

## **Market Statistics 2021**

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**RECORDS SET IN 2021****Securities Market**

	As at 30 Nov 2021	Pre-2021 Record	
Market Capitalisation	\$58,672.2 bil (17 Feb 2021)	\$47,523.0 bil	(31 Dec 2020)
Market Turnover	\$38,591.6 bil	\$32,110.1 bil	(Year 2020)
Debt securities Turnover	\$93.5 bil	\$65.5 bil	(Year 2020)
CBBCs Fund raised	\$1,360.7 bil	\$1,274.2 bil	(Year 2020)
Shanghai – Northbound Trading (Trade Value)	RMB 11,622.3 bil	RMB 9,035.9 bil	(Year 2020)
Shenzhen – Northbound Trading (Trade Value)	RMB 13,667.9 bil	RMB 12,052.7 bil	(Year 2020)
Shanghai – Southbound Trading (Trade Value)	\$4,233.5 bil	\$2,954.6 bil	(Year 2020)
Shenzhen – Southbound Trading (Trade Value)	\$4,562.6 bil	\$2,553.4 bil	(Year 2020)
No of newly listed Derivative Warrants	15,086	12,128	(Year 2020)
No of newly listed CBBCs	39,010	38,039	(Year 2020)
No of newly listed debt securities	474	430	(Year 2020)

**Derivatives Market**

	As at 30 Nov 2021 (Contracts)	Pre-2021 Record (Contracts)	
<b>Trading Turnover</b>			
Stock Futures	2,243,181	1,141,729	(Year 2020)
INR Currency Futures - INR/USD Futures	237,123	169,336	(Year 2020)
USD London Aluminium Mini Futures	126,219	31,543	(Year 2020)
USD London Zinc Mini Futures	92,760	56,267	(Year 2020)
USD London Copper Mini Futures	175,018	88,849	(Year 2020)
Weekly Hang Seng Index Options	1,869,541	1,191,533	(Year 2020)
Weekly Hang Seng China Enterprises Index Options	421,612	409,897	(Year 2020)
Stock Options	148,249,463	131,021,660	(Year 2020)
<b>Open Interest</b>			
Mini Hang Seng Index Futures	24,300 (21 Sep 2021)	22,321	(26 Oct 2018)
Stock Futures	61,238 (22 Mar 2021)	47,050	(27 Mar 2013)
USD London Aluminium Mini Futures	1,242 (16 Aug 2021)	581	(22 Oct 2020)
USD London Zinc Mini Futures	1,065 (20 Aug 2021)	435	(19 Oct 2020)
Hang Seng TECH Index Futures	49,714 (24 Nov 2021)	6,146	(28 Dec 2020)
MSCI China Free Net Total Return (USD) Index Futures	17,249 (17 Sep 2021)	8,297	(18 Dec 2020)
MSCI Japan Net Total Return (USD) Index Futures	17,793 (17 Sep 2021)	10,748	(31 Dec 2020)
MSCI Philippines Net Total Return (USD) Index Futures	2,164 (17 Sep 2021)	18	(18 Dec 2020)
MSCI Thailand Net Total Return (USD) Index Futures	25,873 (16 Jun 2021)	8,744	(18 Dec 2020)
Weekly Hang Seng Index Options	14,887 (02 Jul 2021)	13,274	(06 Nov 2020)
Stock Options	15,625,280 (29 Mar 2021)	13,725,731	(27 Mar 2018)

# **SECURITIES MARKET (Main Board and GEM)**

## **Market Performance**

	<b>As at</b>		
	<b>30 Nov 2021</b>	<b>31 Dec 2020</b>	<b>% Change</b>
<b>Market capitalisation (HK\$bil) <sup>◇</sup></b>	<b>42,880.9</b>	47,523.0	-9.77
<b>Number of listed companies <sup>*</sup></b>	<b>2,561</b>	2,538	0.91
<b>Number of newly listed companies for the year <sup>*</sup></b>	<b>83 <sup>^</sup></b>	154 <sup>**</sup>	-46.10
<b>Number of companies listed under new listing regime<sup>^^</sup></b>			
- WVR	<b>7</b>	4	75.00
- Biotech	<b>17</b>	14	21.43
- Concessionary Secondary listing	<b>4 <sup>+</sup></b>	9 <sup>++</sup>	-55.56
<b>Total equity funds raised (HK\$mil)</b>	<b>708,147.8</b>	746,955.6	-5.20
- IPO funds raised	<b>298,708.5</b>	400,137.3	-25.35
- Post IPO funds raised	<b>409,439.2</b>	346,818.3	18.06
<b>Total turnover (HK\$mil)</b>	<b>38,591,592</b>	32,110,148	20.19
<b>Average daily turnover (HK\$mil)</b>	<b>171,518</b>	129,476	32.47

