

# Bi-Weekly Investor Newsletter Shanghai-Hong Kong Stock Connect For Northbound Investors

10 June 2016

Issue No. 40

Welcome to the bi-weekly newsletter for the Shanghai-Hong Kong Stock Connect programme. Here you can find regular updates on trading statistics of the programme, trading calendars and economic data calendars.

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#### **MARKET OVERVIEW**

- From 23 May to 3 Jun 2016, the Northbound Average Daily Buy and Sell Trade Value was RMB2,672 million, while the Southbound Average Daily Buy and Sell Trade Value was HK\$2,740 million
- As of 3 Jun 2016, the Northbound Aggregate Quota Usage was RMB136.5 billion while Southbound was RMB158.6 billion

## **Latest Updates on Stock Connect**

## **Stock Connect - Trading**

1 June 2016 (Ref: CT/024/16)
Changes of List of China Connect Securities, List of Eligible SSE Securities for Margin Trading and List of Eligible SSE Securities for Short Selling

To read the details, please click link

 30 May 2016 (Ref: CT/023/16)
 Updated Frequently Asked Questions on UCITS V Directive and AIFM Directive Compliance in relation to Shanghai-Hong Kong Stock Connect

To read the details, please click link

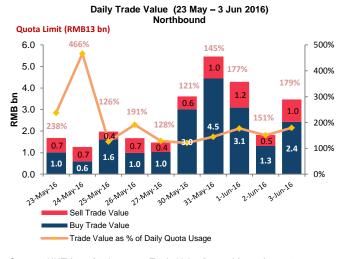
## **Stock Connect - Clearing**

30 May 2016 (Ref: CD/CDCO/CCASS/125/2016)
Updated Frequently Asked Questions On UCITS V Directive And AIFM Directive Compliance In Relation To Shanghai-Hong Kong Stock Connect

To read the details, please click link



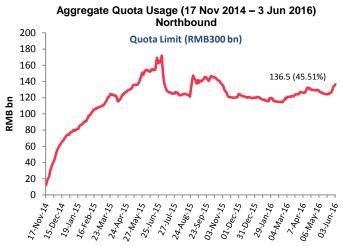
## Northbound & Southbound Daily Trade Value



Source: HKEX as of 3 Jun 2016, Trade Value for 23 May-3 Jun 2016

#### Daily Trade Value (23 May -3 Jun 2016) Southbound Quota Limit (RMB10.5 bn) 250% 3.5 179% 199% 3.0 172% 182% 171% 177% 200% 2.5 150% 2.0 1.5 100% 1.0 1.7 50% 0.5 0.0 0% 26 May 16 23-May-16 25.May 16 31-May-16 1-Jun-16 2-Jun-16 27.May-16 30.May-16 Sell Trade Value Buy Trade Value Trade Value as % of Daily Quota Usage

## Northbound & Southbound Aggregate Quota Usage



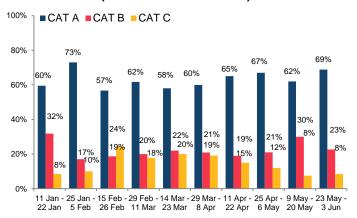
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Source: HKEX, as of 3 Jun 2016, Trade Value for 23 May-3 Jun 2016

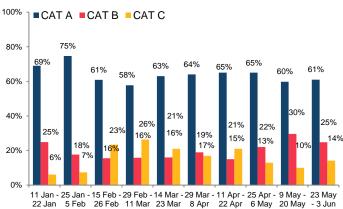
# Aggregate Quota Usage (17 Nov 2014 - 3 Jun 2016) Southbound 160 Quota Limit (RMB250 bn) 158.6 (63.44%) 140 120 **RM 100** 80 60 40 20 0 08-Oct-15 10.Nov-15

## **Northbound Trade Value Breakdown**

## Buy Trade Value Breakdown by EPs' Market Share (11 Jan 2016 – 3 Jun 2016)



## Sell Trade Value Breakdown by EPs' Market Share (11 Jan 2016 -3 Jun 2016)



Note: CAT A Exchange Participants (Position 1 to 14), CAT B Exchange Participants (Position 15 to 65) and CAT C Exchange Participants (Position >65). For details, please click here

