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## 通告 CIRCULAR

**Subject:** Updates on the Effective Date of Enhanced Self-Match Prevention Service and Reminder to Participants on Access to HKATS Risk Functions – Pre-Trade Risk Management System

**Enquiry:** HKATS Hotline<sup>1</sup> Tel: 2211-6360

Reference is made to the circular dated 17 May 2024 (Ref: [MO/DT/112/24](#)) regarding the enhancements of Self-Match Prevention (“SMP”) service in the derivatives market. Following the successful completion of Readiness Test (“RT”) on 15 June 2024, Hong Kong Exchanges and Clearing Limited (“Exchange”) is pleased to announce that, the Exchange plans to apply the enhanced SMP service in derivatives market (“ID-based SMP”) in HKATS on 20 July 2024 (Saturday) and effective from 22 July 2024 (Monday).

All Exchange Participants (“EPs”), including EPs who plan to use ID-based SMP and EPs who do not plan to use ID-based SMP or any SMP service, are reminded to clear all previous Java Cache(s) of the Pre-Trade Risk Management System Graphical User Interface (“PTRM GUI”) per dedicated PC before the trading day on 22 July 2024. Any attempts to login PTRM GUI without deleting Java Cache(s) of the previous versions will be unable to launch the application. The PTRM users should delete the Java Cache(s) before the next login attempt.

An optional connectivity test will be provided on 20 July 2024 for EPs to login HKATS with PTRM GUI, HKATS Online applications and/ or OMnet Application Program Interface (“OAPI”) programs to verify their production connectivity in the upgraded version of HKATS. Interested EPs can click [here](#) to register before 19 July 2024.

All EPs are highly recommended to act accordingly with the following timetable.

<sup>1</sup> All calls to the HKATS hotline would be recorded. Please refer to the following link for HKEX privacy policy statement: [Privacy Notice \(hkex.com.hk\)](#)

#	Date & Time	Events	Details
1	By 19 July 2024 (Friday)	Enrollment of connectivity session	- EPs who would like to enroll in the connectivity test on 20 July 2024 please click <a href="#">here</a> to register.
		Clear Java Cache(s) per dedicated PC	- Clear the Java Cache(s) per dedicated PC immediately after the completion of PTRM related operations (i.e., after the close of Day Session or AHT Session, where appropriate). Detailed instructions are set forth in Appendix 1.
2	20 July 2024 (Saturday) 3:00 pm to 5:00 pm	Software upgrade and optional connectivity session	<ul style="list-style-type: none"> <li>- The Exchange will implement software upgrade for the enhanced SMP service in HKATS.</li> <li>- Participants who registered for the connectivity session can login HKATS with PTRM GUI, HKATS Online applications and/ or OAPI programs to verify their production connectivities in the upgraded version of HKATS</li> </ul>
3	21 July 2024 (Sunday) Around 9:00 am	Confirmation of successful software upgrade for the enhanced SMP service	<ul style="list-style-type: none"> <li>- The Exchange will confirm the rollout via a special message posted on <a href="#">SMP web corner</a>.</li> <li>- If there is any unexpected incident and system fallback is needed, the Exchange will also make an announcement on the same web corner.</li> </ul>
4	22 July 2024 (Monday)	Effective Date of the Enhanced SMP Service	- Effective date of the enhanced SMP service (ID-based SMP).
5	From 22 July 2024 (Monday)	Application opens to EPs who <u>successfully completed</u> the RT	<ul style="list-style-type: none"> <li>- Documents related to the SMP service (e.g., application forms, explanatory notes, and Frequently Asked Questions (FAQs)) will be available on <a href="#">SMP web corner</a> in the week of 15 July 2024.</li> <li>- EPs are required to read the respective documents and information carefully before applying for the SMP service.</li> </ul>
6	From 9 August 2024 (Monday)	Application opens to EPs who <u>did not participate/ completed</u> in the RT	

For any enquiries, please contact HKATS Hotline at +852 2211 6360 or email to [hkatssupport@hkex.com.hk](mailto:hkatssupport@hkex.com.hk). The Exchange would like to thank all Participants for their cooperation.

