

## USER ACCESS LEVEL ASSIGNMENT

### 使用者的系統功能使用組別

Appendix II

附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Enquire Broadcast Message 查詢螢光幕廣播訊息	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				
Report Download 編印報表		✓																
Report Profile Maintenance 報表設定功能		✓																
View Circular 瀏覽通告	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				
<b>DELIVERY INSTRUCTION 交付指示</b>																		
Input DI 輸入交付指示							✓		✓									
Change DI Requirement 更改交付指示規定							✓		✓									
<b>CASH PREPAYMENT/TSF PAYMENT 預先繳付現金/交易通付款</b>																		
Add Cash Prepayment Instruction 輸入預先繳付現金指示	✓																	
Change Cash Prepayment Instruction 更改預先繳付現金指示	✓																	
Delete Cash Prepayment Instruction 取消預先繳付現金指示	✓																	
Authorise Cash Prepayment Instruction 認可預先繳付現金指示							✓											
Revoke Cash Prepayment Instruction 撤銷預先繳付現金指示							✓											
Enquire Cash Prepayment Instruction 查詢預先繳付現金指示	✓						✓											
Add Cash Prepayment for China Connect Markets 輸入中華通市場預先繳付現金	✓																	
Change Cash Prepayment for China Connect Markets 更改中華通市場預先繳付現金	✓																	
Delete Cash Prepayment for China Connect Markets 取消中華通市場預先繳付現金	✓																	
Authorise Cash Prepayment for China Connect Markets 認可中華通市場預先繳付現金							✓											
Revoke Cash Prepayment for China Connect Markets 撤銷中華通市場預先繳付現金							✓											
Enquire Cash Prepayment for China Connect Markets 查詢中華通市場預先繳付現金	✓						✓											
Add Cash Prepayment / TSF Payment Standing Instruction 輸入預先繳付現金/交易通付款預設指示	✓																	

## USER ACCESS LEVEL ASSIGNMENT

### 使用者的系統功能使用組別

Appendix II

附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																		
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD	
Change Cash Prepayment / TSF Payment Standing Instruction 更改預先繳付現金/交易通付款預設指示	✓																		
Delete Cash Prepayment / TSF Payment Standing Instruction 取消預先繳付現金/交易通付款預設指示	✓																		
Authorise Cash Prepayment / TSF Payment Standing Instruction 認可預先繳付現金/交易通付款預設指示							✓												
Cancel Cash Prepayment / TSF Payment Standing Instruction 刪除預先繳付現金/交易通付款預設指示							✓												
Enquire Cash Prepayment / TSF Payment Standing Instruction 查詢預先繳付現金/交易通付款預設指示	✓						✓												
Add Cash Prepayment Standing Instruction for China Connect Markets 輸入中華通市場預先繳付現金預設指示	✓																		
Change Cash Prepayment Standing Instruction for China Connect Markets 更改中華通市場預先繳付現金預設指示	✓																		
Delete Cash Prepayment Standing Instruction for China Connect Markets 取消中華通市場預先繳付現金預設指示	✓																		
Authorise Cash Prepayment Standing Instruction for China Connect Markets 認可中華通市場預先繳付現金預設指示							✓												
Cancel Cash Prepayment Standing Instruction for China Connect Markets 刪除中華通市場預先繳付現金預設指示							✓												
Enquire Cash Prepayment Standing Instruction for China Connect Markets 查詢中華通市場預先繳付現金預設指示	✓						✓												
<b>SETTLEMENT INSTRUCTION (SI) 交收指示</b>																			
Input SI 輸入交收指示	✓																		
Change SI 更改交收指示	✓																		
Delete SI 取消交收指示							✓												
Authorise Pending SI 認可交收指示							✓												
Revoke Matched SI 撤銷已配對的交收指示							✓												
Change Hold Matched SI 更改暫緩執行已配對交收指示							✓												
Change Matched / Settled SI 更改已配對/交收的交收指示							✓												
Enquire SI 查詢交收指示	✓						✓												
Enquire Deleted SI 查詢已取消的交收指示	✓						✓												
Enquire Unmatched SI Reason 查詢交收指示未獲配對原因	✓						✓												