Source: HKEX as of 3 Jun 2016, Trade Value for 23 May-3 Jun 2016



		То	p 10 SSE Holdings Tl	hrough Northboเ	ınd			
	Stock Code	Company Name	% of **Issued and/or Tradable Shares	% of Total Buy & Sell Trade Value	Market Cap (US\$bn)	*PE Ratio	Dividend Yield (%)	H to A Premium / Discount
1	600009	Shanghai International Airport	25.44	1.57	8.27	21x	1.55	N/A
2	603288	Foshan Haitian Flavouring And Food	21.32	0.54	12.34	31x	1.98	N/A
3	600066	Zhengzhou Yutong Bus	12.81	1.49	7.09	12x	7.66	N/A
4	600660	Fuyao Glass	9.20	0.39	4.34	14x	5.08	6.44%
5	600887	Inner Mongolia Yili	6.39	4.52	13.54	20x	2.76	N/A
6	600690	Qingdao Haier	6.27	1.16	7.80	12x	2.26	N/A
7	600519	Kweichow Moutai	6.09	4.13	48.60	22x	2.17	N/A
8	600482	China Shipbuilding Industry Group	5.71	0.13	2.88	92x	N/A	N/A
9	600276	Jiangsu Hengrui Medicine	5.44	1.15	14.08	40x	0.21	N/A
10	600900	China Yangtze Power	5.21	1.49	41.41	18x	3.17	N/A

	Top 10 HKEX Holdings Through Southbound								
	Stock Code	Company Name	% of **Issued and/or Tradable Shares	% of Total Buy & Sell Trade Value	Market Cap (US\$bn)	*PE Ratio	Dividend Yield (%)	H to A Premium / Discount	
1	187	Beijing Jingcheng Machinery Electric	23.54	0.00	0.04	N/A	N/A	-70.92%	
2	1072	Dongfang Electric	23.28	0.12	0.26	26x	1.20	-50.00%	
3	874	Guangzhou Baiyunshan Pharmaceutical	22.68	0.44	0.52	15x	N/A	-37.69%	
4	564	Zhengzhou Coal Mining	21.13	0.04	0.10	106x	0.29	-51.98%	
5	861	Digital China	20.68	1.34	0.86	10x	N/A	N/A	
6	553	Nanjing Panda Electronics	20.58	0.05	0.17	29x	1.49	-66.94%	
7	2880	Dalian Port (PDA)	20.45	0.03	0.92	25x	2.79	-53.29%	
8	107	Sichuan Expressway	18.27	0.09	0.31	7x	3.48	-55.52%	
9	588	Beijing North Star	16.63	0.04	0.21	8x	3.14	-53.48%	
10	1108	Luoyang Glass	15.33	0.04	0.14	13x	N/A	-86.46%	

<sup>\*</sup>Historical P/E Ratio

Source: SSE & HKEX as of 3 Jun 2016, Trade Value for 23 May-3 Jun 2016



<sup>\*\*</sup>For China Connect Securities, the % represents number of CCASS shareholding under the Shanghai-Hong Kong Stock Connect against the total number of issued and tradable A shares on SSE (excluding any B shares, H shares and other non-circulating shares). For other shares, the % represents number of CCASS shareholding against the total number of shares listed on the Stock Exchange of Hong Kong ("SEHK").

	Northbound (NB) Top 10 SH-HK Stock Connect Holdings								
	Stock Code	Company Name	Market Value (US\$ m)	% of *Total Market Value	Shareholding Held in NB (mil)	% of **Issued and/or Tradable Shares	H to A Premium / Discount		
1	600519	Kweichow Moutai	3,299	15.46	76	6.09	N/A		
2	600009	Shanghai International Airport	1,175	5.51	278	25.44	N/A		
3	600900	China Yangtze Power	973	4.56	508	5.21	N/A		
4	600887	Inner Mongolia Yili	955	4.47	386	6.39	N/A		
5	601318	Ping An Insurance	837	3.92	170	1.57	-8.72%		
6	600276	Jiangsu Hengrui Medicine	753	3.53	106	5.44	N/A		
7	600066	Zhengzhou Yutong Bus	726	3.40	244	12.81	N/A		
8	600030	CITIC Securities	695	3.26	275	2.80	-12.03%		
9	600036	China Merchants Bank	587	2.75	217	1.05	-24.02%		
10	600690	Qingdao Haier	491	2.30	344	6.27	N/A		
	Southbound (SB) Top 10 SH-HK Stock Connect Holdings								
	Stock Code	Company Name	Market Value (US\$ m)	% of *Total Market Value	Shareholding Held in SB (mil)	% of **Issued and/or Tradable Shares	H to A Premium / Discount		
1	5	HSBC	2,086	9.36	319	1.61	N/A		
2	1398	ICBC	1,010	4.53	1,870	2.15	-19.24%		
3	939	CCB	950	4.26	1,448	0.60	-12.51%		

