Part B Consultation Questions

Please indicate your preference by checking the appropriate boxes. Please reply to the questions below on the proposed change discussed in the Consultation Paper downloadable from the HKEx website at: http://www.hkex.com.hk/eng/newsconsul/mktconsul/Documents/cp2013042.pdf

Where there is insufficient space provided for your comments, please attach additional pages.

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5. N1 to R13.36(2)(b), R19A.38	R14.06(b), R14.23B(2) R14.92 R14.58(3), R14.63(3) R5.03, PN12- Para 15			
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Ru	le no.	Yes	No	If your answer is "No", please provide reasons.
Sho	are option schemes			
6.	R17.03(4)	\boxtimes		
	R17.04(1), N1 to R17.04(3)	\boxtimes		
	R17.06A, R17.07	\boxtimes		
Rep	ourchases of securities			
7.	R10.06(1), (2)			In respect of share repurchase mandate and its associated dealing restriction, it may not be practicable to extend the definition of "connected person" in Rule 10.06(1)(b)(ix) and Rule 10.06(2)(c) respectively to include persons who were directors of the issuer or any of its subsidiaries in the last 12 months and all their respective extended associates.
Vot	ing at general meeting			
8.	R2.16	\boxtimes		
	N2 to R14.33, R14.46, R14.49, R14.55, R14.63(2)(d)	\boxtimes		
	R13.68	\boxtimes		
	PN15 – Para 3(e)(2)	\boxtimes		
9.	R6.12, R6.13, R7.19, R7.24, R13.36(4), R14.90, R14.91, Note to R13.39			
10.	PN4 - Para 4(c)	\boxtimes		
11.	R21.04(3)(d)	\boxtimes		
Vot	ing at, and quorum for, board i	neeting		
12.	R13.44			
	App3 – Para 4(1), N1 to	\boxtimes		

Rule no.		Yes	No	If your answer is "No", please provide reasons.
	App14 – Para A.1.7			
	App14 – Para B.1.2(h)	\boxtimes		
<u>Inde</u> spoi		ors ("INEDs"	'), independer	nt financial advisers ("IFAs") and
13.	R3.13	\boxtimes		
	R13.84 (see also item no. 14 below)			
	R13.80 (see also item no. 14 below)			
	R3A.07(3), (6)	\boxtimes		
	PN21 – Para 14(g)	\boxtimes		
14.	R13.84	\boxtimes		
	R13.80	\boxtimes		
15.	R3A.05	\boxtimes		
<u>Disc</u>	closures in issuers' documents			
16.	R7.16	\boxtimes		
	App1A – Para 28(1)(b)(v), App1E – Para 28(1)(b)(v)			
	App1B – Para 26(1)(b)(v), App1F – Para 22(1)(b)(v), App16 – Para 31(5)			
	R21.08(12)	\boxtimes		
<u>Dep</u>	ositary			
17.	R19B.03	\boxtimes		
Inve	estment companies			
18.	R21.04 (3)(a)			
	R21.04 (4)	\boxtimes		