## USER ACCESS LEVEL ASSIGNMENT

### 使用者的系統功能使用組別

Appendix II

附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																		
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD	
Add SI Tolerance Limit 輸入交收指示交收寬限水平	✓																		
Delete SI Tolerance Limit 取消交收指示交收寬限水平	✓																		
Authorise SI Tolerance Limit 認可交收指示交收寬限水平							✓												
Cancel SI Tolerance Limit 刪除交收指示交收寬限水平							✓												
Enquire SI Tolerance Limit 查詢交收指示交收寬限水平	✓						✓												
<b>A/C TRANSFER INSTRUCTION 戶口轉移指示</b>																			
Input ATI 輸入戶口轉移指示						✓													
Input Mass ATI / STI 輸入大量戶口轉移指示 / 附寄結單的股份獨立戶口轉移指示						✓													
Change Mass ATI / STI 更改大量戶口轉移指示 / 附寄結單的股份獨立戶口轉移指示						✓													
Delete Mass ATI / STI 取消大量戶口轉移指示 / 附寄結單的股份獨立戶口轉移指示						✓													
Enquire Mass ATI / STI 查詢大量戶口轉移指示 / 附寄結單的股份獨立戶口轉移指示						✓													
<b>STOCK SEGREGATED ACCOUNT TRANSFER INSTRUCTION (STI) 附寄結單的股份獨立戶口轉移指示</b>																			
Add STI 輸入附寄結單的股份獨立戶口轉移指示								✓											
Change STI 更改附寄結單的股份獨立戶口轉移指示								✓											
Delete STI 取消附寄結單的股份獨立戶口轉移指示								✓											
Enquire STI 查詢附寄結單的股份獨立戶口轉移指示								✓	✓										
Authorise STI 認可附寄結單的股份獨立戶口轉移指示									✓										
Revoke STI 撤銷附寄結單的股份獨立戶口轉移指示									✓										
<b>INVESTOR SETTLEMENT INSTRUCTION (ISI) 投資者交收指示</b>																			
Input ISI 輸入投資者交收指示								✓											
Change ISI 更改投資者交收指示								✓											
Delete ISI 取消投資者交收指示									✓										
Authorise Pending ISI 認可投資者交收指示									✓										

## USER ACCESS LEVEL ASSIGNMENT

### 使用者的系統功能使用組別

Appendix II

附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																		
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD	
Enquire ISI 查詢投資者交收指示								✓	✓										
Hold / Release ISI 暫緩/解除投資者交收指示									✓										
<b>LOGIN WITHDRAWAL ORDER 臨時提取指示</b>																			
Add Login Withdrawal Order 輸入臨時提取指示	✓																		
Change Login Withdrawal Order 更改臨時提取指示	✓																		
Delete Login Withdrawal Order 取消臨時提取指示	✓																		
Enquire Login Withdrawal Order 查詢臨時提取指示	✓		✓																
Authorise Login Withdrawal Order 認可臨時提取指示			✓																
Cancel Login Withdrawal Order 刪除臨時提取指示			✓																
<b>ELECTION INSTRUCTION 選擇指示</b>																			
Change Election Instruction 更改選擇指示				✓															
Enquire Election Instruction 查詢選擇指示				✓	✓														
Authorise Election Instruction 認可選擇指示					✓														
<b>SUBSCRIPTION INSTRUCTION 認購指示</b>																			
Add Subscription Instruction 輸入認購指示				✓															
Change Subscription Instruction 更改認購指示				✓															
Delete Subscription Instruction 取消認購指示				✓															
Enquire Subscription Instruction 查詢認購指示				✓	✓														
Cancel Subscription Instruction 刪除認購指示					✓														
Authorise Subscription Instruction 認可認購指示					✓														
<b>CORPORATE VOTING INSTRUCTION 公司投票指示</b>																			
Change Corp Voting Instruction 更改公司投票指示				✓															
Enquire Corp Voting Instruction 查詢公司投票指示				✓	✓														
Authorise Corp Voting Instruction 認可公司投票指示					✓														
Enquire SSA Corporation Voting Instruction 查詢附寄結單的股份獨立戶口公司投票指示				✓	✓														