733

471

449

414

332

325

292

3.29

2.11

2.01

1.86

1.49

1.46

1.31

354

21

623

998

659

555

178

7.72

0.23

8.58

1.19

1.58

3.73

5.24

Source: SSE & HKEX as of 3 Jun 2016, Trade Value for 23 May-3 Jun 2016

China Merchants Bank

Hanergy Thin Film Power

China Shenhua Energy

Tencent

China Hongqiao

Bank of China

China CITIC Bank

## Total Market Average Daily Turnover (ADT) & Velocity





Source: WFE & HKEX as of 29 April 2016, ADT and Velocity figures of HKEX include ETF products but excludes warrants



3968

700

1378

3988

566

998

1088

5

7

9

10

-24.02%

N/A

N/A

-18.80%

N/A

-33.00%

-22.80%

<sup>\*</sup>Total Market Value is the mark-to-market value of all Northbound / Southbound holdings under SH-HK Stock Connect Programme

<sup>\*\*</sup>For China Connect Securities, the % represents number of CCASS shareholding under the Shanghai-Hong Kong Stock Connect against the total number of issued and tradable A shares on SSE (excluding any B shares, H shares and other non-circulating shares). For other shares, the % represents number of CCASS shareholding against the total number of shares listed on the Stock Exchange of Hong Kong ("SEHK").

	Top 10 A shares by Total Buy & Sell Trade Value in Northbound									
Stock Name / Stock Code	Average Trade Size (US\$)	Buy Trade Value (US\$ m)	Sell Trade Value (US\$ m)	Total Trade Value (US\$ m)	% of Total Trade Value	Market Cap (US\$ bn)	*P/E Ratio	Dividend Yield (%)		
1. Kweichow Moutai (SH.600519)	12,076	208	71	279	6.87	53	22x	2.17		
2. Ping An Insurance (SH.601318)	10,249	179	27	206	5.07	54	10x	2.16		
3. Hundsun Technologies (SH.600570)	9,282	130	75	205	5.05	6	90x	0.39		
4. China Minsheng (SH.600016)	9,139	146	57	203	5.01	40	7x	3.56		
5. Industrial Bank (SH.601166)	8,437	125	29	155	3.80	45	6x	3.77		
6. CITIC Securities (SH.600030)	6,746	117	23	140	3.44	25	11x	3.01		
7. China Merchants Bank (SH.600036)	7,515	114	13	127	3.12	55	8x	3.88		
8. Shanghai Pudong Bank (SH.600000)	6,165	103	12	115	2.83	53	6x	2.86		
9. Inner Mongolia Yili (SH.600887)	3,372	71	19	90	2.21	15	20x	2.76		
10. Haitong Securities (SH.600837)	5,277	63	25	88	2.15	19	13x	2.91		

	Top 10 H	K Stocks by Tota	al Buy & Sell Tr	ade Value in So	outhbound			
Stock Name / Stock Code	Average Trade Size (US\$)	Buy Trade Value (US\$ m)	Sell Trade Value (US\$ m)	Total Trade Value (US\$ m)	% of Total Trade Value	Market Cap (US\$ bn)	*P/E Ratio	Dividend Yield (%)
1. CCB (HK.939)	27,257	686	29	714	20.24	158	5x	6.41
2. HSBC (HK.5)	30,804	416	5	421	11.94	130	10x	7.79
3. Dalian Wanda (HK.3699)	13,945	71	24	95	2.68	4	6x	2.51
4. Tencent (HK.700)	21,923	45	40	85	2.42	207	46x	0.28
5. ICBC (HK.1398)	15,572	43	22	65	1.83	47	5x	6.63
6. Fullshare Holdings (HK.607)	20,633	41	13	54	1.53	6	28x	0.41
7. China CITIC Bank (HK.998)	8,332	29	25	54	1.52	9	4x	5.52
8. ABC (HK.1288)	10,588	15	39	53	1.51	11	4x	7.02
9. New China Life Insurance (HK.1336)	6,759	36	12	48	1.36	4	8x	1.24
10. Digital China (HK.861)	5,638	27	20	47	1.34	1	10x	N/A

