

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

事項: 有關徵收財務匯報局交易徵費之中央結算系統服務提升
查詢: 熱線電話 : 2979 7111 / 電郵 : clearingps@hkex.com.hk

參照香港結算於 2021 年 10 月 21 日發出的通告 (編號: [CD/DNS/CCASS/339/2021](#)) , 2022 年 1 月 1 日起 , 證券買賣雙方須繳付徵費予財務匯報局 (「財匯局交易徵費」) , 香港結算將會推出有關徵收財匯局交易徵費之中央結算系統服務提升。

服務提升詳情及相關資訊

有關是次中央結算系統服務提升的詳細資料 , 參與者可參閱附件 (只提供英文版本) 。有關之服務提升將會於 2021 年 12 月 20 日推出。已更新的《中央結算系統終端機使用者指引》(只提供英文版本) 將刊載於 Client Connect 內【動向】中【中央結算系統服務提升】的頁面供參與者參考。

請中央結算系統參與者 , 為財匯局交易徵費的計算及早準備 , 包括優化後勤系統、相關應用程式及運作設備。

如對上述內容有任何查詢 , 請致電熱線電話 2979 7111 或電郵至 clearingps@hkex.com.hk 查詢。

存管及代理人服務

高級副總裁

全偉倫謹啟

本通告已以英文及另以中文譯本刊發。如本通告中文本的字義或詞義與英文本有所出入 , 概以英文本為準。

Attachment

Enhancement Description			CCASS Terminal User Guide Section									
<p>Enhancement on Subscription Instruction Maintenance function</p> <p>The subscription instruction maintenance function for announcement types open offer and excess open offer will be enhanced to show the breakdown of the charges under the field “Subscription Money and Charges Breakdown”. The field “Transaction Levy” will be renamed as “SFC Transaction Levy”. The “Investor Compensation Levy” and “FRC Transaction Levy” will be displayed separately.</p>			8.2.3									
<p>The following reports will be updated accordingly</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">Report ID</th> <th style="width: 30%;">Report Name</th> <th style="width: 50%;">Enhancement</th> </tr> </thead> <tbody> <tr> <td>CCNPT02</td> <td>Entitlement Statement</td> <td> <ul style="list-style-type: none"> ▪ The field name and the description of the open offer / excess open offer section will be updated to show the relevant charges ▪ The data item and the data size under “Report Data File Layout” will be updated </td> </tr> <tr> <td>CSEMA08/ CSEMS08</td> <td>Statement of Money Ledger</td> <td> <ul style="list-style-type: none"> ▪ Introduction of new money ledger transaction codes and renaming of existing money ledger transaction codes </td> </tr> </tbody> </table>			Report ID	Report Name	Enhancement	CCNPT02	Entitlement Statement	<ul style="list-style-type: none"> ▪ The field name and the description of the open offer / excess open offer section will be updated to show the relevant charges ▪ The data item and the data size under “Report Data File Layout” will be updated 	CSEMA08/ CSEMS08	Statement of Money Ledger	<ul style="list-style-type: none"> ▪ Introduction of new money ledger transaction codes and renaming of existing money ledger transaction codes 	4.3 / 4.4
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List of New Money Ledger Transaction Code

ANCM Type	Transaction code	Description
OO	37	FRC TRANS LEVY-OPEN OFFER
OO	R7	CPI / FRC TRANS LEVY – OPEN OFFER
OO	CR	CPI RFD-FRC TRANS LEVY (OO)
EO	38	FRC TRANS LEVY-EXCESS OPEN OFFER
EO	R8	CPI / FRC TRANS LEVY – EX OPEN OFFER
EO	CS	CPI RFD-FRC TRANS LEVY (EO)
OO	39	INV COMP LEVY-OPEN OFFER
OO	R9	CPI / INV COMP LEVY – OPEN OFFER
OO	CT	CPI RFD- INV COMP LEVY (OO)
EO	3A	INV COMP LEVY-EXCESS OPEN OFFER
EO	R0	CPI / INV COMP LEVY – EX OPEN OFFER
EO	CU	CPI RFD- INV COMP LEVY (EO)

List of Renamed Money Ledger Transaction Code

ANCM Type	Transaction code	Old Description	New Description
OO	64	TRANS LEVY–OPEN OFFER	SFC TRANS LEVY-OPEN OFFER
OO	RH	CPI / TRANS LEVY - OPEN OFFER	CPI / SFC TRANS LEVY – OPEN OFFER
OO	DH	CPI RFD-TRANS LEVY (OO)	CPI RFD-SFC TRANS LEVY (OO)
EO	65	TRANS LEVY–EXCESS OPEN OFFER	SFC TRANS LEVY-EXCESS OPEN OFFER
EO	RI	CPI / TRANS LEVY - EX OPEN OFFER	CPI / SFC TRANS LEVY – EX OPEN OFFER
EO	DI	CPI RFD-TRANS LEVY (EO)	CPI RFD-SFC TRANS LEVY (EO)