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From: Kikixcatherine Lau [REDACTED]
Sent: Friday, October 9, 2020 5:58 PM
To: response
Subject: HKICS/Consultation Paper on Review of Listing Rules relating to Disciplinary Powers and Sanctions

WARNING: External email, please exercise caution.

Dear Sir,

I would like to express my view on the captioned matters.

I'm strongly disagreed the recent proposal regarding to impose secondary disciplinary liability on the company secretary for participating in a listing rule breach.

The reason is that being a company secretary should be qualified professionals and such disciplinary issues should be left to the relevant party and dealt with in accordance with the applicable standards.

In this regards, I recommend HKEX should withdraw the proposal related to this issue.

Thanks.

Kind regards,
Catherine lau

Sent from my iPhone