HKEX
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Activity Rundown for OTP-CSC Post Release Test (PRT) on 3 August 2019

Time	Activities
2 August 2019 (Friday) – After Market Close	
After 5:00pm	• Ensure the production database of in-house / back-office systems are properly backed-up before the Post Release Test (PRT). • Ensure the BSS system version used for the PRT is OTP-CSC compatible; otherwise the BSS system may not be able to connect to OTP-CSC when OTP-CSC is brought up. ***Notes:*** • ***For BSS, please do not input any next day requests after trading hours on Friday (i.e. requests intended for submission to host on the following trading day, since next day requests will be submitted to OTP-CSC host during PRT and will not be available on the following trading day).*** • ***After PRT, CCEPs are also reminded to clean up the next day requests (if any) to ensure that these testing orders will not be carried forward to the production on the following trading day.***
3 August 2019 (Saturday)	
Before Market Open	
Before 9:00am	**Logon to OTP-CSC Host** For **CCCG and China Connect Drop-Copy Sessions:** • Connect to CCCG for all CCCG and China Connect Drop-Copy sessions. • Log on using the assigned Comp ID(s) and **production password** for all CCCG sessions (including Trading and Drop Copy sessions).
Opening Call Auction Session	
9:15am - 9:25am	• The opening call auction session will run from 9:15am – 9:25am. • Simulate real-life trading activities (in terms of order input, pattern and volume) during the opening call auction session. • Tag BCAN to each order. • Take note of saleable quantities of eligible stocks on hand. *Note:* • ***OTP-CSC will start to accept China Connect orders for SSE/SZSE market from 9:10am. However, these orders will only be processed according to the timetable of SSE/SZSE.***
Morning Continuous Call Auction Session	
9:30am – 10:30am	• The morning continuous call auction session will run from 9:30am – 10:30am. • Simulate real-life trading activities (in terms of order input, pattern and volume) during the morning continuous call auction session. • Tag BCAN to each order. • Take note of saleable quantities of eligible stocks on hand.

Time	Activities
	Notes: • ***CCEPs who do not follow the testing guidelines or conduct frivolous activities during the PRT may be suspended from further participation in the PRT without prior warning.*** • ***OTP-CSC will accept China Connect orders from 9:25am – 10:31am. However these orders will only be processed according to the timetable of SZSE/SSE.***
Exchange Intervention	
10:31am	• Exchange Intervention
Close	
10:32am	• Market Close
Afternoon Continuous Call Auction Session	
10:35am – 11:27am	• The afternoon continuous call auction session will run from 10:35am – 11:27am. • Simulate real-life trading activities (in terms of order input, pattern and volume) during the morning continuous call auction session. • Tag BCAN to each order. • Take note of saleable quantities of eligible stocks on hand. ***Notes:*** • ***CCEPs who do not follow the testing guidelines or conduct frivolous activities during the PRT may be suspended from further participation in the PRT without prior warning.*** • ***OTP-CSC will accept China Connect orders from 10:34am – 11:27am. However these orders will only be processed according to the timetable of SZSE/SSE.***
Closing Call Auction Session	
11:27am – 11:30am	• The closing call auction session will run from 11:27am – 11:30am. • Simulate real-life trading activities (in terms of order input, pattern and volume) during the morning continuous call auction session. • Tag BCAN to each order. • Take note of saleable quantities of eligible stocks on hand. ***Notes:*** • ***CCEPs who do not follow the testing guidelines or conduct frivolous activities during the PRT may be suspended from further participation in the PRT without prior warning.*** • ***OTP-CSC will accept China Connect orders from 11:27am – 11:30am. However these orders will only be processed according to the timetable of SZSE/SSE.***
Exchange Intervention	
11:31am	• Exchange Intervention
Day Close	
11:32am	For **CCCG and China Connect Drop-Copy Sessions:**

Time	Activities
	• Log out and terminate CCCG and China Connect Drop-Copy sessions. • Perform end-of-trading procedures, if any, to ensure database integrity. • Clean up the transaction data (e.g. orders and trades) entered during the Post Release Test from BSS, if applicable. • Restore the data and proper BSS production configuration for following Monday, if necessary.
Download Day-end Trade File and Closing Price File	
12:00noon - 2:00pm	Download the following files through Electronic Communication Platform (ECP) (https://www.ecp.hkex.com.hk/) by using production user ID with the "TO" user group (i.e. user ID of MXXXXXTOXXX) and production password. • Trade file for SSE/SZSE (from 12:00noon) and check the accuracy of trade details. • Closing price file for SSE/SZSE (from 1:30pm).
Confirmation of OTP-CSC Rollout	
Around 3:30pm	• Check the announcement in relation to the rollout of OTP-CSC posted on the HKEX website at the OTP-CSC web corner (https://www.hkex.com.hk/Mutual-Market/Stock-Connect/Reference-Materials/Technical-Documents/OTP-CSC?sc_lang=en).
5 August 2019 (Monday)	
Before 8:30am	**Logon to OTP-CSC Host** For **CCCG and China Connect Drop-Copy Sessions:** • Perform logon for CCCG sessions (including Trading and Drop Copy sessions) by using production password.

Notes:

1) Initial sellable quantities at the start of PRT will be same as the stock holdings after day end on 2 August 2019 in the production environment. Please take note the saleable quantities of stocks on hand when placing sell orders.

2) BCAN successfully registered in the production environment should be used for order tagging.

3) CCEPs already rolled out pre-trade checking function for institutional investor ID (IIID), please use IIID effective on 5 August 2019.

4) All OMD-CC messages disseminated during the PRT should be treated as non-production data and have to be cleaned up afterwards.

5) Real-time price data of Northbound test securities will also be available from the Market Data Portal after market open till market close during the PRT. URL of the portal is: http://203.78.5.160/MDP.

Should CCEPs have any query or identify any irregularities during the Post Release Test, please contact the following Participant Support Hotlines:

- For general enquiry   : 2840-3626   otpc@hkex.com.hk
- For technical enquiry   : 2840-3351   bsssupport@hkex.com.hk

Typhoon and/ or Rainstorm Arrangements

When Tropical Storm Warning Signal No. 8 (or above) or the Black Rainstorm Warning Signal is hoisted, the following arrangements will apply:

Condition	Arrangement
If either of the warnings is hoisted or to be in force **anytime from 7:00am to 9:00am**	The scheduled activity of the day will be cancelled and will not be resumed for the rest of the day.
If Tropical Storm Warning Signal No. 8 or above is hoisted **after 9:00am**	The scheduled activity will continue until completion. However, CCEPs may decide for themselves whether to continue or terminate their testing.
If Black Rainstorm Warning is issued **after 9:00am**	All scheduled activity will continue until completion.

Note:
In any case, all participating CCEPs should complete the appropriate day-end work including file/ database backup and restoration, system restoration/ fallback and so on to ensure there will be no interruption on the next trading day.

Extreme Conditions Arrangements

When the Government issues an announcement on "extreme conditions" in the event of, for example, serious disruption of public transport services, extensive flooding, major landslides or large-scale power outage after super typhoons, the following arrangements will apply:

Condition	Arrangement
If Extreme Conditions is announced **anytime from 7:00am to 9:00am**	The scheduled activity of the day will be cancelled and will not be resumed for the rest of the day.
If Extreme Conditions is announced **after 9:00am**	The scheduled activity will continue until completion. However, CCEPs may decide for themselves whether to continue or terminate their testing.

Note:

In any case, all participating CCEPs should complete the appropriate day-end work including file/ database backup and restoration, system restoration/ fallback and so on to ensure there will be no interruption on the next trading day.