**USER ACCESS LEVEL ASSIGNMENT**  
 使用者的系統功能使用組別

Appendix II  
 附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Enquire Resolution Description 查詢決議案內容				✓	✓													
Add Corp Rep. / Proxy 輸入委任公司代表及委託人				✓														
Change Corp Rep. / Proxy 更改委任公司代表及委託人				✓														
Delete Corp Rep. / Proxy 取消委任公司代表及委託人				✓														
Authorise Corp Rep. / Proxy 認可委任公司代表及委託人					✓													
Enquire Corp Rep. / Proxy 查詢委任公司代表及委託人				✓	✓													
<b>SHAREHOLDING CATEGORY DISCLOSURE 持股類別披露</b>																		
Add Shareholding Category Disclosure 輸入持股類別披露				✓														
Change Shareholding Category Disclosure 更改持股類別披露				✓														
Delete Shareholding Category Disclosure 取消持股類別披露				✓														
Authorise Shareholding Category Disclosure 認可持股類別披露					✓													
Enquire Shareholding Category Disclosure 查詢持股類別披露				✓	✓													
<b>SBL BULLETIN BOARD 證券借貸佈告板</b>																		
Add Stock Borrowing Request 輸入借用證券要求	✓																	
Change Stock Borrowing Request 更改借用證券要求	✓																	
Delete Stock Borrowing Request 取消借用證券要求	✓																	
Enquire Stock Borrowing Request 查詢借用證券要求	✓	✓																
Enquire Stock Lender List 查詢貸股人士名單	✓	✓																
Enquire Stock Borrowing List 查詢借用證券要求資料	✓	✓																
<b>CORPORATE COMMUNICATION 公司通訊事項接收者名單</b>																		
Add Recipient Master 輸入接收者的主要資料				✓														
Change Recipient Master 更改接收者的主要資料				✓														
Delete Recipient Master 取消接收者的主要資料				✓														
Enquire Recipient Master 查詢接收者的主要資料				✓														
Add Event Recipient List 輸入公司通訊事項接收者名單				✓														

## USER ACCESS LEVEL ASSIGNMENT

### 使用者的系統功能使用組別

Appendix II

附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Delete Event Recipient List 取消公司通訊事項接收者名單				✓														
Enquire Event Recipient List 查詢公司通訊事項接收者名單				✓														
Enquire Corporate Communications Event 查詢公司通訊事項的詳情				✓														
<b>PAYMENT INSTRUCTION 付款指示</b>																		
Enquire Payment Instruction 查詢付款指示		✓																
Enquire TSF Payment Instruction 查詢交易通付款指示		✓																
Add Intra-day Payment Standing Instruction 輸入即日付款預設指示	✓			✓														
Change Intra-day Payment Standing Instruction 更改即日付款預設指示	✓			✓														
Delete Intra-day Payment Standing Instruction 取消即日付款預設指示	✓			✓														
Authorise Intra-day Payment Standing Instruction 認可即日付款預設指示					✓		✓											
Cancel Intra-day Payment Standing Instruction 刪除即日付款預設指示					✓		✓											
Enquire Intra-day Payment Standing Instruction 查詢即日付款預設指示	✓			✓	✓		✓											
Enquire Intra-day Payment Summary 查詢即日付款總結	✓			✓	✓		✓											
Add Nominee Payment (Evening) Standing Instruction 輸入代理人款項(傍晚)預設指示	✓			✓														
Change Nominee Payment (Evening) Standing Instruction 更改代理人款項(傍晚)預設指示	✓			✓														
Delete Nominee Payment (Evening) Standing Instruction 取消代理人款項(傍晚)預設指示	✓			✓														
Authorise Nominee Payment (Evening) Standing Instruction 認可代理人款項(傍晚)預設指示					✓		✓											
Cancel Nominee Payment (Evening) Standing Instruction 刪除代理人款項(傍晚)預設指示					✓		✓											
Enquire Nominee Payment (Evening) Standing Instruction 查詢代理人款項(傍晚)預設指示	✓			✓	✓		✓											
<b>HIDE UNMATCHED SI CONTENT COUNTERPARTY LIST 隱藏未配對交收指示內容對手名單</b>																		
Input Hide Unmatched SI Content Counterparty List 輸入隱藏未配對交收指示內容對手名單	✓																	
Delete Hide Unmatched SI Content Counterparty List 取消隱藏未配對交收指示內容對手名單	✓																	

