

香港聯合交易所有限公司  
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED  
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

## 通告 CIRCULAR

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Subject: **UPCOMING LAUNCH OF THE NORTHBOUND INVESTOR ID MODEL**  
Enquiry: **Participant General Enquiry Hotline<sup>1</sup> (Tel : 2840 3626 E-mail : [trd@hkex.com.hk](mailto:trd@hkex.com.hk))**

Further to the Exchange Circular (Ref: [CT/115/18](#)) issued on 14 September 2018, China Connect Exchange Participants (“CCEPs”) and Trade through Exchange Participants (“TTEPs”) are reminded that Northbound Investor ID Model will be launched on 26 September 2018 (next Wednesday). An optional Post Release Test (PRT) will be held on 22 September 2018 (Saturday). CCEPs and TTEPs should ensure that they are well-prepared for the launch and that the appropriate system version will be used on the launch date.

After the successful completion of the PRT, the Exchange will confirm the rollout of the NB Investor ID Model at or before 1:00pm on the same day via a special message posted on the “[Northbound Investor ID Model](#)” section of the Mutual Market website. If there is an unexpected incident during the PRT and CSC host software fallback is required, an announcement will be made on the same web corner by the same time.

CCEPs and TTEPs are also reminded to take note of the special arrangement during the initial period upon the launch of the Northbound Investor ID Model. Please refer to the Exchange Circular (Ref: [CT/110/18](#)) for further details.

**Sally Kwok**  
**Senior Vice President**  
**Cash Trading**  
**Markets Division**

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<sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).