

香港期貨交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

HONG KONG FUTURES EXCHANGE LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Annual Review of Stock Futures Trading Fee Tier Classification

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Pursuant to the Regulations for Trading Stock Futures (Section 018) of Hong Kong Futures Exchanges, the trading fee tier levels of the following stock futures contracts will be changed, effective from **3 January 2023**, as a result of the annual review:

Underlying Stock	Stock Code	HKATS Code	Tier Change	Trading Fee Change for Clients (HK\$)
China Mobile Ltd.	941	CHT	From Tier 2 to Tier 1	From \$1.0 to \$3.0
Yankuang Energy Group Co., Ltd.	1171	YZC		
Yankuang Energy Group Co., Ltd. (Adj.)	1171	YZB		
CNOOC Ltd.	883	CNC	From Tier 3 to Tier 2	From \$0.5 to \$1.0
China Shenhua Energy Co., Ltd.	1088	CSE		
AAC Technologies Holdings Inc.	2018	AAC	From Tier 1 to Tier 2	From \$3.0 to \$1.0
Baidu, Inc.	9888	BIU		
Bilibili Inc.	9626	BLI		
BYD Electronic International Co., Ltd.	285	BYE		
China Merchants Bank Co., Ltd.	3968	CMB		
Country Garden Holdings Co. Ltd.	2007	COG		
Ganfeng Lithium Group Co., Ltd.	1772	GLI		
Haidilao International Holding Ltd	6862	HDO		
XiaoMi Corporation	1810	MIU		
Ping An Insurance (Group) Co. of China, Ltd.	2318	PAI		
XPeng Inc.	9868	PEN		
Xinyi Solar Holdings Ltd.	968	SHL		
Weibo Corporation	9898	WEB		
ZhongAn Online P & C Insurance Co., Ltd.	6060	ZAO		
Alibaba Health Information Technology Ltd	241	ALH		
Ping An Healthcare and Technology Co., Ltd.	1833	PHT		

Underlying Stock	Stock Code	HKATS Code	Tier Change	Trading Fee Change for Clients (HK\$)
Sunac China Holdings Ltd.	1918	SUN		
China Evergrande Group	3333	EVG	From Tier 2 to Tier 3	From \$1.0 to \$0.5
The Hong Kong and China Gas Co., Ltd.	3	HKG		
Sands China Ltd.	1928	SAN		
China Tower Corporation Ltd.	788	TWR		

The full list of stock futures contracts and the new tier levels are set forth in the Attachment for reference.

Brian Roberts
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This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.

Attachment

List of Stock Futures Contracts Available for Trading (Effective from 3 January 2023)

The following list of stock futures contracts are available for trading on the HKATS. Exchange Participants and their clients should be aware that stock futures contracts may or may not have market makers to provide bid / offer quotes for trading. Investors should exercise due caution and understand the liquidity risk involved when trading stock futures without market makers.

a) Stock Futures with Contract Size with More Than One Underlying Board Lot Shares

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Multiplier (shares)	No. of Board Lots	Tier No.
1	16	Sun Hung Kai Properties Limited	SHK	1,000	2	1
2	175	Geely Automobile Holdings Ltd.	GAH	5,000	5	1
3	268	Kingdee International Software Group Co., Ltd.	KDS	2,000	2	1
4	285	BYD Electronic International Company Ltd.	BYE	1,000	2	2
5	688	China Overseas Land & Investment Ltd.	COL	2,000	4	1
6	788	China Tower Corporation Limited	TWR	10,000	5	3
7	823	Link Real Estate Investment Trust	LNK	1,000	10	1
8	868	Xinyi Glass Holdings Limited	GHL	2,000	2	1
9	981	Semiconductor Manufacturing International Corp.	SMC	2,500	5	1
10	998	China CITIC Bank Corporation Ltd.	CTB	20,000	20	1
11	1024	Kuaishou Technology	KST	500	5	1
12	1288	Agricultural Bank of China Ltd.	ABC	10,000	10	1
13	1299	AIA Group Ltd.	AIA	1,000	5	1
14	1336	New China Life Insurance Co. Ltd.	NCL	1,000	10	2
15	1359	China Cinda Asset Management Co., Ltd.	CDA	5,000	5	3
16	1810	XiaoMi Corporation	MIU	1,000	5	2
17	1816	CGN Power Co., Ltd.	CGN	10,000	10	2
18	1833	Ping An Healthcare and Technology Co., Ltd.	PHT	500	5	3
19	1876	Budweiser Brewing Company APAC Limited	BUD	1,000	10	2
20	1918	Sunac China Holdings Ltd.	SUN	2,000	2	3
21	1919	COSCO Shipping Holdings Company Limited	COS	2,500	5	1
22	1988	China Minsheng Banking Corp., Ltd.	MSB	10,000	20	1
23	2007	Country Garden Holdings Co. Ltd.	COG	5,000	5	2
24	2015	Li Auto Inc.	LAU	200	2	2
25	2018	AAC Technologies Holdings Inc.	AAC	1,000	2	2
26	2238	Guangzhou Automobile Group Co., Ltd.	GAC	4,000	2	1
27	2333	Great Wall Motor Co. Ltd.	GWM	10,000	20	1
28	2382	Sunny Optical Technology (Group) Co. Ltd.	SNO	1,000	10	1
29	2601	China Pacific Insurance Group Co. Ltd.	CPI	1,000	5	2

