Trading Arrangements of ICBC CICC USD Money Market ETF

1. Trading Model

Name of the Fund	ICBC CICC USD Money Market ETF	
Stock Code	9011	3011
Stock Short Name	A ICBCCICCUSD-U	A ICBCCICCUSD
Trading Currency	USD	HKD
Underlying Benchmark/ Investment Strategy	The Manager employs an actively managed investment strategy for this ETF. This ETF does not seek to track any index or benchmark, and there is no replication or representative sampling conducted by the Manager.	
Securities Market Maker	• Flow Traders Hong Kong Limited • KGI Asia Limited	
Market Making Obligation	Please see Attachment 2 of this circular and the 14th Schedule of the Rules of the Exchange	
Board Lot Size	1 unit	
Spread	Based on the Spread Table (Part B) in the Second Schedule to the Rules of the Exchange	
Short Selling	Allowed; with tick rule exemption	
Transaction Levy	0.0027% per side* with exemption for market making transactions only	
Investor Compensation Levy	Currently suspended	
Trading Fee	0.005% per side* with exemption for market making transactions only	
Trading Tariff	HK$0.50 per side per transaction (same as other securities)	
Stamp Duty	Not Applicable	

Remarks
* Fees to be paid in Hong Kong dollars. For the USD counter, conversion will be based on the exchange rates of respective trade day published on the HKEX website
(http://www.hkex.com.hk/eng/market/sec_tradinfo/stampfx/stampfx.asp)

2.   Fund Information

To facilitate trading of the ICBC CICC USD Money Market ETF, relevant information will be available as follows:

- Stock information will be available on designated stock counters 9011 and 3011;

- The Trustee / Manager of the Fund will provide financial information on the Fund, including net asset value per unit, total units outstanding, asset under management, etc. on the HKEXnews website (http://www.hkexnews.hk/);

- Details about the Fund are available on the website http://www.cicc.com/portal/business/am_hk_en.xhtml.

3.   Settlement

Settlement will be conducted on T+2 day through Hongkong Clearing's CCASS on the Continuous Net Settlement basis.