

編號 Ref. No.:

LSD/039/2022

日期 Date:

12/05/2022

香港期貨交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

HONG KONG FUTURES EXCHANGE LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Amendments to the Exchange Participant Admission Appeals Procedures (the

"Procedures") of Hong Kong Futures Exchange Limited ("HKFE")

Enquiry: HKATS (Hotline 11 : 2211-6360 Email: hkatssupport@hkex.com.hk)

Holders of Futures Exchange Trading Rights and Exchange Participants are requested to note that the Securities and Futures Commission has approved amendments to the Procedures for the purpose of implementing changes to the membership composition of the Exchange Participant Admission Appeals Committee of HKFE.

The amendments, as set out in the Appendix, will come into effect on Friday, 13 May 2022.

The updated version of the **Procedures** can be downloaded from HKEX website.

Florence Leung Head of Legal

^[1] All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/eng/global/privacy_policy.htm





HONG KONG FUTURES EXCHANGE LIMITED

EXCHANGE PARTICIPANT ADMISSION APPEALS PROCEDURES

4. Exchange Participant Admission Appeals Committee

Membership

- 4.1 The Exchange Participant Admission Appeals Committee shall have 3 members, and all 3 members must attend the hearing to form a quorum. The three members shall be:
 - (a) the Chairman who shall be an independent non-executive director of HKEX to be appointed by the chairman of HKEX;
 - (b) a Director who is not involved in the day to day operations of the Derivatives Market to be appointed by the Chairman; and
 - (c) an independent non-executive director of HKEX to be appointed by the Chairman.