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

Appendix II  
 附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Authorise Hide Unmatched SI Content Counterparty List 認可隱藏未配對交收指示內容對手名單							✓											
Cancel Hide Unmatched SI Content Counterparty List 刪除隱藏未配對交收指示內容對手名單							✓											
Enquire Hide Unmatched SI Content Counterparty List 查詢隱藏未配對交收指示內容對手名單	✓						✓											
<b>ISI (WITH AFFIRMATION) COUNTERPARTY LIST 投資者交收指示(須確認)交收對手名單</b>																		
Input ISI (With Affirmation) Counterparty List 輸入投資者交收指示(須確認)交收對手名單											✓							
Delete ISI (With Affirmation) Counterparty List 取消投資者交收指示(須確認)交收對手名單											✓							
Authorise ISI (With Affirmation) Counterparty List 認可投資者交收指示(須確認)交收對手名單												✓						
Cancel ISI (With Affirmation) Counterparty List 刪除投資者交收指示(須確認)交收對手名單												✓						
Enquire ISI (With Affirmation) Counterparty List 查詢投資者交收指示(須確認)交收對手名單											✓	✓						
<b>ISI (WITHOUT AFFIRMATION) COUNTERPARTY LIST 投資者交收指示(毋須確認)交收對手名單</b>																		
Input ISI (Without Affirmation) Counterparty List 輸入投資者交收指示(毋須確認)交收對手名單															✓			
Delete ISI (Without Affirmation) Counterparty List 取消投資者交收指示(毋須確認)交收對手名單															✓			
Authorise ISI (Without Affirmation) Counterparty List 認可投資者交收指示(毋須確認)交收對手名單																✓		
Cancel ISI (Without Affirmation) Counterparty List 刪除投資者交收指示(毋須確認)交收對手名單																✓		
Enquire ISI (Without Affirmation) Counterparty List 查詢投資者交收指示(毋須確認)交收對手名單															✓	✓		
<b>ENQUIRE SETTLEMENT ACT / STATUS 查詢交收活動 / 狀況</b>																		
Enquire Due/Overdue Position 查詢到期/逾期交收數額		✓																
Enquire Stock Account Balance 查詢股份戶口結餘		✓																
Enquire Stock Account Movement 查詢股份戶口轉移		✓																
Enquire Settlement Activity 查詢交收活動		✓																

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

Appendix II  
 附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Enquire Money Position / Instruction 查詢款項數額 / 指示		✓																
<b>GENERAL ENQUIRIES 一般查詢</b>																		
Enquire Announcement Information 查詢公佈資料	✓	✓	✓	✓	✓	✓	✓	✓	✓			✓						
Enquire Participant List 查詢參與者名單	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓						
Enquire Stock List 查詢股份名錄	✓	✓	✓	✓	✓	✓	✓	✓	✓			✓						
Enquire Reported Lost Certificate 查詢報失證券		✓																
Enquire Buy-in Confirmation 查詢補購確認	✓						✓	✓	✓									
Enquire NCP / GCP Mapping 查詢非結算參與者/全面結算參與者配對		✓																
Enquire Issuer Announcement 查詢發行人公告	✓	✓	✓	✓	✓	✓	✓	✓	✓			✓						
<b>STOCK BORROWING/LENDING 股票借貸</b>																		
Input Recall Request 輸入收回股票要求												✓						
Change Cash Compensation Indicator 更改現金補償指示												✓						
Enquire Lending Position 查詢貸出數額		✓										✓						
Enquire Stock Borrowing Information 查詢借入股票資料		✓										✓						
<b>EIPO APPLICATION 電子認購新股申請</b>																		
Add EIPO Application 輸入電子認購新股申請				✓														
Change Pending EIPO Application 更改電子認購新股申請				✓														
Delete Pending EIPO Application 取消電子認購新股申請				✓														
Authorise EIPO Application 認可電子認購新股申請					✓													
Cancel EIPO Application 刪除電子認購新股申請					✓													
Enquire EIPO Application 查詢電子認購新股申請				✓	✓													
Enquire EIPO Total Application Quantity and Money Obligation 查詢電子認購新股指示的申請股數及金額				✓	✓													
Enquire Authorised EIPO Announcement 查詢認可電子認購新股公佈				✓	✓													
Enquire Allotment Result 查詢分配結果				✓	✓													



