

**Submitted via Qualtrics**

**Anonymous  
Company / Organisation  
Listed Company**

**Question 1**

**Do you agree with our proposal to remove the documents identified in Table 1 in Schedule II of the Consultation Paper and that doing so will not jeopardise market quality?**

Yes

**Please give reasons for your views.**

We agree with your reasons for removal and the additional actions to be taken by the Exchange as set out in Table 1 in Schedule II, in particular, to codify obligations contained in various undertakings, listing agreements, confirmation/declarations into the Listing Rules.

**Question 2**

**Do you agree with our proposal to codify the relevant obligations into the Listing Rules or Guidance Materials and repeal the undertakings, confirmations and declarations as set out in Table 2 in Schedule II of the Consultation Paper?**

Yes

**Please give reasons for your views.**

Codification of the relevant obligations into the Listing Rules or Guidance Materials would simplify documentation works for all parties concerned.

**Question 3**

**Do you agree with our proposal to repeal the requirement for listing agreements for listing of debt securities (except for debt issues to professional investors), structured products and interests in CIS and investment companies by codifying the relevant obligations as set out in Table 3 in Schedule II of the Consultation Paper?**

Yes

**Please give reasons for your views.**

We agree with your proposal as it will simplify the documentation requirements.

**Question 4**

**Do you agree with our proposal to incorporate in the Listing Rules an issuer's obligation to obtain necessary authorisations and consents for its actions set out in Part (e) of Table 1 in Schedule II of the Consultation Paper?**

Yes

**Please give reasons for your views.**

We agree with your proposal as it will suffice to codify these obligations in the Listing Rules; it is up to the relevant legal advisers to check compliance with the Listing Rules

**Question 5**

**Do you agree with our proposal to require the submission of the overarching undertakings from new applicants and sponsors in the Form A1 referred to in paragraph 38 of the Consultation Paper?**

Yes

**Please give reasons for your views.**

It is better to have all relevant undertakings required from new applicants and sponsors in one form.

**Question 6**

**Do you agree with our proposal to consolidate the requirement for personal particulars of directors/ supervisors in Form FF004?**

Yes

**Please give reasons for your views.**

It is better to remove duplication of documentations.

**Question 7**

**Do you agree with our proposal to remove signature and/or certification requirements for documents set out in Table 5 in Schedule II of the Consultation Paper?**

Yes

**Please give reasons for your views.**

Electronic submission should suffice to identify the persons making the submission to make them responsible for the relevant documents.

**Question 8**

**Do you agree with our proposal to remove from the Listing Rules any requirement for submission of multiple copies of the same document and to require submission of one electronic copy only in respect of the documents set out in Table 6 in Schedule II of the Consultation Paper?**

Yes

**Please give reasons for your views.**

With one electronic copy, the Exchange can send it electronically to whoever necessary.

**Question 9**

**Do you agree with our proposal to mandate electronic means as the only mode of submission to the Exchange unless otherwise specified in the Listing Rules or required by the Exchange?**

Yes

**Please give reasons for your views.**

It is easier for administration purpose, both for the Exchange and the Issuer. However the Listing Rules should make exceptions in extraordinary situations such as internet interruptions.

**Question 10**

**Do you agree with our proposal to mandate the digitalisation of the prospectus authorisation and registration processes?**

Yes

**Please give reasons for your views.**

It saves time and is more efficient.

**Question 11**

**Do you agree with our proposal to amend the Listing Rules to mandate that listed issuers must disseminate corporate communications to their securities holders electronically if this is permitted by their applicable laws and regulations and their constitutional documents?**

No

**Please give reasons for your views.**

The securities holders should have the choice to receive printed copies as some may not be internet proficient.

**Question 12**

**Do you agree with our proposal to allow the consent of holders of a listed issuer's securities to be implied for the electronic dissemination of its corporate communications, to the extent permitted under applicable laws and regulations and its constitutional documents?**

No

**Please give reasons for your views.**

The consent should be explicit in order to protect the rights of securities holders who may not understand the implication of implied consent.

**Question 13**

**Do you agree with our proposal to state in the Rules that Actionable Corporate Communications must be sent to the securities holders individually and in electronic form if the holders provide functional electronic contact details?**

No

**Please give reasons for your views.**

Actionable Corporate Communication can only be in electronic form with the explicit consent of the individual securities holder. A securities holder's provision of functional electronic contact details may not imply consent that actions can only be taken electronically.

**Question 14**

**Do you agree that where a listed issuer does not have functional electronic contact details of a securities holder, an Actionable Corporate Communication must be sent to the holder in hard copy form including a request for the security holder's electronic contact details to facilitate electronic dissemination of Actionable Corporate Communications in future?**

Yes

**Please give reasons for your views.**

Actionable Corporate Communication can only be in electronic form with the explicit consent of the individual securities holder. A securities holder's provision of functional electronic contact details may not imply consent that actions can only be taken electronically.

**Question 15**

**As your answer to Question 13 above is yes, do you agree that we should define Actionable Corporate Communications as “any corporate communication that seeks instructions from an issuer’s securities holders on how they wish to exercise their rights as the issuer’s securities holders”?**

**Please give reasons for your views.**

**Question 16**

**We invite comments on the manner in which the Appendices to the Listing Rules are proposed to be categorised/amended and whether they will give rise to any ambiguities or unintended consequences.**

The renumbering of the Appendices to the Listing Rules may cause confusion. Please consider to retain the current numbering and just make necessary amendments to their contents, with deleted appendices marked “Repealed” as in current version of the Listing Rules.

**Question 17**

**Do you agree with our proposal to remove the requirement for physical attendance by members to meet the quorum needed for meetings of the Listing Committee and Listing Review Committee?**

Yes

**Since your answer is “no”, please give reasons for your views.**

**Question 18**

**Do you agree with our proposal to make minor changes to the Listing Rules described in paragraph 122 to reflect current practices and requirements?**

Yes

**Since your answer is “no”, please give reasons for your views.**