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香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **Launch of the Inclusion of ETFs in Stock Connect**
Enquiry: **Hotline: 2979 7123 ¹ / E-mail: clg@hkex.com.hk**

With reference to the [Joint Announcement](#) issued by the Securities and Futures Commission (SFC) and the China Securities Regulatory Commission (CSRC) today regarding the official launch of the Inclusion of Exchange Traded Funds (ETFs) in Stock Connect (the Inclusion) on 4 July 2022 (Monday), China Connect Clearing Participants (CCCPs) are requested to note the information as follows.

Eligible ETFs

The initial list² of ETFs eligible for Northbound trading, which was announced by the Exchange on 28 Jun 2022 (Ref: [CT/091/22](#)) as set out in the Appendix, will be admitted to CCASS as Eligible Securities with effect from their commencement date of trading, i.e. 4 July 2022. Trades in such China Connect Securities will be cleared and settled in Renminbi under the Continuous Net Settlement System of CCASS in accordance with the General Rules of CCASS and the CCASS Operational Procedures. No deposit and withdrawal services will be provided by HKSCC in respect of China Connect Securities.

The initial list of ETFs eligible for Northbound trading is also published on the [designated page](#) on the HKEX website. The list of China Connect Securities, including ETFs, that are deemed to be eligible for Northbound trading through subsequent reviews will be published on the [All Eligible Securities page](#) and will be updated from time to time.

Information of the Inclusion

All relevant information regarding the Inclusion, including the trading arrangements, eligibility criteria and [Frequently Asked Questions](#) (FAQs), can be found on the [designated page](#) on the HKEX website. CCCPs are also reminded to take note of the HKSCC's circulars³ for additional information.

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Data cut-off date: 29 April 2022

³ Ref: [CD/OES/CCASS/014/2022](#) and Ref: [CD/OES/CCASS/023/2022](#) dated 22 April and 27 May 2022 respectively



Please send any enquiries on the list of eligible ETFs to ETFs@hkex.com.hk, enquiries on trading arrangements to trd@hkex.com.hk and enquiries on clearing and settlement arrangements to clg@hkex.com.hk.

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Appendix

A. Eligible SSE-listed ETF

#	SSE 6-digit Stock Code	CCASS 5-digit Stock Code	English Stock Short Name used in CCASS <i>(Note)</i>
1	510050	31000	CAMC SSE 50-A
2	510180	31001	HA SSE 180 IN-A
3	510230	31002	GT SSE180 FIN-A
4	510300	31003	HT-PB CSI 300-A
5	510310	31004	E F CSI 300-A
6	510330	31005	CAMC CSI 300-A
7	510350	31006	ICBCCS CSI300-A
8	510360	31007	GF CSI 300-A
9	510380	31008	C L AMP HS300-A
10	510500	31009	C S CSI 500-A
11	510510	31010	GF CSI 500-A
12	510580	31011	E F CSI 500-A
13	510810	31012	CUAM CSI SOE-A
14	510880	31013	HT-PB SSE DIV-A
15	512000	31014	HB WP BROKER-A
16	512010	31015	E F CSI 300 H-A
17	512170	31016	HB WP HEALTHC-A
18	512290	31017	GT CSI BIO-ME-A
19	512400	31018	C S CSI METAL-A
20	512480	31019	CPIC SEMICON-A
21	512500	31020	CAMC CSI 500-A
22	512580	31021	GF CSI ENV PR-A
23	512660	31022	GT CSI NA DEF-A
24	512670	31023	PH CSI DEFEN-A
25	512680	31024	GF CSI NAT DE-A
26	512690	31025	PH CSI ALC DR-A
27	512700	31026	C S CSI BANKS-A
28	512710	31027	FG CSI AER DE-A
29	512760	31028	GT CES CH SEM-A
30	512800	31029	HB WP BANK-A

