | 25,500,000 | 2020/12/15 | 2021/12/10 | 10.23 |
| 20514 | UBS AG – AIA European Put Warrants Dec 2021 A | 100,000,000 | 2020/12/15 | 2021/12/28 | 15.00 |
| 20518 | UBS AG – ICBC European Warrants Aug 2021 A | 100,000,000 | 2020/12/15 | 2021/08/24 | 15.00 |
| 20517 | UBS AG – JD.com European Warrants Jun 2021 A | 200,000,000 | 2020/12/15 | 2021/06/15 | 50.00 |
| 20515 | UBS AG – Xiaomi European Put Warrants May 2021 C | 200,000,000 | 2020/12/15 | 2021/05/26 | 30.00 |
| 20516 | UBS AG – Xiaomi European Put Warrants May 2021 D | 200,000,000 | 2020/12/15 | 2021/05/04 | 34.00 |
| 20597 | Bank Vontobel – AIA European Put Warrants Dec 2021 A | 60,000,000 | 2020/12/15 | 2021/12/21 | 12.60 |
| 20602 | Bank Vontobel – GAC European Warrants May 2021 A | 70,000,000 | 2020/12/15 | 2021/05/31 | 10.50 |
| 20601 | Bank Vontobel – GAC European Warrants Jun 2021 A | 50,000,000 | 2020/12/15 | 2021/06/30 | 10.00 |
| 20591 | Bank Vontobel – Meituan European Warrants Apr 2021 A | 80,000,000 | 2020/12/15 | 2021/04/23 | 23.20 |
| 20593 | Bank Vontobel – Meituan European Put Warrants Jul 2021 A | 80,000,000 | 2020/12/15 | 2021/07/02 | 12.00 |
| 20596 | Bank Vontobel – SUNAC European Warrants Jun 2021 A | 40,000,000 | 2020/12/15 | 2021/06/15 | 13.60 |
| 20641 | BNP Paribas Issuance B.V. – Ali Health European Wts May21 A | 40,000,000 | 2020/12/16 | 2021/05/04 | 12.00 |
| 20644 | BNP Paribas Issuance B.V. – Ali Health European Wts Jun21 A | 40,000,000 | 2020/12/16 | 2021/06/02 | 11.60 |
| 20638 | BNP Paribas Issuance B.V. – BYD Electronic Euro Wts Nov21A | 60,000,000 | 2020/12/16 | 2021/11/02 | 11.40 |
| 20640 | BNP Paribas Issuance B.V. – BYD Electronic Euro Wts Dec21A | 70,000,000 | 2020/12/16 | 2021/12/02 | 11.20 |
| 20656 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts May 2021 C | 50,000,000 | 2020/12/16 | 2021/05/04 | 10.00 |
| 20654 | BNP Paribas Issuance B.V. – Geely Auto Euro Wts Jul 2021 C | 40,000,000 | 2020/12/16 | 2021/07/05 | 10.40 |
| 20749 | Credit Suisse AG – Alibaba European Warrants Sep 2021 D | 400,000,000 | 2020/12/16 | 2021/09/27 | 60.00 |
| 20713 | Credit Suisse AG – Luye Pharma European Warrants Dec 2021 A | 50,000,000 | 2020/12/16 | 2021/12/31 | 12.50 |
| 20735 | Credit Suisse AG – Xiaomi European Warrants May 2021 A | 200,000,000 | 2020/12/16 | 2021/05/21 | 36.00 |
| 20819 | Goldman Sachs SP (Asia) – Alibaba Euro Put Wts Mar 2021 B | 100,000,000 | 2020/12/16 | 2021/03/18 | 15.00 |
| 20818 | Goldman Sachs SP (Asia) – HSBC European Put Wts May 2021 A | 80,000,000 | 2020/12/16 | 2021/05/25 | 16.80 |
| 20682 | HK Bank – AAC Tech European Warrants Oct 2021 A | 100,000,000 | 2020/12/16 | 2021/10/08 | 15.00 |
| 20685 | HK Bank – Ali Health European Warrants Jul 2021 A | 80,000,000 | 2020/12/16 | 2021/07/30 | 20.00 |
| 20691 | HK Bank – BYD Company European Warrants Jun 2021 B | 100,000,000 | 2020/12/16 | 2021/06/30 | 25.00 |
| 20683 | HK Bank – CG Services European Warrants Dec 2021 B | 80,000,000 | 2020/12/16 | 2021/12/24 | 12.00 |
| 20690 | HK Bank – CITIC Sec European Warrants May 2021 A | 100,000,000 | 2020/12/16 | 2021/05/31 | 15.00 |
| 20692 | HK Bank – Sinopec Corp European Warrants Oct 2021 A | 80,000,000 | 2020/12/16 | 2021/10/04 | 20.00 |
| 10190 | HK Bank – DJIA European Warrants Jun 2021 C | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 10189 | HK Bank – DJIA European Put Warrants Jun 2021 C | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 10194 | HK Bank – DJIA European Put Warrants Jun 2021 D | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 20681 | HK Bank – HSI European Warrants Jun 2021 D | 200,000,000 | 2020/12/16 | 2021/06/29 | 50.00 |
| 20680 | HK Bank – HSI European Put Warrants Jul 2021 A | 200,000,000 | 2020/12/16 | 2021/07/29 | 30.00 |
| 10187 | HK Bank – NQ100 Index European Warrants Jun 2021 A | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 10188 | HK Bank – NQ100 Index European Warrants Jun 2021 B | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 10191 | HK Bank – NQ100 Index European Put Warrants Jun 2021 A | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 10192 | HK Bank – NQ100 Index European Put Warrants Jun 2021 B | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 10193 | HK Bank – NQ100 Index European Put Warrants Jun 2021 C | 150,000,000 | 2020/12/16 | 2021/06/18 | 37.50 |
| 20688 | HK Bank – NetEase European Warrants Sep 2022 A | 100,000,000 | 2020/12/16 | 2022/09/28 | 16.00 |
| 20701 | J P Morgan SP BV – Ali Health European Warrants Jun 2021 B | 60,000,000 | 2020/12/16 | 2021/06/30 | 15.12 |
| 20706 | J P Morgan SP BV – Ali Health European Put Warrants Jun21 A | 50,000,000 | 2020/12/16 | 2021/06/30 | 12.70 |
| 20708 | J P Morgan SP BV – Chi Res Power European Wts Sep 2021 A | 70,000,000 | 2020/12/16 | 2021/09/10 | 10.57 |
| 20700 | J P Morgan SP BV – Xinyi Glass European Warrants Jul 2021 A | 60,000,000 | 2020/12/16 | 2021/07/30 | 15.66 |
| 20657 | Macquarie Bank Ltd. – China Shenhua European Wts Dec 2021 A | 40,000,000 | 2020/12/16 | 2021/12/02 | 10.08 |
| 20658 | Macquarie Bank Ltd. – Chinasoft Int'l European Wt Dec 2021 A | 32,500,000 | 2020/12/16 | 2021/12/10 | 10.08 |
| 20633 | Macquarie Bank Ltd. – Ganfeng Lithium European Wts Aug2021 A | 33,900,000 | 2020/12/16 | 2021/08/03 | 10.07 |
| 20628 | Macquarie Bank Ltd. – Genscript Bio European Wts Aug 2021 A | 40,000,000 | 2020/12/16 | 2021/08/17 | 10.04 |
| 20626 | Macquarie Bank Ltd. – SD Gold European Warrants Jul 2021 A | 34,700,000 | 2020/12/16 | 2021/07/16 | 10.03 |
| 20813 | Morgan Stanley Asia Products Ltd. – CCB Euro Wts May 2021 A | 108,000,000 | 2020/12/16 | 2021/05/24 | 16.20 |
| 20612 | SG Issuer – JD.com European Warrants Mar 2021 E | 80,000,000 | 2020/12/16 | 2021/03/26 | 13.20 |
| 20611 | SG Issuer – JD.com European Warrants May 2021 B | 80,000,000 | 2020/12/16 | 2021/05/27 | 20.80 |
| 20693 | UBS AG – BYD Electronic European Warrants Oct 2021 A | 50,000,000 | 2020/12/16 | 2021/10/22 | 10.00 |
| 20699 | UBS AG – HSBC European Put Warrants May 2021 B | 100,000,000 | 2020/12/16 | 2021/05/31 | 15.00 |
| 20808 | Bank Vontobel – AAC Tech European Warrants Jul 2021 A | 40,000,000 | 2020/12/16 | 2021/07/05 | 10.00 |
| 20778 | Bank Vontobel – Alibaba European Put Warrants May 2021 A | 70,000,000 | 2020/12/16 | 2021/05/26 | 10.50 |
| 20804 | Bank Vontobel – BYD Company European Warrants Jun 2021 A | 50,000,000 | 2020/12/16 | 2021/06/29 | 10.00 |
| 20772 | Bank Vontobel – CM Bank European Warrants Jun 2021 A | 30,000,000 | 2020/12/16 | 2021/06/29 | 10.50 |
| 20762 | Bank Vontobel – CNBM European Warrants Dec 2021 A | 70,000,000 | 2020/12/16 | 2021/12/29 | 10.50 |
| 20755 | Bank Vontobel – HSBC European Warrants May 2021 C | 50,000,000 | 2020/12/16 | 2021/05/27 | 10.00 |
| 20788 | Bank Vontobel – HSI European Warrants May 2021 A | 100,000,000 | 2020/12/16 | 2021/05/28 | 21.00 |
| 20797 | Bank Vontobel – HSI European Warrants May 2021 B | 100,000,000 | 2020/12/16 | 2021/05/28 | 15.00 |
| 20798 | Bank Vontobel – HSI European Put Warrants Jul 2021 A | 100,000,000 | 2020/12/16 | 2021/07/29 | 20.00 |
| 20800 | Bank Vontobel – HSI European Put Warrants Jul 2021 B | 100,000,000 | 2020/12/16 | 2021/07/29 | 15.00 |
| 20779 | Bank Vontobel – NetEase European Warrants May 2021 B | 70,000,000 | 2020/12/16 | 2021/05/26 | 10.50 |
| 20760 | Bank Vontobel – Sunny Optical Euro Put Warrants Jul 2021 A | 40,000,000 | 2020/12/16 | 2021/07/29 | 10.00 |
| 20781 | Bank Vontobel – Xiaomi European Warrants Apr 2021 B | 60,000,000 | 2020/12/16 | 2021/04/23 | 13.98 |
| 20787 | Bank Vontobel – Xiaomi European Warrants May 2021 B | 60,000,000 | 2020/12/16 | 2021/05/26 | 13.44 |
| 20870 | BOCI Asia Ltd. – Sands China Ltd European Wts Apr 2021 A | 70,000,000 | 2020/12/17 | 2021/04/09 | 11.90 |
| 20871 | BOCI Asia Ltd. – Xiaomi European Warrants Mar 2021 C | 100,000,000 | 2020/12/17 | 2021/03/26 | 15.00 |
| 20872 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Apr 2021 E | 60,000,000 | 2020/12/17 | 2021/04/07 | 11.40 |
| 20873 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Apr 2021 F | 70,000,000 | 2020/12/17 | 2021/04/07 | 10.50 |
| 20875 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Jun 2021 B | 60,000,000 | 2020/12/17 | 2021/06/02 | 12.00 |
| 21084 | Credit Suisse AG – Ali Health European Put Wts Jun 2021 A | 40,000,000 | 2020/12/17 | 2021/06/16 | 10.80 |
| 21079 | Credit Suisse AG – Chi Res Power European Wts Sep 2021 A | 60,000,000 | 2020/12/17 | 2021/09/10 | 10.20 |
| 21066 | Credit Suisse AG – Ganfeng Lithium European Wts Jun 2021 A | 40,000,000 | 2020/12/17 | 2021/06/16 | 10.00 |
| 21089 | Credit Suisse AG – JD.com European Warrants Mar 2021 D | 200,000,000 | 2020/12/17 | 2021/03/22 | 44.00 |
| 21080 | Credit Suisse AG – Jiumaojiu European Warrants Jun 2021 A | 40,000,000 | 2020/12/17 | 2021/06/16 | 10.80 |
| 21006 | Credit Suisse AG – PA Gooddoctor Euro Warrants Jun 2021 B | 60,000,000 | 2020/12/17 | 2021/06/16 | 15.00 |

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|-------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 21055 | Credit Suisse AG – SD Gold European Warrants Jun 2021 A | 40,000,000 | 2020/12/17 | 2021/06/16 | 10.00 |
| 21025 | Credit Suisse AG – WuXi Bio European Warrants Jun 2021 A | 80,000,000 | 2020/12/17 | 2021/06/16 | 20.00 |
| 21088 | Credit Suisse AG – Xiaomi European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/17 | 2021/06/16 | 28.00 |
| 21076 | Credit Suisse AG – Xinyi Glass European Warrants Jun 2021 A | 50,000,000 | 2020/12/17 | 2021/06/30 | 12.50 |
| 20948 | Citigroup Global – Ali Health European Warrants May 2021 A | 100,000,000 | 2020/12/17 | 2021/05/28 | 32.50 |
| 20931 | Citigroup Global – Ali Health European Warrants Jun 2021 A | 100,000,000 | 2020/12/17 | 2021/06/29 | 25.00 |
| 20920 | Citigroup Global – Sunny Optical Euro Warrants Jul 2021 A | 100,000,000 | 2020/12/17 | 2021/07/29 | 15.00 |
| 20919 | Citigroup Global – Xiaomi European Warrants Mar 2021 F | 100,000,000 | 2020/12/17 | 2021/03/30 | 18.10 |
| 20944 | Citigroup Global – Xiaomi European Put Warrants May 2021 B | 100,000,000 | 2020/12/17 | 2021/05/28 | 22.00 |
| 21370 | Goldman Sachs SP (Asia) – HKEX European Warrants Apr 2021 C | 150,000,000 | 2020/12/17 | 2021/04/23 | 22.50 |
| 21384 | Goldman Sachs SP (Asia) – HSI European Warrants Jun 2021 A | 150,000,000 | 2020/12/17 | 2021/06/29 | 22.50 |
| 21369 | Goldman Sachs SP (Asia) – Tencent European Wts Sep 2022 A | 150,000,000 | 2020/12/17 | 2022/09/19 | 22.50 |
| 20858 | HK Bank – Alibaba European Put Warrants Jul 2021 A | 100,000,000 | 2020/12/17 | 2021/07/30 | 25.00 |
| 20846 | HK Bank – Bud Apac European Warrants Jun 2021 A | 50,000,000 | 2020/12/17 | 2021/06/30 | 12.50 |
| 20865 | HK Bank – JD.com European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/17 | 2021/06/30 | 20.00 |
| 20907 | HK Bank – Meituan European Put Warrants Jun 2021 B | 80,000,000 | 2020/12/17 | 2021/06/30 | 20.00 |
| 20835 | HK Bank – Tencent European Warrants Dec 2022 A | 200,000,000 | 2020/12/17 | 2022/12/30 | 50.00 |
| 20826 | HK Bank – Tencent European Put Warrants May 2021 B | 80,000,000 | 2020/12/17 | 2021/05/25 | 16.80 |
| 20917 | HK Bank – Tencent European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/17 | 2021/06/21 | 12.00 |
| 20915 | HK Bank – Tencent European Put Warrants Dec 2022 A | 200,000,000 | 2020/12/17 | 2022/12/29 | 30.00 |
| 20829 | HK Bank – Xiaomi European Warrants Jun 2021 B | 80,000,000 | 2020/12/17 | 2021/06/30 | 20.00 |
| 20827 | HK Bank – Xiaomi European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/17 | 2021/06/30 | 24.00 |
| 21120 | Haitong Int'l Sec – A-Living European Warrants May 2021 A | 40,000,000 | 2020/12/17 | 2021/05/25 | 10.00 |
| 21184 | Haitong Int'l Sec – BYD Electronic European Wts Oct 2021 A | 60,000,000 | 2020/12/17 | 2021/10/22 | 12.60 |
| 21090 | Haitong Int'l Sec – Comba European Warrants Jun 2021 A | 40,000,000 | 2020/12/17 | 2021/06/17 | 10.00 |
| 21112 | Haitong Int'l Sec – Dongfeng European Warrants May 2021 A | 50,000,000 | 2020/12/17 | 2021/05/26 | 12.00 |
| 21119 | Haitong Int'l Sec – JD.com European Warrants Mar 2021 A | 80,000,000 | 2020/12/17 | 2021/03/29 | 12.00 |
| 21229 | Haitong Int'l Sec – JXR European Warrants Aug 2021 A | 60,000,000 | 2020/12/17 | 2021/08/24 | 14.40 |
| 21103 | Haitong Int'l Sec – Li Ning European Warrants May 2021 A | 25,000,000 | 2020/12/17 | 2021/05/03 | 10.75 |
| 21100 | Haitong Int'l Sec – Microport European Warrants Jun 2021 A | 25,000,000 | 2020/12/17 | 2021/06/16 | 13.75 |
| 20987 | J P Morgan SP BV – Alibaba European Warrants May 2021 A | 300,000,000 | 2020/12/17 | 2021/05/10 | 45.00 |
| 21004 | J P Morgan SP BV – JD.com European Warrants May 2021 C | 200,000,000 | 2020/12/17 | 2021/05/31 | 45.40 |
| 20976 | J P Morgan SP BV – Jiumaojiu European Warrants May 2021 A | 70,000,000 | 2020/12/17 | 2021/05/11 | 18.06 |
| 20975 | J P Morgan SP BV – Lenovo European Warrants Jun 2021 A | 50,000,000 | 2020/12/17 | 2021/06/16 | 20.90 |
| 21000 | J P Morgan SP BV – Luye Pharma European Warrants Aug 2021 A | 70,000,000 | 2020/12/17 | 2021/08/17 | 10.50 |
| 20982 | J P Morgan SP BV – Meituan European Warrants May 2021 B | 300,000,000 | 2020/12/17 | 2021/05/06 | 50.40 |
| 21005 | J P Morgan SP BV – NetEase European Warrants May 2021 A | 200,000,000 | 2020/12/17 | 2021/05/31 | 30.20 |
| 10196 | J P Morgan SP BV – S&P Index European Warrants Jun 2021 A | 200,000,000 | 2020/12/17 | 2021/06/18 | 50.20 |
| 10198 | J P Morgan SP BV – S&P Index European Warrants Jun 2021 B | 200,000,000 | 2020/12/17 | 2021/06/18 | 50.20 |
| 10195 | J P Morgan SP BV – S&P Index European Put Wts Jun 2021 A | 200,000,000 | 2020/12/17 | 2021/06/18 | 50.20 |
| 10197 | J P Morgan SP BV – S&P Index European Put Wts Jun 2021 B | 200,000,000 | 2020/12/17 | 2021/06/18 | 50.60 |
| 10199 | J P Morgan SP BV – S&P Index European Put Wts Jun 2021 C | 200,000,000 | 2020/12/17 | 2021/06/18 | 50.40 |
| 21002 | J P Morgan SP BV – Tencent European Warrants Mar 2021 B | 250,000,000 | 2020/12/17 | 2021/03/31 | 49.50 |
| 20992 | J P Morgan SP BV – Xiaomi European Warrants May 2021 B | 200,000,000 | 2020/12/17 | 2021/05/31 | 43.80 |

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| 20974 | J P Morgan SP BV – Zhaojin Mining European Wts Jun 2021 A | 60,000,000 | 2020/12/17 | 2021/06/30 | 15.00 |
| 20960 | Macquarie Bank Ltd. – CSPC Pharma European Put Wts Feb 2022A | 40,000,000 | 2020/12/17 | 2022/02/04 | 10.00 |
| 20957 | Macquarie Bank Ltd. – Henganintl European Put Wts Jul 2021 A | 23,000,000 | 2020/12/17 | 2021/07/05 | 10.01 |
| 20820 | Macquarie Bank Ltd. – Xiaomi European Warrants Jul 2021 D | 36,000,000 | 2020/12/17 | 2021/07/05 | 10.12 |
| 21297 | SG Issuer – AIA European Warrants Jun 2021 A | 40,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 21298 | SG Issuer – Anta Sports European Warrants May 2021 B | 60,000,000 | 2020/12/17 | 2021/05/17 | 11.10 |
| 21330 | SG Issuer – BOC HK European Warrants May 2021 A | 70,000,000 | 2020/12/17 | 2021/05/24 | 10.85 |
| 21335 | SG Issuer – Geely Auto European Warrants Jun 2021 A | 60,000,000 | 2020/12/17 | 2021/06/28 | 10.80 |
| 21347 | SG Issuer – HKEX European Warrants Apr 2021 B | 70,000,000 | 2020/12/17 | 2021/04/26 | 11.20 |
| 21349 | SG Issuer – Sunny Optical European Warrants May 2021 A | 70,000,000 | 2020/12/17 | 2021/05/07 | 10.50 |
| 21364 | SG Issuer – Sunny Optical European Warrants Jul 2021 A | 100,000,000 | 2020/12/17 | 2021/07/30 | 15.00 |
| 21365 | SG Issuer – Xiaomi European Warrants May 2021 B | 50,000,000 | 2020/12/17 | 2021/05/31 | 11.00 |
| 20882 | UBS AG – Alibaba European Warrants Sep 2021 C | 200,000,000 | 2020/12/17 | 2021/09/27 | 30.00 |
| 20891 | UBS AG – Xiaomi European Warrants May 2021 A | 200,000,000 | 2020/12/17 | 2021/05/04 | 48.00 |
| 20903 | UBS AG – Xiaomi European Warrants May 2021 B | 200,000,000 | 2020/12/17 | 2021/05/21 | 30.00 |
| 21292 | Bank Vontobel – Alibaba Group European Warrants Jun 2021 A | 60,000,000 | 2020/12/17 | 2021/06/30 | 10.20 |
| 21243 | Bank Vontobel – BYD Company European Warrants Jun 2021 B | 50,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 21286 | Bank Vontobel – CNBM European Warrants Jul 2021 A | 50,000,000 | 2020/12/17 | 2021/07/29 | 10.00 |
| 21295 | Bank Vontobel – PA Gooddoctor European Warrants Jun 2021 A | 100,000,000 | 2020/12/17 | 2021/06/25 | 20.00 |
| 21241 | Bank Vontobel – SHK Ppt European Warrants Dec 2021 A | 50,000,000 | 2020/12/17 | 2021/12/23 | 11.00 |
| 21533 | BOCI Asia Ltd. – Ping An European Warrants Dec 2021 B | 150,000,000 | 2020/12/18 | 2021/12/28 | 24.00 |
| 21510 | BOCI Asia Ltd. – SD Gold European Warrants Jun 2021 A | 50,000,000 | 2020/12/18 | 2021/06/30 | 12.50 |
| 21488 | BOCI Asia Ltd. – Tencent European Warrants Mar 2021 C | 100,000,000 | 2020/12/18 | 2021/03/24 | 15.00 |
| 21508 | BOCI Asia Ltd. – Tencent European Put Wts Jun 2021 A | 100,000,000 | 2020/12/18 | 2021/06/18 | 15.00 |
| 21397 | BNP Paribas Issuance B.V. – Alibaba European Wts Sep 2021 C | 100,000,000 | 2020/12/18 | 2021/09/02 | 27.00 |
| 21806 | BNP Paribas Issuance B.V. – Bud Apac European Wts Jul 2021 A | 40,000,000 | 2020/12/18 | 2021/07/05 | 10.00 |
| 21418 | BNP Paribas Issuance B.V. – PA Gooddoctor Euro Wt Oct 2021 A | 50,000,000 | 2020/12/18 | 2021/10/05 | 11.00 |
| 21769 | Credit Suisse AG – AIA European Put Warrants Dec 2021 B | 150,000,000 | 2020/12/18 | 2021/12/21 | 22.50 |
| 21775 | Credit Suisse AG – Alibaba European Warrants May 2021 F | 400,000,000 | 2020/12/18 | 2021/05/10 | 60.00 |
| 21805 | Credit Suisse AG – CC Bank European Warrants Jul 2021 A | 60,000,000 | 2020/12/18 | 2021/07/30 | 15.00 |
| 21796 | Credit Suisse AG – HKEX European Warrants Jun 2021 A | 200,000,000 | 2020/12/18 | 2021/06/25 | 30.00 |
| 21798 | Credit Suisse AG – Lenovo European Warrants Jun 2021 A | 30,000,000 | 2020/12/18 | 2021/06/17 | 10.50 |
| 21774 | Credit Suisse AG – Tencent European Wts Mar 2021 D | 250,000,000 | 2020/12/18 | 2021/03/31 | 42.50 |
| 22129 | Citigroup Global – PA Gooddoctor European Wts Jun 2021 A | 100,000,000 | 2020/12/18 | 2021/06/29 | 18.40 |
| 22074 | Citigroup Global – Ping An European Warrants May 2021 B | 100,000,000 | 2020/12/18 | 2021/05/28 | 19.30 |
| 22123 | Citigroup Global – Tencent European Warrants Mar 2021 B | 100,000,000 | 2020/12/18 | 2021/03/30 | 15.00 |
| 22109 | Citigroup Global – Tencent European Warrants Apr 2021 C | 100,000,000 | 2020/12/18 | 2021/04/27 | 35.00 |
| 22103 | Citigroup Global – Tencent European Put Warrants Mar 2021 B | 100,000,000 | 2020/12/18 | 2021/03/30 | 17.30 |
| 21550 | Bank of East Asia – Swire Pacific A European Wts Nov 2021 A | 40,000,000 | 2020/12/18 | 2021/11/26 | 10.00 |
| 21535 | Bank of East Asia – Xiaomi European Warrants Jul 2021 A | 50,000,000 | 2020/12/18 | 2021/07/16 | 13.00 |
| 21437 | Guotai Junan – Tencent European Warrants Apr 2021 B | 100,000,000 | 2020/12/18 | 2021/04/07 | 18.10 |
| 21435 | Guotai Junan – Xiaomi European Put Warrants May 2021 A | 100,000,000 | 2020/12/18 | 2021/05/04 | 17.70 |
| 22022 | Goldman Sachs SP (Asia) – AIA European Warrants Aug 2021 A | 150,000,000 | 2020/12/18 | 2021/08/23 | 28.95 |
| 22043 | Goldman Sachs SP (Asia) – AIA European Put Wts Dec 2021 B | 80,000,000 | 2020/12/18 | 2021/12/21 | 12.00 |

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| 21991 | Goldman Sachs SP (Asia) – Alibaba European Wts May 2021 E | 150,000,000 | 2020/12/18 | 2021/05/24 | 22.50 |
| 22002 | Goldman Sachs SP (Asia) – Alibaba European Wts Jun 2021 C | 150,000,000 | 2020/12/18 | 2021/06/11 | 22.50 |
| 22012 | Goldman Sachs SP (Asia) – JD.com Euro Warrants Mar 2021 G | 120,000,000 | 2020/12/18 | 2021/03/24 | 24.48 |
| 22047 | Goldman Sachs SP (Asia) – Lenovo European Wts Jun 2021 A | 80,000,000 | 2020/12/18 | 2021/06/18 | 31.52 |
| 21572 | HK Bank – Alibaba European Warrants Jun 2021 B | 100,000,000 | 2020/12/18 | 2021/06/30 | 25.00 |
| 21717 | HK Bank – CSOP A50 ETF European Warrants Jan 2022 A | 180,000,000 | 2020/12/18 | 2022/01/04 | 45.00 |
| 21698 | HK Bank – A50 China European Warrants Jan 2022 A | 180,000,000 | 2020/12/18 | 2022/01/04 | 45.00 |
| 21555 | HK Bank – JD.com European Warrants Jun 2021 A | 80,000,000 | 2020/12/18 | 2021/06/30 | 22.40 |
| 21597 | HK Bank – Meituan European Warrants May 2021 C | 80,000,000 | 2020/12/18 | 2021/05/11 | 17.60 |
| 21600 | HK Bank – Meituan European Warrants Jun 2021 A | 80,000,000 | 2020/12/18 | 2021/06/30 | 28.00 |
| 21594 | HK Bank – Tencent European Warrants Jun 2021 B | 80,000,000 | 2020/12/18 | 2021/06/30 | 20.80 |
| 21692 | HK Bank – Xiaomi European Warrants Jun 2021 C | 80,000,000 | 2020/12/18 | 2021/06/30 | 32.00 |
| 21760 | Haitong Int'l Sec – Ali Health European Warrants Jun 2021 A | 40,000,000 | 2020/12/18 | 2021/06/18 | 12.40 |
| 21764 | Haitong Int'l Sec – CNOOC European Warrants Dec 2021 C | 80,000,000 | 2020/12/18 | 2021/12/22 | 13.60 |
| 21768 | Haitong Int'l Sec – SD Gold European Warrants Apr 2021 A | 68,000,000 | 2020/12/18 | 2021/04/16 | 10.20 |
| 21761 | Haitong Int'l Sec – Xiaomi European Put Warrants May 2021 B | 80,000,000 | 2020/12/18 | 2021/05/24 | 17.60 |
| 21751 | J P Morgan SP BV – Bank of China European Wts Dec 2021 A | 100,000,000 | 2020/12/18 | 2021/12/23 | 15.10 |
| 21757 | J P Morgan SP BV – Innovent Bio European Warrants Aug 2021 A | 70,000,000 | 2020/12/18 | 2021/08/13 | 16.31 |
| 21721 | J P Morgan SP BV – KB Laminates European Warrants Oct 2021 A | 50,000,000 | 2020/12/18 | 2021/10/20 | 12.60 |
| 21726 | J P Morgan SP BV – Li Ning European Warrants May 2022 A | 60,000,000 | 2020/12/18 | 2022/05/30 | 15.12 |
| 21742 | J P Morgan SP BV – Microport European Warrants Sep 2021 A | 100,000,000 | 2020/12/18 | 2021/09/30 | 15.90 |
| 21620 | Macquarie Bank Ltd. – Lenovo European Warrants Jul 2021 A | 24,700,000 | 2020/12/18 | 2021/07/05 | 10.03 |
| 21617 | Macquarie Bank Ltd. – Lenovo European Put Wts Sep 2021 A | 36,600,000 | 2020/12/18 | 2021/09/02 | 10.10 |
| 21614 | Macquarie Bank Ltd. – NetEase European Warrants Jul 2021 A | 40,000,000 | 2020/12/18 | 2021/07/05 | 10.04 |
| 21615 | Macquarie Bank Ltd. – NetEase Euro Put Warrants Jul 2021 A | 37,000,000 | 2020/12/18 | 2021/07/05 | 10.06 |
| 21623 | Macquarie Bank Ltd. – Sinopharm European Warrants Aug 2021 A | 32,100,000 | 2020/12/18 | 2021/08/03 | 10.02 |
| 21626 | Morgan Stanley Asia Products Ltd. – AIA Euro Wts Jun 2021 B | 68,000,000 | 2020/12/18 | 2021/06/17 | 17.41 |
| 21630 | Morgan Stanley Asia Products Ltd. – AIA Euro Wts Nov 2021 A | 88,000,000 | 2020/12/18 | 2021/11/01 | 22.00 |
| 21628 | Morgan Stanley Asia Products Ltd. – AIA Euro Put Wts Jun 21A | 40,000,000 | 2020/12/18 | 2021/06/17 | 10.00 |
| 21634 | Morgan Stanley Asia Products Ltd. – AIA Euro Put Wts Dec 21A | 68,000,000 | 2020/12/18 | 2021/12/21 | 10.20 |
| 21439 | Morgan Stanley Asia Products Ltd. – Ali Health Eu Wt May 21B | 48,000,000 | 2020/12/18 | 2021/05/25 | 13.78 |
| 21487 | Morgan Stanley Asia Products Ltd. – Ali Health Eu Wt Aug 21A | 48,000,000 | 2020/12/18 | 2021/08/02 | 12.00 |
| 21486 | Morgan Stanley Asia Products Ltd. – HKEX Euro Wts May 2021 B | 98,000,000 | 2020/12/18 | 2021/05/26 | 15.19 |
| 21629 | Morgan Stanley Asia Products Ltd. – Link Euro Wt Jun 2021 A | 48,000,000 | 2020/12/18 | 2021/06/30 | 12.00 |
| 21467 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts May 21 E | 98,000,000 | 2020/12/18 | 2021/05/26 | 22.83 |
| 21476 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts Jun 21 D | 98,000,000 | 2020/12/18 | 2021/06/25 | 22.64 |
| 21894 | SG Issuer – Conch Cement European Warrants Jul 2021 A | 25,000,000 | 2020/12/18 | 2021/07/05 | 10.00 |
| 21877 | SG Issuer – Ali Health European Warrants Jun 2021 B | 60,000,000 | 2020/12/18 | 2021/06/17 | 15.60 |
| 21901 | SG Issuer – China South Air European Warrants Nov 2021 A | 80,000,000 | 2020/12/18 | 2021/11/25 | 14.80 |
| 21912 | SG Issuer – CSPC Pharma European Warrants May 2021 A | 80,000,000 | 2020/12/18 | 2021/05/18 | 12.00 |
| 21823 | SG Issuer – HKEX European Put Warrants May 2021 A | 70,000,000 | 2020/12/18 | 2021/05/26 | 10.50 |
| 21928 | SG Issuer – Lenovo European Warrants Jun 2021 A | 25,000,000 | 2020/12/18 | 2021/06/17 | 10.00 |
| 21933 | SG Issuer – Lenovo European Warrants Aug 2021 A | 100,000,000 | 2020/12/18 | 2021/08/26 | 17.00 |
| 21834 | SG Issuer – Tencent European Warrants Apr 2021 E | 150,000,000 | 2020/12/18 | 2021/04/27 | 41.25 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 21843 | SG Issuer – Tencent European Warrants May 2021 A | 150,000,000 | 2020/12/18 | 2021/05/25 | 34.50 |
| 21863 | SG Issuer – Tencent European Warrants May 2021 B | 150,000,000 | 2020/12/18 | 2021/05/27 | 66.00 |
| 21958 | SG Issuer – Xiaomi European Warrants May 2021 C | 100,000,000 | 2020/12/18 | 2021/05/04 | 27.00 |
| 21981 | SG Issuer – Xiaomi European Warrants Jun 2021 A | 100,000,000 | 2020/12/18 | 2021/06/30 | 29.00 |
| 21964 | SG Issuer – Xiaomi European Put Warrants May 2021 B | 100,000,000 | 2020/12/18 | 2021/05/28 | 17.50 |
| 21640 | UBS AG – AIA European Warrants Jun 2021 A | 100,000,000 | 2020/12/18 | 2021/06/21 | 25.00 |
| 21643 | UBS AG – AIA European Put Warrants May 2021 A | 100,000,000 | 2020/12/18 | 2021/05/05 | 15.00 |
| 21676 | UBS AG – Alibaba European Warrants May 2021 F | 200,000,000 | 2020/12/18 | 2021/05/10 | 30.00 |
| 21658 | UBS AG – Ali Health European Warrants Jun 2021 A | 60,000,000 | 2020/12/18 | 2021/06/24 | 13.20 |
| 21685 | UBS AG – Ali Health European Warrants Jun 2021 B | 60,000,000 | 2020/12/18 | 2021/06/07 | 10.20 |
| 21689 | UBS AG – BOC HK European Warrants May 2021 A | 100,000,000 | 2020/12/18 | 2021/05/31 | 15.00 |
| 21644 | UBS AG – Sinopec Corp European Warrants Jun 2021 A | 70,000,000 | 2020/12/18 | 2021/06/23 | 11.90 |
| 21683 | UBS AG – HKEX European Warrants Jul 2021 A | 300,000,000 | 2020/12/18 | 2021/07/27 | 45.00 |
| 21681 | UBS AG – NetEase European Warrants May 2021 A | 200,000,000 | 2020/12/18 | 2021/05/21 | 30.00 |
| 21657 | UBS AG – PetroChina European Warrants Jul 2021 A | 70,000,000 | 2020/12/18 | 2021/07/23 | 10.50 |
| 22165 | Bank Vontobel – China Life European Warrants Oct 2021 A | 40,000,000 | 2020/12/18 | 2021/10/08 | 10.00 |
| 22141 | Bank Vontobel – China Shenhua European Warrants Jun 2021 B | 30,000,000 | 2020/12/18 | 2021/06/28 | 10.02 |
| 22167 | Bank Vontobel – Galaxy Ent European Warrants Jul 2021 A | 40,000,000 | 2020/12/18 | 2021/07/02 | 11.60 |
| 22174 | Bank Vontobel – HKEX European Warrants Oct 2021 A | 40,000,000 | 2020/12/18 | 2021/10/08 | 10.40 |
| 22160 | Bank Vontobel – Ping An European Warrants Oct 2021 A | 40,000,000 | 2020/12/18 | 2021/10/08 | 10.80 |
| 22142 | Bank Vontobel – Sino Biopharm European Warrants Jun 2021 B | 70,000,000 | 2020/12/18 | 2021/06/23 | 10.50 |
| 22135 | Bank Vontobel – Xiaomi European Put Warrants Jun 2021 C | 80,000,000 | 2020/12/18 | 2021/06/30 | 26.40 |
| 22509 | BOCI Asia Ltd. – HKEX European Put Warrants May 2021 A | 100,000,000 | 2020/12/21 | 2021/05/24 | 15.00 |
| 22517 | BOCI Asia Ltd. – Lenovo European Warrants Dec 2021 B | 100,000,000 | 2020/12/21 | 2021/12/28 | 25.00 |
| 22520 | BOCI Asia Ltd. – SUNAC European Warrants Jun 2021 A | 60,000,000 | 2020/12/21 | 2021/06/30 | 15.00 |
| 22476 | BNP Paribas Issuance B.V. – HKEX European Wts Jul 2021 B | 60,000,000 | 2020/12/21 | 2021/07/05 | 15.00 |
| 22425 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Jul 2021 C | 80,000,000 | 2020/12/21 | 2021/07/05 | 25.60 |
| 22455 | BNP Paribas Issuance B.V. – Meituan Euro Warrants Aug 2021 A | 80,000,000 | 2020/12/21 | 2021/08/03 | 20.00 |
| 22570 | Credit Suisse AG – Bank of China European Wts Dec 2021 A | 70,000,000 | 2020/12/21 | 2021/12/23 | 10.50 |
| 22601 | Credit Suisse AG – Chinares Cement Euro Warrants Jun 2021 A | 50,000,000 | 2020/12/21 | 2021/06/21 | 12.50 |
| 22644 | Credit Suisse AG – Huaneng Power Euro Warrants Jun 2021 A | 40,000,000 | 2020/12/21 | 2021/06/21 | 10.00 |
| 22632 | Credit Suisse AG – Mengniu Dairy European Wts Jun 2021 A | 50,000,000 | 2020/12/21 | 2021/06/21 | 12.50 |
| 22557 | Credit Suisse AG – Sunny Optical Euro Warrants Jun 2021 B | 100,000,000 | 2020/12/21 | 2021/06/07 | 15.00 |
| 22347 | Guotai Junan – China Life European Warrants Apr 2021 A | 100,000,000 | 2020/12/21 | 2021/04/07 | 15.40 |
| 22360 | Guotai Junan – Geely Auto European Warrants May 2021 C | 100,000,000 | 2020/12/21 | 2021/05/14 | 19.00 |
| 22359 | Guotai Junan – Sands China Ltd European Put Wts May 2021 A | 100,000,000 | 2020/12/21 | 2021/05/10 | 15.00 |
| 23236 | Goldman Sachs SP (Asia) – Dongfeng European Wts May 2021 A | 70,000,000 | 2020/12/21 | 2021/05/04 | 10.50 |
| 23235 | Goldman Sachs SP (Asia) – NetEase Euro Warrants May 2021 C | 150,000,000 | 2020/12/21 | 2021/05/21 | 22.50 |
| 23183 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 F | 150,000,000 | 2020/12/21 | 2021/03/26 | 57.15 |
| 23193 | Goldman Sachs SP (Asia) – Tencent European Wts Apr 2021 D | 150,000,000 | 2020/12/21 | 2021/04/27 | 37.50 |
| 23234 | Goldman Sachs SP (Asia) – Xiaomi European Wts May 2021 A | 100,000,000 | 2020/12/21 | 2021/05/21 | 20.40 |
| 22375 | HK Bank – China Life European Warrants Dec 2021 A | 100,000,000 | 2020/12/21 | 2021/12/30 | 25.00 |
| 22421 | HK Bank – HKEX European Warrants Jul 2021 A | 80,000,000 | 2020/12/21 | 2021/07/30 | 13.60 |
| 22408 | HK Bank – HKEX European Put Warrants Aug 2021 A | 80,000,000 | 2020/12/21 | 2021/08/26 | 12.00 |

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| | | (units) | Listing date | Expiry | |
| 22374 | HK Bank – HSI European Warrants Jul 2021 B | 200,000,000 | 2020/12/21 | 2021/07/29 | 50.00 |
| 22368 | HK Bank – HSI European Warrants Sep 2021 A | 200,000,000 | 2020/12/21 | 2021/09/29 | 50.00 |
| 22369 | HK Bank – HSI European Warrants Dec 2021 B | 200,000,000 | 2020/12/21 | 2021/12/30 | 50.00 |
| 22201 | HK Bank – HSI European Put Warrants Jun 2021 C | 200,000,000 | 2020/12/21 | 2021/06/29 | 50.00 |
| 22213 | HK Bank – HSI European Put Warrants Sep 2021 A | 200,000,000 | 2020/12/21 | 2021/09/29 | 50.00 |
| 22236 | HK Bank – HSI European Put Warrants Dec 2021 A | 200,000,000 | 2020/12/21 | 2021/12/30 | 50.00 |
| 22393 | HK Bank – HSTECH European Warrants Sep 2021 A | 150,000,000 | 2020/12/21 | 2021/09/29 | 28.50 |
| 22371 | HK Bank – HSTECH European Warrants Dec 2021 A | 150,000,000 | 2020/12/21 | 2021/12/30 | 37.50 |
| 22216 | HK Bank – HSTECH European Put Warrants Sep 2021 A | 150,000,000 | 2020/12/21 | 2021/09/29 | 37.50 |
| 22219 | HK Bank – HSTECH European Put Warrants Dec 2021 A | 150,000,000 | 2020/12/21 | 2021/12/30 | 37.50 |
| 22233 | HK Bank – NetEase European Warrants Aug 2021 A | 80,000,000 | 2020/12/21 | 2021/08/02 | 20.00 |
| 22378 | HK Bank – PetroChina European Warrants Oct 2021 A | 80,000,000 | 2020/12/21 | 2021/10/29 | 20.00 |
| 22385 | HK Bank – SPDR Gold Trust European Warrants Oct 2021 A | 100,000,000 | 2020/12/21 | 2021/10/22 | 16.00 |
| 23154 | Haitong Int'l Sec – China Mobile European Wts Apr 2021 A | 60,000,000 | 2020/12/21 | 2021/04/27 | 11.40 |
| 23043 | Haitong Int'l Sec – Sunny Optical European Wts Jun 2021 A | 60,000,000 | 2020/12/21 | 2021/06/08 | 11.40 |
| 23087 | Haitong Int'l Sec – Tencent European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/21 | 2021/06/18 | 12.00 |
| 22737 | J P Morgan SP BV – Alibaba European Put Warrants Jul 2021 A | 200,000,000 | 2020/12/21 | 2021/07/30 | 30.20 |
| 22645 | J P Morgan SP BV – CNBM European Warrants Jul 2021 A | 80,000,000 | 2020/12/21 | 2021/07/29 | 20.08 |
| 22705 | J P Morgan SP BV – Swire Pacific A European Wts Jun 2021 A | 60,000,000 | 2020/12/21 | 2021/06/30 | 12.12 |
| 22699 | J P Morgan SP BV – Tingyi European Warrants Aug 2021 A | 70,000,000 | 2020/12/21 | 2021/08/10 | 10.64 |
| 22484 | Macquarie Bank Ltd. – Fosun Pharma European Wts Jul 2021 A | 38,900,000 | 2020/12/21 | 2021/07/16 | 10.04 |
| 22506 | Macquarie Bank Ltd. – Lenovo European Warrants Feb 2022 A | 40,000,000 | 2020/12/21 | 2022/02/07 | 10.00 |
| 22238 | Morgan Stanley Asia Products Ltd. – Conch Cement Eu Wt Jun21A | 40,000,000 | 2020/12/21 | 2021/06/21 | 10.00 |
| 22310 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Jun 21C | 128,000,000 | 2020/12/21 | 2021/06/11 | 19.20 |
| 22244 | Morgan Stanley Asia Products Ltd. – Ch LIT Euro Wts Jul 21 A | 40,000,000 | 2020/12/21 | 2021/07/05 | 10.00 |
| 22254 | Morgan Stanley Asia Products Ltd. – Ch Res Land Eu Wt Aug21A | 68,000,000 | 2020/12/21 | 2021/08/23 | 10.95 |
| 22285 | Morgan Stanley Asia Products Ltd. – CSPC Eu Wt Aug 2021 A | 48,000,000 | 2020/12/21 | 2021/08/26 | 10.27 |
| 22250 | Morgan Stanley Asia Products Ltd. – PA Gooddoctor Eu Wt Jun21B | 68,000,000 | 2020/12/21 | 2021/06/25 | 10.20 |
| 22531 | Morgan Stanley Asia Products Ltd. – PIC P&C Euro Wts Dec 21A | 68,000,000 | 2020/12/21 | 2021/12/23 | 10.20 |
| 22314 | Morgan Stanley Asia Products Ltd. – PICC Grp Eur Wts May 21A | 68,000,000 | 2020/12/21 | 2021/05/25 | 10.20 |
| 22303 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Jul 21F | 108,000,000 | 2020/12/21 | 2021/07/02 | 16.20 |
| 22297 | Morgan Stanley Asia Products Ltd. – Tencent Eu Put Wt Apr21B | 80,000,000 | 2020/12/21 | 2021/04/26 | 24.00 |
| 22913 | SG Issuer – AIA European Put Warrants Dec 2021 A | 100,000,000 | 2020/12/21 | 2021/12/21 | 15.50 |
| 22915 | SG Issuer – AIA European Put Warrants Dec 2021 B | 100,000,000 | 2020/12/21 | 2021/12/28 | 15.00 |
| 22906 | SG Issuer – CSOP A50 ETF European Warrants Jun 2021 B | 80,000,000 | 2020/12/21 | 2021/06/25 | 12.00 |
| 22786 | SG Issuer – Geely Auto European Warrants May 2021 D | 80,000,000 | 2020/12/21 | 2021/05/03 | 16.80 |
| 22762 | SG Issuer – Galaxy Ent European Warrants May 2021 A | 60,000,000 | 2020/12/21 | 2021/05/10 | 22.20 |
| 22780 | SG Issuer – Galaxy Ent European Put Warrants May 2021 A | 60,000,000 | 2020/12/21 | 2021/05/24 | 14.40 |
| 22949 | SG Issuer – Sands China Ltd European Warrants Jun 2021 A | 60,000,000 | 2020/12/21 | 2021/06/30 | 15.00 |
| 22926 | SG Issuer – Sands China European Put Warrants May 2021 A | 70,000,000 | 2020/12/21 | 2021/05/10 | 10.50 |
| 22974 | SG Issuer – Sino Biopharm European Warrants Sep 2021 A | 80,000,000 | 2020/12/21 | 2021/09/30 | 12.00 |
| 22883 | SG Issuer – Tencent European Put Warrants Mar 2021 B | 100,000,000 | 2020/12/21 | 2021/03/31 | 17.00 |
| 22550 | UBS AG – AIA European Put Warrants Dec 2021 B | 100,000,000 | 2020/12/21 | 2021/12/21 | 15.00 |
| 22552 | UBS AG – Bank of China European Warrants Dec 2021 A | 70,000,000 | 2020/12/21 | 2021/12/23 | 10.50 |

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| 22533 | UBS AG – HKEX European Warrants Jun 2021 A | 300,000,000 | 2020/12/21 | 2021/06/25 | 45.00 |
| 22556 | UBS AG – Li Ning European Warrants May 2021 A | 30,000,000 | 2020/12/21 | 2021/05/03 | 10.20 |
| 22538 | UBS AG – Tencent European Warrants May 2021 A | 300,000,000 | 2020/12/21 | 2021/05/25 | 45.00 |
| 23002 | Bank Vontobel – AIA European Warrants Jun 2021 B | 60,000,000 | 2020/12/21 | 2021/06/21 | 15.60 |
| 23019 | Bank Vontobel – CC Bank European Warrants Oct 2021 A | 40,000,000 | 2020/12/21 | 2021/10/05 | 10.00 |
| 23014 | Bank Vontobel – Sinopec Corp European Warrants Oct 2021 A | 40,000,000 | 2020/12/21 | 2021/10/05 | 10.40 |
| 22982 | Bank Vontobel – Greatwall Motor European Warrants Jul 2021 A | 40,000,000 | 2020/12/21 | 2021/07/05 | 10.00 |
| 22991 | Bank Vontobel – JD.com European Warrants Jun 2021 A | 50,000,000 | 2020/12/21 | 2021/06/08 | 10.50 |
| 23012 | Bank Vontobel – Kunlun Energy European Warrants Apr 2021 A | 70,000,000 | 2020/12/21 | 2021/04/26 | 10.50 |
| 23030 | Bank Vontobel – NCI European Warrants Oct 2021 A | 40,000,000 | 2020/12/21 | 2021/10/05 | 10.00 |
| 23042 | Bank Vontobel – Weimob European Warrants Mar 2021 A | 55,000,000 | 2020/12/21 | 2021/03/22 | 10.01 |
| 23556 | BOCI Asia Ltd. – HSI European Warrants Jun 2021 B | 150,000,000 | 2020/12/22 | 2021/06/29 | 37.50 |
| 23554 | BOCI Asia Ltd. – HSI European Warrants Sep 2021 A | 150,000,000 | 2020/12/22 | 2021/09/29 | 37.50 |
| 23607 | BOCI Asia Ltd. – HSI European Put Warrants May 2021 A | 150,000,000 | 2020/12/22 | 2021/05/28 | 22.50 |
| 23716 | Credit Suisse AG – Kunlun Energy European Wts Apr 2021 A | 70,000,000 | 2020/12/22 | 2021/04/22 | 10.50 |
| 23676 | Credit Suisse AG – NetEase European Warrants May 2021 A | 100,000,000 | 2020/12/22 | 2021/05/21 | 15.00 |
| 23652 | Credit Suisse AG – NetEase European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/22 | 2021/06/21 | 25.00 |
| 24198 | Goldman Sachs SP (Asia) – Galaxy Ent European Wts Apr 2021 A | 80,000,000 | 2020/12/22 | 2021/04/21 | 17.04 |
| 24215 | Goldman Sachs SP (Asia) – JD.com Euro Put Wts May 2021 A | 100,000,000 | 2020/12/22 | 2021/05/14 | 15.00 |
| 24209 | Goldman Sachs SP (Asia) – Sands China Euro Wts Apr 2021 A | 80,000,000 | 2020/12/22 | 2021/04/09 | 12.00 |
| 24122 | J P Morgan SP BV – Bud Apac European Warrants May 2021 A | 60,000,000 | 2020/12/22 | 2021/05/31 | 12.48 |
| 23985 | J P Morgan SP BV – BYD Company European Warrants Jul 2021 B | 150,000,000 | 2020/12/22 | 2021/07/30 | 38.10 |
| 24134 | J P Morgan SP BV – China Life European Warrants Sep 2021 A | 100,000,000 | 2020/12/22 | 2021/09/30 | 15.40 |
| 24039 | J P Morgan SP BV – Fosun Pharma European Warrants Jun 2021 A | 40,000,000 | 2020/12/22 | 2021/06/30 | 13.60 |
| 23927 | J P Morgan SP BV – Greatwall Motor European Wts Jul 2021 B | 80,000,000 | 2020/12/22 | 2021/07/05 | 23.60 |
| 23974 | J P Morgan SP BV – Razer European Warrants Jun 2021 A | 40,000,000 | 2020/12/22 | 2021/06/21 | 12.32 |
| 23984 | J P Morgan SP BV – SPDR Gold Trust European Wts Nov 2021 B | 60,000,000 | 2020/12/22 | 2021/11/30 | 15.24 |
| 23975 | J P Morgan SP BV – WuXi Bio European Warrants Jul 2021 A | 80,000,000 | 2020/12/22 | 2021/07/30 | 20.88 |
| 24100 | J P Morgan SP BV – Zijin Mining European Warrants Jul 2021 A | 80,000,000 | 2020/12/22 | 2021/07/30 | 14.72 |
| 23435 | Macquarie Bank Ltd. – Alibaba European Put Wts Jul 2021 A | 23,500,000 | 2020/12/22 | 2021/07/05 | 10.18 |
| 23481 | Macquarie Bank Ltd. – Ch Molybdenum European Wts Jul 2021 B | 16,000,000 | 2020/12/22 | 2021/07/05 | 10.02 |
| 23438 | Macquarie Bank Ltd. – China Railway European Wts Oct 2021 A | 25,500,000 | 2020/12/22 | 2021/10/05 | 10.05 |
| 23875 | Macquarie Bank Ltd. – China South Air Euro Wts Jun 2022 A | 40,000,000 | 2020/12/22 | 2022/06/02 | 10.00 |
| 23461 | Macquarie Bank Ltd. – Chow Tai Fook European Wts Oct 2021 A | 36,000,000 | 2020/12/22 | 2021/10/05 | 10.12 |
| 23444 | Macquarie Bank Ltd. – MTRC European Warrants Jul 2021 A | 40,000,000 | 2020/12/22 | 2021/07/05 | 10.08 |
| 23449 | Macquarie Bank Ltd. – Shimao Ppt European Wts Oct 2021 A | 30,000,000 | 2020/12/22 | 2021/10/05 | 10.05 |
| 23306 | Morgan Stanley Asia Products Ltd. – AAC Tech Eur Wts May21B | 80,000,000 | 2020/12/22 | 2021/05/28 | 12.00 |
| 23244 | Morgan Stanley Asia Products Ltd. – BYD Elect Eur Wts Oct21A | 68,000,000 | 2020/12/22 | 2021/10/22 | 15.64 |
| 23261 | Morgan Stanley Asia Products Ltd. – BYD Elect Eur Wts May22A | 68,000,000 | 2020/12/22 | 2022/05/30 | 17.00 |
| 23401 | Morgan Stanley Asia Products Ltd. – CSPC Eu Wt Sep 2021 A | 68,000,000 | 2020/12/22 | 2021/09/29 | 10.20 |
| 23310 | Morgan Stanley Asia Products Ltd. – Innovent Bio Eu Wt May21B | 68,000,000 | 2020/12/22 | 2021/05/07 | 10.20 |
| 23293 | Morgan Stanley Asia Products Ltd. – Sands Eur Wts Jun 2021 A | 58,000,000 | 2020/12/22 | 2021/06/21 | 14.50 |
| 23277 | Morgan Stanley Asia Products Ltd. – SUNAC Eu Wt Jul 2021 A | 68,000,000 | 2020/12/22 | 2021/07/02 | 17.00 |
| 23897 | Morgan Stanley Asia Products Ltd. – Wuxi Bio Euro Wts Jun21A | 58,000,000 | 2020/12/22 | 2021/06/08 | 17.98 |

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| | | (units) | Listing date | Expiry | |
| 23903 | Morgan Stanley Asia Products Ltd. – Wuxi Bio Euro Wts Jul21A | 58,000,000 | 2020/12/22 | 2021/07/02 | 14.50 |
| 23309 | Morgan Stanley Asia Products Ltd. – ZA Online Eur Wts Sep21B | 68,000,000 | 2020/12/22 | 2021/09/09 | 10.20 |
| 23862 | UBS AG – Alibaba European Put Warrants Jul 2021 A | 200,000,000 | 2020/12/22 | 2021/07/26 | 30.00 |
| 23732 | UBS AG – Anta Sports European Warrants Jun 2021 A | 60,000,000 | 2020/12/22 | 2021/06/21 | 15.00 |
| 23812 | UBS AG – Haidilao European Warrants Oct 2021 A | 80,000,000 | 2020/12/22 | 2021/10/29 | 13.60 |
| 23755 | UBS AG – Hengan Intl European Warrants Jun 2021 A | 40,000,000 | 2020/12/22 | 2021/06/30 | 10.00 |
| 23836 | UBS AG – HSCEI European Warrants Jun 2021 A | 200,000,000 | 2020/12/22 | 2021/06/29 | 30.00 |
| 23809 | UBS AG – HSI European Warrants May 2021 B | 300,000,000 | 2020/12/22 | 2021/05/28 | 45.00 |
| 23851 | UBS AG – HSI European Warrants Jul 2021 B | 300,000,000 | 2020/12/22 | 2021/07/29 | 45.00 |
| 23853 | UBS AG – HSI European Warrants Sep 2021 A | 300,000,000 | 2020/12/22 | 2021/09/29 | 45.00 |
| 23795 | UBS AG – Kunlun Energy European Warrants Jun 2021 B | 60,000,000 | 2020/12/22 | 2021/06/30 | 15.00 |
| 23734 | UBS AG – Lenovo European Warrants Jun 2021 A | 40,000,000 | 2020/12/22 | 2021/06/21 | 10.00 |
| 23806 | UBS AG – Nine Dragons Paper European Warrants Oct 2021 A | 80,000,000 | 2020/12/22 | 2021/10/11 | 20.00 |
| 23803 | UBS AG – PA Gooddoctor European Warrants Jun 2021 B | 80,000,000 | 2020/12/22 | 2021/06/21 | 20.00 |
| 24229 | Bank Vontobel – Country Garden European Warrants Aug 2021 A | 40,000,000 | 2020/12/22 | 2021/08/13 | 10.00 |
| 24242 | Bank Vontobel – Sands China Ltd European Warrants Oct 2021 A | 40,000,000 | 2020/12/22 | 2021/10/05 | 11.60 |
| 24252 | Bank Vontobel – Weimob European Warrants Jun 2021 A | 40,000,000 | 2020/12/22 | 2021/06/30 | 11.20 |
| 24276 | Bank Vontobel – Weimob European Warrants Jun 2021 B | 30,000,000 | 2020/12/22 | 2021/06/01 | 11.70 |
| 24631 | BOCI Asia Ltd. – Geely Auto European Put Warrants May 2021 A | 70,000,000 | 2020/12/23 | 2021/05/03 | 11.90 |
| 24668 | BOCI Asia Ltd. – Greatwall Motor European Warrants Jun 2021A | 50,000,000 | 2020/12/23 | 2021/06/30 | 12.50 |
| 24651 | BOCI Asia Ltd. – Tencent European Warrants Apr 2021 C | 100,000,000 | 2020/12/23 | 2021/04/27 | 27.00 |
| 24667 | BOCI Asia Ltd. – Xiaomi European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/23 | 2021/06/22 | 25.00 |
| 24715 | Credit Suisse AG – Ch Molybdenum European Wts Jun 2021 A | 40,000,000 | 2020/12/23 | 2021/06/30 | 11.60 |
| 24744 | Credit Suisse AG – Dongfeng Motor European Wts Sep 2021 A | 80,000,000 | 2020/12/23 | 2021/09/27 | 12.00 |
| 24713 | Credit Suisse AG – Fosun Pharma European Warrants Jun 2021 A | 40,000,000 | 2020/12/23 | 2021/06/22 | 12.80 |
| 24767 | Credit Suisse AG – Greatwall Motor European Wts Jul 2021 A | 80,000,000 | 2020/12/23 | 2021/07/30 | 20.00 |
| 24688 | Credit Suisse AG – HKEX European Put Warrants May 2021 A | 100,000,000 | 2020/12/23 | 2021/05/24 | 15.00 |
| 24691 | Credit Suisse AG – WuXi Bio European Warrants Jul 2021 A | 80,000,000 | 2020/12/23 | 2021/07/30 | 20.00 |
| 25150 | Goldman Sachs SP (Asia) – Alibaba Euro Put Wts May 2021 A | 100,000,000 | 2020/12/23 | 2021/05/24 | 15.00 |
| 25043 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 G | 150,000,000 | 2020/12/23 | 2021/03/26 | 46.05 |
| 24919 | Haitong Int'l Sec – Greatwall Motor European Wts Jun 2021 A | 40,000,000 | 2020/12/23 | 2021/06/23 | 10.00 |
| 24982 | J P Morgan SP BV – CC Bank European Wts Apr 2021 A | 100,000,000 | 2020/12/23 | 2021/04/30 | 21.70 |
| 24981 | J P Morgan SP BV – Ch Molybdenum European Wts Jul 2021 A | 60,000,000 | 2020/12/23 | 2021/07/02 | 20.58 |
| 25018 | J P Morgan SP BV – Galaxy Ent European Warrants May 2021 A | 60,000,000 | 2020/12/23 | 2021/05/17 | 16.38 |
| 25040 | J P Morgan SP BV – HKEX European Put Wts May 2021 A | 200,000,000 | 2020/12/23 | 2021/05/31 | 31.00 |
| 25065 | J P Morgan SP BV – HSI European Warrants Aug 2021 A | 400,000,000 | 2020/12/23 | 2021/08/30 | 100.40 |
| 25087 | J P Morgan SP BV – HSI European Put Warrants Aug 2021 A | 400,000,000 | 2020/12/23 | 2021/08/30 | 101.60 |
| 24605 | Macquarie Bank Ltd. – Greatwall Motor Euro Wts Oct 2021 B | 30,000,000 | 2020/12/23 | 2021/10/05 | 10.23 |
| 24375 | Morgan Stanley Asia Products Ltd. – BOC Euro Wts Dec 2021 A | 68,000,000 | 2020/12/23 | 2021/12/23 | 10.20 |
| 24293 | Morgan Stanley Asia Products Ltd. – CCB Euro Wts Sep 2021 A | 68,000,000 | 2020/12/23 | 2021/09/23 | 10.20 |
| 24925 | Morgan Stanley Asia Products Ltd. – CS Ser Eu Wt Dec 2021 A | 68,000,000 | 2020/12/23 | 2021/12/23 | 10.20 |
| 24592 | Morgan Stanley Asia Products Ltd. – Dongfeng Eur Wts Jul 21A | 68,000,000 | 2020/12/23 | 2021/07/27 | 10.20 |
| 24584 | Morgan Stanley Asia Products Ltd. – Dongfeng Eur Wts Sep 21A | 68,000,000 | 2020/12/23 | 2021/09/27 | 10.20 |
| 24954 | Morgan Stanley Asia Products Ltd. – GWMC Eur Wts Jul 2021 A | 40,000,000 | 2020/12/23 | 2021/07/02 | 17.60 |

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| 24929 | Morgan Stanley Asia Products Ltd. – GWMC Eur Wts Aug 2021 A | 58,000,000 | 2020/12/23 | 2021/08/02 | 14.50 |
| 24576 | Morgan Stanley Asia Products Ltd. – ICBC Euro Wts Aug 2021 A | 48,000,000 | 2020/12/23 | 2021/08/24 | 10.18 |
| 24625 | Morgan Stanley Asia Products Ltd – Jiangxi Copper Eu Wt Jul21A | 40,000,000 | 2020/12/23 | 2021/07/19 | 10.00 |
| 24567 | Morgan Stanley Asia Products Ltd. – PSBC Euro Wts Sep 2021 A | 68,000,000 | 2020/12/23 | 2021/09/27 | 10.20 |
| 25160 | SG Issuer – Alibaba Group European Warrants May 2021 A | 150,000,000 | 2020/12/23 | 2021/05/10 | 26.25 |
| 25161 | SG Issuer – Alibaba Group European Warrants May 2021 B | 150,000,000 | 2020/12/23 | 2021/05/26 | 22.50 |
| 25236 | SG Issuer – Hua Hong Semi European Warrants Aug 2021 A | 80,000,000 | 2020/12/23 | 2021/08/02 | 20.00 |
| 25168 | SG Issuer – Kingdee Intl European Warrants May 2021 A | 40,000,000 | 2020/12/23 | 2021/05/27 | 10.80 |
| 25176 | SG Issuer – Meituan European Warrants Apr 2021 C | 80,000,000 | 2020/12/23 | 2021/04/27 | 16.80 |
| 25178 | SG Issuer – Meituan European Warrants May 2021 B | 80,000,000 | 2020/12/23 | 2021/05/06 | 12.40 |
| 25204 | SG Issuer – Meituan European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/23 | 2021/06/23 | 16.50 |
| 25234 | SG Issuer – NetEase European Warrants May 2021 A | 80,000,000 | 2020/12/23 | 2021/05/21 | 12.00 |
| 25235 | SG Issuer – NetEase European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/23 | 2021/06/25 | 12.00 |
| 24917 | UBS AG – A-Living European Warrants Jun 2021 A | 40,000,000 | 2020/12/23 | 2021/06/01 | 10.00 |
| 24878 | UBS AG – Bud Apac European Warrants Jun 2021 A | 100,000,000 | 2020/12/23 | 2021/06/23 | 15.00 |
| 24914 | UBS AG – BYD Electronic European Warrants Nov 2021 A | 50,000,000 | 2020/12/23 | 2021/11/23 | 10.00 |
| 24882 | UBS AG – CM Bank European Warrants May 2021 A | 70,000,000 | 2020/12/23 | 2021/05/24 | 10.50 |
| 24883 | UBS AG – Evergrande European Warrants Jun 2021 A | 60,000,000 | 2020/12/23 | 2021/06/30 | 15.00 |
| 24857 | UBS AG – Fosun Intl European Warrants May 2021 A | 70,000,000 | 2020/12/23 | 2021/05/10 | 11.20 |
| 24906 | UBS AG – Greatwall Motor European Warrants Aug 2021 A | 80,000,000 | 2020/12/23 | 2021/08/06 | 16.80 |
| 24860 | UBS AG – NCI European Warrants May 2021 A | 70,000,000 | 2020/12/23 | 2021/05/24 | 10.50 |
| 24859 | UBS AG – SJM Holdings European Warrants May 2021 A | 70,000,000 | 2020/12/23 | 2021/05/10 | 10.50 |
| 24821 | UBS AG – ZA Onine European Warrants Sep 2021 A | 80,000,000 | 2020/12/23 | 2021/09/09 | 12.00 |
| 24774 | UBS AG – ZA Onine European Warrants Dec 2021 A | 80,000,000 | 2020/12/23 | 2021/12/23 | 12.00 |
| 24881 | UBS AG – Zijin Mining European Warrants Jul 2021 A | 80,000,000 | 2020/12/23 | 2021/07/23 | 12.00 |
| 24836 | UBS AG – ZTE European Warrants Jun 2021 A | 50,000,000 | 2020/12/23 | 2021/06/02 | 10.50 |
| 25681 | BOCI Asia Ltd. – CSPC Pharma European Warrants Aug 2021 A | 100,000,000 | 2020/12/24 | 2021/08/30 | 19.00 |
| 25711 | BOCI Asia Ltd. – China Tower European Warrants Jan 2022 A | 70,000,000 | 2020/12/24 | 2022/01/31 | 17.50 |
| 25637 | BOCI Asia Ltd. – HKEX European Put Warrants Jun 2021 A | 70,000,000 | 2020/12/24 | 2021/06/29 | 17.50 |
| 25675 | BOCI Asia Ltd. – JD.com European Warrants May 2021 A | 70,000,000 | 2020/12/24 | 2021/05/31 | 15.40 |
| 25671 | BOCI Asia Ltd. – Xiaomi European Warrants May 2021 B | 100,000,000 | 2020/12/24 | 2021/05/21 | 18.00 |
| 25851 | BNP Paribas Issuance B.V. – Bank of China Euro Wt Aug 2021 A | 70,000,000 | 2020/12/24 | 2021/08/03 | 11.20 |
| 25861 | BNP Paribas Issuance B.V. – Bank of China Euro Wt Jan 2022 A | 70,000,000 | 2020/12/24 | 2022/01/04 | 11.90 |
| 25870 | BNP Paribas Issuance B.V. – CC Bank Euro Wts Sep 2021 A | 40,000,000 | 2020/12/24 | 2021/09/02 | 12.40 |
| 25822 | BNP Paribas Issuance B.V. – Dongfeng European Wts May 2021 A | 60,000,000 | 2020/12/24 | 2021/05/04 | 10.80 |
| 25847 | BNP Paribas Issuance B.V. – Dongfeng European Wts Oct 2021 A | 80,000,000 | 2020/12/24 | 2021/10/05 | 15.20 |
| 25265 | BNP Paribas Issuance B.V. – JD.com Euro Warrants Aug 2021 A | 50,000,000 | 2020/12/24 | 2021/08/03 | 14.00 |
| 25872 | BNP Paribas Issuance B.V. – Link REIT Euro Wts May 2021 A | 50,000,000 | 2020/12/24 | 2021/05/05 | 11.00 |
| 25238 | BNP Paribas Issuance B.V. – ZA Online Euro Wts Oct 2021 A | 100,000,000 | 2020/12/24 | 2021/10/05 | 19.00 |
| 25788 | Credit Suisse AG – HKEX European Warrants Jun 2021 B | 200,000,000 | 2020/12/24 | 2021/06/23 | 30.00 |
| 25792 | Credit Suisse AG – HSBC European Wts May 2021 C | 100,000,000 | 2020/12/24 | 2021/05/24 | 20.00 |
| 25808 | Credit Suisse AG – Xinyi Solar European Warrants Jun 2021 A | 60,000,000 | 2020/12/24 | 2021/06/23 | 15.00 |
| 25880 | Citigroup Global – AIA European Warrants Jun 2021 A | 100,000,000 | 2020/12/24 | 2021/06/28 | 23.80 |
| 25918 | Citigroup Global – HKEX European Put Warrants May 2021 B | 100,000,000 | 2020/12/24 | 2021/05/28 | 15.00 |

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| 25970 | Citigroup Global – Meituan European Warrants May 2021 A | 100,000,000 | 2020/12/24 | 2021/05/06 | 15.20 |
| 25892 | Citigroup Global – Xiaomi European Warrants May 2021 B | 100,000,000 | 2020/12/24 | 2021/05/28 | 18.90 |
| 25615 | Guotai Junan – HSBC European Warrants Jun 2021 A | 100,000,000 | 2020/12/24 | 2021/06/23 | 15.00 |
| 26006 | Goldman Sachs SP (Asia) – Bank of China Euro Wts Sep 2021 A | 80,000,000 | 2020/12/24 | 2021/09/27 | 12.00 |
| 26011 | Goldman Sachs SP (Asia) – CITIC Sec European Wts May 2021 A | 80,000,000 | 2020/12/24 | 2021/05/28 | 12.00 |
| 25606 | HK Bank – AAC Tech European Warrants Dec 2021 A | 100,000,000 | 2020/12/24 | 2021/12/28 | 15.00 |
| 25536 | HK Bank – Conch Cement European Warrants Jul 2021 A | 80,000,000 | 2020/12/24 | 2021/07/30 | 20.00 |
| 25590 | HK Bank – BYD Company European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/24 | 2021/06/30 | 22.40 |
| 25583 | HK Bank – Galaxy Ent European Warrants Jun 2021 B | 50,000,000 | 2020/12/24 | 2021/06/30 | 15.00 |
| 25546 | HK Bank – HKEX European Warrants Jun 2021 A | 80,000,000 | 2020/12/24 | 2021/06/24 | 12.00 |
| 25460 | HK Bank – HKEX European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/24 | 2021/06/30 | 20.00 |
| 25509 | HK Bank – JD.com European Warrants Jun 2021 B | 80,000,000 | 2020/12/24 | 2021/06/30 | 20.80 |
| 25476 | HK Bank – NetEase European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/24 | 2021/06/30 | 20.00 |
| 25517 | HK Bank – SPDR Gold Trust European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/24 | 2021/06/07 | 15.00 |
| 25873 | Haitong Int'l Sec – Dongfeng European Warrants Aug 2021 A | 70,000,000 | 2020/12/24 | 2021/08/05 | 10.50 |
| 26164 | Haitong Int'l Sec – GAC European Warrants May 2021 A | 70,000,000 | 2020/12/24 | 2021/05/31 | 11.20 |
| 25974 | Haitong Int'l Sec – JD.com European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/24 | 2021/06/30 | 20.00 |
| 26150 | Haitong Int'l Sec – Lenovo European Warrants Aug 2021 A | 40,000,000 | 2020/12/24 | 2021/08/10 | 24.00 |
| 25982 | Haitong Int'l Sec – Meidong Auto Euro Warrants Jul 2021 A | 40,000,000 | 2020/12/24 | 2021/07/26 | 14.40 |
| 25980 | Haitong Int'l Sec – Sands China European Warrants Apr 2021 B | 60,000,000 | 2020/12/24 | 2021/04/12 | 10.20 |
| 25987 | Haitong Int'l Sec – Xinyi Glass European Warrants Jun 2021 A | 40,000,000 | 2020/12/24 | 2021/06/30 | 11.20 |
| 25979 | Haitong Int'l Sec – Xinyi Solar European Warrants Sep 2021 A | 40,000,000 | 2020/12/24 | 2021/09/17 | 10.00 |
| 26021 | J P Morgan SP BV – Alibaba European Warrants May 2021 B | 300,000,000 | 2020/12/24 | 2021/05/31 | 45.30 |
| 26030 | J P Morgan SP BV – BYD Company European Put Wts May 2021 B | 150,000,000 | 2020/12/24 | 2021/05/03 | 30.15 |
| 26137 | J P Morgan SP BV – CR Beer European Warrants Mar 2022 A | 60,000,000 | 2020/12/24 | 2022/03/30 | 15.42 |
| 26033 | J P Morgan SP BV – Greatwall Motor European Wts Jun 2021 B | 80,000,000 | 2020/12/24 | 2021/06/30 | 20.16 |
| 26057 | J P Morgan SP BV – Lenovo European Warrants Feb 2022 A | 80,000,000 | 2020/12/24 | 2022/02/25 | 20.16 |
| 26032 | J P Morgan SP BV – Meidong Auto European Warrants Jun 2021 A | 60,000,000 | 2020/12/24 | 2021/06/29 | 19.20 |
| 26023 | J P Morgan SP BV – NCI European Warrants Apr 2021 A | 70,000,000 | 2020/12/24 | 2021/04/07 | 10.57 |
| 26038 | J P Morgan SP BV – Tsingtao Brew European Wts Nov 2021 A | 60,000,000 | 2020/12/24 | 2021/11/29 | 15.00 |
| 26075 | J P Morgan SP BV – Xinyi Solar European Warrants Jul 2021 B | 80,000,000 | 2020/12/24 | 2021/07/23 | 20.08 |
| 25632 | Macquarie Bank Ltd. – Razer European Warrants Jul 2021 A | 25,400,000 | 2020/12/24 | 2021/07/16 | 10.01 |
| 25633 | Macquarie Bank Ltd. – WuXi Bio European Warrants Aug 2021 A | 40,000,000 | 2020/12/24 | 2021/08/03 | 10.00 |
| 25376 | Morgan Stanley Asia Products Ltd. – Bud APAC Eur Wts Jun 21A | 48,000,000 | 2020/12/24 | 2021/06/25 | 12.00 |
| 25390 | Morgan Stanley Asia Products Ltd. – Bud APAC Eur Wts Jun 21B | 68,000,000 | 2020/12/24 | 2021/06/23 | 10.20 |
| 25312 | Morgan Stanley Asia Products Ltd. – CK Asset Eur Wts Jun 21A | 68,000,000 | 2020/12/24 | 2021/06/23 | 10.20 |
| 25437 | Morgan Stanley Asia Products Ltd. – CP Air Eur Wts Nov 2021A | 48,000,000 | 2020/12/24 | 2021/11/01 | 12.00 |
| 25402 | Morgan Stanley Asia Products Ltd. – CS Air Eur Wts Nov 2021A | 58,000,000 | 2020/12/24 | 2021/11/25 | 10.73 |
| 25401 | Morgan Stanley Asia Products Ltd. – Jiumaojiu Eur Wts Jun21A | 40,000,000 | 2020/12/24 | 2021/06/23 | 10.00 |
| 25429 | Morgan Stanley Asia Products Ltd. – JXR Eur Wts Aug 2021 A | 48,000,000 | 2020/12/24 | 2021/08/24 | 10.08 |
| 25360 | Morgan Stanley Asia Products Ltd. – Kingsoft Eur Wts Jun 21A | 40,000,000 | 2020/12/24 | 2021/06/24 | 10.00 |
| 25314 | Morgan Stanley Asia Products Ltd. – Kingsoft Eur Wts Dec 21A | 80,000,000 | 2020/12/24 | 2021/12/22 | 15.12 |
| 25446 | Morgan Stanley Asia Products Ltd. – Xiaomi Euro Wts Jul 21A | 108,000,000 | 2020/12/24 | 2021/07/02 | 27.00 |
| 25440 | Morgan Stanley Asia Products Ltd. – Yihai Eur Wts Dec 2021 A | 40,000,000 | 2020/12/24 | 2021/12/06 | 10.00 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 26103 | UBS AG – HKEX European Put Warrants May 2021 A | 300,000,000 | 2020/12/24 | 2021/05/24 | 45.00 |
| 25769 | Bank Vontobel – Sunny Optical European Warrants Jun 2021 B | 60,000,000 | 2020/12/24 | 2021/06/07 | 10.20 |
| 25733 | Bank Vontobel – Tencent European Warrants Jun 2021 A | 40,000,000 | 2020/12/24 | 2021/06/29 | 15.60 |
| 25741 | Bank Vontobel – Tencent European Put Warrants Jun 2021 A | 40,000,000 | 2020/12/24 | 2021/06/29 | 10.40 |
| 26638 | BOCI Asia Ltd. – Alibaba European Put Warrants Jul 2021 A | 100,000,000 | 2020/12/28 | 2021/07/26 | 15.00 |
| 26627 | BOCI Asia Ltd. – China Mobile European Warrants Jun 2021 B | 70,000,000 | 2020/12/28 | 2021/06/28 | 17.50 |
| 26624 | BOCI Asia Ltd. – CNOOC European Warrants Dec 2021 A | 70,000,000 | 2020/12/28 | 2021/12/21 | 11.90 |
| 26623 | BOCI Asia Ltd. – HKEX European Warrants Jun 2021 A | 100,000,000 | 2020/12/28 | 2021/06/23 | 15.00 |
| 26601 | BOCI Asia Ltd. – HSI European Warrants Jun 2021 C | 150,000,000 | 2020/12/28 | 2021/06/29 | 37.50 |
| 26610 | BOCI Asia Ltd. – HSI European Put Warrants Dec 2021 B | 150,000,000 | 2020/12/28 | 2021/12/30 | 37.50 |
| 26637 | BOCI Asia Ltd. – Meituan European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/28 | 2021/06/25 | 15.00 |
| 26633 | BOCI Asia Ltd. – SMIC European Warrants Jun 2021 B | 70,000,000 | 2020/12/28 | 2021/06/28 | 17.50 |
| 26635 | BOCI Asia Ltd. – Sunny Optical European Warrants Jun 2021 A | 100,000,000 | 2020/12/28 | 2021/06/07 | 16.00 |
| 26371 | BNP Paribas Issuance B.V. – Conch Cement Euro Wt May 2021 A | 70,000,000 | 2020/12/28 | 2021/05/04 | 10.50 |
| 26356 | BNP Paribas Issuance B.V. – Conch Cement Euro Wt Jul 2021 A | 40,000,000 | 2020/12/28 | 2021/07/05 | 12.80 |
| 26910 | BNP Paribas Issuance B.V. – AIA European Wts Jul 2021 A | 40,000,000 | 2020/12/28 | 2021/07/05 | 10.00 |
| 26380 | BNP Paribas Issuance B.V. – China Tower Euro Wts Jul 2022 A | 40,000,000 | 2020/12/28 | 2022/07/04 | 10.00 |
| 26923 | BNP Paribas Issuance B.V. – Galaxy Ent Euro Wts Jul 2021 B | 40,000,000 | 2020/12/28 | 2021/07/05 | 15.60 |
| 26333 | BNP Paribas Issuance B.V. – Greatwall Motor Eu Wt Jul 2021 A | 40,000,000 | 2020/12/28 | 2021/07/05 | 10.80 |
| 26909 | BNP Paribas Issuance B.V. – HKEX European Wts Jul 2021 C | 80,000,000 | 2020/12/28 | 2021/07/05 | 12.00 |
| 26907 | BNP Paribas Issuance B.V. – HKEX European Wts Aug 2021 C | 60,000,000 | 2020/12/28 | 2021/08/03 | 15.00 |
| 26920 | BNP Paribas Issuance B.V. – NetEase Euro Warrants Jul 2021 A | 40,000,000 | 2020/12/28 | 2021/07/05 | 10.00 |
| 26892 | BNP Paribas Issuance B.V. – Ping An European Wt Jan 2023 A | 80,000,000 | 2020/12/28 | 2023/01/03 | 13.60 |
| 26882 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Jul 2021 C | 60,000,000 | 2020/12/28 | 2021/07/05 | 20.40 |
| 26889 | BNP Paribas Issuance B.V. – Xiaomi Euro Warrants Jul 2021 D | 60,000,000 | 2020/12/28 | 2021/07/05 | 15.60 |
| 26842 | Credit Suisse AG – BOC HK European Warrants Jun 2021 A | 50,000,000 | 2020/12/28 | 2021/06/28 | 12.50 |
| 26845 | Credit Suisse AG – Dongfeng Motor European Wts May 2021 B | 50,000,000 | 2020/12/28 | 2021/05/26 | 10.00 |
| 26800 | Credit Suisse AG – Greentown Ser Euro Warrants Jun 2021 A | 40,000,000 | 2020/12/28 | 2021/06/28 | 10.00 |
| 26798 | Credit Suisse AG – HSBC European Put Warrants May 2021 C | 80,000,000 | 2020/12/28 | 2021/05/31 | 12.00 |
| 26839 | Credit Suisse AG – NCI European Warrants Mar 2021 A | 70,000,000 | 2020/12/28 | 2021/03/31 | 10.50 |
| 26803 | Credit Suisse AG – Shenzhou Intl European Wts Jul 2021 A | 60,000,000 | 2020/12/28 | 2021/07/30 | 15.00 |
| 26862 | Citigroup Global – BYD Company European Warrants Jul 2021 B | 100,000,000 | 2020/12/28 | 2021/07/29 | 27.50 |
| 26861 | Citigroup Global – CSPC Pharma European Warrants Aug 2021 B | 100,000,000 | 2020/12/28 | 2021/08/30 | 20.70 |
| 26859 | Citigroup Global – Geely Auto European Warrants May 2021 E | 100,000,000 | 2020/12/28 | 2021/05/04 | 19.90 |
| 26855 | Citigroup Global – HKEX European Warrants Apr 2021 B | 100,000,000 | 2020/12/28 | 2021/04/29 | 15.20 |
| 26851 | Citigroup Global – NetEase European Put Warrants Sep 2021 A | 100,000,000 | 2020/12/28 | 2021/09/29 | 23.90 |
| 26180 | Guotai Junan – HKEX European Warrants Apr 2021 A | 100,000,000 | 2020/12/28 | 2021/04/01 | 16.70 |
| 26794 | Goldman Sachs SP (Asia) – ZA Online European Wts Dec 2021 A | 80,000,000 | 2020/12/28 | 2021/12/23 | 12.00 |
| 26417 | HK Bank – BYD Electronic EEuropean Warrants Dec 2021 A | 80,000,000 | 2020/12/28 | 2021/12/31 | 20.00 |
| 26539 | HK Bank – Ch Molybdenum European Warrants Dec 2021 A | 80,000,000 | 2020/12/28 | 2021/12/31 | 20.00 |
| 26587 | HK Bank – CPIC European Warrants Jul 2021 A | 80,000,000 | 2020/12/28 | 2021/07/05 | 20.00 |
| 26394 | HK Bank – China Res Beer European Warrants Dec 2021 A | 80,000,000 | 2020/12/28 | 2021/12/31 | 20.00 |
| 26528 | HK Bank – Chi Res Land European Warrants Aug 2021 A | 80,000,000 | 2020/12/28 | 2021/08/02 | 20.00 |
| 26398 | HK Bank – Fosun Pharma European Warrants Jun 2021 A | 50,000,000 | 2020/12/28 | 2021/06/30 | 12.50 |

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| 26548 | HK Bank – GAC Group European Warrants Sep 2021 A | 80,000,000 | 2020/12/28 | 2021/09/30 | 20.00 |
| 26640 | HK Bank – Haidilao European Warrants Oct 2021 A | 80,000,000 | 2020/12/28 | 2021/10/04 | 20.00 |
| 26423 | HK Bank – Hua Hong Semi European Warrants Oct 2021 A | 80,000,000 | 2020/12/28 | 2021/10/04 | 20.00 |
| 26457 | HK Bank – Innovent Bio European Warrants Sep 2021 A | 80,000,000 | 2020/12/28 | 2021/09/30 | 20.00 |
| 26395 | HK Bank – Jiumaojiu European Warrants Jun 2021 A | 60,000,000 | 2020/12/28 | 2021/06/30 | 15.00 |
| 26385 | HK Bank – Kingdee Intl European Warrants Jun 2021 A | 80,000,000 | 2020/12/28 | 2021/06/30 | 25.60 |
| 26434 | HK Bank – Kingsoft European Warrants Feb 2022 A | 80,000,000 | 2020/12/28 | 2022/02/23 | 16.00 |
| 26416 | HK Bank – Lenovo European Warrants Dec 2021 C | 150,000,000 | 2020/12/28 | 2021/12/31 | 37.50 |
| 26465 | HK Bank – Li Ning European Warrants May 2022 A | 80,000,000 | 2020/12/28 | 2022/05/23 | 16.00 |
| 26516 | HK Bank – Microport European Warrants Sep 2021 A | 80,000,000 | 2020/12/28 | 2021/09/29 | 12.00 |
| 26504 | HK Bank – Techtronic European Warrants Dec 2021 A | 80,000,000 | 2020/12/28 | 2021/12/31 | 20.00 |
| 26397 | HK Bank – Weimob European Warrants Jun 2021 A | 80,000,000 | 2020/12/28 | 2021/06/30 | 20.00 |
| 26468 | HK Bank – Xinyi Solar European Warrants Jun 2021 A | 50,000,000 | 2020/12/28 | 2021/06/30 | 12.50 |
| 26542 | HK Bank – ZA Online European Warrants Nov 2021 A | 80,000,000 | 2020/12/28 | 2021/11/25 | 12.00 |
| 26774 | Haitong Int'l Sec – China Life European Warrants Aug 2021 A | 100,000,000 | 2020/12/28 | 2021/08/27 | 25.00 |
| 26777 | Haitong Int'l Sec – Dongfeng European Warrants Jul 2021 A | 60,000,000 | 2020/12/28 | 2021/07/30 | 15.00 |
| 26682 | J P Morgan SP BV – Ganfeng Lithium European Wts Nov 2021 A | 60,000,000 | 2020/12/28 | 2021/11/05 | 15.06 |
| 26765 | J P Morgan SP BV – IGG European Warrants Jul 2021 A | 60,000,000 | 2020/12/28 | 2021/07/09 | 10.68 |
| 26773 | J P Morgan SP BV – JD.com European Put Warrants May 2021 B | 200,000,000 | 2020/12/28 | 2021/05/11 | 30.20 |
| 26717 | J P Morgan SP BV – Kunlun Energy Euro Warrants Apr 2021 A | 60,000,000 | 2020/12/28 | 2021/04/30 | 10.02 |
| 26695 | J P Morgan SP BV – PetroChina European Warrants Sep 2021 A | 60,000,000 | 2020/12/28 | 2021/09/30 | 15.18 |
| 26713 | J P Morgan SP BV – Sands China Ltd European Wts Jun 2021 A | 80,000,000 | 2020/12/28 | 2021/06/30 | 20.00 |
| 26766 | J P Morgan SP BV – Sunny Optical European Wts Jul 2021 A | 100,000,000 | 2020/12/28 | 2021/07/30 | 15.20 |
| 26173 | Macquarie Bank Ltd. – Anta Sports European Wts Oct 2021 A | 30,000,000 | 2020/12/28 | 2021/10/05 | 10.56 |
| 26284 | Macquarie Bank Ltd. – Dongfeng Motor European Wts Jan 2022 B | 40,000,000 | 2020/12/28 | 2022/01/04 | 10.00 |
| 26311 | Macquarie Bank Ltd. – SJM Holdings European Wts Aug 2021 A | 40,000,000 | 2020/12/28 | 2021/08/17 | 10.08 |
| 26188 | SG Issuer – BYD Company European Warrants May 2021 B | 80,000,000 | 2020/12/28 | 2021/05/14 | 21.60 |
| 26208 | SG Issuer – HKEX European Warrants Jun 2021 A | 100,000,000 | 2020/12/28 | 2021/06/28 | 15.00 |
| 26214 | SG Issuer – HSBC European Warrants May 2021 A | 80,000,000 | 2020/12/28 | 2021/05/31 | 16.00 |
| 26218 | SG Issuer – Meituan European Warrants May 2021 C | 80,000,000 | 2020/12/28 | 2021/05/26 | 27.60 |
| 26226 | SG Issuer – WuXi Bio European Warrants Jun 2021 A | 60,000,000 | 2020/12/28 | 2021/06/30 | 15.00 |
| 26281 | SG Issuer – Xiaomi European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/28 | 2021/06/08 | 25.00 |
| 26646 | UBS AG – BYD Company European Put Warrants May 2021 B | 150,000,000 | 2020/12/28 | 2021/05/03 | 30.00 |
| 26642 | UBS AG – HKEX European Warrants Jun 2021 B | 300,000,000 | 2020/12/28 | 2021/06/23 | 45.00 |
| 26656 | UBS AG – JD.com European Warrants May 2021 C | 200,000,000 | 2020/12/28 | 2021/05/03 | 30.00 |
| 26659 | UBS AG – Ping An European Warrants Apr 2021 A | 100,000,000 | 2020/12/28 | 2021/04/27 | 20.00 |
| 26866 | Bank Vontobel – JD.com European Warrants Jun 2021 B | 60,000,000 | 2020/12/28 | 2021/06/28 | 10.20 |
| 26863 | Bank Vontobel – Wuxi Bio European Warrants Jun 2021 A | 40,000,000 | 2020/12/28 | 2021/06/30 | 10.00 |
| 26864 | Bank Vontobel – Wuxi Bio European Warrants Aug 2021 A | 40,000,000 | 2020/12/28 | 2021/08/30 | 10.00 |
| 26976 | BOCI Asia Ltd. – AAC Tech European Warrants Sep 2021 B | 100,000,000 | 2020/12/29 | 2021/09/29 | 15.00 |
| 26989 | BOCI Asia Ltd. – AIA European Warrants Jun 2021 A | 70,000,000 | 2020/12/29 | 2021/06/23 | 12.60 |
| 26990 | BOCI Asia Ltd. – Anta Sports European Warrants Jun 2021 A | 70,000,000 | 2020/12/29 | 2021/06/30 | 17.50 |
| 26975 | BOCI Asia Ltd. – BYD Company European Warrants Jun 2021 C | 100,000,000 | 2020/12/29 | 2021/06/28 | 25.00 |
| 26980 | BOCI Asia Ltd. – CITIC Sec European Warrants May 2021 A | 70,000,000 | 2020/12/29 | 2021/05/26 | 10.50 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 27004 | BOCI Asia Ltd. – China Life European Warrants Jun 2021 A | 50,000,000 | 2020/12/29 | 2021/06/30 | 12.50 |
| 26988 | BOCI Asia Ltd. – Dongfeng European Warrants May 2021 A | 50,000,000 | 2020/12/29 | 2021/05/04 | 10.00 |
| 27006 | BOCI Asia Ltd. – NetEase European Warrants Jun 2021 A | 70,000,000 | 2020/12/29 | 2021/06/30 | 17.50 |
| 27034 | BOCI Asia Ltd. – PA Gooddoctor European Warrants Jun 2021 A | 80,000,000 | 2020/12/29 | 2021/06/25 | 12.80 |
| 26984 | BOCI Asia Ltd. – SHK Ppt European Warrants Jul 2021 A | 60,000,000 | 2020/12/29 | 2021/07/27 | 11.40 |
| 27146 | Credit Suisse AG – Alibaba European Put Warrants Jul 2021 A | 150,000,000 | 2020/12/29 | 2021/07/26 | 22.50 |
| 27138 | Credit Suisse AG – China Res Beer Euro Warrants Dec 2021 A | 60,000,000 | 2020/12/29 | 2021/12/31 | 15.00 |
| 27116 | Credit Suisse AG – Ganfeng Lithium European Wts Sep 2021 A | 80,000,000 | 2020/12/29 | 2021/09/30 | 20.00 |
| 27104 | Credit Suisse AG – Guangdong Inv Euro Warrants May 2021 A | 70,000,000 | 2020/12/29 | 2021/05/21 | 10.50 |
| 27117 | Credit Suisse AG – Nine Dragons European Warrants Oct 2021 B | 80,000,000 | 2020/12/29 | 2021/10/29 | 20.00 |
| 27105 | Credit Suisse AG – Sands China European Warrants Jun 2021 A | 60,000,000 | 2020/12/29 | 2021/06/11 | 10.20 |
| 27259 | Citigroup Global – Geely Auto European Warrants Jun 2021 A | 100,000,000 | 2020/12/29 | 2021/06/29 | 21.40 |
| 27257 | Citigroup Global – JD.com European Warrants Apr 2021 A | 100,000,000 | 2020/12/29 | 2021/04/29 | 18.00 |
| 27295 | Citigroup Global – JD.com European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/29 | 2021/06/29 | 25.00 |
| 27274 | Citigroup Global – Meituan European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/29 | 2021/06/29 | 17.70 |
| 27282 | Citigroup Global – Xiaomi European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/29 | 2021/06/29 | 30.50 |
| 27069 | Haitong Int'l Sec – Ganfeng Lithium European Wts Jun 2021 C | 40,000,000 | 2020/12/29 | 2021/06/30 | 14.40 |
| 27084 | Haitong Int'l Sec – Greatwall Motor European Wts Jul 2021 A | 40,000,000 | 2020/12/29 | 2021/07/12 | 12.00 |
| 27097 | Haitong Int'l Sec – Xiaomi European Warrants May 2021 A | 80,000,000 | 2020/12/29 | 2021/05/21 | 21.60 |
| 27172 | J P Morgan SP BV – Conch Cement European Warrants Jun 2021 B | 60,000,000 | 2020/12/29 | 2021/06/30 | 15.06 |
| 27165 | J P Morgan SP BV – Anta Sports European Warrants Jun 2021 C | 60,000,000 | 2020/12/29 | 2021/06/30 | 15.18 |
| 27198 | J P Morgan SP BV – Chi Res Land European Warrants Jul 2021 A | 60,000,000 | 2020/12/29 | 2021/07/30 | 15.06 |
| 27255 | J P Morgan SP BV – Dongfeng Motor European Wts May 2021 A | 80,000,000 | 2020/12/29 | 2021/05/31 | 13.84 |
| 27210 | J P Morgan SP BV – Greatwall Motor European Wts Jul 2021 C | 80,000,000 | 2020/12/29 | 2021/07/30 | 20.08 |
| 27215 | J P Morgan SP BV – HKEX European Put Wts Jun 2021 A | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.20 |
| 27220 | J P Morgan SP BV – HSTECH European Warrants Jun 2021 C | 200,000,000 | 2020/12/29 | 2021/06/29 | 50.20 |
| 27222 | J P Morgan SP BV – HSTECH European Put Warrants May 2021 C | 200,000,000 | 2020/12/29 | 2021/05/28 | 30.40 |
| 27190 | J P Morgan SP BV – Kunlun Energy Euro Warrants Oct 2021 B | 60,000,000 | 2020/12/29 | 2021/10/29 | 15.18 |
| 27229 | J P Morgan SP BV – Tencent European Put Warrants Jun 2021 A | 250,000,000 | 2020/12/29 | 2021/06/30 | 40.00 |
| 27205 | J P Morgan SP BV – Weimob European Warrants Jun 2021 B | 40,000,000 | 2020/12/29 | 2021/06/30 | 10.12 |
| 27195 | J P Morgan SP BV – Xiaomi European Warrants Jun 2021 A | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.80 |
| 27219 | J P Morgan SP BV – Xiaomi European Put Warrants Jun 2021 C | 200,000,000 | 2020/12/29 | 2021/06/30 | 66.00 |
| 27232 | J P Morgan SP BV – Xiaomi European Put Warrants Jun 2021 D | 200,000,000 | 2020/12/29 | 2021/06/09 | 36.40 |
| 26940 | Macquarie Bank Ltd. – Geely Auto European Wts Oct 2021 A | 26,000,000 | 2020/12/29 | 2021/10/05 | 10.11 |
| 26938 | Macquarie Bank Ltd. – Greatwall Motor Euro Wts Aug 2021 A | 30,000,000 | 2020/12/29 | 2021/08/03 | 10.14 |
| 26952 | Macquarie Bank Ltd. – Jiumaoju European Warrants Jul 2021 A | 40,000,000 | 2020/12/29 | 2021/07/16 | 10.00 |
| 26964 | Macquarie Bank Ltd. – KECL European Warrants Jul 2021 A | 40,000,000 | 2020/12/29 | 2021/07/05 | 10.08 |
| 26948 | Macquarie Bank Ltd. – WH Group European Warrants Dec 2021 A | 39,400,000 | 2020/12/29 | 2021/12/02 | 10.01 |
| 26967 | Macquarie Bank Ltd. – Xinyi Solar Euro Warrants Sep 2021 B | 38,700,000 | 2020/12/29 | 2021/09/16 | 10.29 |
| 27148 | Morgan Stanley Asia Products Ltd. – Xiaomi Eu Put Wt Jun 21A | 68,000,000 | 2020/12/29 | 2021/06/28 | 17.00 |
| 26935 | SG Issuer – Xiaomi European Warrants Mar 2021 D | 100,000,000 | 2020/12/29 | 2021/03/29 | 20.00 |
| 27041 | UBS AG – JD.com European Put Warrants May 2021 C | 200,000,000 | 2020/12/29 | 2021/05/11 | 30.00 |
| 27067 | UBS AG – Link REIT European Warrants Jun 2021 A | 60,000,000 | 2020/12/29 | 2021/06/30 | 12.00 |
| 27063 | UBS AG – NetEase European Put Warrants Jun 2021 A | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.00 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 27355 | Bank Vontobel – China Mobile European Warrants Apr 2021 A | 60,000,000 | 2020/12/29 | 2021/04/27 | 10.20 |
| 27351 | Bank Vontobel – China Mobile European Warrants Jul 2021 B | 40,000,000 | 2020/12/29 | 2021/07/02 | 12.00 |
| 27304 | Bank Vontobel – CNOOC European Warrants Jul 2021 A | 70,000,000 | 2020/12/29 | 2021/07/26 | 10.50 |
| 27303 | Bank Vontobel – CNOOC European Warrants Dec 2021 A | 60,000,000 | 2020/12/29 | 2021/12/23 | 10.50 |
| 27349 | Bank Vontobel – CNOOC European Put Warrants Sep 2021 A | 40,000,000 | 2020/12/29 | 2021/09/29 | 10.00 |
| 27364 | Bank Vontobel – China Overseas European Warrants Aug 2021 A | 40,000,000 | 2020/12/29 | 2021/08/03 | 10.00 |
| 27297 | Bank Vontobel – Xiaomi European Warrants May 2021 C | 60,000,000 | 2020/12/29 | 2021/05/21 | 15.00 |
| 27302 | Bank Vontobel – Xiaomi European Warrants Jul 2021 A | 60,000,000 | 2020/12/29 | 2021/07/02 | 15.00 |
| 27419 | Credit Suisse AG – Alibaba European Warrants May 2021 G | 400,000,000 | 2020/12/30 | 2021/05/26 | 68.00 |
| 27428 | Credit Suisse AG – Alibaba European Warrants Jun 2021 E | 400,000,000 | 2020/12/30 | 2021/06/25 | 60.00 |
| 27573 | Credit Suisse AG – CITIC European Warrants Apr 2022 A | 60,000,000 | 2020/12/30 | 2022/04/29 | 15.00 |
| 27557 | Credit Suisse AG – Greatwall Motor European Wts Jun 2021 A | 80,000,000 | 2020/12/30 | 2021/06/29 | 20.00 |
| 27567 | Credit Suisse AG – HKEX European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/30 | 2021/06/23 | 20.00 |
| 27563 | Credit Suisse AG – Weimob European Warrants Jun 2021 C | 40,000,000 | 2020/12/30 | 2021/06/29 | 11.20 |
| 27566 | Credit Suisse AG – Xiaomi European Warrants Jun 2021 A | 200,000,000 | 2020/12/30 | 2021/06/29 | 50.00 |
| 27565 | Credit Suisse AG – Xiaomi European Put Warrants Jun 2021 B | 100,000,000 | 2020/12/30 | 2021/06/29 | 28.00 |
| 27502 | Citigroup Global – HKEX European Warrants Jun 2021 A | 100,000,000 | 2020/12/30 | 2021/06/29 | 17.40 |
| 27627 | Citigroup Global – HKEX European Put Warrants Jun 2021 B | 100,000,000 | 2020/12/30 | 2021/06/29 | 22.30 |
| 27504 | Citigroup Global – Sands China European Warrants Jun 2021 A | 100,000,000 | 2020/12/30 | 2021/06/29 | 17.70 |
| 27507 | Citigroup Global – Xiaomi European Warrants Jun 2021 A | 100,000,000 | 2020/12/30 | 2021/06/29 | 31.00 |
| 27500 | Citigroup Global – Xiaomi European Put Warrants Jun 2021 B | 100,000,000 | 2020/12/30 | 2021/06/30 | 39.00 |
| 27531 | J P Morgan SP BV – Alibaba European Warrants Mar 2021 I | 300,000,000 | 2020/12/30 | 2021/03/31 | 54.30 |
| 27533 | J P Morgan SP BV – Alibaba European Warrants May 2021 C | 300,000,000 | 2020/12/30 | 2021/05/31 | 51.30 |
| 27546 | J P Morgan SP BV – Alibaba European Warrants May 2021 D | 300,000,000 | 2020/12/30 | 2021/05/13 | 69.00 |
| 27590 | J P Morgan SP BV – Alibaba European Put Warrants Jun 2021 A | 200,000,000 | 2020/12/30 | 2021/06/30 | 51.00 |
| 27523 | J P Morgan SP BV – CITIC Sec European Warrants May 2021 A | 70,000,000 | 2020/12/30 | 2021/05/31 | 10.64 |
| 27521 | J P Morgan SP BV – HKEX European Warrants Sep 2021 A | 200,000,000 | 2020/12/30 | 2021/09/30 | 50.00 |
| 27603 | J P Morgan SP BV – HSCEI European Put Warrants Oct 2021 A | 200,000,000 | 2020/12/30 | 2021/10/28 | 51.20 |
| 27532 | J P Morgan SP BV – Tencent European Warrants Apr 2021 C | 250,000,000 | 2020/12/30 | 2021/04/30 | 40.75 |
| 27450 | Macquarie Bank Ltd. – Alibaba European Warrants Jul 2021 F | 40,000,000 | 2020/12/30 | 2021/07/05 | 10.44 |
| 27461 | Macquarie Bank Ltd. – Alibaba European Warrants Aug 2021 A | 40,000,000 | 2020/12/30 | 2021/08/03 | 10.48 |
| 27444 | Macquarie Bank Ltd. – Bosideng European Warrants Oct 2022 A | 39,500,000 | 2020/12/30 | 2022/10/21 | 10.07 |
| 27445 | Macquarie Bank Ltd. – Xiaomi European Warrants Aug 2021 A | 25,000,000 | 2020/12/30 | 2021/08/03 | 10.13 |
| 27468 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Apr 21E | 108,000,000 | 2020/12/30 | 2021/04/01 | 30.24 |
| 27401 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Jul 21E | 128,000,000 | 2020/12/30 | 2021/07/02 | 32.00 |
| 27414 | Morgan Stanley Asia Products Ltd. – Alibaba Euro Wts Jul 21F | 128,000,000 | 2020/12/30 | 2021/07/02 | 20.48 |
| 27467 | Morgan Stanley Asia Products Ltd. – Alibaba Eu Put Wt Jul21A | 80,000,000 | 2020/12/30 | 2021/07/26 | 12.00 |
| 27605 | SG Issuer – Alibaba Group European Warrants May 2021 C | 150,000,000 | 2020/12/30 | 2021/05/26 | 27.75 |
| 27610 | SG Issuer – Alibaba Group European Warrants Jun 2021 D | 150,000,000 | 2020/12/30 | 2021/06/25 | 24.00 |
| 27611 | SG Issuer – Tencent European Warrants Mar 2021 C | 150,000,000 | 2020/12/30 | 2021/03/29 | 63.75 |
| 27435 | UBS AG – Alibaba European Warrants May 2021 G | 200,000,000 | 2020/12/30 | 2021/05/26 | 30.00 |
| 27442 | UBS AG – HKEX European Put Warrants Jun 2021 A | 300,000,000 | 2020/12/30 | 2021/06/22 | 45.00 |
| 27440 | UBS AG – Tencent European Put Warrants Jun 2021 A | 300,000,000 | 2020/12/30 | 2021/06/18 | 45.00 |
| 27430 | UBS AG – Xiaomi European Warrants Jun 2021 A | 200,000,000 | 2020/12/30 | 2021/06/30 | 50.00 |

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|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 27443 | UBS AG – Xiaomi European Put Warrants Jun 2021 A | 200,000,000 | 2020/12/30 | 2021/06/08 | 30.00 |
| 27516 | Bank Vontobel – Alibaba Group European Warrants May 2021 C | 50,000,000 | 2020/12/30 | 2021/05/27 | 13.25 |
| 27517 | Bank Vontobel – Alibaba European Put Warrants Jul 2021 A | 50,000,000 | 2020/12/30 | 2021/07/29 | 12.50 |
| 28142 | BOCI Asia Ltd. – Alibaba European Put Warrants Jul 2021 B | 70,000,000 | 2020/12/31 | 2021/07/06 | 17.50 |
| 28228 | Credit Suisse AG – AIA European Warrants Jun 2021 B | 70,000,000 | 2020/12/31 | 2021/06/30 | 17.50 |
| 28263 | Credit Suisse AG – AIA European Put Warrants Jun 2021 A | 50,000,000 | 2020/12/31 | 2021/06/30 | 25.00 |
| 28188 | Credit Suisse AG – Alibaba European Warrants Mar 2021 L | 400,000,000 | 2020/12/31 | 2021/03/31 | 76.00 |
| 27704 | Credit Suisse AG – Alibaba European Warrants May 2021 H | 400,000,000 | 2020/12/31 | 2021/05/13 | 60.00 |
| 27715 | Credit Suisse AG – Alibaba European Warrants Jun 2021 F | 400,000,000 | 2020/12/31 | 2021/06/30 | 100.00 |
| 27714 | Credit Suisse AG – Alibaba European Warrants Aug 2021 A | 400,000,000 | 2020/12/31 | 2021/08/26 | 60.00 |
| 28235 | Credit Suisse AG – China Life European Warrants Sep 2021 A | 100,000,000 | 2020/12/31 | 2021/09/27 | 15.00 |
| 28237 | Credit Suisse AG – HKEX European Warrants Apr 2021 C | 200,000,000 | 2020/12/31 | 2021/04/23 | 30.00 |
| 28225 | Credit Suisse AG – Meituan European Warrants Mar 2021 H | 200,000,000 | 2020/12/31 | 2021/03/31 | 40.00 |
| 28209 | Credit Suisse AG – Meituan European Warrants Apr 2021 E | 200,000,000 | 2020/12/31 | 2021/04/23 | 34.00 |
| 28206 | Credit Suisse AG – Meituan European Warrants May 2021 C | 200,000,000 | 2020/12/31 | 2021/05/26 | 30.00 |
| 28207 | Credit Suisse AG – Meituan European Warrants May 2021 D | 200,000,000 | 2020/12/31 | 2021/05/26 | 32.00 |
| 28253 | Credit Suisse AG – Meituan European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/31 | 2021/06/30 | 25.00 |
| 28198 | Credit Suisse AG – Tencent European Wts Mar 2021 E | 250,000,000 | 2020/12/31 | 2021/03/31 | 37.50 |
| 28291 | Credit Suisse AG – Tencent European Wts Apr 2021 E | 250,000,000 | 2020/12/31 | 2021/04/27 | 37.50 |
| 28205 | Credit Suisse AG – Tencent European Wts May 2021 A | 250,000,000 | 2020/12/31 | 2021/05/27 | 62.50 |
| 28266 | Credit Suisse AG – Tencent European Wts May 2021 B | 250,000,000 | 2020/12/31 | 2021/05/31 | 37.50 |
| 28245 | Credit Suisse AG – Tencent Euro Put Wts Jun 2021 A | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 28238 | Credit Suisse AG – Zijin Mining European Warrants Jul 2021 C | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 28083 | Citigroup Global – AAC Tech European Warrants Apr 2021 A | 100,000,000 | 2020/12/31 | 2021/04/27 | 16.70 |
| 28038 | Citigroup Global – Meituan European Put Warrants Apr 2021 C | 100,000,000 | 2020/12/31 | 2021/04/01 | 15.90 |
| 28063 | Citigroup Global – Tencent European Warrants Apr 2021 D | 100,000,000 | 2020/12/31 | 2021/04/01 | 15.00 |
| 28164 | Citigroup Global – Tencent European Put Warrants Apr 2021 B | 100,000,000 | 2020/12/31 | 2021/04/27 | 21.40 |
| 28036 | Citigroup Global – Tencent European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/31 | 2021/06/25 | 22.20 |
| 27650 | Bank of East Asia – Alibaba Group Euro Warrants Jul 2021 A | 60,000,000 | 2020/12/31 | 2021/07/05 | 15.00 |
| 27765 | Guotai Junan – Alibaba Group European Warrants Jul 2021 A | 100,000,000 | 2020/12/31 | 2021/07/05 | 15.90 |
| 27798 | Guotai Junan – Alibaba Group European Warrants Aug 2021 A | 100,000,000 | 2020/12/31 | 2021/08/03 | 16.30 |
| 27807 | Guotai Junan – Alibaba Group Euro Put Warrants Aug 2021 A | 100,000,000 | 2020/12/31 | 2021/08/03 | 25.70 |
| 27809 | Guotai Junan – JD.com European Warrants May 2021 A | 100,000,000 | 2020/12/31 | 2021/05/04 | 15.30 |
| 27720 | Guotai Junan – Tencent European Warrants Apr 2021 C | 100,000,000 | 2020/12/31 | 2021/04/13 | 18.00 |
| 27716 | Guotai Junan – Tencent European Warrants May 2021 B | 100,000,000 | 2020/12/31 | 2021/05/04 | 17.80 |
| 27744 | Guotai Junan – Tencent Euro Tencent put Warrants Jun 2021 A | 100,000,000 | 2020/12/31 | 2021/06/18 | 21.10 |
| 27808 | Guotai Junan – Xiaomi European Warrants May 2021 A | 100,000,000 | 2020/12/31 | 2021/05/21 | 25.90 |
| 28396 | Goldman Sachs SP (Asia) – Alibaba European Wts Jun 2021 D | 150,000,000 | 2020/12/31 | 2021/06/24 | 22.50 |
| 28395 | Goldman Sachs SP (Asia) – Alibaba European Wts Jul 2021 B | 150,000,000 | 2020/12/31 | 2021/07/27 | 22.50 |
| 28380 | Goldman Sachs SP (Asia) – HKEX European Warrants Mar 2021 D | 150,000,000 | 2020/12/31 | 2021/03/31 | 22.65 |
| 28397 | Goldman Sachs SP (Asia) – Meituan Euro Warrants Apr 2021 D | 80,000,000 | 2020/12/31 | 2021/04/13 | 12.00 |
| 28363 | Goldman Sachs SP (Asia) – Tencent European Wts Mar 2021 H | 150,000,000 | 2020/12/31 | 2021/03/31 | 22.50 |
| 28361 | Goldman Sachs SP (Asia) – Tencent European Wts Apr 2021 E | 150,000,000 | 2020/12/31 | 2021/04/23 | 22.50 |
| 28366 | Goldman Sachs SP (Asia) – Tencent European Wts May 2021 A | 150,000,000 | 2020/12/31 | 2021/05/31 | 27.00 |

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| | | (units) | Listing date | Expiry | |
| 28368 | Goldman Sachs SP (Asia) – Tencent European Wts May 2021 B | 150,000,000 | 2020/12/31 | 2021/05/18 | 22.50 |
| 28390 | Goldman Sachs SP (Asia) – Xiaomi European Wts Jun 2021 A | 80,000,000 | 2020/12/31 | 2021/06/25 | 22.32 |
| 28383 | Goldman Sachs SP (Asia) – Xiaomi European Put Wts Jun 2021 A | 80,000,000 | 2020/12/31 | 2021/06/08 | 14.32 |
| 27915 | HK Bank – Alibaba European Warrants Jun 2021 C | 100,000,000 | 2020/12/31 | 2021/06/24 | 18.00 |
| 28157 | HK Bank – Alibaba European Warrants Jun 2021 D | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 27897 | HK Bank – Alibaba European Warrants Jul 2021 B | 100,000,000 | 2020/12/31 | 2021/07/27 | 15.00 |
| 27899 | HK Bank – Alibaba European Warrants Aug 2021 A | 100,000,000 | 2020/12/31 | 2021/08/26 | 15.00 |
| 27879 | HK Bank – Alibaba European Put Warrants Sep 2021 A | 100,000,000 | 2020/12/31 | 2021/09/30 | 25.00 |
| 27864 | HK Bank – Ali Health European Warrants Jun 2021 B | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 27951 | HK Bank – Ch Molybdenum European Warrants Nov 2021 A | 80,000,000 | 2020/12/31 | 2021/11/30 | 20.00 |
| 28166 | HK Bank – China Overseas European Warrants Dec 2021 A | 80,000,000 | 2020/12/31 | 2021/12/31 | 20.00 |
| 27943 | HK Bank – China Shenhua European Warrants Aug 2021 A | 80,000,000 | 2020/12/31 | 2021/08/24 | 12.00 |
| 27924 | HK Bank – China Feihe European Warrants Sep 2021 A | 50,000,000 | 2020/12/31 | 2021/09/30 | 13.00 |
| 27813 | HK Bank – Meituan European Warrants Jun 2021 B | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 27965 | HK Bank – Meituan European Warrants Jun 2021 C | 80,000,000 | 2020/12/31 | 2021/06/30 | 28.80 |
| 27835 | HK Bank – Tencent European Warrants Apr 2021 B | 80,000,000 | 2020/12/31 | 2021/04/30 | 27.20 |
| 27863 | HK Bank – Tencent European Warrants Jun 2021 C | 80,000,000 | 2020/12/31 | 2021/06/02 | 24.00 |
| 27872 | HK Bank – Tencent European Warrants Jun 2021 D | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 27838 | HK Bank – Tencent European Warrants Apr 2022 A | 200,000,000 | 2020/12/31 | 2022/04/25 | 32.00 |
| 27947 | HK Bank – Tencent European Put Warrants Jul 2021 A | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 27948 | HK Bank – Zhaojin Mining European Warrants Dec 2021 A | 80,000,000 | 2020/12/31 | 2021/12/31 | 20.00 |
| 28360 | HK Bank – Zijin Mining European Warrants Dec 2021 A | 80,000,000 | 2020/12/31 | 2021/12/31 | 20.00 |
| 28024 | Haitong Int'l Sec – AIA European Warrants Jun 2021 A | 50,000,000 | 2020/12/31 | 2021/06/11 | 15.50 |
| 28014 | Haitong Int'l Sec – China Gas Hold European Wts Jul 2021 A | 40,000,000 | 2020/12/31 | 2021/07/05 | 10.00 |
| 28035 | Haitong Int'l Sec – Meituan European Put Warrants Jun 2021 A | 80,000,000 | 2020/12/31 | 2021/06/25 | 15.20 |
| 28031 | Haitong Int'l Sec – Yihai Intl European Warrants Apr 2021 B | 64,000,000 | 2020/12/31 | 2021/04/23 | 10.24 |
| 28087 | J P Morgan SP BV – Alibaba European Warrants Jun 2021 C | 250,000,000 | 2020/12/31 | 2021/06/30 | 63.75 |
| 28116 | J P Morgan SP BV – Alibaba European Warrants Jun 2021 D | 300,000,000 | 2020/12/31 | 2021/06/30 | 75.60 |
| 28118 | J P Morgan SP BV – Alibaba European Put Warrants Jun 2021 B | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.20 |
| 28113 | J P Morgan SP BV – Ali Health European Put Wts Jul 2021 A | 50,000,000 | 2020/12/31 | 2021/07/30 | 12.70 |
| 28092 | J P Morgan SP BV – Ganfeng Lithium European Wts Sep 2021 A | 60,000,000 | 2020/12/31 | 2021/09/24 | 15.06 |
| 28126 | J P Morgan SP BV – JD.com European Warrants Apr 2021 B | 200,000,000 | 2020/12/31 | 2021/04/07 | 44.60 |
| 28132 | J P Morgan SP BV – Meituan European Warrants Apr 2021 D | 300,000,000 | 2020/12/31 | 2021/04/14 | 58.50 |
| 28130 | J P Morgan SP BV – Tencent European Warrants Apr 2021 D | 250,000,000 | 2020/12/31 | 2021/04/14 | 49.25 |
| 28140 | J P Morgan SP BV – Tencent European Warrants Apr 2021 E | 250,000,000 | 2020/12/31 | 2021/04/01 | 61.00 |
| 28117 | J P Morgan SP BV – Zijin Mining European Warrants Aug 2021 A | 80,000,000 | 2020/12/31 | 2021/08/31 | 20.08 |
| 27639 | Macquarie Bank Ltd. – Alibaba European Warrants Jul 2021 G | 25,500,000 | 2020/12/31 | 2021/07/05 | 10.12 |
| 27638 | Macquarie Bank Ltd. – Alibaba European Put Wts Jul 2021 B | 33,000,000 | 2020/12/31 | 2021/07/05 | 10.07 |
| 27647 | Macquarie Bank Ltd. – HKEX European Put Warrants Jul 2021 B | 32,400,000 | 2020/12/31 | 2021/07/05 | 10.01 |
| 27642 | Macquarie Bank Ltd. – Tencent European Warrants Jul 2021 C | 20,000,000 | 2020/12/31 | 2021/07/02 | 12.86 |
| 28013 | Morgan Stanley Asia Products Ltd. – AIA Euro Wts Jul 2021 B | 68,000,000 | 2020/12/31 | 2021/07/02 | 17.00 |
| 27676 | Morgan Stanley Asia Products Ltd. – Tencent Euro Wts Mar 21D | 108,000,000 | 2020/12/31 | 2021/03/30 | 21.60 |
| 27690 | SG Issuer – Alibaba Group European Warrants Mar 2021 J | 150,000,000 | 2020/12/31 | 2021/03/30 | 45.00 |
| 27694 | SG Issuer – Alibaba Group European Warrants May 2021 D | 150,000,000 | 2020/12/31 | 2021/05/12 | 24.00 |

MAIN BOARD WARRANTS

| Code | Derivative warrants | No. of issue | | | Amount raised |
|--------------|---|--------------|--------------|------------|------------------|
| | | (units) | Listing date | Expiry | |
| 27696 | SG Issuer – Alibaba European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/31 | 2021/06/30 | 25.00 |
| 27702 | SG Issuer – Ali Health European Warrants Jun 2021 C | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 27979 | UBS AG – Alibaba European Warrants Mar 2021 M | 200,000,000 | 2020/12/31 | 2021/03/30 | 46.00 |
| 28005 | UBS AG – Alibaba European Warrants Mar 2021 N | 200,000,000 | 2020/12/31 | 2021/03/30 | 30.00 |
| 28009 | UBS AG – Alibaba European Put Warrants Jun 2021 A | 100,000,000 | 2020/12/31 | 2021/06/30 | 25.00 |
| 28010 | UBS AG – HKEX European Warrants Apr 2021 C | 300,000,000 | 2020/12/31 | 2021/04/30 | 45.00 |
| 28011 | UBS AG – HSCEI European Put Warrants Aug 2021 A | 200,000,000 | 2020/12/31 | 2021/08/30 | 30.00 |
| 27992 | UBS AG – Tencent European Warrants Mar 2021 D | 300,000,000 | 2020/12/31 | 2021/03/30 | 66.00 |
| 27978 | UBS AG – Tencent European Warrants Apr 2021 G | 300,000,000 | 2020/12/31 | 2021/04/23 | 45.00 |
| 27991 | UBS AG – Xiaomi European Warrants Jun 2021 B | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 27989 | UBS AG – Xiaomi European Put Warrants Jun 2021 B | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 28328 | Bank Vontobel – Alibaba Group European Warrants Jul 2021 B | 50,000,000 | 2020/12/31 | 2021/07/05 | 14.50 |
| 28349 | Bank Vontobel – Alibaba European Put Warrants Aug 2021 A | 50,000,000 | 2020/12/31 | 2021/08/30 | 12.50 |
| 28318 | Bank Vontobel – Geely Auto European Put Warrants May 2021 C | 50,000,000 | 2020/12/31 | 2021/05/18 | 14.00 |
| 28323 | Bank Vontobel – HSCEI European Warrants Aug 2021 A | 80,000,000 | 2020/12/31 | 2021/08/30 | 16.80 |
| 28321 | Bank Vontobel – HSI European Warrants Jul 2021 A | 100,000,000 | 2020/12/31 | 2021/07/29 | 20.00 |
| 28327 | Bank Vontobel – HSTECH European Warrants Jun 2021 A | 70,000,000 | 2020/12/31 | 2021/06/29 | 10.50 |
| 28306 | Bank Vontobel – Meituan European Warrants May 2021 B | 80,000,000 | 2020/12/31 | 2021/05/26 | 26.40 |
| 28310 | Bank Vontobel – Meituan European Put Warrants Jun 2021 B | 80,000,000 | 2020/12/31 | 2021/06/23 | 16.00 |
| 28350 | Bank Vontobel – SMIC European Warrants Jul 2021 A | 40,000,000 | 2020/12/31 | 2021/07/05 | 10.36 |
| 28315 | Bank Vontobel – Xiaomi European Put Warrants Jul 2021 A | 50,000,000 | 2020/12/31 | 2021/07/02 | 16.00 |
| Total | | | | | 68,207.90 |

Further issue

MAIN BOARD WARRANTS

Newly Listed Inline Warrants

for 4th quarter 2020

| Code | Inline warrants | No. of issue | | Expiry | Amount raised (HK\$ mil.) |
|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | | |
| 48604 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 A | 25,000,000 | 2020/10/05 | 2021/04/07 | 10.75 |
| 48605 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 B | 20,000,000 | 2020/10/05 | 2021/04/07 | 10.20 |
| 48606 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 C | 25,000,000 | 2020/10/05 | 2021/04/07 | 12.00 |
| 48607 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 D | 30,000,000 | 2020/10/05 | 2021/04/07 | 11.70 |
| 48608 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 E | 35,000,000 | 2020/10/05 | 2021/04/07 | 11.20 |
| 48609 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 F | 25,000,000 | 2020/10/27 | 2021/04/30 | 12.00 |
| 48610 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 G | 25,000,000 | 2020/10/27 | 2021/04/30 | 12.00 |
| 48611 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 H | 25,000,000 | 2020/10/27 | 2021/04/30 | 10.75 |
| 48612 | SG Issuer – Alibaba Euro Inline Warrants Apr 2021 I | 30,000,000 | 2020/10/27 | 2021/04/30 | 10.50 |
| 48613 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 A | 30,000,000 | 2020/10/27 | 2021/04/30 | 11.40 |
| 48614 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 B | 20,000,000 | 2020/10/27 | 2021/04/30 | 10.40 |
| 48615 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 C | 20,000,000 | 2020/10/27 | 2021/04/30 | 10.60 |
| 48616 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 D | 25,000,000 | 2020/10/27 | 2021/04/30 | 12.25 |
| 48617 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 E | 25,000,000 | 2020/10/27 | 2021/04/30 | 10.75 |
| 48618 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 F | 30,000,000 | 2020/10/27 | 2021/04/30 | 11.40 |
| 48619 | SG Issuer – Tencent Euro Inline Warrants Apr 2021 G | 30,000,000 | 2020/10/27 | 2021/04/30 | 10.20 |
| 48629 | HK Bank – AIA Euro Inline Warrants Aug 2021 A | 19,000,000 | 2020/10/28 | 2021/08/31 | 10.45 |
| 48623 | HK Bank – Alibaba Euro Inline Warrants Oct 2021 B | 27,000,000 | 2020/10/28 | 2021/10/29 | 10.26 |
| 48622 | HK Bank – Alibaba Euro Inline Warrants Nov 2021 B | 30,000,000 | 2020/10/28 | 2021/11/30 | 10.20 |
| 48621 | HK Bank – Meituan Euro Inline Warrants Jul 2021 B | 24,000,000 | 2020/10/28 | 2021/07/30 | 10.08 |
| 48620 | HK Bank – Meituan Euro Inline Warrants Sep 2021 B | 32,000,000 | 2020/10/28 | 2021/09/30 | 10.24 |
| 48624 | HK Bank – Meituan Euro Inline Warrants Oct 2021 B | 34,000,000 | 2020/10/28 | 2021/10/29 | 10.20 |
| 48628 | HK Bank – Meituan Euro Inline Warrants Nov 2021 A | 27,000,000 | 2020/10/28 | 2021/11/30 | 10.26 |
| 48627 | HK Bank – Ping An Euro Inline Warrants Nov 2021 A | 17,000,000 | 2020/10/28 | 2021/11/30 | 10.37 |
| 48626 | HK Bank – Tencent Euro Inline Warrants Jul 2021 A | 20,000,000 | 2020/10/28 | 2021/07/30 | 10.20 |
| 48625 | HK Bank – Tencent Euro Inline Warrants Nov 2021 A | 25,000,000 | 2020/10/28 | 2021/11/30 | 10.25 |
| 48630 | SG Issuer – AIA Euro Inline Warrants Apr 2021 A | 25,000,000 | 2020/10/30 | 2021/04/30 | 11.75 |
| 48631 | SG Issuer – AIA Euro Inline Warrants Apr 2021 B | 20,000,000 | 2020/10/30 | 2021/04/30 | 16.20 |
| 48632 | SG Issuer – AIA Euro Inline Warrants Apr 2021 C | 20,000,000 | 2020/10/30 | 2021/04/30 | 11.80 |
| 48633 | SG Issuer – AIA Euro Inline Warrants Apr 2021 D | 20,000,000 | 2020/10/30 | 2021/04/30 | 11.00 |
| 48634 | SG Issuer – AIA Euro Inline Warrants Apr 2021 E | 25,000,000 | 2020/10/30 | 2021/04/30 | 11.75 |
| 48635 | SG Issuer – AIA Euro Inline Warrants Apr 2021 F | 30,000,000 | 2020/10/30 | 2021/04/30 | 12.00 |
| 48636 | SG Issuer – Ping An Euro Inline Warrants Mar 2021 A | 20,000,000 | 2020/10/30 | 2021/03/31 | 10.40 |
| 48637 | SG Issuer – Ping An Euro Inline Warrants Mar 2021 B | 35,000,000 | 2020/10/30 | 2021/03/31 | 10.15 |
| 48638 | SG Issuer – Ping An Euro Inline Warrants Apr 2021 A | 20,000,000 | 2020/10/30 | 2021/04/30 | 14.00 |
| 48639 | SG Issuer – Ping An Euro Inline Warrants Apr 2021 B | 20,000,000 | 2020/10/30 | 2021/04/30 | 10.20 |
| 48645 | J P Morgan SP BV – AIA Euro Inline Warrants Jun 2021 A | 20,000,000 | 2020/11/06 | 2021/06/30 | 11.68 |
| 48644 | J P Morgan SP BV – AIA Euro Inline Warrants Jul 2021 A | 22,000,000 | 2020/11/06 | 2021/07/30 | 10.71 |
| 48641 | J P Morgan SP BV – AIA Euro Inline Warrants Aug 2021 A | 25,000,000 | 2020/11/06 | 2021/08/31 | 10.68 |
| 48643 | J P Morgan SP BV – Ping An Euro Inline Warrants Jun 2021 A | 20,000,000 | 2020/11/06 | 2021/06/30 | 14.18 |
| 48642 | J P Morgan SP BV – Ping An Euro Inline Warrants Jul 2021 A | 30,000,000 | 2020/11/06 | 2021/07/30 | 12.00 |
| 48640 | J P Morgan SP BV – Ping An Euro Inline Warrants Aug 2021 A | 25,000,000 | 2020/11/06 | 2021/08/31 | 11.00 |

MAIN BOARD WARRANTS

| Code | Inline warrants | No. of issue | | | Amount raised (HK\$ mil.) |
|-------|--|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 48646 | J P Morgan SP BV – Xiaomi Euro Inline Warrants May 2021 A | 26,000,000 | 2020/11/13 | 2021/05/31 | 11.62 |
| 48647 | J P Morgan SP BV – Xiaomi Euro Inline Warrants May 2021 B | 30,000,000 | 2020/11/13 | 2021/05/31 | 11.91 |
| 48648 | J P Morgan SP BV – Xiaomi Euro Inline Warrants Jun 2021 A | 26,000,000 | 2020/11/13 | 2021/06/30 | 11.78 |
| 48653 | HK Bank – SMIC Euro Inline Warrants May 2021 A | 19,000,000 | 2020/11/26 | 2021/05/31 | 10.26 |
| 48654 | HK Bank – SMIC Euro Inline Warrants Jun 2021 A | 21,000,000 | 2020/11/26 | 2021/06/30 | 10.29 |
| 48655 | HK Bank – SMIC Euro Inline Warrants Sep 2021 A | 25,000,000 | 2020/11/26 | 2021/09/30 | 10.00 |
| 48656 | HK Bank – SMIC Euro Inline Warrants Dec 2021 A | 22,000,000 | 2020/11/26 | 2021/12/31 | 10.34 |
| 48649 | HK Bank – Xiaomi Euro Inline Warrants May 2021 A | 18,000,000 | 2020/11/26 | 2021/05/31 | 10.08 |
| 48650 | HK Bank – Xiaomi Euro Inline Warrants Jun 2021 A | 28,000,000 | 2020/11/26 | 2021/06/30 | 10.36 |
| 48658 | HK Bank – Xiaomi Euro Inline Warrants Jun 2021 B | 21,000,000 | 2020/11/26 | 2021/06/30 | 10.29 |
| 48651 | HK Bank – Xiaomi Euro Inline Warrants Jul 2021 A | 20,000,000 | 2020/11/26 | 2021/07/30 | 10.20 |
| 48652 | HK Bank – Xiaomi Euro Inline Warrants Aug 2021 A | 23,000,000 | 2020/11/26 | 2021/08/31 | 10.12 |
| 48657 | HK Bank – Xiaomi Euro Inline Warrants Sep 2021 A | 31,000,000 | 2020/11/26 | 2021/09/30 | 10.23 |
| 48659 | SG Issuer – Tencent Euro Inline Warrants May 2021 A | 40,000,000 | 2020/11/27 | 2021/05/31 | 11.20 |
| 48660 | SG Issuer – Tencent Euro Inline Warrants May 2021 B | 30,000,000 | 2020/11/27 | 2021/05/31 | 11.10 |
| 48661 | SG Issuer – Tencent Euro Inline Warrants May 2021 C | 20,000,000 | 2020/11/27 | 2021/05/31 | 10.80 |
| 48662 | SG Issuer – Tencent Euro Inline Warrants May 2021 D | 20,000,000 | 2020/11/27 | 2021/05/31 | 10.00 |
| 48663 | SG Issuer – Tencent Euro Inline Warrants May 2021 E | 30,000,000 | 2020/11/27 | 2021/05/31 | 11.40 |
| 48664 | SG Issuer – Tencent Euro Inline Warrants May 2021 F | 35,000,000 | 2020/11/27 | 2021/05/31 | 10.85 |
| 48665 | SG Issuer – Tencent Euro Inline Warrants May 2021 G | 40,000,000 | 2020/11/27 | 2021/05/31 | 11.20 |
| 48666 | SG Issuer – Tencent Euro Inline Warrants May 2021 H | 40,000,000 | 2020/11/27 | 2021/05/31 | 10.40 |
| 48667 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 A | 20,000,000 | 2020/11/27 | 2021/06/30 | 15.20 |
| 48668 | SG Issuer – Tencent Euro Inline Warrants Dec 2021 A | 20,000,000 | 2020/11/27 | 2021/12/31 | 12.60 |
| 48669 | SG Issuer – Alibaba Euro Inline Warrants May 2021 A | 25,000,000 | 2020/11/30 | 2021/05/31 | 11.00 |
| 48670 | SG Issuer – Alibaba Euro Inline Warrants May 2021 B | 20,000,000 | 2020/11/30 | 2021/05/31 | 10.00 |
| 48671 | SG Issuer – Alibaba Euro Inline Warrants May 2021 C | 20,000,000 | 2020/11/30 | 2021/05/31 | 10.60 |
| 48672 | SG Issuer – Alibaba Euro Inline Warrants May 2021 D | 20,000,000 | 2020/11/30 | 2021/05/31 | 10.20 |
| 48673 | SG Issuer – Alibaba Euro Inline Warrants May 2021 E | 25,000,000 | 2020/11/30 | 2021/05/31 | 12.00 |
| 48674 | SG Issuer – Alibaba Euro Inline Warrants May 2021 F | 25,000,000 | 2020/11/30 | 2021/05/31 | 10.25 |
| 48675 | SG Issuer – Alibaba Euro Inline Warrants May 2021 G | 30,000,000 | 2020/11/30 | 2021/05/31 | 10.50 |
| 48676 | SG Issuer – Alibaba Euro Inline Warrants May 2021 H | 35,000,000 | 2020/11/30 | 2021/05/31 | 10.85 |
| 48677 | SG Issuer – Alibaba Euro Inline Warrants Jun 2021 A | 20,000,000 | 2020/11/30 | 2021/06/30 | 13.40 |
| 48678 | SG Issuer – Alibaba Euro Inline Warrants Dec 2021 A | 20,000,000 | 2020/11/30 | 2021/12/31 | 11.20 |
| 48683 | J P Morgan SP BV – Alibaba Euro Inline Warrants Jun 2021 A | 15,000,000 | 2020/12/04 | 2021/06/30 | 10.40 |
| 48687 | J P Morgan SP BV – Alibaba Euro Inline Warrants Jul 2021 A | 21,000,000 | 2020/12/04 | 2021/07/30 | 10.37 |
| 48682 | J P Morgan SP BV – Alibaba Euro Inline Warrants Aug 2021 A | 26,000,000 | 2020/12/04 | 2021/08/31 | 11.31 |
| 48684 | J P Morgan SP BV – Meituan Euro Inline Warrants Jun 2021 A | 20,000,000 | 2020/12/04 | 2021/06/30 | 11.80 |
| 48685 | J P Morgan SP BV – Meituan Euro Inline Warrants Jul 2021 A | 26,000,000 | 2020/12/04 | 2021/07/30 | 11.65 |
| 48679 | J P Morgan SP BV – Meituan Euro Inline Warrants Aug 2021 A | 26,000,000 | 2020/12/04 | 2021/08/31 | 10.43 |
| 48680 | J P Morgan SP BV – Tencent Euro Inline Warrants Jun 2021 A | 20,000,000 | 2020/12/04 | 2021/06/30 | 10.44 |
| 48681 | J P Morgan SP BV – Tencent Euro Inline Warrants Jul 2021 A | 26,000,000 | 2020/12/04 | 2021/07/30 | 11.13 |
| 48686 | J P Morgan SP BV – Tencent Euro Inline Warrants Aug 2021 A | 25,000,000 | 2020/12/04 | 2021/08/31 | 11.58 |
| 48688 | SG Issuer – HSI Euro Inline Warrants Mar 2021 A | 40,000,000 | 2020/12/28 | 2021/03/30 | 11.20 |
| 48689 | SG Issuer – HSI Euro Inline Warrants Mar 2021 B | 30,000,000 | 2020/12/28 | 2021/03/30 | 11.70 |

MAIN BOARD WARRANTS

| Code | Inline warrants | No. of issue | | | Amount raised (HK\$ mil.) |
|--------------|---|--------------|--------------|------------|---------------------------|
| | | (units) | Listing date | Expiry | |
| 48690 | SG Issuer – HSI Euro Inline Warrants Mar 2021 C | 20,000,000 | 2020/12/28 | 2021/03/30 | 11.60 |
| 48691 | SG Issuer – HSI Euro Inline Warrants Mar 2021 D | 20,000,000 | 2020/12/28 | 2021/03/30 | 13.20 |
| 48692 | SG Issuer – HSI Euro Inline Warrants Mar 2021 E | 25,000,000 | 2020/12/28 | 2021/03/30 | 10.00 |
| 48693 | SG Issuer – HSI Euro Inline Warrants Apr 2021 A | 20,000,000 | 2020/12/28 | 2021/04/29 | 11.80 |
| 48694 | SG Issuer – HSI Euro Inline Warrants Apr 2021 B | 20,000,000 | 2020/12/28 | 2021/04/29 | 12.40 |
| 48695 | SG Issuer – HSI Euro Inline Warrants May 2021 A | 35,000,000 | 2020/12/28 | 2021/05/28 | 11.20 |
| 48696 | SG Issuer – HSI Euro Inline Warrants May 2021 B | 20,000,000 | 2020/12/28 | 2021/05/28 | 11.20 |
| 48697 | SG Issuer – HSI Euro Inline Warrants May 2021 C | 25,000,000 | 2020/12/28 | 2021/05/28 | 12.00 |
| 48698 | SG Issuer – Alibaba Euro Inline Warrants Jun 2021 B | 20,000,000 | 2020/12/29 | 2021/06/30 | 10.40 |
| 48699 | SG Issuer – Alibaba Euro Inline Warrants Jun 2021 C | 25,000,000 | 2020/12/29 | 2021/06/30 | 12.25 |
| 48700 | SG Issuer – Alibaba Euro Inline Warrants Jun 2021 D | 30,000,000 | 2020/12/29 | 2021/06/30 | 11.70 |
| 48701 | SG Issuer – Alibaba Euro Inline Warrants Jun 2021 E | 35,000,000 | 2020/12/29 | 2021/06/30 | 11.20 |
| 48702 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 B | 30,000,000 | 2020/12/29 | 2021/06/30 | 11.40 |
| 48703 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 C | 20,000,000 | 2020/12/29 | 2021/06/30 | 11.40 |
| 48704 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 D | 20,000,000 | 2020/12/29 | 2021/06/30 | 10.40 |
| 48705 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 E | 30,000,000 | 2020/12/29 | 2021/06/30 | 11.70 |
| 48706 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 F | 35,000,000 | 2020/12/29 | 2021/06/30 | 10.85 |
| 48707 | SG Issuer – Tencent Euro Inline Warrants Jun 2021 G | 40,000,000 | 2020/12/29 | 2021/06/30 | 11.20 |
| Total | | | | | 1,160.24 |

Further issue

MAIN BOARD DEBT SECURITIES

Debt Securities Trading Statistics

| | | Turnover value | |
|------|----|--------------------------------|-------------------------|
| | | Exchange fund notes (HK\$ mil) | Market total (HK\$ mil) |
| 2019 | Q4 | 164.91 | 13,255.37 |
| 2020 | Q1 | 319.42 | 15,099.72 |
| | Q2 | 301.09 | 15,696.77 |
| | Q3 | 276.09 | 15,310.65 |
| | Q4 | 114.10 | 19,437.11 |

Debt Securities Nominal Value

| | | No. of issues | Nominal value (HK\$ mil.) |
|------|----|---------------|---------------------------|
| 2019 | Q4 | 1,388 | 5,204,984.02 |
| 2020 | Q1 | 1,421 | 5,230,149.11 |
| | Q2 | 1,444 | 5,312,678.21 |
| | Q3 | 1,518 | 5,563,987.25 |
| | Q4 | 1,574 | 5,795,141.88 |

New Listing Statistics – Debt Securities

| | | No. of newly listed debt securities | Amount raised (HK\$ mil.) |
|------|----|-------------------------------------|---------------------------|
| 2019 | Q4 | 112 | 369,156.62 |
| 2020 | Q1 | 91 | 305,402.74 |
| | Q2 | 90 | 373,669.32 |
| | Q3 | 123 | 396,111.79 |
| | Q4 | 126 | 453,538.31 |

MAIN BOARD DEBT SECURITIES

Newly Listed Debt Securities

for 4th quarter 2020

| Code | Debt securities | Principal | Listing date | Maturity | Amount raised (HK\$ mil) |
|---------|--|-------------------|--------------|------------|-----------------------------|
| 40398 | Goldensep Investment Co. Ltd. 1.00% Guaranteed Convertible Bonds 2021 | USD 100,000,000 | 2020/10/05 | 2021/09/28 | 780.00 |
| 40403 | Huarong Finance 2019 Co., Ltd. 2.125% Guaranteed Notes 2023 | USD 600,000,000 | 2020/10/05 | 2023/09/30 | 4,668.63 |
| 40404 | Huarong Finance 2019 Co., Ltd. 3.625% Guaranteed Notes 2030 | USD 350,000,000 | 2020/10/05 | 2030/09/30 | 2,720.69 |
| 40405 | Huarong Finance 2019 Co., Ltd. Subordinated Guaranteed Perpetual Sec. | USD 250,000,000 | 2020/10/05 | - | 1,950.00 |
| 40214 # | Shuifa International Holdings (BVI) Co., Ltd. 4.30% Guaranteed Bonds 2023 | USD 50,000,000 | 2020/10/07 | 2023/05/08 | 399.03 |
| 40406 | Jiayuan International Group Ltd. 12.50% Senior Notes 2023 | USD 200,000,000 | 2020/10/09 | 2023/04/08 | 1,519.89 |
| 40408 | CMHI Finance (BVI) Co., Ltd. Guaranteed Subordinated Perpetual Securities A | USD 400,000,000 | 2020/10/12 | - | 3,120.00 |
| 40409 | CMHI Finance (BVI) Co., Ltd. Guaranteed Subordinated Perpetual Securities B | USD 200,000,000 | 2020/10/12 | - | 1,560.00 |
| 40410 | Hong Kong JY Flower Ltd. 5.50% Guaranteed Bonds 2023 | USD 150,000,000 | 2020/10/12 | 2023/10/09 | 1,170.00 |
| 40369 # | ZhongAn Online P & C Insurance Co. Ltd. 3.50% Notes 2026 | USD 100,000,000 | 2020/10/12 | 2026/03/08 | 780.00 |
| 40407 | Puyang Investment Group Co., Ltd. 4.00% Credit Enhanced Bonds 2023 | USD 28,500,000 | 2020/10/14 | 2023/09/13 | 222.30 |
| 40243 # | Jiangsu Yueda Group Co., Ltd. 5.70% Bonds 2023 | USD 100,000,000 | 2020/10/14 | 2023/06/04 | 780.00 |
| 40411 | Logan Group Co. Ltd. 5.25% Senior Notes 2025 | USD 300,000,000 | 2020/10/20 | 2025/10/19 | 2,334.92 |
| 40412 | Hanrui Overseas Investment Co., Ltd. 3.20% Credit Enhanced Guaranteed Bonds 2023 | USD 114,000,000 | 2020/10/20 | 2023/10/19 | 889.20 |
| 40413 | Sinic Holdings (Group) Co., Ltd. 9.50% Senior Notes 2021 | USD 250,000,000 | 2020/10/20 | 2021/10/18 | 1,939.18 |
| 40414 | Ocean Laurel Co. Ltd. 2.375% Guaranteed Bonds 2025 | USD 350,000,000 | 2020/10/21 | 2025/10/20 | 2,715.45 |
| 06759 | Ministry of Finance of the PRC 0.40% Bonds 2023 B | USD 1,250,000,000 | 2020/10/22 | 2023/10/21 | 9,742.79 |
| 06760 | Ministry of Finance of the PRC 0.55% Bonds 2025 | USD 2,250,000,000 | 2020/10/22 | 2025/10/21 | 17,503.31 |
| 06761 | Ministry of Finance of the PRC 1.20% Bonds 2030 | USD 2,000,000,000 | 2020/10/22 | 2030/10/21 | 15,561.94 |
| 06762 | Ministry of Finance of the PRC 2.25% Bonds 2050 | USD 500,000,000 | 2020/10/22 | 2050/10/21 | 3,849.58 |
| 40415 | The Bank of East Asia, Ltd. Additional Tier 1 Undated Capital Securities | USD 650,000,000 | 2020/10/22 | - | 5,070.00 |
| 40416 | Hong Kong Mortgage Corporation Ltd., The 0.67% Notes 2022 | HKD 500,000,000 | 2020/10/22 | 2022/10/21 | 500.00 |
| 40418 | Greenland Global Investment Ltd. 6.75% Notes 2022 | USD 250,000,000 | 2020/10/22 | 2022/04/21 | 1,944.54 |
| 40426 | Blossom Joy Ltd. 2.20% Guaranteed Notes 2030 | USD 500,000,000 | 2020/10/22 | 2030/10/21 | 3,894.07 |
| 40427 | Blossom Joy Ltd. Subordinated Guaranteed Perpetual Sec. | USD 500,000,000 | 2020/10/22 | - | 3,900.00 |
| 40328 # | DaFa Properties Group Ltd. 12.375% Senior Notes 2022 | USD 130,000,000 | 2020/10/22 | 2022/07/30 | 994.09 |
| 40408 3 | CMHI Finance (BVI) Co., Ltd. Guaranteed Subordinated Perpetual Securities B | USD 200,000,000 | 2020/10/22 | - | 1,566.54 |
| 04240 | The Govt of the HKSAR of the PRC 0.51% Bonds 2023 | HKD 4,000,000,000 | 2020/10/23 | 2023/10/23 | 4,029.20 |
| 40417 | Taizhou Urban Construction and Investment Development Group Co., Ltd. 2.65% Bonds 2023 | USD 500,000,000 | 2020/10/23 | 2023/10/22 | 3,900.00 |
| 40419 | Amipeace Ltd. 1.50% Notes 2025 | USD 400,000,000 | 2020/10/23 | 2025/10/22 | 3,112.82 |
| 40420 | Amipeace Ltd. 2.25% Notes 2030 | USD 500,000,000 | 2020/10/23 | 2030/10/22 | 3,878.86 |
| 40421 | Weifang Binhai Investment Development Co., Ltd. 5.00% Bonds 2021 | EUR 100,000,000 | 2020/10/23 | 2021/10/21 | 918.13 |
| 40422 | Chailase International Finance Corporation 3.75% Guaranteed Notes 2023 | USD 300,000,000 | 2020/10/23 | 2023/10/22 | 2,340.00 |
| 40423 | Agricultural Bank of China Ltd., HK Branch 1.00% Notes 2022 | HKD 5,000,000,000 | 2020/10/23 | 2022/10/22 | 5,000.00 |
| 40424 | Agricultural Bank of China Ltd., HK Branch 1.00% Notes 2023 | USD 500,000,000 | 2020/10/23 | 2023/10/22 | 3,891.62 |
| 40425 | Agricultural Bank of China Ltd., HK Branch 1.20% Notes 2025 | USD 350,000,000 | 2020/10/23 | 2025/10/22 | 2,716.05 |
| 40428 | China Minsheng Banking Corp., Ltd. HK Branch Floating Rate Notes 2023 | USD 500,000,000 | 2020/10/23 | 2023/10/22 | 3,900.00 |
| 40429 | Urumqi Gaoxin Investment and Development Group Co. Ltd. 4.75% Bonds 2023 | USD 300,000,000 | 2020/10/27 | 2023/10/23 | 2,340.00 |
| 40430 | China Everbright Ltd. Senior Perpetual Capital Sec | USD 300,000,000 | 2020/10/28 | - | 2,340.00 |
| 40431 | China Development Bank Zero Coupon Notes 2023 | EUR 1,250,000,000 | 2020/10/28 | 2023/10/27 | 11,437.20 |

MAIN BOARD DEBT SECURITIES

| Code | Debt securities | Principal | Listing date | Maturity | Amount raised (HK\$ mil) |
|---------|--|--------------------|--------------|------------|--------------------------|
| 40432 | China Development Bank 1.00% Notes 2025 | USD 1,000,000,000 | 2020/10/28 | 2025/10/27 | 7,766.69 |
| 40433 | China Development Bank 1.625% Notes 2030 | USD 500,000,000 | 2020/10/28 | 2030/10/27 | 3,865.76 |
| 40436 | Jiangning Jingkai Overseas Investment Co., Ltd. 3.15% Bonds 2021 | USD 200,000,000 | 2020/10/28 | 2021/10/26 | 1,560.00 |
| 40434 | Meituan 2.125% Senior Notes 2025 | USD 750,000,000 | 2020/10/29 | 2025/10/28 | 5,842.81 |
| 40435 | Meituan 3.05% Senior Notes 2030 | USD 1,250,000,000 | 2020/10/29 | 2030/10/28 | 9,736.64 |
| 40437 | Peak Re (BVI) Holding Ltd. Perpetual Subordinated Guaranteed Capital Securities | USD 250,000,000 | 2020/10/29 | - | 1,950.00 |
| 40440 | Hubei Science & Technology Investment Group (HK) Co. Ltd. 2.90% Guaranteed Bonds 2025 | USD 300,000,000 | 2020/10/29 | 2025/10/28 | 2,340.00 |
| 40439 | Zhongliang Holdings Group Co. Ltd. 9.50% Senior Notes 2022 | USD 200,000,000 | 2020/10/30 | 2022/07/29 | 1,543.42 |
| 40441 | Jiaxing City Investment and Development Group Co., Ltd. 2.60% Bonds 2023 | USD 300,000,000 | 2020/10/30 | 2023/10/29 | 2,340.00 |
| 40442 | Wens Foodstuff Group Co., Ltd. 2.349% Notes 2025 | USD 350,000,000 | 2020/10/30 | 2025/10/29 | 2,730.00 |
| 40443 | Wens Foodstuff Group Co., Ltd. 3.258% Notes 2030 | USD 250,000,000 | 2020/10/30 | 2030/10/29 | 1,950.00 |
| 40445 | Sinochem Offshore Capital Co., Ltd. 1.625% Guaranteed Notes 2025 | USD 500,000,000 | 2020/10/30 | 2025/10/29 | 3,895.91 |
| 40446 | Sinochem Offshore Capital Co., Ltd. Guaranteed Subordinated Perpetual Sec. | USD 500,000,000 | 2020/10/30 | - | 3,900.00 |
| 40447 | Greentown China Holdings Ltd. 4.70% Notes 2025 | USD 300,000,000 | 2020/10/30 | 2025/04/29 | 2,340.00 |
| 40438 | Sino Trendy Investment Ltd 2.95% Guaranteed Bonds 2025 | USD 200,000,000 | 2020/11/02 | 2025/10/30 | 1,556.40 |
| 40296 # | Times China Holdings Ltd. 6.75% Senior Notes 2025 | USD 250,000,000 | 2020/11/02 | 2025/07/08 | 2,000.78 |
| 40444 | China SCE Group Holdings Ltd. 7.00% Senior Notes 2025 | USD 500,000,000 | 2020/11/03 | 2025/05/02 | 3,900.00 |
| 40454 | Lenovo Group Ltd. 3.421% Notes 2030 | USD 1,000,000,000 | 2020/11/03 | 2030/11/02 | 7,800.00 |
| 40450 | Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd. 3.25% Bonds 2023 | USD 300,000,000 | 2020/11/04 | 2023/11/03 | 2,340.00 |
| 40448 | Yincheng International Holding Co., Ltd. 12.00% Senior Notes 2021 | USD 200,000,000 | 2020/11/05 | 2021/11/03 | 1,544.09 |
| 40449 | CDBL Funding 1 1.50% Notes 2023 | USD 500,000,000 | 2020/11/05 | 2023/11/04 | 3,896.45 |
| 40451 | Change Urban Construction and Investment Group Co., Ltd. 3.00% Bonds 2023 | USD 100,000,000 | 2020/11/05 | 2023/11/04 | 780.00 |
| 40453 | Yancoal International Resources Development Co., Ltd. 3.50% Senior Guaranteed Bonds 2023 | USD 500,000,000 | 2020/11/05 | 2023/11/04 | 3,900.00 |
| 40455 | Huafa 2020 I Co. Ltd. 2.80% Guaranteed Bonds 2025 | USD 250,000,000 | 2020/11/05 | 2025/11/04 | 1,950.00 |
| 40456 | Mega Wisdom Global Ltd. 5.40% Guaranteed Notes 2021 | USD 400,000,000 | 2020/11/05 | 2021/11/03 | 3,120.00 |
| 40457 | Haitian (BVI) International Investment Development Ltd. 2.80% Guaranteed Bonds 2023 | USD 500,000,000 | 2020/11/05 | 2023/11/04 | 3,900.00 |
| 40461 | Soar Wise Ltd. Guaranteed Perpetual Capital Securities | USD 200,000,000 | 2020/11/05 | - | 1,560.00 |
| 40458 | Shandong Iron and Steel Xinheng International Co. Ltd. 6.50% Guaranteed Bonds 2023 | USD 500,000,000 | 2020/11/06 | 2023/11/05 | 3,900.00 |
| 40459 | Rongshi International Finance Ltd. 1.50% Notes 2025 | USD 300,000,000 | 2020/11/06 | 2025/11/05 | 2,330.71 |
| 40460 | Zhou Hei Ya International Holdings Co., Ltd. 1.00% Convertible Bonds 2025 | HKD 1,550,000,000 | 2020/11/06 | 2025/11/05 | 1,550.00 |
| 40462 | Industrial Bank Co., Ltd. Hong Kong Branch 1.10% Notes 2022 | HKD 3,000,000,000 | 2020/11/09 | 2022/11/06 | 3,000.00 |
| 40463 | Industrial Bank Co., Ltd. Hong Kong Branch 1.125% Notes 2023 | USD 450,000,000 | 2020/11/09 | 2023/11/06 | 3,503.19 |
| 40452 | Ease Trade Global Ltd. 4.00% Guaranteed Notes 2025 | USD 500,000,000 | 2020/11/11 | 2025/11/10 | 3,900.00 |
| 40406 # | Jiayuan International Group Ltd. 12.50% Senior Notes 2023 | USD 100,000,000 | 2020/11/13 | 2023/04/08 | 760.34 |
| 40230 # | Jining High Tech Urban Construction Investment Co., Ltd. 5.50% Bonds 2023 | USD 82,000,000 | 2020/11/13 | 2023/05/27 | 639.54 |
| 40464 | CIFI Holdings (Group) Co. Ltd. 5.25% Senior Notes 2026 | USD 350,000,000 | 2020/11/16 | 2026/05/13 | 2,730.00 |
| 40465 | KWG Group Holdings Ltd. 6.30% Senior Notes 2026 | USD 400,000,000 | 2020/11/16 | 2026/02/13 | 3,120.00 |
| 04239 | The Govt of the HKSAR of the PRC iBonds 2023 | HKD 15,000,000,000 | 2020/11/17 | 2023/11/16 | 15,000.00 |
| 40467 | Joy Treasure Assets Holdings Inc. 1.875% Bonds 2025 | USD 450,000,000 | 2020/11/18 | 2025/11/17 | 3,500.17 |
| 40468 | Joy Treasure Assets Holdings Inc. 2.75% Bonds 2030 | USD 300,000,000 | 2020/11/18 | 2030/11/17 | 2,317.96 |

MAIN BOARD DEBT SECURITIES

| Code | Debt securities | Principal | | Listing date | Maturity | Amount raised (HK\$ mil) |
|---------|---|-----------|---------------|--------------|------------|-----------------------------|
| 85929 | MTR Corporation Ltd. 2.83% Fixed Rate CNY Notes 2023 | RMB | 200,000,000 | 2020/11/18 | 2023/11/17 | 235.50 |
| 40466 | Hero Asia Investment Ltd. 1.50% Senior Bonds 2023 | USD | 300,000,000 | 2020/11/19 | 2023/11/18 | 2,329.96 |
| 40469 | Guangxi Financial Investment Group Co., Ltd. 3.60% Guaranteed Bonds 2023 | USD | 400,000,000 | 2020/11/19 | 2023/11/18 | 3,120.00 |
| 40472 | Hong Kong Mortgage Corporation Ltd., The Floating Rate Notes 2021 | HKD | 685,000,000 | 2020/11/19 | 2021/11/18 | 685.00 |
| 40475 | Bank of Communications Co., Ltd. HK Branch Undated Additional Tier 1 Capital Bonds | USD | 2,800,000,000 | 2020/11/19 | - | 21,840.00 |
| 04230 # | The Govt of the HKSAR of the PRC 1.68% Bonds 2026 | HKD | 2,500,000,000 | 2020/11/20 | 2026/01/21 | 2,662.75 |
| 40471 | Yili Holding Investment Ltd. 1.625% Guaranteed Bonds 2025 | USD | 500,000,000 | 2020/11/20 | 2025/11/19 | 3,891.23 |
| 40473 | Xi'an Qujiang Cultural Industry Investment (Group) Co., Ltd. 5.50% Bonds 2023 | USD | 180,000,000 | 2020/11/20 | 2023/11/19 | 1,404.00 |
| 40490 | Jingrui Holdings Ltd. 14.50% Senior Notes 2023 | USD | 240,000,000 | 2020/11/20 | 2023/02/19 | 1,872.00 |
| 40470 | Zhenro Properties Group Ltd. 5.95% Senior Notes 2021 | USD | 200,000,000 | 2020/11/23 | 2021/11/18 | 1,560.00 |
| 40476 | Omni Ireland Credit Card Securitization T3 DAC AAA1 Floating Rate Notes 2029 A | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40477 | Omni Ireland Credit Card Securitization T3 DAC AAA2 Floating Rate Notes 2029 B | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40478 | Omni Ireland Credit Card Securitization T3 DAC AAA3 Floating Rate Notes 2029 C | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40479 | Omni Ireland Credit Card Securitization T3 DAC AAA4 Floating Rate Notes 2029 D | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40480 | Omni Ireland Credit Card Securitization T3 DAC AAA5 Floating Rate Notes 2029 E | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40481 | Omni Ireland Credit Card Securitization T3 DAC AAA6 Floating Rate Notes 2029 F | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40482 | Omni Ireland Credit Card Securitization T3 DAC AAA7 Floating Rate Notes 2029 G | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40483 | Omni Ireland Credit Card Securitization T3 DAC AAA9 Floating Rate Notes 2029 I | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40484 | Omni Ireland Credit Card Securitization T3 DAC AAA10 Floating Rate Notes 2029 J | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40485 | Omni Ireland Credit Card Securitization T3 DAC AAA11 Floating Rate Notes 2029 K | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40486 | Omni Ireland Credit Card Securitization T3 DAC AAA12 Floating Rate Notes 2029 L | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40487 | Omni Ireland Credit Card Securitization T3 DAC AAA13 Floating Rate Notes 2029 M | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40488 | Omni Ireland Credit Card Securitization T3 DAC AAA14 Floating Rate Notes 2029 N | USD | 181,428,720 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 40489 | Omni Ireland Credit Card Securitization T3 DAC AAA8 Floating Rate Notes 2029 H | USD | 181,428,560 | 2020/11/23 | 2029/03/19 | 1,415.14 |
| 04082 | Hong Kong Monetary Authority, The 0.11% Exchange Fund Notes 2022 | HKD | 1,200,000,000 | 2020/11/24 | 2022/11/23 | 1,199.76 |
| 40439 # | Zhongliang Holdings Group Co. Ltd. 9.50% Senior Notes 2022 | USD | 50,000,000 | 2020/11/24 | 2022/07/29 | 388.45 |
| 40474 | Wuhan Trading Group Co., Ltd. 2.70% Notes 2023 | USD | 300,000,000 | 2020/11/24 | 2023/11/23 | 2,340.00 |
| 06763 | Ministry of Finance of the PRC Zero Coupon Bonds 2025 | EUR | 750,000,000 | 2020/11/26 | 2025/11/25 | 6,969.88 |
| 06764 | Ministry of Finance of the PRC 0.25% Bonds 2030 | EUR | 2,000,000,000 | 2020/11/26 | 2030/11/25 | 18,322.38 |
| 06765 | Ministry of Finance of the PRC 0.625% Bonds 2035 | EUR | 1,250,000,000 | 2020/11/26 | 2035/11/25 | 11,464.51 |
| 40491 | Rowen International Co., Ltd. 6.80% Guaranteed Bonds 2021 | USD | 80,000,000 | 2020/11/26 | 2021/11/24 | 624.00 |
| 40492 | Longchuang Group Ltd. 2.78% Credit Enhanced Guaranteed Bonds 2021 | USD | 42,000,000 | 2020/11/26 | 2021/11/24 | 327.60 |
| 40493 | China Gezhouba Group (Hong Kong) Overseas Inv Co., Ltd. Subordinated Guaranteed Perpetual Securities | USD | 200,000,000 | 2020/11/26 | - | 1,560.00 |
| 85932 | Hong Kong Mortgage Corporation Ltd. 3.005% CNY Notes 2022 | RMB | 120,000,000 | 2020/11/30 | 2022/11/27 | 141.46 |
| 86657 # | Ministry of Finance of the PRC 2.10% CNY Bonds 2022 | RMB | 3,500,000,000 | 2020/11/30 | 2022/07/27 | 4,094.65 |
| 86658 # | Ministry of Finance of the PRC 2.20% CNY Bonds 2025 | RMB | 1,500,000,000 | 2020/11/30 | 2025/07/27 | 1,732.92 |
| 40494 | Jingjiang Port (Group) Co., Ltd. 2.78% Credit Enhanced Bonds 2021 | USD | 50,000,000 | 2020/12/01 | 2021/11/29 | 390.00 |
| 40495 | Shouguang City Construction Investment Development Co., Ltd. 3.50% Credit Enhanced Bonds 2023 | USD | 28,000,000 | 2020/12/01 | 2023/10/30 | 218.40 |
| 40496 | Xi'an Port Industrial Co., Ltd. 5.80 Bonds 2023 | USD | 190,000,000 | 2020/12/03 | 2023/12/02 | 1,482.00 |
| 40019 # | Hongkong Ideal Investment Ltd. 14.75% Guaranteed Senior Notes 2022 | USD | 55,000,000 | 2020/12/04 | 2022/10/08 | 428.76 |
| 40497 | Dexin China Holdings Co. Ltd. 9.95% Senior Notes 2022 | USD | 200,000,000 | 2020/12/04 | 2022/12/03 | 1,511.20 |
| 40498 | VCREDIT Holdings Ltd. 11.00% Senior Notes 2022 | USD | 85,000,000 | 2020/12/04 | 2022/12/03 | 621.24 |

MAIN BOARD DEBT SECURITIES

| Code | Debt securities | Principal | | Listing date | Maturity | Amount raised (HK\$ mil) |
|--------------|---|-----------|-------------|--------------|------------|-----------------------------|
| 40499 | Universe Trek Ltd. Zero Coupon Guaranteed Convertible Bonds 2025 | USD | 200,000,000 | 2020/12/07 | 2025/12/04 | 1,560.00 |
| 40243 # | Jiangsu Yueda Group Co., Ltd. 5.70% Bonds 2023 | USD | 87,250,000 | 2020/12/08 | 2023/06/04 | 680.55 |
| 40500 | Airport Authority Senior Perpetual Capital Securities A | USD | 750,000,000 | 2020/12/09 | - | 5,850.00 |
| 40501 | Airport Authority Senior Perpetual Capital Securities B | USD | 750,000,000 | 2020/12/09 | - | 5,850.00 |
| 40502 | China Huaneng Group (Hong Kong) Treasury Management Holding Ltd. Guaranteed Perpetual Securities A | USD | 500,000,000 | 2020/12/10 | - | 3,900.00 |
| 40503 | China Huaneng Group (Hong Kong) Treasury Management Holding Ltd. Guaranteed Perpetual Securities B | USD | 500,000,000 | 2020/12/10 | - | 3,900.00 |
| 40504 | NWD Finance (BVI) Ltd. Guaranteed Senior Perpetual Cap Sec C | USD | 700,000,000 | 2020/12/10 | - | 5,460.00 |
| 40505 | Jinan Lixia Holding Group Co., Ltd. 4.50% Bonds 2023 | USD | 300,000,000 | 2020/12/10 | 2023/12/09 | 2,340.00 |
| 40506 | Jiangsu Zhongguancun Holding Group (International) Co., Ltd. 2.75% Credit Enhanced Bonds 2021 | USD | 90,000,000 | 2020/12/11 | 2021/12/09 | 702.00 |
| 40507 | E-House (China) Enterprise Holdings Ltd. 7.60% Senior Notes 2023 | USD | 200,000,000 | 2020/12/11 | 2023/06/10 | 1,550.44 |
| 40508 | Logan Group Co. Ltd. 4.85% Senior Notes 2026 | USD | 300,000,000 | 2020/12/15 | 2026/12/14 | 2,340.00 |
| 40510 | Jinan Hi-tech International (Cayman) Investment Development Co., Ltd. 3.30% Guaranteed Bonds 2023 | USD | 180,000,000 | 2020/12/15 | 2023/12/14 | 1,404.00 |
| 40509 | Guangzhou Development District Financial Holdings Group Co., Ltd. 2.60% Bonds 2023 | USD | 500,000,000 | 2020/12/16 | 2023/12/15 | 3,900.00 |
| 40513 | Goucheng International Co., Ltd. 3.50% Guaranteed Bonds 2023 | USD | 100,000,000 | 2020/12/17 | 2023/12/16 | 780.00 |
| 40090 # | Tianfeng Securities Co., Ltd. 4.30% Notes 2022 | USD | 100,000,000 | 2020/12/18 | 2022/12/09 | 785.94 |
| 40328 # | DaFa Properties Group Ltd. 12.375% Senior Notes 2022 | USD | 80,000,000 | 2020/12/18 | 2022/07/30 | 608.42 |
| 40511 | Xiaomi Best Time International Ltd. Zero Coupon Guaranteed Convertible Bonds 2027 | USD | 855,000,000 | 2020/12/18 | 2027/12/17 | 7,019.12 |
| 40357 # | Wynn Macau, Ltd. 5.625% Senior Notes 2028 | USD | 750,000,000 | 2020/12/23 | 2028/08/26 | 6,025.50 |
| 40512 | Panther Ventures Ltd. 3.50% Guaranteed Senior Perpetual Cap Sec A | USD | 500,000,000 | 2020/12/23 | - | 3,900.00 |
| 40121 # | Dangdai International Investments Ltd. 10.50% Guaranteed Senior Notes 2023 | USD | 60,000,000 | 2020/12/24 | 2023/01/16 | 484.02 |
| 40515 | Power Best Global Investments Ltd. 11.00% Senior Notes 2022 | USD | 121,000,000 | 2020/12/28 | 2022/12/24 | 943.80 |
| 40514 | Viva Biotech Investment Management Ltd. 1.00% Guaranteed Convertible Bonds 2025 | USD | 280,000,000 | 2020/12/31 | 2025/12/30 | 2,184.00 |
| Total | | | | | | 453,538.31 |