<sup>\*</sup>Historical P/E Ratio

Source: SSE & HKEX as of 3 Jun 2016, Trade Value for 23 May-3 Jun 2016



## June & July 2016 Trading Calendar

			lune 2016							July 2016			
Mon	Tue	Wed	Thu	Fri	Sat	Sun	Mon	Tue	Wed	Thu	Fri	Sat	Sur
		<b>1</b>	2	<b>3</b>	4	5					<b>±</b> 1	2	3
6	■ 7	■8	* 9 *	<b>1</b> 0	11	12	<b>4</b>	<b>5</b>	6	<b>7</b>	8	9	10
13	<b>1</b> 4	<mark>-</mark> 15	<u> </u> 16	<b>1</b> 7	18	19	<b>=</b> 11	<b>1</b> 12	<mark>-</mark> 13	<b>1</b> 4	<b>1</b> 5	16	17
20	21	22	23	24	25	26	<b>1</b> 18	<b>1</b> 19	20	<b>2</b> 1	22	23	24
27	28	29	■30				25	26	27	28	29	30	31
☆ Chin	a / Hong Kong Publ	c Holiday (Market	Close for Northbou	nd & Southbound Tr	ading)		* Hong Kong	Public Holiday (Ma	rket Close for North	bound & Southbou	ınd Trading)		
Two-way (Northbound & Southbound) Trading Southbound Trading Only Northbound Trading Only Two-way (Northbound & Southbound) Trading Only													

Source: SSE click here (Chinese version only), HKEX click here

## June 2016 Significant Economic News/Data

	Jı	une 2016	
10/06/2016	<ul> <li>CN: Foreign Direct Investment YoY RMB</li> <li>CN: Money Supply M0/M1/M2 YoY</li> <li>CN: New RMB Loans</li> </ul>	17/06/2016	HK: Composite Interest Rate
13/06/2016	<ul><li>CN: Industrial Production YoY</li><li>CN: Retail Sales YoY</li></ul>	21/06/2016	HK: CPI Composite YoY
14/06/2016	HK: Industrial Production YoY	27/06/2016	<ul><li>HK: Exports YoY</li><li>HK: Imports YoY</li><li>HK: Trade Balance</li></ul>
16/06/2016	HK: Unemployment Rate	30/06/2016	<ul> <li>HK: Retail Sales Value YoY</li> <li>HK: Money Supply M1/M2/M3 HKD YoY</li> </ul>

