

## OTP-C Activity Rundown for the Rehearsal

Time	Standard Tasks
<b>18 October 2019 (Friday) – After Market Close</b>	
After 5:00pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> Ensure all OCG/BSS/NSTD components (including network routers and workstations) are powered-on after trading hours.</li> <li><input type="checkbox"/> Ensure the production database of in-house / back-office systems are properly backed-up before the Rehearsal.</li> <li><input type="checkbox"/> Ensure the BSS are not connected to the OCG if not participating in the Rehearsal in order to avoid receiving the testing data.</li> </ul> <p><b>Notes:</b></p> <ul style="list-style-type: none"> <li>● <i>For BSS user, please do not input any next day trading requests after trading hours on Friday, 18 October 2019, (i.e. requests intended for submission to OTP-C Host on the following Monday, 21 October 2019 since next day requests will be submitted to OTP-C Host during the Rehearsal and will not be available on Monday, 21 October 2019). In addition, please save / cancel all next day trading requests, if applicable.</i></li> <li>● <i>After the Rehearsal, EPs are also reminded to clean up the next day trading requests (if any) to ensure that these testing orders will not be carried forward to the production on the following Monday, 21 October 2019.</i></li> </ul>
<b>19 October 2019 (Saturday)</b>	
Before 11:30am	<p><b><u>Logon to OTP-C Host</u></b></p> <p>For <b>OCG/NSTD</b>:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Perform Administrator Logon to ET Trade Speed Station.</li> <li><input type="checkbox"/> Perform logon for OCG sessions (including Trading and Drop-Copy sessions) by using <b>production password</b>.</li> <li><input type="checkbox"/> Perform ET Trade Speed Station start-of-trading procedure.</li> <li><input type="checkbox"/> Perform Trader logon.</li> </ul> <p><b>Note:</b></p> <p>If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to <a href="mailto:cs@etnet.com.hk">cs@etnet.com.hk</a>.</p> <p>For <b>OCG/BSS</b>:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Perform logon for OCG sessions (including Trading and Drop-Copy sessions) by using <b>production password</b>.</li> </ul> <p><b>For all EPs</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Please DO NOT submit any production files to ECP during the Rehearsal date.</b></li> </ul>
<b>Pre-Opening Session</b>	
11:30am - 12:00pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> The Pre-Opening Session consists of <ul style="list-style-type: none"> <li>- Order Input Period (11:30am - 11:45am)</li> <li>- Pre-order Matching Period (11:45am - 11:50am)</li> <li>- Order Matching Period (11:50am - 11:58am)</li> </ul> </li> </ul>

Time	Standard Tasks
	<p>- Blocking Period (11:58am - 12:00pm)</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern and volume) during the Pre-opening Session.</li> <li><input type="checkbox"/> Input manual trades during the order input period and pre-order matching period.</li> </ul> <p><b>(N.B.: Please refer to Attachment 2 for notes on Order Input)</b></p>
<b>Continuous Trading Session (Morning Session)</b>	
12:00pm - 12:30pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> The Continuous Trading Session consists of <ul style="list-style-type: none"> <li>- Without VCM monitoring (12:00pm – 12:15pm)</li> <li>- With VCM monitoring (12:15pm – 12:30pm)</li> </ul> </li> <li><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern and volume) during the Continuous Trading Session.</li> </ul> <p><b>(N.B.: Please refer to Attachment 2 for notes on Order Input)</b></p>
<b>System Failure / Trading Interruption</b>	
At about 12:30pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> EPs should pay attention to HKEX’s announcement of the contingency arrangement via Exchange News. The Exchange News will be available through Datafeed of HKEX Orion Market Data Platform – Securities Market (OMD-C).</li> <li><input type="checkbox"/> If the Exchange News cannot be disseminated through OMD-C, EPs should pay attention to HKEX’s announcement via email with email address provided on <b>Attachment 1 – Notification Form for Participation in 2019 Market Rehearsal</b>.</li> </ul>
<b>Activation of Trading System / Trading Restart at Backup Site</b>	
At 1:30pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> Logon to OTP-C Host again.</li> <li><input type="checkbox"/> Make order and trade enquiries and print out Trade Journal locally, if applicable.</li> <li><input type="checkbox"/> Check and reconcile cancelled, matched and outstanding orders.</li> <li><input type="checkbox"/> Confirm with counter-parties on all manual trades input within 5 minutes before system crash, if applicable.</li> <li><input type="checkbox"/> Report any discrepancy to the Exchange.</li> </ul> <p><b>Note:</b>  <b>If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to <a href="mailto:cs@etnet.com.hk">cs@etnet.com.hk</a>.</b></p>
<b>Order Cancel Session</b>	
1:45pm - 2:00pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> Cancel outstanding orders individually as much as possible for rehearsal purpose.</li> </ul>
<b>Continuous Trading Session (Afternoon Session)</b>	
2:00pm - 3:00pm	<ul style="list-style-type: none"> <li><input type="checkbox"/> The Continuous Trading Session consists of <ul style="list-style-type: none"> <li>- Without VCM monitoring (2:00pm - 2:15pm)</li> <li>- With VCM monitoring (2:15pm - 2:40pm)</li> <li>- Without VCM monitoring (2:40pm - 3:00pm)</li> </ul> </li> <li><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern volume) during the Continuous Trading Session.</li> </ul>

