

香港場外結算有限公司
(香港交易及結算所有限公司附屬公司)

OTC CLEARING HONG KONG LIMITED
(A subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **Upgrade of Secure File Transfer (sFTP) Service – Revised Schedule**
Enquiry: **OTC Clear Operations Team (Tel: 2211 6508) /**
Email OTC_Operations@hkex.com.hk

Reference is made to circular dated 30 August 2024 (Ref: [OTCO/013/2024](#)). Clearing Members (“CMs”), Clearing Brokers (“CBs”), and Sponsored Settlement Members (“SSMs”) are notified that the roll out date of the upgraded Secure File Transfer (“sFTP”) service which supports the sFTP report retrieval service and Client Clearing direct messaging service (collectively “sFTP Service”) has been **changed from Monday, 9 December 2024 to Monday, 23 December 2024.**

Subsequently, the existing and upgraded sFTP Service which was originally scheduled to be concurrently in service from 9 December 2024 to 24 January 2025 will also be changed. The revised period for the **concurrent availability of the existing and updated sFTP Service is from 23 December 2024 to 14 February 2025.** After 14 February 2025, the existing infrastructure of the sFTP Service will become obsolete. Therefore, CMs, CBs, and SSMs are encouraged to ensure successful retrieval of OCASS reports using the updated setup before 14 February 2025.

Additionally, CMs, CBs, and SSMs are reminded to submit their production sFTP keys to OTC Clear Operations team via OTC_Operations@hkex.com.hk **by 16 December 2024** to ensure seamless integration with the upgraded sFTP Service.

CMs, CBs, and SSMs are also reminded that there will be no change to the previously announced two optional backup site connectivity test sessions and they will continue to be held on 11 January 2025 and 18 January 2025. Details of the backup site connectivity test sessions will be announced in due course.



For any enquiries, please contact the OTC Clear hotline at 2211 6508 or email to the OTC Clear Operations Team via OTC_Operations@hkex.com.hk

Cyrus Cheung
Senior Vice President
Post Trade
Operations Division