Note: CN - China, HK - Hong Kong

For More Information on Shanghai – Hong Kong Stock Connect

Visit: www.hkex.com.hk/chinaconnect

Email: info@hkex.com.hk
Newsletter: Previous Issues
News Release: 17 Nov 2015

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Securities Limited Guosen Securities (HK) Brokerage Company, Limited Cusen Securities (HK) Brokerage Company, Limited China Merchants Securities (HK) Co., Limited China Merchants Securities (HK) Co., Limited China Securities (HK) Co., Limited China Securities (Hina) Brokerage Company, Limited China Securities (Hina) Brokerage Company, Limited China Securities Limited Chow Sang Sang Securities Limited Chow Sang Sang Securities Limited Christiand Securities (Hong Kong) Limited Christiand Securities Brokerage (HK) Limited Christiand Securities Brokerage (HK) Limited Christian Securities Brokerage (HK) Limited Christian Securities Reviews Individual Susquehanna Hong Kong Limited Christian Securities Reviews Individual Susquehanna Hong Kong Limited Christian Securities Christian Individual Susquehanna Hong Kong Limited Christian Securities Christian Individual Susquehanna Hong Kong Limited Christian Securities Christian Individual Susquehanna Hong Kong Limited Christian Individual Individual Susquehanna Hong Kong Limited Christian Individual Individual Susquehanna Hong Kong Limited Christian Individual Indiv	Limited	Guodu Securities (Hong Kong) Limited	Riche Bright Securities Limited
Limited Limited Limited Schina Merchants Securities (HK) Co., Limited China Merchants Securities (HK) Co., Limited Chows and Securities (International) Brokerage Company Jimited Shacom Securities Limited Instance Indicate Shacom Securities Limited Instance In	China International Capital Corporation Hong Kong Securities Limited	GuoKing (HK) Securities & Futures Co., Limited	Sanford C. Bernstein (Hong Kong) Limited
China Securities (International) Brokerage Company Limited  Chong Hing Securities Limited  Chong Hing Securities Limited  Chong Hing Securities Limited  Chong Sang Securities Securities Limited  Chong Sang Securities Secur	China Investment Securities International Brokerage Limited	. ,	SG Securities (HK) Limited
China Securities (International) Brokerage Company Limited  Chong Hing Securities Limited  Chong Hing Securities Limited  Chong Hing Securities Limited  Chong Sang Securities Securities Limited  Chong Sang Securities Secur	China Merchants Securities (HK) Co. Limited	Guotai Junan Securities (Hong Kong) Limited	Shacom Securities Limited
Chow Sang Sang Securities Limited  Hang Seng Securities Limited  Honk International Securities Limited  SinoPac Securities (Asia) Limited  SinoPac Securities (Asia) Limited  SinoPac Securities (Asia) Limited  SinoPac Securities (Asia) Limited  South China Securities Limited  South China Securities Limited  South China Securities (Hong Kong) Limited  South China Securities (Hong Kong) Limited  Southwest Securities (Hong Kong) Limited  Instinational Securities Limited  Instinational Securities Limited  Instinational Securities Limited  Instinational Securities (Hong Kong) Limited  Upbest Securities Company Limited  Upbest Securities Company Limited  Southwest Securities Limited  Wood Securities Limited  Southwest Securities Company Limited  Victory Securities Limited  Wood Securities Limited  Wood Securities Company Limited  Alabon Securities (Hong Kong) Company Limited	China Securities (International) Brokerage Company Limited	Guoyuan Securities Brokerage (Hong Kong)	
Christfund Securities Limited  HGNH International Securities Co., Limited  SinoPac Securities (Asia) Limited  South China Securities Limited  Southwest Securities Limited  Southwest Securities (HK) Brokerage Limited  Southwest Securities Se	Chong Hing Securities Limited	Haitong International Securities Company Limited	Sino Grade Securities Limited
Hong Kong International Securities Limited  Hong Kong International Securities Limited  South China Securities Limited  Southwest Securities (HK) Brokerage Limited  Citadel Securities (Hong Kong) Limited  HSBC Securities Brokeras (Asia) Limited  Sun Hung Kai Investment Services Limited  Citadel Securities Brokerage (HK) Limited  Huatai Financial Holdings (Hong Kong) Limited  Susquehanna Hong Kong Limited  Citagroup Global Markets Asia Limited  I-Access Investors Limited  CICSC (Asia) Securities Limited  CICSC (Asia) Securities Limited  CIMB International Securities Limited  Interactive Brokers Hong Kong Limited  Tung Shing Securities (Brokers) Limited  Core Pacific-Yamaichi Securities (H.K.) Limited  J.P. Morgan Broking (Hong Kong) Limited  Core Pacific-Yamaichi Securities (Hong Kong) Limited  JTI Securities Limited  UOB Kay Hian (Hong Kong) Limited  Coresty Securities Limited  JTI Securities (Hong Kong) Limited  Coresty Securities (HK) Limited  Coresty Securities (Hong Kong) Limited  Coresty Securities (Hong Kong) Limited  Coresty Securities (HK) Limited  Coresty Securiti	Chow Sang Sang Securities Limited	Hang Seng Securities Limited	Sinolink Securities (Hong Kong) Company Limited
Einda International Securities Limited  HSBC Broking Securities (Hong Kong) Limited  Sun Hung Kai Investment Services Limited  Susquehanna Hong Kong Limited  Taifair Securities Limited  CISCA Limited  ICBC (Asia) Securities Limited  Taiping Securities (HK) Co Limited  Toyo Securities (Hongkong) Company Limited  Instinet Pacific Limited  Interactive Brokers Hong Kong Limited  Tung Shing Securities (Brokers) Limited  Tung Tai Securities (Brokers) Limited  Tung Tai Securities Company Limited  Tung Tai Securities Hong Kong Limited  Tung Tai Securities (Hong Kong) Limited  Tung	Christfund Securities Limited	HGNH International Securities Co., Limited	SinoPac Securities (Asia) Limited