Matthew Cheong  
Co-Head  
Trading Department  
Operations Division

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*

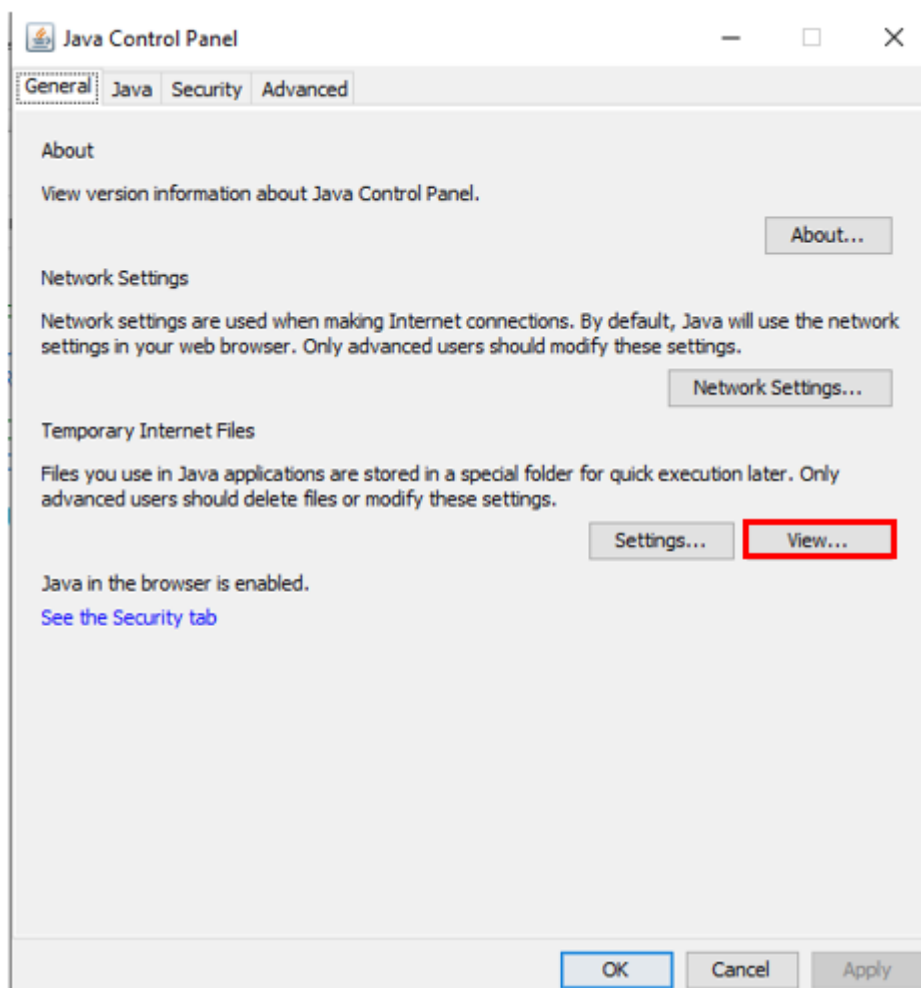
**Deleting Java Cache of PTRM GUI**

Complete the following steps to delete the Java Cache:

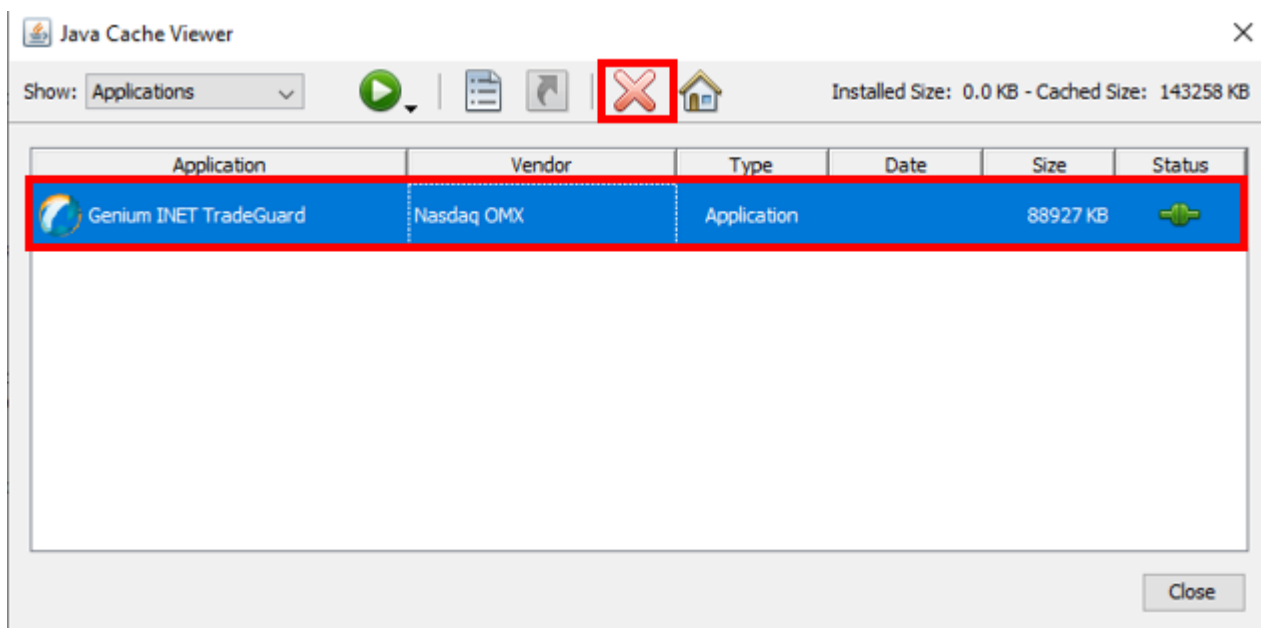
Step 1: Go to “Control Panel”

Step 2: Click on “Java”

Step 3: Press “View” under “Temporary Internet Files” in the “General” tab



Step 4: Select all related cache(s) and press 'X' to delete. The "Java Cache Viewer" window shown below must be emptied before logging in for the update version



**Note:**

If the Java Cache(s) of previous versions is not deleted, Participants will not be able to launch the application and the following error message will be prompted:

"Unable to launch the application."

