

## Trading Arrangements of Nikko AM Global Internet ETF

## 1. Trading Model

Name of the Fund	Nikko AM Global Internet ETF	
Stock Code	9072	3072
Stock Short Name	NIKKOAM INET-U	NIKKOAM INET
Trading Currency	USD	HKD
Underlying Benchmark	iEdge-Factset Global Internet Index	
Securities Market Maker	<ul style="list-style-type: none"> <li>• Flow Traders Hong Kong Limited</li> <li>• Phillip Securities (Hong Kong) Limited (Registered Designated Specialist: Phillip Securities Pte Ltd)</li> </ul>	
Market Making Obligation	Please see Attachment 2 of this circular and the 14 <sup>th</sup> Schedule of the Rules of the Exchange	
Board Lot Size	10 units	
Spread	Based on the Spread Table (Part A) in the Second Schedule to the Rules of the Exchange	
Short Selling	Allowed; with tick rule exemption	
Transaction Levy	0.0027% per side* with exemption for market making transactions only	
Investor Compensation Levy	Currently suspended	
Trading Fee	0.005% per side* with exemption for market making transactions only	
Trading Tariff	HK\$0.50 per side per transaction (same as other securities)	
Stamp Duty	Not Applicable	

## Remarks

\* Fees to be paid in Hong Kong dollars. For the USD counter, conversion will be based on the exchange rates of respective trade day published on the HKEX website ([http://www.hkex.com.hk/eng/market/sec\\_tradinfo/stampfx/stampfx.asp](http://www.hkex.com.hk/eng/market/sec_tradinfo/stampfx/stampfx.asp))

## **2. Fund Information**

To facilitate trading of the Nikko AM Global Internet ETF, relevant information will be available as follows:

- Stock information will be available on designated stock counters 9072 and 3072;
- The Trustee / Manager of the Fund will provide financial information on the Fund, including net asset value per unit, total units outstanding, asset under management, etc. on the HKEXnews website (<http://www.hkexnews.hk/>);
- Details about the Fund are available on the website [www.nikkoam.com.hk/etf/global-internet-etf](http://www.nikkoam.com.hk/etf/global-internet-etf)

## **3. Settlement**

Settlement will be conducted on T+2 day through Hongkong Clearing's CCASS on the Continuous Net Settlement basis.