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Multiplier (shares)	No. of Board Lots	Tier No.
30	2800	Tracker Fund of Hong Kong	TRF	50,000	100	1
31	2822	CSOP FTSE China A50 ETF	CSA	5,000	25	1
32	2823	iShares FTSE A50 China Index ETF	A50	5,000	50	1
33	2828	Hang Seng China Enterprises Index ETF	HCF	5,000	25	1
34	3188	ChinaAMC CSI 300 Index ETF	AMC	2,000	10	1
35	3333	China Evergrande Group	EVG	2,000	2	3
36	3690	Meituan Dianping	MET	500	5	1
37	3888	Kingsoft Corporation Ltd.	KSO	1,000	5	1
38	3993	China Molybdenum Company Limited	MOL	9,000	3	1
39	6030	CITIC Securities Co. Ltd.	CTS	1,000	2	2
40	6060	ZhongAn Online P & C Insurance Company Limited	ZAO	1,000	10	2
41	6618	JD Health International Inc.	JDH	500	10	1
42	6837	Haitong Securities Co. Ltd.	HAI	10,000	25	1
43	9618	JD.com, Inc.	JDC	500	10	1
44	9626	Bilibili Inc.	BLI	60	3	2
45	9633	Nongfu Spring Company Ltd.	NFU	1,000	5	1
46	9868	XPeng Inc.	PEN	200	2	2
47	9888	Baidu, Inc.	BIU	150	3	2
48	9898	Weibo Corporation	WEB	100	5	2
49	9961	Trip.com Group Ltd.	TRP	150	3	1
50	9988	Alibaba Group Holding Ltd.	ALB	500	5	1
51	9999	NetEase, Inc.	NTE	500	5	1

***Unless otherwise specified, tier levels of adjusted contracts shall be same as their standard contracts.**

b) Stock Futures with Contract Size Equal to One Underlying Board Lot Shares

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Multiplier (shares)	Tier No.
1	1	CK Hutchison Holdings Ltd.	CKH	500	1
2	2	CLP Holdings Ltd.	CLP	500	1
3	3	The Hong Kong and China Gas Company Ltd.	HKG	1,000	3
4	4	The Wharf (Holdings) Limited	WHL	1,000	1
5	5	HSBC Holdings Plc.	HKB	400	2
6	6	Power Assets Holdings Limited	HEH	500	2
7	11	Hang Seng Bank Limited	HSB	100	2
8	12	Henderson Land Development Company Limited	HLD	1,000	1
9	17	New World Development Company Limited	NWD	1,000	1

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Multiplier (shares)	Tier No.
10	19	Swire Pacific Limited 'A'	SWA	500	1
11	23	The Bank of East Asia, Limited	BEA	200	3
12	27	Galaxy Entertainment Group Ltd.	GLX	1,000	1
13	66	MTR Corporation Limited	MTR	500	2
14	241	Alibaba Health Information Technology Ltd.	ALH	2,000	3
15	267	CITIC Limited	CIT	1,000	3
16	386	China Petroleum & Chemical Corporation	CPC	2,000	3
17	388	Hong Kong Exchanges and Clearing Limited	HEX	100	1
18	700	Tencent Holdings Ltd.	TCH	100	1
19	728	China Telecom Corporation Limited	CTC	2,000	3
20	762	China Unicom (Hong Kong) Limited	CHU	2,000	3
21	857	PetroChina Company Limited	PEC	2,000	3
22	883	CNOOC Limited	CNC	1,000	2
23	902	Huaneng Power International, Inc.	HNP	2,000	3
24	914	Anhui Conch Cement Company Limited	ACC	500	2
25	939	China Construction Bank Corporation	CCB	1,000	3
26	941	China Mobile Limited	CHT	500	1
27	968	Xinyi Solar Holdings Limited	SHL	2,000	2
28	1088	China Shenhua Energy Company Limited	CSE	500	2
29	1171	Yankuang Energy Group Company Limited	YZC	2,000	1
30	1211	BYD Company Ltd.	BYD	500	1
31	1398	Industrial and Commercial Bank of China Limited	ICB	1,000	3
32	1772	Ganfeng Lithium Group Company Limited	GLI	200	2
33	1800	China Communications Construction Company Limited	CCC	1,000	3
34	1898	China Coal Energy Company Limited	CCE	1,000	3
35	1928	Sands China Limited	SAN	400	3
36	2269	WuXi Biologics (Cayman) Inc.	WXB	500	1
37	2318	Ping An Insurance (Group) Company of China, Ltd.	PAI	500	2
38	2328	PICC Property and Casualty Company Limited	PIC	2,000	2
39	2331	Li Ning Company Limited	LNI	500	1
40	2388	BOC Hong Kong (Holdings) Limited	BOC	500	2
41	2600	Aluminum Corporation of China Limited	ALC	2,000	3
42	2628	China Life Insurance Company Limited	CLI	1,000	2
43	2899	Zijin Mining Group Company Limited	ZJM	2,000	2
44	3328	Bank of Communications Company Limited	BCM	1,000	3
45	3968	China Merchants Bank Company Limited	CMB	500	2

No.	SEHK Code	Underlying Stock Name	HKATS Code	Contract Multiplier (shares)	Tier No.
46	3988	Bank of China Limited	BCL	1,000	3
47	6862	Haidilao International Holding Limited	HDO	1,000	2

***Unless otherwise specified, tier levels of adjusted contracts shall be same as their standard contracts.**