HKEX
香港交易所

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Hong Kong Exchanges and Clearing Limited i a recognized exchange controller under the Securities and Futures Ordinance which is the controller of The Stock Exchange of Hong Kong Limited, Hong Kong Futures Exchange Limited, Hong Kong Securities Clearing Company Limited, The SEHK Options Clearing House Limited, HKFE Clearing Corporation Limited and OTC Clearing Hong Kong Limited.

通告 CIRCULAR

Subject:	Reminder to Participants on Access to HKATS Risk Functions – Pre-Trade Risk Management ("PTRM") System in Derivatives Market after Security Patch Deployment
Enquiry:	HKATS Hotline[1] Tel: 2211-6360

Hong Kong Exchanges and Clearing Limited ("HKEX") plans to apply security patch for certificates renew in PTRM in HKATS on 4 May 2024, Saturday. Participants are reminded that in order to access PTRM after the security patch deployment, all previous Java Cache(s) must be cleared per dedicated PC. Any attempts to login PTRM Graphical User Interface ("PTRM GUI") without deleting Java Cache(s) of the previous versions will be unable to launch the application. The functions and layout of the PTRM GUI will remain unchanged after Participants have deleted Java Cache(s) of previous versions and successfully logged into with the updated version. The security patch deployment will not impact other accesses to HKATS.

Participants are advised to delete all previous Java Cache(s) before the trading day on 6 May 2024, Monday for a successful access to PTRM. In order to allow Participants to access PTRM with the updated version of Java Cache(s), Participants are highly recommended to act accordingly with the following timetable and join the connectivity session held between 15:00 and 18:00 on 4 May 2024, Saturday:

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[1] All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: http://www.hkex.com.hk/Global/Exchange/Privacy-Policy?sc_lang=en

Date	Action
By 30 April 2024, Tuesday	Complete the enrolment form for a connectivity session: **Click here to register**
By 3 May 2024, Friday	Clear the Java Cache(s) per dedicated PC immediately after the completion of PTRM related operations (i.e., after the close of Day Session or AHT Session, where appropriate). Detailed instructions are set forth in **Appendix 1**
4 May 2024, Saturday 15:00 - 18:00 (Connectivity Session)	Verify the updated version by logging in PTRM GUI with production login ID and password
6 May 2024, Monday and after	Clear the Java Cache(s) per dedicated PC before logging in PTRM GUI if the action is not done before 6 May 2024, Monday

For any enquiries, please contact HKATS Hotline at +852 2211 6360 or email to hkatssupport@hkex.com.hk. The Exchange would like to thank all Participants for their cooperation.

Desmond Leung
Senior Vice President
Trading Department
Operations Division

This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.
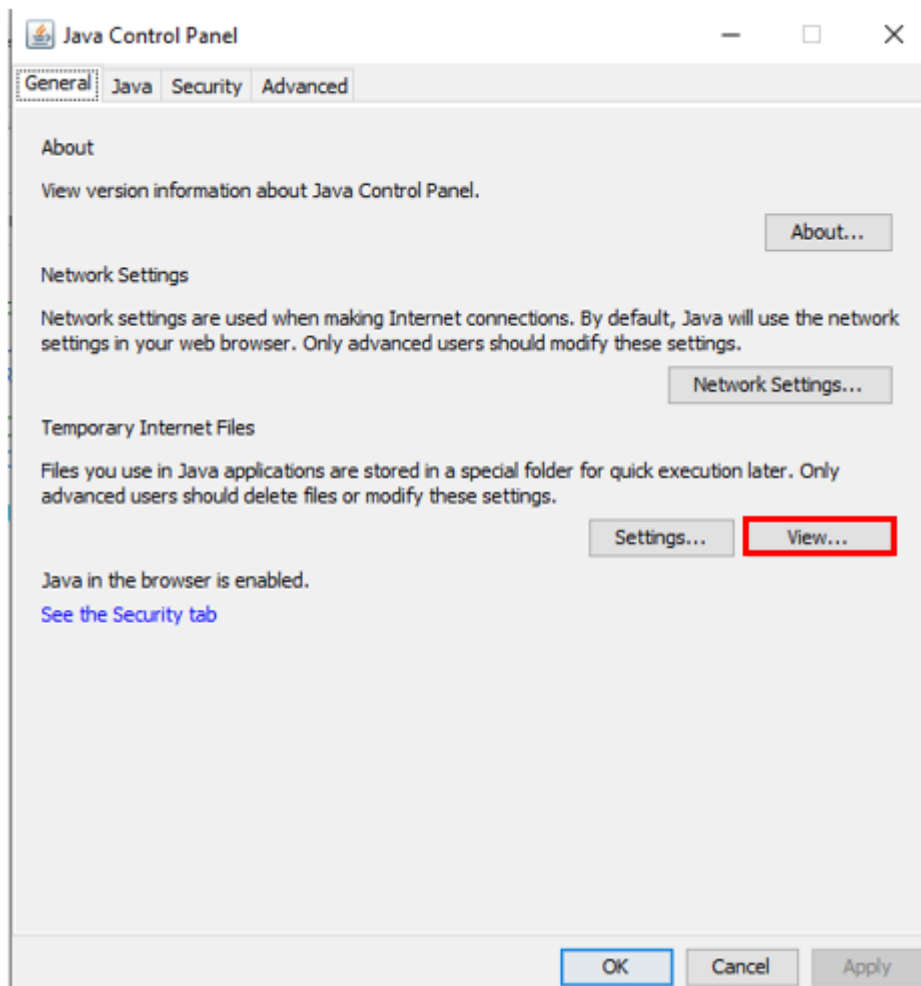
<u>Deleting Java Cache of PTRM GUI</u>

