

香港中央結算有限公司  
(香港交易及結算所有限公司全資附屬公司)

**HONG KONG SECURITIES CLEARING COMPANY LIMITED**  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

---

Subject: **Update on the Expansion of Eligible Stocks under Stock Connect**

Enquiry: **Hotline<sup>1</sup> (Tel: 2979 7123 / E-mail: [clg@hkex.com.hk](mailto:clg@hkex.com.hk))**

With reference to the HKSCC circular (Ref: [CD/DNS/CCASS/342/2022](#)) dated 19 December 2022 on the Expansion of Eligible Stocks under Stock Connect (the Expansion), China Connect Clearing Participants are requested to note that:

### **Eligibility criteria and review mechanism for eligible stocks for Northbound trading**

Subject to regulatory approval, details of the eligibility criteria and review mechanism for Northbound stocks for the Expansion are set out in Exchange circular [CT/010/23](#).

The inclusion of SSE/ SZSE listed stock(s) with differentiated voting rights (DVR stock) will be subject to additional eligibility criteria for Northbound trading. The existing arrangements, including but not limited to trading arrangements, settlement arrangements, fees and levies for eligible Northbound stocks, shall also apply to the eligible DVR stock(s) for Northbound trading.

The lists of stocks listed on Shanghai Stock Exchange (SSE) and Shenzhen Stock Exchange (SZSE) eligible for Northbound trading will be published on [HKEX website](#) and updated from time to time and the initial list of eligible stocks to be included for Northbound trading will be published in due course.

For details of eligible stocks for southbound trading, please refer to Exchange circular [CT/010/23](#). Please note that details of the Expansion including the eligibility criteria and review mechanism of Northbound and Southbound stocks are subject to regulatory approval. The official launch will be announced separately.

**Hector Lau**  
**Managing Director**  
**Clearing and Depository**  
**Operations Division**

---

<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).