Citadel Securities (Hong Kong) Limited  HSBC Securities Brokers (Asia) Limited  Sun Hung Kai Investment Services Limited  Citigroup Global Markets Asia Limited  I-Access Investors Limited  I-Access Investors Limited  I-Access Investors Limited  I-Access Investors Limited  ICBC (Asia) Securities Limited  ICBC (Asia) Securities Limited  ICBC (Asia) Securities Limited  Instinet Pacific Limited  Instinet Pacific Limited  International Securities Group Limited  International Securities Group Limited  International Securities (Hong Kong) Limited  International Securities (Hong Kong) Limited  International Securities Group Limited  UBS Securities Company Limited  UBS Securities Hong Kong Limited  UBS Securities Hong Kong Limited  UDB Kay Hian (Hong Kong) Limited  Upbest Securities Company Limited  Upbest Securities Company Limited  Victory Securities Company Limited  Victory Securities Limited  Wall Link Securities Limited  Wall Link Securities Limited  Wall Link Securities Limited  Woom Securities Limited  Voom Securities Limited  Voom Securities Limited  Voom Securities Company Limited  Voom Securities Company Limited  Macquarie Capital Limited  Victory Securities Company Limited  Victory Securities Company Limited  Victory Securities Company Limited  Voom Securities Company Limited	CIMB Securities Limited	Hong Kong International Securities Limited	South China Securities Limited
CITIC Securities Brokerage (HK) Limited  Huatai Financial Holdings (Hong Kong) Limited  LACCESS Investors Limited  Taifair Securities Limited  Taifair Securities Limited  Taiping Securities (HK) Co Limited  Toyo Securities Asia Limited  Toyo Securities (HK) Co Limited  Toyo Securities Asia Limited  Tung Shing Securities (Brokers) Limited  Tung Shing Securities (Brokers) Limited  Tung Tai Securities (Brokers) Limited  Tung Tai Securities Company Limited  UBS Securities Hong Kong Limited  UBS Securities Hong Kong Limited  UOB Kay Hian (Hong Kong) Limited  UDB Kay Hian (Hong Kong) Limited  UDB Securities Company Limited  Tung Tai Securities Company Limited  UDB Kay Hian (Hong Kong) Limited  UDB Kay Hian (Hong Kong) Limited  Upbest Securities Company Limited  Upbest Securities Company Limited  Under Securities Company Limited  Under Securities Company Limited  Under Securities Limited  Under Securitie	Cinda International Securities Limited	HSBC Broking Securities (Hong Kong) Limited	Southwest Securities (HK) Brokerage Limited
Citigroup Global Markets Asia Limited  I-Access Investors Limited  ICBC (Asia) Securities Limited  Taiping Securities (HK) Co Limited  CM Securities (Hongkong) Company Limited  ICBC International Securities Limited  Toyo Securities Asia Limited  Toyo Securities (Brokers) Limited  Tung Shing Securities (Brokers) Limited  Tung Shing Securities (Brokers) Limited  Tung Tai Securities (Brokers) Limited  Des Securities (H.K.) Limited  Tung Tai Securities (Brokers) Limited  UBS Securities Hong Kong Limited  UBS Securities Hong Kong Limited  UBS Securities Hong Kong Limited  UBS Securities Company Limited  Upbest Securities Limited  Upbest Securities Limited  Upbest Securities Company Limited  Upbest Securities	Citadel Securities (Hong Kong) Limited	HSBC Securities Brokers (Asia) Limited	Sun Hung Kai Investment Services Limited
CLESA Limited CLESC (Asia) Securities Limited CLESC (Asia) Securities Limited CLESC International Securities (Hong Kong) Limited CLESC Securities Group Limited CLESC Securities (H.K.) Limited CLESC Securities (H.K.) Limited CLESC Securities (Hong Kong) Limited CLESC Securities Limited CLESC Securities (Hong Kong) Limited CLESC Securities Limited CLE	CITIC Securities Brokerage (HK) Limited	Huatai Financial Holdings (Hong Kong) Limited	Susquehanna Hong Kong Limited
CLSA Limited  ICBC (Asia) Securities Limited  Taiping Securities (HK) Co Limited  Toyo Securities Asia Limited  Tung Shing Securities (Brokers) Limited  Tung Tai Securities Company Limited  Descripties Asia Limited  Tung Tai Securities Company Limited  UBS Securities Hong Kong Limited  UBS Securities Hong Kong Limited  UDB Kay Hian (Hong Kong) Limited  UDB Kay Hian (Hong Kong) Limited  UDB Kay Hian (Hong Kong) Limited  Upbest Securities Company Limited  Upbest Securities Limited  Upbest Securities Company Limited  Upbest Securities Company Limited  Upbest Securities Limited  Upbest Securities Compan	Citigroup Global Markets Asia Limited	I-Access Investors Limited	Taifair Securities Limited
CMB International Securities Limited  Instinet Pacific Limited  Interactive Brokers Hong Kong Limited  Tung Tai Securities Company Limited  Tung Tai Securities Company Limited  Descripties (Hong Kong) Limited  J.P. Morgan Broking (Hong Kong) Limited  UBS Securities Hong Kong Limited  UBS Securities Company Limited  UBS Securities Hong Kong Limited  UBS Securities Hong Kong Limited  UBS Securities Company Limited  Victory Securities Company Limited  Well Link Securities Limited  Win Wind Securities Limited  UBS Vickers (Hong Kong) Limited  Win Wind Securities Limited  UBS Vickers (Hong Kong) Limited  Woom Securities Limited  UBS Vickers (Hong Kong) Limited  Win Wind Securities Limited  UBS Vickers (Hong Kong) Limited  Woom Securities Limited  UBS Vickers (Hong Kong) Limited  Well Link Securities Limited  Woom Securities Limited  Victory Securities Limited  Woom Securities Limited  Victory Securities Limited  Well Link Securities Limited  Woom Securities Limited  Victory Securities Limited  Woom Securities Limited  Victory Securities Limited  Well Link Securities Limited  Woom Securities Limited  Victory Securities (Hong Kong) Company Limited  Woom Securities Limited  Victory Securities (Hong Kong) Company Limited  Victory Securities (Hong Kong) Limited  Victory Securities (Hong Kong) Limited  Vi	CLSA Limited	ICBC (Asia) Securities Limited	Taiping Securities (HK) Co Limited
CMB International Securities Limited  Instinet Pacific Limited  Interactive Brokers Hong Kong Limited  Tung Tai Securities Company Limited  Tung Tai Securities Company Limited  Description (Hong Kong) Limited  Tung Tai Securities Company Limited  Tung Tai Securities Company Limited  UBS Securities Hong Kong Limited  UBS Securities Company Limited  Upbest Securities Limited  Upbest Securities Company Limited  Upbest Securities (Hong Kong) Limited  Upbest Securities (Hong Kong) Limited  Upbest Securiti	CM Securities (Hongkong) Company Limited	ICBC International Securities Limited	Toyo Securities Asia Limited
