

香港中央結算有限公司  
(香港交易及結算所有限公司全資附屬公司)

**HONG KONG SECURITIES CLEARING COMPANY LIMITED**  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

**Subject:** Sheng Ye Capital Limited (Stock Code : 6069)  
- Change in Board Lot Size

**Enquiry:** Hotline at 2979 7111/ E-mail: [clearingps@hkex.com.hk](mailto:clearingps@hkex.com.hk)

Pursuant to the announcement of Sheng Ye Capital Limited ("SHENGYE CAPITAL"), the board lot size of shares for trading will be changed from 2,000 shares to 500 shares with effect from 21 August 2020.

For the convenience of CCASS Participants, HKSCC will carry out the conversions of actual holdings, transactions (including Settlement Instruction Transaction ("SI Transaction"), Investor Settlement Instruction Transaction ("ISI Transaction") and Compulsory Stock Borrowing Transaction ("CSB Transaction")) of participants in CCASS to facilitate settlement of shares in SHENGYE CAPITAL. A stock conversion schedule is attached herewith as per Appendix I.

The aforesaid conversion process would be carried out in the Depository and Common Nominees System ("DNS") automatically on the scheduled dates. However, for stock positions that remain unsettled between 21 August 2020 and 21 September 2020, the CCASS Participants concerned who need to effect the relevant conversion of their holdings for the purpose of settling their short positions should submit the Parallel Trading Conversion Instruction through CCASS Terminals during the above-mentioned period.

CCASS Participants who wish to deposit shares to or withdraw shares from the CCASS Depository are requested to note the following: -

### (A) Deposit Arrangement

1. With effect from 21 August 2020 until 21 September 2020, CCASS Depository will accept deposits of the existing share certificates under temporary stock code: 2935 and the new share certificates under stock code: 6069 in accordance with the schedule as listed in Appendix II.

**(B) Withdrawal Arrangements**

1. With effect from 21 August 2020 until 14 September 2020, the CCASS Depository will accept withdrawal of share certificates under stock code: 6069 and temporary stock code: 2935.

Upon acceptance of the withdrawal order, the CCASS Depository will effect delivery of either existing share certificates or new share certificates subject to availability of the two types of share certificates.

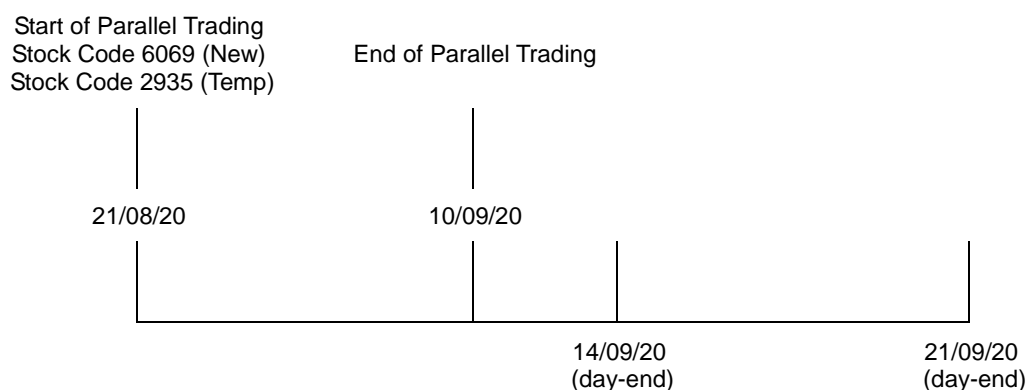
2. With effect from 15 September 2020, the CCASS Depository will only accept withdrawal of shares under new stock code: 6069. However, if participants' holdings are recorded under temporary stock code: 2935, they should submit the Parallel Trading Conversion Instruction through CCASS Terminals to convert their holdings to the new stock code: 6069 for the purpose of withdrawal.

Detailed schedule for accepting deposits and withdrawals under stock code: 6069 or the temporary stock code: 2935 is given as per Appendix II.

Wil Tang  
Vice President  
Depository and Nominee Services

**APPENDIX I**

**SHENG YE CAPITAL LIMITED  
CORPORATE EVENTS  
SCHEDULE OF STOCK CONVERSION**



	<b>1<sup>st</sup> CA</b>	<b>2<sup>nd</sup> CA</b>
Conversion Cycle		
Stock Conversion	2935 (Temp) → 6069 (New)	2935 (Temp) → 6069 (New)
Holding	Yes	Yes
Position	No	No
Transaction	Yes (SI, ISI & CSB)	Yes (SI, ISI, CSB)
Conversion Ratio	1 → 1	1 → 1

- Note:
- 2935 (Temp) - Temporary counter for trading in board lots of 2,000 shares
  - 6069 (New) - Trading in board lots of 500 shares
  - CA - Corporate Action
  - SI - SI Transaction
  - ISI - ISI Transaction
  - CSB - CSB Transaction

**APPENDIX II**

**SHENG YE CAPITAL LIMITED**

Schedule for accepting deposit and withdrawal under stock code 6069 or 2935 during the conversion cycle

<b>Acceptance Period for</b>	<b>21/08/20 to 14/09/20</b>	<b>15/09/20 to 21/09/20</b>	<b>From 22/09/20 Onwards</b>
Deposit under stock code 6069	Yes  (New Share certificate in board lot of 500 shares)	Yes  (New Share certificate in board lot of 500 shares)	Yes
Deposit under stock code 2935	Yes	Yes	No
Withdrawal under stock code 6069	Yes	Yes	Yes
Withdrawal under stock code 2935	Yes	No	No