

The Stock Connect Fact Sheet (17/11/2014 – 31/10/2017)

Southbound Trading

1. No. of Trading Days

Shanghai Connect – 674
Shenzhen Connect – 207

2. Total Trade Volume* (Buy+Sell)

HKD 3,327 bil

3. Total Shareholding Value* (2014-2017)

As of	31/12/14	31/12/15	31/12/16	31/10/17
Total Shareholding Value* (HKD)	13.09 bil	115.18 bil	364.42 bil	808.81 bil
In percentage	100%	879%	2782%	6174%

4. Net Capital Inflow* (Buy-Sell)

HKD 637.5 bil

5. Average Daily Turnover and Percentage*

	2014	2015	2016	2017 (Jan - Oct)
Average Daily Turnover^Δ (HKD)	464.5 mil	1,698 mil	2,068 mil	4,465 mil
Percentage of equity total[#]	0.91%	2.40%	4.53%	7.17%

* Data includes both Shanghai Connect and Shenzhen Connect (launched on 5 Dec 2016).

^Δ To be consistent with the calculation of Hong Kong equity market's average daily turnover, calculation formula here = Average Daily (Buy+Sell) / 2

[#] Average daily equity turnover does not include turnover of structured products and exchange traded products including ETFs

6. Record High Daily Trade Value (Buy+Sell)

HKD 26.1 bil (9/4/2015)

7. Highest Daily Quota Usage

RMB 10.5 bil (8/4/2015, 9/4/2015)

8. Top 10 Stocks Holdings in terms of Total Amount (As of 31/10/2017)

Rank	Stock Code	Stock Name	Shareholding in CCASS	Closing Price (HKD)	Total Value (HKD)
1	5	HSBC HOLDINGS PLC	994,623,276	76.20	75,790,293,631
2	939	CHINA CONSTRUCTION BANK CORPORATION	9,548,739,488	6.96	66,459,226,836
3	1398	INDUSTRIAL AND COMMERCIAL BANK OF CHINA LTD	9,430,946,429	6.19	58,377,558,396
4	700	TENCENT HOLDINGS LTD	146,305,581	349.80	51,177,692,234
5	1918	SUNAC CHINA HOLDINGS LTD	997,015,838	39.70	39,581,528,769
6	175	GEELY AUTOMOBILE HOLDINGS LTD	789,996,358	24.15	19,078,412,046
7	3968	CHINA MERCHANTS BANK CO LTD	522,075,779	29.75	15,531,754,425
8	1336	NEW CHINA LIFE INSURANCE COMPANY LTD	306,365,153	48.75	14,935,301,209
9	3988	BANK OF CHINA LTD	3,763,482,475	3.89	14,639,946,828
10	607	FULLSHARE HOLDINGS LTD	4,103,270,000	3.34	13,704,921,800

Northbound Trading

1. No. of Trading Days

Shanghai Connect – 688
Shenzhen Connect – 212

2. Total Trade Volume (Buy+Sell)

Shanghai Connect – RMB 3,348.5 bil
Shenzhen Connect – RMB 706.5 bil
Total: RMB 4,055 bil

3. Total Shareholding Value (2014-2017)

Shanghai-Hong Kong Stock Connect Northbound

As of	31/12/14	31/12/15	31/12/16	31/10/17
Total Shareholding Value (RMB)	86.51 bil	124.79 bil	171.12 bil	310.29 bil
In percentage	100%	144%	198%	359%

Shenzhen-Hong Kong Stock Connect Northbound

As of	31/12/14	31/12/15	31/12/16	31/10/17
Total Shareholding Value (RMB)	N/A	N/A	14.63 bil	181.38 bil
In percentage	N/A	N/A	100%	1240%

4. Net Capital Inflow (Buy-Sell)

RMB 326.3 bil

5. Average Daily Turnover and percentage

Shanghai-Hong Kong Stock Connect Northbound

	2014	2015	2016	2017 (Jan - Oct)
Average Daily Turnover^Δ (RMB)	2,792 mil	3,184 mil	1,606 mil	2,474 mil
Percentage of equity total	3.63%	1.17%	1.56%	1.14%

