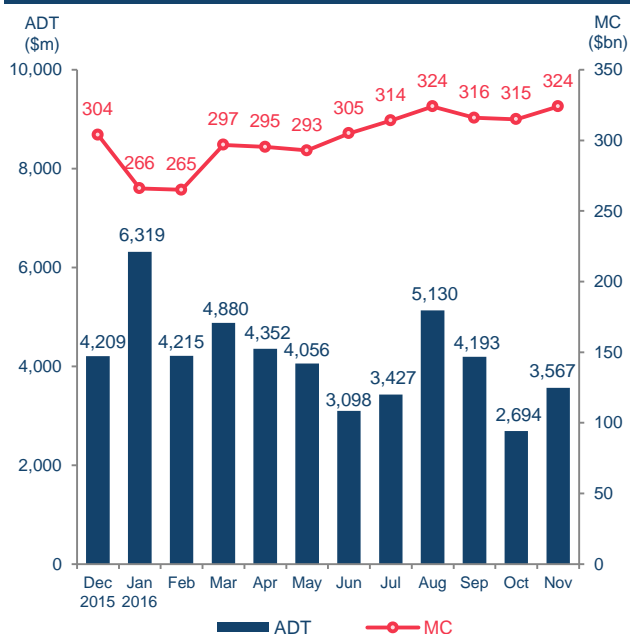


November 2016

HIGHLIGHTS

- Average daily turnover (ADT) in November 2016 was \$3.6 billion, which was up 32% from the previous month's ADT.
- Three new ETFs were listed in November 2016, including XIE Shares FTSE Gold Miners ETF (3116), iShares Core Hang Seng Index ETF (3115 / 83115 / 9115) and Mirae Asset Horizons S&P Asia ex Japan Healthcare ETF (3153).

Average Daily Turnover (ADT) & Market Capitalisation (MC)



Most Actively Traded ETFs / L&I Products in November 2016

	Stock Code	Name	ADT (\$m)
1	2800	Tracker Fund of Hong Kong	1,400
2	2828 / 82828	Hang Seng H-Share Index ETF	740
3	2822 / 82822	CSOP FTSE China A50 ETF	574
4	2823	iShares FTSE A50 China Index ETF	517
5	3188 / 83188	ChinaAMC CSI 300 Index ETF	200
6	2840	SPDR Gold Trust	37
7	3115 / 83115 / 9115	iShares Core Hang Seng Index ETF	21
8	3147 / 83147	CSOP SZSE ChiNext ETF	13
9	2827	W.I.S.E - CSI 300 China Tracker	8
10	2833 / 82833	Hang Seng Index ETF	6

Number of ETFs / L&I Products, ADT & MC in November 2016

Product Type	Underlying Market	Number of Products	ADT			MC		
			\$m	Market Share (%)	% Change	\$m	Market Share (%)	% Change
ETFs	Mainland China A-Share	44	1,322	37.1	54	71,627	22.1	2
	Hong Kong Equity	23	2,161	60.6	24	182,097	56.2	4
	Asia Pacific Equity	41	16	0.4	-66	13,166	4.1	-3
	Overseas Equity	19	15	0.4	6	19,971	6.2	20
	Fixed Income & Currency	8	2	0.1	-53	35,304	10.9	-6
	Commodity	6	47	1.3	90	1,040	0.3	-1
L&I Products	Asia Pacific Equity	8	2	0.1	-10	253	0.1	7
	Overseas Equity	4	2	0.1	-38	321	0.1	19
Total		153	3,567	100	32	323,779	100	3

Note 1: Financial figures are expressed in Hong Kong dollars. L&I Product stands for Leveraged and Inverse Product.

10 Best Performing ETFs in November 2016			
	Stock Code	Name	% change
1	3006	iShares CSI A-Share Infrastructure Index ETF	21.79
2	3039	iShares CSI A-Share Materials Index ETF	20.71
3	2829	iShares CSI A-Share Financials Index ETF	16.46
4	3001	iShares CSI A-Share Consumer Discretionary Index ETF	12.78
5	2846	iShares CSI 300 A-Share Index ETF	12.00
6	2841	iShares CSI A-Share Consumer Staples Index ETF	11.85
7	3005	db x-trackers CSI300 Industrials UCITS ETF	10.53
8	2823	iShares FTSE A50 China Index ETF	6.74
9	3136	Harvest MSCI China A 50 Index ETF	6.14
10	3160	BMO MSCI Japan Hedged to USD ETF	5.96

10 Worst Performing ETFs in November 2016			
	Stock Code	Name	% change
1	3016	db x-trackers MSCI Philippines IM Index UCITS ETF (DR)	-11.37
2	3099	db x-trackers MSCI Indonesia Index UCITS ETF	-10.92
3	3048	db x-trackers MSCI Brazil Index UCITS ETF (DR)	-10.78
4	3054	Mirae Asset Horizons S&P Emerging Asia Consumer ETF	-9.67
5	3082	db x-trackers MSCI Malaysia Index UCITS ETF (DR)	-8.73
6	3045	db x-trackers MSCI India Index UCITS ETF	-7.84
7	3015	db x-trackers NIFTY 50 UCITS ETF	-7.37
8	2836	iShares Core S&P BSE SENSEX India Index ETF	-7.23
9	3081	Value Gold ETF	-6.94
10	2840	SPDR Gold Trust	-6.89

10 Best Performing ETFs Year-to-Date			
	Stock Code	Name	% change
1	3048	db x-trackers MSCI Brazil Index UCITS ETF (DR)	66.18
2	3027	db x-trackers MSCI Russia Capped Index UCITS ETF	31.87
3	2841	iShares CSI A-Share Consumer Staples Index ETF	31.85
4	3031	XIE Shares Indonesia (LQ45) ETF	23.58
5	3039	iShares CSI A-Share Materials Index ETF	21.66
6	3060	Value Taiwan ETF	21.40
7	3106	db x-trackers MSCI Pakistan IM Index UCITS ETF	20.65
8	3036	db x-trackers MSCI Taiwan Index UCITS ETF (DR)	20.55
9	3092	db x-trackers MSCI Thailand Index UCITS ETF (DR)	19.12
10	3089	XIE Shares Taiwan (TAIEX) ETF	19.02

10 Worst Performing ETFs Year-to-Date			
	Stock Code	Name	% change
1	3063	db x-trackers CSI300 Transportation UCITS ETF	-28.00
2	3147	CSOP SZSE ChiNext ETF	-23.65
3	3052	db x-trackers CSI300 Utilities UCITS ETF	-23.39
4	3150	Harvest CSI Smallcap 500 Index ETF	-22.47
5	3008	C-Shares CSI 300 Index ETF	-19.35
6	3005	db x-trackers CSI300 Industrials UCITS ETF	-18.18
7	3025	db x-trackers CSI300 Consumer Discretionary UCITS ETF	-17.29
8	2839	W.I.S.E. - CSI HK Listed Mainland Real Estate Tracker	-16.61
9	3118	Harvest MSCI China A Index ETF	-14.87
10	3156	GFI MSCI China A International ETF	-13.38

Note 2:

ETF and L&I Product Market Perspective covers ETFs and L&I Products listed on HKEX's securities market. It does not cover the two ETFs that trade at HKEX under the Pilot Programme for Trading US Securities. Multiple counters of an ETF / L&I Product are counted as one ETF / L&I Product. Market capitalisation statistics exclude SPDR Gold Trust. Performance statistics covers ETFs only and are based on the closing prices. For ETFs with multiple counters, only the performance of the Hong Kong dollar counter is included. All data are as of month end.

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