

**From:** [REDACTED]  
**Sent:** Tuesday, September 3, 2019 12:19 PM  
**To:** response <response@hkex.com.hk>  
**Cc:** [REDACTED]  
**Subject:** Against: Proposed new Note 3 to Listing Rules 3.28 for a general waiver

**WARNING: External email, please exercise caution.**

Dear Manager,

I am writing to oppose the proposed new Note 3 to Listing Rules 3.28 for a general waiver in my own personal opinion.

Governance is an crucial tool to enhance the listed companies' quality in HK. It is easy to grant a general waiver, but it is a question that HKEx have a will to pioneer listed companies to have a high governance standard like other international financial center, or something else.

HKICS is one of the important professional body to promote a high standard of company governance, Being an associate member of HKICS for more than 10 years, I observe HKICS did and is continuously paving the way of high quality of governance in Hong Kong SAR.

Best Regards,

Mike Chan

Fraud Control Officer & Head of Operational Risk Management

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