CNI Securities Group Limited  Interactive Brokers Hong Kong Limited  J.P. Morgan Broking (Hong Kong) Limited  UBS Securities Hong Kong Limited  UDB Kay Hian (Hong Kong) Limited  Upbest Securities Company Limited  Well Link Securities Limited  Win Wind Securities Limited  Wocom Securities Limited  Upbest Securities Limited  Upbest Securities Limited  Upbest Securities Company Limited  Well Link Securities Limited  Win Wind Securities Limited  Wocom Securities Limited  Upbest Securities Limited  Upbest Securities Limited  Upbest Securities Company Limited  Upbest Securities Company Limited  Well Link Securities Limited  Upbest Securities Limited  Upbest Securities Limited  Upbest Securities Company Limited  U	, , , ,		
Core Pacific-Yamaichi Securities (H.K.) Limited  J.P. Morgan Broking (Hong Kong) Limited  UBS Securities Hong Kong Limited  UOB Kay Hian (Hong Kong) Limited  UDB Kay Hian (Hong Kong) Limited  Victory Securities Company Limited  Well Link Securities Company Limited  Win Wind Securities Limited  Wocom Securities Limited  UDB Vickers (Hong Kong) Limited  Wocom Securities Limited  UDB Vickers (Hong Kong) Limited  Vin Wind Securities Limited  Vin Wocom Securities Limited  Vin Wanta Securities (Hong Kong) Company Limited  UDB Vickers (Hong Kong) Company Limited  Vin Wind Securities Limited  Vin Wind Securities Limited  Vin Wanta Securities (Hong Kong) Company Limited  Vin Wind Securities (Hong Kong) Company Limited  Vin Wind Securities Limited			
Credit Suisse Securities (Hong Kong) Limited  Jefferies Hong Kong Limited  UDB Kay Hian (Hong Kong) Limited  Upbest Securities Company Limited  CSC Securities (HK) Limited  CSC Securities (Hong Kong) Limited  Kim Eng Securities (Hong Kong) Limited  Company Securities Limited  CSC Securities (Hong Kong) Limited  Kim Eng Securities (Hong Kong) Limited  Well Link Securities Limited  Win Wind Securities Limited  Wocom Securities Limited  Yuenta Securities (Hong Kong) Company Limited  Company Limited  Company Limited  Company Limited  Macquarie Capital Limited  Malahon Securities Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation			
Crosby Securities Limited  Upbest Securities Company Limited  KGI Securities (Hong Kong) Limited  Victory Securities Company Limited  Victory Securities Company Limited  Well Link Securities Limited  Wall Link Securities Limited  Win Wind Securities Limited  Win Wind Securities Limited  Win Wind Securities Limited  Woom Securities Limited  Well Link Securities Limited  Win Wind Securities Limited  Woom Securities Limited  Woom Securities Limited  Victory Securities Limited  Well Link Securities Limited  Win Wind Securities Limited  Woom Securities Limited  Victory Securities Limited  Woom Securities Limited  Woom Securities Limited  Yuanta Securities (Hong Kong) Company Limited  Woompany Limited  Victory Securities Limited  Woom Securities Limited  Victory Securities Limited  Woom Securities Limited  Yuanta Securities (Hong Kong) Company Limited  Woompany Limited  Victory Securities Limited  Woom Securities Limited  Yuanta Securities Company Limited  Alalahon Securities Limited  Malahon Securities Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation		5 5 5	
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Daewoo Securities (Hong Kong) Limited  Kim Eng Securities (Hong Kong) Limited  Well Link Securities Limited  Win Wind Securities Limited  Win Wind Securities Limited  Woom Securities Limited  Yuanta Securities (Hong Kong) Company Limited  Dongxing Securities (Hong Kong) Company Limited  Wasquarie Capital Limited  Yue Xiu Securities Company Limited  Malahon Securities Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation	•		
Dah Sing Securities Limited  Kingston Securities Limited  Win Wind Securities Limited  Wocom Securities Limited  Wocom Securities Limited  Wocom Securities Limited  Pounds Securities Asia Limited  Dongxing Securities (Hong Kong) Company Limited  Wocom Securities Limited  Yuanta Securities (Hong Kong) Company Limited  Macquarie Capital Limited  Yue Xiu Securities Company Limited  Malahon Securities Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation			
DBS Vickers (Hong Kong) Limited  Kuentai Securities (Kam Ngan) Company Limited  Wocom Securities Limited  Yuanta Securities (Hong Kong) Company Limited  Dongxing Securities (Hong Kong) Company Limited  Macquarie Capital Limited  Yue Xiu Securities Company Limited  Malahon Securities Limited  Malahon Securities (Hong Kong) Corporation  Alahon Securities (Hong Kong) Corporation			
Deutsche Securities Asia Limited  Luk Fook Securities (HK) Limited  Yuanta Securities (Hong Kong) Company Limited  Dongxing Securities (Hong Kong) Company Limited  Macquarie Capital Limited  Yue Xiu Securities Company Limited  Malahon Securities Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation			
Dongxing Securities (Hong Kong) Company Limited  Macquarie Capital Limited  Yue Xiu Securities Company Limited  Alahon Securities Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation	DBS Vickers (Hong Kong) Limited		
East Asia Securities Company Limited  Malahon Securities Limited  Masterlink Securities (Hong Kong) Corporation  Zhongtai International Securities Limited	Deutsche Securities Asia Limited	Luk Fook Securities (HK) Limited	Yuanta Securities (Hong Kong) Company Limited
Masterlink Securities (Hong Kong) Corporation	Dongxing Securities (Hong Kong) Company Limited	Macquarie Capital Limited	Yue Xiu Securities Company Limited
	East Asia Securities Company Limited		Zhongtai International Securities Limited
Limited Children Chil	Eclipse Options (HK) Limited	Masterlink Securities (Hong Kong) Corporation Limited	

