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通告 CIRCULAR

Subject:Information Sessions on Annual Attestation and Inspection Programme 2020Enquiry:surveillance@hkex.com.hk

With reference to our circular (Ref. No.: MSM/002/2020) dated 28 February 2020, participants are cordially invited to one of our three information sessions on the Annual Attestation and Inspection Programme 2020. In view of the outbreak of COVID-19, the information sessions will be hosted in live webinar format, while the webinar recording and presentation materials will be made available on <u>HKEX Rule Enforcement's webpage</u> subsequent to the events.

The information sessions will cover the following three priority areas as previously announced:

- 1. Client Margin Requirements;
- 2. Risk Management; and
- 3. China Connect Rules.

Each information session will qualify for 1.5 CPT hours. Enrolment details can be found in the attached Appendix or <u>HKEX Rule Enforcement's webpage</u>.

Garbo Cheung Head Market Surveillance and Monitoring Post Trade Division