<sup>◇</sup> The figures represent the total market capitalisation of all equity securities and exclude other listed securities such as REITs and bonds.

<sup>\*</sup> Excludes listed securities other than equities such as REITs and bonds

<sup>^</sup> Includes 1 company which moved their listings from GEM to the Main Board

<sup>\*\*</sup> Includes 8 companies which moved their listings from GEM to the Main Board

<sup>^^</sup> New listing regime refers to the three new chapters in the Main Board Listing Rules which became effective on 30 April 2018

<sup>+</sup> Includes 2 WVR companies

<sup>++</sup> Includes 4 WVR companies and 1 Biotech company

Funds raised in 2021 are provisional figures

Percentage changes are calculated based on rounded figures

## Stock Connect Statistics for 2021 (as at 30 Nov)

### Shanghai-Hong Kong Stock Connect

	<b>Northbound Trading</b>	<b>Southbound Trading</b>
<b>Highest trade value (Buy + Sell)</b>	RMB 93.8 bil (1 Sep 2021)	HK\$ 54.8 bil (27 Jul 2021)
<b>Average daily trade value (Buy + Sell)</b>	RMB 55,609 mil	HK\$ 20,651 mil
<b>Total trade value (Buy + Sell)</b>	RMB 11,622 bil	HK\$ 4,234 bil
<b>Average daily no. of trades (Buy + Sell)</b>	2,623,678	248,789
<b>No. of trading days</b>	209 days	205 days

### Shenzhen-Hong Kong Stock Connect

	<b>Northbound Trading</b>	<b>Southbound Trading</b>
<b>Highest trade value (Buy + Sell)</b>	RMB 94.3 bil (27 Jul 2021)	HK\$ 51.7 bil (18 Feb 2021)
<b>Average daily trade value (Buy + Sell)</b>	RMB 65,397 mil	HK\$ 22,257 mil
<b>Total trade value (Buy + Sell)</b>	RMB 13,668 bil	HK\$ 4,563 bil
<b>Average daily no. of trades (Buy + Sell)</b>	2,958,204	305,867
<b>No. of trading days</b>	209 days	205 days

## Stock Connect Statistics (as at 30 Nov 2021)

### Shanghai-Hong Kong Stock Connect

#### Northbound Top 10

Rank	Stock Name	Trade Value (Buy + Sell) (RMB million)
1	KWEICHOW MOUTAI	554,994.0
2	LONGI GREEN ENERGY TECHNOLOGY	312,273.6
3	PING AN INSURANCE	281,258.5
4	CHINA MERCHANTS BANK	247,705.8
5	CHINA TOURISM GROUP DUTY FREE	236,655.8
6	JIANGSU HENGRUI MEDICINE	208,683.3
7	SANY HEAVY INDUSTRY	189,568.2
8	WUXI APPTec	184,814.9
9	INNER MONGOLIA YILI INDUSTRIAL	175,827.0
10	CHINA NORTHERN RARE EARTH (GP)	172,618.1

#### Southbound Top 10

Rank	Stock Name	Trade Value (Buy + Sell) (HKD million)
1	TENCENT	621,450.1
2	MEITUAN-W	260,374.8
3	XIAOMI-W	214,440.1
4	SMIC	182,204.1
5	CHINA MOBILE	172,621.8
6	CNOOC	113,411.3
7	SMOORE INTL	75,519.1
8	GEELY AUTO	74,461.9
9	HKEX	74,212.8
10	ANTA SPORTS	73,342.7