#	SSE 6-digit Stock Code	CCASS 5-digit Stock Code	English Stock Short Name used in CCASS <i>(Note)</i>
31	512880	31030	GT CSI IN B&B-A
32	512900	31031	C S CSI B&B-A
33	512950	31032	CAMC CSI SOE-A
34	512960	31033	BS CSI ST REF-A
35	512980	31034	GF CSI MED IN-A
36	515000	31035	HB WP TECHNO-A
37	515030	31036	CAMC CSI N EN-A
38	515050	31037	CAMC CSI 5G C-A
39	515170	31038	CAMC CSI F&B-A
40	515290	31039	TH CSI BANKS-A
41	515330	31040	TH CSI 300-A
42	515380	31041	TK CSI 300 IN-A
43	515600	31042	GF CSI CEN IN-A
44	515650	31043	FG CSI CON 50-A
45	515680	31044	HV CSI SOE IN-A
46	515700	31045	PA CSI NEW EN-A
47	515790	31046	HT-PB CSI PHO-A
48	515800	31047	CUAM CSI 800-A
49	515880	31048	GT CSI COM EQ-A
50	515900	31049	BS CSI TEC IN-A
51	516150	31050	HV CSI RAR EA-A
52	516160	31051	C S CSI NEW E-A
53	516970	31052	GF CSI CO ENG-A

B. Eligible SZSE-listed ETF

#	SZSE 6-digit Stock Code	CCASS 5-digit Stock Code	English Stock Short Name used in CCASS <i>(Note)</i>
1	159736	31300	FOOD & BEVERA+A
2	159755	31301	GF BATTERY ET+A
3	159790	31302	CARBON NEUTRA+A
4	159801	31303	GF CHIPS ETF+A
5	159806	31304	NEW ENERGY CA+A
6	159819	31305	AI INTELLIGEN+A
7	159820	31306	TH 500 ETF+A
8	159825	31307	AGRICULTURE E+A
9	159837	31308	BIOTECHNOLOGY+A
10	159841	31309	TH IBB ETF+A
11	159901	31310	EFUND SSE100+A
12	159905	31311	ETFSHL+A
13	159915	31312	CHINEXT ETF+A
14	159919	31313	CSI300ETF+A
15	159922	31314	CSI500ETF+A
16	159925	31315	CS300ETF+A
17	159928	31316	CSI CONS STAP+A
18	159938	31317	HEALTH CARE E+A
19	159940	31318	FINANCIALS+A
20	159948	31319	CHINEXT EF+A
21	159949	31320	CHINEXT 50 ET+A
22	159952	31321	CYETF+A
23	159959	31322	CENTRAL-SOES+A
24	159967	31323	CHINEXT MG ET+A
25	159977	31324	TH CHINEXT ET+A
26	159992	31325	BRAND NAME DR+A
27	159994	31326	YINHUA 5G ETF+A
28	159995	31327	CHIPS ETF+A
29	159996	31328	HOME APPLIANC+A
30	159998	31329	TH COMPUTER E+A

Note:

- 1) The list of China Connect Securities for trading on the next trading day (“T Day”) is normally confirmed one business day before T Day. Should there be any changes in the information provided in this circular, a separate circular will be published by HKSCC.
- 2) There are no official English stock short names of SSE-listed ETF and SZSE-listed ETF. The English stock short name will follow the official English name published by SSE and SZSE as far as possible in CCASS. English stock short names in CCASS will carry a suffix “-A” for SSE-listed ETF and “+A” for SZSE-listed ETF which will be for use in CCASS only.
- 3) While trading of SSE-listed and SZSE-listed ETF will follow the SSE and SZSE 6-digit stock code, unlike A shares where their CCASS stock codes bear the same last digits as their SSE and SZSE stock codes, each eligible ETF for Northbound trading will be assigned with a 5-digit CCASS stock code in sequential order from a CCASS stock code range. Please refer to [the list](#) of China Connect Securities for 6-digit stock code to CCASS stock code mappings.