



**Information Pack on  
Mainland Market Data Collaboration Program  
(website version)**

**HKEx Information Services Limited  
20 October 2008 w.1**

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## 1. Document Scope

The purpose of this document is to provide vendors with an overview of the Mainland Market Data Collaboration Program between HKEx Information Services Ltd (HKEx-IS) and SSE Infonet Ltd (SSE Infonet). The Program aims to benefit investors who have interest in shares of issuers that have listed in both Hong Kong and Shanghai by raising the transparency of the securities trading in the two markets.

This document details the introduction of the Program and its application procedures. Application forms in relation to this Program are available upon request.

## 2. Mainland Market Data Collaboration Program Overview

### *2.1 Introduction*

HKEx Information Services Ltd (HKEx-IS) and SSE Infonet Ltd (SSE Infonet), the wholly-owned information business subsidiaries of HKEx and Shanghai Stock Exchange (SSE) respectively, entered into an agreement on 20 October 2008 on a market data collaboration program, named as the Mainland Market Data Collaboration Program (“the Program”).

### *2.2 Program Details*

Under the program, both HKEx-IS and SSE Infonet are entitled to redistribute the other party’s basic real-time market data for the companies with listings in the two markets to their own authorised information vendors (IVs) for onward transmission to the IVs’ subscribers for internal display purposes. Such basic market data includes bid/ask, high/low, last traded price, open/close, nominal price, cumulative volume/turnover and indicative equilibrium price/volume. Non-equity securities of issuers listed in the two markets, such as warrants, are excluded from the Program.

More specifically, SSE Infonet is entitled to redistribute HKEx’s basic real-time market data for HKEx-listed companies that have issued A shares on SSE to its market data subscribers within the Mainland via its authorised IVs. In return, HKEx-IS may permit its **real-time Market Datafeed (MDF) IVs** to receive for onward transmission to their subscribers the basic real-time market data from SSE for A-share issuers that have also listed shares in Hong Kong (‘the SSE Information’).

The Program is open to information services with **end-user entitlement control**, such as leased line or Internet terminals with user-ID and passwords, pagers and mobile phones.

### ***2.3 Data Source – Direct or Indirect Connection***

MDF IVs could receive the SSE Information directly from China Investment Information Services Ltd. (CIIS), a subsidiary of SSE Infonet in Hong Kong, or indirectly via any information vendor that has direct connection with CIIS, subject to the prior approval of HKEx-IS.

### ***2.4 Duration***

The collaboration program will come into effect on 1 January 2009 and last for a period of two years, until 31 December 2010.

### ***2.5 Fee***

The usual exchange fees and subscriber fees for market data will be waived under the Program. For vendors with **direct connection** under the Program, a one time installation fee of USD 1,000 and annual maintenance fees of USD 1,000 per year will be charged by CIIS.

### ***2.5 Subscriber Report***

Though no subscriber fees will be charged under this Program, vendors are required to fill in Section II (6) of the Subscriber Report on a quarterly basis as to the number of subscribers that are receiving the SSE Information under the Program

## **3. How to Apply**

### ***3.1 Vendor Enrolment Form (Form M1) & Service Declaration Form (Form M2)***

Interested parties who wish to participate in the Program are required to submit the Vendor Enrolment Form (Form M1) and Service Declaration Form (Form M2) to **HKEx-IS**.

Completed forms should be submitted by email to [hkex-is@hkex.com.hk](mailto:hkex-is@hkex.com.hk)

Confirmation Letter will be issued by HKEx-IS once Form M1 and Form M2 are considered duly completed.

NOTE: Completed application forms (Form M1 & Form M2) should be submitted to HKEx-IS no later than **21 November 2008** in order to obtain our prior approval for the Program before 1 January 2009. MDF Vendors who wish to start receiving the SSE Information on 1 January 2009 should arrange technical setup accordingly.

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**MDF IVs who wish to establish direct connection with CIIS are required to submit the following documents to CIIS upon receipt of our Confirmation Letter.**

### *3.2 Documents on Technical Arrangement*

- Technical Application Form – Hong Kong-Shanghai Dually Listed A-Shares Market Data
- Booking of Testing Slots
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- Licensee Contact Information – Hong Kong-Shanghai Dually Listed A-Shares Market Data

Technical Connection Specification Document and canned data are available upon request.

Completed forms should be submitted to CIIS by email to [marketing@ciis.com.hk](mailto:marketing@ciis.com.hk) or [technical@ciis.com.hk](mailto:technical@ciis.com.hk). Vendors who have questions over the arrangement can contact CIIS directly:

Mr. Joe Lam  
Tel: +852 2530 4876  
Fax: +852 2530 4165  
Email: [joe@ciis.com.hk](mailto:joe@ciis.com.hk)

### *3.3 Contractual Documents*

The following documents will be delivered to the vendor upon completion of the application process:

- HK-Mainland Dually Listed A-Shares Market Data Service Agreement
- HK-Mainland Dually Listed A-Shares Market Data Service: Tripartite Agreement (for indirect connection)
- ‘Hong Kong-Shanghai Dually Listed A-Shares Market Data Technical Connection Agreement’ (for direct connection)

Sample agreements are available upon request.

## 4. Contact Information

Please find below the contact details of the teams who will be supporting the introduction of this Program:

### *4.1 Primary Account Manager*

Business related queries should be directed to your Account Manager:

Ms. Florie Siu	Tel: +852 2840 3209	Email: <a href="mailto:floriesiu@hkex.com.hk">floriesiu@hkex.com.hk</a>
Ms. Yvette Ying	Tel: +852 2840 3009	Email: <a href="mailto:yvetteying@hkex.com.hk">yvetteying@hkex.com.hk</a>

### *4.2 Technical Support*

Technical queries should be raised directly to CIIS' technical support team:

Mr. Joe Lam	Tel: +852 2530 8476	Email: <a href="mailto:joe@ciis.com.hk">joe@ciis.com.hk</a>
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## Application Documents

*The following documents are available upon request.*

### **I: HKEx-IS documents**

- a. Vendor Enrolment Form (Form M1)
- b. Service Declaration Form (Form M2)
- c. HK-Mainland Dually Listed A-Shares Market Data Service Agreement (sample only)
- d. HK-Mainland Dually Listed A-Shares Market Data Service: Tripartite Agreement (sample only)
- e. Summary Subscriber Report for Securities Market Data

### **II: CIIS documents**

- a. Technical Application Form – Hong Kong-Shanghai Dually Listed A-Shares Market Data
- b. Booking of Testing Slots
- c. Licensee Contact Information – Hong Kong-Shanghai Dually Listed A-Shares Market Data
- d. Technical Connection Specification – Hong Kong-Shanghai Dually Listed A-Shares Market Data
- e. ‘Hong Kong-Shanghai Dually Listed A-Share Market Data Technical Connection Agreement’ (sample only)