### Shenzhen-Hong Kong Stock Connect

#### Northbound Top 10

Rank	Stock Name	Trade Value (Buy + Sell) (RMB million)
1	CONTEMPORARY AMPEREX TECHNOLOGY	440,889.8
2	WULIANGYE YIBIN	379,997.3
3	EAST MONEY INFORMATION	283,714.8
4	BYD	259,884.5
5	SUNGROW POWER SUPPLY	252,668.7
6	MIDEA GROUP	194,209.3
7	LUXSHARE PRECISION INDUSTRY	190,779.1
8	LUZHOU LAOJIAO	183,367.5
9	JIANGXI GANFENG LITHIUM	178,065.0
10	SHENZHEN MINDRAY BIO-MEDICAL ELECTRONIC	172,855.6

#### Southbound Top 10

Rank	Stock Name	Trade Value (Buy + Sell) (HKD million)
1	TENCENT	495,488.9
2	MEITUAN-W	270,197.2
3	XIAOMI-W	172,507.1
4	SMIC	121,193.8
5	CHINA MOBILE	112,375.6
6	SMOORE INTL	91,079.7
7	HKEX	86,446.1
8	GEELY AUTO	86,238.6
9	CNOOC	83,808.3
10	WUXI BIO	81,503.3

## IPO Equity Funds Raised (as of 30 Nov 2021)

<b>Rank</b>	<b>Location</b>	<b>IPO Equity Funds Raised</b> (US\$ million)
1	NASDAQ	98,267
2	NYSE	53,986
3	Shanghai	47,151
4	Hong Kong	38,431
5	Shenzhen	21,437
6	London	20,178
7	Korea	17,477
8	India	14,579
9	Brazil	11,563
10	Germany	10,366

Source : HKEX and Dealogic

Figures are provisional

## Total Turnover of Securitised Derivatives, including Warrants and CBBCs (Jan - Nov 2021)

Rank	Exchange	Turnover (US\$ million)
1	HKEX	585,818.8
2	The Stock Exchange of Thailand	59,456.0
3	Boerse Stuttgart	53,971.7
4	Korea Exchange	31,972.4
5	Euronext	30,753.3
6	Taiwan Stock Exchange	22,192.5
7	Deutsche Boerse AG	20,556.6
8	SIX Swiss Exchange	19,772.9
9	Nasdaq Nordic and Baltics	9,474.4
10	LSE Group	5,408.4

Source: World Federation of Exchanges (WFE) Monthly Statistics (not including exchanges for which statistics are not available)

Due to different reporting rules & calculation methods, turnover figures are not entirely comparable

Figures include the turnover of structured products such as derivative warrants, equity warrants, callable bull/bear contracts and equity linked instruments

## Ten Largest IPO Funds Raised by Newly Hong Kong Listed Companies in 2021

(up to 30 Nov 2021)

<b>Rank</b>	<b>Company Name</b>	<b>IPO Funds Raised</b> (HK\$ billion)
1	Kuaishou Technology - W (1024)	48.30
2	JD Logistics, Inc. (2618)	28.27
3	Baidu, Inc. - SW (9888)	23.94
4	Bilibili Inc. - SW (9626)	23.23
5	XPeng Inc. - W (9868)	16.02
6	Li Auto Inc. - W (2015)	13.44
7	Trip.com Group Ltd. - S (9961)	9.75
8	Linklogis Inc. - W (9959)	9.16
9	Dongguan Rural Commercial Bank Co., Ltd. - H Shares (9889)	9.09
10	JOINN Laboratories (China) Co., Ltd. - H Shares (6127)	6.55

## Ten Largest IPO Funds Raised by Newly Hong Kong Listed Companies since 1986

(up to 30 Nov 2021)

Rank	Company Name	IPO Funds Raised (HK\$ billion)
1	AIA Group Ltd. (1299)	159.08
2	Industrial and Commercial Bank of China Ltd. - H Shares (1398)	124.95
3	Alibaba Group Holding Ltd. - SW (9988)	101.20
4	Agricultural Bank of China Ltd. - H Shares (1288)	93.52
5	Bank of China Ltd. - H Shares (3988)	86.74
6	China Construction Bank Corporation - H Shares (939)	71.58
7	Postal Savings Bank of China Co., Ltd. - H Shares (1658)	59.15
8	China Tower Corporation Ltd. - H Shares (788)	58.80
9	Kuaishou Technology - W (1024)	48.30
10	Budweiser Brewing Co. APAC Ltd. (1876)	45.08

# **CHINA DIMENSION**

**Mainland Enterprises refer to the following:**

## **H-Share Companies**

H-share companies are enterprises that are incorporated in the Mainland which are either controlled by Mainland Government entities or individuals.

