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**From:** Kwok Fai Ng [REDACTED]  
**Sent:** Thursday, October 8, 2020 11:31 PM  
**To:** response  
**Subject:** Consultation Paper on Review of Listing Rules relating to Disciplinary Powers and Sanctions (Consultation Paper)

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Dear Sirs,

I provide the following opinions for the above-mentioned Consultation Paper.

1. A professional disciplinary issue (for company secretary) which should be left to The Hong Kong Institute of Chartered Secretaries to determine her members, and other professional institutes to determine for other professionals.
2. The role of Hong Kong Exchange and Clearing Limited should be to refer inappropriate cases to The Hong Kong Institute of Chartered Secretaries to determine whether the company secretary has violated the applicable professional standards contributing to a breach of the Listing Rules concerned.
3. For the further clarification, the Exchange should clearly distinguish between internally employed and externally appointed company secretaries.

Thank you for your attention to this matter.

Thanks.

Ng Kwok Fai  
FCS FCGP