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## 通告 CIRCULAR

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Subject: Rehearsal on the Polling Mechanism for Derivatives Market Contingencies on  
5 July 2023

Enquiry: HKATS Hotline : 2211-6360<sup>1</sup> / Email: [HKATSupport@hkex.com.hk](mailto:HKATSupport@hkex.com.hk)

Upon contingency situation(s) happens, it is important that the Exchange can receive direct feedbacks from Exchange Participants (“EPs”) to facilitate assessment on overall impacts and consider the appropriate actions. One of the communication channels is electronic polling.

In order to assist EPs to get familiarized with the polling mechanism and able to provide timely response upon contingencies, a **mandatory** rehearsal on the polling mechanism for derivatives market contingencies (the “Rehearsal”) will be conducted on Wednesday, 5 July 2023 between 4:30pm and 5:00pm tentatively. Details of the Rehearsal are as follows.

### Before the Rehearsal (from now to 30 June 2023)

Each EP should have already designated 2 Responsible Officers as contact persons to respond to the polling questions during contingency situations. If there is any change in the designated Responsible Officers, please return the completed “Designated Emergency Contact Persons for Derivatives Market Contingencies” form (Attachment 1) to Trading Department of HKEX **by email** or by Client Connect ([SF\\_DT001](#)) on or before 30 June 2023.

### During the Rehearsal (on 5 July 2023)

An email with the hyperlink to a simulated contingency questionnaire will be sent to the designated contact persons who are required to complete the online questionnaire within the specified time limit. For details, please refer to the guideline ([Attachment 2](#)).

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<sup>1</sup> All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement:  
[Privacy Notice \(hkex.com.hk\)](#)

Thank you in advance for your participation.

Jeffrey Lam  
Head  
Client Services Department  
Operations Division

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*