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香港聯合交易所有限公司

(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED

(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: HKIDR - PUBLICATION OF INFORMATION PAPER, TECHNICAL

SPECIFICATIONS AND LAUNCH OF WEB CORNER

Enquiry: Participant General Enquiry Hotline<sup>1</sup> (Tel: 2840 3626 E-mail: trd@hkex.com.hk)

Reference is made to the <u>consultation conclusions</u> issued by the Securities and Futures Commission (SFC) on the implementation of an investor identification regime for the securities market in Hong Kong at trading level (HKIDR). As mentioned in the SFC's consultation conclusions, HKEX has prepared an information paper and the associated technical specifications to provide further information about the operational arrangements and technical details of the HKIDR. The information paper and technical specifications can be downloaded from the HKEX website.

**Web Corner** 

To facilitate Exchange Participants (EPs) and other Relevant Regulated Intermediaries<sup>2</sup> to prepare for the launch of the HKIDR tentatively in the second half of 2022, the HKEX website has launched a designated web corner for the initiative. The web corner includes reference materials of the HKIDR such as the linkages to SFC's consultation and conclusions, HKEX's information paper, circulars and technical specifications. The web corner will be updated from time to time.

<sup>&</sup>lt;sup>1</sup> All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available <u>here.</u>

<sup>&</sup>lt;sup>2</sup> Relevant Regulated Intermediaries refer to SFC-licensed corporations and registered institutions subject to the HKIDR pursuant to the proposed paragraph 5.6 of the SFC's Code of Conduct for Persons Licensed by or Registered with the SFC as set out in the Appendix C in the SFC's consultation conclusions paper.



## **Briefing Sessions**

In order to enable EPs and other Relevant Regulated Intermediaries to have a better understanding of the HKIDR, the SFC and HKEX will jointly organize an online webinar and details of the webinar including its enrolment information will be further announced.

Sally Kwok
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