Further issue

MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

Unit Trusts and Mutual Funds Trading Statistics

| | | Exchange Traded Fund (ETF) (HK\$ mil.) | Leveraged and Inverse Products (L&I Products) (HK\$ mil.) | Real Estate Investment Trusts (REITs) (HK\$ mil.) | Others (HK\$ mil.) | Total (HK\$ mil.) | % of market total |
|------|----|---|---|--|-----------------------|----------------------|----------------------|
| 2019 | Q4 | 224,727.67 | 45,633.27 | 39,435.74 | 38.35 | 309,835.02 | 6.49 |
| 2020 | Q1 | 387,806.77 | 97,001.95 | 50,149.90 | 71.43 | 535,030.05 | 7.15 |
| | Q2 | 260,618.62 | 122,238.18 | 38,521.75 | 15.38 | 421,393.92 | 6.17 |
| | Q3 | 302,624.79 | 95,922.54 | 30,274.64 | 77.94 | 428,899.90 | 4.69 |
| | Q4 | 264,160.14 | 58,310.24 | 30,749.64 | 71.88 | 353,291.91 | 4.12 |

Note: Turnover value traded in non-HKD currency securities have been included

Unit Trusts and Mutual Funds Market Value

| | | No. of issues | Exchange Traded Funds (ETF) (HK\$ mil.) | No. of issues | Leveraged and Inverse Products (L&I Products) (HK\$ mil.) | No. of issues | Real Estate Investment Trusts (REITs) (HK\$ mil.) | No. of issues | Others (HK\$ mil.) | No. of issues | Total (HK\$ mil.) |
|------|----|------------------|--|------------------|---|------------------|---|------------------|-----------------------|------------------|----------------------|
| 2019 | Q4 | 111 | 671,496.58 | 24 | 7,543.00 | 12 | 288,708.06 | 1 | 752.14 | 148 | 968,499.79 |
| 2020 | Q1 | 107 | 648,207.86 | 24 | 7,476.30 | 12 | 226,773.06 | 1 | 502.71 | 144 | 882,959.93 |
| | Q2 | 108 | 798,018.79 | 26 | 9,966.12 | 12 | 216,522.80 | 1 | 596.21 | 147 | 1,025,103.93 |
| | Q3 | 115 | 911,238.02 | 30 | 12,400.13 | 12 | 209,681.58 | 1 | 713.92 | 158 | 1,134,033.64 |
| | Q4 | 118 | 941,143.97 | 28 | 14,618.17 | 12 | 237,745.36 | 1 | 858.02 | 159 | 1,194,365.51 |

New Listing Unit Trust and Mutual Funds Statistics

| | | No. of newly listed unit trust and mutual funds | Funds raised (HK\$ mil.) |
|------|----|--|-----------------------------|
| 2019 | Q4 | 2 | 0.00 |
| 2020 | Q1 | 5 | - |
| | Q2 | 3 | - |
| | Q3 | 11 | - |
| | Q4 | 7 | - |

MAIN BOARD UNIT TRUSTS AND MUTUAL FUNDS

Newly Listed Unit Trust and Mutual Funds

for 4th quarter 2020

| Code | Unit trust and Mutual funds | Fund manager | Issue price (HK\$) | No. of unit offer | Amount raised (HK\$ mil) | Subscription rate (times) | Listing date |
|-----------------------|--|--|--------------------|-------------------|--------------------------|---------------------------|--------------|
| 03187/09187 | Samsung S&P High Dividend APAC ex NZ REITs ETF | Samsung Asset Management (Hong Kong) Limited | n.a. | n.a. | n.a. | n.a. | 2020/10/15 |
| 03031/83031/ 09031 | Haitong MSCI China A ESG ETF | Haitong International Asset Management (HK) Limited | n.a. | n.a. | n.a. | n.a. | 2020/10/15 |
| 03130/83130 | Hang Seng Harvest CSI 300 Index ET | Hang Seng Investment Management Limited | n.a. | n.a. | n.a. | n.a. | 2020/10/23 |
| 03193 | CSOP Yinhua CSI 5G Communications Theme ETF | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2020/10/23 |
| 03071 | CICC HKD Money Market ETF | China International Capital Corporation Hong Kong Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2020/12/02 |
| 07552 | CSOP Hang Seng TECH Index Daily (-2x) Inverse Product | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2020/12/10 |
| 07226 | CSOP Hang Seng TECH Index Daily (2x) Leveraged Product | CSOP Asset Management Limited | n.a. | n.a. | n.a. | n.a. | 2020/12/10 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Callable Bull/Bear Contracts Trading Statistics

| | | Number | Market value (HK\$ mil.) | Turnover value (HK\$ mil.) |
|------|----|--------|-----------------------------|-------------------------------|
| 2019 | Q4 | 3,298 | 86,307.46 | 423,011.82 |
| 2020 | Q1 | 4,013 | 169,920.86 | 589,667.58 |
| | Q2 | 4,695 | 148,866.64 | 578,857.45 |
| | Q3 | 4,940 | 159,780.09 | 666,470.93 |
| | Q4 | 4,739 | 182,430.04 | 500,295.82 |

New Listings Callable Bull/Bear Contracts Statistics

| | | No. of newly listed CBBC | Amount raised (HK\$ mil.) |
|------|----|--------------------------|---------------------------|
| 2019 | Q4 | 5,701 | 203,533.52 |
| 2020 | Q1 | 10,246 | 358,188.08 |
| | Q2 | 8,674 | 298,346.18 |
| | Q3 | 10,457 | 341,078.92 |
| | Q4 | 8,662 | 276,541.49 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