## **Red Chip Companies**

Red chip companies are enterprises that are incorporated outside of the Mainland and are controlled by Mainland Government entities.

## **Mainland Private Enterprises**

Mainland private enterprises are companies that are incorporated outside of the Mainland and are controlled by Mainland individuals.

# MAIN BOARD and GEM

## Performance of Mainland Enterprises

	As at				% Change
	30 Nov 2021		31 Dec 2020		
<b>Number of listed companies</b>	<b>1,356</b>	<b>(53 %)</b>	<b>1,319</b>	<b>(52 %)</b>	<b>3</b>
<b>Number of newly listed companies for the year</b>	<b>74</b>	<b>^ (89 %)</b>	<b>112</b>	<b># (73 %)</b>	<b>-34</b>
<b>Market capitalisation (HK\$bil)</b>	<b>34,074.09</b>	<b>(79 %)</b>	<b>38,073.00</b>	<b>(80 %)</b>	<b>-11</b>

	As of				% Change
	30 Nov 2021		31 Dec 2020		
<b>Average daily equity turnover (HK\$bil)</b>	<b>125.5</b>	<b>(88 %)</b>	<b>87.8</b>	<b>(85 %)</b>	<b>43</b>
<b>Total equity funds raised (HK\$bil)</b>	<b>599.5</b>	<b>* (85 %)</b>	<b>655.1</b>	<b>(88 %)</b>	<b>-8</b>
▪ <b>IPO funds raised (HK\$bil)</b>	<b>295.1</b>	<b>* (99 %)</b>	<b>395.5</b>	<b>(99 %)</b>	<b>-25</b>
▪ <b>Post IPO funds raised (HK\$bil)</b>	<b>304.4</b>	<b>* (74 %)</b>	<b>259.6</b>	<b>(75 %)</b>	<b>17</b>
<b>Total equity funds raised since Jan 1993 (HK\$bil)</b>	<b>7,893.8</b>	<b>* (66 %)</b>	<b>7,294.3</b>	<b>(65 %)</b>	<b>8</b>

The share of Mainland enterprises of the equity market total is presented as a percentage in brackets

^ Includes 11 H-share companies, 1 red chips and 62 Mainland private enterprises  
(Among which 1 H shares transferred their listings from GEM to the Main Board)

# Includes 13 H-share companies, 2 red chips and 97 Mainland private enterprises  
(Among which 1 red chips and 1 Mainland private enterprises moved transferred listings from GEM to the Main Board)

\* Provisional figures

Percentage changes are calculated based on rounded figures

Numbers may not add up to the totals due to rounding

# **DERIVATIVES MARKET**

## **Derivatives Market Statistics of Key Products**

	Up to 30 Nov 2021		Up to 31 Dec 2020	
	Volume (Contracts)	Period-end Open Interest	Volume (Contracts)	Period-end Open Interest
<b>Futures and Options</b>	<b>267,190,993</b>	<b>13,369,770</b>	282,225,200	11,261,791
<b>Total Futures</b>	<b>96,733,663</b>	<b>761,688</b>	115,145,978	619,397
<b>Key Products</b>				
Hang Seng Index Futures	31,568,440	127,466	41,635,063	129,721
Mini Hang Seng Index Futures	22,834,136	19,543	27,902,077	17,370
Hang Seng China Enterprises Index Futures	28,727,831	310,247	36,256,445	290,518
Mini-Hang Seng China Enterprises Index Futures	3,127,546	7,884	4,538,491	4,197
HSI Dividend Point Index Futures	7,341	3,781	25,098	3,860
HSCEI Dividend Point Index Futures	277,971	101,340	448,663	52,667
Hang Seng TECH Index Futures	3,081,541	46,148	49,122	4,721
MSCI AC Asia ex Japan NTR Index Futures	8,315	568	135,546	402
MSCI Futures Products <sup>#</sup>	2,038,209	89,823	704,050	62,975
Stock Futures	2,243,181	30,825	1,141,729	22,965
RMB Currency Futures - USD/CNH Futures	1,421,029	19,334	1,768,449	28,223
RMB Currency Futures - Mini USD/CNH Futures <sup>^</sup>	708,220	2,584	-	-
RMB Currency Futures - EUR/CNH Futures	844	212	425	60
RMB Currency Futures - JPY/CNH Futures	87	0	265	0
RMB Currency Futures - AUD/CNH Futures	33	0	669	0
RMB Currency Futures - CNH/USD Futures	345	36	2,231	92
INR Currency Futures - INR/USD Futures	237,123	364	169,336	237
INR Currency Futures - INR/CNH Futures	714	0	0	0