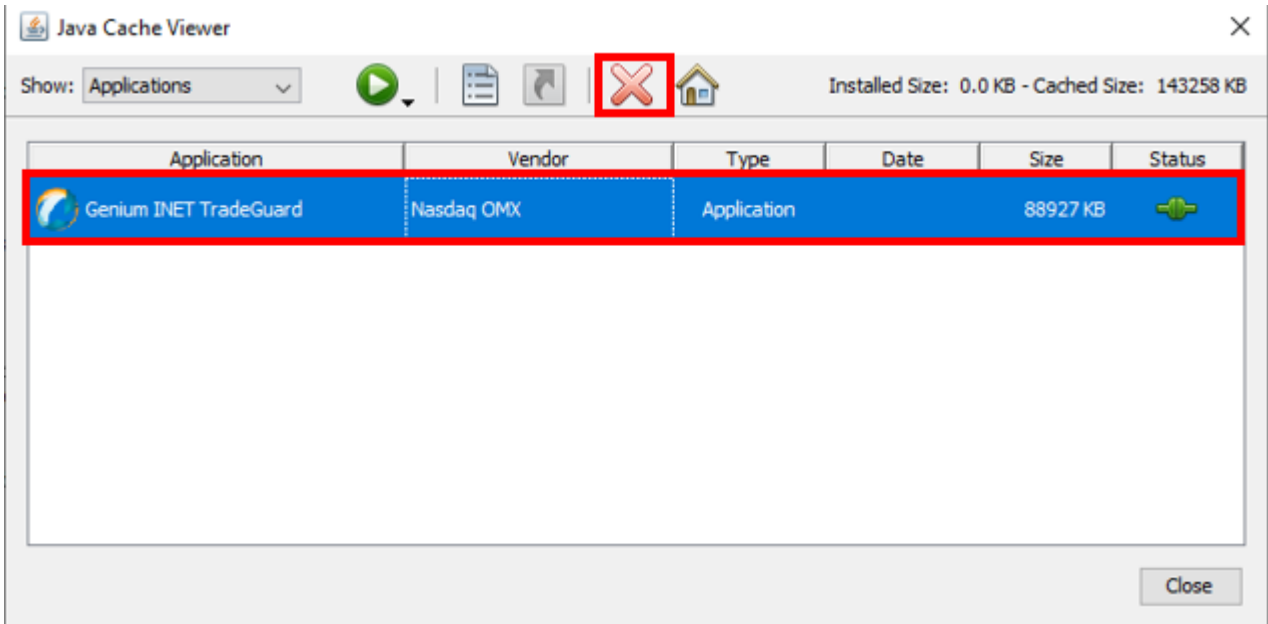Complete the following steps to delete the Java Cache:

Step 1: Go to "Control Panel"

Step 2: Click on "Java"

Step 3: Press "View" under "Temporary Internet Files" in the "General" tab

Step 4: Select all related cache(s) and press 'X' to delete. The "Java Cache Viewer" window shown below must be emptied before logging in for the update version

Note:

If the Java Cache(s) of previous versions is not deleted, Participants will not be able to launch the application and the following error message will be prompted:

"Unable to launch the application."