Newly Listed Callable Bull/Bear Contracts

for 4th quarter 2020

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63059 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 Q | 60,000,000 | 2020/10/05 | 2021/01/29 | 15.00 |
| 63085 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jan 2021 P | 60,000,000 | 2020/10/05 | 2021/01/29 | 15.00 |
| 62972 | BOCI Asia Ltd. – HKEX R Bull CBBC Jan 2021 M | 40,000,000 | 2020/10/05 | 2021/01/29 | 10.00 |
| 62950 | BOCI Asia Ltd. – HSBC R Bull CBBC Feb 2021 A | 40,000,000 | 2020/10/05 | 2021/02/26 | 10.00 |
| 63440 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 U | 150,000,000 | 2020/10/05 | 2023/02/27 | 37.50 |
| 63445 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 J | 150,000,000 | 2020/10/05 | 2021/01/28 | 37.50 |
| 63460 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 K | 150,000,000 | 2020/10/05 | 2021/01/28 | 37.50 |
| 62981 | BOCI Asia Ltd. – Meituan Dianping R Bear CBBC Jan 2021 R | 40,000,000 | 2020/10/05 | 2021/01/29 | 10.00 |
| 63463 | BOCI Asia Ltd. – Ping An R Bull CBBC Jan 2021 G | 100,000,000 | 2020/10/05 | 2021/01/29 | 25.00 |
| 63473 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 C | 40,000,000 | 2020/10/05 | 2021/01/29 | 10.00 |
| 62978 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jan 2021 N | 40,000,000 | 2020/10/05 | 2021/01/29 | 10.00 |
| 63093 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 H | 200,000,000 | 2020/10/05 | 2023/11/29 | 50.00 |
| 63096 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 R | 200,000,000 | 2020/10/05 | 2023/11/29 | 50.00 |
| 64226 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 U | 190,000,000 | 2020/10/05 | 2023/11/29 | 47.50 |
| 64230 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 O | 250,000,000 | 2020/10/05 | 2021/03/30 | 62.50 |
| 64236 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 P | 250,000,000 | 2020/10/05 | 2021/03/30 | 62.50 |
| 64255 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Q | 240,000,000 | 2020/10/05 | 2021/03/30 | 60.00 |
| 64572 | Credit Suisse AG – Alibaba Group R Bull CBBC Jan 2021 U | 50,000,000 | 2020/10/05 | 2021/01/28 | 12.50 |
| 64682 | Credit Suisse AG – Geely Auto R Bear CBBC Jun 2021 A | 100,000,000 | 2020/10/05 | 2021/06/29 | 25.00 |
| 64573 | Credit Suisse AG – HKEX R Bull CBBC May 2021 B | 100,000,000 | 2020/10/05 | 2021/05/28 | 25.00 |
| 64478 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 A | 70,000,000 | 2020/10/05 | 2021/07/29 | 17.50 |
| 64529 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 B | 70,000,000 | 2020/10/05 | 2021/07/29 | 17.50 |
| 64788 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 K | 200,000,000 | 2020/10/05 | 2023/09/28 | 50.00 |
| 64836 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 U | 200,000,000 | 2020/10/05 | 2023/09/28 | 50.00 |
| 64751 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/10/05 | 2023/10/30 | 50.00 |
| 64357 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 D | 200,000,000 | 2020/10/05 | 2021/01/28 | 50.00 |
| 64330 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 64295 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/10/05 | 2021/03/30 | 50.00 |
| 64390 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/10/05 | 2021/03/30 | 50.00 |
| 64691 | Credit Suisse AG – SMIC R Bear CBBC May 2021 C | 50,000,000 | 2020/10/05 | 2021/05/28 | 12.50 |
| 65086 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 J | 60,000,000 | 2020/10/05 | 2021/07/30 | 15.00 |
| 65117 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 K | 80,000,000 | 2020/10/05 | 2021/07/30 | 20.00 |
| 65018 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 D | 60,000,000 | 2020/10/05 | 2021/07/30 | 15.00 |
| 65254 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 E | 60,000,000 | 2020/10/05 | 2021/07/30 | 15.00 |
| 65241 | Goldman Sachs SP (Asia) – HSBC R Bear CBBC Jul 2021 A | 80,000,000 | 2020/10/05 | 2021/07/30 | 20.00 |
| 65186 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 H | 160,000,000 | 2020/10/05 | 2023/11/29 | 40.00 |
| 65192 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 I | 160,000,000 | 2020/10/05 | 2023/11/29 | 40.00 |
| 64838 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 F | 160,000,000 | 2020/10/05 | 2021/03/30 | 40.00 |
| 64922 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 G | 160,000,000 | 2020/10/05 | 2021/03/30 | 40.00 |
| 64982 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 H | 160,000,000 | 2020/10/05 | 2021/03/30 | 40.00 |
| 65209 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 I | 160,000,000 | 2020/10/05 | 2021/03/30 | 40.00 |
| 65010 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 O | 60,000,000 | 2020/10/05 | 2021/07/30 | 15.00 |
| 65083 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 M | 60,000,000 | 2020/10/05 | 2021/07/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65006 | Goldman Sachs SP (Asia) – Xiaomi R Bear CBBC Mar 2021 C | 80,000,000 | 2020/10/05 | 2021/03/31 | 20.00 |
| 62704 | HK Bank – China Life R Bull CBBC Jul 2021 A | 80,000,000 | 2020/10/05 | 2021/07/26 | 20.00 |
| 62848 | HK Bank – CNOOC R Bear CBBC Apr 2021 A | 40,000,000 | 2020/10/05 | 2021/04/12 | 10.00 |
| 62707 | HK Bank – Galaxy Ent R Bull CBBC May 2021 B | 60,000,000 | 2020/10/05 | 2021/05/24 | 15.00 |
| 62774 | HK Bank – HKEX R Bull CBBC May 2021 D | 100,000,000 | 2020/10/05 | 2021/05/31 | 25.00 |
| 62819 | HK Bank – HSI R Bull CBBC Mar 2023 S | 80,000,000 | 2020/10/05 | 2023/03/30 | 20.00 |
| 62789 | HK Bank – HSI R Bear CBBC Feb 2021 F | 100,000,000 | 2020/10/05 | 2021/02/25 | 25.00 |
| 62695 | HK Bank – Ping An R Bear CBBC Apr 2021 A | 40,000,000 | 2020/10/05 | 2021/04/12 | 10.00 |
| 62833 | HK Bank – SMIC R Bull CBBC Aug 2021 A | 40,000,000 | 2020/10/05 | 2021/08/09 | 10.00 |
| 62670 | HK Bank – SMIC R Bull CBBC Sep 2021 C | 40,000,000 | 2020/10/05 | 2021/09/06 | 10.00 |
| 62871 | HK Bank – SMIC R Bear CBBC Apr 2021 C | 40,000,000 | 2020/10/05 | 2021/04/12 | 10.00 |
| 62687 | HK Bank – Tencent R Bull CBBC Apr 2021 C | 100,000,000 | 2020/10/05 | 2021/04/19 | 25.00 |
| 62744 | HK Bank – Tencent R Bull CBBC Jun 2021 A | 100,000,000 | 2020/10/05 | 2021/06/21 | 25.00 |
| 63551 | Haitong Int'l Sec – Alibaba Group R Bull CBBC Jan 2021 I | 60,000,000 | 2020/10/05 | 2021/01/25 | 15.00 |
| 63545 | Haitong Int'l Sec – HSBC R Bear CBBC Mar 2021 B | 60,000,000 | 2020/10/05 | 2021/03/25 | 15.00 |
| 63526 | Haitong Int'l Sec – HSI R Bull CBBC Apr 2023 B | 200,000,000 | 2020/10/05 | 2023/04/27 | 50.00 |
| 63477 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 63565 | Haitong Int'l Sec – SMIC R Bull CBBC Jul 2021 A | 60,000,000 | 2020/10/05 | 2021/07/28 | 15.00 |
| 63375 | J P Morgan SP BV – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/10/05 | 2023/10/30 | 50.00 |
| 63344 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 G | 200,000,000 | 2020/10/05 | 2023/11/29 | 50.00 |
| 63371 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 J | 200,000,000 | 2020/10/05 | 2023/12/28 | 50.00 |
| 63337 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 63342 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 63343 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 62949 | Morgan Stanley Asia Products – HSBC R Bull CBBC Feb 2021 A | 40,000,000 | 2020/10/05 | 2021/02/01 | 10.00 |
| 63420 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 M | 100,000,000 | 2020/10/05 | 2023/11/29 | 25.00 |
| 62912 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 D | 100,000,000 | 2020/10/05 | 2021/01/28 | 25.00 |
| 62941 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 F | 100,000,000 | 2020/10/05 | 2021/02/25 | 25.00 |
| 63866 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 U | 80,000,000 | 2020/10/05 | 2021/03/31 | 20.00 |
| 63593 | SG Issuer – Alibaba Group R Bear CBBC May 2021 H | 80,000,000 | 2020/10/05 | 2021/05/28 | 20.00 |
| 63636 | SG Issuer – China Mobile R Bull CBBC Aug 2021 A | 100,000,000 | 2020/10/05 | 2021/08/30 | 25.00 |
| 63649 | SG Issuer – Geely Auto R Bull CBBC Feb 2021 G | 40,000,000 | 2020/10/05 | 2021/02/25 | 10.00 |
| 63645 | SG Issuer – Galaxy Ent R Bear CBBC Feb 2021 F | 100,000,000 | 2020/10/05 | 2021/02/25 | 25.00 |
| 63658 | SG Issuer – HKEX R Bear CBBC Feb 2021 Z | 50,000,000 | 2020/10/05 | 2021/02/26 | 12.50 |
| 63889 | SG Issuer – HSBC R Bull CBBC Nov 2021 A | 100,000,000 | 2020/10/05 | 2021/11/29 | 25.00 |
| 63692 | SG Issuer – HSBC R Bear CBBC Jun 2021 C | 100,000,000 | 2020/10/05 | 2021/06/30 | 25.00 |
| 63991 | SG Issuer – HSI R Bull CBBC Nov 2023 S | 250,000,000 | 2020/10/05 | 2023/11/29 | 62.50 |
| 64201 | SG Issuer – HSI R Bull CBBC Dec 2023 E | 250,000,000 | 2020/10/05 | 2023/12/28 | 62.50 |
| 64020 | SG Issuer – HSI R Bull CBBC Dec 2023 O | 250,000,000 | 2020/10/05 | 2023/12/28 | 62.50 |
| 64023 | SG Issuer – HSI R Bull CBBC Dec 2023 P | 250,000,000 | 2020/10/05 | 2023/12/28 | 62.50 |
| 63817 | SG Issuer – HSI R Bear CBBC Jan 2021 O | 250,000,000 | 2020/10/05 | 2021/01/28 | 62.50 |
| 63784 | SG Issuer – HSI R Bear CBBC Jan 2021 Q | 250,000,000 | 2020/10/05 | 2021/01/28 | 62.50 |
| 63862 | SG Issuer – HSI R Bear CBBC Feb 2021 L | 250,000,000 | 2020/10/05 | 2021/02/25 | 62.50 |
| 63978 | SG Issuer – HSI R Bear CBBC May 2021 A | 250,000,000 | 2020/10/05 | 2021/05/28 | 62.50 |
| 63985 | SG Issuer – HSI R Bear CBBC May 2021 B | 250,000,000 | 2020/10/05 | 2021/05/28 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63892 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 T | 80,000,000 | 2020/10/05 | 2021/05/31 | 20.00 |
| 63712 | SG Issuer – Meituan Dianping R Bear CBBC Mar 2021 Z | 80,000,000 | 2020/10/05 | 2021/03/31 | 20.00 |
| 63903 | SG Issuer – SMIC R Bear CBBC Apr 2021 H | 120,000,000 | 2020/10/05 | 2021/04/29 | 30.00 |
| 63904 | SG Issuer – Tencent R Bull CBBC May 2021 P | 80,000,000 | 2020/10/05 | 2021/05/31 | 20.00 |
| 63733 | SG Issuer – Tencent R Bear CBBC May 2021 O | 80,000,000 | 2020/10/05 | 2021/05/28 | 20.00 |
| 63977 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 L | 60,000,000 | 2020/10/05 | 2021/03/31 | 15.00 |
| 63741 | SG Issuer – Xiaomi R Bear CBBC Mar 2021 G | 60,000,000 | 2020/10/05 | 2021/03/31 | 15.00 |
| 63300 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 I | 80,000,000 | 2020/10/05 | 2021/03/03 | 20.00 |
| 63257 | UBS AG – China Tower R Bull CBBC Nov 2021 E | 150,000,000 | 2020/10/05 | 2021/11/30 | 37.50 |
| 63220 | UBS AG – China Tower R Bull CBBC Dec 2021 E | 150,000,000 | 2020/10/05 | 2021/12/30 | 37.50 |
| 63258 | UBS AG – China Tower R Bull CBBC Dec 2021 F | 150,000,000 | 2020/10/05 | 2021/12/30 | 37.50 |
| 63329 | UBS AG – HSBC R Bull CBBC Sep 2021 H | 150,000,000 | 2020/10/05 | 2021/09/30 | 37.50 |
| 63330 | UBS AG – HSBC R Bull CBBC Dec 2021 A | 150,000,000 | 2020/10/05 | 2021/12/30 | 37.50 |
| 63334 | UBS AG – HSI R Bull CBBC Nov 2023 F | 200,000,000 | 2020/10/05 | 2023/11/29 | 50.00 |
| 63335 | UBS AG – HSI R Bull CBBC Nov 2023 I | 200,000,000 | 2020/10/05 | 2023/11/29 | 50.00 |
| 63165 | UBS AG – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 63285 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 63294 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/05 | 2021/02/25 | 50.00 |
| 63316 | UBS AG – Meituan Dianping R Bull CBBC Feb 2021 J | 50,000,000 | 2020/10/05 | 2021/02/09 | 12.50 |
| 63308 | UBS AG – Tencent R Bull CBBC Mar 2021 F | 40,000,000 | 2020/10/05 | 2021/03/08 | 10.00 |
| 63169 | UBS AG – Tencent R Bear CBBC Mar 2021 Z | 40,000,000 | 2020/10/05 | 2021/03/03 | 10.00 |
| 63320 | UBS AG – Xiaomi R Bull CBBC Mar 2021 K | 60,000,000 | 2020/10/05 | 2021/03/08 | 15.00 |
| 63180 | UBS AG – Xiaomi R Bear CBBC Mar 2021 J | 60,000,000 | 2020/10/05 | 2021/03/03 | 15.00 |
| 64293 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 C | 60,000,000 | 2020/10/05 | 2021/09/24 | 15.06 |
| 64288 | Bank Vontobel – HSI R Bull CBBC Mar 2023 Q | 80,000,000 | 2020/10/05 | 2023/03/30 | 20.08 |
| 64278 | Bank Vontobel – HSI R Bear CBBC Mar 2021 M | 80,000,000 | 2020/10/05 | 2021/03/30 | 20.08 |
| 64261 | Bank Vontobel – SMIC R Bear CBBC Jun 2021 C | 40,000,000 | 2020/10/05 | 2021/06/11 | 10.04 |
| 66271 | BOCI Asia Ltd. – AIA R Bear CBBC Jan 2021 C | 60,000,000 | 2020/10/06 | 2021/01/29 | 15.00 |
| 66288 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 T | 60,000,000 | 2020/10/06 | 2021/01/29 | 15.00 |
| 66151 | BOCI Asia Ltd. – China Mobile R Bear CBBC Feb 2021 A | 40,000,000 | 2020/10/06 | 2021/02/26 | 10.00 |
| 67378 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 C | 150,000,000 | 2020/10/06 | 2023/02/27 | 37.50 |
| 66143 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 L | 150,000,000 | 2020/10/06 | 2021/01/28 | 37.50 |
| 66391 | BOCI Asia Ltd. – Ping An R Bull CBBC Jan 2021 H | 100,000,000 | 2020/10/06 | 2021/01/29 | 25.00 |
| 66395 | BOCI Asia Ltd. – Ping An R Bear CBBC Jan 2021 A | 100,000,000 | 2020/10/06 | 2021/01/29 | 25.00 |
| 66177 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 D | 120,000,000 | 2020/10/06 | 2021/01/29 | 30.00 |
| 66225 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 P | 40,000,000 | 2020/10/06 | 2021/01/29 | 10.00 |
| 67385 | BOCI Asia Ltd. – Tencent R Bear CBBC Jan 2021 A | 120,000,000 | 2020/10/06 | 2021/01/29 | 30.00 |
| 66465 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 D | 200,000,000 | 2020/10/06 | 2023/11/29 | 50.00 |
| 67302 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 V | 190,000,000 | 2020/10/06 | 2023/11/29 | 47.50 |
| 65525 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/10/06 | 2023/11/29 | 50.00 |
| 65382 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 R | 250,000,000 | 2020/10/06 | 2021/03/30 | 62.50 |
| 65428 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 S | 250,000,000 | 2020/10/06 | 2021/03/30 | 62.50 |
| 67310 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 T | 250,000,000 | 2020/10/06 | 2021/03/30 | 62.50 |
| 67337 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 U | 250,000,000 | 2020/10/06 | 2021/03/30 | 62.50 |
| 67548 | Credit Suisse AG – CC Bank R Bull CBBC Jul 2021 D | 100,000,000 | 2020/10/06 | 2021/07/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 67400 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 L | 200,000,000 | 2020/10/06 | 2023/11/29 | 50.00 |
| 67529 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 N | 200,000,000 | 2020/10/06 | 2023/12/28 | 50.00 |
| 67556 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 Z | 200,000,000 | 2020/10/06 | 2021/01/28 | 50.00 |
| 67557 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 67558 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 66000 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 C | 40,000,000 | 2020/10/06 | 2021/03/10 | 10.00 |
| 66120 | Bank of East Asia – HSBC R Bull CBBC Jul 2021 A | 50,000,000 | 2020/10/06 | 2021/07/28 | 12.50 |
| 68826 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 L | 60,000,000 | 2020/10/06 | 2021/07/30 | 15.00 |
| 68638 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 K | 160,000,000 | 2020/10/06 | 2023/11/29 | 40.00 |
| 68656 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 L | 160,000,000 | 2020/10/06 | 2023/11/29 | 40.00 |
| 68668 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 U | 160,000,000 | 2020/10/06 | 2023/11/29 | 40.00 |
| 68688 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 J | 160,000,000 | 2020/10/06 | 2021/03/30 | 40.00 |
| 68706 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 K | 160,000,000 | 2020/10/06 | 2021/03/30 | 40.00 |
| 68611 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 V | 160,000,000 | 2020/10/06 | 2021/04/29 | 40.00 |
| 68710 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 P | 60,000,000 | 2020/10/06 | 2021/07/30 | 15.00 |
| 68824 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 N | 60,000,000 | 2020/10/06 | 2021/07/30 | 15.00 |
| 65687 | HK Bank – Alibaba Group R Bull CBBC Apr 2021 B | 100,000,000 | 2020/10/06 | 2021/04/19 | 25.00 |
| 65696 | HK Bank – Alibaba Group R Bull CBBC May 2021 A | 100,000,000 | 2020/10/06 | 2021/05/03 | 25.00 |
| 65639 | HK Bank – CC Bank R Bear CBBC Apr 2021 B | 40,000,000 | 2020/10/06 | 2021/04/12 | 10.00 |
| 65965 | HK Bank – HSI R Bull CBBC Mar 2023 Y | 120,000,000 | 2020/10/06 | 2023/03/30 | 30.00 |
| 66466 | HK Bank – HSI R Bear CBBC Feb 2021 G | 80,000,000 | 2020/10/06 | 2021/02/25 | 20.00 |
| 65770 | HK Bank – Sino Biopharm R Bull CBBC May 2021 A | 40,000,000 | 2020/10/06 | 2021/05/03 | 10.00 |
| 65957 | HK Bank – Sands China R Bull CBBC Jul 2021 A | 40,000,000 | 2020/10/06 | 2021/07/05 | 10.00 |
| 65953 | HK Bank – Sands China R Bear CBBC Apr 2021 A | 40,000,000 | 2020/10/06 | 2021/04/12 | 10.00 |
| 65932 | HK Bank – SUNAC R Bull CBBC May 2021 A | 40,000,000 | 2020/10/06 | 2021/05/03 | 10.00 |
| 67274 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 L | 200,000,000 | 2020/10/06 | 2023/09/28 | 50.00 |
| 67283 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 67288 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 67158 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 J | 200,000,000 | 2020/10/06 | 2023/11/29 | 50.00 |
| 67138 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 K | 200,000,000 | 2020/10/06 | 2023/12/28 | 50.00 |
| 67189 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 V | 200,000,000 | 2020/10/06 | 2021/01/28 | 50.00 |
| 65995 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 C | 100,000,000 | 2020/10/06 | 2023/09/28 | 25.00 |
| 67133 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 E | 100,000,000 | 2020/10/06 | 2021/01/28 | 25.00 |
| 67137 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 G | 100,000,000 | 2020/10/06 | 2021/01/28 | 25.00 |
| 67887 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/10/06 | 2021/04/30 | 20.00 |
| 67946 | SG Issuer – Alibaba Group R Bull CBBC May 2021 Y | 80,000,000 | 2020/10/06 | 2021/05/31 | 20.00 |
| 67560 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 L | 80,000,000 | 2020/10/06 | 2021/04/29 | 20.00 |
| 67582 | SG Issuer – China Life R Bull CBBC Mar 2021 J | 40,000,000 | 2020/10/06 | 2021/03/31 | 10.00 |
| 67626 | SG Issuer – HKEX R Bull CBBC Jun 2021 G | 50,000,000 | 2020/10/06 | 2021/06/30 | 12.50 |
| 67653 | SG Issuer – HSBC R Bull CBBC Jul 2021 E | 100,000,000 | 2020/10/06 | 2021/07/29 | 25.00 |
| 67678 | SG Issuer – HSBC R Bull CBBC Sep 2021 F | 100,000,000 | 2020/10/06 | 2021/09/30 | 25.00 |
| 67629 | SG Issuer – HSBC R Bear CBBC Jun 2021 D | 100,000,000 | 2020/10/06 | 2021/06/30 | 25.00 |
| 67756 | SG Issuer – HSI R Bull CBBC Nov 2023 E | 250,000,000 | 2020/10/06 | 2023/11/29 | 62.50 |
| 67808 | SG Issuer – HSI R Bull CBBC Dec 2023 J | 250,000,000 | 2020/10/06 | 2023/12/28 | 62.50 |
| 68153 | SG Issuer – HSI R Bull CBBC Dec 2023 L | 250,000,000 | 2020/10/06 | 2023/12/28 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68277 | SG Issuer – HSI R Bull CBBC Dec 2023 Q | 250,000,000 | 2020/10/06 | 2023/12/28 | 62.50 |
| 68218 | SG Issuer – HSI R Bear CBBC Mar 2021 Q | 250,000,000 | 2020/10/06 | 2021/03/30 | 62.50 |
| 68220 | SG Issuer – HSI R Bear CBBC Mar 2021 R | 500,000,000 | 2020/10/06 | 2021/03/30 | 125.00 |
| 68236 | SG Issuer – HSI R Bear CBBC Mar 2021 S | 250,000,000 | 2020/10/06 | 2021/03/30 | 62.50 |
| 68258 | SG Issuer – HSI R Bear CBBC Apr 2021 D | 250,000,000 | 2020/10/06 | 2021/04/29 | 62.50 |
| 68361 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 H | 80,000,000 | 2020/10/06 | 2021/03/31 | 20.00 |
| 68421 | SG Issuer – Ping An R Bull CBBC Jul 2021 K | 100,000,000 | 2020/10/06 | 2021/07/29 | 25.00 |
| 68543 | SG Issuer – SMIC R Bull CBBC Jun 2021 D | 120,000,000 | 2020/10/06 | 2021/06/30 | 30.00 |
| 68530 | SG Issuer – SMIC R Bear CBBC Jun 2021 B | 120,000,000 | 2020/10/06 | 2021/06/29 | 30.00 |
| 67994 | SG Issuer – Tencent R Bull CBBC Mar 2021 E | 80,000,000 | 2020/10/06 | 2021/03/31 | 20.00 |
| 67986 | SG Issuer – Tencent R Bull CBBC Mar 2021 Z | 80,000,000 | 2020/10/06 | 2021/03/31 | 20.00 |
| 68082 | SG Issuer – Tencent R Bull CBBC May 2021 Q | 80,000,000 | 2020/10/06 | 2021/05/31 | 20.00 |
| 68290 | SG Issuer – Tencent R Bear CBBC Apr 2021 A | 80,000,000 | 2020/10/06 | 2021/04/30 | 20.00 |
| 68570 | SG Issuer – Tencent R Bear CBBC Jun 2021 J | 80,000,000 | 2020/10/06 | 2021/06/29 | 20.00 |
| 67741 | SG Issuer – Xiaomi R Bull CBBC Feb 2021 Q | 60,000,000 | 2020/10/06 | 2021/02/25 | 15.00 |
| 66523 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 J | 80,000,000 | 2020/10/06 | 2021/03/10 | 20.00 |
| 66553 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 S | 80,000,000 | 2020/10/06 | 2021/03/10 | 20.00 |
| 67117 | UBS AG – Bank of China R Bull CBBC Dec 2021 A | 100,000,000 | 2020/10/06 | 2021/12/31 | 25.00 |
| 66469 | UBS AG – HSI R Bull CBBC Nov 2023 J | 200,000,000 | 2020/10/06 | 2023/11/29 | 50.00 |
| 66846 | UBS AG – HSI R Bull CBBC Nov 2023 K | 200,000,000 | 2020/10/06 | 2023/11/29 | 50.00 |
| 67011 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 67017 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 67056 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/10/06 | 2021/02/25 | 50.00 |
| 66957 | UBS AG – ICBC R Bull CBBC Aug 2021 A | 100,000,000 | 2020/10/06 | 2021/08/06 | 25.00 |
| 66579 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 U | 50,000,000 | 2020/10/06 | 2021/03/29 | 12.50 |
| 66620 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 O | 50,000,000 | 2020/10/06 | 2021/03/29 | 12.50 |
| 66556 | UBS AG – Tencent R Bull CBBC Mar 2021 S | 40,000,000 | 2020/10/06 | 2021/03/10 | 10.00 |
| 66566 | UBS AG – Tencent R Bear CBBC Mar 2021 A | 40,000,000 | 2020/10/06 | 2021/03/10 | 10.00 |
| 66925 | UBS AG – WuXi Bio R Bull CBBC Feb 2021 B | 30,000,000 | 2020/10/06 | 2021/02/25 | 10.20 |
| 66633 | UBS AG – Xiaomi R Bull CBBC Apr 2021 G | 60,000,000 | 2020/10/06 | 2021/04/30 | 15.00 |
| 66772 | UBS AG – Xiaomi R Bear CBBC Apr 2021 H | 60,000,000 | 2020/10/06 | 2021/04/30 | 15.00 |
| 67369 | Bank Vontobel – Geely Auto R Bull CBBC Mar 2021 H | 40,000,000 | 2020/10/06 | 2021/03/12 | 10.04 |
| 67370 | Bank Vontobel – HSI R Bear CBBC Mar 2021 N | 80,000,000 | 2020/10/06 | 2021/03/30 | 20.08 |
| 67368 | Bank Vontobel – Xiaomi R Bull CBBC Jun 2021 O | 40,000,000 | 2020/10/06 | 2021/06/25 | 10.04 |
| 50003 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 U | 60,000,000 | 2020/10/07 | 2021/01/29 | 15.00 |
| 50041 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 H | 150,000,000 | 2020/10/07 | 2023/02/27 | 37.50 |
| 50056 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 M | 150,000,000 | 2020/10/07 | 2021/01/28 | 37.50 |
| 50000 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 P | 40,000,000 | 2020/10/07 | 2021/01/29 | 10.00 |
| 69987 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Jan 2021 O | 40,000,000 | 2020/10/07 | 2021/01/29 | 10.00 |
| 69545 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 A | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 69579 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 F | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 69602 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 K | 190,000,000 | 2020/10/07 | 2023/11/29 | 47.50 |
| 69510 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 Y | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 68994 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 V | 250,000,000 | 2020/10/07 | 2021/03/30 | 62.50 |
| 69002 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 W | 250,000,000 | 2020/10/07 | 2021/03/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 69606 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 X | 250,000,000 | 2020/10/07 | 2021/03/30 | 62.50 |
| 50291 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 M | 50,000,000 | 2020/10/07 | 2021/02/25 | 12.50 |
| 50262 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 J | 100,000,000 | 2020/10/07 | 2021/07/29 | 25.00 |
| 50261 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 C | 100,000,000 | 2020/10/07 | 2021/09/29 | 25.00 |
| 50223 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 J | 200,000,000 | 2020/10/07 | 2023/10/30 | 50.00 |
| 50226 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 K | 200,000,000 | 2020/10/07 | 2023/10/30 | 50.00 |
| 50255 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 D | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 50155 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/10/07 | 2021/02/25 | 50.00 |
| 50202 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/10/07 | 2021/02/25 | 50.00 |
| 50161 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/10/07 | 2021/03/30 | 50.00 |
| 50177 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/10/07 | 2021/03/30 | 50.00 |
| 50269 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 P | 50,000,000 | 2020/10/07 | 2021/02/25 | 12.50 |
| 50604 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 M | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 50613 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 N | 100,000,000 | 2020/10/07 | 2021/07/30 | 25.00 |
| 50519 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 I | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 50415 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 B | 160,000,000 | 2020/10/07 | 2023/11/29 | 40.00 |
| 50620 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 V | 160,000,000 | 2020/10/07 | 2023/11/29 | 40.00 |
| 50400 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 Z | 160,000,000 | 2020/10/07 | 2023/11/29 | 40.00 |
| 50299 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 O | 160,000,000 | 2020/10/07 | 2021/02/25 | 40.00 |
| 50354 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 L | 160,000,000 | 2020/10/07 | 2021/03/30 | 40.00 |
| 50356 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 M | 160,000,000 | 2020/10/07 | 2021/03/30 | 40.00 |
| 50379 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 N | 160,000,000 | 2020/10/07 | 2021/03/30 | 40.00 |
| 50635 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 O | 160,000,000 | 2020/10/07 | 2021/03/30 | 40.00 |
| 50577 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 Q | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 50584 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 O | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 50594 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 P | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 50547 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 K | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 50424 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 L | 60,000,000 | 2020/10/07 | 2021/07/30 | 15.00 |
| 68889 | HK Bank – Alibaba Group R Bull CBBC Mar 2021 B | 100,000,000 | 2020/10/07 | 2021/03/29 | 25.00 |
| 68911 | HK Bank – HSI R Bull CBBC Mar 2023 Q | 120,000,000 | 2020/10/07 | 2023/03/30 | 30.00 |
| 68925 | HK Bank – HSI R Bear CBBC Feb 2021 H | 120,000,000 | 2020/10/07 | 2021/02/25 | 30.00 |
| 68841 | HK Bank – Meituan Dianping R Bull CBBC Apr 2021 D | 100,000,000 | 2020/10/07 | 2021/04/12 | 25.00 |
| 68834 | HK Bank – Sunny Optical R Bear CBBC Mar 2021 A | 40,000,000 | 2020/10/07 | 2021/03/15 | 10.00 |
| 68956 | HK Bank – Tencent R Bull CBBC Apr 2021 D | 100,000,000 | 2020/10/07 | 2021/04/26 | 25.00 |
| 50118 | Haitong Int'l Sec – Alibaba Group R Bull CBBC Mar 2021 E | 60,000,000 | 2020/10/07 | 2021/03/25 | 15.00 |
| 50153 | Haitong Int'l Sec – HSI R Bull CBBC Jun 2023 A | 200,000,000 | 2020/10/07 | 2023/06/29 | 50.00 |
| 50079 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 K | 200,000,000 | 2020/10/07 | 2023/10/30 | 50.00 |
| 50057 | Haitong Int'l Sec – HSI R Bear CBBC Jan 2021 C | 200,000,000 | 2020/10/07 | 2021/01/28 | 50.00 |
| 50113 | Haitong Int'l Sec – Meituan Dianping R Bull CBBC Jan 2021 O | 60,000,000 | 2020/10/07 | 2021/01/25 | 15.00 |
| 50135 | Haitong Int'l Sec – Tencent R Bull CBBC Jan 2021 N | 60,000,000 | 2020/10/07 | 2021/01/25 | 15.00 |
| 50129 | Haitong Int'l Sec – Xiaomi R Bull CBBC Mar 2021 D | 60,000,000 | 2020/10/07 | 2021/03/25 | 15.00 |
| 50292 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 E | 200,000,000 | 2020/10/07 | 2024/01/30 | 50.00 |
| 69956 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 N | 200,000,000 | 2020/10/07 | 2021/01/28 | 50.00 |
| 69964 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 Q | 200,000,000 | 2020/10/07 | 2021/01/28 | 50.00 |
| 69960 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/10/07 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69938 | Morgan Stanley Asia Products – Alibaba R Bull CBBC May 2021C | 40,000,000 | 2020/10/07 | 2021/05/03 | 10.00 |
| 69953 | Morgan Stanley Asia Products – Alibaba R Bull CBBC Jun 2021C | 40,000,000 | 2020/10/07 | 2021/06/01 | 10.00 |
| 69928 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 G | 100,000,000 | 2020/10/07 | 2021/02/25 | 25.00 |
| 69856 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 V | 80,000,000 | 2020/10/07 | 2021/03/31 | 20.00 |
| 69857 | SG Issuer – Alibaba Group R Bull CBBC May 2021 Z | 80,000,000 | 2020/10/07 | 2021/05/31 | 20.00 |
| 69691 | SG Issuer – Alibaba Group R Bear CBBC Mar 2021 V | 80,000,000 | 2020/10/07 | 2021/03/30 | 20.00 |
| 69698 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 M | 80,000,000 | 2020/10/07 | 2021/04/29 | 20.00 |
| 69828 | SG Issuer – HSI R Bull CBBC Oct 2023 L | 250,000,000 | 2020/10/07 | 2023/10/30 | 62.50 |
| 69806 | SG Issuer – HSI R Bull CBBC Oct 2023 X | 250,000,000 | 2020/10/07 | 2023/10/30 | 62.50 |
| 69854 | SG Issuer – HSI R Bull CBBC Nov 2023 L | 250,000,000 | 2020/10/07 | 2023/11/29 | 62.50 |
| 69616 | SG Issuer – HSI R Bear CBBC Feb 2021 O | 250,000,000 | 2020/10/07 | 2021/02/25 | 62.50 |
| 69642 | SG Issuer – HSI R Bear CBBC Mar 2021 T | 250,000,000 | 2020/10/07 | 2021/03/30 | 62.50 |
| 69666 | SG Issuer – HSI R Bear CBBC Apr 2021 E | 250,000,000 | 2020/10/07 | 2021/04/29 | 62.50 |
| 69674 | SG Issuer – HSI R Bear CBBC Apr 2021 F | 250,000,000 | 2020/10/07 | 2021/04/29 | 62.50 |
| 69861 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 U | 80,000,000 | 2020/10/07 | 2021/04/30 | 20.00 |
| 69701 | SG Issuer – Meituan Dianping R Bear CBBC Feb 2021 J | 80,000,000 | 2020/10/07 | 2021/02/25 | 20.00 |
| 69735 | SG Issuer – Tencent R Bull CBBC Apr 2021 L | 80,000,000 | 2020/10/07 | 2021/04/30 | 20.00 |
| 69716 | SG Issuer – Tencent R Bear CBBC Mar 2021 L | 80,000,000 | 2020/10/07 | 2021/03/30 | 20.00 |
| 69725 | SG Issuer – Tencent R Bear CBBC May 2021 P | 80,000,000 | 2020/10/07 | 2021/05/31 | 20.00 |
| 69767 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 L | 60,000,000 | 2020/10/07 | 2021/04/30 | 15.00 |
| 69729 | SG Issuer – Xiaomi R Bear CBBC Feb 2021 K | 60,000,000 | 2020/10/07 | 2021/02/25 | 15.00 |
| 69391 | UBS AG – Alibaba Group R Bull CBBC May 2021 I | 80,000,000 | 2020/10/07 | 2021/05/31 | 20.00 |
| 69166 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 T | 80,000,000 | 2020/10/07 | 2021/03/08 | 20.00 |
| 69481 | UBS AG – Galaxy Ent R Bear CBBC Dec 2021 A | 60,000,000 | 2020/10/07 | 2021/12/31 | 15.00 |
| 69485 | UBS AG – HKEX R Bull CBBC Dec 2021 A | 50,000,000 | 2020/10/07 | 2021/12/31 | 12.50 |
| 69499 | UBS AG – HKEX R Bear CBBC Dec 2021 A | 50,000,000 | 2020/10/07 | 2021/12/31 | 12.50 |
| 69387 | UBS AG – HSI R Bull CBBC Nov 2023 G | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 69316 | UBS AG – HSI R Bull CBBC Nov 2023 L | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 69386 | UBS AG – HSI R Bull CBBC Nov 2023 M | 200,000,000 | 2020/10/07 | 2023/11/29 | 50.00 |
| 69119 | UBS AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/10/07 | 2021/02/25 | 50.00 |
| 69126 | UBS AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/10/07 | 2021/02/25 | 50.00 |
| 69301 | UBS AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/10/07 | 2021/02/25 | 50.00 |
| 69428 | UBS AG – Meituan Dianping R Bull CBBC May 2021 K | 50,000,000 | 2020/10/07 | 2021/05/31 | 12.50 |
| 69286 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 P | 50,000,000 | 2020/10/07 | 2021/03/08 | 12.50 |
| 69425 | UBS AG – Tencent R Bull CBBC May 2021 S | 40,000,000 | 2020/10/07 | 2021/05/31 | 10.00 |
| 69165 | UBS AG – Tencent R Bear CBBC Mar 2021 B | 40,000,000 | 2020/10/07 | 2021/03/08 | 10.00 |
| 69438 | UBS AG – Xiaomi R Bull CBBC May 2021 C | 60,000,000 | 2020/10/07 | 2021/05/31 | 15.00 |
| 69292 | UBS AG – Xiaomi R Bear CBBC Mar 2021 K | 60,000,000 | 2020/10/07 | 2021/03/05 | 15.00 |
| 50734 | Bank Vontobel – Alibaba Group R Bull CBBC Jun 2021 P | 40,000,000 | 2020/10/07 | 2021/06/11 | 10.04 |
| 50686 | Bank Vontobel – HSI R Bull CBBC Mar 2023 S | 80,000,000 | 2020/10/07 | 2023/03/30 | 20.08 |
| 50714 | Bank Vontobel – HSI R Bull CBBC Mar 2023 Z | 80,000,000 | 2020/10/07 | 2023/03/30 | 20.08 |
| 50679 | Bank Vontobel – HSI R Bear CBBC Mar 2021 O | 80,000,000 | 2020/10/07 | 2021/03/30 | 20.08 |
| 51293 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 V | 60,000,000 | 2020/10/08 | 2021/01/29 | 15.00 |
| 51300 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 W | 60,000,000 | 2020/10/08 | 2021/01/29 | 15.00 |
| 51309 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jan 2021 Q | 60,000,000 | 2020/10/08 | 2021/01/29 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 51726 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 P | 150,000,000 | 2020/10/08 | 2023/02/27 | 37.50 |
| 51722 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 W | 150,000,000 | 2020/10/08 | 2023/02/27 | 37.50 |
| 51227 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 N | 150,000,000 | 2020/10/08 | 2021/01/28 | 37.50 |
| 51229 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 O | 150,000,000 | 2020/10/08 | 2021/01/28 | 37.50 |
| 51241 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 P | 150,000,000 | 2020/10/08 | 2021/01/28 | 37.50 |
| 51282 | BOCI Asia Ltd. – ICBC R Bull CBBC Apr 2021 A | 80,000,000 | 2020/10/08 | 2021/04/30 | 20.00 |
| 51719 | BOCI Asia Ltd. – SMIC R Bull CBBC Jan 2021 M | 30,000,000 | 2020/10/08 | 2021/01/29 | 11.70 |
| 51716 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 W | 120,000,000 | 2020/10/08 | 2021/01/29 | 30.00 |
| 51718 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 X | 40,000,000 | 2020/10/08 | 2021/01/29 | 10.00 |
| 51336 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 E | 250,000,000 | 2020/10/08 | 2021/11/29 | 62.50 |
| 51332 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 J | 250,000,000 | 2020/10/08 | 2021/12/30 | 62.50 |
| 51347 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 Y | 250,000,000 | 2020/10/08 | 2021/09/29 | 62.50 |
| 51052 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 I | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51321 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 J | 190,000,000 | 2020/10/08 | 2023/11/29 | 47.50 |
| 51038 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 L | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51039 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 M | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51051 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 50982 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 F | 250,000,000 | 2020/10/08 | 2021/03/30 | 62.50 |
| 51030 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 G | 240,000,000 | 2020/10/08 | 2021/03/30 | 60.00 |
| 51031 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 H | 240,000,000 | 2020/10/08 | 2021/03/30 | 60.00 |
| 50974 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Y | 250,000,000 | 2020/10/08 | 2021/03/30 | 62.50 |
| 50981 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Z | 250,000,000 | 2020/10/08 | 2021/03/30 | 62.50 |
| 51331 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 A | 250,000,000 | 2020/10/08 | 2021/06/29 | 62.50 |
| 51348 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 Q | 250,000,000 | 2020/10/08 | 2021/11/29 | 62.50 |
| 51349 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 G | 250,000,000 | 2020/10/08 | 2021/12/30 | 62.50 |
| 51362 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 I | 250,000,000 | 2020/10/08 | 2021/12/30 | 62.50 |
| 51329 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 I | 250,000,000 | 2020/10/08 | 2021/11/29 | 62.50 |
| 51627 | Credit Suisse AG – AIA R Bull CBBC Feb 2021 B | 70,000,000 | 2020/10/08 | 2021/02/25 | 17.50 |
| 51635 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 D | 50,000,000 | 2020/10/08 | 2021/04/29 | 12.50 |
| 51626 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 D | 100,000,000 | 2020/10/08 | 2021/09/29 | 25.00 |
| 51620 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 F | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51621 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 M | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51647 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 T | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51615 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 E | 200,000,000 | 2020/10/08 | 2021/01/28 | 50.00 |
| 51573 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51548 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/10/08 | 2021/03/30 | 50.00 |
| 51568 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/10/08 | 2021/03/30 | 50.00 |
| 51629 | Credit Suisse AG – Meituan Dianping R Bull CBBC Apr 2021 H | 50,000,000 | 2020/10/08 | 2021/04/29 | 12.50 |
| 51628 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 B | 50,000,000 | 2020/10/08 | 2021/03/30 | 12.50 |
| 51636 | Credit Suisse AG – WuXi Bio R Bull CBBC Jan 2021 A | 30,000,000 | 2020/10/08 | 2021/01/28 | 10.20 |
| 50943 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 D | 40,000,000 | 2020/10/08 | 2021/03/11 | 10.00 |
| 50861 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 D | 30,000,000 | 2020/10/08 | 2021/03/11 | 10.50 |
| 50860 | Bank of East Asia – Xiaomi R Bull CBBC Mar 2021 C | 40,000,000 | 2020/10/08 | 2021/03/11 | 10.00 |
| 50857 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 A | 60,000,000 | 2020/10/08 | 2021/07/20 | 15.00 |
| 51836 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 O | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 51838 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 P | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |
| 51812 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 C | 160,000,000 | 2020/10/08 | 2023/11/29 | 40.00 |
| 51813 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 F | 160,000,000 | 2020/10/08 | 2023/11/29 | 40.00 |
| 51811 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 X | 160,000,000 | 2020/10/08 | 2023/11/29 | 40.00 |
| 51804 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 F | 160,000,000 | 2020/10/08 | 2021/01/28 | 40.00 |
| 51805 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 G | 160,000,000 | 2020/10/08 | 2021/01/28 | 40.00 |
| 51810 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 K | 160,000,000 | 2020/10/08 | 2021/02/25 | 40.00 |
| 51819 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 P | 160,000,000 | 2020/10/08 | 2021/03/30 | 40.00 |
| 51825 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 R | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |
| 51830 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 Q | 100,000,000 | 2020/10/08 | 2021/07/30 | 25.00 |
| 51831 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 R | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |
| 51832 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 S | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |
| 51828 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 L | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |
| 51823 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 R | 60,000,000 | 2020/10/08 | 2021/07/30 | 15.00 |
| 51149 | HK Bank – AIA R Bull CBBC Apr 2021 A | 60,000,000 | 2020/10/08 | 2021/04/19 | 15.00 |
| 51065 | HK Bank – Alibaba Group R Bull CBBC Mar 2021 C | 100,000,000 | 2020/10/08 | 2021/03/15 | 25.00 |
| 51206 | HK Bank – China Life R Bull CBBC Mar 2021 A | 40,000,000 | 2020/10/08 | 2021/03/29 | 10.00 |
| 50787 | HK Bank – HSI R Bull CBBC Mar 2023 U | 100,000,000 | 2020/10/08 | 2023/03/30 | 25.00 |
| 50844 | HK Bank – HSI R Bull CBBC Mar 2023 V | 80,000,000 | 2020/10/08 | 2023/03/30 | 20.00 |
| 50739 | HK Bank – HSI R Bear CBBC Feb 2021 I | 80,000,000 | 2020/10/08 | 2021/02/25 | 20.00 |
| 50786 | HK Bank – HSI R Bear CBBC Feb 2021 J | 100,000,000 | 2020/10/08 | 2021/02/25 | 25.00 |
| 51128 | HK Bank – Meituan Dianping R Bull CBBC Apr 2021 E | 100,000,000 | 2020/10/08 | 2021/04/19 | 25.00 |
| 51166 | HK Bank – PA Gooddoctor R Bull CBBC Jul 2021 A | 40,000,000 | 2020/10/08 | 2021/07/05 | 10.00 |
| 51139 | HK Bank – Ping An R Bull CBBC Mar 2021 C | 60,000,000 | 2020/10/08 | 2021/03/29 | 15.00 |
| 51162 | HK Bank – SMIC R Bull CBBC Jul 2021 C | 40,000,000 | 2020/10/08 | 2021/07/05 | 10.00 |
| 51123 | HK Bank – Tencent R Bull CBBC Mar 2021 K | 100,000,000 | 2020/10/08 | 2021/03/29 | 25.00 |
| 51056 | HK Bank – Tencent R Bear CBBC Apr 2021 D | 100,000,000 | 2020/10/08 | 2021/04/12 | 25.00 |
| 51127 | HK Bank – Xiaomi R Bull CBBC Apr 2021 A | 40,000,000 | 2020/10/08 | 2021/04/12 | 10.00 |
| 51732 | Haitong Int'l Sec – Alibaba Group R Bull CBBC Jan 2021 J | 60,000,000 | 2020/10/08 | 2021/01/25 | 15.00 |
| 51729 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 M | 200,000,000 | 2020/10/08 | 2023/09/28 | 50.00 |
| 51731 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/10/08 | 2023/10/30 | 50.00 |
| 51727 | Haitong Int'l Sec – HSI R Bear CBBC Jan 2021 W | 200,000,000 | 2020/10/08 | 2021/01/28 | 50.00 |
| 51728 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51743 | Haitong Int'l Sec – Meituan Dianping R Bull CBBC Mar 2021 E | 60,000,000 | 2020/10/08 | 2021/03/25 | 15.00 |
| 51740 | Haitong Int'l Sec – Tencent R Bull CBBC Jan 2021 O | 60,000,000 | 2020/10/08 | 2021/01/25 | 15.00 |
| 51737 | Haitong Int'l Sec – Tencent R Bull CBBC Feb 2021 O | 60,000,000 | 2020/10/08 | 2021/02/25 | 15.00 |
| 51715 | J P Morgan SP BV – Alibaba Group R Bull CBBC May 2021 D | 80,000,000 | 2020/10/08 | 2021/05/21 | 20.00 |
| 51694 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 L | 200,000,000 | 2020/10/08 | 2023/12/28 | 50.00 |
| 51698 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 M | 200,000,000 | 2020/10/08 | 2023/12/28 | 50.00 |
| 51708 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 F | 200,000,000 | 2020/10/08 | 2024/01/30 | 50.00 |
| 51387 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 S | 200,000,000 | 2020/10/08 | 2021/01/28 | 50.00 |
| 51378 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51379 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51384 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51712 | J P Morgan SP BV – Meituan Dianping R Bull CBBC May 2021 F | 80,000,000 | 2020/10/08 | 2021/05/21 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 50967 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 T | 108,000,000 | 2020/10/08 | 2023/10/30 | 27.00 |
| 50973 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 U | 108,000,000 | 2020/10/08 | 2023/10/30 | 27.00 |
| 50952 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 N | 108,000,000 | 2020/10/08 | 2023/11/29 | 27.00 |
| 51209 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 M | 100,000,000 | 2020/10/08 | 2021/01/28 | 25.00 |
| 51669 | SG Issuer – AIA R Bull CBBC Feb 2021 D | 60,000,000 | 2020/10/08 | 2021/02/25 | 15.00 |
| 51685 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 W | 80,000,000 | 2020/10/08 | 2021/03/31 | 20.00 |
| 51690 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 A | 80,000,000 | 2020/10/08 | 2021/04/30 | 20.00 |
| 51689 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 Z | 80,000,000 | 2020/10/08 | 2021/04/30 | 20.00 |
| 51653 | SG Issuer – Alibaba Group R Bear CBBC May 2021 I | 80,000,000 | 2020/10/08 | 2021/05/28 | 20.00 |
| 51654 | SG Issuer – HKEX R Bear CBBC Feb 2021 C | 50,000,000 | 2020/10/08 | 2021/02/26 | 12.50 |
| 51655 | SG Issuer – HSBC R Bear CBBC Jul 2021 A | 100,000,000 | 2020/10/08 | 2021/07/30 | 25.00 |
| 51531 | SG Issuer – HSI R Bull CBBC Oct 2023 B | 250,000,000 | 2020/10/08 | 2023/10/30 | 62.50 |
| 51526 | SG Issuer – HSI R Bull CBBC Dec 2023 S | 250,000,000 | 2020/10/08 | 2023/12/28 | 62.50 |
| 51545 | SG Issuer – HSI R Bull CBBC Dec 2023 V | 250,000,000 | 2020/10/08 | 2023/12/28 | 62.50 |
| 51508 | SG Issuer – HSI R Bear CBBC Jan 2021 O | 250,000,000 | 2020/10/08 | 2021/01/28 | 62.50 |
| 51514 | SG Issuer – HSI R Bear CBBC Jan 2021 Q | 250,000,000 | 2020/10/08 | 2021/01/28 | 62.50 |
| 51525 | SG Issuer – HSI R Bear CBBC Feb 2021 M | 250,000,000 | 2020/10/08 | 2021/02/25 | 62.50 |
| 51517 | SG Issuer – HSI R Bear CBBC Mar 2021 U | 500,000,000 | 2020/10/08 | 2021/03/30 | 125.00 |
| 51518 | SG Issuer – HSI R Bear CBBC Mar 2021 V | 250,000,000 | 2020/10/08 | 2021/03/30 | 62.50 |
| 51527 | SG Issuer – HSI R Bear CBBC Mar 2021 W | 250,000,000 | 2020/10/08 | 2021/03/30 | 62.50 |
| 51691 | SG Issuer – Meituan Dianping R Bull CBBC Feb 2021 U | 80,000,000 | 2020/10/08 | 2021/02/26 | 20.00 |
| 51693 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 D | 80,000,000 | 2020/10/08 | 2021/03/31 | 20.00 |
| 51661 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 Z | 80,000,000 | 2020/10/08 | 2021/04/30 | 20.00 |
| 51670 | SG Issuer – Sands China R Bear CBBC Jun 2021 A | 100,000,000 | 2020/10/08 | 2021/06/30 | 25.00 |
| 51664 | SG Issuer – SMIC R Bull CBBC Jun 2021 E | 120,000,000 | 2020/10/08 | 2021/06/30 | 30.00 |
| 51680 | SG Issuer – Tencent R Bull CBBC Feb 2021 V | 80,000,000 | 2020/10/08 | 2021/02/25 | 20.00 |
| 51682 | SG Issuer – Tencent R Bull CBBC Mar 2021 G | 80,000,000 | 2020/10/08 | 2021/03/31 | 20.00 |
| 51684 | SG Issuer – Tencent R Bull CBBC Apr 2021 O | 80,000,000 | 2020/10/08 | 2021/04/30 | 20.00 |
| 51665 | SG Issuer – Tencent R Bear CBBC Apr 2021 B | 80,000,000 | 2020/10/08 | 2021/04/30 | 20.00 |
| 51666 | SG Issuer – Tencent R Bear CBBC May 2021 Q | 80,000,000 | 2020/10/08 | 2021/05/31 | 20.00 |
| 51796 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 M | 80,000,000 | 2020/10/08 | 2021/03/08 | 20.00 |
| 51803 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 U | 80,000,000 | 2020/10/08 | 2021/03/03 | 20.00 |
| 51797 | UBS AG – Geely Auto R Bull CBBC Sep 2021 F | 100,000,000 | 2020/10/08 | 2021/09/30 | 25.00 |
| 51787 | UBS AG – Geely Auto R Bear CBBC Aug 2021 B | 100,000,000 | 2020/10/08 | 2021/08/16 | 25.00 |
| 51792 | UBS AG – HSI R Bull CBBC Dec 2022 C | 200,000,000 | 2020/10/08 | 2022/12/29 | 50.00 |
| 51791 | UBS AG – HSI R Bull CBBC Nov 2023 N | 200,000,000 | 2020/10/08 | 2023/11/29 | 50.00 |
| 51763 | UBS AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51764 | UBS AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51760 | UBS AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/10/08 | 2021/02/25 | 50.00 |
| 51762 | UBS AG – HSI R Bear CBBC Mar 2021 D | 400,000,000 | 2020/10/08 | 2021/03/30 | 100.00 |
| 51793 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 V | 50,000,000 | 2020/10/08 | 2021/03/08 | 12.50 |
| 51748 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 Q | 50,000,000 | 2020/10/08 | 2021/03/03 | 12.50 |
| 51759 | UBS AG – SMIC R Bull CBBC Aug 2021 H | 100,000,000 | 2020/10/08 | 2021/08/31 | 25.00 |
| 51790 | UBS AG – SMIC R Bear CBBC Apr 2021 G | 80,000,000 | 2020/10/08 | 2021/04/19 | 20.00 |
| 51786 | UBS AG – Tencent R Bull CBBC Mar 2021 O | 40,000,000 | 2020/10/08 | 2021/03/05 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 51766 | UBS AG – Tencent R Bull CBBC Mar 2021 T | 40,000,000 | 2020/10/08 | 2021/03/08 | 10.00 |
| 51798 | UBS AG – Tencent R Bear CBBC Mar 2021 C | 40,000,000 | 2020/10/08 | 2021/03/03 | 10.00 |
| 51802 | UBS AG – Tencent R Bear CBBC Mar 2021 D | 40,000,000 | 2020/10/08 | 2021/03/10 | 10.00 |
| 51754 | UBS AG – Xiaomi R Bear CBBC Mar 2021 L | 60,000,000 | 2020/10/08 | 2021/03/03 | 15.00 |
| 51460 | Bank Vontobel – Alibaba Group R Bull CBBC Jun 2021 Q | 40,000,000 | 2020/10/08 | 2021/06/18 | 10.00 |
| 51477 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 D | 60,000,000 | 2020/10/08 | 2021/09/24 | 15.00 |
| 51446 | Bank Vontobel – HSI R Bull CBBC Dec 2022 D | 80,000,000 | 2020/10/08 | 2022/12/29 | 20.00 |
| 51431 | Bank Vontobel – HSI R Bull CBBC Mar 2023 C | 80,000,000 | 2020/10/08 | 2023/03/30 | 20.00 |
| 51417 | Bank Vontobel – HSI R Bear CBBC Mar 2021 P | 80,000,000 | 2020/10/08 | 2021/03/30 | 20.00 |
| 51458 | Bank Vontobel – Meituan Dianping R Bull CBBC Jun 2021 C | 60,000,000 | 2020/10/08 | 2021/06/18 | 15.00 |
| 51447 | Bank Vontobel – Tencent R Bull CBBC Jun 2021 K | 40,000,000 | 2020/10/08 | 2021/06/25 | 10.00 |
| 52007 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 O | 60,000,000 | 2020/10/09 | 2021/01/29 | 15.00 |
| 51961 | BOCI Asia Ltd. – HKEX R Bull CBBC Jan 2021 N | 40,000,000 | 2020/10/09 | 2021/01/29 | 10.00 |
| 51950 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 Q | 150,000,000 | 2020/10/09 | 2023/02/27 | 37.50 |
| 51952 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 X | 150,000,000 | 2020/10/09 | 2023/02/27 | 37.50 |
| 51959 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 Q | 150,000,000 | 2020/10/09 | 2021/01/28 | 37.50 |
| 51996 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 Q | 40,000,000 | 2020/10/09 | 2021/01/29 | 10.00 |
| 52006 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 U | 40,000,000 | 2020/10/09 | 2021/01/29 | 10.00 |
| 51972 | BOCI Asia Ltd. – SMIC R Bull CBBC May 2021 D | 150,000,000 | 2020/10/09 | 2021/05/31 | 37.50 |
| 51970 | BOCI Asia Ltd. – Tencent R Bear CBBC Jan 2021 F | 120,000,000 | 2020/10/09 | 2021/01/29 | 30.00 |
| 51992 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jan 2021 O | 40,000,000 | 2020/10/09 | 2021/01/29 | 10.00 |
| 51913 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 N | 200,000,000 | 2020/10/09 | 2023/11/29 | 50.00 |
| 51922 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/10/09 | 2023/11/29 | 50.00 |
| 51946 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 P | 190,000,000 | 2020/10/09 | 2023/11/29 | 47.50 |
| 51947 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 J | 240,000,000 | 2020/10/09 | 2021/03/30 | 60.00 |
| 51948 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 B | 250,000,000 | 2020/10/09 | 2021/06/29 | 62.50 |
| 52538 | Credit Suisse AG – HKEX R Bull CBBC Mar 2021 A | 50,000,000 | 2020/10/09 | 2021/03/30 | 12.50 |
| 52644 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 O | 200,000,000 | 2020/10/09 | 2023/12/28 | 50.00 |
| 52760 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 P | 200,000,000 | 2020/10/09 | 2023/12/28 | 50.00 |
| 52761 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 Q | 200,000,000 | 2020/10/09 | 2023/12/28 | 50.00 |
| 52476 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 F | 200,000,000 | 2020/10/09 | 2021/01/28 | 50.00 |
| 52491 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/10/09 | 2021/02/25 | 50.00 |
| 52494 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/10/09 | 2021/03/30 | 50.00 |
| 52643 | Credit Suisse AG – Meituan Dianping R Bull CBBC Feb 2021 J | 50,000,000 | 2020/10/09 | 2021/02/25 | 12.50 |
| 52565 | Credit Suisse AG – SMIC R Bull CBBC May 2021 F | 50,000,000 | 2020/10/09 | 2021/05/28 | 12.50 |
| 52541 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 Q | 50,000,000 | 2020/10/09 | 2021/02/25 | 12.50 |
| 52639 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 K | 100,000,000 | 2020/10/09 | 2021/05/28 | 25.00 |
| 51901 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 E | 40,000,000 | 2020/10/09 | 2021/03/12 | 10.00 |
| 51895 | Bank of East Asia – Meituan Dianping R Bull CBBC Mar 2021 B | 40,000,000 | 2020/10/09 | 2021/03/12 | 10.00 |
| 51910 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 E | 30,000,000 | 2020/10/09 | 2021/03/12 | 10.50 |
| 51892 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/09 | 2021/07/20 | 15.00 |
| 52460 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 Q | 60,000,000 | 2020/10/09 | 2021/07/30 | 15.00 |
| 52469 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 J | 100,000,000 | 2020/10/09 | 2021/07/30 | 25.00 |
| 52369 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 G | 160,000,000 | 2020/10/09 | 2023/11/29 | 40.00 |
| 52395 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 J | 160,000,000 | 2020/10/09 | 2023/11/29 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52398 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 M | 160,000,000 | 2020/10/09 | 2023/11/29 | 40.00 |
| 52399 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 P | 160,000,000 | 2020/10/09 | 2023/11/29 | 40.00 |
| 52368 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 A | 160,000,000 | 2020/10/09 | 2021/01/28 | 40.00 |
| 52366 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 N | 160,000,000 | 2020/10/09 | 2021/02/25 | 40.00 |
| 52367 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Q | 160,000,000 | 2020/10/09 | 2021/02/25 | 40.00 |
| 52370 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 W | 160,000,000 | 2020/10/09 | 2021/04/29 | 40.00 |
| 52400 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 X | 160,000,000 | 2020/10/09 | 2021/04/29 | 40.00 |
| 52419 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 S | 100,000,000 | 2020/10/09 | 2021/07/30 | 25.00 |
| 52448 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 T | 60,000,000 | 2020/10/09 | 2021/07/30 | 15.00 |
| 52461 | Goldman Sachs SP (Asia) – SMIC R Bull CBBC Jul 2021 B | 80,000,000 | 2020/10/09 | 2021/07/30 | 20.00 |
| 52449 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 T | 60,000,000 | 2020/10/09 | 2021/07/30 | 15.00 |
| 52458 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 U | 100,000,000 | 2020/10/09 | 2021/07/30 | 25.00 |
| 52424 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 M | 60,000,000 | 2020/10/09 | 2021/07/30 | 15.00 |
| 52407 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 S | 60,000,000 | 2020/10/09 | 2021/07/30 | 15.00 |
| 51878 | HK Bank – Ali Health R Bull CBBC Jun 2021 A | 40,000,000 | 2020/10/09 | 2021/06/07 | 10.00 |
| 51881 | HK Bank – HKEX R Bull CBBC Jun 2021 A | 100,000,000 | 2020/10/09 | 2021/06/07 | 25.00 |
| 51863 | HK Bank – HSI R Bull CBBC Mar 2023 F | 80,000,000 | 2020/10/09 | 2023/03/30 | 20.00 |
| 51849 | HK Bank – HSI R Bull CBBC Mar 2023 X | 120,000,000 | 2020/10/09 | 2023/03/30 | 30.00 |
| 51844 | HK Bank – HSI R Bear CBBC Feb 2021 K | 120,000,000 | 2020/10/09 | 2021/02/25 | 30.00 |
| 51891 | HK Bank – Meituan Dianping R Bull CBBC May 2021 A | 100,000,000 | 2020/10/09 | 2021/05/03 | 25.00 |
| 51858 | HK Bank – Tencent R Bull CBBC Mar 2021 L | 100,000,000 | 2020/10/09 | 2021/03/08 | 25.00 |
| 52155 | Haitong Int'l Sec – Alibaba Group R Bear CBBC Jan 2021 J | 60,000,000 | 2020/10/09 | 2021/01/25 | 15.00 |
| 52173 | Haitong Int'l Sec – HSI R Bull CBBC May 2023 R | 200,000,000 | 2020/10/09 | 2023/05/30 | 50.00 |
| 52152 | Haitong Int'l Sec – HSI R Bear CBBC Jan 2021 P | 200,000,000 | 2020/10/09 | 2021/01/28 | 50.00 |
| 52156 | Haitong Int'l Sec – Meituan Dianping R Bull CBBC Jan 2021 P | 60,000,000 | 2020/10/09 | 2021/01/25 | 15.00 |
| 52171 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jun 2021 C | 60,000,000 | 2020/10/09 | 2021/06/25 | 15.00 |
| 52145 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 K | 200,000,000 | 2020/10/09 | 2023/11/29 | 50.00 |
| 52146 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/10/09 | 2023/11/29 | 50.00 |
| 52147 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 G | 200,000,000 | 2020/10/09 | 2024/01/30 | 50.00 |
| 52128 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 T | 200,000,000 | 2020/10/09 | 2021/01/28 | 50.00 |
| 52127 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/10/09 | 2021/02/25 | 50.00 |
| 52151 | J P Morgan SP BV – Xiaomi R Bull CBBC May 2021 I | 200,000,000 | 2020/10/09 | 2021/05/21 | 50.00 |
| 52123 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 B | 100,000,000 | 2020/10/09 | 2023/12/28 | 25.00 |
| 52113 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 N | 100,000,000 | 2020/10/09 | 2021/01/28 | 25.00 |
| 52358 | SG Issuer – Alibaba Group R Bull CBBC Feb 2021 L | 80,000,000 | 2020/10/09 | 2021/02/26 | 20.00 |
| 52243 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 X | 80,000,000 | 2020/10/09 | 2021/03/31 | 20.00 |
| 52244 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 C | 200,000,000 | 2020/10/09 | 2021/06/29 | 50.00 |
| 52237 | SG Issuer – CSPC Pharma R Bull CBBC Feb 2021 B | 40,000,000 | 2020/10/09 | 2021/02/26 | 10.00 |
| 52290 | SG Issuer – Geely Auto R Bull CBBC Feb 2021 H | 40,000,000 | 2020/10/09 | 2021/02/25 | 10.00 |
| 52301 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 B | 150,000,000 | 2020/10/09 | 2021/08/30 | 37.50 |
| 52245 | SG Issuer – HKEX R Bull CBBC Jul 2021 B | 50,000,000 | 2020/10/09 | 2021/07/29 | 12.50 |
| 52313 | SG Issuer – HKEX R Bull CBBC Jul 2021 C | 50,000,000 | 2020/10/09 | 2021/07/30 | 12.50 |
| 52239 | SG Issuer – HKEX R Bear CBBC Feb 2021 D | 50,000,000 | 2020/10/09 | 2021/02/26 | 12.50 |
| 52199 | SG Issuer – HSI R Bull CBBC Oct 2023 D | 250,000,000 | 2020/10/09 | 2023/10/30 | 62.50 |
| 52200 | SG Issuer – HSI R Bull CBBC Nov 2023 T | 250,000,000 | 2020/10/09 | 2023/11/29 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52233 | SG Issuer – HSI R Bull CBBC Nov 2023 W | 250,000,000 | 2020/10/09 | 2023/11/29 | 62.50 |
| 52234 | SG Issuer – HSI R Bull CBBC Nov 2023 Y | 250,000,000 | 2020/10/09 | 2023/11/29 | 62.50 |
| 52186 | SG Issuer – HSI R Bear CBBC Feb 2021 A | 250,000,000 | 2020/10/09 | 2021/02/25 | 62.50 |
| 52187 | SG Issuer – HSI R Bear CBBC Feb 2021 J | 250,000,000 | 2020/10/09 | 2021/02/25 | 62.50 |
| 52175 | SG Issuer – HSI R Bear CBBC Feb 2021 K | 250,000,000 | 2020/10/09 | 2021/02/25 | 62.50 |
| 52201 | SG Issuer – HSI R Bear CBBC Mar 2021 X | 250,000,000 | 2020/10/09 | 2021/03/30 | 62.50 |
| 52205 | SG Issuer – HSI R Bear CBBC Mar 2021 Y | 250,000,000 | 2020/10/09 | 2021/03/30 | 62.50 |
| 52231 | SG Issuer – HSI R Bear CBBC Mar 2021 Z | 250,000,000 | 2020/10/09 | 2021/03/30 | 62.50 |
| 52351 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 I | 80,000,000 | 2020/10/09 | 2021/03/31 | 20.00 |
| 52353 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 V | 80,000,000 | 2020/10/09 | 2021/04/30 | 20.00 |
| 52274 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 A | 80,000,000 | 2020/10/09 | 2021/04/29 | 20.00 |
| 52240 | SG Issuer – Meituan Dianping R Bear CBBC May 2021 Q | 80,000,000 | 2020/10/09 | 2021/05/28 | 20.00 |
| 52281 | SG Issuer – Meituan Dianping R Bear CBBC Jun 2021 C | 200,000,000 | 2020/10/09 | 2021/06/30 | 50.00 |
| 52286 | SG Issuer – SMIC R Bull CBBC May 2021 C | 120,000,000 | 2020/10/09 | 2021/05/31 | 30.00 |
| 52355 | SG Issuer – Tencent R Bull CBBC Feb 2021 W | 80,000,000 | 2020/10/09 | 2021/02/25 | 20.00 |
| 52287 | SG Issuer – Tencent R Bull CBBC Apr 2021 C | 80,000,000 | 2020/10/09 | 2021/04/30 | 20.00 |
| 52357 | SG Issuer – Tencent R Bear CBBC Mar 2021 P | 80,000,000 | 2020/10/09 | 2021/03/31 | 20.00 |
| 52241 | SG Issuer – Tencent R Bear CBBC Apr 2021 C | 80,000,000 | 2020/10/09 | 2021/04/30 | 20.00 |
| 52288 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 M | 60,000,000 | 2020/10/09 | 2021/03/31 | 15.00 |
| 52242 | SG Issuer – Xiaomi R Bear CBBC Mar 2021 H | 60,000,000 | 2020/10/09 | 2021/03/31 | 15.00 |
| 52090 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 L | 80,000,000 | 2020/10/09 | 2021/04/12 | 20.00 |
| 52045 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 V | 80,000,000 | 2020/10/09 | 2021/03/10 | 20.00 |
| 52078 | UBS AG – Ali Health R Bull CBBC Jun 2021 B | 100,000,000 | 2020/10/09 | 2021/06/21 | 25.00 |
| 52069 | UBS AG – HKEX R Bear CBBC Mar 2021 E | 50,000,000 | 2020/10/09 | 2021/03/03 | 12.50 |
| 52103 | UBS AG – HSI R Bull CBBC Nov 2023 P | 200,000,000 | 2020/10/09 | 2023/11/29 | 50.00 |
| 52073 | UBS AG – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/10/09 | 2021/02/25 | 50.00 |
| 52077 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/10/09 | 2021/02/25 | 50.00 |
| 52070 | UBS AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/10/09 | 2021/02/25 | 50.00 |
| 52072 | UBS AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/10/09 | 2021/02/25 | 50.00 |
| 52108 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 W | 50,000,000 | 2020/10/09 | 2021/03/15 | 12.50 |
| 52104 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 J | 50,000,000 | 2020/10/09 | 2021/04/12 | 12.50 |
| 52028 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 R | 50,000,000 | 2020/10/09 | 2021/03/29 | 12.50 |
| 52035 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 K | 50,000,000 | 2020/10/09 | 2021/04/12 | 12.50 |
| 52080 | UBS AG – Tencent R Bull CBBC Mar 2021 U | 40,000,000 | 2020/10/09 | 2021/03/15 | 10.00 |
| 52010 | UBS AG – Tencent R Bear CBBC Mar 2021 E | 40,000,000 | 2020/10/09 | 2021/03/05 | 10.00 |
| 52081 | UBS AG – Xiaomi R Bull CBBC Jun 2021 P | 200,000,000 | 2020/10/09 | 2021/06/28 | 50.00 |
| 52020 | UBS AG – Xiaomi R Bear CBBC Apr 2021 I | 60,000,000 | 2020/10/09 | 2021/04/12 | 15.00 |
| 52021 | UBS AG – Xiaomi R Bear CBBC Apr 2021 J | 60,000,000 | 2020/10/09 | 2021/04/30 | 15.00 |
| 53486 | Bank Vontobel – Geely Auto R Bull CBBC Sep 2021 D | 40,000,000 | 2020/10/09 | 2021/09/17 | 10.00 |
| 53504 | Bank Vontobel – Geely Auto R Bull CBBC Sep 2021 E | 40,000,000 | 2020/10/09 | 2021/09/10 | 10.00 |
| 53225 | Bank Vontobel – HSI N Bull CBBC Mar 2023 I | 40,000,000 | 2020/10/09 | 2023/03/30 | 10.00 |
| 53078 | Bank Vontobel – HSI R Bull CBBC Mar 2023 E | 80,000,000 | 2020/10/09 | 2023/03/30 | 20.00 |
| 53084 | Bank Vontobel – HSI R Bull CBBC Mar 2023 F | 80,000,000 | 2020/10/09 | 2023/03/30 | 20.00 |
| 52874 | Bank Vontobel – HSI R Bear CBBC Mar 2021 Q | 80,000,000 | 2020/10/09 | 2021/03/30 | 20.00 |
| 53049 | Bank Vontobel – HSI R Bear CBBC Mar 2021 R | 80,000,000 | 2020/10/09 | 2021/03/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53351 | Bank Vontobel – Meituan Dianping R Bull CBBC Jun 2021 D | 60,000,000 | 2020/10/09 | 2021/06/25 | 15.00 |
| 53436 | Bank Vontobel – Meituan Dianping R Bull CBBC Jul 2021 H | 60,000,000 | 2020/10/09 | 2021/07/02 | 15.00 |
| 53479 | Bank Vontobel – SMIC R Bull CBBC Jul 2021 A | 40,000,000 | 2020/10/09 | 2021/07/02 | 10.00 |
| 53444 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 J | 40,000,000 | 2020/10/09 | 2021/07/02 | 10.00 |
| 54684 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 L | 60,000,000 | 2020/10/12 | 2021/01/29 | 15.00 |
| 54688 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 M | 60,000,000 | 2020/10/12 | 2021/01/29 | 15.00 |
| 57572 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 G | 150,000,000 | 2020/10/12 | 2023/02/27 | 37.50 |
| 57568 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 Z | 150,000,000 | 2020/10/12 | 2023/02/27 | 37.50 |
| 57574 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 R | 150,000,000 | 2020/10/12 | 2021/01/28 | 37.50 |
| 57589 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 S | 150,000,000 | 2020/10/12 | 2021/01/28 | 37.50 |
| 54620 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 V | 40,000,000 | 2020/10/12 | 2021/01/29 | 10.00 |
| 57592 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 E | 120,000,000 | 2020/10/12 | 2021/01/29 | 30.00 |
| 57483 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 K | 250,000,000 | 2020/10/12 | 2021/12/30 | 62.50 |
| 57468 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 U | 250,000,000 | 2020/10/12 | 2021/09/29 | 62.50 |
| 55365 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 L | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 55370 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 54958 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Nov 2023 Q | 200,000,000 | 2020/10/12 | 2023/11/29 | 50.00 |
| 54870 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 I | 250,000,000 | 2020/10/12 | 2021/03/30 | 62.50 |
| 55112 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 K | 250,000,000 | 2020/10/12 | 2021/03/30 | 62.50 |
| 55685 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 L | 240,000,000 | 2020/10/12 | 2021/03/30 | 60.00 |
| 57466 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 C | 250,000,000 | 2020/10/12 | 2021/06/29 | 62.50 |
| 57478 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 R | 250,000,000 | 2020/10/12 | 2021/11/29 | 62.50 |
| 57464 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 H | 250,000,000 | 2020/10/12 | 2021/11/29 | 62.50 |
| 57388 | Credit Suisse AG – Alibaba Group R Bull CBBC Jan 2021 V | 50,000,000 | 2020/10/12 | 2021/01/28 | 12.50 |
| 57373 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 G | 100,000,000 | 2020/10/12 | 2021/04/29 | 25.00 |
| 57411 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 57426 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 L | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 57457 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 M | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 57451 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 W | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 57312 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 57318 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/10/12 | 2021/03/30 | 50.00 |
| 57360 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/10/12 | 2021/03/30 | 50.00 |
| 57382 | Credit Suisse AG – Meituan Dianping R Bull CBBC Jan 2021 R | 50,000,000 | 2020/10/12 | 2021/01/28 | 12.50 |
| 57384 | Credit Suisse AG – Meituan Dianping R Bull CBBC Apr 2021 I | 100,000,000 | 2020/10/12 | 2021/04/29 | 25.00 |
| 57376 | Credit Suisse AG – Sunny Optical R Bull CBBC Apr 2021 A | 40,000,000 | 2020/10/12 | 2021/04/29 | 10.00 |
| 57389 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 E | 50,000,000 | 2020/10/12 | 2021/04/29 | 12.50 |
| 54002 | Guotai Junan – HSI R Bull CBBC Nov 2023 A | 250,000,000 | 2020/10/12 | 2023/11/29 | 62.50 |
| 54036 | Guotai Junan – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/10/12 | 2023/11/29 | 62.50 |
| 54037 | Guotai Junan – HSI R Bear CBBC Feb 2021 C | 250,000,000 | 2020/10/12 | 2021/02/25 | 62.50 |
| 58406 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 R | 60,000,000 | 2020/10/12 | 2021/07/30 | 15.00 |
| 58463 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 S | 100,000,000 | 2020/10/12 | 2021/07/30 | 25.00 |
| 58276 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 O | 160,000,000 | 2020/10/12 | 2023/11/29 | 40.00 |
| 58259 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 Q | 160,000,000 | 2020/10/12 | 2023/11/29 | 40.00 |
| 58275 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 R | 160,000,000 | 2020/10/12 | 2023/11/29 | 40.00 |
| 58282 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 S | 160,000,000 | 2020/10/12 | 2023/11/29 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 58200 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 B | 160,000,000 | 2020/10/12 | 2021/01/28 | 40.00 |
| 58203 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 I | 160,000,000 | 2020/10/12 | 2021/01/28 | 40.00 |
| 58207 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 A | 160,000,000 | 2020/10/12 | 2021/04/29 | 40.00 |
| 58216 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 B | 160,000,000 | 2020/10/12 | 2021/04/29 | 40.00 |
| 58221 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Y | 160,000,000 | 2020/10/12 | 2021/04/29 | 40.00 |
| 58293 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 U | 100,000,000 | 2020/10/12 | 2021/07/30 | 25.00 |
| 58297 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 V | 60,000,000 | 2020/10/12 | 2021/07/30 | 15.00 |
| 58322 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 V | 60,000,000 | 2020/10/12 | 2021/07/30 | 15.00 |
| 58402 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 W | 100,000,000 | 2020/10/12 | 2021/07/30 | 25.00 |
| 58283 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 T | 60,000,000 | 2020/10/12 | 2021/07/30 | 15.00 |
| 54739 | HK Bank – Alibaba Group R Bull CBBC Apr 2021 C | 100,000,000 | 2020/10/12 | 2021/04/26 | 25.00 |
| 54500 | HK Bank – HKEX R Bull CBBC May 2021 E | 100,000,000 | 2020/10/12 | 2021/05/17 | 25.00 |
| 54489 | HK Bank – HSI R Bull CBBC Mar 2023 L | 80,000,000 | 2020/10/12 | 2023/03/30 | 20.00 |
| 53998 | HK Bank – HSI R Bear CBBC Feb 2021 L | 120,000,000 | 2020/10/12 | 2021/02/25 | 30.00 |
| 54498 | HK Bank – Meituan Dianping R Bull CBBC Mar 2021 E | 100,000,000 | 2020/10/12 | 2021/03/29 | 25.00 |
| 54610 | HK Bank – Ping An R Bull CBBC Jul 2021 A | 60,000,000 | 2020/10/12 | 2021/07/19 | 15.00 |
| 54136 | HK Bank – Sunny Optical R Bull CBBC Apr 2021 B | 40,000,000 | 2020/10/12 | 2021/04/26 | 10.00 |
| 54555 | HK Bank – Tencent R Bull CBBC Apr 2021 E | 100,000,000 | 2020/10/12 | 2021/04/12 | 25.00 |
| 53883 | HK Bank – Tencent R Bear CBBC Mar 2021 G | 100,000,000 | 2020/10/12 | 2021/03/15 | 25.00 |
| 54597 | HK Bank – WuXi Bio R Bull CBBC Apr 2021 A | 40,000,000 | 2020/10/12 | 2021/04/26 | 10.00 |
| 54617 | HK Bank – Xiaomi R Bull CBBC Apr 2021 B | 40,000,000 | 2020/10/12 | 2021/04/26 | 10.00 |
| 57548 | Haitong Int'l Sec – Alibaba Group R Bull CBBC Feb 2021 G | 60,000,000 | 2020/10/12 | 2021/02/25 | 15.00 |
| 57550 | Haitong Int'l Sec – Alibaba Group R Bear CBBC Jan 2021 K | 60,000,000 | 2020/10/12 | 2021/01/25 | 16.74 |
| 57537 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 57538 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 P | 200,000,000 | 2020/10/12 | 2023/10/30 | 50.00 |
| 57493 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 57504 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 57555 | Haitong Int'l Sec – Meituan Dianping R Bull CBBC Feb 2021 N | 60,000,000 | 2020/10/12 | 2021/02/25 | 15.00 |
| 57561 | Haitong Int'l Sec – Tencent R Bull CBBC Jan 2021 P | 60,000,000 | 2020/10/12 | 2021/01/25 | 15.00 |
| 57567 | Haitong Int'l Sec – WuXi Bio R Bull CBBC Jan 2021 C | 60,000,000 | 2020/10/12 | 2021/01/25 | 15.00 |
| 57273 | J P Morgan SP BV – Alibaba Group R Bull CBBC May 2021 E | 200,000,000 | 2020/10/12 | 2021/05/21 | 50.00 |
| 57281 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 L | 200,000,000 | 2020/10/12 | 2023/11/29 | 50.00 |
| 57302 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 M | 200,000,000 | 2020/10/12 | 2023/11/29 | 50.00 |
| 57305 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 N | 200,000,000 | 2020/10/12 | 2023/11/29 | 50.00 |
| 57284 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 N | 200,000,000 | 2020/10/12 | 2023/12/28 | 50.00 |
| 57252 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 57271 | J P Morgan SP BV – Meituan Dianping R Bull CBBC May 2021 G | 200,000,000 | 2020/10/12 | 2021/05/21 | 50.00 |
| 57277 | J P Morgan SP BV – Tencent R Bull CBBC May 2021 I | 200,000,000 | 2020/10/12 | 2021/05/21 | 50.00 |
| 57171 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 D | 100,000,000 | 2020/10/12 | 2023/09/28 | 25.00 |
| 57250 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 O | 100,000,000 | 2020/10/12 | 2023/11/29 | 25.00 |
| 53722 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 H | 100,000,000 | 2020/10/12 | 2021/02/25 | 25.00 |
| 53881 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 I | 100,000,000 | 2020/10/12 | 2021/02/25 | 25.00 |
| 53505 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 A | 100,000,000 | 2020/10/12 | 2021/03/30 | 25.00 |
| 57967 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 B | 80,000,000 | 2020/10/12 | 2021/04/30 | 20.00 |
| 57973 | SG Issuer – Alibaba Group R Bull CBBC May 2021 A | 80,000,000 | 2020/10/12 | 2021/05/31 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 57987 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 E | 200,000,000 | 2020/10/12 | 2021/07/30 | 50.00 |
| 57682 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 N | 80,000,000 | 2020/10/12 | 2021/04/29 | 20.00 |
| 57692 | SG Issuer – Alibaba Group R Bear CBBC May 2021 J | 80,000,000 | 2020/10/12 | 2021/05/28 | 20.00 |
| 57820 | SG Issuer – Ali Health R Bull CBBC Apr 2021 B | 100,000,000 | 2020/10/12 | 2021/04/30 | 25.00 |
| 57703 | SG Issuer – China Life R Bull CBBC Feb 2021 J | 40,000,000 | 2020/10/12 | 2021/02/26 | 10.00 |
| 57988 | SG Issuer – HKEX R Bull CBBC May 2021 K | 50,000,000 | 2020/10/12 | 2021/05/31 | 12.50 |
| 57708 | SG Issuer – HSBC R Bear CBBC Jun 2021 E | 100,000,000 | 2020/10/12 | 2021/06/30 | 25.00 |
| 57919 | SG Issuer – HSI R Bull CBBC Oct 2023 E | 250,000,000 | 2020/10/12 | 2023/10/30 | 62.50 |
| 57936 | SG Issuer – HSI R Bull CBBC Oct 2023 T | 250,000,000 | 2020/10/12 | 2023/10/30 | 62.50 |
| 57937 | SG Issuer – HSI R Bull CBBC Dec 2023 K | 250,000,000 | 2020/10/12 | 2023/12/28 | 62.50 |
| 57939 | SG Issuer – HSI R Bull CBBC Dec 2023 M | 250,000,000 | 2020/10/12 | 2023/12/28 | 62.50 |
| 57789 | SG Issuer – HSI R Bear CBBC Jan 2021 H | 250,000,000 | 2020/10/12 | 2021/01/28 | 62.50 |
| 57844 | SG Issuer – HSI R Bear CBBC Feb 2021 I | 250,000,000 | 2020/10/12 | 2021/02/25 | 62.50 |
| 57860 | SG Issuer – HSI R Bear CBBC Mar 2021 A | 250,000,000 | 2020/10/12 | 2021/03/30 | 62.50 |
| 57874 | SG Issuer – HSI R Bear CBBC Mar 2021 B | 250,000,000 | 2020/10/12 | 2021/03/30 | 62.50 |
| 57809 | SG Issuer – HSI R Bear CBBC Apr 2021 G | 250,000,000 | 2020/10/12 | 2021/04/29 | 62.50 |
| 57906 | SG Issuer – HSI R Bear CBBC Apr 2021 H | 250,000,000 | 2020/10/12 | 2021/04/29 | 62.50 |
| 57992 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 L | 80,000,000 | 2020/10/12 | 2021/03/31 | 20.00 |
| 57993 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 U | 80,000,000 | 2020/10/12 | 2021/05/31 | 20.00 |
| 58003 | SG Issuer – Meituan Dianping R Bull CBBC Jul 2021 G | 200,000,000 | 2020/10/12 | 2021/07/30 | 50.00 |
| 57821 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 B | 80,000,000 | 2020/10/12 | 2021/04/29 | 20.00 |
| 57735 | SG Issuer – Meituan Dianping R Bear CBBC May 2021 R | 80,000,000 | 2020/10/12 | 2021/05/28 | 20.00 |
| 57761 | SG Issuer – Meituan Dianping R Bear CBBC Jun 2021 D | 80,000,000 | 2020/10/12 | 2021/06/29 | 20.00 |
| 57762 | SG Issuer – SMIC R Bear CBBC May 2021 B | 120,000,000 | 2020/10/12 | 2021/05/31 | 30.00 |
| 57839 | SG Issuer – Sunny Optical R Bull CBBC Feb 2021 G | 60,000,000 | 2020/10/12 | 2021/02/26 | 15.00 |
| 58023 | SG Issuer – Tencent R Bull CBBC Mar 2021 I | 80,000,000 | 2020/10/12 | 2021/03/31 | 20.00 |
| 58081 | SG Issuer – Tencent R Bull CBBC Apr 2021 H | 80,000,000 | 2020/10/12 | 2021/04/30 | 20.00 |
| 58118 | SG Issuer – Tencent R Bull CBBC Jul 2021 G | 200,000,000 | 2020/10/12 | 2021/07/30 | 50.00 |
| 57777 | SG Issuer – Tencent R Bear CBBC May 2021 R | 80,000,000 | 2020/10/12 | 2021/05/28 | 20.00 |
| 57788 | SG Issuer – Xiaomi R Bull CBBC Feb 2021 R | 60,000,000 | 2020/10/12 | 2021/02/26 | 15.00 |
| 56956 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 M | 80,000,000 | 2020/10/12 | 2021/04/13 | 20.00 |
| 57059 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 N | 80,000,000 | 2020/10/12 | 2021/04/12 | 20.00 |
| 56408 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 W | 80,000,000 | 2020/10/12 | 2021/03/08 | 20.00 |
| 57145 | UBS AG – HSI R Bull CBBC Nov 2022 N | 200,000,000 | 2020/10/12 | 2022/11/29 | 50.00 |
| 57164 | UBS AG – HSI R Bull CBBC Nov 2022 X | 200,000,000 | 2020/10/12 | 2022/11/29 | 50.00 |
| 57168 | UBS AG – HSI R Bear CBBC Jan 2021 K | 200,000,000 | 2020/10/12 | 2021/01/28 | 50.00 |
| 56796 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 56845 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 56825 | UBS AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/10/12 | 2021/02/25 | 50.00 |
| 57083 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 K | 50,000,000 | 2020/10/12 | 2021/04/14 | 12.50 |
| 57084 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 L | 50,000,000 | 2020/10/12 | 2021/04/07 | 12.50 |
| 56655 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 S | 50,000,000 | 2020/10/12 | 2021/03/08 | 12.50 |
| 56795 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 L | 50,000,000 | 2020/10/12 | 2021/04/20 | 12.50 |
| 57133 | UBS AG – PA GoodDoctor R Bull CBBC Mar 2021 B | 30,000,000 | 2020/10/12 | 2021/03/03 | 10.20 |
| 56892 | UBS AG – Tencent R Bull CBBC Apr 2021 M | 40,000,000 | 2020/10/12 | 2021/04/12 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56951 | UBS AG – Tencent R Bull CBBC Apr 2021 U | 40,000,000 | 2020/10/12 | 2021/04/13 | 10.00 |
| 56098 | UBS AG – Tencent R Bear CBBC Mar 2021 F | 40,000,000 | 2020/10/12 | 2021/03/08 | 10.00 |
| 57116 | UBS AG – Xiaomi R Bull CBBC Apr 2021 H | 60,000,000 | 2020/10/12 | 2021/04/12 | 15.00 |
| 56568 | UBS AG – Xiaomi R Bear CBBC Mar 2021 M | 60,000,000 | 2020/10/12 | 2021/03/05 | 15.00 |
| 57656 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 D | 40,000,000 | 2020/10/12 | 2021/07/02 | 10.00 |
| 57637 | Bank Vontobel – China Tower R Bull CBBC Sep 2021 A | 40,000,000 | 2020/10/12 | 2021/09/17 | 10.00 |
| 57603 | Bank Vontobel – HSI N Bull CBBC Mar 2023 J | 40,000,000 | 2020/10/12 | 2023/03/30 | 10.00 |
| 57623 | Bank Vontobel – HSI R Bull CBBC Mar 2023 O | 80,000,000 | 2020/10/12 | 2023/03/30 | 20.00 |
| 57640 | Bank Vontobel – HSI R Bull CBBC Mar 2023 V | 80,000,000 | 2020/10/12 | 2023/03/30 | 20.00 |
| 57642 | Bank Vontobel – HSI R Bull CBBC Mar 2023 Y | 80,000,000 | 2020/10/12 | 2023/03/30 | 20.00 |
| 57638 | Bank Vontobel – HSI R Bear CBBC Mar 2021 S | 80,000,000 | 2020/10/12 | 2021/03/30 | 20.00 |
| 57643 | Bank Vontobel – Meituan Dianping R Bull CBBC Sep 2021 A | 60,000,000 | 2020/10/12 | 2021/09/17 | 15.00 |
| 57633 | Bank Vontobel – Tencent R Bull CBBC Jun 2021 L | 40,000,000 | 2020/10/12 | 2021/06/18 | 10.00 |
| 59169 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 X | 60,000,000 | 2020/10/13 | 2021/01/29 | 15.00 |
| 58584 | BOCI Asia Ltd. – HKEX R Bear CBBC Jan 2021 L | 40,000,000 | 2020/10/13 | 2021/01/29 | 10.00 |
| 58506 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 J | 150,000,000 | 2020/10/13 | 2023/02/27 | 37.50 |
| 58549 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 T | 150,000,000 | 2020/10/13 | 2021/01/28 | 37.50 |
| 58671 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 W | 40,000,000 | 2020/10/13 | 2021/01/29 | 10.00 |
| 58654 | BOCI Asia Ltd. – Meituan Dianping R Bear CBBC Jan 2021 S | 40,000,000 | 2020/10/13 | 2021/01/29 | 10.00 |
| 58624 | BOCI Asia Ltd. – Sunny Optical R Bull CBBC Jan 2021 B | 40,000,000 | 2020/10/13 | 2021/01/29 | 10.00 |
| 58622 | BOCI Asia Ltd. – Tencent R Bear CBBC Jan 2021 H | 40,000,000 | 2020/10/13 | 2021/01/29 | 10.00 |
| 58818 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 M | 200,000,000 | 2020/10/13 | 2023/10/30 | 50.00 |
| 58821 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 N | 190,000,000 | 2020/10/13 | 2023/10/30 | 47.50 |
| 58699 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 A | 250,000,000 | 2020/10/13 | 2021/03/30 | 62.50 |
| 58685 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 N | 250,000,000 | 2020/10/13 | 2021/03/30 | 62.50 |
| 59168 | Credit Suisse AG – Alibaba Group R Bull CBBC Jan 2021 W | 50,000,000 | 2020/10/13 | 2021/01/28 | 12.50 |
| 59165 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 E | 100,000,000 | 2020/10/13 | 2021/04/29 | 25.00 |
| 58924 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 N | 200,000,000 | 2020/10/13 | 2023/11/29 | 50.00 |
| 58931 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 P | 200,000,000 | 2020/10/13 | 2023/11/29 | 50.00 |
| 58933 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 U | 200,000,000 | 2020/10/13 | 2023/11/29 | 50.00 |
| 58964 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 R | 200,000,000 | 2020/10/13 | 2023/12/28 | 50.00 |
| 59086 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 X | 200,000,000 | 2020/10/13 | 2021/01/28 | 50.00 |
| 59080 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/10/13 | 2021/02/25 | 50.00 |
| 59152 | Credit Suisse AG – Meituan Dianping R Bull CBBC Apr 2021 J | 100,000,000 | 2020/10/13 | 2021/04/29 | 25.00 |
| 59094 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 F | 100,000,000 | 2020/10/13 | 2021/04/29 | 25.00 |
| 59770 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 T | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 59779 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 U | 60,000,000 | 2020/10/13 | 2021/07/30 | 15.00 |
| 59767 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 K | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 59649 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 Q | 160,000,000 | 2020/10/13 | 2023/10/30 | 40.00 |
| 59592 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 T | 160,000,000 | 2020/10/13 | 2023/11/29 | 40.00 |
| 59604 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 W | 160,000,000 | 2020/10/13 | 2023/11/29 | 40.00 |
| 59643 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 Y | 160,000,000 | 2020/10/13 | 2023/11/29 | 40.00 |
| 59638 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 J | 160,000,000 | 2020/10/13 | 2021/01/28 | 40.00 |
| 59640 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 M | 160,000,000 | 2020/10/13 | 2021/01/28 | 40.00 |
| 59644 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Z | 160,000,000 | 2020/10/13 | 2021/04/29 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59720 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 W | 60,000,000 | 2020/10/13 | 2021/07/30 | 15.00 |
| 59727 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 X | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 59717 | Goldman Sachs SP (Asia) – Sunny Optical R Bull CBBC Jul21 A | 60,000,000 | 2020/10/13 | 2021/07/30 | 15.00 |
| 59730 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 X | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 59734 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/10/13 | 2021/07/30 | 15.00 |
| 59737 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 N | 80,000,000 | 2020/10/13 | 2021/07/30 | 20.00 |
| 59615 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 U | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 59626 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 V | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 59716 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Z | 60,000,000 | 2020/10/13 | 2021/07/30 | 15.00 |
| 59750 | Goldman Sachs SP (Asia) – Xiaomi R Bear CBBC Jul 2021 D | 100,000,000 | 2020/10/13 | 2021/07/30 | 25.00 |
| 58503 | HK Bank – Alibaba Group R Bull CBBC Apr 2021 D | 40,000,000 | 2020/10/13 | 2021/04/12 | 10.00 |
| 58469 | HK Bank – HSI R Bull CBBC Mar 2023 O | 120,000,000 | 2020/10/13 | 2023/03/30 | 30.00 |
| 58484 | HK Bank – HSI R Bear CBBC Feb 2021 M | 100,000,000 | 2020/10/13 | 2021/02/25 | 25.00 |
| 58493 | HK Bank – Meituan Dianping R Bull CBBC Mar 2021 F | 40,000,000 | 2020/10/13 | 2021/03/15 | 10.00 |
| 58486 | HK Bank – SHK Ppt R Bear CBBC May 2021 A | 40,000,000 | 2020/10/13 | 2021/05/03 | 10.00 |
| 58504 | HK Bank – Xiaomi R Bear CBBC Apr 2021 C | 40,000,000 | 2020/10/13 | 2021/04/12 | 10.00 |
| 59196 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/10/13 | 2023/09/28 | 50.00 |
| 59221 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 I | 200,000,000 | 2020/10/13 | 2023/11/29 | 50.00 |
| 59220 | Haitong Int'l Sec – HSI R Bear CBBC Jan 2021 Q | 200,000,000 | 2020/10/13 | 2021/01/28 | 50.00 |
| 59203 | Haitong Int'l Sec – Meituan Dianping R Bear CBBC Mar 2021 D | 60,000,000 | 2020/10/13 | 2021/03/25 | 15.00 |
| 59201 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jun 2021 C | 60,000,000 | 2020/10/13 | 2021/06/28 | 15.00 |
| 58826 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/10/13 | 2023/11/29 | 50.00 |
| 58830 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 P | 200,000,000 | 2020/10/13 | 2023/11/29 | 50.00 |
| 58824 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 O | 200,000,000 | 2020/10/13 | 2023/12/28 | 50.00 |
| 58836 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 U | 200,000,000 | 2020/10/13 | 2021/01/28 | 50.00 |
| 59378 | SG Issuer – AIA R Bull CBBC Mar 2021 C | 60,000,000 | 2020/10/13 | 2021/03/31 | 15.00 |
| 59259 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 Y | 80,000,000 | 2020/10/13 | 2021/03/31 | 20.00 |
| 59446 | SG Issuer – Alibaba Group R Bull CBBC May 2021 B | 80,000,000 | 2020/10/13 | 2021/05/31 | 20.00 |
| 59476 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 D | 80,000,000 | 2020/10/13 | 2021/06/29 | 20.00 |
| 59481 | SG Issuer – CC Bank R Bull CBBC Aug 2021 M | 100,000,000 | 2020/10/13 | 2021/08/30 | 25.00 |
| 59400 | SG Issuer – HKEX R Bear CBBC Feb 2021 A | 50,000,000 | 2020/10/13 | 2021/02/26 | 12.50 |
| 59319 | SG Issuer – HSI R Bull CBBC Nov 2023 Z | 250,000,000 | 2020/10/13 | 2023/11/29 | 62.50 |
| 59345 | SG Issuer – HSI R Bull CBBC Dec 2023 R | 250,000,000 | 2020/10/13 | 2023/12/28 | 62.50 |
| 59365 | SG Issuer – HSI R Bull CBBC Dec 2023 U | 250,000,000 | 2020/10/13 | 2023/12/28 | 62.50 |
| 59347 | SG Issuer – HSI R Bear CBBC Jan 2021 M | 250,000,000 | 2020/10/13 | 2021/01/28 | 62.50 |
| 59437 | SG Issuer – HSI R Bear CBBC Feb 2021 L | 250,000,000 | 2020/10/13 | 2021/02/25 | 62.50 |
| 59438 | SG Issuer – HSI R Bear CBBC Feb 2021 S | 250,000,000 | 2020/10/13 | 2021/02/25 | 62.50 |
| 59350 | SG Issuer – HSI R Bear CBBC Mar 2021 C | 250,000,000 | 2020/10/13 | 2021/03/30 | 62.50 |
| 59443 | SG Issuer – HSI R Bear CBBC Apr 2021 I | 500,000,000 | 2020/10/13 | 2021/04/29 | 125.00 |
| 59275 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 W | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 59506 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 V | 80,000,000 | 2020/10/13 | 2021/05/31 | 20.00 |
| 59295 | SG Issuer – Meituan Dianping R Bull CBBC Jun 2021 P | 80,000,000 | 2020/10/13 | 2021/06/30 | 20.00 |
| 59502 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 E | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 59401 | SG Issuer – Meituan Dianping R Bear CBBC May 2021 S | 80,000,000 | 2020/10/13 | 2021/05/28 | 20.00 |
| 59525 | SG Issuer – Meituan Dianping R Bear CBBC May 2021 T | 80,000,000 | 2020/10/13 | 2021/05/31 | 54.40 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59555 | SG Issuer – PA Gooddoctor R Bull CBBC Mar 2021 B | 40,000,000 | 2020/10/13 | 2021/03/31 | 10.00 |
| 59425 | SG Issuer – Sunny Optical R Bear CBBC Apr 2021 A | 60,000,000 | 2020/10/13 | 2021/04/29 | 15.00 |
| 59567 | SG Issuer – Tencent R Bull CBBC Mar 2021 H | 80,000,000 | 2020/10/13 | 2021/03/31 | 20.00 |
| 59309 | SG Issuer – Tencent R Bull CBBC May 2021 R | 80,000,000 | 2020/10/13 | 2021/05/31 | 20.00 |
| 59431 | SG Issuer – Tencent R Bear CBBC Apr 2021 G | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 59570 | SG Issuer – Tencent R Bear CBBC Apr 2021 J | 80,000,000 | 2020/10/13 | 2021/04/30 | 20.00 |
| 59582 | SG Issuer – WuXi Bio R Bull CBBC Mar 2021 A | 40,000,000 | 2020/10/13 | 2021/03/31 | 10.00 |
| 59310 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 M | 60,000,000 | 2020/10/13 | 2021/04/30 | 15.00 |
| 59314 | SG Issuer – Xiaomi R Bull CBBC May 2021 L | 60,000,000 | 2020/10/13 | 2021/05/31 | 15.00 |
| 59433 | SG Issuer – Xiaomi R Bear CBBC Mar 2021 I | 60,000,000 | 2020/10/13 | 2021/03/31 | 15.00 |
| 58775 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 N | 80,000,000 | 2020/10/13 | 2021/03/02 | 20.00 |
| 58752 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 X | 80,000,000 | 2020/10/13 | 2021/03/03 | 20.00 |
| 58708 | UBS AG – HSI R Bull CBBC Nov 2022 I | 200,000,000 | 2020/10/13 | 2022/11/29 | 50.00 |
| 58737 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/10/13 | 2021/02/25 | 50.00 |
| 58739 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/10/13 | 2021/02/25 | 50.00 |
| 58744 | UBS AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/10/13 | 2021/02/25 | 50.00 |
| 58814 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 X | 50,000,000 | 2020/10/13 | 2021/03/03 | 12.50 |
| 58806 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 T | 50,000,000 | 2020/10/13 | 2021/03/03 | 12.50 |
| 58782 | UBS AG – Tencent R Bull CBBC Mar 2021 V | 40,000,000 | 2020/10/13 | 2021/03/03 | 10.00 |
| 58792 | UBS AG – Tencent R Bear CBBC Mar 2021 H | 40,000,000 | 2020/10/13 | 2021/03/03 | 10.00 |
| 58719 | UBS AG – Xiaomi R Bull CBBC Apr 2021 I | 60,000,000 | 2020/10/13 | 2021/04/30 | 15.00 |
| 59236 | Bank Vontobel – Alibaba Group R Bear CBBC Sep 2021 A | 100,000,000 | 2020/10/13 | 2021/09/17 | 25.00 |
| 59241 | Bank Vontobel – HKEX R Bull CBBC Jul 2021 A | 40,000,000 | 2020/10/13 | 2021/07/02 | 10.00 |
| 59235 | Bank Vontobel – HSI R Bull CBBC Mar 2023 L | 80,000,000 | 2020/10/13 | 2023/03/30 | 20.00 |
| 59233 | Bank Vontobel – HSI R Bear CBBC Feb 2021 X | 80,000,000 | 2020/10/13 | 2021/02/25 | 20.00 |
| 59880 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jan 2021 Y | 60,000,000 | 2020/10/15 | 2021/01/29 | 15.00 |
| 59886 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jan 2021 R | 60,000,000 | 2020/10/15 | 2021/01/29 | 15.60 |
| 59852 | BOCI Asia Ltd. – HSCEI R Bull CBBC Sep 2021 P | 150,000,000 | 2020/10/15 | 2021/09/29 | 37.50 |
| 59860 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 B | 150,000,000 | 2020/10/15 | 2023/02/27 | 37.50 |
| 59861 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 U | 150,000,000 | 2020/10/15 | 2021/01/28 | 37.50 |
| 59888 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 K | 40,000,000 | 2020/10/15 | 2021/01/29 | 10.00 |
| 59887 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Jan 2021 X | 40,000,000 | 2020/10/15 | 2021/01/29 | 10.00 |
| 59862 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 F | 40,000,000 | 2020/10/15 | 2021/01/29 | 10.00 |
| 59865 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 Q | 120,000,000 | 2020/10/15 | 2021/01/29 | 30.00 |
| 59875 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jan 2021 P | 40,000,000 | 2020/10/15 | 2021/01/29 | 10.00 |
| 60508 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 P | 200,000,000 | 2020/10/15 | 2023/10/30 | 50.00 |
| 60503 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 M | 250,000,000 | 2020/10/15 | 2021/03/30 | 62.50 |
| 60000 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/10/15 | 2023/09/28 | 50.00 |
| 60017 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 W | 200,000,000 | 2020/10/15 | 2023/09/28 | 50.00 |
| 60019 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 N | 200,000,000 | 2020/10/15 | 2021/01/28 | 50.00 |
| 60020 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/15 | 2021/02/25 | 50.00 |
| 60592 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 V | 60,000,000 | 2020/10/15 | 2021/07/30 | 15.00 |
| 60593 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 W | 100,000,000 | 2020/10/15 | 2021/07/30 | 25.00 |
| 60564 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 A | 160,000,000 | 2020/10/15 | 2023/11/29 | 40.00 |
| 60622 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 D | 160,000,000 | 2020/10/15 | 2023/11/29 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60620 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 F | 160,000,000 | 2020/10/15 | 2021/01/28 | 40.00 |
| 60513 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 W | 160,000,000 | 2020/10/15 | 2021/01/28 | 40.00 |
| 60614 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 A | 60,000,000 | 2020/10/15 | 2021/07/30 | 15.00 |
| 60539 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/10/15 | 2021/07/30 | 15.00 |
| 60551 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 Z | 100,000,000 | 2020/10/15 | 2021/07/30 | 25.00 |
| 60558 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 Z | 60,000,000 | 2020/10/15 | 2021/07/30 | 15.00 |
| 60537 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 D | 60,000,000 | 2020/10/15 | 2021/07/30 | 15.00 |
| 60606 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 X | 100,000,000 | 2020/10/15 | 2021/07/30 | 25.00 |
| 59807 | HK Bank – Alibaba Group R Bull CBBC May 2021 B | 40,000,000 | 2020/10/15 | 2021/05/03 | 10.00 |
| 59798 | HK Bank – HSI R Bull CBBC Mar 2023 K | 120,000,000 | 2020/10/15 | 2023/03/30 | 30.00 |
| 59784 | HK Bank – HSI R Bear CBBC Feb 2021 N | 80,000,000 | 2020/10/15 | 2021/02/25 | 20.00 |
| 59809 | HK Bank – HSI R Bear CBBC Mar 2021 A | 120,000,000 | 2020/10/15 | 2021/03/30 | 30.00 |
| 59824 | HK Bank – HSI R Bear CBBC Mar 2021 B | 120,000,000 | 2020/10/15 | 2021/03/30 | 30.00 |
| 59794 | HK Bank – Meituan Dianping R Bull CBBC Apr 2021 F | 40,000,000 | 2020/10/15 | 2021/04/26 | 10.00 |
| 59797 | HK Bank – Meituan Dianping R Bear CBBC Apr 2021 D | 100,000,000 | 2020/10/15 | 2021/04/12 | 25.00 |
| 59799 | HK Bank – Tencent R Bull CBBC Mar 2021 M | 40,000,000 | 2020/10/15 | 2021/03/15 | 10.00 |
| 59789 | HK Bank – Tencent R Bear CBBC Apr 2021 E | 100,000,000 | 2020/10/15 | 2021/04/19 | 25.00 |
| 60073 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/10/15 | 2023/10/30 | 50.00 |
| 60079 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/10/15 | 2021/02/25 | 50.00 |
| 60080 | Haitong Int'l Sec – Tencent R Bull CBBC Feb 2021 P | 60,000,000 | 2020/10/15 | 2021/02/25 | 15.00 |
| 59902 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 H | 200,000,000 | 2020/10/15 | 2024/01/30 | 50.00 |
| 59901 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 E | 200,000,000 | 2020/10/15 | 2021/01/28 | 50.00 |
| 59903 | J P Morgan SP BV – Meituan Dianping R Bull CBBC May 2021 H | 80,000,000 | 2020/10/15 | 2021/05/21 | 20.00 |
| 59913 | J P Morgan SP BV – Tencent R Bull CBBC May 2021 J | 200,000,000 | 2020/10/15 | 2021/05/21 | 50.00 |
| 59897 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 V | 100,000,000 | 2020/10/15 | 2023/10/30 | 25.00 |
| 59850 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 B | 100,000,000 | 2020/10/15 | 2021/03/30 | 25.00 |
| 60233 | SG Issuer – Alibaba Group R Bull CBBC May 2021 E | 80,000,000 | 2020/10/15 | 2021/05/31 | 20.00 |
| 60262 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 J | 80,000,000 | 2020/10/15 | 2021/06/30 | 20.00 |
| 60464 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 K | 80,000,000 | 2020/10/15 | 2021/06/30 | 20.00 |
| 60179 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 O | 80,000,000 | 2020/10/15 | 2021/04/29 | 20.00 |
| 60355 | SG Issuer – HSI R Bull CBBC Oct 2023 W | 250,000,000 | 2020/10/15 | 2023/10/30 | 62.50 |
| 60406 | SG Issuer – HSI R Bull CBBC Oct 2023 Z | 250,000,000 | 2020/10/15 | 2023/10/30 | 62.50 |
| 60409 | SG Issuer – HSI R Bull CBBC Nov 2023 D | 250,000,000 | 2020/10/15 | 2023/11/29 | 62.50 |
| 60361 | SG Issuer – HSI R Bull CBBC Dec 2023 W | 250,000,000 | 2020/10/15 | 2023/12/28 | 62.50 |
| 60399 | SG Issuer – HSI R Bear CBBC Feb 2021 M | 250,000,000 | 2020/10/15 | 2021/02/25 | 62.50 |
| 60363 | SG Issuer – HSI R Bear CBBC Feb 2021 O | 250,000,000 | 2020/10/15 | 2021/02/25 | 62.50 |
| 60386 | SG Issuer – HSI R Bear CBBC Feb 2021 W | 250,000,000 | 2020/10/15 | 2021/02/25 | 62.50 |
| 60327 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 K | 80,000,000 | 2020/10/15 | 2021/03/31 | 20.00 |
| 60328 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 X | 80,000,000 | 2020/10/15 | 2021/04/30 | 20.00 |
| 60479 | SG Issuer – Meituan Dianping R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/10/15 | 2021/06/30 | 20.00 |
| 60215 | SG Issuer – Meituan Dianping R Bear CBBC Mar 2021 A | 80,000,000 | 2020/10/15 | 2021/03/31 | 20.00 |
| 60485 | SG Issuer – PA Gooddoctor R Bull CBBC Jun 2021 A | 40,000,000 | 2020/10/15 | 2021/06/30 | 13.00 |
| 60223 | SG Issuer – Ping An R Bear CBBC Apr 2021 A | 100,000,000 | 2020/10/15 | 2021/04/30 | 25.00 |
| 60338 | SG Issuer – Tencent R Bull CBBC Feb 2021 X | 80,000,000 | 2020/10/15 | 2021/02/26 | 20.00 |
| 60341 | SG Issuer – Tencent R Bull CBBC Apr 2021 J | 80,000,000 | 2020/10/15 | 2021/04/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60224 | SG Issuer – Tencent R Bear CBBC Mar 2021 D | 80,000,000 | 2020/10/15 | 2021/03/31 | 20.00 |
| 60354 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 N | 60,000,000 | 2020/10/15 | 2021/03/31 | 15.00 |
| 59982 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 O | 80,000,000 | 2020/10/15 | 2021/04/23 | 20.00 |
| 59918 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 Y | 80,000,000 | 2020/10/15 | 2021/03/10 | 20.00 |
| 59934 | UBS AG – HSI R Bull CBBC Nov 2023 B | 200,000,000 | 2020/10/15 | 2023/11/29 | 50.00 |
| 59941 | UBS AG – HSI R Bull CBBC Nov 2023 Q | 200,000,000 | 2020/10/15 | 2023/11/29 | 50.00 |
| 59959 | UBS AG – HSI R Bull CBBC Nov 2023 R | 200,000,000 | 2020/10/15 | 2023/11/29 | 50.00 |
| 59916 | UBS AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/10/15 | 2021/02/25 | 50.00 |
| 59997 | UBS AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/10/15 | 2021/02/25 | 50.00 |
| 59986 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 M | 50,000,000 | 2020/10/15 | 2021/04/23 | 12.50 |
| 59925 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 U | 50,000,000 | 2020/10/15 | 2021/03/29 | 12.50 |
| 59933 | UBS AG – Sunny Optical R Bull CBBC Mar 2021 D | 30,000,000 | 2020/10/15 | 2021/03/29 | 10.20 |
| 59979 | UBS AG – Tencent R Bull CBBC Apr 2021 V | 40,000,000 | 2020/10/15 | 2021/04/23 | 10.00 |
| 59923 | UBS AG – Tencent R Bear CBBC Feb 2021 P | 40,000,000 | 2020/10/15 | 2021/02/01 | 10.00 |
| 59991 | UBS AG – WuXi Bio R Bull CBBC Apr 2021 A | 30,000,000 | 2020/10/15 | 2021/04/23 | 10.20 |
| 59988 | UBS AG – Xiaomi R Bull CBBC Jun 2021 Q | 60,000,000 | 2020/10/15 | 2021/06/28 | 15.00 |
| 59932 | UBS AG – Xiaomi R Bear CBBC Apr 2021 K | 60,000,000 | 2020/10/15 | 2021/04/12 | 15.00 |
| 60098 | Bank Vontobel – HSI R Bull CBBC Mar 2023 M | 80,000,000 | 2020/10/15 | 2023/03/30 | 20.00 |
| 60105 | Bank Vontobel – HSI R Bull CBBC Mar 2023 U | 80,000,000 | 2020/10/15 | 2023/03/30 | 20.00 |
| 60175 | Bank Vontobel – Meituan Dianping R Bull CBBC Sep 2021 B | 60,000,000 | 2020/10/15 | 2021/09/24 | 15.00 |
| 60173 | Bank Vontobel – SUNAC R Bear CBBC Mar 2021 A | 40,000,000 | 2020/10/15 | 2021/03/26 | 10.00 |
| 60161 | Bank Vontobel – Sunny Optical R Bull CBBC Sep 2021 A | 40,000,000 | 2020/10/15 | 2021/09/17 | 10.00 |
| 60174 | Bank Vontobel – Tencent R Bull CBBC Jul 2021 D | 80,000,000 | 2020/10/15 | 2021/07/09 | 20.00 |
| 60120 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 K | 40,000,000 | 2020/10/15 | 2021/07/02 | 10.00 |
| 60954 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 D | 150,000,000 | 2020/10/16 | 2023/02/27 | 37.50 |
| 61031 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 E | 150,000,000 | 2020/10/16 | 2023/02/27 | 37.50 |
| 60721 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 V | 150,000,000 | 2020/10/16 | 2021/01/28 | 37.50 |
| 60726 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 W | 150,000,000 | 2020/10/16 | 2021/01/28 | 37.50 |
| 61036 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 X | 150,000,000 | 2020/10/16 | 2021/01/28 | 37.50 |
| 61049 | BOCI Asia Ltd. – Ping An R Bull CBBC Jan 2021 I | 100,000,000 | 2020/10/16 | 2021/01/29 | 25.00 |
| 61044 | BOCI Asia Ltd. – Tencent R Bull CBBC Jan 2021 G | 120,000,000 | 2020/10/16 | 2021/01/29 | 30.00 |
| 61048 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jan 2021 Q | 40,000,000 | 2020/10/16 | 2021/01/29 | 10.00 |
| 62462 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 L | 250,000,000 | 2020/10/16 | 2021/12/30 | 62.50 |
| 62640 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Nov 2021 E | 250,000,000 | 2020/10/16 | 2021/11/29 | 62.50 |
| 62537 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 G | 250,000,000 | 2020/10/16 | 2021/12/30 | 62.50 |
| 61220 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 61237 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 61242 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 61249 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 W | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 61256 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 61122 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 O | 250,000,000 | 2020/10/16 | 2021/03/30 | 62.50 |
| 61131 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 P | 250,000,000 | 2020/10/16 | 2021/03/30 | 62.50 |
| 61207 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Q | 250,000,000 | 2020/10/16 | 2021/03/30 | 62.50 |
| 61262 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 R | 250,000,000 | 2020/10/16 | 2021/03/30 | 62.50 |
| 62732 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 S | 240,000,000 | 2020/10/16 | 2021/03/30 | 60.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 62439 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 D | 250,000,000 | 2020/10/16 | 2021/06/29 | 62.50 |
| 62444 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 K | 250,000,000 | 2020/10/16 | 2021/11/29 | 62.50 |
| 62645 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 S | 250,000,000 | 2020/10/16 | 2021/11/29 | 62.50 |
| 62712 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 J | 250,000,000 | 2020/10/16 | 2021/12/30 | 62.50 |
| 62437 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 G | 250,000,000 | 2020/10/16 | 2021/11/29 | 62.50 |
| 62423 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 J | 250,000,000 | 2020/10/16 | 2021/11/29 | 62.50 |
| 62969 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 K | 100,000,000 | 2020/10/16 | 2021/07/29 | 25.00 |
| 63275 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 63277 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 63234 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 63296 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 V | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 62793 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 C | 200,000,000 | 2020/10/16 | 2021/01/28 | 50.00 |
| 62807 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 D | 200,000,000 | 2020/10/16 | 2021/01/28 | 50.00 |
| 62739 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 62825 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 62872 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/10/16 | 2021/03/30 | 50.00 |
| 63121 | Credit Suisse AG – Meituan Dianping R Bull CBBC Feb 2021 K | 50,000,000 | 2020/10/16 | 2021/02/25 | 12.50 |
| 63114 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 A | 80,000,000 | 2020/10/16 | 2021/07/29 | 20.00 |
| 63000 | Credit Suisse AG – SMIC R Bull CBBC May 2021 G | 50,000,000 | 2020/10/16 | 2021/05/28 | 12.50 |
| 63008 | Credit Suisse AG – SMIC R Bear CBBC Apr 2021 G | 50,000,000 | 2020/10/16 | 2021/04/29 | 12.50 |
| 63128 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 R | 50,000,000 | 2020/10/16 | 2021/02/25 | 12.50 |
| 63168 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 G | 50,000,000 | 2020/10/16 | 2021/04/29 | 12.50 |
| 63036 | Credit Suisse AG – WuXi Bio R Bull CBBC Feb 2021 C | 30,000,000 | 2020/10/16 | 2021/02/25 | 10.20 |
| 63021 | Credit Suisse AG – Xiaomi R Bull CBBC Apr 2021 I | 100,000,000 | 2020/10/16 | 2021/04/29 | 25.00 |
| 61115 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 F | 40,000,000 | 2020/10/16 | 2021/03/15 | 10.00 |
| 61112 | Bank of East Asia – Meituan Dianping R Bull CBBC Mar 2021 C | 40,000,000 | 2020/10/16 | 2021/03/15 | 10.00 |
| 61092 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 F | 30,000,000 | 2020/10/16 | 2021/03/15 | 10.50 |
| 61086 | Bank of East Asia – Xiaomi R Bull CBBC Mar 2021 D | 40,000,000 | 2020/10/16 | 2021/03/15 | 10.00 |
| 61069 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 C | 60,000,000 | 2020/10/16 | 2021/07/21 | 15.00 |
| 63653 | Guotai Junan – HSI R Bull CBBC Nov 2023 C | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 63799 | Guotai Junan – HSI R Bull CBBC Nov 2023 D | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 63803 | Guotai Junan – HSI R Bear CBBC Jan 2021 A | 250,000,000 | 2020/10/16 | 2021/01/28 | 62.50 |
| 63805 | Guotai Junan – HSI R Bear CBBC Jan 2021 B | 250,000,000 | 2020/10/16 | 2021/01/28 | 62.50 |
| 63855 | Guotai Junan – HSI R Bear CBBC Jan 2021 C | 200,000,000 | 2020/10/16 | 2021/01/28 | 50.00 |
| 64584 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 X | 100,000,000 | 2020/10/16 | 2021/07/30 | 25.00 |
| 64477 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 K | 160,000,000 | 2020/10/16 | 2023/10/30 | 40.00 |
| 64484 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 O | 160,000,000 | 2020/10/16 | 2023/10/30 | 40.00 |
| 64495 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 E | 160,000,000 | 2020/10/16 | 2023/11/29 | 40.00 |
| 64490 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 N | 160,000,000 | 2020/10/16 | 2023/11/29 | 40.00 |
| 64457 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 A | 160,000,000 | 2020/10/16 | 2021/01/28 | 40.00 |
| 64452 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 G | 160,000,000 | 2020/10/16 | 2021/01/28 | 40.00 |
| 64470 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 O | 160,000,000 | 2020/10/16 | 2021/04/29 | 40.00 |
| 64473 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 P | 160,000,000 | 2020/10/16 | 2021/04/29 | 40.00 |
| 64474 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 S | 160,000,000 | 2020/10/16 | 2021/04/29 | 40.00 |
| 64531 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/16 | 2021/07/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64574 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 C | 100,000,000 | 2020/10/16 | 2021/07/30 | 25.00 |
| 64512 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 C | 60,000,000 | 2020/10/16 | 2021/07/30 | 15.00 |
| 64585 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/16 | 2021/07/30 | 25.00 |
| 64537 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/16 | 2021/07/30 | 15.00 |
| 64557 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 G | 80,000,000 | 2020/10/16 | 2021/07/30 | 20.00 |
| 64504 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/10/16 | 2021/07/30 | 15.00 |
| 60913 | HK Bank – Geely Auto R Bull CBBC Apr 2021 B | 40,000,000 | 2020/10/16 | 2021/04/26 | 10.00 |
| 60906 | HK Bank – HKEX R Bull CBBC Jun 2021 B | 100,000,000 | 2020/10/16 | 2021/06/21 | 25.00 |
| 60866 | HK Bank – HSCEI R Bull CBBC Mar 2023 E | 50,000,000 | 2020/10/16 | 2023/03/30 | 12.50 |
| 60891 | HK Bank – HSI R Bull CBBC Mar 2023 E | 100,000,000 | 2020/10/16 | 2023/03/30 | 25.00 |
| 60855 | HK Bank – HSI R Bull CBBC Mar 2023 T | 80,000,000 | 2020/10/16 | 2023/03/30 | 20.00 |
| 60868 | HK Bank – HSI R Bear CBBC Mar 2021 C | 120,000,000 | 2020/10/16 | 2021/03/30 | 30.00 |
| 60790 | HK Bank – HSI R Bear CBBC Mar 2023 A | 120,000,000 | 2020/10/16 | 2023/03/30 | 30.00 |
| 60924 | HK Bank – ICBC R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/16 | 2021/07/26 | 15.00 |
| 60880 | HK Bank – Meituan Dianping R Bull CBBC May 2021 B | 100,000,000 | 2020/10/16 | 2021/05/24 | 25.00 |
| 60881 | HK Bank – Meituan Dianping R Bull CBBC May 2021 C | 100,000,000 | 2020/10/16 | 2021/05/17 | 25.00 |
| 60893 | HK Bank – SMIC R Bull CBBC Jul 2021 D | 40,000,000 | 2020/10/16 | 2021/07/19 | 10.00 |
| 60887 | HK Bank – Tencent R Bull CBBC Apr 2021 F | 40,000,000 | 2020/10/16 | 2021/04/22 | 10.00 |
| 60948 | HK Bank – Tencent R Bull CBBC Jun 2021 B | 100,000,000 | 2020/10/16 | 2021/06/28 | 25.00 |
| 60762 | HK Bank – Tencent R Bear CBBC Apr 2021 F | 100,000,000 | 2020/10/16 | 2021/04/12 | 25.00 |
| 60918 | HK Bank – Xiaomi R Bull CBBC Apr 2021 C | 40,000,000 | 2020/10/16 | 2021/04/26 | 10.00 |
| 63555 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 R | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 63529 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 J | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 63505 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 63528 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 63596 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 63605 | Haitong Int'l Sec – Tencent R Bull CBBC Feb 2021 Q | 60,000,000 | 2020/10/16 | 2021/02/25 | 15.00 |
| 63646 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/16 | 2021/07/30 | 25.00 |
| 61289 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 P | 200,000,000 | 2020/10/16 | 2023/12/28 | 50.00 |
| 61412 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 Q | 200,000,000 | 2020/10/16 | 2023/12/28 | 50.00 |
| 61466 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 R | 200,000,000 | 2020/10/16 | 2023/12/28 | 50.00 |
| 61506 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/10/16 | 2023/12/28 | 50.00 |
| 61335 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 I | 200,000,000 | 2020/10/16 | 2024/01/30 | 50.00 |
| 61460 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 J | 200,000,000 | 2020/10/16 | 2024/01/30 | 50.00 |
| 61290 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 L | 200,000,000 | 2020/10/16 | 2021/01/28 | 50.00 |
| 61373 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 R | 200,000,000 | 2020/10/16 | 2021/01/28 | 50.00 |
| 61383 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 V | 200,000,000 | 2020/10/16 | 2021/01/28 | 50.00 |
| 61471 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 61497 | J P Morgan SP BV – Tencent R Bull CBBC Jun 2021 B | 200,000,000 | 2020/10/16 | 2021/06/18 | 50.00 |
| 61483 | J P Morgan SP BV – Xiaomi R Bull CBBC Jun 2021 D | 200,000,000 | 2020/10/16 | 2021/06/18 | 50.00 |
| 61264 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 E | 100,000,000 | 2020/10/16 | 2023/09/28 | 25.00 |
| 61272 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 P | 100,000,000 | 2020/10/16 | 2023/11/29 | 25.00 |
| 61068 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 O | 100,000,000 | 2020/10/16 | 2021/01/28 | 25.00 |
| 60624 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 J | 100,000,000 | 2020/10/16 | 2021/02/25 | 25.00 |
| 64050 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 E | 80,000,000 | 2020/10/16 | 2021/04/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 63856 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 P | 80,000,000 | 2020/10/16 | 2021/04/29 | 20.00 |
| 64087 | SG Issuer – China Mobile R Bull CBBC Sep 2021 B | 100,000,000 | 2020/10/16 | 2021/09/29 | 25.00 |
| 64057 | SG Issuer – CC Bank R Bull CBBC Aug 2021 N | 100,000,000 | 2020/10/16 | 2021/08/30 | 25.00 |
| 64101 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 H | 150,000,000 | 2020/10/16 | 2021/07/30 | 37.50 |
| 64276 | SG Issuer – HSI R Bull CBBC Oct 2023 F | 250,000,000 | 2020/10/16 | 2023/10/30 | 62.50 |
| 64386 | SG Issuer – HSI R Bull CBBC Nov 2023 G | 250,000,000 | 2020/10/16 | 2023/11/29 | 62.50 |
| 64391 | SG Issuer – HSI R Bull CBBC Nov 2023 N | 250,000,000 | 2020/10/16 | 2023/11/29 | 62.50 |
| 64394 | SG Issuer – HSI R Bull CBBC Dec 2023 F | 250,000,000 | 2020/10/16 | 2023/12/28 | 62.50 |
| 64028 | SG Issuer – HSI R Bear CBBC Jan 2021 B | 250,000,000 | 2020/10/16 | 2021/01/28 | 62.50 |
| 63931 | SG Issuer – HSI R Bear CBBC Jan 2021 O | 250,000,000 | 2020/10/16 | 2021/01/28 | 62.50 |
| 63952 | SG Issuer – HSI R Bear CBBC Jan 2021 Q | 250,000,000 | 2020/10/16 | 2021/01/28 | 62.50 |
| 63981 | SG Issuer – HSI R Bear CBBC Jan 2021 R | 250,000,000 | 2020/10/16 | 2021/01/28 | 62.50 |
| 64048 | SG Issuer – HSI R Bear CBBC Feb 2021 A | 250,000,000 | 2020/10/16 | 2021/02/25 | 62.50 |
| 64266 | SG Issuer – HSI R Bear CBBC Mar 2021 D | 250,000,000 | 2020/10/16 | 2021/03/30 | 62.50 |
| 64114 | SG Issuer – ICBC R Bull CBBC Sep 2021 B | 100,000,000 | 2020/10/16 | 2021/09/29 | 25.00 |
| 64033 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/10/16 | 2021/04/30 | 20.00 |
| 64042 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 W | 80,000,000 | 2020/10/16 | 2021/05/31 | 20.00 |
| 64135 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 X | 80,000,000 | 2020/10/16 | 2021/05/31 | 20.00 |
| 63859 | SG Issuer – Meituan Dianping R Bear CBBC Jun 2021 E | 80,000,000 | 2020/10/16 | 2021/06/29 | 20.00 |
| 64160 | SG Issuer – Meituan Dianping R Bear CBBC Jun 2021 F | 200,000,000 | 2020/10/16 | 2021/06/30 | 50.00 |
| 64162 | SG Issuer – Ping An R Bull CBBC Oct 2021 E | 100,000,000 | 2020/10/16 | 2021/10/28 | 25.00 |
| 64045 | SG Issuer – SMIC R Bull CBBC May 2021 D | 120,000,000 | 2020/10/16 | 2021/05/31 | 30.00 |
| 64172 | SG Issuer – SMIC R Bull CBBC May 2021 E | 120,000,000 | 2020/10/16 | 2021/05/31 | 30.00 |
| 64175 | SG Issuer – Tencent R Bull CBBC Mar 2021 K | 80,000,000 | 2020/10/16 | 2021/03/31 | 20.00 |
| 64225 | SG Issuer – Tencent R Bull CBBC Apr 2021 M | 80,000,000 | 2020/10/16 | 2021/04/29 | 20.00 |
| 64238 | SG Issuer – Tencent R Bull CBBC May 2021 S | 80,000,000 | 2020/10/16 | 2021/05/31 | 20.00 |
| 64243 | SG Issuer – Tencent R Bull CBBC Jul 2021 H | 200,000,000 | 2020/10/16 | 2021/07/29 | 50.00 |
| 63868 | SG Issuer – Tencent R Bear CBBC Feb 2021 D | 80,000,000 | 2020/10/16 | 2021/02/26 | 20.00 |
| 63875 | SG Issuer – Tencent R Bear CBBC Jun 2021 K | 80,000,000 | 2020/10/16 | 2021/06/29 | 20.00 |
| 63878 | SG Issuer – Tencent R Bear CBBC Jun 2021 L | 80,000,000 | 2020/10/16 | 2021/06/30 | 20.00 |
| 64247 | SG Issuer – WuXi Bio R Bull CBBC May 2021 A | 120,000,000 | 2020/10/16 | 2021/05/31 | 30.00 |
| 64254 | SG Issuer – Xiaomi R Bull CBBC May 2021 M | 60,000,000 | 2020/10/16 | 2021/05/31 | 15.00 |
| 63926 | SG Issuer – Xiaomi R Bear CBBC Feb 2021 L | 60,000,000 | 2020/10/16 | 2021/02/26 | 15.00 |
| 61922 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 P | 80,000,000 | 2020/10/16 | 2021/04/13 | 20.00 |
| 61575 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 Z | 80,000,000 | 2020/10/16 | 2021/03/08 | 20.00 |
| 61911 | UBS AG – Bank of China R Bull CBBC Sep 2021 C | 100,000,000 | 2020/10/16 | 2021/09/20 | 25.00 |
| 61825 | UBS AG – CC Bank R Bull CBBC Aug 2021 A | 100,000,000 | 2020/10/16 | 2021/08/09 | 25.00 |
| 62298 | UBS AG – HSI R Bull CBBC Nov 2022 D | 200,000,000 | 2020/10/16 | 2022/11/29 | 50.00 |
| 62230 | UBS AG – HSI R Bull CBBC Nov 2022 O | 200,000,000 | 2020/10/16 | 2022/11/29 | 50.00 |
| 62269 | UBS AG – HSI R Bull CBBC Dec 2022 I | 200,000,000 | 2020/10/16 | 2022/12/29 | 50.00 |
| 62365 | UBS AG – HSI R Bull CBBC Oct 2023 Q | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 62387 | UBS AG – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/10/16 | 2023/10/30 | 50.00 |
| 62352 | UBS AG – HSI R Bull CBBC Nov 2023 C | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 62385 | UBS AG – HSI R Bull CBBC Nov 2023 D | 200,000,000 | 2020/10/16 | 2023/11/29 | 50.00 |
| 61800 | UBS AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61948 | UBS AG – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 61816 | UBS AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 61758 | UBS AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 61821 | UBS AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/10/16 | 2021/02/25 | 50.00 |
| 62026 | UBS AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/10/16 | 2021/03/30 | 50.00 |
| 61839 | UBS AG – ICBC R Bull CBBC Aug 2021 B | 100,000,000 | 2020/10/16 | 2021/08/09 | 25.00 |
| 62392 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 N | 50,000,000 | 2020/10/16 | 2021/04/12 | 12.50 |
| 61519 | UBS AG – Meituan Dianping R Bear CBBC Mar 2021 V | 50,000,000 | 2020/10/16 | 2021/03/08 | 12.50 |
| 61920 | UBS AG – Ping An R Bull CBBC Mar 2021 C | 100,000,000 | 2020/10/16 | 2021/03/15 | 25.00 |
| 61584 | UBS AG – SMIC R Bear CBBC Jun 2021 E | 80,000,000 | 2020/10/16 | 2021/06/22 | 20.00 |
| 62136 | UBS AG – Tencent R Bull CBBC Mar 2021 W | 40,000,000 | 2020/10/16 | 2021/03/02 | 10.00 |
| 62145 | UBS AG – Tencent R Bull CBBC Mar 2021 X | 40,000,000 | 2020/10/16 | 2021/03/05 | 10.00 |
| 62179 | UBS AG – Tencent R Bull CBBC Apr 2021 Y | 40,000,000 | 2020/10/16 | 2021/04/12 | 10.00 |
| 61510 | UBS AG – Tencent R Bear CBBC Mar 2021 I | 40,000,000 | 2020/10/16 | 2021/03/08 | 10.00 |
| 61944 | UBS AG – Xiaomi R Bull CBBC Apr 2021 J | 60,000,000 | 2020/10/16 | 2021/04/12 | 15.00 |
| 61512 | UBS AG – Xiaomi R Bear CBBC Mar 2021 N | 60,000,000 | 2020/10/16 | 2021/03/05 | 15.00 |
| 63384 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 E | 40,000,000 | 2020/10/16 | 2021/07/02 | 10.00 |
| 63433 | Bank Vontobel – China Mobile R Bull CBBC Nov 2021 A | 40,000,000 | 2020/10/16 | 2021/11/26 | 10.00 |
| 63442 | Bank Vontobel – China Mobile R Bull CBBC Nov 2021 B | 40,000,000 | 2020/10/16 | 2021/11/19 | 10.00 |
| 63478 | Bank Vontobel – HSI N Bull CBBC Mar 2023 G | 40,000,000 | 2020/10/16 | 2023/03/30 | 10.00 |
| 63314 | Bank Vontobel – HSI R Bull CBBC Mar 2023 R | 80,000,000 | 2020/10/16 | 2023/03/30 | 20.00 |
| 63486 | Bank Vontobel – HSI R Bull CBBC Mar 2023 V | 80,000,000 | 2020/10/16 | 2023/03/30 | 20.00 |
| 63305 | Bank Vontobel – HSI R Bear CBBC Feb 2021 J | 80,000,000 | 2020/10/16 | 2021/02/25 | 20.00 |
| 63494 | Bank Vontobel – SMIC R Bear CBBC Jun 2021 D | 40,000,000 | 2020/10/16 | 2021/06/04 | 10.00 |
| 63501 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 A | 80,000,000 | 2020/10/16 | 2021/11/19 | 20.00 |
| 63502 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 A | 40,000,000 | 2020/10/16 | 2021/11/19 | 10.00 |
| 64923 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Mar 2021 A | 60,000,000 | 2020/10/19 | 2021/03/31 | 15.00 |
| 64909 | BOCI Asia Ltd. – China Mobile R Bull CBBC Mar 2021 A | 40,000,000 | 2020/10/19 | 2021/03/31 | 10.00 |
| 64840 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 A | 40,000,000 | 2020/10/19 | 2021/02/26 | 10.00 |
| 64824 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 N | 150,000,000 | 2020/10/19 | 2023/02/27 | 37.50 |
| 64826 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 Y | 150,000,000 | 2020/10/19 | 2021/01/28 | 37.50 |
| 64854 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 A | 120,000,000 | 2020/10/19 | 2021/02/26 | 30.00 |
| 64856 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 B | 40,000,000 | 2020/10/19 | 2021/02/26 | 10.00 |
| 64859 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 A | 40,000,000 | 2020/10/19 | 2021/02/26 | 12.00 |
| 64897 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 B | 120,000,000 | 2020/10/19 | 2021/02/26 | 30.00 |
| 64951 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 H | 250,000,000 | 2020/10/19 | 2021/12/30 | 62.50 |
| 64956 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 Q | 250,000,000 | 2020/10/19 | 2021/09/29 | 62.50 |
| 65015 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2021 K | 250,000,000 | 2020/10/19 | 2021/07/29 | 62.50 |
| 65279 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 D | 200,000,000 | 2020/10/19 | 2023/10/30 | 50.00 |
| 65296 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 E | 190,000,000 | 2020/10/19 | 2023/10/30 | 47.50 |
| 65311 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 W | 240,000,000 | 2020/10/19 | 2021/02/25 | 60.00 |
| 64928 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 T | 250,000,000 | 2020/10/19 | 2021/03/30 | 62.50 |
| 64935 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 U | 250,000,000 | 2020/10/19 | 2021/03/30 | 62.50 |
| 64938 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 L | 250,000,000 | 2020/10/19 | 2021/11/29 | 62.50 |
| 65024 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 T | 250,000,000 | 2020/10/19 | 2021/11/29 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65039 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 K | 250,000,000 | 2020/10/19 | 2021/12/30 | 62.50 |
| 65091 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 U | 250,000,000 | 2020/10/19 | 2021/11/29 | 62.50 |
| 65074 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 C | 250,000,000 | 2020/10/19 | 2021/12/30 | 62.50 |
| 65622 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 N | 50,000,000 | 2020/10/19 | 2021/02/25 | 12.50 |
| 65626 | Credit Suisse AG – Alibaba Group R Bear CBBC Jun 2021 A | 100,000,000 | 2020/10/19 | 2021/06/29 | 25.00 |
| 65688 | Credit Suisse AG – Bank of China R Bull CBBC Oct 2021 A | 100,000,000 | 2020/10/19 | 2021/10/28 | 25.00 |
| 65659 | Credit Suisse AG – CC Bank R Bull CBBC Jul 2021 E | 100,000,000 | 2020/10/19 | 2021/07/29 | 25.00 |
| 65566 | Credit Suisse AG – HSBC R Bear CBBC Jul 2021 B | 100,000,000 | 2020/10/19 | 2021/07/29 | 25.00 |
| 65689 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 P | 200,000,000 | 2020/10/19 | 2023/10/30 | 50.00 |
| 65694 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 A | 200,000,000 | 2020/10/19 | 2023/11/29 | 50.00 |
| 65698 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 R | 200,000,000 | 2020/10/19 | 2023/11/29 | 50.00 |
| 65534 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 G | 200,000,000 | 2020/10/19 | 2021/01/28 | 50.00 |
| 65563 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 Z | 200,000,000 | 2020/10/19 | 2021/01/28 | 50.00 |
| 65668 | Credit Suisse AG – ICBC R Bull CBBC Jul 2021 D | 100,000,000 | 2020/10/19 | 2021/07/29 | 25.00 |
| 65600 | Credit Suisse AG – Meituan Dianping R Bull CBBC May 2021 B | 100,000,000 | 2020/10/19 | 2021/05/28 | 25.00 |
| 65647 | Credit Suisse AG – SMIC R Bull CBBC Jun 2021 E | 50,000,000 | 2020/10/19 | 2021/06/29 | 12.50 |
| 65580 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 C | 50,000,000 | 2020/10/19 | 2021/03/30 | 12.50 |
| 65588 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 H | 100,000,000 | 2020/10/19 | 2021/04/29 | 25.00 |
| 64766 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 G | 40,000,000 | 2020/10/19 | 2021/03/16 | 10.00 |
| 64781 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 G | 30,000,000 | 2020/10/19 | 2021/03/16 | 10.50 |
| 64787 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 H | 30,000,000 | 2020/10/19 | 2021/03/16 | 10.50 |
| 65801 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/10/19 | 2021/07/30 | 15.00 |
| 65780 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 L | 60,000,000 | 2020/10/19 | 2021/07/30 | 15.00 |
| 65701 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 T | 160,000,000 | 2020/10/19 | 2023/10/30 | 40.00 |
| 65705 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 V | 160,000,000 | 2020/10/19 | 2023/10/30 | 40.00 |
| 65760 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 W | 160,000,000 | 2020/10/19 | 2023/10/30 | 40.00 |
| 65728 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 S | 160,000,000 | 2020/10/19 | 2023/11/29 | 40.00 |
| 65700 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 B | 160,000,000 | 2020/10/19 | 2021/01/28 | 40.00 |
| 65744 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 D | 160,000,000 | 2020/10/19 | 2021/02/25 | 40.00 |
| 65782 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 D | 60,000,000 | 2020/10/19 | 2021/07/30 | 15.00 |
| 65799 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 G | 100,000,000 | 2020/10/19 | 2021/07/30 | 25.00 |
| 65811 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 C | 100,000,000 | 2020/10/19 | 2021/07/30 | 25.00 |
| 65783 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 D | 60,000,000 | 2020/10/19 | 2021/07/30 | 15.00 |
| 65814 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 F | 60,000,000 | 2020/10/19 | 2021/07/30 | 15.00 |
| 65816 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 G | 100,000,000 | 2020/10/19 | 2021/07/30 | 25.00 |
| 65888 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 O | 100,000,000 | 2020/10/19 | 2021/07/30 | 25.00 |
| 65778 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 K | 60,000,000 | 2020/10/19 | 2021/07/30 | 15.00 |
| 65791 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 M | 80,000,000 | 2020/10/19 | 2021/07/30 | 20.00 |
| 64615 | HK Bank – Alibaba Group R Bull CBBC May 2021 C | 40,000,000 | 2020/10/19 | 2021/05/17 | 10.00 |
| 64717 | HK Bank – Ali Health R Bull CBBC May 2021 A | 40,000,000 | 2020/10/19 | 2021/05/17 | 10.00 |
| 64606 | HK Bank – HKEX R Bull CBBC May 2021 F | 100,000,000 | 2020/10/19 | 2021/05/17 | 25.00 |
| 64613 | HK Bank – HKEX R Bear CBBC Jun 2021 B | 100,000,000 | 2020/10/19 | 2021/06/07 | 25.00 |
| 64586 | HK Bank – HSI R Bull CBBC Mar 2023 G | 120,000,000 | 2020/10/19 | 2023/03/30 | 30.00 |
| 64695 | HK Bank – HSI R Bull CBBC Mar 2023 Z | 120,000,000 | 2020/10/19 | 2023/03/30 | 30.00 |
| 64710 | HK Bank – HSI R Bear CBBC Feb 2021 O | 120,000,000 | 2020/10/19 | 2021/02/25 | 30.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64635 | HK Bank – Meituan Dianping R Bull CBBC May 2021 D | 100,000,000 | 2020/10/19 | 2021/05/31 | 25.00 |
| 64697 | HK Bank – Ping An R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/19 | 2021/07/05 | 15.00 |
| 64721 | HK Bank – Tencent R Bull CBBC Mar 2021 N | 40,000,000 | 2020/10/19 | 2021/03/01 | 10.00 |
| 64723 | HK Bank – Tencent R Bull CBBC Apr 2021 G | 100,000,000 | 2020/10/19 | 2021/04/15 | 25.00 |
| 64604 | HK Bank – Tencent R Bear CBBC Mar 2021 H | 100,000,000 | 2020/10/19 | 2021/03/08 | 25.00 |
| 64687 | HK Bank – Xiaomi R Bull CBBC May 2021 A | 80,000,000 | 2020/10/19 | 2021/05/03 | 20.00 |
| 65376 | Haitong Int'l Sec – Alibaba Group R Bull CBBC Mar 2021 F | 60,000,000 | 2020/10/19 | 2021/03/25 | 15.00 |
| 65416 | Haitong Int'l Sec – Alibaba Group R Bear CBBC Mar 2021 D | 60,000,000 | 2020/10/19 | 2021/03/25 | 15.00 |
| 65313 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 N | 200,000,000 | 2020/10/19 | 2023/09/28 | 50.00 |
| 65334 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 D | 200,000,000 | 2020/10/19 | 2023/11/29 | 50.00 |
| 65335 | Haitong Int'l Sec – HSI R Bear CBBC Jan 2021 T | 200,000,000 | 2020/10/19 | 2021/01/28 | 50.00 |
| 65398 | Haitong Int'l Sec – Meituan Dianping R Bull CBBC Mar 2021 F | 60,000,000 | 2020/10/19 | 2021/03/25 | 15.00 |
| 65405 | Haitong Int'l Sec – Meituan Dianping R Bear CBBC Mar 2021 E | 60,000,000 | 2020/10/19 | 2021/03/25 | 17.82 |
| 65347 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 P | 60,000,000 | 2020/10/19 | 2021/03/25 | 15.00 |
| 65350 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 Q | 60,000,000 | 2020/10/19 | 2021/03/25 | 15.00 |
| 65362 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 C | 60,000,000 | 2020/10/19 | 2021/03/25 | 18.12 |
| 65267 | J P Morgan SP BV – Alibaba Group R Bull CBBC Jun 2021 A | 80,000,000 | 2020/10/19 | 2021/06/18 | 20.00 |
| 65227 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/10/19 | 2023/12/28 | 50.00 |
| 65228 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 K | 200,000,000 | 2020/10/19 | 2024/01/30 | 50.00 |
| 65275 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 L | 200,000,000 | 2020/10/19 | 2024/01/30 | 50.00 |
| 65179 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 J | 200,000,000 | 2020/10/19 | 2021/01/28 | 50.00 |
| 65174 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/10/19 | 2021/02/25 | 50.00 |
| 65247 | J P Morgan SP BV – Tencent R Bull CBBC Jun 2021 C | 200,000,000 | 2020/10/19 | 2021/06/18 | 50.00 |
| 66182 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 C | 80,000,000 | 2020/10/19 | 2021/04/30 | 20.00 |
| 66050 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 Q | 80,000,000 | 2020/10/19 | 2021/04/29 | 45.60 |
| 65904 | SG Issuer – Alibaba Group R Bear CBBC May 2021 K | 60,000,000 | 2020/10/19 | 2021/05/28 | 15.00 |
| 66058 | SG Issuer – Ali Health R Bear CBBC Apr 2021 B | 100,000,000 | 2020/10/19 | 2021/04/30 | 25.00 |
| 66077 | SG Issuer – Ali Health R Bear CBBC Jun 2021 A | 100,000,000 | 2020/10/19 | 2021/06/30 | 25.00 |
| 65906 | SG Issuer – Geely Auto R Bear CBBC Jun 2021 B | 150,000,000 | 2020/10/19 | 2021/06/30 | 37.50 |
| 66198 | SG Issuer – Galaxy Ent R Bull CBBC Apr 2021 C | 100,000,000 | 2020/10/19 | 2021/04/30 | 25.00 |
| 66257 | SG Issuer – HSI R Bull CBBC Oct 2023 A | 250,000,000 | 2020/10/19 | 2023/10/30 | 62.50 |
| 66256 | SG Issuer – HSI R Bull CBBC Oct 2023 I | 250,000,000 | 2020/10/19 | 2023/10/30 | 62.50 |
| 66261 | SG Issuer – HSI R Bull CBBC Dec 2023 C | 250,000,000 | 2020/10/19 | 2023/12/28 | 62.50 |
| 65891 | SG Issuer – HSI R Bull CBBC Dec 2023 Z | 250,000,000 | 2020/10/19 | 2023/12/28 | 62.50 |
| 66139 | SG Issuer – HSI R Bear CBBC Feb 2021 J | 250,000,000 | 2020/10/19 | 2021/02/25 | 62.50 |
| 66145 | SG Issuer – HSI R Bear CBBC Mar 2021 F | 250,000,000 | 2020/10/19 | 2021/03/30 | 62.50 |
| 66146 | SG Issuer – HSI R Bear CBBC Apr 2021 J | 500,000,000 | 2020/10/19 | 2021/04/29 | 125.00 |
| 66084 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 Z | 80,000,000 | 2020/10/19 | 2021/04/30 | 20.00 |
| 65908 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 C | 100,000,000 | 2020/10/19 | 2021/04/29 | 25.00 |
| 66087 | SG Issuer – Meituan Dianping R Bear CBBC Jun 2021 G | 80,000,000 | 2020/10/19 | 2021/06/30 | 20.00 |
| 66097 | SG Issuer – Meituan Dianping R Bear CBBC Jul 2021 B | 80,000,000 | 2020/10/19 | 2021/07/30 | 26.40 |
| 66104 | SG Issuer – Ping An R Bull CBBC Oct 2021 F | 100,000,000 | 2020/10/19 | 2021/10/29 | 25.00 |
| 65938 | SG Issuer – SMIC R Bull CBBC Jun 2021 F | 120,000,000 | 2020/10/19 | 2021/06/30 | 30.00 |
| 66205 | SG Issuer – Tencent R Bull CBBC Feb 2021 E | 80,000,000 | 2020/10/19 | 2021/02/26 | 20.00 |
| 66206 | SG Issuer – Tencent R Bull CBBC Mar 2021 M | 80,000,000 | 2020/10/19 | 2021/03/31 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66212 | SG Issuer – Tencent R Bull CBBC Apr 2021 N | 80,000,000 | 2020/10/19 | 2021/04/30 | 20.00 |
| 66227 | SG Issuer – Tencent R Bull CBBC Jul 2021 I | 200,000,000 | 2020/10/19 | 2021/07/29 | 50.00 |
| 65970 | SG Issuer – Tencent R Bear CBBC Apr 2021 L | 80,000,000 | 2020/10/19 | 2021/04/30 | 20.00 |
| 66015 | SG Issuer – Tencent R Bear CBBC Apr 2021 O | 80,000,000 | 2020/10/19 | 2021/04/30 | 20.00 |
| 66106 | SG Issuer – Tencent R Bear CBBC May 2021 S | 80,000,000 | 2020/10/19 | 2021/05/28 | 32.40 |
| 66037 | SG Issuer – Tencent R Bear CBBC Jun 2021 M | 200,000,000 | 2020/10/19 | 2021/06/30 | 50.00 |
| 66110 | SG Issuer – Tencent R Bear CBBC Jun 2021 N | 80,000,000 | 2020/10/19 | 2021/06/29 | 48.00 |
| 66112 | SG Issuer – Tencent R Bear CBBC Jun 2021 O | 80,000,000 | 2020/10/19 | 2021/06/30 | 75.20 |
| 66123 | SG Issuer – Tencent R Bear CBBC Aug 2021 A | 200,000,000 | 2020/10/19 | 2021/08/31 | 50.00 |
| 66246 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 O | 60,000,000 | 2020/10/19 | 2021/03/31 | 15.00 |
| 65114 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/10/19 | 2021/04/19 | 20.00 |
| 65121 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 R | 80,000,000 | 2020/10/19 | 2021/04/15 | 20.00 |
| 65028 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 D | 80,000,000 | 2020/10/19 | 2021/04/12 | 20.00 |
| 65123 | UBS AG – Galaxy Ent R Bear CBBC Jun 2021 A | 60,000,000 | 2020/10/19 | 2021/06/21 | 15.00 |
| 65172 | UBS AG – HSI R Bull CBBC Nov 2023 H | 200,000,000 | 2020/10/19 | 2023/11/29 | 50.00 |
| 65171 | UBS AG – HSI R Bull CBBC Nov 2023 V | 200,000,000 | 2020/10/19 | 2023/11/29 | 50.00 |
| 65088 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/10/19 | 2021/02/25 | 50.00 |
| 65109 | UBS AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/10/19 | 2021/02/25 | 50.00 |
| 65127 | UBS AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/10/19 | 2021/02/25 | 50.00 |
| 65122 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 O | 50,000,000 | 2020/10/19 | 2021/04/15 | 12.50 |
| 65143 | UBS AG – Tencent R Bull CBBC Apr 2021 A | 200,000,000 | 2020/10/19 | 2021/04/12 | 50.00 |
| 65147 | UBS AG – Tencent R Bull CBBC Apr 2021 C | 40,000,000 | 2020/10/19 | 2021/04/19 | 10.00 |
| 65153 | UBS AG – Tencent R Bull CBBC Apr 2021 D | 200,000,000 | 2020/10/19 | 2021/04/26 | 50.00 |
| 65155 | UBS AG – Tencent R Bull CBBC Apr 2021 L | 40,000,000 | 2020/10/19 | 2021/04/12 | 10.00 |
| 65144 | UBS AG – Tencent R Bull CBBC Apr 2021 Z | 200,000,000 | 2020/10/19 | 2021/04/26 | 50.00 |
| 64992 | UBS AG – Tencent R Bear CBBC Mar 2021 K | 40,000,000 | 2020/10/19 | 2021/03/05 | 10.00 |
| 65020 | UBS AG – Tencent R Bear CBBC Mar 2021 L | 40,000,000 | 2020/10/19 | 2021/03/03 | 10.00 |
| 65156 | UBS AG – Xiaomi R Bull CBBC Apr 2021 K | 60,000,000 | 2020/10/19 | 2021/04/19 | 15.00 |
| 65170 | UBS AG – Xiaomi R Bull CBBC Apr 2021 L | 60,000,000 | 2020/10/19 | 2021/04/13 | 15.00 |
| 65061 | UBS AG – Xiaomi R Bear CBBC Apr 2021 L | 60,000,000 | 2020/10/19 | 2021/04/26 | 15.00 |
| 65513 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 F | 40,000,000 | 2020/10/19 | 2021/07/09 | 10.00 |
| 65464 | Bank Vontobel – HSI R Bull CBBC Dec 2022 E | 80,000,000 | 2020/10/19 | 2022/12/29 | 20.00 |
| 65447 | Bank Vontobel – HSI R Bull CBBC Dec 2022 Y | 80,000,000 | 2020/10/19 | 2022/12/29 | 20.00 |
| 65460 | Bank Vontobel – HSI R Bull CBBC Dec 2022 Z | 80,000,000 | 2020/10/19 | 2022/12/29 | 20.00 |
| 65419 | Bank Vontobel – HSI R Bear CBBC Feb 2021 W | 80,000,000 | 2020/10/19 | 2021/02/25 | 20.00 |
| 65502 | Bank Vontobel – Meituan Dianping R Bear CBBC Aug 2021 F | 200,000,000 | 2020/10/19 | 2021/08/27 | 50.00 |
| 65503 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 B | 80,000,000 | 2020/10/19 | 2021/11/26 | 20.00 |
| 65435 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 B | 40,000,000 | 2020/10/19 | 2021/11/26 | 10.00 |
| 66647 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 A | 60,000,000 | 2020/10/20 | 2021/02/26 | 15.00 |
| 66664 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 B | 60,000,000 | 2020/10/20 | 2021/02/26 | 15.00 |
| 66577 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 Y | 150,000,000 | 2020/10/20 | 2023/02/27 | 37.50 |
| 66585 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 Z | 150,000,000 | 2020/10/20 | 2021/01/28 | 37.50 |
| 66669 | BOCI Asia Ltd. – Sands China R Bull CBBC Mar 2021 A | 80,000,000 | 2020/10/20 | 2021/03/31 | 20.00 |
| 66587 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 C | 40,000,000 | 2020/10/20 | 2021/02/26 | 10.00 |
| 66591 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 C | 40,000,000 | 2020/10/20 | 2021/02/26 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66614 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 C | 40,000,000 | 2020/10/20 | 2021/02/26 | 10.00 |
| 66687 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 V | 190,000,000 | 2020/10/20 | 2023/10/30 | 47.50 |
| 66702 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 N | 250,000,000 | 2020/10/20 | 2021/02/25 | 62.50 |
| 66734 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 O | 250,000,000 | 2020/10/20 | 2021/02/25 | 62.50 |
| 66783 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 P | 250,000,000 | 2020/10/20 | 2021/02/25 | 62.50 |
| 66731 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 U | 250,000,000 | 2020/10/20 | 2021/02/25 | 62.50 |
| 66732 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 V | 250,000,000 | 2020/10/20 | 2021/02/25 | 62.50 |
| 67362 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 B | 50,000,000 | 2020/10/20 | 2021/05/20 | 12.50 |
| 67350 | Credit Suisse AG – HKEX R Bull CBBC May 2021 C | 100,000,000 | 2020/10/20 | 2021/05/28 | 25.00 |
| 67177 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 R | 200,000,000 | 2020/10/20 | 2023/10/30 | 50.00 |
| 67239 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/10/20 | 2023/10/30 | 50.00 |
| 67181 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 S | 200,000,000 | 2020/10/20 | 2023/11/29 | 50.00 |
| 67300 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 W | 200,000,000 | 2020/10/20 | 2023/11/29 | 50.00 |
| 67373 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 A | 200,000,000 | 2020/10/20 | 2021/01/28 | 50.00 |
| 67404 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 E | 200,000,000 | 2020/10/20 | 2021/01/28 | 50.00 |
| 67417 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 M | 200,000,000 | 2020/10/20 | 2021/01/28 | 50.00 |
| 67242 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/10/20 | 2021/02/25 | 50.00 |
| 67376 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/10/20 | 2021/02/25 | 50.00 |
| 67307 | Credit Suisse AG – Tencent R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/20 | 2021/07/12 | 25.00 |
| 67492 | Guotai Junan – HSI R Bear CBBC Feb 2021 D | 150,000,000 | 2020/10/20 | 2021/02/25 | 37.50 |
| 68462 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/20 | 2021/07/30 | 25.00 |
| 68583 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 C | 60,000,000 | 2020/10/20 | 2021/07/30 | 16.44 |
| 68609 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 D | 100,000,000 | 2020/10/20 | 2021/07/30 | 25.00 |
| 68632 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 E | 60,000,000 | 2020/10/20 | 2021/07/30 | 15.00 |
| 68428 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 Z | 60,000,000 | 2020/10/20 | 2021/07/30 | 15.00 |
| 68606 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 M | 60,000,000 | 2020/10/20 | 2021/07/30 | 15.00 |
| 68371 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 B | 160,000,000 | 2020/10/20 | 2023/10/30 | 40.00 |
| 68363 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 N | 160,000,000 | 2020/10/20 | 2023/10/30 | 40.00 |
| 68373 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 S | 160,000,000 | 2020/10/20 | 2023/10/30 | 40.00 |
| 68486 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 X | 160,000,000 | 2020/10/20 | 2023/10/30 | 40.00 |
| 68484 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 Y | 160,000,000 | 2020/10/20 | 2023/10/30 | 40.00 |
| 68669 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 I | 160,000,000 | 2020/10/20 | 2021/01/28 | 40.00 |
| 68671 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 L | 160,000,000 | 2020/10/20 | 2021/02/25 | 40.00 |
| 68698 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 T | 160,000,000 | 2020/10/20 | 2021/02/25 | 40.00 |
| 68707 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 T | 160,000,000 | 2020/10/20 | 2021/04/29 | 40.00 |
| 68529 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 E | 60,000,000 | 2020/10/20 | 2021/07/30 | 15.00 |
| 68374 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 H | 60,000,000 | 2020/10/20 | 2021/07/30 | 15.00 |
| 68659 | Goldman Sachs SP (Asia) – SMIC R Bull CBBC Jul 2021 C | 80,000,000 | 2020/10/20 | 2021/07/30 | 20.00 |
| 68634 | Goldman Sachs SP (Asia) – Sunny Optical R Bull CBBC Jul21 B | 80,000,000 | 2020/10/20 | 2021/07/30 | 20.00 |
| 68430 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 E | 100,000,000 | 2020/10/20 | 2021/07/30 | 25.00 |
| 68408 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 H | 60,000,000 | 2020/10/20 | 2021/07/30 | 15.00 |
| 68470 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 J | 100,000,000 | 2020/10/20 | 2021/07/30 | 25.00 |
| 68540 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 A | 60,000,000 | 2020/10/20 | 2021/08/30 | 15.00 |
| 68621 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 B | 80,000,000 | 2020/10/20 | 2021/08/30 | 20.00 |
| 68627 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 C | 80,000,000 | 2020/10/20 | 2021/08/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 66312 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 A | 100,000,000 | 2020/10/20 | 2021/07/26 | 25.00 |
| 66337 | HK Bank – HKEX R Bear CBBC Jul 2021 A | 100,000,000 | 2020/10/20 | 2021/07/05 | 25.00 |
| 66267 | HK Bank – HSI R Bear CBBC Mar 2023 B | 60,000,000 | 2020/10/20 | 2023/03/30 | 15.00 |
| 66317 | HK Bank – Sands China R Bear CBBC Jun 2021 A | 40,000,000 | 2020/10/20 | 2021/06/07 | 10.00 |
| 66270 | HK Bank – Tencent R Bear CBBC Apr 2021 G | 100,000,000 | 2020/10/20 | 2021/04/23 | 25.00 |
| 66439 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 U | 200,000,000 | 2020/10/20 | 2023/12/28 | 50.00 |
| 66463 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 M | 200,000,000 | 2020/10/20 | 2024/01/30 | 50.00 |
| 66474 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 N | 200,000,000 | 2020/10/20 | 2024/01/30 | 50.00 |
| 66516 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 I | 200,000,000 | 2020/10/20 | 2021/01/28 | 50.00 |
| 66442 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 N | 200,000,000 | 2020/10/20 | 2021/01/28 | 50.00 |
| 66503 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 Q | 200,000,000 | 2020/10/20 | 2021/01/28 | 50.00 |
| 66571 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/10/20 | 2021/02/25 | 50.00 |
| 66409 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 F | 108,000,000 | 2020/10/20 | 2023/09/28 | 27.00 |
| 66435 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 P | 100,000,000 | 2020/10/20 | 2021/01/28 | 25.00 |
| 66424 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 K | 100,000,000 | 2020/10/20 | 2021/02/25 | 25.00 |
| 67811 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 F | 80,000,000 | 2020/10/20 | 2021/04/30 | 20.00 |
| 67841 | SG Issuer – Alibaba Group R Bull CBBC May 2021 C | 80,000,000 | 2020/10/20 | 2021/05/31 | 20.00 |
| 67859 | SG Issuer – Alibaba Group R Bull CBBC May 2021 D | 80,000,000 | 2020/10/20 | 2021/05/31 | 20.00 |
| 68300 | SG Issuer – Alibaba Group R Bear CBBC May 2021 L | 80,000,000 | 2020/10/20 | 2021/05/28 | 20.00 |
| 67863 | SG Issuer – China Life R Bull CBBC Feb 2021 K | 40,000,000 | 2020/10/20 | 2021/02/26 | 10.00 |
| 67891 | SG Issuer – Galaxy Ent R Bull CBBC Apr 2021 D | 100,000,000 | 2020/10/20 | 2021/04/30 | 25.00 |
| 68129 | SG Issuer – Galaxy Ent R Bull CBBC Apr 2021 E | 100,000,000 | 2020/10/20 | 2021/04/30 | 25.00 |
| 68131 | SG Issuer – HKEX R Bull CBBC Apr 2021 D | 50,000,000 | 2020/10/20 | 2021/04/30 | 12.50 |
| 68291 | SG Issuer – HSI R Bull CBBC Sep 2023 Z | 250,000,000 | 2020/10/20 | 2023/09/28 | 62.50 |
| 67752 | SG Issuer – HSI R Bull CBBC Oct 2023 C | 250,000,000 | 2020/10/20 | 2023/10/30 | 62.50 |
| 68297 | SG Issuer – HSI R Bull CBBC Oct 2023 J | 250,000,000 | 2020/10/20 | 2023/10/30 | 62.50 |
| 67754 | SG Issuer – HSI R Bull CBBC Nov 2023 A | 250,000,000 | 2020/10/20 | 2023/11/29 | 62.50 |
| 68212 | SG Issuer – HSI R Bull CBBC Nov 2023 R | 250,000,000 | 2020/10/20 | 2023/11/29 | 62.50 |
| 67780 | SG Issuer – HSI R Bull CBBC Dec 2023 G | 250,000,000 | 2020/10/20 | 2023/12/28 | 62.50 |
| 68217 | SG Issuer – HSI R Bull CBBC Dec 2023 X | 250,000,000 | 2020/10/20 | 2023/12/28 | 62.50 |
| 68222 | SG Issuer – HSI R Bear CBBC Jan 2021 H | 250,000,000 | 2020/10/20 | 2021/01/28 | 62.50 |
| 68237 | SG Issuer – HSI R Bear CBBC Feb 2021 K | 250,000,000 | 2020/10/20 | 2021/02/25 | 62.50 |
| 68263 | SG Issuer – HSI R Bear CBBC Mar 2021 G | 250,000,000 | 2020/10/20 | 2021/03/30 | 62.50 |
| 68278 | SG Issuer – HSI R Bear CBBC Mar 2021 H | 250,000,000 | 2020/10/20 | 2021/03/30 | 62.50 |
| 68288 | SG Issuer – HSI R Bear CBBC Mar 2021 I | 250,000,000 | 2020/10/20 | 2021/03/30 | 62.50 |
| 68172 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 A | 80,000,000 | 2020/10/20 | 2021/04/30 | 20.00 |
| 67895 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 B | 80,000,000 | 2020/10/20 | 2021/04/30 | 20.00 |
| 67931 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 Y | 80,000,000 | 2020/10/20 | 2021/05/31 | 20.00 |
| 67937 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 Z | 80,000,000 | 2020/10/20 | 2021/05/31 | 20.00 |
| 68309 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 D | 80,000,000 | 2020/10/20 | 2021/04/29 | 20.00 |
| 68316 | SG Issuer – Sands China R Bull CBBC Oct 2021 A | 100,000,000 | 2020/10/20 | 2021/10/28 | 25.00 |
| 68317 | SG Issuer – Sands China R Bull CBBC Nov 2021 A | 100,000,000 | 2020/10/20 | 2021/11/29 | 25.00 |
| 67943 | SG Issuer – SMIC R Bear CBBC Jun 2021 C | 120,000,000 | 2020/10/20 | 2021/06/29 | 30.00 |
| 68063 | SG Issuer – Tencent R Bull CBBC Feb 2021 M | 80,000,000 | 2020/10/20 | 2021/02/26 | 23.60 |
| 68074 | SG Issuer – Tencent R Bull CBBC Apr 2021 S | 80,000,000 | 2020/10/20 | 2021/04/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68098 | SG Issuer – Tencent R Bull CBBC May 2021 T | 80,000,000 | 2020/10/20 | 2021/05/31 | 20.00 |
| 68114 | SG Issuer – Tencent R Bull CBBC May 2021 U | 80,000,000 | 2020/10/20 | 2021/05/31 | 20.00 |
| 68354 | SG Issuer – Tencent R Bear CBBC Mar 2021 H | 80,000,000 | 2020/10/20 | 2021/03/31 | 20.00 |
| 68122 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 N | 60,000,000 | 2020/10/20 | 2021/04/30 | 15.00 |
| 68362 | SG Issuer – Xiaomi R Bear CBBC Apr 2021 H | 60,000,000 | 2020/10/20 | 2021/04/29 | 15.00 |
| 66862 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 S | 80,000,000 | 2020/10/20 | 2021/04/12 | 20.00 |
| 66983 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 T | 200,000,000 | 2020/10/20 | 2021/04/23 | 50.00 |
| 66988 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 U | 200,000,000 | 2020/10/20 | 2021/04/26 | 50.00 |
| 67057 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 E | 80,000,000 | 2020/10/20 | 2021/04/09 | 20.00 |
| 67071 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 F | 200,000,000 | 2020/10/20 | 2021/04/26 | 50.00 |
| 67072 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 G | 200,000,000 | 2020/10/20 | 2021/04/13 | 50.00 |
| 66938 | UBS AG – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/10/20 | 2023/10/30 | 50.00 |
| 66945 | UBS AG – HSI R Bull CBBC Oct 2023 W | 200,000,000 | 2020/10/20 | 2023/10/30 | 50.00 |
| 67173 | UBS AG – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/10/20 | 2023/10/30 | 50.00 |
| 66996 | UBS AG – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/10/20 | 2023/11/29 | 50.00 |
| 67000 | UBS AG – HSI R Bull CBBC Nov 2023 S | 200,000,000 | 2020/10/20 | 2023/11/29 | 50.00 |
| 67148 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/20 | 2021/02/25 | 50.00 |
| 67151 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/10/20 | 2021/02/25 | 50.00 |
| 67154 | UBS AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/10/20 | 2021/02/25 | 50.00 |
| 66928 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 P | 50,000,000 | 2020/10/20 | 2021/04/13 | 12.50 |
| 66931 | UBS AG – Meituan Dianping R Bull CBBC Jun 2021 H | 150,000,000 | 2020/10/20 | 2021/06/21 | 37.50 |
| 67098 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 M | 50,000,000 | 2020/10/20 | 2021/04/08 | 12.50 |
| 67113 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 N | 150,000,000 | 2020/10/20 | 2021/04/26 | 37.50 |
| 66792 | UBS AG – Tencent R Bull CBBC Apr 2021 N | 40,000,000 | 2020/10/20 | 2021/04/07 | 10.00 |
| 66811 | UBS AG – Tencent R Bull CBBC Apr 2021 O | 200,000,000 | 2020/10/20 | 2021/04/20 | 50.00 |
| 66854 | UBS AG – Tencent R Bull CBBC Apr 2021 P | 200,000,000 | 2020/10/20 | 2021/04/27 | 50.00 |
| 67006 | UBS AG – Tencent R Bear CBBC Apr 2021 J | 40,000,000 | 2020/10/20 | 2021/04/07 | 10.00 |
| 67013 | UBS AG – Tencent R Bear CBBC Apr 2021 K | 200,000,000 | 2020/10/20 | 2021/04/13 | 50.00 |
| 67054 | UBS AG – Tencent R Bear CBBC Apr 2021 L | 200,000,000 | 2020/10/20 | 2021/04/09 | 50.00 |
| 66864 | UBS AG – Xiaomi R Bull CBBC Apr 2021 M | 60,000,000 | 2020/10/20 | 2021/04/07 | 15.00 |
| 66865 | UBS AG – Xiaomi R Bull CBBC Jun 2021 R | 200,000,000 | 2020/10/20 | 2021/06/07 | 50.00 |
| 67120 | UBS AG – Xiaomi R Bear CBBC Apr 2021 M | 60,000,000 | 2020/10/20 | 2021/04/08 | 15.00 |
| 67123 | UBS AG – Xiaomi R Bear CBBC Jun 2021 E | 200,000,000 | 2020/10/20 | 2021/06/07 | 50.00 |
| 67589 | Bank Vontobel – Alibaba Group R Bear CBBC Mar 2021 A | 40,000,000 | 2020/10/20 | 2021/03/26 | 10.00 |
| 67705 | Bank Vontobel – Geely Auto R Bull CBBC Sep 2021 F | 40,000,000 | 2020/10/20 | 2021/09/24 | 10.00 |
| 67650 | Bank Vontobel – Galaxy Ent R Bear CBBC Jun 2021 A | 40,000,000 | 2020/10/20 | 2021/06/04 | 10.00 |
| 67641 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 E | 40,000,000 | 2020/10/20 | 2021/09/24 | 10.00 |
| 67494 | Bank Vontobel – HSI R Bull CBBC Dec 2023 A | 80,000,000 | 2020/10/20 | 2023/12/28 | 20.00 |
| 67566 | Bank Vontobel – HSI R Bull CBBC Dec 2023 B | 80,000,000 | 2020/10/20 | 2023/12/28 | 20.00 |
| 67564 | Bank Vontobel – HSI R Bear CBBC Feb 2021 A | 80,000,000 | 2020/10/20 | 2021/02/25 | 20.00 |
| 67726 | Bank Vontobel – HSI R Bear CBBC Feb 2021 E | 80,000,000 | 2020/10/20 | 2021/02/25 | 20.00 |
| 67513 | Bank Vontobel – HSI R Bear CBBC Feb 2021 O | 80,000,000 | 2020/10/20 | 2021/02/25 | 20.00 |
| 67499 | Bank Vontobel – Meituan Dianping R Bull CBBC Sep 2021 C | 60,000,000 | 2020/10/20 | 2021/09/10 | 15.00 |
| 67719 | Bank Vontobel – Meituan Dianping R Bull CBBC Sep 2021 D | 60,000,000 | 2020/10/20 | 2021/09/24 | 15.00 |
| 67667 | Bank Vontobel – Sands China R Bear CBBC Jul 2021 A | 40,000,000 | 2020/10/20 | 2021/07/23 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67749 | Bank Vontobel – Tencent R Bear CBBC Mar 2021 J | 80,000,000 | 2020/10/20 | 2021/03/12 | 20.00 |
| 67502 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 C | 40,000,000 | 2020/10/20 | 2021/11/12 | 10.00 |
| 68936 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 A | 60,000,000 | 2020/10/21 | 2021/02/26 | 15.00 |
| 68881 | BOCI Asia Ltd. – China Life R Bull CBBC Feb 2021 B | 40,000,000 | 2020/10/21 | 2021/02/26 | 10.00 |
| 68830 | BOCI Asia Ltd. – HKEX R Bear CBBC Feb 2021 A | 40,000,000 | 2020/10/21 | 2021/02/26 | 10.00 |
| 68783 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 O | 150,000,000 | 2020/10/21 | 2023/02/27 | 37.50 |
| 68829 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 F | 150,000,000 | 2020/10/21 | 2021/01/28 | 37.50 |
| 68844 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 D | 40,000,000 | 2020/10/21 | 2021/02/26 | 10.00 |
| 68874 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 D | 120,000,000 | 2020/10/21 | 2021/02/26 | 30.00 |
| 68951 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/10/21 | 2023/10/30 | 50.00 |
| 68952 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 J | 200,000,000 | 2020/10/21 | 2023/10/30 | 50.00 |
| 69009 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 K | 190,000,000 | 2020/10/21 | 2023/10/30 | 47.50 |
| 69019 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 B | 250,000,000 | 2020/10/21 | 2021/02/25 | 62.50 |
| 69034 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 E | 240,000,000 | 2020/10/21 | 2021/02/25 | 60.00 |
| 69010 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 L | 250,000,000 | 2020/10/21 | 2021/02/25 | 62.50 |
| 69823 | Credit Suisse AG – HKEX R Bear CBBC Apr 2021 A | 100,000,000 | 2020/10/21 | 2021/04/29 | 25.00 |
| 69909 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/10/21 | 2023/10/30 | 50.00 |
| 69917 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/10/21 | 2023/10/30 | 50.00 |
| 69798 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 F | 200,000,000 | 2020/10/21 | 2021/01/28 | 50.00 |
| 69811 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69796 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69799 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69897 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 S | 50,000,000 | 2020/10/21 | 2021/02/25 | 12.50 |
| 69867 | Credit Suisse AG – WuXi Bio R Bull CBBC Apr 2021 A | 30,000,000 | 2020/10/21 | 2021/04/29 | 10.20 |
| 69836 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 L | 100,000,000 | 2020/10/21 | 2021/05/28 | 25.00 |
| 50071 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 A | 60,000,000 | 2020/10/21 | 2021/08/30 | 15.00 |
| 50051 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jun 2021 T | 60,000,000 | 2020/10/21 | 2021/06/30 | 15.00 |
| 50159 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 C | 160,000,000 | 2020/10/21 | 2023/10/30 | 40.00 |
| 50156 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 Z | 160,000,000 | 2020/10/21 | 2023/10/30 | 40.00 |
| 50044 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 K | 160,000,000 | 2020/10/21 | 2021/02/25 | 40.00 |
| 50045 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 N | 160,000,000 | 2020/10/21 | 2021/02/25 | 40.00 |
| 69983 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 O | 160,000,000 | 2020/10/21 | 2021/02/25 | 40.00 |
| 50164 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 G | 160,000,000 | 2020/10/21 | 2021/04/29 | 40.00 |
| 50127 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 U | 160,000,000 | 2020/10/21 | 2021/04/29 | 40.00 |
| 50052 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 I | 60,000,000 | 2020/10/21 | 2021/07/30 | 15.00 |
| 50048 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 B | 60,000,000 | 2020/10/21 | 2021/08/30 | 15.00 |
| 50050 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 C | 60,000,000 | 2020/10/21 | 2021/08/30 | 15.00 |
| 50069 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 W | 60,000,000 | 2020/10/21 | 2021/07/30 | 15.00 |
| 68742 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/21 | 2021/07/26 | 25.00 |
| 68777 | HK Bank – Bank of China R Bull CBBC Sep 2021 B | 50,000,000 | 2020/10/21 | 2021/09/27 | 12.50 |
| 68746 | HK Bank – CC Bank R Bull CBBC Sep 2021 A | 60,000,000 | 2020/10/21 | 2021/09/27 | 15.00 |
| 68745 | HK Bank – China Life R Bull CBBC Aug 2021 A | 100,000,000 | 2020/10/21 | 2021/08/30 | 25.00 |
| 68735 | HK Bank – HSI R Bull CBBC Mar 2023 D | 120,000,000 | 2020/10/21 | 2023/03/30 | 30.00 |
| 68739 | HK Bank – HSI R Bear CBBC Jun 2021 A | 120,000,000 | 2020/10/21 | 2021/06/29 | 30.00 |
| 69383 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 O | 200,000,000 | 2020/10/21 | 2024/01/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 69327 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 K | 200,000,000 | 2020/10/21 | 2021/01/28 | 50.00 |
| 69317 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 S | 200,000,000 | 2020/10/21 | 2021/01/28 | 50.00 |
| 69355 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69339 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69045 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 W | 100,000,000 | 2020/10/21 | 2023/10/30 | 25.00 |
| 69051 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 L | 100,000,000 | 2020/10/21 | 2021/02/25 | 25.00 |
| 69752 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 C | 80,000,000 | 2020/10/21 | 2021/03/31 | 20.00 |
| 69595 | SG Issuer – Alibaba Group R Bull CBBC Mar 2021 Z | 80,000,000 | 2020/10/21 | 2021/03/31 | 20.00 |
| 69385 | SG Issuer – Alibaba Group R Bear CBBC May 2021 M | 80,000,000 | 2020/10/21 | 2021/05/28 | 20.00 |
| 69600 | SG Issuer – CC Bank R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/21 | 2021/07/29 | 25.00 |
| 69423 | SG Issuer – Galaxy Ent R Bear CBBC May 2021 C | 100,000,000 | 2020/10/21 | 2021/05/31 | 25.00 |
| 69436 | SG Issuer – HSBC R Bear CBBC Jul 2021 B | 100,000,000 | 2020/10/21 | 2021/07/30 | 25.00 |
| 69672 | SG Issuer – HSI R Bull CBBC Nov 2023 K | 250,000,000 | 2020/10/21 | 2023/11/29 | 62.50 |
| 69696 | SG Issuer – HSI R Bull CBBC Nov 2023 U | 250,000,000 | 2020/10/21 | 2023/11/29 | 62.50 |
| 69730 | SG Issuer – HSI R Bull CBBC Nov 2023 X | 250,000,000 | 2020/10/21 | 2023/11/29 | 62.50 |
| 69477 | SG Issuer – HSI R Bear CBBC Feb 2021 I | 250,000,000 | 2020/10/21 | 2021/02/25 | 62.50 |
| 69514 | SG Issuer – HSI R Bear CBBC Feb 2021 P | 250,000,000 | 2020/10/21 | 2021/02/25 | 62.50 |
| 69516 | SG Issuer – HSI R Bear CBBC Feb 2021 T | 250,000,000 | 2020/10/21 | 2021/02/25 | 62.50 |
| 69581 | SG Issuer – HSI R Bear CBBC Mar 2021 O | 250,000,000 | 2020/10/21 | 2021/03/30 | 62.50 |
| 69593 | SG Issuer – HSI R Bear CBBC Apr 2021 K | 500,000,000 | 2020/10/21 | 2021/04/29 | 125.00 |
| 69756 | SG Issuer – ICBC R Bull CBBC Aug 2021 I | 100,000,000 | 2020/10/21 | 2021/08/30 | 25.00 |
| 69446 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 M | 80,000,000 | 2020/10/21 | 2021/03/31 | 20.00 |
| 69769 | SG Issuer – Tencent R Bull CBBC Mar 2021 N | 80,000,000 | 2020/10/21 | 2021/03/31 | 20.00 |
| 69469 | SG Issuer – Tencent R Bull CBBC May 2021 V | 80,000,000 | 2020/10/21 | 2021/05/31 | 20.00 |
| 69629 | SG Issuer – Tencent R Bear CBBC Apr 2021 M | 80,000,000 | 2020/10/21 | 2021/04/30 | 20.00 |
| 69660 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 O | 60,000,000 | 2020/10/21 | 2021/04/30 | 15.00 |
| 69209 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 V | 80,000,000 | 2020/10/21 | 2021/04/07 | 20.00 |
| 69210 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 W | 200,000,000 | 2020/10/21 | 2021/04/22 | 50.00 |
| 69108 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 B | 80,000,000 | 2020/10/21 | 2021/03/10 | 20.00 |
| 69116 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 H | 200,000,000 | 2020/10/21 | 2021/04/27 | 50.00 |
| 69129 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 I | 200,000,000 | 2020/10/21 | 2021/04/29 | 50.00 |
| 69304 | UBS AG – HKEX R Bear CBBC Apr 2021 F | 50,000,000 | 2020/10/21 | 2021/04/07 | 12.50 |
| 69240 | UBS AG – HSI R Bull CBBC Nov 2022 N | 200,000,000 | 2020/10/21 | 2022/11/29 | 50.00 |
| 69213 | UBS AG – HSI R Bull CBBC Nov 2023 T | 200,000,000 | 2020/10/21 | 2023/11/29 | 50.00 |
| 69278 | UBS AG – HSI R Bull CBBC Nov 2023 U | 200,000,000 | 2020/10/21 | 2023/11/29 | 50.00 |
| 69250 | UBS AG – HSI R Bull CBBC Nov 2023 W | 200,000,000 | 2020/10/21 | 2023/11/29 | 50.00 |
| 69146 | UBS AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69149 | UBS AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69150 | UBS AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69153 | UBS AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69156 | UBS AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/10/21 | 2021/02/25 | 50.00 |
| 69172 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 Q | 50,000,000 | 2020/10/21 | 2021/04/14 | 12.50 |
| 69094 | UBS AG – Meituan Dianping R Bear CBBC Jun 2021 E | 150,000,000 | 2020/10/21 | 2021/06/15 | 37.50 |
| 69187 | UBS AG – Tencent R Bull CBBC Mar 2021 Y | 40,000,000 | 2020/10/21 | 2021/03/02 | 10.00 |
| 69208 | UBS AG – Tencent R Bull CBBC Apr 2021 Q | 200,000,000 | 2020/10/21 | 2021/04/26 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69078 | UBS AG – Tencent R Bear CBBC Mar 2021 M | 40,000,000 | 2020/10/21 | 2021/03/08 | 10.00 |
| 69082 | UBS AG – Tencent R Bear CBBC Apr 2021 M | 200,000,000 | 2020/10/21 | 2021/04/12 | 50.00 |
| 69163 | UBS AG – Xiaomi R Bull CBBC Apr 2021 N | 60,000,000 | 2020/10/21 | 2021/04/14 | 15.00 |
| 69093 | UBS AG – Xiaomi R Bear CBBC Jun 2021 F | 200,000,000 | 2020/10/21 | 2021/06/15 | 50.00 |
| 68969 | Bank Vontobel – HSI N Bear CBBC Feb 2021 L | 40,000,000 | 2020/10/21 | 2021/02/25 | 10.00 |
| 68976 | Bank Vontobel – HSI R Bull CBBC Dec 2023 C | 80,000,000 | 2020/10/21 | 2023/12/28 | 20.00 |
| 69008 | Bank Vontobel – HSI R Bull CBBC Dec 2023 D | 80,000,000 | 2020/10/21 | 2023/12/28 | 20.00 |
| 68961 | Bank Vontobel – HSI R Bear CBBC Feb 2021 K | 80,000,000 | 2020/10/21 | 2021/02/25 | 20.00 |
| 68991 | Bank Vontobel – SMIC R Bull CBBC Nov 2021 A | 40,000,000 | 2020/10/21 | 2021/11/26 | 10.00 |
| 69004 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 C | 80,000,000 | 2020/10/21 | 2021/11/19 | 20.00 |
| 68977 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 D | 40,000,000 | 2020/10/21 | 2021/11/19 | 10.00 |
| 50409 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 B | 60,000,000 | 2020/10/22 | 2021/02/26 | 15.00 |
| 50343 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 A | 150,000,000 | 2020/10/22 | 2023/02/27 | 37.50 |
| 50327 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 S | 150,000,000 | 2020/10/22 | 2023/02/27 | 37.50 |
| 50375 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 G | 150,000,000 | 2020/10/22 | 2021/01/28 | 37.50 |
| 50380 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Feb 2021 C | 40,000,000 | 2020/10/22 | 2021/02/26 | 10.00 |
| 50772 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 M | 250,000,000 | 2020/10/22 | 2021/12/30 | 62.50 |
| 50777 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 C | 250,000,000 | 2020/10/22 | 2021/09/29 | 62.50 |
| 50440 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 A | 200,000,000 | 2020/10/22 | 2023/10/30 | 50.00 |
| 50432 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 Q | 200,000,000 | 2020/10/22 | 2023/10/30 | 50.00 |
| 50413 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 F | 250,000,000 | 2020/10/22 | 2021/02/25 | 62.50 |
| 50417 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 G | 250,000,000 | 2020/10/22 | 2021/02/25 | 62.50 |
| 50418 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 J | 250,000,000 | 2020/10/22 | 2021/02/25 | 62.50 |
| 50781 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 K | 250,000,000 | 2020/10/22 | 2021/02/25 | 62.50 |
| 50771 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 O | 50,000,000 | 2020/10/22 | 2021/02/25 | 12.50 |
| 50763 | Credit Suisse AG – Bank of China R Bull CBBC Oct 2021 B | 100,000,000 | 2020/10/22 | 2021/10/28 | 25.00 |
| 50740 | Credit Suisse AG – CC Bank R Bull CBBC Jul 2021 F | 100,000,000 | 2020/10/22 | 2021/07/29 | 25.00 |
| 50721 | Credit Suisse AG – Geely Auto R Bear CBBC Jun 2021 B | 100,000,000 | 2020/10/22 | 2021/06/29 | 25.00 |
| 50715 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 E | 100,000,000 | 2020/10/22 | 2021/09/29 | 25.00 |
| 50689 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/10/22 | 2023/11/29 | 50.00 |
| 50696 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/10/22 | 2023/12/28 | 50.00 |
| 50680 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 H | 200,000,000 | 2020/10/22 | 2021/01/28 | 50.00 |
| 50667 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50674 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50755 | Credit Suisse AG – ICBC R Bull CBBC Jul 2021 E | 100,000,000 | 2020/10/22 | 2021/07/29 | 25.00 |
| 50723 | Credit Suisse AG – SMIC R Bull CBBC May 2021 H | 50,000,000 | 2020/10/22 | 2021/05/28 | 12.50 |
| 51181 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 B | 60,000,000 | 2020/10/22 | 2021/08/30 | 15.00 |
| 51164 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Aug 2021 A | 80,000,000 | 2020/10/22 | 2021/08/30 | 20.00 |
| 51205 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 G | 160,000,000 | 2020/10/22 | 2023/10/30 | 40.00 |
| 51216 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 J | 160,000,000 | 2020/10/22 | 2023/10/30 | 40.00 |
| 51183 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 A | 160,000,000 | 2020/10/22 | 2023/11/29 | 40.00 |
| 51135 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 J | 160,000,000 | 2020/10/22 | 2021/01/28 | 40.00 |
| 51140 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 M | 160,000,000 | 2020/10/22 | 2021/01/28 | 40.00 |
| 51197 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 K | 160,000,000 | 2020/10/22 | 2021/04/29 | 40.00 |
| 51182 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Aug 2021 A | 80,000,000 | 2020/10/22 | 2021/08/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 51165 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 J | 60,000,000 | 2020/10/22 | 2021/07/30 | 15.00 |
| 51247 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Aug 2021 F | 80,000,000 | 2020/10/22 | 2021/08/30 | 20.00 |
| 51151 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 D | 60,000,000 | 2020/10/22 | 2021/08/30 | 15.00 |
| 51153 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 E | 60,000,000 | 2020/10/22 | 2021/08/30 | 15.00 |
| 51236 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 D | 60,000,000 | 2020/10/22 | 2021/08/30 | 15.00 |
| 51167 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 N | 60,000,000 | 2020/10/22 | 2021/07/30 | 15.00 |
| 50260 | HK Bank – AIA R Bear CBBC Apr 2021 B | 40,000,000 | 2020/10/22 | 2021/04/12 | 10.00 |
| 50167 | HK Bank – Alibaba Group R Bull CBBC Apr 2021 E | 100,000,000 | 2020/10/22 | 2021/04/26 | 25.00 |
| 50166 | HK Bank – HKEX R Bull CBBC Jun 2021 C | 100,000,000 | 2020/10/22 | 2021/06/07 | 25.00 |
| 50204 | HK Bank – HSI R Bull CBBC Mar 2023 H | 80,000,000 | 2020/10/22 | 2023/03/30 | 20.00 |
| 50232 | HK Bank – HSI R Bear CBBC Feb 2021 P | 100,000,000 | 2020/10/22 | 2021/02/25 | 25.00 |
| 50325 | HK Bank – ICBC R Bull CBBC Jul 2021 C | 60,000,000 | 2020/10/22 | 2021/07/19 | 15.00 |
| 50302 | HK Bank – Meituan Dianping R Bear CBBC Apr 2021 E | 100,000,000 | 2020/10/22 | 2021/04/26 | 25.00 |
| 50295 | HK Bank – Ping An R Bull CBBC Apr 2021 B | 60,000,000 | 2020/10/22 | 2021/04/12 | 15.00 |
| 50165 | HK Bank – Tencent R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/22 | 2021/07/05 | 25.00 |
| 50492 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 P | 200,000,000 | 2020/10/22 | 2024/01/30 | 50.00 |
| 50491 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 T | 200,000,000 | 2020/10/22 | 2021/01/28 | 50.00 |
| 50484 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50475 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 Q | 100,000,000 | 2020/10/22 | 2023/11/29 | 25.00 |
| 50469 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 Q | 100,000,000 | 2020/10/22 | 2021/01/28 | 25.00 |
| 50968 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 G | 80,000,000 | 2020/10/22 | 2021/04/30 | 20.00 |
| 50870 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 L | 80,000,000 | 2020/10/22 | 2021/06/30 | 20.00 |
| 50828 | SG Issuer – Alibaba Group R Bear CBBC Mar 2021 W | 80,000,000 | 2020/10/22 | 2021/03/31 | 20.00 |
| 51006 | SG Issuer – Galaxy Ent R Bull CBBC Oct 2021 A | 100,000,000 | 2020/10/22 | 2021/10/28 | 25.00 |
| 51134 | SG Issuer – HSI R Bull CBBC Nov 2023 H | 250,000,000 | 2020/10/22 | 2023/11/29 | 62.50 |
| 50957 | SG Issuer – HSI R Bull CBBC Dec 2023 I | 250,000,000 | 2020/10/22 | 2023/12/28 | 62.50 |
| 50962 | SG Issuer – HSI R Bull CBBC Dec 2023 T | 250,000,000 | 2020/10/22 | 2023/12/28 | 62.50 |
| 50910 | SG Issuer – HSI R Bear CBBC Jan 2021 A | 250,000,000 | 2020/10/22 | 2021/01/28 | 62.50 |
| 50908 | SG Issuer – HSI R Bear CBBC Jan 2021 Y | 250,000,000 | 2020/10/22 | 2021/01/28 | 62.50 |
| 50909 | SG Issuer – HSI R Bear CBBC Jan 2021 Z | 250,000,000 | 2020/10/22 | 2021/01/28 | 62.50 |
| 51125 | SG Issuer – HSI R Bear CBBC Mar 2021 N | 250,000,000 | 2020/10/22 | 2021/03/30 | 62.50 |
| 50873 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 N | 80,000,000 | 2020/10/22 | 2021/03/31 | 20.00 |
| 51063 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 C | 80,000,000 | 2020/10/22 | 2021/04/30 | 20.00 |
| 50934 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 B | 80,000,000 | 2020/10/22 | 2021/05/31 | 20.00 |
| 51054 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 F | 80,000,000 | 2020/10/22 | 2021/04/29 | 20.00 |
| 50936 | SG Issuer – Sands China R Bull CBBC Sep 2021 A | 100,000,000 | 2020/10/22 | 2021/09/30 | 25.00 |
| 50938 | SG Issuer – SMIC R Bull CBBC May 2021 F | 120,000,000 | 2020/10/22 | 2021/05/31 | 30.00 |
| 51094 | SG Issuer – Tencent R Bull CBBC Mar 2021 J | 80,000,000 | 2020/10/22 | 2021/03/31 | 20.00 |
| 51120 | SG Issuer – Tencent R Bull CBBC Apr 2021 R | 80,000,000 | 2020/10/22 | 2021/04/29 | 20.00 |
| 50947 | SG Issuer – Tencent R Bull CBBC May 2021 W | 80,000,000 | 2020/10/22 | 2021/05/28 | 20.00 |
| 50902 | SG Issuer – Tencent R Bear CBBC Mar 2021 I | 80,000,000 | 2020/10/22 | 2021/03/31 | 20.00 |
| 50954 | SG Issuer – Tencent R Bear CBBC May 2021 T | 80,000,000 | 2020/10/22 | 2021/05/31 | 20.00 |
| 50906 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 P | 60,000,000 | 2020/10/22 | 2021/03/31 | 15.00 |
| 50907 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 Q | 60,000,000 | 2020/10/22 | 2021/03/31 | 15.00 |
| 51121 | SG Issuer – Xiaomi R Bear CBBC Feb 2021 M | 60,000,000 | 2020/10/22 | 2021/02/26 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 50565 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 X | 80,000,000 | 2020/10/22 | 2021/04/19 | 20.00 |
| 50603 | UBS AG – CC Bank R Bull CBBC Jul 2021 B | 100,000,000 | 2020/10/22 | 2021/07/29 | 25.00 |
| 50601 | UBS AG – HKEX R Bull CBBC Feb 2021 P | 50,000,000 | 2020/10/22 | 2021/02/25 | 12.50 |
| 50517 | UBS AG – HSI R Bull CBBC Jun 2023 A | 200,000,000 | 2020/10/22 | 2023/06/29 | 50.00 |
| 50533 | UBS AG – HSI R Bull CBBC Jun 2023 B | 200,000,000 | 2020/10/22 | 2023/06/29 | 50.00 |
| 50512 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50548 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50564 | UBS AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50499 | UBS AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/10/22 | 2021/02/25 | 50.00 |
| 50593 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 R | 50,000,000 | 2020/10/22 | 2021/04/15 | 12.50 |
| 50597 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 O | 50,000,000 | 2020/10/22 | 2021/04/26 | 12.50 |
| 50592 | UBS AG – Tencent R Bull CBBC Apr 2021 S | 40,000,000 | 2020/10/22 | 2021/04/19 | 10.00 |
| 50515 | UBS AG – Tencent R Bear CBBC Mar 2021 O | 40,000,000 | 2020/10/22 | 2021/03/03 | 10.00 |
| 50513 | UBS AG – Xiaomi R Bull CBBC Apr 2021 O | 60,000,000 | 2020/10/22 | 2021/04/19 | 15.00 |
| 50595 | UBS AG – Xiaomi R Bear CBBC Apr 2021 N | 60,000,000 | 2020/10/22 | 2021/04/26 | 15.00 |
| 50666 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 G | 40,000,000 | 2020/10/22 | 2021/07/09 | 10.00 |
| 50633 | Bank Vontobel – CC Bank R Bull CBBC Sep 2021 A | 40,000,000 | 2020/10/22 | 2021/09/30 | 10.00 |
| 50623 | Bank Vontobel – China Life R Bull CBBC Nov 2021 A | 80,000,000 | 2020/10/22 | 2021/11/19 | 20.00 |
| 50653 | Bank Vontobel – HSCEI R Bull CBBC Dec 2022 A | 80,000,000 | 2020/10/22 | 2022/12/29 | 20.00 |
| 50654 | Bank Vontobel – HSCEI R Bull CBBC Dec 2022 B | 80,000,000 | 2020/10/22 | 2022/12/29 | 20.00 |
| 50644 | Bank Vontobel – HSCEI R Bear CBBC Mar 2021 A | 80,000,000 | 2020/10/22 | 2021/03/30 | 20.00 |
| 50652 | Bank Vontobel – HSCEI R Bear CBBC Mar 2021 B | 80,000,000 | 2020/10/22 | 2021/03/30 | 20.00 |
| 50622 | Bank Vontobel – HSI R Bull CBBC Dec 2023 E | 80,000,000 | 2020/10/22 | 2023/12/28 | 20.00 |
| 50660 | Bank Vontobel – HSI R Bull CBBC Dec 2023 F | 80,000,000 | 2020/10/22 | 2023/12/28 | 20.00 |
| 50621 | Bank Vontobel – HSI R Bear CBBC Feb 2021 Q | 80,000,000 | 2020/10/22 | 2021/02/25 | 20.00 |
| 50636 | Bank Vontobel – ICBC R Bull CBBC Sep 2021 A | 40,000,000 | 2020/10/22 | 2021/09/30 | 10.00 |
| 50631 | Bank Vontobel – Ping An R Bull CBBC Nov 2021 A | 40,000,000 | 2020/10/22 | 2021/11/19 | 10.00 |
| 51416 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 C | 60,000,000 | 2020/10/23 | 2021/02/26 | 15.00 |
| 51393 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 T | 150,000,000 | 2020/10/23 | 2023/02/27 | 37.50 |
| 51395 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 C | 150,000,000 | 2020/10/23 | 2021/01/28 | 37.50 |
| 51414 | BOCI Asia Ltd. – SMIC R Bull CBBC May 2021 E | 150,000,000 | 2020/10/23 | 2021/05/31 | 37.50 |
| 51406 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 E | 40,000,000 | 2020/10/23 | 2021/02/26 | 10.00 |
| 51598 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 G | 190,000,000 | 2020/10/23 | 2023/10/30 | 47.50 |
| 51248 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 M | 250,000,000 | 2020/10/23 | 2021/02/25 | 62.50 |
| 51599 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 Q | 250,000,000 | 2020/10/23 | 2021/02/25 | 62.50 |
| 51853 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 B | 50,000,000 | 2020/10/23 | 2021/03/30 | 12.50 |
| 51833 | Credit Suisse AG – Ali Health R Bull CBBC May 2021 B | 50,000,000 | 2020/10/23 | 2021/05/28 | 12.50 |
| 51870 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/10/23 | 2023/12/28 | 50.00 |
| 51827 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 J | 200,000,000 | 2020/10/23 | 2021/01/28 | 50.00 |
| 51840 | Credit Suisse AG – WuXi Bio R Bull CBBC May 2021 A | 100,000,000 | 2020/10/23 | 2021/05/28 | 25.00 |
| 51911 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 C | 60,000,000 | 2020/10/23 | 2021/08/30 | 15.00 |
| 51921 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 A | 60,000,000 | 2020/10/23 | 2021/08/30 | 15.00 |
| 51874 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 O | 160,000,000 | 2020/10/23 | 2023/11/29 | 40.00 |
| 51879 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 I | 160,000,000 | 2020/10/23 | 2023/12/28 | 40.00 |
| 51877 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 F | 160,000,000 | 2020/10/23 | 2021/01/28 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 51885 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 K | 60,000,000 | 2020/10/23 | 2021/07/30 | 15.00 |
| 51880 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 F | 60,000,000 | 2020/10/23 | 2021/08/30 | 15.00 |
| 51894 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 H | 60,000,000 | 2020/10/23 | 2021/07/30 | 15.00 |
| 51251 | HK Bank – Alibaba Group R Bull CBBC May 2021 D | 100,000,000 | 2020/10/23 | 2021/05/03 | 25.00 |
| 51290 | HK Bank – CSPC Pharma R Bull CBBC Apr 2021 A | 40,000,000 | 2020/10/23 | 2021/04/26 | 10.00 |
| 51304 | HK Bank – HSI R Bull CBBC Mar 2023 M | 120,000,000 | 2020/10/23 | 2023/03/30 | 30.00 |
| 51250 | HK Bank – Meituan Dianping R Bear CBBC Apr 2021 F | 100,000,000 | 2020/10/23 | 2021/04/19 | 25.00 |
| 51301 | HK Bank – Sands China R Bull CBBC Jul 2021 B | 40,000,000 | 2020/10/23 | 2021/07/19 | 10.00 |
| 51519 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 Q | 200,000,000 | 2020/10/23 | 2024/01/30 | 50.00 |
| 51524 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/10/23 | 2021/02/25 | 50.00 |
| 51532 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/23 | 2021/02/25 | 50.00 |
| 51324 | Morgan Stanley Asia Products – Ch Tower R Bull CBBC Nov 21 A | 128,000,000 | 2020/10/23 | 2021/11/01 | 32.00 |
| 51758 | SG Issuer – Alibaba Group R Bull CBBC May 2021 F | 80,000,000 | 2020/10/23 | 2021/05/31 | 20.00 |
| 51601 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 R | 80,000,000 | 2020/10/23 | 2021/04/29 | 20.00 |
| 51697 | SG Issuer – Alibaba Group R Bear CBBC May 2021 N | 80,000,000 | 2020/10/23 | 2021/05/31 | 22.80 |
| 51785 | SG Issuer – Alibaba Group R Bear CBBC Jul 2021 B | 200,000,000 | 2020/10/23 | 2021/07/30 | 50.00 |
| 51679 | SG Issuer – HSI R Bull CBBC Oct 2023 K | 250,000,000 | 2020/10/23 | 2023/10/30 | 62.50 |
| 51681 | SG Issuer – HSI R Bull CBBC Oct 2023 V | 250,000,000 | 2020/10/23 | 2023/10/30 | 62.50 |
| 51730 | SG Issuer – HSI R Bull CBBC Nov 2023 J | 250,000,000 | 2020/10/23 | 2023/11/29 | 62.50 |
| 51700 | SG Issuer – HSI R Bear CBBC Jan 2021 I | 250,000,000 | 2020/10/23 | 2021/01/28 | 62.50 |
| 51709 | SG Issuer – HSI R Bear CBBC Feb 2021 U | 250,000,000 | 2020/10/23 | 2021/02/25 | 62.50 |
| 51707 | SG Issuer – HSI R Bear CBBC Apr 2021 L | 250,000,000 | 2020/10/23 | 2021/04/29 | 62.50 |
| 51602 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 E | 80,000,000 | 2020/10/23 | 2021/04/30 | 20.00 |
| 51603 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 C | 80,000,000 | 2020/10/23 | 2021/05/31 | 20.00 |
| 51612 | SG Issuer – Meituan Dianping R Bull CBBC Sep 2021 A | 200,000,000 | 2020/10/23 | 2021/09/29 | 50.00 |
| 51618 | SG Issuer – Ping An R Bull CBBC Aug 2021 P | 100,000,000 | 2020/10/23 | 2021/08/31 | 25.00 |
| 51826 | SG Issuer – Tencent R Bull CBBC Apr 2021 T | 80,000,000 | 2020/10/23 | 2021/04/29 | 20.00 |
| 51632 | SG Issuer – Tencent R Bear CBBC Apr 2021 P | 80,000,000 | 2020/10/23 | 2021/04/30 | 20.00 |
| 51455 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/10/23 | 2021/04/12 | 20.00 |
| 51462 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Z | 80,000,000 | 2020/10/23 | 2021/04/26 | 20.00 |
| 51438 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 J | 80,000,000 | 2020/10/23 | 2021/04/09 | 20.00 |
| 51434 | UBS AG – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/10/23 | 2023/10/30 | 50.00 |
| 51444 | UBS AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/10/23 | 2021/02/25 | 50.00 |
| 51439 | UBS AG – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/10/23 | 2021/02/25 | 50.00 |
| 51484 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 S | 50,000,000 | 2020/10/23 | 2021/04/12 | 12.50 |
| 51478 | UBS AG – Tencent R Bull CBBC Apr 2021 T | 40,000,000 | 2020/10/23 | 2021/04/07 | 10.00 |
| 51483 | UBS AG – Tencent R Bull CBBC Apr 2021 W | 40,000,000 | 2020/10/23 | 2021/04/12 | 10.00 |
| 51482 | UBS AG – Tencent R Bear CBBC Apr 2021 N | 40,000,000 | 2020/10/23 | 2021/04/07 | 10.00 |
| 51595 | Bank Vontobel – CNOOC R Bear CBBC Apr 2021 A | 40,000,000 | 2020/10/23 | 2021/04/23 | 10.00 |
| 51539 | Bank Vontobel – HSI N Bull CBBC Dec 2023 G | 40,000,000 | 2020/10/23 | 2023/12/28 | 10.00 |
| 51572 | Bank Vontobel – HSI R Bear CBBC Feb 2021 I | 80,000,000 | 2020/10/23 | 2021/02/25 | 20.00 |
| 51575 | Bank Vontobel – Meituan Dianping R Bull CBBC Jun 2021 E | 60,000,000 | 2020/10/23 | 2021/06/25 | 15.00 |
| 51591 | Bank Vontobel – Meituan Dianping R Bear CBBC Jun 2021 L | 60,000,000 | 2020/10/23 | 2021/06/04 | 15.00 |
| 51592 | Bank Vontobel – Xiaomi R Bear CBBC Jun 2021 E | 40,000,000 | 2020/10/23 | 2021/06/04 | 10.00 |
| 52124 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 C | 60,000,000 | 2020/10/27 | 2021/02/26 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 52079 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 B | 40,000,000 | 2020/10/27 | 2021/02/26 | 10.00 |
| 52068 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 H | 150,000,000 | 2020/10/27 | 2021/01/28 | 37.50 |
| 52094 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 E | 120,000,000 | 2020/10/27 | 2021/02/26 | 30.00 |
| 52111 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 F | 120,000,000 | 2020/10/27 | 2021/02/26 | 30.00 |
| 52114 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 D | 40,000,000 | 2020/10/27 | 2021/02/26 | 10.00 |
| 52227 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 H | 200,000,000 | 2020/10/27 | 2023/10/30 | 50.00 |
| 52228 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 R | 200,000,000 | 2020/10/27 | 2023/10/30 | 50.00 |
| 52248 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 Y | 190,000,000 | 2020/10/27 | 2023/10/30 | 47.50 |
| 52136 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 R | 250,000,000 | 2020/10/27 | 2021/02/25 | 62.50 |
| 52154 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 S | 250,000,000 | 2020/10/27 | 2021/02/25 | 62.50 |
| 52163 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 T | 240,000,000 | 2020/10/27 | 2021/02/25 | 60.00 |
| 52524 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 E | 250,000,000 | 2020/10/27 | 2021/06/29 | 62.50 |
| 52527 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 L | 250,000,000 | 2020/10/27 | 2021/12/30 | 62.50 |
| 52523 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 K | 250,000,000 | 2020/10/27 | 2021/11/29 | 62.50 |
| 52546 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 H | 50,000,000 | 2020/10/27 | 2021/04/29 | 12.50 |
| 52534 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 Q | 200,000,000 | 2020/10/27 | 2023/10/30 | 50.00 |
| 52571 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/10/27 | 2023/10/30 | 50.00 |
| 52532 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 I | 200,000,000 | 2020/10/27 | 2021/01/28 | 50.00 |
| 52530 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 K | 200,000,000 | 2020/10/27 | 2021/01/28 | 50.00 |
| 52531 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 L | 200,000,000 | 2020/10/27 | 2021/01/28 | 50.00 |
| 52562 | Credit Suisse AG – Meituan Dianping R Bull CBBC Mar 2021 A | 50,000,000 | 2020/10/27 | 2021/03/30 | 12.50 |
| 52549 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 B | 80,000,000 | 2020/10/27 | 2021/07/29 | 20.00 |
| 52560 | Credit Suisse AG – Sunny Optical R Bull CBBC Jun 2021 A | 40,000,000 | 2020/10/27 | 2021/06/29 | 10.00 |
| 52561 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 I | 50,000,000 | 2020/10/27 | 2021/04/29 | 12.50 |
| 52548 | Credit Suisse AG – WuXi Bio R Bull CBBC May 2021 B | 100,000,000 | 2020/10/27 | 2021/05/28 | 25.00 |
| 52029 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 H | 40,000,000 | 2020/10/27 | 2021/03/17 | 10.00 |
| 52046 | Bank of East Asia – Galaxy Ent R Bull CBBC Jul 2021 A | 60,000,000 | 2020/10/27 | 2021/07/20 | 15.00 |
| 52055 | Bank of East Asia – Galaxy Ent R Bear CBBC Jul 2021 A | 60,000,000 | 2020/10/27 | 2021/07/20 | 15.00 |
| 52033 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 D | 80,000,000 | 2020/10/27 | 2021/07/20 | 20.00 |
| 52528 | Guotai Junan – HSI R Bull CBBC Nov 2023 E | 200,000,000 | 2020/10/27 | 2023/11/29 | 50.00 |
| 52623 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 D | 60,000,000 | 2020/10/27 | 2021/08/30 | 15.00 |
| 52632 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 N | 100,000,000 | 2020/10/27 | 2021/07/30 | 25.00 |
| 52650 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 W | 160,000,000 | 2020/10/27 | 2023/11/29 | 40.00 |
| 52634 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 J | 160,000,000 | 2020/10/27 | 2023/12/28 | 40.00 |
| 52638 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 K | 160,000,000 | 2020/10/27 | 2023/12/28 | 40.00 |
| 52604 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Jan 2021 W | 160,000,000 | 2020/10/27 | 2021/01/28 | 40.00 |
| 52605 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 Q | 160,000,000 | 2020/10/27 | 2021/03/30 | 40.00 |
| 52607 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 R | 160,000,000 | 2020/10/27 | 2021/03/30 | 40.00 |
| 52659 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Q | 160,000,000 | 2020/10/27 | 2021/04/29 | 40.00 |
| 52628 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/27 | 2021/07/30 | 25.00 |
| 52617 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 L | 60,000,000 | 2020/10/27 | 2021/07/30 | 15.00 |
| 52614 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 G | 60,000,000 | 2020/10/27 | 2021/08/30 | 15.00 |
| 52633 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 E | 60,000,000 | 2020/10/27 | 2021/08/30 | 15.00 |
| 52619 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 O | 100,000,000 | 2020/10/27 | 2021/07/30 | 25.00 |
