

香港中央結算有限公司  
(香港交易及結算所有限公司全資附屬公司)

**HONG KONG SECURITIES CLEARING COMPANY LIMITED**  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: CCASS Enhancement on Collection of Financial Reporting Council Transaction Levy

Enquiry: Hotline: 2979 7111 / E-mail: [clearingps@hkex.com.hk](mailto:clearingps@hkex.com.hk)

Reference is made to the circular issued by Hong Kong Securities Clearing Company Limited (“HKSCC”) on 21 October 2021 (Ref. CD/DNS/CCASS/339/2021). With the implementation of the payment of levies payable by sellers and purchasers of securities to the Financial Reporting Council (“FRC Transaction Levy”) on 1 January 2022, HKSCC will implement the CCASS service enhancement in relation to the collection of the FRC Transaction Levy.

### **Enhancement Details and Related Information**

Participants can refer to the Attachment for details of the CCASS service enhancement. The enhancement will be available on 20 December 2021. The updated version of the CCASS Terminal User Guides will be posted on Client Connect under “CCASS Enhancements” under “What’s on” for Participants’ reference.

CCASS Participants are reminded to prepare for the calculation of FRC Transaction Levy, including enhancing their back office systems, relevant applications and operational facilities.

For any enquiries, please contact the CCASS hotline at 2979 7111 or email to [clearingps@hkex.com.hk](mailto:clearingps@hkex.com.hk)

Alan Chuen  
Senior Vice President  
Depository and Nominee Services

*This circular has been issued in the English language with a separate Chinese language translation. If there is any conflict in the circulars between the meaning of Chinese words or terms in the Chinese language version and English words in the English language version, the meaning of the English words shall prevail.*

Enhancement Description		CCASS Terminal User Guide Section									
<p><b>Enhancement on Subscription Instruction Maintenance function</b></p> <p>The subscription instruction maintenance function for announcement types open offer and excess open offer will be enhanced to show the breakdown of the charges under the field “Subscription Money and Charges Breakdown”. The field “Transaction Levy” will be renamed as “SFC Transaction Levy”. The “Investor Compensation Levy” and “FRC Transaction Levy” will be displayed separately.</p>		<b>8.2.3</b>									
<p>The following reports will be updated accordingly</p> <table border="1"> <thead> <tr> <th>Report ID</th> <th>Report Name</th> <th>Enhancement</th> </tr> </thead> <tbody> <tr> <td>CCNPT02</td> <td>Entitlement Statement</td> <td> <ul style="list-style-type: none"> <li>The field name and the description of the open offer / excess open offer section will be updated to show the relevant charges</li> <li>The data item and the data size under “Report Data File Layout” will be updated</li> </ul> </td> </tr> <tr> <td>CSEMA08/ CSEMS08</td> <td>Statement of Money Ledger</td> <td> <ul style="list-style-type: none"> <li>Introduction of new money ledger transaction codes and renaming of existing money ledger transaction codes</li> </ul> </td> </tr> </tbody> </table>		Report ID	Report Name	Enhancement	CCNPT02	Entitlement Statement	<ul style="list-style-type: none"> <li>The field name and the description of the open offer / excess open offer section will be updated to show the relevant charges</li> <li>The data item and the data size under “Report Data File Layout” will be updated</li> </ul>	CSEMA08/ CSEMS08	Statement of Money Ledger	<ul style="list-style-type: none"> <li>Introduction of new money ledger transaction codes and renaming of existing money ledger transaction codes</li> </ul>	<b>4.3 / 4.4</b>
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List of New Money Ledger Transaction Code

ANCM Type	Transaction code	Description
OO	37	FRC TRANS LEVY-OPEN OFFER
OO	R7	CPI / FRC TRANS LEVY – OPEN OFFER
OO	CR	CPI RFD-FRC TRANS LEVY (OO)
EO	38	FRC TRANS LEVY-EXCESS OPEN OFFER
EO	R8	CPI / FRC TRANS LEVY – EX OPEN OFFER
EO	CS	CPI RFD-FRC TRANS LEVY (EO)
OO	39	INV COMP LEVY-OPEN OFFER
OO	R9	CPI / INV COMP LEVY – OPEN OFFER
OO	CT	CPI RFD- INV COMP LEVY (OO)
EO	3A	INV COMP LEVY-EXCESS OPEN OFFER
EO	R0	CPI / INV COMP LEVY – EX OPEN OFFER
EO	CU	CPI RFD- INV COMP LEVY (EO)

List of Renamed Money Ledger Transaction Code

ANCM Type	Transaction code	Old Description	New Description
OO	64	TRANS LEVY–OPEN OFFER	SFC TRANS LEVY-OPEN OFFER
OO	RH	CPI / TRANS LEVY - OPEN OFFER	CPI / SFC TRANS LEVY – OPEN OFFER
OO	DH	CPI RFD-TRANS LEVY (OO)	CPI RFD-SFC TRANS LEVY (OO)
EO	65	TRANS LEVY–EXCESS OPEN OFFER	SFC TRANS LEVY-EXCESS OPEN OFFER
EO	RI	CPI / TRANS LEVY - EX OPEN OFFER	CPI / SFC TRANS LEVY – EX OPEN OFFER
EO	DI	CPI RFD-TRANS LEVY (EO)	CPI RFD-SFC TRANS LEVY (EO)