

香港中央結算有限公司  
(香港交易及結算所有限公司全資附屬公司)

**HONG KONG SECURITIES CLEARING COMPANY LIMITED**  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

Subject: Admission of the Units of ChinaAMC HK-US AI ETF to CCASS as a Multi-counter Eligible Security

- HKD counter (Stock Code: 3140) (domain stock code)
- USD counter (Stock Code: 9140)
- RMB counter (Stock Code: 83140)

Enquiry: Hotline : 2979 7111/ E-mail : [clearingps@hkex.com.hk](mailto:clearingps@hkex.com.hk)

HKD, USD and RMB traded units of the ChinaAMC HK-US AI ETF (collectively the “Units”) will be admitted into CCASS as a Multi-counter Eligible Security (as defined in the General Rules of HKSCC) with effect from the commencement date of listing and dealing of the Units on The Stock Exchange of Hong Kong Limited (“Exchange”), which is expected to be on 18 March 2026. The stock code of the HKD trading counters (Stock Code: 3140) will be used as the domain stock code for recording the activities and holdings in relation to such Multi-counter Eligible Security in CCASS with details as below:

Counter	Stock Code	Stock Short Name
HKD	3140	CAM HKUS AI
USD	9140	CAM HKUS AI-U
RMB	83140	CAM HKUS AI-R

HKSCC Participants are advised to note the following arrangements in CCASS:

### 1. Custodian Services in relation to the Units

All Units are issued in uncertificated form. Accordingly, no physical deposit or withdrawal services will be provided for the Units and any General Rules of HKSCC relating to physical deposit and withdrawal of securities will not be applicable.

Units may be credited to and debited from a HKSCC Participant's stock account as a result of (a) creation and redemption application for Units accepted by the manager of ChinaAMC HK-US AI ETF (“Manager”), (b) clearing and settlement of transactions in Units effected on

the Exchange, and (c) the provision of any other CCASS services in relation to the Units to HKSCC Participants.

## **2. Legal Title of the Units**

Units held in CCASS will be registered in the name of HKSCC Nominees Limited on the register of the ChinaAMC HK-US AI ETF ("Register"). The Register is the evidence of ownership of Units.

HKSCC Nominees Limited shall have the legal title of all the Units held in CCASS. Save as otherwise provided in the General Rules of HKSCC, HKSCC has no proprietary interests in the Units to the extent that they are credited to the stock accounts of CCASS Participants.

## **3. Clearing and Settlement of the Units**

Exchange trades of the Units will be cleared inside CCASS and settled on T+2 under Continuous Net Settlement ("CNS") system unless they are isolated from the CNS system by both trading counterparties or by HKSCC and settled on a trade-for-trade basis.

## **4. Applications for the creation and redemption of Units**

The procedures for the creation and redemption of the Units, and the rights and obligations of participating dealers in respect of such creation and redemption, will be governed by the trust deed and the prospectus constituting the ChinaAMC HK-US AI ETF in which the application for the creation and redemption of Units shall be made by or through participating dealers directly to the Manager. Applications for the creation and redemption of Units through HKSCC are not available.

## **5. CCASS Fees and Charges**

Fees and charges for the Units will be payable by HKSCC Participants in accordance with the General Rules of HKSCC and HKSCC Operational Procedures.

## **6. Arrangement for transfer of Units out of CCASS when the Units cease to be Eligible Securities**

In the event that the Units cease to be Eligible Securities, HKSCC shall give notice to its Participants specifying the date on which the Units shall cease to be Eligible Securities. HKSCC may cease to render services in respect of the Units and may require HKSCC Participants to transfer the Units out of CCASS.

For HKSCC Participants who still have records of the Units in their CCASS stock accounts upon the cessation of the eligibility of the Units, HKSCC will arrange with such Participants, ChinaAMC HK-US AI ETF and the Registrar of the ChinaAMC HK-US AI ETF to register the names of such Participants as holders of the relevant Units on the Register. HKSCC will on the same day debit the respective holdings from the relevant Participants' stock accounts under advice to the Participants concerned. ChinaAMC HK-US AI ETF will confirm in writing to each concerned HKSCC Participant the date of transfer, the number of Units transferred, and the due registration of the name of the HKSCC Participant as holder of the relevant number of Units upon completion of transfer. Any expenses or charges incurred in respect of such transfer of the Units out of CCASS and registration of the names of HKSCC Participants as holders of the relevant Units on the Register shall be borne by the ChinaAMC HK-US AI ETF.

For further information, HKSCC Participants may refer to the prospectus of ChinaAMC HK-US AI ETF available at (<https://www1.hkexnews.hk/listedco/listconews/sehk/2026/0312/2026031200005.pdf>).

All activities under CCASS are subject to the General Rules of HKSCC and HKSCC Operational Procedures in effect from time to time.

**Stanley Ng**  
**Vice President**  
**Post Trade**  
**Operations Division**