| 52012 | HK Bank – China Life R Bull CBBC Aug 2021 B | 100,000,000 | 2020/10/27 | 2021/08/09 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 51929 | HK Bank – HSI R Bull CBBC Mar 2023 I | 80,000,000 | 2020/10/27 | 2023/03/30 | 20.00 |
| 51935 | HK Bank – HSI R Bull CBBC Mar 2023 J | 100,000,000 | 2020/10/27 | 2023/03/30 | 25.00 |
| 51956 | HK Bank – HSI R Bear CBBC Feb 2021 Q | 120,000,000 | 2020/10/27 | 2021/02/25 | 30.00 |
| 51957 | HK Bank – HSI R Bear CBBC Mar 2021 D | 120,000,000 | 2020/10/27 | 2021/03/30 | 30.00 |
| 51978 | HK Bank – HSI R Bear CBBC Mar 2021 E | 120,000,000 | 2020/10/27 | 2021/03/30 | 30.00 |
| 51987 | HK Bank – Meituan Dianping R Bull CBBC Apr 2021 G | 100,000,000 | 2020/10/27 | 2021/04/26 | 25.00 |
| 52024 | HK Bank – Tencent R Bull CBBC Jul 2021 B | 100,000,000 | 2020/10/27 | 2021/07/12 | 25.00 |
| 52013 | HK Bank – Tencent R Bear CBBC Apr 2021 H | 100,000,000 | 2020/10/27 | 2021/04/19 | 25.00 |
| 52000 | HK Bank – WuXi Bio R Bull CBBC Jun 2021 A | 100,000,000 | 2020/10/27 | 2021/06/28 | 25.00 |
| 51979 | HK Bank – Xiaomi R Bull CBBC May 2021 B | 60,000,000 | 2020/10/27 | 2021/05/17 | 15.00 |
| 52289 | J P Morgan SP BV – Alibaba Group R Bear CBBC Jun 2021 A | 200,000,000 | 2020/10/27 | 2021/06/11 | 50.00 |
| 52275 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 V | 200,000,000 | 2020/10/27 | 2023/12/28 | 50.00 |
| 52276 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 W | 200,000,000 | 2020/10/27 | 2023/12/28 | 50.00 |
| 52260 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 R | 200,000,000 | 2020/10/27 | 2024/01/30 | 50.00 |
| 52251 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 C | 200,000,000 | 2020/10/27 | 2021/01/28 | 50.00 |
| 52257 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 G | 200,000,000 | 2020/10/27 | 2021/01/28 | 50.00 |
| 52293 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 G | 100,000,000 | 2020/10/27 | 2023/09/28 | 25.00 |
| 52292 | Morgan Stanley Asia Products – HSI R Bear CBBC Jan 2021 X | 100,000,000 | 2020/10/27 | 2021/01/28 | 25.00 |
| 52480 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 K | 80,000,000 | 2020/10/27 | 2021/04/30 | 20.00 |
| 52392 | SG Issuer – Alibaba Group R Bear CBBC Mar 2021 X | 80,000,000 | 2020/10/27 | 2021/03/31 | 20.00 |
| 52393 | SG Issuer – Alibaba Group R Bear CBBC May 2021 O | 80,000,000 | 2020/10/27 | 2021/05/28 | 20.00 |
| 52482 | SG Issuer – China Mobile R Bull CBBC Apr 2021 A | 100,000,000 | 2020/10/27 | 2021/04/30 | 25.00 |
| 52485 | SG Issuer – Geely Auto R Bull CBBC Mar 2021 E | 40,000,000 | 2020/10/27 | 2021/03/31 | 10.00 |
| 52489 | SG Issuer – HKEX R Bull CBBC Apr 2021 E | 50,000,000 | 2020/10/27 | 2021/04/30 | 12.50 |
| 52456 | SG Issuer – HSI R Bull CBBC Oct 2023 H | 250,000,000 | 2020/10/27 | 2023/10/30 | 62.50 |
| 52479 | SG Issuer – HSI R Bull CBBC Nov 2023 M | 250,000,000 | 2020/10/27 | 2023/11/29 | 62.50 |
| 52513 | SG Issuer – HSI R Bull CBBC Dec 2023 N | 250,000,000 | 2020/10/27 | 2023/12/28 | 62.50 |
| 52506 | SG Issuer – HSI R Bull CBBC Dec 2023 Y | 250,000,000 | 2020/10/27 | 2023/12/28 | 62.50 |
| 52421 | SG Issuer – HSI R Bear CBBC Feb 2021 L | 250,000,000 | 2020/10/27 | 2021/02/25 | 62.50 |
| 52443 | SG Issuer – HSI R Bear CBBC Feb 2021 M | 250,000,000 | 2020/10/27 | 2021/02/25 | 62.50 |
| 52422 | SG Issuer – HSI R Bear CBBC Feb 2021 S | 250,000,000 | 2020/10/27 | 2021/02/25 | 62.50 |
| 52420 | SG Issuer – HSI R Bear CBBC Feb 2021 V | 250,000,000 | 2020/10/27 | 2021/02/25 | 62.50 |
| 52425 | SG Issuer – HSI R Bear CBBC Apr 2021 M | 500,000,000 | 2020/10/27 | 2021/04/29 | 125.00 |
| 52519 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 O | 80,000,000 | 2020/10/27 | 2021/03/31 | 20.00 |
| 52396 | SG Issuer – Meituan Dianping R Bear CBBC Mar 2021 B | 80,000,000 | 2020/10/27 | 2021/03/31 | 20.00 |
| 52397 | SG Issuer – Sands China R Bear CBBC Aug 2021 A | 100,000,000 | 2020/10/27 | 2021/08/30 | 25.00 |
| 52520 | SG Issuer – Tencent R Bull CBBC Mar 2021 O | 80,000,000 | 2020/10/27 | 2021/03/31 | 20.00 |
| 52490 | SG Issuer – Tencent R Bull CBBC Apr 2021 U | 80,000,000 | 2020/10/27 | 2021/04/30 | 20.00 |
| 52412 | SG Issuer – Tencent R Bear CBBC Mar 2021 K | 80,000,000 | 2020/10/27 | 2021/03/31 | 20.00 |
| 52413 | SG Issuer – Tencent R Bear CBBC Jun 2021 P | 80,000,000 | 2020/10/27 | 2021/06/30 | 20.00 |
| 52505 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 R | 60,000,000 | 2020/10/27 | 2021/03/31 | 15.00 |
| 52414 | SG Issuer – Xiaomi R Bear CBBC Mar 2021 J | 60,000,000 | 2020/10/27 | 2021/03/31 | 15.00 |
| 52325 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 B | 80,000,000 | 2020/10/27 | 2021/04/23 | 20.00 |
| 52305 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 C | 80,000,000 | 2020/10/27 | 2021/03/10 | 20.00 |
| 52361 | UBS AG – HSI R Bull CBBC Sep 2022 E | 200,000,000 | 2020/10/27 | 2022/09/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52362 | UBS AG – HSI R Bull CBBC Sep 2022 F | 200,000,000 | 2020/10/27 | 2022/09/29 | 50.00 |
| 52348 | UBS AG – HSI R Bull CBBC Sep 2022 S | 200,000,000 | 2020/10/27 | 2022/09/29 | 50.00 |
| 52300 | UBS AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/10/27 | 2021/02/25 | 50.00 |
| 52389 | UBS AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/10/27 | 2021/02/25 | 50.00 |
| 52294 | UBS AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/10/27 | 2021/02/25 | 50.00 |
| 52327 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 Y | 50,000,000 | 2020/10/27 | 2021/03/08 | 12.50 |
| 52312 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 P | 50,000,000 | 2020/10/27 | 2021/04/08 | 12.50 |
| 52341 | UBS AG – SMIC R Bull CBBC Sep 2021 B | 100,000,000 | 2020/10/27 | 2021/09/30 | 25.00 |
| 52354 | UBS AG – Tencent R Bull CBBC Mar 2021 Z | 40,000,000 | 2020/10/27 | 2021/03/30 | 10.00 |
| 52338 | UBS AG – Tencent R Bull CBBC Apr 2021 X | 40,000,000 | 2020/10/27 | 2021/04/23 | 10.00 |
| 52323 | UBS AG – Tencent R Bear CBBC Mar 2021 N | 40,000,000 | 2020/10/27 | 2021/03/08 | 10.00 |
| 52317 | UBS AG – Tencent R Bear CBBC Apr 2021 O | 40,000,000 | 2020/10/27 | 2021/04/09 | 10.00 |
| 52326 | UBS AG – Xiaomi R Bull CBBC Apr 2021 P | 60,000,000 | 2020/10/27 | 2021/04/14 | 15.00 |
| 52309 | UBS AG – Xiaomi R Bear CBBC Mar 2021 O | 60,000,000 | 2020/10/27 | 2021/03/10 | 15.00 |
| 52577 | Bank Vontobel – HSI R Bull CBBC Dec 2023 H | 80,000,000 | 2020/10/27 | 2023/12/28 | 20.00 |
| 52595 | Bank Vontobel – HSI R Bull CBBC Dec 2023 I | 80,000,000 | 2020/10/27 | 2023/12/28 | 20.00 |
| 52572 | Bank Vontobel – HSI R Bear CBBC Feb 2021 X | 80,000,000 | 2020/10/27 | 2021/02/25 | 20.00 |
| 52598 | Bank Vontobel – Meituan Dianping R Bull CBBC Jun 2021 G | 60,000,000 | 2020/10/27 | 2021/06/18 | 15.00 |
| 52586 | Bank Vontobel – Meituan Dianping R Bull CBBC Jul 2021 I | 60,000,000 | 2020/10/27 | 2021/07/09 | 15.00 |
| 52597 | Bank Vontobel – Tencent R Bull CBBC Jun 2021 M | 80,000,000 | 2020/10/27 | 2021/06/11 | 20.00 |
| 52592 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 L | 40,000,000 | 2020/10/27 | 2021/07/09 | 10.00 |
| 52674 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 D | 60,000,000 | 2020/10/28 | 2021/02/26 | 16.80 |
| 52746 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 F | 150,000,000 | 2020/10/28 | 2023/02/27 | 37.50 |
| 52742 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 V | 150,000,000 | 2020/10/28 | 2023/02/27 | 37.50 |
| 52667 | BOCI Asia Ltd. – HSI R Bear CBBC Jan 2021 I | 150,000,000 | 2020/10/28 | 2021/01/28 | 37.50 |
| 52672 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 F | 40,000,000 | 2020/10/28 | 2021/02/26 | 10.00 |
| 52731 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 B | 190,000,000 | 2020/10/28 | 2023/10/30 | 47.50 |
| 52727 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 Z | 200,000,000 | 2020/10/28 | 2023/10/30 | 50.00 |
| 52735 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 A | 250,000,000 | 2020/10/28 | 2021/02/25 | 62.50 |
| 52737 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 X | 240,000,000 | 2020/10/28 | 2021/02/25 | 60.00 |
| 52665 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 Z | 250,000,000 | 2020/10/28 | 2021/02/25 | 62.50 |
| 52891 | Credit Suisse AG – China Mobile R Bull CBBC Jul 2021 A | 100,000,000 | 2020/10/28 | 2021/07/29 | 25.00 |
| 52858 | Credit Suisse AG – CC Bank R Bull CBBC Jul 2021 G | 100,000,000 | 2020/10/28 | 2021/07/29 | 25.00 |
| 52872 | Credit Suisse AG – CC Bank R Bear CBBC Apr 2021 A | 60,000,000 | 2020/10/28 | 2021/04/29 | 15.00 |
| 52855 | Credit Suisse AG – Galaxy Ent R Bull CBBC Apr 2021 B | 80,000,000 | 2020/10/28 | 2021/04/29 | 20.00 |
| 52892 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 U | 200,000,000 | 2020/10/28 | 2023/12/28 | 50.00 |
| 52896 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 V | 200,000,000 | 2020/10/28 | 2023/12/28 | 50.00 |
| 52897 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 W | 200,000,000 | 2020/10/28 | 2023/12/28 | 50.00 |
| 52851 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 N | 200,000,000 | 2020/10/28 | 2021/01/28 | 50.00 |
| 52850 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 X | 200,000,000 | 2020/10/28 | 2021/01/28 | 50.00 |
| 52888 | Credit Suisse AG – ICBC R Bear CBBC Apr 2021 A | 60,000,000 | 2020/10/28 | 2021/04/29 | 15.00 |
| 52856 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 J | 50,000,000 | 2020/10/28 | 2021/04/29 | 12.50 |
| 52923 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 E | 100,000,000 | 2020/10/28 | 2021/08/30 | 25.00 |
| 52931 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Jul 2021 A | 80,000,000 | 2020/10/28 | 2021/07/30 | 20.00 |
| 52909 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 M | 160,000,000 | 2020/10/28 | 2023/10/30 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52907 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 O | 160,000,000 | 2020/10/28 | 2023/12/28 | 40.00 |
| 52908 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 E | 160,000,000 | 2020/10/28 | 2021/02/25 | 40.00 |
| 52903 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Q | 160,000,000 | 2020/10/28 | 2021/02/25 | 40.00 |
| 52910 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 R | 160,000,000 | 2020/10/28 | 2021/04/29 | 40.00 |
| 52920 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 V | 60,000,000 | 2020/10/28 | 2021/07/30 | 15.00 |
| 52943 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 W | 100,000,000 | 2020/10/28 | 2021/07/30 | 25.00 |
| 52913 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 H | 60,000,000 | 2020/10/28 | 2021/08/30 | 15.00 |
| 52949 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 I | 100,000,000 | 2020/10/28 | 2021/08/30 | 25.00 |
| 52930 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 I | 100,000,000 | 2020/10/28 | 2021/07/30 | 25.00 |
| 52921 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 P | 60,000,000 | 2020/10/28 | 2021/07/30 | 15.00 |
| 52707 | HK Bank – Bank of China R Bear CBBC Jul 2021 A | 40,000,000 | 2020/10/28 | 2021/07/26 | 10.00 |
| 52710 | HK Bank – CC Bank R Bull CBBC Aug 2021 B | 60,000,000 | 2020/10/28 | 2021/08/09 | 15.00 |
| 52694 | HK Bank – HSI R Bull CBBC Mar 2023 N | 120,000,000 | 2020/10/28 | 2023/03/30 | 30.00 |
| 52695 | HK Bank – HSI R Bear CBBC Feb 2021 R | 80,000,000 | 2020/10/28 | 2021/02/25 | 20.00 |
| 52709 | HK Bank – ICBC R Bull CBBC Aug 2021 A | 60,000,000 | 2020/10/28 | 2021/08/09 | 15.00 |
| 52696 | HK Bank – Tencent R Bull CBBC Jul 2021 C | 100,000,000 | 2020/10/28 | 2021/07/05 | 25.00 |
| 52697 | HK Bank – Tencent R Bear CBBC Apr 2021 I | 100,000,000 | 2020/10/28 | 2021/04/12 | 25.00 |
| 52675 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 S | 200,000,000 | 2020/10/28 | 2024/01/30 | 50.00 |
| 52682 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 X | 200,000,000 | 2020/10/28 | 2021/01/28 | 50.00 |
| 52677 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/10/28 | 2021/02/25 | 50.00 |
| 52693 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 H | 100,000,000 | 2020/10/28 | 2023/09/28 | 25.00 |
| 52691 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 M | 100,000,000 | 2020/10/28 | 2021/02/25 | 25.00 |
| 52756 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 I | 80,000,000 | 2020/10/28 | 2021/04/30 | 20.00 |
| 52783 | SG Issuer – Galaxy Ent R Bear CBBC Jun 2021 A | 100,000,000 | 2020/10/28 | 2021/06/29 | 25.00 |
| 52752 | SG Issuer – HSI R Bull CBBC Oct 2023 N | 250,000,000 | 2020/10/28 | 2023/10/30 | 62.50 |
| 52844 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/10/28 | 2023/11/29 | 62.50 |
| 52843 | SG Issuer – HSI R Bull CBBC Nov 2023 V | 250,000,000 | 2020/10/28 | 2023/11/29 | 62.50 |
| 52820 | SG Issuer – HSI R Bear CBBC Feb 2021 O | 250,000,000 | 2020/10/28 | 2021/02/25 | 62.50 |
| 52821 | SG Issuer – HSI R Bear CBBC Feb 2021 W | 250,000,000 | 2020/10/28 | 2021/02/25 | 62.50 |
| 52840 | SG Issuer – HSI R Bear CBBC Mar 2021 P | 250,000,000 | 2020/10/28 | 2021/03/30 | 62.50 |
| 52757 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 H | 80,000,000 | 2020/10/28 | 2021/04/30 | 20.00 |
| 52795 | SG Issuer – Meituan Dianping R Bear CBBC May 2021 U | 80,000,000 | 2020/10/28 | 2021/05/28 | 20.00 |
| 52796 | SG Issuer – SMIC R Bull CBBC Jun 2021 G | 120,000,000 | 2020/10/28 | 2021/06/30 | 30.00 |
| 52804 | SG Issuer – Tencent R Bull CBBC Mar 2021 Q | 80,000,000 | 2020/10/28 | 2021/03/31 | 20.00 |
| 52758 | SG Issuer – Tencent R Bull CBBC Apr 2021 E | 80,000,000 | 2020/10/28 | 2021/04/30 | 20.00 |
| 52771 | SG Issuer – Tencent R Bull CBBC May 2021 X | 80,000,000 | 2020/10/28 | 2021/05/31 | 20.00 |
| 52814 | SG Issuer – Tencent R Bear CBBC May 2021 U | 80,000,000 | 2020/10/28 | 2021/05/28 | 20.00 |
| 52815 | SG Issuer – WuXi Bio R Bull CBBC Jun 2021 B | 120,000,000 | 2020/10/28 | 2021/06/30 | 30.00 |
| 52816 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 P | 60,000,000 | 2020/10/28 | 2021/04/30 | 15.00 |
| 52954 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 P | 80,000,000 | 2020/10/28 | 2021/03/30 | 20.00 |
| 52962 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 K | 80,000,000 | 2020/10/28 | 2021/04/29 | 20.00 |
| 52950 | UBS AG – HSI R Bull CBBC Sep 2022 Q | 200,000,000 | 2020/10/28 | 2022/09/29 | 50.00 |
| 52961 | UBS AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/10/28 | 2021/02/25 | 50.00 |
| 52958 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 T | 50,000,000 | 2020/10/28 | 2021/04/08 | 12.50 |
| 52967 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 Q | 50,000,000 | 2020/10/28 | 2021/04/30 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 52955 | UBS AG – Tencent R Bull CBBC Apr 2021 C | 40,000,000 | 2020/10/28 | 2021/04/08 | 10.00 |
| 52963 | UBS AG – Tencent R Bear CBBC Apr 2021 P | 40,000,000 | 2020/10/28 | 2021/04/12 | 10.00 |
| 52751 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 H | 40,000,000 | 2020/10/28 | 2021/07/09 | 10.00 |
| 52748 | Bank Vontobel – HSI N Bear CBBC Feb 2021 W | 40,000,000 | 2020/10/28 | 2021/02/25 | 10.00 |
| 52749 | Bank Vontobel – HSI R Bull CBBC Dec 2023 J | 80,000,000 | 2020/10/28 | 2023/12/28 | 20.00 |
| 52750 | Bank Vontobel – HSI R Bull CBBC Dec 2023 K | 80,000,000 | 2020/10/28 | 2023/12/28 | 20.00 |
| 52747 | Bank Vontobel – HSI R Bear CBBC Feb 2021 J | 80,000,000 | 2020/10/28 | 2021/02/25 | 20.00 |
| 53005 | BOCI Asia Ltd. – CC Bank R Bull CBBC Mar 2021 A | 100,000,000 | 2020/10/29 | 2021/03/30 | 25.00 |
| 53004 | BOCI Asia Ltd. – HSBC R Bull CBBC Feb 2021 B | 40,000,000 | 2020/10/29 | 2021/02/26 | 10.00 |
| 52997 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 L | 150,000,000 | 2020/10/29 | 2023/02/27 | 37.50 |
| 53008 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 C | 200,000,000 | 2020/10/29 | 2023/10/30 | 50.00 |
| 53011 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 I | 200,000,000 | 2020/10/29 | 2023/10/30 | 50.00 |
| 53150 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 Y | 240,000,000 | 2020/10/29 | 2021/02/25 | 60.00 |
| 53155 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 C | 50,000,000 | 2020/10/29 | 2021/03/30 | 12.50 |
| 53163 | Credit Suisse AG – CNOOC R Bull CBBC Jul 2021 A | 50,000,000 | 2020/10/29 | 2021/07/29 | 12.50 |
| 53175 | Credit Suisse AG – CSPC Pharma R Bull CBBC Jul 2021 D | 50,000,000 | 2020/10/29 | 2021/07/29 | 12.50 |
| 53158 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 F | 100,000,000 | 2020/10/29 | 2021/09/29 | 25.00 |
| 53214 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Q | 200,000,000 | 2020/10/29 | 2023/11/29 | 50.00 |
| 53215 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Y | 200,000,000 | 2020/10/29 | 2023/11/29 | 50.00 |
| 53217 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/10/29 | 2023/11/29 | 50.00 |
| 53193 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 A | 200,000,000 | 2020/10/29 | 2021/01/28 | 50.00 |
| 53151 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 C | 200,000,000 | 2020/10/29 | 2021/01/28 | 50.00 |
| 53154 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 D | 200,000,000 | 2020/10/29 | 2021/01/28 | 50.00 |
| 53191 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 G | 200,000,000 | 2020/10/29 | 2021/01/28 | 50.00 |
| 53192 | Credit Suisse AG – HSI R Bear CBBC Jan 2021 Z | 200,000,000 | 2020/10/29 | 2021/01/28 | 50.00 |
| 53208 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/10/29 | 2021/03/30 | 50.00 |
| 53213 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/10/29 | 2021/03/30 | 50.00 |
| 53188 | Credit Suisse AG – ICBC R Bull CBBC Jul 2021 F | 100,000,000 | 2020/10/29 | 2021/07/29 | 25.00 |
| 53190 | Credit Suisse AG – Meituan Dianping R Bear CBBC Apr 2021 F | 100,000,000 | 2020/10/29 | 2021/04/29 | 25.00 |
| 53218 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 C | 80,000,000 | 2020/10/29 | 2021/07/29 | 20.00 |
| 53189 | Credit Suisse AG – Xiaomi R Bear CBBC Apr 2021 G | 100,000,000 | 2020/10/29 | 2021/04/29 | 25.00 |
| 53231 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 F | 60,000,000 | 2020/10/29 | 2021/08/30 | 15.00 |
| 53254 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 B | 60,000,000 | 2020/10/29 | 2021/08/30 | 15.00 |
| 53250 | Goldman Sachs SP (Asia) – China Mobile R Bull CBBC Sep2021 A | 80,000,000 | 2020/10/29 | 2021/09/30 | 20.00 |
| 53255 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Aug 2021 A | 80,000,000 | 2020/10/29 | 2021/08/30 | 20.00 |
| 53275 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 F | 80,000,000 | 2020/10/29 | 2021/07/30 | 20.00 |
| 53233 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 P | 160,000,000 | 2020/10/29 | 2023/12/28 | 40.00 |
| 53234 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 Q | 160,000,000 | 2020/10/29 | 2023/12/28 | 40.00 |
| 53220 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 L | 160,000,000 | 2020/10/29 | 2021/02/25 | 40.00 |
| 53236 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 C | 160,000,000 | 2020/10/29 | 2021/04/29 | 40.00 |
| 53239 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 D | 160,000,000 | 2020/10/29 | 2021/04/29 | 40.00 |
| 53221 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 V | 160,000,000 | 2020/10/29 | 2021/04/29 | 40.00 |
| 53252 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/29 | 2021/07/30 | 15.00 |
| 53226 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/10/29 | 2021/07/30 | 15.00 |
| 53253 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 D | 80,000,000 | 2020/10/29 | 2021/07/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53224 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 J | 60,000,000 | 2020/10/29 | 2021/08/30 | 15.00 |
| 53227 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 L | 60,000,000 | 2020/10/29 | 2021/07/30 | 15.00 |
| 52995 | HK Bank – Bank of China R Bull CBBC Sep 2021 C | 40,000,000 | 2020/10/29 | 2021/09/13 | 10.00 |
| 52990 | HK Bank – HSI R Bull CBBC Mar 2023 O | 100,000,000 | 2020/10/29 | 2023/03/30 | 25.00 |
| 52987 | HK Bank – HSI R Bull CBBC Mar 2023 P | 80,000,000 | 2020/10/29 | 2023/03/30 | 20.00 |
| 52979 | HK Bank – HSI R Bear CBBC Feb 2021 S | 100,000,000 | 2020/10/29 | 2021/02/25 | 25.00 |
| 52981 | HK Bank – HSI R Bear CBBC Mar 2021 F | 120,000,000 | 2020/10/29 | 2021/03/30 | 30.00 |
| 52984 | HK Bank – Meituan Dianping R Bear CBBC Apr 2021 G | 100,000,000 | 2020/10/29 | 2021/04/19 | 25.00 |
| 52994 | HK Bank – Ping An R Bear CBBC Apr 2021 B | 40,000,000 | 2020/10/29 | 2021/04/19 | 10.00 |
| 52996 | HK Bank – SMIC R Bull CBBC Jun 2021 B | 40,000,000 | 2020/10/29 | 2021/06/21 | 10.00 |
| 53066 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 X | 200,000,000 | 2020/10/29 | 2023/12/28 | 50.00 |
| 53071 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 Y | 200,000,000 | 2020/10/29 | 2023/12/28 | 50.00 |
| 53070 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 T | 200,000,000 | 2020/10/29 | 2024/01/30 | 50.00 |
| 53060 | J P Morgan SP BV – HSI R Bear CBBC Jan 2021 U | 200,000,000 | 2020/10/29 | 2021/01/28 | 50.00 |
| 53062 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/10/29 | 2021/02/25 | 50.00 |
| 53219 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 I | 100,000,000 | 2020/10/29 | 2023/09/28 | 25.00 |
| 53138 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 L | 80,000,000 | 2020/10/29 | 2021/04/30 | 20.00 |
| 53103 | SG Issuer – Alibaba Group R Bull CBBC May 2021 G | 80,000,000 | 2020/10/29 | 2021/05/31 | 20.00 |
| 53137 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 S | 80,000,000 | 2020/10/29 | 2021/04/29 | 20.00 |
| 53139 | SG Issuer – HSBC R Bull CBBC Jul 2021 F | 100,000,000 | 2020/10/29 | 2021/07/29 | 25.00 |
| 53144 | SG Issuer – HSBC R Bear CBBC Sep 2021 C | 100,000,000 | 2020/10/29 | 2021/09/29 | 25.00 |
| 53126 | SG Issuer – HSI R Bull CBBC Dec 2023 M | 250,000,000 | 2020/10/29 | 2023/12/28 | 62.50 |
| 53127 | SG Issuer – HSI R Bull CBBC Jan 2024 T | 250,000,000 | 2020/10/29 | 2024/01/30 | 62.50 |
| 53129 | SG Issuer – HSI R Bull CBBC Jan 2024 U | 250,000,000 | 2020/10/29 | 2024/01/30 | 62.50 |
| 53131 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/10/29 | 2024/01/30 | 62.50 |
| 53108 | SG Issuer – HSI R Bear CBBC Apr 2021 N | 250,000,000 | 2020/10/29 | 2021/04/29 | 62.50 |
| 53109 | SG Issuer – HSI R Bear CBBC Apr 2021 O | 250,000,000 | 2020/10/29 | 2021/04/29 | 62.50 |
| 53118 | SG Issuer – HSI R Bear CBBC Apr 2021 P | 250,000,000 | 2020/10/29 | 2021/04/29 | 62.50 |
| 53124 | SG Issuer – HSI R Bear CBBC Apr 2021 Q | 250,000,000 | 2020/10/29 | 2021/04/29 | 62.50 |
| 53104 | SG Issuer – Meituan Dianping R Bull CBBC Mar 2021 Q | 80,000,000 | 2020/10/29 | 2021/03/31 | 20.00 |
| 53133 | SG Issuer – Meituan Dianping R Bull CBBC May 2021 D | 80,000,000 | 2020/10/29 | 2021/05/31 | 20.00 |
| 53135 | SG Issuer – Ping An R Bear CBBC Feb 2021 E | 100,000,000 | 2020/10/29 | 2021/02/26 | 25.00 |
| 53145 | SG Issuer – Tencent R Bull CBBC Mar 2021 L | 80,000,000 | 2020/10/29 | 2021/03/31 | 20.00 |
| 53106 | SG Issuer – Tencent R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/10/29 | 2021/04/29 | 20.00 |
| 53148 | SG Issuer – Tencent R Bear CBBC Apr 2021 Q | 80,000,000 | 2020/10/29 | 2021/04/30 | 20.00 |
| 53136 | SG Issuer – Xiaomi R Bull CBBC May 2021 N | 200,000,000 | 2020/10/29 | 2021/05/31 | 50.00 |
| 53017 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 C | 80,000,000 | 2020/10/29 | 2021/04/12 | 20.00 |
| 53044 | UBS AG – China Life R Bull CBBC Sep 2021 D | 150,000,000 | 2020/10/29 | 2021/09/29 | 37.50 |
| 53059 | UBS AG – China Life R Bull CBBC Nov 2021 B | 150,000,000 | 2020/10/29 | 2021/11/30 | 37.50 |
| 53043 | UBS AG – HSBC R Bull CBBC Nov 2021 C | 150,000,000 | 2020/10/29 | 2021/11/30 | 37.50 |
| 53034 | UBS AG – HSI R Bull CBBC Sep 2022 U | 200,000,000 | 2020/10/29 | 2022/09/29 | 50.00 |
| 53041 | UBS AG – HSI R Bull CBBC Jun 2023 C | 200,000,000 | 2020/10/29 | 2023/06/29 | 50.00 |
| 53013 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/10/29 | 2021/02/25 | 50.00 |
| 53040 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/10/29 | 2021/02/25 | 50.00 |
| 53014 | UBS AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/10/29 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53028 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 U | 50,000,000 | 2020/10/29 | 2021/04/07 | 12.50 |
| 53030 | UBS AG – Tencent R Bull CBBC Mar 2021 A | 40,000,000 | 2020/10/29 | 2021/03/08 | 10.00 |
| 53018 | UBS AG – Tencent R Bull CBBC Apr 2021 D | 40,000,000 | 2020/10/29 | 2021/04/12 | 10.00 |
| 53027 | UBS AG – Tencent R Bull CBBC Apr 2021 L | 40,000,000 | 2020/10/29 | 2021/04/07 | 10.00 |
| 53029 | UBS AG – Xiaomi R Bull CBBC Apr 2021 Q | 60,000,000 | 2020/10/29 | 2021/04/07 | 15.00 |
| 53093 | Bank Vontobel – CSPC Pharma R Bear CBBC Nov 2021 A | 40,000,000 | 2020/10/29 | 2021/11/26 | 10.00 |
| 53092 | Bank Vontobel – Galaxy Ent R Bear CBBC Jun 2021 B | 40,000,000 | 2020/10/29 | 2021/06/04 | 10.00 |
| 53097 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 F | 40,000,000 | 2020/10/29 | 2021/09/24 | 10.00 |
| 53094 | Bank Vontobel – HSI R Bull CBBC Dec 2023 L | 80,000,000 | 2020/10/29 | 2023/12/28 | 20.00 |
| 53082 | Bank Vontobel – HSI R Bear CBBC Feb 2021 A | 80,000,000 | 2020/10/29 | 2021/02/25 | 20.00 |
| 53085 | Bank Vontobel – HSI R Bear CBBC Feb 2021 E | 80,000,000 | 2020/10/29 | 2021/02/25 | 20.00 |
| 53100 | Bank Vontobel – Meituan Dianping R Bull CBBC Jun 2021 H | 60,000,000 | 2020/10/29 | 2021/06/25 | 15.00 |
| 53340 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 D | 60,000,000 | 2020/10/30 | 2021/02/26 | 15.00 |
| 53341 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 E | 60,000,000 | 2020/10/30 | 2021/02/26 | 15.00 |
| 53320 | BOCI Asia Ltd. – HKEX R Bear CBBC Feb 2021 B | 40,000,000 | 2020/10/30 | 2021/02/26 | 10.00 |
| 53313 | BOCI Asia Ltd. – HSBC R Bull CBBC Mar 2021 A | 40,000,000 | 2020/10/30 | 2021/03/31 | 10.00 |
| 53308 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 I | 150,000,000 | 2020/10/30 | 2023/02/27 | 37.50 |
| 53311 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 A | 150,000,000 | 2020/10/30 | 2021/02/25 | 37.50 |
| 53327 | BOCI Asia Ltd. – Meituan Dianping R Bull CBBC Feb 2021 A | 40,000,000 | 2020/10/30 | 2021/02/26 | 10.00 |
| 53328 | BOCI Asia Ltd. – Meituan Dianping R Bear CBBC Feb 2021 A | 40,000,000 | 2020/10/30 | 2021/02/26 | 10.00 |
| 53322 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 G | 120,000,000 | 2020/10/30 | 2021/02/26 | 30.00 |
| 53324 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 H | 40,000,000 | 2020/10/30 | 2021/02/26 | 10.00 |
| 53325 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 G | 40,000,000 | 2020/10/30 | 2021/02/26 | 11.60 |
| 53472 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Dec 2021 A | 150,000,000 | 2020/10/30 | 2021/12/30 | 37.50 |
| 53295 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 L | 200,000,000 | 2020/10/30 | 2023/10/30 | 50.00 |
| 53296 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 N | 190,000,000 | 2020/10/30 | 2023/10/30 | 47.50 |
| 53483 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 P | 190,000,000 | 2020/10/30 | 2023/10/30 | 47.50 |
| 53301 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 N | 250,000,000 | 2020/10/30 | 2021/02/25 | 62.50 |
| 53307 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 O | 250,000,000 | 2020/10/30 | 2021/02/25 | 62.50 |
| 53298 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 W | 250,000,000 | 2020/10/30 | 2021/02/25 | 62.50 |
| 53473 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 G | 250,000,000 | 2020/10/30 | 2021/06/29 | 62.50 |
| 53474 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 U | 250,000,000 | 2020/10/30 | 2021/11/29 | 62.50 |
| 53480 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 O | 250,000,000 | 2020/10/30 | 2021/12/30 | 62.50 |
| 53465 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 F | 250,000,000 | 2020/10/30 | 2021/11/29 | 62.50 |
| 53471 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 E | 250,000,000 | 2020/10/30 | 2021/11/29 | 62.50 |
| 53522 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 P | 50,000,000 | 2020/10/30 | 2021/02/25 | 12.50 |
| 53520 | Credit Suisse AG – Ali Health R Bull CBBC Jun 2021 A | 50,000,000 | 2020/10/30 | 2021/06/29 | 12.50 |
| 53519 | Credit Suisse AG – Galaxy Ent R Bear CBBC Apr 2021 B | 50,000,000 | 2020/10/30 | 2021/04/29 | 12.50 |
| 53554 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 G | 100,000,000 | 2020/10/30 | 2021/09/29 | 25.00 |
| 53557 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 H | 100,000,000 | 2020/10/30 | 2021/09/29 | 25.00 |
| 53508 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 A | 200,000,000 | 2020/10/30 | 2023/10/30 | 50.00 |
| 53509 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 V | 200,000,000 | 2020/10/30 | 2023/10/30 | 50.00 |
| 53511 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53512 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53510 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53516 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/10/30 | 2021/03/30 | 50.00 |
| 53517 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/10/30 | 2021/03/30 | 50.00 |
| 53559 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/10/30 | 2021/03/30 | 50.00 |
| 53526 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 D | 50,000,000 | 2020/10/30 | 2021/03/30 | 12.50 |
| 53547 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 K | 50,000,000 | 2020/10/30 | 2021/04/29 | 12.50 |
| 53553 | Credit Suisse AG – Tencent R Bear CBBC Apr 2021 E | 50,000,000 | 2020/10/30 | 2021/04/29 | 16.50 |
| 53732 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 G | 60,000,000 | 2020/10/30 | 2021/08/30 | 15.00 |
| 53729 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 G | 80,000,000 | 2020/10/30 | 2021/07/30 | 20.00 |
| 53692 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 E | 160,000,000 | 2020/10/30 | 2023/11/29 | 40.00 |
| 53687 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 N | 160,000,000 | 2020/10/30 | 2023/12/28 | 40.00 |
| 53686 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 R | 160,000,000 | 2020/10/30 | 2023/12/28 | 40.00 |
| 53715 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 D | 160,000,000 | 2020/10/30 | 2021/02/25 | 40.00 |
| 53708 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 T | 160,000,000 | 2020/10/30 | 2021/02/25 | 40.00 |
| 53742 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 S | 160,000,000 | 2020/10/30 | 2021/03/30 | 40.00 |
| 53727 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 C | 60,000,000 | 2020/10/30 | 2021/07/30 | 15.00 |
| 53736 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 D | 100,000,000 | 2020/10/30 | 2021/07/30 | 25.00 |
| 53700 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/30 | 2021/07/30 | 15.00 |
| 53735 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 C | 100,000,000 | 2020/10/30 | 2021/07/30 | 25.00 |
| 53716 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 K | 60,000,000 | 2020/10/30 | 2021/08/30 | 15.00 |
| 53737 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 L | 100,000,000 | 2020/10/30 | 2021/08/30 | 25.00 |
| 53697 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 P | 100,000,000 | 2020/10/30 | 2021/07/30 | 25.00 |
| 53698 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 Q | 100,000,000 | 2020/10/30 | 2021/07/30 | 25.00 |
| 53740 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 R | 80,000,000 | 2020/10/30 | 2021/07/30 | 20.00 |
| 53741 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 F | 80,000,000 | 2020/10/30 | 2021/08/30 | 20.00 |
| 53279 | HK Bank – HKEX R Bear CBBC May 2021 D | 100,000,000 | 2020/10/30 | 2021/05/24 | 25.00 |
| 53276 | HK Bank – HSI R Bull CBBC Mar 2023 E | 120,000,000 | 2020/10/30 | 2023/03/30 | 30.00 |
| 53289 | HK Bank – HSI R Bear CBBC Feb 2021 T | 80,000,000 | 2020/10/30 | 2021/02/25 | 20.00 |
| 53278 | HK Bank – Sunny Optical R Bull CBBC Jul 2021 A | 40,000,000 | 2020/10/30 | 2021/07/05 | 10.00 |
| 53285 | HK Bank – Tencent R Bull CBBC Jun 2021 C | 100,000,000 | 2020/10/30 | 2021/06/21 | 25.00 |
| 53291 | HK Bank – Tencent R Bear CBBC Apr 2021 J | 100,000,000 | 2020/10/30 | 2021/04/26 | 25.00 |
| 53292 | HK Bank – Tencent R Bear CBBC Jun 2021 A | 100,000,000 | 2020/10/30 | 2021/06/21 | 25.00 |
| 53288 | HK Bank – Xiaomi R Bear CBBC Apr 2021 D | 80,000,000 | 2020/10/30 | 2021/04/19 | 20.00 |
| 53446 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 Z | 200,000,000 | 2020/10/30 | 2023/12/28 | 50.00 |
| 53449 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 U | 200,000,000 | 2020/10/30 | 2024/01/30 | 50.00 |
| 53451 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53454 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53462 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53463 | J P Morgan SP BV – Tencent R Bull CBBC May 2021 K | 200,000,000 | 2020/10/30 | 2021/05/21 | 50.00 |
| 53442 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 X | 100,000,000 | 2020/10/30 | 2023/10/30 | 25.00 |
| 53439 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 N | 100,000,000 | 2020/10/30 | 2021/02/25 | 25.00 |
| 53581 | SG Issuer – AIA R Bull CBBC Jul 2021 B | 60,000,000 | 2020/10/30 | 2021/07/30 | 15.00 |
| 53582 | SG Issuer – Alibaba Group R Bull CBBC May 2021 H | 80,000,000 | 2020/10/30 | 2021/05/28 | 20.00 |
| 53624 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 T | 80,000,000 | 2020/10/30 | 2021/04/30 | 20.00 |
| 53627 | SG Issuer – Alibaba Group R Bear CBBC May 2021 P | 80,000,000 | 2020/10/30 | 2021/05/28 | 20.00 |
| 53654 | SG Issuer – China Mobile R Bull CBBC Sep 2021 C | 100,000,000 | 2020/10/30 | 2021/09/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53657 | SG Issuer – China Mobile R Bull CBBC Oct 2021 A | 100,000,000 | 2020/10/30 | 2021/10/29 | 25.00 |
| 53586 | SG Issuer – China Tower R Bull CBBC Dec 2021 A | 120,000,000 | 2020/10/30 | 2021/12/30 | 30.00 |
| 53593 | SG Issuer – HKEX R Bull CBBC May 2021 L | 50,000,000 | 2020/10/30 | 2021/05/31 | 12.50 |
| 53658 | SG Issuer – HSBC R Bull CBBC Nov 2021 B | 100,000,000 | 2020/10/30 | 2021/11/29 | 25.00 |
| 53562 | SG Issuer – HSI R Bull CBBC Dec 2023 F | 250,000,000 | 2020/10/30 | 2023/12/28 | 62.50 |
| 53561 | SG Issuer – HSI R Bull CBBC Dec 2023 W | 250,000,000 | 2020/10/30 | 2023/12/28 | 62.50 |
| 53564 | SG Issuer – HSI R Bull CBBC Jan 2024 W | 250,000,000 | 2020/10/30 | 2024/01/30 | 62.50 |
| 53578 | SG Issuer – HSI R Bull CBBC Jan 2024 X | 250,000,000 | 2020/10/30 | 2024/01/30 | 62.50 |
| 53600 | SG Issuer – HSI R Bear CBBC Mar 2021 L | 250,000,000 | 2020/10/30 | 2021/03/30 | 62.50 |
| 53617 | SG Issuer – HSI R Bear CBBC Apr 2021 R | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53620 | SG Issuer – HSI R Bear CBBC Apr 2021 S | 500,000,000 | 2020/10/30 | 2021/04/29 | 125.00 |
| 53623 | SG Issuer – HSI R Bear CBBC Apr 2021 T | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53640 | SG Issuer – HSI R Bear CBBC Apr 2021 U | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53641 | SG Issuer – HSI R Bear CBBC Apr 2021 V | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53642 | SG Issuer – HSI R Bear CBBC Apr 2021 W | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53644 | SG Issuer – HSI R Bear CBBC Apr 2021 X | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53645 | SG Issuer – HSI R Bear CBBC Apr 2021 Y | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53648 | SG Issuer – HSI R Bear CBBC Apr 2021 Z | 250,000,000 | 2020/10/30 | 2021/04/29 | 62.50 |
| 53649 | SG Issuer – HSI R Bear CBBC May 2021 C | 250,000,000 | 2020/10/30 | 2021/05/28 | 62.50 |
| 53631 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 J | 80,000,000 | 2020/10/30 | 2021/04/30 | 20.00 |
| 53594 | SG Issuer – Meituan Dianping R Bear CBBC May 2021 V | 80,000,000 | 2020/10/30 | 2021/05/28 | 20.00 |
| 53659 | SG Issuer – Tencent R Bull CBBC Mar 2021 P | 80,000,000 | 2020/10/30 | 2021/03/31 | 20.00 |
| 53662 | SG Issuer – Tencent R Bull CBBC May 2021 Y | 80,000,000 | 2020/10/30 | 2021/05/31 | 20.00 |
| 53663 | SG Issuer – Tencent R Bull CBBC May 2021 Z | 80,000,000 | 2020/10/30 | 2021/05/31 | 20.00 |
| 53680 | SG Issuer – Tencent R Bull CBBC Jul 2021 J | 200,000,000 | 2020/10/30 | 2021/07/30 | 50.00 |
| 53595 | SG Issuer – Tencent R Bear CBBC Mar 2021 T | 80,000,000 | 2020/10/30 | 2021/03/31 | 24.80 |
| 53597 | SG Issuer – Tencent R Bear CBBC Apr 2021 N | 80,000,000 | 2020/10/30 | 2021/04/30 | 20.00 |
| 53598 | SG Issuer – Tencent R Bear CBBC Jun 2021 Q | 80,000,000 | 2020/10/30 | 2021/06/29 | 20.00 |
| 53684 | SG Issuer – Tencent R Bear CBBC Jul 2021 A | 200,000,000 | 2020/10/30 | 2021/07/30 | 50.00 |
| 53599 | SG Issuer – Xiaomi R Bull CBBC Mar 2021 S | 60,000,000 | 2020/10/30 | 2021/03/31 | 15.00 |
| 53345 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 D | 80,000,000 | 2020/10/30 | 2021/04/23 | 20.00 |
| 53417 | UBS AG – Bank of China R Bull CBBC Sep 2021 D | 100,000,000 | 2020/10/30 | 2021/09/30 | 25.00 |
| 53421 | UBS AG – Bank of China R Bear CBBC Sep 2021 A | 100,000,000 | 2020/10/30 | 2021/09/30 | 25.00 |
| 53408 | UBS AG – CC Bank R Bull CBBC Sep 2021 A | 100,000,000 | 2020/10/30 | 2021/09/30 | 25.00 |
| 53409 | UBS AG – CC Bank R Bear CBBC Sep 2021 A | 100,000,000 | 2020/10/30 | 2021/09/30 | 25.00 |
| 53433 | UBS AG – HKEX R Bull CBBC Nov 2021 A | 50,000,000 | 2020/10/30 | 2021/11/30 | 12.50 |
| 53353 | UBS AG – HSBC R Bull CBBC Nov 2021 D | 150,000,000 | 2020/10/30 | 2021/11/29 | 37.50 |
| 53426 | UBS AG – HSBC R Bull CBBC Nov 2021 E | 100,000,000 | 2020/10/30 | 2021/11/30 | 25.00 |
| 53342 | UBS AG – HSI R Bull CBBC Jun 2023 D | 200,000,000 | 2020/10/30 | 2023/06/29 | 50.00 |
| 53343 | UBS AG – HSI R Bull CBBC Jun 2023 E | 200,000,000 | 2020/10/30 | 2023/06/29 | 50.00 |
| 53344 | UBS AG – HSI R Bull CBBC Jun 2023 F | 200,000,000 | 2020/10/30 | 2023/06/29 | 50.00 |
| 53378 | UBS AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53355 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53362 | UBS AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/10/30 | 2021/02/25 | 50.00 |
| 53415 | UBS AG – ICBC R Bull CBBC Sep 2021 A | 100,000,000 | 2020/10/30 | 2021/09/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53416 | UBS AG – ICBC R Bear CBBC Sep 2021 A | 100,000,000 | 2020/10/30 | 2021/09/30 | 25.00 |
| 53393 | UBS AG – Meituan Dianping R Bull CBBC Apr 2021 V | 50,000,000 | 2020/10/30 | 2021/04/08 | 12.50 |
| 53348 | UBS AG – Ping An R Bull CBBC Nov 2021 B | 100,000,000 | 2020/10/30 | 2021/11/30 | 25.00 |
| 53349 | UBS AG – Ping An R Bull CBBC Nov 2021 C | 100,000,000 | 2020/10/30 | 2021/11/29 | 25.00 |
| 53385 | UBS AG – Tencent R Bull CBBC Mar 2021 C | 40,000,000 | 2020/10/30 | 2021/03/30 | 10.00 |
| 53424 | UBS AG – Tencent R Bull CBBC May 2021 T | 40,000,000 | 2020/10/30 | 2021/05/31 | 10.00 |
| 53407 | UBS AG – Tencent R Bear CBBC Feb 2021 Q | 40,000,000 | 2020/10/30 | 2021/02/25 | 12.40 |
| 53390 | UBS AG – Tencent R Bear CBBC Mar 2021 Q | 40,000,000 | 2020/10/30 | 2021/03/03 | 10.00 |
| 53405 | UBS AG – Tencent R Bear CBBC Mar 2021 V | 40,000,000 | 2020/10/30 | 2021/03/08 | 10.00 |
| 53350 | UBS AG – Xiaomi R Bull CBBC Apr 2021 R | 60,000,000 | 2020/10/30 | 2021/04/14 | 15.00 |
| 53503 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 G | 40,000,000 | 2020/10/30 | 2021/09/17 | 10.00 |
| 53506 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 H | 40,000,000 | 2020/10/30 | 2021/09/17 | 10.00 |
| 53491 | Bank Vontobel – HSI R Bull CBBC Dec 2023 M | 80,000,000 | 2020/10/30 | 2023/12/28 | 20.00 |
| 53488 | Bank Vontobel – HSI R Bear CBBC Feb 2021 L | 80,000,000 | 2020/10/30 | 2021/02/25 | 20.00 |
| 53484 | Bank Vontobel – Tencent R Bull CBBC Jun 2021 N | 80,000,000 | 2020/10/30 | 2021/06/04 | 20.00 |
| 53501 | Bank Vontobel – Tencent R Bear CBBC Apr 2021 D | 80,000,000 | 2020/10/30 | 2021/04/30 | 20.00 |
| 53502 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 M | 40,000,000 | 2020/10/30 | 2021/07/09 | 10.00 |
| 53487 | Bank Vontobel – Xiaomi R Bear CBBC Apr 2021 A | 40,000,000 | 2020/10/30 | 2021/04/16 | 10.00 |
| 54029 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 F | 60,000,000 | 2020/11/02 | 2021/02/26 | 15.00 |
| 54030 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 G | 60,000,000 | 2020/11/02 | 2021/02/26 | 15.00 |
| 54035 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 C | 40,000,000 | 2020/11/02 | 2021/02/26 | 10.00 |
| 54001 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 M | 150,000,000 | 2020/11/02 | 2023/02/27 | 37.50 |
| 54027 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 B | 40,000,000 | 2020/11/02 | 2021/02/26 | 10.00 |
| 54028 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 C | 40,000,000 | 2020/11/02 | 2021/02/26 | 10.00 |
| 54006 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 I | 120,000,000 | 2020/11/02 | 2021/02/26 | 30.00 |
| 54009 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 J | 40,000,000 | 2020/11/02 | 2021/02/26 | 10.00 |
| 54010 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 H | 40,000,000 | 2020/11/02 | 2021/02/26 | 12.80 |
| 54011 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 I | 40,000,000 | 2020/11/02 | 2021/02/26 | 24.80 |
| 54012 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 J | 120,000,000 | 2020/11/02 | 2021/02/26 | 30.00 |
| 53913 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 N | 250,000,000 | 2020/11/02 | 2021/12/30 | 62.50 |
| 53916 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 Z | 250,000,000 | 2020/11/02 | 2021/09/29 | 62.50 |
| 53745 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/11/02 | 2023/10/30 | 50.00 |
| 53746 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/11/02 | 2023/10/30 | 50.00 |
| 53924 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 P | 240,000,000 | 2020/11/02 | 2021/02/25 | 60.00 |
| 53910 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 H | 250,000,000 | 2020/11/02 | 2021/06/29 | 62.50 |
| 53909 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Jun 2021 E | 200,000,000 | 2020/11/02 | 2021/06/29 | 50.00 |
| 53920 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 V | 250,000,000 | 2020/11/02 | 2021/11/29 | 62.50 |
| 53847 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 V | 250,000,000 | 2020/11/02 | 2021/11/29 | 62.50 |
| 53845 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 F | 250,000,000 | 2020/11/02 | 2021/12/30 | 62.50 |
| 53848 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 J | 250,000,000 | 2020/11/02 | 2021/12/30 | 62.50 |
| 54155 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 F | 50,000,000 | 2020/11/02 | 2021/04/29 | 12.50 |
| 54159 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 I | 50,000,000 | 2020/11/02 | 2021/04/29 | 12.50 |
| 54144 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/02 | 2021/07/29 | 25.00 |
| 54143 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 A | 200,000,000 | 2020/11/02 | 2023/09/28 | 50.00 |
| 54140 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 X | 200,000,000 | 2020/11/02 | 2023/09/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54171 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/02 | 2021/02/25 | 50.00 |
| 54174 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/02 | 2021/02/25 | 50.00 |
| 54175 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 A | 200,000,000 | 2020/11/02 | 2021/04/29 | 50.00 |
| 54148 | Credit Suisse AG – Meituan R Bull CBBC Apr 2021 K | 50,000,000 | 2020/11/02 | 2021/04/29 | 12.50 |
| 54145 | Credit Suisse AG – SMIC R Bull CBBC May 2021 I | 50,000,000 | 2020/11/02 | 2021/05/28 | 12.50 |
| 54169 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 T | 50,000,000 | 2020/11/02 | 2021/02/25 | 12.50 |
| 54170 | Credit Suisse AG – Tencent R Bear CBBC Mar 2021 B | 50,000,000 | 2020/11/02 | 2021/03/30 | 17.50 |
| 53827 | Bank of East Asia – Alibaba Group R Bull CBBC Mar 2021 I | 40,000,000 | 2020/11/02 | 2021/03/17 | 10.00 |
| 53831 | Bank of East Asia – Alibaba Group R Bear CBBC Mar 2021 B | 40,000,000 | 2020/11/02 | 2021/03/17 | 10.00 |
| 53826 | Bank of East Asia – China Tower R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/02 | 2021/11/10 | 25.00 |
| 53838 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 I | 150,000,000 | 2020/11/02 | 2021/03/17 | 37.50 |
| 53842 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 J | 30,000,000 | 2020/11/02 | 2021/03/17 | 10.50 |
| 53843 | Bank of East Asia – Tencent R Bull CBBC Mar 2021 K | 30,000,000 | 2020/11/02 | 2021/03/17 | 10.50 |
| 53844 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 E | 80,000,000 | 2020/11/02 | 2021/07/22 | 20.00 |
| 54291 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 H | 60,000,000 | 2020/11/02 | 2021/08/30 | 15.00 |
| 54305 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 I | 100,000,000 | 2020/11/02 | 2021/08/30 | 25.00 |
| 54302 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 O | 80,000,000 | 2020/11/02 | 2021/07/30 | 20.00 |
| 54241 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 S | 160,000,000 | 2020/11/02 | 2023/12/28 | 40.00 |
| 54242 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 T | 160,000,000 | 2020/11/02 | 2023/12/28 | 40.00 |
| 54317 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 U | 160,000,000 | 2020/11/02 | 2023/12/28 | 40.00 |
| 54320 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 K | 160,000,000 | 2020/11/02 | 2021/02/25 | 40.00 |
| 54321 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/02 | 2021/07/30 | 25.00 |
| 54259 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 G | 60,000,000 | 2020/11/02 | 2021/07/30 | 15.00 |
| 54301 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 X | 60,000,000 | 2020/11/02 | 2021/07/30 | 15.00 |
| 54247 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 M | 60,000,000 | 2020/11/02 | 2021/08/30 | 15.00 |
| 54298 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 N | 60,000,000 | 2020/11/02 | 2021/08/30 | 15.00 |
| 54308 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 O | 100,000,000 | 2020/11/02 | 2021/08/30 | 25.00 |
| 54313 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 G | 60,000,000 | 2020/11/02 | 2021/08/30 | 15.00 |
| 54273 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 R | 60,000,000 | 2020/11/02 | 2021/07/30 | 15.00 |
| 53822 | HK Bank – Alibaba Group R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/02 | 2021/06/07 | 25.00 |
| 53820 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/02 | 2021/07/19 | 25.00 |
| 53819 | HK Bank – HKEX R Bull CBBC Jun 2021 D | 100,000,000 | 2020/11/02 | 2021/06/21 | 25.00 |
| 53767 | HK Bank – HSI R Bull CBBC Mar 2023 T | 120,000,000 | 2020/11/02 | 2023/03/30 | 30.00 |
| 53815 | HK Bank – HSI R Bear CBBC Feb 2021 U | 120,000,000 | 2020/11/02 | 2021/02/25 | 30.00 |
| 53773 | HK Bank – HSI R Bear CBBC Mar 2021 G | 120,000,000 | 2020/11/02 | 2021/03/30 | 30.00 |
| 53817 | HK Bank – ICBC R Bear CBBC Apr 2021 B | 40,000,000 | 2020/11/02 | 2021/04/26 | 10.00 |
| 53824 | HK Bank – Meituan R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/02 | 2021/06/21 | 25.00 |
| 53808 | HK Bank – Tencent R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/02 | 2021/07/26 | 25.00 |
| 53809 | HK Bank – Tencent R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/02 | 2021/07/19 | 25.00 |
| 53764 | HK Bank – Tencent R Bear CBBC Apr 2021 K | 100,000,000 | 2020/11/02 | 2021/04/26 | 25.00 |
| 53816 | HK Bank – Tencent R Bear CBBC Jun 2021 B | 100,000,000 | 2020/11/02 | 2021/06/28 | 25.00 |
| 53799 | HK Bank – Tencent R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/02 | 2021/07/05 | 25.00 |
| 54123 | J P Morgan SP BV – Alibaba Group R Bull CBBC Jun 2021 B | 200,000,000 | 2020/11/02 | 2021/06/18 | 50.00 |
| 54122 | J P Morgan SP BV – HKEX R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/02 | 2021/06/18 | 10.00 |
| 54113 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/11/02 | 2024/01/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54139 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/02 | 2021/03/30 | 50.00 |
| 54126 | J P Morgan SP BV – Meituan R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/02 | 2021/06/18 | 20.00 |
| 54125 | J P Morgan SP BV – Tencent R Bull CBBC Jun 2021 D | 200,000,000 | 2020/11/02 | 2021/06/18 | 50.00 |
| 54137 | J P Morgan SP BV – Tencent R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/02 | 2021/06/18 | 50.00 |
| 53907 | Morgan Stanley Asia Products – Alibaba R Bull CBBC Feb2021 H | 40,000,000 | 2020/11/02 | 2021/02/01 | 10.00 |
| 53905 | Morgan Stanley Asia Products – Alibaba R Bull CBBC Mar2021 D | 40,000,000 | 2020/11/02 | 2021/03/01 | 12.48 |
| 53894 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Jul2021 A | 40,000,000 | 2020/11/02 | 2021/07/29 | 10.00 |
| 53743 | Morgan Stanley Asia Products – Ch Tower R Bull CBBC Dec21 A | 98,000,000 | 2020/11/02 | 2021/12/01 | 24.50 |
| 53853 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 C | 100,000,000 | 2020/11/02 | 2021/03/30 | 25.00 |
| 53893 | Morgan Stanley Asia Products – Tencent R Bull CBBC Mar2021 L | 40,000,000 | 2020/11/02 | 2021/03/30 | 10.96 |
| 53887 | Morgan Stanley Asia Products – Tencent R Bull CBBC May2021 C | 40,000,000 | 2020/11/02 | 2021/05/03 | 18.68 |
| 53873 | Morgan Stanley Asia Products – Tencent R Bull CBBC Nov2021 A | 68,000,000 | 2020/11/02 | 2021/11/29 | 17.00 |
| 53866 | Morgan Stanley Asia Products – Tencent R Bear CBBC Apr2021 O | 40,000,000 | 2020/11/02 | 2021/04/29 | 10.00 |
| 53860 | Morgan Stanley Asia Products – Tencent R Bear CBBC Nov2021 A | 68,000,000 | 2020/11/02 | 2021/11/29 | 17.00 |
| 54200 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 M | 80,000,000 | 2020/11/02 | 2021/06/30 | 20.00 |
| 54202 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 N | 80,000,000 | 2020/11/02 | 2021/06/30 | 20.00 |
| 54178 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 U | 80,000,000 | 2020/11/02 | 2021/04/29 | 20.00 |
| 54179 | SG Issuer – Ali Health R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/02 | 2021/06/30 | 25.00 |
| 54205 | SG Issuer – HKEX R Bull CBBC Jun 2021 H | 50,000,000 | 2020/11/02 | 2021/06/30 | 12.50 |
| 54203 | SG Issuer – HKEX R Bear CBBC Jun 2021 A | 50,000,000 | 2020/11/02 | 2021/06/29 | 12.50 |
| 54180 | SG Issuer – HSBC R Bull CBBC Nov 2021 C | 100,000,000 | 2020/11/02 | 2021/11/29 | 25.00 |
| 54197 | SG Issuer – HSI R Bull CBBC Dec 2023 C | 250,000,000 | 2020/11/02 | 2023/12/28 | 62.50 |
| 54199 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/11/02 | 2024/01/30 | 62.50 |
| 54210 | SG Issuer – HSI R Bear CBBC Mar 2021 Q | 250,000,000 | 2020/11/02 | 2021/03/30 | 62.50 |
| 54211 | SG Issuer – HSI R Bear CBBC Mar 2021 R | 250,000,000 | 2020/11/02 | 2021/03/30 | 62.50 |
| 54214 | SG Issuer – HSI R Bear CBBC Apr 2021 A | 250,000,000 | 2020/11/02 | 2021/04/29 | 62.50 |
| 54216 | SG Issuer – HSI R Bear CBBC Jun 2021 A | 500,000,000 | 2020/11/02 | 2021/06/29 | 125.00 |
| 54219 | SG Issuer – Meituan R Bull CBBC May 2021 E | 80,000,000 | 2020/11/02 | 2021/05/31 | 20.00 |
| 54220 | SG Issuer – Meituan R Bull CBBC May 2021 F | 80,000,000 | 2020/11/02 | 2021/05/31 | 20.00 |
| 54222 | SG Issuer – Meituan R Bull CBBC Jun 2021 R | 80,000,000 | 2020/11/02 | 2021/06/30 | 20.00 |
| 54181 | SG Issuer – Meituan R Bear CBBC Apr 2021 G | 80,000,000 | 2020/11/02 | 2021/04/30 | 20.00 |
| 54208 | SG Issuer – Meituan R Bear CBBC Jun 2021 H | 80,000,000 | 2020/11/02 | 2021/06/29 | 20.00 |
| 54209 | SG Issuer – Ping An R Bull CBBC Oct 2021 G | 100,000,000 | 2020/11/02 | 2021/10/29 | 25.00 |
| 54226 | SG Issuer – Tencent R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/02 | 2021/04/30 | 20.00 |
| 54234 | SG Issuer – Tencent R Bull CBBC May 2021 A | 80,000,000 | 2020/11/02 | 2021/05/31 | 20.00 |
| 54238 | SG Issuer – Tencent R Bull CBBC Jun 2021 R | 80,000,000 | 2020/11/02 | 2021/06/30 | 21.20 |
| 54240 | SG Issuer – Tencent R Bull CBBC Aug 2021 C | 200,000,000 | 2020/11/02 | 2021/08/30 | 50.00 |
| 54188 | SG Issuer – Tencent R Bear CBBC Apr 2021 R | 80,000,000 | 2020/11/02 | 2021/04/30 | 20.00 |
| 54189 | SG Issuer – Tencent R Bear CBBC Jun 2021 R | 80,000,000 | 2020/11/02 | 2021/06/29 | 20.00 |
| 54196 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 E | 200,000,000 | 2020/11/02 | 2021/06/30 | 50.00 |
| 54071 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 O | 80,000,000 | 2020/11/02 | 2021/03/22 | 20.00 |
| 54074 | UBS AG – Alibaba Group R Bull CBBC May 2021 J | 80,000,000 | 2020/11/02 | 2021/05/17 | 20.00 |
| 54040 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 D | 80,000,000 | 2020/11/02 | 2021/03/10 | 20.00 |
| 54045 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 L | 80,000,000 | 2020/11/02 | 2021/04/29 | 20.00 |
| 54109 | UBS AG – HSI R Bull CBBC Sep 2022 D | 200,000,000 | 2020/11/02 | 2022/09/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54110 | UBS AG – HSI R Bull CBBC Sep 2022 G | 200,000,000 | 2020/11/02 | 2022/09/29 | 50.00 |
| 54038 | UBS AG – HSI R Bull CBBC Sep 2022 M | 200,000,000 | 2020/11/02 | 2022/09/29 | 50.00 |
| 54106 | UBS AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/02 | 2021/02/25 | 50.00 |
| 54108 | UBS AG – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/02 | 2021/02/25 | 50.00 |
| 54075 | UBS AG – Meituan R Bull CBBC Apr 2021 W | 50,000,000 | 2020/11/02 | 2021/04/08 | 12.50 |
| 54058 | UBS AG – Meituan R Bear CBBC Apr 2021 R | 50,000,000 | 2020/11/02 | 2021/04/30 | 12.50 |
| 54061 | UBS AG – Meituan R Bear CBBC Apr 2021 S | 50,000,000 | 2020/11/02 | 2021/04/26 | 12.50 |
| 54111 | UBS AG – Tencent R Bull CBBC Mar 2021 B | 40,000,000 | 2020/11/02 | 2021/03/03 | 10.00 |
| 54112 | UBS AG – Tencent R Bull CBBC Apr 2021 N | 40,000,000 | 2020/11/02 | 2021/04/15 | 10.00 |
| 54054 | UBS AG – Tencent R Bear CBBC Mar 2021 W | 40,000,000 | 2020/11/02 | 2021/03/10 | 10.00 |
| 54048 | UBS AG – Tencent R Bear CBBC Apr 2021 Q | 40,000,000 | 2020/11/02 | 2021/04/12 | 10.00 |
| 54104 | UBS AG – Xiaomi R Bull CBBC Aug 2021 K | 60,000,000 | 2020/11/02 | 2021/08/23 | 15.00 |
| 54105 | UBS AG – Xiaomi R Bear CBBC Aug 2021 E | 60,000,000 | 2020/11/02 | 2021/08/23 | 15.00 |
| 53941 | Bank Vontobel – AIA R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/02 | 2021/04/16 | 10.00 |
| 53942 | Bank Vontobel – AIA R Bear CBBC Apr 2021 B | 40,000,000 | 2020/11/02 | 2021/04/16 | 10.00 |
| 53940 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/02 | 2021/11/19 | 10.00 |
| 53939 | Bank Vontobel – HSI R Bull CBBC Dec 2023 N | 80,000,000 | 2020/11/02 | 2023/12/28 | 20.00 |
| 53930 | Bank Vontobel – HSI R Bear CBBC Feb 2021 V | 80,000,000 | 2020/11/02 | 2021/02/25 | 20.00 |
| 53945 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 A | 60,000,000 | 2020/11/02 | 2021/11/19 | 15.00 |
| 53978 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 B | 60,000,000 | 2020/11/02 | 2021/11/26 | 15.00 |
| 53997 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 D | 80,000,000 | 2020/11/02 | 2021/11/19 | 20.00 |
| 53999 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 E | 80,000,000 | 2020/11/02 | 2021/11/26 | 20.00 |
| 54523 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 H | 60,000,000 | 2020/11/03 | 2021/02/26 | 15.00 |
| 54492 | BOCI Asia Ltd. – China Mobile R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/03 | 2021/04/30 | 10.00 |
| 54477 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 Z | 150,000,000 | 2020/11/03 | 2023/02/27 | 37.50 |
| 54524 | BOCI Asia Ltd. – Meituan R Bear CBBC Feb 2021 B | 40,000,000 | 2020/11/03 | 2021/02/26 | 13.20 |
| 54488 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 K | 120,000,000 | 2020/11/03 | 2021/02/26 | 30.00 |
| 54491 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 L | 120,000,000 | 2020/11/03 | 2021/02/26 | 30.00 |
| 54493 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 E | 40,000,000 | 2020/11/03 | 2021/02/26 | 10.00 |
| 54385 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 D | 190,000,000 | 2020/11/03 | 2023/10/30 | 47.50 |
| 54751 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 E | 190,000,000 | 2020/11/03 | 2023/10/30 | 47.50 |
| 54381 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/11/03 | 2023/10/30 | 50.00 |
| 54382 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 W | 200,000,000 | 2020/11/03 | 2023/10/30 | 50.00 |
| 54383 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/11/03 | 2023/10/30 | 50.00 |
| 54456 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 B | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54457 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 E | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54775 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 F | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54776 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 G | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54473 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 H | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54773 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 L | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54451 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 U | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54454 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 V | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 54795 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 W | 250,000,000 | 2020/11/03 | 2021/11/29 | 62.50 |
| 54788 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 K | 250,000,000 | 2020/11/03 | 2021/12/30 | 62.50 |
| 54897 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 C | 50,000,000 | 2020/11/03 | 2021/05/27 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54847 | Credit Suisse AG – China Mobile R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/03 | 2021/07/15 | 25.00 |
| 54846 | Credit Suisse AG – HKEX R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/03 | 2021/07/08 | 25.00 |
| 54812 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 B | 200,000,000 | 2020/11/03 | 2023/10/30 | 50.00 |
| 54807 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/11/03 | 2023/10/30 | 50.00 |
| 54809 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 E | 200,000,000 | 2020/11/03 | 2023/11/29 | 50.00 |
| 54819 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 G | 200,000,000 | 2020/11/03 | 2023/11/29 | 50.00 |
| 54833 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/03 | 2021/02/25 | 50.00 |
| 54838 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/03 | 2021/02/25 | 50.00 |
| 54874 | Credit Suisse AG – Meituan R Bull CBBC Apr 2021 L | 100,000,000 | 2020/11/03 | 2021/04/23 | 25.00 |
| 54873 | Credit Suisse AG – Meituan R Bull CBBC May 2021 C | 50,000,000 | 2020/11/03 | 2021/05/13 | 12.50 |
| 54872 | Credit Suisse AG – Meituan R Bear CBBC Apr 2021 G | 100,000,000 | 2020/11/03 | 2021/04/29 | 25.00 |
| 54845 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 L | 100,000,000 | 2020/11/03 | 2021/04/20 | 25.00 |
| 54848 | Credit Suisse AG – Tencent R Bull CBBC May 2021 C | 50,000,000 | 2020/11/03 | 2021/05/26 | 12.50 |
| 54849 | Credit Suisse AG – Tencent R Bear CBBC Apr 2021 F | 100,000,000 | 2020/11/03 | 2021/04/30 | 25.00 |
| 54991 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 J | 60,000,000 | 2020/11/03 | 2021/08/30 | 15.00 |
| 55003 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 K | 80,000,000 | 2020/11/03 | 2021/08/30 | 20.00 |
| 55055 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 P | 100,000,000 | 2020/11/03 | 2021/07/30 | 25.00 |
| 54952 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 N | 160,000,000 | 2020/11/03 | 2023/11/29 | 40.00 |
| 54950 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 V | 160,000,000 | 2020/11/03 | 2023/12/28 | 40.00 |
| 54951 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 X | 160,000,000 | 2020/11/03 | 2023/12/28 | 40.00 |
| 55047 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 N | 160,000,000 | 2020/11/03 | 2021/02/25 | 40.00 |
| 55054 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 H | 160,000,000 | 2020/11/03 | 2021/04/29 | 40.00 |
| 55264 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 L | 160,000,000 | 2020/11/03 | 2021/04/29 | 40.00 |
| 54987 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 H | 60,000,000 | 2020/11/03 | 2021/07/30 | 15.00 |
| 55057 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 I | 60,000,000 | 2020/11/03 | 2021/07/30 | 15.00 |
| 55068 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 J | 100,000,000 | 2020/11/03 | 2021/07/30 | 25.00 |
| 55073 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 K | 60,000,000 | 2020/11/03 | 2021/07/30 | 15.00 |
| 55002 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 T | 80,000,000 | 2020/11/03 | 2021/07/30 | 20.00 |
| 55032 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 F | 60,000,000 | 2020/11/03 | 2021/07/30 | 15.00 |
| 55268 | Goldman Sachs SP (Asia) – Ping An R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/03 | 2021/07/30 | 25.00 |
| 54976 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 P | 60,000,000 | 2020/11/03 | 2021/08/30 | 15.00 |
| 54999 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Q | 80,000,000 | 2020/11/03 | 2021/08/30 | 20.00 |
| 55067 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 R | 60,000,000 | 2020/11/03 | 2021/08/30 | 15.00 |
| 55030 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Jul 2021 S | 80,000,000 | 2020/11/03 | 2021/07/30 | 20.00 |
| 55028 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 H | 60,000,000 | 2020/11/03 | 2021/08/30 | 15.00 |
| 55031 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 I | 80,000,000 | 2020/11/03 | 2021/08/30 | 20.00 |
| 55058 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 S | 100,000,000 | 2020/11/03 | 2021/07/30 | 25.00 |
| 55070 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 T | 80,000,000 | 2020/11/03 | 2021/07/30 | 20.00 |
| 55267 | Goldman Sachs SP (Asia) – Xiaomi R Bear CBBC Jul 2021 E | 100,000,000 | 2020/11/03 | 2021/07/30 | 25.00 |
| 54393 | HK Bank – AIA R Bull CBBC Jul 2021 A | 60,000,000 | 2020/11/03 | 2021/07/05 | 15.00 |
| 54433 | HK Bank – Alibaba Group R Bull CBBC May 2021 E | 100,000,000 | 2020/11/03 | 2021/05/24 | 25.00 |
| 54420 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/03 | 2021/07/26 | 25.00 |
| 54394 | HK Bank – CC Bank R Bull CBBC Aug 2021 C | 60,000,000 | 2020/11/03 | 2021/08/30 | 15.00 |
| 54434 | HK Bank – HKEX R Bull CBBC Jun 2021 E | 100,000,000 | 2020/11/03 | 2021/06/07 | 25.00 |
| 54326 | HK Bank – HSI R Bull CBBC Mar 2023 G | 80,000,000 | 2020/11/03 | 2023/03/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 54336 | HK Bank – HSI R Bull CBBC Mar 2023 I | 120,000,000 | 2020/11/03 | 2023/03/30 | 30.00 |
| 54435 | HK Bank – HSI R Bear CBBC Mar 2021 H | 120,000,000 | 2020/11/03 | 2021/03/30 | 30.00 |
| 54322 | HK Bank – Meituan R Bull CBBC Apr 2021 H | 100,000,000 | 2020/11/03 | 2021/04/26 | 25.00 |
| 54530 | HK Bank – Meituan R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/03 | 2021/07/19 | 25.00 |
| 54396 | HK Bank – Meituan R Bear CBBC May 2021 A | 100,000,000 | 2020/11/03 | 2021/05/17 | 25.00 |
| 54325 | HK Bank – Tencent R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/03 | 2021/07/12 | 25.00 |
| 54529 | HK Bank – Tencent R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/03 | 2021/08/09 | 25.00 |
| 54735 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 Q | 200,000,000 | 2020/11/03 | 2023/12/28 | 50.00 |
| 54736 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/11/03 | 2023/12/28 | 50.00 |
| 54722 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 W | 200,000,000 | 2020/11/03 | 2024/01/30 | 50.00 |
| 54742 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/03 | 2021/02/25 | 50.00 |
| 54750 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/03 | 2021/03/30 | 50.00 |
| 54741 | J P Morgan SP BV – Meituan R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/03 | 2021/06/18 | 50.00 |
| 54737 | J P Morgan SP BV – Tencent R Bear CBBC Jun 2021 B | 200,000,000 | 2020/11/03 | 2021/06/18 | 50.00 |
| 54337 | Morgan Stanley Asia Products – HSI R Bull CBBC Jun 2023 M | 100,000,000 | 2020/11/03 | 2023/06/29 | 25.00 |
| 54338 | Morgan Stanley Asia Products – HSI R Bull CBBC Aug 2023 J | 100,000,000 | 2020/11/03 | 2023/08/30 | 25.00 |
| 54946 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 O | 100,000,000 | 2020/11/03 | 2021/02/25 | 25.00 |
| 55369 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 M | 80,000,000 | 2020/11/03 | 2021/04/30 | 20.00 |
| 55371 | SG Issuer – Alibaba Group R Bull CBBC May 2021 I | 80,000,000 | 2020/11/03 | 2021/05/31 | 20.00 |
| 55402 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 O | 80,000,000 | 2020/11/03 | 2021/06/30 | 20.00 |
| 55899 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 F | 200,000,000 | 2020/11/03 | 2021/07/29 | 50.00 |
| 55760 | SG Issuer – Alibaba Group R Bear CBBC May 2021 Q | 80,000,000 | 2020/11/03 | 2021/05/28 | 20.00 |
| 55993 | SG Issuer – Alibaba Group R Bear CBBC Aug 2021 A | 200,000,000 | 2020/11/03 | 2021/08/30 | 50.00 |
| 56101 | SG Issuer – Alibaba Group R Bear CBBC Sep 2021 A | 200,000,000 | 2020/11/03 | 2021/09/29 | 50.00 |
| 55464 | SG Issuer – China Mobile R Bull CBBC Oct 2021 B | 100,000,000 | 2020/11/03 | 2021/10/29 | 25.00 |
| 56288 | SG Issuer – HKEX R Bull CBBC Sep 2021 A | 50,000,000 | 2020/11/03 | 2021/09/29 | 12.50 |
| 56296 | SG Issuer – HKEX R Bull CBBC Oct 2021 A | 200,000,000 | 2020/11/03 | 2021/10/29 | 50.00 |
| 55311 | SG Issuer – HSI R Bull CBBC Dec 2023 N | 250,000,000 | 2020/11/03 | 2023/12/28 | 62.50 |
| 55367 | SG Issuer – HSI R Bull CBBC Jan 2024 A | 250,000,000 | 2020/11/03 | 2024/01/30 | 62.50 |
| 55368 | SG Issuer – HSI R Bull CBBC Jan 2024 B | 250,000,000 | 2020/11/03 | 2024/01/30 | 62.50 |
| 57078 | SG Issuer – HSI R Bull CBBC Jan 2024 E | 250,000,000 | 2020/11/03 | 2024/01/30 | 62.50 |
| 55366 | SG Issuer – HSI R Bull CBBC Jan 2024 Z | 250,000,000 | 2020/11/03 | 2024/01/30 | 62.50 |
| 55596 | SG Issuer – HSI R Bear CBBC Feb 2021 A | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 55698 | SG Issuer – HSI R Bear CBBC Feb 2021 J | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 57062 | SG Issuer – HSI R Bear CBBC Feb 2021 K | 250,000,000 | 2020/11/03 | 2021/02/25 | 62.50 |
| 55747 | SG Issuer – HSI R Bear CBBC Mar 2021 S | 250,000,000 | 2020/11/03 | 2021/03/30 | 62.50 |
| 56322 | SG Issuer – Meituan R Bull CBBC Apr 2021 G | 80,000,000 | 2020/11/03 | 2021/04/30 | 20.00 |
| 56383 | SG Issuer – Meituan R Bull CBBC May 2021 G | 80,000,000 | 2020/11/03 | 2021/05/31 | 20.00 |
| 56411 | SG Issuer – Meituan R Bull CBBC Aug 2021 A | 80,000,000 | 2020/11/03 | 2021/08/30 | 20.00 |
| 56412 | SG Issuer – Meituan R Bull CBBC Sep 2021 B | 200,000,000 | 2020/11/03 | 2021/09/29 | 50.00 |
| 55786 | SG Issuer – Meituan R Bear CBBC Apr 2021 H | 80,000,000 | 2020/11/03 | 2021/04/29 | 20.00 |
| 56392 | SG Issuer – Meituan R Bear CBBC May 2021 W | 80,000,000 | 2020/11/03 | 2021/05/31 | 20.00 |
| 55794 | SG Issuer – Meituan R Bear CBBC Jun 2021 I | 80,000,000 | 2020/11/03 | 2021/06/29 | 20.00 |
| 56397 | SG Issuer – Meituan R Bear CBBC Jul 2021 C | 80,000,000 | 2020/11/03 | 2021/07/29 | 38.00 |
| 56548 | SG Issuer – Meituan R Bear CBBC Sep 2021 A | 200,000,000 | 2020/11/03 | 2021/09/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56613 | SG Issuer – SMIC R Bull CBBC May 2021 G | 120,000,000 | 2020/11/03 | 2021/05/31 | 30.00 |
| 56614 | SG Issuer – Tencent R Bull CBBC Mar 2021 R | 80,000,000 | 2020/11/03 | 2021/03/31 | 20.00 |
| 56693 | SG Issuer – Tencent R Bull CBBC Jun 2021 S | 80,000,000 | 2020/11/03 | 2021/06/30 | 20.00 |
| 56698 | SG Issuer – Tencent R Bull CBBC Jul 2021 K | 80,000,000 | 2020/11/03 | 2021/07/29 | 20.00 |
| 56869 | SG Issuer – Tencent R Bull CBBC Aug 2021 D | 200,000,000 | 2020/11/03 | 2021/08/30 | 50.00 |
| 55798 | SG Issuer – Tencent R Bear CBBC May 2021 V | 80,000,000 | 2020/11/03 | 2021/05/28 | 20.00 |
| 55799 | SG Issuer – Tencent R Bear CBBC Jun 2021 S | 80,000,000 | 2020/11/03 | 2021/06/30 | 20.00 |
| 56822 | SG Issuer – Tencent R Bear CBBC Jul 2021 B | 80,000,000 | 2020/11/03 | 2021/07/29 | 36.80 |
| 56844 | SG Issuer – Tencent R Bear CBBC Jul 2021 C | 200,000,000 | 2020/11/03 | 2021/07/29 | 57.00 |
| 57007 | SG Issuer – Tencent R Bear CBBC Aug 2021 B | 200,000,000 | 2020/11/03 | 2021/08/31 | 50.00 |
| 57048 | SG Issuer – Tencent R Bear CBBC Aug 2021 C | 200,000,000 | 2020/11/03 | 2021/08/31 | 74.00 |
| 57054 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 Q | 60,000,000 | 2020/11/03 | 2021/04/30 | 15.00 |
| 54563 | UBS AG – Alibaba Group R Bull CBBC Feb 2021 A | 80,000,000 | 2020/11/03 | 2021/02/25 | 20.00 |
| 54562 | UBS AG – Alibaba Group R Bull CBBC Feb 2021 C | 80,000,000 | 2020/11/03 | 2021/02/22 | 20.00 |
| 54707 | UBS AG – Alibaba Group R Bear CBBC Feb 2021 Z | 80,000,000 | 2020/11/03 | 2021/02/25 | 20.00 |
| 54693 | UBS AG – HKEX R Bull CBBC Feb 2021 Q | 50,000,000 | 2020/11/03 | 2021/02/08 | 12.50 |
| 54675 | UBS AG – HKEX R Bull CBBC Mar 2021 L | 50,000,000 | 2020/11/03 | 2021/03/01 | 12.50 |
| 54531 | UBS AG – HSI R Bull CBBC Sep 2022 H | 200,000,000 | 2020/11/03 | 2022/09/29 | 50.00 |
| 54532 | UBS AG – HSI R Bull CBBC Sep 2022 T | 200,000,000 | 2020/11/03 | 2022/09/29 | 50.00 |
| 54540 | UBS AG – HSI R Bull CBBC Sep 2022 W | 200,000,000 | 2020/11/03 | 2022/09/29 | 50.00 |
| 54663 | UBS AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/03 | 2021/02/25 | 50.00 |
| 54674 | UBS AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/03 | 2021/02/25 | 50.00 |
| 54651 | UBS AG – Meituan R Bull CBBC Feb 2021 K | 50,000,000 | 2020/11/03 | 2021/02/22 | 12.50 |
| 54652 | UBS AG – Meituan R Bull CBBC Feb 2021 L | 50,000,000 | 2020/11/03 | 2021/02/25 | 12.50 |
| 54692 | UBS AG – Meituan R Bull CBBC Mar 2021 A | 50,000,000 | 2020/11/03 | 2021/03/10 | 12.50 |
| 54691 | UBS AG – Meituan R Bull CBBC Mar 2021 Z | 50,000,000 | 2020/11/03 | 2021/03/01 | 12.50 |
| 54709 | UBS AG – Meituan R Bear CBBC Feb 2021 H | 50,000,000 | 2020/11/03 | 2021/02/25 | 12.50 |
| 54708 | UBS AG – Meituan R Bear CBBC Mar 2021 W | 50,000,000 | 2020/11/03 | 2021/03/10 | 12.50 |
| 54548 | UBS AG – Tencent R Bull CBBC Feb 2021 V | 40,000,000 | 2020/11/03 | 2021/02/22 | 10.00 |
| 54561 | UBS AG – Tencent R Bull CBBC Feb 2021 W | 40,000,000 | 2020/11/03 | 2021/02/25 | 10.00 |
| 54721 | UBS AG – Tencent R Bull CBBC Feb 2021 X | 40,000,000 | 2020/11/03 | 2021/02/08 | 10.00 |
| 54679 | UBS AG – Tencent R Bull CBBC Mar 2021 D | 40,000,000 | 2020/11/03 | 2021/03/01 | 10.00 |
| 54694 | UBS AG – Tencent R Bear CBBC Feb 2021 S | 40,000,000 | 2020/11/03 | 2021/02/22 | 10.00 |
| 54697 | UBS AG – Tencent R Bear CBBC Feb 2021 T | 40,000,000 | 2020/11/03 | 2021/02/25 | 10.00 |
| 54662 | UBS AG – Xiaomi R Bull CBBC Apr 2021 S | 60,000,000 | 2020/11/03 | 2021/04/07 | 15.00 |
| 54922 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/03 | 2021/11/12 | 10.00 |
| 54945 | Bank Vontobel – Alibaba Group R Bear CBBC Sep 2021 B | 100,000,000 | 2020/11/03 | 2021/09/24 | 25.00 |
| 54907 | Bank Vontobel – China Mobile R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/03 | 2021/04/09 | 10.00 |
| 54904 | Bank Vontobel – HKEX R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/03 | 2021/11/19 | 10.00 |
| 54902 | Bank Vontobel – HSI N Bull CBBC Dec 2023 O | 40,000,000 | 2020/11/03 | 2023/12/28 | 10.00 |
| 54901 | Bank Vontobel – HSI N Bear CBBC Feb 2021 K | 40,000,000 | 2020/11/03 | 2021/02/25 | 10.00 |
| 54923 | Bank Vontobel – HSI R Bull CBBC Dec 2023 P | 80,000,000 | 2020/11/03 | 2023/12/28 | 20.00 |
| 54943 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 C | 60,000,000 | 2020/11/03 | 2021/11/12 | 15.00 |
| 54916 | Bank Vontobel – Meituan R Bear CBBC Jun 2021 M | 60,000,000 | 2020/11/03 | 2021/06/25 | 15.00 |
| 54942 | Bank Vontobel – Meituan R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/03 | 2021/09/03 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54940 | Bank Vontobel – Tencent R Bear CBBC Aug 2021 A | 100,000,000 | 2020/11/03 | 2021/08/13 | 27.00 |
| 54944 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 E | 40,000,000 | 2020/11/03 | 2021/11/19 | 10.00 |
| 59537 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 E | 60,000,000 | 2020/11/04 | 2021/02/26 | 15.00 |
| 57534 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 D | 40,000,000 | 2020/11/04 | 2021/02/26 | 10.00 |
| 57524 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 B | 150,000,000 | 2020/11/04 | 2023/02/27 | 37.50 |
| 57529 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 B | 150,000,000 | 2020/11/04 | 2021/02/25 | 37.50 |
| 59495 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 C | 150,000,000 | 2020/11/04 | 2021/02/25 | 37.50 |
| 57607 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 D | 40,000,000 | 2020/11/04 | 2021/02/26 | 10.00 |
| 57624 | BOCI Asia Ltd. – Meituan R Bear CBBC Feb 2021 C | 40,000,000 | 2020/11/04 | 2021/02/26 | 10.00 |
| 57571 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 M | 40,000,000 | 2020/11/04 | 2021/02/26 | 10.00 |
| 59530 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 N | 120,000,000 | 2020/11/04 | 2021/02/26 | 30.00 |
| 57827 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 H | 200,000,000 | 2020/11/04 | 2023/10/30 | 50.00 |
| 57920 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/11/04 | 2023/10/30 | 50.00 |
| 57978 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 I | 250,000,000 | 2020/11/04 | 2021/02/25 | 62.50 |
| 57931 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 J | 250,000,000 | 2020/11/04 | 2021/02/25 | 62.50 |
| 57969 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 K | 250,000,000 | 2020/11/04 | 2021/02/25 | 62.50 |
| 57979 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 M | 250,000,000 | 2020/11/04 | 2021/02/25 | 62.50 |
| 57982 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 R | 240,000,000 | 2020/11/04 | 2021/02/25 | 60.00 |
| 59877 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 D | 50,000,000 | 2020/11/04 | 2021/05/14 | 12.50 |
| 59787 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 Y | 200,000,000 | 2020/11/04 | 2023/09/28 | 50.00 |
| 59788 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 B | 200,000,000 | 2020/11/04 | 2023/11/29 | 50.00 |
| 59790 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 C | 200,000,000 | 2020/11/04 | 2023/11/29 | 50.00 |
| 59804 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 H | 200,000,000 | 2020/11/04 | 2023/11/29 | 50.00 |
| 59961 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 59964 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 59970 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 59993 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/11/04 | 2021/03/30 | 50.00 |
| 59836 | Credit Suisse AG – Meituan R Bull CBBC May 2021 D | 100,000,000 | 2020/11/04 | 2021/05/11 | 25.00 |
| 59921 | Credit Suisse AG – SMIC R Bull CBBC Jul 2021 C | 50,000,000 | 2020/11/04 | 2021/07/06 | 12.50 |
| 59818 | Credit Suisse AG – Tencent R Bull CBBC May 2021 D | 50,000,000 | 2020/11/04 | 2021/05/28 | 12.50 |
| 59819 | Credit Suisse AG – Tencent R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/04 | 2021/06/18 | 25.00 |
| 59956 | Credit Suisse AG – Xiaomi R Bull CBBC Jun 2021 D | 100,000,000 | 2020/11/04 | 2021/06/23 | 25.00 |
| 57223 | Bank of East Asia – Meituan R Bear CBBC Mar 2021 B | 40,000,000 | 2020/11/04 | 2021/03/18 | 10.00 |
| 57143 | Bank of East Asia – Tencent R Bear CBBC Mar 2021 D | 30,000,000 | 2020/11/04 | 2021/03/18 | 10.50 |
| 60602 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 L | 60,000,000 | 2020/11/04 | 2021/08/30 | 15.00 |
| 60639 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 M | 100,000,000 | 2020/11/04 | 2021/08/30 | 25.00 |
| 60908 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 N | 60,000,000 | 2020/11/04 | 2021/08/30 | 15.00 |
| 60917 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 Q | 80,000,000 | 2020/11/04 | 2021/07/30 | 20.00 |
| 60742 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 A | 160,000,000 | 2020/11/04 | 2023/10/30 | 40.00 |
| 60743 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 E | 160,000,000 | 2020/11/04 | 2023/10/30 | 40.00 |
| 60669 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 P | 160,000,000 | 2020/11/04 | 2023/10/30 | 40.00 |
| 60720 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 U | 160,000,000 | 2020/11/04 | 2023/10/30 | 40.00 |
| 60567 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 S | 160,000,000 | 2020/11/04 | 2023/11/29 | 40.00 |
| 60683 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 W | 160,000,000 | 2020/11/04 | 2023/11/29 | 40.00 |
| 60814 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 W | 160,000,000 | 2020/11/04 | 2023/12/28 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60892 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 Y | 160,000,000 | 2020/11/04 | 2023/12/28 | 40.00 |
| 60524 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 O | 160,000,000 | 2020/11/04 | 2021/02/25 | 40.00 |
| 60685 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 R | 160,000,000 | 2020/11/04 | 2021/02/25 | 40.00 |
| 60708 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 A | 160,000,000 | 2020/11/04 | 2021/04/29 | 40.00 |
| 60746 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 B | 160,000,000 | 2020/11/04 | 2021/04/29 | 40.00 |
| 60877 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 E | 160,000,000 | 2020/11/04 | 2021/04/29 | 40.00 |
| 60883 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 F | 160,000,000 | 2020/11/04 | 2021/04/29 | 40.00 |
| 60700 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 I | 160,000,000 | 2020/11/04 | 2021/04/29 | 40.00 |
| 60706 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 W | 160,000,000 | 2020/11/04 | 2021/04/29 | 40.00 |
| 60586 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 A | 60,000,000 | 2020/11/04 | 2021/07/30 | 15.00 |
| 60619 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 L | 60,000,000 | 2020/11/04 | 2021/07/30 | 15.00 |
| 60666 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 V | 100,000,000 | 2020/11/04 | 2021/07/30 | 25.00 |
| 60904 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/04 | 2021/08/30 | 15.00 |
| 60576 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 S | 60,000,000 | 2020/11/04 | 2021/08/30 | 15.00 |
| 60608 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 T | 100,000,000 | 2020/11/04 | 2021/08/30 | 25.00 |
| 60665 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 U | 100,000,000 | 2020/11/04 | 2021/08/30 | 25.00 |
| 60894 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 V | 60,000,000 | 2020/11/04 | 2021/08/30 | 15.00 |
| 60909 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 W | 100,000,000 | 2020/11/04 | 2021/08/30 | 25.00 |
| 60638 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 K | 60,000,000 | 2020/11/04 | 2021/07/30 | 15.00 |
| 60589 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/11/04 | 2021/07/30 | 15.00 |
| 57412 | HK Bank – China Mobile R Bull CBBC Jul 2021 B | 60,000,000 | 2020/11/04 | 2021/07/05 | 15.00 |
| 57495 | HK Bank – China Mobile R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/04 | 2021/04/26 | 10.00 |
| 57241 | HK Bank – China Tower R Bull CBBC Nov 2021 A | 80,000,000 | 2020/11/04 | 2021/11/15 | 20.00 |
| 57234 | HK Bank – HKEX R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/04 | 2021/07/05 | 25.00 |
| 57314 | HK Bank – HKEX R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/04 | 2021/07/05 | 25.00 |
| 57356 | HK Bank – HSI R Bull CBBC Mar 2023 H | 120,000,000 | 2020/11/04 | 2023/03/30 | 30.00 |
| 57240 | HK Bank – HSI R Bull CBBC Mar 2023 N | 80,000,000 | 2020/11/04 | 2023/03/30 | 20.00 |
| 57650 | HK Bank – HSI R Bear CBBC Mar 2021 I | 80,000,000 | 2020/11/04 | 2021/03/30 | 20.00 |
| 57707 | HK Bank – HSI R Bear CBBC Mar 2021 J | 100,000,000 | 2020/11/04 | 2021/03/30 | 25.00 |
| 57229 | HK Bank – Meituan R Bull CBBC Jun 2021 C | 100,000,000 | 2020/11/04 | 2021/06/07 | 25.00 |
| 57420 | HK Bank – Meituan R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/04 | 2021/07/05 | 25.00 |
| 57472 | HK Bank – Meituan R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/04 | 2021/06/07 | 25.00 |
| 57413 | HK Bank – Tencent R Bull CBBC Mar 2021 O | 100,000,000 | 2020/11/04 | 2021/03/18 | 25.00 |
| 57425 | HK Bank – Tencent R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/04 | 2021/08/16 | 25.00 |
| 57520 | HK Bank – Tencent R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/04 | 2021/07/19 | 25.00 |
| 59237 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 U | 200,000,000 | 2020/11/04 | 2023/12/28 | 50.00 |
| 59230 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 W | 200,000,000 | 2020/11/04 | 2023/12/28 | 50.00 |
| 59105 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 X | 200,000,000 | 2020/11/04 | 2024/01/30 | 50.00 |
| 59243 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 Y | 200,000,000 | 2020/11/04 | 2024/01/30 | 50.00 |
| 59402 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 59166 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/04 | 2021/03/30 | 50.00 |
| 59214 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/04 | 2021/03/30 | 50.00 |
| 59326 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/11/04 | 2021/03/30 | 50.00 |
| 59436 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/04 | 2021/03/30 | 50.00 |
| 59470 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/04 | 2021/03/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 57120 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 Y | 100,000,000 | 2020/11/04 | 2023/10/30 | 25.00 |
| 57779 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 P | 100,000,000 | 2020/11/04 | 2021/02/25 | 25.00 |
| 57709 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 D | 100,000,000 | 2020/11/04 | 2021/03/30 | 25.00 |
| 60016 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 N | 80,000,000 | 2020/11/04 | 2021/04/30 | 20.00 |
| 60292 | SG Issuer – Alibaba Group R Bull CBBC May 2021 J | 80,000,000 | 2020/11/04 | 2021/05/31 | 20.00 |
| 60294 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 P | 80,000,000 | 2020/11/04 | 2021/06/30 | 20.00 |
| 60426 | SG Issuer – Alibaba Group R Bear CBBC Mar 2021 Y | 80,000,000 | 2020/11/04 | 2021/03/31 | 20.00 |
| 60431 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 V | 80,000,000 | 2020/11/04 | 2021/04/30 | 20.00 |
| 60434 | SG Issuer – China Mobile R Bear CBBC Apr 2021 A | 100,000,000 | 2020/11/04 | 2021/04/09 | 25.00 |
| 60022 | SG Issuer – China Life R Bull CBBC Aug 2021 D | 150,000,000 | 2020/11/04 | 2021/08/30 | 37.50 |
| 60050 | SG Issuer – Geely Auto R Bull CBBC Apr 2021 B | 40,000,000 | 2020/11/04 | 2021/04/30 | 10.00 |
| 60058 | SG Issuer – HKEX R Bull CBBC Apr 2021 G | 50,000,000 | 2020/11/04 | 2021/04/30 | 12.50 |
| 60200 | SG Issuer – HSI R Bull CBBC Nov 2023 C | 250,000,000 | 2020/11/04 | 2023/11/29 | 62.50 |
| 60216 | SG Issuer – HSI R Bull CBBC Dec 2023 G | 250,000,000 | 2020/11/04 | 2023/12/28 | 62.50 |
| 60171 | SG Issuer – HSI R Bull CBBC Jan 2024 C | 250,000,000 | 2020/11/04 | 2024/01/30 | 62.50 |
| 60277 | SG Issuer – HSI R Bull CBBC Jan 2024 D | 250,000,000 | 2020/11/04 | 2024/01/30 | 62.50 |
| 60385 | SG Issuer – HSI R Bull CBBC Jan 2024 F | 250,000,000 | 2020/11/04 | 2024/01/30 | 62.50 |
| 60290 | SG Issuer – HSI R Bull CBBC Jan 2024 G | 250,000,000 | 2020/11/04 | 2024/01/30 | 62.50 |
| 60401 | SG Issuer – HSI R Bull CBBC Jan 2024 I | 250,000,000 | 2020/11/04 | 2024/01/30 | 62.50 |
| 60369 | SG Issuer – HSI R Bear CBBC Mar 2021 M | 250,000,000 | 2020/11/04 | 2021/03/30 | 62.50 |
| 60374 | SG Issuer – HSI R Bear CBBC Mar 2021 T | 250,000,000 | 2020/11/04 | 2021/03/30 | 62.50 |
| 60377 | SG Issuer – HSI R Bear CBBC Apr 2021 B | 250,000,000 | 2020/11/04 | 2021/04/29 | 62.50 |
| 60376 | SG Issuer – HSI R Bear CBBC Apr 2021 C | 250,000,000 | 2020/11/04 | 2021/04/29 | 62.50 |
| 60383 | SG Issuer – HSI R Bear CBBC Apr 2021 D | 250,000,000 | 2020/11/04 | 2021/04/29 | 62.50 |
| 60302 | SG Issuer – Meituan R Bull CBBC May 2021 H | 80,000,000 | 2020/11/04 | 2021/05/31 | 20.00 |
| 60304 | SG Issuer – Meituan R Bull CBBC Jun 2021 S | 80,000,000 | 2020/11/04 | 2021/06/30 | 20.00 |
| 60441 | SG Issuer – Meituan R Bull CBBC Jun 2021 T | 80,000,000 | 2020/11/04 | 2021/06/30 | 20.00 |
| 60447 | SG Issuer – Meituan R Bull CBBC Jul 2021 H | 80,000,000 | 2020/11/04 | 2021/07/29 | 20.00 |
| 60076 | SG Issuer – Meituan R Bear CBBC Jun 2021 J | 80,000,000 | 2020/11/04 | 2021/06/29 | 20.00 |
| 60083 | SG Issuer – Ping An R Bull CBBC Aug 2021 Q | 100,000,000 | 2020/11/04 | 2021/08/30 | 25.00 |
| 60455 | SG Issuer – SMIC R Bull CBBC Aug 2021 C | 120,000,000 | 2020/11/04 | 2021/08/31 | 30.00 |
| 60111 | SG Issuer – SMIC R Bear CBBC Jun 2021 D | 120,000,000 | 2020/11/04 | 2021/06/29 | 30.00 |
| 60139 | SG Issuer – Sunny Optical R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/04 | 2021/06/30 | 15.00 |
| 60314 | SG Issuer – Tencent R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/11/04 | 2021/04/30 | 20.00 |
| 60332 | SG Issuer – Tencent R Bull CBBC May 2021 B | 80,000,000 | 2020/11/04 | 2021/05/31 | 24.00 |
| 60333 | SG Issuer – Tencent R Bull CBBC May 2021 C | 80,000,000 | 2020/11/04 | 2021/05/31 | 20.00 |
| 60357 | SG Issuer – Tencent R Bull CBBC May 2021 D | 80,000,000 | 2020/11/04 | 2021/05/31 | 20.00 |
| 60519 | SG Issuer – Tencent R Bull CBBC Jun 2021 T | 80,000,000 | 2020/11/04 | 2021/06/30 | 20.00 |
| 60456 | SG Issuer – Tencent R Bear CBBC May 2021 W | 80,000,000 | 2020/11/04 | 2021/05/28 | 20.00 |
| 60467 | SG Issuer – Tencent R Bear CBBC Jun 2021 T | 80,000,000 | 2020/11/04 | 2021/06/29 | 20.00 |
| 60522 | SG Issuer – Tencent R Bear CBBC Jul 2021 D | 80,000,000 | 2020/11/04 | 2021/07/29 | 23.20 |
| 60364 | SG Issuer – Xiaomi R Bull CBBC May 2021 O | 60,000,000 | 2020/11/04 | 2021/05/31 | 15.00 |
| 60149 | SG Issuer – Xiaomi R Bear CBBC May 2021 G | 200,000,000 | 2020/11/04 | 2021/05/31 | 50.00 |
| 58010 | UBS AG – Alibaba Group R Bull CBBC Feb 2021 D | 80,000,000 | 2020/11/04 | 2021/02/25 | 20.00 |
| 58269 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/04 | 2021/04/12 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 58272 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 G | 80,000,000 | 2020/11/04 | 2021/04/23 | 20.00 |
| 58301 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 H | 80,000,000 | 2020/11/04 | 2021/04/07 | 20.00 |
| 58687 | UBS AG – Alibaba Group R Bear CBBC Feb 2021 B | 80,000,000 | 2020/11/04 | 2021/02/25 | 20.00 |
| 58614 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 M | 80,000,000 | 2020/11/04 | 2021/04/29 | 20.00 |
| 58026 | UBS AG – HSI R Bull CBBC Nov 2022 D | 200,000,000 | 2020/11/04 | 2022/11/29 | 50.00 |
| 58019 | UBS AG – HSI R Bull CBBC Nov 2022 I | 200,000,000 | 2020/11/04 | 2022/11/29 | 50.00 |
| 58096 | UBS AG – HSI R Bull CBBC Nov 2022 N | 200,000,000 | 2020/11/04 | 2022/11/29 | 50.00 |
| 58075 | UBS AG – HSI R Bull CBBC Nov 2022 O | 200,000,000 | 2020/11/04 | 2022/11/29 | 50.00 |
| 58256 | UBS AG – HSI R Bull CBBC Nov 2022 X | 200,000,000 | 2020/11/04 | 2022/11/29 | 50.00 |
| 59093 | UBS AG – HSI R Bull CBBC May 2023 A | 200,000,000 | 2020/11/04 | 2023/05/30 | 50.00 |
| 58500 | UBS AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 58542 | UBS AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 58553 | UBS AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/04 | 2021/02/25 | 50.00 |
| 58340 | UBS AG – Meituan R Bull CBBC Mar 2021 E | 50,000,000 | 2020/11/04 | 2021/03/10 | 12.50 |
| 58452 | UBS AG – Meituan R Bull CBBC Mar 2021 G | 50,000,000 | 2020/11/04 | 2021/03/23 | 12.50 |
| 58478 | UBS AG – Meituan R Bull CBBC Mar 2021 H | 50,000,000 | 2020/11/04 | 2021/03/08 | 12.50 |
| 58395 | UBS AG – Meituan R Bull CBBC May 2021 L | 50,000,000 | 2020/11/04 | 2021/05/31 | 12.50 |
| 58798 | UBS AG – Meituan R Bear CBBC Feb 2021 I | 50,000,000 | 2020/11/04 | 2021/02/25 | 12.50 |
| 58870 | UBS AG – Meituan R Bear CBBC Feb 2021 J | 50,000,000 | 2020/11/04 | 2021/02/25 | 12.50 |
| 58860 | UBS AG – Meituan R Bear CBBC Apr 2021 T | 50,000,000 | 2020/11/04 | 2021/04/29 | 12.50 |
| 59074 | UBS AG – Tencent R Bull CBBC Feb 2021 A | 40,000,000 | 2020/11/04 | 2021/02/10 | 11.20 |
| 59034 | UBS AG – Tencent R Bull CBBC Feb 2021 Y | 40,000,000 | 2020/11/04 | 2021/02/10 | 10.00 |
| 58950 | UBS AG – Tencent R Bull CBBC Apr 2021 O | 40,000,000 | 2020/11/04 | 2021/04/23 | 10.00 |
| 58963 | UBS AG – Tencent R Bull CBBC Apr 2021 S | 40,000,000 | 2020/11/04 | 2021/04/07 | 10.00 |
| 58978 | UBS AG – Tencent R Bull CBBC Apr 2021 T | 40,000,000 | 2020/11/04 | 2021/04/12 | 10.00 |
| 58971 | UBS AG – Tencent R Bull CBBC May 2021 U | 40,000,000 | 2020/11/04 | 2021/05/31 | 10.00 |
| 58794 | UBS AG – Tencent R Bear CBBC Feb 2021 R | 40,000,000 | 2020/11/04 | 2021/02/25 | 10.00 |
| 58769 | UBS AG – Tencent R Bear CBBC Apr 2021 R | 40,000,000 | 2020/11/04 | 2021/04/29 | 10.00 |
| 58495 | UBS AG – Xiaomi R Bull CBBC Mar 2021 L | 60,000,000 | 2020/11/04 | 2021/03/23 | 15.00 |
| 58497 | UBS AG – Xiaomi R Bull CBBC Mar 2021 M | 60,000,000 | 2020/11/04 | 2021/03/08 | 15.00 |
| 58918 | UBS AG – Xiaomi R Bear CBBC Feb 2021 X | 60,000,000 | 2020/11/04 | 2021/02/25 | 15.00 |
| 58883 | UBS AG – Xiaomi R Bear CBBC Apr 2021 O | 60,000,000 | 2020/11/04 | 2021/04/29 | 15.00 |
| 59552 | Bank Vontobel – Alibaba Group R Bull CBBC Dec 2021 A | 40,000,000 | 2020/11/04 | 2021/12/17 | 10.00 |
| 59732 | Bank Vontobel – Alibaba Group R Bear CBBC May 2021 D | 40,000,000 | 2020/11/04 | 2021/05/07 | 10.00 |
| 59733 | Bank Vontobel – China Life R Bear CBBC May 2021 B | 80,000,000 | 2020/11/04 | 2021/05/14 | 20.00 |
| 59569 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 I | 40,000,000 | 2020/11/04 | 2021/09/17 | 10.00 |
| 59541 | Bank Vontobel – HSI R Bull CBBC Dec 2023 Q | 80,000,000 | 2020/11/04 | 2023/12/28 | 20.00 |
| 59681 | Bank Vontobel – HSI R Bear CBBC Feb 2021 I | 80,000,000 | 2020/11/04 | 2021/02/25 | 20.00 |
| 59538 | Bank Vontobel – HSI R Bear CBBC Feb 2021 O | 80,000,000 | 2020/11/04 | 2021/02/25 | 20.00 |
| 59680 | Bank Vontobel – HSI R Bear CBBC Feb 2021 Q | 80,000,000 | 2020/11/04 | 2021/02/25 | 20.00 |
| 59765 | Bank Vontobel – ICBC R Bear CBBC May 2021 B | 40,000,000 | 2020/11/04 | 2021/05/21 | 10.00 |
| 59610 | Bank Vontobel – Meituan R Bull CBBC Jul 2021 J | 60,000,000 | 2020/11/04 | 2021/07/16 | 15.00 |
| 59694 | Bank Vontobel – Meituan R Bear CBBC Jun 2021 N | 60,000,000 | 2020/11/04 | 2021/06/18 | 15.00 |
| 59623 | Bank Vontobel – SMIC R Bull CBBC Jul 2021 B | 40,000,000 | 2020/11/04 | 2021/07/09 | 10.00 |
| 59572 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 F | 80,000,000 | 2020/11/04 | 2021/11/12 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59672 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 G | 40,000,000 | 2020/11/04 | 2021/11/26 | 10.00 |
| 59620 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 N | 40,000,000 | 2020/11/04 | 2021/07/16 | 10.00 |
| 61488 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 I | 60,000,000 | 2020/11/05 | 2021/02/26 | 15.00 |
| 60958 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 E | 40,000,000 | 2020/11/05 | 2021/02/26 | 10.00 |
| 61520 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 F | 40,000,000 | 2020/11/05 | 2021/02/26 | 10.00 |
| 61459 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 D | 150,000,000 | 2020/11/05 | 2023/02/27 | 37.50 |
| 61463 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 D | 150,000,000 | 2020/11/05 | 2021/02/25 | 37.50 |
| 61467 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 E | 150,000,000 | 2020/11/05 | 2021/02/25 | 37.50 |
| 61478 | BOCI Asia Ltd. – ICBC R Bull CBBC May 2021 A | 80,000,000 | 2020/11/05 | 2021/05/31 | 20.00 |
| 61470 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 K | 40,000,000 | 2020/11/05 | 2021/02/26 | 10.00 |
| 61473 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 L | 120,000,000 | 2020/11/05 | 2021/02/26 | 30.00 |
| 61474 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 O | 40,000,000 | 2020/11/05 | 2021/02/26 | 10.00 |
| 61541 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 A | 190,000,000 | 2020/11/05 | 2023/10/30 | 47.50 |
| 60964 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 B | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61549 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 C | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61566 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 60983 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 R | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61538 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 Z | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61573 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 B | 250,000,000 | 2020/11/05 | 2021/03/30 | 62.50 |
| 61574 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 C | 250,000,000 | 2020/11/05 | 2021/03/30 | 62.50 |
| 61608 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 D | 250,000,000 | 2020/11/05 | 2021/03/30 | 62.50 |
| 61578 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 V | 250,000,000 | 2020/11/05 | 2021/03/30 | 62.50 |
| 61579 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 W | 240,000,000 | 2020/11/05 | 2021/03/30 | 60.00 |
| 61597 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 X | 250,000,000 | 2020/11/05 | 2021/03/30 | 62.50 |
| 61525 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 F | 250,000,000 | 2020/11/05 | 2021/06/29 | 62.50 |
| 61524 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 I | 250,000,000 | 2020/11/05 | 2021/06/29 | 62.50 |
| 61527 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 N | 250,000,000 | 2020/11/05 | 2021/11/29 | 62.50 |
| 61532 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 M | 250,000,000 | 2020/11/05 | 2021/12/30 | 62.50 |
| 61728 | Credit Suisse AG – AIA R Bear CBBC Apr 2021 A | 70,000,000 | 2020/11/05 | 2021/04/29 | 17.50 |
| 61795 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 G | 50,000,000 | 2020/11/05 | 2021/04/29 | 12.50 |
| 61723 | Credit Suisse AG – CC Bank R Bull CBBC Jul 2021 H | 100,000,000 | 2020/11/05 | 2021/07/29 | 25.00 |
| 61652 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 L | 100,000,000 | 2020/11/05 | 2021/07/29 | 25.00 |
| 61696 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 M | 100,000,000 | 2020/11/05 | 2021/07/29 | 25.00 |
| 61643 | Credit Suisse AG – Galaxy Ent R Bull CBBC May 2021 A | 80,000,000 | 2020/11/05 | 2021/05/28 | 20.00 |
| 61803 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 C | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61811 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 D | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61815 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 H | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61810 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 Z | 200,000,000 | 2020/11/05 | 2023/10/30 | 50.00 |
| 61617 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61630 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61632 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/11/05 | 2021/03/30 | 50.00 |
| 61638 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/11/05 | 2021/03/30 | 50.00 |
| 61791 | Credit Suisse AG – Meituan R Bull CBBC Apr 2021 M | 50,000,000 | 2020/11/05 | 2021/04/29 | 12.50 |
| 61639 | Credit Suisse AG – SMIC R Bull CBBC Jun 2021 F | 50,000,000 | 2020/11/05 | 2021/06/29 | 12.50 |
| 61735 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 M | 50,000,000 | 2020/11/05 | 2021/04/29 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61727 | Credit Suisse AG – WuXi Bio R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/05 | 2021/06/29 | 25.00 |
| 62431 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 O | 60,000,000 | 2020/11/05 | 2021/08/30 | 15.00 |
| 62567 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 P | 100,000,000 | 2020/11/05 | 2021/08/30 | 25.00 |
| 62551 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 C | 60,000,000 | 2020/11/05 | 2021/08/30 | 15.00 |
| 62381 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 A | 160,000,000 | 2020/11/05 | 2023/11/29 | 40.00 |
| 62616 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 A | 160,000,000 | 2020/11/05 | 2023/12/28 | 40.00 |
| 62379 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 B | 160,000,000 | 2020/11/05 | 2023/12/28 | 40.00 |
| 62570 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 E | 160,000,000 | 2020/11/05 | 2023/12/28 | 40.00 |
| 62373 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 Z | 160,000,000 | 2020/11/05 | 2023/12/28 | 40.00 |
| 62331 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 J | 160,000,000 | 2020/11/05 | 2021/04/29 | 40.00 |
| 62346 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 M | 160,000,000 | 2020/11/05 | 2021/04/29 | 40.00 |
| 62627 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 O | 160,000,000 | 2020/11/05 | 2021/04/29 | 40.00 |
| 62386 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 X | 160,000,000 | 2020/11/05 | 2021/04/29 | 40.00 |
| 62353 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Y | 160,000,000 | 2020/11/05 | 2021/04/29 | 40.00 |
| 62571 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Z | 160,000,000 | 2020/11/05 | 2021/04/29 | 40.00 |
| 62417 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 B | 60,000,000 | 2020/11/05 | 2021/08/30 | 15.00 |
| 62549 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/05 | 2021/08/30 | 25.00 |
| 62415 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 X | 60,000,000 | 2020/11/05 | 2021/08/30 | 15.00 |
| 62481 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Y | 60,000,000 | 2020/11/05 | 2021/08/30 | 15.00 |
| 62527 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Z | 100,000,000 | 2020/11/05 | 2021/08/30 | 25.00 |
| 62553 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 G | 100,000,000 | 2020/11/05 | 2021/07/30 | 25.00 |
| 62482 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 M | 80,000,000 | 2020/11/05 | 2021/07/30 | 20.00 |
| 61088 | HK Bank – AIA R Bear CBBC Apr 2021 C | 40,000,000 | 2020/11/05 | 2021/04/26 | 10.00 |
| 61121 | HK Bank – China Life R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/05 | 2021/04/26 | 10.00 |
| 61114 | HK Bank – CSPC Pharma R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/05 | 2021/08/16 | 10.00 |
| 61039 | HK Bank – Geely Auto R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/05 | 2021/07/19 | 20.00 |
| 61059 | HK Bank – Geely Auto R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/05 | 2021/04/26 | 10.00 |
| 61008 | HK Bank – HKEX R Bear CBBC May 2021 E | 100,000,000 | 2020/11/05 | 2021/05/17 | 25.00 |
| 60936 | HK Bank – HSI R Bull CBBC Mar 2023 J | 80,000,000 | 2020/11/05 | 2023/03/30 | 20.00 |
| 60928 | HK Bank – HSI R Bear CBBC Mar 2021 K | 120,000,000 | 2020/11/05 | 2021/03/30 | 30.00 |
| 61148 | HK Bank – Meituan R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/05 | 2021/07/26 | 25.00 |
| 61055 | HK Bank – Ping An R Bear CBBC Apr 2021 C | 40,000,000 | 2020/11/05 | 2021/04/12 | 10.00 |
| 61133 | HK Bank – Sands China R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/05 | 2021/07/05 | 10.00 |
| 61006 | HK Bank – Tencent R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/05 | 2021/08/23 | 25.00 |
| 61244 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 P | 200,000,000 | 2020/11/05 | 2023/09/28 | 50.00 |
| 61248 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 K | 200,000,000 | 2020/11/05 | 2023/11/29 | 50.00 |
| 61175 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61197 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/05 | 2021/03/30 | 50.00 |
| 61238 | Haitong Int'l Sec – Meituan R Bull CBBC Feb 2021 O | 60,000,000 | 2020/11/05 | 2021/02/25 | 15.00 |
| 61208 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 R | 60,000,000 | 2020/11/05 | 2021/03/25 | 15.00 |
| 61267 | Haitong Int'l Sec – Tencent R Bear CBBC Feb 2021 M | 60,000,000 | 2020/11/05 | 2021/02/25 | 15.00 |
| 61416 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 V | 200,000,000 | 2020/11/05 | 2023/12/28 | 50.00 |
| 61452 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 J | 200,000,000 | 2020/11/05 | 2024/01/30 | 50.00 |
| 61406 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 Z | 200,000,000 | 2020/11/05 | 2024/01/30 | 50.00 |
| 61357 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 61359 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61340 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/11/05 | 2021/03/30 | 50.00 |
| 61419 | J P Morgan SP BV – Ping An R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/05 | 2021/06/18 | 25.00 |
| 60927 | Morgan Stanley Asia Products – Ch Tower R Bear CBBC Aug2021 A | 48,000,000 | 2020/11/05 | 2021/08/02 | 12.00 |
| 61320 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 R | 100,000,000 | 2020/11/05 | 2023/11/29 | 25.00 |
| 61321 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 S | 100,000,000 | 2020/11/05 | 2023/11/29 | 25.00 |
| 61326 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 C | 100,000,000 | 2020/11/05 | 2023/12/28 | 25.00 |
| 60923 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 Q | 100,000,000 | 2020/11/05 | 2021/02/25 | 25.00 |
| 61276 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 R | 100,000,000 | 2020/11/05 | 2021/02/25 | 25.00 |
| 61295 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 S | 100,000,000 | 2020/11/05 | 2021/02/25 | 25.00 |
| 61330 | Morgan Stanley Asia Products – Tencent R Bull CBBC May2021 D | 40,000,000 | 2020/11/05 | 2021/05/03 | 10.00 |
| 62261 | SG Issuer – Alibaba Group R Bull CBBC May 2021 K | 80,000,000 | 2020/11/05 | 2021/05/31 | 20.00 |
| 62070 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 E | 80,000,000 | 2020/11/05 | 2021/06/30 | 20.00 |
| 62274 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 F | 80,000,000 | 2020/11/05 | 2021/06/30 | 32.40 |
| 62173 | SG Issuer – China Mobile R Bull CBBC Oct 2021 C | 100,000,000 | 2020/11/05 | 2021/10/29 | 25.00 |
| 62154 | SG Issuer – China Life R Bull CBBC Mar 2021 K | 40,000,000 | 2020/11/05 | 2021/03/31 | 10.00 |
| 62282 | SG Issuer – Geely Auto R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/05 | 2021/06/30 | 10.00 |
| 62286 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 C | 150,000,000 | 2020/11/05 | 2021/08/30 | 37.50 |
| 62174 | SG Issuer – Geely Auto R Bear CBBC Jun 2021 C | 150,000,000 | 2020/11/05 | 2021/06/29 | 37.50 |
| 62231 | SG Issuer – HSI R Bull CBBC Nov 2023 Q | 250,000,000 | 2020/11/05 | 2023/11/29 | 62.50 |
| 62253 | SG Issuer – HSI R Bull CBBC Dec 2023 I | 250,000,000 | 2020/11/05 | 2023/12/28 | 62.50 |
| 62259 | SG Issuer – HSI R Bull CBBC Dec 2023 M | 250,000,000 | 2020/11/05 | 2023/12/28 | 62.50 |
| 62038 | SG Issuer – HSI R Bear CBBC Mar 2021 U | 250,000,000 | 2020/11/05 | 2021/03/30 | 62.50 |
| 62042 | SG Issuer – HSI R Bear CBBC May 2021 D | 250,000,000 | 2020/11/05 | 2021/05/28 | 62.50 |
| 62057 | SG Issuer – HSI R Bear CBBC May 2021 E | 250,000,000 | 2020/11/05 | 2021/05/28 | 62.50 |
| 62062 | SG Issuer – HSI R Bear CBBC May 2021 F | 250,000,000 | 2020/11/05 | 2021/05/28 | 62.50 |
| 62207 | SG Issuer – HSI R Bear CBBC May 2021 G | 250,000,000 | 2020/11/05 | 2021/05/28 | 62.50 |
| 62215 | SG Issuer – HSI R Bear CBBC May 2021 H | 250,000,000 | 2020/11/05 | 2021/05/28 | 62.50 |
| 62218 | SG Issuer – HSI R Bear CBBC May 2021 I | 250,000,000 | 2020/11/05 | 2021/05/28 | 62.50 |
| 62229 | SG Issuer – HSI R Bear CBBC Jun 2021 B | 500,000,000 | 2020/11/05 | 2021/06/29 | 125.00 |
| 62181 | SG Issuer – Meituan R Bull CBBC Mar 2021 X | 80,000,000 | 2020/11/05 | 2021/03/31 | 20.00 |
| 62095 | SG Issuer – Meituan R Bear CBBC May 2021 X | 80,000,000 | 2020/11/05 | 2021/05/28 | 20.00 |
| 62096 | SG Issuer – Ping An R Bull CBBC Oct 2021 H | 100,000,000 | 2020/11/05 | 2021/10/28 | 25.00 |
| 62288 | SG Issuer – SMIC R Bull CBBC Jun 2021 H | 120,000,000 | 2020/11/05 | 2021/06/30 | 30.00 |
| 62296 | SG Issuer – Tencent R Bull CBBC May 2021 E | 80,000,000 | 2020/11/05 | 2021/05/31 | 20.00 |
| 62307 | SG Issuer – Tencent R Bull CBBC Jun 2021 U | 80,000,000 | 2020/11/05 | 2021/06/30 | 20.00 |
| 62120 | SG Issuer – Tencent R Bear CBBC Apr 2021 S | 80,000,000 | 2020/11/05 | 2021/04/30 | 20.00 |
| 62182 | SG Issuer – Tencent R Bear CBBC Jun 2021 U | 80,000,000 | 2020/11/05 | 2021/06/30 | 20.00 |
| 62310 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 E | 60,000,000 | 2020/11/05 | 2021/06/30 | 15.00 |
| 62196 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 F | 200,000,000 | 2020/11/05 | 2021/06/30 | 50.00 |
| 61913 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 Q | 80,000,000 | 2020/11/05 | 2021/03/22 | 20.00 |
| 61918 | UBS AG – Alibaba Group R Bull CBBC May 2021 K | 200,000,000 | 2020/11/05 | 2021/05/17 | 50.00 |
| 61863 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 N | 80,000,000 | 2020/11/05 | 2021/04/19 | 20.00 |
| 61864 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 C | 200,000,000 | 2020/11/05 | 2021/06/21 | 50.00 |
| 61879 | UBS AG – Geely Auto R Bull CBBC Sep 2021 G | 100,000,000 | 2020/11/05 | 2021/09/13 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 61871 | UBS AG – Geely Auto R Bear CBBC Aug 2021 C | 100,000,000 | 2020/11/05 | 2021/08/02 | 25.00 |
| 61983 | UBS AG – HSI R Bull CBBC Sep 2022 R | 200,000,000 | 2020/11/05 | 2022/09/29 | 50.00 |
| 61982 | UBS AG – HSI R Bull CBBC Sep 2022 X | 200,000,000 | 2020/11/05 | 2022/09/29 | 50.00 |
| 61985 | UBS AG – HSI R Bull CBBC Sep 2022 Y | 200,000,000 | 2020/11/05 | 2022/09/29 | 50.00 |
| 61817 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61824 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61875 | UBS AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61831 | UBS AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/05 | 2021/02/25 | 50.00 |
| 61951 | UBS AG – Meituan R Bull CBBC Apr 2021 X | 50,000,000 | 2020/11/05 | 2021/04/07 | 12.50 |
| 61963 | UBS AG – Meituan R Bull CBBC Apr 2021 Y | 50,000,000 | 2020/11/05 | 2021/04/20 | 12.50 |
| 61971 | UBS AG – Meituan R Bull CBBC Jun 2021 I | 150,000,000 | 2020/11/05 | 2021/06/29 | 37.50 |
| 61867 | UBS AG – Meituan R Bear CBBC Apr 2021 U | 50,000,000 | 2020/11/05 | 2021/04/30 | 12.50 |
| 61882 | UBS AG – Tencent R Bull CBBC Mar 2021 W | 40,000,000 | 2020/11/05 | 2021/03/03 | 10.00 |
| 61906 | UBS AG – Tencent R Bull CBBC Apr 2021 N | 200,000,000 | 2020/11/05 | 2021/04/19 | 50.00 |
| 61883 | UBS AG – Tencent R Bull CBBC Apr 2021 W | 40,000,000 | 2020/11/05 | 2021/04/15 | 10.00 |
| 61834 | UBS AG – Tencent R Bear CBBC Apr 2021 S | 40,000,000 | 2020/11/05 | 2021/04/12 | 10.00 |
| 61852 | UBS AG – Tencent R Bear CBBC Jun 2021 E | 200,000,000 | 2020/11/05 | 2021/06/21 | 50.00 |
| 61860 | UBS AG – Tencent R Bear CBBC Jun 2021 F | 200,000,000 | 2020/11/05 | 2021/06/28 | 50.00 |
| 61833 | UBS AG – Xiaomi R Bull CBBC Apr 2021 T | 60,000,000 | 2020/11/05 | 2021/04/14 | 15.00 |
| 61998 | Bank Vontobel – Geely Auto R Bull CBBC Sep 2021 G | 40,000,000 | 2020/11/05 | 2021/09/17 | 10.00 |
| 62014 | Bank Vontobel – Geely Auto R Bull CBBC Sep 2021 H | 40,000,000 | 2020/11/05 | 2021/09/24 | 11.60 |
| 61993 | Bank Vontobel – HSI R Bull CBBC Mar 2023 M | 80,000,000 | 2020/11/05 | 2023/03/30 | 20.00 |
| 61991 | Bank Vontobel – HSI R Bear CBBC Feb 2021 Z | 80,000,000 | 2020/11/05 | 2021/02/25 | 20.00 |
| 63105 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 J | 60,000,000 | 2020/11/06 | 2021/02/26 | 15.00 |
| 63095 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 E | 150,000,000 | 2020/11/06 | 2023/02/27 | 37.50 |
| 63104 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 N | 150,000,000 | 2020/11/06 | 2023/02/27 | 37.50 |
| 63522 | BOCI Asia Ltd. – Sunny Optical R Bull CBBC Feb 2021 A | 40,000,000 | 2020/11/06 | 2021/02/26 | 10.00 |
| 63857 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 P | 250,000,000 | 2020/11/06 | 2021/09/29 | 62.50 |
| 62750 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 62752 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 I | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 62776 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 J | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 62759 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 K | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 62766 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 Q | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 62779 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62780 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/06 | 2021/02/25 | 60.00 |
| 63811 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 A | 240,000,000 | 2020/11/06 | 2021/03/30 | 60.00 |
| 62709 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 E | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 62652 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 F | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 62666 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 G | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 62668 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 H | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 62738 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 I | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 62701 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 J | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 62745 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 K | 250,000,000 | 2020/11/06 | 2021/03/30 | 75.00 |
| 62747 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 L | 250,000,000 | 2020/11/06 | 2021/03/30 | 75.00 |
| 62677 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Y | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62689 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Z | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 63822 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 L | 250,000,000 | 2020/11/06 | 2021/06/29 | 62.50 |
| 63824 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 N | 250,000,000 | 2020/11/06 | 2021/06/29 | 62.50 |
| 63858 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 N | 250,000,000 | 2020/11/06 | 2021/12/30 | 62.50 |
| 64513 | Credit Suisse AG – AIA R Bull CBBC Jul 2021 A | 70,000,000 | 2020/11/06 | 2021/07/29 | 17.50 |
| 64549 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 D | 50,000,000 | 2020/11/06 | 2021/03/30 | 12.50 |
| 64508 | Credit Suisse AG – Geely Auto R Bull CBBC Sep 2021 B | 100,000,000 | 2020/11/06 | 2021/09/29 | 25.00 |
| 64509 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 J | 50,000,000 | 2020/11/06 | 2021/04/29 | 12.50 |
| 64493 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 I | 100,000,000 | 2020/11/06 | 2021/09/29 | 25.00 |
| 64654 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 64555 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 I | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 64640 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 N | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 64664 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 64679 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 X | 200,000,000 | 2020/11/06 | 2023/12/28 | 50.00 |
| 64381 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 64387 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 64479 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 64460 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 64491 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 64523 | Credit Suisse AG – ICBC R Bull CBBC Jul 2021 G | 100,000,000 | 2020/11/06 | 2021/07/29 | 25.00 |
| 64550 | Credit Suisse AG – Meituan Dianping R Bull CBBC Mar 2021 B | 50,000,000 | 2020/11/06 | 2021/03/30 | 12.50 |
| 64538 | Credit Suisse AG – Sunny Optical R Bull CBBC Apr 2021 B | 40,000,000 | 2020/11/06 | 2021/04/29 | 10.00 |
| 64248 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Jul 2021 B | 80,000,000 | 2020/11/06 | 2021/07/30 | 20.00 |
| 64151 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Sep 2021 A | 80,000,000 | 2020/11/06 | 2021/09/30 | 20.00 |
| 64249 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 D | 100,000,000 | 2020/11/06 | 2021/08/30 | 25.00 |
| 64284 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 C | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64121 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 D | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64296 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 F | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64297 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 G | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64298 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 H | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64341 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 L | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64320 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 M | 160,000,000 | 2020/11/06 | 2023/12/28 | 40.00 |
| 64122 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 C | 160,000,000 | 2020/11/06 | 2021/02/25 | 40.00 |
| 64090 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 T | 160,000,000 | 2020/11/06 | 2021/03/30 | 40.00 |
| 64374 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 K | 160,000,000 | 2020/11/06 | 2021/04/29 | 40.00 |
| 64084 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 P | 160,000,000 | 2020/11/06 | 2021/04/29 | 40.00 |
| 64085 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 S | 160,000,000 | 2020/11/06 | 2021/04/29 | 40.00 |
| 64345 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 T | 160,000,000 | 2020/11/06 | 2021/04/29 | 40.00 |
| 64360 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 U | 160,000,000 | 2020/11/06 | 2021/04/29 | 40.00 |
| 64194 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 I | 60,000,000 | 2020/11/06 | 2021/07/30 | 15.00 |
| 64202 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 D | 100,000,000 | 2020/11/06 | 2021/08/30 | 25.00 |
| 64223 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Aug 2021 G | 100,000,000 | 2020/11/06 | 2021/08/30 | 25.00 |
| 64132 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/06 | 2021/08/30 | 15.00 |
| 64147 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/06 | 2021/08/30 | 25.00 |
| 64190 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 J | 100,000,000 | 2020/11/06 | 2021/08/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64245 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/06 | 2021/08/30 | 25.00 |
| 64246 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 B | 80,000,000 | 2020/11/06 | 2021/08/30 | 20.00 |
| 63159 | HK Bank – AIA R Bull CBBC Jul 2021 B | 60,000,000 | 2020/11/06 | 2021/07/12 | 15.00 |
| 63265 | HK Bank – CNOOC R Bull CBBC Jul 2021 C | 40,000,000 | 2020/11/06 | 2021/07/12 | 10.00 |
| 63290 | HK Bank – Geely Auto R Bull CBBC Jul 2021 B | 80,000,000 | 2020/11/06 | 2021/07/12 | 20.00 |
| 63286 | HK Bank – Geely Auto R Bear CBBC Apr 2021 B | 40,000,000 | 2020/11/06 | 2021/04/29 | 10.00 |
| 63112 | HK Bank – HSI R Bull CBBC Mar 2023 M | 80,000,000 | 2020/11/06 | 2023/03/30 | 20.00 |
| 63113 | HK Bank – HSI R Bull CBBC Mar 2023 P | 100,000,000 | 2020/11/06 | 2023/03/30 | 25.00 |
| 63106 | HK Bank – HSI R Bear CBBC Mar 2021 L | 120,000,000 | 2020/11/06 | 2021/03/30 | 30.00 |
| 63108 | HK Bank – HSI R Bear CBBC Jul 2021 A | 120,000,000 | 2020/11/06 | 2021/07/29 | 30.00 |
| 63118 | HK Bank – Meituan Dianping R Bull CBBC Jun 2021 D | 100,000,000 | 2020/11/06 | 2021/06/28 | 25.00 |
| 63122 | HK Bank – SMIC R Bull CBBC May 2021 B | 40,000,000 | 2020/11/06 | 2021/05/24 | 10.00 |
| 63185 | HK Bank – Sunny Optical R Bull CBBC Jul 2021 B | 40,000,000 | 2020/11/06 | 2021/07/12 | 10.00 |
| 63115 | HK Bank – Tencent R Bull CBBC Aug 2021 D | 100,000,000 | 2020/11/06 | 2021/08/02 | 25.00 |
| 63136 | HK Bank – Tencent R Bear CBBC Apr 2021 L | 100,000,000 | 2020/11/06 | 2021/04/19 | 25.00 |
| 63245 | HK Bank – Xiaomi R Bull CBBC May 2021 C | 80,000,000 | 2020/11/06 | 2021/05/24 | 20.00 |
| 63534 | Haitong Int'l Sec – HSI R Bull CBBC May 2023 T | 200,000,000 | 2020/11/06 | 2023/05/30 | 50.00 |
| 63527 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/11/06 | 2023/10/30 | 50.00 |
| 63525 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 63524 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 A | 200,000,000 | 2020/11/06 | 2021/04/29 | 50.00 |
| 63595 | Haitong Int'l Sec – Meituan Dianping R Bear CBBC Feb 2021 I | 60,000,000 | 2020/11/06 | 2021/02/25 | 15.00 |
| 63550 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 S | 60,000,000 | 2020/11/06 | 2021/03/30 | 15.60 |
| 63557 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 D | 60,000,000 | 2020/11/06 | 2021/03/30 | 15.00 |
| 62988 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 X | 200,000,000 | 2020/11/06 | 2023/12/28 | 50.00 |
| 63039 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 Y | 200,000,000 | 2020/11/06 | 2023/12/28 | 50.00 |
| 62971 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 L | 200,000,000 | 2020/11/06 | 2024/01/30 | 50.00 |
| 63003 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 M | 200,000,000 | 2020/11/06 | 2024/01/30 | 50.00 |
| 63005 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 R | 200,000,000 | 2020/11/06 | 2024/01/30 | 50.00 |
| 62965 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/06 | 2021/02/25 | 64.20 |
| 62781 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62783 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62820 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/06 | 2021/02/25 | 56.20 |
| 62836 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/06 | 2021/02/25 | 68.20 |
| 62838 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62863 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/06 | 2021/02/25 | 78.20 |
| 62880 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62886 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/06 | 2021/02/25 | 52.20 |
| 62930 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62938 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/06 | 2021/02/25 | 74.20 |
| 62940 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62956 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/06 | 2021/02/25 | 60.20 |
| 63040 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 62797 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 62803 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 63007 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63020 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 63015 | J P Morgan SP BV – Meituan Dianping R Bull CBBC Jun 2021 B | 200,000,000 | 2020/11/06 | 2021/06/18 | 50.00 |
| 63070 | Morgan Stanley Asia Products – HSI R Bull CBBC Aug 2023 K | 100,000,000 | 2020/11/06 | 2023/08/30 | 25.00 |
| 63084 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 M | 100,000,000 | 2020/11/06 | 2023/09/28 | 25.00 |
| 63094 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 D | 100,000,000 | 2020/11/06 | 2023/12/28 | 25.00 |
| 62631 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 T | 100,000,000 | 2020/11/06 | 2021/02/25 | 25.00 |
| 62651 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 U | 100,000,000 | 2020/11/06 | 2021/02/25 | 25.00 |
| 62637 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 E | 100,000,000 | 2020/11/06 | 2021/03/30 | 25.00 |
| 63065 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 F | 100,000,000 | 2020/11/06 | 2021/03/30 | 25.00 |
| 63068 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 A | 100,000,000 | 2020/11/06 | 2021/04/29 | 25.00 |
| 63968 | SG Issuer – AIA R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/06 | 2021/06/30 | 15.00 |
| 63969 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/11/06 | 2021/06/30 | 20.00 |
| 63872 | SG Issuer – Alibaba Group R Bear CBBC May 2021 R | 80,000,000 | 2020/11/06 | 2021/05/28 | 20.00 |
| 63971 | SG Issuer – CSPC Pharma R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/06 | 2021/04/30 | 10.00 |
| 63984 | SG Issuer – CSPC Pharma R Bull CBBC Aug 2021 C | 40,000,000 | 2020/11/06 | 2021/08/30 | 10.00 |
| 63979 | SG Issuer – CSPC Pharma R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/06 | 2021/07/29 | 10.00 |
| 64066 | SG Issuer – China Tower R Bull CBBC Oct 2021 A | 120,000,000 | 2020/11/06 | 2021/10/28 | 30.00 |
| 64068 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 I | 150,000,000 | 2020/11/06 | 2021/07/30 | 37.50 |
| 63992 | SG Issuer – HKEX R Bull CBBC Jun 2021 I | 50,000,000 | 2020/11/06 | 2021/06/30 | 12.50 |
| 63994 | SG Issuer – HSBC R Bull CBBC Dec 2021 B | 100,000,000 | 2020/11/06 | 2021/12/30 | 25.00 |
| 64030 | SG Issuer – HSI R Bull CBBC Nov 2023 F | 250,000,000 | 2020/11/06 | 2023/11/29 | 62.50 |
| 64058 | SG Issuer – HSI R Bull CBBC Nov 2023 I | 250,000,000 | 2020/11/06 | 2023/11/29 | 62.50 |
| 64059 | SG Issuer – HSI R Bull CBBC Dec 2023 T | 250,000,000 | 2020/11/06 | 2023/12/28 | 62.50 |
| 64060 | SG Issuer – HSI R Bull CBBC Jan 2024 J | 250,000,000 | 2020/11/06 | 2024/01/30 | 62.50 |
| 63923 | SG Issuer – HSI R Bear CBBC Mar 2021 V | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 63934 | SG Issuer – HSI R Bear CBBC Mar 2021 W | 250,000,000 | 2020/11/06 | 2021/03/30 | 62.50 |
| 64049 | SG Issuer – HSI R Bear CBBC Apr 2021 E | 250,000,000 | 2020/11/06 | 2021/04/29 | 62.50 |
| 63940 | SG Issuer – HSI R Bear CBBC May 2021 J | 250,000,000 | 2020/11/06 | 2021/05/28 | 62.50 |
| 63960 | SG Issuer – HSI R Bear CBBC May 2021 K | 500,000,000 | 2020/11/06 | 2021/05/28 | 125.00 |
| 63964 | SG Issuer – HSI R Bear CBBC May 2021 L | 250,000,000 | 2020/11/06 | 2021/05/28 | 62.50 |
| 64029 | SG Issuer – HSI R Bear CBBC May 2021 M | 250,000,000 | 2020/11/06 | 2021/05/28 | 62.50 |
| 64052 | SG Issuer – HSI R Bear CBBC May 2021 N | 250,000,000 | 2020/11/06 | 2021/05/28 | 62.50 |
| 64056 | SG Issuer – HSI R Bear CBBC May 2021 O | 250,000,000 | 2020/11/06 | 2021/05/28 | 62.50 |
| 64074 | SG Issuer – Meituan Dianping R Bull CBBC Apr 2021 I | 80,000,000 | 2020/11/06 | 2021/04/30 | 20.00 |
| 63895 | SG Issuer – Meituan Dianping R Bull CBBC Jun 2021 U | 80,000,000 | 2020/11/06 | 2021/06/30 | 20.00 |
| 64079 | SG Issuer – Meituan Dianping R Bull CBBC Aug 2021 B | 200,000,000 | 2020/11/06 | 2021/08/30 | 50.00 |
| 63873 | SG Issuer – Meituan Dianping R Bear CBBC Apr 2021 I | 80,000,000 | 2020/11/06 | 2021/04/30 | 20.00 |
| 64001 | SG Issuer – Sino Biopharm R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/06 | 2021/08/30 | 10.00 |
| 64003 | SG Issuer – SHK Ppt R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/06 | 2021/06/30 | 25.00 |
| 63995 | SG Issuer – SMIC R Bull CBBC May 2021 H | 120,000,000 | 2020/11/06 | 2021/05/28 | 30.00 |
| 63899 | SG Issuer – SMIC R Bear CBBC Aug 2021 A | 120,000,000 | 2020/11/06 | 2021/08/31 | 30.00 |
| 64081 | SG Issuer – Sunny Optical R Bull CBBC Jul 2021 B | 60,000,000 | 2020/11/06 | 2021/07/30 | 15.00 |
| 63906 | SG Issuer – Tencent R Bull CBBC Apr 2021 I | 80,000,000 | 2020/11/06 | 2021/04/30 | 20.00 |
| 63915 | SG Issuer – Tencent R Bull CBBC May 2021 F | 80,000,000 | 2020/11/06 | 2021/05/31 | 20.00 |
| 64016 | SG Issuer – Tencent R Bear CBBC May 2021 X | 80,000,000 | 2020/11/06 | 2021/05/28 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 63395 | UBS AG – AIA R Bull CBBC Apr 2021 A | 100,000,000 | 2020/11/06 | 2021/04/19 | 25.00 |
| 63370 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 I | 80,000,000 | 2020/11/06 | 2021/04/12 | 20.00 |
| 63456 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 H | 200,000,000 | 2020/11/06 | 2021/06/28 | 50.00 |
| 63309 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 E | 80,000,000 | 2020/11/06 | 2021/03/10 | 20.00 |
| 63436 | UBS AG – Galaxy Ent R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/06 | 2021/06/28 | 20.00 |
| 63434 | UBS AG – HSBC R Bull CBBC Nov 2021 F | 150,000,000 | 2020/11/06 | 2021/11/15 | 37.50 |
| 63521 | UBS AG – HSI R Bull CBBC Dec 2022 I | 400,000,000 | 2020/11/06 | 2022/12/29 | 100.00 |
| 63475 | UBS AG – HSI R Bull CBBC Nov 2023 A | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 63485 | UBS AG – HSI R Bull CBBC Nov 2023 E | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 63472 | UBS AG – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 63481 | UBS AG – HSI R Bull CBBC Nov 2023 Y | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 63490 | UBS AG – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/11/06 | 2023/11/29 | 50.00 |
| 63350 | UBS AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 63339 | UBS AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 63340 | UBS AG – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 63352 | UBS AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 63348 | UBS AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/06 | 2021/02/25 | 50.00 |
| 63508 | UBS AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 63515 | UBS AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 63519 | UBS AG – HSI R Bear CBBC Mar 2021 H | 400,000,000 | 2020/11/06 | 2021/03/30 | 100.00 |
| 63467 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 K | 50,000,000 | 2020/11/06 | 2021/03/22 | 12.50 |
| 63469 | UBS AG – Meituan Dianping R Bull CBBC Jun 2021 J | 150,000,000 | 2020/11/06 | 2021/06/28 | 37.50 |
| 63331 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 V | 50,000,000 | 2020/11/06 | 2021/04/12 | 12.50 |
| 63332 | UBS AG – Meituan Dianping R Bear CBBC May 2021 J | 150,000,000 | 2020/11/06 | 2021/05/10 | 37.50 |
| 63338 | UBS AG – Meituan Dianping R Bear CBBC May 2021 K | 150,000,000 | 2020/11/06 | 2021/05/17 | 37.50 |
| 63393 | UBS AG – SMIC R Bull CBBC Jun 2021 F | 100,000,000 | 2020/11/06 | 2021/06/30 | 25.00 |
| 63394 | UBS AG – SMIC R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/06 | 2021/07/26 | 25.00 |
| 63302 | UBS AG – Tencent R Bull CBBC Mar 2021 Y | 40,000,000 | 2020/11/06 | 2021/03/23 | 10.00 |
| 63362 | UBS AG – Tencent R Bull CBBC Mar 2021 Z | 200,000,000 | 2020/11/06 | 2021/03/30 | 50.00 |
| 63368 | UBS AG – Tencent R Bear CBBC Mar 2021 X | 40,000,000 | 2020/11/06 | 2021/03/10 | 10.00 |
| 63711 | Bank Vontobel – CNOOC R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/06 | 2021/11/26 | 10.00 |
| 63737 | Bank Vontobel – CNOOC R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/06 | 2021/11/19 | 10.00 |
| 63765 | Bank Vontobel – CSPC Pharma R Bull CBBC May 2021 A | 40,000,000 | 2020/11/06 | 2021/05/21 | 10.00 |
| 63775 | Bank Vontobel – CSPC Pharma R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/06 | 2021/08/06 | 10.00 |
| 63760 | Bank Vontobel – CSPC Pharma R Bear CBBC May 2021 A | 40,000,000 | 2020/11/06 | 2021/05/14 | 10.00 |
| 63706 | Bank Vontobel – China Tower R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/06 | 2021/11/26 | 10.00 |
| 63652 | Bank Vontobel – HSI R Bull CBBC Dec 2023 R | 80,000,000 | 2020/11/06 | 2023/12/28 | 20.00 |
| 63661 | Bank Vontobel – HSI R Bull CBBC Dec 2023 S | 80,000,000 | 2020/11/06 | 2023/12/28 | 20.00 |
| 63669 | Bank Vontobel – HSI R Bull CBBC Dec 2023 T | 80,000,000 | 2020/11/06 | 2023/12/28 | 20.00 |
| 63786 | Bank Vontobel – HSI R Bull CBBC Dec 2023 U | 80,000,000 | 2020/11/06 | 2023/12/28 | 20.00 |
| 63650 | Bank Vontobel – HSI R Bear CBBC Feb 2021 K | 80,000,000 | 2020/11/06 | 2021/02/25 | 20.00 |
| 63616 | Bank Vontobel – HSI R Bear CBBC Feb 2021 W | 80,000,000 | 2020/11/06 | 2021/02/25 | 20.00 |
| 63611 | Bank Vontobel – HSI R Bear CBBC Feb 2021 X | 80,000,000 | 2020/11/06 | 2021/02/25 | 20.00 |
| 63795 | Bank Vontobel – Meituan Dianping R Bull CBBC Nov 2021 D | 60,000,000 | 2020/11/06 | 2021/11/19 | 15.00 |
| 63777 | Bank Vontobel – SHK Ppt R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/06 | 2021/06/25 | 10.40 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63778 | Bank Vontobel – SHK Ppt R Bear CBBC Jun 2021 B | 40,000,000 | 2020/11/06 | 2021/06/18 | 10.00 |
| 63694 | Bank Vontobel – Sunny Optical R Bull CBBC Sep 2021 B | 40,000,000 | 2020/11/06 | 2021/09/17 | 10.00 |
| 64689 | BOCI Asia Ltd. – AIA R Bull CBBC Feb 2021 A | 60,000,000 | 2020/11/09 | 2021/02/26 | 15.60 |
| 64740 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 K | 60,000,000 | 2020/11/09 | 2021/02/26 | 15.60 |
| 64743 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 F | 60,000,000 | 2020/11/09 | 2021/02/26 | 15.60 |
| 64683 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 F | 150,000,000 | 2020/11/09 | 2023/02/27 | 39.00 |
| 64685 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 V | 150,000,000 | 2020/11/09 | 2023/02/27 | 39.00 |
| 64686 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 F | 150,000,000 | 2020/11/09 | 2021/02/25 | 39.00 |
| 64733 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 E | 40,000,000 | 2020/11/09 | 2021/02/26 | 10.40 |
| 64738 | BOCI Asia Ltd. – Meituan R Bear CBBC Feb 2021 D | 40,000,000 | 2020/11/09 | 2021/02/26 | 11.20 |
| 64688 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 M | 40,000,000 | 2020/11/09 | 2021/02/26 | 10.40 |
| 64731 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 F | 40,000,000 | 2020/11/09 | 2021/02/26 | 10.40 |
| 64911 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 64899 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65504 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 E | 50,000,000 | 2020/11/09 | 2021/03/30 | 14.00 |
| 65570 | Credit Suisse AG – Geely Auto R Bull CBBC Sep 2021 C | 100,000,000 | 2020/11/09 | 2021/09/29 | 25.00 |
| 65557 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 K | 50,000,000 | 2020/11/09 | 2021/04/29 | 12.50 |
| 65492 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/11/09 | 2023/10/30 | 50.00 |
| 65499 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/11/09 | 2023/10/30 | 50.00 |
| 65660 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/09 | 2021/02/25 | 50.00 |
| 65650 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/09 | 2021/02/25 | 50.00 |
| 65595 | Credit Suisse AG – Meituan R Bull CBBC Mar 2021 C | 50,000,000 | 2020/11/09 | 2021/03/30 | 12.50 |
| 65582 | Credit Suisse AG – Meituan R Bull CBBC May 2021 E | 100,000,000 | 2020/11/09 | 2021/05/28 | 25.00 |
| 65555 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 E | 50,000,000 | 2020/11/09 | 2021/03/30 | 12.50 |
| 65538 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 N | 100,000,000 | 2020/11/09 | 2021/04/29 | 25.00 |
| 65638 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 M | 100,000,000 | 2020/11/09 | 2021/05/28 | 25.00 |
| 66533 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/09 | 2021/07/29 | 25.00 |
| 66534 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/09 | 2021/07/30 | 25.00 |
| 66574 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 R | 100,000,000 | 2020/11/09 | 2021/07/30 | 25.00 |
| 66721 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 T | 60,000,000 | 2020/11/09 | 2021/07/30 | 15.00 |
| 66545 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 Q | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66589 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 R | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66719 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 S | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66690 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/09 | 2021/07/30 | 25.00 |
| 66847 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 R | 100,000,000 | 2020/11/09 | 2021/07/29 | 25.00 |
| 66468 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 O | 160,000,000 | 2020/11/09 | 2023/11/29 | 40.00 |
| 66640 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 I | 160,000,000 | 2020/11/09 | 2023/12/28 | 40.00 |
| 66624 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 K | 160,000,000 | 2020/11/09 | 2023/12/28 | 40.00 |
| 66631 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 O | 160,000,000 | 2020/11/09 | 2023/12/28 | 40.00 |
| 66646 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Q | 160,000,000 | 2020/11/09 | 2021/02/25 | 40.00 |
| 66676 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 H | 160,000,000 | 2020/11/09 | 2021/04/29 | 40.00 |
| 66919 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 A | 60,000,000 | 2020/11/09 | 2021/07/30 | 15.00 |
| 66885 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 E | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66886 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 F | 100,000,000 | 2020/11/09 | 2021/08/26 | 25.00 |
| 66852 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 G | 100,000,000 | 2020/11/09 | 2021/07/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66480 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 D | 60,000,000 | 2020/11/09 | 2021/08/30 | 15.00 |
| 66554 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 F | 100,000,000 | 2020/11/09 | 2021/08/26 | 25.00 |
| 66600 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66791 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 K | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66814 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 M | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66815 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 K | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66921 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/09 | 2021/08/30 | 25.00 |
| 66924 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 D | 60,000,000 | 2020/11/09 | 2021/08/30 | 15.00 |
| 64809 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/09 | 2021/07/26 | 25.00 |
| 64874 | HK Bank – Alibaba Group R Bear CBBC May 2021 B | 100,000,000 | 2020/11/09 | 2021/05/17 | 25.00 |
| 64848 | HK Bank – Geely Auto R Bull CBBC Jul 2021 C | 80,000,000 | 2020/11/09 | 2021/07/14 | 20.00 |
| 64851 | HK Bank – Galaxy Ent R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/09 | 2021/06/21 | 15.00 |
| 64837 | HK Bank – HKEX R Bull CBBC Jun 2021 F | 100,000,000 | 2020/11/09 | 2021/06/28 | 25.00 |
| 64769 | HK Bank – HSCEI R Bull CBBC Mar 2023 F | 50,000,000 | 2020/11/09 | 2023/03/30 | 12.50 |
| 64753 | HK Bank – HSCEI R Bear CBBC Mar 2021 B | 50,000,000 | 2020/11/09 | 2021/03/30 | 12.50 |
| 64785 | HK Bank – HSI R Bull CBBC Mar 2023 D | 80,000,000 | 2020/11/09 | 2023/03/30 | 20.00 |
| 64791 | HK Bank – HSI R Bear CBBC Mar 2021 M | 80,000,000 | 2020/11/09 | 2021/03/30 | 20.00 |
| 64806 | HK Bank – HSI R Bear CBBC Jul 2021 B | 120,000,000 | 2020/11/09 | 2021/07/29 | 30.00 |
| 64884 | HK Bank – Meituan R Bull CBBC May 2021 E | 100,000,000 | 2020/11/09 | 2021/05/31 | 25.00 |
| 64870 | HK Bank – Meituan R Bear CBBC Jun 2021 B | 100,000,000 | 2020/11/09 | 2021/06/21 | 25.00 |
| 64831 | HK Bank – PA Gooddoctor R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/09 | 2021/08/09 | 10.00 |
| 64818 | HK Bank – Tencent R Bull CBBC Jun 2021 D | 100,000,000 | 2020/11/09 | 2021/06/21 | 25.00 |
| 64888 | HK Bank – Xiaomi R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/09 | 2021/06/21 | 20.00 |
| 65703 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/11/09 | 2023/09/28 | 50.00 |
| 65702 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/11/09 | 2023/09/28 | 50.00 |
| 65674 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65692 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65725 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 G | 60,000,000 | 2020/11/09 | 2021/03/25 | 15.00 |
| 65755 | Haitong Int'l Sec – Meituan R Bull CBBC Apr 2021 B | 60,000,000 | 2020/11/09 | 2021/04/28 | 15.54 |
| 65751 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 F | 60,000,000 | 2020/11/09 | 2021/03/25 | 15.00 |
| 65413 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 R | 200,000,000 | 2020/11/09 | 2023/12/28 | 50.00 |
| 65417 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 S | 200,000,000 | 2020/11/09 | 2024/01/30 | 50.00 |
| 65461 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/09 | 2021/02/25 | 50.00 |
| 65436 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65444 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65467 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65423 | J P Morgan SP BV – Meituan R Bull CBBC Jun 2021 C | 80,000,000 | 2020/11/09 | 2021/06/18 | 20.00 |
| 65422 | J P Morgan SP BV – Xiaomi R Bull CBBC Jun 2021 E | 150,000,000 | 2020/11/09 | 2021/06/18 | 37.50 |
| 66433 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Jun2021 D | 40,000,000 | 2020/11/09 | 2021/06/01 | 10.00 |
| 65772 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 O | 80,000,000 | 2020/11/09 | 2021/04/30 | 20.00 |
| 65784 | SG Issuer – Alibaba Group R Bull CBBC May 2021 L | 80,000,000 | 2020/11/09 | 2021/05/28 | 20.00 |
| 65787 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 R | 80,000,000 | 2020/11/09 | 2021/06/30 | 23.20 |
| 65800 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 S | 80,000,000 | 2020/11/09 | 2021/06/30 | 20.00 |
| 66093 | SG Issuer – Alibaba Group R Bear CBBC May 2021 S | 80,000,000 | 2020/11/09 | 2021/05/28 | 20.00 |
| 66133 | SG Issuer – Alibaba Group R Bear CBBC May 2021 T | 80,000,000 | 2020/11/09 | 2021/05/31 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66137 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 G | 200,000,000 | 2020/11/09 | 2021/06/29 | 50.00 |
| 65905 | SG Issuer – Geely Auto R Bull CBBC Jun 2021 C | 150,000,000 | 2020/11/09 | 2021/06/30 | 37.50 |
| 65817 | SG Issuer – Galaxy Ent R Bear CBBC Jun 2021 B | 100,000,000 | 2020/11/09 | 2021/06/29 | 25.00 |
| 65823 | SG Issuer – HKEX R Bull CBBC Jun 2021 J | 50,000,000 | 2020/11/09 | 2021/06/30 | 12.50 |
| 65826 | SG Issuer – HKEX R Bull CBBC Oct 2021 B | 200,000,000 | 2020/11/09 | 2021/10/29 | 50.00 |
| 65878 | SG Issuer – HSI R Bull CBBC Dec 2023 Y | 250,000,000 | 2020/11/09 | 2023/12/28 | 62.50 |
| 66034 | SG Issuer – HSI R Bull CBBC Dec 2023 Z | 250,000,000 | 2020/11/09 | 2023/12/28 | 62.50 |
| 65898 | SG Issuer – HSI R Bull CBBC Jan 2024 K | 250,000,000 | 2020/11/09 | 2024/01/30 | 62.50 |
| 66088 | SG Issuer – HSI R Bull CBBC Jan 2024 L | 250,000,000 | 2020/11/09 | 2024/01/30 | 62.50 |
| 66057 | SG Issuer – HSI R Bear CBBC Mar 2021 X | 250,000,000 | 2020/11/09 | 2021/03/30 | 62.50 |
| 66016 | SG Issuer – HSI R Bear CBBC Apr 2021 F | 250,000,000 | 2020/11/09 | 2021/04/29 | 62.50 |
| 66059 | SG Issuer – HSI R Bear CBBC Apr 2021 G | 250,000,000 | 2020/11/09 | 2021/04/29 | 62.50 |
| 66078 | SG Issuer – HSI R Bear CBBC Apr 2021 H | 250,000,000 | 2020/11/09 | 2021/04/29 | 62.50 |
| 66150 | SG Issuer – Meituan R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/09 | 2021/04/30 | 20.00 |
| 66162 | SG Issuer – Meituan R Bull CBBC May 2021 I | 80,000,000 | 2020/11/09 | 2021/05/31 | 20.00 |
| 66166 | SG Issuer – Meituan R Bull CBBC May 2021 J | 80,000,000 | 2020/11/09 | 2021/05/31 | 20.00 |
| 65831 | SG Issuer – Meituan R Bear CBBC May 2021 Y | 80,000,000 | 2020/11/09 | 2021/05/28 | 20.00 |
| 65976 | SG Issuer – Meituan R Bear CBBC May 2021 Z | 80,000,000 | 2020/11/09 | 2021/05/28 | 20.00 |
| 66189 | SG Issuer – Meituan R Bear CBBC Aug 2021 A | 200,000,000 | 2020/11/09 | 2021/08/31 | 50.00 |
| 65833 | SG Issuer – SHK Ppt R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/09 | 2021/07/30 | 27.50 |
| 66216 | SG Issuer – SMIC R Bear CBBC Jul 2021 A | 120,000,000 | 2020/11/09 | 2021/07/29 | 30.00 |
| 65836 | SG Issuer – Tencent R Bull CBBC Jun 2021 V | 80,000,000 | 2020/11/09 | 2021/06/30 | 20.00 |
| 65837 | SG Issuer – Tencent R Bull CBBC Jun 2021 W | 80,000,000 | 2020/11/09 | 2021/06/30 | 20.00 |
| 66240 | SG Issuer – Tencent R Bull CBBC Jun 2021 X | 80,000,000 | 2020/11/09 | 2021/06/30 | 20.00 |
| 66012 | SG Issuer – Tencent R Bear CBBC May 2021 Y | 80,000,000 | 2020/11/09 | 2021/05/28 | 20.00 |
| 66272 | SG Issuer – Tencent R Bear CBBC Jul 2021 E | 200,000,000 | 2020/11/09 | 2021/07/29 | 50.00 |
| 66285 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 R | 60,000,000 | 2020/11/09 | 2021/04/30 | 15.00 |
| 66287 | SG Issuer – Xiaomi R Bull CBBC May 2021 P | 60,000,000 | 2020/11/09 | 2021/05/31 | 15.00 |
| 66014 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 G | 200,000,000 | 2020/11/09 | 2021/06/29 | 50.00 |
| 64937 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 T | 80,000,000 | 2020/11/09 | 2021/03/22 | 20.00 |
| 64939 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 U | 80,000,000 | 2020/11/09 | 2021/03/25 | 20.00 |
| 65038 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 V | 80,000,000 | 2020/11/09 | 2021/03/22 | 20.00 |
| 64946 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 J | 80,000,000 | 2020/11/09 | 2021/04/12 | 20.00 |
| 64957 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 M | 200,000,000 | 2020/11/09 | 2021/04/28 | 50.00 |
| 64970 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 O | 200,000,000 | 2020/11/09 | 2021/04/22 | 50.00 |
| 64976 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Q | 200,000,000 | 2020/11/09 | 2021/04/27 | 50.00 |
| 64940 | UBS AG – Alibaba Group R Bull CBBC May 2021 L | 200,000,000 | 2020/11/09 | 2021/05/10 | 50.00 |
| 65188 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 A | 80,000,000 | 2020/11/09 | 2021/03/15 | 20.00 |
| 65195 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 F | 80,000,000 | 2020/11/09 | 2021/03/17 | 20.00 |
| 65216 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 D | 200,000,000 | 2020/11/09 | 2021/06/21 | 50.00 |
| 65220 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 E | 200,000,000 | 2020/11/09 | 2021/06/17 | 50.00 |
| 65266 | UBS AG – HKEX R Bull CBBC Apr 2021 G | 50,000,000 | 2020/11/09 | 2021/04/19 | 12.50 |
| 65291 | UBS AG – HKEX R Bull CBBC Apr 2021 H | 50,000,000 | 2020/11/09 | 2021/04/26 | 12.50 |
| 65222 | UBS AG – HKEX R Bear CBBC Apr 2021 G | 50,000,000 | 2020/11/09 | 2021/04/19 | 12.50 |
| 65182 | UBS AG – HSI R Bull CBBC Oct 2022 P | 200,000,000 | 2020/11/09 | 2022/10/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 65031 | UBS AG – HSI R Bull CBBC Oct 2023 C | 200,000,000 | 2020/11/09 | 2023/10/30 | 50.00 |
| 65033 | UBS AG – HSI R Bull CBBC Oct 2023 Z | 200,000,000 | 2020/11/09 | 2023/10/30 | 50.00 |
| 65159 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/09 | 2021/02/25 | 50.00 |
| 65145 | UBS AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/09 | 2021/02/25 | 50.00 |
| 65165 | UBS AG – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/11/09 | 2021/03/30 | 50.00 |
| 65333 | UBS AG – Meituan R Bull CBBC Mar 2021 L | 50,000,000 | 2020/11/09 | 2021/03/15 | 12.50 |
| 65324 | UBS AG – Meituan R Bull CBBC Mar 2021 N | 50,000,000 | 2020/11/09 | 2021/03/10 | 12.50 |
| 65348 | UBS AG – Meituan R Bull CBBC May 2021 M | 150,000,000 | 2020/11/09 | 2021/05/28 | 37.50 |
| 65360 | UBS AG – Meituan R Bull CBBC May 2021 N | 150,000,000 | 2020/11/09 | 2021/05/31 | 37.50 |
| 65128 | UBS AG – Meituan R Bear CBBC Mar 2021 X | 50,000,000 | 2020/11/09 | 2021/03/30 | 12.50 |
| 65029 | UBS AG – Meituan R Bear CBBC Apr 2021 W | 50,000,000 | 2020/11/09 | 2021/04/29 | 12.50 |
| 65137 | UBS AG – Meituan R Bear CBBC Apr 2021 X | 150,000,000 | 2020/11/09 | 2021/04/30 | 37.50 |
| 65364 | UBS AG – Meituan R Bear CBBC May 2021 L | 150,000,000 | 2020/11/09 | 2021/05/31 | 37.50 |
| 65374 | UBS AG – Meituan R Bear CBBC May 2021 M | 150,000,000 | 2020/11/09 | 2021/05/28 | 37.50 |
| 65057 | UBS AG – Tencent R Bull CBBC Mar 2021 B | 40,000,000 | 2020/11/09 | 2021/03/22 | 10.00 |
| 64999 | UBS AG – Tencent R Bull CBBC Apr 2021 O | 40,000,000 | 2020/11/09 | 2021/04/07 | 10.00 |
| 65014 | UBS AG – Tencent R Bull CBBC Apr 2021 S | 40,000,000 | 2020/11/09 | 2021/04/16 | 10.00 |
| 65026 | UBS AG – Tencent R Bull CBBC May 2021 B | 200,000,000 | 2020/11/09 | 2021/05/31 | 50.00 |
| 65003 | UBS AG – Tencent R Bull CBBC May 2021 V | 40,000,000 | 2020/11/09 | 2021/05/28 | 10.00 |
| 65005 | UBS AG – Tencent R Bull CBBC May 2021 W | 200,000,000 | 2020/11/09 | 2021/05/26 | 50.00 |
| 65008 | UBS AG – Tencent R Bull CBBC May 2021 X | 40,000,000 | 2020/11/09 | 2021/05/27 | 10.00 |
| 65013 | UBS AG – Tencent R Bull CBBC May 2021 Y | 200,000,000 | 2020/11/09 | 2021/05/28 | 50.00 |
| 65025 | UBS AG – Tencent R Bull CBBC May 2021 Z | 200,000,000 | 2020/11/09 | 2021/05/31 | 50.00 |
| 65071 | UBS AG – Tencent R Bear CBBC Mar 2021 S | 40,000,000 | 2020/11/09 | 2021/03/22 | 10.00 |
| 65081 | UBS AG – Tencent R Bear CBBC Mar 2021 Y | 40,000,000 | 2020/11/09 | 2021/03/29 | 10.00 |
| 65092 | UBS AG – Tencent R Bear CBBC Apr 2021 T | 150,000,000 | 2020/11/09 | 2021/04/29 | 37.50 |
| 65292 | UBS AG – Xiaomi R Bull CBBC Mar 2021 N | 60,000,000 | 2020/11/09 | 2021/03/08 | 15.00 |
| 65307 | UBS AG – Xiaomi R Bull CBBC Mar 2021 O | 60,000,000 | 2020/11/09 | 2021/03/23 | 15.00 |
| 65027 | UBS AG – Xiaomi R Bear CBBC Apr 2021 P | 60,000,000 | 2020/11/09 | 2021/04/29 | 15.00 |
| 65099 | UBS AG – Xiaomi R Bear CBBC Apr 2021 Q | 60,000,000 | 2020/11/09 | 2021/04/12 | 15.00 |
| 65111 | UBS AG – Xiaomi R Bear CBBC Jun 2021 G | 200,000,000 | 2020/11/09 | 2021/06/07 | 50.00 |
| 66378 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 I | 40,000,000 | 2020/11/09 | 2021/07/02 | 10.00 |
| 66369 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/09 | 2021/06/04 | 10.00 |
| 66427 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/09 | 2021/11/19 | 10.00 |
| 66380 | Bank Vontobel – HSBC R Bull CBBC Sep 2021 J | 40,000,000 | 2020/11/09 | 2021/09/17 | 10.00 |
| 66297 | Bank Vontobel – HSI N Bull CBBC Dec 2023 V | 40,000,000 | 2020/11/09 | 2023/12/28 | 10.00 |
| 66307 | Bank Vontobel – HSI R Bull CBBC Dec 2023 W | 80,000,000 | 2020/11/09 | 2023/12/28 | 20.00 |
| 66310 | Bank Vontobel – HSI R Bull CBBC Dec 2023 X | 80,000,000 | 2020/11/09 | 2023/12/28 | 20.00 |
| 66294 | Bank Vontobel – HSI R Bear CBBC Feb 2021 E | 80,000,000 | 2020/11/09 | 2021/02/25 | 20.00 |
| 66397 | Bank Vontobel – Meituan R Bull CBBC Jul 2021 K | 60,000,000 | 2020/11/09 | 2021/07/09 | 15.00 |
| 66320 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 H | 80,000,000 | 2020/11/09 | 2021/11/19 | 20.00 |
| 66410 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 O | 40,000,000 | 2020/11/09 | 2021/07/16 | 10.00 |
| 67772 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 L | 60,000,000 | 2020/11/10 | 2021/02/26 | 15.60 |
| 67798 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 M | 60,000,000 | 2020/11/10 | 2021/02/26 | 15.60 |
| 67628 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 A | 150,000,000 | 2020/11/10 | 2023/02/27 | 39.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67652 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 L | 150,000,000 | 2020/11/10 | 2023/02/27 | 39.00 |
| 67036 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 G | 150,000,000 | 2020/11/10 | 2021/02/25 | 39.00 |
| 67042 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 H | 150,000,000 | 2020/11/10 | 2021/02/25 | 39.00 |
| 67043 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 I | 150,000,000 | 2020/11/10 | 2021/02/25 | 39.00 |
| 67050 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 J | 150,000,000 | 2020/11/10 | 2021/02/25 | 39.00 |
| 67742 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 F | 40,000,000 | 2020/11/10 | 2021/02/26 | 10.40 |
| 67759 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 G | 40,000,000 | 2020/11/10 | 2021/02/26 | 10.40 |
| 67769 | BOCI Asia Ltd. – Meituan R Bear CBBC Feb 2021 E | 40,000,000 | 2020/11/10 | 2021/02/26 | 12.40 |
| 67669 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 N | 40,000,000 | 2020/11/10 | 2021/02/26 | 10.40 |
| 67682 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 O | 120,000,000 | 2020/11/10 | 2021/02/26 | 31.20 |
| 67698 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 P | 40,000,000 | 2020/11/10 | 2021/02/26 | 10.40 |
| 67718 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 Q | 120,000,000 | 2020/11/10 | 2021/02/26 | 31.20 |
| 67721 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 G | 40,000,000 | 2020/11/10 | 2021/02/26 | 10.40 |
| 68004 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 F | 200,000,000 | 2020/11/10 | 2021/11/29 | 50.00 |
| 68013 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 O | 200,000,000 | 2020/11/10 | 2021/12/30 | 50.00 |
| 68066 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 V | 200,000,000 | 2020/11/10 | 2021/09/29 | 50.00 |
| 67875 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 A | 200,000,000 | 2020/11/10 | 2023/09/28 | 50.00 |
| 67876 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 B | 190,000,000 | 2020/11/10 | 2023/09/28 | 47.50 |
| 67110 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 L | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67116 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 M | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67119 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67135 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67143 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 P | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67153 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67150 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Oct 2023 V | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67081 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67960 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67083 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67095 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67969 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67987 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67097 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67099 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67165 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67191 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67202 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67878 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67880 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67888 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67890 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67078 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67958 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 A | 200,000,000 | 2020/11/10 | 2021/04/29 | 50.00 |
| 68019 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 M | 200,000,000 | 2020/11/10 | 2021/06/29 | 50.00 |
| 68020 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 T | 200,000,000 | 2020/11/10 | 2021/06/29 | 50.00 |
| 68029 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 U | 200,000,000 | 2020/11/10 | 2021/06/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68048 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 O | 200,000,000 | 2020/11/10 | 2021/11/29 | 50.00 |
| 68060 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 S | 200,000,000 | 2020/11/10 | 2021/11/29 | 50.00 |
| 68119 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 W | 250,000,000 | 2020/11/10 | 2021/11/29 | 62.50 |
| 68070 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 P | 250,000,000 | 2020/11/10 | 2021/12/30 | 62.50 |
| 68137 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 S | 250,000,000 | 2020/11/10 | 2021/12/30 | 62.50 |
| 68154 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 X | 250,000,000 | 2020/11/10 | 2021/11/29 | 62.50 |
| 68152 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 L | 250,000,000 | 2020/11/10 | 2021/12/30 | 62.50 |
| 67990 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 L | 250,000,000 | 2020/11/10 | 2021/11/29 | 62.50 |
| 67993 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 P | 250,000,000 | 2020/11/10 | 2021/11/29 | 62.50 |
| 67998 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 U | 250,000,000 | 2020/11/10 | 2021/11/29 | 62.50 |
| 69493 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 Q | 50,000,000 | 2020/11/10 | 2021/02/25 | 12.50 |
| 69532 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 H | 50,000,000 | 2020/11/10 | 2021/04/29 | 12.50 |
| 69437 | Credit Suisse AG – Ali Health R Bull CBBC May 2021 C | 50,000,000 | 2020/11/10 | 2021/05/28 | 12.50 |
| 69404 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 N | 100,000,000 | 2020/11/10 | 2021/07/29 | 25.00 |
| 69435 | Credit Suisse AG – Geely Auto R Bear CBBC Apr 2021 H | 100,000,000 | 2020/11/10 | 2021/04/29 | 25.00 |
| 69364 | Credit Suisse AG – Galaxy Ent R Bull CBBC Apr 2021 C | 80,000,000 | 2020/11/10 | 2021/04/29 | 20.00 |
| 69439 | Credit Suisse AG – HKEX R Bull CBBC May 2021 D | 50,000,000 | 2020/11/10 | 2021/05/28 | 12.50 |
| 69694 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 P | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 69695 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 R | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 69639 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 A | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 69641 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Q | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 69678 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 R | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 69244 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 69276 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 69287 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 69310 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 69328 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 69331 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/10 | 2021/04/29 | 50.00 |
| 69343 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/10 | 2021/04/29 | 50.00 |
| 69362 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/11/10 | 2021/04/29 | 50.00 |
| 69604 | Credit Suisse AG – Meituan R Bull CBBC Feb 2021 L | 50,000,000 | 2020/11/10 | 2021/02/25 | 12.50 |
| 69605 | Credit Suisse AG – Meituan R Bear CBBC May 2021 C | 100,000,000 | 2020/11/10 | 2021/05/28 | 25.00 |
| 69472 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 D | 80,000,000 | 2020/11/10 | 2021/07/29 | 20.00 |
| 69460 | Credit Suisse AG – SMIC R Bull CBBC May 2021 J | 50,000,000 | 2020/11/10 | 2021/05/28 | 12.50 |
| 69564 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 U | 50,000,000 | 2020/11/10 | 2021/02/25 | 12.50 |
| 69578 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 O | 100,000,000 | 2020/11/10 | 2021/04/29 | 25.00 |
| 69591 | Credit Suisse AG – Tencent R Bear CBBC Mar 2021 C | 50,000,000 | 2020/11/10 | 2021/03/30 | 15.50 |
| 69599 | Credit Suisse AG – Tencent R Bear CBBC Mar 2021 D | 50,000,000 | 2020/11/10 | 2021/03/30 | 25.00 |
| 69461 | Credit Suisse AG – WuXi Bio R Bull CBBC May 2021 C | 100,000,000 | 2020/11/10 | 2021/05/28 | 25.00 |
| 67820 | Guotai Junan – HSI R Bull CBBC Oct 2023 A | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67849 | Guotai Junan – HSI R Bull CBBC Oct 2023 B | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67870 | Guotai Junan – HSI R Bull CBBC Oct 2023 C | 200,000,000 | 2020/11/10 | 2023/10/30 | 50.00 |
| 67871 | Guotai Junan – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67872 | Guotai Junan – HSI R Bear CBBC Apr 2021 A | 200,000,000 | 2020/11/10 | 2021/04/29 | 50.00 |
| 50264 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 U | 60,000,000 | 2020/11/10 | 2021/07/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 50200 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 T | 100,000,000 | 2020/11/10 | 2021/08/30 | 25.00 |
| 50312 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 S | 100,000,000 | 2020/11/10 | 2021/07/29 | 25.00 |
| 50087 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 E | 160,000,000 | 2020/11/10 | 2023/11/29 | 40.00 |
| 69963 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 J | 160,000,000 | 2020/11/10 | 2023/12/28 | 40.00 |
| 69993 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 N | 160,000,000 | 2020/11/10 | 2023/12/28 | 40.00 |
| 69971 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 P | 160,000,000 | 2020/11/10 | 2023/12/28 | 40.00 |
| 69985 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 Q | 160,000,000 | 2020/11/10 | 2023/12/28 | 40.00 |
| 69994 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 R | 160,000,000 | 2020/11/10 | 2023/12/28 | 40.00 |
| 50005 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 S | 160,000,000 | 2020/11/10 | 2023/12/28 | 40.00 |
| 50196 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/11/10 | 2023/12/28 | 50.00 |
| 69911 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 D | 160,000,000 | 2020/11/10 | 2021/02/25 | 40.00 |
| 50117 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 E | 160,000,000 | 2020/11/10 | 2021/02/25 | 40.00 |
| 69962 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 A | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 50150 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 C | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 50183 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 G | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 50137 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 I | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 50190 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 J | 200,000,000 | 2020/11/10 | 2021/04/29 | 50.00 |
| 69913 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 L | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 69931 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 N | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 69933 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 V | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 50146 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 W | 160,000,000 | 2020/11/10 | 2021/04/29 | 40.00 |
| 50360 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 L | 60,000,000 | 2020/11/10 | 2021/07/30 | 15.00 |
| 50314 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/10 | 2021/08/30 | 25.00 |
| 50315 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 H | 100,000,000 | 2020/11/10 | 2021/08/26 | 25.00 |
| 50313 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 H | 100,000,000 | 2020/11/10 | 2021/07/30 | 25.00 |
| 50271 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 N | 100,000,000 | 2020/11/10 | 2021/08/30 | 25.00 |
| 50273 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 O | 100,000,000 | 2020/11/10 | 2021/08/30 | 25.00 |
| 50283 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 P | 60,000,000 | 2020/11/10 | 2021/08/30 | 15.00 |
| 50368 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 E | 100,000,000 | 2020/11/10 | 2021/08/30 | 25.00 |
| 50383 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 F | 60,000,000 | 2020/11/10 | 2021/08/30 | 15.00 |
| 67317 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/10 | 2021/07/05 | 25.00 |
| 67301 | HK Bank – Alibaba Group R Bear CBBC Apr 2021 E | 100,000,000 | 2020/11/10 | 2021/04/19 | 25.00 |
| 67289 | HK Bank – Geely Auto R Bull CBBC Jul 2021 D | 60,000,000 | 2020/11/10 | 2021/07/26 | 15.00 |
| 67225 | HK Bank – HSI R Bull CBBC Mar 2023 E | 80,000,000 | 2020/11/10 | 2023/03/30 | 20.00 |
| 67228 | HK Bank – HSI R Bull CBBC Mar 2023 L | 120,000,000 | 2020/11/10 | 2023/03/30 | 30.00 |
| 67208 | HK Bank – HSI R Bear CBBC Mar 2021 N | 100,000,000 | 2020/11/10 | 2021/03/30 | 25.00 |
| 67209 | HK Bank – HSI R Bear CBBC Mar 2021 O | 120,000,000 | 2020/11/10 | 2021/03/30 | 30.00 |
| 67262 | HK Bank – HSI R Bear CBBC Mar 2021 P | 100,000,000 | 2020/11/10 | 2021/03/30 | 25.00 |
| 67253 | HK Bank – HSI R Bear CBBC Jul 2021 C | 120,000,000 | 2020/11/10 | 2021/07/29 | 30.00 |
| 67256 | HK Bank – HSI R Bear CBBC Jul 2021 D | 120,000,000 | 2020/11/10 | 2021/07/29 | 30.00 |
| 67326 | HK Bank – Meituan R Bull CBBC May 2021 F | 100,000,000 | 2020/11/10 | 2021/05/17 | 25.00 |
| 67295 | HK Bank – Meituan R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/10 | 2021/07/19 | 25.00 |
| 67316 | HK Bank – Meituan R Bear CBBC Jun 2021 C | 100,000,000 | 2020/11/10 | 2021/06/28 | 25.00 |
| 67306 | HK Bank – Tencent R Bull CBBC Jul 2021 G | 100,000,000 | 2020/11/10 | 2021/07/19 | 25.00 |
| 67324 | HK Bank – Tencent R Bull CBBC Jul 2021 H | 100,000,000 | 2020/11/10 | 2021/07/19 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 67291 | HK Bank – Tencent R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/10 | 2021/07/12 | 25.00 |
| 67270 | HK Bank – Xiaomi R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/10 | 2021/07/05 | 20.00 |
| 68765 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 A | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68785 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 L | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68786 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 M | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68724 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68717 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68788 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 G | 60,000,000 | 2020/11/10 | 2021/03/25 | 15.00 |
| 68828 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 T | 60,000,000 | 2020/11/10 | 2021/03/25 | 15.00 |
| 68836 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 E | 60,000,000 | 2020/11/10 | 2021/03/25 | 17.58 |
| 67505 | J P Morgan SP BV – Alibaba Group R Bull CBBC Jun 2021 C | 80,000,000 | 2020/11/10 | 2021/06/18 | 20.00 |
| 67498 | J P Morgan SP BV – HKEX R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/10 | 2021/06/18 | 10.00 |
| 67577 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/11/10 | 2023/12/28 | 50.00 |
| 67599 | J P Morgan SP BV – HSI R Bull CBBC Dec 2023 Z | 200,000,000 | 2020/11/10 | 2023/12/28 | 50.00 |
| 67535 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 K | 200,000,000 | 2020/11/10 | 2024/01/30 | 50.00 |
| 67565 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 O | 200,000,000 | 2020/11/10 | 2024/01/30 | 50.00 |
| 67575 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 P | 200,000,000 | 2020/11/10 | 2024/01/30 | 50.00 |
| 67532 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 A | 200,000,000 | 2020/11/10 | 2024/02/28 | 50.00 |
| 67619 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 B | 200,000,000 | 2020/11/10 | 2024/02/28 | 50.00 |
| 67335 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67341 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67365 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67461 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67469 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67496 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 67327 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/11/10 | 2021/03/30 | 50.00 |
| 67342 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/11/10 | 2021/03/30 | 50.00 |
| 67503 | J P Morgan SP BV – Meituan R Bear CBBC Jun 2021 B | 200,000,000 | 2020/11/10 | 2021/06/18 | 50.00 |
| 68854 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 A | 100,000,000 | 2020/11/10 | 2023/10/30 | 25.00 |
| 68847 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 Z | 100,000,000 | 2020/11/10 | 2023/10/30 | 25.00 |
| 68853 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 T | 100,000,000 | 2020/11/10 | 2023/11/29 | 25.00 |
| 68857 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 E | 100,000,000 | 2020/11/10 | 2023/12/28 | 25.00 |
| 66961 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 V | 100,000,000 | 2020/11/10 | 2021/02/25 | 25.00 |
| 67018 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 W | 100,000,000 | 2020/11/10 | 2021/02/25 | 25.00 |
| 67076 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 X | 100,000,000 | 2020/11/10 | 2021/02/25 | 25.00 |
| 66934 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 G | 100,000,000 | 2020/11/10 | 2021/03/30 | 25.00 |
| 67074 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 H | 100,000,000 | 2020/11/10 | 2021/03/30 | 25.00 |
| 68869 | SG Issuer – AIA R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/10 | 2021/06/30 | 15.00 |
| 69036 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 J | 80,000,000 | 2020/11/10 | 2021/04/30 | 20.00 |
| 69038 | SG Issuer – Alibaba Group R Bull CBBC May 2021 M | 80,000,000 | 2020/11/10 | 2021/05/28 | 20.00 |
| 69151 | SG Issuer – Alibaba Group R Bull CBBC May 2021 N | 80,000,000 | 2020/11/10 | 2021/05/28 | 20.00 |
| 68890 | SG Issuer – Alibaba Group R Bear CBBC May 2021 U | 80,000,000 | 2020/11/10 | 2021/05/28 | 20.00 |
| 68891 | SG Issuer – Alibaba Group R Bear CBBC Jul 2021 C | 80,000,000 | 2020/11/10 | 2021/07/29 | 20.00 |
| 69157 | SG Issuer – CNOOC R Bull CBBC Oct 2021 B | 40,000,000 | 2020/11/10 | 2021/10/28 | 10.00 |
| 69160 | SG Issuer – CNOOC R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/10 | 2021/11/29 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69174 | SG Issuer – CNOOC R Bull CBBC Dec 2021 A | 40,000,000 | 2020/11/10 | 2021/12/30 | 10.00 |
| 69054 | SG Issuer – Geely Auto R Bull CBBC Jun 2021 D | 150,000,000 | 2020/11/10 | 2021/06/30 | 37.50 |
| 68907 | SG Issuer – Geely Auto R Bear CBBC Apr 2021 C | 150,000,000 | 2020/11/10 | 2021/04/30 | 37.50 |
| 68908 | SG Issuer – Geely Auto R Bear CBBC Apr 2021 D | 150,000,000 | 2020/11/10 | 2021/04/30 | 37.50 |
| 68900 | SG Issuer – Galaxy Ent R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/10 | 2021/06/30 | 25.00 |
| 69123 | SG Issuer – HSI R Bull CBBC Nov 2023 G | 250,000,000 | 2020/11/10 | 2023/11/29 | 62.50 |
| 68996 | SG Issuer – HSI R Bull CBBC Nov 2023 O | 250,000,000 | 2020/11/10 | 2023/11/29 | 62.50 |
| 68999 | SG Issuer – HSI R Bull CBBC Nov 2023 Z | 250,000,000 | 2020/11/10 | 2023/11/29 | 62.50 |
| 69018 | SG Issuer – HSI R Bull CBBC Dec 2023 F | 250,000,000 | 2020/11/10 | 2023/12/28 | 62.50 |
| 69024 | SG Issuer – HSI R Bull CBBC Dec 2023 R | 250,000,000 | 2020/11/10 | 2023/12/28 | 62.50 |
| 69025 | SG Issuer – HSI R Bull CBBC Dec 2023 W | 250,000,000 | 2020/11/10 | 2023/12/28 | 62.50 |
| 69031 | SG Issuer – HSI R Bull CBBC Jan 2024 H | 250,000,000 | 2020/11/10 | 2024/01/30 | 62.50 |
| 68937 | SG Issuer – HSI R Bear CBBC May 2021 P | 250,000,000 | 2020/11/10 | 2021/05/28 | 62.50 |
| 68939 | SG Issuer – HSI R Bear CBBC May 2021 Q | 250,000,000 | 2020/11/10 | 2021/05/28 | 62.50 |
| 68966 | SG Issuer – HSI R Bear CBBC May 2021 R | 250,000,000 | 2020/11/10 | 2021/05/28 | 62.50 |
| 68978 | SG Issuer – HSI R Bear CBBC May 2021 S | 250,000,000 | 2020/11/10 | 2021/05/28 | 62.50 |
| 68979 | SG Issuer – HSI R Bear CBBC May 2021 T | 250,000,000 | 2020/11/10 | 2021/05/28 | 62.50 |
| 69118 | SG Issuer – HSI R Bear CBBC May 2021 U | 250,000,000 | 2020/11/10 | 2021/05/28 | 62.50 |
| 69175 | SG Issuer – Meituan R Bull CBBC Apr 2021 K | 80,000,000 | 2020/11/10 | 2021/04/30 | 20.00 |
| 69197 | SG Issuer – Meituan R Bull CBBC Apr 2021 L | 80,000,000 | 2020/11/10 | 2021/04/30 | 20.00 |
| 68909 | SG Issuer – Meituan R Bear CBBC May 2021 B | 80,000,000 | 2020/11/10 | 2021/05/28 | 20.00 |
| 68922 | SG Issuer – Meituan R Bear CBBC Jun 2021 K | 80,000,000 | 2020/11/10 | 2021/06/29 | 20.00 |
| 69200 | SG Issuer – Meituan R Bear CBBC Jun 2021 L | 80,000,000 | 2020/11/10 | 2021/06/29 | 20.00 |
| 69202 | SG Issuer – Meituan R Bear CBBC Jun 2021 M | 80,000,000 | 2020/11/10 | 2021/06/29 | 28.00 |
| 69221 | SG Issuer – PA Gooddoctor R Bull CBBC Dec 2021 A | 40,000,000 | 2020/11/10 | 2021/12/30 | 10.00 |
| 69227 | SG Issuer – Sands China R Bull CBBC Oct 2021 B | 100,000,000 | 2020/11/10 | 2021/10/28 | 25.00 |
| 68930 | SG Issuer – SHK Ppt R Bull CBBC Dec 2021 A | 100,000,000 | 2020/11/10 | 2021/12/31 | 25.00 |
| 68923 | SG Issuer – SHK Ppt R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/10 | 2021/09/30 | 42.00 |
| 69059 | SG Issuer – Tencent R Bull CBBC May 2021 H | 80,000,000 | 2020/11/10 | 2021/05/31 | 20.00 |
| 69062 | SG Issuer – Tencent R Bull CBBC May 2021 I | 80,000,000 | 2020/11/10 | 2021/05/31 | 20.00 |
| 69235 | SG Issuer – Tencent R Bull CBBC May 2021 J | 80,000,000 | 2020/11/10 | 2021/05/28 | 20.00 |
| 69089 | SG Issuer – Tencent R Bull CBBC Jun 2021 Y | 200,000,000 | 2020/11/10 | 2021/06/30 | 50.00 |
| 69110 | SG Issuer – Tencent R Bull CBBC Jun 2021 Z | 80,000,000 | 2020/11/10 | 2021/06/30 | 20.00 |
| 68932 | SG Issuer – Tencent R Bear CBBC Apr 2021 T | 80,000,000 | 2020/11/10 | 2021/04/30 | 25.20 |
| 69084 | SG Issuer – Tencent R Bear CBBC Jun 2021 V | 80,000,000 | 2020/11/10 | 2021/06/29 | 20.00 |
| 69241 | SG Issuer – Tencent R Bear CBBC Jun 2021 W | 80,000,000 | 2020/11/10 | 2021/06/29 | 20.00 |
| 68935 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 F | 200,000,000 | 2020/11/10 | 2021/06/30 | 50.00 |
| 68479 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 B | 80,000,000 | 2020/11/10 | 2021/04/26 | 20.00 |
| 68474 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 S | 80,000,000 | 2020/11/10 | 2021/04/12 | 20.00 |
| 68477 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/11/10 | 2021/04/19 | 20.00 |
| 68225 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 O | 80,000,000 | 2020/11/10 | 2021/04/21 | 20.00 |
| 68226 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 P | 80,000,000 | 2020/11/10 | 2021/04/19 | 20.00 |
| 68585 | UBS AG – China Mobile R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/10 | 2021/06/30 | 20.00 |
| 68571 | UBS AG – CC Bank R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/10 | 2021/07/26 | 25.00 |
| 68387 | UBS AG – China Life R Bull CBBC Sep 2021 E | 150,000,000 | 2020/11/10 | 2021/09/27 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68557 | UBS AG – Geely Auto R Bull CBBC Sep 2021 H | 100,000,000 | 2020/11/10 | 2021/09/13 | 25.00 |
| 68564 | UBS AG – Geely Auto R Bull CBBC Sep 2021 I | 100,000,000 | 2020/11/10 | 2021/09/30 | 25.00 |
| 68610 | UBS AG – HSI R Bull CBBC Nov 2023 B | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68667 | UBS AG – HSI R Bull CBBC Nov 2023 C | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68617 | UBS AG – HSI R Bull CBBC Nov 2023 H | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68676 | UBS AG – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68649 | UBS AG – HSI R Bull CBBC Nov 2023 T | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68613 | UBS AG – HSI R Bull CBBC Nov 2023 V | 200,000,000 | 2020/11/10 | 2023/11/29 | 50.00 |
| 68298 | UBS AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68321 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68335 | UBS AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68346 | UBS AG – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68384 | UBS AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68395 | UBS AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/10 | 2021/02/25 | 50.00 |
| 68592 | UBS AG – ICBC R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/10 | 2021/07/30 | 25.00 |
| 68531 | UBS AG – Meituan R Bull CBBC Mar 2021 O | 50,000,000 | 2020/11/10 | 2021/03/15 | 12.50 |
| 68535 | UBS AG – Meituan R Bull CBBC Mar 2021 P | 50,000,000 | 2020/11/10 | 2021/03/22 | 12.50 |
| 68536 | UBS AG – Meituan R Bull CBBC Mar 2021 Q | 50,000,000 | 2020/11/10 | 2021/03/29 | 12.50 |
| 68556 | UBS AG – Meituan R Bull CBBC Apr 2021 C | 150,000,000 | 2020/11/10 | 2021/04/21 | 37.50 |
| 68546 | UBS AG – Meituan R Bull CBBC Apr 2021 Z | 50,000,000 | 2020/11/10 | 2021/04/19 | 12.50 |
| 68248 | UBS AG – Meituan R Bear CBBC Mar 2021 Y | 50,000,000 | 2020/11/10 | 2021/03/29 | 12.50 |
| 68253 | UBS AG – Meituan R Bear CBBC Mar 2021 Z | 50,000,000 | 2020/11/10 | 2021/03/22 | 12.50 |
| 68405 | UBS AG – Meituan R Bear CBBC Apr 2021 A | 50,000,000 | 2020/11/10 | 2021/04/19 | 17.75 |
| 68403 | UBS AG – Meituan R Bear CBBC Apr 2021 B | 50,000,000 | 2020/11/10 | 2021/04/26 | 12.75 |
| 68268 | UBS AG – Meituan R Bear CBBC Apr 2021 Y | 50,000,000 | 2020/11/10 | 2021/04/12 | 12.50 |
| 68282 | UBS AG – Meituan R Bear CBBC Apr 2021 Z | 50,000,000 | 2020/11/10 | 2021/04/19 | 12.50 |
| 68595 | UBS AG – Ping An R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/10 | 2021/06/30 | 25.00 |
| 68409 | UBS AG – Tencent R Bull CBBC Mar 2021 D | 40,000,000 | 2020/11/10 | 2021/03/22 | 10.00 |
| 68432 | UBS AG – Tencent R Bull CBBC Apr 2021 T | 40,000,000 | 2020/11/10 | 2021/04/26 | 10.00 |
| 68433 | UBS AG – Tencent R Bull CBBC Jun 2021 N | 200,000,000 | 2020/11/10 | 2021/06/16 | 50.00 |
| 68437 | UBS AG – Tencent R Bull CBBC Jun 2021 O | 40,000,000 | 2020/11/10 | 2021/06/21 | 10.00 |
| 68463 | UBS AG – Tencent R Bull CBBC Jun 2021 P | 200,000,000 | 2020/11/10 | 2021/06/28 | 50.00 |
| 68175 | UBS AG – Tencent R Bear CBBC Mar 2021 R | 40,000,000 | 2020/11/10 | 2021/03/10 | 10.00 |
| 68186 | UBS AG – Tencent R Bear CBBC Apr 2021 U | 200,000,000 | 2020/11/10 | 2021/04/12 | 50.00 |
| 68194 | UBS AG – Tencent R Bear CBBC Apr 2021 V | 40,000,000 | 2020/11/10 | 2021/04/14 | 10.00 |
| 68210 | UBS AG – Tencent R Bear CBBC Apr 2021 W | 200,000,000 | 2020/11/10 | 2021/04/16 | 50.00 |
| 68215 | UBS AG – Tencent R Bear CBBC Apr 2021 X | 200,000,000 | 2020/11/10 | 2021/04/19 | 50.00 |
| 68224 | UBS AG – Tencent R Bear CBBC Apr 2021 Y | 200,000,000 | 2020/11/10 | 2021/04/21 | 50.00 |
| 68482 | UBS AG – Xiaomi R Bull CBBC Apr 2021 U | 60,000,000 | 2020/11/10 | 2021/04/12 | 15.00 |
| 68499 | UBS AG – Xiaomi R Bull CBBC Apr 2021 V | 60,000,000 | 2020/11/10 | 2021/04/19 | 15.00 |
| 68516 | UBS AG – Xiaomi R Bull CBBC Jun 2021 S | 200,000,000 | 2020/11/10 | 2021/06/30 | 50.00 |
| 68232 | UBS AG – Xiaomi R Bear CBBC Apr 2021 R | 60,000,000 | 2020/11/10 | 2021/04/15 | 15.00 |
| 69891 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 J | 40,000,000 | 2020/11/10 | 2021/07/16 | 10.00 |
| 69892 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 K | 40,000,000 | 2020/11/10 | 2021/07/09 | 10.00 |
| 69907 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/10 | 2021/11/26 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69899 | Bank Vontobel – Geely Auto R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/10 | 2021/04/30 | 14.80 |
| 69803 | Bank Vontobel – HSI R Bull CBBC Dec 2023 H | 80,000,000 | 2020/11/10 | 2023/12/28 | 20.00 |
| 69820 | Bank Vontobel – HSI R Bull CBBC Dec 2023 I | 80,000,000 | 2020/11/10 | 2023/12/28 | 20.00 |
| 69778 | Bank Vontobel – HSI R Bull CBBC Dec 2023 Y | 80,000,000 | 2020/11/10 | 2023/12/28 | 20.00 |
| 69790 | Bank Vontobel – HSI R Bull CBBC Dec 2023 Z | 80,000,000 | 2020/11/10 | 2023/12/28 | 20.00 |
| 69779 | Bank Vontobel – HSI R Bear CBBC Feb 2021 I | 80,000,000 | 2020/11/10 | 2021/02/25 | 20.00 |
| 69741 | Bank Vontobel – HSI R Bear CBBC Feb 2021 L | 80,000,000 | 2020/11/10 | 2021/02/25 | 20.00 |
| 69745 | Bank Vontobel – HSI R Bear CBBC Feb 2021 V | 80,000,000 | 2020/11/10 | 2021/02/25 | 20.00 |
| 69875 | Bank Vontobel – Meituan R Bull CBBC Jul 2021 L | 60,000,000 | 2020/11/10 | 2021/07/02 | 15.00 |
| 69859 | Bank Vontobel – Meituan R Bear CBBC Sep 2021 B | 100,000,000 | 2020/11/10 | 2021/09/24 | 25.00 |
| 69864 | Bank Vontobel – Meituan R Bear CBBC Sep 2021 C | 60,000,000 | 2020/11/10 | 2021/09/10 | 15.00 |
| 69824 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 I | 80,000,000 | 2020/11/10 | 2021/11/12 | 20.00 |
| 69849 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 J | 80,000,000 | 2020/11/10 | 2021/11/26 | 20.00 |
| 69887 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 P | 40,000,000 | 2020/11/10 | 2021/07/23 | 10.00 |
| 50549 | BOCI Asia Ltd. – CC Bank R Bull CBBC Mar 2021 B | 80,000,000 | 2020/11/11 | 2021/03/30 | 20.80 |
| 50534 | BOCI Asia Ltd. – CNOOC R Bull CBBC Feb 2021 A | 40,000,000 | 2020/11/11 | 2021/02/26 | 10.40 |
| 50505 | BOCI Asia Ltd. – Galaxy Ent R Bull CBBC Apr 2021 A | 100,000,000 | 2020/11/11 | 2021/04/30 | 26.00 |
| 50523 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 G | 40,000,000 | 2020/11/11 | 2021/02/26 | 10.40 |
| 50474 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 S | 150,000,000 | 2020/11/11 | 2023/02/27 | 39.00 |
| 50476 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 T | 150,000,000 | 2020/11/11 | 2023/02/27 | 39.00 |
| 50481 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 K | 150,000,000 | 2020/11/11 | 2021/02/25 | 39.00 |
| 50485 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 L | 150,000,000 | 2020/11/11 | 2021/02/25 | 39.00 |
| 50575 | BOCI Asia Ltd. – Ping An R Bull CBBC Feb 2021 A | 100,000,000 | 2020/11/11 | 2021/02/26 | 26.00 |
| 50574 | BOCI Asia Ltd. – Sands China R Bull CBBC Mar 2021 B | 80,000,000 | 2020/11/11 | 2021/03/31 | 20.80 |
| 50525 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 P | 40,000,000 | 2020/11/11 | 2021/02/26 | 10.40 |
| 50529 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 R | 40,000,000 | 2020/11/11 | 2021/02/26 | 10.40 |
| 51590 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 C | 200,000,000 | 2020/11/11 | 2023/09/28 | 50.00 |
| 50404 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 51608 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 51639 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 R | 50,000,000 | 2020/11/11 | 2021/02/25 | 12.50 |
| 51706 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2021 A | 50,000,000 | 2020/11/11 | 2021/12/30 | 12.50 |
| 51720 | Credit Suisse AG – CNOOC R Bull CBBC Dec 2021 B | 50,000,000 | 2020/11/11 | 2021/12/30 | 12.50 |
| 51721 | Credit Suisse AG – CSPC Pharma R Bull CBBC Dec 2021 A | 50,000,000 | 2020/11/11 | 2021/12/30 | 12.50 |
| 51741 | Credit Suisse AG – CSPC Pharma R Bull CBBC Dec 2021 B | 50,000,000 | 2020/11/11 | 2021/12/30 | 12.50 |
| 51859 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 Q | 200,000,000 | 2020/11/11 | 2023/10/30 | 50.00 |
| 51864 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 S | 200,000,000 | 2020/11/11 | 2023/10/30 | 50.00 |
| 51782 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/11/11 | 2023/10/30 | 50.00 |
| 51902 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 A | 200,000,000 | 2020/11/11 | 2023/12/28 | 50.00 |
| 51865 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 Y | 200,000,000 | 2020/11/11 | 2023/12/28 | 50.00 |
| 51866 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 Z | 200,000,000 | 2020/11/11 | 2023/12/28 | 50.00 |
| 51776 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51780 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51742 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51757 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51644 | Credit Suisse AG – Meituan Dianping R Bull CBBC Apr 2021 N | 50,000,000 | 2020/11/11 | 2021/04/29 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 51609 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 F | 50,000,000 | 2020/11/11 | 2021/03/30 | 12.50 |
| 51645 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 N | 100,000,000 | 2020/11/11 | 2021/05/28 | 25.00 |
| 50428 | Bank of East Asia – CSPC Pharma R Bull CBBC Mar 2021 A | 40,000,000 | 2020/11/11 | 2021/03/02 | 10.00 |
| 50461 | Bank of East Asia – CSPC Pharma R Bull CBBC Mar 2021 B | 40,000,000 | 2020/11/11 | 2021/03/02 | 10.00 |
| 50426 | Bank of East Asia – Ping An R Bull CBBC Mar 2021 A | 80,000,000 | 2020/11/11 | 2021/03/02 | 20.00 |
| 50462 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 F | 80,000,000 | 2020/11/11 | 2021/07/23 | 20.00 |
| 50473 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 G | 80,000,000 | 2020/11/11 | 2021/07/23 | 20.00 |
| 52364 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 V | 100,000,000 | 2020/11/11 | 2021/07/30 | 25.00 |
| 52363 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 U | 60,000,000 | 2020/11/11 | 2021/08/30 | 15.00 |
| 52322 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 F | 160,000,000 | 2020/11/11 | 2023/10/30 | 40.00 |
| 52328 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 I | 160,000,000 | 2020/11/11 | 2023/10/30 | 40.00 |
| 52335 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 L | 160,000,000 | 2020/11/11 | 2023/10/30 | 40.00 |
| 52336 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 R | 160,000,000 | 2020/11/11 | 2023/10/30 | 40.00 |
| 52339 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Dec 2023 U | 160,000,000 | 2020/11/11 | 2023/12/28 | 40.00 |
| 52321 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 K | 160,000,000 | 2020/11/11 | 2021/02/25 | 40.00 |
| 52340 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 U | 160,000,000 | 2020/11/11 | 2021/03/30 | 40.00 |
| 52356 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 M | 160,000,000 | 2020/11/11 | 2021/04/29 | 40.00 |
| 52384 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 I | 100,000,000 | 2020/11/11 | 2021/08/30 | 25.00 |
| 52386 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 J | 60,000,000 | 2020/11/11 | 2021/08/26 | 15.00 |
| 52380 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Q | 100,000,000 | 2020/11/11 | 2021/08/30 | 25.00 |
| 52382 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 R | 60,000,000 | 2020/11/11 | 2021/08/30 | 15.00 |
| 52381 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 L | 60,000,000 | 2020/11/11 | 2021/08/30 | 15.00 |
| 52390 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/11 | 2021/08/30 | 25.00 |
| 52403 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 H | 60,000,000 | 2020/11/11 | 2021/08/30 | 15.00 |
| 51473 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/11 | 2021/08/02 | 25.00 |
| 51504 | HK Bank – China Mobile R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/11 | 2021/07/12 | 15.00 |
| 51436 | HK Bank – CC Bank R Bull CBBC Aug 2021 D | 60,000,000 | 2020/11/11 | 2021/08/02 | 15.00 |
| 51540 | HK Bank – CNOOC R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/11 | 2021/08/16 | 10.00 |
| 51521 | HK Bank – CSPC Pharma R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/11 | 2021/09/27 | 10.00 |
| 51489 | HK Bank – Geely Auto R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/11 | 2021/07/19 | 10.00 |