**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

Appendix II  
 附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Confirm EIPO Application 確認電子認購新股申請				✓														
Delete Confirmed EIPO Application 取消已確認電子認購新股申請				✓														
Authorise Confirmed EIPO Application 認可已確認電子認購新股申請					✓													
Cancel Confirmed EIPO Application 刪除已確認電子認購新股申請					✓													
Enquire Confirmed EIPO Application 查詢已確認電子認購新股申請				✓	✓													
<b>UNIT ORDER 單位基金指示</b>																		
Add Cash Creation Order 輸入現金新增指示													✓					
Change Cash Creation Order 更改現金新增指示													✓					
Delete Cash Creation Order 取消現金新增指示													✓					
Authorise Cash Creation Order 認可現金新增指示														✓				
Enquire Cash Creation Order 查詢現金新增指示													✓	✓				
Add In Kind Creation Order 輸入實貨新增指示													✓					
Change In Kind Creation Order 更改實貨新增指示													✓					
Delete In Kind Creation Order 取消實貨新增指示													✓					
Authorise In Kind Creation Order 認可實貨新增指示														✓				
Enquire In Kind Creation Order 查詢實貨新增指示													✓	✓				
Add Redemption Order 輸入贖回指示													✓					
Change Redemption Order 更改贖回指示													✓					
Delete Redemption Order 取消贖回指示													✓					
Authorise Redemption Order 認可贖回指示														✓				
Enquire Redemption Order 查詢贖回指示													✓	✓				
<b>TENDER INSTRUCTION 投標指示</b>																		
Add Tender Instruction 輸入投標指示				✓														
Change Tender Instruction 更改投標指示				✓														
Delete Tender Instruction 取消投標指示				✓														
Authorise Tender Instruction 認可投標指示					✓													

## USER ACCESS LEVEL ASSIGNMENT

### 使用者的系統功能使用組別

Appendix II

附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																		
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD	
Cancel Tender Instruction 刪除投標指示					✓														
Enquire Tender Instruction 查詢投標指示				✓	✓														
Enquire Authorised Tender Announcement 查詢認可投標公佈				✓	✓														
Enquire Tender Result 查詢投標結果				✓	✓														
<b>STOCK SEGREGATED ACCOUNT WITH STATEMENT (SSA) MASTER 附寄結單的股份獨立戶口總檔案</b>																			
Add SSA 輸入附寄結單的股份獨立戶口																	✓		
Change SSA 更改附寄結單的股份獨立戶口																	✓		
Delete SSA 取消附寄結單的股份獨立戶口																	✓		
Enquire SSA 查詢附寄結單的股份獨立戶口																	✓	✓	
Reset SSA Phone Password 重新設定 SSA 的電話系統使用者密碼																			✓
Add SSA Bank Account 輸入 SSA 的銀行戶口																	✓		
Change SSA Bank Account 更改 SSA 的銀行戶口																	✓		
Delete SSA Bank Account 取消 SSA 的銀行戶口																	✓		
Enquire SSA Bank Account 查詢 SSA 的銀行戶口																	✓	✓	
<b>STOCK RELEASE REQUEST 股份轉出要求</b>																			
Add Stock Release Request 輸入股份轉出要求	✓																		
Change Stock Release Request 更改股份轉出要求	✓																		
Delete Stock Release Request 取消股份轉出要求	✓																		
Authorise Stock Release Request 認可股份轉出要求								✓											
Enquire Stock Release Request 查詢股份轉出要求	✓							✓											
<b>SPSA DELIVERY FAILURE MAINTENANCE 特別獨立戶口未能交付修訂</b>																			
Add Sellable Balance Adjustment Request 輸入可沽出餘額調整要求	✓																		
Change Sellable Balance Adjustment Request 更改可沽出餘額調整要求	✓																		
Delete Sellable Balance Adjustment Request 取消可沽出餘額調整要求	✓																		
Authorise Sellable Balance Adjustment Request 認可可沽出餘額調整要求								✓											