Time	Standard Tasks
	<p><b>Notes:</b></p> <ul style="list-style-type: none"> <li>● Please refer to Attachment 2 for notes on Order Input</li> <li>● If VCM Cooling-off period is not complete before System Failure / Trading Interruption, it will not continue in this session.</li> </ul>
<b>Closing Auction Session</b>	
3:00pm - 3:10pm	<p><input type="checkbox"/> The Closing Auction Session consists of</p> <ul style="list-style-type: none"> <li>- Reference Price Fixing Period (3:00pm - 3:01pm)</li> <li>- Order Input Period (3:01pm - 3:06pm)</li> <li>- No Cancellation Period (3:06pm - 3:08pm)</li> <li>- Random Closing Period (3:08pm - 3:10pm)</li> <li>- Order Matching Period (3:08pm - completion of matching (variable end time))</li> </ul> <p><input type="checkbox"/> Simulate real-life trading activities (in terms of order input, pattern volume) during the Closing Auction Session.</p>
<b>Day Close</b>	
Upon completion of matching	<p>For <b>OCG/NSTD</b>:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Perform trader logout.</li> <li><input type="checkbox"/> Perform ET Trade Speed Station end-of-trading procedure.</li> <li><input type="checkbox"/> Logout and terminate OCG session.</li> <li><input type="checkbox"/> Logout all ET Trade Speed Stations.</li> </ul> <p>For <b>OCG/BSS</b>:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Logout and terminate OCG session.</li> <li><input type="checkbox"/> Perform end-of-trading procedures, if any, to ensure database integrity.</li> <li><input type="checkbox"/> Clean up the transaction data (e.g. orders and trades) entered during the rehearsal from BSS, if applicable.</li> <li><input type="checkbox"/> Restore the data and proper BSS production configuration for following Monday, 21 October 2019, if necessary.</li> </ul> <p><b>Note:</b></p> <p><b>Keep all OCG/BSS/NSTD components powered-on throughout the weekend after the Rehearsal.</b></p> <p><input type="checkbox"/> Complete and submit the "Rehearsal Evaluation Reply" Form <b>(Attachment 6)</b> through <u>Electronic Communication Platform (ECP) during 21 to 23 October 2019.</u></p>
<b>Download Day-end File</b>	
3:45pm - 4:15pm	<p><input type="checkbox"/> Download the trade file and the closing price file in Central Trade Feed (CTF) format by using <b>production user ID</b> with "TO" user group (i.e. user ID of MXXXXXTOXXX) and <b>password "123456"</b> through Electronic Communication Platform (ECP) and check the accuracy of trade details and closing prices.</p>

Time	Standard Tasks
<b>21 October 2019 (Monday)</b>	
Before 8:30am	<p><b><u>Logon to OTP-C Host</u></b></p> <p>For <b>OCG/NSTD</b>:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Perform Administrator Logon to ET Trade Speed Station.</li> <li><input type="checkbox"/> Perform logon for OCG sessions (including Trading and Drop-Copy sessions) by using production password.</li> <li><input type="checkbox"/> Perform ET Trade Speed Station start-of-trading procedure.</li> <li><input type="checkbox"/> Perform Trader logon.</li> </ul> <p><b><u>Note:</u></b></p> <p><b>If EP encounters any issue on ET Trade Speed Station, please directly contact ET Trade Customer Service Hotline at 2880-8601 or by email to <a href="mailto:cs@etnet.com.hk">cs@etnet.com.hk</a>.</b></p> <p>For <b>OCG/BSS</b>:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Perform logon for OCG sessions (including Trading and Drop-Copy sessions) by using production password.</li> <li><input type="checkbox"/> Prepare for trading under OTP-C production environment from 9:00am.</li> </ul>

Notes:

- (1) All production OCG/BSS and OCG/NSTD devices / facilities (including Trading and Drop-Copy sessions) will be activated before 11:30am for trading during the Rehearsal.
- (2) The market timetable of the Rehearsal, subject to changes where the circumstances necessitate, is set tentatively as follows:

Pre-opening Session	11:30 – 12:00	
Continuous Trading Session	12:00 – 12:30	
System Failure / Trading Interruption	12:30	(During Continuous Trading Session)
System Recovery / Trading Restart at backup site	1:30	(Backup System)
Order Cancel Session	1:45 – 2:00	
Continuous Trading Session	2:00 – 3:00	
Closing Auction Session	3:00 – 3:10	
Day Close	Upon completion of matching	

- (3) EPs should constantly review Exchange News to find out the latest announcements of the Exchange.
- (4) EPs should follow the procedures and the instructions announced by the Exchange during the Rehearsal.
- (5) Should EPs have any query or identify any irregularities during the Rehearsal, please contact the following Participant Support Hotlines:

- For general enquiry : 2840-3626
- For technical enquiry : 2840-3351