| 51486 | HK Bank – HKEX R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/11 | 2021/07/12 | 25.00 |
| 51266 | HK Bank – HSCEI R Bull CBBC Mar 2023 G | 50,000,000 | 2020/11/11 | 2023/03/30 | 12.50 |
| 51264 | HK Bank – HSCEI R Bear CBBC Jul 2021 A | 50,000,000 | 2020/11/11 | 2021/07/29 | 12.50 |
| 51368 | HK Bank – HSI R Bull CBBC Mar 2023 G | 120,000,000 | 2020/11/11 | 2023/03/30 | 30.00 |
| 51289 | HK Bank – HSI R Bull CBBC Mar 2023 O | 80,000,000 | 2020/11/11 | 2023/03/30 | 20.00 |
| 51299 | HK Bank – HSI R Bull CBBC Mar 2023 T | 120,000,000 | 2020/11/11 | 2023/03/30 | 30.00 |
| 51400 | HK Bank – HSI R Bear CBBC Mar 2021 Q | 80,000,000 | 2020/11/11 | 2021/03/30 | 20.00 |
| 51412 | HK Bank – HSI R Bear CBBC Jul 2021 E | 120,000,000 | 2020/11/11 | 2021/07/29 | 30.00 |
| 51415 | HK Bank – HSI R Bear CBBC Jul 2021 F | 120,000,000 | 2020/11/11 | 2021/07/29 | 30.00 |
| 51465 | HK Bank – Meituan Dianping R Bull CBBC May 2021 G | 100,000,000 | 2020/11/11 | 2021/05/03 | 25.00 |
| 51472 | HK Bank – Meituan Dianping R Bear CBBC May 2021 B | 100,000,000 | 2020/11/11 | 2021/05/24 | 25.00 |
| 51550 | HK Bank – PA Gooddoctor R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/11 | 2021/09/27 | 12.40 |
| 51516 | HK Bank – Sands China R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/11 | 2021/09/27 | 10.00 |
| 51549 | HK Bank – Sands China R Bear CBBC Jul 2021 B | 40,000,000 | 2020/11/11 | 2021/07/19 | 10.00 |
| 51559 | HK Bank – SHK Ppt R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/11 | 2021/08/02 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 51574 | HK Bank – SHK Ppt R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/11 | 2021/09/27 | 10.00 |
| 51569 | HK Bank – SHK Ppt R Bear CBBC Aug 2021 A | 40,000,000 | 2020/11/11 | 2021/08/02 | 10.00 |
| 51492 | HK Bank – Tencent R Bull CBBC Jul 2021 I | 100,000,000 | 2020/11/11 | 2021/07/12 | 25.00 |
| 51437 | HK Bank – WuXi Bio R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/11 | 2021/06/07 | 25.00 |
| 51923 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 A | 200,000,000 | 2020/11/11 | 2023/12/28 | 50.00 |
| 51930 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 B | 200,000,000 | 2020/11/11 | 2023/12/28 | 50.00 |
| 51971 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 C | 200,000,000 | 2020/11/11 | 2023/12/28 | 50.00 |
| 51916 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51984 | Haitong Int'l Sec – Meituan Dianping R Bear CBBC Apr 2021 C | 60,000,000 | 2020/11/11 | 2021/04/30 | 18.84 |
| 52005 | Haitong Int'l Sec – Tencent R Bull CBBC Apr 2021 E | 60,000,000 | 2020/11/11 | 2021/04/30 | 15.00 |
| 52011 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 A | 60,000,000 | 2020/11/11 | 2021/04/30 | 32.88 |
| 50610 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 Q | 200,000,000 | 2020/11/11 | 2024/01/30 | 50.00 |
| 50632 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 T | 200,000,000 | 2020/11/11 | 2024/01/30 | 50.00 |
| 50616 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 C | 200,000,000 | 2020/11/11 | 2024/02/28 | 50.00 |
| 50627 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 D | 200,000,000 | 2020/11/11 | 2024/02/28 | 50.00 |
| 50634 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 50655 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 50688 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 50690 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 50693 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/11/11 | 2021/03/30 | 50.00 |
| 50664 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2021 A | 200,000,000 | 2020/11/11 | 2021/07/09 | 50.00 |
| 52071 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 T | 80,000,000 | 2020/11/11 | 2021/06/30 | 20.00 |
| 52083 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 U | 80,000,000 | 2020/11/11 | 2021/06/30 | 20.00 |
| 52085 | SG Issuer – Alibaba Group R Bull CBBC Aug 2021 B | 80,000,000 | 2020/11/11 | 2021/08/30 | 20.00 |
| 52254 | SG Issuer – Alibaba Group R Bear CBBC May 2021 V | 80,000,000 | 2020/11/11 | 2021/05/28 | 20.00 |
| 52193 | SG Issuer – China Mobile R Bear CBBC Aug 2021 A | 100,000,000 | 2020/11/11 | 2021/08/31 | 25.00 |
| 52264 | SG Issuer – CNOOC R Bull CBBC Dec 2021 B | 40,000,000 | 2020/11/11 | 2021/12/30 | 10.00 |
| 52198 | SG Issuer – CNOOC R Bear CBBC Aug 2021 A | 40,000,000 | 2020/11/11 | 2021/08/31 | 12.40 |
| 52204 | SG Issuer – CNOOC R Bear CBBC Aug 2021 B | 40,000,000 | 2020/11/11 | 2021/08/31 | 20.40 |
| 52265 | SG Issuer – CSPC Pharma R Bull CBBC Aug 2021 D | 40,000,000 | 2020/11/11 | 2021/08/30 | 10.00 |
| 52095 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 D | 150,000,000 | 2020/11/11 | 2021/08/30 | 37.50 |
| 52096 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 E | 150,000,000 | 2020/11/11 | 2021/08/31 | 37.50 |
| 52112 | SG Issuer – HKEX R Bull CBBC Sep 2021 B | 200,000,000 | 2020/11/11 | 2021/09/29 | 50.00 |
| 52296 | SG Issuer – HSBC R Bull CBBC Dec 2021 C | 100,000,000 | 2020/11/11 | 2021/12/30 | 25.00 |
| 52270 | SG Issuer – HSBC R Bear CBBC Apr 2021 F | 100,000,000 | 2020/11/11 | 2021/04/30 | 25.00 |
| 52235 | SG Issuer – HSI R Bull CBBC Nov 2023 A | 250,000,000 | 2020/11/11 | 2023/11/29 | 62.50 |
| 52067 | SG Issuer – HSI R Bull CBBC Nov 2023 N | 250,000,000 | 2020/11/11 | 2023/11/29 | 62.50 |
| 52236 | SG Issuer – HSI R Bull CBBC Jan 2024 M | 250,000,000 | 2020/11/11 | 2024/01/30 | 62.50 |
| 52252 | SG Issuer – HSI R Bull CBBC Jan 2024 N | 250,000,000 | 2020/11/11 | 2024/01/30 | 62.50 |
| 52253 | SG Issuer – HSI R Bull CBBC Jan 2024 O | 250,000,000 | 2020/11/11 | 2024/01/30 | 62.50 |
| 52036 | SG Issuer – HSI R Bear CBBC Apr 2021 I | 250,000,000 | 2020/11/11 | 2021/04/29 | 62.50 |
| 52214 | SG Issuer – HSI R Bear CBBC Apr 2021 J | 250,000,000 | 2020/11/11 | 2021/04/29 | 62.50 |
| 52215 | SG Issuer – HSI R Bear CBBC Apr 2021 K | 250,000,000 | 2020/11/11 | 2021/04/29 | 62.50 |
| 52217 | SG Issuer – HSI R Bear CBBC Apr 2021 L | 250,000,000 | 2020/11/11 | 2021/04/29 | 62.50 |
| 52230 | SG Issuer – HSI R Bear CBBC Apr 2021 M | 250,000,000 | 2020/11/11 | 2021/04/29 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52064 | SG Issuer – HSI R Bear CBBC May 2021 A | 250,000,000 | 2020/11/11 | 2021/05/28 | 62.50 |
| 52048 | SG Issuer – HSI R Bear CBBC May 2021 V | 250,000,000 | 2020/11/11 | 2021/05/28 | 62.50 |
| 52049 | SG Issuer – HSI R Bear CBBC May 2021 W | 250,000,000 | 2020/11/11 | 2021/05/28 | 62.50 |
| 52050 | SG Issuer – HSI R Bear CBBC May 2021 X | 250,000,000 | 2020/11/11 | 2021/05/28 | 62.50 |
| 52054 | SG Issuer – HSI R Bear CBBC May 2021 Y | 250,000,000 | 2020/11/11 | 2021/05/28 | 62.50 |
| 52056 | SG Issuer – HSI R Bear CBBC May 2021 Z | 250,000,000 | 2020/11/11 | 2021/05/28 | 62.50 |
| 52066 | SG Issuer – HSI R Bear CBBC Jul 2021 A | 500,000,000 | 2020/11/11 | 2021/07/29 | 125.00 |
| 52131 | SG Issuer – Meituan Dianping R Bull CBBC Jun 2021 V | 80,000,000 | 2020/11/11 | 2021/06/30 | 20.00 |
| 52133 | SG Issuer – Meituan Dianping R Bull CBBC Aug 2021 C | 80,000,000 | 2020/11/11 | 2021/08/30 | 20.00 |
| 52298 | SG Issuer – Meituan Dianping R Bull CBBC Aug 2021 D | 200,000,000 | 2020/11/11 | 2021/08/30 | 50.00 |
| 52218 | SG Issuer – Meituan Dianping R Bear CBBC Jul 2021 D | 80,000,000 | 2020/11/11 | 2021/07/29 | 20.00 |
| 52206 | SG Issuer – PA Gooddoctor R Bull CBBC Oct 2021 A | 40,000,000 | 2020/11/11 | 2021/10/28 | 10.00 |
| 52211 | SG Issuer – Sino Biopharm R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/11 | 2021/11/29 | 10.00 |
| 52208 | SG Issuer – Sands China R Bear CBBC Jun 2021 B | 100,000,000 | 2020/11/11 | 2021/06/29 | 25.00 |
| 52210 | SG Issuer – Sands China R Bear CBBC Oct 2021 A | 100,000,000 | 2020/11/11 | 2021/10/28 | 25.00 |
| 52310 | SG Issuer – SHK Ppt R Bull CBBC Dec 2021 B | 100,000,000 | 2020/11/11 | 2021/12/30 | 25.00 |
| 52302 | SG Issuer – SMIC R Bull CBBC Jul 2021 D | 120,000,000 | 2020/11/11 | 2021/07/30 | 30.00 |
| 52303 | SG Issuer – SMIC R Bull CBBC Oct 2021 A | 120,000,000 | 2020/11/11 | 2021/10/28 | 30.00 |
| 52135 | SG Issuer – Tencent R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/11 | 2021/06/30 | 20.00 |
| 52177 | SG Issuer – Tencent R Bull CBBC Jun 2021 B | 80,000,000 | 2020/11/11 | 2021/06/30 | 20.00 |
| 52192 | SG Issuer – Tencent R Bull CBBC Aug 2021 E | 80,000,000 | 2020/11/11 | 2021/08/30 | 20.00 |
| 52219 | SG Issuer – Tencent R Bear CBBC May 2021 Z | 80,000,000 | 2020/11/11 | 2021/05/28 | 23.60 |
| 52315 | SG Issuer – Tencent R Bear CBBC Jun 2021 X | 80,000,000 | 2020/11/11 | 2021/06/30 | 48.80 |
| 52229 | SG Issuer – Tencent R Bear CBBC Jul 2021 F | 80,000,000 | 2020/11/11 | 2021/07/29 | 20.00 |
| 52316 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/11 | 2021/07/30 | 15.00 |
| 50835 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 X | 80,000,000 | 2020/11/11 | 2021/04/12 | 20.00 |
| 50859 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 I | 80,000,000 | 2020/11/11 | 2021/06/28 | 20.00 |
| 51201 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 G | 80,000,000 | 2020/11/11 | 2021/03/22 | 20.00 |
| 51202 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 H | 80,000,000 | 2020/11/11 | 2021/03/29 | 20.00 |
| 51009 | UBS AG – CNOOC R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/11 | 2021/06/28 | 10.00 |
| 51017 | UBS AG – CNOOC R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/11 | 2021/07/12 | 10.00 |
| 51029 | UBS AG – CNOOC R Bear CBBC May 2021 A | 40,000,000 | 2020/11/11 | 2021/05/03 | 10.20 |
| 51144 | UBS AG – CSPC Pharma R Bull CBBC May 2021 A | 100,000,000 | 2020/11/11 | 2021/05/03 | 25.00 |
| 51148 | UBS AG – CSPC Pharma R Bull CBBC May 2021 B | 100,000,000 | 2020/11/11 | 2021/05/10 | 25.00 |
| 51168 | UBS AG – CSPC Pharma R Bear CBBC May 2021 A | 100,000,000 | 2020/11/11 | 2021/05/07 | 25.00 |
| 51184 | UBS AG – CSPC Pharma R Bear CBBC May 2021 B | 100,000,000 | 2020/11/11 | 2021/05/14 | 25.00 |
| 50903 | UBS AG – HKEX R Bull CBBC Apr 2021 I | 50,000,000 | 2020/11/11 | 2021/04/12 | 12.50 |
| 50912 | UBS AG – HKEX R Bull CBBC Apr 2021 J | 50,000,000 | 2020/11/11 | 2021/04/21 | 12.50 |
| 50913 | UBS AG – HKEX R Bull CBBC Apr 2021 K | 200,000,000 | 2020/11/11 | 2021/04/26 | 50.00 |
| 51124 | UBS AG – HKEX R Bear CBBC Apr 2021 H | 50,000,000 | 2020/11/11 | 2021/04/12 | 12.50 |
| 51136 | UBS AG – HKEX R Bear CBBC Apr 2021 I | 50,000,000 | 2020/11/11 | 2021/04/26 | 12.50 |
| 51238 | UBS AG – HSI R Bull CBBC Oct 2023 A | 200,000,000 | 2020/11/11 | 2023/10/30 | 50.00 |
| 51239 | UBS AG – HSI R Bull CBBC Oct 2023 D | 200,000,000 | 2020/11/11 | 2023/10/30 | 50.00 |
| 51233 | UBS AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51141 | UBS AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 51234 | UBS AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51237 | UBS AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51208 | UBS AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 51232 | UBS AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/11 | 2021/02/25 | 50.00 |
| 50863 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 R | 50,000,000 | 2020/11/11 | 2021/03/29 | 12.50 |
| 50867 | UBS AG – Meituan Dianping R Bull CBBC Mar 2021 S | 50,000,000 | 2020/11/11 | 2021/03/31 | 12.50 |
| 51114 | UBS AG – Meituan Dianping R Bear CBBC Apr 2021 E | 50,000,000 | 2020/11/11 | 2021/04/12 | 12.50 |
| 51115 | UBS AG – Meituan Dianping R Bear CBBC May 2021 N | 50,000,000 | 2020/11/11 | 2021/05/10 | 12.50 |
| 51037 | UBS AG – PA GoodDoctor R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/11 | 2021/06/21 | 10.00 |
| 51043 | UBS AG – PA GoodDoctor R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/11 | 2021/06/23 | 10.00 |
| 51059 | UBS AG – PA GoodDoctor R Bull CBBC Jun 2021 C | 40,000,000 | 2020/11/11 | 2021/06/30 | 10.40 |
| 51062 | UBS AG – PA GoodDoctor R Bull CBBC Jun 2021 D | 40,000,000 | 2020/11/11 | 2021/06/28 | 14.40 |
| 51066 | UBS AG – PA Gooddoctor R Bear CBBC May 2021 B | 40,000,000 | 2020/11/11 | 2021/05/31 | 10.00 |
| 51100 | UBS AG – Sands China R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/11 | 2021/11/08 | 25.00 |
| 51098 | UBS AG – Sands China R Bull CBBC Dec 2021 A | 100,000,000 | 2020/11/11 | 2021/12/06 | 25.00 |
| 51109 | UBS AG – Sands China R Bear CBBC Oct 2021 A | 100,000,000 | 2020/11/11 | 2021/10/25 | 25.00 |
| 51101 | UBS AG – Sands China R Bear CBBC Nov 2021 A | 100,000,000 | 2020/11/11 | 2021/11/15 | 25.00 |
| 51003 | UBS AG – SHK Ppt R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/11 | 2021/04/30 | 10.00 |
| 51007 | UBS AG – SHK Ppt R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/11 | 2021/06/30 | 10.00 |
| 51008 | UBS AG – SHK Ppt R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/11 | 2021/04/26 | 10.00 |
| 50817 | UBS AG – Tencent R Bull CBBC Jun 2021 Q | 40,000,000 | 2020/11/11 | 2021/06/21 | 10.00 |
| 50819 | UBS AG – Tencent R Bull CBBC Jun 2021 R | 40,000,000 | 2020/11/11 | 2021/06/16 | 10.00 |
| 50823 | UBS AG – Tencent R Bull CBBC Jun 2021 S | 40,000,000 | 2020/11/11 | 2021/06/23 | 10.00 |
| 50833 | UBS AG – Tencent R Bull CBBC Jun 2021 T | 40,000,000 | 2020/11/11 | 2021/06/25 | 10.00 |
| 51112 | UBS AG – Tencent R Bear CBBC Mar 2021 U | 40,000,000 | 2020/11/11 | 2021/03/10 | 10.00 |
| 51113 | UBS AG – Tencent R Bear CBBC Mar 2021 Z | 40,000,000 | 2020/11/11 | 2021/03/16 | 10.00 |
| 51191 | UBS AG – Xiaomi R Bull CBBC Apr 2021 W | 60,000,000 | 2020/11/11 | 2021/04/19 | 15.00 |
| 51194 | UBS AG – Xiaomi R Bull CBBC Jun 2021 T | 200,000,000 | 2020/11/11 | 2021/06/30 | 50.00 |
| 50900 | UBS AG – Xiaomi R Bear CBBC Mar 2021 P | 60,000,000 | 2020/11/11 | 2021/03/22 | 15.00 |
| 50970 | UBS AG – Xiaomi R Bear CBBC Apr 2021 S | 60,000,000 | 2020/11/11 | 2021/04/08 | 17.10 |
| 50980 | UBS AG – Xiaomi R Bear CBBC Apr 2021 T | 200,000,000 | 2020/11/11 | 2021/04/19 | 50.00 |
| 50749 | Bank Vontobel – AIA R Bull CBBC Nov 2021 A | 80,000,000 | 2020/11/11 | 2021/11/26 | 20.00 |
| 50754 | Bank Vontobel – China Mobile R Bull CBBC Nov 2021 C | 60,000,000 | 2020/11/11 | 2021/11/19 | 15.00 |
| 50756 | Bank Vontobel – CC Bank R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/11 | 2021/11/19 | 10.00 |
| 50758 | Bank Vontobel – Geely Auto R Bear CBBC Apr 2021 B | 40,000,000 | 2020/11/11 | 2021/04/23 | 10.00 |
| 50765 | Bank Vontobel – HSBC R Bull CBBC Nov 2021 A | 60,000,000 | 2020/11/11 | 2021/11/05 | 15.00 |
| 50810 | Bank Vontobel – HSCEI R Bull CBBC Dec 2022 C | 80,000,000 | 2020/11/11 | 2022/12/29 | 20.00 |
| 50795 | Bank Vontobel – HSCEI R Bear CBBC Jun 2021 A | 80,000,000 | 2020/11/11 | 2021/06/29 | 20.00 |
| 50730 | Bank Vontobel – HSI R Bull CBBC Dec 2023 A | 80,000,000 | 2020/11/11 | 2023/12/28 | 20.00 |
| 50748 | Bank Vontobel – HSI R Bull CBBC Dec 2023 D | 80,000,000 | 2020/11/11 | 2023/12/28 | 20.00 |
| 50729 | Bank Vontobel – HSI R Bull CBBC Dec 2023 G | 80,000,000 | 2020/11/11 | 2023/12/28 | 20.00 |
| 50708 | Bank Vontobel – HSI R Bear CBBC Feb 2021 N | 80,000,000 | 2020/11/11 | 2021/02/25 | 20.00 |
| 50719 | Bank Vontobel – HSI R Bear CBBC Feb 2021 O | 80,000,000 | 2020/11/11 | 2021/02/25 | 20.00 |
| 50720 | Bank Vontobel – HSI R Bear CBBC Feb 2021 Q | 80,000,000 | 2020/11/11 | 2021/02/25 | 20.00 |
| 50811 | Bank Vontobel – Meituan Dianping R Bull CBBC Nov 2021 E | 60,000,000 | 2020/11/11 | 2021/11/26 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 50814 | Bank Vontobel – Meituan Dianping R Bull CBBC Nov 2021 F | 200,000,000 | 2020/11/11 | 2021/11/26 | 50.00 |
| 50774 | Bank Vontobel – PA Gooddoctor R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/11 | 2021/11/19 | 10.00 |
| 50780 | Bank Vontobel – PA Gooddoctor R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/11 | 2021/11/26 | 10.00 |
| 50788 | Bank Vontobel – PA Gooddoctor R Bull CBBC Nov 2021 C | 40,000,000 | 2020/11/11 | 2021/11/19 | 10.00 |
| 50789 | Bank Vontobel – PA Gooddoctor R Bull CBBC Nov 2021 D | 40,000,000 | 2020/11/11 | 2021/11/26 | 10.80 |
| 50816 | Bank Vontobel – SMIC R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/11 | 2021/11/19 | 10.00 |
| 50815 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 F | 40,000,000 | 2020/11/11 | 2021/11/19 | 10.00 |
| 52656 | BOCI Asia Ltd. – HKEX R Bull CBBC Feb 2021 H | 40,000,000 | 2020/11/12 | 2021/02/26 | 10.00 |
| 52629 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 G | 150,000,000 | 2020/11/12 | 2023/02/27 | 37.50 |
| 52622 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 Y | 150,000,000 | 2020/11/12 | 2023/02/27 | 37.50 |
| 52631 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 M | 150,000,000 | 2020/11/12 | 2021/02/25 | 37.50 |
| 52636 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 N | 150,000,000 | 2020/11/12 | 2021/02/25 | 37.50 |
| 52670 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/12 | 2021/09/30 | 10.00 |
| 52671 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 B | 40,000,000 | 2020/11/12 | 2021/09/30 | 16.80 |
| 52669 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 H | 40,000,000 | 2020/11/12 | 2021/02/26 | 10.00 |
| 52673 | BOCI Asia Ltd. – NetEase R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/12 | 2021/09/30 | 10.00 |
| 52668 | BOCI Asia Ltd. – Sunny Optical R Bull CBBC Feb 2021 B | 40,000,000 | 2020/11/12 | 2021/02/26 | 10.00 |
| 52658 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 Q | 120,000,000 | 2020/11/12 | 2021/02/26 | 30.00 |
| 52662 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 H | 40,000,000 | 2020/11/12 | 2021/02/26 | 10.00 |
| 52583 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/11/12 | 2023/09/28 | 50.00 |
| 52600 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 E | 200,000,000 | 2020/11/12 | 2023/09/28 | 50.00 |
| 52601 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 F | 200,000,000 | 2020/11/12 | 2023/09/28 | 50.00 |
| 52602 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 G | 200,000,000 | 2020/11/12 | 2023/09/28 | 50.00 |
| 52620 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52621 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52818 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52556 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52559 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52563 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52615 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52612 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52564 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 A | 200,000,000 | 2020/11/12 | 2021/09/29 | 50.00 |
| 52566 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 B | 200,000,000 | 2020/11/12 | 2021/09/29 | 50.00 |
| 52567 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 C | 200,000,000 | 2020/11/12 | 2021/09/29 | 50.00 |
| 52570 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 A | 200,000,000 | 2020/11/12 | 2021/12/30 | 50.00 |
| 52582 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 B | 200,000,000 | 2020/11/12 | 2021/12/30 | 50.00 |
| 52812 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 O | 250,000,000 | 2020/11/12 | 2021/12/30 | 62.50 |
| 52808 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 V | 250,000,000 | 2020/11/12 | 2021/11/29 | 62.50 |
| 52810 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 F | 250,000,000 | 2020/11/12 | 2021/11/29 | 62.50 |
| 53267 | Credit Suisse AG – AAC Tech R Bull CBBC Sep 2021 A | 80,000,000 | 2020/11/12 | 2021/09/29 | 20.00 |
| 53269 | Credit Suisse AG – AAC Tech R Bear CBBC Apr 2021 B | 80,000,000 | 2020/11/12 | 2021/04/29 | 20.00 |
| 53326 | Credit Suisse AG – AIA R Bull CBBC Jul 2021 B | 70,000,000 | 2020/11/12 | 2021/07/29 | 17.50 |
| 53332 | Credit Suisse AG – AIA R Bear CBBC Apr 2021 B | 70,000,000 | 2020/11/12 | 2021/04/29 | 17.50 |
| 53305 | Credit Suisse AG – BYD Company R Bull CBBC Apr 2021 A | 50,000,000 | 2020/11/12 | 2021/04/29 | 12.50 |
| 53314 | Credit Suisse AG – BYD Company R Bear CBBC Apr 2021 A | 50,000,000 | 2020/11/12 | 2021/04/29 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53299 | Credit Suisse AG – CC Bank R Bull CBBC Jul 2021 I | 100,000,000 | 2020/11/12 | 2021/07/29 | 25.00 |
| 53257 | Credit Suisse AG – Galaxy Ent R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/12 | 2021/06/29 | 20.00 |
| 53245 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 S | 200,000,000 | 2020/11/12 | 2023/11/29 | 50.00 |
| 53246 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/11/12 | 2023/11/29 | 50.00 |
| 53247 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 C | 200,000,000 | 2020/11/12 | 2023/12/28 | 50.00 |
| 53251 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 D | 200,000,000 | 2020/11/12 | 2023/12/28 | 50.00 |
| 53248 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 E | 200,000,000 | 2020/11/12 | 2023/12/28 | 50.00 |
| 53174 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53178 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53198 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53203 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53216 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53235 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 53237 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 53241 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/11/12 | 2021/04/29 | 50.00 |
| 53242 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 F | 200,000,000 | 2020/11/12 | 2021/04/29 | 50.00 |
| 53243 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 G | 200,000,000 | 2020/11/12 | 2021/04/29 | 54.00 |
| 53316 | Credit Suisse AG – JD.com R Bull CBBC Mar 2021 A | 50,000,000 | 2020/11/12 | 2021/03/30 | 12.50 |
| 53323 | Credit Suisse AG – JD.com R Bear CBBC Apr 2021 A | 50,000,000 | 2020/11/12 | 2021/04/29 | 12.50 |
| 53283 | Credit Suisse AG – Meituan R Bull CBBC Mar 2021 D | 50,000,000 | 2020/11/12 | 2021/03/30 | 12.50 |
| 53266 | Credit Suisse AG – SMIC R Bull CBBC Apr 2021 H | 50,000,000 | 2020/11/12 | 2021/04/29 | 12.50 |
| 53270 | Credit Suisse AG – Sunny Optical R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/12 | 2021/07/29 | 10.00 |
| 53282 | Credit Suisse AG – Sunny Optical R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/12 | 2021/09/29 | 25.00 |
| 53259 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 G | 50,000,000 | 2020/11/12 | 2021/03/30 | 12.50 |
| 53263 | Credit Suisse AG – Tencent R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/12 | 2021/07/29 | 25.00 |
| 53857 | Guotai Junan – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53858 | Guotai Junan – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53859 | Guotai Junan – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 53841 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 Y | 80,000,000 | 2020/11/12 | 2021/07/30 | 20.00 |
| 53834 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 T | 100,000,000 | 2020/11/12 | 2021/07/30 | 25.00 |
| 53796 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 B | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53801 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 C | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53760 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 D | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53802 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 G | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53803 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 J | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53804 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 N | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53766 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 O | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53800 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 S | 160,000,000 | 2020/11/12 | 2023/10/30 | 40.00 |
| 53790 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 V | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 53750 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 L | 160,000,000 | 2020/11/12 | 2021/02/25 | 40.00 |
| 53772 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 N | 160,000,000 | 2020/11/12 | 2021/02/25 | 40.00 |
| 53779 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 T | 160,000,000 | 2020/11/12 | 2021/02/25 | 40.00 |
| 53751 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 O | 160,000,000 | 2020/11/12 | 2021/04/29 | 40.00 |
| 53805 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 P | 200,000,000 | 2020/11/12 | 2021/04/29 | 50.00 |
| 53807 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 S | 200,000,000 | 2020/11/12 | 2021/04/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53810 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 T | 200,000,000 | 2020/11/12 | 2021/04/29 | 50.00 |
| 53812 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 U | 200,000,000 | 2020/11/12 | 2021/04/29 | 50.00 |
| 53753 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 X | 160,000,000 | 2020/11/12 | 2021/04/29 | 40.00 |
| 53782 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Y | 160,000,000 | 2020/11/12 | 2021/04/29 | 40.00 |
| 53785 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Z | 160,000,000 | 2020/11/12 | 2021/04/29 | 40.00 |
| 53854 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/12 | 2021/07/30 | 25.00 |
| 53852 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/12 | 2021/08/25 | 25.00 |
| 53828 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 K | 60,000,000 | 2020/11/12 | 2021/08/25 | 15.00 |
| 53830 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 L | 100,000,000 | 2020/11/12 | 2021/08/25 | 25.00 |
| 53849 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Mar 2021 A | 80,000,000 | 2020/11/12 | 2021/03/31 | 20.00 |
| 53851 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Mar 2021 B | 80,000,000 | 2020/11/12 | 2021/03/31 | 20.00 |
| 53814 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 S | 60,000,000 | 2020/11/12 | 2021/08/30 | 15.00 |
| 53835 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 T | 100,000,000 | 2020/11/12 | 2021/08/25 | 25.00 |
| 53821 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 M | 100,000,000 | 2020/11/12 | 2021/08/30 | 25.00 |
| 53846 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 I | 60,000,000 | 2020/11/12 | 2021/08/30 | 15.00 |
| 52545 | HK Bank – AAC Tech R Bull CBBC Jul 2021 B | 40,000,000 | 2020/11/12 | 2021/07/26 | 10.00 |
| 52440 | HK Bank – AIA R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/12 | 2021/07/19 | 15.00 |
| 52499 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/12 | 2021/07/19 | 25.00 |
| 52542 | HK Bank – BYD Company R Bull CBBC Jul 2021 A | 60,000,000 | 2020/11/12 | 2021/07/26 | 15.00 |
| 52498 | HK Bank – HKEX R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/12 | 2021/07/12 | 25.00 |
| 52535 | HK Bank – HSI R Bull CBBC Sep 2023 A | 80,000,000 | 2020/11/12 | 2023/09/28 | 20.00 |
| 52551 | HK Bank – HSI R Bull CBBC Sep 2023 B | 120,000,000 | 2020/11/12 | 2023/09/28 | 30.00 |
| 52416 | HK Bank – HSI R Bear CBBC Mar 2021 R | 80,000,000 | 2020/11/12 | 2021/03/30 | 20.00 |
| 52457 | HK Bank – HSI R Bear CBBC Mar 2021 S | 120,000,000 | 2020/11/12 | 2021/03/30 | 30.00 |
| 52483 | HK Bank – HSI R Bear CBBC Jul 2021 G | 120,000,000 | 2020/11/12 | 2021/07/29 | 30.00 |
| 52512 | HK Bank – JD.com R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/12 | 2021/07/26 | 25.00 |
| 52547 | HK Bank – JD.com R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/12 | 2021/07/05 | 25.00 |
| 52495 | HK Bank – Meituan R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/12 | 2021/07/12 | 25.00 |
| 52496 | HK Bank – Meituan R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/12 | 2021/07/05 | 25.00 |
| 52514 | HK Bank – NetEase R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/12 | 2021/07/26 | 10.00 |
| 52516 | HK Bank – NetEase R Bull CBBC Jul 2021 B | 40,000,000 | 2020/11/12 | 2021/07/05 | 10.00 |
| 52436 | HK Bank – Ping An R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/12 | 2021/07/05 | 15.00 |
| 52427 | HK Bank – SMIC R Bull CBBC Jul 2021 E | 40,000,000 | 2020/11/12 | 2021/07/05 | 10.00 |
| 52511 | HK Bank – Sunny Optical R Bull CBBC Jul 2021 C | 40,000,000 | 2020/11/12 | 2021/07/19 | 10.00 |
| 52504 | HK Bank – Tencent R Bull CBBC Jul 2021 J | 100,000,000 | 2020/11/12 | 2021/07/12 | 25.00 |
| 52409 | HK Bank – Tencent R Bear CBBC Jul 2021 D | 100,000,000 | 2020/11/12 | 2021/07/26 | 25.00 |
| 52508 | HK Bank – Tencent R Bear CBBC Jul 2021 E | 100,000,000 | 2020/11/12 | 2021/07/26 | 25.00 |
| 52488 | HK Bank – Xiaomi R Bull CBBC Jul 2021 B | 80,000,000 | 2020/11/12 | 2021/07/12 | 20.00 |
| 52803 | Haitong Int'l Sec – Geely Auto R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/12 | 2021/07/28 | 25.00 |
| 52797 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 T | 200,000,000 | 2020/11/12 | 2023/09/28 | 50.00 |
| 52798 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 52787 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 52790 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52799 | Haitong Int'l Sec – Xiaomi R Bull CBBC May 2021 D | 100,000,000 | 2020/11/12 | 2021/05/25 | 25.00 |
| 52698 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 Q | 200,000,000 | 2020/11/12 | 2023/11/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 52700 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 S | 200,000,000 | 2020/11/12 | 2023/11/29 | 50.00 |
| 52676 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 E | 200,000,000 | 2020/11/12 | 2024/02/28 | 50.00 |
| 52714 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 F | 200,000,000 | 2020/11/12 | 2024/02/28 | 50.00 |
| 52717 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 G | 200,000,000 | 2020/11/12 | 2024/02/28 | 50.00 |
| 52721 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 H | 200,000,000 | 2020/11/12 | 2024/02/28 | 50.00 |
| 52723 | J P Morgan SP BV – HSI R Bull CBBC Mar 2024 A | 200,000,000 | 2020/11/12 | 2024/03/27 | 50.00 |
| 52681 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 52702 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52703 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52713 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52686 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52690 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52699 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52701 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/11/12 | 2021/03/30 | 50.00 |
| 52774 | J P Morgan SP BV – JD.com R Bull CBBC Jun 2021 A | 50,000,000 | 2020/11/12 | 2021/06/18 | 12.50 |
| 52753 | J P Morgan SP BV – JD.com R Bull CBBC Jul 2021 A | 200,000,000 | 2020/11/12 | 2021/07/16 | 50.00 |
| 52744 | J P Morgan SP BV – JD.com R Bull CBBC Aug 2021 A | 200,000,000 | 2020/11/12 | 2021/08/20 | 50.00 |
| 52729 | J P Morgan SP BV – JD.com R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/12 | 2021/06/18 | 50.00 |
| 52755 | J P Morgan SP BV – Meituan R Bull CBBC Jul 2021 A | 200,000,000 | 2020/11/12 | 2021/07/16 | 50.00 |
| 52762 | J P Morgan SP BV – NetEase R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/12 | 2021/06/18 | 20.00 |
| 52780 | J P Morgan SP BV – NetEase R Bull CBBC Nov 2021 A | 200,000,000 | 2020/11/12 | 2021/11/19 | 50.00 |
| 52739 | J P Morgan SP BV – Tencent R Bull CBBC Jun 2021 E | 200,000,000 | 2020/11/12 | 2021/06/18 | 50.00 |
| 52763 | J P Morgan SP BV – Xiaomi R Bull CBBC Jul 2021 A | 200,000,000 | 2020/11/12 | 2021/07/16 | 50.00 |
| 53162 | Morgan Stanley Asia Products – Alibaba R Bull CBBC Jun 2021D | 40,000,000 | 2020/11/12 | 2021/06/01 | 10.00 |
| 53161 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Jun 2021E | 40,000,000 | 2020/11/12 | 2021/06/01 | 10.00 |
| 53160 | Morgan Stanley Asia Products – Ch Tower R Bull CBBC Oct21 A | 40,000,000 | 2020/11/12 | 2021/10/04 | 10.00 |
| 53121 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 P | 100,000,000 | 2020/11/12 | 2023/09/28 | 25.00 |
| 53123 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 B | 100,000,000 | 2020/11/12 | 2023/10/30 | 25.00 |
| 53120 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 Y | 100,000,000 | 2020/11/12 | 2021/02/25 | 25.00 |
| 53111 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 I | 100,000,000 | 2020/11/12 | 2021/03/30 | 25.00 |
| 53105 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 B | 100,000,000 | 2020/11/12 | 2021/04/29 | 25.00 |
| 53167 | Morgan Stanley Asia Products – SMIC R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/12 | 2021/07/02 | 10.00 |
| 53164 | Morgan Stanley Asia Products – SMIC R Bull CBBC Aug 2021 A | 40,000,000 | 2020/11/12 | 2021/08/02 | 10.00 |
| 53141 | Morgan Stanley Asia Products – Tencent R Bear CBBC Aug 2021A | 40,000,000 | 2020/11/12 | 2021/08/02 | 10.00 |
| 53132 | Morgan Stanley Asia Products – Tencent R Bear CBBC Sep 2021A | 40,000,000 | 2020/11/12 | 2021/09/01 | 14.44 |
| 53128 | Morgan Stanley Asia Products – Tencent R Bear CBBC Nov 2021B | 40,000,000 | 2020/11/12 | 2021/11/01 | 18.32 |
| 53592 | SG Issuer – AAC Tech R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/12 | 2021/08/30 | 25.00 |
| 53590 | SG Issuer – AAC Tech R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/12 | 2021/07/30 | 25.00 |
| 53664 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 V | 80,000,000 | 2020/11/12 | 2021/06/30 | 20.00 |
| 53723 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 G | 80,000,000 | 2020/11/12 | 2021/07/30 | 20.00 |
| 53432 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 H | 80,000,000 | 2020/11/12 | 2021/06/29 | 20.00 |
| 53747 | SG Issuer – CAM CSI300 R Bull CBBC Dec 2021 A | 100,000,000 | 2020/11/12 | 2021/12/31 | 25.00 |
| 53744 | SG Issuer – CAM CSI300 R Bear CBBC Apr 2021 C | 100,000,000 | 2020/11/12 | 2021/04/30 | 25.00 |
| 53603 | SG Issuer – BYD Company R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/12 | 2021/06/30 | 10.00 |
| 53605 | SG Issuer – BYD Company R Bull CBBC Aug 2021 A | 120,000,000 | 2020/11/12 | 2021/08/30 | 30.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53613 | SG Issuer – BYD Company R Bull CBBC Sep 2021 A | 120,000,000 | 2020/11/12 | 2021/09/29 | 30.00 |
| 53435 | SG Issuer – CC Bank R Bull CBBC Sep 2021 C | 100,000,000 | 2020/11/12 | 2021/09/29 | 25.00 |
| 53725 | SG Issuer – China Life R Bull CBBC Jul 2021 G | 40,000,000 | 2020/11/12 | 2021/07/30 | 10.00 |
| 53437 | SG Issuer – Galaxy Ent R Bear CBBC Jun 2021 C | 100,000,000 | 2020/11/12 | 2021/06/30 | 25.00 |
| 53665 | SG Issuer – HKEX R Bull CBBC Sep 2021 C | 50,000,000 | 2020/11/12 | 2021/09/30 | 12.50 |
| 53440 | SG Issuer – HKEX R Bear CBBC Jun 2021 B | 200,000,000 | 2020/11/12 | 2021/06/30 | 50.00 |
| 53714 | SG Issuer – HSI R Bull CBBC Oct 2023 S | 250,000,000 | 2020/11/12 | 2023/10/30 | 62.50 |
| 53718 | SG Issuer – HSI R Bull CBBC Oct 2023 U | 250,000,000 | 2020/11/12 | 2023/10/30 | 62.50 |
| 53580 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/11/12 | 2023/11/29 | 62.50 |
| 53589 | SG Issuer – HSI R Bull CBBC Dec 2023 C | 250,000,000 | 2020/11/12 | 2023/12/28 | 62.50 |
| 53588 | SG Issuer – HSI R Bull CBBC Dec 2023 X | 250,000,000 | 2020/11/12 | 2023/12/28 | 62.50 |
| 53514 | SG Issuer – HSI R Bear CBBC Mar 2021 A | 250,000,000 | 2020/11/12 | 2021/03/30 | 62.50 |
| 53521 | SG Issuer – HSI R Bear CBBC Apr 2021 N | 250,000,000 | 2020/11/12 | 2021/04/29 | 62.50 |
| 53530 | SG Issuer – HSI R Bear CBBC Apr 2021 O | 250,000,000 | 2020/11/12 | 2021/04/29 | 62.50 |
| 53531 | SG Issuer – HSI R Bear CBBC Apr 2021 R | 250,000,000 | 2020/11/12 | 2021/04/29 | 62.50 |
| 53524 | SG Issuer – HSI R Bear CBBC May 2021 B | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53528 | SG Issuer – HSI R Bear CBBC May 2021 D | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53532 | SG Issuer – HSI R Bear CBBC May 2021 E | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53550 | SG Issuer – HSI R Bear CBBC May 2021 F | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53565 | SG Issuer – HSI R Bear CBBC May 2021 G | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53573 | SG Issuer – HSI R Bear CBBC May 2021 J | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53577 | SG Issuer – HSI R Bear CBBC May 2021 K | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53579 | SG Issuer – HSI R Bear CBBC May 2021 L | 250,000,000 | 2020/11/12 | 2021/05/28 | 62.50 |
| 53441 | SG Issuer – ICBC R Bull CBBC Sep 2021 C | 100,000,000 | 2020/11/12 | 2021/09/29 | 25.00 |
| 53616 | SG Issuer – JD.com R Bull CBBC Mar 2021 A | 40,000,000 | 2020/11/12 | 2021/03/31 | 10.00 |
| 53634 | SG Issuer – JD.com R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/12 | 2021/04/30 | 17.00 |
| 53637 | SG Issuer – JD.com R Bull CBBC Apr 2021 B | 40,000,000 | 2020/11/12 | 2021/04/30 | 10.00 |
| 53647 | SG Issuer – JD.com R Bull CBBC Jun 2021 A | 120,000,000 | 2020/11/12 | 2021/06/30 | 30.00 |
| 53651 | SG Issuer – JD.com R Bull CBBC Jun 2021 B | 120,000,000 | 2020/11/12 | 2021/06/30 | 30.00 |
| 53638 | SG Issuer – JD.com R Bear CBBC Jun 2021 A | 120,000,000 | 2020/11/12 | 2021/06/29 | 30.00 |
| 53671 | SG Issuer – Meituan R Bull CBBC Jun 2021 W | 80,000,000 | 2020/11/12 | 2021/06/30 | 20.00 |
| 53673 | SG Issuer – Meituan R Bull CBBC Aug 2021 E | 80,000,000 | 2020/11/12 | 2021/08/30 | 20.00 |
| 53447 | SG Issuer – Meituan R Bear CBBC Apr 2021 J | 80,000,000 | 2020/11/12 | 2021/04/30 | 34.00 |
| 53450 | SG Issuer – Meituan R Bear CBBC May 2021 D | 80,000,000 | 2020/11/12 | 2021/05/28 | 20.00 |
| 53452 | SG Issuer – Meituan R Bear CBBC Aug 2021 B | 200,000,000 | 2020/11/12 | 2021/08/31 | 50.00 |
| 53731 | SG Issuer – NetEase R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/12 | 2021/04/30 | 10.00 |
| 53733 | SG Issuer – NetEase R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/12 | 2021/06/30 | 10.00 |
| 53688 | SG Issuer – SMIC R Bull CBBC Jun 2021 I | 120,000,000 | 2020/11/12 | 2021/06/30 | 30.00 |
| 53453 | SG Issuer – SMIC R Bear CBBC Jul 2021 B | 120,000,000 | 2020/11/12 | 2021/07/29 | 30.00 |
| 53734 | SG Issuer – Sunny Optical R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/12 | 2021/06/30 | 15.00 |
| 53470 | SG Issuer – Sunny Optical R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/12 | 2021/04/30 | 16.50 |
| 53485 | SG Issuer – Sunny Optical R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/12 | 2021/04/30 | 50.00 |
| 53691 | SG Issuer – Tencent R Bull CBBC Jun 2021 D | 80,000,000 | 2020/11/12 | 2021/06/30 | 20.00 |
| 53703 | SG Issuer – Tencent R Bull CBBC Aug 2021 F | 80,000,000 | 2020/11/12 | 2021/08/30 | 20.00 |
| 53739 | SG Issuer – Tencent R Bull CBBC Aug 2021 G | 200,000,000 | 2020/11/12 | 2021/08/31 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53493 | SG Issuer – Tencent R Bear CBBC May 2021 E | 80,000,000 | 2020/11/12 | 2021/05/28 | 44.80 |
| 53500 | SG Issuer – Tencent R Bear CBBC Jun 2021 Y | 80,000,000 | 2020/11/12 | 2021/06/29 | 20.00 |
| 53704 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 G | 60,000,000 | 2020/11/12 | 2021/06/30 | 15.00 |
| 53513 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 H | 200,000,000 | 2020/11/12 | 2021/06/29 | 50.00 |
| 53033 | UBS AG – AAC Tech R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/12 | 2021/06/28 | 15.00 |
| 53035 | UBS AG – AAC Tech R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/12 | 2021/06/21 | 15.00 |
| 53036 | UBS AG – AAC Tech R Bear CBBC Jun 2021 A | 60,000,000 | 2020/11/12 | 2021/06/07 | 15.00 |
| 52886 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 X | 60,000,000 | 2020/11/12 | 2021/03/22 | 15.00 |
| 52825 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 I | 80,000,000 | 2020/11/12 | 2021/03/17 | 20.00 |
| 52827 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 F | 200,000,000 | 2020/11/12 | 2021/06/17 | 50.00 |
| 52887 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 G | 60,000,000 | 2020/11/12 | 2021/06/17 | 18.30 |
| 52893 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 H | 60,000,000 | 2020/11/12 | 2021/06/21 | 27.30 |
| 53037 | UBS AG – CAM CSI300 R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/12 | 2021/06/21 | 25.00 |
| 53038 | UBS AG – CAM CSI300 R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/12 | 2021/06/28 | 25.00 |
| 53056 | UBS AG – CAM CSI300 R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/12 | 2021/06/07 | 25.00 |
| 53019 | UBS AG – BYD Company R Bull CBBC Jul 2021 A | 60,000,000 | 2020/11/12 | 2021/07/12 | 15.00 |
| 53020 | UBS AG – BYD Company R Bull CBBC Jul 2021 B | 60,000,000 | 2020/11/12 | 2021/07/26 | 15.00 |
| 53026 | UBS AG – BYD Company R Bear CBBC May 2021 A | 60,000,000 | 2020/11/12 | 2021/05/17 | 15.00 |
| 52957 | UBS AG – China Tower R Bull CBBC Dec 2021 G | 60,000,000 | 2020/11/12 | 2021/12/30 | 15.00 |
| 52975 | UBS AG – China Tower R Bull CBBC Dec 2021 H | 60,000,000 | 2020/11/12 | 2021/12/30 | 15.00 |
| 52951 | UBS AG – Galaxy Ent R Bull CBBC May 2021 F | 50,000,000 | 2020/11/12 | 2021/05/24 | 12.50 |
| 52952 | UBS AG – Galaxy Ent R Bull CBBC Jun 2021 B | 50,000,000 | 2020/11/12 | 2021/06/30 | 12.50 |
| 52947 | UBS AG – HSI R Bull CBBC Oct 2023 B | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 52942 | UBS AG – HSI R Bull CBBC Oct 2023 E | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 52944 | UBS AG – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 52945 | UBS AG – HSI R Bull CBBC Oct 2023 R | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 52946 | UBS AG – HSI R Bull CBBC Oct 2023 V | 200,000,000 | 2020/11/12 | 2023/10/30 | 50.00 |
| 52834 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 52839 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 52846 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 52833 | UBS AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/12 | 2021/02/25 | 50.00 |
| 52924 | UBS AG – JD.com R Bull CBBC Apr 2021 A | 80,000,000 | 2020/11/12 | 2021/04/12 | 20.00 |
| 52925 | UBS AG – JD.com R Bull CBBC Apr 2021 B | 80,000,000 | 2020/11/12 | 2021/04/15 | 20.00 |
| 52926 | UBS AG – JD.com R Bull CBBC Apr 2021 C | 80,000,000 | 2020/11/12 | 2021/04/19 | 20.00 |
| 52927 | UBS AG – JD.com R Bull CBBC Apr 2021 D | 80,000,000 | 2020/11/12 | 2021/04/22 | 20.00 |
| 52929 | UBS AG – JD.com R Bull CBBC Apr 2021 E | 80,000,000 | 2020/11/12 | 2021/04/29 | 20.00 |
| 52932 | UBS AG – JD.com R Bear CBBC Apr 2021 A | 80,000,000 | 2020/11/12 | 2021/04/28 | 20.00 |
| 52937 | UBS AG – JD.com R Bear CBBC Apr 2021 B | 80,000,000 | 2020/11/12 | 2021/04/30 | 20.00 |
| 52894 | UBS AG – Meituan R Bull CBBC May 2021 O | 50,000,000 | 2020/11/12 | 2021/05/27 | 12.50 |
| 52912 | UBS AG – Meituan R Bull CBBC May 2021 P | 150,000,000 | 2020/11/12 | 2021/05/28 | 37.50 |
| 52922 | UBS AG – Meituan R Bull CBBC May 2021 Q | 150,000,000 | 2020/11/12 | 2021/05/31 | 37.50 |
| 53089 | UBS AG – Meituan R Bear CBBC Jun 2021 F | 150,000,000 | 2020/11/12 | 2021/06/29 | 37.50 |
| 53091 | UBS AG – Meituan R Bear CBBC Jun 2021 G | 150,000,000 | 2020/11/12 | 2021/06/29 | 37.50 |
| 52983 | UBS AG – NetEase R Bull CBBC Apr 2021 A | 80,000,000 | 2020/11/12 | 2021/04/15 | 20.00 |
| 52988 | UBS AG – NetEase R Bull CBBC Apr 2021 B | 80,000,000 | 2020/11/12 | 2021/04/19 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52991 | UBS AG – NetEase R Bull CBBC Apr 2021 C | 80,000,000 | 2020/11/12 | 2021/04/22 | 20.00 |
| 53000 | UBS AG – NetEase R Bull CBBC Apr 2021 D | 80,000,000 | 2020/11/12 | 2021/04/29 | 20.00 |
| 52982 | UBS AG – NetEase R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/12 | 2021/06/30 | 20.00 |
| 53003 | UBS AG – NetEase R Bear CBBC Apr 2021 A | 80,000,000 | 2020/11/12 | 2021/04/28 | 20.00 |
| 53016 | UBS AG – NetEase R Bear CBBC Apr 2021 B | 80,000,000 | 2020/11/12 | 2021/04/30 | 20.00 |
| 52879 | UBS AG – Tencent R Bull CBBC Jun 2021 U | 40,000,000 | 2020/11/12 | 2021/06/16 | 10.00 |
| 52883 | UBS AG – Tencent R Bull CBBC Jun 2021 V | 200,000,000 | 2020/11/12 | 2021/06/23 | 50.00 |
| 52884 | UBS AG – Tencent R Bull CBBC Jun 2021 W | 200,000,000 | 2020/11/12 | 2021/06/28 | 50.00 |
| 52885 | UBS AG – Tencent R Bull CBBC Jun 2021 X | 40,000,000 | 2020/11/12 | 2021/06/23 | 10.00 |
| 52823 | UBS AG – Tencent R Bear CBBC Mar 2021 A | 40,000,000 | 2020/11/12 | 2021/03/29 | 10.00 |
| 52824 | UBS AG – Tencent R Bear CBBC Jun 2021 G | 200,000,000 | 2020/11/12 | 2021/06/29 | 50.00 |
| 52849 | UBS AG – Tencent R Bear CBBC Jun 2021 H | 200,000,000 | 2020/11/12 | 2021/06/29 | 50.00 |
| 52853 | UBS AG – Tencent R Bear CBBC Jun 2021 I | 200,000,000 | 2020/11/12 | 2021/06/23 | 50.00 |
| 52857 | UBS AG – Tencent R Bear CBBC Jun 2021 J | 200,000,000 | 2020/11/12 | 2021/06/29 | 50.00 |
| 52871 | UBS AG – Tencent R Bear CBBC Jun 2021 K | 200,000,000 | 2020/11/12 | 2021/06/29 | 50.00 |
| 53068 | UBS AG – Tencent R Bear CBBC Jun 2021 L | 40,000,000 | 2020/11/12 | 2021/06/29 | 14.20 |
| 53073 | UBS AG – Tencent R Bear CBBC Jun 2021 M | 40,000,000 | 2020/11/12 | 2021/06/30 | 18.20 |
| 52866 | UBS AG – Tencent R Bear CBBC Jul 2021 A | 200,000,000 | 2020/11/12 | 2021/07/29 | 50.00 |
| 52875 | UBS AG – Tencent R Bear CBBC Jul 2021 B | 200,000,000 | 2020/11/12 | 2021/07/29 | 50.00 |
| 52876 | UBS AG – Tencent R Bear CBBC Aug 2021 A | 200,000,000 | 2020/11/12 | 2021/08/31 | 53.00 |
| 53098 | UBS AG – Xiaomi R Bull CBBC Jun 2021 U | 60,000,000 | 2020/11/12 | 2021/06/21 | 15.00 |
| 53099 | UBS AG – Xiaomi R Bull CBBC Jun 2021 V | 60,000,000 | 2020/11/12 | 2021/06/28 | 15.00 |
| 52832 | UBS AG – Xiaomi R Bear CBBC Apr 2021 U | 60,000,000 | 2020/11/12 | 2021/04/12 | 15.00 |
| 53402 | Bank Vontobel – AAC Tech R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/12 | 2021/11/26 | 10.00 |
| 53395 | Bank Vontobel – AAC Tech R Bear CBBC May 2021 A | 40,000,000 | 2020/11/12 | 2021/05/14 | 10.00 |
| 53401 | Bank Vontobel – AAC Tech R Bear CBBC May 2021 B | 40,000,000 | 2020/11/12 | 2021/05/07 | 10.00 |
| 53431 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 A | 60,000,000 | 2020/11/12 | 2021/11/19 | 15.00 |
| 53368 | Bank Vontobel – Galaxy Ent R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/12 | 2021/11/19 | 10.00 |
| 53365 | Bank Vontobel – HSI R Bull CBBC Dec 2023 F | 80,000,000 | 2020/11/12 | 2023/12/28 | 20.00 |
| 53366 | Bank Vontobel – HSI R Bull CBBC Dec 2023 J | 80,000,000 | 2020/11/12 | 2023/12/28 | 20.00 |
| 53360 | Bank Vontobel – HSI R Bear CBBC Feb 2021 G | 80,000,000 | 2020/11/12 | 2021/02/25 | 20.00 |
| 53361 | Bank Vontobel – HSI R Bear CBBC Feb 2021 H | 80,000,000 | 2020/11/12 | 2021/02/25 | 20.00 |
| 53364 | Bank Vontobel – HSI R Bear CBBC Feb 2021 J | 80,000,000 | 2020/11/12 | 2021/02/25 | 20.00 |
| 53410 | Bank Vontobel – JD.com R Bull CBBC Nov 2021 A | 50,000,000 | 2020/11/12 | 2021/11/19 | 12.50 |
| 53367 | Bank Vontobel – Meituan R Bear CBBC Sep 2021 D | 100,000,000 | 2020/11/12 | 2021/09/17 | 25.00 |
| 53427 | Bank Vontobel – NetEase R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/12 | 2021/11/19 | 10.00 |
| 53403 | Bank Vontobel – SMIC R Bear CBBC Jun 2021 E | 40,000,000 | 2020/11/12 | 2021/06/25 | 10.00 |
| 53374 | Bank Vontobel – Sunny Optical R Bull CBBC Sep 2021 C | 40,000,000 | 2020/11/12 | 2021/09/24 | 10.00 |
| 53370 | Bank Vontobel – Sunny Optical R Bear CBBC May 2021 A | 40,000,000 | 2020/11/12 | 2021/05/14 | 13.20 |
| 53359 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 G | 40,000,000 | 2020/11/12 | 2021/11/26 | 10.00 |
| 54141 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 N | 60,000,000 | 2020/11/13 | 2021/02/26 | 15.00 |
| 54100 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 I | 150,000,000 | 2020/11/13 | 2023/02/27 | 37.50 |
| 54101 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 O | 150,000,000 | 2020/11/13 | 2023/02/27 | 37.50 |
| 54102 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 O | 150,000,000 | 2020/11/13 | 2021/02/25 | 37.50 |
| 54103 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 P | 150,000,000 | 2020/11/13 | 2021/02/25 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54120 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 Q | 150,000,000 | 2020/11/13 | 2021/02/25 | 37.50 |
| 54150 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 C | 40,000,000 | 2020/11/13 | 2021/09/30 | 11.20 |
| 54149 | BOCI Asia Ltd. – Ping An R Bull CBBC Feb 2021 B | 100,000,000 | 2020/11/13 | 2021/02/26 | 25.00 |
| 54121 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 R | 120,000,000 | 2020/11/13 | 2021/02/26 | 30.00 |
| 54132 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 S | 120,000,000 | 2020/11/13 | 2021/02/26 | 30.00 |
| 54055 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Nov 2021 F | 200,000,000 | 2020/11/13 | 2021/11/29 | 50.00 |
| 54053 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 I | 200,000,000 | 2020/11/13 | 2021/12/30 | 50.00 |
| 54052 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Dec 2021 B | 150,000,000 | 2020/11/13 | 2021/12/30 | 37.50 |
| 53874 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 H | 200,000,000 | 2020/11/13 | 2023/09/28 | 50.00 |
| 53875 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/11/13 | 2023/09/28 | 50.00 |
| 54096 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 J | 200,000,000 | 2020/11/13 | 2023/09/28 | 50.00 |
| 53872 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 54099 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 F | 240,000,000 | 2020/11/13 | 2021/03/30 | 60.00 |
| 53868 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53870 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 54089 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 D | 200,000,000 | 2020/11/13 | 2021/09/29 | 50.00 |
| 54092 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 E | 200,000,000 | 2020/11/13 | 2021/09/29 | 50.00 |
| 54063 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 S | 200,000,000 | 2020/11/13 | 2021/06/29 | 50.00 |
| 54064 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 T | 200,000,000 | 2020/11/13 | 2021/11/29 | 50.00 |
| 54076 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 Q | 250,000,000 | 2020/11/13 | 2021/12/30 | 62.50 |
| 54088 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 Y | 250,000,000 | 2020/11/13 | 2021/11/29 | 62.50 |
| 54086 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 S | 250,000,000 | 2020/11/13 | 2021/12/30 | 62.50 |
| 54351 | Credit Suisse AG – AIA R Bull CBBC Jul 2021 C | 70,000,000 | 2020/11/13 | 2021/07/29 | 17.50 |
| 54355 | Credit Suisse AG – AIA R Bear CBBC Apr 2021 C | 70,000,000 | 2020/11/13 | 2021/04/29 | 17.50 |
| 54306 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 F | 50,000,000 | 2020/11/13 | 2021/03/30 | 12.50 |
| 54314 | Credit Suisse AG – Alibaba Group R Bear CBBC Apr 2021 D | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 54334 | Credit Suisse AG – BYD Company R Bull CBBC Apr 2021 B | 50,000,000 | 2020/11/13 | 2021/04/29 | 12.50 |
| 54335 | Credit Suisse AG – BYD Company R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54346 | Credit Suisse AG – Galaxy Ent R Bull CBBC May 2021 B | 80,000,000 | 2020/11/13 | 2021/05/28 | 20.00 |
| 54348 | Credit Suisse AG – Galaxy Ent R Bear CBBC Apr 2021 C | 50,000,000 | 2020/11/13 | 2021/04/29 | 12.50 |
| 54340 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54345 | Credit Suisse AG – HSBC R Bear CBBC Apr 2021 F | 80,000,000 | 2020/11/13 | 2021/04/29 | 20.00 |
| 54387 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 P | 200,000,000 | 2020/11/13 | 2023/11/29 | 50.00 |
| 54398 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 V | 200,000,000 | 2020/11/13 | 2023/11/29 | 50.00 |
| 54400 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 W | 200,000,000 | 2020/11/13 | 2023/11/29 | 50.00 |
| 54365 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Y | 200,000,000 | 2020/11/13 | 2023/11/29 | 50.00 |
| 54374 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/11/13 | 2023/11/29 | 50.00 |
| 54275 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54276 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54263 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54270 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54271 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54283 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 54278 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 54277 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 H | 200,000,000 | 2020/11/13 | 2021/04/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 54289 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 I | 200,000,000 | 2020/11/13 | 2021/04/29 | 50.00 |
| 54290 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 J | 200,000,000 | 2020/11/13 | 2021/04/29 | 62.00 |
| 54330 | Credit Suisse AG – JD.com R Bull CBBC Apr 2021 A | 50,000,000 | 2020/11/13 | 2021/04/29 | 12.50 |
| 54331 | Credit Suisse AG – JD.com R Bull CBBC Apr 2021 B | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 54332 | Credit Suisse AG – JD.com R Bear CBBC Apr 2021 B | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 54316 | Credit Suisse AG – Meituan R Bull CBBC Feb 2021 M | 50,000,000 | 2020/11/13 | 2021/02/25 | 12.50 |
| 54323 | Credit Suisse AG – Meituan R Bull CBBC Mar 2021 E | 50,000,000 | 2020/11/13 | 2021/03/30 | 18.00 |
| 54324 | Credit Suisse AG – Meituan R Bear CBBC Apr 2021 H | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 54333 | Credit Suisse AG – NetEase R Bull CBBC Mar 2021 A | 50,000,000 | 2020/11/13 | 2021/03/30 | 12.50 |
| 54356 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 E | 80,000,000 | 2020/11/13 | 2021/07/29 | 20.00 |
| 54360 | Credit Suisse AG – Ping An R Bear CBBC Apr 2021 A | 60,000,000 | 2020/11/13 | 2021/04/29 | 15.00 |
| 54292 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 H | 50,000,000 | 2020/11/13 | 2021/03/30 | 12.50 |
| 54294 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 P | 50,000,000 | 2020/11/13 | 2021/04/29 | 16.00 |
| 54300 | Credit Suisse AG – Tencent R Bear CBBC Apr 2021 G | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 54329 | Credit Suisse AG – Xiaomi R Bear CBBC Apr 2021 H | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 53862 | Bank of East Asia – Alibaba Group R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/13 | 2021/04/19 | 10.00 |
| 53861 | Bank of East Asia – BYD Company R Bull CBBC Sep 2021 A | 60,000,000 | 2020/11/13 | 2021/09/28 | 15.00 |
| 53863 | Bank of East Asia – Tencent R Bull CBBC Apr 2021 A | 30,000,000 | 2020/11/13 | 2021/04/19 | 10.50 |
| 54151 | Guotai Junan – HSI R Bull CBBC Oct 2023 D | 200,000,000 | 2020/11/13 | 2023/10/30 | 50.00 |
| 54156 | Guotai Junan – HSI R Bull CBBC Oct 2023 E | 200,000,000 | 2020/11/13 | 2023/10/30 | 50.00 |
| 54157 | Guotai Junan – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54158 | Guotai Junan – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54766 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Jul 2021 C | 80,000,000 | 2020/11/13 | 2021/07/27 | 20.00 |
| 54749 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54789 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/13 | 2021/07/29 | 15.00 |
| 54747 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 Z | 60,000,000 | 2020/11/13 | 2021/07/29 | 15.00 |
| 54771 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54782 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 U | 100,000,000 | 2020/11/13 | 2021/07/30 | 25.00 |
| 54783 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 H | 80,000,000 | 2020/11/13 | 2021/07/30 | 20.00 |
| 54743 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 K | 160,000,000 | 2020/11/13 | 2023/10/30 | 40.00 |
| 54733 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 T | 160,000,000 | 2020/11/13 | 2023/10/30 | 40.00 |
| 54734 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 X | 160,000,000 | 2020/11/13 | 2023/10/30 | 40.00 |
| 54744 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 Y | 160,000,000 | 2020/11/13 | 2023/10/30 | 40.00 |
| 54745 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 Z | 160,000,000 | 2020/11/13 | 2023/10/30 | 40.00 |
| 54723 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 T | 160,000,000 | 2020/11/13 | 2023/11/29 | 40.00 |
| 54710 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 I | 160,000,000 | 2020/11/13 | 2021/02/25 | 40.00 |
| 54704 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 O | 160,000,000 | 2020/11/13 | 2021/02/25 | 40.00 |
| 54705 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 R | 160,000,000 | 2020/11/13 | 2021/02/25 | 40.00 |
| 54730 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 A | 160,000,000 | 2020/11/13 | 2021/04/29 | 40.00 |
| 54732 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 D | 160,000,000 | 2020/11/13 | 2021/04/29 | 40.00 |
| 54719 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 H | 160,000,000 | 2020/11/13 | 2021/04/29 | 40.00 |
| 54725 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 K | 160,000,000 | 2020/11/13 | 2021/04/29 | 40.00 |
| 54726 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 Q | 160,000,000 | 2020/11/13 | 2021/04/29 | 40.00 |
| 54728 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 R | 160,000,000 | 2020/11/13 | 2021/04/29 | 40.00 |
| 54786 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54755 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 M | 60,000,000 | 2020/11/13 | 2021/08/30 | 15.00 |
| 54757 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 N | 100,000,000 | 2020/11/13 | 2021/08/26 | 25.00 |
| 54772 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 O | 60,000,000 | 2020/11/13 | 2021/08/30 | 15.00 |
| 54777 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 P | 100,000,000 | 2020/11/13 | 2021/08/26 | 25.00 |
| 54768 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54785 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54746 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 U | 60,000,000 | 2020/11/13 | 2021/08/27 | 15.00 |
| 54764 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 V | 100,000,000 | 2020/11/13 | 2021/08/30 | 25.00 |
| 54779 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 N | 80,000,000 | 2020/11/13 | 2021/07/30 | 20.00 |
| 54763 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 W | 80,000,000 | 2020/11/13 | 2021/07/30 | 20.00 |
| 54019 | HK Bank – HSI R Bull CBBC Sep 2023 C | 80,000,000 | 2020/11/13 | 2023/09/28 | 20.00 |
| 54025 | HK Bank – HSI R Bull CBBC Sep 2023 D | 100,000,000 | 2020/11/13 | 2023/09/28 | 25.00 |
| 54026 | HK Bank – HSI R Bull CBBC Sep 2023 E | 120,000,000 | 2020/11/13 | 2023/09/28 | 30.00 |
| 54017 | HK Bank – HSI R Bear CBBC Mar 2021 T | 80,000,000 | 2020/11/13 | 2021/03/30 | 20.00 |
| 54004 | HK Bank – HSI R Bear CBBC Jul 2021 H | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54016 | HK Bank – HSI R Bear CBBC Jul 2021 I | 100,000,000 | 2020/11/13 | 2021/07/29 | 25.00 |
| 54042 | HK Bank – Meituan R Bear CBBC Apr 2021 H | 100,000,000 | 2020/11/13 | 2021/04/26 | 25.00 |
| 54046 | HK Bank – Tencent R Bull CBBC Jul 2021 K | 100,000,000 | 2020/11/13 | 2021/07/12 | 25.00 |
| 54051 | HK Bank – Tencent R Bear CBBC Jul 2021 F | 100,000,000 | 2020/11/13 | 2021/07/12 | 25.00 |
| 53996 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 N | 200,000,000 | 2020/11/13 | 2023/11/29 | 50.00 |
| 54000 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 D | 200,000,000 | 2020/11/13 | 2023/12/28 | 50.00 |
| 53977 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 53985 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 H | 60,000,000 | 2020/11/13 | 2021/03/25 | 15.00 |
| 53987 | Haitong Int'l Sec – Meituan R Bear CBBC Feb 2021 J | 60,000,000 | 2020/11/13 | 2021/02/25 | 16.86 |
| 53992 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 U | 60,000,000 | 2020/11/13 | 2021/03/25 | 15.00 |
| 53994 | Haitong Int'l Sec – Tencent R Bull CBBC Apr 2021 F | 60,000,000 | 2020/11/13 | 2021/04/30 | 19.86 |
| 53990 | Haitong Int'l Sec – Tencent R Bear CBBC Feb 2021 N | 60,000,000 | 2020/11/13 | 2021/02/25 | 20.52 |
| 53995 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/13 | 2021/07/28 | 25.00 |
| 53889 | J P Morgan SP BV – HSCEI R Bull CBBC Mar 2023 A | 200,000,000 | 2020/11/13 | 2023/03/30 | 50.00 |
| 53895 | J P Morgan SP BV – HSCEI R Bull CBBC Mar 2023 B | 200,000,000 | 2020/11/13 | 2023/03/30 | 50.00 |
| 53946 | J P Morgan SP BV – HSCEI R Bull CBBC Mar 2023 C | 200,000,000 | 2020/11/13 | 2023/03/30 | 50.00 |
| 53927 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2021 A | 200,000,000 | 2020/11/13 | 2021/04/29 | 50.00 |
| 53934 | J P Morgan SP BV – HSCEI R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/13 | 2021/04/29 | 50.00 |
| 53968 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 H | 200,000,000 | 2020/11/13 | 2024/01/30 | 50.00 |
| 53971 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 N | 200,000,000 | 2020/11/13 | 2024/01/30 | 50.00 |
| 53962 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 I | 200,000,000 | 2020/11/13 | 2024/02/28 | 50.00 |
| 53966 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 J | 200,000,000 | 2020/11/13 | 2024/02/28 | 50.00 |
| 53967 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 K | 200,000,000 | 2020/11/13 | 2024/02/28 | 50.00 |
| 53949 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/13 | 2021/02/25 | 57.00 |
| 53954 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/13 | 2021/02/25 | 71.00 |
| 53951 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/13 | 2021/02/25 | 51.00 |
| 53956 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/13 | 2021/02/25 | 63.00 |
| 53957 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 53947 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53912 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53917 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53921 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53933 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53935 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53936 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 53948 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/11/13 | 2021/03/30 | 50.00 |
| 54260 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 G | 100,000,000 | 2020/11/13 | 2023/10/30 | 25.00 |
| 54255 | Morgan Stanley Asia Products – HSI R Bear CBBC Feb 2021 Z | 100,000,000 | 2020/11/13 | 2021/02/25 | 25.00 |
| 54254 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 J | 100,000,000 | 2020/11/13 | 2021/03/30 | 25.00 |
| 54262 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 C | 100,000,000 | 2020/11/13 | 2021/04/29 | 25.00 |
| 54257 | Morgan Stanley Asia Products – HSI R Bear CBBC May 2021 A | 100,000,000 | 2020/11/13 | 2021/05/28 | 25.00 |
| 54249 | Morgan Stanley Asia Products – HSI R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/13 | 2021/06/29 | 50.00 |
| 54256 | Morgan Stanley Asia Products – HSI R Bear CBBC Jun 2021 B | 200,000,000 | 2020/11/13 | 2021/06/29 | 50.00 |
| 54666 | SG Issuer – AIA R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/13 | 2021/07/29 | 15.00 |
| 54668 | SG Issuer – AIA R Bull CBBC Jul 2021 D | 60,000,000 | 2020/11/13 | 2021/07/30 | 15.00 |
| 54665 | SG Issuer – AIA R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/13 | 2021/04/30 | 15.00 |
| 54583 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 W | 80,000,000 | 2020/11/13 | 2021/06/30 | 20.00 |
| 54638 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 X | 80,000,000 | 2020/11/13 | 2021/06/30 | 20.00 |
| 54584 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 H | 80,000,000 | 2020/11/13 | 2021/07/30 | 20.00 |
| 54672 | SG Issuer – Alibaba Group R Bull CBBC Aug 2021 C | 80,000,000 | 2020/11/13 | 2021/08/30 | 20.00 |
| 54669 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 I | 80,000,000 | 2020/11/13 | 2021/06/29 | 20.00 |
| 54673 | SG Issuer – CC Bank R Bull CBBC Aug 2021 O | 100,000,000 | 2020/11/13 | 2021/08/30 | 25.00 |
| 54585 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 J | 150,000,000 | 2020/11/13 | 2021/07/30 | 37.50 |
| 54640 | SG Issuer – Galaxy Ent R Bull CBBC Apr 2021 F | 100,000,000 | 2020/11/13 | 2021/04/30 | 25.00 |
| 54641 | SG Issuer – Galaxy Ent R Bear CBBC Jun 2021 D | 100,000,000 | 2020/11/13 | 2021/06/29 | 25.00 |
| 54587 | SG Issuer – HKEX R Bull CBBC Sep 2021 D | 50,000,000 | 2020/11/13 | 2021/09/29 | 12.50 |
| 54642 | SG Issuer – HSBC R Bull CBBC Sep 2021 G | 100,000,000 | 2020/11/13 | 2021/09/30 | 25.00 |
| 54589 | SG Issuer – HSBC R Bear CBBC Apr 2021 G | 100,000,000 | 2020/11/13 | 2021/04/30 | 25.00 |
| 54656 | SG Issuer – HSI R Bull CBBC Oct 2023 M | 250,000,000 | 2020/11/13 | 2023/10/30 | 62.50 |
| 54657 | SG Issuer – HSI R Bull CBBC Oct 2023 O | 250,000,000 | 2020/11/13 | 2023/10/30 | 62.50 |
| 54659 | SG Issuer – HSI R Bull CBBC Nov 2023 V | 250,000,000 | 2020/11/13 | 2023/11/29 | 62.50 |
| 54660 | SG Issuer – HSI R Bull CBBC Dec 2023 N | 250,000,000 | 2020/11/13 | 2023/12/28 | 62.50 |
| 54573 | SG Issuer – HSI R Bear CBBC Mar 2021 Y | 250,000,000 | 2020/11/13 | 2021/03/30 | 62.50 |
| 54622 | SG Issuer – HSI R Bear CBBC Apr 2021 B | 250,000,000 | 2020/11/13 | 2021/04/29 | 62.50 |
| 54623 | SG Issuer – HSI R Bear CBBC Apr 2021 C | 250,000,000 | 2020/11/13 | 2021/04/29 | 62.50 |
| 54625 | SG Issuer – HSI R Bear CBBC Apr 2021 D | 250,000,000 | 2020/11/13 | 2021/04/29 | 62.50 |
| 54626 | SG Issuer – HSI R Bear CBBC Apr 2021 P | 250,000,000 | 2020/11/13 | 2021/04/29 | 62.50 |
| 54575 | SG Issuer – HSI R Bear CBBC Apr 2021 S | 250,000,000 | 2020/11/13 | 2021/04/29 | 62.50 |
| 54618 | SG Issuer – HSI R Bear CBBC Apr 2021 T | 250,000,000 | 2020/11/13 | 2021/04/29 | 62.50 |
| 54631 | SG Issuer – HSI R Bear CBBC May 2021 H | 250,000,000 | 2020/11/13 | 2021/05/28 | 62.50 |
| 54582 | SG Issuer – HSI R Bear CBBC May 2021 M | 250,000,000 | 2020/11/13 | 2021/05/28 | 62.50 |
| 54627 | SG Issuer – HSI R Bear CBBC May 2021 N | 250,000,000 | 2020/11/13 | 2021/05/28 | 62.50 |
| 54630 | SG Issuer – HSI R Bear CBBC May 2021 O | 250,000,000 | 2020/11/13 | 2021/05/28 | 62.50 |
| 54590 | SG Issuer – JD.com R Bull CBBC Jun 2021 C | 40,000,000 | 2020/11/13 | 2021/06/30 | 10.80 |
| 54644 | SG Issuer – JD.com R Bull CBBC Jun 2021 D | 40,000,000 | 2020/11/13 | 2021/06/30 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 54676 | SG Issuer – JD.com R Bull CBBC Aug 2021 A | 120,000,000 | 2020/11/13 | 2021/08/31 | 30.00 |
| 54591 | SG Issuer – Meituan R Bull CBBC May 2021 L | 80,000,000 | 2020/11/13 | 2021/05/31 | 20.00 |
| 54645 | SG Issuer – Meituan R Bull CBBC May 2021 M | 80,000,000 | 2020/11/13 | 2021/05/31 | 20.00 |
| 54592 | SG Issuer – Meituan R Bull CBBC Jun 2021 X | 80,000,000 | 2020/11/13 | 2021/06/30 | 23.60 |
| 54596 | SG Issuer – Meituan R Bull CBBC Jun 2021 Y | 80,000,000 | 2020/11/13 | 2021/06/30 | 20.00 |
| 54646 | SG Issuer – Meituan R Bull CBBC Jun 2021 Z | 80,000,000 | 2020/11/13 | 2021/06/30 | 20.00 |
| 54677 | SG Issuer – Meituan R Bear CBBC Apr 2021 L | 80,000,000 | 2020/11/13 | 2021/04/30 | 20.00 |
| 54685 | SG Issuer – Meituan R Bear CBBC May 2021 E | 80,000,000 | 2020/11/13 | 2021/05/28 | 20.00 |
| 54689 | SG Issuer – NetEase R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/13 | 2021/07/30 | 10.00 |
| 54647 | SG Issuer – Ping An R Bull CBBC Oct 2021 I | 100,000,000 | 2020/11/13 | 2021/10/28 | 25.00 |
| 54649 | SG Issuer – Ping An R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/13 | 2021/11/29 | 25.00 |
| 54601 | SG Issuer – SMIC R Bull CBBC Aug 2021 D | 120,000,000 | 2020/11/13 | 2021/08/30 | 30.00 |
| 54698 | SG Issuer – Tencent R Bull CBBC Apr 2021 K | 80,000,000 | 2020/11/13 | 2021/04/30 | 20.00 |
| 54604 | SG Issuer – Tencent R Bull CBBC May 2021 G | 80,000,000 | 2020/11/13 | 2021/05/31 | 20.00 |
| 54602 | SG Issuer – Tencent R Bull CBBC May 2021 K | 80,000,000 | 2020/11/13 | 2021/05/31 | 20.00 |
| 54605 | SG Issuer – Tencent R Bull CBBC Jun 2021 E | 80,000,000 | 2020/11/13 | 2021/06/30 | 22.40 |
| 54653 | SG Issuer – Tencent R Bull CBBC Jun 2021 F | 80,000,000 | 2020/11/13 | 2021/06/30 | 20.00 |
| 54609 | SG Issuer – Tencent R Bull CBBC Jul 2021 L | 80,000,000 | 2020/11/13 | 2021/07/30 | 20.00 |
| 54654 | SG Issuer – Tencent R Bull CBBC Jul 2021 M | 80,000,000 | 2020/11/13 | 2021/07/30 | 20.00 |
| 54701 | SG Issuer – Tencent R Bull CBBC Jul 2021 N | 80,000,000 | 2020/11/13 | 2021/07/30 | 21.20 |
| 54655 | SG Issuer – Tencent R Bull CBBC Aug 2021 H | 200,000,000 | 2020/11/13 | 2021/08/30 | 50.00 |
| 54699 | SG Issuer – Tencent R Bear CBBC Jun 2021 Z | 80,000,000 | 2020/11/13 | 2021/06/29 | 20.00 |
| 54700 | SG Issuer – Tencent R Bear CBBC Jul 2021 G | 80,000,000 | 2020/11/13 | 2021/07/29 | 20.00 |
| 54702 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 S | 60,000,000 | 2020/11/13 | 2021/04/30 | 15.00 |
| 54611 | SG Issuer – Xiaomi R Bull CBBC May 2021 Q | 60,000,000 | 2020/11/13 | 2021/05/31 | 15.00 |
| 54703 | SG Issuer – Xiaomi R Bull CBBC Aug 2021 B | 200,000,000 | 2020/11/13 | 2021/08/31 | 50.00 |
| 54408 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 C | 80,000,000 | 2020/11/13 | 2021/04/12 | 20.00 |
| 54409 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 D | 80,000,000 | 2020/11/13 | 2021/04/26 | 20.00 |
| 54440 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 V | 80,000,000 | 2020/11/13 | 2021/04/22 | 20.00 |
| 54544 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 M | 80,000,000 | 2020/11/13 | 2021/03/29 | 20.00 |
| 54547 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 O | 80,000,000 | 2020/11/13 | 2021/03/31 | 20.00 |
| 54568 | UBS AG – BYD Company R Bull CBBC Apr 2021 A | 60,000,000 | 2020/11/13 | 2021/04/29 | 15.00 |
| 54471 | UBS AG – BYD Company R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/13 | 2021/08/09 | 15.00 |
| 54472 | UBS AG – BYD Company R Bull CBBC Aug 2021 B | 150,000,000 | 2020/11/13 | 2021/08/23 | 37.50 |
| 54478 | UBS AG – BYD Company R Bull CBBC Aug 2021 C | 150,000,000 | 2020/11/13 | 2021/08/30 | 37.50 |
| 54536 | UBS AG – BYD Company R Bear CBBC Apr 2021 A | 60,000,000 | 2020/11/13 | 2021/04/29 | 15.00 |
| 54537 | UBS AG – BYD Company R Bear CBBC Jul 2021 A | 150,000,000 | 2020/11/13 | 2021/07/26 | 37.50 |
| 54422 | UBS AG – HKEX R Bull CBBC Mar 2021 M | 50,000,000 | 2020/11/13 | 2021/03/29 | 12.50 |
| 54443 | UBS AG – HSBC R Bull CBBC Sep 2021 I | 150,000,000 | 2020/11/13 | 2021/09/30 | 37.50 |
| 54446 | UBS AG – HSBC R Bull CBBC Sep 2021 J | 150,000,000 | 2020/11/13 | 2021/09/13 | 37.50 |
| 54483 | UBS AG – HSBC R Bear CBBC Jun 2021 H | 100,000,000 | 2020/11/13 | 2021/06/30 | 25.00 |
| 54490 | UBS AG – HSCEI R Bull CBBC Dec 2021 P | 200,000,000 | 2020/11/13 | 2021/12/30 | 50.00 |
| 54495 | UBS AG – HSCEI R Bull CBBC Dec 2021 Q | 200,000,000 | 2020/11/13 | 2021/12/30 | 50.00 |
| 54556 | UBS AG – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/11/13 | 2023/10/30 | 50.00 |
| 54564 | UBS AG – HSI R Bull CBBC Oct 2023 H | 200,000,000 | 2020/11/13 | 2023/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 54566 | UBS AG – HSI R Bull CBBC Oct 2023 I | 200,000,000 | 2020/11/13 | 2023/10/30 | 50.00 |
| 54425 | UBS AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54504 | UBS AG – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54423 | UBS AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54496 | UBS AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54497 | UBS AG – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54424 | UBS AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54499 | UBS AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54502 | UBS AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/13 | 2021/02/25 | 50.00 |
| 54503 | UBS AG – HSI R Bear CBBC Apr 2021 A | 400,000,000 | 2020/11/13 | 2021/04/29 | 100.00 |
| 54505 | UBS AG – HSI R Bear CBBC Apr 2021 B | 400,000,000 | 2020/11/13 | 2021/04/29 | 100.00 |
| 54508 | UBS AG – HSI R Bear CBBC Apr 2021 C | 400,000,000 | 2020/11/13 | 2021/04/29 | 100.00 |
| 54463 | UBS AG – JD.com R Bull CBBC Mar 2021 A | 80,000,000 | 2020/11/13 | 2021/03/31 | 20.00 |
| 54464 | UBS AG – JD.com R Bull CBBC Mar 2021 B | 80,000,000 | 2020/11/13 | 2021/03/30 | 20.00 |
| 54465 | UBS AG – JD.com R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/13 | 2021/04/30 | 20.00 |
| 54466 | UBS AG – JD.com R Bull CBBC Apr 2021 G | 200,000,000 | 2020/11/13 | 2021/04/30 | 50.00 |
| 54467 | UBS AG – JD.com R Bull CBBC Apr 2021 H | 200,000,000 | 2020/11/13 | 2021/04/19 | 50.00 |
| 54469 | UBS AG – JD.com R Bull CBBC Apr 2021 I | 200,000,000 | 2020/11/13 | 2021/04/30 | 50.00 |
| 54535 | UBS AG – JD.com R Bear CBBC Apr 2021 C | 80,000,000 | 2020/11/13 | 2021/04/29 | 20.00 |
| 54522 | UBS AG – JD.com R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/13 | 2021/06/18 | 50.00 |
| 54526 | UBS AG – JD.com R Bear CBBC Jun 2021 B | 200,000,000 | 2020/11/13 | 2021/06/18 | 50.00 |
| 54410 | UBS AG – Meituan R Bull CBBC Mar 2021 X | 50,000,000 | 2020/11/13 | 2021/03/15 | 12.50 |
| 54411 | UBS AG – Meituan R Bull CBBC Mar 2021 Y | 50,000,000 | 2020/11/13 | 2021/03/22 | 12.50 |
| 54437 | UBS AG – Meituan R Bull CBBC Mar 2021 Z | 50,000,000 | 2020/11/13 | 2021/03/22 | 12.50 |
| 54414 | UBS AG – Meituan R Bull CBBC Apr 2021 D | 150,000,000 | 2020/11/13 | 2021/04/19 | 37.50 |
| 54415 | UBS AG – Meituan R Bull CBBC Apr 2021 E | 150,000,000 | 2020/11/13 | 2021/04/21 | 37.50 |
| 54438 | UBS AG – Meituan R Bull CBBC Apr 2021 F | 50,000,000 | 2020/11/13 | 2021/04/20 | 12.50 |
| 54439 | UBS AG – Meituan R Bull CBBC Jun 2021 K | 150,000,000 | 2020/11/13 | 2021/06/28 | 37.50 |
| 54549 | UBS AG – Meituan R Bear CBBC Mar 2021 A | 50,000,000 | 2020/11/13 | 2021/03/22 | 12.50 |
| 54550 | UBS AG – Meituan R Bear CBBC Mar 2021 B | 50,000,000 | 2020/11/13 | 2021/03/29 | 12.50 |
| 54551 | UBS AG – Meituan R Bear CBBC Apr 2021 I | 150,000,000 | 2020/11/13 | 2021/04/26 | 37.50 |
| 54450 | UBS AG – NetEase R Bull CBBC Mar 2021 A | 80,000,000 | 2020/11/13 | 2021/03/31 | 20.00 |
| 54462 | UBS AG – NetEase R Bull CBBC Mar 2021 B | 80,000,000 | 2020/11/13 | 2021/03/31 | 20.00 |
| 54460 | UBS AG – NetEase R Bull CBBC Apr 2021 E | 80,000,000 | 2020/11/13 | 2021/04/15 | 20.00 |
| 54510 | UBS AG – NetEase R Bear CBBC Mar 2021 A | 80,000,000 | 2020/11/13 | 2021/03/29 | 20.00 |
| 54511 | UBS AG – NetEase R Bear CBBC Mar 2021 B | 80,000,000 | 2020/11/13 | 2021/03/31 | 20.00 |
| 54569 | UBS AG – SMIC R Bull CBBC Jun 2021 G | 100,000,000 | 2020/11/13 | 2021/06/30 | 25.00 |
| 54571 | UBS AG – SMIC R Bull CBBC Jun 2021 H | 100,000,000 | 2020/11/13 | 2021/06/21 | 25.00 |
| 54572 | UBS AG – SMIC R Bull CBBC Jun 2021 I | 100,000,000 | 2020/11/13 | 2021/06/18 | 25.00 |
| 54401 | UBS AG – Tencent R Bull CBBC Mar 2021 D | 40,000,000 | 2020/11/13 | 2021/03/22 | 10.00 |
| 54426 | UBS AG – Tencent R Bull CBBC Mar 2021 W | 40,000,000 | 2020/11/13 | 2021/03/30 | 10.00 |
| 54429 | UBS AG – Tencent R Bull CBBC Mar 2021 Y | 200,000,000 | 2020/11/13 | 2021/03/23 | 50.00 |
| 54403 | UBS AG – Tencent R Bull CBBC Apr 2021 N | 40,000,000 | 2020/11/13 | 2021/04/26 | 10.00 |
| 54430 | UBS AG – Tencent R Bull CBBC Apr 2021 O | 200,000,000 | 2020/11/13 | 2021/04/29 | 50.00 |
| 54436 | UBS AG – Tencent R Bull CBBC Apr 2021 T | 40,000,000 | 2020/11/13 | 2021/04/15 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54406 | UBS AG – Tencent R Bull CBBC Jun 2021 Y | 200,000,000 | 2020/11/13 | 2021/06/16 | 50.00 |
| 54407 | UBS AG – Tencent R Bull CBBC Jun 2021 Z | 200,000,000 | 2020/11/13 | 2021/06/21 | 50.00 |
| 54538 | UBS AG – Tencent R Bear CBBC Mar 2021 B | 40,000,000 | 2020/11/13 | 2021/03/10 | 10.00 |
| 54541 | UBS AG – Tencent R Bear CBBC Mar 2021 C | 40,000,000 | 2020/11/13 | 2021/03/17 | 10.00 |
| 54542 | UBS AG – Tencent R Bear CBBC Mar 2021 D | 40,000,000 | 2020/11/13 | 2021/03/22 | 10.00 |
| 54539 | UBS AG – Tencent R Bear CBBC Mar 2021 T | 40,000,000 | 2020/11/13 | 2021/03/15 | 10.00 |
| 54416 | UBS AG – Xiaomi R Bull CBBC Apr 2021 X | 60,000,000 | 2020/11/13 | 2021/04/12 | 15.00 |
| 54419 | UBS AG – Xiaomi R Bull CBBC Jun 2021 W | 200,000,000 | 2020/11/13 | 2021/06/09 | 50.00 |
| 54552 | UBS AG – Xiaomi R Bear CBBC Mar 2021 Q | 60,000,000 | 2020/11/13 | 2021/03/29 | 15.00 |
| 54553 | UBS AG – Xiaomi R Bear CBBC Apr 2021 V | 60,000,000 | 2020/11/13 | 2021/04/08 | 15.00 |
| 54183 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 L | 40,000,000 | 2020/11/13 | 2021/07/09 | 10.00 |
| 54206 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 B | 60,000,000 | 2020/11/13 | 2021/11/26 | 15.00 |
| 54248 | Bank Vontobel – BYD Company R Bear CBBC May 2021 A | 60,000,000 | 2020/11/13 | 2021/05/21 | 15.00 |
| 54217 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 C | 40,000,000 | 2020/11/13 | 2021/11/26 | 10.00 |
| 54166 | Bank Vontobel – HSI N Bull CBBC Dec 2023 L | 40,000,000 | 2020/11/13 | 2023/12/28 | 10.00 |
| 54167 | Bank Vontobel – HSI R Bull CBBC Dec 2023 C | 80,000,000 | 2020/11/13 | 2023/12/28 | 20.00 |
| 54244 | Bank Vontobel – HSI R Bull CBBC Dec 2023 E | 80,000,000 | 2020/11/13 | 2023/12/28 | 20.00 |
| 54164 | Bank Vontobel – HSI R Bear CBBC Feb 2021 P | 80,000,000 | 2020/11/13 | 2021/02/25 | 20.00 |
| 54165 | Bank Vontobel – HSI R Bear CBBC Feb 2021 R | 80,000,000 | 2020/11/13 | 2021/02/25 | 20.00 |
| 54185 | Bank Vontobel – JD.com R Bull CBBC Nov 2021 B | 50,000,000 | 2020/11/13 | 2021/11/26 | 12.50 |
| 54192 | Bank Vontobel – JD.com R Bull CBBC Nov 2021 C | 50,000,000 | 2020/11/13 | 2021/11/26 | 12.50 |
| 54172 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 G | 60,000,000 | 2020/11/13 | 2021/11/19 | 15.00 |
| 54204 | Bank Vontobel – NetEase R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/13 | 2021/11/26 | 10.00 |
| 54223 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 K | 80,000,000 | 2020/11/13 | 2021/11/26 | 20.00 |
| 54176 | Bank Vontobel – Xiaomi R Bull CBBC Jul 2021 Q | 40,000,000 | 2020/11/13 | 2021/07/09 | 10.00 |
| 55051 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 O | 60,000,000 | 2020/11/16 | 2021/02/26 | 15.00 |
| 55059 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Feb 2021 G | 60,000,000 | 2020/11/16 | 2021/02/26 | 15.00 |
| 55063 | BOCI Asia Ltd. – HSBC R Bull CBBC Feb 2021 C | 40,000,000 | 2020/11/16 | 2021/02/26 | 10.00 |
| 55065 | BOCI Asia Ltd. – HSI R Bull CBBC Feb 2023 M | 150,000,000 | 2020/11/16 | 2023/02/27 | 37.50 |
| 55066 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 R | 150,000,000 | 2020/11/16 | 2021/02/25 | 37.50 |
| 55061 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 D | 40,000,000 | 2020/11/16 | 2021/09/30 | 10.00 |
| 55062 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 E | 40,000,000 | 2020/11/16 | 2021/09/30 | 16.00 |
| 55060 | BOCI Asia Ltd. – Meituan R Bear CBBC Feb 2021 F | 40,000,000 | 2020/11/16 | 2021/02/26 | 10.00 |
| 55025 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Nov 2021 G | 200,000,000 | 2020/11/16 | 2021/11/29 | 50.00 |
| 55024 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 J | 200,000,000 | 2020/11/16 | 2021/12/30 | 50.00 |
| 54840 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 K | 190,000,000 | 2020/11/16 | 2023/09/28 | 47.50 |
| 54839 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/16 | 2021/03/30 | 50.00 |
| 54851 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 H | 240,000,000 | 2020/11/16 | 2021/03/30 | 60.00 |
| 55027 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 B | 200,000,000 | 2020/11/16 | 2021/11/29 | 50.00 |
| 55026 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 Q | 200,000,000 | 2020/11/16 | 2021/11/29 | 50.00 |
| 55035 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 Z | 250,000,000 | 2020/11/16 | 2021/11/29 | 62.50 |
| 55033 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 Q | 250,000,000 | 2020/11/16 | 2021/12/30 | 62.50 |
| 55092 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 I | 50,000,000 | 2020/11/16 | 2021/04/29 | 12.50 |
| 55115 | Credit Suisse AG – BYD Company R Bull CBBC Mar 2021 A | 50,000,000 | 2020/11/16 | 2021/03/30 | 12.50 |
| 55116 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/16 | 2021/09/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55117 | Credit Suisse AG – BYD Company R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/16 | 2021/09/29 | 25.00 |
| 55095 | Credit Suisse AG – Geely Auto R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/16 | 2021/07/29 | 25.00 |
| 55093 | Credit Suisse AG – HSBC R Bull CBBC Sep 2021 J | 100,000,000 | 2020/11/16 | 2021/09/29 | 25.00 |
| 55094 | Credit Suisse AG – HSBC R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/16 | 2021/07/29 | 25.00 |
| 55077 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 A | 200,000,000 | 2020/11/16 | 2023/10/30 | 50.00 |
| 55081 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 L | 200,000,000 | 2020/11/16 | 2023/10/30 | 50.00 |
| 55076 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/11/16 | 2023/10/30 | 50.00 |
| 55102 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/11/16 | 2023/10/30 | 50.00 |
| 55110 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 55111 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 55113 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 55109 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 55114 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/16 | 2021/03/30 | 50.00 |
| 55099 | Credit Suisse AG – JD.com R Bull CBBC Mar 2021 B | 50,000,000 | 2020/11/16 | 2021/03/30 | 12.50 |
| 55100 | Credit Suisse AG – JD.com R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/16 | 2021/09/29 | 25.00 |
| 55101 | Credit Suisse AG – JD.com R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/16 | 2021/09/29 | 25.00 |
| 55087 | Credit Suisse AG – Meituan R Bull CBBC Feb 2021 N | 50,000,000 | 2020/11/16 | 2021/02/25 | 12.50 |
| 55097 | Credit Suisse AG – Meituan R Bear CBBC Apr 2021 I | 100,000,000 | 2020/11/16 | 2021/04/29 | 25.00 |
| 55084 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 I | 50,000,000 | 2020/11/16 | 2021/03/30 | 12.50 |
| 55086 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 J | 50,000,000 | 2020/11/16 | 2021/03/30 | 17.50 |
| 55096 | Credit Suisse AG – Tencent R Bear CBBC Mar 2021 E | 100,000,000 | 2020/11/16 | 2021/03/30 | 25.00 |
| 55255 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/16 | 2021/07/29 | 25.00 |
| 55258 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/16 | 2021/07/29 | 25.00 |
| 55259 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 V | 100,000,000 | 2020/11/16 | 2021/08/30 | 25.00 |
| 55274 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 W | 100,000,000 | 2020/11/16 | 2021/08/30 | 25.00 |
| 55289 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 X | 60,000,000 | 2020/11/16 | 2021/08/26 | 15.00 |
| 55290 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 Y | 100,000,000 | 2020/11/16 | 2021/08/30 | 25.00 |
| 55276 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 V | 60,000,000 | 2020/11/16 | 2021/07/29 | 15.00 |
| 55277 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 I | 100,000,000 | 2020/11/16 | 2021/07/29 | 25.00 |
| 55238 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2024 A | 160,000,000 | 2020/11/16 | 2024/01/30 | 40.00 |
| 55242 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2024 B | 160,000,000 | 2020/11/16 | 2024/01/30 | 40.00 |
| 55243 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2024 C | 160,000,000 | 2020/11/16 | 2024/01/30 | 40.00 |
| 55241 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 C | 160,000,000 | 2020/11/16 | 2021/02/25 | 40.00 |
| 55244 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 F | 160,000,000 | 2020/11/16 | 2021/02/25 | 40.00 |
| 55245 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 I | 160,000,000 | 2020/11/16 | 2021/04/29 | 40.00 |
| 55247 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 L | 160,000,000 | 2020/11/16 | 2021/04/29 | 40.00 |
| 55252 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 N | 160,000,000 | 2020/11/16 | 2021/04/29 | 42.40 |
| 55279 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/16 | 2021/08/30 | 25.00 |
| 55260 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 Q | 100,000,000 | 2020/11/16 | 2021/08/26 | 25.00 |
| 55275 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 R | 100,000,000 | 2020/11/16 | 2021/08/26 | 25.00 |
| 55283 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 S | 60,000,000 | 2020/11/16 | 2021/08/26 | 15.00 |
| 55278 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/16 | 2021/07/29 | 25.00 |
| 55271 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/16 | 2021/08/26 | 25.00 |
| 55272 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/16 | 2021/08/27 | 25.00 |
| 55273 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 D | 100,000,000 | 2020/11/16 | 2021/08/26 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55286 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 F | 60,000,000 | 2020/11/16 | 2021/08/26 | 15.00 |
| 55253 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 W | 60,000,000 | 2020/11/16 | 2021/08/27 | 15.00 |
| 55287 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 N | 100,000,000 | 2020/11/16 | 2021/08/27 | 25.00 |
| 55285 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 D | 80,000,000 | 2020/11/16 | 2021/07/30 | 20.00 |
| 55265 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 H | 80,000,000 | 2020/11/16 | 2021/07/30 | 20.00 |
| 54823 | HK Bank – AIA R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/16 | 2021/06/30 | 15.00 |
| 54818 | HK Bank – AIA R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/16 | 2021/07/30 | 10.00 |
| 54808 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/16 | 2021/07/12 | 25.00 |
| 54793 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/16 | 2021/08/30 | 25.00 |
| 54794 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/16 | 2021/08/16 | 25.00 |
| 54806 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 D | 100,000,000 | 2020/11/16 | 2021/08/02 | 25.00 |
| 54821 | HK Bank – Alibaba Group R Bear CBBC Jun 2021 B | 100,000,000 | 2020/11/16 | 2021/06/28 | 25.00 |
| 54814 | HK Bank – HSI R Bull CBBC Sep 2023 F | 80,000,000 | 2020/11/16 | 2023/09/28 | 20.00 |
| 54815 | HK Bank – HSI R Bull CBBC Sep 2023 G | 120,000,000 | 2020/11/16 | 2023/09/28 | 30.00 |
| 54805 | HK Bank – HSI R Bear CBBC Mar 2021 U | 80,000,000 | 2020/11/16 | 2021/03/30 | 20.00 |
| 54816 | HK Bank – HSI R Bear CBBC Jul 2021 J | 120,000,000 | 2020/11/16 | 2021/07/29 | 30.00 |
| 54792 | HK Bank – JD.com R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/16 | 2021/08/02 | 25.00 |
| 54804 | HK Bank – Meituan R Bull CBBC Jun 2021 E | 100,000,000 | 2020/11/16 | 2021/06/28 | 25.00 |
| 54824 | HK Bank – Meituan R Bear CBBC Aug 2021 A | 100,000,000 | 2020/11/16 | 2021/08/30 | 25.00 |
| 54797 | HK Bank – Tencent R Bull CBBC Jun 2021 E | 100,000,000 | 2020/11/16 | 2021/06/21 | 25.00 |
| 54837 | HK Bank – Tencent R Bull CBBC Jun 2021 F | 100,000,000 | 2020/11/16 | 2021/06/21 | 25.00 |
| 54810 | HK Bank – Tencent R Bull CBBC Jul 2021 L | 100,000,000 | 2020/11/16 | 2021/07/12 | 25.00 |
| 54834 | HK Bank – Tencent R Bear CBBC Jun 2021 C | 100,000,000 | 2020/11/16 | 2021/06/11 | 25.00 |
| 54826 | HK Bank – Xiaomi R Bear CBBC May 2021 A | 40,000,000 | 2020/11/16 | 2021/05/31 | 10.00 |
| 55042 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/11/16 | 2023/10/30 | 50.00 |
| 55043 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/16 | 2021/03/30 | 50.00 |
| 55049 | Haitong Int'l Sec – Meituan R Bull CBBC Feb 2021 P | 60,000,000 | 2020/11/16 | 2021/02/25 | 15.00 |
| 55046 | Haitong Int'l Sec – Meituan R Bear CBBC Feb 2021 K | 60,000,000 | 2020/11/16 | 2021/02/25 | 16.26 |
| 55050 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 F | 60,000,000 | 2020/11/16 | 2021/03/25 | 31.92 |
| 55045 | Haitong Int'l Sec – Xiaomi R Bear CBBC May 2021 C | 100,000,000 | 2020/11/16 | 2021/05/25 | 25.00 |
| 54864 | J P Morgan SP BV – Alibaba Group R Bear CBBC Jun 2021 B | 200,000,000 | 2020/11/16 | 2021/06/18 | 50.00 |
| 54856 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 U | 200,000,000 | 2020/11/16 | 2024/01/30 | 50.00 |
| 54858 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/11/16 | 2024/01/30 | 50.00 |
| 54855 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 L | 200,000,000 | 2020/11/16 | 2024/02/28 | 50.00 |
| 54857 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 M | 200,000,000 | 2020/11/16 | 2024/02/28 | 50.00 |
| 54863 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 54860 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 54862 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/11/16 | 2021/03/30 | 50.00 |
| 54859 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/11/16 | 2021/03/30 | 53.40 |
| 54861 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/11/16 | 2021/03/30 | 50.00 |
| 54880 | J P Morgan SP BV – JD.com R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/16 | 2021/06/18 | 10.00 |
| 54881 | J P Morgan SP BV – JD.com R Bull CBBC Jul 2021 B | 200,000,000 | 2020/11/16 | 2021/07/16 | 50.00 |
| 54883 | J P Morgan SP BV – JD.com R Bull CBBC Aug 2021 B | 200,000,000 | 2020/11/16 | 2021/08/20 | 50.00 |
| 54876 | J P Morgan SP BV – JD.com R Bear CBBC Jul 2021 A | 200,000,000 | 2020/11/16 | 2021/07/16 | 50.00 |
| 54867 | J P Morgan SP BV – Meituan R Bear CBBC Jun 2021 C | 200,000,000 | 2020/11/16 | 2021/06/18 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 54882 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2021 A | 200,000,000 | 2020/11/16 | 2021/07/16 | 50.00 |
| 55038 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 Q | 100,000,000 | 2020/11/16 | 2023/09/28 | 25.00 |
| 55037 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 D | 100,000,000 | 2020/11/16 | 2021/04/29 | 25.00 |
| 55155 | SG Issuer – Alibaba Group R Bull CBBC May 2021 P | 80,000,000 | 2020/11/16 | 2021/05/31 | 20.00 |
| 55185 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 C | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.00 |
| 55157 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 Y | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.40 |
| 55158 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 Z | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.00 |
| 55162 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 I | 80,000,000 | 2020/11/16 | 2021/07/30 | 20.00 |
| 55184 | SG Issuer – Alibaba Group R Bear CBBC May 2021 W | 80,000,000 | 2020/11/16 | 2021/05/28 | 20.00 |
| 55186 | SG Issuer – BYD Company R Bull CBBC Oct 2021 A | 120,000,000 | 2020/11/16 | 2021/10/28 | 30.00 |
| 55187 | SG Issuer – China Mobile R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/16 | 2021/11/30 | 25.00 |
| 55188 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 F | 150,000,000 | 2020/11/16 | 2021/08/30 | 37.50 |
| 55119 | SG Issuer – HKEX R Bull CBBC Jul 2021 D | 50,000,000 | 2020/11/16 | 2021/07/30 | 12.50 |
| 55121 | SG Issuer – HSBC R Bear CBBC Jun 2021 F | 100,000,000 | 2020/11/16 | 2021/06/30 | 25.00 |
| 55182 | SG Issuer – HSI R Bull CBBC Oct 2023 Y | 250,000,000 | 2020/11/16 | 2023/10/30 | 62.50 |
| 55183 | SG Issuer – HSI R Bull CBBC Nov 2023 H | 250,000,000 | 2020/11/16 | 2023/11/29 | 62.50 |
| 55205 | SG Issuer – HSI R Bull CBBC Nov 2023 J | 250,000,000 | 2020/11/16 | 2023/11/29 | 62.50 |
| 55175 | SG Issuer – HSI R Bull CBBC Jan 2024 P | 250,000,000 | 2020/11/16 | 2024/01/30 | 62.50 |
| 55177 | SG Issuer – HSI R Bull CBBC Jan 2024 Q | 250,000,000 | 2020/11/16 | 2024/01/30 | 62.50 |
| 55202 | SG Issuer – HSI R Bear CBBC Mar 2021 B | 250,000,000 | 2020/11/16 | 2021/03/30 | 62.50 |
| 55172 | SG Issuer – HSI R Bear CBBC Mar 2021 Z | 250,000,000 | 2020/11/16 | 2021/03/30 | 62.50 |
| 55203 | SG Issuer – HSI R Bear CBBC Apr 2021 Q | 250,000,000 | 2020/11/16 | 2021/04/29 | 62.50 |
| 55204 | SG Issuer – HSI R Bear CBBC May 2021 I | 250,000,000 | 2020/11/16 | 2021/05/28 | 62.50 |
| 55165 | SG Issuer – JD.com R Bull CBBC May 2021 A | 40,000,000 | 2020/11/16 | 2021/05/31 | 10.00 |
| 55166 | SG Issuer – JD.com R Bull CBBC Jun 2021 E | 40,000,000 | 2020/11/16 | 2021/06/30 | 10.80 |
| 55169 | SG Issuer – JD.com R Bull CBBC Jun 2021 F | 40,000,000 | 2020/11/16 | 2021/06/30 | 10.00 |
| 55178 | SG Issuer – JD.com R Bull CBBC Jun 2021 G | 40,000,000 | 2020/11/16 | 2021/06/30 | 10.00 |
| 55170 | SG Issuer – JD.com R Bull CBBC Aug 2021 B | 120,000,000 | 2020/11/16 | 2021/08/30 | 30.00 |
| 55189 | SG Issuer – JD.com R Bull CBBC Sep 2021 A | 120,000,000 | 2020/11/16 | 2021/09/29 | 30.00 |
| 55122 | SG Issuer – Meituan R Bull CBBC May 2021 N | 80,000,000 | 2020/11/16 | 2021/05/31 | 20.00 |
| 55123 | SG Issuer – Meituan R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.00 |
| 55179 | SG Issuer – Meituan R Bull CBBC Jun 2021 B | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.00 |
| 55124 | SG Issuer – Meituan R Bull CBBC Jul 2021 I | 80,000,000 | 2020/11/16 | 2021/07/30 | 21.20 |
| 55194 | SG Issuer – Meituan R Bull CBBC Aug 2021 F | 200,000,000 | 2020/11/16 | 2021/08/30 | 50.00 |
| 55192 | SG Issuer – Meituan R Bear CBBC Jun 2021 N | 80,000,000 | 2020/11/16 | 2021/06/29 | 20.00 |
| 55171 | SG Issuer – NetEase R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/16 | 2021/06/30 | 10.00 |
| 55127 | SG Issuer – SMIC R Bull CBBC Jun 2021 J | 120,000,000 | 2020/11/16 | 2021/06/30 | 30.00 |
| 55132 | SG Issuer – SMIC R Bull CBBC Jun 2021 K | 120,000,000 | 2020/11/16 | 2021/06/30 | 30.00 |
| 55135 | SG Issuer – SMIC R Bull CBBC Aug 2021 E | 120,000,000 | 2020/11/16 | 2021/08/30 | 30.00 |
| 55195 | SG Issuer – SMIC R Bear CBBC Jul 2021 C | 120,000,000 | 2020/11/16 | 2021/07/29 | 30.00 |
| 55180 | SG Issuer – Tencent R Bull CBBC May 2021 L | 80,000,000 | 2020/11/16 | 2021/05/31 | 20.00 |
| 55181 | SG Issuer – Tencent R Bull CBBC Jun 2021 C | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.00 |
| 55136 | SG Issuer – Tencent R Bull CBBC Jun 2021 G | 80,000,000 | 2020/11/16 | 2021/06/30 | 24.00 |
| 55200 | SG Issuer – Tencent R Bull CBBC Jun 2021 H | 80,000,000 | 2020/11/16 | 2021/06/30 | 20.00 |
| 55137 | SG Issuer – Tencent R Bull CBBC Jul 2021 O | 80,000,000 | 2020/11/16 | 2021/07/30 | 30.80 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 55138 | SG Issuer – Tencent R Bull CBBC Jul 2021 P | 80,000,000 | 2020/11/16 | 2021/07/30 | 20.00 |
| 55142 | SG Issuer – Tencent R Bull CBBC Jul 2021 Q | 80,000,000 | 2020/11/16 | 2021/07/30 | 20.00 |
| 55143 | SG Issuer – Tencent R Bull CBBC Aug 2021 I | 200,000,000 | 2020/11/16 | 2021/08/30 | 50.00 |
| 55144 | SG Issuer – Tencent R Bull CBBC Aug 2021 J | 200,000,000 | 2020/11/16 | 2021/08/31 | 50.00 |
| 55198 | SG Issuer – Tencent R Bear CBBC May 2021 F | 80,000,000 | 2020/11/16 | 2021/05/28 | 20.00 |
| 55199 | SG Issuer – Tencent R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/16 | 2021/06/29 | 50.00 |
| 55201 | SG Issuer – Tencent R Bear CBBC Jul 2021 H | 80,000,000 | 2020/11/16 | 2021/07/29 | 31.60 |
| 55146 | SG Issuer – Xiaomi R Bull CBBC May 2021 R | 60,000,000 | 2020/11/16 | 2021/05/31 | 15.00 |
| 55154 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 H | 60,000,000 | 2020/11/16 | 2021/06/30 | 15.00 |
| 54947 | UBS AG – AIA R Bear CBBC Apr 2021 A | 60,000,000 | 2020/11/16 | 2021/04/12 | 15.00 |
| 54949 | UBS AG – AIA R Bear CBBC Jun 2021 A | 60,000,000 | 2020/11/16 | 2021/06/30 | 15.00 |
| 55022 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 W | 80,000,000 | 2020/11/16 | 2021/03/10 | 20.00 |
| 54895 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/16 | 2021/04/12 | 20.00 |
| 54894 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 J | 200,000,000 | 2020/11/16 | 2021/06/25 | 50.00 |
| 54898 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 K | 200,000,000 | 2020/11/16 | 2021/06/28 | 50.00 |
| 54997 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 P | 80,000,000 | 2020/11/16 | 2021/03/22 | 20.00 |
| 55000 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 Q | 80,000,000 | 2020/11/16 | 2021/03/29 | 20.00 |
| 55001 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 I | 200,000,000 | 2020/11/16 | 2021/06/30 | 50.00 |
| 55015 | UBS AG – BYD Company R Bull CBBC Apr 2021 B | 60,000,000 | 2020/11/16 | 2021/04/19 | 15.00 |
| 55016 | UBS AG – BYD Company R Bull CBBC Sep 2021 A | 150,000,000 | 2020/11/16 | 2021/09/30 | 37.50 |
| 55017 | UBS AG – BYD Company R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/16 | 2021/04/12 | 15.00 |
| 54932 | UBS AG – Geely Auto R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/16 | 2021/07/30 | 25.00 |
| 54934 | UBS AG – HKEX R Bull CBBC Apr 2021 L | 50,000,000 | 2020/11/16 | 2021/04/12 | 12.50 |
| 54937 | UBS AG – HKEX R Bear CBBC Apr 2021 J | 50,000,000 | 2020/11/16 | 2021/04/12 | 12.50 |
| 54929 | UBS AG – HSBC R Bull CBBC Sep 2021 K | 150,000,000 | 2020/11/16 | 2021/09/15 | 37.50 |
| 54931 | UBS AG – HSBC R Bull CBBC Sep 2021 L | 150,000,000 | 2020/11/16 | 2021/09/20 | 37.50 |
| 55019 | UBS AG – HSBC R Bear CBBC Jun 2021 I | 100,000,000 | 2020/11/16 | 2021/06/28 | 25.00 |
| 55018 | UBS AG – HSBC R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/16 | 2021/07/26 | 25.00 |
| 54920 | UBS AG – HSI R Bull CBBC Sep 2023 G | 200,000,000 | 2020/11/16 | 2023/09/28 | 50.00 |
| 54921 | UBS AG – HSI R Bull CBBC Sep 2023 H | 200,000,000 | 2020/11/16 | 2023/09/28 | 50.00 |
| 54924 | UBS AG – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/11/16 | 2023/09/28 | 50.00 |
| 54925 | UBS AG – HSI R Bull CBBC Sep 2023 J | 200,000,000 | 2020/11/16 | 2023/09/28 | 50.00 |
| 54919 | UBS AG – HSI R Bull CBBC Sep 2023 Z | 200,000,000 | 2020/11/16 | 2023/09/28 | 50.00 |
| 55011 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 55012 | UBS AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/16 | 2021/02/25 | 50.00 |
| 54969 | UBS AG – JD.com R Bull CBBC Mar 2021 C | 80,000,000 | 2020/11/16 | 2021/03/31 | 20.00 |
| 54986 | UBS AG – JD.com R Bull CBBC Apr 2021 J | 80,000,000 | 2020/11/16 | 2021/04/22 | 21.20 |
| 54988 | UBS AG – JD.com R Bull CBBC Apr 2021 K | 80,000,000 | 2020/11/16 | 2021/04/29 | 29.20 |
| 54989 | UBS AG – JD.com R Bear CBBC Apr 2021 D | 80,000,000 | 2020/11/16 | 2021/04/29 | 22.00 |
| 54899 | UBS AG – Meituan R Bull CBBC Mar 2021 E | 50,000,000 | 2020/11/16 | 2021/03/23 | 12.50 |
| 54990 | UBS AG – Meituan R Bull CBBC Apr 2021 H | 50,000,000 | 2020/11/16 | 2021/04/08 | 12.50 |
| 54900 | UBS AG – Meituan R Bull CBBC Jun 2021 L | 150,000,000 | 2020/11/16 | 2021/06/25 | 37.50 |
| 54906 | UBS AG – Meituan R Bull CBBC Jun 2021 M | 150,000,000 | 2020/11/16 | 2021/06/29 | 37.50 |
| 55004 | UBS AG – Meituan R Bear CBBC Mar 2021 C | 50,000,000 | 2020/11/16 | 2021/03/22 | 12.50 |
| 55005 | UBS AG – Meituan R Bear CBBC Mar 2021 D | 50,000,000 | 2020/11/16 | 2021/03/29 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55006 | UBS AG – Meituan R Bear CBBC Apr 2021 D | 150,000,000 | 2020/11/16 | 2021/04/12 | 37.50 |
| 54959 | UBS AG – Ping An R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/16 | 2021/06/21 | 25.00 |
| 54938 | UBS AG – SMIC R Bull CBBC Aug 2021 I | 100,000,000 | 2020/11/16 | 2021/08/09 | 25.00 |
| 54967 | UBS AG – Sunny Optical R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/16 | 2021/06/30 | 10.00 |
| 54884 | UBS AG – Tencent R Bull CBBC Mar 2021 Z | 40,000,000 | 2020/11/16 | 2021/03/22 | 10.00 |
| 54890 | UBS AG – Tencent R Bull CBBC Apr 2021 W | 40,000,000 | 2020/11/16 | 2021/04/12 | 11.20 |
| 54886 | UBS AG – Tencent R Bull CBBC Jun 2021 A | 200,000,000 | 2020/11/16 | 2021/06/16 | 50.00 |
| 54891 | UBS AG – Tencent R Bull CBBC Jun 2021 C | 40,000,000 | 2020/11/16 | 2021/06/23 | 13.60 |
| 54892 | UBS AG – Tencent R Bull CBBC Jun 2021 D | 200,000,000 | 2020/11/16 | 2021/06/16 | 50.00 |
| 54994 | UBS AG – Tencent R Bear CBBC Mar 2021 E | 40,000,000 | 2020/11/16 | 2021/03/22 | 10.00 |
| 54995 | UBS AG – Tencent R Bear CBBC Mar 2021 F | 40,000,000 | 2020/11/16 | 2021/03/29 | 10.00 |
| 54996 | UBS AG – Tencent R Bear CBBC Apr 2021 Z | 200,000,000 | 2020/11/16 | 2021/04/12 | 50.00 |
| 54914 | UBS AG – Xiaomi R Bull CBBC Apr 2021 Y | 60,000,000 | 2020/11/16 | 2021/04/19 | 15.00 |
| 54917 | UBS AG – Xiaomi R Bull CBBC Jun 2021 X | 200,000,000 | 2020/11/16 | 2021/06/30 | 50.00 |
| 55007 | UBS AG – Xiaomi R Bear CBBC Mar 2021 R | 60,000,000 | 2020/11/16 | 2021/03/22 | 15.00 |
| 55008 | UBS AG – Xiaomi R Bear CBBC Apr 2021 W | 60,000,000 | 2020/11/16 | 2021/04/12 | 15.00 |
| 55224 | Bank Vontobel – AIA R Bull CBBC Nov 2021 B | 80,000,000 | 2020/11/16 | 2021/11/19 | 20.00 |
| 55220 | Bank Vontobel – AIA R Bear CBBC Apr 2021 C | 80,000,000 | 2020/11/16 | 2021/04/16 | 20.00 |
| 55219 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 B | 40,000,000 | 2020/11/16 | 2021/06/04 | 10.00 |
| 55232 | Bank Vontobel – China Mobile R Bull CBBC Nov 2021 D | 60,000,000 | 2020/11/16 | 2021/11/26 | 15.00 |
| 55230 | Bank Vontobel – HSBC R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/16 | 2021/11/12 | 10.00 |
| 55225 | Bank Vontobel – HSBC R Bear CBBC Apr 2021 B | 40,000,000 | 2020/11/16 | 2021/04/30 | 10.00 |
| 55208 | Bank Vontobel – HSI R Bull CBBC Dec 2023 K | 80,000,000 | 2020/11/16 | 2023/12/28 | 20.00 |
| 55210 | Bank Vontobel – HSI R Bull CBBC Dec 2023 M | 80,000,000 | 2020/11/16 | 2023/12/28 | 20.00 |
| 55206 | Bank Vontobel – HSI R Bear CBBC Feb 2021 T | 80,000,000 | 2020/11/16 | 2021/02/25 | 20.00 |
| 55207 | Bank Vontobel – HSI R Bear CBBC Feb 2021 U | 80,000,000 | 2020/11/16 | 2021/02/25 | 20.00 |
| 55234 | Bank Vontobel – Meituan R Bull CBBC Jul 2021 M | 60,000,000 | 2020/11/16 | 2021/07/16 | 15.00 |
| 55212 | Bank Vontobel – Meituan R Bear CBBC Jul 2021 A | 60,000,000 | 2020/11/16 | 2021/07/02 | 16.20 |
| 55233 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 L | 80,000,000 | 2020/11/16 | 2021/11/19 | 20.00 |
| 55211 | Bank Vontobel – Tencent R Bear CBBC Apr 2021 E | 80,000,000 | 2020/11/16 | 2021/04/16 | 20.00 |
| 55217 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 H | 40,000,000 | 2020/11/16 | 2021/11/12 | 10.00 |
| 55214 | Bank Vontobel – Xiaomi R Bear CBBC Jun 2021 F | 40,000,000 | 2020/11/16 | 2021/06/04 | 10.00 |
| 55341 | BOCI Asia Ltd. – AIA R Bull CBBC Feb 2021 B | 60,000,000 | 2020/11/17 | 2021/02/26 | 15.00 |
| 55342 | BOCI Asia Ltd. – AIA R Bear CBBC Feb 2021 A | 60,000,000 | 2020/11/17 | 2021/02/26 | 15.00 |
| 55333 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 A | 150,000,000 | 2020/11/17 | 2023/03/30 | 37.50 |
| 55336 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 B | 150,000,000 | 2020/11/17 | 2023/03/30 | 37.50 |
| 55339 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 S | 150,000,000 | 2020/11/17 | 2021/02/25 | 37.50 |
| 55345 | BOCI Asia Ltd. – JD.com R Bull CBBC Feb 2021 A | 40,000,000 | 2020/11/17 | 2021/02/26 | 10.00 |
| 55343 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 I | 40,000,000 | 2020/11/17 | 2021/02/26 | 10.00 |
| 55348 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 S | 120,000,000 | 2020/11/17 | 2021/02/26 | 30.00 |
| 55349 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 T | 120,000,000 | 2020/11/17 | 2021/02/26 | 30.00 |
| 55350 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 T | 120,000,000 | 2020/11/17 | 2021/02/26 | 30.00 |
| 55351 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 U | 120,000,000 | 2020/11/17 | 2021/02/26 | 30.00 |
| 55570 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 B | 100,000,000 | 2020/11/17 | 2021/09/29 | 25.00 |
| 55565 | Credit Suisse AG – China Mobile R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/17 | 2021/09/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55564 | Credit Suisse AG – Geely Auto R Bull CBBC Sep 2021 D | 100,000,000 | 2020/11/17 | 2021/09/29 | 25.00 |
| 55560 | Credit Suisse AG – HSBC R Bull CBBC Jun 2021 G | 100,000,000 | 2020/11/17 | 2021/06/29 | 25.00 |
| 55562 | Credit Suisse AG – HSBC R Bull CBBC Jun 2021 H | 100,000,000 | 2020/11/17 | 2021/06/29 | 25.00 |
| 55548 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 B | 200,000,000 | 2020/11/17 | 2023/12/28 | 50.00 |
| 55547 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 F | 200,000,000 | 2020/11/17 | 2023/12/28 | 50.00 |
| 55575 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55579 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55580 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55576 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55574 | Credit Suisse AG – JD.com R Bull CBBC Sep 2021 B | 100,000,000 | 2020/11/17 | 2021/09/29 | 25.00 |
| 55573 | Credit Suisse AG – Meituan R Bull CBBC Mar 2021 F | 50,000,000 | 2020/11/17 | 2021/03/30 | 12.50 |
| 55567 | Credit Suisse AG – SMIC R Bear CBBC Apr 2021 H | 50,000,000 | 2020/11/17 | 2021/04/29 | 12.50 |
| 55481 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 M | 60,000,000 | 2020/11/17 | 2021/07/29 | 15.00 |
| 55506 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 Z | 100,000,000 | 2020/11/17 | 2021/08/30 | 25.00 |
| 55517 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 E | 100,000,000 | 2020/11/17 | 2021/08/30 | 25.00 |
| 55498 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Aug 2021 B | 80,000,000 | 2020/11/17 | 2021/08/30 | 20.00 |
| 55457 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 A | 160,000,000 | 2020/11/17 | 2024/02/28 | 40.00 |
| 55466 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 B | 160,000,000 | 2020/11/17 | 2024/02/28 | 40.00 |
| 55456 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Q | 160,000,000 | 2020/11/17 | 2021/02/25 | 40.00 |
| 55470 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 W | 160,000,000 | 2020/11/17 | 2021/02/25 | 40.00 |
| 55473 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Y | 160,000,000 | 2020/11/17 | 2021/02/25 | 40.00 |
| 55475 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 V | 160,000,000 | 2020/11/17 | 2021/04/29 | 40.00 |
| 55499 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/17 | 2021/08/30 | 25.00 |
| 55486 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 T | 60,000,000 | 2020/11/17 | 2021/08/26 | 15.00 |
| 55500 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 U | 100,000,000 | 2020/11/17 | 2021/08/26 | 25.00 |
| 55516 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 V | 60,000,000 | 2020/11/17 | 2021/08/26 | 15.00 |
| 55522 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/17 | 2021/07/30 | 25.00 |
| 55477 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 G | 60,000,000 | 2020/11/17 | 2021/08/27 | 15.00 |
| 55495 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 N | 60,000,000 | 2020/11/17 | 2021/08/27 | 15.00 |
| 55496 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 O | 60,000,000 | 2020/11/17 | 2021/08/27 | 15.00 |
| 55503 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 P | 100,000,000 | 2020/11/17 | 2021/08/27 | 25.00 |
| 55504 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 X | 100,000,000 | 2020/11/17 | 2021/08/26 | 25.00 |
| 55501 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 I | 60,000,000 | 2020/11/17 | 2021/07/30 | 15.00 |
| 55325 | HK Bank – AAC Tech R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/17 | 2021/06/30 | 10.00 |
| 55316 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/17 | 2021/07/26 | 25.00 |
| 55305 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 D | 100,000,000 | 2020/11/17 | 2021/07/05 | 25.00 |
| 55318 | HK Bank – BYD Company R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/17 | 2021/08/09 | 15.00 |
| 55326 | HK Bank – China Mobile R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/17 | 2021/06/30 | 15.00 |
| 55327 | HK Bank – Galaxy Ent R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/17 | 2021/06/30 | 15.00 |
| 55324 | HK Bank – Galaxy Ent R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/17 | 2021/06/30 | 10.00 |
| 55299 | HK Bank – HKEX R Bull CBBC Jun 2021 G | 100,000,000 | 2020/11/17 | 2021/06/30 | 25.00 |
| 55315 | HK Bank – HKEX R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/17 | 2021/07/30 | 25.00 |
| 55302 | HK Bank – HSI R Bull CBBC Sep 2023 H | 80,000,000 | 2020/11/17 | 2023/09/28 | 20.00 |
| 55304 | HK Bank – HSI R Bull CBBC Sep 2023 I | 120,000,000 | 2020/11/17 | 2023/09/28 | 30.00 |
| 55293 | HK Bank – HSI R Bear CBBC Mar 2021 V | 120,000,000 | 2020/11/17 | 2021/03/30 | 30.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 55294 | HK Bank – HSI R Bear CBBC Mar 2021 W | 80,000,000 | 2020/11/17 | 2021/03/30 | 20.00 |
| 55331 | HK Bank – Meituan R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/17 | 2021/07/12 | 25.00 |
| 55329 | HK Bank – Meituan R Bear CBBC Jun 2021 D | 100,000,000 | 2020/11/17 | 2021/06/21 | 25.00 |
| 55330 | HK Bank – Ping An R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/17 | 2021/06/21 | 15.00 |
| 55332 | HK Bank – Tencent R Bull CBBC Jul 2021 M | 100,000,000 | 2020/11/17 | 2021/07/05 | 25.00 |
| 55297 | HK Bank – Tencent R Bear CBBC Jul 2021 G | 100,000,000 | 2020/11/17 | 2021/07/05 | 25.00 |
| 55380 | Haitong Int'l Sec – BYD Company R Bull CBBC Mar 2021 A | 60,000,000 | 2020/11/17 | 2021/03/25 | 15.00 |
| 55381 | Haitong Int'l Sec – BYD Company R Bear CBBC Mar 2021 A | 60,000,000 | 2020/11/17 | 2021/03/25 | 17.46 |
| 55356 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 V | 200,000,000 | 2020/11/17 | 2023/10/30 | 50.00 |
| 55359 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/11/17 | 2021/03/30 | 50.00 |
| 55361 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/11/17 | 2021/03/30 | 50.00 |
| 55362 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/11/17 | 2021/03/30 | 50.00 |
| 55363 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/11/17 | 2021/03/30 | 50.00 |
| 55372 | Haitong Int'l Sec – Meituan R Bull CBBC Apr 2021 C | 60,000,000 | 2020/11/17 | 2021/04/30 | 15.00 |
| 55375 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 H | 60,000,000 | 2020/11/17 | 2021/03/25 | 15.78 |
| 55382 | Haitong Int'l Sec – Sunny Optical R Bull CBBC Apr 2021 A | 60,000,000 | 2020/11/17 | 2021/04/30 | 15.00 |
| 55383 | Haitong Int'l Sec – Sunny Optical R Bear CBBC Mar 2021 A | 60,000,000 | 2020/11/17 | 2021/03/25 | 15.96 |
| 55376 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 V | 60,000,000 | 2020/11/17 | 2021/03/25 | 15.00 |
| 55476 | J P Morgan SP BV – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/11/17 | 2023/11/29 | 50.00 |
| 55480 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 N | 200,000,000 | 2020/11/17 | 2024/02/28 | 50.00 |
| 55482 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55494 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/11/17 | 2021/03/30 | 50.00 |
| 55384 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 N | 100,000,000 | 2020/11/17 | 2023/09/28 | 25.00 |
| 55635 | SG Issuer – AAC Tech R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/17 | 2021/07/30 | 25.00 |
| 55637 | SG Issuer – AIA R Bear CBBC Mar 2021 E | 60,000,000 | 2020/11/17 | 2021/03/31 | 15.00 |
| 55586 | SG Issuer – AIA R Bear CBBC Apr 2021 C | 60,000,000 | 2020/11/17 | 2021/04/30 | 15.00 |
| 55639 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 P | 80,000,000 | 2020/11/17 | 2021/04/30 | 20.00 |
| 55643 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 J | 80,000,000 | 2020/11/17 | 2021/07/30 | 20.00 |
| 55587 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 J | 80,000,000 | 2020/11/17 | 2021/06/29 | 20.00 |
| 55591 | SG Issuer – Alibaba Group R Bear CBBC Jul 2021 D | 200,000,000 | 2020/11/17 | 2021/07/29 | 50.00 |
| 55669 | SG Issuer – BYD Company R Bull CBBC Apr 2021 A | 40,000,000 | 2020/11/17 | 2021/04/30 | 10.00 |
| 55592 | SG Issuer – HKEX R Bear CBBC Feb 2021 E | 50,000,000 | 2020/11/17 | 2021/02/26 | 12.50 |
| 55647 | SG Issuer – HSBC R Bull CBBC Sep 2021 H | 100,000,000 | 2020/11/17 | 2021/09/30 | 25.00 |
| 55664 | SG Issuer – HSI R Bull CBBC Oct 2023 G | 250,000,000 | 2020/11/17 | 2023/10/30 | 62.50 |
| 55627 | SG Issuer – HSI R Bull CBBC Dec 2023 C | 250,000,000 | 2020/11/17 | 2023/12/28 | 62.50 |
| 55633 | SG Issuer – HSI R Bull CBBC Jan 2024 R | 250,000,000 | 2020/11/17 | 2024/01/30 | 62.50 |
| 55634 | SG Issuer – HSI R Bull CBBC Jan 2024 T | 250,000,000 | 2020/11/17 | 2024/01/30 | 62.50 |
| 55665 | SG Issuer – HSI R Bear CBBC Apr 2021 U | 250,000,000 | 2020/11/17 | 2021/04/29 | 62.50 |
| 55663 | SG Issuer – HSI R Bear CBBC May 2021 P | 250,000,000 | 2020/11/17 | 2021/05/28 | 62.50 |
| 55668 | SG Issuer – HSI R Bear CBBC May 2021 Q | 250,000,000 | 2020/11/17 | 2021/05/28 | 62.50 |
| 55649 | SG Issuer – JD.com R Bull CBBC Apr 2021 C | 40,000,000 | 2020/11/17 | 2021/04/30 | 10.00 |
| 55653 | SG Issuer – JD.com R Bull CBBC Apr 2021 D | 40,000,000 | 2020/11/17 | 2021/04/30 | 10.00 |
| 55670 | SG Issuer – JD.com R Bull CBBC Sep 2021 B | 200,000,000 | 2020/11/17 | 2021/09/29 | 50.00 |
| 55600 | SG Issuer – JD.com R Bear CBBC May 2021 A | 120,000,000 | 2020/11/17 | 2021/05/28 | 30.00 |
| 55604 | SG Issuer – JD.com R Bear CBBC Jun 2021 B | 120,000,000 | 2020/11/17 | 2021/06/29 | 30.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 55608 | SG Issuer – JD.com R Bear CBBC Jul 2021 A | 120,000,000 | 2020/11/17 | 2021/07/29 | 30.00 |
| 55654 | SG Issuer – Meituan R Bull CBBC Apr 2021 M | 80,000,000 | 2020/11/17 | 2021/04/30 | 20.00 |
| 55671 | SG Issuer – Meituan R Bull CBBC Apr 2021 O | 80,000,000 | 2020/11/17 | 2021/04/30 | 20.00 |
| 55609 | SG Issuer – Meituan R Bear CBBC Apr 2021 O | 80,000,000 | 2020/11/17 | 2021/04/30 | 20.00 |
| 55610 | SG Issuer – Meituan R Bear CBBC May 2021 I | 80,000,000 | 2020/11/17 | 2021/05/28 | 22.40 |
| 55680 | SG Issuer – NetEase R Bull CBBC Apr 2021 B | 60,000,000 | 2020/11/17 | 2021/04/30 | 15.00 |
| 55683 | SG Issuer – NetEase R Bull CBBC Sep 2021 A | 60,000,000 | 2020/11/17 | 2021/09/29 | 15.00 |
| 55621 | SG Issuer – NetEase R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/17 | 2021/06/29 | 10.00 |
| 55622 | SG Issuer – NetEase R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/17 | 2021/07/29 | 11.00 |
| 55656 | SG Issuer – Tencent R Bull CBBC Apr 2021 M | 80,000,000 | 2020/11/17 | 2021/04/30 | 20.40 |
| 55657 | SG Issuer – Tencent R Bull CBBC Apr 2021 N | 80,000,000 | 2020/11/17 | 2021/04/30 | 20.00 |
| 55658 | SG Issuer – Tencent R Bull CBBC Jun 2021 I | 80,000,000 | 2020/11/17 | 2021/06/30 | 20.00 |
| 55660 | SG Issuer – Tencent R Bull CBBC Jun 2021 J | 80,000,000 | 2020/11/17 | 2021/06/30 | 20.00 |
| 55623 | SG Issuer – Tencent R Bear CBBC Apr 2021 U | 80,000,000 | 2020/11/17 | 2021/04/30 | 26.80 |
| 55624 | SG Issuer – Tencent R Bear CBBC May 2021 H | 80,000,000 | 2020/11/17 | 2021/05/28 | 20.00 |
| 55625 | SG Issuer – Tencent R Bear CBBC Jul 2021 I | 200,000,000 | 2020/11/17 | 2021/07/29 | 50.00 |
| 55662 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 T | 60,000,000 | 2020/11/17 | 2021/04/30 | 15.00 |
| 55626 | SG Issuer – Xiaomi R Bear CBBC Apr 2021 I | 200,000,000 | 2020/11/17 | 2021/04/30 | 50.00 |
| 55392 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 G | 80,000,000 | 2020/11/17 | 2021/04/14 | 20.00 |
| 55393 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 H | 80,000,000 | 2020/11/17 | 2021/04/12 | 20.00 |
| 55396 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 L | 80,000,000 | 2020/11/17 | 2021/04/21 | 20.00 |
| 55399 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 N | 80,000,000 | 2020/11/17 | 2021/04/19 | 20.00 |
| 55434 | UBS AG – Alibaba Group R Bear CBBC Mar 2021 N | 80,000,000 | 2020/11/17 | 2021/03/29 | 20.00 |
| 55436 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 Q | 80,000,000 | 2020/11/17 | 2021/04/12 | 20.00 |
| 55445 | UBS AG – HSI R Bull CBBC Oct 2023 L | 200,000,000 | 2020/11/17 | 2023/10/30 | 50.00 |
| 55448 | UBS AG – HSI R Bull CBBC Oct 2023 M | 200,000,000 | 2020/11/17 | 2023/10/30 | 50.00 |
| 55425 | UBS AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55426 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55427 | UBS AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/17 | 2021/02/25 | 50.00 |
| 55415 | UBS AG – JD.com R Bull CBBC Jun 2021 A | 200,000,000 | 2020/11/17 | 2021/06/30 | 50.00 |
| 55420 | UBS AG – JD.com R Bull CBBC Jun 2021 B | 200,000,000 | 2020/11/17 | 2021/06/30 | 50.00 |
| 55422 | UBS AG – JD.com R Bear CBBC Jun 2021 C | 200,000,000 | 2020/11/17 | 2021/06/21 | 50.00 |
| 55424 | UBS AG – JD.com R Bear CBBC Jun 2021 D | 200,000,000 | 2020/11/17 | 2021/06/21 | 50.00 |
| 55400 | UBS AG – Meituan R Bull CBBC May 2021 R | 50,000,000 | 2020/11/17 | 2021/05/17 | 12.50 |
| 55403 | UBS AG – Meituan R Bull CBBC May 2021 S | 50,000,000 | 2020/11/17 | 2021/05/24 | 12.50 |
| 55408 | UBS AG – Meituan R Bull CBBC May 2021 T | 50,000,000 | 2020/11/17 | 2021/05/27 | 12.50 |
| 55412 | UBS AG – Meituan R Bull CBBC May 2021 U | 50,000,000 | 2020/11/17 | 2021/05/31 | 13.75 |
| 55437 | UBS AG – Meituan R Bear CBBC Apr 2021 C | 50,000,000 | 2020/11/17 | 2021/04/12 | 12.50 |
| 55442 | UBS AG – Meituan R Bear CBBC Apr 2021 J | 50,000,000 | 2020/11/17 | 2021/04/29 | 12.50 |
| 55385 | UBS AG – Tencent R Bull CBBC Mar 2021 A | 40,000,000 | 2020/11/17 | 2021/03/31 | 10.00 |
| 55451 | UBS AG – Tencent R Bull CBBC Mar 2021 B | 40,000,000 | 2020/11/17 | 2021/03/24 | 10.00 |
| 55453 | UBS AG – Tencent R Bull CBBC Mar 2021 C | 40,000,000 | 2020/11/17 | 2021/03/29 | 10.00 |
| 55387 | UBS AG – Tencent R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/17 | 2021/07/08 | 10.00 |
| 55388 | UBS AG – Tencent R Bull CBBC Jul 2021 B | 40,000,000 | 2020/11/17 | 2021/07/14 | 12.40 |
| 55428 | UBS AG – Tencent R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/17 | 2021/04/14 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55430 | UBS AG – Tencent R Bear CBBC Apr 2021 D | 40,000,000 | 2020/11/17 | 2021/04/19 | 10.00 |
| 55431 | UBS AG – Tencent R Bear CBBC Apr 2021 E | 200,000,000 | 2020/11/17 | 2021/04/26 | 50.00 |
| 55413 | UBS AG – Xiaomi R Bull CBBC Jun 2021 Y | 60,000,000 | 2020/11/17 | 2021/06/21 | 15.00 |
| 55414 | UBS AG – Xiaomi R Bull CBBC Jun 2021 Z | 60,000,000 | 2020/11/17 | 2021/06/28 | 15.00 |
| 55443 | UBS AG – Xiaomi R Bear CBBC Mar 2021 S | 60,000,000 | 2020/11/17 | 2021/03/29 | 15.00 |
| 55444 | UBS AG – Xiaomi R Bear CBBC Apr 2021 X | 60,000,000 | 2020/11/17 | 2021/04/19 | 15.00 |
| 55537 | Bank Vontobel – Alibaba Group R Bull CBBC Jul 2021 M | 40,000,000 | 2020/11/17 | 2021/07/02 | 10.00 |
| 55539 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 C | 80,000,000 | 2020/11/17 | 2021/06/11 | 20.00 |
| 55527 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 C | 40,000,000 | 2020/11/17 | 2021/11/19 | 10.00 |
| 55525 | Bank Vontobel – BYD Company R Bear CBBC May 2021 B | 60,000,000 | 2020/11/17 | 2021/05/14 | 15.00 |
| 55545 | Bank Vontobel – CC Bank R Bear CBBC May 2021 B | 40,000,000 | 2020/11/17 | 2021/05/21 | 10.00 |
| 55534 | Bank Vontobel – HSBC R Bull CBBC Nov 2021 C | 40,000,000 | 2020/11/17 | 2021/11/19 | 10.00 |
| 55536 | Bank Vontobel – HSI R Bull CBBC Mar 2023 G | 80,000,000 | 2020/11/17 | 2023/03/30 | 20.00 |
| 55535 | Bank Vontobel – HSI R Bull CBBC Mar 2023 Y | 80,000,000 | 2020/11/17 | 2023/03/30 | 20.00 |
| 55524 | Bank Vontobel – HSI R Bull CBBC Dec 2023 N | 80,000,000 | 2020/11/17 | 2023/12/28 | 20.00 |
| 55523 | Bank Vontobel – HSI R Bear CBBC Feb 2021 Y | 80,000,000 | 2020/11/17 | 2021/02/25 | 20.00 |
| 55546 | Bank Vontobel – HSI R Bear CBBC Feb 2021 Z | 80,000,000 | 2020/11/17 | 2021/02/25 | 20.00 |
| 55540 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 H | 60,000,000 | 2020/11/17 | 2021/11/26 | 15.00 |
| 55541 | Bank Vontobel – Meituan R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/17 | 2021/07/09 | 25.00 |
| 55528 | Bank Vontobel – Ping An R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/17 | 2021/06/25 | 10.00 |
| 55533 | Bank Vontobel – Ping An R Bear CBBC Jun 2021 B | 40,000,000 | 2020/11/17 | 2021/06/18 | 10.00 |
| 55542 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 M | 80,000,000 | 2020/11/17 | 2021/11/26 | 20.00 |
| 55707 | BOCI Asia Ltd. – HKEX R Bear CBBC Feb 2021 C | 40,000,000 | 2020/11/18 | 2021/02/26 | 10.00 |
| 55704 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 C | 150,000,000 | 2020/11/18 | 2023/03/30 | 37.50 |
| 55705 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 T | 150,000,000 | 2020/11/18 | 2021/02/25 | 37.50 |
| 55717 | BOCI Asia Ltd. – JD.com R Bull CBBC Feb 2021 B | 40,000,000 | 2020/11/18 | 2021/02/26 | 10.00 |
| 55718 | BOCI Asia Ltd. – JD.com R Bull CBBC Feb 2021 C | 40,000,000 | 2020/11/18 | 2021/02/26 | 10.00 |
| 55709 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 U | 120,000,000 | 2020/11/18 | 2021/02/26 | 30.00 |
| 55714 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 V | 120,000,000 | 2020/11/18 | 2021/02/26 | 30.00 |
| 55716 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 I | 40,000,000 | 2020/11/18 | 2021/02/26 | 10.00 |
| 55737 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 P | 200,000,000 | 2020/11/18 | 2021/12/30 | 50.00 |
| 55725 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55723 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55767 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55719 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55720 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55721 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55724 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55726 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/18 | 2021/04/29 | 60.00 |
| 55734 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/18 | 2021/04/29 | 76.00 |
| 55754 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 F | 200,000,000 | 2020/11/18 | 2021/09/29 | 50.00 |
| 55758 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 G | 200,000,000 | 2020/11/18 | 2021/09/29 | 50.00 |
| 55762 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 H | 200,000,000 | 2020/11/18 | 2021/09/29 | 50.00 |
| 55763 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 C | 200,000,000 | 2020/11/18 | 2021/12/30 | 50.00 |
| 55752 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 V | 200,000,000 | 2020/11/18 | 2021/06/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55753 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 W | 200,000,000 | 2020/11/18 | 2021/06/29 | 50.00 |
| 55766 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 X | 250,000,000 | 2020/11/18 | 2021/11/29 | 62.50 |
| 55735 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 W | 250,000,000 | 2020/11/18 | 2021/11/29 | 62.50 |
| 55843 | Credit Suisse AG – AAC Tech R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/18 | 2021/07/29 | 20.00 |
| 55842 | Credit Suisse AG – AIA R Bull CBBC Jul 2021 D | 70,000,000 | 2020/11/18 | 2021/07/29 | 17.50 |
| 55808 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 P | 200,000,000 | 2020/11/18 | 2023/11/29 | 50.00 |
| 55806 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 S | 200,000,000 | 2020/11/18 | 2023/11/29 | 50.00 |
| 55810 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/11/18 | 2023/11/29 | 50.00 |
| 55815 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 G | 200,000,000 | 2020/11/18 | 2023/12/28 | 50.00 |
| 55825 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55835 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55836 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55858 | Credit Suisse AG – JD.com R Bull CBBC Mar 2021 C | 100,000,000 | 2020/11/18 | 2021/03/30 | 25.00 |
| 55845 | Credit Suisse AG – JD.com R Bear CBBC May 2021 A | 100,000,000 | 2020/11/18 | 2021/05/28 | 25.00 |
| 55857 | Credit Suisse AG – Meituan R Bull CBBC Feb 2021 O | 50,000,000 | 2020/11/18 | 2021/02/25 | 12.50 |
| 55844 | Credit Suisse AG – Sunny Optical R Bull CBBC Jul 2021 B | 40,000,000 | 2020/11/18 | 2021/07/29 | 10.00 |
| 55847 | Credit Suisse AG – Tencent R Bull CBBC Feb 2021 V | 50,000,000 | 2020/11/18 | 2021/02/25 | 12.50 |
| 55855 | Credit Suisse AG – Xiaomi R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/18 | 2021/07/29 | 25.00 |
| 56166 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 B | 60,000,000 | 2020/11/18 | 2021/08/30 | 15.00 |
| 56164 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 C | 60,000,000 | 2020/11/18 | 2021/08/26 | 15.00 |
| 56129 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 C | 160,000,000 | 2020/11/18 | 2024/02/28 | 40.00 |
| 56134 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 D | 160,000,000 | 2020/11/18 | 2024/02/28 | 40.00 |
| 56135 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 D | 160,000,000 | 2020/11/18 | 2021/02/25 | 40.00 |
| 56128 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Z | 160,000,000 | 2020/11/18 | 2021/02/25 | 40.00 |
| 56137 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 W | 160,000,000 | 2020/11/18 | 2021/04/29 | 40.00 |
| 56153 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 D | 100,000,000 | 2020/11/18 | 2021/08/30 | 25.00 |
| 56154 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 W | 100,000,000 | 2020/11/18 | 2021/08/26 | 25.00 |
| 56157 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 X | 60,000,000 | 2020/11/18 | 2021/08/26 | 15.00 |
| 56148 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 E | 100,000,000 | 2020/11/18 | 2021/08/27 | 25.00 |
| 56150 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 H | 100,000,000 | 2020/11/18 | 2021/08/27 | 25.00 |
| 56141 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Y | 100,000,000 | 2020/11/18 | 2021/08/27 | 25.00 |
| 56147 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Z | 60,000,000 | 2020/11/18 | 2021/08/27 | 15.00 |
| 56159 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 O | 100,000,000 | 2020/11/18 | 2021/08/27 | 25.00 |
| 56155 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 P | 80,000,000 | 2020/11/18 | 2021/07/30 | 20.00 |
| 55687 | HK Bank – AIA R Bear CBBC Jul 2021 B | 40,000,000 | 2020/11/18 | 2021/07/05 | 10.00 |
| 55684 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 G | 100,000,000 | 2020/11/18 | 2021/07/29 | 25.00 |
| 55688 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 E | 100,000,000 | 2020/11/18 | 2021/07/12 | 25.00 |
| 55701 | HK Bank – HSI R Bull CBBC Sep 2023 J | 80,000,000 | 2020/11/18 | 2023/09/28 | 20.00 |
| 55700 | HK Bank – HSI R Bear CBBC Mar 2021 X | 80,000,000 | 2020/11/18 | 2021/03/30 | 20.00 |
| 55695 | HK Bank – JD.com R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/18 | 2021/07/26 | 25.00 |
| 55694 | HK Bank – Meituan R Bull CBBC Jul 2021 G | 100,000,000 | 2020/11/18 | 2021/07/05 | 25.00 |
| 55693 | HK Bank – Meituan R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/18 | 2021/07/05 | 25.00 |
| 55691 | HK Bank – Sunny Optical R Bull CBBC Jul 2021 D | 40,000,000 | 2020/11/18 | 2021/07/19 | 10.00 |
| 55692 | HK Bank – Tencent R Bull CBBC Jul 2021 N | 100,000,000 | 2020/11/18 | 2021/07/26 | 25.00 |
| 55785 | Haitong Int'l Sec – BYD Company R Bull CBBC Feb 2021 A | 60,000,000 | 2020/11/18 | 2021/02/25 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55793 | Haitong Int'l Sec – BYD Company R Bear CBBC Feb 2021 A | 60,000,000 | 2020/11/18 | 2021/02/25 | 23.52 |
| 55768 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 U | 200,000,000 | 2020/11/18 | 2023/09/28 | 50.00 |
| 55770 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/18 | 2021/04/29 | 50.00 |
| 55782 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/18 | 2021/04/29 | 50.00 |
| 55783 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/11/18 | 2021/04/29 | 50.00 |
| 55784 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/11/18 | 2021/04/29 | 53.20 |
| 55796 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 I | 60,000,000 | 2020/11/18 | 2021/03/25 | 15.00 |
| 55804 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 W | 60,000,000 | 2020/11/18 | 2021/03/25 | 15.00 |
| 55805 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 G | 60,000,000 | 2020/11/18 | 2021/03/25 | 22.32 |
| 55945 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 O | 200,000,000 | 2020/11/18 | 2024/02/28 | 50.00 |
| 55951 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55977 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55978 | J P Morgan SP BV – Meituan R Bull CBBC Jun 2021 D | 200,000,000 | 2020/11/18 | 2021/06/18 | 50.00 |
| 55986 | J P Morgan SP BV – Tencent R Bull CBBC Jun 2021 F | 200,000,000 | 2020/11/18 | 2021/06/18 | 50.00 |
| 56042 | SG Issuer – Alibaba Group R Bull CBBC May 2021 O | 80,000,000 | 2020/11/18 | 2021/05/31 | 20.00 |
| 56046 | SG Issuer – Alibaba Group R Bull CBBC May 2021 Q | 80,000,000 | 2020/11/18 | 2021/05/31 | 20.00 |
| 56047 | SG Issuer – Alibaba Group R Bull CBBC Aug 2021 D | 80,000,000 | 2020/11/18 | 2021/08/30 | 20.00 |
| 55994 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 W | 80,000,000 | 2020/11/18 | 2021/04/30 | 20.00 |
| 55999 | SG Issuer – Ali Health R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/18 | 2021/09/29 | 25.00 |
| 56048 | SG Issuer – BYD Company R Bull CBBC Apr 2021 B | 40,000,000 | 2020/11/18 | 2021/04/30 | 10.00 |
| 56000 | SG Issuer – BYD Company R Bull CBBC Oct 2021 B | 120,000,000 | 2020/11/18 | 2021/10/28 | 30.00 |
| 56001 | SG Issuer – HSBC R Bull CBBC Sep 2021 I | 100,000,000 | 2020/11/18 | 2021/09/29 | 25.00 |
| 56096 | SG Issuer – HSI R Bull CBBC Oct 2023 Q | 250,000,000 | 2020/11/18 | 2023/10/30 | 62.50 |
| 56103 | SG Issuer – HSI R Bull CBBC Oct 2023 R | 250,000,000 | 2020/11/18 | 2023/10/30 | 62.50 |
| 56018 | SG Issuer – HSI R Bull CBBC Nov 2023 M | 250,000,000 | 2020/11/18 | 2023/11/29 | 62.50 |
| 56034 | SG Issuer – HSI R Bull CBBC Nov 2023 X | 250,000,000 | 2020/11/18 | 2023/11/29 | 62.50 |
| 56108 | SG Issuer – HSI R Bull CBBC Jan 2024 U | 250,000,000 | 2020/11/18 | 2024/01/30 | 62.50 |
| 56091 | SG Issuer – HSI R Bear CBBC Apr 2021 F | 250,000,000 | 2020/11/18 | 2021/04/29 | 62.50 |
| 56083 | SG Issuer – HSI R Bear CBBC Apr 2021 V | 250,000,000 | 2020/11/18 | 2021/04/29 | 62.50 |
| 56085 | SG Issuer – HSI R Bear CBBC May 2021 R | 250,000,000 | 2020/11/18 | 2021/05/28 | 62.50 |
| 56094 | SG Issuer – HSI R Bear CBBC May 2021 S | 250,000,000 | 2020/11/18 | 2021/05/28 | 62.50 |
| 56112 | SG Issuer – JD.com R Bull CBBC Apr 2021 E | 60,000,000 | 2020/11/18 | 2021/04/30 | 15.00 |
| 56120 | SG Issuer – JD.com R Bull CBBC Apr 2021 F | 60,000,000 | 2020/11/18 | 2021/04/30 | 15.00 |
| 56053 | SG Issuer – JD.com R Bull CBBC Aug 2021 C | 60,000,000 | 2020/11/18 | 2021/08/30 | 15.00 |
| 56003 | SG Issuer – JD.com R Bear CBBC Apr 2021 A | 80,000,000 | 2020/11/18 | 2021/04/30 | 20.00 |
| 56054 | SG Issuer – JD.com R Bear CBBC Aug 2021 A | 200,000,000 | 2020/11/18 | 2021/08/30 | 50.00 |
| 56058 | SG Issuer – Meituan R Bull CBBC Jun 2021 D | 200,000,000 | 2020/11/18 | 2021/06/30 | 50.00 |
| 56059 | SG Issuer – Meituan R Bull CBBC Jun 2021 E | 80,000,000 | 2020/11/18 | 2021/06/30 | 20.00 |
| 56125 | SG Issuer – Meituan R Bull CBBC Jun 2021 F | 80,000,000 | 2020/11/18 | 2021/06/30 | 20.00 |
| 56009 | SG Issuer – Meituan R Bear CBBC May 2021 J | 80,000,000 | 2020/11/18 | 2021/05/28 | 20.00 |
| 56010 | SG Issuer – Meituan R Bear CBBC Jul 2021 E | 200,000,000 | 2020/11/18 | 2021/07/29 | 50.00 |
| 56062 | SG Issuer – NetEase R Bull CBBC May 2021 A | 60,000,000 | 2020/11/18 | 2021/05/31 | 15.00 |
| 56064 | SG Issuer – SMIC R Bull CBBC May 2021 I | 120,000,000 | 2020/11/18 | 2021/05/31 | 30.00 |
| 56076 | SG Issuer – Tencent R Bull CBBC Mar 2021 S | 80,000,000 | 2020/11/18 | 2021/03/31 | 20.00 |
| 56077 | SG Issuer – Tencent R Bull CBBC Apr 2021 S | 80,000,000 | 2020/11/18 | 2021/04/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56126 | SG Issuer – Tencent R Bull CBBC Jun 2021 K | 80,000,000 | 2020/11/18 | 2021/06/30 | 20.00 |
| 56011 | SG Issuer – Tencent R Bear CBBC May 2021 I | 80,000,000 | 2020/11/18 | 2021/05/28 | 20.80 |
| 56012 | SG Issuer – Tencent R Bear CBBC Jul 2021 J | 80,000,000 | 2020/11/18 | 2021/07/29 | 20.00 |
| 56080 | SG Issuer – Tencent R Bear CBBC Aug 2021 D | 200,000,000 | 2020/11/18 | 2021/08/31 | 50.00 |
| 56082 | SG Issuer – Xiaomi R Bull CBBC May 2021 S | 200,000,000 | 2020/11/18 | 2021/05/31 | 50.00 |
| 55902 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 P | 80,000,000 | 2020/11/18 | 2021/04/12 | 20.00 |
| 55903 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 R | 80,000,000 | 2020/11/18 | 2021/04/26 | 20.00 |
| 55888 | UBS AG – HSI R Bull CBBC Oct 2023 J | 200,000,000 | 2020/11/18 | 2023/10/30 | 50.00 |
| 55918 | UBS AG – HSI R Bull CBBC Oct 2023 K | 200,000,000 | 2020/11/18 | 2023/10/30 | 50.00 |
| 55907 | UBS AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55904 | UBS AG – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55905 | UBS AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55906 | UBS AG – HSI R Bear CBBC Feb 2021 P | 200,000,000 | 2020/11/18 | 2021/02/25 | 50.00 |
| 55915 | UBS AG – Meituan R Bull CBBC Mar 2021 K | 50,000,000 | 2020/11/18 | 2021/03/22 | 12.50 |
| 55916 | UBS AG – Meituan R Bull CBBC Mar 2021 L | 50,000,000 | 2020/11/18 | 2021/03/23 | 12.50 |
| 55917 | UBS AG – Meituan R Bull CBBC Apr 2021 K | 50,000,000 | 2020/11/18 | 2021/04/26 | 12.50 |
| 55881 | UBS AG – Meituan R Bear CBBC Mar 2021 E | 50,000,000 | 2020/11/18 | 2021/03/22 | 12.50 |
| 55883 | UBS AG – Meituan R Bear CBBC Mar 2021 G | 50,000,000 | 2020/11/18 | 2021/03/29 | 12.50 |
| 55891 | UBS AG – Meituan R Bear CBBC Mar 2021 I | 50,000,000 | 2020/11/18 | 2021/03/24 | 12.50 |
| 55908 | UBS AG – Tencent R Bull CBBC Mar 2021 D | 40,000,000 | 2020/11/18 | 2021/03/22 | 10.00 |
| 55911 | UBS AG – Tencent R Bull CBBC Mar 2021 W | 40,000,000 | 2020/11/18 | 2021/03/23 | 10.00 |
| 55912 | UBS AG – Tencent R Bull CBBC Apr 2021 C | 40,000,000 | 2020/11/18 | 2021/04/26 | 10.00 |
| 55914 | UBS AG – Tencent R Bull CBBC Apr 2021 D | 40,000,000 | 2020/11/18 | 2021/04/29 | 10.00 |
| 55862 | UBS AG – Tencent R Bear CBBC Mar 2021 H | 40,000,000 | 2020/11/18 | 2021/03/10 | 10.00 |
| 55879 | UBS AG – Tencent R Bear CBBC Mar 2021 J | 40,000,000 | 2020/11/18 | 2021/03/22 | 10.00 |
| 55889 | UBS AG – Tencent R Bear CBBC Mar 2021 P | 40,000,000 | 2020/11/18 | 2021/03/24 | 10.00 |
| 55920 | UBS AG – Xiaomi R Bull CBBC Apr 2021 Z | 60,000,000 | 2020/11/18 | 2021/04/12 | 15.00 |
| 55884 | UBS AG – Xiaomi R Bear CBBC Mar 2021 T | 60,000,000 | 2020/11/18 | 2021/03/29 | 15.00 |
| 55933 | Bank Vontobel – HSI R Bull CBBC Dec 2023 E | 80,000,000 | 2020/11/18 | 2023/12/28 | 20.00 |
| 55937 | Bank Vontobel – HSI R Bull CBBC Dec 2023 F | 80,000,000 | 2020/11/18 | 2023/12/28 | 20.00 |
| 55925 | Bank Vontobel – HSI R Bear CBBC Feb 2021 A | 80,000,000 | 2020/11/18 | 2021/02/25 | 20.00 |
| 55928 | Bank Vontobel – HSI R Bear CBBC Feb 2021 K | 80,000,000 | 2020/11/18 | 2021/02/25 | 20.00 |
| 55930 | Bank Vontobel – HSI R Bear CBBC Feb 2021 M | 80,000,000 | 2020/11/18 | 2021/02/25 | 20.00 |
| 55943 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 I | 60,000,000 | 2020/11/18 | 2021/11/19 | 15.00 |
| 55938 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 N | 80,000,000 | 2020/11/18 | 2021/11/19 | 20.00 |
| 55939 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 I | 40,000,000 | 2020/11/18 | 2021/11/26 | 10.00 |
| 56190 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 D | 150,000,000 | 2020/11/19 | 2023/03/30 | 37.50 |
| 56191 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 U | 150,000,000 | 2020/11/19 | 2021/02/25 | 37.50 |
| 56194 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 V | 150,000,000 | 2020/11/19 | 2021/02/25 | 37.50 |
| 56199 | BOCI Asia Ltd. – JD.com R Bull CBBC Feb 2021 D | 40,000,000 | 2020/11/19 | 2021/02/26 | 10.00 |
| 56201 | BOCI Asia Ltd. – JD.com R Bull CBBC Feb 2021 E | 40,000,000 | 2020/11/19 | 2021/02/26 | 10.00 |
| 56197 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 J | 40,000,000 | 2020/11/19 | 2021/02/26 | 10.00 |
| 56195 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 J | 40,000,000 | 2020/11/19 | 2021/02/26 | 10.00 |
| 56277 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 G | 200,000,000 | 2020/11/19 | 2021/11/29 | 50.00 |
| 56285 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 Q | 200,000,000 | 2020/11/19 | 2021/12/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56250 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 L | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56251 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 M | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56254 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 N | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56268 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 O | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56271 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 P | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56272 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Q | 190,000,000 | 2020/11/19 | 2023/09/28 | 47.50 |
| 56261 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56274 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 C | 240,000,000 | 2020/11/19 | 2021/02/25 | 60.00 |
| 56257 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56291 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 I | 200,000,000 | 2020/11/19 | 2021/09/29 | 50.00 |
| 56298 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 D | 200,000,000 | 2020/11/19 | 2021/12/30 | 50.00 |
| 56300 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 Y | 250,000,000 | 2020/11/19 | 2021/11/29 | 62.50 |
| 56303 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 F | 250,000,000 | 2020/11/19 | 2021/12/30 | 62.50 |
| 56423 | Credit Suisse AG – AIA R Bull CBBC Sep 2021 A | 70,000,000 | 2020/11/19 | 2021/09/29 | 17.50 |
| 56410 | Credit Suisse AG – Alibaba Group R Bull CBBC Feb 2021 S | 50,000,000 | 2020/11/19 | 2021/02/25 | 12.50 |
| 56413 | Credit Suisse AG – Alibaba Group R Bear CBBC May 2021 B | 100,000,000 | 2020/11/19 | 2021/05/28 | 25.00 |
| 56417 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 C | 100,000,000 | 2020/11/19 | 2021/09/29 | 25.00 |
| 56421 | Credit Suisse AG – BYD Company R Bear CBBC Sep 2021 B | 100,000,000 | 2020/11/19 | 2021/09/29 | 25.00 |
| 56429 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 V | 200,000,000 | 2020/11/19 | 2023/10/30 | 50.00 |
| 56430 | Credit Suisse AG – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/11/19 | 2023/10/30 | 50.00 |
| 56428 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Y | 200,000,000 | 2020/11/19 | 2023/11/29 | 50.00 |
| 56401 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56399 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56425 | Credit Suisse AG – JD.com R Bull CBBC Apr 2021 C | 100,000,000 | 2020/11/19 | 2021/04/29 | 25.00 |
| 56424 | Credit Suisse AG – JD.com R Bear CBBC Apr 2021 C | 100,000,000 | 2020/11/19 | 2021/04/29 | 25.00 |
| 56426 | Credit Suisse AG – Meituan R Bull CBBC Mar 2021 G | 50,000,000 | 2020/11/19 | 2021/03/30 | 12.50 |
| 56407 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 K | 50,000,000 | 2020/11/19 | 2021/03/30 | 12.50 |
| 56167 | Bank of East Asia – Alibaba Group R Bull CBBC Apr 2021 B | 40,000,000 | 2020/11/19 | 2021/04/20 | 10.00 |
| 56172 | Bank of East Asia – Tencent R Bull CBBC Apr 2021 B | 120,000,000 | 2020/11/19 | 2021/04/20 | 30.00 |
| 56177 | Bank of East Asia – Tencent R Bull CBBC Apr 2021 C | 30,000,000 | 2020/11/19 | 2021/04/20 | 10.50 |
| 56179 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 H | 80,000,000 | 2020/11/19 | 2021/07/22 | 20.00 |
| 56180 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 I | 80,000,000 | 2020/11/19 | 2021/07/22 | 20.00 |
| 56563 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 D | 60,000,000 | 2020/11/19 | 2021/08/30 | 15.00 |
| 56572 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 E | 60,000,000 | 2020/11/19 | 2021/08/30 | 15.00 |
| 56580 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 F | 100,000,000 | 2020/11/19 | 2021/08/30 | 25.00 |
| 56589 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 W | 100,000,000 | 2020/11/19 | 2021/07/29 | 25.00 |
| 56562 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 E | 160,000,000 | 2020/11/19 | 2024/02/28 | 40.00 |
| 56575 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 F | 160,000,000 | 2020/11/19 | 2024/02/28 | 40.00 |
| 56578 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 G | 160,000,000 | 2020/11/19 | 2024/02/28 | 40.00 |
| 56579 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 H | 160,000,000 | 2020/11/19 | 2024/02/28 | 40.00 |
| 56558 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 E | 160,000,000 | 2020/11/19 | 2021/02/25 | 40.00 |
| 56561 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 G | 160,000,000 | 2020/11/19 | 2021/02/25 | 40.00 |
| 56576 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 V | 160,000,000 | 2020/11/19 | 2021/02/25 | 40.00 |
| 56577 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 O | 160,000,000 | 2020/11/19 | 2021/04/29 | 40.00 |
| 56583 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 Y | 60,000,000 | 2020/11/19 | 2021/08/26 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56585 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 Z | 100,000,000 | 2020/11/19 | 2021/08/26 | 25.00 |
| 56586 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 I | 100,000,000 | 2020/11/19 | 2021/07/30 | 25.00 |
| 56564 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 I | 60,000,000 | 2020/11/19 | 2021/08/27 | 15.00 |
| 56570 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 J | 60,000,000 | 2020/11/19 | 2021/08/27 | 15.00 |
| 56587 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 K | 60,000,000 | 2020/11/19 | 2021/08/27 | 15.00 |
| 56588 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 P | 60,000,000 | 2020/11/19 | 2021/08/27 | 15.00 |
| 56582 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 L | 60,000,000 | 2020/11/19 | 2021/07/30 | 15.00 |
| 56590 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Z | 100,000,000 | 2020/11/19 | 2021/07/30 | 25.00 |
| 56228 | HK Bank – AIA R Bull CBBC Jul 2021 D | 60,000,000 | 2020/11/19 | 2021/07/05 | 15.00 |
| 56209 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 H | 100,000,000 | 2020/11/19 | 2021/07/12 | 25.00 |
| 56215 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 E | 100,000,000 | 2020/11/19 | 2021/08/23 | 25.00 |
| 56217 | HK Bank – Alibaba Group R Bear CBBC Jun 2021 C | 100,000,000 | 2020/11/19 | 2021/06/21 | 25.00 |
| 56218 | HK Bank – HKEX R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/19 | 2021/07/19 | 25.00 |
| 56234 | HK Bank – HSI R Bull CBBC Sep 2023 K | 80,000,000 | 2020/11/19 | 2023/09/28 | 20.00 |
| 56236 | HK Bank – HSI R Bull CBBC Sep 2023 L | 100,000,000 | 2020/11/19 | 2023/09/28 | 25.00 |
| 56235 | HK Bank – HSI R Bear CBBC Mar 2021 Y | 80,000,000 | 2020/11/19 | 2021/03/30 | 20.00 |
| 56233 | HK Bank – HSI R Bear CBBC Apr 2021 A | 80,000,000 | 2020/11/19 | 2021/04/29 | 20.00 |
| 56229 | HK Bank – JD.com R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/19 | 2021/07/19 | 25.00 |
| 56216 | HK Bank – Meituan R Bull CBBC Jul 2021 H | 100,000,000 | 2020/11/19 | 2021/07/05 | 25.00 |
| 56224 | HK Bank – Meituan R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/19 | 2021/07/12 | 25.00 |
| 56207 | HK Bank – Tencent R Bull CBBC Jul 2021 O | 100,000,000 | 2020/11/19 | 2021/07/19 | 25.00 |
| 56220 | HK Bank – Xiaomi R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/19 | 2021/06/28 | 25.00 |
| 56432 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 O | 200,000,000 | 2020/11/19 | 2023/11/29 | 50.00 |
| 56434 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 E | 200,000,000 | 2020/11/19 | 2023/12/28 | 50.00 |
| 56440 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56435 | Haitong Int'l Sec – Meituan R Bull CBBC Feb 2021 Q | 60,000,000 | 2020/11/19 | 2021/02/25 | 15.00 |
| 56436 | Haitong Int'l Sec – Meituan R Bear CBBC Apr 2021 D | 60,000,000 | 2020/11/19 | 2021/04/30 | 15.00 |
| 56437 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jun 2021 D | 100,000,000 | 2020/11/19 | 2021/06/25 | 25.00 |
| 56439 | Haitong Int'l Sec – Xiaomi R Bear CBBC May 2021 D | 100,000,000 | 2020/11/19 | 2021/05/25 | 25.00 |
| 56550 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 H | 200,000,000 | 2020/11/19 | 2024/01/30 | 50.00 |
| 56555 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/11/19 | 2024/01/30 | 50.00 |
| 56546 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56549 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56551 | J P Morgan SP BV – JD.com R Bull CBBC Jun 2021 C | 200,000,000 | 2020/11/19 | 2021/06/18 | 50.00 |
| 56554 | J P Morgan SP BV – JD.com R Bull CBBC Jul 2021 C | 200,000,000 | 2020/11/19 | 2021/07/16 | 50.00 |
| 56553 | J P Morgan SP BV – Meituan R Bull CBBC Jul 2021 B | 50,000,000 | 2020/11/19 | 2021/07/16 | 12.50 |
| 56242 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 F | 100,000,000 | 2020/11/19 | 2023/10/30 | 25.00 |
| 56181 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 K | 100,000,000 | 2020/11/19 | 2021/03/30 | 25.00 |
| 56241 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 E | 100,000,000 | 2020/11/19 | 2021/04/29 | 25.00 |
| 56446 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/11/19 | 2021/04/30 | 20.00 |
| 56442 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 R | 80,000,000 | 2020/11/19 | 2021/04/30 | 20.00 |
| 56452 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 A | 80,000,000 | 2020/11/19 | 2021/06/30 | 20.00 |
| 56489 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 X | 80,000,000 | 2020/11/19 | 2021/04/30 | 20.00 |
| 56495 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 K | 200,000,000 | 2020/11/19 | 2021/06/29 | 50.00 |
| 56459 | SG Issuer – BYD Company R Bull CBBC Apr 2021 C | 40,000,000 | 2020/11/19 | 2021/04/30 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56462 | SG Issuer – HKEX R Bull CBBC Jun 2021 K | 50,000,000 | 2020/11/19 | 2021/06/30 | 12.50 |
| 56512 | SG Issuer – HSI R Bull CBBC Oct 2023 A | 250,000,000 | 2020/11/19 | 2023/10/30 | 62.50 |
| 56509 | SG Issuer – HSI R Bull CBBC Oct 2023 W | 250,000,000 | 2020/11/19 | 2023/10/30 | 62.50 |
| 56513 | SG Issuer – HSI R Bull CBBC Nov 2023 K | 250,000,000 | 2020/11/19 | 2023/11/29 | 62.50 |
| 56522 | SG Issuer – HSI R Bull CBBC Nov 2023 R | 250,000,000 | 2020/11/19 | 2023/11/29 | 62.50 |
| 56480 | SG Issuer – HSI R Bear CBBC Mar 2021 C | 250,000,000 | 2020/11/19 | 2021/03/30 | 62.50 |
| 56485 | SG Issuer – HSI R Bear CBBC Mar 2021 F | 250,000,000 | 2020/11/19 | 2021/03/30 | 62.50 |
| 56506 | SG Issuer – HSI R Bear CBBC Apr 2021 G | 250,000,000 | 2020/11/19 | 2021/04/29 | 62.50 |
| 56482 | SG Issuer – HSI R Bear CBBC May 2021 T | 250,000,000 | 2020/11/19 | 2021/05/28 | 62.50 |
| 56484 | SG Issuer – HSI R Bear CBBC May 2021 U | 250,000,000 | 2020/11/19 | 2021/05/28 | 62.50 |
| 56523 | SG Issuer – JD.com R Bull CBBC Apr 2021 G | 60,000,000 | 2020/11/19 | 2021/04/30 | 15.00 |
| 56496 | SG Issuer – JD.com R Bull CBBC Jun 2021 H | 60,000,000 | 2020/11/19 | 2021/06/30 | 15.00 |
| 56540 | SG Issuer – JD.com R Bull CBBC Jun 2021 I | 200,000,000 | 2020/11/19 | 2021/06/30 | 50.00 |
| 56532 | SG Issuer – JD.com R Bear CBBC May 2021 B | 200,000,000 | 2020/11/19 | 2021/05/28 | 50.00 |
| 56466 | SG Issuer – JD.com R Bear CBBC Jun 2021 C | 200,000,000 | 2020/11/19 | 2021/06/29 | 50.00 |
| 56469 | SG Issuer – JD.com R Bear CBBC Jul 2021 B | 200,000,000 | 2020/11/19 | 2021/07/29 | 50.00 |
| 56542 | SG Issuer – Meituan R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/11/19 | 2021/04/30 | 20.00 |
| 56499 | SG Issuer – Meituan R Bull CBBC May 2021 O | 80,000,000 | 2020/11/19 | 2021/05/31 | 20.00 |
| 56500 | SG Issuer – Meituan R Bull CBBC Jun 2021 C | 80,000,000 | 2020/11/19 | 2021/06/30 | 20.00 |
| 56471 | SG Issuer – Meituan R Bear CBBC Apr 2021 P | 80,000,000 | 2020/11/19 | 2021/04/30 | 20.00 |
| 56497 | SG Issuer – Meituan R Bear CBBC Apr 2021 Q | 80,000,000 | 2020/11/19 | 2021/04/30 | 20.00 |
| 56472 | SG Issuer – Meituan R Bear CBBC May 2021 K | 80,000,000 | 2020/11/19 | 2021/05/28 | 20.00 |
| 56474 | SG Issuer – Sunny Optical R Bull CBBC Apr 2021 A | 60,000,000 | 2020/11/19 | 2021/04/30 | 15.00 |
| 56477 | SG Issuer – Tencent R Bull CBBC May 2021 M | 200,000,000 | 2020/11/19 | 2021/05/31 | 50.00 |
| 56478 | SG Issuer – Tencent R Bull CBBC May 2021 N | 80,000,000 | 2020/11/19 | 2021/05/31 | 20.00 |
| 56503 | SG Issuer – Tencent R Bull CBBC May 2021 U | 80,000,000 | 2020/11/19 | 2021/05/31 | 22.80 |
| 56479 | SG Issuer – Tencent R Bull CBBC Jun 2021 N | 80,000,000 | 2020/11/19 | 2021/06/30 | 20.00 |
| 56502 | SG Issuer – Tencent R Bear CBBC May 2021 L | 80,000,000 | 2020/11/19 | 2021/05/28 | 20.00 |
| 56505 | SG Issuer – Tencent R Bear CBBC Jun 2021 E | 80,000,000 | 2020/11/19 | 2021/06/29 | 20.00 |
| 56543 | SG Issuer – Xiaomi R Bull CBBC May 2021 T | 200,000,000 | 2020/11/19 | 2021/05/31 | 50.00 |
| 56315 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 Y | 80,000,000 | 2020/11/19 | 2021/03/10 | 20.00 |
| 56316 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 L | 200,000,000 | 2020/11/19 | 2021/06/28 | 50.00 |
| 56354 | UBS AG – HSI R Bull CBBC Sep 2023 K | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56356 | UBS AG – HSI R Bull CBBC Sep 2023 L | 200,000,000 | 2020/11/19 | 2023/09/28 | 50.00 |
| 56330 | UBS AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56332 | UBS AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56336 | UBS AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56352 | UBS AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/19 | 2021/02/25 | 50.00 |
| 56338 | UBS AG – Meituan R Bull CBBC Apr 2021 M | 50,000,000 | 2020/11/19 | 2021/04/20 | 12.50 |
| 56341 | UBS AG – Meituan R Bull CBBC Apr 2021 N | 150,000,000 | 2020/11/19 | 2021/04/27 | 37.50 |
| 56348 | UBS AG – Meituan R Bull CBBC Apr 2021 O | 50,000,000 | 2020/11/19 | 2021/04/13 | 12.50 |
| 56321 | UBS AG – Meituan R Bear CBBC Apr 2021 F | 50,000,000 | 2020/11/19 | 2021/04/12 | 12.50 |
| 56323 | UBS AG – Meituan R Bear CBBC Apr 2021 H | 150,000,000 | 2020/11/19 | 2021/04/26 | 37.50 |
| 56304 | UBS AG – Tencent R Bull CBBC Mar 2021 Y | 40,000,000 | 2020/11/19 | 2021/03/22 | 10.00 |
| 56305 | UBS AG – Tencent R Bull CBBC Apr 2021 L | 40,000,000 | 2020/11/19 | 2021/04/19 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56314 | UBS AG – Tencent R Bull CBBC Apr 2021 P | 40,000,000 | 2020/11/19 | 2021/04/26 | 10.00 |
| 56337 | UBS AG – Tencent R Bear CBBC Apr 2021 F | 40,000,000 | 2020/11/19 | 2021/04/13 | 10.00 |
| 56351 | UBS AG – Xiaomi R Bull CBBC Apr 2021 B | 60,000,000 | 2020/11/19 | 2021/04/13 | 15.00 |
| 56324 | UBS AG – Xiaomi R Bear CBBC Apr 2021 Y | 60,000,000 | 2020/11/19 | 2021/04/12 | 15.00 |
| 56382 | Bank Vontobel – AAC Tech R Bear CBBC May 2021 C | 40,000,000 | 2020/11/19 | 2021/05/21 | 10.00 |
| 56391 | Bank Vontobel – Alibaba Group R Bull CBBC Jun 2021 R | 40,000,000 | 2020/11/19 | 2021/06/25 | 10.00 |
| 56390 | Bank Vontobel – HSI R Bull CBBC Sep 2023 A | 80,000,000 | 2020/11/19 | 2023/09/28 | 20.00 |
| 56365 | Bank Vontobel – HSI R Bear CBBC Feb 2021 W | 80,000,000 | 2020/11/19 | 2021/02/25 | 20.00 |
| 56373 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 J | 60,000,000 | 2020/11/19 | 2021/11/19 | 15.00 |
| 56387 | Bank Vontobel – Tencent R Bear CBBC Apr 2021 F | 80,000,000 | 2020/11/19 | 2021/04/16 | 20.00 |
| 56366 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 J | 40,000,000 | 2020/11/19 | 2021/11/19 | 10.00 |
| 56627 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 E | 150,000,000 | 2020/11/20 | 2023/03/30 | 37.50 |
| 56628 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 W | 150,000,000 | 2020/11/20 | 2021/02/25 | 37.50 |
| 56730 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/11/20 | 2023/09/28 | 50.00 |
| 56622 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56626 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56731 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 H | 240,000,000 | 2020/11/20 | 2021/02/25 | 60.00 |
| 56807 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 O | 100,000,000 | 2020/11/20 | 2021/07/29 | 25.00 |
| 56821 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 F | 200,000,000 | 2020/11/20 | 2023/09/28 | 50.00 |
| 56809 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 Z | 200,000,000 | 2020/11/20 | 2023/09/28 | 50.00 |
| 56803 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 S | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56804 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56808 | Credit Suisse AG – NetEase R Bear CBBC Apr 2021 A | 50,000,000 | 2020/11/20 | 2021/04/29 | 12.50 |
| 56806 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 L | 100,000,000 | 2020/11/20 | 2021/03/30 | 25.00 |
| 56801 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/20 | 2021/08/30 | 15.00 |
| 56787 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/20 | 2021/08/30 | 25.00 |
| 56751 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 F | 60,000,000 | 2020/11/20 | 2021/08/30 | 15.00 |
| 56774 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/20 | 2021/08/30 | 25.00 |
| 56775 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 I | 160,000,000 | 2020/11/20 | 2024/02/28 | 40.00 |
| 56778 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 J | 160,000,000 | 2020/11/20 | 2024/02/28 | 40.00 |
| 56779 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 K | 160,000,000 | 2020/11/20 | 2024/02/28 | 40.00 |
| 56781 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 L | 200,000,000 | 2020/11/20 | 2024/02/28 | 50.00 |
| 56732 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 K | 160,000,000 | 2020/11/20 | 2021/02/25 | 40.00 |
| 56739 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 L | 160,000,000 | 2020/11/20 | 2021/02/25 | 40.00 |
| 56785 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 E | 100,000,000 | 2020/11/20 | 2021/08/30 | 25.00 |
| 56802 | Goldman Sachs SP (Asia) – JD.com R Bear CBBC Aug 2021 A | 100,000,000 | 2020/11/20 | 2021/08/30 | 25.00 |
| 56782 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/20 | 2021/07/30 | 25.00 |
| 56742 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/20 | 2021/08/26 | 25.00 |
| 56750 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 E | 60,000,000 | 2020/11/20 | 2021/08/26 | 15.00 |
| 56758 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 L | 60,000,000 | 2020/11/20 | 2021/08/27 | 15.00 |
| 56760 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 M | 100,000,000 | 2020/11/20 | 2021/08/27 | 25.00 |
| 56764 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Q | 60,000,000 | 2020/11/20 | 2021/08/27 | 15.00 |
| 56789 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 R | 60,000,000 | 2020/11/20 | 2021/08/27 | 15.00 |
| 56792 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 S | 60,000,000 | 2020/11/20 | 2021/08/27 | 15.00 |
| 56800 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 Q | 100,000,000 | 2020/11/20 | 2021/08/27 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56611 | HK Bank – China Life R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/20 | 2021/08/06 | 25.00 |
| 56610 | HK Bank – Geely Auto R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/20 | 2021/06/30 | 15.00 |
| 56591 | HK Bank – HKEX R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/20 | 2021/07/16 | 25.00 |
| 56619 | HK Bank – HSI R Bull CBBC Sep 2023 M | 80,000,000 | 2020/11/20 | 2023/09/28 | 20.00 |
| 56612 | HK Bank – HSI R Bear CBBC Mar 2021 Z | 120,000,000 | 2020/11/20 | 2021/03/30 | 30.00 |
| 56597 | HK Bank – HSI R Bear CBBC Apr 2021 B | 80,000,000 | 2020/11/20 | 2021/04/29 | 20.00 |
| 56609 | HK Bank – JD.com R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/20 | 2021/08/09 | 25.00 |
| 56596 | HK Bank – Meituan R Bull CBBC Jun 2021 F | 100,000,000 | 2020/11/20 | 2021/06/21 | 25.00 |
| 56593 | HK Bank – Meituan R Bull CBBC Jul 2021 I | 100,000,000 | 2020/11/20 | 2021/07/19 | 25.00 |
| 56592 | HK Bank – NetEase R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/20 | 2021/08/02 | 15.00 |
| 56717 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 Z | 200,000,000 | 2020/11/20 | 2023/10/30 | 50.00 |
| 56718 | Haitong Int'l Sec – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56729 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 I | 60,000,000 | 2020/11/20 | 2021/03/25 | 15.00 |
| 56719 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/20 | 2021/04/30 | 19.68 |
| 56712 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 P | 200,000,000 | 2020/11/20 | 2024/02/28 | 50.00 |
| 56713 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Q | 200,000,000 | 2020/11/20 | 2024/02/28 | 50.00 |
| 56714 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 R | 200,000,000 | 2020/11/20 | 2024/02/28 | 50.00 |
| 56711 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56716 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Apr 2021 D | 40,000,000 | 2020/11/20 | 2021/04/30 | 10.00 |
| 56715 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Jul 2021 B | 40,000,000 | 2020/11/20 | 2021/07/02 | 11.12 |
| 56847 | SG Issuer – AAC Tech R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/20 | 2021/07/30 | 25.00 |
| 56848 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 S | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56908 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 U | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56917 | SG Issuer – HSBC R Bear CBBC Apr 2021 H | 100,000,000 | 2020/11/20 | 2021/04/30 | 25.00 |
| 56906 | SG Issuer – HSI R Bull CBBC Oct 2023 C | 250,000,000 | 2020/11/20 | 2023/10/30 | 62.50 |
| 56921 | SG Issuer – HSI R Bull CBBC Nov 2023 U | 250,000,000 | 2020/11/20 | 2023/11/29 | 62.50 |
| 56922 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/11/20 | 2024/01/30 | 62.50 |
| 56897 | SG Issuer – HSI R Bear CBBC Apr 2021 H | 250,000,000 | 2020/11/20 | 2021/04/29 | 62.50 |
| 56918 | SG Issuer – HSI R Bear CBBC May 2021 B | 250,000,000 | 2020/11/20 | 2021/05/28 | 62.50 |
| 56898 | SG Issuer – HSI R Bear CBBC May 2021 V | 500,000,000 | 2020/11/20 | 2021/05/28 | 125.00 |
| 56849 | SG Issuer – JD.com R Bull CBBC May 2021 B | 60,000,000 | 2020/11/20 | 2021/05/31 | 15.00 |
| 56850 | SG Issuer – JD.com R Bull CBBC Jun 2021 J | 200,000,000 | 2020/11/20 | 2021/06/30 | 50.00 |
| 56851 | SG Issuer – JD.com R Bull CBBC Jun 2021 K | 60,000,000 | 2020/11/20 | 2021/06/30 | 15.00 |
| 56933 | SG Issuer – JD.com R Bear CBBC May 2021 C | 60,000,000 | 2020/11/20 | 2021/05/28 | 15.00 |
| 56936 | SG Issuer – Meituan R Bull CBBC Apr 2021 P | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56852 | SG Issuer – Meituan R Bull CBBC Apr 2021 R | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56857 | SG Issuer – Meituan R Bull CBBC May 2021 P | 80,000,000 | 2020/11/20 | 2021/05/31 | 20.00 |
| 56868 | SG Issuer – Ping An R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/20 | 2021/07/30 | 25.00 |
| 56938 | SG Issuer – Tencent R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56873 | SG Issuer – Tencent R Bull CBBC Apr 2021 T | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56888 | SG Issuer – Tencent R Bull CBBC Jun 2021 O | 80,000,000 | 2020/11/20 | 2021/06/30 | 20.00 |
| 56942 | SG Issuer – Tencent R Bull CBBC Jun 2021 P | 80,000,000 | 2020/11/20 | 2021/06/30 | 20.00 |
| 56939 | SG Issuer – Tencent R Bear CBBC Apr 2021 V | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56889 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 U | 60,000,000 | 2020/11/20 | 2021/04/30 | 15.00 |
| 56673 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 T | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56674 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 U | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56704 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 R | 80,000,000 | 2020/11/20 | 2021/04/19 | 20.00 |
| 56694 | UBS AG – HSI R Bull CBBC Sep 2023 M | 200,000,000 | 2020/11/20 | 2023/09/28 | 50.00 |
| 56706 | UBS AG – HSI R Bull CBBC Sep 2023 N | 200,000,000 | 2020/11/20 | 2023/09/28 | 50.00 |
| 56687 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56695 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/20 | 2021/02/25 | 50.00 |
| 56629 | UBS AG – JD.com R Bull CBBC Apr 2021 L | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56631 | UBS AG – JD.com R Bull CBBC Apr 2021 M | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.80 |
| 56630 | UBS AG – JD.com R Bull CBBC Jun 2021 C | 200,000,000 | 2020/11/20 | 2021/06/30 | 50.00 |
| 56632 | UBS AG – JD.com R Bull CBBC Jun 2021 D | 200,000,000 | 2020/11/20 | 2021/06/30 | 50.00 |
| 56688 | UBS AG – JD.com R Bear CBBC Apr 2021 E | 80,000,000 | 2020/11/20 | 2021/04/30 | 20.00 |
| 56689 | UBS AG – JD.com R Bear CBBC Jun 2021 E | 200,000,000 | 2020/11/20 | 2021/06/21 | 50.00 |
| 56676 | UBS AG – Meituan R Bull CBBC Apr 2021 J | 50,000,000 | 2020/11/20 | 2021/04/26 | 12.50 |
| 56681 | UBS AG – Meituan R Bull CBBC Apr 2021 P | 50,000,000 | 2020/11/20 | 2021/04/27 | 12.50 |
| 56679 | UBS AG – Meituan R Bull CBBC Jun 2021 N | 150,000,000 | 2020/11/20 | 2021/06/30 | 37.50 |
| 56699 | UBS AG – Meituan R Bear CBBC Apr 2021 K | 50,000,000 | 2020/11/20 | 2021/04/19 | 12.50 |
| 56635 | UBS AG – Tencent R Bull CBBC Apr 2021 S | 40,000,000 | 2020/11/20 | 2021/04/30 | 10.00 |
| 56665 | UBS AG – Tencent R Bull CBBC Apr 2021 X | 40,000,000 | 2020/11/20 | 2021/04/26 | 10.00 |
| 56654 | UBS AG – Tencent R Bull CBBC Jun 2021 E | 200,000,000 | 2020/11/20 | 2021/06/30 | 50.00 |
| 56700 | UBS AG – Tencent R Bear CBBC Apr 2021 G | 40,000,000 | 2020/11/20 | 2021/04/19 | 10.00 |
| 56701 | UBS AG – Tencent R Bear CBBC Apr 2021 H | 40,000,000 | 2020/11/20 | 2021/04/26 | 10.00 |
| 56683 | UBS AG – Xiaomi R Bull CBBC Apr 2021 D | 60,000,000 | 2020/11/20 | 2021/04/19 | 15.00 |
| 56684 | UBS AG – Xiaomi R Bull CBBC Jun 2021 A | 200,000,000 | 2020/11/20 | 2021/06/30 | 50.00 |
| 56691 | UBS AG – Xiaomi R Bear CBBC Apr 2021 Z | 60,000,000 | 2020/11/20 | 2021/04/19 | 15.00 |
| 56840 | Bank Vontobel – Geely Auto R Bear CBBC Apr 2021 C | 30,000,000 | 2020/11/20 | 2021/04/30 | 17.10 |
| 56833 | Bank Vontobel – HSI R Bull CBBC Sep 2023 B | 80,000,000 | 2020/11/20 | 2023/09/28 | 20.00 |
| 56842 | Bank Vontobel – HSI R Bull CBBC Sep 2023 C | 80,000,000 | 2020/11/20 | 2023/09/28 | 20.00 |
| 56836 | Bank Vontobel – HSI R Bear CBBC Feb 2021 X | 80,000,000 | 2020/11/20 | 2021/02/25 | 20.00 |
| 56829 | Bank Vontobel – Sino Biopharm R Bull CBBC Oct 2021 A | 40,000,000 | 2020/11/20 | 2021/10/15 | 10.00 |
| 56828 | Bank Vontobel – SMIC R Bear CBBC Jun 2021 F | 40,000,000 | 2020/11/20 | 2021/06/18 | 10.00 |
| 57027 | BOCI Asia Ltd. – BYD Company R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/23 | 2021/07/30 | 20.00 |
| 57013 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 F | 150,000,000 | 2020/11/23 | 2023/03/30 | 37.50 |
| 57016 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 X | 150,000,000 | 2020/11/23 | 2021/02/25 | 37.50 |
| 57023 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 Y | 150,000,000 | 2020/11/23 | 2021/02/25 | 37.50 |
| 57025 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 Z | 150,000,000 | 2020/11/23 | 2021/02/25 | 37.50 |
| 57035 | BOCI Asia Ltd. – Ping An R Bull CBBC Feb 2021 C | 100,000,000 | 2020/11/23 | 2021/02/26 | 25.00 |
| 57026 | BOCI Asia Ltd. – Tencent R Bear CBBC Feb 2021 V | 120,000,000 | 2020/11/23 | 2021/02/26 | 30.00 |
| 57879 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/11/23 | 2023/09/28 | 50.00 |
| 56945 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57882 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 J | 240,000,000 | 2020/11/23 | 2021/02/25 | 60.00 |
| 57870 | BNP Paribas Issuance B.V. – HSTECH R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57873 | BNP Paribas Issuance B.V. – HSTECH R Bull CBBC Sep 2021 B | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57876 | BNP Paribas Issuance B.V. – HSTECH R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57235 | Credit Suisse AG – AIA R Bull CBBC Jul 2021 E | 70,000,000 | 2020/11/23 | 2021/07/29 | 17.50 |
| 57238 | Credit Suisse AG – AIA R Bear CBBC Apr 2021 D | 70,000,000 | 2020/11/23 | 2021/04/29 | 17.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 57231 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 P | 100,000,000 | 2020/11/23 | 2021/07/29 | 25.00 |
| 57196 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 H | 200,000,000 | 2020/11/23 | 2023/12/28 | 50.00 |
| 57198 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 I | 200,000,000 | 2020/11/23 | 2023/12/28 | 50.00 |
| 57191 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57193 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57209 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 A | 100,000,000 | 2020/11/23 | 2021/12/30 | 25.00 |
| 57220 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 B | 100,000,000 | 2020/11/23 | 2021/12/30 | 25.00 |
| 57228 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 C | 100,000,000 | 2020/11/23 | 2021/12/30 | 25.00 |
| 57202 | Credit Suisse AG – HSTECH R Bear CBBC Feb 2021 A | 100,000,000 | 2020/11/23 | 2021/02/25 | 25.00 |
| 57204 | Credit Suisse AG – HSTECH R Bear CBBC Feb 2021 B | 100,000,000 | 2020/11/23 | 2021/02/25 | 25.00 |
| 57185 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 A | 100,000,000 | 2020/11/23 | 2021/03/30 | 25.00 |
| 57258 | Credit Suisse AG – Meituan R Bull CBBC Mar 2021 H | 50,000,000 | 2020/11/23 | 2021/03/30 | 12.50 |
| 57259 | Credit Suisse AG – Meituan R Bear CBBC May 2021 D | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57242 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 F | 80,000,000 | 2020/11/23 | 2021/07/29 | 20.00 |
| 57257 | Credit Suisse AG – Ping An R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/23 | 2021/04/29 | 15.00 |
| 57733 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 H | 60,000,000 | 2020/11/23 | 2021/08/30 | 15.00 |
| 57746 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 G | 100,000,000 | 2020/11/23 | 2021/08/30 | 25.00 |
| 57714 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 M | 160,000,000 | 2020/11/23 | 2024/02/28 | 40.00 |
| 57719 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 N | 160,000,000 | 2020/11/23 | 2024/02/28 | 40.00 |
| 57729 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 C | 160,000,000 | 2020/11/23 | 2021/02/25 | 40.00 |
| 57711 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 N | 160,000,000 | 2020/11/23 | 2021/02/25 | 40.00 |
| 57713 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 O | 160,000,000 | 2020/11/23 | 2021/02/25 | 40.00 |
| 57715 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 R | 160,000,000 | 2020/11/23 | 2021/02/25 | 40.00 |
| 57784 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/23 | 2021/07/29 | 25.00 |
| 57758 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 I | 100,000,000 | 2020/11/23 | 2021/07/30 | 25.00 |
| 57778 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 F | 100,000,000 | 2020/11/23 | 2021/08/26 | 25.00 |
| 57767 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 J | 100,000,000 | 2020/11/23 | 2021/07/30 | 25.00 |
| 57804 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 T | 100,000,000 | 2020/11/23 | 2021/08/27 | 25.00 |
| 57811 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 U | 100,000,000 | 2020/11/23 | 2021/08/27 | 25.00 |
| 56948 | HK Bank – AAC Tech R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/23 | 2021/06/23 | 10.00 |
| 57005 | HK Bank – AAC Tech R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/23 | 2021/07/19 | 10.00 |
| 56949 | HK Bank – AIA R Bear CBBC Jul 2021 C | 40,000,000 | 2020/11/23 | 2021/07/30 | 10.00 |
| 56947 | HK Bank – BYD Company R Bull CBBC Sep 2021 A | 60,000,000 | 2020/11/23 | 2021/09/30 | 15.00 |
| 56988 | HK Bank – CC Bank R Bear CBBC May 2021 A | 40,000,000 | 2020/11/23 | 2021/05/14 | 10.00 |
| 57006 | HK Bank – China Life R Bear CBBC May 2021 A | 80,000,000 | 2020/11/23 | 2021/05/10 | 20.00 |
| 56971 | HK Bank – HKEX R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/30 | 25.00 |
| 56984 | HK Bank – HSI R Bull CBBC Sep 2023 N | 100,000,000 | 2020/11/23 | 2023/09/28 | 25.00 |
| 57687 | HK Bank – HSTECH R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 57710 | HK Bank – HSTECH R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 57681 | HK Bank – HSTECH R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 56950 | HK Bank – Meituan R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/23 | 2021/08/09 | 25.00 |
| 56970 | HK Bank – Meituan R Bear CBBC Jun 2021 E | 100,000,000 | 2020/11/23 | 2021/06/21 | 25.00 |
| 56960 | HK Bank – Ping An R Bull CBBC Jul 2021 D | 60,000,000 | 2020/11/23 | 2021/07/30 | 15.00 |
| 56992 | HK Bank – Ping An R Bear CBBC Aug 2021 A | 50,000,000 | 2020/11/23 | 2021/08/13 | 12.50 |
| 57003 | HK Bank – SMIC R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/23 | 2021/07/12 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56946 | HK Bank – Sunny Optical R Bull CBBC Jul 2021 E | 40,000,000 | 2020/11/23 | 2021/07/12 | 10.00 |
| 56980 | HK Bank – Tencent R Bear CBBC Jun 2021 D | 100,000,000 | 2020/11/23 | 2021/06/28 | 25.00 |
| 57157 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 A | 200,000,000 | 2020/11/23 | 2023/10/30 | 50.00 |
| 57156 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57446 | Haitong Int'l Sec – HSTECH R Bull CBBC Dec 2021 A | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57452 | Haitong Int'l Sec – HSTECH R Bull CBBC Dec 2021 B | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57434 | Haitong Int'l Sec – HSTECH R Bull CBBC Mar 2022 A | 200,000,000 | 2020/11/23 | 2022/03/30 | 50.00 |
| 57435 | Haitong Int'l Sec – HSTECH R Bull CBBC Mar 2022 B | 200,000,000 | 2020/11/23 | 2022/03/30 | 50.00 |
| 57436 | Haitong Int'l Sec – HSTECH R Bull CBBC Mar 2022 C | 400,000,000 | 2020/11/23 | 2022/03/30 | 100.00 |
| 57429 | Haitong Int'l Sec – HSTECH R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57430 | Haitong Int'l Sec – HSTECH R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57438 | Haitong Int'l Sec – HSTECH R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57433 | Haitong Int'l Sec – HSTECH R Bear CBBC Apr 2021 A | 400,000,000 | 2020/11/23 | 2021/04/29 | 100.00 |
| 57443 | Haitong Int'l Sec – HSTECH R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/23 | 2021/04/29 | 50.00 |
| 57158 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 J | 60,000,000 | 2020/11/23 | 2021/03/25 | 15.00 |
| 57176 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 X | 60,000,000 | 2020/11/23 | 2021/03/25 | 15.00 |
| 57179 | Haitong Int'l Sec – Xiaomi R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/23 | 2021/08/25 | 25.00 |
| 57055 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 S | 200,000,000 | 2020/11/23 | 2024/02/28 | 50.00 |
| 57057 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 T | 200,000,000 | 2020/11/23 | 2024/02/28 | 50.00 |
| 57036 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57040 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57632 | J P Morgan SP BV – HSTECH R Bull CBBC Feb 2021 A | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57609 | J P Morgan SP BV – HSTECH R Bull CBBC Mar 2021 A | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57610 | J P Morgan SP BV – HSTECH R Bull CBBC Mar 2021 B | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57613 | J P Morgan SP BV – HSTECH R Bull CBBC Mar 2021 C | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57680 | J P Morgan SP BV – HSTECH R Bull CBBC Mar 2021 D | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57627 | J P Morgan SP BV – HSTECH R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57664 | J P Morgan SP BV – HSTECH R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57679 | J P Morgan SP BV – HSTECH R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57604 | J P Morgan SP BV – HSTECH R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57621 | J P Morgan SP BV – HSTECH R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57064 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2021 B | 200,000,000 | 2020/11/23 | 2021/07/16 | 50.00 |
| 57602 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 F | 100,000,000 | 2020/11/23 | 2023/12/28 | 25.00 |
| 57523 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Mar 2021 A | 100,000,000 | 2020/11/23 | 2021/03/30 | 25.00 |
| 57536 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Mar 2021 B | 100,000,000 | 2020/11/23 | 2021/03/30 | 25.00 |
| 57479 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Apr 2021 A | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57494 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Apr 2021 B | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57515 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Apr 2021 C | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57463 | Morgan Stanley Asia Products – HSTECH R Bull CBBC May 2021 A | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57492 | Morgan Stanley Asia Products – HSTECH R Bull CBBC May 2021 B | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57527 | Morgan Stanley Asia Products – HSTECH R Bull CBBC May 2021 C | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57546 | Morgan Stanley Asia Products – HSTECH R Bull CBBC May 2021 D | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57563 | Morgan Stanley Asia Products – HSTECH R Bull CBBC May 2021 E | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57560 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 57564 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Jun 2021 B | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 57503 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/23 | 2021/08/30 | 25.00 |
| 57566 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/23 | 2021/08/30 | 25.00 |
| 57489 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57544 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Sep 2021 B | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57562 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Sep 2021 C | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57539 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Oct 2021 A | 100,000,000 | 2020/11/23 | 2021/10/28 | 25.00 |
| 57556 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Oct 2021 B | 100,000,000 | 2020/11/23 | 2021/10/28 | 25.00 |
| 57455 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Mar 2021 A | 100,000,000 | 2020/11/23 | 2021/03/30 | 25.00 |
| 57593 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Mar 2021 B | 100,000,000 | 2020/11/23 | 2021/03/30 | 25.00 |
| 57582 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 A | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57587 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 B | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57598 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 C | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57577 | Morgan Stanley Asia Products – HSTECH R Bear CBBC May 2021 A | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57584 | Morgan Stanley Asia Products – HSTECH R Bear CBBC May 2021 B | 100,000,000 | 2020/11/23 | 2021/05/28 | 25.00 |
| 57599 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 57585 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Aug 2021 A | 100,000,000 | 2020/11/23 | 2021/08/30 | 25.00 |
| 57575 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57400 | SG Issuer – Alibaba Group R Bull CBBC May 2021 R | 80,000,000 | 2020/11/23 | 2021/05/31 | 20.00 |
| 57399 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 Y | 80,000,000 | 2020/11/23 | 2021/04/30 | 20.00 |
| 57325 | SG Issuer – China Life R Bull CBBC Jul 2021 H | 40,000,000 | 2020/11/23 | 2021/07/30 | 10.00 |
| 57329 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 K | 40,000,000 | 2020/11/23 | 2021/07/30 | 10.00 |
| 57379 | SG Issuer – HSI R Bull CBBC Oct 2023 H | 250,000,000 | 2020/11/23 | 2023/10/30 | 62.50 |
| 57385 | SG Issuer – HSI R Bull CBBC Oct 2023 I | 250,000,000 | 2020/11/23 | 2023/10/30 | 62.50 |
| 57390 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/11/23 | 2023/11/29 | 62.50 |
| 57364 | SG Issuer – HSI R Bear CBBC Mar 2021 G | 250,000,000 | 2020/11/23 | 2021/03/30 | 62.50 |
| 57368 | SG Issuer – HSI R Bear CBBC Apr 2021 W | 250,000,000 | 2020/11/23 | 2021/04/29 | 62.50 |
| 57369 | SG Issuer – HSI R Bear CBBC Apr 2021 X | 250,000,000 | 2020/11/23 | 2021/04/29 | 62.50 |
| 57415 | SG Issuer – HSI R Bear CBBC May 2021 D | 250,000,000 | 2020/11/23 | 2021/05/28 | 62.50 |
| 57308 | SG Issuer – HSTECH R Bull CBBC Sep 2021 A | 100,000,000 | 2020/11/23 | 2021/09/29 | 25.00 |
| 57310 | SG Issuer – HSTECH R Bull CBBC Oct 2021 A | 100,000,000 | 2020/11/23 | 2021/10/28 | 25.00 |
| 57313 | SG Issuer – HSTECH R Bull CBBC Oct 2021 B | 100,000,000 | 2020/11/23 | 2021/10/28 | 25.00 |
| 57321 | SG Issuer – HSTECH R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/23 | 2021/11/29 | 25.00 |
| 57323 | SG Issuer – HSTECH R Bull CBBC Nov 2021 B | 100,000,000 | 2020/11/23 | 2021/11/29 | 25.00 |
| 57299 | SG Issuer – HSTECH R Bear CBBC Apr 2021 A | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57300 | SG Issuer – HSTECH R Bear CBBC Apr 2021 B | 100,000,000 | 2020/11/23 | 2021/04/29 | 25.00 |
| 57306 | SG Issuer – HSTECH R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/23 | 2021/06/29 | 25.00 |
| 57401 | SG Issuer – JD.com R Bull CBBC Apr 2021 H | 60,000,000 | 2020/11/23 | 2021/04/30 | 15.00 |
| 57406 | SG Issuer – JD.com R Bull CBBC Aug 2021 D | 200,000,000 | 2020/11/23 | 2021/08/30 | 50.00 |
| 57332 | SG Issuer – Meituan R Bull CBBC Apr 2021 S | 80,000,000 | 2020/11/23 | 2021/04/30 | 20.00 |
| 57341 | SG Issuer – Meituan R Bull CBBC Jun 2021 G | 80,000,000 | 2020/11/23 | 2021/06/30 | 20.00 |
| 57408 | SG Issuer – Meituan R Bear CBBC May 2021 H | 80,000,000 | 2020/11/23 | 2021/05/28 | 20.00 |
| 57339 | SG Issuer – Meituan R Bear CBBC Jun 2021 O | 80,000,000 | 2020/11/23 | 2021/06/29 | 20.00 |
| 57414 | SG Issuer – NetEase R Bull CBBC Jun 2021 C | 60,000,000 | 2020/11/23 | 2021/06/30 | 15.00 |
| 57344 | SG Issuer – Ping An R Bull CBBC Oct 2021 J | 100,000,000 | 2020/11/23 | 2021/10/29 | 25.00 |
| 57419 | SG Issuer – Tencent R Bull CBBC Mar 2021 U | 80,000,000 | 2020/11/23 | 2021/03/31 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 57427 | SG Issuer – Tencent R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/11/23 | 2021/04/30 | 20.00 |
| 57359 | SG Issuer – Tencent R Bear CBBC Jun 2021 F | 200,000,000 | 2020/11/23 | 2021/06/29 | 50.00 |
| 57363 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 D | 200,000,000 | 2020/11/23 | 2021/07/30 | 50.00 |
| 57361 | SG Issuer – Xiaomi R Bear CBBC Mar 2021 K | 60,000,000 | 2020/11/23 | 2021/03/31 | 15.00 |
| 57106 | UBS AG – AIA R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/23 | 2021/06/28 | 15.00 |
| 57108 | UBS AG – AIA R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/23 | 2021/06/30 | 15.00 |
| 57148 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 W | 80,000,000 | 2020/11/23 | 2021/04/29 | 20.00 |
| 57075 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 M | 200,000,000 | 2020/11/23 | 2021/06/28 | 50.00 |
| 57155 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 S | 80,000,000 | 2020/11/23 | 2021/04/12 | 20.00 |
| 57072 | UBS AG – HSI R Bull CBBC Sep 2023 Q | 200,000,000 | 2020/11/23 | 2023/09/28 | 50.00 |
| 57073 | UBS AG – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/11/23 | 2023/09/28 | 50.00 |
| 57068 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57070 | UBS AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57069 | UBS AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57812 | UBS AG – HSTECH R Bull CBBC Dec 2021 A | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57818 | UBS AG – HSTECH R Bull CBBC Dec 2021 B | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57832 | UBS AG – HSTECH R Bull CBBC Dec 2021 C | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57852 | UBS AG – HSTECH R Bull CBBC Dec 2021 D | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57854 | UBS AG – HSTECH R Bull CBBC Dec 2021 E | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57867 | UBS AG – HSTECH R Bull CBBC Dec 2021 F | 200,000,000 | 2020/11/23 | 2021/12/30 | 50.00 |
| 57841 | UBS AG – HSTECH R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57850 | UBS AG – HSTECH R Bear CBBC Feb 2021 B | 200,000,000 | 2020/11/23 | 2021/02/25 | 50.00 |
| 57834 | UBS AG – HSTECH R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57838 | UBS AG – HSTECH R Bear CBBC Mar 2021 B | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57840 | UBS AG – HSTECH R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/23 | 2021/03/30 | 50.00 |
| 57100 | UBS AG – Meituan R Bull CBBC Mar 2021 N | 50,000,000 | 2020/11/23 | 2021/03/22 | 12.50 |
| 57101 | UBS AG – Meituan R Bull CBBC Apr 2021 Q | 50,000,000 | 2020/11/23 | 2021/04/27 | 12.50 |
| 57102 | UBS AG – Meituan R Bull CBBC Apr 2021 R | 50,000,000 | 2020/11/23 | 2021/04/26 | 12.50 |
| 57111 | UBS AG – Meituan R Bear CBBC Apr 2021 L | 50,000,000 | 2020/11/23 | 2021/04/12 | 12.50 |
| 57110 | UBS AG – Ping An R Bull CBBC Jun 2021 C | 100,000,000 | 2020/11/23 | 2021/06/28 | 25.00 |
| 57141 | UBS AG – Tencent R Bull CBBC Mar 2021 Z | 40,000,000 | 2020/11/23 | 2021/03/22 | 10.00 |
| 57146 | UBS AG – Tencent R Bull CBBC Apr 2021 N | 40,000,000 | 2020/11/23 | 2021/04/26 | 10.00 |
| 57074 | UBS AG – Tencent R Bear CBBC Mar 2021 I | 40,000,000 | 2020/11/23 | 2021/03/22 | 10.00 |
| 57091 | UBS AG – Xiaomi R Bull CBBC Apr 2021 F | 60,000,000 | 2020/11/23 | 2021/04/12 | 15.00 |
| 57097 | UBS AG – Xiaomi R Bull CBBC Jun 2021 C | 60,000,000 | 2020/11/23 | 2021/06/30 | 15.00 |
| 57137 | UBS AG – Xiaomi R Bear CBBC Apr 2021 A | 60,000,000 | 2020/11/23 | 2021/04/29 | 15.00 |
| 57290 | Bank Vontobel – AIA R Bull CBBC Nov 2021 C | 80,000,000 | 2020/11/23 | 2021/11/26 | 20.00 |
| 57274 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 D | 40,000,000 | 2020/11/23 | 2021/06/18 | 10.00 |