Shenzhen-Hong Kong Stock Connect Northbound

	2014	2015	2016	2017 (Jan - Oct)
Average Daily Turnover^Δ (RMB)	N/A	N/A	770 mil	1,744 mil
Percentage of equity total	N/A	N/A	0.24%	0.66%

6. Record High Daily Trade Value (Buy+Sell)

Shanghai Connect – RMB 23.4 bil (6/7/2015)

Shenzhen Connect – RMB 7.4 bil (9/10/2017)

7. Highest Daily Quota Usage

Shanghai Connect – RMB 13,000 mil (17/11/2014)

Shenzhen Connect – RMB 2,968 mil (9/10/2017)

^Δ To be consistent with the calculation of Hong Kong equity market's average daily turnover, calculation formula here = Average Daily (Buy+Sell) / 2

8. Top 10 Stocks Holdings in terms of total amount (As of 31/10/2017)

Shanghai Connect Northbound Top 10

Rank	Stock Code	Stock Name	Shareholding in CCASS	Closing Price (RMB)	Total Value (RMB)
1	600519	KWEICHOW MOUTAI CO LTD	75,215,494	618.03	46,485,431,757
2	601318	PING AN INSURANCE (GROUP) COMPANY OF CHINA LTD	405,718,150	64.36	26,112,020,134
3	600276	JIANGSU HENGRUI MEDICINE CO LTD	343,231,842	67.23	23,075,476,738
4	600900	CHINA YANGTZE POWER CO LTD	1,053,655,875	15.91	16,763,664,971
5	600887	INNER MONGOLIA YILI INDUSTRIAL GROUP CO LTD	565,994,206	29.55	16,725,128,787
6	600009	SHANGHAI INTERNATIONAL AIRPORT CO LTD	326,116,669	43.75	14,267,604,269
7	601901	FOUNDER SECURITIES CO LTD	1,200,513,808	7.85	9,424,033,393
8	600690	QINGDAO HAIER CO LTD	514,801,807	17.30	8,906,071,261
9	600036	CHINA MERCHANTS BANK CO LTD	315,359,096	27.10	8,546,231,502
10	600104	SAIC MOTOR CORPORATION LTD	240,985,096	31.49	7,588,620,673

Shenzhen Connect Northbound Top 10

Rank	Stock Code	Stock Name	Shareholding in CCASS	Closing Price (RMB)	Total Value (RMB)
1	002415	HANGZHOU HIKVISION DIGITAL TECHNOLOGY CO LTD	900,671,959	39.28	35,378,394,550
2	000333	MIDEA GROUP CO LTD	608,309,821	51.00	31,023,800,871
3	000651	GREE ELECTRIC APPLIANCES, INC. OF ZHUHAI CO LTD	487,218,675	42.55	20,731,154,621
4	000858	WULIANGYE YIBIN CO LTD	103,730,798	66.62	6,910,545,763
5	002304	JIANGSU YANGHE BREWERY JOINT-STOCK CO LTD	58,016,175	110.24	6,395,703,132
6	000538	YUNNAN BAIYAO GROUP CO LTD	60,109,888	104.84	6,301,920,658
7	002508	HANGZHOU ROBAM APPLIANCES CO LTD	84,858,178	46.47	3,943,359,532
8	000001	PING AN BANK CO LTD	226,358,575	11.54	2,612,177,956
9	300124	SHENZHEN INOVANCE TECHNOLOGY CO LTD	76,489,002	30.86	2,360,450,602
10	002572	SUOFEIYA HOME COLLECTION CO LTD	54,179,311	38.90	2,107,575,198

Key Enhancements since the commencement of Stock Connect:

- a) Launch of Special Segregated Accounts (SPSAs), which enabled Northbound investors to meet pre-trade checking requirement for A shares without transferring their shares before selling them (26 Mar 2015)
http://www.hkex.com.hk/news/news-release/2015/150326news?sc_lang=en
- b) Aggregate quota abolished under Shanghai Connect (16 Aug 2016)
http://www.hkex.com.hk/News/News-Release/2016/1608162news?sc_lang=en
- c) HKEX to introduce Realtime DVP for Northbound Stock Connect trading (20 Nov 2017)
http://www.hkex.com.hk/News/News-Release/2017/1711062news?sc_lang=en