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

Appendix II  
 附件 II

GENERAL CCASS FUNCTIONS 一般中央結算系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	A	C	D	E	F	G	H	I	J	K	L	M	N	O	AA	AB	AC	AD
Cancel Sellable Balance Adjustment Request 刪除可沽出餘額調整要求							✓											
Enquire Sellable Balance Adjustment Request 查詢可沽出餘額調整要求	✓						✓											
<b>INTER-COUNTER TRANSFER/CONVERSION INSTRUCTION 跨櫃檯轉換指示</b>																		
Input Inter-counter Transfer / Conversion Instruction 輸入跨櫃檯轉換指示						✓												
Cancel Inter-counter Transfer / Conversion Instruction 刪除跨櫃檯轉換指示						✓												
Enquire Inter-counter Transfer / Conversion Instruction 查詢跨櫃檯轉換指示						✓												

‘✓’ means the user has the access right to the functions.  
 代表使用者可使用這些功能。

**M** means only CCASS Participants with Stock Borrowing / Lending Account can apply the user access level.  
 代表已開立股票借貸戶口的中央結算系統參與者才可申請的使用組別。

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**


Appendix II  
 附件 II

CCMS FUNCTIONS 共同抵押品管理系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號							
	P	Q	R	S	T	U	W	X
<b>GENERAL FUNCTIONS 一般功能</b>								
Enquire Broadcast Message 查詢螢光幕廣播訊息	✓	✓	✓	✓	✓	✓		
View Circular 瀏覽通告	✓	✓	✓	✓	✓	✓		
<b>CCMS STOCK TRANSFER 共同抵押品管理股份轉移</b>								
Add CCASS-To-CCMS Stock Transfer CCASS 至 CCMS 股份轉移加入功能	✓							
Add CCMS-To-CCASS Stock Transfer CCMS 至 CCASS 股份轉移加入功能						✓		
Add General To Specific Stock Collateral 一般股份抵押品轉作指定股份抵押品加入功能		✓						
Add Specific to General Stock Collateral 指定股份抵押品轉作一般股份抵押品加入功能					✓			
<b>SPECIFIC CASH COLLATERAL 指定現金抵押品</b>								
Add Pending Specific Cash Collateral 指定現金抵押品輸入功能							✓	
Delete Pending Specific Cash Collateral 指定現金抵押品取消功能							✓	
Authorise Pending Specific Cash Collateral 指定現金抵押品認可功能								✓
Enquire Specific Cash Collateral 指定現金抵押品查詢功能							✓	✓
<b>CCMS CASH TRANSFER 共同抵押品管理現金轉移</b>								
Add CCMS-To-CCASS Cash Transfer CCMS 至 CCASS 現金轉移加入功能			✓					
<b>PREFERRED SINGLE SETTLEMENT CURRENCY 首選單一結算貨幣</b>								
Change Preferred Single Settlement Currency 首選單一結算貨幣更改功能							✓	
Delete Pending Preferred Single Settlement Currency 首選單一結算貨幣取消功能							✓	
Authorise Pending Preferred Single Settlement Currency 首選單一結算貨幣認可功能								✓
Enquire Preferred Single Settlement Currency 首選單一結算貨幣查詢功能							✓	✓

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

CCMS FUNCTIONS 共同抵押品管理系統功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號							
	P	Q	R	S	T	U	W	X
<b>ENQUIRE COLLATERAL INFORMATION 查詢抵押品資料</b>								
Enquire Collateral Account Balance 抵押品戶口結存查詢功能				✓				
Enquire Collateral Account Movement 抵押品戶口變動查詢功能				✓				
Enquire Collateral Inventory 抵押品存貨查詢功能				✓				
Enquire Interest Calculation Result 利息計算結果查詢功能				✓				
Enquire Currency Exchange Rate and Haircut 貨幣滙率及扣減率查詢功能				✓				
Enquire Coll Effective Haircut & Val Price 抵押品生效扣減率及量值價格查詢功能				✓				
Enquire Specific Cash Collateral Movement 指定現金抵押品變動查詢功能				✓				

‘✓’ means the user has the access right to the functions.  
 代表使用者可使用這些功能。

 Clearing Participants may access some or all of the functions defined under the above CCMS user groups.  
 Custodian Participants only need to access those functions defined under user groups R and S.  
 經紀參與者可操作使用部份或全部上述共同抵押品管理系統組別，而託管商參與者則祇可操作使用組別 R 及 S。