| 57283 | Bank Vontobel – China Life R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/23 | 2021/11/19 | 10.00 |
| 57282 | Bank Vontobel – HKEX R Bear CBBC Jun 2021 G | 40,000,000 | 2020/11/23 | 2021/06/18 | 10.00 |
| 57291 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 A | 80,000,000 | 2020/11/23 | 2021/12/30 | 20.00 |
| 57294 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 B | 80,000,000 | 2020/11/23 | 2021/12/30 | 20.00 |
| 57260 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 A | 80,000,000 | 2020/11/23 | 2021/03/30 | 20.00 |
| 57295 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 B | 80,000,000 | 2020/11/23 | 2021/03/30 | 20.00 |
| 57262 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 K | 60,000,000 | 2020/11/23 | 2021/11/19 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 57285 | Bank Vontobel – Ping An R Bull CBBC Aug 2021 D | 40,000,000 | 2020/11/23 | 2021/08/06 | 10.00 |
| 57289 | Bank Vontobel – Sunny Optical R Bear CBBC Apr 2021 A | 40,000,000 | 2020/11/23 | 2021/04/23 | 10.00 |
| 57268 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 K | 40,000,000 | 2020/11/23 | 2021/11/26 | 10.00 |
| 57267 | Bank Vontobel – Xiaomi R Bear CBBC Jun 2021 G | 40,000,000 | 2020/11/23 | 2021/06/25 | 10.00 |
| 57946 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 A | 150,000,000 | 2020/11/24 | 2021/02/25 | 37.50 |
| 57953 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 B | 150,000,000 | 2020/11/24 | 2021/02/25 | 37.50 |
| 57972 | BOCI Asia Ltd. – JD.com R Bear CBBC Dec 2021 A | 40,000,000 | 2020/11/24 | 2021/12/31 | 10.00 |
| 57958 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Feb 2021 K | 40,000,000 | 2020/11/24 | 2021/02/26 | 10.00 |
| 57964 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Mar 2021 D | 100,000,000 | 2020/11/24 | 2021/03/31 | 25.00 |
| 57976 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 T | 190,000,000 | 2020/11/24 | 2023/09/28 | 47.50 |
| 57941 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 L | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 57945 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58270 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 E | 100,000,000 | 2020/11/24 | 2021/05/28 | 25.00 |
| 58206 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 G | 200,000,000 | 2020/11/24 | 2023/09/28 | 50.00 |
| 58217 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 J | 200,000,000 | 2020/11/24 | 2023/09/28 | 50.00 |
| 58316 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58342 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 K | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58315 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 W | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58160 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/24 | 2021/11/29 | 25.00 |
| 58168 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 B | 100,000,000 | 2020/11/24 | 2021/11/29 | 25.00 |
| 58197 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 C | 100,000,000 | 2020/11/24 | 2021/11/29 | 25.00 |
| 58229 | Credit Suisse AG – HSTECH R Bear CBBC Feb 2021 C | 100,000,000 | 2020/11/24 | 2021/02/25 | 25.00 |
| 58231 | Credit Suisse AG – HSTECH R Bear CBBC Feb 2021 D | 100,000,000 | 2020/11/24 | 2021/02/25 | 25.00 |
| 58218 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 B | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58248 | Credit Suisse AG – JD.com R Bear CBBC Mar 2021 A | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58255 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 G | 80,000,000 | 2020/11/24 | 2021/07/29 | 20.00 |
| 58296 | Credit Suisse AG – Tencent R Bull CBBC Mar 2021 M | 50,000,000 | 2020/11/24 | 2021/03/30 | 12.50 |
| 58241 | Credit Suisse AG – Tencent R Bear CBBC Mar 2021 F | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58930 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Jul 2021 D | 60,000,000 | 2020/11/24 | 2021/07/27 | 15.00 |
| 58967 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 H | 100,000,000 | 2020/11/24 | 2021/08/30 | 25.00 |
| 58937 | Goldman Sachs SP (Asia) – BYD Company R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/24 | 2021/06/30 | 15.00 |
| 58957 | Goldman Sachs SP (Asia) – China Life R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/24 | 2021/07/29 | 20.00 |
| 58962 | Goldman Sachs SP (Asia) – HKEX R Bear CBBC Apr 2021 C | 80,000,000 | 2020/11/24 | 2021/04/28 | 20.00 |
| 58837 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 O | 160,000,000 | 2020/11/24 | 2024/02/28 | 40.00 |
| 58853 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 P | 160,000,000 | 2020/11/24 | 2024/02/28 | 40.00 |
| 58889 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Q | 160,000,000 | 2020/11/24 | 2024/02/28 | 40.00 |
| 58897 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 F | 160,000,000 | 2020/11/24 | 2021/02/25 | 40.00 |
| 58906 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 I | 160,000,000 | 2020/11/24 | 2021/02/25 | 40.00 |
| 58863 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/24 | 2021/08/26 | 25.00 |
| 58956 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/24 | 2021/08/27 | 25.00 |
| 58886 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 V | 60,000,000 | 2020/11/24 | 2021/08/27 | 15.00 |
| 58955 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 W | 60,000,000 | 2020/11/24 | 2021/08/27 | 15.00 |
| 58935 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 K | 80,000,000 | 2020/11/24 | 2021/07/30 | 20.00 |
| 58934 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 R | 60,000,000 | 2020/11/24 | 2021/07/30 | 15.00 |
| 57974 | HK Bank – Alibaba Group R Bear CBBC Jun 2021 D | 100,000,000 | 2020/11/24 | 2021/06/15 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 57884 | HK Bank - HSI R Bull CBBC Sep 2023 O | 120,000,000 | 2020/11/24 | 2023/09/28 | 30.00 |
| 57889 | HK Bank - HSI R Bear CBBC Apr 2021 C | 80,000,000 | 2020/11/24 | 2021/04/29 | 20.00 |
| 57915 | HK Bank - HSTECH R Bull CBBC May 2021 A | 100,000,000 | 2020/11/24 | 2021/05/28 | 25.00 |
| 57904 | HK Bank - HSTECH R Bull CBBC Jun 2021 C | 120,000,000 | 2020/11/24 | 2021/06/29 | 30.00 |
| 57911 | HK Bank - HSTECH R Bull CBBC Jun 2021 D | 100,000,000 | 2020/11/24 | 2021/06/29 | 25.00 |
| 57899 | HK Bank - HSTECH R Bear CBBC May 2021 A | 100,000,000 | 2020/11/24 | 2021/05/28 | 25.00 |
| 57901 | HK Bank - HSTECH R Bear CBBC Jun 2021 B | 120,000,000 | 2020/11/24 | 2021/06/29 | 30.00 |
| 57890 | HK Bank - JD.com R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/24 | 2021/08/16 | 25.00 |
| 57892 | HK Bank - JD.com R Bear CBBC Jul 2021 A | 50,000,000 | 2020/11/24 | 2021/07/30 | 12.50 |
| 57923 | HK Bank - Meituan R Bull CBBC Jun 2021 G | 100,000,000 | 2020/11/24 | 2021/06/15 | 25.00 |
| 57918 | HK Bank - NetEase R Bull CBBC Oct 2021 A | 100,000,000 | 2020/11/24 | 2021/10/29 | 25.00 |
| 57898 | HK Bank - NetEase R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/24 | 2021/07/30 | 10.00 |
| 57991 | Haitong Int'l Sec - HSI R Bull CBBC Nov 2023 Q | 200,000,000 | 2020/11/24 | 2023/11/29 | 50.00 |
| 57994 | Haitong Int'l Sec - HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/11/24 | 2021/03/30 | 50.00 |
| 57996 | Haitong Int'l Sec - Tencent R Bear CBBC Mar 2021 H | 60,000,000 | 2020/11/24 | 2021/03/25 | 15.72 |
| 58000 | Haitong Int'l Sec - Xiaomi R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/24 | 2021/08/25 | 25.00 |
| 58151 | J P Morgan SP BV - HSI R Bull CBBC Feb 2024 U | 200,000,000 | 2020/11/24 | 2024/02/28 | 50.00 |
| 58154 | J P Morgan SP BV - HSI R Bull CBBC Feb 2024 V | 200,000,000 | 2020/11/24 | 2024/02/28 | 50.00 |
| 58155 | J P Morgan SP BV - HSI R Bear CBBC Feb 2021 I | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58551 | J P Morgan SP BV - HSTECH R Bull CBBC Apr 2021 A | 200,000,000 | 2020/11/24 | 2021/04/29 | 50.00 |
| 58597 | J P Morgan SP BV - HSTECH R Bull CBBC Jun 2021 A | 200,000,000 | 2020/11/24 | 2021/06/29 | 50.00 |
| 58599 | J P Morgan SP BV - HSTECH R Bull CBBC Jun 2021 B | 200,000,000 | 2020/11/24 | 2021/06/29 | 50.00 |
| 58595 | J P Morgan SP BV - HSTECH R Bull CBBC Sep 2021 A | 200,000,000 | 2020/11/24 | 2021/09/29 | 50.00 |
| 58598 | J P Morgan SP BV - HSTECH R Bull CBBC Sep 2021 B | 200,000,000 | 2020/11/24 | 2021/09/29 | 50.00 |
| 58627 | J P Morgan SP BV - HSTECH R Bull CBBC Sep 2021 C | 200,000,000 | 2020/11/24 | 2021/09/29 | 50.00 |
| 58678 | J P Morgan SP BV - HSTECH R Bull CBBC Dec 2021 A | 200,000,000 | 2020/11/24 | 2021/12/30 | 50.00 |
| 58606 | J P Morgan SP BV - HSTECH R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58559 | J P Morgan SP BV - HSTECH R Bear CBBC Mar 2021 C | 200,000,000 | 2020/11/24 | 2021/03/30 | 50.00 |
| 58596 | J P Morgan SP BV - HSTECH R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/24 | 2021/03/30 | 50.00 |
| 58600 | J P Morgan SP BV - HSTECH R Bear CBBC Mar 2021 E | 200,000,000 | 2020/11/24 | 2021/03/30 | 50.00 |
| 58611 | J P Morgan SP BV - HSTECH R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/24 | 2021/03/30 | 50.00 |
| 58612 | J P Morgan SP BV - HSTECH R Bear CBBC Apr 2021 A | 200,000,000 | 2020/11/24 | 2021/04/29 | 50.00 |
| 58679 | J P Morgan SP BV - HSTECH R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/24 | 2021/04/29 | 50.00 |
| 58682 | J P Morgan SP BV - HSTECH R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/24 | 2021/04/29 | 50.00 |
| 58157 | J P Morgan SP BV - Meituan R Bear CBBC Jul 2021 A | 200,000,000 | 2020/11/24 | 2021/07/16 | 50.00 |
| 58159 | J P Morgan SP BV - NetEase R Bull CBBC Dec 2021 A | 200,000,000 | 2020/11/24 | 2021/12/17 | 50.00 |
| 57938 | Morgan Stanley Asia Products - HSI R Bull CBBC Oct 2023 H | 100,000,000 | 2020/11/24 | 2023/10/30 | 25.00 |
| 58350 | Morgan Stanley Asia Products - HSI R Bear CBBC Mar 2021 L | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58349 | Morgan Stanley Asia Products - HSTECH R Bear CBBC Mar 2021 C | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58381 | SG Issuer - Alibaba Group R Bull CBBC May 2021 S | 80,000,000 | 2020/11/24 | 2021/05/31 | 20.00 |
| 58383 | SG Issuer - Alibaba Group R Bull CBBC Jun 2021 B | 80,000,000 | 2020/11/24 | 2021/06/30 | 20.00 |
| 58384 | SG Issuer - Alibaba Group R Bull CBBC Jun 2021 D | 80,000,000 | 2020/11/24 | 2021/06/30 | 20.00 |
| 58501 | SG Issuer - Alibaba Group R Bear CBBC Apr 2021 Z | 80,000,000 | 2020/11/24 | 2021/04/30 | 20.00 |
| 58387 | SG Issuer - BYD Company R Bull CBBC Sep 2021 B | 120,000,000 | 2020/11/24 | 2021/09/30 | 30.00 |
| 58397 | SG Issuer - Geely Auto R Bull CBBC Aug 2021 G | 150,000,000 | 2020/11/24 | 2021/08/31 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 58398 | SG Issuer – HKEX R Bull CBBC Jul 2021 E | 50,000,000 | 2020/11/24 | 2021/07/30 | 12.50 |
| 58492 | SG Issuer – HSI R Bull CBBC Oct 2023 N | 250,000,000 | 2020/11/24 | 2023/10/30 | 62.50 |
| 58420 | SG Issuer – HSI R Bull CBBC Nov 2023 V | 250,000,000 | 2020/11/24 | 2023/11/29 | 62.50 |
| 58421 | SG Issuer – HSI R Bull CBBC Jan 2024 W | 250,000,000 | 2020/11/24 | 2024/01/30 | 62.50 |
| 58428 | SG Issuer – HSI R Bull CBBC Jan 2024 X | 250,000,000 | 2020/11/24 | 2024/01/30 | 62.50 |
| 58433 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/11/24 | 2024/01/30 | 62.50 |
| 58459 | SG Issuer – HSI R Bear CBBC Mar 2021 D | 250,000,000 | 2020/11/24 | 2021/03/30 | 62.50 |
| 58450 | SG Issuer – HSI R Bear CBBC Mar 2021 H | 250,000,000 | 2020/11/24 | 2021/03/30 | 62.50 |
| 58471 | SG Issuer – HSI R Bear CBBC Apr 2021 A | 250,000,000 | 2020/11/24 | 2021/04/29 | 62.50 |
| 58362 | SG Issuer – HSTECH R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/24 | 2021/08/30 | 25.00 |
| 58370 | SG Issuer – HSTECH R Bull CBBC Sep 2021 B | 100,000,000 | 2020/11/24 | 2021/09/29 | 25.00 |
| 58374 | SG Issuer – HSTECH R Bull CBBC Oct 2021 C | 100,000,000 | 2020/11/24 | 2021/10/28 | 25.00 |
| 58378 | SG Issuer – HSTECH R Bull CBBC Oct 2021 D | 100,000,000 | 2020/11/24 | 2021/10/28 | 25.00 |
| 58355 | SG Issuer – HSTECH R Bear CBBC Mar 2021 A | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58356 | SG Issuer – HSTECH R Bear CBBC Mar 2021 B | 100,000,000 | 2020/11/24 | 2021/03/30 | 25.00 |
| 58403 | SG Issuer – JD.com R Bull CBBC May 2021 C | 60,000,000 | 2020/11/24 | 2021/05/31 | 15.00 |
| 58408 | SG Issuer – Meituan R Bull CBBC May 2021 Q | 80,000,000 | 2020/11/24 | 2021/05/31 | 20.00 |
| 58411 | SG Issuer – Meituan R Bull CBBC May 2021 W | 80,000,000 | 2020/11/24 | 2021/05/31 | 20.00 |
| 58412 | SG Issuer – Meituan R Bull CBBC Jun 2021 H | 80,000,000 | 2020/11/24 | 2021/06/30 | 20.00 |
| 58451 | SG Issuer – Meituan R Bear CBBC Apr 2021 R | 200,000,000 | 2020/11/24 | 2021/04/30 | 50.00 |
| 58414 | SG Issuer – SMIC R Bull CBBC May 2021 J | 120,000,000 | 2020/11/24 | 2021/05/31 | 30.00 |
| 58539 | SG Issuer – Tencent R Bull CBBC Apr 2021 I | 80,000,000 | 2020/11/24 | 2021/04/30 | 20.00 |
| 58415 | SG Issuer – Tencent R Bull CBBC May 2021 T | 80,000,000 | 2020/11/24 | 2021/05/31 | 24.00 |
| 58416 | SG Issuer – Tencent R Bull CBBC May 2021 W | 80,000,000 | 2020/11/24 | 2021/05/31 | 20.00 |
| 58417 | SG Issuer – Tencent R Bull CBBC May 2021 Z | 80,000,000 | 2020/11/24 | 2021/05/31 | 20.00 |
| 58515 | SG Issuer – Tencent R Bear CBBC Apr 2021 W | 80,000,000 | 2020/11/24 | 2021/04/30 | 20.00 |
| 58516 | SG Issuer – Tencent R Bear CBBC May 2021 M | 80,000,000 | 2020/11/24 | 2021/05/28 | 20.00 |
| 58011 | UBS AG – Alibaba Group R Bull CBBC Mar 2021 Z | 80,000,000 | 2020/11/24 | 2021/03/22 | 20.00 |
| 58031 | UBS AG – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/11/24 | 2023/10/30 | 50.00 |
| 58076 | UBS AG – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/11/24 | 2023/10/30 | 50.00 |
| 58105 | UBS AG – HSI R Bull CBBC Oct 2023 P | 200,000,000 | 2020/11/24 | 2023/10/30 | 50.00 |
| 58138 | UBS AG – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58148 | UBS AG – HSI R Bear CBBC Feb 2021 E | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58146 | UBS AG – HSI R Bear CBBC Feb 2021 V | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58123 | UBS AG – HSTECH R Bull CBBC Dec 2021 G | 200,000,000 | 2020/11/24 | 2021/12/30 | 50.00 |
| 58124 | UBS AG – HSTECH R Bull CBBC Dec 2021 H | 200,000,000 | 2020/11/24 | 2021/12/30 | 50.00 |
| 58125 | UBS AG – HSTECH R Bull CBBC Dec 2021 I | 200,000,000 | 2020/11/24 | 2021/12/30 | 50.00 |
| 58132 | UBS AG – HSTECH R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/24 | 2021/02/25 | 50.00 |
| 58126 | UBS AG – HSTECH R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/24 | 2021/03/30 | 50.00 |
| 58013 | UBS AG – Meituan R Bull CBBC Apr 2021 C | 50,000,000 | 2020/11/24 | 2021/04/20 | 12.50 |
| 58012 | UBS AG – Meituan R Bull CBBC Apr 2021 T | 50,000,000 | 2020/11/24 | 2021/04/13 | 12.50 |
| 58029 | UBS AG – Meituan R Bull CBBC Apr 2021 X | 50,000,000 | 2020/11/24 | 2021/04/23 | 12.50 |
| 58135 | UBS AG – Meituan R Bear CBBC Apr 2021 M | 50,000,000 | 2020/11/24 | 2021/04/26 | 12.50 |
| 58002 | UBS AG – Tencent R Bull CBBC Mar 2021 B | 40,000,000 | 2020/11/24 | 2021/03/22 | 10.00 |
| 58009 | UBS AG – Tencent R Bull CBBC Apr 2021 O | 40,000,000 | 2020/11/24 | 2021/04/19 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 58001 | UBS AG – Tencent R Bull CBBC Jun 2021 F | 200,000,000 | 2020/11/24 | 2021/06/28 | 50.00 |
| 58139 | UBS AG – Tencent R Bear CBBC Apr 2021 I | 40,000,000 | 2020/11/24 | 2021/04/12 | 10.00 |
| 58144 | UBS AG – Xiaomi R Bull CBBC Apr 2021 G | 60,000,000 | 2020/11/24 | 2021/04/13 | 15.00 |
| 58136 | UBS AG – Xiaomi R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/24 | 2021/04/26 | 15.00 |
| 58692 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 C | 40,000,000 | 2020/11/24 | 2021/11/19 | 10.00 |
| 58683 | Bank Vontobel – HSI R Bull CBBC Sep 2023 D | 80,000,000 | 2020/11/24 | 2023/09/28 | 20.00 |
| 58698 | Bank Vontobel – HSI R Bear CBBC Feb 2021 F | 80,000,000 | 2020/11/24 | 2021/02/25 | 20.00 |
| 58783 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 C | 80,000,000 | 2020/11/24 | 2021/12/30 | 20.00 |
| 58800 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 D | 80,000,000 | 2020/11/24 | 2021/12/30 | 20.00 |
| 58810 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 C | 80,000,000 | 2020/11/24 | 2021/03/30 | 20.00 |
| 58812 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 D | 80,000,000 | 2020/11/24 | 2021/03/30 | 20.00 |
| 58750 | Bank Vontobel – JD.com R Bull CBBC Dec 2021 A | 50,000,000 | 2020/11/24 | 2021/12/03 | 12.50 |
| 58686 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 L | 60,000,000 | 2020/11/24 | 2021/11/26 | 15.00 |
| 58760 | Bank Vontobel – NetEase R Bull CBBC Dec 2021 A | 40,000,000 | 2020/11/24 | 2021/12/03 | 10.00 |
| 58778 | Bank Vontobel – Sunny Optical R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/24 | 2021/11/19 | 10.00 |
| 59070 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Feb 2021 P | 60,000,000 | 2020/11/25 | 2021/02/26 | 15.00 |
| 59037 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 G | 150,000,000 | 2020/11/25 | 2023/03/30 | 37.50 |
| 59039 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 C | 150,000,000 | 2020/11/25 | 2021/02/25 | 37.50 |
| 59047 | BOCI Asia Ltd. – Meituan R Bull CBBC Feb 2021 K | 40,000,000 | 2020/11/25 | 2021/02/26 | 10.00 |
| 59048 | BOCI Asia Ltd. – Meituan R Bear CBBC Feb 2021 G | 40,000,000 | 2020/11/25 | 2021/02/26 | 10.00 |
| 59040 | BOCI Asia Ltd. – Tencent R Bull CBBC Feb 2021 W | 120,000,000 | 2020/11/25 | 2021/02/26 | 30.00 |
| 59707 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 W | 200,000,000 | 2020/11/25 | 2021/09/29 | 50.00 |
| 59708 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2021 L | 200,000,000 | 2020/11/25 | 2021/07/29 | 50.00 |
| 59669 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 U | 200,000,000 | 2020/11/25 | 2023/09/28 | 50.00 |
| 59679 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 V | 190,000,000 | 2020/11/25 | 2023/09/28 | 47.50 |
| 59650 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59659 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59705 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 E | 200,000,000 | 2020/11/25 | 2021/12/30 | 50.00 |
| 59690 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 Q | 200,000,000 | 2020/11/25 | 2021/06/29 | 50.00 |
| 59692 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 I | 200,000,000 | 2020/11/25 | 2021/11/29 | 50.00 |
| 59709 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 Z | 250,000,000 | 2020/11/25 | 2021/11/29 | 62.50 |
| 59718 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 R | 250,000,000 | 2020/11/25 | 2021/12/30 | 62.50 |
| 59724 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 R | 250,000,000 | 2020/11/25 | 2021/12/30 | 62.50 |
| 59687 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 X | 250,000,000 | 2020/11/25 | 2021/11/29 | 62.50 |
| 59896 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 G | 50,000,000 | 2020/11/25 | 2021/03/30 | 12.50 |
| 59869 | Credit Suisse AG – BYD Company R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/25 | 2021/07/29 | 25.00 |
| 59820 | Credit Suisse AG – Geely Auto R Bull CBBC Sep 2021 E | 100,000,000 | 2020/11/25 | 2021/09/29 | 25.00 |
| 59847 | Credit Suisse AG – Geely Auto R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/25 | 2021/07/29 | 25.00 |
| 59904 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 J | 200,000,000 | 2020/11/25 | 2023/12/28 | 50.00 |
| 59914 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 K | 200,000,000 | 2020/11/25 | 2023/12/28 | 50.00 |
| 59776 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59778 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 J | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59919 | Credit Suisse AG – HSTECH R Bull CBBC Oct 2021 A | 100,000,000 | 2020/11/25 | 2021/10/28 | 25.00 |
| 59920 | Credit Suisse AG – HSTECH R Bull CBBC Oct 2021 B | 100,000,000 | 2020/11/25 | 2021/10/28 | 25.00 |
| 59930 | Credit Suisse AG – HSTECH R Bull CBBC Oct 2021 C | 100,000,000 | 2020/11/25 | 2021/10/28 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59752 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 C | 100,000,000 | 2020/11/25 | 2021/03/30 | 25.00 |
| 59753 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 D | 100,000,000 | 2020/11/25 | 2021/03/30 | 25.00 |
| 59768 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 E | 100,000,000 | 2020/11/25 | 2021/03/30 | 25.00 |
| 59771 | Credit Suisse AG – HSTECH R Bear CBBC Apr 2021 A | 100,000,000 | 2020/11/25 | 2021/04/29 | 25.00 |
| 59892 | Credit Suisse AG – JD.com R Bull CBBC May 2021 A | 100,000,000 | 2020/11/25 | 2021/05/28 | 25.00 |
| 59879 | Credit Suisse AG – Meituan R Bull CBBC Apr 2021 O | 50,000,000 | 2020/11/25 | 2021/04/29 | 12.50 |
| 59856 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 Q | 50,000,000 | 2020/11/25 | 2021/04/29 | 12.50 |
| 59873 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 O | 100,000,000 | 2020/11/25 | 2021/05/28 | 25.00 |
| 60227 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 I | 60,000,000 | 2020/11/25 | 2021/08/30 | 15.00 |
| 60242 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 J | 100,000,000 | 2020/11/25 | 2021/08/30 | 25.00 |
| 60189 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 X | 100,000,000 | 2020/11/25 | 2021/07/29 | 25.00 |
| 60136 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 R | 160,000,000 | 2020/11/25 | 2024/02/28 | 40.00 |
| 60101 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Q | 160,000,000 | 2020/11/25 | 2021/02/25 | 40.00 |
| 60107 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 W | 160,000,000 | 2020/11/25 | 2021/02/25 | 40.00 |
| 60126 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Feb 2021 Y | 160,000,000 | 2020/11/25 | 2021/02/25 | 40.00 |
| 60237 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/25 | 2021/07/30 | 25.00 |
| 60195 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 H | 60,000,000 | 2020/11/25 | 2021/08/26 | 15.00 |
| 60185 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 E | 60,000,000 | 2020/11/25 | 2021/08/27 | 15.00 |
| 60187 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/25 | 2021/08/27 | 25.00 |
| 60182 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Mar 2021 D | 80,000,000 | 2020/11/25 | 2021/03/31 | 20.00 |
| 58995 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 F | 100,000,000 | 2020/11/25 | 2021/08/09 | 25.00 |
| 58993 | HK Bank – BYD Company R Bull CBBC Jul 2021 B | 60,000,000 | 2020/11/25 | 2021/07/30 | 15.00 |
| 58994 | HK Bank – HKEX R Bull CBBC Jun 2021 H | 100,000,000 | 2020/11/25 | 2021/06/21 | 25.00 |
| 59010 | HK Bank – Meituan R Bull CBBC Jun 2021 H | 100,000,000 | 2020/11/25 | 2021/06/28 | 25.00 |
| 58973 | HK Bank – NetEase R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/25 | 2021/07/19 | 15.00 |
| 58991 | HK Bank – NetEase R Bear CBBC Aug 2021 A | 40,000,000 | 2020/11/25 | 2021/08/06 | 10.00 |
| 58992 | HK Bank – SUNAC R Bull CBBC Jul 2021 A | 40,000,000 | 2020/11/25 | 2021/07/30 | 10.00 |
| 58996 | HK Bank – Tencent R Bull CBBC Jul 2021 P | 100,000,000 | 2020/11/25 | 2021/07/30 | 25.00 |
| 58977 | HK Bank – Xiaomi R Bull CBBC Jul 2021 C | 100,000,000 | 2020/11/25 | 2021/07/30 | 25.00 |
| 59648 | Haitong Int'l Sec – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/11/25 | 2023/09/28 | 50.00 |
| 59568 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 F | 200,000,000 | 2020/11/25 | 2021/04/29 | 50.00 |
| 59581 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 G | 200,000,000 | 2020/11/25 | 2021/04/29 | 50.00 |
| 59632 | Haitong Int'l Sec – HSTECH R Bull CBBC Mar 2021 A | 150,000,000 | 2020/11/25 | 2021/03/30 | 37.50 |
| 59639 | Haitong Int'l Sec – HSTECH R Bull CBBC Mar 2021 B | 150,000,000 | 2020/11/25 | 2021/03/30 | 37.50 |
| 59646 | Haitong Int'l Sec – HSTECH R Bull CBBC Mar 2021 C | 150,000,000 | 2020/11/25 | 2021/03/30 | 37.50 |
| 59587 | Haitong Int'l Sec – HSTECH R Bear CBBC Feb 2021 A | 150,000,000 | 2020/11/25 | 2021/02/25 | 37.50 |
| 59613 | Haitong Int'l Sec – HSTECH R Bear CBBC Feb 2021 B | 150,000,000 | 2020/11/25 | 2021/02/25 | 37.50 |
| 59586 | Haitong Int'l Sec – HSTECH R Bear CBBC Mar 2021 D | 150,000,000 | 2020/11/25 | 2021/03/30 | 37.50 |
| 59343 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/11/25 | 2024/01/30 | 50.00 |
| 59342 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 C | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59340 | J P Morgan SP BV – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59935 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Apr 2021 D | 100,000,000 | 2020/11/25 | 2021/04/29 | 25.00 |
| 59031 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Mar 2021 D | 100,000,000 | 2020/11/25 | 2021/03/30 | 25.00 |
| 59021 | Morgan Stanley Asia Products – HSTECH R Bear CBBC May 2021 C | 100,000,000 | 2020/11/25 | 2021/05/28 | 25.00 |
| 59372 | SG Issuer – AIA R Bull CBBC Jun 2021 C | 60,000,000 | 2020/11/25 | 2021/06/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 59389 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 T | 80,000,000 | 2020/11/25 | 2021/04/30 | 20.00 |
| 59373 | SG Issuer – Alibaba Group R Bear CBBC May 2021 X | 80,000,000 | 2020/11/25 | 2021/05/31 | 20.00 |
| 59459 | SG Issuer – China Life R Bull CBBC Aug 2021 E | 150,000,000 | 2020/11/25 | 2021/08/30 | 37.50 |
| 59486 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 L | 40,000,000 | 2020/11/25 | 2021/07/30 | 10.00 |
| 59485 | SG Issuer – Geely Auto R Bear CBBC Apr 2021 E | 150,000,000 | 2020/11/25 | 2021/04/30 | 37.50 |
| 59357 | SG Issuer – HSI R Bull CBBC Oct 2023 F | 250,000,000 | 2020/11/25 | 2023/10/30 | 62.50 |
| 59356 | SG Issuer – HSI R Bull CBBC Oct 2023 V | 250,000,000 | 2020/11/25 | 2023/10/30 | 62.50 |
| 59367 | SG Issuer – HSI R Bear CBBC Mar 2021 I | 250,000,000 | 2020/11/25 | 2021/03/30 | 62.50 |
| 59355 | SG Issuer – HSI R Bear CBBC May 2021 C | 500,000,000 | 2020/11/25 | 2021/05/28 | 125.00 |
| 59353 | SG Issuer – HSI R Bear CBBC May 2021 M | 250,000,000 | 2020/11/25 | 2021/05/28 | 62.50 |
| 59351 | SG Issuer – HSTECH R Bull CBBC Oct 2021 E | 100,000,000 | 2020/11/25 | 2021/10/28 | 25.00 |
| 59348 | SG Issuer – HSTECH R Bear CBBC Apr 2021 C | 100,000,000 | 2020/11/25 | 2021/04/29 | 25.00 |
| 59510 | SG Issuer – Meituan R Bull CBBC Jun 2021 I | 80,000,000 | 2020/11/25 | 2021/06/30 | 20.00 |
| 59492 | SG Issuer – Meituan R Bear CBBC May 2021 L | 80,000,000 | 2020/11/25 | 2021/05/31 | 20.00 |
| 59529 | SG Issuer – NetEase R Bull CBBC May 2021 B | 60,000,000 | 2020/11/25 | 2021/05/31 | 15.00 |
| 59556 | SG Issuer – Tencent R Bull CBBC May 2021 A | 80,000,000 | 2020/11/25 | 2021/05/31 | 20.00 |
| 59563 | SG Issuer – Tencent R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/11/25 | 2021/06/30 | 20.00 |
| 59382 | SG Issuer – Tencent R Bear CBBC Apr 2021 Y | 80,000,000 | 2020/11/25 | 2021/04/30 | 20.00 |
| 59383 | SG Issuer – Tencent R Bear CBBC May 2021 N | 80,000,000 | 2020/11/25 | 2021/05/28 | 20.00 |
| 59499 | SG Issuer – Xiaomi R Bull CBBC May 2021 U | 60,000,000 | 2020/11/25 | 2021/05/31 | 15.00 |
| 59385 | SG Issuer – Xiaomi R Bear CBBC Apr 2021 J | 200,000,000 | 2020/11/25 | 2021/04/30 | 50.00 |
| 59212 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Z | 80,000,000 | 2020/11/25 | 2021/04/30 | 20.00 |
| 59224 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 N | 200,000,000 | 2020/11/25 | 2021/06/28 | 50.00 |
| 59100 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 T | 80,000,000 | 2020/11/25 | 2021/04/19 | 20.00 |
| 59103 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 J | 200,000,000 | 2020/11/25 | 2021/06/28 | 50.00 |
| 59279 | UBS AG – BYD Company R Bull CBBC Sep 2021 B | 150,000,000 | 2020/11/25 | 2021/09/30 | 37.50 |
| 59282 | UBS AG – BYD Company R Bull CBBC Sep 2021 C | 150,000,000 | 2020/11/25 | 2021/09/24 | 37.50 |
| 59284 | UBS AG – BYD Company R Bull CBBC Sep 2021 D | 150,000,000 | 2020/11/25 | 2021/09/27 | 37.50 |
| 59286 | UBS AG – BYD Company R Bull CBBC Sep 2021 E | 150,000,000 | 2020/11/25 | 2021/09/13 | 37.50 |
| 59253 | UBS AG – Geely Auto R Bull CBBC Jul 2021 C | 40,000,000 | 2020/11/25 | 2021/07/12 | 10.00 |
| 59252 | UBS AG – Geely Auto R Bull CBBC Aug 2021 F | 100,000,000 | 2020/11/25 | 2021/08/09 | 25.00 |
| 59263 | UBS AG – Geely Auto R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/25 | 2021/08/23 | 25.00 |
| 59267 | UBS AG – Geely Auto R Bear CBBC Aug 2021 D | 100,000,000 | 2020/11/25 | 2021/08/09 | 25.00 |
| 59287 | UBS AG – HSBC R Bull CBBC Sep 2021 M | 150,000,000 | 2020/11/25 | 2021/09/27 | 37.50 |
| 59289 | UBS AG – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/11/25 | 2023/09/28 | 50.00 |
| 59184 | UBS AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59200 | UBS AG – HSI R Bear CBBC Feb 2021 D | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59155 | UBS AG – HSI R Bear CBBC Feb 2021 F | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59204 | UBS AG – HSI R Bear CBBC Feb 2021 G | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59167 | UBS AG – HSI R Bear CBBC Feb 2021 M | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59187 | UBS AG – HSI R Bear CBBC Feb 2021 N | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59188 | UBS AG – HSI R Bear CBBC Feb 2021 O | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59190 | UBS AG – HSI R Bear CBBC Feb 2021 Q | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59198 | UBS AG – HSI R Bear CBBC Feb 2021 R | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59209 | UBS AG – HSI R Bear CBBC Feb 2021 T | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59180 | UBS AG – HSI R Bear CBBC Feb 2021 X | 200,000,000 | 2020/11/25 | 2021/02/25 | 50.00 |
| 59334 | UBS AG – HSTECH R Bull CBBC Dec 2021 J | 200,000,000 | 2020/11/25 | 2021/12/30 | 50.00 |
| 59335 | UBS AG – HSTECH R Bull CBBC Dec 2021 K | 200,000,000 | 2020/11/25 | 2021/12/30 | 50.00 |
| 59337 | UBS AG – HSTECH R Bull CBBC Dec 2021 L | 200,000,000 | 2020/11/25 | 2021/12/30 | 50.00 |
| 59338 | UBS AG – HSTECH R Bear CBBC Mar 2021 E | 200,000,000 | 2020/11/25 | 2021/03/30 | 50.00 |
| 59339 | UBS AG – HSTECH R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/25 | 2021/03/30 | 50.00 |
| 59321 | UBS AG – JD.com R Bull CBBC Apr 2021 N | 80,000,000 | 2020/11/25 | 2021/04/30 | 20.00 |
| 59323 | UBS AG – JD.com R Bull CBBC Jun 2021 E | 200,000,000 | 2020/11/25 | 2021/06/30 | 50.00 |
| 59132 | UBS AG – JD.com R Bear CBBC Apr 2021 F | 80,000,000 | 2020/11/25 | 2021/04/26 | 20.00 |
| 59134 | UBS AG – JD.com R Bear CBBC Jun 2021 F | 200,000,000 | 2020/11/25 | 2021/06/28 | 50.00 |
| 59318 | UBS AG – Meituan R Bull CBBC Apr 2021 Y | 50,000,000 | 2020/11/25 | 2021/04/26 | 12.50 |
| 59226 | UBS AG – Meituan R Bull CBBC Jun 2021 O | 150,000,000 | 2020/11/25 | 2021/06/30 | 37.50 |
| 59109 | UBS AG – Meituan R Bear CBBC Jun 2021 H | 150,000,000 | 2020/11/25 | 2021/06/28 | 37.50 |
| 59251 | UBS AG – NetEase R Bull CBBC Apr 2021 F | 80,000,000 | 2020/11/25 | 2021/04/28 | 20.00 |
| 59153 | UBS AG – NetEase R Bear CBBC Mar 2021 C | 80,000,000 | 2020/11/25 | 2021/03/29 | 20.00 |
| 59298 | UBS AG – Tencent R Bull CBBC Apr 2021 C | 40,000,000 | 2020/11/25 | 2021/04/26 | 10.00 |
| 59306 | UBS AG – Tencent R Bull CBBC Apr 2021 D | 200,000,000 | 2020/11/25 | 2021/04/30 | 50.00 |
| 59092 | UBS AG – Tencent R Bear CBBC Apr 2021 J | 40,000,000 | 2020/11/25 | 2021/04/12 | 10.00 |
| 59097 | UBS AG – Tencent R Bear CBBC Jun 2021 N | 200,000,000 | 2020/11/25 | 2021/06/23 | 50.00 |
| 59099 | UBS AG – Tencent R Bear CBBC Jun 2021 O | 200,000,000 | 2020/11/25 | 2021/06/28 | 50.00 |
| 59231 | UBS AG – Xiaomi R Bull CBBC Apr 2021 H | 60,000,000 | 2020/11/25 | 2021/04/12 | 15.00 |
| 59246 | UBS AG – Xiaomi R Bull CBBC Jun 2021 D | 200,000,000 | 2020/11/25 | 2021/06/28 | 50.00 |
| 59110 | UBS AG – Xiaomi R Bear CBBC Apr 2021 C | 60,000,000 | 2020/11/25 | 2021/04/12 | 15.00 |
| 59130 | UBS AG – Xiaomi R Bear CBBC Jun 2021 H | 200,000,000 | 2020/11/25 | 2021/06/21 | 50.00 |
| 59999 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 D | 40,000,000 | 2020/11/25 | 2021/11/19 | 10.40 |
| 59954 | Bank Vontobel – HSI N Bull CBBC Sep 2023 E | 40,000,000 | 2020/11/25 | 2023/09/28 | 10.00 |
| 59976 | Bank Vontobel – HSI N Bull CBBC Sep 2023 F | 40,000,000 | 2020/11/25 | 2023/09/28 | 10.00 |
| 59994 | Bank Vontobel – HSI R Bull CBBC Sep 2023 G | 80,000,000 | 2020/11/25 | 2023/09/28 | 20.00 |
| 59947 | Bank Vontobel – HSI R Bear CBBC Feb 2021 E | 80,000,000 | 2020/11/25 | 2021/02/25 | 20.00 |
| 60013 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 M | 60,000,000 | 2020/11/25 | 2021/11/19 | 15.00 |
| 60018 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 N | 60,000,000 | 2020/11/25 | 2021/11/26 | 15.00 |
| 60008 | Bank Vontobel – Ping An R Bull CBBC Aug 2021 E | 40,000,000 | 2020/11/25 | 2021/08/13 | 10.00 |
| 60021 | Bank Vontobel – SUNAC R Bull CBBC Nov 2021 A | 40,000,000 | 2020/11/25 | 2021/11/19 | 10.00 |
| 60081 | Bank Vontobel – SUNAC R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/25 | 2021/11/26 | 10.00 |
| 60009 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 O | 80,000,000 | 2020/11/25 | 2021/11/26 | 20.00 |
| 59983 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 L | 40,000,000 | 2020/11/25 | 2021/11/19 | 10.00 |
| 60778 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 A | 60,000,000 | 2020/11/26 | 2021/06/30 | 15.00 |
| 60780 | BOCI Asia Ltd. – HSI R Bear CBBC Feb 2021 D | 150,000,000 | 2020/11/26 | 2021/02/25 | 37.50 |
| 60770 | BOCI Asia Ltd. – JD.com R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/26 | 2021/06/30 | 10.00 |
| 60771 | BOCI Asia Ltd. – JD.com R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/26 | 2021/06/30 | 10.00 |
| 61956 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 W | 190,000,000 | 2020/11/26 | 2023/09/28 | 47.50 |
| 61929 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/11/26 | 2021/03/30 | 50.00 |
| 61962 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Z | 240,000,000 | 2020/11/26 | 2021/03/30 | 60.00 |
| 62532 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 J | 50,000,000 | 2020/11/26 | 2021/04/29 | 12.50 |
| 62072 | Credit Suisse AG – BYD Company R Bull CBBC Jul 2021 B | 100,000,000 | 2020/11/26 | 2021/07/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62148 | Credit Suisse AG – BYD Company R Bear CBBC Apr 2021 B | 100,000,000 | 2020/11/26 | 2021/04/29 | 25.00 |
| 62208 | Credit Suisse AG – BYD Company R Bear CBBC May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/28 | 25.00 |
| 62494 | Credit Suisse AG – China Life R Bull CBBC Sep 2021 A | 80,000,000 | 2020/11/26 | 2021/09/29 | 20.00 |
| 62611 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/11/26 | 2023/09/28 | 50.00 |
| 62015 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 A | 200,000,000 | 2020/11/26 | 2021/02/25 | 50.00 |
| 62013 | Credit Suisse AG – HSI R Bear CBBC Feb 2021 Z | 200,000,000 | 2020/11/26 | 2021/02/25 | 50.00 |
| 62632 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 D | 100,000,000 | 2020/11/26 | 2021/11/29 | 25.00 |
| 62633 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 D | 100,000,000 | 2020/11/26 | 2021/12/30 | 25.00 |
| 62639 | Credit Suisse AG – HSTECH R Bear CBBC Jul 2021 A | 100,000,000 | 2020/11/26 | 2021/07/29 | 25.00 |
| 62380 | Credit Suisse AG – NetEase R Bull CBBC Mar 2021 B | 50,000,000 | 2020/11/26 | 2021/03/30 | 12.50 |
| 62460 | Credit Suisse AG – NetEase R Bear CBBC May 2021 A | 50,000,000 | 2020/11/26 | 2021/05/28 | 12.50 |
| 62029 | Credit Suisse AG – Tencent R Bull CBBC May 2021 E | 100,000,000 | 2020/11/26 | 2021/05/28 | 25.00 |
| 62512 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 P | 100,000,000 | 2020/11/26 | 2021/05/28 | 25.00 |
| 60735 | Guotai Junan – HSI R Bull CBBC Dec 2023 A | 200,000,000 | 2020/11/26 | 2023/12/28 | 50.00 |
| 63376 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 K | 60,000,000 | 2020/11/26 | 2021/08/30 | 15.00 |
| 63380 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 L | 100,000,000 | 2020/11/26 | 2021/08/30 | 25.00 |
| 63324 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 S | 160,000,000 | 2020/11/26 | 2024/02/28 | 40.00 |
| 63412 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 T | 160,000,000 | 2020/11/26 | 2024/02/28 | 40.00 |
| 63358 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 V | 160,000,000 | 2020/11/26 | 2021/03/30 | 40.00 |
| 63349 | Goldman Sachs SP (Asia) – HSTECH R Bull CBBC Mar 2021 A | 160,000,000 | 2020/11/26 | 2021/03/30 | 40.00 |
| 63355 | Goldman Sachs SP (Asia) – HSTECH R Bear CBBC Aug 2021 A | 160,000,000 | 2020/11/26 | 2021/08/30 | 40.00 |
| 63373 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/26 | 2021/07/30 | 25.00 |
| 63363 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 A | 100,000,000 | 2020/11/26 | 2021/08/26 | 25.00 |
| 63364 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 C | 60,000,000 | 2020/11/26 | 2021/08/26 | 15.00 |
| 63403 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Jul 2021 B | 80,000,000 | 2020/11/26 | 2021/07/30 | 20.00 |
| 63402 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Y | 60,000,000 | 2020/11/26 | 2021/08/27 | 15.00 |
| 63392 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 R | 100,000,000 | 2020/11/26 | 2021/08/27 | 25.00 |
| 63386 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Mar 2021 E | 60,000,000 | 2020/11/26 | 2021/03/31 | 15.00 |
| 63388 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Mar 2021 F | 60,000,000 | 2020/11/26 | 2021/03/31 | 15.00 |
| 60261 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 G | 100,000,000 | 2020/11/26 | 2021/08/02 | 25.00 |
| 60245 | HK Bank – HSI R Bear CBBC Apr 2021 D | 120,000,000 | 2020/11/26 | 2021/04/29 | 30.00 |
| 60246 | HK Bank – Tencent R Bull CBBC Jul 2021 Q | 100,000,000 | 2020/11/26 | 2021/07/30 | 25.00 |
| 60243 | HK Bank – Xiaomi R Bull CBBC Jul 2021 D | 100,000,000 | 2020/11/26 | 2021/07/23 | 25.00 |
| 61842 | Haitong Int'l Sec – BYD Company R Bull CBBC Apr 2021 A | 60,000,000 | 2020/11/26 | 2021/04/28 | 15.00 |
| 61927 | Haitong Int'l Sec – Geely Auto R Bull CBBC Aug 2021 A | 60,000,000 | 2020/11/26 | 2021/08/25 | 15.00 |
| 61759 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 E | 200,000,000 | 2020/11/26 | 2023/11/29 | 50.00 |
| 61777 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/11/26 | 2021/03/30 | 50.00 |
| 61841 | Haitong Int'l Sec – Meituan R Bull CBBC Feb 2021 R | 60,000,000 | 2020/11/26 | 2021/02/25 | 15.00 |
| 61823 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jun 2021 E | 100,000,000 | 2020/11/26 | 2021/06/25 | 25.00 |
| 60626 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 W | 200,000,000 | 2020/11/26 | 2024/02/28 | 50.00 |
| 60325 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/26 | 2021/04/29 | 50.00 |
| 60334 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/11/26 | 2021/04/29 | 50.00 |
| 60394 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/11/26 | 2021/04/29 | 50.00 |
| 60577 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 A | 200,000,000 | 2020/11/26 | 2022/04/28 | 50.00 |
| 60585 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 B | 200,000,000 | 2020/11/26 | 2022/04/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60591 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 C | 200,000,000 | 2020/11/26 | 2022/04/28 | 50.00 |
| 60380 | J P Morgan SP BV – HSTECH R Bear CBBC Apr 2021 D | 200,000,000 | 2020/11/26 | 2021/04/29 | 50.00 |
| 60538 | J P Morgan SP BV – NetEase R Bull CBBC Nov 2021 B | 300,000,000 | 2020/11/26 | 2021/11/12 | 75.00 |
| 60398 | J P Morgan SP BV – Xiaomi R Bull CBBC Jul 2021 B | 200,000,000 | 2020/11/26 | 2021/07/16 | 50.00 |
| 60553 | J P Morgan SP BV – Xiaomi R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/26 | 2021/06/18 | 50.00 |
| 60707 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 U | 100,000,000 | 2020/11/26 | 2023/11/29 | 25.00 |
| 60653 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 M | 100,000,000 | 2020/11/26 | 2021/03/30 | 25.00 |
| 60651 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 F | 100,000,000 | 2020/11/26 | 2021/04/29 | 25.00 |
| 62915 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 E | 80,000,000 | 2020/11/26 | 2021/06/30 | 20.00 |
| 63087 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 F | 80,000,000 | 2020/11/26 | 2021/06/30 | 20.00 |
| 62643 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 B | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 63151 | SG Issuer – BYD Company R Bull CBBC Aug 2021 B | 120,000,000 | 2020/11/26 | 2021/08/30 | 30.00 |
| 62934 | SG Issuer – BYD Company R Bull CBBC Sep 2021 C | 120,000,000 | 2020/11/26 | 2021/09/29 | 30.00 |
| 63318 | SG Issuer – HSI R Bull CBBC Oct 2023 K | 250,000,000 | 2020/11/26 | 2023/10/30 | 62.50 |
| 62801 | SG Issuer – HSI R Bull CBBC Nov 2023 R | 250,000,000 | 2020/11/26 | 2023/11/29 | 62.50 |
| 63028 | SG Issuer – HSI R Bear CBBC Apr 2021 E | 250,000,000 | 2020/11/26 | 2021/04/29 | 62.50 |
| 63264 | SG Issuer – HSI R Bear CBBC Apr 2021 J | 250,000,000 | 2020/11/26 | 2021/04/29 | 62.50 |
| 63259 | SG Issuer – HSI R Bear CBBC Apr 2021 Y | 250,000,000 | 2020/11/26 | 2021/04/29 | 62.50 |
| 63080 | SG Issuer – HSI R Bear CBBC May 2021 I | 250,000,000 | 2020/11/26 | 2021/05/28 | 62.50 |
| 63313 | SG Issuer – HSI R Bear CBBC May 2021 N | 250,000,000 | 2020/11/26 | 2021/05/28 | 62.50 |
| 62792 | SG Issuer – HSTECH R Bull CBBC Oct 2021 F | 100,000,000 | 2020/11/26 | 2021/10/28 | 25.00 |
| 63322 | SG Issuer – HSTECH R Bull CBBC Oct 2021 G | 100,000,000 | 2020/11/26 | 2021/10/28 | 25.00 |
| 62875 | SG Issuer – HSTECH R Bear CBBC May 2021 A | 100,000,000 | 2020/11/26 | 2021/05/28 | 25.00 |
| 62672 | SG Issuer – Meituan R Bull CBBC Apr 2021 T | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 63156 | SG Issuer – Meituan R Bull CBBC May 2021 X | 80,000,000 | 2020/11/26 | 2021/05/31 | 20.00 |
| 62960 | SG Issuer – Meituan R Bear CBBC Jun 2021 P | 80,000,000 | 2020/11/26 | 2021/06/30 | 20.00 |
| 63177 | SG Issuer – NetEase R Bear CBBC Aug 2021 A | 60,000,000 | 2020/11/26 | 2021/08/30 | 21.30 |
| 62684 | SG Issuer – Tencent R Bull CBBC Apr 2021 E | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 62974 | SG Issuer – Tencent R Bull CBBC Apr 2021 U | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 63181 | SG Issuer – Tencent R Bull CBBC Jul 2021 R | 200,000,000 | 2020/11/26 | 2021/07/30 | 50.00 |
| 62777 | SG Issuer – Tencent R Bear CBBC Apr 2021 Z | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 62784 | SG Issuer – Tencent R Bear CBBC May 2021 K | 200,000,000 | 2020/11/26 | 2021/05/28 | 50.00 |
| 63213 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 V | 60,000,000 | 2020/11/26 | 2021/04/30 | 15.00 |
| 63253 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 I | 200,000,000 | 2020/11/26 | 2021/06/30 | 50.00 |
| 63019 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 E | 200,000,000 | 2020/11/26 | 2021/07/30 | 50.00 |
| 62788 | SG Issuer – Xiaomi R Bear CBBC May 2021 H | 200,000,000 | 2020/11/26 | 2021/05/28 | 50.00 |
| 61096 | UBS AG – AIA R Bear CBBC Apr 2021 B | 60,000,000 | 2020/11/26 | 2021/04/29 | 15.00 |
| 61571 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 B | 80,000,000 | 2020/11/26 | 2021/04/21 | 20.00 |
| 61557 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 I | 80,000,000 | 2020/11/26 | 2021/04/09 | 20.00 |
| 61601 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 O | 200,000,000 | 2020/11/26 | 2021/06/28 | 50.00 |
| 60817 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 U | 80,000,000 | 2020/11/26 | 2021/04/12 | 20.00 |
| 61485 | UBS AG – BYD Company R Bull CBBC Aug 2021 D | 150,000,000 | 2020/11/26 | 2021/08/23 | 37.50 |
| 61514 | UBS AG – BYD Company R Bull CBBC Sep 2021 F | 150,000,000 | 2020/11/26 | 2021/09/30 | 37.50 |
| 61118 | UBS AG – BYD Company R Bear CBBC Jul 2021 B | 150,000,000 | 2020/11/26 | 2021/07/12 | 37.50 |
| 61120 | UBS AG – BYD Company R Bear CBBC Jul 2021 C | 150,000,000 | 2020/11/26 | 2021/07/29 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61060 | UBS AG – Galaxy Ent R Bear CBBC Jun 2021 B | 60,000,000 | 2020/11/26 | 2021/06/30 | 15.00 |
| 60942 | UBS AG – HSI R Bull CBBC Sep 2023 T | 200,000,000 | 2020/11/26 | 2023/09/28 | 50.00 |
| 60944 | UBS AG – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/11/26 | 2023/09/28 | 50.00 |
| 60950 | UBS AG – HSI R Bear CBBC Feb 2021 H | 200,000,000 | 2020/11/26 | 2021/02/25 | 50.00 |
| 61743 | UBS AG – HSI R Bear CBBC Feb 2021 U | 200,000,000 | 2020/11/26 | 2021/02/25 | 50.00 |
| 61748 | UBS AG – HSI R Bear CBBC Feb 2021 Y | 200,000,000 | 2020/11/26 | 2021/02/25 | 50.00 |
| 61287 | UBS AG – JD.com R Bull CBBC Apr 2021 O | 80,000,000 | 2020/11/26 | 2021/04/26 | 20.00 |
| 60833 | UBS AG – JD.com R Bear CBBC Jun 2021 G | 200,000,000 | 2020/11/26 | 2021/06/30 | 50.00 |
| 61402 | UBS AG – JD.com R Bear CBBC Jun 2021 H | 200,000,000 | 2020/11/26 | 2021/06/30 | 50.00 |
| 61618 | UBS AG – Meituan R Bull CBBC Mar 2021 O | 50,000,000 | 2020/11/26 | 2021/03/22 | 12.50 |
| 61633 | UBS AG – Meituan R Bull CBBC Jun 2021 P | 50,000,000 | 2020/11/26 | 2021/06/28 | 12.50 |
| 60819 | UBS AG – Meituan R Bear CBBC Apr 2021 O | 50,000,000 | 2020/11/26 | 2021/04/12 | 12.50 |
| 61411 | UBS AG – NetEase R Bull CBBC Apr 2021 G | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 61414 | UBS AG – NetEase R Bull CBBC Apr 2021 H | 80,000,000 | 2020/11/26 | 2021/04/30 | 20.00 |
| 60886 | UBS AG – NetEase R Bear CBBC Mar 2021 D | 80,000,000 | 2020/11/26 | 2021/03/31 | 20.00 |
| 61130 | UBS AG – Tencent R Bull CBBC Mar 2021 D | 40,000,000 | 2020/11/26 | 2021/03/22 | 10.00 |
| 61157 | UBS AG – Tencent R Bull CBBC Apr 2021 T | 40,000,000 | 2020/11/26 | 2021/04/30 | 10.00 |
| 61250 | UBS AG – Tencent R Bull CBBC Jun 2021 H | 200,000,000 | 2020/11/26 | 2021/06/30 | 50.00 |
| 60812 | UBS AG – Tencent R Bear CBBC Mar 2021 K | 40,000,000 | 2020/11/26 | 2021/03/22 | 10.00 |
| 61690 | UBS AG – Xiaomi R Bull CBBC Apr 2021 K | 60,000,000 | 2020/11/26 | 2021/04/30 | 15.00 |
| 61738 | UBS AG – Xiaomi R Bull CBBC Jun 2021 E | 200,000,000 | 2020/11/26 | 2021/06/28 | 50.00 |
| 60831 | UBS AG – Xiaomi R Bear CBBC Apr 2021 D | 60,000,000 | 2020/11/26 | 2021/04/08 | 15.00 |
| 63973 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 B | 60,000,000 | 2020/11/27 | 2021/06/30 | 15.00 |
| 64027 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 C | 60,000,000 | 2020/11/27 | 2021/06/30 | 15.00 |
| 64070 | BOCI Asia Ltd. – HKEX R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/27 | 2021/06/30 | 10.00 |
| 64046 | BOCI Asia Ltd. – HSBC R Bull CBBC Jun 2021 C | 40,000,000 | 2020/11/27 | 2021/06/30 | 10.00 |
| 63919 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 H | 150,000,000 | 2020/11/27 | 2023/03/30 | 37.50 |
| 63957 | BOCI Asia Ltd. – JD.com R Bull CBBC Jun 2021 B | 40,000,000 | 2020/11/27 | 2021/06/30 | 10.00 |
| 63963 | BOCI Asia Ltd. – JD.com R Bull CBBC Jun 2021 C | 40,000,000 | 2020/11/27 | 2021/06/30 | 10.00 |
| 63950 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/27 | 2021/06/30 | 10.00 |
| 63936 | BOCI Asia Ltd. – SMIC R Bull CBBC Jun 2021 A | 150,000,000 | 2020/11/27 | 2021/06/30 | 37.50 |
| 64091 | BOCI Asia Ltd. – Tencent R Bear CBBC Jun 2021 A | 120,000,000 | 2020/11/27 | 2021/06/30 | 30.00 |
| 63941 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/27 | 2021/06/30 | 10.00 |
| 63943 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Jun 2021 A | 100,000,000 | 2020/11/27 | 2021/06/30 | 25.00 |
| 65329 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 H | 200,000,000 | 2020/11/27 | 2021/11/29 | 50.00 |
| 65330 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 R | 200,000,000 | 2020/11/27 | 2021/12/30 | 50.00 |
| 65332 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 X | 150,000,000 | 2020/11/27 | 2021/09/29 | 37.50 |
| 65214 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 X | 200,000,000 | 2020/11/27 | 2023/09/28 | 50.00 |
| 65230 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Y | 190,000,000 | 2020/11/27 | 2023/09/28 | 47.50 |
| 65198 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |
| 65240 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 N | 240,000,000 | 2020/11/27 | 2021/03/30 | 60.00 |
| 65253 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 T | 150,000,000 | 2020/11/27 | 2021/11/29 | 37.50 |
| 65294 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 G | 150,000,000 | 2020/11/27 | 2021/11/29 | 37.50 |
| 64796 | Credit Suisse AG – AAC Tech R Bull CBBC Jul 2021 B | 80,000,000 | 2020/11/27 | 2021/07/29 | 20.00 |
| 64802 | Credit Suisse AG – Alibaba Group R Bull CBBC Mar 2021 H | 50,000,000 | 2020/11/27 | 2021/03/30 | 12.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64793 | Credit Suisse AG – BYD Company R Bear CBBC May 2021 B | 100,000,000 | 2020/11/27 | 2021/05/28 | 25.00 |
| 64852 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 L | 50,000,000 | 2020/11/27 | 2021/04/29 | 12.50 |
| 64867 | Credit Suisse AG – HKEX R Bull CBBC May 2021 E | 100,000,000 | 2020/11/27 | 2021/05/28 | 25.00 |
| 64778 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 E | 100,000,000 | 2020/11/27 | 2021/07/29 | 25.00 |
| 64912 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 L | 200,000,000 | 2020/11/27 | 2023/09/28 | 50.00 |
| 64771 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |
| 64887 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |
| 64797 | Credit Suisse AG – JD.com R Bull CBBC Apr 2021 D | 100,000,000 | 2020/11/27 | 2021/04/29 | 25.00 |
| 63674 | Bank of East Asia – Alibaba Group R Bull CBBC Sep 2021 A | 40,000,000 | 2020/11/27 | 2021/09/24 | 10.00 |
| 63802 | Bank of East Asia – Geely Auto R Bull CBBC Sep 2021 A | 80,000,000 | 2020/11/27 | 2021/09/28 | 20.00 |
| 63729 | Bank of East Asia – JD.com R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/27 | 2021/07/26 | 20.00 |
| 63790 | Bank of East Asia – JD.com R Bull CBBC Jul 2021 B | 80,000,000 | 2020/11/27 | 2021/07/27 | 20.00 |
| 63638 | Bank of East Asia – Tencent R Bull CBBC Sep 2021 A | 120,000,000 | 2020/11/27 | 2021/09/27 | 30.00 |
| 63898 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 J | 80,000,000 | 2020/11/27 | 2021/07/22 | 20.00 |
| 63854 | Bank of East Asia – Xiaomi R Bull CBBC Dec 2022 A | 40,000,000 | 2020/11/27 | 2022/12/30 | 14.40 |
| 65875 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 O | 100,000,000 | 2020/11/27 | 2021/07/30 | 25.00 |
| 65835 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 M | 60,000,000 | 2020/11/27 | 2021/08/30 | 15.00 |
| 65840 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 N | 100,000,000 | 2020/11/27 | 2021/08/30 | 25.00 |
| 65804 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 Y | 80,000,000 | 2020/11/27 | 2021/07/29 | 20.00 |
| 65834 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 Z | 80,000,000 | 2020/11/27 | 2021/07/29 | 20.00 |
| 65709 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 N | 160,000,000 | 2020/11/27 | 2023/11/29 | 40.00 |
| 65704 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 U | 160,000,000 | 2020/11/27 | 2024/02/28 | 40.00 |
| 65740 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 V | 160,000,000 | 2020/11/27 | 2024/02/28 | 40.00 |
| 65682 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 W | 160,000,000 | 2020/11/27 | 2021/03/30 | 40.00 |
| 65695 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 X | 160,000,000 | 2020/11/27 | 2021/03/30 | 40.00 |
| 65713 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 Y | 160,000,000 | 2020/11/27 | 2021/03/30 | 40.00 |
| 65739 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 Z | 160,000,000 | 2020/11/27 | 2021/03/30 | 40.00 |
| 65871 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/27 | 2021/08/27 | 25.00 |
| 65844 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Z | 60,000,000 | 2020/11/27 | 2021/08/27 | 15.00 |
| 65749 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Mar 2021 G | 60,000,000 | 2020/11/27 | 2021/03/31 | 15.00 |
| 65795 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Mar 2021 H | 60,000,000 | 2020/11/27 | 2021/03/31 | 15.00 |
| 63506 | HK Bank – AAC Tech R Bull CBBC Jul 2021 C | 40,000,000 | 2020/11/27 | 2021/07/30 | 10.00 |
| 63421 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 I | 100,000,000 | 2020/11/27 | 2021/07/26 | 25.00 |
| 63523 | HK Bank – BYD Company R Bull CBBC Jul 2021 C | 60,000,000 | 2020/11/27 | 2021/07/16 | 15.00 |
| 63530 | HK Bank – HSCEI R Bear CBBC Apr 2021 A | 50,000,000 | 2020/11/27 | 2021/04/29 | 12.50 |
| 63518 | HK Bank – HSI R Bull CBBC Sep 2023 P | 80,000,000 | 2020/11/27 | 2023/09/28 | 20.00 |
| 63512 | HK Bank – HSI R Bear CBBC Apr 2021 E | 80,000,000 | 2020/11/27 | 2021/04/29 | 20.00 |
| 63561 | HK Bank – HSTECH R Bull CBBC May 2021 B | 100,000,000 | 2020/11/27 | 2021/05/28 | 25.00 |
| 63610 | HK Bank – HSTECH R Bear CBBC Jun 2021 C | 100,000,000 | 2020/11/27 | 2021/06/29 | 25.00 |
| 63428 | HK Bank – JD.com R Bear CBBC Jul 2021 B | 50,000,000 | 2020/11/27 | 2021/07/30 | 12.50 |
| 63448 | HK Bank – Xiaomi R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/27 | 2021/07/26 | 10.00 |
| 65173 | Haitong Int'l Sec – BYD Company R Bear CBBC Mar 2021 B | 60,000,000 | 2020/11/27 | 2021/03/23 | 18.78 |
| 65177 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 F | 200,000,000 | 2020/11/27 | 2023/12/28 | 50.00 |
| 65187 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |
| 65168 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jun 2021 D | 100,000,000 | 2020/11/27 | 2021/06/25 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 65158 | J P Morgan SP BV – Alibaba Group R Bull CBBC Aug 2021 A | 200,000,000 | 2020/11/27 | 2021/08/20 | 50.00 |
| 65162 | J P Morgan SP BV – HKEX R Bull CBBC Jul 2021 A | 200,000,000 | 2020/11/27 | 2021/07/16 | 50.00 |
| 65136 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 X | 200,000,000 | 2020/11/27 | 2024/02/28 | 50.00 |
| 65112 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 F | 200,000,000 | 2020/11/27 | 2021/04/29 | 50.00 |
| 65141 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 G | 200,000,000 | 2020/11/27 | 2021/04/29 | 50.00 |
| 65124 | J P Morgan SP BV – HSTECH R Bear CBBC Apr 2021 E | 200,000,000 | 2020/11/27 | 2021/04/29 | 50.00 |
| 65150 | J P Morgan SP BV – Meituan R Bull CBBC Jun 2021 E | 200,000,000 | 2020/11/27 | 2021/06/18 | 50.00 |
| 65601 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 V | 80,000,000 | 2020/11/27 | 2021/04/30 | 20.00 |
| 65466 | SG Issuer – Alibaba Group R Bull CBBC May 2021 T | 80,000,000 | 2020/11/27 | 2021/05/31 | 20.00 |
| 65603 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 K | 200,000,000 | 2020/11/27 | 2021/07/30 | 50.00 |
| 65342 | SG Issuer – Alibaba Group R Bear CBBC May 2021 Y | 80,000,000 | 2020/11/27 | 2021/05/31 | 20.00 |
| 65672 | SG Issuer – BYD Company R Bull CBBC Oct 2021 C | 120,000,000 | 2020/11/27 | 2021/10/28 | 30.00 |
| 65608 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 M | 40,000,000 | 2020/11/27 | 2021/07/30 | 10.00 |
| 65609 | SG Issuer – Geely Auto R Bull CBBC Oct 2021 A | 150,000,000 | 2020/11/27 | 2021/10/28 | 37.50 |
| 65612 | SG Issuer – HKEX R Bull CBBC Jun 2021 L | 50,000,000 | 2020/11/27 | 2021/06/30 | 12.50 |
| 65625 | SG Issuer – HKEX R Bull CBBC Jun 2021 M | 50,000,000 | 2020/11/27 | 2021/06/30 | 12.50 |
| 65627 | SG Issuer – HKEX R Bull CBBC Sep 2021 E | 50,000,000 | 2020/11/27 | 2021/09/30 | 12.50 |
| 65485 | SG Issuer – HKEX R Bear CBBC Mar 2021 H | 50,000,000 | 2020/11/27 | 2021/03/31 | 12.50 |
| 65430 | SG Issuer – HSI R Bull CBBC Feb 2024 A | 250,000,000 | 2020/11/27 | 2024/02/28 | 62.50 |
| 65574 | SG Issuer – HSI R Bull CBBC Feb 2024 B | 250,000,000 | 2020/11/27 | 2024/02/28 | 62.50 |
| 65421 | SG Issuer – HSI R Bear CBBC Apr 2021 K | 250,000,000 | 2020/11/27 | 2021/04/29 | 62.50 |
| 65540 | SG Issuer – HSI R Bear CBBC Apr 2021 L | 250,000,000 | 2020/11/27 | 2021/04/29 | 62.50 |
| 65553 | SG Issuer – HSI R Bear CBBC Apr 2021 N | 250,000,000 | 2020/11/27 | 2021/04/29 | 62.50 |
| 65429 | SG Issuer – HSI R Bear CBBC May 2021 P | 250,000,000 | 2020/11/27 | 2021/05/28 | 62.50 |
| 65539 | SG Issuer – HSTECH R Bull CBBC Aug 2021 B | 100,000,000 | 2020/11/27 | 2021/08/30 | 25.00 |
| 65491 | SG Issuer – JD.com R Bull CBBC Jun 2021 L | 60,000,000 | 2020/11/27 | 2021/06/30 | 15.00 |
| 65343 | SG Issuer – Meituan R Bull CBBC Mar 2021 Z | 80,000,000 | 2020/11/27 | 2021/03/31 | 20.00 |
| 65644 | SG Issuer – Meituan R Bull CBBC Jul 2021 J | 200,000,000 | 2020/11/27 | 2021/07/30 | 50.00 |
| 65495 | SG Issuer – NetEase R Bull CBBC Jun 2021 D | 60,000,000 | 2020/11/27 | 2021/06/30 | 15.00 |
| 65501 | SG Issuer – SMIC R Bull CBBC Jul 2021 E | 120,000,000 | 2020/11/27 | 2021/07/30 | 30.00 |
| 65536 | SG Issuer – Tencent R Bull CBBC Apr 2021 K | 80,000,000 | 2020/11/27 | 2021/04/30 | 20.00 |
| 65389 | SG Issuer – Tencent R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/11/27 | 2021/04/30 | 20.00 |
| 65645 | SG Issuer – Xiaomi R Bull CBBC May 2021 V | 60,000,000 | 2020/11/27 | 2021/05/31 | 15.00 |
| 65403 | SG Issuer – Xiaomi R Bear CBBC Jul 2021 C | 200,000,000 | 2020/11/27 | 2021/07/29 | 50.00 |
| 64274 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 J | 80,000,000 | 2020/11/27 | 2021/04/12 | 20.00 |
| 64348 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 M | 80,000,000 | 2020/11/27 | 2021/04/30 | 20.00 |
| 64100 | UBS AG – Alibaba Group R Bear CBBC Apr 2021 V | 80,000,000 | 2020/11/27 | 2021/04/30 | 20.00 |
| 64560 | UBS AG – BYD Company R Bull CBBC Sep 2021 G | 150,000,000 | 2020/11/27 | 2021/09/30 | 37.50 |
| 64601 | UBS AG – BYD Company R Bull CBBC Sep 2021 H | 150,000,000 | 2020/11/27 | 2021/09/24 | 37.50 |
| 64617 | UBS AG – BYD Company R Bull CBBC Sep 2021 I | 150,000,000 | 2020/11/27 | 2021/09/27 | 37.50 |
| 64754 | UBS AG – Galaxy Ent R Bull CBBC May 2021 G | 50,000,000 | 2020/11/27 | 2021/05/28 | 12.50 |
| 64719 | UBS AG – HKEX R Bull CBBC Apr 2021 M | 50,000,000 | 2020/11/27 | 2021/04/30 | 12.50 |
| 64746 | UBS AG – HKEX R Bull CBBC Apr 2021 N | 50,000,000 | 2020/11/27 | 2021/04/30 | 12.50 |
| 64768 | UBS AG – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/11/27 | 2023/10/30 | 50.00 |
| 64239 | UBS AG – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 64618 | UBS AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |
| 64636 | UBS AG – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/11/27 | 2021/03/30 | 50.00 |
| 64551 | UBS AG – JD.com R Bull CBBC Apr 2021 P | 80,000,000 | 2020/11/27 | 2021/04/28 | 20.00 |
| 64157 | UBS AG – JD.com R Bear CBBC Jun 2021 I | 80,000,000 | 2020/11/27 | 2021/06/30 | 20.00 |
| 64107 | UBS AG – Meituan R Bull CBBC Apr 2021 Z | 50,000,000 | 2020/11/27 | 2021/04/30 | 12.50 |
| 64109 | UBS AG – Meituan R Bear CBBC Apr 2021 P | 50,000,000 | 2020/11/27 | 2021/04/30 | 12.50 |
| 64559 | UBS AG – NetEase R Bull CBBC Apr 2021 I | 80,000,000 | 2020/11/27 | 2021/04/28 | 20.00 |
| 64193 | UBS AG – NetEase R Bear CBBC Apr 2021 C | 80,000,000 | 2020/11/27 | 2021/04/28 | 20.00 |
| 64210 | UBS AG – NetEase R Bear CBBC Apr 2021 D | 80,000,000 | 2020/11/27 | 2021/04/29 | 20.00 |
| 64235 | UBS AG – SMIC R Bear CBBC Jun 2021 F | 100,000,000 | 2020/11/27 | 2021/06/30 | 25.00 |
| 64095 | UBS AG – Tencent R Bull CBBC Apr 2021 L | 40,000,000 | 2020/11/27 | 2021/04/30 | 10.00 |
| 64694 | UBS AG – Tencent R Bear CBBC Apr 2021 K | 40,000,000 | 2020/11/27 | 2021/04/19 | 10.00 |
| 64712 | UBS AG – Tencent R Bear CBBC Apr 2021 L | 40,000,000 | 2020/11/27 | 2021/04/30 | 10.00 |
| 64137 | UBS AG – Xiaomi R Bull CBBC Apr 2021 J | 60,000,000 | 2020/11/27 | 2021/04/30 | 15.00 |
| 64152 | UBS AG – Xiaomi R Bull CBBC Apr 2021 L | 60,000,000 | 2020/11/27 | 2021/04/30 | 15.00 |
| 64350 | UBS AG – Xiaomi R Bear CBBC Apr 2021 H | 60,000,000 | 2020/11/27 | 2021/04/01 | 15.00 |
| 64468 | UBS AG – Xiaomi R Bear CBBC Jun 2021 I | 200,000,000 | 2020/11/27 | 2021/06/30 | 50.00 |
| 65009 | Bank Vontobel – AIA R Bull CBBC Nov 2021 D | 80,000,000 | 2020/11/27 | 2021/11/19 | 20.00 |
| 64984 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 D | 40,000,000 | 2020/11/27 | 2021/11/26 | 10.00 |
| 64987 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 D | 60,000,000 | 2020/11/27 | 2021/11/19 | 15.00 |
| 64986 | Bank Vontobel – BYD Company R Bear CBBC May 2021 C | 60,000,000 | 2020/11/27 | 2021/05/21 | 15.00 |
| 64996 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 E | 40,000,000 | 2020/11/27 | 2021/11/26 | 10.00 |
| 64994 | Bank Vontobel – Geely Auto R Bear CBBC Jun 2021 A | 30,000,000 | 2020/11/27 | 2021/06/25 | 20.10 |
| 65007 | Bank Vontobel – HKEX R Bull CBBC Nov 2021 B | 40,000,000 | 2020/11/27 | 2021/11/26 | 10.00 |
| 65022 | Bank Vontobel – HSI R Bull CBBC Sep 2023 H | 80,000,000 | 2020/11/27 | 2023/09/28 | 20.00 |
| 65042 | Bank Vontobel – HSI R Bull CBBC Sep 2023 I | 80,000,000 | 2020/11/27 | 2023/09/28 | 20.00 |
| 64948 | Bank Vontobel – HSI R Bear CBBC Mar 2021 T | 80,000,000 | 2020/11/27 | 2021/03/30 | 20.00 |
| 64963 | Bank Vontobel – HSI R Bear CBBC Mar 2021 U | 80,000,000 | 2020/11/27 | 2021/03/30 | 20.00 |
| 65011 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 E | 100,000,000 | 2020/11/27 | 2021/12/30 | 25.00 |
| 65019 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 E | 100,000,000 | 2020/11/27 | 2021/03/30 | 25.00 |
| 64981 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 O | 60,000,000 | 2020/11/27 | 2021/11/12 | 15.00 |
| 65067 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 M | 40,000,000 | 2020/11/27 | 2021/11/12 | 10.00 |
| 65098 | Bank Vontobel – Xiaomi R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/27 | 2021/07/02 | 25.00 |
| 66512 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jun 2021 A | 60,000,000 | 2020/11/30 | 2021/06/30 | 15.00 |
| 66508 | BOCI Asia Ltd. – China Life R Bull CBBC Jun 2021 A | 40,000,000 | 2020/11/30 | 2021/06/30 | 10.00 |
| 66489 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 I | 150,000,000 | 2020/11/30 | 2023/03/30 | 37.50 |
| 66492 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 J | 150,000,000 | 2020/11/30 | 2023/03/30 | 37.50 |
| 66055 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 A | 150,000,000 | 2020/11/30 | 2021/03/30 | 37.50 |
| 66060 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 B | 150,000,000 | 2020/11/30 | 2021/03/30 | 37.50 |
| 66094 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 C | 150,000,000 | 2020/11/30 | 2021/03/30 | 37.50 |
| 66107 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 D | 150,000,000 | 2020/11/30 | 2021/03/30 | 37.50 |
| 66501 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 E | 150,000,000 | 2020/11/30 | 2021/03/30 | 37.50 |
| 66511 | BOCI Asia Ltd. – Meituan R Bear CBBC Jun 2021 A | 40,000,000 | 2020/11/30 | 2021/06/30 | 10.00 |
| 66923 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Dec 2021 C | 150,000,000 | 2020/11/30 | 2021/12/30 | 37.50 |
| 66966 | BNP Paribas Issuance B.V. – HSBC R Bear CBBC Apr 2021 A | 150,000,000 | 2020/11/30 | 2021/04/29 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66899 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/11/30 | 2023/09/28 | 50.00 |
| 66911 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 E | 200,000,000 | 2020/11/30 | 2023/09/28 | 50.00 |
| 66915 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 H | 190,000,000 | 2020/11/30 | 2023/09/28 | 47.50 |
| 66837 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Z | 200,000,000 | 2020/11/30 | 2023/09/28 | 50.00 |
| 66809 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 66819 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 66912 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 66804 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 67199 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 D | 100,000,000 | 2020/11/30 | 2021/09/29 | 25.00 |
| 67204 | Credit Suisse AG – BYD Company R Bear CBBC Jul 2021 B | 100,000,000 | 2020/11/30 | 2021/07/29 | 25.00 |
| 67187 | Credit Suisse AG – Galaxy Ent R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/30 | 2021/07/29 | 20.00 |
| 67194 | Credit Suisse AG – HKEX R Bull CBBC Apr 2021 M | 50,000,000 | 2020/11/30 | 2021/04/29 | 12.50 |
| 67094 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/30 | 2021/07/29 | 25.00 |
| 67096 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 G | 100,000,000 | 2020/11/30 | 2021/07/29 | 25.00 |
| 67152 | Credit Suisse AG – HSBC R Bear CBBC Jul 2021 D | 100,000,000 | 2020/11/30 | 2021/07/29 | 25.00 |
| 67185 | Credit Suisse AG – HSBC R Bear CBBC Sep 2021 A | 100,000,000 | 2020/11/30 | 2021/09/29 | 25.00 |
| 67039 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 L | 200,000,000 | 2020/11/30 | 2023/12/28 | 50.00 |
| 67048 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 M | 200,000,000 | 2020/11/30 | 2023/12/28 | 50.00 |
| 67051 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 U | 200,000,000 | 2020/11/30 | 2023/12/28 | 50.00 |
| 66970 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 66977 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 66981 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 67023 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 K | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 67001 | Credit Suisse AG – HSI R Bear CBBC May 2021 A | 200,000,000 | 2020/11/30 | 2021/05/28 | 50.00 |
| 67033 | Credit Suisse AG – HSI R Bear CBBC May 2021 B | 200,000,000 | 2020/11/30 | 2021/05/28 | 50.00 |
| 67052 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 E | 100,000,000 | 2020/11/30 | 2021/12/30 | 25.00 |
| 67219 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 F | 100,000,000 | 2020/11/30 | 2021/03/30 | 25.00 |
| 67227 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 G | 100,000,000 | 2020/11/30 | 2021/03/30 | 25.00 |
| 67210 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 H | 80,000,000 | 2020/11/30 | 2021/07/29 | 20.00 |
| 66529 | Guotai Junan – HSI R Bull CBBC Jan 2024 A | 200,000,000 | 2020/11/30 | 2024/01/30 | 50.00 |
| 66530 | Guotai Junan – HSI R Bull CBBC Feb 2024 A | 200,000,000 | 2020/11/30 | 2024/02/28 | 50.00 |
| 66552 | Guotai Junan – HSI R Bear CBBC Apr 2021 B | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 66557 | Guotai Junan – HSI R Bear CBBC Apr 2021 C | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 68856 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 O | 60,000,000 | 2020/11/30 | 2021/08/30 | 15.00 |
| 69032 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 P | 60,000,000 | 2020/11/30 | 2021/08/30 | 15.00 |
| 68960 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 A | 80,000,000 | 2020/11/30 | 2021/07/29 | 20.00 |
| 68989 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Jul 2021 J | 80,000,000 | 2020/11/30 | 2021/07/29 | 20.00 |
| 69072 | Goldman Sachs SP (Asia) – HSBC R Bear CBBC Jul 2021 B | 60,000,000 | 2020/11/30 | 2021/07/29 | 15.00 |
| 68790 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 W | 160,000,000 | 2020/11/30 | 2024/02/28 | 40.00 |
| 68813 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 X | 160,000,000 | 2020/11/30 | 2024/02/28 | 40.00 |
| 68814 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Y | 160,000,000 | 2020/11/30 | 2024/02/28 | 40.00 |
| 68880 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Z | 160,000,000 | 2020/11/30 | 2024/02/28 | 40.00 |
| 68759 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 A | 160,000,000 | 2020/11/30 | 2021/03/30 | 40.00 |
| 68761 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 B | 160,000,000 | 2020/11/30 | 2021/03/30 | 40.00 |
| 68774 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 F | 160,000,000 | 2020/11/30 | 2021/03/30 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68781 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 G | 160,000,000 | 2020/11/30 | 2021/03/30 | 40.00 |
| 68901 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 H | 160,000,000 | 2020/11/30 | 2021/03/30 | 40.00 |
| 68918 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 I | 160,000,000 | 2020/11/30 | 2021/03/30 | 48.00 |
| 68919 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 J | 160,000,000 | 2020/11/30 | 2021/03/30 | 48.00 |
| 69047 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 F | 100,000,000 | 2020/11/30 | 2021/07/29 | 25.00 |
| 68827 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 D | 100,000,000 | 2020/11/30 | 2021/08/26 | 25.00 |
| 68848 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 I | 60,000,000 | 2020/11/30 | 2021/08/26 | 15.00 |
| 68921 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 J | 100,000,000 | 2020/11/30 | 2021/08/26 | 25.00 |
| 68926 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 K | 100,000,000 | 2020/11/30 | 2021/07/30 | 25.00 |
| 68744 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 I | 60,000,000 | 2020/11/30 | 2021/08/27 | 15.00 |
| 69052 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 J | 60,000,000 | 2020/11/30 | 2021/08/27 | 15.00 |
| 69055 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 S | 100,000,000 | 2020/11/30 | 2021/08/27 | 25.00 |
| 66403 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 J | 100,000,000 | 2020/11/30 | 2021/07/16 | 25.00 |
| 66401 | HK Bank – BYD Company R Bull CBBC Jul 2021 D | 60,000,000 | 2020/11/30 | 2021/07/23 | 15.00 |
| 66184 | HK Bank – Geely Auto R Bull CBBC Jul 2021 E | 60,000,000 | 2020/11/30 | 2021/07/07 | 15.00 |
| 66209 | HK Bank – Geely Auto R Bear CBBC Jul 2021 B | 40,000,000 | 2020/11/30 | 2021/07/26 | 10.00 |
| 66422 | HK Bank – HKEX R Bull CBBC Jun 2021 I | 100,000,000 | 2020/11/30 | 2021/06/15 | 25.00 |
| 66418 | HK Bank – HKEX R Bear CBBC Jul 2021 D | 100,000,000 | 2020/11/30 | 2021/07/23 | 25.00 |
| 66438 | HK Bank – HSI R Bull CBBC Sep 2023 Q | 120,000,000 | 2020/11/30 | 2023/09/28 | 30.00 |
| 66471 | HK Bank – HSI R Bull CBBC Sep 2023 R | 80,000,000 | 2020/11/30 | 2023/09/28 | 20.00 |
| 66163 | HK Bank – HSI R Bear CBBC Apr 2021 F | 120,000,000 | 2020/11/30 | 2021/04/29 | 30.00 |
| 66172 | HK Bank – HSI R Bear CBBC Apr 2021 G | 80,000,000 | 2020/11/30 | 2021/04/29 | 20.00 |
| 66328 | HK Bank – Meituan R Bear CBBC Jul 2021 D | 100,000,000 | 2020/11/30 | 2021/07/12 | 25.00 |
| 66229 | HK Bank – Tencent R Bear CBBC Jun 2021 E | 100,000,000 | 2020/11/30 | 2021/06/21 | 25.00 |
| 68326 | Haitong Int'l Sec – HSBC R Bull CBBC Jul 2021 A | 60,000,000 | 2020/11/30 | 2021/07/28 | 15.00 |
| 68329 | Haitong Int'l Sec – HSBC R Bear CBBC Jul 2021 A | 60,000,000 | 2020/11/30 | 2021/07/28 | 15.00 |
| 68303 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 E | 200,000,000 | 2020/11/30 | 2023/10/30 | 50.00 |
| 68302 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 G | 200,000,000 | 2020/11/30 | 2023/12/28 | 50.00 |
| 68273 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 H | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 68299 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 I | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 68311 | Haitong Int'l Sec – Meituan R Bear CBBC Apr 2021 E | 60,000,000 | 2020/11/30 | 2021/04/30 | 15.00 |
| 68322 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jun 2021 E | 100,000,000 | 2020/11/30 | 2021/06/25 | 25.00 |
| 67371 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 H | 200,000,000 | 2020/11/30 | 2024/02/28 | 50.00 |
| 67297 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Y | 200,000,000 | 2020/11/30 | 2024/02/28 | 50.00 |
| 67309 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Z | 200,000,000 | 2020/11/30 | 2024/02/28 | 50.00 |
| 67259 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 H | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 67279 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 I | 200,000,000 | 2020/11/30 | 2021/04/29 | 50.00 |
| 67332 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 D | 200,000,000 | 2020/11/30 | 2022/04/28 | 50.00 |
| 67387 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 A | 200,000,000 | 2020/11/30 | 2021/06/29 | 50.00 |
| 67294 | J P Morgan SP BV – HSTECH R Bear CBBC Sep 2021 A | 200,000,000 | 2020/11/30 | 2021/09/29 | 50.00 |
| 67383 | J P Morgan SP BV – Ping An R Bull CBBC Jul 2021 A | 100,000,000 | 2020/11/30 | 2021/07/16 | 25.00 |
| 66564 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 R | 100,000,000 | 2020/11/30 | 2023/09/28 | 25.00 |
| 66559 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 K | 100,000,000 | 2020/11/30 | 2023/10/30 | 25.00 |
| 65959 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 N | 100,000,000 | 2020/11/30 | 2021/03/30 | 25.00 |
| 65901 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 G | 100,000,000 | 2020/11/30 | 2021/04/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65914 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 H | 100,000,000 | 2020/11/30 | 2021/04/29 | 25.00 |
| 66558 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 I | 100,000,000 | 2020/11/30 | 2021/04/29 | 25.00 |
| 66568 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 D | 100,000,000 | 2020/11/30 | 2021/04/29 | 25.00 |
| 66565 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Jun 2021 B | 100,000,000 | 2020/11/30 | 2021/06/29 | 25.00 |
| 67395 | SG Issuer – AIA R Bear CBBC Apr 2021 D | 60,000,000 | 2020/11/30 | 2021/04/30 | 15.00 |
| 67405 | SG Issuer – Alibaba Group R Bull CBBC May 2021 U | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 67410 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 G | 80,000,000 | 2020/11/30 | 2021/06/30 | 20.00 |
| 67411 | SG Issuer – Bank of China R Bull CBBC Nov 2021 A | 100,000,000 | 2020/11/30 | 2021/11/29 | 25.00 |
| 67995 | SG Issuer – BYD Company R Bull CBBC Sep 2021 D | 120,000,000 | 2020/11/30 | 2021/09/29 | 30.00 |
| 67877 | SG Issuer – CC Bank R Bull CBBC Sep 2021 D | 100,000,000 | 2020/11/30 | 2021/09/29 | 25.00 |
| 67413 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 H | 40,000,000 | 2020/11/30 | 2021/08/31 | 10.00 |
| 67671 | SG Issuer – HKEX R Bull CBBC Jun 2021 N | 50,000,000 | 2020/11/30 | 2021/06/30 | 12.50 |
| 67420 | SG Issuer – HKEX R Bear CBBC May 2021 C | 50,000,000 | 2020/11/30 | 2021/05/28 | 12.50 |
| 67881 | SG Issuer – HSBC R Bull CBBC Sep 2021 J | 100,000,000 | 2020/11/30 | 2021/09/30 | 25.00 |
| 67945 | SG Issuer – HSBC R Bull CBBC Sep 2021 K | 100,000,000 | 2020/11/30 | 2021/09/30 | 25.00 |
| 68027 | SG Issuer – HSBC R Bull CBBC Dec 2021 D | 100,000,000 | 2020/11/30 | 2021/12/30 | 25.00 |
| 67421 | SG Issuer – HSBC R Bear CBBC Mar 2021 C | 100,000,000 | 2020/11/30 | 2021/03/31 | 25.00 |
| 67427 | SG Issuer – HSBC R Bear CBBC Apr 2021 I | 100,000,000 | 2020/11/30 | 2021/04/30 | 25.00 |
| 67807 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/11/30 | 2023/11/29 | 62.50 |
| 67834 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/11/30 | 2024/01/30 | 62.50 |
| 67857 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/11/30 | 2024/01/30 | 62.50 |
| 67862 | SG Issuer – HSI R Bull CBBC Feb 2024 C | 250,000,000 | 2020/11/30 | 2024/02/28 | 62.50 |
| 67736 | SG Issuer – HSI R Bear CBBC Mar 2021 O | 250,000,000 | 2020/11/30 | 2021/03/30 | 62.50 |
| 67776 | SG Issuer – HSI R Bear CBBC Apr 2021 O | 250,000,000 | 2020/11/30 | 2021/04/29 | 62.50 |
| 67974 | SG Issuer – HSI R Bear CBBC Apr 2021 S | 500,000,000 | 2020/11/30 | 2021/04/29 | 125.00 |
| 67792 | SG Issuer – HSI R Bear CBBC May 2021 E | 500,000,000 | 2020/11/30 | 2021/05/28 | 125.00 |
| 67790 | SG Issuer – HSI R Bear CBBC May 2021 Q | 250,000,000 | 2020/11/30 | 2021/05/28 | 62.50 |
| 67979 | SG Issuer – HSI R Bear CBBC May 2021 R | 250,000,000 | 2020/11/30 | 2021/05/28 | 62.50 |
| 67983 | SG Issuer – HSI R Bear CBBC May 2021 S | 250,000,000 | 2020/11/30 | 2021/05/28 | 62.50 |
| 67648 | SG Issuer – HSTECH R Bull CBBC Aug 2021 C | 100,000,000 | 2020/11/30 | 2021/08/30 | 25.00 |
| 68269 | SG Issuer – HSTECH R Bull CBBC Oct 2021 H | 100,000,000 | 2020/11/30 | 2021/10/28 | 25.00 |
| 67645 | SG Issuer – HSTECH R Bear CBBC Mar 2021 C | 100,000,000 | 2020/11/30 | 2021/03/30 | 25.00 |
| 67475 | SG Issuer – JD.com R Bull CBBC Jun 2021 M | 60,000,000 | 2020/11/30 | 2021/06/30 | 15.00 |
| 67954 | SG Issuer – Meituan R Bull CBBC May 2021 U | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 68038 | SG Issuer – Meituan R Bull CBBC May 2021 Y | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 67488 | SG Issuer – Meituan R Bull CBBC Jun 2021 J | 80,000,000 | 2020/11/30 | 2021/06/30 | 20.00 |
| 67963 | SG Issuer – Ping An R Bull CBBC Oct 2021 K | 100,000,000 | 2020/11/30 | 2021/10/29 | 25.00 |
| 67491 | SG Issuer – Ping An R Bear CBBC Mar 2021 B | 100,000,000 | 2020/11/30 | 2021/03/31 | 25.00 |
| 67508 | SG Issuer – SMIC R Bull CBBC Jun 2021 L | 120,000,000 | 2020/11/30 | 2021/06/30 | 30.00 |
| 67538 | SG Issuer – Tencent R Bull CBBC May 2021 B | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 68084 | SG Issuer – Tencent R Bull CBBC May 2021 C | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 67715 | SG Issuer – Tencent R Bull CBBC Jun 2021 L | 80,000,000 | 2020/11/30 | 2021/06/30 | 20.00 |
| 68092 | SG Issuer – Tencent R Bull CBBC Jun 2021 S | 80,000,000 | 2020/11/30 | 2021/06/30 | 20.00 |
| 68091 | SG Issuer – Tencent R Bear CBBC May 2021 O | 80,000,000 | 2020/11/30 | 2021/05/31 | 20.00 |
| 68178 | SG Issuer – Tencent R Bear CBBC Jun 2021 G | 80,000,000 | 2020/11/30 | 2021/06/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68256 | SG Issuer – Xiaomi R Bull CBBC May 2021 W | 60,000,000 | 2020/11/30 | 2021/05/31 | 15.00 |
| 67617 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 J | 60,000,000 | 2020/11/30 | 2021/06/30 | 15.00 |
| 68575 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 O | 80,000,000 | 2020/11/30 | 2021/04/30 | 20.00 |
| 68356 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 P | 200,000,000 | 2020/11/30 | 2021/06/23 | 50.00 |
| 68434 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 K | 200,000,000 | 2020/11/30 | 2021/06/28 | 50.00 |
| 68548 | UBS AG – HSBC R Bull CBBC Sep 2021 N | 150,000,000 | 2020/11/30 | 2021/09/13 | 37.50 |
| 68549 | UBS AG – HSBC R Bull CBBC Sep 2021 O | 150,000,000 | 2020/11/30 | 2021/09/27 | 37.50 |
| 68550 | UBS AG – HSBC R Bull CBBC Sep 2021 P | 150,000,000 | 2020/11/30 | 2021/09/20 | 37.50 |
| 68551 | UBS AG – HSBC R Bear CBBC Jun 2021 J | 100,000,000 | 2020/11/30 | 2021/06/30 | 25.00 |
| 68565 | UBS AG – HSBC R Bear CBBC Jun 2021 K | 100,000,000 | 2020/11/30 | 2021/06/30 | 25.00 |
| 68566 | UBS AG – HSBC R Bear CBBC Jun 2021 L | 100,000,000 | 2020/11/30 | 2021/06/30 | 25.00 |
| 68377 | UBS AG – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/11/30 | 2023/10/30 | 50.00 |
| 68378 | UBS AG – HSI R Bull CBBC Oct 2023 W | 200,000,000 | 2020/11/30 | 2023/10/30 | 50.00 |
| 68379 | UBS AG – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/11/30 | 2023/10/30 | 50.00 |
| 68674 | UBS AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 68678 | UBS AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 68680 | UBS AG – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 68714 | UBS AG – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 68517 | UBS AG – HSTECH R Bear CBBC Mar 2021 G | 200,000,000 | 2020/11/30 | 2021/03/30 | 50.00 |
| 68641 | UBS AG – JD.com R Bull CBBC Jun 2021 F | 200,000,000 | 2020/11/30 | 2021/06/28 | 50.00 |
| 68508 | UBS AG – JD.com R Bear CBBC Jun 2021 J | 200,000,000 | 2020/11/30 | 2021/06/28 | 50.00 |
| 68582 | UBS AG – Meituan R Bull CBBC Apr 2021 D | 50,000,000 | 2020/11/30 | 2021/04/30 | 12.50 |
| 68376 | UBS AG – Meituan R Bull CBBC Apr 2021 W | 50,000,000 | 2020/11/30 | 2021/04/13 | 12.50 |
| 68483 | UBS AG – Meituan R Bear CBBC Apr 2021 N | 50,000,000 | 2020/11/30 | 2021/04/16 | 12.50 |
| 68510 | UBS AG – NetEase R Bear CBBC Apr 2021 E | 80,000,000 | 2020/11/30 | 2021/04/28 | 20.00 |
| 68573 | UBS AG – Tencent R Bull CBBC Apr 2021 P | 40,000,000 | 2020/11/30 | 2021/04/30 | 10.00 |
| 68731 | UBS AG – Tencent R Bull CBBC Jun 2021 I | 200,000,000 | 2020/11/30 | 2021/06/23 | 50.00 |
| 68381 | UBS AG – Tencent R Bear CBBC Apr 2021 M | 40,000,000 | 2020/11/30 | 2021/04/30 | 10.00 |
| 68382 | UBS AG – Tencent R Bear CBBC Apr 2021 N | 200,000,000 | 2020/11/30 | 2021/04/28 | 50.00 |
| 68628 | UBS AG – Xiaomi R Bull CBBC Apr 2021 M | 60,000,000 | 2020/11/30 | 2021/04/30 | 15.00 |
| 68504 | UBS AG – Xiaomi R Bear CBBC Apr 2021 I | 60,000,000 | 2020/11/30 | 2021/04/12 | 15.00 |
| 66768 | Bank Vontobel – HSBC R Bear CBBC May 2021 A | 40,000,000 | 2020/11/30 | 2021/05/07 | 10.00 |
| 66767 | Bank Vontobel – HSBC R Bear CBBC Jul 2021 A | 40,000,000 | 2020/11/30 | 2021/07/23 | 10.00 |
| 66569 | Bank Vontobel – HSI N Bear CBBC Mar 2021 V | 40,000,000 | 2020/11/30 | 2021/03/30 | 10.00 |
| 66635 | Bank Vontobel – HSI R Bull CBBC Sep 2023 J | 80,000,000 | 2020/11/30 | 2023/09/28 | 20.00 |
| 66801 | Bank Vontobel – HSI R Bull CBBC Sep 2023 K | 80,000,000 | 2020/11/30 | 2023/09/28 | 20.00 |
| 66580 | Bank Vontobel – HSI R Bear CBBC Mar 2021 W | 80,000,000 | 2020/11/30 | 2021/03/30 | 20.00 |
| 66625 | Bank Vontobel – HSI R Bear CBBC Mar 2021 X | 80,000,000 | 2020/11/30 | 2021/03/30 | 20.00 |
| 66632 | Bank Vontobel – HSI R Bear CBBC Mar 2021 Y | 80,000,000 | 2020/11/30 | 2021/03/30 | 20.00 |
| 66730 | Bank Vontobel – NetEase R Bull CBBC Nov 2021 C | 40,000,000 | 2020/11/30 | 2021/11/19 | 10.00 |
| 66733 | Bank Vontobel – Ping An R Bear CBBC Jun 2021 C | 40,000,000 | 2020/11/30 | 2021/06/25 | 10.00 |
| 66749 | Bank Vontobel – Ping An R Bear CBBC Jun 2021 D | 40,000,000 | 2020/11/30 | 2021/06/18 | 10.00 |
| 66666 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 P | 80,000,000 | 2020/11/30 | 2021/11/26 | 20.00 |
| 66699 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 N | 40,000,000 | 2020/11/30 | 2021/11/19 | 10.00 |
| 66728 | Bank Vontobel – Xiaomi R Bear CBBC Jul 2021 C | 100,000,000 | 2020/11/30 | 2021/07/02 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 69218 | BOCI Asia Ltd. – HSBC R Bear CBBC Mar 2021 B | 40,000,000 | 2020/12/01 | 2021/03/31 | 10.00 |
| 69206 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 F | 150,000,000 | 2020/12/01 | 2021/03/30 | 37.50 |
| 69211 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 G | 150,000,000 | 2020/12/01 | 2021/03/30 | 37.50 |
| 69230 | BOCI Asia Ltd. – Ping An R Bull CBBC Jul 2021 A | 100,000,000 | 2020/12/01 | 2021/07/30 | 25.00 |
| 69228 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 A | 40,000,000 | 2020/12/01 | 2021/03/31 | 10.00 |
| 69739 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/12/01 | 2023/09/28 | 50.00 |
| 69744 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 J | 200,000,000 | 2020/12/01 | 2023/09/28 | 50.00 |
| 69772 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 D | 240,000,000 | 2020/12/01 | 2021/03/30 | 60.00 |
| 50251 | Credit Suisse AG – AIA R Bull CBBC Sep 2021 B | 70,000,000 | 2020/12/01 | 2021/09/29 | 17.50 |
| 50254 | Credit Suisse AG – Ali Health R Bull CBBC Jun 2021 B | 50,000,000 | 2020/12/01 | 2021/06/29 | 12.50 |
| 50252 | Credit Suisse AG – Ali Health R Bull CBBC Jul 2021 A | 50,000,000 | 2020/12/01 | 2021/07/29 | 12.50 |
| 50820 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 M | 200,000,000 | 2020/12/01 | 2023/09/28 | 50.00 |
| 50976 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 N | 200,000,000 | 2020/12/01 | 2023/09/28 | 50.00 |
| 51058 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/12/01 | 2021/03/30 | 50.00 |
| 51099 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/12/01 | 2021/03/30 | 50.00 |
| 51503 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/12/01 | 2021/03/30 | 50.00 |
| 50390 | Credit Suisse AG – HSI R Bear CBBC May 2021 C | 200,000,000 | 2020/12/01 | 2021/05/28 | 50.00 |
| 50757 | Credit Suisse AG – HSI R Bear CBBC May 2021 D | 200,000,000 | 2020/12/01 | 2021/05/28 | 50.00 |
| 51032 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 E | 100,000,000 | 2020/12/01 | 2021/11/29 | 25.00 |
| 50384 | Credit Suisse AG – Meituan R Bull CBBC Apr 2021 P | 50,000,000 | 2020/12/01 | 2021/04/29 | 12.50 |
| 50248 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 I | 80,000,000 | 2020/12/01 | 2021/07/29 | 20.00 |
| 50244 | Credit Suisse AG – Ping An R Bear CBBC Jul 2021 A | 60,000,000 | 2020/12/01 | 2021/07/29 | 15.00 |
| 51551 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 R | 50,000,000 | 2020/12/01 | 2021/04/29 | 12.50 |
| 52515 | Goldman Sachs SP (Asia) – AAC Tech R Bull CBBC Jul 2021 A | 60,000,000 | 2020/12/01 | 2021/07/29 | 15.00 |
| 52324 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 H | 160,000,000 | 2020/12/01 | 2024/02/28 | 40.00 |
| 52687 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 K | 160,000,000 | 2020/12/01 | 2024/02/28 | 40.00 |
| 51795 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 K | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52295 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 L | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52611 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 M | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52618 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 N | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52649 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 O | 160,000,000 | 2020/12/01 | 2021/03/30 | 48.00 |
| 52101 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC Jun 2021 A | 160,000,000 | 2020/12/01 | 2021/06/29 | 40.00 |
| 52122 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC Jun 2021 B | 160,000,000 | 2020/12/01 | 2021/06/29 | 40.00 |
| 52125 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC Jun 2021 C | 160,000,000 | 2020/12/01 | 2021/06/29 | 40.00 |
| 52030 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC Dec 2021 A | 160,000,000 | 2020/12/01 | 2021/12/30 | 40.00 |
| 51806 | Goldman Sachs SP (Asia) – HSTEC R Bear CBBC Mar 2021 A | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52172 | Goldman Sachs SP (Asia) – HSTEC R Bear CBBC Mar 2021 B | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52185 | Goldman Sachs SP (Asia) – HSTEC R Bear CBBC Mar 2021 C | 160,000,000 | 2020/12/01 | 2021/03/30 | 40.00 |
| 52282 | Goldman Sachs SP (Asia) – HSTEC R Bear CBBC Dec 2021 A | 160,000,000 | 2020/12/01 | 2021/12/30 | 40.00 |
| 52590 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 K | 60,000,000 | 2020/12/01 | 2021/08/26 | 15.00 |
| 52596 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 L | 80,000,000 | 2020/12/01 | 2021/08/26 | 20.00 |
| 52405 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 F | 80,000,000 | 2020/12/01 | 2021/07/29 | 20.00 |
| 52573 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 K | 80,000,000 | 2020/12/01 | 2021/08/27 | 20.00 |
| 52585 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 N | 60,000,000 | 2020/12/01 | 2021/08/27 | 15.00 |
| 52352 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Y | 60,000,000 | 2020/12/01 | 2021/07/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69147 | HK Bank – Ali Health R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/01 | 2021/06/30 | 10.00 |
| 69079 | HK Bank – Galaxy Ent R Bull CBBC Jul 2021 A | 60,000,000 | 2020/12/01 | 2021/07/12 | 15.00 |
| 69205 | HK Bank – Galaxy Ent R Bear CBBC Jul 2021 A | 40,000,000 | 2020/12/01 | 2021/07/30 | 10.00 |
| 69074 | HK Bank – HSI R Bull CBBC Sep 2023 S | 80,000,000 | 2020/12/01 | 2023/09/28 | 20.00 |
| 69182 | HK Bank – HSI R Bear CBBC Apr 2021 H | 100,000,000 | 2020/12/01 | 2021/04/29 | 25.00 |
| 69192 | HK Bank – JD.com R Bear CBBC Jul 2021 C | 50,000,000 | 2020/12/01 | 2021/07/23 | 12.50 |
| 69190 | HK Bank – Xiaomi R Bull CBBC Aug 2021 A | 100,000,000 | 2020/12/01 | 2021/08/09 | 25.00 |
| 69092 | HK Bank – Xiaomi R Bear CBBC Jul 2021 B | 40,000,000 | 2020/12/01 | 2021/07/12 | 10.00 |
| 69326 | Haitong Int'l Sec – BYD Company R Bear CBBC Apr 2021 A | 60,000,000 | 2020/12/01 | 2021/04/30 | 15.00 |
| 69308 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 P | 200,000,000 | 2020/12/01 | 2023/11/29 | 50.00 |
| 69296 | Haitong Int'l Sec – HSI R Bear CBBC May 2021 A | 200,000,000 | 2020/12/01 | 2021/05/28 | 50.00 |
| 69325 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 Y | 60,000,000 | 2020/12/01 | 2021/03/25 | 15.00 |
| 69273 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 I | 200,000,000 | 2020/12/01 | 2024/02/28 | 50.00 |
| 69289 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 K | 200,000,000 | 2020/12/01 | 2024/02/28 | 50.00 |
| 69243 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 J | 200,000,000 | 2020/12/01 | 2021/04/29 | 50.00 |
| 69247 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 K | 200,000,000 | 2020/12/01 | 2021/04/29 | 50.00 |
| 69246 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 B | 200,000,000 | 2020/12/01 | 2021/06/29 | 50.00 |
| 69774 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 G | 100,000,000 | 2020/12/01 | 2023/12/28 | 25.00 |
| 69870 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Apr 2021 E | 100,000,000 | 2020/12/01 | 2021/04/29 | 25.00 |
| 50108 | SG Issuer – AAC Tech R Bull CBBC Jul 2021 E | 100,000,000 | 2020/12/01 | 2021/07/29 | 25.00 |
| 50160 | SG Issuer – Alibaba Group R Bull CBBC May 2021 V | 80,000,000 | 2020/12/01 | 2021/05/31 | 20.00 |
| 50109 | SG Issuer – Ali Health R Bull CBBC Jun 2021 B | 100,000,000 | 2020/12/01 | 2021/06/30 | 25.00 |
| 50181 | SG Issuer – China Life R Bull CBBC Aug 2021 F | 40,000,000 | 2020/12/01 | 2021/08/27 | 10.00 |
| 50082 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 I | 40,000,000 | 2020/12/01 | 2021/08/30 | 10.00 |
| 50083 | SG Issuer – HKEX R Bull CBBC Sep 2021 F | 50,000,000 | 2020/12/01 | 2021/09/29 | 12.50 |
| 50093 | SG Issuer – HSBC R Bull CBBC Dec 2021 E | 100,000,000 | 2020/12/01 | 2021/12/30 | 25.00 |
| 50143 | SG Issuer – HSI R Bull CBBC Oct 2023 T | 250,000,000 | 2020/12/01 | 2023/10/30 | 62.50 |
| 50151 | SG Issuer – HSI R Bull CBBC Feb 2024 D | 250,000,000 | 2020/12/01 | 2024/02/28 | 62.50 |
| 50154 | SG Issuer – HSI R Bull CBBC Feb 2024 E | 250,000,000 | 2020/12/01 | 2024/02/28 | 62.50 |
| 50158 | SG Issuer – HSI R Bull CBBC Feb 2024 F | 250,000,000 | 2020/12/01 | 2024/02/28 | 62.50 |
| 50106 | SG Issuer – HSI R Bear CBBC Mar 2021 J | 250,000,000 | 2020/12/01 | 2021/03/30 | 62.50 |
| 50111 | SG Issuer – HSI R Bear CBBC Mar 2021 K | 250,000,000 | 2020/12/01 | 2021/03/30 | 62.50 |
| 50105 | SG Issuer – HSI R Bear CBBC Mar 2021 N | 250,000,000 | 2020/12/01 | 2021/03/30 | 62.50 |
| 50119 | SG Issuer – HSI R Bear CBBC Apr 2021 U | 250,000,000 | 2020/12/01 | 2021/04/29 | 62.50 |
| 50124 | SG Issuer – HSI R Bear CBBC May 2021 T | 250,000,000 | 2020/12/01 | 2021/05/28 | 62.50 |
| 50229 | SG Issuer – HSTECH R Bear CBBC May 2021 B | 100,000,000 | 2020/12/01 | 2021/05/28 | 25.00 |
| 50184 | SG Issuer – Meituan R Bull CBBC Apr 2021 W | 80,000,000 | 2020/12/01 | 2021/04/30 | 20.00 |
| 50201 | SG Issuer – Meituan R Bull CBBC May 2021 Z | 80,000,000 | 2020/12/01 | 2021/05/31 | 20.00 |
| 50203 | SG Issuer – NetEase R Bear CBBC Jul 2021 B | 60,000,000 | 2020/12/01 | 2021/07/29 | 15.00 |
| 50110 | SG Issuer – Ping An R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/01 | 2021/08/27 | 25.00 |
| 50210 | SG Issuer – Tencent R Bull CBBC Apr 2021 S | 80,000,000 | 2020/12/01 | 2021/04/30 | 20.00 |
| 50215 | SG Issuer – Tencent R Bull CBBC Jun 2021 R | 80,000,000 | 2020/12/01 | 2021/06/30 | 20.00 |
| 50094 | SG Issuer – Tencent R Bear CBBC Jun 2021 I | 80,000,000 | 2020/12/01 | 2021/06/30 | 21.60 |
| 50101 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 W | 60,000,000 | 2020/12/01 | 2021/04/30 | 15.00 |
| 50217 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 K | 200,000,000 | 2020/12/01 | 2021/06/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69433 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 S | 80,000,000 | 2020/12/01 | 2021/04/30 | 20.00 |
| 69537 | UBS AG – BYD Company R Bull CBBC Sep 2021 J | 150,000,000 | 2020/12/01 | 2021/09/30 | 37.50 |
| 69693 | UBS AG – HSI R Bull CBBC Oct 2023 E | 200,000,000 | 2020/12/01 | 2023/10/30 | 50.00 |
| 69715 | UBS AG – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/12/01 | 2023/10/30 | 50.00 |
| 69650 | UBS AG – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/12/01 | 2023/10/30 | 50.00 |
| 69432 | UBS AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/01 | 2021/03/30 | 50.00 |
| 69540 | UBS AG – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/01 | 2021/03/30 | 50.00 |
| 69580 | UBS AG – Meituan R Bull CBBC Apr 2021 E | 50,000,000 | 2020/12/01 | 2021/04/30 | 12.50 |
| 69601 | UBS AG – Meituan R Bull CBBC Jun 2021 Q | 150,000,000 | 2020/12/01 | 2021/06/30 | 37.50 |
| 69346 | UBS AG – Meituan R Bear CBBC Apr 2021 Q | 50,000,000 | 2020/12/01 | 2021/04/16 | 12.50 |
| 69395 | UBS AG – NetEase R Bull CBBC Apr 2021 J | 80,000,000 | 2020/12/01 | 2021/04/30 | 20.00 |
| 69528 | UBS AG – NetEase R Bear CBBC Apr 2021 F | 80,000,000 | 2020/12/01 | 2021/04/30 | 20.00 |
| 69553 | UBS AG – Tencent R Bull CBBC Apr 2021 S | 40,000,000 | 2020/12/01 | 2021/04/30 | 10.00 |
| 69559 | UBS AG – Tencent R Bull CBBC Apr 2021 X | 200,000,000 | 2020/12/01 | 2021/04/30 | 50.00 |
| 69345 | UBS AG – Tencent R Bear CBBC Apr 2021 O | 40,000,000 | 2020/12/01 | 2021/04/16 | 10.00 |
| 69610 | UBS AG – Xiaomi R Bull CBBC Apr 2021 N | 60,000,000 | 2020/12/01 | 2021/04/30 | 15.00 |
| 69366 | UBS AG – Xiaomi R Bear CBBC Apr 2021 J | 60,000,000 | 2020/12/01 | 2021/04/08 | 15.00 |
| 50040 | Bank Vontobel – Ali Health R Bull CBBC Jul 2021 A | 80,000,000 | 2020/12/01 | 2021/07/23 | 20.00 |
| 50047 | Bank Vontobel – Ali Health R Bull CBBC Aug 2021 A | 80,000,000 | 2020/12/01 | 2021/08/13 | 20.00 |
| 50059 | Bank Vontobel – Ali Health R Bull CBBC Sep 2021 A | 80,000,000 | 2020/12/01 | 2021/09/10 | 20.00 |
| 50019 | Bank Vontobel – Galaxy Ent R Bull CBBC Nov 2021 B | 40,000,000 | 2020/12/01 | 2021/11/26 | 10.00 |
| 50036 | Bank Vontobel – Galaxy Ent R Bear CBBC Aug 2021 A | 40,000,000 | 2020/12/01 | 2021/08/20 | 10.00 |
| 50015 | Bank Vontobel – HSBC R Bull CBBC Nov 2021 D | 40,000,000 | 2020/12/01 | 2021/11/26 | 10.00 |
| 69991 | Bank Vontobel – HSI R Bull CBBC Sep 2023 L | 80,000,000 | 2020/12/01 | 2023/09/28 | 20.00 |
| 50006 | Bank Vontobel – HSI R Bull CBBC Sep 2023 M | 80,000,000 | 2020/12/01 | 2023/09/28 | 20.00 |
| 69929 | Bank Vontobel – HSI R Bear CBBC Mar 2021 A | 80,000,000 | 2020/12/01 | 2021/03/30 | 20.00 |
| 69954 | Bank Vontobel – HSI R Bear CBBC Mar 2021 H | 80,000,000 | 2020/12/01 | 2021/03/30 | 20.00 |
| 69921 | Bank Vontobel – HSI R Bear CBBC Mar 2021 Z | 80,000,000 | 2020/12/01 | 2021/03/30 | 20.00 |
| 50067 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 P | 60,000,000 | 2020/12/01 | 2021/11/19 | 15.00 |
| 50076 | Bank Vontobel – Meituan R Bear CBBC Jul 2021 C | 60,000,000 | 2020/12/01 | 2021/07/02 | 15.00 |
| 50011 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 O | 40,000,000 | 2020/12/01 | 2021/11/19 | 10.00 |
| 53050 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 K | 150,000,000 | 2020/12/02 | 2023/03/30 | 37.50 |
| 53051 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 H | 150,000,000 | 2020/12/02 | 2021/03/30 | 37.50 |
| 53048 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/02 | 2021/06/30 | 10.00 |
| 52970 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 B | 40,000,000 | 2020/12/02 | 2021/03/31 | 10.00 |
| 53898 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 K | 200,000,000 | 2020/12/02 | 2023/09/28 | 50.00 |
| 53925 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Q | 190,000,000 | 2020/12/02 | 2023/09/28 | 47.50 |
| 53775 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53837 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53855 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53748 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53752 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53769 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53884 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53973 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 X | 240,000,000 | 2020/12/02 | 2021/03/30 | 60.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54097 | Credit Suisse AG – Ali Health R Bull CBBC Jul 2021 B | 50,000,000 | 2020/12/02 | 2021/07/29 | 12.50 |
| 54237 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 O | 200,000,000 | 2020/12/02 | 2023/09/28 | 50.00 |
| 54293 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 P | 200,000,000 | 2020/12/02 | 2023/09/28 | 50.00 |
| 54021 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 54094 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 54162 | Credit Suisse AG – HSI R Bear CBBC May 2021 E | 200,000,000 | 2020/12/02 | 2021/05/28 | 50.00 |
| 54184 | Credit Suisse AG – HSI R Bear CBBC May 2021 F | 200,000,000 | 2020/12/02 | 2021/05/28 | 50.00 |
| 54187 | Credit Suisse AG – HSI R Bear CBBC May 2021 G | 200,000,000 | 2020/12/02 | 2021/05/28 | 50.00 |
| 54098 | Credit Suisse AG – Xiaomi R Bear CBBC May 2021 E | 100,000,000 | 2020/12/02 | 2021/05/28 | 25.00 |
| 52889 | Bank of East Asia – Alibaba Group R Bull CBBC Apr 2021 C | 40,000,000 | 2020/12/02 | 2021/04/21 | 10.00 |
| 52905 | Bank of East Asia – JD.com R Bull CBBC Apr 2021 A | 40,000,000 | 2020/12/02 | 2021/04/21 | 10.00 |
| 52845 | Bank of East Asia – Tencent R Bear CBBC Apr 2021 A | 30,000,000 | 2020/12/02 | 2021/04/21 | 10.50 |
| 52911 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 K | 80,000,000 | 2020/12/02 | 2021/07/22 | 20.00 |
| 56929 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 Q | 60,000,000 | 2020/12/02 | 2021/08/30 | 15.00 |
| 58055 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 D | 160,000,000 | 2020/12/02 | 2023/10/30 | 40.00 |
| 57342 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/12/02 | 2023/10/30 | 50.00 |
| 55689 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 M | 160,000,000 | 2020/12/02 | 2024/02/28 | 40.00 |
| 57675 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 C | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 56664 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 E | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 56545 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 P | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 57772 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 57775 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 55771 | Goldman Sachs SP (Asia) – HSTECH R Bull CBBC Mar 2021 B | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 55813 | Goldman Sachs SP (Asia) – HSTECH R Bull CBBC Mar 2021 C | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 55973 | Goldman Sachs SP (Asia) – HSTECH R Bull CBBC Mar 2021 D | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 55823 | Goldman Sachs SP (Asia) – HSTECH R Bull CBBC Dec 2021 B | 160,000,000 | 2020/12/02 | 2021/12/30 | 40.00 |
| 55775 | Goldman Sachs SP (Asia) – HSTECH R Bear CBBC Mar 2021 D | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 56127 | Goldman Sachs SP (Asia) – HSTECH R Bear CBBC Mar 2021 E | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 56142 | Goldman Sachs SP (Asia) – HSTECH R Bear CBBC Mar 2021 F | 160,000,000 | 2020/12/02 | 2021/03/30 | 40.00 |
| 56219 | Goldman Sachs SP (Asia) – HSTECH R Bear CBBC Dec 2021 B | 160,000,000 | 2020/12/02 | 2021/12/30 | 40.00 |
| 56973 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 M | 60,000,000 | 2020/12/02 | 2021/08/26 | 15.00 |
| 57317 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 N | 100,000,000 | 2020/12/02 | 2021/08/26 | 25.00 |
| 57255 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 L | 60,000,000 | 2020/12/02 | 2021/08/27 | 15.00 |
| 52801 | HK Bank – HSI R Bull CBBC Sep 2023 T | 100,000,000 | 2020/12/02 | 2023/09/28 | 25.00 |
| 52722 | HK Bank – HSI R Bear CBBC Apr 2021 I | 80,000,000 | 2020/12/02 | 2021/04/29 | 20.00 |
| 52759 | HK Bank – ICBC R Bear CBBC May 2021 B | 40,000,000 | 2020/12/02 | 2021/05/31 | 10.00 |
| 52743 | HK Bank – Meituan R Bull CBBC Jun 2021 I | 100,000,000 | 2020/12/02 | 2021/06/21 | 25.00 |
| 52772 | HK Bank – Ping An R Bull CBBC Jul 2021 E | 60,000,000 | 2020/12/02 | 2021/07/23 | 15.00 |
| 52781 | HK Bank – Ping An R Bear CBBC Jul 2021 A | 50,000,000 | 2020/12/02 | 2021/07/16 | 12.50 |
| 52766 | HK Bank – Sunny Optical R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/02 | 2021/07/30 | 25.00 |
| 52725 | HK Bank – Tencent R Bull CBBC Jul 2021 R | 100,000,000 | 2020/12/02 | 2021/07/23 | 25.00 |
| 52915 | HK Bank – Xiaomi R Bull CBBC Jul 2021 E | 100,000,000 | 2020/12/02 | 2021/07/23 | 25.00 |
| 55463 | Haitong Int'l Sec – AAC Tech R Bull CBBC Jul 2021 A | 60,000,000 | 2020/12/02 | 2021/07/29 | 15.00 |
| 55549 | Haitong Int'l Sec – AIA R Bull CBBC Sep 2021 A | 60,000,000 | 2020/12/02 | 2021/09/29 | 15.00 |
| 55568 | Haitong Int'l Sec – AIA R Bear CBBC Sep 2021 A | 60,000,000 | 2020/12/02 | 2021/09/29 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 55462 | Haitong Int'l Sec – Geely Auto R Bull CBBC Jul 2021 B | 40,000,000 | 2020/12/02 | 2021/07/29 | 10.00 |
| 55355 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 H | 200,000,000 | 2020/12/02 | 2023/12/28 | 50.00 |
| 55588 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 I | 200,000,000 | 2020/12/02 | 2023/12/28 | 50.00 |
| 55357 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 55421 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 55320 | Haitong Int'l Sec – HSI R Bear CBBC May 2021 B | 200,000,000 | 2020/12/02 | 2021/05/28 | 50.00 |
| 55450 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 K | 60,000,000 | 2020/12/02 | 2021/03/25 | 15.00 |
| 55487 | Haitong Int'l Sec – Ping An R Bull CBBC Jul 2021 A | 60,000,000 | 2020/12/02 | 2021/07/29 | 15.00 |
| 53636 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 R | 200,000,000 | 2020/12/02 | 2024/02/28 | 50.00 |
| 53674 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 L | 200,000,000 | 2020/12/02 | 2021/04/29 | 50.00 |
| 53675 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 E | 200,000,000 | 2020/12/02 | 2022/04/28 | 50.00 |
| 53626 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 C | 200,000,000 | 2020/12/02 | 2021/06/29 | 50.00 |
| 55196 | SG Issuer – Alibaba Group R Bear CBBC May 2021 Z | 80,000,000 | 2020/12/02 | 2021/05/31 | 20.00 |
| 55085 | SG Issuer – HSI R Bull CBBC Oct 2023 M | 250,000,000 | 2020/12/02 | 2023/10/30 | 62.50 |
| 55147 | SG Issuer – HSI R Bull CBBC Oct 2023 O | 250,000,000 | 2020/12/02 | 2023/10/30 | 62.50 |
| 55193 | SG Issuer – HSI R Bull CBBC Nov 2023 V | 250,000,000 | 2020/12/02 | 2023/11/29 | 62.50 |
| 54784 | SG Issuer – HSI R Bear CBBC Mar 2021 L | 250,000,000 | 2020/12/02 | 2021/03/30 | 62.50 |
| 54956 | SG Issuer – HSI R Bear CBBC May 2021 U | 250,000,000 | 2020/12/02 | 2021/05/28 | 62.50 |
| 54980 | SG Issuer – HSI R Bear CBBC May 2021 V | 250,000,000 | 2020/12/02 | 2021/05/28 | 62.50 |
| 55317 | SG Issuer – HSTECH R Bear CBBC May 2021 C | 100,000,000 | 2020/12/02 | 2021/05/28 | 25.00 |
| 55280 | SG Issuer – Meituan R Bull CBBC Mar 2021 B | 80,000,000 | 2020/12/02 | 2021/03/31 | 20.00 |
| 54984 | SG Issuer – Meituan R Bear CBBC May 2021 M | 80,000,000 | 2020/12/02 | 2021/05/28 | 20.00 |
| 55301 | SG Issuer – Tencent R Bull CBBC Apr 2021 F | 80,000,000 | 2020/12/02 | 2021/04/30 | 20.00 |
| 55074 | SG Issuer – Tencent R Bear CBBC Apr 2021 A | 80,000,000 | 2020/12/02 | 2021/04/30 | 20.00 |
| 54780 | SG Issuer – Xiaomi R Bull CBBC May 2021 X | 60,000,000 | 2020/12/02 | 2021/05/31 | 15.00 |
| 53492 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/12/02 | 2021/04/30 | 20.00 |
| 53238 | UBS AG – Ali Health R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/02 | 2021/08/30 | 25.00 |
| 53112 | UBS AG – CC Bank R Bull CBBC Jul 2021 D | 100,000,000 | 2020/12/02 | 2021/07/29 | 25.00 |
| 53303 | UBS AG – CC Bank R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/02 | 2021/07/30 | 25.00 |
| 53179 | UBS AG – CC Bank R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/02 | 2021/08/30 | 25.00 |
| 53088 | UBS AG – China Life R Bull CBBC Oct 2021 B | 100,000,000 | 2020/12/02 | 2021/10/11 | 25.00 |
| 53110 | UBS AG – China Life R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/02 | 2021/08/23 | 25.00 |
| 53180 | UBS AG – Geely Auto R Bull CBBC Aug 2021 H | 100,000,000 | 2020/12/02 | 2021/08/30 | 25.00 |
| 53184 | UBS AG – Geely Auto R Bear CBBC Aug 2021 E | 100,000,000 | 2020/12/02 | 2021/08/30 | 25.00 |
| 53290 | UBS AG – HKEX R Bull CBBC Apr 2021 O | 50,000,000 | 2020/12/02 | 2021/04/30 | 12.50 |
| 53300 | UBS AG – HKEX R Bull CBBC Apr 2021 P | 50,000,000 | 2020/12/02 | 2021/04/30 | 12.50 |
| 53187 | UBS AG – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/12/02 | 2023/10/30 | 50.00 |
| 53548 | UBS AG – HSI R Bull CBBC Oct 2023 H | 200,000,000 | 2020/12/02 | 2023/10/30 | 50.00 |
| 53556 | UBS AG – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/12/02 | 2023/10/30 | 50.00 |
| 53338 | UBS AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53413 | UBS AG – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53425 | UBS AG – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/12/02 | 2021/03/30 | 50.00 |
| 53494 | UBS AG – Meituan R Bull CBBC Apr 2021 F | 50,000,000 | 2020/12/02 | 2021/04/30 | 12.50 |
| 53072 | UBS AG – Meituan R Bear CBBC Apr 2021 G | 50,000,000 | 2020/12/02 | 2021/04/30 | 12.50 |
| 53087 | UBS AG – Ping An R Bull CBBC Jun 2021 D | 100,000,000 | 2020/12/02 | 2021/06/21 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53058 | UBS AG – Tencent R Bull CBBC Apr 2021 C | 40,000,000 | 2020/12/02 | 2021/04/30 | 10.00 |
| 53489 | UBS AG – Tencent R Bull CBBC Apr 2021 D | 40,000,000 | 2020/12/02 | 2021/04/30 | 10.00 |
| 53615 | UBS AG – Tencent R Bear CBBC Apr 2021 B | 40,000,000 | 2020/12/02 | 2021/04/30 | 10.00 |
| 53086 | UBS AG – Xiaomi R Bull CBBC Apr 2021 O | 60,000,000 | 2020/12/02 | 2021/04/30 | 15.00 |
| 53315 | UBS AG – Xiaomi R Bear CBBC Jun 2021 J | 200,000,000 | 2020/12/02 | 2021/06/30 | 50.00 |
| 54680 | Bank Vontobel – Ali Health R Bull CBBC Aug 2021 B | 80,000,000 | 2020/12/02 | 2021/08/20 | 20.00 |
| 54599 | Bank Vontobel – CC Bank R Bull CBBC Nov 2021 B | 40,000,000 | 2020/12/02 | 2021/11/26 | 10.00 |
| 54756 | Bank Vontobel – HSCEI R Bull CBBC Dec 2022 D | 80,000,000 | 2020/12/02 | 2022/12/29 | 20.00 |
| 54753 | Bank Vontobel – HSCEI R Bear CBBC Mar 2021 C | 80,000,000 | 2020/12/02 | 2021/03/30 | 20.00 |
| 54470 | Bank Vontobel – HSI R Bull CBBC Sep 2023 N | 80,000,000 | 2020/12/02 | 2023/09/28 | 20.00 |
| 54386 | Bank Vontobel – HSI R Bear CBBC Mar 2021 I | 80,000,000 | 2020/12/02 | 2021/03/30 | 20.00 |
| 54671 | Bank Vontobel – ICBC R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/02 | 2021/08/20 | 10.00 |
| 54632 | Bank Vontobel – ICBC R Bear CBBC Jun 2021 A | 40,000,000 | 2020/12/02 | 2021/06/04 | 10.00 |
| 54759 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 Q | 60,000,000 | 2020/12/02 | 2021/11/12 | 15.00 |
| 54533 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 Q | 80,000,000 | 2020/12/02 | 2021/11/12 | 20.00 |
| 61072 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 D | 60,000,000 | 2020/12/03 | 2021/06/30 | 15.00 |
| 60857 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 L | 150,000,000 | 2020/12/03 | 2023/03/30 | 37.50 |
| 60903 | BOCI Asia Ltd. – HSI R Bear CBBC Mar 2021 I | 150,000,000 | 2020/12/03 | 2021/03/30 | 37.50 |
| 61003 | BOCI Asia Ltd. – JD.com R Bear CBBC Mar 2021 A | 40,000,000 | 2020/12/03 | 2021/03/31 | 10.00 |
| 60992 | BOCI Asia Ltd. – Meituan R Bear CBBC Jun 2021 B | 40,000,000 | 2020/12/03 | 2021/06/30 | 10.00 |
| 60947 | BOCI Asia Ltd. – Tencent R Bear CBBC Mar 2021 A | 40,000,000 | 2020/12/03 | 2021/03/31 | 10.00 |
| 60629 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 60834 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 E | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 61642 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 H | 190,000,000 | 2020/12/03 | 2023/09/28 | 47.50 |
| 60487 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 60540 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 60660 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/03 | 2021/03/30 | 50.00 |
| 60734 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/03 | 2021/03/30 | 50.00 |
| 61722 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 I | 250,000,000 | 2020/12/03 | 2021/03/30 | 62.50 |
| 61730 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 K | 250,000,000 | 2020/12/03 | 2021/03/30 | 62.50 |
| 61874 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 M | 240,000,000 | 2020/12/03 | 2021/03/30 | 60.00 |
| 61836 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Y | 250,000,000 | 2020/12/03 | 2021/03/30 | 62.50 |
| 61846 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Z | 250,000,000 | 2020/12/03 | 2021/03/30 | 62.50 |
| 59740 | BNP Paribas Issuance B.V. – HSTECH R Bull CBBC Sep 2021 C | 100,000,000 | 2020/12/03 | 2021/09/29 | 25.00 |
| 60168 | BNP Paribas Issuance B.V. – HSTECH R Bull CBBC Sep 2021 D | 100,000,000 | 2020/12/03 | 2021/09/29 | 25.00 |
| 60254 | BNP Paribas Issuance B.V. – HSTECH R Bull CBBC Sep 2021 E | 100,000,000 | 2020/12/03 | 2021/09/29 | 25.00 |
| 60412 | BNP Paribas Issuance B.V. – HSTECH R Bear CBBC Sep 2021 B | 100,000,000 | 2020/12/03 | 2021/09/29 | 25.00 |
| 60436 | BNP Paribas Issuance B.V. – HSTECH R Bear CBBC Sep 2021 C | 100,000,000 | 2020/12/03 | 2021/09/29 | 25.00 |
| 63297 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 K | 50,000,000 | 2020/12/03 | 2021/04/29 | 12.50 |
| 63149 | Credit Suisse AG – Ali Health R Bear CBBC Apr 2021 A | 50,000,000 | 2020/12/03 | 2021/04/29 | 12.50 |
| 63266 | Credit Suisse AG – Bank of China R Bull CBBC Dec 2021 A | 100,000,000 | 2020/12/03 | 2021/12/30 | 25.00 |
| 63051 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 B | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 63134 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 C | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 63047 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 H | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 63326 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 Q | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63327 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/12/03 | 2023/09/28 | 50.00 |
| 63381 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/12/03 | 2021/03/30 | 50.00 |
| 63517 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/03 | 2021/03/30 | 50.00 |
| 63532 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 L | 200,000,000 | 2020/12/03 | 2021/04/29 | 50.00 |
| 63535 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 M | 200,000,000 | 2020/12/03 | 2021/04/29 | 50.00 |
| 63597 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 H | 100,000,000 | 2020/12/03 | 2021/03/30 | 25.00 |
| 63238 | Credit Suisse AG – ICBC R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/03 | 2021/09/29 | 25.00 |
| 63154 | Credit Suisse AG – SMIC R Bear CBBC May 2021 D | 50,000,000 | 2020/12/03 | 2021/05/28 | 12.50 |
| 64510 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 R | 100,000,000 | 2020/12/03 | 2021/08/30 | 25.00 |
| 64570 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 S | 60,000,000 | 2020/12/03 | 2021/08/30 | 15.00 |
| 64515 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 T | 60,000,000 | 2020/12/03 | 2021/08/30 | 15.00 |
| 64690 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 I | 60,000,000 | 2020/12/03 | 2021/08/30 | 15.00 |
| 64497 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 N | 160,000,000 | 2020/12/03 | 2024/02/28 | 40.00 |
| 64556 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 O | 160,000,000 | 2020/12/03 | 2024/02/28 | 40.00 |
| 64500 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 P | 160,000,000 | 2020/12/03 | 2024/02/28 | 40.00 |
| 64561 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 R | 160,000,000 | 2020/12/03 | 2024/02/28 | 40.00 |
| 64652 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 T | 160,000,000 | 2020/12/03 | 2024/02/28 | 40.00 |
| 64655 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 U | 160,000,000 | 2020/12/03 | 2024/02/28 | 40.00 |
| 64488 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 D | 160,000,000 | 2020/12/03 | 2021/03/30 | 40.00 |
| 64626 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 S | 160,000,000 | 2020/12/03 | 2021/03/30 | 40.00 |
| 64619 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 T | 160,000,000 | 2020/12/03 | 2021/03/30 | 40.00 |
| 64628 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 U | 160,000,000 | 2020/12/03 | 2021/03/30 | 40.00 |
| 64518 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 K | 100,000,000 | 2020/12/03 | 2021/07/29 | 25.00 |
| 64541 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 L | 60,000,000 | 2020/12/03 | 2021/07/29 | 15.00 |
| 64563 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 O | 60,000,000 | 2020/12/03 | 2021/08/26 | 15.00 |
| 64672 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 P | 60,000,000 | 2020/12/03 | 2021/08/26 | 15.00 |
| 64602 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 M | 60,000,000 | 2020/12/03 | 2021/08/27 | 15.00 |
| 64698 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Q | 60,000,000 | 2020/12/03 | 2021/08/27 | 15.00 |
| 64715 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 R | 60,000,000 | 2020/12/03 | 2021/08/27 | 15.00 |
| 64714 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 T | 100,000,000 | 2020/12/03 | 2021/08/27 | 25.00 |
| 64737 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 N | 60,000,000 | 2020/12/03 | 2021/07/30 | 15.00 |
| 58211 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 K | 100,000,000 | 2020/12/03 | 2021/07/26 | 25.00 |
| 58192 | HK Bank – Alibaba Group R Bear CBBC Jun 2021 E | 100,000,000 | 2020/12/03 | 2021/06/30 | 25.00 |
| 59388 | HK Bank – Ali Health R Bull CBBC Jul 2021 A | 80,000,000 | 2020/12/03 | 2021/07/26 | 20.00 |
| 58927 | HK Bank – Bank of China R Bull CBBC Jul 2021 A | 60,000,000 | 2020/12/03 | 2021/07/30 | 15.00 |
| 59721 | HK Bank – CC Bank R Bull CBBC Jul 2021 B | 60,000,000 | 2020/12/03 | 2021/07/26 | 15.00 |
| 58264 | HK Bank – HKEX R Bear CBBC Jul 2021 E | 100,000,000 | 2020/12/03 | 2021/07/16 | 25.00 |
| 61104 | HK Bank – HSI R Bear CBBC Apr 2021 J | 80,000,000 | 2020/12/03 | 2021/04/29 | 20.00 |
| 61168 | HK Bank – HSI R Bear CBBC Apr 2021 K | 80,000,000 | 2020/12/03 | 2021/04/29 | 20.00 |
| 58556 | HK Bank – ICBC R Bull CBBC Aug 2021 B | 60,000,000 | 2020/12/03 | 2021/08/09 | 15.00 |
| 59511 | HK Bank – ICBC R Bear CBBC May 2021 C | 40,000,000 | 2020/12/03 | 2021/05/31 | 10.00 |
| 59171 | HK Bank – Meituan R Bull CBBC Jun 2021 J | 100,000,000 | 2020/12/03 | 2021/06/21 | 25.00 |
| 58300 | HK Bank – Ping An R Bull CBBC Jul 2021 F | 60,000,000 | 2020/12/03 | 2021/07/16 | 15.00 |
| 62742 | Haitong Int'l Sec – BYD Company R Bull CBBC Sep 2021 A | 60,000,000 | 2020/12/03 | 2021/09/29 | 15.00 |
| 62724 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/12/03 | 2023/10/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62731 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 J | 200,000,000 | 2020/12/03 | 2023/12/28 | 50.00 |
| 62898 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/03 | 2021/03/30 | 50.00 |
| 62914 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/03 | 2021/03/30 | 50.00 |
| 62736 | Haitong Int'l Sec – ICBC R Bull CBBC Sep 2021 A | 80,000,000 | 2020/12/03 | 2021/09/29 | 20.00 |
| 62795 | Haitong Int'l Sec – Meituan R Bear CBBC Apr 2021 F | 60,000,000 | 2020/12/03 | 2021/04/28 | 15.00 |
| 62798 | Haitong Int'l Sec – Meituan R Bear CBBC Apr 2021 G | 60,000,000 | 2020/12/03 | 2021/04/28 | 16.86 |
| 62883 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 C | 60,000,000 | 2020/12/03 | 2021/04/28 | 15.00 |
| 62790 | Haitong Int'l Sec – Xiaomi R Bull CBBC May 2021 E | 100,000,000 | 2020/12/03 | 2021/05/28 | 25.00 |
| 61395 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/12/03 | 2024/01/30 | 50.00 |
| 61180 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 T | 200,000,000 | 2020/12/03 | 2024/02/28 | 50.00 |
| 61247 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 U | 200,000,000 | 2020/12/03 | 2024/02/28 | 50.00 |
| 61407 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 M | 200,000,000 | 2020/12/03 | 2021/04/29 | 50.00 |
| 61421 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 N | 200,000,000 | 2020/12/03 | 2021/04/29 | 50.00 |
| 61486 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 O | 200,000,000 | 2020/12/03 | 2021/04/29 | 50.00 |
| 61517 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 P | 200,000,000 | 2020/12/03 | 2021/04/29 | 50.00 |
| 62245 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 S | 100,000,000 | 2020/12/03 | 2023/09/28 | 25.00 |
| 62451 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 O | 100,000,000 | 2020/12/03 | 2021/03/30 | 25.00 |
| 62271 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 J | 100,000,000 | 2020/12/03 | 2021/04/29 | 25.00 |
| 62680 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 K | 100,000,000 | 2020/12/03 | 2021/04/29 | 25.00 |
| 62513 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 E | 100,000,000 | 2020/12/03 | 2021/04/29 | 25.00 |
| 64351 | SG Issuer – AIA R Bull CBBC Jun 2021 D | 60,000,000 | 2020/12/03 | 2021/06/30 | 15.00 |
| 64141 | SG Issuer – Alibaba Group R Bull CBBC May 2021 B | 80,000,000 | 2020/12/03 | 2021/05/31 | 20.00 |
| 63961 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 H | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 63980 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 J | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64143 | SG Issuer – Ali Health R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/03 | 2021/08/31 | 25.00 |
| 64486 | SG Issuer – BYD Company R Bull CBBC Oct 2021 D | 120,000,000 | 2020/12/03 | 2021/10/28 | 30.00 |
| 64012 | SG Issuer – HKEX R Bull CBBC Sep 2021 G | 50,000,000 | 2020/12/03 | 2021/09/30 | 12.50 |
| 64120 | SG Issuer – HSI R Bull CBBC Sep 2023 J | 250,000,000 | 2020/12/03 | 2023/09/28 | 62.50 |
| 63742 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/12/03 | 2024/01/30 | 62.50 |
| 63782 | SG Issuer – HSI R Bull CBBC Feb 2024 G | 250,000,000 | 2020/12/03 | 2024/02/28 | 62.50 |
| 63829 | SG Issuer – HSI R Bull CBBC Feb 2024 H | 250,000,000 | 2020/12/03 | 2024/02/28 | 62.50 |
| 64139 | SG Issuer – HSI R Bull CBBC Feb 2024 I | 250,000,000 | 2020/12/03 | 2024/02/28 | 62.50 |
| 64228 | SG Issuer – HSI R Bull CBBC Feb 2024 J | 250,000,000 | 2020/12/03 | 2024/02/28 | 62.50 |
| 64252 | SG Issuer – HSI R Bull CBBC Feb 2024 K | 250,000,000 | 2020/12/03 | 2024/02/28 | 62.50 |
| 64335 | SG Issuer – HSI R Bull CBBC Feb 2024 L | 250,000,000 | 2020/12/03 | 2024/02/28 | 62.50 |
| 64398 | SG Issuer – HSI R Bear CBBC Mar 2021 P | 250,000,000 | 2020/12/03 | 2021/03/30 | 62.50 |
| 64455 | SG Issuer – HSI R Bear CBBC Mar 2021 Q | 250,000,000 | 2020/12/03 | 2021/03/30 | 62.50 |
| 64467 | SG Issuer – HSI R Bear CBBC Apr 2021 F | 250,000,000 | 2020/12/03 | 2021/04/29 | 62.50 |
| 64475 | SG Issuer – HSI R Bear CBBC May 2021 B | 250,000,000 | 2020/12/03 | 2021/05/28 | 62.50 |
| 64384 | SG Issuer – HSTECH R Bull CBBC Oct 2021 I | 100,000,000 | 2020/12/03 | 2021/10/28 | 25.00 |
| 64170 | SG Issuer – JD.com R Bull CBBC May 2021 D | 60,000,000 | 2020/12/03 | 2021/05/31 | 15.00 |
| 64174 | SG Issuer – Meituan R Bull CBBC Apr 2021 X | 80,000,000 | 2020/12/03 | 2021/04/30 | 20.00 |
| 64025 | SG Issuer – Meituan R Bull CBBC Jun 2021 L | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64037 | SG Issuer – Meituan R Bull CBBC Jun 2021 M | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64355 | SG Issuer – Meituan R Bear CBBC Jun 2021 Q | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 64043 | SG Issuer – SMIC R Bull CBBC Jun 2021 M | 120,000,000 | 2020/12/03 | 2021/06/30 | 30.00 |
| 64191 | SG Issuer – Tencent R Bull CBBC Apr 2021 T | 80,000,000 | 2020/12/03 | 2021/04/30 | 20.00 |
| 64102 | SG Issuer – Tencent R Bull CBBC Jun 2021 T | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64356 | SG Issuer – Tencent R Bull CBBC Jun 2021 U | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64362 | SG Issuer – Tencent R Bull CBBC Jun 2021 V | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64370 | SG Issuer – Tencent R Bear CBBC Jun 2021 J | 80,000,000 | 2020/12/03 | 2021/06/30 | 20.00 |
| 64377 | SG Issuer – Tencent R Bear CBBC Jul 2021 K | 80,000,000 | 2020/12/03 | 2021/07/29 | 20.00 |
| 64227 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 X | 60,000,000 | 2020/12/03 | 2021/04/30 | 15.00 |
| 62227 | Bank Vontobel – AIA R Bear CBBC Apr 2021 D | 80,000,000 | 2020/12/03 | 2021/04/23 | 20.00 |
| 62201 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 E | 40,000,000 | 2020/12/03 | 2021/11/19 | 10.00 |
| 61949 | Bank Vontobel – Geely Auto R Bear CBBC Apr 2021 D | 40,000,000 | 2020/12/03 | 2021/04/30 | 10.00 |
| 61919 | Bank Vontobel – HSI R Bull CBBC Sep 2023 O | 80,000,000 | 2020/12/03 | 2023/09/28 | 20.00 |
| 61965 | Bank Vontobel – HSI R Bear CBBC Mar 2021 C | 80,000,000 | 2020/12/03 | 2021/03/30 | 20.00 |
| 62059 | Bank Vontobel – HSI R Bear CBBC Mar 2021 G | 80,000,000 | 2020/12/03 | 2021/03/30 | 20.00 |
| 62016 | Bank Vontobel – HSI R Bear CBBC Mar 2021 L | 80,000,000 | 2020/12/03 | 2021/03/30 | 20.00 |
| 62191 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 R | 60,000,000 | 2020/12/03 | 2021/11/12 | 15.00 |
| 65036 | BOCI Asia Ltd. – HSCEI R Bull CBBC Sep 2021 Q | 150,000,000 | 2020/12/04 | 2021/09/29 | 37.50 |
| 65040 | BOCI Asia Ltd. – HSCEI R Bear CBBC Sep 2021 G | 150,000,000 | 2020/12/04 | 2021/09/29 | 37.50 |
| 65062 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 M | 150,000,000 | 2020/12/04 | 2023/03/30 | 37.50 |
| 65076 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 A | 150,000,000 | 2020/12/04 | 2021/04/29 | 37.50 |
| 65164 | BOCI Asia Ltd. – JD.com R Bull CBBC Mar 2021 A | 40,000,000 | 2020/12/04 | 2021/03/31 | 10.00 |
| 65113 | BOCI Asia Ltd. – Ping An R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/04 | 2021/07/30 | 25.00 |
| 65089 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 C | 40,000,000 | 2020/12/04 | 2021/03/31 | 10.00 |
| 65093 | BOCI Asia Ltd. – Xiaomi R Bull CBBC May 2021 A | 150,000,000 | 2020/12/04 | 2021/05/28 | 37.50 |
| 66054 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 K | 200,000,000 | 2020/12/04 | 2021/12/30 | 50.00 |
| 66010 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 T | 190,000,000 | 2020/12/04 | 2023/09/28 | 47.50 |
| 66028 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 N | 240,000,000 | 2020/12/04 | 2021/03/30 | 60.00 |
| 66069 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 M | 200,000,000 | 2020/12/04 | 2021/11/29 | 50.00 |
| 66038 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Jun 2021 F | 200,000,000 | 2020/12/04 | 2021/06/29 | 50.00 |
| 66051 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 66381 | Credit Suisse AG – CC Bank R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/04 | 2021/09/29 | 25.00 |
| 66348 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/12/04 | 2023/09/28 | 50.00 |
| 66351 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/12/04 | 2023/09/28 | 50.00 |
| 66423 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 T | 200,000,000 | 2020/12/04 | 2023/09/28 | 50.00 |
| 66273 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/12/04 | 2021/03/30 | 50.00 |
| 66286 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/12/04 | 2021/03/30 | 50.00 |
| 66231 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 N | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 66243 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 O | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 66389 | Credit Suisse AG – JD.com R Bull CBBC May 2021 B | 100,000,000 | 2020/12/04 | 2021/05/28 | 25.00 |
| 66413 | Credit Suisse AG – Ping An R Bull CBBC Sep 2021 A | 80,000,000 | 2020/12/04 | 2021/09/29 | 20.00 |
| 66359 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 S | 50,000,000 | 2020/12/04 | 2021/04/29 | 12.50 |
| 66405 | Credit Suisse AG – WuXi Bio R Bull CBBC Apr 2021 B | 50,000,000 | 2020/12/04 | 2021/04/29 | 12.50 |
| 66697 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 U | 100,000,000 | 2020/12/04 | 2021/08/30 | 25.00 |
| 66834 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 V | 80,000,000 | 2020/12/04 | 2021/08/30 | 20.00 |
| 66853 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 W | 80,000,000 | 2020/12/04 | 2021/08/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 66781 | Goldman Sachs SP (Asia) – BYD Company R Bull CBBC Jul 2021 A | 60,000,000 | 2020/12/04 | 2021/07/29 | 15.00 |
| 66578 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Nov 2023 T | 160,000,000 | 2020/12/04 | 2023/11/29 | 40.00 |
| 66581 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Jan 2024 D | 160,000,000 | 2020/12/04 | 2024/01/30 | 40.00 |
| 66473 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 V | 160,000,000 | 2020/12/04 | 2021/03/30 | 40.00 |
| 66521 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 W | 160,000,000 | 2020/12/04 | 2021/03/30 | 40.00 |
| 66575 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 X | 160,000,000 | 2020/12/04 | 2021/03/30 | 40.00 |
| 66679 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 Y | 160,000,000 | 2020/12/04 | 2021/03/30 | 40.00 |
| 66850 | Goldman Sachs SP (Asia) – JD.com R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/04 | 2021/07/30 | 25.00 |
| 66828 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 T | 60,000,000 | 2020/12/04 | 2021/08/26 | 15.00 |
| 66816 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 G | 80,000,000 | 2020/12/04 | 2021/07/29 | 20.00 |
| 66764 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 T | 60,000,000 | 2020/12/04 | 2021/08/27 | 15.00 |
| 66780 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 U | 100,000,000 | 2020/12/04 | 2021/08/27 | 25.00 |
| 64965 | HK Bank – Alibaba Group R Bear CBBC Jun 2021 F | 100,000,000 | 2020/12/04 | 2021/06/23 | 25.00 |
| 64881 | HK Bank – HSI R Bull CBBC Sep 2023 U | 120,000,000 | 2020/12/04 | 2023/09/28 | 30.00 |
| 64885 | HK Bank – HSI R Bull CBBC Sep 2023 V | 80,000,000 | 2020/12/04 | 2023/09/28 | 20.00 |
| 64915 | HK Bank – HSI R Bear CBBC Apr 2021 L | 120,000,000 | 2020/12/04 | 2021/04/29 | 30.00 |
| 64903 | HK Bank – ICBC R Bull CBBC Aug 2021 C | 60,000,000 | 2020/12/04 | 2021/08/02 | 15.00 |
| 64964 | HK Bank – Meituan R Bear CBBC Jul 2021 E | 100,000,000 | 2020/12/04 | 2021/07/19 | 25.00 |
| 65023 | HK Bank – Sino Biopharm R Bull CBBC Jul 2021 A | 40,000,000 | 2020/12/04 | 2021/07/30 | 10.00 |
| 64902 | HK Bank – Tencent R Bull CBBC Jul 2021 S | 100,000,000 | 2020/12/04 | 2021/07/05 | 25.00 |
| 65939 | Haitong Int'l Sec – HSI R Bull CBBC Feb 2024 A | 200,000,000 | 2020/12/04 | 2024/02/28 | 50.00 |
| 65997 | Haitong Int'l Sec – HSI R Bull CBBC Feb 2024 B | 200,000,000 | 2020/12/04 | 2024/02/28 | 50.00 |
| 66009 | Haitong Int'l Sec – HSI R Bull CBBC Feb 2024 C | 200,000,000 | 2020/12/04 | 2024/02/28 | 50.00 |
| 65928 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 J | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 65931 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 K | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 65286 | J P Morgan SP BV – Alibaba Group R Bear CBBC Jul 2021 A | 200,000,000 | 2020/12/04 | 2021/07/16 | 50.00 |
| 65251 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 H | 200,000,000 | 2020/12/04 | 2024/02/28 | 50.00 |
| 65269 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 V | 200,000,000 | 2020/12/04 | 2024/02/28 | 50.00 |
| 65176 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 Q | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 65215 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 R | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 65218 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 S | 200,000,000 | 2020/12/04 | 2021/04/29 | 50.00 |
| 65278 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 F | 200,000,000 | 2020/12/04 | 2022/04/28 | 50.00 |
| 66081 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 P | 100,000,000 | 2020/12/04 | 2021/03/30 | 25.00 |
| 65379 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 B | 80,000,000 | 2020/12/04 | 2021/04/30 | 20.00 |
| 65610 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 L | 80,000,000 | 2020/12/04 | 2021/06/30 | 20.00 |
| 65605 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 D | 80,000,000 | 2020/12/04 | 2021/04/30 | 20.00 |
| 65675 | SG Issuer – China Life R Bull CBBC Jul 2021 I | 40,000,000 | 2020/12/04 | 2021/07/30 | 10.00 |
| 65678 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 N | 40,000,000 | 2020/12/04 | 2021/07/30 | 10.00 |
| 65399 | SG Issuer – HSBC R Bull CBBC Sep 2021 L | 100,000,000 | 2020/12/04 | 2021/09/30 | 25.00 |
| 65388 | SG Issuer – HSBC R Bear CBBC Jun 2021 G | 100,000,000 | 2020/12/04 | 2021/06/30 | 25.00 |
| 65899 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/12/04 | 2024/01/30 | 62.50 |
| 65903 | SG Issuer – HSI R Bull CBBC Feb 2024 M | 250,000,000 | 2020/12/04 | 2024/02/28 | 62.50 |
| 65298 | SG Issuer – HSI R Bear CBBC Apr 2021 M | 250,000,000 | 2020/12/04 | 2021/04/29 | 62.50 |
| 65325 | SG Issuer – HSI R Bear CBBC Apr 2021 Q | 250,000,000 | 2020/12/04 | 2021/04/29 | 62.50 |
| 65370 | SG Issuer – HSI R Bear CBBC May 2021 C | 250,000,000 | 2020/12/04 | 2021/05/28 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 65481 | SG Issuer – ICBC R Bull CBBC Jul 2021 B | 100,000,000 | 2020/12/04 | 2021/07/30 | 25.00 |
| 65738 | SG Issuer – ICBC R Bear CBBC May 2021 A | 100,000,000 | 2020/12/04 | 2021/05/17 | 25.00 |
| 65535 | SG Issuer – JD.com R Bull CBBC Jun 2021 N | 60,000,000 | 2020/12/04 | 2021/06/30 | 15.00 |
| 65792 | SG Issuer – Meituan R Bull CBBC May 2021 B | 80,000,000 | 2020/12/04 | 2021/05/31 | 20.00 |
| 65830 | SG Issuer – Meituan R Bull CBBC Jul 2021 K | 80,000,000 | 2020/12/04 | 2021/07/30 | 20.00 |
| 65820 | SG Issuer – Meituan R Bear CBBC Jun 2021 R | 80,000,000 | 2020/12/04 | 2021/06/29 | 20.00 |
| 65564 | SG Issuer – Ping An R Bull CBBC Sep 2021 C | 100,000,000 | 2020/12/04 | 2021/09/30 | 25.00 |
| 65838 | SG Issuer – Tencent R Bull CBBC May 2021 D | 80,000,000 | 2020/12/04 | 2021/05/31 | 20.00 |
| 65841 | SG Issuer – Tencent R Bull CBBC May 2021 E | 80,000,000 | 2020/12/04 | 2021/05/31 | 20.00 |
| 65577 | SG Issuer – Tencent R Bear CBBC Jun 2021 H | 80,000,000 | 2020/12/04 | 2021/06/30 | 20.00 |
| 65585 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 L | 60,000,000 | 2020/12/04 | 2021/06/30 | 15.00 |
| 65909 | UBS AG – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/12/04 | 2023/10/30 | 50.00 |
| 65916 | UBS AG – Tencent R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/04 | 2021/08/18 | 10.00 |
| 66180 | Bank Vontobel – Alibaba Group R Bear CBBC Jul 2021 B | 40,000,000 | 2020/12/04 | 2021/07/02 | 10.00 |
| 66132 | Bank Vontobel – HSI R Bull CBBC Sep 2023 P | 80,000,000 | 2020/12/04 | 2023/09/28 | 20.00 |
| 66215 | Bank Vontobel – HSI R Bull CBBC Sep 2023 Q | 80,000,000 | 2020/12/04 | 2023/09/28 | 20.00 |
| 66082 | Bank Vontobel – HSI R Bear CBBC Mar 2021 F | 80,000,000 | 2020/12/04 | 2021/03/30 | 20.00 |
| 66089 | Bank Vontobel – HSI R Bear CBBC Mar 2021 J | 80,000,000 | 2020/12/04 | 2021/03/30 | 20.00 |
| 66129 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 P | 40,000,000 | 2020/12/04 | 2021/11/26 | 10.00 |
| 67035 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 B | 150,000,000 | 2020/12/07 | 2021/04/29 | 37.50 |
| 67079 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 C | 40,000,000 | 2020/12/07 | 2021/06/30 | 10.00 |
| 68041 | Credit Suisse AG – BYD Company R Bear CBBC Jul 2021 C | 100,000,000 | 2020/12/07 | 2021/07/29 | 25.00 |
| 68003 | Credit Suisse AG – Geely Auto R Bear CBBC Jul 2021 C | 100,000,000 | 2020/12/07 | 2021/07/29 | 25.00 |
| 68000 | Credit Suisse AG – Galaxy Ent R Bear CBBC May 2021 A | 50,000,000 | 2020/12/07 | 2021/05/28 | 12.50 |
| 67989 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 H | 100,000,000 | 2020/12/07 | 2021/07/29 | 25.00 |
| 67916 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 E | 200,000,000 | 2020/12/07 | 2023/09/28 | 50.00 |
| 67920 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/12/07 | 2023/09/28 | 50.00 |
| 68071 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/07 | 2021/03/30 | 50.00 |
| 68076 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 P | 200,000,000 | 2020/12/07 | 2021/04/29 | 50.00 |
| 68086 | Credit Suisse AG – HSI R Bear CBBC May 2021 H | 200,000,000 | 2020/12/07 | 2021/05/28 | 50.00 |
| 68093 | Credit Suisse AG – HSI R Bear CBBC May 2021 I | 200,000,000 | 2020/12/07 | 2021/05/28 | 50.00 |
| 67926 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 F | 100,000,000 | 2020/12/07 | 2021/12/30 | 25.00 |
| 68099 | Credit Suisse AG – HSTECH R Bear CBBC Mar 2021 I | 100,000,000 | 2020/12/07 | 2021/03/30 | 25.00 |
| 68061 | Credit Suisse AG – Meituan R Bull CBBC May 2021 F | 50,000,000 | 2020/12/07 | 2021/05/28 | 12.50 |
| 68069 | Credit Suisse AG – Meituan R Bear CBBC May 2021 E | 100,000,000 | 2020/12/07 | 2021/05/28 | 25.00 |
| 68006 | Credit Suisse AG – SMIC R Bull CBBC Jul 2021 D | 50,000,000 | 2020/12/07 | 2021/07/29 | 12.50 |
| 68059 | Credit Suisse AG – Sunny Optical R Bear CBBC Sep 2021 B | 100,000,000 | 2020/12/07 | 2021/09/29 | 25.00 |
| 68005 | Credit Suisse AG – Tencent R Bull CBBC May 2021 F | 50,000,000 | 2020/12/07 | 2021/05/28 | 12.50 |
| 68286 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 X | 60,000,000 | 2020/12/07 | 2021/08/30 | 15.00 |
| 68270 | Goldman Sachs SP (Asia) – HSBC R Bear CBBC Jul 2021 C | 60,000,000 | 2020/12/07 | 2021/07/29 | 15.00 |
| 68189 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 V | 160,000,000 | 2020/12/07 | 2024/02/28 | 40.00 |
| 68314 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 W | 160,000,000 | 2020/12/07 | 2024/02/28 | 40.00 |
| 68295 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 A | 160,000,000 | 2020/12/07 | 2021/03/30 | 40.00 |
| 68255 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC May 2021 A | 160,000,000 | 2020/12/07 | 2021/05/28 | 40.00 |
| 68252 | Goldman Sachs SP (Asia) – HSTEC R Bear CBBC Apr 2021 A | 160,000,000 | 2020/12/07 | 2021/04/29 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68285 | Goldman Sachs SP (Asia) – ICBC R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/07 | 2021/08/30 | 25.00 |
| 68251 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 F | 100,000,000 | 2020/12/07 | 2021/08/27 | 25.00 |
| 68242 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 Q | 100,000,000 | 2020/12/07 | 2021/08/26 | 25.00 |
| 68223 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 W | 100,000,000 | 2020/12/07 | 2021/08/26 | 25.00 |
| 68227 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 X | 100,000,000 | 2020/12/07 | 2021/08/26 | 25.00 |
| 68259 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 E | 60,000,000 | 2020/12/07 | 2021/08/27 | 15.00 |
| 68203 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 V | 100,000,000 | 2020/12/07 | 2021/08/27 | 25.00 |
| 68330 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 L | 60,000,000 | 2020/12/07 | 2021/07/30 | 15.00 |
| 68324 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 P | 60,000,000 | 2020/12/07 | 2021/07/30 | 15.00 |
| 68315 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 W | 60,000,000 | 2020/12/07 | 2021/07/30 | 15.00 |
| 67003 | HK Bank – HSI R Bull CBBC Sep 2023 W | 100,000,000 | 2020/12/07 | 2023/09/28 | 25.00 |
| 66866 | HK Bank – Meituan R Bull CBBC Jul 2021 J | 100,000,000 | 2020/12/07 | 2021/07/05 | 25.00 |
| 66922 | HK Bank – Meituan R Bull CBBC Jul 2021 K | 100,000,000 | 2020/12/07 | 2021/07/12 | 25.00 |
| 67027 | HK Bank – Meituan R Bull CBBC Jul 2021 L | 100,000,000 | 2020/12/07 | 2021/07/19 | 25.00 |
| 66930 | HK Bank – Meituan R Bear CBBC Jul 2021 F | 100,000,000 | 2020/12/07 | 2021/07/23 | 25.00 |
| 66951 | HK Bank – Meituan R Bear CBBC Jul 2021 G | 100,000,000 | 2020/12/07 | 2021/07/19 | 25.00 |
| 67088 | HK Bank – Xiaomi R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/07 | 2021/08/31 | 25.00 |
| 67118 | HK Bank – Xiaomi R Bear CBBC Aug 2021 A | 60,000,000 | 2020/12/07 | 2021/08/02 | 15.00 |
| 67345 | Haitong Int'l Sec – BYD Company R Bear CBBC Mar 2021 C | 60,000,000 | 2020/12/07 | 2021/03/25 | 15.00 |
| 67346 | Haitong Int'l Sec – HSBC R Bull CBBC Aug 2021 A | 60,000,000 | 2020/12/07 | 2021/08/25 | 15.00 |
| 67293 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 H | 400,000,000 | 2020/12/07 | 2023/10/30 | 100.00 |
| 67250 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 R | 200,000,000 | 2020/12/07 | 2023/11/29 | 50.00 |
| 67359 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 K | 200,000,000 | 2020/12/07 | 2023/12/28 | 50.00 |
| 67235 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/12/07 | 2021/03/30 | 50.00 |
| 67226 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 L | 200,000,000 | 2020/12/07 | 2021/04/29 | 50.00 |
| 67238 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 M | 200,000,000 | 2020/12/07 | 2021/04/29 | 50.00 |
| 67298 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 L | 60,000,000 | 2020/12/07 | 2021/03/25 | 15.00 |
| 67339 | Haitong Int'l Sec – Tencent R Bull CBBC Apr 2021 G | 60,000,000 | 2020/12/07 | 2021/04/28 | 15.00 |
| 67340 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jul 2021 C | 100,000,000 | 2020/12/07 | 2021/07/28 | 25.00 |
| 67174 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 W | 200,000,000 | 2020/12/07 | 2024/02/28 | 50.00 |
| 67163 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 T | 200,000,000 | 2020/12/07 | 2021/04/29 | 50.00 |
| 67172 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 U | 200,000,000 | 2020/12/07 | 2021/04/29 | 50.00 |
| 67206 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 V | 200,000,000 | 2020/12/07 | 2021/04/29 | 50.00 |
| 67139 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 G | 200,000,000 | 2020/12/07 | 2022/04/28 | 50.00 |
| 67170 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 D | 200,000,000 | 2020/12/07 | 2021/06/29 | 50.00 |
| 67222 | J P Morgan SP BV – Xiaomi R Bear CBBC Jul 2021 A | 200,000,000 | 2020/12/07 | 2021/07/16 | 50.00 |
| 67720 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 L | 100,000,000 | 2020/12/07 | 2023/10/30 | 25.00 |
| 67716 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 Q | 100,000,000 | 2020/12/07 | 2021/03/30 | 25.00 |
| 67722 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 M | 80,000,000 | 2020/12/07 | 2021/06/30 | 20.00 |
| 67731 | SG Issuer – BYD Company R Bull CBBC Jul 2021 A | 120,000,000 | 2020/12/07 | 2021/07/30 | 30.00 |
| 67900 | SG Issuer – Geely Auto R Bull CBBC Aug 2021 J | 40,000,000 | 2020/12/07 | 2021/08/31 | 10.00 |
| 67737 | SG Issuer – HKEX R Bull CBBC Jul 2021 F | 50,000,000 | 2020/12/07 | 2021/07/30 | 12.50 |
| 67842 | SG Issuer – HSBC R Bull CBBC Dec 2021 F | 100,000,000 | 2020/12/07 | 2021/12/30 | 25.00 |
| 67832 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/12/07 | 2023/11/29 | 62.50 |
| 67896 | SG Issuer – HSI R Bull CBBC Feb 2024 N | 250,000,000 | 2020/12/07 | 2024/02/28 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 67893 | SG Issuer – HSI R Bear CBBC Apr 2021 H | 250,000,000 | 2020/12/07 | 2021/04/29 | 62.50 |
| 67868 | SG Issuer – HSI R Bear CBBC Apr 2021 V | 250,000,000 | 2020/12/07 | 2021/04/29 | 62.50 |
| 67826 | SG Issuer – HSI R Bear CBBC May 2021 D | 250,000,000 | 2020/12/07 | 2021/05/28 | 62.50 |
| 67861 | SG Issuer – HSTECH R Bull CBBC Oct 2021 J | 100,000,000 | 2020/12/07 | 2021/10/28 | 25.00 |
| 67753 | SG Issuer – Meituan R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/12/07 | 2021/04/30 | 20.00 |
| 67796 | SG Issuer – Meituan R Bull CBBC May 2021 G | 80,000,000 | 2020/12/07 | 2021/05/31 | 20.00 |
| 67802 | SG Issuer – Meituan R Bull CBBC Jun 2021 N | 80,000,000 | 2020/12/07 | 2021/06/30 | 20.00 |
| 67821 | SG Issuer – Ping An R Bull CBBC Sep 2021 D | 100,000,000 | 2020/12/07 | 2021/09/30 | 25.00 |
| 67814 | SG Issuer – Ping An R Bear CBBC Jul 2021 B | 100,000,000 | 2020/12/07 | 2021/07/30 | 25.00 |
| 67823 | SG Issuer – Tencent R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/12/07 | 2021/04/30 | 20.00 |
| 67825 | SG Issuer – Tencent R Bull CBBC Jun 2021 W | 80,000,000 | 2020/12/07 | 2021/06/30 | 20.00 |
| 67904 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 Y | 60,000,000 | 2020/12/07 | 2021/04/30 | 15.00 |
| 67912 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 M | 60,000,000 | 2020/12/07 | 2021/06/30 | 15.00 |
| 67902 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 I | 200,000,000 | 2020/12/07 | 2021/06/29 | 50.00 |
| 67571 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/12/07 | 2021/06/28 | 20.00 |
| 67578 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 L | 80,000,000 | 2020/12/07 | 2021/06/28 | 20.00 |
| 67635 | UBS AG – BYD Company R Bear CBBC Jul 2021 D | 150,000,000 | 2020/12/07 | 2021/07/29 | 37.50 |
| 67462 | UBS AG – CC Bank R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/07 | 2021/08/18 | 25.00 |
| 67639 | UBS AG – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/12/07 | 2023/10/30 | 50.00 |
| 67649 | UBS AG – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/12/07 | 2023/10/30 | 50.00 |
| 67659 | UBS AG – HSI R Bull CBBC Oct 2023 W | 200,000,000 | 2020/12/07 | 2023/10/30 | 50.00 |
| 67670 | UBS AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/07 | 2021/03/30 | 50.00 |
| 67692 | UBS AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/07 | 2021/03/30 | 50.00 |
| 67693 | UBS AG – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/12/07 | 2021/03/30 | 50.00 |
| 67701 | UBS AG – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/12/07 | 2021/03/30 | 50.00 |
| 67468 | UBS AG – ICBC R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/07 | 2021/08/20 | 25.00 |
| 67474 | UBS AG – ICBC R Bull CBBC Aug 2021 D | 100,000,000 | 2020/12/07 | 2021/08/20 | 25.00 |
| 67504 | UBS AG – ICBC R Bear CBBC May 2021 A | 100,000,000 | 2020/12/07 | 2021/05/17 | 25.00 |
| 67616 | UBS AG – Meituan R Bull CBBC May 2021 V | 50,000,000 | 2020/12/07 | 2021/05/28 | 12.50 |
| 67622 | UBS AG – Meituan R Bull CBBC May 2021 W | 50,000,000 | 2020/12/07 | 2021/05/31 | 12.50 |
| 67625 | UBS AG – Meituan R Bull CBBC May 2021 X | 50,000,000 | 2020/12/07 | 2021/05/31 | 12.50 |
| 67631 | UBS AG – Meituan R Bear CBBC May 2021 O | 50,000,000 | 2020/12/07 | 2021/05/10 | 12.50 |
| 67632 | UBS AG – Meituan R Bear CBBC May 2021 P | 50,000,000 | 2020/12/07 | 2021/05/10 | 12.50 |
| 67580 | UBS AG – Tencent R Bull CBBC Jul 2021 C | 200,000,000 | 2020/12/07 | 2021/07/08 | 50.00 |
| 67594 | UBS AG – Tencent R Bull CBBC Jul 2021 D | 40,000,000 | 2020/12/07 | 2021/07/08 | 10.00 |
| 67398 | UBS AG – Xiaomi R Bull CBBC Jun 2021 B | 200,000,000 | 2020/12/07 | 2021/06/28 | 50.00 |
| 67419 | UBS AG – Xiaomi R Bull CBBC Jun 2021 H | 60,000,000 | 2020/12/07 | 2021/06/25 | 15.00 |
| 67423 | UBS AG – Xiaomi R Bear CBBC Jun 2021 K | 60,000,000 | 2020/12/07 | 2021/06/30 | 15.00 |
| 67426 | UBS AG – Xiaomi R Bear CBBC Jun 2021 L | 60,000,000 | 2020/12/07 | 2021/06/30 | 15.00 |
| 68123 | Bank Vontobel – BYD Company R Bear CBBC May 2021 D | 60,000,000 | 2020/12/07 | 2021/05/28 | 15.00 |
| 68188 | Bank Vontobel – HSBC R Bull CBBC Nov 2021 E | 60,000,000 | 2020/12/07 | 2021/11/12 | 15.00 |
| 68111 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 S | 60,000,000 | 2020/12/07 | 2021/11/26 | 15.00 |
| 68120 | Bank Vontobel – Meituan R Bear CBBC Jul 2021 D | 60,000,000 | 2020/12/07 | 2021/07/16 | 15.60 |
| 68124 | Bank Vontobel – Sino Biopharm R Bull CBBC Oct 2021 B | 40,000,000 | 2020/12/07 | 2021/10/15 | 10.00 |
| 68125 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 Q | 40,000,000 | 2020/12/07 | 2021/11/19 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68141 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 R | 40,000,000 | 2020/12/07 | 2021/11/19 | 11.20 |
| 68145 | Bank Vontobel – Xiaomi R Bear CBBC Jun 2021 H | 40,000,000 | 2020/12/07 | 2021/06/25 | 10.00 |
| 68422 | BOCI Asia Ltd. – HSBC R Bull CBBC Mar 2021 B | 40,000,000 | 2020/12/08 | 2021/03/31 | 10.00 |
| 68444 | BOCI Asia Ltd. – HSBC R Bear CBBC Mar 2021 C | 40,000,000 | 2020/12/08 | 2021/03/31 | 10.00 |
| 68397 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 N | 150,000,000 | 2020/12/08 | 2023/03/30 | 37.50 |
| 68469 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 C | 150,000,000 | 2020/12/08 | 2021/04/29 | 37.50 |
| 68447 | BOCI Asia Ltd. – Tencent R Bear CBBC Mar 2021 B | 120,000,000 | 2020/12/08 | 2021/03/31 | 30.00 |
| 68452 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Mar 2021 E | 40,000,000 | 2020/12/08 | 2021/03/31 | 10.00 |
| 68685 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 U | 200,000,000 | 2020/12/08 | 2023/09/28 | 50.00 |
| 68687 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/12/08 | 2023/09/28 | 50.00 |
| 68458 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/12/08 | 2021/03/30 | 50.00 |
| 68467 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/12/08 | 2021/03/30 | 50.00 |
| 68692 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 V | 240,000,000 | 2020/12/08 | 2021/03/30 | 60.00 |
| 68817 | Credit Suisse AG – AAC Tech R Bull CBBC Jun 2021 A | 80,000,000 | 2020/12/08 | 2021/06/24 | 20.00 |
| 68805 | Credit Suisse AG – HSBC R Bull CBBC Jul 2021 I | 100,000,000 | 2020/12/08 | 2021/07/23 | 25.00 |
| 68833 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 A | 200,000,000 | 2020/12/08 | 2023/09/28 | 50.00 |
| 68835 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 X | 200,000,000 | 2020/12/08 | 2023/09/28 | 50.00 |
| 68839 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/12/08 | 2021/03/30 | 50.00 |
| 68801 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/08 | 2021/03/30 | 50.00 |
| 68838 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 J | 80,000,000 | 2020/12/08 | 2021/07/30 | 20.00 |
| 68812 | Credit Suisse AG – Tencent R Bull CBBC Jun 2021 B | 50,000,000 | 2020/12/08 | 2021/06/25 | 12.50 |
| 68892 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 X | 160,000,000 | 2020/12/08 | 2024/02/28 | 40.00 |
| 68898 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Y | 160,000,000 | 2020/12/08 | 2024/02/28 | 40.00 |
| 68868 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 B | 160,000,000 | 2020/12/08 | 2021/03/30 | 40.00 |
| 68871 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 F | 160,000,000 | 2020/12/08 | 2021/03/30 | 40.00 |
| 68878 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 K | 160,000,000 | 2020/12/08 | 2021/03/30 | 40.00 |
| 68351 | HK Bank – BYD Company R Bull CBBC Aug 2021 B | 60,000,000 | 2020/12/08 | 2021/08/31 | 15.00 |
| 68366 | HK Bank – Geely Auto R Bear CBBC Aug 2021 A | 40,000,000 | 2020/12/08 | 2021/08/02 | 10.00 |
| 68344 | HK Bank – HKEX R Bear CBBC Jul 2021 F | 100,000,000 | 2020/12/08 | 2021/07/23 | 25.00 |
| 68352 | HK Bank – HSI R Bull CBBC Sep 2023 X | 80,000,000 | 2020/12/08 | 2023/09/28 | 20.00 |
| 68332 | HK Bank – HSI R Bear CBBC Apr 2021 M | 80,000,000 | 2020/12/08 | 2021/04/29 | 20.00 |
| 68370 | HK Bank – HSI R Bear CBBC Apr 2021 N | 100,000,000 | 2020/12/08 | 2021/04/29 | 25.00 |
| 68390 | HK Bank – HSI R Bear CBBC Apr 2021 O | 80,000,000 | 2020/12/08 | 2021/04/29 | 20.00 |
| 68396 | HK Bank – HSTECH R Bear CBBC May 2021 B | 100,000,000 | 2020/12/08 | 2021/05/28 | 25.00 |
| 68340 | HK Bank – Ping An R Bear CBBC Jul 2021 B | 120,000,000 | 2020/12/08 | 2021/07/23 | 30.00 |
| 68345 | HK Bank – Tencent R Bull CBBC Jun 2021 G | 100,000,000 | 2020/12/08 | 2021/06/28 | 25.00 |
| 68696 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 S | 200,000,000 | 2020/12/08 | 2023/11/29 | 50.00 |
| 68693 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/12/08 | 2021/03/30 | 50.00 |
| 68701 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 Z | 60,000,000 | 2020/12/08 | 2021/03/25 | 15.00 |
| 68702 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jun 2021 F | 100,000,000 | 2020/12/08 | 2021/06/25 | 25.00 |
| 68636 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 X | 200,000,000 | 2020/12/08 | 2024/02/28 | 50.00 |
| 68684 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Y | 200,000,000 | 2020/12/08 | 2024/02/28 | 50.00 |
| 68637 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 W | 200,000,000 | 2020/12/08 | 2021/04/29 | 50.00 |
| 68657 | J P Morgan SP BV – Meituan R Bull CBBC Jul 2021 C | 200,000,000 | 2020/12/08 | 2021/07/16 | 50.00 |
| 68648 | J P Morgan SP BV – Tencent R Bull CBBC Jul 2021 C | 200,000,000 | 2020/12/08 | 2021/07/16 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 68642 | J P Morgan SP BV – Xiaomi R Bull CBBC Aug 2021 A | 200,000,000 | 2020/12/08 | 2021/08/13 | 50.00 |
| 68487 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 J | 100,000,000 | 2020/12/08 | 2023/09/28 | 25.00 |
| 68481 | Morgan Stanley Asia Products – HSI R Bear CBBC May 2021 B | 100,000,000 | 2020/12/08 | 2021/05/28 | 25.00 |
| 68715 | SG Issuer – Alibaba Group R Bull CBBC May 2021 E | 80,000,000 | 2020/12/08 | 2021/05/31 | 20.00 |
| 68776 | SG Issuer – HSI R Bull CBBC Oct 2023 F | 250,000,000 | 2020/12/08 | 2023/10/30 | 62.50 |
| 68773 | SG Issuer – HSI R Bull CBBC Oct 2023 I | 250,000,000 | 2020/12/08 | 2023/10/30 | 62.50 |
| 68766 | SG Issuer – HSI R Bull CBBC Oct 2023 Y | 250,000,000 | 2020/12/08 | 2023/10/30 | 62.50 |
| 68703 | SG Issuer – HSI R Bear CBBC Jun 2021 C | 250,000,000 | 2020/12/08 | 2021/06/29 | 62.50 |
| 68704 | SG Issuer – HSI R Bear CBBC Jun 2021 D | 250,000,000 | 2020/12/08 | 2021/06/29 | 62.50 |
| 68708 | SG Issuer – HSI R Bear CBBC Jun 2021 E | 250,000,000 | 2020/12/08 | 2021/06/29 | 62.50 |
| 68743 | SG Issuer – HSI R Bear CBBC Jun 2021 F | 250,000,000 | 2020/12/08 | 2021/06/29 | 62.50 |
| 68713 | SG Issuer – HSTECH R Bear CBBC Apr 2021 D | 100,000,000 | 2020/12/08 | 2021/04/29 | 25.00 |
| 68780 | SG Issuer – JD.com R Bull CBBC May 2021 E | 60,000,000 | 2020/12/08 | 2021/05/31 | 15.00 |
| 68789 | SG Issuer – Meituan R Bull CBBC Apr 2021 Z | 80,000,000 | 2020/12/08 | 2021/04/30 | 20.00 |
| 68723 | SG Issuer – Meituan R Bear CBBC Jun 2021 S | 80,000,000 | 2020/12/08 | 2021/06/30 | 20.00 |
| 68754 | SG Issuer – NetEase R Bull CBBC Jun 2021 E | 60,000,000 | 2020/12/08 | 2021/06/30 | 15.00 |
| 68734 | SG Issuer – Ping An R Bear CBBC Mar 2021 C | 100,000,000 | 2020/12/08 | 2021/03/31 | 25.00 |
| 68793 | SG Issuer – Tencent R Bull CBBC May 2021 F | 80,000,000 | 2020/12/08 | 2021/05/31 | 20.00 |
| 68797 | SG Issuer – Tencent R Bull CBBC Jun 2021 Y | 80,000,000 | 2020/12/08 | 2021/06/30 | 20.00 |
| 68757 | SG Issuer – Tencent R Bear CBBC Jun 2021 B | 80,000,000 | 2020/12/08 | 2021/06/30 | 20.00 |
| 68741 | SG Issuer – Tencent R Bear CBBC Jun 2021 D | 80,000,000 | 2020/12/08 | 2021/06/30 | 20.00 |
| 68800 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 Z | 60,000,000 | 2020/12/08 | 2021/04/30 | 15.00 |
| 68601 | UBS AG – Alibaba Group R Bull CBBC May 2021 M | 80,000,000 | 2020/12/08 | 2021/05/31 | 20.00 |
| 68581 | UBS AG – Geely Auto R Bull CBBC Aug 2021 I | 100,000,000 | 2020/12/08 | 2021/08/31 | 25.00 |
| 68553 | UBS AG – HKEX R Bull CBBC Jul 2021 A | 200,000,000 | 2020/12/08 | 2021/07/30 | 50.00 |
| 68580 | UBS AG – HKEX R Bull CBBC Jul 2021 B | 50,000,000 | 2020/12/08 | 2021/07/30 | 12.50 |
| 68488 | UBS AG – HSBC R Bull CBBC Sep 2021 Q | 150,000,000 | 2020/12/08 | 2021/09/30 | 37.50 |
| 68490 | UBS AG – HSBC R Bull CBBC Sep 2021 R | 150,000,000 | 2020/12/08 | 2021/09/27 | 37.50 |
| 68602 | UBS AG – HSI R Bull CBBC Jan 2024 A | 200,000,000 | 2020/12/08 | 2024/01/30 | 50.00 |
| 68604 | UBS AG – HSI R Bull CBBC Jan 2024 B | 200,000,000 | 2020/12/08 | 2024/01/30 | 50.00 |
| 68605 | UBS AG – HSI R Bull CBBC Jan 2024 C | 200,000,000 | 2020/12/08 | 2024/01/30 | 50.00 |
| 68607 | UBS AG – HSI R Bull CBBC Jan 2024 D | 200,000,000 | 2020/12/08 | 2024/01/30 | 50.00 |
| 68612 | UBS AG – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/08 | 2021/04/29 | 50.00 |
| 68614 | UBS AG – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/12/08 | 2021/04/29 | 50.00 |
| 68593 | UBS AG – Ping An R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/08 | 2021/09/30 | 25.00 |
| 68597 | UBS AG – Ping An R Bull CBBC Sep 2021 B | 100,000,000 | 2020/12/08 | 2021/09/30 | 25.00 |
| 68519 | UBS AG – Tencent R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/08 | 2021/06/30 | 10.00 |
| 68491 | UBS AG – Tencent R Bull CBBC Jun 2021 J | 200,000,000 | 2020/12/08 | 2021/06/30 | 50.00 |
| 68500 | UBS AG – Tencent R Bull CBBC Jun 2021 K | 40,000,000 | 2020/12/08 | 2021/06/30 | 10.00 |
| 68520 | UBS AG – Xiaomi R Bull CBBC Jun 2021 I | 60,000,000 | 2020/12/08 | 2021/06/30 | 15.00 |
| 68867 | Bank Vontobel – HSI R Bull CBBC Sep 2023 R | 80,000,000 | 2020/12/08 | 2023/09/28 | 20.08 |
| 68866 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 T | 80,000,000 | 2020/12/08 | 2021/11/19 | 20.08 |
| 68995 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 E | 60,000,000 | 2020/12/09 | 2021/06/30 | 15.00 |
| 68953 | BOCI Asia Ltd. – HKEX R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/09 | 2021/06/30 | 10.00 |
| 68949 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 O | 150,000,000 | 2020/12/09 | 2023/03/30 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 68950 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 D | 150,000,000 | 2020/12/09 | 2021/04/29 | 37.50 |
| 68982 | BOCI Asia Ltd. – JD.com R Bull CBBC Mar 2021 B | 40,000,000 | 2020/12/09 | 2021/03/31 | 10.00 |
| 68992 | BOCI Asia Ltd. – JD.com R Bull CBBC Mar 2021 C | 40,000,000 | 2020/12/09 | 2021/03/31 | 10.00 |
| 68971 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 D | 40,000,000 | 2020/12/09 | 2021/06/30 | 10.00 |
| 68965 | BOCI Asia Ltd. – Ping An R Bull CBBC Jul 2021 B | 100,000,000 | 2020/12/09 | 2021/07/30 | 25.00 |
| 69196 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 S | 200,000,000 | 2020/12/09 | 2021/12/30 | 50.00 |
| 69145 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 W | 200,000,000 | 2020/12/09 | 2023/09/28 | 50.00 |
| 69152 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 X | 200,000,000 | 2020/12/09 | 2023/09/28 | 50.00 |
| 68913 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/12/09 | 2021/03/30 | 50.00 |
| 69154 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 K | 240,000,000 | 2020/12/09 | 2021/03/30 | 60.00 |
| 69222 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 F | 200,000,000 | 2020/12/09 | 2021/12/30 | 50.00 |
| 69216 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 R | 200,000,000 | 2020/12/09 | 2021/06/29 | 50.00 |
| 69219 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 X | 200,000,000 | 2020/12/09 | 2021/06/29 | 50.00 |
| 69226 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 G | 250,000,000 | 2020/12/09 | 2021/11/29 | 62.50 |
| 69229 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 T | 250,000,000 | 2020/12/09 | 2021/12/30 | 62.50 |
| 69178 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 Y | 250,000,000 | 2020/12/09 | 2021/11/29 | 62.50 |
| 69193 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 H | 250,000,000 | 2020/12/09 | 2021/11/29 | 62.50 |
| 69405 | Credit Suisse AG – AIA R Bear CBBC May 2021 A | 70,000,000 | 2020/12/09 | 2021/05/28 | 17.50 |
| 69450 | Credit Suisse AG – Alibaba Group R Bull CBBC Apr 2021 L | 50,000,000 | 2020/12/09 | 2021/04/29 | 14.00 |
| 69429 | Credit Suisse AG – China Life R Bull CBBC Sep 2021 B | 80,000,000 | 2020/12/09 | 2021/09/29 | 20.00 |
| 69393 | Credit Suisse AG – Galaxy Ent R Bull CBBC May 2021 C | 80,000,000 | 2020/12/09 | 2021/05/28 | 20.00 |
| 69394 | Credit Suisse AG – HKEX R Bull CBBC May 2021 F | 50,000,000 | 2020/12/09 | 2021/05/28 | 12.50 |
| 69455 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 F | 200,000,000 | 2020/12/09 | 2023/09/28 | 50.00 |
| 69457 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 V | 200,000,000 | 2020/12/09 | 2023/12/28 | 50.00 |
| 69359 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/12/09 | 2021/03/30 | 50.00 |
| 69360 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 Q | 200,000,000 | 2020/12/09 | 2021/04/29 | 50.00 |
| 69369 | Credit Suisse AG – HSI R Bear CBBC May 2021 J | 200,000,000 | 2020/12/09 | 2021/05/28 | 50.00 |
| 69389 | Credit Suisse AG – HSI R Bear CBBC May 2021 K | 200,000,000 | 2020/12/09 | 2021/05/28 | 50.00 |
| 69430 | Credit Suisse AG – Meituan R Bull CBBC May 2021 G | 50,000,000 | 2020/12/09 | 2021/05/28 | 12.50 |
| 69451 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 K | 80,000,000 | 2020/12/09 | 2021/07/29 | 20.00 |
| 69421 | Credit Suisse AG – Ping An R Bear CBBC Jul 2021 B | 60,000,000 | 2020/12/09 | 2021/07/29 | 15.00 |
| 69396 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 T | 50,000,000 | 2020/12/09 | 2021/04/29 | 12.50 |
| 69399 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 Q | 100,000,000 | 2020/12/09 | 2021/05/28 | 25.00 |
| 69520 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/09 | 2021/08/30 | 25.00 |
| 69482 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 Z | 60,000,000 | 2020/12/09 | 2021/08/30 | 15.00 |
| 69565 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 B | 100,000,000 | 2020/12/09 | 2021/07/29 | 25.00 |
| 69586 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/09 | 2021/10/29 | 25.00 |
| 69587 | Goldman Sachs SP (Asia) – HSBC R Bull CBBC Oct 2021 B | 100,000,000 | 2020/12/09 | 2021/10/29 | 25.00 |
| 69470 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 H | 160,000,000 | 2020/12/09 | 2024/02/28 | 40.00 |
| 69542 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 K | 160,000,000 | 2020/12/09 | 2024/02/28 | 40.00 |
| 69458 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Z | 160,000,000 | 2020/12/09 | 2024/02/28 | 40.00 |
| 69530 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 P | 160,000,000 | 2020/12/09 | 2021/03/30 | 40.00 |
| 69534 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 S | 160,000,000 | 2020/12/09 | 2021/03/30 | 40.00 |
| 69535 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 T | 160,000,000 | 2020/12/09 | 2021/03/30 | 40.00 |
| 69582 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Jul 2021 G | 100,000,000 | 2020/12/09 | 2021/07/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69471 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 G | 100,000,000 | 2020/12/09 | 2021/08/27 | 25.00 |
| 69546 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 G | 60,000,000 | 2020/12/09 | 2021/07/30 | 15.00 |
| 69544 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Jul 2021 V | 100,000,000 | 2020/12/09 | 2021/07/30 | 25.00 |
| 69504 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 Y | 60,000,000 | 2020/12/09 | 2021/08/27 | 15.00 |
| 69526 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 Z | 100,000,000 | 2020/12/09 | 2021/08/27 | 25.00 |
| 69583 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 H | 80,000,000 | 2020/12/09 | 2021/07/29 | 20.00 |
| 69515 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 G | 100,000,000 | 2020/12/09 | 2021/08/27 | 25.00 |
| 69525 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 S | 60,000,000 | 2020/12/09 | 2021/08/27 | 15.00 |
| 69585 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 W | 100,000,000 | 2020/12/09 | 2021/08/27 | 25.00 |
| 69570 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Y | 100,000,000 | 2020/12/09 | 2021/07/30 | 25.00 |
| 69475 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 Z | 60,000,000 | 2020/12/09 | 2021/07/30 | 15.00 |
| 68929 | HK Bank – HSI R Bear CBBC Apr 2021 P | 80,000,000 | 2020/12/09 | 2021/04/29 | 20.00 |
| 68943 | HK Bank – HSI R Bear CBBC Apr 2021 Q | 120,000,000 | 2020/12/09 | 2021/04/29 | 30.00 |
| 68931 | HK Bank – Tencent R Bull CBBC Jun 2021 H | 100,000,000 | 2020/12/09 | 2021/06/21 | 25.00 |
| 68933 | HK Bank – Tencent R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/09 | 2021/08/02 | 25.00 |
| 68948 | HK Bank – Xiaomi R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/09 | 2021/08/24 | 25.00 |
| 69117 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 L | 200,000,000 | 2020/12/09 | 2023/12/28 | 50.00 |
| 69121 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/09 | 2021/03/30 | 50.00 |
| 69131 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/09 | 2021/03/30 | 50.00 |
| 69138 | Haitong Int'l Sec – Meituan R Bull CBBC Apr 2021 D | 60,000,000 | 2020/12/09 | 2021/04/28 | 15.00 |
| 69141 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 J | 60,000,000 | 2020/12/09 | 2021/03/25 | 15.00 |
| 69143 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/09 | 2021/07/28 | 25.00 |
| 69029 | J P Morgan SP BV – HSCEI R Bear CBBC Jun 2021 A | 200,000,000 | 2020/12/09 | 2021/06/29 | 50.00 |
| 69035 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 I | 200,000,000 | 2020/12/09 | 2024/02/28 | 50.00 |
| 69016 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Z | 200,000,000 | 2020/12/09 | 2024/02/28 | 50.00 |
| 69033 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 X | 200,000,000 | 2020/12/09 | 2021/04/29 | 50.00 |
| 69017 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 E | 200,000,000 | 2020/12/09 | 2021/06/29 | 50.00 |
| 69007 | Morgan Stanley Asia Products – HSI R Bear CBBC May 2021 C | 100,000,000 | 2020/12/09 | 2021/05/28 | 25.00 |
| 69015 | Morgan Stanley Asia Products – HSTECH R Bull CBBC May 2021 F | 80,000,000 | 2020/12/09 | 2021/05/28 | 20.00 |
| 69012 | Morgan Stanley Asia Products – HSTECH R Bear CBBC May 2021 D | 80,000,000 | 2020/12/09 | 2021/05/28 | 20.00 |
| 69322 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 N | 80,000,000 | 2020/12/09 | 2021/06/29 | 20.00 |
| 69305 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/12/09 | 2024/01/30 | 62.50 |
| 69311 | SG Issuer – HSI R Bull CBBC Feb 2024 O | 250,000,000 | 2020/12/09 | 2024/02/28 | 62.50 |
| 69321 | SG Issuer – HSI R Bull CBBC Feb 2024 P | 250,000,000 | 2020/12/09 | 2024/02/28 | 62.50 |
| 69275 | SG Issuer – HSI R Bear CBBC Mar 2021 R | 250,000,000 | 2020/12/09 | 2021/03/30 | 62.50 |
| 69294 | SG Issuer – HSI R Bear CBBC Mar 2021 S | 250,000,000 | 2020/12/09 | 2021/03/30 | 62.50 |
| 69324 | SG Issuer – JD.com R Bull CBBC Jun 2021 O | 60,000,000 | 2020/12/09 | 2021/06/29 | 15.00 |
| 69329 | SG Issuer – Meituan R Bull CBBC Jun 2021 O | 80,000,000 | 2020/12/09 | 2021/06/29 | 20.00 |
| 69333 | SG Issuer – Ping An R Bull CBBC Sep 2021 E | 100,000,000 | 2020/12/09 | 2021/09/30 | 25.00 |
| 69357 | SG Issuer – Ping An R Bull CBBC Oct 2021 L | 100,000,000 | 2020/12/09 | 2021/10/29 | 25.00 |
| 69299 | SG Issuer – Tencent R Bear CBBC Jun 2021 C | 80,000,000 | 2020/12/09 | 2021/06/30 | 20.00 |
| 69060 | UBS AG – Alibaba Group R Bull CBBC May 2021 N | 80,000,000 | 2020/12/09 | 2021/05/31 | 20.00 |
| 69070 | UBS AG – Alibaba Group R Bull CBBC May 2021 O | 80,000,000 | 2020/12/09 | 2021/05/31 | 20.00 |
| 69095 | UBS AG – HKEX R Bull CBBC Jul 2021 C | 50,000,000 | 2020/12/09 | 2021/07/30 | 12.50 |
| 69111 | UBS AG – HSI R Bull CBBC Jan 2024 E | 200,000,000 | 2020/12/09 | 2024/01/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 69115 | UBS AG – HSI R Bull CBBC Jan 2024 F | 200,000,000 | 2020/12/09 | 2024/01/30 | 50.00 |
| 69098 | UBS AG – HSI R Bear CBBC Jun 2021 A | 200,000,000 | 2020/12/09 | 2021/06/29 | 50.00 |
| 69099 | UBS AG – HSI R Bear CBBC Jun 2021 B | 200,000,000 | 2020/12/09 | 2021/06/29 | 50.00 |
| 69075 | UBS AG – JD.com R Bull CBBC Jun 2021 G | 200,000,000 | 2020/12/09 | 2021/06/30 | 50.00 |
| 69085 | UBS AG – JD.com R Bull CBBC Jun 2021 H | 200,000,000 | 2020/12/09 | 2021/06/30 | 50.00 |
| 69040 | UBS AG – Meituan R Bull CBBC Jun 2021 R | 50,000,000 | 2020/12/09 | 2021/06/30 | 12.50 |
| 69053 | UBS AG – Meituan R Bull CBBC Jun 2021 S | 50,000,000 | 2020/12/09 | 2021/06/30 | 12.50 |
| 69058 | UBS AG – Meituan R Bull CBBC Jun 2021 T | 50,000,000 | 2020/12/09 | 2021/06/30 | 12.50 |
| 69088 | UBS AG – Ping An R Bull CBBC Sep 2021 C | 100,000,000 | 2020/12/09 | 2021/09/30 | 25.00 |
| 69257 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 F | 80,000,000 | 2020/12/09 | 2021/11/26 | 20.08 |
| 69262 | Bank Vontobel – HSBC R Bull CBBC Nov 2021 F | 60,000,000 | 2020/12/09 | 2021/11/26 | 15.06 |
| 69267 | Bank Vontobel – HSI R Bull CBBC Sep 2023 S | 80,000,000 | 2020/12/09 | 2023/09/28 | 20.08 |
| 69239 | Bank Vontobel – HSI R Bear CBBC Mar 2021 K | 80,000,000 | 2020/12/09 | 2021/03/30 | 20.08 |
| 69265 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 U | 60,000,000 | 2020/12/09 | 2021/11/19 | 15.06 |
| 69258 | Bank Vontobel – Ping An R Bull CBBC Aug 2021 F | 80,000,000 | 2020/12/09 | 2021/08/06 | 20.08 |
| 69613 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 P | 150,000,000 | 2020/12/10 | 2023/03/30 | 37.50 |
| 69676 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 E | 150,000,000 | 2020/12/10 | 2021/04/29 | 37.50 |
| 69681 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 F | 150,000,000 | 2020/12/10 | 2021/04/29 | 37.50 |
| 69688 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 D | 120,000,000 | 2020/12/10 | 2021/03/31 | 30.00 |
| 50437 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 N | 200,000,000 | 2020/12/10 | 2021/09/29 | 50.00 |
| 50438 | BNP Paribas Issuance B.V. – HKEX R Bear CBBC Jul 2021 M | 200,000,000 | 2020/12/10 | 2021/07/29 | 50.00 |
| 69620 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 I | 190,000,000 | 2020/12/10 | 2023/09/28 | 47.50 |
| 69624 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 J | 190,000,000 | 2020/12/10 | 2023/09/28 | 47.50 |
| 69699 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 K | 200,000,000 | 2020/12/10 | 2023/09/28 | 50.00 |
| 69614 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Y | 200,000,000 | 2020/12/10 | 2023/09/28 | 50.00 |
| 69615 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Z | 200,000,000 | 2020/12/10 | 2023/09/28 | 50.00 |
| 69720 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 69703 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 69727 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50378 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Q | 240,000,000 | 2020/12/10 | 2021/03/30 | 60.00 |
| 69704 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 69712 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50429 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 G | 200,000,000 | 2020/12/10 | 2021/12/30 | 50.00 |
| 50425 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 P | 200,000,000 | 2020/12/10 | 2021/11/29 | 50.00 |
| 50393 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 Z | 250,000,000 | 2020/12/10 | 2021/11/29 | 62.50 |
| 50423 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 I | 250,000,000 | 2020/12/10 | 2021/11/29 | 62.50 |
| 50377 | Credit Suisse AG – AAC Tech R Bull CBBC Sep 2021 B | 80,000,000 | 2020/12/10 | 2021/09/30 | 20.00 |
| 50340 | Credit Suisse AG – Alibaba Group R Bull CBBC Aug 2021 A | 50,000,000 | 2020/12/10 | 2021/08/03 | 16.50 |
| 50357 | Credit Suisse AG – Ali Health R Bull CBBC Jul 2021 C | 50,000,000 | 2020/12/10 | 2021/07/23 | 12.50 |
| 50344 | Credit Suisse AG – China Life R Bull CBBC Oct 2021 A | 80,000,000 | 2020/12/10 | 2021/10/21 | 20.00 |
| 50338 | Credit Suisse AG – HKEX R Bull CBBC Jun 2021 A | 100,000,000 | 2020/12/10 | 2021/06/24 | 25.00 |
| 50267 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 G | 200,000,000 | 2020/12/10 | 2023/09/28 | 50.00 |
| 50268 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/12/10 | 2023/09/28 | 50.00 |
| 50310 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 J | 200,000,000 | 2020/12/10 | 2023/09/28 | 50.00 |
| 50311 | Credit Suisse AG – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/12/10 | 2023/11/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 50330 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50324 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50322 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50328 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50543 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/10 | 2021/08/30 | 25.00 |
| 50550 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 D | 60,000,000 | 2020/12/10 | 2021/08/30 | 15.00 |
| 50600 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 C | 100,000,000 | 2020/12/10 | 2021/07/29 | 25.00 |
| 50446 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 M | 160,000,000 | 2020/12/10 | 2024/02/28 | 40.00 |
| 50451 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 N | 160,000,000 | 2020/12/10 | 2024/02/28 | 40.00 |
| 50472 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 P | 160,000,000 | 2020/12/10 | 2024/02/28 | 40.00 |
| 50486 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 R | 160,000,000 | 2020/12/10 | 2024/02/28 | 40.00 |
| 50556 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 U | 160,000,000 | 2020/12/10 | 2021/03/30 | 40.00 |
| 50557 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 V | 160,000,000 | 2020/12/10 | 2021/03/30 | 40.00 |
| 50576 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 W | 160,000,000 | 2020/12/10 | 2021/03/30 | 40.00 |
| 50487 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 B | 60,000,000 | 2020/12/10 | 2021/08/27 | 15.00 |
| 50536 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 E | 60,000,000 | 2020/12/10 | 2021/08/27 | 15.00 |
| 50596 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 L | 100,000,000 | 2020/12/10 | 2021/07/30 | 25.00 |
| 50602 | Goldman Sachs SP (Asia) – Sunny Optical R Bull CBBC Jul21 C | 80,000,000 | 2020/12/10 | 2021/07/30 | 20.00 |
| 50537 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Y | 60,000,000 | 2020/12/10 | 2021/08/27 | 15.00 |
| 50598 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Z | 60,000,000 | 2020/12/10 | 2021/08/27 | 15.00 |
| 69671 | HK Bank – AIA R Bear CBBC Jul 2021 D | 40,000,000 | 2020/12/10 | 2021/07/30 | 10.00 |
| 69657 | HK Bank – CC Bank R Bear CBBC May 2021 B | 40,000,000 | 2020/12/10 | 2021/05/28 | 10.00 |
| 69652 | HK Bank – China Life R Bear CBBC Aug 2021 A | 80,000,000 | 2020/12/10 | 2021/08/31 | 20.00 |
| 69625 | HK Bank – HSCEI R Bear CBBC Apr 2021 B | 50,000,000 | 2020/12/10 | 2021/04/29 | 12.50 |
| 69589 | HK Bank – HSI R Bull CBBC Sep 2023 Y | 80,000,000 | 2020/12/10 | 2023/09/28 | 20.00 |
| 69611 | HK Bank – HSI R Bull CBBC Sep 2023 Z | 80,000,000 | 2020/12/10 | 2023/09/28 | 20.00 |
| 69656 | HK Bank – HSI R Bear CBBC Apr 2021 R | 100,000,000 | 2020/12/10 | 2021/04/29 | 25.00 |
| 69659 | HK Bank – HSI R Bear CBBC Apr 2021 S | 80,000,000 | 2020/12/10 | 2021/04/29 | 20.00 |
| 69673 | HK Bank – JD.com R Bear CBBC Jul 2021 D | 50,000,000 | 2020/12/10 | 2021/07/16 | 12.50 |
| 69667 | HK Bank – Sino Biopharm R Bull CBBC Sep 2021 A | 40,000,000 | 2020/12/10 | 2021/09/30 | 10.00 |
| 69647 | HK Bank – SUNAC R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/10 | 2021/08/13 | 10.00 |
| 69648 | HK Bank – Tencent R Bear CBBC Jun 2021 F | 100,000,000 | 2020/12/10 | 2021/06/21 | 25.00 |
| 50233 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 D | 200,000,000 | 2020/12/10 | 2023/10/30 | 50.00 |
| 50234 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 M | 200,000,000 | 2020/12/10 | 2023/12/28 | 50.00 |
| 50242 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 N | 200,000,000 | 2020/12/10 | 2023/12/28 | 50.00 |
| 50243 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50250 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 N | 200,000,000 | 2020/12/10 | 2021/04/29 | 50.00 |
| 50245 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 K | 60,000,000 | 2020/12/10 | 2021/03/25 | 15.00 |
| 50625 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 K | 200,000,000 | 2020/12/10 | 2024/02/28 | 50.00 |
| 50628 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 R | 200,000,000 | 2020/12/10 | 2024/02/28 | 50.00 |
| 50629 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 T | 200,000,000 | 2020/12/10 | 2024/02/28 | 50.00 |
| 50658 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 U | 200,000,000 | 2020/12/10 | 2024/02/28 | 50.00 |
| 50711 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 A | 200,000,000 | 2020/12/10 | 2021/04/29 | 50.00 |
| 50712 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 B | 200,000,000 | 2020/12/10 | 2021/04/29 | 50.00 |
| 50665 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 Y | 200,000,000 | 2020/12/10 | 2021/04/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 50709 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 Z | 200,000,000 | 2020/12/10 | 2021/04/29 | 50.00 |
| 50659 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 H | 200,000,000 | 2020/12/10 | 2022/04/28 | 50.00 |
| 50707 | J P Morgan SP BV – Meituan R Bull CBBC Aug 2021 A | 200,000,000 | 2020/12/10 | 2021/08/20 | 50.00 |
| 69780 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 V | 100,000,000 | 2020/12/10 | 2023/11/29 | 25.00 |
| 69742 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 L | 100,000,000 | 2020/12/10 | 2021/04/29 | 25.00 |
| 69762 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 M | 100,000,000 | 2020/12/10 | 2021/04/29 | 25.00 |
| 69879 | SG Issuer – Alibaba Group R Bull CBBC May 2021 F | 80,000,000 | 2020/12/10 | 2021/05/31 | 20.00 |
| 69880 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 K | 80,000,000 | 2020/12/10 | 2021/06/30 | 20.00 |
| 69935 | SG Issuer – Alibaba Group R Bear CBBC May 2021 C | 80,000,000 | 2020/12/10 | 2021/05/31 | 20.00 |
| 69984 | SG Issuer – Alibaba Group R Bear CBBC May 2021 D | 80,000,000 | 2020/12/10 | 2021/05/31 | 20.00 |
| 69995 | SG Issuer – BYD Company R Bull CBBC Aug 2021 C | 120,000,000 | 2020/12/10 | 2021/08/30 | 30.00 |
| 69936 | SG Issuer – China Life R Bull CBBC Aug 2021 G | 150,000,000 | 2020/12/10 | 2021/08/30 | 37.50 |
| 69881 | SG Issuer – HKEX R Bull CBBC Jun 2021 O | 50,000,000 | 2020/12/10 | 2021/06/30 | 12.50 |
| 69973 | SG Issuer – HKEX R Bear CBBC May 2021 D | 50,000,000 | 2020/12/10 | 2021/05/31 | 12.50 |
| 69791 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/12/10 | 2023/11/29 | 62.50 |
| 69781 | SG Issuer – HSI R Bull CBBC Nov 2023 R | 250,000,000 | 2020/12/10 | 2023/11/29 | 62.50 |
| 69785 | SG Issuer – HSI R Bull CBBC Nov 2023 V | 250,000,000 | 2020/12/10 | 2023/11/29 | 62.50 |
| 69801 | SG Issuer – HSI R Bull CBBC Feb 2024 Q | 250,000,000 | 2020/12/10 | 2024/02/28 | 62.50 |
| 69840 | SG Issuer – HSI R Bull CBBC Feb 2024 R | 250,000,000 | 2020/12/10 | 2024/02/28 | 62.50 |
| 69841 | SG Issuer – HSI R Bear CBBC Mar 2021 M | 250,000,000 | 2020/12/10 | 2021/03/30 | 62.50 |
| 69842 | SG Issuer – HSI R Bear CBBC Mar 2021 T | 250,000,000 | 2020/12/10 | 2021/03/30 | 62.50 |
| 69846 | SG Issuer – HSI R Bear CBBC Mar 2021 U | 250,000,000 | 2020/12/10 | 2021/03/30 | 62.50 |
| 69848 | SG Issuer – HSI R Bear CBBC Apr 2021 W | 250,000,000 | 2020/12/10 | 2021/04/29 | 62.50 |
| 69878 | SG Issuer – HSTECH R Bull CBBC Oct 2021 K | 100,000,000 | 2020/12/10 | 2021/10/28 | 25.00 |
| 69882 | SG Issuer – JD.com R Bull CBBC Apr 2021 I | 60,000,000 | 2020/12/10 | 2021/04/30 | 15.00 |
| 69884 | SG Issuer – JD.com R Bull CBBC Aug 2021 E | 200,000,000 | 2020/12/10 | 2021/08/30 | 50.00 |
| 69974 | SG Issuer – JD.com R Bear CBBC Jul 2021 C | 200,000,000 | 2020/12/10 | 2021/07/29 | 50.00 |
| 69903 | SG Issuer – Meituan R Bull CBBC May 2021 H | 80,000,000 | 2020/12/10 | 2021/05/31 | 20.00 |
| 69912 | SG Issuer – Meituan R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/12/10 | 2021/06/30 | 20.00 |
| 69975 | SG Issuer – Meituan R Bear CBBC Jun 2021 T | 80,000,000 | 2020/12/10 | 2021/06/30 | 20.00 |
| 69982 | SG Issuer – Sunny Optical R Bull CBBC Apr 2021 B | 60,000,000 | 2020/12/10 | 2021/04/30 | 15.00 |
| 69916 | SG Issuer – Tencent R Bull CBBC Apr 2021 E | 80,000,000 | 2020/12/10 | 2021/04/30 | 20.00 |
| 69919 | SG Issuer – Tencent R Bull CBBC May 2021 H | 200,000,000 | 2020/12/10 | 2021/05/31 | 50.00 |
| 50168 | UBS AG – AIA R Bull CBBC Jun 2021 C | 60,000,000 | 2020/12/10 | 2021/06/30 | 15.00 |
| 50032 | UBS AG – Alibaba Group R Bull CBBC May 2021 P | 80,000,000 | 2020/12/10 | 2021/05/31 | 20.00 |
| 50033 | UBS AG – Alibaba Group R Bull CBBC May 2021 Q | 80,000,000 | 2020/12/10 | 2021/05/31 | 20.00 |
| 50172 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 M | 80,000,000 | 2020/12/10 | 2021/06/28 | 20.00 |
| 50138 | UBS AG – Galaxy Ent R Bull CBBC Jun 2021 C | 50,000,000 | 2020/12/10 | 2021/06/30 | 12.50 |
| 50074 | UBS AG – HKEX R Bull CBBC Jul 2021 D | 50,000,000 | 2020/12/10 | 2021/07/30 | 12.50 |
| 50080 | UBS AG – HSI R Bull CBBC Jan 2024 G | 200,000,000 | 2020/12/10 | 2024/01/30 | 50.00 |
| 50085 | UBS AG – HSI R Bull CBBC Jan 2024 H | 200,000,000 | 2020/12/10 | 2024/01/30 | 50.00 |
| 50086 | UBS AG – HSI R Bull CBBC Jan 2024 I | 200,000,000 | 2020/12/10 | 2024/01/30 | 50.00 |
| 50088 | UBS AG – HSI R Bull CBBC Jan 2024 J | 200,000,000 | 2020/12/10 | 2024/01/30 | 50.00 |
| 50090 | UBS AG – HSI R Bull CBBC Jan 2024 K | 200,000,000 | 2020/12/10 | 2024/01/30 | 50.00 |
| 50216 | UBS AG – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 50221 | UBS AG – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50214 | UBS AG – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/12/10 | 2021/03/30 | 50.00 |
| 50042 | UBS AG – Meituan R Bull CBBC Jun 2021 U | 50,000,000 | 2020/12/10 | 2021/06/30 | 12.50 |
| 50043 | UBS AG – Meituan R Bull CBBC Jun 2021 V | 50,000,000 | 2020/12/10 | 2021/06/30 | 12.50 |
| 50199 | UBS AG – Meituan R Bear CBBC May 2021 Q | 50,000,000 | 2020/12/10 | 2021/05/10 | 12.50 |
| 50169 | UBS AG – NetEase R Bull CBBC Apr 2021 K | 80,000,000 | 2020/12/10 | 2021/04/29 | 20.00 |
| 50092 | UBS AG – Sunny Optical R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/10 | 2021/06/30 | 10.00 |
| 50098 | UBS AG – Sunny Optical R Bull CBBC Jun 2021 C | 40,000,000 | 2020/12/10 | 2021/06/30 | 10.00 |
| 50103 | UBS AG – Sunny Optical R Bear CBBC Jun 2021 A | 40,000,000 | 2020/12/10 | 2021/06/30 | 10.00 |
| 69997 | UBS AG – Tencent R Bull CBBC Jun 2021 G | 40,000,000 | 2020/12/10 | 2021/06/30 | 10.00 |
| 50012 | UBS AG – Tencent R Bull CBBC Jun 2021 L | 200,000,000 | 2020/12/10 | 2021/06/30 | 50.00 |
| 50230 | UBS AG – Tencent R Bull CBBC Jun 2021 M | 40,000,000 | 2020/12/10 | 2021/06/30 | 10.00 |
| 50170 | UBS AG – Tencent R Bear CBBC Jun 2021 P | 40,000,000 | 2020/12/10 | 2021/06/30 | 10.00 |
| 50046 | UBS AG – Xiaomi R Bull CBBC Jun 2021 J | 60,000,000 | 2020/12/10 | 2021/06/30 | 15.00 |
| 50213 | UBS AG – Xiaomi R Bear CBBC Jun 2021 M | 60,000,000 | 2020/12/10 | 2021/06/30 | 15.00 |
| 51060 | Bank Vontobel – Galaxy Ent R Bull CBBC Nov 2021 C | 40,000,000 | 2020/12/10 | 2021/11/19 | 10.00 |
| 51068 | Bank Vontobel – HSBC R Bear CBBC Jul 2021 B | 60,000,000 | 2020/12/10 | 2021/07/23 | 15.00 |
| 50713 | Bank Vontobel – HSI R Bull CBBC Sep 2023 T | 80,000,000 | 2020/12/10 | 2023/09/28 | 20.00 |
| 50818 | Bank Vontobel – HSI R Bull CBBC Sep 2023 U | 80,000,000 | 2020/12/10 | 2023/09/28 | 20.00 |
| 50776 | Bank Vontobel – HSI R Bear CBBC Mar 2021 E | 80,000,000 | 2020/12/10 | 2021/03/30 | 20.00 |
| 50801 | Bank Vontobel – HSI R Bear CBBC Mar 2021 M | 80,000,000 | 2020/12/10 | 2021/03/30 | 20.00 |
| 50744 | Bank Vontobel – HSI R Bear CBBC Mar 2021 N | 80,000,000 | 2020/12/10 | 2021/03/30 | 20.00 |
| 50963 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 V | 60,000,000 | 2020/12/10 | 2021/11/26 | 15.00 |
| 51005 | Bank Vontobel – Sunny Optical R Bear CBBC Sep 2021 A | 40,000,000 | 2020/12/10 | 2021/09/17 | 10.00 |
| 50728 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 S | 40,000,000 | 2020/12/10 | 2021/11/19 | 10.00 |
| 51386 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 Q | 150,000,000 | 2020/12/11 | 2023/03/30 | 37.50 |
| 51392 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 G | 150,000,000 | 2020/12/11 | 2021/04/29 | 37.50 |
| 51480 | BOCI Asia Ltd. – Sunny Optical R Bull CBBC Mar 2021 A | 40,000,000 | 2020/12/11 | 2021/03/31 | 10.00 |
| 51409 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Mar 2021 F | 40,000,000 | 2020/12/11 | 2021/03/31 | 10.00 |
| 51461 | BOCI Asia Ltd. – Xiaomi R Bear CBBC May 2021 A | 150,000,000 | 2020/12/11 | 2021/05/28 | 37.50 |
| 52126 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Q | 190,000,000 | 2020/12/11 | 2023/09/28 | 47.50 |
| 51491 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/11 | 2021/04/29 | 50.00 |
| 51493 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/12/11 | 2021/04/29 | 50.00 |
| 52153 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 F | 240,000,000 | 2020/12/11 | 2021/04/29 | 60.00 |
| 52025 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 A | 250,000,000 | 2020/12/11 | 2021/11/29 | 62.50 |
| 51975 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 D | 250,000,000 | 2020/12/11 | 2021/11/29 | 62.50 |
| 52284 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 A | 100,000,000 | 2020/12/11 | 2021/07/30 | 25.00 |
| 52347 | Credit Suisse AG – Ali Health R Bull CBBC Jul 2021 D | 50,000,000 | 2020/12/11 | 2021/07/09 | 12.50 |
| 52283 | Credit Suisse AG – BYD Company R Bull CBBC Nov 2021 A | 100,000,000 | 2020/12/11 | 2021/11/01 | 25.00 |
| 52209 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 L | 200,000,000 | 2020/12/11 | 2023/09/28 | 50.00 |
| 52222 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/12/11 | 2023/12/28 | 50.00 |
| 52278 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/12/11 | 2021/03/30 | 50.00 |
| 52319 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/11 | 2021/03/30 | 50.00 |
| 52250 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 F | 100,000,000 | 2020/12/11 | 2021/11/29 | 25.00 |
