

香港中央結算有限公司
(香港交易及結算所有限公司全資附屬公司)

HONG KONG SECURITIES CLEARING COMPANY LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: Stock Admission – DOO HK ETF SERIES OFC

Doo Wealth Selected Digital Payments ETF (Stock Code: 3412)
Doo Wealth Selected AI and Automation Active ETF (Stock Code: 3413)
Doo Wealth Selected Web3 ETF (Stock Code: 3426)
(collectively “DOO HK ETF”)

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Shares of the DOO HK ETF (“Shares”) will be admitted into CCASS as an Eligible Security with effect from the commencement date of listing and dealing of the Shares on The Stock Exchange of Hong Kong Limited (“Exchange”), which is expected to be on 19 June 2024. The trading board lot size of DOO HK ETF is 100 Shares.

CCASS Participants are advised to note the following: -

I. Custodian Services in relation to the Shares

All Shares are issued in uncertificated form. Accordingly, no physical deposit or withdrawal services will be provided for the Shares and any General Rules of HKSCC relating to physical deposit and withdrawal of securities will not be applicable.

Shares may be credited to and debited from a Participant's Stock Account as a result of (a) creation and redemption application for Shares accepted by the Manager of DOO HK ETF, (b) clearing and settlement of transactions in Shares effected on the Exchange, and (c) the provision of any other CCASS services in relation to the Shares to CCASS Participants.

II. Legal Title of the Shares

Shares held in CCASS will be registered in the name of HKSCC Nominees Limited on the Register of DOO HK ETF. The Register of DOO HK ETF is the evidence of ownership of Shares.

HKSCC Nominees Limited shall have the legal title of all the Shares held in CCASS. Save as otherwise provided in the General Rules of HKSCC, HKSCC has no proprietary interests in the Shares to the extent that they are credited to the Stock Accounts of CCASS Participants.

III. Clearing and Settlement of the Shares

Same as other CCASS Eligible Securities, the Exchange Trades of the Shares will be cleared inside CCASS and automatically settled on a Continuous Net Settlement ("CNS") basis unless they are isolated from the CNS system by both trading counterparties or by HKSCC, and settled on a trade-for-trade ("TFT") basis. The Shares will be traded and settled in Hong Kong Dollars and will follow the T+2 settlement rule.

IV. Applications for the creation and redemption of Shares

The procedures for the creation and redemption of the Shares, and the rights and obligations of Participating Dealers in respect of such creation and redemption, will be governed by the Instrument and the prospectus constituting the DOO HK ETF in which the application for the creation and redemption of Shares shall be made by or through Participating Dealers directly to the Manager. Applications for the creation and redemption of Shares through HKSCC are not available.

V. Nominee Services

Same as for other CCASS Eligible Securities, nominee services will be provided to the Shares holders in accordance with the General Rules of HKSCC and HKSCC Operational Procedures.

VI. Arrangement for transfer of Shares out of CCASS when the Shares cease to be a CCASS Eligible Security

In the event that the Shares cease to be an Eligible Security of CCASS, HKSCC shall give notice to its CCASS Participants specifying the date on which the Shares shall become or cease to be an Eligible Security. HKSCC may cease to render services in respect of the Shares and may require CCASS Participants to transfer the Shares out of CCASS.

For CCASS Participants who have records of the Shares in CCASS as of the date the Shares become CCASS non-eligible, HKSCC will arrange with the CCASS Participants, DOO HK ETF and the Registrar of DOO HK ETF to register the names of such CCASS Participants as holders of the relevant Shares on the Register. HKSCC will on the same day debit the respective Shareholdings from the relevant CCASS Participants' stock accounts under advice to the CCASS Participants concerned. DOO HK ETF will give confirmations in writing to each of the CCASS Participants to whom the Shares are transferred confirming the date of transfer, the number of Shares transferred, the due registration of the name of the Participant as holder of the relevant number of Shares upon completion of transfer. Any expenses or charges incurred in respect of such transferring of the Shares out of CCASS and registering the names of CCASS Participants as holders of the relevant Shares on the Register shall be borne by the DOO HK ETF.

All activities under CCASS are subject to the General Rules of HKSCC and HKSCC Operational Procedures in effect from time to time.

Elaine Choy

Vice President

Depository and Nominee Services