

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Proposed cessation of trading of the Hong Kong Shares on SEHK, the proposed compulsory redemption and termination of the Terminating Share Classes, the proposed voluntary deauthorisation of the Sub-Funds and the proposed delisting

- Xtrackers MSCI Brazil UCITS ETF (Stock Code: 3048)
- Xtrackers MSCI Russia Capped Swap UCITS ETF* (Stock code: 3027)
- Xtrackers MSCI World Swap UCITS ETF* (Stock code: 3019)
- Xtrackers MSCI Pacific ex Japan UCITS ETF (Stock code: 3043)
- Xtrackers CSI300 Swap UCITS ETF* (Stock code: 3049)
- Xtrackers MSCI Indonesia Swap UCITS ETF* (Stock code: 3099)
- Xtrackers MSCI China UCITS ETF (Stock code: 3055)
- Xtrackers MSCI Malaysia UCITS ETF (Stock code: 3082)
- Xtrackers MSCI Thailand UCITS ETF (Stock code: 3092)
- Xtrackers MSCI Philippines UCITS ETF (Stock code: 3016)
- Xtrackers MSCI Singapore UCITS ETF (Stock code: 3065)

(*This is a synthetic ETF) (each a "Sub-Fund" and collectively, the "Sub-Funds")

Enquiry: Exchange Participant General Enquiry Hotline (Tel: 2840 3626) / Email: trd@hkex.com.hk
CCASS Participant General Enquiry Hotline (Tel: 2979 7111) / Email: clearingps@hkex.com.hk

Exchange and CCASS Participants are requested to note that DWS Investment S.A. (the “Management Company”) has issued an announcement on HKEXnews.hk (<https://www1.hkexnews.hk/listedco/listconews/sehk/2021/0719/2021071900594.pdf>) concerning the proposed cessation of trading of the Hong Kong Shares on SEHK, the proposed compulsory redemption and termination of the Terminating Share Classes, the proposed voluntary deauthorisation of the Sub-Funds, the proposed delisting of the Sub-Funds in Hong Kong and non-applicability of a certain provision of the code on Unit Trusts and Mutual Funds (the “Announcement”).

Capitalized terms used herein shall have the same meanings set out in the Announcement unless the context otherwise requires.

According to the Announcement, the expected Last Trading Day of the Hong Kong Shares in the Sub-Funds on The Stock Exchange of Hong Kong Limited (the “SEHK”) will be 19 August 2021, after which the Hong Kong Shares will no longer be traded on the SEHK. The expected Deauthorisation and Delisting of each Sub-Fund will take effect either on the Termination Date (i.e. on or before 8 December 2021) or shortly after the Termination Date.

Upon Deauthorisation and Delisting of the Sub-Funds, the Sub-Funds will no longer be subject to regulation by the Securities and Futures Commission (the “SFC”) nor recognized as the eligible securities in Central Clearing and Settlement System (“CCASS”) by Hong Kong Securities Clearing Company Limited (“HKSCC”).

Exchange and CCASS Participants should inform and liaise with their clients as soon as possible to take any appropriate action(s). Potential course of action(s) to be taken by investors on or before the Last Trading Day are briefly mentioned below.

Potential actions to be taken by investors on or before the Last Trading Day

1. *Trading on the SEHK up to and including the Last Trading Day (i.e. from now up to and including 19 August 2021)*

On any trading day up to and including the Last Trading Day, an investor may continue to buy or sell Hong Kong Shares on SEHK in accordance with the usual trading arrangements currently in place during the trading hours of SEHK and based on the prevailing market prices. In accordance with the requirement of SEHK, the SEHK market maker(s) shall continue to provide liquidity in accordance with the trading rules of SEHK to facilitate the trading of Hong Kong Shares on SEHK. These Exchange Trades will be cleared inside CCASS and automatically settled on a Continuous Net Settlement (CNS) basis as usual unless they are isolated from the CNS System by the counterparty Exchange Participants at the time of trade or isolated from the CNS System by HKSCC, in which case such trades will be settled on a trade-for-trade (TFT) basis.

2. *Holding Hong Kong Shares as of the Hong Kong Record Date (i.e. By close of business on 25 August 2021)*

For Relevant Investors who are still holding Hong Kong Shares as of the Hong Kong Record Date, the Redemption Proceeds resulting from such Compulsory Redemption of each Terminating Share Class will be paid to them on or before 9 September 2021 to the account of such investor's financial intermediary or stockbroker maintained with CCASS.

Any Further Redemption Proceeds Distribution in respect of a Sub-Fund payable to Relevant Investors is expected to be paid on or before 6 December 2021 to the account of such investor's financial intermediary or stockbroker maintained with CCASS, based on the Management Company's records as at the Hong Kong Record Date. The Management Company will issue an announcement on or before 4 November 2021 informing the investors of the amount and the payment date.

The Redemption Proceeds Distribution and Further Redemption Proceeds Distribution (if any) will be calculated and paid in the relevant base currency of the Sub-Fund.

If there is any change, including to the dates mentioned in this section, the Management Company will issue an announcement informing the Relevant Investors.

IMPORTANT NOTE: If Shareholders dispose of their holdings in the Hong Kong Shares of any Sub-Fund at any time on or before the Last Trading Day, they will not, in any circumstances, be entitled to any portion of the Redemption Proceeds or any further amount payable resulting from the Compulsory Redemption in respect of any such Shares so disposed. Investors should exercise caution and consult with their professional and financial advisers before dealing in the Hong Kong Shares or otherwise deciding on any course of action to be taken.

Exchange/CCASS Participants are urged to:

- forward a copy of the Announcement to their clients holding Hong Kong Shares, and inform them of the contents of the Announcement as soon as possible;
- assist their clients who want to dispose of Hong Kong Shares on or before the Last Trading Day;
- inform their clients as soon as possible if any earlier dealing deadline, additional fees or charges, and/or other terms and conditions will be applicable in respect of the provision of their services in connection with any disposal of Hong Kong Shares; and
- inform their clients of the payment of Redemption Proceeds resulting from Compulsory Redemption and the possible impact in relation to such arrangements to their clients.

If you have any queries, please direct these to your financial adviser or alternatively the DWS Investments Hong Kong Limited (the "Hong Kong Representative") (telephone number: +852 2203 6886).

Trading in the ETF(s) may not be suitable for all members of the public. Exchange Participants should advise their clients to carefully consider whether trading in the ETF(s) is/are appropriate for them in light of their understanding of the product nature and characteristics, their own investment objectives, skills and experience, financial resources, risk tolerance and other relevant circumstances prior to making any decision.

Further information such as the prospectus and other documents issued by the manager is available on the [HKEXnews website](#) and on the [ETP webpage](#)

Stanley Ng
Vice President
Depository & Nominee Services