| 52263 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 G | 100,000,000 | 2020/12/11 | 2021/11/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 52266 | Credit Suisse AG – HSTECH R Bear CBBC Apr 2021 B | 100,000,000 | 2020/12/11 | 2021/04/29 | 25.00 |
| 52311 | Credit Suisse AG – JD.com R Bull CBBC Jun 2021 A | 100,000,000 | 2020/12/11 | 2021/06/25 | 25.00 |
| 52285 | Credit Suisse AG – Xiaomi R Bull CBBC Jul 2021 C | 100,000,000 | 2020/12/11 | 2021/07/16 | 25.00 |
| 51305 | Bank of East Asia – JD.com R Bull CBBC Apr 2021 B | 40,000,000 | 2020/12/11 | 2021/04/21 | 10.80 |
| 51383 | Bank of East Asia – Tencent R Bull CBBC Apr 2021 D | 30,000,000 | 2020/12/11 | 2021/04/21 | 10.50 |
| 51292 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 L | 80,000,000 | 2020/12/11 | 2021/07/22 | 20.00 |
| 51291 | Bank of East Asia – Xiaomi R Bull CBBC Dec 2022 B | 40,000,000 | 2020/12/11 | 2022/12/30 | 14.00 |
| 52786 | Goldman Sachs SP (Asia) – Galaxy Ent R Bull CBBC Jul 2021 C | 60,000,000 | 2020/12/11 | 2021/07/29 | 15.00 |
| 52730 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 F | 160,000,000 | 2020/12/11 | 2024/02/28 | 40.00 |
| 52741 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 O | 160,000,000 | 2020/12/11 | 2024/02/28 | 40.00 |
| 52782 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 S | 160,000,000 | 2020/12/11 | 2024/02/28 | 40.00 |
| 52785 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 A | 160,000,000 | 2020/12/11 | 2021/03/30 | 40.00 |
| 52773 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 X | 160,000,000 | 2020/12/11 | 2021/03/30 | 40.00 |
| 52811 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 M | 60,000,000 | 2020/12/11 | 2021/07/30 | 15.00 |
| 52813 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 H | 80,000,000 | 2020/12/11 | 2021/07/30 | 20.00 |
| 52836 | Goldman Sachs SP (Asia) – Xiaomi R Bear CBBC Jul 2021 F | 80,000,000 | 2020/12/11 | 2021/07/30 | 20.00 |
| 51217 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 F | 100,000,000 | 2020/12/11 | 2021/07/19 | 25.00 |
| 51142 | HK Bank – Ali Health R Bull CBBC Jul 2021 B | 40,000,000 | 2020/12/11 | 2021/07/19 | 10.00 |
| 51285 | HK Bank – BYD Company R Bull CBBC Aug 2021 C | 60,000,000 | 2020/12/11 | 2021/08/16 | 15.00 |
| 51074 | HK Bank – HSI R Bull CBBC Sep 2023 A | 120,000,000 | 2020/12/11 | 2023/09/28 | 30.00 |
| 51213 | HK Bank – HSI R Bear CBBC Apr 2021 T | 120,000,000 | 2020/12/11 | 2021/04/29 | 30.00 |
| 51212 | HK Bank – Meituan R Bull CBBC Jul 2021 M | 100,000,000 | 2020/12/11 | 2021/07/12 | 25.00 |
| 51279 | HK Bank – Sino Biopharm R Bull CBBC Jul 2021 B | 40,000,000 | 2020/12/11 | 2021/07/23 | 10.00 |
| 51146 | HK Bank – Sunny Optical R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/11 | 2021/08/31 | 25.00 |
| 51214 | HK Bank – Xiaomi R Bull CBBC Aug 2021 D | 100,000,000 | 2020/12/11 | 2021/08/17 | 25.00 |
| 52170 | Haitong Int'l Sec – BYD Company R Bull CBBC Aug 2021 A | 100,000,000 | 2020/12/11 | 2021/08/30 | 25.00 |
| 52169 | Haitong Int'l Sec – HSI R Bull CBBC Mar 2022 I | 200,000,000 | 2020/12/11 | 2022/03/30 | 50.00 |
| 52178 | Haitong Int'l Sec – HSI R Bear CBBC May 2021 C | 200,000,000 | 2020/12/11 | 2021/05/28 | 50.00 |
| 52183 | Haitong Int'l Sec – HSI R Bear CBBC May 2021 D | 200,000,000 | 2020/12/11 | 2021/05/28 | 50.00 |
| 52026 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 H | 200,000,000 | 2020/12/11 | 2024/02/28 | 50.00 |
| 52041 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Q | 200,000,000 | 2020/12/11 | 2024/02/28 | 50.00 |
| 52042 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 C | 200,000,000 | 2020/12/11 | 2021/04/29 | 50.00 |
| 52105 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/11 | 2021/04/29 | 50.00 |
| 52120 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/12/11 | 2021/04/29 | 50.00 |
| 52115 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 I | 200,000,000 | 2020/12/11 | 2022/04/28 | 50.00 |
| 52062 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 F | 200,000,000 | 2020/12/11 | 2021/06/29 | 50.00 |
| 52119 | J P Morgan SP BV – Xiaomi R Bull CBBC Aug 2021 B | 200,000,000 | 2020/12/11 | 2021/08/20 | 50.00 |
| 52121 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 R | 100,000,000 | 2020/12/11 | 2021/03/30 | 25.00 |
| 52359 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 C | 80,000,000 | 2020/12/11 | 2021/04/30 | 20.00 |
| 52349 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 E | 80,000,000 | 2020/12/11 | 2021/04/30 | 20.00 |
| 52568 | SG Issuer – Ali Health R Bear CBBC Jun 2021 B | 100,000,000 | 2020/12/11 | 2021/06/29 | 25.00 |
| 52378 | SG Issuer – HSBC R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/11 | 2021/10/05 | 25.00 |
| 52394 | SG Issuer – HSBC R Bull CBBC Oct 2021 B | 100,000,000 | 2020/12/11 | 2021/10/05 | 25.00 |
| 52404 | SG Issuer – HSBC R Bull CBBC Dec 2021 G | 100,000,000 | 2020/12/11 | 2021/12/30 | 25.00 |
| 52377 | SG Issuer – HSBC R Bear CBBC Jun 2021 H | 100,000,000 | 2020/12/11 | 2021/06/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 52554 | SG Issuer – HSI R Bull CBBC Oct 2023 K | 250,000,000 | 2020/12/11 | 2023/10/30 | 62.50 |
| 52466 | SG Issuer – HSI R Bull CBBC Jan 2024 X | 250,000,000 | 2020/12/11 | 2024/01/30 | 62.50 |
| 52467 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/12/11 | 2024/01/30 | 62.50 |
| 52474 | SG Issuer – HSI R Bull CBBC Feb 2024 S | 250,000,000 | 2020/12/11 | 2024/02/28 | 62.50 |
| 52537 | SG Issuer – HSI R Bear CBBC Apr 2021 A | 250,000,000 | 2020/12/11 | 2021/04/29 | 62.50 |
| 52552 | SG Issuer – HSI R Bear CBBC Apr 2021 G | 250,000,000 | 2020/12/11 | 2021/04/29 | 62.50 |
| 52539 | SG Issuer – HSI R Bear CBBC May 2021 F | 250,000,000 | 2020/12/11 | 2021/05/28 | 62.50 |
| 52553 | SG Issuer – HSI R Bear CBBC May 2021 G | 250,000,000 | 2020/12/11 | 2021/05/28 | 62.50 |
| 52428 | SG Issuer – JD.com R Bull CBBC Jun 2021 P | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 52492 | SG Issuer – Meituan R Bull CBBC Apr 2021 A | 80,000,000 | 2020/12/11 | 2021/04/30 | 20.00 |
| 52502 | SG Issuer – Meituan R Bull CBBC Jun 2021 P | 80,000,000 | 2020/12/11 | 2021/06/30 | 20.00 |
| 52580 | SG Issuer – Meituan R Bull CBBC Jun 2021 S | 80,000,000 | 2020/12/11 | 2021/06/30 | 20.00 |
| 52441 | SG Issuer – Meituan R Bear CBBC Jul 2021 F | 200,000,000 | 2020/12/11 | 2021/07/29 | 50.00 |
| 52447 | SG Issuer – Sino Biopharm R Bull CBBC May 2021 B | 40,000,000 | 2020/12/11 | 2021/05/31 | 10.00 |
| 52509 | SG Issuer – SMIC R Bull CBBC Jun 2021 N | 120,000,000 | 2020/12/11 | 2021/06/30 | 30.00 |
| 52517 | SG Issuer – Tencent R Bull CBBC Apr 2021 U | 80,000,000 | 2020/12/11 | 2021/04/30 | 20.00 |
| 52610 | SG Issuer – Tencent R Bull CBBC Jun 2021 A | 80,000,000 | 2020/12/11 | 2021/06/30 | 20.00 |
| 52606 | SG Issuer – Tencent R Bull CBBC Jun 2021 Z | 80,000,000 | 2020/12/11 | 2021/06/30 | 20.00 |
| 52591 | SG Issuer – Tencent R Bear CBBC Apr 2021 X | 80,000,000 | 2020/12/11 | 2021/04/30 | 20.00 |
| 52454 | SG Issuer – WuXi Bio R Bull CBBC Jun 2021 C | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 52525 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 N | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 52533 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 O | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 52455 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 J | 200,000,000 | 2020/12/11 | 2021/06/30 | 50.00 |
| 51614 | UBS AG – Alibaba Group R Bull CBBC May 2021 R | 80,000,000 | 2020/12/11 | 2021/05/31 | 20.00 |
| 51938 | UBS AG – Ali Health R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/11 | 2021/08/30 | 25.00 |
| 51494 | UBS AG – HSBC R Bull CBBC Sep 2021 S | 150,000,000 | 2020/12/11 | 2021/09/27 | 37.50 |
| 51747 | UBS AG – HSBC R Bear CBBC Jul 2021 C | 100,000,000 | 2020/12/11 | 2021/07/30 | 25.00 |
| 51538 | UBS AG – HSI R Bull CBBC Jan 2024 L | 200,000,000 | 2020/12/11 | 2024/01/30 | 50.00 |
| 51566 | UBS AG – HSI R Bull CBBC Jan 2024 M | 200,000,000 | 2020/12/11 | 2024/01/30 | 50.00 |
| 51861 | UBS AG – HSI R Bull CBBC Jan 2024 N | 200,000,000 | 2020/12/11 | 2024/01/30 | 50.00 |
| 51920 | UBS AG – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/12/11 | 2021/03/30 | 50.00 |
| 51867 | UBS AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/12/11 | 2021/03/30 | 50.00 |
| 51534 | UBS AG – JD.com R Bull CBBC Jun 2021 I | 200,000,000 | 2020/12/11 | 2021/06/30 | 50.00 |
| 51749 | UBS AG – JD.com R Bear CBBC Jun 2021 K | 200,000,000 | 2020/12/11 | 2021/06/30 | 50.00 |
| 51674 | UBS AG – Meituan R Bull CBBC Jun 2021 W | 50,000,000 | 2020/12/11 | 2021/06/30 | 12.50 |
| 51675 | UBS AG – Meituan R Bull CBBC Jun 2021 X | 50,000,000 | 2020/12/11 | 2021/06/30 | 12.50 |
| 51507 | UBS AG – Meituan R Bear CBBC May 2021 R | 50,000,000 | 2020/12/11 | 2021/05/28 | 12.50 |
| 51822 | UBS AG – NetEase R Bull CBBC Jun 2021 B | 80,000,000 | 2020/12/11 | 2021/06/30 | 20.00 |
| 51605 | UBS AG – Tencent R Bull CBBC Jun 2021 N | 40,000,000 | 2020/12/11 | 2021/06/30 | 10.00 |
| 51606 | UBS AG – Tencent R Bear CBBC Jun 2021 Q | 40,000,000 | 2020/12/11 | 2021/06/30 | 10.00 |
| 51676 | UBS AG – Xiaomi R Bull CBBC Jun 2021 K | 60,000,000 | 2020/12/11 | 2021/06/30 | 15.00 |
| 51589 | UBS AG – Xiaomi R Bear CBBC Apr 2021 F | 60,000,000 | 2020/12/11 | 2021/04/01 | 15.00 |
| 52706 | Bank Vontobel – Ali Health R Bull CBBC Aug 2021 C | 80,000,000 | 2020/12/11 | 2021/08/20 | 20.00 |
| 52678 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 E | 60,000,000 | 2020/12/11 | 2021/11/19 | 15.00 |
| 52627 | Bank Vontobel – HSI N Bear CBBC Mar 2021 O | 80,000,000 | 2020/12/11 | 2021/03/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 52692 | Bank Vontobel – Ping An R Bull CBBC Aug 2021 G | 80,000,000 | 2020/12/11 | 2021/08/20 | 20.00 |
| 53352 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 R | 150,000,000 | 2020/12/14 | 2023/03/30 | 37.50 |
| 53369 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 S | 150,000,000 | 2020/12/14 | 2023/03/30 | 37.50 |
| 53377 | BOCI Asia Ltd. – JD.com R Bull CBBC Mar 2021 D | 40,000,000 | 2020/12/14 | 2021/03/31 | 11.60 |
| 53379 | BOCI Asia Ltd. – JD.com R Bear CBBC Mar 2021 B | 40,000,000 | 2020/12/14 | 2021/03/31 | 10.00 |
| 53375 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 E | 120,000,000 | 2020/12/14 | 2021/03/31 | 30.00 |
| 53497 | BOCI Asia Ltd. – Tencent R Bear CBBC Mar 2021 C | 120,000,000 | 2020/12/14 | 2021/03/31 | 30.00 |
| 53021 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/12/14 | 2023/09/28 | 50.00 |
| 53002 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/12/14 | 2023/09/28 | 50.00 |
| 53010 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/12/14 | 2023/09/28 | 50.00 |
| 52933 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 G | 200,000,000 | 2020/12/14 | 2021/04/29 | 50.00 |
| 52940 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 H | 190,000,000 | 2020/12/14 | 2021/04/29 | 47.50 |
| 53482 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 I | 240,000,000 | 2020/12/14 | 2021/04/29 | 60.00 |
| 53205 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 R | 200,000,000 | 2020/12/14 | 2021/11/29 | 50.00 |
| 53206 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 T | 250,000,000 | 2020/12/14 | 2021/12/30 | 62.50 |
| 53173 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 S | 250,000,000 | 2020/12/14 | 2021/11/29 | 62.50 |
| 53183 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 J | 250,000,000 | 2020/12/14 | 2021/11/29 | 62.50 |
| 53185 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 M | 250,000,000 | 2020/12/14 | 2021/11/29 | 62.50 |
| 53196 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 P | 250,000,000 | 2020/12/14 | 2021/11/29 | 62.50 |
| 53469 | Credit Suisse AG – Ali Health R Bull CBBC Aug 2021 A | 50,000,000 | 2020/12/14 | 2021/08/06 | 12.50 |
| 53464 | Credit Suisse AG – Ali Health R Bear CBBC Jul 2021 A | 50,000,000 | 2020/12/14 | 2021/07/08 | 12.50 |
| 53466 | Credit Suisse AG – Ali Health R Bear CBBC Jul 2021 B | 50,000,000 | 2020/12/14 | 2021/07/30 | 12.50 |
| 53434 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 M | 200,000,000 | 2020/12/14 | 2023/09/28 | 50.00 |
| 53406 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/12/14 | 2023/12/28 | 50.00 |
| 53445 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 W | 200,000,000 | 2020/12/14 | 2023/12/28 | 50.00 |
| 53384 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53389 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53394 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53396 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 R | 200,000,000 | 2020/12/14 | 2021/04/29 | 50.00 |
| 53399 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 S | 200,000,000 | 2020/12/14 | 2021/04/29 | 50.00 |
| 53400 | Credit Suisse AG – HSI R Bear CBBC May 2021 L | 200,000,000 | 2020/12/14 | 2021/05/28 | 50.00 |
| 53456 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 H | 100,000,000 | 2020/12/14 | 2021/11/29 | 25.00 |
| 53461 | Credit Suisse AG – HSTECH R Bear CBBC May 2021 A | 100,000,000 | 2020/12/14 | 2021/05/28 | 25.00 |
| 53468 | Credit Suisse AG – Ping An R Bull CBBC Aug 2021 A | 80,000,000 | 2020/12/14 | 2021/08/02 | 20.00 |
| 53467 | Credit Suisse AG – Xiaomi R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/14 | 2021/10/28 | 25.00 |
| 53570 | Guotai Junan – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/14 | 2021/04/29 | 50.00 |
| 54023 | Goldman Sachs SP (Asia) – CC Bank R Bull CBBC Aug 2021 C | 80,000,000 | 2020/12/14 | 2021/08/30 | 20.00 |
| 53911 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 U | 160,000,000 | 2020/12/14 | 2024/02/28 | 40.00 |
| 53914 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 V | 160,000,000 | 2020/12/14 | 2024/02/28 | 40.00 |
| 53919 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 W | 160,000,000 | 2020/12/14 | 2024/02/28 | 40.00 |
| 53836 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 L | 160,000,000 | 2020/12/14 | 2021/03/30 | 40.00 |
| 53869 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 H | 160,000,000 | 2020/12/14 | 2021/04/29 | 40.00 |
| 53882 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 I | 160,000,000 | 2020/12/14 | 2021/04/29 | 40.00 |
| 53865 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 X | 160,000,000 | 2020/12/14 | 2021/04/29 | 40.00 |
| 54003 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 H | 100,000,000 | 2020/12/14 | 2021/08/27 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 53960 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 H | 60,000,000 | 2020/12/14 | 2021/08/27 | 15.00 |
| 53986 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Jul 2021 C | 100,000,000 | 2020/12/14 | 2021/07/30 | 25.00 |
| 54020 | Goldman Sachs SP (Asia) – Sunny Optical R Bull CBBC Jul21 D | 80,000,000 | 2020/12/14 | 2021/07/30 | 20.00 |
| 53989 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 B | 60,000,000 | 2020/12/14 | 2021/08/27 | 15.00 |
| 53928 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 K | 80,000,000 | 2020/12/14 | 2021/07/30 | 20.00 |
| 53929 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 R | 80,000,000 | 2020/12/14 | 2021/07/30 | 20.00 |
| 52917 | HK Bank – Ali Health R Bull CBBC Jul 2021 C | 40,000,000 | 2020/12/14 | 2021/07/12 | 10.00 |
| 52989 | HK Bank – BYD Company R Bear CBBC Jul 2021 A | 40,000,000 | 2020/12/14 | 2021/07/19 | 10.00 |
| 52978 | HK Bank – China Tower R Bull CBBC Dec 2021 A | 80,000,000 | 2020/12/14 | 2021/12/31 | 20.00 |
| 52928 | HK Bank – Geely Auto R Bull CBBC Aug 2021 A | 60,000,000 | 2020/12/14 | 2021/08/16 | 15.00 |
| 52916 | HK Bank – HSI R Bull CBBC Sep 2023 C | 80,000,000 | 2020/12/14 | 2023/09/28 | 20.00 |
| 52837 | HK Bank – HSI R Bear CBBC Apr 2021 U | 80,000,000 | 2020/12/14 | 2021/04/29 | 20.00 |
| 52895 | HK Bank – HSI R Bear CBBC Apr 2021 V | 80,000,000 | 2020/12/14 | 2021/04/29 | 20.00 |
| 52899 | HK Bank – HSI R Bear CBBC Apr 2021 W | 120,000,000 | 2020/12/14 | 2021/04/29 | 30.00 |
| 52854 | HK Bank – JD.com R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/14 | 2021/09/06 | 25.00 |
| 52864 | HK Bank – JD.com R Bull CBBC Sep 2021 B | 100,000,000 | 2020/12/14 | 2021/09/13 | 25.00 |
| 52868 | HK Bank – JD.com R Bull CBBC Sep 2021 C | 100,000,000 | 2020/12/14 | 2021/09/27 | 25.00 |
| 52847 | HK Bank – Meituan R Bear CBBC Jul 2021 H | 100,000,000 | 2020/12/14 | 2021/07/23 | 25.00 |
| 52960 | HK Bank – Sunny Optical R Bull CBBC Jul 2021 F | 40,000,000 | 2020/12/14 | 2021/07/26 | 10.00 |
| 52880 | HK Bank – Xiaomi R Bull CBBC Aug 2021 E | 100,000,000 | 2020/12/14 | 2021/08/10 | 25.00 |
| 53563 | Haitong Int'l Sec – Geely Auto R Bull CBBC Aug 2021 B | 60,000,000 | 2020/12/14 | 2021/08/25 | 15.00 |
| 53533 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 B | 200,000,000 | 2020/12/14 | 2023/11/29 | 50.00 |
| 53537 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 T | 200,000,000 | 2020/12/14 | 2023/11/29 | 50.00 |
| 53498 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53529 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53549 | Haitong Int'l Sec – Xiaomi R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/14 | 2021/08/25 | 25.00 |
| 53333 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/12/14 | 2024/01/30 | 50.00 |
| 53260 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 S | 200,000,000 | 2020/12/14 | 2024/02/28 | 50.00 |
| 53277 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 V | 200,000,000 | 2020/12/14 | 2024/02/28 | 50.00 |
| 53223 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 F | 200,000,000 | 2020/12/14 | 2021/04/29 | 50.00 |
| 53244 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 G | 200,000,000 | 2020/12/14 | 2021/04/29 | 50.00 |
| 53309 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 J | 200,000,000 | 2020/12/14 | 2022/04/28 | 50.00 |
| 53256 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 G | 200,000,000 | 2020/12/14 | 2021/06/29 | 50.00 |
| 53334 | J P Morgan SP BV – Xiaomi R Bull CBBC Jul 2021 C | 200,000,000 | 2020/12/14 | 2021/07/16 | 50.00 |
| 53632 | SG Issuer – Alibaba Group R Bull CBBC May 2021 D | 80,000,000 | 2020/12/14 | 2021/05/31 | 20.00 |
| 53655 | SG Issuer – Ali Health R Bull CBBC Jul 2021 A | 100,000,000 | 2020/12/14 | 2021/07/29 | 25.00 |
| 53572 | SG Issuer – Geely Auto R Bull CBBC Jun 2021 E | 40,000,000 | 2020/12/14 | 2021/06/30 | 10.00 |
| 53621 | SG Issuer – HSI R Bull CBBC Oct 2023 M | 250,000,000 | 2020/12/14 | 2023/10/30 | 62.50 |
| 53618 | SG Issuer – HSI R Bull CBBC Oct 2023 T | 250,000,000 | 2020/12/14 | 2023/10/30 | 62.50 |
| 53630 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/12/14 | 2024/01/30 | 62.50 |
| 53608 | SG Issuer – HSI R Bear CBBC Mar 2021 V | 250,000,000 | 2020/12/14 | 2021/03/30 | 62.50 |
| 53611 | SG Issuer – HSI R Bear CBBC Mar 2021 W | 250,000,000 | 2020/12/14 | 2021/03/30 | 62.50 |
| 53612 | SG Issuer – HSI R Bear CBBC May 2021 M | 250,000,000 | 2020/12/14 | 2021/05/28 | 62.50 |
| 53614 | SG Issuer – HSI R Bear CBBC Jun 2021 G | 500,000,000 | 2020/12/14 | 2021/06/29 | 125.00 |
| 53696 | SG Issuer – HSTECH R Bull CBBC Sep 2021 C | 100,000,000 | 2020/12/14 | 2021/09/29 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 53602 | SG Issuer – HSTECH R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/14 | 2021/07/29 | 25.00 |
| 53656 | SG Issuer – Meituan R Bull CBBC Jul 2021 L | 80,000,000 | 2020/12/14 | 2021/07/29 | 20.00 |
| 53705 | SG Issuer – Meituan R Bull CBBC Nov 2021 A | 80,000,000 | 2020/12/14 | 2021/11/29 | 20.00 |
| 53660 | SG Issuer – Ping An R Bull CBBC Jul 2021 L | 100,000,000 | 2020/12/14 | 2021/07/29 | 25.00 |
| 53661 | SG Issuer – Tencent R Bull CBBC May 2021 I | 80,000,000 | 2020/12/14 | 2021/05/31 | 20.00 |
| 53717 | SG Issuer – Tencent R Bull CBBC Jun 2021 B | 80,000,000 | 2020/12/14 | 2021/06/30 | 20.00 |
| 53720 | SG Issuer – Tencent R Bull CBBC Aug 2021 K | 200,000,000 | 2020/12/14 | 2021/08/31 | 50.00 |
| 53596 | SG Issuer – Tencent R Bear CBBC Jun 2021 K | 80,000,000 | 2020/12/14 | 2021/06/29 | 20.00 |
| 53669 | SG Issuer – Xiaomi R Bull CBBC May 2021 Y | 60,000,000 | 2020/12/14 | 2021/05/31 | 15.00 |
| 53759 | SG Issuer – Xiaomi R Bull CBBC May 2021 Z | 200,000,000 | 2020/12/14 | 2021/05/31 | 50.00 |
| 53677 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 F | 60,000,000 | 2020/12/14 | 2021/07/29 | 15.00 |
| 53695 | SG Issuer – Xiaomi R Bear CBBC Jul 2021 D | 200,000,000 | 2020/12/14 | 2021/07/29 | 50.00 |
| 53107 | UBS AG – Alibaba Group R Bull CBBC May 2021 S | 80,000,000 | 2020/12/14 | 2021/05/31 | 20.00 |
| 53147 | UBS AG – Geely Auto R Bull CBBC Aug 2021 J | 100,000,000 | 2020/12/14 | 2021/08/30 | 25.00 |
| 53171 | UBS AG – HSI R Bull CBBC Jan 2024 O | 200,000,000 | 2020/12/14 | 2024/01/30 | 50.00 |
| 53172 | UBS AG – HSI R Bull CBBC Jan 2024 P | 200,000,000 | 2020/12/14 | 2024/01/30 | 50.00 |
| 53045 | UBS AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53063 | UBS AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53046 | UBS AG – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53095 | UBS AG – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/12/14 | 2021/03/30 | 50.00 |
| 53156 | UBS AG – HSTECH R Bull CBBC Dec 2021 M | 200,000,000 | 2020/12/14 | 2021/12/30 | 50.00 |
| 53025 | UBS AG – JD.com R Bull CBBC Jun 2021 J | 80,000,000 | 2020/12/14 | 2021/06/30 | 20.00 |
| 53122 | UBS AG – JD.com R Bear CBBC Jun 2021 L | 200,000,000 | 2020/12/14 | 2021/06/28 | 50.00 |
| 53114 | UBS AG – Meituan R Bull CBBC Jun 2021 Y | 50,000,000 | 2020/12/14 | 2021/06/30 | 12.50 |
| 53023 | UBS AG – Meituan R Bear CBBC May 2021 S | 50,000,000 | 2020/12/14 | 2021/05/28 | 12.50 |
| 53101 | UBS AG – Tencent R Bull CBBC Jun 2021 O | 40,000,000 | 2020/12/14 | 2021/06/30 | 10.00 |
| 53115 | UBS AG – Xiaomi R Bull CBBC Jun 2021 L | 60,000,000 | 2020/12/14 | 2021/06/30 | 15.00 |
| 53024 | UBS AG – Xiaomi R Bear CBBC Jun 2021 N | 60,000,000 | 2020/12/14 | 2021/06/30 | 15.00 |
| 53777 | Bank Vontobel – Ali Health R Bull CBBC Jul 2021 B | 80,000,000 | 2020/12/14 | 2021/07/23 | 20.00 |
| 53776 | Bank Vontobel – Ali Health R Bull CBBC Aug 2021 D | 80,000,000 | 2020/12/14 | 2021/08/20 | 20.00 |
| 53780 | Bank Vontobel – Ali Health R Bear CBBC Jun 2021 A | 80,000,000 | 2020/12/14 | 2021/06/30 | 20.00 |
| 53781 | Bank Vontobel – Ali Health R Bear CBBC Sep 2021 A | 80,000,000 | 2020/12/14 | 2021/09/30 | 20.00 |
| 53829 | Bank Vontobel – HSI R Bull CBBC Sep 2023 V | 80,000,000 | 2020/12/14 | 2023/09/28 | 20.00 |
| 53761 | Bank Vontobel – HSI R Bear CBBC Mar 2021 P | 80,000,000 | 2020/12/14 | 2021/03/30 | 20.00 |
| 53791 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 W | 60,000,000 | 2020/12/14 | 2021/11/19 | 15.00 |
| 53795 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 R | 80,000,000 | 2020/12/14 | 2021/11/19 | 20.00 |
| 53784 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 T | 40,000,000 | 2020/12/14 | 2021/11/26 | 10.00 |
| 53789 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 U | 40,000,000 | 2020/12/14 | 2021/11/12 | 10.00 |
| 54177 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 E | 200,000,000 | 2020/12/15 | 2023/09/28 | 50.00 |
| 54193 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 H | 200,000,000 | 2020/12/15 | 2023/09/28 | 50.00 |
| 54194 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 O | 200,000,000 | 2020/12/15 | 2023/09/28 | 50.00 |
| 54221 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 J | 200,000,000 | 2020/12/15 | 2021/04/29 | 50.00 |
| 54228 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 K | 200,000,000 | 2020/12/15 | 2021/04/29 | 50.00 |
| 54850 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 L | 240,000,000 | 2020/12/15 | 2021/04/29 | 60.00 |
| 54908 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 H | 200,000,000 | 2020/12/15 | 2023/12/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 54909 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 I | 200,000,000 | 2020/12/15 | 2023/12/28 | 50.00 |
| 54913 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/12/15 | 2021/03/30 | 50.00 |
| 54911 | Credit Suisse AG – JD.com R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/15 | 2021/07/15 | 25.00 |
| 54024 | Bank of East Asia – China Life R Bull CBBC Jun 2021 A | 40,000,000 | 2020/12/15 | 2021/06/22 | 10.00 |
| 54050 | Bank of East Asia – HSBC R Bull CBBC Sep 2021 A | 60,000,000 | 2020/12/15 | 2021/09/20 | 15.00 |
| 54049 | Bank of East Asia – Ping An R Bull CBBC Jun 2021 A | 80,000,000 | 2020/12/15 | 2021/06/22 | 20.00 |
| 54070 | Bank of East Asia – Xiaomi R Bull CBBC Jun 2021 A | 100,000,000 | 2020/12/15 | 2021/06/22 | 25.00 |
| 54082 | Bank of East Asia – Xiaomi R Bear CBBC Apr 2021 A | 100,000,000 | 2020/12/15 | 2021/04/22 | 25.00 |
| 55091 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 E | 100,000,000 | 2020/12/15 | 2021/08/30 | 25.00 |
| 55125 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 F | 60,000,000 | 2020/12/15 | 2021/08/30 | 15.00 |
| 55161 | Goldman Sachs SP (Asia) – Alibaba R Bear CBBC Aug 2021 J | 60,000,000 | 2020/12/15 | 2021/08/30 | 15.00 |
| 55176 | Goldman Sachs SP (Asia) – BYD Company R Bull CBBC Sep 2021 A | 60,000,000 | 2020/12/15 | 2021/09/29 | 15.00 |
| 54974 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 X | 160,000,000 | 2020/12/15 | 2024/02/28 | 40.00 |
| 54993 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Y | 160,000,000 | 2020/12/15 | 2024/02/28 | 40.00 |
| 55082 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 B | 160,000,000 | 2020/12/15 | 2021/03/30 | 40.00 |
| 55126 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 K | 160,000,000 | 2020/12/15 | 2021/04/29 | 40.00 |
| 55145 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 M | 160,000,000 | 2020/12/15 | 2021/04/29 | 40.00 |
| 55168 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 A | 60,000,000 | 2020/12/15 | 2021/08/27 | 15.00 |
| 55221 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Dec 2021 A | 80,000,000 | 2020/12/15 | 2021/12/17 | 20.00 |
| 55167 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 D | 60,000,000 | 2020/12/15 | 2021/08/27 | 15.00 |
| 55148 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jul 2021 N | 80,000,000 | 2020/12/15 | 2021/07/30 | 20.00 |
| 54168 | HK Bank – AAC Tech R Bull CBBC Sep 2021 A | 40,000,000 | 2020/12/15 | 2021/09/30 | 10.00 |
| 54161 | HK Bank – AAC Tech R Bear CBBC Aug 2021 A | 40,000,000 | 2020/12/15 | 2021/08/09 | 10.00 |
| 54083 | HK Bank – CAM CSI300 R Bull CBBC Aug 2021 A | 60,000,000 | 2020/12/15 | 2021/08/16 | 15.00 |
| 54093 | HK Bank – CAM CSI300 R Bull CBBC Aug 2021 B | 60,000,000 | 2020/12/15 | 2021/08/23 | 15.00 |
| 54095 | HK Bank – CAM CSI300 R Bull CBBC Sep 2021 A | 60,000,000 | 2020/12/15 | 2021/09/06 | 15.00 |
| 54127 | HK Bank – Bank of China R Bear CBBC Sep 2021 A | 40,000,000 | 2020/12/15 | 2021/09/30 | 10.00 |
| 54124 | HK Bank – ICBC R Bear CBBC Sep 2021 A | 40,000,000 | 2020/12/15 | 2021/09/30 | 10.00 |
| 54153 | HK Bank – WuXi Bio R Bull CBBC May 2021 A | 40,000,000 | 2020/12/15 | 2021/05/28 | 10.00 |
| 54558 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 O | 200,000,000 | 2020/12/15 | 2023/12/28 | 50.00 |
| 54570 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 O | 200,000,000 | 2020/12/15 | 2021/04/29 | 50.00 |
| 54588 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jul 2021 D | 100,000,000 | 2020/12/15 | 2021/07/28 | 25.00 |
| 54598 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jul 2021 B | 100,000,000 | 2020/12/15 | 2021/07/28 | 25.00 |
| 54798 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 W | 200,000,000 | 2020/12/15 | 2024/02/28 | 50.00 |
| 54811 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 X | 200,000,000 | 2020/12/15 | 2024/02/28 | 50.00 |
| 54813 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 H | 200,000,000 | 2020/12/15 | 2021/04/29 | 50.00 |
| 54835 | J P Morgan SP BV – HSI R Bear CBBC Feb 2024 A | 200,000,000 | 2020/12/15 | 2024/02/28 | 50.00 |
| 54791 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 K | 200,000,000 | 2020/12/15 | 2022/04/28 | 50.00 |
| 54667 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 O | 80,000,000 | 2020/12/15 | 2021/06/30 | 20.00 |
| 54724 | SG Issuer – BYD Company R Bull CBBC Aug 2021 D | 120,000,000 | 2020/12/15 | 2021/08/30 | 30.00 |
| 54608 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/12/15 | 2023/11/29 | 62.50 |
| 54615 | SG Issuer – HSI R Bull CBBC Feb 2024 T | 250,000,000 | 2020/12/15 | 2024/02/28 | 62.50 |
| 54781 | SG Issuer – HSI R Bull CBBC Feb 2024 U | 250,000,000 | 2020/12/15 | 2024/02/28 | 62.50 |
| 54616 | SG Issuer – HSI R Bear CBBC Apr 2021 I | 250,000,000 | 2020/12/15 | 2021/04/29 | 62.50 |
| 54624 | SG Issuer – HSI R Bear CBBC Apr 2021 T | 250,000,000 | 2020/12/15 | 2021/04/29 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 54648 | SG Issuer – HSI R Bear CBBC Apr 2021 X | 250,000,000 | 2020/12/15 | 2021/04/29 | 62.50 |
| 54752 | SG Issuer – JD.com R Bear CBBC May 2021 D | 200,000,000 | 2020/12/15 | 2021/05/31 | 50.00 |
| 54762 | SG Issuer – Meituan R Bull CBBC May 2021 I | 80,000,000 | 2020/12/15 | 2021/05/31 | 20.00 |
| 54770 | SG Issuer – Sunny Optical R Bull CBBC Apr 2021 C | 60,000,000 | 2020/12/15 | 2021/04/30 | 15.00 |
| 54790 | SG Issuer – Tencent R Bull CBBC May 2021 J | 80,000,000 | 2020/12/15 | 2021/05/31 | 20.00 |
| 54468 | UBS AG – AIA R Bear CBBC Jun 2021 B | 60,000,000 | 2020/12/15 | 2021/06/30 | 15.00 |
| 54233 | UBS AG – Alibaba Group R Bull CBBC May 2021 T | 80,000,000 | 2020/12/15 | 2021/05/31 | 20.00 |
| 54261 | UBS AG – Ali Health R Bull CBBC Aug 2021 D | 100,000,000 | 2020/12/15 | 2021/08/30 | 25.00 |
| 54280 | UBS AG – BYD Company R Bull CBBC Oct 2021 A | 150,000,000 | 2020/12/15 | 2021/10/25 | 37.50 |
| 54427 | UBS AG – BYD Company R Bear CBBC Aug 2021 A | 150,000,000 | 2020/12/15 | 2021/08/23 | 37.50 |
| 54279 | UBS AG – CC Bank R Bull CBBC Jul 2021 E | 100,000,000 | 2020/12/15 | 2021/07/30 | 25.00 |
| 54304 | UBS AG – China Life R Bull CBBC Oct 2021 C | 150,000,000 | 2020/12/15 | 2021/10/25 | 37.50 |
| 54366 | UBS AG – China Life R Bull CBBC Oct 2021 D | 150,000,000 | 2020/12/15 | 2021/10/25 | 37.50 |
| 54295 | UBS AG – China Life R Bull CBBC Nov 2021 C | 150,000,000 | 2020/12/15 | 2021/11/11 | 37.50 |
| 54481 | UBS AG – China Life R Bear CBBC Aug 2021 B | 100,000,000 | 2020/12/15 | 2021/08/30 | 25.00 |
| 54486 | UBS AG – China Life R Bear CBBC Sep 2021 A | 100,000,000 | 2020/12/15 | 2021/09/20 | 25.00 |
| 54421 | UBS AG – HKEX R Bear CBBC Mar 2021 F | 50,000,000 | 2020/12/15 | 2021/03/30 | 12.50 |
| 54375 | UBS AG – HSI R Bull CBBC Dec 2023 A | 200,000,000 | 2020/12/15 | 2023/12/28 | 50.00 |
| 54391 | UBS AG – HSI R Bull CBBC Dec 2023 B | 200,000,000 | 2020/12/15 | 2023/12/28 | 50.00 |
| 54370 | UBS AG – HSI R Bull CBBC Dec 2023 Z | 200,000,000 | 2020/12/15 | 2023/12/28 | 50.00 |
| 54509 | UBS AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/15 | 2021/03/30 | 50.00 |
| 54534 | UBS AG – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/12/15 | 2021/03/30 | 50.00 |
| 54239 | UBS AG – Meituan R Bull CBBC Jun 2021 Z | 50,000,000 | 2020/12/15 | 2021/06/30 | 12.50 |
| 54232 | UBS AG – Tencent R Bull CBBC Jun 2021 P | 40,000,000 | 2020/12/15 | 2021/06/30 | 10.00 |
| 54392 | UBS AG – Tencent R Bear CBBC Jun 2021 R | 40,000,000 | 2020/12/15 | 2021/06/30 | 10.00 |
| 54246 | UBS AG – Xiaomi R Bull CBBC Jun 2021 M | 60,000,000 | 2020/12/15 | 2021/06/30 | 15.00 |
| 54404 | UBS AG – Xiaomi R Bear CBBC Jun 2021 O | 60,000,000 | 2020/12/15 | 2021/06/30 | 15.00 |
| 55227 | Bank Vontobel – Ali Health R Bear CBBC Jun 2021 B | 80,000,000 | 2020/12/15 | 2021/06/30 | 20.00 |
| 55222 | Bank Vontobel – HSI R Bull CBBC Sep 2023 W | 80,000,000 | 2020/12/15 | 2023/09/28 | 20.00 |
| 55223 | Bank Vontobel – JD.com R Bull CBBC Dec 2021 B | 50,000,000 | 2020/12/15 | 2021/12/03 | 12.50 |
| 55239 | Bank Vontobel – SUNAC R Bull CBBC Dec 2021 A | 40,000,000 | 2020/12/15 | 2021/12/10 | 10.00 |
| 55240 | Bank Vontobel – SUNAC R Bull CBBC Dec 2021 B | 40,000,000 | 2020/12/15 | 2021/12/17 | 10.00 |
| 55226 | Bank Vontobel – SUNAC R Bear CBBC Aug 2021 B | 40,000,000 | 2020/12/15 | 2021/08/06 | 10.00 |
| 55328 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 T | 150,000,000 | 2020/12/16 | 2023/03/30 | 37.50 |
| 55340 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 H | 150,000,000 | 2020/12/16 | 2021/04/29 | 37.50 |
| 55353 | BOCI Asia Ltd. – JD.com R Bull CBBC Mar 2021 E | 40,000,000 | 2020/12/16 | 2021/03/31 | 10.00 |
| 55347 | BOCI Asia Ltd. – Xiaomi R Bear CBBC May 2021 B | 150,000,000 | 2020/12/16 | 2021/05/28 | 37.50 |
| 55729 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 T | 200,000,000 | 2020/12/16 | 2023/09/28 | 50.00 |
| 55266 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 M | 200,000,000 | 2020/12/16 | 2021/04/29 | 50.00 |
| 55269 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 N | 200,000,000 | 2020/12/16 | 2021/04/29 | 50.00 |
| 55284 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 O | 200,000,000 | 2020/12/16 | 2021/04/29 | 50.00 |
| 55730 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 P | 240,000,000 | 2020/12/16 | 2021/04/29 | 60.00 |
| 55706 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 B | 50,000,000 | 2020/12/16 | 2021/07/12 | 12.50 |
| 55697 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 Q | 100,000,000 | 2020/12/16 | 2021/07/29 | 25.00 |
| 55699 | Credit Suisse AG – Geely Auto R Bull CBBC Aug 2021 A | 100,000,000 | 2020/12/16 | 2021/08/06 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55676 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 J | 200,000,000 | 2020/12/16 | 2023/12/28 | 50.00 |
| 55708 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 L | 200,000,000 | 2020/12/16 | 2023/12/28 | 50.00 |
| 55679 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/12/16 | 2021/03/30 | 50.00 |
| 55677 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/12/16 | 2021/03/30 | 50.00 |
| 55678 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/16 | 2021/03/30 | 50.00 |
| 55791 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 M | 60,000,000 | 2020/12/16 | 2021/08/30 | 15.00 |
| 55778 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 D | 80,000,000 | 2020/12/16 | 2021/07/29 | 20.00 |
| 55757 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 H | 160,000,000 | 2020/12/16 | 2024/02/28 | 40.00 |
| 55776 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 K | 160,000,000 | 2020/12/16 | 2024/02/28 | 40.00 |
| 55773 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 A | 160,000,000 | 2020/12/16 | 2021/03/30 | 40.00 |
| 55755 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 F | 160,000,000 | 2020/12/16 | 2021/03/30 | 40.00 |
| 55756 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 U | 160,000,000 | 2020/12/16 | 2021/03/30 | 40.00 |
| 55802 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC May 2021 B | 160,000,000 | 2020/12/16 | 2021/05/28 | 40.00 |
| 55814 | Goldman Sachs SP (Asia) – HSTEC R Bear CBBC Apr 2021 B | 160,000,000 | 2020/12/16 | 2021/04/29 | 40.00 |
| 55780 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 C | 60,000,000 | 2020/12/16 | 2021/08/27 | 15.00 |
| 55801 | Goldman Sachs SP (Asia) – Ping An R Bear CBBC Aug 2021 A | 80,000,000 | 2020/12/16 | 2021/08/27 | 20.00 |
| 55800 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 I | 60,000,000 | 2020/12/16 | 2021/08/27 | 15.00 |
| 55358 | HK Bank – AIA R Bull CBBC Jul 2021 E | 60,000,000 | 2020/12/16 | 2021/07/12 | 15.00 |
| 55394 | HK Bank – China Tower R Bear CBBC Aug 2021 A | 40,000,000 | 2020/12/16 | 2021/08/31 | 10.00 |
| 55398 | HK Bank – HSI R Bull CBBC Sep 2023 F | 80,000,000 | 2020/12/16 | 2023/09/28 | 20.00 |
| 55288 | HK Bank – HSI R Bear CBBC Apr 2021 X | 80,000,000 | 2020/12/16 | 2021/04/29 | 20.00 |
| 55323 | HK Bank – HSI R Bear CBBC Apr 2021 Y | 120,000,000 | 2020/12/16 | 2021/04/29 | 30.00 |
| 55364 | HK Bank – Meituan R Bull CBBC Jul 2021 N | 100,000,000 | 2020/12/16 | 2021/07/05 | 25.00 |
| 55354 | HK Bank – Sino Biopharm R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/16 | 2021/08/31 | 10.00 |
| 55391 | HK Bank – Xiaomi R Bear CBBC Jun 2021 B | 40,000,000 | 2020/12/16 | 2021/06/18 | 10.00 |
| 55711 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/12/16 | 2023/10/30 | 50.00 |
| 55712 | Haitong Int'l Sec – HSI R Bear CBBC May 2021 E | 200,000,000 | 2020/12/16 | 2021/05/28 | 50.00 |
| 55727 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 M | 60,000,000 | 2020/12/16 | 2021/03/25 | 15.00 |
| 55728 | Haitong Int'l Sec – Tencent R Bull CBBC Apr 2021 H | 60,000,000 | 2020/12/16 | 2021/04/28 | 15.00 |
| 55673 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Y | 200,000,000 | 2020/12/16 | 2024/02/28 | 50.00 |
| 55661 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 I | 200,000,000 | 2020/12/16 | 2021/04/29 | 50.00 |
| 55666 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 K | 200,000,000 | 2020/12/16 | 2021/04/29 | 50.00 |
| 55738 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 H | 100,000,000 | 2020/12/16 | 2023/12/28 | 25.00 |
| 55749 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 I | 100,000,000 | 2020/12/16 | 2023/12/28 | 25.00 |
| 55742 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 N | 100,000,000 | 2020/12/16 | 2021/04/29 | 25.00 |
| 55743 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 O | 100,000,000 | 2020/12/16 | 2021/04/29 | 25.00 |
| 55746 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 P | 100,000,000 | 2020/12/16 | 2021/04/29 | 25.00 |
| 55732 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Jun 2021 C | 68,000,000 | 2020/12/16 | 2021/06/29 | 17.00 |
| 55740 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 F | 100,000,000 | 2020/12/16 | 2021/04/29 | 25.00 |
| 55483 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 G | 80,000,000 | 2020/12/16 | 2021/04/30 | 20.00 |
| 55489 | SG Issuer – Alibaba Group R Bull CBBC Aug 2021 E | 200,000,000 | 2020/12/16 | 2021/08/31 | 50.00 |
| 55404 | SG Issuer – Alibaba Group R Bear CBBC Jul 2021 E | 80,000,000 | 2020/12/16 | 2021/07/29 | 20.00 |
| 55406 | SG Issuer – Ali Health R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/16 | 2021/08/30 | 25.00 |
| 55407 | SG Issuer – China Life R Bull CBBC Apr 2021 E | 40,000,000 | 2020/12/16 | 2021/04/30 | 10.00 |
| 55529 | SG Issuer – HSI R Bull CBBC Nov 2023 R | 250,000,000 | 2020/12/16 | 2023/11/29 | 62.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 55538 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/12/16 | 2024/01/30 | 62.50 |
| 55459 | SG Issuer – HSI R Bear CBBC Mar 2021 X | 250,000,000 | 2020/12/16 | 2021/03/30 | 62.50 |
| 55521 | SG Issuer – HSI R Bear CBBC Apr 2021 E | 250,000,000 | 2020/12/16 | 2021/04/29 | 62.50 |
| 55513 | SG Issuer – HSI R Bear CBBC Apr 2021 Z | 250,000,000 | 2020/12/16 | 2021/04/29 | 62.50 |
| 55508 | SG Issuer – JD.com R Bull CBBC Jun 2021 Q | 60,000,000 | 2020/12/16 | 2021/06/30 | 15.00 |
| 55429 | SG Issuer – JD.com R Bull CBBC Sep 2021 C | 200,000,000 | 2020/12/16 | 2021/09/29 | 50.00 |
| 55509 | SG Issuer – Meituan R Bull CBBC Apr 2021 B | 80,000,000 | 2020/12/16 | 2021/04/30 | 20.00 |
| 55510 | SG Issuer – Meituan R Bull CBBC May 2021 J | 80,000,000 | 2020/12/16 | 2021/05/31 | 20.00 |
| 55446 | SG Issuer – Meituan R Bear CBBC Jun 2021 U | 80,000,000 | 2020/12/16 | 2021/06/30 | 20.00 |
| 55511 | SG Issuer – Tencent R Bull CBBC Apr 2021 K | 80,000,000 | 2020/12/16 | 2021/04/30 | 20.00 |
| 55512 | SG Issuer – Tencent R Bull CBBC Apr 2021 N | 80,000,000 | 2020/12/16 | 2021/04/30 | 20.00 |
| 55449 | SG Issuer – Tencent R Bear CBBC Apr 2021 B | 80,000,000 | 2020/12/16 | 2021/04/30 | 20.00 |
| 55454 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 C | 60,000,000 | 2020/12/16 | 2021/04/30 | 15.00 |
| 55455 | SG Issuer – Xiaomi R Bull CBBC Aug 2021 C | 200,000,000 | 2020/12/16 | 2021/08/30 | 50.00 |
| 55598 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 N | 80,000,000 | 2020/12/16 | 2021/06/28 | 20.00 |
| 55641 | UBS AG – BYD Company R Bull CBBC Oct 2021 B | 150,000,000 | 2020/12/16 | 2021/10/29 | 37.50 |
| 55651 | UBS AG – HSI R Bull CBBC Dec 2023 C | 200,000,000 | 2020/12/16 | 2023/12/28 | 50.00 |
| 55655 | UBS AG – HSI R Bull CBBC Dec 2023 D | 200,000,000 | 2020/12/16 | 2023/12/28 | 50.00 |
| 55603 | UBS AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/12/16 | 2021/03/30 | 50.00 |
| 55607 | UBS AG – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/12/16 | 2021/03/30 | 50.00 |
| 55613 | UBS AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/12/16 | 2021/03/30 | 50.00 |
| 55640 | UBS AG – JD.com R Bull CBBC Jun 2021 K | 80,000,000 | 2020/12/16 | 2021/06/30 | 20.00 |
| 55645 | UBS AG – Meituan R Bull CBBC Jun 2021 B | 50,000,000 | 2020/12/16 | 2021/06/30 | 12.50 |
| 55599 | UBS AG – Meituan R Bear CBBC May 2021 T | 50,000,000 | 2020/12/16 | 2021/05/28 | 12.50 |
| 55642 | UBS AG – Tencent R Bull CBBC Jun 2021 Q | 40,000,000 | 2020/12/16 | 2021/06/30 | 10.00 |
| 55578 | UBS AG – Tencent R Bear CBBC Jun 2021 S | 40,000,000 | 2020/12/16 | 2021/06/30 | 10.00 |
| 55648 | UBS AG – Xiaomi R Bull CBBC Jun 2021 G | 60,000,000 | 2020/12/16 | 2021/06/30 | 15.00 |
| 55602 | UBS AG – Xiaomi R Bear CBBC Apr 2021 K | 60,000,000 | 2020/12/16 | 2021/04/30 | 15.00 |
| 55615 | UBS AG – Xiaomi R Bear CBBC Jun 2021 P | 200,000,000 | 2020/12/16 | 2021/06/18 | 50.00 |
| 55628 | UBS AG – Xiaomi R Bear CBBC Jun 2021 Q | 200,000,000 | 2020/12/16 | 2021/06/18 | 50.00 |
| 55638 | UBS AG – Xiaomi R Bear CBBC Jun 2021 R | 200,000,000 | 2020/12/16 | 2021/06/30 | 50.00 |
| 55566 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 F | 40,000,000 | 2020/12/16 | 2021/11/12 | 10.00 |
| 55543 | Bank Vontobel – HSI N Bear CBBC Mar 2021 R | 40,000,000 | 2020/12/16 | 2021/03/30 | 10.00 |
| 55561 | Bank Vontobel – HSI R Bull CBBC Sep 2023 X | 80,000,000 | 2020/12/16 | 2023/09/28 | 20.00 |
| 55569 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 X | 60,000,000 | 2020/12/16 | 2021/11/26 | 15.00 |
| 55838 | BOCI Asia Ltd. – AIA R Bull CBBC Mar 2021 A | 60,000,000 | 2020/12/17 | 2021/03/31 | 15.00 |
| 55824 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 U | 150,000,000 | 2020/12/17 | 2023/03/30 | 37.50 |
| 55826 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 I | 150,000,000 | 2020/12/17 | 2021/04/29 | 37.50 |
| 55864 | BOCI Asia Ltd. – JD.com R Bull CBBC Mar 2021 F | 40,000,000 | 2020/12/17 | 2021/03/31 | 10.00 |
| 55863 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 E | 40,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 55827 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 F | 40,000,000 | 2020/12/17 | 2021/03/31 | 10.00 |
| 55832 | BOCI Asia Ltd. – Tencent R Bear CBBC Mar 2021 D | 120,000,000 | 2020/12/17 | 2021/03/31 | 30.00 |
| 55860 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Mar 2021 G | 40,000,000 | 2020/12/17 | 2021/03/31 | 10.00 |
| 56022 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 L | 200,000,000 | 2020/12/17 | 2021/12/30 | 50.00 |
| 55865 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 U | 200,000,000 | 2020/12/17 | 2023/09/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 55873 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/12/17 | 2023/09/28 | 50.00 |
| 56066 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 W | 190,000,000 | 2020/12/17 | 2023/09/28 | 47.50 |
| 55874 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 Q | 200,000,000 | 2020/12/17 | 2021/04/29 | 50.00 |
| 56068 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 R | 250,000,000 | 2020/12/17 | 2021/04/29 | 62.50 |
| 56025 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 J | 200,000,000 | 2020/12/17 | 2021/09/29 | 50.00 |
| 56027 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 K | 200,000,000 | 2020/12/17 | 2021/09/29 | 50.00 |
| 56028 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 L | 200,000,000 | 2020/12/17 | 2021/09/29 | 50.00 |
| 56031 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 H | 200,000,000 | 2020/12/17 | 2021/12/30 | 50.00 |
| 56035 | BNP Paribas Issuance B.V. – JD.com R Bear CBBC Dec 2021 I | 200,000,000 | 2020/12/17 | 2021/12/30 | 50.00 |
| 56023 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 Z | 200,000,000 | 2020/12/17 | 2021/06/29 | 50.00 |
| 56024 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 U | 200,000,000 | 2020/12/17 | 2021/11/29 | 50.00 |
| 56017 | BNP Paribas Issuance B.V. – Ping An R Bull CBBC Jun 2021 G | 200,000,000 | 2020/12/17 | 2021/06/29 | 50.00 |
| 56019 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Apr 2021 E | 200,000,000 | 2020/12/17 | 2021/04/29 | 50.00 |
| 56063 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Nov 2021 B | 250,000,000 | 2020/12/17 | 2021/11/29 | 62.50 |
| 56060 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 U | 250,000,000 | 2020/12/17 | 2021/12/30 | 62.50 |
| 55996 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 H | 250,000,000 | 2020/12/17 | 2021/11/29 | 62.50 |
| 56002 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 R | 250,000,000 | 2020/12/17 | 2021/11/29 | 62.50 |
| 56008 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 S | 250,000,000 | 2020/12/17 | 2021/11/29 | 62.50 |
| 56007 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 T | 250,000,000 | 2020/12/17 | 2021/11/29 | 62.50 |
| 56078 | Credit Suisse AG – AAC Tech R Bull CBBC Oct 2021 A | 80,000,000 | 2020/12/17 | 2021/10/28 | 20.00 |
| 56070 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 N | 200,000,000 | 2020/12/17 | 2023/09/28 | 50.00 |
| 56073 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 O | 200,000,000 | 2020/12/17 | 2023/09/28 | 50.00 |
| 56107 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 56114 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 56115 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 56121 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 T | 200,000,000 | 2020/12/17 | 2021/04/29 | 50.00 |
| 56086 | Credit Suisse AG – JD.com R Bull CBBC May 2021 C | 100,000,000 | 2020/12/17 | 2021/05/28 | 25.00 |
| 56088 | Credit Suisse AG – JD.com R Bull CBBC Jul 2021 A | 100,000,000 | 2020/12/17 | 2021/07/29 | 25.00 |
| 56084 | Credit Suisse AG – Sunny Optical R Bull CBBC Jul 2021 C | 40,000,000 | 2020/12/17 | 2021/07/29 | 10.00 |
| 56106 | Credit Suisse AG – Xiaomi R Bull CBBC May 2021 R | 100,000,000 | 2020/12/17 | 2021/05/28 | 25.00 |
| 56100 | Credit Suisse AG – Xiaomi R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/17 | 2021/07/29 | 25.00 |
| 56102 | Credit Suisse AG – Xiaomi R Bear CBBC Sep 2021 A | 100,000,000 | 2020/12/17 | 2021/09/29 | 25.00 |
| 55880 | Bank of East Asia – Alibaba Group R Bull CBBC Jul 2021 A | 40,000,000 | 2020/12/17 | 2021/07/22 | 10.00 |
| 55875 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 M | 100,000,000 | 2020/12/17 | 2021/07/22 | 25.00 |
| 55876 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 N | 40,000,000 | 2020/12/17 | 2021/07/22 | 10.00 |
| 55877 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 O | 100,000,000 | 2020/12/17 | 2021/07/22 | 25.00 |
| 56444 | Goldman Sachs SP (Asia) – China Life R Bear CBBC Aug 2021 A | 80,000,000 | 2020/12/17 | 2021/08/23 | 20.00 |
| 56380 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 M | 160,000,000 | 2020/12/17 | 2024/02/28 | 40.00 |
| 56376 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Z | 160,000,000 | 2020/12/17 | 2024/02/28 | 40.00 |
| 56389 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 P | 160,000,000 | 2020/12/17 | 2021/03/30 | 40.00 |
| 56405 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 V | 160,000,000 | 2020/12/17 | 2021/03/30 | 40.00 |
| 56445 | Goldman Sachs SP (Asia) – HSTEC R Bull CBBC May 2021 C | 160,000,000 | 2020/12/17 | 2021/05/28 | 40.00 |
| 56396 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 I | 100,000,000 | 2020/12/17 | 2021/08/27 | 25.00 |
| 56433 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 D | 100,000,000 | 2020/12/17 | 2021/08/27 | 25.00 |
| 56438 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 G | 100,000,000 | 2020/12/17 | 2021/08/27 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56406 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 J | 100,000,000 | 2020/12/17 | 2021/08/27 | 25.00 |
| 56414 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 U | 100,000,000 | 2020/12/17 | 2021/08/27 | 25.00 |
| 56453 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 A | 100,000,000 | 2020/12/17 | 2021/06/30 | 25.00 |
| 56420 | Goldman Sachs SP (Asia) – Xiaomi R Bear CBBC Jul 2021 G | 80,000,000 | 2020/12/17 | 2021/07/30 | 20.00 |
| 55983 | HK Bank – Alibaba Group R Bear CBBC Jul 2021 G | 100,000,000 | 2020/12/17 | 2021/07/12 | 25.00 |
| 55822 | HK Bank – HSI R Bear CBBC Apr 2021 Z | 120,000,000 | 2020/12/17 | 2021/04/29 | 30.00 |
| 55818 | HK Bank – Meituan R Bull CBBC Jul 2021 O | 100,000,000 | 2020/12/17 | 2021/07/12 | 25.00 |
| 55969 | HK Bank – Meituan R Bull CBBC Sep 2021 B | 100,000,000 | 2020/12/17 | 2021/09/16 | 25.00 |
| 55982 | HK Bank – NetEase R Bear CBBC Jul 2021 B | 40,000,000 | 2020/12/17 | 2021/07/30 | 10.00 |
| 55968 | HK Bank – Tencent R Bear CBBC Jun 2021 G | 100,000,000 | 2020/12/17 | 2021/06/11 | 25.00 |
| 55975 | HK Bank – Xiaomi R Bull CBBC Aug 2021 F | 100,000,000 | 2020/12/17 | 2021/08/03 | 25.00 |
| 55976 | HK Bank – Xiaomi R Bear CBBC Aug 2021 B | 40,000,000 | 2020/12/17 | 2021/08/03 | 10.00 |
| 56247 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 U | 200,000,000 | 2020/12/17 | 2023/11/29 | 50.00 |
| 56248 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 56260 | Haitong Int'l Sec – JD.com R Bull CBBC Apr 2021 A | 60,000,000 | 2020/12/17 | 2021/04/28 | 15.00 |
| 56263 | Haitong Int'l Sec – JD.com R Bear CBBC Apr 2021 A | 60,000,000 | 2020/12/17 | 2021/04/28 | 15.00 |
| 56255 | Haitong Int'l Sec – Meituan R Bear CBBC Apr 2021 H | 60,000,000 | 2020/12/17 | 2021/04/28 | 15.00 |
| 56258 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 D | 60,000,000 | 2020/12/17 | 2021/04/28 | 15.00 |
| 56252 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jun 2021 G | 100,000,000 | 2020/12/17 | 2021/06/25 | 25.00 |
| 55988 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 I | 200,000,000 | 2020/12/17 | 2024/02/28 | 50.00 |
| 55985 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 55991 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 55989 | J P Morgan SP BV – Xiaomi R Bull CBBC Jul 2021 D | 200,000,000 | 2020/12/17 | 2021/07/16 | 50.00 |
| 55990 | J P Morgan SP BV – Xiaomi R Bear CBBC Jul 2021 B | 200,000,000 | 2020/12/17 | 2021/07/09 | 50.00 |
| 56293 | SG Issuer – Alibaba Group R Bull CBBC May 2021 G | 80,000,000 | 2020/12/17 | 2021/05/31 | 20.00 |
| 56313 | SG Issuer – Alibaba Group R Bull CBBC May 2021 H | 80,000,000 | 2020/12/17 | 2021/05/31 | 20.00 |
| 56317 | SG Issuer – BYD Company R Bull CBBC Sep 2021 E | 120,000,000 | 2020/12/17 | 2021/09/29 | 30.00 |
| 56319 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 O | 150,000,000 | 2020/12/17 | 2021/07/30 | 37.50 |
| 56294 | SG Issuer – HKEX R Bull CBBC Jun 2021 P | 50,000,000 | 2020/12/17 | 2021/06/30 | 12.50 |
| 56287 | SG Issuer – HSI R Bull CBBC Sep 2023 N | 250,000,000 | 2020/12/17 | 2023/09/28 | 62.50 |
| 56267 | SG Issuer – HSI R Bull CBBC Oct 2023 O | 250,000,000 | 2020/12/17 | 2023/10/30 | 62.50 |
| 56273 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/12/17 | 2024/01/30 | 62.50 |
| 56278 | SG Issuer – HSI R Bear CBBC Mar 2021 A | 250,000,000 | 2020/12/17 | 2021/03/30 | 62.50 |
| 56279 | SG Issuer – HSI R Bear CBBC Mar 2021 Y | 250,000,000 | 2020/12/17 | 2021/03/30 | 62.50 |
| 56266 | SG Issuer – HSI R Bear CBBC May 2021 O | 250,000,000 | 2020/12/17 | 2021/05/28 | 62.50 |
| 56292 | SG Issuer – HSTECH R Bull CBBC Sep 2021 D | 100,000,000 | 2020/12/17 | 2021/09/29 | 25.00 |
| 56299 | SG Issuer – JD.com R Bull CBBC Apr 2021 J | 60,000,000 | 2020/12/17 | 2021/04/30 | 15.00 |
| 56302 | SG Issuer – Meituan R Bull CBBC Apr 2021 V | 80,000,000 | 2020/12/17 | 2021/04/30 | 20.00 |
| 56306 | SG Issuer – Meituan R Bull CBBC May 2021 F | 80,000,000 | 2020/12/17 | 2021/05/31 | 20.00 |
| 56310 | SG Issuer – Meituan R Bull CBBC Jun 2021 R | 80,000,000 | 2020/12/17 | 2021/06/30 | 20.00 |
| 56327 | SG Issuer – Meituan R Bear CBBC Jun 2021 V | 200,000,000 | 2020/12/17 | 2021/06/30 | 50.00 |
| 56340 | SG Issuer – Sunny Optical R Bull CBBC Jun 2021 C | 60,000,000 | 2020/12/17 | 2021/06/30 | 15.00 |
| 56311 | SG Issuer – Tencent R Bull CBBC May 2021 V | 200,000,000 | 2020/12/17 | 2021/05/31 | 50.00 |
| 56312 | SG Issuer – Tencent R Bull CBBC May 2021 X | 80,000,000 | 2020/12/17 | 2021/05/31 | 20.00 |
| 56342 | SG Issuer – Tencent R Bull CBBC Jun 2021 X | 80,000,000 | 2020/12/17 | 2021/06/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 56344 | SG Issuer – Tencent R Bear CBBC Jun 2021 L | 80,000,000 | 2020/12/17 | 2021/06/30 | 20.00 |
| 56361 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 D | 60,000,000 | 2020/12/17 | 2021/04/30 | 15.00 |
| 56362 | SG Issuer – Xiaomi R Bull CBBC May 2021 A | 200,000,000 | 2020/12/17 | 2021/05/31 | 50.00 |
| 56326 | SG Issuer – Xiaomi R Bear CBBC Jul 2021 E | 200,000,000 | 2020/12/17 | 2021/07/29 | 50.00 |
| 55897 | UBS AG – Alibaba Group R Bull CBBC May 2021 U | 80,000,000 | 2020/12/17 | 2021/05/31 | 20.00 |
| 55950 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 O | 80,000,000 | 2020/12/17 | 2021/06/28 | 20.00 |
| 55942 | UBS AG – HSI R Bull CBBC Dec 2023 E | 200,000,000 | 2020/12/17 | 2023/12/28 | 50.00 |
| 55966 | UBS AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 55967 | UBS AG – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/12/17 | 2021/03/30 | 50.00 |
| 55941 | UBS AG – JD.com R Bull CBBC Jun 2021 L | 200,000,000 | 2020/12/17 | 2021/06/30 | 50.00 |
| 55954 | UBS AG – JD.com R Bear CBBC Jun 2021 M | 200,000,000 | 2020/12/17 | 2021/06/28 | 50.00 |
| 55900 | UBS AG – Meituan R Bull CBBC May 2021 Y | 50,000,000 | 2020/12/17 | 2021/05/31 | 12.50 |
| 55919 | UBS AG – Meituan R Bull CBBC Jun 2021 C | 50,000,000 | 2020/12/17 | 2021/06/30 | 12.50 |
| 55923 | UBS AG – Meituan R Bull CBBC Jun 2021 D | 50,000,000 | 2020/12/17 | 2021/06/30 | 12.50 |
| 55957 | UBS AG – Meituan R Bear CBBC May 2021 U | 50,000,000 | 2020/12/17 | 2021/05/28 | 12.50 |
| 55887 | UBS AG – Tencent R Bull CBBC Jun 2021 R | 40,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 55894 | UBS AG – Tencent R Bull CBBC Jun 2021 S | 40,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 55955 | UBS AG – Tencent R Bear CBBC Jun 2021 T | 40,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 55956 | UBS AG – Tencent R Bear CBBC Jun 2021 U | 40,000,000 | 2020/12/17 | 2021/06/30 | 10.00 |
| 55960 | UBS AG – Xiaomi R Bull CBBC Jun 2021 N | 60,000,000 | 2020/12/17 | 2021/06/30 | 15.00 |
| 55964 | UBS AG – Xiaomi R Bull CBBC Jun 2021 O | 60,000,000 | 2020/12/17 | 2021/06/30 | 15.00 |
| 55931 | UBS AG – Xiaomi R Bear CBBC Jun 2021 S | 60,000,000 | 2020/12/17 | 2021/06/30 | 15.00 |
| 55952 | UBS AG – Xiaomi R Bear CBBC Jun 2021 T | 60,000,000 | 2020/12/17 | 2021/06/30 | 15.00 |
| 56162 | Bank Vontobel – AIA R Bull CBBC Nov 2021 E | 80,000,000 | 2020/12/17 | 2021/11/12 | 20.00 |
| 56143 | Bank Vontobel – HSCEI R Bull CBBC Dec 2022 E | 80,000,000 | 2020/12/17 | 2022/12/29 | 20.00 |
| 56144 | Bank Vontobel – HSCEI R Bear CBBC Mar 2021 D | 80,000,000 | 2020/12/17 | 2021/03/30 | 20.00 |
| 56124 | Bank Vontobel – HSI N Bull CBBC Sep 2023 Y | 40,000,000 | 2020/12/17 | 2023/09/28 | 10.00 |
| 56122 | Bank Vontobel – HSI R Bear CBBC Mar 2021 B | 80,000,000 | 2020/12/17 | 2021/03/30 | 20.00 |
| 56211 | Bank Vontobel – HSI R Bear CBBC Mar 2021 D | 80,000,000 | 2020/12/17 | 2021/03/30 | 20.00 |
| 56226 | Bank Vontobel – HSI R Bear CBBC Mar 2021 S | 80,000,000 | 2020/12/17 | 2021/03/30 | 20.00 |
| 56130 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 Y | 60,000,000 | 2020/12/17 | 2021/11/12 | 15.00 |
| 56139 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 Z | 150,000,000 | 2020/12/17 | 2021/11/26 | 37.50 |
| 56158 | Bank Vontobel – SUNAC R Bull CBBC Nov 2021 C | 40,000,000 | 2020/12/17 | 2021/11/19 | 10.00 |
| 56131 | Bank Vontobel – Tencent R Bear CBBC Apr 2021 G | 80,000,000 | 2020/12/17 | 2021/04/23 | 20.00 |
| 56163 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 V | 40,000,000 | 2020/12/17 | 2021/11/12 | 10.00 |
| 56165 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 W | 40,000,000 | 2020/12/17 | 2021/11/19 | 10.00 |
| 56171 | Bank Vontobel – Xiaomi R Bear CBBC Nov 2021 A | 100,000,000 | 2020/12/17 | 2021/11/12 | 25.00 |
| 56518 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 J | 150,000,000 | 2020/12/18 | 2021/04/29 | 37.50 |
| 56525 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 F | 40,000,000 | 2020/12/18 | 2021/09/30 | 11.60 |
| 56526 | BOCI Asia Ltd. – JD.com R Bear CBBC Mar 2021 C | 40,000,000 | 2020/12/18 | 2021/03/31 | 10.00 |
| 56524 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Apr 2021 A | 150,000,000 | 2020/12/18 | 2021/04/30 | 37.50 |
| 56514 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/12/18 | 2023/09/28 | 50.00 |
| 56492 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 X | 200,000,000 | 2020/12/18 | 2023/09/28 | 50.00 |
| 56515 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 S | 200,000,000 | 2020/12/18 | 2021/04/29 | 50.00 |
| 56516 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 T | 200,000,000 | 2020/12/18 | 2021/04/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56902 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 U | 240,000,000 | 2020/12/18 | 2021/04/29 | 60.00 |
| 56875 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 G | 250,000,000 | 2020/12/18 | 2021/11/29 | 62.50 |
| 56899 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 Q | 250,000,000 | 2020/12/18 | 2021/11/29 | 62.50 |
| 56876 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 U | 250,000,000 | 2020/12/18 | 2021/11/29 | 62.50 |
| 56841 | Credit Suisse AG – AIA R Bull CBBC Jun 2021 A | 70,000,000 | 2020/12/18 | 2021/06/29 | 17.50 |
| 56854 | Credit Suisse AG – Alibaba Group R Bear CBBC May 2021 C | 100,000,000 | 2020/12/18 | 2021/05/28 | 25.00 |
| 56827 | Credit Suisse AG – Ali Health R Bull CBBC May 2021 D | 50,000,000 | 2020/12/18 | 2021/05/28 | 12.50 |
| 56830 | Credit Suisse AG – Ali Health R Bear CBBC Jul 2021 C | 50,000,000 | 2020/12/18 | 2021/07/29 | 12.50 |
| 56838 | Credit Suisse AG – BYD Company R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/18 | 2021/10/28 | 25.00 |
| 56859 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 R | 100,000,000 | 2020/12/18 | 2021/07/29 | 25.00 |
| 56826 | Credit Suisse AG – HSBC R Bear CBBC Jul 2021 E | 100,000,000 | 2020/12/18 | 2021/07/29 | 25.00 |
| 56823 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 B | 200,000,000 | 2020/12/18 | 2023/09/28 | 50.00 |
| 56818 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 P | 200,000,000 | 2020/12/18 | 2023/09/28 | 50.00 |
| 56864 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56863 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56867 | Credit Suisse AG – HSI R Bear CBBC Apr 2021 U | 200,000,000 | 2020/12/18 | 2021/04/29 | 50.00 |
| 56853 | Credit Suisse AG – Tencent R Bear CBBC Apr 2021 H | 100,000,000 | 2020/12/18 | 2021/04/29 | 25.00 |
| 56584 | Guotai Junan – HSI R Bull CBBC Feb 2024 B | 200,000,000 | 2020/12/18 | 2024/02/28 | 50.00 |
| 57549 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 K | 60,000,000 | 2020/12/18 | 2021/08/30 | 15.00 |
| 57557 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 E | 80,000,000 | 2020/12/18 | 2021/07/29 | 20.00 |
| 57553 | Goldman Sachs SP (Asia) – HKEX R Bear CBBC Apr 2021 D | 80,000,000 | 2020/12/18 | 2021/04/28 | 20.00 |
| 57330 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 N | 160,000,000 | 2020/12/18 | 2024/02/28 | 40.00 |
| 57333 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 P | 160,000,000 | 2020/12/18 | 2024/02/28 | 40.00 |
| 57348 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 R | 160,000,000 | 2020/12/18 | 2024/02/28 | 40.00 |
| 57498 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 X | 160,000,000 | 2020/12/18 | 2021/03/30 | 40.00 |
| 57525 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 C | 160,000,000 | 2020/12/18 | 2021/04/29 | 40.00 |
| 57431 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 W | 160,000,000 | 2020/12/18 | 2021/04/29 | 40.00 |
| 57545 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 I | 100,000,000 | 2020/12/18 | 2021/08/27 | 25.00 |
| 57565 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 O | 60,000,000 | 2020/12/18 | 2021/08/27 | 15.00 |
| 57559 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 V | 100,000,000 | 2020/12/18 | 2021/08/27 | 25.00 |
| 56457 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 H | 100,000,000 | 2020/12/18 | 2021/08/09 | 25.00 |
| 56559 | HK Bank – Geely Auto R Bull CBBC Jul 2021 F | 60,000,000 | 2020/12/18 | 2021/07/30 | 15.00 |
| 56556 | HK Bank – Galaxy Ent R Bull CBBC Jul 2021 B | 60,000,000 | 2020/12/18 | 2021/07/30 | 15.00 |
| 56460 | HK Bank – HSI R Bull CBBC Sep 2023 M | 80,000,000 | 2020/12/18 | 2023/09/28 | 20.00 |
| 56467 | HK Bank – HSI R Bull CBBC Sep 2023 O | 100,000,000 | 2020/12/18 | 2023/09/28 | 25.00 |
| 56573 | HK Bank – HSI R Bear CBBC Apr 2021 C | 80,000,000 | 2020/12/18 | 2021/04/29 | 20.00 |
| 56574 | HK Bank – HSI R Bear CBBC Apr 2021 D | 80,000,000 | 2020/12/18 | 2021/04/29 | 20.00 |
| 56527 | HK Bank – JD.com R Bull CBBC Aug 2021 D | 100,000,000 | 2020/12/18 | 2021/08/30 | 25.00 |
| 56565 | HK Bank – JD.com R Bear CBBC Jul 2021 E | 50,000,000 | 2020/12/18 | 2021/07/09 | 12.50 |
| 56531 | HK Bank – Meituan R Bear CBBC Jul 2021 I | 100,000,000 | 2020/12/18 | 2021/07/30 | 25.00 |
| 56544 | HK Bank – Sino Biopharm R Bull CBBC Jul 2021 C | 40,000,000 | 2020/12/18 | 2021/07/16 | 10.00 |
| 56455 | HK Bank – Tencent R Bull CBBC Jul 2021 T | 100,000,000 | 2020/12/18 | 2021/07/12 | 25.00 |
| 56534 | HK Bank – Tencent R Bear CBBC Jul 2021 H | 100,000,000 | 2020/12/18 | 2021/07/16 | 25.00 |
| 56538 | HK Bank – Xiaomi R Bull CBBC Jul 2021 F | 100,000,000 | 2020/12/18 | 2021/07/27 | 25.00 |
| 56799 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 V | 200,000,000 | 2020/12/18 | 2023/11/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56798 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 P | 200,000,000 | 2020/12/18 | 2023/12/28 | 50.00 |
| 56812 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56815 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 P | 200,000,000 | 2020/12/18 | 2021/04/29 | 50.00 |
| 56816 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 L | 60,000,000 | 2020/12/18 | 2021/03/25 | 15.00 |
| 56817 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 I | 60,000,000 | 2020/12/18 | 2021/03/25 | 15.00 |
| 56776 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 K | 200,000,000 | 2020/12/18 | 2024/02/28 | 50.00 |
| 56773 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Z | 200,000,000 | 2020/12/18 | 2024/02/28 | 50.00 |
| 56786 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56797 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56791 | J P Morgan SP BV – Tencent R Bear CBBC Jul 2021 B | 200,000,000 | 2020/12/18 | 2021/07/16 | 50.00 |
| 56600 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 S | 100,000,000 | 2020/12/18 | 2021/03/30 | 25.00 |
| 56926 | SG Issuer – AIA R Bull CBBC Jul 2021 E | 60,000,000 | 2020/12/18 | 2021/07/30 | 15.00 |
| 57093 | SG Issuer – AIA R Bear CBBC Apr 2021 E | 60,000,000 | 2020/12/18 | 2021/04/30 | 15.00 |
| 56941 | SG Issuer – Alibaba Group R Bull CBBC May 2021 I | 80,000,000 | 2020/12/18 | 2021/05/31 | 20.00 |
| 56953 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 P | 80,000,000 | 2020/12/18 | 2021/06/30 | 20.00 |
| 57096 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 F | 80,000,000 | 2020/12/18 | 2021/04/30 | 20.00 |
| 57118 | SG Issuer – Geely Auto R Bull CBBC Apr 2021 C | 40,000,000 | 2020/12/18 | 2021/04/30 | 10.00 |
| 56959 | SG Issuer – Geely Auto R Bull CBBC Jun 2021 F | 40,000,000 | 2020/12/18 | 2021/06/30 | 10.00 |
| 57019 | SG Issuer – HKEX R Bull CBBC Jun 2021 Q | 50,000,000 | 2020/12/18 | 2021/06/30 | 12.50 |
| 57087 | SG Issuer – HSI R Bull CBBC Feb 2024 A | 500,000,000 | 2020/12/18 | 2024/02/28 | 125.00 |
| 56907 | SG Issuer – HSI R Bull CBBC Feb 2024 V | 250,000,000 | 2020/12/18 | 2024/02/28 | 62.50 |
| 56924 | SG Issuer – HSI R Bull CBBC Feb 2024 W | 250,000,000 | 2020/12/18 | 2024/02/28 | 62.50 |
| 56925 | SG Issuer – HSI R Bull CBBC Feb 2024 X | 250,000,000 | 2020/12/18 | 2024/02/28 | 62.50 |
| 57012 | SG Issuer – HSI R Bull CBBC Feb 2024 Y | 250,000,000 | 2020/12/18 | 2024/02/28 | 62.50 |
| 57082 | SG Issuer – HSI R Bull CBBC Feb 2024 Z | 250,000,000 | 2020/12/18 | 2024/02/28 | 62.50 |
| 57061 | SG Issuer – HSI R Bear CBBC Mar 2021 B | 250,000,000 | 2020/12/18 | 2021/03/30 | 62.50 |
| 57063 | SG Issuer – HSI R Bear CBBC Apr 2021 Y | 250,000,000 | 2020/12/18 | 2021/04/29 | 62.50 |
| 57009 | SG Issuer – HSI R Bear CBBC May 2021 W | 250,000,000 | 2020/12/18 | 2021/05/28 | 62.50 |
| 57077 | SG Issuer – HSI R Bear CBBC May 2021 X | 250,000,000 | 2020/12/18 | 2021/05/28 | 62.50 |
| 56961 | SG Issuer – JD.com R Bull CBBC May 2021 F | 60,000,000 | 2020/12/18 | 2021/05/31 | 15.00 |
| 56981 | SG Issuer – JD.com R Bull CBBC Jun 2021 R | 60,000,000 | 2020/12/18 | 2021/06/30 | 15.00 |
| 57126 | SG Issuer – JD.com R Bull CBBC Aug 2021 F | 200,000,000 | 2020/12/18 | 2021/08/31 | 50.00 |
| 57124 | SG Issuer – JD.com R Bear CBBC Jun 2021 D | 200,000,000 | 2020/12/18 | 2021/06/29 | 50.00 |
| 56985 | SG Issuer – Meituan R Bull CBBC May 2021 L | 80,000,000 | 2020/12/18 | 2021/05/31 | 20.00 |
| 56987 | SG Issuer – Meituan R Bull CBBC Jun 2021 T | 80,000,000 | 2020/12/18 | 2021/06/30 | 20.00 |
| 57127 | SG Issuer – Meituan R Bear CBBC Apr 2021 U | 80,000,000 | 2020/12/18 | 2021/04/30 | 20.00 |
| 56989 | SG Issuer – Sino Biopharm R Bull CBBC May 2021 C | 40,000,000 | 2020/12/18 | 2021/05/31 | 10.00 |
| 56990 | SG Issuer – Tencent R Bull CBBC Jun 2021 D | 80,000,000 | 2020/12/18 | 2021/06/30 | 20.00 |
| 57049 | SG Issuer – Tencent R Bull CBBC Jun 2021 E | 80,000,000 | 2020/12/18 | 2021/06/30 | 20.00 |
| 57060 | SG Issuer – Tencent R Bull CBBC Jul 2021 S | 80,000,000 | 2020/12/18 | 2021/07/30 | 20.00 |
| 57153 | SG Issuer – Tencent R Bear CBBC Apr 2021 C | 80,000,000 | 2020/12/18 | 2021/04/30 | 20.00 |
| 57162 | SG Issuer – Xiaomi R Bull CBBC May 2021 B | 60,000,000 | 2020/12/18 | 2021/05/31 | 15.00 |
| 56996 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 P | 60,000,000 | 2020/12/18 | 2021/06/30 | 15.00 |
| 57163 | SG Issuer – Xiaomi R Bear CBBC May 2021 I | 200,000,000 | 2020/12/18 | 2021/05/31 | 50.00 |
| 57172 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 K | 200,000,000 | 2020/12/18 | 2021/06/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 56638 | UBS AG – Alibaba Group R Bull CBBC May 2021 V | 80,000,000 | 2020/12/18 | 2021/05/31 | 20.00 |
| 56645 | UBS AG – Alibaba Group R Bull CBBC Jul 2021 A | 200,000,000 | 2020/12/18 | 2021/07/26 | 50.00 |
| 56733 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 P | 80,000,000 | 2020/12/18 | 2021/06/28 | 20.00 |
| 56769 | UBS AG – China Life R Bull CBBC Nov 2021 D | 150,000,000 | 2020/12/18 | 2021/11/11 | 37.50 |
| 56603 | UBS AG – HSBC R Bull CBBC Sep 2021 T | 150,000,000 | 2020/12/18 | 2021/09/27 | 37.50 |
| 56667 | UBS AG – HSCEI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/18 | 2021/04/29 | 50.00 |
| 56680 | UBS AG – HSI R Bull CBBC Dec 2023 L | 200,000,000 | 2020/12/18 | 2023/12/28 | 50.00 |
| 56703 | UBS AG – HSI R Bull CBBC Dec 2023 M | 200,000,000 | 2020/12/18 | 2023/12/28 | 50.00 |
| 56705 | UBS AG – HSI R Bull CBBC Dec 2023 N | 200,000,000 | 2020/12/18 | 2023/12/28 | 50.00 |
| 56690 | UBS AG – HSI R Bull CBBC Dec 2023 P | 200,000,000 | 2020/12/18 | 2023/12/28 | 50.00 |
| 56697 | UBS AG – HSI R Bull CBBC Dec 2023 R | 200,000,000 | 2020/12/18 | 2023/12/28 | 50.00 |
| 56755 | UBS AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56757 | UBS AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/18 | 2021/03/30 | 50.00 |
| 56623 | UBS AG – JD.com R Bull CBBC Jun 2021 M | 200,000,000 | 2020/12/18 | 2021/06/30 | 50.00 |
| 56728 | UBS AG – JD.com R Bear CBBC Jun 2021 N | 200,000,000 | 2020/12/18 | 2021/06/28 | 50.00 |
| 56618 | UBS AG – Meituan R Bull CBBC Jun 2021 E | 50,000,000 | 2020/12/18 | 2021/06/30 | 12.50 |
| 56746 | UBS AG – Meituan R Bear CBBC May 2021 V | 50,000,000 | 2020/12/18 | 2021/05/28 | 12.50 |
| 56604 | UBS AG – Tencent R Bull CBBC Jun 2021 T | 40,000,000 | 2020/12/18 | 2021/06/30 | 10.00 |
| 56615 | UBS AG – Tencent R Bull CBBC Jul 2021 E | 200,000,000 | 2020/12/18 | 2021/07/08 | 50.00 |
| 56735 | UBS AG – Tencent R Bear CBBC Jun 2021 V | 40,000,000 | 2020/12/18 | 2021/06/30 | 10.00 |
| 56762 | UBS AG – Xiaomi R Bull CBBC Jun 2021 P | 60,000,000 | 2020/12/18 | 2021/06/30 | 15.00 |
| 56765 | UBS AG – Xiaomi R Bull CBBC Jun 2021 Q | 200,000,000 | 2020/12/18 | 2021/06/30 | 50.00 |
| 56666 | UBS AG – Xiaomi R Bear CBBC Jun 2021 U | 60,000,000 | 2020/12/18 | 2021/06/21 | 15.00 |
| 56707 | UBS AG – Xiaomi R Bear CBBC Jun 2021 V | 200,000,000 | 2020/12/18 | 2021/06/28 | 50.00 |
| 56708 | UBS AG – Xiaomi R Bear CBBC Jun 2021 W | 200,000,000 | 2020/12/18 | 2021/06/30 | 50.00 |
| 56709 | UBS AG – Xiaomi R Bear CBBC Jul 2021 D | 200,000,000 | 2020/12/18 | 2021/07/29 | 50.00 |
| 56724 | UBS AG – Xiaomi R Bear CBBC Jul 2021 E | 200,000,000 | 2020/12/18 | 2021/07/29 | 50.00 |
| 57213 | Bank Vontobel – AAC Tech R Bear CBBC May 2021 D | 40,000,000 | 2020/12/18 | 2021/05/14 | 10.00 |
| 57203 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 G | 40,000,000 | 2020/12/18 | 2021/11/12 | 10.00 |
| 57221 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 E | 40,000,000 | 2020/12/18 | 2021/06/18 | 10.00 |
| 57248 | Bank Vontobel – Ali Health R Bull CBBC Jul 2021 C | 80,000,000 | 2020/12/18 | 2021/07/23 | 20.00 |
| 57232 | Bank Vontobel – CC Bank R Bear CBBC May 2021 C | 40,000,000 | 2020/12/18 | 2021/05/21 | 10.00 |
| 57173 | Bank Vontobel – HSI R Bull CBBC Sep 2023 Z | 80,000,000 | 2020/12/18 | 2023/09/28 | 20.00 |
| 57184 | Bank Vontobel – HSI R Bull CBBC Dec 2023 N | 80,000,000 | 2020/12/18 | 2023/12/28 | 20.00 |
| 57328 | Bank Vontobel – HSI R Bear CBBC Mar 2021 Q | 80,000,000 | 2020/12/18 | 2021/03/30 | 20.00 |
| 57233 | Bank Vontobel – ICBC R Bear CBBC Jun 2021 B | 40,000,000 | 2020/12/18 | 2021/06/04 | 10.00 |
| 57211 | Bank Vontobel – Sunny Optical R Bull CBBC Nov 2021 B | 40,000,000 | 2020/12/18 | 2021/11/19 | 10.00 |
| 57885 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 F | 60,000,000 | 2020/12/21 | 2021/06/30 | 15.00 |
| 57853 | BOCI Asia Ltd. – HKEX R Bull CBBC Jun 2021 C | 40,000,000 | 2020/12/21 | 2021/06/30 | 10.00 |
| 57814 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 V | 150,000,000 | 2020/12/21 | 2023/03/30 | 37.50 |
| 57819 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 K | 150,000,000 | 2020/12/21 | 2021/04/29 | 37.50 |
| 57883 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 G | 40,000,000 | 2020/12/21 | 2021/09/30 | 10.00 |
| 57878 | BOCI Asia Ltd. – Sunny Optical R Bull CBBC Mar 2021 B | 40,000,000 | 2020/12/21 | 2021/03/31 | 10.00 |
| 57862 | BOCI Asia Ltd. – Tencent R Bull CBBC Mar 2021 G | 40,000,000 | 2020/12/21 | 2021/03/31 | 10.00 |
| 58498 | BNP Paribas Issuance B.V. – HSBC R Bull CBBC Dec 2021 D | 150,000,000 | 2020/12/21 | 2021/12/30 | 37.50 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 57888 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 J | 200,000,000 | 2020/12/21 | 2023/09/28 | 50.00 |
| 57909 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 K | 200,000,000 | 2020/12/21 | 2023/09/28 | 50.00 |
| 58455 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Y | 190,000,000 | 2020/12/21 | 2023/09/28 | 47.50 |
| 57576 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 V | 200,000,000 | 2020/12/21 | 2021/04/29 | 50.00 |
| 57597 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 W | 190,000,000 | 2020/12/21 | 2021/04/29 | 47.50 |
| 58476 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Dec 2021 U | 250,000,000 | 2020/12/21 | 2021/12/30 | 62.50 |
| 58330 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 F | 50,000,000 | 2020/12/21 | 2021/05/28 | 12.50 |
| 58346 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 E | 100,000,000 | 2020/12/21 | 2021/09/29 | 25.00 |
| 58360 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 C | 200,000,000 | 2020/12/21 | 2023/09/28 | 50.00 |
| 58358 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 H | 200,000,000 | 2020/12/21 | 2023/09/28 | 50.00 |
| 58351 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 M | 200,000,000 | 2020/12/21 | 2023/12/28 | 50.00 |
| 58204 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 58237 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 58240 | Credit Suisse AG – HSI R Bear CBBC May 2021 M | 200,000,000 | 2020/12/21 | 2021/05/28 | 50.00 |
| 58249 | Credit Suisse AG – HSI R Bear CBBC May 2021 N | 200,000,000 | 2020/12/21 | 2021/05/28 | 50.00 |
| 58267 | Credit Suisse AG – JD.com R Bull CBBC May 2021 D | 100,000,000 | 2020/12/21 | 2021/05/28 | 25.00 |
| 58313 | Credit Suisse AG – Tencent R Bull CBBC May 2021 G | 50,000,000 | 2020/12/21 | 2021/05/28 | 12.50 |
| 58250 | Credit Suisse AG – WuXi Bio R Bull CBBC Jul 2021 A | 50,000,000 | 2020/12/21 | 2021/07/29 | 12.50 |
| 59369 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 N | 100,000,000 | 2020/12/21 | 2021/08/30 | 25.00 |
| 59417 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 F | 80,000,000 | 2020/12/21 | 2021/07/29 | 20.00 |
| 59352 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 F | 160,000,000 | 2020/12/21 | 2024/02/28 | 40.00 |
| 59349 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 O | 160,000,000 | 2020/12/21 | 2024/02/28 | 40.00 |
| 59359 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 U | 160,000,000 | 2020/12/21 | 2024/02/28 | 40.00 |
| 59322 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 E | 160,000,000 | 2020/12/21 | 2021/04/29 | 40.00 |
| 59331 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 J | 160,000,000 | 2020/12/21 | 2021/04/29 | 40.00 |
| 59341 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 X | 160,000,000 | 2020/12/21 | 2021/04/29 | 40.00 |
| 59379 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 N | 100,000,000 | 2020/12/21 | 2021/07/30 | 25.00 |
| 59452 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 K | 60,000,000 | 2020/12/21 | 2021/08/27 | 15.00 |
| 59427 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 P | 100,000,000 | 2020/12/21 | 2021/08/27 | 25.00 |
| 57693 | HK Bank – AAC Tech R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/21 | 2021/08/13 | 10.00 |
| 57813 | HK Bank – HKEX R Bear CBBC Jul 2021 G | 100,000,000 | 2020/12/21 | 2021/07/30 | 25.00 |
| 57781 | HK Bank – HSI R Bull CBBC Sep 2023 P | 80,000,000 | 2020/12/21 | 2023/09/28 | 20.00 |
| 57608 | HK Bank – HSI R Bear CBBC Apr 2021 E | 100,000,000 | 2020/12/21 | 2021/04/29 | 25.00 |
| 57612 | HK Bank – HSI R Bear CBBC Apr 2021 F | 120,000,000 | 2020/12/21 | 2021/04/29 | 30.00 |
| 57799 | HK Bank – Meituan R Bull CBBC Jul 2021 P | 100,000,000 | 2020/12/21 | 2021/07/19 | 25.00 |
| 57755 | HK Bank – Sino Biopharm R Bear CBBC Jul 2021 A | 40,000,000 | 2020/12/21 | 2021/07/30 | 10.00 |
| 57702 | HK Bank – Sunny Optical R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/21 | 2021/08/31 | 10.00 |
| 57660 | HK Bank – Tencent R Bull CBBC Jul 2021 U | 100,000,000 | 2020/12/21 | 2021/07/19 | 25.00 |
| 57661 | HK Bank – Tencent R Bull CBBC Jul 2021 V | 100,000,000 | 2020/12/21 | 2021/07/12 | 25.00 |
| 57683 | HK Bank – Tencent R Bull CBBC Jul 2021 W | 100,000,000 | 2020/12/21 | 2021/07/26 | 25.00 |
| 57757 | HK Bank – WuXi Bio R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/21 | 2021/08/31 | 10.00 |
| 59290 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 I | 200,000,000 | 2020/12/21 | 2023/10/30 | 50.00 |
| 59273 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 Q | 200,000,000 | 2020/12/21 | 2023/12/28 | 50.00 |
| 59238 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 Q | 200,000,000 | 2020/12/21 | 2021/04/29 | 50.00 |
| 59271 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 R | 200,000,000 | 2020/12/21 | 2021/04/29 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 59240 | Haitong Int'l Sec – Meituan R Bull CBBC Mar 2021 N | 60,000,000 | 2020/12/21 | 2021/03/25 | 15.00 |
| 59265 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 A | 60,000,000 | 2020/12/21 | 2021/03/25 | 15.00 |
| 58388 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 H | 200,000,000 | 2020/12/21 | 2024/01/30 | 50.00 |
| 58407 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/12/21 | 2024/01/30 | 50.00 |
| 58410 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 R | 200,000,000 | 2020/12/21 | 2024/02/28 | 50.00 |
| 58373 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 58375 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 57956 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 I | 100,000,000 | 2020/12/21 | 2023/10/30 | 25.00 |
| 57654 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 T | 100,000,000 | 2020/12/21 | 2021/03/30 | 25.00 |
| 57658 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 Q | 100,000,000 | 2020/12/21 | 2021/04/29 | 25.00 |
| 57929 | Morgan Stanley Asia Products – HSI R Bear CBBC Jun 2021 C | 200,000,000 | 2020/12/21 | 2021/06/29 | 50.00 |
| 59122 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 K | 80,000,000 | 2020/12/21 | 2021/04/30 | 20.00 |
| 58839 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 L | 80,000,000 | 2020/12/21 | 2021/06/30 | 20.00 |
| 58842 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 M | 80,000,000 | 2020/12/21 | 2021/06/30 | 20.00 |
| 59015 | SG Issuer – HSI R Bull CBBC Feb 2024 B | 250,000,000 | 2020/12/21 | 2024/02/28 | 62.50 |
| 59106 | SG Issuer – HSI R Bull CBBC Feb 2024 C | 250,000,000 | 2020/12/21 | 2024/02/28 | 62.50 |
| 58829 | SG Issuer – HSI R Bear CBBC Mar 2021 C | 250,000,000 | 2020/12/21 | 2021/03/30 | 62.50 |
| 59009 | SG Issuer – HSI R Bear CBBC Mar 2021 G | 250,000,000 | 2020/12/21 | 2021/03/30 | 62.50 |
| 58822 | SG Issuer – HSI R Bear CBBC Mar 2021 Z | 250,000,000 | 2020/12/21 | 2021/03/30 | 62.50 |
| 58833 | SG Issuer – HSI R Bear CBBC Apr 2021 K | 250,000,000 | 2020/12/21 | 2021/04/29 | 62.50 |
| 59129 | SG Issuer – JD.com R Bear CBBC May 2021 E | 200,000,000 | 2020/12/21 | 2021/05/31 | 50.00 |
| 59135 | SG Issuer – Meituan R Bull CBBC Jun 2021 U | 80,000,000 | 2020/12/21 | 2021/06/30 | 20.00 |
| 59156 | SG Issuer – Meituan R Bull CBBC Jul 2021 M | 80,000,000 | 2020/12/21 | 2021/07/30 | 20.00 |
| 58866 | SG Issuer – Meituan R Bear CBBC Jun 2021 W | 80,000,000 | 2020/12/21 | 2021/06/29 | 20.00 |
| 59215 | SG Issuer – Tencent R Bull CBBC Apr 2021 S | 80,000,000 | 2020/12/21 | 2021/04/30 | 20.00 |
| 59159 | SG Issuer – Tencent R Bull CBBC Apr 2021 Y | 80,000,000 | 2020/12/21 | 2021/04/30 | 20.00 |
| 59217 | SG Issuer – Tencent R Bull CBBC Jun 2021 F | 80,000,000 | 2020/12/21 | 2021/06/30 | 20.00 |
| 58912 | SG Issuer – Tencent R Bear CBBC May 2021 P | 80,000,000 | 2020/12/21 | 2021/05/31 | 20.00 |
| 58969 | SG Issuer – Tencent R Bear CBBC Jun 2021 M | 200,000,000 | 2020/12/21 | 2021/06/30 | 50.00 |
| 58972 | SG Issuer – Tencent R Bear CBBC Jul 2021 L | 200,000,000 | 2020/12/21 | 2021/07/29 | 50.00 |
| 59081 | SG Issuer – WuXi Bio R Bull CBBC Apr 2021 B | 60,000,000 | 2020/12/21 | 2021/04/30 | 15.00 |
| 59234 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 Q | 60,000,000 | 2020/12/21 | 2021/06/30 | 15.00 |
| 58117 | UBS AG – AAC Tech R Bull CBBC Jul 2021 B | 60,000,000 | 2020/12/21 | 2021/07/30 | 15.00 |
| 58129 | UBS AG – AAC Tech R Bull CBBC Jul 2021 C | 60,000,000 | 2020/12/21 | 2021/07/12 | 15.00 |
| 58068 | UBS AG – Alibaba Group R Bull CBBC May 2021 W | 80,000,000 | 2020/12/21 | 2021/05/31 | 20.00 |
| 58111 | UBS AG – BYD Company R Bull CBBC Oct 2021 C | 150,000,000 | 2020/12/21 | 2021/10/29 | 37.50 |
| 58103 | UBS AG – Geely Auto R Bull CBBC Aug 2021 K | 100,000,000 | 2020/12/21 | 2021/08/23 | 25.00 |
| 58198 | UBS AG – HSI R Bull CBBC Dec 2023 O | 200,000,000 | 2020/12/21 | 2023/12/28 | 50.00 |
| 58202 | UBS AG – HSI R Bull CBBC Dec 2023 Q | 200,000,000 | 2020/12/21 | 2023/12/28 | 50.00 |
| 58034 | UBS AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 58038 | UBS AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 58047 | UBS AG – HSI R Bear CBBC Mar 2021 X | 200,000,000 | 2020/12/21 | 2021/03/30 | 50.00 |
| 58156 | UBS AG – Meituan R Bull CBBC Jun 2021 F | 50,000,000 | 2020/12/21 | 2021/06/30 | 12.50 |
| 57989 | UBS AG – Meituan R Bear CBBC May 2021 W | 50,000,000 | 2020/12/21 | 2021/05/28 | 12.50 |
| 58005 | UBS AG – NetEase R Bull CBBC Apr 2021 L | 80,000,000 | 2020/12/21 | 2021/04/29 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 58149 | UBS AG – Sunny Optical R Bull CBBC Apr 2021 B | 40,000,000 | 2020/12/21 | 2021/04/30 | 10.00 |
| 58150 | UBS AG – Sunny Optical R Bull CBBC Jun 2021 D | 40,000,000 | 2020/12/21 | 2021/06/30 | 10.00 |
| 58048 | UBS AG – Tencent R Bull CBBC Jun 2021 U | 40,000,000 | 2020/12/21 | 2021/06/30 | 10.00 |
| 58181 | UBS AG – Tencent R Bull CBBC Jun 2021 V | 40,000,000 | 2020/12/21 | 2021/06/16 | 10.00 |
| 57971 | UBS AG – Tencent R Bear CBBC Jun 2021 W | 40,000,000 | 2020/12/21 | 2021/06/30 | 10.00 |
| 58051 | UBS AG – Xiaomi R Bear CBBC Apr 2021 L | 60,000,000 | 2020/12/21 | 2021/04/30 | 15.00 |
| 58740 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 F | 60,000,000 | 2020/12/21 | 2021/11/19 | 15.00 |
| 58766 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 G | 40,000,000 | 2020/12/21 | 2021/11/19 | 10.00 |
| 58820 | Bank Vontobel – HSBC R Bear CBBC Jul 2021 C | 60,000,000 | 2020/12/21 | 2021/07/16 | 15.00 |
| 58652 | Bank Vontobel – JD.com R Bull CBBC Nov 2021 D | 50,000,000 | 2020/12/21 | 2021/11/19 | 12.50 |
| 58711 | Bank Vontobel – JD.com R Bear CBBC Jun 2021 A | 50,000,000 | 2020/12/21 | 2021/06/25 | 12.50 |
| 58714 | Bank Vontobel – JD.com R Bear CBBC Jun 2021 B | 50,000,000 | 2020/12/21 | 2021/06/18 | 12.50 |
| 58565 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 S | 80,000,000 | 2020/12/21 | 2021/11/26 | 20.00 |
| 58779 | Bank Vontobel – Weimob R Bull CBBC Nov 2021 A | 40,000,000 | 2020/12/21 | 2021/11/19 | 10.00 |
| 58801 | Bank Vontobel – Weimob R Bull CBBC Nov 2021 B | 40,000,000 | 2020/12/21 | 2021/11/26 | 14.80 |
| 58811 | Bank Vontobel – Wuxi Bio R Bull CBBC Nov 2021 A | 40,000,000 | 2020/12/21 | 2021/11/19 | 10.00 |
| 58819 | Bank Vontobel – Wuxi Bio R Bull CBBC Nov 2021 B | 40,000,000 | 2020/12/21 | 2021/11/26 | 10.00 |
| 59594 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 W | 150,000,000 | 2020/12/22 | 2023/03/30 | 37.50 |
| 59618 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 X | 150,000,000 | 2020/12/22 | 2023/03/30 | 37.50 |
| 59743 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/12/22 | 2023/09/28 | 50.00 |
| 59763 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 R | 200,000,000 | 2020/12/22 | 2023/09/28 | 50.00 |
| 59764 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 S | 190,000,000 | 2020/12/22 | 2023/09/28 | 47.50 |
| 59475 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Z | 200,000,000 | 2020/12/22 | 2023/09/28 | 50.00 |
| 59477 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 X | 200,000,000 | 2020/12/22 | 2021/04/29 | 50.00 |
| 59488 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 Y | 200,000,000 | 2020/12/22 | 2021/04/29 | 50.00 |
| 59766 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 Z | 240,000,000 | 2020/12/22 | 2021/04/29 | 60.00 |
| 59703 | Credit Suisse AG – HKEX R Bull CBBC May 2021 G | 50,000,000 | 2020/12/22 | 2021/05/28 | 12.50 |
| 59712 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 D | 200,000,000 | 2020/12/22 | 2023/09/28 | 50.00 |
| 59713 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 E | 200,000,000 | 2020/12/22 | 2023/09/28 | 50.00 |
| 59633 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 59652 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 R | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 59715 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 G | 100,000,000 | 2020/12/22 | 2021/12/30 | 25.00 |
| 59655 | Credit Suisse AG – Meituan R Bull CBBC Jun 2021 A | 50,000,000 | 2020/12/22 | 2021/06/29 | 12.50 |
| 59711 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 L | 80,000,000 | 2020/12/22 | 2021/07/29 | 20.00 |
| 59673 | Credit Suisse AG – Sunny Optical R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/22 | 2021/06/29 | 10.00 |
| 59677 | Credit Suisse AG – Tencent R Bull CBBC Apr 2021 U | 50,000,000 | 2020/12/22 | 2021/04/29 | 12.50 |
| 59670 | Credit Suisse AG – WuXi Bio R Bull CBBC Jun 2021 B | 50,000,000 | 2020/12/22 | 2021/06/29 | 12.50 |
| 60121 | Goldman Sachs SP (Asia) – AIA R Bear CBBC Jun 2021 A | 60,000,000 | 2020/12/22 | 2021/06/30 | 15.00 |
| 60099 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 O | 100,000,000 | 2020/12/22 | 2021/08/30 | 25.00 |
| 60096 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 G | 100,000,000 | 2020/12/22 | 2021/07/29 | 25.00 |
| 60093 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 B | 160,000,000 | 2020/12/22 | 2024/02/28 | 40.00 |
| 60095 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 D | 160,000,000 | 2020/12/22 | 2024/02/28 | 40.00 |
| 60066 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 V | 160,000,000 | 2020/12/22 | 2024/02/28 | 40.00 |
| 60063 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 B | 160,000,000 | 2020/12/22 | 2021/03/30 | 40.00 |
| 60068 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 F | 160,000,000 | 2020/12/22 | 2021/03/30 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60062 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 L | 160,000,000 | 2020/12/22 | 2021/03/30 | 40.00 |
| 60069 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 P | 160,000,000 | 2020/12/22 | 2021/03/30 | 40.00 |
| 60122 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 K | 100,000,000 | 2020/12/22 | 2021/08/27 | 25.00 |
| 60137 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 L | 100,000,000 | 2020/12/22 | 2021/08/27 | 25.00 |
| 60125 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 N | 100,000,000 | 2020/12/22 | 2021/08/27 | 25.00 |
| 60106 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 B | 100,000,000 | 2020/12/22 | 2021/06/30 | 25.00 |
| 60115 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 C | 100,000,000 | 2020/12/22 | 2021/06/30 | 25.00 |
| 59531 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 L | 100,000,000 | 2020/12/22 | 2021/07/26 | 25.00 |
| 59585 | HK Bank – Bank of China R Bull CBBC Dec 2021 C | 60,000,000 | 2020/12/22 | 2021/12/31 | 15.00 |
| 59547 | HK Bank – HKEX R Bull CBBC Jun 2021 J | 100,000,000 | 2020/12/22 | 2021/06/07 | 25.00 |
| 59501 | HK Bank – HSI R Bull CBBC Sep 2023 Q | 120,000,000 | 2020/12/22 | 2023/09/28 | 30.00 |
| 60024 | HK Bank – HSI R Bull CBBC Sep 2023 R | 80,000,000 | 2020/12/22 | 2023/09/28 | 20.00 |
| 59496 | HK Bank – HSTECH R Bull CBBC Jun 2021 E | 100,000,000 | 2020/12/22 | 2021/06/29 | 25.00 |
| 59554 | HK Bank – JD.com R Bull CBBC Aug 2021 E | 100,000,000 | 2020/12/22 | 2021/08/30 | 25.00 |
| 59512 | HK Bank – Sino Biopharm R Bull CBBC Aug 2021 B | 40,000,000 | 2020/12/22 | 2021/08/02 | 10.00 |
| 59550 | HK Bank – Tencent R Bull CBBC Jun 2021 I | 100,000,000 | 2020/12/22 | 2021/06/28 | 25.00 |
| 59490 | HK Bank – Tencent R Bull CBBC Aug 2021 E | 100,000,000 | 2020/12/22 | 2021/08/09 | 25.00 |
| 59497 | HK Bank – Tencent R Bull CBBC Aug 2021 F | 100,000,000 | 2020/12/22 | 2021/08/09 | 25.00 |
| 59562 | HK Bank – Tencent R Bear CBBC Jun 2021 H | 100,000,000 | 2020/12/22 | 2021/06/30 | 25.00 |
| 59519 | HK Bank – WuXi Bio R Bull CBBC Jul 2021 A | 40,000,000 | 2020/12/22 | 2021/07/30 | 10.00 |
| 59940 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 X | 200,000,000 | 2020/12/22 | 2023/11/29 | 50.00 |
| 59939 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 59968 | Haitong Int'l Sec – JD.com R Bull CBBC Mar 2021 A | 60,000,000 | 2020/12/22 | 2021/03/30 | 15.00 |
| 59962 | Haitong Int'l Sec – Meituan R Bull CBBC May 2021 A | 60,000,000 | 2020/12/22 | 2021/05/24 | 15.00 |
| 59963 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 J | 60,000,000 | 2020/12/22 | 2021/03/30 | 15.00 |
| 60015 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 H | 200,000,000 | 2020/12/22 | 2024/02/28 | 50.00 |
| 60005 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 T | 200,000,000 | 2020/12/22 | 2024/02/28 | 50.00 |
| 60007 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 U | 200,000,000 | 2020/12/22 | 2024/02/28 | 50.00 |
| 59992 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 I | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 59973 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 Y | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 60010 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 L | 200,000,000 | 2020/12/22 | 2022/04/28 | 50.00 |
| 60012 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 H | 200,000,000 | 2020/12/22 | 2021/06/29 | 50.00 |
| 60014 | J P Morgan SP BV – JD.com R Bull CBBC Aug 2021 C | 200,000,000 | 2020/12/22 | 2021/08/13 | 50.00 |
| 60025 | Morgan Stanley Asia Products – HSI R Bull CBBC Sep 2023 O | 100,000,000 | 2020/12/22 | 2023/09/28 | 25.00 |
| 60026 | Morgan Stanley Asia Products – HSI R Bull CBBC Dec 2023 J | 100,000,000 | 2020/12/22 | 2023/12/28 | 25.00 |
| 60032 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Jul 2021 A | 68,000,000 | 2020/12/22 | 2021/07/29 | 17.00 |
| 60059 | Morgan Stanley Asia Products – Meituan R Bull CBBC Apr 2021C | 40,000,000 | 2020/12/22 | 2021/04/01 | 10.00 |
| 60057 | Morgan Stanley Asia Products – Meituan R Bull CBBC Jun 2021C | 40,000,000 | 2020/12/22 | 2021/06/01 | 16.08 |
| 60056 | Morgan Stanley Asia Products – Meituan R Bull CBBC Aug 2021A | 40,000,000 | 2020/12/22 | 2021/08/02 | 22.28 |
| 60055 | Morgan Stanley Asia Products – Meituan R Bear CBBC Jun 2021B | 40,000,000 | 2020/12/22 | 2021/06/01 | 10.00 |
| 60051 | Morgan Stanley Asia Products – Meituan R Bear CBBC Jul 2021A | 40,000,000 | 2020/12/22 | 2021/07/02 | 10.00 |
| 60043 | Morgan Stanley Asia Products – Tencent R Bear CBBC May 2021F | 40,000,000 | 2020/12/22 | 2021/05/03 | 10.00 |
| 60039 | Morgan Stanley Asia Products – Tencent R Bear CBBC Jul 2021A | 40,000,000 | 2020/12/22 | 2021/07/02 | 10.00 |
| 60038 | Morgan Stanley Asia Products – Tencent R Bear CBBC Aug 2021B | 68,000,000 | 2020/12/22 | 2021/08/02 | 17.00 |
| 60193 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60199 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 S | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |
| 60178 | SG Issuer – HSI R Bull CBBC Jan 2024 T | 250,000,000 | 2020/12/22 | 2024/01/30 | 62.50 |
| 60181 | SG Issuer – HSI R Bull CBBC Feb 2024 E | 250,000,000 | 2020/12/22 | 2024/02/28 | 62.50 |
| 60183 | SG Issuer – HSI R Bull CBBC Feb 2024 F | 250,000,000 | 2020/12/22 | 2024/02/28 | 62.50 |
| 60165 | SG Issuer – HSI R Bear CBBC Apr 2021 L | 250,000,000 | 2020/12/22 | 2021/04/29 | 62.50 |
| 60158 | SG Issuer – HSI R Bear CBBC May 2021 I | 250,000,000 | 2020/12/22 | 2021/05/28 | 62.50 |
| 60169 | SG Issuer – HSI R Bear CBBC May 2021 N | 250,000,000 | 2020/12/22 | 2021/05/28 | 62.50 |
| 60201 | SG Issuer – JD.com R Bull CBBC Jun 2021 S | 60,000,000 | 2020/12/22 | 2021/06/29 | 15.00 |
| 60160 | SG Issuer – JD.com R Bear CBBC Jun 2021 E | 60,000,000 | 2020/12/22 | 2021/06/29 | 15.00 |
| 60206 | SG Issuer – Meituan R Bull CBBC Jun 2021 V | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |
| 60162 | SG Issuer – Meituan R Bear CBBC Jun 2021 X | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |
| 60210 | SG Issuer – NetEase R Bull CBBC Jun 2021 F | 60,000,000 | 2020/12/22 | 2021/06/29 | 15.00 |
| 60211 | SG Issuer – NetEase R Bull CBBC Jun 2021 G | 60,000,000 | 2020/12/22 | 2021/06/29 | 15.00 |
| 60163 | SG Issuer – NetEase R Bear CBBC Jun 2021 B | 60,000,000 | 2020/12/22 | 2021/06/29 | 15.00 |
| 60212 | SG Issuer – Tencent R Bull CBBC Jun 2021 J | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |
| 60214 | SG Issuer – Tencent R Bull CBBC Jun 2021 K | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |
| 60164 | SG Issuer – Tencent R Bear CBBC Jun 2021 P | 80,000,000 | 2020/12/22 | 2021/06/29 | 20.00 |
| 59838 | UBS AG – Alibaba Group R Bull CBBC May 2021 X | 80,000,000 | 2020/12/22 | 2021/05/31 | 20.00 |
| 59773 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 Q | 80,000,000 | 2020/12/22 | 2021/06/28 | 20.00 |
| 59912 | UBS AG – HSI R Bull CBBC Oct 2023 E | 200,000,000 | 2020/12/22 | 2023/10/30 | 50.00 |
| 59891 | UBS AG – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/12/22 | 2023/10/30 | 50.00 |
| 59924 | UBS AG – HSI R Bull CBBC Oct 2023 Y | 200,000,000 | 2020/12/22 | 2023/10/30 | 50.00 |
| 59837 | UBS AG – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 59815 | UBS AG – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/12/22 | 2021/03/30 | 50.00 |
| 59890 | UBS AG – HSTECH R Bull CBBC Dec 2021 N | 200,000,000 | 2020/12/22 | 2021/12/30 | 50.00 |
| 59841 | UBS AG – JD.com R Bull CBBC Jun 2021 N | 200,000,000 | 2020/12/22 | 2021/06/30 | 50.00 |
| 59795 | UBS AG – JD.com R Bear CBBC Jun 2021 O | 200,000,000 | 2020/12/22 | 2021/06/28 | 50.00 |
| 59884 | UBS AG – Meituan R Bull CBBC May 2021 Z | 50,000,000 | 2020/12/22 | 2021/05/31 | 12.50 |
| 59889 | UBS AG – Meituan R Bull CBBC Jun 2021 G | 200,000,000 | 2020/12/22 | 2021/06/30 | 50.00 |
| 59780 | UBS AG – Meituan R Bear CBBC May 2021 X | 50,000,000 | 2020/12/22 | 2021/05/28 | 12.50 |
| 59863 | UBS AG – Tencent R Bull CBBC Jun 2021 W | 40,000,000 | 2020/12/22 | 2021/06/30 | 10.00 |
| 59868 | UBS AG – Tencent R Bull CBBC Jun 2021 X | 40,000,000 | 2020/12/22 | 2021/06/30 | 10.00 |
| 59769 | UBS AG – Tencent R Bear CBBC Jun 2021 X | 40,000,000 | 2020/12/22 | 2021/06/30 | 10.00 |
| 59793 | UBS AG – Xiaomi R Bull CBBC Aug 2021 L | 200,000,000 | 2020/12/22 | 2021/08/23 | 50.00 |
| 59839 | UBS AG – Xiaomi R Bear CBBC Jun 2021 X | 60,000,000 | 2020/12/22 | 2021/06/30 | 15.00 |
| 60157 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 H | 40,000,000 | 2020/12/22 | 2021/11/19 | 10.00 |
| 60145 | Bank Vontobel – HKEX R Bull CBBC Nov 2021 C | 40,000,000 | 2020/12/22 | 2021/11/26 | 10.00 |
| 60144 | Bank Vontobel – HSI R Bear CBBC Mar 2021 U | 80,000,000 | 2020/12/22 | 2021/03/30 | 20.00 |
| 60156 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 C | 60,000,000 | 2020/12/22 | 2021/11/12 | 15.00 |
| 60152 | Bank Vontobel – NetEase R Bull CBBC Nov 2021 D | 40,000,000 | 2020/12/22 | 2021/11/19 | 10.00 |
| 60148 | Bank Vontobel – Sino Biopharm R Bull CBBC Oct 2021 C | 40,000,000 | 2020/12/22 | 2021/10/22 | 10.00 |
| 60155 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 X | 40,000,000 | 2020/12/22 | 2021/11/26 | 10.00 |
| 60337 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jun 2021 B | 60,000,000 | 2020/12/23 | 2021/06/30 | 15.00 |
| 60321 | BOCI Asia Ltd. – HKEX R Bear CBBC Mar 2021 A | 40,000,000 | 2020/12/23 | 2021/03/31 | 10.00 |
| 60339 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 H | 40,000,000 | 2020/12/23 | 2021/09/30 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60324 | BOCI Asia Ltd. – Tencent R Bear CBBC Mar 2021 E | 40,000,000 | 2020/12/23 | 2021/03/31 | 10.00 |
| 60584 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 T | 200,000,000 | 2020/12/23 | 2021/12/30 | 50.00 |
| 60582 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 E | 190,000,000 | 2020/12/23 | 2023/09/28 | 47.50 |
| 60578 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 D | 200,000,000 | 2020/12/23 | 2021/04/29 | 50.00 |
| 60580 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/12/23 | 2021/04/29 | 50.00 |
| 60594 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 M | 200,000,000 | 2020/12/23 | 2021/09/29 | 50.00 |
| 60604 | BNP Paribas Issuance B.V. – Ping An R Bear CBBC Apr 2021 F | 200,000,000 | 2020/12/23 | 2021/04/29 | 50.00 |
| 60583 | BNP Paribas Issuance B.V. – Tencent R Bull CBBC Nov 2021 H | 250,000,000 | 2020/12/23 | 2021/11/29 | 62.50 |
| 60587 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 K | 250,000,000 | 2020/12/23 | 2021/11/29 | 62.50 |
| 60359 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 F | 100,000,000 | 2020/12/23 | 2021/09/29 | 25.00 |
| 60348 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 Q | 200,000,000 | 2020/12/23 | 2023/09/28 | 50.00 |
| 60352 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 S | 200,000,000 | 2020/12/23 | 2023/09/28 | 50.00 |
| 60343 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 U | 200,000,000 | 2020/12/23 | 2023/12/28 | 50.00 |
| 60372 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/23 | 2021/03/30 | 50.00 |
| 60375 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/23 | 2021/03/30 | 50.00 |
| 60388 | Credit Suisse AG – HSTECH R Bull CBBC Nov 2021 I | 100,000,000 | 2020/12/23 | 2021/11/29 | 25.00 |
| 60299 | Bank of East Asia – China Life R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/23 | 2021/09/20 | 25.00 |
| 60296 | Bank of East Asia – Tencent R Bull CBBC Jul 2021 A | 30,000,000 | 2020/12/23 | 2021/07/22 | 10.50 |
| 60445 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 E | 160,000,000 | 2020/12/23 | 2024/02/28 | 40.00 |
| 60446 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 J | 160,000,000 | 2020/12/23 | 2024/02/28 | 40.00 |
| 60449 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Q | 160,000,000 | 2020/12/23 | 2024/02/28 | 40.00 |
| 60450 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 U | 160,000,000 | 2020/12/23 | 2021/03/30 | 40.00 |
| 60451 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 K | 160,000,000 | 2020/12/23 | 2021/04/29 | 40.00 |
| 60469 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 L | 100,000,000 | 2020/12/23 | 2021/08/27 | 25.00 |
| 60465 | Goldman Sachs SP (Asia) – Meituan R Bear CBBC Jul 2021 O | 60,000,000 | 2020/12/23 | 2021/07/30 | 15.00 |
| 60452 | Goldman Sachs SP (Asia) – NetEase R Bull CBBC Dec 2021 B | 80,000,000 | 2020/12/23 | 2021/12/17 | 20.00 |
| 60462 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 W | 60,000,000 | 2020/12/23 | 2021/08/27 | 15.00 |
| 60457 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 D | 80,000,000 | 2020/12/23 | 2021/06/30 | 20.00 |
| 60287 | HK Bank – Ali Health R Bull CBBC Aug 2021 A | 40,000,000 | 2020/12/23 | 2021/08/02 | 10.00 |
| 60258 | HK Bank – China Life R Bull CBBC Sep 2021 B | 100,000,000 | 2020/12/23 | 2021/09/30 | 25.00 |
| 60250 | HK Bank – HSI R Bull CBBC Sep 2023 S | 120,000,000 | 2020/12/23 | 2023/09/28 | 30.00 |
| 60265 | HK Bank – HSI R Bear CBBC Apr 2021 J | 80,000,000 | 2020/12/23 | 2021/04/29 | 20.00 |
| 60283 | HK Bank – Meituan R Bull CBBC Jul 2021 Q | 100,000,000 | 2020/12/23 | 2021/07/26 | 25.00 |
| 60281 | HK Bank – Meituan R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/23 | 2021/08/09 | 25.00 |
| 60251 | HK Bank – Meituan R Bear CBBC Jul 2021 J | 100,000,000 | 2020/12/23 | 2021/07/30 | 25.00 |
| 60252 | HK Bank – NetEase R Bull CBBC Jul 2021 D | 60,000,000 | 2020/12/23 | 2021/07/19 | 15.00 |
| 60218 | HK Bank – Tencent R Bull CBBC Jun 2021 J | 100,000,000 | 2020/12/23 | 2021/06/21 | 25.00 |
| 60286 | HK Bank – Tencent R Bear CBBC Sep 2021 A | 100,000,000 | 2020/12/23 | 2021/09/30 | 25.00 |
| 60240 | HK Bank – Xiaomi R Bull CBBC Jul 2021 G | 100,000,000 | 2020/12/23 | 2021/07/27 | 25.00 |
| 60418 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 X | 200,000,000 | 2020/12/23 | 2023/10/30 | 50.00 |
| 60428 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/23 | 2021/03/30 | 50.00 |
| 60429 | Haitong Int'l Sec – HSTECH R Bull CBBC Apr 2021 A | 150,000,000 | 2020/12/23 | 2021/04/29 | 37.50 |
| 60419 | Haitong Int'l Sec – Meituan R Bear CBBC Mar 2021 M | 60,000,000 | 2020/12/23 | 2021/03/25 | 15.00 |
| 60422 | Haitong Int'l Sec – Tencent R Bear CBBC Mar 2021 J | 60,000,000 | 2020/12/23 | 2021/03/25 | 15.00 |
| 60437 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Q | 200,000,000 | 2020/12/23 | 2024/02/28 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 60443 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/23 | 2021/03/30 | 50.00 |
| 60439 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 M | 200,000,000 | 2020/12/23 | 2022/04/28 | 50.00 |
| 60536 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 I | 80,000,000 | 2020/12/23 | 2021/04/30 | 20.00 |
| 60486 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 R | 80,000,000 | 2020/12/23 | 2021/06/30 | 20.00 |
| 60515 | SG Issuer – Ali Health R Bull CBBC Jun 2021 C | 100,000,000 | 2020/12/23 | 2021/06/30 | 25.00 |
| 60491 | SG Issuer – China Life R Bull CBBC Jun 2021 A | 40,000,000 | 2020/12/23 | 2021/06/30 | 10.00 |
| 60541 | SG Issuer – Galaxy Ent R Bull CBBC Jul 2021 A | 100,000,000 | 2020/12/23 | 2021/07/30 | 25.00 |
| 60542 | SG Issuer – HKEX R Bull CBBC May 2021 M | 50,000,000 | 2020/12/23 | 2021/05/31 | 12.50 |
| 60482 | SG Issuer – HSI R Bull CBBC Nov 2023 B | 250,000,000 | 2020/12/23 | 2023/11/29 | 62.50 |
| 60484 | SG Issuer – HSI R Bull CBBC Nov 2023 K | 250,000,000 | 2020/12/23 | 2023/11/29 | 62.50 |
| 60470 | SG Issuer – HSI R Bull CBBC Nov 2023 V | 250,000,000 | 2020/12/23 | 2023/11/29 | 62.50 |
| 60575 | SG Issuer – HSI R Bull CBBC Jan 2024 W | 250,000,000 | 2020/12/23 | 2024/01/30 | 62.50 |
| 60543 | SG Issuer – HSI R Bear CBBC Mar 2021 D | 250,000,000 | 2020/12/23 | 2021/03/30 | 62.50 |
| 60565 | SG Issuer – HSI R Bear CBBC Apr 2021 O | 250,000,000 | 2020/12/23 | 2021/04/29 | 62.50 |
| 60574 | SG Issuer – HSI R Bear CBBC May 2021 P | 250,000,000 | 2020/12/23 | 2021/05/28 | 62.50 |
| 60514 | SG Issuer – HSTECH R Bull CBBC Oct 2021 L | 100,000,000 | 2020/12/23 | 2021/10/28 | 25.00 |
| 60529 | SG Issuer – HSTECH R Bear CBBC Jun 2021 B | 100,000,000 | 2020/12/23 | 2021/06/29 | 25.00 |
| 60493 | SG Issuer – Meituan R Bull CBBC Jun 2021 W | 80,000,000 | 2020/12/23 | 2021/06/30 | 20.00 |
| 60495 | SG Issuer – Meituan R Bull CBBC Jun 2021 X | 80,000,000 | 2020/12/23 | 2021/06/30 | 20.00 |
| 60517 | SG Issuer – Meituan R Bull CBBC Jul 2021 N | 80,000,000 | 2020/12/23 | 2021/07/30 | 20.00 |
| 60523 | SG Issuer – Ping An R Bull CBBC Sep 2021 F | 100,000,000 | 2020/12/23 | 2021/09/30 | 25.00 |
| 60525 | SG Issuer – Sino Biopharm R Bull CBBC Jul 2021 A | 40,000,000 | 2020/12/23 | 2021/07/30 | 10.00 |
| 60526 | SG Issuer – Tencent R Bull CBBC Apr 2021 F | 80,000,000 | 2020/12/23 | 2021/04/30 | 20.00 |
| 60502 | SG Issuer – Tencent R Bull CBBC May 2021 G | 80,000,000 | 2020/12/23 | 2021/05/31 | 20.00 |
| 60528 | SG Issuer – Tencent R Bull CBBC May 2021 K | 80,000,000 | 2020/12/23 | 2021/05/31 | 20.00 |
| 60500 | SG Issuer – Tencent R Bull CBBC May 2021 Y | 80,000,000 | 2020/12/23 | 2021/05/31 | 20.00 |
| 60527 | SG Issuer – Tencent R Bear CBBC Apr 2021 D | 80,000,000 | 2020/12/23 | 2021/04/30 | 20.00 |
| 60504 | SG Issuer – WuXi Bio R Bull CBBC Jun 2021 D | 60,000,000 | 2020/12/23 | 2021/06/30 | 15.00 |
| 60507 | SG Issuer – Xiaomi R Bull CBBC May 2021 F | 60,000,000 | 2020/12/23 | 2021/05/31 | 15.00 |
| 60395 | UBS AG – Alibaba Group R Bull CBBC May 2021 Y | 80,000,000 | 2020/12/23 | 2021/05/31 | 20.00 |
| 60410 | UBS AG – HSBC R Bull CBBC Sep 2021 U | 150,000,000 | 2020/12/23 | 2021/09/20 | 37.50 |
| 60411 | UBS AG – HSI R Bull CBBC Oct 2023 G | 200,000,000 | 2020/12/23 | 2023/10/30 | 50.00 |
| 60413 | UBS AG – HSI R Bull CBBC Oct 2023 H | 200,000,000 | 2020/12/23 | 2023/10/30 | 50.00 |
| 60414 | UBS AG – HSI R Bull CBBC Oct 2023 N | 200,000,000 | 2020/12/23 | 2023/10/30 | 50.00 |
| 60416 | UBS AG – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/12/23 | 2021/03/30 | 50.00 |
| 60417 | UBS AG – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/12/23 | 2021/03/30 | 50.00 |
| 60405 | UBS AG – Meituan R Bull CBBC May 2021 C | 50,000,000 | 2020/12/23 | 2021/05/31 | 12.50 |
| 60390 | UBS AG – Tencent R Bull CBBC Apr 2021 N | 40,000,000 | 2020/12/23 | 2021/04/12 | 10.00 |
| 60415 | UBS AG – Tencent R Bear CBBC Jun 2021 Y | 40,000,000 | 2020/12/23 | 2021/06/30 | 10.00 |
| 60392 | UBS AG – Xiaomi R Bull CBBC Jun 2021 R | 50,000,000 | 2020/12/23 | 2021/06/30 | 12.50 |
| 60309 | Bank Vontobel – AIA R Bear CBBC Jul 2021 A | 80,000,000 | 2020/12/23 | 2021/07/16 | 20.00 |
| 60315 | Bank Vontobel – Geely Auto R Bear CBBC Apr 2021 E | 40,000,000 | 2020/12/23 | 2021/04/30 | 10.00 |
| 60319 | Bank Vontobel – Galaxy Ent R Bear CBBC Aug 2021 B | 40,000,000 | 2020/12/23 | 2021/08/13 | 10.00 |
| 60300 | Bank Vontobel – HSI N Bull CBBC Sep 2023 C | 80,000,000 | 2020/12/23 | 2023/09/28 | 20.00 |
| 60301 | Bank Vontobel – HSI N Bull CBBC Sep 2023 D | 80,000,000 | 2020/12/23 | 2023/09/28 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60305 | Bank Vontobel – HSI R Bear CBBC Mar 2021 G | 80,000,000 | 2020/12/23 | 2021/03/30 | 20.00 |
| 60317 | Bank Vontobel – Meituan R Bear CBBC Jun 2021 O | 60,000,000 | 2020/12/23 | 2021/06/25 | 15.00 |
| 60711 | BOCI Asia Ltd. – HKEX R Bull CBBC Jun 2021 D | 40,000,000 | 2020/12/24 | 2021/06/30 | 10.00 |
| 60712 | BOCI Asia Ltd. – HKEX R Bear CBBC Jun 2021 A | 40,000,000 | 2020/12/24 | 2021/06/30 | 11.60 |
| 60713 | BOCI Asia Ltd. – SMIC R Bull CBBC Jul 2021 A | 150,000,000 | 2020/12/24 | 2021/07/30 | 37.50 |
| 60719 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jun 2021 B | 40,000,000 | 2020/12/24 | 2021/06/30 | 10.00 |
| 60731 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Jun 2021 B | 150,000,000 | 2020/12/24 | 2021/06/30 | 37.50 |
| 60609 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 H | 200,000,000 | 2020/12/24 | 2023/09/28 | 50.00 |
| 60611 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 L | 200,000,000 | 2020/12/24 | 2023/09/28 | 50.00 |
| 60749 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 F | 250,000,000 | 2020/12/24 | 2021/04/29 | 62.50 |
| 60751 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 H | 240,000,000 | 2020/12/24 | 2021/04/29 | 60.00 |
| 60828 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 G | 100,000,000 | 2020/12/24 | 2021/09/29 | 25.00 |
| 60784 | Credit Suisse AG – HKEX R Bull CBBC May 2021 H | 50,000,000 | 2020/12/24 | 2021/05/28 | 12.50 |
| 60783 | Credit Suisse AG – HKEX R Bull CBBC Jul 2021 B | 100,000,000 | 2020/12/24 | 2021/07/29 | 25.00 |
| 60787 | Credit Suisse AG – HKEX R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/24 | 2021/07/29 | 25.00 |
| 60794 | Credit Suisse AG – HKEX R Bear CBBC Jul 2021 B | 100,000,000 | 2020/12/24 | 2021/07/29 | 25.00 |
| 60782 | Credit Suisse AG – HSBC R Bear CBBC Jul 2021 F | 100,000,000 | 2020/12/24 | 2021/07/29 | 25.00 |
| 60779 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/12/24 | 2023/09/28 | 50.00 |
| 60781 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 V | 200,000,000 | 2020/12/24 | 2023/12/28 | 50.00 |
| 60830 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60836 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60840 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60820 | Credit Suisse AG – NetEase R Bull CBBC May 2021 A | 50,000,000 | 2020/12/24 | 2021/05/28 | 12.50 |
| 60809 | Credit Suisse AG – WuXi Bio R Bull CBBC Sep 2021 A | 50,000,000 | 2020/12/24 | 2021/09/29 | 12.50 |
| 60798 | Credit Suisse AG – Xiaomi R Bull CBBC Jul 2021 D | 100,000,000 | 2020/12/24 | 2021/07/29 | 25.00 |
| 60898 | Goldman Sachs SP (Asia) – BYD Company R Bull CBBC Sep 2021 B | 100,000,000 | 2020/12/24 | 2021/09/29 | 25.00 |
| 60884 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 J | 80,000,000 | 2020/12/24 | 2021/07/29 | 20.00 |
| 60865 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 S | 160,000,000 | 2020/12/24 | 2024/02/28 | 40.00 |
| 60867 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 T | 160,000,000 | 2020/12/24 | 2024/02/28 | 40.00 |
| 60872 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 W | 160,000,000 | 2020/12/24 | 2024/02/28 | 40.00 |
| 60869 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 H | 160,000,000 | 2020/12/24 | 2021/04/29 | 40.00 |
| 60873 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 I | 160,000,000 | 2020/12/24 | 2021/04/29 | 40.00 |
| 60875 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 J | 100,000,000 | 2020/12/24 | 2021/08/27 | 25.00 |
| 60882 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 I | 80,000,000 | 2020/12/24 | 2021/07/29 | 20.00 |
| 60896 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 S | 60,000,000 | 2020/12/24 | 2021/08/27 | 15.00 |
| 60698 | HK Bank – AIA R Bear CBBC Apr 2021 D | 40,000,000 | 2020/12/24 | 2021/04/23 | 10.00 |
| 60709 | HK Bank – BYD Company R Bull CBBC Aug 2021 D | 60,000,000 | 2020/12/24 | 2021/08/09 | 15.00 |
| 60696 | HK Bank – China Life R Bear CBBC Sep 2021 A | 80,000,000 | 2020/12/24 | 2021/09/30 | 20.00 |
| 60672 | HK Bank – HKEX R Bull CBBC Sep 2021 B | 100,000,000 | 2020/12/24 | 2021/09/30 | 25.00 |
| 60710 | HK Bank – HKEX R Bear CBBC Sep 2021 A | 100,000,000 | 2020/12/24 | 2021/09/30 | 25.00 |
| 60693 | HK Bank – HKEX R Bear CBBC Oct 2021 A | 100,000,000 | 2020/12/24 | 2021/10/29 | 25.00 |
| 60625 | HK Bank – HSI R Bull CBBC Sep 2023 T | 80,000,000 | 2020/12/24 | 2023/09/28 | 20.00 |
| 60621 | HK Bank – HSI R Bear CBBC Apr 2021 K | 120,000,000 | 2020/12/24 | 2021/04/29 | 30.00 |
| 60704 | HK Bank – Tencent R Bear CBBC Jul 2021 I | 100,000,000 | 2020/12/24 | 2021/07/30 | 25.00 |
| 60671 | HK Bank – WuXi Bio R Bull CBBC Aug 2021 B | 40,000,000 | 2020/12/24 | 2021/08/16 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60701 | HK Bank – Xiaomi R Bull CBBC Jul 2021 H | 100,000,000 | 2020/12/24 | 2021/07/20 | 25.00 |
| 60699 | HK Bank – Xiaomi R Bear CBBC Aug 2021 C | 40,000,000 | 2020/12/24 | 2021/08/10 | 10.00 |
| 60847 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 G | 200,000,000 | 2020/12/24 | 2023/11/29 | 50.00 |
| 60846 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 Y | 200,000,000 | 2020/12/24 | 2023/11/29 | 50.00 |
| 60841 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60864 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60821 | J P Morgan SP BV – Alibaba Group R Bull CBBC Aug 2021 B | 200,000,000 | 2020/12/24 | 2021/08/13 | 50.00 |
| 60802 | J P Morgan SP BV – CAM CSI300 R Bull CBBC Aug 2021 A | 100,000,000 | 2020/12/24 | 2021/08/20 | 25.00 |
| 60785 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/12/24 | 2024/01/30 | 50.00 |
| 60792 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 S | 200,000,000 | 2020/12/24 | 2024/02/28 | 50.00 |
| 60826 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 C | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60827 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/12/24 | 2021/03/30 | 50.00 |
| 60824 | J P Morgan SP BV – JD.com R Bear CBBC Aug 2021 A | 200,000,000 | 2020/12/24 | 2021/08/13 | 50.00 |
| 60795 | J P Morgan SP BV – Xiaomi R Bull CBBC Aug 2021 C | 200,000,000 | 2020/12/24 | 2021/08/13 | 50.00 |
| 60635 | Morgan Stanley Asia Products – Alibaba R Bear CBBC May 2021E | 40,000,000 | 2020/12/24 | 2021/05/03 | 10.00 |
| 60630 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Jul 2021C | 40,000,000 | 2020/12/24 | 2021/07/02 | 10.00 |
| 60627 | Morgan Stanley Asia Products – Tencent R Bull CBBC Jul 2021B | 40,000,000 | 2020/12/24 | 2021/07/02 | 10.00 |
| 60766 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 T | 80,000,000 | 2020/12/24 | 2021/06/29 | 20.00 |
| 60774 | SG Issuer – HKEX R Bull CBBC Jul 2021 G | 50,000,000 | 2020/12/24 | 2021/07/29 | 12.50 |
| 60761 | SG Issuer – HSI R Bull CBBC Jan 2024 V | 250,000,000 | 2020/12/24 | 2024/01/30 | 62.50 |
| 60754 | SG Issuer – HSI R Bull CBBC Jan 2024 X | 250,000,000 | 2020/12/24 | 2024/01/30 | 62.50 |
| 60760 | SG Issuer – HSI R Bull CBBC Jan 2024 Y | 250,000,000 | 2020/12/24 | 2024/01/30 | 62.50 |
| 60763 | SG Issuer – HSI R Bear CBBC Apr 2021 F | 250,000,000 | 2020/12/24 | 2021/04/29 | 62.50 |
| 60764 | SG Issuer – HSI R Bear CBBC Apr 2021 M | 250,000,000 | 2020/12/24 | 2021/04/29 | 62.50 |
| 60765 | SG Issuer – HSI R Bear CBBC May 2021 E | 250,000,000 | 2020/12/24 | 2021/05/28 | 62.50 |
| 60767 | SG Issuer – JD.com R Bull CBBC Jun 2021 T | 60,000,000 | 2020/12/24 | 2021/06/29 | 15.00 |
| 60776 | SG Issuer – Meituan R Bull CBBC Jul 2021 O | 80,000,000 | 2020/12/24 | 2021/07/29 | 20.00 |
| 60768 | SG Issuer – Tencent R Bull CBBC Jun 2021 I | 80,000,000 | 2020/12/24 | 2021/06/29 | 20.00 |
| 60777 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 G | 60,000,000 | 2020/12/24 | 2021/07/29 | 15.00 |
| 60773 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 L | 60,000,000 | 2020/12/24 | 2021/06/29 | 15.00 |
| 60916 | UBS AG – Alibaba Group R Bull CBBC May 2021 Z | 80,000,000 | 2020/12/24 | 2021/05/31 | 20.00 |
| 60957 | UBS AG – HKEX R Bear CBBC Apr 2021 K | 50,000,000 | 2020/12/24 | 2021/04/30 | 12.50 |
| 60959 | UBS AG – HKEX R Bear CBBC Jul 2021 C | 200,000,000 | 2020/12/24 | 2021/07/30 | 50.00 |
| 60963 | UBS AG – HSBC R Bull CBBC Sep 2021 V | 150,000,000 | 2020/12/24 | 2021/09/27 | 37.50 |
| 60971 | UBS AG – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/12/24 | 2023/12/28 | 50.00 |
| 60973 | UBS AG – HSI R Bull CBBC Dec 2023 W | 200,000,000 | 2020/12/24 | 2023/12/28 | 50.00 |
| 60979 | UBS AG – HSI R Bull CBBC Dec 2023 X | 200,000,000 | 2020/12/24 | 2023/12/28 | 50.00 |
| 60921 | UBS AG – Meituan R Bear CBBC May 2021 Y | 50,000,000 | 2020/12/24 | 2021/05/28 | 12.50 |
| 60912 | UBS AG – Tencent R Bull CBBC Jun 2021 Y | 40,000,000 | 2020/12/24 | 2021/06/30 | 10.00 |
| 60914 | UBS AG – Tencent R Bull CBBC Jun 2021 Z | 40,000,000 | 2020/12/24 | 2021/06/30 | 10.00 |
| 60925 | UBS AG – Xiaomi R Bear CBBC Apr 2021 M | 60,000,000 | 2020/12/24 | 2021/04/30 | 15.00 |
| 60956 | UBS AG – Xiaomi R Bear CBBC Jun 2021 Y | 200,000,000 | 2020/12/24 | 2021/06/21 | 50.00 |
| 60745 | Bank Vontobel – BYD Company R Bull CBBC Nov 2021 G | 60,000,000 | 2020/12/24 | 2021/11/12 | 15.00 |
| 60747 | Bank Vontobel – BYD Company R Bear CBBC May 2021 E | 60,000,000 | 2020/12/24 | 2021/05/21 | 15.00 |
| 60748 | Bank Vontobel – Geely Auto R Bull CBBC Nov 2021 H | 40,000,000 | 2020/12/24 | 2021/11/19 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 60652 | Bank Vontobel – HKEX R Bull CBBC Nov 2021 D | 40,000,000 | 2020/12/24 | 2021/11/12 | 10.00 |
| 60664 | Bank Vontobel – HKEX R Bear CBBC Jul 2021 B | 80,000,000 | 2020/12/24 | 2021/07/09 | 20.00 |
| 60668 | Bank Vontobel – HSI N Bull CBBC Sep 2023 G | 40,000,000 | 2020/12/24 | 2023/09/28 | 10.00 |
| 60752 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 D | 60,000,000 | 2020/12/24 | 2021/11/19 | 15.00 |
| 60641 | Bank Vontobel – Xiaomi R Bull CBBC Dec 2021 A | 40,000,000 | 2020/12/24 | 2021/12/03 | 10.00 |
| 60642 | Bank Vontobel – Xiaomi R Bear CBBC Nov 2021 B | 100,000,000 | 2020/12/24 | 2021/11/19 | 25.00 |
| 61032 | BOCI Asia Ltd. – China Mobile R Bull CBBC Jul 2021 A | 40,000,000 | 2020/12/28 | 2021/07/30 | 10.00 |
| 61007 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 Y | 150,000,000 | 2020/12/28 | 2023/03/30 | 37.50 |
| 61011 | BOCI Asia Ltd. – HSI R Bull CBBC Mar 2023 Z | 150,000,000 | 2020/12/28 | 2023/03/30 | 37.50 |
| 61013 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 L | 150,000,000 | 2020/12/28 | 2021/04/29 | 37.50 |
| 61019 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 M | 150,000,000 | 2020/12/28 | 2021/04/29 | 37.50 |
| 61023 | BOCI Asia Ltd. – HSI R Bear CBBC Apr 2021 N | 150,000,000 | 2020/12/28 | 2021/04/29 | 37.50 |
| 61033 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 O | 200,000,000 | 2020/12/28 | 2023/09/28 | 50.00 |
| 61041 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 P | 200,000,000 | 2020/12/28 | 2023/09/28 | 50.00 |
| 61046 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 Q | 200,000,000 | 2020/12/28 | 2023/09/28 | 50.00 |
| 61420 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 T | 190,000,000 | 2020/12/28 | 2023/09/28 | 47.50 |
| 61040 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 J | 200,000,000 | 2020/12/28 | 2021/04/29 | 50.00 |
| 61422 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 K | 250,000,000 | 2020/12/28 | 2021/04/29 | 62.50 |
| 61427 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 L | 240,000,000 | 2020/12/28 | 2021/04/29 | 60.00 |
| 61477 | Credit Suisse AG – BYD Company R Bull CBBC Sep 2021 H | 100,000,000 | 2020/12/28 | 2021/09/29 | 25.00 |
| 61476 | Credit Suisse AG – Galaxy Ent R Bear CBBC Jul 2021 A | 50,000,000 | 2020/12/28 | 2021/07/29 | 12.50 |
| 61455 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 A | 200,000,000 | 2020/12/28 | 2023/09/28 | 50.00 |
| 61454 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 Z | 200,000,000 | 2020/12/28 | 2023/09/28 | 50.00 |
| 61456 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/12/28 | 2023/12/28 | 50.00 |
| 61489 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 T | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61491 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61495 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61217 | Guotai Junan – HSI R Bear CBBC Apr 2021 E | 200,000,000 | 2020/12/28 | 2021/04/29 | 50.00 |
| 61451 | Goldman Sachs SP (Asia) – AIA R Bear CBBC Jun 2021 B | 60,000,000 | 2020/12/28 | 2021/06/30 | 15.00 |
| 61450 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Jul 2021 K | 80,000,000 | 2020/12/28 | 2021/07/29 | 20.00 |
| 61448 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 H | 160,000,000 | 2020/12/28 | 2024/02/28 | 40.00 |
| 61444 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 X | 160,000,000 | 2020/12/28 | 2024/02/28 | 40.00 |
| 61446 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Y | 160,000,000 | 2020/12/28 | 2024/02/28 | 40.00 |
| 61449 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 C | 160,000,000 | 2020/12/28 | 2021/04/29 | 40.00 |
| 61442 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 M | 160,000,000 | 2020/12/28 | 2021/04/29 | 40.00 |
| 61453 | Goldman Sachs SP (Asia) – Ping An R Bull CBBC Jul 2021 J | 80,000,000 | 2020/12/28 | 2021/07/29 | 20.00 |
| 60985 | HK Bank – BYD Company R Bull CBBC Jul 2021 E | 60,000,000 | 2020/12/28 | 2021/07/30 | 15.00 |
| 60981 | HK Bank – BYD Company R Bear CBBC Jul 2021 B | 40,000,000 | 2020/12/28 | 2021/07/30 | 10.00 |
| 60996 | HK Bank – CC Bank R Bear CBBC Jun 2021 A | 40,000,000 | 2020/12/28 | 2021/06/07 | 10.00 |
| 60991 | HK Bank – Geely Auto R Bear CBBC Aug 2021 B | 40,000,000 | 2020/12/28 | 2021/08/02 | 10.00 |
| 60990 | HK Bank – HSI R Bear CBBC Apr 2021 I | 80,000,000 | 2020/12/28 | 2021/04/29 | 20.00 |
| 61053 | HK Bank – HSI R Bear CBBC Apr 2021 L | 100,000,000 | 2020/12/28 | 2021/04/29 | 25.00 |
| 60993 | HK Bank – ICBC R Bear CBBC Sep 2021 B | 40,000,000 | 2020/12/28 | 2021/09/17 | 10.00 |
| 60997 | HK Bank – Weimob R Bull CBBC May 2021 A | 40,000,000 | 2020/12/28 | 2021/05/31 | 10.00 |
| 61438 | Haitong Int'l Sec – BYD Company R Bull CBBC Aug 2021 B | 100,000,000 | 2020/12/28 | 2021/08/23 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 61428 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 C | 200,000,000 | 2020/12/28 | 2023/11/29 | 50.00 |
| 61431 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61439 | Haitong Int'l Sec – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61436 | Haitong Int'l Sec – Tencent R Bull CBBC Mar 2021 K | 60,000,000 | 2020/12/28 | 2021/03/30 | 15.00 |
| 61437 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jun 2021 F | 100,000,000 | 2020/12/28 | 2021/06/30 | 25.00 |
| 61285 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 H | 200,000,000 | 2020/12/28 | 2024/01/30 | 50.00 |
| 61281 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 V | 200,000,000 | 2020/12/28 | 2024/02/28 | 50.00 |
| 61288 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 B | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61279 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 P | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61291 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61258 | Morgan Stanley Asia Products – HSI R Bull CBBC Oct 2023 J | 100,000,000 | 2020/12/28 | 2023/10/30 | 25.00 |
| 61278 | Morgan Stanley Asia Products – HSI R Bear CBBC Mar 2021 U | 100,000,000 | 2020/12/28 | 2021/03/30 | 25.00 |
| 61243 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 R | 100,000,000 | 2020/12/28 | 2021/04/29 | 25.00 |
| 61344 | SG Issuer – AAC Tech R Bear CBBC Jul 2021 B | 100,000,000 | 2020/12/28 | 2021/07/29 | 25.00 |
| 61329 | SG Issuer – Alibaba Group R Bull CBBC May 2021 A | 80,000,000 | 2020/12/28 | 2021/05/31 | 20.00 |
| 61345 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 L | 80,000,000 | 2020/12/28 | 2021/07/30 | 20.00 |
| 61387 | SG Issuer – Alibaba Group R Bear CBBC Apr 2021 H | 80,000,000 | 2020/12/28 | 2021/04/30 | 20.00 |
| 61328 | SG Issuer – CAM CSI300 R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/28 | 2021/09/30 | 25.00 |
| 61346 | SG Issuer – BYD Company R Bull CBBC Sep 2021 F | 120,000,000 | 2020/12/28 | 2021/09/30 | 30.00 |
| 61332 | SG Issuer – BYD Company R Bull CBBC Nov 2021 A | 120,000,000 | 2020/12/28 | 2021/11/29 | 30.00 |
| 61347 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 P | 150,000,000 | 2020/12/28 | 2021/07/30 | 37.50 |
| 61337 | SG Issuer – HKEX R Bull CBBC May 2021 N | 50,000,000 | 2020/12/28 | 2021/05/31 | 12.50 |
| 61354 | SG Issuer – HKEX R Bull CBBC Sep 2021 H | 200,000,000 | 2020/12/28 | 2021/09/29 | 50.00 |
| 61363 | SG Issuer – HSBC R Bear CBBC Jun 2021 I | 100,000,000 | 2020/12/28 | 2021/06/30 | 25.00 |
| 61310 | SG Issuer – HSI R Bull CBBC Sep 2023 X | 250,000,000 | 2020/12/28 | 2023/09/28 | 62.50 |
| 61300 | SG Issuer – HSI R Bull CBBC Jan 2024 P | 250,000,000 | 2020/12/28 | 2024/01/30 | 62.50 |
| 61316 | SG Issuer – HSI R Bull CBBC Feb 2024 D | 250,000,000 | 2020/12/28 | 2024/02/28 | 62.50 |
| 61297 | SG Issuer – HSI R Bull CBBC Feb 2024 G | 250,000,000 | 2020/12/28 | 2024/02/28 | 62.50 |
| 61311 | SG Issuer – HSI R Bull CBBC Feb 2024 H | 250,000,000 | 2020/12/28 | 2024/02/28 | 62.50 |
| 61301 | SG Issuer – HSI R Bear CBBC Mar 2021 F | 250,000,000 | 2020/12/28 | 2021/03/30 | 62.50 |
| 61302 | SG Issuer – HSI R Bear CBBC Mar 2021 H | 250,000,000 | 2020/12/28 | 2021/03/30 | 62.50 |
| 61303 | SG Issuer – HSI R Bear CBBC Apr 2021 Q | 250,000,000 | 2020/12/28 | 2021/04/29 | 62.50 |
| 61365 | SG Issuer – Meituan R Bull CBBC Apr 2021 E | 80,000,000 | 2020/12/28 | 2021/04/30 | 20.00 |
| 61388 | SG Issuer – Meituan R Bull CBBC Apr 2021 H | 80,000,000 | 2020/12/28 | 2021/04/30 | 20.00 |
| 61339 | SG Issuer – Meituan R Bear CBBC Jul 2021 G | 80,000,000 | 2020/12/28 | 2021/07/30 | 20.00 |
| 61366 | SG Issuer – Ping An R Bull CBBC Sep 2021 G | 100,000,000 | 2020/12/28 | 2021/09/30 | 25.00 |
| 61385 | SG Issuer – Tencent R Bull CBBC Jun 2021 N | 80,000,000 | 2020/12/28 | 2021/06/30 | 20.00 |
| 61399 | SG Issuer – Tencent R Bull CBBC Jun 2021 O | 80,000,000 | 2020/12/28 | 2021/06/30 | 20.00 |
| 61394 | SG Issuer – Tencent R Bear CBBC Apr 2021 G | 80,000,000 | 2020/12/28 | 2021/04/30 | 20.00 |
| 61386 | SG Issuer – Xiaomi R Bull CBBC Apr 2021 E | 60,000,000 | 2020/12/28 | 2021/04/30 | 15.00 |
| 61342 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 H | 200,000,000 | 2020/12/28 | 2021/07/30 | 50.00 |
| 61173 | UBS AG – AAC Tech R Bear CBBC Jul 2021 A | 60,000,000 | 2020/12/28 | 2021/07/30 | 15.00 |
| 61160 | UBS AG – Alibaba Group R Bull CBBC May 2021 B | 80,000,000 | 2020/12/28 | 2021/05/31 | 20.00 |
| 61193 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 R | 80,000,000 | 2020/12/28 | 2021/06/28 | 20.00 |
| 61166 | UBS AG – Ali Health R Bear CBBC Jun 2021 A | 100,000,000 | 2020/12/28 | 2021/06/30 | 25.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61167 | UBS AG – Ali Health R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/28 | 2021/08/30 | 25.00 |
| 61073 | UBS AG – BYD Company R Bull CBBC Oct 2021 D | 150,000,000 | 2020/12/28 | 2021/10/25 | 37.50 |
| 61076 | UBS AG – BYD Company R Bull CBBC Oct 2021 E | 150,000,000 | 2020/12/28 | 2021/10/29 | 37.50 |
| 61081 | UBS AG – BYD Company R Bull CBBC Oct 2021 F | 150,000,000 | 2020/12/28 | 2021/10/29 | 37.50 |
| 61083 | UBS AG – BYD Company R Bear CBBC Jul 2021 E | 150,000,000 | 2020/12/28 | 2021/07/30 | 37.50 |
| 61084 | UBS AG – BYD Company R Bear CBBC Jul 2021 F | 150,000,000 | 2020/12/28 | 2021/07/30 | 37.50 |
| 61097 | UBS AG – BYD Company R Bear CBBC Jul 2021 G | 150,000,000 | 2020/12/28 | 2021/07/30 | 37.50 |
| 61172 | UBS AG – CC Bank R Bear CBBC Aug 2021 B | 100,000,000 | 2020/12/28 | 2021/08/30 | 25.00 |
| 61165 | UBS AG – Galaxy Ent R Bear CBBC Jun 2021 C | 50,000,000 | 2020/12/28 | 2021/06/30 | 12.50 |
| 61061 | UBS AG – HKEX R Bull CBBC Jul 2021 E | 50,000,000 | 2020/12/28 | 2021/07/30 | 12.50 |
| 61064 | UBS AG – HKEX R Bull CBBC Jul 2021 F | 200,000,000 | 2020/12/28 | 2021/07/30 | 50.00 |
| 61065 | UBS AG – HKEX R Bull CBBC Jul 2021 G | 50,000,000 | 2020/12/28 | 2021/07/30 | 12.50 |
| 61070 | UBS AG – HKEX R Bull CBBC Jul 2021 H | 200,000,000 | 2020/12/28 | 2021/07/30 | 50.00 |
| 61057 | UBS AG – HSBC R Bear CBBC Sep 2021 D | 100,000,000 | 2020/12/28 | 2021/09/27 | 25.00 |
| 61184 | UBS AG – HSI R Bull CBBC Dec 2023 F | 400,000,000 | 2020/12/28 | 2023/12/28 | 100.00 |
| 61186 | UBS AG – HSI R Bull CBBC Dec 2023 G | 400,000,000 | 2020/12/28 | 2023/12/28 | 100.00 |
| 61179 | UBS AG – HSI R Bull CBBC Dec 2023 H | 400,000,000 | 2020/12/28 | 2023/12/28 | 100.00 |
| 61183 | UBS AG – HSI R Bull CBBC Dec 2023 I | 200,000,000 | 2020/12/28 | 2023/12/28 | 50.00 |
| 61200 | UBS AG – HSI R Bull CBBC Dec 2023 J | 200,000,000 | 2020/12/28 | 2023/12/28 | 50.00 |
| 61177 | UBS AG – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/12/28 | 2023/12/28 | 50.00 |
| 61178 | UBS AG – HSI R Bull CBBC Dec 2023 U | 400,000,000 | 2020/12/28 | 2023/12/28 | 100.00 |
| 61182 | UBS AG – HSI R Bull CBBC Dec 2023 V | 200,000,000 | 2020/12/28 | 2023/12/28 | 50.00 |
| 61125 | UBS AG – HSI R Bull CBBC Dec 2023 Y | 200,000,000 | 2020/12/28 | 2023/12/28 | 50.00 |
| 61128 | UBS AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61135 | UBS AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61132 | UBS AG – HSI R Bear CBBC Mar 2021 F | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61203 | UBS AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61134 | UBS AG – HSI R Bear CBBC Mar 2021 H | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61211 | UBS AG – HSI R Bear CBBC Mar 2021 J | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61140 | UBS AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61213 | UBS AG – HSI R Bear CBBC Mar 2021 L | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61214 | UBS AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/12/28 | 2021/03/30 | 50.00 |
| 61141 | UBS AG – HSI R Bear CBBC Jun 2021 C | 400,000,000 | 2020/12/28 | 2021/06/29 | 100.00 |
| 61149 | UBS AG – HSI R Bear CBBC Jun 2021 D | 400,000,000 | 2020/12/28 | 2021/06/29 | 100.00 |
| 61150 | UBS AG – HSI R Bear CBBC Jun 2021 E | 400,000,000 | 2020/12/28 | 2021/06/29 | 100.00 |
| 61159 | UBS AG – Meituan R Bull CBBC May 2021 D | 50,000,000 | 2020/12/28 | 2021/05/31 | 12.50 |
| 61174 | UBS AG – Sunny Optical R Bear CBBC Jun 2021 B | 40,000,000 | 2020/12/28 | 2021/06/30 | 10.00 |
| 61158 | UBS AG – Tencent R Bull CBBC Jun 2021 A | 40,000,000 | 2020/12/28 | 2021/06/30 | 10.00 |
| 61119 | UBS AG – Tencent R Bear CBBC Jun 2021 Z | 40,000,000 | 2020/12/28 | 2021/06/30 | 10.00 |
| 61161 | UBS AG – Xiaomi R Bull CBBC Jun 2021 T | 60,000,000 | 2020/12/28 | 2021/06/30 | 15.00 |
| 61109 | UBS AG – Xiaomi R Bear CBBC Jun 2021 Z | 60,000,000 | 2020/12/28 | 2021/06/30 | 15.00 |
| 61162 | UBS AG – Xiaomi R Bear CBBC Jul 2021 F | 200,000,000 | 2020/12/28 | 2021/07/29 | 50.00 |
| 61235 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 I | 40,000,000 | 2020/12/28 | 2021/11/19 | 10.00 |
| 61234 | Bank Vontobel – HSI R Bull CBBC Sep 2023 I | 80,000,000 | 2020/12/28 | 2023/09/28 | 20.00 |
| 61231 | Bank Vontobel – HSI R Bear CBBC Mar 2021 H | 80,000,000 | 2020/12/28 | 2021/03/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61410 | Bank Vontobel – HSI R Bear CBBC Mar 2021 J | 80,000,000 | 2020/12/28 | 2021/03/30 | 20.00 |
| 61229 | Bank Vontobel – HSI R Bear CBBC Mar 2021 L | 80,000,000 | 2020/12/28 | 2021/03/30 | 20.00 |
| 61417 | Bank Vontobel – HSI R Bear CBBC Mar 2021 M | 80,000,000 | 2020/12/28 | 2021/03/30 | 20.00 |
| 61418 | Bank Vontobel – Wuxi Bio R Bull CBBC Dec 2021 A | 40,000,000 | 2020/12/28 | 2021/12/10 | 10.00 |
| 61539 | BOCI Asia Ltd. – AAC Tech R Bull CBBC Jul 2021 A | 80,000,000 | 2020/12/29 | 2021/07/30 | 20.00 |
| 61543 | BOCI Asia Ltd. – JD.com R Bull CBBC Sep 2021 I | 40,000,000 | 2020/12/29 | 2021/09/30 | 10.00 |
| 61542 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 F | 40,000,000 | 2020/12/29 | 2021/06/30 | 10.00 |
| 61523 | BOCI Asia Ltd. – Xiaomi R Bull CBBC Jun 2021 C | 40,000,000 | 2020/12/29 | 2021/06/30 | 10.00 |
| 61530 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Jun 2021 C | 150,000,000 | 2020/12/29 | 2021/06/30 | 37.50 |
| 61828 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 U | 200,000,000 | 2020/12/29 | 2021/12/30 | 50.00 |
| 61849 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 O | 200,000,000 | 2020/12/29 | 2021/09/29 | 50.00 |
| 61853 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 U | 200,000,000 | 2020/12/29 | 2021/09/29 | 50.00 |
| 61861 | BNP Paribas Issuance B.V. – HKEX R Bull CBBC Sep 2021 Y | 200,000,000 | 2020/12/29 | 2021/09/29 | 50.00 |
| 61521 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 U | 200,000,000 | 2020/12/29 | 2023/09/28 | 50.00 |
| 61628 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 V | 200,000,000 | 2020/12/29 | 2023/09/28 | 50.00 |
| 61640 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 M | 250,000,000 | 2020/12/29 | 2021/04/29 | 62.50 |
| 61829 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 N | 200,000,000 | 2020/12/29 | 2021/09/29 | 50.00 |
| 61847 | BNP Paribas Issuance B.V. – JD.com R Bull CBBC Sep 2021 O | 200,000,000 | 2020/12/29 | 2021/09/29 | 50.00 |
| 61659 | BNP Paribas Issuance B.V. – Meituan R Bull CBBC Jun 2021 D | 200,000,000 | 2020/12/29 | 2021/06/29 | 50.00 |
| 61666 | BNP Paribas Issuance B.V. – Meituan R Bear CBBC Nov 2021 G | 200,000,000 | 2020/12/29 | 2021/11/29 | 50.00 |
| 61672 | BNP Paribas Issuance B.V. – Tencent R Bear CBBC Dec 2021 V | 250,000,000 | 2020/12/29 | 2021/12/30 | 62.50 |
| 61648 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 J | 250,000,000 | 2020/12/29 | 2021/11/29 | 62.50 |
| 61649 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 K | 250,000,000 | 2020/12/29 | 2021/11/29 | 62.50 |
| 61650 | BNP Paribas Issuance B.V. – Xiaomi R Bull CBBC Nov 2021 U | 250,000,000 | 2020/12/29 | 2021/11/29 | 62.50 |
| 61651 | BNP Paribas Issuance B.V. – Xiaomi R Bear CBBC Nov 2021 N | 250,000,000 | 2020/12/29 | 2021/11/29 | 62.50 |
| 61720 | Credit Suisse AG – Ali Health R Bull CBBC Jun 2021 C | 50,000,000 | 2020/12/29 | 2021/06/29 | 12.50 |
| 61721 | Credit Suisse AG – China Life R Bull CBBC Sep 2021 C | 80,000,000 | 2020/12/29 | 2021/09/29 | 20.00 |
| 61719 | Credit Suisse AG – Geely Auto R Bull CBBC Sep 2021 F | 100,000,000 | 2020/12/29 | 2021/09/29 | 25.00 |
| 61744 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 F | 200,000,000 | 2020/12/29 | 2023/09/28 | 50.00 |
| 61755 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 G | 200,000,000 | 2020/12/29 | 2023/09/28 | 50.00 |
| 61742 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 X | 200,000,000 | 2020/12/29 | 2023/09/28 | 50.00 |
| 61711 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 D | 200,000,000 | 2020/12/29 | 2021/03/30 | 50.00 |
| 61713 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/29 | 2021/03/30 | 50.00 |
| 61757 | Credit Suisse AG – HSTECH R Bull CBBC Dec 2021 H | 100,000,000 | 2020/12/29 | 2021/12/30 | 25.00 |
| 61760 | Credit Suisse AG – HSTECH R Bear CBBC Apr 2021 C | 100,000,000 | 2020/12/29 | 2021/04/29 | 25.00 |
| 61734 | Credit Suisse AG – JD.com R Bull CBBC Jun 2021 B | 100,000,000 | 2020/12/29 | 2021/06/29 | 25.00 |
| 61731 | Credit Suisse AG – Meituan R Bull CBBC Jun 2021 B | 100,000,000 | 2020/12/29 | 2021/06/29 | 25.00 |
| 61724 | Credit Suisse AG – Xiaomi R Bull CBBC Jun 2021 E | 100,000,000 | 2020/12/29 | 2021/06/29 | 25.00 |
| 61725 | Credit Suisse AG – Xiaomi R Bear CBBC Oct 2021 A | 100,000,000 | 2020/12/29 | 2021/10/28 | 25.00 |
| 61865 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 K | 160,000,000 | 2020/12/29 | 2024/02/28 | 40.00 |
| 61881 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 M | 160,000,000 | 2020/12/29 | 2024/02/28 | 40.00 |
| 61899 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 R | 160,000,000 | 2020/12/29 | 2024/02/28 | 40.00 |
| 61873 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 V | 160,000,000 | 2020/12/29 | 2021/03/30 | 40.00 |
| 61892 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 E | 160,000,000 | 2020/12/29 | 2021/04/29 | 40.00 |
| 61877 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 W | 160,000,000 | 2020/12/29 | 2021/04/29 | 40.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61914 | Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2021 A | 160,000,000 | 2020/12/29 | 2021/05/28 | 40.00 |
| 61923 | Goldman Sachs SP (Asia) – JD.com R Bull CBBC Aug 2021 K | 100,000,000 | 2020/12/29 | 2021/08/27 | 25.00 |
| 61928 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 F | 100,000,000 | 2020/12/29 | 2021/08/27 | 25.00 |
| 61932 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 J | 100,000,000 | 2020/12/29 | 2021/08/27 | 25.00 |
| 61934 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 W | 100,000,000 | 2020/12/29 | 2021/08/27 | 25.00 |
| 61937 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 E | 100,000,000 | 2020/12/29 | 2021/06/30 | 25.00 |
| 61941 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 F | 100,000,000 | 2020/12/29 | 2021/06/30 | 25.00 |
| 61511 | HK Bank – Alibaba Group R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/29 | 2021/08/09 | 25.00 |
| 61502 | HK Bank – Geely Auto R Bull CBBC Jul 2021 G | 60,000,000 | 2020/12/29 | 2021/07/30 | 15.00 |
| 61504 | HK Bank – HKEX R Bull CBBC Jul 2021 F | 100,000,000 | 2020/12/29 | 2021/07/19 | 25.00 |
| 61496 | HK Bank – HSI R Bear CBBC Apr 2021 M | 80,000,000 | 2020/12/29 | 2021/04/29 | 20.00 |
| 61500 | HK Bank – HSI R Bear CBBC Apr 2021 S | 120,000,000 | 2020/12/29 | 2021/04/29 | 30.00 |
| 61501 | HK Bank – HSTECH R Bull CBBC Apr 2021 A | 100,000,000 | 2020/12/29 | 2021/04/29 | 25.00 |
| 61507 | HK Bank – JD.com R Bull CBBC Jul 2021 E | 100,000,000 | 2020/12/29 | 2021/07/26 | 25.00 |
| 61546 | HK Bank – JD.com R Bull CBBC Aug 2021 F | 100,000,000 | 2020/12/29 | 2021/08/09 | 25.00 |
| 61553 | HK Bank – Meituan R Bull CBBC Aug 2021 C | 100,000,000 | 2020/12/29 | 2021/08/16 | 25.00 |
| 61555 | HK Bank – Tencent R Bull CBBC Aug 2021 G | 100,000,000 | 2020/12/29 | 2021/08/16 | 25.00 |
| 61515 | HK Bank – Tencent R Bear CBBC Aug 2021 B | 100,000,000 | 2020/12/29 | 2021/08/09 | 25.00 |
| 61552 | HK Bank – Xiaomi R Bull CBBC Aug 2021 G | 100,000,000 | 2020/12/29 | 2021/08/03 | 25.00 |
| 61710 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 R | 200,000,000 | 2020/12/29 | 2023/12/28 | 50.00 |
| 61688 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 S | 200,000,000 | 2020/12/29 | 2021/04/29 | 50.00 |
| 61709 | Haitong Int'l Sec – HSI R Bear CBBC Apr 2021 T | 200,000,000 | 2020/12/29 | 2021/04/29 | 50.00 |
| 61705 | Haitong Int'l Sec – JD.com R Bull CBBC Apr 2021 B | 60,000,000 | 2020/12/29 | 2021/04/28 | 15.00 |
| 61706 | Haitong Int'l Sec – JD.com R Bear CBBC Mar 2021 A | 60,000,000 | 2020/12/29 | 2021/03/30 | 15.00 |
| 61698 | Haitong Int'l Sec – Meituan R Bull CBBC Apr 2021 E | 60,000,000 | 2020/12/29 | 2021/04/28 | 15.00 |
| 61694 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jun 2021 H | 100,000,000 | 2020/12/29 | 2021/06/25 | 25.00 |
| 61692 | Haitong Int'l Sec – Xiaomi R Bull CBBC Jul 2021 E | 100,000,000 | 2020/12/29 | 2021/07/28 | 25.00 |
| 61697 | Haitong Int'l Sec – Xiaomi R Bear CBBC Aug 2021 A | 100,000,000 | 2020/12/29 | 2021/08/25 | 25.00 |
| 61775 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 N | 200,000,000 | 2020/12/29 | 2024/02/28 | 50.00 |
| 61799 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 W | 200,000,000 | 2020/12/29 | 2024/02/28 | 50.00 |
| 61762 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 A | 200,000,000 | 2020/12/29 | 2021/03/30 | 50.00 |
| 61788 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 U | 200,000,000 | 2020/12/29 | 2021/03/30 | 50.00 |
| 61764 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 N | 200,000,000 | 2020/12/29 | 2022/04/28 | 50.00 |
| 61769 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 I | 200,000,000 | 2020/12/29 | 2021/06/29 | 50.00 |
| 61770 | J P Morgan SP BV – HSTECH R Bear CBBC Sep 2021 B | 200,000,000 | 2020/12/29 | 2021/09/29 | 50.00 |
| 61780 | J P Morgan SP BV – JD.com R Bull CBBC Aug 2021 D | 200,000,000 | 2020/12/29 | 2021/08/20 | 50.00 |
| 61801 | J P Morgan SP BV – Meituan R Bull CBBC Aug 2021 B | 200,000,000 | 2020/12/29 | 2021/08/13 | 50.00 |
| 61776 | J P Morgan SP BV – Tencent R Bull CBBC Aug 2021 A | 200,000,000 | 2020/12/29 | 2021/08/20 | 50.00 |
| 61778 | J P Morgan SP BV – Xiaomi R Bull CBBC Aug 2021 D | 200,000,000 | 2020/12/29 | 2021/08/13 | 50.00 |
| 61779 | J P Morgan SP BV – Xiaomi R Bear CBBC Aug 2021 A | 200,000,000 | 2020/12/29 | 2021/08/13 | 50.00 |
| 61804 | Morgan Stanley Asia Products – HSI R Bull CBBC Nov 2023 W | 100,000,000 | 2020/12/29 | 2023/11/29 | 25.00 |
| 61518 | Morgan Stanley Asia Products – HSTECH R Bull CBBC Jul 2021 B | 68,000,000 | 2020/12/29 | 2021/07/29 | 17.00 |
| 61813 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Apr 2021 G | 40,000,000 | 2020/12/29 | 2021/04/29 | 10.00 |
| 61812 | Morgan Stanley Asia Products – HSTECH R Bear CBBC May 2021 E | 40,000,000 | 2020/12/29 | 2021/05/28 | 10.00 |
| 61807 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Jun 2021 C | 40,000,000 | 2020/12/29 | 2021/06/29 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61806 | Morgan Stanley Asia Products – HSTECH R Bear CBBC Sep 2021 B | 68,000,000 | 2020/12/29 | 2021/09/29 | 17.00 |
| 61990 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 U | 80,000,000 | 2020/12/29 | 2021/06/30 | 20.00 |
| 61997 | SG Issuer – BYD Company R Bull CBBC Aug 2021 E | 120,000,000 | 2020/12/29 | 2021/08/31 | 30.00 |
| 62002 | SG Issuer – China Life R Bull CBBC Jul 2021 J | 40,000,000 | 2020/12/29 | 2021/07/30 | 10.00 |
| 62030 | SG Issuer – Geely Auto R Bull CBBC Jun 2021 G | 40,000,000 | 2020/12/29 | 2021/06/30 | 10.00 |
| 62006 | SG Issuer – HKEX R Bear CBBC Jun 2021 C | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.00 |
| 62020 | SG Issuer – HSBC R Bull CBBC Dec 2021 H | 100,000,000 | 2020/12/29 | 2021/12/30 | 25.00 |
| 61972 | SG Issuer – HSI R Bull CBBC Feb 2024 L | 250,000,000 | 2020/12/29 | 2024/02/28 | 62.50 |
| 61976 | SG Issuer – HSI R Bull CBBC Feb 2024 M | 250,000,000 | 2020/12/29 | 2024/02/28 | 62.50 |
| 61958 | SG Issuer – HSI R Bear CBBC Mar 2021 I | 250,000,000 | 2020/12/29 | 2021/03/30 | 62.50 |
| 61957 | SG Issuer – HSI R Bear CBBC Apr 2021 A | 250,000,000 | 2020/12/29 | 2021/04/29 | 62.50 |
| 61967 | SG Issuer – HSI R Bear CBBC Apr 2021 G | 250,000,000 | 2020/12/29 | 2021/04/29 | 62.50 |
| 61947 | SG Issuer – HSI R Bear CBBC Apr 2021 V | 250,000,000 | 2020/12/29 | 2021/04/29 | 62.50 |
| 61970 | SG Issuer – HSI R Bear CBBC May 2021 Q | 250,000,000 | 2020/12/29 | 2021/05/28 | 62.50 |
| 61952 | SG Issuer – HSI R Bear CBBC Jun 2021 H | 250,000,000 | 2020/12/29 | 2021/06/29 | 62.50 |
| 61987 | SG Issuer – HSTECH R Bull CBBC Oct 2021 M | 100,000,000 | 2020/12/29 | 2021/10/28 | 25.00 |
| 61989 | SG Issuer – HSTECH R Bull CBBC Nov 2021 C | 100,000,000 | 2020/12/29 | 2021/11/29 | 25.00 |
| 61979 | SG Issuer – HSTECH R Bear CBBC Apr 2021 E | 100,000,000 | 2020/12/29 | 2021/04/29 | 25.00 |
| 61981 | SG Issuer – HSTECH R Bear CBBC Jun 2021 C | 100,000,000 | 2020/12/29 | 2021/06/29 | 25.00 |
| 62032 | SG Issuer – JD.com R Bull CBBC Jun 2021 U | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.00 |
| 62033 | SG Issuer – Meituan R Bull CBBC Jun 2021 Y | 80,000,000 | 2020/12/29 | 2021/06/30 | 20.00 |
| 62036 | SG Issuer – Meituan R Bull CBBC Jul 2021 P | 200,000,000 | 2020/12/29 | 2021/07/30 | 50.00 |
| 62021 | SG Issuer – Tencent R Bull CBBC May 2021 M | 80,000,000 | 2020/12/29 | 2021/05/31 | 20.00 |
| 62041 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 R | 60,000,000 | 2020/12/29 | 2021/06/30 | 15.00 |
| 62047 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 I | 200,000,000 | 2020/12/29 | 2021/07/30 | 50.00 |
| 62022 | SG Issuer – Xiaomi R Bear CBBC Jun 2021 M | 200,000,000 | 2020/12/29 | 2021/06/29 | 50.00 |
| 62023 | SG Issuer – Xiaomi R Bear CBBC Jul 2021 F | 200,000,000 | 2020/12/29 | 2021/07/29 | 50.00 |
| 61592 | UBS AG – BYD Company R Bull CBBC Oct 2021 G | 150,000,000 | 2020/12/29 | 2021/10/25 | 37.50 |
| 61593 | UBS AG – BYD Company R Bull CBBC Oct 2021 H | 150,000,000 | 2020/12/29 | 2021/10/29 | 37.50 |
| 61595 | UBS AG – BYD Company R Bull CBBC Oct 2021 I | 150,000,000 | 2020/12/29 | 2021/10/25 | 37.50 |
| 61582 | UBS AG – China Life R Bull CBBC Dec 2021 C | 150,000,000 | 2020/12/29 | 2021/12/30 | 37.50 |
| 61585 | UBS AG – Geely Auto R Bull CBBC Aug 2021 L | 100,000,000 | 2020/12/29 | 2021/08/23 | 25.00 |
| 61558 | UBS AG – Geely Auto R Bear CBBC Aug 2021 F | 100,000,000 | 2020/12/29 | 2021/08/30 | 25.00 |
| 61591 | UBS AG – HKEX R Bull CBBC Jul 2021 I | 50,000,000 | 2020/12/29 | 2021/07/30 | 12.50 |
| 61613 | UBS AG – HSI R Bull CBBC Oct 2023 F | 200,000,000 | 2020/12/29 | 2023/10/30 | 50.00 |
| 61620 | UBS AG – HSI R Bull CBBC Oct 2023 O | 200,000,000 | 2020/12/29 | 2023/10/30 | 50.00 |
| 61583 | UBS AG – HSI R Bear CBBC Mar 2021 O | 200,000,000 | 2020/12/29 | 2021/03/30 | 50.00 |
| 61603 | UBS AG – HSI R Bear CBBC Mar 2021 Q | 200,000,000 | 2020/12/29 | 2021/03/30 | 50.00 |
| 61569 | UBS AG – ICBC R Bull CBBC Aug 2021 E | 100,000,000 | 2020/12/29 | 2021/08/20 | 25.00 |
| 61625 | UBS AG – JD.com R Bull CBBC Jun 2021 O | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.00 |
| 61567 | UBS AG – Sino Biopharm R Bull CBBC Jul 2021 A | 40,000,000 | 2020/12/29 | 2021/07/30 | 10.00 |
| 61568 | UBS AG – Sino Biopharm R Bull CBBC Jul 2021 B | 40,000,000 | 2020/12/29 | 2021/07/30 | 10.00 |
| 61559 | UBS AG – Tencent R Bull CBBC Jun 2021 E | 40,000,000 | 2020/12/29 | 2021/06/30 | 10.00 |
| 61606 | UBS AG – Xiaomi R Bull CBBC Jun 2021 U | 60,000,000 | 2020/12/29 | 2021/06/30 | 15.00 |
| 61611 | UBS AG – Xiaomi R Bull CBBC Jun 2021 V | 200,000,000 | 2020/12/29 | 2021/06/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 61580 | UBS AG – Xiaomi R Bear CBBC Jun 2021 A | 60,000,000 | 2020/12/29 | 2021/06/30 | 15.00 |
| 62053 | Bank Vontobel – China Mobile R Bull CBBC Nov 2021 E | 40,000,000 | 2020/12/29 | 2021/11/26 | 10.00 |
| 62079 | Bank Vontobel – China Mobile R Bull CBBC Dec 2021 A | 40,000,000 | 2020/12/29 | 2021/12/03 | 10.00 |
| 62051 | Bank Vontobel – China Mobile R Bear CBBC Jul 2021 A | 40,000,000 | 2020/12/29 | 2021/07/09 | 10.00 |
| 61679 | Bank Vontobel – HSI R Bull CBBC Sep 2023 J | 80,000,000 | 2020/12/29 | 2023/09/28 | 20.00 |
| 61682 | Bank Vontobel – Meituan R Bull CBBC Dec 2021 A | 60,000,000 | 2020/12/29 | 2021/12/03 | 15.00 |
| 62049 | Bank Vontobel – SMIC R Bear CBBC Jun 2021 G | 40,000,000 | 2020/12/29 | 2021/06/18 | 10.00 |
| 61687 | Bank Vontobel – Weimob R Bull CBBC Nov 2021 C | 40,000,000 | 2020/12/29 | 2021/11/19 | 10.00 |
| 61684 | Bank Vontobel – Xiaomi R Bull CBBC Nov 2021 Y | 40,000,000 | 2020/12/29 | 2021/11/12 | 10.00 |
| 61686 | Bank Vontobel – Xiaomi R Bear CBBC Nov 2021 C | 100,000,000 | 2020/12/29 | 2021/11/26 | 25.00 |
| 62147 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 I | 200,000,000 | 2020/12/30 | 2021/11/29 | 50.00 |
| 62157 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 J | 200,000,000 | 2020/12/30 | 2021/11/29 | 50.00 |
| 62210 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Nov 2021 K | 200,000,000 | 2020/12/30 | 2021/11/29 | 50.00 |
| 62151 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 V | 200,000,000 | 2020/12/30 | 2021/12/30 | 50.00 |
| 62162 | BNP Paribas Issuance B.V. – Alibaba R Bull CBBC Dec 2021 W | 200,000,000 | 2020/12/30 | 2021/12/30 | 50.00 |
| 62202 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Nov 2021 H | 200,000,000 | 2020/12/30 | 2021/11/29 | 50.00 |
| 62190 | BNP Paribas Issuance B.V. – Alibaba R Bear CBBC Dec 2021 M | 200,000,000 | 2020/12/30 | 2021/12/30 | 50.00 |
| 62110 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/12/30 | 2023/09/28 | 50.00 |
| 62140 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 J | 190,000,000 | 2020/12/30 | 2023/09/28 | 47.50 |
| 62124 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 G | 200,000,000 | 2020/12/30 | 2021/04/29 | 50.00 |
| 62407 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 C | 100,000,000 | 2020/12/30 | 2021/07/29 | 25.00 |
| 62409 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 D | 100,000,000 | 2020/12/30 | 2021/07/29 | 25.00 |
| 62413 | Credit Suisse AG – Alibaba Group R Bear CBBC Jun 2021 B | 100,000,000 | 2020/12/30 | 2021/06/29 | 25.00 |
| 62414 | Credit Suisse AG – Ali Health R Bear CBBC Jul 2021 D | 50,000,000 | 2020/12/30 | 2021/07/29 | 12.50 |
| 62416 | Credit Suisse AG – HKEX R Bull CBBC May 2021 I | 50,000,000 | 2020/12/30 | 2021/05/28 | 12.50 |
| 62427 | Credit Suisse AG – HKEX R Bear CBBC Jul 2021 C | 100,000,000 | 2020/12/30 | 2021/07/29 | 25.00 |
| 62432 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 F | 200,000,000 | 2020/12/30 | 2023/12/28 | 50.00 |
| 62436 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 H | 200,000,000 | 2020/12/30 | 2023/12/28 | 50.00 |
| 62430 | Credit Suisse AG – HSI R Bull CBBC Dec 2023 T | 200,000,000 | 2020/12/30 | 2023/12/28 | 50.00 |
| 62384 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 E | 200,000,000 | 2020/12/30 | 2021/03/30 | 50.00 |
| 62390 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 N | 200,000,000 | 2020/12/30 | 2021/03/30 | 50.00 |
| 62441 | Credit Suisse AG – HSTECH R Bear CBBC Apr 2021 D | 100,000,000 | 2020/12/30 | 2021/04/29 | 25.00 |
| 62394 | Credit Suisse AG – JD.com R Bull CBBC May 2021 E | 100,000,000 | 2020/12/30 | 2021/05/28 | 25.00 |
| 62428 | Credit Suisse AG – Sunny Optical R Bull CBBC Jul 2021 D | 40,000,000 | 2020/12/30 | 2021/07/29 | 10.00 |
| 62663 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 L | 100,000,000 | 2020/12/30 | 2021/08/30 | 25.00 |
| 62662 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 P | 100,000,000 | 2020/12/30 | 2021/08/30 | 25.00 |
| 62664 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 Q | 100,000,000 | 2020/12/30 | 2021/08/30 | 25.00 |
| 62615 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 Z | 160,000,000 | 2020/12/30 | 2024/02/28 | 40.00 |
| 62622 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Mar 2021 X | 160,000,000 | 2020/12/30 | 2021/03/30 | 40.00 |
| 62630 | Goldman Sachs SP (Asia) – HSI R Bear CBBC Apr 2021 X | 160,000,000 | 2020/12/30 | 2021/04/29 | 40.00 |
| 62654 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 M | 100,000,000 | 2020/12/30 | 2021/08/27 | 25.00 |
| 62655 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 N | 60,000,000 | 2020/12/30 | 2021/08/27 | 15.00 |
| 62650 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 G | 100,000,000 | 2020/12/30 | 2021/08/27 | 25.00 |
| 62653 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 T | 60,000,000 | 2020/12/30 | 2021/08/27 | 15.00 |
| 62660 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 G | 80,000,000 | 2020/12/30 | 2021/06/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62103 | HK Bank – Alibaba Group R Bull CBBC Dec 2021 A | 100,000,000 | 2020/12/30 | 2021/12/30 | 25.00 |
| 62105 | HK Bank – Alibaba Group R Bull CBBC Dec 2021 B | 100,000,000 | 2020/12/30 | 2021/12/30 | 25.00 |
| 62102 | HK Bank – HSI R Bull CBBC Apr 2021 A | 80,000,000 | 2020/12/30 | 2021/04/29 | 20.00 |
| 62285 | Haitong Int'l Sec – HSI R Bull CBBC Dec 2023 S | 200,000,000 | 2020/12/30 | 2023/12/28 | 50.00 |
| 62294 | Haitong Int'l Sec – JD.com R Bear CBBC Apr 2021 B | 60,000,000 | 2020/12/30 | 2021/04/28 | 15.00 |
| 62289 | Haitong Int'l Sec – Meituan R Bear CBBC May 2021 A | 60,000,000 | 2020/12/30 | 2021/05/25 | 15.00 |
| 62291 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 E | 60,000,000 | 2020/12/30 | 2021/04/30 | 15.00 |
| 62293 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 F | 60,000,000 | 2020/12/30 | 2021/04/30 | 15.00 |
| 62371 | J P Morgan SP BV – Alibaba Group R Bull CBBC Aug 2021 C | 200,000,000 | 2020/12/30 | 2021/08/13 | 50.00 |
| 62383 | J P Morgan SP BV – Alibaba Group R Bull CBBC Sep 2021 A | 200,000,000 | 2020/12/30 | 2021/09/17 | 50.00 |
| 62368 | J P Morgan SP BV – Alibaba Group R Bear CBBC Aug 2021 A | 200,000,000 | 2020/12/30 | 2021/08/13 | 50.00 |
| 62362 | J P Morgan SP BV – HKEX R Bull CBBC Aug 2021 A | 200,000,000 | 2020/12/30 | 2021/08/20 | 50.00 |
| 62357 | J P Morgan SP BV – HSI R Bull CBBC Jan 2024 V | 200,000,000 | 2020/12/30 | 2024/01/30 | 50.00 |
| 62359 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 X | 200,000,000 | 2020/12/30 | 2024/02/28 | 50.00 |
| 62360 | J P Morgan SP BV – HSI R Bear CBBC Mar 2021 Z | 200,000,000 | 2020/12/30 | 2021/03/30 | 50.00 |
| 62351 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 O | 200,000,000 | 2020/12/30 | 2022/04/28 | 50.00 |
| 62361 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 J | 200,000,000 | 2020/12/30 | 2021/06/29 | 50.00 |
| 62344 | Morgan Stanley Asia Products – Alibaba R Bull CBBC Apr 2021B | 40,000,000 | 2020/12/30 | 2021/04/01 | 10.00 |
| 62345 | Morgan Stanley Asia Products – Alibaba R Bull CBBC Jun 2021E | 40,000,000 | 2020/12/30 | 2021/06/01 | 12.84 |
| 62342 | Morgan Stanley Asia Products – Alibaba R Bear CBBC Apr 2021E | 40,000,000 | 2020/12/30 | 2021/04/01 | 10.00 |
| 62330 | Morgan Stanley Asia Products – Alibaba R Bear CBBC May 2021F | 40,000,000 | 2020/12/30 | 2021/05/03 | 10.00 |
| 62128 | Morgan Stanley Asia Products – HSI R Bear CBBC Apr 2021 S | 100,000,000 | 2020/12/30 | 2021/04/29 | 25.00 |
| 62492 | SG Issuer – Alibaba Group R Bull CBBC Apr 2021 M | 80,000,000 | 2020/12/30 | 2021/04/30 | 20.00 |
| 62503 | SG Issuer – Alibaba Group R Bull CBBC May 2021 C | 80,000,000 | 2020/12/30 | 2021/05/31 | 20.00 |
| 62505 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 V | 80,000,000 | 2020/12/30 | 2021/06/30 | 23.60 |
| 62506 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 W | 80,000,000 | 2020/12/30 | 2021/06/30 | 20.00 |
| 62508 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 X | 80,000,000 | 2020/12/30 | 2021/06/30 | 20.00 |
| 62552 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 M | 80,000,000 | 2020/12/30 | 2021/07/30 | 24.80 |
| 62554 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 N | 80,000,000 | 2020/12/30 | 2021/07/30 | 20.00 |
| 62556 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 O | 80,000,000 | 2020/12/30 | 2021/07/30 | 20.00 |
| 62550 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 N | 80,000,000 | 2020/12/30 | 2021/06/30 | 20.00 |
| 62515 | SG Issuer – BYD Company R Bull CBBC Oct 2021 E | 120,000,000 | 2020/12/30 | 2021/10/29 | 30.00 |
| 62557 | SG Issuer – HKEX R Bull CBBC Jun 2021 R | 50,000,000 | 2020/12/30 | 2021/06/30 | 12.50 |
| 62561 | SG Issuer – HKEX R Bear CBBC Jun 2021 D | 200,000,000 | 2020/12/30 | 2021/06/30 | 50.00 |
| 62466 | SG Issuer – HSI R Bull CBBC Mar 2024 A | 250,000,000 | 2020/12/30 | 2024/03/27 | 62.50 |
| 62488 | SG Issuer – HSI R Bull CBBC Mar 2024 B | 250,000,000 | 2020/12/30 | 2024/03/27 | 62.50 |
| 62489 | SG Issuer – HSI R Bull CBBC Mar 2024 C | 250,000,000 | 2020/12/30 | 2024/03/27 | 62.50 |
| 62446 | SG Issuer – HSI R Bear CBBC Apr 2021 E | 250,000,000 | 2020/12/30 | 2021/04/29 | 62.50 |
| 62443 | SG Issuer – HSI R Bear CBBC Apr 2021 I | 250,000,000 | 2020/12/30 | 2021/04/29 | 62.50 |
| 62526 | SG Issuer – JD.com R Bull CBBC May 2021 G | 200,000,000 | 2020/12/30 | 2021/05/31 | 50.00 |
| 62529 | SG Issuer – Meituan R Bull CBBC May 2021 M | 80,000,000 | 2020/12/30 | 2021/05/31 | 20.00 |
| 62544 | SG Issuer – Meituan R Bull CBBC May 2021 N | 80,000,000 | 2020/12/30 | 2021/05/31 | 20.00 |
| 62569 | SG Issuer – Sunny Optical R Bull CBBC Sep 2021 A | 200,000,000 | 2020/12/30 | 2021/09/29 | 50.00 |
| 62545 | SG Issuer – Tencent R Bull CBBC Apr 2021 E | 80,000,000 | 2020/12/30 | 2021/04/30 | 20.00 |
| 62546 | SG Issuer – Tencent R Bull CBBC May 2021 N | 80,000,000 | 2020/12/30 | 2021/05/31 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 62548 | SG Issuer – Tencent R Bull CBBC May 2021 Z | 80,000,000 | 2020/12/30 | 2021/05/31 | 20.00 |
| 62587 | SG Issuer – Tencent R Bull CBBC Jun 2021 Q | 80,000,000 | 2020/12/30 | 2021/06/30 | 20.00 |
| 62574 | SG Issuer – Tencent R Bear CBBC Apr 2021 J | 80,000,000 | 2020/12/30 | 2021/04/30 | 20.00 |
| 62584 | SG Issuer – Tencent R Bear CBBC Jun 2021 Q | 80,000,000 | 2020/12/30 | 2021/06/29 | 20.00 |
| 62591 | SG Issuer – Xiaomi R Bull CBBC May 2021 H | 60,000,000 | 2020/12/30 | 2021/05/31 | 15.00 |
| 62607 | SG Issuer – Xiaomi R Bull CBBC Jun 2021 S | 60,000,000 | 2020/12/30 | 2021/06/30 | 15.00 |
| 62200 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 Q | 80,000,000 | 2020/12/30 | 2021/04/30 | 20.00 |
| 62209 | UBS AG – Alibaba Group R Bull CBBC Apr 2021 X | 80,000,000 | 2020/12/30 | 2021/04/30 | 20.00 |
| 62222 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 R | 80,000,000 | 2020/12/30 | 2021/06/28 | 20.00 |
| 62233 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 S | 200,000,000 | 2020/12/30 | 2021/06/28 | 50.00 |
| 62225 | UBS AG – Alibaba Group R Bull CBBC Jul 2021 B | 200,000,000 | 2020/12/30 | 2021/07/26 | 50.00 |
| 62272 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 S | 80,000,000 | 2020/12/30 | 2021/06/29 | 20.00 |
| 62275 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 T | 80,000,000 | 2020/12/30 | 2021/06/29 | 20.00 |
| 62131 | UBS AG – Galaxy Ent R Bull CBBC Aug 2021 A | 60,000,000 | 2020/12/30 | 2021/08/30 | 15.00 |
| 62237 | UBS AG – HKEX R Bull CBBC Jul 2021 J | 50,000,000 | 2020/12/30 | 2021/07/30 | 12.50 |
| 62134 | UBS AG – HKEX R Bear CBBC Jul 2021 D | 200,000,000 | 2020/12/30 | 2021/07/30 | 50.00 |
| 62137 | UBS AG – HKEX R Bear CBBC Jul 2021 E | 50,000,000 | 2020/12/30 | 2021/07/30 | 12.50 |
| 62248 | UBS AG – HKEX R Bear CBBC Jul 2021 F | 50,000,000 | 2020/12/30 | 2021/07/30 | 12.50 |
| 62236 | UBS AG – HSI R Bull CBBC Dec 2023 K | 200,000,000 | 2020/12/30 | 2023/12/28 | 50.00 |
| 62276 | UBS AG – HSI R Bear CBBC Mar 2021 S | 200,000,000 | 2020/12/30 | 2021/03/30 | 50.00 |
| 62280 | UBS AG – HSI R Bear CBBC Mar 2021 V | 200,000,000 | 2020/12/30 | 2021/03/30 | 50.00 |
| 62267 | UBS AG – JD.com R Bear CBBC Jun 2021 P | 200,000,000 | 2020/12/30 | 2021/06/21 | 50.00 |
| 62161 | UBS AG – Meituan R Bull CBBC May 2021 F | 50,000,000 | 2020/12/30 | 2021/05/31 | 12.50 |
| 62165 | UBS AG – Meituan R Bull CBBC May 2021 G | 50,000,000 | 2020/12/30 | 2021/05/31 | 12.50 |
| 62257 | UBS AG – Meituan R Bear CBBC May 2021 A | 50,000,000 | 2020/12/30 | 2021/05/28 | 12.50 |
| 62255 | UBS AG – Meituan R Bear CBBC May 2021 Z | 50,000,000 | 2020/12/30 | 2021/05/28 | 12.50 |
| 62146 | UBS AG – Tencent R Bull CBBC Jun 2021 F | 40,000,000 | 2020/12/30 | 2021/06/30 | 10.00 |
| 62249 | UBS AG – Tencent R Bear CBBC Jun 2021 A | 40,000,000 | 2020/12/30 | 2021/06/29 | 10.00 |
| 62251 | UBS AG – Tencent R Bear CBBC Jun 2021 D | 40,000,000 | 2020/12/30 | 2021/06/29 | 10.00 |
| 62252 | UBS AG – Xiaomi R Bull CBBC Aug 2021 M | 60,000,000 | 2020/12/30 | 2021/08/31 | 15.00 |
| 62254 | UBS AG – Xiaomi R Bull CBBC Aug 2021 N | 60,000,000 | 2020/12/30 | 2021/08/31 | 15.00 |
| 62150 | UBS AG – Xiaomi R Bear CBBC Apr 2021 N | 60,000,000 | 2020/12/30 | 2021/04/30 | 15.00 |
| 62155 | UBS AG – Xiaomi R Bear CBBC Jun 2021 B | 200,000,000 | 2020/12/30 | 2021/06/30 | 50.00 |
| 62299 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 J | 40,000,000 | 2020/12/30 | 2021/11/26 | 10.00 |
| 62329 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 F | 40,000,000 | 2020/12/30 | 2021/06/25 | 10.00 |
| 62319 | Bank Vontobel – HSI R Bull CBBC Sep 2023 K | 80,000,000 | 2020/12/30 | 2023/09/28 | 20.00 |
| 62308 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 F | 80,000,000 | 2020/12/30 | 2021/12/30 | 20.00 |
| 62317 | Bank Vontobel – HSTECH R Bull CBBC Dec 2021 G | 80,000,000 | 2020/12/30 | 2021/12/30 | 20.00 |
| 62300 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 F | 80,000,000 | 2020/12/30 | 2021/03/30 | 20.00 |
| 62304 | Bank Vontobel – HSTECH R Bear CBBC Mar 2021 G | 80,000,000 | 2020/12/30 | 2021/03/30 | 20.00 |
| 62320 | Bank Vontobel – Xiaomi R Bull CBBC Dec 2021 B | 40,000,000 | 2020/12/30 | 2021/12/03 | 10.00 |
| 62325 | Bank Vontobel – Xiaomi R Bull CBBC Dec 2021 C | 40,000,000 | 2020/12/30 | 2021/12/10 | 10.00 |
| 63210 | BOCI Asia Ltd. – AIA R Bull CBBC Jun 2021 A | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63162 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 G | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63164 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 H | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63170 | BOCI Asia Ltd. – Alibaba Group R Bull CBBC Jun 2021 I | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.60 |
| 63192 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jun 2021 C | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63199 | BOCI Asia Ltd. – Alibaba Group R Bear CBBC Jun 2021 D | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63147 | BOCI Asia Ltd. – HKEX R Bull CBBC Jun 2021 E | 40,000,000 | 2020/12/31 | 2021/06/30 | 10.00 |
| 63158 | BOCI Asia Ltd. – HKEX R Bear CBBC Jun 2021 B | 40,000,000 | 2020/12/31 | 2021/06/30 | 10.00 |
| 63207 | BOCI Asia Ltd. – Meituan R Bull CBBC Jun 2021 G | 40,000,000 | 2020/12/31 | 2021/06/30 | 10.00 |
| 63208 | BOCI Asia Ltd. – Meituan R Bear CBBC Jun 2021 C | 40,000,000 | 2020/12/31 | 2021/06/30 | 10.00 |
| 63209 | BOCI Asia Ltd. – Meituan R Bear CBBC Jun 2021 D | 40,000,000 | 2020/12/31 | 2021/06/30 | 11.60 |
| 63212 | BOCI Asia Ltd. – Tencent R Bull CBBC Jun 2021 A | 120,000,000 | 2020/12/31 | 2021/06/30 | 30.00 |
| 63215 | BOCI Asia Ltd. – Tencent R Bull CBBC Jun 2021 B | 120,000,000 | 2020/12/31 | 2021/06/30 | 30.00 |
| 63218 | BOCI Asia Ltd. – Tencent R Bear CBBC Jun 2021 B | 120,000,000 | 2020/12/31 | 2021/06/30 | 30.00 |
| 63160 | BOCI Asia Ltd. – Xiaomi R Bear CBBC Jun 2021 D | 150,000,000 | 2020/12/31 | 2021/06/30 | 37.50 |
| 63074 | BNP Paribas Issuance B.V. – HSI R Bull CBBC Sep 2023 K | 190,000,000 | 2020/12/31 | 2023/09/28 | 47.50 |
| 63076 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 I | 250,000,000 | 2020/12/31 | 2021/04/29 | 62.50 |
| 63078 | BNP Paribas Issuance B.V. – HSI R Bear CBBC Apr 2021 N | 240,000,000 | 2020/12/31 | 2021/04/29 | 60.00 |
| 63301 | Credit Suisse AG – AIA R Bull CBBC Jul 2021 F | 70,000,000 | 2020/12/31 | 2021/07/29 | 17.50 |
| 63303 | Credit Suisse AG – AIA R Bear CBBC Jul 2021 A | 70,000,000 | 2020/12/31 | 2021/07/29 | 17.50 |
| 63312 | Credit Suisse AG – AIA R Bear CBBC Sep 2021 A | 70,000,000 | 2020/12/31 | 2021/09/29 | 17.50 |
| 63227 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 G | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 63232 | Credit Suisse AG – Alibaba Group R Bull CBBC May 2021 H | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 63242 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 E | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63243 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 F | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63247 | Credit Suisse AG – Alibaba Group R Bull CBBC Jul 2021 G | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63250 | Credit Suisse AG – Alibaba Group R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63287 | Credit Suisse AG – Ali Health R Bear CBBC Jul 2021 E | 50,000,000 | 2020/12/31 | 2021/07/29 | 12.50 |
| 63280 | Credit Suisse AG – Geely Auto R Bull CBBC Jul 2021 S | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63289 | Credit Suisse AG – HKEX R Bull CBBC Jul 2021 C | 50,000,000 | 2020/12/31 | 2021/07/29 | 12.50 |
| 63292 | Credit Suisse AG – HKEX R Bear CBBC Jul 2021 D | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63321 | Credit Suisse AG – HSI R Bull CBBC Sep 2023 I | 200,000,000 | 2020/12/31 | 2023/09/28 | 50.00 |
| 63328 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 K | 200,000,000 | 2020/12/31 | 2021/03/30 | 50.00 |
| 63345 | Credit Suisse AG – HSI R Bear CBBC Mar 2021 M | 200,000,000 | 2020/12/31 | 2021/03/30 | 50.00 |
| 63351 | Credit Suisse AG – HSTECH R Bear CBBC Apr 2021 E | 100,000,000 | 2020/12/31 | 2021/04/29 | 25.00 |
| 63359 | Credit Suisse AG – HSTECH R Bear CBBC May 2021 B | 100,000,000 | 2020/12/31 | 2021/05/28 | 25.00 |
| 63219 | Credit Suisse AG – Meituan R Bull CBBC May 2021 H | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 63224 | Credit Suisse AG – Meituan R Bear CBBC Jun 2021 A | 100,000,000 | 2020/12/31 | 2021/06/29 | 25.00 |
| 63317 | Credit Suisse AG – Ping An R Bull CBBC Jul 2021 M | 80,000,000 | 2020/12/31 | 2021/07/29 | 20.00 |
| 63252 | Credit Suisse AG – Tencent R Bull CBBC May 2021 H | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 63255 | Credit Suisse AG – Tencent R Bull CBBC Jul 2021 B | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63269 | Credit Suisse AG – Tencent R Bull CBBC Jul 2021 C | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63272 | Credit Suisse AG – Tencent R Bear CBBC Jul 2021 B | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 63278 | Credit Suisse AG – Tencent R Bear CBBC Jul 2021 C | 100,000,000 | 2020/12/31 | 2021/07/29 | 25.00 |
| 62665 | Bank of East Asia – Alibaba Group R Bear CBBC Jul 2021 A | 40,000,000 | 2020/12/31 | 2021/07/22 | 12.00 |
| 62667 | Bank of East Asia – Tencent R Bear CBBC Apr 2021 B | 30,000,000 | 2020/12/31 | 2021/04/30 | 10.50 |
| 62669 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 P | 100,000,000 | 2020/12/31 | 2021/07/23 | 25.00 |
| 62676 | Bank of East Asia – Xiaomi R Bull CBBC Jul 2021 Q | 40,000,000 | 2020/12/31 | 2021/07/23 | 10.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62678 | Bank of East Asia – Xiaomi R Bear CBBC Jul 2021 A | 100,000,000 | 2020/12/31 | 2021/07/23 | 25.00 |
| 63791 | Goldman Sachs SP (Asia) – AIA R Bull CBBC Jul 2021 E | 60,000,000 | 2020/12/31 | 2021/07/27 | 15.00 |
| 63809 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 P | 100,000,000 | 2020/12/31 | 2021/07/30 | 25.00 |
| 63815 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Jul 2021 Q | 100,000,000 | 2020/12/31 | 2021/07/30 | 25.00 |
| 63720 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 R | 60,000,000 | 2020/12/31 | 2021/08/30 | 15.00 |
| 63744 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 S | 80,000,000 | 2020/12/31 | 2021/08/30 | 20.00 |
| 63746 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 T | 80,000,000 | 2020/12/31 | 2021/08/30 | 20.00 |
| 63747 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 U | 80,000,000 | 2020/12/31 | 2021/08/30 | 20.00 |
| 63756 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Aug 2021 X | 60,000,000 | 2020/12/31 | 2021/08/30 | 15.00 |
| 63797 | Goldman Sachs SP (Asia) – Alibaba R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/31 | 2021/10/28 | 25.00 |
| 63837 | Goldman Sachs SP (Asia) – HKEX R Bull CBBC Apr 2021 I | 80,000,000 | 2020/12/31 | 2021/04/28 | 20.00 |
| 63787 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 A | 160,000,000 | 2020/12/31 | 2024/02/28 | 40.00 |
| 63768 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 O | 160,000,000 | 2020/12/31 | 2024/02/28 | 40.00 |
| 63773 | Goldman Sachs SP (Asia) – HSI R Bull CBBC Feb 2024 U | 160,000,000 | 2020/12/31 | 2024/02/28 | 40.00 |
| 63771 | Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2021 B | 160,000,000 | 2020/12/31 | 2021/05/28 | 40.00 |
| 63789 | Goldman Sachs SP (Asia) – HSI R Bear CBBC May 2021 C | 160,000,000 | 2020/12/31 | 2021/05/28 | 40.00 |
| 63724 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 R | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63731 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 S | 60,000,000 | 2020/12/31 | 2021/08/27 | 15.00 |
| 63743 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 U | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63763 | Goldman Sachs SP (Asia) – Meituan R Bull CBBC Aug 2021 V | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63825 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 A | 60,000,000 | 2020/12/31 | 2021/08/27 | 15.00 |
| 63703 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 B | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63827 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 D | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63705 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 M | 60,000,000 | 2020/12/31 | 2021/08/27 | 15.00 |
| 63717 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 U | 60,000,000 | 2020/12/31 | 2021/08/27 | 15.00 |
| 63719 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 V | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63698 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Y | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63701 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Aug 2021 Z | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63709 | Goldman Sachs SP (Asia) – Tencent R Bull CBBC Oct 2021 B | 60,000,000 | 2020/12/31 | 2021/10/29 | 15.00 |
| 63818 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 X | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63821 | Goldman Sachs SP (Asia) – Tencent R Bear CBBC Aug 2021 Y | 80,000,000 | 2020/12/31 | 2021/08/27 | 20.00 |
| 63835 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Jun 2021 H | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63748 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 J | 80,000,000 | 2020/12/31 | 2021/08/16 | 20.00 |
| 63753 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 K | 60,000,000 | 2020/12/31 | 2021/08/16 | 15.00 |
| 63755 | Goldman Sachs SP (Asia) – Xiaomi R Bull CBBC Aug 2021 L | 60,000,000 | 2020/12/31 | 2021/08/16 | 15.00 |
| 62727 | HK Bank – AIA R Bull CBBC Sep 2021 A | 60,000,000 | 2020/12/31 | 2021/09/30 | 15.00 |
| 62804 | HK Bank – AIA R Bear CBBC Nov 2021 A | 40,000,000 | 2020/12/31 | 2021/11/30 | 10.00 |
| 62716 | HK Bank – Alibaba Group R Bull CBBC Jul 2021 M | 100,000,000 | 2020/12/31 | 2021/07/30 | 25.00 |
| 62720 | HK Bank – Alibaba Group R Bull CBBC Aug 2021 I | 100,000,000 | 2020/12/31 | 2021/08/16 | 25.00 |
| 62772 | HK Bank – Alibaba Group R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/31 | 2021/10/29 | 25.00 |
| 62806 | HK Bank – Alibaba Group R Bull CBBC Nov 2021 A | 100,000,000 | 2020/12/31 | 2021/11/30 | 25.00 |
| 62808 | HK Bank – Alibaba Group R Bull CBBC Nov 2021 B | 100,000,000 | 2020/12/31 | 2021/11/30 | 25.00 |
| 62763 | HK Bank – Alibaba Group R Bear CBBC Oct 2021 A | 100,000,000 | 2020/12/31 | 2021/10/18 | 25.00 |
| 62764 | HK Bank – Alibaba Group R Bear CBBC Nov 2021 A | 100,000,000 | 2020/12/31 | 2021/11/08 | 25.00 |
| 62813 | HK Bank – Geely Auto R Bull CBBC Nov 2021 A | 60,000,000 | 2020/12/31 | 2021/11/30 | 15.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 62755 | HK Bank – HKEX R Bull CBBC Oct 2021 A | 100,000,000 | 2020/12/31 | 2021/10/18 | 25.00 |
| 62749 | HK Bank – HKEX R Bear CBBC Nov 2021 A | 100,000,000 | 2020/12/31 | 2021/11/08 | 25.00 |
| 62770 | HK Bank – HKEX R Bear CBBC Nov 2021 B | 100,000,000 | 2020/12/31 | 2021/11/30 | 25.00 |
| 62721 | HK Bank – HSI R Bull CBBC Sep 2023 U | 120,000,000 | 2020/12/31 | 2023/09/28 | 30.00 |
| 62723 | HK Bank – HSI R Bull CBBC Sep 2023 V | 80,000,000 | 2020/12/31 | 2023/09/28 | 20.00 |
| 62746 | HK Bank – HSTECH R Bear CBBC Apr 2021 A | 100,000,000 | 2020/12/31 | 2021/04/29 | 25.00 |
| 62717 | HK Bank – Meituan R Bull CBBC Jul 2021 R | 100,000,000 | 2020/12/31 | 2021/07/19 | 25.00 |
| 62726 | HK Bank – Meituan R Bear CBBC Sep 2021 B | 100,000,000 | 2020/12/31 | 2021/09/30 | 25.00 |
| 62718 | HK Bank – Tencent R Bull CBBC Jun 2021 K | 100,000,000 | 2020/12/31 | 2021/06/28 | 25.00 |
| 62785 | HK Bank – Tencent R Bull CBBC Jul 2021 X | 100,000,000 | 2020/12/31 | 2021/07/12 | 25.00 |
| 62725 | HK Bank – Tencent R Bull CBBC Aug 2021 H | 100,000,000 | 2020/12/31 | 2021/08/09 | 25.00 |
| 62791 | HK Bank – Tencent R Bull CBBC Aug 2021 I | 100,000,000 | 2020/12/31 | 2021/08/23 | 25.00 |
| 62794 | HK Bank – Tencent R Bull CBBC Aug 2021 J | 100,000,000 | 2020/12/31 | 2021/08/23 | 25.00 |
| 62799 | HK Bank – Tencent R Bull CBBC Sep 2021 A | 100,000,000 | 2020/12/31 | 2021/09/13 | 25.00 |
| 62761 | HK Bank – Tencent R Bear CBBC Aug 2021 C | 100,000,000 | 2020/12/31 | 2021/08/24 | 25.00 |
| 62762 | HK Bank – Tencent R Bear CBBC Aug 2021 D | 100,000,000 | 2020/12/31 | 2021/08/10 | 25.00 |
| 62805 | HK Bank – Weimob R Bull CBBC Sep 2021 A | 40,000,000 | 2020/12/31 | 2021/09/30 | 10.00 |
| 63069 | Haitong Int'l Sec – HSI R Bull CBBC Oct 2023 J | 200,000,000 | 2020/12/31 | 2023/10/30 | 50.00 |
| 63056 | Haitong Int'l Sec – HSI R Bull CBBC Nov 2023 Z | 200,000,000 | 2020/12/31 | 2023/11/29 | 50.00 |
| 63057 | Haitong Int'l Sec – HSI R Bear CBBC May 2021 F | 200,000,000 | 2020/12/31 | 2021/05/28 | 50.00 |
| 63046 | Haitong Int'l Sec – Meituan R Bear CBBC Apr 2021 I | 60,000,000 | 2020/12/31 | 2021/04/30 | 15.00 |
| 63048 | Haitong Int'l Sec – Meituan R Bear CBBC May 2021 B | 60,000,000 | 2020/12/31 | 2021/05/25 | 15.00 |
| 63053 | Haitong Int'l Sec – Tencent R Bear CBBC Apr 2021 G | 60,000,000 | 2020/12/31 | 2021/04/30 | 15.00 |
| 63052 | Haitong Int'l Sec – Tencent R Bear CBBC May 2021 A | 60,000,000 | 2020/12/31 | 2021/05/25 | 15.12 |
| 63041 | Haitong Int'l Sec – Xiaomi R Bear CBBC May 2021 E | 100,000,000 | 2020/12/31 | 2021/05/28 | 25.00 |
| 63044 | Haitong Int'l Sec – Xiaomi R Bear CBBC Jul 2021 C | 100,000,000 | 2020/12/31 | 2021/07/28 | 25.00 |
| 63129 | J P Morgan SP BV – Alibaba Group R Bull CBBC Aug 2021 D | 200,000,000 | 2020/12/31 | 2021/08/20 | 50.00 |
| 63123 | J P Morgan SP BV – Alibaba Group R Bull CBBC Sep 2021 B | 200,000,000 | 2020/12/31 | 2021/09/17 | 50.00 |
| 63111 | J P Morgan SP BV – Alibaba Group R Bear CBBC Aug 2021 B | 200,000,000 | 2020/12/31 | 2021/08/20 | 50.00 |
| 63090 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 I | 200,000,000 | 2020/12/31 | 2024/02/28 | 50.00 |
| 63089 | J P Morgan SP BV – HSI R Bull CBBC Feb 2024 Y | 200,000,000 | 2020/12/31 | 2024/02/28 | 50.00 |
| 63079 | J P Morgan SP BV – HSI R Bear CBBC Apr 2021 M | 200,000,000 | 2020/12/31 | 2021/04/29 | 50.00 |
| 63081 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 P | 200,000,000 | 2020/12/31 | 2022/04/28 | 50.00 |
| 63131 | J P Morgan SP BV – HSTECH R Bull CBBC Apr 2022 Q | 200,000,000 | 2020/12/31 | 2022/04/28 | 50.00 |
| 63088 | J P Morgan SP BV – HSTECH R Bear CBBC Jun 2021 K | 200,000,000 | 2020/12/31 | 2021/06/29 | 50.00 |
| 63082 | J P Morgan SP BV – HSTECH R Bear CBBC Sep 2021 C | 200,000,000 | 2020/12/31 | 2021/09/29 | 50.00 |
| 63110 | J P Morgan SP BV – Meituan R Bear CBBC Aug 2021 A | 200,000,000 | 2020/12/31 | 2021/08/20 | 50.00 |
| 63120 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2021 A | 200,000,000 | 2020/12/31 | 2021/08/20 | 50.00 |
| 63124 | J P Morgan SP BV – Tencent R Bear CBBC Aug 2021 B | 200,000,000 | 2020/12/31 | 2021/08/13 | 50.00 |
| 62714 | Morgan Stanley Asia Products – Meituan R Bear CBBC Jun 2021C | 40,000,000 | 2020/12/31 | 2021/06/01 | 11.00 |
| 62710 | Morgan Stanley Asia Products – Tencent R Bull CBBC Apr 2021J | 40,000,000 | 2020/12/31 | 2021/04/01 | 10.96 |
| 62705 | Morgan Stanley Asia Products – Tencent R Bear CBBC Apr 2021P | 40,000,000 | 2020/12/31 | 2021/04/30 | 10.00 |
| 62700 | Morgan Stanley Asia Products – Tencent R Bear CBBC Jul 2021B | 40,000,000 | 2020/12/31 | 2021/07/02 | 15.80 |
| 63474 | SG Issuer – AIA R Bull CBBC Jun 2021 E | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63397 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 C | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|--|-------------------------|--------------|------------|------------------------------|
| 63516 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 Y | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63553 | SG Issuer – Alibaba Group R Bull CBBC Jun 2021 Z | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63399 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 P | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63400 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 Q | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63569 | SG Issuer – Alibaba Group R Bull CBBC Jul 2021 R | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63571 | SG Issuer – Alibaba Group R Bull CBBC Aug 2021 F | 200,000,000 | 2020/12/31 | 2021/08/31 | 50.00 |
| 63574 | SG Issuer – Alibaba Group R Bull CBBC Aug 2021 G | 80,000,000 | 2020/12/31 | 2021/08/31 | 20.00 |
| 63579 | SG Issuer – Alibaba Group R Bull CBBC Sep 2021 A | 80,000,000 | 2020/12/31 | 2021/09/29 | 22.40 |
| 63513 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 O | 80,000,000 | 2020/12/31 | 2021/06/29 | 20.00 |
| 63541 | SG Issuer – Alibaba Group R Bear CBBC Jun 2021 P | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63476 | SG Issuer – BYD Company R Bull CBBC Sep 2021 G | 120,000,000 | 2020/12/31 | 2021/09/30 | 30.00 |
| 63480 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 Q | 40,000,000 | 2020/12/31 | 2021/07/30 | 10.00 |
| 63582 | SG Issuer – Geely Auto R Bull CBBC Jul 2021 R | 150,000,000 | 2020/12/31 | 2021/07/30 | 37.50 |
| 63580 | SG Issuer – Geely Auto R Bear CBBC Jun 2021 D | 150,000,000 | 2020/12/31 | 2021/06/29 | 37.50 |
| 63482 | SG Issuer – HKEB R Bull CBBC May 2021 O | 50,000,000 | 2020/12/31 | 2021/05/31 | 12.50 |
| 63489 | SG Issuer – HKEB R Bull CBBC Jul 2021 H | 200,000,000 | 2020/12/31 | 2021/07/30 | 50.00 |
| 63401 | SG Issuer – HKEB R Bear CBBC Jun 2021 E | 200,000,000 | 2020/12/31 | 2021/06/29 | 50.00 |
| 63483 | SG Issuer – HKEB R Bear CBBC Jun 2021 F | 50,000,000 | 2020/12/31 | 2021/06/30 | 12.50 |
| 63404 | SG Issuer – HKEB R Bear CBBC Jul 2021 A | 200,000,000 | 2020/12/31 | 2021/07/30 | 50.00 |
| 63361 | SG Issuer – HSI R Bull CBBC Feb 2024 N | 250,000,000 | 2020/12/31 | 2024/02/28 | 62.50 |
| 63365 | SG Issuer – HSI R Bull CBBC Mar 2024 D | 250,000,000 | 2020/12/31 | 2024/03/27 | 62.50 |
| 63366 | SG Issuer – HSI R Bull CBBC Mar 2024 E | 250,000,000 | 2020/12/31 | 2024/03/27 | 62.50 |
| 63378 | SG Issuer – HSI R Bull CBBC Mar 2024 F | 250,000,000 | 2020/12/31 | 2024/03/27 | 62.50 |
| 63382 | SG Issuer – HSI R Bull CBBC Mar 2024 G | 250,000,000 | 2020/12/31 | 2024/03/27 | 62.50 |
| 63367 | SG Issuer – HSI R Bear CBBC Apr 2021 T | 250,000,000 | 2020/12/31 | 2021/04/29 | 62.50 |
| 63372 | SG Issuer – HSI R Bear CBBC May 2021 B | 250,000,000 | 2020/12/31 | 2021/05/28 | 62.50 |
| 63374 | SG Issuer – HSI R Bear CBBC May 2021 U | 250,000,000 | 2020/12/31 | 2021/05/28 | 62.50 |
| 63387 | SG Issuer – HSTECH R Bull CBBC Nov 2021 D | 100,000,000 | 2020/12/31 | 2021/11/29 | 25.00 |
| 63391 | SG Issuer – HSTECH R Bull CBBC Nov 2021 E | 100,000,000 | 2020/12/31 | 2021/11/29 | 25.00 |
| 63389 | SG Issuer – HSTECH R Bear CBBC Apr 2021 F | 100,000,000 | 2020/12/31 | 2021/04/29 | 25.00 |
| 63390 | SG Issuer – HSTECH R Bear CBBC Apr 2021 G | 100,000,000 | 2020/12/31 | 2021/04/29 | 25.00 |
| 63417 | SG Issuer – JD.com R Bull CBBC Jul 2021 A | 200,000,000 | 2020/12/31 | 2021/07/30 | 50.00 |
| 63419 | SG Issuer – Meituan R Bull CBBC May 2021 O | 80,000,000 | 2020/12/31 | 2021/05/31 | 20.00 |
| 63547 | SG Issuer – Meituan R Bull CBBC Jun 2021 E | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63422 | SG Issuer – Meituan R Bull CBBC Jun 2021 Z | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63424 | SG Issuer – Meituan R Bull CBBC Jul 2021 Q | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63615 | SG Issuer – Meituan R Bull CBBC Jul 2021 R | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63619 | SG Issuer – Meituan R Bull CBBC Aug 2021 G | 80,000,000 | 2020/12/31 | 2021/08/31 | 20.00 |
| 63542 | SG Issuer – Meituan R Bear CBBC Apr 2021 V | 80,000,000 | 2020/12/31 | 2021/04/30 | 20.00 |
| 63431 | SG Issuer – Tencent R Bull CBBC Apr 2021 U | 80,000,000 | 2020/12/31 | 2021/04/30 | 21.60 |
| 63432 | SG Issuer – Tencent R Bull CBBC May 2021 A | 80,000,000 | 2020/12/31 | 2021/05/31 | 26.80 |
| 63446 | SG Issuer – Tencent R Bull CBBC Jun 2021 G | 80,000,000 | 2020/12/31 | 2021/06/30 | 31.20 |
| 63447 | SG Issuer – Tencent R Bull CBBC Jun 2021 L | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63451 | SG Issuer – Tencent R Bull CBBC Jun 2021 P | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 63457 | SG Issuer – Tencent R Bull CBBC Jul 2021 T | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|-------|---|-------------------------|--------------|------------|------------------------------|
| 63655 | SG Issuer – Tencent R Bull CBBC Jul 2021 U | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63657 | SG Issuer – Tencent R Bull CBBC Jul 2021 V | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 63665 | SG Issuer – Tencent R Bull CBBC Aug 2021 L | 200,000,000 | 2020/12/31 | 2021/08/31 | 50.00 |
| 63666 | SG Issuer – Tencent R Bull CBBC Aug 2021 M | 200,000,000 | 2020/12/31 | 2021/08/31 | 50.00 |
| 63667 | SG Issuer – Tencent R Bull CBBC Aug 2021 N | 200,000,000 | 2020/12/31 | 2021/08/31 | 50.00 |
| 63675 | SG Issuer – Tencent R Bull CBBC Sep 2021 A | 200,000,000 | 2020/12/31 | 2021/09/29 | 50.00 |
| 63676 | SG Issuer – Tencent R Bull CBBC Sep 2021 B | 200,000,000 | 2020/12/31 | 2021/09/29 | 50.00 |
| 63679 | SG Issuer – Tencent R Bull CBBC Sep 2021 C | 200,000,000 | 2020/12/31 | 2021/09/30 | 50.00 |
| 63509 | SG Issuer – Tencent R Bear CBBC Apr 2021 K | 80,000,000 | 2020/12/31 | 2021/04/30 | 20.00 |
| 63510 | SG Issuer – Tencent R Bear CBBC Apr 2021 L | 80,000,000 | 2020/12/31 | 2021/04/30 | 20.00 |
| 63639 | SG Issuer – Tencent R Bear CBBC Jul 2021 M | 80,000,000 | 2020/12/31 | 2021/07/29 | 20.00 |
| 63464 | SG Issuer – Xiaomi R Bull CBBC May 2021 I | 200,000,000 | 2020/12/31 | 2021/05/31 | 50.00 |
| 63471 | SG Issuer – Xiaomi R Bull CBBC Jul 2021 J | 200,000,000 | 2020/12/31 | 2021/07/30 | 50.00 |
| 63549 | SG Issuer – Xiaomi R Bear CBBC Apr 2021 K | 200,000,000 | 2020/12/31 | 2021/04/30 | 50.00 |
| 62998 | UBS AG – AIA R Bull CBBC Jun 2021 D | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63001 | UBS AG – AIA R Bear CBBC Jun 2021 C | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 62891 | UBS AG – Alibaba Group R Bull CBBC May 2021 D | 80,000,000 | 2020/12/31 | 2021/05/31 | 20.00 |
| 62894 | UBS AG – Alibaba Group R Bull CBBC May 2021 G | 80,000,000 | 2020/12/31 | 2021/05/31 | 20.00 |
| 62952 | UBS AG – Alibaba Group R Bull CBBC May 2021 H | 80,000,000 | 2020/12/31 | 2021/05/28 | 20.00 |
| 62954 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 T | 80,000,000 | 2020/12/31 | 2021/06/30 | 20.00 |
| 62958 | UBS AG – Alibaba Group R Bull CBBC Jun 2021 U | 80,000,000 | 2020/12/31 | 2021/06/30 | 23.60 |
| 62975 | UBS AG – Alibaba Group R Bull CBBC Sep 2021 D | 200,000,000 | 2020/12/31 | 2021/09/29 | 50.00 |
| 62979 | UBS AG – Alibaba Group R Bull CBBC Sep 2021 E | 200,000,000 | 2020/12/31 | 2021/09/29 | 50.00 |
| 62983 | UBS AG – Alibaba Group R Bear CBBC May 2021 B | 80,000,000 | 2020/12/31 | 2021/05/28 | 20.00 |
| 62984 | UBS AG – Alibaba Group R Bear CBBC May 2021 C | 80,000,000 | 2020/12/31 | 2021/05/28 | 20.00 |
| 62986 | UBS AG – Alibaba Group R Bear CBBC Jun 2021 U | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 63012 | UBS AG – Geely Auto R Bull CBBC Aug 2021 M | 100,000,000 | 2020/12/31 | 2021/08/30 | 25.00 |
| 63011 | UBS AG – Geely Auto R Bear CBBC Aug 2021 G | 100,000,000 | 2020/12/31 | 2021/08/30 | 25.00 |
| 63024 | UBS AG – HKEX R Bull CBBC Jul 2021 K | 50,000,000 | 2020/12/31 | 2021/07/30 | 12.50 |
| 63025 | UBS AG – HSI R Bull CBBC Oct 2023 T | 200,000,000 | 2020/12/31 | 2023/10/30 | 50.00 |
| 63026 | UBS AG – HSI R Bull CBBC Oct 2023 U | 200,000,000 | 2020/12/31 | 2023/10/30 | 50.00 |
| 63037 | UBS AG – HSI R Bear CBBC Mar 2021 G | 200,000,000 | 2020/12/31 | 2021/03/30 | 50.00 |
| 63029 | UBS AG – HSI R Bear CBBC Mar 2021 W | 200,000,000 | 2020/12/31 | 2021/03/30 | 50.00 |
| 63009 | UBS AG – JD.com R Bull CBBC Jun 2021 P | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 62899 | UBS AG – Meituan R Bull CBBC May 2021 H | 50,000,000 | 2020/12/31 | 2021/05/31 | 12.50 |
| 62901 | UBS AG – Meituan R Bull CBBC May 2021 L | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 62904 | UBS AG – Meituan R Bull CBBC Jun 2021 H | 50,000,000 | 2020/12/31 | 2021/06/30 | 12.50 |
| 62987 | UBS AG – Meituan R Bear CBBC May 2021 B | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 62989 | UBS AG – Meituan R Bear CBBC May 2021 C | 50,000,000 | 2020/12/31 | 2021/05/28 | 12.50 |
| 62991 | UBS AG – Meituan R Bear CBBC Jun 2021 I | 150,000,000 | 2020/12/31 | 2021/06/30 | 37.50 |
| 63010 | UBS AG – NetEase R Bull CBBC Jul 2021 A | 80,000,000 | 2020/12/31 | 2021/07/30 | 20.00 |
| 62885 | UBS AG – Tencent R Bull CBBC Jun 2021 B | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 62873 | UBS AG – Tencent R Bull CBBC Jun 2021 C | 40,000,000 | 2020/12/31 | 2021/06/30 | 10.00 |
| 62860 | UBS AG – Tencent R Bull CBBC Jun 2021 H | 40,000,000 | 2020/12/31 | 2021/06/30 | 10.00 |
| 62882 | UBS AG – Tencent R Bull CBBC Jun 2021 I | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |

