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通告 CIRCULAR

Subject: Briefing Sessions on Pre-Trade Risk Management (“PTRM”) System
Enhancement in Derivatives Market – HKATS Risk Functions Enhancement

Enquiry: HKATS Hotline¹ Tel: 2211-6360

Reference is made to the circular dated 24th November 2020 (Ref: [MO/DT/255/20](#)), Hong Kong Exchanges and Clearing Limited (“HKEX”) plan to enhance the current PTRM system in the derivatives market to provide Exchange Participants (“EPs”) with more functions in the current Prescribed Risk Controls. The tentative launch date will be in Q4 2021.

Briefing Sessions

To enable EPs to have better understanding and preparation for the enhanced PTRM functions, HKEX has scheduled 3 briefing sessions in March 2021. Details of the registration are provided below. EPs are advised to nominate their **PTRM authorized persons** and/or their representatives preferably one of each from trading, risk management and IT department to attend the briefing sessions. Confirmation emails with webinar details will be sent to successful nominees in due course.

Date	Time	Format	Language	Registration
22 nd March 2021 (Monday)	4:30 pm - 5:30 pm	Webinar	Cantonese	Click here to register
23 rd March 2021 (Tuesday)	4:30 pm - 5:30 pm	Webinar	English	Click here to register
24 th March 2021 (Wednesday)	4:30 pm - 5:30 pm	Webinar	Cantonese	Click here to register

¹ All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/Global/Exchange/Privacy-Policy?sc_lang=en

Information Paper

EPs are advised to read the Information Paper – HKATS Risk Functions Enhancement (“PTRM 2.0”) by visiting the following URL for an introduction to the enhanced PTRM functions with the tentative implementation plan and schedule in details:

[https://www.hkex.com.hk/Services/Trading/Derivatives/Overview/Trading-Mechanism/Pre-Trade-Risk-Management-\(PTRM\)-System-in-Derivatives-Markets?sc_lang=en](https://www.hkex.com.hk/Services/Trading/Derivatives/Overview/Trading-Mechanism/Pre-Trade-Risk-Management-(PTRM)-System-in-Derivatives-Markets?sc_lang=en)

For any enquiries, please contact the HKATS Hotline at 2211 6360 or email to hkatssupport@hkex.com.hk.

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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.