

香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **DEFERRAL OF THE LAUNCH OF THE NORTHBOUND INVESTOR ID MODEL DUE TO POSSIBLE SEVERE WEATHER CONDITION**

Enquiry: **Participant General Enquiry Hotline¹ (Tel : 2840 3626 E-mail : trd@hkex.com.hk)**

With the approach of the Super Typhoon Mangkhut which is expected to bring adverse weather to Hong Kong over the coming weekend, to ensure the smooth implementation of the Northbound Investor ID Model, the Post Release Test (PRT) scheduled for 15 September 2018 (this Saturday) and the launch date scheduled for 17 September 2018 (Monday) will be deferred to 22 September 2018 (Saturday) and 26 September 2018 (Wednesday)² respectively.

With reference to the Exchange Circulars (Ref: [CT/102/18](#) & [CT/110/18](#)), China Connect Exchange Participants (“CCEPs”) and Trade through Exchange Participants (“TTEPs”) are requested to note the following revised schedule in relation to the launch of the Northbound Investor ID Model. All other arrangements set out in the above-mentioned circulars remain valid.

	Original Schedule	Revised Schedule
Post Release Test	15 Sep 2018 (Saturday)	22 Sep 2018 (Saturday)
Launch of Northbound Investor ID Model	17 Sep 2018 (Monday)	26 Sep 2018 (Wednesday)
Stabilisation Period	17 Sep 2018 to 21 Sep 2018	26 Sep 2018 to 12 Oct 2018
Initial Period	17 Sep 2018 to 12 Oct 2018	26 Sep 2018 to 19 Oct 2018

Upon the completion of the PRT on 22 September 2018, the Exchange will confirm the rollout of the NB Investor ID Model at or before 1:00pm on the same day via a special message posted on the “[Northbound Investor ID Model](#)” section of the Mutual Market website. If there is an unexpected incident during the PRT and CSC host software fallback is required, an announcement will be made on the same web corner by the same time.

Sally Kwok
Senior Vice President
Cash Trading
Markets Division

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Northbound trading is not available on 21, 24 and 25 September 2018 according to the Trading Calendar of Stock Connect. Accordingly, secure file transfer protocol (“SFTP”) for submitting mapping file of Broker-to-Client Assigned Number and Client Identification Data (“BCAN-CID Mapping File”) will not be available during these three days as they are not scheduled Northbound trading days.