MAIN BOARD CALLABLE BULL/BEAR CONTRACTS

| Code | Callable Bull/Bear Contracts | No. of issue (units) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|--------------|--|-------------------------|--------------|------------|------------------------------|
| 62933 | UBS AG – Tencent R Bear CBBC Apr 2021 P | 40,000,000 | 2020/12/31 | 2021/04/30 | 10.00 |
| 62939 | UBS AG – Tencent R Bear CBBC Apr 2021 Q | 40,000,000 | 2020/12/31 | 2021/04/30 | 10.00 |
| 62943 | UBS AG – Tencent R Bear CBBC May 2021 D | 40,000,000 | 2020/12/31 | 2021/05/28 | 10.00 |
| 62944 | UBS AG – Tencent R Bear CBBC Jun 2021 C | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 62951 | UBS AG – Tencent R Bear CBBC Jun 2021 E | 200,000,000 | 2020/12/31 | 2021/06/30 | 50.00 |
| 62909 | UBS AG – Xiaomi R Bull CBBC May 2021 D | 60,000,000 | 2020/12/31 | 2021/05/31 | 15.00 |
| 62926 | UBS AG – Xiaomi R Bull CBBC May 2021 E | 200,000,000 | 2020/12/31 | 2021/05/31 | 50.00 |
| 62994 | UBS AG – Xiaomi R Bear CBBC Jun 2021 C | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 63027 | UBS AG – Xiaomi R Bear CBBC Jun 2021 D | 60,000,000 | 2020/12/31 | 2021/06/30 | 15.00 |
| 62699 | Bank Vontobel – Alibaba Group R Bull CBBC Nov 2021 K | 40,000,000 | 2020/12/31 | 2021/11/19 | 10.00 |
| 63137 | Bank Vontobel – Alibaba Group R Bull CBBC Dec 2021 B | 40,000,000 | 2020/12/31 | 2021/12/03 | 11.60 |
| 62835 | Bank Vontobel – Alibaba Group R Bear CBBC Jun 2021 G | 40,000,000 | 2020/12/31 | 2021/06/11 | 10.00 |
| 62856 | Bank Vontobel – Ali Health R Bull CBBC Nov 2021 A | 60,000,000 | 2020/12/31 | 2021/11/26 | 15.00 |
| 62843 | Bank Vontobel – Ali Health R Bear CBBC Jun 2021 C | 60,000,000 | 2020/12/31 | 2021/06/25 | 15.00 |
| 62853 | Bank Vontobel – Ali Health R Bear CBBC Jun 2021 D | 60,000,000 | 2020/12/31 | 2021/06/18 | 15.00 |
| 62840 | Bank Vontobel – China Life R Bull CBBC Nov 2021 C | 80,000,000 | 2020/12/31 | 2021/11/26 | 20.00 |
| 62839 | Bank Vontobel – Geely Auto R Bull CBBC Dec 2021 A | 40,000,000 | 2020/12/31 | 2021/12/03 | 10.00 |
| 62683 | Bank Vontobel – HSI R Bear CBBC Mar 2021 O | 80,000,000 | 2020/12/31 | 2021/03/30 | 20.00 |
| 62697 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 A | 60,000,000 | 2020/12/31 | 2021/11/19 | 15.00 |
| 62828 | Bank Vontobel – Meituan R Bull CBBC Nov 2021 B | 100,000,000 | 2020/12/31 | 2021/11/26 | 25.00 |
| 62834 | Bank Vontobel – Meituan R Bear CBBC Jun 2021 P | 40,000,000 | 2020/12/31 | 2021/06/25 | 10.00 |
| 62696 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 T | 80,000,000 | 2020/12/31 | 2021/11/19 | 20.00 |
| 62814 | Bank Vontobel – Tencent R Bull CBBC Nov 2021 U | 80,000,000 | 2020/12/31 | 2021/11/26 | 20.00 |
| 62826 | Bank Vontobel – Tencent R Bear CBBC May 2021 A | 80,000,000 | 2020/12/31 | 2021/05/07 | 20.00 |
| Total | | | | | 276,541.49 |

Further issue

DR/ELI/TRADING ONLY STOCKS TRADING STATISTICS

Depository Receipt Trading Statistics

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2019 | Q4 | 1 | 19.94 |
| 2020 | Q1 | 1 | 23.21 |
| | Q2 | 1 | 15.49 |
| | Q3 | 1 | 12.91 |
| | Q4 | 1 | 27.26 |

Equity Linked Instrument Trading Statistics

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2019 | Q4 | - | - |
| 2020 | Q1 | - | - |
| | Q2 | - | - |
| | Q3 | - | - |
| | Q4 | - | - |

NASDAQ Stocks

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2019 | Q4 | 6 | 0.04 |
| 2020 | Q1 | 6 | 0.01 |
| | Q2 | 6 | 0.01 |
| | Q3 | 6 | 0.39 |
| | Q4 | 6 | 0.05 |

iShares

| | | No. of issues | Turnover value (HK\$ mil.) |
|------|----|---------------|----------------------------|
| 2019 | Q4 | - | - |
| 2020 | Q1 | - | - |
| | Q2 | - | - |
| | Q3 | - | - |
| | Q4 | - | - |

GEM EQUITIES

Equity Turnover – GEM

| | | Share (Mil. shs) | Value (HK\$ mil.) | No. of deals |
|------|----|------------------|-------------------|--------------|
| 2019 | Q4 | 43,140.55 | 10,677.20 | 567,374 |
| 2020 | Q1 | 42,596.35 | 16,306.86 | 565,905 |
| | Q2 | 45,485.20 | 15,660.56 | 492,227 |
| | Q3 | 62,143.55 | 28,317.46 | 821,605 |
| | Q4 | 47,458.05 | 26,081.21 | 746,779 |

Equity Trading Statistics – GEM

| | | No. of trading days | Average daily turnover (HK\$ mil.) | Average value per deal |
|------|----|---------------------|------------------------------------|------------------------|
| 2019 | Q4 | 62 | 172.21 | 18,819 |
| 2020 | Q1 | 62 | 263.01 | 28,816 |
| | Q2 | 60 | 261.01 | 31,816 |
| | Q3 | 65 | 435.65 | 34,466 |
| | Q4 | 61 | 427.56 | 34,925 |

20 Most Advanced GEM Stocks

for 4th quarter 2020

| Rank | Code | Stock | Closing price | | % up |
|------|-------|-----------------|-----------------|-----------------|----------|
| | | | End of Dec 2020 | End of Sep 2020 | |
| 1 | 08340 | VINCO FINANCIAL | 0.275 | 0.034 | 708.82 |
| 2 | 08057 | MADISON HLDG | 0.350 | 0.059 | 493.22 |
| 3 | 08606 | KINETIX SYSTEMS | 0.670 | 0.126 | 431.75 |
| 4 | 08401 | STREAM IDEAS | 3.450 | 0.880 | 292.05 |
| 5 | 08341 | AESO HOLDING | 0.290 | 0.074 | A 291.89 |
| 6 | 08395 | TREE HOLDINGS | 0.500 | 0.149 | 235.57 |
| 7 | 08422 | WT GROUP | 0.110 | 0.033 | 233.33 |
| 8 | 08103 | HMVOD | 2.850 | 0.870 | 227.59 |
| 9 | 08462 | OMNIBRIDGE HLDG | 0.760 | 0.235 | 223.40 |
| 10 | 08179 | PALINDA GROUP | 0.225 | 0.070 | 221.43 |
| 11 | 08041 | LUXEY INT'L | 0.465 | 0.145 | 220.69 |
| 12 | 08316 | PAK WING GP | 0.720 | 0.225 | 220.00 |
| 13 | 08269 | WEALTH GLORY | 0.179 | 0.060 | A 198.33 |
| 14 | 08167 | NEO TELEMEDIA | 0.200 | 0.069 | 189.86 |
| 15 | 08158 | CRMI | 0.570 | 0.201 | 183.58 |
| 16 | 08293 | SINGASIA HLDG | 0.085 | 0.032 | 165.63 |
| 17 | 08609 | EGGRICULTURE | 0.360 | 0.143 | 151.75 |
| 18 | 08075 | MEDIA ASIA | 0.660 | 0.280 | A 135.71 |
| 19 | 08367 | SIMPLICITY HLDG | 0.128 | 0.059 | 116.95 |
| 20 | 08482 | WAN LEADER | 0.230 | 0.110 | 109.09 |

A Adjusted

GEM EQUITIES

20 Most Declined GEM Stocks

for 4th quarter 2020

| Rank | Code | Stock | Closing price | | % down |
|------|-------|-----------------|-----------------|-----------------|--------|
| | | | End of Dec 2020 | End of Sep 2020 | |
| 1 | 08069 | WWPKG HOLDINGS | 0.270 | 2.800 | -90.36 |
| 2 | 08428 | CBK HOLDINGS | 0.031 | 0.172 | -81.98 |
| 3 | 08208 | WMCH GLOBAL | 0.150 | 0.740 | -79.73 |
| 4 | 08646 | CHINA HONGGUANG | 0.295 | 0.980 | -69.90 |
| 5 | 08513 | IAG HOLDINGS | 0.550 | 1.620 | -66.05 |
| 6 | 08536 | TL NATURAL GAS | 0.122 | 0.330 | -63.03 |
| 7 | 08619 | WAC HOLDINGS | 0.345 | 0.900 | -61.67 |
| 8 | 08086 | SINO VISION WW | 0.164 | 0.360 A | -54.44 |
| 9 | 08087 | CHINA 33MEDIA | 0.041 | 0.084 | -51.19 |
| 10 | 08025 | ACR HOLDINGS | 0.465 | 0.950 | -51.05 |
| 11 | 08072 | ROMA GROUP | 0.138 | 0.250 | -44.80 |
| 12 | 08140 | BOSATECH | 0.140 | 0.250 | -44.00 |
| 13 | 08153 | FARNOVA GROUP | 0.125 | 0.218 | -42.66 |
| 14 | 08242 | NEW WESTERN | 0.015 | 0.026 | -42.31 |
| 15 | 08206 | S ROBOT EDU | 0.057 | 0.098 | -41.84 |
| 16 | 08059 | GLORY FLAME | 0.064 | 0.110 | -41.82 |
| 17 | 08093 | MILLION STARS | 0.240 | 0.400 | -40.00 |
| 18 | 08039 | KNK HOLDINGS | 0.530 | 0.880 | -39.77 |
| 19 | 08187 | JIMU GROUP | 0.224 | 0.365 | -38.63 |
| 20 | 08027 | KPM HOLDING | 0.128 | 0.206 | -37.86 |

A Adjusted

GEM EQUITIES

20 Most Active GEM Stocks by Value

for 4th quarter 2020

| Rank | Code | Stock | Turnover (HK\$ mil.) | % of total |
|--------------|-------|-----------------|----------------------|--------------|
| 1 | 08083 | CHINA YOUZAN | 16,455.65 | 63.09 |
| 2 | 08153 | FARNOVA GROUP | 891.07 | 3.42 |
| 3 | 08208 | WMCH GLOBAL | 688.39 | 2.64 |
| 4 | 08646 | CHINA HONGGUANG | 533.61 | 2.05 |
| 5 | 08420 | NEXION TECH | 454.68 | 1.74 |
| 6 | 08032 | VIVA CHINA | 439.67 | 1.69 |
| 7 | 08053 | PIZU GROUP | 326.26 | 1.25 |
| 8 | 08341 | AESO HOLDING | 308.74 | 1.18 |
| 9 | 08069 | WWPKG HOLDINGS | 288.20 | 1.11 |
| 10 | 08487 | ISP GLOBAL | 272.32 | 1.04 |
| 11 | 08536 | TL NATURAL GAS | 189.08 | 0.72 |
| 12 | 08158 | CRMI | 178.39 | 0.68 |
| 13 | 08072 | ROMA GROUP | 164.91 | 0.63 |
| 14 | 08513 | IAG HOLDINGS | 148.93 | 0.57 |
| 15 | 08606 | KINETIX SYSTEMS | 139.71 | 0.54 |
| 16 | 08367 | SIMPLICITY HLDG | 131.26 | 0.50 |
| 17 | 08428 | CBK HOLDINGS | 131.03 | 0.50 |
| 18 | 08195 | L&A INTL HOLD | 128.25 | 0.49 |
| 19 | 08103 | HMVOD | 104.65 | 0.40 |
| 20 | 08437 | RMH HOLDINGS | 103.26 | 0.40 |
| Total | | | 22,078.06 | 84.65 |

GEM EQUITIES

20 Most Active GEM Stock by Shares

for 4th quarter 2020

| Rank | Code | Stock | Turnover (Mil. shs) | % of total |
|--------------|-------|-----------------|---------------------|--------------|
| 1 | 08083 | CHINA YOUZAN | 8,420.26 | 17.74 |
| 2 | 08153 | FARNOVA GROUP | 5,570.29 | 11.74 |
| 3 | 08428 | CBK HOLDINGS | 1,312.19 | 2.76 |
| 4 | 08275 | BEAVER GROUP | 1,197.21 | 2.52 |
| 5 | 08367 | SIMPLICITY HLDG | 1,176.77 | 2.48 |
| 6 | 08081 | HANG TAI YUE GP | 1,112.80 | 2.34 |
| 7 | 08250 | SILK RD ENERGY | 851.69 | 1.79 |
| 8 | 08022 | EVERSHINE GP | 835.16 | 1.76 |
| 9 | 08032 | VIVA CHINA | 816.67 | 1.72 |
| 10 | 08123 | SINOFORTUNE FIN | 761.78 | 1.61 |
| 11 | 08420 | NEXION TECH | 751.38 | 1.58 |
| 12 | 08341 | AESO HOLDING | 684.06 | 1.44 |
| 13 | 08536 | TL NATURAL GAS | 635.06 | 1.34 |
| 14 | 08133 | JETE POWER | 548.44 | 1.16 |
| 15 | 08208 | WMCH GLOBAL | 532.26 | 1.12 |
| 16 | 08493 | DRAGON KING GP | 505.55 | 1.07 |
| 17 | 08167 | NEO TELEMEDIA | 482.45 | 1.02 |
| 18 | 08627 | ORANGE TOUR | 480.87 | 1.01 |
| 19 | 08086 | SINO VISION WW | 456.99 | 0.96 |
| 20 | 08456 | MANSION INTL | 443.39 | 0.93 |
| Total | | | 27,575.25 | 58.10 |

GEM EQUITIES

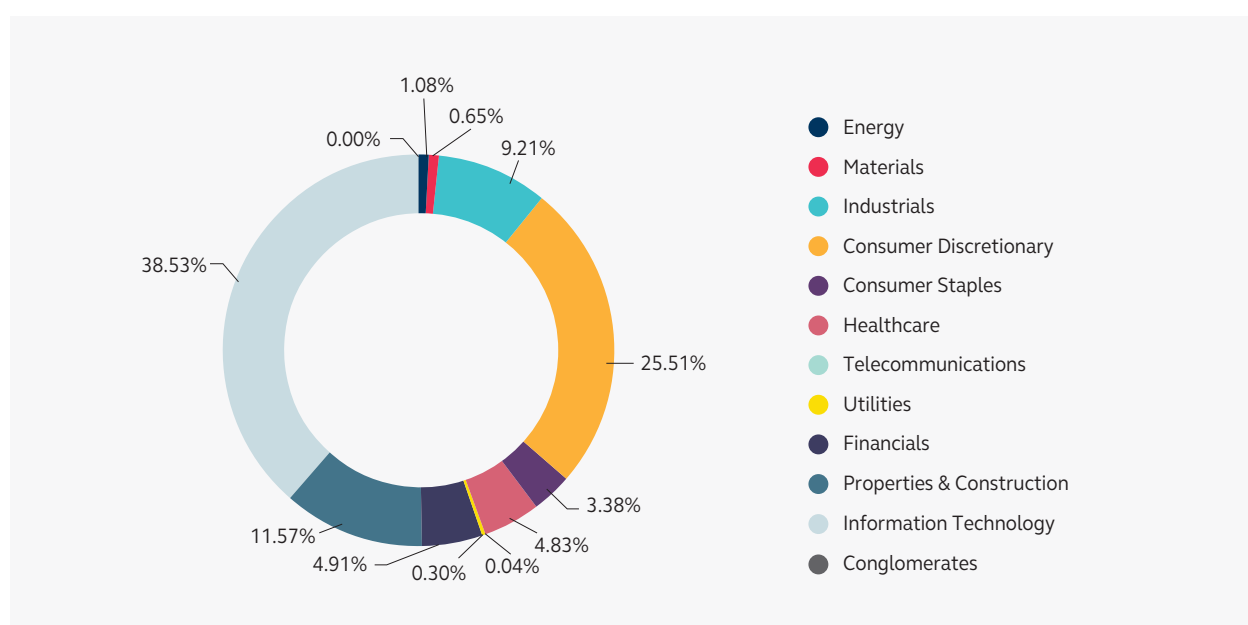
Market Capitalisation of Listed Companies for GEM

as at the quarter end

| | | HK\$ mil. |
|------|----|------------|
| 2019 | Q4 | 106,699.32 |
| 2020 | Q1 | 95,524.29 |
| | Q2 | 102,733.62 |
| | Q3 | 107,753.22 |
| | Q4 | 130,822.84 |

Market Capitalisation by Hang Seng Industry Classification System * – GEM

Quarter-end figures



* Hang Seng Industry Classification System (HSICS) is provided by Hang Seng Indexes Company Limited.

Note: New Hang Seng Industry Classification System took effect on 9 September 2019.

GEM EQUITIES

50 Leading Companies by Market Capitalisation – GEM

as at the 4th quarter end 2020

| Rank | Company | Market capitalisation (HK\$ mil.) | % of market total |
|---------------------|---|--------------------------------------|-------------------|
| 1 | 08083 China Youzan Ltd. | 39,855.36 | 30.47 |
| 2 | 08032 Viva China Holdings Ltd. | 5,763.73 | 4.41 |
| 3 | 08137 Honbridge Holdings Ltd. | 4,533.09 | 3.47 |
| 4 | 08279 AGTech Holdings Ltd. | 3,326.62 | 2.54 |
| 5 | 08053 Pizu Group Holdings Ltd. | 3,131.68 | 2.39 |
| 6 | 08295 ZZ Capital International Ltd. | 2,722.54 | 2.08 |
| 7 | 08057 Madison Holdings Group Ltd. | 2,180.95 | 1.67 |
| 8 | 08516 Grand Talents Group Holdings Ltd. | 1,934.40 | 1.48 |
| 9 | 08167 Neo Telemedia Ltd. | 1,904.44 | 1.46 |
| 10 | 08328 Xinyi Automobile Glass Hong Kong Enterprises Ltd. | 1,860.39 | 1.42 |
| 11 | 08158 China Regenerative Medicine International Ltd. | 1,626.95 | 1.24 |
| 12 | 08170 China All Nation International Holdings Group Ltd. | 1,529.66 | 1.17 |
| 13 | 08487 ISP Global Ltd. | 1,496.00 | 1.14 |
| 14 | 08037 China Biotech Services Holdings Ltd. | 1,256.91 | 0.96 |
| 15 | 08418 Optima Automobile Group Holdings Ltd. | 1,156.00 | 0.88 |
| 16 | 08227 Xi'an Haitian Antenna Technologies Co., Ltd. – H Shares | 1,052.60 | 0.80 |
| 17 | 08246 Zhonghua Gas Holdings Ltd. | 1,014.20 | 0.78 |
| 18 | 08228 National Arts Entertainment and Culture Group Ltd. | 942.83 | 0.72 |
| 19 | 08363 SDM Group Holdings Ltd. | 923.04 | 0.71 |
| 20 | 08026 China Brilliant Global Ltd. | 915.05 | 0.70 |
| 21 | 08047 China Ocean Group Development Ltd. | 914.45 | 0.70 |
| 22 | 08153 Farnova Group Holdings Ltd. | 892.68 | 0.68 |
| 23 | 08156 Sinopharm Tech Holdings Ltd. | 815.05 | 0.62 |
| 24 | 08395 Tree Holdings Ltd. | 792.00 | 0.61 |
| 25 | 08308 Gudou Holdings Ltd. | 695.80 | 0.53 |
| 26 | 08401 Stream Ideas Group Ltd. | 690.00 | 0.53 |
| 27 | 08425 Hing Ming Holdings Ltd. | 608.00 | 0.46 |
| 28 | 08195 L & A International Holdings Ltd. | 599.03 | 0.46 |
| 29 | 08506 China Futex Holdings Ltd. | 590.00 | 0.45 |
| 30 | 08316 Pak Wing Group (Holdings) Ltd. | 576.00 | 0.44 |
| 31 | 08313 ZACD Group Ltd. | 570.00 | 0.44 |
| 32 | 08479 JTF International Holdings Ltd. | 539.40 | 0.41 |
| 33 | 08606 Kinetix Systems Holdings Ltd. | 536.00 | 0.41 |
| 34 | 08050 Quantum Thinking Ltd. | 522.16 | 0.40 |
| 35 | 08371 Taste.Gourmet Group Ltd. | 495.27 | 0.38 |
| 36 | 08223 Ziyuanyuan Holdings Group Ltd. | 472.00 | 0.36 |
| 37 | 08500 Icon Culture Global Co. Ltd. | 469.80 | 0.36 |
| 38 | 08420 Nexion Technologies Ltd. | 460.80 | 0.35 |
| 39 | 08462 Omnibridge Holdings Ltd. | 456.00 | 0.35 |
| 40 | 08176 SuperRobotics Holdings Ltd. | 455.60 | 0.35 |
| 41 | 08029 Sun International Group Ltd. | 451.72 | 0.35 |
| 42 | 08475 K Group Holdings Ltd. | 440.00 | 0.34 |
| 43 | 08021 WLS Holdings Ltd. | 431.01 | 0.33 |
| 44 | 08171 China Trends Holdings Ltd. | 427.16 | 0.33 |
| 45 | 08082 Sun Entertainment Group Ltd. | 425.27 | 0.33 |
| 46 | 08005 Yuxing InfoTech Investment Holdings Ltd. | 412.54 | 0.32 |
| 47 | 08128 China Geothermal Industry Development Group Ltd. | 411.95 | 0.31 |
| 48 | 08225 China Health Group Inc. | 408.09 | 0.31 |
| 49 | 08317 Finet Group Ltd. | 399.92 | 0.31 |
| 50 | 08011 Polyard Petroleum International Group Ltd. | 392.44 | 0.30 |
| Total | | 95,476.56 | 72.98 |
| Market Total | | 130,822.84 | 100.00 |

GEM EQUITIES

New Listing Statistics – equities for GEM

| | | No. of newly listed companies | Funds raised (HK\$ mil.) |
|------|----|-------------------------------|--------------------------|
| 2019 | Q4 | 7 | 414.00 |
| 2020 | Q1 | 2 | 120.30 |
| | Q2 | 3 | 174.00 |
| | Q3 | 2 | 120.00 |
| | Q4 | 1 | 140.00 |

Newly Listed Companies for GEM

for 4th quarter 2020

| Code | Company | Listing date | No. of issued shares | Funds raised (HK\$ mil.) |
|--------------|-----------------------------------|--------------|----------------------|--------------------------|
| 08657 | True Partner Capital Holding Ltd. | 2020/10/16 | 400,000,000 | 140.00 |
| Total | | | | 140.00 |

Withdrawal of Listed Companies – GEM

for 4th quarter 2020

| Code | Company | Last trading date | Delisted date |
|-------|--|-------------------|---------------|
| 08142 | Tak Lee Machinery Holdings Ltd. * | 2020/10/05 | 2020/10/06 § |
| 08230 | China Yu Tian Holdings Ltd. | 2019/03/28 | 2020/10/29 |
| 08465 | Transtech Optelecom Science Holdings Ltd. * | 2020/11/04 | 2020/11/05 § |
| 08207 | Chong Sing Holdings FinTech Group Ltd. | 2019/07/05 | 2020/11/30 |
| 08485 | Smart Globe Holdings Ltd. * | 2020/12/10 | 2020/12/11 § |
| 08256 | Netel Technology (Holdings) Ltd. | 2018/08/07 | 2020/12/15 |
| 08190 | Combest Holdings Ltd. | 2019/05/28 | 2020/12/24 |
| 08236 | Powerleader Science & Technology Group Ltd. – H Shares | 2020/12/21 | 2020/12/30 |

* Transfers of listing from GEM to Main Board pursuant to the revised Rule 9.24 of the GEM Listing Rules and to the new Chapter 9A of the Main Board Listing Rules effective from 1 July 2008.

§ Effective date of the transfer of listing from GEM to Main Board.

GEM EQUITIES

Company Name Changes for GEM

for 4th quarter 2020

| Code | Old name | New name | Effective date | Adoption date |
|-------|---|--|----------------|---------------|
| 08179 | Food Idea Holdings Ltd. | Palinda Group Holdings Ltd. | 2020/08/27 | 2020/10/12 |
| 08391 | Elegance Commercial and Financial Printing Group Ltd. | Cornerstone Technologies Holdings Ltd. | 2020/09/07 | 2020/10/22 |

Bonus Issues/Bonus Warrants for GEM

for 4th quarter 2020

| Code | Company | Particulars | Ex-date |
|------|---------|-------------|---------|
| Nil | | | |

Share Split/Consolidation for GEM

for 4th quarter 2020

| Code | Company | Particulars | Effective date |
|-------|---------------------------------------|-------------------------|----------------|
| 08200 | Sau San Tong Holdings Ltd. | Consolidation 10 into 1 | 2020/11/13 |
| 08125 | Royal Century Resources Holdings Ltd. | Consolidation 10 into 1 | 2020/11/18 |
| 08086 | Sino Vision Worldwide Holdings Ltd. | Consolidation 20 into 1 | 2020/11/24 |
| 08075 | Media Asia Group Holdings Ltd. | Consolidation 10 into 1 | 2020/12/22 |

Rights Issues & Open Offers for GEM

for 4th quarter 2020

| Month | Code | Company | Ratio | Funds raised (HK\$ mil.) |
|--------------|-------|---------------------------------------|--|--------------------------|
| Oct | 08275 | Beaver Group (Holding) Co. Ltd. | Rts 1 for 2 @\$0.027 | 8.10 |
| Nov | 08341 | Aeso Holding Ltd. | Rts 3 for 1 @\$0.08 | 48.00 |
| | 08269 | Wealth Glory Holdings Ltd. | Rts 5 for 2 @\$0.08 | 41.09 |
| Dec | 08125 | Royal Century Resources Holdings Ltd. | Rts 2 for 1 @\$0.30 (after consolidation 10 into 1) | 31.36 |
| | 08291 | Wan Cheng Metal Packaging Co. Ltd. | Rts 3 for 1 @\$0.10 | 24.00 |
| Total | | | | 152.54 |

GEM EQUITIES

Placing*

| Month | Code | Company | No. of new shares placed | Placing price (HK\$) | Funds raised (HK\$ mil.) |
|--------------|-------|-----------------|--------------------------------|-------------------------|-----------------------------|
| 2020 | | | | | |
| Oct | 08047 | CHINA OCEAN GP | 50,000,000 | 0.1000 | 5.00 |
| | 08187 | JIMU GROUP | 21,600,000 | 0.4380 | 9.46 |
| | 08198 | LOTO INTERACT | 63,164,000 | 0.2600 | 16.42 |
| | 08456 | MANSION INTL | 460,000,000 | 0.0800 | 36.80 |
| | 08292 | WORLDGATEGLOBAL | 40,000,000 | 0.0300 | 1.20 |
| Nov | 08153 | FARNOVA GROUP | 488,900,000 | 0.1430 | 69.91 |
| | 08162 | LOCO HK | 115,000,000 | 0.1480 | 17.02 |
| | 08445 | NOBLE ENG GP | 98,000,000 | 0.0500 | 4.90 |
| | 08367 | SIMPLICITY HLDG | 160,000,000 | 0.0520 | 8.32 |
| | 08371 | TASTEGOURMET GP | 7,200,000 | 1.3000 | 9.36 |
| | 08510 | TOPSTANDARDCORP | 192,000,000 | 0.0770 | 14.78 |
| Dec | 08047 | CHINA OCEAN GP | 357,696,000 | 0.1200 | 42.92 |
| | 08195 | L&A INTL HOLD | 255,984,000 | 0.3450 | 88.31 |
| | 08057 | MADISON HLDG | 1,038,545,379 | 0.0550 | 57.12 |
| | 08086 | SINO VISION WW | 75,000,000 | 0.3200 | 24.00 |
| Total | | | | | 405.54 |

* Due to the reporting time-lag, placing figures for the quarter are provisional.

GEM WARRANTS

Warrant Trading and Market Value Statistics – GEM

| | | Number | Turnover value (HK\$ mil.) | Market value (HK\$ mil.) |
|------|----|--------|----------------------------|--------------------------|
| 2019 | Q4 | 1 | 0.00 | 62.83 |
| 2020 | Q1 | 1 | 0.01 | 62.83 |
| | Q2 | 1 | 0.00 | 62.83 |
| | Q3 | 1 | 0.00 | 62.83 |
| | Q4 | 1 | 0.00 | 62.83 |

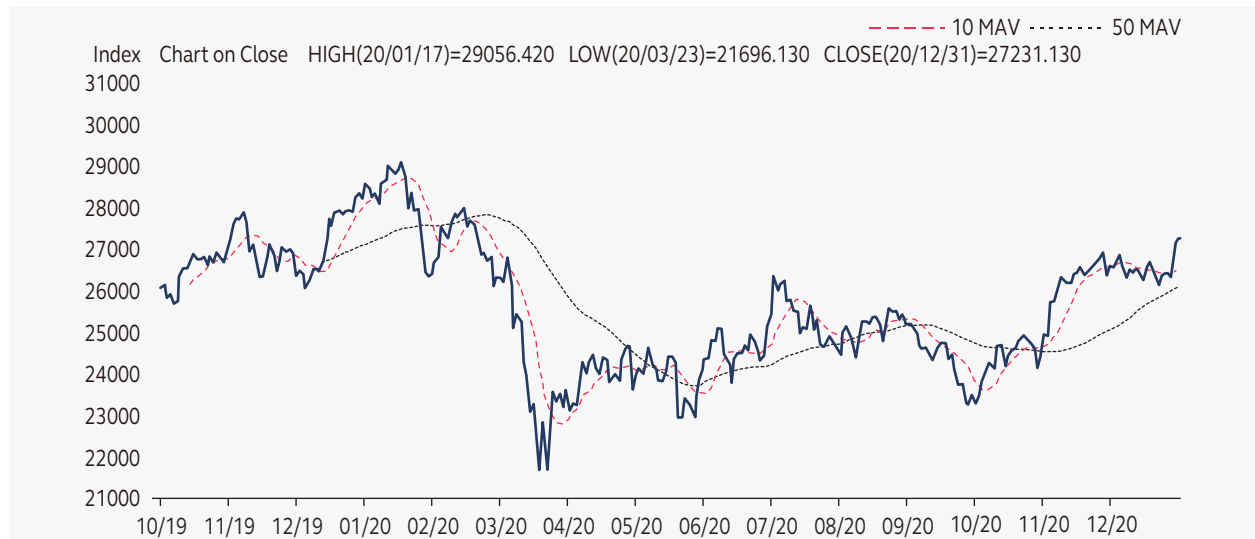
Newly Listed Equity GEM Warrants

for 4th quarter 2020

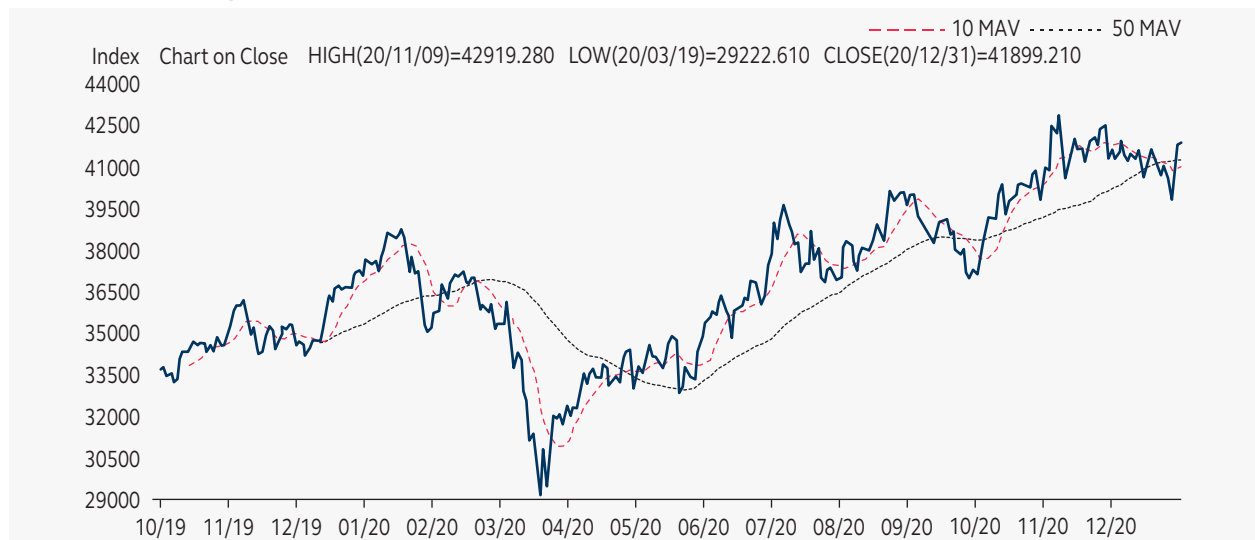
| Code | Equity warrants | Initial issued amount (HK) | Listing date | Expiry | Amount raised (HK\$ mil.) |
|--------------|-----------------|----------------------------|--------------|--------|---------------------------|
| | Nil | | | | |
| Total | | | | | - |

STOCK MARKET INDICES

Hang Seng Index



S&P/HKEX LargeCap Index



S&P/HKEX GEM Index



STOCK MARKET INDICES

Hong Kong Stock Market Indices

| Index | End of Dec 2020 Close | End of Sep 2020 Close | Change % |
|---|--------------------------|--------------------------|----------|
| S&P/HKEX LargeCap Index | 41899.21 | 37637.19 | + 11.32% |
| S&P/HKEX GEM Index | 133.80 | 104.27 | + 28.32% |
| HANG SENG INDEX | 27231.13 | 23459.05 | + 16.08% |
| SECTORIAL INDICES | | | |
| Finance | 36938.99 | 30216.93 | + 22.25% |
| Utilities | 45760.14 | 44430.46 | + 2.99% |
| Properties | 32127.32 | 31111.76 | + 3.26% |
| Commerce & Industry | 17452.75 | 15415.02 | + 13.22% |
| HANG SENG CHINA-AFFILIATED CORPORATIONS INDEX | 3799.56 | 3589.83 | + 5.84% |
| HANG SENG CHINA ENTERPRISES INDEX | 10738.4 | 9397.37 | + 14.27% |

STOCK MARKET INDICES

World Indices

| Index | End of Dec 2020 Close | End of Sep 2020 Close | Change % |
|-----------------------------|--------------------------|--------------------------|----------|
| HONG KONG | | | |
| S&P/HKEX LargeCap Index | 41899.21 | 37637.19 | + 11.32% |
| Hang Seng Index | 27231.13 | 23459.05 | + 16.08% |
| AUSTRALIA | | | |
| All Ordinaries Index | 6850.60 | 6009.30 | + 14.00% |
| JAKARTA | | | |
| Composite Index | 5979.07 | 4870.04 | + 22.77% |
| JAPAN | | | |
| Nikkei (225) | 27444.17 | 23185.12 | + 18.37% |
| KOREA | | | |
| KOSPI Index | 2873.47 | 2327.89 | + 23.44% |
| KUALA LUMPUR | | | |
| Composite Index | 1627.21 | 1504.82 | + 8.13% |
| MANILA | | | |
| Composite Index | 7139.71 | 5864.23 | + 21.75% |
| NEW ZEALAND | | | |
| NZSE (50) | 13091.64 | 11747.28 | + 11.44% |
| SHANGHAI | | | |
| A Shares Index | 3640.46 | 3372.60 | + 7.94% |
| B Shares Index | 243.47 | 248.10 | - 1.86% |
| SHENZHEN | | | |
| A Shares Index | 2437.58 | 2249.63 | + 8.35% |
| B Shares Index | 1086.72 | 935.19 | + 16.20% |
| SINGAPORE | | | |
| Straits Times Index | 2843.81 | 2466.62 | + 15.29% |
| TAIWAN | | | |
| Taipei Weighted Stock Index | 14732.53 | 12515.61 | + 17.71% |
| THAILAND | | | |
| SET Index | 1449.35 | 1237.04 | + 17.16% |
| NEW YORK | | | |
| DJIA | 30606.48 | 27781.70 | + 10.17% |
| Nasdaq Composite Index | 12888.28 | 11167.51 | + 15.41% |
| TORONTO | | | |
| S&P/TSX Composite Index | 17433.36 | 16121.38 | + 8.14% |
| GERMANY | | | |
| DAX Kursindex | 5935.22 | 5528.17 | + 7.36% |
| LONDON | | | |
| FTSE 100 Index | 6460.52 | 5866.10 | + 10.13% |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Contract Volume by Derivatives Products

| Derivatives product | Contracts | |
|--|------------------|------------------|
| | 4th Quarter 2020 | 4th Quarter 2019 |
| Hang Seng Index Futures | 8,134,169 | 11,035,508 |
| Hang Seng Index Options | 1,812,720 | 2,775,579 |
| Weekly Hang Seng Index Options | 303,243 | 237,508 |
| Mini-Hang Seng Index Futures | 5,141,000 | 4,934,747 |
| Mini-Hang Seng Index Options | 640,322 | 827,714 |
| HSI (Gross Total Return Index) Futures | 0 | 44 |
| HSI (Net Total Return Index) Futures | 0 | 40 |
| Hang Seng China Enterprises Index Futures | 7,811,563 | 7,880,717 |
| Hang Seng China Enterprises Index Options | 4,230,511 | 4,964,442 |
| Weekly Hang Seng China Enterprises Index Options | 128,986 | 91,448 |
| Mini-Hang Seng China Enterprises Index Futures | 796,548 | 752,375 |
| Mini-Hang Seng China Enterprises Index Options | 133,272 | 173,296 |
| HSCEI (Gross Total Return Index) Futures | 0 | 10 |
| HSCEI (Net Total Return Index) Futures | 0 | 10 |
| Flexible Hang Seng Index Options | 0 | 0 |
| Flexible Hang Seng China Enterprises Index Options | 0 | 0 |
| HSI Dividend Point Index Futures | 4,936 | 1,255 |
| HSCEI Dividend Point Index Futures | 77,372 | 143,415 |
| HSI Volatility Index Futures | 0 | 15 |
| Hang Seng TECH Index Futures ¹ | 49,122 | - |
| MSCI AC Asia ex Japan NTR Index Futures | 4,162 | 93,680 |
| MSCI Australia Net Total Return (USD) Index Futures ² | 0 | - |
| MSCI China Free (USD) Index Futures ³ | 10 | - |
| MSCI China Free Net Total Return (USD) Index Futures ⁴ | 10,811 | - |
| MSCI EM Asia ex China Net Total Return (USD) Index Futures ⁵ | 0 | - |
| MSCI EM Asia ex Korea Net Total Return (USD) Index Futures ⁶ | 0 | - |
| MSCI EM Asia Net Total Return (USD) Index Futures ⁷ | 39,805 | - |
| MSCI EM EMEA Net Total Return (USD) Index Futures ⁸ | 0 | - |
| MSCI EM ex China Net Total Return (USD) Index Futures ⁹ | 0 | - |
| MSCI EM ex Korea Net Total Return (USD) Index Futures ¹⁰ | 0 | - |
| MSCI EM LatAm Net Total Return (USD) Index Futures ¹¹ | 0 | - |
| MSCI Emerging Markets (USD) Index Futures ¹² | 0 | - |
| MSCI Emerging Markets Net Total Return (USD) Index Futures ¹³ | 40 | - |
| MSCI Hong Kong Net Total Return (USD) Index Futures ¹⁴ | 0 | - |
| MSCI India (USD) Index Futures ¹⁵ | 0 | - |
| MSCI India Net Total Return (USD) Index Futures ¹⁶ | 5,245 | - |
| MSCI Indonesia (USD) Index Futures ¹⁷ | 370 | - |
| MSCI Indonesia Net Total Return (USD) Index Futures ¹⁸ | 13,368 | - |
| MSCI Japan (JPY) Index Futures ¹⁹ | 0 | - |
| MSCI Japan Net Total Return (JPY) Index Futures ²⁰ | 0 | - |
| MSCI Japan Net Total Return (USD) Index Futures ²¹ | 10,748 | - |
| MSCI Malaysia (USD) Index Futures ²² | 0 | - |
| MSCI Malaysia Net Total Return (USD) Index Futures ²³ | 2,224 | - |
| MSCI New Zealand Net Total Return (USD) Index Futures ²⁴ | 0 | - |
| MSCI Pacific ex Japan Net Total Return (USD) Index Futures ²⁵ | 0 | - |
| MSCI Pacific Net Total Return (USD) Index Futures ²⁶ | 0 | - |
| MSCI Philippines (USD) Index Futures ²⁷ | 0 | - |
| MSCI Philippines Net Total Return (USD) Index Futures ²⁸ | 33 | - |
| MSCI Singapore Free (SGD) Index Futures ²⁹ | 2,397 | - |
| MSCI Singapore Free Net Total Return (USD) Index Futures ³⁰ | 0 | - |
| MSCI Singapore Net Total Return (USD) Index Futures ³¹ | 1 | - |
| MSCI Taiwan (USD) Index Futures ³² | 461,051 | - |
| MSCI Taiwan 25/50 (USD) Index Futures ³³ | 5,738 | - |
| MSCI Taiwan 25/50 Net Total Return (USD) Index Futures ³⁴ | 3 | - |
| MSCI Taiwan Net Total Return (USD) Index Futures ³⁵ | 15,495 | - |
| MSCI Thailand (USD) Index Futures ³⁶ | 0 | - |
| MSCI Thailand Net Total Return (USD) Index Futures ³⁷ | 10,719 | - |
| MSCI Vietnam (USD) Index Futures ³⁸ | 0 | - |
| MSCI Vietnam Net Total Return (USD) Index Futures ³⁹ | 0 | - |
| CES China 120 Index Futures | 0 | 11 |
| CES Gaming Top 10 Index Futures ⁴⁰ | - | 0 |
| Hang Seng Mainland Oil & Gas Index Futures | 0 | 3,187 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

| Derivatives product | Contracts | |
|---|-------------------|-------------------|
| | 4th Quarter 2020 | 4th Quarter 2019 |
| Hang Seng Mainland Banks Index Futures | 0 | 2,287 |
| Hang Seng Mainland Healthcare Index Futures ⁴¹ | - | 0 |
| Hang Seng Mainland Properties Index Futures | 0 | 2,076 |
| Hang Seng IT Hardware Index Futures ⁴² | - | 0 |
| Hang Seng Software & Services Index Futures ⁴³ | - | 0 |
| IBOVESPA Futures | 0 | 0 |
| MICEX Index Futures | 0 | 0 |
| FTSE/JSE Top40 Futures | 0 | 0 |
| Stock Futures | 296,642 | 167,566 |
| Stock Options | 33,251,718 | 23,442,562 |
| Three-month HIBOR Futures | 2 | 1 |
| One-month HIBOR Futures | 5 | 8 |
| RMB Currency Futures – USD/CNH Futures | 456,640 | 368,716 |
| RMB Currency Options – USD/CNH Options | 1,285 | 2,241 |
| RMB Currency Futures – EUR/CNH Futures | 93 | 645 |
| RMB Currency Futures – JPY/CNH Futures | 5 | 511 |
| RMB Currency Futures – AUD/CNH Futures | 0 | 450 |
| RMB Currency Futures – CNH/USD Futures | 258 | 2,316 |
| INR Currency Futures – INR/USD Futures ⁴⁴ | 26,645 | 11,130 |
| INR Currency Futures – INR/CNH Futures ⁴⁵ | 0 | 6 |
| USD Gold Futures | 1,033 | 137,423 |
| CNH Gold Futures | 3 | 16,859 |
| USD Silver Futures ⁴⁶ | 333 | - |
| CNH Silver Futures ⁴⁷ | 0 | - |
| Iron Ore Futures – Monthly Contracts | 14,391 | 5,746 |
| Iron Ore Futures – Quarterly Contracts | 0 | 0 |
| CNH London Aluminium Mini Futures | 0 | 13 |
| CNH London Zinc Mini Futures | 0 | 5 |
| CNH London Copper Mini Futures | 1 | 0 |
| CNH London Lead Mini Futures | 0 | 12 |
| CNH London Nickel Mini Futures | 0 | 6 |
| CNH London Tin Mini Futures | 0 | 0 |
| USD London Aluminium Mini Futures | 7,252 | 3,916 |
| USD London Zinc Mini Futures | 9,746 | 0 |
| USD London Copper Mini Futures | 42,362 | 8,656 |
| USD London Lead Mini Futures | 0 | 0 |
| USD London Nickel Mini Futures | 1,488 | 335 |
| USD London Tin Mini Futures | 0 | 10 |
| Total | 63,955,886 | 58,088,497 |

- ¹ Trading in Hang Seng TECH Index Futures commenced on 23 Nov 2020
- ² Trading in MSCI Australia Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ³ Trading in MSCI China Free (USD) Index Futures commenced on 03 Aug 2020
- ⁴ Trading in MSCI China Free Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ⁵ Trading in MSCI EM Asia ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ⁶ Trading in MSCI EM Asia ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ⁷ Trading in MSCI EM Asia Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ⁸ Trading in MSCI EM EMEA Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ⁹ Trading in MSCI EM ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ¹⁰ Trading in MSCI EM ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ¹¹ Trading in MSCI EM LatAm Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ¹² Trading in MSCI Emerging Markets (USD) Index Futures commenced on 20 Jul 2020
- ¹³ Trading in MSCI Emerging Markets Net Total Return (USD) Index Futures commenced on 20 Jul 2020
- ¹⁴ Trading in MSCI Hong Kong Net Total Return (USD) Index Futures commenced on 20 Jul 2020
- ¹⁵ Trading in MSCI India (USD) Index Futures commenced on 03 Aug 2020
- ¹⁶ Trading in MSCI India Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ¹⁷ Trading in MSCI Indonesia (USD) Index Futures commenced on 20 Jul 2020
- ¹⁸ Trading in MSCI Indonesia Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ¹⁹ Trading in MSCI Japan (JPY) Index Futures commenced on 28 Sep 2020
- ²⁰ Trading in MSCI Japan Net Total Return (JPY) Index Futures commenced on 28 Sep 2020
- ²¹ Trading in MSCI Japan Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ²² Trading in MSCI Malaysia (USD) Index Futures commenced on 03 Aug 2020
- ²³ Trading in MSCI Malaysia Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ²⁴ Trading in MSCI New Zealand Net Total Return (USD) Index Futures commenced on 03 Aug 2020

DERIVATIVES MARKET – FUTURES AND OPTIONS

| | |
|----|--|
| 25 | Trading in MSCI Pacific ex Japan Net Total Return (USD) Index Futures commenced on 17 Aug 2020 |
| 26 | Trading in MSCI Pacific Net Total Return (USD) Index Futures commenced on 17 Aug 2020 |
| 27 | Trading in MSCI Philippines (USD) Index Futures commenced on 03 Aug 2020 |
| 28 | Trading in MSCI Philippines Net Total Return (USD) Index Futures commenced on 20 Jul 2020 |
| 29 | Trading in MSCI Singapore Free (SGD) Index Futures commenced on 28 Sep 2020 |
| 30 | Trading in MSCI Singapore Free Net Total Return (USD) Index Futures commenced on 03 Aug 2020 |
| 31 | Trading in MSCI Singapore Net Total Return (USD) Index Futures commenced on 20 Jul 2020 |
| 32 | Trading in MSCI Taiwan (USD) Index Futures commenced on 06 Jul 2020 |
| 33 | Trading in MSCI Taiwan 25/50 (USD) Index Futures commenced on 28 Sep 2020 |
| 34 | Trading in MSCI Taiwan 25/50 Net Total Return (USD) Index Futures commenced on 28 Sep 2020 |
| 35 | Trading in MSCI Taiwan Net Total Return (USD) Index Futures commenced on 06 Jul 2020 |
| 36 | Trading in MSCI Thailand (USD) Index Futures commenced on 03 Aug 2020 |
| 37 | Trading in MSCI Thailand Net Total Return (USD) Index Futures commenced on 06 Jul 2020 |
| 38 | Trading in MSCI Vietnam (USD) Index Futures commenced on 03 Aug 2020 |
| 39 | Trading in MSCI Vietnam Net Total Return (USD) Index Futures commenced on 20 Jul 2020 |
| 40 | Trading in CES Gaming Top 10 Index Futures suspended with effect from 30 Dec 2019 |
| 41 | Trading in Hang Seng Mainland Healthcare Index Futures suspended with effect from 30 Dec 2019 |
| 42 | Trading in Hang Seng IT Hardware Index Futures suspended with effect from 30 Dec 2019 |
| 43 | Trading in Hang Seng Software & Services Index Futures suspended with effect from 30 Dec 2019 |
| 44 | Trading in INR Currency Futures – INR/USD Futures commenced on 04 Nov 2019 |
| 45 | Trading in INR Currency Futures – INR/CNH Futures commenced on 04 Nov 2019 |
| 46 | Trading in USD Silver Futures commenced on 08 Jun 2020 |
| 47 | Trading in CNH Silver Futures commenced on 08 Jun 2020 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2019 | Q4 | 11,035,508 | 121,237 |
| 2020 | Q1 | 13,049,566 | 117,709 |
| | Q2 | 9,692,902 | 129,015 |
| | Q3 | 10,758,426 | 139,535 |
| | Q4 | 8,134,169 | 129,721 |

Mini Hang Seng Index Futures – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2019 | Q4 | 4,934,747 | 10,635 |
| 2020 | Q1 | 8,418,103 | 10,373 |
| | Q2 | 7,041,426 | 10,523 |
| | Q3 | 7,301,548 | 12,639 |
| | Q4 | 5,141,000 | 17,370 |

Hang Seng Index Options – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2019 | Q4 | 2,775,579 | 210,101 |
| 2020 | Q1 | 3,387,206 | 289,561 |
| | Q2 | 2,239,280 | 235,160 |
| | Q3 | 2,372,591 | 236,708 |
| | Q4 | 1,812,720 | 162,843 |

Hang Seng China Enterprises Index Futures – Contract Volume and Open Interest (contracts)

| | | Total contract volume | Quarter-end open interest |
|------|----|--------------------------|------------------------------|
| 2019 | Q4 | 7,880,717 | 325,907 |
| 2020 | Q1 | 11,318,866 | 344,099 |
| | Q2 | 8,108,128 | 350,634 |
| | Q3 | 9,017,888 | 347,673 |
| | Q4 | 7,811,563 | 290,518 |

Stock Options Market Turnover and Open Interest (contracts)

| | | Total Premium (HK\$ mil.) | Total contract volume | Quarter-end open interest |
|------|----|------------------------------|--------------------------|------------------------------|
| 2019 | Q4 | 17,177.74 | 23,442,562 | 6,702,538 |
| 2020 | Q1 | 33,438.78 | 33,313,232 | 8,420,294 |
| | Q2 | 31,172.08 | 27,914,168 | 7,904,587 |
| | Q3 | 51,079.77 | 36,542,542 | 8,718,073 |
| | Q4 | 49,941.46 | 33,251,718 | 8,942,852 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Stock Options Premium by Class

for 4th Quarter 2020

| Options class | | Premium (HK\$ mil.) | % of total |
|-----------------|-----|------------------------|------------|
| ISHARES A50 | A50 | 156.81 | 0.31 |
| AAC TECH | AAC | 206.78 | 0.41 |
| CONCH CEMENT | ACC | 60.92 | 0.12 |
| AIA | AIA | 803.65 | 1.61 |
| AIR CHINA | AIR | 14.63 | 0.03 |
| ALIBABA | ALB | 9,540.75 | 19.10 |
| CHALCO | ALC | 13.31 | 0.03 |
| ALI HEALTH | ALH | 29.12 | 0.06 |
| CAM CSI300 | AMC | 186.79 | 0.37 |
| ANTA SPORTS | ANA | 245.82 | 0.49 |
| BANKCOMM | BCM | 7.19 | 0.01 |
| BANK OF E ASIA | BEA | 3.74 | 0.01 |
| BOC HONG KONG | BOC | 38.46 | 0.08 |
| BUD APAC | BUD | 36.97 | 0.07 |
| BYD COMPANY | BYD | 2,363.47 | 4.73 |
| CHINA COMM CONS | CCC | 30.62 | 0.06 |
| CHINA COAL | CCE | 4.45 | 0.01 |
| CHINA CINDA | CDA | 9.42 | 0.02 |
| CGN POWER | CGN | 29.17 | 0.06 |
| CHINA MOBILE | CHT | 684.48 | 1.37 |
| CHINA UNICOM | CHU | 86.62 | 0.17 |
| CITIC | CIT | 7.42 | 0.01 |
| CKH HOLDINGS | CKH | 103.39 | 0.21 |
| CK ASSET | CKP | 74.52 | 0.15 |
| CHINA LIFE | CLI | 450.57 | 0.90 |
| CLP HOLDINGS | CLP | 31.03 | 0.06 |
| CM BANK | CMB | 223.42 | 0.45 |
| CNOOC | CNC | 373.15 | 0.75 |
| COUNTRY GARDEN | COG | 62.93 | 0.13 |
| CHINA OVERSEAS | COL | 126.30 | 0.25 |
| CATHAY PAC AIR | CPA | 1.67 | 0.00 |
| SINOPEC CORP | CPC | 142.69 | 0.29 |
| CPIC | CPI | 93.52 | 0.19 |
| CHINA RAIL CONS | CRC | 13.27 | 0.03 |
| CHINA RAILWAY | CRG | 2.14 | 0.00 |
| CHINA RES LAND | CRL | 111.75 | 0.22 |
| CSOP A50 ETF | CSA | 134.13 | 0.27 |
| CHINA SHENHUA | CSE | 55.47 | 0.11 |
| CSPC PHARMA | CSP | 135.67 | 0.27 |
| CITIC BANK | CTB | 6.62 | 0.01 |
| CHINA TELECOM | CTC | 58.20 | 0.12 |
| CITIC SEC | CTS | 111.40 | 0.22 |
| DONGFENG GROUP | DFM | 71.04 | 0.14 |
| EVERGRANDE | EVG | 284.07 | 0.57 |
| GAC GROUP | GAC | 26.70 | 0.05 |
| GEELY AUTO | GAH | 760.99 | 1.52 |
| GALAXY ENT | GLX | 294.29 | 0.59 |
| GREATWALL MOTOR | GWM | 284.16 | 0.57 |
| HAITONG SEC | HAI | 21.96 | 0.04 |
| HSCEI ETF | HCF | 24.40 | 0.05 |
| POWER ASSETS | HEH | 10.76 | 0.02 |
| HKEX | HEX | 1,692.02 | 3.39 |
| HENGAN INT'L | HGN | 20.97 | 0.04 |
| HSBC HOLDINGS | HKB | 903.00 | 1.81 |
| HK & CHINA GAS | HKG | 12.27 | 0.02 |
| HENDERSON LAND | HLD | 14.30 | 0.03 |
| HUANENG POWER | HNP | 1.88 | 0.00 |
| HANG SENG BANK | HSB | 81.16 | 0.16 |
| JD.COM | JDC | 1,314.89 | 2.63 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

| Options class | | Premium (HK\$ mil.) | % of total |
|-----------------|-----|------------------------|---------------|
| JIANGXI COPPER | JXC | 45.53 | 0.09 |
| KINGDEE INT'L | KDS | 7.66 | 0.02 |
| KUNLUN ENERGY | KLE | 26.94 | 0.05 |
| KINGSOFT | KSO | 140.45 | 0.28 |
| LENOVO GROUP | LEN | 71.03 | 0.14 |
| LI & FUNG | LIF | 0.00 | 0.00 |
| LINK REIT | LNK | 117.19 | 0.23 |
| MENGIU DAIRY | MEN | 73.50 | 0.15 |
| MEITUAN-W | MET | 8,097.76 | 16.21 |
| MGM CHINA | MGM | 0.48 | 0.00 |
| XIAOMI-W | MIU | 3,965.70 | 7.94 |
| MINSHENG BANK | MSB | 7.80 | 0.02 |
| MTR CORPORATION | MTR | 17.61 | 0.04 |
| CNBM | NBM | 73.34 | 0.15 |
| NCI | NCL | 53.49 | 0.11 |
| NETEASE | NTE | 183.56 | 0.37 |
| NEW WORLD DEV | NWD | 31.61 | 0.06 |
| PING AN | PAI | 2,168.10 | 4.34 |
| PETROCHINA | PEC | 75.55 | 0.15 |
| PA GOODDOCTOR | PHT | 9.97 | 0.02 |
| PICC P&C | PIC | 26.96 | 0.05 |
| PICC GROUP | PIN | 13.26 | 0.03 |
| R&F PROPERTIES | RFP | 0.94 | 0.00 |
| SANDS CHINA LTD | SAN | 110.05 | 0.22 |
| SINO BIOPHARM | SBO | 184.35 | 0.37 |
| SHK PPT | SHK | 147.73 | 0.30 |
| SHENZHOU INTL | SHZ | 24.63 | 0.05 |
| SMIC | SMC | 117.72 | 0.24 |
| SUNNY OPTICAL | SNO | 618.65 | 1.24 |
| SINOPHARM | SNP | 10.42 | 0.02 |
| STANCHART | STC | 0.00 | 0.00 |
| SUNAC | SUN | 152.49 | 0.31 |
| SWIRE PACIFIC A | SWA | 24.15 | 0.05 |
| TENCENT | TCH | 9,447.31 | 18.92 |
| TECHTRONIC IND | TIC | 24.51 | 0.05 |
| TRACKER FUND | TRF | 4.32 | 0.01 |
| CHINA VANKE | VNK | 12.42 | 0.02 |
| WH GROUP | WHG | 6.89 | 0.01 |
| WHARF HOLDINGS | WHL | 6.26 | 0.01 |
| WANT WANT CHINA | WWC | 2.44 | 0.00 |
| ABC | XAB | 59.09 | 0.12 |
| BANK OF CHINA | XBC | 81.73 | 0.16 |
| CCB | XCC | 423.38 | 0.85 |
| ICBC | XIC | 357.54 | 0.72 |
| PSBC | XPB | 20.76 | 0.04 |
| CHINA TOWER | XTW | 159.24 | 0.32 |
| YANZHOU COAL | YZC | 15.65 | 0.03 |
| ZIJIN MINING | ZJM | 30.00 | 0.06 |
| Total | | 49,941.46 | 100.00 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

Stock Options Contract Volume by Class

for 4th Quarter 2020

| Options class | | Contract volume | % of total |
|-----------------|-----|-----------------|------------|
| ISHARES A50 | A50 | 44,426 | 0.13 |
| AAC TECH | AAC | 115,402 | 0.35 |
| CONCH CEMENT | ACC | 108,191 | 0.33 |
| AIA | AIA | 316,925 | 0.95 |
| AIR CHINA | AIR | 24,287 | 0.07 |
| ALIBABA | ALB | 1,642,770 | 4.94 |
| CHALCO | ALC | 38,549 | 0.12 |
| ALI HEALTH | ALH | 16,981 | 0.05 |
| CAM CSI300 | AMC | 67,973 | 0.20 |
| ANTA SPORTS | ANA | 90,997 | 0.27 |
| BANKCOMM | BCM | 63,586 | 0.19 |
| BANK OF E ASIA | BEA | 11,859 | 0.04 |
| BOC HONG KONG | BOC | 141,681 | 0.43 |
| BUD APAC | BUD | 61,210 | 0.18 |
| BYD COMPANY | BYD | 354,957 | 1.07 |
| CHINA COMM CONS | CCC | 129,038 | 0.39 |
| CHINA COAL | CCE | 40,509 | 0.12 |
| CHINA CINDA | CDA | 91,350 | 0.27 |
| CGN POWER | CGN | 154,262 | 0.46 |
| CHINA MOBILE | CHT | 848,547 | 2.55 |
| CHINA UNICOM | CHU | 144,650 | 0.44 |
| CITIC | CIT | 33,188 | 0.10 |
| CKH HOLDINGS | CKH | 151,591 | 0.46 |
| CK ASSET | CKP | 88,377 | 0.27 |
| CHINA LIFE | CLI | 773,661 | 2.33 |
| CLP HOLDINGS | CLP | 48,647 | 0.15 |
| CM BANK | CMB | 344,187 | 1.04 |
| CNOOC | CNC | 948,712 | 2.85 |
| COUNTRY GARDEN | COG | 61,202 | 0.18 |
| CHINA OVERSEAS | COL | 106,341 | 0.32 |
| CATHAY PAC AIR | CPA | 7,726 | 0.02 |
| SINOPEC CORP | CPC | 539,926 | 1.62 |
| CPIC | CPI | 116,587 | 0.35 |
| CHINA RAIL CONS | CRC | 125,279 | 0.38 |
| CHINA RAILWAY | CRG | 18,076 | 0.05 |
| CHINA RES LAND | CRL | 60,235 | 0.18 |
| CSOP A50 ETF | CSA | 48,406 | 0.15 |
| CHINA SHENHUA | CSE | 296,237 | 0.89 |
| CSPC PHARMA | CSP | 119,109 | 0.36 |
| CITIC BANK | CTB | 47,175 | 0.14 |
| CHINA TELECOM | CTC | 146,922 | 0.44 |
| CITIC SEC | CTS | 201,706 | 0.61 |
| DONGFENG GROUP | DFM | 68,256 | 0.21 |
| EVERGRANDE | EVG | 98,939 | 0.30 |
| GAC GROUP | GAC | 34,461 | 0.10 |
| GEELY AUTO | GAH | 174,525 | 0.52 |
| GALAXY ENT | GLX | 161,764 | 0.49 |
| GREATWALL MOTOR | GWM | 561,411 | 1.69 |
| HAITONG SEC | HAI | 56,534 | 0.17 |
| HSCEI ETF | HCF | 29,482 | 0.09 |
| POWER ASSETS | HEH | 29,900 | 0.09 |
| HKEX | HEX | 1,277,815 | 3.84 |
| HENGAN INT'L | HGN | 33,063 | 0.10 |
| HSBC HOLDINGS | HKB | 1,811,334 | 5.45 |
| HK & CHINA GAS | HKG | 41,814 | 0.13 |
| HENDERSON LAND | HLD | 31,816 | 0.10 |
| HUANENG POWER | HNP | 6,798 | 0.02 |
| HANG SENG BANK | HSB | 240,317 | 0.72 |
| JD.COM | JDC | 209,358 | 0.63 |

DERIVATIVES MARKET – FUTURES AND OPTIONS

| Options class | | Contract volume | % of total |
|-----------------|-----|-------------------|---------------|
| JIANGXI COPPER | JXC | 97,610 | 0.29 |
| KINGDEE INT'L | KDS | 4,826 | 0.01 |
| KUNLUN ENERGY | KLE | 50,285 | 0.15 |
| KINGSOFT | KSO | 100,294 | 0.30 |
| LENOVO GROUP | LEN | 158,488 | 0.48 |
| LI & FUNG | LIF | 0 | 0.00 |
| LINK REIT | LNK | 85,886 | 0.26 |
| MENGIU DAIRY | MEN | 67,624 | 0.20 |
| MEITUAN-W | MET | 1,108,484 | 3.33 |
| MGM CHINA | MGM | 2,450 | 0.01 |
| XIAOMI-W | MIU | 2,685,399 | 8.08 |
| MINSHENG BANK | MSB | 25,344 | 0.08 |
| MTR CORPORATION | MTR | 56,607 | 0.17 |
| CNBM | NBM | 96,408 | 0.29 |
| NCI | NCL | 66,649 | 0.20 |
| NETEASE | NTE | 87,734 | 0.26 |
| NEW WORLD DEV | NWD | 40,612 | 0.12 |
| PING AN | PAI | 2,046,422 | 6.15 |
| PETROCHINA | PEC | 314,524 | 0.95 |
| PA GOODDOCTOR | PHT | 10,904 | 0.03 |
| PICC P&C | PIC | 59,786 | 0.18 |
| PICC GROUP | PIN | 122,678 | 0.37 |
| R&F PROPERTIES | RFP | 10,078 | 0.03 |
| SANDS CHINA LTD | SAN | 266,157 | 0.80 |
| SINO BIOPHARM | SBO | 40,833 | 0.12 |
| SHK PPT | SHK | 74,339 | 0.22 |
| SHENZHOU INTL | SHZ | 17,787 | 0.05 |
| SMIC | SMC | 49,699 | 0.15 |
| SUNNY OPTICAL | SNO | 111,176 | 0.33 |
| SINOPHARM | SNP | 30,223 | 0.09 |
| STANCHART | STC | 54 | 0.00 |
| SUNAC | SUN | 58,977 | 0.18 |
| SWIRE PACIFIC A | SWA | 36,089 | 0.11 |
| TENCENT | TCH | 4,511,910 | 13.57 |
| TECHTRONIC IND | TIC | 23,084 | 0.07 |
| TRACKER FUND | TRF | 22,809 | 0.07 |
| CHINA VANKE | VNK | 15,368 | 0.05 |
| WH GROUP | WHG | 43,101 | 0.13 |
| WHARF HOLDINGS | WHL | 13,162 | 0.04 |
| WANT WANT CHINA | WWC | 24,056 | 0.07 |
| ABC | XAB | 90,333 | 0.27 |
| BANK OF CHINA | XBC | 1,090,964 | 3.28 |
| CCB | XCC | 2,880,515 | 8.66 |
| ICBC | XIC | 2,474,288 | 7.44 |
| PSBC | XPB | 42,083 | 0.13 |
| CHINA TOWER | XTW | 291,277 | 0.88 |
| YANZHOU COAL | YZC | 38,764 | 0.12 |
| ZIJIN MINING | ZJM | 46,551 | 0.14 |
| Total | | 33,251,718 | 100.00 |

CLEARING & SETTLEMENT

Average Daily Settlement Instructions (SIs) Settled in CCASS

(both delivering and receiving SIs are counted)

| Year \ Quarter | | Number of SIs | SIs by shares (bil. shs) | SIs by value (HK\$bil.) |
|----------------|----|---------------|-----------------------------|----------------------------|
| 2019 | Q4 | 90,585 | 39.46 | 229.70 |
| 2020 | Q1 | 112,469 | 54.66 | 372.30 |
| | Q2 | 108,623 | 44.79 | 304.92 |
| | Q3 | 114,769 | 47.21 | 329.68 |
| | Q4 | 122,869 | 47.57 | 374.31 |

Average Daily Investor Settlement Instructions (ISIs) settled in CCASS

(one sided ISIs are counted)

| Year \ Quarter | | Number of ISIs | ISIs by shares (Mil shs) | ISIs by value (HK\$ mil.) |
|----------------|----|----------------|-----------------------------|------------------------------|
| 2019 | Q4 | 212 | 97.81 | 138.74 |
| 2020 | Q1 | 277 | 77.61 | 257.83 |
| | Q2 | 271 | 75.64 | 183.49 |
| | Q3 | 294 | 69.93 | 283.07 |
| | Q4 | 274 | 36.22 | 143.23 |

Securities under CCASS Custody

(balances at quarter end)

| Year \ Quarter | | Number of shares (bil. shs) | % of issued shares of admitted securities | Market value (HK\$ bil.) | % of market capitalisation of admitted securities |
|----------------|----|-----------------------------------|--|-----------------------------|--|
| 2019 | Q4 | 6,500.57 | 75.41 | 22,828.22 | 56.79 |
| 2020 | Q1 | 6,659.55 | 75.14 | 19,923.76 | 57.59 |
| | Q2 | 6,287.81 | 76.04 | 22,883.15 | 57.78 |
| | Q3 | 6,818.97 | 76.11 | 25,376.77 | 58.26 |
| | Q4 | 6,869.79 | 76.84 | 30,271.54 | 60.92 |

Share quantity and market value of securities in non-HKD currency are included

CLEARING & SETTLEMENT

Average Daily Stock Exchange Trades Processed in CCASS

(including CNS trades, isolated trades and cross trades)

| Year \ Quarter | | No. of CCASS trades | % of Exchange trades | No. of shares (Mil. shs) | % of market turnover | Value of trades (HK\$ mil.) | % of market turnover |
|----------------|----|------------------------|-------------------------|-----------------------------|-------------------------|--------------------------------|-------------------------|
| 2019 | Q4 | 1,270,877 | 100 | 185,033.90 | 100 | 76,842.11 | 100 |
| 2020 | Q1 | 1,862,885 | 100 | 203,358.09 | 100 | 120,543.46 | 100 |
| | Q2 | 1,801,737 | 100 | 203,490.47 | 100 | 113,687.50 | 100 |
| | Q3 | 2,122,541 | 100 | 250,601.92 | 100 | 140,658.86 | 100 |
| | Q4 | 2,043,909 | 100 | 223,064.35 | 100 | 140,609.06 | 100 |

Average Daily Netting Efficiency of CNS Trades

(in stock positions and share quantity)

| Year \ Quarter | | No. of CNS stock positions | Netting efficiency (%) | No. of shares due for settlement (Mil. shs) | Netting efficiency (%) |
|----------------|----|-------------------------------|------------------------------|---|------------------------------|
| 2019 | Q4 | 73,720 | 96.90 | 21,764.14 | 93.96 |
| 2020 | Q1 | 89,112 | 97.36 | 29,783.23 | 91.98 |
| | Q2 | 89,059 | 97.37 | 26,378.16 | 93.43 |
| | Q3 | 105,867 | 97.33 | 33,639.83 | 93.14 |
| | Q4 | 103,259 | 97.28 | 32,624.84 | 92.30 |

Average Daily Settlement Efficiency of CNS Stock Positions

| Year \ Quarter | | Settlement efficiency (%) | |
|----------------|----|---------------------------|-----------|
| | | S day | S + 1 day |
| 2019 | Q4 | 99.93 | 99.99 |
| 2020 | Q1 | 99.86 | 99.99 |
| | Q2 | 99.92 | 99.99 |
| | Q3 | 99.91 | 99.99 |
| | Q4 | 99.93 | 99.99 |

CLEARING & SETTLEMENT

Open Interest by Derivatives Products

| Derivatives product | Contracts | |
|--|------------------|------------------|
| | 4th Quarter 2020 | 4th Quarter 2019 |
| Hang Seng Index Futures | 129,721 | 121,237 |
| Hang Seng Index Options | 162,843 | 210,101 |
| Weekly Hang Seng Index Options | 8,058 | 3,157 |
| Mini-Hang Seng Index Futures | 17,370 | 10,635 |
| Mini-Hang Seng Index Options | 8,638 | 17,983 |
| HSI (Gross Total Return Index) Futures | 0 | 12 |
| HSI (Net Total Return Index) Futures | 0 | 10 |
| Hang Seng China Enterprises Index Futures | 290,518 | 325,907 |
| Hang Seng China Enterprises Index Options | 1,502,474 | 2,088,866 |
| Weekly Hang Seng China Enterprises Index Options | 11,556 | 1,592 |
| Mini-Hang Seng China Enterprises Index Futures | 4,197 | 4,214 |
| Mini-Hang Seng China Enterprises Index Options | 3,490 | 12,814 |
| HSCEI (Gross Total Return Index) Futures | 0 | 0 |
| HSCEI (Net Total Return Index) Futures | 0 | 0 |
| Flexible Hang Seng Index Options | 0 | 0 |
| Flexible Hang Seng China Enterprises Index Options | 0 | 150 |
| HSI Dividend Point Index Futures | 3,860 | 1,899 |
| HSCEI Dividend Point Index Futures | 52,667 | 116,422 |
| HSI Volatility Index Futures | 0 | 5 |
| Hang Seng TECH Index Futures ¹ | 4,721 | - |
| MSCI AC Asia ex Japan NTR Index Futures | 402 | 23,864 |
| MSCI Australia Net Total Return (USD) Index Futures ² | 0 | - |
| MSCI China Free (USD) Index Futures ³ | 0 | - |
| MSCI China Free Net Total Return (USD) Index Futures ⁴ | 3,800 | - |
| MSCI EM Asia ex China Net Total Return (USD) Index Futures ⁵ | 0 | - |
| MSCI EM Asia ex Korea Net Total Return (USD) Index Futures ⁶ | 0 | - |
| MSCI EM Asia Net Total Return (USD) Index Futures ⁷ | 1,748 | - |
| MSCI EM EMEA Net Total Return (USD) Index Futures ⁸ | 0 | - |
| MSCI EM ex China Net Total Return (USD) Index Futures ⁹ | 0 | - |
| MSCI EM ex Korea Net Total Return (USD) Index Futures ¹⁰ | 0 | - |
| MSCI EM LatAm Net Total Return (USD) Index Futures ¹¹ | 0 | - |
| MSCI Emerging Markets (USD) Index Futures ¹² | 0 | - |
| MSCI Emerging Markets Net Total Return (USD) Index Futures ¹³ | 0 | - |
| MSCI Hong Kong Net Total Return (USD) Index Futures ¹⁴ | 0 | - |
| MSCI India (USD) Index Futures ¹⁵ | 0 | - |
| MSCI India Net Total Return (USD) Index Futures ¹⁶ | 2,334 | - |
| MSCI Indonesia (USD) Index Futures ¹⁷ | 10 | - |
| MSCI Indonesia Net Total Return (USD) Index Futures ¹⁸ | 6,668 | - |
| MSCI Japan (JPY) Index Futures ¹⁹ | 0 | - |
| MSCI Japan Net Total Return (JPY) Index Futures ²⁰ | 0 | - |
| MSCI Japan Net Total Return (USD) Index Futures ²¹ | 10,748 | - |
| MSCI Malaysia (USD) Index Futures ²² | 0 | - |
| MSCI Malaysia Net Total Return (USD) Index Futures ²³ | 970 | - |
| MSCI New Zealand Net Total Return (USD) Index Futures ²⁴ | 0 | - |
| MSCI Pacific ex Japan Net Total Return (USD) Index Futures ²⁵ | 0 | - |
| MSCI Pacific Net Total Return (USD) Index Futures ²⁶ | 0 | - |
| MSCI Philippines (USD) Index Futures ²⁷ | 0 | - |
| MSCI Philippines Net Total Return (USD) Index Futures ²⁸ | 1 | - |
| MSCI Singapore Free (SGD) Index Futures ²⁹ | 8 | - |
| MSCI Singapore Free Net Total Return (USD) Index Futures ³⁰ | 0 | - |
| MSCI Singapore Net Total Return (USD) Index Futures ³¹ | 0 | - |
| MSCI Taiwan (USD) Index Futures ³² | 25,196 | - |
| MSCI Taiwan 25/50 (USD) Index Futures ³³ | 244 | - |
| MSCI Taiwan 25/50 Net Total Return (USD) Index Futures ³⁴ | 0 | - |
| MSCI Taiwan Net Total Return (USD) Index Futures ³⁵ | 7,211 | - |
| MSCI Thailand (USD) Index Futures ³⁶ | 0 | - |
| MSCI Thailand Net Total Return (USD) Index Futures ³⁷ | 4,037 | - |
| MSCI Vietnam (USD) Index Futures ³⁸ | 0 | - |

CLEARING & SETTLEMENT

| Derivatives product | Contracts | |
|---|-------------------|------------------|
| | 4th Quarter 2020 | 4th Quarter 2019 |
| MSCI Vietnam Net Total Return (USD) Index Futures ³⁹ | 0 | - |
| CES China 120 Index Futures | 0 | 3 |
| CES Gaming Top 10 Index Futures ⁴⁰ | - | 0 |
| Hang Seng Mainland Oil & Gas Index Futures | 0 | 42 |
| Hang Seng Mainland Banks Index Futures | 0 | 117 |
| Hang Seng Mainland Healthcare Index Futures ⁴¹ | - | 0 |
| Hang Seng Mainland Properties Index Futures | 0 | 17 |
| Hang Seng IT Hardware Index Futures ⁴² | - | 0 |
| Hang Seng Software & Services Index Futures ⁴³ | - | 0 |
| IBOVESPA Futures | 0 | 0 |
| MICEX Index Futures | 0 | 0 |
| FTSE/JSE Top40 Futures | 0 | 0 |
| Stock Futures | 22,965 | 27,277 |
| Stock Options | 8,942,852 | 6,702,538 |
| Three-month HIBOR Futures | 15 | 60 |
| One-month HIBOR Futures | 0 | 0 |
| RMB Currency Futures – USD/CNH Futures | 28,223 | 23,196 |
| RMB Currency Options – USD/CNH Options | 2,483 | 1,643 |
| RMB Currency Futures – EUR/CNH Futures | 60 | 110 |
| RMB Currency Futures – JPY/CNH Futures | 0 | 190 |
| RMB Currency Futures – AUD/CNH Futures | 0 | 116 |
| RMB Currency Futures – CNH/USD Futures | 92 | 1,024 |
| INR Currency Futures – INR/USD Futures ⁴⁴ | 237 | 175 |
| INR Currency Futures – INR/CNH Futures ⁴⁵ | 0 | 0 |
| USD Gold Futures | 68 | 1,132 |
| CNH Gold Futures | 0 | 5 |
| USD Silver Futures ⁴⁶ | 0 | - |
| CNH Silver Futures ⁴⁷ | 0 | - |
| Iron Ore Futures – Monthly Contracts | 1,174 | 1,685 |
| Iron Ore Futures – Quarterly Contracts | 0 | 0 |
| CNH London Aluminium Mini Futures | 0 | 0 |
| CNH London Zinc Mini Futures | 0 | 1 |
| CNH London Copper Mini Futures | 0 | 0 |
| CNH London Lead Mini Futures | 0 | 0 |
| CNH London Nickel Mini Futures | 0 | 0 |
| CNH London Tin Mini Futures | 0 | 0 |
| USD London Aluminium Mini Futures | 13 | 76 |
| USD London Zinc Mini Futures | 31 | 0 |
| USD London Copper Mini Futures | 65 | 262 |
| USD London Lead Mini Futures | 0 | 0 |
| USD London Nickel Mini Futures | 23 | 14 |
| USD London Tin Mini Futures | 0 | 0 |
| Total | 11,261,791 | 9,698,551 |

- ¹ Trading in Hang Seng TECH Index Futures commenced on 23 Nov 2020
- ² Trading in MSCI Australia Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ³ Trading in MSCI China Free (USD) Index Futures commenced on 03 Aug 2020
- ⁴ Trading in MSCI China Free Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ⁵ Trading in MSCI EM Asia ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ⁶ Trading in MSCI EM Asia ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ⁷ Trading in MSCI EM Asia Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ⁸ Trading in MSCI EM EMEA Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ⁹ Trading in MSCI EM ex China Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ¹⁰ Trading in MSCI EM ex Korea Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ¹¹ Trading in MSCI EM LatAm Net Total Return (USD) Index Futures commenced on 17 Aug 2020
- ¹² Trading in MSCI Emerging Markets (USD) Index Futures commenced on 20 Jul 2020
- ¹³ Trading in MSCI Emerging Markets Net Total Return (USD) Index Futures commenced on 20 Jul 2020
- ¹⁴ Trading in MSCI Hong Kong Net Total Return (USD) Index Futures commenced on 20 Jul 2020
- ¹⁵ Trading in MSCI India (USD) Index Futures commenced on 03 Aug 2020
- ¹⁶ Trading in MSCI India Net Total Return (USD) Index Futures commenced on 06 Jul 2020
- ¹⁷ Trading in MSCI Indonesia (USD) Index Futures commenced on 20 Jul 2020
- ¹⁸ Trading in MSCI Indonesia Net Total Return (USD) Index Futures commenced on 06 Jul 2020

CLEARING & SETTLEMENT

| | |
|----|--|
| 19 | Trading in MSCI Japan (JPY) Index Futures commenced on 28 Sep 2020 |
| 20 | Trading in MSCI Japan Net Total Return (JPY) Index Futures commenced on 28 Sep 2020 |
| 21 | Trading in MSCI Japan Net Total Return (USD) Index Futures commenced on 06 Jul 2020 |
| 22 | Trading in MSCI Malaysia (USD) Index Futures commenced on 03 Aug 2020 |
| 23 | Trading in MSCI Malaysia Net Total Return (USD) Index Futures commenced on 06 Jul 2020 |
| 24 | Trading in MSCI New Zealand Net Total Return (USD) Index Futures commenced on 03 Aug 2020 |
| 25 | Trading in MSCI Pacific ex Japan Net Total Return (USD) Index Futures commenced on 17 Aug 2020 |
| 26 | Trading in MSCI Pacific Net Total Return (USD) Index Futures commenced on 17 Aug 2020 |
| 27 | Trading in MSCI Philippines (USD) Index Futures commenced on 03 Aug 2020 |
| 28 | Trading in MSCI Philippines Net Total Return (USD) Index Futures commenced on 20 Jul 2020 |
| 29 | Trading in MSCI Singapore Free (SGD) Index Futures commenced on 28 Sep 2020 |
| 30 | Trading in MSCI Singapore Free Net Total Return (USD) Index Futures commenced on 03 Aug 2020 |
| 31 | Trading in MSCI Singapore Net Total Return (USD) Index Futures commenced on 20 Jul 2020 |
| 32 | Trading in MSCI Taiwan (USD) Index Futures commenced on 06 Jul 2020 |
| 33 | Trading in MSCI Taiwan 25/50 (USD) Index Futures commenced on 28 Sep 2020 |
| 34 | Trading in MSCI Taiwan 25/50 Net Total Return (USD) Index Futures commenced on 28 Sep 2020 |
| 35 | Trading in MSCI Taiwan Net Total Return (USD) Index Futures commenced on 06 Jul 2020 |
| 36 | Trading in MSCI Thailand (USD) Index Futures commenced on 03 Aug 2020 |
| 37 | Trading in MSCI Thailand Net Total Return (USD) Index Futures commenced on 06 Jul 2020 |
| 38 | Trading in MSCI Vietnam (USD) Index Futures commenced on 03 Aug 2020 |
| 39 | Trading in MSCI Vietnam Net Total Return (USD) Index Futures commenced on 20 Jul 2020 |
| 40 | Trading in CES Gaming Top 10 Index Futures suspended with effect from 30 Dec 2019 |
| 41 | Trading in Hang Seng Mainland Healthcare Index Futures suspended with effect from 30 Dec 2019 |
| 42 | Trading in Hang Seng IT Hardware Index Futures suspended with effect from 30 Dec 2019 |
| 43 | Trading in Hang Seng Software & Services Index Futures suspended with effect from 30 Dec 2019 |
| 44 | Trading in INR Currency Futures – INR/USD Futures commenced on 04 Nov 2019 |
| 45 | Trading in INR Currency Futures – INR/CNH Futures commenced on 04 Nov 2019 |
| 46 | Trading in USD Silver Futures commenced on 08 Jun 2020 |
| 47 | Trading in CNH Silver Futures commenced on 08 Jun 2020 |

CLEARING & SETTLEMENT

Stock Options Open Interest by Class

as at the end of 4th Quarter 2020

| Options class | | Open interest (contracts) | % of total |
|-----------------|-----|------------------------------|------------|
| ISHARES A50 | A50 | 19,164 | 0.21 |
| AAC TECH | AAC | 27,687 | 0.31 |
| CONCH CEMENT | ACC | 13,948 | 0.16 |
| AIA | AIA | 65,104 | 0.73 |
| AIR CHINA | AIR | 3,692 | 0.04 |
| ALIBABA | ALB | 428,966 | 4.80 |
| CHALCO | ALC | 13,914 | 0.16 |
| ALI HEALTH | ALH | 8,478 | 0.09 |
| CAM CSI300 | AMC | 24,798 | 0.28 |
| ANTA SPORTS | ANA | 9,478 | 0.11 |
| BANKCOMM | BCM | 22,480 | 0.25 |
| BANK OF E ASIA | BEA | 2,207 | 0.02 |
| BOC HONG KONG | BOC | 43,223 | 0.48 |
| BUD APAC | BUD | 6,418 | 0.07 |
| BYD COMPANY | BYD | 77,664 | 0.87 |
| CHINA COMM CONS | CCC | 55,121 | 0.62 |
| CHINA COAL | CCE | 7,527 | 0.08 |
| CHINA CINDA | CDA | 8,267 | 0.09 |
| CGN POWER | CGN | 5,916 | 0.07 |
| CHINA MOBILE | CHT | 279,312 | 3.12 |
| CHINA UNICOM | CHU | 62,275 | 0.70 |
| CITIC | CIT | 14,797 | 0.17 |
| CKH HOLDINGS | CKH | 29,413 | 0.33 |
| CK ASSET | CKP | 14,451 | 0.16 |
| CHINA LIFE | CLI | 269,643 | 3.02 |
| CLP HOLDINGS | CLP | 10,694 | 0.12 |
| CM BANK | CMB | 51,509 | 0.58 |
| CNOOC | CNC | 332,960 | 3.72 |
| COUNTRY GARDEN | COG | 10,252 | 0.11 |
| CHINA OVERSEAS | COL | 26,555 | 0.30 |
| CATHAY PAC AIR | CPA | 799 | 0.01 |
| SINOPEC CORP | CPC | 198,878 | 2.22 |
| CPIC | CPI | 28,650 | 0.32 |
| CHINA RAIL CONS | CRC | 16,906 | 0.19 |
| CHINA RAILWAY | CRG | 5,817 | 0.07 |
| CHINA RES LAND | CRL | 10,746 | 0.12 |
| CSOP A50 ETF | CSA | 25,015 | 0.28 |
| CHINA SHENHUA | CSE | 100,839 | 1.13 |
| CSPC PHARMA | CSP | 38,681 | 0.43 |
| CITIC BANK | CTB | 26,661 | 0.30 |
| CHINA TELECOM | CTC | 99,334 | 1.11 |
| CITIC SEC | CTS | 60,203 | 0.67 |
| DONGFENG GROUP | DFM | 24,502 | 0.27 |

CLEARING & SETTLEMENT

| Options class | | Open interest (contracts) | % of total |
|-----------------|-----|------------------------------|------------|
| EVERGRANDE | EVG | 53,594 | 0.60 |
| GAC GROUP | GAC | 4,483 | 0.05 |
| GEELY AUTO | GAH | 32,104 | 0.36 |
| GALAXY ENT | GLX | 28,740 | 0.32 |
| GREATWALL MOTOR | GWM | 121,961 | 1.36 |
| HAITONG SEC | HAI | 14,393 | 0.16 |
| HSCEI ETF | HCF | 10,032 | 0.11 |
| POWER ASSETS | HEH | 6,463 | 0.07 |
| HKEX | HEX | 272,081 | 3.04 |
| HENGAN INT'L | HGN | 5,666 | 0.06 |
| HSBC HOLDINGS | HKB | 374,922 | 4.19 |
| HK & CHINA GAS | HKG | 11,184 | 0.13 |
| HENDERSON LAND | HLD | 3,762 | 0.04 |
| HUANENG POWER | HNP | 2,523 | 0.03 |
| HANG SENG BANK | HSB | 56,373 | 0.63 |
| JD.COM | JDC | 66,343 | 0.74 |
| JIANGXI COPPER | JXC | 15,071 | 0.17 |
| KINGDEE INT'L | KDS | 2,634 | 0.03 |
| KUNLUN ENERGY | KLE | 13,688 | 0.15 |
| KINGSOFT | KSO | 14,404 | 0.16 |
| LENOVO GROUP | LEN | 37,373 | 0.42 |
| LI & FUNG | LIF | 0 | 0.00 |
| LINK REIT | LNK | 18,885 | 0.21 |
| MENGNIU DAIRY | MEN | 10,664 | 0.12 |
| MEITUAN-W | MET | 223,465 | 2.50 |
| MGM CHINA | MGM | 346 | 0.00 |
| XIAOMI-W | MIU | 541,370 | 6.05 |
| MINSHENG BANK | MSB | 9,082 | 0.10 |
| MTR CORPORATION | MTR | 8,551 | 0.10 |
| CNBM | NBM | 34,239 | 0.38 |
| NCI | NCL | 15,741 | 0.18 |
| NETEASE | NTE | 36,099 | 0.40 |
| NEW WORLD DEV | NWD | 6,385 | 0.07 |
| PING AN | PAI | 771,802 | 8.63 |
| PETROCHINA | PEC | 155,999 | 1.74 |
| PA GOODDOCTOR | PHT | 5,328 | 0.06 |
| PICC P&C | PIC | 24,555 | 0.27 |
| PICC GROUP | PIN | 10,269 | 0.11 |
| R&F PROPERTIES | RFP | 1,549 | 0.02 |
| SANDS CHINA LTD | SAN | 64,713 | 0.72 |
| SINO BIOPHARM | SBO | 16,433 | 0.18 |
| SHK PPT | SHK | 8,687 | 0.10 |
| SHENZHOU INTL | SHZ | 2,826 | 0.03 |
| SMIC | SMC | 24,204 | 0.27 |
| SUNNY OPTICAL | SNO | 14,342 | 0.16 |

CLEARING & SETTLEMENT

| Options class | | Open interest (contracts) | % of total |
|-----------------|-----|------------------------------|---------------|
| SINOPHARM | SNP | 10,719 | 0.12 |
| STANCHART | STC | 0 | 0.00 |
| SUNAC | SUN | 18,080 | 0.20 |
| SWIRE PACIFIC A | SWA | 5,274 | 0.06 |
| TENCENT | TCH | 1,085,597 | 12.14 |
| TECHTRONIC IND | TIC | 5,492 | 0.06 |
| TRACKER FUND | TRF | 3,276 | 0.04 |
| CHINA VANKE | VNK | 5,344 | 0.06 |
| WH GROUP | WHG | 4,114 | 0.05 |
| WHARF HOLDINGS | WHL | 1,801 | 0.02 |
| WANT WANT CHINA | WWC | 3,195 | 0.04 |
| ABC | XAB | 21,362 | 0.24 |
| BANK OF CHINA | XBC | 572,472 | 6.40 |
| CCB | XCC | 702,098 | 7.85 |
| ICBC | XIC | 594,479 | 6.65 |
| PSBC | XPB | 14,002 | 0.16 |
| CHINA TOWER | XTW | 144,009 | 1.61 |
| YANZHOU COAL | YZC | 7,976 | 0.09 |
| ZIJIN MINING | ZJM | 7,290 | 0.08 |
| Total | | 8,942,852 | 100.00 |

EXCHANGE PARTICIPANTS STATISTICS

Stock Exchange

| | | No. of Trading Right Holders | Exchange Participants - Trading | Exchange Participants - Non-Trading | Non-Exchange Participants |
|------|----|---------------------------------|---------------------------------------|---|------------------------------|
| 2019 | Q4 | 719 (1110) | 658 (1041) | 46 (54) | 15 (15) |
| 2020 | Q1 | 719 (1109) | 652 (1032) | 52 (62) | 15 (15) |
| | Q2 | 716 (1104) | 646 (1022) | 56 (68) | 14 (14) |
| | Q3 | 716 (1102) | 639 (1012) | 63 (76) | 14 (14) |
| | Q4 | 714 (1099) | 635 (1008) | 65 (77) | 14 (14) |

() No. of trading rights

Futures Exchange

| | | No. of Trading Right Holders | Exchange Participants - Trading | Exchange Participants - Non-Trading | Non-Exchange Participants |
|------|----|---------------------------------|---------------------------------------|---|------------------------------|
| 2019 | Q4 | 252 (274) | 195 (215) | - | 57 (59) |
| 2020 | Q1 | 254 (276) | 197 (217) | - | 57 (59) |
| | Q2 | 253 (275) | 197 (217) | - | 56 (58) |
| | Q3 | 249 (271) | 196 (216) | - | 53 (55) |
| | Q4 | 248 (270) | 195 (215) | - | 53 (55) |

Notes:

- (1) The figures in blanket is the number of trading rights held.
- (2) An Exchange Participant is a corporation who may trade on or through the Exchange and is licensed under the Securities and Futures Ordinance to carry on securities/ futures/options dealing activity.

CLEARING PARTICIPANTS STATISTICS

HKCC Participants

| Year/month | | Clearing Participants | General Clearing Participants |
|------------|----|-----------------------|-------------------------------|
| 2019 | Q4 | 160 | 9 |
| 2020 | Q1 | 162 | 9 |
| | Q2 | 161 | 9 |
| | Q3 | 160 | 9 |
| | Q4 | 159 | 9 |

SEOCH Participants

| Year/month | | Direct Clearing Participants | General Clearing Participants |
|------------|----|------------------------------|-------------------------------|
| 2019 | Q4 | 66 | 9 |
| 2020 | Q1 | 64 | 9 |
| | Q2 | 65 | 9 |
| | Q3 | 65 | 9 |
| | Q4 | 66 | 9 |

HKSCC Participants

| Year/month | | Direct Clearing Participants | General Clearing Participants | Non-broker Participants * |
|------------|----|------------------------------|-------------------------------|---------------------------|
| 2019 | Q4 | 638 | 9 | 42 |
| 2020 | Q1 | 636 | 9 | 43 |
| | Q2 | 638 | 9 | 43 |
| | Q3 | 634 | 9 | 43 |
| | Q4 | 634 | 9 | 43 |

* Non-broker participants include Clearing Agency Participants, Custodian Participants and Stock Pledgee Participants.

ACRONYMS

| | |
|--------------|--|
| CBBC | Callable Bull/Bear Contract |
| CCASS | Central Clearing and Settlement System |
| CNS | Continuous Net Settlement |
| DCASS | Derivatives Clearing and Settlement System |
| DR | Depository Receipt |
| DW | Derivative Warrant |
| ELI | Equity Linked Instrument |
| ETF | Exchange Traded Fund |
| HIBOR | Hong Kong Interbank Offered Rate |
| HKCC | HKFE Clearing Corporation Ltd |
| HKEX | Hong Kong Exchanges and Clearing Ltd |
| HKSCC | Hong Kong Securities Clearing Co Ltd |
| HSICS | Hang Seng Industry Classification System |
| ISI | Investor Settlement Instruction |
| L&I Products | Leveraged and Inverse Products |
| NASDAQ | National Association of Securities Dealer Automated Quotations |
| P/E ratio | Price/Earnings ratio |
| REITs | Real Estate Investment Trusts |
| RQFII | RMB Qualified Foreign Institutional Investors |
| S&P | Standard and Poors |
| SEOCH | SEHK Options Clearing House Ltd, The |
| SI | Settlement Instruction |
| SS | Stapled Securities |