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

SECURITY MANAGEMENT FUNCTIONS 使用者管理功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號
	EE
<b>SECURITY MANAGEMENT 使用者管理功能</b>	
Enquire User Profile 查詢使用者的使用組別資料	✓
View User Group Listing (with accessible C/3 functions) 瀏覽使用者組別(及相關功能)列表	✓
View User Profile Listing 瀏覽使用者的使用組別資料列表	✓
View Disabled User Listing 瀏覽被撤除使用功能的使用者列表	✓
View SRN Listing 瀏覽用戶參照號碼列表	✓
View User Profile Maintenance Report 瀏覽處理使用者的使用組別資料報表	✓

‘✓’ means the user has the access right to the functions.  
 代表使用者可使用這些功能。

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

Appendix II  
 附件 II

UPLOAD BATCH FILE FUNCTIONS 上載整批檔案功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28
<b>UPLOAD BATCH FILE 上載整批檔案</b>																		
SI Batch File Upload 上載整批交收指示檔案	✓																	
Authorise SI Batch File Upload 認可上載整批交收指示檔案		✓																
ISI Batch File Upload 上載整批投資者交收指示檔案			✓															
Authorise ISI Batch File Upload 認可上載整批投資者交收指示檔案				✓														
ATI Batch File Upload 上載整批戶口轉移指示檔案					✓													
Authorise ATI Batch File Upload 認可上載整批戶口轉移指示檔案						✓												
Corporate Communication Recipient Batch File Upload 上載整批公司通訊事項接收者檔案							✓											
Authorise Corporate Communication Recipient Batch File Upload 認可上載整批公司通訊事項接收者檔案								✓										
Corporate Representative/Proxy Batch File Upload 上載整批委任公司代表及委託人檔案									✓									
Authorise Corporate Representative/Proxy Batch File Upload 認可上載整批委任公司代表及委託人檔案										✓								
EIPO Batch File Upload 上載整批電子認購檔案											✓							
Authorise EIPO Batch File Upload 認可上載整批電子認購檔案												✓						
Tender Instruction Batch File Upload 上載整批投標檔案													✓					
Authorise Tender Instruction Batch File Upload 認可上載整批投標檔案														✓				
SSA Batch File Upload 上載整批附寄結單的股份獨立戶口檔案															✓			
Authorise SSA Batch File Upload 認可上載整批附寄結單的股份獨立戶口檔案																✓		
STI Batch File Upload 上載整批附寄結單的股份獨立戶口轉移指示檔案			✓															
Authorise STI Batch File Upload 認可上載整批附寄結單的股份獨立戶口轉移指示檔案				✓														
Multi-counter Transfer Instruction Batch File Upload 上載整批多櫃檯合資格證券轉換指示檔案					✓													
Authorise Multi-counter Transfer Instruction Batch File Upload 認可上載整批多櫃檯合資格證券轉換指示檔案						✓												

**USER ACCESS LEVEL ASSIGNMENT**  
**使用者的系統功能使用組別**

Appendix II  
 附件 II

UPLOAD BATCH FILE FUNCTIONS 上載整批檔案功能	USER ACCESS LEVEL CODE 使用者的系統功能使用組別代號																	
	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28
EIPO Confirmation Batch File Upload 上載確認電子認購新股檔案											✓							
Authorise EIPO Confirmation Batch File Upload 認可上載確認電子認購新股檔案												✓						
Parallel Trading Conversion Instruction Batch File Upload 上載並行買賣轉換指示檔案					✓													
Authorise Parallel Trading Conversion Instruction Batch File Upload 認可上載並行買賣轉換指示檔案						✓												
Specific Stock Collateral Batch File Upload 上載指定抵押證券整批檔案																	✓	
Authorise Specific Stock Collateral Batch File 認可上載指定抵押證券整批檔案																		✓
<b>GENERAL ENQUIRIES 一般查詢</b>																		
Enquire Announcement Information 查詢公佈資料	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				
Enquire Participant List 查詢參與者名單	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				
Enquire Stock List 查詢股份名錄	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				
Enquire Broadcast Message 查詢螢光幕廣播訊息	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				
View Circular 瀏覽通告	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓				

‘✓’ means the user has the access right to the functions.  
 代表使用者可使用這些功能。