Total: 131 China Connect Exchange Participants (as of 3 Jun 2016) The list has been published: click  $\underline{\text{here}}$ 



## **List of Trade-through Exchange Participants**

Asia-Pac Securities Limited	Hantec Group International Finance Limited	Prominence Financials Limited
Audrey Chow Securities Limited	Hantec Securities Co. Limited	Reorient Financial Markets Limited
Baili Securities Company Limited	Head & Shoulders Securities Limited	Resources Securities Limited
BMI Securities Limited	Hing Wai Allied Securities Limited	Sheng Yuan Securities Limited
Boom.com Limited	Ho Fung Shares Investment Limited	South Ocean Securities Limited
Brilliant Norton Securities Company Limited	iSTAR International Securities Co. Limited	SPS Securities Limited
Business Securities Limited	Japan Asia Securities Limited	Stockwell Securities Limited
Capitec Securities Limited	Jimei Securities Limited	Success Securities Limited
CES Capital International (Hong Kong) Co., Limited	JS Cresvale Securities International Limited	Sun International Securities Limited
China Demeter Securities Limited	Jun Yang Securities Company Limited	Tai Tak Securities (Asia) Limited
China System Securities Limited	Kaiser Securities Limited	Taiwan Concord Capital Securities (Hong Kong) Limited
China Yinsheng Securities Limited	KCG Securities Asia Limited	Telecom Digital Securities Limited
Delta Asia Securities Limited	Ko's Brother Securities Company Limited	Treasure Securities Limited
Delta Wealth Securities Limited	Lei Shing Hong Securities Limited	VC Brokerage Limited
Formax Securities Limited	Lippo Securities Limited	Win Fung Securities Limited
Forwin Securities Group Limited	Marigold International Securities Limited	Win Wong Securities Limited
FP Sino-Rich Securities & Futures Limited	Mason Securities Limited	Wing Fung Securities Limited
Gary Cheng Securities Limited	Oriental Patron Securities Limited	Wing Lung Securities Limited
Geminis Securities Limited	Pacific Foundation Securities Limited	Wintech Securities Limited
Genting Securities Limited	Partners Capital Securities Limited	Wintone Securities Limited
GLS Securities Limited	PC Securities Limited	Wo Fung Securities Company Limited
Grand Investment (Securities) Limited	Ping An of China Securities (Hong Kong) Company Limited	Yicko Securities Limited
GT Capital Limited	Po Kay Securities & Shares Company Limited	
Halcyon Securities Limited	Prestige Securities Limited	

Total: 70 Trade-through Exchange Participants (as of 3 Jun 2016)

The list has been published: click here

