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Hong Kong Exchanges and Clearing Limited is a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

## 通告 CIRCULAR

Subject: **Briefing on Market Rehearsal of HKATS and DCASS Upgrade**  
Enquiry: **DCASS Hotline: 2979 7222 / Email: [clearingpsd@hkex.com.hk](mailto:clearingpsd@hkex.com.hk)**

Reference is made to the circular dated 19 December 2018 (Ref. No. [CD/CDCO/DCASS/163/2018](#)), Hong Kong Exchanges and Clearing Limited (HKEX) plans to launch the HKATS and DCASS Upgrade (Upgrade) in May 2019 tentatively.

In order to provide opportunity for Clearing Participants (CPs) to examine their readiness for the Upgrade, including internal operations, network and system connections with central gateway, back-office system, OAPI programs and other in-house arrangement, in an end-to-end manner, Market Rehearsals (MRs) of the Upgrade will be held in March 2019. **All CPs are required to participate in the MRs and confirmed their readiness for the Upgrade.** CPs who do not participate in the MRs or unable to confirm their readiness will not be able to access DCASS after the launch of the Upgrade. To facilitate CPs to prepare for the MRs, three briefing sessions will be held on 22, 24 and 30 January 2019 as follows:

<u>Date</u>	<u>Time</u>	<u>Venue</u>	<u>Language</u>	<u>Registration</u>
22 Jan 2019 (Tuesday)	<b>17:00 – 18:00</b> (Registration starts from 16:45)	Zone 1, HKEX Connect Hall, 1/F, One & Two Exchange Square, Central	Cantonese	<a href="#">Online registration form</a>
24 Jan 2019 (Thursday)	<b>17:00 – 18:00</b> (Registration starts from 16:45)	Zone 1, HKEX Connect Hall, 1/F, One & Two Exchange Square, Central	Cantonese	<a href="#">Online registration form</a>
30 Jan 2019 (Wednesday)	<b>17:00 – 18:00</b> (Registration starts from 16:45)	Zone 3, HKEX Connect Hall, 1/F, One & Two Exchange Square, Central <i>(Please use entrance near IFC mall)</i>	English	<a href="#">Online registration form</a>

Project coordinators of CPs are strongly recommended to nominate **at least** one designated staff each from operations and technical respectively to attend the briefing sessions by submitting the online registration form **on or before 18 January 2019**.

CPs are highly recommended to coordinate with their IT teams / vendors for necessary preparation. For any enquiries, please contact the DCASS hotline at 2979 7222 or email to [clearingpsd@hkex.com.hk](mailto:clearingpsd@hkex.com.hk).

**Hector Lau**  
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**Clearing Operations**

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*