<sup>#</sup> Trading commenced on 6 July 2020, 20 July 2020, 3 August 2020, 17 August 2020, 28 September 2020, 18 October 2021 and 22 November 2021.  
Include: 41 MSCI index futures

<sup>^</sup> Trading commenced on 26 April 2021

## DERIVATIVES MARKET

### Derivatives Market Statistics of Key Products (continued)

	Up to 30 Nov 2021		Up to 31 Dec 2020	
	Volume (Contracts)	Period-end Open Interest	Volume (Contracts)	Period-end Open Interest
<b>Futures and Options</b>	<b>267,190,993</b>	<b>13,369,770</b>	282,225,200	11,261,791
<b>Key Products</b>				
USD Gold Futures	51,459	241	61,886	68
USD Silver Futures	970	0	1,282	0
Iron Ore Futures – Monthly Contracts	4,182	52	97,048	1,174
USD London Metal Mini Futures **	394,108	1,240	186,947	132
<b>Total Options</b>	<b>170,457,330</b>	<b>12,608,082</b>	167,079,222	10,642,394
<b>Key Products</b>				
Hang Seng Index Options	6,094,002	122,911	9,811,797	162,843
Mini Hang Seng Index Options	2,587,617	14,030	3,345,582	8,638
Weekly Hang Seng Index Options	1,869,541	8,186	1,191,533	8,058
Hang Seng Index Futures Options #	19,422	2,368	-	-
Hang Seng China Enterprises Index Options	10,450,233	1,504,861	20,725,642	1,502,474
Mini-Hang Seng China Enterprises Index Options	377,432	9,350	564,142	3,490
Weekly Hang Seng China Enterprises Index Options	421,612	6,275	409,897	11,556
Hang Seng China Enterprises Index Futures Options #	229,309	70,764	-	-
Hang Seng TECH Index Options ##	153,438	23,095	-	-
MSCI Options Products +	50	0	-	-
Stock Options	148,249,463	10,844,064	131,021,660	8,942,852
RMB Currency Options - USD/CNH Options	5,211	2,178	8,969	2,483

\*\* Include USD London Aluminium Mini Futures, USD London Zinc Mini Futures, USD London Copper Mini Futures, USD London Lead Mini Futures, USD London Nickel Mini Futures & USD London Tin Mini Futures

# Trading commenced on 23 August 2021

## Trading commenced on 18 January 2021

+ Trading commenced on 15 Mar 2021. Include 2 MSCI index options

## **London Metal Exchange Statistics (Jan – Nov 2021)**

**Total trading volume:** 133,712,919 lots

**Average daily volume:** 576,349 lots

**Number of trading days:** 232

<b>Key products</b>	<b>Total Contract Volume (lots)</b>	<b>Average Daily Volume (lots)</b>	<b>Futures Month-end Market Open Interest (lots)</b>	<b>Futures, Options &amp; TAPOs - US\$bn value</b>
Aluminium Alloy	5,835	25	36	0.27
Aluminium	56,171,826	242,120	788,358	3,488.52
Copper	29,296,112	126,276	307,640	6,865.98
NASAAC	8,963	39	387	0.42
Nickel	15,938,053	68,699	252,252	1,765.55
Lead	9,963,686	42,947	125,229	551.71
Tin	937,968	4,043	14,780	151.25
Zinc	20,941,822	90,266	294,296	1,565.72
Ferrous*	356,275	1,536	7,779	2.03
Others **	92,379	398	10,523	0.04

Open Interest data is as of 30 Nov 2021

\* Includes Rebar, Scrap and HRC contracts

\*\* Include CSF, Aluminium Premiums, Cobalt and LME MAFs